



FISCAL YEAR 2014

# APPENDIX

BUDGET OF THE U.S. GOVERNMENT

OFFICE OF MANAGEMENT AND BUDGET

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# THE BUDGET DOCUMENTS

***Budget of the United States Government, Fiscal Year 2014*** contains the Budget Message of the President, information on the President's priorities, budget overviews organized by agency, and summary tables.

***Analytical Perspectives, Budget of the United States Government, Fiscal Year 2014*** contains analyses that are designed to highlight specified subject areas or provide other significant presentations of budget data that place the budget in perspective. This volume includes economic and accounting analyses; information on Federal receipts and collections; analyses of Federal spending; information on Federal borrowing and debt; baseline or current services estimates; and other technical presentations.

The *Analytical Perspectives* volume also contains supplemental material with several detailed tables, including tables showing the budget by agency and account and by function, subfunction, and program, that is available on the Internet and as a CD-ROM in the printed document.

***Historical Tables, Budget of the United States Government, Fiscal Year 2014*** provides data on budget receipts, outlays, surpluses or deficits, Federal debt, and Federal employment over an extended time period, generally from 1940 or earlier to 2014 or 2018.

To the extent feasible, the data have been adjusted to provide consistency with the 2014 *Budget* and to provide comparability over time.

***Appendix, Budget of the United States Government, Fiscal Year 2014*** contains detailed information on the various appropriations and funds that constitute the budget and is designed primarily for the use of the Appropriations Committees. The *Appendix* contains more detailed financial information on individual pro-

grams and appropriation accounts than any of the other budget documents. It includes for each agency: the proposed text of appropriations language; budget schedules for each account; legislative proposals; explanations of the work to be performed and the funds needed; and proposed general provisions applicable to the appropriations of entire agencies or group of agencies. Information is also provided on certain activities whose transactions are not part of the budget totals.

## AUTOMATED SOURCES OF BUDGET INFORMATION

The information contained in these documents is available in electronic format from the following sources:

***Internet.*** All budget documents, including documents that are released at a future date, spreadsheets of many of the budget tables, and a public use budget database are available for downloading in several formats from the Internet at [www.budget.gov/budget](http://www.budget.gov/budget). Links to documents and materials from budgets of prior years are also provided.

***Budget CD-ROM.*** The CD-ROM contains all of the budget documents in fully indexed PDF format along with the software required for viewing the documents. The CD-ROM has many of the budget tables in spreadsheet format and also contains the materials that are included on the separate *Analytical Perspectives* CD-ROM.

For more information on access to electronic versions of the budget documents (except CD-ROMs), call (202) 512-1530 in the D.C. area or toll-free (888) 293-6498. To purchase the budget CD-ROM or printed documents call (202) 512-1800.

## GENERAL NOTES

1. All years referenced for budget data are fiscal years unless otherwise noted.
2. Detail in this document may not add to the totals due to rounding.
3. At the time the President's 2014 Budget request was developed, none of the full-year appropriations bills for 2013 was enacted; therefore, the programs and activities normally provided for in the full-year appropriations bills were operating under a continuing resolution (Public Law 112-175). For those programs and activities, full-year appropriations data included in the current year column (2013) in the budget *Appendix*, and in tables that show details on discretionary spending amounts in the *Analytical Perspectives* volume, reflect the annualized level provided by the continuing resolution. In the main *Budget* volume and the *Historical Tables* volume, current year totals by agency and for the total Government will match the President's 2013 Budget request.

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## TABLE OF CONTENTS

|  | <i>Page</i> |
|--|-------------|
| Detailed Budget Estimates by Agency:                                       |             |
| Explanation of Estimates.....  | 1           |
| General Provisions Government-Wide .....                                   | 9           |
| Legislative Branch.....  | 13          |
| Judicial Branch.....   | 47          |
| Department of Agriculture.....   | 61          |
| Department of Commerce .....   | 199         |
| Department of Defense—Military.....  | 233         |
| Department of Education.....   | 321         |
| Department of Energy.....  | 369         |
| Department of Health and Human Services.....                               | 417         |
| Department of Homeland Security.....                                       | 483         |
| Department of Housing and Urban Development.....                           | 543         |
| Department of the Interior .....   | 607         |
| Department of Justice .....  | 703         |
| Department of Labor .....  | 751         |
| Department of State and Other International Programs .....                 | 795         |
| Department of Transportation.....  | 893         |
| Department of the Treasury .....   | 971         |
| Department of Veterans Affairs .....                                       | 1033        |
| Corps of Engineers—Civil Works.....  | 1073        |
| Other Defense—Civil Programs .....   | 1087        |
| Environmental Protection Agency.....                                       | 1097        |
| Executive Office of the President.....                                     | 1117        |
| General Services Administration.....                                       | 1129        |
| National Aeronautics and Space Administration .....                        | 1143        |
| National Science Foundation .....  | 1155        |
| Office of Personnel Management .....                                       | 1161        |
| Small Business Administration .....  | 1175        |
| Social Security Administration.....  | 1187        |
| Other Independent Agencies.....  | 1199        |
| Other Materials:   |             |
| Amendments to and Revisions in Budget Authority for 2012.....              | 1331        |
| Advance Appropriations .....   | 1333        |
| Financing Vehicles and the Board of Governors of the Federal Reserve ..... | 1335        |
| Government-Sponsored Enterprises .....                                     | 1337        |
| Index .....  | 1343        |





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# DETAILED BUDGET ESTIMATES

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## DETAILED BUDGET ESTIMATES

The Budget *Appendix* contains various tables and schedules in support of the budget. It includes explanations of the work to be performed and the money needed. It includes the language proposed for enactment by Congress on each item that requires congressional action in an appropriations bill. It also contains the language proposed for the general provisions of appropriations acts that apply to entire agencies or groups of agencies. The chapter, "Budget Concepts", in the *Analytical Perspectives*, explains the terms and budget concepts used throughout the budget.

### ARRANGEMENT

The second chapter in the *Appendix* presents general provisions of law that apply to all Government activities (see explanation below). Chapters for the Legislative Branch and the Judiciary follow. These are followed by chapters for the Executive Branch. The cabinet departments appear first in alphabetical order and are followed by the larger non-departmental agencies, such as Other Defense—Civil Programs, and the Executive Office of the President. The remaining small agencies are listed under the heading Other Independent Agencies. If the amounts in the individual accounts for other independent agencies are below the million dollar reporting threshold applicable to data in the *Appendix*, the data are consolidated into a single set of schedules under "Other Commissions and Boards." Appropriations language for these agencies is presented individually under the same heading.

A section for a large agency is usually organized by major subordinate organizations within the agency (usually bureaus) or by major program area (such as military personnel in the Department of Defense).

Within each bureau or major program area, accounts usually appear in the following order:

- general fund accounts;
- special fund accounts;
- public enterprise revolving funds;

- intragovernmental revolving funds and management funds;
- credit reform accounts, in the following order: program account, financing account, and liquidating account;
- trust funds;
- trust revolving funds.

By law, the Old-Age and Survivors Insurance and Disability Insurance trust funds (Social Security) are outside the budget totals. These accounts are presented in the Social Security Administration section. Also, by law, the Postal Service Fund is outside the budget totals. A presentation for the Fund is included in the Other Independent Agencies section.

General provisions are provisions in appropriations acts that apply to more than one appropriation. They usually appear in separate titles of the appropriations acts. The proposed language for general provisions of appropriations acts that are applicable to one agency appear at the end of the section for that agency. When they apply only to the appropriations for two or more agencies covered by the act, they will appear at the end of the section for one of those agencies. The Government-wide general provisions apply to all appropriations Government-wide.

The following table indicates the location of all general provisions. The first column of the table lists the most recently enacted appropriations and the major agencies responsible for programs funded by each act. The second column provides the location of the general provisions that apply to the agencies listed in the first column. The general provisions that are Government-wide in scope (identified as "Departments, Agencies, and Corporations") contained in the Financial Services and General Government Appropriations Act, appear in a separate chapter following this one. At the time the President's 2014 Budget request was developed, none of the full-year appropriations bills for 2013 was enacted; therefore, the programs and activities normally provided for in the full-year appropriations bills were operating under a continuing resolution (Public Law 112–175). The continuing resolution is based on language enacted for 2012.

| Appropriations Act  | Chapter in which general provisions appear |
|---|--|
| Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, P.L. 112–55. |  |
| Department of Agriculture, excluding Forest Service.....  | Department of Agriculture                  |
| Department of Health and Human Services, Food and Drug Administration.  | Department of Agriculture                  |
| Commerce, Justice, Science, and Related Agencies Appropriations Act, P.L. 112–55.                                   |  |
| Department of Commerce.....   | Department of Commerce                     |
| Department of Justice.....  | Department of Justice                      |
| National Aeronautics and Space Administration.....  | Department of Commerce                     |
| National Science Foundation.....  | Department of Commerce                     |
| Department of Defense Appropriations Act, P.L. 112–74.....  | Department of Defense                      |
| Energy and Water Development and Related Agencies Appropriations Act, P.L. 112–74.                                  |  |
| Department of Energy.....   | Department of Energy                       |
| Corps of Engineers.....   | Corps of Engineers—Civil Works             |
| Department of the Interior, Bureau of Reclamation.....  | Department of the Interior                 |
| Financial Services and General Government Appropriations Act, P.L. 112–74.  |  |
| Department of the Treasury.....   | Department of the Treasury                 |
| District of Columbia.....   | Other Independent Agencies                 |
| Executive Office of the President.....  | Department of the Treasury                 |
| Department of Homeland Security Appropriations Act, P.L. 112–74.....  | Department of Homeland Security            |

| Appropriations Act  | Chapter in which general provisions appear   |
|---|--|
| Department of the Interior, Environment, and Related Agencies Appropriations Act, P.L. 112–74.<br>Department of the Interior, excluding Bureau of Reclamation.....<br>Department of Agriculture, Forest Service.....<br>Department of Health and Human Services, Indian Health Service.....<br>Environmental Protection Agency.....             | Department of the Interior<br>Department of the Interior<br>Department of the Interior<br>Department of the Interior |
| Departments of Labor, Health and Human Services, and Education, and Related Agencies Appropriations Act, P.L. 112–74.<br>Department of Labor.....<br>Department of Health and Human Services, excluding Food and Drug Administration, and the Indian Health Service.....<br>Department of Education.....<br>Social Security Administration..... | Department of Labor<br>Department of Health and Human Services<br>Department of Education<br>Department of Labor     |
| Legislative Branch Appropriations Act, P.L. 112–74.....<br>Military Construction and Veterans Affairs and Related Agencies Appropriations Act, P.L. 112–74.<br>Department of Defense, Military Construction.....<br>Department of Veterans Affairs.....   | Legislative Branch<br>Department of Defense<br>Department of Veterans Affairs  |
| Department of State, Foreign Operations, and Related Programs Appropriations Act, P.L. 112–74.<br>Department of State.....<br>Agency for International Development.....   | Department of State and Other International Programs<br>Department of State and Other International Programs         |
| Transportation, Housing and Urban Development, and Related Agencies Appropriations Act, P.L. 112–55.<br>Department of Transportation.....<br>Department of Housing and Urban Development.....   | Department of Transportation<br>Department of Housing and Urban Development  |

## FORM OF DETAILED MATERIAL

### APPROPRIATIONS LANGUAGE

The language proposed for inclusion in the 2014 appropriations acts appears following the account title, and the amounts are stated in dollars. At the time the President's 2014 Budget request was developed, none of the full-year appropriations bills for 2013 was enacted; therefore, the programs and activities normally provided for in the full-year appropriations bills were operating under a continuing resolution (Public Law 112–175). Appropriations for these accounts reflect proposed 2014 language in *italic* type.

### BASIS FOR SCHEDULES

Dollar amounts in *Appendix* schedules are stated in millions, unless otherwise specified.

The 2012 column of the budget presents the actual transactions and balances for that year, as recorded in agency accounts.

For 2013, the regular schedules include the levels in the Continuing Appropriations Resolution, 2013 (P.L. 112–175). They may also include indefinite appropriations on the basis of amounts likely to be required.

The 2014 column of the regular schedules includes proposed appropriations for all programs.

Amounts for proposed new legislation are shown generally in separate schedules, following the regular schedules or in budget sequence in the respective bureau. These schedules are identified as "Legislative proposals, subject to PAYGO" or "Legislative proposals not subject to PAYGO." The term "PAYGO" refers to the "pay-as-you-go" requirements of the Statutory Pay-As-You-Go Act of 2010. Appropriations language is included with the regular schedule, but usually not with the separate schedules

for proposed legislation. Usually the necessary appropriations language is transmitted later upon enactment of the proposed legislation.

### PROGRAM AND FINANCING SCHEDULE

This schedule provides the following information:

- obligations by program activity;
- budgetary resources;
- change in obligated balance; and
- budget authority and outlays, net.

The "Obligations by program activity" section shows obligations for specific activities or projects. The activity structure is developed for each appropriation or fund account to provide a meaningful presentation of information for the program. Where the amounts are significant, this section distinguishes between operating expenses and capital investment and between direct and reimbursable programs. The last entry, "Total new obligations," indicates the amount of budgetary resources required to finance the activities of the account.

The "Budgetary resources" section shows the budgetary resources available or estimated to be available to finance the obligations. The resources available for obligation include the start-of-year unobligated balances of prior year's resources that have not expired, new budget authority, and adjusting entries, such as recoveries from prior year obligations. This section provides detailed information on the total new budget authority (gross) available to finance the program. It includes information on the type of budget authority that is available, reductions, and amounts precluded from obligation. It indicates whether the budget authority is discretionary (controlled by appropriations acts) or mandatory (controlled by other laws).

The "Change in obligated balance" section shows components of the change in obligated balances from the start to the end of the year. The two components of the obligated balance—unpaid

obligations and uncollected payments from Federal sources—are presented separately. New obligations are added to the obligations that were incurred in a previous year but not liquidated. Total disbursements to liquidate obligations (outlays, gross) are subtracted from these amounts. Adjusting entries, such as adjustments in expired accounts and recoveries of prior year unpaid obligations, are included as appropriate, resulting in the end-of-year obligated balance.

The "Budget authority and outlays, net" section bridges from gross budget authority and outlays to net budget authority and outlays. The section presents discretionary and mandatory amounts separately and indicates whether the outlays pertain to balances or new authority. It also indicates the amounts to be deducted from gross budget authority and outlays and the resulting net budget authority and outlay amounts. Offsetting collections (cash) and the change in uncollected payments from Federal sources are deducted from gross budget authority; only offsetting collections (cash) are deducted from gross outlays.

### Program and Financing

(in millions of dollars)

| Identification code 75–9911–0–1–554  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Foods.....  |             |         |           |
| 0002 Drugs.....  |             |         |           |
| 0003 Devices and radiological products.....  |             |         |           |
| 0004 National Center for Toxicological Research.....   |             |         |           |
| 0005 Other activities.....   |             |         |           |
| 0006 Other rent and rent related activities.....   |             |         |           |
| 0007 Rental payments.....  |             |         |           |
| 0008 Buildings and facilities.....   |             |         |           |
| 0009 CRADAs.....   |             |         |           |
| 0010 Tobacco startup.....  |             |         |           |
| 0091 Direct program activities, subtotal.....  |             |         |           |
| 0801 Reimbursable program.....   |             |         |           |
| 0900 Total new obligations.....  |             |         |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1.....   |             |         |           |
| 1021 Recoveries of prior year unpaid obligations.....  |             |         |           |
| 1050 Unobligated balance (total).....  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation.....  |             |         |           |
| 1101 Appropriation (special fund).....   |             |         |           |
| 1160 Appropriation, discretionary (total).....   |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special fund).....   |             |         |           |
| Spending authority from offsetting collections, discretionary:   |             |         |           |
| 1700 Collected.....  |             |         |           |
| 1701 Change in uncollected payments, Federal sources.....  |             |         |           |
| 1702 Offsetting collections (previously unavailable).....  |             |         |           |
| 1710 Spending authority from offsetting collections transferred to other accounts.....                         |             |         |           |
| 1711 Spending authority from offsetting collections transferred from other accounts.....                       |             |         |           |
| 1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations)..... |             |         |           |
| 1750 Spending auth from offsetting collections, disc (total).....  |             |         |           |
| Spending authority from offsetting collections, mandatory:   |             |         |           |
| 1800 Collected.....  |             |         |           |
| 1900 Budget authority (total).....   |             |         |           |
| 1930 Total budgetary resources available.....  |             |         |           |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring.....   |             |         |           |
| 1941 Unexpired unobligated balance, end of year.....   |             |         |           |
| <b>Change in obligated balance:</b>  |             |         |           |
| Obligated balance, start of year (net):  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1.....   |             |         |           |
| 3010 Obligations incurred, unexpired accounts.....   |             |         |           |
| 3020 Outlays (gross).....  |             |         |           |

|   |  |  |  |  |
|---|--|--|--|--|
| 3030  | Unpaid obligations transferred to other accounts.....                |  |  |  |
| 3031  | Unpaid obligations transferred from other accounts.....              |  |  |  |
| 3040  | Recoveries of unpaid prior year obligations, unexpired accounts..... |  |  |  |
| 3050  | Unpaid obligations, end of year.....                                 |  |  |  |
| Uncollected payments:                                   |  |  |  |  |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1.....          |  |  |  |
| 3061  | Adjustments to uncollected pymts, Fed sources, brought forward.....  |  |  |  |
| 3070  | Change in uncollected pymts, Fed sources, unexpired accounts.....    |  |  |  |
| 3080  | Uncollected pymts, Fed sources transferred to other accounts.....    |  |  |  |
| 3081  | Uncollected pymts, Fed sources transferred from other accounts.....  |  |  |  |
| 3090  | Uncollected pymts, Fed sources, end of year.....                     |  |  |  |
| <b>Budget authority and outlays, net:</b>               |  |  |  |  |
| Discretionary:  |  |  |  |  |
| 4000  | Budget authority, gross.....   |  |  |  |
| Outlays, gross:   |  |  |  |  |
| 4010  | Outlays from new discretionary authority.....                        |  |  |  |
| 4011  | Outlays from discretionary balances.....                             |  |  |  |
| 4020  | Outlays, gross (total).....  |  |  |  |
| Offsets against gross budget authority and outlays:     |  |  |  |  |
| Offsetting collections (collected) from:                |  |  |  |  |
| 4030  | Federal sources.....   |  |  |  |
| 4033  | Non-Federal sources.....   |  |  |  |
| 4034  | Offsetting governmental collections.....                             |  |  |  |
| 4040  | Offsets against gross budget authority and outlays (total).....      |  |  |  |
| Additional offsets against gross budget authority only: |  |  |  |  |
| 4050  | Change in uncollected pymts, Fed sources, unexpired.....             |  |  |  |
| 4052  | Offsetting collections credited to expired accounts.....             |  |  |  |
| 4060  | Additional offsets against budget authority only (total).....        |  |  |  |
| 4070  | Budget authority, net (discretionary).....                           |  |  |  |
| 4080  | Outlays, net (discretionary).....                                    |  |  |  |
| Mandatory:  |  |  |  |  |
| 4090  | Budget authority, gross.....   |  |  |  |
| Outlays, gross:   |  |  |  |  |
| 4100  | Outlays from new mandatory authority.....                            |  |  |  |
| Offsets against gross budget authority and outlays:     |  |  |  |  |
| Offsetting collections (collected) from:                |  |  |  |  |
| 4123  | Non-Federal sources.....   |  |  |  |
| 4124  | Offsetting governmental collections.....                             |  |  |  |
| 4130  | Offsets against gross budget authority and outlays (total).....      |  |  |  |
| 4160  | Budget authority, net (mandatory).....                               |  |  |  |
| 4170  | Outlays, net (mandatory).....  |  |  |  |
| 4180  | Budget authority, net (total).....                                   |  |  |  |
| 4190  | Outlays, net (total).....  |  |  |  |
| <b>Memorandum (non-add) entries:</b>                    |  |  |  |  |
| 5090  | Unavailable balance, SOY: Offsetting collections.....                |  |  |  |
| 5091  | Unavailable balance, EOY: Offsetting collections.....                |  |  |  |

A schedule titled "Summary of Budget Authority and Outlays" immediately follows the first program and financing schedule for any account that has additional program and financing schedules for supplemental requests, legislative proposals, or current year cancellation proposals.

### NARRATIVE STATEMENT OF PROGRAM AND PERFORMANCE

Narrative statements present briefly the objectives of the program and the work to be financed primarily for 2014. They may include measures of expected performance and describe a relationship to the financial estimates.

### SCHEDULE OF OBJECT CLASSIFICATION AND EMPLOYMENT SUMMARY

Object classes reflect the nature of the things or services purchased, regardless of the purpose of the program for which they are used. Object class entry 11.9, "Total personnel compensation" sums the amounts in object classes 11.1 through 11.8. Except for revolving funds, reimbursable obligations are aggregated in a

single line and not identified by object class. Amounts for any object class that are below the reporting threshold (i.e., amounts that are \$500 thousand or less) are reported together as a single entry. If all of the obligations for an account are in a single object class, the schedule is omitted and the object class code is printed in the Program and Financing Schedule on the "Total new obligations" line. Data, classified by object, are illustrated in the following schedule:

| <b>Object Classification</b>             |             |         |           |
|--|-------------|---------|-----------|
| (in millions of dollars)                 |             |         |           |
| Identification code 17-0643-0-1-452      | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                      |             |         |           |
| Personnel compensation:                  |             |         |           |
| 11.1 Full-time permanent.....            |             |         |           |
| 11.3 Other than full-time permanent..... |             |         |           |
| 11.9 Total personnel compensation.....   |             |         |           |
| 12.1 Civilian personnel benefits.....    |             |         |           |
| 23.1 Rental payments to GSA.....         |             |         |           |
| 26.0 Supplies and materials.....         |             |         |           |
| 99.0 Direct obligations.....             |             |         |           |
| 99.0 Reimbursable obligations.....       |             |         |           |
| 99.5 Below reporting threshold.....      |             |         |           |
| 99.9 Total new obligations.....          |             |         |           |

When obligations for personnel compensation are shown in the object classification schedule, an employment summary generally follows the object classification schedule, as illustrated below:

| <b>Employment Summary</b>   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 75-9911-0-1-554                                   | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment.....             |             |         |           |
| 1101 Direct military average strength employment.....                 |             |         |           |
| 2001 Reimbursable civilian full-time equivalent employment.....       |             |         |           |
| 2101 Reimbursable military average strength employment.....           |             |         |           |
| 3001 Allocation account civilian full-time equivalent employment..... |             |         |           |
| 3101 Allocation account military average strength employment.....     |             |         |           |

Federal civilian employment generally is stated on a full-time equivalent (FTE) basis. It is the total number of hours worked (or to be worked) divided by the number of compensable hours applicable to each fiscal year.

### BALANCE SHEETS

Balance sheets are presented for all direct and guaranteed loan liquidating and financing accounts, most Government-sponsored enterprises, and certain revolving and trust revolving funds conducting business with the public. They are occasionally presented for funds conducting business within the Government.

The balance sheets show assets, liabilities, and equity for the fund at the close of each fiscal year. In addition to this information, which is similar to commercial balance sheet data, budget needs also require additional information, such as appropriated capital, which is shown in the equity section. The amounts in the 2011 column are audited.

| <b>Balance Sheet</b>                                 |             |             |
|--|-------------|-------------|
| (in millions of dollars)                             |             |             |
| Identification code 16-4023-0-3-754                  | 2011 actual | 2012 actual |
| ASSETS:  |             |             |
| Federal assets:                                      |             |             |
| Investments in US securities:                        |             |             |
| 1102 Treasury securities, net.....                   |             |             |
| 1104 Agency securities, net.....                     |             |             |
| 1106 Receivables, net.....                           |             |             |
| Non-Federal assets:                                  |             |             |
| 1201 Investments in non-Federal securities, net..... |             |             |

|  |  |  |
|--|--|--|
| 1999 Total assets.....                       |  |  |
| LIABILITIES:                                 |  |  |
| Federal liabilities:                         |  |  |
| 2103 Debt.....                               |  |  |
| Non-Federal liabilities:                     |  |  |
| 2203 Debt.....                               |  |  |
| 2999 Total liabilities.....                  |  |  |
| NET POSITION:                                |  |  |
| 3100 Unexpended Appropriation.....           |  |  |
| 3999 Total net position.....                 |  |  |
| 4999 Total liabilities and net position..... |  |  |

### FEDERAL CREDIT SCHEDULES

Federal credit programs provide benefits to the public in the form of direct loans and loan guarantees. The Federal Credit Reform Act of 1990 requires that the costs of direct and guaranteed loans of a program be calculated on a net present value basis, excluding administrative costs. For most programs, direct loan obligations and loan guarantee commitments cannot be made unless appropriations for the cost have been provided in advance in annual appropriations acts. Annual limitations on the amount of obligations and commitments may also be enacted in appropriations language.

Appropriations for the costs of direct loans and loan guarantees are recorded as budget authority in credit program accounts. The administrative expenses associated with a credit program are also recorded in the program account, but on a cash basis. All cash flows to and from the public arising from direct loan obligations and loan guarantee commitments are recorded in separate financing accounts. The transactions of the financing accounts are not included in the budget totals. Program accounts make subsidy payments, recorded as budget outlays, to the financing accounts at the time of the disbursement of the direct or guaranteed loans.

The transactions associated with direct loan obligations and loan guarantee commitments made prior to 1992 continue to be accounted for on a cash flow basis and are recorded in liquidating accounts. In most cases, the liquidating account is the account that was used for the program prior to the enactment of the new requirements.

Program and Financing schedules (described above) are shown for program, financing, and liquidating accounts. In addition, a Summary of Loan Levels, Subsidy Budget Authority, and Outlays by Program schedule is shown for program accounts. This schedule displays credit program information at the risk category level. Status of Direct Loans and Status of Guaranteed Loans schedules (as applicable) are shown for financing accounts and liquidating accounts. Examples of these schedules are shown below. Summary information on Federal credit programs is provided in the chapter titled "Credit and Insurance" in the *Analytical Perspectives* volume of the Budget.

#### Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program

| (in millions of dollars)                                    |             |         |           |
|---|-------------|---------|-----------|
| Identification code 83-0100-0-1-155                         | 2012 actual | 2013 CR | 2014 est. |
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Economic opportunity loans.....                      |             |         |           |
| 115002 Minority enterprise loans.....                       |             |         |           |
| 115999 Total direct loan levels.....                        |             |         |           |
| Direct loan subsidy rates (in percent):                     |             |         |           |
| 132001 Economic opportunity loans.....                      |             |         |           |
| 132002 Minority enterprise loans.....                       |             |         |           |
| 132999 Weighted average subsidy rate.....                   |             |         |           |

|   |  |  |  |
|---|--|--|--|
| Direct loan subsidy budget authority:                           |  |  |  |
| 133001  | Economic opportunity loans.....                  |  |  |
| 133002  | Minority enterprise loans.....                   |  |  |
| 133999  | Total subsidy budget authority.....              |  |  |
| Direct loan subsidy outlays:                                    |  |  |  |
| 134001  | Economic opportunity loans.....                  |  |  |
| 134002  | Minority enterprise loans.....                   |  |  |
| 134999  | Total, subsidy outlays.....                      |  |  |
| Direct loan downward reestimates:                               |  |  |  |
| 135001  | Economic opportunity loans.....                  |  |  |
| 135002  | Minority enterprise loans.....                   |  |  |
| 135999  | Total, upward reestimate budget authority.....   |  |  |
| Direct loan downward reestimates:                               |  |  |  |
| 137001  | Economic opportunity loans.....                  |  |  |
| 137002  | Minority enterprise loans.....                   |  |  |
| 137999  | Total, downward reestimate budget authority..... |  |  |
| Guaranteed loan levels supportable by subsidy budget authority: |  |  |  |
| 215001  | General business loan guarantees.....            |  |  |
| 215002  | Minority enterprise loans.....                   |  |  |
| 215999  | Total guaranteed loan levels.....                |  |  |
| Guaranteed loan subsidy (in percent):                           |  |  |  |
| 232001  | General business loans.....                      |  |  |
| 232002  | Minority enterprise loans.....                   |  |  |
| 232999  | Weighted average subsidy rate.....               |  |  |
| Guaranteed loan subsidy budget authority:                       |  |  |  |
| 233001  | General business loans.....                      |  |  |
| 233002  | Minority enterprise loans.....                   |  |  |
| 233999  | Total subsidy budget authority.....              |  |  |
| Guaranteed loan subsidy outlays:                                |  |  |  |
| 234001  | General business loans.....                      |  |  |
| 234002  | Minority enterprise loans.....                   |  |  |
| 234999  | Total subsidy outlays.....                       |  |  |

**Status of Direct Loans**

(in millions of dollars)

|  |   |             |         |           |
|--|---|-------------|---------|-----------|
| Identification code 13-4324-0-3-376                                    |   | 2012 actual | 2013 CR | 2014 est. |
| Position with respect to appropriations act limitation on obligations: |   |             |         |           |
| 1111   | Limitation on direct loans.....               |             |         |           |
| 1150   | Total direct loan obligations.....            |             |         |           |
| Cumulative balance of direct loans outstanding:                        |   |             |         |           |
| 1210   | Outstanding, start of year.....               |             |         |           |
| 1231   | Disbursements: Direct loan disbursements..... |             |         |           |
| 1251   | Repayments: Repayments and prepayments.....   |             |         |           |
| 1290   | Outstanding, end of year.....                 |             |         |           |

**Status of Guaranteed Loans**

(in millions of dollars)

|  |  |             |         |           |
|--|--|-------------|---------|-----------|
| Identification code 83-4100-0-3-155                                    |  | 2012 actual | 2013 CR | 2014 est. |
| Position with respect to appropriations act limitation on commitments: |  |             |         |           |
| 2111   | Limitation on guaranteed loans made by private lenders.....    |             |         |           |
| 2150   | Total guaranteed loan commitments.....                         |             |         |           |
| Memorandum:  |  |             |         |           |
| 2199   | Guaranteed amount of guaranteed loan commitments.....          |             |         |           |
| Cumulative balance of guaranteed loans outstanding:                    |  |             |         |           |
| 2210   | Outstanding, start of year.....                                |             |         |           |
| 2231   | Disbursements of new guaranteed loans.....                     |             |         |           |
| 2251   | Repayments and prepayments.....                                |             |         |           |
| Adjustments:   |  |             |         |           |
| 2261   | Terminations for default that result in a loan receivable..... |             |         |           |
| 2261   | Terminations for default that result in claim payments.....    |             |         |           |

|   |  |  |  |  |
|---|--|--|--|--|
| 2290  | Outstanding, end of year.....                                      |  |  |  |
| Memorandum:   |  |  |  |  |
| 2299  | Guaranteed amount of guaranteed loan outstanding, end of year..... |  |  |  |
| Addendum:   |  |  |  |  |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |  |  |  |
| 2310  | Outstanding, start of year.....                                    |  |  |  |
| 2331  | Disbursements for guaranteed loan claims.....                      |  |  |  |
| 2351  | Repayments of loans receivable.....                                |  |  |  |
| 2361  | Write-offs of loans receivable.....                                |  |  |  |
| 2364  | Other adjustments, net.....  |  |  |  |
| 2390  | Outstanding, end of year.....                                      |  |  |  |

**SPECIAL AND TRUST FUND RECEIPTS SCHEDULE**

This schedule is printed for special fund and trust fund accounts to show the amount of receipts that are credited to them. It also shows any balances of unappropriated receipts or receipts that are precluded from obligation because of a provision of law, such as a benefit formula or limitation on obligations. This schedule is presented with other schedules for the fund.

**STATUS OF FUNDS SCHEDULE**

This schedule reports balances, cash income, and cash outgo for major trust funds and certain other accounts. When present, it appears after the narrative statement for the fund or account.

**GENERAL FUND RECEIPT ACCOUNTS SCHEDULE**

This schedule shows the amount of receipts attributed to an agency that are credited to the general fund of the Treasury. It is printed at the end of the presentation for the agency, before any general provisions.

**ALLOCATIONS BETWEEN AGENCIES**

In some cases, funds appropriated to the President or to an agency are allocated to one or more agencies that help to carry out a program. Obligations incurred under such allocations are included in the data for the account to which the appropriation is made in the allocating agency. The object classification schedule for such accounts identifies the amount of such obligations by performing agency. A note at the end of a bureau or equivalent grouping identifies allocations received from other agencies.

**BUDGETS FOR OFFICES OF INSPECTOR GENERAL**

The "separate statement of the budget estimate" for each Office of Inspector General, referenced in Section 6(f)(3)(A) of the Inspector General Act of 1978, as amended, is included in the respective congressional justification for that Office.

**BUDGETS NOT SUBJECT TO REVIEW**

In accordance with law or established practice, the presentations for the Legislative Branch, the Judiciary, the Milk Market Orders Assessment Fund of the Department of Agriculture, and the International Trade Commission have been included, without review, in the amounts submitted by the agencies.

The budgets of the privately owned Government-sponsored enterprises and the Board of Governors of the Federal Reserve System are not subject to review. Data for these entities are included for information purposes only.





# GENERAL PROVISIONS GOVERNMENT-WIDE

## GENERAL PROVISIONS

### DEPARTMENTS, AGENCIES, AND CORPORATIONS

SEC. 701. No department, agency, or instrumentality of the United States receiving appropriated funds under this or any other Act for fiscal year 2014 shall obligate or expend any such funds, unless such department, agency, or instrumentality has in place, and will continue to administer in good faith, a written policy designed to ensure that all of its workplaces are free from the illegal use, possession, or distribution of controlled substances (as defined in the Controlled Substances Act (21 U.S.C. 802)) by the officers and employees of such department, agency, or instrumentality.

SEC. 702. Unless otherwise specifically provided, the maximum amount allowable during the current fiscal year in accordance with subsection 1343(c) of title 31, United States Code, for the purchase of any passenger motor vehicle (exclusive of buses, ambulances, law enforcement, and undercover surveillance vehicles), is hereby fixed at \$13,197 except station wagons for which the maximum shall be \$13,631: Provided, That these limits may be exceeded by not to exceed \$3,700 for police-type vehicles, and by not to exceed \$4,000 for special heavy-duty vehicles: Provided further, That the limits set forth in this section may not be exceeded by more than 5 percent for electric or hybrid vehicles purchased for demonstration under the provisions of the Electric and Hybrid Vehicle Research, Development, and Demonstration Act of 1976: Provided further, That the limits set forth in this section may be exceeded by the incremental cost of clean alternative fuels vehicles acquired pursuant to Public Law 101-549 over the cost of comparable conventionally fueled vehicles: Provided further, That the limits set forth in this section shall not apply to any vehicle that is a commercial item and which operates on emerging motor vehicle technology, including but not limited to electric, plug-in hybrid electric, and hydrogen fuel cell vehicles.

SEC. 703. Appropriations of the executive departments and independent establishments for the current fiscal year available for expenses of travel, or for the expenses of the activity concerned, are hereby made available for quarters allowances and cost-of-living allowances, in accordance with 5 U.S.C. 5922-5924.

SEC. 704. Unless otherwise specified during the current fiscal year, no part of any appropriation contained in this or any other Act shall be used to pay the compensation of any officer or employee of the Government of the United States (including any agency the majority of the stock of which is owned by the Government of the United States) whose post of duty is in the continental United States unless such person: (1) is a citizen of the United States; (2) is a person who is lawfully admitted for permanent residence and is seeking citizenship as outlined in 8 U.S.C. 1324b(a)(3)(B); (3) is a person who is admitted as a refugee under 8 U.S.C. 1157 or is granted asylum under 8 U.S.C. 1158 and has filed a declaration of intention to become a lawful permanent resident and then a citizen when eligible; or (4) is a person who owes allegiance to the United States: Provided, That for purposes of this section, affidavits signed by any such person shall be considered prima facie evidence that the requirements of this section with respect to his or her status are being complied with: Provided further, That for purposes of subsections (2) and (3) such affidavits shall be submitted prior to employment and updated thereafter as necessary: Provided further, That any person making a false affidavit shall be guilty of a felony, and upon conviction, shall be fined no more than \$4,000 or imprisoned for not more than 1 year, or both: Provided further, That the above penal clause shall be in addition to, and not in substitution for, any other provisions of existing law: Provided further, That any payment made to any officer or employee contrary to the provisions of this section shall be recoverable in action by the Federal Government: Provided further, That this section shall not apply to any person who is an officer or employee of the Government of the United States on the date of enactment of this Act, or to international broadcasters employed by the Broadcasting Board of Governors, or to temporary employment of translators, or to temporary employment in the field service (not to exceed 60 days) as a result of emergencies: Provided further, That this section does not apply to the employment as Wildland firefighters for not more than 120 days of non-resident aliens employed by the Department of the Interior or the USDA Forest Service pursuant to an agreement with another country.

SEC. 705. Appropriations available to any department or agency during the current fiscal year for necessary expenses, including maintenance or

operating expenses, shall also be available for payment to the General Services Administration for charges for space and services and those expenses of renovation and alteration of buildings and facilities which constitute public improvements performed in accordance with the Public Buildings Act of 1959 (73 Stat. 479), the Public Buildings Amendments of 1972 (86 Stat. 216), or other applicable law.

SEC. 706. In addition to funds provided in this or any other Act, all Federal agencies are authorized to receive and use funds resulting from the sale of materials, including Federal records disposed of pursuant to a records schedule recovered through recycling or waste prevention programs. Such funds shall be available until expended for the following purposes:

(1) Acquisition, waste reduction and prevention, and recycling programs as described in Executive Order No. 13423 (January 24, 2007), including any such programs adopted prior to the effective date of the Executive order.

(2) Other Federal agency environmental management programs, including, but not limited to, the development and implementation of hazardous waste management and pollution prevention programs.

(3) Other employee programs as authorized by law or as deemed appropriate by the head of the Federal agency.

SEC. 707. Funds made available by this or any other Act for administrative expenses in the current fiscal year of the corporations and agencies subject to chapter 91 of title 31, United States Code, shall be available, in addition to objects for which such funds are otherwise available, for rent in the District of Columbia; services in accordance with 5 U.S.C. 3109; and the objects specified under this head, all the provisions of which shall be applicable to the expenditure of such funds unless otherwise specified in the Act by which they are made available: Provided, That in the event any functions budgeted as administrative expenses are subsequently transferred to or paid from other funds, the limitations on administrative expenses shall be correspondingly reduced.

SEC. 708. No part of any appropriation contained in this or any other Act shall be available for interagency financing of boards (except Federal Executive Boards), commissions, councils, committees, or similar groups (whether or not they are interagency entities) which do not have a prior and specific statutory approval to receive financial support from more than one agency or instrumentality.

SEC. 709. None of the funds made available pursuant to the provisions of this Act shall be used to implement, administer, or enforce any regulation which has been disapproved pursuant to a joint resolution duly adopted in accordance with the applicable law of the United States.

SEC. 710. During the period in which the head of any department or agency, or any other officer or civilian employee of the Federal Government appointed by the President of the United States, holds office, no funds may be obligated or expended in excess of \$5,000 to furnish or redecorate the office of such department head, agency head, officer, or employee, or to purchase furniture or make improvements for any such office, unless advance notice of such furnishing or redecoration is transmitted to the Committees on Appropriations of the House of Representatives and the Senate. For the purposes of this section, the term "office" shall include the entire suite of offices assigned to the individual, as well as any other space used primarily by the individual or the use of which is directly controlled by the individual.

SEC. 711. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of national security and emergency preparedness telecommunications initiatives which benefit multiple Federal departments, agencies, or entities, as provided by Executive Order No. 13618 (July 6, 2012).

SEC. 712. (a) None of the funds appropriated by this or any other Act may be obligated or expended by any Federal department, agency, or other instrumentality for the salaries or expenses of any employee appointed to a position of a confidential or policy-determining character excepted from the competitive service pursuant to 5 U.S.C. 3302, without a certification to the Office of Personnel Management from the head of the Federal department, agency, or other instrumentality employing the Schedule C appointee that the Schedule C position was not created solely or primarily in order to detail the employee to the White House.

(b) The provisions of this section shall not apply to Federal employees or members of the armed forces detailed to or from—

(1) the Central Intelligence Agency;

(2) the National Security Agency;

(3) the Defense Intelligence Agency;

(4) the National Geospatial-Intelligence Agency;

(5) the offices within the Department of Defense for the collection of specialized national foreign intelligence through reconnaissance programs;

(6) the Bureau of Intelligence and Research of the Department of State;

(7) any agency, office, or unit of the Army, Navy, Air Force, or Marine Corps, the Department of Homeland Security, the Federal Bureau of Investigation or the Drug Enforcement Administration of the Department of Justice, the Department of Transportation, the Department of the Treasury, or the Department of Energy performing intelligence functions; or

(8) the Director of National Intelligence or the Office of the Director of National Intelligence.

SEC. 713. None of the funds appropriated by this or any other Act may be used by an agency to provide a Federal employee's home address to any labor organization except when the employee has authorized such disclosure or when such disclosure has been ordered by a court of competent jurisdiction.

SEC. 714. (a) In this section, the term "agency"—

(1) means an Executive agency, as defined under 5 U.S.C. 105; and

(2) includes a military department, as defined under section 102 of such title, the Postal Service, and the Postal Regulatory Commission.

(b) Unless authorized in accordance with law or regulations to use such time for other purposes, an employee of an agency shall use official time in an honest effort to perform official duties. An employee not under a leave system, including a Presidential appointee exempted under 5 U.S.C. 6301(2), has an obligation to expend an honest effort and a reasonable proportion of such employee's time in the performance of official duties.

SEC. 715. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, funds made available for the current fiscal year by this or any other Act to any department or agency, which is a member of the Federal Accounting Standards Advisory Board (FASAB), shall be available to finance an appropriate share of FASAB administrative costs.

SEC. 716. Notwithstanding 31 U.S.C. 1346 and section 708 of this Act, the head of each Executive department and agency is hereby authorized to transfer to or reimburse "General Services Administration, Government-wide Policy" with the approval of the Director of the Office of Management and Budget, funds made available for the current fiscal year by this or any other Act, including rebates from charge card and other contracts: Provided, That these funds shall be administered by the Administrator of General Services to support Government-wide and other multi-agency financial, information technology, procurement, and other management innovations, initiatives, and activities, as approved by the Director of the Office of Management and Budget, in consultation with the appropriate interagency and multi-agency groups designated by the Director (including the President's Management Council for overall management improvement initiatives, the Chief Financial Officers Council for financial management initiatives, the Chief Information Officers Council for information technology initiatives, the Chief Human Capital Officers Council for human capital initiatives, the Chief Acquisition Officers Council for procurement initiatives, and the Performance Improvement Council for performance improvement initiatives): Provided further, That the total funds transferred or reimbursed shall not exceed \$17,000,000 for Government-Wide innovations, initiatives, and activities: Provided further, That the funds transferred to or for reimbursement of "General Services Administration, Government-wide Policy" during fiscal year 2014 shall remain available for obligation through September 30, 2015: Provided further, That such transfers or reimbursements may only be made after 15 days following notification of the Committees on Appropriations by the Director of the Office of Management and Budget.

SEC. 717. Notwithstanding any other provision of law, a woman may breastfeed her child at any location in a Federal building or on Federal property, if the woman and her child are otherwise authorized to be present at the location.

SEC. 718. Notwithstanding 31 U.S.C. 1346, or section 708 of this Act, funds made available for the current fiscal year by this or any other Act shall be available for the interagency funding of specific projects, workshops, studies, and similar efforts to carry out the purposes of the National Science and Technology Council (authorized by Executive Order No. 12881), which benefit multiple Federal departments, agencies, or entities: Provided, That the Office of Management and Budget shall provide a report describing the budget of and resources connected with the National Science and Technology Council to the Committees on Appropriations, the House Committee on Science and Technology, and the Senate Committee on Commerce, Science, and Transportation 90 days after enactment of this Act.

SEC. 719. Any request for proposals, solicitation, grant application, form, notification, press release, or other publications involving the distribution of Federal funds shall indicate the agency providing the funds, the Catalog of Federal Domestic Assistance Number, as applicable, and the amount provided: Provided, That this provision shall apply to direct payments, formula funds, and grants received by a State receiving Federal funds.

SEC. 720. (a) PROHIBITION OF FEDERAL AGENCY MONITORING OF INDIVIDUALS' INTERNET USE.—None of the funds made available in this or any other Act may be used by any Federal agency—

(1) to collect, review, or create any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any Federal Government Internet site of the agency; or

(2) to enter into any agreement with a third party (including another government agency) to collect, review, or obtain any aggregation of data, derived from any means, that includes any personally identifiable information relating to an individual's access to or use of any nongovernmental Internet site.

(b) EXCEPTIONS.—The limitations established in subsection (a) shall not apply to—

(1) any record of aggregate data that does not identify particular persons;

(2) any voluntary submission of personally identifiable information;

(3) any action taken for law enforcement, regulatory, or supervisory purposes, in accordance with applicable law; or

(4) any action described in subsection (a)(1) that is a system security action taken by the operator of an Internet site and is necessarily incident to providing the Internet site services or to protecting the rights or property of the provider of the Internet site.

(c) DEFINITIONS.—For the purposes of this section:

(1) The term "regulatory" means agency actions to implement, interpret or enforce authorities provided in law.

(2) The term "supervisory" means examinations of the agency's supervised institutions, including assessing safety and soundness, overall financial condition, management practices and policies and compliance with applicable standards as provided in law.

SEC. 721. (a) None of the funds appropriated by this Act may be used to enter into or renew a contract which includes a provision providing prescription drug coverage, except where the contract also includes a provision for contraceptive coverage.

(b) Nothing in this section shall apply to a contract with—

(1) any of the following religious plans:

(A) Personal Care's HMO; and

(B) OSF HealthPlans, Inc.; and

(2) any existing or future plan, if the carrier for the plan objects to such coverage on the basis of religious beliefs.

(c) In implementing this section, any plan that enters into or renews a contract under this section may not subject any individual to discrimination on the basis that the individual refuses to prescribe or otherwise provide for contraceptives because such activities would be contrary to the individual's religious beliefs or moral convictions.

(d) Nothing in this section shall be construed to require coverage of abortion or abortion-related services.

SEC. 722. The United States is committed to ensuring the health of its Olympic, Pan American, and Paralympic athletes, and supports the strict adherence to anti-doping in sport through testing, adjudication, education, and research as performed by nationally recognized oversight authorities.

SEC. 723. Notwithstanding any other provision of law, funds appropriated for official travel by Federal departments and agencies may be used

by such departments and agencies, if consistent with Office of Management and Budget Circular A-126 regarding official travel for Government personnel, to participate in the fractional aircraft ownership pilot program.

SEC. 724. Notwithstanding any other provision of law, none of the funds appropriated or made available under this Act or any other appropriations Act may be used to implement or enforce restrictions or limitations on the Coast Guard Congressional Fellowship Program, or to implement the proposed regulations of the Office of Personnel Management to add sections 300.311 through 300.316 to part 300 of title 5 of the Code of Federal Regulations, published in the Federal Register, volume 68, number 174, on September 9, 2003 (relating to the detail of executive branch employees to the legislative branch).

SEC. 725. Notwithstanding any other provision of law, no executive branch agency shall purchase, construct, and/or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without advance notice to the Committees on Appropriations of the House of Representatives and the Senate, except that the Federal Law Enforcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training which cannot be accommodated in existing Center facilities.

SEC. 726. None of the funds appropriated or otherwise made available by this or any other Act may be used to begin or announce a study or public-private competition regarding the conversion to contractor performance of any function performed by Federal employees pursuant to Office of Management and Budget Circular A-76 or any other administrative regulation, directive, or policy.

SEC. 727. Unless otherwise authorized by existing law, none of the funds provided in this Act or any other Act may be used by an executive branch agency to produce any prepackaged news story intended for broadcast or distribution in the United States, unless the story includes a clear notification within the text or audio of the prepackaged news story that the prepackaged news story was prepared or funded by that executive branch agency.

SEC. 728. Each executive department and agency shall evaluate the creditworthiness of an individual before issuing the individual a government travel charge card. Such evaluations for individually billed travel charge cards shall include an assessment of the individual's consumer report from a consumer reporting agency as those terms are defined in section 603 of the Fair Credit Reporting Act (Public Law 91-508): Provided, That the department or agency may not issue a government travel charge card to an individual that either lacks a credit history or is found to have an unsatisfactory credit history as a result of this evaluation: Provided further, That this restriction shall not preclude issuance of a restricted-use charge, debit, or stored value card made in accordance with agency procedures to: (1) an individual with an unsatisfactory credit history where such card is used to pay travel expenses and the agency determines there is no suitable alternative payment mechanism available before issuing the card; or (2) an individual who lacks a credit history. Each executive department and agency shall establish guidelines and procedures for disciplinary actions to be taken against agency personnel for improper, fraudulent, or abusive use of government charge cards, which shall include appropriate disciplinary actions for use of charge cards for purposes, and at establishments, that are inconsistent with the official business of the Department or agency or with applicable standards of conduct.

SEC. 729. (a) IN GENERAL.—None of the funds appropriated or otherwise made available by this or any other Act may be used for any Federal Government contract with any foreign incorporated entity which is treated as an inverted domestic corporation under section 835(b) of the Homeland Security Act of 2002 (6 U.S.C. 395(b)) or any subsidiary of such an entity.

(b) WAIVERS.—

(1) IN GENERAL.—Any Secretary shall waive subsection (a) with respect to any Federal Government contract under the authority of such Secretary if the Secretary determines that the waiver is required in the interest of national security.

(2) REPORT TO CONGRESS.—Any Secretary issuing a waiver under paragraph (1) shall report such issuance to Congress.

(c) EXCEPTION.—This section shall not apply to any Federal Government contract entered into before the date of the enactment of this Act, or to any task order issued pursuant to such contract.

SEC. 730. None of the funds made available by this or any other Act may be used to implement, administer, enforce, or apply the rule entitled

"Competitive Area" published by the Office of Personnel Management in the Federal Register on April 15, 2008 (73 Fed. Reg. 20180 et seq.).

SEC. 731. During fiscal year 2014, for each employee who—

(1) retires under section 8336(d)(2) or 8414(b)(1)(B) of title 5, United States Code, or

(2) retires under any other provision of subchapter III of chapter 83 or chapter 84 of such title 5 and receives a payment as an incentive to separate, the separating agency shall remit to the Civil Service Retirement and Disability Fund an amount equal to the Office of Personnel Management's average unit cost of processing a retirement claim for the preceding fiscal year. Such amounts shall be available until expended to the Office of Personnel Management and shall be deemed to be an administrative expense under section 8348(a)(1)(B) of title 5, United States Code.

SEC. 732. (a) The Vice President may not receive a pay raise in calendar year 2013, notwithstanding section 104 of title 3, United States Code, or any other provision of law.

(b) An individual serving in an Executive Schedule position, or in a position for which the rate of pay is fixed by statute at an Executive Schedule rate, may not receive a pay rate increase in calendar year 2014, notwithstanding schedule adjustments made under section 5318 of title 5, United States Code, or any other provision of law, except as provided in subsection (g) or (h). The preceding sentence applies only to individuals who are holding a position in which they serve at the pleasure of the President or other appointing official.

(c) A chief of mission or ambassador at large may not receive a pay rate increase in calendar year 2014, notwithstanding section 401 of the Foreign Service Act of 1980 (Public Law 96-465) or any other provision of law, except as provided in subsection (g) or (h).

(d) A noncareer appointee in the Senior Executive Service may not receive a pay rate increase in calendar year 2014, notwithstanding sections 5382 and 5383 of title 5, United States Code.

(e) Any employee paid a rate of basic pay (including locality-based payments under section 5304 of title 5, United States Code, or similar authority) at or above level IV of the Executive Schedule who serves at the pleasure of the appointing official may not receive a pay rate increase in calendar year 2014, notwithstanding any other provision of law, except as provided in subsection (g) or (h). This subsection does not apply to employees in the General Schedule pay system or the Foreign Service pay system, or to employees appointed under 5 U.S.C. 3161, or to employees in another pay system whose position would be classified at GS-15 or below if chapter 51 of title 5, United States Code, applied to them.

(f) Nothing in this section shall prevent employees who do not serve at the pleasure of the appointing official from receiving pay increases as otherwise provided under applicable law.

(g) A career appointee in the Senior Executive Service who receives a Presidential appointment and who makes an election to retain Senior Executive Service basic pay entitlements under section 3392 of title 5, United States Code, is not subject to this section.

(h) A member of Senior Foreign Service who receives a Presidential appointment to any position in the executive branch and who makes an election to retain Senior Foreign Service pay entitlements under section 302(b) of the Foreign Service Act of 1980 (Public Law 96-465) is not subject to this section.

SEC. 733. (a) Notwithstanding any other provision of law, and except as otherwise provided in this section, no part of any of the funds appropriated for fiscal year 2014, by this or any other Act, may be used to pay any prevailing rate employee described in section 5342(a)(2)(A) of title 5, United States Code—

(1) during the period from the date of expiration of the limitation imposed by the comparable section for previous fiscal years until the normal effective date of the applicable wage survey adjustment that is to take effect in fiscal year 2014, in an amount that exceeds the rate payable for the applicable grade and step of the applicable wage schedule in accordance with such section; and

(2) during the period consisting of the remainder of fiscal year 2014, in an amount that exceeds, as a result of a wage survey adjustment, the rate payable under paragraph (1) by more than the sum of

(A) the percentage adjustment taking effect in fiscal year 2014 under section 5303 of title 5, United States Code, in the rates of pay under the General Schedule; and

(B) the difference between the overall average percentage of the locality-based comparability payments taking effect in fiscal year 2014 under section 5304 of such title (whether by adjustment or otherwise), and the overall average percentage of such payments which was effective in the previous fiscal year under such section.

(b) Notwithstanding any other provision of law, no prevailing rate employee described in subparagraph (B) or (C) of section 5342(a)(2) of title 5, United States Code, and no employee covered by section 5348 of such title, may be paid during the periods for which subsection (a) is in effect at a rate that exceeds the rates that would be payable under subsection (a) were subsection (a) applicable to such employee.

(c) For the purposes of this section, the rates payable to an employee who is covered by this section and who is paid from a schedule not in existence on September 30, 2013, shall be determined under regulations prescribed by the Office of Personnel Management.

(d) Notwithstanding any other provision of law, rates of premium pay for employees subject to this section may not be changed from the rates in effect on September 30, 2013, except to the extent determined by the Office of Personnel Management to be consistent with the purpose of this section.

(e) This section shall apply with respect to pay for service performed after September 30, 2013.

(f) For the purpose of administering any provision of law (including any rule or regulation that provides premium pay, retirement, life insurance, or any other employee benefit) that requires any deduction or contribution, or that imposes any requirement or limitation on the basis of a rate of salary or basic pay, the rate of salary or basic pay payable after the application of this section shall be treated as the rate of salary or basic pay.

(g) Nothing in this section shall be considered to permit or require the payment to any employee covered by this section at a rate in excess of the rate that would be payable were this section not in effect.

(h) The Office of Personnel Management may provide for exceptions to the limitations imposed by this section if the Office determines that such exceptions are necessary to ensure the recruitment or retention of qualified employees.

SEC. 734. (a) Funds made available and used for Pay for Success projects in this or any other Act:

(1) shall support performance-based awards that—

(A) are designed to—

(i) promote innovative strategies to reduce the aggregate level of government investment needed to achieve successful outcomes; and

(ii) impose minimal administrative requirements on service providers, so as to allow for maximum flexibility to improve efficiency and effectiveness;

(B) are between the agency administering the appropriated funds and—

(i) a not-for-profit or other entity that is a provider of services;

(ii) a not-for-profit or other entity that arranges for the provision of services; or

(iii) a State, local, or tribal government that is providing services or contracting for the provision of services;

(C) specify—

(i) that the administering agency will disburse funds to the recipient of the award upon a determination by such agency that one or more outcomes (as specified in the award) have been achieved, and that such determination shall be made through the use of reliable, objective outcome-measurement methodologies that are set forth in the award;

(ii) the affected population, the outcomes to be achieved, and the reliable, objective outcome-measurement methodologies that will

be used to determine whether the specified outcomes have been achieved;

(D) where appropriate, are accompanied by waivers of non statutory administrative requirements; and

(E) are issued and administered by an agency under any existing authority (such as in the form of a grant, cooperative agreement, or other type of assistance), based on a pre-award assessment by the agency, taking into account the amount of the proposed award, the likelihood of achieving the specified outcomes, the anticipated return on investment, and other relevant factors;

(2) may be used for the expenses of a neutral evaluation of outcome measures, outcome-measurement methodologies, or achievement of outcomes under a Pay for Success project or other expenses that support achievement of outcomes; and

(3) shall, upon obligation, remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552.

(A) If later deobligated, in whole or in part, such deobligated amounts shall be available until expended for obligation for new Pay for Success projects, except to the extent that this or any other Act specifies another purpose for such deobligated amounts

(B) The Director of the Office of Management and Budget shall issue guidance to Federal agencies on carrying out Pay for Success projects.

SEC. 735. The Director of the Office of Management and Budget shall report on at least a quarterly basis to the Committees on Appropriations of the House of Representatives and Senate on the status of unexpired, unobligated balances of budget authority in executive branch agencies: Provided, That the Director shall submit the reports not later than 30 days after the end of a fiscal-year quarter: Provided further, That the reports shall, to the extent practicable, separately identify unexpired, unobligated balances of budget authority for discretionary appropriations and direct spending, as those terms are defined in section 250(c)(7) and (8) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended (2 U.S.C. 900(c)(7), (8)): Provided further, That, with respect to unexpired, unobligated balances of budget authority for discretionary appropriations, the reports shall, to the extent practicable, separately identify (1) those balances that are available to fund reimbursable obligations as defined by OMB Circular No. A-11; and (2) all other balances of discretionary budget authority: Provided further, That each agency shall submit to the Director such information as the Director requires for these reports, at such time and in such manner as the Director shall specify.

SEC. 736. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that has any unpaid Federal tax liability that has been assessed for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless a federal agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 737. None of the funds made available by this or any other Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless a federal agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

# LEGISLATIVE BRANCH

## SENATE

### Federal Funds

#### EXPENSE ALLOWANCES

*For expense allowances of the Vice President, \$18,760; the President Pro Tempore of the Senate, \$37,520; Majority Leader of the Senate, \$39,920; Minority Leader of the Senate, \$39,920; Majority Whip of the Senate, \$9,980; Minority Whip of the Senate, \$9,980; Chairmen of the Majority and Minority Conference Committees, \$4,690 for each Chairman; and Chairmen of the Majority and Minority Policy Committees, \$4,690 for each Chairman; in all, \$174,840.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### REPRESENTATION ALLOWANCES FOR THE MAJORITY AND MINORITY LEADERS

*For representation allowances of the Majority and Minority Leaders of the Senate, \$14,070 for each such Leader; in all, \$28,140.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### SALARIES, OFFICERS AND EMPLOYEES

*For compensation of officers, employees, and others as authorized by law, including agency contributions, \$183,642,590, which shall be paid from this appropriation without regard to the following limitations:*

##### OFFICE OF THE VICE PRESIDENT

*For the Office of the Vice President, \$2,414,248.*

##### OFFICE OF THE PRESIDENT PRO TEMPORE

*For the Office of the President Pro Tempore, \$722,466.*

##### OFFICES OF THE MAJORITY AND MINORITY LEADERS

*For Offices of the Majority and Minority Leaders, \$5,201,576.*

##### OFFICES OF THE MAJORITY AND MINORITY WHIPS

*For Offices of the Majority and Minority Whips, \$3,359,424.*

##### COMMITTEE ON APPROPRIATIONS

*For salaries of the Committee on Appropriations, \$15,140,000.*

##### CONFERENCE COMMITTEES

*For the Conference of the Majority and the Conference of the Minority, at rates of compensation to be fixed by the Chairman of each such committee, \$1,658,195 for each such committee; in all, \$3,316,390.*

##### OFFICES OF THE SECRETARIES OF THE CONFERENCE OF THE MAJORITY AND THE CONFERENCE OF THE MINORITY

*For Offices of the Secretaries of the Conference of the Majority and the Conference of the Minority, \$813,402.*

##### POLICY COMMITTEES

*For salaries of the Majority Policy Committee and the Minority Policy Committee, \$1,692,905 for each such committee; in all, \$3,385,810.*

##### OFFICE OF THE CHAPLAIN

*For Office of the Chaplain, \$416,886.*

##### OFFICE OF THE SECRETARY

*For Office of the Secretary, \$25,153,000.*

##### OFFICE OF THE SERGEANT AT ARMS AND DOORKEEPER

*For Office of the Sergeant at Arms and Doorkeeper, \$75,157,000.*

#### OFFICES OF THE SECRETARIES FOR THE MAJORITY AND MINORITY

*For Offices of the Secretary for the Majority and the Secretary for the Minority, \$1,764,388.*

#### AGENCY CONTRIBUTIONS AND RELATED EXPENSES

*For agency contributions for employee benefits, as authorized by law, and related expenses, \$46,798,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### OFFICE OF THE LEGISLATIVE COUNSEL OF THE SENATE

*For salaries and expenses of the Office of the Legislative Counsel of the Senate, \$7,150,300.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### OFFICE OF SENATE LEGAL COUNSEL

*For salaries and expenses of the Office of Senate Legal Counsel, \$1,480,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### EXPENSE ALLOWANCES OF THE SECRETARY OF THE SENATE, SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE, AND SECRETARIES FOR THE MAJORITY AND MINORITY OF THE SENATE

*For expense allowances of the Secretary of the Senate, \$7,110; Sergeant at Arms and Doorkeeper of the Senate, \$7,110; Secretary for the Majority of the Senate, \$7,110; Secretary for the Minority of the Senate, \$7,110; in all, \$28,440.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### CONTINGENT EXPENSES OF THE SENATE

##### INQUIRIES AND INVESTIGATIONS

*For expenses of inquiries and investigations ordered by the Senate, or conducted under paragraph 1 of rule XXVI of the Standing Rules of the Senate, section 112 of the Supplemental Appropriations and Rescission Act, 1980 (Public Law 96–304), and Senate Resolution 281, 96th Congress, agreed to March 11, 1980, \$134,000,000, of which \$27,188,600 shall be available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### EXPENSES OF THE UNITED STATES SENATE CAUCUS ON INTERNATIONAL NARCOTICS CONTROL

*For expenses of the United States Senate Caucus on International Narcotics Control, \$520,000.*

EXPENSES OF THE UNITED STATES SENATE CAUCUS ON INTERNATIONAL  
NARCOTICS CONTROL—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## SECRETARY OF THE SENATE

*For expenses of the Office of the Secretary of the Senate \$5,816,344 of which \$4,200,000 shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## SERGEANT AT ARMS AND DOORKEEPER OF THE SENATE

*For expenses of the Office of the Sergeant at Arms and Doorkeeper of the Senate, \$145,240,000, which shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## MISCELLANEOUS ITEMS

*For miscellaneous items, \$19,553,000, which shall remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## SENATORS' OFFICIAL PERSONNEL AND OFFICE EXPENSE ACCOUNT

*For Senators' Official Personnel and Office Expense Account, \$394,202,000 of which \$18,764,015 shall remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## OFFICIAL MAIL COSTS

*For expenses necessary for official mail costs of the Senate, \$300,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## HOUSE OF REPRESENTATIVES

## Federal Funds

## SALARIES AND EXPENSES

*For salaries and expenses of the House of Representatives, \$1,233,181,161, as follows:*

## HOUSE LEADERSHIP OFFICES

*For salaries and expenses, as authorized by law, \$23,418,221, including: Office of the Speaker, \$6,985,260, including \$25,000 for official expenses of the Speaker; Office of the Majority Floor Leader, \$2,291,534, including*

*\$10,000 for official expenses of the Majority Leader; Office of the Minority Floor Leader, \$7,478,301, including \$10,000 for official expenses of the Minority Leader; Office of the Majority Whip, including the Chief Deputy Majority Whip, \$1,983,113, including \$5,000 for official expenses of the Majority Whip; Office of the Minority Whip, including the Chief Deputy Minority Whip, \$1,534,284, including \$5,000 for official expenses of the Minority Whip; Republican Conference, \$1,582,413; Democratic Caucus, \$1,563,316: Provided, That such amount for salaries and expenses shall remain available from January 3, 2014, until January 2, 2015.*

## MEMBERS' REPRESENTATIONAL ALLOWANCES

INCLUDING MEMBERS' CLERK HIRE, OFFICIAL EXPENSES OF MEMBERS, AND OFFICIAL MAIL

*For Members' representational allowances, including Members' clerk hire, official expenses, and official mail, \$577,451,791.*

## COMMITTEE EMPLOYEES

## STANDING COMMITTEES, SPECIAL AND SELECT

*For salaries and expenses of standing committees, special and select, authorized by House resolutions, \$126,735,775: Provided, That such amount shall remain available for such salaries and expenses until December 31, 2014.*

## COMMITTEE ON APPROPRIATIONS

*For salaries and expenses of the Committee on Appropriations, \$26,828,980, including studies and examinations of executive agencies and temporary personal services for such committee, to be expended in accordance with section 202(b) of the Legislative Reorganization Act of 1946 and to be available for reimbursement to agencies for services performed: Provided, That such amount shall remain available for such salaries and expenses until December 31, 2014.*

## SALARIES, OFFICERS AND EMPLOYEES

*For salaries and expenses of officers and employees, as authorized by law, \$184,570,047, including: for salaries and expenses of the Office of the Clerk, including the positions of the Chaplain and the Historian, and including not more than \$23,000, of which not more than \$20,000 is for the Family Room, for official representation and reception expenses, \$24,009,473; for salaries and expenses of the Office of the Sergeant at Arms, including the position of Superintendent of Garages and the Office of Emergency Management, and including not more than \$3,000 for official representation and reception expenses, \$12,662,020 of which \$4,472,203 shall remain available until expended; for salaries and expenses of the Office of the Chief Administrative Officer including not more than \$3,000 for official representation and reception expenses, \$123,557,834, of which \$9,110,095 shall remain available until expended; for salaries and expenses of the Office of the Inspector General, \$4,741,809; for salaries and expenses of the Office of General Counsel, \$1,423,660; for salaries and expenses of the Office of the Parliamentarian, including the Parliamentarian, \$2,000 for preparing the Digest of Rules, and not more than \$1,000 for official representation and reception expenses, \$2,072,607; for salaries and expenses of the Office of the Law Revision Counsel of the House, \$5,069,043; for salaries and expenses of the Office of the Legislative Counsel of the House, \$9,727,702; for salaries and expenses of the Office of Interparliamentary Affairs, \$864,257; and for other authorized employees, \$441,642.*

## ALLOWANCES AND EXPENSES

*For allowances and expenses as authorized by House resolution or law, \$294,176,347, including: supplies, materials, administrative costs and Federal tort claims, \$3,718,738; official mail for committees, leadership offices, and administrative offices of the House, \$202,230; Government contributions for health, retirement, Social Security, and other applicable employee benefits, \$266,469,087; Business Continuity and Disaster Recovery, \$17,216,798, of which \$5,000,000 shall remain available until expended; transition activities for new members and staff, \$1,732,069, to remain available until expended; Wounded Warrior Program \$2,515,300, to remain available until expended; Office of Congressional Ethics, \$1,557,474; and miscellaneous items including purchase, exchange, maintenance, repair and operation of House motor vehicles, interparliamentary receptions, and gratuities to heirs of deceased employees of the House, \$764,651.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is oper-

ating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### ADMINISTRATIVE PROVISIONS

**SEC. 101. (a) REQUIRING AMOUNTS REMAINING IN MEMBERS' REPRESENTATIONAL ALLOWANCES TO BE USED FOR DEFICIT REDUCTION OR TO REDUCE THE FEDERAL DEBT.**—Notwithstanding any other provision of law, any amounts appropriated under this Act for "HOUSE OF REPRESENTATIVES—SALARIES AND EXPENSES—MEMBERS' REPRESENTATIONAL ALLOWANCES" shall be available only for fiscal year 2014. Any amount remaining after all payments are made under such allowances for fiscal year 2014 shall be deposited in the Treasury and used for deficit reduction (or, if there is no Federal budget deficit after all such payments have been made, for reducing the Federal debt, in such manner as the Secretary of the Treasury considers appropriate).

(b) **REGULATIONS.**—The Committee on House Administration of the House of Representatives shall have authority to prescribe regulations to carry out this section.

(c) **DEFINITION.**—As used in this section, the term "Member of the House of Representatives" means a Representative in, or a Delegate or Resident Commissioner to, the Congress.

**Sec. 102. Termination of House of Representatives Child Care Center Advisory Board.** Section 312 of the Legislative Branch Appropriations Act, 1992 (2 U.S.C 2062) is amended by striking subsection (b).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### JOINT ITEMS

#### Federal Funds

For Joint Committees, as follows:

##### JOINT ECONOMIC COMMITTEE

For salaries and expenses of the Joint Economic Committee, \$4,279,000, to be disbursed by the Secretary of the Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### JOINT COMMITTEE ON TAXATION

For salaries and expenses of the Joint Committee on Taxation, \$10,065,224, to be disbursed by the Chief Administrative Officer of the House of Representatives.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

For other joint items, as follows:

##### OFFICE OF THE ATTENDING PHYSICIAN

For medical supplies, equipment, and contingent expenses of the emergency rooms, and for the Attending Physician and his assistants, including: (1) an allowance of \$2,175 per month to the Attending Physician; (2) an allowance of \$1,300 per month to the Senior Medical Officer; (3) an allowance of \$725 per month each to three medical officers while on duty in the Office of the Attending Physician; (4) an allowance of \$725 per month to 2 assistants and \$580 per month each not to exceed 11 assistants on the basis heretofore provided for such assistants; and (5) \$2,625,000 for reimbursement to the Department of the Navy for expenses incurred for staff and equipment assigned to the Office of the Attending Physician, which shall be advanced and credited to the applicable appropriation or

appropriations from which such salaries, allowances, and other expenses are payable and shall be available for all the purposes thereof, \$3,420,808, to be disbursed by the Chief Administrative Officer of the House of Representatives.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### OFFICE OF CONGRESSIONAL ACCESSIBILITY SERVICES

##### SALARIES AND EXPENSES

For salaries and expenses of the Office of Congressional Accessibility Services, \$1,387,000, to be disbursed by the Secretary of the Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### CAPITOL POLICE

#### Federal Funds

##### SALARIES

For salaries of employees of the Capitol Police, including overtime, hazardous duty pay, and Government contributions for health, retirement, social security, professional liability insurance, and other applicable employee benefits, \$297,863,000, to be disbursed by the Chief of the Capitol Police or his designee.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 02-0477-0-1-801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 277         | 279     | 298       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 277         | 279     | 298       |
| 1121 Appropriations transferred from other accts [02-0476] .... | 4           |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 281         | 279     | 298       |
| 1930 Total budgetary resources available .....                  | 281         | 279     | 298       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | -4          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 20          | 20      | 20        |
| 3010 Obligations incurred, unexpired accounts .....             | 277         | 279     | 298       |
| 3011 Obligations incurred, expired accounts .....               | 2           |         |           |
| 3020 Outlays (gross) .....                                      | -279        | -279    | -297      |
| 3050 Unpaid obligations, end of year .....                      | 20          | 20      | 21        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 20          | 20      | 20        |
| 3200 Obligated balance, end of year .....                       | 20          | 20      | 21        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 281         | 279     | 298       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 260         | 259     | 277       |
| 4011 Outlays from discretionary balances .....                  | 19          | 20      | 20        |
| 4020 Outlays, gross (total) .....                               | 279         | 279     | 297       |
| 4180 Budget authority, net (total) .....                        | 281         | 279     | 298       |
| 4190 Outlays, net (total) .....                                 | 279         | 279     | 297       |



**SALARIES—Continued**  
**Object Classification** (in millions of dollars)

| Identification code 02-0477-0-1-801                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 210         | 213     | 227       |
| 12.1 Civilian personnel benefits .....                 | 67          | 66      | 71        |
| 99.9 Total new obligations .....                       | 277         | 279     | 298       |

**Employment Summary**

| Identification code 02-0477-0-1-801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,131       | 2,145   | 2,145     |

**GENERAL EXPENSES**

*For necessary expenses of the Capitol Police, including motor vehicles, communications and other equipment, security equipment and installation, uniforms, weapons, supplies, materials, training, medical services, forensic services, stenographic services, personal and professional services, the employee assistance program, the awards program, postage, communication services, travel advances, relocation of instructor and liaison personnel for the Federal Law Enforcement Training Center, and not more than \$5,000 to be expended on the certification of the Chief of the Capitol Police in connection with official representation and reception expenses, \$65,433,000, to be disbursed by the Chief of the Capitol Police or his designee: Provided, That, notwithstanding any other provision of law, the cost of basic training for the Capitol Police at the Federal Law Enforcement Training Center for fiscal year 2014 shall be paid by the Secretary of Homeland Security from funds available to the Department of Homeland Security.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 02-0476-0-1-801                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 61          | 63      | 65        |
| 0801 Reimbursable program activity .....                           | 16          |         |           |
| 0900 Total new obligations .....                                   | 77          | 63      | 65        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 12          | 9       | 9         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 63          | 63      | 65        |
| 1120 Appropriations transferred to other accts [02-0477] .....     | -4          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 59          | 63      | 65        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 16          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 16          |         |           |
| 1900 Budget authority (total) .....                                | 75          | 63      | 65        |
| 1930 Total budgetary resources available .....                     | 87          | 72      | 74        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 9           | 9       | 9         |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 46  | 51  | 53  |
| 3010 Obligations incurred, unexpired accounts .....             | 77  | 63  | 65  |
| 3020 Outlays (gross) .....                                      | -70 | -61 | -84 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -2  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 51  | 53  | 34  |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 46  | 51  | 53  |

|   |     |    |    |
|---|-----|----|----|
| 3200 Obligated balance, end of year .....           | 51  | 53 | 34 |
| <b>Budget authority and outlays, net:</b>           |     |    |    |
| Discretionary:                                      |     |    |    |
| 4000 Budget authority, gross .....                  | 75  | 63 | 65 |
| Outlays, gross:                                     |     |    |    |
| 4010 Outlays from new discretionary authority ..... | 29  | 38 | 39 |
| 4011 Outlays from discretionary balances .....      | 41  | 23 | 45 |
| 4020 Outlays, gross (total) .....                   | 70  | 61 | 84 |
| Offsets against gross budget authority and outlays: |     |    |    |
| Offsetting collections (collected) from:            |     |    |    |
| 4030 Federal sources .....                          | -16 |    |    |
| 4180 Budget authority, net (total) .....            | 59  | 63 | 65 |
| 4190 Outlays, net (total) .....                     | 54  | 61 | 84 |

**Object Classification** (in millions of dollars)

| Identification code 02-0476-0-1-801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 21.0 Travel and transportation of persons .....                 | 11          | 9       | 10        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 3       | 5         |
| 25.2 Other services from non-Federal sources .....              | 27          | 39      | 32        |
| 26.0 Supplies and materials .....                               | 3           | 4       | 6         |
| 31.0 Equipment .....  | 17          | 8       | 12        |
| 99.0 Direct obligations .....                                   | 61          | 63      | 65        |
| 99.0 Reimbursable obligations .....                             | 16          |         |           |
| 99.9 Total new obligations .....                                | 77          | 63      | 65        |

**SECURITY ENHANCEMENTS**

**Program and Financing** (in millions of dollars)

| Identification code 02-0461-0-1-801                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

**ADMINISTRATIVE PROVISIONS**

(INCLUDING TRANSFER OF FUNDS)

*SEC. 1101. During fiscal year 2014 and any succeeding fiscal year, the Capitol Police may transfer amounts appropriated for the fiscal year between the category for "Salaries" and the category for "General expenses" upon the approval of the Committees on Appropriations of the House of Representatives and the Senate.*

*SEC. 1102. (a) In General. Available balances of expired United States Capitol Police appropriations shall be available to the Capitol Police to make the deposit to the credit of the Employees' Compensation Fund required by section 8147(b) of title 5, United States Code.*

*(b) Conforming Amendment. Section 1018 of the Legislative Branch Appropriations Act, 2003 (2 U.S.C.1907) is amended by striking subsection (f).*

*(c) Effective Date. This section shall apply with respect to appropriations for fiscal year 2014 and each fiscal year thereafter.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.



**OFFICE OF COMPLIANCE****Federal Funds****SALARIES AND EXPENSES**

*For salaries and expenses of the Office of Compliance, as authorized by section 305 of the Congressional Accountability Act of 1995 (2 U.S.C. 1385), \$4,482,000, of which \$780,000 shall remain available until September 30, 2015: Provided, That not more than \$500 may be expended on the certification of the Executive Director of the Office of Compliance in connection with official representation and reception expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 09–1600–0–1–801                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Direct program activity .....                  | 4           | 4       | 4         |
| 0900 Total new obligations .....                    | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....     | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....      | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 4           | 4       | 4         |
| 3020 Outlays (gross) .....                          | –4          | –4      | –4        |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 4           | 4       | 4         |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 4           | 4       | 4         |
| 4180 Budget authority, net (total) .....            | 4           | 4       | 4         |
| 4190 Outlays, net (total) .....                     | 4           | 4       | 4         |

The Congressional Accountability Act of 1995 (CAA) established an independent Office of Compliance to apply the rights and protections of the following labor and employment statutes to covered employees within the Legislative Branch: the Fair Labor Standards Act of 1938, Title VII of the Civil Rights Act of 1964, the Americans with Disabilities Act of 1990, the Age Discrimination in Employment Act of 1967, the Family and Medical Leave Act of 1993, the Occupational Safety and Health Act of 1970, chapter 71 of title 5 of the U.S. Code (relating to Federal service labor-management relations), the Employee Polygraph Protection Act of 1988, the Worker Adjustment and Retraining Notification Act, the Rehabilitation Act of 1973, and chapter 43 of title 38 of the U.S. Code (relating to veterans' employment and reemployment). This Act was amended in 1998 to apply the Veterans Employment Opportunities Act. In 2008, the CAA was amended to apply the Genetic Information and Nondiscrimination Act of 2008.

The Office provides employees and employing offices with an independent, neutral dispute resolution process, as an alternative to the court system, through which they may adjudicate claims under the laws applied by the CAA. The Office is headed by a five-member Board of Directors, who are appointed jointly by the House and Senate majority and minority leadership.

**Object Classification** (in millions of dollars)

| Identification code 09–1600–0–1–801  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 99.5 Below reporting threshold .....                                       | 2           | 2       | 2         |
| 99.9 Total new obligations .....   | 4           | 4       | 4         |

**Employment Summary**

| Identification code 09–1600–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 22          | 22      | 22        |

**AWARDS AND SETTLEMENTS FUNDS**

Section 415 of the Congressional Accountability Act (CAA) established "an account of the Office in the Treasury of the United States for the payment of awards and settlements under this Act," and further authorized to be appropriated "such sums as may be necessary to pay such awards and settlements." Section 415 stipulated that awards and settlements under the CAA should only be paid from that account, which was to be kept separate from the operating expenses account of the Office of Compliance.

The Legislative Branch Appropriations Acts have appropriated funds for awards and settlements under the CAA by means of the following language:

Such sums as may be necessary are appropriated to the account described in subsection (a) of section 415 of Public Law 104–1 to pay awards and settlements as authorized under such subsection.

**CONGRESSIONAL BUDGET OFFICE****Federal Funds****SALARIES AND EXPENSES**

*For salaries and expenses necessary for operation of the Congressional Budget Office, including not more than \$6,000 to be expended on the certification of the Director of the Congressional Budget Office in connection with official representation and reception expenses, \$45,700,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 08–0100–0–1–801                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 44          | 44      | 46        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 44          | 44      | 46        |
| 1160 Appropriation, discretionary (total) .....       | 44          | 44      | 46        |
| 1930 Total budgetary resources available .....        | 44          | 44      | 46        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 5           | 4       | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 44          | 44      | 46        |
| 3020 Outlays (gross) .....                            | –45         | –44     | –46       |
| 3050 Unpaid obligations, end of year .....            | 4           | 4       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 5           | 4       | 4         |
| 3200 Obligated balance, end of year .....             | 4           | 4       | 4         |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 08–0100–0–1–801                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 44          | 44      | 46        |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 40          | 40      | 41        |
| 4011 Outlays from discretionary balances .....      | 5           | 4       | 5         |
| 4020 Outlays, gross (total) .....                   | 45          | 44      | 46        |
| 4180 Budget authority, net (total) .....            | 44          | 44      | 46        |
| 4190 Outlays, net (total) .....                     | 45          | 44      | 46        |

The Congressional Budget Office (CBO) was established as a non-partisan office of Congress by Title II of the Congressional Budget and Impoundment Control Act of 1974 (2 U.S.C. 601 et seq.). CBO provides objective economic and budgetary analysis and information to assist Congress in the fulfillment of its responsibilities. That information includes forecasts of the economy, analyses of economic trends and alternative fiscal policies, long-term projections of federal spending and revenue, and, upon request, studies on budget-related issues. In addition, CBO provides Congress with multi-year cost estimates for reported bills, as well as analyses of the costs of state, local, tribal, or private sector mandates.

## Object Classification (in millions of dollars)

| Identification code 08–0100–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 30          | 30      | 31        |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 31          | 31      | 32        |
| 12.1 Civilian personnel benefits .....             | 10          | 10      | 10        |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....  | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                  | 1           | 1       | 1         |
| 31.0 Equipment .....                               | 1           | 1       | 1         |
| 99.9 Total new obligations .....                   | 44          | 44      | 46        |

## Employment Summary

| Identification code 08–0100–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 237         | 233     | 235       |

## ADMINISTRATIVE PROVISION

## FUNDS AVAILABLE FOR EMPLOYEES WITH NON-IMMIGRANT VISAS

**SEC. 1.** During fiscal year 2014, appropriations available to the Congressional Budget Office may be used to pay the compensation of employees in specialty occupations with non-immigrant visas.

## ACCEPTANCE OF VOLUNTARY STUDENT SERVICES

**SEC. 2.** Voluntary Services

(a) Section 3111(e) of title 5, United States Code, is amended—

(1) by striking "(e)" and inserting "(e)(1)" and

(2) by adding at the end the following new paragraph: "(2) In this section, the term 'agency' includes the Congressional Budget Office, except that in the case of the Congressional Budget Office—

(A) any student who provides voluntary service in accordance with this section shall be considered an employee of the Congressional Budget Office for purposes of section 203 of the Congressional Budget Act of 1974 (relating to the level of confidentiality of budget data); and

(B) the authority granted to the Office of Personnel Management under this section shall be exercised by the Director of the Congressional Budget Office."

(b) The amendment made by subsection (a) shall apply with respect to fiscal year 2014 and each succeeding fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## ARCHITECT OF THE CAPITOL

## Federal Funds

## GENERAL ADMINISTRATION

For salaries for the Architect of the Capitol, and other personal services, at rates of pay provided by law; for surveys and studies in connection with activities under the care of the Architect of the Capitol; for all necessary expenses for the general and administrative support of the operations under the Architect of the Capitol including the Botanic Garden; electrical substations of the Capitol, Senate and House office buildings, and other facilities under the jurisdiction of the Architect of the Capitol; including furnishings and office equipment; including not more than \$5,000 for official reception and representation expenses, to be expended as the Architect of the Capitol may approve; for purchase or exchange, maintenance, and operation of a passenger motor vehicle, \$100,099,000, of which \$599,000 shall remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 01–0100–0–1–801                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 100         | 102     | 100       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 3       | 3         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 101         | 102     | 100       |
| 1160 Appropriation, discretionary (total) .....       | 101         | 102     | 100       |
| 1930 Total budgetary resources available .....        | 104         | 105     | 103       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1940 Unobligated balance expiring .....               | –1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year ..... | 3           | 3       | 3         |

## Change in obligated balance:

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 38   | 28    | 32    |
| 3010 Obligations incurred, unexpired accounts .....             | 100  | 102   | 100   |
| 3011 Obligations incurred, expired accounts .....               | 27   | ..... | ..... |
| 3020 Outlays (gross) .....                                      | –109 | –98   | –115  |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –28  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                      | 28   | 32    | 17    |
| Memorandum (non-add) entries:                                   |      |       |       |
| 3100 Obligated balance, start of year .....                     | 38   | 28    | 32    |
| 3200 Obligated balance, end of year .....                       | 28   | 32    | 17    |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 101 | 102 | 100 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 79  | 92  | 90  |
| 4011 Outlays from discretionary balances .....      | 30  | 6   | 25  |
| 4020 Outlays, gross (total) .....                   | 109 | 98  | 115 |
| 4180 Budget authority, net (total) .....            | 101 | 102 | 100 |
| 4190 Outlays, net (total) .....                     | 109 | 98  | 115 |

**Object Classification** (in millions of dollars)

| Identification code 01–0100–0–1–801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 38          | 38      | 39        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 39          | 40      | 41        |
| 12.1 Civilian personnel benefits .....                          | 17          | 18      | 18        |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 20          | 20      | 20        |
| 25.1 Advisory and assistance services .....                     | 4           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 14          | 14      | 13        |
| 25.4 Operation and maintenance of facilities .....              | 2           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 3           | 4       | 3         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 32.0 Land and structures .....                                  | 100         | 102     | 100       |
| 99.9 Total new obligations .....                                |             |         |           |

**Employment Summary**

| Identification code 01–0100–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 382         | 400     | 400       |

**CAPITOL BUILDING**

*For all necessary expenses for the maintenance, care and operation of the Capitol, \$61,575,000, of which \$36,040,000 shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 01–0105–0–1–801                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Direct program activity .....                               | 40          | 36      | 62        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 31          | 28      | 28        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 36          | 36      | 62        |
| 1121 Appropriations transferred from other accts [01–0161] ..... | 1           | 1       | 1         |
| 1160 Appropriation, discretionary (total) .....                  | 37          | 36      | 62        |
| 1900 Budget authority (total) .....                              | 37          | 36      | 62        |
| 1930 Total budgetary resources available .....                   | 68          | 64      | 90        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 28          | 28      | 28        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 22          | 13      | 14        |
| 3010 Obligations incurred, unexpired accounts .....              | 40          | 36      | 62        |
| 3011 Obligations incurred, expired accounts .....                | 6           | 6       | 6         |
| 3020 Outlays (gross) .....                                       | –49         | –35     | –56       |
| 3041 Recoveries of prior year unpaid obligations, expired .....  | –6          | –6      | –6        |
| 3050 Unpaid obligations, end of year .....                       | 13          | 14      | 20        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 22          | 13      | 14        |
| 3200 Obligated balance, end of year .....                        | 13          | 14      | 20        |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 37          | 36      | 62        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              | 22          | 14      | 25        |
| 4011 Outlays from discretionary balances .....                   | 27          | 21      | 31        |
| 4020 Outlays, gross (total) .....                                | 49          | 35      | 56        |
| 4180 Budget authority, net (total) .....                         | 37          | 36      | 62        |

|                                 |    |    |    |
|---------------------------------|----|----|----|
| 4190 Outlays, net (total) ..... | 49 | 35 | 56 |
|---------------------------------|----|----|----|

Included in this presentation is "Alterations and improvements, buildings and grounds, to provide facilities for the physically handicapped."

**Object Classification** (in millions of dollars)

| Identification code 01–0105–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 13          | 13      | 10        |
| 11.3 Other than full-time permanent .....          | 1           | 1       | 2         |
| 11.5 Other personnel compensation .....            | 2           | 2       | 4         |
| 11.9 Total personnel compensation .....            | 16          | 16      | 16        |
| 12.1 Civilian personnel benefits .....             | 6           | 5       | 5         |
| 25.1 Advisory and assistance services .....        | 4           | 2       | 2         |
| 25.4 Operation and maintenance of facilities ..... | 4           | 1       | 1         |
| 26.0 Supplies and materials .....                  | 2           | 2       | 2         |
| 32.0 Land and structures .....                     | 8           | 10      | 36        |
| 99.9 Total new obligations .....                   | 40          | 36      | 62        |

**Employment Summary**

| Identification code 01–0105–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 221         | 220     | 222       |

**CAPITOL GROUNDS**

*For all necessary expenses for care and improvement of grounds surrounding the Capitol, the Senate and House office buildings, and the Capitol Power Plant, \$13,452,000, of which \$2,266,000 shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 01–0108–0–1–801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 10          | 10      | 13        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 1           | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 10          | 10      | 13        |
| 1160 Appropriation, discretionary (total) .....                 | 10          | 10      | 13        |
| 1930 Total budgetary resources available .....                  | 11          | 11      | 14        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 5           | 4       | 6         |
| 3010 Obligations incurred, unexpired accounts .....             | 10          | 10      | 13        |
| 3011 Obligations incurred, expired accounts .....               | 3           | 3       | 3         |
| 3020 Outlays (gross) .....                                      | –11         | –8      | –15       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –3          | –3      | –3        |
| 3050 Unpaid obligations, end of year .....                      | 4           | 6       | 4         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 5           | 4       | 6         |
| 3200 Obligated balance, end of year .....                       | 4           | 6       | 4         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 10          | 10      | 13        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 7           | 7       | 9         |

CAPITOL GROUNDS—Continued  
Program and Financing—Continued

| Identification code 01–0108–0–1–801            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4011 Outlays from discretionary balances ..... | 4           | 1       | 6         |
| 4020 Outlays, gross (total) .....              | 11          | 8       | 15        |
| 4180 Budget authority, net (total) .....       | 10          | 10      | 13        |
| 4190 Outlays, net (total) .....                | 11          | 8       | 15        |

## Object Classification (in millions of dollars)

| Identification code 01–0108–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 4           | 4       | 5         |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 5           | 5       | 6         |
| 12.1 Civilian personnel benefits .....             | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....        | 2           | 2       | 2         |
| 25.4 Operation and maintenance of facilities ..... | 3           | 1       | 2         |
| 26.0 Supplies and materials .....                  | 1           | 1       | 2         |
| 99.9 Total new obligations .....                   | 10          | 10      | 13        |

## Employment Summary

| Identification code 01–0108–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 65          | 73      | 73        |

## SENATE OFFICE BUILDINGS

*For all necessary expenses for the maintenance, care and operation of Senate office buildings; and furniture and furnishings to be expended under the control and supervision of the Architect of the Capitol, \$76,404,000, of which \$17,539,000 shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 01–0123–0–1–801                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Direct program activity .....                               | 68          | 72      | 76        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 20          | 22      | 22        |
| 1010 Unobligated balance transfer to other accts [00–0127] ..... | –1          |         |           |
| 1050 Unobligated balance (total) .....                           | 19          | 22      | 22        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 71          | 72      | 76        |
| 1160 Appropriation, discretionary (total) .....                  | 71          | 72      | 76        |
| 1900 Budget authority (total) .....                              | 71          | 72      | 76        |
| 1930 Total budgetary resources available .....                   | 90          | 94      | 98        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 22          | 22      | 22        |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 31  | 22  | 19  |
| 3010 Obligations incurred, unexpired accounts .....             | 68  | 72  | 76  |
| 3011 Obligations incurred, expired accounts .....               | 8   |     |     |
| 3020 Outlays (gross) .....                                      | –76 | –75 | –84 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –9  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 22  | 19  | 11  |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 31  | 22  | 19  |

|   |    |    |    |
|---|----|----|----|
| 3200 Obligated balance, end of year .....           | 22 | 19 | 11 |
| <b>Budget authority and outlays, net:</b>           |    |    |    |
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 71 | 72 | 76 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 53 | 46 | 49 |
| 4011 Outlays from discretionary balances .....      | 23 | 29 | 35 |
| 4020 Outlays, gross (total) .....                   | 76 | 75 | 84 |
| Offsets against gross budget authority and outlays: |    |    |    |
| Offsetting collections (collected) from:            |    |    |    |
| 4180 Budget authority, net (total) .....            | 71 | 72 | 76 |
| 4190 Outlays, net (total) .....                     | 76 | 75 | 84 |

This presentation includes the Senate restaurant fund and Senate Wellness Center fund.

## Object Classification (in millions of dollars)

| Identification code 01–0123–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 28          | 25      | 25        |
| 11.3 Other than full-time permanent .....          | 2           | 3       | 3         |
| 11.5 Other personnel compensation .....            | 3           | 2       | 3         |
| 11.9 Total personnel compensation .....            | 33          | 30      | 31        |
| 12.1 Civilian personnel benefits .....             | 12          | 10      | 11        |
| 23.1 Rental payments to GSA .....                  | 6           | 8       | 8         |
| 23.2 Rental payments to others .....               | 2           |         |           |
| 25.1 Advisory and assistance services .....        | 2           | 1       | 1         |
| 25.4 Operation and maintenance of facilities ..... | 5           | 2       | 2         |
| 26.0 Supplies and materials .....                  | 4           | 5       | 4         |
| 31.0 Equipment .....                               | 1           | 1       | 1         |
| 32.0 Land and structures .....                     | 3           | 15      | 18        |
| 99.9 Total new obligations .....                   | 68          | 72      | 76        |

## Employment Summary

| Identification code 01–0123–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 500         | 498     | 498       |

## HOUSE OFFICE BUILDINGS

*For all necessary expenses for the maintenance, care and operation of the House office buildings, \$109,089,000, of which \$43,162,000 shall remain available until September 30, 2018.*

*In addition, for a payment to the House Historic Buildings Revitalization Trust Fund, \$70,000,000, which shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 01–0127–0–1–801                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Direct program activity .....                             | 94          | 95      | 109       |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 63          | 63      | 63        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       | 124         | 125     | 179       |
| 1120 Appropriations transferred to other accts [01–1833] ..... | –30         | –30     | –70       |
| 1160 Appropriation, discretionary (total) .....                | 94          | 95      | 109       |
| 1930 Total budgetary resources available .....                 | 157         | 158     | 172       |
| Memorandum (non-add) entries:                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....          | 63          | 63      | 63        |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| <b>Change in obligated balance:</b>       |  |     |     |     |
| Unpaid obligations:                       |  |     |     |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 52  | 48  | 55  |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 94  | 95  | 109 |
| 3011                                      | Obligations incurred, expired accounts .....               | 14  |     |     |
| 3020                                      | Outlays (gross) .....                                      | -98 | -88 | -93 |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -14 |     |     |
| 3050                                      | Unpaid obligations, end of year .....                      | 48  | 55  | 71  |
| Memorandum (non-add) entries:             |  |     |     |     |
| 3100                                      | Obligated balance, start of year .....                     | 52  | 48  | 55  |
| 3200                                      | Obligated balance, end of year .....                       | 48  | 55  | 71  |
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Discretionary:                            |  |     |     |     |
| 4000                                      | Budget authority, gross .....                              | 94  | 95  | 109 |
| Outlays, gross:                           |  |     |     |     |
| 4010                                      | Outlays from new discretionary authority .....             | 57  | 52  | 60  |
| 4011                                      | Outlays from discretionary balances .....                  | 41  | 36  | 33  |
| 4020                                      | Outlays, gross (total) .....                               | 98  | 88  | 93  |
| 4180                                      | Budget authority, net (total) .....                        | 94  | 95  | 109 |
| 4190                                      | Outlays, net (total) .....                                 | 98  | 88  | 93  |

This presentation includes the House of Representatives Wellness Center fund.

#### Object Classification (in millions of dollars)

| Identification code 01-0127-0-1-801 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                     | 26          | 23      | 23        |
| 11.3                                | Other than full-time permanent .....          | 2           | 5       | 5         |
| 11.5                                | Other personnel compensation .....            | 4           | 3       | 4         |
| 11.9                                | Total personnel compensation .....            | 32          | 31      | 32        |
| 12.1                                | Civilian personnel benefits .....             | 11          | 10      | 10        |
| 23.1                                | Rental payments to GSA .....                  |             | 14      | 14        |
| 25.1                                | Advisory and assistance services .....        | 5           | 2       | 2         |
| 25.4                                | Operation and maintenance of facilities ..... | 6           | 7       | 7         |
| 26.0                                | Supplies and materials .....                  | 3           | 4       | 4         |
| 31.0                                | Equipment .....                               | 2           | 1       | 1         |
| 32.0                                | Land and structures .....                     | 35          | 26      | 39        |
| 99.9                                | Total new obligations .....                   | 94          | 95      | 109       |

#### Employment Summary

| Identification code 01-0127-0-1-801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 495         | 503     | 503       |

### HOUSE HISTORIC BUILDINGS REVITALIZATION TRUST FUND

#### Program and Financing (in millions of dollars)

| Identification code 01-1833-0-1-801     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Direct program activity .....                                | 6           | 30      | 46        |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....             | 85          | 118     | 118       |
| 1011                                    | Unobligated balance transfer from other accts [00-0400] .... | 9           |         |           |
| 1050                                    | Unobligated balance (total) .....                            | 94          | 118     | 118       |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1121                                    | Appropriations transferred from other accts [01-0127] ....   | 30          | 30      | 70        |
| 1160                                    | Appropriation, discretionary (total) .....                   | 30          | 30      | 70        |
| 1900                                    | Budget authority (total) .....                               | 30          | 30      | 70        |
| 1930                                    | Total budgetary resources available .....                    | 124         | 148     | 188       |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year .....             | 118         | 118     | 142       |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....             | 1           | 5       | 7         |
| 3010                                    | Obligations incurred, unexpired accounts .....               | 6           | 30      | 46        |

|   |  |    |     |     |
|---|--|----|-----|-----|
| 3020                                      | Outlays (gross) .....                          | -2 | -28 | -45 |
| 3050                                      | Unpaid obligations, end of year .....          | 5  | 7   | 8   |
| Memorandum (non-add) entries:             |  |    |     |     |
| 3100                                      | Obligated balance, start of year .....         | 1  | 5   | 7   |
| 3200                                      | Obligated balance, end of year .....           | 5  | 7   | 8   |
| <b>Budget authority and outlays, net:</b> |  |    |     |     |
| Discretionary:                            |  |    |     |     |
| 4000                                      | Budget authority, gross .....                  | 30 | 30  | 70  |
| Outlays, gross:                           |  |    |     |     |
| 4010                                      | Outlays from new discretionary authority ..... |    | 2   | 4   |
| 4011                                      | Outlays from discretionary balances .....      | 2  | 26  | 41  |
| 4020                                      | Outlays, gross (total) .....                   | 2  | 28  | 45  |
| 4180                                      | Budget authority, net (total) .....            | 30 | 30  | 70  |
| 4190                                      | Outlays, net (total) .....                     | 2  | 28  | 45  |

#### Object Classification (in millions of dollars)

| Identification code 01-1833-0-1-801 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 23.2                                | Rental payments to others .....        |             | 14      | 16        |
| 25.1                                | Advisory and assistance services ..... | 6           | 5       | 5         |
| 32.0                                | Land and structures .....              |             | 11      | 25        |
| 99.9                                | Total new obligations .....            | 6           | 30      | 46        |

### CAPITOL POWER PLANT

*For all necessary expenses for the maintenance, care and operation of the Capitol Power Plant; lighting, heating, power (including the purchase of electrical energy) and water and sewer services for the Capitol, Senate and House office buildings, Library of Congress buildings, and the grounds about the same, Botanic Garden, Senate garage, and air conditioning re-frigeration not supplied from plants in any of such buildings; heating the Government Printing Office and Washington City Post Office, and heating and chilled water for air conditioning for the Supreme Court Building, the Union Station complex, the Thurgood Marshall Federal Judiciary Building and the Folger Shakespeare Library, expenses for which shall be advanced or reimbursed upon request of the Architect of the Capitol and amounts so received shall be deposited into the Treasury to the credit of this appropriation, \$113,259,000, of which \$26,500,000 shall remain available until September 30, 2018: Provided, That not more than \$9,000,000 of the funds credited or to be reimbursed to this appropriation as herein provided shall be available for obligation during fiscal year 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 01-0133-0-1-801                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 119         | 124     | 113       |
| 0801 Reimbursable program .....                                    | 9           | 9       | 9         |
| 0900 Total new obligations .....                                   | 128         | 133     | 122       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 26          | 29      | 29        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 123         | 124     | 113       |
| 1160 Appropriation, discretionary (total) .....                    | 123         | 124     | 113       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 7           | 9       | 9         |
| 1701 Change in uncollected payments, Federal sources .....         | 2           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 9           | 9       | 9         |
| 1900 Budget authority (total) .....                                | 132         | 133     | 122       |
| 1930 Total budgetary resources available .....                     | 158         | 162     | 151       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          |         |           |

CAPITOL POWER PLANT—Continued  
Program and Financing—Continued

| Identification code 01–0133–0–1–801                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1941 Unexpired unobligated balance, end of year .....                | 29          | 29      | 29        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 61          | 57      | 47        |
| 3010 Obligations incurred, unexpired accounts .....                  | 128         | 133     | 122       |
| 3011 Obligations incurred, expired accounts .....                    | 19          | .....   | .....     |
| 3020 Outlays (gross) .....   | –131        | –143    | –140      |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –20         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 57          | 47      | 29        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | .....       | –2      | –2        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –2          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –2          | –2      | –2        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 61          | 55      | 45        |
| 3200 Obligated balance, end of year .....                            | 55          | 45      | 27        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 132         | 133     | 122       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 74          | 113     | 104       |
| 4011 Outlays from discretionary balances .....                       | 57          | 30      | 36        |
| 4020 Outlays, gross (total) .....                                    | 131         | 143     | 140       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | .....       | –1      | –1        |
| 4033 Non-Federal sources .....                                       | –8          | –8      | –8        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –8          | –9      | –9        |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –2          | .....   | .....     |
| 4052 Offsetting collections credited to expired accounts .....       | 1           | .....   | .....     |
| 4060 Additional offsets against budget authority only (total) .....  | –1          | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                     | 123         | 124     | 113       |
| 4080 Outlays, net (discretionary) .....                              | 123         | 134     | 131       |
| 4180 Budget authority, net (total) .....                             | 123         | 124     | 113       |
| 4190 Outlays, net (total) .....                                      | 123         | 134     | 131       |

## Object Classification (in millions of dollars)

| Identification code 01–0133–0–1–801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 7           | 6       | 6         |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 9           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 58          | 69      | 60        |
| 25.1 Advisory and assistance services .....                     | 22          | 10      | 6         |
| 25.4 Operation and maintenance of facilities .....              | 19          | 9       | 7         |
| 26.0 Supplies and materials .....                               | 2           | 6       | 4         |
| 32.0 Land and structures .....                                  | 7           | 20      | 26        |
| 99.0 Direct obligations .....                                   | 119         | 124     | 113       |
| 99.0 Reimbursable obligations .....                             | 9           | 9       | 9         |
| 99.9 Total new obligations .....                                | 128         | 133     | 122       |

## Employment Summary

| Identification code 01–0133–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 77          | 85      | 85        |

## LIBRARY BUILDINGS AND GROUNDS

*For all necessary expenses for the mechanical and structural maintenance, care and operation of the Library buildings and grounds,*

*\$77,016,000, of which \$50,861,000 shall remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 01–0155–0–1–801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 44          | 47      | 77        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 31          | 34      | 34        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 47          | 47      | 77        |
| 1160 Appropriation, discretionary (total) .....                 | 47          | 47      | 77        |
| 1930 Total budgetary resources available .....                  | 78          | 81      | 111       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 34          | 34      | 34        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 20          | 19      | 19        |
| 3010 Obligations incurred, unexpired accounts .....             | 44          | 47      | 77        |
| 3011 Obligations incurred, expired accounts .....               | 8           | .....   | .....     |
| 3020 Outlays (gross) .....                                      | –44         | –47     | –59       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –9          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 19          | 19      | 37        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 20          | 19      | 19        |
| 3200 Obligated balance, end of year .....                       | 19          | 19      | 37        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 47          | 47      | 77        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 21          | 18      | 29        |
| 4011 Outlays from discretionary balances .....                  | 23          | 29      | 30        |
| 4020 Outlays, gross (total) .....                               | 44          | 47      | 59        |
| Offsets against gross budget authority and outlays:             |             |         |           |
| Offsetting collections (collected) from:                        |             |         |           |
| 4180 Budget authority, net (total) .....                        | 47          | 47      | 77        |
| 4190 Outlays, net (total) .....                                 | 44          | 47      | 59        |

## Object Classification (in millions of dollars)

| Identification code 01–0155–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 14          | 11      | 14        |
| 11.3 Other than full-time permanent .....          | 3           | 1       | 1         |
| 11.5 Other personnel compensation .....            | 2           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 19          | 13      | 16        |
| 12.1 Civilian personnel benefits .....             | 6           | 4       | 1         |
| 25.1 Advisory and assistance services .....        | 2           | 7       | 9         |
| 25.4 Operation and maintenance of facilities ..... | 7           | 7       | 13        |
| 26.0 Supplies and materials .....                  | 2           | 2       | 3         |
| 32.0 Land and structures .....                     | 8           | 14      | 35        |
| 99.9 Total new obligations .....                   | 44          | 47      | 77        |

## Employment Summary

| Identification code 01–0155–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 151         | 160     | 160       |

## CAPITOL POLICE BUILDINGS, GROUNDS AND SECURITY

*For all necessary expenses for the maintenance, care and operation of buildings, grounds and security enhancements of the United States Cap-*

itol Police, wherever located, the Alternate Computer Facility, and AOC security operations, \$26,935,000, of which \$7,834,000 shall remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 01–0171–0–1–801                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Direct program activity .....                                   | 19          | 22      | 27        |
| 0801 Reimbursable program activity .....                             | 10          |         |           |
| 0900 Total new obligations .....                                     | 29          | 22      | 27        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 8           | 10      | 10        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 22          | 22      | 27        |
| 1160 Appropriation, discretionary (total) .....                      | 22          | 22      | 27        |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 10          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 10          |         |           |
| 1900 Budget authority (total) .....                                  | 32          | 22      | 27        |
| 1930 Total budgetary resources available .....                       | 40          | 32      | 37        |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 10          | 10      | 10        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 17          | 17      | 17        |
| 3010 Obligations incurred, unexpired accounts .....                  | 29          | 22      | 27        |
| 3011 Obligations incurred, expired accounts .....                    | 11          |         |           |
| 3020 Outlays (gross) .....   | –29         | –22     | –25       |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –11         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 17          | 17      | 19        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 17          | 17      | 17        |
| 3200 Obligated balance, end of year .....                            | 17          | 17      | 19        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 32          | 22      | 27        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 12          | 11      | 14        |
| 4011 Outlays from discretionary balances .....                       | 17          | 11      | 11        |
| 4020 Outlays, gross (total) .....                                    | 29          | 22      | 25        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –7          |         |           |
| 4033 Non-Federal sources .....                                       | –3          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | –10         |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 22          | 22      | 27        |
| 4080 Outlays, net (discretionary) .....                              | 19          | 22      | 25        |
| 4180 Budget authority, net (total) .....                             | 22          | 22      | 27        |
| 4190 Outlays, net (total) .....                                      | 19          | 22      | 25        |

#### Object Classification (in millions of dollars)

| Identification code 01–0171–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 3           | 1       | 1         |
| 11.3 Other than full-time permanent .....          | 1           |         |           |
| 11.9 Total personnel compensation .....            | 4           | 1       | 1         |
| 12.1 Civilian personnel benefits .....             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....               | 7           | 7       | 7         |
| 25.1 Advisory and assistance services .....        | 1           |         |           |
| 25.4 Operation and maintenance of facilities ..... | 5           | 10      | 10        |
| 32.0 Land and structures .....                     | 1           | 3       | 8         |
| 99.0 Direct obligations .....                      | 19          | 22      | 27        |

|      |                                |    |    |    |
|------|--------------------------------|----|----|----|
| 99.0 | Reimbursable obligations ..... | 10 |    |    |
| 99.9 | Total new obligations .....    | 29 | 22 | 27 |

#### Employment Summary

| Identification code 01–0171–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 14          | 16      | 16        |

#### CAPITOL VISITOR CENTER

*For all necessary expenses for the operation of the Capitol Visitor Center, \$21,702,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 01–0161–0–1–801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 23          | 21      | 22        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 13          | 11      | 11        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 22          | 21      | 22        |
| 1120 Appropriations transferred to other accts [01–0105] .....  | –1          |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 21          | 21      | 22        |
| 1930 Total budgetary resources available .....                  | 34          | 32      | 33        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 11          | 11      | 11        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 12          | 9       | 5         |
| 3010 Obligations incurred, unexpired accounts .....             | 23          | 21      | 22        |
| 3011 Obligations incurred, expired accounts .....               | 1           |         |           |
| 3020 Outlays (gross) .....                                      | –25         | –25     | –22       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 9           | 5       | 5         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 12          | 9       | 5         |
| 3200 Obligated balance, end of year .....                       | 9           | 5       | 5         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 21          | 21      | 22        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 17          | 17      | 18        |
| 4011 Outlays from discretionary balances .....                  | 8           | 8       | 4         |
| 4020 Outlays, gross (total) .....                               | 25          | 25      | 22        |
| 4180 Budget authority, net (total) .....                        | 21          | 21      | 22        |
| 4190 Outlays, net (total) .....                                 | 25          | 25      | 22        |

#### Object Classification (in millions of dollars)

| Identification code 01–0161–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 11          | 12      | 12        |
| 11.3 Other than full-time permanent .....          | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....            | 1           |         |           |
| 11.9 Total personnel compensation .....            | 13          | 13      | 13        |
| 12.1 Civilian personnel benefits .....             | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....        | 4           | 2       | 3         |
| 25.2 Other services from non-Federal sources ..... |             | 1       | 1         |
| 25.4 Operation and maintenance of facilities ..... | 1           | 1       | 1         |
| 31.0 Equipment .....                               | 1           |         |           |
| 99.9 Total new obligations .....                   | 23          | 21      | 22        |

CAPITOL VISITOR CENTER—Continued  
Employment Summary

| Identification code 01–0161–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 211         | 247     | 244       |

## CAPITOL VISITOR CENTER REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 01–4296–0–3–801                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0801 Reimbursable program activity .....                            | 2           | 3       | 4         |
| 0900 Total new obligations (object class 26.0) .....                | 2           | 3       | 4         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 6           | 8       | 9         |
| Budget authority:   |             |         |           |
| 1700 Spending authority from offsetting collections, discretionary: |             |         |           |
| Collected .....   | 4           | 4       | 4         |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....                      | 10          | 12      | 13        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 8           | 9       | 9         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               |             | 1       |           |
| 3010 Obligations incurred, unexpired accounts .....                 | 2           | 3       | 4         |
| 3020 Outlays (gross) .....  | –1          | –4      | –4        |
| 3050 Unpaid obligations, end of year .....                          | 1           |         |           |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         |             | 1       |           |
| 3200 Obligated balance, end of year .....                           | 1           |         |           |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 4           | 4       | 4         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 1           | 3       | 3         |
| 4011 Outlays from discretionary balances .....                      |             | 1       | 1         |
| 4020 Outlays, gross (total) .....                                   | 1           | 4       | 4         |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4033 Non-Federal sources .....                                      | –4          | –4      | –4        |
| 4190 Outlays, net (total) .....                                     | –3          |         |           |
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....    | 6           | 8       | 10        |
| 5001 Total investments, EOY: Federal securities: Par value .....    | 8           | 10      | 12        |

## Object Classification (in millions of dollars)

| Identification code 01–4296–0–3–801 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 26.0 Supplies and materials .....   | 2           | 3       | 4         |
| 99.0 Reimbursable obligations ..... | 2           | 3       | 4         |

## JUDICIARY OFFICE BUILDING DEVELOPMENT AND OPERATIONS FUND

## Program and Financing (in millions of dollars)

| Identification code 01–4518–0–4–801                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0801 Operations and Maintenance .....                 | 25          | 25      | 25        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 10      | 10        |

## Budget authority:

|   |     |     |     |
|---|-----|-----|-----|
| Borrowing authority, mandatory:   |     |     |     |
| 1400 Borrowing authority .....  | 11  | 12  | 12  |
| 1440 Borrowing authority, mandatory (total) .....                               | 11  | 12  | 12  |
| Spending authority from offsetting collections, mandatory:                      |     |     |     |
| 1800 Collected .....  | 41  | 30  | 30  |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –17 | –17 | –17 |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 24  | 13  | 13  |
| 1900 Budget authority (total) .....   | 35  | 25  | 25  |
| 1930 Total budgetary resources available .....                                  | 35  | 35  | 35  |
| Memorandum (non-add) entries:   |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....                           | 10  | 10  | 10  |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3   | 5   | 2   |
| 3010 Obligations incurred, unexpired accounts .....   | 25  | 25  | 25  |
| 3020 Outlays (gross) .....                            | –23 | –28 | –13 |
| 3050 Unpaid obligations, end of year .....            | 5   | 2   | 14  |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 3   | 5   | 2   |
| 3200 Obligated balance, end of year .....             | 5   | 2   | 14  |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                                   | 35  | 25  | 25  |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                      | 23  | 13  | 13  |
| 4101 Outlays from mandatory balances .....                           |     | 15  |     |
| 4110 Outlays, gross (total) .....                                    | 23  | 28  | 13  |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4120 Federal sources .....   | –32 | –30 | –30 |
| 4123 Non-Federal sources .....                                       | –9  |     |     |
| 4130 Offsets against gross budget authority and outlays (total) .... | –41 | –30 | –30 |
| 4160 Budget authority, net (mandatory) .....                         | –6  | –5  | –5  |
| 4170 Outlays, net (mandatory) .....                                  | –18 | –2  | –17 |
| 4180 Budget authority, net (total) .....                             | –6  | –5  | –5  |
| 4190 Outlays, net (total) .....                                      | –18 | –2  | –17 |

## Memorandum (non-add) entries:

|   |    |    |    |
|---|----|----|----|
| 5010 Total investments, SOY: non-Fed securities: Market value ..... | 36 | 27 | 36 |
| 5011 Total investments, EOY: non-Fed securities: Market value ..... | 27 | 36 | 36 |

The Judiciary Office Building Development Act, Public Law 100–480, among other purposes, authorized the Architect of the Capitol to contract for the design and construction of a building adjacent to Union Station in the District of Columbia to be leased to the Judicial Branch of the United States. This schedule reflects the costs associated with the construction of the building. Costs of construction were financed by an initial \$125 million of Federal agency debt (sales price less unamortized discount) issued in 1989.

Estimates prepared by the Legislative Branch assumed the financial arrangements to be a lease-purchase, which would distribute outlays associated with acquisition of the building over a period of thirty years. However, the arrangements involve Federally guaranteed financing and other characteristics that make them substantively the same as direct Federal construction, financed by direct Federal borrowing.

Estimates shown are consistent with the requirements of the Budget Enforcement Act and are presented with the agreement of the Budget and Appropriations Committees.

## Object Classification (in millions of dollars)

| Identification code 01–4518–0–4–801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           |         |           |
| 25.4 Operation and maintenance of facilities .....              | 6           | 8       | 8         |
| 32.0 Land and structures .....                                  | 17          | 17      | 17        |



|      |                             |    |    |    |
|------|-----------------------------|----|----|----|
| 99.9 | Total new obligations ..... | 25 | 25 | 25 |
|------|-----------------------------|----|----|----|

**Trust Funds****GIFTS AND DONATIONS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 01–8189–0–7–801        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          |             |         | 1         |
| Receipts:                                  |             |         |           |
| 0220 Gifts and Donations .....             |             | 1       | 1         |
| 0400 Total: Balances and collections ..... |             | 1       | 2         |
| 0799 Balance, end of year .....            |             | 1       | 2         |

**BOTANIC GARDEN****Federal Funds****BOTANIC GARDEN**

*For all necessary expenses for the maintenance, care and operation of the Botanic Garden and the nurseries, buildings, grounds, and collections; and purchase and exchange, maintenance, repair, and operation of a passenger motor vehicle; all under the direction of the Joint Committee on the Library, \$12,136,000: Provided, That of the amount made available under this heading, the Architect of the Capitol may obligate and expend such sums as may be necessary for the maintenance, care and operation of the National Garden established under section 307E of the Legislative Branch Appropriations Act, 1989 (2 U.S.C. 2146), upon vouchers approved by the Architect of the Capitol or a duly authorized designee.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 09–0200–0–1–801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 12          | 12      | 12        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 2           | 2       | 2         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 12          | 12      | 12        |
| 1160 Appropriation, discretionary (total) .....                 | 12          | 12      | 12        |
| 1930 Total budgetary resources available .....                  | 14          | 14      | 14        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 6           | 5       | 4         |
| 3010 Obligations incurred, unexpired accounts .....             | 12          | 12      | 12        |
| 3011 Obligations incurred, expired accounts .....               | 5           |         |           |
| 3020 Outlays (gross) .....                                      | –13         | –13     | –12       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –5          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 5           | 4       | 4         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 6           | 5       | 4         |
| 3200 Obligated balance, end of year .....                       | 5           | 4       | 4         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 12          | 12      | 12        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 8           | 10      | 10        |
| 4011 Outlays from discretionary balances .....                  | 5           | 3       | 2         |
| 4020 Outlays, gross (total) .....                               | 13          | 13      | 12        |

|  |    |    |    |
|--|----|----|----|
| 4180 Budget authority, net (total) ..... | 12 | 12 | 12 |
| 4190 Outlays, net (total) .....          | 13 | 13 | 12 |

**Object Classification** (in millions of dollars)

| Identification code 09–0200–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 4           | 4       | 5         |
| 11.5 Other personnel compensation .....            | 1           |         |           |
| 11.9 Total personnel compensation .....            | 5           | 4       | 5         |
| 12.1 Civilian personnel benefits .....             | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....        | 4           | 3       | 2         |
| 25.4 Operation and maintenance of facilities ..... |             | 2       | 2         |
| 26.0 Supplies and materials .....                  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                   | 12          | 12      | 12        |

**Employment Summary**

| Identification code 09–0200–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 64          | 68      | 68        |

**Trust Funds****GIFTS AND DONATIONS**

Pursuant to 40 U.S.C. 216c, as amended, the Architect of the Capitol, subject to the direction of the Joint Committee on the Library, is authorized to construct a National Garden and to solicit and accept certain gifts on behalf of the United States Botanic Garden for the purpose of constructing the National Garden, or for the general benefit of the Botanic Garden and the renovation of the Botanic Garden conservatory, to deposit such gift funds in the Treasury of the United States, and, subject to approval in appropriations Acts, to obligate and expend such sums.

**LIBRARY OF CONGRESS****Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses of the Library of Congress not otherwise provided for, including development and maintenance of the Library's catalogs; custody and custodial care of the Library buildings; special clothing; cleaning, laundering and repair of uniforms; preservation of motion pictures in the custody of the Library; operation and maintenance of the American Folklife Center in the Library; activities under the Civil Rights History Project Act of 2009; preparation and distribution of catalog records and other publications of the Library; hire or purchase of one passenger motor vehicle; and expenses of the Library of Congress Trust Fund Board not properly chargeable to the income of any trust fund held by the Board, \$433,830,000, of which not more than \$6,000,000 shall be derived from collections credited to this appropriation during fiscal year 2014, and shall remain available until expended, under the Act of June 28, 1902 (chapter 1301; 32 Stat. 480; 2 U.S.C. 150) and not more than \$350,000 shall be derived from collections during fiscal year 2014 and shall remain available until expended for the development and maintenance of an international legal information database and activities related thereto: Provided, That the Library of Congress may not obligate or expend any funds derived from collections under the Act of June 28, 1902, in excess of the amount authorized for obligation or expenditure in appropriations Acts: Provided further, That the total amount available for obligation shall be reduced by the amount by which collections are less than \$6,350,000: Provided further, That of the total amount appropriated, not more than \$12,000 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for the Overseas Field Offices: Provided further, That of the total*

## SALARIES AND EXPENSES—Continued

amount appropriated, \$7,119,000 shall remain available until expended for the digital collections and educational curricula program.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 03–0101–0–1–503                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Library Services .....  | 214         | 212     | 218       |
| 0002 Office of Strategic Initiatives .....                           | 107         | 108     | 111       |
| 0003 Law Library .....   | 16          | 16      | 17        |
| 0004 Office of the Librarian .....                                   | 19          | 26      | 27        |
| 0005 Office of Support Operations .....                              | 54          | 51      | 51        |
| 0006 Office of Inspector General .....                               | 3           | 3       | 3         |
| 0799 Total direct obligations .....                                  | 413         | 416     | 427       |
| 0801 Reimbursable program - Interagency/ Intra-agency .....          | 7           | 8       | 8         |
| 0802 Reimbursable program - National Library .....                   | 4           | 6       | 6         |
| 0899 Total reimbursable obligations .....                            | 11          | 14      | 14        |
| 0900 Total new obligations .....                                     | 424         | 430     | 441       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 7           | 9       | 9         |
| 1021 Recoveries of prior year unpaid obligations .....               | 1           |         |           |
| 1050 Unobligated balance (total) .....                               | 8           | 9       | 9         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 414         | 416     | 427       |
| 1160 Appropriation, discretionary (total) .....                      | 414         | 416     | 427       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 11          | 14      | 14        |
| 1701 Change in uncollected payments, Federal sources .....           | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 12          | 14      | 14        |
| 1900 Budget authority (total) .....                                  | 426         | 430     | 441       |
| 1930 Total budgetary resources available .....                       | 434         | 439     | 450       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 9           | 9       | 9         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 136         | 121     | 128       |
| 3010 Obligations incurred, unexpired accounts .....                  | 424         | 430     | 441       |
| 3011 Obligations incurred, expired accounts .....                    | 2           |         |           |
| 3020 Outlays (gross) .....   | -436        | -423    | -431      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -4          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 121         | 128     | 138       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    |             | -1      | -1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -1          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -1          | -1      | -1        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 136         | 120     | 127       |
| 3200 Obligated balance, end of year .....                            | 120         | 127     | 137       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 426         | 430     | 441       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 325         | 326     | 335       |
| 4011 Outlays from discretionary balances .....                       | 111         | 97      | 96        |
| 4020 Outlays, gross (total) .....                                    | 436         | 423     | 431       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -9          | -8      | -8        |
| 4033 Non-Federal sources .....                                       | -4          | -6      | -6        |
| 4040 Offsets against gross budget authority and outlays (total) .... | -13         | -14     | -14       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -1          |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 2           |         |           |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 4060 | Additional offsets against budget authority only (total) ..... | 1   |     |     |
| 4070 | Budget authority, net (discretionary) .....                    | 414 | 416 | 427 |
| 4080 | Outlays, net (discretionary) .....                             | 423 | 409 | 417 |
| 4180 | Budget authority, net (total) .....                            | 414 | 416 | 427 |
| 4190 | Outlays, net (total) .....                                     | 423 | 409 | 417 |

**Office of the Librarian.**—The Office of the Librarian has overall management responsibility for the Library and carries out certain executive and financial functions of the Library. The Office of the Librarian includes the Office of the Chief Financial Officer, the Office of the General Counsel, the Congressional Relations Office, the Office of Contracts and Grants Management, the Office of Communications, the Development Office, and the Office of Special Events and Public Programs.

**Library Services.**—The mission of Library Services is the acquisition of materials; cataloging, classification, and preparation of materials for use; serving the public and maintaining and managing the Library's universal collections, which are the largest in the world; and, the preservation of materials for use now and in the future. It also develops, produces, markets, and distributes the Library's cataloging records and cataloging-related publications, tools, and resources to other libraries around the world that rely on the Library's standards and technical publications to organize their collections for effective access. Library Services also serves the public and contributes directly to the nation's educational and intellectual life through programs and activities that interpret and promote the Library's resources and the use of its unparalleled collections onsite as well as via the World Wide Web.

**Office of Strategic Initiatives.**—The Office of Strategic Initiatives (OSI) supports the Library's mission by directing the national program for long-term preservation of digital cultural assets, leading a collaborative institution-wide effort to develop consolidated plans for our digital future, and integrating the delivery of information technology services (ITS).

**Law Library.**—The Law Library of Congress (LAW) is the world's largest law and legislative library. Its primary mission is to provide the United States Congress, Executive Branch agencies, courts, the legal community, and others with legal research and reference services in foreign, international, and comparative law, and with reference assistance in American law. LAW's core research capacity includes foreign and U.S. trained attorneys, librarians, and linguists. They use LAW's repository of more than 5 million volumes or volume-equivalents of comprehensive legal materials, including nearly 3 million books and periodicals. These cover approximately 240 legal systems and jurisdictions. LAW acquires, maintains, and preserves its collection in both analog and digital formats, and draws on virtual technology to make the collections accessible through various systems and products. THOMAS, which makes federal legislative information freely available to the public, includes full texts of bills, resolutions, the Congressional Record, legislative calendars, committee information, treaties plus a full range of other congressional material. Congress.gov, currently in beta, is the planned replacement for THOMAS. Additional LAW-supported systems and products are providing access to historic legislative documents which focus on legal research techniques, events and issues. The Law Library assists Congress and other constituents with the exchange of legal sources online through which foreign legislatures and government agencies and international and multi-national organizations contribute laws, regulations, and related legal materials accessible via the Internet.

**Office of Support Operations.**—The Office of Support Operations service unit provides centralized leadership and management of essential infrastructure services that support the operational

requirements of the entire Library of Congress. In partnership with customers, the Office provides support operations that enable the Library to fulfill its mission through the following program offices: Human Resources Services, Integrated Support Services, the Office of Security and Emergency Preparedness, and the Office of Opportunity, Inclusiveness and Compliance.

**Office of the Inspector General.**—The Office of the Inspector General (OIG), an independent office within the Library of Congress, has a statutory mandate to provide policy direction for and conduct, supervise, and coordinate performance and financial audits, administrative and criminal investigations, and other reviews relating to programs and operations of the Library; review and make recommendations on the impact of existing and proposed legislation and Library regulations; recommend policies for, and conduct, supervise, or coordinate other activities carried out or financed by the Library for the purpose of promoting economy and efficiency and preventing and detecting fraud, waste, and abuse in Library programs and operations; coordinate relationships between the Library and other organizations and entities with respect to OIG matters; keep the Librarian and the Congress informed about serious problems relating to the programs and operations of the Library; recommend corrective action and report on the progress made in implementing such corrective actions; and provide leadership and coordination and recommend policies to promote effective management.

*collections under such section, in excess of the amount authorized for obligation or expenditure in appropriations Acts: Provided further, That not more than \$5,590,000 shall be derived from collections during fiscal year 2014 under sections 111(d)(2), 119(b)(2), 803(e), 1005, and 1316 of such title: Provided further, That the total amount available for obligation shall be reduced by the amount by which collections are less than \$33,619,000: Provided further, That not more than \$100,000 of the amount appropriated is available for the maintenance of an "International Copyright Institute" in the Copyright Office of the Library of Congress for the purpose of training nationals of developing countries in intellectual property laws and policies: Provided further, That not more than \$6,500 may be expended, on the certification of the Librarian of Congress, in connection with official representation and reception expenses for activities of the International Copyright Institute and for copyright delegations, visitors, and seminars: Provided further, That notwithstanding any provision of chapter 8 of title 17, United States Code, any amounts made available under this heading which are attributable to royalty fees and payments received by the Copyright Office pursuant to sections 111, 119, and chapter 10 of such title may be used for the costs incurred in the administration of the Copyright Royalty Judges program, with the exception of the costs of salaries and benefits for the Copyright Royalty Judges and staff under section 802(e).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Object Classification (in millions of dollars)                  |             |         |           |  |
|---|-------------|---------|-----------|--|
| Identification code 03–0101–0–1–503                             | 2012 actual | 2013 CR | 2014 est. |  |
| Direct obligations:   |             |         |           |  |
| Personnel compensation:   |             |         |           |  |
| 11.1 Full-time permanent .....                                  | 193         | 199     | 204       |  |
| 11.3 Other than full-time permanent .....                       | 4           | 4       | 4         |  |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |  |
| 11.9 Total personnel compensation .....                         | 199         | 205     | 210       |  |
| 12.1 Civilian personnel benefits .....                          | 57          | 55      | 56        |  |
| 13.0 Benefits for former personnel .....                        | 3           |         |           |  |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |  |
| 22.0 Transportation of things .....                             |             |         | 1         |  |
| 23.1 Rental payments to GSA .....                               | 3           | 3       | 4         |  |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 3       | 3         |  |
| 24.0 Printing and reproduction .....                            | 2           | 2       | 2         |  |
| 25.1 Advisory and assistance services .....                     | 27          | 27      | 27        |  |
| 25.2 Other services from non-Federal sources .....              | 35          | 33      | 34        |  |
| 25.3 Other goods and services from Federal sources .....        | 8           | 8       | 9         |  |
| 25.4 Operation and maintenance of facilities .....              | 7           | 7       | 7         |  |
| 25.7 Operation and maintenance of equipment .....               | 16          | 19      | 21        |  |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |  |
| 31.0 Equipment .....  | 43          | 41      | 42        |  |
| 41.0 Grants, subsidies, and contributions .....                 | 5           | 6       | 6         |  |
| 99.0 Direct obligations .....                                   | 414         | 413     | 426       |  |
| 99.0 Reimbursable obligations .....                             | 10          | 13      | 13        |  |
| 99.5 Below reporting threshold .....                            |             | 4       | 2         |  |
| 99.9 Total new obligations .....                                | 424         | 430     | 441       |  |

#### Employment Summary

| Identification code 03–0101–0–1–503                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 2,153       | 2,471   | 2,471     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 6           | 27      | 27        |

#### COPYRIGHT OFFICE

##### SALARIES AND EXPENSES

*For all necessary expenses of the Copyright Office, \$52,952,000, of which not more than \$28,029,000, to remain available until expended, shall be derived from collections credited to this appropriation during fiscal year 2014 under section 708(d) of title 17, United States Code: Provided, That the Copyright Office may not obligate or expend any funds derived from*

| Identification code 03–0102–0–1–376  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Registration, recordation, cataloging, acquisitions, & public reference (Basic) ..... | 15          | 15      | 18        |
| 0002 Determinations by Copyright Royalty Judges .....                                      | 1           | 1       | 1         |
| 0799 Total direct obligations .....  | 16          | 16      | 19        |
| 0801 Registration, recordation, cataloging, acquisitions, & public reference (Basic) ..... | 29          | 30      | 28        |
| 0802 Licensing .....   | 5           | 6       | 6         |
| 0899 Total reimbursable obligations .....  | 34          | 36      | 34        |
| 0900 Total new obligations .....   | 50          | 52      | 53        |

|  |    |    |    |
|--|----|----|----|
| <b>Budgetary Resources:</b>  |    |    |    |
| Unobligated balance:   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3  | 1  |    |
| Budget authority:  |    |    |    |
| Appropriations, discretionary:                                     |    |    |    |
| 1100 Appropriation .....   | 16 | 16 | 19 |
| 1160 Appropriation, discretionary (total) .....                    | 16 | 16 | 19 |
| Spending authority from offsetting collections, discretionary:     |    |    |    |
| 1700 Collected .....   | 32 | 35 | 34 |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 32 | 35 | 34 |
| 1900 Budget authority (total) .....                                | 48 | 51 | 53 |
| 1930 Total budgetary resources available .....                     | 51 | 52 | 53 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....              | 1  |    |    |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Change in obligated balance:</b>                   |     |     |     |
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 9   | 7   | 12  |
| 3010 Obligations incurred, unexpired accounts .....   | 50  | 52  | 53  |
| 3020 Outlays (gross) .....                            | –52 | –47 | –53 |
| 3050 Unpaid obligations, end of year .....            | 7   | 12  | 12  |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 9   | 7   | 12  |
| 3200 Obligated balance, end of year .....             | 7   | 12  | 12  |

|   |    |    |    |
|---|----|----|----|
| <b>Budget authority and outlays, net:</b>           |    |    |    |
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 48 | 51 | 53 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 44 | 42 | 44 |
| 4011 Outlays from discretionary balances .....      | 8  | 5  | 9  |
| 4020 Outlays, gross (total) .....                   | 52 | 47 | 53 |

COPYRIGHT OFFICE—Continued  
Program and Financing—Continued

| Identification code 03–0102–0–1–376                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –5          | –5      | –6        |
| 4033 Non-Federal sources .....                                       | –27         | –30     | –28       |
| 4040 Offsets against gross budget authority and outlays (total) .... | –32         | –35     | –34       |
| 4070 Budget authority, net (discretionary) .....                     | 16          | 16      | 19        |
| 4080 Outlays, net (discretionary) .....                              | 20          | 12      | 19        |
| 4180 Budget authority, net (total) .....                             | 16          | 16      | 19        |
| 4190 Outlays, net (total) .....                                      | 20          | 12      | 19        |

The Copyright Office operates the largest database of information in the world for copyright ownership and licensing title, approximately two-thirds of which is funded by fees paid by authors and other copyright owners, and the remainder of which is funded by appropriated dollars, in the interest of commerce and for the benefit of the general public. The amount requested is more than offset by fees received for services rendered and the value of books and other materials deposited with the Office in accordance with the Copyright Act (17 U.S.C. subsections 407 and 408) and transferred to the Library of Congress. The receipts and obligations for 2012, and estimates for 2013 and 2014 are as follows:

|   | (Dollars in thousands) | 2012 actual | 2013 est. | 2014 est. |
|---|------------------------|-------------|-----------|-----------|
| Receipts:   |                        |             |           |           |
| Offsetting Collections .....  |                        | \$32,281    | \$35,513  | \$33,619  |
| Estimated value of materials deposited and transferred to the Library of Congress ..... |                        | \$30,453    | \$ 31,000 | \$31,000  |
| Total Receipts .....  |                        | \$62,734    | \$66,513  | \$64,619  |
| Obligations .....   |                        | \$50,486    | \$51,749  | \$52,952  |

*Registration, recordation, acquisitions, copyright records, public information, and expert advice on copyright issues.*—The Copyright Office is responsible for registering copyright claims and renewals, vessel hull designs, and mask works, which relate to an estimated 550,000 copyright registrations in 2014 and 2013 and 511,539 during 2012. Additional responsibilities of the Office include: recording assignments and other copyright-related documents; acquiring books, films, sound recordings, and other works for possible inclusion in Library of Congress collections; creating and making available records of copyright ownership; providing copyright information to the public; and providing expert advice to Congress and executive agencies on copyright policy, piracy, and copyright trade agreements.

*Licensing Division.*—The Licensing Division handles administrative provisions of copyright statutory licenses and obligations, including those involving secondary transmissions by cable television systems and satellite carriers and the importation, manufacture and distribution of digital audio recording devices and distribution media. The division collects specified royalty fees for distribution to copyright owners upon determinations rendered by the Copyright Royalty Board. The Division also collects receipts from digital audio devices and distributes to the copyright owners through this appropriation after deduction of administrative costs for the Copyright Office Licensing Division and the Copyright Royalty Judges program. Distributions are made in accordance with the schedule established by 17 U.S.C. subsection 1007.

*Copyright Royalty Judges (CRJ).*—The Copyright Royalty Judges and their staff, who operate under the Librarian of Congress, determine royalty distributions and adjust royalty rates.

## Object Classification (in millions of dollars)

| Identification code 03–0102–0–1–376                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 11          | 12      | 13        |
| 12.1 Civilian personnel benefits .....                 | 3           | 3       | 3         |
| 13.0 Benefits for former personnel .....               | 1           |         |           |
| 25.2 Other services from non-Federal sources .....     | 1           | 1       | 3         |
| 99.0 Direct obligations .....                          | 16          | 16      | 19        |
| 99.0 Reimbursable obligations .....                    | 33          | 35      | 33        |
| 99.5 Below reporting threshold .....                   | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 50          | 52      | 53        |

## Employment Summary

| Identification code 03–0102–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 350         | 415     | 419       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 46          | 60      | 56        |

## CONGRESSIONAL RESEARCH SERVICE

## SALARIES AND EXPENSES

*For all necessary expenses to carry out the provisions of section 203 of the Legislative Reorganization Act of 1946 (2 U.S.C. 166) and to revise and extend the Annotated Constitution of the United States of America, \$109,979,000: Provided, That no part of such amount may be used to pay any salary or expense in connection with any publication, or preparation of material therefor (except the Digest of Public General Bills), to be issued by the Library of Congress unless such publication has obtained prior approval of either the Committee on House Administration of the House of Representatives or the Committee on Rules and Administration of the Senate.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 03–0127–0–1–801                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 107         | 107     | 110       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 107         | 107     | 110       |
| 1160 Appropriation, discretionary (total) .....       | 107         | 107     | 110       |
| 1930 Total budgetary resources available .....        | 107         | 107     | 110       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 14          | 14      | 14        |
| 3010 Obligations incurred, unexpired accounts .....   | 107         | 107     | 110       |
| 3020 Outlays (gross) .....                            | –107        | –107    | –109      |
| 3050 Unpaid obligations, end of year .....            | 14          | 14      | 15        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 14          | 14      | 14        |
| 3200 Obligated balance, end of year .....             | 14          | 14      | 15        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 107         | 107     | 110       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 94          | 98      | 101       |
| 4011 Outlays from discretionary balances .....        | 13          | 9       | 8         |
| 4020 Outlays, gross (total) .....                     | 107         | 107     | 109       |
| 4180 Budget authority, net (total) .....              | 107         | 107     | 110       |
| 4190 Outlays, net (total) .....                       | 107         | 107     | 109       |

The Congressional Research Service (CRS) assists all Members and committees of Congress with its deliberations and legislative decisions by providing objective, authoritative, non-partisan, and confidential research and analysis. As a shared resource, serving Congress exclusively, CRS experts work along side the Congress at all stages of the legislative process and provide integrated and interdisciplinary analysis and insights in all areas of legislative activity.

#### Object Classification (in millions of dollars)

| Identification code 03–0127–0–1–801                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 72          | 73      | 75        |
| 11.3 Other than full-time permanent .....          | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 74          | 76      | 78        |
| 12.1 Civilian personnel benefits .....             | 20          | 20      | 20        |
| 13.0 Benefits for former personnel .....           | 1           | .....   | .....     |
| 25.1 Advisory and assistance services .....        | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources ..... | 2           | 3       | 3         |
| 25.7 Operation and maintenance of equipment .....  | 1           | 1       | 2         |
| 26.0 Supplies and materials .....                  | 3           | 3       | 3         |
| 31.0 Equipment .....                               | 3           | 3       | 3         |
| 99.0 Direct obligations .....                      | 106         | 107     | 110       |
| 99.5 Below reporting threshold .....               | 1           | .....   | .....     |
| 99.9 Total new obligations .....                   | 107         | 107     | 110       |

#### Employment Summary

| Identification code 03–0127–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 616         | 651     | 651       |

### BOOKS FOR THE BLIND AND PHYSICALLY HANDICAPPED

#### SALARIES AND EXPENSES

*For salaries and expenses to carry out the Act of March 3, 1931 (chapter 400; 46 Stat. 1487; 2 U.S.C. 135a), \$51,927,000: Provided, That of the total amount appropriated, \$650,000 shall be available to contract to provide newspapers to blind and physically handicapped residents at no cost to the individual.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 03–0141–0–1–503                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct service to users .....                              | 59          | 51      | 52        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 9           | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 51          | 51      | 52        |
| 1160 Appropriation, discretionary (total) .....                 | 51          | 51      | 52        |
| 1930 Total budgetary resources available .....                  | 60          | 52      | 53        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 59          | 51      | 36        |
| 3010 Obligations incurred, unexpired accounts .....             | 59          | 51      | 52        |
| 3020 Outlays (gross) .....                                      | –66         | –66     | –59       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1          | .....   | .....     |

|   |    |    |    |
|---|----|----|----|
| 3050 Unpaid obligations, end of year .....  | 51 | 36 | 29 |
| Memorandum (non-add) entries:               |    |    |    |
| 3100 Obligated balance, start of year ..... | 59 | 51 | 36 |
| 3200 Obligated balance, end of year .....   | 51 | 36 | 29 |

#### Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 51 | 51 | 52 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 21 | 26 | 27 |
| 4011 Outlays from discretionary balances .....      | 45 | 40 | 32 |
| 4020 Outlays, gross (total) .....                   | 66 | 66 | 59 |
| 4180 Budget authority, net (total) .....            | 51 | 51 | 52 |
| 4190 Outlays, net (total) .....                     | 66 | 66 | 59 |

The National Library Service for the Blind and Physically Handicapped (NLS) is responsible for administering a national program to provide reading material for blind and physically handicapped residents of the United States, its outlying areas, and for U.S. citizens residing abroad.

*Direct service to users.*—During the past five-year period, 2008–2012, the blind and physically handicapped reader accounts throughout the country ranged from 822,203 to approximately 951,219 and circulation ranged from 24,412,476 units (volumes and containers) to approximately 25,316,226.

*Support services.*—A variety of professional, technical, and clerical functions are performed by the NLS. A total of 7,843 requests for information concerning library and related services available to the blind and to other physically handicapped persons were received in 2012 and 90,340 interlibrary loan items were circulated.

#### Object Classification (in millions of dollars)

| Identification code 03–0141–0–1–503                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 8           | 8       | 9         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 24.0 Printing and reproduction .....                     | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 5           | 4       | 6         |
| 25.3 Other goods and services from Federal sources ..... | .....       | 2       | .....     |
| 31.0 Equipment .....                                     | 38          | 30      | 30        |
| 99.0 Direct obligations .....                            | 57          | 50      | 51        |
| 99.5 Below reporting threshold .....                     | 2           | 1       | 1         |
| 99.9 Total new obligations .....                         | 59          | 51      | 52        |

#### Employment Summary

| Identification code 03–0141–0–1–503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 105         | 128     | 128       |

### PAYMENTS TO COPYRIGHT OWNERS

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 03–5175–0–2–376  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | .....       | .....   | .....     |
| Receipts:  |             |         |           |
| 0200 Fees from Jukebox, Satellite and Cable Television for Operating Costs, Copyright Office ..... | 5           | 5       | 5         |
| 0400 Total: Balances and collections .....   | 5           | 5       | 5         |
| Appropriations:  |             |         |           |
| 0500 Payments to Copyright Owners .....  | –5          | –5      | –5        |
| 0799 Balance, end of year .....  | .....       | .....   | .....     |

## PAYMENTS TO COPYRIGHT OWNERS—Continued

## Program and Financing (in millions of dollars)

| Identification code 03–5175–0–2–376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Licensing costs .....                           | 5           | 5       | 5         |
| 0900 Total new obligations (object class 25.3) ..... | 5           | 5       | 5         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 5           | 5       | 5         |
| 1260 Appropriations, mandatory (total) .....         | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....       | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 5           | 5       | 5         |
| 3020 Outlays (gross) .....                           | –5          | –5      | –5        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 5           | 5       | 5         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 5           | 5       | 5         |
| 4180 Budget authority, net (total) .....             | 5           | 5       | 5         |
| 4190 Outlays, net (total) .....                      | 5           | 5       | 5         |

## COOPERATIVE ACQUISITIONS PROGRAM REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 03–4325–0–3–503                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Cooperative Acquisitions Program .....                        | 3           | 6       | 6         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 5       | 5         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | 6       | 6         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           | 6       | 6         |
| 1930 Total budgetary resources available .....                     | 8           | 11      | 11        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           | 1       | 2         |
| 3010 Obligations incurred, unexpired accounts .....                | 3           | 6       | 6         |
| 3020 Outlays (gross) .....   | –3          | –5      | –5        |
| 3050 Unpaid obligations, end of year .....                         | 1           | 2       | 3         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           | 1       | 2         |
| 3200 Obligated balance, end of year .....                          | 1           | 2       | 3         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 3           | 6       | 6         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 3           | 5       | 5         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | –3          | –6      | –6        |
| 4190 Outlays, net (total) .....                                    | .....       | –1      | –1        |

Under the authority of 2 U.S.C. 182, the Library of Congress operates a revolving fund for the acquisition of foreign research materials for participating institutions through the Library's overseas offices.

## Object Classification (in millions of dollars)

| Identification code 03–4325–0–3–503                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             | 1       | 1         |
| 31.0 Equipment .....                                   | 2           | 3       | 4         |
| 99.0 Reimbursable obligations .....                    | 2           | 4       | 5         |
| 99.5 Below reporting threshold .....                   | 1           | 2       | 1         |
| 99.9 Total new obligations .....                       | 3           | 6       | 6         |

## Employment Summary

| Identification code 03–4325–0–3–503                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... |             | 7       | 7         |

## DUPLICATION SERVICES

Under the authority of 2 U.S.C. 182a, the Library of Congress operates a revolving fund to provide preservation and duplication and delivery services for the Library's audio-visual collections, including duplication services for motion pictures, videotapes, sound recordings, and radio and television broadcasts. Audio-visual preservation and duplication services are also provided to other archives, libraries, and industry constituents.

## GIFT SHOP, DECIMAL CLASSIFICATION, PHOTO DUPLICATION, AND RELATED SERVICES

## Program and Financing (in millions of dollars)

| Identification code 03–4346–0–3–503                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 National Library .....  | 6           | 10      | 10        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 2           | 2       | 2         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 6           | 10      | 10        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 6           | 10      | 10        |
| 1930 Total budgetary resources available .....                       | 8           | 12      | 12        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....                  | 6           | 10      | 10        |
| 3020 Outlays (gross) .....   | –5          | –10     | –10       |
| 3050 Unpaid obligations, end of year .....                           | 2           | 2       | 2         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1           | 2       | 2         |
| 3200 Obligated balance, end of year .....                            | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 6           | 10      | 10        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 3           | 9       | 9         |
| 4011 Outlays from discretionary balances .....                       | 2           | 1       | 1         |
| 4020 Outlays, gross (total) .....                                    | 5           | 10      | 10        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –2          | –10     | –10       |
| 4033 Non-Federal sources .....                                       | –4          | .....   | .....     |
| 4040 Offsets against gross budget authority and outlays (total) .... | –6          | –10     | –10       |
| 4080 Outlays, net (discretionary) .....                              | –1          | .....   | .....     |
| 4190 Outlays, net (total) .....                                      | –1          | .....   | .....     |

Under the authority of 2 U.S.C. 182b, the Library of Congress operates a revolving fund for the support of the Library's retail marketing sales shop activities; for providing Dewey Decimal Classification editorial services; for providing preservation micro-filming services for the Library's collections and photocopy, microfilm, photographic and digital services to other libraries, research institutions, government agencies, and individuals in the United States and abroad; and for operating special events and programs.

#### Object Classification (in millions of dollars)

| Identification code 03-4346-0-3-503                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                                |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 2           | 3       | 3         |
| 11.5 Other personnel compensation .....                  | .....       | 1       | .....     |
| 11.9 Total personnel compensation .....                  | 2           | 4       | 3         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | .....       | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | .....       | 1       | 1         |
| 26.0 Supplies and materials .....                        | 1           | 1       | 1         |
| 99.0 Reimbursable obligations .....                      | 6           | 10      | 9         |
| 99.5 Below reporting threshold .....                     | .....       | .....   | 1         |
| 99.9 Total new obligations .....                         | 6           | 10      | 10        |

#### Employment Summary

| Identification code 03-4346-0-3-503                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 25          | 31      | 31        |

### FEDLINK PROGRAM AND FEDERAL RESEARCH PROGRAM

#### Program and Financing (in millions of dollars)

| Identification code 03-4543-0-4-503                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Fedlink and Federal Research .....                            | 96          | 160     | 167       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 11          | 10      | 10        |
| 1021 Recoveries of prior year unpaid obligations .....             | 10          | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 21          | 10      | 10        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 80          | 160     | 167       |
| 1701 Change in uncollected payments, Federal sources .....         | 5           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 85          | 160     | 167       |
| 1930 Total budgetary resources available .....                     | 106         | 170     | 177       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 10          | 10      | 10        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 54          | 57      | 61        |
| 3010 Obligations incurred, unexpired accounts .....                | 96          | 160     | 167       |
| 3020 Outlays (gross) .....   | -83         | -156    | -163      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -10         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 57          | 61      | 65        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -14         | -19     | -19       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -5          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -19         | -19     | -19       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 40          | 38      | 42        |
| 3200 Obligated balance, end of year .....                          | 38          | 42      | 46        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 85          | 160     | 167       |

|  |     |       |       |
|--|-----|-------|-------|
| Outlays, gross:  |     |       |       |
| 4010 Outlays from new discretionary authority .....                  | 46  | 101   | 105   |
| 4011 Outlays from discretionary balances .....                       | 37  | 55    | 58    |
| 4020 Outlays, gross (total) .....                                    | 83  | 156   | 163   |
| Offsets against gross budget authority and outlays:                  |     |       |       |
| Offsetting collections (collected) from:                             |     |       |       |
| 4030 Federal sources .....   | -79 | -160  | -167  |
| 4033 Non-Federal sources .....                                       | -1  | ..... | ..... |
| 4040 Offsets against gross budget authority and outlays (total) .... | -80 | -160  | -167  |
| Additional offsets against gross budget authority only:              |     |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -5  | ..... | ..... |
| 4080 Outlays, net (discretionary) .....                              | 3   | -4    | -4    |
| 4190 Outlays, net (total) .....                                      | 3   | -4    | -4    |

Under the authority of 2 U.S.C. 182c, the Library of Congress operates a revolving fund for providing support to federal libraries through cost effective training, procurement of books, serials, and computer-based information retrieval services, and for providing customized research services to federal agencies.

#### Object Classification (in millions of dollars)

| Identification code 03-4543-0-4-503                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                                |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 5           | 7       | 7         |
| 12.1 Civilian personnel benefits .....                   | 1           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 44          | 84      | 88        |
| 25.3 Other goods and services from Federal sources ..... | 1           | 2       | 2         |
| 31.0 Equipment .....                                     | 40          | 63      | 65        |
| 99.0 Reimbursable obligations .....                      | 92          | 159     | 165       |
| 99.5 Below reporting threshold .....                     | 4           | 1       | 2         |
| 99.9 Total new obligations .....                         | 96          | 160     | 167       |

#### Employment Summary

| Identification code 03-4543-0-4-503                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 58          | 71      | 73        |

### Trust Funds

#### GIFT AND TRUST FUND ACCOUNTS

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 03-9971-0-7-503                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                    | 10          | 10      | 10        |
| Receipts:  |             |         |           |
| 0220 Contributions, Library of Congress Gift Fund .....              | 9           | 10      | 10        |
| 0221 Contributions, Library of Congress Permanent Loan Account ..... | 2           | 2       | 3         |
| 0222 Income from Donated Securities, Library of Congress .....       | 2           | 4       | 3         |
| 0240 Interest, Library of Congress Permanent Loan Account .....      | .....       | .....   | 1         |
| 0299 Total receipts and collections .....                            | 13          | 16      | 17        |
| 0400 Total: Balances and collections .....                           | 23          | 26      | 27        |
| Appropriations:  |             |         |           |
| 0500 Gift and Trust Fund Accounts .....                              | -13         | -16     | -17       |
| 0799 Balance, end of year .....                                      | 10          | 10      | 10        |

#### Program and Financing (in millions of dollars)

| Identification code 03-9971-0-7-503                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0003 Library Services .....                           | 16          | 16      | 17        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 41          | 42      | 42        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 13          | 16      | 17        |
| 1260 Appropriations, mandatory (total) .....          | 13          | 16      | 17        |

GIFT AND TRUST FUND ACCOUNTS—Continued  
Program and Financing—Continued

| Identification code 03–9971–0–7–503                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Spending authority from offsetting collections, mandatory:          |             |         |           |
| 1800 Collected .....  | 4           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....  | 4           |         |           |
| 1900 Budget authority (total) .....                                 | 17          | 16      | 17        |
| 1930 Total budgetary resources available .....                      | 58          | 58      | 59        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 42          | 42      | 42        |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 6           | 6       | 5         |
| 3010 Obligations incurred, unexpired accounts .....                 | 16          | 16      | 17        |
| 3020 Outlays (gross) .....  | –16         | –17     | –16       |
| 3050 Unpaid obligations, end of year .....                          | 6           | 5       | 6         |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 6           | 6       | 5         |
| 3200 Obligated balance, end of year .....                           | 6           | 5       | 6         |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                  | 17          | 16      | 17        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                     | 9           | 13      | 13        |
| 4101 Outlays from mandatory balances .....                          | 7           | 4       | 3         |
| 4110 Outlays, gross (total) .....                                   | 16          | 17      | 16        |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4123 Non-Federal sources .....                                      | –4          |         |           |
| 4180 Budget authority, net (total) .....                            | 13          | 16      | 17        |
| 4190 Outlays, net (total) .....                                     | 12          | 17      | 16        |
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....    | 40          | 43      | 46        |
| 5001 Total investments, EOY: Federal securities: Par value .....    | 43          | 46      | 50        |
| 5010 Total investments, SOY: non-Fed securities: Market value ..... | 81          | 90      | 100       |
| 5011 Total investments, EOY: non-Fed securities: Market value ..... | 90          | 100     | 110       |

This schedule covers: (1) funds received as gifts for immediate expenditure, funds received as trust funds for expenditure, and receipts from the sale of recordings, publications, and other materials financed from capital originally received as gifts; (2) income from investments held by or for the Library of Congress Trust Fund Board; and (3) interest paid by the Treasury on the principal funds deposited therewith as described under "Library of Congress Trust Fund, Principal Accounts." The Library has seven program areas related to Gift and Trust funds:

**Library Services.**—Library Services acquires and catalogs materials for the Library's collections; manages and maintains the collections; and preserves materials for use now and in the future. It also serves the public and contributes directly to the nation's educational and intellectual life through programs that interpret the Library's resources and promote the use of its unparalleled collections.

**Office of Strategic Initiatives.**—The Office of Strategic Initiatives (OSI) supports the Library's mission by directing the national program for long-term preservation of digital cultural assets and provides access to the nations incomparable cultural heritage collections.

**Law Library.**—The Law Library of Congress maintains a global law collection of over 2,780,000 volumes and approximately 2.5 million microformats and digital items as well as legal information websites, and provides legal research and reference services, covering more than 200 foreign jurisdictions, to the Congress, the Judiciary, federal agencies, and the public—approximately 100,000 users and over 3,000,000 queries and website visits annually.

**Copyright Office.**—The Copyright Office administers the U.S. Copyright Laws; provides expert advice to the Congress on matters relating to copyright law and policy; provides information and assistance to the executive branch and the courts; and promotes international protection for U.S. copyrighted works.

**Congressional Research Service.**—The Congressional Research Service (CRS) serves all Members and committees of the Congress. CRS experts provide Congress with authoritative, confidential, non-partisan, and objective expertise across the full range of legislative policy issues.

**National Library Service for the Blind and Physically Handicapped.**—The National Library Service for the Blind and Physically Handicapped manages a free national reading program for blind and physically handicapped people - circulating at no cost to users approximately 25,000,000 items a year.

**Revolving Gift Funds.**—Under the authority of 2 U.S.C. 160, the Library of Congress operates six gift revolving activities that provide traveling exhibits, publishing services, and special music programs for the benefit of other libraries, institutions, and the general public.

## Object Classification (in millions of dollars)

| Identification code 03–9971–0–7–503                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....              | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                         | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....                  | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....            | 2           | 2       | 2         |
| 31.0 Equipment .....  | 2           | 2       | 2         |
| 33.0 Investments and loans .....                                    | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....                     | 2           | 2       | 3         |
| 99.0 Direct obligations .....                                       | 13          | 15      | 16        |
| 33.0 Allocation Account - reimbursable: Investments and loans ..... | 2           |         |           |
| 99.5 Below reporting threshold .....                                | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                    | 16          | 16      | 17        |

## Employment Summary

| Identification code 03–9971–0–7–503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 29          | 30      | 30        |

## ADMINISTRATIVE PROVISIONS

## REIMBURSABLE AND REVOLVING FUND ACTIVITIES

**SEC. 1301. (a) IN GENERAL.**—For fiscal year 2014, the obligational authority of the Library of Congress for the activities described in subsection (b) may not exceed \$185,579,000.

**(b) ACTIVITIES.**—The activities referred to in subsection (a) are reimbursable and revolving fund activities that are funded from sources other than appropriations to the Library in appropriations Acts for the legislative branch.

**(c) TRANSFER OF FUNDS.**—During fiscal year 2014, the Librarian of Congress may temporarily transfer funds appropriated in this Act, under the heading "Library of Congress", under the subheading "Salaries and Expenses", to the revolving fund for the FEDLINK Program and the Federal Research Program established under section 103 of the Library of Congress Fiscal Operations Improvement Act of 2000 (Public Law 106–481; 2 U.S.C. 182c): Provided, That the total amount of such transfers may not exceed \$1,900,000: Provided further, That the appropriate revolving fund account shall reimburse the Library for any amounts transferred to it before the period of availability of the Library appropriation expires.

## TRANSFER AUTHORITY

**SEC. 1302. (a) IN GENERAL.**—Amounts appropriated for fiscal year 2014 for the Library of Congress may be transferred during fiscal year 2014 between any of the headings under the heading "Library of Congress"



upon the approval of the Committees on Appropriations of the House of Representatives and the Senate.

(b) **LIMITATION.**—Not more than 10 percent of the total amount of funds appropriated to the account under any heading under the heading "Library of Congress" for fiscal year 2014 may be transferred from that account by all transfers made under subsection (a).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## GOVERNMENT PRINTING OFFICE

### Federal Funds

#### CONGRESSIONAL PRINTING AND BINDING

(INCLUDING TRANSFER OF FUNDS)

For authorized printing and binding for the Congress and the distribution of Congressional information in any format; printing and binding for the Architect of the Capitol; expenses necessary for preparing the semi-monthly and session index to the Congressional Record, as authorized by law (section 902 of title 44, United States Code); printing and binding of Government publications authorized by law to be distributed to Members of Congress; and printing, binding, and distribution of Government publications authorized by law to be distributed without charge to the recipient, \$79,736,000: Provided, That this appropriation shall not be available for paper copies of the permanent edition of the Congressional Record for individual Representatives, Resident Commissioners or Delegates authorized under section 906 of title 44, United States Code: Provided further, That this appropriation shall be available for the payment of obligations incurred under the appropriations for similar purposes for preceding fiscal years: Provided further, That notwithstanding the 2-year limitation under section 718 of title 44, United States Code, none of the funds appropriated or made available under this Act or any other Act for printing and binding and related services provided to Congress under chapter 7 of title 44, United States Code, may be expended to print a document, report, or publication after the 27-month period beginning on the date that such document, report, or publication is authorized by Congress to be printed, unless Congress reauthorizes such printing in accordance with section 718 of title 44, United States Code: Provided further, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Printing Office revolving fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate: Provided further, That notwithstanding sections 901, 902, and 906 of title 44, United States Code, this appropriation may be used to prepare indexes to the Congressional Record on only a monthly and session basis.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 04–0203–0–1–801                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 91          | 91      | 80        |
| 0900 Total new obligations (object class 24.0) .....  | 91          | 91      | 80        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 91          | 91      | 80        |
| 1160 Appropriation, discretionary (total) .....       | 91          | 91      | 80        |
| 1930 Total budgetary resources available .....        | 91          | 91      | 80        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 57          | 59      | 46        |
| 3010 Obligations incurred, unexpired accounts .....   | 91          | 91      | 80        |

|   |     |       |       |
|---|-----|-------|-------|
| 3020 Outlays (gross) .....                                      | –79 | –104  | –99   |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –10 | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                      | 59  | 46    | 27    |
| Memorandum (non-add) entries:                                   |     |       |       |
| 3100 Obligated balance, start of year .....                     | 57  | 59    | 46    |
| 3200 Obligated balance, end of year .....                       | 59  | 46    | 27    |
| <b>Budget authority and outlays, net:</b>                       |     |       |       |
| Discretionary:  |     |       |       |
| 4000 Budget authority, gross .....                              | 91  | 91    | 80    |
| Outlays, gross:   |     |       |       |
| 4010 Outlays from new discretionary authority .....             | 57  | 82    | 72    |
| 4011 Outlays from discretionary balances .....                  | 22  | 22    | 27    |
| 4020 Outlays, gross (total) .....                               | 79  | 104   | 99    |
| 4180 Budget authority, net (total) .....                        | 91  | 91    | 80    |
| 4190 Outlays, net (total) .....                                 | 79  | 104   | 99    |

This appropriation covers authorized printing and binding for the Congress and the Architect of the Capitol, content management, and for printing and binding of Government publications authorized by law to be distributed to Members of Congress. Also, this appropriation includes funding for printing, binding, and distribution of Government publications authorized by law to be distributed without charge to the recipients.

#### OFFICE OF SUPERINTENDENT OF DOCUMENTS

##### SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For expenses of the Office of Superintendent of Documents necessary to provide for the cataloging and indexing of Government publications and their distribution to the public, Members of Congress, other Government agencies, and designated depository and international exchange libraries as authorized by law, \$35,823,000: Provided, That amounts of not more than \$2,000,000 from current year appropriations are authorized for producing and disseminating Congressional serial sets and other related publications for fiscal years 2012 and 2013 to depository and other designated libraries: Provided further, That any unobligated or unexpended balances in this account or accounts for similar purposes for preceding fiscal years may be transferred to the Government Printing Office revolving fund for carrying out the purposes of this heading, subject to the approval of the Committees on Appropriations of the House of Representatives and Senate.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 04–0201–0–1–808                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Depository Library Distribution .....                      | 23          | 23      | 24        |
| 0002 Cataloging and Indexing .....                              | 11          | 11      | 11        |
| 0003 International Exchange .....                               | 1           | 1       | 1         |
| 0900 Total new obligations .....                                | 35          | 35      | 36        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 35          | 35      | 36        |
| 1160 Appropriation, discretionary (total) .....                 | 35          | 35      | 36        |
| 1930 Total budgetary resources available .....                  | 35          | 35      | 36        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 32          | 28      | 30        |
| 3010 Obligations incurred, unexpired accounts .....             | 35          | 35      | 36        |
| 3020 Outlays (gross) .....                                      | –35         | –33     | –35       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 28          | 30      | 31        |

OFFICE OF SUPERINTENDENT OF DOCUMENTS—Continued  
Program and Financing—Continued

| Identification code 04-0201-0-1-808       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....         | 32          | 28      | 30        |
| 3200                                      | Obligated balance, end of year .....           | 28          | 30      | 31        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                  | 35          | 35      | 36        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority ..... | 26          | 16      | 16        |
| 4011                                      | Outlays from discretionary balances .....      | 9           | 17      | 19        |
| 4020                                      | Outlays, gross (total) .....                   | 35          | 33      | 35        |
| 4180                                      | Budget authority, net (total) .....            | 35          | 35      | 36        |
| 4190                                      | Outlays, net (total) .....                     | 35          | 33      | 35        |

The Office of the Superintendent of Documents operates under a separate appropriation that provides funds for: (1) the mailing for Members of Congress and other Government agencies of certain Government publications, as authorized by law; (2) the distribution of Government publications to designated depository libraries, including tangible Government information products, online access to over approximately 5,000 databases of Federal Government information, via GPO's Federal Digital System (FDsys); (3) the compilation of catalogs and indexes of Government publications; and (4) the distribution of Government publications in the International Exchange Program. These four functions are related to the publication activity of other agencies and to the demands of the public, Members of Congress, and depository libraries. Consequently, the Office of the Superintendent of Documents can exercise limited control over the volume of work which it may be called upon to perform. Following is a description of these four functions.

**Distribution for other Government agencies and Members of Congress (By-Law Distribution).**—The Office of Superintendent of Documents maintains mailing lists and mails, at the request of Government agencies and Members of Congress, certain publications specified by public law.

**Federal Depository Library Program.**—Established by Congress to ensure the American public has access to its Government's information, the Federal Depository Library Program (FDLP) involves the acquisition, format conversion, and distribution of depository materials and the coordination of Federal depository libraries across the country.

The mission of the FDLP is to disseminate information products from all three branches of the Government to about 1,200 libraries nationwide. Libraries that have been designated as Federal depositories maintain these information products (as provided by GPO) as part of their existing collections and are responsible for assuring that the public has free access to the material provided by the FDLP.

Included in this program is the maintenance and expansion of free, electronic access to information products produced by the Federal Government via FDsys. Electronic information dissemination and access have greatly expanded the number of publications offered to the Federal depository libraries as well as increasing public use of the FDLP content. As the FDLP continues its transition to a primarily electronic program, the costs of the program are increasingly related to identifying, acquiring, cataloging, linking to, authenticating, modernizing, and providing permanent public access to electronic Government information, which involves recurring costs.

**Cataloging and indexing.**—The Office of Superintendent of Documents is charged with preparing catalogs and indexes of all publications issued by the Federal Government that are not

confidential in character. The principal publication is the web-based "Catalog of U.S. Government Publications" (CGP). GPO's goal is to expand the CGP to a more comprehensive title listing of public documents, both historic and electronic, to increase the visibility and use of Government information products.

**International exchange.**—Under the direction of the Library of Congress (LC), the Superintendent of Documents distributes tangible Government publications to foreign governments that agree to send the United States similar publications of their governments for the LC collections.

## Object Classification (in millions of dollars)

| Identification code 04-0201-0-1-808 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 8           | 10      | 10        |
| 12.1                                | Civilian personnel benefits .....                 | 2           | 3       | 3         |
| 22.0                                | Transportation of things .....                    | 1           | 1       | 1         |
| 24.0                                | Printing and reproduction .....                   | 8           | 8       | 9         |
| 25.2                                | Other services from non-Federal sources .....     | 16          | 13      | 13        |
| 99.9                                | Total new obligations .....                       | 35          | 35      | 36        |

## Employment Summary

| Identification code 04-0201-0-1-808 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 95          | 114     | 114       |

## GOVERNMENT PRINTING OFFICE REVOLVING FUND

*For payment to the Government Printing Office Revolving Fund, \$12,919,000, to remain available until expended, for information technology development, digital equipment, and facilities repair: Provided, That the Government Printing Office is hereby authorized to make such expenditures, within the limits of funds available and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the programs and purposes set forth in the budget for the current fiscal year for the Government Printing Office revolving fund: Provided further, That not more than \$7,500 may be expended on the certification of the Public Printer in connection with official representation and reception expenses: Provided further, That the revolving fund shall be available for the hire or purchase of not more than 12 passenger motor vehicles: Provided further, That expenditures in connection with travel expenses of the advisory councils to the Public Printer shall be deemed necessary to carry out the provisions of title 44, United States Code: Provided further, That the revolving fund shall be available for temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level V of the Executive Schedule under section 5316 of such title: Provided further, That activities financed through the revolving fund may provide information in any format: Provided further, That the revolving fund and the funds provided under the headings "Office of Superintendent of Documents" and "Salaries and Expenses" may not be used for contracted security services at GPO's passport facility in the District of Columbia.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 04-4505-0-4-808     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0801                                    | Printing and binding .....              | 661         | 667     | 677       |
| 0802                                    | Publication and Information Sales ..... | 20          | 16      | 19        |
| 0811                                    | Capital investment .....                | 17          | 42      | 28        |

|  |  |      |      |      |
|--|--|------|------|------|
| 0900   | Total new obligations .....  | 698  | 725  | 724  |
| <b>Budgetary Resources:</b>                                |  |      |      |      |
| Unobligated balance:                                       |  |      |      |      |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 165  | 130  | 80   |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....                 | 1    |      |      |
| 1012   | Unobligated balance transfers between expired and unexpired accounts ..... | 14   |      |      |
| 1050   | Unobligated balance (total) .....  | 179  | 130  | 80   |
| Budget authority:  |  |      |      |      |
| Appropriations, discretionary:                             |  |      |      |      |
| 1100   | Appropriation .....  | 1    | 1    | 13   |
| 1160   | Appropriation, discretionary (total) .....                                 | 1    | 1    | 13   |
| Spending authority from offsetting collections, mandatory: |  |      |      |      |
| 1800   | Collected .....  | 668  | 693  | 709  |
| 1801   | Change in uncollected payments, Federal sources .....                      | -20  | -19  | -21  |
| 1850   | Spending auth from offsetting collections, mand (total) .....              | 648  | 674  | 688  |
| 1900   | Budget authority (total) .....   | 649  | 675  | 701  |
| 1930   | Total budgetary resources available .....                                  | 828  | 805  | 781  |
| Memorandum (non-add) entries:                              |  |      |      |      |
| 1941   | Unexpired unobligated balance, end of year .....                           | 130  | 80   | 57   |
| <b>Change in obligated balance:</b>                        |  |      |      |      |
| Unpaid obligations:  |  |      |      |      |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                           | 451  | 563  | 567  |
| 3010   | Obligations incurred, unexpired accounts .....                             | 698  | 725  | 724  |
| 3020   | Outlays (gross) .....  | -586 | -721 | -839 |
| 3050   | Unpaid obligations, end of year .....                                      | 563  | 567  | 452  |
| Uncollected payments:                                      |  |      |      |      |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -320 | -300 | -281 |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                  | 20   | 19   | 21   |
| 3090   | Uncollected pymts, Fed sources, end of year .....                          | -300 | -281 | -260 |
| Memorandum (non-add) entries:                              |  |      |      |      |
| 3100   | Obligated balance, start of year .....                                     | 131  | 263  | 286  |
| 3200   | Obligated balance, end of year .....                                       | 263  | 286  | 192  |
| <b>Budget authority and outlays, net:</b>                  |  |      |      |      |
| Discretionary:   |  |      |      |      |
| 4000   | Budget authority, gross .....  | 1    | 1    | 13   |
| Outlays, gross:  |  |      |      |      |
| 4010   | Outlays from new discretionary authority .....                             |      | 1    | 13   |
| Mandatory:   |  |      |      |      |
| 4090   | Budget authority, gross .....  | 648  | 674  | 688  |
| Outlays, gross:  |  |      |      |      |
| 4100   | Outlays from new mandatory authority .....                                 | 421  | 573  | 585  |
| 4101   | Outlays from mandatory balances .....                                      | 165  | 147  | 241  |
| 4110   | Outlays, gross (total) .....   | 586  | 720  | 826  |
| Offsets against gross budget authority and outlays:        |  |      |      |      |
| Offsetting collections (collected) from:                   |  |      |      |      |
| 4120   | Federal sources .....  | -653 | -684 | -700 |
| 4123   | Non-Federal sources .....  | -15  | -9   | -9   |
| 4130   | Offsets against gross budget authority and outlays (total) ....            | -668 | -693 | -709 |
| Additional offsets against gross budget authority only:    |  |      |      |      |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....                  | 20   | 19   | 21   |
| 4170   | Outlays, net (mandatory) .....   | -82  | 27   | 117  |
| 4180   | Budget authority, net (total) .....  | 1    | 1    | 13   |
| 4190   | Outlays, net (total) .....   | -82  | 28   | 130  |

By law, GPO's revolving fund is used to finance GPO's printing, print procurement, and sales of Government publications operations. Apart from passport production, over 70 percent of GPO's printing revenue is from agencies for work procured by the GPO to the private sector printing industry through the Print Procurement Program. These printing needs are effectively satisfied through this procurement activity because the highly competitive process provides access to the vast resources, expertise, and specialization within the commercial sector. The Program competitively acquires products and services from more than 2,000 private sector firms in all 50 states every year through various types of procurement vehicles tailored to the specific needs of customers. It is one of the Government's most successful procurement programs, assuring the most cost-effective use of the taxpayers' print dollar. For our customers in Federal agencies, we will continue to provide printed products and services through private sector vendors using GPO's experience and buying power

to create the best value for taxpayers, and offering them more flexibility in choosing and working directly with vendors. GPO's in-plant facility provides electronic and tangible print products in support of the information and day-to-day business needs of Congress and Federal agencies. GPO produces the *Congressional Record* overnight when Congress is in session, and bills, hearings, documents, reports and committee prints in time to support Congress' legislative needs. Also produced are the *Federal Register*, the *Code of Federal Regulations*, passports (both in Washington, DC, and at our alternate production facility at the Stennis Space Center, MS), smart cards, and other key Government documents, such as the annual *U.S. Budget*. With a few exceptions, the paper used to produce the printed documents by GPO and its contractors meets or exceeds Federal recycled paper requirements, and all GPO printing inks are manufactured from vegetable oil in accordance with the Vegetable Ink Printing Act of 1994.

By law, GPO offers Government publications for sale to the public. Thousands of titles are for sale at any given time, including books, CD-ROMs, and other electronic formats. Some titles are available in e-book format. GPO's publications sales program provides the public with a wide variety of low cost consumer-oriented publications as well as Congressional documents and Executive and Judicial publications. Documents can also be ordered through GPO's secure Online Bookstore site at <http://bookstore.gpo.gov>. Free low cost publications are distributed through the Federal Citizen Information Center in Pueblo, CO. GPO also provides publications distribution services for Federal agencies on a reimbursable basis.

#### Object Classification (in millions of dollars)

| Identification code 04-4505-0-4-808 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Reimbursable obligations:           |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 157         | 154     | 158       |
| 11.5                                | Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 158         | 155     | 159       |
| 12.1                                | Civilian personnel benefits .....                          | 51          | 52      | 53        |
| 21.0                                | Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 22.0                                | Transportation of things .....                             | 8           | 10      | 10        |
| 23.2                                | Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 13          | 16      | 16        |
| 24.0                                | Printing and reproduction .....                            | 308         | 277     | 283       |
| 25.2                                | Other services from non-Federal sources .....              | 36          | 37      | 37        |
| 26.0                                | Supplies and materials .....                               | 104         | 133     | 135       |
| 31.0                                | Equipment .....  | 17          | 42      | 28        |
| 99.9                                | Total new obligations .....                                | 698         | 725     | 724       |

#### Employment Summary

| Identification code 04-4505-0-4-808 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 1,825       | 1,796   | 1,796     |

## GOVERNMENT ACCOUNTABILITY OFFICE

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Government Accountability Office, including not more than \$12,500 to be expended on the certification of the Comptroller General of the United States in connection with official representation and reception expenses; temporary or intermittent services under section 3109(b) of title 5, United States Code, but at rates for individuals not more than the daily equivalent of the annual rate of basic pay for level IV of the Executive Schedule under section 5315 of such title; hire of one passenger motor vehicle; advance payments in foreign countries in accordance with section 3324 of title 31, United States Code; benefits

## SALARIES AND EXPENSES—Continued

comparable to those payable under sections 901(5), (6), and (8) of the Foreign Service Act of 1980 (22 U.S.C. 4081(5), (6), and (8)); and under regulations prescribed by the Comptroller General of the United States, rental of living quarters in foreign countries, \$524,339,000: Provided, That, in addition, \$31,918,000 of payments received under sections 782, 3521, and 9105 of title 31, United States Code, shall be available without fiscal year limitation: Provided further, That this appropriation and appropriations for administrative expenses of any other department or agency which is a member of the National Intergovernmental Audit Forum or a Regional Intergovernmental Audit Forum shall be available to finance an appropriate share of either Forum's costs as determined by the respective Forum, including necessary travel expenses of non-Federal participants: Provided further, That payments hereunder to the Forum may be credited as reimbursements to any appropriation from which costs involved are initially financed.

## ADMINISTRATIVE PROVISIONS

Sec. 1. (a) Available balances of expired Government Accountability Office appropriations shall be available to the Government Accountability Office to make the deposit to the credit of the Employees' Compensation Fund required by section 8147(b) of title 5, United States Code. (b) This section shall apply with respect to appropriations for fiscal years 2013 and each fiscal year thereafter.

Sec. 2. Subsection 3555(c) of title 31, United States Code, is amended, (1) by inserting "(1)" after "(c)"; and (2) by adding at the end the following new paragraph: "(2) The Comptroller General may charge and collect fees for filing protests under this subchapter for the purpose of covering the costs of developing, maintaining, and operating an electronic system for filing such protests. The Comptroller General may retain and use such fees immediately and without fiscal year limitation for such purpose."

Sec. 3. Section 901 of division A of the American Recovery and Reinvestment Act of 2009 (Public Law 111–5) is amended, (1) in paragraph (a)(1) by striking "bimonthly" and inserting in its place "annual"; and (2) in subsection (a) by adding at the end the following new paragraph: "(3): The Comptroller General shall conduct reviews and prepare reports under this subsection through October 1, 2014."

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 05–0107–0–1–801   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 GOAL 1–Timely, quality service to Congress & federal gov. to address current & emerging challenges to the well-being & financial security of the American people ..... | 207         | 202     | 206       |
| 0002 GOAL 2–Timely, quality service to Congress & federal government to respond to changing security threats & the challenges of global interdependence .....               | 128         | 121     | 124       |
| 0003 GOAL 3–Help transform the federal government's role & how it does business to meet 21st century challenges .....   | 135         | 140     | 143       |
| 0004 GOAL 4–Maximize the value of GAO by being a model federal agency & a world-class professional services organization .....  | 18          | 13      | 13        |
| 0005 GOAL 8–Other costs to support the Congress .....   | 23          | 38      | 38        |
| 0799 Total direct obligations .....   | 511         | 514     | 524       |
| 0801 Reimbursable program activity goal 1 .....   | 2           | 8       | 12        |
| 0803 Reimbursable program activity goal 3 .....   | 19          | 25      | 32        |
| 0804 Reimbursable program activity goal 4 .....   | 1           | 1       | 1         |
| 0809 Reimbursable program activities, subtotal .....  | 22          | 34      | 45        |
| 0899 Total reimbursable obligations .....   | 22          | 34      | 45        |
| 0900 Total new obligations .....  | 533         | 548     | 569       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 11          | 27      | 27        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 511         | 514     | 524       |
| 1160 Appropriation, discretionary (total) .....   | 511         | 514     | 524       |

|   |      |       |       |
|---|------|-------|-------|
| Spending authority from offsetting collections, discretionary:      |      |       |       |
| 1700 Collected .....  | 31   | 34    | 45    |
| 1701 Change in uncollected payments, Federal sources .....          | 7    | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 38   | 34    | 45    |
| 1900 Budget authority (total) .....                                 | 549  | 548   | 569   |
| 1930 Total budgetary resources available .....                      | 560  | 575   | 596   |
| Memorandum (non-add) entries:                                       |      |       |       |
| 1941 Unexpired unobligated balance, end of year .....               | 27   | 27    | 27    |
| <b>Change in obligated balance:</b>                                 |      |       |       |
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 74   | 67    | 28    |
| 3010 Obligations incurred, unexpired accounts .....                 | 533  | 548   | 569   |
| 3011 Obligations incurred, expired accounts .....                   | 10   | ..... | ..... |
| 3020 Outlays (gross) .....  | –539 | –587  | –577  |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –11  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                          | 67   | 28    | 20    |
| Uncollected payments:   |      |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –9   | –14   | –14   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –7   | ..... | ..... |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 2    | ..... | ..... |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –14  | –14   | –14   |
| Memorandum (non-add) entries:                                       |      |       |       |
| 3100 Obligated balance, start of year .....                         | 65   | 53    | 14    |
| 3200 Obligated balance, end of year .....                           | 53   | 14    | 6     |
| <b>Budget authority and outlays, net:</b>                           |      |       |       |
| Discretionary:  |      |       |       |
| 4000 Budget authority, gross .....                                  | 549  | 548   | 569   |
| Outlays, gross:   |      |       |       |
| 4010 Outlays from new discretionary authority .....                 | 473  | 537   | 558   |
| 4011 Outlays from discretionary balances .....                      | 66   | 50    | 19    |
| 4020 Outlays, gross (total) .....                                   | 539  | 587   | 577   |
| Offsets against gross budget authority and outlays:                 |      |       |       |
| Offsetting collections (collected) from:                            |      |       |       |
| 4030 Federal sources .....  | –33  | –34   | –45   |
| Additional offsets against gross budget authority only:             |      |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –7   | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts .....      | 2    | ..... | ..... |
| 4060 Additional offsets against budget authority only (total) ..... | –5   | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                    | 511  | 514   | 524   |
| 4080 Outlays, net (discretionary) .....                             | 506  | 553   | 532   |
| 4180 Budget authority, net (total) .....                            | 511  | 514   | 524   |
| 4190 Outlays, net (total) .....                                     | 506  | 553   | 532   |

GAO exists to support the Congress in meeting its constitutional responsibilities and to help improve the performance and ensure the accountability of the Federal Government for the benefit of the American people.

## Object Classification (in millions of dollars)

| Identification code 05–0107–0–1–801                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 306         | 305     | 317       |
| 11.3 Other than full-time permanent .....                       | 11          | 12      | 13        |
| 11.5 Other personnel compensation .....                         | 2           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 319         | 321     | 334       |
| 12.1 Civilian personnel benefits .....                          | 92          | 96      | 100       |
| 21.0 Travel and transportation of persons .....                 | 7           | 7       | 7         |
| 23.1 Rental payments to GSA .....                               | 9           | 8       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 9           | 7       | 4         |
| 25.1 Advisory and assistance services .....                     | 3           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 17          | 15      | 15        |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....              | 4           | 3       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 41          | 47      | 47        |
| 26.0 Supplies and materials .....                               | 1           | 2       | 2         |
| 31.0 Equipment .....  | 2           | 1       | 1         |
| 32.0 Land and structures .....                                  | 6           | 2       | .....     |
| 99.0 Direct obligations .....                                   | 511         | 514     | 524       |
| 99.0 Reimbursable obligations .....                             | 21          | 34      | 45        |
| 99.5 Below reporting threshold .....                            | 1           | .....   | .....     |
| 99.9 Total new obligations .....                                | 533         | 548     | 569       |

## Employment Summary

| Identification code 05-0107-0-1-801                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 2,977       | 2,955   | 3,078     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 20          | 20      | 20        |

## UNITED STATES TAX COURT

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses, including contract reporting and other services as authorized by 5 U.S.C. 3109, \$52,653,294: Provided, That travel expenses of the judges shall be paid upon the written certificate of the judge.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 23-0100-0-1-752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 51          | 51      | 53        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 52          | 51      | 53        |
| 1160 Appropriation, discretionary (total) .....                 | 52          | 51      | 53        |
| 1930 Total budgetary resources available .....                  | 52          | 51      | 53        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | -1          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 8           | 9       | 6         |
| 3010 Obligations incurred, unexpired accounts .....             | 51          | 51      | 53        |
| 3020 Outlays (gross) .....                                      | -49         | -52     | -55       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1          | -2      | -2        |
| 3050 Unpaid obligations, end of year .....                      | 9           | 6       | 2         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 8           | 9       | 6         |
| 3200 Obligated balance, end of year .....                       | 9           | 6       | 2         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 52          | 51      | 53        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 46          | 50      | 53        |
| 4011 Outlays from discretionary balances .....                  | 3           | 2       | 2         |
| 4020 Outlays, gross (total) .....                               | 49          | 52      | 55        |
| 4180 Budget authority, net (total) .....                        | 52          | 51      | 53        |
| 4190 Outlays, net (total) .....                                 | 49          | 52      | 55        |

The United States Tax Court (referred to herein as the Tax Court or Court) was established in 1969 under Article I of the United States Constitution. The Court's jurisdiction is established by U.S. Code Title 26 (the Internal Revenue Code). The Court is a court of law, which the Supreme Court has said closely resembles the Federal District Courts and solely exercises judicial powers. It is also a court of national jurisdiction and conducts trial sessions in 74 cities throughout the United States.

The Tax Court is independent of the Executive and Legislative Branches. It is not affiliated with the Internal Revenue Service (IRS). It is one of three courts in which taxpayers can bring suit to contest IRS liability determinations, and the only one in which taxpayers can do so without prepaying any portion the disputed taxes.

By law, the Tax Court is authorized 19 judges who, among themselves, elect one as Chief Judge. Judges are appointed to 15-year terms by the President, by and with the advice and consent of the Senate. Retired (also known as Senior) judges may be recalled by the Chief Judge to perform judicial duties. The Chief Judge is also authorized to appoint special trial judges who have statutory authority to decide several categories of cases, including cases involving up to \$50,000 in dispute per tax year. Decisions by the Tax Court are reviewable by the United States Courts of Appeals and, if *certiorari* is granted, by the Supreme Court.

## Object Classification (in millions of dollars)

| Identification code 23-0100-0-1-752                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 25          | 25      | 26        |
| 12.1 Civilian personnel benefits .....                 | 6           | 6       | 7         |
| 21.0 Travel and transportation of persons .....        | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                      | 12          | 12      | 12        |
| 25.2 Other services from non-Federal sources .....     | 6           | 5       | 5         |
| 26.0 Supplies and materials .....                      | 1           | 1       | 1         |
| 31.0 Equipment .....                                   |             | 1       | 1         |
| 99.9 Total new obligations .....                       | 51          | 51      | 53        |

## Employment Summary

| Identification code 23-0100-0-1-752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 241         | 260     | 273       |

## Trust Funds

## TAX COURT JUDGES SURVIVORS ANNUITY FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 23-8115-0-7-602  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 8           | 10      | 10        |
| Adjustments:   |             |         |           |
| 0190 Adjustment - rounding issues over several years. ....                         | 3           |         |           |
| 0199 Balance, start of year .....  | 11          | 10      | 10        |
| Receipts:  |             |         |           |
| 0240 Tax Court Judges Survivors Annuity, Interest and Profits on Investments ..... |             | 1       | 1         |
| 0400 Total: Balances and collections .....   | 11          | 11      | 11        |
| Appropriations:  |             |         |           |
| 0500 Tax Court Judges Survivors Annuity Fund .....                                 | -1          | -1      | -1        |
| 0799 Balance, end of year .....  | 10          | 10      | 10        |

## Program and Financing (in millions of dollars)

| Identification code 23-8115-0-7-602                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 1           | 1       | 1         |
| 0900 Total new obligations (object class 12.1) .....  | 1           | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....          | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 1       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 1       | 1         |
| 3050 Unpaid obligations, end of year .....            | 1           | 2       | 3         |

TAX COURT JUDGES SURVIVORS ANNUITY FUND—Continued  
Program and Financing—Continued

| Identification code 23–8115–0–7–602                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 3100 Obligated balance, start of year .....                      |             | 1       | 2         |
| 3200 Obligated balance, end of year .....                        | 1           | 2       | 3         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| <b>Mandatory:</b>  |             |         |           |
| 4090 Budget authority, gross .....                               | 1           | 1       | 1         |
| 4180 Budget authority, net (total) .....                         | 1           | 1       | 1         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 11          | 11      | 11        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 11          | 11      | 11        |

The Tax Court Judges' Survivors Annuity Fund provides survivorship benefits to eligible surviving spouses and dependent children of deceased Tax Court judges. Participating judges pay 3.5 percent of their salaries or retired pay into the fund to cover creditable service for which payment is required. Additional funds, as needed, are provided through the Court's annual appropriation. As of September 30, 2012, 23 judges were participating in the fund. Also as of September 30, 2012, 6 surviving spouses and 1 eligible dependent child were receiving survivorship annuity payments.

LEGISLATIVE BRANCH BOARDS AND  
COMMISSIONS

## Federal Funds

## MEDICARE PAYMENT ADVISORY COMMISSION

## SALARIES AND EXPENSES

*For expenses necessary to carry out section 1805 of the Social Security Act, \$12,087,000, to be transferred to this appropriation from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 48–1550–0–1–571                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0801 Reimbursable program .....                                       | 12          | 12      | 12        |
| 0809 Reimbursable program activities, subtotal .....                  | 12          | 12      | 12        |
| <b>Budgetary Resources:</b>   |             |         |           |
| <b>Budget authority:</b>  |             |         |           |
| <b>Spending authority from offsetting collections, discretionary:</b> |             |         |           |
| 1700 Collected .....  | 12          | 12      | 12        |
| 1750 Spending auth from offsetting collections, disc (total) .....    | 12          | 12      | 12        |
| 1930 Total budgetary resources available .....                        | 12          | 12      | 12        |
| <b>Change in obligated balance:</b>                                   |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                 | 2           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....                   | 12          | 12      | 12        |
| 3020 Outlays (gross) .....  | –12         | –12     | –12       |
| 3050 Unpaid obligations, end of year .....                            | 2           | 2       | 2         |
| <b>Memorandum (non-add) entries:</b>                                  |             |         |           |
| 3100 Obligated balance, start of year .....                           | 2           | 2       | 2         |
| 3200 Obligated balance, end of year .....                             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                             |             |         |           |
| <b>Discretionary:</b>   |             |         |           |
| 4000 Budget authority, gross .....                                    | 12          | 12      | 12        |

|  |     |     |     |
|--|-----|-----|-----|
| <b>Outlays, gross:</b>                                     |     |     |     |
| 4010 Outlays from new discretionary authority .....        | 9   | 12  | 12  |
| 4011 Outlays from discretionary balances .....             | 3   |     |     |
| 4020 Outlays, gross (total) .....                          | 12  | 12  | 12  |
| <b>Offsets against gross budget authority and outlays:</b> |     |     |     |
| <b>Offsetting collections (collected) from:</b>            |     |     |     |
| 4030 Federal sources .....                                 | –12 | –12 | –12 |

The Medicare Payment Advisory Commission, established under section 1805 of the Social Security Act (42 U.S.C. 1395(b)(6)) as added by section 4022 of the Balanced Budget Act of 1997 (P.L. 105–33), is an independent legislative agency charged with advising the Congress on payment and other policy issues affecting the Medicare program, as well as on the implications of changes in health care delivery in the United States and in the market for health care services on the Medicare program.

The Commission's 17 members represent diverse points of view including providers, payers, consumers, employers, and individuals with expertise in biomedical, health services, and health economics research. It maintains a full time staff of 40 in Washington, D.C.

The Commission is required by law to report to the Congress on March 1 and June 15 of each year, and to comment on congressionally mandated reports of the Secretary of Health and Human Services.

## Object Classification (in millions of dollars)

| Identification code 48–1550–0–1–571 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 12          | 12      | 12        |

## Employment Summary

| Identification code 48–1550–0–1–571                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 38          | 38      | 38        |

## NATIONAL HEALTH CARE WORKFORCE COMMISSION

*For necessary expenses for the National Health Care Workforce Commission, as authorized by title V, subtitle B, section 5101 of the Patient Protection and Affordable Care Act (Public Law 111–148), \$3,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 09–1805–0–1–801                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Direct program activity .....                  |             |         | 3         |
| 0900 Total new obligations .....                    |             |         | 3         |
| <b>Budgetary Resources:</b>                         |             |         |           |
| <b>Budget authority:</b>                            |             |         |           |
| <b>Appropriations, discretionary:</b>               |             |         |           |
| 1100 Appropriation .....                            |             |         | 3         |
| 1160 Appropriation, discretionary (total) .....     |             |         | 3         |
| 1930 Total budgetary resources available .....      |             |         | 3         |
| <b>Change in obligated balance:</b>                 |             |         |           |
| <b>Unpaid obligations:</b>                          |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 3         |
| 3020 Outlays (gross) .....                          |             |         | –3        |

| Budget authority and outlays, net: |  |  |   |
|------------------------------------|--|--|---|
| Discretionary:                     |  |  |   |
| 4000                               | Budget authority, gross .....                  |  | 3 |
|                                    | Outlays, gross:                                |  |   |
| 4010                               | Outlays from new discretionary authority ..... |  | 3 |
| 4180                               | Budget authority, net (total) .....            |  | 3 |
| 4190                               | Outlays, net (total) .....                     |  | 3 |

The National Health Care Workforce Commission (the Commission) was established under section 5101 of The Patient Protection and Affordable Care Act. The independent Commission was created to serve as a national resource on health care workforce policy for the Congress, the President, states and localities; communicate and coordinate with federal departments; develop and commission evaluations of education and training activities; identify barriers to improve coordination at the federal, state, and local levels and recommend ways to address them; and to encourage innovations that address population needs, changing technology, and other environmental factors affecting the health care workforce. The Commission's 15 members represent diverse points of view and expertise on workforce issues, including representatives of health care workforce and health professionals; employers, including small business and self-employed individuals; third-party payers; individuals skilled in the conduct and interpretation of health care services and health economics research; representatives of consumers, labor unions, state or local workforce investment boards; and educational institutions. The Commission will maintain a full time staff in Washington, D.C., and is required by law to report to the Congress on April 1 and October 1 of each year.

#### Object Classification (in millions of dollars)

| Identification code 09–1805–0–1–801                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.2 Direct obligations: Other services from non-Federal sources ..... |             |         | 2         |
| 99.5 Below reporting threshold .....                                   |             |         | 1         |
| 99.9 Total new obligations .....                                       |             |         | 3         |

### MEDICAID AND CHIP PAYMENT AND ACCESS COMMISSION SALARIES AND EXPENSES

*For expenses necessary to carry out section 1900 of the Social Security Act, \$9,500,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 09–1801–0–1–551                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0123 Direct program activity .....                     | 7           | 11      | 10        |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 5           | 5       |           |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....                 | 6           | 5       |           |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1100 Appropriation .....                               | 6           | 6       | 10        |
| 1160 Appropriation, discretionary (total) .....        | 6           | 6       | 10        |
| 1900 Budget authority (total) .....                    | 6           | 6       | 10        |
| 1930 Total budgetary resources available .....         | 12          | 11      | 10        |
| Memorandum (non-add) entries:                          |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 5           |         |           |

#### Change in obligated balance:

|   |    |    |     |
|---|----|----|-----|
| Unpaid obligations:   |    |    |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2  | 3  | 6   |
| 3010 Obligations incurred, unexpired accounts .....               | 7  | 11 | 10  |
| 3020 Outlays (gross) .....  | –5 | –8 | –10 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1 |    |     |
| 3050 Unpaid obligations, end of year .....                        | 3  | 6  | 6   |
| Memorandum (non-add) entries:                                     |    |    |     |
| 3100 Obligated balance, start of year .....                       | 2  | 3  | 6   |
| 3200 Obligated balance, end of year .....                         | 3  | 6  | 6   |

#### Budget authority and outlays, net:

|   |                 |   |    |
|---|-----------------|---|----|
| Discretionary:                                      |                 |   |    |
| 4000 Budget authority, gross .....                  | 6               | 6 | 10 |
|   | Outlays, gross: |   |    |
| 4010 Outlays from new discretionary authority ..... | 4               | 5 | 9  |
| 4011 Outlays from discretionary balances .....      |                 |   | 1  |
| 4020 Outlays, gross (total) .....                   | 4               | 5 | 10 |
| Mandatory:  |                 |   |    |
|   | Outlays, gross: |   |    |
| 4101 Outlays from mandatory balances .....          | 1               | 3 |    |
| 4180 Budget authority, net (total) .....            | 6               | 6 | 10 |
| 4190 Outlays, net (total) .....                     | 5               | 8 | 10 |

The Medicaid and CHIP Payment and Access Commission (MACPAC), established under section 1900 of the Social Security Act (42 U.S.C. 1396) as added by section 506 the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3) and later amended by section 2801 of the Patient Protection and Affordable Care Act (P.L. 111–148), is an independent legislative agency charged with advising the Congress on access, payment, and other policies affecting Medicaid and CHIP. The Commission's 17 members, appointed by the Comptroller General of the United States, provide diverse expertise on the Medicaid and CHIP programs. The Commissioners include health care providers, health plan executives, parents or caregivers of enrollees, current and former state and Federal Medicaid and CHIP officials, an actuary, and other Medicaid/CHIP experts. MACPAC is required by law to report to the Congress on March 15 and June 15 of each year and to comment on congressionally mandated reports of the Secretary of Health and Human Services. In carrying out its duties, MACPAC regularly consults with states to inform its analytic work and recommendations. It also coordinates with MedPAC and the Federal Coordinated Health Care Office regarding issues affecting individuals eligible for both Medicare and Medicaid.

#### Object Classification (in millions of dollars)

| Identification code 09–1801–0–1–551                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 4       | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 4           | 6       | 5         |
| 99.9 Total new obligations .....                       | 7           | 11      | 10        |

#### Employment Summary

| Identification code 09–1801–0–1–551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 20          | 26      | 29        |

### UNITED STATES-CHINA ECONOMIC AND SECURITY REVIEW COMMISSION SALARIES AND EXPENSES

*For necessary expenses of the United States-China Economic and Security Review Commission, as authorized by section 1238 of the Floyd D. Spence National Defense Authorization Act for Fiscal Year 2001 (22 U.S.C. 7002), \$3,500,000, including not more than \$4,000 for the purpose of official representation, to remain available until September 30, 2015: Provided,*

UNITED STATES-CHINA ECONOMIC AND SECURITY REVIEW  
COMMISSION—Continued

*That the Commission shall provide to the Committees on Appropriations a quarterly accounting of the cumulative balances of any unobligated funds that were received by the Commission during any previous fiscal year: Provided further, That section 308(e) of the United States-China Relations Act of 2000 (22 U.S.C. 6918(e)) (relating to the treatment of employees as Congressional employees), and section 309 of such Act (22 U.S.C. 6919) (relating to printing and binding costs), shall apply to the Commission in the same manner as such section applies to the Congressional-Executive Commission on the People's Republic of China: Provided further, That the Commission shall comply with chapter 43 of title 5, United States Code, regarding the establishment and regular review of employee performance appraisals: Provided further, That the Commission shall comply with section 4505a of title 5, United States Code, with respect to limitations on payment of performance-based cash awards: Provided further, That compensation for the executive director of the Commission may not exceed the rate payable for level II of the Executive Schedule under section 5313 of title 5, United States Code: Provided further, That travel by members and staff of the Commission shall be arranged and conducted under the rules and procedures applying to travel by members and staff of the House of Representatives.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 48–2973–0–1–801                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 3           | 3       | 4         |
| 0900 Total new obligations .....                      | 3           | 3       | 4         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 3           | 3       | 4         |
| 1160 Appropriation, discretionary (total) .....       | 3           | 3       | 4         |
| 1930 Total budgetary resources available .....        | 4           | 4       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 3       | 4         |
| 3020 Outlays (gross) .....                            | –3          | –3      | –4        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 3           | 3       | 4         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 2           | 3       | 4         |
| 4011 Outlays from discretionary balances .....        | 1           |         |           |
| 4020 Outlays, gross (total) .....                     | 3           | 3       | 4         |
| 4180 Budget authority, net (total) .....              | 3           | 3       | 4         |
| 4190 Outlays, net (total) .....                       | 3           | 3       | 4         |

**U.S.-China Economic and Security Review Commission.**—Congress created the U.S.-China Economic and Security Review Commission (USCC) in 2000 in the National Defense Authorization Act (Public Law 106–398 as amended by Division P of the Consolidated Appropriations Resolution, 2003 (Public Law 108–7), as amended by Public Law 109–108 (November 10, 2005). The statute gives the Commission the mandate to monitor, investigate, and assess the "national security implications of the bilateral trade and economic relationship between the United States and the People's Republic of China." Its members are appointed by Congressional leaders, and its statutory mandate is to report to Congress on Chinese proliferation practices; the qualitative and

quantitative effects of transfers of U.S. economic production activities to China; the effect of China's development on world energy supplies; the access to and use of U.S. capital markets by China; China's regional economic and security impacts; U.S.-China bilateral programs and agreements; China's compliance with its accession agreement to the World Trade Organization; and the implications of China's restrictions on freedom of expression. The Commission reports annually on these issues to the Congress, making recommendations for policy action and legislation when appropriate. In order to obtain new information and perspectives on these issues, the USCC conducts hearings throughout the year and maintains a website containing the records of these proceedings as well as original commissioned research on economic and security matters related to the Commission's statutory mandate.

The Commission is comprised of 12 Commissioners, 3 Commissioners appointed by each leader in the House and Senate, supported by a professional staff numbering approximately 18. The chairmanship of the USCC rotates between a Republican and a Democratic Commissioner upon issuance of each annual report to Congress.

## Object Classification (in millions of dollars)

| Identification code 48–2973–0–1–801  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 2           | 2       | 3         |
| 99.5 Below reporting threshold .....                                       | 1           | 1       | 1         |
| 99.9 Total new obligations .....   | 3           | 3       | 4         |

## Employment Summary

| Identification code 48–2973–0–1–801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 18          | 18      | 18        |
| 1001 Direct civilian full-time equivalent employment ..... | 12          | 12      | 12        |

## UNITED STATES COMMISSION ON INTERNATIONAL RELIGIOUS FREEDOM

## SALARIES AND EXPENSES

*For necessary expenses for the United States Commission on International Religious Freedom, as authorized by title II of the International Religious Freedom Act of 1998 (Public Law 105–292), \$3,500,000, to remain available until September 30, 2014: Provided, That if the United States Commission on International Religious Freedom is authorized beyond September 30, 2014, this amount will remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 48–2975–0–1–801             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>         |             |         |           |
| 0001 Direct program activity .....              | 3           | 3       | 4         |
| 0900 Total new obligations .....                | 3           | 3       | 4         |
| <b>Budgetary Resources:</b>                     |             |         |           |
| Budget authority:                               |             |         |           |
| Appropriations, discretionary:                  |             |         |           |
| 1100 Appropriation .....                        | 3           | 3       | 4         |
| 1160 Appropriation, discretionary (total) ..... | 3           | 3       | 4         |
| 1930 Total budgetary resources available .....  | 3           | 3       | 4         |



|   |  |    |    |    |
|---|--|----|----|----|
| <b>Change in obligated balance:</b>       |  |    |    |    |
| Unpaid obligations:                       |  |    |    |    |
| 3010                                      | Obligations incurred, unexpired accounts ..... | 3  | 3  | 4  |
| 3020                                      | Outlays (gross) .....                          | -3 | -3 | -3 |
| 3050                                      | Unpaid obligations, end of year .....          |    |    | 1  |
| Memorandum (non-add) entries:             |  |    |    |    |
| 3200                                      | Obligated balance, end of year .....           |    |    | 1  |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| 4000                                      | Budget authority, gross .....                  | 3  | 3  | 4  |
| Outlays, gross:                           |  |    |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 3  | 3  | 3  |
| 4180                                      | Budget authority, net (total) .....            | 3  | 3  | 4  |
| 4190                                      | Outlays, net (total) .....                     | 3  | 3  | 3  |

The United States Commission on International Religious Freedom is an independent, bipartisan U.S. Government agency that was created by the International Religious Freedom Act of 1998 to monitor the status of freedom of thought, conscience, and religion or belief abroad, as defined in the Universal Declaration of Human Rights and related international instruments, and to give independent policy recommendations to the President, the Secretary of State and the Congress.

#### Object Classification (in millions of dollars)

| Identification code 48-2975-0-1-801  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 99.5 Below reporting threshold .....                                       | 1           | 1       | 2         |
| 99.9 Total new obligations .....   | 3           | 3       | 4         |

#### Employment Summary

| Identification code 48-2975-0-1-801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 3           | 14      | 14        |

### OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS

#### COMMISSION ON SECURITY AND COOPERATION IN EUROPE

##### SALARIES AND EXPENSES

*For necessary expenses of the Commission on Security and Cooperation in Europe, as authorized by Public Law 94-304, \$2,579,250, to remain available until September 30, 2015.*

#### CONGRESSIONAL-EXECUTIVE COMMISSION ON THE PEOPLE'S REPUBLIC OF CHINA

##### SALARIES AND EXPENSES

*For necessary expenses of the Congressional-Executive Commission on the People's Republic of China, as authorized by title III of the U.S.-China Relations Act of 2000 (22 U.S.C. 6911-6919), \$2,000,000, including not more than \$3,000 for the purpose of official representation, to remain available until September 30, 2015.*

#### DWIGHT D. EISENHOWER MEMORIAL COMMISSION

##### SALARIES AND EXPENSES

*For necessary expenses, including the costs of construction design, of the Dwight D. Eisenhower Memorial Commission, \$2,000,000, to remain available until expended.*

#### Program and Financing (in millions of dollars)

| Identification code 09-9911-0-1-999     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program activity .....      | 6           | 7       | 7         |

|                                |  |    |    |    |
|--------------------------------|--|----|----|----|
| <b>Budgetary Resources:</b>    |  |    |    |    |
| Unobligated balance:           |  |    |    |    |
| 1000                           | Unobligated balance brought forward, Oct 1 ..... | 8  | 8  | 8  |
| 1029                           | Other balances withdrawn .....                   | -1 |    |    |
| 1050                           | Unobligated balance (total) .....                | 7  | 8  | 8  |
| Budget authority:              |  |    |    |    |
| Appropriations, discretionary: |  |    |    |    |
| 1100                           | Appropriation .....                              | 7  | 7  | 7  |
| 1160                           | Appropriation, discretionary (total) .....       | 7  | 7  | 7  |
| 1900                           | Budget authority (total) .....                   | 7  | 7  | 7  |
| 1930                           | Total budgetary resources available .....        | 14 | 15 | 15 |
| Memorandum (non-add) entries:  |  |    |    |    |
| 1941                           | Unexpired unobligated balance, end of year ..... | 8  | 8  | 8  |

|                                     |  |    |    |    |
|-------------------------------------|--|----|----|----|
| <b>Change in obligated balance:</b> |  |    |    |    |
| Unpaid obligations:                 |  |    |    |    |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 2  | 2  | 2  |
| 3010                                | Obligations incurred, unexpired accounts .....   | 6  | 7  | 7  |
| 3020                                | Outlays (gross) .....                            | -6 | -7 | -6 |
| 3050                                | Unpaid obligations, end of year .....            | 2  | 2  | 3  |
| Memorandum (non-add) entries:       |  |    |    |    |
| 3100                                | Obligated balance, start of year .....           | 2  | 2  | 2  |
| 3200                                | Obligated balance, end of year .....             | 2  | 2  | 3  |

|   |  |   |   |   |
|---|--|---|---|---|
| <b>Budget authority and outlays, net:</b>           |  |   |   |   |
| Discretionary:                                      |  |   |   |   |
| 4000  | Budget authority, gross .....                  | 7 | 7 | 7 |
| Outlays, gross:                                     |  |   |   |   |
| 4010  | Outlays from new discretionary authority ..... | 3 | 6 | 6 |
| 4011  | Outlays from discretionary balances .....      | 3 | 1 |   |
| 4020  | Outlays, gross (total) .....                   | 6 | 7 | 6 |
| Offsets against gross budget authority and outlays: |  |   |   |   |
| Offsetting collections (collected) from:            |  |   |   |   |
| 4180  | Budget authority, net (total) .....            | 7 | 7 | 7 |
| 4190  | Outlays, net (total) .....                     | 6 | 7 | 6 |

|                                      |   |   |   |   |
|--------------------------------------|---|---|---|---|
| <b>Memorandum (non-add) entries:</b> |   |   |   |   |
| 5000                                 | Total investments, SOY: Federal securities: Par value ..... | 1 | 1 | 1 |
| 5001                                 | Total investments, EOY: Federal securities: Par value ..... | 1 | 1 | 1 |

This presentation includes the following:

**Commission on Security and Cooperation in Europe.**—The Commission on Security and Cooperation in Europe is authorized and directed to monitor the acts of the signatories which reflect compliance with or violation of the articles of the Final Act of the Conference on Security and Cooperation in Europe, with particular regard to the provisions relating to Cooperation in Humanitarian Fields. The law establishing the Commission on Security and Cooperation in Europe also mandated it to monitor and encourage U.S. Government and private activities designed to expand East-West trade and the exchange of people and ideas. The Commission will receive an annual report from the Secretary of State discussing the overall United States policy objectives that are advanced through meetings of decision-making bodies of the Organization for Security and Cooperation in Europe (OSCE), the OSCE implementation review process, and other activities of the OSCE.

**Congressional-Executive Commission on the People's Republic of China.**—Congress created the Congressional-Executive Commission on the People's Republic of China (CECC) in 2000 by passing Title III of P.L. 106-286, the China Relations Act of 2000. The statute gives the Commission the mandate to monitor the Chinese government's compliance with international human rights standards and to track the development of the rule of law in China. The Commission reports annually on these issues to the President and the Congressional leadership, making recommendations for policy action and legislation when appropriate. The CECC was also charged with creating and maintaining a registry of victims of human rights abuses in China, including prisoners of conscience. The CECC conducts hearings and staffed issues roundtables throughout the year and maintains a website containing the records of these proceedings, as well as

OTHER LEGISLATIVE BRANCH BOARDS AND COMMISSIONS—Continued  
other information about human rights and rule of law issues in China. The CECC seeks to be a resource on these issues for Capitol Hill, the NGO community, the academic world, and the general public.

The Commission comprises nine Senators, nine Members of the House of Representatives, and five Executive Branch officials, supported by a professional staff numbering about 15 people. The chairmanship of the CECC rotates from the Senate to the House in even-numbered Congresses.

**Dwight D. Eisenhower Memorial Commission.**—The Dwight D. Eisenhower Memorial Commission was created by Congress in 1999 by Public Law 106–79. The Commission's congressional mandate is to establish an appropriate, permanent national memorial to Dwight D. Eisenhower, who served as Supreme Commander of the Allied forces in Europe in World War II and subsequently as 34th President of the United States. The Commission's enabling legislation dictates that a memorial should be created to perpetuate his memory and his contributions to the United States. The Commission is bipartisan and consists of 12 members. Four Commissioners are members of the House of Representatives, four are Senators, and four are private citizens appointed by the President. The Commission is led by a World War II combat-decorated veteran: Rocco C. Siciliano. The Commemorative Works Act and GSA policy require sufficient funding for the Commission to complete the Memorial before construction can begin. To continue our accelerated schedule and open the Memorial in May 2015 (the 70th anniversary of victory in World War II), the Commission requests these funds in FY 2014.

**Capital Construction.**—\$49,000,000 for the construction of the memorial.

#### Object Classification (in millions of dollars)

| Identification code 09–9911–0–1–999                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 4           | 4       | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 99.0 Direct obligations .....                          | 5           | 5       | 5         |
| 99.0 Reimbursable obligations .....                    | 1           | 1       | 1         |
| 99.5 Below reporting threshold .....                   |             | 1       | 1         |
| 99.9 Total new obligations .....                       | 6           | 7       | 7         |

#### Employment Summary

| Identification code 09–9911–0–1–999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 44          | 44      | 44        |

#### CAPITAL CONSTRUCTION

*For necessary expenses of the Dwight D. Eisenhower Memorial Commission for design and construction of a memorial in honor of Dwight D. Eisenhower, as authorized by Public Law 106–79, \$49,000,000, to remain available until expended: Provided, That beginning in fiscal year 2012 and thereafter, any procurement for the construction of the permanent memorial to Dwight D. Eisenhower, as authorized by section 8162 of the Department of Defense Appropriations Act, 2000 (16 U.S.C. 431 note; Public Law 106–79), as amended by section 8120 of the Department of Defense Appropriations Act, 2002 (Public Law 107–117), may be issued which includes the full scope of the project: Provided further, That the solicitation and contract with respect to the procurement shall contain the "availability of funds" clause described in section 52.232.18 of title 48, Code of Federal Regulations: Provided further, That the funds appropriated herein shall be deemed to satisfy the criteria for issuing a permit contained in 40 U.S.C. 8906(a)(4) and (b).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 48–2990–0–1–801                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 7           | 31      | 49        |
| 0293 Direct program activities, subtotal .....        | 7           | 31      | 49        |
| 0900 Total new obligations (object class 25.2) .....  | 7           | 31      | 49        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 4           | 28      | 28        |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 31          | 31      | 49        |
| 1160 Appropriation, discretionary (total) .....       | 31          | 31      | 49        |
| 1930 Total budgetary resources available .....        | 35          | 59      | 77        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 28          | 28      | 28        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 6           | 7       | 28        |
| 3010 Obligations incurred, unexpired accounts .....   | 7           | 31      | 49        |
| 3020 Outlays (gross) .....                            | –6          | –10     | –21       |
| 3050 Unpaid obligations, end of year .....            | 7           | 28      | 56        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 6           | 7       | 28        |
| 3200 Obligated balance, end of year .....             | 7           | 28      | 56        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 31          | 31      | 49        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             | 5       | 7         |
| 4011 Outlays from discretionary balances .....        | 6           | 5       | 14        |
| 4020 Outlays, gross (total) .....                     | 6           | 10      | 21        |
| 4180 Budget authority, net (total) .....              | 31          | 31      | 49        |
| 4190 Outlays, net (total) .....                       | 6           | 10      | 21        |

#### OPEN WORLD LEADERSHIP CENTER TRUST FUND

*For a payment to the Open World Leadership Center Trust Fund for financing activities of the Open World Leadership Center under section 313 of the Legislative Branch Appropriations Act, 2001 (2 U.S.C. 1151), \$10,061,200.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 09–0145–0–1–154                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 10          | 10      | 10        |
| 0900 Total new obligations (object class 94.0) ..... | 10          | 10      | 10        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 10          | 10      | 10        |
| 1160 Appropriation, discretionary (total) .....      | 10          | 10      | 10        |
| 1930 Total budgetary resources available .....       | 10          | 10      | 10        |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| <b>Change in obligated balance:</b>       |  |     |     |     |
| Unpaid obligations:                       |  |     |     |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 1   |     |     |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 10  | 10  | 10  |
| 3020                                      | Outlays (gross) .....                            | -11 | -10 | -10 |
| Memorandum (non-add) entries:             |  |     |     |     |
| 3100                                      | Obligated balance, start of year .....           | 1   |     |     |
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Discretionary:                            |  |     |     |     |
| 4000                                      | Budget authority, gross .....                    | 10  | 10  | 10  |
| Outlays, gross:                           |  |     |     |     |
| 4010                                      | Outlays from new discretionary authority .....   | 10  | 10  | 10  |
| 4011                                      | Outlays from discretionary balances .....        | 1   |     |     |
| 4020                                      | Outlays, gross (total) .....                     | 11  | 10  | 10  |
| 4180                                      | Budget authority, net (total) .....              | 10  | 10  | 10  |
| 4190                                      | Outlays, net (total) .....                       | 11  | 10  | 10  |

The Open World Leadership Center, under the direction of its Board of Trustees, supports the identification of emerging leaders from foreign countries selected by the Board of Trustees and oversees the development of an intensive program in the United States to link up to 3,000 participants each year with U.S. counterparts. The Center's mission entails enhancing the understanding and capabilities for cooperation between the United States and participating countries by developing a network of leaders who have gained significant, first-hand exposure to America's democratic, accountable government and its free-market system. The Center has also administered a program to enable cultural leaders from the Russian Federation to gain exposure to the operations of American cultural institutions.

The Center is authorized to solicit and accept federal and private funds, in addition to receipt of this appropriation, and to invest appropriated funds in par value securities at the U.S. Treasury. The Center is governed by an eleven-member board of trustees, composed of the Librarian of Congress, members of the U.S. Senate and House of Representatives and representatives of the private sector. The Center is authorized to obtain a wide range of administrative support, including space, from the Library of Congress.

FY 2014 funding supports U.S. grants and logistical services for hosting in communities throughout the United States as well as other operating expenses of the Center.

### Trust Funds

#### JOHN C. STENNIS CENTER FOR PUBLIC SERVICE TRAINING AND DEVELOPMENT

*For payment to the John C. Stennis Center for Public Service Development Trust Fund established under section 116 of the John C. Stennis Center for Public Service Training and Development Act (2 U.S.C. 1105), \$430,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 09-8275-0-7-801  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 7           | 7       | 7         |
| Receipts:  |             |         |           |
| 0240 Payments, John C. Stennis Center for Public Service Training and Development .....  | 1           | 1       | 1         |
| 0241 Interest Received by Trust Fund, J. C. Stennis Center .....                         |             | 1       | 1         |
| 0299 Total receipts and collections .....  | 1           | 2       | 2         |
| 0400 Total: Balances and collections .....   | 8           | 9       | 9         |
| Appropriations:  |             |         |           |
| 0500 John C. Stennis Center for Public Service Training and Development Trust Fund ..... | -1          | -2      | -2        |

|      |                            |   |   |   |
|------|----------------------------|---|---|---|
| 0799 | Balance, end of year ..... | 7 | 7 | 7 |
|------|----------------------------|---|---|---|

#### Program and Financing (in millions of dollars)

| Identification code 09-8275-0-7-801                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Direct program activity .....                               | 1           | 2       | 2         |
| 0900 Total new obligations (object class 99.5) .....             | 1           | 2       | 2         |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 8           | 8       | 8         |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 1           | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....                     | 1           | 2       | 2         |
| 1900 Budget authority (total) .....                              | 1           | 2       | 2         |
| 1930 Total budgetary resources available .....                   | 9           | 10      | 10        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 8           | 8       | 8         |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              | 1           | 2       | 2         |
| 3020 Outlays (gross) .....                                       | -1          | -2      | -2        |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 1           | 2       | 2         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 1           | 2       | 2         |
| 4180 Budget authority, net (total) .....                         | 1           | 2       | 2         |
| 4190 Outlays, net (total) .....                                  | 1           | 2       | 2         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 15          | 16      | 15        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 16          | 15      | 15        |

The principal for this fund was established by the transfer of \$7,500,000 from the appropriation "Payment to the John C. Stennis Center". The principal for the Stennis Center Fund is a non-expendable corpus invested in Special Issue Certificates of Indebtedness with the U.S. Treasury. The Center's operations are funded by the interest on these Treasury investments as well as by other funds and contributions provided by outside sources.

### Employment Summary

| Identification code 09-8275-0-7-801                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           | 2       | 2         |

#### U.S. CAPITOL PRESERVATION COMMISSION

#### Program and Financing (in millions of dollars)

| Identification code 09-8300-0-7-801                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 10          | 10      | 10        |
| 1930 Total budgetary resources available .....                   | 10          | 10      | 10        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 10          | 10      | 10        |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 10          | 10      | 11        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 10          | 11      | 11        |

## OPEN WORLD LEADERSHIP CENTER TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 09-8148-0-7-154   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0240 Payment from the General Fund, Open World Leadership Center Trust Fund ..... | 11          | 10      | 10        |
| 0400 Total: Balances and collections .....  | 11          | 10      | 10        |
| Appropriations:   |             |         |           |
| 0500 Open World Leadership Center Trust Fund .....                                | -11         | -10     | -10       |
| 0799 Balance, end of year .....   |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 09-8148-0-7-154                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Direct program activity .....                     | 12          | 10      | 10        |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 1           | 1       | 1         |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....                 | 2           | 1       | 1         |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1101 Appropriation (special or trust fund) .....       | 11          | 10      | 10        |
| 1160 Appropriation, discretionary (total) .....        | 11          | 10      | 10        |
| 1930 Total budgetary resources available .....         | 13          | 11      | 11        |
| Memorandum (non-add) entries:                          |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 1           | 1       | 1         |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 8   | 7   | 6   |
| 3010 Obligations incurred, unexpired accounts .....               | 12  | 10  | 10  |
| 3020 Outlays (gross) .....  | -12 | -11 | -11 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 7   | 6   | 5   |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1  | -1  | -1  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1  | -1  | -1  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 7   | 6   | 5   |
| 3200 Obligated balance, end of year .....                         | 6   | 5   | 4   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 11 | 10 | 10 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 6  | 8  | 8  |
| 4011 Outlays from discretionary balances .....      | 6  | 3  | 3  |
| 4020 Outlays, gross (total) .....                   | 12 | 11 | 11 |
| 4180 Budget authority, net (total) .....            | 11 | 10 | 10 |
| 4190 Outlays, net (total) .....                     | 12 | 11 | 11 |

## Memorandum (non-add) entries:

|  |   |   |   |
|--|---|---|---|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 7 | 6 | 8 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 6 | 8 | 8 |

## Object Classification (in millions of dollars)

| Identification code 09-8148-0-7-154                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....            | 6           | 6       | 6         |
| 41.0 Grants, subsidies, and contributions .....        | 3           | 3       | 3         |
| 99.0 Direct obligations .....                          | 10          | 10      | 10        |
| 99.5 Below reporting threshold .....                   | 2           |         |           |
| 99.9 Total new obligations .....                       | 12          | 10      | 10        |

## Employment Summary

| Identification code 09-8148-0-7-154                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 7           | 7       | 7         |

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 01-32000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 2           | 2       | 2         |
| General Fund Offsetting receipts from the public .....  | 2           | 2       | 2         |

## GENERAL PROVISIONS

## MAINTENANCE AND CARE OF PRIVATE VEHICLES

*SEC. 201. No part of the funds appropriated in this Act shall be used for the maintenance or care of private vehicles, except for emergency assistance and cleaning as may be provided under regulations relating to parking facilities for the House of Representatives issued by the Committee on House Administration and for the Senate issued by the Committee on Rules and Administration.*

## FISCAL YEAR LIMITATION

*SEC. 202. No part of the funds appropriated in this Act shall remain available for obligation beyond fiscal year 2014 unless expressly so provided in this Act.*

## RATES OF COMPENSATION AND DESIGNATION

*SEC. 203. Whenever in this Act any office or position not specifically established by the Legislative Pay Act of 1929 (46 Stat. 32 et seq.) is appropriated for or the rate of compensation or designation of any office or position appropriated for is different from that specifically established by such Act, the rate of compensation and the designation in this Act shall be the permanent law with respect thereto: Provided, That the provisions in this Act for the various items of official expenses of Members, officers, and committees of the Senate and House of Representatives, and clerk hire for Senators and Members of the House of Representatives shall be the permanent law with respect thereto.*

## CONSULTING SERVICES

*SEC. 204. The expenditure of any appropriation under this Act for any consulting service through procurement contract, under section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued under existing law.*

## AWARDS AND SETTLEMENTS

*SEC. 205. Such sums as may be necessary are appropriated to the account described in subsection (a) of section 415 of the Congressional Accountability Act of 1995 (2 U.S.C. 1415(a)) to pay awards and settlements as authorized under such subsection.*

## COSTS OF LBFMC

*SEC. 206. Amounts available for administrative expenses of any legislative branch entity which participates in the Legislative Branch Financial Managers Council (LBFMC) established by charter on March 26, 1996, shall be available to finance an appropriate share of LBFMC costs as determined by the LBFMC, except that the total LBFMC costs to be shared among all participating legislative branch entities (in such allocations among the entities as the entities may determine) may not exceed \$2,000.*

## LANDSCAPE MAINTENANCE

*SEC. 207. The Architect of the Capitol, in consultation with the District of Columbia, is authorized to maintain and improve the landscape features, excluding streets, in the irregular shaped grassy areas bounded by Washington Avenue, SW, on the northeast, Second Street, SW, on the west, Square 582 on the south, and the beginning of the I-395 tunnel on the southeast.*

## LIMITATION ON TRANSFERS

SEC. 208. *None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriation Act.*

## GUIDED TOURS OF THE CAPITOL

SEC. 209. (a) *Except as provided in subsection (b), none of the funds made available to the Architect of the Capitol in this Act may be used to eliminate or restrict guided tours of the United States Capitol which are led by employees and interns of offices of Members of Congress and other offices of the House of Representatives and Senate.*

(b) *At the direction of the Capitol Police Board, or at the direction of the Architect of the Capitol with the approval of the Capitol Police Board, guided tours of the United States Capitol which are led by employees and interns described in subsection (a) may be suspended temporarily or otherwise subject to restriction for security or related reasons to the same extent as guided tours of the United States Capitol which are led by the Architect of the Capitol.*

SEC. 210. *None of the funds made available in this Act may be used to deliver a printed copy of a bill, joint resolution, or resolution to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress) unless the Member requests a copy.*

SEC. 211. *None of the funds made available by this Act may be used to deliver a printed copy of any version of the Congressional Record to the office of a Member of the House of Representatives (including a Delegate or Resident Commissioner to the Congress).*

SEC. 212. *None of the funds made available in this Act may be used by the Chief Administrative Officer of the House of Representatives to make any payments from any Members' Representational Allowance for the leasing of a vehicle, excluding mobile district offices, in an aggregate amount that exceeds \$1,000 for the vehicle in any month.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.



# JUDICIAL BRANCH

## SUPREME COURT OF THE UNITED STATES

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the operation of the Supreme Court, as required by law, excluding care of the building and grounds, including hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; not to exceed \$10,000 for official reception and representation expenses; and for miscellaneous expenses, to be expended as the Chief Justice may approve, \$74,838,000, of which \$1,500,000 shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 10–0100–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 70          | 75      | 75        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 5       | 5         |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... |             | 5       |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 73          | 73      | 73        |
| 1160 Appropriation, discretionary (total) .....                 | 73          | 73      | 73        |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 2           | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....                    | 2           | 2       | 2         |
| 1900 Budget authority (total) .....                             | 75          | 75      | 75        |
| 1930 Total budgetary resources available .....                  | 75          | 80      | 80        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           |             |         | 8         |
| 3010 Obligations incurred, unexpired accounts .....             | 70          | 75      | 75        |
| 3020 Outlays (gross) .....                                      | –70         | –67     | –75       |
| 3050 Unpaid obligations, end of year .....                      |             | 8       | 8         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     |             |         | 8         |
| 3200 Obligated balance, end of year .....                       |             | 8       | 8         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 73          | 73      | 73        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 67          | 58      | 58        |
| 4011 Outlays from discretionary balances .....                  | 1           | 7       | 15        |
| 4020 Outlays, gross (total) .....                               | 68          | 65      | 73        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 2           | 2       | 2         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 2           | 2       | 2         |
| 4180 Budget authority, net (total) .....                        | 75          | 75      | 75        |
| 4190 Outlays, net (total) .....                                 | 70          | 67      | 75        |

The Supreme Court of the United States is the highest court of our country and stands at the apex of the judicial branch of our constitutional form of government. The U.S. Supreme Court is the only constitutionally indispensable court in the Federal court system of the United States. The jurisdiction of the Supreme Court is spelled out in the Constitution and allotted by the Congress. The funds herein requested are required to enable the U.S. Supreme Court to carry out its constitutional and congressionally allotted responsibilities.

### Object Classification (in millions of dollars)

| Identification code 10–0100–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 35          | 37      | 38        |
| 11.3 Other than full-time permanent .....                       | 4           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 39          | 41      | 42        |
| 12.1 Civilian personnel benefits .....                          | 13          | 14      | 15        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 9           | 12      | 10        |
| 26.0 Supplies and materials .....                               | 2           | 2       | 2         |
| 31.0 Equipment .....  | 5           | 4       | 4         |
| 99.9 Total new obligations .....                                | 70          | 75      | 75        |

### Employment Summary

| Identification code 10–0100–0–1–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 497         | 497     | 497       |

### CARE OF THE BUILDING AND GROUNDS

For such expenditures as may be necessary to enable the Architect of the Capitol to carry out the duties imposed upon the Architect by 40 U.S.C. 6111 and 6112, \$11,635,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 10–0103–0–1–752                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 13          | 14      | 13        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 18          | 13      | 7         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 8           | 8       | 12        |
| 1160 Appropriation, discretionary (total) .....       | 8           | 8       | 12        |
| 1930 Total budgetary resources available .....        | 26          | 21      | 19        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 13          | 7       | 6         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 21          | 17      | 14        |
| 3010 Obligations incurred, unexpired accounts .....   | 13          | 14      | 13        |
| 3020 Outlays (gross) .....                            | –17         | –17     | –13       |
| 3050 Unpaid obligations, end of year .....            | 17          | 14      | 14        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 21          | 17      | 14        |
| 3200 Obligated balance, end of year .....             | 17          | 14      | 14        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 8           | 8       | 12        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 8           | 6       | 9         |
| 4011 Outlays from discretionary balances .....        | 9           | 11      | 4         |
| 4020 Outlays, gross (total) .....                     | 17          | 17      | 13        |
| 4180 Budget authority, net (total) .....              | 8           | 8       | 12        |
| 4190 Outlays, net (total) .....                       | 17          | 17      | 13        |

## CARE OF THE BUILDING AND GROUNDS—Continued

## Object Classification (in millions of dollars)

| Identification code 10-0103-0-1-752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 3           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                          | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 2       | 3         |
| 25.1 Advisory and assistance services .....                     | 2           | 1       | .....     |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 32.0 Land and structures .....                                  | 4           | 5       | 4         |
| 99.9 Total new obligations .....                                | 13          | 14      | 13        |

## Employment Summary

| Identification code 10-0103-0-1-752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 42          | 50      | 50        |

UNITED STATES COURT OF APPEALS FOR THE  
FEDERAL CIRCUIT

## Federal Funds

## SALARIES AND EXPENSES

*For salaries of the chief judge, judges, and other officers and employees, and for necessary expenses of the court, as authorized by law, \$33,355,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 10-0510-0-1-752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 32          | 33      | 33        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 30          | 30      | 30        |
| 1120 Appropriations transferred to other accts [10-0920] .....  | -1          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                 | 29          | 30      | 30        |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 3           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....                    | 3           | 3       | 3         |
| 1900 Budget authority (total) .....                             | 32          | 33      | 33        |
| 1930 Total budgetary resources available .....                  | 32          | 33      | 33        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 10          | 10      | 9         |
| 3010 Obligations incurred, unexpired accounts .....             | 32          | 33      | 33        |
| 3011 Obligations incurred, expired accounts .....               | 2           | .....   | .....     |
| 3020 Outlays (gross) .....                                      | -32         | -34     | -35       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 10          | 9       | 7         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 10          | 10      | 9         |
| 3200 Obligated balance, end of year .....                       | 10          | 9       | 7         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 29          | 30      | 30        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 25          | 24      | 24        |
| 4011 Outlays from discretionary balances .....                  | 4           | 7       | 8         |
| 4020 Outlays, gross (total) .....                               | 29          | 31      | 32        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 3           | 3       | 3         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 3           | 3       | 3         |

|  |    |    |    |
|--|----|----|----|
| 4180 Budget authority, net (total) ..... | 32 | 33 | 33 |
| 4190 Outlays, net (total) .....          | 32 | 34 | 35 |

The United States Court of Appeals for the Federal Circuit, located in Washington, D. C., has exclusive nationwide jurisdiction over a large number of diverse subject areas, such as appeals in all patent cases, all government contract cases, all international trade cases, all government contract cases, all government personnel cases, all cases involving monetary claims against the United States under the Tucker Acts, veterans cases, and many others. Additional subject areas have been added to this court's jurisdiction almost yearly. To keep abreast of its varied and growing jurisdiction, the court is requesting necessary increases in its funding as detailed below.

The following is a more complete listing of the Federal Circuit's exclusive jurisdiction. It hears appeals from: (A) final decisions of all Federal district courts in cases arising under 28 U.S.C. §1338(a), relating to patent laws generally, 35 U.S.C. §§145-146, relating to review of decisions of the Patent and Trademark Office, Board of Patent Appeals and Interferences, 28 U.S.C. §1346(a)(2), relating to Little Tucker Act claims against the United States, and section 211 of the Economic Stabilization Act of 1970, section 5 of the Emergency Petroleum Allocation Act of 1973, section 506(c) of the Natural Gas Policy Act of 1978, and section 523 of the Energy Policy and Conservation Act relating to all statutes formerly under the jurisdiction of the Temporary Emergency Court of Appeals; (B) final decisions of the United States Court of International Trade, 28 U.S.C. §2645(c); (C) final decisions of the United States Court of Appeals for Veterans Claims, 38 U.S.C. §7292; (D) final decisions of the United States Court of Federal Claims, 28 U.S.C. §2522 and 42 U.S.C. §§300aa-12(f); (E) final decisions of the High Court of the Trust Territory of the Pacific Islands, 48 U.S.C. §1681 note (1988) (Compact of Free Association; Federated States of Micronesia, Republic of Marshall Islands, Title II, Title One, Article VII, §174(c)); (F) final determinations of the United States International Trade Commission relating to unfair practices in import trade made under 19 U.S.C. §1337; (G) findings of the Secretary of Commerce under U.S. note 6 to subchapter X of chapter 98 of the Harmonized Tariff Schedule of the United States relating to importation of educational or scientific instruments and apparatus; (H) final orders or decisions of the Merit Systems Protection Board and certain arbitrators, 5 U.S.C. §7703; (I) final decisions of the General Accounting Office Personnel Appeals Board, 31 U.S.C. §755; (J) final decisions of all agency Boards of Contract Appeals, 41 U.S.C. §607(g); (K) final decisions of the Patent and Trademark Office tribunals on patent applications and interferences, trademark applications and interferences, cancellations, concurrent use proceedings, and oppositions, 35 U.S.C. §142, 15 U.S.C. §1071, 37 CFR §§1.304, 2.145; (L) appeals under section 71 of the Plant Variety Protection Act of 1970, 7 U.S.C. §2461; (M) certain actions of the Secretary of Veterans Affairs, 38 U.S.C. §502; (N) certain final orders of the Equal Employment Opportunity Commission relating to certain Presidential appointees, 2 U.S.C. §1219(a)(3) and 28 U.S.C. §2344; (O) final decisions of the Office of Personnel Management under 5 U.S.C. §8902a(g)(2); (P) certain actions of the Board of Directors of the Office of Compliance of the U.S. Congress under 2 U.S.C. §1407(a); and (Q) final decisions of certain agencies pursuant to 28 U.S.C. §1296.

The Federal Circuit also has exclusive jurisdiction pursuant to 28 U.S.C. §1292(c) of: (1) appealable interlocutory orders or decrees in cases where the court would otherwise have jurisdiction over an appeal; and (2) appeals from judgments in civil actions for patent infringement otherwise appealable to the court and final except for accounting. Under the provisions of 28 U.S.C.



§1292(d), the court has: (1) exclusive jurisdiction of appeals from interlocutory orders granting or denying, in whole or in part, a motion to transfer an action to the Court of Federal Claims; and (2) may, in its discretion, permit an appeal from an interlocutory order of a judge who certifies that there is a controlling question of law and a substantial ground for difference of opinion thereon, and that an immediate appeal may materially advance the ultimate termination of the litigation. Pursuant to 38 U.S.C. §7292(b)(1), the court has exclusive jurisdiction of certain interlocutory orders of the Court of Appeals for Veterans Claims.

Legislation having an impact on the Federal Circuit is contained in P.L. 105–339 (51021) October 31, 1998, Veterans Employment Opportunities Act of 1998, which provides a remedy through the Merit Systems Protection Board for those seeking review of the application of veterans preference rules to applicants for Federal employment.

#### Object Classification (in millions of dollars)

| Identification code 10–0510–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 15          | 16      | 17        |
| 12.1 Civilian personnel benefits .....                          | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 7           | 7       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | .....     |
| 25.2 Other services from non-Federal sources .....              | 4           | 4       | 4         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 32          | 33      | 33        |

#### Employment Summary

| Identification code 10–0510–0–1–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 146         | 154     | 154       |

## UNITED STATES COURT OF INTERNATIONAL TRADE

### Federal Funds

#### SALARIES AND EXPENSES

*For salaries of the chief judge and eight judges, salaries of the officers and employees of the court, services, and necessary expenses of the court, as authorized by law, \$21,973,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 10–0400–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 20          | 22      | 22        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 1       | 1         |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... |             | 1       | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 20          | 20      | 20        |
| 1120 Appropriations transferred to other accts [10–0920] .....  | –1          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                 | 19          | 20      | 20        |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 2           | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....                    | 2           | 2       | 2         |
| 1900 Budget authority (total) .....                             | 21          | 22      | 22        |
| 1930 Total budgetary resources available .....                  | 21          | 23      | 23        |

|   |   |     |     |     |
|---|---|-----|-----|-----|
| 1941                                      | Memorandum (non-add) entries:<br>Unexpired unobligated balance, end of year ..... | 1   | 1   | 1   |
| <b>Change in obligated balance:</b>       |   |     |     |     |
| Unpaid obligations:                       |   |     |     |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                                  | 1   | 1   | 1   |
| 3010                                      | Obligations incurred, unexpired accounts .....                                    | 20  | 22  | 22  |
| 3020                                      | Outlays (gross) .....   | –20 | –22 | –22 |
| 3050                                      | Unpaid obligations, end of year .....   | 1   | 1   | 1   |
| Memorandum (non-add) entries:             |   |     |     |     |
| 3100                                      | Obligated balance, start of year .....  | 1   | 1   | 1   |
| 3200                                      | Obligated balance, end of year .....  | 1   | 1   | 1   |
| <b>Budget authority and outlays, net:</b> |   |     |     |     |
| Discretionary:                            |   |     |     |     |
| 4000                                      | Budget authority, gross .....   | 19  | 20  | 20  |
| Outlays, gross:                           |   |     |     |     |
| 4010                                      | Outlays from new discretionary authority .....                                    | 17  | 19  | 19  |
| 4011                                      | Outlays from discretionary balances .....   | 1   | 1   | 1   |
| 4020                                      | Outlays, gross (total) .....  | 18  | 20  | 20  |
| Mandatory:                                |   |     |     |     |
| 4090                                      | Budget authority, gross .....   | 2   | 2   | 2   |
| Outlays, gross:                           |   |     |     |     |
| 4100                                      | Outlays from new mandatory authority .....  | 2   | 2   | 2   |
| 4180                                      | Budget authority, net (total) .....   | 21  | 22  | 22  |
| 4190                                      | Outlays, net (total) .....  | 20  | 22  | 22  |

The United States Court of International Trade, established under Article III of the Constitution of the United States, was created by the Act of October 10, 1980 (94 Stat. 1727), effective November 1, 1980, as successor to the former United States Customs Court. The court has original and exclusive jurisdiction of civil actions against the United States, its agencies and officers, and certain civil actions brought by the United States, arising out of import transactions and Federal statutes affecting customs and international trade. The court possesses all the powers in law and equity of, or as conferred by statute upon, a district court of the United States, and is authorized to conduct jury trials. The geographical jurisdiction of the court is nationwide and trials before the court or hearings may be held at any place within the jurisdiction of the United States. The court also is authorized to hold hearings in foreign countries. The principal statutory provisions pertaining to the court are contained in the following sections of Title 28 of the United States Code: Organization, sections 251 to 258; Jurisdiction, sections 1581 to 1585; and Procedures, sections 2631–2646.

#### Object Classification (in millions of dollars)

| Identification code 10–0400–0–1–752                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 7           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                      | 9           | 9       | 10        |
| 25.2 Other services from non-Federal sources .....     | 1           | 2       | 1         |
| 31.0 Equipment .....                                   | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 20          | 22      | 22        |

#### Employment Summary

| Identification code 10–0400–0–1–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 68          | 80      | 80        |

## COURTS OF APPEALS, DISTRICT COURTS, AND OTHER JUDICIAL SERVICES

### Federal Funds

#### SALARIES AND EXPENSES

*For the salaries of circuit and district judges (including judges of the territorial courts of the United States), justices and judges retired from*

## SALARIES AND EXPENSES—Continued

office or from regular active service, judges of the United States Court of Federal Claims, bankruptcy judges, magistrate judges, and all other officers and employees of the Federal Judiciary not otherwise specifically provided for, necessary expenses of the courts, and the purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, as authorized by law, \$5,170,239,000 (including the purchase of firearms and ammunition); of which not to exceed \$27,817,000 shall remain available until expended for space alteration projects and for furniture and furnishings related to new space alteration and construction projects.

In addition, for expenses of the United States Court of Federal Claims associated with processing cases under the National Childhood Vaccine Injury Act of 1986 (Public Law 99-660), not to exceed \$5,327,000, to be appropriated from the Vaccine Injury Compensation Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 10-0920-0-1-752                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Courts of appeals .....                                       | 578         | 593     | 605       |
| 0002 District courts .....   | 2,413       | 2,470   | 2,523     |
| 0003 Bankruptcy courts .....                                       | 841         | 863     | 879       |
| 0004 Probation/Pretrial .....                                      | 1,156       | 1,185   | 1,210     |
| 0799 Total direct obligations .....                                | 4,988       | 5,111   | 5,217     |
| 0801 Reimbursable program .....                                    | 37          | 38      | 39        |
| 0803 Offsetting Collections .....                                  | 236         | 244     | 240       |
| 0899 Total reimbursable obligations .....                          | 273         | 282     | 279       |
| 0900 Total new obligations .....                                   | 5,261       | 5,393   | 5,496     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1           | 4       | 15        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 1           | 4       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 2           | 4       | 15        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 4,687       | 4,716   | 4,823     |
| 1121 Appropriations transferred from other accts [10-0400] ....    | 1           | .....   | .....     |
| 1121 Appropriations transferred from other accts [10-0510] ....    | 1           | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 4,689       | 4,716   | 4,823     |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 328         | 338     | 353       |
| 1260 Appropriations, mandatory (total) .....                       | 328         | 338     | 353       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 10          | 350     | 350       |
| 1701 Change in uncollected payments, Federal sources .....         | 236         | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 246         | 350     | 350       |
| 1900 Budget authority (total) .....                                | 5,263       | 5,404   | 5,526     |
| 1930 Total budgetary resources available .....                     | 5,265       | 5,408   | 5,541     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 4           | 15      | 45        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 406         | 379     | 348       |
| 3010 Obligations incurred, unexpired accounts .....                | 5,261       | 5,393   | 5,496     |
| 3011 Obligations incurred, expired accounts .....                  | 17          | .....   | .....     |
| 3020 Outlays (gross) .....   | -5,292      | -5,399  | -5,519    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -1          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -12         | -25     | .....     |
| 3050 Unpaid obligations, end of year .....                         | 379         | 348     | 325       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -355        | -240    | -240      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -236        | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 351         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -240        | -240    | -240      |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 51          | 139     | 108       |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| 3200  | Obligated balance, end of year .....                           | 139   | 108   | 85    |
| <b>Budget authority and outlays, net:</b>               |  |       |       |       |
| Discretionary:  |  |       |       |       |
| 4000  | Budget authority, gross .....                                  | 4,935 | 5,066 | 5,173 |
| Outlays, gross:   |  |       |       |       |
| 4010  | Outlays from new discretionary authority .....                 | 4,698 | 4,726 | 4,826 |
| 4011  | Outlays from discretionary balances .....                      | 266   | 335   | 340   |
| 4020  | Outlays, gross (total) .....                                   | 4,964 | 5,061 | 5,166 |
| Offsets against gross budget authority and outlays:     |  |       |       |       |
| Offsetting collections (collected) from:                |  |       |       |       |
| 4030  | Federal sources .....  | -364  | -350  | -350  |
| Additional offsets against gross budget authority only: |  |       |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -236  | ..... | ..... |
| 4052  | Offsetting collections credited to expired accounts .....      | 354   | ..... | ..... |
| 4060  | Additional offsets against budget authority only (total) ..... | 118   | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....                    | 4,689 | 4,716 | 4,823 |
| 4080  | Outlays, net (discretionary) .....                             | 4,600 | 4,711 | 4,816 |
| Mandatory:  |  |       |       |       |
| 4090  | Budget authority, gross .....                                  | 328   | 338   | 353   |
| Outlays, gross:   |  |       |       |       |
| 4100  | Outlays from new mandatory authority .....                     | 328   | 338   | 353   |
| 4180  | Budget authority, net (total) .....                            | 5,017 | 5,054 | 5,176 |
| 4190  | Outlays, net (total) .....                                     | 4,928 | 5,049 | 5,169 |

Funds appropriated under this heading are for the salaries and benefits of judges and supporting personnel and all operating expenses of the United States courts of appeals, district courts, bankruptcy courts, United States Court of Federal Claims, and United States Probation and Pretrial Services offices are shown by activity:

**Courts of Appeals.**—This activity includes the salaries and benefits of all active United States circuit judges, and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the Courts of Appeals supporting personnel such as the administrative and legal aides required to assist the judges in the hearing and decision of appeals, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**District Courts.**—This activity includes the salaries and benefits of all active United States district judges, magistrate judges and all such judges who have retired from office or from regular active service in pursuance of law. In addition, it provides for the salaries and expenses of the District Court supporting personnel such as the administrative and legal aides required to assist the judges in conduct of hearings, trials, and other judicial functions including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**Bankruptcy Courts.**—This activity includes the salaries and benefits of all active United States bankruptcy judges. In addition, it provides for the salaries and expenses of the Bankruptcy Court supporting personnel including all expenses of operation and maintenance such as travel expenses incurred by judges and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, equipment, and lawbooks and for rental of space, alterations, and related services for United States court facilities.

**Probation/Pretrial.**—This activity includes the salaries and benefits of all probation and pretrial services officers, officer assistants and supporting personnel in attending sessions of court or transacting other official business, and for relocation expenses, communications, printing, contractual services, supplies, and equipment and for rental of space, alterations, and related services for United States court facilities. It also provides for all expenses of law-enforcement related activities, which includes substance abuse and mental health treatment, Global Position Monitoring, purchase, rental, repair, and cleaning of uniforms for Probation and Pretrial Services Office staff, and operation and maintenance such as travel expenses incurred by probation officers, including travel costs related to the supervision of defendants and offenders in the community, and officer training expenses.

#### Object Classification (in millions of dollars)

| Identification code 10–0920–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,745       | 1,770   | 1,802     |
| 11.3 Other than full-time permanent .....                       | 749         | 757     | 772       |
| 11.9 Total personnel compensation .....                         | 2,494       | 2,527   | 2,574     |
| 12.1 Civilian personnel benefits .....                          | 774         | 822     | 837       |
| 13.0 Benefits for former personnel .....                        | 14          | 9       | 9         |
| 21.0 Travel and transportation of persons .....                 | 48          | 51      | 55        |
| 22.0 Transportation of things .....                             | 6           | 5       | 6         |
| 23.1 Rental payments to GSA .....                               | 946         | 1,004   | 1,028     |
| 23.2 Rental payments to others .....                            | 30          | 32      | 32        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 124         | 116     | 119       |
| 24.0 Printing and reproduction .....                            | 9           | 14      | 15        |
| 25.2 Other services from non-Federal sources .....              | 382         | 364     | 371       |
| 26.0 Supplies and materials .....                               | 18          | 20      | 20        |
| 31.0 Equipment .....  | 143         | 147     | 150       |
| 99.0 Direct obligations .....                                   | 4,988       | 5,111   | 5,216     |
| 99.0 Reimbursable obligations .....                             | 273         | 282     | 280       |
| 99.9 Total new obligations .....                                | 5,261       | 5,393   | 5,496     |

#### Employment Summary

| Identification code 10–0920–0–1–752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 29,692      | 29,349  | 29,507    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 28          | 28      | 29        |

#### DEFENDER SERVICES

*For the operation of Federal Defender organizations; the compensation and reimbursement of expenses of attorneys appointed to represent persons under 18 U.S.C. 3006A and 3599, and for the compensation and reimbursement of expenses of persons furnishing investigative, expert, and other services for such representations as authorized by law; the compensation (in accordance with the maximums under 18 U.S.C. 3006A) and reimbursement of expenses of attorneys appointed to assist the court in criminal cases where the defendant has waived representation by counsel; the compensation and reimbursement of expenses of attorneys appointed to represent jurors in civil actions for the protection of their employment, as authorized by 28 U.S.C. 1875(d)(1); the compensation and reimbursement of expenses of attorneys appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings; the compensation and reimbursement of travel expenses of guardians ad litem appointed under 18 U.S.C. 4100(b); and for necessary training and general administrative expenses, \$1,068,623,000, to remain available until expended.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 10–0923–0–1–752                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct program   |             |         |           |
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 CJA Representations and Related Expenses .....                | 1,036       | 1,036   | 1,070     |
| 0004 Program administration expenses .....                         | 8           | 9       | 9         |
| 0900 Total new obligations .....                                   | 1,044       | 1,045   | 1,079     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 27          | 18      | 20        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | 10      | .....     |
| 1050 Unobligated balance (total) .....                             | 28          | 28      | 20        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1,031       | 1,037   | 1,069     |
| 1160 Appropriation, discretionary (total) .....                    | 1,031       | 1,037   | 1,069     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           | .....   | .....     |
| 1900 Budget authority (total) .....                                | 1,034       | 1,037   | 1,069     |
| 1930 Total budgetary resources available .....                     | 1,062       | 1,065   | 1,089     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 18          | 20      | 10        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 27          | 27      | 11        |
| 3010 Obligations incurred, unexpired accounts .....                | 1,044       | 1,045   | 1,079     |
| 3020 Outlays (gross) .....   | –1,043      | –1,051  | –1,068    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1          | –10     | .....     |
| 3050 Unpaid obligations, end of year .....                         | 27          | 11      | 22        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 27          | 27      | 11        |
| 3200 Obligated balance, end of year .....                          | 27          | 11      | 22        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 1,034       | 1,037   | 1,069     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 1,025       | 1,006   | 1,037     |
| 4011 Outlays from discretionary balances .....                     | 18          | 45      | 31        |
| 4020 Outlays, gross (total) .....                                  | 1,043       | 1,051   | 1,068     |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –3          | .....   | .....     |
| 4180 Budget authority, net (total) .....                           | 1,031       | 1,037   | 1,069     |
| 4190 Outlays, net (total) .....                                    | 1,040       | 1,051   | 1,068     |

Funds appropriated under this heading provide for the administration and operation of the Criminal Justice Act of 1964 (18 U.S.C. 3006A), as amended, which provides for furnishing representation for any person financially unable to obtain adequate representation who: (1) is charged with a felony or Class A, B, or C misdemeanor or infraction for which a sentence to confinement is authorized, or with committing an act of juvenile delinquency, or with a violation of probation; (2) is under arrest, when such representation is required by law; (3) is entitled to appointment of counsel in parole proceedings (18 U.S.C. 4201–18); (4) is charged with a violation of supervised release or faces modification, reduction, or enlargement of a condition, or extension or revocation of a term of supervised release; (5) is subject to a mental condition or other hearing (18 U.S.C. 4241–48); (6) is in custody as a material witness; (7) is entitled to appointment of counsel under the sixth amendment to the Constitution; (8) faces loss of liberty in a case, and Federal law requires the appointment of counsel; (9) is entitled to the appointment of counsel under 18 U.S.C. 4109; or (10) is seeking relief under 28 U.S.C. 2241, 2254, or 2255. Representation includes counsel and investigative, expert, and other necessary services. The appropriation includes funding for the compensation and expenses of court-appointed

## DEFENDER SERVICES—Continued

counsel and persons providing investigative, expert and other services under the Act, and also under 18 U.S.C. 3599 in capital representations; for the operation of the Federal Defender Organizations; for the compensation and reimbursement of travel expenses of guardians ad litem, appointed under 18 U.S.C. 4100(b), acting on behalf of financially eligible minors or incompetent offenders in connection with transfers from the United States to foreign countries with which the United States has a treaty for the execution of penal sentences (18 U.S.C. 4109(b)); and for the continuing education and training of persons providing representational services under the Act. In addition, this appropriation is available for the compensation and reimbursement of expenses of counsel: (1) appointed pursuant to 5 U.S.C. 3109 to assist the court in criminal cases where the defendant has waived representation by counsel; (2) appointed pursuant to 28 U.S.C. 1875(d)(1) to represent jurors in civil actions for the protection of their employment; and (3) appointed under 18 U.S.C. 983(b)(1) in connection with certain judicial civil forfeiture proceedings.

## Object Classification (in millions of dollars)

| Identification code 10–0923–0–1–752   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....                        | 288         | 284     | 300       |
| 12.1 Civilian personnel benefits .....  | 87          | 88      | 91        |
| 21.0 Travel and transportation of persons .....                               | 12          | 10      | 11        |
| 23.1 Rental payments to GSA .....   | 40          | 41      | 42        |
| 23.3 Communications, utilities, and miscellaneous charges .....               | 8           | 7       | 8         |
| 25.2 Compensation and out-of-pocket expenses of court-appointed counsel ..... | 379         | 386     | 391       |
| 25.2 Transcripts .....  | 9           | 9       | 9         |
| 25.2 Investigators, interpreters, psychiatrists, and other experts .....      | 67          | 67      | 70        |
| 25.2 Other services .....   | 6           | 7       | 9         |
| 26.0 Supplies and materials .....   | 3           | 2       | 2         |
| 31.0 Equipment .....  | 10          | 12      | 12        |
| 41.0 Grants, subsidies, and contributions .....                               | 132         | 132     | 134       |
| 99.0 Direct obligations .....   | 1,041       | 1,045   | 1,079     |
| 99.0 Reimbursable obligations .....   | 3           |         |           |
| 99.9 Total new obligations .....  | 1,044       | 1,045   | 1,079     |

## Employment Summary

| Identification code 10–0923–0–1–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,704       | 2,763   | 2,792     |

## FEES OF JURORS AND COMMISSIONERS

For fees and expenses of jurors as authorized by 28 U.S.C. 1871 and 1876; compensation of jury commissioners as authorized by 28 U.S.C. 1863; and compensation of commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure (28 U.S.C. Appendix Rule 71.1(h)), \$54,414,000, to remain available until expended: Provided, That the compensation of land commissioners shall not exceed the daily equivalent of the highest rate payable under 5 U.S.C. 5332.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 10–0925–0–1–752 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0003 Grand jurors .....             | 16          | 16      | 16        |
| 0004 Petit jurors .....             | 39          | 38      | 41        |

|   |     |     |     |
|---|-----|-----|-----|
| 0900 Total new obligations .....                                  | 55  | 54  | 57  |
| <b>Budgetary Resources:</b>                                       |     |     |     |
| Unobligated balance:  |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 6   | 3   | 1   |
| 1021 Recoveries of prior year unpaid obligations .....            |     |     | 2   |
| 1050 Unobligated balance (total) .....                            | 6   | 3   | 3   |
| Budget authority:   |     |     |     |
| Appropriations, discretionary:                                    |     |     |     |
| 1100 Appropriation .....  | 52  | 52  | 54  |
| 1160 Appropriation, discretionary (total) .....                   | 52  | 52  | 54  |
| 1930 Total budgetary resources available .....                    | 58  | 55  | 57  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....             | 3   | 1   |     |
| <b>Change in obligated balance:</b>                               |     |     |     |
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1   | 1   | 1   |
| 3010 Obligations incurred, unexpired accounts .....               | 55  | 54  | 57  |
| 3020 Outlays (gross) .....  | –55 | –54 | –54 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... |     |     | –2  |
| 3041 Recoveries of prior year unpaid obligations, expired .....   |     |     | –2  |
| 3050 Unpaid obligations, end of year .....                        | 1   | 1   |     |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 1   | 1   | 1   |
| 3200 Obligated balance, end of year .....                         | 1   | 1   |     |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 52 | 52 | 54 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 52 | 52 | 54 |
| 4011 Outlays from discretionary balances .....      | 3  | 2  |    |
| 4020 Outlays, gross (total) .....                   | 55 | 54 | 54 |
| 4180 Budget authority, net (total) .....            | 52 | 52 | 54 |
| 4190 Outlays, net (total) .....                     | 55 | 54 | 54 |

This appropriation provides for the statutory fees and allowances of jurors, refreshments of jurors, and compensation of land commissioners appointed in condemnation cases pursuant to rule 71.1(h) of the Federal Rules of Civil Procedure. Budgetary requirements depend largely upon the volume and length of jury trials demanded by the parties to both civil and criminal actions and the number of grand juries being convened by the courts at the request of United States attorneys.

## Object Classification (in millions of dollars)

| Identification code 10–0925–0–1–752                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.8 Personnel compensation: Special personal services payments .....     | 26          | 25      | 27        |
| 21.0 Travel and transportation of persons (jurors) .....                  | 25          | 26      | 27        |
| 23.3 Communications, utilities, and miscellaneous charges .....           | 2           | 1       | 1         |
| 25.2 Other services (meals and lodging furnished sequestered juror) ..... | 1           | 1       | 1         |
| 26.0 Supplies and materials (Provisions for Juror Food/Beverages) .....   | 1           | 1       | 1         |
| 99.9 Total new obligations .....  | 55          | 54      | 57        |

## COURT SECURITY

## (INCLUDING TRANSFERS OF FUNDS)

For necessary expenses, not otherwise provided for, incident to the provision of protective guard services for United States courthouses and other facilities housing Federal court operations, and the procurement, installation, and maintenance of security systems and equipment for United States courthouses and other facilities housing Federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities as authorized by section 1010 of the Judicial Improvement and Access to Justice Act (Public Law 100–702), \$524,338,000, of which not to exceed

*\$15,000,000 shall remain available until expended, to be expended directly or transferred to the United States Marshals Service, which shall be responsible for administering the Judicial Facility Security Program consistent with standards or guidelines agreed to by the Director of the Administrative Office of the United States Courts and the Attorney General.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 10–0930–0–1–752   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program .....   | 503         | 508     | 524       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 7           | 5       |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 6           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 1           |         |           |
| 1050 Unobligated balance (total) .....  | 14          | 5       |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 500         | 503     | 524       |
| 1160 Appropriation, discretionary (total) .....                                 | 500         | 503     | 524       |
| 1900 Budget authority (total) .....   | 500         | 503     | 524       |
| 1930 Total budgetary resources available .....                                  | 514         | 508     | 524       |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –6          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 5           |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 149         | 134     | 128       |
| 3010 Obligations incurred, unexpired accounts .....                             | 503         | 508     | 524       |
| 3011 Obligations incurred, expired accounts .....                               | 6           |         |           |
| 3020 Outlays (gross) .....  | –512        | –514    | –526      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –11         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 134         | 128     | 126       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 149         | 134     | 128       |
| 3200 Obligated balance, end of year .....                                       | 134         | 128     | 126       |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 500         | 503     | 524       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 413         | 387     | 403       |
| 4011 Outlays from discretionary balances .....                                  | 99          | 127     | 123       |
| 4020 Outlays, gross (total) .....   | 512         | 514     | 526       |
| 4180 Budget authority, net (total) .....  | 500         | 503     | 524       |
| 4190 Outlays, net (total) .....   | 512         | 514     | 526       |

This appropriation provides for the necessary expenses not otherwise provided for, incident to providing protective guard services for the United States courthouses and other facilities housing Federal court operations and the procurement, installation, and maintenance of security equipment for United States courthouses and other facilities housing federal court operations, including building ingress-egress control, inspection of mail and packages, directed security patrols, perimeter security, basic security services provided by the Federal Protective Service, and other similar activities, to be expended directly or transferred to the United States Marshals Service which shall be responsible for administering the Judicial Facility Security Program or to the Federal Protective Service for costs associated with building security.

**Object Classification** (in millions of dollars)

| Identification code 10–0930–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 7           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 7           | 7       | 7         |
| 25.2 Other services from non-Federal sources .....              | 439         | 460     | 473       |
| 26.0 Supplies and materials .....                               | 2           | 1       | 1         |
| 31.0 Equipment .....  | 37          | 30      | 33        |
| 91.0 Unvouchered .....  | 9           |         |           |
| 99.9 Total new obligations .....                                | 503         | 508     | 524       |

**Employment Summary**

| Identification code 10–0930–0–1–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 62          | 78      | 79        |

**JUDICIARY FILING FEES****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 10–5100–0–2–752            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....              |             |         |           |
| <b>Receipts:</b>                               |             |         |           |
| 0200 Filing Fees, U.S. Courts, Judiciary ..... | 257         | 256     | 268       |
| 0400 Total: Balances and collections .....     | 257         | 256     | 268       |
| <b>Appropriations:</b>                         |             |         |           |
| 0500 Judiciary Filing Fees .....               | –257        | –256    | –268      |
| 0799 Balance, end of year .....                |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 10–5100–0–2–752                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 256         | 256     | 268       |
| 0900 Total new obligations (object class 25.2) .....  | 256         | 256     | 268       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 201         | 202     | 202       |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 257         | 256     | 268       |
| 1260 Appropriations, mandatory (total) .....          | 257         | 256     | 268       |
| 1930 Total budgetary resources available .....        | 458         | 458     | 470       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 202         | 202     | 202       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 366         | 256     | 128       |
| 3010 Obligations incurred, unexpired accounts .....   | 256         | 256     | 268       |
| 3020 Outlays (gross) .....                            | –366        | –384    | –396      |
| 3050 Unpaid obligations, end of year .....            | 256         | 128     |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 366         | 256     | 128       |
| 3200 Obligated balance, end of year .....             | 256         | 128     |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 257         | 256     | 268       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 256     | 268       |
| 4101 Outlays from mandatory balances .....            | 366         | 128     | 128       |
| 4110 Outlays, gross (total) .....                     | 366         | 384     | 396       |
| 4180 Budget authority, net (total) .....              | 257         | 256     | 268       |
| 4190 Outlays, net (total) .....                       | 366         | 384     | 396       |

## REGISTRY ADMINISTRATION

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 10–5101–0–2–752                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                   |             |         |           |
| Receipts:   |             |         |           |
| 0200 Fees, Registry Administration, Judiciary ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....          | 1           | 1       | 1         |
| Appropriations:                                     |             |         |           |
| 0500 Registry Administration .....                  | –1          | –1      | –1        |
| 0799 Balance, end of year .....                     |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 10–5101–0–2–752                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 1           | 1       | 1         |
| 0900 Total new obligations (object class 25.2) .....  | 1           | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....          | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 1       | 1         |
| 3020 Outlays (gross) .....                            |             | –1      | –1        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 1           | 1       | 1         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 1       | 1         |
| 4180 Budget authority, net (total) .....              | 1           | 1       | 1         |
| 4190 Outlays, net (total) .....                       |             | 1       | 1         |

This schedule reflects funds available to the Federal Judiciary, pursuant to Public Law 100–459, which provides that any funds collected by the Judiciary as a charge for services rendered in administering accounts kept in a court's registry shall be deposited into this account.

## JUDICIARY INFORMATION TECHNOLOGY FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 10–5114–0–2–752  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 3           |         |           |
| Adjustments:   |             |         |           |
| 0190 Adjustment - rounding issue over several years. ....                        | –3          |         |           |
| 0199 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Proceeds from Sale of Property, Judiciary Information Technology Fund ..... | 124         | 165     | 173       |
| 0240 Advances and Reimbursements, Judiciary Information Technology Fund .....    | 362         | 277     | 334       |
| 0299 Total receipts and collections .....  | 486         | 442     | 507       |
| 0400 Total: Balances and collections .....                                       | 486         | 442     | 507       |
| Appropriations:  |             |         |           |
| 0500 Judiciary Information Technology Fund .....                                 | –486        | –442    | –507      |
| 0799 Balance, end of year .....  |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 10–5114–0–2–752                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Information Technology .....                                  | 489         | 549     | 507       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 102         | 108     | 1         |
| 1021 Recoveries of prior year unpaid obligations .....             | 7           |         |           |
| 1050 Unobligated balance (total) .....                             | 109         | 108     | 1         |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 486         | 442     | 507       |
| 1260 Appropriations, mandatory (total) .....                       | 486         | 442     | 507       |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 2           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2           |         |           |
| 1900 Budget authority (total) .....                                | 488         | 442     | 507       |
| 1930 Total budgetary resources available .....                     | 597         | 550     | 508       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 108         | 1       | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 297         | 305     | 255       |
| 3010 Obligations incurred, unexpired accounts .....                | 489         | 549     | 507       |
| 3020 Outlays (gross) .....   | –474        | –599    | –597      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –7          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 305         | 255     | 165       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 297         | 305     | 255       |
| 3200 Obligated balance, end of year .....                          | 305         | 255     | 165       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 488         | 442     | 507       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 235         | 439     | 406       |
| 4101 Outlays from mandatory balances .....                         | 239         | 160     | 191       |
| 4110 Outlays, gross (total) .....                                  | 474         | 599     | 597       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | –2          |         |           |
| 4180 Budget authority, net (total) .....                           | 486         | 442     | 507       |
| 4190 Outlays, net (total) .....                                    | 472         | 599     | 597       |

The Judiciary Information Technology Fund provides the judiciary with a funds management tool which allows more effective and efficient planning, budgeting, and use of funds for information technology activities. The Fund was authorized "without fiscal year limitation," which allows the judiciary to carry forward funds for projects that incur obligations over multiple years. The Fund makes it possible to implement the *Long Range Plan for Information Technology in the Federal Judiciary* and to manage the information technology program over a multi-year planning cycle while maximizing efficiencies and benefits. The Fund is financed through deposits and transfers from appropriations, reimbursements, user fees, and the sale of surplus equipment.

## Object Classification (in millions of dollars)

| Identification code 10–5114–0–2–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 22          | 22      | 22        |
| 12.1 Civilian personnel benefits .....                          | 6           | 6       | 6         |
| 21.0 Travel and transportation of persons .....                 | 5           | 6       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 100         | 112     | 103       |
| 24.0 Printing and reproduction .....                            | 14          | 16      | 15        |
| 25.2 Other services from non-Federal sources .....              | 175         | 202     | 185       |
| 25.7 Operation and maintenance of equipment .....               | 19          | 21      | 20        |
| 26.0 Supplies and materials .....                               | 8           | 9       | 8         |
| 31.0 Equipment .....  | 138         | 155     | 143       |
| 99.0 Direct obligations .....                                   | 487         | 549     | 507       |

|      |                                |     |     |     |
|------|--------------------------------|-----|-----|-----|
| 99.0 | Reimbursable obligations ..... | 2   |     |     |
| 99.9 | Total new obligations .....    | 489 | 549 | 507 |

**Employment Summary**

| Identification code 10–5114–0–2–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 204         | 204     | 204       |

**ADMINISTRATIVE OFFICE OF THE UNITED STATES COURTS****Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses of the Administrative Office of the United States Courts as authorized by law, including travel as authorized by 31 U.S.C. 1345, hire of a passenger motor vehicle as authorized by 31 U.S.C. 1343(b), advertising and rent in the District of Columbia and elsewhere, \$85,354,000, of which not to exceed \$8,500 is authorized for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 10–0927–0–1–752                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Executive direction .....                      | 1           | 1       | 1         |
| 0002 Program direction and policy formulation ..... | 10          | 10      | 10        |
| 0003 Court administration .....                     | 7           | 5       | 5         |
| 0004 Defender Services .....                        | 3           | 3       | 3         |
| 0005 Facilities and security .....                  | 5           | 5       | 5         |
| 0006 Finance and budget .....                       | 12          | 13      | 13        |
| 0007 Human resources .....                          | 12          | 13      | 13        |
| 0008 Information technology .....                   | 1           | 1       | 1         |
| 0009 Internal services .....                        | 34          | 33      | 34        |
| 0010 Judges programs .....                          | 12          | 13      | 13        |
| 0011 Probation and pretrial services .....          | 5           | 5       | 5         |
| 0799 Total direct obligations .....                 | 102         | 102     | 103       |
| 0801 Offsetting Collections .....                   | 41          | 44      | 44        |
| 0900 Total new obligations .....                    | 143         | 146     | 147       |

**Budgetary Resources:**

|  |     |     |     |
|--|-----|-----|-----|
| <b>Budget authority:</b>   |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 83  | 83  | 85  |
| 1160 Appropriation, discretionary (total) .....                    | 83  | 83  | 85  |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 29  | 63  | 64  |
| 1701 Change in uncollected payments, Federal sources .....         | 31  |     |     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 60  | 63  | 64  |
| 1900 Budget authority (total) .....                                | 143 | 146 | 149 |
| 1930 Total budgetary resources available .....                     | 143 | 146 | 149 |
| <b>Memorandum (non-add) entries:</b>                               |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              |     |     | 2   |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| <b>Unpaid obligations:</b>  |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 9    | 10   | 10   |
| 3010 Obligations incurred, unexpired accounts .....               | 143  | 146  | 147  |
| 3011 Obligations incurred, expired accounts .....                 | 1    |      |      |
| 3020 Outlays (gross) .....  | –143 | –146 | –154 |
| 3050 Unpaid obligations, end of year .....                        | 10   | 10   | 3    |
| <b>Uncollected payments:</b>                                      |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –29  | –33  | –33  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –31  |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 27   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –33  | –33  | –33  |
| <b>Memorandum (non-add) entries:</b>                              |      |      |      |
| 3100 Obligated balance, start of year .....                       | –20  | –23  | –23  |

|   |     |     |     |
|---|-----|-----|-----|
| 3200 Obligated balance, end of year ..... | –23 | –23 | –30 |
|---|-----|-----|-----|

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| <b>Discretionary:</b>   |     |     |     |
| 4000 Budget authority, gross .....                                  | 143 | 146 | 149 |
| <b>Outlays, gross:</b>  |     |     |     |
| 4010 Outlays from new discretionary authority .....                 | 136 | 141 | 144 |
| 4011 Outlays from discretionary balances .....                      | 7   | 5   | 10  |
| 4020 Outlays, gross (total) .....                                   | 143 | 146 | 154 |
| <b>Offsets against gross budget authority and outlays:</b>          |     |     |     |
| Offsetting collections (collected) from:                            |     |     |     |
| 4030 Federal sources .....  | –56 | –63 | –64 |
| <b>Additional offsets against gross budget authority only:</b>      |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –31 |     |     |
| 4052 Offsetting collections credited to expired accounts .....      | 27  |     |     |
| 4060 Additional offsets against budget authority only (total) ..... | –4  |     |     |
| 4070 Budget authority, net (discretionary) .....                    | 83  | 83  | 85  |
| 4080 Outlays, net (discretionary) .....                             | 87  | 83  | 90  |
| 4180 Budget authority, net (total) .....                            | 83  | 83  | 85  |
| 4190 Outlays, net (total) .....                                     | 87  | 83  | 90  |

The Administrative Office, pursuant to section 604 of Title 28, United States Code, under the supervision and direction of the Judicial Conference of the United States, is responsible for the administration of the U.S. courts, including the probation and bankruptcy systems. The principal functions consist of providing staff and services for the courts; conducting a continuous study of the rules of practice and procedure in the Federal courts; examining the state of dockets of the various courts; compiling and publishing statistical data concerning the business transacted by the courts; and administering the judicial retirement and survivors annuities systems under Title 28, United States Code, sections 178, 376, and 377. The Administrative Office also is responsible for: the preparation and submission of the annual budget estimates as well as supplemental and deficiency estimates; the disbursement of and accounting for moneys appropriated for the operation of the courts, and the Federal Judicial Center; the audit and examination of accounts; the purchase and distribution of supplies and equipment; providing automated data processing services; securing adequate space for occupancy by the courts; and such other matters as may be assigned by the Supreme Court and Judicial Conference of the United States.

**Object Classification** (in millions of dollars)

| Identification code 10–0927–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 73          | 73      | 75        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 74          | 74      | 76        |
| 12.1 Civilian personnel benefits .....                          | 21          | 22      | 22        |
| 13.0 Benefits for former personnel .....                        | 1           |         |           |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 2           | 3       | 2         |
| 31.0 Equipment .....  | 2           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 102         | 102     | 103       |
| 99.0 Reimbursable obligations .....                             | 41          | 44      | 44        |
| 99.9 Total new obligations .....                                | 143         | 146     | 147       |

**Employment Summary**

| Identification code 10–0927–0–1–752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 605         | 619     | 619       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 243         | 250     | 250       |

**FEDERAL JUDICIAL CENTER****Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses of the Federal Judicial Center, as authorized by Public Law 90-219, \$27,664,000; of which \$1,800,000 shall remain available through September 30, 2015, to provide education and training to Federal court personnel; and of which not to exceed \$1,500 is authorized for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 10-0928-0-1-752                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Education and training .....                                 | 20          | 20      | 20        |
| 0002 Research .....   | 5           | 4       | 5         |
| 0003 Program support .....  | 3           | 3       | 3         |
| 0900 Total new obligations .....                                  | 28          | 27      | 28        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 27          | 27      | 28        |
| 1160 Appropriation, discretionary (total) .....                   | 27          | 27      | 28        |
| 1900 Budget authority (total) .....                               | 27          | 27      | 28        |
| 1930 Total budgetary resources available .....                    | 28          | 27      | 28        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 3           | 4       | 1         |
| 3010 Obligations incurred, unexpired accounts .....               | 28          | 27      | 28        |
| 3011 Obligations incurred, expired accounts .....                 | 1           |         |           |
| 3020 Outlays (gross) .....  | -27         | -30     | -28       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 4           | 1       | 1         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 3           | 4       | 1         |
| 3200 Obligated balance, end of year .....                         | 4           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 27          | 27      | 28        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 24          | 26      | 27        |
| 4011 Outlays from discretionary balances .....                    | 3           | 4       | 1         |
| 4020 Outlays, gross (total) .....                                 | 27          | 30      | 28        |
| 4180 Budget authority, net (total) .....                          | 27          | 27      | 28        |
| 4190 Outlays, net (total) .....                                   | 27          | 30      | 28        |

This appropriation provides for the operation of the Federal Judicial Center pursuant to 28 U.S.C. 620 et seq. The Center is charged with the responsibility for furthering the development and adoption of improved judicial administration in the courts of the United States.

**Object Classification** (in millions of dollars)

| Identification code 10-0928-0-1-752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 14          | 14      | 14        |
| 12.1 Civilian personnel benefits .....                          | 4           | 4       | 4         |
| 21.0 Travel and transportation of persons .....                 | 6           | 6       | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           |         | 1         |
| 99.0 Direct obligations .....                                   | 27          | 27      | 28        |
| 99.0 Reimbursable obligations .....                             | 1           |         |           |

|      |                             |    |    |    |
|------|-----------------------------|----|----|----|
| 99.9 | Total new obligations ..... | 28 | 27 | 28 |
|------|-----------------------------|----|----|----|

**Employment Summary**

| Identification code 10-0928-0-1-752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 133         | 135     | 135       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

**JUDICIAL RETIREMENT FUNDS****Federal Funds****PAYMENT TO JUDICIARY TRUST FUNDS**

*For payment to the Judicial Officers' Retirement Fund, as authorized by 28 U.S.C. 377(o), \$105,231,000; to the Judicial Survivors' Annuities Fund, as authorized by 28 U.S.C. 376(c), \$16,200,000; and to the United States Court of Federal Claims Judges' Retirement Fund, as authorized by 28 U.S.C. 178(l), \$5,500,00.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 10-0941-0-1-752                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Payment to Judicial Officers' Retirement Fund .....            | 87          | 96      | 105       |
| 0002 Payment to Court of Federal Claims Judges Retirement Fund .... | 4           | 7       | 6         |
| 0003 Payment to Judicial Survivors' Annuities Fund .....            | 13          | 22      | 16        |
| 0900 Total new obligations (object class 12.1) .....                | 104         | 125     | 127       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 104         | 125     | 127       |
| 1260 Appropriations, mandatory (total) .....                        | 104         | 125     | 127       |
| 1930 Total budgetary resources available .....                      | 104         | 125     | 127       |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                 | 104         | 125     | 127       |
| 3020 Outlays (gross) .....  | -104        | -125    | -127      |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                  | 104         | 125     | 127       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                     | 104         | 125     | 127       |
| 4180 Budget authority, net (total) .....                            | 104         | 125     | 127       |
| 4190 Outlays, net (total) .....                                     | 104         | 125     | 127       |

This appropriation request would provide funds necessary to pay the retirement annuities of bankruptcy judges and magistrate judges, pursuant to 28 U.S.C. 377, the retirement annuities of the United States Court of Federal Claims judges, pursuant to 28 U.S.C. 178, and annuities to participants' surviving widows, widowers, and dependent children, pursuant to 28 U.S.C. 376.

**Trust Funds****JUDICIAL OFFICERS' RETIREMENT FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 10-8122-0-7-602   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0200 Deductions from Employee Salaries and Voluntary Contributions,<br>Judicial Officers' Annuity ..... | 2           | 1       | 1         |



|      |   |     |      |      |
|------|---|-----|------|------|
| 0240 | Interest and Profits on Investments, Judicial Officers' Annuity ..... | 10  | 11   |      |
| 0241 | Federal Payment to Judicial Officers Retirement Fund .....            | 87  | 96   | 105  |
| 0299 | Total receipts and collections .....                                  | 89  | 107  | 117  |
| 0400 | Total: Balances and collections .....                                 | 89  | 107  | 117  |
| 0500 | Appropriations: Judicial Officers' Retirement Fund .....              | -89 | -107 | -117 |
| 0799 | Balance, end of year .....  |     |      |      |

**Program and Financing** (in millions of dollars)

| Identification code 10-8122-0-7-602                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0010 Judicial Officers Retirement Fund .....                     | 50          | 58      | 64        |
| 0900 Total new obligations (object class 42.0) .....             | 50          | 58      | 64        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 396         | 435     | 484       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 89          | 107     | 117       |
| 1260 Appropriations, mandatory (total) .....                     | 89          | 107     | 117       |
| 1930 Total budgetary resources available .....                   | 485         | 542     | 601       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 435         | 484     | 537       |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 5           | 5       | 5         |
| 3010 Obligations incurred, unexpired accounts .....              | 50          | 58      | 64        |
| 3020 Outlays (gross) .....                                       | -45         | -58     | -64       |
| 3050 Unpaid obligations, end of year .....                       | 5           | 5       | 5         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 5           | 5       | 5         |
| 3200 Obligated balance, end of year .....                        | 5           | 5       | 5         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 89          | 107     | 117       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 45          | 53      | 64        |
| 4101 Outlays from mandatory balances .....                       | 5           | 5       | 5         |
| 4110 Outlays, gross (total) .....                                | 45          | 58      | 64        |
| 4180 Budget authority, net (total) .....                         | 89          | 107     | 117       |
| 4190 Outlays, net (total) .....                                  | 45          | 58      | 64        |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 398         | 436     | 485       |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 436         | 485     | 538       |

This fund provides the retirement annuities of bankruptcy judges and magistrate judges pursuant to 28 U.S.C. 377.

**JUDICIAL SURVIVORS' ANNUITIES FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 10-8110-0-7-602  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 1           | 2       | 3         |
| Receipts:  |             |         |           |
| 0200 Judicial Survivors Annuity, Deductions from Employees Salaries .....  | 8           | 7       | 7         |
| 0240 Judicial Survivors Annuity, Interest and Profits on Investments ..... | 8           | 15      | 16        |
| 0241 Federal Payment to Judicial Survivors Annuities Fund .....            | 13          | 23      | 16        |
| 0299 Total receipts and collections .....                                  | 29          | 45      | 39        |
| 0400 Total: Balances and collections .....                                 | 30          | 47      | 42        |
| Appropriations:  |             |         |           |
| 0500 Judicial Survivors' Annuities Fund .....                              | -28         | -44     | -39       |
| 0799 Balance, end of year .....  | 2           | 3       | 3         |

**Program and Financing** (in millions of dollars)

| Identification code 10-8110-0-7-602                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0010 Judicial Survivor's Annuity Fund .....                      | 27          | 27      | 27        |
| 0900 Total new obligations (object class 42.0) .....             | 27          | 27      | 27        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 493         | 494     | 511       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 28          | 44      | 39        |
| 1260 Appropriations, mandatory (total) .....                     | 28          | 44      | 39        |
| 1930 Total budgetary resources available .....                   | 521         | 538     | 550       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 494         | 511     | 523       |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 1           | 3       | 3         |
| 3010 Obligations incurred, unexpired accounts .....              | 27          | 27      | 27        |
| 3020 Outlays (gross) .....                                       | -25         | -27     | -27       |
| 3050 Unpaid obligations, end of year .....                       | 3           | 3       | 3         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 1           | 3       | 3         |
| 3200 Obligated balance, end of year .....                        | 3           | 3       | 3         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 28          | 44      | 39        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 25          | 24      | 27        |
| 4101 Outlays from mandatory balances .....                       | 3           | 3       | 3         |
| 4110 Outlays, gross (total) .....                                | 25          | 27      | 27        |
| 4180 Budget authority, net (total) .....                         | 28          | 44      | 39        |
| 4190 Outlays, net (total) .....                                  | 25          | 27      | 27        |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 498         | 500     | 517       |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 500         | 517     | 529       |

The Judicial Survivors' Annuities Fund (section 376 of title 28, United States Code) was established to receive sums deducted and withheld from salaries of justices, judges, the Director of the Federal Judicial Center, the Director of the Administrative Office of the U.S. Courts, and the Administrative Assistant to the Chief Justice who have elected to bring themselves within the purview of the above section as well as amounts received from said judicial officers covering Federal civilian service prior to date of election.

This fund provides annuities for participants' surviving widows, widowers, and dependent children.

**UNITED STATES COURT OF FEDERAL CLAIMS JUDGES' RETIREMENT FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 10-8124-0-7-602                                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0240 Federal Payment to Claims Court Judges' Retirement Fund .....       | 4           | 7       | 6         |
| 0400 Total: Balances and collections .....                               | 4           | 7       | 6         |
| Appropriations:  |             |         |           |
| 0500 United States Court of Federal Claims Judges' Retirement Fund ..... | -4          | -7      | -6        |
| 0799 Balance, end of year .....  |             |         |           |

**UNITED STATES COURT OF FEDERAL CLAIMS JUDGES' RETIREMENT  
FUND—Continued**  
**Program and Financing** (in millions of dollars)

| Identification code 10–8124–0–7–602                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Court of Federal Claims Judges Retirement Fund .....        | 2           | 3       | 4         |
| 0900 Total new obligations (object class 42.0) .....             | 2           | 3       | 4         |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 22          | 24      | 28        |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 4           | 7       | 6         |
| 1260 Appropriations, mandatory (total) .....                     | 4           | 7       | 6         |
| 1930 Total budgetary resources available .....                   | 26          | 31      | 34        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 24          | 28      | 30        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              | 2           | 3       | 4         |
| 3020 Outlays (gross) .....                                       | –2          | –3      | –4        |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 4           | 7       | 6         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 2           | 3       | 4         |
| 4180 Budget authority, net (total) .....                         | 4           | 7       | 6         |
| 4190 Outlays, net (total) .....                                  | 2           | 3       | 4         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 22          | 24      | 28        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 24          | 28      | 30        |

This fund provides the retirement annuities of United States Court of Federal Claims judges pursuant to 28 U.S.C. 178.

## UNITED STATES SENTENCING COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

*For the salaries and expenses necessary to carry out the provisions of chapter 58 of title 28, United States Code, \$17,016,000, of which not to exceed \$1,000 is authorized for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing

 (in millions of dollars)

| Identification code 10–0938–0–1–752                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 16          | 17      | 17        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 17          | 17      | 17        |
| 1160 Appropriation, discretionary (total) .....       | 17          | 17      | 17        |
| 1930 Total budgetary resources available .....        | 17          | 18      | 18        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 5           | 3       | 3         |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 3010 | Obligations incurred, unexpired accounts .....             | 16  | 17  | 17  |
| 3020 | Outlays (gross) .....                                      | –17 | –17 | –17 |
| 3041 | Recoveries of prior year unpaid obligations, expired ..... | –1  |     |     |
| 3050 | Unpaid obligations, end of year .....                      | 3   | 3   | 3   |
|      | Memorandum (non-add) entries:                              |     |     |     |
| 3100 | Obligated balance, start of year .....                     | 5   | 3   | 3   |
| 3200 | Obligated balance, end of year .....                       | 3   | 3   | 3   |
|      | <b>Budget authority and outlays, net:</b>                  |     |     |     |
|      | Discretionary:   |     |     |     |
| 4000 | Budget authority, gross .....                              | 17  | 17  | 17  |
|      | Outlays, gross:  |     |     |     |
| 4010 | Outlays from new discretionary authority .....             | 14  | 14  | 14  |
| 4011 | Outlays from discretionary balances .....                  | 3   | 3   | 3   |
| 4020 | Outlays, gross (total) .....                               | 17  | 17  | 17  |
| 4180 | Budget authority, net (total) .....                        | 17  | 17  | 17  |
| 4190 | Outlays, net (total) .....                                 | 17  | 17  | 17  |

The United States Sentencing Commission, an independent agency within the judicial branch, was established pursuant to provisions of the Comprehensive Crime Control Act of 1984 (Public Law 98–473, Title II), as amended. The Commission's principal purposes are to: (1) collect, analyze, and distribute a broad array of information on Federal crime and sentencing issues, serving as an information resource for Congress, the executive branch, the courts, criminal justice practitioners, the academic community, and the public; (2) establish sentencing policies and practices for the Federal courts, including guidelines prescribing the appropriate form and severity of punishment for offenders convicted of Federal crimes; (3) advise and assist Congress and the executive branch in the development of effective and efficient crime policy; and (4) provide training to judges, prosecutors, probation officers, the defense bar, and other members of the criminal justice community on the application of the guidelines.

#### Object Classification

 (in millions of dollars)

| Identification code 10–0938–0–1–752                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 10          | 10      | 10        |
| 12.1 Civilian personnel benefits .....                 | 3           | 3       | 3         |
| 21.0 Travel and transportation of persons .....        |             | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 2           | 2       | 2         |
| 31.0 Equipment .....                                   | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 16          | 17      | 17        |

#### Employment Summary

| Identification code 10–0938–0–1–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 98          | 105     | 105       |

## ADMINISTRATIVE PROVISIONS—THE JUDICIARY

### (INCLUDING TRANSFER OF FUNDS)

*SEC. 301. Appropriations and authorizations made in this title which are available for salaries and expenses shall be available for services as authorized by 5 U.S.C. 3109.*

*SEC. 302. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Judiciary in this Act may be transferred between such appropriations, but no such appropriation, except "Courts of Appeals, District Courts, and Other Judicial Services, Defender Services" and "Courts of Appeals, District Courts, and Other Judicial Services, Fees of Jurors and Commissioners", shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this section shall be treated as a reprogramming of funds under sections 604 and 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in section 608.*

SEC. 303. Notwithstanding any other provision of law, the salaries and expenses appropriation for "Courts of Appeals, District Courts, and Other Judicial Services" shall be available for official reception and representation expenses of the Judicial Conference of the United States: Provided, That such available funds shall not exceed \$11,000 and shall be administered by the Director of the Administrative Office of the United States Courts in the capacity as Secretary of the Judicial Conference.

SEC. 304. Section 3314(a) of title 40, United States Code, shall be applied by substituting "Federal" for "executive" each place it appears.

SEC. 305. In accordance with 28 U.S.C. 561–569, and notwithstanding any other provision of law, the United States Marshals Service shall provide, for such courthouses as its Director may designate in consultation with the Director of the Administrative Office of the United States Courts, for purposes of a pilot program, the security services that 40 U.S.C. 1315 authorizes the Department of Homeland Security to provide, except for the services specified in 40 U.S.C. 1315(b)(2)(E). For building-specific security services at these courthouses, the Director of the Administrative Office of the United States Courts shall reimburse the United States Marshals Service rather than the Department of Homeland Security.

SEC. 306. Section 140 of Public Law 97–92, as amended by Public Law 107–77 (28 U.S.C. 461 note), is repealed.

SEC. 307. The Supreme Court of the United States, the Federal Judicial Center, and the United States Sentencing Commission are hereby authorized, now and hereafter, to enter into contracts for the acquisition of severable services for a period that begins in one fiscal year and ends in the next fiscal year and to enter into contracts for multiple years for the acquisition of property and services, to the same extent as executive agencies under the authority of 41 U.S.C. Sections 3902 and 3903, respectively.

GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 10-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 2           |         |           |
| General Fund Offsetting receipts from the public .....  | 2           |         |           |



# DEPARTMENT OF AGRICULTURE

## OFFICE OF THE SECRETARY

### Federal Funds

#### PRODUCTION, PROCESSING AND MARKETING

##### OFFICE OF THE SECRETARY

*For necessary expenses of the Office of the Secretary of Agriculture, \$5,086,000: Provided, That not to exceed \$11,000 of this amount shall be available for official reception and representation expenses, not otherwise provided for, as determined by the Secretary.*

##### OFFICE OF THE ASSISTANT SECRETARY FOR CIVIL RIGHTS

*For necessary expenses of the Office of the Assistant Secretary for Civil Rights, \$898,000.*

##### OFFICE OF THE ASSISTANT SECRETARY FOR ADMINISTRATION

*For necessary expenses of the Office of the Assistant Secretary for Administration, \$809,000.*

##### OFFICE OF TRIBAL RELATIONS

*For necessary expenses of the Office of Tribal Relations, \$502,000, to support communication and consultation activities with Federally Recognized Tribes, as well as other requirements established by law.*

##### OFFICE OF THE ASSISTANT SECRETARY FOR CONGRESSIONAL RELATIONS

##### (INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Office of the Assistant Secretary for Congressional Relations to carry out the programs funded by this Act, including programs involving intergovernmental affairs and liaison within the executive branch, \$3,897,000: Provided, That these funds may be transferred to agencies of the Department of Agriculture funded by this Act to maintain personnel at the agency level.*

##### OFFICE OF THE UNDER SECRETARY FOR RESEARCH, EDUCATION AND ECONOMICS

*For necessary expenses of the Office of the Under Secretary for Research, Education and Economics, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR MARKETING AND REGULATORY PROGRAMS

*For necessary expenses of the Office of the Under Secretary for Marketing and Regulatory Programs, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR FOOD SAFETY

*For necessary expenses of the Office of the Under Secretary for Food Safety, \$816,000.*

##### OFFICE OF THE UNDER SECRETARY FOR FARM AND FOREIGN AGRICULTURAL SERVICES

*For necessary expenses of the Office of the Under Secretary for Farm and Foreign Agricultural Services, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR NATURAL RESOURCES AND ENVIRONMENT

*For necessary expenses of the Office of the Under Secretary for Natural Resources and Environment, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR RURAL DEVELOPMENT

*For necessary expenses of the Office of the Under Secretary for Rural Development, \$898,000.*

##### OFFICE OF THE UNDER SECRETARY FOR FOOD, NUTRITION AND CONSUMER SERVICES

*For necessary expenses of the Office of the Under Secretary for Food, Nutrition and Consumer Services, \$816,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 12–9913–0–1–352                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Office of the Secretary .....                                  | 4           | 4       | 4         |
| 0002 Under/Assistant Secretaries .....                              | 9           | 11      | 12        |
| 0003 Trade negotiations and biotechnology resources .....           | 1           | 1       | 1         |
| 0799 Total direct obligations .....                                 | 14          | 16      | 17        |
| 0802 Reimbursable program .....                                     | 10          | 10      | 10        |
| 0900 Total new obligations .....                                    | 24          | 26      | 27        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 1           | 1       | 2         |
| 1021 Recoveries of prior year unpaid obligations .....              |             | 1       | 1         |
| 1050 Unobligated balance (total) .....                              | 1           | 2       | 3         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 14          | 16      | 17        |
| 1160 Appropriation, discretionary (total) .....                     | 14          | 16      | 17        |
| Spending authority from offsetting collections, discretionary:      |             |         |           |
| 1700 Collected .....  | 2           | 5       | 5         |
| 1701 Change in uncollected payments, Federal sources .....          | 10          | 5       | 5         |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 12          | 10      | 10        |
| 1900 Budget authority (total) .....                                 | 26          | 26      | 27        |
| 1930 Total budgetary resources available .....                      | 27          | 28      | 30        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1940 Unobligated balance expiring .....                             | –2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 1           | 2       | 3         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 7           | 6       | 3         |
| 3010 Obligations incurred, unexpired accounts .....                 | 24          | 26      | 27        |
| 3011 Obligations incurred, expired accounts .....                   | 3           |         |           |
| 3020 Outlays (gross) .....  | –25         | –28     | –27       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   |             | –1      | –1        |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                          | 6           | 3       | 2         |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –19         | –25     | –30       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –10         | –5      | –5        |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 4           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –25         | –30     | –35       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | –12         | –19     | –27       |
| 3200 Obligated balance, end of year .....                           | –19         | –27     | –33       |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 26          | 26      | 27        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 21          | 23      | 24        |
| 4011 Outlays from discretionary balances .....                      | 4           | 5       | 3         |
| 4020 Outlays, gross (total) .....                                   | 25          | 28      | 27        |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | –10         | –5      | –5        |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –10         | –5      | –5        |
| 4052 Offsetting collections credited to expired accounts .....      | 8           |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | –2          | –5      | –5        |
| 4070 Budget authority, net (discretionary) .....                    | 14          | 16      | 17        |
| 4080 Outlays, net (discretionary) .....                             | 15          | 23      | 22        |
| 4180 Budget authority, net (total) .....                            | 14          | 16      | 17        |
| 4190 Outlays, net (total) .....                                     | 15          | 23      | 22        |

The Office of the Secretary is responsible for the overall planning, coordination and administration of the Department's programs. This includes the Secretary, Deputy Secretary, Under Secretaries, Assistant Secretaries, and their immediate staffs, who provide top policy guidance for the Department; maintain

## PRODUCTION, PROCESSING AND MARKETING—Continued

relationships with agricultural organizations and others in the development of farm programs; and provide liaison with the Executive Office of the President and Members of Congress on all matters pertaining to agricultural policy.

Funds are proposed for the Office of the Secretary's account for (1) negotiating and monitoring trade agreements; and (2) for technical trade support in the areas of biotechnology, sanitary and phyto-sanitary issues. The 2014 Budget requests \$17 million.

## Object Classification (in millions of dollars)

| Identification code 12–9913–0–1–352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 8           | 10      | 11        |
| 12.1 Civilian personnel benefits .....                          | 2           | 3       | 3         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 2           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 14          | 16      | 17        |
| 99.0 Reimbursable obligations .....                             | 10          | 10      | 10        |
| 99.9 Total new obligations .....                                | 24          | 26      | 27        |

## Employment Summary

| Identification code 12–9913–0–1–352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 62          | 62      | 65        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 60          | 62      | 62        |

## Trust Funds

## GIFTS AND BEQUESTS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12–8203–0–7–352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                          |             |         | 1         |
| Receipts:  |             |         |           |
| 0220 Gifts and Bequests, Departmental Administration ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....                 | 1           | 1       | 2         |
| Appropriations:  |             |         |           |
| 0500 Gifts and Bequests .....                              | –1          |         |           |
| 0799 Balance, end of year .....                            |             | 1       | 2         |

## Program and Financing (in millions of dollars)

| Identification code 12–8203–0–7–352                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Gifts and bequests .....                         | 1           | 1       | 1         |
| 0900 Total new obligations (object class 99.5) .....  | 1           | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 3       | 2         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 1           |         |           |
| 1260 Appropriations, mandatory (total) .....          | 1           |         |           |
| 1900 Budget authority (total) .....                   | 1           |         |           |
| 1930 Total budgetary resources available .....        | 4           | 3       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 3           | 2       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 1       | 1         |
| 3020 Outlays (gross) .....                            | –1          | –1      | –1        |

## Budget authority and outlays, net:

|  |   |   |   |
|--|---|---|---|
| Mandatory:                                 |   |   |   |
| 4090 Budget authority, gross .....         | 1 |   |   |
| Outlays, gross:                            |   |   |   |
| 4101 Outlays from mandatory balances ..... | 1 | 1 | 1 |
| 4180 Budget authority, net (total) .....   | 1 |   |   |
| 4190 Outlays, net (total) .....            | 1 | 1 | 1 |

The Secretary is authorized to accept and administer gifts and bequests of real and personal property to facilitate the work of the Department. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest (7 U.S.C. 2269).

## EXECUTIVE OPERATIONS

## Federal Funds

## COMMON COMPUTING ENVIRONMENT

## Program and Financing (in millions of dollars)

| Identification code 12–0113–0–1–352                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    |             | 1       |           |
| 0900 Total new obligations (object class 99.5) .....  |             | 1       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       |           |
| 1930 Total budgetary resources available .....        | 1           | 1       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             | 1       |           |
| 3020 Outlays (gross) .....                            |             | –1      |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        |             | 1       |           |
| 4190 Outlays, net (total) .....                       |             | 1       |           |

The Common Computing Environment provides the shared information technology platform for the three Service Center Agencies (the Farm Service Agency, the Natural Resources Conservation Service, and the Rural Development agencies).

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

| Identification code 12–4609–0–4–352  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0801 Administration .....  | 48          | 47      | 51        |
| 0802 Communications .....  | 5           | 6       | 6         |
| 0803 Finance and management .....  | 344         | 281     | 306       |
| 0804 Information technology .....  | 450         | 379     | 413       |
| 0805 Executive secretariat .....   | 4           | 3       | 4         |
| 0809 Reimbursable program activities, subtotal .....                                   | 851         | 716     | 780       |
| 0811 Administration .....  |             | 4       | 2         |
| 0813 Finance and management .....  | 36          | 22      | 17        |
| 0814 Information technology .....  | 3           | 6       | 9         |
| 0819 Reimbursable program activities - Purchase of Equipment (Capital), subtotal ..... | 39          | 32      | 28        |
| 0900 Total new obligations .....   | 890         | 748     | 808       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                  | 117         | 132     | 110       |
| 1021 Recoveries of prior year unpaid obligations .....                                 | 32          |         |           |
| 1050 Unobligated balance (total) .....   | 149         | 132     | 110       |

|  |   |       |           |
|--|---|-------|-----------|
| Budget authority:  |   |       |           |
| Appropriations, discretionary:                                 |   |       |           |
| 1121   | Appropriations transferred from other accts RD [12-2081] .....    | 2     |           |
| 1121   | Appropriations transferred from other accts OBPA [12-9915] .....  | 1     |           |
| 1121   | Appropriations transferred from other accts RD [12-1230] .....    | 1     |           |
| 1121   | Appropriations transferred from other accts APHIS [12-1600] ..... | 3     |           |
| 1160   | Appropriation, discretionary (total) .....                        | 7     |           |
| Spending authority from offsetting collections, discretionary: |   |       |           |
| 1700   | Collected .....   | 906   | 726 790   |
| 1701   | Change in uncollected payments, Federal sources .....             | -40   |           |
| 1750   | Spending auth from offsetting collections, disc (total) .....     | 866   | 726 790   |
| 1900   | Budget authority (total) .....                                    | 873   | 726 790   |
| 1930   | Total budgetary resources available .....                         | 1,022 | 858 900   |
| Memorandum (non-add) entries:                                  |   |       |           |
| 1941   | Unexpired unobligated balance, end of year .....                  | 132   | 110 92    |
| <b>Change in obligated balance:</b>                            |   |       |           |
| Unpaid obligations:  |   |       |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                  | 241   | 288 66    |
| 3010   | Obligations incurred, unexpired accounts .....                    | 890   | 748 808   |
| 3020   | Outlays (gross) .....   | -811  | -970 -859 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....      | -32   |           |
| 3050   | Unpaid obligations, end of year .....                             | 288   | 66 15     |
| Uncollected payments:  |   |       |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....      | -269  | -229 -229 |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....         | 40    |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....                 | -229  | -229 -229 |
| Memorandum (non-add) entries:                                  |   |       |           |
| 3100   | Obligated balance, start of year .....                            | -28   | 59 -163   |
| 3200   | Obligated balance, end of year .....                              | 59    | -163 -214 |
| <b>Budget authority and outlays, net:</b>                      |   |       |           |
| Discretionary:   |   |       |           |
| 4000   | Budget authority, gross .....                                     | 873   | 726 790   |
| Outlays, gross:  |   |       |           |
| 4010   | Outlays from new discretionary authority .....                    | 617   | 628 683   |
| 4011   | Outlays from discretionary balances .....                         | 194   | 342 176   |
| 4020   | Outlays, gross (total) .....                                      | 811   | 970 859   |
| Offsets against gross budget authority and outlays:            |   |       |           |
| Offsetting collections (collected) from:                       |   |       |           |
| 4030   | Federal sources .....   | -894  | -726 -790 |
| 4033   | Non-Federal sources .....   | -12   |           |
| 4040   | Offsets against gross budget authority and outlays (total) ....   | -906  | -726 -790 |
| Additional offsets against gross budget authority only:        |   |       |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....         | 40    |           |
| 4070   | Budget authority, net (discretionary) .....                       | 7     |           |
| 4080   | Outlays, net (discretionary) .....                                | -95   | 244 69    |
| 4180   | Budget authority, net (total) .....                               | 7     |           |
| 4190   | Outlays, net (total) .....  | -95   | 244 69    |

This fund finances, by advances or reimbursements, certain central services in the Department of Agriculture, including duplicating and other visual information services; broadcast media services; supply services; centralized financial management systems; centralized automated data processing systems for payroll, personnel, and related services; voucher payments services; telecommunications services; and information technology systems.

#### Object Classification (in millions of dollars)

|                                     |   |     |         |
|-------------------------------------|---|-----|---------|
| Identification code 12-4609-0-4-352 |   |     |         |
| Reimbursable obligations:           |   |     |         |
| Personnel compensation:             |   |     |         |
| 11.1                                | Full-time permanent - OCFO .....                | 87  | 95 107  |
| 11.1                                | Full-time permanent - OCIO .....                | 78  | 86 88   |
| 11.1                                | Full-time permanent - DA OES OC .....           | 18  | 20 20   |
| 11.5                                | Other personnel compensation - OCFO .....       | 4   | 3 3     |
| 11.5                                | Other personnel compensation - OCIO .....       | 2   | 1 2     |
| 11.5                                | Other personnel compensation - DA OES OC .....  | 1   |         |
| 11.9                                | Total personnel compensation .....              | 190 | 205 220 |
| 12.1                                | Civilian personnel benefits OCFO .....          | 29  | 30 34   |
| 12.1                                | Civilian personnel benefits OCIO .....          | 25  | 25 25   |
| 12.1                                | Civilian personnel benefits - DA OES OC .....   | 6   | 6 7     |
| 21.0                                | Travel and transportation of persons OCFO ..... | 1   | 1 1     |

|      |  |     |         |
|------|--|-----|---------|
| 21.0 | Travel and transportation of persons - OCIO .....                      | 3   | 3 3     |
| 22.0 | Transportation of things - DA OES OC .....                             | 1   | 1 1     |
| 23.1 | Rental payments to GSA - OCFO .....                                    | 1   | 1 1     |
| 23.1 | Rental payments to GSA - OCIO .....                                    | 4   | 6 6     |
| 23.1 | Rental payments to GSA - DA OES OC .....                               | 1   | 1 1     |
| 23.2 | Rental payments to others - OCFO .....                                 | 12  | 12 12   |
| 23.2 | Rental payments to others - OCIO .....                                 | 11  | 11 11   |
| 23.3 | Communications, utilities, and miscellaneous charges - OCFO .....      | 4   | 10 4    |
| 23.3 | Communications, utilities, and miscellaneous charges - OCIO .....      | 70  | 69 70   |
| 23.3 | Communications, utilities, and miscellaneous charges - DA OES OC ..... | 3   | 3 3     |
| 24.0 | Printing and reproduction .....  | 1   |         |
| 25.2 | Other services from non-Federal sources .....                          | 1   | 1 1     |
| 25.3 | Other goods and services from Federal sources - OCFO .....             | 170 | 85 94   |
| 25.3 | Other goods and services from Federal sources - OCIO .....             | 150 | 104 137 |
| 25.3 | Other goods and services from Federal sources - DA OES OC .....        | 23  | 20 22   |
| 25.4 | Operation and maintenance of facilities .....                          | 2   | 2 2     |
| 25.7 | Operation and maintenance of equipment - OCFO .....                    | 24  | 30 36   |
| 25.7 | Operation and maintenance of equipment - OCIO .....                    | 47  | 52 60   |
| 25.7 | Operation and maintenance of equipment - DA OES OC .....               | 2   | 1 2     |
| 26.0 | Supplies and materials - OCFO .....                                    | 2   | 1 1     |
| 26.0 | Supplies and materials - OCIO .....                                    | 8   | 5 3     |
| 26.0 | Supplies and materials - DA OES OC .....                               | 3   | 3 3     |
| 31.0 | Equipment - OCFO .....   | 42  | 34 29   |
| 31.0 | Equipment - DA OES OC .....  | 54  | 4 2     |
| 31.0 | Equipment - OCIO .....   |     | 22 17   |
| 99.9 | Total new obligations .....  | 890 | 748 808 |

#### Employment Summary

|                                     |   |         |             |
|-------------------------------------|---|---------|-------------|
| Identification code 12-4609-0-4-352 |   |         |             |
|                                     | 2012 actual   | 2013 CR | 2014 est.   |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 2,293   | 2,295 2,417 |

## OFFICE OF CHIEF ECONOMIST

### Federal Funds

#### EXECUTIVE OPERATIONS

#### OFFICE OF THE CHIEF ECONOMIST

*For necessary expenses of the Office of the Chief Economist, \$12,854,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |  |         |           |
|---|--|---------|-----------|
| Identification code 12-0123-0-1-352     |  |         |           |
|   | 2012 actual  | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |  |         |           |
| 0001                                    | Direct program activity .....  | 11      | 11 13     |
| 0801                                    | Reimbursable program activity - other .....                            | 2       | 1 1       |
| 0802                                    | Reimbursable program activity (Biodiesel Fuel Education Program) ..... | 1       |           |
| 0899                                    | Total reimbursable obligations .....                                   | 3       | 1 1       |
| 0900                                    | Total new obligations .....  | 14      | 12 14     |

#### Budgetary Resources:

|  |   |    |       |
|--|---|----|-------|
| Unobligated balance:   |   |    |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....              |    | 1     |
| Budget authority:  |   |    |       |
| Appropriations, discretionary:                                 |   |    |       |
| 1100   | Appropriation .....   | 11 | 11 13 |
| 1160   | Appropriation, discretionary (total) .....                    | 11 | 11 13 |
| Appropriations, mandatory:                                     |   |    |       |
| 1221   | Appropriations transferred from other accts [12-4336] ....    | 1  |       |
| 1260   | Appropriations, mandatory (total) .....                       | 1  |       |
| Spending authority from offsetting collections, discretionary: |   |    |       |
| 1700   | Collected .....   | 2  | 2     |
| 1701   | Change in uncollected payments, Federal sources .....         | 3  |       |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 3  | 2 2   |
| 1900   | Budget authority (total) .....                                | 15 | 13 15 |
| 1930   | Total budgetary resources available .....                     | 15 | 13 16 |

EXECUTIVE OPERATIONS—Continued  
Program and Financing—Continued

| Identification code 12-0123-0-1-352                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 1940 Unobligated balance expiring .....                             | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....               |             | 1       | 2         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 5           | 8       | 6         |
| 3010 Obligations incurred, unexpired accounts .....                 | 14          | 12      | 14        |
| 3011 Obligations incurred, expired accounts .....                   | 2           |         |           |
| 3020 Outlays (gross) .....  | -13         | -14     | -16       |
| 3050 Unpaid obligations, end of year .....                          | 8           | 6       | 4         |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -2          | -4      | -4        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | -3          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | -4          | -4      | -4        |
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 3100 Obligated balance, start of year .....                         | 3           | 4       | 2         |
| 3200 Obligated balance, end of year .....                           | 4           | 2       |           |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 14          | 13      | 15        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 9           | 12      | 14        |
| 4011 Outlays from discretionary balances .....                      | 4           | 2       | 2         |
| 4020 Outlays, gross (total) .....                                   | 13          | 14      | 16        |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | -1          | -2      | -2        |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -3          |         |           |
| 4052 Offsetting collections credited to expired accounts .....      | 1           |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | -2          |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 11          | 11      | 13        |
| 4080 Outlays, net (discretionary) .....                             | 12          | 12      | 14        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                  | 1           |         |           |
| 4180 Budget authority, net (total) .....                            | 12          | 11      | 13        |
| 4190 Outlays, net (total) .....                                     | 12          | 12      | 14        |

The Office of the Chief Economist advises the Secretary of Agriculture on the economic implications of Department policies, programs and proposed legislation. The Office serves as a focal point for USDA's economic intelligence and analysis; projections related to agricultural commodity markets; risk assessment and cost-benefit analysis related to domestic and international food and agriculture; policy direction for renewable energy development; coordination, analysis and advice on climate change and environmental market activities; and is responsible for coordination and review of all commodity and aggregate agricultural and food-related data used to develop outlook and situation material within the Department. The 2014 Budget requests \$13 million, which includes a \$700,000 increase for oversight of USDA-wide efforts to integrate climate change adaptation planning and actions into USDA programs and policies, and a \$900,000 increase to fund staff to evaluate and quantify the environmental services produced by conservation practices.

## Object Classification (in millions of dollars)

| Identification code 12-0123-0-1-352                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 7           | 6       | 7         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....     | 2           | 3       | 4         |
| 99.0 Direct obligations .....                          | 11          | 11      | 13        |
| 99.0 Reimbursable obligations .....                    | 3           | 1       | 1         |

|      |                             |    |    |    |
|------|-----------------------------|----|----|----|
| 99.9 | Total new obligations ..... | 14 | 12 | 14 |
|------|-----------------------------|----|----|----|

## Employment Summary

| Identification code 12-0123-0-1-352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 49          | 53      | 56        |

NATIONAL APPEALS DIVISION  
Federal Funds

## NATIONAL APPEALS DIVISION

*For necessary expenses of the National Appeals Division, \$12,940,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-0706-0-1-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 National Appeals Division .....                            | 12          | 13      | 13        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 13          | 13      | 13        |
| 1160 Appropriation, discretionary (total) .....                 | 13          | 13      | 13        |
| 1930 Total budgetary resources available .....                  | 13          | 14      | 14        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 3           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....             | 12          | 13      | 13        |
| 3011 Obligations incurred, expired accounts .....               | 2           |         |           |
| 3020 Outlays (gross) .....                                      | -13         | -13     | -13       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 2           | 2       | 2         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 3           | 2       | 2         |
| 3200 Obligated balance, end of year .....                       | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 13          | 13      | 13        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 11          | 10      | 10        |
| 4011 Outlays from discretionary balances .....                  | 2           | 3       | 3         |
| 4020 Outlays, gross (total) .....                               | 13          | 13      | 13        |
| 4180 Budget authority, net (total) .....                        | 13          | 13      | 13        |
| 4190 Outlays, net (total) .....                                 | 13          | 13      | 13        |

The National Appeals Division (NAD) is responsible for listening to farmers and other rural program participants concerning their disputes with certain agencies within the Department of Agriculture through fair and impartial administrative hearings and appeals. The 2014 Budget requests \$13 million.

## Object Classification (in millions of dollars)

| Identification code 12-0706-0-1-352                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 8           | 9       | 9         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....            | 2           | 1       | 1         |
| 99.0 Direct obligations .....                          | 12          | 12      | 12        |
| 99.5 Below reporting threshold .....                   |             | 1       | 1         |



|      |                             |    |    |    |
|------|-----------------------------|----|----|----|
| 99.9 | Total new obligations ..... | 12 | 13 | 13 |
|------|-----------------------------|----|----|----|

**Employment Summary**

| Identification code 12-0706-0-1-352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 86          | 92      | 92        |

**OFFICE OF CIVIL RIGHTS****Federal Funds****OFFICE OF CIVIL RIGHTS**

*For necessary expenses of the Office of Civil Rights, \$21,550,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12-3800-0-1-352      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Direct program activity .....       | 21          | 21      | 22        |
| 0801 Reimbursable program activity ..... | 2           | 2       | 2         |
| 0900 Total new obligations .....         | 23          | 23      | 24        |

**Budgetary Resources:**

|  |    |    |    |
|--|----|----|----|
| Budget authority:  |    |    |    |
| Appropriations, discretionary:                                     |    |    |    |
| 1100 Appropriation .....   | 21 | 21 | 22 |
| 1160 Appropriation, discretionary (total) .....                    | 21 | 21 | 22 |
| Spending authority from offsetting collections, discretionary:     |    |    |    |
| 1700 Collected .....   | 2  | 2  | 2  |
| 1701 Change in uncollected payments, Federal sources .....         | 2  | 2  | 2  |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2  | 2  | 2  |
| 1900 Budget authority (total) .....                                | 23 | 23 | 24 |
| 1930 Total budgetary resources available .....                     | 23 | 23 | 24 |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 5   | 3   | 1   |
| 3010 Obligations incurred, unexpired accounts .....               | 23  | 23  | 24  |
| 3011 Obligations incurred, expired accounts .....                 | 1   | 1   | 1   |
| 3020 Outlays (gross) .....  | -23 | -25 | -24 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -3  | -3  | -3  |
| 3050 Unpaid obligations, end of year .....                        | 3   | 1   | 1   |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -7  | -6  | -6  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -2  | -2  | -2  |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 3   | 3   | 3   |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -6  | -6  | -6  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | -2  | -3  | -5  |
| 3200 Obligated balance, end of year .....                         | -3  | -5  | -5  |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Discretionary:  |    |    |    |
| 4000 Budget authority, gross .....                                  | 23 | 23 | 24 |
| Outlays, gross:   |    |    |    |
| 4010 Outlays from new discretionary authority .....                 | 20 | 22 | 23 |
| 4011 Outlays from discretionary balances .....                      | 3  | 3  | 1  |
| 4020 Outlays, gross (total) .....                                   | 23 | 25 | 24 |
| Offsets against gross budget authority and outlays:                 |    |    |    |
| Offsetting collections (collected) from:                            |    |    |    |
| 4030 Federal sources .....  | -3 | -2 | -2 |
| Additional offsets against gross budget authority only:             |    |    |    |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -2 | -2 | -2 |
| 4052 Offsetting collections credited to expired accounts .....      | 3  | 3  | 3  |
| 4060 Additional offsets against budget authority only (total) ..... | 1  | 1  | 1  |
| 4070 Budget authority, net (discretionary) .....                    | 21 | 21 | 22 |
| 4080 Outlays, net (discretionary) .....                             | 20 | 23 | 22 |
| 4180 Budget authority, net (total) .....                            | 21 | 21 | 22 |

|                                 |    |    |    |
|---------------------------------|----|----|----|
| 4190 Outlays, net (total) ..... | 20 | 23 | 22 |
|---------------------------------|----|----|----|

The Office of Civil Rights provides overall leadership responsibility for all Department-wide civil rights activities, including employment opportunity and program non-discrimination policy development, analysis, coordination, and compliance. The Office is responsible for providing leadership in the implementation of best practices that will create an environment where a diverse workforce is valued as a source of strength. The Office has the responsibility for monitoring program activities to ensure that all USDA programs are delivered in a non-discriminatory manner. The 2014 Budget requests \$22 million.

**Object Classification** (in millions of dollars)

| Identification code 12-3800-0-1-352                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 13          | 13      | 14        |
| 12.1 Civilian personnel benefits .....                   | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....       | 1           | 3       | 3         |
| 25.3 Other goods and services from Federal sources ..... | 3           | 1       | 1         |
| 99.0 Direct obligations .....                            | 21          | 21      | 22        |
| 99.0 Reimbursable obligations .....                      | 1           | 2       | 2         |
| 99.5 Below reporting threshold .....                     | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 23          | 23      | 24        |

**Employment Summary**

| Identification code 12-3800-0-1-352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 123         | 134     | 134       |

**DEPARTMENTAL MANAGEMENT****Federal Funds****DEPARTMENTAL ADMINISTRATION****(INCLUDING TRANSFERS OF FUNDS)**

*For Departmental Administration, \$22,993,000, to provide for necessary expenses for management support services to offices of the Department and for general administration, security, repairs and alterations, and other miscellaneous supplies and expenses not otherwise provided for and necessary for the practical and efficient work of the Department: Provided, That this appropriation shall be reimbursed from applicable appropriations in this Act for travel expenses incident to the holding of hearings as required by 5 U.S.C. 551-558.*

**OFFICE OF THE CHIEF INFORMATION OFFICER**

*For necessary expenses of the Office of the Chief Information Officer, \$44,159,000.*

**OFFICE OF THE CHIEF FINANCIAL OFFICER**

*For necessary expenses of the Office of the Chief Financial Officer, \$6,243,000: Provided, That no funds made available by this appropriation may be obligated for FAIR Act or Circular A-76 activities until the Secretary has submitted to the Committees on Appropriations of both Houses of Congress and the Committee on Oversight and Government Reform of the House of Representatives a report on the Department's contracting out policies, including agency budgets for contracting out.*

**OFFICE OF BUDGET AND PROGRAM ANALYSIS**

*For necessary expenses of the Office of Budget and Program Analysis, \$11,129,000.*

**OFFICE OF HOMELAND SECURITY AND EMERGENCY COORDINATION**

*For necessary expenses of the Office of Homeland Security and Emergency Coordination, \$1,507,000.*

**OFFICE OF ADVOCACY AND OUTREACH**

*For necessary expenses of the Office of Advocacy and Outreach, \$1,217,000.*

## DEPARTMENTAL ADMINISTRATION—Continued

## Program and Financing (in millions of dollars)

| Identification code 12–9915–0–1–350                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Office of Advocacy and Outreach .....                           | 1           | 1       | 1         |
| 0004 Office of the Chief Financial Officer .....                     | 6           | 6       | 6         |
| 0005 Office of Budget and Program Analysis .....                     | 8           | 9       | 11        |
| 0006 Office of the Chief Information Officer .....                   | 42          | 44      | 44        |
| 0007 Departmental Administration .....                               | 26          | 24      | 23        |
| 0008 Office of Homeland Security and Emergency Coordination .....    | 1           | 2       | 2         |
| 0009 Outreach and Technical Assistance Program .....                 | 20          |         |           |
| 0799 Total direct obligations .....                                  | 104         | 86      | 87        |
| 0801 Reimbursable program activity .....                             | 112         | 83      | 82        |
| 0900 Total new obligations .....                                     | 216         | 169     | 169       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 6           | 1       | 1         |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....      | 6           | 1       |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 85          | 86      | 87        |
| 1120 Appropriations transferred to other accts [12–4609] .....       | –1          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –4          |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 80          | 86      | 87        |
| Appropriations, mandatory:   |             |         |           |
| 1221 Appropriations transferred from other accts [12–4336] .....     | 22          |         |           |
| 1260 Appropriations, mandatory (total) .....                         | 22          |         |           |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 50          | 83      | 83        |
| 1701 Change in uncollected payments, Federal sources .....           | 72          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 122         | 83      | 83        |
| 1900 Budget authority (total) .....                                  | 224         | 169     | 170       |
| 1930 Total budgetary resources available .....                       | 230         | 170     | 171       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –13         |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           | 1       | 2         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 104         | 116     | 10        |
| 3010 Obligations incurred, unexpired accounts .....                  | 216         | 169     | 169       |
| 3011 Obligations incurred, expired accounts .....                    | 10          |         |           |
| 3020 Outlays (gross) .....   | –202        | –275    | –170      |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –12         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 116         | 10      | 9         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –142        | –148    | –148      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –72         |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 66          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –148        | –148    | –148      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | –38         | –32     | –138      |
| 3200 Obligated balance, end of year .....                            | –32         | –138    | –139      |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 202         | 169     | 170       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 132         | 166     | 167       |
| 4011 Outlays from discretionary balances .....                       | 62          | 109     | 3         |
| 4020 Outlays, gross (total) .....                                    | 194         | 275     | 170       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –138        | –83     | –83       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –72         |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 88          |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | 16          |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 80          | 86      | 87        |
| 4080 Outlays, net (discretionary) .....                              | 56          | 192     | 87        |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 22          |         |           |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 1           |         |           |

|  |     |     |    |
|--|-----|-----|----|
| 4101 Outlays from mandatory balances ..... | 7   |     |    |
| 4110 Outlays, gross (total) .....          | 8   |     |    |
| 4180 Budget authority, net (total) .....   | 102 | 86  | 87 |
| 4190 Outlays, net (total) .....            | 64  | 192 | 87 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 102         | 86      | 87        |
| Outlays .....                           | 64          | 192     | 87        |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Outlays .....                           |             |         | –1        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 102         | 86      | 87        |
| Outlays .....                           | 64          | 192     | 86        |

Departmental Management comprises the following offices:

Departmental Administration includes offices that provide staff support to policy officials and overall direction and coordination of the Department. These activities include Department-wide programs for human resources management, occupational safety and health management, real and personal property management, acquisitions and contracting, motor vehicle and aircraft management, supply management, participation of small and disadvantaged businesses, service-disabled veterans programs, and the regulatory hearing and administrative proceedings conducted by the Administrative Law Judges, and Judicial Officer. The 2014 Budget requests \$23 million.

The Clinger-Cohen Act of 1996 required the establishment of a Chief Information Officer (CIO) for all major Federal agencies. The Act requires USDA to maximize the value of information technology acquisitions to improve the efficiency and effectiveness of USDA programs. To meet the intent of the law and to provide a Departmental focus for information resources management issues, Secretary's Memorandum 1030–30, dated August 8, 1996, established the Office of the Chief Information Officer (OCIO). The CIO serves as the primary advisor to the Secretary on Information Technology (IT) issues. OCIO provides leadership for the Department's information and IT management activities in support of USDA program delivery. The 2014 Budget requests \$44 million for OCIO.

The Office of the Chief Financial Officer (OCFO) was established in 1995 under authority provided in Reorganization Plan Number 2 of 1953 (7 U.S.C. 2201) to comply with the Chief Financial Officers Act of 1990. The OCFO focuses on the Department's financial and performance management activities to improve program delivery and assure maximum contribution to the Secretary's Strategic Goals. The 2014 Budget requests \$6 million.

The Office of Budget and Program Analysis (OBPA) coordinates the preparation of Departmental budget estimates, regulations, and legislative reports; administers systems for the allotment and apportionment of funds; provides analysis of USDA program issues, draft regulations, and budget proposals; participates in strategic planning; and provides assistance to USDA policy makers in the development and execution of desired policies and programs. The 2014 Budget requests \$11 million. To support evidence-based policy-making, \$2 million is requested in the Budget to provide support for the establishment of a Chief Evaluation Officer within USDA to work closely with program offices and agencies to develop and implement evaluation agendas and priorities set by policy officials.

The Office of Homeland Security and Emergency Coordination formulates emergency preparedness policies and objectives for USDA. The Staff directs and coordinates all of the Department's program activities that support USDA emergency programs and liaison functions with the Congress, the Department of Homeland

Security, and other Federal Departments and agencies involving homeland security, natural disasters, other emergencies, and agriculture-related international civil emergency planning and intelligence activities. The 2014 Budget requests \$1.5 million.

The Office of Advocacy and Outreach (OAO) improves access to USDA programs and enhances the viability and profitability of small farms and ranches, beginning farmers and ranchers, and socially disadvantaged farmers and ranchers ensuring that the Department and its programs are open and transparent. The Department is committed to ensuring that all USDA constituents, including historically underserved groups, have the opportunity to participate in and benefit from the programs offered by the Department. The 2014 Budget requests \$1 million.

#### Object Classification (in millions of dollars)

| Identification code 12–9915–0–1–350                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 35          | 39      | 37        |
| 12.1 Civilian personnel benefits .....                          | 12          | 12      | 12        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 41          | 21      | 22        |
| 25.3 Other goods and services from Federal sources .....        | 13          | 11      | 13        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 104         | 86      | 87        |
| 99.0 Reimbursable obligations .....                             | 112         | 83      | 82        |
| 99.9 Total new obligations .....                                | 216         | 169     | 169       |

#### Employment Summary

| Identification code 12–9915–0–1–350                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 360         | 400     | 397       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 183         | 132     | 130       |

#### DEPARTMENTAL ADMINISTRATION

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 12–9915–4–1–350                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             |         | 1         |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             |         | 1         |
| 1930 Total budgetary resources available .....                     |             |         | 1         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             |         | 1         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 |             |         | 1         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     |             |         | –1        |
| 4190 Outlays, net (total) .....                                    |             |         | –1        |

USDA's BioPreferred Program is charged with administering the voluntary USDA Certified Biobased Product label, which was mandated by both the 2002 and 2008 Farm Bills. In 2011, USDA authorized the use of a label for biobased products that producers can use in advertising their products. To ensure the integrity of the label, the Budget requests authority for USDA to: (1) impose civil penalties on companies who misuse the label; and (2) assess each producer who applies to use the label a fee to fund a program audit. This fee, which will begin to be collected once authorizing legislation is enacted, has been given broad support by potential

users who commented on the labels proposed rule, which was issued in May 2010.

#### HAZARDOUS MATERIALS MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Department of Agriculture, to comply with the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9601 et seq.) and the Resource Conservation and Recovery Act (42 U.S.C. 6901 et seq.), \$3,600,000, to remain available until expended: Provided, That appropriations and funds available herein to the Department for Hazardous Materials Management may be transferred to any agency of the Department for its use in meeting all requirements pursuant to the above Acts on Federal and non-Federal lands.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12–0500–0–1–304                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Hazardous materials management .....             | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....       | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....        | 5           | 5       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 16          | 16      | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 4           | 4       | 4         |
| 3020 Outlays (gross) .....                            | –4          | –19     | –4        |
| 3050 Unpaid obligations, end of year .....            | 16          | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 16          | 16      | 1         |
| 3200 Obligated balance, end of year .....             | 16          | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 4           | 4       | 4         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 3           | 4       | 4         |
| 4011 Outlays from discretionary balances .....        | 1           | 15      | .....     |
| 4020 Outlays, gross (total) .....                     | 4           | 19      | 4         |
| 4180 Budget authority, net (total) .....              | 4           | 4       | 4         |
| 4190 Outlays, net (total) .....                       | 4           | 19      | 4         |

Under the Comprehensive Environmental Response, Compensation, and Liability Act and the Resource Conservation and Recovery Act, the Department has the responsibility to meet the same standards for environmental cleanup and regulatory compliance regarding hazardous wastes and hazardous substances as private businesses. With substantial commitments under these Acts, the Hazardous Materials Management account was established as a central fund so that the Department's agencies may be reimbursed for their cleanup efforts. The Department determines what projects to fund by using objective criteria that identify what sites pose the greatest threats to public health and the environment. The 2014 Budget requests \$4 million.

## HAZARDOUS MATERIALS MANAGEMENT—Continued

## Object Classification (in millions of dollars)

| Identification code 12-0500-0-1-304                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 3           | 3       | 3         |
| 99.9 Total new obligations .....                         | 4           | 4       | 4         |

## Employment Summary

| Identification code 12-0500-0-1-304                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 6           | 7       | 7         |

## AGRICULTURE BUILDINGS AND FACILITIES AND RENTAL PAYMENTS

## (INCLUDING TRANSFERS OF FUNDS)

*For payment of space rental and related costs pursuant to Public Law 92-313, including authorities pursuant to the 1984 delegation of authority from the Administrator of General Services to the Department of Agriculture under 40 U.S.C. 486, for programs and activities of the Department which are included in this Act, and for alterations and other actions needed for the Department and its agencies to consolidate unneeded space into configurations suitable for release to the Administrator of General Services, and for the operation, maintenance, improvement, and repair of Agriculture buildings and facilities, and for related costs, \$233,095,000, to remain available until expended, of which \$178,270,000 shall be available for payments to the General Services Administration for rent and for payments to the Department of Homeland Security for building security activities; and of which \$54,825,000 is for buildings operations and maintenance expenses: Provided, That the Secretary may use unobligated prior year balances of an agency or office that are no longer available for new obligation to cover shortfalls incurred in prior year rental payments for such agency or office: Provided further, That the Secretary is authorized to transfer funds from a Departmental agency to this account to recover the full cost of the space and security expenses of that agency that are funded by this account when the actual costs exceed the agency estimate which will be available for the activities and payments described herein.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-0117-0-1-352                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Rental payments to GSA: Non-recurring repairs .....       | 168         | 171     | 164       |
| 0002 Building operations and maintenance .....                 | 33          | 50      | 43        |
| 0003 Homeland Security .....                                   | 12          | 12      | 12        |
| 0004 DHS building security .....                               | 16          | 14      | 14        |
| 0799 Total direct obligations .....                            | 229         | 247     | 233       |
| 0802 Reimbursable program .....                                | 5           | 5       | 5         |
| 0900 Total new obligations .....                               | 234         | 252     | 238       |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 11          | 23      | 8         |
| 1021 Recoveries of prior year unpaid obligations .....         | 9           |         |           |
| 1050 Unobligated balance (total) .....                         | 20          | 23      | 8         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       | 230         | 232     | 233       |
| 1160 Appropriation, discretionary (total) .....                | 230         | 232     | 233       |
| Spending authority from offsetting collections, discretionary: |             |         |           |
| 1700 Collected .....   | 5           | 5       | 5         |
| 1701 Change in uncollected payments, Federal sources .....     | 2           |         |           |

|  |     |     |     |
|--|-----|-----|-----|
| 1750 Spending auth from offsetting collections, disc (total) ..... | 7   | 5   | 5   |
| 1900 Budget authority (total) .....                                | 237 | 237 | 238 |
| 1930 Total budgetary resources available .....                     | 257 | 260 | 246 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 23  | 8   | 8   |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 69   | 39   | 20   |
| 3010 Obligations incurred, unexpired accounts .....               | 234  | 252  | 238  |
| 3020 Outlays (gross) .....  | -255 | -271 | -238 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -9   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 39   | 20   | 20   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -6   | -8   | -8   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -2   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -8   | -8   | -8   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 63   | 31   | 12   |
| 3200 Obligated balance, end of year .....                         | 31   | 12   | 12   |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 237 | 237 | 238 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 218 | 234 | 235 |
| 4011 Outlays from discretionary balances .....                 | 37  | 37  | 3   |
| 4020 Outlays, gross (total) .....                              | 255 | 271 | 238 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | -5  | -5  | -5  |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -2  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 230 | 232 | 233 |
| 4080 Outlays, net (discretionary) .....                        | 250 | 266 | 233 |
| 4180 Budget authority, net (total) .....                       | 230 | 232 | 233 |
| 4190 Outlays, net (total) .....                                | 250 | 266 | 233 |

## Unfunded deficiencies:

|  |    |    |  |
|--|----|----|--|
| 7000 Unfunded deficiency, start of year .....                  | -6 | -8 |  |
| Change in deficiency during the year:                          |    |    |  |
| 7012 New budget authority used to liquidate deficiencies ..... | -2 | 8  |  |
| 7020 Unfunded deficiency, end of year .....                    | -8 |    |  |

This account finances the General Services Administration's fees for rental of space and the Department of Homeland Security's security-related fees. The appropriation covers all fees for all regular appropriated accounts within the Department of Agriculture with the exception of the Forest Service. This account also finances the day to day operations, repair, improvement and maintenance activities of two buildings in the Headquarters complex and the George Washington Carver Center in Beltsville, Md.

## Deficiency in Rental Payments

| \$ Millions                                      | 2012 | FY2013 | FY2014 |
|--|------|--------|--------|
| Deficiency, start of year .....                  | -6   | -8     |        |
| Unobligated balances applied to deficiency ..... | -2   | 8      |        |
| Adjusted deficiency .....                        | -8   |        |        |
| Deficiency, end of year .....                    | -8   |        |        |

The 2014 Budget requests \$233 million. This account has a deficiency due to prior year shortfalls in rental payments incurred between 2004 and 2008. USDA successfully reduced the deficiency from \$24 million to \$6 million in 2011; due to accounting adjustments in 2012, the deficiency at the end of 2012 was -\$8 million. USDA anticipates paying off the remainder of the deficiency in 2013.

## Object Classification (in millions of dollars)

| Identification code 12-0117-0-1-352                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 9           | 9       | 9         |
| 12.1 Civilian personnel benefits .....                 | 2           | 3       | 3         |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 23.1 | Rental payments to GSA .....                               | 168 | 171 | 164 |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 3   | 10  | 10  |
| 25.2 | Other services from non-Federal sources .....              | 30  | 21  | 18  |
| 25.3 | Other goods and services from Federal sources .....        | 16  | 14  | 14  |
| 25.4 | Operation and maintenance of facilities .....              | 19  | 15  |     |
| 99.0 | Direct obligations .....                                   | 228 | 247 | 233 |
| 99.0 | Reimbursable obligations .....                             | 5   | 5   | 5   |
| 99.5 | Below reporting threshold .....                            | 1   |     |     |
| 99.9 | Total new obligations .....                                | 234 | 252 | 238 |

**Employment Summary**

| Identification code 12-0117-0-1-352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 92          | 92      | 92        |

**OFFICE OF COMMUNICATIONS****Federal Funds****OFFICE OF COMMUNICATIONS**

*For necessary expenses of the Office of Communications, \$8,137,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12-0150-0-1-352                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Public affairs .....   | 8           | 8       | 8         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 8           | 8       | 8         |
| 1160 Appropriation, discretionary (total) .....                   | 8           | 8       | 8         |
| 1930 Total budgetary resources available .....                    | 8           | 8       | 8         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....               | 8           | 8       | 8         |
| 3020 Outlays (gross) .....  | -8          | -8      | -8        |
| 3050 Unpaid obligations, end of year .....                        | 1           | 1       | 1         |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1          | -1      | -1        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 8           | 8       | 8         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 7           | 8       | 8         |
| 4011 Outlays from discretionary balances .....                    | 1           |         |           |
| 4020 Outlays, gross (total) .....                                 | 8           | 8       | 8         |
| 4180 Budget authority, net (total) .....                          | 8           | 8       | 8         |
| 4190 Outlays, net (total) .....                                   | 8           | 8       | 8         |

The mission of the Office of Communications (OC) is to provide leadership, expertise, management and coordination to develop and implement successful communication strategies and products that advance the mission of the USDA and priorities of the government, while serving and engaging the public in a fair, equal, transparent and easily accessible manner. OC delivers information about USDA programs and policies in a consistent, timely fashion. The 2014 Budget requests \$8 million.

**Object Classification** (in millions of dollars)

| Identification code 12-0150-0-1-352                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 7           | 7       | 7         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 8           | 8       | 8         |

**Employment Summary**

| Identification code 12-0150-0-1-352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 59          | 62      | 62        |

**OFFICE OF INSPECTOR GENERAL****Federal Funds****OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General, including employment pursuant to the Inspector General Act of 1978, \$89,902,000, including such sums as may be necessary for contracting and other arrangements with public agencies and private persons pursuant to section 6(a)(9) of the Inspector General Act of 1978, and including not to exceed \$125,000 for certain confidential operational expenses, including the payment of informants, to be expended under the direction of the Inspector General pursuant to Public Law 95-452 and section 1337 of Public Law 97-98.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12-0900-0-1-352                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Office of the Inspector General .....                         | 95          | 86      | 90        |
| 0801 Reimbursable program .....                                    | 5           | 3       | 3         |
| 0900 Total new obligations .....                                   | 100         | 89      | 93        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 12          | 8       | 9         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 86          | 86      | 90        |
| 1160 Appropriation, discretionary (total) .....                    | 86          | 86      | 90        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 5           | 4       | 4         |
| 1701 Change in uncollected payments, Federal sources .....         | 5           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 10          | 4       | 4         |
| 1900 Budget authority (total) .....                                | 96          | 90      | 94        |
| 1930 Total budgetary resources available .....                     | 108         | 98      | 103       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 8           | 9       | 10        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 12          | 19      | 5         |
| 3010 Obligations incurred, unexpired accounts .....                | 100         | 89      | 93        |
| 3011 Obligations incurred, expired accounts .....                  | 2           |         |           |
| 3020 Outlays (gross) .....   | -92         | -103    | -94       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -3          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 19          | 5       | 4         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  |             | -3      | -3        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -5          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 2           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -3          | -3      | -3        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 12          | 16      | 2         |

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

| Identification code 12–0900–0–1–352                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3200 Obligated balance, end of year .....                           | 16          | 2       | 1         |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 96          | 90      | 94        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 75          | 82      | 86        |
| 4011 Outlays from discretionary balances .....                      | 17          | 21      | 8         |
| 4020 Outlays, gross (total) .....                                   | 92          | 103     | 94        |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | –6          | –4      | –4        |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –5          |         |           |
| 4052 Offsetting collections credited to expired accounts .....      | 1           |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | –4          |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 86          | 86      | 90        |
| 4080 Outlays, net (discretionary) .....                             | 86          | 99      | 90        |
| 4180 Budget authority, net (total) .....                            | 86          | 86      | 90        |
| 4190 Outlays, net (total) .....                                     | 86          | 99      | 90        |

The Office of Inspector General provides the Secretary and Congress with information or intelligence about fraud, other serious problems, mismanagement, and deficiencies in Department programs and operations, recommends corrective action, and reports on the progress made in correcting the problems. The Office reviews existing and proposed legislation and regulations and makes recommendations to the Secretary and Congress regarding the impact these laws have on the Department's programs and the prevention and detection of fraud and mismanagement in such programs. The Office provides policy direction and conducts, supervises, and coordinates all audits and investigations. The Office supervises and coordinates other activities in the Department and between the Department and other Federal, State and local government agencies whose purposes are to: (a) promote economy and efficiency; (b) prevent and detect fraud and mismanagement; and (c) identify and prosecute people involved in fraud or mismanagement.

OIG's \$90 million request includes \$468,000 to support the Council of the Inspector General on Integrity and Efficiency, established under the authority of the Inspector General Reform Act of 2008 to coordinate Federal efforts to improve program delivery.

## Object Classification (in millions of dollars)

| Identification code 12–0900–0–1–352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 59          | 53      | 54        |
| 12.1 Civilian personnel benefits .....                          | 21          | 19      | 19        |
| 21.0 Travel and transportation of persons .....                 | 4           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 3         |
| 25.2 Other services from non-Federal sources .....              | 5           | 5       | 6         |
| 25.3 Other goods and services from Federal sources .....        | 1           | 2       | 2         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 2           | 1       | 2         |
| 99.0 Direct obligations .....                                   | 95          | 86      | 90        |
| 99.0 Reimbursable obligations .....                             | 5           | 3       | 3         |
| 99.9 Total new obligations .....                                | 100         | 89      | 93        |

## Employment Summary

| Identification code 12–0900–0–1–352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 558         | 558     | 558       |

## OFFICE OF THE GENERAL COUNSEL

## Federal Funds

## OFFICE OF THE GENERAL COUNSEL

*For necessary expenses of the Office of the General Counsel, \$45,014,000, of which \$3,451,000 is for the Office of Ethics.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–2300–0–1–352                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Office of the General Counsel .....                           | 39          | 40      | 45        |
| 0801 Reimbursable program activity .....                           | 5           | 4       | 4         |
| 0900 Total new obligations .....                                   | 44          | 44      | 49        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 39          | 40      | 45        |
| 1160 Appropriation, discretionary (total) .....                    | 39          | 40      | 45        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 4           | 4       | 4         |
| 1701 Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 5           | 4       | 4         |
| 1900 Budget authority (total) .....                                | 44          | 44      | 49        |
| 1930 Total budgetary resources available .....                     | 44          | 44      | 49        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 5           | 4       | 4         |
| 3010 Obligations incurred, unexpired accounts .....                | 44          | 44      | 49        |
| 3020 Outlays (gross) .....   | –45         | –44     | –49       |
| 3050 Unpaid obligations, end of year .....                         | 4           | 4       | 4         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –3          | –3      | –3        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –1          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –3          | –3      | –3        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 2           | 1       | 1         |
| 3200 Obligated balance, end of year .....                          | 1           | 1       | 1         |

## Budget authority and outlays, net:

|  |    |    |    |
|--|----|----|----|
| Discretionary:   |    |    |    |
| 4000 Budget authority, gross .....                             | 44 | 44 | 49 |
| Outlays, gross:  |    |    |    |
| 4010 Outlays from new discretionary authority .....            | 40 | 42 | 47 |
| 4011 Outlays from discretionary balances .....                 | 5  | 2  | 2  |
| 4020 Outlays, gross (total) .....                              | 45 | 44 | 49 |
| Offsets against gross budget authority and outlays:            |    |    |    |
| Offsetting collections (collected) from:                       |    |    |    |
| 4030 Federal sources .....                                     | –5 | –4 | –4 |
| Additional offsets against gross budget authority only:        |    |    |    |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | –1 |    |    |
| 4052 Offsetting collections credited to expired accounts ..... | 1  |    |    |
| 4070 Budget authority, net (discretionary) .....               | 39 | 40 | 45 |
| 4080 Outlays, net (discretionary) .....                        | 40 | 40 | 45 |
| 4180 Budget authority, net (total) .....                       | 39 | 40 | 45 |
| 4190 Outlays, net (total) .....                                | 40 | 40 | 45 |

The Office of the General Counsel of the Department of Agriculture provides all legal advice, counsel, and services to the Secret-

ary and to all agencies, offices, and corporations of the Department on all aspects of their operations. It represents the Department in administrative proceedings; non-litigation debt collection proceedings; State water rights adjudications; proceedings before the Civilian Board of Contract Appeal, the Merit System Protection Board, the Equal Employment Opportunity Commission, the USDA Office of Administrative Law Judges, and other Federal agencies; and, in conjunction with the Department of Justice, in judicial proceedings and litigation in the Federal and State courts. All attorneys and related support personnel devoted to those efforts are under the supervision of the General Counsel. The 2014 Budget requests \$41.5 million, including an increase of \$2 million for 10 FTEs to handle an increased workload, to support current staff, and for computerized legal research.

The Office of Ethics provides ethics advice, counsel and training to all USDA officials and employees, and conducts annual financial disclosure reviews. The work of the Office of Ethics promotes employee compliance with Federal conflict of interest laws and regulations. The 2014 Budget requests \$3.4 million and 29 FTEs, and is shown in this account beginning in 2014.

#### Object Classification (in millions of dollars)

| Identification code 12-2300-0-1-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 28          | 29      | 33        |
| 12.1 Civilian personnel benefits .....                          | 8           | 8       | 9         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 39          | 40      | 45        |
| 99.0 Reimbursable obligations .....                             | 5           | 4       | 4         |
| 99.9 Total new obligations .....                                | 44          | 44      | 49        |

#### Employment Summary

| Identification code 12-2300-0-1-352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 253         | 245     | 282       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 28          | 26      | 28        |

## ECONOMIC RESEARCH SERVICE

### Federal Funds

#### ECONOMIC RESEARCH SERVICE

*For necessary expenses of the Economic Research Service, \$78,506,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12-1701-0-1-352                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Economic Research Service .....                           | 77          | 78      | 79        |
| 0801 Reimbursable program activity .....                       | 2           | 1       | 1         |
| 0900 Total new obligations .....                               | 79          | 79      | 80        |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       | 78          | 78      | 79        |
| 1160 Appropriation, discretionary (total) .....                | 78          | 78      | 79        |
| Spending authority from offsetting collections, discretionary: |             |         |           |
| 1700 Collected .....   |             | 1       | 1         |
| 1701 Change in uncollected payments, Federal sources .....     | 2           |         |           |

|   |   |     |     |     |
|---|---|-----|-----|-----|
| 1750                                      | Spending auth from offsetting collections, disc (total) ..... | 2   | 1   | 1   |
| 1900                                      | Budget authority (total) .....                                | 80  | 79  | 80  |
| 1930                                      | Total budgetary resources available .....                     | 80  | 79  | 80  |
|   | Memorandum (non-add) entries:                                 |     |     |     |
| 1940                                      | Unobligated balance expiring .....                            | -1  |     |     |
| <b>Change in obligated balance:</b>       |   |     |     |     |
|   | Unpaid obligations:   |     |     |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....              | 40  | 37  | 33  |
| 3010                                      | Obligations incurred, unexpired accounts .....                | 79  | 79  | 80  |
| 3011                                      | Obligations incurred, expired accounts .....                  | 5   |     |     |
| 3020                                      | Outlays (gross) .....   | -82 | -83 | -89 |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....    | -5  |     |     |
| 3050                                      | Unpaid obligations, end of year .....                         | 37  | 33  | 24  |
|   | Uncollected payments:   |     |     |     |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -6  | -6  | -6  |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....     | -2  |     |     |
| 3071                                      | Change in uncollected pymts, Fed sources, expired .....       | 2   |     |     |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....             | -6  | -6  | -6  |
|   | Memorandum (non-add) entries:                                 |     |     |     |
| 3100                                      | Obligated balance, start of year .....                        | 34  | 31  | 27  |
| 3200                                      | Obligated balance, end of year .....                          | 31  | 27  | 18  |
| <b>Budget authority and outlays, net:</b> |   |     |     |     |
|   | Discretionary:  |     |     |     |
| 4000                                      | Budget authority, gross .....                                 | 80  | 79  | 80  |
|   | Outlays, gross:   |     |     |     |
| 4010                                      | Outlays from new discretionary authority .....                | 61  | 64  | 64  |
| 4011                                      | Outlays from discretionary balances .....                     | 21  | 19  | 25  |
| 4020                                      | Outlays, gross (total) .....                                  | 82  | 83  | 89  |
|   | Offsets against gross budget authority and outlays:           |     |     |     |
|   | Offsetting collections (collected) from:                      |     |     |     |
| 4030                                      | Federal sources .....   | -2  | -1  | -1  |
|   | Additional offsets against gross budget authority only:       |     |     |     |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....     | -2  |     |     |
| 4052                                      | Offsetting collections credited to expired accounts .....     | 2   |     |     |
| 4070                                      | Budget authority, net (discretionary) .....                   | 78  | 78  | 79  |
| 4080                                      | Outlays, net (discretionary) .....                            | 80  | 82  | 88  |
| 4180                                      | Budget authority, net (total) .....                           | 78  | 78  | 79  |
| 4190                                      | Outlays, net (total) .....                                    | 80  | 82  | 88  |

The Economic Research Service provides economic and other social science research and analysis to inform public and private decision-making on food, agriculture, natural resources, and rural America. The Agency's mission is to anticipate issues that are on the horizon, and to conduct sound, peer-reviewed economic research. ERS is also the primary source of statistical indicators that, among other things, gauge the health of the farm sector (including farm income estimates and projections), assess the current and expected performance of the agricultural sector (including trade), and provide measures of food security here and abroad. Most of the Agency's research is conducted by a highly trained staff of economists and social scientists through an intramural program of research, market outlook, and analysis.

Five principles characterize ERS' core program: (1) Research that builds on unique or confidential data sources at the Federal level and is inherent in the role of a Federal Statistical Agency, including the Agricultural Resource Management Survey (ARMS) and associated farm and farm household finance estimates, consumer data and related research on food consumption, and development of USDA's commodity market outlook; (2) Research that provides coordination for a national perspective or framework, setting a single standard; (3) Research that requires a sustained investment and large teams; (4) Research that directly services the U.S. Government or USDA's long-term national goals such as the cost to the economy of sickness and premature death due to foodborne illnesses for FSIS, rural definition analysis for Rural development, and conservation program options for FSA and NCRS; and (5) Research that addresses questions with short-run payoff or has immediate policy implications.

ERS draws on the expertise of external collaborators through grants and cooperative research agreements for issues that re-

## ECONOMIC RESEARCH SERVICE—Continued

quire expertise beyond the scope of the current program or that require knowledge of state or regional issues. The 2014 budget request of \$78,506,000 continues to fund ERS core program of research, data analysis, and market outlook, and in addition, supports a new program enhancement, Research Innovations for Improving Policy Effectiveness, which will strengthen ERS' ability to conduct research through two innovative strategies—the use of behavioral economics and the statistical use of administrative data—to address critical information gaps that hinder policy effectiveness. Results of the initiative will provide science-based evidence that informs decision making by policy makers and program managers in the USDA, across Federal and State Governments, and in the Congress. In addition, ERS proposes an initiative for 2014 that will fund enhancements of its general information technology support through the redirection of IT funding. Additional funds received from other Governmental agencies may also be available for support of economic research and analysis.

## Object Classification (in millions of dollars)

| Identification code 12–1701–0–1–352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 38          | 38      | 39        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 40          | 40      | 41        |
| 12.1 Civilian personnel benefits .....                          | 10          | 10      | 10        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 4           | 4       | 4         |
| 25.3 Other goods and services from Federal sources .....        | 14          | 15      | 15        |
| 25.5 Research and development contracts .....                   | 5           | 5       | 5         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 77          | 78      | 79        |
| 99.0 Reimbursable obligations .....                             | 2           | 1       | 1         |
| 99.9 Total new obligations .....                                | 79          | 79      | 80        |

## Employment Summary

| Identification code 12–1701–0–1–352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 374         | 385     | 385       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

## NATIONAL AGRICULTURAL STATISTICS SERVICE

## Federal Funds

## NATIONAL AGRICULTURAL STATISTICS SERVICE

*For necessary expenses of the National Agricultural Statistics Service, \$159,601,000, of which up to \$42,295,000 shall be available until expended for the Census of Agriculture.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–1801–0–1–352         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:            |             |         |           |
| 0001 Agricultural estimates .....           | 110         | 111     | 111       |
| 0002 Statistical research and service ..... | 7           | 7       | 7         |
| 0003 Census of agriculture .....            | 52          | 42      | 42        |
| 0799 Total direct obligations .....         | 169         | 160     | 160       |

|                                  |     |     |     |
|----------------------------------|-----|-----|-----|
| 0801 Reimbursable program .....  | 27  | 22  | 22  |
| 0900 Total new obligations ..... | 196 | 182 | 182 |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:   |     |     |     |
| 1021 Recoveries of prior year unpaid obligations .....             | 11  |     |     |
| 1050 Unobligated balance (total) .....                             | 11  |     |     |
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 159 | 160 | 160 |
| 1120 Appropriations transferred to other accts [12–1801] .....     | –42 | –42 | –42 |
| 1121 Appropriations transferred from other accts [12–1801] .....   | 42  | 42  | 42  |
| 1160 Appropriation, discretionary (total) .....                    | 159 | 160 | 160 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 21  | 22  | 22  |
| 1701 Change in uncollected payments, Federal sources .....         | 5   |     |     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 26  | 22  | 22  |
| 1900 Budget authority (total) .....                                | 185 | 182 | 182 |
| 1930 Total budgetary resources available .....                     | 196 | 182 | 182 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 44   | 42   | 39   |
| 3010 Obligations incurred, unexpired accounts .....               | 196  | 182  | 182  |
| 3011 Obligations incurred, expired accounts .....                 | 13   |      |      |
| 3020 Outlays (gross) .....  | –196 | –185 | –198 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –11  |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –4   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 42   | 39   | 23   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –7   | –6   | –6   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –5   |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 6    |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –6   | –6   | –6   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 37   | 36   | 33   |
| 3200 Obligated balance, end of year .....                         | 36   | 33   | 17   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                                    | 185 | 182 | 182 |
| Outlays, gross:   |     |     |     |
| 4010 Outlays from new discretionary authority .....                   | 124 | 164 | 164 |
| 4011 Outlays from discretionary balances .....                        | 72  | 21  | 34  |
| 4020 Outlays, gross (total) .....                                     | 196 | 185 | 198 |
| Offsets against gross budget authority and outlays:                   |     |     |     |
| Offsetting collections (collected) from:                              |     |     |     |
| 4030 Federal sources .....  | –38 | –20 | –20 |
| 4033 Non-Federal sources .....  | 6   | –2  | –2  |
| 4040 Offsets against gross budget authority and outlays (total) ..... | –32 | –22 | –22 |
| Additional offsets against gross budget authority only:               |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....        | –5  |     |     |
| 4052 Offsetting collections credited to expired accounts .....        | 11  |     |     |
| 4060 Additional offsets against budget authority only (total) .....   | 6   |     |     |
| 4070 Budget authority, net (discretionary) .....                      | 159 | 160 | 160 |
| 4080 Outlays, net (discretionary) .....                               | 164 | 163 | 176 |
| 4180 Budget authority, net (total) .....                              | 159 | 160 | 160 |
| 4190 Outlays, net (total) .....                                       | 164 | 163 | 176 |

The National Agricultural Statistics Service (NASS) provides the official National and State estimates of acreage, yield, and production of crops, stocks, value and expenditures associated with farm commodities, and inventory, values, and expenditures of livestock items. Data on approximately 120 crops and 45 livestock products are covered in over 400 reports issued each year. In addition, the Census of Agriculture, which is conducted every five years for years ending in 2 and 7, takes a snapshot of America's agriculture and provides comprehensive data on the Nation's agricultural industry down to the county level. NASS' responsibilities are authorized under the Agricultural Marketing Act of 1946 (7 U.S.C 1621–1627) and the Census of Agriculture Act of 1997, Public Law 105–113 (7 U.S.C. 2204g).

*Agricultural Estimates.*—According to the USDA Chief Economist the Agricultural Estimates program is vital to for producers,



agricultural commodity markets in the U.S. and the world, policy makers in government, and people involved in making planning, investment, price discovery mechanisms, and marketing decisions. Billions of dollars could be put at risk without these essential Agricultural Estimates statistical reports. The work under this activity is conducted through 46 field offices serving the 50 States and Puerto Rico; most of these offices are operated as joint State and Federal services. Cooperative arrangements with State agencies provide additional State and county data. In order to support Administration priorities and improve efficiency, NASS has carefully completed a comprehensive review of existing programs to determine which programs are most critical to serving agency goals, with evaluations based on the following priorities: 1) Principal Economic Indicator data; 2) data which directly impact commodity markets; 3) data necessary to implement the USDA programs which provide payments to farmers and are used to administer the farm safety net for producers; and 4) data for which there are no other publicly available sources of information. In 2012 NASS achieved several accomplishments: 1) conducted a survey of hogs for USDA's Animal and Plant Health Inspection Service's National Animal Health Monitoring System; 2) centralized processing of the Objective Yield samples at the National Operations Center; 3) developed several vegetation indexes to improve crop growth models to determine crop stages; 4) obtained system code for Computer Audio Recorded Interview system from the U. S. Census Bureau and started testing to improve the quality of data collection; and 5) completed its third 48 state Cropland Data Layer for the 2011 reference year with high resolution and improved accuracy of the classifications and the precision of the acreage estimates generated.

**Census of Agriculture.**—The Census of Agriculture provides the only source of comparable and consistent detailed data about agriculture down to the county level. The Census of Agriculture is critical because it provides comprehensive data on the agriculture economy, land use, production expenses, value of land and buildings, farm size and characteristics of farm operators, market value of agricultural production sold, acreage of major crops, inventory of livestock and poultry, and farm irrigation practices. The Census of Agriculture helps to measure trends and new developments in the agricultural sector of our Nation's economy. In 2012 NASS achieved several accomplishments: 1) finalized the mail list for the 2012 Census of Agriculture; 2) completed the online reporting system through exhaustive testing to improve data quality and reduce respondent burden; 3) finished the forms design process for the preparation of mail packets; 4) enhanced critical programming and tested to automate and streamline the correction of omitted and erroneous data in order to minimize analyst intervention; and 5) continued a vigorous marketing campaign to encourage producers to be represented in the 2012 Census of Agriculture. The 2014 Budget request is for a level to reflect the expected activity related to completing and releasing the results of the 2012 Census of Agriculture and conducting scheduled Follow-on surveys.

The 2014 request of \$159,601,000 for NASS includes \$42.3 million for the Census of Agriculture. NASS will do two much needed Follow-on surveys: 1) the Farm and Ranch Irrigation Survey, and 2) the Census of Aquaculture. At the request of the USDA Chief Economist, NASS will start producing four of the Current Industrial Reports (CIR) formerly compiled by the U.S. Census Bureau. The CIRs include: 1) Oilseeds, Beans, & Nuts; 2) Fats and Oils (Production, Consumption, & Stocks); 3) Cotton, Manmade Fiber Staple, & Raw Linters (Consumption, & Stocks, & Spindle Activity); and 4) Flour Milling Products. Funding for Agricultural Estimates will continue at the 2012 base level of

about \$117 million. NASS continues to review its programs to improve efficiency.

Miscellaneous funds received from local organizations, commodity groups, and others are available for dissemination of reports and for survey work conducted under cooperative agreements (7 U.S.C. 450b, 450h, 3318b). NASS also provides technical consultation, support, and assistance for international programs under participating agency service agreements.

#### Object Classification (in millions of dollars)

| Identification code 12-1801-0-1-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 65          | 76      | 71        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 67          | 78      | 73        |
| 12.1 Civilian personnel benefits .....                          | 23          | 27      | 25        |
| 21.0 Travel and transportation of persons .....                 | 7           | 5       | 5         |
| 22.0 Transportation of things .....                             | 2           | 3       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 8           | 8       | 8         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 26          | 20      | 28        |
| 25.3 Other goods and services from Federal sources .....        | 24          | 14      | 14        |
| 25.7 Operation and maintenance of equipment .....               | 6           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 4           | 2       | 2         |
| 99.0 Direct obligations .....                                   | 169         | 160     | 160       |
| 99.0 Reimbursable obligations .....                             | 27          | 22      | 22        |
| 99.9 Total new obligations .....                                | 196         | 182     | 182       |

#### Employment Summary

| Identification code 12-1801-0-1-352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 946         | 1,104   | 1,084     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 106         | 106     | 106       |

## AGRICULTURAL RESEARCH SERVICE

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Agricultural Research Service and for acquisition of lands by donation, exchange, or purchase at a nominal cost not to exceed \$100, and for land exchanges where the lands exchanged shall be of equal value or shall be equalized by a payment of money to the grantor which shall not exceed 25 percent of the total value of the land or interests transferred out of Federal ownership, \$1,124,003,000: Provided, That appropriations hereunder shall be available for the operation and maintenance of aircraft and the purchase of not to exceed one for replacement only: Provided further, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for the construction, alteration, and repair of buildings and improvements, but unless otherwise provided, the cost of constructing any one building shall not exceed \$375,000, except for greenhouses or greenhouses which shall each be limited to \$1,200,000, and except for 10 buildings to be constructed or improved at a cost not to exceed \$750,000 each, and the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building or \$375,000, whichever is greater: Provided further, That the limitations on alterations contained in this Act shall not apply to modernization or replacement of existing facilities at Beltsville, Maryland: Provided further, That appropriations hereunder shall be available for granting easements at the Beltsville Agricultural Research Center: Provided further, That the foregoing limitations shall not apply to replacement of buildings needed to carry out the Act of April 24, 1948 (21 U.S.C. 113a): Provided further, That funds may be received from any State, other political subdivision, organization, or individual for the purpose of establishing or operating any research facility or research project of the Agricultural Research Service, as authorized by law.*

## SALARIES AND EXPENSES—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–1400–0–1–352                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Product quality/value added .....                               | 100         | 101     | 85        |
| 0002   | Livestock production .....                                      | 76          | 77      | 73        |
| 0003   | Crop production .....   | 228         | 230     | 229       |
| 0004   | Food safety .....   | 96          | 97      | 109       |
| 0005   | Livestock protection .....                                      | 59          | 60      | 63        |
| 0006   | Crop protection .....   | 184         | 186     | 171       |
| 0007   | Human nutrition research .....                                  | 85          | 86      | 96        |
| 0008   | Environmental stewardship .....                                 | 188         | 190     | 219       |
| 0009   | National Agricultural Library .....                             | 21          | 21      | 26        |
| 0010   | Repair and maintenance of facilities .....                      | 17          | 17      | 17        |
| 0012   | Homeland security .....   | 36          | 36      | 36        |
| 0014   | Miscellaneous Fees/Supplementals .....                          |             | 9       |           |
| 0799   | Total direct obligations .....                                  | 1,090       | 1,110   | 1,124     |
| 0881   | Reimbursable program activity .....                             | 141         | 141     | 141       |
| 0889   | Reimbursable program activities, subtotal .....                 | 141         | 141     | 141       |
| 0900   | Total new obligations .....                                     | 1,231       | 1,251   | 1,265     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 7           | 10      | 4         |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 1,095       | 1,101   | 1,124     |
| 1160   | Appropriation, discretionary (total) .....                      | 1,095       | 1,101   | 1,124     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 31          | 144     | 144       |
| 1701   | Change in uncollected payments, Federal sources .....           | 113         |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 144         | 144     | 144       |
| 1900   | Budget authority (total) .....                                  | 1,239       | 1,245   | 1,268     |
| 1930   | Total budgetary resources available .....                       | 1,246       | 1,255   | 1,272     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                              | –5          |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 10          | 4       | 7         |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 406         | 420     | 364       |
| 3010   | Obligations incurred, unexpired accounts .....                  | 1,231       | 1,251   | 1,265     |
| 3011   | Obligations incurred, expired accounts .....                    | 22          |         |           |
| 3020   | Outlays (gross) .....   | –1,212      | –1,307  | –1,294    |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | –27         |         |           |
| 3050   | Unpaid obligations, end of year .....                           | 420         | 364     | 335       |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –154        | –180    | –180      |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | –113        |         |           |
| 3071   | Change in uncollected pymts, Fed sources, expired .....         | 87          |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....               | –180        | –180    | –180      |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 252         | 240     | 184       |
| 3200   | Obligated balance, end of year .....                            | 240         | 184     | 155       |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                   | 1,239       | 1,245   | 1,268     |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                  | 938         | 939     | 957       |
| 4011   | Outlays from discretionary balances .....                       | 274         | 368     | 337       |
| 4020   | Outlays, gross (total) .....                                    | 1,212       | 1,307   | 1,294     |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –82         | –86     | –86       |
| 4033   | Non-Federal sources .....                                       | –35         | –58     | –58       |
| 4040   | Offsets against gross budget authority and outlays (total) .... | –117        | –144    | –144      |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....       | –113        |         |           |
| 4052   | Offsetting collections credited to expired accounts .....       | 86          |         |           |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 4060 | Additional offsets against budget authority only (total) ..... | –27   |       |       |
| 4070 | Budget authority, net (discretionary) .....                    | 1,095 | 1,101 | 1,124 |
| 4080 | Outlays, net (discretionary) .....                             | 1,095 | 1,163 | 1,150 |
| 4180 | Budget authority, net (total) .....                            | 1,095 | 1,101 | 1,124 |
| 4190 | Outlays, net (total) .....                                     | 1,095 | 1,163 | 1,150 |

The Agricultural Research Service (ARS) is the principal in-house research agency of the U.S. Department of Agriculture (USDA). ARS conducts scientific research to develop and transfer solutions to agricultural problems of high national priority and to provide information access and dissemination to: ensure high-quality, safe food, and other agricultural products; assess the nutritional needs of Americans; sustain a competitive agricultural economy; enhance the natural resource base and the environment; and provide economic opportunities for rural citizens, communities, and society as a whole. This mission is carried out through ARS' major research program areas and other activities listed below (in italics).

The major research programs in ARS address and support the Department's priorities and are: New Products/Product Quality/Value Added; Environmental Stewardship; Livestock/Crop Production; Livestock/Crop Protection; Food Safety; and Human Nutrition.

The 2014 Salaries and Expenses budget for ARS requests \$1,124 million, which includes increases for new and expanded research initiatives in environmental stewardship; animal/crop breeding and protection; food safety; child and human nutrition; priority initiatives in the earth sciences area including, sustainable agriculture, climate change, and bioenergy; and the National Agricultural Library, as well as salary increases. ARS will finance these new and expanded initiatives almost entirely through the redirection of \$125.2 million in existing lines of research including the consolidation or closure of some research locations. The proposed reductions will provide necessary funding for the critical research priorities proposed by the agency for 2014.

*New Products / Product Quality / Value Added.*—ARS has active research programs directed toward 1) improving the efficiency and reducing the cost for the conversion of agricultural products into biobased products and biofuels, 2) developing new and improved products for domestic and foreign markets, and 3) providing higher quality, healthy foods that satisfy consumer needs in the United States and abroad.

*Environmental Stewardship.*—ARS research programs in environmental stewardship span the areas of water availability and watershed management; climate change, soil, and emissions; agricultural and industrial byproducts; agricultural system competitiveness and sustainability; and pasture, forage, and rangeland systems. Emphasis is given to developing technologies and systems that support profitable production and enhance the Nation's vast renewable natural resource base. ARS is currently developing the scientific knowledge and technologies needed to meet the challenges and opportunities facing U.S. agriculture in managing water resource quality and quantity under different climatic regimes, production systems, and environmental conditions. ARS research programs also focus on developing measurement, prediction, and control technologies for emissions of greenhouse gases, particulate matter, ammonia, hydrogen sulfide, and volatile organic compounds affecting air quality and land surface climate interactions. The agency is a leader in developing measurement and modeling techniques for characterizing gaseous and particulate matter emissions from agriculture. In addition, ARS is evaluating strategies for enhancing the health and productivity of soils, including developing predictive tools to assess the sustainability of alternative land management practices. Finding mechanisms to aid agriculture in adapting to changes

in atmospheric composition and climatic variations is also an important component of ARS research. ARS range and grazing land research includes the conservation and restoration of the Nation's range land and pasture ecosystems and agroecosystems through improved management of fire, invasive weeds, grazing, global change, and other agents of ecological change. The agency is currently developing improved grass and forage legume germplasm for livestock, conservation, bioenergy, and bioproduct systems as well as grazing-based livestock systems that reduce risk and increase profitability. In addition, ARS is developing whole system management strategies to reduce production costs and risks.

*Livestock Production.*—ARS' livestock production program is directed toward fostering an abundant, safe, nutritionally wholesome, and competitively priced supply of animal products produced in a viable, competitive, and sustainable animal agriculture sector of the economy by: 1) safeguarding and utilizing animal genetic resources, associated genetic and genomic databases, and bioinformatic tools; 2) developing a basic understanding of food animal physiology for food animal industry issues related to animal production, animal well-being, and product quality and healthfulness; and 3) developing information, best management practices, novel and innovative tools, and technologies that improve animal production systems, enhance human health, and ensure domestic food security.

*Crop Production.*—ARS' crop production program focuses on developing and improving ways to reduce crop losses while protecting and ensuring a safe and affordable food supply. The research program concentrates on effective production strategies that are environmentally friendly, safe to consumers, and compatible with sustainable and profitable crop production systems. Research activities are directed at safeguarding and utilizing plant genetic resources and their associated genetic, genomic, and bioinformatic databases that facilitate selection of varieties and/or germplasm with significantly improved traits. Current research activities minimize the impacts of crop pests while maintaining healthy crops and safe commodities that can be sold in markets throughout the world. ARS is conducting research to discover and exploit naturally occurring and engineered genetic mechanisms for plant pest control, develop agronomic germplasm with durable defensive traits, and transfer genetic resources for commercial use. ARS provides taxonomic information on invasive species that strengthens prevention techniques, aids in detection/identification of invasive pests, and increases control through management tactics that restore habitats and biological diversity.

*Livestock Protection.*—ARS' research on livestock protection is directed at protecting and ensuring the safety of the Nation's agriculture and food supply through improved disease detection, prevention, control, and treatment. Basic and applied research approaches are used to solve animal health problems of high national priority. Emphasis is given to methods and procedures to control animal diseases through the discovery and development of diagnostics, vaccines, biotherapeutics, animal genomics applications, disease management systems, animal disease models, and farm biosecurity measures. The research program has ten strategic objectives: 1) establish ARS laboratories into a fluid, highly effective research network to maximize use of core competencies and resources; 2) ensure access to specialized high containment facilities to study zoonotic and emerging diseases; 3) develop an integrated animal and microbial genomics research program; 4) establish core competencies in bovine, swine, ovine, and avian immunology; 5) launch a biotherapeutic discovery program providing alternatives to animal drugs; 6) build a technology-driven vaccine and diagnostic discovery research program; 7)

develop core competencies in field epidemiology and predictive biology; 8) develop internationally recognized expert collaborative research laboratories; 9) establish a best-in-class training center for our Nation's veterinarians and scientists; and 10) develop a model technology transfer program to achieve the full impact of ARS research discoveries.

*Crop Protection.*—ARS' research on crop protection is directed toward epidemiological investigations to understand pest and disease transmission mechanisms, and to identify and apply new technologies that increase understanding of virulence factors and host defense mechanisms. Currently, ARS research priorities include: 1) identification of genes that convey virulence traits in pathogens and pests; 2) factors that modulate infectivity, gene functions, and mechanisms; 3) genetic profiles that provide specified levels of disease and insect resistance under field conditions; and 4) mechanisms that facilitate the spread of pests and infectious diseases. ARS is developing new knowledge and integrated pest management approaches to control pest and disease outbreaks as they occur. Its research will improve the knowledge and understanding of the ecology, physiology, epidemiology, and molecular biology of emerging diseases and pests. This knowledge will be incorporated into pest risk assessments and management strategies to minimize chemical inputs and increase production. Strategies and approaches will be available to producers to control emerging crop diseases and pest outbreaks.

*Food Safety.*—Assuring that the United States has the highest levels of affordable, safe food requires that the food system be protected at each stage from production through processing and consumption from pathogens, toxins, and chemical contaminants that cause diseases in humans. The U.S. food supply is very diverse, extensive, easily accessible, and thus vulnerable to the introduction of biological and chemical contaminants through natural processes, intentional means, or by global commerce. ARS' current food safety research is designed to yield science-based knowledge on the safe production, storage, processing, and handling of plant and animal products, and on the detection and control of toxin producing and/or pathogenic bacteria and fungi, parasites, chemical contaminants, and plant toxins. All of ARS' research activities involve a high degree of cooperation and collaboration with USDA's Research, Education, and Economics agencies, as well as with FSIS, APHIS, FDA, CDC, DHS, and the EPA. ARS also collaborates on international research programs to address and resolve global food safety issues. Specific research efforts are directed toward developing new technologies that assist ARS stakeholders and customers, that is, regulatory agencies, industry, and commodity and consumer organizations, in detecting, identifying, and controlling foodborne diseases that affect human health.

*Human Nutrition.*—Maintenance of health throughout life along with prevention of obesity and chronic diseases via food-based recommendations are the major emphases of ARS human nutrition research program. These health-related goals are based on the knowledge that deficiency diseases are no longer important public health concerns. Excessive consumption has become the primary nutrition problem in the American population. This is reflected by increased emphasis on prevention of obesity from basic science through intervention studies to assessments of large populations. ARS' research program also actively studies bioactive components of foods that have no known requirement but have health promoting activities. Four specific areas of research are currently emphasized: 1) nutrition monitoring and the food supply, e.g., a national diet survey and the food composition databank; 2) dietary guidance for health promotion and disease prevention, i.e., specific foods, nutrients, and dietary patterns

## SALARIES AND EXPENSES—Continued

that maintain health and prevent disease; 3) prevention of obesity and related diseases, including research as to why so few of the population follow the Dietary Guidelines for Americans; and 4) life stage nutrition and metabolism, in order to better define the role of nutrition in pregnancy and growth of children, and for healthier aging.

**Library and Information Services (NAL).**—The National Agricultural Library (NAL) is the largest and most accessible agricultural research library in the world. It provides services directly to the staff of USDA and to the public, primarily via the NAL web site, <http://www.nal.usda.gov>. NAL was created with the USDA in 1862 and was named in 1962 a national library by Congress, as the primary agricultural information resource of the United States. NAL is the premier library for collecting, managing, and disseminating agricultural knowledge. The Library is the repository of our Nation's agricultural heritage, the provider of world class information, and the wellspring for generating new fundamental knowledge and advancing scientific discovery. It is a priceless national resource that, through its services, programs, information products, and web-based tools and technologies, serves anyone who needs agricultural information. The Library's vision is "advancing access to global information for agriculture."

**Repair and Maintenance of Facilities.**—Funds are used to restore, upgrade, and maintain ARS' facilities to meet Occupational Safety and Health Administration and EPA requirements, provide suitable workspace for in-house research programs, and to retrofit existing structures for better energy utilization.

**Reimbursements.**—ARS performs research activities and services for other USDA, Federal, and non-Federal agencies. These activities and services are paid for on a reimbursable basis.

## Object Classification (in millions of dollars)

| Identification code 12-1400-0-1-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 503         | 505     | 511       |
| 11.3 Other than full-time permanent .....                       | 10          | 10      | 10        |
| 11.5 Other personnel compensation .....                         | 13          | 14      | 14        |
| 11.9 Total personnel compensation .....                         | 526         | 529     | 535       |
| 12.1 Civilian personnel benefits .....                          | 175         | 176     | 178       |
| 21.0 Travel and transportation of persons .....                 | 12          | 13      | 13        |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 41          | 42      | 41        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 6           | 11      | 8         |
| 25.4 Operation and maintenance of facilities .....              | 33          | 34      | 33        |
| 25.5 Research and development contracts .....                   | 147         | 152     | 153       |
| 25.7 Operation and maintenance of equipment .....               | 15          | 13      | 16        |
| 26.0 Supplies and materials .....                               | 82          | 87      | 86        |
| 31.0 Equipment .....  | 36          | 36      | 45        |
| 32.0 Land and structures .....                                  | 3           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....                 | 10          | 10      | 9         |
| 99.0 Direct obligations .....                                   | 1,090       | 1,110   | 1,124     |
| 99.0 Reimbursable obligations .....                             | 141         | 141     | 141       |
| 99.9 Total new obligations .....                                | 1,231       | 1,251   | 1,265     |

## Employment Summary

| Identification code 12-1400-0-1-352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 6,986       | 6,986   | 6,986     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 502         | 502     | 502       |

## BUILDINGS AND FACILITIES

*For acquisition of land, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities as necessary to carry out the agricultural research programs of the Department of Agriculture, where not otherwise provided, \$155,000,000 to remain available until expended.*

## Program and Financing (in millions of dollars)

| Identification code 12-1401-0-1-352                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Building and facilities projects .....           | 5           | 5       | 32        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 10          | 5       | .....     |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | .....       | .....   | 155       |
| 1160 Appropriation, discretionary (total) .....       | .....       | .....   | 155       |
| 1930 Total budgetary resources available .....        | 10          | 5       | 155       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 5           | .....   | 123       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 82          | 30      | 14        |
| 3010 Obligations incurred, unexpired accounts .....   | 5           | 5       | 32        |
| 3011 Obligations incurred, expired accounts .....     | 1           | .....   | .....     |
| 3020 Outlays (gross) .....                            | -58         | -21     | -18       |
| 3050 Unpaid obligations, end of year .....            | 30          | 14      | 28        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 82          | 30      | 14        |
| 3200 Obligated balance, end of year .....             | 30          | 14      | 28        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | .....       | .....   | 155       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | .....       | .....   | 8         |
| 4011 Outlays from discretionary balances .....        | 58          | 21      | 10        |
| 4020 Outlays, gross (total) .....                     | 58          | 21      | 18        |
| 4180 Budget authority, net (total) .....              | .....       | .....   | 155       |
| 4190 Outlays, net (total) .....                       | 58          | 21      | 18        |

This account provides funds for the acquisition of land, construction, repair, improvement, extension, alterations, and purchases of fixed equipment or facilities of or used by the Agricultural Research Service. The 2014 Budget request includes \$155 million to fully fund the planning, design, and construction of a new consolidated poultry research facility at the Southeast Poultry Research Laboratory in Athens, Georgia, and would address highly virulent poultry diseases that require increased biocontainment capabilities and state-of-the-art facilities.

## Object Classification (in millions of dollars)

| Identification code 12-1401-0-1-352                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | .....       | .....   | 10        |
| 25.4 Operation and maintenance of facilities ..... | 4           | 5       | 22        |
| 32.0 Land and structures .....                     | 1           | .....   | .....     |
| 99.9 Total new obligations .....                   | 5           | 5       | 32        |

## Trust Funds

## MISCELLANEOUS CONTRIBUTED FUNDS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12-8214-0-7-352 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0100 Balance, start of year .....   | .....       | .....   | .....     |

|                 |   |     |     |     |
|-----------------|---|-----|-----|-----|
| Receipts:       |   |     |     |     |
| 0220            | Deposits of Miscellaneous Contributed Funds, Science and Education Administration ..... | 30  | 30  | 24  |
| 0400            | Total: Balances and collections .....   | 30  | 30  | 24  |
| Appropriations: |   |     |     |     |
| 0500            | Miscellaneous Contributed Funds .....   | -30 | -30 | -24 |
| 0799            | Balance, end of year .....  |     |     |     |

**Program and Financing** (in millions of dollars)

| Identification code 12-8214-0-7-352       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Miscellaneous contributed funds .....                        | 24          | 24      | 24        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 21          | 28      | 34        |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050                                      | Unobligated balance (total) .....                            | 22          | 28      | 34        |
| Budget authority:                         |  |             |         |           |
| Appropriations, mandatory:                |  |             |         |           |
| 1201                                      | Appropriation (special or trust fund) .....                  | 30          | 30      | 24        |
| 1260                                      | Appropriations, mandatory (total) .....                      | 30          | 30      | 24        |
| 1930                                      | Total budgetary resources available .....                    | 52          | 58      | 58        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 28          | 34      | 34        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 7           | 7       | 3         |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 24          | 24      | 24        |
| 3020                                      | Outlays (gross) .....  | -23         | -28     | -26       |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 7           | 3       | 1         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 7           | 7       | 3         |
| 3200                                      | Obligated balance, end of year .....                         | 7           | 3       | 1         |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Mandatory:                                |  |             |         |           |
| 4090                                      | Budget authority, gross .....                                | 30          | 30      | 24        |
| Outlays, gross:                           |  |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....                   |             | 21      | 17        |
| 4101                                      | Outlays from mandatory balances .....                        | 23          | 7       | 9         |
| 4110                                      | Outlays, gross (total) .....                                 | 23          | 28      | 26        |
| 4180                                      | Budget authority, net (total) .....                          | 30          | 30      | 24        |
| 4190                                      | Outlays, net (total) .....                                   | 23          | 28      | 26        |

Miscellaneous contributed funds received from States, local organizations, individuals, and others are available for work under cooperative agreements on research activities.

**Object Classification** (in millions of dollars)

| Identification code 12-8214-0-7-352 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                     | 5           | 5       | 5         |
| 11.3                                | Other than full-time permanent .....          | 1           | 1       | 1         |
| 11.5                                | Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....            | 7           | 7       | 7         |
| 12.1                                | Civilian personnel benefits .....             | 2           | 2       | 2         |
| 21.0                                | Travel and transportation of persons .....    | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources ..... | 2           | 2       | 2         |
| 25.5                                | Research and development contracts .....      | 6           | 6       | 6         |
| 26.0                                | Supplies and materials .....                  | 3           | 3       | 3         |
| 31.0                                | Equipment .....                               | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....    | 2           | 2       | 2         |
| 99.9                                | Total new obligations .....                   | 24          | 24      | 24        |

**Employment Summary**

| Identification code 12-8214-0-7-352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 108         | 108     | 108       |

## NATIONAL INSTITUTE OF FOOD AND AGRICULTURE

### Federal Funds

**INTEGRATED ACTIVITIES**

*For the integrated research, education, and extension grants programs, including necessary administrative expenses, \$28,129,000, as follows: for competitive grants programs authorized under section 406 of the Agricultural Research, Extension, and Education Reform Act of 1998 (7 U.S.C. 7626), \$21,143,000, including \$4,000,000 for the organic transition program and \$17,143,000 for crop protection; \$998,000 for the regional rural development centers program; and \$5,988,000 for the Food and Agriculture Defense Initiative authorized under section 1484 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12-1502-0-1-352       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0010                                      | Organic research and extension init. ....                    | 20          |         |           |
| 0020                                      | Water quality .....  | 5           | 5       |           |
| 0040                                      | Regional pest management centers .....                       | 4           | 4       |           |
| 0050                                      | Crop Protection .....  |             |         | 17        |
| 0070                                      | Methyl bromide transition program .....                      | 2           | 2       |           |
| 0071                                      | Homeland Security .....                                      | 6           | 6       | 6         |
| 0085                                      | Specialty Crop Research Initiative .....                     | 50          |         |           |
| 0087                                      | Regional Rural development centers .....                     | 1           | 1       | 1         |
| 0088                                      | Organic transition .....                                     | 4           | 4       | 4         |
| 0900                                      | Total new obligations .....                                  | 92          | 22      | 28        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050                                      | Unobligated balance (total) .....                            | 1           |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 21          | 22      | 28        |
| 1160                                      | Appropriation, discretionary (total) .....                   | 21          | 22      | 28        |
| Appropriations, mandatory:                |  |             |         |           |
| 1221                                      | Appropriations transferred from other accts [12-4336] ....   | 70          |         |           |
| 1260                                      | Appropriations, mandatory (total) .....                      | 70          |         |           |
| 1900                                      | Budget authority (total) .....                               | 91          | 22      | 28        |
| 1930                                      | Total budgetary resources available .....                    | 92          | 22      | 28        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 267         | 280     | 178       |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 92          | 22      | 28        |
| 3011                                      | Obligations incurred, expired accounts .....                 | 68          |         |           |
| 3020                                      | Outlays (gross) .....  | -100        | -124    | -96       |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....   | -46         |         |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 280         | 178     | 110       |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 267         | 280     | 178       |
| 3200                                      | Obligated balance, end of year .....                         | 280         | 178     | 110       |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 21          | 22      | 28        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               | 1           | 4       | 4         |

INTEGRATED ACTIVITIES—Continued  
Program and Financing—Continued

| Identification code 12–1502–0–1–352             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4011 Outlays from discretionary balances .....  | 39          | 54      | 61        |
| 4020 Outlays, gross (total) .....               | 40          | 58      | 65        |
| Mandatory:                                      |             |         |           |
| 4090 Budget authority, gross .....              | 70          |         |           |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... | 3           |         |           |
| 4101 Outlays from mandatory balances .....      | 57          | 66      | 31        |
| 4110 Outlays, gross (total) .....               | 60          | 66      | 31        |
| 4180 Budget authority, net (total) .....        | 91          | 22      | 28        |
| 4190 Outlays, net (total) .....                 | 100         | 124     | 96        |

Under the Integrated Activities account, research, education and/or extension grants are awarded for competitive and non-competitive programs.

**Organic Transition Program.**—This program supports the development and implementation of biologically based pest management practices that mitigate the ecological, agronomic, and economic risks associated with the transition from conventional to organic agricultural production systems. The 2014 Budget includes \$4.0 million, which is the same as the 2012 enacted level.

**Crop Protection/Pest Management Program.**—This program supports projects that respond to pest management challenges with coordinated region-wide and national research, education, and extension programs, and serves as a catalyst for promoting further development and use of integrated pest management approaches. The program also fosters regional and national team building efforts, communication networks, and enhanced stakeholder participation. The 2014 Budget includes \$17.1 million, which reflects combined pest management funding transferred from Research and Education and Extension Activities.

**Regional rural development centers.**—Funding supports activities that pursue a holistic development strategy that tailors programming to meet regional and local needs and addresses areas of opportunity arising from a consumer-driven agricultural economy. The 2014 Budget includes \$1.0 million, which is the same as the 2012 enacted level.

**Food and agriculture defense initiative (homeland security).**—The program provides support and enhancement of nationally-coordinated plant and animal disease diagnostic networks and supports activities to identify and respond to high risk biological pathogens in the food and agricultural system. The 2014 Budget includes 6.0 million. Additional funding for these laboratories is included in the Animal and Plant Health Inspection Service.

**Organic Agriculture Research and Extension Initiative.**—This mandatory program, authorized by section 7206 of the Food, Conservation, and Energy Act of 2008 (FCEA), 2008 Farm Bill, supports research and extension programs that enhance the ability of producers and processors who have already adopted organic standards to grow and market high quality organic agricultural products. Mandatory funding for the program expired at the end of September 2012.

**Specialty Crop Research Initiative.**—This mandatory program, authorized by section 7311 of the FCEA, 2008 Farm Bill, provides funding to solve critical industry issues through research and extension activities that focus on research in plant breeding, genetics, and genomics to improve crop characteristics; efforts to identify and address threats from pests and diseases, including threats to specialty crop pollinators; efforts to improve production efficiency, productivity, and profitability over the long term; new innovations and technology, including improved mechanization and technologies that delay or inhibit ripening; and methods to

prevent, detect, monitor, control, and respond to potential food safety hazards in the production and processing of specialty crops. Mandatory funding for the program expired at the end of September 2012.

## Object Classification (in millions of dollars)

| Identification code 12–1502–0–1–352                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 90          | 20      | 27        |
| 99.9 Total new obligations .....                       | 92          | 22      | 28        |

## Employment Summary

| Identification code 12–1502–0–1–352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 8           | 8       | 9         |

## BIOMASS RESEARCH AND DEVELOPMENT

## Program and Financing (in millions of dollars)

| Identification code 12–1003–0–1–271                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Biomass research and development .....                       | 72          | 1       |           |
| 0900 Total new obligations (object class 41.0) .....              | 72          | 1       |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 31          | 1       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           |         |           |
| 1050 Unobligated balance (total) .....                            | 33          | 1       |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1221 Appropriations transferred from other accts [12–4336] ....   | 40          |         |           |
| 1260 Appropriations, mandatory (total) .....                      | 40          |         |           |
| 1930 Total budgetary resources available .....                    | 73          | 1       |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 1           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 45          | 95      | 63        |
| 3010 Obligations incurred, unexpired accounts .....               | 72          | 1       |           |
| 3020 Outlays (gross) .....  | –20         | –33     | –33       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 95          | 63      | 30        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 45          | 95      | 63        |
| 3200 Obligated balance, end of year .....                         | 95          | 63      | 30        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 40          |         |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 5           |         |           |
| 4101 Outlays from mandatory balances .....                        | 15          | 33      | 33        |
| 4110 Outlays, gross (total) .....                                 | 20          | 33      | 33        |
| 4180 Budget authority, net (total) .....                          | 40          |         |           |
| 4190 Outlays, net (total) .....                                   | 20          | 33      | 33        |

Biomass Research and Development is authorized by the Biomass Research and Development Act of 2000. The program provides competitive grants for research, development, and demonstration to encourage innovation and development related to biomass, and improved commercialization of biobased products and energy. USDA and the Department of Energy jointly administer the program.

Current priorities focus on the following: feedstock development and production; biobased products emphasizing environmental

and economic performance and gasification of animal manure; integrated resource management and biomass use; demonstration projects that use biodiesel for all operations in the supply chain to produce corn grain ethanol; and effective and targeted incentive systems for biomass commercialization and adoption. Mandatory funding for the program expired at the end of September 2012.

**NATIONAL INSTITUTE OF FOOD AND AGRICULTURE  
RESEARCH AND EDUCATION ACTIVITIES**

*For payments to agricultural experiment stations, for cooperative forestry and other research, for facilities, and for other expenses, \$801,140,000, as follows: to carry out the provisions of the Hatch Act of 1887 (7 U.S.C. 361a-i), \$236,334,000; for grants for cooperative forestry research (16 U.S.C. 582a through a-7), \$32,934,000; for payments to eligible institutions (7 U.S.C. 3222), \$50,898,000, provided that each institution receives no less than \$1,000,000; for special grants (7 U.S.C. 450i(c)), \$1,405,000; for competitive grants on improved pest control (7 U.S.C. 450i(c)), \$11,913,000; for competitive grants (7 U.S.C. 450i(b)), \$383,376,000, to remain available until expended; for the 1994 research grants program for 1994 institutions pursuant to section 536 of Public Law 103-382 (7 U.S.C. 301 note), \$1,801,000, to remain available until expended; for the veterinary medicine loan repayment program under section 1415A of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3151a), \$4,790,000, to remain available until expended; for an education grants program for Hispanic-serving Institutions (7 U.S.C. 3241), \$9,219,000; for competitive grants for the purpose of carrying out all provisions of 7 U.S.C. 3156 to individual eligible institutions or consortia of eligible institutions in Alaska and in Hawaii, with funds awarded equally to each of the States of Alaska and Hawaii, \$3,194,000; for aquaculture grants (7 U.S.C. 3322), \$3,920,000; for sustainable agriculture research and education (7 U.S.C. 5811 and 7 U.S.C. 5832), \$22,667,000; for a program of capacity building grants (7 U.S.C. 3152(b)(4)) to institutions eligible to receive funds under 7 U.S.C. 3221 and 3222, \$19,336,000, to remain available until expended (7 U.S.C. 2209b); for payments to the 1994 Institutions pursuant to section 534(a)(1) of Public Law 103-382, \$3,335,000; for grants for insular areas under sections 1490 and 1491 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3362 and 3363), \$1,650,000; and for necessary expenses of Research and Education Activities, \$14,368,000, of which \$7,830,000, to remain available until expended, are to provide partial support for grants management systems.*

**HISPANIC SERVING AGRICULTURAL COLLEGES AND UNIVERSITIES ENDOWMENT FUND**

*For the Hispanic-Serving Agricultural Colleges and Universities Endowment Fund under section 1456(b) (7 U.S.C. 3243(b)) of the National Agricultural Research, Extension and Teaching Policy Act of 1977, \$10,000,000, to remain available until expended.*

**NATIVE AMERICAN INSTITUTIONS ENDOWMENT FUND**

*For the Native American Institutions Endowment Fund authorized by Public Law 103-382 (7 U.S.C. 301 note), \$11,880,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 12-1500-0-1-352   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 136         | 148     | 160       |
| Receipts:   |             |         |           |
| 0240 Earnings on Investments, Native American Institutions Endowment Fund ..... | 5           | 5       | 5         |
| 0400 Total: Balances and collections .....                                      | 141         | 153     | 165       |
| Appropriations:   |             |         |           |
| 0500 Research and Education Activities .....                                    | -5          | -5      | -5        |
| 0501 Research and Education Activities .....                                    | 12          | 12      | 22        |

|                                 |     |     |     |
|---------------------------------|-----|-----|-----|
| 0599 Total appropriations ..... | 7   | 7   | 17  |
| 0799 Balance, end of year ..... | 148 | 160 | 182 |

**Program and Financing (in millions of dollars)**

| Identification code 12-1500-0-1-352  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Payments under the Hatch Act .....  | 236         | 237     | 236       |
| 0002 Cooperative forestry research .....   | 33          | 33      | 33        |
| 0003 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University ..... | 51          | 51      | 51        |
| 0004 Special research grants .....   | 42          | 43      | 42        |
| 0005 Agriculture Food and Research Initiative .....  | 279         | 463     | 383       |
| 0006 Animal health and disease research .....  | 4           | 4       | .....     |
| 0007 Federal Administration .....  | 11          | 11      | 14        |
| 0008 Higher education .....  | 48          | 52      | 37        |
| 0009 Native American Institutions Endowment Fund .....                                     | 5           | 5       | 5         |
| 0012 Veterinary Medical Services Act .....   | 5           | 5       | 5         |
| 0015 Sun Grant Program .....   | 2           | 2       | .....     |
| 0016 Farm Business Management and Benchmarking .....                                       | 1           | 1       | .....     |
| 0017 Competitive Grants for Policy Research .....  | 4           | 4       | .....     |
| 0799 Total direct obligations .....  | 721         | 911     | 806       |
| 0801 Reimbursable program activity .....   | 14          | 14      | 14        |
| 0900 Total new obligations .....   | 735         | 925     | 820       |

**Budgetary Resources:**

|  |     |       |       |
|--|-----|-------|-------|
| 1000 Unobligated balance brought forward, Oct 1 .....                  | 179 | 197   | ..... |
| 1021 Recoveries of prior year unpaid obligations .....                 | 28  | ..... | ..... |
| 1050 Unobligated balance (total) .....                                 | 207 | 197   | ..... |
| Budget authority:  |     |       |       |
| Appropriations, discretionary:   |     |       |       |
| 1100 Appropriation .....   | 717 | 721   | 823   |
| 1101 Appropriation (Native American Endowment Interest) .....          | 5   | 5     | 5     |
| 1134 Portion precluded from obligation (-) (N.A. Endowment Fund) ..... | -12 | -12   | -22   |
| 1160 Appropriation, discretionary (total) .....                        | 710 | 714   | 806   |
| Spending authority from offsetting collections, discretionary:         |     |       |       |
| 1700 Collected .....   | 1   | 14    | 14    |
| 1701 Change in uncollected payments, Federal sources .....             | 14  | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....     | 15  | 14    | 14    |
| 1900 Budget authority (total) .....                                    | 725 | 728   | 820   |
| 1930 Total budgetary resources available .....                         | 932 | 925   | 820   |
| Memorandum (non-add) entries:  |     |       |       |
| 1941 Unexpired unobligated balance, end of year .....                  | 197 | ..... | ..... |

**Change in obligated balance:**

|   |       |       |        |
|---|-------|-------|--------|
| Unpaid obligations:   |       |       |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,136 | 1,077 | 1,167  |
| 3010 Obligations incurred, unexpired accounts .....               | 735   | 925   | 820    |
| 3011 Obligations incurred, expired accounts .....                 | 28    | ..... | .....  |
| 3020 Outlays (gross) .....  | -742  | -835  | -1,007 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -28   | ..... | .....  |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -52   | ..... | .....  |
| 3050 Unpaid obligations, end of year .....                        | 1,077 | 1,167 | 980    |
| Uncollected payments:   |       |       |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -43   | -31   | -31    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -14   | ..... | .....  |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 26    | ..... | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -31   | -31   | -31    |
| Memorandum (non-add) entries:                                     |       |       |        |
| 3100 Obligated balance, start of year .....                       | 1,093 | 1,046 | 1,136  |
| 3200 Obligated balance, end of year .....                         | 1,046 | 1,136 | 949    |

**Budget authority and outlays, net:**

|  |     |       |       |
|--|-----|-------|-------|
| Discretionary:   |     |       |       |
| 4000 Budget authority, gross .....                             | 725 | 728   | 820   |
| Outlays, gross:  |     |       |       |
| 4010 Outlays from new discretionary authority .....            | 157 | 379   | 427   |
| 4011 Outlays from discretionary balances .....                 | 585 | 456   | 580   |
| 4020 Outlays, gross (total) .....                              | 742 | 835   | 1,007 |
| Offsets against gross budget authority and outlays:            |     |       |       |
| Offsetting collections (collected) from:                       |     |       |       |
| 4030 Federal sources .....                                     | -13 | -14   | -14   |
| Additional offsets against gross budget authority only:        |     |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -14 | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts ..... | 13  | ..... | ..... |

RESEARCH AND EDUCATION ACTIVITIES—Continued  
Program and Financing—Continued

| Identification code 12–1500–0–1–352  |  | 2012 actual | 2013 CR | 2014 est. |
|--------------------------------------|--|-------------|---------|-----------|
| 4060                                 | Additional offsets against budget authority only (total) ..... | –1          | .....   | .....     |
| 4070                                 | Budget authority, net (discretionary) .....                    | 711         | 714     | 806       |
| 4080                                 | Outlays, net (discretionary) .....                             | 729         | 821     | 993       |
| 4180                                 | Budget authority, net (total) .....                            | 711         | 714     | 806       |
| 4190                                 | Outlays, net (total) .....                                     | 729         | 821     | 993       |
| <b>Memorandum (non-add) entries:</b> |  |             |         |           |
| 5000                                 | Total investments, SOY: Federal securities: Par value .....    | 134         | 142     | 154       |
| 5001                                 | Total investments, EOY: Federal securities: Par value .....    | 142         | 154     | 176       |

The National Institute of Food and Agriculture (NIFA) participates in a nationwide system of agricultural research and education program planning and coordination between State institutions and the U.S. Department of Agriculture. It assists in maintaining cooperation among the State institutions, and between the State institutions and their Federal research partners. The agency administers grants and payments to State institutions to supplement State and local funding for agricultural research and higher education.

**Agriculture and Food Research Initiative competitive grants.**—Section 7406 of FCEA establishes the Agriculture and Food Research Initiative (AFRI). AFRI is the core competitive grant program for fundamental and applied research, extension, and education to address food and agricultural sciences. AFRI projects will address critical issues in U.S. agriculture in the areas of agricultural and food production and security; agricultural production and climate variability; sustainable bioenergy; nutrition and health; food safety; foundational science; food, agricultural, natural resources, and human sciences education initiative; and water and water resources. Addressing these critical issues will engage scientists and educators with expertise in plant health and production and plant products; animal health and production and animal products; food safety, nutrition, and health; renewable energy, natural resources, and environment; agricultural systems and technology; and agriculture economics and rural communities. AFRI allows greater flexibility in the types of projects funded to include: single function projects in research, education, and extension, and integrated research, education and/or extension awards. The 2014 Budget proposes to increase funding for AFRI from \$266 million to \$383 million, a 44 percent increase in this program from the 2013 estimate.

**Payments under the Hatch Act.**—Funds under the Hatch Act are allocated on a formula basis to agricultural experiment stations of the land-grant colleges in the 50 States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, American Samoa, Micronesia, and Northern Mariana Islands. The 2014 Budget is funded at the same level as the 2012 enacted level, \$236.3 million.

**Cooperative forestry research.**—These funds are allocated by formula to land-grant colleges or agricultural experiment stations in the 50 States, Puerto Rico, Guam, the Virgin Islands, and other State-supported colleges and universities having a forestry school and offering graduate training in forestry sciences. The 2014 Budget is funded at the same level as the 2012 enacted level, \$32.9 million.

**Payments to 1890 colleges and Tuskegee University and West Virginia State University.**—Funds allocated on a formula basis support agricultural research and broaden the curricula at the eighteen 1890 land-grant colleges, including Tuskegee University and West Virginia State University. The 2014 Budget is funded at the same level as the 2012 enacted level, \$50.9 million.

**Special research grants.**—This program addresses research areas of national interest. The 2014 Budget includes \$22.7 million for sustainable agriculture. Funding is proposed for IR-4 minor crop pest management at \$11.9 million to address the growing need for registration of safe pesticides for minor crops and lead to a reduction by half in the levels of chemical residues in food products. Funding for agroclimatology (global change) is proposed at \$1.4 million for research at universities as part of a coordinated Federal initiative. The 2014 Budget also includes funding for aquaculture centers at the same level as 2012 enacted, \$3.9 million.

**1994 Institutions Research.**—The 2014 Budget maintains funding at the 2012 enacted level of \$1.8 million for the competitive research grants program to build research capacity at the thirty-four 1994 institutions by supporting agricultural research activities that address tribal, national and multistate priorities.

**Federal administration.**—A coordinating and review staff assists in maintaining cooperation within and among the States, and between the States and their Federal research partners. This staff also administers research and education grants and payments to States. Federal administration is funded from a combination of program set-asides from formula and grant programs and from direct appropriation for administration. The 2014 Budget includes \$14.4 million, which is \$3.8 million over the 2013 annualized CR level. Most of the increase will support the Electronic Grants Administration System.

**Higher education.**—2014 funding is proposed for Hispanic-serving institutions education grants program at \$9.2 million. Funding is also proposed for Native American institutions at \$3.3 million, Alaska Native-serving and Native Hawaiian-serving Institutions at \$3.2 million, and Grants for Insular Areas programs at \$1.7 million. These programs enable universities to broaden their curricula; and increase faculty development, student research projects, and the number of new scholars recruited in the food and agricultural sciences. In addition, an increased number of graduate students, including minority graduate students, will be enrolled in the agricultural sciences. The 2014 Budget proposes \$19.3 million for a capacity building program at the 1890 institutions as part of the USDA initiative to strengthen these institutions through a broadening of curricula, and increased faculty development and student research projects. Funding is also proposed in the 2014 Budget, at \$4.8 million, for the Veterinary Medical Services Act to provide incentives to hire Federal veterinarians to work in shortage areas.

**Reimbursable program.**—Funds support basic and applied agriculture research and activities performed for other USDA, Federal, and non-Federal agencies.

**Native American Institutions Endowment Fund.**—The 2014 Budget includes the same level as 2012 enacted, \$11.9 million, for an endowment for the 1994 land-grant institutions (34 Tribally controlled colleges) to strengthen the infrastructure of these institutions and develop Indian expertise for the food and agricultural sciences and businesses and their own communities. At the termination of each fiscal year, the Secretary withdraws the income from the endowment fund for the fiscal year, and after making adjustments for the cost of administering the fund, distributes the adjusted income on a formula basis to the 1994 land-grant institutions.

**Hispanic-Serving Agricultural Colleges and Universities Endowment Fund.**—This endowment fund for Hispanic-Serving Agricultural Colleges and Universities will launch the production of skilled and marketable Hispanic student population for employment in the food and agriculture sector. Over the next ten years, the Endowment will lead to significant and measur-



able enhanced competence and marketability of Hispanic students in the food and agricultural sciences. The 2014 Budget includes \$10 million for this proposed fund.

#### Object Classification (in millions of dollars)

| Identification code 12-1500-0-1-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 37          | 20      | 20        |
| 12.1 Civilian personnel benefits .....                          | 7           | 5       | 5         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 6           | 4       | 4         |
| 25.5 Research and development contracts .....                   | 17          | 9       | 9         |
| 41.0 Grants, subsidies, and contributions .....                 | 652         | 871     | 766       |
| 99.0 Direct obligations .....                                   | 721         | 911     | 806       |
| 99.0 Reimbursable obligations .....                             | 14          | 14      | 14        |
| 99.9 Total new obligations .....                                | 735         | 925     | 820       |

#### Employment Summary

| Identification code 12-1500-0-1-352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 242         | 245     | 254       |

### BUILDINGS AND FACILITIES

#### Program and Financing (in millions of dollars)

| Identification code 12-1501-0-1-352                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 2           |         |           |
| 0900 Total new obligations (object class 41.0) .....  | 2           |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           |         |           |
| 1930 Total budgetary resources available .....        | 2           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 3       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 2           |         |           |
| 3020 Outlays (gross) .....                            |             | -1      | -1        |
| 3050 Unpaid obligations, end of year .....            | 3           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 3       | 2         |
| 3200 Obligated balance, end of year .....             | 3           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        |             | 1       | 1         |
| 4190 Outlays, net (total) .....                       |             | 1       | 1         |

Funds provide grants to States and other eligible recipients for the acquisition of land, construction, repair, improvement, extension, alteration and purchase of fixed equipment or facilities to carry out agricultural research, extension, and teaching programs. No funding has been appropriated to this account since 1997.

#### EXTENSION ACTIVITIES

For payments to States, the District of Columbia, Puerto Rico, Guam, the Virgin Islands, Micronesia, the Northern Marianas, and American Samoa, \$459,037,000, as follows: payments for cooperative extension work under the Smith-Lever Act, to be distributed under sections 3(b) and 3(c) of said Act, and under section 208(c) of Public Law 93-471, for retirement and employees' compensation costs for extension agents, \$294,000,000; payments for extension work at the 1994 Institutions under the Smith-Lever Act (7 U.S.C. 343(b)(3)), \$4,312,000; payments for the nutrition and

family education program for low-income areas under section 3(d) of the Act, \$67,934,000; payments for the farm safety program and youth farm safety education and certification extension grants under section 3(d) of the Act, \$4,610,000; payments for New Technologies for Agriculture Extension under section 3(d) of the Act, \$1,750,000; payments to upgrade research, extension, and teaching facilities at institutions eligible to receive funds under 7 U.S.C. 3221 and 3222, \$19,730,000, to remain available until expended; payments for youth-at-risk programs under section 3(d) of the Smith-Lever Act, \$8,395,000; payments for carrying out the provisions of the Renewable Resources Extension Act of 1978 (16 U.S.C. 1671 et seq.), \$4,060,000; payments for the federally recognized Tribes Extension Program under section 3(d) of the Smith-Lever Act, \$3,039,000; payments for cooperative extension work by eligible institutions (7 U.S.C. 3221), \$42,592,000, provided that each institution receives no less than \$1,000,000; and for necessary expenses of Extension Activities, \$8,615,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12-0502-0-1-352  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Smith-Lever Act, 3(b) and 3(c) .....  | 294         | 295     | 294       |
| 0002 Youth at risk .....   | 8           | 8       | 8         |
| 0004 Expanded food and nutrition education program (EFNEP) .....                           | 68          | 68      | 68        |
| 0005 Pest management .....   | 10          | 10      |           |
| 0006 Farm Safety .....   | 5           | 5       | 5         |
| 0009 Federally Recognized Tribes Extension Program .....                                   | 3           | 3       | 3         |
| 0013 Payments to 1890 colleges and Tuskegee Univ. and West Virginia State University ..... | 43          | 43      | 43        |
| 0015 Renewable resources extension act .....   | 4           | 4       | 4         |
| 0016 Federal administration .....  | 8           | 8       | 8         |
| 0019 1890 facilities (section 1447) .....  | 21          | 23      | 20        |
| 0021 Sustainable agriculture .....   | 5           | 5       |           |
| 0022 1994 institutions activities .....  | 4           | 4       | 4         |
| 0024 Rural health and safety education .....   | 2           | 2       |           |
| 0025 Grants to youth serving organizations .....   | 1           | 1       |           |
| 0026 Risk management education .....   | 5           | 5       | 5         |
| 0027 New technologies for ag. extension .....  | 2           | 2       | 2         |
| 0029 Beginning Farmers and Ranchers Development Program .....                              | 19          |         |           |
| 0030 Food Animal Residue Avoidance Database .....  | 1           | 1       |           |
| 0799 Total direct obligations .....  | 503         | 487     | 464       |
| 0801 Reimbursable program activity .....   | 22          | 22      | 22        |
| 0900 Total new obligations .....   | 525         | 509     | 486       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                      | 9           | 4       |           |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                            | 9           | 4       |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 475         | 478     | 459       |
| 1160 Appropriation, discretionary (total) .....  | 475         | 478     | 459       |
| Appropriations, mandatory:   |             |         |           |
| 1221 Appropriations transferred from other accts [12-4085] ...                             | 5           | 5       | 5         |
| 1221 Appropriations transferred from other accts [12-4336] ...                             | 19          |         |           |
| 1260 Appropriations, mandatory (total) .....   | 24          | 5       | 5         |
| Spending authority from offsetting collections, discretionary:                             |             |         |           |
| 1700 Collected .....   | 2           | 22      | 22        |
| 1701 Change in uncollected payments, Federal sources .....                                 | 19          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                         | 21          | 22      | 22        |
| 1900 Budget authority (total) .....  | 520         | 505     | 486       |
| 1930 Total budgetary resources available .....   | 529         | 509     | 486       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                      | 4           |         |           |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                      | 695         | 642     | 624       |
| 3010 Obligations incurred, unexpired accounts .....  | 525         | 509     | 486       |
| 3011 Obligations incurred, expired accounts .....  | 30          |         |           |
| 3020 Outlays (gross) .....   | -541        | -527    | -755      |
| 3041 Recoveries of prior year unpaid obligations, expired .....                            | -67         |         |           |
| 3050 Unpaid obligations, end of year .....   | 642         | 624     | 355       |

EXTENSION ACTIVITIES—Continued  
Program and Financing—Continued

| Identification code 12-0502-0-1-352                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| Uncollected payments:                                   |   |             |         |           |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -127        | -100    | -100      |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....       | -19         |         |           |
| 3071  | Change in uncollected pymts, Fed sources, expired .....         | 46          |         |           |
| 3090  | Uncollected pymts, Fed sources, end of year .....               | -100        | -100    | -100      |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                          | 568         | 542     | 524       |
| 3200  | Obligated balance, end of year .....                            | 542         | 524     | 255       |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                                   | 496         | 500     | 481       |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....                  | 163         | 220     | 297       |
| 4011  | Outlays from discretionary balances .....                       | 351         | 285     | 434       |
| 4020  | Outlays, gross (total) .....                                    | 514         | 505     | 731       |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....   | -13         | -22     | -22       |
| 4033  | Non-Federal sources .....                                       | -1          |         |           |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -14         | -22     | -22       |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -19         |         |           |
| 4052  | Offsetting collections credited to expired accounts .....       | 12          |         |           |
| 4060  | Additional offsets against budget authority only (total) .....  | -7          |         |           |
| 4070  | Budget authority, net (discretionary) .....                     | 475         | 478     | 459       |
| 4080  | Outlays, net (discretionary) .....                              | 500         | 483     | 709       |
| Mandatory:  |   |             |         |           |
| 4090  | Budget authority, gross .....                                   | 24          | 5       | 5         |
| Outlays, gross:   |   |             |         |           |
| 4100  | Outlays from new mandatory authority .....                      | 1           | 3       | 3         |
| 4101  | Outlays from mandatory balances .....                           | 26          | 19      | 21        |
| 4110  | Outlays, gross (total) .....                                    | 27          | 22      | 24        |
| 4180  | Budget authority, net (total) .....                             | 499         | 483     | 464       |
| 4190  | Outlays, net (total) .....                                      | 527         | 505     | 733       |

The Cooperative Extension System, a national educational network, is a dynamic organization pledged to meeting the country's needs for research-based educational programs that will enable people to make practical decisions to improve their lives. To accomplish its mission, the Cooperative Extension System adjusts programs to meet the shifting needs and priorities of the people it serves.

The non-formal educational network combines the expertise and resources of Federal, State, and local partners. The partners in this unique System are: a) The National Institute of Food and Agriculture at the U.S. Department of Agriculture; b) Extension professionals at land-grant universities throughout the United States and its territories; and c) Extension professionals in nearly all of the Nation's 3,150 counties. Thousands of paraprofessionals and nearly 3 million volunteers support this partnership and magnify its impact. Strong linkages with both public and private external groups are also crucial to the Cooperative Extension System's strength and vitality.

Programs supported with Smith-Lever 3(b) and (c) legislated formula funds are the major educational efforts central to the mission of the System and common to most Extension units. These programs are the foundation of the Extension organization and partnership that are intended to increase the number of community-based projects, families, and individuals reached to disseminate research findings as widely and quickly as possible. The use of electronic mail, satellite transmission of courses, and computer-assisted instruction are encouraged to communicate ideas. The 2014 Budget proposes Smith-Lever 3(b) and (c) programs to be funded at \$294.0 million, which is the same as the 2012 enacted level.

Extension resources are provided to the States by these formula funds and competitively-awarded programs such as sustainable agriculture. Smith-Lever 3(b) and (c) provides payments to the 1890 colleges and Tuskegee University and West Virginia State University, funded at \$42.6 million in the 2014 Budget request, the same as the 2012 enacted level, and provides funds to support the Extension's infrastructure.

Designated programs funded by Smith-Lever 3(d) include the Expanded Food and Nutrition Education Program (EFNEP); New Technologies for Agricultural Extension; Children, Youth and Families at Risk; AgrAbility/Farm Safety (Farm Safety Program and Youth Farm Safety Education and Certification); and Federally-Recognized Tribes Extension Program. The 2014 Budget includes \$85.7 million for these programs. Other Extension programs supported in the 2014 Budget include Extension Services at 1994 Institutions at \$4.3 million, Renewable Resources Extension Act at \$4.1 million, and 1890 Facilities Grants at \$19.7 million.

**Federal administration.**—A coordinating and review staff assists in maintaining cooperation within and among the States, and between the States and their Federal partners. This staff also administers extension grants and payments to States. Federal administration is funded from direct appropriation for administration. The 2014 Budget proposes \$8.6 million in funding, which includes \$0.5 million for support of risk management education.

**Beginning Farmer and Rancher Development Program.**—This mandatory program, authorized by section 7410 of the Food, Conservation, and Energy Act of 2008 (FCEA), 2008 Farm Bill, provides funding to support the development of education, outreach, curricula, workshops, educational teams, training, and technical assistance programs to assist beginning farmers and ranchers in the United States and its territories in entering, building, and managing successful farm and ranch enterprises. This program also provides support for an online electronic and library clearinghouse to provide associated support to individually funded projects, and the overall program. Mandatory funding for the program expired at the end of September 2012.

## Object Classification (in millions of dollars)

| Identification code 12-0502-0-1-352 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                     | 11          | 11      | 11        |
| 11.5                                | Other personnel compensation .....            | 1           |         |           |
| 11.9                                | Total personnel compensation .....            | 12          | 11      | 11        |
| 12.1                                | Civilian personnel benefits .....             | 4           | 3       | 3         |
| 21.0                                | Travel and transportation of persons .....    | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources ..... | 2           | 2       | 2         |
| 25.4                                | Operation and maintenance of facilities ..... |             | 2       | 2         |
| 25.5                                | Research and development contracts .....      | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....    | 484         | 467     | 444       |
| 99.0                                | Direct obligations .....                      | 504         | 487     | 464       |
| 99.0                                | Reimbursable obligations .....                | 21          | 22      | 22        |
| 99.9                                | Total new obligations .....                   | 525         | 509     | 486       |

## Employment Summary

| Identification code 12-0502-0-1-352 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 155         | 157     | 154       |

## ANIMAL AND PLANT HEALTH INSPECTION SERVICE

### Federal Funds

#### SALARIES AND EXPENSES

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the Animal and Plant Health Inspection Service, including up to \$30,000 for representation allowances and for expenses pursuant to the Foreign Service Act of 1980 (22 U.S.C. 4085), \$797,601,000, of which \$1,507,000, to remain available until expended, shall be available for the control of outbreaks of insects, plant diseases, animal diseases and for control of pest animals and birds ("contingency fund") to the extent necessary to meet emergency conditions; of which \$8,944,000, to remain available until expended, shall be used for the cotton pests program for cost share purposes or for debt retirement for active eradication zones; of which \$37,891,000, to remain available until expended, shall be for Animal Health Technical Services; of which \$893,000 shall be for activities under the authority of the Horse Protection Act of 1970, as amended (15 U.S.C. 1831); of which \$49,840,000, to remain available until expended, shall be used to support avian health; of which \$4,335,000, to remain available until expended, shall be for information technology infrastructure; of which \$147,419,000, to remain available until expended, shall be for specialty crop pests; of which, \$8,877,000, to remain available until expended, shall be for field crop and rangeland ecosystem pests; of which \$48,290,000, to remain available until expended, shall be for tree and wood pests; of which \$3,723,000, to remain available until expended, shall be for the National Veterinary Stockpile; of which up to \$1,500,000, to remain available until expended, shall be for the scrapie program for indemnities; of which \$1,500,000, to remain available until expended, shall be for the wildlife damage management program for aviation safety: Provided, That, of amounts available under this heading for wildlife services methods development, \$1,000,000 shall remain available until expended: Provided further, That, of amounts available under this heading for the screwworm program, \$4,990,000 shall remain available until expended: Provided further, That no funds shall be used to formulate or administer a brucellosis eradication program for the current fiscal year that does not require minimum matching by the States of at least 40 percent: Provided further, That this appropriation shall be available for the operation and maintenance of aircraft and the purchase of not to exceed four, of which two shall be for replacement only: Provided further, That, in addition, in emergencies which threaten any segment of the agricultural production industry of this country, the Secretary may transfer from other appropriations or funds available to the agencies or corporations of the Department such sums as may be deemed necessary, to be available only in such emergencies for the arrest and eradication of contagious or infectious disease or pests of animals, poultry, or plants, and for expenses in accordance with sections 10411 and 10417 of the Animal Health Protection Act (7 U.S.C. 8310 and 8316) and sections 431 and 442 of the Plant Protection Act (7 U.S.C. 7751 and 7772), and any unexpended balances of funds transferred for such emergency purposes in the preceding fiscal year shall be merged with such transferred amounts: Provided further, That appropriations hereunder shall be available pursuant to law (7 U.S.C. 2250) for the repair and alteration of leased buildings and improvements, but unless otherwise provided the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

In fiscal year 2014, the agency is authorized to collect fees to cover the total costs of providing technical assistance, goods, or services requested by States, other political subdivisions, domestic and international organizations, foreign governments, or individuals, provided that such fees are structured such that any entity's liability for such fees is reasonably based on the technical assistance, goods, or services provided to the entity by the agency, and such fees shall be reimbursed to this account, to remain available until expended, without further appropriation, for providing such assistance, goods, or services.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12-1600-0-1-352                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                    |             |         |           |
| Receipts:  |             |         |           |
| 0200 1990 Food, Agricultural Quarantine Inspection Fees .....        | 548         | 558     | 566       |
| 0220 Fees, Animal and Plant Health Inspection User Fee Account ..... |             |         | 20        |
| 0299 Total receipts and collections .....                            | 548         | 558     | 586       |
| 0400 Total: Balances and collections .....                           | 548         | 558     | 586       |
| Appropriations:  |             |         |           |
| 0500 Salaries and Expenses .....                                     | -548        | -558    | -566      |
| 0799 Balance, end of year .....                                      |             |         | 20        |

### Program and Financing (in millions of dollars)

| Identification code 12-1600-0-1-352                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Animal Health .....   | 290         | 296     | 283       |
| 0002 Plant Health .....  | 349         | 324     | 293       |
| 0003 Wildlife Services .....                                       | 87          | 91      | 104       |
| 0004 Regulatory Management .....                                   | 35          | 35      | 35        |
| 0005 Emergency Management .....                                    | 18          | 19      | 19        |
| 0006 Safe Trade and International Technical Assistance .....       | 33          | 34      | 34        |
| 0007 Animal Welfare .....  | 28          | 28      | 29        |
| 0008 Agency Management .....                                       | 10          | 10      | 10        |
| 0010 Emergency Program Funding .....                               | 25          | 11      |           |
| 0011 Agricultural Quarantine Inspection User Fees .....            | 188         | 193     | 193       |
| 0012 H1N1 Transfer From HHS .....                                  | 5           | 4       | 4         |
| 0013 2008 Farm Bill, Sections 10201 and 10202 .....                | 52          | 50      | 50        |
| 0100 Total direct program .....                                    | 1,120       | 1,095   | 1,054     |
| 0799 Total direct obligations .....                                | 1,120       | 1,095   | 1,054     |
| 0801 Reimbursable program .....                                    | 157         | 160     | 160       |
| 0900 Total new obligations .....                                   | 1,277       | 1,255   | 1,214     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 280         | 308     | 287       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 185         | 201     |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 22          |         |           |
| 1050 Unobligated balance (total) .....                             | 302         | 308     | 287       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 817         | 822     | 798       |
| 1120 Appropriations transferred to other accts [12-4609] .....     | -3          |         |           |
| 1121 Appropriations transferred from other accts [12-4336] .....   | 21          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 835         | 822     | 798       |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (AQI User Fees) .....                           | 548         | 558     | 566       |
| 1220 Appropriations transferred to other accts [70-0530] .....     | -349        | -350    | -355      |
| 1221 Appropriations transferred from other accts [12-4336] .....   | 55          | 50      | 50        |
| 1260 Appropriations, mandatory (total) .....                       | 254         | 258     | 261       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 131         | 154     | 154       |
| 1701 Change in uncollected payments, Federal sources .....         | 74          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 205         | 154     | 154       |
| 1900 Budget authority (total) .....                                | 1,294       | 1,234   | 1,213     |
| 1930 Total budgetary resources available .....                     | 1,596       | 1,542   | 1,500     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -11         |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 308         | 287     | 286       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 413         | 418     | 230       |
| 3010 Obligations incurred, unexpired accounts .....                | 1,277       | 1,255   | 1,214     |
| 3011 Obligations incurred, expired accounts .....                  | 18          |         |           |
| 3020 Outlays (gross) .....   | -1,252      | -1,443  | -1,240    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -22         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -16         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 418         | 230     | 204       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -75         | -140    | -140      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -74         |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 9           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -140        | -140    | -140      |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 12–1600–0–1–352                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                          | 338         | 278     | 90        |
| 3200  | Obligated balance, end of year .....                            | 278         | 90      | 64        |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                                   | 1,040       | 976     | 952       |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....                  | 722         | 853     | 833       |
| 4011  | Outlays from discretionary balances .....                       | 300         | 311     | 146       |
| 4020  | Outlays, gross (total) .....                                    | 1,022       | 1,164   | 979       |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....   | –26         | –32     | –32       |
| 4033  | Non-Federal sources .....                                       | –111        | –122    | –122      |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –137        | –154    | –154      |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | –74         |         |           |
| 4052  | Offsetting collections credited to expired accounts .....       | 6           |         |           |
| 4060  | Additional offsets against budget authority only (total) .....  | –68         |         |           |
| 4070  | Budget authority, net (discretionary) .....                     | 835         | 822     | 798       |
| 4080  | Outlays, net (discretionary) .....                              | 885         | 1,010   | 825       |
| Mandatory:  |   |             |         |           |
| 4090  | Budget authority, gross .....                                   | 254         | 258     | 261       |
| Outlays, gross:   |   |             |         |           |
| 4100  | Outlays from new mandatory authority .....                      | 168         | 216     | 218       |
| 4101  | Outlays from mandatory balances .....                           | 62          | 63      | 43        |
| 4110  | Outlays, gross (total) .....                                    | 230         | 279     | 261       |
| 4180  | Budget authority, net (total) .....                             | 1,089       | 1,080   | 1,059     |
| 4190  | Outlays, net (total) .....                                      | 1,115       | 1,289   | 1,086     |

The Secretary of Agriculture established the Animal and Plant Health Inspection Service (APHIS) on April 2, 1972, under the authority of Reorganization Plan No. 2 of 1953 and other authorities. The mission of the Agency is to protect the health and value of American agriculture and natural resources and is carried out using three major areas of activity, as follows:

**Safeguarding and Emergency Preparedness / Response.**—APHIS monitors plant and animal health throughout the world and uses the information to set effective agricultural import policies to prevent the introduction of foreign plant and animal pests and diseases. Should a pest or disease enter the United States, APHIS works cooperatively with other Federal, State, and industry partners to conduct plant and animal health monitoring programs to rapidly diagnose them and determine if there is a need to establish new pest or disease management programs. APHIS, in conjunction with States, industry, and other stakeholders, protects American agriculture by eradicating harmful pests and diseases or, where eradication is not feasible, by minimizing their economic impact. APHIS makes judicious use of resources by identifying instances when neither eradication nor management may be possible. The Agency monitors endemic pests and diseases through surveys to detect their locations and works with States and other programs to enact regulatory controls and conduct outreach to prevent the spread of pests and diseases into non-infested parts of the country. The Agency maintains a cadre of trained professionals prepared to respond immediately to potential animal and plant health emergencies. Program personnel investigate reports of suspected exotic pests and diseases and take emergency action if necessary. Through its Wildlife Services program, APHIS protects agriculture from damage caused by animal predators through identification, demonstration, and application of the most appropriate methods of control. The Agency's regulations also allow the benefits of genetic research to safely enter the marketplace, while protecting against the re-

lease of potentially harmful organisms into the environment. APHIS also conducts diagnostic laboratory activities that support the Agency's veterinary disease and plant pest prevention, detection, control, and eradication programs. The Agency also provides and directs technology development to support plant and animal protection programs of the Agency and its cooperators at the State, national, and international levels.

**Safe Trade and International Technical Assistance.**—Sanitary (animal) and phytosanitary (plant) (SPS) regulations can have a significant impact on market access for the United States as an exporter of agricultural products. APHIS plays a central role in resolving technical trade issues to ensure the smooth and safe movement of agricultural commodities into and out of the United States. APHIS helps to protect the United States from emerging plant and animal pests and diseases while meeting obligations under the World Trade Organization's SPS agreement by assisting developing countries in improving their safeguarding systems. APHIS develops and implements programs designed to identify and reduce agricultural pest and disease threats while still outside of U.S. borders, to enhance safe agricultural trade, and to strengthen emergency response preparedness.

**Animal Welfare.**—The Agency conducts regulatory activities to ensure the humane care and treatment of animals, including horses, as required by the Animal Welfare Act of 1966 as amended (7 U.S.C. 2131–2159), and the Horse Protection Act of 1970 as amended (15 U.S.C. 1821–1831). These activities include inspection of certain establishments that handle animals intended for research, exhibition, and sale as pets, and monitoring of certain horse shows.

APHIS' 2014 budget request of \$798 million is an overall reduction of \$24 million from the 2013 estimate. The budget request includes funding to continue implementation of the refocused Animal Disease Traceability program that will enable us to detect animal disease faster, minimize disease spread, and assist in keeping global trade markets open to U.S. animals and animal products. The Agency is also requesting funding to enforce the Animal Welfare retail pet store rule, which closes a loophole of pets being sold over the Internet, phone, and by mail, that are currently exempt from the regulatory process. The requested funding levels will help support these important regulatory efforts.

APHIS also is requesting \$20 million to implement a national control program for feral swine. Feral swine are a harmful and destructive invasive species whose increase in population and expanding range is having significant impact on animal and human health; crops and livestock; rural, suburban and even urban areas; and, natural resources and native resources, causing an estimated \$1.5 billion in damages annually. The overall objective of the program will be to minimize the damage inflicted by feral swine. The Agency proposed an increase to support the efforts, while proposing reductions in other areas. These reductions include programs where we have achieved success, such as nearing eradication for the cotton pests pink bollworm and boll weevil, and the Agency's enhanced ability to prepare for, detect, and respond to avian health issues. Other reductions are for programs which we have determined as lower priority, where the Federal role could be smaller, and where cooperators who benefit directly from activities should increase their contributions. The budget request also includes decreases for programs where activities are concluding or progress is slower than anticipated.

**Object Classification** (in millions of dollars)

| Identification code 12–1600–0–1–352                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                            |             |         |           |
| <b>Personnel compensation:</b>                        |             |         |           |
| 11.1 Full-time permanent .....                        | 428         | 432     | 435       |
| 11.3 Other than full-time permanent .....             | 5           | 5       | 5         |
| 11.5 Other personnel compensation .....               | 3           | 3       | 3         |
| 11.9 Total personnel compensation .....               | 436         | 440     | 443       |
| 12.1 Civilian personnel benefits .....                | 141         | 142     | 146       |
| 13.0 Benefits for former personnel .....              | 7           | 4       | 5         |
| 21.0 Travel and transportation of persons .....       | 23          | 25      | 26        |
| 22.0 Transportation of things .....                   | 2           | 2       | 2         |
| 23.1 Rent, Communications, and Utilities .....        | 35          | 35      | 35        |
| 24.0 Printing and reproduction .....                  | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....    | 395         | 368     | 319       |
| 26.0 Supplies and materials .....                     | 52          | 50      | 50        |
| 31.0 Equipment .....                                  | 21          | 22      | 21        |
| 32.0 Land and structures .....                        | .....       | 1       | 1         |
| 41.0 Other grants, subsidies, and contributions ..... | 4           | 4       | 4         |
| 42.0 Other insurance claims and indemnities .....     | 3           | 1       | 1         |
| 99.0 Direct obligations .....                         | 1,120       | 1,095   | 1,054     |
| 99.0 Reimbursable obligations .....                   | 157         | 160     | 160       |
| 99.9 Total new obligations .....                      | 1,277       | 1,255   | 1,214     |

**Employment Summary**

| Identification code 12–1600–0–1–352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 6,023       | 6,020   | 6,010     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,280       | 1,550   | 1,550     |

**BUILDINGS AND FACILITIES**

*For plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, and purchase of fixed equipment or facilities, as authorized by 7 U.S.C. 2250, and acquisition of land as authorized by 7 U.S.C. 428a, \$3,175,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12–1601–0–1–352                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Buildings and facilities .....                   | 4           | 3       | 3         |
| 0900 Total new obligations (object class 25.2) .....  | 4           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | .....   | .....     |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 3           | 3       | 3         |
| 1160 Appropriation, discretionary (total) .....       | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 4           | 3       | 3         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 8           | 5       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 4           | 3       | 3         |
| 3020 Outlays (gross) .....                            | –7          | –6      | –5        |
| 3050 Unpaid obligations, end of year .....            | 5           | 2       | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 8           | 5       | 2         |
| 3200 Obligated balance, end of year .....             | 5           | 2       | .....     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 3           | 3       | 3         |

## Outlays, gross:

|   |   |   |   |
|---|---|---|---|
| 4010 Outlays from new discretionary authority ..... | 1 | 1 | 1 |
| 4011 Outlays from discretionary balances .....      | 7 | 5 | 4 |
| 4020 Outlays, gross (total) .....                   | 7 | 6 | 5 |
| 4180 Budget authority, net (total) .....            | 3 | 3 | 3 |
| 4190 Outlays, net (total) .....                     | 7 | 6 | 5 |

The buildings and facilities account provides for plans, construction, repair, preventive maintenance, environmental support, improvement, extension, alteration, purchase of fixed equipment or facilities, and acquisition of land, as needed, for Animal and Plant Health Inspection Service (APHIS) operated facilities, which include animal quarantine stations, border inspection stations, sterile insect rearing facilities, and laboratories.

For these activities, the 2014 Budget proposes \$3.175 million which includes funding to address safety issues with several facilities.

**Trust Funds****MISCELLANEOUS TRUST FUNDS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 12–9971–0–7–352                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                             | .....       | .....   | .....     |
| Receipts:   |             |         |           |
| 0220 Deposits of Miscellaneous Contributed Funds, APHIS ..... | 10          | 9       | 9         |
| 0400 Total: Balances and collections .....                    | 10          | 9       | 9         |
| Appropriations:   |             |         |           |
| 0500 Miscellaneous Trust Funds .....                          | –10         | –9      | –9        |
| 0799 Balance, end of year .....                               | .....       | .....   | .....     |

**Program and Financing** (in millions of dollars)

| Identification code 12–9971–0–7–352                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Miscellaneous trust funds .....                  | 12          | 12      | 9         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 13          | 11      | 8         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 10          | 9       | 9         |
| 1260 Appropriations, mandatory (total) .....          | 10          | 9       | 9         |
| 1930 Total budgetary resources available .....        | 23          | 20      | 17        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 11          | 8       | 8         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 2       | 3         |
| 3010 Obligations incurred, unexpired accounts .....   | 12          | 12      | 9         |
| 3020 Outlays (gross) .....                            | –11         | –11     | –9        |
| 3050 Unpaid obligations, end of year .....            | 2           | 3       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 2       | 3         |
| 3200 Obligated balance, end of year .....             | 2           | 3       | 3         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 10          | 9       | 9         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 8           | 8       | 8         |
| 4101 Outlays from mandatory balances .....            | 3           | 3       | 1         |
| 4110 Outlays, gross (total) .....                     | 11          | 11      | 9         |
| 4180 Budget authority, net (total) .....              | 10          | 9       | 9         |
| 4190 Outlays, net (total) .....                       | 11          | 11      | 9         |

## MISCELLANEOUS TRUST FUNDS—Continued

The following services are financed by fees and miscellaneous contributions advanced by importers, manufacturers, States, organizations, individuals, and others:

*Miscellaneous contributed funds.*—Funds are received from States, local organizations, individuals, and others and are available for plant and animal quarantine inspection and cooperative plant and animal disease and pest control activities (7 U.S.C. 450b, 2220).

## Object Classification (in millions of dollars)

| Identification code 12–9971–0–7–352                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 6           | 6       | 5         |
| 12.1 Civilian personnel benefits .....                 | 2           | 1       | 1         |
| 21.0 Travel and transportation of persons .....        | 2           | 2       | 1         |
| 25.2 Other services from non-Federal sources .....     | 1           | 2       | 1         |
| 26.0 Supplies and materials .....                      | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 12          | 12      | 9         |

## Employment Summary

| Identification code 12–9971–0–7–352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 150         | 150     | 150       |

## FOOD SAFETY AND INSPECTION SERVICE

## Federal Funds

## FOOD SAFETY AND INSPECTION SERVICE

*For necessary expenses to carry out services authorized by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act, including not to exceed \$50,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), \$1,008,473,000; and in addition, \$1,000,000 may be credited to this account from fees collected for the cost of laboratory accreditation as authorized by section 1327 of the Food, Agriculture, Conservation and Trade Act of 1990 (7 U.S.C. 138f): Provided, That funds provided for the Public Health Data Communication Infrastructure system shall remain available until expended: Provided further, That no fewer than 148 full-time equivalent positions shall be employed during fiscal year 2014 for purposes dedicated solely to inspections and enforcement related to the Humane Methods of Slaughter Act: Provided further, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12–3700–0–1–554                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                        |             |         |           |
| Receipts:  |             |         |           |
| 0220 Fees, Food Safety Inspection User Fee Account ..... |             |         | 4         |
| 0400 Total: Balances and collections .....               |             |         | 4         |
| 0799 Balance, end of year .....                          |             |         | 4         |

## Program and Financing (in millions of dollars)

| Identification code 12–3700–0–1–554 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0001 Salaries and expenses .....    | 1,004       | 1,011   | 1,008     |

|                                  |       |       |       |
|----------------------------------|-------|-------|-------|
| 0801 Reimbursable program .....  | 156   | 153   | 153   |
| 0900 Total new obligations ..... | 1,160 | 1,164 | 1,161 |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 7     | 22    | 22    |
| 1021 Recoveries of prior year unpaid obligations .....             | 1     |       |       |
| 1050 Unobligated balance (total) .....                             | 8     | 22    | 22    |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 1,004 | 1,011 | 1,008 |
| 1120 Appropriations transferred to other accts [12–3700] .....     | –104  |       |       |
| 1121 Appropriations transferred from other accts [12–3700] .....   | 104   |       |       |
| 1160 Appropriation, discretionary (total) .....                    | 1,004 | 1,011 | 1,008 |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | 167   | 153   | 153   |
| 1701 Change in uncollected payments, Federal sources .....         | 4     |       |       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 171   | 153   | 153   |
| 1900 Budget authority (total) .....                                | 1,175 | 1,164 | 1,161 |
| 1930 Total budgetary resources available .....                     | 1,183 | 1,186 | 1,183 |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1940 Unobligated balance expiring .....                            | –1    |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 22    | 22    | 22    |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 185    | 183    | 184    |
| 3010 Obligations incurred, unexpired accounts .....               | 1,160  | 1,164  | 1,161  |
| 3011 Obligations incurred, expired accounts .....                 | 2      |        |        |
| 3020 Outlays (gross) .....  | –1,154 | –1,163 | –1,162 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1     |        |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –9     |        |        |
| 3050 Unpaid obligations, end of year .....                        | 183    | 184    | 183    |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –28    | –31    | –31    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –4     |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1      |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –31    | –31    | –31    |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 157    | 152    | 153    |
| 3200 Obligated balance, end of year .....                         | 152    | 153    | 152    |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:  |       |       |       |
| 4000 Budget authority, gross .....                                    | 1,175 | 1,164 | 1,161 |
| Outlays, gross:   |       |       |       |
| 4010 Outlays from new discretionary authority .....                   | 1,006 | 993   | 991   |
| 4011 Outlays from discretionary balances .....                        | 148   | 170   | 171   |
| 4020 Outlays, gross (total) .....                                     | 1,154 | 1,163 | 1,162 |
| Offsets against gross budget authority and outlays:                   |       |       |       |
| Offsetting collections (collected) from:                              |       |       |       |
| 4030 Federal sources .....  | –2    | –1    | –1    |
| 4033 Non-Federal sources .....  | –166  | –152  | –152  |
| 4040 Offsets against gross budget authority and outlays (total) ..... | –168  | –153  | –153  |
| Additional offsets against gross budget authority only:               |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....        | –4    |       |       |
| 4052 Offsetting collections credited to expired accounts .....        | 1     |       |       |
| 4060 Additional offsets against budget authority only (total) .....   | –3    |       |       |
| 4070 Budget authority, net (discretionary) .....                      | 1,004 | 1,011 | 1,008 |
| 4080 Outlays, net (discretionary) .....                               | 986   | 1,010 | 1,009 |
| 4180 Budget authority, net (total) .....                              | 1,004 | 1,011 | 1,008 |
| 4190 Outlays, net (total) .....                                       | 986   | 1,010 | 1,009 |

The primary objective of the Food Safety and Inspection Service (FSIS) is to ensure that meat, poultry, and egg products are wholesome, unadulterated, and properly labeled and packaged, as required by the Federal Meat Inspection Act, the Poultry Products Inspection Act, and the Egg Products Inspection Act. In carrying out this mission, FSIS oversight responsibility covers a significant percentage of American spending on food. Providing adequate resources for Federal food safety agencies is a priority of the Administration. The 2014 Budget proposes \$1,008.5 million for inspection of meat, poultry and egg products, which is a \$2.1 million decrease from the annualized 2013 Continuing Resolution level. The proposed budget contains an increase for sufficient

funding to implement the Cooperative Interstate Shipment program. There is a decrease for implementation of new methods in poultry slaughter inspection. In addition, the budget proposes a performance-based user fee, which will be charged to plants that have sample failures or require additional inspection activities due to regulatory non-compliance.

**FEDERALLY FUNDED INSPECTION ACTIVITIES**

|   | 2012 actual | 2013 est.  | 2014 est.  |
|---|-------------|------------|------------|
| Federally inspected establishments:   |             |            |            |
| Slaughter Plants .....  | 13          | 13         | 13         |
| Processing Plants .....   | 3,976       | 4,016      | 4,016      |
| Combination slaughter and processing plants .....                           | 1,065       | 1,076      | 1,076      |
| Talmadge-Aiken plants .....   | 343         | 346        | 346        |
| Import Establishments .....   | 117         | 118        | 118        |
| Egg plants .....  | 83          | 84         | 84         |
| Other plants .....  | 666         | 673        | 673        |
| Federally inspected and passed production (millions of pounds):             |             |            |            |
| Meat Slaughter .....  | 48,557      | 49,043     | 49,533     |
| Poultry Slaughter .....   | 56,383      | 56,947     | 57,516     |
| Egg products .....  | 4,052       | 4,093      | 4,134      |
| Import/export activity (millions of pounds):                                |             |            |            |
| Meat and poultry imported .....   | 3,074       | 3,200      | 3,400      |
| Meat and poultry exported .....   | 16,855      | 16,500     | 16,750     |
| Intrastate inspection <sup>1</sup> .....                                    |             |            |            |
| Intrastate inspection .....   | 27          | 27         | 27         |
| Talmadge-Aiken inspection .....   | 9           | 9          | 9          |
| Number of slaughter and/or processing plants (excludes exempt plants) ..... | 1,700       | 1,720      | 1,720      |
| Compliance activities:  |             |            |            |
| Investigations and surveillance activities .....                            | 11,505      | 12,500     | 12,750     |
| Enforcement actions completed .....   | 1,254       | 1,265      | 1,275      |
| Product Testing (samples analyzed):   |             |            |            |
| Food Chemistry .....  | 1,304       | 1,304      | 1,304      |
| Food Microbiology .....   | 110,164     | 110,164    | 110,164    |
| Chemical Residues .....   | 23,739      | 23,739     | 23,739     |
| Antibiotic Residues .....   | 202,200     | 202,200    | 202,200    |
| Pathology Samples .....   | 5,282       | 5,282      | 5,282      |
| Egg Products:   |             |            |            |
| Food microbiology .....   | 1,563       | 1,563      | 1,563      |
| Consumer Education and public outreach:                                     |             |            |            |
| Meat and poultry hotline calls received .....                               | 74,437      | 67,839     | 71,231     |
| Website visits .....  | 14,594,233  | 16,500,000 | 18,500,000 |
| Electronic messages received .....  | 8,331       | 4,427      | 4,468      |
| Publications distributed .....  | 819,609     | 842,877    | 844,884    |
| E-mail alert service subscribers .....                                      | 112,287     | 120,000    | 140,000    |
| Epidemiological Investigations:   |             |            |            |
| Cooperative efforts with State and public health offices .....              | 31          | 31         | 31         |
| Illnesses reported and treated <sup>2</sup> .....                           | 1,520       | 1,520      | 1,520      |

<sup>1</sup>States with cooperative agreements which are operating programs.

<sup>2</sup>Data must be collected over a number of years to chart national trends and estimate the incidence of foodborne illness and treatment.

**Object Classification (in millions of dollars)**

| Identification code 12-3700-0-1-554                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 552         | 542     | 528       |
| 11.3 Other than full-time permanent .....                       | 11          | 12      | 12        |
| 11.5 Other personnel compensation .....                         | 25          | 39      | 39        |
| 11.9 Total personnel compensation .....                         | 588         | 593     | 579       |
| 12.1 Civilian personnel benefits .....                          | 211         | 213     | 208       |
| 13.0 Benefits for former personnel .....                        | 1           | 1       | 9         |
| 21.0 Travel and transportation of persons .....                 | 38          | 40      | 46        |
| 22.0 Transportation of things .....                             | 4           | 3       | 4         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 12          | 13      | 13        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 50          | 46      | 46        |
| 25.3 Other goods and services from Federal sources .....        | 21          | 21      | 21        |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 2       | 2         |
| 26.0 Supplies and materials .....                               | 12          | 12      | 12        |
| 31.0 Equipment .....  | 9           | 10      | 10        |
| 32.0 Land and structures .....                                  | 1           | .....   | .....     |
| 41.0 Grants, subsidies, and contributions .....                 | 48          | 51      | 52        |
| 42.0 Insurance claims and indemnities .....                     | 2           | .....   | .....     |
| 99.0 Direct obligations .....                                   | 1,004       | 1,011   | 1,008     |
| 99.0 Reimbursable obligations .....                             | 155         | 153     | 153       |

|      |                                 |       |       |       |
|------|---------------------------------|-------|-------|-------|
| 99.5 | Below reporting threshold ..... | 1     | ..... | ..... |
| 99.9 | Total new obligations .....     | 1,160 | 1,164 | 1,161 |

**Employment Summary**

| Identification code 12-3700-0-1-554                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 9,351       | 9,360   | 9,122     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 29          | 27      | 27        |

**Trust Funds****EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS****Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 12-8137-0-7-352   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | .....       | .....   | .....     |
| Receipts:   |             |         |           |
| 0220 Deposits of Fees, Inspection and Grading of Farm Products, Food Safety and Quality Service ..... | 11          | 10      | 10        |
| 0400 Total: Balances and collections .....  | 11          | 10      | 10        |
| Appropriations:   |             |         |           |
| 0500 Expenses and Refunds, Inspection and Grading of Farm Products .....                              | -11         | -10     | -10       |
| 0799 Balance, end of year .....   | .....       | .....   | .....     |

**Program and Financing (in millions of dollars)**

| Identification code 12-8137-0-7-352                                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:   |             |         |           |
| 0001 Expenses and refunds, inspection and grading of farm products ..... | 10          | 10      | 10        |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                    | 2           | 3       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                         | 11          | 10      | 10        |
| 1260 Appropriations, mandatory (total) .....                             | 11          | 10      | 10        |
| 1900 Budget authority (total) .....                                      | 11          | 10      | 10        |
| 1930 Total budgetary resources available .....                           | 13          | 13      | 13        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                    | 3           | 3       | 3         |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1   | 1   | 1   |
| 3010 Obligations incurred, unexpired accounts .....   | 10  | 10  | 10  |
| 3020 Outlays (gross) .....                            | -10 | -10 | -10 |
| 3050 Unpaid obligations, end of year .....            | 1   | 1   | 1   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 1   | 1   | 1   |
| 3200 Obligated balance, end of year .....             | 1   | 1   | 1   |

**Budget authority and outlays, net:**

|   |    |       |       |
|---|----|-------|-------|
| Mandatory:                                      |    |       |       |
| 4090 Budget authority, gross .....              | 11 | 10    | 10    |
| Outlays, gross:                                 |    |       |       |
| 4100 Outlays from new mandatory authority ..... | 6  | 10    | 10    |
| 4101 Outlays from mandatory balances .....      | 4  | ..... | ..... |
| 4110 Outlays, gross (total) .....               | 10 | 10    | 10    |
| 4180 Budget authority, net (total) .....        | 11 | 10    | 10    |
| 4190 Outlays, net (total) .....                 | 10 | 10    | 10    |

Under authority of the Agricultural Marketing Act of 1946, Federal meat and poultry inspection services are provided upon request and for a fee in cases where inspection is not mandated by statute. This service includes: certifying products for export beyond the requirements of export certificates; inspecting certain animals and poultry intended for human food where inspection

EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM  
PRODUCTS—Continued

is not required by statute, such as buffalo, rabbit, and quail; and inspecting products intended for animal consumption.

## Object Classification (in millions of dollars)

| Identification code 12–8137–0–7–352     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                     |             |         |           |
| Personnel compensation:                 |             |         |           |
| 11.1 Full-time permanent .....          | 5           | 5       | 5         |
| 11.5 Other personnel compensation ..... | 3           | 3       | 3         |
| 11.9 Total personnel compensation ..... | 8           | 8       | 8         |
| 12.1 Civilian personnel benefits .....  | 2           | 2       | 2         |
| 99.9 Total new obligations .....        | 10          | 10      | 10        |

## Employment Summary

| Identification code 12–8137–0–7–352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 80          | 81      | 81        |

GRAIN INSPECTION, PACKERS AND STOCKYARDS  
ADMINISTRATION

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of the Grain Inspection, Packers and Stockyards Administration, \$40,531,000: Provided, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12–2400–0–1–352  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Fees, Grain Inspection, Packers and Stockyards User Fee Account ..... |             |         | 27        |
| 0400 Total: Balances and collections .....                                 |             |         | 27        |
| 0799 Balance, end of year .....  |             |         | 27        |

## Program and Financing (in millions of dollars)

| Identification code 12–2400–0–1–352                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                   |             |         |           |
| 0001 Packers and stockyards program .....                          | 21          | 21      | 23        |
| 0002 Grain regulatory program .....                                | 16          | 17      | 18        |
| 0900 Total new obligations .....                                   | 37          | 38      | 41        |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             |         | 3         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 38          | 38      | 41        |
| 1160 Appropriation, discretionary (total) .....                    | 38          | 38      | 41        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 3       | 3         |
| 1750 Spending auth from offsetting collections, disc (total) ..... |             | 3       | 3         |
| 1900 Budget authority (total) .....                                | 38          | 41      | 44        |
| 1930 Total budgetary resources available .....                     | 38          | 41      | 47        |

|   |    |   |   |
|---|----|---|---|
| Memorandum (non-add) entries:                         |    |   |   |
| 1940 Unobligated balance expiring .....               | –1 |   |   |
| 1941 Unexpired unobligated balance, end of year ..... |    | 3 | 6 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 6   | 5   | 2   |
| 3010 Obligations incurred, unexpired accounts .....             | 37  | 38  | 41  |
| 3020 Outlays (gross) .....                                      | –37 | –41 | –44 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 5   | 2   | –1  |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 6   | 5   | 2   |
| 3200 Obligated balance, end of year .....                       | 5   | 2   | –1  |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 38 | 41 | 44 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 32 | 34 | 37 |
| 4011 Outlays from discretionary balances .....      | 5  | 7  | 7  |
| 4020 Outlays, gross (total) .....                   | 37 | 41 | 44 |
| Offsets against gross budget authority and outlays: |    |    |    |
| Offsetting collections (collected) from:            |    |    |    |
| 4030 Federal sources .....                          |    | –3 | –3 |
| 4180 Budget authority, net (total) .....            | 38 | 38 | 41 |
| 4190 Outlays, net (total) .....                     | 37 | 38 | 41 |

The Grain Inspection, Packers and Stockyards Administration's (GIPSA) Grain Regulatory Program promotes and enforces the accurate and uniform application of the United States Grain Standards Act (USGSA) and applicable provisions of the Agricultural Marketing Act of 1946. GIPSA identifies, evaluates, and implements new or improved techniques for measuring grain quality. GIPSA also establishes and updates testing and grading standards to facilitate the marketing of U.S. grain, oilseeds, and related products. GIPSA briefs foreign buyers, assesses foreign inspection and weighing techniques, and responds to foreign quality and quantity complaints.

GIPSA's Packers and Stockyards Program (P&SP) promotes fair business practices, financial integrity, and competitive environments to market livestock, meat, and poultry. Through its oversight activities, including monitoring programs, reviews, and investigations, P&SP fosters fair competition, provides payment protection, and guards against deceptive and fraudulent trade practices that affect the movement and price of meat animals and their products. P&SP's work protects consumers and members of the livestock, meat, and poultry industries. P&SP enforces the Packers and Stockyards (P&S) Act, which prohibits unfair, deceptive, and unjust discriminatory practices by market agencies, dealers, stockyards, packers, swine contractors, and live poultry dealers in the livestock, meat packing, and poultry industries. The P&S Act provides an important safety net for livestock producers and poultry growers in rural America. P&SP conducts routine and ongoing regulatory inspections and audits to assess whether subject entities are operating in compliance with the Act, and conducts investigations of potential P&S Act violations identified by either industry complaints or previous GIPSA regulatory inspections. The 2014 Budget requests \$40.5 million, an increase of \$2.55 million above the annualized 2013 Continuing Resolution level to purchase necessary equipment, including scientific equipment, supplies, and other support expenses.

## MAIN WORKLOAD FACTORS

| Grain Regulatory Program:   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| U.S. standards and factors (attribute tests) in effect at end of year ..... | 129         | 129       | 129       |
| Standards reviews and factors in progress .....                             | 4           | 7         | 6         |
| Standards reviews and factors completed .....                               | 2           | 4         | 3         |
| On-site investigations .....  | 6           | 6         | 6         |
| Designations renewed .....  | 20          | 17        | 16        |
| Registration certificates issued .....                                      | 130         | 135       | 135       |
| Packers and Stockyards Program:   |             |           |           |
| Investigations .....  | 3280        | 3800      | 4000      |



|  |      |      |      |
|--|------|------|------|
| Regulatory Activities .....  | 2218 | 2800 | 3000 |
| Livestock market agencies/dealers registered .....                           | 5853 | 5900 | 6000 |
| Stockyards posted .....  | 1238 | 1200 | 1150 |
| Slaughtering and processing packers subject to the Act (estimated) ...       | 4400 | 4350 | 4300 |
| Meat distributors, brokers, and dealers subject to the Act (estimated) ..... | 2759 | 2700 | 2600 |
| Poultry operations subject to the Act .....                                  | 133  | 130  | 130  |

**Object Classification** (in millions of dollars)

| Identification code 12-2400-0-1-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 22          | 23      | 24        |
| 12.1 Civilian personnel benefits .....                          | 6           | 6       | 7         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 3           | 2       | 3         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  |             | 1       | 1         |
| 99.0 Direct obligations .....                                   | 34          | 35      | 38        |
| 99.0 Reimbursable obligations .....                             | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 37          | 38      | 41        |

**Employment Summary**

| Identification code 12-2400-0-1-352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 295         | 291     | 285       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 8           | 8       | 8         |

**LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES**

*Not to exceed \$50,000,000 (from fees collected) shall be obligated during the current fiscal year for inspection and weighing services: Provided, That if grain export activities require additional supervision and oversight, or other uncontrollable factors occur, this limitation may be exceeded by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12-4050-0-3-352                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Limitation on inspection and weighing services .....          | 54          | 50      | 50        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 18          | 14      | 16        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 48          | 52      | 50        |
| 1801 Change in uncollected payments, Federal sources .....         | 2           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 50          | 52      | 50        |
| 1930 Total budgetary resources available .....                     | 68          | 66      | 66        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 14          | 16      | 16        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 4           | 7       | 1         |
| 3010 Obligations incurred, unexpired accounts .....                | 54          | 50      | 50        |
| 3020 Outlays (gross) .....   | -51         | -56     | -50       |
| 3050 Unpaid obligations, end of year .....                         | 7           | 1       | 1         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -5          | -7      | -7        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -2          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -7          | -7      | -7        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | -1          |         | -6        |
| 3200 Obligated balance, end of year .....                          |             | -6      | -6        |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                                   | 50  | 52  | 50  |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                      | 48  | 50  | 50  |
| 4101 Outlays from mandatory balances .....                           | 3   | 6   |     |
| 4110 Outlays, gross (total) .....                                    | 51  | 56  | 50  |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4120 Federal sources .....   | -9  | -2  |     |
| 4123 Non-Federal sources .....                                       | -39 | -50 | -50 |
| 4130 Offsets against gross budget authority and outlays (total) .... | -48 | -52 | -50 |
| Additional offsets against gross budget authority only:              |     |     |     |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | -2  |     |     |
| 4170 Outlays, net (mandatory) .....                                  | 3   | 4   |     |
| 4190 Outlays, net (total) .....                                      | 3   | 4   |     |

The Grain Inspection, Packers and Stockyards Administration (GIPSA) provides a uniform system for the inspection and weighing of grain. Services provided under this system are financed through a fee-supported revolving fund. Fee-supported programs include direct services, supervision activities and administrative functions. Direct services include official grain inspection and weighing by GIPSA employees at certain export ports as well as the inspection of U.S. grain shipped through Canada. GIPSA supervises the inspection and weighing activities performed by its own employees. FGIS supervises 55 official private and state agencies: 43 official private agencies and seven official state agencies that are designated to provide official inspection and/or weighing services in domestic markets; four official state agencies that are delegated to provide mandatory official export inspection and weighing services and designated to provide official domestic inspection and weighing services within the state; and one official state agency that is delegated to provide mandatory official export inspection and weighing services within the state. GIPSA provides an appeal service of original grain inspections and a registration system for the grain exporting firms. Through support from the Association of American Railroads and user fees, GIPSA conducts a railroad track scale testing program. In addition, GIPSA provides grading services, on request, for rice and grain related products under the authority of the Agricultural Marketing Act of 1946.

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Export grain inspected and/or weighed (million metric tons):                                |             |           |           |
| By Federal personnel .....  | 63.9        | 59.4      | 74.3      |
| By delegated states/official agencies .....   | 41          | 40.6      | 41.6      |
| Quantity of grain inspected (official inspections) domestically (million metric tons) ..... | 175.1       | 185.3     | 213.7     |
| Number of official grain inspections and reinspections:                                     |             |           |           |
| By Federal personnel .....  | 104,758     | 97,956    | 122,527   |
| By delegated states/official agencies .....   | 3,114,680   | 3,120,635 | 3,197,498 |
| Number of appeals (Grain, Rice, and Pulses) .....   | 2,037       | 3,030     | 3,030     |
| Number of appeals to the Board of Appeals and Review (Grain, Rice, and Pulses) .....        | 182         | 266       | 266       |
| Quantity of rice inspected (million metric tons) .....                                      | 3.6         | 3.7       | 3.8       |
| Quantity of rice exports (million metric tons) .....  | 3.6         | 3.7       | 3.8       |

**Object Classification** (in millions of dollars)

| Identification code 12-4050-0-3-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 31          | 33      | 33        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 32          | 34      | 34        |
| 12.1 Civilian personnel benefits .....                          | 6           | 8       | 8         |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 3           | 4       | 4         |
| 25.3 Other goods and services from Federal sources .....        | 10          |         |           |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 54          | 50      | 50        |

LIMITATION ON INSPECTION AND WEIGHING SERVICES EXPENSES—Continued  
Employment Summary

| Identification code 12-4050-0-3-352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 383         | 380     | 379       |

## AGRICULTURAL MARKETING SERVICE

## Federal Funds

## MARKETING SERVICES

*For necessary expenses of the Agricultural Marketing Service, \$82,792,000: Provided, That this appropriation shall be available pursuant to law (7 U.S.C. 2250) for the alteration and repair of buildings and improvements, but the cost of altering any one building during the fiscal year shall not exceed 10 percent of the current replacement value of the building.*

*Fees may be collected for the cost of standardization activities, as established by regulation pursuant to law (31 U.S.C. 9701).*

## LIMITATION ON ADMINISTRATIVE EXPENSES

*Not to exceed \$60,435,000 (from fees collected) shall be obligated during the current fiscal year for administrative expenses: Provided, That if crop size is understated and/or other uncontrollable events occur, the agency may exceed this limitation by up to 10 percent with notification to the Committees on Appropriations of both Houses of Congress.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-2500-0-1-352                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Market news service .....                                     | 32          | 33      | 33        |
| 0002 Inspection and standardization .....                          | 7           | 8       | 8         |
| 0003 Market protection and promotion .....                         | 36          | 36      | 32        |
| 0004 Transportation and market development .....                   | 6           | 6       | 10        |
| 0005 Farmers market promotion program .....                        | 10          |         |           |
| 0799 Total direct obligations .....                                | 91          | 83      | 83        |
| 0801 Reimbursable program .....                                    | 88          | 67      | 64        |
| 0900 Total new obligations .....                                   | 179         | 150     | 147       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 38          | 37      | 37        |
| 1021 Recoveries of prior year unpaid obligations .....             | 2           |         |           |
| 1050 Unobligated balance (total) .....                             | 40          | 37      | 37        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 82          | 83      | 83        |
| 1160 Appropriation, discretionary (total) .....                    | 82          | 83      | 83        |
| Appropriations, mandatory:   |             |         |           |
| 1221 Appropriations transferred from other accts [12-4336] ....    | 10          |         |           |
| 1260 Appropriations, mandatory (total) .....                       | 10          |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 77          | 67      | 64        |
| 1701 Change in uncollected payments, Federal sources .....         | 10          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 87          | 67      | 64        |
| 1900 Budget authority (total) .....                                | 179         | 150     | 147       |
| 1930 Total budgetary resources available .....                     | 219         | 187     | 184       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -3          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 37          | 37      | 37        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 46          | 55      | 42        |
| 3010 Obligations incurred, unexpired accounts .....                | 179         | 150     | 147       |
| 3011 Obligations incurred, expired accounts .....                  | 5           |         |           |
| 3020 Outlays (gross) .....   | -166        | -163    | -156      |

|   |     |     |     |
|---|-----|-----|-----|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2  |     |     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -7  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 55  | 42  | 33  |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -3  | -13 | -13 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -10 |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -13 | -13 | -13 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 43  | 42  | 29  |
| 3200 Obligated balance, end of year .....                         | 42  | 29  | 20  |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                                   | 169 | 150 | 147 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....                  | 116 | 140 | 137 |
| 4011 Outlays from discretionary balances .....                       | 43  | 13  | 14  |
| 4020 Outlays, gross (total) .....                                    | 159 | 153 | 151 |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Federal sources .....   | -36 | -4  | -4  |
| 4033 Non-Federal sources .....                                       | -41 | -63 | -60 |
| 4040 Offsets against gross budget authority and outlays (total) .... | -77 | -67 | -64 |
| Additional offsets against gross budget authority only:              |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -10 |     |     |
| 4070 Budget authority, net (discretionary) .....                     | 82  | 83  | 83  |
| 4080 Outlays, net (discretionary) .....                              | 82  | 86  | 87  |
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                                   | 10  |     |     |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                      | 1   |     |     |
| 4101 Outlays from mandatory balances .....                           | 6   | 10  | 5   |
| 4110 Outlays, gross (total) .....                                    | 7   | 10  | 5   |
| 4180 Budget authority, net (total) .....                             | 92  | 83  | 83  |
| 4190 Outlays, net (total) .....                                      | 89  | 96  | 92  |

## Memorandum (non-add) entries:

|  |   |  |   |
|--|---|--|---|
| 5000 Total investments, SOY: Federal securities: Par value ..... |   |  | 2 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 2 |  | 2 |

Agricultural Marketing Service activities assist producers and handlers of agricultural commodities by providing a variety of marketing-related services. These services continue to become more complex as the volume of agricultural commodities increases, as greater numbers of new processed commodities are developed, and as the agricultural market structure undergoes extensive changes. Marketing changes include increased concentration in food retailing, direct buying, decentralization of processing, growth of interregional competition, vertical integration, and contract farming.

The 2014 Budget requests \$82,792,000 for Marketing Services, approximately \$0.8 million above the annualized 2013 Continuing Resolution level. The individual Marketing Services activities include:

**Market news service.**—The market news program provides the agricultural community with information pertaining to the movement of agricultural products. This nationwide service provides daily reports on the supply, demand, and price of over 700 commodities on domestic and foreign markets.

**Inspection, grading and standardization.**—Nationally uniform standards of quality for agricultural products are established and applied to specific lots of products to: promote confidence between buyers and sellers; reduce hazards in marketing due to misunderstandings and disputes arising from the use of nonstandard descriptions; and encourage better preparation of uniform quality products for market. Grading services are provided on request for cotton and tobacco.

Quarterly inspection of egg handlers and hatcheries is conducted to ensure the proper disposition of shell eggs unfit for human consumption.

## MARKET NEWS PROGRAM

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Percentage of reports released on time ..... | 98%         | 98%       | 98%       |

## COTTON AND TOBACCO USER FEE PROGRAM

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Cotton classed (bales in millions) .....                          | 14.8        | 15        | 11.1      |
| Domestic and Imported tobacco graded (million pounds) .....       | 10          | 8.8       | 8.8       |
| Domestic and Imported tobacco inspected (million kilograms) ..... | 63.1        | 0.13      | 0.26      |

## FEDERALLY FUNDED INSPECTION AND MARKETING ACTIVITIES

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| States and Commonwealths with cooperative agreements .....                       | 30          | 30        | 30        |
| Percentage of noncomplying shell egg lots that are reprocessed or diverted ..... | 100%        | 100%      | 100%      |

## STANDARDIZATION ACTIVITIES

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| International and U.S. standards in effect, end of fiscal year ..... | 545         | 548       | 552       |
| Number of commodities covered .....                                  | 227         | 227       | 228       |

**Market protection and promotion.**—This program consists of: 1) the research and promotion programs which are designed to improve the competitive position and expand markets for cotton, eggs and egg products, honey, pork, beef, dairy products, potatoes, watermelons, mushrooms, soybeans, fluid milk, popcorn, blueberries, avocados, lamb, mangos and peanuts; 2) the Federal Seed Act; and 3) the administration of the Capper-Volstead Act and the Agricultural Fair Practices Act.

The Pesticide Recordkeeping program monitors compliance of private certified applicators with Federal regulations requiring them to keep records of restricted pesticides used in agricultural production. Of the total funds provided for Marketing Services pursuant to the Continuing Resolution (CR) for 2013, \$1,842,000 is for Pesticide Recordkeeping (PRK) program activities for the full term of the CR. No funding is included in the 2014 Budget for this program.

The Pesticide Data program develops comprehensive, statistically defensible information on pesticide residues in food to improve government dietary risk procedures.

Federal seed inspectors conduct tests on seed samples to help ensure truthful labeling of agricultural and vegetable seeds sold in interstate commerce.

The Capper-Volstead Act and the Agricultural Fair Practices Act protect producers against discriminatory practices by handlers, permit producers to engage in cooperative efforts, and ensure that such cooperatives do not engage in practices that monopolize or restrain trade.

The National Organic Program certifies that organically produced food products meet national standards.

## MARKET PROTECTION AND PROMOTION ACTIVITIES

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Pesticide data program (PDP):   |             |           |           |
| Number of children's food commodities included in PDP .....                           | 21          | 21        | 21        |
| Number of compounds reported by PDP labs .....  | 447         | 430       | 447       |
| Seed Act:   |             |           |           |
| Interstate investigations:  |             |           |           |
| Completed .....   | 255         | 250       | 250       |
| Pending .....   | 242         | 250       | 250       |
| Seed samples tested .....   | 219         | 250       | 250       |
| Percentage of cases submitted that are completed .....                                | 100%        | 100%      | 100%      |
| Plant Variety Protection Act:   |             |           |           |
| Number of applications received .....   | 491         | 450       | 450       |
| Certificates of protection issued and abandoned .....                                 | 590         | 760       | 750       |
| Percentage of board budgets and marketing plans approved within time frame goal ..... | 100%        | 100%      | 100%      |
| Country of Origin Labeling .....  |             |           |           |
| Retail compliance reviews .....   | 3974        | 2441      | 4000      |
| Complaints investigated .....   | 12          | 12        | 12        |
| State and Commonwealths with cooperative agreements .....                             | 49          | 50        | 50        |

**Transportation and Market Development.**—This program is designed to enhance the marketing of domestic agricultural

commodities by conducting research into more efficient marketing methods and by providing technical assistance to areas interested in improving their food distribution facilities, and by helping to ensure that the Nation's transportation systems will adequately serve the needs of agriculture and rural areas of the United States.

## WHOLESALE MARKET DEVELOPMENT ACTIVITIES

|                                    | 2012 actual | 2013 est. | 2014 est. |
|------------------------------------|-------------|-----------|-----------|
| Number of projects completed ..... | 12          | 12        | 15        |

## TRANSPORTATION SERVICES ACTIVITIES

|                                    | 2012 actual | 2013 est. | 2014 est. |
|------------------------------------|-------------|-----------|-----------|
| Number of projects completed ..... | 16          | 14        | 13        |

## Object Classification (in millions of dollars)

| Identification code 12-2500-0-1-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 31          | 30      | 31        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 2           | 3       | 3         |
| 11.9 Total personnel compensation .....                         | 34          | 34      | 35        |
| 12.1 Civilian personnel benefits .....                          | 11          | 11      | 11        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 2         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 20          | 21      | 20        |
| 25.3 Other goods and services from Federal sources .....        | 10          | 11      | 10        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 10          | .....   | .....     |
| 99.0 Direct obligations .....                                   | 91          | 83      | 83        |
| 99.0 Reimbursable obligations .....                             | 88          | 67      | 64        |
| 99.9 Total new obligations .....                                | 179         | 150     | 147       |

## Employment Summary

| Identification code 12-2500-0-1-352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 421         | 428     | 432       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 366         | 448     | 448       |

## PAYMENTS TO STATES AND POSSESSIONS

*For payments to departments of agriculture, bureaus and departments of markets, and similar agencies for marketing activities under section 204(b) of the Agricultural Marketing Act of 1946 (7 U.S.C. 1623(b)), \$1,363,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-2501-0-1-352   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:  |             |         |           |
| 0001 Payments to states and possessions .....   | 1           | 1       | 1         |
| 0002 Specialty crop block grants .....  | 55          | 55      | .....     |
| 0900 Total new obligations (object class 41.0) .....  | 56          | 56      | 1         |
| Budgetary Resources:  |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 1           | 1       | 1         |
| 1160 Appropriation, discretionary (total) .....   | 1           | 1       | 1         |
| Appropriations, mandatory:  |             |         |           |
| 1221 Transferred from other accounts for the Specialty Crop Block Grant Program [12-4336] ..... | 55          | 55      | .....     |

**PAYMENTS TO STATES AND POSSESSIONS—Continued**  
**Program and Financing—Continued**

| Identification code 12–2501–0–1–352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1260 Appropriations, mandatory (total) .....                    | 55          | 55      | .....     |
| 1900 Budget authority (total) .....                             | 56          | 56      | 1         |
| 1930 Total budgetary resources available .....                  | 56          | 56      | 1         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 120         | 127     | 128       |
| 3010 Obligations incurred, unexpired accounts .....             | 56          | 56      | 1         |
| 3011 Obligations incurred, expired accounts .....               | 1           | .....   | .....     |
| 3020 Outlays (gross) .....                                      | –47         | –55     | –56       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 127         | 128     | 73        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 120         | 127     | 128       |
| 3200 Obligated balance, end of year .....                       | 127         | 128     | 73        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 1           | 1       | 1         |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                  | 15          | 1       | 1         |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 55          | 55      | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 1           | .....   | .....     |
| 4101 Outlays from mandatory balances .....                      | 31          | 54      | 55        |
| 4110 Outlays, gross (total) .....                               | 32          | 54      | 55        |
| 4180 Budget authority, net (total) .....                        | 56          | 56      | 1         |
| 4190 Outlays, net (total) .....                                 | 47          | 55      | 56        |

Federal-State Marketing Improvement Program grants are made on a matching fund basis to State departments of agriculture to carry out specifically approved value-added programs designed to spotlight local marketing initiatives and enhance marketing efficiency. Under this activity, specialists work with farmers, marketing firms, and other agencies in solving marketing problems and in using research results.

Specialty Crop Block Grant-Farm Bill grants are block grants made to State departments of agriculture to enhance the competitiveness of specialty crops.

**Employment Summary**

| Identification code 12–2501–0–1–352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 4       | .....     |

**PERISHABLE AGRICULTURAL COMMODITIES ACT FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 12–5070–0–2–352  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | .....       | .....   | 1         |
| Receipts:  |             |         |           |
| 0200 License Fees and Defaults, Perishable Agricultural Commodities Act Fund ..... | 12          | 12      | 12        |
| 0400 Total: Balances and collections .....   | 12          | 12      | 13        |
| Appropriations:  |             |         |           |
| 0500 Perishable Agricultural Commodities Act Fund .....                            | –12         | –11     | –11       |
| 0799 Balance, end of year .....  | .....       | 1       | 2         |

**Program and Financing (in millions of dollars)**

| Identification code 12–5070–0–2–352                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>            |             |         |           |
| 0001 Perishable Agricultural Commodities Act ..... | 10          | 11      | 11        |

**Budgetary Resources:**

|   |    |    |    |
|---|----|----|----|
| Unobligated balance:                                  |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 5  | 7  | 7  |
| Budget authority:                                     |    |    |    |
| Appropriations, mandatory:                            |    |    |    |
| 1201 Appropriation (special or trust fund) .....      | 12 | 11 | 11 |
| 1260 Appropriations, mandatory (total) .....          | 12 | 11 | 11 |
| 1930 Total budgetary resources available .....        | 17 | 18 | 18 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 7  | 7  | 7  |

**Change in obligated balance:**

|   |     |     |       |
|---|-----|-----|-------|
| Unpaid obligations:                                   |     |     |       |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1   | 1   | 1     |
| 3010 Obligations incurred, unexpired accounts .....   | 10  | 11  | 11    |
| 3020 Outlays (gross) .....                            | –10 | –11 | –12   |
| 3050 Unpaid obligations, end of year .....            | 1   | 1   | ..... |
| Memorandum (non-add) entries:                         |     |     |       |
| 3100 Obligated balance, start of year .....           | 1   | 1   | 1     |
| 3200 Obligated balance, end of year .....             | 1   | 1   | ..... |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Mandatory:                                      |    |    |    |
| 4090 Budget authority, gross .....              | 12 | 11 | 11 |
| Outlays, gross:                                 |    |    |    |
| 4100 Outlays from new mandatory authority ..... | 7  | 10 | 10 |
| 4101 Outlays from mandatory balances .....      | 3  | 1  | 2  |
| 4110 Outlays, gross (total) .....               | 10 | 11 | 12 |
| 4180 Budget authority, net (total) .....        | 12 | 11 | 11 |
| 4190 Outlays, net (total) .....                 | 10 | 11 | 12 |

**Memorandum (non-add) entries:**

|  |       |       |       |
|--|-------|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | ..... | ..... | 1     |
| 5001 Total investments, EOY: Federal securities: Par value ..... | ..... | 1     | ..... |

License fees are deposited in this special fund and are used to meet the costs of administering the Perishable Agricultural Commodities and the Produce Agency Acts (7 U.S.C. 491–497, 499a–499s).

The Acts are intended to ensure equitable treatment to farmers and others in the marketing of fresh and frozen fruits and vegetables. Commission merchants, dealers, and brokers handling these products in interstate and foreign commerce are licensed. Complaints of violations are investigated and violations dealt with by a) informal agreements between the two parties, b) formal decisions involving payment of reparation awards, and/or c) suspension or revocation of license and/or publication of the facts.

The Perishable Agricultural Commodities Act requires traders to have trust assets on hand to meet their obligations to fruit and vegetable suppliers. To preserve their trust and establish their rights ahead of other creditors, unpaid suppliers file notice with both the Department and their debtors that payment is due. The Act provides permanent authority to the Secretary of Agriculture to set license and reparation complaint filing fees.

**PERISHABLE AGRICULTURAL COMMODITIES ACT ACTIVITIES**

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Percentage of informal reparation complaints completed within time frame goal ..... | 90%         | 90%       | 90%       |

**Object Classification (in millions of dollars)**

| Identification code 12–5070–0–2–352                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 6           | 6       | 6         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 3       | 3         |
| 99.9 Total new obligations .....                         | 10          | 11      | 11        |

## Employment Summary

| Identification code 12–5070–0–2–352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 72          | 77      | 77        |

## FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)

## (INCLUDING TRANSFERS OF FUNDS)

*Funds available under section 32 of the Act of August 24, 1935 (7 U.S.C. 612c), shall be used only for commodity program expenses as authorized therein, including up to \$500,000 to pay for eligible small businesses' first pre-award audits, and other related operating expenses, except for: (1) transfers to the Department of Commerce as authorized by the Fish and Wildlife Act of August 8, 1956; (2) transfers otherwise provided in this Act; and (3) not more than \$20,181,000 for formulation and administration of marketing agreements and orders pursuant to the Agricultural Marketing Agreement Act of 1937 and the Agricultural Act of 1961.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12–5209–0–2–605  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 16,107      | 17,450  | 17,355    |
| Receipts:  |             |         |           |
| 0200 30 Percent of Customs Duties, Funds for Strengthening Markets, Income and Supply (section 32) ..... | 9,181       | 8,812   | 11,784    |
| 0240 General Fund Payment, Funds for Strengthening Markets, Income, and Supply (section 32) .....        |             | 1       | 1         |
| 0299 Total receipts and collections .....  | 9,181       | 8,813   | 11,785    |
| 0400 Total: Balances and collections .....   | 25,288      | 26,263  | 29,140    |
| Appropriations:  |             |         |           |
| 0500 Funds for Strengthening Markets, Income, and Supply (section 32) .....                              |             | 150     | 166       |
| 0501 Funds for Strengthening Markets, Income, and Supply (section 32) .....                              |             | 150     |           |
| 0502 Funds for Strengthening Markets, Income, and Supply (section 32) .....                              | –7,947      | –8,990  | –9,211    |
| 0503 Funds for Strengthening Markets, Income, and Supply (section 32) .....                              | –260        | –219    | –151      |
| 0504 Funds for Strengthening Markets, Income, and Supply (section 32) .....                              | 150         |         |           |
| 0505 Funds for Strengthening Markets, Income, and Supply (section 32) .....                              | 219         | 1       | 119       |
| 0599 Total appropriations .....  | –7,838      | –8,908  | –9,077    |
| 0799 Balance, end of year .....  | 17,450      | 17,355  | 20,063    |

## Program and Financing (in millions of dollars)

| Identification code 12–5209–0–2–605                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Child nutrition program purchases .....          | 462         | 465     | 465       |
| 0002 Emergency surplus removal .....                  | 171         | 101     | 201       |
| 0004 State option contract .....                      |             | 5       | 5         |
| 0005 Removal of defective commodities .....           |             | 3       | 3         |
| 0006 Disaster Relief .....                            |             | 5       | 5         |
| 0007 2008 Farm Bill Specialty Crop Purchases .....    | 162         | 165     | 206       |
| 0008 Small Business Support .....                     |             |         | 1         |
| 0091 Subtotal, Commodity program payments .....       | 795         | 744     | 886       |
| 0101 Administrative expenses .....                    | 47          | 48      | 55        |
| 0192 Total direct program .....                       | 842         | 792     | 941       |
| 0799 Total direct obligations .....                   | 842         | 792     | 941       |
| 0811 Reimbursable program .....                       | 1           | 1       | 1         |
| 0900 Total new obligations .....                      | 843         | 793     | 942       |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       |           |

|  |        |        |        |
|--|--------|--------|--------|
| 1021 Recoveries of prior year unpaid obligations .....                                     | 1      |        |        |
| 1050 Unobligated balance (total) .....   | 1      | 1      |        |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:   |        |        |        |
| 1132 Appropriations temporarily reduced .....  |        | –150   | –166   |
| 1134 Appropriations precluded from obligation .....  |        | –150   |        |
| 1160 Appropriation, discretionary (total) .....  |        | –300   | –166   |
| Appropriations, mandatory:   |        |        |        |
| 1201 Appropriation (special or trust fund) .....   | 7,947  | 8,990  | 9,211  |
| 1203 Appropriation (previously unavailable) .....  | 260    | 219    | 151    |
| 1220 Transferred to Food and Nutrition Service [12–3539] .....                             | –6,887 | –7,986 | –8,005 |
| 1220 Transferred to Department of Commerce [13–5139] .....                                 | –109   | –131   | –131   |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced ..... | –150   |        |        |
| 1235 Appropriations precluded from obligation .....  | –219   | –1     | –119   |
| 1260 Appropriations, mandatory (total) .....   | 842    | 1,091  | 1,107  |
| Spending authority from offsetting collections, mandatory:                                 |        |        |        |
| 1800 Collected .....   | 1      | 1      | 1      |
| 1850 Spending auth from offsetting collections, mand (total) .....                         | 1      | 1      | 1      |
| 1900 Budget authority (total) .....  | 843    | 792    | 942    |
| 1930 Total budgetary resources available .....   | 844    | 793    | 942    |
| Memorandum (non-add) entries:  |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....                                      | 1      |        |        |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 24   | 28   | 1    |
| 3010 Obligations incurred, unexpired accounts .....               | 843  | 793  | 942  |
| 3020 Outlays (gross) .....  | –838 | –820 | –942 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 28   | 1    | 1    |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1   | –1   | –1   |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –1   | –1   | –1   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 23   | 27   |      |
| 3200 Obligated balance, end of year .....                         | 27   |      |      |

## Budget authority and outlays, net:

|   |     |       |       |
|---|-----|-------|-------|
| Discretionary:                                      |     |       |       |
| 4000 Budget authority, gross .....                  |     | –300  | –166  |
| Outlays, gross:                                     |     |       |       |
| 4010 Outlays from new discretionary authority ..... |     | –300  | –166  |
| Mandatory:  |     |       |       |
| 4090 Budget authority, gross .....                  | 843 | 1,092 | 1,108 |
| Outlays, gross:                                     |     |       |       |
| 4100 Outlays from new mandatory authority .....     | 828 | 1,092 | 1,108 |
| 4101 Outlays from mandatory balances .....          | 10  | 28    |       |
| 4110 Outlays, gross (total) .....                   | 838 | 1,120 | 1,108 |
| Offsets against gross budget authority and outlays: |     |       |       |
| Offsetting collections (collected) from:            |     |       |       |
| 4120 Federal sources .....                          | –1  | –1    | –1    |
| 4180 Budget authority, net (total) .....            | 842 | 791   | 941   |
| 4190 Outlays, net (total) .....                     | 837 | 819   | 941   |

The Agriculture Appropriations Act of 1935 (7 U.S.C. 612c) established the Section 32 program which provides that 30 percent of U.S. Customs receipts for each calendar year are transferred to this account within the Department of Agriculture. The purpose of the Section 32 program is three-fold: to encourage the exportation of agricultural commodities and products, to encourage domestic consumption of agricultural products by diverting them, and to reestablish farmers' purchasing power by making payments in connection with the normal production of any agricultural commodity for domestic consumption. There is also a requirement that the funds available under Section 32 shall be principally devoted to perishable agricultural commodities (e.g., fruits and vegetables). Program funds are used for a variety of purposes in support of the three primary purposes specified in the program's authorizing legislation. Funds may be used to stabilize market conditions through purchasing surplus commodities which are in turn, distributed to nutrition assistance programs. Program funds are also used to purchase commodities that are distributed to schools as part of Child Nutrition Programs entitlements. Furthermore, funds are transferred to the Food

## FUNDS FOR STRENGTHENING MARKETS, INCOME, AND SUPPLY (SECTION 32)—Continued

and Nutrition Service for commodity purchases under section 6 of the National School Lunch Act and other authorities specified in the Child Nutrition Programs statutes.

## Object Classification (in millions of dollars)

| Identification code 12–5209–0–2–605                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:  |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....             | 16          | 17      | 18        |
| 12.1 Civilian personnel benefits .....                             | 5           | 5       | 5         |
| 21.0 Travel and transportation of persons .....                    | 1           | 1       | 1         |
| 22.0 Transportation of things .....                                | 4           | 5       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges .....    | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                               | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....                 | 15          | 15      | 18        |
| 25.3 Other goods and services from Federal sources .....           | 19          | 19      | 26        |
| 26.0 Supplies and materials: Grants of commodities to States ..... | 1           | 1       | 1         |
| 26.0 Supplies and materials: Grants of commodities to States ..... | 786         | 727     | 864       |
| 31.0 Equipment .....   | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                      | 842         | 792     | 941       |
| 99.0 Reimbursable obligations .....                                | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                   | 843         | 793     | 942       |

## Employment Summary

| Identification code 12–5209–0–2–605                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 171         | 171     | 173       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 9           | 9       | 9         |

## Trust Funds

## EXPENSES AND REFUNDS, INSPECTION AND GRADING OF FARM PRODUCTS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12–8015–0–7–352   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         | 2         |
| Receipts:   |             |         |           |
| 0220 Deposits of Fees, Inspection and Grading of Farm Products, AMS .....                   | 144         | 148     | 155       |
| 0240 Payments from General Fund, Wool Research, Development, and Promotion Trust Fund ..... | 2           | 2       | 2         |
| 0299 Total receipts and collections .....   | 146         | 150     | 157       |
| 0400 Total: Balances and collections .....  | 146         | 150     | 159       |
| Appropriations:   |             |         |           |
| 0500 Expenses and Refunds, Inspection and Grading of Farm Products .....                    | –146        | –148    | –155      |
| 0799 Balance, end of year .....   |             | 2       | 4         |

## Program and Financing (in millions of dollars)

| Identification code 12–8015–0–7–352               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                  |             |         |           |
| 0001 Dairy products .....                         | 5           | 6       | 7         |
| 0002 Fruits and vegetables .....                  | 62          | 64      | 65        |
| 0003 Meat grading .....                           | 31          | 31      | 32        |
| 0004 Poultry products .....                       | 41          | 34      | 35        |
| 0005 Miscellaneous agricultural commodities ..... | 20          | 15      | 18        |
| 0900 Total new obligations .....                  | 159         | 150     | 157       |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 73  | 68  | 68  |
| 1021 Recoveries of prior year unpaid obligations .....           | 6   |     |     |
| 1050 Unobligated balance (total) .....                           | 79  | 68  | 68  |
| Budget authority:  |     |     |     |
| Appropriations, mandatory:                                       |     |     |     |
| 1201 Appropriation (special or trust fund) .....                 | 146 | 148 | 155 |
| 1221 Appropriations transferred from other accts [12–4336] ..... | 2   | 2   | 2   |

|   |     |     |     |
|---|-----|-----|-----|
| 1260 Appropriations, mandatory (total) .....          | 148 | 150 | 157 |
| 1930 Total budgetary resources available .....        | 227 | 218 | 225 |
| Memorandum (non-add) entries:                         |     |     |     |
| 1941 Unexpired unobligated balance, end of year ..... | 68  | 68  | 68  |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 30   | 29   |      |
| 3010 Obligations incurred, unexpired accounts .....               | 159  | 150  | 157  |
| 3020 Outlays (gross) .....  | –154 | –179 | –133 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –6   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 29   |      | 24   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 30   | 29   |      |
| 3200 Obligated balance, end of year .....                         | 29   |      | 24   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 148 | 150 | 157 |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 95  | 128 | 110 |
| 4101 Outlays from mandatory balances .....      | 59  | 51  | 23  |
| 4110 Outlays, gross (total) .....               | 154 | 179 | 133 |
| 4180 Budget authority, net (total) .....        | 148 | 150 | 157 |
| 4190 Outlays, net (total) .....                 | 154 | 179 | 133 |

## Memorandum (non-add) entries:

|  |  |    |    |
|--|--|----|----|
| 5000 Total investments, SOY: Federal securities: Par value ..... |  |    | 35 |
| 5001 Total investments, EOY: Federal securities: Par value ..... |  | 35 |    |

*Expenses and refunds, inspection and grading of farm products.*—The Agricultural Marketing Service's commodity grading programs provide grading, examination, and certification services for a wide variety of fresh and processed food commodities using federally approved grade standards and purchase specifications. Commodities graded include poultry, livestock, meat, dairy products, and fresh and processed fruits and vegetables. These programs use official grade standards which reflect the relative quality of a particular food commodity based on laboratory testing and characteristics such as taste, color, weight, and physical condition. Producers voluntarily request grading and certification services which are provided on a fee for service basis.

## Object Classification (in millions of dollars)

| Identification code 12–8015–0–7–352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 72          | 75      | 76        |
| 11.3 Other than full-time permanent .....                       | 6           | 7       | 8         |
| 11.5 Other personnel compensation .....                         | 11          | 11      | 11        |
| 11.9 Total personnel compensation .....                         | 89          | 93      | 95        |
| 12.1 Civilian personnel benefits .....                          | 31          | 28      | 29        |
| 13.0 Benefits for former personnel .....                        | 1           |         |           |
| 21.0 Travel and transportation of persons .....                 | 10          | 4       | 4         |
| 23.2 Rental payments to others .....                            | 3           | 1       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 6           | 7       | 7         |
| 25.3 Other goods and services from Federal sources .....        | 7           | 6       | 9         |
| 26.0 Supplies and materials .....                               | 2           | 2       | 2         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 7           | 4       | 4         |
| 99.9 Total new obligations .....                                | 159         | 150     | 157       |

## Employment Summary

| Identification code 12–8015–0–7–352                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,328       | 1,338   | 1,342     |

## MILK MARKET ORDERS ASSESSMENT FUND

## Program and Financing (in millions of dollars)

| Identification code 12-8412-0-8-351                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Administration .....  | 46          | 48      | 50        |
| 0802 Marketing service .....                                       | 7           | 7       | 8         |
| 0900 Total new obligations .....                                   | 53          | 55      | 58        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 53          | 55      | 58        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 53          | 55      | 58        |
| 1930 Total budgetary resources available .....                     | 53          | 55      | 58        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 53          | 55      | 58        |
| 3020 Outlays (gross) .....   | -53         | -55     | -58       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 53          | 55      | 58        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 53          | 55      | 58        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -53         | -55     | -58       |

The Secretary of Agriculture is authorized by the Agricultural Marketing Agreement Act of 1937, as amended under certain conditions to issue Federal milk marketing orders establishing minimum prices which handlers are required to pay for milk purchased from producers. There are currently 10 Federally-sanctioned milk market orders in operation.

Market administrators are appointed by the Secretary and are responsible for carrying out the terms of specific marketing orders. Their operating expenses, partly financed by assessments on regulated handlers and partly by deductions from producers, are reported to the Agricultural Marketing Service. The majority of these funds are collected and deposited in checking and savings accounts in local banks, and disbursed directly by the market administrator. A portion of the funds collected are invested in securities such as certificates of deposit.

Expenses of local offices are met from an administrative fund and a marketing service fund, which are prescribed in each order. The administrative fund is derived from prorated handler assessments. The marketing service fund of the individual order disseminates market information to producers who are not members of a qualified cooperative. It also provides for the verification of the weights, sampling, and testing of milk from these producers. The cost of these services is borne by such producers.

The maximum rates for administrative assessment and for marketing services are set forth in each order and adjustments below these rates are made from time to time upon recommendations by the market administrator and upon approval of the Agricultural Marketing Service to provide reserves at about a six month operating level. Upon termination of any order, the statute provides for distributing the proceeds from net assets pro rata to contributing handlers or producers, as the case may be.

## Object Classification (in millions of dollars)

| Identification code 12-8412-0-8-351       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                 |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 31          | 32      | 32        |
| 11.3 Other than full-time permanent ..... |             |         | 1         |

|   |    |    |    |
|---|----|----|----|
| 11.5 Other personnel compensation .....                         | 1  | 1  | 1  |
| 11.9 Total personnel compensation .....                         | 32 | 33 | 34 |
| 12.1 Civilian personnel benefits .....                          | 9  | 10 | 11 |
| 21.0 Travel and transportation of persons .....                 | 3  | 3  | 3  |
| 23.2 Rental payments to others .....                            | 4  | 4  | 4  |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2  | 2  | 2  |
| 25.2 Other services from non-Federal sources .....              | 1  | 1  | 1  |
| 26.0 Supplies and materials .....                               | 1  | 1  | 2  |
| 31.0 Equipment .....  | 1  | 1  | 1  |
| 99.9 Total new obligations .....                                | 53 | 55 | 58 |

## Employment Summary

| Identification code 12-8412-0-8-351                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 370         | 370     | 370       |

## RISK MANAGEMENT AGENCY

## Federal Funds

## RISK MANAGEMENT AGENCY

*For necessary expenses of the Risk Management Agency, \$71,496,000: Provided, That not to exceed \$1,000 shall be available for official reception and representation expenses, as authorized by 7 U.S.C. 1506(i).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-2707-0-1-351                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Administrative and operating expenses .....      | 75          | 75      | 71        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 75          | 75      | 71        |
| 1160 Appropriation, discretionary (total) .....       | 75          | 75      | 71        |
| 1930 Total budgetary resources available .....        | 75          | 75      | 71        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 19          | 17      | 17        |
| 3010 Obligations incurred, unexpired accounts .....   | 75          | 75      | 71        |
| 3020 Outlays (gross) .....                            | -77         | -75     | -72       |
| 3050 Unpaid obligations, end of year .....            | 17          | 17      | 16        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 19          | 17      | 17        |
| 3200 Obligated balance, end of year .....             | 17          | 17      | 16        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 75          | 75      | 71        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 60          | 60      | 57        |
| 4011 Outlays from discretionary balances .....        | 17          | 15      | 15        |
| 4020 Outlays, gross (total) .....                     | 77          | 75      | 72        |
| 4180 Budget authority, net (total) .....              | 75          | 75      | 71        |
| 4190 Outlays, net (total) .....                       | 77          | 75      | 72        |

The Risk Management Agency (RMA) was established under provisions of the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127, approved April 4, 1996. RMA is responsible for administration and oversight of programs authorized under the Federal Crop Insurance Act (7 U.S.C. 1501 et seq.) and promotes the National welfare by improving the economic stability of agriculture through a secure system of crop insurance. This administrative expense account includes resources to maintain ongoing operations of the Federal crop insur-

## RISK MANAGEMENT AGENCY—Continued

ance program and other functions assigned to RMA such as risk management education. This account covers expenses of national, regional and compliance offices located across the United States. The 2014 Budget requests \$71.496 million.

The Federal crop insurance program is delivered through private insurance companies. Certain administrative expenses incurred by the companies are reimbursed through mandatory funding that is reflected in the Federal Crop Insurance Corporation Fund account. The funding in this account appropriately covers administrative activities for RMA where mandatory funding is not available and cannot be funded with funds from the Federal Crop Insurance Corporation Fund account.

## Object Classification (in millions of dollars)

| Identification code 12-2707-0-1-351                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 41          | 40      | 40        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 42          | 41      | 41        |
| 12.1 Civilian personnel benefits .....                          | 12          | 12      | 12        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 18          | 19      | 15        |
| 99.9 Total new obligations .....                                | 75          | 75      | 71        |

## Employment Summary

| Identification code 12-2707-0-1-351                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 470         | 455     | 455       |

## CORPORATIONS

*The following corporations and agencies are hereby authorized to make expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accord with law, and to make contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control Act as may be necessary in carrying out the programs set forth in the budget for the current fiscal year for such corporation or agency, except as hereinafter provided.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## FEDERAL CROP INSURANCE CORPORATION FUND

*For payments as authorized by section 516 of the Federal Crop Insurance Act (7 U.S.C. 1516), such sums as may be necessary, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-4085-0-3-351               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                  |             |         |           |
| 0001 Indemnities .....                            | 4,532       | 8,867   | 6,888     |
| 0002 Delivery Expenses .....                      | 1,373       | 1,313   | 1,315     |
| 0003 Underwriting Gains .....                     | 1,669       | .....   | 1,261     |
| 0004 Federal Crop Insurance Act Initiatives ..... | 31          | 39      | 39        |

|  |        |        |        |
|--|--------|--------|--------|
| 0799 Total direct obligations .....                  | 7,605  | 10,219 | 9,503  |
| 0801 Reimbursable program - indemnities .....        | 4,981  | 8,105  | 4,144  |
| 0802 Reimbursable program - program related IT ..... | 20     | 20     | 20     |
| 0899 Total reimbursable obligations .....            | 5,001  | 8,125  | 4,164  |
| 0900 Total new obligations .....                     | 12,606 | 18,344 | 13,667 |

## Budgetary Resources:

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 561    | 564    | 564    |
| 1021 Recoveries of prior year unpaid obligations .....             | 2      | .....  | .....  |
| 1050 Unobligated balance (total) .....                             | 563    | 564    | 564    |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:                                     |        |        |        |
| 1134 Appropriations precluded from obligation .....                | .....  | -75    | .....  |
| 1160 Appropriation, discretionary (total) .....                    | .....  | -75    | .....  |
| Appropriations, mandatory:   |        |        |        |
| 1200 Appropriation .....   | 7,605  | 10,219 | 9,503  |
| 1220 Appropriations transferred to other accts [12-0502] .....     | -5     | -5     | -5     |
| 1221 Appropriations transferred from other accts [12-4336] .....   | 6      | 6      | 6      |
| 1260 Appropriations, mandatory (total) .....                       | 7,606  | 10,220 | 9,504  |
| Spending authority from offsetting collections, mandatory:         |        |        |        |
| 1800 Collected .....   | 5,001  | 8,199  | 4,164  |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 5,001  | 8,199  | 4,164  |
| 1900 Budget authority (total) .....                                | 12,607 | 18,344 | 13,668 |
| 1930 Total budgetary resources available .....                     | 13,170 | 18,908 | 14,232 |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 564    | 564    | 565    |

## Change in obligated balance:

|   |        |         |         |
|---|--------|---------|---------|
| Unpaid obligations:   |        |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 441    | 3,234   | 3,238   |
| 3010 Obligations incurred, unexpired accounts .....               | 12,606 | 18,344  | 13,667  |
| 3020 Outlays (gross) .....  | -9,811 | -18,340 | -13,880 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2     | .....   | .....   |
| 3050 Unpaid obligations, end of year .....                        | 3,234  | 3,238   | 3,025   |
| Memorandum (non-add) entries:                                     |        |         |         |
| 3100 Obligated balance, start of year .....                       | 441    | 3,234   | 3,238   |
| 3200 Obligated balance, end of year .....                         | 3,234  | 3,238   | 3,025   |

## Budget authority and outlays, net:

|   |        |        |        |
|---|--------|--------|--------|
| Discretionary:                                      |        |        |        |
| 4000 Budget authority, gross .....                  | .....  | -75    | .....  |
| Outlays, gross:                                     |        |        |        |
| 4010 Outlays from new discretionary authority ..... | .....  | -75    | .....  |
| Mandatory:  |        |        |        |
| 4090 Budget authority, gross .....                  | 12,607 | 18,419 | 13,668 |
| Outlays, gross:                                     |        |        |        |
| 4100 Outlays from new mandatory authority .....     | 9,537  | 17,498 | 12,784 |
| 4101 Outlays from mandatory balances .....          | 274    | 917    | 1,096  |
| 4110 Outlays, gross (total) .....                   | 9,811  | 18,415 | 13,880 |
| Offsets against gross budget authority and outlays: |        |        |        |
| Offsetting collections (collected) from:            |        |        |        |
| 4123 Non-Federal sources .....                      | -5,001 | -8,199 | -4,164 |
| 4180 Budget authority, net (total) .....            | 7,606  | 10,145 | 9,504  |
| 4190 Outlays, net (total) .....                     | 4,810  | 10,141 | 9,716  |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 7,606       | 10,145  | 9,504     |
| Outlays .....                           | 4,810       | 10,141  | 9,716     |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  | .....       | .....   | -513      |
| Outlays .....                           | .....       | .....   | -513      |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 7,606       | 10,145  | 8,991     |
| Outlays .....                           | 4,810       | 10,141  | 9,203     |

The Federal Crop Insurance Corporation (FCIC) is a wholly owned government corporation created February 16, 1938 (7 U.S.C. 1501). The program was amended by P.L. 96-365, dated September 26, 1980, to provide for nationwide expansion of a comprehensive crop insurance plan. The crop insurance program includes products involving yield and revenue insurance, pasture, rangeland and forage, livestock, and other educational and risk



mitigation initiatives/tools to manage risk. FCIC is administered by the Risk Management Agency (RMA), and provides economic stability to agriculture through a secure system of crop insurance. FCIC provides to farmers a risk management program that protects against agricultural production losses due to unavoidable causes such as drought, excessive moisture, hail, wind, hurricane, tornado, lightning, and insects. In addition to these causes, revenue insurance programs are available to protect against loss of revenue stemming from low prices, poor yields, or a combination of both. Federal crop insurance is available through private insurance companies that market and service policies and also share in the risk. Thus, the program delivery is a joint effort between the Federal government and the private insurance industry. There were over 1.1 million policies written in crop year 2012 with over \$11 billion in premiums and indemnities projected at about \$17 billion. Crop insurance is available for more than 350 different commodities in over 3,162 counties covering all 50 states, and Puerto Rico. RMA continues to pursue initiatives to make higher levels of crop insurance protection more affordable and useful to producers and improve program integrity.

The 2014 Budget requests funding to support \$13.7 billion in obligations, a decrease of over \$4.7 billion from the 2013 estimated obligations of \$18.3 billion. Estimates for the 2013 fiscal year are based on 2012 crop year projected loss ratio of 1.5, factoring in some actuals with estimates for the year. In fiscal year 2014, funding level estimates are based on a 1.0 loss ratio, which is the statutory target loss ratio used for estimating future crop insurance costs.

In fiscal year 2013 the appropriations request appears lower than what might be expected in a 1.5 loss ratio year, but that is due to a timing shift in premium collections. Policy changes made by the 2008 Farm Bill were intended to accelerate premium collections originally due in fiscal year 2013 into fiscal year 2012. However, in response to the severe drought conditions the USDA used its discretionary authority to waive interest penalties for one month on late paid premiums. This effectively shifted some premium collections back into fiscal year 2013 and reduced the overall need for additional appropriations. This is a one-time shift, and the funding returns to normal beginning in fiscal year 2014.

Commercial insurance companies deliver crop insurance. For producers who obtain Catastrophic Crop Insurance (CAT), which compensates the farmer for losses exceeding 50 percent of the individual's average yield at 55 percent of the expected market price, the premium is entirely subsidized. The cost to the producer for this type of coverage is an annual administrative fee of \$300 per crop per county.

Additional coverage is available to producers and is commonly referred to as "buy-up" coverage. Policyholders can elect to be paid up to 100 percent of the market price established by FCIC for each unit of production their actual yield is less than the individual yield guarantee. Premium rates for additional coverage depend on the level of protection selected and vary from crop to crop and county to county. Producers are assessed a fee of \$30 per crop, per county, in addition to a share of the premium. The additional levels of insurance coverage are more attractive to farmers due to availability of optional units, other policy provisions not available with CAT coverage, and the ability to obtain a level of protection that permits them to use crop insurance as loan collateral and to achieve greater financial security.

Revenue protection for specified products is provided by extending traditional multi-peril crop insurance protection, based on actual production history, to include price variability based on futures market prices. Producers have a choice of revenue protec-

tion (protection against loss of revenue caused by low prices, low yields, or a combination of both) or yield protection (protection for production losses only) within one Basic Provision and the applicable Crop Provision.

The following table illustrates Crop Year statistics as of September 30, 2012. Crop Year is generally all activity for crops from July 1-June 30 of a given year.

|                                     | 2012 est. | 2013 est. | 2014 est. |
|-------------------------------------|-----------|-----------|-----------|
| Number of States .....              | 50        | 50        | 50        |
| Number of counties .....            | 3,162     | 3,162     | 3,162     |
| Insurance in force (millions) ..... | 116,647   | 116,086   | 94,095    |
| Insured acreage (millions) .....    | 282       | 279       | 270       |
| Producer premium (millions) .....   | 4,116     | 4,111     | 3,249     |
| Premium subsidy (millions) .....    | 6,940     | 6,902     | 5,485     |
| Total premium (millions) .....      | 11,056    | 11,013    | 8,734     |
| Indemnities (millions) .....        | 17,064    | 11,013    | 8,734     |
| Loss ratio .....                    | 1.54      | 1.00      | 1.00      |

**Financing.**—The Corporation is authorized under the Federal Crop Insurance Act, as amended, to use funds from the issuance of capital stock which provides working capital for the Corporation.

Receipts, which are for deposit to this fund, mainly come from premiums paid by farmers. The principal payments from this fund are for indemnities to insured farmers, and administrative expenses for approved insurance providers.

Premium subsidies are authorized by section 508(b) of the Federal Crop Insurance Act, as amended, and are received through appropriations.

The following table illustrates premium subsidies and indemnities for all crop years as expected to occur during the period of October 1-September 30 for fiscal years 2013 and 2014 .

#### PREMIUM AND SUBSIDY

[In millions of dollars]

|   | 2013 est. | 2014 est. |
|---|-----------|-----------|
| Premiums:                                   |           |           |
| Additional coverage premium subsidy .....   | 12,131    | 6,607     |
| Catastrophic coverage premium subsidy ..... | 264       | 261       |
| Subtotal, premium subsidy .....             | 12,395    | 6,868     |
| Producer premium .....                      | 4,116     | 4,111     |
| Total premiums .....                        | 16,511    | 10,979    |
| Indemnities:                                |           |           |
| Additional coverage .....                   | 16,621    | 10,752    |
| Catastrophic coverage .....                 | 406       | 261       |
| Total indemnities .....                     | 17,027    | 11,013    |

#### NET INCOME OR LOSS (-) ON INSURANCE OPERATIONS

[In millions of dollars]

|   | 2013 est. | 2014 est. |
|---|-----------|-----------|
| Producer premium less indemnities .....             | -12,911   | -6,902    |
| Interest expense, net .....                         | 0         | 0         |
| Delivery expenses <sup>1</sup> .....                | 1,313     | 1,315     |
| Other income or expense, net (CAT fees) .....       | 53        | 53        |
| Federal Crop Insurance Act Initiatives .....        | 59        | 59        |
| Reinsurance underwriting gain (+) or loss (-) ..... | 0         | 1,262     |
| Net income or loss (-) .....                        | -11,486   | -4,213    |

<sup>1</sup>Figures reflect delivery expenses borne by the Fund in accordance with the Food, Conservation, and Energy Act of 2008, P.L. 110-246.

#### Balance Sheet (in millions of dollars)

| Identification code 12-4085-0-3-351                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1101 Federal assets: Fund balances with Treasury ..... | 755         | 3,613       |
| 1206 Non-Federal assets: Receivables, net .....        | 4,109       | 5,540       |

FEDERAL CROP INSURANCE CORPORATION FUND—Continued  
Balance Sheet—Continued

| Identification code 12-4085-0-3-351           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 1999 Total assets .....                       | 4,864       | 9,153       |
| LIABILITIES:                                  |             |             |
| 2105 Federal liabilities: Other .....         | 1           | 1           |
| Non-Federal liabilities:                      |             |             |
| 2201 Accounts payable .....                   | 138         | 1,330       |
| 2207 Other .....                              | 11,352      | 20,821      |
| 2999 Total liabilities .....                  | 11,491      | 22,152      |
| NET POSITION:                                 |             |             |
| 3100 Unexpended appropriations .....          | 538         | 564         |
| 3300 Cumulative results of operations .....   | -7,165      | -13,563     |
| 3999 Total net position .....                 | -6,627      | -12,999     |
| 4999 Total liabilities and net position ..... | 4,864       | 9,153       |

## Object Classification (in millions of dollars)

| Identification code 12-4085-0-3-351   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 25.2 Other services-Agriculture Risk Protection Act of 2000 Initiatives ..... | 53          | 59      | 59        |
| 25.2 Other services from non-Federal sources .....                            | 3,020       | 1,312   | 2,576     |
| 42.0 Insurance claims and indemnities (reinsured buyup) .....                 | 4,532       | 8,848   | 6,868     |
| 99.0 Direct obligations .....   | 7,605       | 10,219  | 9,503     |
| Reimbursable obligations:   |             |         |           |
| 42.0 Insurance claims, indemnities and program related IT .....               | 5,001       | 8,125   | 4,164     |
| 99.0 Reimbursable obligations .....   | 5,001       | 8,125   | 4,164     |
| 99.9 Total new obligations .....  | 12,606      | 18,344  | 13,667    |

FEDERAL CROP INSURANCE CORPORATION FUND  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 12-4085-4-3-351                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Budgetary Resources:                                  |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             |         | -513      |
| 1260 Appropriations, mandatory (total) .....          |             |         | -513      |
| 1930 Total budgetary resources available .....        |             |         | -513      |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | -513      |
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3020 Outlays (gross) .....                            |             |         | 513       |
| 3050 Unpaid obligations, end of year .....            |             |         | 513       |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 513       |
| Budget authority and outlays, net:                    |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             |         | -513      |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             |         | -513      |
| 4180 Budget authority, net (total) .....              |             |         | -513      |
| 4190 Outlays, net (total) .....                       |             |         | -513      |

As part of the President's commitment to fiscal responsibility the Budget includes five proposals. The proposals include programmatic changes that:

1. Establish a reasonable rate of return to participating crop insurance companies. A USDA commissioned study found that when compared to other private companies, crop insurance companies rate of return on investment (ROI) should be around 12 percent, but that it is currently expected to be 14 percent. The Administration is proposing to lower the crop insurance compan-

ies' ROI to meet the 12 percent target. This proposal is expected to save about \$1.2 billion over 10 years.

2. Reduce the reimbursement rate of administrative and operating expenses. The current cap on administrative expenses to be paid to participating crop insurance companies is based on the 2010 premiums, which were among the highest ever. A more appropriate level for the cap would be based on 2006 premiums, neutralizing the spike in commodity prices over the last few years, but not harming the delivery system. The Administration, therefore, proposes setting the cap at \$0.9 billion adjusted annually for inflation. This proposal is expected to save about \$2.8 billion over 10 years.

3. Decrease the premium subsidy paid on behalf of producers by 3 percentage points. The proposal would reduce the premium subsidy levels by 3 percentage points for those policies that are currently subsidized by more than 50 percent. This proposal is expected to save about \$4.2 billion over 10 years.

4. Decrease the premium subsidy paid on behalf of producers by 2 percentage points on policies where the producer elects the harvest price option (HPO). This reduction is in addition to the 3 percentage point reduction on policies currently subsidized by more than 50 percent. The HPO provides upward price protection which provides a higher indemnity if the commodity prices are higher at harvest time than when the policy was purchased. This proposal is expected to save about \$3.2 billion over 10 years.

5. Decrease the premium rate on catastrophic coverage to better reflect historical performance. This proposal would require that USDA reset premium rates to more accurately reflect the performance of the catastrophic portfolio. The proposal is expected to save about \$292 million over 10 years.

## FARM SERVICE AGENCY

## Federal Funds

## SALARIES AND EXPENSES

## (INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Farm Service Agency, \$1,176,460,000: Provided, That the Secretary is authorized to use the services, facilities, and authorities (but not the funds) of the Commodity Credit Corporation to make program payments for all programs administered by the Agency: Provided further, That other funds made available to the Agency for authorized activities may be advanced to and merged with this account: Provided further, That funds made available to county committees shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-0600-0-1-351       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:          |             |         |           |
| 0001 Conservation .....                   | 307         | 308     | 301       |
| 0002 Income support .....                 | 869         | 872     | 850       |
| 0005 Commodity operations .....           | 26          | 26      | 25        |
| 0300 Subtotal, direct program .....       | 1,202       | 1,206   | 1,176     |
| 0799 Total direct obligations .....       | 1,202       | 1,206   | 1,176     |
| 0801 Farm loans .....                     | 291         | 292     | 307       |
| 0802 Other programs .....                 | 125         | 92      | 92        |
| 0899 Total reimbursable obligations ..... | 416         | 384     | 399       |
| 0900 Total new obligations .....          | 1,618       | 1,590   | 1,575     |

|  |  |        |        |        |
|--|--|--------|--------|--------|
| <b>Budgetary Resources:</b>                                    |  |        |        |        |
| Unobligated balance:   |  |        |        |        |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 16     | 22     | 22     |
| 1012   | Unobligated balance transfers between expired and unexpired accounts ..... | 10     | .....  | .....  |
| 1021   | Recoveries of prior year unpaid obligations .....                          | 2      | .....  | .....  |
| 1050   | Unobligated balance (total) .....  | 28     | 22     | 22     |
| Budget authority:  |  |        |        |        |
| Appropriations, discretionary:                                 |  |        |        |        |
| 1100   | Appropriation .....  | 1,199  | 1,206  | 1,176  |
| 1160   | Appropriation, discretionary (total) .....                                 | 1,199  | 1,206  | 1,176  |
| Spending authority from offsetting collections, discretionary: |  |        |        |        |
| 1700   | Collected .....  | 405    | 384    | 399    |
| 1701   | Change in uncollected payments, Federal sources .....                      | 35     | .....  | .....  |
| 1750   | Spending auth from offsetting collections, disc (total) .....              | 440    | 384    | 399    |
| 1900   | Budget authority (total) .....   | 1,639  | 1,590  | 1,575  |
| 1930   | Total budgetary resources available .....                                  | 1,667  | 1,612  | 1,597  |
| Memorandum (non-add) entries:                                  |  |        |        |        |
| 1940   | Unobligated balance expiring .....   | -27    | .....  | .....  |
| 1941   | Unexpired unobligated balance, end of year .....                           | 22     | 22     | 22     |
| <b>Change in obligated balance:</b>                            |  |        |        |        |
| Unpaid obligations:  |  |        |        |        |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                           | 242    | 280    | 207    |
| 3010   | Obligations incurred, unexpired accounts .....                             | 1,618  | 1,590  | 1,575  |
| 3011   | Obligations incurred, expired accounts .....                               | 11     | .....  | .....  |
| 3020   | Outlays (gross) .....  | -1,571 | -1,663 | -1,603 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....               | -2     | .....  | .....  |
| 3041   | Recoveries of prior year unpaid obligations, expired .....                 | -18    | .....  | .....  |
| 3050   | Unpaid obligations, end of year .....                                      | 280    | 207    | 179    |
| Uncollected payments:  |  |        |        |        |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -125   | -62    | -62    |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                  | -35    | .....  | .....  |
| 3071   | Change in uncollected pymts, Fed sources, expired .....                    | 98     | .....  | .....  |
| 3090   | Uncollected pymts, Fed sources, end of year .....                          | -62    | -62    | -62    |
| Memorandum (non-add) entries:                                  |  |        |        |        |
| 3100   | Obligated balance, start of year .....                                     | 117    | 218    | 145    |
| 3200   | Obligated balance, end of year .....                                       | 218    | 145    | 117    |
| <b>Budget authority and outlays, net:</b>                      |  |        |        |        |
| Discretionary:   |  |        |        |        |
| 4000   | Budget authority, gross .....  | 1,639  | 1,590  | 1,575  |
| Outlays, gross:  |  |        |        |        |
| 4010   | Outlays from new discretionary authority .....                             | 1,371  | 1,397  | 1,387  |
| 4011   | Outlays from discretionary balances .....                                  | 200    | 266    | 216    |
| 4020   | Outlays, gross (total) .....   | 1,571  | 1,663  | 1,603  |
| Offsets against gross budget authority and outlays:            |  |        |        |        |
| Offsetting collections (collected) from:                       |  |        |        |        |
| 4030   | Federal sources .....  | -503   | -384   | -399   |
| 4033   | Non-Federal sources .....  | -2     | .....  | .....  |
| 4040   | Offsets against gross budget authority and outlays (total) ....            | -505   | -384   | -399   |
| Additional offsets against gross budget authority only:        |  |        |        |        |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....                  | -35    | .....  | .....  |
| 4052   | Offsetting collections credited to expired accounts .....                  | 100    | .....  | .....  |
| 4060   | Additional offsets against budget authority only (total) .....             | 65     | .....  | .....  |
| 4070   | Budget authority, net (discretionary) .....                                | 1,199  | 1,206  | 1,176  |
| 4080   | Outlays, net (discretionary) .....   | 1,066  | 1,279  | 1,204  |
| 4180   | Budget authority, net (total) .....  | 1,199  | 1,206  | 1,176  |
| 4190   | Outlays, net (total) .....   | 1,066  | 1,279  | 1,204  |

The Farm Service Agency (FSA) was established October 3, 1994, pursuant to the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994, P.L. 103-354. The Department of Agriculture Reorganization Act of 1994 was amended on April 4, 1996, by the Federal Agriculture Improvement and Reform Act of 1996 (1996 Act), P.L. 104-127. The FSA administers a variety of activities, such as farm income support programs through various loans and payments; the Conservation Reserve Program (CRP); the Emergency Conservation Program; the Hazardous Waste Management Program; the Commodity Operation Programs including the warehouse examination function; farm ownership, farm operating, emergency disaster, and other loan programs; and the Noninsured Crop Disaster Assistance Program (NAP), which provides crop loss protection for growers of many crops for which crop insurance is not avail-

able. The American Taxpayer Relief Act of 2012 extended the 2008 Farm Bill through the 2013 crop year. FSA also assists in the administration of several conservation cost-share programs financed by the Commodity Credit Corporation (CCC), including the Grasslands Reserve Program (GRP). In addition, FSA currently provides certain administrative support services to the Foreign Agricultural Service (FAS) and to the Risk Management Agency (RMA).

This consolidated administrative expenses account includes funds to cover expenses of programs administered by, and functions assigned to, FSA. The funds consist of a direct appropriation, transfers from program loan accounts under credit reform procedures, user fees, and advances and reimbursements from other sources. This is a consolidated account for administrative expenses of national, regional, State, and county offices. The 2014 Budget decreases the direct appropriation by \$22 million and increases the transfers by \$17 million, providing about \$1.5 billion in total (approximately the same level as the 2012 enacted level).

USDA's FSA, Natural Resources Conservation Service, and Rural Development offices act as separate franchises, with offices often located adjacent to each other. Prior efforts to improve the efficiency of USDA's county-based offices have resulted in significant co-location and introduction of new information technology to simplify customer transactions.

**Farm programs.**—These programs provide an economic safety net through farm income support to eligible producers, cooperatives, and associations to help improve the economic stability and viability of the agricultural sector and to ensure the production of an adequate and reasonably priced supply of food and fiber. Activities of the Agency include providing direct and counter-cyclical and average crop revenue election payments, providing marketing assistance loans and loan deficiency payments enabling recipients to continue farming operations without marketing their product immediately after harvest, and providing a financial safety net to eligible producers when natural disasters adversely affect their farming operation. These programs range from covering losses of; grazing under the Livestock Forage Disaster Program, orchard trees and nursery to help replant or rehabilitate trees under the Tree Assistance Program, crop production and quality under the Supplemental Revenue Assistance Payments Program, production under the Noninsured Crop Disaster Assistance Program, livestock under the Livestock Indemnity Program, livestock, honeybees and farm raised fish for losses that are not covered under the previously listed programs under the Emergency Assistance for Livestock, Honeybees, and Farm Raised Fish.

Farm program activities include the following functions dealing with the administration of programs carried out through the farmer committee system of the FSA: (a) developing program regulations and procedures; (b) collecting and compiling basic data for individual farms; (c) establishing individual farm base acres for farm planting history; (d) notifying producers of established base acres and farm planting histories; (e) conducting referendums and certifying results; (f) accepting farmer certifications and checking compliance for specific purposes; (g) processing commodity loan documents and issuing checks; (h) processing direct and counter-cyclical and average crop revenue election (ACRE) payments and issuing checks; (i) certifying payment eligibility and monitoring payment limitations; and (j) processing farm storage facility loans and issuing checks.

**Conservation and environment.**—These programs assist agricultural producers and landowners in implementing practices to conserve soil, water, air, and wildlife resources on America's farmland and ranches to help protect the human and natural

## SALARIES AND EXPENSES—Continued

environment. Objectives of the Agency include improving environmental quality, protecting natural resources, and enhancing habitat for fish and wildlife, including threatened and endangered species; providing Emergency Conservation Program funding for farmers and ranchers to rehabilitate damaged farmland and for carrying out emergency conservation measures during periods of severe drought or flooding; protecting the public health of communities through implementation of the Hazardous Waste Management Program; and implementing contracting, financial reporting, and other administrative operations processes. These activities include: (a) processing producer requests for conservation cost-sharing and issuing conservation reserve rental payments; and (b) transferring funds to the Natural Resources Conservation Service and other agencies for other conservation programs.

**Commodity operations.**—This activity includes: (a) overall management of CCC-owned commodities; (b) purchasing commodities; (c) donating commodities; (d) selling commodities; (e) accounting for loans and commodities; and (f) commercial warehouse activities, which include improving the effectiveness and efficiency of FSA's commodity acquisition, procurement, storage, and distribution activities to support domestic and international food assistance programs and administering the U.S. Warehouse Act (USWA). FSA provides for the examination of warehouses licensed under the USWA and non-licensed warehouses storing CCC-owned or pledged commodities. Examiners perform periodic examinations of the facilities and the warehouse records to ensure protection of depositors against potential losses of the stored commodities and to ensure compliance with the USWA and any CCC storage agreements.

**Farm loans (reimbursable).**—Provides for administering the direct and guaranteed loan programs covered under the Agricultural Credit Insurance Fund (ACIF). Objectives of the Agency include improving the economic viability of farmers and ranchers, reducing losses in direct loan programs, responding to loan making and servicing requests, and maximizing financial and technical assistance to underserved groups. Activities include reviewing applications, servicing the loan portfolio, and providing technical assistance and guidance to borrowers. Funding for farm loan administrative expenses is transferred to this consolidated account from the ACIF. Appropriations representing subsidy amounts necessary to support the individual program loan levels under Federal Credit Reform are made to the ACIF account.

**Other reimbursable activities.**—FSA collects a fee or is reimbursed for performing a variety of services for other Federal agencies, CCC, industry, and others, including certain administrative support services for the Risk Management Agency and the Foreign Agricultural Service, and for county office services provided to Federal and non-Federal entities, including a variety of services to producers.

## Object Classification (in millions of dollars)

| Identification code 12-0600-0-1-351                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 157         | 150     | 151       |
| 11.3 Other than full-time permanent .....                       | 1           |         |           |
| 11.5 Other personnel compensation .....                         | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 159         | 150     | 151       |
| 12.1 Civilian personnel benefits .....                          | 46          | 44      | 44        |
| 13.0 Benefits for former personnel .....                        | 3           |         |           |
| 21.0 Travel and transportation of persons .....                 | 5           | 7       | 4         |
| 22.0 Transportation of things .....                             | 2           | 3       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 17          | 8       | 6         |
| 24.0 Printing and reproduction .....                            | 1           | 1       |           |
| 25.2 Other services from non-Federal sources .....              | 325         | 327     | 310       |

|   |       |       |       |
|---|-------|-------|-------|
| 26.0 Supplies and materials .....               | 3     | 3     | 2     |
| 31.0 Equipment .....                            | 3     | 3     | 3     |
| 41.0 Grants, subsidies, and contributions ..... | 637   | 660   | 655   |
| 42.0 Insurance claims and indemnities .....     | 1     |       |       |
| 99.0 Direct obligations .....                   | 1,202 | 1,206 | 1,176 |
| 99.0 Reimbursable obligations .....             | 416   | 384   | 399   |
| 99.9 Total new obligations .....                | 1,618 | 1,590 | 1,575 |

## Employment Summary

| Identification code 12-0600-0-1-351                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,862       | 1,814   | 1,814     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 2,626       | 2,622   | 2,622     |

## STATE MEDIATION GRANTS

*For grants pursuant to section 502(b) of the Agricultural Credit Act of 1987, as amended (7 U.S.C. 5101-5106), \$3,782,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-0170-0-1-351                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 State mediation grants .....                     | 4           | 4       | 4         |
| 0900 Total new obligations (object class 41.0) .....  | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....       | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....        | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 4           | 4       | 4         |
| 3020 Outlays (gross) .....                            | -4          | -4      | -4        |
| 3050 Unpaid obligations, end of year .....            | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 2       | 2         |
| 3200 Obligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 4           | 4       | 4         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 2           | 2       | 2         |
| 4011 Outlays from discretionary balances .....        | 2           | 2       | 2         |
| 4020 Outlays, gross (total) .....                     | 4           | 4       | 4         |
| 4180 Budget authority, net (total) .....              | 4           | 4       | 4         |
| 4190 Outlays, net (total) .....                       | 4           | 4       | 4         |

This grant program is authorized by Title V of the Agricultural Credit Act of 1987, P.L. 100-233, as amended. Originally designed to address agricultural credit disputes, the program was expanded by the Federal Crop Insurance Reform and Department of Agriculture Reorganization Act of 1994 (P.L. 103-354) to include other agricultural issues such as wetland determinations, conservation compliance, rural water loan programs, grazing on National Forest System lands, and pesticide use. Grants are made to States whose agricultural mediation programs have been certified by the Farm Service Agency. A grant will not exceed 69 percent of the total fiscal year funds that a qualifying State requires to operate and administer its agricultural mediation program. In

no case will the total amount of a grant exceed \$500,000 annually. Current authority for the program under P.L. 111–233 expires September 10, 2015. The 2014 Budget requests \$3.8 million for the program, which is the same as the 2013 estimated level.

**GRANT OBLIGATIONS**

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Number of States receiving grants .....         | 34          | 37        | 38        |
| Amount of grants (in millions of dollars) ..... | 4           | 4         | 4         |

**DISCRIMINATION CLAIMS SETTLEMENT****Program and Financing** (in millions of dollars)

| Identification code 12–1144–0–1–351                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Discrimination Claims Settlement .....           |             | 1,150   |           |
| 0900 Total new obligations (object class 42.0) .....  |             | 1,150   |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1,150       | 1,150   |           |
| 1930 Total budgetary resources available .....        | 1,150       | 1,150   |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1,150       |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             | 1,150   |           |
| 3020 Outlays (gross) .....                            |             | –1,150  |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            |             | 1,150   |           |
| 4190 Outlays, net (total) .....                       |             | 1,150   |           |

The Claims Resolution Act of 2010, P.L. 111–291 that was signed into law on December 8, 2010 provides funding to settle claims of prior discrimination brought by black farmers against the Department of Agriculture. These funds supplement funding previously provided to USDA for this purpose by section 14012 of P.L. 110–246. Claimants that suffered discrimination between 1989 and 1997 and submitted a late-filing request can seek fast-track payments of up to \$50,000 plus debt relief, or choose a longer, more rigorous review and documentation process for damages of up to \$250,000. The actual value of awards may be reduced based on the total amount of funds made available and the number of successful claims.

**USDA SUPPLEMENTAL ASSISTANCE****Program and Financing** (in millions of dollars)

| Identification code 12–2701–0–1–351                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Geographically disadvantaged farmers and ranchers program ..... | 2           | 2       |           |
| 0900 Total new obligations (object class 41.0) .....                 | 2           | 2       |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 782         | 3       | 3         |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....      | –962        |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 183         |         |           |
| 1050 Unobligated balance (total) .....                               | 3           | 3       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 2           | 2       |           |
| 1160 Appropriation, discretionary (total) .....                      | 2           | 2       |           |

|   |   |   |   |
|---|---|---|---|
| 1930 Total budgetary resources available .....        | 5 | 5 | 3 |
| Memorandum (non-add) entries:                         |   |   |   |
| 1941 Unexpired unobligated balance, end of year ..... | 3 | 3 | 3 |

**Change in obligated balance:**

|   |      |    |    |
|---|------|----|----|
| Unpaid obligations:   |      |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 186  | 3  | 2  |
| 3010 Obligations incurred, unexpired accounts .....               | 2    | 2  |    |
| 3020 Outlays (gross) .....  | –2   | –3 | –2 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –183 |    |    |
| 3050 Unpaid obligations, end of year .....                        | 3    | 2  |    |
| Memorandum (non-add) entries:                                     |      |    |    |
| 3100 Obligated balance, start of year .....                       | 186  | 3  | 2  |
| 3200 Obligated balance, end of year .....                         | 3    | 2  |    |

**Budget authority and outlays, net:**

|  |   |   |   |
|--|---|---|---|
| Discretionary:                                 |   |   |   |
| 4000 Budget authority, gross .....             | 2 | 2 |   |
| Outlays, gross:                                |   |   |   |
| 4011 Outlays from discretionary balances ..... | 2 | 3 | 2 |
| 4180 Budget authority, net (total) .....       | 2 | 2 |   |
| 4190 Outlays, net (total) .....                | 2 | 3 | 2 |

Sec. 1621 of the Food, Conservation, and Energy Act of 2008, Public Law 110–246 Section 1621, enacted June 18, 2008, (2008 Farm Bill) authorized reimbursement payments to geographically disadvantaged farmers or ranchers to transport agricultural commodities or inputs used to produce agricultural commodities; and the Department of Defense and Full-Year Continuing Appropriations Act, 2012, Public Law 112–55 Section 724, provided \$1,996,000 to the Farm Service Agency to administer a program to assist farmers and ranchers in Hawaii, Alaska, Puerto Rico, Virgin Islands and insular areas who paid to transport either an agricultural commodity or an input used to produce an agricultural commodity during 2012.

**REFORESTATION PILOT PROGRAM****Program and Financing** (in millions of dollars)

| Identification code 12–3305–0–1–302                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Reforestation pilot program .....               | 1           | 1       |           |
| 0900 Total new obligations (object class 41.0) ..... | 1           | 1       |           |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 1           | 1       |           |
| 1160 Appropriation, discretionary (total) .....      | 1           | 1       |           |
| 1930 Total budgetary resources available .....       | 1           | 1       |           |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 1           | 1       |           |
| 3020 Outlays (gross) .....                           | –1          | –1      |           |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 1           | 1       |           |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 1           | 1       |           |
| 4180 Budget authority, net (total) .....             | 1           | 1       |           |
| 4190 Outlays, net (total) .....                      | 1           | 1       |           |

In 2012, \$600,000 was appropriated by Section 727 of the 2012 Consolidated and Further Continuing Appropriations Act, P.L. 112–55. The program's purpose is to demonstrate the use of new technologies that increase the rate of growth of re-forested hardwood trees on private non-industrial forest lands, enrolling lands on the coast of the Gulf of Mexico that were damaged by

## REFORESTATION PILOT PROGRAM—Continued

Hurricane Katrina in 2005. The 2014 Budget proposes no funding for this program.

## EMERGENCY CONSERVATION PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 12–3316–0–1–453                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Emergency conservation program .....                         | 75          | 188     | .....     |
| 0900 Total new obligations (object class 41.0) .....              | 75          | 188     | .....     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 106         | 173     | .....     |
| 1011 Unobligated balance transfer from other accts [12–5531] .... | 14          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 5           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 125         | 173     | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 123         | 15      | .....     |
| 1160 Appropriation, discretionary (total) .....                   | 123         | 15      | .....     |
| 1930 Total budgetary resources available .....                    | 248         | 188     | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 173         | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 32          | 46      | 144       |
| 3010 Obligations incurred, unexpired accounts .....               | 75          | 188     | .....     |
| 3020 Outlays (gross) .....  | –56         | –90     | –93       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –5          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 46          | 144     | 51        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 32          | 46      | 144       |
| 3200 Obligated balance, end of year .....                         | 46          | 144     | 51        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 123         | 15      | .....     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 24          | .....   | .....     |
| 4011 Outlays from discretionary balances .....                    | 32          | 90      | 93        |
| 4020 Outlays, gross (total) .....                                 | 56          | 90      | 93        |
| 4180 Budget authority, net (total) .....                          | 123         | 15      | .....     |
| 4190 Outlays, net (total) .....                                   | 56          | 90      | 93        |

The Emergency Conservation Program (ECP) was authorized by the Agricultural Credit Act of 1978 (16 U.S.C. 2201–05). It provides funds for sharing the cost of emergency measures to deal with cases of severe damage to farmlands and rangelands resulting from natural disasters.

During 2012, 45 States and 1 Territory participated in the Emergency Conservation Program (ECP), involving an estimated 1,900,000 acres and approximately \$56,113,938 in cost-share and technical assistance outlays. In 2012, the Consolidated and Further Continuing Appropriations Act, P.L. 112–55, provided \$122,700,000 for ECP. Use of this appropriation is limited to major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.). These funds continue to help agricultural producers remove debris from farmland, restore livestock fences and conservation structures, provide water for livestock during periods of severe drought, and grade and shape farmland damaged by natural disasters. The Disaster Relief Appropriations Act, 2013 provided \$15 million for ECP and, as in 2012, limits the use of funds for

major disasters declared pursuant to the Stafford Act. The 2014 Budget does not propose funding for this program.

## EMERGENCY FOREST RESTORATION PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 12–0171–0–1–453                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 EFRP .....   | 17          | 51      | .....     |
| 0900 Total new obligations (object class 41.0) .....              | 17          | 51      | .....     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 16          | 28      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 17          | 28      | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 28          | 23      | .....     |
| 1160 Appropriation, discretionary (total) .....                   | 28          | 23      | .....     |
| 1930 Total budgetary resources available .....                    | 45          | 51      | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 28          | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2           | 16      | 59        |
| 3010 Obligations incurred, unexpired accounts .....               | 17          | 51      | .....     |
| 3020 Outlays (gross) .....  | –2          | –8      | –22       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 16          | 59      | 37        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 2           | 16      | 59        |
| 3200 Obligated balance, end of year .....                         | 16          | 59      | 37        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 28          | 23      | .....     |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 2           | 8       | 22        |
| 4180 Budget authority, net (total) .....                          | 28          | 23      | .....     |
| 4190 Outlays, net (total) .....                                   | 2           | 8       | 22        |

The Emergency Forest Restoration Program (EFRP) provides payments to eligible owners of non-industrial private forest (NIPF) for implementation of emergency measures to restore land damaged by a natural disaster. A total of \$18 million was appropriated by the Supplemental Appropriations Act of 2010, P.L. 111–212 and an additional \$28.4 million was appropriated in 2012 by the Consolidated and Further Continuing Appropriations Act, P.L. 112–55. During 2012, 9 States participated in the EFRP involving an estimated 1,360 acres and approximately \$1,991,152 in cost-share and technical assistance outlays. The 2014 Budget does not include funding for EFRP.

## GRASSROOTS SOURCE WATER PROTECTION PROGRAM

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–3304–0–1–302                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Grassroots source water payments .....          | 4           | 4       | .....     |
| 0900 Total new obligations (object class 41.0) ..... | 4           | 4       | .....     |

|   |  |    |    |       |
|---|--|----|----|-------|
| <b>Budgetary Resources:</b>               |  |    |    |       |
| Budget authority:                         |  |    |    |       |
| Appropriations, discretionary:            |  |    |    |       |
| 1100                                      | Appropriation .....                            | 4  | 4  | ..... |
| 1160                                      | Appropriation, discretionary (total) .....     | 4  | 4  | ..... |
| 1930                                      | Total budgetary resources available .....      | 4  | 4  | ..... |
| <b>Change in obligated balance:</b>       |  |    |    |       |
| Unpaid obligations:                       |  |    |    |       |
| 3010                                      | Obligations incurred, unexpired accounts ..... | 4  | 4  | ..... |
| 3020                                      | Outlays (gross) .....                          | -4 | -4 | ..... |
| <b>Budget authority and outlays, net:</b> |  |    |    |       |
| Discretionary:                            |  |    |    |       |
| 4000                                      | Budget authority, gross .....                  | 4  | 4  | ..... |
| Outlays, gross:                           |  |    |    |       |
| 4010                                      | Outlays from new discretionary authority ..... | 4  | 4  | ..... |
| 4180                                      | Budget authority, net (total) .....            | 4  | 4  | ..... |
| 4190                                      | Outlays, net (total) .....                     | 4  | 4  | ..... |

The Grassroots Source Water Protection Program (GSWPP) is a joint project by USDA's Farm Service Agency and the nonprofit National Rural Water Association. It is designed to help prevent source water pollution in States through voluntary practices installed by producers at the local level. GSWPP uses onsite technical assistance capabilities of each State rural water association that operates a wellhead or groundwater protection program in the State. State rural water associations can deliver assistance in developing source water protection plans within priority watersheds for the common goal of preventing the contamination of drinking water supplies. The 2008 Farm Bill authorized this program to continue through 2012. The Taxpayer Relief Act of 2012 extended this program through September 30, 2013. The 2012 enacted level provided \$3.8 million for GSWPP, and the 2014 Budget proposes no funding for this program.

#### AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT (INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed farm ownership (7 U.S.C. 1922 et seq.) and operating (7 U.S.C. 1941 et seq.) loans, emergency loans (7 U.S.C. 1961 et seq.), Indian tribe land acquisition loans (25 U.S.C. 488), boll weevil loans (7 U.S.C. 1989), guaranteed conservation loans (7 U.S.C. 1924 et seq.), Indian highly fractionated land loans (25 U.S.C. 488), and individual development account grants (7 U.S.C. 1981–2008r) to be available from funds in the Agricultural Credit Insurance Fund, as follows: farm ownership loans, \$2,575,000,000, of which \$2,000,000,000 shall be for unsubsidized guaranteed loans and \$575,000,000 shall be for direct loans; operating loans, \$2,723,686,000, of which \$1,500,000,000 shall be for unsubsidized guaranteed loans and \$1,223,686,000 shall be for direct loans; emergency loans, \$34,658,000; Indian tribe land acquisition loans, \$2,000,000; guaranteed conservation loans, \$150,000,000; Indian highly fractionated land loans, \$10,000,000; and for boll weevil eradication program loans, \$60,000,000: Provided, That the Secretary shall deem the pink bollworm to be a boll weevil for the purpose of boll weevil eradication program loans.

For the cost of direct and guaranteed loans and grants, including the cost of modifying loans as defined in section 502 of the Congressional Budget Act of 1974, as follows: farm ownership loans, \$4,428,000 for direct loans; operating loans, \$85,358,000, of which \$18,300,000 shall be for unsubsidized guaranteed loans, and \$67,058,000 shall be for direct loans; emergency loans, \$1,698,000, to remain available until expended; and Indian highly fractionated land loans, \$68,000.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$314,918,000 of which \$306,998,000 shall be paid to the appropriation for "Farm Service Agency, Salaries and Expenses".

Funds appropriated by this Act to the Agricultural Credit Insurance Program Account for farm ownership, operating and conservation direct loans and guaranteed loans may be transferred among these programs:

Provided, That the Committees on Appropriations of both Houses of Congress are notified at least 15 days in advance of any transfer.

#### DAIRY INDEMNITY PROGRAM

##### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses involved in making indemnity payments to dairy farmers and manufacturers of dairy products under a dairy indemnity program, such sums as may be necessary, to remain available until expended: Provided, That such program is carried out by the Secretary in the same manner as the dairy indemnity program described in the Agriculture, Rural Development, Food and Drug Administration, and Related Agencies Appropriations Act, 2001 (Public Law 106–387, 114 Stat. 1549A-12).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12–1140–0–1–351                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0010 Administrative expenses - PLCE .....                       | 7           | 8       | 8         |
| Credit program obligations:                                     |             |         |           |
| 0701 Direct loan subsidy .....                                  | 93          | 85      | 73        |
| 0702 Loan guarantee subsidy .....                               | 16          | 26      | 18        |
| 0705 Reestimates of direct loan subsidy .....                   | 135         | 86      | .....     |
| 0706 Interest on reestimates of direct loan subsidy .....       | 31          | 41      | .....     |
| 0707 Reestimates of loan guarantee subsidy .....                | 18          | 23      | .....     |
| 0708 Interest on reestimates of loan guarantee subsidy .....    | 16          | 26      | .....     |
| 0709 Administrative expenses .....                              | 290         | 291     | 307       |
| 0791 Direct program activities, subtotal .....                  | 599         | 578     | 398       |
| 0900 Total new obligations .....                                | 606         | 586     | 406       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 4           | 2       | .....     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 4           | 2       | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 406         | 408     | 406       |
| 1160 Appropriation, discretionary (total) .....                 | 406         | 408     | 406       |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 199         | 176     | .....     |
| 1260 Appropriations, mandatory (total) .....                    | 199         | 176     | .....     |
| 1900 Budget authority (total) .....                             | 605         | 584     | 406       |
| 1930 Total budgetary resources available .....                  | 609         | 586     | 406       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | -1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....           | 2           | .....   | .....     |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 98          | 21      | 12        |
| 3010 Obligations incurred, unexpired accounts .....             | 606         | 586     | 406       |
| 3020 Outlays (gross) .....                                      | -679        | -595    | -412      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 21          | 12      | 6         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 98          | 21      | 12        |
| 3200 Obligated balance, end of year .....                       | 21          | 12      | 6         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 406         | 408     | 406       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 386         | 401     | 400       |
| 4011 Outlays from discretionary balances .....                  | 94          | 18      | 12        |
| 4020 Outlays, gross (total) .....                               | 480         | 419     | 412       |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 199         | 176     | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 199         | 176     | .....     |
| 4180 Budget authority, net (total) .....                        | 605         | 584     | 406       |
| 4190 Outlays, net (total) .....                                 | 679         | 595     | 412       |

**AGRICULTURAL CREDIT INSURANCE FUND PROGRAM ACCOUNT—Continued**  
**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 12–1140–0–1–351                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:     |             |         |           |
| 115001 Farm Ownership .....                                     | 530         | 541     | 575       |
| 115002 Farm Operating .....                                     | 1,169       | 1,068   | 1,224     |
| 115003 Emergency Disaster .....                                 | 31          | 36      | 35        |
| 115004 IndianTribe Land Acquisition .....                       | 2           | 2       | 2         |
| 115005 Boll Weevil Eradication .....                            | 21          | 100     | 60        |
| 115010 Indian Highly Fractionated Land .....                    | 11          | 11      | 10        |
| 115999 Total direct loan levels .....                           | 1,751       | 1,758   | 1,906     |
| Direct loan subsidy (in percent):                               |             |         |           |
| 132001 Farm Ownership .....                                     | 4.80        | 4.24    | 0.77      |
| 132002 Farm Operating .....                                     | 5.63        | 5.57    | 5.48      |
| 132003 Emergency Disaster .....                                 | 5.01        | 5.62    | 4.90      |
| 132004 IndianTribe Land Acquisition .....                       | .....       | –14.85  | –35.53    |
| 132005 Boll Weevil Eradication .....                            | –2.16       | –2.54   | –2.69     |
| 132010 Indian Highly Fractionated Land .....                    | .....       | 1.73    | 0.68      |
| 132999 Weighted average subsidy rate .....                      | 5.27        | 4.65    | 3.72      |
| Direct loan subsidy budget authority:                           |             |         |           |
| 133001 Farm Ownership .....                                     | 25          | 23      | 4         |
| 133002 Farm Operating .....                                     | 66          | 59      | 67        |
| 133003 Emergency Disaster .....                                 | 2           | 2       | 2         |
| 133004 IndianTribe Land Acquisition .....                       | .....       | .....   | –1        |
| 133005 Boll Weevil Eradication .....                            | .....       | –3      | –2        |
| 133999 Total subsidy budget authority .....                     | 93          | 81      | 70        |
| Direct loan subsidy outlays:                                    |             |         |           |
| 134001 Farm Ownership .....                                     | 30          | 25      | 7         |
| 134002 Farm Operating .....                                     | 62          | 70      | 69        |
| 134003 Emergency Disaster .....                                 | 2           | 2       | 3         |
| 134004 IndianTribe Land Acquisition .....                       | .....       | .....   | –1        |
| 134005 Boll Weevil Eradication .....                            | .....       | –2      | –2        |
| 134999 Total subsidy outlays .....                              | 94          | 95      | 76        |
| Direct loan upward reestimates:                                 |             |         |           |
| 135001 Farm Ownership .....                                     | 56          | 27      | .....     |
| 135002 Farm Operating .....                                     | 83          | 69      | .....     |
| 135003 Emergency Disaster .....                                 | 15          | 17      | .....     |
| 135005 Boll Weevil Eradication .....                            | 1           | 10      | .....     |
| 135008 Credit Sales of Acquired Property .....                  | 2           | 2       | .....     |
| 135011 Conservation - Direct .....                              | 1           | .....   | .....     |
| 135012 Farm Operating - ARRA .....                              | 7           | 1       | .....     |
| 135999 Total upward reestimate budget authority .....           | 165         | 126     | .....     |
| Direct loan downward reestimates:                               |             |         |           |
| 137001 Farm Ownership .....                                     | –28         | –63     | .....     |
| 137002 Farm Operating .....                                     | –33         | –48     | .....     |
| 137003 Emergency Disaster .....                                 | –17         | –16     | .....     |
| 137005 Boll Weevil Eradication .....                            | –7          | –2      | .....     |
| 137008 Credit Sales of Acquired Property .....                  | –3          | –3      | .....     |
| 137999 Total downward reestimate budget authority .....         | –88         | –132    | .....     |
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Farm Ownership—Unsubsidized .....                        | 1,500       | 1,500   | 2,000     |
| 215002 Farm Operating—Unsubsidized .....                        | 934         | 2,209   | 1,500     |
| 215005 Conservation - Guaranteed .....                          | .....       | 150     | 150       |
| 215999 Total loan guarantee levels .....                        | 2,434       | 3,859   | 3,650     |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Farm Ownership—Unsubsidized .....                        | –0.01       | –0.07   | –0.16     |
| 232002 Farm Operating—Unsubsidized .....                        | 1.74        | 1.19    | 1.22      |
| 232005 Conservation - Guaranteed .....                          | .....       | –0.28   | –0.36     |
| 232999 Weighted average subsidy rate .....                      | 0.66        | 0.64    | 0.40      |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Farm Ownership—Unsubsidized .....                        | .....       | –1      | –3        |
| 233002 Farm Operating—Unsubsidized .....                        | 16          | 26      | 18        |
| 233005 Conservation - Guaranteed .....                          | .....       | .....   | –1        |
| 233999 Total subsidy budget authority .....                     | 16          | 25      | 14        |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 Farm Ownership—Unsubsidized .....                        | .....       | –1      | –3        |
| 234002 Farm Operating—Unsubsidized .....                        | 15          | 24      | 18        |
| 234999 Total subsidy outlays .....                              | 15          | 23      | 15        |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 Farm Ownership—Unsubsidized .....                        | 10          | 11      | .....     |
| 235002 Farm Operating—Unsubsidized .....                        | 15          | 26      | .....     |
| 235003 Farm Operating—Subsidized .....                          | 8           | 13      | .....     |
| 235999 Total upward reestimate budget authority .....           | 33          | 50      | .....     |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 Farm Ownership—Unsubsidized .....                        | –16         | –20     | .....     |
| 237002 Farm Operating—Unsubsidized .....                        | –76         | –35     | .....     |

|   |      |     |       |
|---|------|-----|-------|
| 237003 Farm Operating—Subsidized .....                          | –28  | –15 | ..... |
| 237999 Total downward reestimate subsidy budget authority ..... | –120 | –70 | ..... |
| Administrative expense data:                                    |      |     |       |
| 3510 Budget authority .....                                     | 298  | 298 | 315   |
| 3590 Outlays from new authority .....                           | 296  | 298 | 315   |

The Agricultural Credit Insurance Fund program account's loans are authorized by Title III of the Consolidated Farm and Rural Development Act, as amended.

This program account includes subsidies to provide direct and guaranteed loans for farm ownership, farm operating, conservation, and emergency loans to individuals. This account also includes funding for individual development account grants. Indian tribes and tribal corporations are eligible for Indian land acquisition loans, while individual Native Americans are eligible for loans for the purchase of highly fractionated Indian lands. Boll weevil eradication loans are available to eliminate the cotton boll weevil pest from infested areas. The 2014 Budget does not provide funding for guaranteed subsidized farm operating loans or direct conservation loans. The 2013 estimated level is \$108.9 million for loan subsidies, and the 2014 Budget requests \$91.6 million for loan subsidies and grants, which is a decrease of \$17.3 million. However, the 2014 Budget request is sufficient to support about the same loan levels as those in 2013. The 2014 Budget also provides an increase in loan level of \$34.7 million for Emergency Loans, which have been funded through the use of carryover funds for the past several years.

As required by the Federal Credit Reform Act of 1990, this account records for this program the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. For administrative costs, the 2013 estimated level provides \$299.4 million, and the 2014 Budget requests \$314.9 million, which is an increase of \$15.5 million.

Under the Dairy Indemnity Program, payments are made to farmers and manufacturers of dairy products who are directed to remove their milk or milk products from commercial markets because they contain residues of chemicals that have been registered and approved by the Federal Government, other chemicals, nuclear radiation, or nuclear fallout. Indemnification may also be paid for cows producing such milk. In 2012, \$286,777 was paid to producers who filed claims under the program and the 2014 Budget requests such sums as may be necessary, which are estimated to be \$250,000 for this program.

**Object Classification** (in millions of dollars)

| Identification code 12–1140–0–1–351                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 297         | 299     | 315       |
| 41.0 Grants, subsidies, and contributions .....          | 309         | 287     | 91        |
| 99.9 Total new obligations .....                         | 606         | 586     | 406       |

**AGRICULTURAL CREDIT INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12–4212–0–3–351 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0003 Capitalized costs .....        | 6           | 7       | 7         |
| 0005 Civil rights settlements ..... | .....       | 3       | 3         |



|      |   |       |       |       |
|------|---|-------|-------|-------|
| 0091 | Direct program by activities - subtotal (1 level) ..... | 6     | 10    | 10    |
|      | Credit program obligations:                             |       |       |       |
| 0710 | Direct loan obligations .....                           | 1,751 | 1,758 | 1,906 |
| 0713 | Payment of interest to Treasury .....                   | 289   | 265   | 250   |
| 0740 | Negative subsidy obligations .....                      |       | 3     | 3     |
| 0742 | Downward reestimate paid to receipt account .....       | 42    | 85    |       |
| 0743 | Interest on downward reestimates .....                  | 47    | 48    |       |
| 0791 | Direct program activities, subtotal .....               | 2,129 | 2,159 | 2,159 |
| 0900 | Total new obligations .....                             | 2,135 | 2,169 | 2,169 |

**Budgetary Resources:**

|      |  |        |        |        |
|------|--|--------|--------|--------|
|      | Unobligated balance:   |        |        |        |
| 1000 | Unobligated balance brought forward, Oct 1 .....                           | 856    | 381    | 79     |
| 1021 | Recoveries of prior year unpaid obligations .....                          | 64     |        |        |
| 1023 | Unobligated balances applied to repay debt .....                           | -856   | -375   | -75    |
| 1024 | Unobligated balance of borrowing authority withdrawn .....                 | -60    |        |        |
| 1050 | Unobligated balance (total) .....  | 4      | 6      | 4      |
|      | Financing authority:   |        |        |        |
|      | Borrowing authority, mandatory:  |        |        |        |
| 1400 | Borrowing authority .....  | 1,962  | 1,654  | 1,826  |
| 1440 | Borrowing authority, mandatory (total) .....                               | 1,962  | 1,654  | 1,826  |
|      | Spending authority from offsetting collections, mandatory:                 |        |        |        |
| 1800 | Collected .....  | 1,945  | 1,888  | 1,787  |
| 1801 | Change in uncollected payments, Federal sources .....                      | -5     |        |        |
| 1825 | Spending authority from offsetting collections applied to repay debt ..... | -1,390 | -1,300 | -1,300 |
| 1850 | Spending auth from offsetting collections, mand (total) .....              | 550    | 588    | 487    |
| 1900 | Financing authority (total) .....  | 2,512  | 2,242  | 2,313  |
| 1930 | Total budgetary resources available .....                                  | 2,516  | 2,248  | 2,317  |
|      | Memorandum (non-add) entries:  |        |        |        |
| 1941 | Unexpired unobligated balance, end of year .....                           | 381    | 79     | 148    |

**Change in obligated balance:**

|      |  |        |        |        |
|------|--|--------|--------|--------|
|      | Unpaid obligations:  |        |        |        |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....             | 338    | 310    | 453    |
| 3010 | Obligations incurred, unexpired accounts .....               | 2,135  | 2,169  | 2,169  |
| 3020 | Financing disbursements (gross) .....                        | -2,099 | -2,026 | -2,180 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -64    |        |        |
| 3050 | Unpaid obligations, end of year .....                        | 310    | 453    | 442    |
|      | Uncollected payments:  |        |        |        |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -22    | -17    | -17    |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | 5      |        |        |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | -17    | -17    | -17    |
|      | Memorandum (non-add) entries:                                |        |        |        |
| 3100 | Obligated balance, start of year .....                       | 316    | 293    | 436    |
| 3200 | Obligated balance, end of year .....                         | 293    | 436    | 425    |

**Financing authority and disbursements, net:**

|      |  |        |        |        |
|------|--|--------|--------|--------|
|      | Mandatory:   |        |        |        |
| 4090 | Financing authority, gross .....                                     | 2,512  | 2,242  | 2,313  |
|      | Financing disbursements:   |        |        |        |
| 4110 | Financing disbursements, gross .....                                 | 2,099  | 2,026  | 2,180  |
|      | Offsets against gross financing authority and disbursements:         |        |        |        |
|      | Offsetting collections (collected) from:                             |        |        |        |
| 4120 | Federal Sources: Reestimate payment from program account .....       | -165   | -126   |        |
| 4120 | Federal Sources: Subsidy payment from program account .....          | -94    | -97    | -79    |
| 4122 | Federal Sources: Interest on uninvested funds .....                  | -52    | -48    | -50    |
| 4123 | Repayments of principal .....  | -1,406 | -1,376 | -1,426 |
| 4123 | Repayments of interest .....   | -223   | -241   | -232   |
| 4123 | Other .....  | -5     |        |        |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -1,945 | -1,888 | -1,787 |
|      | Additional offsets against financing authority only (total):         |        |        |        |
| 4140 | Change in uncollected pymts, Fed sources, unexpired .....            | 5      |        |        |
| 4160 | Financing authority, net (mandatory) .....                           | 572    | 354    | 526    |
| 4170 | Financing disbursements, net (mandatory) .....                       | 154    | 138    | 393    |
| 4180 | Financing authority, net (total) .....                               | 572    | 354    | 526    |
| 4190 | Financing disbursements, net (total) .....                           | 154    | 138    | 393    |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4212-0-3-351                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 1,812       | 1,726   | 1,906     |
| 1121 Limitation available from carry-forward .....                     | 31          | 32      |           |
| 1142 Unobligated direct loan limitation (-) .....                      | -60         |         |           |

|      |   |        |        |        |
|------|---|--------|--------|--------|
| 1143 | Unobligated limitation carried forward (P.L. 106-113) (-) ..... | -32    |        |        |
| 1150 | Total direct loan obligations .....                             | 1,751  | 1,758  | 1,906  |
|      | Cumulative balance of direct loans outstanding:                 |        |        |        |
| 1210 | Outstanding, start of year .....                                | 6,984  | 7,259  | 7,465  |
| 1231 | Disbursements: Direct loan disbursements .....                  | 1,707  | 1,623  | 1,902  |
| 1251 | Repayments: Repayments and prepayments .....                    | -1,403 | -1,376 | -1,426 |
| 1261 | Adjustments: Capitalized interest .....                         | 8      |        |        |
| 1263 | Write-offs for default: Direct loans .....                      | -37    | -41    | -50    |
| 1290 | Outstanding, end of year .....                                  | 7,259  | 7,465  | 7,891  |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including credit sales of acquired property that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

This account finances direct loans for farm ownership, farm operating, emergency disaster, Indian land acquisition, Indian highly fractionated land, boll weevil eradication, conservation, and credit sales of acquired property.

**Balance Sheet (in millions of dollars)**

| Identification code 12-4212-0-3-351                            | 2011 actual   | 2012 actual |
|--|---|-------------|
| <b>ASSETS:</b>   |   |             |
| Federal assets:  |   |             |
| 1101 Fund balances with Treasury .....                         | 856   | 382         |
|  | Investments in US securities:                                     |             |
| 1106 Receivables, net .....                                    | 166   | 112         |
|  | Net value of assets related to post-1991 direct loans receivable: |             |
| 1401 Direct loans receivable, gross .....                      | 6,984   | 7,259       |
| 1402 Interest receivable .....                                 | 223   | 221         |
| 1403 Accounts receivable from foreclosed property .....        | 9   | 10          |
| 1405 Allowance for subsidy cost (-) .....                      | -434  | -446        |
| 1405 Allowance for Interest Receivable (-) .....               | -78   | -80         |
| 1499 Net present value of assets related to direct loans ..... | 6,704   | 6,964       |
| 1999 Total assets .....  | 7,726   | 7,458       |
| <b>LIABILITIES:</b>  |   |             |
| 2104 Federal liabilities: Resources payable to Treasury .....  | 7,635   | 7,316       |
| 2207 Non-Federal liabilities: Other .....                      | 91  | 142         |
| 2999 Total liabilities .....                                   | 7,726   | 7,458       |
| 4999 Total upward reestimate subsidy BA [12-1140] .....        | 7,726   | 7,458       |

**AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing (in millions of dollars)**

| Identification code 12-4213-0-3-351                          | 2012 actual                 | 2013 CR | 2014 est. |
|--|-----------------------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |                             |         |           |
| 0003 Purchase of guaranteed loans .....                      | 1                           | 1       | 1         |
| 0004 Interest assistance .....                               | 3                           | 12      | 6         |
| 0091 Direct program by activities - subtotal (1 level) ..... | 4                           | 13      | 7         |
|  | Credit program obligations: |         |           |
| 0711 Default claim payments on principal .....               | 39                          | 45      | 46        |
| 0712 Default claim payments on interest .....                | 1                           | 1       | 1         |
| 0713 Payment of interest to Treasury .....                   | 1                           | 2       | 2         |
| 0740 Negative subsidy obligations .....                      |                             | 1       | 4         |
| 0742 Downward reestimate paid to receipt account .....       | 93                          | 49      |           |
| 0743 Interest on downward reestimates .....                  | 26                          | 20      |           |
| 0791 Direct program activities, subtotal .....               | 160                         | 118     | 53        |
| 0900 Total new obligations .....                             | 164                         | 131     | 60        |

**Budgetary Resources:**

|      |   |     |     |     |
|------|---|-----|-----|-----|
|      | Unobligated balance:                              |     |     |     |
| 1000 | Unobligated balance brought forward, Oct 1 .....  | 197 | 145 | 167 |
| 1021 | Recoveries of prior year unpaid obligations ..... | 22  | 30  | 30  |
| 1023 | Unobligated balances applied to repay debt .....  | -6  | -1  | -1  |
| 1050 | Unobligated balance (total) .....                 | 213 | 174 | 196 |

**AGRICULTURAL CREDIT INSURANCE FUND GUARANTEED LOAN FINANCING  
ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 12-4213-0-3-351                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 7           | 1       | 1         |
| 1440 Borrowing authority, mandatory (total) .....                         | 7           | 1       | 1         |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  | 87          | 123     | 72        |
| 1801 Change in uncollected payments, Federal sources .....                | 2           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 89          | 123     | 72        |
| 1900 Financing authority (total) .....                                    | 96          | 124     | 73        |
| 1930 Total budgetary resources available .....                            | 309         | 298     | 269       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 145         | 167     | 209       |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 99          | 63      | 42        |
| 3010 Obligations incurred, unexpired accounts .....                       | 164         | 131     | 60        |
| 3020 Financing disbursements (gross) .....                                | -178        | -122    | -50       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....         | -22         | -30     | -30       |
| 3050 Unpaid obligations, end of year .....                                | 63          | 42      | 22        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         |             | -2      | -2        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | -2          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -2          | -2      | -2        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 99          | 61      | 40        |
| 3200 Obligated balance, end of year .....                                 | 61          | 40      | 20        |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 96          | 124     | 73        |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 178         | 122     | 50        |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Payments from program account upward reestimate .....                | -48         | -49     |           |
| 4120 Payments from program account subsidy .....                          |             | -24     | -18       |
| 4122 Interest on uninvested funds .....                                   | -4          | -4      | -4        |
| 4123 Fees and premiums .....  | -35         | -42     | -46       |
| 4123 Loss recoveries and repayments .....                                 |             | -4      | -4        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -87         | -123    | -72       |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -2          |         |           |
| 4160 Financing authority, net (mandatory) .....                           | 7           | 1       | 1         |
| 4170 Financing disbursements, net (mandatory) .....                       | 91          | -1      | -22       |
| 4180 Financing authority, net (total) .....                               | 7           | 1       | 1         |
| 4190 Financing disbursements, net (total) .....                           | 91          | -1      | -22       |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 12-4213-0-3-351                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:    |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....         | 2,611       | 3,859   | 3,650     |
| 2142 Uncommitted loan guarantee limitation .....                          | -177        |         |           |
| 2150 Total guaranteed loan commitments .....                              | 2,434       | 3,859   | 3,650     |
| 2199 Guaranteed amount of guaranteed loan commitments .....               | 2,384       | 3,473   | 3,285     |
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 12,483      | 12,837  | 14,004    |
| 2231 Disbursements of new guaranteed loans .....                          | 2,649       | 3,684   | 3,679     |
| 2251 Repayments and prepayments .....                                     | -2,157      | -2,439  | -2,661    |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....       | -65         | -65     | -65       |
| 2263 Terminations for default that result in claim payments .....         | -73         | -13     | -13       |
| 2290 Outstanding, end of year .....                                       | 12,837      | 14,004  | 14,944    |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 11,346      | 12,604  | 13,450    |

**Addendum:**

|   |     |     |     |
|---|-----|-----|-----|
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |     |     |     |
| 2310 Outstanding, start of year .....   | 115 | 137 | 150 |
| 2331 Disbursements for guaranteed loan claims .....                               | 41  | 24  | 24  |
| 2351 Repayments of loans receivable .....   | -1  | -1  | -1  |
| 2361 Write-offs of loans receivable .....   | -18 | -10 | -10 |
| 2390 Outstanding, end of year .....   | 137 | 150 | 163 |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. This account finances commitments made for farm ownership, operating, and conservation guaranteed loan programs.

**Balance Sheet (in millions of dollars)**

| Identification code 12-4213-0-3-351  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                                   | 296         | 206         |
| 1206 Non-Federal assets: Receivables, net .....  | 36          | 50          |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 115         | 137         |
| 1505 Allowance for subsidy cost (-) .....  | -113        | -136        |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             | 2           | 1           |
| 1999 Total assets .....  | 334         | 257         |
| <b>LIABILITIES:</b>  |             |             |
| Federal liabilities:   |             |             |
| 2104 Resources payable to Treasury .....   | 11          | 12          |
| 2105 Other .....   | 114         | 62          |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees .....                      | 209         | 183         |
| 2999 Total liabilities .....   | 334         | 257         |
| 4999 Total liabilities and net position .....  | 334         | 257         |

**AGRICULTURAL CREDIT INSURANCE FUND LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 12-4140-0-3-351                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0008 Loan recoverable costs .....                               | 1           | 4       | 4         |
| 0108 Administrative expenses - Department of Justice fees ..... |             | 1       | 1         |
| 0109 Costs incidental to acquisition of real property .....     | 1           | 2       | 2         |
| 0118 Civil rights settlements .....                             |             | 5       | 2         |
| 0191 Total operating expenses .....                             | 1           | 8       | 5         |
| 0900 Total new obligations (object class 25.2) .....            | 2           | 12      | 9         |

**Budgetary Resources:**

|   |      |      |      |
|---|------|------|------|
| Unobligated balance:  |      |      |      |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 38   | 22   |      |
| 1021 Recoveries of prior year unpaid obligations .....  | 1    |      |      |
| 1022 Capital transfer of unobligated balances to general fund .....                           | -38  | -22  |      |
| 1050 Unobligated balance (total) .....  | 1    |      |      |
| Budget authority:   |      |      |      |
| Spending authority from offsetting collections, mandatory:                                    |      |      |      |
| 1800 Collected .....  | 203  | 152  | 133  |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -180 | -140 | -124 |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 23   | 12   | 9    |
| 1930 Total budgetary resources available .....  | 24   | 12   | 9    |
| Memorandum (non-add) entries:   |      |      |      |
| 1941 Unexpired unobligated balance, end of year .....   | 22   |      |      |

**Change in obligated balance:**

|   |    |    |     |
|---|----|----|-----|
| Unpaid obligations:                                   |    |    |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2  | 1  | 4   |
| 3010 Obligations incurred, unexpired accounts .....   | 2  | 12 | 9   |
| 3020 Outlays (gross) .....                            | -2 | -9 | -11 |

|      |  |    |   |   |
|------|--|----|---|---|
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -1 |   |   |
| 3050 | Unpaid obligations, end of year .....                        | 1  | 4 | 2 |
|      | Memorandum (non-add) entries:                                |    |   |   |
| 3100 | Obligated balance, start of year .....                       | 2  | 1 | 4 |
| 3200 | Obligated balance, end of year .....                         | 1  | 4 | 2 |

**Budget authority and outlays, net:**

|      |   |      |      |      |
|------|---|------|------|------|
|      | Mandatory:  |      |      |      |
| 4090 | Budget authority, gross .....                                   | 23   | 12   | 9    |
|      | Outlays, gross:   |      |      |      |
| 4100 | Outlays from new mandatory authority .....                      | 1    | 8    | 7    |
| 4101 | Outlays from mandatory balances .....                           | 1    | 1    | 4    |
| 4110 | Outlays, gross (total) .....                                    | 2    | 9    | 11   |
|      | Offsets against gross budget authority and outlays:             |      |      |      |
|      | Offsetting collections (collected) from:                        |      |      |      |
| 4123 | Non-Federal sources Principal Repayments .....                  | -151 | -109 | -91  |
| 4123 | Non-Federal sources Interest Repayments .....                   | -47  | -38  | -37  |
| 4123 | Non-Federal sources Miscellaneous .....                         | -5   | -5   | -5   |
| 4130 | Offsets against gross budget authority and outlays (total) .... | -203 | -152 | -133 |
| 4160 | Budget authority, net (mandatory) .....                         | -180 | -140 | -124 |
| 4170 | Outlays, net (mandatory) .....                                  | -201 | -143 | -122 |
| 4180 | Budget authority, net (total) .....                             | -180 | -140 | -124 |
| 4190 | Outlays, net (total) .....                                      | -201 | -143 | -122 |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4140-0-3-351               | 2012 actual             | 2013 CR | 2014 est. |
|---|-------------------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |                         |         |           |
| 1210 Outstanding, start of year .....             | 855                     | 692     | 580       |
| 1251 Repayments: Repayments and prepayments ..... | -151                    | -109    | -91       |
| 1261 Adjustments: Capitalized interest .....      | 2                       | 2       | 2         |
|   | Write-offs for default: |         |           |
| 1263 Direct loans .....                           | -4                      | -5      | -4        |
| 1264 Other adjustments, net (+ or -) .....        | -10                     |         |           |
| 1290 Outstanding, end of year .....               | 692                     | 580     | 487       |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 12-4140-0-3-351                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 17          | 13      | 10        |
| 2251 Repayments and prepayments .....                                     | -4          | -3      | -3        |
| 2290 Outstanding, end of year .....                                       | 13          | 10      | 7         |
|   | Memorandum: |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 12          | 9       | 6         |

As required by the Federal Credit Reform Act of 1990, this account records for the farm loan programs all cash flows to and from the Government resulting from direct loans obligated, loan guarantees committed, and grants made prior to 1992. New loan activity in 1992 and beyond (including credit sales of acquired property that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts. Payments to settle certain discrimination claims against USDA may also be made from this account.

**Balance Sheet (in millions of dollars)**

| Identification code 12-4140-0-3-351                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 40          | 23          |
| 1601 Loans Receivable .....   | 855         | 692         |
| 1602 Interest receivable .....  | 189         | 167         |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -182        | -168        |
| 1604 Direct loans and interest receivable, net .....                    | 862         | 691         |
| 1606 Foreclosed property .....  | 13          | 12          |
| 1699 Value of assets related to direct loans .....                      | 875         | 703         |
| 1999 Total assets .....   | 915         | 726         |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 913         | 725         |
| 2201 Non-Federal liabilities: Accounts payable .....                    | 2           | 1           |

|      |  |     |     |
|------|--|-----|-----|
| 2999 | Total liabilities .....                  | 915 | 726 |
| 4999 | Total liabilities and net position ..... | 915 | 726 |

**COMMODITY CREDIT CORPORATION FUND**  
**REIMBURSEMENT FOR NET REALIZED LOSSES**  
 (INCLUDING TRANSFERS OF FUNDS)

*For the current fiscal year, such sums as may be necessary to reimburse the Commodity Credit Corporation for net realized losses sustained, but not previously reimbursed, pursuant to section 2 of the Act of August 17, 1961 (15 U.S.C. 713a-11): Provided, That of the funds available to the Commodity Credit Corporation under section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i) for the conduct of its business with the Foreign Agricultural Service, up to \$5,000,000 may be transferred to and used by the Foreign Agricultural Service for information resource management activities of the Foreign Agricultural Service that are not related to Commodity Credit Corporation business.*

**HAZARDOUS WASTE MANAGEMENT**  
 (LIMITATION ON EXPENSES)

*For the current fiscal year, the Commodity Credit Corporation shall not expend more than \$5,000,000 for site investigation and cleanup expenses, and operations and maintenance expenses to comply with the requirement of section 107(g) of the Comprehensive Environmental Response, Compensation, and Liability Act (42 U.S.C. 9607(g)), and section 6001 of the Resource Conservation and Recovery Act (42 U.S.C. 6961).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 12-4336-0-3-999                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| 0001 Commodity purchases and related inventory transactions .....         | 813         | 1,034   | 863       |
| 0002 Storage, transportation and other obligations .....                  | 41          | 31      | 18        |
| 0003 Dairy export incentive program .....                                 |             |         | 3         |
| 0004 Market access program .....  | 200         | 200     | 200       |
| 0005 Technical Assistance for specialty crops .....                       | 9           | 9       |           |
| 0006 Emerging markets program .....                                       | 10          | 10      |           |
| 0007 Foreign market development cooperative .....                         | 35          | 35      |           |
| 0008 Quality samples program .....  | 2           | 2       | 2         |
| 0009 Pilot program for regional food aid .....                            | 1           |         |           |
| 0010 Feed grains .....  | 1,774       | 2,249   | 2,250     |
| 0011 Wheat .....  | 873         | 1,108   | 1,069     |
| 0012 Rice .....   | 326         | 419     | 415       |
| 0013 Cotton .....   | 526         | 630     | 691       |
| 0014 Dairy program .....  | 403         | 370     |           |
| 0015 Tobacco program .....  | 953         | 960     | 960       |
| 0016 Peanut program .....   | 66          | 68      | 68        |
| 0017 Wool and Mohair program .....  |             |         | 1         |
| 0018 Other Payment Activity .....   | 1,783       |         | 1         |
| 0023 Non-Insured assistance program .....                                 | 254         | 225     | 168       |
| 0024 Oilseeds payment program .....                                       | 448         | 579     | 553       |
| 0027 Technical Assistance for Brazilian Cotton Industry .....             | 294         |         |           |
| 0028 Biomass Crop Assistance Program .....                                | 7           |         |           |
| 0029 Bio-Based Fuel Production .....                                      |             | 170     |           |
| 0030 Marketing Loan Writeoffs .....                                       |             | 21      | 14        |
| 0036 Conservation reserve program (CRP) .....                             | 1,824       | 1,989   | 2,040     |
| 0037 Emergency Forestry Conservation Reserve Program .....                | 6           | 6       | 6         |
| 0047 Reimbursable agreement/transfers to State and Federal Agencies ..... | 50          | 46      | 43        |
| 0048 Treasury .....   | 3           | 9       | 16        |
| 0049 Other Interest .....   | 1           | 2       | 2         |
| 0052 Conservation Reserve Program Technical assistance .....              | 144         | 73      | 169       |
| 0056 Pigford Claims .....   | 25          | 50      |           |
| 0057 BEHT Non-Commodity Costs .....                                       |             | 140     | 140       |
| 0058 Section 416b/FFP/ocean transportation .....                          | 45          | 56      | 53        |
| 0192 Total support and related programs .....                             | 10,916      | 10,491  | 9,745     |
| 0799 Total direct obligations .....                                       | 10,916      | 10,491  | 9,745     |
| 0801 Commodity loans .....  | 5,660       | 6,921   | 6,811     |
| 0802 Commodities procured - PL480 Titles II / III Commodity costs ....    | 745         | 925     | 730       |

COMMODITY CREDIT CORPORATION FUND—Continued  
Program and Financing—Continued

| Identification code 12-4336-0-3-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0804 P. L. 480 ocean transportation .....                                       | 865         | 925     | 730       |
| 0809 Reimbursable program activities, subtotal .....                            | 7,270       | 8,771   | 8,271     |
| 0899 Total reimbursable obligations .....                                       | 7,270       | 8,771   | 8,271     |
| 0900 Total new obligations .....  | 18,186      | 19,262  | 18,016    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1,601       | 823     | 879       |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                 |             | 55      |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 645         |         |           |
| 1050 Unobligated balance (total) .....  | 2,246       | 878     | 879       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  |             |         | 55        |
| 1160 Appropriation, discretionary (total) .....                                 |             |         | 55        |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 9,527       | 9,156   | 12,539    |
| 1220 Appropriations transferred to other accts [12-2500] .....                  | -10         |         |           |
| 1220 Appropriations transferred to other accts [12-1003] .....                  | -40         |         |           |
| 1220 Appropriations transferred to other accts [12-3507] .....                  | -21         | -21     | -21       |
| 1220 Appropriations transferred to other accts [12-1004] .....                  | -3,425      | -3,919  | -3,558    |
| 1220 Appropriations transferred to other accts [12-0123] .....                  | -1          |         |           |
| 1220 Appropriations transferred to other accts [12-8015] .....                  | -2          | -2      | -2        |
| 1220 Appropriations transferred to other accts [12-1502] .....                  | -70         |         |           |
| 1220 Appropriations transferred to other accts [12-2501] .....                  | -55         | -55     |           |
| 1220 Appropriations transferred to other accts [12-4085] .....                  | -6          | -6      | -6        |
| 1220 Appropriations transferred to other accts [12-2073] .....                  | -65         | -80     | -45       |
| 1220 Appropriations transferred to other accts [12-1908] .....                  | -22         | -63     | -41       |
| 1220 Appropriations transferred to other accts [12-1600] .....                  | -76         | -50     | -50       |
| 1220 Appropriations transferred to other accts [12-9915] .....                  | -22         |         |           |
| 1220 Appropriations transferred to other accts [12-0502] .....                  | -19         |         |           |
| 1220 Appropriations transferred to other accts [12-1002] .....                  |             | -165    | -165      |
| 1220 Appropriations transferred to other accts [12-1955] .....                  |             | -3      | -3        |
| 1236 Appropriations applied to repay debt .....                                 | -5,693      | -4,792  | -8,648    |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 19,508      | 9,148   | 8,064     |
| 1421 Borrowing authority applied to repay debt .....                            | -8,240      |         |           |
| 1440 Borrowing authority, mandatory (total) .....                               | 11,268      | 9,148   | 8,064     |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 8,992       | 10,105  | 9,897     |
| 1800 MARAD Cargo Preference Reimbursements .....                                |             | 10      | 5         |
| 1801 Change in uncollected payments, Federal sources .....                      | 13          |         |           |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -3,510      |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 5,495       | 10,115  | 9,902     |
| 1900 Budget authority (total) .....   | 16,763      | 19,263  | 18,021    |
| 1930 Total budgetary resources available .....                                  | 19,009      | 20,141  | 18,900    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 823         | 879     | 884       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 8,370       | 9,931   | 9,969     |
| 3010 Obligations incurred, unexpired accounts .....                             | 18,186      | 19,262  | 18,016    |
| 3020 Outlays (gross) .....  | -15,980     | -19,224 | -18,119   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -645        |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 9,931       | 9,969   | 9,866     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -32         | -45     | -45       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | -13         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -45         | -45     | -45       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 8,338       | 9,886   | 9,924     |
| 3200 Obligated balance, end of year .....                                       | 9,886       | 9,924   | 9,821     |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  |             |         | 55        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             |             |         | 55        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 16,763      | 19,263  | 17,966    |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                                 | 9,063       | 13,577  | 13,155    |

|  |        |         |        |
|--|--------|---------|--------|
| 4101 Outlays from mandatory balances .....                           | 6,917  | 5,647   | 4,909  |
| 4110 Outlays, gross (total) .....                                    | 15,980 | 19,224  | 18,064 |
| Offsets against gross budget authority and outlays:                  |        |         |        |
| Offsetting collections (collected) from:                             |        |         |        |
| 4120 PL 480 Appropriation .....                                      | -1,466 | -1,400  | -1,400 |
| 4120 Sales To Special Activities .....                               | -745   | -925    | -730   |
| 4120 Other Federal sources .....                                     | -1,851 | -970    | -965   |
| 4123 Commodity Loans Repaid .....                                    | -4,782 | -6,593  | -6,509 |
| 4123 Acre Loans Repaid .....   | -50    | -194    | -257   |
| 4123 Sales and Other Proceeds .....                                  | -30    | -22     | -23    |
| 4123 Interest Revenue .....  | -68    | -11     | -18    |
| 4130 Offsets against gross budget authority and outlays (total) .... | -8,992 | -10,115 | -9,902 |
| Additional offsets against gross budget authority only:              |        |         |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | -13    |         |        |
| 4160 Budget authority, net (mandatory) .....                         | 7,758  | 9,148   | 8,064  |
| 4170 Outlays, net (mandatory) .....                                  | 6,988  | 9,109   | 8,162  |
| 4180 Budget authority, net (total) .....                             | 7,758  | 9,148   | 8,119  |
| 4190 Outlays, net (total) .....                                      | 6,988  | 9,109   | 8,217  |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 7,758       | 9,148   | 8,119     |
| Outlays .....                           | 6,988       | 9,109   | 8,217     |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 650       |
| Outlays .....                           |             |         | 650       |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 7,758       | 9,148   | 8,769     |
| Outlays .....                           | 6,988       | 9,109   | 8,867     |

## Status of Direct Loans (in millions of dollars)

| Identification code 12-4336-0-3-999                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 5,660       | 6,921   | 6,811     |
| 1150 Total direct loan obligations .....                               | 5,660       | 6,921   | 6,811     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 335         | 395     | 530       |
| 1231 Disbursements: Direct loan disbursements .....                    | 5,660       | 6,921   | 6,811     |
| 1251 Repayments: Repayments and prepayments .....                      | -5,600      | -6,786  | -6,766    |
| 1290 Outstanding, end of year .....                                    | 395         | 530     | 575       |

The Commodity Credit Corporation (CCC) was created to: stabilize, support, and protect farm income and prices; help maintain balanced and adequate supplies of agricultural commodities, their products, foods, feeds, and fibers; and help in their orderly distribution.

The Corporation's capital stock of \$100 million is held by the U.S. Treasury. Under present law, up to \$30 billion may be borrowed from the U.S. Treasury to finance operations.

Current, indefinite appropriation authority is requested to cover all net realized losses. Appropriations to the Corporation for net realized losses have no effect on budget authority, as they are used to repay debt directly with the Treasury.

**Budget assumptions.**—The following general assumptions form the basis for the Corporation's 2013 and 2014 budget estimates: (a) national income will rise both in 2013 and 2014 from the present level; (b) 2013 crop production will increase from 2012 crop levels for some commodities; (c) generally, exports of agricultural commodities in 2014 are expected to be higher than 2013 levels; and (d) yields for the 2013 crops are based on recent averages adjusted for trends.

It is difficult to accurately forecast requirements for the year ending September 30, 2014, since the projections are subject to complex and unpredictable factors such as weather, other factors which affect the volume of production of crops not yet planted, feed, food, and energy needs here and overseas, and available dollar exchange.

Appropriations are made to reimburse the Corporation for net realized losses sustained in carrying out its operations.

USDA has incorporated stochastic price and production variability into its 10-year budget baseline process starting with the 2007 President's Budget. For the 2012–2023 crops, Commodity Credit Corporation outlay projections for counter-cyclical payments, Average Crop Revenue Election (ACRE) payments, marketing loan benefits, and Milk Income Loss Contract payments are based on price probability distributions and flexibilities generated by the Economic Research Service's Food and Agricultural Policy Simulation model. This approach was used for feed grains (corn, barley, sorghum, oats), wheat, rice, upland cotton, soybeans, sugar, and dairy.

#### 2014 ESTIMATE

(In millions of dollars)

| Program   | Gross obligations | Net outlays  | Net realized loss for year |
|---|-------------------|--------------|----------------------------|
| Farm income, marketing assistance loans, and price support:                             |                   |              |                            |
| Commodity loans (non-ACRE) .....  | 6,551             | 42           | 0                          |
| ACRE loans .....  | 260               | 3            | 0                          |
| Feed grain payments .....   | 2,250             | 2,250        | 2,250                      |
| Wheat payments .....  | 1,069             | 1,069        | 1,069                      |
| Rice payments .....   | 415               | 415          | 415                        |
| Cotton payments .....   | 642               | 642          | 642                        |
| Oilseed payments .....  | 544               | 544          | 544                        |
| Other support and related .....   | 2,531             | 721          | 781                        |
| Other items not distributed by program:   |                   |              |                            |
| Interest .....  | 17                | –2           | –2                         |
| All other .....   | 62                | 313          | 62                         |
| <b>Total, farm income, marketing assistance loans, and price-support programs .....</b> | <b>14,341</b>     | <b>5,997</b> | <b>5,761</b>               |
| Conservation programs:  |                   |              |                            |
| Conservation reserve program .....  | 2,160             | 2,160        | 2,160                      |
| Emergency forestry conservation reserve program .....                                   | 5                 | 5            | 5                          |
| Voluntary Public Access .....   | 0                 | 0            | 0                          |
| Conservation Program Transfers to NRCS .....  | 0                 | 0            | 3,670                      |
| <b>Total, conservation programs .....</b>   | <b>2,165</b>      | <b>2,165</b> | <b>5,835</b>               |
| <b>Total, Commodity Credit Corporation .....</b>  | <b>16,506</b>     | <b>8,162</b> | <b>11,596</b>              |

#### PROGRAMS OF THE CORPORATION

*Price support, marketing assistance loans, and related stabilization programs.*—The Corporation conducts programs to support farm income and prices and stabilize the market for agricultural commodities. Price support is provided to producers of agricultural commodities through loans, purchases, payments, and other means. This is done mainly under the Commodity Credit Corporation Charter Act, as amended, the Agricultural Act of 1949 (1949 Act), as amended, the Farm Security and Rural Investment Act of 2002 (2002 Farm Bill), and the Food, Conservation and Energy Act of 2008 (2008 Farm Bill) as amended by the American Taxpayer Relief Act of 2012 (P.L. 112–240).

Price support is mandatory for sugar and dairy products. Marketing assistance loans are mandatory for wheat, feed grains, oilseeds, upland cotton, peanuts, rice, and pulse crops. Loans are also required to be made for sugar, honey, wool, mohair, and extra long staple cotton.

One method of providing support is loans to and purchases from producers. With limited exceptions, loans made on commodities are nonrecourse. The commodities serve as collateral for the loan and on maturity the producer may deliver or forfeit such collateral to satisfy the loan obligation without further payment.

Direct purchases may be made from processors as well as producers, depending on the commodity involved. Also, special purchases are made under various laws for the removal of surpluses; for example, the Act of August 19, 1958, as amended, and section 416 of the Agricultural Act of 1949, as amended.

*Direct Payments and Counter-Cyclical Payments.*—The 2002 Farm Bill established direct payments and counter-cyclical payments for May 2002 through 2007. The payments were extended through the 2012 crop year by the 2008 Farm Bill and through the 2013 crop year by the American Taxpayer Relief Act of 2012. The eligible commodities for both direct payments and counter-cyclical payments are wheat, corn, grain sorghum, barley, oats, upland cotton, rice, soybeans, other oilseeds, and peanuts. The 2008 Farm Bill adds the following as eligible commodities: long grain and medium grain rice and pulse crops, expanded to include large chickpeas.

*Direct Payments* are payments to producers for which payment yields and base acres are established. The commodity payment amount is calculated as follows: Payment Amount = specified rate x payment acres x payment yield. At the option of the producer, the producer can choose to receive advance payments (up to 22 percent) during the producer's selected month. The month selected may be any month during the period beginning on December 1 of the calendar year before the calendar year in which the crop of the covered commodity is harvested through the month within which the direct payment would otherwise be made. The direct payment rates established in the 2008 Farm Bill are the same as those in the 2002 Farm Bill; however, payment acres decrease from 85 percent to 83.3 percent of base acres for 2009–2011 crops, and no advance payments are available for the 2012 and subsequent crops.

*Counter-Cyclical Payments* are payments to producers for which payment yields and base acres are established for eligible commodities if it is determined that the effective commodity price is less than the target commodity price. Counter-cyclical payments will be made for the crop as soon as practicable after the end of the 12-month marketing year for the eligible commodity.

*Average Crop Revenue Election (ACRE) Payments.*—The 2008 Farm Bill adds the ACRE program for the 2009–2012 crop years and the American Taxpayer Relief Act of 2012 extended the ACRE program to the 2013 crop year. Producers who elect to enroll a farm in ACRE are eligible for ACRE payments in lieu of counter-cyclical payments on the farm and in exchange for a 20 percent reduction in direct payments on the farm and a 30 percent reduction in the marketing assistance loan rates for all commodities produced on the farm except that the loan rate for seed cotton loans will not be so reduced. The election to enroll a farm in ACRE may be made for any of the crop years 2009–2012, but once the election is made, it is irrevocable through the 2012 crop.

*Marketing assistance loans.*—The 2002 Farm Bill authorized producers of eligible crops to receive non-recourse marketing assistance loans from the government for any quantity of a loan commodity produced on the farm by pledging their production as loan collateral. This loan shall have a term of 9 months beginning on the first day of the first month after the month in which the loan is made. The loan cannot be extended. As a condition of the receipt of a marketing assistance loan, the producer shall comply with applicable conservation requirements under subtitle B of title XII of the Food Security Act of 1985 and applicable wetland protection requirements under subtitle C of title XII of the Act during the term of the loan. Producers of eligible commodities can repay a marketing assistance loan at a rate that is the lesser of (1) the loan rate established for the commodity plus interest; or (2) a rate that the Secretary determines. Special rules apply to upland cotton, rice, and extra long staple cotton. Crops eligible for marketing assistance loans include wheat, corn, barley, oats, grain sorghum, rice, upland cotton, soybeans, extra long staple cotton, other oilseeds, dry peas, lentils, small chickpeas, honey, wool, and mohair. The 2008 Farm Bill establishes specific

## COMMODITY CREDIT CORPORATION FUND—Continued

loan rates for long grain and medium grain rice and restricts loan rate adjustments to grade and quality factors. Also, large chickpeas are added as a new marketing assistance loan commodity with a higher loan rate than small chickpeas.

*Marketing loss assistance for asparagus producers.*—The 2008 Farm Bill authorizes the use of \$15 million to make payments to 2007 crop asparagus producers. Of the total, \$7.5 million was available to fresh market asparagus producers and \$7.5 million was available to frozen market asparagus producers.

*Peanut price support program.*—Under the 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012, peanuts qualify for ACRE or direct payments, counter-cyclical payments, marketing assistance loans and loan deficiency payments for the 2009 through 2013 crops.

The 2002 Farm Bill terminated the marketing quota programs and repealed price support programs. The prior quota programs stayed in effect for the 2001 crop only, with quota buyout compensation payments being made during 2002 through 2006. The prior price support programs remained in effect for the 2002 crop only, notwithstanding any other provision of law or crop insurance policy.

The 2002 Farm Bill established marketing assistance loans for the 2002 through 2007 crops, with the loan rate for peanuts of \$355 per ton. The 2008 Farm Bill continues this rate. The payment rate shall be the amount by which the established loan rate exceeds the rate at which a loan may be repaid.

*Tobacco program.*—The American Jobs Creation Act of 2004, P.L. 108–357, eliminated the program effective with the 2005 crop. In return for losing the program, growers and quota holders will receive a buyout. The owners of quota are being paid \$7 per pound for the quota they hold. The actual producers are being paid \$3 per pound for the quota they produced. The legislation eliminates all geographic and poundage restrictions on tobacco production as well as price support. The buyout is funded by assessments on the tobacco product manufacturers and importers. The program will cost \$10.14 billion, and the growers and quota holders will be paid over a 10-year period.

*Sugar program.*—Sugar qualifies for price support. The 2002 Farm Bill extended the national average sugar loan rates to cover through the 2007 crops at 18 cents per pound for raw cane sugar and 22.9 cents per pound for refined beet sugar. The 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 provides for escalating rates through crop year 2013. For raw cane sugar, the rate increases to 18.25 cents per pound for 2009, 18.5 cents per pound for 2010 and 18.75 cents per pound for 2011–2013. For refined beet sugar, the rates for crop year 2009–2013 are the raw cane sugar rate times 1.285. Loans are available to processors of domestically grown sugarcane and sugar beets for a term of nine months that does not begin or extend beyond the end/beginning of a fiscal year. The non-recourse loans extend through the 2007 crop for processors of domestically produced sugar beets and sugarcane including for in-process sugar. Loans for in-process sugar have a loan rate of 80 percent of the loan rate for raw cane sugar or refined beet sugar (based on the source material used). If forfeitures occur, the processor shall convert the in-process into final product at no cost to the CCC. Upon transfer, the processor will receive payment based on the loan rate less 80 percent of raw cane or refined beet sugar rate times the quantity of sugar transferred. The loan program will continue through the 2013 crop. The 2002 Farm Bill did not resume the sugar marketing assessment collections but authorized marketing allotments. The 2002 Act provided assistance for sugar donations in the amount of 10,000 tons to compensate

sugar producers who suffer losses incurred beyond existing CCC administered programs. This assistance was a one-time occurrence.

The 2008 Farm Bill extends the marketing allotment provisions of the 2002 Act, except they are now permanent and cannot be set at a level less than 85 percent of estimated sugar deliveries for human consumption. The 2008 Farm Bill introduces the Feedstock Flexibility Program, which requires the diversion of sugar from food use to ethanol producers, if needed, to keep sugar prices above levels at which sugar processors might otherwise forfeit sugar under loan to the CCC.

*Dairy program.*—The 2002 Farm Bill extended the Dairy Price Support Program from June 1, 2002 through December 31, 2007 at a rate of \$9.90 per hundredweight for milk containing 3.7 percent butterfat. The support program is carried out through the purchase of butter, nonfat dry milk, and cheese at prices that enable processors to pay dairy farmers, on average, the support price for milk. As under previous law, the Secretary may allocate the rate of price support between the purchase prices for nonfat dry milk and butter in a manner that minimizes CCC expenditures or other objectives, as the Secretary considers appropriate. Cash CCC inventory sales (with some exceptions) shall be at any price that the Secretary determines will maximize CCC returns. The 2002 Farm Bill repealed all legislative authority for the Dairy Recourse Loan Program but established a new Milk Income Loss Contract Program (MILC), under which the Secretary may contract with eligible producers to make monthly payments when milk prices fall below specified levels. The U.S. Troop Readiness, Veterans' Care, Katrina Recovery, and Iraq Accountability Appropriations Act, 2007 (P.L. 110–28) extended the MILC program through September 2007.

The 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 replaces the price support program of the 2002 Farm Bill with the Dairy Product Price Support Program, which is effective for calendar years 2008–2013. It requires the Secretary to support the price of cheddar cheese, butter and nonfat dry milk through purchases of such products at prices not less than \$1.13 per pound for cheddar cheese in blocks, not less than \$1.10 per pound for cheddar cheese in barrels, not less than \$1.05 per pound for butter, and not less than \$0.80 per pound for nonfat dry milk. Purchase prices for milk products may be adjusted lower based on preset levels of product net removals. The 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 extends the MILC program through September 30, 2013: The payment calculation percentage is raised from 34 percent to 45 percent and the payment quantity is raised from 2,400,000 to 2,985,000 million pounds per fiscal year effective October 1, 2008 through August 31, 2013. A feed cost adjuster is added that raises the \$16.94 base price when the national average ration cost exceeds \$7.35 per hundredweight for a given month.

Section 748(a) of the fiscal year 2010 USDA Appropriations Act, P.L. 111–80, appropriated \$60 million for the purchase of cheese and cheese products, which the Commodity Credit Corporation finished procuring in fiscal year 2011.

*Payment Limitations.*—In general, the 2002 Farm Bill revised the Food Security Act of 1985 (7 U.S.C. 1308) for payment limitations. The total amount of direct payments made to a person during any crop year for one or more covered commodities may not exceed \$40,000. The 2008 Farm Bill rescinds the three entity rule for payment limitation purposes for the 2009–2012 crops. Instead, payments are tracked as received directly or indirectly by an individual person or legal entity (otherwise termed direct attribution). Except for participants who elect to receive ACRE payments, the direct payment limitation remains at \$40,000 for

covered commodities in the 2008 Farm Bill, with a separate \$40,000 payment limitation for peanut direct payments. The payment limitation on counter-cyclical payments made to a person during any crop year for one or more covered commodities continues at \$65,000 in the 2008 Farm Bill, except for participants who elect to receive ACRE payments. For counter-cyclical payments, there is a separate \$65,000 payment limitation for peanut counter-cyclical payments. For producers that receive ACRE payments, the payment limit is \$65,000 plus the amount their direct payments are reduced due to their participation in ACRE. The total amount of gains and payments that a person may receive during any crop year under marketing assistance loan and loan deficiency payment provisions may not exceed \$75,000 for crop years 2002–2008. The 2008 Farm Bill rescinds the payment limitation for both marketing loan gains and loan deficiency payments beginning with the 2009 crop. Notwithstanding any other provision of law, an individual or entity shall not be eligible to receive any benefit described above if the average adjusted annual gross income of the individual or entity exceeds \$2,500,000, unless not less than 75 percent of the average adjusted gross income of the individual or entity is derived from farming, ranching, or forestry operations, as determined by the Secretary. The 2008 Farm Bill extends these provisions through the 2008 crop year, but makes commodity program payments subject to farm and nonfarm adjusted gross income (AGI) limits for 2009–2013 crop years. The AGI attributable to farming activities is adjusted farm gross income (AFGI), and the AGI attributable to other activities is adjusted nonfarm gross income (ANGI). If AFGI exceeds \$750,000, the person or entity is ineligible to receive commodity program payments, and if ANGI exceeds \$500,000, the person or entity is ineligible to receive payments. Commodity program payments include direct, counter-cyclical, ACRE, loan deficiency, marketing loan gain, NAP, supplemental crop disaster assistance, MILC, and trade adjustment assistance payments. The 2012 Enacted level included a general provision that prohibited direct payments to individuals or entities with an average adjusted gross income in excess of \$1 million. The 2013 Budget does not continue this limitation.

**Noninsured Assistance Program.**—The Agricultural Risk Protection Act of 2000 eliminated the area loss requirement for triggers and made other changes. It also included a provision that all types or varieties of a crop or commodity may be considered to be a single eligible crop for NAP assistance and provided additional funding in 2002 with annual increases through 2010. The 2008 Farm Bill amended the payment limitation provisions to conform with direct attribution of payments to a person of legal entity.

**Dairy Export Incentive Program (DEIP).**—DEIP provides cash bonus payments to exporters to facilitate commercial sales of U.S. dairy products in overseas markets. Estimates of the quantity of dairy products to be exported under DEIP and associated expenditures were formulated within the maximum allowable expenditure and quantity levels specified in conjunction with provisions of the Uruguay Round Agreement. Consequently, current baseline projections assume that DEIP will not exceed \$116.6 million annually during 2002–2012. Actual DEIP subsidies are further limited on a product-by-product basis under the Uruguay Round.

**Export Enhancement Program (EEP).**—The 2008 Farm Bill eliminated authority for the program.

**Market Access Program (MAP).**—Under the MAP, CCC Funds are used to reimburse participating organizations for a portion of the costs of carrying out overseas marketing and promotional activities. The 2008 Farm Bill, as amended by the American

Taxpayer Relief Act of 2012 continued the authority for the MAP program with funding of \$200 million for 2008–2013.

**Foreign Market Development Cooperator Program (FMD) and Quality Samples Program.**—Under the FMD program, cost-share assistance is provided to nonprofit commodity and agricultural trade associations to support overseas market development activities that are designed to remove long-term impediments to increased U.S. trade. The 2002 Farm Bill increased the available funds for this program to \$34.5 million for 2002 through 2007 and the 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 continues this funding level for 2008–2013. In addition, the budget proposes to increase discretionary funding for the program in fiscal year 2011 by \$34.5 million as part of a broader government wide initiative to increase export promotion.

CCC will fund the Quality Samples Program at an authorized annual level of \$2.5 million. Under this initiative, samples of U.S. agricultural products will be provided to foreign importers to promote a better understanding and appreciation for the high quality of U.S. products.

**Commodity Donations.**—The 2008 Farm Bill authorizes the donation of surplus commodity inventory to domestic nutrition programs. The Corporation may also donate commodities under the authority of section 416(b) of the Agricultural Act of 1949 to carry out programs of assistance in developing countries and friendly countries and pay costs associated with making the commodities available. Commodities that are acquired by CCC in the normal course of its domestic support operations will be available for donation. The Corporation may also use its funds to furnish commodities overseas under the authority of the Food for Progress Act of 1985; however, not more than \$55 million of the funds of the Corporation (exclusive of the costs of commodities) may be used for each fiscal year.

**The Bill Emerson Humanitarian Trust.**—The Bill Emerson Humanitarian Trust (BEHT) is a commodity and/or monetary reserve designed to ensure that the United States can meet its international food aid commitments. Assets of the Trust can be released any time the Administrator of the U.S. Agency for International Development determines that PL 480 Title II is inadequate to meet those needs in any fiscal year. When a release from the Trust is authorized, the Trust's assets cover all commodity costs associated with the release. All non-commodity costs, including ocean freight charges; internal transportation, handling, and storage overseas; and certain administrative costs are paid by CCC. The 2008 Farm Bill, as amended by the American Taxpayer Relief Act of 2012 extended the authorization to replenish the BEHT through 2013.

### Conservation Programs

**Conservation Programs.**—Conservation programs administered by the Farm Service Agency and the Natural Resources Conservation Service are funded through the Commodity Credit Corporation. These programs help farmers adopt and maintain conservation systems that protect water and air quality, reduce soil erosion, protect and enhance wildlife habitat and wetlands, conserve water, and sequester carbon.

**Conservation Reserve Program (CRP).**—Administered by FSA, the purpose of CRP is to cost-effectively assist farm owners and operators in conserving and improving soil, water, air, and wildlife resources by converting highly erodible and other environmentally sensitive acreage normally devoted to the production of agricultural commodities to a long-term resource-conserving cover. CRP participants enroll contracts for periods from 10 to 15 years in exchange for annual rental payments and cost-share and technical assistance for installing approved conservation practices.

## COMMODITY CREDIT CORPORATION FUND—Continued

The CRP is authorized in all 50 States, Puerto Rico, and the Virgin Islands, on all highly erodible cropland, other environmentally sensitive cropland, and certain marginal pastureland meeting the eligibility criteria. In addition to cropland in areas adjacent to lakes and streams converted to buffers, and cropland that can serve as restored or constructed wetlands, eligible land may include cropland contributing to water quality problems, and other lands posing environmental threats. Also eligible for the CRP are water quality or wildlife habitat impaired areas that do not meet the Highly Erodible Land (HEL) criteria, such as the Chesapeake Bay, Great Lakes, and Long Island Sound watershed regions.

CRP was established by the 1985 Food Security Act and amended and extended under subsequent farm bills. In the 2008 Farm Bill (P.L. 110–246) CRP was re-authorized through September 30, 2012. The 2008 Farm Bill permitted CRP to enroll up to 32 million acres at any one time beginning October 1, 2009; expanded Farmable Wetlands Program (FWP) eligibility; included provisions for funding a tree thinning cost-share program; and included a program transitioning expiring CRP lands from retiring producers to beginning and socially disadvantaged farmers. Most recently, the American Taxpayer Relief Act of 2012 (P.L. 112–240) extended the CRP enrollment period through September 30, 2013.

CRP enrolls land through general signups, Conservation Reserve Enhancement Program (CREP) signups, and non-CREP continuous signups, including FWP. Under general signup provisions, producers compete nationally during specified enrollment periods for acceptance based on an environmental benefits index. Under continuous signup provisions, producers enroll specified high-environmental value lands such as wetlands, riparian buffers, and various types of habitat at any time during the year without competition.

General signups were held in fiscal years 2011 and 2012, in which 2.7 million acres and 3.6 million acres, respectively, were enrolled. Approximately 620,000 acres were enrolled under FY 2012's continuous signup. The budget assumes a general signup of approximately 2.8 million acres in 2013, and a continuous signup of about 700,000 acres. Under continuous signup, including CREP and FWP, a combined total of 5.3 million acres were under contract as of the end of fiscal year 2012.

Fiscal year 2012 ended with 29.5 million acres under contract. With contracts expiring on 6.5 million acres on September 30, 2012 and contracts beginning on 3.6 million acres from FY 2012's general signup and 500,000 acres of continuous signup, 2012 enrollment began with 27.1 million acres under contract. General and continuous signup are assumed to be held annually with enrollment projected to range between 30 and 32 million acres throughout the baseline period. For FY2014, the Budget proposes to allow up to \$50 million in mandatory funding for FSA to administer the CRP. Finally, the Budget includes a legislative proposal to gradually reduce the total acreage cap for CRP to 25 million acres.

For those conservation programs administered by the Natural Resources Conservation Service (NRCS), funding is transferred from CCC to NRCS's Farm Security and Rural Investment Programs account (see the NRCS section). Specifically, these programs include: the Environmental Quality Incentives Program; the Wetlands Reserve Program; the Wildlife Habitat Incentives program; the Farmland Protection Program; the Conservation Security Program; the Conservation Stewardship Program; the Chesapeake Bay Watershed Program; the Agriculture Water Enhancement Program; the Healthy Forest Reserve Program;

and the Grassland Reserve Program. NRCS also receives funding from the CCC to carry out technical assistance for the Conservation Reserve Program and to carry out part of the Agricultural Management Assistance Program (see below).

*Voluntary Public Access and Habitat Incentive Program (VPA-HIP).*—was established by the Food Security Act of 1985, as amended with the passage of the Food, Conservation, and Energy act of 2008 (2008 Farm Bill). VPA-HIP is a competitive grant program, with up to \$50 million available through FY 2012. Funding is limited to State and tribal governments establishing new public access programs, expanding existing public access programs, and/or enhancing wildlife habitat on lands enrolled in public access programs.

The primary objective of the VPA-HIP is to encourage owners and operators of privately-held farm, ranch, and forest land to voluntarily make that land available for access by the public for wildlife-dependent recreation, including hunting or fishing, under programs implemented by State or tribal governments. VPA-HIP will provide environmental, economic and social benefits including, but not limited to, enhanced wildlife habitat, improved wildlife populations, increased revenue for rural communities, and expanded opportunities for re-connecting Americans with the great outdoors. To date, nearly \$30 million of VPA-HIP funding has been obligated to 26 state fish and wildlife agencies and one tribal government entity. Pursuant to the Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112–55), no funding was made available for VPA-HIP in fiscal year 2012. The 2013 Budget proposes funding for a similar program to be administered by the Natural Resources Conservation Service. However, in January 2013, The American Taxpayer Relief Act of 2012 (P.L. 112–240) authorized up to \$10 million to be appropriated for VPA-HIP in fiscal year 2013 but funds still must be appropriated through separate legislation.

*Biomass Crop Assistance Program (BCAP).*—The 2008 Farm Bill amended the 2002 Farm Bill to authorize this program to support the establishment and production of eligible crops for conversion to bioenergy in selected BCAP project areas; and to assist agricultural and forest land owners and operators with the collection, harvest, storage, and transportation of eligible material for use in a biomass conversion facility.

BCAP is a primary component of the domestic agriculture, energy, and environmental strategy to reduce U.S. reliance on foreign oil, improve domestic energy security, reduce carbon pollution, and spur rural economic development and job creation. BCAP is the only federal program focused on growing the crops needed for bioenergy production (heat, power, liquid fuels). BCAP provides two categories of assistance: (1) establishment costs and annual payments to produce eligible biomass crops; and (2) matching payments for the delivery of eligible material to qualified biomass conversion facilities by eligible material owners.

For establishment and annual payments in FY 2012, BCAP processed the submission of project proposals seeking more than \$80 million to enroll more than 76,000 acres. FSA designated two new project areas and expanded on existing project area, targeting acreage signup in FY 2012 of 9,000 acres. BCAP project area signups were held from June to September 2012, resulting in the enrollment of over 3,129 acres for three different feedstocks (shrub willow, switch grass, & two varieties of giant miscanthus) with intended conversion to fuel pellets, bio-ethanol, and biobased products.

The total BCAP obligations for fiscal year 2012 were approximately \$11.6 million. The fiscal year 2012 BCAP matching payments total investment (obligation) was approximately \$557,443, supporting the collection, harvest, storage and transportation of



nearly 10,320 dry tons of herbaceous crop residue (corn stover) for conversion to bioenergy in fiscal year 2012. Fiscal year 2012 BCAP obligations for appeals, technical assistance, IT and other administrative costs totaled approximately \$2.7 million. The Consolidated and Further Continuing Appropriations Act, 2012 (P.L. 112–55) limited funding for the program to \$17 million. In January 2013, the American Taxpayer Relief Act of 2012 (P.L. 112–240) authorized up to \$20 million to be appropriated for BCAP in fiscal year 2013 but funds must still be appropriated through separate legislation.

**Agricultural Management Assistance Program.**—The Agricultural Risk Protection Act of 2000 authorized CCC funding of \$10 million for 2001 and subsequent years for the Agricultural Management Assistance Program (AMAP). AMAP provides cost-share assistance to producers in states in which Federal Crop Insurance Program participation is historically low as determined by the Secretary of Agriculture. The Secretary delegated authority to implement this program to the Natural Resources Conservation Service, Risk Management Agency, and the Agricultural Marketing Service. The 2008 Farm Bill increased funding to \$15 million for 2008–2012 and increased to 16 the number of States eligible to participate. P.L. 112–55 extended the \$15 million level through fiscal year 2014. The 2014 Budget proposes a reduction of the level to \$10 million in FY 2014.

**Emergency Forestry Conservation Reserve Program (EFCRP).**—The Department of Defense, Emergency Supplemental Appropriations to Address Hurricanes in the Gulf of Mexico, and Pandemic Influenza Act of 2006, P.L. 109–148, as amended by P.L. 109–234 and P.L. 110–28, mandates that the Secretary shall carry out an emergency pilot program in States that the Secretary determines have suffered damage to merchantable timber in counties affected by hurricanes during the 2005 calendar year. The Act provided \$404.1 million for this program, called the Emergency Forestry Conservation Reserve Program (EFCRP). P.L. 109–234 increased funding for EFCRP by \$100 million, to \$504.1 million. P.L. 110–28 lifted a restriction limiting the program to calendar year 2006. Signup ended on January 30, 2009. There were 231,365 acres enrolled as of September 30, 2012. These acres have not counted against the CRP maximum program authority for acreage enrollment.

**Loan operations.**—The following table reflects commodity loan operations of the Corporation:

| [In millions of dollars]                          |             |           |           |  |
|---|-------------|-----------|-----------|--|
| Item  | 2012 actual | 2013 est. | 2014 est. |  |
| Loans outstanding, gross, start of year:          |             |           |           |  |
| Commodity Credit Corporation .....                | 335         | 395       | 530       |  |
| Additional loans made .....                       | 5,660       | 6,921     | 6,811     |  |
| Deduct:   |             |           |           |  |
| Loans repaid .....                                | –5,600      | –6,786    | –6,766    |  |
| Acquisition of loan collateral .....              | 0           | 0         | 0         |  |
| Write-offs .....                                  | 0           | 0         | 0         |  |
| Total loans outstanding, gross, end of year ..... | 395         | 530       | 575       |  |

**Inventory operations.**—The following table reflects the inventory operations applicable to the preceding programs:

#### AGRICULTURAL COMMODITIES

| [In millions of dollars]                                |             |           |           |  |
|---|-------------|-----------|-----------|--|
| Item  | 2012 actual | 2013 est. | 2014 est. |  |
| On hand, start of year, gross .....                     | 53          | 14        | 0         |  |
| Acquisitions:   |             |           |           |  |
| Forfeiture of loan collateral .....                     | 0           | 0         | 1         |  |
| Excess of collateral acquired over loans canceled ..... | 0           | 0         | 0         |  |
| Purchases .....   | 813         | 1,034     | 828       |  |
| Transfers and exchanges .....                           | 0           | 0         | 0         |  |
| Carrying charges:                                       |             |           |           |  |
| Charges to inventory .....                              | 0           | 0         | 0         |  |

|   |     |       |     |
|---|-----|-------|-----|
| Storage and handling (non-add) .....              | 1   | 1     | 0   |
| Transportation (non-add) .....                    | 0   | 0     | 0   |
| Total acquisitions .....                          | 813 | 1,034 | 828 |
| Dispositions:                                     |     |       |     |
| Domestic donations to:                            |     |       |     |
| Families .....                                    | 3   | 0     | 0   |
| Institutions .....                                | 1   | 0     | 0   |
| Total domestic donations .....                    | 4   | 0     | 0   |
| Export donations .....                            | 95  | 123   | 98  |
| Sales and transfers:                              |     |       |     |
| Special programs: Title II, Public Law 480 .....  | 745 | 925   | 730 |
| Other sales .....                                 | 8   | 0     | 0   |
| Net loss or gain (-) on sales and transfers ..... | 0   | 0     | 0   |
| Total sales and transfers .....                   | 753 | 925   | 730 |
| Total dispositions .....                          | 852 | 1,048 | 828 |
| On hand, end of year, gross .....                 | 14  | 0     | 0   |

**Other data.**—The following table reflects other data which are applicable to price support and related programs:

#### DATA ON SUPPORT AND RELATED PROGRAMS

| [In millions of dollars]                             |             |           |           |  |
|--|-------------|-----------|-----------|--|
| Item   | 2012 actual | 2013 est. | 2014 est. |  |
| Loans made .....                                     | 5,660       | 6,698     | 6,551     |  |
| Loans repaid .....                                   | 5,600       | 6,593     | 6,509     |  |
| Loan collateral forfeited .....                      | 0           | 0         | 0         |  |
| Loans outstanding, end of year .....                 | 395         | 500       | 542       |  |
| Acquisitions .....                                   | 813         | 1,034     | 828       |  |
| Cost of commodities sold .....                       | 753         | 925       | 730       |  |
| Cost of commodities donated .....                    | 99          | 123       | 98        |  |
| Inventory, end of year .....                         | 14          | 0         | 0         |  |
| Investment in loans and inventory, end of year ..... | 409         | 500       | 542       |  |
| Direct producer payments .....                       | 7,300       | 8,565     | 8,120     |  |
| Net expenditures .....                               | 6,988       | 9,109     | 8,162     |  |
| Realized losses .....                                | 9,156       | 12,539    | 11,596    |  |

**Operating expenses.**—The Corporation carries out its functions through utilization of employees and facilities of other Government agencies. Administrative expenses are incurred by: the Farm Service Agency (FSA); the Foreign Agricultural Service; the Natural Resources Conservation Service; the Risk Management Agency; other agencies of the Department engaged in the Corporation's activities; and the Office of the Inspector General for audit functions. Additional expenses are incurred by FSA county offices for work related to programs of the Corporation, other FSA expenses offset by revenue, custodian, and agency expenses of the Federal Reserve banks and lending agencies, and miscellaneous costs.

Expenses are incurred for acquisition, operation, maintenance, improvement, or disposition of existing property that the Corporation owns or in which it has an interest. These expenses are treated as program expenses. Such program expenses include inspection, classing, and grading work performed on a fee basis by Federal employees or Federal- or State-licensed inspectors; and special services performed by Federal agencies within and outside this Department. Most of these general expenses, including storage and handling, transportation, inspection, classing and grading, and producer storage payments, are included in program costs. They are shown in the program and financing schedule in the entries entitled "Storage, transportation, and other obligations not included above."

Section 161 of the 1996 Act amended Section 11 of the CCC Charter Act to limit the use of CCC funds for the transfer and allotment of funds to State and Federal agencies. The Section 11 cap of \$56 million including FSA loan service fees remains at \$56 million in 2011 and 2012.

## COMMODITY CREDIT CORPORATION FUND—Continued

The Corporation receives reimbursement for grain requisitioned pursuant to Public Law 87–152 by the States from Corporation stocks to feed resident wildlife threatened with starvation through the appropriation reimbursement for net realized losses. There have been no requisitions in recent years, however. The Corporation receives reimbursement for the commodity costs and other costs, including administrative costs, for commodities supplied to domestic nutrition programs and international food aid programs.

## FINANCING

**Borrowing authority.**—The Corporation has an authorized capital stock of \$100 million held by the U.S. Treasury and, effective in 1988, authority to have outstanding borrowings up to \$30 billion at any one time.

Funds are borrowed from the Treasury and may also be borrowed from private lending agencies and others. The Corporation reserves a sufficient amount of its borrowing authority to purchase at any time all notes and other obligations evidencing loans made to the Corporation by such agencies and others. All bonds, notes, debentures, and similar obligations issued by the Corporation are subject to approval by the Secretary of the Treasury as required by the Act of March 8, 1938.

Interest on borrowings from the Treasury (and on capital stock) is paid at a rate based upon the average interest rate of all outstanding marketable obligations (of comparable maturity date) of the United States as of the preceding month. Interest is also paid on other notes and obligations at a rate prescribed by the Corporation and approved by the Secretary of the Treasury.

The Department of Agriculture and Related Agencies Appropriation Act, 1966, made provision for terminating interest after June 30, 1964 on the portion of the Corporation's borrowings from the Treasury equal to the unreimbursed realized losses recorded on the books of the Corporation after the end of the fiscal year in which such losses are realized.

## POSITION WITH RESPECT TO BORROWING AUTHORITY, END OF YEAR

(In millions of dollars)

| Item  | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Statutory borrowing authority .....               | 30,000      | 30,000    | 30,000    |
| Deduct: Borrowings from Treasury .....            | 15          | 3,653     | 3,003     |
| Net statutory borrowing authority available ..... | 29,985      | 26,347    | 26,997    |

Note.—Accounts payable, accrued liabilities, and other outstanding obligations not reflected on this table do not become charges against the statutory borrowing authority until they result in borrowings from the Treasury.

**Contract authority.**—Price support and other programs required by statute may result in the Corporation incurring obligations in excess of available funds and borrowing authority. Such obligations are liquidated from subsequent appropriations and other funds that may become available to the Corporation. Any increase in obligations in excess of available fund resources is reported as contract authority in the year involved; a decrease is reported as the application of appropriations and other funds to liquidate the authority.

**Appropriations.**—Under section 2 of Public Law 87–155 annual appropriations are authorized for each fiscal year to reimburse the Corporation for net realized losses incurred as of the close of each year.

The special activities are financed as indicated in the program descriptions above. In addition to certain reimbursements from other agencies, appropriations are made for foreign assistance programs.

**Deficit.**—The net realized losses of the Corporation have previously been reimbursed as follows:

## SUPPORT AND RELATED PROGRAMS

(In millions of dollars)

|   | 2012 actual |
|---|-------------|
| Realized losses, 1933 to 2012, inclusive .....                                | 515,045     |
| Reimbursements by the Treasury: .....   |             |
| Reimbursements of realized losses: .....                                      |             |
| Appropriations (73 times) .....   | 502,731     |
| Note cancellations (6 times) .....  | 2,698       |
| Less dividends paid to Treasury (4 times) .....                               | –138        |
| Total reimbursements for net realized losses .....                            | 505,291     |
| Other reimbursements: .....   |             |
| Appropriations (2 times) .....  | 542         |
| Note cancellation (1 time) .....  | 56          |
| Total other reimbursements .....  | 598         |
| Total .....   | 505,889     |
| Realized deficit as of September 30, 2012, support and related programs ..... | 9,156       |

## Balance Sheet (in millions of dollars)

| Identification code 12–4336–0–3–999                | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| Federal assets:                                    |             |             |
| 1101 Fund balances with Treasury .....             | 1,876       | 896         |
| Investments in US securities:                      |             |             |
| 1106 Receivables, net .....                        | 360         | 438         |
| Non-Federal assets:                                |             |             |
| 1206 Receivables, net .....                        | 66          | 121         |
| 1207 Advances and prepayments .....                | 70          | 68          |
| 1601 Direct loans, gross .....                     | 335         | 395         |
| 1602 Interest receivable .....                     | 1           | 1           |
| 1699 Value of assets related to direct loans ..... | 336         | 396         |
| Other Federal assets:                              |             |             |
| 1802 Inventories and related properties .....      | 3           |             |
| 1803 Property, plant and equipment, net .....      | 48          | 29          |
| 1901 Other assets .....                            | 31          | 15          |
| 1999 Total assets .....                            | 2,790       | 1,963       |
| LIABILITIES:                                       |             |             |
| Federal liabilities:                               |             |             |
| 2101 Accounts payable .....                        | 1           | 1           |
| 2103 Debt .....                                    | 22          | 315         |
| 2105 Other .....                                   | 1,344       | 1,617       |
| Non-Federal liabilities:                           |             |             |
| 2201 Accounts payable .....                        | 56          | 56          |
| 2207 Other .....                                   | 6,471       | 6,468       |
| 2999 Total liabilities .....                       | 7,894       | 8,457       |
| NET POSITION:                                      |             |             |
| 3100 Unexpended appropriations .....               | 111         | 111         |
| 3300 Cumulative results of operations .....        | –5,215      | –6,605      |
| 3999 Total net position .....                      | –5,104      | –6,494      |
| 4999 Total liabilities and net position .....      | 2,790       | 1,963       |

## Object Classification (in millions of dollars)

| Identification code 12–4336–0–3–999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 22.0 Transportation of things .....   | 43          | 193     | 193       |
| 25.2 Other services from non-Federal sources .....                                | 262         | 162     | 181       |
| 25.2 Other services: Storage and handling .....                                   | 1           | 1       |           |
| 26.0 Supplies and materials: Costs of commodities sold or donated .....           | 813         | 1,034   | 863       |
| 41.0 Grants, subsidies, and contributions .....                                   | 9,794       | 9,040   | 8,491     |
| 42.0 Insurance claims and indemnities .....                                       |             | 50      |           |
| 43.0 Interest and dividends .....   | 3           | 11      | 17        |
| 99.0 Direct obligations .....   | 10,916      | 10,491  | 9,745     |
| Reimbursable obligations:   |             |         |           |
| 22.0 Transportation of things: P. L. 480 ocean transportation .....               | 865         | 925     | 730       |
| 26.0 Supplies and materials - Cost of Commodities Procured/Donated - PL 480 ..... | 745         | 925     | 730       |
| 33.0 Investments and loans .....  | 5,660       | 6,921   | 6,811     |
| 99.0 Reimbursable obligations .....   | 7,270       | 8,771   | 8,271     |

99.9 Total new obligations ..... 18,186 19,262 18,016

COMMODITY CREDIT CORPORATION FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 12--4336--4--3--999              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Mandatory Disaster Assistance .....             |             |         | 650       |
| 0192 Total support and related programs .....        |             |         | 650       |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 650       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 650       |
| 1260 Appropriations, mandatory (total) .....         |             |         | 650       |
| 1930 Total budgetary resources available .....       |             |         | 650       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 650       |
| 3020 Outlays (gross) .....                           |             |         | -650      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 650       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 650       |
| 4180 Budget authority, net (total) .....             |             |         | 650       |
| 4190 Outlays, net (total) .....                      |             |         | 650       |

As part of the President's commitment to fiscal responsibility, the Budget includes significant offsets. The proposals include programmatic changes that:

1. *Eliminate Direct Payments.*—The direct payment program provides producers fixed annual income payments for covered commodities based upon historical planted acres and yields. Payments are made regardless of whether the farmer is currently producing those crops. Direct payments do not vary based upon actual production or prices. As a result, landowners receive direct payments during times of record profitability, yet the direct payments may not provide an adequate safety-net during difficult times. Eliminating them would save the Government roughly \$3 billion per year.

2. *Cap the Conservation Reserve Program Acreage.*—Private lands conservation efforts play a critical role in conserving the Nations soil, water, and related natural resources. The Administration is very supportive of programs that create incentives for private lands conservation and has made great strides in leveraging these resources with those of other Federal agencies towards greater landscape-scale conservation. However, in light of the current economic realities and to reduce the deficit, the Administration proposes to cap the maximum allowable acreage enrollment in the Conservation Reserve Program at 25 million acres, saving about \$2.2 billion over 10 years when compared to the 2014 Budget's baseline.

3. *Extend Mandatory Disaster Assistance.*—The Administration strongly supports disaster assistance programs that protect farmers in their time of greatest need. The Food, Conservation, and Energy Act of 2008 provided producers with mandatory disaster assistance programs for the 2008 to 2011 crops. To strengthen the safety net, the Administration proposes to extend some of these programs. In particular, the Administration proposes to extend mandatory funding, through the Commodity Credit Corporation, for the Livestock Indemnity Program, Livestock Forage Program, Emergency Assistance for Livestock,

Honey Bees and Farm Raised Fish, and Tree Assistance Program. The programs provide financial assistance to producers when they suffer a loss of livestock or the ability to graze their livestock, loss of trees in an orchard, and other losses due to diseases or adverse weather. This proposal is estimated to cost about \$3 billion over 10 years.

4. *Provide Gross Margin Protection for Dairy Producers.*—The Administration supports a strong safety net for dairy producers. While row crop producers are experiencing record or near record prices for the corn and soy beans they raise, the profits of dairy producers are being squeezed by rising feed costs. Dairy gross margin insurance, available through the Federal crop insurance program, would allow producers to purchase insurance coverage to protect their profitability. Federal support for livestock insurance products, including dairy gross margin insurance, is currently capped at \$20 million per year. This proposal would provide an additional \$100 million per year, from the funds of the Commodity Credit Corporation, to support the dairy gross margin insurance program available through the Federal crop insurance program.

5. *Provide Funding for Other Administration Priorities.*—The Administration remains strongly committed to programs that create jobs, expand markets for existing products, and help develop the next generation of farmers and ranchers. To accomplish these goals, the Administration proposes additional funding to extend the Biomass Research and Development Initiative and the Rural Energy for America Program and provide funding for organics, specialty crops, and beginning farmers. These proposals would invest an additional \$1.3 billion in these high priority initiatives.

COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE  
PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

*For administrative expenses to carry out the Commodity Credit Corporation's export guarantee program, GSM 102 and GSM 103, \$6,748,000; to cover common overhead expenses as permitted by section 11 of the Commodity Credit Corporation Charter Act and in conformity with the Federal Credit Reform Act of 1990, of which \$6,394,000 shall be paid to the appropriation for "Foreign Agricultural Service, Salaries and Expenses", and of which \$354,000 shall be paid to the appropriation for "Farm Service Agency, Salaries and Expenses".*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 12--1336--0--1--351                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0707 Reestimates of loan guarantee subsidy .....             | 49          | 52      |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... | 20          | 7       |           |
| 0709 Administrative expenses .....                           | 7           | 7       | 7         |
| 0900 Total new obligations .....                             | 76          | 66      | 7         |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....        | 20          |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                               |             |         |           |
| 1100 Appropriation .....                                     | 7           | 7       | 7         |
| 1160 Appropriation, discretionary (total) .....              | 7           | 7       | 7         |
| Appropriations, mandatory:                                   |             |         |           |
| 1200 Appropriation - upward reestimate .....                 | 69          | 59      |           |

**COMMODITY CREDIT CORPORATION EXPORT (LOANS) CREDIT GUARANTEE  
PROGRAM ACCOUNT—Continued  
Program and Financing—Continued**

| Identification code 12–1336–0–1–351                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1230 Unobligated balance of appropriations permanently reduced ..... | –20         | .....   | .....     |
| 1260 Appropriations, mandatory (total) .....                         | 49          | 59      | .....     |
| 1900 Budget authority (total) .....                                  | 56          | 66      | 7         |
| 1930 Total budgetary resources available .....                       | 76          | 66      | 7         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                  | 76          | 66      | 7         |
| 3020 Outlays (gross) .....   | –76         | –66     | –7        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 7           | 7       | 7         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 7           | 7       | 7         |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 49          | 59      | .....     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 69          | 59      | .....     |
| 4180 Budget authority, net (total) .....                             | 56          | 66      | 7         |
| 4190 GSM 103 [12–4337] .....   | 76          | 66      | 7         |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 12–1336–0–1–351                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 GSM 102 .....  | 4,132       | 5,400   | 5,400     |
| 215003 Export guarantee program—Facilities .....                | .....       | 100     | 100       |
| 215999 Total loan guarantee levels .....                        | 4,132       | 5,500   | 5,500     |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 GSM 102 .....  | –0.69       | –1.10   | –1.07     |
| 232003 Export guarantee program—Facilities .....                | .....       | –4.65   | –4.67     |
| 232999 Weighted average subsidy rate .....                      | –0.69       | –1.16   | –1.14     |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 GSM 102 .....  | –29         | –59     | –58       |
| 233003 Export guarantee program—Facilities .....                | .....       | –5      | –5        |
| 233999 Total subsidy budget authority .....                     | –29         | –64     | –63       |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 GSM 102 .....  | –34         | –53     | –57       |
| 234003 Export guarantee program—Facilities .....                | .....       | –2      | –2        |
| 234999 Total subsidy outlays .....                              | –34         | –55     | –59       |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 GSM 102 .....  | 65          | 55      | .....     |
| 235002 Supplier Credit .....                                    | 4           | 3       | .....     |
| 235999 Total upward reestimate budget authority .....           | 69          | 58      | .....     |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 GSM 102 .....  | –4          | –24     | .....     |
| 237002 Supplier Credit .....                                    | –3          | –4      | .....     |
| 237999 Total downward reestimate subsidy budget authority ..... | –7          | –28     | .....     |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 7           | 7       | 7         |
| 3590 Outlays from new authority .....                           | 7           | 7       | 7         |

This is the program account for the GSM-102 CCC Export Credit Guarantee Program. The GSM-102 Export Credit Guarantee Program covers credit terms of up to three years. Under this program, CCC does not provide financing, but guarantees payments due from foreign banks and buyers. Because payment is guaranteed, financial institutions in the United States can offer competitive credit terms to foreign banks, usually with interest rates based on the London Inter-Bank Offered Rate (LIBOR). If the foreign bank fails to make any payment as agreed, the exporter or assignee must submit a notice of default to the CCC. A claim for loss must be filed, and the CCC will promptly pay claims found to be in good order. CCC usually guarantees 98 percent of

the principal payment due and interest based on a percentage of the one-year Treasury rate.

A portion of the GSM-102 guarantees is also made available as Facilities Guarantees. Under this activity, CCC guarantees export financing for capital goods and services to improve handling, marketing, processing, storage, or distribution of imported agricultural commodities and products.

The subsidy estimates for the GSM-102 program are determined in large part by the obligor's sovereign or non-sovereign country risk grade. These grades are developed annually by the International Credit Risk Assessment System Committee (ICRAS). In unusual circumstances, an ICRAS grade for a country may change during the fiscal year. The default estimates for GSM-102 guarantees still use the ICRAS grades, but are now based on programmatic experience and country-specific assumptions rather than the government-wide risk premia used previously.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the credit guarantees committed in 1992 and beyond (including modifications of credit guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. The 2014 Budget displays the GSM loan guarantee volume, the subsidy level that can be justified by forecast economic conditions, and the expected supply/demand conditions of countries requesting GSM loan guarantees. The 2014 Budget includes \$6.8 million for administrative expenses.

**Object Classification** (in millions of dollars)

| Identification code 12–1336–0–1–351                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 7           | 7       | 7         |
| 41.0 Grants, subsidies, and contributions .....          | 69          | 59      | .....     |
| 99.9 Total new obligations .....                         | 76          | 66      | 7         |

**COMMODITY CREDIT CORPORATION EXPORT GUARANTEE FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 12–4337–0–3–351   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....                                  | .....       | 92      | 92        |
| 0713 Payment of interest to Treasury .....                                      | 25          | 27      | 29        |
| 0715 Pro Rate Share of Claims paid to banks .....                               | 2           | 3       | 3         |
| 0740 Negative subsidy obligations .....   | 29          | 64      | 63        |
| 0742 Downward reestimate paid to receipt account .....                          | 1           | 16      | .....     |
| 0743 Interest on downward reestimates .....                                     | 6           | 11      | .....     |
| 0900 Total new obligations .....  | 63          | 213     | 187       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 275         | 138     | 179       |
| 1023 Unobligated balances applied to repay debt .....                           | –159        | –27     | .....     |
| 1050 Unobligated balance (total) .....  | 116         | 111     | 179       |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | .....       | 119     | 126       |
| 1440 Borrowing authority, mandatory (total) .....                               | .....       | 119     | 126       |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 174         | 163     | 117       |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –89         | –1      | –1        |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 85          | 162     | 116       |
| 1900 Financing authority (total) .....  | 85          | 281     | 242       |
| 1930 Total budgetary resources available .....                                  | 201         | 392     | 421       |

|                                     |  |      |      |      |
|-------------------------------------|--|------|------|------|
| Memorandum (non-add) entries:       |  |      |      |      |
| 1941                                | Unexpired unobligated balance, end of year .....             | 138  | 179  | 234  |
| <b>Change in obligated balance:</b> |  |      |      |      |
| Unpaid obligations:                 |  |      |      |      |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 18   | 13   | 49   |
| 3010                                | Obligations incurred, unexpired accounts .....               | 63   | 213  | 187  |
| 3020                                | Financing disbursements (gross) .....                        | -68  | -177 | -181 |
| 3050                                | Unpaid obligations, end of year .....                        | 13   | 49   | 55   |
| Uncollected payments:               |  |      |      |      |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -115 | -115 | -115 |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -115 | -115 | -115 |
| Memorandum (non-add) entries:       |  |      |      |      |
| 3100                                | Obligated balance, start of year .....                       | -97  | -102 | -66  |
| 3200                                | Obligated balance, end of year .....                         | -102 | -66  | -60  |

|  |  |      |      |       |
|--|--|------|------|-------|
| <b>Financing authority and disbursements, net:</b>           |  |      |      |       |
| Mandatory:   |  |      |      |       |
| 4090   | Financing authority, gross .....                                     | 85   | 281  | 242   |
| Financing disbursements:                                     |  |      |      |       |
| 4110   | Financing disbursements, gross .....                                 | 68   | 177  | 181   |
| Offsets against gross financing authority and disbursements: |  |      |      |       |
| Offsetting collections (collected) from:                     |  |      |      |       |
| 4120   | Payments from Program Account Upward Reestimate .....                | -69  | -58  | ..... |
| 4122   | Interest on uninvested funds .....                                   | -3   | -3   | -3    |
| 4123   | Loan origination fee .....   | -40  | -43  | -65   |
| 4123   | Principal collections .....  | -40  | -26  | -12   |
| 4123   | Interest collections .....   | -22  | -33  | -37   |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -174 | -163 | -117  |
| 4160   | Financing authority, net (mandatory) .....                           | -89  | 118  | 125   |
| 4170   | Financing disbursements, net (mandatory) .....                       | -106 | 14   | 64    |
| 4180   | Financing authority, net (total) .....                               | -89  | 118  | 125   |
| 4190   | Financing disbursements, net (total) .....                           | -106 | 14   | 64    |

**Status of Guaranteed Loans** (in millions of dollars)

|   |   |        |        |        |
|---|---|--------|--------|--------|
| Identification code 12-4337-0-3-351   |   |        |        |        |
| Position with respect to appropriations act limitation on commitments:            |   |        |        |        |
| 2131  | Guaranteed loan commitments exempt from limitation .....                  | 4,132  | 5,500  | 5,500  |
| 2150  | Total guaranteed loan commitments .....                                   | 4,132  | 5,500  | 5,500  |
| 2199  | Guaranteed amount of guaranteed loan commitments .....                    | 4,132  | 5,387  | 5,387  |
| Cumulative balance of guaranteed loans outstanding:                               |   |        |        |        |
| 2210  | Outstanding, start of year .....  | 6,115  | 5,458  | 5,668  |
| 2231  | Disbursements of new guaranteed loans .....                               | 4,132  | 5,500  | 5,500  |
| 2251  | Repayments and prepayments .....  | -4,789 | -5,198 | -5,198 |
| 2263  | Adjustments: Terminations for default that result in claim payments ..... | .....  | -92    | -92    |
| 2290  | Outstanding, end of year .....  | 5,458  | 5,668  | 5,878  |
| Memorandum:   |   |        |        |        |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year .....      | 5,349  | 5,555  | 5,760  |
| Addendum:   |   |        |        |        |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |   |        |        |        |
| 2310  | Outstanding, start of year .....  | 870    | 815    | 756    |
| 2351  | Repayments of loans receivable .....                                      | -55    | -59    | -48    |
| 2390  | Outstanding, end of year .....  | 815    | 756    | 708    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

|                                     |                                   |  |             |             |
|-------------------------------------|-----------------------------------|--|-------------|-------------|
| Identification code 12-4337-0-3-351 |                                   |  | 2011 actual | 2012 actual |
| ASSETS:                             |                                   |  |             |             |
| Federal assets:                     |                                   |  |             |             |
| 1101                                | Fund balances with Treasury ..... |  | 178         | 36          |
| 1101                                | Accounts Receivable, net .....    |  | 78          | 66          |

|  |   |      |  |      |
|--|---|------|--|------|
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |   |      |  |      |
| 1501   | Defaulted guaranteed loans receivable, gross .....                      | 870  |  | 815  |
| 1502   | Interest receivable .....   | 11   |  | 14   |
| 1505   | Allowance for subsidy cost (-) .....                                    | -286 |  | -266 |
| 1599   | Net present value of assets related to defaulted guaranteed loans ..... | 595  |  | 563  |
| 1999   | Total assets .....  | 851  |  | 665  |
| LIABILITIES:   |   |      |  |      |
| Federal liabilities:   |   |      |  |      |
| 2101   | Accounts payable .....  | 1    |  | 1    |
| 2104   | Resources payable to Treasury .....                                     | 705  |  | 457  |
| Non-Federal liabilities:   |   |      |  |      |
| 2204   | Liabilities for loan guarantees .....                                   | 115  |  | 174  |
| 2207   | Other .....   | 30   |  | 33   |
| 2999   | Total liabilities .....   | 851  |  | 665  |
| 4999   | Total liabilities and net position .....                                | 851  |  | 665  |

**COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

|  |  |       |       |       |
|--|--|-------|-------|-------|
| Identification code 12-4338-0-3-351                        |  |       |       |       |
| 2012 actual  |  |       |       |       |
| 2013 CR  |  |       |       |       |
| 2014 est.  |  |       |       |       |
| <b>Obligations by program activity:</b>                    |  |       |       |       |
| 0001   | Operating Expenses .....   | 1     | 1     | 1     |
| 0100   | Direct program activities, subtotal .....  | 1     | 1     | 1     |
| 0900   | Total new obligations (object class 41.0) .....  | 1     | 1     | 1     |
| <b>Budgetary Resources:</b>                                |  |       |       |       |
| Unobligated balance:                                       |  |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....   | 5     | ..... | ..... |
| 1022   | Capital transfer of unobligated balances to general fund .....                           | -5    | ..... | ..... |
| Budget authority:  |  |       |       |       |
| Spending authority from offsetting collections, mandatory: |  |       |       |       |
| 1800   | Collected .....  | 35    | 8     | 8     |
| 1820   | Capital transfer of spending authority from offsetting collections to general fund ..... | -34   | -7    | -7    |
| 1850   | Spending auth from offsetting collections, mand (total) .....                            | 1     | 1     | 1     |
| 1930   | Total budgetary resources available .....  | 1     | 1     | 1     |
| <b>Change in obligated balance:</b>                        |  |       |       |       |
| Unpaid obligations:  |  |       |       |       |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....   | 6     | 6     | 5     |
| 3010   | Obligations incurred, unexpired accounts .....   | 1     | 1     | 1     |
| 3020   | Outlays (gross) .....  | -1    | -2    | -2    |
| 3050   | Unpaid obligations, end of year .....  | 6     | 5     | 4     |
| Memorandum (non-add) entries:                              |  |       |       |       |
| 3100   | Obligated balance, start of year .....   | 6     | 6     | 5     |
| 3200   | Obligated balance, end of year .....   | 6     | 5     | 4     |
| <b>Budget authority and outlays, net:</b>                  |  |       |       |       |
| Mandatory:   |  |       |       |       |
| 4090   | Budget authority, gross .....  | 1     | 1     | 1     |
| Outlays, gross:  |  |       |       |       |
| 4100   | Outlays from new mandatory authority .....   | 1     | 1     | 1     |
| 4101   | Outlays from mandatory balances .....  | ..... | 1     | 1     |
| 4110   | Outlays, gross (total) .....   | 1     | 2     | 2     |
| Offsets against gross budget authority and outlays:        |  |       |       |       |
| Offsetting collections (collected) from:                   |  |       |       |       |
| 4123   | Non-Federal sources .....  | -35   | -8    | -8    |
| 4180   | Budget authority, net (total) .....  | -34   | -7    | -7    |
| 4190   | Outlays, net (total) .....   | -34   | -6    | -6    |

**Status of Guaranteed Loans** (in millions of dollars)

|   |                                      |     |     |     |
|---|--------------------------------------|-----|-----|-----|
| Identification code 12-4338-0-3-351   |                                      |     |     |     |
| 2012 actual   |                                      |     |     |     |
| 2013 CR   |                                      |     |     |     |
| 2014 est.   |                                      |     |     |     |
| Addendum:   |                                      |     |     |     |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |                                      |     |     |     |
| 2310  | Outstanding, start of year .....     | 124 | 109 | 101 |
| 2351  | Repayments of loans receivable ..... | -15 | -8  | -8  |
| 2390  | Outstanding, end of year .....       | 109 | 101 | 93  |

COMMODITY CREDIT CORPORATION GUARANTEED LOANS LIQUIDATING  
ACCOUNT—Continued

This account includes amounts for activities previously funded in the Commodity Credit Corporation Fund.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

## Balance Sheet (in millions of dollars)

| Identification code 12-4338-0-3-351                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 11          | 6           |
| 1701 Defaulted guaranteed loans, gross .....                            | 124         | 109         |
| 1702 Interest receivable .....  | 1           | 206         |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -75         | -264        |
| 1799 Value of assets related to loan guarantees .....                   | 50          | 51          |
| 1999 Total assets .....   | 61          | 57          |
| <b>LIABILITIES:</b>   |             |             |
| <b>Federal liabilities:</b>   |             |             |
| 2101 Accounts payable .....   | 6           | 6           |
| 2104 Resources payable to Treasury .....                                | 40          | 30          |
| 2207 Non-Federal liabilities: Other .....                               |             | 6           |
| 2999 Total liabilities .....  | 46          | 42          |
| <b>NET POSITION:</b>  |             |             |
| 3300 Cumulative results of operations .....                             | 15          | 15          |
| 4999 Total liabilities and net position .....                           | 61          | 57          |

## FARM STORAGE FACILITY LOANS PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-3301-0-1-351                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| <b>Credit program obligations:</b>                        |             |         |           |
| 0705 Reestimates of direct loan subsidy .....             | 5           | 4       |           |
| 0706 Interest on reestimates of direct loan subsidy ..... | 3           | 4       |           |
| 0900 Total new obligations (object class 41.0) .....      | 8           | 8       |           |
| <b>Budgetary Resources:</b>                               |             |         |           |
| <b>Budget authority:</b>                                  |             |         |           |
| <b>Appropriations, mandatory:</b>                         |             |         |           |
| 1200 Appropriation .....                                  | 8           | 8       |           |
| 1260 Appropriations, mandatory (total) .....              | 8           | 8       |           |
| 1930 Total budgetary resources available .....            | 8           | 8       |           |
| <b>Change in obligated balance:</b>                       |             |         |           |
| <b>Unpaid obligations:</b>                                |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....       | 8           | 8       |           |
| 3020 Outlays (gross) .....                                | -8          | -8      |           |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| <b>Mandatory:</b>   |             |         |           |
| 4090 Budget authority, gross .....                        | 8           | 8       |           |
| <b>Outlays, gross:</b>                                    |             |         |           |
| 4100 Outlays from new mandatory authority .....           | 8           | 8       |           |
| 4180 Budget authority, net (total) .....                  | 8           | 8       |           |
| 4190 Outlays, net (total) .....                           | 8           | 8       |           |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12-3301-0-1-351                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct loan levels supportable by subsidy budget authority:</b> |             |         |           |
| 115001 Farm Storage Facility Loans .....                           | 200         | 300     | 300       |
| 115002 Sugar Storage Facility Loans .....                          |             | 9       | 9         |
| 115999 Total direct loan levels .....                              | 200         | 309     | 309       |
| <b>Direct loan subsidy (in percent):</b>                           |             |         |           |
| 132001 Farm Storage Facility Loans .....                           | -2.30       | -2.46   | -2.52     |

|   |       |       |       |
|---|-------|-------|-------|
| 132002 Sugar Storage Facility Loans .....               | -3.30 | -2.80 |       |
| 132999 Weighted average subsidy rate .....              | -2.30 | -2.48 | -2.53 |
| <b>Direct loan subsidy budget authority:</b>            |       |       |       |
| 133001 Farm Storage Facility Loans .....                | -5    | -7    | -8    |
| 133999 Total subsidy budget authority .....             | -5    | -7    | -8    |
| <b>Direct loan subsidy outlays:</b>                     |       |       |       |
| 134001 Farm Storage Facility Loans .....                | -4    | -4    | -7    |
| 134999 Total subsidy outlays .....                      | -4    | -4    | -7    |
| <b>Direct loan upward reestimates:</b>                  |       |       |       |
| 135001 Farm Storage Facility Loans .....                | 8     | 8     |       |
| 135999 Total upward reestimate budget authority .....   | 8     | 8     |       |
| <b>Direct loan downward reestimates:</b>                |       |       |       |
| 137001 Farm Storage Facility Loans .....                | -14   | -26   |       |
| 137999 Total downward reestimate budget authority ..... | -14   | -26   |       |

**Farm Storage Facility Loan (FSFL) Program.**—The FSFL program was established by the Commodity Credit Corporation (CCC) in 1949 to offer low-cost financing to producers for the construction or upgrade of on-farm storage facilities—the program was discontinued in the early 1980's when studies showed sufficient storage space was available. The FSFL was re-established in 2000 due to a severe shortage of available storage. The program was implemented in 2000 by CCC under Section 504(c) of the Federal Credit Reform Act of 1990. The Food, Conservation and Energy Act of 2008 expanded the loan limits, term limits, and eligible commodities for which facilities can be financed by the program. The program now provides producers financing with seven, ten, or twelve-year repayment terms and low interest rates. The program gives producers greater marketing flexibility when farm storage is limited and/or transportation difficulties cause storage problems, allows farmers to benefit from new marketing and technological advances, and maximizes their returns through identity-preserved marketing.

**Sugar Storage Facility Loans.**—The 2002 Farm Bill, as amended by the 2008 Farm Bill, directs that the CCC establish a sugar storage facility loan program to provide financing for processors of domestically produced sugarcane and sugar beets to construct or upgrade storage and handling facilities for raw sugars and refined sugars. The loan term is a minimum of seven years with the amount and terms being determined as any other commercial loan.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis, and the administrative expenses are estimated on a cash basis.

## FARM STORAGE FACILITY DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-4158-0-3-351                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| <b>Credit program obligations:</b>                     |             |         |           |
| 0710 Direct loan obligations .....                     | 200         | 309     | 309       |
| 0713 Payment of interest to Treasury .....             | 25          | 26      | 25        |
| 0740 Negative subsidy obligations .....                | 5           | 8       | 8         |
| 0742 Downward reestimate paid to receipt account ..... | 10          | 23      |           |
| 0743 Interest on downward reestimates .....            | 4           | 3       |           |
| 0900 Total new obligations .....                       | 244         | 369     | 342       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| <b>Unobligated balance:</b>                            |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 123         | 19      | 21        |
| 1021 Recoveries of prior year unpaid obligations ..... | 29          |         |           |
| 1023 Unobligated balances applied to repay debt .....  | -150        | -19     | -21       |

|  |  |      |      |      |
|--|--|------|------|------|
| 1050   | Unobligated balance (total) .....  | 2    |      |      |
|  | Financing authority:   |      |      |      |
|  | Borrowing authority, mandatory:  |      |      |      |
| 1400   | Borrowing authority .....  | 322  | 357  | 357  |
| 1421   | Borrowing authority applied to repay debt .....                            | -110 |      |      |
| 1440   | Borrowing authority, mandatory (total) .....                               | 212  | 357  | 357  |
|  | Spending authority from offsetting collections, mandatory:                 |      |      |      |
| 1800   | Payments from program account .....  | 7    | 8    |      |
| 1800   | Principal repayments .....   | 162  | 188  | 163  |
| 1800   | Interest repayments .....  | 22   | 28   | 21   |
| 1800   | Interest on Uninvested Funds .....   | 10   | 8    | 8    |
| 1800   | Fees and Other Collections .....   | 3    | 1    | 1    |
| 1801   | Change in uncollected payments, Federal sources .....                      | -1   |      |      |
| 1825   | Spending authority from offsetting collections applied to repay debt ..... | -154 | -200 | -150 |
| 1850   | Spending auth from offsetting collections, mand (total) .....              | 49   | 33   | 43   |
| 1900   | Financing authority (total) .....  | 261  | 390  | 400  |
| 1930   | Total budgetary resources available .....                                  | 263  | 390  | 400  |
|  | Memorandum (non-add) entries:  |      |      |      |
| 1941   | Unexpired unobligated balance, end of year .....                           | 19   | 21   | 58   |
| <b>Change in obligated balance:</b>                |  |      |      |      |
|  | Unpaid obligations:  |      |      |      |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                           | 192  | 159  | 159  |
| 3010   | Obligations incurred, unexpired accounts .....                             | 244  | 369  | 342  |
| 3020   | Financing disbursements (gross) .....                                      | -248 | -369 | -342 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....               | -29  |      |      |
| 3050   | Unpaid obligations, end of year .....                                      | 159  | 159  | 159  |
|  | Uncollected payments:  |      |      |      |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -1   |      |      |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                  | 1    |      |      |
|  | Memorandum (non-add) entries:  |      |      |      |
| 3100   | Obligated balance, start of year .....                                     | 191  | 159  | 159  |
| 3200   | Obligated balance, end of year .....                                       | 159  | 159  | 159  |
| <b>Financing authority and disbursements, net:</b> |  |      |      |      |
|  | Mandatory:   |      |      |      |
| 4090   | Financing authority, gross .....   | 261  | 390  | 400  |
|  | Financing disbursements:   |      |      |      |
| 4110   | Financing disbursements, gross .....                                       | 248  | 369  | 342  |
|  | Offsets against gross financing authority and disbursements:               |      |      |      |
|  | Offsetting collections (collected) from:                                   |      |      |      |
| 4120   | Payment from program account Upward Reestimate .....                       | -8   | -8   |      |
| 4122   | Interest on uninvested funds .....   | -10  | -8   | -8   |
| 4123   | Principal collections .....  | -186 | -188 | -163 |
| 4123   | Interest collections .....   |      | -28  | -21  |
| 4123   | Fees and Other Collections .....   |      | -1   | -1   |
| 4130   | Offsets against gross financing auth and disbursements (total) .....       | -204 | -233 | -193 |
|  | Additional offsets against financing authority only (total):               |      |      |      |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....                  | 1    |      |      |
| 4160   | Financing authority, net (mandatory) .....                                 | 58   | 157  | 207  |
| 4170   | Financing disbursements, net (mandatory) .....                             | 44   | 136  | 149  |
| 4180   | Financing authority, net (total) .....                                     | 58   | 157  | 207  |
| 4190   | Financing disbursements, net (total) .....                                 | 44   | 136  | 149  |

**Status of Direct Loans** (in millions of dollars)

| Identification code 12-4158-0-3-351                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 200         | 309     | 309       |
| 1150 Total direct loan obligations .....                               | 200         | 309     | 309       |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 671         | 714     | 830       |
| 1231 Disbursements: Direct loan disbursements .....                    | 205         | 304     | 304       |
| 1251 Repayments: Repayments and prepayments .....                      | -162        | -188    | -163      |
| 1290 Outstanding, end of year .....                                    | 714         | 830     | 971       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4158-0-3-351                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 314         | 178         |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 8           | 8           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 671         | 714         |
| 1402 Interest receivable .....                                    | 49          | 52          |
| 1405 Allowance for subsidy cost (-) .....                         | -30         | -21         |
| 1499 Net present value of assets related to direct loans .....    | 690         | 745         |
| 1999 Total assets .....   | 1,012       | 931         |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Debt payable to Treasury .....                               | 997         | 905         |
| 2105 Other Federal Liabilities .....                              | 15          | 26          |
| 2999 Total liabilities .....                                      | 1,012       | 931         |
| 4999 Total liabilities and net position .....                     | 1,012       | 931         |

**EMERGENCY BOLL WEEVIL LOAN PROGRAM ACCOUNT****Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 12-3303-0-1-351                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan downward reestimates:                       |             |         |           |
| 137001 Emergency Boll Weevil and Apple Loans .....      | -4          |         |           |
| 137999 Total downward reestimate budget authority ..... | -4          |         |           |

**APPLE LOANS PROGRAM ACCOUNT**

The Agricultural Risk Protection Act of 2000 authorized up to \$5 million for the cost to provide loans to producers of apples for economic losses as the result of low prices. Although the program is funded through the Commodity Credit Corporation, program management is performed through farm loan programs. No further funding is requested for this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis.

**EMERGENCY BOLL WEEVIL DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12-4221-0-3-351                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| Credit program obligations:                            |             |         |           |
| 0742 Downward reestimate paid to receipt account ..... | 2           |         |           |
| 0743 Interest on downward reestimates .....            | 2           |         |           |
| 0900 Total new obligations .....                       | 4           |         |           |

**Budgetary Resources:**

|  |    |   |   |
|--|----|---|---|
| 1000 Unobligated balance:                            |    |   |   |
| Unobligated balance brought forward, Oct 1 .....     | 1  | 1 | 3 |
| Financing authority:                                 |    |   |   |
| Borrowing authority, mandatory:                      |    |   |   |
| 1400 Borrowing authority .....                       | 7  |   |   |
| 1421 Borrowing authority applied to repay debt ..... | -3 |   |   |
| 1440 Borrowing authority, mandatory (total) .....    | 4  |   |   |

**EMERGENCY BOLL WEEVIL DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 12-4221-0-3-351                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Principal repayments .....                                    |             | 2       | 1         |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             | 2       | 1         |
| 1900 Financing authority (total) .....                             | 4           | 2       | 1         |
| 1930 Total budgetary resources available .....                     | 5           | 3       | 4         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 3       | 4         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 4           |         |           |
| 3020 Financing disbursements (gross) .....                         | -4          |         |           |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              | 4           | 2       | 1         |
| Financing disbursements:   |             |         |           |
| 4110 Financing disbursements, gross .....                          | 4           |         |           |
| Offsets against gross financing authority and disbursements:       |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Principal repayments .....                                    |             | -2      | -1        |
| 4180 Financing authority, net (total) .....                        | 4           |         |           |
| 4190 Financing disbursements, net (total) .....                    | 4           | -2      | -1        |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4221-0-3-351               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 9           | 9       | 7         |
| 1251 Repayments: Repayments and prepayments ..... |             | -2      | -1        |
| 1290 Outstanding, end of year .....               | 9           | 7       | 6         |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 12-4221-0-3-351                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 9           | 9           |
| 1405 Allowance for subsidy cost (-) .....                         | -5          | -5          |
| 1499 Net present value of assets related to direct loans .....    | 4           | 4           |
| 1999 Total assets .....   | 4           | 4           |
| <b>LIABILITIES:</b>   |             |             |
| 2101 Federal liabilities: Accounts payable .....                  | 4           | 4           |
| 4999 Total liabilities and net position .....                     | 4           | 4           |

**AGRICULTURAL DISASTER RELIEF FUND****Program and Financing (in millions of dollars)**

| Identification code 12-5531-0-2-351                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Disaster payments .....                                     | 694         | 882     |           |
| 0900 Total new obligations (object class 41.0) .....             | 694         | 882     |           |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 485         | 177     |           |
| 1010 Unobligated balance transfer to other accts [12-3316] ..... | -14         |         |           |
| 1050 Unobligated balance (total) .....                           | 471         | 177     |           |

|  |        |        |        |
|--|--------|--------|--------|
| Budget authority:  |        |        |        |
| Borrowing authority, mandatory:                                |        |        |        |
| 1400 Borrowing authority .....                                 | 400    | 705    |        |
| 1440 Borrowing authority, mandatory (total) .....              | 400    | 705    |        |
| 1900 Budget authority (total) .....                            | 400    | 705    |        |
| 1930 Total budgetary resources available .....                 | 871    | 882    |        |
| Memorandum (non-add) entries:                                  |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....          | 177    |        |        |
| Special and non-revolving trust funds:                         |        |        |        |
| 1952 Expired unobligated balance, start of year .....          | 2      | 3      | 3      |
| 1953 Expired unobligated balance, end of year .....            | 3      | 3      | 3      |
| <b>Change in obligated balance:</b>                            |        |        |        |
| Unpaid obligations:  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....          | 30     | 29     |        |
| 3010 Obligations incurred, unexpired accounts .....            | 694    | 882    |        |
| 3011 Obligations incurred, expired accounts .....              | 1      |        |        |
| 3020 Outlays (gross) .....                                     | -696   | -911   |        |
| 3050 Unpaid obligations, end of year .....                     | 29     |        |        |
| Memorandum (non-add) entries:                                  |        |        |        |
| 3100 Obligated balance, start of year .....                    | 30     | 29     |        |
| 3200 Obligated balance, end of year .....                      | 29     |        |        |
| <b>Budget authority and outlays, net:</b>                      |        |        |        |
| Mandatory:   |        |        |        |
| 4090 Budget authority, gross .....                             | 400    | 705    |        |
| Outlays, gross:  |        |        |        |
| 4100 Outlays from new mandatory authority .....                | 193    | 705    |        |
| 4101 Outlays from mandatory balances .....                     | 503    | 206    |        |
| 4110 Outlays, gross (total) .....                              | 696    | 911    |        |
| Offsets against gross budget authority and outlays:            |        |        |        |
| Offsetting collections (collected) from:                       |        |        |        |
| 4123 Non-Federal sources .....                                 | -2     |        |        |
| Additional offsets against gross budget authority only:        |        |        |        |
| 4142 Offsetting collections credited to expired accounts ..... | 2      |        |        |
| 4160 Budget authority, net (mandatory) .....                   | 400    | 705    |        |
| 4170 Outlays, net (mandatory) .....                            | 694    | 911    |        |
| 4180 Budget authority, net (total) .....                       | 400    | 705    |        |
| 4190 Outlays, net (total) .....                                | 694    | 911    |        |
| <b>Memorandum (non-add) entries:</b>                           |        |        |        |
| 5080 Outstanding debt, SOY: Repayable advances .....           | -696   | -1,096 | -1,801 |
| 5081 Outstanding debt, EOY: Repayable advances .....           | -1,096 | -1,801 | -1,801 |
| 5082 Borrowing: Repayable advances .....                       | -400   | -705   |        |

The Food, Conservation, and Energy Act of 2008 (2008 Farm Bill), P.L. 110-246, provided for Supplemental Agricultural Disaster Assistance under Sec.12033 and 15101. The Taxpayer Relief Act of 2012 provides authority to continue the Food, Conservation, and Energy Act of 2008 for fiscal year 2013. This includes the Agricultural Disaster Relief Trust Fund, which is composed of amounts equivalent to 3.1 percent of the amounts received in the general fund of the U.S. Treasury during 2008-2011 attributable to the duties collected on articles entered, or withdrawn from warehouse, for consumption under the Harmonized Tariff Schedule of the United States. The fund has authority to borrow and make repayable advances that are such sums as may be necessary to make up the fund's budget authority. Advances to the fund must be repaid with interest to the general fund of the U.S. Treasury when the Secretary of the Treasury determines that funds are available in the trust fund.

Obligations of \$694,335,032 were incurred and total outlays were \$696,171,992 in FY 2012, as shown in the table below. Unobligated balances carried over to 2012 of \$485,094,851 and obligated repayable advances of \$400,000,000 provided the funding for 2012 obligations. In 2012, the amount of customs receipts credited to the Agricultural Disaster Relief Trust Fund receipt account totaled \$36,629.69. Available budget authority totaling \$176,699,490 was carried forward into 2013 as an unobligated balance.

An additional \$705,000,000 of borrowing authority in 2013 will be utilized to make payments for the continuing disaster pro-



grams (SURE payments for qualifying crop losses due to natural disasters occurring on or before September 30, 2011).

#### Fiscal Year 2012 Agricultural Disaster Relief Trust Fund Obligations and Outlays

(In millions of dollars)

| PROGRAMS  | OBLIGATIONS | OUTLAYS |
|---|-------------|---------|
| Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish Program (ELAP) ..... | 9           | 10      |
| Livestock Forage Disaster Program (LFP) .....   | 79          | 85      |
| Livestock Indemnity Program (LIP) .....   | 26          | 27      |
| Supplemental Revenue Assistance Payments (SURE) Program .....                             | 561         | 567     |
| Tree Assistance Program (TAP) .....   | 19          | 7       |
| Subtotal .....  | 694         | 696     |
| Unallocated .....   | 0           | -4      |
| Total .....   | 694         | 692     |

Under P.L. 110-246, funding for this mandatory program was used to make payments to farmers and ranchers under the following five disaster assistance programs: Supplemental Revenue Assistance Payments (SURE) Program; Livestock Forage Disaster Program (LFP); Livestock Indemnity Program (LIP); Tree Assistance Program (TAP); and Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish Program (ELAP). All of these programs except for SURE were extended by the Taxpayer Relief Act of 2012. However, 2013 funding for the programs is dependent on a discretionary appropriation.

#### Fiscal Year 2012—Fiscal Year 2014 Agricultural Disaster Relief Trust Fund Outlays

| PROGRAMS  | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Emergency Assistance for Livestock, Honey Bees, and Farm-Raised Fish Program (ELAP) ..... | 10          | 0         | 0         |
| Livestock Forage Disaster Program (LFP) .....   | 85          | 0         | 0         |
| Livestock Indemnity Program (LIP) .....   | 27          | 0         | 0         |
| Supplemental Revenue Assistance Payments (SURE) Program .....                             | 567         | 911       | 0         |
| Tree Assistance Program (TAP) .....   | 7           | 0         | 0         |
| SUBTOTAL .....  | 696         | 911       | 0         |
| Unallocated .....   | -4          | 0         | 0         |
| TOTAL .....   | 692         | 911       | 0         |

The American Recovery and Reinvestment Act of 2009 (ARRA) amended the 2008 Farm Bill and the SURE Program to modify the payment formulas for 2008 crops. The ARRA also provided an additional 90 day window for 2008 crops for those producers who did not obtain a policy or plan of insurance or NAP coverage or elect to buy in by September 16, 2008 as authorized under the 2008 Farm Bill. Total ARRA SURE payment outlays made in 2010 were \$578,170,337. An additional \$236,392,421 in ARRA SURE payments were outlayed in fiscal year 2011. In 2012, \$1,099,265 in ARRA SURE payments were outlayed in fiscal year 2012. There were also \$156,736 of ARRA TAP payments made in 2010.

#### Trust Funds

##### TOBACCO TRUST FUND

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12-8161-0-7-351                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                   | 1           | 1       | 1         |
| Receipts:   |             |         |           |
| 0200 Excise Taxes for Tobacco Assessments, Tobacco Trust Fund ..... | 939         | 960     | 960       |
| 0400 Total: Balances and collections .....                          | 940         | 961     | 961       |
| Appropriations:   |             |         |           |
| 0500 Tobacco Trust Fund .....                                       | -939        | -960    | -960      |
| 0799 Balance, end of year .....                                     | 1           | 1       | 1         |

#### Program and Financing (in millions of dollars)

| Identification code 12-8161-0-7-351                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Tobacco Buyout Cost Reimbursement to CCC .....   | 891         | 960     | 960       |
| 0900 Total new obligations (object class 41.0) .....  | 891         | 960     | 960       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 48      | 48        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 939         | 960     | 960       |
| 1260 Appropriations, mandatory (total) .....          | 939         | 960     | 960       |
| 1930 Total budgetary resources available .....        | 939         | 1,008   | 1,008     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 48          | 48      | 48        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 891         | 960     | 960       |
| 3020 Outlays (gross) .....                            | -891        | -960    | -960      |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 939         | 960     | 960       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 891         | 960     | 960       |
| 4180 Budget authority, net (total) .....              | 939         | 960     | 960       |
| 4190 Outlays, net (total) .....                       | 891         | 960     | 960       |

## NATURAL RESOURCES CONSERVATION SERVICE

### Federal Funds

#### PRIVATE LANDS CONSERVATION OPERATIONS

For necessary expenses for carrying out the provisions of the Act of April 27, 1935 (16 U.S.C. 590a-f), including preparation of conservation plans and establishment of measures to conserve soil and water (including farm irrigation and land drainage and such special measures for soil and water management as may be necessary to prevent floods and the siltation of reservoirs and to control agricultural related pollutants); operation of conservation plant materials centers; classification and mapping of soil; dissemination of information; acquisition of lands, water, and interests therein for use in the plant materials program by donation, exchange, or purchase at a nominal cost not to exceed \$100 pursuant to the Act of August 3, 1956 (7 U.S.C. 428a); purchase and erection or alteration or improvement of permanent and temporary buildings; and operation and maintenance of aircraft, \$807,937,000, to remain available until September 30, 2015: Provided, That appropriations hereunder shall be available pursuant to 7 U.S.C. 2250 for construction and improvement of buildings and public improvements at plant materials centers, except that the cost of alterations and improvements to other buildings and other public improvements shall not exceed \$750,000: Provided further, That when buildings or other structures are erected on non-Federal land, that the right to use such land is obtained as provided in 7 U.S.C. 2250a.

In addition, \$695,000,000, to be available for the same time period and for the same purposes as the appropriation from which transferred, shall be derived by transfer from the Farm Security and Rural Investment Program for technical assistance in support of conservation programs authorized by Title XII of the Food Security Act of 1985 (16 U.S.C. 3801-3862), as amended; Section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)), as amended; and Section 502 of the Healthy Forests Restoration Act of 2003, as amended: Provided, That, of such amount, at least \$25,000,000 shall be competitively awarded to non-Federal conservation partners pursuant to 16 U.S.C. 3842: Provided further, That, upon a determination that additional funding is necessary for technical assistance for the purposes provided herein, additional such amounts may be derived by transfer from the Farm Security and Rural Investment Program: Provided further, That any portion of the funding derived by transfer deemed not necessary for the purposes provided herein may be transferred to the Farm Security and Rural Investment Program: Provided

## PRIVATE LANDS CONSERVATION OPERATIONS—Continued

further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12–1000–0–1–302            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....              |             |         |           |
| Receipts:                                      |             |         |           |
| 0220 NRCS Fees for Conservation Planning ..... |             |         | 22        |
| 0400 Total: Balances and collections .....     |             |         | 22        |
| 0799 Balance, end of year .....                |             |         | 22        |

## Program and Financing (in millions of dollars)

| Identification code 12–1000–0–1–302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Technical assistance .....                                    | 711         | 772     | 1,409     |
| 0002 Soil surveys .....  | 79          | 85      | 77        |
| 0003 Snow survey and water forecasting .....                       | 10          | 9       | 8         |
| 0004 Plant materials centers .....                                 | 10          | 10      | 9         |
| 0799 Total direct obligations .....                                | 810         | 876     | 1,503     |
| 0801 EPA Great Lakes - Reimbursable .....                          | 7           | 5       | 5         |
| 0802 Reimbursable program activity .....                           | 29          | 35      | 35        |
| 0899 Total reimbursable obligations .....                          | 36          | 40      | 40        |
| 0900 Total new obligations .....                                   | 846         | 916     | 1,543     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 34          | 58      | 15        |
| 1021 Recoveries of prior year unpaid obligations .....             | 15          |         |           |
| 1050 Unobligated balance (total) .....                             | 49          | 58      | 15        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 828         | 833     | 808       |
| 1121 Appropriations transferred from other accts [12–1004] .....   |             |         | 695       |
| 1160 Appropriation, discretionary (total) .....                    | 828         | 833     | 1,503     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 19          | 40      | 40        |
| 1701 Change in uncollected payments, Federal sources .....         | 20          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 39          | 40      | 40        |
| 1900 Budget authority (total) .....                                | 867         | 873     | 1,543     |
| 1930 Total budgetary resources available .....                     | 916         | 931     | 1,558     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –12         |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 58          | 15      | 15        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 288         | 245     | 319       |
| 3010 Obligations incurred, unexpired accounts .....                | 846         | 916     | 1,543     |
| 3011 Obligations incurred, expired accounts .....                  | 3           |         |           |
| 3020 Outlays (gross) .....   | –857        | –842    | –1,427    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –15         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –20         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 245         | 319     | 435       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –35         | –53     | –53       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –20         |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 2           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –53         | –53     | –53       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 253         | 192     | 266       |
| 3200 Obligated balance, end of year .....                          | 192         | 266     | 382       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 867         | 873     | 1,543     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 656         | 704     | 1,205     |

|  |     |     |       |
|--|-----|-----|-------|
| 4011 Outlays from discretionary balances .....                       | 201 | 138 | 222   |
| 4020 Outlays, gross (total) .....                                    | 857 | 842 | 1,427 |
| Offsets against gross budget authority and outlays:                  |     |     |       |
| Offsetting collections (collected) from:                             |     |     |       |
| 4030 Federal sources .....   | –20 | –31 | –31   |
| 4033 Non-Federal sources .....                                       | –2  | –9  | –9    |
| 4040 Offsets against gross budget authority and outlays (total) .... | –22 | –40 | –40   |
| Additional offsets against gross budget authority only:              |     |     |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –20 |     |       |
| 4052 Offsetting collections credited to expired accounts .....       | 3   |     |       |
| 4060 Additional offsets against budget authority only (total) .....  | –17 |     |       |
| 4070 Budget authority, net (discretionary) .....                     | 828 | 833 | 1,503 |
| 4080 Outlays, net (discretionary) .....                              | 835 | 802 | 1,387 |
| 4180 Budget authority, net (total) .....                             | 828 | 833 | 1,503 |
| 4190 Outlays, net (total) .....                                      | 835 | 802 | 1,387 |

The Natural Resources Conservation Service (NRCS) protects the natural resource base on private lands by providing technical assistance to farmers, ranchers and other private landowners to support the development of conservations plans, and by providing financial assistance to partially offset the cost to install practices necessary to safeguard natural resources and improve wildlife habitat. NRCS provides additional support for conservation efforts through soil surveys, snow survey and water supply forecasting, and plant materials centers. These activities are supported by appropriated funding, including funding which has traditionally been requested in the Conservation Operations account, and by mandatory funding in the Farm Security and Rural Investment account. NRCS is comprised of roughly 11,000 employees across a wide range of natural resource backgrounds such as soil and rangeland conservation, wildlife biology, forestry and engineering. Through this collective conservationist workforce, the Administration strives to protect the natural resource base on private lands.

In 2014, the Administration proposes to show the total staff resources necessary to implement its private lands conservation program in the Private Lands Conservation Operations account. Importantly, this new display will not alter the current authorities under which staff resources are provided through mandatory and discretionary funding. In addition to providing greater transparency regarding the level of staff required to accomplish this important mission, the Administration also proposes to competitively award funding to private sector conservation partners in a way that will leverage Federal resources and increase key conservation outcomes across important regional and National landscapes. This process will ensure that all partnering entities are held to the same standards, metrics and performance measures while still allowing for flexible and innovative approaches to private lands conservation. A more detailed description of the specific programs within the Private lands Conservation Operations account follows:

**Technical assistance.**—Through the Conservation Technical Assistance (CTA) Program, NRCS provides agricultural producers, private landowners, conservation districts, Tribes, and other organizations with the knowledge and conservation tools they need to conserve, maintain, and improve our natural resources. This assistance comes in the form of both individual and landscape-scale conservation plans which contain optimal strategies tailored to protect the resources on the land they manage. Actions described in the plans help land managers reduce erosion; protect water quality and quantity; address air quality; enhance the quality of fish and wildlife habitat; improve long-term sustainability of all lands; and facilitate land use changes while protecting and sustaining our natural resources. In addition, legislation will be submitted for a user fee that helps

cover the costs of conservation planning services. The 2014 Budget requests a total of \$807.9 million for Conservation Operations.

**MAIN WORKLOAD FACTORS**

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Customers receiving technical assistance for planning & application, number .....        | 85,900      | 85,400    | 81,600    |
| Conservation systems planned, million acres .....  | 26.8        | 26.6      | 25.4      |
| Cropland with conservation applied to improve soil quality, million acres .....          | 8.2         | 8.0       | 7.6       |
| Grazing land with conservation applied to protect the resource base, million acres ..... | 16.4        | 15.4      | 14.7      |

In addition to technical assistance for conservation planning provided through CTA, NRCS also offers technical assistance for the design, implementation, and management of cost-shared conservation practices through mandatory farm bill conservation programs under the Farm Security and Rural Investment Program. This combined technical assistance funding provides for the salaries and expenses of conservation professionals, including NRCS's extensive field staff and a growing number of technical service providers and other cooperators who work with land managers in assessing and applying conservation strategies. The following table is intended to provide a more complete summary of total Federal support for private lands conservation efforts as well as the Federal and non-Federal staff capacity supported by these technical assistance investments.

**Soil surveys.**—The primary focus of the Soil Survey Program is to provide current and consistent map interpretations and data sets of the soil resources of the United States. Managing soil as a strategic natural resource is a key component to the vitality of the Nation's rural economies. Scientists and policy makers use soil survey information in studying climate change and evaluating the sustainability and environmental impacts of land use and management practices. Soil surveys are used by planners, engineers, farmers, ranchers, developers, and home owners to evaluate soil suitability and make management decisions for farms, home sites, subdivisions, commercial and industrial sites, and wildlife and recreational areas. NRCS is the lead Federal agency for the National Cooperative Soil Survey (NCSS), a partnership of Federal land management agencies, State agricultural experiment stations, private consultants, and State and local governments. NRCS provides the scientific expertise to enable the NCSS to develop and maintain a uniform system for mapping and assessing soil resources. The Budget accelerates Soils Survey Program efforts to harmonize existing soils survey data to improve underlying data quality and meet new and emerging applications of soils data.

**MAIN WORKLOAD FACTORS**

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Acres mapped annually (millions) ..... | 36.8        | 38        | 40        |

**Snow survey and water supply forecasting.**—Snowpack is measured at nearly 1,800 automated and manual sites across the mountain west. Water supply forecasts are issued by NRCS and are used by Federal, State, local, Tribal and private entities for decisions related to agricultural production, hydroelectric power generation, fish and wildlife management, municipal and industrial water supply, reservoir management, urban development, drought assessment, flood hazards, recreation, and water quality management.

**Operation of plant materials centers.**—The identification, testing, evaluation, and demonstration of plants and plant technologies to solve natural resource problems and improve the utilization of natural resources are made at 27 plant materials centers (25 NRCS-managed and 2 with partial NRCS-funding) to determine suitability for erosion control, cropland soil health and pro-

ductivity, restoring wetlands, improving water quality, improving wildlife habitat (including pollinators), protecting streambank and riparian areas, stabilizing coastal dunes, producing biomass, improving air quality, and addressing other conservation treatment needs. Plant materials centers document and transfer plant science technology in fact sheets, technical notes, the NRCS Field Office Technical Guide, and transferred to the public on the Web. Work at plant materials centers is the foundation of vegetative recommendations for NRCS and many other Federal and State agencies.

**Object Classification (in millions of dollars)**

| Identification code 12-1000-0-1-302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 387         | 392     | 653       |
| 11.3 Other than full-time permanent .....                       | 7           | 7       | 11        |
| 11.5 Other personnel compensation .....                         | 4           | 4       | 8         |
| 11.9 Total personnel compensation .....                         | 398         | 403     | 672       |
| 12.1 Civilian personnel benefits .....                          | 133         | 134     | 225       |
| 13.0 Benefits for former personnel .....                        | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 | 16          | 16      | 21        |
| 22.0 Transportation of things .....                             | 3           | 3       | 3         |
| 23.2 Rental payments to others .....                            | 16          | 17      | 16        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 15          | 16      | 26        |
| 24.0 Printing and reproduction .....                            | 2           | 2       | 3         |
| 25.2 Other services from non-Federal sources .....              | 193         | 251     | 210       |
| 26.0 Supplies and materials .....                               | 16          | 16      | 25        |
| 31.0 Equipment .....  | 16          | 16      | 33        |
| 32.0 Land and structures .....                                  |             |         | 267       |
| 99.0 Direct obligations .....                                   | 810         | 876     | 1,503     |
| 99.0 Reimbursable obligations .....                             | 36          | 40      | 40        |
| 99.9 Total new obligations .....                                | 846         | 916     | 1,543     |

**Employment Summary**

| Identification code 12-1000-0-1-302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 5,808       | 5,894   | 9,625     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 151         | 158     | 158       |

**FARM SECURITY AND RURAL INVESTMENT PROGRAMS****Program and Financing (in millions of dollars)**

| Identification code 12-1004-0-1-302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Wetlands Reserve program .....  | 588         | 538     | 235       |
| 0002 Environmental Quality Incentives program .....                              | 1,373       | 1,400   | 864       |
| 0004 Agricultural Water Enhancement Program .....                                | 59          | 60      | 44        |
| 0005 Wildlife Habitat Incentives program .....                                   | 47          | 50      | 29        |
| 0006 Farm and Ranch Lands Protection program .....                               | 145         | 150     | 139       |
| 0007 Conservation Security program .....   | 188         | 166     | 115       |
| 0008 Grassland Reserve program .....   | 65          | 67      |           |
| 0009 Conservation Stewardship Program .....                                      | 742         | 768     | 878       |
| 0010 Agricultural Management Assistance program .....                            | 2           | 3       |           |
| 0011 Chesapeake Bay Watershed Initiative .....                                   | 50          | 50      | 50        |
| 0012 Healthy Forests Reserve Program .....                                       | 10          | 10      |           |
| 0799 Total direct obligations .....  | 3,269       | 3,262   | 2,354     |
| 0801 Reimbursable Conservation Reserve Program .....                             | 102         | 96      | 96        |
| 0802 Reimbursable EPA Great Lakes Environmental Quality Incentives Program ..... | 9           | 19      | 19        |
| 0899 Total reimbursable obligations .....  | 111         | 115     | 115       |
| 0900 Total new obligations .....   | 3,380       | 3,377   | 2,469     |

**Budgetary Resources:**

|  |   |   |   |
|--|---|---|---|
| <b>Unobligated balance:</b>                            |   |   |   |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 5 | 9 | 9 |
| 1021 Recoveries of prior year unpaid obligations ..... | 4 |   |   |
| 1050 Unobligated balance (total) .....                 | 9 | 9 | 9 |

# FARM SECURITY AND RURAL INVESTMENT PROGRAMS—Continued

## Program and Financing—Continued

| Identification code 12-1004-0-1-302                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority:</b>   |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1120 Appropriations transferred to other accts [12-1000] .....       |             |         | -695      |
| 1130 Appropriations permanently reduced .....                        |             |         | -509      |
| 1134 Appropriations precluded from obligation .....                  |             | -657    |           |
| 1160 Appropriation, discretionary (total) .....                      |             | -657    | -1,204    |
| Appropriations, mandatory:   |             |         |           |
| 1221 Appropriations transferred from other accts [12-4336] ....      | 3,425       | 3,919   | 3,558     |
| 1260 Appropriations, mandatory (total) .....                         | 3,425       | 3,919   | 3,558     |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Offsetting Collections Conservation Reserve Program .....       | 18          | 96      | 96        |
| 1800 Offsetting collections EPA Great Lakes, Other .....             |             | 19      | 19        |
| 1801 Change in uncollected payments, Federal sources .....           | 135         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 153         | 115     | 115       |
| 1900 Budget authority (total) .....                                  | 3,578       | 3,377   | 2,469     |
| 1930 Total budgetary resources available .....                       | 3,587       | 3,386   | 2,478     |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 1940 Unobligated balance expiring .....                              | -198        |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 9           | 9       | 9         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 3,664       | 3,927   | 4,231     |
| 3010 Obligations incurred, unexpired accounts .....                  | 3,380       | 3,377   | 2,469     |
| 3011 Obligations incurred, expired accounts .....                    | 105         |         |           |
| 3020 Outlays (gross) .....   | -2,816      | -3,073  | -2,625    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -4          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -402        |         |           |
| 3050 Unpaid obligations, end of year .....                           | 3,927       | 4,231   | 4,075     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -75         | -195    | -195      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -135        |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 15          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -195        | -195    | -195      |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 3100 Obligated balance, start of year .....                          | 3,589       | 3,732   | 4,036     |
| 3200 Obligated balance, end of year .....                            | 3,732       | 4,036   | 3,880     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   |             | -657    | -1,204    |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  |             | -453    | -872      |
| 4011 Outlays from discretionary balances .....                       |             |         | -129      |
| 4020 Outlays, gross (total) .....                                    |             | -453    | -1,001    |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 3,578       | 4,034   | 3,673     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 919         | 1,090   | 972       |
| 4101 Outlays from mandatory balances .....                           | 1,897       | 2,436   | 2,654     |
| 4110 Outlays, gross (total) .....                                    | 2,816       | 3,526   | 3,626     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   | -49         | -96     | -96       |
| 4120 Baseline Program [EPA] .....                                    |             | -19     | -19       |
| 4130 Offsets against gross budget authority and outlays (total) .... | -49         | -115    | -115      |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | -135        |         |           |
| 4142 Offsetting collections credited to expired accounts .....       | 31          |         |           |
| 4150 Additional offsets against budget authority only (total) .....  | -104        |         |           |
| 4160 Budget authority, net (mandatory) .....                         | 3,425       | 3,919   | 3,558     |
| 4170 Outlays, net (mandatory) .....                                  | 2,767       | 3,411   | 3,511     |
| 4180 Budget authority, net (total) .....                             | 3,425       | 3,262   | 2,354     |
| 4190 Outlays, net (total) .....                                      | 2,767       | 2,958   | 2,510     |

### Summary of Budget Authority and Outlays (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Enacted/requested:</b>                      |             |         |           |
| Budget Authority .....                         | 3,425       | 3,262   | 2,354     |
| Outlays .....                                  | 2,767       | 2,958   | 2,510     |
| <b>Legislative proposal, subject to PAYGO:</b> |             |         |           |
| Budget Authority .....                         |             |         | 43        |

|                        |       |       |       |
|------------------------|-------|-------|-------|
| Outlays .....          |       |       | -52   |
| <b>Total:</b>          |       |       |       |
| Budget Authority ..... | 3,425 | 3,262 | 2,397 |
| Outlays .....          | 2,767 | 2,958 | 2,458 |

Title XII of the Food Security Act of 1985 provided mandatory funding for critical conservation efforts on private lands, including critical wetlands, grasslands, forests, and farm and ranch lands. For conservation programs where NRCS is the lead implementation agency, funds are transferred from the Commodity Credit Corporation (CCC) to the Farm Security and Rural Investment Programs account. This mandatory funding supports NRCS efforts to protect the natural resource base on private lands by providing technical assistance to farmers, ranchers and other private landowners to support the development of conservations plans, and by providing financial assistance to partially offset the cost to install practices necessary to safeguard natural resources and improve wildlife habitat.

The Food, Conservation, and Energy Act of 2008 (P.L. 110-246), amended Title XII of the Food Security Act of 1985 and reauthorized a number of USDA's conservation programs. In addition, the American Taxpayer Relief Act of 2012 (P.L. 112-240) extended through the end of 2013 the programs that expired at the end of 2012. As noted below, a number of conservation programs were extended in the 2014 Budget's baseline based upon scorekeeping conventions and/or language included in the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112-55). In 2014, the Administration proposes to show the total staff resources necessary to implement its private lands conservation program in the Private Lands Conservation Operations account. Importantly, this new display will not alter the current authorities under which staff resources are provided through mandatory and discretionary funding. This account will continue to show the funding provided for the financial assistance costs necessary for delivering the following programs:

**Wetlands Reserve Program (WRP).**—This program is authorized under Section 1237 of the Food Security Act of 1985, as amended. The authority provides for up to 3,041,200 acres to be enrolled in the program. The purpose of the WRP is to preserve, protect, and restore valuable wetlands. P.L. 112-240 extended the program's authority to enroll easements through the end of 2013. Therefore, the 2014 Budget includes \$268 million to support monitoring, restoration and maintenance of easements enrolled before September 30, 2013.

**Environmental Quality Incentives Program (EQIP).**—This program is authorized under section 1240 of the Food Security Act of 1985, as amended. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112-55) reauthorized the program through 2014, and the 2014 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The purpose of the program is to promote agricultural production and environmental quality as compatible national goals. The 2014 Budget proposes \$1.35 billion for this program and proposes to permanently cancel funds exceeding this amount for the program in 2014.

**Agricultural Water Enhancement Program (AWEP).**—This program is authorized by Section 1240I of the Food Security Act of 1985, as amended. Under AWEP, NRCS enters into partnership agreements with eligible entities to promote ground and surface water conservation or improve water quality on agricultural lands. The program's authority to use CCC funds was extended through 2014. The 2014 Budget's baseline assumes extension of this program for scorekeeping purposes at a level of \$60 million.

*Conservation Stewardship Program (CSP).*—This program is authorized by Section 1238D of the Food Security Act of 1985, as amended. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) reauthorized the program through 2014 and the 2014 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes. The program encourages producers to address resource concerns in a comprehensive manner by undertaking additional conservation activities and improving, maintaining and managing existing conservation activities. The 2014 Budget proposes \$1,003 million for this program to enroll 11,991,222 acres and proposes to permanently reduce the program by 777,778 acres. This program is the successor to the Conservation Security Program, which was not continued in the Food, Conservation and Energy Act of 2008 except as necessary to support contracts entered into before September 30, 2008. The 2014 Budget proposes \$134 million for the Conservation Security Program.

*Farmland Protection Program (FRPP).*—This program is authorized under Section 1238I of the Food Security Act of 1985, as amended. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) reauthorized the program through 2014 and the 2014 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes and includes a net amount (factoring in the impact of a proposed mandatory reduction) of \$150 million. This program protects soil by limiting nonagricultural use of prime and unique farm and ranch land.

*Wildlife Habitat Incentives Program (WHIP).*—This program is authorized by Section 1240N of the Food Security Act of 1985, as amended. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) reauthorized the program through 2014. The 2014 Budget assumes that the program extends beyond that date in the baseline for scorekeeping purposes and includes net amount (factoring in the impact of a proposed mandatory reduction) of \$45 million. The program develops habitat for upland wildlife, wetland wildlife, threatened and endangered species, fish, and other wildlife.

*Grassland Reserve Program (GRP).*—This program is authorized by Section 1238N of the Food Security Act of 1985, as amended. The purpose of the program is to assist landowners in restoring and protecting grassland. P.L. 112–240 extended the program's authority through the end of 2013.

*Chesapeake Bay Watershed Program (CBWP).*—This program is authorized by Section 1240Q of the Food Security Act of 1985, as amended. It helps agricultural producers improve water quality and quantity and restore, enhance and preserve soil, air and related resources in the Chesapeake Bay Watershed through the implementation of conservation practices. The program's authority to use CCC funds was extended through the end of 2013 by P.L. 112–240.

*Conservation Reserve Program (CRP) Technical Assistance.*—The CRP is authorized by Sections 1231–1235A of the Food Security Act of 1985, as amended. Although CRP is administered by the Farm Service Agency, NRCS provides technical assistance to producers to implement conservation practices on CRP land. FSA provides funds to NRCS as offsetting collections for this purpose in this account. P.L. 112–240 authorized the Conservation Reserve Program (CRP) to enroll new acres and retains the 32 million acre cap (enrollment is currently at 27 million acres) through September 30, 2013. The 2014 Budget assumes \$96 million in technical assistance for NRCS support of CRP.

In addition to the programs authorized under the Food Security Act of 1985, NRCS implements the following conservation programs:

*Agricultural Management Assistance Program (AMA).*—This program is authorized by Section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)), as amended. It authorizes \$15 million annually for 2008 through 2012. Section 716 of the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) reauthorized the program through 2014, and it is extended beyond that date in the baseline based upon permanent authority. This program is implemented by NRCS, the Agricultural Marketing Service, and the Risk Management Agency. The NRCS AMA activities are carried out in 16 states, as determined by the Secretary, in which participation in the Federal Crop Insurance Program is historically low. The program provides assistance to producers to mitigate financial risk by using conservation to reduce soil erosion and improve water quality. The Budget proposes limiting the overall AMA program to \$10 million in 2014, of which NRCS is limited to \$2.5 million.

*Healthy Forests Reserve Program (HFRP).*—This program is authorized by Section 502 of the Healthy Forests Restoration Act of 2003, as amended. The program assists landowners in restoring, enhancing and protecting forest ecosystems on private lands to promote the recovery of threatened and endangered species, improve biodiversity, and enhance carbon sequestration. P.L. 112–240 included a one year extension of program authorities provided by the 2008 Farm Bill. The Act authorizes USDA to implement these program authorities at their associated mandatory funding levels as in effect on September 30, 2012. This means that HFRP, which lost enrollment authority with the expiration of the 2008 Farm Bill, is authorized for 2013 enrollments.

NRCS works to deliver conservation programs using its technical field staff and by partnering with public and private entities through the Technical Service Provider (TSP) system. NRCS can contract with TSPs to help deliver the Farm Bill programs, or agricultural producers may select TSPs to help plan and implement conservation practices on their operations.

The U.S. has made great strides in improving water quality; however, nonpoint source pollution remains a significant challenge that requires policy attention and thoughtful new approaches. In 2014, the Budget continues the agency's efforts to better coordinate conservation efforts among key Federal partners, along with agricultural producer organizations, conservation districts, States, Tribes, NGOs and other local leaders to identify areas where a focused and coordinated approach can achieve substantial improvements in water quality. The Budget builds upon the collaborative process already underway among Federal partners to demonstrate substantial improvements in water quality from conservation programs by ensuring that USDAs key investments through Farm Bill conservation programs and related efforts are appropriately leveraged by other federal programs.

Finally, the Budget includes legislative proposals to reduce the allowable acreage cap for the Conservation Stewardship Program to 10,348,000 acres annually and to fund a new Agricultural Conservation Easement Program, which combines the authorities of the Wetlands Reserve Program, Grasslands Reserve Program, and the Farmland Protection Program.

## FARM SECURITY AND RURAL INVESTMENT PROGRAMS—Continued

## Object Classification (in millions of dollars)

| Identification code 12–1004–0–1–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 281         | 281     |           |
| 11.3 Other than full-time permanent .....                       | 4           | 4       |           |
| 11.5 Other personnel compensation .....                         | 4           | 4       |           |
| 11.9 Total personnel compensation .....                         | 289         | 289     |           |
| 12.1 Civilian personnel benefits .....                          | 98          | 98      |           |
| 21.0 Travel and transportation of persons .....                 | 6           | 6       |           |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 20          | 20      | 20        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 11          | 11      |           |
| 24.0 Printing and reproduction .....                            | 1           | 1       |           |
| 25.2 Other services from non-Federal sources .....              | 131         | 130     | 112       |
| 26.0 Supplies and materials .....                               | 9           | 9       |           |
| 31.0 Equipment .....  | 17          | 17      |           |
| 32.0 Land and structures .....                                  | 550         | 517     |           |
| 41.0 Grants, subsidies, and contributions .....                 | 2,136       | 2,163   | 2,221     |
| 99.0 Direct obligations .....                                   | 3,269       | 3,262   | 2,354     |
| 99.0 Reimbursable obligations .....                             | 111         | 115     | 115       |
| 99.9 Total new obligations .....                                | 3,380       | 3,377   | 2,469     |

## Employment Summary

| Identification code 12–1004–0–1–302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 4,283       | 4,154   |           |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 834         | 834     | 834       |

## FARM SECURITY AND RURAL INVESTMENT PROGRAMS

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 12–1004–4–1–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             |         | 43        |
| 1260 Appropriations, mandatory (total) .....          |             |         | 43        |
| 1930 Total budgetary resources available .....        |             |         | 43        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 43        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3020 Outlays (gross) .....                            |             |         | 52        |
| 3050 Unpaid obligations, end of year .....            |             |         | 52        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 52        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             |         | 43        |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             |         | –52       |
| 4180 Budget authority, net (total) .....              |             |         | 43        |
| 4190 Outlays, net (total) .....                       |             |         | –52       |

## WATERSHED AND FLOOD PREVENTION OPERATIONS

## Program and Financing (in millions of dollars)

| Identification code 12–1072–0–1–301                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0003 Emergency watershed protection operations ..... | 213         | 102     |           |
| 0004 Small watershed operations (P.L. 566) .....     | 6           | 14      |           |
| 0005 Watershed Operations (P.L. 534) .....           |             | 12      |           |
| 0006 EWP (SANDY) .....                               |             | 180     |           |
| 0799 Total direct obligations .....                  | 219         | 308     |           |

|  |     |     |  |
|--|-----|-----|--|
| 0802 Reimbursable program activity ..... | 17  |     |  |
| 0900 Total new obligations .....         | 236 | 308 |  |

## Budgetary Resources:

|  |     |     |    |
|--|-----|-----|----|
| Unobligated balance:   |     |     |    |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 152 | 167 | 39 |
| 1021 Recoveries of prior year unpaid obligations .....             | 11  |     |    |
| 1050 Unobligated balance (total) .....                             | 163 | 167 | 39 |
| Budget authority:  |     |     |    |
| Appropriations, discretionary:                                     |     |     |    |
| 1100 Appropriation .....   | 216 | 180 |    |
| 1160 Appropriation, discretionary (total) .....                    | 216 | 180 |    |
| Spending authority from offsetting collections, discretionary:     |     |     |    |
| 1700 Collected .....   | 14  | 10  |    |
| 1701 Change in uncollected payments, Federal sources .....         | 10  | –10 |    |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 24  |     |    |
| 1900 Budget authority (total) .....                                | 240 | 180 |    |
| 1930 Total budgetary resources available .....                     | 403 | 347 | 39 |
| Memorandum (non-add) entries:                                      |     |     |    |
| 1941 Unexpired unobligated balance, end of year .....              | 167 | 39  | 39 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 217  | 276  | 346  |
| 3010 Obligations incurred, unexpired accounts .....               | 236  | 308  |      |
| 3011 Obligations incurred, expired accounts .....                 | 2    |      |      |
| 3020 Outlays (gross) .....  | –163 | –238 | –139 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –11  |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –5   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 276  | 346  | 207  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –48  | –58  | –48  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –10  | 10   |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –58  | –48  | –48  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 169  | 218  | 298  |
| 3200 Obligated balance, end of year .....                         | 218  | 298  | 159  |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 240 | 180 |     |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 26  | 72  |     |
| 4011 Outlays from discretionary balances .....                 | 137 | 166 | 139 |
| 4020 Outlays, gross (total) .....                              | 163 | 238 | 139 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | –14 | –10 |     |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | –10 | 10  |     |
| 4070 Budget authority, net (discretionary) .....               | 216 | 180 |     |
| 4080 Outlays, net (discretionary) .....                        | 149 | 228 | 139 |
| 4180 Budget authority, net (total) .....                       | 216 | 180 |     |
| 4190 Outlays, net (total) .....                                | 149 | 228 | 139 |

NRCS watershed programs provide for cooperative actions between the Federal Government and States and their political subdivisions to reduce damage from floodwater, sediment, and erosion; for the conservation, development, utilization, and disposal of water; and for the conservation and proper utilization of land. Funds in Watershed and Flood Prevention Operations can be used for either flood prevention projects or flood damage rehabilitation efforts, depending upon the needs and opportunities.

*Emergency watershed protection program.*—NRCS undertakes such emergency measures for runoff retardation and soil erosion prevention as may be needed to safeguard life and property from floods and the products of erosion on any watershed whenever natural elements or forces cause a sudden impairment of that watershed. An emergency is considered to exist when a watershed is suddenly impaired by flood, fire, wind, earthquake, drought or other natural causes and consequently life and property are endangered by floodwater, erosion, or sediment discharge. Subject to the terms and conditions of funding, NRCS may provide

Emergency Watershed Protection assistance to address small scale, localized disasters. In 2013, the Disaster Relief Appropriations Act provided \$180 million in Emergency Watershed Protection Program assistance for expenses related to Hurricane Sandy resulting from major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq). State agencies including environmental, natural resource, and fish and game agencies participate in planning and coordinating emergency work. Funding for the Emergency Watershed Protection Program is typically provided through emergency supplemental appropriations. The 2014 Budget does not request funding for this program.

*Watershed operations authorized by Public Law 78-534.*—NRCS cooperates with soil conservation districts and other local organizations in planning and installing flood prevention improvements in 11 watersheds authorized by the Flood Control Act of 1944. The Federal Government shares the cost of improvements for flood prevention, agricultural water management, recreation, and fish and wildlife development. This program did not receive an appropriation in 2011, 2012, and 2013, and the 2014 budget does not request funding for this program. NRCS is closing out watershed operations projects started prior to 2011 with unobligated balances from prior years.

*Small watershed operations authorized by Public Law 83-566.*—NRCS provides technical and financial assistance to local organizations to install measures for watershed protection, flood prevention, agricultural water management, recreation, and fish and wildlife enhancement. At least 70 percent of the funding provided is used for financial assistance. This program did not receive an appropriation in 2011, 2012, and 2013, and the 2014 budget does not request funding for this program. NRCS is closing out small watershed operations projects started prior to 2011 with unobligated balances from prior years.

Loans through the Agricultural Credit Insurance Fund have been made in previous years to the local sponsors in order to fund the local cost of Public Law 83-566 or 78-534 projects. No funding for these loans is assumed in 2014.

#### Object Classification (in millions of dollars)

| Identification code 12-1072-0-1-301                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 8           | 11      | .....     |
| 11.5 Other personnel compensation .....            | 1           | 1       | .....     |
| 11.9 Total personnel compensation .....            | 9           | 12      | .....     |
| 12.1 Civilian personnel benefits .....             | 2           | 4       | .....     |
| 21.0 Travel and transportation of persons .....    | 1           | 1       | .....     |
| 25.1 Advisory and assistance services .....        | 117         | 159     | .....     |
| 25.2 Other services from non-Federal sources ..... | 13          | 37      | .....     |
| 31.0 Equipment .....                               | 1           | 3       | .....     |
| 32.0 Land and structures .....                     | 3           | 2       | .....     |
| 41.0 Grants, subsidies, and contributions .....    | 73          | 90      | .....     |
| 99.0 Direct obligations .....                      | 219         | 308     | .....     |
| 99.0 Reimbursable obligations .....                | 17          | .....   | .....     |
| 99.9 Total new obligations .....                   | 236         | 308     | .....     |

#### Employment Summary

| Identification code 12-1072-0-1-301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 105         | 93      | .....     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 35          | .....   | .....     |

#### WATERSHED REHABILITATION PROGRAM

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12-1002-0-1-301  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Watershed rehabilitation program .....                                      | 21          | 7       | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                            | 12          | 6       | 14        |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                  | -1          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                           | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....   | 12          | 6       | 14        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 15          | 15      | .....     |
| 1130 Appropriations permanently reduced .....                                    | .....       | .....   | -165      |
| 1134 Appropriations precluded from obligation .....                              | .....       | -165    | .....     |
| 1160 Appropriation, discretionary (total) .....                                  | 15          | -150    | -165      |
| Appropriations, mandatory:   |             |         |           |
| 1221 Appropriations transferred from other accts [12-4336] ....                  | .....       | 165     | 165       |
| 1260 Appropriations, mandatory (total) .....                                     | .....       | 165     | 165       |
| 1900 Budget authority (total) .....  | 15          | 15      | .....     |
| 1930 Total budgetary resources available .....                                   | 27          | 21      | 14        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                            | 6           | 14      | 14        |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                            | 82          | 56      | 42        |
| 3010 Obligations incurred, unexpired accounts .....                              | 21          | 7       | .....     |
| 3011 Obligations incurred, expired accounts .....                                | 1           | .....   | .....     |
| 3020 Outlays (gross) .....   | -42         | -21     | -18       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                | -1          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....                  | -5          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                       | 56          | 42      | 24        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                | -1          | .....   | .....     |
| 3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 1           | .....   | .....     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                                      | 82          | 56      | 42        |
| 3200 Obligated balance, end of year .....  | 56          | 42      | 24        |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   | 15          | -150    | -165      |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                              | 4           | -50     | -53       |
| 4011 Outlays from discretionary balances .....                                   | 38          | 18      | -40       |
| 4020 Outlays, gross (total) .....  | 42          | -32     | -93       |
| Offsets against gross budget authority and outlays:                              |             |         |           |
| Offsetting collections (collected) from:   |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | .....       | 165     | 165       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                                  | .....       | 53      | 53        |
| 4101 Outlays from mandatory balances .....                                       | .....       | .....   | 58        |
| 4110 Outlays, gross (total) .....  | .....       | 53      | 111       |
| 4180 Budget authority, net (total) .....   | 15          | 15      | .....     |
| 4190 Outlays, net (total) .....  | 42          | 21      | 18        |

Under the authorities of Section 14 of the Watershed Protection and Flood Prevention Act, assistance is provided to communities to address the rehabilitation of aging local dams. The 2012 enacted level included \$15 million for the Watershed Rehabilitation Program. No funding is requested in the 2014 Budget, reflecting the Administration's position that the maintenance, repair, and operation of these dams are the responsibility of local project sponsors. The Budget also proposes no mandatory funding for

## WATERSHED REHABILITATION PROGRAM—Continued

this program in 2014; \$165 million currently available are proposed to be permanently cancelled (see General Provisions for the Department of Agriculture).

## Object Classification (in millions of dollars)

| Identification code 12–1002–0–1–301                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 5           | 2       | .....     |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | .....     |
| 25.1 Advisory and assistance services .....            | 4           | 1       | .....     |
| 25.2 Other services from non-Federal sources .....     | 4           | 1       | .....     |
| 41.0 Grants, subsidies, and contributions .....        | 7           | 2       | .....     |
| 99.9 Total new obligations .....                       | 21          | 7       | .....     |

## Employment Summary

| Identification code 12–1002–0–1–301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 59          | 20      | .....     |

## RESOURCE CONSERVATION AND DEVELOPMENT

## Program and Financing (in millions of dollars)

| Identification code 12–1010–0–1–302                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 1           | 2       | 2         |
| 1021 Recoveries of prior year unpaid obligations .....               | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 2           | 2       | 2         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | .....       | .....   | –2        |
| 1160 Appropriation, discretionary (total) .....                      | .....       | .....   | –2        |
| 1930 Total budgetary resources available .....                       | 2           | 2       | .....     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 2           | 2       | .....     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 2           | 1       | 1         |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 1           | 1       | 1         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 2           | 1       | 1         |
| 3200 Obligated balance, end of year .....                            | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | .....       | .....   | –2        |
| 4180 Budget authority, net (total) .....                             | .....       | .....   | –2        |

The Resource Conservation and Development (RC&D) Program was developed under the Soil Conservation and Domestic Allotment Act (16 U.S.C. 590a-590f); the Bankhead-Jones Farm Tenant Act (16 U.S.C. 1010 and 1011); and the Food and Agricultural Act of 1962 (P.L. 87–703). It is authorized under subtitle H, title XV of the Agricultural and Food Act of 1981 (16 U.S.C. 3451–3461), as amended. The program was permanently authorized by the Farm Security and Rural Investment Act of 2002 and further amended by the Food, Conservation, and Energy Act of 2008 (P.L. 110–246). No funding was appropriated for the RC&D Program in 2012 and 2013, and the 2014 Budget requests no funding for the program. After decades of Federal assistance, many RC&D Councils supported by the program have developed sufficiently strong State and local ties and are now able to secure funding for their continued operation without the need for ongoing

Federal assistance. The 2014 Budget includes a proposal to cancel remaining RC&D unobligated balances of \$2.017 million.

## HEALTHY FORESTS RESERVE PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 12–1090–0–1–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | .....     |
| 3020 Outlays (gross) .....                            | .....       | –1      | .....     |
| 3050 Unpaid obligations, end of year .....            | 1           | .....   | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | .....     |
| 3200 Obligated balance, end of year .....             | 1           | .....   | .....     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | .....       | 1       | .....     |
| 4190 Outlays, net (total) .....                       | .....       | 1       | .....     |

Title V of the Healthy Forests Restoration Act of 2003 (Public Law 108–148) authorized the establishment of the Healthy Forests Reserve Program (HFRP). This program assists landowners in restoring, enhancing and protecting forest ecosystems to 1) promote the recovery of threatened and endangered species; 2) improve biodiversity; and 3) enhance carbon sequestration.

NRCS implements this voluntary program. Only privately held land is eligible for enrollment into HFRP. Land enrolled in HFRP must have a restoration plan that includes practices necessary to restore and enhance habitat for species listed as threatened or endangered or candidates for the threatened or endangered species list. Technical assistance will be provided by USDA to assist owners in complying with the terms of restoration plans under HFRP.

The 2014 Budget does not request discretionary funding for the Healthy Forests Reserve Program.

## GREAT PLAINS CONSERVATION PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 12–2268–0–1–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | .....   | .....     |
| 1029 Other balances withdrawn .....                   | –1          | .....   | .....     |

The 1996 Farm Bill combined the authority for this and several other conservation programs into the Environmental Quality Incentives Program. The program provided cost-share assistance to participating landowners and operators in ten Great Plains states to develop and install long-term conservation plans and practices on their lands. The 2012 enacted level includes a general provision to rescind unobligated balances in this account.

## FORESTRY INCENTIVES PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 12–3336–0–1–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 6           | .....   | .....     |



1029 Other balances withdrawn ..... -6 .....

No funds are proposed for the Forestry Incentives Program (FIP). The FIP has not been reauthorized. Prior-year account balances are maintained in this account until expended. FIP shared up to 65 percent of the cost of tree planting and timber stand improvement in designated counties. Technical assistance was provided by the Forest Service. The 2012 Agriculture appropriations general provisions rescinded the unobligated balances in this account.

### WATER BANK PROGRAM

#### Program and Financing (in millions of dollars)

| Identification code 12-3320-0-1-302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 7           | 7       | .....     |
| 0900 Total new obligations (object class 41.0) .....  | 7           | 7       | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 7           | 7       | .....     |
| 1160 Appropriation, discretionary (total) .....       | 7           | 7       | .....     |
| 1900 Budget authority (total) .....                   | 7           | 7       | .....     |
| 1930 Total budgetary resources available .....        | 7           | 7       | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 7       | 12        |
| 3010 Obligations incurred, unexpired accounts .....   | 7           | 7       | .....     |
| 3020 Outlays (gross) .....                            |             | -2      | -2        |
| 3050 Unpaid obligations, end of year .....            | 7           | 12      | 10        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 7       | 12        |
| 3200 Obligated balance, end of year .....             | 7           | 12      | 10        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 7           | 7       | .....     |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             | 1       | .....     |
| 4011 Outlays from discretionary balances .....        |             | 1       | 2         |
| 4020 Outlays, gross (total) .....                     |             | 2       | 2         |
| 4180 Budget authority, net (total) .....              | 7           | 7       | .....     |
| 4190 Outlays, net (total) .....                       |             | 2       | 2         |

The objectives of the Water Bank Program are to conserve water; to preserve, maintain, and improve the Nation's wetlands; to increase waterfowl habitat in migratory waterfowl nesting, breeding, and feeding areas in the United States; and to secure recreational and environmental benefits for the Nation. The program was authorized by the Water Bank Act of 1970, as amended by Public Law 96-182, approved January 2, 1980. The 2012 enacted level included \$7.5 million for this program. No funding is requested in the 2014 Budget, given that the program is duplicative of the Wetlands Reserve Program, USDA's primary wetlands conservation program.

#### Employment Summary

| Identification code 12-3320-0-1-302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           | 1       | .....     |

### Trust Funds

#### MISCELLANEOUS CONTRIBUTED FUNDS

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12-8210-0-7-302        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          |             |         | 1         |
| Receipts:                                  |             |         |           |
| 0220 Miscellaneous Contributed Funds ..... |             | 1       | 1         |
| 0400 Total: Balances and collections ..... |             | 1       | 2         |
| 0799 Balance, end of year .....            |             | 1       | 2         |

#### Program and Financing (in millions of dollars)

| Identification code 12-8210-0-7-302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 1930 Total budgetary resources available .....        | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 2       | 2         |

Funds received in this account from State, local, and other organizations are available for work under cooperative agreements for soil survey, watershed protection, and resource conservation and development activities.

## RURAL DEVELOPMENT

### Federal Funds

#### RURAL DEVELOPMENT SALARIES AND EXPENSES

#### (INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses for carrying out the administration and implementation of programs in the Rural Development mission area, including activities with institutions concerning the development and operation of agricultural cooperatives; and for cooperative agreements; \$204,695,000: Provided, That \$32,000,000 shall be for the Comprehensive Loan Accounting System: Provided further, That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that support the Rural Development mission area: Provided further, That any balances available from prior years for the Rural Utilities Service, Rural Housing Service, and the Rural Business-Cooperative Service salaries and expenses accounts shall be transferred to and merged with this appropriation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12-0403-0-1-452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Salaries and expenses .....  | 182         | 183     | 204       |
| 0801 Reimbursable program .....   | 489         | 476     | 457       |
| 0900 Total new obligations .....  | 671         | 659     | 661       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 4           | 1       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 5           | 1       | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 182         | 183     | 204       |
| 1160 Appropriation, discretionary (total) .....                                 | 182         | 183     | 204       |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 480         | 475     | 457       |

RURAL DEVELOPMENT SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 12-0403-0-1-452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1701 Change in uncollected payments, Federal sources .....         | 5           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 485         | 475     | 457       |
| 1900 Budget authority (total) .....                                | 667         | 658     | 661       |
| 1930 Total budgetary resources available .....                     | 672         | 659     | 661       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 164         | 129     | 124       |
| 3010 Obligations incurred, unexpired accounts .....                | 671         | 659     | 661       |
| 3011 Obligations incurred, expired accounts .....                  | 11          |         |           |
| 3020 Outlays (gross) .....   | -707        | -664    | -651      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -9          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 129         | 124     | 134       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -6      | -6        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -5          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -6          | -6      | -6        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 163         | 123     | 118       |
| 3200 Obligated balance, end of year .....                          | 123         | 118     | 128       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 667         | 658     | 661       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 579         | 560     | 561       |
| 4011 Outlays from discretionary balances .....                     | 128         | 104     | 90        |
| 4020 Outlays, gross (total) .....                                  | 707         | 664     | 651       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -480        | -475    | -457      |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | -5          |         |           |
| 4070 Budget authority, net (discretionary) .....                   | 182         | 183     | 204       |
| 4080 Outlays, net (discretionary) .....                            | 227         | 189     | 194       |
| 4180 Budget authority, net (total) .....                           | 182         | 183     | 204       |
| 4190 Outlays, net (total) .....                                    | 227         | 189     | 194       |

The Rural Development Salaries and Expenses (S&E) account is a consolidated account to administer all Rural Development programs, including programs administered by the Rural Utilities Service (RUS), the Rural Housing Service (RHS), and the Rural Business-Cooperative Service (RBS).

RUS provides grants, direct loans and loan guarantees to suppliers of electric, telecommunications (for general purpose and for distance learning/telemedicine), and water and wastewater services in rural areas. Through the water and wastewater program, RUS also provides technical assistance. The electric and telecommunications loan and grant programs are administered in the national office in Washington, DC. The Rural Development field office staff performs the services related to the water and wastewater grant and loan programs. Program staff for the electric and telecommunication loans programs are general field representatives, who visit borrowers periodically and serve as liaisons between the borrowers and headquarters.

RHS delivers rural housing and community facility programs through a system of area, local, and State and national offices.

RBS delivers direct loans, loan guarantees, grants, technical assistance, and payment programs to cooperatives and other rural businesses.

The 2014 includes a \$32M set aside for the Comprehensive Loan Program (CLP) accounting system. The CLP investments will provide benefits to all RD stakeholders and program beneficiaries, including: improved data integrity, system reliability, and portfolio performance information; more user friendly interfaces for

customers and employees alike; and the ability to adopt loan program changes more quickly.

## Object Classification (in millions of dollars)

| Identification code 12-0403-0-1-452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 100         | 98      | 109       |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 101         | 99      | 110       |
| 12.1 Civilian personnel benefits .....                          | 32          | 32      | 35        |
| 13.0 Benefits for former personnel .....                        | 4           | 1       |           |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 3         |
| 23.2 Rental payments to others .....                            | 5           | 4       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     |             | 6       | 10        |
| 25.2 Other services from non-Federal sources .....              | 11          | 9       | 9         |
| 25.3 Other goods and services from Federal sources .....        | 14          | 17      | 19        |
| 25.4 Operation and maintenance of facilities .....              | 2           | 2       | 2         |
| 25.5 Research and development contracts .....                   | 7           | 7       | 8         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 182         | 183     | 204       |
| 99.0 Reimbursable obligations .....                             | 489         | 476     | 457       |
| 99.9 Total new obligations .....                                | 671         | 659     | 661       |

## Employment Summary

| Identification code 12-0403-0-1-452                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,410       | 1,392   | 1,547     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3,783       | 3,608   | 3,453     |

## RURAL COMMUNITY ADVANCEMENT PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 12-0400-0-1-452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 1           |         |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | -1          |         |           |

Until 2008, this account was used to consolidate, under the Rural Community Advancement Program (RCAP), funding for the direct and guaranteed water and waste disposal loans, water and waste disposal grants, emergency community water assistance grants, solid waste management grants, direct and guaranteed community facility loans, community facility grants, direct and guaranteed business and industry loans, rural business enterprise grants, and rural business opportunity grants. This was in accordance with the provisions set forth in the Federal Agriculture Improvement and Reform Act of 1996, as amended, Public Law 104-127 (the 1996 Act). The final remaining balances have been rescinded.

## RURAL HOUSING SERVICE

## Federal Funds

## RURAL HOUSING ASSISTANCE GRANTS

For grants for very low-income housing repair made by the Rural Housing Service, as authorized by 42 U.S.C. 1474, \$25,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–1953–0–1–604                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0012 Very Low-Income Housing Repair Grants .....                  | 30          | 30      | 25        |
| 0016 Rural Housing Preservation Grants .....                      | 5           | 4       | 1         |
| 0018 Processing Workers Grants .....                              | .....       | .....   | 2         |
| 0900 Total new obligations (object class 41.0) .....              | 35          | 34      | 28        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 4           | 4       | 4         |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 4           | 4       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           | 1       | .....     |
| 1050 Unobligated balance (total) .....                            | 6           | 5       | 4         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 33          | 33      | 25        |
| 1160 Appropriation, discretionary (total) .....                   | 33          | 33      | 25        |
| 1930 Total budgetary resources available .....                    | 39          | 38      | 29        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 4           | 4       | 1         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 25          | 19      | 12        |
| 3010 Obligations incurred, unexpired accounts .....               | 35          | 34      | 28        |
| 3020 Outlays (gross) .....  | –39         | –40     | –33       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –2          | –1      | .....     |
| 3050 Unpaid obligations, end of year .....                        | 19          | 12      | 7         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 25          | 19      | 12        |
| 3200 Obligated balance, end of year .....                         | 19          | 12      | 7         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 33          | 33      | 25        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 27          | 28      | 24        |
| 4011 Outlays from discretionary balances .....                    | 12          | 12      | 9         |
| 4020 Outlays, gross (total) .....                                 | 39          | 40      | 33        |
| 4180 Budget authority, net (total) .....                          | 33          | 33      | 25        |
| 4190 Outlays, net (total) .....                                   | 39          | 40      | 33        |

The very low-income housing repair grant program is authorized under section 504 of the Housing Act of 1949, as amended. This grant program enables very low-income elderly residents in rural areas to improve or modernize their dwellings, to make the dwelling safer or more sanitary, or to remove health and safety hazards. The Budget requests \$25 million for this program in 2014.

No funding is requested in the 2014 Budget for the rural housing preservation grant program. USDA's preservation activities for multifamily housing are being carried out through programs in the multifamily housing revitalization account.

For other housing assistance grants authorized for funding in this account such as supervisory and technical assistance grants as authorized by section 509(f) and 525 of the Housing Act of 1949, as amended, no funding is requested in the 2014 Budget, which is the same as the 2013 CR level.

## FARM LABOR PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12–1954–0–1–604                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 4           | .....   | .....     |
| 1010 Unobligated balance transfer to other accts [12–2081] ..... | –4          | .....   | .....     |

## Change in obligated balance:

|  |      |       |       |
|--|------|-------|-------|
| Unpaid obligations:  |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 110  | ..... | ..... |
| 3030 Unpaid obligations transferred to other accts [12–2081] ..... | –110 | ..... | ..... |
| Memorandum (non-add) entries:                                      |      |       |       |
| 3100 Obligated balance, start of year .....                        | 110  | ..... | ..... |

The direct farm labor loan program is authorized under section 514 and the rural housing for domestic farm labor grant program is authorized under section 516 of the Housing Act of 1949, as amended. The loans, grants, and contracts are made to public and private nonprofit organizations for low-rent housing and related facilities for domestic farm labor. Grants assistance may not exceed 90 percent of the cost of a project. Loans and grants may be used for construction of new structures, site acquisition and development, rehabilitation of existing structures, and purchase of furnishings and equipment for dwellings, dining halls, community rooms, and infirmaries. In order to gain efficiencies in administering the program, the farm labor housing program was merged with the Rural Housing Insurance Fund (RHIF) in 2012.

## RENTAL ASSISTANCE PROGRAM

*For rental assistance agreements entered into or renewed pursuant to the authority under section 521(a)(2) or agreements entered into in lieu of debt forgiveness or payments for eligible households as authorized by section 502(c)(5)(D) of the Housing Act of 1949, \$1,015,050,000; and, in addition, such sums as may be necessary, as authorized by section 521(c) of the Act, to liquidate debt incurred prior to fiscal year 1992 to carry out the rental assistance program under section 521(a)(2) of the Act: Provided, That of this amount not less than \$3,000,000 is for newly constructed units financed under sections 514 and 516 of the Housing Act of 1949: Provided further, That rental assistance agreements entered into or renewed during the current fiscal year shall be funded for a 1-year period: Provided further, That any unexpended balances remaining at the end of such one-year agreements may be transferred and used for the purposes of any debt reduction; maintenance, repair, or rehabilitation of any existing projects; preservation; and rental assistance activities authorized under title V of the Act: Provided further, That rental assistance provided under agreements entered into prior to fiscal year 2014 for a farm labor multi-family housing project financed under section 514 or 516 of the Act may not be recaptured for use in another project until such assistance has remained unused for a period of 6 consecutive months, if such project has a waiting list of tenants seeking such assistance or the project has rental assistance eligible tenants who are not receiving such assistance: Provided further, That such recaptured rental assistance shall, to the extent practicable, be applied to another farm labor multi-family housing project financed under section 514 or 516 of the Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–0137–0–1–604                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                       |             |         |           |
| 0001 Rental assistance program .....                          | 905         | 910     | 1,015     |
| 0900 Total new obligations (object class 41.0) .....          | 905         | 910     | 1,015     |
| <b>Budgetary Resources:</b>                                   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                |             |         |           |
| 1100 Appropriation .....                                      | 905         | 910     | 1,015     |
| 1100 Appropriation .....                                      | 24          | 34      | 34        |
| 1139 Appropriations substituted for borrowing authority ..... | –24         | –34     | –34       |
| 1160 Appropriation, discretionary (total) .....               | 905         | 910     | 1,015     |
| 1930 Total budgetary resources available .....                | 905         | 910     | 1,015     |

RENTAL ASSISTANCE PROGRAM—Continued  
Program and Financing—Continued

| Identification code 12-0137-0-1-604                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                     |   |             |         |           |
| Unpaid obligations:                                     |   |             |         |           |
| 3000  | Unpaid obligations, appropriation, start of year .....    | 1,186       | 975     | 807       |
| 3010  | Obligations incurred, unexpired accounts .....            | 905         | 910     | 1,015     |
| 3020  | Outlays (gross) .....                                     | -1,116      | -1,078  | -927      |
| 3050  | Unpaid obligations, end of year .....                     | 975         | 807     | 895       |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                    | 1,186       | 975     | 807       |
| 3200  | Obligated balance, end of year .....                      | 975         | 807     | 895       |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                             | 905         | 910     | 1,015     |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....            | 222         | 273     | 305       |
| 4011  | Outlays from discretionary balances .....                 | 894         | 805     | 622       |
| 4020  | Outlays, gross (total) .....                              | 1,116       | 1,078   | 927       |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4033  | Non-Federal sources .....                                 | -1          |         |           |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4052  | Offsetting collections credited to expired accounts ..... | 1           |         |           |
| 4070  | Budget authority, net (discretionary) .....               | 905         | 910     | 1,015     |
| 4080  | Outlays, net (discretionary) .....                        | 1,115       | 1,078   | 927       |
| 4180  | Budget authority, net (total) .....                       | 905         | 910     | 1,015     |
| 4190  | Outlays, net (total) .....                                | 1,115       | 1,078   | 927       |

The rental assistance program is authorized under section 521(a)(2) of the Housing Act of 1949, as amended, and is designed to reduce rent expenses for very low-income and low-income families living in RHS-financed rural rental and farm labor housing projects. Funding under this account is provided for renewals of existing rental assistance contracts and assistance for newly constructed units financed by the section 515 loan program and the 514/516 farm labor housing loan and grant programs. At USDA's discretion, some funds may also be used for additional servicing assistance for existing projects. For 2014, the request for rental assistance grants is for one year contracts with one-year availability, with a total funding level of \$1.015 billion.

The 2014 Budget proposes legislation to gain authorities for RHS to have access to the Health and Human Services National Database of New Hires as well as the IRS data, similar to what the Department of Housing and Urban Development has for its projects-based rental program.

From 1978 through 1991, the rental assistance program was funded under the Rural Housing Insurance Fund (RHIF). Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for this program. Prior year obligations are funded with "such sums" amounts to cover those pre-credit reform contracts in RHIF.

## MULTI-FAMILY HOUSING REVITALIZATION PROGRAM ACCOUNT

For the rural housing voucher program as authorized under section 542 of the Housing Act of 1949, but notwithstanding subsection (b) of such section, and for additional costs to conduct a demonstration program for the preservation and revitalization of multi-family rental housing properties described in this paragraph, \$32,575,000, to remain available until expended: Provided, That of the funds made available under this heading, \$12,575,000, shall be available for rural housing vouchers to any low-income household (including those not receiving rental assistance) residing in a property financed with a section 515 loan which has been prepaid after September 30, 2005: Provided further, That the amount of such voucher shall be the difference between comparable market rent for the section 515 unit and the tenant paid rent for such unit: Provided further, That funds made available for such vouchers shall be subject to the

availability of annual appropriations: Provided further, That the Secretary shall, to the maximum extent practicable, administer such vouchers with current regulations and administrative guidance applicable to section 8 housing vouchers administered by the Secretary of the Department of Housing and Urban Development: Provided further, That if the Secretary determines that the amount made available for vouchers in this or any other Act is not needed for vouchers, the Secretary may use such funds for the demonstration program for the preservation and revitalization of multi-family rental housing properties described in this paragraph: Provided further, That of the funds made available under this heading, \$20,000,000 shall be available for a demonstration program for the preservation and revitalization of the sections 514, 515, and 516 multi-family rental housing properties to restructure existing USDA multi-family housing loans, as the Secretary deems appropriate, expressly for the purposes of ensuring the project has sufficient resources to preserve the project for the purpose of providing safe and affordable housing for low-income residents and farm laborers including reducing or eliminating interest; deferring loan payments, subordinating, reducing or reamortizing loan debt; and other financial assistance including advances, payments and incentives (including the ability of owners to obtain reasonable returns on investment) required by the Secretary: Provided further, That the Secretary shall as part of the preservation and revitalization agreement obtain a restrictive use agreement consistent with the terms of the restructuring: Provided further, That if the Secretary determines that additional funds for vouchers described in this paragraph are needed, funds for the preservation and revitalization demonstration program may be used for such vouchers: Provided further, That if Congress enacts legislation to permanently authorize a multi-family rental housing loan restructuring program similar to the demonstration program described herein, the Secretary may use funds made available for the demonstration program under this heading to carry out such legislation with the prior notification to the Committees on Appropriations of both Houses of Congress: Provided further, That in addition to any other available funds, the Secretary may expend not more than \$1,000,000 total, from the program funds made available under this heading, for administrative expenses for activities funded under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-2002-0-1-604     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0010                                    | Grants .....   | 11          | 21      | 18        |
| Credit program obligations:             |  |             |         |           |
| 0701                                    | Direct loan subsidy .....                                  | 8           | 10      | 18        |
| 0703                                    | Subsidy for modifications of direct loans .....            | 3           | 3       |           |
| 0705                                    | Reestimates of direct loan subsidy .....                   | 28          | 16      |           |
| 0706                                    | Interest on reestimates of direct loan subsidy .....       | 5           | 5       |           |
| 0709                                    | Administrative expenses .....                              | 1           | 2       |           |
| 0791                                    | Direct program activities, subtotal .....                  | 45          | 36      | 18        |
| 0900                                    | Total new obligations (object class 41.0) .....            | 56          | 57      | 36        |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....           | 27          | 23      | 3         |
| 1001                                    | Discretionary unobligated balance brought fwd, Oct 1 ..... | 27          | 23      |           |
| 1020                                    | Adjustment of unobligated bal brought forward, Oct 1 ..... | 1           |         |           |
| 1021                                    | Recoveries of prior year unpaid obligations .....          | 5           | 3       |           |
| 1050                                    | Unobligated balance (total) .....                          | 33          | 26      | 3         |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1100                                    | Appropriation .....  | 13          | 13      | 33        |
| 1160                                    | Appropriation, discretionary (total) .....                 | 13          | 13      | 33        |
| Appropriations, mandatory:              |  |             |         |           |
| 1200                                    | Appropriation .....  | 33          | 21      |           |
| 1260                                    | Appropriations, mandatory (total) .....                    | 33          | 21      |           |
| 1900                                    | Budget authority (total) .....                             | 46          | 34      | 33        |
| 1930                                    | Total budgetary resources available .....                  | 79          | 60      | 36        |

|                                     |  |     |     |
|-------------------------------------|--|-----|-----|
| Memorandum (non-add) entries:       |  |     |     |
| 1941                                | Unexpired unobligated balance, end of year .....                   | 23  | 3   |
| <b>Change in obligated balance:</b> |  |     |     |
| Unpaid obligations:                 |  |     |     |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....                   | 49  | 45  |
| 3010                                | Obligations incurred, unexpired accounts .....                     | 56  | 57  |
| 3020                                | Outlays (gross) .....  | -57 | -38 |
| 3031                                | Unpaid obligations transferred from other accts<br>[12-2081] ..... | 2   |     |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired .....       | -5  | -3  |
| 3050                                | Unpaid obligations, end of year .....                              | 45  | 61  |
| Memorandum (non-add) entries:       |  |     |     |
| 3100                                | Obligated balance, start of year .....                             | 49  | 45  |
| 3200                                | Obligated balance, end of year .....                               | 45  | 61  |

|   |  |    |    |
|---|--|----|----|
| <b>Budget authority and outlays, net:</b> |  |    |    |
| Discretionary:                            |  |    |    |
| 4000                                      | Budget authority, gross .....                  | 13 | 13 |
| Outlays, gross:                           |  |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 2  | 4  |
| 4011                                      | Outlays from discretionary balances .....      | 22 | 13 |
| 4020                                      | Outlays, gross (total) .....                   | 24 | 17 |
| Mandatory:                                |  |    |    |
| 4090                                      | Budget authority, gross .....                  | 33 | 21 |
| Outlays, gross:                           |  |    |    |
| 4100                                      | Outlays from new mandatory authority .....     | 33 | 21 |
| 4180                                      | Budget authority, net (total) .....            | 46 | 34 |
| 4190                                      | Outlays, net (total) .....                     | 57 | 38 |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

|   |   |       |       |
|---|---|-------|-------|
| Identification code 12-2002-0-1-604                         |   |       |       |
| Direct loan levels supportable by subsidy budget authority: |   |       |       |
| 115001  | Multi-Family Housing Relending Demo .....                   | 4     | 5     |
| 115002  | Multi-Family Housing Revitalization Seconds .....           | 5     | 9     |
| 115003  | Multi-Family Revitalization Zero .....                      | 6     | 5     |
| 115999  | Total direct loan levels .....                              | 15    | 19    |
| Direct loan subsidy (in percent):                           |   |       |       |
| 132001  | Multi-Family Housing Relending Demo .....                   | 36.84 | 36.18 |
| 132002  | Multi-Family Housing Revitalization Seconds .....           | 61.74 | 61.44 |
| 132003  | Multi-Family Revitalization Zero .....                      | 54.29 | 58.28 |
| 132999  | Weighted average subsidy rate .....                         | 52.12 | 53.96 |
| Direct loan subsidy budget authority:                       |   |       |       |
| 133001  | Multi-Family Housing Relending Demo .....                   | 2     | 2     |
| 133002  | Multi-Family Housing Revitalization Seconds .....           | 3     | 6     |
| 133003  | Multi-Family Revitalization Zero .....                      | 3     | 3     |
| 133999  | Total subsidy budget authority .....                        | 8     | 11    |
| Direct loan subsidy outlays:                                |   |       |       |
| 134001  | Multi-Family Housing Relending Demo .....                   | 2     | 1     |
| 134002  | Multi-Family Housing Revitalization Seconds .....           | 5     | 3     |
| 134003  | Multi-Family Revitalization Zero .....                      | 2     | 1     |
| 134004  | Multi-Family Housing Revitalization Seconds Disasters ..... | 1     |       |
| 134006  | Multi-Family Housing Revitalization Modifications .....     | 5     | 2     |
| 134999  | Total subsidy outlays .....                                 | 15    | 7     |
| Direct loan upward reestimates:                             |   |       |       |
| 135003  | Multi-Family Revitalization Zero .....                      | 1     | 1     |
| 135006  | Multi-Family Housing Revitalization Modifications .....     | 32    | 21    |
| 135999  | Total upward reestimate budget authority .....              | 33    | 22    |
| Direct loan downward reestimates:                           |   |       |       |
| 137002  | Multi-Family Housing Revitalization Seconds .....           | -3    | -3    |
| 137003  | Multi-Family Revitalization Zero .....                      | -1    |       |
| 137006  | Multi-Family Housing Revitalization Modifications .....     | -6    |       |
| 137999  | Total downward reestimate budget authority .....            | -10   | -3    |

USDA's portfolio of multifamily housing projects provides housing for nearly half a million low-income families, many of whom are elderly. Projects that received their financing prior to 1989 are allowed to prepay and leave the program. USDA may assist families displaced by sponsors' prepayments by providing them with letters of priority and vouchers, which were newly funded in 2006. The Budget requests \$12.6 million in 2014 for housing vouchers for residents of projects whose sponsors prepay their outstanding indebtedness on USDA loans and leave the program. In addition, the Budget requests \$20 million for continuation of the multi-family housing revitalization pilot program

in 2014. This funding will allow USDA to focus on management of the current multifamily housing portfolio to ensure that the USDA-financed properties continue to provide decent, safe, affordable housing for their rural tenant population.

Prior year obligated balances reflect funding for rental assistance for newly constructed units provided in limited amounts in 1984 and 1985. From 1986 through 1991 rental assistance for newly constructed units, as well as existing rental assistance contract renewals and additional servicing assistance for existing projects, had been funded under the Rural Housing Insurance Fund. Beginning in 1992, pursuant to Credit Reform, a separate grant account was established for the rental assistance program.

**MULTIFAMILY HOUSING REVITALIZATION DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

|   |   |         |           |
|---|---|---------|-----------|
| Identification code 12-4269-0-3-604     |   |         |           |
|   | 2012 actual                                       | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |   |         |           |
| Credit program obligations:             |   |         |           |
| 0710                                    | Direct loan obligations .....                     | 15      | 19        |
| 0713                                    | Payment of interest to Treasury .....             | 10      | 15        |
| 0742                                    | Downward reestimate paid to receipt account ..... | 10      | 3         |
| 0743                                    | Interest on downward reestimates .....            | 1       |           |
| 0744                                    | Adjusting payments to liquidating accounts .....  | 62      |           |
| 0900                                    | Total new obligations .....                       | 98      | 37        |

|  |  |     |     |
|--|--|-----|-----|
| <b>Budgetary Resources:</b>                                |  |     |     |
| Unobligated balance:                                       |  |     |     |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 25  | 20  |
| 1021   | Recoveries of prior year unpaid obligations .....                          | 28  |     |
| 1023   | Unobligated balances applied to repay debt .....                           | -38 | -20 |
| 1024   | Unobligated balance of borrowing authority withdrawn .....                 | -15 |     |
| Financing authority:                                       |  |     |     |
| Borrowing authority, mandatory:                            |  |     |     |
| 1400   | Borrowing authority .....  | 127 | 37  |
| 1421   | Borrowing authority applied to repay debt .....                            | -9  |     |
| 1440   | Borrowing authority, mandatory (total) .....                               | 118 | 37  |
| Spending authority from offsetting collections, mandatory: |  |     |     |
| 1800   | Collected .....  | 80  | 36  |
| 1801   | Change in uncollected payments, Federal sources .....                      | -7  | -7  |
| 1825   | Spending authority from offsetting collections applied to repay debt ..... | -73 | -29 |
| 1900   | Financing authority (total) .....  | 118 | 37  |
| 1930   | Total budgetary resources available .....                                  | 118 | 37  |
| Memorandum (non-add) entries:                              |  |     |     |
| 1941   | Unexpired unobligated balance, end of year .....                           | 20  |     |

|                                     |  |     |     |
|-------------------------------------|--|-----|-----|
| <b>Change in obligated balance:</b> |  |     |     |
| Unpaid obligations:                 |  |     |     |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 150 | 131 |
| 3010                                | Obligations incurred, unexpired accounts .....               | 98  | 37  |
| 3020                                | Financing disbursements (gross) .....                        | -89 | -48 |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | -28 |     |
| 3050                                | Unpaid obligations, end of year .....                        | 131 | 120 |
| Uncollected payments:               |  |     |     |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -40 | -33 |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | 7   | 7   |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -33 | -26 |
| Memorandum (non-add) entries:       |  |     |     |
| 3100                                | Obligated balance, start of year .....                       | 110 | 98  |
| 3200                                | Obligated balance, end of year .....                         | 98  | 94  |

|  |  |     |     |
|--|--|-----|-----|
| <b>Financing authority and disbursements, net:</b>           |  |     |     |
| Mandatory:   |  |     |     |
| 4090   | Financing authority, gross .....                             | 118 | 37  |
| Financing disbursements:                                     |  |     |     |
| 4110   | Financing disbursements, gross .....                         | 89  | 48  |
| Offsets against gross financing authority and disbursements: |  |     |     |
| Offsetting collections (collected) from:                     |  |     |     |
| 4120   | Federal sources - subsidy outlays from program account ..... | -47 | -29 |
| 4120   | Revitalization loan transfers .....                          | -27 |     |
| 4122   | Interest on uninvested funds .....                           | -4  | -5  |
| 4123   | Repayments of Principal .....                                | -2  | -1  |
| 4123   | Interest received on loans .....                             |     | -1  |

**MULTIFAMILY HOUSING REVITALIZATION DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued**

| Identification code 12-4269-0-3-604                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -80         | -36     | -23       |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 7           | 7       | 16        |
| 4160 Financing authority, net (mandatory) .....                           | 45          | 8       | 44        |
| 4170 Financing disbursements, net (mandatory) .....                       | 9           | 12      | 25        |
| 4180 Financing authority, net (total) .....                               | 45          | 8       | 44        |
| 4190 Financing disbursements, net (total) .....                           | 9           | 12      | 25        |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4269-0-3-604   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations:          |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....                       | 15          | 19      | 36        |
| 1150 Total direct loan obligations .....  | 15          | 19      | 36        |
| Cumulative balance of direct loans outstanding:                                 |             |         |           |
| 1210 Outstanding, start of year .....   | 348         | 414     | 443       |
| Disbursements:  |             |         |           |
| 1231 Direct loan disbursements .....  | 16          | 9       | 18        |
| 1233 Purchase of loans assets from a liquidating account .....                  | 62          | 21      | 14        |
| 1251 Repayments: Repayments and prepayments .....                               | -2          | -1      | -1        |
| 1264 Write-offs for default: Adjusting payment to the liquidating account ..... | -10         |         |           |
| 1290 Outstanding, end of year .....   | 414         | 443     | 474       |

**Balance Sheet (in millions of dollars)**

| Identification code 12-4269-0-3-604                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 55          | 19          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 348         | 414         |
| 1402 Interest receivable .....                                    | 25          | 58          |
| 1405 Allowance for subsidy cost (-) .....                         | -214        | -305        |
| 1499 Net present value of assets related to direct loans .....    | 159         | 167         |
| 1999 Total assets .....   | 214         | 186         |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....     | 214         | 186         |
| 4999 Total liabilities and net position .....                     | 214         | 186         |

**MUTUAL AND SELF-HELP HOUSING GRANTS**

*For grants and contracts pursuant to section 523(b)(1)(A) of the Housing Act of 1949 (42 U.S.C. 1490c), \$10,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 12-2006-0-1-604                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Mutual and self-help housing grants .....                  | 38          | 43      | 23        |
| 0900 Total new obligations (object class 41.0) .....            | 38          | 43      | 23        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 19          | 13      | 13        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 19          | 13      |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 2           | 13      |           |
| 1050 Unobligated balance (total) .....                          | 21          | 26      | 13        |

|   |    |    |    |
|---|----|----|----|
| Budget authority:                                     |    |    |    |
| Appropriations, discretionary:                        |    |    |    |
| 1100 Appropriation .....                              | 30 | 30 | 10 |
| 1160 Appropriation, discretionary (total) .....       | 30 | 30 | 10 |
| 1930 Total budgetary resources available .....        | 51 | 56 | 23 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 13 | 13 |    |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 64  | 67  | 62  |
| 3010 Obligations incurred, unexpired accounts .....               | 38  | 43  | 23  |
| 3020 Outlays (gross) .....  | -33 | -35 | -39 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2  | -13 |     |
| 3050 Unpaid obligations, end of year .....                        | 67  | 62  | 46  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 64  | 67  | 62  |
| 3200 Obligated balance, end of year .....                         | 67  | 62  | 46  |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 30 | 30 | 10 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 5  | 5  | 2  |
| 4011 Outlays from discretionary balances .....      | 28 | 30 | 37 |
| 4020 Outlays, gross (total) .....                   | 33 | 35 | 39 |
| 4180 Budget authority, net (total) .....            | 30 | 30 | 10 |
| 4190 Outlays, net (total) .....                     | 33 | 35 | 39 |

This program is authorized under section 523 of the Housing Act of 1949, as amended. Grants and contracts are made for the purpose of providing technical and supervisory assistance to groups of families to enable them to build their own homes through the mutual exchange of labor. The 2014 Budget requests \$10 million.

**RURAL COMMUNITY FACILITIES PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

*For gross obligations for the principal amount of direct loans as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, \$1,500,000,000.*

*For the cost of grants for rural community facilities programs as authorized by section 306 and described in section 381E(d)(1) of the Consolidated Farm and Rural Development Act, \$17,000,000, to remain available until expended: Provided, That \$4,000,000 of the amount appropriated under this heading shall be available for community facilities grants to tribal colleges, as authorized by section 306(a)(19) of such Act: Provided further, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to the funds made available under this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 12-1951-0-1-452                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0010 CF Grants .....   | 15          | 14      | 15        |
| 0012 Rural Community Development Initiative Grants .....     | 9           | 4       | 5         |
| 0013 Economic Impact Initiative Grants .....                 | 8           | 9       | 2         |
| 0015 Tribal College Grants .....                             |             | 3       | 4         |
| 0018 CF Grants Emergency Supplemental .....                  |             |         | 1         |
| 0091 Direct program activities, subtotal .....               | 32          | 30      | 27        |
| Credit program obligations:                                  |             |         |           |
| 0702 Loan guarantee subsidy .....                            | 10          | 8       | 3         |
| 0705 Reestimates of direct loan subsidy .....                | 2           | 24      |           |
| 0706 Interest on reestimates of direct loan subsidy .....    | 1           | 6       |           |
| 0707 Reestimates of loan guarantee subsidy .....             | 15          | 7       |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... | 2           | 1       |           |
| 0791 Direct program activities, subtotal .....               | 30          | 46      | 3         |

|  |   |    |    |    |
|--|---|----|----|----|
| 0900   | Total new obligations (object class 41.0)               | 62 | 76 | 30 |
| <b>Budgetary Resources:</b>                                    |   |    |    |    |
| Unobligated balance:   |   |    |    |    |
| 1000   | Unobligated balance brought forward, Oct 1              | 14 | 10 | 13 |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1    | 14 | 10 |    |
| 1020   | Adjustment of unobligated bal brought forward, Oct 1    | -1 |    |    |
| 1020   | Adjustment of unobligated bal brought forward, Oct 1    | -8 |    |    |
| 1021   | Recoveries of prior year unpaid obligations             | 7  | 12 |    |
| 1050   | Unobligated balance (total)                             | 12 | 22 | 13 |
| Budget authority:  |   |    |    |    |
| Appropriations, discretionary:                                 |   |    |    |    |
| 1100   | Appropriation   | 30 | 29 | 17 |
| 1130   | Appropriations permanently reduced                      | -1 |    |    |
| 1160   | Appropriation, discretionary (total)                    | 29 | 29 | 17 |
| Appropriations, mandatory:                                     |   |    |    |    |
| 1200   | Appropriation   | 20 | 38 |    |
| 1260   | Appropriations, mandatory (total)                       | 20 | 38 |    |
| Spending authority from offsetting collections, discretionary: |   |    |    |    |
| 1700   | Collected   | 11 |    |    |
| 1750   | Spending auth from offsetting collections, disc (total) | 11 |    |    |
| 1900   | Budget authority (total)                                | 60 | 67 | 17 |
| 1930   | Total budgetary resources available                     | 72 | 89 | 30 |
| Memorandum (non-add) entries:                                  |   |    |    |    |
| 1941   | Unexpired unobligated balance, end of year              | 10 | 13 |    |

|                                     |   |      |      |     |
|-------------------------------------|---|------|------|-----|
| <b>Change in obligated balance:</b> |   |      |      |     |
| Unpaid obligations:                 |   |      |      |     |
| 3000                                | Unpaid obligations, brought forward, Oct 1                | 185  | 137  | 90  |
| 3001                                | Adjustments to unpaid obligations, brought forward, Oct 1 |      |      |     |
| 3010                                | Obligations incurred, unexpired accounts                  | 8    |      |     |
| 3020                                | Outlays (gross)   | 62   | 76   | 30  |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired    | -107 | -111 | -53 |
| 3041                                | Recoveries of prior year unpaid obligations, expired      | -7   | -12  |     |
| 3050                                | Unpaid obligations, end of year                           | -4   |      |     |
| 3050                                | Unpaid obligations, end of year                           | 137  | 90   | 67  |
| Memorandum (non-add) entries:       |   |      |      |     |
| 3100                                | Obligated balance, start of year                          | 193  | 137  | 90  |
| 3200                                | Obligated balance, end of year                            | 137  | 90   | 67  |

|   |  |     |     |    |
|---|--|-----|-----|----|
| <b>Budget authority and outlays, net:</b>           |  |     |     |    |
| Discretionary:                                      |  |     |     |    |
| 4000  | Budget authority, gross                  | 40  | 29  | 17 |
| Outlays, gross:                                     |  |     |     |    |
| 4010  | Outlays from new discretionary authority | 5   | 4   | 3  |
| 4011  | Outlays from discretionary balances      | 82  | 69  | 50 |
| 4020  | Outlays, gross (total)                   | 87  | 73  | 53 |
| Offsets against gross budget authority and outlays: |  |     |     |    |
| Offsetting collections (collected) from:            |  |     |     |    |
| 4033  | Non-Federal sources                      | -11 |     |    |
| Mandatory:  |  |     |     |    |
| 4090  | Budget authority, gross                  | 20  | 38  |    |
| Outlays, gross:                                     |  |     |     |    |
| 4100  | Outlays from new mandatory authority     | 20  | 38  |    |
| 4180  | Budget authority, net (total)            | 49  | 67  | 17 |
| 4190  | Outlays, net (total)                     | 96  | 111 | 53 |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 12-1951-0-1-452                         |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |   |             |         |           |
| 115002  | Community Facility Loans .....                        | 1,271       | 1,300   | 1,500     |
| 115999  | Total direct loan levels .....                        | 1,271       | 1,300   | 1,500     |
| Direct loan subsidy (in percent):                           |   |             |         |           |
| 132002  | Community Facility Loans .....                        | -3.03       | -2.08   | -13.21    |
| 132999  | Weighted average subsidy rate .....                   | -3.03       | -2.08   | -13.21    |
| Direct loan subsidy budget authority:                       |   |             |         |           |
| 133002  | Community Facility Loans .....                        | -39         | -27     | -198      |
| 133999  | Total subsidy budget authority .....                  | -39         | -27     | -198      |
| Direct loan subsidy outlays:                                |   |             |         |           |
| 134002  | Community Facility Loans .....                        | 3           | -11     | -39       |
| 134003  | Community Facility Emergency Supplemental Loans ..... | 2           |         |           |
| 134004  | Community Facility Loans - ARRA .....                 | 6           | 3       | 2         |
| 134999  | Total subsidy outlays .....                           | 11          | -8      | -37       |
| Direct loan upward reestimates:                             |   |             |         |           |
| 135002  | Community Facility Loans .....                        | 3           | 30      |           |
| 135999  | Total upward reestimate budget authority .....        | 3           | 30      |           |

|   |  |      |      |       |
|---|--|------|------|-------|
| Direct loan downward reestimates:                               |  |      |      |       |
| 137002  | Community Facility Loans .....                           | -130 | -55  | ..... |
| 137999  | Total downward reestimate budget authority .....         | -130 | -55  | ..... |
|   |  |      |      |       |
| Guaranteed loan levels supportable by subsidy budget authority: |  |      |      |       |
| 215002  | Community Facility Loan Guarantees .....                 | 202  | 125  | 49    |
| 215999  | Total loan guarantee levels .....                        | 202  | 125  | 49    |
| Guaranteed loan subsidy (in percent):                           |  |      |      |       |
| 232002  | Community Facility Loan Guarantees .....                 | 4.73 | 6.75 | 6.34  |
| 232999  | Weighted average subsidy rate .....                      | 4.73 | 6.70 | 6.21  |
| Guaranteed loan subsidy budget authority:                       |  |      |      |       |
| 233002  | Community Facility Loan Guarantees .....                 | 10   | 8    | 3     |
| 233999  | Total subsidy budget authority .....                     | 10   | 8    | 3     |
| Guaranteed loan subsidy outlays:                                |  |      |      |       |
| 234002  | Community Facility Loan Guarantees .....                 |      | 9    | 6     |
| 234999  | Total subsidy outlays .....                              |      | 9    | 6     |
| Guaranteed loan upward reestimates:                             |  |      |      |       |
| 235002  | Community Facility Loan Guarantees .....                 | 17   | 8    | ..... |
| 235999  | Total upward reestimate budget authority .....           | 17   | 8    | ..... |
| Guaranteed loan downward reestimates:                           |  |      |      |       |
| 237002  | Community Facility Loan Guarantees .....                 | -2   | -13  | ..... |
| 237999  | Total downward reestimate subsidy budget authority ..... | -2   | -13  | ..... |

This account funds the direct and guaranteed community facility loans and community facility grants, which are authorized under sections 306(a)(1) and 306(a)(19) of the Consolidated Farm and Rural Development Act, as amended. Loans are provided to local governments and nonprofit organizations for the construction and improvement of community facilities providing essential services in rural areas of not more than 20,000 population, such as hospitals and fire stations. Total program level in 2014 is projected to be \$1.5 billion for direct loans. The 2014 Budget proposes no guaranteed loans due to an increase in the cost of the program and because it is likely that some demand for the guarantee program will be filled with the increase in the direct loan program. The 2014 Budget requests \$17 million for grant purposes. This includes \$13 million for regular community facilities grants and \$4 million for Tribal College grants.

**RURAL COMMUNITY FACILITY DIRECT LOANS FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12-4225-0-3-452     | 2012 actual                                       | 2013 CR | 2014 est. |       |
|---|---|---------|-----------|-------|
| <b>Obligations by program activity:</b> |   |         |           |       |
| Credit program obligations:             |   |         |           |       |
| 0710                                    | Direct loan obligations .....                     | 1,271   | 1,300     | 1,500 |
| 0713                                    | Payment of interest to Treasury .....             | 199     | 214       | 221   |
| 0740                                    | Negative subsidy obligations .....                | 39      | 27        | 198   |
| 0742                                    | Downward reestimate paid to receipt account ..... | 102     | 48        | ..... |
| 0743                                    | Interest on downward reestimates .....            | 27      | 7         | ..... |
| 0900                                    | Total new obligations .....                       | 1,638   | 1,596     | 1,919 |

| Budgetary Resources:                                       |   |       |       |       |
|--|---|-------|-------|-------|
| Unobligated balance:                                       |   |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....                              | 35    | ..... | ..... |
| 1021   | Recoveries of prior year unpaid obligations .....                             | 127   | ..... | ..... |
| 1023   | Unobligated balances applied to repay debt .....                              | -38   | ..... | ..... |
| 1024   | Unobligated balance of borrowing authority withdrawn .....                    | -124  | ..... | ..... |
| Financing authority:                                       |   |       |       |       |
| Borrowing authority, mandatory:                            |   |       |       |       |
| 1400   | Borrowing authority .....   | 1,446 | 1,118 | 1,386 |
| 1440   | Borrowing authority, mandatory (total) .....                                  | 1,446 | 1,118 | 1,386 |
| Spending authority from offsetting collections, mandatory: |   |       |       |       |
| 1800   | Collected .....   | 405   | 486   | 537   |
| 1801   | Change in uncollected payments, Federal sources .....                         | -16   | -8    | -4    |
| 1825   | Spending authority from offsetting collections applied to<br>repay debt ..... | -197  | ..... | ..... |
| 1850   | Spending auth from offsetting collections, mand (total) .....                 | 192   | 478   | 533   |
| 1900   | Financing authority (total) .....   | 1,638 | 1,596 | 1,919 |

RURAL COMMUNITY FACILITY DIRECT LOANS FINANCING ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 12-4225-0-3-452                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1930 Total budgetary resources available .....                    | 1,638       | 1,596   | 1,919     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,993       | 2,410   | 2,659     |
| 3010 Obligations incurred, unexpired accounts .....               | 1,638       | 1,596   | 1,919     |
| 3020 Financing disbursements (gross) .....                        | -1,094      | -1,347  | -1,633    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -127        |         |           |
| 3050 Unpaid obligations, end of year .....                        | 2,410       | 2,659   | 2,945     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -36         | -20     | -12       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 16          | 8       | 4         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -20         | -12     | -8        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 1,957       | 2,390   | 2,647     |
| 3200 Obligated balance, end of year .....                         | 2,390       | 2,647   | 2,937     |

|   |       |       |       |
|---|-------|-------|-------|
| <b>Financing authority and disbursements, net:</b>                        |       |       |       |
| Mandatory:  |       |       |       |
| 4090 Financing authority, gross .....                                     | 1,638 | 1,596 | 1,919 |
| Financing disbursements:  |       |       |       |
| 4110 Financing disbursements, gross .....                                 | 1,094 | 1,347 | 1,633 |
| Offsets against gross financing authority and disbursements:              |       |       |       |
| Offsetting collections (collected) from:                                  |       |       |       |
| 4120 Federal sources .....  | -17   | -38   | -4    |
| 4122 Interest on uninvested funds .....                                   | -23   | -54   | -64   |
| 4123 Repayment of principal .....   | -365  | -204  | -243  |
| 4123 Interest received on loans .....                                     |       | -190  | -226  |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -405  | -486  | -537  |
| Additional offsets against financing authority only (total):              |       |       |       |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 16    | 8     | 4     |
| 4160 Financing authority, net (mandatory) .....                           | 1,249 | 1,118 | 1,386 |
| 4170 Financing disbursements, net (mandatory) .....                       | 689   | 861   | 1,096 |
| 4180 Financing authority, net (total) .....                               | 1,249 | 1,118 | 1,386 |
| 4190 Financing disbursements, net (total) .....                           | 689   | 861   | 1,096 |

## Status of Direct Loans (in millions of dollars)

| Identification code 12-4225-0-3-452                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 1,271       | 1,300   | 1,500     |
| 1150 Total direct loan obligations .....                               | 1,271       | 1,300   | 1,500     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 3,737       | 4,282   | 5,092     |
| 1231 Disbursements: Direct loan disbursements .....                    | 762         | 1,014   | 1,173     |
| 1251 Repayments: Repayments and prepayments .....                      | -205        | -204    | -243      |
| 1261 Adjustments: Capitalized interest .....                           | 1           |         |           |
| 1263 Write-offs for default: Direct loans .....                        | -13         |         |           |
| 1290 Outstanding, end of year .....                                    | 4,282       | 5,092   | 6,022     |

This account reflects the funding from direct community facility loans to non-profit organizations and local governments for the construction and improvement of community facilities providing essential services in rural areas, such as hospitals, libraries, and fire/police stations.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

## Balance Sheet (in millions of dollars)

| Identification code 12-4225-0-3-452                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 111         | 193         |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       |             | 24          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 3,737       | 4,282       |
| 1402 Interest receivable .....                                    | 35          | 45          |
| 1405 Allowance for subsidy cost (-) .....                         | -171        | -6          |
| 1499 Net present value of assets related to direct loans .....    | 3,601       | 4,321       |
| 1999 Total assets .....   | 3,712       | 4,538       |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....                                       | 3,712       | 4,483       |
| 2105 Other .....  |             | 55          |
| 2999 Total liabilities .....                                      | 3,712       | 4,538       |
| 4999 Total liabilities and net position .....                     | 3,712       | 4,538       |

## RURAL COMMUNITY FACILITY GUARANTEED LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-4228-0-3-452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0711 Default claim payments on principal .....                     | 8           | 8       | 9         |
| 0742 Downward reestimate paid to receipt account .....             | 2           | 10      |           |
| 0743 Interest on downward reestimates .....                        | 1           | 3       |           |
| 0900 Total new obligations .....                                   | 11          | 21      | 9         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 63          | 79      | 80        |
| 1023 Unobligated balances applied to repay debt .....              | -2          |         |           |
| 1050 Unobligated balance (total) .....                             | 61          | 79      | 80        |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 13          | 22      | 11        |
| 1801 Change in uncollected payments, Federal sources .....         | 16          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 29          | 22      | 11        |
| 1900 Financing authority (total) .....                             | 29          | 22      | 11        |
| 1930 Total budgetary resources available .....                     | 90          | 101     | 91        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 79          | 80      | 82        |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Change in obligated balance:</b>                               |     |     |     |
| Unpaid obligations:   |     |     |     |
| 3010 Obligations incurred, unexpired accounts .....               | 11  | 21  | 9   |
| 3020 Financing disbursements (gross) .....                        | -11 | -21 | -9  |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |     | -16 | -16 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -16 |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -16 | -16 | -16 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       |     | -16 | -16 |
| 3200 Obligated balance, end of year .....                         | -16 | -16 | -16 |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Financing authority and disbursements, net:</b>                        |     |     |     |
| Mandatory:  |     |     |     |
| 4090 Financing authority, gross .....                                     | 29  | 22  | 11  |
| Financing disbursements:  |     |     |     |
| 4110 Financing disbursements, gross .....                                 | 11  | 21  | 9   |
| Offsets against gross financing authority and disbursements:              |     |     |     |
| Offsetting collections (collected) from:                                  |     |     |     |
| 4120 Federal sources .....  | -7  | -17 | -6  |
| 4122 Interest on uninvested funds .....                                   | -2  | -2  | -3  |
| 4123 Guarantee Fees .....   | -3  | -2  | -1  |
| 4123 Repayment of loan principal .....                                    | -1  | -1  | -1  |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -13 | -22 | -11 |



|  |   |     |       |
|--|---|-----|-------|
| Additional offsets against financing authority only (total): |   |     |       |
| 4140   | Change in uncollected pymts, Fed sources, unexpired ..... | -16 | ..... |
| 4170   | Financing disbursements, net (mandatory) .....            | -2  | -1 -2 |
| 4190   | Financing disbursements, net (total) .....                | -2  | -1 -2 |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 12-4228-0-3-452   | 2012 actual  | 2013 CR        | 2014 est.                       |
|---|--|----------------|---------------------------------|
| Position with respect to appropriations act limitation on commitments:            |  |                |                                 |
| 2131  | Guaranteed loan commitments exempt from limitation .....             | 202            | 125 49                          |
| 2150  | Total guaranteed loan commitments .....                              | 202            | 125 49                          |
| Cumulative balance of guaranteed loans outstanding:                               |  |                |                                 |
| 2210  | Outstanding, start of year .....                                     | 1,017          | 1,173 1,282                     |
| 2231  | Disbursements of new guaranteed loans .....                          | 240            | 236 139                         |
| 2251  | Repayments and prepayments .....                                     | -78            | -117 -128                       |
| Adjustments:  |  |                |                                 |
| 2261  | Terminations for default that result in loans receivable .....       | -5             | -9 -9                           |
| 2263  | Terminations for default that result in claim payments .....         | -3             | -1 -1                           |
| 2264  | Other adjustments, net .....   | 2 <sup>1</sup> | .....                           |
| 2290  | Outstanding, end of year .....                                       | 1,173          | 1,282 1,283                     |
| Memorandum:   |  |                |                                 |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 938            | 1,026 1,017                     |
| Addendum:   |  |                |                                 |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |                |                                 |
| 2310  | Outstanding, start of year .....                                     | 15             | 19 23                           |
| 2331  | Disbursements for guaranteed loan claims .....                       | 5              | 6 7                             |
| 2351  | Repayments of loans receivable .....                                 | -1             | -1 -1                           |
| 2364  | Other adjustments, net .....   | .....          | -1 <sup>2</sup> -1 <sup>3</sup> |
| 2390  | Outstanding, end of year .....                                       | 19             | 23 28                           |

<sup>1</sup>This adjustment is for reamortization/assumption of the principal only.<sup>2</sup>Projections of the following items: Loss settlement for -1M<sup>3</sup>Projected loss settlement for 1M

This account finances loan guarantee commitments for essential community facilities in rural areas.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4228-0-3-452  | 2011 actual   | 2012 actual |
|--|---|-------------|
| <b>ASSETS:</b>   |   |             |
| 1101   | Federal assets: Fund balances with Treasury .....                       | 63 63       |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |   |             |
| 1501   | Defaulted guaranteed loans receivable, gross .....                      | 15 19       |
| 1505   | Allowance for subsidy cost (-) .....                                    | ..... -1    |
| 1599   | Net present value of assets related to defaulted guaranteed loans ..... | 15 18       |
| 1999   | Total assets .....  | 78 81       |
| <b>LIABILITIES:</b>  |   |             |
| 2104   | Federal liabilities: Resources payable to Treasury .....                | 2 .....     |
| 2204   | Non-Federal liabilities: Liabilities for loan guarantees .....          | 76 81       |
| 2999   | Total liabilities .....   | 78 81       |
| 4999   | Total liabilities and net position .....                                | 78 81       |

**RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

For gross obligations for the principal amount of direct and guaranteed loans as authorized by title V of the Housing Act of 1949, to be available from funds in the rural housing insurance fund, as follows: \$360,000,000 shall be for direct loans and \$24,000,000,000 shall be for unsubsidized guaranteed loans; \$26,280,000 for section 504 housing repair loans; \$28,432,000 for section 515 rental housing loans; \$5,000,000 for section 524 site development loans; \$10,000,000 for credit sales of single family housing acquired property; and \$150,000,000 for section 538 guaranteed multi-family housing loans.

For the cost of direct and guaranteed loans, including the cost of modifying loans, as defined in section 502 of the Congressional Budget Act of 1974, as follows: section 502 loans, \$9,792,000 shall be for direct loans; section 504 housing repair loans, \$2,176,000; and repair, rehabilitation, and new construction of section 515 rental housing loans, \$6,656,000: Provided, That to support the loan program level for section 538 guaranteed loans made available under this heading the Secretary may charge or adjust any fees to cover the projected cost of such loan guarantees pursuant to the provisions of the Credit Reform Act of 1990 (2 U.S.C. 661 et seq.), and the interest on such loans may not be subsidized.

In addition, for the cost of direct loans, grants, and contracts, as authorized by 42 U.S.C. 1484 and 1486, \$13,992,000, to remain available until expended, for direct farm labor housing loans and domestic farm labor housing grants and contracts: Provided, That any balances available for the Farm Labor Program Account shall be transferred to and merged with this account.

In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$417,692,000 shall be paid to the appropriation for "Rural Development, Salaries and Expenses".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12-2081-0-1-371     | 2012 actual   | 2013 CR | 2014 est. |
|---|---|---------|-----------|
| <b>Obligations by program activity:</b> |   |         |           |
| 0011                                    | Farm labor housing grants .....                         | 3       | 18 14     |
| Credit program obligations:             |   |         |           |
| 0701                                    | Direct loan subsidy .....                               | 65      | 84 29     |
| 0705                                    | Reestimates of direct loan subsidy .....                | 296     | 202 ..... |
| 0706                                    | Interest on reestimates of direct loan subsidy .....    | 83      | 139 ..... |
| 0707                                    | Reestimates of loan guarantee subsidy .....             | 341     | 664 ..... |
| 0708                                    | Interest on reestimates of loan guarantee subsidy ..... | 55      | 141 ..... |
| 0709                                    | Administrative expenses .....                           | 431     | 433 418   |
| 0791                                    | Direct program activities, subtotal .....               | 1,271   | 1,663 447 |
| 0900                                    | Total new obligations .....                             | 1,274   | 1,681 461 |

**Budgetary Resources:**

|                                |   |       |             |
|--------------------------------|---|-------|-------------|
| Unobligated balance:           |   |       |             |
| 1000                           | Unobligated balance brought forward, Oct 1 .....              | 2     | 23 12       |
| 1001                           | Discretionary unobligated balance brought fwd, Oct 1 .....    | 2     | 23 .....    |
| 1011                           | Unobligated balance transfer from other accts [12-1954] ..... | 4     | .....       |
| 1021                           | Recoveries of prior year unpaid obligations .....             | 7     | 10 .....    |
| 1050                           | Unobligated balance (total) .....                             | 13    | 33 12       |
| Budget authority:              |   |       |             |
| Appropriations, discretionary: |   |       |             |
| 1100                           | Appropriation .....   | 511   | 514 450     |
| 1120                           | Appropriations transferred to other accts [12-4609] .....     | -2    | .....       |
| 1160                           | Appropriation, discretionary (total) .....                    | 509   | 514 450     |
| Appropriations, mandatory:     |   |       |             |
| 1200                           | Appropriation .....   | 775   | 1,146 ..... |
| 1260                           | Appropriations, mandatory (total) .....                       | 775   | 1,146 ..... |
| 1900                           | Budget authority (total) .....                                | 1,284 | 1,660 450   |
| 1930                           | Total budgetary resources available .....                     | 1,297 | 1,693 462   |
| Memorandum (non-add) entries:  |   |       |             |
| 1941                           | Unexpired unobligated balance, end of year .....              | 23    | 12 1        |

**Change in obligated balance:**

|                     |  |    |         |
|---------------------|--|----|---------|
| Unpaid obligations: |  |    |         |
| 3000                | Unpaid obligations, brought forward, Oct 1 ..... | 94 | 157 157 |

# RURAL HOUSING INSURANCE FUND PROGRAM ACCOUNT—Continued Program and Financing—Continued

| Identification code 12–2081–0–1–371                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 3010 Obligations incurred, unexpired accounts .....                  | 1,274       | 1,681   | 461       |
| 3011 Obligations incurred, expired accounts .....                    | 7           |         |           |
| 3020 Outlays (gross) .....   | –1,311      | –1,671  | –480      |
| 3030 Unpaid obligations transferred to other accts [12–2002] .....   | –2          |         |           |
| 3031 Unpaid obligations transferred from other accts [12–1954] ..... | 110         |         |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –7          | –10     |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –8          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 157         | 157     | 138       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 94          | 157     | 157       |
| 3200 Obligated balance, end of year .....                            | 157         | 157     | 138       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 509         | 514     | 450       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 470         | 474     | 429       |
| 4011 Outlays from discretionary balances .....                       | 66          | 51      | 51        |
| 4020 Outlays, gross (total) .....                                    | 536         | 525     | 480       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4033 Non-Federal sources .....                                       | –8          |         |           |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 8           |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 509         | 514     | 450       |
| 4080 Outlays, net (discretionary) .....                              | 528         | 525     | 480       |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 775         | 1,146   |           |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 775         | 1,146   |           |
| 4180 Budget authority, net (total) .....                             | 1,284       | 1,660   | 450       |
| 4190 Outlays, net (total) .....                                      | 1,303       | 1,671   | 480       |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12–2081–0–1–371                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Section 502 Single-Family Housing .....              | 900         | 717     | 360       |
| 115004 Section 515 Multi-Family Housing .....               | 59          | 63      | 28        |
| 115007 Section 504 Housing Repair .....                     | 10          | 10      | 26        |
| 115011 Section 514 Farm Labor Housing .....                 | 3           | 53      | 43        |
| 115012 Section 524 Site Development .....                   |             |         | 5         |
| 115013 Section 523 Self-Help Housing .....                  |             | 5       |           |
| 115014 Single-Family Housing Credit Sales .....             | 1           | 10      | 10        |
| 115999 Total direct loan levels .....                       | 973         | 858     | 472       |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Section 502 Single-Family Housing .....              | 4.73        | 5.97    | 2.72      |
| 132004 Section 515 Multi-Family Housing .....               | 34.12       | 35.17   | 23.41     |
| 132007 Section 504 Housing Repair .....                     | 14.21       | 13.67   | 8.28      |
| 132011 Section 514 Farm Labor Housing .....                 | 34.15       | 33.34   | 23.71     |
| 132012 Section 524 Site Development .....                   |             |         | –5.95     |
| 132013 Section 523 Self-Help Housing .....                  |             | –2.15   |           |
| 132014 Single-Family Housing Credit Sales .....             | –16.85      | –8.97   | –8.97     |
| 132999 Weighted average subsidy rate .....                  | 6.68        | 9.67    | 5.83      |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Section 502 Single-Family Housing .....              | 43          | 43      | 10        |
| 133004 Section 515 Multi-Family Housing .....               | 20          | 22      | 7         |
| 133007 Section 504 Housing Repair .....                     | 1           | 1       | 2         |
| 133011 Section 514 Farm Labor Housing .....                 | 1           | 18      | 10        |
| 133014 Single-Family Housing Credit Sales .....             |             | –1      | –1        |
| 133999 Total subsidy budget authority .....                 | 65          | 83      | 28        |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 Section 502 Single-Family Housing .....              | 40          | 44      | 17        |
| 134002 Section 502 Emergency Supplemental .....             | 6           |         |           |
| 134004 Section 515 Multi-Family Housing .....               | 25          | 32      | 26        |
| 134007 Section 504 Housing Repair .....                     | 2           | 1       | 2         |
| 134011 Section 514 Farm Labor Housing .....                 | 11          | 12      | 17        |
| 134014 Single-Family Housing Credit Sales .....             |             |         | –1        |
| 134999 Total subsidy outlays .....                          | 84          | 89      | 61        |
| Direct loan upward reestimates:                             |             |         |           |
| 135001 Section 502 Single-Family Housing .....              | 371         | 329     |           |
| 135004 Section 515 Multi-Family Housing .....               | 2           | 3       |           |
| 135007 Section 504 Housing Repair .....                     | 4           | 4       |           |
| 135011 Section 514 Farm Labor Housing .....                 | 1           |         |           |

|   |        |        |        |
|---|--------|--------|--------|
| 135012 Section 524 Site Development .....                       |        | 1      |        |
| 135013 Section 523 Self-Help Housing .....                      | 2      |        |        |
| 135014 Single-Family Housing Credit Sales .....                 |        | 3      |        |
| 135999 Total upward reestimate budget authority .....           | 380    | 340    |        |
| Direct loan downward reestimates:                               |        |        |        |
| 137001 Section 502 Single-Family Housing .....                  | –7     | –14    |        |
| 137004 Section 515 Multi-Family Housing .....                   | –12    | –14    |        |
| 137007 Section 504 Housing Repair .....                         | –2     |        |        |
| 137011 Section 514 Farm Labor Housing .....                     | –3     | –6     |        |
| 137012 Section 524 Site Development .....                       | –1     |        |        |
| 137014 Single-Family Housing Credit Sales .....                 | –3     |        |        |
| 137999 Total downward reestimate budget authority .....         | –28    | –34    |        |
| Guaranteed loan levels supportable by subsidy budget authority: |        |        |        |
| 215003 Guaranteed 538 Multi-Family Housing .....                | 103    | 130    | 150    |
| 215011 Guaranteed 502 Single Family Housing .....               | 19,213 | 24,000 | 24,000 |
| 215999 Total loan guarantee levels .....                        | 19,316 | 24,130 | 24,150 |
| Guaranteed loan subsidy (in percent):                           |        |        |        |
| 232003 Guaranteed 538 Multi-Family Housing .....                | –0.06  | –0.04  | –0.19  |
| 232011 Guaranteed 502 Single Family Housing .....               | –0.03  | –0.25  | –0.14  |
| 232999 Weighted average subsidy rate .....                      | –0.03  | –0.25  | –0.14  |
| Guaranteed loan subsidy budget authority:                       |        |        |        |
| 233011 Guaranteed 502 Single Family Housing .....               | –6     | –60    | –34    |
| 233999 Total subsidy budget authority .....                     | –6     | –60    | –34    |
| Guaranteed loan subsidy outlays:                                |        |        |        |
| 234011 Guaranteed 502 Single Family Housing .....               | –12    | –52    | –41    |
| 234999 Total subsidy outlays .....                              | –12    | –52    | –41    |
| Guaranteed loan upward reestimates:                             |        |        |        |
| 235001 Guaranteed 502 Single Family Housing, Purchase .....     | 315    | 561    |        |
| 235002 Guaranteed 502, Refinance .....                          |        | 42     |        |
| 235003 Guaranteed 538 Multi-Family Housing .....                | 20     |        |        |
| 235011 Guaranteed 502 Single Family Housing .....               | 61     | 203    |        |
| 235999 Total upward reestimate budget authority .....           | 396    | 806    |        |
| Guaranteed loan downward reestimates:                           |        |        |        |
| 237001 Guaranteed 502 Single Family Housing, Purchase .....     |        | –2     |        |
| 237002 Guaranteed 502, Refinance .....                          | –12    |        |        |
| 237003 Guaranteed 538 Multi-Family Housing .....                | –28    |        |        |
| 237999 Total downward reestimate subsidy budget authority ..... | –40    | –2     |        |
| Administrative expense data:                                    |        |        |        |
| 3510 Budget authority .....                                     | 431    | 433    | 418    |
| 3590 Outlays from new authority .....                           | 431    | 433    | 418    |

**Rural Housing Insurance Fund.**—This fund was established in 1965 (Public Law 89–117) pursuant to section 517 of title V of the Housing Act of 1949, as amended.

The programs funded through the Rural Housing Insurance Fund Program account are: section 502 single family housing direct loans and loan guarantees; section 504 housing repair loans; section 515 multi-family housing direct loans; section 524 housing site loans, single family and multi-family housing credit sales of acquired property, section 538 multi-family housing guarantees, and section 523 self-help housing land development loan program. In addition, the farm labor housing program was merged with this account in 2012, bringing the 514 farm labor housing loans back into this account along with the 516 farm labor housing grants. The 514 loans were originally funded in this account, but were combined into a flexible funding stream in the farm labor housing grants account in 2001. Maintaining the flexible funding stream in the loan program account allows the administration of the farm labor program to be more efficient and less burdensome.

Loan programs are limited to rural areas that include towns, villages, and other places which are not part of an urban area. These areas have a population not in excess of 2,500 inhabitants, or in excess of 2,500, but not in excess of 10,000 if rural in character, or a population in excess of 10,000 but not more than 20,000. Areas are within a standard metropolitan statistical area and have a serious lack of mortgage credit for low- and moderate-income borrowers.

For 2014, the Budget funds single family housing activities primarily through the Section 502 single family housing guaranteed loan program. The Section 502 single family housing guarantees are requested at a \$24 billion loan level for 2014. The subsidy rate for 2014 is a blended rate of the new/purchase single family housing guarantees with the refinanced single housing guarantees, and with the combination annual and up-front fee structure, the subsidy rate continues to be negative.

The 2014 Budget requests a reduced loan level of \$360 million for Section 502 single family housing direct loans. The 2014 Budget requests a funding level of approximately \$28.4 million for Section 515 multi-family housing loans, \$26.3 million for Section 504 very low-income housing repair loans, \$5 million for Section 524 site development loan, and \$10 million for credit sales of acquired property for single family housing loans. No funding is requested for Section 523 self-help housing land development and credit sales of acquired property for multi-family housing.

The 2014 Budget also requests \$150 million in funding for the multi-family housing guaranteed loan program, and continues to include appropriations language that will allow the program to operate without interest subsidy and with a fee, which removes the main subsidy cost drivers in this program.

In addition, the 2014 Budget includes \$23.8 million in farm labor housing loans and \$8.3 million in farm labor housing grants.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including credit sales of acquired property), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

#### Object Classification (in millions of dollars)

| Identification code 12-2081-0-1-371                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 431         | 433     | 418       |
| 41.0 Grants, subsidies, and contributions .....          | 843         | 1,248   | 43        |
| 99.9 Total new obligations .....                         | 1,274       | 1,681   | 461       |

#### RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 12-4215-0-3-371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0005 Advances on behalf of borrowers .....                      | 74          | 74      | 75        |
| 0006 Other expenses .....                                       | 22          | 22      | 22        |
| 0007 Interest Supplemental Paid to Lenders .....                | 1           | 1       | 1         |
| 0091 Direct Program by Activities - Subtotal (1 level) .....    | 97          | 97      | 98        |
| Credit program obligations:                                     |             |         |           |
| 0710 Direct loan obligations .....                              | 974         | 859     | 472       |
| 0713 Payment of interest to Treasury .....                      | 784         | 802     | 820       |
| 0742 Downward reestimate paid to receipt account .....          | 17          | 27      |           |
| 0743 Interest on downward reestimates .....                     | 11          | 7       |           |
| 0791 Direct program activities, subtotal .....                  | 1,786       | 1,695   | 1,292     |
| 0900 Total new obligations .....                                | 1,883       | 1,792   | 1,390     |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 59          | 103     |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 57          |         |           |
| 1023 Unobligated balances applied to repay debt .....           | -72         | -103    |           |
| 1024 Unobligated balance of borrowing authority withdrawn ..... | -44         |         |           |

|   |       |       |       |
|---|-------|-------|-------|
| Financing authority:  |       |       |       |
| Borrowing authority, mandatory:   |       |       |       |
| 1400 Borrowing authority .....  | 1,095 | 75    |       |
| 1440 Borrowing authority, mandatory (total) .....                               | 1,095 | 75    |       |
| Spending authority from offsetting collections, mandatory:                      |       |       |       |
| 1800 Collected .....  | 1,761 | 1,762 | 1,431 |
| 1801 Change in uncollected payments, Federal sources .....                      | -35   | -45   | -29   |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -835  |       | -12   |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 891   | 1,717 | 1,390 |
| 1900 Financing authority (total) .....  | 1,986 | 1,792 | 1,390 |
| 1930 Total budgetary resources available .....                                  | 1,986 | 1,792 | 1,390 |
| Memorandum (non-add) entries:   |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....                           | 103   |       |       |

#### Change in obligated balance:

|  |        |        |        |
|--|--------|--------|--------|
| Unpaid obligations:  |        |        |        |
| 3000 Unpaid obligations, fund balance with Treasury, start of year ..... | 615    | 486    | 497    |
| 3010 Obligations incurred, unexpired accounts .....                      | 1,883  | 1,792  | 1,390  |
| 3020 Financing disbursements (gross) .....                               | -1,955 | -1,781 | -1,517 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....        | -57    |        |        |
| 3050 Unpaid obligations, end of year .....                               | 486    | 497    | 370    |
| Uncollected payments:  |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....        | -143   | -108   | -63    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....           | 35     | 45     | 29     |
| 3090 Uncollected pymts, Fed sources, end of year .....                   | -108   | -63    | -34    |
| Memorandum (non-add) entries:  |        |        |        |
| 3100 Obligated balance, start of year .....                              | 472    | 378    | 434    |
| 3200 Obligated balance, end of year .....                                | 378    | 434    | 336    |

#### Financing authority and disbursements, net:

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:  |        |        |        |
| 4090 Financing authority, gross .....                                     | 1,986  | 1,792  | 1,390  |
| Financing disbursements:  |        |        |        |
| 4110 Financing disbursements, gross .....                                 | 1,955  | 1,781  | 1,517  |
| Offsets against gross financing authority and disbursements:              |        |        |        |
| Offsetting collections (collected) from:                                  |        |        |        |
| 4120 Federal sources: payments from program account .....                 | -464   | -428   | -62    |
| 4122 Interest on uninvested funds .....                                   | -61    | -66    | -68    |
| 4123 Non-Federal sources: Repayments of principal .....                   | -619   | -651   | -656   |
| 4123 Interest received on loans .....                                     | -556   | -557   | -585   |
| 4123 Payments on judgments .....  | -11    | -8     | -8     |
| 4123 Proceeds on sale of acquired property .....                          | -28    | -30    | -30    |
| 4123 Recaptured income .....  | -10    | -10    | -10    |
| 4123 Fees .....   | -11    | -10    | -10    |
| 4123 Miscellaneous collections .....                                      | -1     | -2     | -2     |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -1,761 | -1,762 | -1,431 |
| Additional offsets against financing authority only (total):              |        |        |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 35     | 45     | 29     |
| 4160 Financing authority, net (mandatory) .....                           | 260    | 75     | -12    |
| 4170 Financing disbursements, net (mandatory) .....                       | 194    | 19     | 86     |
| 4180 Financing authority, net (total) .....                               | 260    | 75     | -12    |
| 4190 Financing disbursements, net (total) .....                           | 194    | 19     | 86     |

#### Status of Direct Loans (in millions of dollars)

| Identification code 12-4215-0-3-371                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 974         | 859     | 472       |
| 1150 Total direct loan obligations .....                               | 974         | 859     | 472       |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 17,400      | 17,677  | 17,867    |
| Disbursements:   |             |         |           |
| 1231 Direct loan disbursements .....                                   | 1,049       | 848     | 599       |
| 1232 Purchase of loans assets from the public .....                    | 6           |         |           |
| Repayments:  |             |         |           |
| 1251 Repayments and prepayments .....                                  | -650        | -651    | -656      |
| 1252 Proceeds from loan asset sales to the public or discounted .....  | -60         |         |           |
| Adjustments:   |             |         |           |
| 1261 Capitalized interest .....  | 29          | 20      | 20        |
| 1262 Discount on loan asset sales to the public or discounted .....    | -1          |         |           |
| Write-offs for default:  |             |         |           |
| 1263 Direct loans .....  | -14         | -27     | -27       |
| 1264 Other adjustments, net (+ or -) .....                             | -82         |         |           |
| 1290 Outstanding, end of year .....                                    | 17,677      | 17,867  | 17,803    |

RURAL HOUSING INSURANCE FUND DIRECT LOAN FINANCING  
ACCOUNT—Continued

This account reflects the financing for direct rural housing loans for section the 502 very low- and low-to-moderate-income home ownership loan program; section 504 very low- income housing repair loan program; section 514 domestic farm labor housing loan program; section 515 rural rental housing loan program; sections 523 self-help housing loans, and 524 site development loans; and single family and multi-family housing credit sales of acquired property.

Loan programs are limited to rural areas that include towns, villages and other places which are not part of an urban area. These areas have a population not in excess of 2,500 inhabitants, or in excess of 2,500, but not in excess of 10,000 if rural in character, or a population in excess of 10,000, but not more than 20,000. Areas are not within a standard metropolitan statistical area and have a serious lack of mortgage credit for low- and moderate-income borrowers.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond including credit sales of acquired property. The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 12-4215-0-3-371                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| <b>Federal assets:</b>  |             |             |
| 1101 Fund balances with Treasury .....                            | 198         | 341         |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 253         | 219         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 17,400      | 17,677      |
| 1402 Interest receivable .....                                    | 193         | 205         |
| 1404 Foreclosed property .....                                    | 56          | 62          |
| 1405 Allowance for subsidy cost (-) .....                         | -2,553      | -2,608      |
| 1499 Net present value of assets related to direct loans .....    | 15,096      | 15,336      |
| 1999 Total assets .....   | 15,547      | 15,896      |
| <b>LIABILITIES:</b>   |             |             |
| <b>Federal liabilities:</b>                                       |             |             |
| 2103 Debt .....   | 13,834      | 15,841      |
| 2105 Other .....  | 1,676       | 27          |
| 2201 Non-Federal liabilities: Accounts payable .....              | 37          | 28          |
| 2999 Total liabilities .....                                      | 15,547      | 15,896      |
| 4999 Total liabilities and net position .....                     | 15,547      | 15,896      |

## RURAL HOUSING INSURANCE FUND GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-4216-0-3-371                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0003 Interest assistance paid to lenders .....         | 8           | 8       | 8         |
| <b>Credit program obligations:</b>                     |             |         |           |
| 0711 Default claim payments on principal .....         | 497         | 501     | 586       |
| 0713 Payment of interest to Treasury .....             | 1           |         |           |
| 0740 Negative subsidy obligations .....                | 7           | 60      | 34        |
| 0742 Downward reestimate paid to receipt account ..... | 29          | 2       |           |
| 0743 Interest on downward reestimates .....            | 11          |         |           |
| 0791 Direct program activities, subtotal .....         | 545         | 563     | 620       |
| 0900 Total new obligations .....                       | 553         | 571     | 628       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| <b>Unobligated balance:</b>                            |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2,030       | 2,370   | 3,193     |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           |         |           |

|  |       |       |       |
|--|-------|-------|-------|
| 1023 Unobligated balances applied to repay debt .....              | -3    |       |       |
| 1050 Unobligated balance (total) .....                             | 2,028 | 2,370 | 3,193 |
| <b>Financing authority:</b>  |       |       |       |
| <b>Borrowing authority, mandatory:</b>                             |       |       |       |
| 1400 Borrowing authority .....                                     | 7     |       |       |
| 1440 Borrowing authority, mandatory (total) .....                  | 7     |       |       |
| <b>Spending authority from offsetting collections, mandatory:</b>  |       |       |       |
| 1800 Collected .....   | 882   | 1,394 | 803   |
| 1801 Change in uncollected payments, Federal sources .....         | 6     |       |       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 888   | 1,394 | 803   |
| 1900 Financing authority (total) .....                             | 895   | 1,394 | 803   |
| 1930 Total budgetary resources available .....                     | 2,923 | 3,764 | 3,996 |
| <b>Memorandum (non-add) entries:</b>                               |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 2,370 | 3,193 | 3,368 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| <b>Unpaid obligations:</b>  |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 6    | 1    | 1    |
| 3010 Obligations incurred, unexpired accounts .....               | 553  | 571  | 628  |
| 3020 Financing disbursements (gross) .....                        | -557 | -571 | -628 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 1    | 1    | 1    |
| <b>Uncollected payments:</b>                                      |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |      | -6   | -6   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -6   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -6   | -6   | -6   |
| <b>Memorandum (non-add) entries:</b>                              |      |      |      |
| 3100 Obligated balance, start of year .....                       | 6    | -5   | -5   |
| 3200 Obligated balance, end of year .....                         | -5   | -5   | -5   |

## Financing authority and disbursements, net:

|   |      |        |      |
|---|------|--------|------|
| <b>Mandatory:</b>   |      |        |      |
| 4090 Financing authority, gross .....                                     | 895  | 1,394  | 803  |
| <b>Financing disbursements:</b>   |      |        |      |
| 4110 Financing disbursements, gross .....                                 | 557  | 571    | 628  |
| <b>Offsets against gross financing authority and disbursements:</b>       |      |        |      |
| <b>Offsetting collections (collected) from:</b>                           |      |        |      |
| 4120 Federal sources .....  | -388 | -805   |      |
| 4122 Interest on uninvested funds .....                                   | -57  | -137   | -266 |
| 4123 Non-Federal sources: guarantee fees .....                            | -416 | -432   | -516 |
| 4123 Repayments of Principal .....  | -14  | -14    | -15  |
| 4123 Non-Federal sources .....  | -5   | -4     | -4   |
| 4123 Interest Received on Loans .....                                     | -2   | -2     | -2   |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -882 | -1,394 | -803 |
| <b>Additional offsets against financing authority only (total):</b>       |      |        |      |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -6   |        |      |
| 4160 Financing authority, net (mandatory) .....                           | 7    |        |      |
| 4170 Financing disbursements, net (mandatory) .....                       | -325 | -823   | -175 |
| 4180 Financing authority, net (total) .....                               | 7    |        |      |
| 4190 Financing disbursements, net (total) .....                           | -325 | -823   | -175 |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 12-4216-0-3-371  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Position with respect to appropriations act limitation on commitments:</b>            |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....                            | 19,316      | 24,130  | 24,150    |
| 2150 Total guaranteed loan commitments .....   | 19,316      | 24,130  | 24,150    |
| 2199 Guaranteed amount of guaranteed loan commitments .....                              | 17,384      | 21,717  | 21,735    |
| <b>Cumulative balance of guaranteed loans outstanding:</b>                               |             |         |           |
| 2210 Outstanding, start of year .....  | 61,985      | 75,841  | 88,794    |
| 2231 Disbursements of new guaranteed loans .....   | 18,802      | 20,659  | 24,675    |
| 2251 Repayments and prepayments .....  | -4,385      | -7,205  | -8,435    |
| 2263 Adjustments: Terminations for default that result in claim payments .....           | -561        | -501    | -586      |
| 2290 Outstanding, end of year .....  | 75,841      | 88,794  | 104,448   |
| <b>Memorandum:</b>   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....                | 55,787      | 80,057  | 80,783    |
| <b>Addendum:</b>   |             |         |           |
| <b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b> |             |         |           |
| 2310 Outstanding, start of year .....  | 414         | 721     | 850       |
| 2331 Disbursements for guaranteed loan claims .....                                      | 369         | 267     | 269       |
| 2351 Repayments of loans receivable .....  | -15         | -13     | -13       |

|      |                                      |     |      |      |
|------|--------------------------------------|-----|------|------|
| 2361 | Write-offs of loans receivable ..... | -47 | -125 | -126 |
| 2390 | Outstanding, end of year .....       | 721 | 850  | 980  |

This account finances the guaranteed section 502 low-to-moderate-income home ownership loan program as well as the re-financings of those loans and the section 538 guaranteed multi-family housing loan program. The guaranteed programs enable the Rural Housing Service to utilize private sector resources for the making and servicing of loans while the Agency provides a financial guarantee to encourage private sector activity.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loan commitments made in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4216-0-3-371  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets:  |             |             |
| 1101 Fund balances with Treasury .....   | 2,030       | 2,362       |
| Investments in US securities:  |             |             |
| 1106 Receivables, net .....  | 396         | 763         |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 414         | 721         |
| 1502 Interest receivable .....   |             | 1           |
| 1505 Allowance for subsidy cost (-) .....  | -176        | -415        |
| 1505 Currently not collectible (-) .....   | -238        | -297        |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             |             | 10          |
| 1999 Total assets .....  | 2,426       | 3,135       |
| <b>LIABILITIES:</b>  |             |             |
| Federal liabilities:   |             |             |
| 2103 Debt .....  | 6           | 12          |
| 2104 Resources payable to Treasury .....   | 39          | 2           |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees .....                      | 2,381       | 3,121       |
| 2999 Total liabilities .....   | 2,426       | 3,135       |
| 4999 Total liabilities and net position .....  | 2,426       | 3,135       |

**RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12-4141-0-3-371   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0107 Other costs incident to loans .....  | 33          | 29      | 26        |
| 0900 Total new obligations (object class 25.2) .....  | 33          | 29      | 26        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 42          | 54      |           |
| 1021 Recoveries of prior year unpaid obligations .....  | 7           |         |           |
| 1022 Capital transfer of unobligated balances to general fund .....                           | -48         | -54     |           |
| 1050 Unobligated balance (total) .....  | 1           |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 620         | 581     | 542       |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -534        | -552    | -516      |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 86          | 29      | 26        |
| 1930 Total budgetary resources available .....  | 87          | 29      | 26        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 54          |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid fund balance with treasury, end of year .....                                     | 24          | 31      | 23        |
| 3010 Obligations incurred, unexpired accounts .....   | 33          | 29      | 26        |
| 3020 Outlays (gross) .....  | -19         | -37     | -32       |

|                               |  |    |    |    |
|-------------------------------|--|----|----|----|
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -7 |    |    |
| 3050                          | Unpaid obligations, end of year .....                        | 31 | 23 | 17 |
| Memorandum (non-add) entries: |  |    |    |    |
| 3100                          | Obligated balance, start of year .....                       | 24 | 31 | 23 |
| 3200                          | Obligated balance, end of year .....                         | 31 | 23 | 17 |

**Budget authority and outlays, net:**

|   |   |      |      |      |
|---|---|------|------|------|
| Mandatory:  |   |      |      |      |
| 4090  | Budget authority, gross .....                                   | 86   | 29   | 26   |
| Outlays, gross:                                     |   |      |      |      |
| 4100  | Outlays from new mandatory authority .....                      | 16   | 29   | 26   |
| 4101  | Outlays from mandatory balances .....                           | 3    | 8    | 6    |
| 4110  | Outlays, gross (total) .....                                    | 19   | 37   | 32   |
| Offsets against gross budget authority and outlays: |   |      |      |      |
| Offsetting collections (collected) from:            |   |      |      |      |
| 4120  | Federal sources .....   | -31  |      |      |
| 4123  | Non-Federal sources .....                                       | -589 | -581 | -542 |
| 4130  | Offsets against gross budget authority and outlays (total) .... | -620 | -581 | -542 |
| 4160  | Budget authority, net (mandatory) .....                         | -534 | -552 | -516 |
| 4170  | Outlays, net (mandatory) .....                                  | -601 | -544 | -510 |
| 4180  | Budget authority, net (total) .....                             | -534 | -552 | -516 |
| 4190  | Outlays, net (total) .....                                      | -601 | -544 | -510 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 12-4141-0-3-371               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 8,964       | 8,560   | 8,197     |
| 1251 Repayments: Repayments and prepayments ..... | -310        | -301    | -301      |
| 1261 Adjustments: Capitalized interest .....      | 4           | 4       | 4         |
| Write-offs for default:                           |             |         |           |
| 1263 Direct loans .....                           | -28         | -29     | -29       |
| 1264 Other adjustments, net (+ or -) .....        | -70         | -37     | -37       |
| 1290 Outstanding, end of year .....               | 8,560       | 8,197   | 7,834     |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 12-4141-0-3-371                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 2           | 2       | 2         |
| 2251 Repayments and prepayments .....                                     |             |         |           |
| 2290 Outstanding, end of year .....                                       | 2           | 2       | 2         |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 2           | 2       | 2         |

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. New loan activity in 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4141-0-3-371                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 101         | 122         |
| 1601 Direct loans, gross .....  | 8,964       | 8,560       |
| 1602 Interest receivable .....  | 698         | 698         |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -4,901      | -4,451      |
| 1604 Direct loans and interest receivable, net .....                    | 4,761       | 4,807       |
| 1606 Foreclosed property .....  | 33          | 32          |
| 1699 Value of assets related to direct loans .....                      | 4,794       | 4,839       |
| 1901 Other Federal assets: Other assets .....                           | 3           | 3           |
| 1999 Total assets .....   | 4,898       | 4,964       |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 4,884       | 4,971       |
| 2207 Non-Federal liabilities: Other .....                               | 14          | -7          |
| 2999 Total liabilities .....  | 4,898       | 4,964       |

RURAL HOUSING INSURANCE FUND LIQUIDATING ACCOUNT—Continued  
Balance Sheet—Continued

| Identification code 12–4141–0–3–371           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 4999 Total liabilities and net position ..... | 4,898       | 4,964       |

## RURAL BUSINESS—COOPERATIVE SERVICE

## Federal Funds

## ENERGY ASSISTANCE PAYMENTS

## Program and Financing (in millions of dollars)

| Identification code 12–2073–0–1–452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0010 Energy Assistance Payments .....                           | 40          | 41      | 57        |
| 0900 Total new obligations (object class 41.0) .....            | 40          | 41      | 57        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 73          | 98      | 52        |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | .....       | –40     | .....     |
| 1050 Unobligated balance (total) .....                          | 73          | 58      | 52        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1134 Appropriations precluded from obligation .....             | .....       | –45     | .....     |
| 1160 Appropriation, discretionary (total) .....                 | .....       | –45     | .....     |
| Appropriations, mandatory:                                      |             |         |           |
| 1221 Appropriations transferred from other accts [12–4336] .... | 65          | 80      | 45        |
| 1260 Appropriations, mandatory (total) .....                    | 65          | 80      | 45        |
| 1900 Budget authority (total) .....                             | 65          | 35      | 45        |
| 1930 Total budgetary resources available .....                  | 138         | 93      | 97        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 98          | 52      | 40        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 2           | 2       | 12        |
| 3010 Obligations incurred, unexpired accounts .....             | 40          | 41      | 57        |
| 3020 Outlays (gross) .....                                      | –40         | –31     | –69       |
| 3050 Unpaid obligations, end of year .....                      | 2           | 12      | .....     |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 2           | 2       | 12        |
| 3200 Obligated balance, end of year .....                       | 2           | 12      | .....     |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | .....       | –45     | .....     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | .....       | –34     | .....     |
| 4011 Outlays from discretionary balances .....                  | .....       | .....   | –11       |
| 4020 Outlays, gross (total) .....                               | .....       | –34     | –11       |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 65          | 80      | 45        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 35          | 60      | 34        |
| 4101 Outlays from mandatory balances .....                      | 5           | 5       | 46        |
| 4110 Outlays, gross (total) .....                               | 40          | 65      | 80        |
| 4180 Budget authority, net (total) .....                        | 65          | 35      | 45        |
| 4190 Outlays, net (total) .....                                 | 40          | 31      | 69        |

The purpose of the Bioenergy Program for Advanced Biofuels is to provide payments to eligible agricultural producers to support and ensure an expanding production of advanced biofuels. This program is authorized pursuant to section 9005 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation, the Energy Act of 2008 and the American Taxpayer Relief Act of 2012. The account also includes funding for Repowering Assistance payments. The purpose of this program is to encourage biorefineries to replace fossil fuel used to produce heat or power to operate the biorefineries. This program was

authorized pursuant to section 9004 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation, and Energy Act of 2008 and the American Relief Act of 2012. The Budget does not request discretionary funding in 2014 for either program.

## RURAL BUSINESS AND COOPERATIVE GRANTS

*For the cost of grants to support projects that provide technical and financial assistance to assist small and emerging private businesses and cooperatives in rural areas based on a standard for private sector growth proposed by the grantee, \$55,000,000, which shall remain available through September 30, 2015: Provided, That the Secretary shall establish minimum performance standards that a grantee's plan must meet to be eligible for assistance: Provided further, That if a grantee does meet the grantee's proposed standard for a fiscal year shall not be eligible for funding for the subsequent fiscal year: Provided further, That the Secretary will award additional points for projects that serve communities with exceptional needs as measured by socioeconomic indicators, as established by the Secretary.*

## Program and Financing (in millions of dollars)

| Identification code 12–0406–0–1–452                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0010 Rural Business and Cooperative Grants .....     | .....       | .....   | 55        |
| 0900 Total new obligations (object class 41.0) ..... | .....       | .....   | 55        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | .....       | .....   | 55        |
| 1160 Appropriation, discretionary (total) .....      | .....       | .....   | 55        |
| 1930 Total budgetary resources available .....       | .....       | .....   | 55        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | .....       | .....   | 55        |
| 3020 Outlays (gross) .....                           | .....       | .....   | –1        |
| 3050 Unpaid obligations, end of year .....           | .....       | .....   | 54        |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            | .....       | .....   | 54        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | .....       | .....   | 55        |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | .....       | .....   | 1         |
| 4180 Budget authority, net (total) .....             | .....       | .....   | 55        |
| 4190 Outlays, net (total) .....                      | .....       | .....   | 1         |

The President's budget proposes a new economic development grant program designed to target small and emerging private businesses and cooperatives in rural areas with populations of 50,000 or less. This new program will award funding to grantees that meet or exceed minimum performance targets, and that agree to be tracked against those performance targets. Additional points will be awarded to communities that meet the minimum performance targets and have exceptional need as measured by socioeconomic indicators, established by the Secretary. Because the new program will improve upon the agency's current grant allocation and evaluation process, the President's Budget does not provide funding to the following programs: Rural Business Enterprise Grants, Rural Business Opportunity Grants, Rural Cooperative Development Grants, Small/Socially Disadvantaged Producer Grants, Rural Microenterprise Assistance Grants, and Rural Community Development Initiative Grants. The consolidated rural business and cooperative grant authority will allow the Agency to better promote economic development through regional planning, and by leveraging resources to create greater

wealth, improve quality of life, and sustain and grow the regional economy. The Department plans to set up the new platform for the Rural Business and Cooperative Grants Program under a Notice of Funding Availability. For 2014, \$55 million is available for the program to remain available until September 30, 2015.

#### RURAL COOPERATIVE DEVELOPMENT GRANTS

*For rural cooperative development grants authorized under section 310B(e) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932), \$17,250,000, of which \$2,250,000 shall be for cooperative agreements for the appropriate technology transfer for rural areas program; and of which \$15,000,000, to remain available until expended, shall be for value-added agricultural product market development grants, as authorized by section 231 of the Agricultural Risk Protection Act of 2000 (7 U.S.C. 1621 note).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12–1900–0–1–452                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0001 Rural Cooperative Development Grants .....                       | 9           | 9       | .....     |
| 0011 Value Added Agricultural Product Marketing (discretionary) ..... | 39          | 30      | 19        |
| 0012 Appropriate Technology Transfer for Rural Areas .....            | 2           | 2       | 2         |
| 0013 Value Added Agricultural Product Marketing (mandatory) .....     | 1           | 1       | .....     |
| 0900 Total new obligations (object class 41.0) .....                  | 51          | 42      | 21        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 41          | 17      | 18        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....       | 40          | 17      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                | 2           | 18      | .....     |
| 1050 Unobligated balance (total) .....                                | 43          | 35      | 18        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 25          | 25      | 17        |
| 1160 Appropriation, discretionary (total) .....                       | 25          | 25      | 17        |
| 1900 Budget authority (total) .....                                   | 25          | 25      | 17        |
| 1930 Total budgetary resources available .....                        | 68          | 60      | 35        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                 | 17          | 18      | 14        |
| <b>Change in obligated balance:</b>                                   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                 | 34          | 56      | 40        |
| 3010 Obligations incurred, unexpired accounts .....                   | 51          | 42      | 21        |
| 3020 Outlays (gross) .....  | –27         | –40     | –43       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....     | –2          | –18     | .....     |
| 3050 Unpaid obligations, end of year .....                            | 56          | 40      | 18        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                           | 34          | 56      | 40        |
| 3200 Obligated balance, end of year .....                             | 56          | 40      | 18        |
| <b>Budget authority and outlays, net:</b>                             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                    | 25          | 25      | 17        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                   | 7           | 3       | 3         |
| 4011 Outlays from discretionary balances .....                        | 16          | 34      | 39        |
| 4020 Outlays, gross (total) .....                                     | 23          | 37      | 42        |
| Mandatory:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                            | 4           | 3       | 1         |
| 4180 Budget authority, net (total) .....                              | 25          | 25      | 17        |
| 4190 Outlays, net (total) .....                                       | 27          | 40      | 43        |

Grants for rural cooperative development were authorized under section 310B(e) of the Consolidated Farm and Rural Development Act by Public Law 104–127, April 4, 1996. These grants are made

available to nonprofit corporations and institutions of higher education to fund the establishment and operation of centers for rural cooperative development. In 2006, the Rural Business Service began a separate solicitation for the Small Minority Producer grants. These grants provide assistance to small, minority producers through cooperatives and associations of cooperatives. The Budget does not propose funding for these programs. Instead, these activities will be part of a new economic development grant program designed to target small and emerging private businesses and cooperatives in rural areas with populations of 50,000 or less. The new Rural Business and Cooperative Grants program will award funding to grantees that meet or exceed minimum performance targets, and that agree to be tracked against those performance targets. Additional points will be awarded to communities that meet the minimum performance targets and have exceptional need as measured by socioeconomic indicators, established by the Secretary. The new program will improve upon the agency's current grant allocation and evaluation process. The Appropriate Technology Transfer to Rural Areas (ATTRA) program was first authorized by the Food Security Act of 1985. The program provides information and technical assistance to agricultural producers to adopt sustainable agricultural practices that are environmentally friendly and lower production costs. The 2014 Budget requests \$2.25 million for ATTRA.

Additionally, USDA provides Value-Added Marketing Grants for producers of agricultural commodities. These grants can be used for planning activities and for working capital for marketing value-added agricultural products. The Budget requests to fund the program at \$15 million.

#### RURAL ECONOMIC DEVELOPMENT GRANTS

#### Program and Financing (in millions of dollars)

| Identification code 12–3105–0–1–452  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Rural economic development grants .....   | 10          | 10      | 10        |
| 0002 Subsidy .....   | 4           | 4       | 3         |
| 0900 Total new obligations (object class 41.0) .....                                       | 14          | 14      | 13        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                      | 171         | 200     | 224       |
| 1021 Recoveries of prior year unpaid obligations .....                                     | 1           | 3       | .....     |
| 1050 Unobligated balance (total) .....   | 172         | 203     | 224       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced .....                       | .....       | –155    | –155      |
| 1160 Appropriation, discretionary (total) .....  | .....       | –155    | –155      |
| Appropriations, mandatory:   |             |         |           |
| 1230 Appropriations and/or unobligated balance of appropriations permanently reduced ..... | –155        | .....   | .....     |
| 1260 Appropriations, mandatory (total) .....   | –155        | .....   | .....     |
| Spending authority from offsetting collections, mandatory:                                 |             |         |           |
| 1800 Collected .....   | 197         | 190     | 166       |
| 1850 Spending auth from offsetting collections, mand (total) .....                         | 197         | 190     | 166       |
| 1900 Budget authority (total) .....  | 42          | 35      | 11        |
| 1930 Total budgetary resources available .....   | 214         | 238     | 235       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                      | 200         | 224     | 222       |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                      | 11          | 14      | 10        |
| 3010 Obligations incurred, unexpired accounts .....  | 14          | 14      | 13        |
| 3020 Outlays (gross) .....   | –10         | –15     | –12       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                          | –1          | –3      | .....     |

RURAL ECONOMIC DEVELOPMENT GRANTS—Continued  
Program and Financing—Continued

| Identification code 12–3105–0–1–452                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 3050 Unpaid obligations, end of year .....                           | 14          | 10      | 11        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –15         | –15     | –15       |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –15         | –15     | –15       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | –4          | –1      | –5        |
| 3200 Obligated balance, end of year .....                            | –1          | –5      | –4        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   |             | –155    | –155      |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 42          | 190     | 166       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 10          | 6       | 5         |
| 4101 Outlays from mandatory balances .....                           |             | 9       | 7         |
| 4110 Outlays, gross (total) .....                                    | 10          | 15      | 12        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Cushion of Credit Payments .....                                | –187        | –180    | –156      |
| 4123 Guaranteed Underwriter Fees .....                               | –10         | –10     | –10       |
| 4130 Offsets against gross budget authority and outlays (total) .... | –197        | –190    | –166      |
| 4160 Budget authority, net (mandatory) .....                         | –155        |         |           |
| 4170 Outlays, net (mandatory) .....                                  | –187        | –175    | –154      |
| 4180 Budget authority, net (total) .....                             | –155        | –155    | –155      |
| 4190 Outlays, net (total) .....                                      | –187        | –175    | –154      |

This grant program is authorized under section 313 of the Rural Electrification Act, as amended, and provides funds for the purpose of promoting rural economic development and job creation projects, including funding for project feasibility studies, start-up costs, incubator projects and other expenses for the purpose of fostering rural development.

Funding for this program is provided from the interest differential on Rural Utilities Service borrowers' "cushion of credit" accounts. The Budget proposes a cancellation of \$155 million from the "cushion of credit" account in 2014. The Budget proposes \$10 million for rural economic development grants and \$2.8 million for loan subsidy. This subsidy maintains the 2013 loan level at \$33.077 million.

## RURAL MICROENTERPRISE INVESTMENT PROGRAM ACCOUNT

For the cost of loans, \$1,405,000, under the same terms and conditions as authorized by section 379E of the Consolidated Farm and Rural Development Act (7 U.S.C. 2008s): Provided, That such costs of loans, including the cost of modifying such loans, shall be as defined by section 502 of the Congressional Budget Act of 1974.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–1955–0–1–452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0011 Grants .....                                     | 1           |         | 1         |
| Credit program obligations:                           |             |         |           |
| 0701 Direct loan subsidy .....                        |             |         | 3         |
| 0900 Total new obligations (object class 41.0) .....  | 1           |         | 4         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           |         |           |

Budget authority:  
Appropriations, discretionary:

|   |    |  |   |
|---|----|--|---|
| 1100 Appropriation .....  |    |  | 1 |
| 1134 Appropriations precluded from obligation .....             | –3 |  |   |
| 1160 Appropriation, discretionary (total) .....                 | –3 |  | 1 |
| Appropriations, mandatory:                                      |    |  |   |
| 1221 Appropriations transferred from other accts [12–4336] .... | 3  |  | 3 |
| 1260 Appropriations, mandatory (total) .....                    | 3  |  | 3 |
| 1900 Budget authority (total) .....                             |    |  | 4 |
| 1930 Total budgetary resources available .....                  | 1  |  | 4 |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 13 | 10 | 8  |
| 3010 Obligations incurred, unexpired accounts .....   | 1  |    | 4  |
| 3020 Outlays (gross) .....                            | –4 | –2 | –2 |
| 3050 Unpaid obligations, end of year .....            | 10 | 8  | 10 |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           | 13 | 10 | 8  |
| 3200 Obligated balance, end of year .....             | 10 | 8  | 10 |

## Budget authority and outlays, net:

|  |    |   |    |
|--|----|---|----|
| Discretionary:                                 |    |   |    |
| 4000 Budget authority, gross .....             | –3 |   | 1  |
| Outlays, gross:                                |    |   |    |
| 4011 Outlays from discretionary balances ..... | 1  |   | –2 |
| Mandatory:                                     |    |   |    |
| 4090 Budget authority, gross .....             | 3  |   | 3  |
| Outlays, gross:                                |    |   |    |
| 4101 Outlays from mandatory balances .....     | 3  | 2 | 4  |
| 4180 Budget authority, net (total) .....       |    |   | 4  |
| 4190 Outlays, net (total) .....                | 4  | 2 | 2  |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12–1955–0–1–452                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Rural Microenterprise Direct Loans .....             |             |         | 46        |
| 115999 Total direct loan levels .....                       |             |         | 46        |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Rural Microenterprise Direct Loans .....             |             |         | 6.26      |
| 132999 Weighted average subsidy rate .....                  |             |         | 6.26      |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Rural Microenterprise Direct Loans .....             |             |         | 3         |
| 133999 Total subsidy budget authority .....                 |             |         | 3         |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 Rural Microenterprise Direct Loans .....             | 1           | 1       | 1         |
| 134999 Total subsidy outlays .....                          | 1           | 1       | 1         |

This program provides microentrepreneurs with the skills necessary to establish new rural microenterprises, as well as support these types of businesses with technical and financial assistance. The program provides loans and grants to intermediaries that assist microentrepreneurs. For 2014 the Budget requests \$1.4 million in discretionary funds to support a loan level of \$22.4 million. The program is authorized pursuant to section 6022 of the Food, Conservation, and Energy Act of 2008, and the American Taxpayer Relief Act 2012.

## RURAL MICROENTERPRISE INVESTMENT DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12–4354–0–3–452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| Credit program obligations:                           |             |         |           |
| 0710 Direct loan obligations .....                    |             |         | 46        |
| 0900 Total new obligations .....                      |             |         | 46        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           |         |           |



|  |   |    |    |    |
|--|---|----|----|----|
| 1020   | Adjustment of unobligated bal brought forward, Oct 1 .....    | -1 |    |    |
| 1021   | Recoveries of prior year unpaid obligations .....             | 1  |    |    |
| 1023   | Unobligated balances applied to repay debt .....              | -1 |    |    |
| 1024   | Unobligated balance of borrowing authority withdrawn .....    | -1 |    |    |
| Financing authority:                                       |   |    |    |    |
| Borrowing authority, mandatory:                            |   |    |    |    |
| 1400   | Borrowing authority .....                                     |    |    | 42 |
| 1440   | Borrowing authority, mandatory (total) .....                  |    |    | 42 |
| Spending authority from offsetting collections, mandatory: |   |    |    |    |
| 1800   | Collected .....   | 1  | 1  | 3  |
| 1801   | Change in uncollected payments, Federal sources .....         | -1 | -1 | 1  |
| 1850   | Spending auth from offsetting collections, mand (total) ..... |    |    | 4  |
| 1900   | Financing authority (total) .....                             |    |    | 46 |
| 1930   | Total budgetary resources available .....                     |    |    | 46 |

**Change in obligated balance:**

|                               |  |    |    |    |
|-------------------------------|--|----|----|----|
| Unpaid obligations:           |  |    |    |    |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 31 | 23 | 15 |
| 3010                          | Obligations incurred, unexpired accounts .....               |    |    | 46 |
| 3020                          | Financing disbursements (gross) .....                        | -7 | -8 | -7 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -1 |    |    |
| 3050                          | Unpaid obligations, end of year .....                        | 23 | 15 | 54 |
| Uncollected payments:         |  |    |    |    |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -5 | -4 | -3 |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 1  | 1  | -1 |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -4 | -3 | -4 |
| Memorandum (non-add) entries: |  |    |    |    |
| 3100                          | Obligated balance, start of year .....                       | 26 | 19 | 12 |
| 3200                          | Obligated balance, end of year .....                         | 19 | 12 | 50 |

**Financing authority and disbursements, net:**

|  |  |    |    |    |
|--|--|----|----|----|
| Mandatory:   |  |    |    |    |
| 4090   | Financing authority, gross .....                                     |    |    | 46 |
| Financing disbursements:                                     |  |    |    |    |
| 4110   | Financing disbursements, gross .....                                 | 7  | 8  | 7  |
| Offsets against gross financing authority and disbursements: |  |    |    |    |
| Offsetting collections (collected) from:                     |  |    |    |    |
| 4120   | Federal sources .....  | -1 | -1 | -1 |
| 4123   | Repayments of Loan Principal .....                                   |    |    | -1 |
| 4123   | Repayments of Loan Interest .....                                    |    |    | -1 |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -1 | -1 | -3 |
| Additional offsets against financing authority only (total): |  |    |    |    |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....            | 1  | 1  | -1 |
| 4160   | Financing authority, net (mandatory) .....                           |    |    | 42 |
| 4170   | Financing disbursements, net (mandatory) .....                       | 6  | 7  | 4  |
| 4180   | Financing authority, net (total) .....                               |    |    | 42 |
| 4190   | Financing disbursements, net (total) .....                           | 6  | 7  | 4  |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4354-0-3-452                                    |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |  |             |         |           |
| 1131   | Direct loan obligations exempt from limitation ..... | .....       | .....   | 46        |
| 1150   | Total direct loan obligations .....                  | .....       | .....   | 46        |
| Cumulative balance of direct loans outstanding:                        |  |             |         |           |
| 1210   | Outstanding, start of year .....                     | 8           | 15      | 23        |
| 1231   | Disbursements: Direct loan disbursements .....       | 7           | 8       | 6         |
| 1251   | Repayments: Repayments and prepayments .....         | .....       | .....   | -1        |
| 1290   | Outstanding, end of year .....                       | 15          | 23      | 28        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligations. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Microenterprise Investment Program Account.

**Balance Sheet (in millions of dollars)**

| Identification code 12-4354-0-3-452                               |   | 2011 actual | 2012 actual |
|---|---|-------------|-------------|
| ASSETS:   |   |             |             |
| 1101  | Federal assets: Fund balances with Treasury ..... | 1           | 1           |
| Net value of assets related to post-1991 direct loans receivable: |   |             |             |
| 1401  | Direct loans receivable, gross .....              | 8           | 15          |

|              |   |    |    |
|--------------|---|----|----|
| 1405         | Allowance for subsidy cost (-) .....                      | -1 | -1 |
| 1499         | Net present value of assets related to direct loans ..... | 7  | 14 |
| 1999         | Total assets .....  | 8  | 15 |
| LIABILITIES: |   |    |    |
| 2103         | Federal liabilities: Debt .....                           | 8  | 15 |
| 4999         | Total liabilities and net position .....                  | 8  | 15 |

**RURAL BUSINESS PROGRAM ACCOUNT**

(INCLUDING TRANSFERS OF FUNDS)

*For the cost of loan guarantees, for the rural business development programs authorized by section 310B and described in sections 310B (g) and 381E(d)(3)(B) of the Consolidated Farm and Rural Development Act, \$51,777,000, to remain available until expended: Provided, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to funds made available under this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 12-1902-0-1-452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0010 Rural Business Enterprise Grants .....                        | 29          | 32      | 3         |
| 0012 Rural Business Opportunity Grants .....                       | 2           | 3       | .....     |
| 0091 Direct program activities, subtotal .....                     | 31          | 35      | 3         |
| Credit program obligations:  |             |         |           |
| 0702 Loan guarantee subsidy .....                                  | 59          | 52      | 63        |
| 0705 Reestimates of direct loan subsidy .....                      | .....       | 2       | .....     |
| 0706 Interest on reestimates of direct loan subsidy .....          | .....       | 2       | .....     |
| 0707 Reestimates of loan guarantee subsidy .....                   | 178         | 96      | .....     |
| 0708 Interest on reestimates of loan guarantee subsidy .....       | 35          | 14      | .....     |
| 0791 Direct program activities, subtotal .....                     | 272         | 166     | 63        |
| 0900 Total new obligations (object class 41.0) .....               | 303         | 201     | 66        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 6           | 12      | 14        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 6           | 12      | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | -14         | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 8           | 14      | .....     |
| 1050 Unobligated balance (total) .....                             | .....       | 26      | 14        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 75          | 75      | 52        |
| 1160 Appropriation, discretionary (total) .....                    | 75          | 75      | 52        |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 213         | 114     | .....     |
| 1260 Appropriations, mandatory (total) .....                       | 213         | 114     | .....     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 27          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 27          | .....   | .....     |
| 1900 Budget authority (total) .....                                | 315         | 189     | 52        |
| 1930 Total budgetary resources available .....                     | 315         | 215     | 66        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 12          | 14      | .....     |

**Change in obligated balance:**

|                               |   |      |      |     |
|-------------------------------|---|------|------|-----|
| Unpaid obligations:           |   |      |      |     |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....                | 114  | 127  | 99  |
| 3001                          | Adjustments to unpaid obligations, brought forward, Oct 1 ..... |      |      |     |
| 3010                          | Obligations incurred, unexpired accounts .....                  | 303  | 201  | 66  |
| 3020                          | Outlays (gross) .....   | -293 | -215 | -89 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired .....    | -8   | -14  |     |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....      | -3   |      |     |
| 3050                          | Unpaid obligations, end of year .....                           | 127  | 99   | 76  |
| Memorandum (non-add) entries: |   |      |      |     |
| 3100                          | Obligated balance, start of year .....                          | 128  | 127  | 99  |

RURAL BUSINESS PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 12–1902–0–1–452                 |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3200  | Obligated balance, end of year .....           | 127         | 99      | 76        |
| <b>Budget authority and outlays, net:</b>           |  |             |         |           |
| Discretionary:                                      |  |             |         |           |
| 4000  | Budget authority, gross .....                  | 102         | 75      | 52        |
| Outlays, gross:                                     |  |             |         |           |
| 4010  | Outlays from new discretionary authority ..... | 29          | 23      | 22        |
| 4011  | Outlays from discretionary balances .....      | 51          | 78      | 67        |
| 4020  | Outlays, gross (total) .....                   | 80          | 101     | 89        |
| Offsets against gross budget authority and outlays: |  |             |         |           |
| Offsetting collections (collected) from:            |  |             |         |           |
| 4033  | Non-Federal sources .....                      | –27         | .....   | .....     |
| Mandatory:  |  |             |         |           |
| 4090  | Budget authority, gross .....                  | 213         | 114     | .....     |
| Outlays, gross:                                     |  |             |         |           |
| 4100  | Outlays from new mandatory authority .....     | 213         | 114     | .....     |
| 4180  | Budget authority, net (total) .....            | 288         | 189     | 52        |
| 4190  | Outlays, net (total) .....                     | 266         | 215     | 89        |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12–1902–0–1–452                             |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Direct loan upward reestimates:                                 |  |             |         |           |
| 135004  | Business and Industry Loans .....                                  | .....       | 4       | .....     |
| 135999  | Total upward reestimate budget authority .....                     | .....       | 4       | .....     |
| Direct loan downward reestimates:                               |  |             |         |           |
| 137004  | Business and Industry Loans .....                                  | –39         | .....   | .....     |
| 137999  | Total downward reestimate budget authority .....                   | –39         | .....   | .....     |
| Guaranteed loan levels supportable by subsidy budget authority: |  |             |         |           |
| 215007  | Business and Industry Loan Guarantees .....                        | 1,053       | 860     | 897       |
| 215999  | Total loan guarantee levels .....                                  | 1,053       | 860     | 897       |
| Guaranteed loan subsidy (in percent):                           |  |             |         |           |
| 232007  | Business and Industry Loan Guarantees .....                        | 5.58        | 5.88    | 6.99      |
| 232999  | Weighted average subsidy rate .....                                | 5.58        | 5.88    | 6.99      |
| Guaranteed loan subsidy budget authority:                       |  |             |         |           |
| 233007  | Business and Industry Loan Guarantees .....                        | 59          | 51      | 63        |
| 233999  | Total subsidy budget authority .....                               | 59          | 51      | 63        |
| Guaranteed loan subsidy outlays:                                |  |             |         |           |
| 234006  | Guaranteed Business and Industry Loans - ARRA .....                | 3           | 3       | 2         |
| 234007  | Business and Industry Loan Guarantees .....                        | 2           | 51      | 66        |
| 234008  | Business and Industry Emergency Supplemental Loan Guarantees ..... | .....       | 1       | .....     |
| 234999  | Total subsidy outlays .....  | 5           | 55      | 68        |
| Guaranteed loan upward reestimates:                             |  |             |         |           |
| 235005  | North American Development Bank Loan Guarantees .....              | 2           | .....   | .....     |
| 235006  | Guaranteed Business and Industry Loans - ARRA .....                | 33          | 8       | .....     |
| 235007  | Business and Industry Loan Guarantees .....                        | 178         | 102     | .....     |
| 235999  | Total upward reestimate budget authority .....                     | 213         | 110     | .....     |
| Guaranteed loan downward reestimates:                           |  |             |         |           |
| 237006  | Guaranteed Business and Industry Loans - ARRA .....                | .....       | –1      | .....     |
| 237007  | Business and Industry Loan Guarantees .....                        | .....       | –8      | .....     |
| 237999  | Total downward reestimate subsidy budget authority .....           | .....       | –9      | .....     |

This account funds direct and guaranteed business and industry loans, rural business enterprise grants, and rural business opportunity grants. Business and industry guaranteed and direct loans are authorized under section 310B(a)(1) of the Consolidated Farm and Rural Development, as amended. These loans are made to public, private or cooperative organizations, Indian tribes or tribal groups, corporate entities, or individuals for the purpose of improving the economic climate in rural areas. For direct loans, no funds have been requested or provided since 2002, and no funds are requested in the Budget. The 2014 projections for loan guarantees are \$740.7 million. The Budget does not propose funding for rural business enterprise grants and rural business opportunity grants. Instead, these activities will be part of a new economic development grant program designed to target small and emer-

ging private businesses and cooperatives in rural areas with populations of 50,000 or less. The new Rural Business and Cooperative Grants program will award funding to grantees that meet or exceed minimum performance targets, and that agree to be tracked against those performance targets. Additional points will be awarded to communities that meet the minimum performance targets and have exceptional need as measured by socioeconomic indicators, established by the Secretary. The new program will improve upon the agency's current grant allocation and evaluation process.

## RURAL BUSINESS AND INDUSTRY DIRECT LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12–4223–0–3–452                          |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |  |             |         |           |
| Credit program obligations:                                  |  |             |         |           |
| 0713   | Payment of interest to Treasury .....                                      | 3           | 3       | 3         |
| 0742   | Downward reestimate paid to receipt account .....                          | 20          | .....   | .....     |
| 0743   | Interest on downward reestimates .....                                     | 19          | .....   | .....     |
| 0900   | Total new obligations .....  | 42          | 3       | 3         |
| <b>Budgetary Resources:</b>                                  |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 10          | 4       | .....     |
| 1023   | Unobligated balances applied to repay debt .....                           | –10         | –4      | .....     |
| Financing authority:   |  |             |         |           |
| Borrowing authority, mandatory:                              |  |             |         |           |
| 1400   | Borrowing authority .....  | 39          | .....   | .....     |
| 1440   | Borrowing authority, mandatory (total) .....                               | 39          | .....   | .....     |
| Spending authority from offsetting collections, mandatory:   |  |             |         |           |
| 1800   | Collected .....  | 7           | 10      | 4         |
| 1825   | Spending authority from offsetting collections applied to repay debt ..... | .....       | –7      | –1        |
| 1850   | Spending auth from offsetting collections, mand (total) .....              | 7           | 3       | 3         |
| 1900   | Financing authority (total) .....  | 46          | 3       | 3         |
| 1930   | Total budgetary resources available .....                                  | 46          | 3       | 3         |
| Memorandum (non-add) entries:                                |  |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                           | 4           | .....   | .....     |
| <b>Change in obligated balance:</b>                          |  |             |         |           |
| Unpaid obligations:  |  |             |         |           |
| 3010   | Obligations incurred, unexpired accounts .....                             | 42          | 3       | 3         |
| 3020   | Financing disbursements (gross) .....                                      | –42         | –3      | –3        |
| <b>Financing authority and disbursements, net:</b>           |  |             |         |           |
| Mandatory:   |  |             |         |           |
| 4090   | Financing authority, gross .....   | 46          | 3       | 3         |
| Financing disbursements:                                     |  |             |         |           |
| 4110   | Financing disbursements, gross .....                                       | 42          | 3       | 3         |
| Offsets against gross financing authority and disbursements: |  |             |         |           |
| Offsetting collections (collected) from:                     |  |             |         |           |
| 4120   | Federal sources .....  | .....       | –4      | .....     |
| 4122   | Interest on uninvested funds .....   | .....       | –1      | –1        |
| 4123   | Repayments of principal .....  | –5          | –4      | –3        |
| 4123   | Interest received on loans .....   | –1          | –1      | .....     |
| 4123   | Non-Federal sources .....  | –1          | .....   | .....     |
| 4130   | Offsets against gross financing auth and disbursements (total) .....       | –7          | –10     | –4        |
| 4160   | Financing authority, net (mandatory) .....                                 | 39          | –7      | –1        |
| 4170   | Financing disbursements, net (mandatory) .....                             | 35          | –7      | –1        |
| 4180   | Financing authority, net (total) .....                                     | 39          | –7      | –1        |
| 4190   | Financing disbursements, net (total) .....                                 | 35          | –7      | –1        |

## Status of Direct Loans (in millions of dollars)

| Identification code 12–4223–0–3–452             |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |  |             |         |           |
| 1210  | Outstanding, start of year .....             | 25          | 17      | 13        |
| 1251  | Repayments: Repayments and prepayments ..... | –5          | –4      | –3        |
| Write-offs for default:                         |  |             |         |           |
| 1263  | Direct loans .....                           | –2          | .....   | .....     |
| 1264  | Other adjustments, net (+ or -) .....        | –1          | .....   | .....     |

1290 Outstanding, end of year ..... 17 13 10

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4223-0-3-452                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 10          | 5           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 25          | 17          |
| 1405 Allowance for subsidy cost (-) .....                         | -10         | 32          |
| 1499 Net present value of assets related to direct loans .....    | 15          | 49          |
| 1999 Total assets .....   | 25          | 54          |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....     | 25          | 54          |
| 4999 Total liabilities and net position .....                     | 25          | 54          |

**RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 12-4227-0-3-452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0711 Default claim payments on principal .....                     | 159         | 228     | 259       |
| 0712 Default claim payments on interest .....                      | 3           | 4       | 5         |
| 0713 Payment of interest to Treasury .....                         | 1           | 1       | 1         |
| 0742 Downward reestimate paid to receipt account .....             | 6           | .....   | .....     |
| 0743 Interest on downward reestimates .....                        | 3           | .....   | .....     |
| 0900 Total new obligations .....                                   | 163         | 242     | 265       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 369         | 531     | 525       |
| 1023 Unobligated balances applied to repay debt .....              | -24         | -12     | -12       |
| 1050 Unobligated balance (total) .....                             | 345         | 519     | 513       |
| Financing authority:   |             |         |           |
| Borrowing authority, mandatory:                                    |             |         |           |
| 1400 Borrowing authority .....                                     | 7           | .....   | .....     |
| 1440 Borrowing authority, mandatory (total) .....                  | 7           | .....   | .....     |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 296         | 251     | 163       |
| 1801 Change in uncollected payments, Federal sources .....         | 46          | -3      | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 342         | 248     | 163       |
| 1900 Financing authority (total) .....                             | 349         | 248     | 163       |
| 1930 Total budgetary resources available .....                     | 694         | 767     | 676       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 531         | 525     | 411       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 163         | 242     | 265       |
| 3020 Financing disbursements (gross) .....                         | -163        | -242    | -265      |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | .....       | -46     | -43       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -46         | 3       | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -46         | -43     | -43       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | .....       | -46     | -43       |
| 3200 Obligated balance, end of year .....                          | -46         | -43     | -43       |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              | 349         | 248     | 163       |

|  |      |       |       |
|--|------|-------|-------|
| Financing disbursements:   |      |       |       |
| 4110 Financing disbursements, gross .....                                  | 163  | 242   | 265   |
| Offsets against gross financing authority and disbursements:               |      |       |       |
| Offsetting collections (collected) from:                                   |      |       |       |
| 4120 Federal sources .....   | -218 | -166  | -67   |
| 4122 Interest on uninvested funds .....                                    | -13  | -16   | -18   |
| 4123 Interest and principal on purchased loans from secondary market ..... | -40  | -47   | -56   |
| 4123 Guarantee fees .....  | -24  | -22   | -22   |
| 4123 Non-Federal sources .....   | -1   | ..... | ..... |
| 4130 Offsets against gross financing auth and disbursements (total) .....  | -296 | -251  | -163  |
| Additional offsets against financing authority only (total):               |      |       |       |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....             | -46  | 3     | ..... |
| 4160 Financing authority, net (mandatory) .....                            | 7    | ..... | ..... |
| 4170 Financing disbursements, net (mandatory) .....                        | -133 | -9    | 102   |
| 4180 Financing authority, net (total) .....                                | 7    | ..... | ..... |
| 4190 Financing disbursements, net (total) .....                            | -133 | -9    | 102   |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 12-4227-0-3-452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:            |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....                     | 1,053       | 860     | 897       |
| 2150 Total guaranteed loan commitments .....                                      | 1,053       | 860     | 897       |
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 6,791       | 6,883   | 7,032     |
| 2231 Disbursements of new guaranteed loans .....                                  | 957         | 1,069   | 944       |
| 2251 Repayments and prepayments .....   | -625        | -688    | -703      |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               | -95         | -135    | -153      |
| 2263 Terminations for default that result in claim payments .....                 | -66         | -97     | -111      |
| 2264 Other adjustments, net .....   | -79         | .....   | .....     |
| 2290 Outstanding, end of year .....   | 6,883       | 7,032   | 7,009     |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 5,506       | 5,625   | 5,607     |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 349         | 269     | 402       |
| 2331 Disbursements for guaranteed loan claims .....                               | 156         | 206     | 211       |
| 2351 Repayments of loans receivable .....   | -37         | -27     | -40       |
| 2361 Write-offs of loans receivable .....   | -63         | -46     | -68       |
| 2364 Other adjustments, net .....   | -136        | .....   | .....     |
| 2390 Outstanding, end of year .....   | 269         | 402     | 505       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Business Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account. The account finances loan guarantee commitments for business development in rural areas.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4227-0-3-452  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets:  |             |             |
| 1101 Fund balances with Treasury .....   | 369         | 485         |
| Investments in US securities:  |             |             |
| 1106 Receivables, net .....  | 69          | 71          |
| 1501 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross ..... | 349         | 269         |
| 1999 Total assets .....  | 787         | 825         |
| <b>LIABILITIES:</b>  |             |             |
| Federal liabilities:   |             |             |
| 2104 Resources payable to Treasury .....   | 28          | 12          |
| 2105 Other .....   | .....       | 8           |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees .....  | 759         | 805         |

**RURAL BUSINESS AND INDUSTRY GUARANTEED LOANS FINANCING  
ACCOUNT—Continued  
Balance Sheet—Continued**

| Identification code 12–4227–0–3–452           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 2999 Total liabilities .....                  | 787         | 825         |
| 4999 Total liabilities and net position ..... | 787         | 825         |

**RURAL DEVELOPMENT LOAN FUND PROGRAM ACCOUNT  
(INCLUDING TRANSFER OF FUNDS)**

*For the principal amount of direct loans, as authorized by the Rural Development Loan Fund (42 U.S.C. 9812(a)), \$18,889,000.*

*For the cost of direct loans, \$4,082,000, as authorized by the Rural Development Loan Fund (42 U.S.C. 9812(a)), of which \$531,000 shall be available through June 30, 2014, for Federally Recognized Native American Tribes; and of which \$1,021,000 shall be available through June 30, 2014, for Mississippi Delta Region counties (as determined in accordance with Public Law 100–460): Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.*

*In addition, for administrative expenses to carry out the direct loan programs, \$4,467,000 shall be paid to the appropriation for "Rural Development, Salaries and Expenses".*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 12–2069–0–1–452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0701 Direct loan subsidy .....                                  | 6           | 6       | 4         |
| 0709 Administrative expenses .....                              | 5           | 5       | 5         |
| 0900 Total new obligations .....                                | 11          | 11      | 9         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 11          | 11      | 9         |
| 1160 Appropriation, discretionary (total) .....                 | 11          | 11      | 9         |
| 1930 Total budgetary resources available .....                  | 11          | 11      | 9         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 29          | 25      | 20        |
| 3010 Obligations incurred, unexpired accounts .....             | 11          | 11      | 9         |
| 3020 Outlays (gross) .....                                      | –11         | –16     | –13       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –4          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 25          | 20      | 16        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 29          | 25      | 20        |
| 3200 Obligated balance, end of year .....                       | 25          | 20      | 16        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 11          | 11      | 9         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 5           | 5       | 5         |
| 4011 Outlays from discretionary balances .....                  | 6           | 11      | 8         |
| 4020 Outlays, gross (total) .....                               | 11          | 16      | 13        |
| 4180 Budget authority, net (total) .....                        | 11          | 11      | 9         |
| 4190 Outlays, net (total) .....                                 | 11          | 16      | 13        |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

| Identification code 12–2069–0–1–452                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Intermediary Relending Program .....                 | 18          | 19      | 19        |
| 115999 Total direct loan levels .....                       | 18          | 19      | 19        |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Intermediary Relending Program .....                 | 33.88       | 32.04   | 21.61     |
| 132999 Weighted average subsidy rate .....                  | 33.88       | 32.04   | 21.61     |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Intermediary Relending Program .....                 | 6           | 6       | 4         |
| 133999 Total subsidy budget authority .....                 | 6           | 6       | 4         |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 Intermediary Relending Program .....                 | 6           | 11      | 8         |
| 134999 Total subsidy outlays .....                          | 6           | 11      | 8         |
| Direct loan downward reestimates:                           |             |         |           |
| 137001 Intermediary Relending Program .....                 | –5          | –4      |           |
| 137999 Total downward reestimate budget authority .....     | –5          | –4      |           |
| <b>Administrative expense data:</b>                         |             |         |           |
| 3510 Budget authority .....                                 | 5           | 5       | 4         |
| 3590 Outlays from new authority .....                       | 5           | 5       | 4         |

This account finances loans to intermediary borrowers, who, in turn, re-lend the funds to small rural businesses, community development corporations, and other organizations for the purpose of improving economic opportunities in rural areas. Through the use of local intermediaries, this program serves small-scale enterprises and gives preference to those communities with the greatest need. The 2014 Budget proposes \$4.1 million in budget authority to support this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**Object Classification (in millions of dollars)**

| Identification code 12–2069–0–1–452                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 5           | 5       | 5         |
| 41.0 Grants, subsidies, and contributions .....          | 6           | 6       | 4         |
| 99.9 Total new obligations .....                         | 11          | 11      | 9         |

**RURAL DEVELOPMENT LOAN FUND DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 12–4219–0–3–452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0710 Direct loan obligations .....                              | 17          | 19      | 19        |
| 0713 Payment of interest to Treasury .....                      | 17          | 18      | 19        |
| 0742 Downward reestimate paid to receipt account .....          | 3           | 2       |           |
| 0743 Interest on downward reestimates .....                     | 2           | 2       |           |
| 0900 Total new obligations .....                                | 39          | 41      | 38        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 2       |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 9           |         |           |
| 1023 Unobligated balances applied to repay debt .....           | –4          | –2      |           |
| 1024 Unobligated balance of borrowing authority withdrawn ..... | –5          |         |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:                                 |             |         |           |
| 1400 Borrowing authority .....                                  | 25          | 10      | 8         |
| 1440 Borrowing authority, mandatory (total) .....               | 25          | 10      | 8         |

|      |  |     |    |    |
|------|--|-----|----|----|
| 1800 | Spending authority from offsetting collections, mandatory:                 |     |    |    |
| 1801 | Collected .....  | 37  | 36 | 34 |
| 1825 | Change in uncollected payments, Federal sources .....                      | -4  | -5 | -4 |
|      | Spending authority from offsetting collections applied to repay debt ..... | -17 |    |    |
| 1850 | Spending auth from offsetting collections, mand (total) .....              | 16  | 31 | 30 |
| 1900 | Financing authority (total) .....  | 41  | 41 | 38 |
| 1930 | Total budgetary resources available .....                                  | 41  | 41 | 38 |
|      | Memorandum (non-add) entries:  |     |    |    |
| 1941 | Unexpired unobligated balance, end of year .....                           | 2   |    |    |

**Change in obligated balance:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 3000 | Unpaid obligations:  |     |     |     |
| 3010 | Unpaid obligations, brought forward, Oct 1 .....             | 79  | 71  | 60  |
| 3020 | Obligations incurred, unexpired accounts .....               | 39  | 41  | 38  |
| 3020 | Financing disbursements (gross) .....                        | -38 | -52 | -43 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -9  |     |     |
| 3050 | Unpaid obligations, end of year .....                        | 71  | 60  | 55  |
|      | Uncollected payments:  |     |     |     |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -29 | -25 | -20 |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | 4   | 5   | 4   |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | -25 | -20 | -16 |
|      | Memorandum (non-add) entries:                                |     |     |     |
| 3100 | Obligated balance, start of year .....                       | 50  | 46  | 40  |
| 3200 | Obligated balance, end of year .....                         | 46  | 40  | 39  |

**Financing authority and disbursements, net:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 4090 | Mandatory:   |     |     |     |
|      | Financing authority, gross .....                                     | 41  | 41  | 38  |
| 4110 | Financing disbursements:   |     |     |     |
|      | Financing disbursements, gross .....                                 | 38  | 52  | 43  |
|      | Offsets against gross financing authority and disbursements:         |     |     |     |
|      | Offsetting collections (collected) from:                             |     |     |     |
| 4120 | Payments from program account .....                                  | -6  | -11 | -8  |
| 4122 | Interest on uninvested funds .....                                   | -1  | -2  | -2  |
| 4123 | Non-Federal sources - repayment of principal .....                   | -26 | -19 | -20 |
| 4123 | Non-Federal sources - interest on loans .....                        | -4  | -4  | -4  |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -37 | -36 | -34 |
| 4140 | Additional offsets against financing authority only (total):         |     |     |     |
|      | Change in uncollected pymts, Fed sources, unexpired .....            | 4   | 5   | 4   |
| 4160 | Financing authority, net (mandatory) .....                           | 8   | 10  | 8   |
| 4170 | Financing disbursements, net (mandatory) .....                       | 1   | 16  | 9   |
| 4180 | Financing authority, net (total) .....                               | 8   | 10  | 8   |
| 4190 | Financing disbursements, net (total) .....                           | 1   | 16  | 9   |

**Status of Direct Loans** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 12-4219-0-3-452                                    | 2012 actual | 2013 CR | 2014 est. |
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 17          | 19      | 19        |
| 1150 Total direct loan obligations .....                               | 17          | 19      | 19        |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 439         | 428     | 438       |
| 1231 Disbursements: Direct loan disbursements .....                    | 17          | 29      | 29        |
| 1251 Repayments: Repayments and prepayments .....                      | -26         | -19     | -20       |
| 1264 Write-offs for default: Other adjustments, net (+ or -) .....     | -2          |         |           |
| 1290 Outstanding, end of year .....                                    | 428         | 438     | 447       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

|   |             |             |
|---|-------------|-------------|
| Identification code 12-4219-0-3-452                               | 2011 actual | 2012 actual |
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 11          | 11          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 439         | 428         |
| 1402 Interest receivable .....                                    | 2           | 2           |
| 1405 Allowance for subsidy cost (-) .....                         | -144        | -144        |
| 1499 Net present value of assets related to direct loans .....    | 297         | 286         |

|      |  |     |     |
|------|--|-----|-----|
| 1999 | Total assets .....                                       | 308 | 297 |
|      | LIABILITIES:   |     |     |
| 2104 | Federal liabilities: Resources payable to Treasury ..... | 308 | 297 |
| 4999 | Total liabilities and net position .....                 | 308 | 297 |

**RURAL DEVELOPMENT LOAN FUND LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12-4233-0-3-452                        | 2012 actual  | 2013 CR | 2014 est. |    |
|--|--|---------|-----------|----|
| <b>Budgetary Resources:</b>                                |  |         |           |    |
| Budget authority:  |  |         |           |    |
| Spending authority from offsetting collections, mandatory: |  |         |           |    |
| 1800   | Collected .....  | 3       | 2         | 2  |
| 1820   | Capital transfer of spending authority from offsetting collections to general fund ..... | -3      | -2        | -2 |
| <b>Budget authority and outlays, net:</b>                  |  |         |           |    |
| Mandatory:   |  |         |           |    |
| Offsets against gross budget authority and outlays:        |  |         |           |    |
| Offsetting collections (collected) from:                   |  |         |           |    |
| 4123   | Non-Federal sources .....  | -3      | -2        | -2 |
| 4180   | Budget authority, net (total) .....  | -3      | -2        | -2 |
| 4190   | Outlays, net (total) .....   | -3      | -2        | -2 |

**Status of Direct Loans** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 12-4233-0-3-452               | 2012 actual | 2013 CR | 2014 est. |
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 27          | 24      | 22        |
| 1251 Repayments: Repayments and prepayments ..... | -3          | -2      | -2        |
| 1290 Outstanding, end of year .....               | 24          | 22      | 20        |

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. New loan activity in 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

|   |             |             |
|---|-------------|-------------|
| Identification code 12-4233-0-3-452                                     | 2011 actual | 2012 actual |
| ASSETS:   |             |             |
| 1601 Direct loans, gross .....  | 27          | 24          |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -12         | -7          |
| 1699 Value of assets related to direct loans .....                      | 15          | 17          |
| 1999 Total assets .....   | 15          | 17          |
| LIABILITIES:  |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 15          | 17          |
| 4999 Total liabilities and net position .....                           | 15          | 17          |

**RURAL ECONOMIC DEVELOPMENT LOANS PROGRAM ACCOUNT**

## (INCLUDING CANCELLATION OF FUNDS)

For the principal amount of direct loans, as authorized under section 313 of the Rural Electrification Act, for the purpose of promoting rural economic development and job creation projects, \$33,077,000.

Of the funds derived from interest on the cushion of credit payments, as authorized by section 313 of the Rural Electrification Act of 1936, \$155,000,000 shall not be obligated and \$155,000,000 are hereby permanently cancelled.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

RURAL ECONOMIC DEVELOPMENT LOANS PROGRAM ACCOUNT—Continued  
Program and Financing (in millions of dollars)

| Identification code 12-3108-0-1-452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0701 Direct loan subsidy .....                                     | 6           | 10      | 6         |
| 0705 Reestimates of direct loan subsidy .....                      | 1           | .....   | .....     |
| 0900 Total new obligations (object class 41.0) .....               | 7           | 10      | 6         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 6           | 6       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | 3       | .....     |
| 1050 Unobligated balance (total) .....                             | 7           | 9       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 2           | .....   | .....     |
| 1260 Appropriations, mandatory (total) .....                       | 2           | .....   | .....     |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 4           | 4       | 3         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 4           | 4       | 3         |
| 1900 Budget authority (total) .....                                | 6           | 4       | 3         |
| 1930 Total budgetary resources available .....                     | 13          | 13      | 6         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 6           | 3       | .....     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 6           | 7       | 7         |
| 3010 Obligations incurred, unexpired accounts .....                | 7           | 10      | 6         |
| 3020 Outlays (gross) .....   | -5          | -7      | -7        |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -1          | -3      | .....     |
| 3050 Unpaid obligations, end of year .....                         | 7           | 7       | 6         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 6           | 7       | 7         |
| 3200 Obligated balance, end of year .....                          | 7           | 7       | 6         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 6           | 4       | 3         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 2           | 1       | 1         |
| 4101 Outlays from mandatory balances .....                         | 3           | 6       | 6         |
| 4110 Outlays, gross (total) .....                                  | 5           | 7       | 7         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -4          | -4      | -3        |
| 4180 Budget authority, net (total) .....                           | 2           | .....   | .....     |
| 4190 Outlays, net (total) .....                                    | 1           | 3       | 4         |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12-3108-0-1-452                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Rural Economic Development Loans .....               | 41          | 78      | 73        |
| 115999 Total direct loan levels .....                       | 41          | 78      | 73        |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Rural Economic Development Loans .....               | 12.98       | 12.39   | 8.45      |
| 132999 Weighted average subsidy rate .....                  | 12.98       | 12.39   | 8.45      |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Rural Economic Development Loans .....               | 6           | 10      | 6         |
| 133999 Total subsidy budget authority .....                 | 6           | 10      | 6         |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 Rural Economic Development Loans .....               | 3           | 6       | 7         |
| 134999 Total subsidy outlays .....                          | 3           | 6       | 7         |
| Direct loan upward reestimates:                             |             |         |           |
| 135001 Rural Economic Development Loans .....               | 2           | .....   | .....     |
| 135999 Total upward reestimate budget authority .....       | 2           | .....   | .....     |
| Direct loan downward reestimates:                           |             |         |           |
| 137001 Rural Economic Development Loans .....               | -1          | -1      | .....     |
| 137999 Total downward reestimate budget authority .....     | -1          | -1      | .....     |

Rural economic development loans are made for the purpose of promoting rural economic development and job creation projects. Loans are made to electric and telecommunication borrowers, who, in turn, finance rural development projects in their service areas. Program costs are derived from interest earnings on borrowers' "cushion of credit" loan prepayments. The 2014 Budget proposes a loan level of \$33 million for this program.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond. The subsidy amounts are estimated on a present value basis.

## RURAL ECONOMIC DEVELOPMENT DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-4176-0-3-452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 41          | 78      | 73        |
| 0713 Payment of interest to Treasury .....                                      | 4           | 4       | 5         |
| 0742 Downward reestimate paid to receipt account .....                          | 1           | 1       | .....     |
| 0900 Total new obligations .....  | 46          | 83      | 78        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1           | 2       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 3           | .....   | .....     |
| 1023 Unobligated balances applied to repay debt .....                           | -1          | -2      | .....     |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | -3          | .....   | .....     |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 46          | 49      | 36        |
| 1440 Borrowing authority, mandatory (total) .....                               | 46          | 49      | 36        |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 28          | 33      | 39        |
| 1801 Change in uncollected payments, Federal sources .....                      | 1           | 1       | 3         |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -27         | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 2           | 34      | 42        |
| 1900 Financing authority (total) .....  | 48          | 83      | 78        |
| 1930 Total budgetary resources available .....                                  | 48          | 83      | 78        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 2           | .....   | .....     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 33          | 47      | 77        |
| 3010 Obligations incurred, unexpired accounts .....                             | 46          | 83      | 78        |
| 3020 Financing disbursements (gross) .....                                      | -29         | -53     | -73       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                      | 47          | 77      | 82        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -6          | -7      | -8        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | -1          | -1      | -3        |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -7          | -8      | -11       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 27          | 40      | 69        |
| 3200 Obligated balance, end of year .....                                       | 40          | 69      | 71        |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 48          | 83      | 78        |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 29          | 53      | 73        |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal Funds: Program Account .....                                       | -6          | -7      | -8        |
| 4122 Interest on uninvested funds .....   | -1          | -3      | -3        |
| 4123 Non-Federal sources: Repayment of Principal .....                          | -21         | -23     | -28       |
| 4130 Offsets against gross financing auth and disbursements (total) .....       | -28         | -33     | -39       |
| Additional offsets against financing authority only (total):                    |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....                  | -1          | -1      | -3        |
| 4160 Financing authority, net (mandatory) .....                                 | 19          | 49      | 36        |

|      |  |    |    |    |
|------|--|----|----|----|
| 4170 | Financing disbursements, net (mandatory) ..... | 1  | 20 | 34 |
| 4180 | Financing authority, net (total) .....         | 19 | 49 | 36 |
| 4190 | Financing disbursements, net (total) .....     | 1  | 20 | 34 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 12-4176-0-3-452                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 33          | 33      | 33        |
| 1121 Limitation available from carry-forward .....                     | 8           | 45      | 40        |
| 1150 Total direct loan obligations .....                               | 41          | 78      | 73        |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 110         | 113     | 139       |
| 1231 Disbursements: Direct loan disbursements .....                    | 24          | 48      | 69        |
| 1251 Repayments: Repayments and prepayments .....                      | -21         | -22     | -27       |
| 1290 Outstanding, end of year .....                                    | 113         | 139     | 181       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4176-0-3-452                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 11          | 9           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 110         | 113         |
| 1405 Allowance for subsidy cost (-) .....                         | -10         | -12         |
| 1499 Net present value of assets related to direct loans .....    | 100         | 101         |
| 1999 Total assets .....   | 111         | 110         |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....     | 111         | 110         |
| 4999 Total upward reestimate subsidy BA [12-3108] .....           | 111         | 110         |

**RURAL BUSINESS INVESTMENT PROGRAM ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12-1907-0-1-452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3           | 3       | 3         |
| 3050 Unpaid obligations, end of year .....            | 3           | 3       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 3           | 3       | 3         |
| 3200 Obligated balance, end of year .....             | 3           | 3       | 3         |

The Rural Business Investment Program was authorized and provided mandatory funding by section 6029 of the Farm Security and Rural Investment Act of 2002, Public Law 107-171. The Deficit Reduction Act rescinded the unobligated balance and no funds are requested for 2014.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**RURAL BUSINESS INVESTMENT PROGRAM GUARANTEE FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12-4033-0-3-452                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 1           | 1       | 2         |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  |             | 1       | 1         |
| 1850 Spending auth from offsetting collections, mand (total) .....        |             | 1       | 1         |
| 1930 Total budgetary resources available .....                            | 1           | 2       | 3         |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 1           | 2       | 3         |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     |             | 1       | 1         |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources .....  |             | -1      |           |
| 4123 Non-Federal sources (Guaranteed fees) .....                          |             |         | -1        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... |             | -1      | -1        |
| 4170 Financing disbursements, net (mandatory) .....                       |             | -1      | -1        |
| 4190 Financing disbursements, net (total) .....                           |             | -1      | -1        |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 12-4033-0-3-452                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 12          | 16      | 20        |
| 2231 Disbursements of new guaranteed loans .....                          | 4           | 5       | 4         |
| 2251 Repayments and prepayments .....                                     |             | -1      | -1        |
| 2290 Outstanding, end of year .....                                       | 16          | 20      | 23        |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 16          | 20      | 23        |

**Balance Sheet** (in millions of dollars)

| Identification code 12-4033-0-3-452                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 1           | 1           |
| 1999 Total assets .....   | 1           | 1           |
| <b>LIABILITIES:</b>   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 1           | 1           |
| 4999 Total liabilities and net position .....                       | 1           | 1           |

**RURAL ENERGY FOR AMERICA PROGRAM**

*For the cost of a program of loan guarantees and grants, under the same terms and conditions as authorized by section 9007 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 8107), \$19,741,000: Provided, That the cost of loan guarantees, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12-1908-0-1-451              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>          |             |         |           |
| 0011 Grants .....                                | 22          | 12      | 28        |
| Credit program obligations:                      |             |         |           |
| 0702 Loan guarantee subsidy .....                | 3           | 13      | 33        |
| 0707 Reestimates of loan guarantee subsidy ..... | 14          | 5       |           |

### RURAL ENERGY FOR AMERICA PROGRAM—Continued

#### Program and Financing—Continued

| Identification code 12-1908-0-1-451                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0708 Interest on reestimates of loan guarantee subsidy .....    | 1           |         |           |
| 0791 Direct program activities, subtotal .....                  | 18          | 18      | 33        |
| 0900 Total new obligations (object class 41.0) .....            | 40          | 30      | 61        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 3           | 15      |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... |             | -15     |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 13          |         |           |
| 1050 Unobligated balance (total) .....                          | 16          |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 3           | 3       | 20        |
| 1134 Appropriations precluded from obligation .....             |             | -41     |           |
| 1160 Appropriation, discretionary (total) .....                 | 3           | -38     | 20        |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 14          | 5       |           |
| 1221 Appropriations transferred from other accts [12-4336] .... | 22          | 63      | 41        |
| 1260 Appropriations, mandatory (total) .....                    | 36          | 68      | 41        |
| 1900 Budget authority (total) .....                             | 39          | 30      | 61        |
| 1930 Total budgetary resources available .....                  | 55          | 30      | 61        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 15          |         |           |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Change in obligated balance:</b>                               |     |     |     |
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 120 | 77  | 51  |
| 3010 Obligations incurred, unexpired accounts .....               | 40  | 30  | 61  |
| 3011 Obligations incurred, expired accounts .....                 | 3   |     |     |
| 3020 Outlays (gross) .....  | -71 | -56 | -31 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -13 |     |     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -2  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 77  | 51  | 81  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 120 | 77  | 51  |
| 3200 Obligated balance, end of year .....                         | 77  | 51  | 81  |

|  |    |     |     |
|--|----|-----|-----|
| <b>Budget authority and outlays, net:</b>                      |    |     |     |
| Discretionary:   |    |     |     |
| 4000 Budget authority, gross .....                             | 3  | -38 | 20  |
| Outlays, gross:  |    |     |     |
| 4010 Outlays from new discretionary authority .....            | 1  | -2  |     |
| 4011 Outlays from discretionary balances .....                 | 7  | 14  | -19 |
| 4020 Outlays, gross (total) .....                              | 8  | 12  | -19 |
| Offsets against gross budget authority and outlays:            |    |     |     |
| Offsetting collections (collected) from:                       |    |     |     |
| 4033 Non-Federal sources .....                                 | -3 |     |     |
| Additional offsets against gross budget authority only:        |    |     |     |
| 4052 Offsetting collections credited to expired accounts ..... | 3  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 3  | -38 | 20  |
| 4080 Outlays, net (discretionary) .....                        | 5  | 12  | -19 |
| Mandatory:   |    |     |     |
| 4090 Budget authority, gross .....                             | 36 | 68  | 41  |
| Outlays, gross:  |    |     |     |
| 4100 Outlays from new mandatory authority .....                | 17 | 7   | 1   |
| 4101 Outlays from mandatory balances .....                     | 46 | 37  | 49  |
| 4110 Outlays, gross (total) .....                              | 63 | 44  | 50  |
| 4180 Budget authority, net (total) .....                       | 39 | 30  | 61  |
| 4190 Outlays, net (total) .....                                | 68 | 56  | 31  |

#### Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12-1908-0-1-451                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Renewable Energy Loan Guarantees .....                   | 14          | 53      | 120       |
| 215999 Total loan guarantee levels .....                        | 14          | 53      | 120       |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Renewable Energy Loan Guarantees .....                   | 26.19       | 24.01   | 27.43     |
| 232999 Weighted average subsidy rate .....                      | 26.19       | 24.01   | 27.43     |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Renewable Energy Loan Guarantees .....                   | 4           | 13      | 33        |

|   |    |    |    |
|---|----|----|----|
| 233999 Total subsidy budget authority .....                     | 4  | 13 | 33 |
| Guaranteed loan subsidy outlays:                                |    |    |    |
| 234001 Renewable Energy Loan Guarantees .....                   | 2  | 10 | 10 |
| 234999 Total subsidy outlays .....                              | 2  | 10 | 10 |
| Guaranteed loan upward reestimates:                             |    |    |    |
| 235001 Renewable Energy Loan Guarantees .....                   | 14 | 5  |    |
| 235999 Total upward reestimate budget authority .....           | 14 | 5  |    |
| Guaranteed loan downward reestimates:                           |    |    |    |
| 237001 Renewable Energy Loan Guarantees .....                   | -1 | -5 |    |
| 237999 Total downward reestimate subsidy budget authority ..... | -1 | -5 |    |

The Rural Energy for America Program was formerly the Renewable Energy Systems and Energy Efficiency Improvements, and is authorized under 7 U.S.C. 8107. This program provides loan guarantees and grants to farmers, ranchers, and small rural businesses to purchase renewable energy systems and make energy efficiency improvements. The budget requests discretionary funding of \$7.4 million for grants and \$12.3 million for loan guarantees to support \$44.9 million in private lending. This program is authorized pursuant to Section 9007 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation and Energy Act of 2008 and the American Taxpayer Relief Act of 2012.

#### RURAL ENERGY FOR AMERICA GUARANTEED LOAN FINANCING ACCOUNT

##### Program and Financing (in millions of dollars)

| Identification code 12-4267-0-3-451                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0711 Default claim payments on principal .....                     |             | 8       | 8         |
| 0742 Downward reestimate paid to receipt account .....             | 1           | 5       |           |
| 0900 Total new obligations .....                                   | 1           | 13      | 8         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 22          | 59      | 64        |
| 1023 Unobligated balances applied to repay debt .....              | -6          |         |           |
| 1050 Unobligated balance (total) .....                             | 16          | 59      | 64        |
| Financing authority:   |             |         |           |
| Borrowing authority, mandatory:                                    |             |         |           |
| 1400 Borrowing authority .....                                     | 11          |         |           |
| 1440 Borrowing authority, mandatory (total) .....                  | 11          |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 19          | 15      | 10        |
| 1801 Change in uncollected payments, Federal sources .....         | 14          | 3       | 23        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 33          | 18      | 33        |
| 1900 Financing authority (total) .....                             | 44          | 18      | 33        |
| 1930 Total budgetary resources available .....                     | 60          | 77      | 97        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 59          | 64      | 89        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 1           | 13      | 8         |
| 3020 Financing disbursements (gross) .....                         | -1          | -13     | -8        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  |             | -14     | -17       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -14         | -3      | -23       |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -14         | -17     | -40       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        |             | -14     | -17       |
| 3200 Obligated balance, end of year .....                          | -14         | -17     | -40       |

|  |     |     |     |
|--|-----|-----|-----|
| <b>Financing authority and disbursements, net:</b>           |     |     |     |
| Mandatory:   |     |     |     |
| 4090 Financing authority, gross .....                        | 44  | 18  | 33  |
| Financing disbursements:                                     |     |     |     |
| 4110 Financing disbursements, gross .....                    | 1   | 13  | 8   |
| Offsets against gross financing authority and disbursements: |     |     |     |
| Offsetting collections (collected) from:                     |     |     |     |
| 4120 Federal sources .....                                   | -16 | -15 | -10 |



|      |  |     |       |       |
|------|--|-----|-------|-------|
| 4122 | Interest on uninvested funds .....                                   | -1  | ..... | ..... |
| 4123 | Guarantee fees .....   | -2  | ..... | ..... |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -19 | -15   | -10   |
|      | Additional offsets against financing authority only (total):         |     |       |       |
| 4140 | Change in uncollected pymts, Fed sources, unexpired .....            | -14 | -3    | -23   |
| 4160 | Financing authority, net (mandatory) .....                           | 11  | ..... | ..... |
| 4170 | Financing disbursements, net (mandatory) .....                       | -18 | -2    | -2    |
| 4180 | Financing authority, net (total) .....                               | 11  | ..... | ..... |
| 4190 | Financing disbursements, net (total) .....                           | -18 | -2    | -2    |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 12-4267-0-3-451   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:            |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....                     | 14          | 53      | 120       |
| 2150 Total guaranteed loan commitments .....                                      | 14          | 53      | 120       |
| 2199 Guaranteed amount of guaranteed loan commitments .....                       | 11          | 42      | 94        |
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 65          | 70      | 72        |
| 2231 Disbursements of new guaranteed loans .....                                  | 39          | 36      | 35        |
| 2251 Repayments and prepayments .....   | -24         | -26     | -26       |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               |             | -8      | -8        |
| 2264 Other adjustments, net .....   | -10         | .....   | .....     |
| 2290 Outstanding, end of year .....   | 70          | 72      | 73        |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 56          | 57      | 57        |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 10          | .....   | 6         |
| 2331 Disbursements for guaranteed loan claims .....                               |             | 6       | 6         |
| 2361 Write-offs of loans receivable .....   | -10         | .....   | .....     |
| 2390 Outstanding, end of year .....   |             | 6       | 12        |

This account finances loan guarantee commitments to farmers, ranchers, and small businesses to purchase renewable energy systems and make energy efficiency improvements in rural areas.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Rural Energy for American Program Account.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4267-0-3-451  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                                   | 22          | 34          |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 10          | .....       |
| 1505 Allowance for subsidy cost (-) .....  | -3          | .....       |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             | 7           | .....       |
| 1999 Total assets .....  | 29          | 34          |
| LIABILITIES:   |             |             |
| 2103 Federal liabilities: Debt .....   | 7           | 1           |
| 2204 Non-Federal liabilities: Liability for loan guarantees .....                        | 22          | 33          |
| 2999 Total liabilities .....   | 29          | 34          |
| 4999 Total liabilities and net position .....  | 29          | 34          |

**BIOREFINERY ASSISTANCE PROGRAM ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12-3106-0-1-452                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0702 Loan guarantee subsidy .....                            | 144         | 41      | .....     |
| 0707 Reestimates of loan guarantee subsidy .....             | 20          | 5       | .....     |
| 0708 Interest on reestimates of loan guarantee subsidy ..... | 1           | .....   | .....     |
| 0900 Total new obligations (object class 41.0) .....         | 165         | 46      | .....     |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....        | 186         | 41      | .....     |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                   |             |         |           |
| 1200 Appropriation .....                                     | 20          | 5       | .....     |
| 1260 Appropriations, mandatory (total) .....                 | 20          | 5       | .....     |
| 1930 Total budgetary resources available .....               | 206         | 46      | .....     |
| Memorandum (non-add) entries:                                |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....        | 41          | .....   | .....     |
| <b>Change in obligated balance:</b>                          |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....        | 78          | 203     | 154       |
| 3010 Obligations incurred, unexpired accounts .....          | 165         | 46      | .....     |
| 3020 Outlays (gross) .....                                   | -40         | -95     | -96       |
| 3050 Unpaid obligations, end of year .....                   | 203         | 154     | 58        |
| Memorandum (non-add) entries:                                |             |         |           |
| 3100 Obligated balance, start of year .....                  | 78          | 203     | 154       |
| 3200 Obligated balance, end of year .....                    | 203         | 154     | 58        |
| <b>Budget authority and outlays, net:</b>                    |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                           | 20          | 5       | .....     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....              | 20          | 5       | .....     |
| 4101 Outlays from mandatory balances .....                   | 20          | 90      | 96        |
| 4110 Outlays, gross (total) .....                            | 40          | 95      | 96        |
| 4180 Budget authority, net (total) .....                     | 20          | 5       | .....     |
| 4190 Outlays, net (total) .....                              | 40          | 95      | 96        |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 12-3106-0-1-452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Section 9003 Loan Guarantees .....                       | 462         | 96      | .....     |
| 215999 Total loan guarantee levels .....                        | 462         | 96      | .....     |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Section 9003 Loan Guarantees .....                       | 31.30       | 42.00   | .....     |
| 232999 Weighted average subsidy rate .....                      | 31.30       | 42.00   | .....     |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Section 9003 Loan Guarantees .....                       | 145         | 40      | .....     |
| 233999 Total subsidy budget authority .....                     | 145         | 40      | .....     |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 Section 9003 Loan Guarantees .....                       | 20          | 90      | 96        |
| 234999 Total subsidy outlays .....                              | 20          | 90      | 96        |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 Section 9003 Loan Guarantees .....                       | 20          | 5       | .....     |
| 235999 Total upward reestimate budget authority .....           | 20          | 5       | .....     |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 Section 9003 Loan Guarantees .....                       |             | -35     | .....     |
| 237999 Total downward reestimate subsidy budget authority ..... |             | -35     | .....     |

The Biorefinery Assistance Program provides loan guarantees to fund the development, construction, and retrofitting of commercial-scale advanced biorefineries. The 2014 Budget does not request discretionary funding for this program. The Biorefinery Assistance Program is authorized under section 9003 of the Farm Security and Rural Investment Act of 2002, as amended by the Food, Conservation, and Energy Act of 2008, and the American Taxpayers Relief Act of 2012.

## BIOREFINERY ASSISTANCE PROGRAM ACCOUNT—Continued

## Balance Sheet (in millions of dollars)

| Identification code 12–3106–0–1–452                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 99          | 72          |
| 1999 Total assets .....   | 99          | 72          |
| <b>LIABILITIES:</b>   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 99          | 72          |
| 4999 Total liabilities and net position .....                       | 99          | 72          |

## BIOREFINERY ASSISTANCE GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12–4355–0–3–452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0711 Default claim payments on principal .....                     | 38          | 7       | 10        |
| 0742 Downward reestimate paid to receipt account .....             |             | 31      |           |
| 0743 Interest on downward reestimates .....                        |             | 4       |           |
| 0900 Total new obligations .....                                   | 38          | 42      | 10        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 99          | 275     | 484       |
| 1023 Unobligated balances applied to repay debt .....              | –36         |         |           |
| 1050 Unobligated balance (total) .....                             | 63          | 275     | 484       |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 47          | 98      | 101       |
| 1801 Change in uncollected payments, Federal sources .....         | 203         | 153     | 57        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 250         | 251     | 158       |
| 1900 Financing authority (total) .....                             | 250         | 251     | 158       |
| 1930 Total budgetary resources available .....                     | 313         | 526     | 642       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 275         | 484     | 632       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              |             |         | 5         |
| 3010 Obligations incurred, unexpired accounts .....                | 38          | 42      | 10        |
| 3020 Financing disbursements (gross) .....                         | –38         | –37     | –4        |
| 3050 Unpaid obligations, end of year .....                         |             | 5       | 11        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  |             | –203    | –356      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –203        | –153    | –57       |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –203        | –356    | –413      |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        |             | –203    | –351      |
| 3200 Obligated balance, end of year .....                          | –203        | –351    | –402      |

## Financing authority and disbursements, net:

|   |      |      |      |
|---|------|------|------|
| Mandatory:  |      |      |      |
| 4090 Financing authority, gross .....                                     | 250  | 251  | 158  |
| Financing disbursements:  |      |      |      |
| 4110 Financing disbursements, gross .....                                 | 38   | 37   | 4    |
| Offsets against gross financing authority and disbursements:              |      |      |      |
| Offsetting collections (collected) from:                                  |      |      |      |
| 4120 Federal sources .....  | –40  | –95  | –96  |
| 4122 Interest on uninvested funds .....                                   | –2   | –1   | –1   |
| 4123 Loan Principal .....   | –4   | –2   | –4   |
| 4123 Guaranteed Fees .....  | –1   |      |      |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –47  | –98  | –101 |
| Additional offsets against financing authority only (total):              |      |      |      |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | –203 | –153 | –57  |
| 4170 Financing disbursements, net (mandatory) .....                       | –9   | –61  | –97  |
| 4190 Financing disbursements, net (total) .....                           | –9   | –61  | –97  |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 12–4355–0–3–452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:            |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....                     | 462         | 97      |           |
| 2150 Total guaranteed loan commitments .....                                      | 462         | 97      |           |
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 165         | 133     | 279       |
| 2231 Disbursements of new guaranteed loans .....                                  | 55          | 167     | 217       |
| 2251 Repayments and prepayments .....   | –6          | –14     | –28       |
| Adjustments:  |             |         |           |
| 2263 Terminations for default that result in claim payments .....                 | –38         | –7      | –10       |
| 2264 Other adjustments, net .....   | –43         |         |           |
| 2290 Outstanding, end of year .....   | 133         | 279     | 458       |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 106         | 251     | 412       |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   |             |         | 2         |
| 2331 Disbursements for guaranteed loan claims .....                               | 38          | 2       | 4         |
| 2351 Repayments of loans receivable .....   | –5          |         |           |
| 2361 Write-offs of loans receivable .....   | –33         |         |           |
| 2390 Outstanding, end of year .....   |             | 2       | 6         |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of this program is funded through the Biorefinery Assistance Program Account.

## Balance Sheet (in millions of dollars)

| Identification code 12–4355–0–3–452                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1101 Federal assets: Fund balances with Treasury ..... | 99          | 72          |
| 1999 Total assets .....                                | 99          | 72          |
| <b>LIABILITIES:</b>                                    |             |             |
| Non-Federal liabilities:                               |             |             |
| 2203 Debt .....  | 36          |             |
| 2204 Liabilities for loan guarantees .....             | 63          | 72          |
| 2999 Total liabilities .....                           | 99          | 72          |
| 4999 Total liabilities and net position .....          | 99          | 72          |

ALTERNATIVE AGRICULTURAL RESEARCH AND COMMERCIALIZATION  
CORPORATION REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 12–4144–0–3–352                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

**RURAL UTILITIES SERVICE****Federal Funds****HIGH ENERGY COST GRANTS****Program and Financing** (in millions of dollars)

| Identification code 12–2042–0–1–452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 High energy cost grants .....                              | 12          | 20      | 10        |
| 0900 Total new obligations (object class 41.0) .....            | 12          | 20      | 10        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 22          | 20      | 10        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1121 Appropriations transferred from other accts [12–1980] .... | 10          | 10      | .....     |
| 1160 Appropriation, discretionary (total) .....                 | 10          | 10      | .....     |
| 1930 Total budgetary resources available .....                  | 32          | 30      | 10        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 20          | 10      | .....     |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 14          | 18      | 12        |
| 3010 Obligations incurred, unexpired accounts .....             | 12          | 20      | 10        |
| 3020 Outlays (gross) .....                                      | –8          | –26     | –5        |
| 3050 Unpaid obligations, end of year .....                      | 18          | 12      | 17        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 14          | 18      | 12        |
| 3200 Obligated balance, end of year .....                       | 18          | 12      | 17        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 10          | 10      | .....     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | .....       | 7       | .....     |
| 4011 Outlays from discretionary balances .....                  | 8           | 19      | 5         |
| 4020 Outlays, gross (total) .....                               | 8           | 26      | 5         |
| 4180 Budget authority, net (total) .....                        | 10          | 10      | .....     |
| 4190 Outlays, net (total) .....                                 | 8           | 26      | 5         |

High energy costs grants can be made to eligible entities or the Denali Commission to construct, extend, upgrade, and otherwise improve energy generation, transmission, or distribution facilities serving communities in which the average residential expenditure for home energy is at least 275 percent of the national average residential expenditure for home energy (as determined by the Energy Information Agency using the most recent data available). Grants are also available to establish and support a revolving fund to provide a more cost-effective means of purchasing fuel where the fuel cannot be shipped by means of surface transportation. The Budget proposes no funding in 2014 for these grants.

**RURAL WATER AND WASTE DISPOSAL PROGRAM ACCOUNT****(INCLUDING TRANSFERS OF FUNDS)**

For the cost of direct loans and grants for the rural water, waste water, waste disposal, and solid waste management programs authorized by sections 306, 306A, 306C, 306D, 306E, and 310B and described in sections 306C(a)(2), 306D, 306E, and 381E(d)(2) of the Consolidated Farm and Rural Development Act, \$304,000,000, to remain available until expended: Provided, That not to exceed 12 percent of the amount appropriated under this heading shall be for loans and grants including water and waste disposal systems grants authorized by 306C(a)(2)(B) and 306D of the Consolidated Farm and Rural Development Act, Federally recognized Native American Tribes authorized by 306C(a)(1), and the Department of Hawaiian Home Lands (of the State of Hawaii): Provided further, That funding provided for section 306D of the Consolidated Farm and Rural Development Act may be provided to a consortium formed pursuant to section 325 of Public Law 105–83: Provided further, That not more than

2 percent of the funding provided for section 306D of the Consolidated Farm and Rural Development Act may be used by the State of Alaska for training and technical assistance programs and not more than 2 percent of the funding provided for section 306D of the Consolidated Farm and Rural Development Act may be used by a consortium formed pursuant to section 325 of Public Law 105–83 for training and technical assistance programs: Provided further, That not to exceed 3 percent of the amount appropriated under this heading shall be for technical assistance grants for rural water and waste systems pursuant to section 306(a)(14) of such Act, unless the Secretary makes a determination of extreme need, of which not more than 30 percent shall be made available for a grant to a qualified non-profit multi-state regional technical assistance organization, with experience in working with small communities on water and waste water problems, the principal purpose of such grant shall be to assist rural communities with populations of 3,300 or less, in improving the planning, financing, development, operation, and management of water and waste water systems, and of which not more than 4 percent shall be for a qualified national Native American organization to provide technical assistance for rural water systems for tribal communities: Provided further, That not to exceed 2.5 percent of the amount appropriated under this heading shall be for contracting with qualified national organizations for a circuit rider program to provide technical assistance for rural water systems: Provided further, That not to exceed \$4,000,000 shall be for solid waste management grants: Provided further, That any prior year balances for high energy cost grants authorized by section 19 of the Rural Electrification Act of 1936 (7 U.S.C. 918a) shall be transferred to and merged with the Rural Utilities Service, High Energy Cost Grants Account: Provided further, That sections 381E-H and 381N of the Consolidated Farm and Rural Development Act are not applicable to the funds made available under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12–1980–0–1–452                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0010 Water and waste disposal systems grants .....                  | 471         | 498     | 354       |
| 0011 Water and waste disposal systems grants-Natural disaster ..... | .....       | .....   | 4         |
| 0012 Solid waste management grants .....                            | 3           | 3       | 4         |
| 0013 Emergency Community Water Assistance Grants .....              | 3           | 12      | .....     |
| 0091 Direct program activities, subtotal .....                      | 477         | 513     | 362       |
| Credit program obligations:   |             |         |           |
| 0701 Direct loan subsidy .....                                      | 90          | 77      | .....     |
| 0702 Loan guarantee subsidy .....                                   | .....       | 2       | 1         |
| 0705 Reestimates of direct loan subsidy .....                       | 14          | 16      | .....     |
| 0706 Interest on reestimates of direct loan subsidy .....           | 2           | 1       | .....     |
| 0791 Direct program activities, subtotal .....                      | 106         | 96      | 1         |
| 0900 Total new obligations (object class 41.0) .....                | 583         | 609     | 363       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 91          | 90      | 59        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....     | 91          | 90      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....              | 64          | 55      | .....     |
| 1050 Unobligated balance (total) .....                              | 155         | 145     | 59        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 513         | 516     | 304       |
| 1120 Appropriations transferred to other accts [12–2042] .....      | –10         | –10     | .....     |
| 1160 Appropriation, discretionary (total) .....                     | 503         | 506     | 304       |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 15          | 17      | .....     |
| 1260 Appropriations, mandatory (total) .....                        | 15          | 17      | .....     |
| 1900 Budget authority (total) .....                                 | 518         | 523     | 304       |
| 1930 Total budgetary resources available .....                      | 673         | 668     | 363       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 90          | 59      | .....     |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 3,084       | 2,621   | 2,091     |

RURAL WATER AND WASTE DISPOSAL PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 12—1980—0—1—452                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3010 Obligations incurred, unexpired accounts .....               | 583         | 609     | 363       |
| 3020 Outlays (gross) .....  | -949        | -1,084  | -875      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -64         | -55     | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -33         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 2,621       | 2,091   | 1,579     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 3,084       | 2,621   | 2,091     |
| 3200 Obligated balance, end of year .....                         | 2,621       | 2,091   | 1,579     |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 503         | 506     | 304       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 16          | 21      | 12        |
| 4011 Outlays from discretionary balances .....                    | 904         | 1,038   | 860       |
| 4020 Outlays, gross (total) .....                                 | 920         | 1,059   | 872       |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 15          | 17      | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 15          | 17      | .....     |
| 4101 Outlays from mandatory balances .....                        | 14          | 8       | 3         |
| 4110 Outlays, gross (total) .....                                 | 29          | 25      | 3         |
| 4180 Budget authority, net (total) .....                          | 518         | 523     | 304       |
| 4190 Outlays, net (total) .....                                   | 949         | 1,084   | 875       |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12—1980—0—1—452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:        |             |         |           |
| 115001 Water and Waste Disposal Loans .....                        | 947         | 951     | 1,200     |
| 115999 Total direct loan levels .....                              | 947         | 951     | 1,200     |
| Direct loan subsidy (in percent):                                  |             |         |           |
| 132001 Water and Waste Disposal Loans .....                        | 9.58        | 8.07    | -0.87     |
| 132999 Weighted average subsidy rate .....                         | 9.58        | 8.07    | -0.87     |
| Direct loan subsidy budget authority:                              |             |         |           |
| 133001 Water and Waste Disposal Loans .....                        | 91          | 77      | -10       |
| 133999 Total subsidy budget authority .....                        | 91          | 77      | -10       |
| Direct loan subsidy outlays:                                       |             |         |           |
| 134001 Water and Waste Disposal Loans .....                        | 70          | 96      | 91        |
| 134002 Water and Waste Disposal Emergency Supplemental Loans ..... | .....       | 1       | 1         |
| 134003 Water and Waste Disposal Loans - ARRA .....                 | 56          | 50      | 28        |
| 134999 Total subsidy outlays .....                                 | 126         | 147     | 120       |
| Direct loan upward reestimates:                                    |             |         |           |
| 135001 Water and Waste Disposal Loans .....                        | 15          | 17      | .....     |
| 135999 Total upward reestimate budget authority .....              | 15          | 17      | .....     |
| Direct loan downward reestimates:                                  |             |         |           |
| 137001 Water and Waste Disposal Loans .....                        | -126        | -274    | .....     |
| 137999 Total downward reestimate budget authority .....            | -126        | -274    | .....     |
| Guaranteed loan levels supportable by subsidy budget authority:    |             |         |           |
| 215001 Water and Waste Disposal Loan Guarantees .....              | 8           | 177     | 98        |
| 215999 Total loan guarantee levels .....                           | 8           | 177     | 98        |
| Guaranteed loan subsidy (in percent):                              |             |         |           |
| 232001 Water and Waste Disposal Loan Guarantees .....              | 1.59        | 1.06    | 0.71      |
| 232999 Weighted average subsidy rate .....                         | 1.59        | 1.06    | 0.71      |
| Guaranteed loan subsidy budget authority:                          |             |         |           |
| 233001 Water and Waste Disposal Loan Guarantees .....              | .....       | 2       | 1         |
| 233999 Total subsidy budget authority .....                        | .....       | 2       | 1         |

This account funds the direct and guaranteed water and waste disposal loans, water and waste disposal grants, emergency community water assistance grants, and solid waste management grants.

Water and waste disposal loans are authorized under 7 U.S.C. 1926. The program provides direct loans to municipalities, counties, special purpose districts, certain Indian Tribes, and non-profit corporations to develop water and waste disposal systems in rural areas and towns with populations of less than

10,000. The program also guarantees water and waste disposal loans made by banks and other eligible lenders. In 2014 the projected loan level is \$1.2 billion for direct loans. No guaranteed loans are proposed for 2014 due to the increase in cost for this program coupled with the low demand for these funds.

Water and waste disposal grants are authorized under Section 306(a)(2) of the Consolidated Farm and Rural Development Act, as amended. Grants are authorized to be made to associations, including nonprofit corporations, municipalities, counties, public and quasi-public agencies, and certain Indian tribes. The grants can be used to finance development, storage, treatment, purification, or distribution of water or the collection, treatment, or disposal of waste in rural areas and cities or towns with populations of less than 10,000. The amount of any development grant may not exceed 75 percent of the eligible development cost of the project. In 2014, \$300 million is requested for this program.

Emergency community water assistance grants are authorized under Section 306A of the Consolidated Farm and Rural Development Act, as amended. Grants are made to public bodies and nonprofit organizations for construction or extension of water lines, repair or maintenance of existing systems, replacement of equipment, and payment of costs to correct emergency situations. These grants are funded on an as needed basis using flexibility of funds authority. The 2014 Budget assumes no funding for these grants.

Solid waste management grants are authorized under Section 310B(b) of the Consolidated Farm and Rural Development Act, as amended. Grants are made to non-profit organizations to provide regional technical assistance to local and regional governments and related agencies for the purpose of reducing or eliminating pollution of water resources, and for improving the planning and management of solid waste disposal facilities. In 2014 \$4 million is requested for this program.

## RURAL WATER AND WASTE DISPOSAL DIRECT LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12—4226—0—3—452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 947         | 951     | 1,200     |
| 0713 Payment of interest to Treasury .....                                      | 561         | 595     | 630       |
| 0740 Negative subsidy obligations .....   | .....       | .....   | 10        |
| 0742 Downward reestimate paid to receipt account .....                          | 98          | 209     | .....     |
| 0743 Interest on downward reestimates .....                                     | 28          | 64      | .....     |
| 0900 Total new obligations .....  | 1,634       | 1,819   | 1,840     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 60          | 333     | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 159         | .....   | .....     |
| 1023 Unobligated balances applied to repay debt .....                           | -80         | -333    | .....     |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | -139        | .....   | .....     |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 980         | 966     | 980       |
| 1440 Borrowing authority, mandatory (total) .....                               | 980         | 966     | 980       |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 1,516       | 1,168   | 1,225     |
| 1801 Change in uncollected payments, Federal sources .....                      | -53         | -70     | -120      |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -476        | -245    | -245      |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 987         | 853     | 860       |
| 1900 Financing authority (total) .....  | 1,967       | 1,819   | 1,840     |
| 1930 Total budgetary resources available .....                                  | 1,967       | 1,819   | 1,840     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 333         | .....   | .....     |

|  |  |        |        |        |
|--|--|--------|--------|--------|
| <b>Change in obligated balance:</b>                          |  |        |        |        |
| Unpaid obligations:  |  |        |        |        |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                     | 4,349  | 3,872  | 3,242  |
| 3010   | Obligations incurred, unexpired accounts .....                       | 1,634  | 1,819  | 1,840  |
| 3020   | Financing disbursements (gross) .....                                | -1,952 | -2,449 | -1,986 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....         | -159   |        |        |
| 3050   | Unpaid obligations, end of year .....                                | 3,872  | 3,242  | 3,096  |
| Uncollected payments:  |  |        |        |        |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | -412   | -359   | -289   |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....            | 53     | 70     | 120    |
| 3090   | Uncollected pymts, Fed sources, end of year .....                    | -359   | -289   | -169   |
| Memorandum (non-add) entries:                                |  |        |        |        |
| 3100   | Obligated balance, start of year .....                               | 3,937  | 3,513  | 2,953  |
| 3200   | Obligated balance, end of year .....                                 | 3,513  | 2,953  | 2,927  |
| <b>Financing authority and disbursements, net:</b>           |  |        |        |        |
| Mandatory:   |  |        |        |        |
| 4090   | Financing authority, gross .....                                     | 1,967  | 1,819  | 1,840  |
| Financing disbursements:                                     |  |        |        |        |
| 4110   | Financing disbursements, gross .....                                 | 1,952  | 2,449  | 1,986  |
| Offsets against gross financing authority and disbursements: |  |        |        |        |
| Offsetting collections (collected) from:                     |  |        |        |        |
| 4120   | Federal sources .....  | -141   | -164   | -120   |
| 4122   | Interest on uninvested funds .....                                   | -49    | -54    | -60    |
| 4123   | Repayment of principal .....   | -841   | -449   | -494   |
| 4123   | Interest Received on Loans .....                                     | -485   | -501   | -551   |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -1,516 | -1,168 | -1,225 |
| Additional offsets against financing authority only (total): |  |        |        |        |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....            | 53     | 70     | 120    |
| 4160   | Financing authority, net (mandatory) .....                           | 504    | 721    | 735    |
| 4170   | Financing disbursements, net (mandatory) .....                       | 436    | 1,281  | 761    |
| 4180   | Financing authority, net (total) .....                               | 504    | 721    | 735    |
| 4190   | Financing disbursements, net (total) .....                           | 436    | 1,281  | 761    |

**Status of Direct Loans** (in millions of dollars)

|  |  |         |           |        |
|--|--|---------|-----------|--------|
| Identification code 12-4226-0-3-452                                    |  |         |           |        |
|  | 2012 actual  | 2013 CR | 2014 est. |        |
| Position with respect to appropriations act limitation on obligations: |  |         |           |        |
| 1131   | Direct loan obligations exempt from limitation ..... | 947     | 951       | 1,200  |
| 1150   | Total direct loan obligations .....                  | 947     | 951       | 1,200  |
| Cumulative balance of direct loans outstanding:                        |  |         |           |        |
| 1210   | Outstanding, start of year .....                     | 10,871  | 11,280    | 12,456 |
| 1231   | Disbursements: Direct loan disbursements .....       | 1,264   | 1,625     | 1,345  |
| 1251   | Repayments: Repayments and prepayments .....         | -841    | -449      | -494   |
| 1261   | Adjustments: Capitalized interest .....              | 1       |           |        |
| 1263   | Write-offs for default: Direct loans .....           | -15     |           |        |
| 1290   | Outstanding, end of year .....                       | 11,280  | 12,456    | 13,307 |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. The subsidy cost of these loans is provided through the Rural Water and Waste Disposal Program Account. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**Balance Sheet** (in millions of dollars)

|   |   |             |        |  |
|---|---|-------------|--------|--|
| Identification code 12-4226-0-3-452                               |   |             |        |  |
|   | 2011 actual   | 2012 actual |        |  |
| <b>ASSETS:</b>  |   |             |        |  |
| Federal assets:   |   |             |        |  |
| 1101  | Fund balances with Treasury .....                         | 176         | 614    |  |
| Investments in US securities:                                     |   |             |        |  |
| 1106  | Receivables, net .....                                    | 15          | 17     |  |
| Net value of assets related to post-1991 direct loans receivable: |   |             |        |  |
| 1401  | Direct loans receivable, gross .....                      | 10,871      | 11,280 |  |
| 1402  | Interest receivable .....                                 | 109         | 102    |  |
| 1405  | Allowance for subsidy cost (-) .....                      | -735        | -556   |  |
| 1499  | Net present value of assets related to direct loans ..... | 10,245      | 10,826 |  |
| 1999  | Total assets .....  | 10,436      | 11,457 |  |

|                      |  |        |        |  |
|----------------------|--|--------|--------|--|
| <b>LIABILITIES:</b>  |  |        |        |  |
| Federal liabilities: |  |        |        |  |
| 2103                 | Debt .....                               | 10,310 | 11,183 |  |
| 2105                 | Other .....                              | 126    | 274    |  |
| 2999                 | Total liabilities .....                  | 10,436 | 11,457 |  |
| 4999                 | Total liabilities and net position ..... | 10,436 | 11,457 |  |

**RURAL WATER AND WASTE WATER DISPOSAL GUARANTEED LOANS FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

|  |   |         |           |    |
|--|---|---------|-----------|----|
| Identification code 12-4218-0-3-452                          |   |         |           |    |
|  | 2012 actual   | 2013 CR | 2014 est. |    |
| <b>Budgetary Resources:</b>                                  |   |         |           |    |
| Financing authority:   |   |         |           |    |
| Spending authority from offsetting collections, mandatory:   |   |         |           |    |
| 1800   | Collected .....   |         |           | 1  |
| 1850   | Spending auth from offsetting collections, mand (total) ..... |         |           | 1  |
| 1930   | Total budgetary resources available .....                     |         |           | 1  |
| Memorandum (non-add) entries:                                |   |         |           |    |
| 1941   | Unexpired unobligated balance, end of year .....              |         |           | 1  |
| <b>Financing authority and disbursements, net:</b>           |   |         |           |    |
| Mandatory:   |   |         |           |    |
| 4090   | Financing authority, gross .....                              |         |           | 1  |
| Offsets against gross financing authority and disbursements: |   |         |           |    |
| Offsetting collections (collected) from:                     |   |         |           |    |
| 4120   | Federal sources .....   |         |           | -1 |
| 4190   | Financing disbursements, net (total) .....                    |         |           | -1 |

**Status of Guaranteed Loans** (in millions of dollars)

|  |  |         |           |     |
|--|--|---------|-----------|-----|
| Identification code 12-4218-0-3-452                                    |  |         |           |     |
|  | 2012 actual  | 2013 CR | 2014 est. |     |
| Position with respect to appropriations act limitation on commitments: |  |         |           |     |
| 2131   | Guaranteed loan commitments exempt from limitation .....             | 8       | 177       | 98  |
| 2150   | Total guaranteed loan commitments .....                              | 8       | 177       | 98  |
| 2199   | Guaranteed amount of guaranteed loan commitments .....               | 7       | 159       | 88  |
| Cumulative balance of guaranteed loans outstanding:                    |  |         |           |     |
| 2210   | Outstanding, start of year .....                                     | 62      | 78        | 85  |
| 2231   | Disbursements of new guaranteed loans .....                          | 26      | 18        | 57  |
| 2251   | Repayments and prepayments .....                                     | -10     | -11       | -12 |
| 2290   | Outstanding, end of year .....                                       | 78      | 85        | 130 |
| Memorandum:  |  |         |           |     |
| 2299   | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 68      | 73        | 110 |

This account finances loan guarantee commitments for water systems and waste disposal facilities in rural areas.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals. Loans made prior to 1992 are recorded in the Rural Development Insurance Fund Liquidating Account.

**RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM ACCOUNT****(INCLUDING TRANSFER OF FUNDS)**

*The principal amount of guaranteed rural electric loans made under section 306 of the Rural Electrification Act of 1936 (7 U.S.C. 936) shall be \$4,000,000,000, and the principal amount of cost of money rural telecommunications loans made under section 305 of such Act (7 U.S.C. 935) shall be \$690,000,000: Provided, That not less than \$3,000,000,000 shall be used for the construction, acquisition, or improvement of renewable energy plants or for construction, acquisition or improvement of fossil-*

RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LOANS PROGRAM  
ACCOUNT—Continued

*fueled electric generating plants (whether new or existing) that utilize carbon sequestration systems: Provided further, That funding may be made available for fossil-fuel electric generating peaking units (new or existing) to the extent that the peaking unit operates in conjunction with an electric generating plant that produces electricity from solar, wind, or other intermittent sources of energy: Provided further, That not more than \$1,000,000,000 shall be available for environmental improvements to fossil-fuel electric generating plants that would reduce emission of air pollution including greenhouse gases.*

*In addition, for administrative expenses necessary to carry out the direct and guaranteed loan programs, \$34,694,000, which shall be paid to the appropriation for "Rural Development, Salaries and Expenses".*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–1230–0–1–271                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0705 Reestimates of direct loan subsidy .....                   | 239         | 423     | .....     |
| 0706 Interest on reestimates of direct loan subsidy .....       | 100         | 83      | .....     |
| 0709 Administrative expenses .....                              | 36          | 37      | 35        |
| 0900 Total new obligations .....                                | 375         | 543     | 35        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | .....       | .....   | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 37          | 38      | 35        |
| 1120 Appropriations transferred to other accts [12–4609] .....  | –1          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                 | 36          | 38      | 35        |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 339         | 506     | .....     |
| 1260 Appropriations, mandatory (total) .....                    | 339         | 506     | .....     |
| 1900 Budget authority (total) .....                             | 375         | 544     | 35        |
| 1930 Total budgetary resources available .....                  | 375         | 544     | 36        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | .....       | 1       | 1         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 9           | 3       | 1         |
| 3010 Obligations incurred, unexpired accounts .....             | 375         | 543     | 35        |
| 3020 Outlays (gross) .....                                      | –377        | –545    | –35       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 3           | 1       | 1         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 9           | 3       | 1         |
| 3200 Obligated balance, end of year .....                       | 3           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 36          | 38      | 35        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 36          | 37      | 35        |
| 4011 Outlays from discretionary balances .....                  | 2           | 2       | .....     |
| 4020 Outlays, gross (total) .....                               | 38          | 39      | 35        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 339         | 506     | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 339         | 506     | .....     |
| 4180 Budget authority, net (total) .....                        | 375         | 544     | 35        |
| 4190 Outlays, net (total) .....                                 | 377         | 545     | 35        |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12–1230–0–1–271                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115004 FFB Electric Loans .....                             | 4,318       | 4,742   | 4,000     |

|   |       |       |       |
|---|-------|-------|-------|
| 115006 Treasury Telecommunications Loans .....          | 19    | 80    | 690   |
| 115007 FFB Telecommunications Loans .....               | 61    | ..... | ..... |
| 115008 FFB Guaranteed Underwriting .....                | 424   | ..... | ..... |
| 115999 Total direct loan levels .....                   | 4,822 | 4,822 | 4,690 |
| Direct loan subsidy (in percent):                       |       |       |       |
| 132004 FFB Electric Loans .....                         | –4.00 | –6.29 | –3.31 |
| 132006 Treasury Telecommunications Loans .....          | –1.19 | –1.14 | –1.19 |
| 132007 FFB Telecommunications Loans .....               | –3.64 | ..... | ..... |
| 132008 FFB Guaranteed Underwriting .....                | –6.32 | ..... | ..... |
| 132999 Weighted average subsidy rate .....              | –4.19 | –6.20 | –3.00 |
| Direct loan subsidy budget authority:                   |       |       |       |
| 133004 FFB Electric Loans .....                         | –173  | –298  | –132  |
| 133006 Treasury Telecommunications Loans .....          | ..... | –1    | –8    |
| 133007 FFB Telecommunications Loans .....               | –2    | ..... | ..... |
| 133008 FFB Guaranteed Underwriting .....                | –27   | ..... | ..... |
| 133999 Total subsidy budget authority .....             | –202  | –299  | –140  |
| Direct loan subsidy outlays:                            |       |       |       |
| 134001 Electric Hardship Loans .....                    | –2    | –6    | –5    |
| 134004 FFB Electric Loans .....                         | –70   | –167  | –203  |
| 134005 Telecommunication Hardship Loans .....           | –2    | –3    | –3    |
| 134006 Treasury Telecommunications Loans .....          | ..... | ..... | –1    |
| 134007 FFB Telecommunications Loans .....               | –3    | –4    | –3    |
| 134008 FFB Guaranteed Underwriting .....                | ..... | –13   | –20   |
| 134999 Total subsidy outlays .....                      | –77   | –193  | –235  |
| Direct loan upward reestimates:                         |       |       |       |
| 135001 Electric Hardship Loans .....                    | 5     | 23    | ..... |
| 135002 Municipal Electric Loans .....                   | 3     | ..... | ..... |
| 135003 Treasury Electric Loans .....                    | 4     | 6     | ..... |
| 135004 FFB Electric Loans .....                         | 215   | 206   | ..... |
| 135005 Telecommunication Hardship Loans .....           | 3     | 4     | ..... |
| 135006 Treasury Telecommunications Loans .....          | 4     | 19    | ..... |
| 135007 FFB Telecommunications Loans .....               | 18    | 22    | ..... |
| 135008 FFB Guaranteed Underwriting .....                | 76    | 199   | ..... |
| 135011 Electric Loan Modifications .....                | 12    | 27    | ..... |
| 135999 Total upward reestimate budget authority .....   | 340   | 506   | ..... |
| Direct loan downward reestimates:                       |       |       |       |
| 137001 Electric Hardship Loans .....                    | –65   | –22   | ..... |
| 137002 Municipal Electric Loans .....                   | –8    | –24   | ..... |
| 137003 Treasury Electric Loans .....                    | –9    | –7    | ..... |
| 137004 FFB Electric Loans .....                         | –323  | –295  | ..... |
| 137005 Telecommunication Hardship Loans .....           | –8    | –5    | ..... |
| 137006 Treasury Telecommunications Loans .....          | –16   | –6    | ..... |
| 137007 FFB Telecommunications Loans .....               | –9    | –9    | ..... |
| 137008 FFB Guaranteed Underwriting .....                | –67   | –12   | ..... |
| 137011 Electric Loan Modifications .....                | –1    | ..... | ..... |
| 137999 Total downward reestimate budget authority ..... | –506  | –380  | ..... |
| Guaranteed loan downward reestimates:                   |       |       |       |
| Administrative expense data:                            |       |       |       |
| 3510 Budget authority .....                             | 36    | 37    | 35    |
| 3590 Outlays from new authority .....                   | 36    | 37    | 35    |

The Rural Utilities Service (RUS) conducts the rural electrification and the rural telecommunications loan programs. The rural electrification loan program finances the operation of generating plants, electric transmission, and distribution lines or systems. The rural telecommunications loan program provides funding for construction, expansion, and operation of telecommunications lines and facilities or systems. The Budget requests \$690 million in 2014 for the telecommunications loan program.

The Budget supports the Administration's commitment to phase out fossil fuel subsidies. The total electric loan level included in the Budget is \$4 billion, of which, up to \$1 billion may be available for environmental improvements to fossil fuel electric generating plants that would reduce emissions of air pollutants, including greenhouse gases. The remaining funding would be limited to renewable energy, transmission, distribution, carbon capture projects on generation facilities, and low emission peaking units affiliated with energy facilities that produce electricity from solar, wind and other intermittent sources of energy.

RUS will cancel loans obligated, but not disbursed, more than ten years ago. Most electric loans obligated more than ten years ago have either been disbursed or cancelled. However, current law prohibits the cancellation of telecommunications loans in most instances. This has resulted in many outstanding obligations

that are older than ten years. Since loans are issued for specific projects, and technology is changing at a very fast pace, it is doubtful that the original project will be accomplished ten years after a loan is approved.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, the subsidy costs associated with the direct and guaranteed loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

#### Object Classification (in millions of dollars)

| Identification code 12-1230-0-1-271                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 36          | 37      | 35        |
| 41.0 Grants, subsidies, and contributions .....          | 339         | 506     |           |
| 99.9 Total new obligations .....                         | 375         | 543     | 35        |

#### RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING ACCOUNT

##### Program and Financing (in millions of dollars)

| Identification code 12-4208-0-3-271                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0003 Interest on FFB Loans .....                       | 1,365       | 1,383   | 1,155     |
| Credit program obligations:                            |             |         |           |
| 0710 Direct loan obligations .....                     | 4,822       | 4,822   | 4,690     |
| 0713 Payment of interest to Treasury .....             | 645         | 813     | 918       |
| 0740 Negative subsidy obligations .....                | 202         | 299     | 140       |
| 0742 Downward reestimate paid to receipt account ..... | 305         | 224     |           |
| 0743 Interest on downward reestimates .....            | 202         | 156     |           |
| 0791 Direct program activities, subtotal .....         | 6,176       | 6,314   | 5,748     |
| 0900 Total new obligations .....                       | 7,541       | 7,697   | 6,903     |

##### Budgetary Resources:

|   |        |       |       |
|---|--------|-------|-------|
| Unobligated balance:  |        |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1,117  | 501   |       |
| 1021 Recoveries of prior year unpaid obligations .....                          | 901    |       |       |
| 1023 Unobligated balances applied to repay debt .....                           | -1,121 | -501  |       |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | -897   |       |       |
| Financing authority:  |        |       |       |
| Borrowing authority, mandatory:   |        |       |       |
| 1400 Borrowing authority .....  | 5,531  | 3,490 | 2,203 |
| 1440 Borrowing authority, mandatory (total) .....                               | 5,531  | 3,490 | 2,203 |
| Spending authority from offsetting collections, mandatory:                      |        |       |       |
| 1800 Collected .....  | 4,799  | 4,986 | 5,350 |
| 1801 Change in uncollected payments, Federal sources .....                      | -6     | -2    | -1    |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -2,282 | -777  | -649  |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 2,511  | 4,207 | 4,700 |
| 1900 Financing authority (total) .....  | 8,042  | 7,697 | 6,903 |
| 1930 Total budgetary resources available .....                                  | 8,042  | 7,697 | 6,903 |
| Memorandum (non-add) entries:   |        |       |       |
| 1941 Unexpired unobligated balance, end of year .....                           | 501    |       |       |

##### Change in obligated balance:

|   |        |         |        |
|---|--------|---------|--------|
| Unpaid obligations:   |        |         |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 19,052 | 17,802  | 15,011 |
| 3010 Obligations incurred, unexpired accounts .....               | 7,541  | 7,697   | 6,903  |
| 3020 Financing disbursements (gross) .....                        | -7,890 | -10,488 | -8,407 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -901   |         |        |
| 3050 Unpaid obligations, end of year .....                        | 17,802 | 15,011  | 13,507 |
| Uncollected payments:   |        |         |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -9     | -3      | -1     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 6      | 2       | 1      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -3     | -1      |        |

##### Memorandum (non-add) entries:

|   |        |        |        |
|---|--------|--------|--------|
| 3100 Obligated balance, start of year ..... | 19,043 | 17,799 | 15,010 |
| 3200 Obligated balance, end of year .....   | 17,799 | 15,010 | 13,507 |

##### Financing authority and disbursements, net:

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:  |        |        |        |
| 4090 Financing authority, gross .....                                     | 8,042  | 7,697  | 6,903  |
| Financing disbursements:  |        |        |        |
| 4110 Financing disbursements, gross .....                                 | 7,890  | 10,488 | 8,407  |
| Offsets against gross financing authority and disbursements:              |        |        |        |
| Offsetting collections (collected) from:                                  |        |        |        |
| 4120 Payment from program account .....                                   | -341   | -508   |        |
| 4122 Interest on uninvested funds .....                                   | -203   | -182   | -163   |
| 4123 Repayment of principal .....   | -2,620 | -1,823 | -1,990 |
| 4123 Interest received on loans .....                                     | -1,598 | -543   | -1,371 |
| 4123 Other .....  | -37    |        |        |
| 4123 Repayment of principal Cushion of Credit .....                       |        | -846   | -800   |
| 4123 Repayment of interest Cushion of Credit .....                        |        | -1,084 | -1,026 |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -4,799 | -4,986 | -5,350 |
| Additional offsets against financing authority only (total):              |        |        |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 6      | 2      | 1      |
| 4160 Financing authority, net (mandatory) .....                           | 3,249  | 2,713  | 1,554  |
| 4170 Financing disbursements, net (mandatory) .....                       | 3,091  | 5,502  | 3,057  |
| 4180 Financing authority, net (total) .....                               | 3,249  | 2,713  | 1,554  |
| 4190 Financing disbursements, net (total) .....                           | 3,091  | 5,502  | 3,057  |

##### Status of Direct Loans (in millions of dollars)

| Identification code 12-4208-0-3-271                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations:  |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....               | 4,822       | 4,822   | 4,690     |
| 1150 Total direct loan obligations .....                                | 4,822       | 4,822   | 4,690     |
| Cumulative balance of direct loans outstanding:                         |             |         |           |
| 1210 Outstanding, start of year .....                                   | 43,042      | 46,002  | 51,049    |
| 1231 Disbursements: Direct loan disbursements .....                     | 5,579       | 7,716   | 6,360     |
| Repayments:   |             |         |           |
| 1251 Repayments and prepayments - Cash .....                            | -2,620      | -1,823  | -1,990    |
| 1251 Repayments and prepayments - CoC .....                             |             | -846    | -800      |
| 1264 Write-offs for default: Other adjustments, Reclassified, net ..... | 1           |         |           |
| 1290 Outstanding, end of year .....                                     | 46,002      | 51,049  | 54,619    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from electric and telecommunication direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

##### Balance Sheet (in millions of dollars)

| Identification code 12-4208-0-3-271                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 1,069       | 1,142       |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 314         | 461         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 40,071      | 42,897      |
| 1402 Interest receivable .....                                    | 28          | 253         |
| 1405 Allowance for subsidy cost (-) .....                         | -672        | -759        |
| 1499 Net present value of assets related to direct loans .....    | 39,427      | 42,391      |
| 1999 Total assets .....   | 40,810      | 43,994      |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Debt .....   | 40,314      | 9,826       |
| 2103 FFB .....  |             | 33,508      |
| Non-Federal liabilities:  |             |             |
| 2202 Interest payable .....                                       | 22          | 301         |
| 2207 Other .....  | 474         | 359         |
| 2999 Total liabilities .....                                      | 40,810      | 43,994      |
| 4999 Total liabilities and net position .....                     | 40,810      | 43,994      |

# RURAL ELECTRIFICATION AND TELECOMMUNICATIONS DIRECT LOAN FINANCING ACCOUNT—Continued Balance Sheet—Continued

| Identification code 12-4208-0-3-271                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 113         | 99          |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 25          | 44          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 2,971       | 3,105       |
| 1402 Interest receivable .....                                    | 1           | 3           |
| 1405 Allowance for subsidy cost (-) .....                         | 12          | -7          |
| 1499 Net present value of assets related to direct loans .....    | 2,984       | 3,101       |
| 1999 Total assets .....   | 3,122       | 3,244       |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2102 Interest payable .....                                       |             | 5           |
| 2103 Debt .....   | 3,089       | 2,335       |
| 2103 FFB .....  |             | 884         |
| 2207 Non-Federal liabilities: Other .....                         | 33          | 20          |
| 2999 Total liabilities .....                                      | 3,122       | 3,244       |
| 4999 Total liabilities and net position .....                     | 3,122       | 3,244       |

|   |       |       |       |
|---|-------|-------|-------|
| 1200 Appropriation for RED Grants .....   | 192   | 180   | 156   |
| 1260 Appropriations, mandatory (total) .....                                    | 910   | 702   | 423   |
| Spending authority from offsetting collections, mandatory:                      |       |       |       |
| 1800 Collected .....  | 2,043 | 1,886 | 1,627 |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -841  | -799  | -759  |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 1,202 | 1,087 | 868   |
| 1900 Budget authority (total) .....   | 2,112 | 1,789 | 1,291 |
| 1930 Total budgetary resources available .....                                  | 5,152 | 5,555 | 4,640 |
| Memorandum (non-add) entries:   |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....                           | 4,808 | 3,349 | 2,592 |

## Change in obligated balance:

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 84   | 51    | 2,037 |
| 3010 Obligations incurred, unexpired accounts .....               | 344  | 2,206 | 2,048 |
| 3020 Outlays (gross) .....  | -334 | -220  | -182  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -43  |       |       |
| 3050 Unpaid obligations, end of year .....                        | 51   | 2,037 | 3,903 |
| Memorandum (non-add) entries:                                     |      |       |       |
| 3100 Obligated balance, start of year .....                       | 84   | 51    | 2,037 |
| 3200 Obligated balance, end of year .....                         | 51   | 2,037 | 3,903 |

## Budget authority and outlays, net:

|  |        |        |        |
|--|--------|--------|--------|
| Mandatory:   |        |        |        |
| 4090 Budget authority, gross .....                                   | 2,112  | 1,789  | 1,291  |
| Outlays, gross:  |        |        |        |
| 4100 Outlays from new mandatory authority .....                      | 294    | 188    | 157    |
| 4101 Outlays from mandatory balances .....                           | 40     | 32     | 25     |
| 4110 Outlays, gross (total) .....                                    | 334    | 220    | 182    |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4123 Loans Repaid - Cash .....                                       | -1,373 | -599   | -449   |
| 4123 Interest Repaid - Cash .....                                    | -212   | -206   | -142   |
| 4123 Cushion of Credit Deposits .....                                | -458   | -384   | -511   |
| 4123 Loans Repaid - CoC .....  |        | -540   | -404   |
| 4123 Interest Repaid - CoC .....                                     |        | -147   | -110   |
| 4123 Electric Underwriting Fee .....                                 |        | -10    | -11    |
| 4130 Offsets against gross budget authority and outlays (total) .... | -2,043 | -1,886 | -1,627 |
| 4160 Budget authority, net (mandatory) .....                         | 69     | -97    | -336   |
| 4170 Outlays, net (mandatory) .....                                  | -1,709 | -1,666 | -1,445 |
| 4180 Budget authority, net (total) .....                             | 69     | -97    | -336   |
| 4190 Outlays, net (total) .....                                      | -1,709 | -1,666 | -1,445 |

# RURAL ELECTRIFICATION AND TELECOMMUNICATIONS GUARANTEED LOANS FINANCING ACCOUNT

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 12-4209-0-3-271                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 199         | 193     | 189       |
| 2251 Repayments and prepayments .....                                     | -6          | -4      | -4        |
| 2290 Outstanding, end of year .....                                       | 193         | 189     | 185       |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 193         | 189     | 185       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from guaranteed loans committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

# RURAL ELECTRIFICATION AND TELECOMMUNICATIONS LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-4230-0-3-999                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0002 Interest Expense, FFB direct .....                | 158         | 96      | 66        |
| 0005 Other: cushion of credit .....                    | 186         | 180     | 156       |
| 0091 Direct program activities, subtotal .....         | 344         | 276     | 222       |
| Credit program obligations:                            |             |         |           |
| 0739 CoC for Financing .....                           |             | 1,930   | 1,826     |
| 0900 Total new obligations .....                       | 344         | 2,206   | 2,048     |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2,997       | 4,808   | 3,349     |
| 1021 Recoveries of prior year unpaid obligations ..... | 43          |         |           |
| 1023 Unobligated balances applied to repay debt .....  |             | -1,042  |           |
| 1050 Unobligated balance (total) .....                 | 3,040       | 3,766   | 3,349     |
| Budget authority:                                      |             |         |           |
| Appropriations, mandatory:                             |             |         |           |
| 1200 Appropriation for CoC Borrower Interest .....     | 190         | 178     | 198       |
| 1200 Appropriation for CBOs .....                      | 528         | 344     | 69        |

## Status of Direct Loans (in millions of dollars)

| Identification code 12-4230-0-3-999             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year .....           | 2,596       | 4,285   | 3,252     |
| Repayments:                                     |             |         |           |
| 1251 Repayments and prepayments - Cash .....    | -1,373      | -599    | -449      |
| 1251 Repayments and prepayments - CoC .....     |             | -540    | -404      |
| 1261 Adjustments: Capitalized interest .....    |             | 106     | 82        |
| Write-offs for default:                         |             |         |           |
| 1264 Other adjustments, net (+ or -) .....      | -735        |         |           |
| 1264 Other adjustments, net (+ or -) .....      | 3,797       |         |           |
| 1290 Outstanding, end of year .....             | 4,285       | 3,252   | 2,481     |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 12-4230-0-3-999                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 97          | 96      | 91        |
| 2251 Repayments and prepayments .....                                     | -1          | -5      | -5        |
| 2290 Outstanding, end of year .....                                       | 96          | 91      | 86        |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 96          | 91      | 86        |

## STATUS OF AGENCY DEBT

[In millions of dollars]

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Agency debt held by FFB:                    |             |           |           |
| Outstanding FFB direct, start of year ..... | 1,835       | 1,119     | 760       |



|  |       |       |      |
|--|-------|-------|------|
| Outstanding Certificate of Beneficial Ownership (CBO's), start of year ..... | 1,675 | 1,147 | 803  |
| New agency borrowing, FFB direct .....                                       | 0     | 0     | 0    |
| Repayments and prepayments, FFB Direct .....                                 | -716  | -359  | -244 |
| Repayments, CBO's .....  | -528  | -344  | -69  |
| Outstanding FFB direct, end of year .....                                    | 1,119 | 760   | 516  |
| Outstanding CBO's, end of year .....   | 1,147 | 803   | 734  |

The Rural Telephone Bank was dissolved in 2006. To accomplish this, the Rural Telephone Bank liquidating account loans were used to redeem a portion of the Government's stock. The Rural Telephone Bank liquidating account loans were transferred to the Rural Electrification and Telecommunications liquidating account in 2006.

The Rural Utilities Service (RUS) continues to service all loans in this account, providing business management and technical assistance to the borrowers on a regular basis over the life of the loans.

*Rural electric.*—This program is financed through RUS direct loans for the construction and operation of generating plants, electric transmission, and distribution lines or systems.

As required by the Federal Credit Reform Act of 1990, this account records, for rural electrification and telecommunications programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in RETRF in 1992 and beyond is recorded in corresponding program and financing accounts.

The following tables reflect statistics on loans made through the liquidating account only. Since 1992 new electric and telephone loans have been made through a separate program account.

## ELECTRIC PROGRAM STATISTICS

[dollars in millions]

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Cumulative RUS financed direct loans .....    | 21,832      | 21,832    | 21,832    |
| Cumulative FFB financed direct loans .....    | 26,598      | 26,598    | 26,598    |
| Cumulative RUS funds advanced .....           | 21,832      | 21,832    | 21,832    |
| Unadvanced RUS funds, end of year .....       | 0           | 0         | 0         |
| Cumulative RUS principal repaid .....         | 20,624      | 21,149    | 21,680    |
| Cumulative RUS interest paid .....            | 13,632      | 13,972    | 14,312    |
| Cumulative loan guarantee commitments\1 ..... | 0           | 0         | 0         |
| Number of borrowers .....                     | 287         | 261       | 235       |

*Rural telecommunications.*—This loan program is financed through RUS direct loans for the construction, expansion, and operation of telecommunications lines and facilities or systems.

## TELECOMMUNICATIONS PROGRAM STATISTICS

[dollars in millions]

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Cumulative RUS financed direct loans .....    | 5,916       | 5,916     | 5,916     |
| Cumulative FFB financed direct loans .....    | 562         | 562       | 562       |
| Cumulative RUS funds advanced .....           | 5,916       | 5,916     | 5,916     |
| Unadvanced RUS funds, end of period .....     | 0           | 0         | 0         |
| Cumulative RUS principal repaid .....         | 5,507       | 5,622     | 5,742     |
| Cumulative RUS interest paid .....            | 3,491       | 3,546     | 3,605     |
| Cumulative loan guarantee commitments\1 ..... | 0           | 0         | 0         |
| Number of borrowers .....                     | 269         | 245       | 221       |

## RURAL TELEPHONE BANK PROGRAM STATISTICS

[dollars in millions]

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Cumulative net loans .....               | 2,471       | 2,471     | 2,471     |
| Cumulative loan funds, advanced .....    | 2,471       | 2,471     | 2,471     |
| Unadvanced loan funds, end of year ..... | 0           | 0         | 0         |
| Cumulative principal repaid .....        | 2,456       | 2,460     | 2,465     |
| Cumulative interest paid .....           | 2,460       | 2,463     | 2,466     |
| Number of borrowers .....                | 34          | 30        | 25        |

## Balance Sheet (in millions of dollars)

| Identification code 12-4230-0-3-999                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1101 Federal assets: Fund balances with Treasury ..... | 2,907       | 4,466       |
| 1601 Direct loans, gross .....                         | 2,178       | 4,065       |
| 1602 Interest receivable .....                         | 41          | 157         |

|   |        |        |
|---|--------|--------|
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -1,467 | -1,087 |
| 1699 Value of assets related to direct loans .....                      | 752    | 3,135  |
| 1999 Total assets .....   | 3,659  | 7,601  |
| LIABILITIES:  |        |        |
| Federal liabilities:  |        |        |
| 2102 Interest payable .....   | 23     | 36     |
| 2103 Debt .....   | 3,979  | 3,359  |
| 2104 Resources payable to Treasury .....                                | 14     | 586    |
| 2105 Other .....  | -357   |        |
| 2207 Non-Federal liabilities: Other .....                               |        | 3,620  |
| 2999 Total liabilities .....  | 3,659  | 7,601  |
| 4999 Total liabilities and net position .....                           | 3,659  | 7,601  |
| ASSETS:   |        |        |
| 1101 Federal assets: Fund balances with Treasury .....                  | 174    | 394    |
| 1601 Direct loans, gross .....  | 418    | 220    |
| 1602 Interest receivable .....  | 1      | 1      |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -19    | -1     |
| 1699 Value of assets related to direct loans .....                      | 400    | 220    |
| 1999 Total assets .....   | 574    | 614    |
| LIABILITIES:  |        |        |
| Federal liabilities:  |        |        |
| 2102 Interest payable .....   | 4      |        |
| 2103 Debt .....   | 221    | 96     |
| 2104 Resources payable to Treasury .....                                | 339    | 1      |
| 2207 Non-Federal liabilities: Other .....                               |        | 507    |
| 2999 Total liabilities .....  | 564    | 604    |
| NET POSITION:   |        |        |
| 3300 Cumulative results of operations .....                             | 10     | 10     |
| 4999 Total liabilities and net position .....                           | 574    | 614    |

## Object Classification (in millions of dollars)

| Identification code 12-4230-0-3-999                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 186         | 180     | 156       |
| 43.0 Interest and dividends .....                  | 158         | 96      | 66        |
| 94.0 Financial transfers .....                     |             | 1,930   | 1,826     |
| 99.9 Total new obligations .....                   | 344         | 2,206   | 2,048     |

## RURAL TELEPHONE BANK PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-1231-0-1-452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                                |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0705 Reestimates of direct loan subsidy .....                   |             | 2       |           |
| 0706 Interest on reestimates of direct loan subsidy .....       | 1           | 3       |           |
| 0900 Total new obligations (object class 41.0) .....            | 1           | 5       |           |
| Budgetary Resources:  |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 1           | 5       |           |
| 1260 Appropriations, mandatory (total) .....                    | 1           | 5       |           |
| 1900 Budget authority (total) .....                             | 1           | 5       |           |
| 1930 Total budgetary resources available .....                  | 1           | 5       |           |
| Change in obligated balance:                                    |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 3           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....             | 1           | 5       |           |
| 3020 Outlays (gross) .....                                      | -2          | -5      | -1        |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 1           | 1       |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 3           | 1       | 1         |
| 3200 Obligated balance, end of year .....                       | 1           | 1       |           |

RURAL TELEPHONE BANK PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 12–1231–0–1–452             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>       |             |         |           |
| Discretionary:                                  |             |         |           |
| Outlays, gross:                                 |             |         |           |
| 4011 Outlays from discretionary balances .....  | 1           | .....   | 1         |
| Mandatory:                                      |             |         |           |
| 4090 Budget authority, gross .....              | 1           | 5       | .....     |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... | 1           | 5       | .....     |
| 4180 Budget authority, net (total) .....        | 1           | 5       | .....     |
| 4190 Outlays, net (total) .....                 | 2           | 5       | 1         |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12–1231–0–1–452                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan subsidy outlays:                            |             |         |           |
| 134001 Rural Telephone Bank .....                       | 1           | 1       | 1         |
| 134999 Total subsidy outlays .....                      | 1           | 1       | 1         |
| Direct loan upward reestimates:                         |             |         |           |
| 135001 Rural Telephone Bank .....                       | 1           | 4       | .....     |
| 135999 Total upward reestimate budget authority .....   | 1           | 4       | .....     |
| Direct loan downward reestimates:                       |             |         |           |
| 137001 Rural Telephone Bank .....                       | –2          | –1      | .....     |
| 137999 Total downward reestimate budget authority ..... | –2          | –1      | .....     |

The Rural Telephone Bank (RTB) completed dissolution in 2006, therefore no federally funded RTB loans are proposed.

As required by the Federal Credit Reform Act of 1990, this account records, for the RTB, the subsidy costs associated with the direct loans obligated in 1992 and beyond as well as administrative expenses for the program. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

## RURAL TELEPHONE BANK DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12–4210–0–3–452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 18          | 19      | 19        |
| 0742 Downward reestimate paid to receipt account .....                          | 2           | 1       | .....     |
| 0900 Total new obligations .....  | 20          | 20      | 19        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | .....       | 3       | 2         |
| 1021 Recoveries of prior year unpaid obligations .....                          | 57          | .....   | .....     |
| 1023 Unobligated balances applied to repay debt .....                           | –1          | .....   | .....     |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | –56         | .....   | .....     |
| 1050 Unobligated balance (total) .....  | .....       | 3       | 2         |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 2           | .....   | .....     |
| 1440 Borrowing authority, mandatory (total) .....                               | 2           | .....   | .....     |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 74          | 53      | 55        |
| 1801 Change in uncollected payments, Federal sources .....                      | –1          | –1      | .....     |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –52         | –33     | –36       |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 21          | 19      | 19        |
| 1900 Financing authority (total) .....  | 23          | 19      | 19        |
| 1930 Total budgetary resources available .....                                  | 23          | 22      | 21        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 3           | 2       | 2         |

## Change in obligated balance:

|   |     |       |       |
|---|-----|-------|-------|
| Unpaid obligations:   |     |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 164 | 99    | 92    |
| 3010 Obligations incurred, unexpired accounts .....               | 20  | 20    | 19    |
| 3020 Financing disbursements (gross) .....                        | –28 | –27   | –27   |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –57 | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 99  | 92    | 84    |
| Uncollected payments:   |     |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –3  | –2    | –1    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 1   | 1     | ..... |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –2  | –1    | –1    |
| Memorandum (non-add) entries:                                     |     |       |       |
| 3100 Obligated balance, start of year .....                       | 161 | 97    | 91    |
| 3200 Obligated balance, end of year .....                         | 97  | 91    | 83    |

## Financing authority and disbursements, net:

|   |     |     |       |
|---|-----|-----|-------|
| Mandatory:  |     |     |       |
| 4090 Financing authority, gross .....                                     | 23  | 19  | 19    |
| Financing disbursements:  |     |     |       |
| 4110 Financing disbursements, gross .....                                 | 28  | 27  | 27    |
| Offsets against gross financing authority and disbursements:              |     |     |       |
| Offsetting collections (collected) from:                                  |     |     |       |
| 4120 Federal sources .....  | –2  | –6  | –1    |
| 4122 Interest on uninvested funds .....                                   | –1  | –2  | –2    |
| 4123 Principal received on loans .....                                    | –52 | –27 | –33   |
| 4123 Interest received on loans .....                                     | –19 | –18 | –19   |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –74 | –53 | –55   |
| Additional offsets against financing authority only (total):              |     |     |       |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 1   | 1   | ..... |
| 4160 Financing authority, net (mandatory) .....                           | –50 | –33 | –36   |
| 4170 Financing disbursements, net (mandatory) .....                       | –46 | –26 | –28   |
| 4180 Financing authority, net (total) .....                               | –50 | –33 | –36   |
| 4190 Financing disbursements, net (total) .....                           | –46 | –26 | –28   |

## Status of Direct Loans (in millions of dollars)

| Identification code 12–4210–0–3–452                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:     |             |         |           |
| 1210 Outstanding, start of year .....               | 365         | 320     | 320       |
| 1231 Disbursements: Direct loan disbursements ..... | 7           | 27      | 27        |
| 1251 Repayments: Repayments and prepayments .....   | –52         | –27     | –33       |
| 1290 Outstanding, end of year .....                 | 320         | 320     | 314       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 12–4210–0–3–452                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 12          | 16          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 365         | 320         |
| 1405 Allowance for subsidy cost (–) .....                         | 28          | 27          |
| 1499 Net present value of assets related to direct loans .....    | 393         | 347         |
| 1999 Total assets .....   | 405         | 363         |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 405         | 363         |
| 4999 Total liabilities and net position .....                     | 405         | 363         |

## DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND PROGRAM

For the principal amount of broadband telecommunication loans, \$63,356,000.

For grants for telemedicine and distance learning services in rural areas, as authorized by 7 U.S.C. 950aaa et seq., \$24,950,000, to remain available until expended.

For the cost of broadband loans, as authorized by section 601 of the Rural Electrification Act, \$8,268,000, to remain available until expended: Provided, That the cost of direct loans shall be as defined in section 502 of the Congressional Budget Act of 1974.

In addition, \$10,372,000, to remain available until expended, for a grant program to finance broadband transmission in rural areas eligible for Distance Learning and Telemedicine Program benefits authorized by 7 U.S.C. 950aaa.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12–1232–0–1–452                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0010 Grants .....   | 26          | 45      | 44        |
| Credit program obligations:                                       |             |         |           |
| Direct loan subsidy .....   | 2           | 5       | 33        |
| Reestimates of direct loan subsidy .....                          | 6           | 39      | .....     |
| Interest on reestimates of direct loan subsidy .....              | 2           | 17      | .....     |
| 0791 Direct program activities, subtotal .....                    | 10          | 61      | 33        |
| 0900 Total new obligations (object class 41.0) .....              | 36          | 106     | 77        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 25          | 45      | 33        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 25          | 45      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 10          | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 35          | 45      | 33        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 37          | 38      | 44        |
| 1160 Appropriation, discretionary (total) .....                   | 37          | 38      | 44        |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 9           | 56      | .....     |
| 1260 Appropriations, mandatory (total) .....                      | 9           | 56      | .....     |
| 1900 Budget authority (total) .....                               | 46          | 94      | 44        |
| 1930 Total budgetary resources available .....                    | 81          | 139     | 77        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 45          | 33      | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,160       | 1,513   | 795       |
| 3010 Obligations incurred, unexpired accounts .....               | 36          | 106     | 77        |
| 3020 Outlays (gross) .....  | –596        | –824    | –722      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –10         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –77         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 1,513       | 795     | 150       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 2,160       | 1,513   | 795       |
| 3200 Obligated balance, end of year .....                         | 1,513       | 795     | 150       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 37          | 38      | 44        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | .....       | 1       | 3         |
| 4011 Outlays from discretionary balances .....                    | 587         | 767     | 719       |
| 4020 Outlays, gross (total) .....                                 | 587         | 768     | 722       |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 9           | 56      | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 9           | 56      | .....     |
| 4180 Budget authority, net (total) .....                          | 46          | 94      | 44        |
| 4190 Outlays, net (total) .....                                   | 596         | 824     | 722       |

#### Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12–1232–0–1–452                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115003 Broadband Treasury Rate Loans .....                  | 69          | 53      | 257       |
| 115999 Total direct loan levels .....                       | 69          | 53      | 257       |

|   |      |      |       |
|---|------|------|-------|
| Direct loan subsidy (in percent):                       |      |      |       |
| 132003 Broadband Treasury Rate Loans .....              | 3.55 | 9.47 | 13.05 |
| 132999 Weighted average subsidy rate .....              | 3.55 | 9.47 | 13.05 |
| Direct loan subsidy budget authority:                   |      |      |       |
| 133003 Broadband Treasury Rate Loans .....              | 2    | 5    | 34    |
| 133999 Total subsidy budget authority .....             | 2    | 5    | 34    |
| Direct loan subsidy outlays:                            |      |      |       |
| 134003 Broadband Treasury Rate Loans .....              | 1    | 3    | 4     |
| 134004 Broadband Treasury Rate Loans - ARRA .....       | 22   | 19   | 16    |
| 134999 Total subsidy outlays .....                      | 23   | 22   | 20    |
| Direct loan upward reestimates:                         |      |      |       |
| 135001 Distance Learning and Telemedicine Loans .....   | 4    | 5    | ..... |
| 135003 Broadband Treasury Rate Loans .....              | 4    | 51   | ..... |
| 135999 Total upward reestimate budget authority .....   | 8    | 56   | ..... |
| Direct loan downward reestimates:                       |      |      |       |
| 137001 Distance Learning and Telemedicine Loans .....   | –7   | –1   | ..... |
| 137003 Broadband Treasury Rate Loans .....              | –34  | –19  | ..... |
| 137999 Total downward reestimate budget authority ..... | –41  | –20  | ..... |

The loan and grant program provides access to advanced telecommunications services for improved education and health care in rural areas throughout the country. The loans and grants help education and health care providers bring the most modern technology, level of care, and education to rural America so its citizens can compete regionally, nationally, and globally.

Since there is little demand for the Distance Learning, Telemedicine (DLT) loans, the Budget proposes no funding for DLT loans in 2014 while requesting \$24.95 million for DLT grants. The request for Broadband grants is \$10.4 million and the Broadband loan request is \$8.3 million.

#### DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 12–4146–0–3–452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 69          | 53      | 257       |
| 0713 Payment of interest to Treasury .....                                      | 38          | 39      | 39        |
| 0742 Downward reestimate paid to receipt account .....                          | 36          | 17      | .....     |
| 0743 Interest on downward reestimates .....                                     | 6           | 2       | .....     |
| 0900 Total new obligations .....  | 149         | 111     | 296       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 361         | .....   | .....     |
| 1023 Unobligated balances applied to repay debt .....                           | –10         | .....   | .....     |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | –351        | .....   | .....     |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 127         | .....   | 137       |
| 1440 Borrowing authority, mandatory (total) .....                               | 127         | .....   | 137       |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 105         | 232     | 173       |
| 1801 Change in uncollected payments, Federal sources .....                      | –31         | 19      | –14       |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –52         | –140    | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 22          | 111     | 159       |
| 1900 Financing authority (total) .....  | 149         | 111     | 296       |
| 1930 Total budgetary resources available .....                                  | 149         | 111     | 296       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 1,411       | 781     | 536       |
| 3010 Obligations incurred, unexpired accounts .....                             | 149         | 111     | 296       |
| 3020 Financing disbursements (gross) .....                                      | –418        | –356    | –211      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –361        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                      | 781         | 536     | 621       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –85         | –54     | –73       |

**DISTANCE LEARNING, TELEMEDICINE, AND BROADBAND DIRECT LOAN  
FINANCING ACCOUNT—Continued  
Program and Financing—Continued**

| Identification code 12-4146-0-3-452                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | 31          | -19     | 14        |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -54         | -73     | -59       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 1,326       | 727     | 463       |
| 3200 Obligated balance, end of year .....                                 | 727         | 463     | 562       |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 149         | 111     | 296       |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 418         | 356     | 211       |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources .....  | -32         | -78     | -20       |
| 4122 Interest on uninvested funds .....                                   | -7          | -7      | -4        |
| 4123 Repayment of principal .....   | -42         | -106    | -125      |
| 4123 Interest received on loans .....                                     | -24         | -41     | -24       |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -105        | -232    | -173      |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 31          | -19     | 14        |
| 4160 Financing authority, net (mandatory) .....                           | 75          | -140    | 137       |
| 4170 Financing disbursements, net (mandatory) .....                       | 313         | 124     | 38        |
| 4180 Financing authority, net (total) .....                               | 75          | -140    | 137       |
| 4190 Financing disbursements, net (total) .....                           | 313         | 124     | 38        |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4146-0-3-452                                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations:   |             |         |           |
| 1111 Limitation on direct loans .....                                    | 69          | 53      | 257       |
| 1150 Total direct loan obligations .....                                 | 69          | 53      | 257       |
| Cumulative balance of direct loans outstanding:                          |             |         |           |
| 1210 Outstanding, start of year .....                                    | 695         | 949     | 1,141     |
| 1231 Disbursements: Direct loan disbursements .....                      | 338         | 298     | 172       |
| 1251 Repayments: Repayments and prepayments .....                        | -42         | -106    | -125      |
| 1264 Write-offs for default: Charge Off - Misc and Assn Loans, net ..... | -42         |         |           |
| 1290 Outstanding, end of year .....                                      | 949         | 1,141   | 1,188     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 12-4146-0-3-452                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 29          | 23          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 695         | 949         |
| 1402 Interest receivable .....                                    | 4           | 3           |
| 1405 Allowance for subsidy cost (-) .....                         | 19          | 78          |
| 1405 Allowance for loss on interest receivable (-) .....          | -3          | -2          |
| 1499 Net present value of assets related to direct loans .....    | 715         | 1,028       |
| 1999 Total assets .....   | 744         | 1,051       |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 744         | 1,051       |
| 4999 Total liabilities and net position .....                     | 744         | 1,051       |

**RURAL DEVELOPMENT INSURANCE FUND LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 12-4155-0-3-452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 6           | 26      |           |
| 1022 Capital transfer of unobligated balances to general fund .....                           | -6          | -26     |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 226         | 246     | 118       |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -200        | -246    | -118      |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 26          |         |           |
| 1930 Total budgetary resources available .....  | 26          |         |           |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 26          |         |           |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 26          |         |           |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | -226        | -246    | -118      |
| 4180 Budget authority, net (total) .....  | -200        | -246    | -118      |
| 4190 Outlays, net (total) .....   | -226        | -246    | -118      |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4155-0-3-452               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 1,072       | 904     | 750       |
| 1251 Repayments: Repayments and prepayments ..... | -168        | -154    | -73       |
| 1290 Outstanding, end of year .....               | 904         | 750     | 677       |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 12-4155-0-3-452                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     |             | 5       | 4         |
| 2210 Outstanding, start of year .....                                     | 9           |         |           |
| 2251 Repayments and prepayments .....                                     | -4          | -1      | -1        |
| 2290 Outstanding, end of year .....                                       | 5           | 4       | 3         |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 4           | 3       | 2         |

The Rural Development Insurance Fund (RDIF) was established on October 1, 1972, pursuant to section 116 of the Rural Development Act of 1972 (Public Law 92-419).

The fund is used to insure or guarantee loans for water systems and waste disposal facilities, community facilities, and industrial development in rural areas. Communities unable to afford low interest loans for water and waste disposal facilities are also able to obtain water and waste disposal grants.

The water and waste direct and guaranteed loan programs are administered by the Rural Utilities Service, the community facility direct and guaranteed loan programs are administered by the Rural Housing Service, and the business and industry direct and guaranteed loan programs are administered by the Rural Business-Cooperative Service.

As required by the Federal Credit Reform Act of 1990, this account records, for these loan programs, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in these programs is recorded in corresponding program accounts and financing accounts.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4155-0-3-452                                       | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                    | 6           | 26          |
| 1201 Non-Federal assets: Investments in non-Federal securities, net ..... | 34          | 34          |
| 1601 Direct loans, gross .....  | 1,072       | 904         |
| 1602 Interest receivable .....  | 10          | 8           |
| 1603 Allowance for estimated uncollectible loans and interest (-) .....   | -117        | -40         |
| 1699 Value of assets related to direct loans .....                        | 965         | 872         |
| 1999 Total assets .....   | 1,005       | 932         |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....             | 1,004       | 931         |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees .....       | 1           | 1           |
| 2999 Total liabilities .....  | 1,005       | 932         |
| 4999 Total liabilities and net position .....                             | 1,005       | 932         |

**RURAL COMMUNICATION DEVELOPMENT FUND LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 12-4142-0-3-452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 2           | 2       | .....     |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -2          | -2      | .....     |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | -2          | -2      | .....     |
| 4180 Budget authority, net (total) .....  | -2          | -2      | .....     |
| 4190 Outlays, net (total) .....   | -2          | -2      | .....     |

**Status of Direct Loans** (in millions of dollars)

| Identification code 12-4142-0-3-452               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 2           | 2       | .....     |
| 1251 Repayments: Repayments and prepayments ..... | .....       | -2      | .....     |
| 1290 Outstanding, end of year .....               | 2           | .....   | .....     |

The Rural Communication Development Fund was established pursuant to the Secretary's Memorandum No. 1988, approved May 22, 1979. No loans have been made through this account since 1992.

**Balance Sheet** (in millions of dollars)

| Identification code 12-4142-0-3-452                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1601 Direct loans, gross .....  | 2           | 2           |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -1          | -1          |
| 1699 Value of assets related to direct loans .....                      | 1           | 1           |
| 1999 Total assets .....   | 1           | 1           |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 1           | 1           |
| 4999 Total liabilities and net position .....                           | 1           | 1           |

**FOREIGN AGRICULTURAL SERVICE****Federal Funds****SALARIES AND EXPENSES**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Foreign Agricultural Service, including not to exceed \$158,000 for representation allowances and for expenses pursuant to section 8 of the Act approved August 3, 1956 (7 U.S.C. 1766), \$178,826,000: Provided, That the Service may utilize advances of funds, or reimburse this appropriation for expenditures made on behalf of Federal agencies, public and private organizations and institutions under agreements executed pursuant to the agricultural food production assistance programs (7 U.S.C. 1737) and the foreign assistance programs of the United States Agency for International Development: Provided further, That funds made available for middle-income country training programs, funds made available for the Borlaug International Agricultural Science and Technology Fellowship program, and up to \$2,000,000 of the Foreign Agricultural Service appropriation solely for the purpose of offsetting fluctuations in international currency exchange rates, subject to documentation by the Foreign Agricultural Service, shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 12-2900-0-1-352  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | .....       | .....   | 1         |
| <b>Receipts:</b>   |             |         |           |
| 0220 Deposits of Miscellaneous Contributed Funds, Foreign Agricultural Service ..... | .....       | 1       | 1         |
| 0400 Total: Balances and collections .....   | .....       | 1       | 2         |
| 0799 Balance, end of year .....  | .....       | 1       | 2         |

**Program and Financing** (in millions of dollars)

| Identification code 12-2900-0-1-352        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>    |             |         |           |
| 0001 Trade Promotion .....                 | 66          | 65      | 66        |
| 0002 Trade Policy .....                    | 77          | 78      | 78        |
| 0003 Capacity Building/Food Security ..... | 40          | 41      | 41        |
| 0799 Total direct obligations .....        | 183         | 184     | 185       |
| 0801 Reimbursable Program .....            | 149         | 146     | 146       |
| 0900 Total new obligations .....           | 332         | 330     | 331       |

**Budgetary Resources:**

|  |       |       |       |
|--|-------|-------|-------|
| <b>Unobligated balance:</b>  |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 53    | 44    | 29    |
| 1011 Unobligated balance transfer from other accts [72-1037] ..... | 14    | ..... | ..... |
| 1011 Unobligated balance transfer from other accts [72-1021] ..... | 1     | ..... | ..... |
| 1021 Recoveries of prior year unpaid obligations .....             | 9     | ..... | ..... |
| 1050 Unobligated balance (total) .....                             | 77    | 44    | 29    |
| <b>Budget authority:</b>   |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 176   | 177   | 179   |
| 1121 Appropriations transferred from other accts [72-0306] .....   | 9     | ..... | ..... |
| 1160 Appropriation, discretionary (total) .....                    | 185   | 177   | 179   |
| Appropriations, mandatory:   |       |       |       |
| 1200 Appropriation .....   | ..... | 2     | 2     |
| 1260 Appropriations, mandatory (total) .....                       | ..... | 2     | 2     |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | 30    | 136   | 63    |
| 1701 Change in uncollected payments, Federal sources .....         | 251   | ..... | 66    |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 281   | 136   | 129   |
| 1900 Budget authority (total) .....                                | 466   | 315   | 310   |
| 1930 Total budgetary resources available .....                     | 543   | 359   | 339   |
| <b>Memorandum (non-add) entries:</b>                               |       |       |       |
| 1940 Unobligated balance expiring .....                            | -167  | ..... | ..... |
| 1941 Unexpired unobligated balance, end of year .....              | 44    | 29    | 8     |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 12–2900–0–1–352                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 220         | 187     | 28        |
| 3010 Obligations incurred, unexpired accounts .....                  | 332         | 330     | 331       |
| 3011 Obligations incurred, expired accounts .....                    | 53          |         |           |
| 3020 Outlays (gross) .....   | –370        | –315    | –311      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –9          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –39         | –174    |           |
| 3050 Unpaid obligations, end of year .....                           | 187         | 28      | 48        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –336        | –580    | –580      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –251        |         | –66       |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 7           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –580        | –580    | –646      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | –116        | –393    | –552      |
| 3200 Obligated balance, end of year .....                            | –393        | –552    | –598      |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 466         | 313     | 308       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 203         | 297     | 293       |
| 4011 Outlays from discretionary balances .....                       | 167         | 16      | 16        |
| 4020 Outlays, gross (total) .....                                    | 370         | 313     | 309       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –113        | –136    | –63       |
| 4033 Non-Federal sources .....                                       | –2          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | –115        | –136    | –63       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –251        |         | –66       |
| 4052 Offsetting collections credited to expired accounts .....       | 86          |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | –165        |         | –66       |
| 4070 Budget authority, net (discretionary) .....                     | 186         | 177     | 179       |
| 4080 Outlays, net (discretionary) .....                              | 255         | 177     | 246       |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   |             | 2       | 2         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      |             | 2       | 2         |
| 4180 Budget authority, net (total) .....                             | 186         | 179     | 181       |
| 4190 Outlays, net (total) .....                                      | 255         | 179     | 248       |

The Foreign Agricultural Service's (FAS) mission is linking U.S. agriculture to the world to enhance export opportunities and global food security. FAS helps to provide outlets for the wide variety of U.S. agricultural products, thereby enhancing economic activity for U.S. workers. FAS serves U.S. agriculture's interests by expanding and maintaining international export opportunities, supporting international economic development and trade and capacity building, and global food security. The outcomes envisioned are exports that help U.S. agriculture prosper, the expansion of U.S. exports of organics and crops produced using new technologies and food that are globally available, accessible, and appropriately used. In addition to its Washington-based staff, the agency maintains a network of overseas offices that serve as first responders in cases of market disruption. The overseas offices also provide the Department with critical market and policy intelligence, and they represent U.S. agriculture in consultations with foreign governments. The 2014 Budget includes \$178.8 million for FAS, an increase of \$1.4 million over the 2013 annualized Continuing Resolution level.

**Trade Promotion.**—A substantial portion of U.S. agricultural cash receipts come from export sales, making the vitality of rural America heavily dependent on international trade. U.S. farmers and ranchers are among the most productive and efficient in the world. However, they face complex and unfair obstacles in the global marketplace, where 95 percent of the world's consumers

live. FAS trade promotion activities help U.S. food and agricultural exporters take advantage of market opportunities created by its trade policy and capacity building successes. FAS administers a set of market development tools that support U.S. exporters facing fierce competition in the international marketplace. A cooperative effort with the U.S. industry is needed to ensure that the U.S. agricultural sector has fair market access, a strong understanding of key market trends, and support in overcoming constraints such as tight credit in international markets. FAS administers programs and activities, working in partnership with private sector associations and state and regional trade groups, and U.S. food and agricultural exporters. U.S. producers are not guaranteed a role in the global marketplace. Successful marketing strategies depend on a strong understanding of market trends, such as rising incomes in countries such as China, Indonesia, and Mexico that stimulate demand for a more nutritious and varied diet. As markets change, farmers need the tools to introduce new products to new customers, maintain current sales in the face of new competition, and overcome constraints such as tight credit. The results of FAS efforts ultimately benefit both the farm and non-farm sectors of the U.S. economy through job creation and additional economic activity.

**Trade Policy.**—The agency's trade policy work ensures that U.S. exporters can sell safe, wholesome U.S. food and agricultural products around the world. With its network of knowledgeable overseas attaches and Washington experts, FAS is well positioned to harness a wide range of resources to address complex problems. FAS partners with the Office of the United States Trade Representative (USTR), other U.S. Government agencies and trade associations, as well as regional and international organizations, in a coordinated effort to negotiate trade agreements; establish transparent, science-based standards; and resolve trade barriers. Unfair trade barriers limit U.S. sales to many countries. As tariffs and other traditional trade barriers have been negotiated away, many importing countries have begun to erect new trade barriers using unscientific plant and animal health requirements and other technical barriers to limit trade. Removing existing barriers, while ensuring new ones are not introduced, will directly help U.S. food and agricultural exports thrive. U.S. farmers are taking full advantage of biotechnology and other new technologies to increase their productivity. They are also expanding production of organic products in response to growing consumer demand.

**Capacity Building / Food Security.**—FAS capacity building and food security activities lay the groundwork for furthering U.S. agriculture's trade interests in developing countries around the world. In-country institutional capacity-building, research, technical training, and food assistance activities target developing economies with promising market potential. Our farmers and scientists are among the most productive and advanced in the world, producing bountiful supplies of staple foods like wheat, rice, and soybeans, while developing new innovative crop technologies and farming techniques. FAS plays the lead role in coordinating the linkage of agricultural expertise to U.S. international development activities, ensuring alignment with U.S. trade and foreign affairs policies as well as the national security strategy. FAS administers several food assistance programs to help developing countries with humanitarian crises, economic development, and the transition from being food aid recipients to commercial importers. Programs administered by FAS consist of P.L. 480, Title I; Food for Progress; and the McGovern-Dole International Food for Education and Child Nutrition Program. These programs feature a mix of monetization, direct distribution, and local food aid commodity procurement to meet the specific needs of recipient countries. All funding for PL 480 Title II food aid is being replaced

with funding through three other accounts managed by the U.S. Agency for International Development (USAID).

**Object Classification** (in millions of dollars)

| Identification code 12-2900-0-1-352                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 79          | 78      | 79        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 2           | 3       | 3         |
| 11.8 Special personal services payments .....                   | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 84          | 84      | 85        |
| 12.1 Civilian personnel benefits .....                          | 37          | 25      | 25        |
| 21.0 Travel and transportation of persons .....                 | 7           | 7       | 7         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 47          | 51      | 51        |
| 26.0 Supplies and materials .....                               | 2           | 11      | 11        |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 183         | 184     | 185       |
| 99.0 Reimbursable obligations .....                             | 149         | 146     | 146       |
| 99.9 Total new obligations .....                                | 332         | 330     | 331       |

**Employment Summary**

| Identification code 12-2900-0-1-352                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 851         | 801     | 801       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 166         | 166     | 166       |

**TRADE ADJUSTMENT ASSISTANCE FOR FARMERS**

**Program and Financing** (in millions of dollars)

| Identification code 12-1406-0-1-351                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 86          | 47      | 2         |
| 3011 Obligations incurred, expired accounts .....     | 2           | .....   | .....     |
| 3020 Outlays (gross) .....                            | -41         | -45     | .....     |
| 3050 Unpaid obligations, end of year .....            | 47          | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 86          | 47      | 2         |
| 3200 Obligated balance, end of year .....             | 47          | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            | 41          | 45      | .....     |
| 4190 Outlays, net (total) .....                       | 41          | 45      | .....     |

The Trade Adjustment Assistance (TAA) for Farmers Program was reauthorized and modified by the American Recovery and Reinvestment Act of 2009 as established by Subtitle C of Title I of the Trade Act of 2002, which amended the Trade Act of 1974. The statute authorized appropriations to the Department of Agriculture not to exceed \$90 million each year for 2009 and for 2010 and \$22.5 million for the period beginning October 1, 2010 and ending December 31, 2010 to carry out the program. Title II of Public Law 112-40, the Trade Adjustment Assistance Extension Act of 2011, extended the authority for the program and authorized appropriations of \$90 million for 2012 and 2013, and \$22.5 million for the period October 1, 2013 through December 31, 2013. The 2014 Budget does not request funding for the program.

**FOREIGN ASSISTANCE PROGRAMS**

Multiple food aid programs are appropriated to USDA and administered by USDA or the U.S. Agency for International Development (USAID) to provide U.S. commodities, technical and financial assistance to address hunger and malnutrition needs worldwide. These programs address emergency needs and foster economic development activities to alleviate global food insecurity.

**SUMMARY OF FOOD ASSISTANCE PROGRAMMING**

|   | [In millions of dollars] |                |                |
|---|--------------------------|----------------|----------------|
|   | 2012 actual              | 2013 est.      | 2014 est.      |
| McGovern-Dole International Food for Education and Child Nutrition (budget authority) ..... | 184                      | 184            | 185            |
| P.L. 480:   |                          |                |                |
| Title I Credit (budget authority) .....   | 0                        | 0              | 0              |
| Title II Grants (budget authority) .....  | 1,466                    | 1,475          | 0              |
| Food for Progress:  |                          |                |                |
| CCC Funded .....  | 246                      | 255            | 255            |
| Title I Funded (budget authority) .....   | 0                        | 0              | 0              |
| Bill Emerson Humanitarian Trust .....   | 0                        | 0 <sup>1</sup> | 0 <sup>1</sup> |
| Local and Regional Food Aid Procurement Program .....                                       | 5                        | 0              | 0              |

<sup>1</sup> Assets of the trust can be released any time the Administrator of the U.S. Agency for International Development determines that P.L. 480 Title II funding for emergency needs is inadequate to meet these needs in any fiscal year.

Included in this category are the following activities carried out under Public Law 480 (P.L. 480):

*Financing sales of agricultural commodities to developing countries for dollars on credit terms, or for local currencies (including for local currencies on credit terms) for use under sec. 104; and for furnishing commodities to carry out the Food for Progress Act of 1985, as amended (Title I).*—Funds appropriated for P.L. 480 are used to finance all sales made pursuant to agreements concluded under the authority of Title I. No 2014 funding is requested for new direct credit under Title I; however, funding for administrative expenses associated with managing the existing loan portfolio is requested. No funding is requested for Title I ocean freight differential for 2014..

Sales are made to developing countries as defined in section 402(5) of P.L. 480 and must not displace expected commercial sales (secs. 403(e) and (h)). Agreements are made with developing countries for delivery in accordance with the terms of the agreement.

Payment by developing countries or private entities may be made over a period of not more than 30 years with a deferral of principal payments for up to five years. Interest accrues at a concessional rate as determined appropriate.

Section 411 of P.L. 480 authorizes the President to waive payments of principal and interest under dollar credit sales agreements for countries that meet certain enumerated requirements. Such debt relief may be provided only if the President notifies Congress and may not exceed the amount approved for such purpose in an Act appropriating funds to carry out P.L. 480.

Payment by a recipient country may be made in local currencies for use in carrying out activities under section 104 of P.L. 480.

Foreign currency received in payment for credit extended may be used for payment of U.S. obligations abroad, subject to the appropriation process. The P.L. 480 program is reimbursed for the dollar value of currencies so used.

The financing of sales of agricultural commodities for local currencies on credit terms is subject to the same terms that are applicable to dollar credit financing.

Funds appropriated to carry out Title I may be used to furnish commodities to carry out the Food for Progress Act of 1985. Such commodities may be furnished on credit terms or on a grant basis in order to assist developing countries and countries that are emerging democracies that have made a commitment to introduce and expand free enterprise elements in their agricultural economies.

## FOREIGN ASSISTANCE PROGRAMS—Continued

*Commodities supplied in connection with dispositions abroad (Title II).*—To ensure the U.S. can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need, the FY 2014 Budget shifts funding previously requested in P.L. 480 Title II, which is administered by USAID, to three other assistance accounts: International Disaster Assistance for emergency food response; Development Assistance to support the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. USAID's Office of Food for Peace will continue to manage these resources. (See the account narrative for additional information.) For any residual Title II funds, including carryover, recoveries, and offsetting collections, agricultural commodities are furnished to meet emergency relief needs and address the underlying causes of food insecurity through non-emergency programs. The Commodity Credit Corporation (the Corporation) is authorized to pay the costs of acquisition, packaging, processing, enrichment, preservation, fortification, transportation, handling, and other incidental costs incurred up to the time of delivery at U.S. ports. The Corporation also pays ocean freight charges, and pays transportation costs to points of entry other than ports in the case of landlocked countries, where carriers to a specific country are not available, where ports cannot be used effectively, or where a substantial savings in costs or time can be effected, and pays general average contributions arising from ocean transport. In addition, transportation costs from designated points of entry or ports of entry abroad to storage and distribution sites and associated storage and distribution costs may be paid for commodities made available to meet urgent and extraordinary relief requirements. P.L. 480 funds reimburse the Corporation for all of the cost items authorized above.

MCGOVERN-DOLE INTERNATIONAL FOOD FOR EDUCATION AND CHILD NUTRITION  
PROGRAM GRANTS

*For necessary expenses to carry out the provisions of section 3107 of the Farm Security and Rural Investment Act of 2002 (7 U.S.C. 1736o-1), \$185,126,000, to remain available until expended: Provided, That the Commodity Credit Corporation is authorized to provide the services, facilities, and authorities for the purpose of implementing such section, subject to reimbursement from amounts provided herein.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–2903–0–1–151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 McGovern-Dole International Food for Education & Child Nutrition Program ..... | 184         | 185     | 185       |
| 0801 Reimbursable program activity .....  | 8           | 12      | 12        |
| 0900 Total new obligations .....  | 192         | 197     | 197       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                               | 34          | 38      | 26        |
| <b>Budget authority:</b>  |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 184         | 185     | 185       |
| 1160 Appropriation, discretionary (total) .....                                     | 184         | 185     | 185       |
| Spending authority from offsetting collections, discretionary:                      |             |         |           |
| 1700 Collected .....  | 12          |         |           |

|   |   |      |      |      |
|---|---|------|------|------|
| 1750                                      | Spending auth from offsetting collections, disc (total) ..... | 12   |      |      |
| 1900                                      | Budget authority (total) .....                                | 196  | 185  | 185  |
| 1930                                      | Total budgetary resources available .....                     | 230  | 223  | 211  |
|   | Memorandum (non-add) entries:                                 |      |      |      |
| 1941                                      | Unexpired unobligated balance, end of year .....              | 38   | 26   | 14   |
| <b>Change in obligated balance:</b>       |   |      |      |      |
|   | Unpaid obligations:   |      |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....              | 14   | 13   | 7    |
| 3010                                      | Obligations incurred, unexpired accounts .....                | 192  | 197  | 197  |
| 3020                                      | Outlays (gross) .....   | –193 | –203 | –191 |
| 3050                                      | Unpaid obligations, end of year .....                         | 13   | 7    | 13   |
|   | Memorandum (non-add) entries:                                 |      |      |      |
| 3100                                      | Obligated balance, start of year .....                        | 14   | 13   | 7    |
| 3200                                      | Obligated balance, end of year .....                          | 13   | 7    | 13   |
| <b>Budget authority and outlays, net:</b> |   |      |      |      |
|   | Discretionary:  |      |      |      |
| 4000                                      | Budget authority, gross .....                                 | 196  | 185  | 185  |
|   | Outlays, gross:   |      |      |      |
| 4010                                      | Outlays from new discretionary authority .....                | 164  | 185  | 185  |
| 4011                                      | Outlays from discretionary balances .....                     | 29   | 18   | 6    |
| 4020                                      | Outlays, gross (total) .....                                  | 193  | 203  | 191  |
|   | Offsets against gross budget authority and outlays:           |      |      |      |
|   | Offsetting collections (collected) from:                      |      |      |      |
| 4033                                      | Non-Federal sources .....                                     | –12  |      |      |
| 4180                                      | Budget authority, net (total) .....                           | 184  | 185  | 185  |
| 4190                                      | Outlays, net (total) .....                                    | 181  | 203  | 191  |

The McGovern-Dole International Food for Education and Child Nutrition Program, as amended, is authorized under the Farm Security and Rural Investment Act of 2002 (Public Law 107–171). The program provides for the donation of U.S. agricultural commodities and associated technical and financial assistance to carry out preschool and school feeding programs in foreign countries in order to improve food security, reduce the incidence of hunger and malnutrition, and improve literacy and primary education. Maternal, infant, and child nutrition programs also are authorized. The 2014 Budget includes \$185 million, which maintains the 2013 annualized level.

## Object Classification (in millions of dollars)

| Identification code 12–2903–0–1–151                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 184         | 185     | 185       |
| 99.0 Reimbursable obligations .....                                 | 8           | 12      | 12        |
| 99.9 Total new obligations .....                                    | 192         | 197     | 197       |

## PUBLIC LAW 480 TITLE I OCEAN FREIGHT DIFFERENTIAL GRANTS

## Program and Financing (in millions of dollars)

| Identification code 12–2271–0–1–351                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 3           |         |           |
| <b>Budget authority:</b>   |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –3          |         |           |
| 1160 Appropriation, discretionary (total) .....                      | –3          |         |           |
| 1900 Budget authority (total) .....                                  | –3          |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 2           | 2       | 2         |
| 3050 Unpaid obligations, end of year .....                           | 2           | 2       | 2         |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 3100 Obligated balance, start of year .....                          | 2           | 2       | 2         |
| 3200 Obligated balance, end of year .....                            | 2           | 2       | 2         |



|   |                                     |    |       |
|---|-------------------------------------|----|-------|
| <b>Budget authority and outlays, net:</b> |                                     |    |       |
| Discretionary:                            |                                     |    |       |
| 4000                                      | Budget authority, gross .....       | -3 | ..... |
| 4180                                      | Budget authority, net (total) ..... | -3 | ..... |

This account funds the title I ocean freight differential program. No funding is requested for 2014.

#### FOOD FOR PEACE TITLE II GRANTS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12–2278–0–1–151                            | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |         |           |
| 0002   | Title II Grants .....   | 1,994   | 1,700     |
| 0003   | Title II Administrative Expenses .....                        | .....   | 7         |
| 0799   | Total direct obligations .....                                | 1,994   | 1,707     |
| 0801   | Reimbursable program .....                                    | 93      | 69        |
| 0900   | Total new obligations .....                                   | 2,087   | 1,776     |
| <b>Budgetary Resources:</b>                                    |   |         |           |
| Unobligated balance:   |   |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 193     | 232       |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....    | 173     | 143       |
| 1021   | Recoveries of prior year unpaid obligations .....             | 553     | .....     |
| 1050   | Unobligated balance (total) .....                             | 746     | 232       |
| Budget authority:  |   |         |           |
| Appropriations, discretionary:                                 |   |         |           |
| 1100   | Appropriation .....   | 1,466   | 1,475     |
| 1160   | Appropriation, discretionary (total) .....                    | 1,466   | 1,475     |
| Spending authority from offsetting collections, discretionary: |   |         |           |
| 1700   | Collected .....   | 3       | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 3       | .....     |
| Spending authority from offsetting collections, mandatory:     |   |         |           |
| 1800   | Collected .....   | 35      | 69        |
| 1801   | Change in uncollected payments, Federal sources .....         | 69      | .....     |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 104     | 69        |
| 1900   | Budget authority (total) .....                                | 1,573   | 1,544     |
| 1930   | Total budgetary resources available .....                     | 2,319   | 1,776     |
| Memorandum (non-add) entries:                                  |   |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 232     | .....     |
| <b>Change in obligated balance:</b>                            |   |         |           |
| Unpaid obligations:  |   |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 1,520   | 1,405     |
| 3010   | Obligations incurred, unexpired accounts .....                | 2,087   | 1,776     |
| 3020   | Outlays (gross) .....   | -1,649  | -1,770    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | -553    | .....     |
| 3050   | Unpaid obligations, end of year .....                         | 1,405   | 1,411     |
| Uncollected payments:  |   |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -20     | -89       |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | -69     | .....     |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -89     | -89       |
| Memorandum (non-add) entries:                                  |   |         |           |
| 3100   | Obligated balance, start of year .....                        | 1,500   | 1,316     |
| 3200   | Obligated balance, end of year .....                          | 1,316   | 1,322     |
| <b>Budget authority and outlays, net:</b>                      |   |         |           |
| Discretionary:   |   |         |           |
| 4000   | Budget authority, gross .....                                 | 1,469   | 1,475     |
| Outlays, gross:  |   |         |           |
| 4010   | Outlays from new discretionary authority .....                | .....   | 778       |
| 4011   | Outlays from discretionary balances .....                     | 1,649   | 854       |
| 4020   | Outlays, gross (total) .....                                  | 1,649   | 1,632     |
| Offsets against gross budget authority and outlays:            |   |         |           |
| Offsetting collections (collected) from:                       |   |         |           |
| 4033   | Non-Federal sources .....                                     | -3      | .....     |
| Mandatory:   |   |         |           |
| 4090   | Budget authority, gross .....                                 | 104     | 69        |

|   |   |       |       |
|---|---|-------|-------|
| Outlays, gross:   |   |       |       |
| 4100  | Outlays from new mandatory authority .....                | 69    | ..... |
| 4101  | Outlays from mandatory balances .....                     | 69    | 69    |
| 4110  | Outlays, gross (total) .....                              | 138   | 69    |
| Offsets against gross budget authority and outlays:     |   |       |       |
| Offsetting collections (collected) from:                |   |       |       |
| 4120  | Federal sources .....                                     | -35   | -69   |
| Additional offsets against gross budget authority only: |   |       |       |
| 4140  | Change in uncollected pymts, Fed sources, unexpired ..... | -69   | ..... |
| 4170  | Outlays, net (mandatory) .....                            | -35   | 69    |
| 4180  | Budget authority, net (total) .....                       | 1,466 | 1,475 |
| 4190  | Outlays, net (total) .....                                | 1,611 | 1,701 |

The Budget shifts P.L. 480 Title II food aid funding to three other accounts managed by the U.S. Agency for International Development (USAID) as part of the Food Aid Reform described below. USAID's Office of Food for Peace (FFP) will continue to manage related emergency food and development assistance resources.

*Food Aid Reform:* The FY 2014 Food Aid Reform will ensure that the U.S. Government can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need. It includes a shift of funding previously requested in P.L. 480 Title II to three other assistance accounts: International Disaster Assistance (IDA) for emergency food response; Development Assistance (DA) for the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. The CDRF will be composed of \$330 million, replacing Title II non-emergency resources, including \$80 million in DA from the Bureau for Food Security resources and \$250 million in additional DA, to be implemented by NGOs that have received Title II funding. These jointly-funded CDRF programs will be managed by FFP and are a critical component of Feed the Future, strengthening the ability to address chronic poverty, build resilience, and help prevent food crises. The goal is to make food aid more timely and cost-effective and to improve program efficiencies and performance by shifting resources to programs that will allow the use of the right tool at the right time for responding to emergencies and chronic food insecurity. The range of tools and programs include interventions such as local and regional purchase, purchase of U.S. agricultural commodities and products, cash vouchers and transfers, and cash for work programs. Provided that the proposed food aid reforms are enacted and all the funding previously requested in P.L. 480 Title II is appropriated as described above, at least fifty-five percent of the requested (and appropriated) IDA funding of \$1,416 million for emergency food assistance programs administered by FFP will be used for the purchase and transport of agricultural commodities produced in the United States. The reform will facilitate robust emergency and development programming. (The Budget also shifts \$25 million of the efficiency savings to the Department of Transportation Maritime Administration for additional targeted operating subsidies for militarily-useful vessels and incentives to facilitate the retention of mariners.)

#### Object Classification (in millions of dollars)

| Identification code 12–2278–0–1–151 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| 25.3                                | Other goods and services from Federal sources ..... | 7       | .....     |
| 41.0                                | Grants, subsidies, and contributions .....          | 1,994   | 1,700     |
| 99.0                                | Direct obligations .....                            | 1,994   | 1,707     |
| 99.0                                | Reimbursable obligations .....                      | 93      | 69        |

FOOD FOR PEACE TITLE II GRANTS—Continued  
Object Classification—Continued

| Identification code 12-2278-0-1-151 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 2,087       | 1,776   | .....     |

FOOD FOR PEACE TITLE I DIRECT CREDIT AND FOOD FOR PROGRESS PROGRAM  
ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

*For administrative expenses to carry out the credit program of title I, Food for Peace Act (Public Law 83-480) and the Food for Progress Act of 1985, \$2,628,000, which shall be paid to the appropriation for "Farm Service Agency, Salaries and Expenses": Provided, That funds made available for the cost of agreements under title I of the Agricultural Trade Development and Assistance Act of 1954 and for title I ocean freight differential may be used interchangeably between the two accounts with prior notice to the Committees on Appropriations of both Houses of Congress.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-2277-0-1-351                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| Credit program obligations:  |             |         |           |
| 0705 Reestimates of direct loan subsidy .....                        | 20          | 11      | .....     |
| 0706 Interest on reestimates of direct loan subsidy .....            | 18          | 8       | .....     |
| 0709 Administrative expenses .....                                   | 3           | 3       | 3         |
| 0900 Total new obligations .....                                     | 41          | 22      | 3         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 2           | .....   | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 3           | 3       | 3         |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -2          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                      | 1           | 3       | 3         |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 38          | 19      | .....     |
| 1260 Appropriations, mandatory (total) .....                         | 38          | 19      | .....     |
| 1900 Budget authority (total) .....                                  | 39          | 22      | 3         |
| 1930 Total budgetary resources available .....                       | 41          | 22      | 3         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 26          | 14      | .....     |
| 3010 Obligations incurred, unexpired accounts .....                  | 41          | 22      | 3         |
| 3020 Outlays (gross) .....   | -53         | -36     | -3        |
| 3050 Unpaid obligations, end of year .....                           | 14          | .....   | .....     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 26          | 14      | .....     |
| 3200 Obligated balance, end of year .....                            | 14          | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 1           | 3       | 3         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 3           | 3       | 3         |
| 4011 Outlays from discretionary balances .....                       | 12          | 14      | .....     |
| 4020 Outlays, gross (total) .....                                    | 15          | 17      | 3         |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 38          | 19      | .....     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 38          | 19      | .....     |
| 4180 Budget authority, net (total) .....                             | 39          | 22      | 3         |
| 4190 Outlays, net (total) .....                                      | 53          | 36      | 3         |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 12-2277-0-1-351                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan upward reestimates:                         |             |         |           |
| 135001 P. L. 480 title I loans .....                    | 38          | 19      | .....     |
| 135999 Total upward reestimate budget authority .....   | 38          | 19      | .....     |
| Direct loan downward reestimates:                       |             |         |           |
| 137001 P. L. 480 title I loans .....                    | -10         | -9      | .....     |
| 137999 Total downward reestimate budget authority ..... | -10         | -9      | .....     |
| Administrative expense data:                            |             |         |           |
| 3510 Budget authority .....                             | 3           | 3       | 3         |
| 3590 Outlays from new authority .....                   | 3           | 3       | 3         |

As required by the Federal Credit Reform Act of 1990, this account records, for the P.L. 480 Program, the subsidy costs associated with the direct credit obligated in 1992 and beyond (including modifications of direct credit agreements that resulted from obligation in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; and the administrative expenses and grants are estimated on a cash basis. The current balance of Title I debt owed to USDA is \$4.8 billion. No additional funding is requested for new Title I credit financing in 2014. The 2014 Budget includes \$2.8 million for administrative expenses.

## Object Classification (in millions of dollars)

| Identification code 12-2277-0-1-351                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 3           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....          | 38          | 19      | .....     |
| 99.9 Total new obligations .....                         | 41          | 22      | 3         |

## P.L. 480 DIRECT CREDIT FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-4049-0-3-351   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 60          | 52      | 52        |
| 0742 Downward reestimate paid to receipt account .....                          | 1           | 1       | .....     |
| 0743 Interest on downward reestimates .....                                     | 9           | 9       | .....     |
| 0900 Total new obligations .....  | 70          | 62      | 52        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 166         | 88      | 102       |
| 1023 Unobligated balances applied to repay debt .....                           | -118        | -60     | -70       |
| 1050 Unobligated balance (total) .....  | 48          | 28      | 32        |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 1           | 60      | 52        |
| 1440 Borrowing authority, mandatory (total) .....                               | 1           | 60      | 52        |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 143         | 96      | 78        |
| 1801 Change in uncollected payments, Federal sources .....                      | 1           | .....   | .....     |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -35         | -20     | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 109         | 76      | 78        |
| 1900 Financing authority (total) .....  | 110         | 136     | 130       |
| 1930 Total budgetary resources available .....                                  | 158         | 164     | 162       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 88          | 102     | 110       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                             | 70          | 62      | 52        |
| 3020 Financing disbursements (gross) .....                                      | -70         | -62     | -52       |

|      |  |     |       |       |
|------|--|-----|-------|-------|
|      | Uncollected payments:  |     |       |       |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -42 | -43   | -43   |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | -1  | ..... | ..... |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | -43 | -43   | -43   |
|      | Memorandum (non-add) entries:                                |     |       |       |
| 3100 | Obligated balance, start of year .....                       | -42 | -43   | -43   |
| 3200 | Obligated balance, end of year .....                         | -43 | -43   | -43   |

**Financing authority and disbursements, net:**

|  |  |       |       |       |
|--|--|-------|-------|-------|
| Mandatory:   |  |       |       |       |
| 4090   | Financing authority, gross .....                                     | 110   | 136   | 130   |
| Financing disbursements:                                     |  |       |       |       |
| 4110   | Financing disbursements, gross .....                                 | 70    | 62    | 52    |
| Offsets against gross financing authority and disbursements: |  |       |       |       |
| Offsetting collections (collected) from:                     |  |       |       |       |
| 4120   | Payments from program account .....                                  | -38   | -19   | ..... |
| 4122   | Interest on uninvested funds .....                                   | -8    | -1    | -1    |
| 4123   | Interest received on loans .....                                     | -23   | -16   | -16   |
| 4123   | Principal received on loans .....                                    | -74   | -60   | -61   |
|  |  | <hr/> | <hr/> | <hr/> |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -143  | -96   | -78   |
| Additional offsets against financing authority only (total): |  |       |       |       |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....            | -1    | ..... | ..... |
|  |  | <hr/> | <hr/> | <hr/> |
| 4160   | Financing authority, net (mandatory) .....                           | -34   | 40    | 52    |
| 4170   | Financing disbursements, net (mandatory) .....                       | -73   | -34   | -26   |
| 4180   | Financing authority, net (total) .....                               | -34   | 40    | 52    |
| 4190   | Financing disbursements, net (total) .....                           | -73   | -34   | -26   |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4049-0-3-351             |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |  |             |         |           |
| 1210  | Outstanding, start of year .....             | 1,140       | 1,066   | 1,006     |
| 1251  | Repayments: Repayments and prepayments ..... | -74         | -60     | -61       |
| 1290  | Outstanding, end of year .....               | 1,066       | 1,006   | 945       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 12-4049-0-3-351                               |   | 2011 actual | 2012 actual |
|---|---|-------------|-------------|
| ASSETS:   |   |             |             |
| 1101  | Federal assets: Fund balances with Treasury .....         | 124         | 45          |
| Net value of assets related to post-1991 direct loans receivable: |   |             |             |
| 1401  | Direct loans receivable, gross .....                      | 1,140       | 1,066       |
| 1402  | Interest receivable .....                                 | 43          | 46          |
| 1405  | Allowance for subsidy cost (-) .....                      | -252        | -278        |
| 1499  | Net present value of assets related to direct loans ..... | 931         | 834         |
| 1901  | Other Federal assets: Accounts Receivable .....           | 45          | 28          |
| 1999  | Total assets .....  | 1,100       | 907         |
| LIABILITIES:  |   |             |             |
| Federal liabilities:  |   |             |             |
| 2103  | Debt .....  | 1,042       | 888         |
| 2105  | Other .....   | 58          | 19          |
| 2999  | Total liabilities .....                                   | 1,100       | 907         |
| 4999  | Total liabilities and net position .....                  | 1,100       | 907         |

**DEBT REDUCTION—FINANCING ACCOUNT****Program and Financing (in millions of dollars)**

| Identification code 12-4143-0-3-351 |                                       | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |                                       |             |         |           |
| Credit program obligations:         |                                       |             |         |           |
| 0713                                | Payment of interest to Treasury ..... | 6           | 5       | 5         |
| 0715                                | Loan Subsidy .....                    | 21          |         |           |

|      |                             |    |   |   |
|------|-----------------------------|----|---|---|
| 0900 | Total new obligations ..... | 27 | 5 | 5 |
|------|-----------------------------|----|---|---|

**Budgetary Resources:**

|  |   |            |              |              |
|--|---|------------|--------------|--------------|
| Unobligated balance:                                       |   |            |              |              |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 93         | 98           | 108          |
| 1023   | Unobligated balances applied to repay debt .....              | <u>-10</u> | <u>-3</u>    | <u>-3</u>    |
| 1050   | Unobligated balance (total) .....                             | 83         | 95           | 105          |
| Financing authority:                                       |   |            |              |              |
| Borrowing authority, mandatory:                            |   |            |              |              |
| 1400   | Borrowing authority .....                                     | <u>2</u>   | <u>.....</u> | <u>.....</u> |
| 1440   | Borrowing authority, mandatory (total) .....                  | 2          | .....        | .....        |
| Spending authority from offsetting collections, mandatory: |   |            |              |              |
| 1800   | Collected .....   | <u>40</u>  | <u>18</u>    | <u>18</u>    |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 40         | 18           | 18           |
| 1900   | Financing authority (total) .....                             | 42         | 18           | 18           |
| 1930   | Total budgetary resources available .....                     | 125        | 113          | 123          |
| Memorandum (non-add) entries:                              |   |            |              |              |
| 1941   | Unexpired unobligated balance, end of year .....              | 98         | 108          | 118          |

**Change in obligated balance:**

|                     |  |     |    |    |
|---------------------|--|-----|----|----|
| Unpaid obligations: |  |     |    |    |
| 3010                | Obligations incurred, unexpired accounts ..... | 27  | 5  | 5  |
| 3020                | Financing disbursements (gross) .....          | -27 | -5 | -5 |

**Financing authority and disbursements, net:**

|  |  |       |       |       |
|--|--|-------|-------|-------|
| Mandatory:   |  |       |       |       |
| 4090   | Financing authority, gross .....                                     | 42    | 18    | 18    |
| Financing disbursements:                                     |  |       |       |       |
| 4110   | Financing disbursements, gross .....                                 | 27    | 5     | 5     |
| Offsets against gross financing authority and disbursements: |  |       |       |       |
| Offsetting collections (collected) from:                     |  |       |       |       |
| 4120   | Federal sources .....  | -21   | ..... | ..... |
| 4122   | Interest on uninvested funds .....                                   | -4    | -2    | -2    |
| 4123   | Loan Repayments - Principal .....                                    | -15   | -12   | -12   |
| 4123   | Loan Repayments- Interest .....                                      | ..... | -4    | -4    |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -40   | -18   | -18   |
| 4160   | Financing authority, net (mandatory) .....                           | 2     | ..... | ..... |
| 4170   | Financing disbursements, net (mandatory) .....                       | -13   | -13   | -13   |
| 4180   | Financing authority, net (total) .....                               | 2     | ..... | ..... |
| 4190   | Negative subsidy BA total [11-0091] .....                            | -13   | -13   | -13   |

**Status of Direct Loans (in millions of dollars)**

| Identification code 12-4143-0-3-351             |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |  |             |         |           |
| 1210  | Outstanding, start of year .....             | 247         | 234     | 222       |
| 1251  | Repayments: Repayments and prepayments ..... | -13         | -12     | -12       |
| 1290  | Outstanding, end of year .....               | 234         | 222     | 210       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 12-4143-0-3-351                               |   | 2011 actual | 2012 actual |
|---|---|-------------|-------------|
| ASSETS:   |   |             |             |
| 1101  | Federal assets: Fund balances with Treasury .....         | 93          | 97          |
| Net value of assets related to post-1991 direct loans receivable: |   |             |             |
| 1401  | Direct loans receivable, gross .....                      | 247         | 234         |
| 1402  | Interest receivable .....                                 |             | 16          |
| 1405  | Allowance for subsidy cost (-) .....                      | -226        | -243        |
| 1499  | Net present value of assets related to direct loans ..... | 21          | 7           |
| 1901  | Other Federal assets: Accounts Receivable .....           | 7           | 8           |
| 1999  | Total assets .....  | 121         | 112         |
| LIABILITIES:  |   |             |             |
| 2104  | Federal liabilities: Resources payable to Treasury .....  | 121         | 112         |

## DEBT REDUCTION—FINANCING ACCOUNT—Continued

## Balance Sheet—Continued

| Identification code 12-4143-0-3-351           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 4999 Total liabilities and net position ..... | 121         | 112         |

EXPENSES, PUBLIC LAW 480, FOREIGN ASSISTANCE PROGRAMS, AGRICULTURE  
LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 12-2274-0-1-151                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| Credit program obligations:                          |             |         |           |
| 0715 Vietnam Education Fund .....                    | 3           | 3       | 3         |
| 0900 Total new obligations (object class 41.0) ..... | 3           | 3       | 3         |

**Budgetary Resources:**

|   |      |       |       |
|---|------|-------|-------|
| Unobligated balance:  |      |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 45   | 110   | ..... |
| 1022 Capital transfer of unobligated balances to general fund .....                           | -45  | -110  | ..... |
| Budget authority:   |      |       |       |
| Spending authority from offsetting collections, mandatory:                                    |      |       |       |
| 1800 Offsetting collections (cash) (Principal and interest) .....                             | 372  | 335   | 278   |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -259 | -332  | -275  |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 113  | 3     | 3     |
| 1930 Total budgetary resources available .....  | 113  | 3     | 3     |
| Memorandum (non-add) entries:   |      |       |       |
| 1941 Unexpired unobligated balance, end of year .....   | 110  | ..... | ..... |

**Change in obligated balance:**

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                 |    |    |    |
| 3010 Obligations incurred, unexpired accounts ..... | 3  | 3  | 3  |
| 3020 Outlays (gross) .....                          | -3 | -3 | -3 |

**Budget authority and outlays, net:**

|  |      |       |       |
|--|------|-------|-------|
| Mandatory:   |      |       |       |
| 4090 Budget authority, gross .....                                   | 113  | 3     | 3     |
| Outlays, gross:  |      |       |       |
| 4100 Outlays from new mandatory authority .....                      | 3    | 3     | 3     |
| Offsets against gross budget authority and outlays:                  |      |       |       |
| Offsetting collections (collected) from:                             |      |       |       |
| 4120 Policy Program [Payment from Financing Fund] .....              | -21  | ..... | ..... |
| 4123 Principal repayments .....                                      | -271 | -250  | -215  |
| 4123 Interest repayments .....                                       | -80  | -85   | -63   |
| 4130 Offsets against gross budget authority and outlays (total) .... | -372 | -335  | -278  |
| 4160 Budget authority, net (mandatory) .....                         | -259 | -332  | -275  |
| 4170 Outlays, net (mandatory) .....                                  | -369 | -332  | -275  |
| 4180 Budget authority, net (total) .....                             | -259 | -332  | -275  |
| 4190 Outlays, net (total) .....                                      | -369 | -332  | -275  |

## Status of Direct Loans (in millions of dollars)

| Identification code 12-2274-0-1-151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:                    |             |         |           |
| 1210 Outstanding, start of year .....                              | 3,820       | 3,168   | 2,918     |
| 1251 Repayments: Repayments and prepayments .....                  | -271        | -250    | -215      |
| 1264 Write-offs for default: Other adjustments, net (+ or -) ..... | -381        | .....   | .....     |
| 1290 Outstanding, end of year .....                                | 3,168       | 2,918   | 2,703     |

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

## Balance Sheet (in millions of dollars)

| Identification code 12-2274-0-1-151                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 45          | 110         |
| 1601 Direct loans, gross .....  | 3,820       | 3,168       |
| 1602 Interest receivable .....  | 868         | 824         |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -2,005      | -2,362      |
| 1699 Value of assets related to direct loans .....                      | 2,683       | 1,630       |
| 1999 Total assets .....   | 2,728       | 1,740       |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 1,948       | 1,633       |
| 2207 Non-Federal liabilities: Other .....                               | 780         | 107         |
| 2999 Total liabilities .....  | 2,728       | 1,740       |
| 4999 Total liabilities and net position .....                           | 2,728       | 1,740       |

## FOOD AND NUTRITION SERVICE

## Federal Funds

## NUTRITION PROGRAMS ADMINISTRATION

*For necessary administrative expenses of the Food and Nutrition Service for carrying out any domestic nutrition assistance program, \$146,592,000: Provided, That of the funds provided herein, \$2,000,000 shall be used for the purposes of section 4404 of Public Law 107-171, as amended by section 4401 of Public Law 110-246.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-3508-0-1-605  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                      |             |         |           |
| 0001 Nutrition programs administration .....                                 | 135         | 137     | 145       |
| 0003 Congressional hunger center fellowship .....                            | 2           | 2       | 2         |
| 0799 Total direct obligations .....  | 137         | 139     | 147       |
| 0801 Reimbursable administrative services provided to Federal agencies ..... | 1           | .....   | .....     |
| 0900 Total new obligations .....   | 138         | 139     | 147       |

**Budgetary Resources:**

|  |     |       |       |
|--|-----|-------|-------|
| Budget authority:  |     |       |       |
| Appropriations, discretionary:                                     |     |       |       |
| 1100 Appropriation .....   | 139 | 139   | 147   |
| 1160 Appropriation, discretionary (total) .....                    | 139 | 139   | 147   |
| Spending authority from offsetting collections, discretionary:     |     |       |       |
| 1700 Collected .....   | 1   | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1   | ..... | ..... |
| 1900 Budget authority (total) .....                                | 140 | 139   | 147   |
| 1930 Total budgetary resources available .....                     | 140 | 139   | 147   |
| Memorandum (non-add) entries:                                      |     |       |       |
| 1940 Unobligated balance expiring .....                            | -2  | ..... | ..... |

**Change in obligated balance:**

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 32   | 31    | 22    |
| 3010 Obligations incurred, unexpired accounts .....             | 138  | 139   | 147   |
| 3011 Obligations incurred, expired accounts .....               | 10   | ..... | ..... |
| 3020 Outlays (gross) .....                                      | -139 | -148  | -146  |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -10  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                      | 31   | 22    | 23    |
| Memorandum (non-add) entries:                                   |      |       |       |
| 3100 Obligated balance, start of year .....                     | 32   | 31    | 22    |
| 3200 Obligated balance, end of year .....                       | 31   | 22    | 23    |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 140 | 139 | 147 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 115 | 117 | 124 |

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 4011 | Outlays from discretionary balances .....   | 24  | 31  | 22  |
| 4020 | Outlays, gross (total) .....  | 139 | 148 | 146 |
|      | Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |     |     |     |
| 4030 | Federal sources .....   | -1  |     |     |
| 4180 | Budget authority, net (total) .....   | 139 | 139 | 147 |
| 4190 | Outlays, net (total) .....  | 138 | 148 | 146 |

This account funds the majority of the Federal operating expenses of the Food and Nutrition Service and the Center for Nutrition Policy and Promotion (CNPP). Funding is also provided for the Congressional Hunger Fellows Program.

#### Object Classification (in millions of dollars)

| Identification code 12-3508-0-1-605                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 83          | 85      | 88        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 85          | 87      | 90        |
| 12.1 Civilian personnel benefits .....                          | 24          | 25      | 25        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 18          | 18      | 23        |
| 26.0 Supplies and materials .....                               | 4           | 4       | 4         |
| 41.0 Grants, subsidies, and contributions .....                 | 2           | 2       | 2         |
| 99.0 Direct obligations .....                                   | 137         | 139     | 147       |
| 99.0 Reimbursable obligations .....                             | 1           |         |           |
| 99.9 Total new obligations .....                                | 138         | 139     | 147       |

#### Employment Summary

| Identification code 12-3508-0-1-605                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 934         | 1,006   | 1,006     |

#### SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

For necessary expenses to carry out the Food and Nutrition Act of 2008 (7 U.S.C. 2011 et seq.), \$78,389,610,000, of which \$5,000,000,000, to remain available through September 30, 2015, shall be placed in reserve for use only in such amounts and at such times as may become necessary to carry out program operations: Provided, That funds provided herein shall be expended in accordance with section 16 of the Food and Nutrition Act of 2008: Provided further, That, of the funds made available under this heading, \$998,000 may be used to provide nutrition education services to state agencies and Federally recognized tribes participating in the Food Distribution Program on Indian Reservations: Provided further, That, of the funds made available under this heading, \$1,498,000 may be available for the Center for Nutrition Policy and Promotion: Provided further, That, of the funds made available under this heading, \$5,000,000 may be used to fund a national food consumption survey: Provided further, That this appropriation shall be subject to any work registration or workfare requirements as may be required by law: Provided further, That funds made available for the purposes of section 16(h)(1) of the Food and Nutrition Act of 2008 under this heading shall remain available until expended, notwithstanding section 16(h)(1) of the Food and Nutrition Act of 2008: Provided further, That funds made available under this heading for section 28(d)(1) of the Food and Nutrition Act of 2008 shall remain available through September 30, 2015: Provided further, That funds made available under this heading may be used to enter into contracts and employ staff to conduct studies, evaluations, or to conduct activities related to program integrity provided that such activities are authorized by the Food and Nutrition Act of 2008.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12-3505-0-1-605  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Benefits issued .....   | 66,304      | 68,708  | 66,089    |
| 0002 State administration .....  | 3,236       | 3,867   | 3,999     |
| 0003 Employment and training program .....   | 334         | 420     | 439       |
| 0004 Other program costs .....   | 111         | 140     | 170       |
| 0005 Nutrition Assistance for Puerto Rico .....  | 1,835       | 1,873   | 1,892     |
| 0006 Food Distribution Program on Indian Reservations (Commodities in lieu of food stamps) ..... | 65          | 61      | 64        |
| 0007 Food Distribution Program on Indian Reservations (Cooperator administrative expense) .....  | 38          | 39      | 40        |
| 0008 The Emergency Food Assistance Program (commodities) .....                                   | 260         | 266     | 268       |
| 0009 American Samoa .....  | 7           | 8       | 8         |
| 0010 Community food project .....  | 5           | 5       | 5         |
| 0011 Commonwealth of the Northern Mariana Islands .....  | 13          | 12      | 12        |
| 0012 Nutrition Education Grant Program .....   | 388         | 285     | 401       |
| 0013 Program access .....  | 5           | 5       | 5         |
| 0016 Health & Nutrition Pilot Projects .....   | 1           | 3       |           |
| 0017 RA - Benefits issued .....  | 8,177       | 6,691   | 453       |
| 0019 RA - Nutrition Assistance for Puerto Rico .....   | 165         | 128     | 108       |
| 0020 RA - American Samoa .....   | 1           |         |           |
| 0799 Total direct obligations .....  | 80,945      | 82,511  | 73,953    |
| 0801 Reimbursable program .....  | 66          | 65      | 65        |
| 0900 Total new obligations .....   | 81,011      | 82,576  | 74,018    |

#### Budgetary Resources:

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                                      | 29     | 2,814  | 3,004  |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                            | 3,000  |        |        |
| 1021 Recoveries of prior year unpaid obligations .....                                     | 8      |        |        |
| 1050 Unobligated balance (total) .....   | 3,037  | 2,814  | 3,004  |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:   |        |        |        |
| 1100 Appropriation .....   | 1      | 1      | 7      |
| 1131 Unobligated balance of appropriations permanently reduced .....                       |        | -11    |        |
| 1160 Appropriation, discretionary (total) .....  | 1      | -10    | 7      |
| Appropriations, mandatory:   |        |        |        |
| 1200 Appropriation .....   | 80,401 | 78,682 | 78,383 |
| 1200 Appropriation, Recovery Act .....   | 8,456  | 6,819  | 561    |
| 1220 Appropriations transferred to other accts [12-3510] .....                             | -400   |        |        |
| 1230 Appropriations and/or unobligated balance of appropriations permanently reduced ..... | -11    |        |        |
| 1260 Appropriations, mandatory (total) .....   | 88,446 | 85,501 | 78,944 |
| Spending authority from offsetting collections, mandatory:                                 |        |        |        |
| 1800 Collected .....   | 67     | 65     | 65     |
| 1850 Spending auth from offsetting collections, mand (total) .....                         | 67     | 65     | 65     |
| 1900 Budget authority (total) .....  | 88,514 | 85,556 | 79,016 |
| 1930 Total budgetary resources available .....   | 91,551 | 88,370 | 82,020 |
| Memorandum (non-add) entries:  |        |        |        |
| 1940 Unobligated balance expiring .....  | -7,726 | -2,790 | -3,000 |
| 1941 Unexpired unobligated balance, end of year .....                                      | 2,814  | 3,004  | 5,002  |

#### Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,563   | 3,076   | 2,984   |
| 3010 Obligations incurred, unexpired accounts .....               | 81,011  | 82,576  | 74,018  |
| 3011 Obligations incurred, expired accounts .....                 | 198     |         |         |
| 3020 Outlays (gross) .....  | -80,472 | -82,668 | -74,113 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -8      |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -216    |         |         |
| 3050 Unpaid obligations, end of year .....                        | 3,076   | 2,984   | 2,889   |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 2,563   | 3,076   | 2,984   |
| 3200 Obligated balance, end of year .....                         | 3,076   | 2,984   | 2,889   |

#### Budget authority and outlays, net:

|   |        |        |        |
|---|--------|--------|--------|
| Discretionary:  |        |        |        |
| 4000 Budget authority, gross .....  | 1      | -10    | 7      |
| Outlays, gross:   |        |        |        |
| 4010 Outlays from new discretionary authority .....   | 1      |        | 3      |
| 4011 Outlays from discretionary balances .....  | 79     | 1      | 1      |
| 4020 Outlays, gross (total) .....   | 80     | 1      | 4      |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |        |        |        |
| Mandatory:  |        |        |        |
| 4090 Budget authority, gross .....  | 88,513 | 85,566 | 79,009 |

## SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM—Continued

## Program and Financing—Continued

| Identification code 12–3505–0–1–605                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 78,508      | 80,003  | 71,475    |
| 4101 Outlays from mandatory balances .....                           | 1,884       | 2,664   | 2,634     |
| 4110 Outlays, gross (total) .....                                    | 80,392      | 82,667  | 74,109    |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Policy Program [Prior Year Collections] .....                   | –2          | .....   | .....     |
| 4123 Baseline Program [State Option Plans] .....                     | –69         | –65     | –65       |
| 4130 Offsets against gross budget authority and outlays (total) .... | –71         | –65     | –65       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4142 Offsetting collections credited to expired accounts .....       | 4           | .....   | .....     |
| 4160 Budget authority, net (mandatory) .....                         | 88,446      | 85,501  | 78,944    |
| 4170 Outlays, net (mandatory) .....                                  | 80,321      | 82,602  | 74,044    |
| 4180 Budget authority, net (total) .....                             | 88,447      | 85,491  | 78,951    |
| 4190 Outlays, net (total) .....                                      | 80,401      | 82,603  | 74,048    |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 88,447      | 85,491  | 78,951    |
| Outlays .....                           | 80,401      | 82,603  | 74,048    |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  | .....       | .....   | 2,256     |
| Outlays .....                           | .....       | .....   | 2,215     |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 88,447      | 85,491  | 81,207    |
| Outlays .....                           | 80,401      | 82,603  | 76,263    |

The Supplemental Nutrition Assistance Program (SNAP) is the primary source of nutrition assistance for low-income Americans.

This account also includes funds for a grant to Puerto Rico to administer a low-income nutrition assistance program, in lieu of the Supplemental Nutrition Assistance Program; funds to carry out the Emergency Food Assistance Act of 1983; and funds for food distribution and administrative expenses for Native Americans under section 4(b) of the Food and Nutrition Act.

The SNAP contingency fund level holds in reserve about one month's worth of benefits to cover unforeseen events, such as natural disasters and fluctuations in food prices.

## Object Classification (in millions of dollars)

| Identification code 12–3505–0–1–605                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 15          | 14      | 23        |
| 12.1 Civilian personnel benefits .....                          | 4           | 4       | 4         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | .....   | .....     |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 46          | 81      | 88        |
| 26.0 Supplies and materials .....                               | 325         | 327     | 332       |
| 31.0 Equipment .....  | .....       | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 80,550      | 82,081  | 73,502    |
| 99.0 Direct obligations .....                                   | 80,945      | 82,511  | 73,953    |
| 99.0 Reimbursable obligations .....                             | 66          | 65      | 65        |
| 99.9 Total new obligations .....                                | 81,011      | 82,576  | 74,018    |

## Employment Summary

| Identification code 12–3505–0–1–605                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 154         | 164     | 239       |

## SUPPLEMENTAL NUTRITION ASSISTANCE PROGRAM

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 12–3505–4–1–605                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Benefit issued .....                            | .....       | .....   | –8        |
| 0017 RA – Benefits issued .....                      | .....       | .....   | 2,264     |
| 0900 Total new obligations (object class 41.0) ..... | .....       | .....   | 2,256     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | .....       | .....   | –8        |
| 1200 Appropriation .....                             | .....       | .....   | 2,264     |
| 1260 Appropriations, mandatory (total) .....         | .....       | .....   | 2,256     |
| 1930 Total budgetary resources available .....       | .....       | .....   | 2,256     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | .....       | .....   | 2,256     |
| 3020 Outlays (gross) .....                           | .....       | .....   | –2,215    |
| 3050 Unpaid obligations, end of year .....           | .....       | .....   | 41        |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            | .....       | .....   | 41        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | .....       | .....   | 2,256     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | .....       | .....   | 2,215     |
| 4180 Budget authority, net (total) .....             | .....       | .....   | 2,256     |
| 4190 Outlays, net (total) .....                      | .....       | .....   | 2,215     |

## CHILD NUTRITION PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses to carry out the Richard B. Russell National School Lunch Act (42 U.S.C. 1751 et seq.), except section 21, and the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.), except sections 17 and 21; \$20,487,229,000, to remain available through September 30, 2015, of which such sums as are made available under section 14222(b)(1) of the Food, Conservation, and Energy Act of 2008 (Public Law 110–246), shall be merged with and available for the same time period as provided herein: Provided, That of the total amount available, \$17,004,000 shall be available to carry out section 19 of the Child Nutrition Act of 1966 (42 U.S.C. 1771 et seq.): Provided further, That of the total amount available, \$35,000,000 shall be available to provide competitive grants to state agencies for subgrants to local educational agencies and schools to purchase the equipment needed to serve healthier meals, improve food safety, and to help support the establishment, maintenance, or expansion of the school breakfast program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–3539–0–1–605     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Above 185 of poverty .....         | 443         | 553     | 586       |
| 0002 130–185 of poverty .....           | 1,102       | 1,199   | 1,253     |
| 0003 Below 130 of poverty .....         | 8,882       | 9,711   | 9,878     |

|      |  |        |        |        |
|------|--|--------|--------|--------|
| 0091 | Subtotal, National School Lunch Program .....                          | 10,427 | 11,463 | 11,717 |
| 0101 | Above 185 of poverty .....   | 94     | 95     | 104    |
| 0102 | 130–185 of poverty .....   | 261    | 277    | 298    |
| 0103 | Below 130 of poverty .....   | 2,995  | 3,233  | 3,441  |
| 0191 | Subtotal, School Breakfast Program .....                               | 3,350  | 3,605  | 3,843  |
| 0201 | Above 185 of poverty .....   | 191    | 190    | 198    |
| 0202 | 130–185 of poverty .....   | 135    | 137    | 149    |
| 0203 | Below 130 of poverty .....   | 2,481  | 2,515  | 2,598  |
| 0204 | Audits .....   | 39     | 42     | 44     |
| 0205 | CNR Add-ons .....  | .....  | 54     | 63     |
| 0291 | Subtotal, Child and Adult Care Feeding Program .....                   | 2,846  | 2,938  | 3,052  |
| 0301 | Summer Food Service Program .....                                      | 400    | 436    | 468    |
| 0302 | Special Milk Program .....   | 13     | 11     | 11     |
| 0303 | State Administrative Expenses .....                                    | 276    | 291    | 248    |
| 0304 | Commodity Procurement .....  | 999    | 1,059  | 1,103  |
| 0310 | Coordinated Review Effort .....  | 9      | 12     | 10     |
| 0315 | Food Safety Education .....  | 2      | 4      | 3      |
| 0320 | CN Studies and Evaluations .....                                       | 12     | 36     | 20     |
| 0325 | Computer Support and Processing .....                                  | 9      | 11     | 11     |
| 0340 | Other Mandatory Program Costs .....                                    | 6      | 18     | 19     |
| 0391 | Subtotal, Other mandatory activities .....                             | 1,726  | 1,878  | 1,893  |
| 0401 | Team Nutrition and HealthierUS Schools Challenge .....                 | 15     | 19     | 17     |
| 0410 | School Breakfast Expansion Grants .....                                | .....  | 1      | .....  |
| 0415 | School Meals Equipment Grants .....                                    | .....  | .....  | 35     |
| 0491 | Subtotal, discretionary activities .....                               | 15     | 20     | 52     |
| 0501 | Fresh Fruit and Vegetable Program .....                                | 157    | 309    | 40     |
| 0502 | Tech. Assist. Program Integrity/Administrative Reviews .....           | 6      | 13     | 8      |
| 0504 | National Food Service Management Inst./Information Clearinghouse ..... | 5      | 5      | 5      |
| 0505 | School Lunch Equipment Grants (Sect. 749) .....                        | .....  | 1      | .....  |
| 0507 | Direct Certification Technical Assistance (Sect. 749) .....            | 10     | 12     | .....  |
| 0508 | Summer Demonstration Projects (Sect. 749) .....                        | 18     | 34     | .....  |
| 0520 | Other Permanent Programs .....   | 10     | 98     | 10     |
| 0591 | Subtotal, Permanent Programs .....                                     | 206    | 472    | 63     |
| 0900 | Total new obligations .....  | 18,570 | 20,376 | 20,620 |

**Budgetary Resources:**  
Unobligated balance:

|  |   |        |        |        |
|--|---|--------|--------|--------|
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 643    | 732    | 75     |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....    | 74     | 49     | .....  |
| 1021   | Recoveries of prior year unpaid obligations .....             | 404    | .....  | .....  |
| 1050   | Unobligated balance (total) .....                             | 1,047  | 732    | 75     |
| Budget authority:  |   |        |        |        |
| Appropriations, discretionary:                             |   |        |        |        |
| 1100   | Appropriation .....   | 18     | 17     | –67    |
| 1160   | Appropriation, discretionary (total) .....                    | 18     | 17     | –67    |
| Appropriations, mandatory:                                 |   |        |        |        |
| 1200   | Appropriation .....   | 11,384 | 11,643 | 12,470 |
| 1200   | Appropriation- Permanent Appropriation .....                  | 18     | 73     | 23     |
| 1221   | Appropriations transferred from other accts [12–5209] .....   | 6,887  | 7,986  | 8,124  |
| 1260   | Appropriations, mandatory (total) .....                       | 18,289 | 19,702 | 20,617 |
| Spending authority from offsetting collections, mandatory: |   |        |        |        |
| 1800   | Collected .....   | 14     | .....  | .....  |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 14     | .....  | .....  |
| 1900   | Budget authority (total) .....                                | 18,321 | 19,719 | 20,550 |
| 1930   | Total budgetary resources available .....                     | 19,368 | 20,451 | 20,625 |
| Memorandum (non-add) entries:                              |   |        |        |        |
| 1940   | Unobligated balance expiring .....                            | –66    | .....  | .....  |
| 1941   | Unexpired unobligated balance, end of year .....              | 732    | 75     | 5      |

**Change in obligated balance:**

|                               |  |         |         |         |
|-------------------------------|--|---------|---------|---------|
| Unpaid obligations:           |  |         |         |         |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 2,822   | 2,676   | 2,186   |
| 3010                          | Obligations incurred, unexpired accounts .....               | 18,570  | 20,376  | 20,620  |
| 3011                          | Obligations incurred, expired accounts .....                 | 25      | .....   | .....   |
| 3020                          | Outlays (gross) .....  | –18,332 | –20,866 | –20,581 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | –404    | .....   | .....   |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | –5      | .....   | .....   |
| 3050                          | Unpaid obligations, end of year .....                        | 2,676   | 2,186   | 2,225   |
| Memorandum (non-add) entries: |  |         |         |         |
| 3100                          | Obligated balance, start of year .....                       | 2,822   | 2,676   | 2,186   |
| 3200                          | Obligated balance, end of year .....                         | 2,676   | 2,186   | 2,225   |

**Budget authority and outlays, net:**

|                 |  |    |    |     |
|-----------------|--|----|----|-----|
| Discretionary:  |  |    |    |     |
| 4000            | Budget authority, gross .....                  | 18 | 17 | –67 |
| Outlays, gross: |  |    |    |     |
| 4010            | Outlays from new discretionary authority ..... | 4  | 5  | –88 |
| 4011            | Outlays from discretionary balances .....      | 30 | 77 | 20  |

|   |  |        |        |        |
|---|--|--------|--------|--------|
| 4020  | Outlays, gross (total) .....                                     | 34     | 82     | –68    |
| Mandatory:  |  |        |        |        |
| 4090  | Budget authority, gross .....                                    | 18,303 | 19,702 | 20,617 |
| Outlays, gross:   |  |        |        |        |
| 4100  | Outlays from new mandatory authority .....                       | 15,022 | 17,369 | 17,751 |
| 4101  | Outlays from mandatory balances .....                            | 3,276  | 3,415  | 2,898  |
| 4110  | Outlays, gross (total) .....                                     | 18,298 | 20,784 | 20,649 |
| Offsets against gross budget authority and outlays:     |  |        |        |        |
| Offsetting collections (collected) from:                |  |        |        |        |
| 4120  | Policy Program [Prior Year Collections - Commodities] .....      | –15    | .....  | .....  |
| 4123  | Policy Program [Prior Year Collections] .....                    | –8     | .....  | .....  |
| 4130  | Offsets against gross budget authority and outlays (total) ..... | –23    | .....  | .....  |
| Additional offsets against gross budget authority only: |  |        |        |        |
| 4142  | Offsetting collections credited to expired accounts .....        | 9      | .....  | .....  |
| 4160  | Budget authority, net (mandatory) .....                          | 18,289 | 19,702 | 20,617 |
| 4170  | Outlays, net (mandatory) .....                                   | 18,275 | 20,784 | 20,649 |
| 4180  | Budget authority, net (total) .....                              | 18,307 | 19,719 | 20,550 |
| 4190  | Outlays, net (total) .....                                       | 18,309 | 20,866 | 20,581 |

The Child Nutrition Programs provide reimbursement to State agencies for cash and commodity meal subsidies through the National School Lunch Program (NSLP), School Breakfast Program (SBP), Special Milk Program, Summer Food Service Program (SFSP), and Child and Adult Care Food Program (CACFP). These programs provide nutritionally balanced, low-cost or free breakfasts and lunches to children every school day; provide nutrition assistance to children when school is not in session during summer months; and improve the quality of day care, making it more affordable for low-income families by providing reimbursement for nutritious meals and snacks. In addition, the Fresh Fruit and Vegetable program (FFVP), targeted to low-income elementary schools, provides fresh fruits and vegetables at no charge to children during the school day. The 2014 Budget will support almost 5.6 billion lunches and snacks served to 32.1 million children in the NSLP, over 2.4 billion breakfasts served to 14.0 million children in the SBP, and over 2.0 billion meals and snacks served in day care facilities.

**Object Classification** (in millions of dollars)

| Identification code 12–3539–0–1–605 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 13          | 18      | 18        |
| 12.1                                | Civilian personnel benefits .....                 | 4           | 6       | 6         |
| 21.0                                | Travel and transportation of persons .....        | 1           | 1       | 1         |
| 24.0                                | Printing and reproduction .....                   | 2           | .....   | .....     |
| 25.2                                | Other services from non-Federal sources .....     | 15          | 37      | 38        |
| 26.0                                | Supplies and materials (Commodities) .....        | 999         | 1,059   | 1,103     |
| 41.0                                | Grants, subsidies, and contributions .....        | 17,536      | 19,255  | 19,454    |
| 99.9                                | Total new obligations .....                       | 18,570      | 20,376  | 20,620    |

**Employment Summary**

| Identification code 12–3539–0–1–605                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 198         | 221     | 221       |

**SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC)**

*For necessary expenses to carry out the special supplemental nutrition program as authorized by section 17 of the Child Nutrition Act of 1966 (42 U.S.C. 1786), \$7,141,625,000, to remain available through September 30, 2015, of which \$50,000,000 shall be placed in reserve, to remain available until expended, to be allocated as the Secretary deems necessary, notwithstanding section 17(i) of such Act, to support participation should cost or participation exceed budget estimates: Provided, That notwithstanding section 17(h)(10) of the Child Nutrition Act of 1966 (42 U.S.C. 1786(h)(10)), of the amounts made available under this heading, \$60,000,000 shall be used for breast-feeding peer counselors and other related activities, \$14,000,000 shall be used for infrastructure, and*

## SPECIAL SUPPLEMENTAL NUTRITION PROGRAM FOR WOMEN, INFANTS, AND CHILDREN (WIC)—Continued

*\$30,000,000 shall be used for management information systems: Provided further, That none of the funds provided in this account shall be available for the purchase of infant formula except in accordance with the cost containment and competitive bidding requirements specified in section 17 of such Act: Provided further, That none of the funds provided shall be available for activities that are not fully reimbursed by other Federal Government departments or agencies unless authorized by section 17 of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–3510–0–1–605                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Grants to States .....  | 7,074       | 7,024   | 7,128     |
| 0004 WIC EBT/MIS .....   | 10          |         | 30        |
| 0010 Infrastructure Grants and Technical Assistance .....          | 3           |         | 14        |
| 0020 Breastfeeding Peer Counselors and Bonuses .....               | 60          | 60      | 60        |
| 0030 Program Initiatives and Evaluations .....                     | 19          | 20      | 16        |
| 0091 Direct program activities (discretionary), subtotal .....     | 7,166       | 7,104   | 7,248     |
| 0101 UPC Database (mandatory) .....                                | 2           | 1       | 1         |
| 0900 Total new obligations .....                                   | 7,168       | 7,105   | 7,249     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 26          | 166     | 41        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 25          | 166     |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | 125         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 164         | 320     | 157       |
| 1050 Unobligated balance (total) .....                             | 315         | 486     | 198       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 6,618       | 6,659   | 7,142     |
| 1121 Appropriations transferred from other accts [12–3505] ....    | 400         |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 7,018       | 6,659   | 7,142     |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation - Permanent Appropriation .....                 | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....                       | 1           | 1       | 1         |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           |         |           |
| 1900 Budget authority (total) .....                                | 7,020       | 6,660   | 7,143     |
| 1930 Total budgetary resources available .....                     | 7,335       | 7,146   | 7,341     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 166         | 41      | 92        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1,214       | 1,378   | 1,492     |
| 3010 Obligations incurred, unexpired accounts .....                | 7,168       | 7,105   | 7,249     |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020 Outlays (gross) .....   | –6,838      | –6,671  | –7,008    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –164        | –320    | –157      |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 1,378       | 1,492   | 1,576     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1,214       | 1,378   | 1,492     |
| 3200 Obligated balance, end of year .....                          | 1,378       | 1,492   | 1,576     |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 7,019       | 6,659   | 7,142     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 5,793       | 5,321   | 5,638     |
| 4011 Outlays from discretionary balances .....                     | 1,045       | 1,349   | 1,369     |
| 4020 Outlays, gross (total) .....                                  | 6,838       | 6,670   | 7,007     |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | –1          |         |           |

|  |       |       |       |
|--|-------|-------|-------|
| Mandatory:                                 |       |       |       |
| 4090 Budget authority, gross .....         | 1     | 1     | 1     |
| Outlays, gross:                            |       |       |       |
| 4101 Outlays from mandatory balances ..... |       | 1     | 1     |
| 4180 Budget authority, net (total) .....   | 7,019 | 6,660 | 7,143 |
| 4190 Outlays, net (total) .....            | 6,837 | 6,671 | 7,008 |

The Special Supplemental Nutrition Program for Women, Infants, and Children (WIC) provides low-income at-risk pregnant and post-partum women, infants, and children nutritious supplemental food packages, nutrition education and counseling, and health and immunization referrals. The 2014 Budget supports nutrition benefits for the 8.9 million individuals expected to participate in the program each month.

## Object Classification (in millions of dollars)

| Identification code 12–3510–0–1–605                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 3           | 16      | 16        |
| 26.0 Supplies and materials .....                      |             | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 7,162       | 7,084   | 7,228     |
| 99.9 Total new obligations .....                       | 7,168       | 7,105   | 7,249     |

## Employment Summary

| Identification code 12–3510–0–1–605                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 28          | 30      | 35        |

## COMMODITY ASSISTANCE PROGRAM

*For necessary expenses to carry out disaster assistance and the Commodity Supplemental Food Program as authorized by section 4(a) of the Agriculture and Consumer Protection Act of 1973 (7 U.S.C. 612c note); the Emergency Food Assistance Act of 1983; special assistance for the nuclear affected islands, as authorized by section 103(f)(2) of the Compact of Free Association Amendments Act of 2003 (Public Law 108–188); and the Farmers' Market Nutrition Program, as authorized by section 17(m) of the Child Nutrition Act of 1966, \$271,701,000, to remain available through September 30, 2015: Provided, That none of these funds shall be available to reimburse the Commodity Credit Corporation for commodities donated to the program: Provided further, That notwithstanding any other provision of law, effective with funds made available in fiscal year 2014 to support the Seniors Farmers' Market Nutrition Program, as authorized by section 4402 of the Farm Security and Rural Investment Act of 2002, such funds shall remain available through September 30, 2015: Provided further, That of the funds made available under section 27(a) of the Food and Nutrition Act of 2008 (7 U.S.C. 2036(a)), the Secretary may use up to 10 percent for costs associated with the distribution of commodities: Provided further, That of the total amount available under this account, \$2,000,000 shall be available for program integrity activities associated with the Emergency Food Assistance Program, including, but not limited to, grants to States, and section 204(a) of the Emergency Food Assistance Act of 1983, as amended, shall not apply to such grants.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 12–3507–0–1–605                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001 Commodity procurement .....                         | 147         | 148     | 158       |
| 0002 Administrative costs .....                          | 42          | 43      | 44        |
| 0091 Subtotal, commodity supplemental food program ..... | 189         | 191     | 202       |
| 0105 TEFAP Administrative .....                          | 49          | 49      | 49        |



|      |  |       |       |       |
|------|--|-------|-------|-------|
| 0106 | TEFAP disaster assistance .....              | 6     | ..... | ..... |
| 0107 | TEFAP program integrity .....                | ..... | ..... | 2     |
| 0110 | Senior farmers' market .....                 | 22    | 21    | 21    |
| 0115 | Farmers' market nutrition program .....      | 20    | 17    | 17    |
| 0120 | Pacific island and disaster assistance ..... | 1     | 1     | 1     |
| 0130 | NSIP (Transfer Funds) .....                  | 2     | ..... | ..... |
| 0191 | Direct program activities, subtotal .....    | 94    | 94    | 90    |
| 0900 | Total new obligations .....                  | 283   | 285   | 292   |

**Budgetary Resources:**

|                                |   |       |       |       |
|--------------------------------|---|-------|-------|-------|
| Unobligated balance:           |   |       |       |       |
| 1000                           | Unobligated balance brought forward, Oct 1 .....  | 2     | 4     | ..... |
| 1001                           | Discretionary unobligated balance brought fwd, Oct 1 .....  | 2     | 4     | ..... |
| 1020                           | Adjustment of unobligated bal brought forward, Oct 1 .....  | -2    | ..... | ..... |
| 1021                           | Recoveries of prior year unpaid obligations .....   | 6     | ..... | ..... |
| 1050                           | Unobligated balance (total) .....   | 6     | 4     | ..... |
| Budget authority:              |   |       |       |       |
| Appropriations, discretionary: |   |       |       |       |
| 1100                           | Appropriation .....   | 242   | 254   | 272   |
| 1100                           | Discretionary, TEFAP disaster assistance pursuant to<br>Disaster Relief Appropriation Act, 2013 P.L. 113-2,<br>Appropriations Committee ..... | ..... | 6     | ..... |
| 1121                           | Appropriations transferred from other accts [75-0142] ....  | 2     | ..... | ..... |
| 1160                           | Appropriation, discretionary (total) .....  | 244   | 260   | 272   |
| Appropriations, mandatory:     |   |       |       |       |
| 1221                           | Appropriations transferred from other accts [12-4336] ....  | 21    | 21    | 21    |
| 1260                           | Appropriations, mandatory (total) .....   | 21    | 21    | 21    |
| 1700                           | Spending authority from offsetting collections, discretionary:<br>Collected .....   | 16    | ..... | ..... |
| 1750                           | Spending auth from offsetting collections, disc (total) .....   | 16    | ..... | ..... |
| 1900                           | Budget authority (total) .....  | 281   | 281   | 293   |
| 1930                           | Total budgetary resources available .....   | 287   | 285   | 293   |
| Memorandum (non-add) entries:  |   |       |       |       |
| 1941                           | Unexpired unobligated balance, end of year .....  | 4     | ..... | 1     |

**Change in obligated balance:**

|                               |  |      |       |       |
|-------------------------------|--|------|-------|-------|
| Unpaid obligations:           |  |      |       |       |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....                   | 41   | 42    | 47    |
| 3001                          | Adjustments to unpaid obligations, brought forward, Oct<br>1 ..... | 2    | ..... | ..... |
| 3010                          | Obligations incurred, unexpired accounts .....                     | 283  | 285   | 292   |
| 3020                          | Outlays (gross) .....  | -278 | -280  | -292  |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired .....       | -6   | ..... | ..... |
| 3050                          | Unpaid obligations, end of year .....                              | 42   | 47    | 47    |
| Memorandum (non-add) entries: |  |      |       |       |
| 3100                          | Obligated balance, start of year .....                             | 43   | 42    | 47    |
| 3200                          | Obligated balance, end of year .....                               | 42   | 47    | 47    |

**Budget authority and outlays, net:**

|   |   |     |       |       |
|---|---|-----|-------|-------|
| Discretionary:  |   |     |       |       |
| 4000  | Budget authority, gross .....                             | 260 | 260   | 272   |
| Outlays, gross:   |   |     |       |       |
| 4010  | Outlays from new discretionary authority .....            | 209 | 223   | 234   |
| 4011  | Outlays from discretionary balances .....                 | 48  | 36    | 37    |
| 4020  | Outlays, gross (total) .....                              | 257 | 259   | 271   |
| Offsets against gross budget authority and outlays:     |   |     |       |       |
| Offsetting collections (collected) from:                |   |     |       |       |
| 4030  | Baseline Program [Commodity Collections] .....            | -19 | ..... | ..... |
| Additional offsets against gross budget authority only: |   |     |       |       |
| 4052  | Offsetting collections credited to expired accounts ..... | 3   | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....               | 244 | 260   | 272   |
| 4080  | Outlays, net (discretionary) .....                        | 238 | 259   | 271   |
| Mandatory:  |   |     |       |       |
| 4090  | Budget authority, gross .....                             | 21  | 21    | 21    |
| Outlays, gross:   |   |     |       |       |
| 4100  | Outlays from new mandatory authority .....                | 14  | 14    | 14    |
| 4101  | Outlays from mandatory balances .....                     | 7   | 7     | 7     |
| 4110  | Outlays, gross (total) .....                              | 21  | 21    | 21    |
| 4180  | Budget authority, net (total) .....                       | 265 | 281   | 293   |
| 4190  | Outlays, net (total) .....                                | 259 | 280   | 292   |

This account funds the Commodity Supplemental Food Program (CSFP), The Emergency Food Assistance Program (TEFAP), farmers' market nutrition programs, assistance for the nuclear-affected islands, and disaster relief.

CSFP provides food packages for low-income women, infants, and children, and low-income elderly persons. It also funds State

administrative expenses. TEFAP provides cash to support State administrative activities and to maintain the storage and distribution pipeline for USDA and privately-donated commodities (TEFAP commodities are separately funded through the Supplemental Nutrition Assistance Program (SNAP) account). The account also funds two programs which provide low-income participants vouchers to purchase produce at farmers' markets. The Senior Farmers' Market Nutrition Program is funded by transfer from the Commodity Credit Corporation. The WIC Farmers' Market Program is funded by discretionary appropriation.

**Object Classification** (in millions of dollars)

| Identification code 12-3507-0-1-605                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | .....       | .....   | 1         |
| 26.0 Supplies and materials (commodities) .....        | 149         | 150     | 158       |
| 41.0 Grants, subsidies, and contributions .....        | 134         | 135     | 133       |
| 99.9 Total new obligations .....                       | 283         | 285     | 292       |

**Employment Summary**

| Identification code 12-3507-0-1-605                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | .....       | 3       | 8         |

**FOREST SERVICE****Federal Funds****CAPITAL IMPROVEMENT AND MAINTENANCE****(INCLUDING TRANSFER OF FUNDS)**

*For necessary expenses of the Forest Service, not otherwise provided for, \$328,783,000, to remain available until expended, for construction, capital improvement, maintenance and acquisition of buildings and other facilities and infrastructure; and for construction, reconstruction, and maintenance of forest roads and trails by the Forest Service as authorized by 16 U.S.C. 532-538 and 23 U.S.C. 101 and 205: Provided, That funds becoming available in fiscal year 2014 under the Act of March 4, 1913 (16 U.S.C. 501) shall be transferred to the General Fund of the Treasury and shall not be available for transfer or obligation for any other purpose unless the funds are appropriated.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 12-1103-0-1-302   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Capital improvement and maintenance .....  | 398         | 475     | 325       |
| 0801 Reimbursable program .....   | 29          | 25      | 25        |
| 0900 Total new obligations .....  | 427         | 500     | 350       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 100         | 58      | 13        |
| 1021 Recoveries of prior year unpaid obligations .....  | 4           | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 104         | 58      | 13        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 395         | 396     | 329       |
| 1100 Appropriation, Discretionary, Emergency pursuant to 2011<br>Budget Control Act, Appropriations Committee ..... | .....       | 4       | .....     |
| 1120 Appropriations transferred to other accts [12-1106] .....  | -11         | .....   | .....     |
| 1120 Appropriations transferred to other accts [12-1115] .....  | -30         | .....   | .....     |
| 1121 Appropriations transferred from other accts [12-1115] ....   | .....       | 30      | .....     |
| 1130 Appropriations permanently reduced .....   | -1          | .....   | .....     |

CAPITAL IMPROVEMENT AND MAINTENANCE—Continued  
Program and Financing—Continued

| Identification code 12–1103–0–1–302       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1160                                      | Appropriation, discretionary (total) .....                      | 353         | 430     | 329       |
|   | Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700                                      | Collected .....   | 26          | 25      | 25        |
| 1701                                      | Change in uncollected payments, Federal sources .....           | 2           |         |           |
| 1750                                      | Spending auth from offsetting collections, disc (total) .....   | 28          | 25      | 25        |
| 1900                                      | Budget authority (total) .....                                  | 381         | 455     | 354       |
| 1930                                      | Total budgetary resources available .....                       | 485         | 513     | 367       |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 58          | 13      | 17        |
| <b>Change in obligated balance:</b>       |   |             |         |           |
|   | Unpaid obligations:   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 383         | 216     | 266       |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 427         | 500     | 350       |
| 3011                                      | Obligations incurred, expired accounts .....                    | 5           |         |           |
| 3020                                      | Outlays (gross) .....   | –589        | –450    | –389      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | –4          |         |           |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....      | –6          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                           | 216         | 266     | 227       |
|   | Uncollected payments:   |             |         |           |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –60         | –62     | –62       |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | –2          |         |           |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | –62         | –62     | –62       |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                          | 323         | 154     | 204       |
| 3200                                      | Obligated balance, end of year .....                            | 154         | 204     | 165       |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
|   | Discretionary:  |             |         |           |
| 4000                                      | Budget authority, gross .....                                   | 381         | 455     | 354       |
|   | Outlays, gross:   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                  | 301         | 296     | 230       |
| 4011                                      | Outlays from discretionary balances .....                       | 288         | 154     | 159       |
| 4020                                      | Outlays, gross (total) .....                                    | 589         | 450     | 389       |
|   | Offsets against gross budget authority and outlays:             |             |         |           |
|   | Offsetting collections (collected) from:                        |             |         |           |
| 4030                                      | Federal sources .....   | –7          | –7      | –7        |
| 4033                                      | Non-Federal sources .....                                       | –19         | –18     | –18       |
| 4040                                      | Offsets against gross budget authority and outlays (total) .... | –26         | –25     | –25       |
|   | Additional offsets against gross budget authority only:         |             |         |           |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | –2          |         |           |
| 4070                                      | Budget authority, net (discretionary) .....                     | 353         | 430     | 329       |
| 4080                                      | Outlays, net (discretionary) .....                              | 563         | 425     | 364       |
| 4180                                      | Budget authority, net (total) .....                             | 353         | 430     | 329       |
| 4190                                      | Outlays, net (total) .....                                      | 563         | 425     | 364       |

The 2014 Budget requests \$328,783,000 for Capital Improvement and Maintenance, a decrease of \$67,718,000 below the 2013 annualized CR level. Funding provides for capital improvement and maintenance of Forest Service assets including facilities, roads, and trails. Addressing critical maintenance and operational components of the Forest Service demonstrates our commitment to maintaining a healthy environment. The program emphasizes an efficient and effective infrastructure that supports public and administrative uses and quality recreation experiences with minimal impact to ecosystem stability and conditions.

Capital improvement of facilities, roads, and trails includes alteration of existing assets to change the function of the assets, or expansion of an asset to change the capacity or to serve needs that are different from what was originally intended. The Budget shifts activities previously conducted under the Legacy Roads and Trails program, such as road decommissioning, to the Integrated Resource Restoration program under the National Forest System appropriation.

**Facilities.**—Provides for capital improvement and maintenance of recreation developed sites, fire, administrative, and other facilities, including visitor centers, research facilities, telecommunication sites and towers, and dams. The program also includes

the acquisition of buildings and other facilities necessary to carry out the mission of the Forest Service.

**Roads.**—Provides for capital improvement and maintenance of the national forest road system, including bridges and terminal facilities such as parking lots, trailhead parking, camping spurs, and truck turnarounds. The agency continues to address the growing road system maintenance backlog. Funding priorities are health and safety and resource protection, including clean water, aquatic passage, and mission critical needs.

**Trails.**—Provides for capital improvement and maintenance of National Forest System trails. Funding is used to protect capital investments by keeping trails open for access and protecting vegetation, soil, and water quality. Work includes clearing the pathway of encroaching vegetation and fallen trees, and repairing or improving trail signs, treadways, drainage facilities, and bridges.

**Infrastructure Improvement.**—Provides for capital improvement and maintenance directed toward reducing the backlog in deferred maintenance on National Forest System roads and trails, as well as recreation developed sites and fire, administrative, and other facilities. Funding priorities are to ensure the safety of the public, agency employees, volunteers and contractors.

## Object Classification (in millions of dollars)

| Identification code 12–1103–0–1–302 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 135         | 135     | 124       |
| 11.3                                | Other than full-time permanent .....                       | 11          | 11      | 11        |
| 11.5                                | Other personnel compensation .....                         | 4           | 4       | 4         |
| 11.9                                | Total personnel compensation .....                         | 150         | 150     | 139       |
| 12.1                                | Civilian personnel benefits .....                          | 49          | 49      | 45        |
| 13.0                                | Benefits for former personnel .....                        | 4           | 5       | 3         |
| 21.0                                | Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0                                | Transportation of things .....                             | 2           | 2       | 2         |
| 23.1                                | Rental payments to GSA .....                               | 4           | 5       | 5         |
| 23.2                                | Rental payments to others .....                            | 5           | 6       | 6         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 9           | 11      | 7         |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 89          | 126     | 50        |
| 25.3                                | Other goods and services from Federal sources .....        | 47          | 73      | 35        |
| 25.4                                | Operation and maintenance of facilities .....              | 3           | 4       | 2         |
| 25.7                                | Operation and maintenance of equipment .....               | 5           | 6       | 4         |
| 26.0                                | Supplies and materials .....                               | 9           | 11      | 7         |
| 31.0                                | Equipment .....  | 3           | 4       | 3         |
| 32.0                                | Land and structures .....                                  | 7           | 8       | 6         |
| 41.0                                | Grants, subsidies, and contributions .....                 | 10          | 12      | 8         |
| 99.0                                | Direct obligations .....                                   | 399         | 475     | 325       |
| 99.0                                | Reimbursable obligations .....                             | 27          | 25      | 25        |
| 99.5                                | Below reporting threshold .....                            | 1           |         |           |
| 99.9                                | Total new obligations .....                                | 427         | 500     | 350       |

## Employment Summary

| Identification code 12–1103–0–1–302 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....             | 2,446       | 2,446   | 2,247     |
| 2001                                | Reimbursable civilian full-time equivalent employment .....       | 235         | 235     | 235       |
| 3001                                | Allocation account civilian full-time equivalent employment ..... | 93          | 93      | 93        |

## FOREST AND RANGELAND RESEARCH

For necessary expenses of forest and rangeland research as authorized by law, \$310,236,000, to remain available until expended: Provided, That of the funds provided, \$66,805,000 is for the forest inventory and analysis program.

## GIFTS, DONATIONS AND BEQUESTS FOR FOREST AND RANGELAND RESEARCH

*For expenses authorized by 16 U.S.C. 1643(b), \$40,000, to remain available until expended, to be derived from the fund established pursuant to the above Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12–1104–0–1–302                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0006   | Forest and rangeland research .....                             | 320         | 325     | 347       |
| 0801   | Reimbursable program .....                                      | 22          | 20      | 20        |
| 0900   | Total new obligations .....                                     | 342         | 345     | 367       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 42          | 45      | 46        |
| 1021   | Recoveries of prior year unpaid obligations .....               | 2           |         |           |
| 1050   | Unobligated balance (total) .....                               | 44          | 45      | 46        |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 296         | 297     | 310       |
| 1121   | Appropriations transferred from other accts [12–1115] ....      | 26          | 29      | 27        |
| 1160   | Appropriation, discretionary (total) .....                      | 322         | 326     | 337       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 20          | 20      | 20        |
| 1701   | Change in uncollected payments, Federal sources .....           | 1           |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 21          | 20      | 20        |
| 1900   | Budget authority (total) .....                                  | 343         | 346     | 357       |
| 1930   | Total budgetary resources available .....                       | 387         | 391     | 403       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 45          | 46      | 36        |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 157         | 142     | 98        |
| 3010   | Obligations incurred, unexpired accounts .....                  | 342         | 345     | 367       |
| 3020   | Outlays (gross) .....   | –355        | –389    | –379      |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | –2          |         |           |
| 3050   | Unpaid obligations, end of year .....                           | 142         | 98      | 86        |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –40         | –41     | –41       |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | –1          |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....               | –41         | –41     | –41       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 117         | 101     | 57        |
| 3200   | Obligated balance, end of year .....                            | 101         | 57      | 45        |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                   | 343         | 346     | 357       |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                  | 263         | 277     | 286       |
| 4011   | Outlays from discretionary balances .....                       | 92          | 112     | 93        |
| 4020   | Outlays, gross (total) .....                                    | 355         | 389     | 379       |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –16         | –16     | –16       |
| 4033   | Non-Federal sources .....                                       | –4          | –4      | –4        |
| 4040   | Offsets against gross budget authority and outlays (total) .... | –20         | –20     | –20       |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....       | –1          |         |           |
| 4070   | Budget authority, net (discretionary) .....                     | 322         | 326     | 337       |
| 4080   | Outlays, net (discretionary) .....                              | 335         | 369     | 359       |
| 4180   | Budget authority, net (total) .....                             | 322         | 326     | 337       |
| 4190   | Outlays, net (total) .....                                      | 335         | 369     | 359       |

search Areas and Strategic Program Areas. Forest Service R&D provides scientific information and new technologies to support sustainable management of the Nation's forests and rangelands that sustain jobs and provide economic benefits. Forest Service R&D conducts ecological and social science research to understand ecosystems, how humans influence those ecosystems, how those ecosystems respond to the impacts of climate change, and how forests can be managed sustainably to enable both environmental conservation and economic opportunities. These products and services increase the basic biological and physical knowledge of the composition, structure, and function of forest and grassland ecosystems.

Forest Service R&D is federally mandated to provide new knowledge and technologies to foster healthy watersheds, forest products, wildlife protection, outdoor recreation opportunities, and other benefits, across all U.S. territories and States. Research is conducted at five Research Stations, the Forest Products Laboratory, and the International Institute of Tropical Forestry located in Puerto Rico. The Forest Service R&D structure has two components: Priority Research Areas and Strategic Program Areas. The Priority Research Areas address national needs in seven areas: Forest Inventory and Analysis, Forest Disturbances, Watershed Management and Restoration, Bioenergy and Biobased Products, Urban Natural Resources Stewardship, Nanotechnology, and Localized Needs Research. Strategic Program Areas include Wildland Fire and Fuels; Invasive Species; Recreation; Resource Management and Use; Water, Air, and Soil; Wildlife and Fish; and Inventory and Monitoring. The Budget sustains the outputs and products on which land managers depend for developing management options, strategies and systems for addressing current issues.

## Object Classification (in millions of dollars)

| Identification code 12–1104–0–1–302 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 151         | 151     | 149       |
| 11.3                                | Other than full-time permanent .....                       | 6           | 6       | 6         |
| 11.5                                | Other personnel compensation .....                         | 4           | 4       | 4         |
| 11.9                                | Total personnel compensation .....                         | 161         | 161     | 159       |
| 12.1                                | Civilian personnel benefits .....                          | 48          | 48      | 47        |
| 13.0                                | Benefits for former personnel .....                        | 1           | 1       | 1         |
| 21.0                                | Travel and transportation of persons .....                 | 5           | 5       | 5         |
| 22.0                                | Transportation of things .....                             | 2           | 2       | 2         |
| 23.1                                | Rental payments to GSA .....                               | 4           | 4       | 4         |
| 23.2                                | Rental payments to others .....                            | 4           | 4       | 4         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 6           | 6       | 6         |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 18          | 18      | 18        |
| 25.3                                | Other goods and services from Federal sources .....        | 18          | 18      | 18        |
| 25.5                                | Research and development contracts .....                   | 27          | 30      | 54        |
| 25.7                                | Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0                                | Supplies and materials .....                               | 6           | 6       | 6         |
| 31.0                                | Equipment .....  | 6           | 6       | 6         |
| 41.0                                | Grants, subsidies, and contributions .....                 | 12          | 14      | 15        |
| 99.0                                | Direct obligations .....                                   | 320         | 325     | 347       |
| 99.0                                | Reimbursable obligations .....                             | 19          | 20      | 20        |
| 99.5                                | Below reporting threshold (Direct/Reimb) .....             | 3           |         |           |
| 99.9                                | Total new obligations .....                                | 342         | 345     | 367       |

## Employment Summary

| Identification code 12–1104–0–1–302 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 2,069       | 2,069   | 2,043     |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 95          | 95      | 90        |

The 2014 Budget requests \$310,236,000 for Forest and Rangeland Research (Forest Service R&D), an increase of \$13,129,000 above the 2013 annualized CR level. Funding maintains an essential level of basic research associated with the Priority Re-

## NATIONAL FOREST SYSTEM

*For necessary expenses of the Forest Service, not otherwise provided for, for management, protection, improvement, and utilization of the National Forest System, \$1,556,662,000, to remain available until expended, and in addition, \$5,000,000 for the processing of grazing permits and leases, to remain available until expended, to be reduced by amounts collected by the Forest Service and credited to this appropriation, which shall be derived by a \$1.00 per head month administrative fee, as provided for in this Act: Provided, That of the funds provided, \$39,851,000 shall be deposited in the Collaborative Forest Landscape Restoration Fund for ecological restoration treatments as authorized by 16 U.S.C. 7303(f): Provided further, That funds provided under this heading may be used for necessary expenses of the Forest Service to manage Federal lands in Alaska for subsistence uses under title VIII of the Alaska National Interest Lands Conservation Act (Public Law 96-487): Provided further, That funds provided under this heading shall be available for urgently needed road decommissioning, road and trail repair and maintenance and associated activities, and removal of fish passage barriers, especially in areas where Forest Service roads may be contributing to water quality problems in streams and water bodies that support threatened, endangered or sensitive species or community water sources, as authorized by Public Law 88-567, as amended (16 U.S.C. 532-538) and Public Law 85-767, as amended (23 U.S.C. 101 and 205): Provided further, That funds provided herein shall be available for the decommissioning of roads which are no longer needed, including unauthorized roads not part of the transportation system: Provided further, That for fiscal year 2014 through fiscal year 2019, the Secretary may authorize the expenditure or transfer of such sums as necessary to the Department of the Interior, Bureau of Land Management, for removal, preparation, and adoption of excess wild horses and burros from National Forest System lands, and for the performance of cadastral surveys to designate the boundaries of such lands.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-1106-0-1-302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 National forest system .....                                  | 1,565       | 1,710   | 1,549     |
| 0801 Reimbursable program .....                                    | 52          | 65      | 69        |
| 0900 Total new obligations .....                                   | 1,617       | 1,775   | 1,618     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 148         | 130     | 34        |
| 1021 Recoveries of prior year unpaid obligations .....             | 6           |         |           |
| 1050 Unobligated balance (total) .....                             | 154         | 130     | 34        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1,557       | 1,564   | 1,557     |
| 1120 Appropriations transferred to other accts [12-1115] .....     | -50         |         |           |
| 1121 Appropriations transferred from other accts [12-1103] ....    | 11          |         |           |
| 1121 Appropriations transferred from other accts [12-1115] ....    | 20          |         |           |
| 1121 Appropriations transferred from other accts [12-1115] ....    |             | 50      |           |
| 1130 Appropriations permanently reduced .....                      | -2          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 1,536       | 1,614   | 1,557     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 67          | 65      | 65        |
| 1700 Offsetting Collections (Grazing fees) .....                   |             |         | 5         |
| 1701 Change in uncollected payments, Federal sources .....         | -10         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 57          | 65      | 70        |
| 1900 Budget authority (total) .....                                | 1,593       | 1,679   | 1,627     |
| 1930 Total budgetary resources available .....                     | 1,747       | 1,809   | 1,661     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 130         | 34      | 43        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 438         | 385     | 560       |
| 3010 Obligations incurred, unexpired accounts .....                | 1,617       | 1,775   | 1,618     |
| 3020 Outlays (gross) .....   | -1,664      | -1,600  | -1,716    |

|   |      |     |     |
|---|------|-----|-----|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -6   |     |     |
| 3050 Unpaid obligations, end of year .....                        | 385  | 560 | 462 |
| Uncollected payments:   |      |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -102 | -92 | -92 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 10   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -92  | -92 | -92 |
| Memorandum (non-add) entries:                                     |      |     |     |
| 3100 Obligated balance, start of year .....                       | 336  | 293 | 468 |
| 3200 Obligated balance, end of year .....                         | 293  | 468 | 370 |

## Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 1,593 | 1,679 | 1,627 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 1,366 | 1,427 | 1,382 |
| 4011 Outlays from discretionary balances .....                       | 298   | 173   | 334   |
| 4020 Outlays, gross (total) .....                                    | 1,664 | 1,600 | 1,716 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | -43   | -42   | -42   |
| 4033 Non-Federal sources .....                                       | -24   | -23   | -28   |
| 4040 Offsets against gross budget authority and outlays (total) .... | -67   | -65   | -70   |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 10    |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 1,536 | 1,614 | 1,557 |
| 4080 Outlays, net (discretionary) .....                              | 1,597 | 1,535 | 1,646 |
| 4180 Budget authority, net (total) .....                             | 1,536 | 1,614 | 1,557 |
| 4190 Outlays, net (total) .....                                      | 1,597 | 1,535 | 1,646 |

The 2014 Budget requests \$1,556,662,000 for the National Forest System (NFS), an decrease of 6,987,000 below the 2013 annualized continuing resolution (CR) level for the stewardship and management of the 193 million acres of national forests and grasslands. This includes the 155 National Forests and 20 National Grasslands located in 44 States and Puerto Rico that are managed under multiple-use and sustained-yield principles. The natural resources of timber, minerals, range, wildlife, outdoor recreation, watershed, and soil are used in a planned combination that best meets the needs of the Nation without impairing productivity of the land or damaging the environment.

These management and utilization principles are recognized in the Multiple-Use Sustained-Yield Act of 1960 (16 U.S.C. 528-531) and use an ecological approach to managing the NFS. NFS operations and maintenance provide for the planning, assessment, and conservation of ecosystems while delivering multiple public services and uses. These are delivered through the principal NFS programs of integrated resource restoration; land management planning, assessment, and monitoring; recreation, heritage, and wilderness; grazing management; minerals and geology management; landownership management; and law enforcement operations. These programs maintain the capability to manage natural resources in a manner consistent with ecological principles and responsibilities.

Following the Secretary's "All Lands" vision, the Budget focuses on meeting the challenges of ecological restoration through collaborative approaches to address fire and fuels, invasive species, and watershed degradation; engaging communities to help Americans reconnect to the outdoors, expand on recreation benefits and create a wide range of opportunities for economic expansion to retain and create jobs; and partnering with communities and fellow agencies to reduce the threat of wildland fires. The goals of these efforts are to reestablish and retain the resilience of NFS lands, to achieve sustainable management and use, and to provide a broad range of ecosystem services. Healthy and resilient landscapes have a greater capacity to survive natural disturbances, provide for the natural storage and sustained delivery of high quality water, and are more resilient and adaptable to changing environmental conditions.

Specific conservation and restoration actions depend on the particular needs and priorities identified for a given landscape. These may include management of off-highway vehicle use and other recreation activities, road decommissioning, mitigation of abandoned mine and hazardous material sites, enhanced administration of grazing authorizations, and other actions identified as necessary for ecosystem restoration.

The Budget emphasizes Integrated Resource Restoration (IRR) as a priority approach to accomplish on-the-ground restoration. IRR promotes improved forest and grassland health and resilience using landscape scale restoration to recover watershed health and clean water and create or maintain local economic opportunities and jobs. IRR does this by improving the efficient delivery of NFS programs throughout the Nation and integrating watershed protection and restoration into all aspects of our management of national forests and grasslands. This allows the Forest Service to more effectively accomplish forest health and water quality improvement goals.

The Budget also invests in the Collaborative Forest Landscape Restoration Program (CFLRP), which fosters collaborative, science-based restoration on priority forest landscapes across the Nation. The CFLRP was established specifically to create job stability, achieve a reliable wood supply, restore forest health, and reduce the costs of fire suppression in overgrown forests. The ultimate goal of the CFLRP is to collaboratively achieve improved forest benefits for people, water, and wildlife in a way that can be shared across broad landscapes.

The Budget proposes to fund Restoration Partnerships at \$10,029,000. This new program will develop public-private partnerships intended to reduce risk to fire-prone communities. Projects will protect and enhance water quality in municipal watersheds and maintain and restore resilience to aquatic ecosystems through the agency's Watershed Condition Framework (WCF). National forests that largely comprise a municipal watershed area, forests with special use utility permits, and priority partnership actions within the WCF will be eligible for restoration partnership funding. This program will support Restoration Partnership projects reducing fire risk through hazardous fuels reduction, forest thinning, and similar vegetative activities to protect and enhance water quality and reduce risk to utility investments, while providing ancillary benefits for public recreation, trails, and fish and wildlife management.

The Budget reflects the continuing emphasis on Forest Service program performance and accountability agency-wide. The Forest Service business rules for accomplishment reporting incorporate not only directly funded work, but also accomplishments achieved through integration between program areas or partnerships with external groups. This effort improves performance and accountability by shifting focus to accomplishments that naturally align other programs and partner organizations to achieve multiple goals.

#### Object Classification (in millions of dollars)

| Identification code 12–1106–0–1–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 671         | 671     | 670       |
| 11.3 Other than full-time permanent .....                       | 40          | 40      | 40        |
| 11.5 Other personnel compensation .....                         | 34          | 34      | 34        |
| 11.9 Total personnel compensation .....                         | 745         | 745     | 744       |
| 12.1 Civilian personnel benefits .....                          | 255         | 255     | 255       |
| 13.0 Benefits for former personnel .....                        | 8           | 9       | 8         |
| 21.0 Travel and transportation of persons .....                 | 15          | 15      | 15        |
| 22.0 Transportation of things .....                             | 9           | 10      | 9         |
| 23.1 Rental payments to GSA .....                               | 15          | 16      | 15        |
| 23.2 Rental payments to others .....                            | 20          | 22      | 20        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 35          | 38      | 35        |

|  |       |       |       |
|--|-------|-------|-------|
| 24.0 Printing and reproduction .....                     | 3     | 3     | 3     |
| 25.2 Other services from non-Federal sources .....       | 194   | 266   | 187   |
| 25.3 Other goods and services from Federal sources ..... | 149   | 203   | 143   |
| 25.4 Operation and maintenance of facilities .....       | 1     | 1     | 1     |
| 25.5 Research and development contracts .....            | 1     | 1     | 1     |
| 25.7 Operation and maintenance of equipment .....        | 6     | 7     | 6     |
| 26.0 Supplies and materials .....                        | 34    | 37    | 34    |
| 31.0 Equipment .....                                     | 23    | 25    | 23    |
| 41.0 Grants, subsidies, and contributions .....          | 51    | 56    | 50    |
| 42.0 Insurance claims and indemnities .....              | 1     | 1     | 1     |
| 99.0 Direct obligations .....                            | 1,564 | 1,710 | 1,549 |
| 99.0 Reimbursable obligations .....                      | 52    | 65    | 69    |
| 99.5 Below reporting threshold .....                     | 1     | 1     | 1     |
| 99.9 Total new obligations .....                         | 1,617 | 1,775 | 1,618 |

#### Employment Summary

| Identification code 12–1106–0–1–302                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 11,442      | 11,442  | 11,429    |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 321         | 321     | 321       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 1,555       | 1,555   | 1,555     |

#### STATE AND PRIVATE FORESTRY

*For necessary expenses of cooperating with and providing technical and financial assistance to States, territories, possessions, and others, and for forest health management, including treatments of pests, pathogens, and invasive or noxious plants and for restoring and rehabilitating forests damaged by pests or invasive plants, cooperative forestry, and education and land conservation activities and conducting an international program as authorized, \$239,954,000, to remain available until expended, as authorized by law; of which \$60,000,000 is to be derived from the Land and Water Conservation Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 12–1105–0–1–302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 State and private forestry .....                              | 292         | 292     | 280       |
| 0002 Forest Legacy .....   | 57          | 57      | 60        |
| 0799 Total direct obligations .....                                | 349         | 349     | 340       |
| 0801 Reimbursable program .....                                    | 56          | 60      | 55        |
| 0900 Total new obligations .....                                   | 405         | 409     | 395       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 90          | 104     | 101       |
| 1021 Recoveries of prior year unpaid obligations .....             | 7           | 7       | 7         |
| 1050 Unobligated balance (total) .....                             | 97          | 104     | 101       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 200         | 201     | 180       |
| 1101 Appropriation (Legacy) .....                                  | 53          | 54      | 60        |
| 1120 Appropriations transferred to other accts [12–1115] .....     | –5          | –5      | –5        |
| 1121 Appropriations transferred from other accts [12–1115] .....   | 86          | 86      | 86        |
| 1121 Appropriations transferred from other accts [12–1115] .....   | 5           | 5       | 5         |
| 1160 Appropriation, discretionary (total) .....                    | 334         | 346     | 240       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 60          | 60      | 60        |
| 1701 Change in uncollected payments, Federal sources .....         | 18          | 18      | 18        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 78          | 60      | 60        |
| 1900 Budget authority (total) .....                                | 412         | 406     | 300       |
| 1930 Total budgetary resources available .....                     | 509         | 510     | 401       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 104         | 101     | 6         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 609         | 612     | 627       |

STATE AND PRIVATE FORESTRY—Continued  
Program and Financing—Continued

| Identification code 12–1105–0–1–302       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3010                                      | Obligations incurred, unexpired accounts .....               | 405         | 409     | 395       |
| 3020                                      | Outlays (gross) .....  | –395        | –394    | –362      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | –7          | .....   | .....     |
| 3050                                      | Unpaid obligations, end of year .....                        | 612         | 627     | 660       |
|   | Uncollected payments:  |             |         |           |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –53         | –71     | –71       |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....    | –18         | .....   | .....     |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....            | –71         | –71     | –71       |
|   | Memorandum (non-add) entries:                                |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 556         | 541     | 556       |
| 3200                                      | Obligated balance, end of year .....                         | 541         | 556     | 589       |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
|   | Discretionary:   |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 412         | 406     | 300       |
|   | Outlays, gross:  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               | 129         | 158     | 120       |
| 4011                                      | Outlays from discretionary balances .....                    | 266         | 236     | 242       |
| 4020                                      | Outlays, gross (total) .....                                 | 395         | 394     | 362       |
|   | Offsets against gross budget authority and outlays:          |             |         |           |
|   | Offsetting collections (collected) from:                     |             |         |           |
| 4030                                      | Federal sources .....  | –60         | –60     | –60       |
|   | Additional offsets against gross budget authority only:      |             |         |           |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....    | –18         | .....   | .....     |
| 4070                                      | Budget authority, net (discretionary) .....                  | 334         | 346     | 240       |
| 4080                                      | Outlays, net (discretionary) .....                           | 335         | 334     | 302       |
| 4180                                      | Budget authority, net (total) .....                          | 334         | 346     | 240       |
| 4190                                      | Outlays, net (total) .....                                   | 335         | 334     | 302       |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 334         | 346     | 240       |
| Outlays .....                           | 335         | 334     | 302       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  | .....       | .....   | 25        |
| Outlays .....                           | .....       | .....   | 6         |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 334         | 346     | 265       |
| Outlays .....                           | 335         | 334     | 308       |

The 2014 Budget requests \$239,954,000 for State and Private Forestry (S&PF), a decrease of \$14,520,000 below the 2013 annualized CR level. Funds provide technical and financial assistance to landowners and resource managers. S&PF programs help sustain the Nation's urban and rural forests and protect communities and the environment from wildland fires, insects, disease, and invasive plants. S&PF provides assistance to landowners and resource managers to help sustain forests on State and private lands, in both rural and urban areas, to meet domestic and international demands for goods and services. S&PF programs also help facilitate sound stewardship and provide tools to address forest health threats on lands of all ownerships on a landscape scale, while maintaining the flexibility for individual forest landowners to pursue their objectives. The International Forestry program is included as part of the S&PF appropriation. To improve the transparency of funding for forest health and fire assistance, the budget proposes to shift funds for State and Volunteer Fire Assistance from S&PF to Wildland Fire Management (WFM) and Federal and Cooperative Forest Health Management from WFM to S&PF. This allows for the full funding amount to be reflected in a single account.

**Landscape Scale Restoration.**—The Budget establishes the Landscape Scale Restoration program and formalizes the S&PF Redesign process. It includes funding for competitive projects focused on issues and landscapes of national importance and on

activities that promise meaningful outcomes on the ground. Evidence of these improved outcomes will be identified through improved data collection on project accomplishments by leveraging existing investments in streamlined spatial reporting tools. Building upon the successes of the State and Private Forestry Redesign process, Landscape Scale Restoration focuses and prioritizes resources to better shape and influence forest land use on a scale, and in a way, that optimizes public benefits from trees and forests. The funds continue to improve our ability to identify the greatest threats to forest sustainability and accomplish meaningful change in high-priority areas. As a competitive grant program, it provides flexible opportunities to fund innovative projects across program boundaries and across landscape jurisdictions to address priorities and needs consistent with the State Forest Action Plans. Projects will focus on restoring healthy and resilient forests and communities in priority areas that States have identified. These high-priority needs are essential to addressing today's critical restoration issues on Federal, State and private lands. This program will continue to help ensure the ability of the Nation's forests to sustain and enrich the well-being of all citizens and communities.

**Forest Health Management.**—Includes funding for Federal and cooperative lands to maintain healthy, productive ecosystems by preventing, detecting, and suppressing damaging native and invasive forest and tree insects and diseases across all land ownerships and invasive plants on cooperative lands. Through the continued use of a science-based forest health risk map, the Budget reflects allocations of program funding that address national priorities and reduce risk in the most effective and efficient manner. The agency will document changes in insect, disease, and invasive plant geographic range, population dynamics of host preferences of pests, and other changes in pest activity and will explore gene conservation efforts to conserve at-risk tree species projected to be negatively impacted by climate change.

**Cooperative Forestry.**—Includes funding for the Forest Stewardship, Forest Legacy, Community Forest and Open Space Conservation, and Urban and Community Forestry programs. This suite of complementary programs helps maintain the integrity of our nation's valuable forested landscapes and supports the Federal interest in obtaining public benefits from private forests that include an array of social, economic, and environmental benefits. The Cooperative Forestry programs will continue to provide assistance to landowners, conserve private lands, and support the priorities identified in State Forest Action Plans. The Forest Service will track how cooperative funds are targeted to priority areas and themes identified in State Forest Action Plans.

**Forest Stewardship Program.**—Provides professional forestry assistance to landowners to encourage sound environmental management of non-industrial private forest lands.

**Forest Legacy Program.**—Partners with States to protect environmentally sensitive forestlands. Consistent with the President's commitment to the America's Great Outdoors Initiative, the Budget includes funding for the Forest Legacy Program through the Land and Water Conservation Fund to support the acquisition of conservation easements and other interests in forest lands threatened by conversion. These conservation easements will protect air and water quality, provide access for recreation, and provide habitat for threatened or endangered wildlife and fish.

**Community Forest and Open Space Conservation.**—Achieves community benefits through grants to local and Tribal governments and qualified nonprofit organizations to establish community forests by acquiring and protecting forestlands.

**Urban and Community Forestry.**—Provides technical, financial and educational assistance to cities and towns nationwide so they can improve the condition and extent of their trees and forests to achieve the full range of benefits and services from these resources. This program improves the lives of most Americans near where they live and work.

**International Forestry.**—Provides funding for high priority investments in natural resource conservation issues, including invasive species, biodiversity conservation, migratory species, and advancing U.S. policy interests.

**Object Classification** (in millions of dollars)

| Identification code 12–1105–0–1–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 53          | 53      | 44        |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 57          | 57      | 48        |
| 12.1 Civilian personnel benefits .....                          | 17          | 17      | 14        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 3         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 15          | 15      | 15        |
| 25.3 Other goods and services from Federal sources .....        | 8           | 8       | 8         |
| 25.5 Research and development contracts .....                   | 2           | 2       | 2         |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 236         | 236     | 239       |
| 99.0 Direct obligations .....                                   | 349         | 349     | 340       |
| 99.0 Reimbursable obligations .....                             | 54          | 60      | 55        |
| 99.5 Below reporting threshold .....                            | 2           |         |           |
| 99.9 Total new obligations .....                                | 405         | 409     | 395       |

**Employment Summary**

| Identification code 12–1105–0–1–302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 728         | 728     | 601       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 36          | 36      | 36        |

**STATE AND PRIVATE FORESTRY**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 12–1105–4–1–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1221 Appropriations transferred from other accts [14–5005] .... |             |         | 25        |
| 1260 Appropriations, mandatory (total) .....                    |             |         | 25        |
| 1930 Total budgetary resources available .....                  |             |         | 25        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           |             |         | 25        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3020 Outlays (gross) .....                                      |             |         | –6        |
| 3050 Unpaid obligations, end of year .....                      |             |         | –6        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3200 Obligated balance, end of year .....                       |             |         | –6        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              |             |         | 25        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 |             |         | 6         |
| 4180 Budget authority, net (total) .....                        |             |         | 25        |

|                                 |   |
|---------------------------------|---|
| 4190 Outlays, net (total) ..... | 6 |
|---------------------------------|---|

**MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE USES**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 12–1119–0–1–302                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Management of national forest lands for subsistence uses ..... | 3           | 3       |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 3           | 3       |           |
| 1160 Appropriation, discretionary (total) .....                     | 3           | 3       |           |
| 1930 Total budgetary resources available .....                      | 3           | 3       |           |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 1           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....                 | 3           | 3       |           |
| 3020 Outlays (gross) .....  | –2          | –3      | –1        |
| 3050 Unpaid obligations, end of year .....                          | 2           | 2       | 1         |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 1           | 2       | 2         |
| 3200 Obligated balance, end of year .....                           | 2           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 3           | 3       |           |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 2           | 2       |           |
| 4011 Outlays from discretionary balances .....                      |             | 1       | 1         |
| 4020 Outlays, gross (total) .....                                   | 2           | 3       | 1         |
| 4180 Budget authority, net (total) .....                            | 3           | 3       |           |
| 4190 Outlays, net (total) .....                                     | 2           | 3       | 1         |

The 2014 Presidents Budget does not propose a separate funding level for Subsistence Management. This is a decrease of \$2,589,000 from the 2013 Annualized CR. The Forest Service will continue to meet its responsibilities under the 1980 Alaska National Interest Lands Conservation Act (ANILCA). The most critical subsistence management related components will be achieved through other NFS funds, such as Integrated Resource Restoration, Recreation Management, and Law Enforcement Operation funds that complement the objectives of the subsistence program.

**Object Classification** (in millions of dollars)

| Identification code 12–1119–0–1–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       |           |
| 25.2 Other services from non-Federal sources .....     | 1           | 2       |           |
| 99.0 Direct obligations .....                          | 2           | 3       |           |
| 99.5 Below reporting threshold .....                   | 1           |         |           |
| 99.9 Total new obligations .....                       | 3           | 3       |           |

MANAGEMENT OF NATIONAL FOREST LANDS FOR SUBSISTENCE  
USES—Continued  
Employment Summary

| Identification code 12–1119–0–1–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 15          | 15      | .....     |

WILDLAND FIRE MANAGEMENT

(INCLUDING TRANSFERS OF FUNDS)

For necessary expenses for forest fire presuppression activities on National Forest System lands, for emergency fire suppression on or adjacent to such lands or other lands under fire protection agreement, hazardous fuels reduction on or adjacent to such lands, for state and volunteer fire assistance, and for emergency rehabilitation of burned-over National Forest System lands and water, \$2,046,669,000, to remain available until expended: Provided, That such funds including unobligated balances under this heading, are available for repayment of advances from other appropriations accounts previously transferred for such purposes: Provided further, That such funds shall be available to reimburse State and other cooperating entities for services provided in response to wildfire and other emergencies or disasters to the extent such reimbursements by the Forest Service for non-fire emergencies are fully repaid by the responsible emergency management agency: Provided further, That, notwithstanding any other provision of law, \$6,914,000 of funds appropriated under this appropriation shall be available for the Forest Service in support of fire science research authorized by the Joint Fire Science Program, including all Forest Service authorities for the use of funds, such as contracts, grants, research, joint venture agreements, and cooperative agreements: Provided further, That all authorities for the use of funds, including the use of contracts, grants, and cooperative agreements, available to execute the Forest and Rangeland Research appropriation, are also available in the utilization of these funds for Fire Science Research: Provided further, That funds provided shall be available for emergency rehabilitation and restoration, hazardous fuels reduction activities, support to Federal emergency response, and wildfire suppression activities of the Forest Service: Provided further, That of the funds provided, \$201,228,000 is for hazardous fuels reduction activities, and \$19,795,000 is for research activities and to make competitive research grants pursuant to the Forest and Rangeland Renewable Resources Research Act, as amended (16 U.S.C. 1641 et seq.), \$69,459,000 is for State fire assistance, and \$11,205,000 is for volunteer fire assistance under section 10 of the Cooperative Forestry Assistance Act of 1978 (16 U.S.C. 2106): Provided further, That amounts in this paragraph may be transferred to the "Forest and Rangeland Research" account to fund forest and rangeland research and the Joint Fire Science Program: Provided further, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: Provided further, That funds provided herein may be used by the Secretary of Agriculture to enter into procurement contracts or cooperative agreements or to issue grants for hazardous fuels reduction and for training or monitoring associated with such hazardous fuels reduction activities on Federal land or on non-Federal land if the Secretary determines such activities benefit resources on Federal land: Provided further, That funds made available to implement the Community Forest Restoration Act, Public Law 106–393, title VI, shall be available for use on non-Federal lands in accordance with authorities made available to the Forest Service under the "State and Private Forestry" appropriation: Provided further, That the Secretary of the Interior and the Secretary of Agriculture may authorize the transfer of funds appropriated for wildland fire management, in an aggregate amount not to exceed \$50,000,000, between the Departments when such transfers would facilitate and expedite wildland fire management programs and projects: Provided further, That, of the funds provided for hazardous fuels reduction, not to exceed \$10,500,000 may be used to make grants, using any authorities available to the Forest Service under the "State and Private Forestry" appropriation, for the purpose of creating incentives for increased use of biomass from National Forest System lands: Provided further, That Section 5 of the Act of May 27, 1955

(42 U.S.C. 1856d), is amended in subsection (b) by inserting "or Department of Agriculture" after "Department of Defense".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 12–1115–0–1–302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Wildland fire management .....                                | 2,669       | 2,500   | 2,320     |
| 0801 Reimbursable program .....                                    | 124         | 175     | 175       |
| 0900 Total new obligations .....                                   | 2,793       | 2,675   | 2,495     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 374         | 177     | 10        |
| 1011 Unobligated balance transfer from other accts [12–9921] ..... | 175         | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 99          | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 648         | 177     | 10        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1,738       | 1,971   | 2,047     |
| 1100 Appropriation - Fire Repayment PL 112–175, Sec. 141b .....    | .....       | 400     | .....     |
| 1120 Appropriations transferred to other accts [14–1125] .....     | –4          | .....   | .....     |
| 1120 Appropriations transferred to other accts [12–1104] .....     | –26         | –29     | –27       |
| 1120 Appropriations transferred to other accts [12–1105] .....     | –86         | –86     | .....     |
| 1120 Appropriations transferred to other accts [12–1106] .....     | –20         | .....   | .....     |
| 1120 Appropriations transferred to other accts [12–9923] .....     | .....       | –20     | .....     |
| 1120 Appropriations transferred to other accts [12–9921] .....     | .....       | –135    | .....     |
| 1120 Appropriations transferred to other accts [12–1103] .....     | .....       | –30     | .....     |
| 1120 Appropriations transferred to other accts [12–1105] .....     | .....       | –5      | .....     |
| 1120 Appropriations transferred to other accts [12–1106] .....     | .....       | –50     | .....     |
| 1121 Appropriations transferred from other accts [12–1106] .....   | 50          | .....   | .....     |
| 1121 Appropriations transferred from other accts [12–1120] .....   | 315         | 317     | 315       |
| 1121 Appropriations transferred from other accts [12–1105] .....   | 5           | .....   | .....     |
| 1121 Appropriations transferred from other accts [12–1103] .....   | 30          | .....   | .....     |
| 1121 Appropriations transferred from other accts [12–9923] .....   | 20          | .....   | .....     |
| 1121 Appropriations transferred from other accts [14–1125] .....   | 3           | .....   | .....     |
| 1130 Appropriations permanently reduced .....                      | –3          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 2,022       | 2,333   | 2,335     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 267         | 175     | 175       |
| 1701 Change in uncollected payments, Federal sources .....         | 33          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 300         | 175     | 175       |
| 1900 Budget authority (total) .....                                | 2,322       | 2,508   | 2,510     |
| 1930 Total budgetary resources available .....                     | 2,970       | 2,685   | 2,520     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpended unobligated balance, end of year .....             | 177         | 10      | 25        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 984         | 1,018   | 746       |
| 3010 Obligations incurred, unexpired accounts .....                | 2,793       | 2,675   | 2,495     |
| 3020 Outlays (gross) .....   | –2,659      | –2,947  | –2,680    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –99         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 1,018       | 746     | 561       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –229        | –262    | –262      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –33         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –262        | –262    | –262      |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 755         | 756     | 484       |
| 3200 Obligated balance, end of year .....                          | 756         | 484     | 299       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 2,322       | 2,508   | 2,510     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 2,082       | 2,132   | 2,134     |
| 4011 Outlays from discretionary balances .....                     | 577         | 815     | 546       |
| 4020 Outlays, gross (total) .....                                  | 2,659       | 2,947   | 2,680     |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –167        | –109    | –109      |



|      |   |       |       |       |
|------|---|-------|-------|-------|
| 4033 | Non-Federal sources .....                                       | -100  | -66   | -66   |
| 4040 | Offsets against gross budget authority and outlays (total) .... | -267  | -175  | -175  |
|      | Additional offsets against gross budget authority only:         |       |       |       |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | -33   |       |       |
| 4070 | Budget authority, net (discretionary) .....                     | 2,022 | 2,333 | 2,335 |
| 4080 | Outlays, net (discretionary) .....                              | 2,392 | 2,772 | 2,505 |
| 4180 | Budget authority, net (total) .....                             | 2,022 | 2,333 | 2,335 |
| 4190 | Outlays, net (total) .....                                      | 2,392 | 2,772 | 2,505 |

The 2014 Budget requests \$2,046,669,000 for Wildland Fire Management (WFM), an increase of \$75,279,000 above the 2013 annualized CR level to fund Forest Service fire preparedness, fire suppression, hazardous fuels treatments, joint fire sciences, research and development, and cooperative fire programs on National Forest System (NFS) lands, adjacent State and private lands, and other lands under fire protection agreement.

**Preparedness.**—To protect NFS lands from damage by wildfires commensurate with the threat to life, values at risk, public values, and management objectives.

Preparedness provides the basic fire organization and capability to prevent forest fires and to take prompt, effective initial attack suppression operations action on wildfires. This funding covers expenses associated with planning, prevention, detection, information, and education; pre-incident training; equipment and supply purchase and replacement; aviation services; and other preparedness activities.

Through this program the Forest Service also assists other Federal agencies and States with planning assistance, sharing joint equipment use contracts, and interagency fire coordination centers. Readiness levels reflect improvements in efficiencies and management controls, including those gained through a centralized aviation services office, as well as management of national shared resources such as: large airtankers, helitankers, hotshot crews, and smokejumpers.

**Suppression.**—Risk-informed suppression of wildland fires on or threatening NFS lands, Department of the Interior lands, or other lands under fire protection agreements. The Budget request responsibly budgets for wildfires, providing funding at the 10-year average of suppression costs, adjusted for inflation, and includes indirect costs as required by Congress. Suppression, FLAME, and preparedness costs have been realigned to inform accurate costs of initial attack, large complex fires, and readiness. To improve the management of wildland fire resources, the Forest Service will:

- Utilize the Senior Fire Leadership Council to provide executive level oversight and leadership of Wildland Fire Suppression practices.

- Implement key findings from large fire cost reviews.

- Continue implementation of performance improvements including monitoring and analyzing large fire suppression expenditures with the stratified cost index and reducing the number of human-caused ignitions.

- Utilize a risk-informed management response strategy for unplanned ignitions to manage risk, maximize resource utilization, manage loss, and contain costs.

- Implement Phase 1 and Phase 2, and initiate development of Phase 3 of the Cohesive Strategy for Wildland Fire Management.

- Accurately share firefighting costs in the Wildland Urban Interface (WUI) between responding agencies.

- Continue to use the Wildland Fire Decision Support System (WFDSS) to support managers in analyzing risk relative to strategic suppression decisions which affect suppression costs, firefighter and public safety, and impacts to property and resources.

- Utilize an integrated system to procure and allocate fire-fighting assets that improves the systems for determining the appropriate type and quantity of firefighting assets needed for the fire season or for effectively and efficiently procuring them.

- Place priority on those projects associated with a community wildfire protection plan, or equivalent.

These actions highlight important refinements within the Forest Service wildland fire management program that strengthen oversight and accountability of suppression spending and use risk management principles to guide decision-making at the strategic, program, and operational levels. As part of these efforts, the Forest Service will categorize incidents by risk and apply operational and managerial protocols to guide the risk-informed allocation and use of resources; improve firefighter and community safety; and develop and use credible performance indicators. By identifying and analyzing risk in a systematic fashion, the Forest Service is better equipped to assess wildfire intensity and associated threats posed to lives, improved property, or the environment. This risk-informed fire protection system allows agency administrators the ability to choose from a full spectrum of wildfire management actions and appropriately scale their responses to the risks posed.

**Fire Operations—Other.**—The Other Fire Operations programs include Hazardous Fuels, National Fire Plan Research and Development, Joint Fire Sciences, State Fire Assistance, and Volunteer Fire Assistance. Funding will focus on treating hazardous fuels in the WUI and highest priority areas, research and technology transfer activities, and providing vital support to assist local communities and State foresters develop firefighting capacity to provide critical preparedness and response actions for communities at risk. State and Volunteer Fire Assistance programs includes funding to enhance the capacity of States to provide effective initial attack and coordinated fire suppression response, reduce hazardous fuels in and adjacent to communities, and purchase and maintain equipment. Funding also supports training, planning, and fire prevention and education programs. The request for Hazardous Fuels provides funding for treatment of hazardous fuels within WUI and highest priority areas of NFS lands and adjacent State and private lands. Treatments for purposes other than community protection (non-Wildland Urban Interface) will be funded through the NFS appropriation.

Hazardous fuel reduction modifies the arrangement of or reduces the volume of naturally occurring flammable vegetation and forest litter. The program includes prescribed burning, mechanical treatments, and other methods. Treatments are designed to alter fire behavior and reduce negative impacts of wildland fires such as erosion or soils that, due to high fire temperatures, shed water rather than absorbing it. Managing forest fuels and increasing the use of fire is necessary to maintain the carbon sequestration capability of NFS lands.

The strategy of focusing treatments on the WUI and highest priority areas will help minimize large, destructive, and costly wildfires, thereby protecting both communities and natural resources, and reducing safety risks to firefighters and the public. In 2014, the Forest Service will continue to use the Hazardous Fuels Prioritization and Allocation (HFPAS) System to allocate funds to the field. Additional modifications to HFPAS will be made as better data and information becomes available. Funding will be prioritized for communities that have identified acres to be treated in Community Wildfire Protection Plans and made an investment in implementing local solutions to protection against wildland fire.

To improve the transparency of funding for forest health and fire assistance, the budget proposes to shift funds for State and

## WILDLAND FIRE MANAGEMENT—Continued

Volunteer Fire Assistance from State and Private Forestry to WFM and Federal and Cooperative Forest Health Management from WFM to State and Private Forestry. This allows for the full funding amount to be reflected in a single account.

## Object Classification (in millions of dollars)

| Identification code 12-1115-0-1-302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 475         | 475     | 455       |
| 11.3 Other than full-time permanent .....                       | 63          | 63      | 63        |
| 11.5 Other personnel compensation .....                         | 283         | 283     | 283       |
| 11.8 Special personal services payments .....                   | 51          | 51      | 51        |
| 11.9 Total personnel compensation .....                         | 872         | 872     | 852       |
| 12.1 Civilian personnel benefits .....                          | 270         | 270     | 258       |
| 13.0 Benefits for former personnel .....                        | 36          | 35      | 35        |
| 21.0 Travel and transportation of persons .....                 | 50          | 50      | 44        |
| 22.0 Transportation of things .....                             | 7           | 7       | 7         |
| 23.1 Rental payments to GSA .....                               | 13          | 13      | 13        |
| 23.2 Rental payments to others .....                            | 29          | 29      | 29        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 39          | 39      | 39        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 793         | 675     | 595       |
| 25.3 Other goods and services from Federal sources .....        | 214         | 191     | 138       |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 4           | 4       | 4         |
| 26.0 Supplies and materials .....                               | 84          | 82      | 71        |
| 31.0 Equipment .....  | 19          | 19      | 19        |
| 32.0 Land and structures .....                                  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 234         | 210     | 212       |
| 42.0 Insurance claims and indemnities .....                     | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 2,668       | 2,500   | 2,320     |
| 99.0 Reimbursable obligations .....                             | 125         | 175     | 175       |
| 99.9 Total new obligations .....                                | 2,793       | 2,675   | 2,495     |

## Employment Summary

| Identification code 12-1115-0-1-302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 11,794      | 11,794  | 11,291    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 39          | 39      | 39        |

## FLAME WILDFIRE SUPPRESSION RESERVE FUND

## (INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses for large fire suppression operations of the Department of Agriculture and as a reserve fund for suppression and Federal emergency response activities, \$315,000,000, to remain available until expended: Provided, That such amounts are available only for transfer to the "Wildland Fire Management" account and only following a declaration by the Secretary that either (1) a wildland fire suppression event meets certain previously established risk-based written criteria for significant complexity, severity, or threat posed by the fire or (2) funds in the "Wildland Fire Management" account will be exhausted within 30 days.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 12-1120-0-1-302                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budgetary Resources:   |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       | 316         | 317     | 315       |
| 1120 Appropriations transferred to other accts [12-1115] ..... | -315        | -317    | -315      |
| 1130 Appropriations permanently reduced .....                  | -1          |         |           |

The 2014 Budget requests \$315,000,000 for the FLAME Wildfire Suppression Reserve Fund, a decrease of \$2,311,000 below the

2013 annualized CR level. The FLAME Wildfire Suppression Reserve Fund and the Suppression appropriation fully fund the 10-year average cost of fire suppression obligations, adjusted for inflation. The Secretary may permit transfers from this account to cover severe complex fire events. The Secretary may also transfer funds in the event that USDA has exhausted its suppression resources due to an active fire season.

## RANGE BETTERMENT FUND

*For necessary expenses of range rehabilitation, protection, and improvement, 50 percent of all moneys received during the prior fiscal year, as fees for grazing domestic livestock on lands in National Forests in the 16 Western States, pursuant to section 401(b)(1) of Public Law 94-579, as amended, to remain available until expended, of which not to exceed 6 percent shall be available for administrative expenses associated with on-the-ground range rehabilitation, protection, and improvements.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12-5207-0-2-302                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                   | 3           | 3       | 2         |
| Receipts:   |             |         |           |
| 0220 Receipts, Cooperative Range Improvements ..... | 3           | 2       | 3         |
| 0400 Total: Balances and collections .....          | 6           | 5       | 5         |
| Appropriations:                                     |             |         |           |
| 0500 Range Betterment Fund .....                    | -3          | -3      | -2        |
| 0799 Balance, end of year .....                     | 3           | 2       | 3         |

## Program and Financing (in millions of dollars)

| Identification code 12-5207-0-2-302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Range betterment fund .....                      | 2           | 3       | 3         |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 3           | 3       | 2         |
| 1160 Appropriation, discretionary (total) .....       | 3           | 3       | 2         |
| 1930 Total budgetary resources available .....        | 3           | 4       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       |           |
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 2           | 3       | 3         |
| 3020 Outlays (gross) .....                            | -3          | -3      | -3        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           |         |           |
| Budget authority and outlays, net:                    |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 3           | 3       | 2         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 2           | 2       | 2         |
| 4011 Outlays from discretionary balances .....        | 1           | 1       | 1         |
| 4020 Outlays, gross (total) .....                     | 3           | 3       | 3         |
| 4180 Budget authority, net (total) .....              | 3           | 3       | 2         |
| 4190 Outlays, net (total) .....                       | 3           | 3       | 3         |

The 2014 Budget requests \$1,922,000 for the Range Betterment Fund, a decrease of \$1,355,000 below the 2013 annualized CR level. This program emphasizes essential structural and non-structural improvements prescribed in grazing allotment National

Environmental Policy Act decision documents. Treatment of invasive plant species related to permitted livestock use continues to be a priority for non-structural rangeland improvement work. Fifty percent of grazing fees from National Forests in the 16 western States, once appropriated, are used to protect and improve rangeland productivity primarily through revegetation, construction and reconstruction, and maintenance of improvements under authority of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1751), as amended.

**Object Classification** (in millions of dollars)

| Identification code 12–5207–0–2–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                      | 1           | 2       | 2         |
| 99.9 Total new obligations .....                       | 2           | 3       | 3         |

**Employment Summary**

| Identification code 12–5207–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 8           | 8       | 7         |

**STEWARDSHIP CONTRACTING PRODUCT SALES****Program and Financing** (in millions of dollars)

| Identification code 12–5540–0–2–302                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Stewardship contracting .....                                | 7           | 12      | 2         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 8           | 12      | 10        |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 9           | 12      | 10        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 10          | 10      |           |
| 1260 Appropriations, mandatory (total) .....                      | 10          | 10      |           |
| 1930 Total budgetary resources available .....                    | 19          | 22      | 10        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 12          | 10      | 8         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 9           | 7       | 8         |
| 3010 Obligations incurred, unexpired accounts .....               | 7           | 12      | 2         |
| 3020 Outlays (gross) .....  | –8          | –11     | –5        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 7           | 8       | 5         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 9           | 7       | 8         |
| 3200 Obligated balance, end of year .....                         | 7           | 8       | 5         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 10          | 10      |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   |             | 5       |           |
| 4101 Outlays from mandatory balances .....                        | 8           | 6       | 5         |
| 4110 Outlays, gross (total) .....                                 | 8           | 11      | 5         |
| 4180 Budget authority, net (total) .....                          | 10          | 10      |           |
| 4190 Outlays, net (total) .....                                   | 8           | 11      | 5         |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|                        | 2012 actual | 2013 CR | 2014 est. |
|------------------------|-------------|---------|-----------|
| Enacted/requested:     |             |         |           |
| Budget Authority ..... | 10          | 10      |           |

|   |    |    |    |
|---|----|----|----|
| Outlays .....                           | 8  | 11 | 5  |
| Legislative proposal, subject to PAYGO: |    |    |    |
| Budget Authority .....                  |    |    | 10 |
| Outlays .....                           |    |    | 5  |
| Total:                                  |    |    |    |
| Budget Authority .....                  | 10 | 10 | 10 |
| Outlays .....                           | 8  | 11 | 10 |

**Stewardship Contracting.**—The Forest Service may enter into stewardship projects via agreement or contract to perform services to achieve land management goals and meet local and rural community needs. Stewardship contracting enables the Forest Service to apply the value of timber or other forest products from stewardship sales as an offset against the costs to accomplish land and resource management objectives. If the offset value exceeds the value of the resource improvement treatments, those receipts are retained and deposited in the Stewardship Contracting Fund and are available until expended for other authorized stewardship projects (16 U.S.C. 2104 note). The Budget proposes permanent reauthorization of stewardship contracting. The current authority expires at the end of 2013.

**Object Classification** (in millions of dollars)

| Identification code 12–5540–0–2–302                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 6           | 11      | 2         |
| 41.0 Grants, subsidies, and contributions .....    | 1           | 1       |           |
| 99.9 Total new obligations .....                   | 7           | 12      | 2         |

**STEWARDSHIP CONTRACTING PRODUCT SALES****(Legislative proposal, subject to PAYGO)****Program and Financing** (in millions of dollars)

| Identification code 12–5540–4–2–302                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Stewardship contracting .....                  |             |         | 10        |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1201 Appropriation (special or trust fund) .....    |             |         | 10        |
| 1260 Appropriations, mandatory (total) .....        |             |         | 10        |
| 1930 Total budgetary resources available .....      |             |         | 10        |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 10        |
| 3020 Outlays (gross) .....                          |             |         | –5        |
| 3050 Unpaid obligations, end of year .....          |             |         | 5         |
| Memorandum (non-add) entries:                       |             |         |           |
| 3200 Obligated balance, end of year .....           |             |         | 5         |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  |             |         | 10        |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     |             |         | 5         |
| 4180 Budget authority, net (total) .....            |             |         | 10        |
| 4190 Outlays, net (total) .....                     |             |         | 5         |

**Object Classification** (in millions of dollars)

| Identification code 12–5540–4–2–302                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... |             |         | 9         |
| 41.0 Grants, subsidies, and contributions .....    |             |         | 1         |
| 99.9 Total new obligations .....                   |             |         | 10        |

## LAND ACQUISITION

For expenses necessary to carry out the provisions of the Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 4601-4 through 11), including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the Forest Service, \$57,984,000, to be derived from the Land and Water Conservation Fund and to remain available until expended.

## ACQUISITION OF LANDS FOR NATIONAL FORESTS SPECIAL ACTS

For acquisition of lands within the exterior boundaries of the Cache, Uinta, and Wasatch National Forests, Utah; the Toiyabe National Forest, Nevada; and the Angeles, San Bernardino, Sequoia, and Cleveland National Forests, California, as authorized by law, \$912,000, to be derived from forest receipts.

## ACQUISITION OF LANDS TO COMPLETE LAND EXCHANGES

For acquisition of lands, such sums, to be derived from funds deposited by State, county, or municipal governments, public school districts, or other public school authorities, and for authorized expenditures from funds deposited by non-Federal parties pursuant to Land Sale and Exchange Acts, pursuant to the Act of December 4, 1967, as amended (16 U.S.C. 484a), to remain available until expended (16 U.S.C. 4601-516-617a, 555a; Public Law 96-586; Public Law 76-589, 76-591; and Public Law 78-310).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12-9923-0-2-302   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 2           | 6       | 7         |
| Adjustments:  |             |         |           |
| 0190 Adjustment - small receipt balances were missing .....   | 4           |         |           |
| 0199 Balance, start of year .....   | 6           | 6       | 7         |
| Receipts:   |             |         |           |
| 0220 Deposits, Acquisitions of Lands for National Forests, Special Acts .....                             | 1           | 1       | 1         |
| 0221 Land Acquisition Proceeds for Exchanges, Acquisition of Lands to Complete Land Exchanges .....       | 5           | 45      | 22        |
| 0222 Facility Realignment and Enhancement Receipts, Acquisition of Lands to Complete Land Exchanges ..... |             | 1       | 1         |
| 0299 Total receipts and collections .....   | 6           | 47      | 24        |
| 0400 Total: Balances and collections .....  | 12          | 53      | 31        |
| Appropriations:   |             |         |           |
| 0500 Land Acquisition .....   | -1          | -1      | -1        |
| 0501 Land Acquisition .....   | -5          | -45     | -22       |
| 0599 Total appropriations .....   | -6          | -46     | -23       |
| 0799 Balance, end of year .....   | 6           | 7       | 8         |

## Program and Financing (in millions of dollars)

| Identification code 12-9923-0-2-302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Land Acquisition (12X5004 LALW) Discretionary .....           | 45          | 64      | 64        |
| 0002 Land Facilities Enhancement (12X5216 EXSC/SL) Mandatory ..... | 4           | 28      | 30        |
| 0003 Land Acquisition - Special Acts (12Y5208) Discretionary ..... | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 50          | 93      | 95        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 48          | 38      | 64        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 17          | 27      |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           |         |           |
| 1050 Unobligated balance (total) .....                             | 49          | 38      | 64        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1101 Appropriation: Land Acquisition (12X5004) .....               | 53          | 53      | 58        |
| 1101 Appropriation: Special Acts (12Y5208) .....                   | 1           | 1       | 1         |
| 1120 Appropriations transferred to other accts [12-1115] .....     | -20         |         |           |

|   |     |     |     |
|---|-----|-----|-----|
| 1121 Appropriations transferred from other accts [12-1115] .....  | 20  |     |     |
| 1160 Appropriation, discretionary (total) .....                   | 34  | 74  | 59  |
| Appropriations, mandatory:  |     |     |     |
| 1201 Appropriation (12X5216 EXSC EXSL) .....                      | 5   | 45  | 22  |
| 1260 Appropriations, mandatory (total) .....                      | 5   | 45  | 22  |
| 1900 Budget authority (total) .....                               | 39  | 119 | 81  |
| 1930 Total budgetary resources available .....                    | 88  | 157 | 145 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....             | 38  | 64  | 50  |
| <b>Change in obligated balance:</b>                               |     |     |     |
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 17  | 12  | 23  |
| 3010 Obligations incurred, unexpired accounts .....               | 50  | 93  | 95  |
| 3020 Outlays (gross) .....  | -54 | -82 | -85 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 12  | 23  | 33  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 17  | 12  | 23  |
| 3200 Obligated balance, end of year .....                         | 12  | 23  | 33  |
| <b>Budget authority and outlays, net:</b>                         |     |     |     |
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                                | 34  | 74  | 59  |
| Outlays, gross:   |     |     |     |
| 4010 Outlays from new discretionary authority .....               | 39  | 56  | 44  |
| 4011 Outlays from discretionary balances .....                    | 10  | 14  | 25  |
| 4020 Outlays, gross (total) .....                                 | 49  | 70  | 69  |
| Mandatory:  |     |     |     |
| 4090 Budget authority, gross .....                                | 5   | 45  | 22  |
| Outlays, gross:   |     |     |     |
| 4100 Outlays from new mandatory authority .....                   |     | 9   | 4   |
| 4101 Outlays from mandatory balances .....                        | 5   | 3   | 12  |
| 4110 Outlays, gross (total) .....                                 | 5   | 12  | 16  |
| 4180 Budget authority, net (total) .....                          | 39  | 119 | 81  |
| 4190 Outlays, net (total) .....                                   | 54  | 82  | 85  |

## Summary of Budget Authority and Outlays (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Enacted/requested:</b>                      |             |         |           |
| Budget Authority .....                         | 39          | 119     | 81        |
| Outlays .....                                  | 54          | 82      | 85        |
| <b>Legislative proposal, subject to PAYGO:</b> |             |         |           |
| Budget Authority .....                         |             |         | 34        |
| Outlays .....                                  |             |         | 26        |
| <b>Total:</b>                                  |             |         |           |
| Budget Authority .....                         | 39          | 119     | 115       |
| Outlays .....                                  | 54          | 82      | 111       |

The 2014 Budget requests \$59,113,000 for the Land Acquisition accounts, an increase of \$5,083,000 above the 2013 annualized CR level. This heading consolidates land acquisition authorities for acquisition of lands, waters, or interest therein, as authorized by law. Consistent with the President's commitment to the America's Great Outdoors Initiative, the Budget will provide funding for land acquisition through the Land and Water Conservation Fund to support the acquisition of high-priority forests and grasslands. The 2014 Federal Land Acquisition program builds on efforts started in 2012 and 2013 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals.

**Land Acquisition.**—Lands and other interests are acquired adjacent to areas of the National Forest System for such purposes as outdoor recreation, wilderness management, wildlife habitat conservation, watershed protection and enhancement, resource management, and land consolidation.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes to permanently authorize annual mandatory

funding, without further appropriation or fiscal year limitation for Departments of Agriculture and the Interior Land and Water Conservation Fund programs beginning in fiscal year 2014.

**Acquisition of Lands for National Forests, Special Acts.**—To acquire lands within critical watersheds to provide soil stabilization and restoration of vegetation. Public Laws 76–589, 76–591 and 78–310 (54 Stat. 297, 298, 299, and 402; and 58 Stat. 227–228) authorize appropriations for the purchase of lands to minimize erosion and flood damage to critical watersheds within the following National Forests: the Cache, Uinta, and Wasatch, Utah; the Toiyabe, Nevada; and the Angeles, Cleveland, San Bernardino, and Sequoia, California. Appropriations are made from receipts on these national forests.

**Acquisition of Lands to Complete Land Exchanges.**—Deposits are made by State, county, or municipal governments, public school authorities, or non-Federal parties and are used to acquire lands for National Forest System purposes or for other authorized purposes.

**Land Facilities Enhancement Fund.**—This fund includes the Conveyance of Administrative Sites Program and the Sites Specific Lands Acts Program. These programs enable specific national forests to convey land or facilities and use the proceeds to acquire, construct, or improve land and facilities within the same national forest or State. They also provide for the realignment of the agency's facility portfolio by providing an incentive for local managers to liquidate obsolete or underutilized assets and reinvest in assets that best support the agency's mission (U.S.C. 590d note).

#### Object Classification (in millions of dollars)

| Identification code 12–9923–0–2–302                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 5           | 5       | 5         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 1           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....        | 1           | 2       | 2         |
| 32.0 Land and structures .....                           | 39          | 80      | 82        |
| 99.0 Direct obligations .....                            | 49          | 93      | 95        |
| 99.5 Below reporting threshold .....                     | 1           |         |           |
| 99.9 Total new obligations .....                         | 50          | 93      | 95        |

#### Employment Summary

| Identification code 12–9923–0–2–302                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 71          | 72      | 72        |
| 3001 Allocation account civilian full-time equivalent employment ..... | 131         | 131     | 131       |

#### LAND ACQUISITION

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 12–9923–4–2–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1221 Appropriations transferred from other accts [14–5005] .... |             |         | 34        |
| 1260 Appropriations, mandatory (total) .....                    |             |         | 34        |
| 1900 Budget authority (total) .....                             |             |         | 34        |
| 1930 Total budgetary resources available .....                  |             |         | 34        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           |             |         | 34        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3020 Outlays (gross) .....                                      |             |         | –26       |
| 3050 Unpaid obligations, end of year .....                      |             |         | –26       |

|      |  |  |     |
|------|--|--|-----|
| 3200 | Memorandum (non-add) entries:              |  |     |
|      | Obligated balance, end of year .....       |  | –26 |
|      | <b>Budget authority and outlays, net:</b>  |  |     |
|      | Mandatory:                                 |  |     |
| 4090 | Budget authority, gross .....              |  | 34  |
|      | Outlays, gross:                            |  |     |
| 4100 | Outlays from new mandatory authority ..... |  | 26  |
| 4180 | Budget authority, net (total) .....        |  | 34  |
| 4190 | Outlays, net (total) .....                 |  | 26  |

#### FOREST SERVICE PERMANENT APPROPRIATIONS

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12–9921–0–2–999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 67          | 143     | 189       |
| Receipts:   |             |         |           |
| 0220 National Forests Fund .....  | –2          |         |           |
| 0221 National Forests Fund, Payments to States .....  | 113         | 70      | 70        |
| 0222 National Forests Fund, Payments to States .....  |             |         | 61        |
| 0223 Timber Roads, Purchaser Elections .....  | 4           | 2       | 2         |
| 0224 National Forests Fund, Roads and Trails for States .....   | 19          |         | 18        |
| 0225 Timber Salvage Sales .....   | 25          | 20      | 19        |
| 0226 Deposits, Brush Disposal .....   | 9           | 9       | 9         |
| 0227 Rents and Charges for Quarters, Forest Service .....   | 8           | 9       | 9         |
| 0228 Timber Sales Pipeline Restoration Fund .....   | 8           | 7       | 7         |
| 0229 Recreational Fee Demonstration Program, Forest Service .....                                       | 67          | 68      | 68        |
| 0230 Midewin National Tallgrass Prairie Rental Fees .....   | 1           | 1       | 1         |
| 0231 Charges, User Fees, and Natural Resource Utilization, Land between the Lakes, Forest Service ..... | 4           | 4       | 4         |
| 0232 Administration of Rights-of-way and Other Land Uses .....  | 2           | 2       | 2         |
| 0233 Miscellaneous Collections, Valles Caldera Fund .....   | 1           | 1       | 1         |
| 0234 Funds Retained, Stewardship Contracting Product Sales .....  | 10          | 10      |           |
| 0235 Funds Retained, Stewardship Contracting Product Sales .....  |             |         | 10        |
| 0236 National Grasslands .....  | 104         | 80      | 80        |
| 0237 Miscellaneous Special Funds, Forest Service .....  | 63          | 40      | 15        |
| 0299 Total receipts and collections .....   | 436         | 323     | 376       |
| 0400 Total: Balances and collections .....  | 503         | 466     | 565       |
| Appropriations:   |             |         |           |
| 0500 Stewardship Contracting Product Sales .....  | –10         | –10     |           |
| 0501 Stewardship Contracting Product Sales .....  |             |         | –10       |
| 0502 Forest Service Permanent Appropriations .....  | –350        | –267    | –245      |
| 0503 Forest Service Permanent Appropriations .....  |             |         | –61       |
| 0599 Total appropriations .....   | –360        | –277    | –316      |
| 0799 Balance, end of year .....   | 143         | 189     | 249       |

#### Program and Financing (in millions of dollars)

| Identification code 12–9921–0–2–999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Brush disposal (5206) .....  | 7           | 9       | 9         |
| 0002 Restoration of Forest Lands and Improvements (5215) .....                          | 14          | 40      | 40        |
| 0003 Recreation fee demonstration / enhancement programs (5268) .....                   | 62          | 76      | 76        |
| 0004 Timber Roads - Purchaser Election program (5202) .....                             | 1           | 2       | 2         |
| 0005 Timber Salvage Sale program (5204) .....   | 23          | 21      | 19        |
| 0006 Timber Pipeline Restoration fund (includes forest botanical products) (5264) ..... | 5           | 8       | 8         |
| 0007 Resource Management Timber Receipts (5220) .....                                   | 2           |         |           |
| 0008 Midewin Tallgrass Prairie funds (5277) .....                                       | 1           | 1       | 1         |
| 0009 Operation and maintenance of quarters (5219) .....                                 | 7           | 10      | 10        |
| 0010 Land between the lakes management fund (5360) .....                                | 4           | 4       | 4         |
| 0011 Valles Caldera fund (5363) .....   | 1           | 1       | 1         |
| 0012 Administration of rights-of-way and other land uses (5361 - URRF, URMN) .....      | 1           | 2       | 2         |
| 0013 Payment to States - National Forest Fund (5201) .....                              | 137         | 129     | 64        |
| 0014 Payment to States - transfers from Treasury (1117) .....                           | 225         | 207     |           |
| 0015 Payments to Minnesota (5213) .....   | 6           | 6       | 6         |
| 0016 Payments to Counties - National Grasslands (5896) .....                            | 23          | 20      | 20        |
| 0799 Total direct obligations .....   | 519         | 536     | 262       |
| 0801 Admin rights of way - Reimbursable program (5361 - URMJ) ....                      | 5           | 8       | 8         |
| 0900 Total new obligations .....  | 524         | 544     | 270       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                   | 601         | 484     | 545       |

FOREST SERVICE PERMANENT APPROPRIATIONS—Continued  
Program and Financing—Continued

| Identification code 12–9921–0–2–999                        |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 1010   | Unobligated balance transfer to other accts [12–1115] .....   | –175        | .....   | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....             | 2           | .....   | .....     |
| 1050   | Unobligated balance (total) .....                             | 428         | 484     | 545       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                             |   |             |         |           |
| 1130   | Appropriations permanently reduced .....                      | .....       | –12     | –18       |
| 1160   | Appropriation, discretionary (total) .....                    | .....       | –12     | –18       |
| Appropriations, mandatory:                                 |   |             |         |           |
| 1200   | Appropriation .....   | 225         | 207     | .....     |
| 1201   | Appropriation (special or trust fund) .....                   | 350         | 267     | 245       |
| 1221   | Appropriations transferred from other accts [12–1115] ....    | .....       | 135     | .....     |
| 1260   | Appropriations, mandatory (total) .....                       | 575         | 609     | 245       |
| Spending authority from offsetting collections, mandatory: |   |             |         |           |
| 1800   | Collected .....   | 5           | 8       | 8         |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 5           | 8       | 8         |
| 1900   | Budget authority (total) .....                                | 580         | 605     | 235       |
| 1930   | Total budgetary resources available .....                     | 1,008       | 1,089   | 780       |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 484         | 545     | 510       |
| <b>Change in obligated balance:</b>                        |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 84          | 77      | 42        |
| 3010   | Obligations incurred, unexpired accounts .....                | 524         | 544     | 270       |
| 3020   | Outlays (gross) .....   | –529        | –579    | –305      |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –2          | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                         | 77          | 42      | 7         |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 84          | 77      | 42        |
| 3200   | Obligated balance, end of year .....                          | 77          | 42      | 7         |
| <b>Budget authority and outlays, net:</b>                  |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | .....       | –12     | –18       |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | .....       | –12     | –18       |
| Mandatory:   |   |             |         |           |
| 4090   | Budget authority, gross .....                                 | 580         | 617     | 253       |
| Outlays, gross:  |   |             |         |           |
| 4100   | Outlays from new mandatory authority .....                    | 232         | 525     | 216       |
| 4101   | Outlays from mandatory balances .....                         | 297         | 66      | 107       |
| 4110   | Outlays, gross (total) .....                                  | 529         | 591     | 323       |
| Offsets against gross budget authority and outlays:        |   |             |         |           |
| Offsetting collections (collected) from:                   |   |             |         |           |
| 4123   | Non-Federal sources .....                                     | –5          | –8      | –8        |
| 4180   | Budget authority, net (total) .....                           | 575         | 597     | 227       |
| 4190   | Outlays, net (total) .....                                    | 524         | 571     | 297       |
| <b>Memorandum (non-add) entries:</b>                       |   |             |         |           |
| 5000   | Total investments, SOY: Federal securities: Par value .....   | .....       | .....   | 3         |
| 5001   | Total investments, EOY: Federal securities: Par value .....   | .....       | 3       | 3         |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 575         | 597     | 227       |
| Outlays .....                           | 524         | 571     | 297       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  | .....       | .....   | 248       |
| Outlays .....                           | .....       | .....   | 211       |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 575         | 597     | 475       |
| Outlays .....                           | 524         | 571     | 508       |

**Brush Disposal.**—Funds from payments by purchasers of National Forest timber to dispose of or treat slash and other debris that result from cutting operations (16 U.S.C. 490).

**Restoration of Forestlands and Improvements.**—Funds from claim settlements involving damage to lands or improvements and from forfeiture of deposits and bonds by permittees and timber purchasers are used for the restoration made necessary

by the action which led to the settlement of forfeiture (16 U.S.C. 579c).

**Recreation Fees, Forest Service (also referred to as the Federal Lands Recreation Enhancement Fund).**—The Secretary may implement a fee program for certain recreation sites on Federal lands. Fees collected from users of recreation facilities are used to pay for on-the-ground operation, maintenance, and improvements of recreation sites and services to maintain and enhance recreation opportunities, visitor experiences, and related habitat. (16 U.S.C. 6806 and 6807, P.L. 108–447, Div. J, [title VIII, Sec. 807 and Sec. 808] Dec. 8, 2004, 118 Stat. 3388). The Administration proposes to permanently reauthorize the Departments of Agriculture and the Interior recreation fee program under the Federal Lands Recreation Enhancement Act, which is set to expire on December 8, 2014.

**Timber Purchaser Election Roads Construction.**—Funds from timber receipts for Government-constructed permanent roads for purchasers of timber who qualify as small businesses and elect to have the Forest Service construct the roads designated under the timber sale contract (16 U.S.C. 472a(i)).

**Timber Salvage Sales.**—Funds are used for salvage of insect-infested, dead, damaged, or down timber, and to remove associated trees for stand improvement (16 U.S.C. 472a(h)).

**Timber Sales Pipeline Restoration Fund.**—Funds are used for restoring the timber pipeline and funding the backlog of recreation projects on National Forest System lands. This fund includes Forest Botanical Products fees (P.L. 104–134, Sec. 101 (c), [Title III Sec. 327], April 26, 1996, 110 Stat. 1321–206 and 207)

**Forest Botanical Products.**—Fees are assessed based on the fair market value for the sale of forest botanical products. The fees cover the costs of analyzing, granting, modifying, and monitoring the harvest of these products; the determination of sustainable harvest levels; and the establishment of personal use levels for which fees would not be collected (16 U.S.C. 528 note).

**Midwin National Tallgrass Prairie funds.**—Funds collected through user and rental fees can be used as follows:

**Midwin National Tallgrass Prairie Rental Fees.**—Monies received under a special use authorization are available to cover the cost to the United States of prairie improvement work at the Midwin National Tallgrass Prairie (Public Law 104–106, Div. B, [Title XXIX, sec. 2915 (b), (c)], Feb. 10, 1996, 110 Stat. 601).

**Midwin National Tallgrass Prairie Restoration Fund.**—Monies received from user fees and the salvage value proceeds from sale of any facilities and improvements are available to cover the costs of restoration and administrative activities. (Public Law 104–106, Div. B, [Title XXIX, sec. 2915 (d), (e), and (f)], Feb. 10, 1996, 110 Stat. 601).

**Operation and Maintenance of Quarters.**—Quarters rental deductions are collected from employees occupying Forest Service housing facilities. Amounts are deposited into a special fund and are available for the maintenance and operation of employee-occupied quarters (5 U.S.C. 5911).

**Land between the Lakes Management Fund.**—Under the Land between the Lakes Protection Act of 1998, all amounts received from charges, user fees and natural resource use on the Land between the Lakes National Recreation Area (LBLNRA) are deposited into this fund. The funds are available for the management of the LBLNRA, including payments, salaries, and expenses (16 U.S.C. 460III–24) (P.L. 105–277, div. A, Sec. 101(e) [title V, Sec. 524], Oct. 21, 1998, 112 Stat. 2681–315).

**Valles Caldera Fund.**—Funds authorized under the Valles Caldera Preservation Act (Public Law 106–248) are available

without further appropriation for any purpose consistent with the purposes of the Act. All monies received from donations under subsection (g) or from the management of the Preserve are available for the administration, preservation, restoration, operation and maintenance, improvement, repair, and related expenses incurred with respect to properties under its management jurisdiction (16 U.S.C. 698v-4, 698v-6).

*Administration of Rights-of-Way (Cost Recovery Lands Minor Projects) and Other Land Uses.*—Fees collected from applicants and holders of special use authorizations are available to pay for processing applications and monitoring compliance with special use authorizations. Flat fees are assessed for permits that require less than 50 hours of agency time to process or monitor. (31 U.S.C. 9701; 43 U.S.C. 1764(g); 30 U.S.C. 815(1); P.L. 82137; P.L. 66146; P.L. 94579; 113 Stat. 1501A-196197 as amended by 118 Stat. 3105; 119 Stat. 555 and P.L. 110161; 16 U.S.C. 46016d; 117 Stat. 294297). In addition to the Cost Recovery Lands Minor Projects, this fund also includes:

*Commercial Filming.*—The Act of May 26, 2000 permits the collection and use of fees from commercial filming and still photography permits. (16 U.S.C. 4601-6d) (P. L. 106–206).

*Organizational Camps.*—The National Forest Organizational Camp Fee Improvement Act of 2003 permits the collection of land use fees from organizational camps located on national forests. (16 U.S.C. 6231 et seq.) (P.L. 108–7).

*Administration of Rights-of-Way Reimbursable Program (Cost Recovery Lands Major Projects).*—See description of Administration of Rights-of-Way above. (31 U.S.C. 9701; 43 U.S.C. 1764(g); 30 U.S.C. 815(1); P.L. 82137; P.L. 66146; P.L. 94579; 113 Stat. 1501A-196197 as amended by 118 Stat. 3105; 119 Stat. 555 and P.L. 110161; 16 U.S.C. 46016d; 117 Stat. 294297).

*Payments to States.*—The Secure Rural Schools and Community Self-Determination Act of 2000 (SRS Act), P.L. 106–393, was enacted to provide for transitional assistance to rural counties affected by the decline in revenue from timber harvests on federal lands. The last payment authorized under P.L. 106–393 was for 2006. Section 601 of Division C of P.L. 110–343 amended and reauthorized the SRS Act (P.L. 106–393) through 2011, and P.L. 112–141 reauthorized the program through 2012. The SRS Act, as amended, retains the original title. The amended SRS Act was similar to P.L. 106–393, although it is structured to phase out payments. The amended Act is no longer authorized at the end of 2012 with the last payment being issued in 2013.

If the SRS Act is not reauthorized, States will revert to the Payments to States Act of 1908, as amended (16 U.S.C. 500). This Act requires with a few exceptions, that 25 percent of all monies received from the national forests and deposited into the National Forest Fund during a fiscal year from timber, grazing, special-use permits, power and mineral leases, and admission and user fees be paid to the States in which the national forests are located, for public schools and public roads in the county or counties in which the national forests are situated.

The Budget reflects a five-year reauthorization, starting in 2013, of the Secure Rural Schools Act with funding through mandatory appropriations. This SRS proposal revises the allocation split between the three portions of the program from the current authority emphasizing enhancement of forest ecosystems, restoration and improvement of land health and water quality and the increase of economic activity. The 2014 payment is proposed to be \$278 million.

*Payment to Minnesota.*—At the close of each fiscal year, the State of Minnesota is paid 0.75 percent of the appraised value of certain Superior National Forest lands in the counties of Cook

Lake and St. Louis for distribution to these counties (16 U.S.C. 577g).

*Payments to Counties, National Grasslands.*—This program provides an annual payment to counties in which Title III-Bankhead-Jones Acquired Lands are located for funding public schools and roads. Of the net revenues for use of Title III Bankhead-Jones Farm Tenant Act lands, 25 percent is paid to the counties in which such lands are located for public school and road purposes (7 U.S.C. 1012).

*Roads and Trails (10 Percent) Fund.*—Under this fund, 10 percent of all national forest receipts are used by the Forest Service to repair or reconstruct roads, bridges, and trails on NFS lands. Funds are directed towards correcting road and trail deficiencies that adversely affect ecosystems. Beginning in 1999, the authority was expanded to allow the use of funds for the purpose of improving forest health conditions, and repairing or reconstructing roads and bridges on NFS lands in the wildland-urban interface where there is an abnormally high risk of fire. (16 U.S.C. 501). Refer to the Capital Improvement and Maintenance appropriation for details of activities funded for roads and trails. Since 2008, Congress directed that funds becoming available under the Act of March 4, 1913, be transferred to Treasury.

*Licensee Program.*—Funds from fees for the use of characters by private enterprises are collected under regulations promulgated by the Secretary. Funds collected and used annually are less than \$1 million. The licensee program includes the following:

*Smokey Bear.*—For furthering the nationwide forest fire prevention campaign (16 U.S.C. 580p(2)).

*Woodsy Owl.*—For promoting wise use of the environment and programs which foster maintenance and improvement of environmental quality (16 U.S.C. 580p(1)).

*Quinault Special Management Area.*—The Act of November 8, 1988 assigns responsibility to the Forest Service for managing the natural resources and distributing proceeds from the sale of forest products in the Quinault Special Management Area of the Olympic National Forest. Receipts from use of this land are divided between the State of Washington (45 percent), the Quinault Tribe (45 percent) and the Quinault Special Management Area fund (10 percent) for use by the Olympic National Forester to administer future timber sales. Funds collected and used annually are less than \$1 million (P.L. 100–638) (102 Stat. 3327).

*Hardwood Technology Transfer and Applied Research Fund.*—The Hardwood Technology Transfer and Applied Research fund allows for funds to be collected from leasing the Wood Education and Research Center (WERC) wood shop and rough mill under a special use permit. These funds are available for the management and operation of the WERC and the payment of salaries and expenses. Funds collected and used annually are less than \$1 million (P.L. 106–113, div. B, 1000(a)(3) [Title III, 332], Nov. 29, 1999, 113 Stat. 1535, 1501A197).

#### Object Classification (in millions of dollars)

| Identification code 12–9921–0–2–999 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 44          | 44      | 42        |
| 11.3                                | Other than full-time permanent .....                       | 12          | 12      | 12        |
| 11.5                                | Other personnel compensation .....                         | 4           | 4       | 4         |
| 11.9                                | Total personnel compensation .....                         | 60          | 60      | 58        |
| 12.1                                | Civilian personnel benefits .....                          | 17          | 17      | 16        |
| 13.0                                | Benefits for former personnel .....                        | 2           | 2       | 1         |
| 21.0                                | Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0                                | Transportation of things .....                             | 1           | 1       | 1         |
| 23.2                                | Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 4         |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 48          | 51      | 19        |

## FOREST SERVICE PERMANENT APPROPRIATIONS—Continued

## Object Classification—Continued

| Identification code 12–9921–0–2–999                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.3 Other goods and services from Federal sources ..... | 8           | 9       | 4         |
| 25.7 Operation and maintenance of equipment .....        | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                        | 8           | 8       | 8         |
| 31.0 Equipment .....                                     | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 362         | 376     | 144       |
| 42.0 Insurance claims and indemnities .....              | 2           | 2       | 1         |
| 99.0 Direct obligations .....                            | 518         | 536     | 262       |
| 99.0 Reimbursable obligations .....                      | 5           | 8       | 8         |
| 99.5 Below reporting threshold .....                     | 1           |         |           |
| 99.9 Total new obligations .....                         | 524         | 544     | 270       |

## Employment Summary

| Identification code 12–9921–0–2–999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,243       | 1,400   | 1,182     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 48          | 68      | 68        |

## FOREST SERVICE PERMANENT APPROPRIATIONS

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 12–9921–4–2–999  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation (SRS Warrant) .....   |             |         | 196       |
| 1201 Appropriation (special or trust fund) .....   |             |         | 61        |
| 1230 Appropriations and/or unobligated balance of appropriations permanently reduced (Purchaser Elect pay for Stewardship) ..... |             |         | –9        |
| 1260 Appropriations, mandatory (total) .....   |             |         | 248       |
| 1930 Total budgetary resources available .....   |             |         | 248       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  |             |         | 248       |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3020 Outlays (gross) .....   |             |         | –211      |
| 3050 Unpaid obligations, end of year .....   |             |         | –211      |
| Memorandum (non-add) entries:  |             |         |           |
| 3200 Obligated balance, end of year .....  |             |         | –211      |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   |             |         | 248       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....  |             |         | 211       |
| 4180 Budget authority, net (total) .....   |             |         | 248       |
| 4190 Outlays, net (total) .....  |             |         | 211       |

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

| Identification code 12–4605–0–4–302   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Working capital fund .....   | 237         | 245     | 245       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                               | 134         | 142     | 142       |
| 1021 Recoveries of prior year unpaid obligations .....                              | 1           |         |           |
| 1050 Unobligated balance (total) .....  | 135         | 142     | 142       |
| Budget authority:   |             |         |           |
| 1700 Spending authority from offsetting collections, discretionary: Collected ..... | 243         | 245     | 245       |

|  |      |      |      |
|--|------|------|------|
| 1701 Change in uncollected payments, Federal sources .....           | 1    |      |      |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 244  | 245  | 245  |
| 1930 Total budgetary resources available .....                       | 379  | 387  | 387  |
| Memorandum (non-add) entries:  |      |      |      |
| 1941 Unexpired unobligated balance, end of year .....                | 142  | 142  | 142  |
| <b>Change in obligated balance:</b>                                  |      |      |      |
| Unpaid obligations:  |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 45   | 45   | 18   |
| 3010 Obligations incurred, unexpired accounts .....                  | 237  | 245  | 245  |
| 3020 Outlays (gross) .....   | –236 | –272 | –256 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –1   |      |      |
| 3050 Unpaid obligations, end of year .....                           | 45   | 18   | 7    |
| Uncollected payments:  |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –1   | –2   | –2   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –1   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –2   | –2   | –2   |
| Memorandum (non-add) entries:  |      |      |      |
| 3100 Obligated balance, start of year .....                          | 44   | 43   | 16   |
| 3200 Obligated balance, end of year .....                            | 43   | 16   | 5    |
| <b>Budget authority and outlays, net:</b>                            |      |      |      |
| Discretionary:   |      |      |      |
| 4000 Budget authority, gross .....                                   | 244  | 245  | 245  |
| Outlays, gross:  |      |      |      |
| 4010 Outlays from new discretionary authority .....                  | 197  | 208  | 208  |
| 4011 Outlays from discretionary balances .....                       | 39   | 64   | 48   |
| 4020 Outlays, gross (total) .....                                    | 236  | 272  | 256  |
| Offsets against gross budget authority and outlays:                  |      |      |      |
| Offsetting collections (collected) from:                             |      |      |      |
| 4030 Federal sources .....   | –241 | –243 | –243 |
| 4033 Non-Federal sources .....                                       | –2   | –2   | –2   |
| 4040 Offsets against gross budget authority and outlays (total) .... | –243 | –245 | –245 |
| Additional offsets against gross budget authority only:              |      |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –1   |      |      |
| 4080 Outlays, net (discretionary) .....                              | –7   | 27   | 11   |
| 4190 Outlays, net (total) .....                                      | –7   | 27   | 11   |

The Working Capital Fund is a self-sustaining revolving fund that provides services to national forests, to research experiment stations, to other Federal agencies when necessary, to State and private agencies as provided by law, and to persons who cooperate with the Forest Service in fire control and other authorized programs. Forestry-related supply and support services include:

**Equipment Services.**—The Fund owns, operates, maintains, replaces, and repairs common-use, motor-driven, and similar equipment. This equipment is rented to administrative units, that is, national forests, research experiment stations, and other units, and, in some cases, to other agencies, at rates which recover the cost of operation, repair and maintenance, management, and depreciation. The rates also include an increment which provides additional cash which, when added to depreciation earnings and the residual value of equipment, provides sufficient funds to replace the equipment. The Budget includes Forest Service implementation, in conjunction with the General Services Administration, of a vehicle allocation methodology that analyzes fleet vehicle effectiveness, cost to serve, life cycle costs, vehicle pooling, procurement practices, and reduction of operating costs.

**Aircraft Services.**—The Fund operates, maintains, and repairs Forest Service-owned aircraft used in fire surveillance and suppression and in other Forest Service programs. The aircraft are rented to national forests, research experiment stations, and in some cases to other agencies, at rates which recover the cost of depreciation, operation, maintenance, repair, and improvements in the airworthiness of the aircraft. Aircraft replacement costs are financed from either appropriated funds or the Forest Service Working Capital Fund, or a combination of both.

**Supply Services.**—The fund operates the following common services, and provides for cost-recovery of Working Capital Fund Program Management: photo reproduction laboratories that



store, reproduce, and supply aerial photographs, aerial maps, and other photographs of national forest lands. Photographic reproductions are sold to national forests, research experiment stations, and others at cost. Sign shops that manufacture and supply special signs for the national forests for use in regulating traffic and as information to the public and other users of the national forests. Signs are sold to national forests and research experiment stations at cost.

**Nurseries.**—The fund operates seed supply services that provide tree seeds for direct seeding or sowing in nurseries for the production of trees. Activities include purchase or collection of cones, extraction of seeds, cleaning and testing, and storage and delivery. The fund operates in conjunction with forest tree nurseries and cold storage facilities for storage of tree seedlings. Tree seedlings are sold to national forests, State foresters, and other cooperators at cost.

#### Object Classification (in millions of dollars)

| Identification code 12-4605-0-4-302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 37          | 37      | 37        |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 3           | 3       | 3         |
| 11.9 Total personnel compensation .....                         | 42          | 42      | 42        |
| 12.1 Civilian personnel benefits .....                          | 13          | 13      | 13        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 12          | 14      | 14        |
| 25.3 Other goods and services from Federal sources .....        | 3           | 3       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 18          | 19      | 19        |
| 26.0 Supplies and materials .....                               | 72          | 75      | 75        |
| 31.0 Equipment .....  | 70          | 72      | 72        |
| 99.9 Total new obligations .....                                | 237         | 245     | 245       |

#### Employment Summary

| Identification code 12-4605-0-4-302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 658         | 658     | 658       |

### Trust Funds

#### FOREST SERVICE TRUST FUNDS

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 12-9974-0-7-302   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0200 Transfers from General Fund of Amounts Equal to Certain Customs Duties, Reforestation Trust Fund ..... | 30          | 30      | 30        |
| 0220 Forest Service Cooperative Fund .....  | 72          | 73      | 77        |
| 0240 General Fund Payment from Wildland Fire Management, Forest Service Cooperative Fund .....              |             | 160     |           |
| 0299 Total receipts and collections .....   | 102         | 263     | 107       |
| 0400 Total: Balances and collections .....  | 102         | 263     | 107       |
| Appropriations:   |             |         |           |
| 0500 Forest Service Trust Funds .....   | -102        | -103    | -107      |
| 0501 Forest Service Trust Funds .....   |             | -160    |           |
| 0599 Total appropriations .....   | -102        | -263    | -107      |
| 0799 Balance, end of year .....   |             |         |           |

#### Program and Financing (in millions of dollars)

| Identification code 12-9974-0-7-302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Cooperative work trust fund (8028 - CWKV/K2) .....                                | 219         | 74      | 70        |
| 0002 Cooperative work advance payments (8028 - CWF2) .....                             | 12          | 14      | 18        |
| 0003 Reforestation trust fund (8046 - RTRT) .....                                      | 29          | 30      | 30        |
| 0799 Total direct obligations .....  | 260         | 118     | 118       |
| 0801 Reimbursable program-coop work other (8028 - CWFS) .....                          | 27          | 60      | 60        |
| 0900 Total new obligations .....   | 287         | 178     | 178       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                  | 353         | 194     | 335       |
| 1021 Recoveries of prior year unpaid obligations .....                                 | 1           |         |           |
| 1050 Unobligated balance (total) .....   | 354         | 194     | 335       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                                       | 102         | 103     | 107       |
| 1201 Appropriation (General Fund Repayment from Wildfire Management) .....             |             | 160     |           |
| 1260 Appropriations, mandatory (total) .....   | 102         | 263     | 107       |
| 1800 Spending authority from offsetting collections, mandatory: Collected (CWFS) ..... | 25          | 56      | 56        |
| 1850 Spending auth from offsetting collections, mand (total) .....                     | 25          | 56      | 56        |
| 1900 Budget authority (total) .....  | 127         | 319     | 163       |
| 1930 Total budgetary resources available .....   | 481         | 513     | 498       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                  | 194         | 335     | 320       |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                  | 42          | 41      |           |
| 3010 Obligations incurred, unexpired accounts .....                                    | 287         | 178     | 178       |
| 3020 Outlays (gross) .....   | -287        | -219    | -178      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                      | -1          |         |           |
| 3050 Unpaid obligations, end of year .....   | 41          |         |           |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 42          | 41      |           |
| 3200 Obligated balance, end of year .....  | 41          |         |           |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | 127         | 319     | 163       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....  | 39          | 177     | 99        |
| 4101 Outlays from mandatory balances .....   | 248         | 42      | 79        |
| 4110 Outlays, gross (total) .....  | 287         | 219     | 178       |
| Offsets against gross budget authority and outlays:                                    |             |         |           |
| Offsetting collections (collected) from:   |             |         |           |
| 4123 Non-Federal sources .....   | -25         | -56     | -56       |
| 4180 Budget authority, net (total) .....   | 102         | 263     | 107       |
| 4190 Outlays, net (total) .....  | 262         | 163     | 122       |
| <b>Memorandum (non-add) entries:</b>   |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....                       |             |         | 6         |
| 5001 Total investments, EOY: Federal securities: Par value .....                       |             | 6       | 6         |

**Cooperative Work Trust Fund-Knutson Vandenberg.**—Funds, including deposits from purchasers of timber, are received and used for specified work in forest investigations, protection, and improvement of the National Forest System, including protection, reforestation, and administration of private lands adjacent to National Forests (7 U.S.C. 2269; 16 U.S.C. 498, 535, 572, 572a, 576b, 1643; and 31 U.S.C. 1321).

**Cooperative Work Trust Fund-Advanced Payments (Non-Agreement Based).**—This fund is used to collect deposits received from partners and cooperators for protecting and improving resources of the National Forest System as authorized by permits or sale contracts. Under this fund deposits from multiple contributors can be pooled; the majority of these pooled collections are not subject to refunds, in accordance with the provisions of the respective authorizing instrument. Deposit funds support a wide variety of activities that benefit and support programs in Forest

## FOREST SERVICE TRUST FUNDS—Continued

and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 572 and 31 U.S.C. 1321.

**Reforestation Trust Fund.**—Amounts from this account are used for reforestation and timber stand improvement (16 U.S.C. 1606a(d)).

**Cooperative Work Trust Fund-Reimbursable Program (Agreement Based).**—This fund is used to collect deposits received from partners and cooperators for protecting and improving resources of the National Forest System as authorized by cooperative agreements. Deposit funds support a wide variety of activities that benefit and support programs in Forest and Rangeland Research, on National Forest System lands, and for other agency activities. There are multiple statutes that authorize this fund including 16 U.S.C. 498, 16 U.S.C. 532–537, and 31 U.S.C. 1321.

**Land between the Lakes Trust Fund.**—Interest earned from funds transferred by the Tennessee Valley Authority is available for public education, grants, recreation internships, conservation and multiple-use management of the Land between the Lakes. Funds collected and used annually are less than \$1 million (16 U.S.C. 460lll-31).

## Object Classification (in millions of dollars)

| Identification code 12–9974–0–7–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 36          | 44      | 44        |
| 11.3 Other than full-time permanent .....                       | 3           | 4       | 4         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 41          | 50      | 50        |
| 12.1 Civilian personnel benefits .....                          | 13          | 16      | 16        |
| 13.0 Benefits for former personnel .....                        | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 186         | 40      | 40        |
| 25.3 Other goods and services from Federal sources .....        | 8           | 4       | 4         |
| 26.0 Supplies and materials .....                               | 5           | 2       | 2         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 260         | 118     | 118       |
| 99.0 Reimbursable obligations .....                             | 25          | 60      | 60        |
| 99.5 Below reporting threshold .....                            | 2           | .....   | .....     |
| 99.9 Total new obligations .....                                | 287         | 178     | 178       |

## Employment Summary

| Identification code 12–9974–0–7–302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 718         | 872     | 872       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 176         | 308     | 308       |

## ADMINISTRATIVE PROVISIONS, FOREST SERVICE

## (INCLUDING TRANSFERS OF FUNDS)

Appropriations to the Forest Service for the current fiscal year shall be available for: (1) purchase of passenger motor vehicles; acquisition of passenger motor vehicles from excess sources, and hire of such vehicles; purchase, lease, operation, maintenance, and acquisition of aircraft to maintain the operable fleet for use in Forest Service wildland fire programs and other Forest Service programs; notwithstanding other provisions of law, existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft; (2) services pursuant to 7 U.S.C. 2225, and not to exceed \$100,000 for employment under 5 U.S.C. 3109; (3) purchase, erection, and alteration of buildings and other public improvements (7 U.S.C. 2250); (4) acquisition of land, waters, and interests therein pursuant to 7 U.S.C. 428a; (5) for

expenses pursuant to the Volunteers in the National Forest Act of 1972 (16 U.S.C. 558a, 558d, and 558a note); (6) the cost of uniforms as authorized by 5 U.S.C. 5901–5902; and (7) for debt collection contracts in accordance with 31 U.S.C. 3718(c).

Any appropriations or funds available to the Forest Service may be transferred to the Wildland Fire Management appropriation for forest firefighting, emergency rehabilitation of burned-over or damaged lands or waters under its jurisdiction, and fire preparedness due to severe burning conditions upon the Secretary's notification of the House and Senate Committees on Appropriations that all fire suppression funds appropriated under the headings "Wildland Fire Management" and "FLAME Wildfire Suppression Reserve Fund" will be obligated within 30 days.

Funds appropriated to the Forest Service shall be available for assistance to or through the Agency for International Development in connection with forest and rangeland research, technical information, and assistance in foreign countries, and shall be available to support forestry and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with U.S., private, and international organizations. The Forest Service, acting for the International Program, may sign direct funding agreements with foreign governments and institutions as well as other domestic agencies (including the U.S. Agency for International Development, the Department of State, and the Millennium Challenge Corporation), U.S. private sector firms, institutions and organizations to provide technical assistance and training programs overseas on forestry and rangeland management.

None of the funds made available to the Forest Service in this Act or any other Act with respect to any fiscal year shall be subject to transfer under the provisions of section 702(b) of the Department of Agriculture Organic Act of 1944 (7 U.S.C. 2257), section 442 of Public Law 106–224 (7 U.S.C. 7772), or section 10417(b) of Public Law 107–107 (7 U.S.C. 8316(b)).

Not more than \$82,000,000 of funds available to the Forest Service shall be transferred to the Working Capital Fund of the Department of Agriculture and not more than \$14,500,000 of funds available to the Forest Service shall be transferred to the Department of Agriculture for Department Reimbursable Programs, commonly referred to as Greenbook charges. Nothing in this paragraph shall prohibit or limit the use of reimbursable agreements requested by the Forest Service in order to obtain services from the Department of Agriculture's National Information Technology Center. Nothing in this paragraph shall limit the Forest Service portion of implementation costs to be paid to the Department of Agriculture for the Financial Management Modernization Initiative.

Funds available to the Forest Service shall be available for priority projects within the scope of the approved budget, which shall be carried out by the Youth Conservation Corps and shall be carried out under the authority of the Public Lands Corps Act of 1993, Public Law 103–82, as amended by Public Lands Corps Healthy Forests Restoration Act of 2005, Public Law 109–154.

Of the funds available to the Forest Service, \$4,000 is available to the Chief of the Forest Service for official reception and representation expenses.

Pursuant to sections 405(b) and 410(b) of Public Law 101–593, of the funds available to the Forest Service, up to \$3,000,000 may be advanced in a lump sum to the National Forest Foundation to aid conservation partnership projects in support of the Forest Service mission, without regard to when the Foundation incurs expenses, for projects on or benefitting National Forest System lands or related to Forest Service programs: Provided, That of the Federal funds made available to the Foundation, no more than \$300,000 shall be available for administrative expenses: Provided further, That the Foundation shall obtain, by the end of the period of Federal financial assistance, private contributions to match on at least one-for-one basis funds made available by the Forest Service: Provided further, That the Foundation may transfer Federal funds to a Federal or a non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds: Provided further, That authorized investments of Federal funds held by the Foundation may be made only in interest-bearing obligations of the United States or in obligations guaranteed as to both principal and interest by the United States.

Pursuant to section 2(b)(2) of Public Law 98–244, up to \$3,000,000 of the funds available to the Forest Service may be advanced to the National Fish and Wildlife Foundation in a lump sum to aid cost-share conservation

projects, without regard to when expenses are incurred, on or benefitting National Forest System lands or related to Forest Service programs: Provided, That such funds shall be matched on at least a one-for-one basis by the Foundation or its sub-recipients: Provided further, That the Foundation may transfer Federal funds to a Federal or non-Federal recipient for a project at the same rate that the recipient has obtained the non-Federal matching funds.

Funds appropriated to the Forest Service shall be available for interactions with and providing technical assistance to rural communities and natural resource-based businesses for sustainable rural development purposes.

Funds appropriated to the Forest Service shall be available for payments to counties within the Columbia River Gorge National Scenic Area, pursuant to section 14(c)(1) and (2), and section 16(a)(2) of Public Law 99-663.

Any funds appropriated to the Forest Service may be used to meet the non-Federal share requirement in section 502(c) of the Older Americans Act of 1965 (42 U.S.C. 3056(c)(2)).

Funds available to the Forest Service, not to exceed \$55,000,000, shall be assessed for the purpose of performing fire, administrative and other facilities maintenance and decommissioning. Such assessments shall occur using a square foot rate charged on the same basis the agency uses to assess programs for payment of rent, utilities, and other support services.

Notwithstanding any other provision of law, any appropriations or funds available to the Forest Service not to exceed \$500,000 may be used to reimburse the Office of the General Counsel (OGC), Department of Agriculture, for travel and related expenses incurred as a result of OGC assistance or participation requested by the Forest Service at meetings, training sessions, management reviews, land purchase negotiations and similar non-litigation-related matters. Future budget justifications for both the Forest Service and the Department of Agriculture should clearly display the sums previously transferred and the requested funding transfers.

An eligible individual who is employed in any project funded under title V of the Older Americans Act of 1965 (42 U.S.C. 3056 et seq.) and administered by the Forest Service shall be considered to be a Federal employee for purposes of chapter 171 of title 28, United States Code.

The 19th unnumbered paragraph under the heading "Administrative Provisions, Forest Service" in title III of the Department of the Interior, Environment, and Related Agencies Appropriations Act, 2006, Public Law 109-54, as amended, is amended by striking "2014" and inserting "2019".

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 12-181100 National Grasslands .....  |             | 76      | 60        |
| 12-222100 National Forest Fund .....   | 18          | 12      | 62        |
| Legislative proposal, subject to PAYGO .....   |             |         | -62       |
| 12-267530 Biorefinery Assistance, Downward Reestimates of Subsidies .....                    |             | 35      |           |
| 12-270110 Agriculture Credit Insurance, Negative Subsidies .....                             | 1           | 3       | 6         |
| 12-270130 Agriculture Credit Insurance, Downward Reestimates of Subsidies .....              | 208         | 202     |           |
| 12-270210 Rural Electrification and Telephone Loans, Negative Subsidies .....                | 78          | 195     | 235       |
| 12-270230 Rural Electrification and Telephone Loans, Downward Reestimates of Subsidies ..... | 507         | 379     |           |
| 12-270330 Rural Water and Waste Disposal, Downward Reestimates of Subsidies .....            | 126         | 274     |           |
| 12-270510 Rural Community Facility, Negative Subsidies .....                                 | 3           | 16      | 41        |
| 12-270530 Rural Community Facility, Downward Reestimates of Subsidies .....                  | 132         | 68      |           |
| 12-270610 Rural Housing Insurance, Negative Subsidies .....                                  | 12          | 52      | 42        |
| 12-270630 Rural Housing Insurance, Downward Reestimates of Subsidies .....                   | 68          | 36      |           |
| 12-270730 Rural Business and Industry, Downward Reestimates of Subsidies .....               | 40          | 9       |           |
| 12-270830 P.L. 480 Loan Program, Downward Reestimates of Subsidies .....                     | 10          | 9       |           |
| 12-271030 Rural Development Loans, Downward Reestimates of Subsidies .....                   | 5           | 4       |           |
| 12-271130 Rural Telephone Bank Loans, Downward Reestimates of Subsidies .....                | 2           | 1       |           |
| 12-271330 Economic Development Loans, Downward Reestimates of Subsidies .....                | 1           | 1       |           |

|   |       |       |     |
|---|-------|-------|-----|
| 12-274630 Downward Reestimates, Distance Learning, Telemedicine, and Broadband Program .....              | 42    | 19    |     |
| 12-275430 Apple Loan Program, Downward Reestimates of Subsidies .....                                     | 4     |       |     |
| 12-275610 Negative Subsidies, Farm Storage Facility Loans .....   | 4     | 4     | 7   |
| 12-275630 Farm Storage Facility Loans, Downward Reestimate of Subsidies .....                             | 14    | 26    |     |
| 12-275730 Commodity Credit Corporation Export Guarantee Financing, Downward Reestimate of Subsidies ..... | 7     | 27    |     |
| 12-277930 Multifamily Housing Revitalization Fund, Downward Reestimates of Subsidies .....                | 11    | 3     |     |
| 12-278630 Rural Energy for America Program, Downward Reestimates of Subsidies .....                       |       | 5     |     |
| 12-279310 Commodity Credit Corporation Export Guarantee Financing, Negative Subsidies .....               | 34    | 55    | 59  |
| 12-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....            | 11    | 5     | 5   |
| General Fund Offsetting receipts from the public .....  | 1,338 | 1,516 | 455 |
| Intragovernmental payments:   |       |       |     |
| 12-388600 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....          | -24   |       |     |
| General Fund Intragovernmental payments .....   | -24   |       |     |

## TITLE VII—GENERAL PROVISIONS

(INCLUDING CANCELLATIONS AND TRANSFERS OF FUNDS)

SEC. 701. Within the unit limit of cost fixed by law, appropriations and authorizations made for the Department of Agriculture for the current fiscal year under this Act shall be available for the purchase, in addition to those specifically provided for, of not to exceed 69 passenger motor vehicles of which 69 shall be for replacement only, and for the hire of such vehicles.

SEC. 702. The Secretary of Agriculture may transfer unobligated balances of discretionary funds appropriated by this Act or other available unobligated discretionary balances of the Department of Agriculture to the Working Capital Fund for the acquisition of plant and capital equipment necessary for the delivery of financial, administrative, and information technology services of primary benefit to the agencies of the Department of Agriculture: Provided, That none of the funds made available by this Act or any other Act shall be transferred to the Working Capital Fund without the prior notification to the agency administrator: Provided further, That none of the funds transferred to the Working Capital Fund pursuant to this section shall be available for obligation without the prior notification to the Committees on Appropriations of both Houses of Congress: Provided further, That of annual income amounts in the Working Capital Fund of the Department of Agriculture allocated for the National Finance Center, the Secretary may reserve not more than 4 percent for the replacement or acquisition of capital equipment, including equipment for the improvement and implementation of a financial management plan, information technology, and other systems of the National Finance Center or to pay any unforeseen, extraordinary cost of the National Finance Center: Provided further, That none of the amounts reserved shall be available for obligation unless the Secretary submits notification of the obligation to the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That the limitation on the obligation of funds pending notification to Congressional Committees shall not apply to any obligation that, as determined by the Secretary, is necessary to respond to a declared state of emergency that significantly impacts the operations of the National Finance Center; or to evacuate employees of the National Finance Center to a safe haven to continue operations of the National Finance Center.

SEC. 703. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 704. No funds appropriated by this Act may be used to pay negotiated indirect cost rates on cooperative agreements or similar arrangements between the United States Department of Agriculture and nonprofit institutions in excess of 10 percent of the total direct cost of the agreement when the purpose of such cooperative arrangements is to carry out programs of mutual interest between the two parties. This does not preclude appropriate payment of indirect costs on grants and contracts with such institutions when such indirect costs are computed on a similar basis for all agencies for which appropriations are provided in this Act.

SEC. 705. Appropriations to the Department of Agriculture for the cost of direct and guaranteed loans made available in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year for the following accounts: the Rural Development Loan Fund program account, the Rural Electrification and Telecommunication Loans program account, and the Rural Housing Insurance Fund program account.

SEC. 706. None of the funds appropriated by this Act may be used to carry out section 410 of the Federal Meat Inspection Act (21 U.S.C. 679a) or section 30 of the Poultry Products Inspection Act (21 U.S.C. 471).

SEC. 707. None of the funds made available to the Department of Agriculture by this Act may be used to acquire new information technology systems or significant upgrades, as determined by the Office of the Chief Information Officer, without the approval of the Chief Information Officer and the concurrence of the Executive Information Technology Investment Review Board: Provided, That notwithstanding any other provision of law, none of the funds appropriated or otherwise made available by this Act may be transferred to the Office of the Chief Information Officer unless notification has been transmitted to the Committees on Appropriations of both Houses of Congress: Provided further, That none of the funds available to the Department of Agriculture for information technology shall be obligated for projects over \$25,000 prior to receipt of written approval by the Chief Information Officer.

SEC. 708. Funds made available under section 1240I and section 1241(a) of the Food Security Act of 1985 and section 524(b) of the Federal Crop Insurance Act (7 U.S.C. 1524(b)) in the current fiscal year shall remain available until expended to disburse obligations made in the current fiscal year.

SEC. 709. Notwithstanding any other provision of law, any former RUS borrower that has repaid or prepaid an insured, direct or guaranteed loan under the Rural Electrification Act of 1936, or any not-for-profit utility that is eligible to receive an insured or direct loan under such Act, shall be eligible for assistance under section 313(b)(2)(B) of such Act in the same manner as a borrower under such Act.

SEC. 710. Notwithstanding any other provision of law, for the purposes of a grant under section 412 of the Agricultural Research, Extension, and Education Reform Act of 1998, none of the funds in this or any other Act may be used to prohibit the provision of in-kind support from non-Federal sources under section 412(e)(3) of such Act in the form of unrecovered indirect costs not otherwise charged against the grant, consistent with the indirect rate of cost approved for a recipient.

SEC. 711. Except as otherwise specifically provided by law, unobligated balances remaining available at the end of the fiscal year from appropriations made available for salaries and expenses in this Act for the Farm Service Agency and the Rural Development mission area, shall remain available through September 30, 2015, for information technology expenses.

SEC. 712. The Secretary of Agriculture may authorize a State agency to use funds provided in this Act to exceed the maximum amount of liquid infant formula specified in 7 CFR 246.10 when issuing liquid infant formula to participants.

SEC. 713. None of the funds appropriated or otherwise made available by this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.

SEC. 714. (a) In the case of each program established or amended by the Food, Conservation, and Energy Act of 2008 (Public Law 110–246), other than by title I or subtitle A of title III of such Act, that is authorized or required to be carried out using funds of the Commodity Credit Corporation—

(1) such funds shall be available for salaries and related administrative expenses, including technical assistance, associated with the implementation of the program, without regard to the limitation on the total amount of allotments and fund transfers contained in section 11 of the Commodity Credit Corporation Charter Act (15 U.S.C. 714i); and

(2) the use of such funds for such purpose shall not be considered to be a fund transfer or allotment for purposes of applying the limitation on the total amount of allotments and fund transfers contained in such section.

(b) The authority provided by this section shall apply without limitation to programs that receive definite budget authority.

(c) Up to a total of \$50,000,000 may be used to carry out this section for programs that receive permanent indefinite authority.

SEC. 715. Sections 412(e)(1) and 501(d) of the Food for Peace Act (7 U.S.C. 1736f(e)(1) and 7 U.S.C. 1737(d), respectively), are amended by striking "amounts made available" and inserting "amounts appropriated".

SEC. 716. Of the funds made available by this Act, not more than \$2,900,000 shall be used to cover necessary expenses of activities related to all advisory committees, panels, commissions, and task forces of the Department of Agriculture, except for panels used to comply with negotiated rule makings and panels used to evaluate competitively awarded grants.

SEC. 717. None of the funds in this Act shall be available to pay indirect costs charged against any agricultural research, education, or extension grant awards issued by the National Institute of Food and Agriculture that exceed 30 percent of total Federal funds provided under each award: Provided, That notwithstanding section 1462 of the National Agricultural Research, Extension, and Teaching Policy Act of 1977 (7 U.S.C. 3310), funds provided by this Act for grants awarded competitively by the National Institute of Food and Agriculture shall be available to pay full allowable indirect costs for each grant awarded under section 9 of the Small Business Act (15 U.S.C. 638).

SEC. 718. The Secretary may reserve, through June 30, 2014, up to 5 percent of the funding available for the following items for projects in areas that are engaged in strategic regional development planning as defined by the Secretary: business and industry guaranteed loans; rural development loan fund; rural business and cooperative grants; rural economic development program; rural microenterprise program; rural energy for America program; value-added producer grants; broadband program; water and waste program; and rural community facilities program.

SEC. 719. Notwithstanding section 310B(g)(5) of the Consolidated Farm and Rural Development Act (7 U.S.C. 1932(g)(5)), the Secretary may assess a one-time fee for any guaranteed business and industry loan in an amount that does not exceed 3 percent of the guaranteed principal portion of the loan.

SEC. 720. None of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries and expenses of personnel to carry out the following: (a) a Conservation Stewardship Program as authorized by Chapter 2 of subtitle D of title XII of the Food Security Act of 1985, as amended (16 U.S.C. 3838d–3838i), to enroll in excess of 11,991,222 acres in the fiscal year 2014. Such program shall be permanently reduced by 777,778 acres; (b) an Agricultural Management Assistance Program as authorized by section 524 of the Federal Crop Insurance Act, as amended (7 U.S.C. 1524), in excess of \$2,500,000 for the Natural Resources Conservation Service. Funds exceeding this amount for fiscal year 2014 are hereby permanently cancelled; (c) an Environmental Quality Incentives Program as authorized by sections 1240–1240H of the Food Security Act of 1985, as amended (16 U.S.C. 3839aa–3839aa(8)), in excess of \$1,350,000,000. Funds exceeding this amount for fiscal year 2014 are hereby permanently cancelled; (d) a program authorized by section 14(h)(1) of the Watershed Protection and Flood Prevention Act (16 U.S.C. 1012(h)(1)). Of the funds available under such section for fiscal year 2014, \$165,000,000 are hereby permanently cancelled; (e) a Wildlife Habitat Incentives Program established under section 1240N of the Food Security Act of 1985, as amended (16 U.S.C. 3839bb–1), in excess of \$45,000,000. Funds exceeding this amount for fiscal year 2014 are hereby permanently cancelled; (f) a Farmland Protection Program as authorized by section 1238I of the Food Security Act of 1985 (16 U.S.C. 3838i) in excess of \$150,000,000. Funds exceeding this amount for fiscal year 2014 are hereby permanently cancelled.

SEC. 721. In addition to amounts otherwise made available by this Act, there is appropriated from the Commodity Credit Corporation to implement the Voluntary Public Access and Habitat Incentive Program (16 U.S.C. 3839bb–5), \$5,000,000, to remain available until expended.

SEC. 722. Of the unobligated balances in the Natural Resources Conservation Service, Resource Conservation and Development account, \$2,017,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

*SEC. 723. In carrying out subsection (h) of section 502 of the Housing Act of 1949, the Secretary may use the authority described in subsections (h) and (j) of section 538 of such Act.*

*SEC. 724. None of the funds appropriated or otherwise made available by this or any other Act shall be used to pay the salaries and expenses of personnel to carry out a program under subsection (b)(2)(A)(vi) of section 14222 of Public Law 110–246 in excess of \$981,000,000: Provided, That none of the funds made available in this or any other Act shall be used for salaries and expenses to carry out in this fiscal year section 19(i)(1)(E) of the Richard B. Russell National School Lunch Act, as amended, except in an amount that excludes the transfer of \$119,000,000 of the funds to be transferred under subsection (c) of section 14222 of Public Law 110–246, until October 1, 2014: Provided further, That \$119,000,000 made avail-*

*able October 1, 2014, to carry out Section 19(i)(1)(E) of the Richard B. Russell National School Lunch Act, as amended, shall be excluded from the limitation described in subsection (b)(2)(A)(vii) of section 14222 of Public Law 110–246: Provided further, That of the available unobligated balances under (b)(2)(A)(vi) of section 14222 of Public Law 110–246, \$166,000,000 are hereby permanently cancelled.*

*SEC. 725. None of the funds made available in this Act may be used to pay the salaries or expenses of personnel to—(1) inspect horses under section 3 of the Federal Meat Inspection Act (21 U.S.C. 603); (2) inspect horses under section 903 of the Federal Agriculture Improvement and Reform Act of 1996 (7 U.S.C. 1901 note; Public Law 104127); or (3) implement or enforce section 352.19 of title 9, Code of Federal Regulations.*



# DEPARTMENT OF COMMERCE

## DEPARTMENTAL MANAGEMENT

### Federal Funds

#### SALARIES AND EXPENSES

*For expenses necessary for the departmental management of the Department of Commerce provided for by law, including not to exceed \$4,500 for official reception and representation, \$59,595,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 13–0120–0–1–376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Executive direction .....                                     | 35          | 33      | 34        |
| 0002 Departmental staff services .....                             | 24          | 24      | 26        |
| 0799 Total direct obligations .....                                | 59          | 57      | 60        |
| 0801 Reimbursable program .....                                    | 78          | 95      | 98        |
| 0900 Total new obligations .....                                   | 137         | 152     | 158       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1           | 2       |           |
| 1011 Unobligated balance transfer from other accts [72–0306] ....  | 3           |         |           |
| 1011 Unobligated balance transfer from other accts [72–1037] ....  | 1           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           |         |           |
| 1050 Unobligated balance (total) .....                             | 6           | 2       |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 57          | 57      | 60        |
| 1160 Appropriation, discretionary (total) .....                    | 57          | 57      | 60        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 60          | 93      | 98        |
| 1701 Change in uncollected payments, Federal sources .....         | 17          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 77          | 93      | 98        |
| 1900 Budget authority (total) .....                                | 134         | 150     | 158       |
| 1930 Total budgetary resources available .....                     | 140         | 152     | 158       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 2           |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 35          | 36      | 27        |
| 3010 Obligations incurred, unexpired accounts .....                | 137         | 152     | 158       |
| 3011 Obligations incurred, expired accounts .....                  | 3           |         |           |
| 3020 Outlays (gross) .....   | –131        | –161    | –158      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –7          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 36          | 27      | 27        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –17         | –22     | –22       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –17         |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 12          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –22         | –22     | –22       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 18          | 14      | 5         |
| 3200 Obligated balance, end of year .....                          | 14          | 5       | 5         |

|  |     |     |     |
|--|-----|-----|-----|
| <b>Budget authority and outlays, net:</b>                            |     |     |     |
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                                   | 134 | 150 | 158 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....                  | 108 | 143 | 151 |
| 4011 Outlays from discretionary balances .....                       | 23  | 18  | 7   |
| 4020 Outlays, gross (total) .....                                    | 131 | 161 | 158 |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Federal sources .....   | –67 | –93 | –98 |
| 4033 Non-Federal sources .....                                       | –1  |     |     |
| 4040 Offsets against gross budget authority and outlays (total) .... | –68 | –93 | –98 |

|   |     |    |    |
|---|-----|----|----|
| Additional offsets against gross budget authority only:             |     |    |    |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –17 |    |    |
| 4052 Offsetting collections credited to expired accounts .....      | 8   |    |    |
| 4060 Additional offsets against budget authority only (total) ..... | –9  |    |    |
| 4070 Budget authority, net (discretionary) .....                    | 57  | 57 | 60 |
| 4080 Outlays, net (discretionary) .....                             | 63  | 68 | 60 |
| 4180 Budget authority, net (total) .....                            | 57  | 57 | 60 |
| 4190 Outlays, net (total) .....                                     | 63  | 68 | 60 |

The Salaries and Expenses account funds Executive Direction, which provides policy oversight for the Department, and Departmental Staff Services, which oversees the day-to-day operations of the Department. This Budget also includes funding for the BusinessUSA initiative.

**Reimbursable program.**—Provides a centralized collection source for special tasks or costs and their billing to users.

#### Object Classification (in millions of dollars)

| Identification code 13–0120–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 21          | 23      | 23        |
| 12.1 Civilian personnel benefits .....                          | 6           | 6       | 6         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 4           | 4       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 8           | 9       | 9         |
| 25.3 Other goods and services from Federal sources .....        | 17          | 11      | 13        |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 59          | 57      | 60        |
| 99.0 Reimbursable obligations .....                             | 78          | 95      | 98        |
| 99.9 Total new obligations .....                                | 137         | 152     | 158       |

#### Employment Summary

| Identification code 13–0120–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 151         | 154     | 157       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 57          | 56      | 57        |

## OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), \$30,489,600.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 13–0126–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 31          | 29      | 30        |
| 0801 Reimbursable program activity .....                        | 4           | 6       | 7         |
| 0809 Reimbursable program activities, subtotal .....            | 4           | 6       | 7         |
| 0900 Total new obligations .....                                | 35          | 35      | 37        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 8           | 7       | 7         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 27          | 27      | 30        |
| 1121 Appropriations transferred from other accts [13–1460] .... | 1           | 1       |           |
| 1121 Appropriations transferred from other accts [13–0450] .... | 1           | 1       |           |
| 1160 Appropriation, discretionary (total) .....                 | 29          | 29      | 30        |

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

| Identification code 13-0126-0-1-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Spending authority from offsetting collections, discretionary:                                      |             |         |           |
| 1700 Collected .....  | 4           | 5       | 5         |
| 1711 Spending authority from offsetting collections transferred from other accounts [13-1006] ..... | 1           | 1       | 2         |
| 1750 Spending auth from offsetting collections, disc (total) .....                                  | 5           | 6       | 7         |
| 1900 Budget authority (total) .....   | 34          | 35      | 37        |
| 1930 Total budgetary resources available .....  | 42          | 42      | 44        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 7           | 7       | 7         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 7           | 8       | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 35          | 35      | 37        |
| 3011 Obligations incurred, expired accounts .....   | 1           |         |           |
| 3020 Outlays (gross) .....  | -34         | -39     | -37       |
| 3041 Recoveries of prior year unpaid obligations, expired .....                                     | -1          |         |           |
| 3050 Unpaid obligations, end of year .....  | 8           | 4       | 4         |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                   | -2          | -2      | -2        |
| 3090 Uncollected pymts, Fed sources, end of year .....  | -2          | -2      | -2        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 5           | 6       | 2         |
| 3200 Obligated balance, end of year .....   | 6           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 34          | 35      | 37        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 25          | 32      | 34        |
| 4011 Outlays from discretionary balances .....  | 9           | 7       | 3         |
| 4020 Outlays, gross (total) .....   | 34          | 39      | 37        |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | -5          | -5      | -5        |
| Additional offsets against gross budget authority only:   |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....                                      | 1           |         |           |
| 4070 Budget authority, net (discretionary) .....  | 30          | 30      | 32        |
| 4080 Outlays, net (discretionary) .....   | 29          | 34      | 32        |
| 4180 Budget authority, net (total) .....  | 30          | 30      | 32        |
| 4190 Outlays, net (total) .....   | 29          | 34      | 32        |

The Office of Inspector General (OIG) promotes efficient and effective programs across the Department of Commerce through various analyses of bureau and Departmental programs and activities. It also works to prevent waste, fraud and abuse through audits, inspections and investigations related to Department of Commerce programs.

## Object Classification (in millions of dollars)

| Identification code 13-0126-0-1-376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 17          | 17      | 17        |
| 12.1 Civilian personnel benefits .....                   | 5           | 4       | 5         |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 3           | 3       | 3         |
| 25.3 Other goods and services from Federal sources ..... | 4           | 3       | 3         |
| 99.0 Direct obligations .....                            | 31          | 29      | 30        |
| 99.0 Reimbursable obligations .....                      | 4           | 6       | 7         |
| 99.9 Total new obligations .....                         | 35          | 35      | 37        |

## Employment Summary

| Identification code 13-0126-0-1-376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 146         | 131     | 144       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 6       | 14        |

## RENOVATION AND MODERNIZATION

For expenses necessary for the renovation and modernization of Department of Commerce facilities, \$14,803,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13-0123-0-1-376                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 5           | 6       | 15        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             |             | 1       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 1           | 1       |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 5           | 5       | 15        |
| 1160 Appropriation, discretionary (total) .....                   | 5           | 5       | 15        |
| 1930 Total budgetary resources available .....                    | 6           | 6       | 15        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 1           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 34          | 29      | 2         |
| 3010 Obligations incurred, unexpired accounts .....               | 5           | 6       | 15        |
| 3020 Outlays (gross) .....  | -9          | -33     | -13       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 29          | 2       | 4         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 34          | 29      | 2         |
| 3200 Obligated balance, end of year .....                         | 29          | 2       | 4         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 5           | 5       | 15        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 2           | 4       | 11        |
| 4011 Outlays from discretionary balances .....                    | 7           | 29      | 2         |
| 4020 Outlays, gross (total) .....                                 | 9           | 33      | 13        |
| 4180 Budget authority, net (total) .....                          | 5           | 5       | 15        |
| 4190 Outlays, net (total) .....                                   | 9           | 33      | 13        |

This account funds the Commerce Department's portion of expenses associated with renovating and modernizing the Herbert C. Hoover Building. The renovation will upgrade infrastructure, remove safety hazards, and improve energy efficiency. The General Services Administration (GSA) and Commerce are each responsible for certain aspects of the project's costs. Project funding for both GSA and Commerce should occur simultaneously so that design, installation, furnishing and office relocations can be coordinated.

## Object Classification (in millions of dollars)

| Identification code 13-0123-0-1-376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 1           | 5       | 14        |
| 25.3 Other goods and services from Federal sources ..... | 3           |         |           |
| 99.9 Total new obligations .....                         | 5           | 6       | 15        |



**Employment Summary**

| Identification code 13–0123–0–1–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 5           | 5       | 5         |

**WORKING CAPITAL FUND****Program and Financing** (in millions of dollars)

| Identification code 13–4511–0–4–376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Departmental staff services .....                             | 95          | 95      | 119       |
| 0802 Executive Direction .....                                     | 58          | 65      | 69        |
| 0900 Total new obligations .....                                   | 153         | 160     | 188       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 8           | 13      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 2           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 10          | 13      | .....     |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 157         | 147     | 188       |
| 1701 Change in uncollected payments, Federal sources .....         | –1          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 156         | 147     | 188       |
| 1930 Total budgetary resources available .....                     | 166         | 160     | 188       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 13          | .....   | .....     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 40          | 48      | .....     |
| 3010 Obligations incurred, unexpired accounts .....                | 153         | 160     | 188       |
| 3020 Outlays (gross) .....   | –143        | –208    | –188      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 48          | .....   | .....     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –1          | .....   | .....     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 1           | .....   | .....     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 39          | 48      | .....     |
| 3200 Obligated balance, end of year .....                          | 48          | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 156         | 147     | 188       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 117         | 147     | 188       |
| 4011 Outlays from discretionary balances .....                     | 26          | 61      | .....     |
| 4020 Outlays, gross (total) .....                                  | 143         | 208     | 188       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –157        | –147    | –188      |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | 1           | .....   | .....     |
| 4080 Outlays, net (discretionary) .....                            | –14         | 61      | .....     |
| 4190 Outlays, net (total) .....                                    | –14         | 61      | .....     |

This fund finances, on a reimbursable basis, Department-wide administrative functions that are more efficiently performed on a centralized basis, including general counsel, human resources, financial, procurement, and security services.

**Object Classification** (in millions of dollars)

| Identification code 13–4511–0–4–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 61          | 64      | 69        |
| 12.1 Civilian personnel benefits .....                          | 18          | 18      | 20        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 6           | 8       | 8         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | .....       | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 36          | 38      | 59        |

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 25.3 | Other goods and services from Federal sources ..... | 16  | 18  | 18  |
| 26.0 | Supplies and materials .....                        | 3   | 3   | 3   |
| 31.0 | Equipment .....                                     | 10  | 7   | 7   |
| 99.9 | Total new obligations .....                         | 153 | 160 | 188 |

**Employment Summary**

| Identification code 13–4511–0–4–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 604         | 542     | 577       |

**FRANCHISE FUND****Program and Financing** (in millions of dollars)

| Identification code 13–4564–0–4–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 3           | 3       | 3         |

This fund is to promote entrepreneurial business activities on a fully competitive and cost-reimbursable basis to Federal customers.

**EMERGENCY STEEL, OIL, AND GAS GUARANTEED LOAN PROGRAM ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 13–0122–0–1–376                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 1           | .....   | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –1          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                      | –1          | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | –1          | .....   | .....     |
| 4180 Budget authority, net (total) .....                             | –1          | .....   | .....     |

As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses for this program, as well as the subsidy costs associated with the loan guarantees. For presentation purposes, data for the Emergency Oil and Gas Guaranteed Loan Program, which expired in 2001, was merged into the Steel account. The Emergency Steel Guaranteed Loan Program expired in 2011.

**Trust Funds****GIFTS AND BEQUESTS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 13–8501–0–7–376        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          | .....       | .....   | .....     |
| Receipts:                                  |             |         |           |
| 0220 Gifts and Bequests .....              | 5           | 7       | 5         |
| 0400 Total: Balances and collections ..... | 5           | 7       | 5         |
| Appropriations:                            |             |         |           |
| 0500 Gifts and Bequests .....              | –5          | –7      | –5        |
| 0799 Balance, end of year .....            | .....       | .....   | .....     |

GIFTS AND BEQUESTS—Continued  
Program and Financing (in millions of dollars)

| Identification code 13–8501–0–7–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 4           | 8       | 5         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 5           | 7       | 5         |
| 1260 Appropriations, mandatory (total) .....          | 5           | 7       | 5         |
| 1930 Total budgetary resources available .....        | 5           | 8       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 4           | 8       | 5         |
| 3020 Outlays (gross) .....                            | –4          | –7      | –6        |
| 3050 Unpaid obligations, end of year .....            | 1           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 2         |
| 3200 Obligated balance, end of year .....             | 1           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 5           | 7       | 5         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 5       | 4         |
| 4101 Outlays from mandatory balances .....            | 4           | 2       | 2         |
| 4110 Outlays, gross (total) .....                     | 4           | 7       | 6         |
| 4180 Budget authority, net (total) .....              | 5           | 7       | 5         |
| 4190 Outlays, net (total) .....                       | 4           | 7       | 6         |

The Secretary of Commerce is authorized to accept, hold, administer, and utilize gifts and bequests of property, both real and personal, for the purpose of aiding or facilitating the work of the Department of Commerce. Property and the proceeds thereof are used in accordance with the terms of the gift or bequest.

## Object Classification (in millions of dollars)

| Identification code 13–8501–0–7–376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.2 Other services from non-Federal sources .....       | 1           | 2       | 5         |
| 25.3 Other goods and services from Federal sources ..... | 3           | 6       |           |
| 99.9 Total new obligations .....                         | 4           | 8       | 5         |

## Program and Financing (in millions of dollars)

| Identification code 13–0125–0–1–452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program .....  | 40          | 38      | 39        |
| 0801 Reimbursable program .....                                    |             | 1       | 1         |
| 0900 Total new obligations .....                                   | 40          | 39      | 40        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3           | 5       | 5         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 38          | 38      | 39        |
| 1121 Appropriations transferred from other accts [13–2050] ....    | 3           |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 41          | 38      | 39        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 1       | 1         |
| 1701 Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 42          | 39      | 40        |
| 1930 Total budgetary resources available .....                     | 45          | 44      | 45        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 6           | 4       | 4         |
| 3010 Obligations incurred, unexpired accounts .....                | 40          | 39      | 40        |
| 3020 Outlays (gross) .....   | –42         | –39     | –40       |
| 3050 Unpaid obligations, end of year .....                         | 4           | 4       | 4         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –1          | –1      | –1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –1          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –1          | –1      | –1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 5           | 3       | 3         |
| 3200 Obligated balance, end of year .....                          | 3           | 3       | 3         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 42          | 39      | 40        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 35          | 35      | 36        |
| 4011 Outlays from discretionary balances .....                     | 7           | 4       | 4         |
| 4020 Outlays, gross (total) .....                                  | 42          | 39      | 40        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –1          | –1      | –1        |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | –1          |         |           |
| 4052 Offsetting collections credited to expired accounts .....     | 1           |         |           |
| 4070 Budget authority, net (discretionary) .....                   | 41          | 38      | 39        |
| 4080 Outlays, net (discretionary) .....                            | 41          | 38      | 39        |
| 4180 Budget authority, net (total) .....                           | 41          | 38      | 39        |
| 4190 Outlays, net (total) .....                                    | 41          | 38      | 39        |

The administration and oversight of the Economic Development Administration's programs are carried out utilizing a network of headquarters and regional personnel who work with local organizations and leaders to identify and invest in projects that demonstrate potential for the greatest economic impact in distressed communities.

**Direct program.**—These activities include pre-application assistance and development, application processing, and project monitoring, as well as general support functions such as economic development research, technical assistance, information dissemination, legal and environmental compliance, financial management, budgeting, and debt management.

**Reimbursable program.**—EDA provides grant review and processing services to other Federal agencies on a reimbursable basis. Funds received cover the cost of performing this work.

## ECONOMIC DEVELOPMENT ADMINISTRATION

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of administering the economic development assistance programs as provided for by law, \$38,913,000: Provided, That these funds may be used to monitor projects approved pursuant to title I of the Public Works Employment Act of 1976, title II of the Trade Act of 1974, and the Community Emergency Drought Relief Act of 1977.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Object Classification (in millions of dollars)

| Identification code 13–0125–0–1–452                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 20          | 20      | 21        |
| 12.1 Civilian personnel benefits .....                   | 5           | 6       | 6         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                        | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....       | 4           | 3       | 3         |
| 25.3 Other goods and services from Federal sources ..... | 6           | 5       | 5         |
| 99.0 Direct obligations .....                            | 39          | 38      | 39        |
| 99.0 Reimbursable obligations .....                      | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 40          | 39      | 40        |

## Employment Summary

| Identification code 13–0125–0–1–452                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 201         | 205     | 207       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

## ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS

For grants for economic development assistance as provided by the Public Works and Economic Development Act of 1965 and for trade adjustment assistance, \$282,000,000 to remain available until expended: Provided, That, notwithstanding section 27(d)(7) of Stevenson-Wydler Technology Innovation Act of 1980 (15 U.S.C. 3722(d)(7)), amounts made available in prior appropriations Acts for the purpose of guarantying loans for science park infrastructure shall be available to enter into guarantees of such loans after September 30, 2013.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13–2050–0–1–452                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0001 Planning grants .....  | 29          | 29      | 27        |
| 0002 Technical assistance grants .....                                | 13          | 12      | 12        |
| 0003 Public works grants .....  | 129         | 149     | 71        |
| 0004 Economic adjustment grants .....                                 | 39          | 61      | 76        |
| 0005 Research Grants .....  | 1           | 1       | 1         |
| 0009 Trade Adjustment Assistance .....                                | 17          | 16      | 10        |
| 0015 Regional Export Challenge .....                                  |             |         | 12        |
| 0016 Disaster Recovery .....  | 69          | 157     |           |
| 0017 Investing in Manufacturing Communities .....                     |             |         | 113       |
| 0799 Total direct obligations .....                                   | 297         | 425     | 322       |
| 0801 Reimbursable program .....                                       |             | 30      | 30        |
| 0900 Total new obligations .....                                      | 297         | 455     | 352       |
| <b>Budgetary Resources:</b>   |             |         |           |
| <b>Unobligated balance:</b>   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 31          | 185     | 21        |
| 1021 Recoveries of prior year unpaid obligations .....                | 34          | 40      | 40        |
| 1050 Unobligated balance (total) .....                                | 65          | 225     | 61        |
| <b>Budget authority:</b>  |             |         |           |
| <b>Appropriations, discretionary:</b>                                 |             |         |           |
| 1100 Appropriation .....  | 420         | 221     | 282       |
| 1120 Appropriations transferred to other accts [13–0125] .....        | –3          |         |           |
| 1160 Appropriation, discretionary (total) .....                       | 417         | 221     | 282       |
| <b>Spending authority from offsetting collections, discretionary:</b> |             |         |           |
| 1700 Collected .....  |             | 30      | 30        |
| 1750 Spending auth from offsetting collections, disc (total) .....    |             | 30      | 30        |
| 1900 Budget authority (total) .....                                   | 417         | 251     | 312       |
| 1930 Total budgetary resources available .....                        | 482         | 476     | 373       |
| <b>Memorandum (non-add) entries:</b>                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                 | 185         | 21      | 21        |

## Change in obligated balance:

|   |       |       |       |
|---|-------|-------|-------|
| <b>Unpaid obligations:</b>  |       |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,245 | 1,112 | 1,021 |
| 3010 Obligations incurred, unexpired accounts .....               | 297   | 455   | 352   |
| 3020 Outlays (gross) .....  | –393  | –476  | –390  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –34   | –40   | –40   |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –3    | –30   | –30   |
| 3050 Unpaid obligations, end of year .....                        | 1,112 | 1,021 | 913   |
| <b>Memorandum (non-add) entries:</b>                              |       |       |       |
| 3100 Obligated balance, start of year .....                       | 1,245 | 1,112 | 1,021 |
| 3200 Obligated balance, end of year .....                         | 1,112 | 1,021 | 913   |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| <b>Discretionary:</b>                                      |     |     |     |
| 4000 Budget authority, gross .....                         | 417 | 251 | 312 |
| <b>Outlays, gross:</b>                                     |     |     |     |
| 4010 Outlays from new discretionary authority .....        |     | 41  | 44  |
| 4011 Outlays from discretionary balances .....             | 393 | 435 | 346 |
| 4020 Outlays, gross (total) .....                          | 393 | 476 | 390 |
| <b>Offsets against gross budget authority and outlays:</b> |     |     |     |
| <b>Offsetting collections (collected) from:</b>            |     |     |     |
| 4030 Federal sources .....                                 |     | –30 | –30 |
| 4180 Budget authority, net (total) .....                   | 417 | 221 | 282 |
| 4190 Outlays, net (total) .....                            | 393 | 446 | 360 |

**Economic Development Assistance Programs (EDAP).**—Economic Development Administration (EDA) investments are focused in six broad development assistance programs, which include: Economic Adjustment Assistance (EAA), Partnership Planning, Technical Assistance, Public Works, Research and Evaluation, and Trade Adjustment Assistance. EDA provides grants within each of these areas to generate or retain jobs, attract new industry and private sector investment, encourage business expansion, and serve as a backstop to sudden and severe economic impacts. These investments assist communities characterized by substantial and persistent unemployment levels, low income and population growth, loss of jobs, out-migration, and long-term economic deterioration. EDA works in partnership with other Federal agencies, State and local governments, regional economic development districts, public and private non-profit organizations, Native American Tribes, and Alaska Native Villages to accomplish its mission.

In 2014, EDA will continue to place funding priority on investments that drive economic growth, enhance regional competitiveness, and diversify the regional and local economy while also seeking to target funds to the Nation's most distressed communities. Specifically, EDA is focused on accelerating the transition to the 21st Century economy by supporting sustainable job growth and competitive communities throughout the United States. Under the authorities provided by the America COMPETES Reauthorization Act of 2010, the Budget includes \$113 million for the Investing in Manufacturing Communities Fund. The Fund is intended to leverage local resources in order to increase the Nation's manufacturing base by attracting inbound investment from U.S. and global manufacturers. Projects may include, for example, commercial manufacturing parks or manufacturing-focused research and training centers and will be designed to attract manufacturers as well as their supply chain of parts suppliers, fabricators, and distributors. Funds will be awarded on a competitive basis to communities to first develop a strategic plan and then to provide capital to attract manufacturers. It is expected that grantees will leverage existing suppliers and local assets, such as infrastructure, research and education institutions, and training centers to create an innovation ecosystem where manufacturers can thrive in global competition. Additionally, under authorities provided in the America COMPETES Reauthorization Act of 2010, the Budget includes \$12 million in EDA for the new Regional Export Challenge program. This is a new grant program that will support those regions that develop

ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS—Continued  
and implement sustainable export action plans to identify and support firms and sectors with the greatest export potential.

*Performance measures.*—A detailed presentation of the performance outcomes, measures, and targets is found in the Department's 2014 budget submission.

**Object Classification** (in millions of dollars)

| Identification code 13–2050–0–1–452                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 297         | 425     | 322       |
| 99.0 Reimbursable obligations .....                                 |             | 30      | 30        |
| 99.9 Total new obligations .....                                    | 297         | 455     | 352       |

**ECONOMIC DEVELOPMENT ASSISTANCE PROGRAMS FINANCING ACCOUNT**

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 13–4356–0–3–452                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....      | 70          | 70      |           |
| 2121 Limitation available from carry-forward .....                     |             | 70      | 140       |
| 2143 Uncommitted limitation carried forward .....                      | –70         | –140    | –140      |
| 2150 Total guaranteed loan commitments .....                           |             |         |           |

**ECONOMIC DEVELOPMENT REVOLVING FUND LIQUIDATING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 13–4406–0–3–452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....  |             | 1       | 1         |
| 0900 Total new obligations (object class 43.0) .....  |             | 1       | 1         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 5           | 1       |           |
| 1022 Capital transfer of unobligated balances to general fund .....                           | –5          | –1      |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 1           | 2       | 2         |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... |             | –1      | –1        |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....  | 1           | 1       | 1         |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 1           |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 1           |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             | 1       | 1         |
| 3020 Outlays (gross) .....  | –1          | –1      | –1        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 1           |         |           |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 1           | 1       | 1         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   |             | 1       | 1         |
| 4101 Outlays from mandatory balances .....  | 1           |         |           |
| 4110 Outlays, gross (total) .....   | 1           | 1       | 1         |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | –1          | –2      | –2        |
| 4180 Budget authority, net (total) .....  |             | –1      | –1        |
| 4190 Outlays, net (total) .....   |             | –1      | –1        |

**Status of Direct Loans** (in millions of dollars)

| Identification code 13–4406–0–3–452               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 5           | 3       | 1         |
| 1251 Repayments: Repayments and prepayments ..... | –1          | –1      |           |
| 1263 Write-offs for default: Direct loans .....   | –1          | –1      | –1        |
| 1290 Outstanding, end of year .....               | 3           | 1       |           |

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992 for these programs. This includes: interest on loans outstanding; principal repayments from loans made under the Area Redevelopment Act, the Public Works and Economic Development Act of 1965 as amended, and the Trade Act of 1974; and proceeds from the sale of collateral.

**Balance Sheet** (in millions of dollars)

| Identification code 13–4406–0–3–452              | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>                                   |             |             |
| 1601 Direct loans, gross .....                   | 5           | 3           |
| 1999 Total assets .....                          | 5           | 3           |
| <b>LIABILITIES:</b>                              |             |             |
| 2102 Federal liabilities: Interest payable ..... | 5           | 3           |
| 4999 Total liabilities and net position .....    | 5           | 3           |

**BUREAU OF THE CENSUS**

**Federal Funds**

**SALARIES AND EXPENSES**

*For expenses necessary for collecting, compiling, analyzing, preparing, and publishing statistics, provided for by law, \$256,048,000: Provided, That, from amounts provided herein, funds may be used for promotion, outreach, and marketing activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 13–0401–0–1–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Current economic statistics .....                | 178         | 179     | 183       |
| 0002 Current demographic statistics .....             | 104         | 105     | 101       |
| 0003 Survey development and data services .....       | 2           | 3       | 2         |
| 0900 Total new obligations .....                      | 284         | 287     | 286       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 2       |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 253         | 255     | 256       |
| 1160 Appropriation, discretionary (total) .....       | 253         | 255     | 256       |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 30          | 30      | 30        |
| 1260 Appropriations, mandatory (total) .....          | 30          | 30      | 30        |
| 1900 Budget authority (total) .....                   | 283         | 285     | 286       |
| 1930 Total budgetary resources available .....        | 286         | 287     | 286       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 30          | 37      | 15        |
| 3010 Obligations incurred, unexpired accounts .....   | 284         | 287     | 286       |

|   |  |      |      |      |
|---|--|------|------|------|
| 3020                                      | Outlays (gross) .....                          | -277 | -309 | -286 |
| 3050                                      | Unpaid obligations, end of year .....          | 37   | 15   | 15   |
|   | Memorandum (non-add) entries:                  |      |      |      |
| 3100                                      | Obligated balance, start of year .....         | 30   | 37   | 15   |
| 3200                                      | Obligated balance, end of year .....           | 37   | 15   | 15   |
| <b>Budget authority and outlays, net:</b> |  |      |      |      |
| Discretionary:                            |  |      |      |      |
| 4000                                      | Budget authority, gross .....                  | 253  | 255  | 256  |
|   | Outlays, gross:                                |      |      |      |
| 4010                                      | Outlays from new discretionary authority ..... | 224  | 242  | 243  |
| 4011                                      | Outlays from discretionary balances .....      | 23   | 37   | 13   |
| 4020                                      | Outlays, gross (total) .....                   | 247  | 279  | 256  |
| Mandatory:                                |  |      |      |      |
| 4090                                      | Budget authority, gross .....                  | 30   | 30   | 30   |
|   | Outlays, gross:                                |      |      |      |
| 4100                                      | Outlays from new mandatory authority .....     | 29   | 30   | 30   |
| 4101                                      | Outlays from mandatory balances .....          | 1    |      |      |
| 4110                                      | Outlays, gross (total) .....                   | 30   | 30   | 30   |
| 4180                                      | Budget authority, net (total) .....            | 283  | 285  | 286  |
| 4190                                      | Outlays, net (total) .....                     | 277  | 309  | 286  |

The activities of this appropriation provide for the collection, compilation, analysis, and publication of a broad range of current economic, demographic, and social statistics.

**Current economic statistics.**—These programs provide public and private sector data users with relevant, accurate, and timely national statistical profiles of every sector of the U.S. economy. The 2014 amount includes \$3.8 million to strengthen program evaluation capabilities at the Census Bureau and provide greater access to Federal statistical and administrative data for use by researchers at the Bureau's Research Data Centers.

**Current demographic statistics.**—These programs provide social and economic information on monthly, quarterly, and annual bases to inform effective public and private decision-making.

**Survey Development and Data Services.**—This program funds research into survey design and estimation, time series analysis, error reduction, privacy protection, and special experimental and evaluation studies.

**Survey of program dynamics.**—This program is supported by mandatory appropriations provided by the Personal Responsibility and Work Opportunity Act of 1996 to provide data necessary to determine the impact of the Act and other income security provisions on program participants.

**State children's health insurance program (SCHIP).**—Mandatory appropriations provided by the Medicare, Medicaid, and State Children's Health Insurance Program Balanced Budget Refinement Act of 1999 support data collection by the Current Population Survey (CPS) on the number of low-income children who do not have health insurance coverage. Data from this enhanced survey are used in the formula to allocate funds to States under the SCHIP program.

**Performance measures and program information.**—A detailed presentation and description of each program, its performance outcome and related measures, and targets is found in the Department's 2014 budget submission.

#### Object Classification (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 13-0401-0-1-376             | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                             |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 119         | 123     | 122       |
| 11.3 Other than full-time permanent .....       | 19          | 17      | 16        |
| 11.5 Other personnel compensation .....         | 4           | 2       | 2         |
| 11.9 Total personnel compensation .....         | 142         | 142     | 140       |
| 12.1 Civilian personnel benefits .....          | 41          | 43      | 43        |
| 13.0 Benefits for former personnel .....        | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons ..... | 7           | 7       | 7         |
| 22.0 Transportation of things .....             |             | 1       | 1         |
| 23.1 Rental payments to GSA .....               | 10          | 11      | 11        |

|   |     |     |     |
|---|-----|-----|-----|
| 23.2 Rental payments to others .....                            | 3   |     |     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5   | 4   | 4   |
| 24.0 Printing and reproduction .....                            |     | 1   | 1   |
| 25.1 Advisory and assistance services .....                     | 15  | 20  | 20  |
| 25.2 Other services from non-Federal sources .....              | 8   | 24  | 22  |
| 25.3 Other goods and services from Federal sources .....        | 12  | 12  | 13  |
| 25.4 Operation and maintenance of facilities .....              | 4   | 3   | 3   |
| 25.5 Research and development contracts .....                   | 4   | 1   | 2   |
| 25.7 Operation and maintenance of equipment .....               | 24  | 12  | 13  |
| 26.0 Supplies and materials .....                               | 5   | 2   | 2   |
| 31.0 Equipment .....  | 3   | 3   | 3   |
| 99.9 Total new obligations .....                                | 284 | 287 | 286 |

#### Employment Summary

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 13-0401-0-1-376                        | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment ..... | 1,995       | 2,036   | 1,981     |

#### PERIODIC CENSUSES AND PROGRAMS

*For necessary expenses to collect and publish statistics for periodic censuses and programs provided for by law, \$726,436,000, to remain available until September 30, 2015: Provided, That from amounts provided herein, funds may be used for promotion, outreach, and marketing activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 13-0450-0-1-376                                | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Economic censuses .....                                       | 113         | 139     | 118       |
| 0002 Census of governments .....                                   | 11          | 11      | 10        |
| 0006 Intercensal demographic estimates .....                       | 11          | 10      | 10        |
| 0009 2010 decennial census .....                                   | 392         | 298     |           |
| 0010 2020 decennial census .....                                   | 63          | 93      | 486       |
| 0011 Demographic surveys sample redesign .....                     | 11          | 11      | 10        |
| 0013 Geographic support .....                                      | 63          | 73      | 56        |
| 0014 Data processing .....   | 33          | 33      | 37        |
| 0100 Total direct program .....                                    | 697         | 668     | 727       |
| 0900 Total new obligations .....                                   | 697         | 668     | 727       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 18          | 13      |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 4           |         |           |
| 1050 Unobligated balance (total) .....                             | 22          | 13      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 635         | 639     | 727       |
| 1120 Appropriations transferred to other accts [13-0126] .....     | -1          | -1      |           |
| 1121 Appropriations transferred from other accts [13-4512] .....   | 55          | 17      |           |
| 1160 Appropriation, discretionary (total) .....                    | 689         | 655     | 727       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 2           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2           |         |           |
| 1900 Budget authority (total) .....                                | 691         | 655     | 727       |
| 1930 Total budgetary resources available .....                     | 713         | 668     | 727       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -3          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 13          |         |           |

#### Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 328  | 216  | 142  |
| 3010 Obligations incurred, unexpired accounts .....               | 697  | 668  | 727  |
| 3011 Obligations incurred, expired accounts .....                 | 1    |      |      |
| 3020 Outlays (gross) .....  | -769 | -742 | -735 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -4   |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -37  |      |      |
| 3050 Unpaid obligations, end of year .....                        | 216  | 142  | 134  |

PERIODIC CENSUSES AND PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 13–0450–0–1–376                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                    | 328         | 216     | 142       |
| 3200  | Obligated balance, end of year .....                      | 216         | 142     | 134       |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                             | 691         | 655     | 727       |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....            | 577         | 517     | 594       |
| 4011  | Outlays from discretionary balances .....                 | 192         | 225     | 141       |
| 4020  | Outlays, gross (total) .....                              | 769         | 742     | 735       |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....                                     | -4          |         |           |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4052  | Offsetting collections credited to expired accounts ..... | 2           |         |           |
| 4070  | Budget authority, net (discretionary) .....               | 689         | 655     | 727       |
| 4080  | Outlays, net (discretionary) .....                        | 765         | 742     | 735       |
| 4180  | Budget authority, net (total) .....                       | 689         | 655     | 727       |
| 4190  | Outlays, net (total) .....                                | 765         | 742     | 735       |

This appropriation funds legislatively mandated periodic economic and demographic censuses and other authorized activities. Major programs include the five-year economic census and census of governments, and the decennial census. In addition, other programs provide annual population estimates, updated survey sample designs, and bureau-wide geographic and data processing systems and support.

**Economic Census.**—The economic census is integral to the Bureau of Economic Analysis (BEA) estimates of gross domestic product (GDP) and industry inputs and outputs. It provides decision-makers with comprehensive, detailed, and authoritative facts about the structure and functioning of the U.S. economy. Activity in 2014 will focus on completing data collection, reviewing industry analyses, and reviewing and publishing the advance report and 70 percent of the industry reports. Activity in 2014 will also include the continued data collection and review for the Survey of Business Owners.

**Census of Governments.**—This program is also integral to the BEA's estimates of GDP. It is the only source of comprehensive and uniformly classified data on the economic activities of more than 90,000 State and local governments, which account for about 12 percent of GDP and nearly 16 percent of the U.S. workforce. 2014 is the final year in the five-year cycle of the 2012 Census of Governments. Activities will focus on completing data processing, developing data products, and disseminating information from the census.

**Intercensal demographic estimates.**—In years between decennial censuses, this program develops annual estimates of the population of the Nation, States, metropolitan areas, counties and functioning governmental units. These data are used for a variety of purposes including the allocation of hundreds of billions of dollars in Federal funds, as controls for a variety of federally sponsored surveys, as denominators for vital statistics and other health and economic indicators, and for a variety of Federal, State, and private program planning needs.

**2020 Decennial Census.**—2014 is the third year of a three-year research and testing phase of the 2020 Census, and the proposed 2014 amount expands on and supports the research and testing already underway. 2014 activities will support the research and testing infrastructure, allowing the Census Bureau to effectively test (1) new enumeration methods, including research into the use of administrative records to support and augment response, (2) new processes to support field operations, (3) more cost-effect-

ive IT systems, and (4) methods that will supplement research being carried out in the geographic support program, discussed below. By the end of 2014, preliminary design decisions for the 2020 Census will be made in preparation for acquisitions beginning in 2015. In 2014, the funding for the American Community Survey (ACS) will be transferred from the 2010 Decennial Program to the 2020 Decennial Census Program. The ACS, sent monthly to a small percentage of the population, collects detailed information on the characteristics of the population and housing units on an ongoing basis.

**Demographic Surveys Sample Design.**—The demographic surveys sample redesign activity updates the samples for the major recurring household surveys, to reflect America's mobile population and complex socioeconomic environment.

**Geographic Support.**—The goal of the geographic support program is to determine the correct location of every residential and business establishment address in the U.S. and its Territories. Major components include the Master Address File (MAF), a geographically-assigned address list for the Nation, and the Topologically Integrated Geographic Encoding and Referencing (TIGER) database, which provides maps and geographic information for data tabulation. Together, they provide essential information and products critical for conducting many of the Census Bureau's programs. The 2014 amount reflects a \$10 million reduction due to the cancellation of the 2014 Boundary and Annexation Survey and reduced support for partnership activities and MAF analysis.

**Data Processing Systems.**—The objective of the Data Processing Systems activity is to provide day-to-day information technology support for all program areas of the Census Bureau.

**Performance measures and program information.**—A detailed presentation and description of each program, its performance outcome and related measures, and targets is found in the Department's 2014 budget submission.

## Object Classification (in millions of dollars)

| Identification code 13–0450–0–1–376 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 237         | 245     | 242       |
| 11.3                                | Other than full-time permanent .....                       | 57          | 57      | 58        |
| 11.5                                | Other personnel compensation .....                         | 8           | 4       | 4         |
| 11.9                                | Total personnel compensation .....                         | 302         | 306     | 304       |
| 12.1                                | Civilian personnel benefits .....                          | 88          | 91      | 96        |
| 13.0                                | Benefits for former personnel .....                        | 3           | 3       | 2         |
| 21.0                                | Travel and transportation of persons .....                 | 21          | 20      | 19        |
| 22.0                                | Transportation of things .....                             | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 31          | 26      | 27        |
| 23.2                                | Rental payments to others .....                            | 3           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 25          | 24      | 22        |
| 24.0                                | Printing and reproduction .....                            | 8           | 4       | 5         |
| 25.1                                | Advisory and assistance services .....                     | 63          | 65      | 34        |
| 25.2                                | Other services from non-Federal sources .....              | 18          | 16      | 65        |
| 25.3                                | Other goods and services from Federal sources .....        | 29          | 27      | 40        |
| 25.4                                | Operation and maintenance of facilities .....              | 10          | 9       | 12        |
| 25.5                                | Research and development contracts .....                   | 1           | 3       | 10        |
| 25.7                                | Operation and maintenance of equipment .....               | 73          | 52      | 69        |
| 25.8                                | Subsistence and support of persons .....                   | 1           | 1       | 1         |
| 26.0                                | Supplies and materials .....                               | 11          | 5       | 4         |
| 31.0                                | Equipment .....  | 7           | 14      | 15        |
| 99.0                                | Direct obligations .....                                   | 695         | 668     | 727       |
| 99.0                                | Reimbursable obligations .....                             | 2           |         |           |
| 99.9                                | Total new obligations .....                                | 697         | 668     | 727       |

## Employment Summary

| Identification code 13-0450-0-1-376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4,577       | 4,659   | 4,841     |

## CENSUS WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

| Identification code 13-4512-0-4-376                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Current economic statistics .....                               | 190         | 191     | 180       |
| 0802 Current demographic statistics .....                            | 353         | 365     | 302       |
| 0803 Other .....   | 18          | 13      | 14        |
| 0804 Decennial census .....  | 253         | 224     | 247       |
| 0900 Total new obligations .....                                     | 814         | 793     | 743       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 295         | 261     | 243       |
| 1021 Recoveries of prior year unpaid obligations .....               | 20          | 10      | 10        |
| 1050 Unobligated balance (total) .....                               | 315         | 271     | 253       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1120 Appropriations transferred to other accts [13-0450] .....       | -55         | -17     | .....     |
| 1160 Appropriation, discretionary (total) .....                      | -55         | -17     | .....     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 816         | 782     | 721       |
| 1701 Change in uncollected payments, Federal sources .....           | -1          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 815         | 782     | 721       |
| 1900 Budget authority (total) .....                                  | 760         | 765     | 721       |
| 1930 Total budgetary resources available .....                       | 1,075       | 1,036   | 974       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 261         | 243     | 231       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 257         | 199     | 108       |
| 3010 Obligations incurred, unexpired accounts .....                  | 814         | 793     | 743       |
| 3020 Outlays (gross) .....   | -852        | -874    | -727      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -20         | -10     | -10       |
| 3050 Unpaid obligations, end of year .....                           | 199         | 108     | 114       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -54         | -53     | -53       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 1           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -53         | -53     | -53       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 203         | 146     | 55        |
| 3200 Obligated balance, end of year .....                            | 146         | 55      | 61        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 760         | 765     | 721       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 2           | 704     | 649       |
| 4011 Outlays from discretionary balances .....                       | 850         | 170     | 78        |
| 4020 Outlays, gross (total) .....                                    | 852         | 874     | 727       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -816        | -777    | -711      |
| 4033 Non-Federal sources .....                                       | .....       | -5      | -10       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -816        | -782    | -721      |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 1           | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                     | -55         | -17     | .....     |
| 4080 Outlays, net (discretionary) .....                              | 36          | 92      | 6         |
| 4180 Budget authority, net (total) .....                             | -55         | -17     | .....     |
| 4190 Outlays, net (total) .....                                      | 36          | 92      | 6         |

The Working Capital Fund finances, on a reimbursable basis, functions within the Census Bureau that are more efficiently and economically performed on a centralized basis. The Fund

also finances reimbursable work that the Census Bureau performs for other public, including Federal, and private entities.

## Object Classification (in millions of dollars)

| Identification code 13-4512-0-4-376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 274         | 278     | 271       |
| 11.3 Other than full-time permanent .....                       | 86          | 82      | 75        |
| 11.5 Other personnel compensation .....                         | 18          | 14      | 4         |
| 11.9 Total personnel compensation .....                         | 378         | 374     | 350       |
| 12.1 Civilian personnel benefits .....                          | 104         | 107     | 103       |
| 13.0 Benefits for former personnel .....                        | 2           | 6       | 4         |
| 21.0 Travel and transportation of persons .....                 | 37          | 43      | 22        |
| 22.0 Transportation of things .....                             | 3           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 58          | 69      | 63        |
| 23.2 Rental payments to others .....                            | 11          | .....   | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 24          | 19      | 15        |
| 24.0 Printing and reproduction .....                            | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 27          | 28      | 15        |
| 25.2 Other services from non-Federal sources .....              | 23          | 24      | 19        |
| 25.3 Other goods and services from Federal sources .....        | 45          | 40      | 48        |
| 25.4 Operation and maintenance of facilities .....              | 16          | 19      | 20        |
| 25.5 Research and development contracts .....                   | 2           | 1       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 60          | 29      | 56        |
| 25.8 Subsistence and support of persons .....                   | 3           | 3       | 2         |
| 26.0 Supplies and materials .....                               | 10          | 11      | 5         |
| 31.0 Equipment .....  | 8           | 14      | 11        |
| 99.9 Total new obligations .....                                | 814         | 793     | 743       |

## Employment Summary

| Identification code 13-4512-0-4-376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 2,983       | 3,412   | 2,866     |

## ECONOMICS AND STATISTICS ADMINISTRATION

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses, as authorized by law, of economic and statistical analysis programs of the Department of Commerce, \$104,048,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13-1500-0-1-376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Bureau of Economic Analysis .....                             | 93          | 93      | 100       |
| 0002 Policy support .....  | 4           | 4       | 4         |
| 0799 Total direct obligations .....                                | 97          | 97      | 104       |
| 0801 Reimbursable .....  | 7           | 8       | 6         |
| 0900 Total new obligations .....                                   | 104         | 105     | 110       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 1           | .....   | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 96          | 97      | 104       |
| 1160 Appropriation, discretionary (total) .....                    | 96          | 97      | 104       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 7           | 8       | 6         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 7           | 8       | 6         |
| 1900 Budget authority (total) .....                                | 103         | 105     | 110       |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 13–1500–0–1–376                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1930 Total budgetary resources available .....                    | 104         | 105     | 110       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 15          | 18      | 12        |
| 3010 Obligations incurred, unexpired accounts .....               | 104         | 105     | 110       |
| 3020 Outlays (gross) .....  | –100        | –111    | –110      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 18          | 12      | 12        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 15          | 18      | 12        |
| 3200 Obligated balance, end of year .....                         | 18          | 12      | 12        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 103         | 105     | 110       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 89          | 93      | 98        |
| 4011 Outlays from discretionary balances .....                    | 11          | 18      | 12        |
| 4020 Outlays, gross (total) .....                                 | 100         | 111     | 110       |
| Offsets against gross budget authority and outlays:               |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4030 Federal sources .....  | –7          | –8      | –6        |
| 4180 Budget authority, net (total) .....                          | 96          | 97      | 104       |
| 4190 Outlays, net (total) .....                                   | 93          | 103     | 104       |

**Bureau of Economic Analysis (BEA).**—The Bureau of Economic Analysis (BEA), a principal Federal statistical agency, promotes a better understanding of the U.S. economy by providing timely, relevant, and accurate economic accounts data in an objective and cost-effective manner. BEA's national, industry, regional, and international economic statistics present crucial information on key issues such as U.S. economic growth, regional economic development, inter-industry relationships, and the Nation's position in the world economy. These key statistics provide a comprehensive picture of the U.S. economy and affect decisions related to interest and exchange rates, tax and budget projections, and business investment plans. The statistics are used by Federal, State, and local governments for budget development and projections and to allocate over \$300 billion in Federal funds. The statistics are also used by the American public to follow and understand the performance of the Nation's economy. Some of the Bureau's widely used statistical measures include gross domestic product (GDP), personal income and outlays, corporate profits, GDP by state and by metropolitan area, balance of payments, and GDP by industry. BEA's strategic vision is to remain the world's most respected producer of economic accounts. The 2014 Budget proposes an initiative to better measure foreign direct investment in the U.S. and direct investment by U.S. companies abroad, in support of the Department of Commerce's SelectUSA program.

**Economics and Statistics Administration (ESA) Policy support.**—ESA headquarters conducts economic research and policy analysis in direct support of the Secretary of Commerce. ESA also provides management oversight of the Census Bureau and BEA. In addition, ESA provides economic and statistical data and analyses to other Federal agencies, individuals, and firms requesting such information through reimbursable funding. The Census Bureau and BEA reimburse ESA headquarters for certain administrative, financial, and policy services.

**Performance measures and program information.**—A detailed presentation and description of each program, and related performance outcomes, measures, and targets is found in the Department's 2014 budget submission.

## Object Classification (in millions of dollars)

| Identification code 13–1500–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 50          | 52      | 55        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 52          | 53      | 56        |
| 12.1 Civilian personnel benefits .....                          | 15          | 15      | 17        |
| 23.1 Rental payments to GSA .....                               | 5           | 7       | 7         |
| 23.2 Rental payments to others .....                            | 1           |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 8           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 3           | 5       | 6         |
| 25.3 Other goods and services from Federal sources .....        | 8           | 8       | 8         |
| 25.4 Operation and maintenance of facilities .....              | 1           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               |             | 1       | 1         |
| 26.0 Supplies and materials .....                               | 2           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 2         |
| 99.0 Direct obligations .....                                   | 97          | 97      | 104       |
| 99.0 Reimbursable obligations .....                             | 7           | 8       | 6         |
| 99.9 Total new obligations .....                                | 104         | 105     | 110       |

## Employment Summary

| Identification code 13–1500–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 483         | 491     | 505       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 35          | 35      | 31        |

## INTERNATIONAL TRADE ADMINISTRATION

## Federal Funds

## OPERATIONS AND ADMINISTRATION

*For necessary expenses for international trade activities of the Department of Commerce provided for by law, to carry out the SelectUSA Initiative as provided by Executive Order 13577 of June 15, 2011, and for engaging in trade promotional activities abroad, including expenses of grants and cooperative agreements for the purpose of promoting exports of United States firms, without regard to 44 U.S.C. 3702 and 3703; full medical coverage for dependent members of immediate families of employees stationed overseas and employees temporarily posted overseas; travel and transportation of employees of the International Trade Administration between two points abroad, without regard to 49 U.S.C. 40118; employment of Americans and aliens by contract for services; rental of space abroad for periods not exceeding 10 years, and expenses of alteration, repair, or improvement; purchase or construction of temporary demountable exhibition structures for use abroad; payment of tort claims, in the manner authorized in the first paragraph of 28 U.S.C. 2672 when such claims arise in foreign countries; not to exceed \$294,300 for official representation expenses abroad; purchase of passenger motor vehicles for official use abroad, not to exceed \$45,000 per vehicle; obtaining insurance on official motor vehicles; and rental of tie lines, \$529,196,000, to remain available until September 30, 2015, of which \$9,439,000 is to be derived from fees to be retained and used by the International Trade Administration, notwithstanding 31 U.S.C. 3302: Provided, That, of amounts provided under this heading, not less than \$7,000,000 shall be for the Office of China Compliance, and not less than \$4,400,000 shall be for the China Countervailing Duty Group: Provided further, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities without regard to section 5412 of the Omnibus Trade and Competitiveness Act of 1988 (15 U.S.C. 4912); and that for the purpose of this Act, contributions under the provisions of the Mutual Educational and Cultural Exchange Act of 1961 shall include payment for assessments for services provided as part of these activities: Provided further, That within the amounts appropriated herein, \$2,000,000 shall be available for allocation or transfer to the Department of Commerce Departmental Management appropriation for salaries and*



expenses for commercial law development activities of the Office of the General Counsel.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 13–1250–0–1–376                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Manufacturing and services .....                                | 46          | 48      | .....     |
| 0002 Market access and compliance .....                              | 51          | 47      | .....     |
| 0003 Import administration .....                                     | 70          | 71      | .....     |
| 0004 U.S. and foreign commercial services .....                      | 271         | 275     | .....     |
| 0005 Administration and executive direction .....                    | 26          | 29      | .....     |
| 0006 Industry and Analysis .....                                     | .....       | .....   | 57        |
| 0007 Enforcement and Compliance .....                                | .....       | .....   | 91        |
| 0008 Global Markets .....  | .....       | .....   | 346       |
| 0009 Administration and executive direction .....                    | .....       | .....   | 26        |
| 0100 Total direct program .....                                      | 464         | 470     | 520       |
| 0799 Total direct obligations .....                                  | 464         | 470     | 520       |
| 0801 Reimbursable program .....                                      | 21          | 23      | 23        |
| 0900 Total new obligations .....                                     | 485         | 493     | 543       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 7           | 17      | 3         |
| 1011 Unobligated balance transfer from other accts [72–1037] ....    | 8           | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....               | 6           | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 21          | 17      | 3         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 456         | 458     | 520       |
| 1121 Appropriations transferred from other accts [72–0306] ....      | 2           | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                      | 458         | 458     | 520       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 20          | 23      | 23        |
| 1701 Change in uncollected payments, Federal sources .....           | 5           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 25          | 23      | 23        |
| 1900 Budget authority (total) .....                                  | 483         | 481     | 543       |
| 1930 Total budgetary resources available .....                       | 504         | 498     | 546       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –2          | –2      | .....     |
| 1941 Unexpired unobligated balance, end of year .....                | 17          | 3       | 3         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 87          | 92      | 177       |
| 3010 Obligations incurred, unexpired accounts .....                  | 485         | 493     | 543       |
| 3011 Obligations incurred, expired accounts .....                    | 2           | .....   | .....     |
| 3020 Outlays (gross) .....   | –469        | –408    | –524      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –6          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –7          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 92          | 177     | 196       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –16         | –16     | –16       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –5          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 5           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –16         | –16     | –16       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 71          | 76      | 161       |
| 3200 Obligated balance, end of year .....                            | 76          | 161     | 180       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 483         | 481     | 543       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 389         | 344     | 387       |
| 4011 Outlays from discretionary balances .....                       | 80          | 64      | 137       |
| 4020 Outlays, gross (total) .....                                    | 469         | 408     | 524       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –21         | –12     | –12       |
| 4033 Non-Federal sources .....                                       | .....       | –11     | –11       |
| 4040 Offsets against gross budget authority and outlays (total) .... | –21         | –23     | –23       |

|   |     |       |       |
|---|-----|-------|-------|
| Additional offsets against gross budget authority only:             |     |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –5  | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts .....      | 1   | ..... | ..... |
| 4060 Additional offsets against budget authority only (total) ..... | –4  | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                    | 458 | 458   | 520   |
| 4080 Outlays, net (discretionary) .....                             | 448 | 385   | 501   |
| 4180 Budget authority, net (total) .....                            | 458 | 458   | 520   |
| 4190 Outlays, net (total) .....                                     | 448 | 385   | 501   |

The International Trade Administration (ITA) improves the competitiveness of U.S. industry, promotes trade and investment, and ensures fair trade and compliance with trade laws and agreements. ITA is comprised of four program units: Industry and Analysis, Enforcement and Compliance, Global Markets, and Executive Direction and Administration.

The President has set the goal of doubling American exports by the end of 2014. To that end, the Administration proposes \$520 million for the International Trade Administration to continue to implement the National Export Initiative, a broad Federal strategy to increase U.S. exports and export-related jobs. This funding will allow ITA to increase its export promotion and trade enforcement efforts in key, growing markets abroad, as well as support the activities of SelectUSA, which helps state and local governments attract investment capital that creates jobs. ITA will also play a key role in the interagency BusinessUSA initiative, a one-stop resource that will make it much easier for businesses to access the wide array of Federal export promotion services available to them.

**Performance measures.**—A detailed presentation of the performance outcomes, measures, and targets is found in the Department's 2014 budget submission.

### Object Classification (in millions of dollars)

| Identification code 13–1250–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 162         | 166     | 166       |
| 11.3 Other than full-time permanent .....                       | 25          | 27      | 27        |
| 11.5 Other personnel compensation .....                         | 9           | 9       | 8         |
| 11.9 Total personnel compensation .....                         | 196         | 202     | 201       |
| 12.1 Civilian personnel benefits .....                          | 64          | 66      | 68        |
| 13.0 Benefits for former personnel .....                        | 2           | 2       | 3         |
| 21.0 Travel and transportation of persons .....                 | 14          | 14      | 19        |
| 22.0 Transportation of things .....                             | 2           | 2       | 4         |
| 23.1 Rental payments to GSA .....                               | 14          | 16      | 20        |
| 23.2 Rental payments to others .....                            | 10          | 11      | 17        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 13          | 11      | 13        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 3           | 3       | 9         |
| 25.2 Other services from non-Federal sources .....              | 31          | 30      | 36        |
| 25.3 Other goods and services from Federal sources .....        | 98          | 97      | 113       |
| 25.8 Subsistence and support of persons .....                   | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 3           | 2       | 3         |
| 31.0 Equipment .....  | 9           | 9       | 9         |
| 41.0 Grants, subsidies, and contributions .....                 | 3           | 3       | 3         |
| 99.0 Direct obligations .....                                   | 464         | 470     | 520       |
| 99.0 Reimbursable obligations .....                             | 21          | 23      | 23        |
| 99.9 Total new obligations .....                                | 485         | 493     | 543       |

### Employment Summary

| Identification code 13–1250–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,745       | 1,837   | 1,841     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 33          | 31      | 31        |

## GRANTS TO MANUFACTURERS OF WORSTED WOOL FABRICS

## Program and Financing (in millions of dollars)

| Identification code 13–5521–0–2–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 5           | 5       | 5         |
| 0900 Total new obligations (object class 41.0) .....            | 5           | 5       | 5         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1221 Appropriations transferred from other accts [70–5533] .... | 5           | 5       | 5         |
| 1260 Appropriations, mandatory (total) .....                    | 5           | 5       | 5         |
| 1900 Budget authority (total) .....                             | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....                  | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 5           | 5       | .....     |
| 3010 Obligations incurred, unexpired accounts .....             | 5           | 5       | 5         |
| 3020 Outlays (gross) .....                                      | –5          | –10     | –5        |
| 3050 Unpaid obligations, end of year .....                      | 5           | .....   | .....     |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 5           | 5       | .....     |
| 3200 Obligated balance, end of year .....                       | 5           | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 5           | 5       | 5         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | .....       | 5       | 5         |
| 4101 Outlays from mandatory balances .....                      | 5           | 5       | .....     |
| 4110 Outlays, gross (total) .....                               | 5           | 10      | 5         |
| 4180 Budget authority, net (total) .....                        | 5           | 5       | 5         |
| 4190 Outlays, net (total) .....                                 | 5           | 10      | 5         |

## BUREAU OF INDUSTRY AND SECURITY

## Federal Funds

## OPERATIONS AND ADMINISTRATION

For necessary expenses for export administration and national security activities of the Department of Commerce, including costs associated with the performance of export administration field activities both domestically and abroad; full medical coverage for dependent members of immediate families of employees stationed overseas; employment of Americans and aliens by contract for services abroad; payment of tort claims, in the manner authorized in the first paragraph of 28 U.S.C. 2672 when such claims arise in foreign countries; not to exceed \$13,500 for official representation expenses abroad; awards of compensation to informers under the Export Administration Act of 1979, and as authorized by 22 U.S.C. 401(b); and purchase of passenger motor vehicles for official use and motor vehicles for law enforcement use with special requirement vehicles eligible for purchase without regard to any price limitation otherwise established by law, \$112,095,000, to remain available until expended: Provided, That the provisions of the first sentence of section 105(f) and all of section 108(c) of the Mutual Educational and Cultural Exchange Act of 1961 (22 U.S.C. 2455(f) and 2458(c)) shall apply in carrying out these activities: Provided further, That payments and contributions collected and accepted for materials or services provided as part of such activities may be retained for use in covering the cost of such activities, and for providing information to the public with respect to the export administration and national security activities of the Department of Commerce and other export control programs of the United States and other governments.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13–0300–0–1–999                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Management and policy coordination .....                        | 6           | 6       | 6         |
| 0002 Export administration .....                                     | 58          | 58      | 59        |
| 0003 Export enforcement .....  | 41          | 38      | 47        |
| 0100 Total direct program .....                                      | 105         | 102     | 112       |
| 0799 Total direct obligations .....                                  | 105         | 102     | 112       |
| 0801 Reimbursable program .....                                      | 3           | 3       | 3         |
| 0900 Total new obligations .....                                     | 108         | 105     | 115       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 10          | 6       | 6         |
| 1021 Recoveries of prior year unpaid obligations .....               | .....       | 1       | 1         |
| 1050 Unobligated balance (total) .....                               | 10          | 7       | 7         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 101         | 102     | 112       |
| 1160 Appropriation, discretionary (total) .....                      | 101         | 102     | 112       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 2           | 2       | 2         |
| 1701 Change in uncollected payments, Federal sources .....           | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 3           | 2       | 2         |
| 1900 Budget authority (total) .....                                  | 104         | 104     | 114       |
| 1930 Total budgetary resources available .....                       | 114         | 111     | 121       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 6           | 6       | 6         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 26          | 35      | 25        |
| 3010 Obligations incurred, unexpired accounts .....                  | 108         | 105     | 115       |
| 3020 Outlays (gross) .....   | –99         | –114    | –114      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | .....       | –1      | –1        |
| 3050 Unpaid obligations, end of year .....                           | 35          | 25      | 25        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –5          | –6      | –6        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –1          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –6          | –6      | –6        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 21          | 29      | 19        |
| 3200 Obligated balance, end of year .....                            | 29          | 19      | 19        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 104         | 104     | 114       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 79          | 88      | 98        |
| 4011 Outlays from discretionary balances .....                       | 20          | 26      | 16        |
| 4020 Outlays, gross (total) .....                                    | 99          | 114     | 114       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –1          | –1      | –1        |
| 4033 Non-Federal sources .....                                       | –1          | –1      | –1        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –2          | –2      | –2        |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –1          | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                     | 101         | 102     | 112       |
| 4080 Outlays, net (discretionary) .....                              | 97          | 112     | 112       |
| 4180 Budget authority, net (total) .....                             | 101         | 102     | 112       |
| 4190 Outlays, net (total) .....                                      | 97          | 112     | 112       |

The Bureau of Industry and Security (BIS) advances U.S. national security, foreign policy, and economic objectives by administering and enforcing controls on the export of sensitive goods and technologies. BIS also enforces antiboycott laws, monitors the economic viability of the U.S. defense industry, and assists U.S. companies in complying with certain international arms agreements.

The 2014 Budget continues to provide for positions that are critical to the Administration's Export Control Reform (ECR)

Initiative, which will fundamentally reform the U.S. export control system. The ECR Initiative is designed to enhance U.S. national security and strengthen the United States' ability to counter threats such as the proliferation of weapons of mass destruction. A detailed presentation of the request can be found in the Department's 2014 budget submission.

**Object Classification** (in millions of dollars)

| Identification code 13-0300-0-1-999                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 39          | 39      | 41        |
| 11.5 Other personnel compensation .....                         | 3           | 3       | 4         |
| 11.9 Total personnel compensation .....                         | 42          | 42      | 45        |
| 12.1 Civilian personnel benefits .....                          | 14          | 13      | 14        |
| 21.0 Travel and transportation of persons .....                 | 2           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 4           | 6       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     |             | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 15          | 13      | 16        |
| 25.3 Other goods and services from Federal sources .....        | 24          | 14      | 15        |
| 26.0 Supplies and materials .....                               | 1           | 2       | 2         |
| 31.0 Equipment .....  | 1           | 5       | 6         |
| 99.0 Direct obligations .....                                   | 105         | 102     | 112       |
| 99.0 Reimbursable obligations .....                             | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 108         | 105     | 115       |

**Employment Summary**

| Identification code 13-0300-0-1-999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 366         | 390     | 414       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3           | 3       | 3         |

## MINORITY BUSINESS DEVELOPMENT AGENCY

### Federal Funds

#### MINORITY BUSINESS DEVELOPMENT

*For necessary expenses of the Department of Commerce in fostering, promoting, and developing minority business enterprise, including expenses of grants, contracts, and other agreements with public or private organizations, \$29,286,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 13-0201-0-1-376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Business Development .....                                 | 28          | 31      | 29        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 30          | 31      | 29        |
| 1160 Appropriation, discretionary (total) .....                 | 30          | 31      | 29        |
| 1930 Total budgetary resources available .....                  | 30          | 31      | 29        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | -2          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 10          | 11      | 18        |
| 3010 Obligations incurred, unexpired accounts .....             | 28          | 31      | 29        |
| 3011 Obligations incurred, expired accounts .....               | 2           |         |           |
| 3020 Outlays (gross) .....                                      | -28         | -24     | -31       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 11          | 18      | 16        |

|   |    |    |    |
|---|----|----|----|
| Memorandum (non-add) entries:                       |    |    |    |
| 3100 Obligated balance, start of year .....         | 10 | 11 | 18 |
| 3200 Obligated balance, end of year .....           | 11 | 18 | 16 |
| <b>Budget authority and outlays, net:</b>           |    |    |    |
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 30 | 31 | 29 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 18 | 16 | 15 |
| 4011 Outlays from discretionary balances .....      | 10 | 8  | 16 |
| 4020 Outlays, gross (total) .....                   | 28 | 24 | 31 |
| 4180 Budget authority, net (total) .....            | 30 | 31 | 29 |
| 4190 Outlays, net (total) .....                     | 28 | 24 | 31 |

The Minority Business Development Agency (MBDA) is dedicated to the establishment, growth, and global competitiveness of U.S. businesses that are minority-owned. Through a network of minority business centers and strategic partners, MBDA works with minority entrepreneurs who wish to grow their businesses in size, scale and capacity. These firms are then better positioned to create jobs, impact local economies and expand into national and global markets.

*Performance Measures.*—A detailed presentation of performance outcomes, related measures, and targets is found in the Department's 2014 budget submission.

**Object Classification** (in millions of dollars)

| Identification code 13-0201-0-1-376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 7           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 1           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 3       | 2         |
| 41.0 Grants, subsidies, and contributions .....          | 12          | 12      | 11        |
| 99.9 Total new obligations .....                         | 28          | 31      | 29        |

**Employment Summary**

| Identification code 13-0201-0-1-376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 68          | 100     | 100       |

## NATIONAL OCEANIC AND ATMOSPHERIC ADMINISTRATION

### Federal Funds

#### OPERATIONS, RESEARCH, AND FACILITIES

##### (INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of activities authorized by law for the National Oceanic and Atmospheric Administration, including maintenance, operation, and hire of aircraft and vessels; grants, contracts, or other payments to nonprofit organizations for the purposes of conducting activities pursuant to cooperative agreements; and relocation of facilities, \$3,277,833,000, to remain available until September 30, 2015, except that funds provided for cooperative enforcement shall remain available until September 30, 2016: Provided, That fees and donations received by the National Ocean Service for the management of national marine sanctuaries may be retained and used for the salaries and expenses associated with those activities, notwithstanding 31 U.S.C. 3302: Provided further, That in addition, \$123,164,000 shall be derived by transfer from the fund entitled "Promote and Develop Fishery Products and Research Pertaining to American Fisheries": Provided further, That of the \$3,406,997,000 provided for in direct obligations under this heading, \$3,277,833,000 is appropriated from the general fund, \$123,164,000 is provided by transfer, and \$6,000,000 is derived from recoveries of prior year obligations.*

*In addition, for necessary retired pay expenses under the Retired Serviceman's Family Protection and Survivor Benefits Plan, and for payments*

## OPERATIONS, RESEARCH, AND FACILITIES—Continued

for the medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C. 55), such sums as may be necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 13–1450–0–1–306                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | National Ocean Service .....                                  | 468         | 468     | 497       |
| 0002   | National Marine Fisheries Service .....                       | 796         | 798     | 847       |
| 0003   | Oceanic and Atmospheric Research .....                        | 367         | 379     | 462       |
| 0004   | National Weather Service .....                                | 898         | 909     | 933       |
| 0005   | National Environmental Satellite Service .....                | 179         | 181     | 208       |
| 0006   | Program support .....   | 432         | 422     | 457       |
| 0009   | Retired pay for NOAA Corps Officers .....                     | 25          | 28      | 28        |
| 0010   | Supplemental .....  | .....       | 140     | .....     |
| 0100   | Total direct program .....                                    | 3,165       | 3,325   | 3,432     |
| 0799   | Total direct obligations .....                                | 3,165       | 3,325   | 3,432     |
| 0801   | National Ocean Service .....                                  | 25          | 29      | 29        |
| 0802   | National Marine Fisheries Service .....                       | 62          | 69      | 69        |
| 0803   | Oceanic and Atmospheric Research .....                        | 49          | 33      | 33        |
| 0804   | National Weather Service .....                                | 56          | 75      | 75        |
| 0805   | National Environmental Satellite Service .....                | 25          | 21      | 21        |
| 0806   | Program support .....   | 12          | 15      | 15        |
| 0899   | Total reimbursable obligations .....                          | 229         | 242     | 242       |
| 0900   | Total new obligations .....                                   | 3,394       | 3,567   | 3,674     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 137         | 187     | 187       |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....    | 137         | 187     | .....     |
| 1010   | Unobligated balance transfer to other accts [13–5583] .....   | –4          | .....   | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....             | 7           | 8       | 6         |
| 1050   | Unobligated balance (total) .....                             | 140         | 195     | 193       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Operations, research & facilities .....                       | 3,022       | 3,040   | 3,277     |
| 1100   | Emergency Supplemental .....                                  | .....       | 140     | .....     |
| 1121   | Appropriations transferred from other accts [13–5139] .....   | 109         | 109     | 123       |
| 1121   | Appropriations transferred from other accts [13–1460] .....   | 18          | .....   | .....     |
| 1160   | Appropriation, discretionary (total) .....                    | 3,149       | 3,289   | 3,400     |
| Appropriations, mandatory:                                     |   |             |         |           |
| 1200   | Appropriation .....   | 28          | 28      | 28        |
| 1260   | Appropriations, mandatory (total) .....                       | 28          | 28      | 28        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 320         | 242     | 242       |
| 1701   | Change in uncollected payments, Federal sources .....         | –50         | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 270         | 242     | 242       |
| 1900   | Budget authority (total) .....                                | 3,447       | 3,559   | 3,670     |
| 1930   | Total budgetary resources available .....                     | 3,587       | 3,754   | 3,863     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                            | –6          | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....              | 187         | 187     | 189       |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 2,268       | 1,966   | 1,978     |
| 3010   | Obligations incurred, unexpired accounts .....                | 3,394       | 3,567   | 3,674     |
| 3011   | Obligations incurred, expired accounts .....                  | 2           | .....   | .....     |
| 3020   | Outlays (gross) .....   | –3,668      | –3,547  | –3,680    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –7          | –8      | –6        |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | –23         | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                         | 1,966       | 1,978   | 1,966     |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –504        | –454    | –454      |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | 50          | .....   | .....     |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | –454        | –454    | –454      |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 1,764       | 1,512   | 1,524     |

|   |   |       |       |       |
|---|---|-------|-------|-------|
| 3200  | Obligated balance, end of year .....                            | 1,512 | 1,524 | 1,512 |
| <b>Budget authority and outlays, net:</b>               |   |       |       |       |
| Discretionary:  |   |       |       |       |
| 4000  | Budget authority, gross .....                                   | 3,419 | 3,531 | 3,642 |
| Outlays, gross:   |   |       |       |       |
| 4010  | Outlays from new discretionary authority .....                  | 2,179 | 2,250 | 2,350 |
| 4011  | Outlays from discretionary balances .....                       | 1,456 | 1,269 | 1,302 |
| 4020  | Outlays, gross (total) .....                                    | 3,635 | 3,519 | 3,652 |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4030  | Federal sources .....   | –207  | –180  | –180  |
| 4033  | Non-Federal sources .....                                       | –114  | –62   | –62   |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –321  | –242  | –242  |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | 50    | ..... | ..... |
| 4052  | Offsetting collections credited to expired accounts .....       | 1     | ..... | ..... |
| 4060  | Additional offsets against budget authority only (total) .....  | 51    | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....                     | 3,149 | 3,289 | 3,400 |
| 4080  | Outlays, net (discretionary) .....                              | 3,314 | 3,277 | 3,410 |
| Mandatory:  |   |       |       |       |
| 4090  | Budget authority, gross .....                                   | 28    | 28    | 28    |
| Outlays, gross:   |   |       |       |       |
| 4100  | Outlays from new mandatory authority .....                      | 20    | 28    | 28    |
| 4101  | Outlays from mandatory balances .....                           | 13    | ..... | ..... |
| 4110  | Outlays, gross (total) .....                                    | 33    | 28    | 28    |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4180  | Budget authority, net (total) .....                             | 3,177 | 3,317 | 3,428 |
| 4190  | Outlays, net (total) .....                                      | 3,347 | 3,305 | 3,438 |

The mission of the National Oceanic and Atmospheric Administration (NOAA) is to understand and predict changes in the Earth's environment and to conserve and manage coastal and marine resources to meet our Nation's economic, social, and environmental needs.

NOAA executes activities to achieve its mission through six line offices:

**National Ocean Service (NOS).**—NOS programs work to promote safe navigation; assess the health of coastal and marine resources and respond to natural and human-induced threats; and conserve the coastal ocean environment.

**National Marine Fisheries Service (NMFS).**—NMFS programs provide for the management and conservation of the Nation's living marine resources including fish stocks, marine mammals, and endangered species and their habitats within the United States Exclusive Economic Zone (EEZ).

**Office of Oceanic and Atmospheric Research (OAR).**—OAR programs provide climate, weather, air chemistry, ocean and coastal research and technology with applications across NOAA's mission. To accomplish these goals, OAR supports a network of scientists in its Federal research laboratories, universities, and cooperative institutes and partnership programs.

**National Weather Service (NWS).**—NWS programs provide timely and accurate meteorological, hydrologic, and oceanographic warnings and forecasts to ensure the safety of the population, minimize property losses, and improve the economic productivity of the Nation.

**National Environmental Satellite, Data, and Information Service (NESDIS).**—NESDIS operates polar orbiting and geostationary satellites, and collects and archives global environmental data and information for distribution to private and public sector users.

**Program Support.**—Program Support provides management and administrative support for NOAA, including acquisition and grant administration, budget, accounting functions, and human resources. Through the Office of Marine and Aviation Operations (OMAO), it provides aircraft and marine data acquisition fleet

repair and maintenance and technical and management support for NOAA-wide activities.

**Foreign Fishing Observer Fund.**—The Foreign Fishing Observer Fund is financed through fees collected from owners and operators of foreign fishing vessels fishing within the U.S. EEZ (such fishing requires a permit issued under the Magnuson-Stevens Act). The fund is used by NOAA to pay salaries, administrative costs, data editing and entry costs, and other costs incurred in placing observers aboard foreign fishing vessels.

#### Object Classification (in millions of dollars)

| Identification code 13–1450–0–1–306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,067       | 1,074   | 1,113     |
| 11.3 Other than full-time permanent .....                       | 9           | 9       | 10        |
| 11.5 Other personnel compensation .....                         | 77          | 78      | 74        |
| 11.7 Military personnel .....                                   | 21          | 22      | 33        |
| 11.9 Total personnel compensation .....                         | 1,174       | 1,183   | 1,230     |
| 12.1 Civilian personnel benefits .....                          | 348         | 350     | 346       |
| 13.0 Benefits for former personnel .....                        | 23          | 23      | 23        |
| 21.0 Travel and transportation of persons .....                 | 39          | 39      | 62        |
| 22.0 Transportation of things .....                             | 15          | 15      | 17        |
| 23.1 Rental payments to GSA .....                               | 79          | 79      | 92        |
| 23.2 Rental payments to others .....                            | 31          | 31      | 22        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 76          | 76      | 126       |
| 24.0 Printing and reproduction .....                            | 5           | 5       | 9         |
| 25.1 Advisory and assistance services .....                     | 386         | 389     | 259       |
| 25.2 Other services from non-Federal sources .....              | 177         | 318     | 611       |
| 25.3 Other goods and services from Federal sources .....        | 120         | 121     | 166       |
| 25.5 Research and development contracts .....                   | 13          | 13      | 52        |
| 26.0 Supplies and materials .....                               | 95          | 95      | 129       |
| 31.0 Equipment .....  | 25          | 26      | 93        |
| 32.0 Land and structures .....                                  | 3           | 3       | 24        |
| 41.0 Grants, subsidies, and contributions .....                 | 556         | 559     | 171       |
| 99.0 Direct obligations .....                                   | 3,165       | 3,325   | 3,432     |
| 99.0 Reimbursable obligations .....                             | 229         | 242     | 242       |
| 99.9 Total new obligations .....                                | 3,394       | 3,567   | 3,674     |

#### Employment Summary

| Identification code 13–1450–0–1–306                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 11,624      | 11,870  | 11,753    |
| 1101 Direct military average strength employment .....           | 311         | 315     | 315       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 676         | 706     | 706       |

#### PROCUREMENT, ACQUISITION AND CONSTRUCTION

*For procurement, acquisition and construction of capital assets, including alteration and modification costs, of the National Oceanic and Atmospheric Administration, \$2,117,555,000, to remain available until September 30, 2016, except that funds provided for construction of facilities shall remain available until expended: Provided, That of the \$2,124,555,000 provided for in direct obligations under this heading, \$2,117,555,000 is appropriated from the general fund and \$7,000,000 is provided from recoveries of prior year obligations.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

| Identification code 13–1460–0–1–306                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 National Ocean Service .....                     | 9           | 15      | 7         |
| 0003 Office of Oceanic and Atmospheric Research ..... | 10          | 10      | 10        |
| 0004 National Weather Service .....                   | 91          | 120     | 118       |
| 0005 National Environmental Satellite Service .....   | 1,673       | 1,816   | 1,978     |
| 0006 Program Support .....                            | 3           | 58      | 12        |

|  |        |        |        |
|--|--------|--------|--------|
| 0007 National Marine Fisheries Service .....                       | 3      |        |        |
| 0900 Total new obligations .....                                   | 1,786  | 2,022  | 2,125  |
| <b>Budgetary Resources:</b>  |        |        |        |
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 9      | 23     | 17     |
| 1021 Recoveries of prior year unpaid obligations .....             | 3      | 3      | 7      |
| 1050 Unobligated balance (total) .....                             | 12     | 26     | 24     |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:                                     |        |        |        |
| 1100 Appropriation .....   | 1,817  | 2,014  | 2,118  |
| 1120 Appropriations transferred to other accts [13–0126] .....     | –1     | –1     |        |
| 1120 Appropriations transferred to other accts [13–1450] .....     | –18    |        |        |
| 1160 Appropriation, discretionary (total) .....                    | 1,798  | 2,013  | 2,118  |
| Spending authority from offsetting collections, discretionary:     |        |        |        |
| 1700 Collected .....   | 2      |        |        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2      |        |        |
| 1900 Budget authority (total) .....                                | 1,800  | 2,013  | 2,118  |
| 1930 Total budgetary resources available .....                     | 1,812  | 2,039  | 2,142  |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1940 Unobligated balance expiring .....                            | –3     |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 23     | 17     | 17     |
| <b>Change in obligated balance:</b>                                |        |        |        |
| Unpaid obligations:  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1,346  | 1,262  | 2,107  |
| 3010 Obligations incurred, unexpired accounts .....                | 1,786  | 2,022  | 2,125  |
| 3011 Obligations incurred, expired accounts .....                  | 14     |        |        |
| 3020 Outlays (gross) .....   | –1,874 | –1,174 | –1,986 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –3     | –3     | –7     |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –7     |        |        |
| 3050 Unpaid obligations, end of year .....                         | 1,262  | 2,107  | 2,239  |
| Memorandum (non-add) entries:                                      |        |        |        |
| 3100 Obligated balance, start of year .....                        | 1,346  | 1,262  | 2,107  |
| 3200 Obligated balance, end of year .....                          | 1,262  | 2,107  | 2,239  |
| <b>Budget authority and outlays, net:</b>                          |        |        |        |
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                 | 1,800  | 2,013  | 2,118  |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                | 838    | 714    | 741    |
| 4011 Outlays from discretionary balances .....                     | 1,036  | 460    | 1,245  |
| 4020 Outlays, gross (total) .....                                  | 1,874  | 1,174  | 1,986  |
| Offsets against gross budget authority and outlays:                |        |        |        |
| Offsetting collections (collected) from:                           |        |        |        |
| 4033 Non-Federal sources .....                                     | –2     |        |        |
| 4180 Budget authority, net (total) .....                           | 1,798  | 2,013  | 2,118  |
| 4190 Outlays, net (total) .....                                    | 1,872  | 1,174  | 1,986  |

This account funds capital acquisition, construction, and fleet and aircraft replacement projects that support NOAA's operational mission across all line offices. The 2014 Budget maintains continuity of satellite coverage needed for weather forecasting by providing \$2 billion to fund the development of NOAA's geostationary and polar-orbiting satellites, as well as satellite-borne measurements of sea level and potentially damaging solar storms. The Budget also provides increases to update National Weather Service IT infrastructure to improve system reliability, increase supercomputing capacity, and accommodate a substantial increase in satellite observations that will help to improve weather warnings and forecasts.

#### Object Classification (in millions of dollars)

| Identification code 13–1460–0–1–306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 33          | 34      | 36        |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 34          | 35      | 37        |
| 12.1 Civilian personnel benefits .....                          | 9           | 9       | 9         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 3           | 3       | 3         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 5       | 5         |
| 25.1 Advisory and assistance services .....                     | 60          | 61      | 64        |

PROCUREMENT, ACQUISITION AND CONSTRUCTION—Continued  
Object Classification—Continued

| Identification code 13–1460–0–1–306                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.2 Other services from non-Federal sources .....       | 95          | 98      | 109       |
| 25.3 Other goods and services from Federal sources ..... | 1,308       | 1,391   | 1,460     |
| 25.5 Research and development contracts .....            | 21          | 164     | 171       |
| 26.0 Supplies and materials .....                        | 9           | 9       | 9         |
| 31.0 Equipment .....                                     | 197         | 201     | 210       |
| 32.0 Land and structures .....                           | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 41          | 42      | 44        |
| 99.9 Total new obligations .....                         | 1,786       | 2,022   | 2,125     |

## Employment Summary

| Identification code 13–1460–0–1–306                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 196         | 186     | 179       |

## LIMITED ACCESS SYSTEM ADMINISTRATION FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 13–5284–0–2–306  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0200 Permit Title Registration Fees, Limited Access System Administration Fund ..... | 10          | 10      | 10        |
| 0400 Total: Balances and collections .....   | 10          | 10      | 10        |
| Appropriations:  |             |         |           |
| 0500 Limited Access System Administration Fund .....                                 | –10         | –10     | –10       |
| 0799 Balance, end of year .....  |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 13–5284–0–2–306                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 10          | 10      | 10        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 14          | 14      | 14        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 10          | 10      | 10        |
| 1260 Appropriations, mandatory (total) .....          | 10          | 10      | 10        |
| 1930 Total budgetary resources available .....        | 24          | 24      | 24        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 14          | 14      | 14        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 6           | 7       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 10          | 10      | 10        |
| 3020 Outlays (gross) .....                            | –9          | –15     | –10       |
| 3050 Unpaid obligations, end of year .....            | 7           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 6           | 7       | 2         |
| 3200 Obligated balance, end of year .....             | 7           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 10          | 10      | 10        |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 5           | 10      | 10        |
| 4101 Outlays from mandatory balances .....            | 4           | 5       |           |
| 4110 Outlays, gross (total) .....                     | 9           | 15      | 10        |
| 4180 Budget authority, net (total) .....              | 10          | 10      | 10        |
| 4190 Outlays, net (total) .....                       | 9           | 15      | 10        |

Under the authority of the Magnuson-Stevens Act Section 304(d)(2)(A), NMFS must collect a fee to recover the incremental

costs of management, data collection, and enforcement of Limited Access Privilege (LAP) Programs. Funds collected under this authority are deposited into the Limited Access System Administrative Fund. Fees shall not exceed three percent of the ex-vessel value of fish harvested under any such program, and shall be collected at either the time of the landing, filing of a landing report, or sale of such fish during a fishing season or in the last quarter of the calendar year in which the fish is harvested. The Limited Access Administration Fund shall be available, without appropriation or fiscal year limitation, only for the purposes of administering the central registry system and administering and implementing the Magnuson-Stevens Act in the fishery in which the fees were collected. Sums in the fund that are not currently needed for these purposes shall be kept on deposit or invested in obligations of, or guaranteed by the U.S. Also, in establishing a LAP program, a Regional Council can consider, and may provide, if appropriate, an auction system or other program to collect royalties for the initial or any subsequent distribution of allocations. If an auction system is developed, revenues from these royalties are deposited in the Limited Access System Administration Fund.

## Object Classification (in millions of dollars)

| Identification code 13–5284–0–2–306                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           |         |           |
| 12.1 Civilian personnel benefits .....                 | 2           |         |           |
| 25.2 Other services from non-Federal sources .....     | 2           |         |           |
| 41.0 Grants, subsidies, and contributions .....        | 3           | 10      | 10        |
| 99.9 Total new obligations .....                       | 10          | 10      | 10        |

## Employment Summary

| Identification code 13–5284–0–2–306                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 40          |         |           |

## PACIFIC COASTAL SALMON RECOVERY

*For necessary expenses associated with the restoration of Pacific salmon populations, \$50,000,000, to remain available until September 30, 2015: Provided, That, of the funds provided herein, the Secretary of Commerce may issue grants to the States of Washington, Oregon, Idaho, Nevada, California, and Alaska, and to the federally recognized tribes of the Columbia River and Pacific Coast (including Alaska), for projects necessary for conservation of salmon and steelhead populations that are listed as threatened or endangered, or that are identified by a State as at-risk to be so listed, for maintaining populations necessary for exercise of tribal treaty fishing rights or native subsistence fishing, or for conservation of Pacific coastal salmon and steelhead habitat, based on guidelines to be developed by the Secretary of Commerce: Provided further, That all funds shall be allocated based on scientific and other merit principles and shall not be available for marketing activities: Provided further, That funds disbursed to States shall be subject to a matching requirement of funds or documented in-kind contributions of at least 33 percent of the Federal funds.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13–1451–0–1–306     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0008 Grants to States and Tribes .....  | 65          | 65      | 50        |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| 0900                                      | Total new obligations (object class 41.0)            | 65  | 65  | 50  |
| <b>Budgetary Resources:</b>               |  |     |     |     |
| Budget authority:                         |  |     |     |     |
| Appropriations, discretionary:            |  |     |     |     |
| 1100                                      | Appropriation  | 65  | 65  | 50  |
| 1160                                      | Appropriation, discretionary (total)                 | 65  | 65  | 50  |
| 1930                                      | Total budgetary resources available                  | 65  | 65  | 50  |
| <b>Change in obligated balance:</b>       |  |     |     |     |
| Unpaid obligations:                       |  |     |     |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1           | 239 | 224 | 210 |
| 3010                                      | Obligations incurred, unexpired accounts             | 65  | 65  | 50  |
| 3020                                      | Outlays (gross)                                      | -79 | -79 | -86 |
| 3041                                      | Recoveries of prior year unpaid obligations, expired | -1  |     |     |
| 3050                                      | Unpaid obligations, end of year                      | 224 | 210 | 174 |
| Memorandum (non-add) entries:             |  |     |     |     |
| 3100                                      | Obligated balance, start of year                     | 239 | 224 | 210 |
| 3200                                      | Obligated balance, end of year                       | 224 | 210 | 174 |
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Discretionary:                            |  |     |     |     |
| 4000                                      | Budget authority, gross                              | 65  | 65  | 50  |
| Outlays, gross:                           |  |     |     |     |
| 4010                                      | Outlays from new discretionary authority             |     | 16  | 13  |
| 4011                                      | Outlays from discretionary balances                  | 79  | 63  | 73  |
| 4020                                      | Outlays, gross (total)                               | 79  | 79  | 86  |
| 4180                                      | Budget authority, net (total)                        | 65  | 65  | 50  |
| 4190                                      | Outlays, net (total)                                 | 79  | 79  | 86  |

The Pacific Coastal Salmon Recovery Fund account was established in 2000 to augment State, tribal, and local programs to conserve and restore sustainable Pacific salmon populations and their habitats. Through 2012, over \$1 billion has been provided to the States of California, Oregon, Washington, Alaska, and Idaho and to the Pacific Coastal and Columbia River Tribes to supplement State and Federal programs and promote the development of partnerships among Federal, State, tribal, and local governments to conserve salmon. The States and Tribes have used these funds for restoring salmon and steelhead populations that are listed as threatened or endangered, or identified by a State as at risk of such listing; maintaining populations necessary for exercise of tribal treaty fishing rights or native subsistence fishing; or restoring and conserving Pacific coastal salmon and steelhead habitat.

#### SANCTUARIES ENFORCEMENT ASSET FORFEITURE FUND

##### Special and Trust Fund Receipts (in millions of dollars)

|                                     |   |         |           |
|-------------------------------------|---|---------|-----------|
| Identification code 13-5584-0-2-376 | 2012 actual   | 2013 CR | 2014 est. |
| 0100                                | Balance, start of year  |         |           |
| Receipts:                           |   |         |           |
| 0260                                | Sanctuaries Enforcement Asset Forfeiture Fund, Deposits (PDF Account) | 1       | 1         |
| 0400                                | Total: Balances and collections                                       | 1       | 1         |
| Appropriations:                     |   |         |           |
| 0500                                | Sanctuaries Enforcement Asset Forfeiture Fund                         | -1      | -1        |
| 0799                                | Balance, end of year  |         |           |

##### Program and Financing (in millions of dollars)

|   |   |         |           |
|---|---|---------|-----------|
| Identification code 13-5584-0-2-376     | 2012 actual                               | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |   |         |           |
| 0001                                    | Direct program activity                   | 1       | 1         |
| 0900                                    | Total new obligations (object class 25.2) | 1       | 1         |

|   |  |    |    |
|---|--|----|----|
| <b>Budgetary Resources:</b>               |  |    |    |
| Budget authority:                         |  |    |    |
| Appropriations, mandatory:                |  |    |    |
| 1201                                      | Appropriation (special or trust fund)    | 1  | 1  |
| 1260                                      | Appropriations, mandatory (total)        | 1  | 1  |
| 1900                                      | Budget authority (total)                 | 1  | 1  |
| 1930                                      | Total budgetary resources available      | 1  | 1  |
| <b>Change in obligated balance:</b>       |  |    |    |
| Unpaid obligations:                       |  |    |    |
| 3010                                      | Obligations incurred, unexpired accounts | 1  | 1  |
| 3020                                      | Outlays (gross)                          | -1 | -1 |
| <b>Budget authority and outlays, net:</b> |  |    |    |
| Mandatory:                                |  |    |    |
| 4090                                      | Budget authority, gross                  | 1  | 1  |
| Outlays, gross:                           |  |    |    |
| 4100                                      | Outlays from new mandatory authority     | 1  | 1  |
| 4180                                      | Budget authority, net (total)            | 1  | 1  |
| 4190                                      | Outlays, net (total)                     | 1  | 1  |

The Sanctuaries Enforcement Asset Forfeiture Fund receives proceeds from civil penalties and forfeiture claims against responsible parties, as determined through court settlements or agreements, for violations of NOAA sanctuary regulations. Funds are expended for resource protection purposes which may include all aspects of law enforcement (from equipment to labor), community-oriented policing programs, and other resource protection and management measures such as the installation of mooring buoys or restoration of injured resources.

#### MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NOAA

##### Program and Financing (in millions of dollars)

|   |   |         |           |
|---|---|---------|-----------|
| Identification code 13-1465-0-1-306       | 2012 actual                               | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |   |         |           |
| 0001                                      | Direct program activity                   | 2       | 2         |
| 0900                                      | Total new obligations (object class 25.3) | 2       | 2         |
| <b>Budgetary Resources:</b>               |   |         |           |
| Budget authority:                         |   |         |           |
| Appropriations, discretionary:            |   |         |           |
| 1100                                      | Appropriation                             | 2       | 2         |
| 1160                                      | Appropriation, discretionary (total)      | 2       | 2         |
| 1930                                      | Total budgetary resources available       | 2       | 2         |
| <b>Change in obligated balance:</b>       |   |         |           |
| Unpaid obligations:                       |   |         |           |
| 3010                                      | Obligations incurred, unexpired accounts  | 2       | 2         |
| 3020                                      | Outlays (gross)                           | -2      | -2        |
| <b>Budget authority and outlays, net:</b> |   |         |           |
| Discretionary:                            |   |         |           |
| 4000                                      | Budget authority, gross                   | 2       | 2         |
| Outlays, gross:                           |   |         |           |
| 4010                                      | Outlays from new discretionary authority  | 2       | 2         |
| 4180                                      | Budget authority, net (total)             | 2       | 2         |
| 4190                                      | Outlays, net (total)                      | 2       | 2         |

This account includes amounts necessary to finance the cost of Tricare retirement health care benefits accrued by the active duty members of the NOAA Commissioned Corps. The Ronald W. Reagan National Defense Authorization Act for 2005 (P.L. 108-375) provided permanent, indefinite appropriations to finance these costs for all uniformed service members. As these costs are borne in support of NOAA's mission, they are shown as part of the NOAA discretionary total. Total obligations on behalf of active NOAA Commissioned Corps personnel include both the wages

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION,  
NOAA—Continued**

and related amounts requested for appropriation and amounts paid from the permanent, indefinite authority.

**FISHERIES ENFORCEMENT ASSET FORFEITURE FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 13–5583–0–2–376  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0260 Fisheries Enforcement Asset Forfeiture Fund, Deposits (PDF Account) ..... | 10          | 5       | 5         |
| 0400 Total: Balances and collections .....                                     | 10          | 5       | 5         |
| Appropriations:  |             |         |           |
| 0500 Fisheries Enforcement Asset Forfeiture Fund .....                         | –10         | –5      | –5        |
| 0799 Balance, end of year .....  |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 13–5583–0–2–376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 4           | 5       | 5         |
| 0900 Total new obligations (object class 25.2) ..... | 4           | 5       | 5         |

**Budgetary Resources:**

|   |    |    |    |
|---|----|----|----|
| Unobligated balance:  |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....             |    | 10 | 10 |
| 1011 Unobligated balance transfer from other accts [13–1450] .... | 4  |    |    |
| 1050 Unobligated balance (total) .....                            | 4  | 10 | 10 |
| Budget authority:   |    |    |    |
| Appropriations, mandatory:  |    |    |    |
| 1201 Appropriation (special or trust fund) .....                  | 10 | 5  | 5  |
| 1260 Appropriations, mandatory (total) .....                      | 10 | 5  | 5  |
| 1900 Budget authority (total) .....                               | 10 | 5  | 5  |
| 1930 Total budgetary resources available .....                    | 14 | 15 | 15 |
| Memorandum (non-add) entries:                                     |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....             | 10 | 10 | 10 |

**Change in obligated balance:**

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |    | 2  | 2  |
| 3010 Obligations incurred, unexpired accounts .....   | 4  | 5  | 5  |
| 3020 Outlays (gross) .....                            | –2 | –5 | –5 |
| 3050 Unpaid obligations, end of year .....            | 2  | 2  | 2  |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           |    | 2  | 2  |
| 3200 Obligated balance, end of year .....             | 2  | 2  | 2  |

**Budget authority and outlays, net:**

|   |    |   |   |
|---|----|---|---|
| Mandatory:                                      |    |   |   |
| 4090 Budget authority, gross .....              | 10 | 5 | 5 |
| Outlays, gross:                                 |    |   |   |
| 4100 Outlays from new mandatory authority ..... | 2  | 5 | 5 |
| 4180 Budget authority, net (total) .....        | 10 | 5 | 5 |
| 4190 Outlays, net (total) .....                 | 2  | 5 | 5 |

Section 311(e)(1) of the Magnuson-Stevens Fishery Conservation and Management Act (MSA) authorizes the Secretary of Commerce (Secretary) to pay certain enforcement-related expenses from fines, penalties and forfeiture proceeds received for violations of the Magnuson-Stevens Act, or of any other marine resource law enforced by the Secretary. Pursuant to this authority, NOAA established an account for these receipts, the Fisheries Enforcement Asset Forfeiture Fund (AFF). Certain fines, penalties and forfeiture proceeds received by NOAA are deposited into this Fund and subsequently used to pay for certain enforcement-related expenses. When Congress authorized the AFF it was deemed appropriate to use these proceeds to offset in part the costs of administering the enforcement program. Expenses include

the following: costs directly related to the storage, maintenance, and care of seized fish, vessels, or other property during a civil or criminal proceeding; reimbursement to other Federal or State agencies for enforcement related services provided pursuant to an agreement entered into with the Secretary; and other limited uses as outlined in NOAA's Asset Forfeiture Fund policy. The NMFS Office of Law Enforcement (OLE) manages the AFF, which is used by OLE and NOAA General Counsel for Enforcement and Litigation to pay for enforcement activities.

**PROMOTE AND DEVELOP FISHERY PRODUCTS AND RESEARCH PERTAINING TO  
AMERICAN FISHERIES**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 13–5139–0–2–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0200 Access Fees, Western Pacific Sustainable Fisheries Fund .....                            | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....  | 1           | 1       | 1         |
| Appropriations:   |             |         |           |
| 0500 Promote and Develop Fishery Products and Research Pertaining to American Fisheries ..... | –1          | –1      | –1        |
| 0799 Balance, end of year .....   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 13–5139–0–2–376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 1           | 23      | 9         |
| 0900 Total new obligations (object class 41.0) ..... | 1           | 23      | 9         |

**Budgetary Resources:**

|   |      |      |      |
|---|------|------|------|
| Unobligated balance:  |      |      |      |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 1    | 1    | 1    |
| Budget authority:   |      |      |      |
| Appropriations, discretionary:  |      |      |      |
| 1120 Appropriations transferred to other accts [13–1450] .....        |      | –109 | –123 |
| 1160 Appropriation, discretionary (total) .....                       |      | –109 | –123 |
| Appropriations, mandatory:  |      |      |      |
| 1201 Appropriation (Western Pacific Sustainable Fisheries Fund) ..... | 1    | 1    | 1    |
| 1220 Appropriations transferred to other accts [13–1450] .....        | –109 |      |      |
| 1221 Appropriations transferred from other accts [12–5209] ....       | 109  | 131  | 131  |
| 1260 Appropriations, mandatory (total) .....                          | 1    | 132  | 132  |
| 1900 Budget authority (total) .....                                   | 1    | 23   | 9    |
| 1930 Total budgetary resources available .....                        | 2    | 24   | 10   |
| Memorandum (non-add) entries:   |      |      |      |
| 1941 Unexpired unobligated balance, end of year .....                 | 1    | 1    | 1    |

**Change in obligated balance:**

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 11 | 6  | 54 |
| 3010 Obligations incurred, unexpired accounts .....   | 1  | 23 | 9  |
| 3020 Outlays (gross) .....                            | –6 | 25 | 3  |
| 3050 Unpaid obligations, end of year .....            | 6  | 54 | 66 |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           | 11 | 6  | 54 |
| 3200 Obligated balance, end of year .....             | 6  | 54 | 66 |

**Budget authority and outlays, net:**

|   |   |      |      |
|---|---|------|------|
| Discretionary:                                      |   |      |      |
| 4000 Budget authority, gross .....                  |   | –109 | –123 |
| Outlays, gross:                                     |   |      |      |
| 4010 Outlays from new discretionary authority ..... |   | –109 | –123 |
| Mandatory:  |   |      |      |
| 4090 Budget authority, gross .....                  | 1 | 132  | 132  |
| Outlays, gross:                                     |   |      |      |
| 4100 Outlays from new mandatory authority .....     |   | 79   | 80   |
| 4101 Outlays from mandatory balances .....          | 6 | 5    | 40   |
| 4110 Outlays, gross (total) .....                   | 6 | 84   | 120  |
| 4180 Budget authority, net (total) .....            | 1 | 23   | 9    |
| 4190 Outlays, net (total) .....                     | 6 | –25  | –3   |



An amount equal to 30 percent of the gross receipts from customs duties on imported fishery products is transferred to the Department of Commerce annually from the Department of Agriculture. A portion of the funds is transferred to offset the appropriation requirements for fisheries research and management in the Operations, Research, and Facilities account. Remaining funds will support the Saltonstall-Kennedy grants program for fisheries research and development projects to enhance the productivity and improve the sustainable yield of domestic marine fisheries resources.

#### FISHERMEN'S CONTINGENCY FUND

*For carrying out the provisions of title IV of Public Law 95-372, not to exceed \$350,000, to be derived from receipts collected pursuant to that Act, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

The Fishermen's Contingency Fund is authorized under Section 402 of Title IV of the Outer Continental Shelf Lands Act Amendments of 1978. NOAA compensates U.S. commercial fishermen for damage or loss of fishing gear, vessels, and resulting economic loss caused by obstructions related to oil and gas exploration, development, and production in any area of the Outer Continental Shelf. The funds used to provide this compensation are derived from fees collected by the Secretary of the Interior from the holders of leases, exploration permits, easements, or rights-of-way in areas of the Outer Continental Shelf. This activity is funded entirely through user fees. Disbursements can be made only to the extent authorized in appropriation acts.

#### ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 13-5362-0-2-302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0240 Interest Earned, Environmental Improvement and Restoration Fund ..... | 10          | 10      | 10        |
| 0400 Total: Balances and collections .....                                 | 10          | 10      | 10        |
| Appropriations:  |             |         |           |
| 0500 Environmental Improvement and Restoration Fund .....                  | -10         | -10     | -10       |
| 0799 Balance, end of year .....  |             |         |           |

##### Program and Financing (in millions of dollars)

| Identification code 13-5362-0-2-302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 North Pacific Research Board .....               | 10          | 10      | 10        |
| 0900 Total new obligations (object class 41.0) .....  | 10          | 10      | 10        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 10          | 10      | 10        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 10          | 10      | 10        |
| 1260 Appropriations, mandatory (total) .....          | 10          | 10      | 10        |
| 1930 Total budgetary resources available .....        | 20          | 20      | 20        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 10          | 10      | 10        |

##### Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 26  | 26  | 13  |
| 3010 Obligations incurred, unexpired accounts .....   | 10  | 10  | 10  |
| 3020 Outlays (gross) .....                            | -10 | -23 | -16 |
| 3050 Unpaid obligations, end of year .....            | 26  | 13  | 7   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 26  | 26  | 13  |
| 3200 Obligated balance, end of year .....             | 26  | 13  | 7   |

##### Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Mandatory:                                      |    |    |    |
| 4090 Budget authority, gross .....              | 10 | 10 | 10 |
| Outlays, gross:                                 |    |    |    |
| 4100 Outlays from new mandatory authority ..... |    | 6  | 6  |
| 4101 Outlays from mandatory balances .....      | 10 | 17 | 10 |
| 4110 Outlays, gross (total) .....               | 10 | 23 | 16 |
| 4180 Budget authority, net (total) .....        | 10 | 10 | 10 |
| 4190 Outlays, net (total) .....                 | 10 | 23 | 16 |

This fund was established by Title IV of P.L. 105-83. Twenty percent of the interest earned from this fund is made available to the Department of Commerce. Funds are to be used by Federal, State, private or foreign organizations or individuals to conduct research activities on or relating to the fisheries or marine ecosystems in the North Pacific Ocean, Bering Sea, and Arctic Ocean. Research priorities and grant requests are reviewed and approved by the North Pacific Research Board with emphasis placed on cooperative research efforts designed to address pressing fishery management or marine ecosystem information needs.

#### COASTAL ZONE MANAGEMENT FUND

##### Program and Financing (in millions of dollars)

| Identification code 13-4313-0-3-306   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1802 Offsetting collections (previously unavailable) .....                                    | 18          |         |           |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -18         |         |           |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5090 Unavailable balance, SOY: Offsetting collections .....                                   | 18          |         |           |

##### Status of Direct Loans (in millions of dollars)

| Identification code 13-4313-0-3-306               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 22          | 21      | 21        |
| 1251 Repayments: Repayments and prepayments ..... | -1          |         |           |
| 1290 Outstanding, end of year .....               | 21          | 21      | 21        |

This fund consists of loan repayments from the former Coastal Energy Impact Program. The Department of Commerce Appropriations Act, 2012, cancelled all balances in the Coastal Zone Management Fund, made future payments to the Fund subject to the Federal Credit Reform Act of 1990, and eliminated the annual transfer from this account to the Operations, Research, and Facilities account. The display below includes reporting information consistent with all other credit liquidating accounts.

##### Balance Sheet (in millions of dollars)

| Identification code 13-4313-0-3-306                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1601 Direct loans, gross .....  | 22          | 21          |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -15         | -14         |
| 1699 Value of assets related to direct loans .....                      | 7           | 7           |

COASTAL ZONE MANAGEMENT FUND—Continued  
Balance Sheet—Continued

| Identification code 13–4313–0–3–306                           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 1999 Total assets .....                                       | 7           | 7           |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury ..... | 7           | 7           |
| 4999 Total liabilities and net position .....                 | 7           | 7           |

## DAMAGE ASSESSMENT AND RESTORATION REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 13–4316–0–3–306                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Reimbursable program .....                                      | 171         | 16      | 16        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 51          | 98      | 107       |
| 1011 Unobligated balance transfer from other accts [14–1618] ....    | 7           | 8       | 8         |
| 1021 Recoveries of prior year unpaid obligations .....               | 1           |         |           |
| 1050 Unobligated balance (total) .....                               | 59          | 106     | 115       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1221 Appropriations transferred from other accts [14–1618] ....      | 7           | 8       | 8         |
| 1260 Appropriations, mandatory (total) .....                         | 7           | 8       | 8         |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 202         | 9       | 9         |
| 1801 Change in uncollected payments, Federal sources .....           | 1           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 203         | 9       | 9         |
| 1900 Budget authority (total) .....                                  | 210         | 17      | 17        |
| 1930 Total budgetary resources available .....                       | 269         | 123     | 132       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 98          | 107     | 116       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 74          | 133     | 3         |
| 3010 Obligations incurred, unexpired accounts .....                  | 171         | 16      | 16        |
| 3020 Outlays (gross) .....   | –111        | –146    | –18       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 133         | 3       | 1         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    |             | –1      | –1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –1          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –1          | –1      | –1        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 74          | 132     | 2         |
| 3200 Obligated balance, end of year .....                            | 132         | 2       |           |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 210         | 17      | 17        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 52          | 9       | 9         |
| 4101 Outlays from mandatory balances .....                           | 59          | 137     | 9         |
| 4110 Outlays, gross (total) .....                                    | 111         | 146     | 18        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   | –103        | –1      | –1        |
| 4123 Non-Federal sources .....                                       |             | –8      | –8        |
| 4124 Offsetting governmental collections .....                       | –99         |         |           |
| 4130 Offsets against gross budget authority and outlays (total) .... | –202        | –9      | –9        |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | –1          |         |           |
| 4160 Budget authority, net (mandatory) .....                         | 7           | 8       | 8         |
| 4170 Outlays, net (mandatory) .....                                  | –91         | 137     | 9         |
| 4180 Budget authority, net (total) .....                             | 7           | 8       | 8         |
| 4190 Outlays, net (total) .....                                      | –91         | 137     | 9         |

The Damage Assessment and Restoration Revolving Fund is authorized under Section 1012(a) of the Oil Pollution Act of 1990,

for the deposit of sums provided by any party or governmental entity to respond to the environmental effects of discharges of oil and other hazardous substances. Through the Revolving Fund, NOAA retains funds that are recovered through settlement or awarded by a court for the assessment and restoration of injured natural resources. NOAA also ensures deposited funds shall remain available to the trustee, without further appropriation, until expended to pay costs associated with the response, damage assessment, and restoration of natural resources.

These program functions are conducted jointly within NOAA by the Office of General Counsel, the National Ocean Service, and the National Marine Fisheries Service.

## Object Classification (in millions of dollars)

| Identification code 13–4316–0–3–306                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 165         | 10      | 10        |
| 41.0 Grants, subsidies, and contributions .....        | 2           | 2       | 2         |
| 99.9 Total new obligations .....                       | 171         | 16      | 16        |

## Employment Summary

| Identification code 13–4316–0–3–306                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 62          | 62      | 62        |

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

| Identification code 13–4487–0–3–376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Enterprise Info Tech services .....                           |             |         | 100       |
| 0900 Total new obligations (object class 25.2) .....               |             |         | 100       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             |         | 100       |
| 1750 Spending auth from offsetting collections, disc (total) ..... |             |         | 100       |
| 1930 Total budgetary resources available .....                     |             |         | 100       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                |             |         | 100       |
| 3020 Outlays (gross) .....   |             |         | –77       |
| 3050 Unpaid obligations, end of year .....                         |             |         | 23        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3200 Obligated balance, end of year .....                          |             |         | 23        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 |             |         | 100       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             |         | 77        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   |             |         | –100      |
| 4190 Outlays, net (total) .....                                    |             |         | –23       |

This newly proposed fund finances, on a reimbursable basis, NOAA-wide administrative services that can be more efficiently and economically performed on a centralized basis.

## Object Classification (in millions of dollars)

| Identification code 13–4487–0–3–376                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 25.2 Other services from non-Federal sources ..... |             |         | 100       |
| 99.0 Reimbursable obligations .....                |             |         | 100       |

## FISHERIES FINANCE PROGRAM ACCOUNT

Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2014, obligations of direct loans may not exceed \$24,000,000 for Individual Fishing Quota loans and not to exceed \$59,000,000 for traditional direct loans as authorized by the Merchant Marine Act of 1936: Provided, That none of the funds made available under this heading may be used for direct loans for any new fishing vessel that will increase the harvesting capacity in any United States fishery.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13–1456–0–1–376                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0705 Reestimates of direct loan subsidy .....                | 4           | 8       |           |
| 0706 Interest on reestimates of direct loan subsidy .....    | 1           | 7       |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... | 1           |         |           |
| 0900 Total new obligations (object class 25.2) .....         | 6           | 15      |           |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....        | 3           | 3       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                   |             |         |           |
| 1200 Appropriation .....                                     | 6           | 15      |           |
| 1260 Appropriations, mandatory (total) .....                 | 6           | 15      |           |
| 1930 Total budgetary resources available .....               | 9           | 18      | 3         |
| Memorandum (non-add) entries:                                |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....        | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                          |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....          | 6           | 15      |           |
| 3020 Outlays (gross) .....                                   | –6          | –15     |           |
| <b>Budget authority and outlays, net:</b>                    |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                           | 6           | 15      |           |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....              | 6           | 15      |           |
| 4180 Budget authority, net (total) .....                     | 6           | 15      |           |
| 4190 Outlays, net (total) .....                              | 6           | 15      |           |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 13–1456–0–1–376                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Individual Fishing Quota Loans .....                 | 6           | 24      | 24        |
| 115002 Traditional Direct Loans .....                       | 36          | 59      | 59        |
| 115011 Seine Buyback .....                                  | 23          |         |           |
| 115999 Total direct loan levels .....                       | 65          | 83      | 83        |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Individual Fishing Quota Loans .....                 | –12.80      | –2.70   | –8.06     |
| 132002 Traditional Direct Loans .....                       | –13.77      | –4.83   | –7.36     |
| 132011 Seine Buyback .....                                  | 1.00        |         |           |
| 132999 Weighted average subsidy rate .....                  | –8.45       | –4.21   | –7.56     |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Individual Fishing Quota Loans .....                 | –1          | –1      | –2        |
| 133002 Traditional Direct Loans .....                       | –5          | –3      | –4        |
| 133999 Total subsidy budget authority .....                 | –6          | –4      | –6        |

## Direct loan subsidy outlays:

|   |    |     |    |
|---|----|-----|----|
| 134001 Individual Fishing Quota Loans .....             | –1 | –1  | –1 |
| 134002 Traditional Direct Loans .....                   | –5 | –4  | –5 |
| 134999 Total subsidy outlays .....                      | –6 | –5  | –6 |
| Direct loan upward reestimates:                         |    |     |    |
| 135001 Individual Fishing Quota Loans .....             |    | 2   |    |
| 135002 Traditional Direct Loans .....                   | 3  | 11  |    |
| 135003 Pacific Ground Fish .....                        |    | 1   |    |
| 135007 Pollock Buyback .....                            | 2  | 1   |    |
| 135999 Total upward reestimate budget authority .....   | 5  | 15  |    |
| Direct loan downward reestimates:                       |    |     |    |
| 137001 Individual Fishing Quota Loans .....             | –1 | –1  |    |
| 137002 Traditional Direct Loans .....                   | –1 | –13 |    |
| 137008 Crab Buyback loans .....                         | –4 | –7  |    |
| 137999 Total downward reestimate budget authority ..... | –6 | –21 |    |
| Guaranteed loan upward reestimates:                     |    |     |    |
| 235002 Guaranteed Traditional Loans .....               | 1  |     |    |
| 235999 Total upward reestimate budget authority .....   | 1  |     |    |

The Fisheries Finance Program (FFP) is a national loan program that makes long-term fixed-rate financing available to U.S. citizens who otherwise qualify for financing or refinancing of the construction, reconstruction, reconditioning, and, in some cases, the purchasing of fishing vessels, shoreside processing, aquaculture, and mariculture facilities. The FFP also provides fishery-wide financing to ease the transition to sustainable fisheries through its fishing capacity reduction programs and provides financial assistance in the form of loans to fishermen who fish from small vessels and entry-level fishermen to promote stability and reduce consolidation in already rationalized fisheries. Additionally, FFP can provide loans for fisheries investments of Native American Community Development Quota groups.

The FFP operates under the authority of Title XI of the Merchant Marine Act of 1936, as amended; Section 303(a) of the Sustainable Fisheries Act amendments to the Magnuson-Stevens Act; and, from time to time FFP-specific legislation. The overriding guideline for all FFP financings is that they cannot contribute or be construed to contribute to an increase in existing fishing capacity.

## FISHERIES FINANCE DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 13–4324–0–3–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 65          | 83      | 83        |
| 0713 Payment of interest to Treasury .....                                      | 28          | 27      | 27        |
| 0740 Negative subsidy obligations .....   | 6           | 4       | 6         |
| 0742 Downward reestimate paid to receipt account .....                          | 4           | 17      |           |
| 0743 Interest on downward reestimates .....                                     | 2           | 4       |           |
| 0900 Total new obligations .....  | 105         | 135     | 116       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 5           | 1       | 1         |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | –5          | –1      | –1        |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 72          | 83      | 83        |
| 1440 Borrowing authority, mandatory (total) .....                               | 72          | 83      | 83        |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 103         | 94      | 89        |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –70         | –42     | –55       |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 33          | 52      | 34        |
| 1900 Financing authority (total) .....  | 105         | 135     | 117       |
| 1930 Total budgetary resources available .....                                  | 105         | 135     | 117       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           |             |         | 1         |

**FISHERIES FINANCE DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 13-4324-0-3-376                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 145         | 130     | 154       |
| 3010 Obligations incurred, unexpired accounts .....                       | 105         | 135     | 116       |
| 3020 Financing disbursements (gross) .....                                | -115        | -110    | -110      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....         | -5          | -1      | -1        |
| 3050 Unpaid obligations, end of year .....                                | 130         | 154     | 159       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 145         | 130     | 154       |
| 3200 Obligated balance, end of year .....                                 | 130         | 154     | 159       |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 105         | 135     | 117       |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 115         | 110     | 110       |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Payments from program account .....                                  | -5          | -14     | .....     |
| 4122 Interest on uninvested funds .....                                   | -2          | -2      | -2        |
| 4123 Repayments of principal, net .....                                   | -62         | -45     | -54       |
| 4123 Interest Received on loans .....                                     | -34         | -33     | -33       |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -103        | -94     | -89       |
| 4160 Financing authority, net (mandatory) .....                           | 2           | 41      | 28        |
| 4170 Financing disbursements, net (mandatory) .....                       | 12          | 16      | 21        |
| 4180 Financing authority, net (total) .....                               | 2           | 41      | 28        |
| 4190 Financing disbursements, net (total) .....                           | 12          | 16      | 21        |

**Status of Direct Loans (in millions of dollars)**

| Identification code 13-4324-0-3-376                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 83          | 83      | 83        |
| 1121 Limitation available from carry-forward .....                     | 23          | .....   | .....     |
| 1142 Unobligated direct loan limitation (-) .....                      | -41         | .....   | .....     |
| 1150 Total direct loan obligations .....                               | 65          | 83      | 83        |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 457         | 471     | 484       |
| 1231 Disbursements: Direct loan disbursements .....                    | 76          | 58      | 76        |
| 1251 Repayments: Repayments and prepayments .....                      | -62         | -45     | -54       |
| 1290 Outstanding, end of year .....                                    | 471         | 484     | 506       |

This account covers the financing of direct loans as authorized by the Magnuson-Stevens Fishery Conservation and Management Act to promote market-based approaches to sustainable fisheries management. Funds are not used for purposes that would contribute to the overcapitalization of the fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 13-4324-0-3-376                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets: Investments in US securities:                     |             |             |
| 1106 Federal Receivables, net .....                               | 4           | 10          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 457         | 471         |
| 1402 Interest receivable .....                                    | 6           | 6           |
| 1405 Allowance for subsidy cost (-) .....                         | 81          | 75          |
| 1499 Net present value of assets related to direct loans .....    | 544         | 552         |
| 1999 Total assets .....   | 548         | 562         |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....                                       | 9           | 10          |
| 2103 Federal liabilities, debt .....                              | 539         | 552         |
| 2999 Total liabilities .....                                      | 548         | 562         |

|   |     |     |
|---|-----|-----|
| 4999 Total liabilities and net position ..... | 548 | 562 |
|---|-----|-----|

**FISHERIES FINANCE GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing (in millions of dollars)**

| Identification code 13-4314-0-3-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 1           | .....   | .....     |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -1          | .....   | .....     |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Payments from Program Account .....  | -1          | .....   | .....     |
| 4180 Financing authority, net (total) .....                                     | -1          | .....   | .....     |
| 4190 Financing disbursements, net (total) .....                                 | -1          | .....   | .....     |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 13-4314-0-3-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 1           | 1       | 1         |
| 2290 Outstanding, end of year .....   | 1           | 1       | 1         |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 14          | 14      | 14        |
| 2390 Outstanding, end of year .....   | 14          | 14      | 14        |

This account covers the financing of guaranteed loans obligated or committed subsequent to October 1, 1991 as authorized by the Merchant Marine Act of 1936, as amended. Funds are not used for purposes which would contribute to the overcapitalization of the fishing industry. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 13-4314-0-3-376  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets: Investments in US securities:  |             |             |
| 1106 Receivables, net .....  | 1           | 1           |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 14          | 14          |
| 1502 Interest receivable .....   | 1           | 1           |
| 1505 Allowance for subsidy cost (-) .....  | -15         | -15         |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             | .....       | .....       |
| 1999 Total assets .....  | 1           | 1           |
| <b>LIABILITIES:</b>  |             |             |
| 2103 Federal liabilities: Debt .....   | 1           | 1           |
| 4999 Total liabilities and net position .....  | 1           | 1           |

**FEDERAL SHIP FINANCING FUND FISHING VESSELS LIQUIDATING ACCOUNT****Status of Guaranteed Loans (in millions of dollars)**

| Identification code 13-4417-0-3-376                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding: |             |         |           |
| 2210 Outstanding, start of year .....               | 2           | 1       | 1         |
| 2251 Repayments and prepayments .....               | -1          | .....   | .....     |
| 2290 Outstanding, end of year .....                 | 1           | 1       | 1         |

|   |  |    |    |
|---|--|----|----|
| Memorandum:   |  |    |    |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 1  | 1  |
| Addendum:   |  |    |    |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |    |    |
| 2310  | Outstanding, start of year .....                                     | 10 | 10 |
| 2390  | Outstanding, end of year .....                                       | 10 | 10 |

The Federal Ship Financing Fund Vessels Liquidating Account collects premiums and fees of the loan guarantee portfolio that existed prior to 1992. Administrative expenses for management of the loan guarantee portfolio were charged to the Federal Ship Financing Fund prior to the enactment of the Federal Credit Reform Act of 1990.

#### Balance Sheet (in millions of dollars)

| Identification code 13-4417-0-3-376                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1701 Defaulted guaranteed loans, gross .....                            | 10          | 10          |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -8          | -8          |
| 1799 Value of assets related to loan guarantees .....                   | 2           | 2           |
| 1999 Total assets .....   | 2           | 2           |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 2           | 2           |
| 4999 Total liabilities and net position .....                           | 2           | 2           |

## U.S. PATENT AND TRADEMARK OFFICE

### Federal Funds

#### SALARIES AND EXPENSES

##### (INCLUDING TRANSFERS OF FUNDS)

For necessary expenses of the United States Patent and Trademark Office (USPTO) provided for by law, including defense of suits instituted against the Under Secretary of Commerce for Intellectual Property and Director of the USPTO, \$3,071,375,000 to remain available until expended: Provided, That the sum herein appropriated from the general fund shall be reduced as offsetting collections of fees and surcharges assessed and collected by the USPTO under any law are received during fiscal year 2014, so as to result in a fiscal year 2014 appropriation from the general fund estimated at \$0: Provided further, That during fiscal year 2014, should the total amount of such offsetting collections be less than \$3,071,375,000, this amount shall be reduced accordingly: Provided further, That any amount received in excess of \$3,071,375,000 in fiscal year 2014 and deposited in the Patent and Trademark Fee Reserve Fund shall be transferred to the United States Patent and Trademark Office Salaries and Expenses account in the Treasury and remain available until expended: Provided further, That the Director of USPTO shall submit a spending plan to the Committees on Appropriations of the House of Representatives and the Senate for any amounts made available by the preceding proviso and such spending plan shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That from amounts provided herein, not to exceed \$900 shall be made available in fiscal year 2014 for official reception and representation expenses: Provided further, That in fiscal year 2014 from the amounts made available for "Salaries and Expenses" for the USPTO, the amounts necessary to pay (1) the difference between the percentage of basic pay contributed by the USPTO and employees under section 8334(a) of title 5, United States Code, and the normal cost percentage (as defined by section 8331(17) of that title) as provided by the Office of Personnel Management (OPM) for USPTO's specific use, of basic pay, of employees subject to subchapter III of chapter 83 of that title, and (2) the present value of the otherwise unfunded accruing costs, as determined by OPM for USPTO's specific use of post-retirement life insurance and post-retirement health benefits coverage for all USPTO employees who are enrolled in Federal Employees Health Benefits (FEHB) and Federal Employees

Group Life Insurance (FEGLI), shall be transferred to the Civil Service Retirement and Disability Fund, the FEGLI Fund, and the FEHB Fund, as appropriate, and shall be available for the authorized purposes of those accounts: Provided further, That any differences between the present value factors published in OPM's yearly 300 series benefit letters and the factors that OPM provides for USPTO's specific use shall be recognized as an imputed cost on USPTO's financial statements, where applicable: Provided further, That, notwithstanding any other provision of law, all fees and surcharges assessed and collected by USPTO are available for USPTO only pursuant to section 42(c) of title 35, United States Code, as amended by section 22 of the Leahy-Smith America Invents Act (Public Law 112-29): Provided further, That within the amounts appropriated, \$2,000,000 shall be transferred to the "Office of Inspector General" account for activities associated with carrying out investigations and audits related to the USPTO.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 13-1006-0-1-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Patents .....  | 2,142       | 2,529   | 2,745     |
| 0802 Trademarks .....   | 233         | 263     | 291       |
| 0809 Reimbursable program activities, subtotal .....  | 2,375       | 2,792   | 3,036     |
| 0900 Total new obligations .....  | 2,375       | 2,792   | 3,036     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 178         | 238     | 220       |
| 1021 Recoveries of prior year unpaid obligations .....  | 23          | 23      | 23        |
| 1050 Unobligated balance (total) .....  | 201         | 261     | 243       |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1700 Base Fee Collections .....   | 2,407       | 2,746   | 3,071     |
| 1700 Other Income .....   | 6           | 6       | 6         |
| 1710 Spending authority from offsetting collections transferred to other accounts [13-0126] ..... | -1          | -1      | -2        |
| 1750 Spending auth from offsetting collections, disc (total) .....                                | 2,412       | 2,751   | 3,075     |
| 1930 Total budgetary resources available .....  | 2,613       | 3,012   | 3,318     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 238         | 220     | 282       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 325         | 345     | 383       |
| 3010 Obligations incurred, unexpired accounts .....   | 2,375       | 2,792   | 3,036     |
| 3020 Outlays (gross) .....  | -2,332      | -2,731  | -3,024    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                 | -23         | -23     | -23       |
| 3050 Unpaid obligations, end of year .....  | 345         | 383     | 372       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 325         | 345     | 383       |
| 3200 Obligated balance, end of year .....   | 345         | 383     | 372       |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 2,412       | 2,751   | 3,075     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 2,070       | 2,410   | 2,694     |
| 4011 Outlays from discretionary balances .....  | 262         | 321     | 330       |
| 4020 Outlays, gross (total) .....   | 2,332       | 2,731   | 3,024     |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | -8          | -8      | -8        |
| 4033 Non-Federal sources .....  | -2,405      | -2,744  | -3,069    |
| 4040 Offsets against gross budget authority and outlays (total) ....                              | -2,413      | -2,752  | -3,077    |
| 4070 Budget authority, net (discretionary) .....  | -1          | -1      | -2        |
| 4080 Outlays, net (discretionary) .....   | -81         | -21     | -53       |
| 4180 Budget authority, net (total) .....  | -1          | -1      | -2        |
| 4190 Outlays, net (total) .....   | -81         | -21     | -53       |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5090 Unavailable balance, SOY: Offsetting collections .....                                       | 790         | 790     | 790       |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 13–1006–0–1–376                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 5091 Unavailable balance, EOY: Offsetting collections ..... | 790         | 790     | 790       |

The United States Patent and Trademark Office (USPTO) issues patents and registers trademarks, which provide protection to inventors and businesses for their inventions and corporate and product identifications. USPTO also advises other U.S. Government agencies on intellectual property issues and promotes stronger intellectual property protections in other countries. USPTO is funded through fees that are paid to obtain and renew patents and trademarks. The 2014 Budget requests a program level of \$3.08 billion for USPTO and full access for the agency to its fee collections.

**Patent program.**—Requested funding for 2014 will be used for examining patent applications and granting patents. USPTO will continue its aggressive patent pendency reduction agenda to reduce overall pendency and backlog over the next three years; continue to reengineer its quality management program; make improvements to its information technology infrastructure; and improve intellectual property protections worldwide.

**Trademark program.**—The 2014 Budget provides resources for examining trademark applications, registering trademarks, maximizing the use of e-government for conducting business with applicants and registrants, and improving trademark practices worldwide.

## Object Classification (in millions of dollars)

| Identification code 13–1006–0–1–376 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 2,375       | 2,792   | 3,036     |

## Employment Summary

| Identification code 13–1006–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 10,469      | 12,018  | 12,667    |

## NATIONAL TECHNICAL INFORMATION SERVICE

## Federal Funds

## NTIS REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 13–4295–0–3–376 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

## Obligations by program activity:

|                                 |    |    |    |
|---------------------------------|----|----|----|
| 0801 Reimbursable program ..... | 66 | 65 | 65 |
|---------------------------------|----|----|----|

## Budgetary Resources:

|  |    |    |    |
|--|----|----|----|
| 1000 Unobligated balance brought forward, Oct 1 .....              | 7  | 9  | 10 |
| Budget authority:  |    |    |    |
| Spending authority from offsetting collections, discretionary:     |    |    |    |
| 1700 Collected .....   | 65 | 66 | 66 |
| 1701 Change in uncollected payments, Federal sources .....         | 3  |    |    |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 68 | 66 | 66 |
| 1930 Total budgetary resources available .....                     | 75 | 75 | 76 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....              | 9  | 10 | 11 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 23  | 24  | 24  |
| 3010 Obligations incurred, unexpired accounts .....   | 66  | 65  | 65  |
| 3020 Outlays (gross) .....                            | –65 | –65 | –66 |

|   |    |    |    |
|---|----|----|----|
| 3050 Unpaid obligations, end of year .....                        | 24 | 24 | 23 |
| Uncollected payments:   |    |    |    |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –3 | –6 | –6 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –3 |    |    |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –6 | –6 | –6 |
| Memorandum (non-add) entries:                                     |    |    |    |
| 3100 Obligated balance, start of year .....                       | 20 | 18 | 18 |
| 3200 Obligated balance, end of year .....                         | 18 | 18 | 17 |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                                   | 68  | 66  | 66  |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....                  | 58  | 36  | 36  |
| 4011 Outlays from discretionary balances .....                       | 7   | 29  | 30  |
| 4020 Outlays, gross (total) .....                                    | 65  | 65  | 66  |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Federal sources .....   | –55 | –52 | –52 |
| 4033 Non-Federal sources .....                                       | –10 | –14 | –14 |
| 4040 Offsets against gross budget authority and outlays (total) .... | –65 | –66 | –66 |
| Additional offsets against gross budget authority only:              |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –3  |     |     |
| 4080 Outlays, net (discretionary) .....                              |     | –1  |     |
| 4190 Outlays, net (total) .....                                      |     | –1  |     |

The National Technical Information Service (NTIS) collects and disseminates government scientific, technical, and business-related information. NTIS operates this revolving fund for the payment of all expenses incurred in performing these activities.

**Performance measures.**—A detailed presentation of performance outcomes, related measures, and targets is found in the Department's 2014 budget.

## Balance Sheet (in millions of dollars)

| Identification code 13–4295–0–3–376                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1101 Federal assets: Fund balances with Treasury ..... | 30          | 30          |
| 1206 Non-Federal assets: Receivables, net .....        | 1           | 1           |
| 1901 Other Federal assets: Other assets .....          | 11          | 11          |
| 1999 Total assets .....                                | 42          | 42          |
| LIABILITIES:   |             |             |
| Federal liabilities:                                   |             |             |
| 2101 Accounts payable .....                            | 8           | 8           |
| 2105 Other .....                                       | 7           | 7           |
| Non-Federal liabilities:                               |             |             |
| 2201 Accounts payable .....                            | 5           | 5           |
| 2207 Other .....                                       | 7           | 7           |
| 2999 Total liabilities .....                           | 27          | 27          |
| NET POSITION:  |             |             |
| 3300 Cumulative results of operations .....            | 15          | 15          |
| 4999 Total liabilities and net position .....          | 42          | 42          |

## Object Classification (in millions of dollars)

| Identification code 13–4295–0–3–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 12          | 12      | 12        |
| 12.1 Civilian personnel benefits .....                          | 4           | 4       | 4         |
| 22.0 Transportation of things .....                             | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 24.0 Printing and reproduction .....                            | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 31          | 30      | 30        |
| 25.3 Other goods and services from Federal sources .....        | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 2           | 2       | 2         |
| 99.9 Total new obligations .....                                | 66          | 65      | 65        |

## Employment Summary

| Identification code 13-4295-0-3-376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 114         | 150     | 150       |

## NATIONAL INSTITUTE OF STANDARDS AND TECHNOLOGY

### Federal Funds

## SCIENTIFIC AND TECHNICAL RESEARCH AND SERVICES

*For necessary expenses of the National Institute of Standards and Technology, \$693,745,000, to remain available until expended, of which not to exceed \$9,000,000 may be transferred to the "Working Capital Fund": Provided, That not to exceed \$5,000 shall be for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13-0500-0-1-376                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Laboratory programs .....                         | 529         | 537     | 620       |
| 0201 Corporate services .....                          | 19          | 20      | 18        |
| 0301 Standards coordination and special programs ..... | 27          | 43      | 61        |
| 0900 Total new obligations .....                       | 575         | 600     | 699       |

## Budgetary Resources:

|   |     |     |     |
|---|-----|-----|-----|
| 1000 Unobligated balance:   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....                                 | 16  | 19  |     |
| 1010 Unobligated balance transferred to NIST ITS [13-0525] .....                      | -2  |     |     |
| 1021 Recoveries of prior year unpaid obligations .....                                | 5   | 1   | 1   |
| 1050 Unobligated balance (total) .....  | 19  | 20  | 1   |
| Budget authority:   |     |     |     |
| Appropriations, discretionary:  |     |     |     |
| 1100 New budget authority (gross), detail .....                                       | 567 | 570 | 694 |
| 1120 Transferred to NIST WCF [13-4650] .....  | -2  |     | -2  |
| 1121 Transferred from State and Local Law Enforcement Assistance, DoJ [15-0404] ..... | 2   | 2   |     |
| 1121 Transferred from EAC [95-1650] .....   | 3   | 3   | 3   |
| 1121 Transferred from Research, Evaluation, and Statistics, DoJ [15-0401] .....       | 5   | 5   | 3   |
| 1160 Appropriation, discretionary (total) .....                                       | 575 | 580 | 698 |
| 1900 Budget authority (total) .....   | 575 | 580 | 698 |
| 1930 Total budgetary resources available .....  | 594 | 600 | 699 |
| Memorandum (non-add) entries:   |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....                                 | 19  |     |     |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Change in obligated balances .....                           | 247  | 210  | 167  |
| 3010 Obligations incurred, unexpired accounts .....               | 575  | 600  | 699  |
| 3020 Outlays (gross) .....  | -607 | -642 | -691 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -5   | -1   | -1   |
| 3050 Unpaid obligations, end of year .....                        | 210  | 167  | 174  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 247  | 210  | 167  |
| 3200 Obligated balance, end of year .....                         | 210  | 167  | 174  |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:                                 |     |     |     |
| 4000 Budget authority, gross .....             | 575 | 580 | 698 |
| Outlays, gross:                                |     |     |     |
| 4010 Outlays (gross), detail .....             | 420 | 447 | 537 |
| 4011 Outlays from discretionary balances ..... | 187 | 195 | 154 |
| 4020 Outlays, gross (total) .....              | 607 | 642 | 691 |
| 4180 Budget authority, net (total) .....       | 575 | 580 | 698 |
| 4190 Outlays, net (total) .....                | 607 | 642 | 691 |

The mission of the National Institute of Standards and Technology (NIST) is to promote U.S. innovation and industrial competitiveness by advancing measurement science, standards, and technology in ways that enhance economic security and improve our quality of life. For more than 100 years, NIST has maintained the national standards of measurement, a role that the U.S. Constitution assigns to the Federal Government to ensure fairness in the marketplace. Today, the NIST Laboratories address increasingly complex measurement challenges. For example, NIST develops measurements focusing on the very small (e.g., nanotechnology devices) and the very large (e.g., skyscrapers), the physical (e.g., methods for characterizing strands of DNA for forensic testing) and the virtual (e.g., methods for testing electronic health record systems). NIST promotes the use of measurements based on the international system of units (SI). The measurement science research at NIST is useful to all science and engineering disciplines.

The 2014 President's Budget recognizes the important role of NIST programs to advancing innovation by requesting \$694 million for the Scientific and Technical Research and Services (STRS) appropriation, an increase of \$127 million over the FY 2012 enacted level. Within the \$694 million request, current Administration priority areas targeted for budget increases include Advanced Manufacturing, Cybersecurity, Healthcare IT, Disaster Resilience, Forensics, Advanced Communications, and NIST Centers of Excellence in measurement science and new technology areas.

*Performance Measures.*—A detailed presentation of performance outcomes, measures, and targets is found in the Department's FY 2014 budget submission.

## Object Classification (in millions of dollars)

| Identification code 13-0500-0-1-376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 199         | 202     | 219       |
| 11.3 Other than full-time permanent .....                       | 14          | 15      | 15        |
| 11.5 Other personnel compensation .....                         | 5           | 5       | 5         |
| 11.9 Total personnel compensation .....                         | 218         | 222     | 239       |
| 12.1 Civilian personnel benefits .....                          | 62          | 64      | 70        |
| 13.0 Benefits for former personnel .....                        | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 9           | 10      | 11        |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 22          | 21      | 35        |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 71          | 90      | 85        |
| 25.3 Other goods and services from Federal sources .....        | 16          | 16      | 23        |
| 25.5 Research and development contracts .....                   | 1           | 1       | 16        |
| 25.7 Operation and maintenance of equipment .....               | 13          | 13      | 15        |
| 26.0 Supplies and materials .....                               | 32          | 32      | 38        |
| 31.0 Equipment .....  | 47          | 47      | 56        |
| 41.0 Grants, subsidies, and contributions .....                 | 79          | 79      | 106       |
| 99.9 Total new obligations .....                                | 575         | 600     | 699       |

## Employment Summary

| Identification code 13-0500-0-1-376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,042       | 2,202   | 2,362     |

## INDUSTRIAL TECHNOLOGY SERVICES

*For necessary expenses of the Industrial Technology Services, \$174,507,000, to remain available until expended, of which \$153,078,000 shall be for the Hollings Manufacturing Extension Partnership, and of which \$21,429,000 shall be for the Advanced Manufacturing Technology Consortia.*

## INDUSTRIAL TECHNOLOGY SERVICES—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13–0525–0–1–376       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Technology Innovation Program .....                          | 4           | 3       | .....     |
| 0002                                      | Hollings Manufacturing Extension Partnership .....           | 129         | 135     | 153       |
| 0003                                      | Baldrige Performance Excellence Program .....                | 2           | .....   | .....     |
| 0004                                      | Advanced Manufacturing Technology Consortia .....            | .....       | .....   | 21        |
| 0100                                      | Total direct program .....                                   | 135         | 138     | 174       |
| 0900                                      | Total new obligations .....                                  | 135         | 138     | 174       |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 8           | 7       | .....     |
| 1011                                      | Unobligated balance transfer from other accts [13–0500] .... | 2           | .....   | .....     |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 4           | 2       | .....     |
| 1050                                      | Unobligated balance (total) .....                            | 14          | 9       | .....     |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 128         | 129     | 174       |
| 1160                                      | Appropriation, discretionary (total) .....                   | 128         | 129     | 174       |
| 1900                                      | Budget authority (total) .....                               | 128         | 129     | 174       |
| 1930                                      | Total budgetary resources available .....                    | 142         | 138     | 174       |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 7           | .....   | .....     |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 147         | 120     | 73        |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 135         | 138     | 174       |
| 3020                                      | Outlays (gross) .....  | –158        | –183    | –161      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | –4          | –2      | .....     |
| 3050                                      | Unpaid obligations, end of year .....                        | 120         | 73      | 86        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 147         | 120     | 73        |
| 3200                                      | Obligated balance, end of year .....                         | 120         | 73      | 86        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 128         | 129     | 174       |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               | 54          | 71      | 90        |
| 4011                                      | Outlays from discretionary balances .....                    | 104         | 112     | 71        |
| 4020                                      | Outlays, gross (total) .....                                 | 158         | 183     | 161       |
| 4180                                      | Budget authority, net (total) .....                          | 128         | 129     | 174       |
| 4190                                      | Outlays, net (total) .....                                   | 158         | 183     | 161       |

NIST requests \$175 million for the ITS appropriation, which consists of two extramural programs, the Hollings Manufacturing Extension Partnership (MEP) and the Advanced Manufacturing Technology Consortia program (AMTech).

**Hollings Manufacturing Extension Partnership (MEP).**—The request includes \$153 million for MEP, a \$25 million increase over the FY 2012 enacted level. MEP is a Federal-State-industry partnership that provides U.S. manufacturers with access to technologies, resources, and industry experts. The program consists of 60 MEP Centers that work directly with their local manufacturing communities to strengthen the competitiveness of our Nation's domestic manufacturing base. MEP supports the mission of NIST and the Department of Commerce to promote U.S. innovation and competitiveness and enable economic growth for American industries, workers, and consumers. Across the country, MEP Centers serve as trusted advisors to their manufacturing clients. MEP helps companies navigate economic and business challenges, and provides an innovation framework to enable them to capitalize on opportunities and develop pathways

leading to profitable growth. Services provided by MEP are grounded in technology-related activities, sustainability, efficiencies through continuous improvement, and the integration of supply chains. The 2014 Budget provides an increase of \$25 million to establish Manufacturing Technology Acceleration Centers that will enhance MEP's ability to help manufacturers with technology adoption and supply chain development.

**Advanced Manufacturing Technology Consortia (AMTech).**—The request includes \$21 million for the Advanced Manufacturing Technology Consortia program (AMTech). AMTech will provide grants to leverage existing consortia or establish new industry-led consortia to develop road-maps of critical long-term industrial research needs as well as fund facilities, equipment and research at leading universities and government laboratories directed at meeting these needs. This program would be based on NIST's experience with the Nanoelectronics Research Initiative (NRI) partnership and would expand and improve on that model.

**Performance Measures.**—A detailed presentation of performance outcomes, related measures, and targets is found in the Department's 2014 budget.

## Object Classification (in millions of dollars)

| Identification code 13–0525–0–1–376 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| <b>Direct obligations:</b>          |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 12          | 9       | 9         |
| 11.3                                | Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 13          | 10      | 10        |
| 12.1                                | Civilian personnel benefits .....                          | 4           | 3       | 3         |
| 21.0                                | Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 2         |
| 25.2                                | Other services from non-Federal sources .....              | 9           | 21      | 16        |
| 25.3                                | Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....                 | 106         | 101     | 140       |
| 99.0                                | Direct obligations .....                                   | 135         | 138     | 173       |
| 99.5                                | Below reporting threshold .....                            | .....       | .....   | 1         |
| 99.9                                | Total new obligations .....                                | 135         | 138     | 174       |

## Employment Summary

| Identification code 13–0525–0–1–376 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 127         | 94      | 94        |

## CONSTRUCTION OF RESEARCH FACILITIES

*For construction of new research facilities, including architectural and engineering design, and for renovation and maintenance of existing facilities, not otherwise provided for the National Institute of Standards and Technology, as authorized by 15 U.S.C. 278c-278e, \$60,040,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 13–0515–0–1–376     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Direct program activity .....                    | 34          | 91      | 60        |
| 0801                                    | Reimbursable program .....                       | 1           | 1       | .....     |
| 0900                                    | Total new obligations .....                      | 35          | 92      | 60        |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 14          | 35      | .....     |



|      |  |    |       |       |
|------|--|----|-------|-------|
| 1021 | Recoveries of prior year unpaid obligations .....              | 1  | ..... | ..... |
| 1050 | Unobligated balance (total) .....                              | 14 | 36    | ..... |
|      | Budget authority:  |    |       |       |
|      | Appropriations, discretionary:                                 |    |       |       |
| 1100 | Appropriation .....  | 55 | 56    | 60    |
| 1160 | Appropriation, discretionary (total) .....                     | 55 | 56    | 60    |
|      | Spending authority from offsetting collections, discretionary: |    |       |       |
| 1700 | Collected .....  | 1  | ..... | ..... |
| 1750 | Spending auth from offsetting collections, disc (total) .....  | 1  | ..... | ..... |
| 1900 | Budget authority (total) .....                                 | 56 | 56    | 60    |
| 1930 | Total budgetary resources available .....                      | 70 | 92    | 60    |
|      | Memorandum (non-add) entries:                                  |    |       |       |
| 1941 | Unexpired unobligated balance, end of year .....               | 35 | ..... | ..... |

|                                     |  |       |      |       |
|-------------------------------------|--|-------|------|-------|
| <b>Change in obligated balance:</b> |  |       |      |       |
| Unpaid obligations:                 |  |       |      |       |
| 3000                                | Change in obligated balances .....                           | 455   | 206  | 183   |
| 3010                                | Obligations incurred, unexpired accounts .....               | 35    | 92   | 60    |
| 3020                                | Outlays (gross) .....  | -284  | -114 | -71   |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | ..... | -1   | ..... |
| 3050                                | Unpaid obligations, end of year .....                        | 206   | 183  | 172   |
|                                     | Memorandum (non-add) entries:                                |       |      |       |
| 3100                                | Obligated balance, start of year .....                       | 455   | 206  | 183   |
| 3200                                | Obligated balance, end of year .....                         | 206   | 183  | 172   |

|   |   |     |       |       |
|---|---|-----|-------|-------|
| <b>Budget authority and outlays, net:</b> |   |     |       |       |
| Discretionary:                            |   |     |       |       |
| 4000                                      | Budget authority, gross .....                       | 56  | 56    | 60    |
|   | Outlays, gross:                                     |     |       |       |
| 4010                                      | Outlays (gross), detail .....                       | 10  | 7     | 7     |
| 4011                                      | Outlays from discretionary balances .....           | 274 | 107   | 64    |
| 4020                                      | Outlays, gross (total) .....                        | 284 | 114   | 71    |
|   | Offsets against gross budget authority and outlays: |     |       |       |
|   | Offsetting collections (collected) from:            |     |       |       |
| 4034                                      | Offsetting governmental collections .....           | -1  | ..... | ..... |
| 4180                                      | Budget authority, net (total) .....                 | 55  | 56    | 60    |
| 4190                                      | Outlays, net (total) .....                          | 283 | 114   | 71    |

This appropriation supports the construction of new facilities and the renovation and maintenance of NIST's current buildings and laboratories to comply with scientific and engineering requirements and to keep pace with Federal, State, and local health and safety regulations. The Construction of Research Facilities (CRF) request totals \$60 million, an increase of \$5 million over the FY 2012 enacted level.

**Object Classification** (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 13-0515-0-1-376 |  | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 8           | 8       | 8         |
| 11.5                                | Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 9           | 9       | 9         |
| 12.1                                | Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | .....       | .....   | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 17          | 49      | 33        |
| 25.7                                | Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0                                | Supplies and materials .....                               | 2           | 2       | 2         |
| 32.0                                | Land and structures .....                                  | 3           | 28      | 12        |
| 99.0                                | Direct obligations .....                                   | 34          | 91      | 60        |
| 99.0                                | Reimbursable obligations .....                             | 1           | 1       | .....     |
| 99.9                                | Total new obligations .....                                | 35          | 92      | 60        |

**Employment Summary**

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 13-0515-0-1-376 |   | 2012 actual | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... | 89          | 89      | 89        |

**WORKING CAPITAL FUND****Program and Financing** (in millions of dollars)

|   |  |             |         |           |
|---|--|-------------|---------|-----------|
| Identification code 13-4650-0-4-376     |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0801                                    | Laboratory programs .....                          | 153         | 173     | 143       |
| 0802                                    | Corporate services .....                           | 2           | 4       | 1         |
| 0803                                    | Standards coordination and special programs .....  | 14          | 6       | 6         |
| 0804                                    | Baldrige Performance Excellence Program .....      | .....       | 1       | .....     |
| 0812                                    | Hollings manufacturing extension partnership ..... | 2           | 6       | 2         |
| 0900                                    | Total new obligations .....                        | 171         | 190     | 152       |

|  |   |     |       |       |
|--|---|-----|-------|-------|
| <b>Budgetary Resources:</b>                                    |   |     |       |       |
| Unobligated balance:   |   |     |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 126 | 136   | 116   |
| Budget authority:  |   |     |       |       |
| Appropriations, discretionary:                                 |   |     |       |       |
| 1121   | Transferred from NIST STRS [13-0500] .....                    | 2   | ..... | 2     |
| 1160   | Appropriation, discretionary (total) .....                    | 2   | ..... | 2     |
| Spending authority from offsetting collections, discretionary: |   |     |       |       |
| 1700   | Collected .....   | 173 | 170   | 150   |
| 1701   | Change in uncollected payments, Federal sources .....         | 6   | ..... | ..... |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 179 | 170   | 150   |
| 1900   | Budget authority (total) .....                                | 181 | 170   | 152   |
| 1930   | Total budgetary resources available .....                     | 307 | 306   | 268   |
| Memorandum (non-add) entries:                                  |   |     |       |       |
| 1941   | Unexpired unobligated balance, end of year .....              | 136 | 116   | 116   |

|                                     |  |      |       |       |
|-------------------------------------|--|------|-------|-------|
| <b>Change in obligated balance:</b> |  |      |       |       |
| Unpaid obligations:                 |  |      |       |       |
| 3000                                | Change in obligated balances .....                           | 145  | 149   | 96    |
| 3010                                | Obligations incurred, unexpired accounts .....               | 171  | 190   | 152   |
| 3020                                | Outlays (gross) .....  | -167 | -243  | -166  |
| 3050                                | Unpaid obligations, end of year .....                        | 149  | 96    | 82    |
| Uncollected payments:               |  |      |       |       |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -37  | -43   | -43   |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | -6   | ..... | ..... |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -43  | -43   | -43   |
| Memorandum (non-add) entries:       |  |      |       |       |
| 3100                                | Obligated balance, start of year .....                       | 108  | 106   | 53    |
| 3200                                | Obligated balance, end of year .....                         | 106  | 53    | 39    |

|   |   |      |       |       |
|---|---|------|-------|-------|
| <b>Budget authority and outlays, net:</b>               |   |      |       |       |
| Discretionary:  |   |      |       |       |
| 4000  | Budget authority, gross .....                                   | 181  | 170   | 152   |
| Outlays, gross:   |   |      |       |       |
| 4010  | Outlays (gross), detail .....                                   | 117  | 131   | 117   |
| 4011  | Outlays from discretionary balances .....                       | 50   | 112   | 49    |
| 4020  | Outlays, gross (total) .....                                    | 167  | 243   | 166   |
| Offsets against gross budget authority and outlays:     |   |      |       |       |
| Offsetting collections (collected) from:                |   |      |       |       |
| 4030  | Federal sources .....   | -122 | -120  | -102  |
| 4033  | Non-Federal sources .....                                       | -51  | -50   | -48   |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -173 | -170  | -150  |
| Additional offsets against gross budget authority only: |   |      |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -6   | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....                     | 2    | ..... | 2     |
| 4080  | Outlays, net (discretionary) .....                              | -6   | 73    | 16    |
| 4180  | Budget authority, net (total) .....                             | 2    | ..... | 2     |
| 4190  | Outlays, net (total) .....                                      | -6   | 73    | 16    |

The Working Capital Fund finances research and technical services performed for other Government agencies and the public. These activities are funded through advances and reimbursements. The Fund also finances the acquisition of equipment, standard reference materials, and storeroom inventories until issued or sold.

**Object Classification** (in millions of dollars)

|                                     |                                      |             |         |           |
|-------------------------------------|--------------------------------------|-------------|---------|-----------|
| Identification code 13-4650-0-4-376 |                                      | 2012 actual | 2013 CR | 2014 est. |
| Reimbursable obligations:           |                                      |             |         |           |
| Personnel compensation:             |                                      |             |         |           |
| 11.1                                | Full-time permanent .....            | 68          | 69      | 69        |
| 11.3                                | Other than full-time permanent ..... | 5           | 5       | 5         |

WORKING CAPITAL FUND—Continued  
Object Classification—Continued

| Identification code 13–4650–0–4–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 74          | 75      | 75        |
| 12.1 Civilian personnel benefits .....                          | 21          | 21      | 21        |
| 21.0 Travel and transportation of persons .....                 | 3           | 2       | 2         |
| 22.0 Transportation of things .....                             | 1           | 1       | .....     |
| 23.2 Rental payments to others .....                            | 1           | .....   | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 6           | 6       | 6         |
| 25.1 Advisory and assistance services .....                     | 1           | .....   | .....     |
| 25.2 Other services from non-Federal sources .....              | 20          | 20      | 7         |
| 25.3 Other goods and services from Federal sources .....        | 8           | 9       | 6         |
| 25.7 Operation and maintenance of equipment .....               | 3           | 3       | 2         |
| 26.0 Supplies and materials .....                               | 14          | 15      | 8         |
| 31.0 Equipment .....  | 10          | 28      | 20        |
| 41.0 Grants, subsidies, and contributions .....                 | 9           | 10      | 5         |
| 99.9 Total new obligations .....                                | 171         | 190     | 152       |

## Employment Summary

| Identification code 13–4650–0–4–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 715         | 756     | 756       |

## WIRELESS INNOVATION (WIN) FUND

As part of the Middle Class Tax Relief and Job Creation Act of 2012, NIST will receive \$100 million to conduct public safety research and development as part of a Wireless Innovation (WIN) Fund. The WIN Fund will initially provide \$100 million from spectrum auction proceeds to help industry and public safety organizations conduct research and develop new standards, technologies and applications to advance public safety communications in support of the initiative's efforts to build an interoperable nationwide broadband network for first responders.

## NATIONAL NETWORK FOR MANUFACTURING INNOVATION

As part of its efforts to revitalize U.S. manufacturing, the Administration is proposing \$1 billion in mandatory funding to establish a National Network for Manufacturing Innovation (NNMI), which will consist of a network of institutes where researchers, companies, and entrepreneurs can come together to develop new manufacturing technologies with broad applications. Each institute will have a unique technology focus. These institutes will help support an ecosystem of manufacturing activity in local areas. The Manufacturing Innovation Institutes will support manufacturing technology commercialization by allowing new manufacturing processes and technologies to progress more smoothly from basic research to implementation in manufacturing.

The NNMI Federal investment is designed to catalyze industry and non-federal co-investment in advanced manufacturing. Each institute is expected to have a plan to become self-sustaining and fully independent of NNMI Federal funds five to seven years after launch.

## NATIONAL NETWORK FOR MANUFACTURING INNOVATION

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 13–0530–4–1–376     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program activity .....      | .....       | .....   | 148       |

## Budgetary Resources:

|   |       |       |       |
|---|-------|-------|-------|
| Budget authority:                                     |       |       |       |
| Appropriations, mandatory:                            |       |       |       |
| 1200 Appropriation .....                              | ..... | ..... | 1,000 |
| 1260 Appropriations, mandatory (total) .....          | ..... | ..... | 1,000 |
| 1930 Total budgetary resources available .....        | ..... | ..... | 1,000 |
| Memorandum (non-add) entries:                         |       |       |       |
| 1941 Unexpired unobligated balance, end of year ..... | ..... | ..... | 852   |

## Change in obligated balance:

|   |       |       |     |
|---|-------|-------|-----|
| Unpaid obligations:                                 |       |       |     |
| 3010 Obligations incurred, unexpired accounts ..... | ..... | ..... | 148 |
| 3020 Outlays (gross) .....                          | ..... | ..... | –38 |
| 3050 Unpaid obligations, end of year .....          | ..... | ..... | 110 |
| Memorandum (non-add) entries:                       |       |       |     |
| 3200 Obligated balance, end of year .....           | ..... | ..... | 110 |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Mandatory:                                      |       |       |       |
| 4090 Budget authority, gross .....              | ..... | ..... | 1,000 |
| Outlays, gross:                                 |       |       |       |
| 4100 Outlays from new mandatory authority ..... | ..... | ..... | 38    |
| 4180 Budget authority, net (total) .....        | ..... | ..... | 1,000 |
| 4190 Outlays, net (total) .....                 | ..... | ..... | 38    |

## Object Classification (in millions of dollars)

| Identification code 13–0530–4–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | .....       | .....   | 2         |
| 12.1 Civilian personnel benefits .....                          | .....       | .....   | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | .....       | .....   | 1         |
| 25.1 Advisory and assistance services .....                     | .....       | .....   | 1         |
| 25.2 Other services from non-Federal sources .....              | .....       | .....   | 2         |
| 25.3 Other goods and services from Federal sources .....        | .....       | .....   | 2         |
| 41.0 Grants, subsidies, and contributions .....                 | .....       | .....   | 139       |
| 99.9 Total new obligations .....                                | .....       | .....   | 148       |

## Employment Summary

| Identification code 13–0530–4–1–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | .....       | .....   | 19        |

NATIONAL TELECOMMUNICATIONS AND  
INFORMATION ADMINISTRATION

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses, as provided for by law, of the National Telecommunications and Information Administration (NTIA), \$52,122,300, to remain available until September 30, 2015: Provided, That, notwithstanding 31 U.S.C. 1535(d), the Secretary of Commerce shall charge Federal agencies for costs incurred in spectrum management, analysis, operations, and related services, and such fees shall be retained and used as offsetting collections for costs of such spectrum services, to remain available until expended: Provided further, That the Secretary of Commerce is authorized to retain and use as offsetting collections all funds transferred, or previously transferred, from other Government agencies for all costs incurred in telecommunications research, engineering, and related activities by the Institute for Telecommunication Sciences of NTIA, in furtherance of its assigned functions under this paragraph, and such funds received from other Government agencies shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts listed for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 13–0550–0–1–376                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Domestic and international policy .....                       | 6           | 6       | 6         |
| 0002   | Spectrum management .....                                     | 8           | 7       | 7         |
| 0003   | Telecommunications sciences research .....                    | 7           | 7       | 5         |
| 0004   | Broadband programs .....                                      | 24          | 26      | 25        |
| 0005   | Wireless broadband access .....                               | .....       | .....   | 1         |
| 0006   | Spectrum Measurement Pilot .....                              | .....       | .....   | 8         |
| 0100   | Total, direct program .....                                   | 45          | 46      | 52        |
| 0799   | Total direct obligations .....                                | 45          | 46      | 52        |
| 0801   | Spectrum management .....                                     | 7           | 45      | 29        |
| 0802   | Telecommunication sciences research .....                     | 30          | 16      | 8         |
| 0899   | Total reimbursable obligations .....                          | 37          | 61      | 37        |
| 0900   | Total new obligations .....                                   | 82          | 107     | 89        |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 21          | 22      | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....             | 2           | .....   | .....     |
| 1050   | Unobligated balance (total) .....                             | 23          | 22      | .....     |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 46          | 46      | 52        |
| 1160   | Appropriation, discretionary (total) .....                    | 46          | 46      | 52        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 41          | 39      | 37        |
| 1701   | Change in uncollected payments, Federal sources .....         | –3          | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 38          | 39      | 37        |
| 1900   | Budget authority (total) .....                                | 84          | 85      | 89        |
| 1930   | Total budgetary resources available .....                     | 107         | 107     | 89        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                            | –3          | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....              | 22          | .....   | .....     |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 30          | 28      | 30        |
| 3010   | Obligations incurred, unexpired accounts .....                | 82          | 107     | 89        |
| 3020   | Outlays (gross) .....   | –81         | –105    | –89       |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –2          | .....   | .....     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | –1          | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                         | 28          | 30      | 30        |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –4          | –1      | –1        |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | 3           | .....   | .....     |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | –1          | –1      | –1        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 26          | 27      | 29        |
| 3200   | Obligated balance, end of year .....                          | 27          | 29      | 29        |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 84          | 85      | 89        |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 62          | 68      | 72        |
| 4011   | Outlays from discretionary balances .....                     | 19          | 37      | 17        |
| 4020   | Outlays, gross (total) .....                                  | 81          | 105     | 89        |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –41         | –39     | –37       |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | 3           | .....   | .....     |
| 4070   | Budget authority, net (discretionary) .....                   | 46          | 46      | 52        |
| 4080   | Outlays, net (discretionary) .....                            | 40          | 66      | 52        |
| 4180   | Budget authority, net (total) .....                           | 46          | 46      | 52        |
| 4190   | Outlays, net (total) .....                                    | 40          | 66      | 52        |

The National Telecommunications and Information Administration (NTIA) is the principal Executive Branch adviser on domestic and international telecommunications and Internet policy. NTIA manages the Federal Government's use of the radio frequency spectrum and performs extensive research in telecommunication sciences.

During FY 2014, NTIA will continue to evaluate options for repurposing spectrum for broadband use, in support of the President's goal of making 500 MHz of spectrum available for wireless broadband use. As part of this effort and in support of recommendations made by the President's Council of Advisors on Science and Technology, the FY 2014 Budget provides \$7.5 million for NTIA to develop a spectrum monitoring system in 10 major metropolitan areas to measure spectrum usage patterns with the goal of identifying and determining the feasibility of potential repurposing opportunities. In addition, NTIA will: (1) oversee the First Responder Network Authority, which was created by the Middle Class Tax Relief and Job Creation Act of 2012 to build a broadband network for first responders, (2) lead the formation of domestic and international Internet policies pertaining to consumer data privacy, the global free flow of information, and other matters impacting the global Internet economy and (3) monitor broadband grants that were awarded under the American Recovery and Reinvestment Act of 2009 to ensure that funds are used appropriately by recipients and that promised project benefits are delivered to the American people.

**Object Classification** (in millions of dollars)

| Identification code 13–0550–0–1–376 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....   | 15          | 17      | 19        |
| 12.1                                | Civilian personnel benefits .....                   | 4           | 4       | 4         |
| 21.0                                | Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                        | 2           | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....       | 2           | 1       | 2         |
| 25.3                                | Other goods and services from Federal sources ..... | 19          | 20      | 19        |
| 31.0                                | Equipment .....                                     | 2           | 1       | 5         |
| 99.0                                | Direct obligations .....                            | 45          | 46      | 52        |
| 99.0                                | Reimbursable obligations .....                      | 36          | 61      | 37        |
| 99.5                                | Below reporting threshold .....                     | 1           | .....   | .....     |
| 99.9                                | Total new obligations .....                         | 82          | 107     | 89        |

**Employment Summary**

| Identification code 13–0550–0–1–376 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 140         | 147     | 154       |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 117         | 155     | 155       |

**PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND CONSTRUCTION**

*For the administration of prior-year grants, recoveries and unobligated balances of funds previously appropriated are available for the administration of all open grants until their expiration.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 13–0551–0–1–503     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0002                                    | Program management .....                          | 1           | 5       | .....     |
| 0900                                    | Total new obligations .....                       | 1           | 5       | .....     |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....  | 7           | 8       | .....     |
| 1021                                    | Recoveries of prior year unpaid obligations ..... | 5           | .....   | .....     |
| 1050                                    | Unobligated balance (total) .....                 | 12          | 8       | .....     |

**PUBLIC TELECOMMUNICATIONS FACILITIES, PLANNING AND  
CONSTRUCTION—Continued**  
**Program and Financing—Continued**

| Identification code 13–0551–0–1–503                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –3          | –3      | .....     |
| 1160 Appropriation, discretionary (total) .....                      | –3          | –3      | .....     |
| 1930 Total budgetary resources available .....                       | 9           | 5       | .....     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 8           | .....   | .....     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 20          | 5       | 7         |
| 3010 Obligations incurred, unexpired accounts .....                  | 1           | 5       | .....     |
| 3020 Outlays (gross) .....   | –11         | –3      | –2        |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –5          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 5           | 7       | 5         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 20          | 5       | 7         |
| 3200 Obligated balance, end of year .....                            | 5           | 7       | 5         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | –3          | –3      | .....     |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                       | 11          | 3       | 2         |
| 4180 Budget authority, net (total) .....                             | –3          | –3      | .....     |
| 4190 Outlays, net (total) .....                                      | 11          | 3       | 2         |

This program was terminated in FY 2011. However, the 2014 Budget proposes to continue to use grant recoveries and unobligated balances of funds previously appropriated to administer prior-year grants until their expiration.

**Object Classification (in millions of dollars)**

| Identification code 13–0551–0–1–503  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.3 Direct obligations: Other goods and services from Federal sources ..... | 1           | 4       | .....     |
| 99.5 Below reporting threshold .....   | .....       | 1       | .....     |
| 99.9 Total new obligations .....   | 1           | 5       | .....     |

**Employment Summary**

| Identification code 13–0551–0–1–503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 1       | .....     |

**INFORMATION INFRASTRUCTURE GRANTS**

**Program and Financing (in millions of dollars)**

| Identification code 13–0552–0–1–503                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 3           | 1       | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –2          | –1      | .....     |
| 1160 Appropriation, discretionary (total) .....                      | –2          | –1      | .....     |
| 1930 Total budgetary resources available .....                       | 1           | .....   | .....     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1           | .....   | .....     |
| 3020 Outlays (gross) .....   | –1          | .....   | .....     |

|  |    |       |       |
|--|----|-------|-------|
| Memorandum (non-add) entries:                  |    |       |       |
| 3100 Obligated balance, start of year .....    | 1  | ..... | ..... |
| <b>Budget authority and outlays, net:</b>      |    |       |       |
| Discretionary:                                 |    |       |       |
| 4000 Budget authority, gross .....             | –2 | –1    | ..... |
| Outlays, gross:                                |    |       |       |
| 4011 Outlays from discretionary balances ..... | 1  | ..... | ..... |
| 4180 Budget authority, net (total) .....       | –2 | –1    | ..... |
| 4190 Outlays, net (total) .....                | 1  | ..... | ..... |

This program was discontinued in 2005, and all close-out activities were completed in FY 2012.

**BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT**

**Program and Financing (in millions of dollars)**

| Identification code 13–0554–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 3,386       | 1,709   | 347       |
| 3020 Outlays (gross) .....                                      | –1,589      | –1,362  | –347      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –88         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 1,709       | 347     | .....     |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 3,386       | 1,709   | 347       |
| 3200 Obligated balance, end of year .....                       | 1,709       | 347     | .....     |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                  | 1,589       | 1,362   | 347       |
| Offsets against gross budget authority and outlays:             |             |         |           |
| Offsetting collections (collected) from:                        |             |         |           |
| 4033 Non-Federal sources .....                                  | –4          | .....   | .....     |
| Additional offsets against gross budget authority only:         |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....  | 4           | .....   | .....     |
| 4080 Outlays, net (discretionary) .....                         | 1,585       | 1,362   | 347       |
| 4190 Outlays, net (total) .....                                 | 1,585       | 1,362   | 347       |

The American Recovery and Reinvestment Act of 2009 provided over \$4 billion to deploy broadband and promote adoption in underserved areas. NTIA will continue to provide oversight of active projects funded through these grants.

**DIGITAL TELEVISION TRANSITION AND PUBLIC SAFETY FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 13–5396–0–2–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               | .....       | 4       | 8         |
| Appropriations:   |             |         |           |
| 0500 Digital Television Transition and Public Safety Fund ..... | .....       | 4       | .....     |
| 0501 Digital Television Transition and Public Safety Fund ..... | 4           | .....   | .....     |
| 0599 Total appropriations .....                                 | 4           | 4       | .....     |
| 0799 Balance, end of year .....                                 | 4           | 8       | 8         |

**Program and Financing (in millions of dollars)**

| Identification code 13–5396–0–2–376                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0006 National Alert Program .....                                   | 18          | .....   | .....     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 8,787       | 8,765   | .....     |
| 1022 Capital transfer of unobligated balances to general fund ..... | .....       | –8,761  | .....     |
| 1050 Unobligated balance (total) .....                              | 8,787       | 4       | .....     |

|   |   |       |         |
|---|---|-------|---------|
| Budget authority:                         |   |       |         |
| Appropriations, discretionary:            |   |       |         |
| 1133                                      | Unobligated balance of appropriations temporarily reduced .....                       | -4    |         |
| 1160                                      | Appropriation, discretionary (total) .....  | -4    |         |
| Appropriations, mandatory:                |   |       |         |
| 1232                                      | Appropriations and/or unobligated balance of appropriations temporarily reduced ..... | -4    |         |
| 1260                                      | Appropriations, mandatory (total) .....   | -4    |         |
| 1900                                      | Budget authority (total) .....  | -4    | -4      |
| 1930                                      | Total budgetary resources available .....   | 8,783 |         |
| Memorandum (non-add) entries:             |   |       |         |
| 1941                                      | Unexpired unobligated balance, end of year .....                                      | 8,765 |         |
| <b>Change in obligated balance:</b>       |   |       |         |
| Unpaid obligations:                       |   |       |         |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                                      | 276   | 74 34   |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 18    |         |
| 3020                                      | Outlays (gross) .....   | -220  | -40 -34 |
| 3050                                      | Unpaid obligations, end of year .....   | 74    | 34      |
| Memorandum (non-add) entries:             |   |       |         |
| 3100                                      | Obligated balance, start of year .....  | 276   | 74 34   |
| 3200                                      | Obligated balance, end of year .....  | 74    | 34      |
| <b>Budget authority and outlays, net:</b> |   |       |         |
| Discretionary:                            |   |       |         |
| 4000                                      | Budget authority, gross .....   | -4    |         |
| Mandatory:                                |   |       |         |
| 4090                                      | Budget authority, gross .....   | -4    |         |
| Outlays, gross:                           |   |       |         |
| 4101                                      | Outlays from mandatory balances .....   | 220   | 40 34   |
| 4180                                      | Budget authority, net (total) .....   | -4    | -4      |
| 4190                                      | Outlays, net (total) .....  | 220   | 40 34   |

The Digital Television Transition and Public Safety Fund, created by the Deficit Reduction Act of 2005, as amended by the DTV Delay Act, received offsetting receipts from the auction of licenses to use electromagnetic spectrum formerly assigned to broadcast television service, and provided funding for several one-time programs from these receipts. Authority for all programs funded under the Act has expired.

#### Object Classification (in millions of dollars)

| Identification code 13-5396-0-2-376 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....   | 1       |           |
| 25.3                                | Other goods and services from Federal sources ..... | 1       |           |
| 41.0                                | Grants, subsidies, and contributions .....          | 16      |           |
| 99.9                                | Total new obligations .....                         | 18      |           |

#### Employment Summary

| Identification code 13-5396-0-2-376 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 6       |           |

### STATE AND LOCAL IMPLEMENTATION FUND

#### Program and Financing (in millions of dollars)

| Identification code 13-0516-0-1-376     | 2012 actual                                  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b> |  |         |           |
| 0801                                    | Reimbursable program activity .....          | 125     | 10        |
| <b>Budgetary Resources:</b>             |  |         |           |
| Budget authority:                       |  |         |           |
| Borrowing authority, mandatory:         |  |         |           |
| 1400                                    | Borrowing authority .....                    | 125     | 10        |
| 1440                                    | Borrowing authority, mandatory (total) ..... | 125     | 10        |
| 1900                                    | Budget authority (total) .....               | 125     | 10        |
| 1930                                    | Total budgetary resources available .....    | 125     | 10        |

#### Change in obligated balance:

|                               |  |     |     |
|-------------------------------|--|-----|-----|
| Unpaid obligations:           |  |     |     |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... |     | 112 |
| 3010                          | Obligations incurred, unexpired accounts .....   | 125 | 10  |
| 3020                          | Outlays (gross) .....                            | -13 | -78 |
| 3050                          | Unpaid obligations, end of year .....            | 112 | 44  |
| Memorandum (non-add) entries: |  |     |     |
| 3100                          | Obligated balance, start of year .....           |     | 112 |
| 3200                          | Obligated balance, end of year .....             | 112 | 44  |

#### Budget authority and outlays, net:

|   |  |     |    |
|---|--|-----|----|
| Mandatory:  |  |     |    |
| 4090  | Budget authority, gross .....              | 125 | 10 |
| Outlays, gross:                                     |  |     |    |
| 4100  | Outlays from new mandatory authority ..... | 13  | 9  |
| 4101  | Outlays from mandatory balances .....      |     | 69 |
| 4110  | Outlays, gross (total) .....               | 13  | 78 |
| Offsets against gross budget authority and outlays: |  |     |    |
| Offsetting collections (collected) from:            |  |     |    |
| 4180  | Budget authority, net (total) .....        | 125 | 10 |
| 4190  | Outlays, net (total) .....                 | 13  | 78 |

#### Memorandum (non-add) entries:

|      |   |     |     |
|------|---|-----|-----|
| 5080 | Outstanding debt, SOY: Repayable advances ..... |     | -13 |
| 5081 | Outstanding debt, EOY: Repayable advances ..... | -13 | -91 |
| 5082 | Borrowing: Repayable advances .....             | -13 | -78 |

The Middle Class Tax Relief and Job Creations Act of 2012 provides \$135 million for grants to state and localities to plan for the build-out of a nationwide broadband network for first responders. The grants will support State, regional, tribal, and local jurisdictions to identify, plan and implement the most efficient and effective options for utilizing and integrating infrastructure associated with the nationwide public safety broadband network. The Act provides borrowing authority to support these activities until spectrum auctions are conducted and their proceeds are available through the Public Safety Trust Fund.

#### Object Classification (in millions of dollars)

| Identification code 13-0516-0-1-376 | 2012 actual                 | 2013 CR | 2014 est. |
|-------------------------------------|-----------------------------|---------|-----------|
| 99.9                                | Total new obligations ..... | 125     | 10        |

#### Employment Summary

| Identification code 13-0516-0-1-376 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 10      | 10        |

### NETWORK CONSTRUCTION FUND

#### Program and Financing (in millions of dollars)

| Identification code 13-4358-0-3-376 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

#### Obligations by program activity:

|      |                                     |  |     |
|------|-------------------------------------|--|-----|
| 0801 | Reimbursable program activity ..... |  | 257 |
|------|-------------------------------------|--|-----|

#### Budgetary Resources:

|  |   |  |     |
|--|---|--|-----|
| Budget authority:  |   |  |     |
| Spending authority from offsetting collections, mandatory: |   |  |     |
| 1800   | Collected .....   |  | 257 |
| 1850   | Spending auth from offsetting collections, mand (total) ..... |  | 257 |
| 1930   | Total budgetary resources available .....                     |  | 257 |

#### Change in obligated balance:

|                               |  |  |      |
|-------------------------------|--|--|------|
| Unpaid obligations:           |  |  |      |
| 3010                          | Obligations incurred, unexpired accounts ..... |  | 257  |
| 3020                          | Outlays (gross) .....                          |  | -173 |
| 3050                          | Unpaid obligations, end of year .....          |  | 84   |
| Memorandum (non-add) entries: |  |  |      |
| 3200                          | Obligated balance, end of year .....           |  | 84   |

**NETWORK CONSTRUCTION FUND—Continued**  
**Program and Financing—Continued**

| Identification code 13–4358–0–3–376                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  |             |         | 257       |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     |             |         | 173       |
| Offsets against gross budget authority and outlays: |             |         |           |
| Offsetting collections (collected) from:            |             |         |           |
| 4120 Federal sources .....                          |             |         | –257      |
| 4190 Outlays, net (total) .....                     |             |         | –84       |

The Middle Class Tax Relief and Job Creation Act of 2012 created this account to pay for certain expenses related to the construction of a broadband network for public safety users. All resources are derived from the Public Safety Trust Fund.

**Object Classification** (in millions of dollars)

| Identification code 13–4358–0–3–376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                         |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   |             |         | 4         |
| 12.1 Civilian personnel benefits .....                   |             |         | 2         |
| 21.0 Travel and transportation of persons .....          |             |         | 1         |
| 23.1 Rental payments to GSA .....                        |             |         | 10        |
| 25.2 Other services from non-Federal sources .....       |             |         | 157       |
| 25.3 Other goods and services from Federal sources ..... |             |         | 3         |
| 26.0 Supplies and materials .....                        |             |         | 2         |
| 31.0 Equipment .....                                     |             |         | 78        |
| 99.9 Total new obligations .....                         |             |         | 257       |

**Employment Summary**

| Identification code 13–4358–0–3–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... |             |         | 24        |

**Trust Funds**

**PUBLIC SAFETY TRUST FUND**

**Program and Financing** (in millions of dollars)

| Identification code 13–8233–0–7–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 1           | 86      | 1,913     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 5         |
| Budget authority:                                     |             |         |           |
| Borrowing authority, mandatory:                       |             |         |           |
| 1400 Borrowing authority .....                        | 2           | 90      | 1,908     |
| Borrowing authority, mandatory (total) .....          | 2           | 90      | 1,908     |
| 1900 Budget authority (total) .....                   | 2           | 90      | 1,908     |
| 1930 Total budgetary resources available .....        | 2           | 91      | 1,913     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 5       |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 1       | 45        |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 86      | 1,913     |
| 3020 Outlays (gross) .....                            |             | –42     | –263      |
| 3050 Unpaid obligations, end of year .....            | 1           | 45      | 1,695     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 1       | 45        |
| 3200 Obligated balance, end of year .....             | 1           | 45      | 1,695     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 2           | 90      | 1,908     |

|   |    |    |       |
|---|----|----|-------|
| Outlays, gross:                                 |    |    |       |
| 4100 Outlays from new mandatory authority ..... | 41 |    | 215   |
| 4101 Outlays from mandatory balances .....      | 1  |    | 48    |
| 4110 Outlays, gross (total) .....               | 42 |    | 263   |
| 4180 Budget authority, net (total) .....        | 2  | 90 | 1,908 |
| 4190 Outlays, net (total) .....                 | 42 |    | 263   |

**Memorandum (non-add) entries:**

|  |      |  |      |
|--|------|--|------|
| 5080 Outstanding debt, SOY: Repayable advances ..... |      |  | –104 |
| 5081 Outstanding debt, EOY: Repayable advances ..... | –104 |  | –470 |
| 5082 Borrowing: Repayable advances .....             | –104 |  | –366 |

The Middle Class Tax Relief and Job Creation Act of 2012 authorizes the repurposing of spectrum from private license holders to wireless broadband through the use of voluntary incentive auctions. A portion of the proceeds from these incentive auctions and other auctions authorized in the Act will be deposited in the Public Safety Trust Fund. The Act directs that over \$7 billion of these proceeds be used to support the establishment of a nationwide, interoperable public safety broadband network to support first responders and make other improvements to emergency communications. The Act also created the First Responder Network Authority (FirstNet) within NTIA to manage the communications network and allows NTIA to borrow over \$2 billion prior to the auctions authorized by the Act to support the establishment of the network.

**Object Classification** (in millions of dollars)

| Identification code 13–8233–0–7–376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 5           |         | 4         |
| 11.5 Other personnel compensation .....                  | 2           |         | 2         |
| 11.9 Total personnel compensation .....                  | 7           |         | 6         |
| 21.0 Travel and transportation of persons .....          | 1           |         |           |
| 23.1 Rental payments to GSA .....                        | 1           |         |           |
| 25.2 Other services from non-Federal sources .....       | 1           | 65      |           |
| 25.3 Other goods and services from Federal sources ..... |             | 1       | 1,907     |
| 31.0 Equipment .....                                     | 11          |         |           |
| 99.9 Total new obligations .....                         | 1           | 86      | 1,913     |

**Employment Summary**

| Identification code 13–8233–0–7–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           | 31      | 40        |

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Offsetting receipts from the public:</b>  |             |         |           |
| 13–271710 Fisheries Finance, Negative Subsidies .....  | 6           | 5       | 6         |
| 13–271730 Fisheries Finance, Downward Reestimates of Subsidies .....                             | 6           | 21      |           |
| 13–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 6           |         |           |
| General Fund Offsetting receipts from the public .....   | 18          | 26      | 6         |
| <b>Intragovernmental payments:</b>   |             |         |           |
| 13–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... | 18          |         |           |
| General Fund Intragovernmental payments .....  | 18          |         |           |

**GENERAL PROVISIONS—DEPARTMENT OF COMMERCE**

*SEC. 101. During the current fiscal year, applicable appropriations and funds made available to the Department of Commerce by this Act shall*

be available for the activities specified in the Act of October 26, 1949 (15 U.S.C. 1514), to the extent and in the manner prescribed by the Act, and, notwithstanding 31 U.S.C. 3324, may be used for advanced payments not otherwise authorized only upon the certification of officials designated by the Secretary of Commerce that such payments are in the public interest.

SEC. 102. During the current fiscal year, appropriations made available to the Department of Commerce by this Act for salaries and expenses shall be available for hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344; services as authorized by 5 U.S.C. 3109; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901–5902).

SEC. 103. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Commerce in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 104. Any costs incurred by a department or agency funded under this title resulting from personnel actions taken in response to funding reductions included in this title or from actions taken for the care and protection of loan collateral or grant property shall be absorbed within the total budgetary resources available to such department or agency: Provided, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: Provided further, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 105. Notwithstanding any other provision of law, the Secretary may furnish services (including but not limited to utilities, telecommunications, and security services) necessary to support the operation, maintenance, and improvement of space that persons, firms, or organizations are authorized, pursuant to the Public Buildings Cooperative Use Act of 1976 or other authority, to use or occupy in the Herbert C. Hoover Building, Washington, DC, or other buildings, the maintenance, operation, and protection of which has been delegated to the Secretary from the Administrator of General Services pursuant to the Federal Property and Administrative Services Act of 1949, as amended, on a reimbursable or non-reimbursable basis. Amounts received as reimbursement for services provided under this section or the authority under which the use or occupancy of the space is authorized, up to \$200,000, shall be credited to the appropriation or fund which initially bears the costs of such services.

SEC. 106. Nothing in this title shall be construed to prevent a grant recipient from deterring child pornography, copyright infringement, or any other unlawful activity over its networks.

SEC. 107. The Administrator of the National Oceanic and Atmospheric Administration is authorized to use, with their consent, with reimbursement and subject to the limits of available appropriations, the land, services, equipment, personnel, and facilities of any department, agency, or instrumentality of the United States, or of any State, local government, Indian tribal government, Territory, or possession, or of any political subdivision thereof, or of any foreign government or international organization, for purposes related to carrying out the responsibilities of any statute administered by the National Oceanic and Atmospheric Administration.

SEC. 108. The Secretary of Commerce may use funds appropriated to the Department of Commerce in this or any other Act to engage in activities that provide businesses and communities with information, advice, and referrals to Federal Government programs or related programs of state, local, and other governmental entities, non-profit entities, or other businesses: Provided, That, in carrying out these activities, the Secretary may use space provided by such governmental entities on a reimbursable or nonreimbursable basis.

SEC. 109. In order to provide support for environmental and biological studies, surveys, and investigations related to the orderly exploration and development of Outer Continental Shelf and renewable energy resources as authorized by law, and notwithstanding 31 U.S.C. 1301(a), 1532, and 3302(b), and 18 U.S.C. 209, the Department of Commerce's National Oceanic and Atmospheric Administration is authorized: to enter into grants, contracts or other forms of financial assistance with; to use on a

non-reimbursable basis land, buildings, and equipment made available by; and to receive and expend funds made available by any Federal agency, State or subdivision thereof, local government, Tribal government, Territory or possession or any subdivision thereof.

SEC. 110. There is hereby established in the National Oceanic and Atmospheric Administration a Working Capital Fund, which shall be available without fiscal year limitation, for expenses and equipment necessary for the performance of such services and projects that the Administrator of the National Oceanic and Atmospheric Administration determines may be performed more advantageously when centralized: Provided, That such central services shall, to the fullest extent practicable, be used to make unnecessary the maintenance of separate, like services in the divisions and offices of the National Oceanic and Atmospheric Administration and the Department of Commerce: Provided further, That a separate schedule of expenditures and reimbursements, and a statement of the current assets and liabilities of the Working Capital Fund, as of the close of the last completed fiscal year, shall be prepared each year: Provided further, That notwithstanding 31 U.S.C. 3302, the Working Capital Fund may be credited with advances and reimbursements from applicable appropriations of the divisions and offices for whom the services are provided: Provided further, That any inventories, equipment, and other assets pertaining to the services to be provided by such funds, either on hand or on order, less the related liabilities or unpaid obligations, and any appropriations made hereafter for the purpose of providing capital, shall be used to capitalize the Working Capital Fund: Provided further, That the Working Capital Fund shall provide for centralized services at rates which will return in full all expenses of operation, including depreciation or replacement of Fund plant, equipment, and automated data processing software and hardware systems, and an amount necessary to maintain a reasonable operating reserve as determined by the Administrator of the National Oceanic and Atmospheric Administration and the Secretary of Commerce.

## GENERAL PROVISIONS

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 503. If any provision of this Act or the application of such provision to any person or circumstances shall be held invalid, the remainder of the Act and the application of each provision to persons or circumstances other than those as to which it is held invalid shall not be affected thereby.

SEC. 504. None of the funds provided under this Act, or provided under previous appropriations Acts to the agencies funded by this Act that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that: (1) creates or initiates a new program, project or activity; (2) eliminates a program, project or activity; (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted; (4) relocates an office or employees; (5) reorganizes offices, programs or activities; (6) contracts out or privatizes any functions or activities presently performed by Federal employees; (7) augments existing programs, projects or activities in excess of \$1,000,000 or 10 percent, whichever is less, or reduces by 10 percent funding for any program, project or activity, or numbers of personnel by 10 percent; or (8) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, projects or activities as approved by Congress; unless the House and Senate Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

SEC. 505. (a) If it has been finally determined by a court or Federal agency that any person intentionally affixed a label bearing a "Made in America" inscription, or any inscription with the same meaning, to any product sold in or shipped to the United States that is not made in the

United States, the person shall be ineligible to receive any contract or subcontract made with funds made available in this Act, pursuant to the debarment, suspension, and ineligibility procedures described in sections 9.400 through 9.409 of title 48, Code of Federal Regulations.

(b)(1) To the extent practicable, with respect to authorized purchases of promotional items, funds made available by this Act shall be used to purchase items that are manufactured, produced, or assembled in the United States, its territories or possessions.

(2) The term "promotional items" has the meaning given the term in OMB Circular A-87, Attachment B, Item (1)(f)(3).

SEC. 506. Any costs incurred by a department or agency funded under this Act resulting from, or to prevent, personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available to such department or agency: Provided, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act: Provided further, That use of funds to carry out this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

SEC. 507. None of the funds provided by this Act shall be available to promote the sale or export of tobacco or tobacco products.

SEC. 508. None of the funds appropriated pursuant to this Act or any other provision of law may be used for—

(1) the implementation of any tax or fee in connection with the implementation of subsection 922(t) of title 18, United States Code; and

(2) any system to implement subsection 922(t) of title 18, United States Code, that does not require and result in the destruction of any identifying information submitted by or on behalf of any person who has been determined not to be prohibited from possessing or receiving a firearm no more than 24 hours after the system advises a Federal firearms licensee that possession or receipt of a firearm by the prospective transferee would not violate subsection (g) or (n) of section 922 of title 18, United States Code, or State law.

SEC. 509. None of the funds made available to the Department of Justice in this Act may be used to discriminate against or denigrate the religious or moral beliefs of students who participate in programs for which financial assistance is provided from those funds, or of the parents or legal guardians of such students.

SEC. 510. (a) Tracing studies conducted by the Bureau of Alcohol, Tobacco, Firearms and Explosives are released without adequate disclaimers regarding the limitations of the data.

(b) The Bureau of Alcohol, Tobacco, Firearms and Explosives shall include in all such data releases, language similar to the following that would make clear that trace data cannot be used to draw broad conclusions about firearms-related crime:

(1) Firearm traces are designed to assist law enforcement authorities in conducting investigations by tracking the sale and possession of specific firearms. Law enforcement agencies may request firearms traces for any reason, and those reasons are not necessarily reported to the Federal Government. Not all firearms used in crime are traced and not all firearms traced are used in crime.

(2) Firearms selected for tracing are not chosen for purposes of determining which types, makes, or models of firearms are used for illicit purposes. The firearms selected do not constitute a random sample and should not be considered representative of the larger universe of all firearms used by criminals, or any subset of that universe. Firearms are normally traced to the first retail seller, and sources reported for firearms traced do not necessarily represent the sources or methods by which firearms in general are acquired for use in crime.

SEC. 511. None of the funds made available in this Act shall be used in any way whatsoever to support or justify the use of torture by any official or contract employee of the United States Government.

SEC. 512. None of the funds made available in this Act may be used to authorize or issue a national security letter in contravention of any of the

following laws authorizing the Federal Bureau of Investigation to issue national security letters: The Right to Financial Privacy Act; The Electronic Communications Privacy Act; The Fair Credit Reporting Act; The National Security Act of 1947; USA PATRIOT Act; and the laws amended by these Acts.

SEC. 513. If at any time during any quarter, the program manager of a project within the jurisdiction of the Departments of Commerce or Justice, the National Aeronautics and Space Administration, or the National Science Foundation totaling more than \$250,000,000 has reasonable cause to believe that the total program cost has increased by 15 percent, the program manager shall immediately inform the respective Secretary, Administrator, or Director. The Secretary, Administrator, or Director shall notify the House and Senate Committees on Appropriations within 30 days in writing of such increase, and shall include in such notice: the date on which such determination was made; a statement of the reasons for such increases; the action taken and proposed to be taken to control future cost growth of the project; changes made in the performance or schedule milestones and the degree to which such changes have contributed to the increase in total program costs or procurement costs; new estimates of the total project or procurement costs; and a statement validating that the project's management structure is adequate to control total project or procurement costs.

SEC. 514. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of the Intelligence Authorization Act for fiscal year 2014.

SEC. 515. None of the funds appropriated or otherwise made available by this Act may be used to enter into a contract in an amount greater than \$5,000,000 or to award a grant in excess of such amount unless the prospective contractor or grantee certifies in writing to the agency awarding the contract or grant that, to the best of its knowledge and belief, the contractor or grantee has filed all Federal tax returns required during the three years preceding the certification, has not been convicted of a criminal offense under the Internal Revenue Code of 1986, and has not, more than 90 days prior to certification, been notified of any unpaid Federal tax assessment for which the liability remains unsatisfied, unless the assessment is the subject of an installment agreement or offer in compromise that has been approved by the Internal Revenue Service and is not in default, or the assessment is the subject of a non-frivolous administrative or judicial proceeding.

SEC. 516. None of the funds made available in this Act may be used to purchase first class or premium airline travel in contravention of sections 301–10.122 through 301–10.124 of title 41 of the Code of Federal Regulations.

SEC. 517. None of the funds made available under this Act may be distributed to the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries.

SEC. 518. To the extent practicable, funds made available in this Act should be used to purchase light bulbs that are "Energy Star" qualified or have the "Federal Energy Management Program" designation.

SEC. 519. The Departments of Commerce and Justice, the National Aeronautics and Space Administration, and the National Science Foundation shall submit spending plans, signed by the respective department or agency head, to the Committees on Appropriations of the House of Representatives and the Senate within 60 days after the date of enactment of this Act.

SEC. 520. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other entity carrying out criminal investigations, prosecution, or adjudication activities.



# DEPARTMENT OF DEFENSE—MILITARY PROGRAMS

## MILITARY PERSONNEL ACTIVE AND RESERVE FORCES

These appropriations finance the personnel costs of the Active, Reserve, and Guard forces of the Army, Navy, Air Force, and Marine Corps. They include pay and allowances of officers, enlisted personnel, cadets and midshipmen, permanent change of station travel, inactive duty and active duty training, accruing retirement and health benefits, enlistment, reenlistment and affiliation bonuses, special and incentive pays, and other personnel costs. Over the past several years, significant pay increases, coupled with new requirements to accrue funds for future health benefits, have significantly increased the requirements of these appropriations.

Included in these accounts is funding for a 1.0 percent across-the-board pay raise. With this and other compensation increases, military salaries, as defined by Regular Military Compensation, which includes basic pay, a tax-free allowance for housing or the value of in-kind housing, a tax-free allowance for subsistence (food) and the tax savings because these allowances are tax free, will average more than \$56,000 for enlisted personnel and more than \$105,000 for officers. Many military personnel also receive one-time or yearly bonuses, monthly special pays, other allowances, and significant non-cash benefits, including comprehensive health care. Also included in these appropriations are the amounts required to implement items contained in the Department of Defense's (DOD's) proposed 2014 Authorization Act, which will be submitted in support of the 2014 President's Budget.

The Ronald W. Reagan National Defense Authorization Act for Fiscal Year 2005 (P.L. 108–375) provided permanent, indefinite appropriations to finance the cost of accruing TRICARE benefits of uniformed servicemembers. These costs are included in the DOD discretionary total.

The Budget includes proposals to adjust beneficiary cost shares for TRICARE Prime/Standard/Extra programs for retirees under age 65, and to implement annual enrollment fees for the TRICARE-for-Life (TFL) program for Medicare-eligible retirees. These proposals are phased-in over a 4 or 5-year period. The Budget also includes a proposal to adjust the prescription pharmacy co-payments over a 10-year period for active duty families and all retirees. Also included is a proposal to realign the TRICARE health plan benefit year from a fiscal year basis to a calendar year basis for the calculation to align annual adjustments with the retiree cost-of-living adjustment (COLA). In addition to discretionary savings in the Defense Health Program, the proposal reduces future accrual costs, resulting in reduced Services' discretionary contributions to the Medicare Eligible Retiree Health Care Fund.

The following summary table reflects the actual base and supplemental 2013 amounts and the base 2014 military personnel appropriation request and the additional amounts for TRICARE accrual funded from permanent, indefinite authority. Total base 2014 military personnel requirements are \$137,076 million.

### Military Personnel Totals with Tricare Accrual Amounts

|   | 2013<br>Appropriation<br>Estimate <sup>1</sup><br>(\$ mil) | 2014 Base<br>Appropriation<br>Request<br>(\$ mil) |
|---|--|---|
| Military Personnel, Army .....                          | 52,728   | 41,038  |
| Tricare accrual (permanent, indefinite authority) ..... | 2,434  | 1,824   |
| Total, Military Personnel, Army .....                   | 55,162   | 42,862  |
| Military Personnel, Navy .....                          | 27,842   | 27,824  |

|   |         |         |
|---|---------|---------|
| Tricare accrual (permanent, indefinite authority) ..... | 1,397   | 1,198   |
| Total, Military Personnel, Navy .....                   | 29,239  | 29,022  |
| Military Personnel, Marine Corps .....                  | 15,340  | 12,905  |
| Tricare accrual (permanent, indefinite authority) ..... | 876     | 684     |
| Total, Military Personnel, Marine Corps .....           | 16,216  | 13,589  |
| Military Personnel, Air Force .....                     | 29,555  | 28,520  |
| Tricare accrual (permanent, indefinite authority) ..... | 1,442   | 1,218   |
| Total, Military Personnel, Air Force .....              | 30,997  | 29,738  |
| Reserve Personnel, Army .....                           | 4,473   | 4,565   |
| Tricare accrual (permanent, indefinite authority) ..... | 522     | 427     |
| Total, Reserve Personnel, Army .....                    | 4,995   | 4,992   |
| Reserve Personnel, Navy .....                           | 1,987   | 1,892   |
| Tricare accrual (permanent, indefinite authority) ..... | 169     | 135     |
| Total, Reserve Personnel, Navy .....                    | 2,156   | 2,027   |
| Reserve Personnel, Marine Corps .....                   | 673     | 677     |
| Tricare accrual (permanent, indefinite authority) ..... | 98      | 81      |
| Total, Reserve Personnel, Marine Corps .....            | 771     | 758     |
| Reserve Personnel, Air Force .....                      | 1,749   | 1,759   |
| Tricare accrual (permanent, indefinite authority) ..... | 174     | 141     |
| Total, Reserve Personnel, Air Force .....               | 1,923   | 1,900   |
| National Guard Personnel, Army .....                    | 8,216   | 8,041   |
| Tricare accrual (permanent, indefinite authority) ..... | 909     | 742     |
| Total, National Guard Personnel, Army .....             | 9,125   | 8,783   |
| National Guard Personnel, Air Force .....               | 3,118   | 3,178   |
| Tricare accrual (permanent, indefinite authority) ..... | 275     | 228     |
| Total, National Guard Personnel, Air Force .....        | 3,393   | 3,406   |
| Total, Appropriated Military Personnel Accounts .....   | 145,681 | 130,400 |
| Total, Permanent, Indefinite Authority .....            | 8,297   | 6,676   |
| Total, Military Personnel .....                         | 153,978 | 137,076 |

<sup>1</sup>The 2013 column reflects annualized amounts available under the Continuing Appropriations Resolution, 2013 in Public Law 112–175, including funds provided for overseas contingency operations. Totals may not add due to rounding.

## ACTIVE FORCES

### YEAR-END NUMBER

|                                     | 2012<br>actual <sup>1</sup> | 2013 est. <sup>1</sup> | 2014 est. <sup>1</sup> |
|-------------------------------------|-----------------------------|------------------------|------------------------|
| Defense total .....                 | 1,399,622                   | 1,401,560              | 1,361,400              |
| Officers .....                      | 238,074                     | 237,278                | 237,415                |
| Enlisted .....                      | 1,148,481                   | 1,151,292              | 1,111,237              |
| Academy cadets and midshipmen ..... | 13,067                      | 12,990                 | 12,748                 |
| Army .....                          | 550,064                     | 552,100                | 520,000                |
| Officers .....                      | 98,423                      | 99,824                 | 97,871                 |
| Enlisted .....                      | 447,075                     | 447,776                | 417,703                |
| Military Academy cadets .....       | 4,566                       | 4,500                  | 4,426                  |
| Navy .....                          | 318,406                     | 322,700                | 323,600                |
| Officers .....                      | 52,855                      | 51,298                 | 53,400                 |
| Enlisted .....                      | 261,072                     | 266,912                | 265,878                |
| Naval Academy midshipmen .....      | 4,479                       | 4,490                  | 4,322                  |
| Marine Corps .....                  | 198,193                     | 197,300                | 190,200                |
| Officers .....                      | 21,776                      | 21,157                 | 21,467                 |
| Enlisted .....                      | 176,417                     | 176,143                | 168,733                |
| Officers .....                      | 332,959                     | 329,460                | 327,600                |
| Officers .....                      | 65,020                      | 64,999                 | 64,677                 |
| Enlisted .....                      | 263,917                     | 260,461                | 258,923                |
| Air Force Academy cadets .....      | 4,022                       | 4,000                  | 4,000                  |

<sup>1</sup>The 2012 column includes 2,664 Army and 3,836 Navy end strength funded in the 2012 supplemental. The 2013 column reflects the authorized end strength levels in the National Defense Authorization Act for FY 2013 in Public Law 112–239, which includes 49,700 Army and 15,200 Marine Corps end strength in the 2013 Overseas Contingency Op-

erations (OCO) request. The 2014 column includes 30,000 Army and 8,100 Marine Corps end strength in the 2014 OCO request.

## RESERVE FORCES

The number of National Guard and Reserve personnel estimated to participate in the Selected Reserve training programs and the number of full-time active duty military personnel provided for are summarized in the following table.

|                             | YEAR-END NUMBER |           |           |
|-----------------------------|-----------------|-----------|-----------|
|                             | 2012 actual     | 2013 est. | 2014 est. |
| Defense total .....         | 840,320         | 841,880   | 833,700   |
| Trained inactive duty ..... | 714,260         | 715,934   | 705,462   |
| Training pipeline .....     | 49,343          | 47,581    | 49,852    |
| Full-time active duty ..... | 76,717          | 78,365    | 78,386    |
| Army Reserve .....          | 201,166         | 205,000   | 205,000   |
| Trained inactive duty ..... | 175,156         | 179,267   | 180,112   |
| Training pipeline .....     | 9,725           | 9,456     | 8,627     |
| Full-time active duty ..... | 16,285          | 16,277    | 16,261    |
| Navy Reserve .....          | 64,715          | 62,500    | 59,100    |
| Trained inactive duty ..... | 52,833          | 50,942    | 47,447    |
| Training pipeline .....     | 1,492           | 1,444     | 1,494     |
| Full-time active duty ..... | 10,390          | 10,114    | 10,159    |
| Marine Corps Reserve .....  | 39,544          | 39,600    | 39,600    |
| Trained inactive duty ..... | 34,303          | 33,661    | 34,013    |
| Training pipeline .....     | 3,020           | 3,678     | 3,326     |
| Full-time active duty ..... | 2,221           | 2,261     | 2,261     |
| Air Force Reserve .....     | 71,428          | 70,880    | 70,400    |
| Trained inactive duty ..... | 64,509          | 65,797    | 65,294    |
| Training pipeline .....     | 4,186           | 2,195     | 2,195     |
| Full-time active duty ..... | 2,733           | 2,888     | 2,911     |
| Army National Guard .....   | 358,078         | 358,200   | 354,200   |
| Trained inactive duty ..... | 301,475         | 299,105   | 291,703   |
| Training pipeline .....     | 26,249          | 27,035    | 30,437    |
| Full-time active duty ..... | 30,354          | 32,060    | 32,060    |
| Air National Guard .....    | 105,389         | 105,700   | 105,400   |
| Trained inactive duty ..... | 85,984          | 87,162    | 86,893    |
| Training pipeline .....     | 4,671           | 3,773     | 3,773     |
| Full-time active duty ..... | 14,734          | 14,765    | 14,734    |

The FY 2013 column reflects the authorized end strength levels in the National Defense Authorization Act for FY 2013 (P.L. 112-239).

The Reserve Officers' Training Corps (ROTC) program provides training for reserve and regular officer candidates who have enrolled in the course while attending a college at which an ROTC unit has been established. College graduates who satisfactorily complete the advanced course of the program are commissioned and may be ordered to active duty for a minimum of three years.

The Reserve Officers' Training Corps Vitalization Act of 1964, as amended, authorizes a limited number of scholarships for ROTC students on a competitive basis. Successful candidates for the scholarships generally serve a minimum period of four years on active duty upon graduation and appointment as a commissioned officer. A number of scholarship recipients will fulfill their entire obligation in the Reserve components.

The Armed Forces Health Professions Scholarship Program provides a source of active duty commissioned officers for the various health professions.

The numbers of commissioned officers graduated from these programs are summarized below:

|                                       | 2012 actual | 2013 est. | 2014 est. |
|---------------------------------------|-------------|-----------|-----------|
| ROTC:                                 |             |           |           |
| Army .....                            | 5,350       | 5,350     | 5,350     |
| Navy .....                            | 1,069       | 1,173     | 1,000     |
| Air Force .....                       | 1,951       | 1,900     | 2,080     |
| Total .....                           | 8,370       | 8,423     | 8,430     |
| Marine Corps officer candidates ..... | 567         | 260       | 501       |
| Total .....                           | 567         | 260       | 501       |
| Health Professions scholarship:       |             |           |           |
| Army .....                            | 507         | 492       | 513       |
| Navy .....                            | 167         | 267       | 223       |
| Air Force .....                       | 476         | 480       | 477       |

Total ..... 1,150 1,239 1,213

## Federal Funds

### MILITARY PERSONNEL, ARMY

*For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Army on active duty, (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, \$41,037,790,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 21-2010-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Pay and allowances of officers .....                          | 14,170      | 15,147  | 12,462    |
| 0002 Pay and allowances of enlisted .....                          | 30,366      | 31,308  | 24,235    |
| 0003 Pay and allowances of cadets .....                            | 76          | 76      | 78        |
| 0004 Subsistence of enlisted personnel .....                       | 3,189       | 3,242   | 1,955     |
| 0005 Permanent change of station travel .....                      | 1,941       | 2,091   | 1,796     |
| 0006 Other military personnel costs .....                          | 939         | 864     | 512       |
| 0799 Total direct obligations .....                                | 50,681      | 52,728  | 41,038    |
| 0801 Reimbursable program .....                                    | 265         | 254     | 275       |
| 0900 Total new obligations .....                                   | 50,946      | 52,982  | 41,313    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 98          | 96      | 96        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 98          | 96      | .....     |
| 1011 Unobligated balance transfer from other accts [97-9999] ..... | 9           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 107         | 96      | 96        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 50,494      | 52,728  | 41,038    |
| 1121 Appropriations transferred from other accts [97-9999] .....   | 487         | .....   | .....     |
| 1130 Appropriations permanently reduced .....                      | -312        | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 50,669      | 52,728  | 41,038    |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 106         | 254     | 275       |
| 1701 Change in uncollected payments, Federal sources .....         | 6           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 112         | 254     | 275       |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 154         | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 154         | .....   | .....     |
| 1900 Budget authority (total) .....                                | 50,935      | 52,982  | 41,313    |
| 1930 Total budgetary resources available .....                     | 51,042      | 53,078  | 41,409    |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 96          | 96      | 96        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 2,859       | 5,072   | 5,229     |
| 3010 Obligations incurred, unexpired accounts .....                | 50,946      | 52,982  | 41,313    |
| 3011 Obligations incurred, expired accounts .....                  | 7,782       | .....   | .....     |
| 3020 Outlays (gross) .....   | -48,394     | -52,825 | -43,152   |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -8,121      | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 5,072       | 5,229   | 3,390     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -1      | -1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -6          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 6           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -1          | -1      | -1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 2,858       | 5,071   | 5,228     |
| 3200 Obligated balance, end of year .....                          | 5,071       | 5,228   | 3,389     |

| Budget authority and outlays, net:                      |   |        |        |        |
|---|---|--------|--------|--------|
| Discretionary:  |   |        |        |        |
| 4000  | Budget authority, gross .....                                   | 50,781 | 52,982 | 41,313 |
| Outlays, gross:   |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 47,292 | 49,291 | 38,441 |
| 4011  | Outlays from discretionary balances .....                       | 1,102  | 3,534  | 4,711  |
| 4020  | Outlays, gross (total) .....                                    | 48,394 | 52,825 | 43,152 |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4030  | Federal sources .....   | -113   | -246   | -267   |
| 4033  | Non-Federal sources .....                                       | .....  | -8     | -8     |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -113   | -254   | -275   |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -6     | .....  | .....  |
| 4052  | Offsetting collections credited to expired accounts .....       | 7      | .....  | .....  |
| 4060  | Additional offsets against budget authority only (total) .....  | 1      | .....  | .....  |
| 4070  | Budget authority, net (discretionary) .....                     | 50,669 | 52,728 | 41,038 |
| 4080  | Outlays, net (discretionary) .....                              | 48,281 | 52,571 | 42,877 |
| Mandatory:  |   |        |        |        |
| 4090  | Budget authority, gross .....                                   | 154    | .....  | .....  |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4120  | Federal sources .....   | -154   | .....  | .....  |
| 4180  | Budget authority, net (total) .....                             | 50,669 | 52,728 | 41,038 |
| 4190  | Outlays, net (total) .....                                      | 48,127 | 52,571 | 42,877 |

## Object Classification (in millions of dollars)

| Identification code 21-210-0-1-051 |  |         |           |        |
|------------------------------------|--|---------|-----------|--------|
|                                    | 2012 actual  | 2013 CR | 2014 est. |        |
| Direct obligations:                |  |         |           |        |
| Personnel compensation:            |  |         |           |        |
| 11.6                               | Military personnel - basic allowance for housing ..... | 7,913   | 9,122     | 6,717  |
| 11.7                               | Military personnel .....                               | 28,089  | 26,960    | 22,447 |
| 11.8                               | Special personal services payments .....               | 1       | 1         | 1      |
| 11.9                               | Total personnel compensation .....                     | 36,003  | 36,083    | 29,165 |
| 12.2                               | Accrued retirement benefits .....                      | 7,709   | 8,837     | 6,266  |
| 12.2                               | Other personnel benefits .....                         | 4,428   | 4,592     | 3,539  |
| 13.0                               | Benefits for former personnel .....                    | 522     | 746       | 283    |
| 21.0                               | Travel and transportation of persons .....             | 588     | 495       | 399    |
| 22.0                               | Transportation of things .....                         | 1,024   | 1,411     | 1,168  |
| 25.7                               | Operation and maintenance of equipment .....           | 32      | 13        | 11     |
| 26.0                               | Supplies and materials .....                           | 133     | 125       | 144    |
| 42.0                               | Insurance claims and indemnities .....                 | 233     | 412       | 62     |
| 43.0                               | Interest and dividends .....                           | 10      | 14        | 1      |
| 99.0                               | Direct obligations .....                               | 50,682  | 52,728    | 41,038 |
| 99.0                               | Reimbursable obligations .....                         | 264     | 254       | 275    |
| 99.9                               | Total new obligations .....                            | 50,946  | 52,982    | 41,313 |

|                 |  |       |       |       |
|-----------------|--|-------|-------|-------|
| Outlays, gross: |  |       |       |       |
| 4010            | Outlays from new discretionary authority ..... | 3,147 | 2,434 | 1,972 |
| 4180            | Budget authority, net (total) .....            | 3,147 | 2,434 | 1,972 |
| 4190            | Outlays, net (total) .....                     | 3,147 | 2,434 | 1,972 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 3,147       | 2,434   | 1,972     |
| Outlays .....                               | 3,147       | 2,434   | 1,972     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      | .....       | .....   | -148      |
| Outlays .....                               | .....       | .....   | -148      |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 3,147       | 2,434   | 1,824     |
| Outlays .....                               | 3,147       | 2,434   | 1,824     |

## MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, ARMY

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 21-1004-2-1-051 |   |         |           |      |
|-------------------------------------|---|---------|-----------|------|
|                                     | 2012 actual                                     | 2013 CR | 2014 est. |      |
| Obligations by program activity:    |   |         |           |      |
| 0001                                | Health care contribution - Officers .....       | .....   | .....     | -28  |
| 0002                                | Health care contribution - Enlisted .....       | .....   | .....     | -120 |
| 0900                                | Total new obligations (object class 12.2) ..... | .....   | .....     | -148 |

## Budgetary Resources:

|                                |  |       |       |      |
|--------------------------------|--|-------|-------|------|
| Budget authority:              |  |       |       |      |
| Appropriations, discretionary: |  |       |       |      |
| 1100                           | Appropriation .....                        | ..... | ..... | -148 |
| 1160                           | Appropriation, discretionary (total) ..... | ..... | ..... | -148 |
| 1930                           | Total budgetary resources available .....  | ..... | ..... | -148 |

## Change in obligated balance:

|                     |  |       |       |      |
|---------------------|--|-------|-------|------|
| Unpaid obligations: |  |       |       |      |
| 3010                | Obligations incurred, unexpired accounts ..... | ..... | ..... | -148 |
| 3020                | Outlays (gross) .....                          | ..... | ..... | 148  |

## Budget authority and outlays, net:

|                 |  |       |       |      |
|-----------------|--|-------|-------|------|
| Discretionary:  |  |       |       |      |
| 4000            | Budget authority, gross .....                  | ..... | ..... | -148 |
| Outlays, gross: |  |       |       |      |
| 4010            | Outlays from new discretionary authority ..... | ..... | ..... | -148 |
| 4180            | Budget authority, net (total) .....            | ..... | ..... | -148 |
| 4190            | Outlays, net (total) .....                     | ..... | ..... | -148 |

## MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, ARMY

## Program and Financing (in millions of dollars)

| Identification code 21-1004-0-1-051 |   |         |           |        |
|-------------------------------------|---|---------|-----------|--------|
|                                     | 2012 actual                                     | 2013 CR | 2014 est. |        |
| Obligations by program activity:    |   |         |           |        |
| 0001                                | Health care contribution - Officers .....       | 541     | 437       | 375    |
| 0002                                | Health care contribution - Enlisted .....       | 2,606   | 1,997     | 1,597  |
| 0900                                | Total new obligations (object class 12.2) ..... | 3,147   | 2,434     | 1,972  |
| Budgetary Resources:                |   |         |           |        |
| Budget authority:                   |   |         |           |        |
| Appropriations, discretionary:      |   |         |           |        |
| 1100                                | Appropriation .....                             | 3,147   | 2,434     | 1,972  |
| 1160                                | Appropriation, discretionary (total) .....      | 3,147   | 2,434     | 1,972  |
| 1930                                | Total budgetary resources available .....       | 3,147   | 2,434     | 1,972  |
| Change in obligated balance:        |   |         |           |        |
| Unpaid obligations:                 |   |         |           |        |
| 3010                                | Obligations incurred, unexpired accounts .....  | 3,147   | 2,434     | 1,972  |
| 3020                                | Outlays (gross) .....                           | -3,147  | -2,434    | -1,972 |
| Budget authority and outlays, net:  |   |         |           |        |
| Discretionary:                      |   |         |           |        |
| 4000                                | Budget authority, gross .....                   | 3,147   | 2,434     | 1,972  |

## MILITARY PERSONNEL, NAVY

*For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Navy on active duty (except members of the Reserve provided for elsewhere), midshipmen, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, \$27,824,444,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17-1453-0-1-051 |  |         |           |        |
|-------------------------------------|--|---------|-----------|--------|
|                                     | 2012 actual                                    | 2013 CR | 2014 est. |        |
| Obligations by program activity:    |  |         |           |        |
| 0001                                | Pay and allowances of officers .....           | 7,614   | 7,800     | 7,600  |
| 0002                                | Pay and allowances of enlisted personnel ..... | 17,355  | 17,406    | 17,791 |
| 0003                                | Pay and allowances of cadets .....             | 77      | 75        | 77     |
| 0004                                | Subsistence of enlisted personnel .....        | 1,140   | 1,168     | 1,195  |

MILITARY PERSONNEL, NAVY—Continued  
Program and Financing—Continued

| Identification code 17-1453-0-1-051                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 0005   | Permanent change of station travel .....                        | 967         | 1,086   | 957       |
| 0006   | Other military personnel costs .....                            | 334         | 307     | 204       |
| 0799   | Total direct obligations .....                                  | 27,487      | 27,842  | 27,824    |
| 0801   | Reimbursable program .....                                      | 311         | 289     | 294       |
| 0900   | Total new obligations .....                                     | 27,798      | 28,131  | 28,118    |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 1           | 3       | 3         |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....      | 1           | 3       |           |
| 1011   | Unobligated balance transfer from other accts [97-9999] ....    | 78          |         |           |
| 1050   | Unobligated balance (total) .....                               | 79          | 3       | 3         |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 28,063      | 27,842  | 27,824    |
| 1120   | Appropriations transferred to other accts [97-9999] .....       | -509        |         |           |
| 1121   | Appropriations transferred from other accts [97-9999] ....      | 45          |         |           |
| 1130   | Appropriations permanently reduced .....                        | -11         |         |           |
| 1160   | Appropriation, discretionary (total) .....                      | 27,588      | 27,842  | 27,824    |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 185         | 289     | 294       |
| 1701   | Change in uncollected payments, Federal sources .....           | 5           |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 190         | 289     | 294       |
| Spending authority from offsetting collections, mandatory:     |   |             |         |           |
| 1800   | Collected .....   | 123         |         |           |
| 1850   | Spending auth from offsetting collections, mand (total) .....   | 123         |         |           |
| 1900   | Budget authority (total) .....                                  | 27,901      | 28,131  | 28,118    |
| 1930   | Total budgetary resources available .....                       | 27,980      | 28,134  | 28,121    |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                              | -179        |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 701         | 1,651   | 1,667     |
| 3010   | Obligations incurred, unexpired accounts .....                  | 27,798      | 28,131  | 28,118    |
| 3011   | Obligations incurred, expired accounts .....                    | 1,452       |         |           |
| 3020   | Outlays (gross) .....   | -26,788     | -28,115 | -27,980   |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | -1,512      |         |           |
| 3050   | Unpaid obligations, end of year .....                           | 1,651       | 1,667   | 1,805     |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -4          | -6      | -6        |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | -5          |         |           |
| 3071   | Change in uncollected pymts, Fed sources, expired .....         | 3           |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....               | -6          | -6      | -6        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 697         | 1,645   | 1,661     |
| 3200   | Obligated balance, end of year .....                            | 1,645       | 1,661   | 1,799     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                   | 27,778      | 28,131  | 28,118    |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                  | 26,227      | 26,461  | 26,448    |
| 4011   | Outlays from discretionary balances .....                       | 438         | 1,654   | 1,532     |
| 4020   | Outlays, gross (total) .....                                    | 26,665      | 28,115  | 27,980    |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | -190        | -289    | -294      |
| 4033   | Non-Federal sources .....                                       | -1          |         |           |
| 4040   | Offsets against gross budget authority and outlays (total) .... | -191        | -289    | -294      |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....       | -5          |         |           |
| 4052   | Offsetting collections credited to expired accounts .....       | 6           |         |           |
| 4060   | Additional offsets against budget authority only (total) .....  | 1           |         |           |
| 4070   | Budget authority, net (discretionary) .....                     | 27,588      | 27,842  | 27,824    |
| 4080   | Outlays, net (discretionary) .....                              | 26,474      | 27,826  | 27,686    |
| Mandatory:   |   |             |         |           |
| 4090   | Budget authority, gross .....                                   | 123         |         |           |
| Outlays, gross:  |   |             |         |           |
| 4100   | Outlays from new mandatory authority .....                      | 123         |         |           |

## Offsets against gross budget authority and outlays:

|  |                                     |        |        |        |
|--|-------------------------------------|--------|--------|--------|
| Offsetting collections (collected) from: |                                     |        |        |        |
| 4120                                     | Federal sources .....               | -123   |        |        |
| 4180                                     | Budget authority, net (total) ..... | 27,588 | 27,842 | 27,824 |
| 4190                                     | Outlays, net (total) .....          | 26,474 | 27,826 | 27,686 |

## Object Classification (in millions of dollars)

| Identification code 17-1453-0-1-051 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.6                                | Military personnel - basic allowance for housing ..... | 5,066       | 5,216   | 5,369     |
| 11.7                                | Military personnel .....                               | 14,357      | 14,662  | 14,631    |
| 11.9                                | Total personnel compensation .....                     | 19,423      | 19,878  | 20,000    |
| 12.2                                | Accrued retirement benefits .....                      | 4,140       | 4,142   | 4,005     |
| 12.2                                | Other personnel benefits .....                         | 2,684       | 2,518   | 2,728     |
| 13.0                                | Benefits for former personnel .....                    | 183         | 166     | 125       |
| 21.0                                | Travel and transportation of persons .....             | 234         | 305     | 230       |
| 22.0                                | Transportation of things .....                         | 636         | 685     | 633       |
| 25.7                                | Operation and maintenance of equipment .....           | 1           | 6       | 1         |
| 26.0                                | Supplies and materials .....                           | 96          | 68      | 83        |
| 42.0                                | Insurance claims and indemnities .....                 | 88          | 71      | 17        |
| 43.0                                | Interest and dividends .....                           | 2           | 2       | 2         |
| 99.0                                | Direct obligations .....                               | 27,487      | 27,841  | 27,824    |
| 99.0                                | Reimbursable obligations .....                         | 311         | 290     | 294       |
| 99.9                                | Total new obligations .....                            | 27,798      | 28,131  | 28,118    |

## MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NAVY

## Program and Financing (in millions of dollars)

| Identification code 17-1000-0-1-051       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Health care contribution - Officers .....       | 295         | 235     | 220       |
| 0002                                      | Health care contribution - Enlisted .....       | 1,511       | 1,162   | 1,075     |
| 0900                                      | Total new obligations (object class 12.2) ..... | 1,806       | 1,397   | 1,295     |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, discretionary:            |   |             |         |           |
| 1100                                      | Appropriation .....                             | 1,806       | 1,397   | 1,295     |
| 1160                                      | Appropriation, discretionary (total) .....      | 1,806       | 1,397   | 1,295     |
| 1930                                      | Total budgetary resources available .....       | 1,806       | 1,397   | 1,295     |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 1,806       | 1,397   | 1,295     |
| 3020                                      | Outlays (gross) .....                           | -1,806      | -1,397  | -1,295    |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| 4000                                      | Budget authority, gross .....                   | 1,806       | 1,397   | 1,295     |
| Outlays, gross:                           |   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....  | 1,806       | 1,397   | 1,295     |
| 4180                                      | Budget authority, net (total) .....             | 1,806       | 1,397   | 1,295     |
| 4190                                      | Outlays, net (total) .....                      | 1,806       | 1,397   | 1,295     |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Enacted/requested:                          |  |             |         |           |
| Budget Authority .....                      |  | 1,806       | 1,397   | 1,295     |
| Outlays .....                               |  | 1,806       | 1,397   | 1,295     |
| Legislative proposal, not subject to PAYGO: |  |             |         |           |
| Budget Authority .....                      |  |             |         | -97       |
| Outlays .....                               |  |             |         | -97       |
| Total:                                      |  |             |         |           |
| Budget Authority .....                      |  | 1,806       | 1,397   | 1,198     |

|               |       |       |       |
|---------------|-------|-------|-------|
| Outlays ..... | 1,806 | 1,397 | 1,198 |
|---------------|-------|-------|-------|

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NAVY**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 17–1000–2–1–051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Health care contribution - Officers .....       |             |         | –16       |
| 0002 Health care contribution - Enlisted .....       |             |         | –81       |
| 0900 Total new obligations (object class 12.2) ..... |             |         | –97       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | –97       |
| 1160 Appropriation, discretionary (total) .....      |             |         | –97       |
| 1930 Total budgetary resources available .....       |             |         | –97       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | –97       |
| 3020 Outlays (gross) .....                           |             |         | 97        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | –97       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | –97       |
| 4180 Budget authority, net (total) .....             |             |         | –97       |
| 4190 Outlays, net (total) .....                      |             |         | –97       |

**MILITARY PERSONNEL, MARINE CORPS**

*For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Marine Corps on active duty (except members of the Reserve provided for elsewhere); and for payments pursuant to section 156 of Public Law 97–377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, \$12,905,216,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 17–1105–0–1–051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Pay and allowances of officers .....                         | 2,916       | 3,169   | 2,687     |
| 0002 Pay and allowances of enlisted personnel .....               | 9,703       | 10,460  | 8,865     |
| 0004 Subsistence of enlisted personnel .....                      | 766         | 842     | 724       |
| 0005 Permanent change of station travel .....                     | 537         | 633     | 511       |
| 0006 Other military personnel costs .....                         | 234         | 236     | 118       |
| 0799 Total direct obligations .....                               | 14,156      | 15,340  | 12,905    |
| 0801 Reimbursable program .....                                   | 26          | 23      | 24        |
| 0900 Total new obligations .....                                  | 14,182      | 15,363  | 12,929    |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 5           | 6       | 6         |
| 1011 Unobligated balance transfer from other accts [97–9999] .... | 39          |         |           |
| 1050 Unobligated balance (total) .....                            | 44          | 6       | 6         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 14,349      | 15,340  | 12,905    |
| 1120 Appropriations transferred to other accts [97–9999] .....    | –113        |         |           |
| 1121 Appropriations transferred from other accts [97–9999] ....   | 23          |         |           |
| 1130 Appropriations permanently reduced .....                     | –56         |         |           |

|  |        |        |        |
|--|--------|--------|--------|
| 1160 Appropriation, discretionary (total) .....                    | 14,203 | 15,340 | 12,905 |
| Spending authority from offsetting collections, discretionary:     |        |        |        |
| 1700 Collected .....   | 27     | 23     | 24     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 27     | 23     | 24     |
| 1900 Budget authority (total) .....                                | 14,230 | 15,363 | 12,929 |
| 1930 Total budgetary resources available .....                     | 14,274 | 15,369 | 12,935 |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1940 Unobligated balance expiring .....                            | –86    |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 6      | 6      | 6      |

**Change in obligated balance:**

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 771     | 660     | 955     |
| 3010 Obligations incurred, unexpired accounts .....               | 14,182  | 15,363  | 12,929  |
| 3011 Obligations incurred, expired accounts .....                 | 116     |         |         |
| 3020 Outlays (gross) .....  | –14,235 | –15,068 | –13,129 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –174    |         |         |
| 3050 Unpaid obligations, end of year .....                        | 660     | 955     | 755     |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 4       | –1      | –1      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | –5      |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –1      | –1      | –1      |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 775     | 659     | 954     |
| 3200 Obligated balance, end of year .....                         | 659     | 954     | 754     |

**Budget authority and outlays, net:**

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 14,230 | 15,363 | 12,929 |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 13,779 | 14,596 | 12,283 |
| 4011 Outlays from discretionary balances .....                       | 456    | 472    | 846    |
| 4020 Outlays, gross (total) .....                                    | 14,235 | 15,068 | 13,129 |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   | –17    | –23    | –24    |
| 4033 Non-Federal sources .....                                       | –11    |        |        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –28    | –23    | –24    |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 1      |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 14,203 | 15,340 | 12,905 |
| 4080 Outlays, net (discretionary) .....                              | 14,207 | 15,045 | 13,105 |
| 4180 Budget authority, net (total) .....                             | 14,203 | 15,340 | 12,905 |
| 4190 Outlays, net (total) .....                                      | 14,207 | 15,045 | 13,105 |

**Object Classification** (in millions of dollars)

| Identification code 17–1105–0–1–051                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:                                     |             |         |           |
| 11.6 Military personnel - basic allowance for housing ..... | 2,252       | 2,358   | 2,132     |
| 11.7 Military personnel .....                               | 7,826       | 8,632   | 7,196     |
| 11.9 Total personnel compensation .....                     | 10,078      | 10,990  | 9,328     |
| 12.2 Accrued retirement benefits .....                      | 2,256       | 2,442   | 2,003     |
| 12.2 Other personnel benefits .....                         | 1,077       | 1,072   | 935       |
| 13.0 Benefits for former personnel .....                    | 161         | 154     | 96        |
| 21.0 Travel and transportation of persons .....             | 183         | 189     | 183       |
| 22.0 Transportation of things .....                         | 271         | 355     | 253       |
| 25.7 Operation and maintenance of equipment .....           | 16          | 11      | 10        |
| 26.0 Supplies and materials .....                           | 55          | 62      | 87        |
| 42.0 Insurance claims and indemnities .....                 | 58          | 64      | 10        |
| 43.0 Interest and dividends .....                           | 1           | 1       |           |
| 99.0 Direct obligations .....                               | 14,156      | 15,340  | 12,905    |
| 99.0 Reimbursable obligations .....                         | 26          | 23      | 24        |
| 99.9 Total new obligations .....                            | 14,182      | 15,363  | 12,929    |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS**

**Program and Financing** (in millions of dollars)

| Identification code 17–1001–0–1–051            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>        |             |         |           |
| 0001 Health care contribution - Officers ..... | 122         | 95      | 81        |
| 0002 Health care contribution - Enlisted ..... | 1,004       | 781     | 659       |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE  
CORPS—Continued**

**Program and Financing—Continued**

| Identification code 17-1001-0-1-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0900 Total new obligations (object class 12.2) ..... | 1,126       | 876     | 740       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 1,126       | 876     | 740       |
| 1160 Appropriation, discretionary (total) .....      | 1,126       | 876     | 740       |
| 1930 Total budgetary resources available .....       | 1,126       | 876     | 740       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 1,126       | 876     | 740       |
| 3020 Outlays (gross) .....                           | -1,126      | -876    | -740      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 1,126       | 876     | 740       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 1,126       | 876     | 740       |
| 4180 Budget authority, net (total) .....             | 1,126       | 876     | 740       |
| 4190 Outlays, net (total) .....                      | 1,126       | 876     | 740       |

**Summary of Budget Authority and Outlays (in millions of dollars)**

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 1,126       | 876     | 740       |
| Outlays .....                               | 1,126       | 876     | 740       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | -56       |
| Outlays .....                               |             |         | -56       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 1,126       | 876     | 684       |
| Outlays .....                               | 1,126       | 876     | 684       |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, MARINE CORPS  
(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

| Identification code 17-1001-2-1-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Health care contribution - Officers .....       |             |         | -6        |
| 0002 Health care contribution - Enlisted .....       |             |         | -50       |
| 0900 Total new obligations (object class 12.2) ..... |             |         | -56       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | -56       |
| 1160 Appropriation, discretionary (total) .....      |             |         | -56       |
| 1930 Total budgetary resources available .....       |             |         | -56       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | -56       |
| 3020 Outlays (gross) .....                           |             |         | 56        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | -56       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | -56       |
| 4180 Budget authority, net (total) .....             |             |         | -56       |
| 4190 Outlays, net (total) .....                      |             |         | -56       |

**MILITARY PERSONNEL, AIR FORCE**

*For pay, allowances, individual clothing, subsistence, interest on deposits, gratuities, permanent change of station travel (including all expenses thereof for organizational movements), and expenses of temporary duty travel between permanent duty stations, for members of the Air Force on active duty (except members of reserve components provided for elsewhere), cadets, and aviation cadets; for members of the Reserve Officers' Training Corps; and for payments pursuant to section 156 of Public Law 97-377, as amended (42 U.S.C. 402 note), and to the Department of Defense Military Retirement Fund, \$28,519,877,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 57-3500-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Pay and allowances of officers .....                          | 9,230       | 9,578   | 9,094     |
| 0002 Pay and allowances of enlisted .....                          | 17,246      | 17,253  | 16,852    |
| 0003 Pay and allowances of cadets .....                            | 72          | 72      | 70        |
| 0004 Subsistence of enlisted personnel .....                       | 1,195       | 1,175   | 1,102     |
| 0005 Permanent change of station travel .....                      | 1,201       | 1,235   | 1,261     |
| 0006 Other military personnel costs .....                          | 256         | 242     | 141       |
| 0799 Total direct obligations .....                                | 29,200      | 29,555  | 28,520    |
| 0801 Reimbursable program .....                                    | 395         | 417     | 427       |
| 0900 Total new obligations .....                                   | 29,595      | 29,972  | 28,947    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 78          | 79      | 79        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 78          | 79      |           |
| 1011 Unobligated balance transfer from other accts [97-9999] ..... | 39          |         |           |
| 1050 Unobligated balance (total) .....                             | 117         | 79      | 79        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 29,589      | 29,555  | 28,520    |
| 1120 Appropriations transferred to other accts [97-9999] .....     | -298        |         |           |
| 1130 Appropriations permanently reduced .....                      | -35         |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 29,256      | 29,555  | 28,520    |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 191         | 417     | 427       |
| 1701 Change in uncollected payments, Federal sources .....         | 8           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 199         | 417     | 427       |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 197         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 197         |         |           |
| 1900 Budget authority (total) .....                                | 29,652      | 29,972  | 28,947    |
| 1930 Total budgetary resources available .....                     | 29,769      | 30,051  | 29,026    |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -95         |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 79          | 79      | 79        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1,696       | 2,371   | 1,596     |
| 3010 Obligations incurred, unexpired accounts .....                | 29,595      | 29,972  | 28,947    |
| 3011 Obligations incurred, expired accounts .....                  | 2           |         |           |
| 3020 Outlays (gross) .....   | -28,214     | -30,747 | -28,852   |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -708        |         |           |
| 3050 Unpaid obligations, end of year .....                         | 2,371       | 1,596   | 1,691     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -88         | -46     | -46       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -8          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 50          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -46         | -46     | -46       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1,608       | 2,325   | 1,550     |
| 3200 Obligated balance, end of year .....                          | 2,325       | 1,550   | 1,645     |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 29,455      | 29,972  | 28,947    |

|      |   |        |        |        |
|------|---|--------|--------|--------|
| 4010 | Outlays, gross:   |        |        |        |
|      | Outlays from new discretionary authority .....                  | 27,525 | 28,495 | 27,521 |
| 4011 | Outlays from discretionary balances .....                       | 492    | 2,252  | 1,331  |
| 4020 | Outlays, gross (total) .....                                    | 28,017 | 30,747 | 28,852 |
|      | Offsets against gross budget authority and outlays:             |        |        |        |
|      | Offsetting collections (collected) from:                        |        |        |        |
| 4030 | Federal sources .....   | -218   | -417   | -427   |
| 4033 | Non-Federal sources .....                                       | -23    |        |        |
| 4040 | Offsets against gross budget authority and outlays (total) .... | -241   | -417   | -427   |
|      | Additional offsets against gross budget authority only:         |        |        |        |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | -8     |        |        |
| 4052 | Offsetting collections credited to expired accounts .....       | 50     |        |        |
| 4060 | Additional offsets against budget authority only (total) .....  | 42     |        |        |
| 4070 | Budget authority, net (discretionary) .....                     | 29,256 | 29,555 | 28,520 |
| 4080 | Outlays, net (discretionary) .....                              | 27,776 | 30,330 | 28,425 |
|      | Mandatory:  |        |        |        |
| 4090 | Budget authority, gross .....                                   | 197    |        |        |
|      | Outlays, gross:   |        |        |        |
| 4100 | Outlays from new mandatory authority .....                      | 197    |        |        |
|      | Offsets against gross budget authority and outlays:             |        |        |        |
|      | Offsetting collections (collected) from:                        |        |        |        |
| 4120 | Federal sources .....   | -197   |        |        |
| 4180 | Budget authority, net (total) .....                             | 29,256 | 29,555 | 28,520 |
| 4190 | Outlays, net (total) .....                                      | 27,776 | 30,330 | 28,425 |

**Object Classification** (in millions of dollars)

| Identification code 57-3500-0-1-051                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:                                     |             |         |           |
| 11.6 Military personnel - basic allowance for housing ..... | 4,756       | 4,900   | 5,044     |
| 11.7 Military personnel .....                               | 15,742      | 15,894  | 15,282    |
| 11.9 Total personnel compensation .....                     | 20,498      | 20,794  | 20,326    |
| 12.2 Accrued retirement benefits .....                      | 4,679       | 4,645   | 4,339     |
| 12.2 Other personnel benefits .....                         | 2,713       | 2,790   | 2,604     |
| 13.0 Benefits for former personnel .....                    | 111         | 92      | 66        |
| 21.0 Travel and transportation of persons .....             | 194         | 199     | 201       |
| 22.0 Transportation of things .....                         | 768         | 794     | 809       |
| 25.7 Operation and maintenance of equipment .....           | 105         | 110     | 110       |
| 26.0 Supplies and materials .....                           | 45          | 43      | 45        |
| 42.0 Insurance claims and indemnities .....                 | 84          | 85      | 17        |
| 43.0 Interest and dividends .....                           | 3           | 3       | 3         |
| 99.0 Direct obligations .....                               | 29,200      | 29,555  | 28,520    |
| 99.0 Reimbursable obligations .....                         | 395         | 417     | 427       |
| 99.9 Total new obligations .....                            | 29,595      | 29,972  | 28,947    |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, AIR FORCE****Program and Financing** (in millions of dollars)

| Identification code 57-1007-0-1-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Health care contribution - Officers .....       | 365         | 287     | 263       |
| 0002 Health care contribution - Enlisted .....       | 1,475       | 1,155   | 1,054     |
| 0900 Total new obligations (object class 12.2) ..... | 1,840       | 1,442   | 1,317     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 1,840       | 1,442   | 1,317     |
| 1160 Appropriation, discretionary (total) .....      | 1,840       | 1,442   | 1,317     |
| 1930 Total budgetary resources available .....       | 1,840       | 1,442   | 1,317     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 1,840       | 1,442   | 1,317     |
| 3020 Outlays (gross) .....                           | -1,840      | -1,442  | -1,317    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 1,840       | 1,442   | 1,317     |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 1,840       | 1,442   | 1,317     |
| 4180 Budget authority, net (total) .....             | 1,840       | 1,442   | 1,317     |

|      |                            |       |       |       |
|------|----------------------------|-------|-------|-------|
| 4190 | Outlays, net (total) ..... | 1,840 | 1,442 | 1,317 |
|------|----------------------------|-------|-------|-------|

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 1,840       | 1,442   | 1,317     |
| Outlays .....                               | 1,840       | 1,442   | 1,317     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | -99       |
| Outlays .....                               |             |         | -99       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 1,840       | 1,442   | 1,218     |
| Outlays .....                               | 1,840       | 1,442   | 1,218     |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, AIR FORCE****(Legislative proposal, not subject to PAYGO)****Program and Financing** (in millions of dollars)

| Identification code 57-1007-2-1-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Health care contribution - Officers .....       |             |         | -20       |
| 0002 Health care contribution - Enlisted .....       |             |         | -79       |
| 0900 Total new obligations (object class 12.2) ..... |             |         | -99       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | -99       |
| 1160 Appropriation, discretionary (total) .....      |             |         | -99       |
| 1930 Total budgetary resources available .....       |             |         | -99       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | -99       |
| 3020 Outlays (gross) .....                           |             |         | 99        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | -99       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | -99       |
| 4180 Budget authority, net (total) .....             |             |         | -99       |
| 4190 Outlays, net (total) .....                      |             |         | -99       |

**RESERVE PERSONNEL, ARMY**

*For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army Reserve on active duty under sections 10211, 10302, and 3038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$4,565,261,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 21-2070-0-1-051               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>           |             |         |           |
| 0001 Reserve component training and support ..... | 4,465       | 4,473   | 4,565     |
| 0801 Reimbursable program .....                   | 29          | 35      | 35        |
| 0900 Total new obligations .....                  | 4,494       | 4,508   | 4,600     |

RESERVE PERSONNEL, ARMY—Continued  
Program and Financing—Continued

| Identification code 21–2070–0–1–051                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               |             | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 4,497       | 4,473   | 4,565     |
| 1121 Appropriations transferred from other accts [97–9999] ....     | 3           |         |           |
| 1130 Appropriations permanently reduced .....                       | –11         |         |           |
| 1160 Appropriation, discretionary (total) .....                     | 4,489       | 4,473   | 4,565     |
| Spending authority from offsetting collections, discretionary:      |             |         |           |
| 1700 Collected .....  | 27          | 35      | 35        |
| 1701 Change in uncollected payments, Federal sources .....          | 3           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 30          | 35      | 35        |
| 1900 Budget authority (total) .....                                 | 4,519       | 4,508   | 4,600     |
| 1930 Total budgetary resources available .....                      | 4,519       | 4,509   | 4,601     |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1940 Unobligated balance expiring .....                             | –24         |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 470         | 514     | 519       |
| 3010 Obligations incurred, unexpired accounts .....                 | 4,494       | 4,508   | 4,600     |
| 3011 Obligations incurred, expired accounts .....                   | 1,414       |         |           |
| 3020 Outlays (gross) .....  | –4,262      | –4,503  | –4,529    |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –1,602      |         |           |
| 3050 Unpaid obligations, end of year .....                          | 514         | 519     | 590       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –12         | –12     | –12       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –3          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 3           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –12         | –12     | –12       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 458         | 502     | 507       |
| 3200 Obligated balance, end of year .....                           | 502         | 507     | 578       |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 4,519       | 4,508   | 4,600     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 4,088       | 4,016   | 4,112     |
| 4011 Outlays from discretionary balances .....                      | 174         | 487     | 417       |
| 4020 Outlays, gross (total) .....                                   | 4,262       | 4,503   | 4,529     |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | –29         | –35     | –35       |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –3          |         |           |
| 4052 Offsetting collections credited to expired accounts .....      | 2           |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | –1          |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 4,489       | 4,473   | 4,565     |
| 4080 Outlays, net (discretionary) .....                             | 4,233       | 4,468   | 4,494     |
| 4180 Budget authority, net (total) .....                            | 4,489       | 4,473   | 4,565     |
| 4190 Outlays, net (total) .....                                     | 4,233       | 4,468   | 4,494     |

## Object Classification (in millions of dollars)

| Identification code 21–2070–0–1–051                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:                                     |             |         |           |
| 11.6 Military personnel - basic allowance for housing ..... | 467         | 472     | 534       |
| 11.7 Military personnel .....                               | 2,279       | 2,165   | 2,676     |
| 11.9 Total personnel compensation .....                     | 2,746       | 2,637   | 3,210     |
| 12.2 Accrued retirement benefits .....                      | 842         | 813     | 697       |
| 12.2 Other personnel benefits .....                         | 462         | 528     | 361       |
| 21.0 Travel and transportation of persons .....             | 279         | 363     | 205       |
| 22.0 Transportation of things .....                         | 69          | 68      | 53        |
| 26.0 Supplies and materials .....                           | 67          | 64      | 39        |
| 99.0 Direct obligations .....                               | 4,465       | 4,473   | 4,565     |
| 99.0 Reimbursable obligations .....                         | 29          | 35      | 35        |

|      |                             |       |       |       |
|------|-----------------------------|-------|-------|-------|
| 99.9 | Total new obligations ..... | 4,494 | 4,508 | 4,600 |
|------|-----------------------------|-------|-------|-------|

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, ARMY

## Program and Financing (in millions of dollars)

| Identification code 21–1005–0–1–051                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                 |             |         |           |
| 0001 Health care contribution - Reserve component .....                 | 704         | 522     | 471       |
| 0900 Total new obligations (object class 12.2) .....                    | 704         | 522     | 471       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 704         | 522     | 471       |
| 1160 Appropriation, discretionary (total) .....                         | 704         | 522     | 471       |
| 1930 Total budgetary resources available .....                          | 704         | 522     | 471       |
| <b>Change in obligated balance:</b>                                     |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                     | 704         | 522     | 471       |
| 3020 Outlays (gross) .....  | –704        | –522    | –471      |
| <b>Budget authority and outlays, net:</b>                               |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                      | 704         | 522     | 471       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                     | 704         | 522     | 471       |
| 4180 Budget authority, net (total) .....                                | 704         | 522     | 471       |
| 4190 Outlays, net (total) .....   | 704         | 522     | 471       |
| <b>Summary of Budget Authority and Outlays (in millions of dollars)</b> |             |         |           |
|   | 2012 actual | 2013 CR | 2014 est. |
| Enacted/requested:  |             |         |           |
| Budget Authority .....  | 704         | 522     | 471       |
| Outlays .....   | 704         | 522     | 471       |
| Legislative proposal, not subject to PAYGO:                             |             |         |           |
| Budget Authority .....  |             |         | –44       |
| Outlays .....   |             |         | –44       |
| Total:  |             |         |           |
| Budget Authority .....  | 704         | 522     | 427       |
| Outlays .....   | 704         | 522     | 427       |

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, ARMY

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 21–1005–2–1–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... |             |         | –44       |
| 0900 Total new obligations (object class 12.2) .....    |             |         | –44       |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, discretionary:                          |             |         |           |
| 1100 Appropriation .....                                |             |         | –44       |
| 1160 Appropriation, discretionary (total) .....         |             |         | –44       |
| 1930 Total budgetary resources available .....          |             |         | –44       |
| <b>Change in obligated balance:</b>                     |             |         |           |
| Unpaid obligations:                                     |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....     |             |         | –44       |
| 3020 Outlays (gross) .....                              |             |         | 44        |
| <b>Budget authority and outlays, net:</b>               |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                      |             |         | –44       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....     |             |         | –44       |



|      |                                     |     |
|------|-------------------------------------|-----|
| 4180 | Budget authority, net (total) ..... | —44 |
| 4190 | Outlays, net (total) .....          | —44 |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 4070 | Budget authority, net (discretionary) ..... | 1,950 | 1,987 | 1,892 |
| 4080 | Outlays, net (discretionary) .....          | 1,888 | 2,043 | 1,886 |
| 4180 | Budget authority, net (total) .....         | 1,950 | 1,987 | 1,892 |
| 4190 | Outlays, net (total) .....                  | 1,888 | 2,043 | 1,886 |

## RESERVE PERSONNEL, NAVY

*For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Navy Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$1,891,936,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–1405–0–1–051               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>           |             |         |           |
| 0001 Reserve component training and support ..... | 1,949       | 1,987   | 1,892     |
| 0801 Reimbursable program .....                   | 26          | 57      | 59        |
| 0900 Total new obligations .....                  | 1,975       | 2,044   | 1,951     |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| <b>Budget authority:</b>   |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 1,980 | 1,987 | 1,892 |
| 1120 Appropriations transferred to other accts [97–9999] .....     | —43   |       |       |
| 1121 Appropriations transferred from other accts [97–9999] ....    | 14    |       |       |
| 1130 Appropriations permanently reduced .....                      | —1    |       |       |
| 1160 Appropriation, discretionary (total) .....                    | 1,950 | 1,987 | 1,892 |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | 25    | 57    | 59    |
| 1701 Change in uncollected payments, Federal sources .....         | 1     |       |       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 26    | 57    | 59    |
| 1900 Budget authority (total) .....                                | 1,976 | 2,044 | 1,951 |
| 1930 Total budgetary resources available .....                     | 1,976 | 2,044 | 1,951 |
| <b>Memorandum (non-add) entries:</b>                               |       |       |       |
| 1940 Unobligated balance expiring .....                            | —1    |       |       |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| <b>Unpaid obligations:</b>  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 174    | 195    | 139    |
| 3010 Obligations incurred, unexpired accounts .....               | 1,975  | 2,044  | 1,951  |
| 3011 Obligations incurred, expired accounts .....                 | 1,020  |        |        |
| 3020 Outlays (gross) .....  | —1,914 | —2,100 | —1,945 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | —1,060 |        |        |
| 3050 Unpaid obligations, end of year .....                        | 195    | 139    | 145    |
| <b>Uncollected payments:</b>                                      |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | —1     | —1     | —1     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | —1     |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1      |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | —1     | —1     | —1     |
| <b>Memorandum (non-add) entries:</b>                              |        |        |        |
| 3100 Obligated balance, start of year .....                       | 173    | 194    | 138    |
| 3200 Obligated balance, end of year .....                         | 194    | 138    | 144    |

## Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| <b>Discretionary:</b>  |       |       |       |
| 4000 Budget authority, gross .....                             | 1,976 | 2,044 | 1,951 |
| <b>Outlays, gross:</b>   |       |       |       |
| 4010 Outlays from new discretionary authority .....            | 1,840 | 1,905 | 1,815 |
| 4011 Outlays from discretionary balances .....                 | 74    | 195   | 130   |
| 4020 Outlays, gross (total) .....                              | 1,914 | 2,100 | 1,945 |
| Offsets against gross budget authority and outlays:            |       |       |       |
| Offsetting collections (collected) from:                       |       |       |       |
| 4030 Federal sources .....                                     | —26   | —57   | —59   |
| Additional offsets against gross budget authority only:        |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | —1    |       |       |
| 4052 Offsetting collections credited to expired accounts ..... | 1     |       |       |

## Object Classification (in millions of dollars)

| Identification code 17–1405–0–1–051                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                  |             |         |           |
| <b>Personnel compensation:</b>                              |             |         |           |
| 11.6 Military personnel - basic allowance for housing ..... | 245         | 251     | 247       |
| 11.7 Military personnel .....                               | 1,110       | 1,126   | 1,072     |
| 11.9 Total personnel compensation .....                     | 1,355       | 1,377   | 1,319     |
| 12.2 Accrued retirement benefits .....                      | 274         | 279     | 260       |
| 12.2 Other personnel benefits .....                         | 109         | 123     | 122       |
| 21.0 Travel and transportation of persons .....             | 182         | 176     | 159       |
| 22.0 Transportation of things .....                         | 19          | 19      | 19        |
| 26.0 Supplies and materials .....                           | 8           | 10      | 9         |
| 42.0 Insurance claims and indemnities .....                 | 2           | 4       | 4         |
| 99.0 Direct obligations .....                               | 1,949       | 1,988   | 1,892     |
| 99.0 Reimbursable obligations .....                         | 26          | 56      | 59        |
| 99.9 Total new obligations .....                            | 1,975       | 2,044   | 1,951     |

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, NAVY

## Program and Financing (in millions of dollars)

| Identification code 17–1002–0–1–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... | 236         | 169     | 148       |
| 0900 Total new obligations (object class 12.2) .....    | 236         | 169     | 148       |

## Budgetary Resources:

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budget authority:</b>                        |     |     |     |
| Appropriations, discretionary:                  |     |     |     |
| 1100 Appropriation .....                        | 236 | 169 | 148 |
| 1160 Appropriation, discretionary (total) ..... | 236 | 169 | 148 |
| 1930 Total budgetary resources available .....  | 236 | 169 | 148 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| <b>Unpaid obligations:</b>                          |      |      |      |
| 3010 Obligations incurred, unexpired accounts ..... | 236  | 169  | 148  |
| 3020 Outlays (gross) .....                          | —236 | —169 | —148 |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| <b>Discretionary:</b>                               |     |     |     |
| 4000 Budget authority, gross .....                  | 236 | 169 | 148 |
| <b>Outlays, gross:</b>                              |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 236 | 169 | 148 |
| 4180 Budget authority, net (total) .....            | 236 | 169 | 148 |
| 4190 Outlays, net (total) .....                     | 236 | 169 | 148 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Enacted/requested:</b>                          |             |         |           |
| Budget Authority .....                             | 236         | 169     | 148       |
| Outlays .....                                      | 236         | 169     | 148       |
| <b>Legislative proposal, not subject to PAYGO:</b> |             |         |           |
| Budget Authority .....                             |             |         | —13       |
| Outlays .....                                      |             |         | —13       |
| <b>Total:</b>                                      |             |         |           |
| Budget Authority .....                             | 236         | 169     | 135       |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, NAVY—Continued**  
**Summary of Budget Authority and Outlays—Continued**

|               | 2012 actual | 2013 CR | 2014 est. |
|---------------|-------------|---------|-----------|
| Outlays ..... | 236         | 169     | 135       |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, NAVY**  
**(Legislative proposal, not subject to PAYGO)**

**Program and Financing** (in millions of dollars)

| Identification code 17–1002–2–1–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... |             |         | –13       |
| 0900 Total new obligations (object class 12.2) .....    |             |         | –13       |

|   |  |  |     |
|---|--|--|-----|
| <b>Budgetary Resources:</b>                         |  |  |     |
| Budget authority:                                   |  |  |     |
| Appropriations, discretionary:                      |  |  |     |
| 1100     Appropriation .....                        |  |  | –13 |
| 1160     Appropriation, discretionary (total) ..... |  |  | –13 |
| 1930 Total budgetary resources available .....      |  |  | –13 |

|   |  |  |     |
|---|--|--|-----|
| <b>Change in obligated balance:</b>                     |  |  |     |
| Unpaid obligations:                                     |  |  |     |
| 3010     Obligations incurred, unexpired accounts ..... |  |  | –13 |
| 3020     Outlays (gross) .....                          |  |  | 13  |

|   |  |  |     |
|---|--|--|-----|
| <b>Budget authority and outlays, net:</b>                   |  |  |     |
| Discretionary:  |  |  |     |
| 4000     Budget authority, gross .....                      |  |  | –13 |
| Outlays, gross:   |  |  |     |
| 4010         Outlays from new discretionary authority ..... |  |  | –13 |
| 4180     Budget authority, net (total) .....                |  |  | –13 |
| 4190     Outlays, net (total) .....                         |  |  | –13 |

**RESERVE PERSONNEL, MARINE CORPS**

*For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Marine Corps Reserve on active duty under section 10211 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty, and for members of the Marine Corps platoon leaders class, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$677,499,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 17–1108–0–1–051               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>           |             |         |           |
| 0001 Reserve component training and support ..... | 657         | 673     | 677       |
| 0801 Reimbursable program .....                   | 4           | 4       | 4         |
| 0900 Total new obligations .....                  | 661         | 677     | 681       |

|  |     |     |     |
|--|-----|-----|-----|
| <b>Budgetary Resources:</b>  |     |     |     |
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                       |     |     |     |
| 1100     Appropriation .....   | 670 | 673 | 677 |
| 1120     Appropriations transferred to other accts [97–9999] .....   | –12 |     |     |
| 1121     Appropriations transferred from other accts [97–9999] ..... | 14  |     |     |
| 1130     Appropriations permanently reduced .....                    | –2  |     |     |

|  |     |     |     |
|--|-----|-----|-----|
| 1160 Appropriation, discretionary (total) .....                        | 670 | 673 | 677 |
| Spending authority from offsetting collections, discretionary:         |     |     |     |
| 1700         Collected .....   | 3   | 4   | 4   |
| 1750     Spending auth from offsetting collections, disc (total) ..... | 3   | 4   | 4   |
| 1900 Budget authority (total) .....                                    | 673 | 677 | 681 |
| 1930 Total budgetary resources available .....                         | 673 | 677 | 681 |
| Memorandum (non-add) entries:  |     |     |     |
| 1940     Unobligated balance expiring .....                            | –12 |     |     |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000     Unpaid obligations, brought forward, Oct 1 .....           | 36   | 40   | 49   |
| 3010     Obligations incurred, unexpired accounts .....             | 661  | 677  | 681  |
| 3011     Obligations incurred, expired accounts .....               | 47   |      |      |
| 3020     Outlays (gross) .....                                      | –682 | –668 | –693 |
| 3041     Recoveries of prior year unpaid obligations, expired ..... | –22  |      |      |
| 3050     Unpaid obligations, end of year .....                      | 40   | 49   | 37   |
| Memorandum (non-add) entries:                                       |      |      |      |
| 3100     Obligated balance, start of year .....                     | 36   | 40   | 49   |
| 3200     Obligated balance, end of year .....                       | 40   | 49   | 37   |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:  |     |     |     |
| 4000     Budget authority, gross .....                      | 673 | 677 | 681 |
| Outlays, gross:   |     |     |     |
| 4010         Outlays from new discretionary authority ..... | 646 | 646 | 647 |
| 4011         Outlays from discretionary balances .....      | 36  | 22  | 46  |
| 4020     Outlays, gross (total) .....                       | 682 | 668 | 693 |
| Offsets against gross budget authority and outlays:         |     |     |     |
| Offsetting collections (collected) from:                    |     |     |     |
| 4030         Federal sources .....                          | –3  | –4  | –4  |
| 4180     Budget authority, net (total) .....                | 670 | 673 | 677 |
| 4190     Outlays, net (total) .....                         | 679 | 664 | 689 |

**Object Classification** (in millions of dollars)

| Identification code 17–1108–0–1–051                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>  |             |         |           |
| <b>Personnel compensation:</b>                                      |             |         |           |
| 11.6         Military personnel - basic allowance for housing ..... | 55          | 59      | 59        |
| 11.7         Military personnel .....                               | 363         | 404     | 404       |
| 11.9         Total personnel compensation .....                     | 418         | 463     | 463       |
| 12.2         Accrued retirement benefits .....                      | 91          | 99      | 93        |
| 12.2         Other personnel benefits .....                         | 50          | 53      | 26        |
| 21.0         Travel and transportation of persons .....             | 59          | 50      | 59        |
| 22.0         Transportation of things .....                         |             | 6       |           |
| 25.8         Subsistence and support of persons .....               | 38          |         | 35        |
| 42.0         Insurance claims and indemnities .....                 | 1           | 2       | 1         |
| 99.0         Direct obligations .....                               | 657         | 673     | 677       |
| 99.0         Reimbursable obligations .....                         | 4           | 4       | 4         |
| 99.9         Total new obligations .....                            | 661         | 677     | 681       |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, MARINE CORPS**

**Program and Financing** (in millions of dollars)

| Identification code 17–1003–0–1–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... | 135         | 98      | 89        |
| 0900 Total new obligations (object class 12.2) .....    | 135         | 98      | 89        |

|   |     |    |    |
|---|-----|----|----|
| <b>Budgetary Resources:</b>                         |     |    |    |
| Budget authority:                                   |     |    |    |
| Appropriations, discretionary:                      |     |    |    |
| 1100     Appropriation .....                        | 135 | 98 | 89 |
| 1160     Appropriation, discretionary (total) ..... | 135 | 98 | 89 |
| 1930 Total budgetary resources available .....      | 135 | 98 | 89 |

**Change in obligated balance:**

|   |      |     |     |
|---|------|-----|-----|
| Unpaid obligations:                                     |      |     |     |
| 3010     Obligations incurred, unexpired accounts ..... | 135  | 98  | 89  |
| 3020     Outlays (gross) .....                          | –135 | –98 | –89 |

| Budget authority and outlays, net: |  |     |    |    |
|------------------------------------|--|-----|----|----|
| Discretionary:                     |  |     |    |    |
| 4000                               | Budget authority, gross .....                  | 135 | 98 | 89 |
| Outlays, gross:                    |  |     |    |    |
| 4010                               | Outlays from new discretionary authority ..... | 135 | 98 | 89 |
| 4180                               | Budget authority, net (total) .....            | 135 | 98 | 89 |
| 4190                               | Outlays, net (total) .....                     | 135 | 98 | 89 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 135         | 98      | 89        |
| Outlays .....                               | 135         | 98      | 89        |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | -8        |
| Outlays .....                               |             |         | -8        |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 135         | 98      | 81        |
| Outlays .....                               | 135         | 98      | 81        |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, MARINE CORPS**  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 17-1003-2-1-051       | 2012 actual  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b>   |  |         |           |
| 0001                                      | Health care contribution - Reserve component ..... |         | -8        |
| 0900                                      | Total new obligations (object class 12.2) .....    |         | -8        |
| <b>Budgetary Resources:</b>               |  |         |           |
| Budget authority:                         |  |         |           |
| Appropriations, discretionary:            |  |         |           |
| 1100                                      | Appropriation .....                                |         | -8        |
| 1160                                      | Appropriation, discretionary (total) .....         |         | -8        |
| 1930                                      | Total budgetary resources available .....          |         | -8        |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....     |         | -8        |
| 3020                                      | Outlays (gross) .....                              |         | 8         |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Discretionary:                            |  |         |           |
| 4000                                      | Budget authority, gross .....                      |         | -8        |
| Outlays, gross:                           |  |         |           |
| 4010                                      | Outlays from new discretionary authority .....     |         | -8        |
| 4180                                      | Budget authority, net (total) .....                |         | -8        |
| 4190                                      | Outlays, net (total) .....                         |         | -8        |

## RESERVE PERSONNEL, AIR FORCE

For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air Force Reserve on active duty under sections 10211, 10305, and 8038 of title 10, United States Code, or while serving on active duty under section 12301(d) of title 10, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing reserve training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$1,758,629,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 57-3700-0-1-051                            | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |         |           |
| 0001   | Reserve component training and support .....                    | 1,739   | 1,749     |
| 0801   | Reimbursable program .....                                      | 7       | 8         |
| 0900   | Total new obligations .....                                     | 1,746   | 1,757     |
| <b>Budgetary Resources:</b>                                    |   |         |           |
| Unobligated balance:   |   |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 1       | 1         |
| Budget authority:  |   |         |           |
| Appropriation, discretionary:                                  |   |         |           |
| 1100   | Appropriation .....   | 1,740   | 1,749     |
| 1121   | Appropriations transferred from other accts [97-9999] ....      | 4       |           |
| 1130   | Appropriations permanently reduced .....                        | -1      |           |
| 1160   | Appropriation, discretionary (total) .....                      | 1,743   | 1,749     |
| Spending authority from offsetting collections, discretionary: |   |         |           |
| 1700   | Collected .....   | 8       | 8         |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 8       | 8         |
| 1900   | Budget authority (total) .....                                  | 1,751   | 1,757     |
| 1930   | Total budgetary resources available .....                       | 1,751   | 1,758     |
| Memorandum (non-add) entries:                                  |   |         |           |
| 1940   | Unobligated balance expiring .....                              | -4      |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 1       | 1         |
| <b>Change in obligated balance:</b>                            |   |         |           |
| Unpaid obligations:  |   |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 109     | 122       |
| 3010   | Obligations incurred, unexpired accounts .....                  | 1,746   | 1,757     |
| 3011   | Obligations incurred, expired accounts .....                    | 46      |           |
| 3020   | Outlays (gross) .....   | -1,724  | -1,746    |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | -55     |           |
| 3050   | Unpaid obligations, end of year .....                           | 122     | 133       |
| Uncollected payments:  |   |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -1      | -1        |
| 3090   | Uncollected pymts, Fed sources, end of year .....               | -1      | -1        |
| Memorandum (non-add) entries:                                  |   |         |           |
| 3100   | Obligated balance, start of year .....                          | 108     | 121       |
| 3200   | Obligated balance, end of year .....                            | 121     | 132       |
| <b>Budget authority and outlays, net:</b>                      |   |         |           |
| Discretionary:   |   |         |           |
| 4000   | Budget authority, gross .....                                   | 1,751   | 1,757     |
| Outlays, gross:  |   |         |           |
| 4010   | Outlays from new discretionary authority .....                  | 1,642   | 1,668     |
| 4011   | Outlays from discretionary balances .....                       | 82      | 78        |
| 4020   | Outlays, gross (total) .....                                    | 1,724   | 1,746     |
| Offsets against gross budget authority and outlays:            |   |         |           |
| Offsetting collections (collected) from:                       |   |         |           |
| 4030   | Federal sources .....   | -8      | -8        |
| 4033   | Non-Federal sources .....                                       | -1      |           |
| 4040   | Offsets against gross budget authority and outlays (total) .... | -9      | -8        |
| Additional offsets against gross budget authority only:        |   |         |           |
| 4052   | Offsetting collections credited to expired accounts .....       | 1       |           |
| 4070   | Budget authority, net (discretionary) .....                     | 1,743   | 1,749     |
| 4080   | Outlays, net (discretionary) .....                              | 1,715   | 1,738     |
| 4180   | Budget authority, net (total) .....                             | 1,743   | 1,749     |
| 4190   | Outlays, net (total) .....                                      | 1,715   | 1,738     |

## Object Classification (in millions of dollars)

| Identification code 57-3700-0-1-051 | 2012 actual  | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| Personnel compensation:             |  |         |           |
| 11.6                                | Military personnel - basic allowance for housing ..... | 164     | 160       |
| 11.7                                | Military personnel .....                               | 1,010   | 995       |
| 11.9                                | Total personnel compensation .....                     | 1,174   | 1,155     |
| 12.2                                | Accrued retirement benefits .....                      | 226     | 225       |
| 12.2                                | Other personnel benefits .....                         | 131     | 138       |
| 21.0                                | Travel and transportation of persons .....             | 182     | 198       |
| 22.0                                | Transportation of things .....                         | 7       | 7         |
| 26.0                                | Supplies and materials .....                           | 17      | 23        |
| 41.0                                | Grants, subsidies, and contributions .....             | 2       | 3         |
| 99.0                                | Direct obligations .....                               | 1,739   | 1,749     |

RESERVE PERSONNEL, AIR FORCE—Continued  
Object Classification—Continued

| Identification code 57–3700–0–1–051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 7           | 8       | 9         |
| 99.9 Total new obligations .....    | 1,746       | 1,757   | 1,768     |

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, AIR FORCE

## Program and Financing (in millions of dollars)

| Identification code 57–1008–0–1–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... | 239         | 175     | 156       |
| 0900 Total new obligations (object class 12.2) .....    | 239         | 175     | 156       |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, discretionary:                          |             |         |           |
| 1100 Appropriation .....                                | 239         | 175     | 156       |
| 1160 Appropriation, discretionary (total) .....         | 239         | 175     | 156       |
| 1930 Total budgetary resources available .....          | 239         | 175     | 156       |
| <b>Change in obligated balance:</b>                     |             |         |           |
| Unpaid obligations:                                     |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....     | 239         | 175     | 156       |
| 3020 Outlays (gross) .....                              | –239        | –175    | –156      |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budget authority and outlays, net:</b>           |     |     |     |
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 239 | 175 | 156 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 239 | 175 | 156 |
| 4180 Budget authority, net (total) .....            | 239 | 175 | 156 |
| 4190 Outlays, net (total) .....                     | 239 | 175 | 156 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 239         | 175     | 156       |
| Outlays .....                               | 239         | 175     | 156       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | –15       |
| Outlays .....                               |             |         | –15       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 239         | 175     | 141       |
| Outlays .....                               | 239         | 175     | 141       |

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, RESERVE  
PERSONNEL, AIR FORCE

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 57–1008–2–1–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... |             |         | –15       |
| 0900 Total new obligations (object class 12.2) .....    |             |         | –15       |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, discretionary:                          |             |         |           |
| 1100 Appropriation .....                                |             |         | –15       |
| 1160 Appropriation, discretionary (total) .....         |             |         | –15       |
| 1930 Total budgetary resources available .....          |             |         | –15       |
| <b>Change in obligated balance:</b>                     |             |         |           |
| Unpaid obligations:                                     |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....     |             |         | –15       |

|   |  |  |     |
|---|--|--|-----|
| 3020 Outlays (gross) .....                          |  |  | 15  |
| <b>Budget authority and outlays, net:</b>           |  |  |     |
| Discretionary:                                      |  |  |     |
| 4000 Budget authority, gross .....                  |  |  | –15 |
| Outlays, gross:                                     |  |  |     |
| 4010 Outlays from new discretionary authority ..... |  |  | –15 |
| 4180 Budget authority, net (total) .....            |  |  | –15 |
| 4190 Outlays, net (total) .....                     |  |  | –15 |

## NATIONAL GUARD PERSONNEL, ARMY

*For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Army National Guard while on duty under section 10211, 10302, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$8,041,268,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 21–2060–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Reserve component training and support .....                  | 8,280       | 8,216   | 8,041     |
| 0801 Reimbursable program .....                                    | 27          | 52      | 55        |
| 0900 Total new obligations .....                                   | 8,307       | 8,268   | 8,096     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ....  | 1           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 8,250       | 8,216   | 8,041     |
| 1121 Appropriations transferred from other accts [97–9999] ....    | 180         |         |           |
| 1130 Appropriations permanently reduced .....                      | –35         |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 8,395       | 8,216   | 8,041     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 22          | 52      | 55        |
| 1701 Change in uncollected payments, Federal sources .....         | 32          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 54          | 52      | 55        |
| 1900 Budget authority (total) .....                                | 8,449       | 8,268   | 8,096     |
| 1930 Total budgetary resources available .....                     | 8,450       | 8,268   | 8,096     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –143        |         |           |

|   |        |        |        |
|---|--------|--------|--------|
| <b>Change in obligated balance:</b>                               |        |        |        |
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 642    | 969    | 806    |
| 3010 Obligations incurred, unexpired accounts .....               | 8,307  | 8,268  | 8,096  |
| 3011 Obligations incurred, expired accounts .....                 | 619    |        |        |
| 3020 Outlays (gross) .....  | –7,908 | –8,431 | –8,251 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –691   |        |        |
| 3050 Unpaid obligations, end of year .....                        | 969    | 806    | 651    |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –42    | –48    | –48    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –32    |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 26     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –48    | –48    | –48    |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 600    | 921    | 758    |
| 3200 Obligated balance, end of year .....                         | 921    | 758    | 603    |

|   |       |       |       |
|---|-------|-------|-------|
| <b>Budget authority and outlays, net:</b>           |       |       |       |
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | 8,449 | 8,268 | 8,096 |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 7,550 | 7,693 | 7,534 |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 4011 | Outlays from discretionary balances .....   | 358   | 738   | 717   |
| 4020 | Outlays, gross (total) .....  | 7,908 | 8,431 | 8,251 |
|      | Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |       |       |       |
| 4030 | Federal sources .....   | -33   | -47   | -50   |
| 4033 | Non-Federal sources .....   |       | -5    | -5    |
| 4040 | Offsets against gross budget authority and outlays (total) ....                                 | -33   | -52   | -55   |
|      | Additional offsets against gross budget authority only:   |       |       |       |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....                                       | -32   |       |       |
| 4052 | Offsetting collections credited to expired accounts .....                                       | 11    |       |       |
| 4060 | Additional offsets against budget authority only (total) .....                                  | -21   |       |       |
| 4070 | Budget authority, net (discretionary) .....   | 8,395 | 8,216 | 8,041 |
| 4080 | Outlays, net (discretionary) .....  | 7,875 | 8,379 | 8,196 |
| 4180 | Budget authority, net (total) .....   | 8,395 | 8,216 | 8,041 |
| 4190 | Outlays, net (total) .....  | 7,875 | 8,379 | 8,196 |

**Object Classification** (in millions of dollars)

| Identification code 21-2060-0-1-051                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:                                     |             |         |           |
| 11.6 Military personnel - basic allowance for housing ..... | 938         | 890     | 892       |
| 11.7 Military personnel .....                               | 4,460       | 3,805   | 4,273     |
| 11.9 Total personnel compensation .....                     | 5,398       | 4,695   | 5,165     |
| 12.2 Accrued retirement benefits .....                      | 1,254       | 1,248   | 1,203     |
| 12.2 Other personnel benefits .....                         | 1,142       | 1,486   | 1,174     |
| 21.0 Travel and transportation of persons .....             | 273         | 511     | 279       |
| 22.0 Transportation of things .....                         | 37          | 62      | 31        |
| 26.0 Supplies and materials .....                           | 175         | 214     | 189       |
| 99.0 Direct obligations .....                               | 8,279       | 8,216   | 8,041     |
| 99.0 Reimbursable obligations .....                         | 28          | 52      | 55        |
| 99.9 Total new obligations .....                            | 8,307       | 8,268   | 8,096     |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, ARMY****Program and Financing** (in millions of dollars)

| Identification code 21-1006-0-1-051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... | 1,234       | 909     | 819       |
| 0900 Total new obligations (object class 12.2) .....    | 1,234       | 909     | 819       |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, discretionary:                          |             |         |           |
| 1100 Appropriation .....                                | 1,234       | 909     | 819       |
| 1160 Appropriation, discretionary (total) .....         | 1,234       | 909     | 819       |
| 1930 Total budgetary resources available .....          | 1,234       | 909     | 819       |
| <b>Change in obligated balance:</b>                     |             |         |           |
| Unpaid obligations:                                     |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....     | 1,234       | 909     | 819       |
| 3020 Outlays (gross) .....                              | -1,234      | -909    | -819      |
| <b>Budget authority and outlays, net:</b>               |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                      | 1,234       | 909     | 819       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....     | 1,234       | 909     | 819       |
| 4180 Budget authority, net (total) .....                | 1,234       | 909     | 819       |
| 4190 Outlays, net (total) .....                         | 1,234       | 909     | 819       |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 1,234       | 909     | 819       |
| Outlays .....                               | 1,234       | 909     | 819       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | -77       |
| Outlays .....                               |             |         | -77       |

|                        |       |     |     |
|------------------------|-------|-----|-----|
| Total:                 |       |     |     |
| Budget Authority ..... | 1,234 | 909 | 742 |
| Outlays .....          | 1,234 | 909 | 742 |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, ARMY**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 21-1006-2-1-051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... |             |         | -77       |
| 0900 Total new obligations (object class 12.2) .....    |             |         | -77       |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, discretionary:                          |             |         |           |
| 1100 Appropriation .....                                |             |         | -77       |
| 1160 Appropriation, discretionary (total) .....         |             |         | -77       |
| 1930 Total budgetary resources available .....          |             |         | -77       |
| <b>Change in obligated balance:</b>                     |             |         |           |
| Unpaid obligations:                                     |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....     |             |         | -77       |
| 3020 Outlays (gross) .....                              |             |         | 77        |
| <b>Budget authority and outlays, net:</b>               |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                      |             |         | -77       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....     |             |         | -77       |
| 4180 Budget authority, net (total) .....                |             |         | -77       |
| 4190 Outlays, net (total) .....                         |             |         | -77       |

**NATIONAL GUARD PERSONNEL, AIR FORCE**

*For pay, allowances, clothing, subsistence, gratuities, travel, and related expenses for personnel of the Air National Guard on duty under section 10211, 10305, or 12402 of title 10 or section 708 of title 32, United States Code, or while serving on duty under section 12301(d) of title 10 or section 502(f) of title 32, United States Code, in connection with performing duty specified in section 12310(a) of title 10, United States Code, or while undergoing training, or while performing drills or equivalent duty or other duty, and expenses authorized by section 16131 of title 10, United States Code; and for payments to the Department of Defense Military Retirement Fund, \$3,177,961,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 57-3850-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Reserve component training and support .....                  | 3,161       | 3,118   | 3,178     |
| 0801 Reimbursable program .....                                    | 43          | 49      | 49        |
| 0900 Total new obligations .....                                   | 3,204       | 3,167   | 3,227     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 3,098       | 3,118   | 3,178     |
| 1120 Appropriations transferred to other accts [97-9999] .....     | -6          |         |           |
| 1121 Appropriations transferred from other accts [97-9999] .....   | 76          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 3,168       | 3,118   | 3,178     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 40          | 49      | 49        |
| 1701 Change in uncollected payments, Federal sources .....         | 4           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 44          | 49      | 49        |
| 1900 Budget authority (total) .....                                | 3,212       | 3,167   | 3,227     |

NATIONAL GUARD PERSONNEL, AIR FORCE—Continued  
Program and Financing—Continued

| Identification code 57–3850–0–1–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1930 Total budgetary resources available .....                       | 3,212       | 3,167   | 3,227     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –8          |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 191         | 265     | 106       |
| 3010 Obligations incurred, unexpired accounts .....                  | 3,204       | 3,167   | 3,227     |
| 3011 Obligations incurred, expired accounts .....                    | 68          |         |           |
| 3020 Outlays (gross) .....   | –3,135      | –3,326  | –3,210    |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –63         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 265         | 106     | 123       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –12         | –15     | –15       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –4          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –15         | –15     | –15       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 179         | 250     | 91        |
| 3200 Obligated balance, end of year .....                            | 250         | 91      | 108       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 3,212       | 3,167   | 3,227     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 3,053       | 3,074   | 3,132     |
| 4011 Outlays from discretionary balances .....                       | 82          | 252     | 78        |
| 4020 Outlays, gross (total) .....                                    | 3,135       | 3,326   | 3,210     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –49         | –47     | –47       |
| 4033 Non-Federal sources .....                                       | –15         | –2      | –2        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –64         | –49     | –49       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –4          |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 24          |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | 20          |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 3,168       | 3,118   | 3,178     |
| 4080 Outlays, net (discretionary) .....                              | 3,071       | 3,277   | 3,161     |
| 4180 Budget authority, net (total) .....                             | 3,168       | 3,118   | 3,178     |
| 4190 Outlays, net (total) .....                                      | 3,071       | 3,277   | 3,161     |

## Object Classification (in millions of dollars)

| Identification code 57–3850–0–1–051                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:                                     |             |         |           |
| 11.6 Military personnel - basic allowance for housing ..... | 397         | 396     | 419       |
| 11.7 Military personnel .....                               | 1,832       | 1,815   | 1,874     |
| 11.9 Total personnel compensation .....                     | 2,229       | 2,211   | 2,293     |
| 12.2 Accrued retirement benefits .....                      | 484         | 478     | 482       |
| 12.2 Other personnel benefits .....                         | 278         | 262     | 233       |
| 21.0 Travel and transportation of persons .....             | 165         | 162     | 164       |
| 22.0 Transportation of things .....                         | 3           | 3       | 4         |
| 42.0 Insurance claims and indemnities .....                 | 2           | 3       | 2         |
| 99.0 Direct obligations .....                               | 3,161       | 3,119   | 3,178     |
| 99.0 Reimbursable obligations .....                         | 43          | 48      | 49        |
| 99.9 Total new obligations .....                            | 3,204       | 3,167   | 3,227     |

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, AIR FORCE

## Program and Financing (in millions of dollars)

| Identification code 57–1009–0–1–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... | 378         | 275     | 251       |

|  |     |     |     |
|--|-----|-----|-----|
| 0900 Total new obligations (object class 12.2) ..... | 378 | 275 | 251 |
|--|-----|-----|-----|

## Budgetary Resources:

|   |     |     |     |
|---|-----|-----|-----|
| Budget authority:                               |     |     |     |
| Appropriations, discretionary:                  |     |     |     |
| 1100 Appropriation .....                        | 378 | 275 | 251 |
| 1160 Appropriation, discretionary (total) ..... | 378 | 275 | 251 |
| 1930 Total budgetary resources available .....  | 378 | 275 | 251 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                 |      |      |      |
| 3010 Obligations incurred, unexpired accounts ..... | 378  | 275  | 251  |
| 3020 Outlays (gross) .....                          | –378 | –275 | –251 |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 378 | 275 | 251 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 378 | 275 | 251 |
| 4180 Budget authority, net (total) .....            | 378 | 275 | 251 |
| 4190 Outlays, net (total) .....                     | 378 | 275 | 251 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 378         | 275     | 251       |
| Outlays .....                               | 378         | 275     | 251       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | –23       |
| Outlays .....                               |             |         | –23       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 378         | 275     | 228       |
| Outlays .....                               | 378         | 275     | 228       |

MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, NATIONAL GUARD  
PERSONNEL, AIR FORCE

## (Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 57–1009–2–1–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Health care contribution - Reserve component ..... |             |         | –23       |
| 0900 Total new obligations (object class 12.2) .....    |             |         | –23       |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, discretionary:                          |             |         |           |
| 1100 Appropriation .....                                |             |         | –23       |
| 1160 Appropriation, discretionary (total) .....         |             |         | –23       |
| 1930 Total budgetary resources available .....          |             |         | –23       |
| <b>Change in obligated balance:</b>                     |             |         |           |
| Unpaid obligations:                                     |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....     |             |         | –23       |
| 3020 Outlays (gross) .....                              |             |         | 23        |

## Budget authority and outlays, net:

|   |  |  |     |
|---|--|--|-----|
| Discretionary:                                      |  |  |     |
| 4000 Budget authority, gross .....                  |  |  | –23 |
| Outlays, gross:                                     |  |  |     |
| 4010 Outlays from new discretionary authority ..... |  |  | –23 |
| 4180 Budget authority, net (total) .....            |  |  | –23 |
| 4190 Outlays, net (total) .....                     |  |  | –23 |

## CONCURRENT RECEIPT ACCRUAL PAYMENTS TO THE MILITARY RETIREMENT FUND

## Program and Financing (in millions of dollars)

| Identification code 97-0041-0-1-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0010 Direct program activity .....                   | 5,376       | 6,791   | 6,970     |
| 0900 Total new obligations (object class 12.2) ..... | 5,376       | 6,791   | 6,970     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 5,376       | 6,791   | 6,970     |
| 1260 Appropriations, mandatory (total) .....         | 5,376       | 6,791   | 6,970     |
| 1930 Total budgetary resources available .....       | 5,376       | 6,791   | 6,970     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 5,376       | 6,791   | 6,970     |
| 3020 Outlays (gross) .....                           | -5,376      | -6,791  | -6,970    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 5,376       | 6,791   | 6,970     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 5,376       | 6,791   | 6,970     |
| 4180 Budget authority, net (total) .....             | 5,376       | 6,791   | 6,970     |
| 4190 Outlays, net (total) .....                      | 5,376       | 6,791   | 6,970     |

## OPERATION AND MAINTENANCE

These appropriations finance the cost of operating and maintaining the Armed Forces, including the Reserve components and related support activities of the Department of Defense, except military personnel pay, allowances, and travel costs. Included are amounts for training and operation costs, pay of civilians, contract services for maintenance of equipment and facilities, fuel, supplies, and repair parts for weapons and equipment. Financial requirements are influenced by many factors, including the number of aircraft squadrons, Army brigades and Marine Corps regiments, installations, military strength and deployments, rates of operational activity, and the quantity and complexity of major equipment (aircraft, ships, missiles, tanks, et cetera) in operation.

## Federal Funds

## OPERATION AND MAINTENANCE, ARMY

For expenses, not otherwise provided for, necessary for the operation and maintenance of the Army, as authorized by law; and not to exceed \$12,478,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Army, and payments may be made on his certificate of necessity for confidential military purposes, \$35,073,077,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 21-2020-0-1-051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operating Forces .....                           | 55,322      | 40,519  | 21,102    |
| 0002 Mobilization .....                               | 541         | 563     | 559       |
| 0003 Training and recruiting .....                    | 4,894       | 4,976   | 4,830     |
| 0004 Administration and Service-wide activities ..... | 16,526      | 13,154  | 8,582     |

|                                     |        |        |        |
|-------------------------------------|--------|--------|--------|
| 0799 Total direct obligations ..... | 77,283 | 59,212 | 35,073 |
| 0801 Reimbursable program .....     | 11,948 | 8,037  | 7,817  |
| 0900 Total new obligations .....    | 89,231 | 67,249 | 42,890 |

## Budgetary Resources:

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 345    | 129    | 254    |
| 1011 Unobligated balance transfer from other accts [97-9999] ..... | 1,730  |        |        |
| 1050 Unobligated balance (total) .....                             | 2,075  | 129    | 254    |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:                                     |        |        |        |
| 1100 Appropriation .....   | 75,867 | 59,337 | 35,073 |
| 1121 Appropriations transferred from other accts [97-9999] .....   | 2,651  |        |        |
| 1130 Appropriations permanently reduced .....                      | -3,073 |        |        |
| 1160 Appropriation, discretionary (total) .....                    | 75,445 | 59,337 | 35,073 |
| Spending authority from offsetting collections, discretionary:     |        |        |        |
| 1700 Collected .....   | 5,629  | 8,037  | 7,817  |
| 1701 Change in uncollected payments, Federal sources .....         | 6,330  |        |        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 11,959 | 8,037  | 7,817  |
| 1900 Budget authority (total) .....                                | 87,404 | 67,374 | 42,890 |
| 1930 Total budgetary resources available .....                     | 89,479 | 67,503 | 43,144 |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1940 Unobligated balance expiring .....                            | -119   |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 129    | 254    | 254    |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 56,352  | 52,210  | 45,861  |
| 3010 Obligations incurred, unexpired accounts .....               | 89,231  | 67,249  | 42,890  |
| 3011 Obligations incurred, expired accounts .....                 | 6,761   |         |         |
| 3020 Outlays (gross) .....  | -89,754 | -73,598 | -49,320 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -10,380 |         |         |
| 3050 Unpaid obligations, end of year .....                        | 52,210  | 45,861  | 39,431  |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -8,805  | -9,176  | -9,176  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -6,330  |         |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 5,959   |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -9,176  | -9,176  | -9,176  |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 47,547  | 43,034  | 36,685  |
| 3200 Obligated balance, end of year .....                         | 43,034  | 36,685  | 30,255  |

## Budget authority and outlays, net:

|  |         |        |        |
|--|---------|--------|--------|
| Discretionary:   |         |        |        |
| 4000 Budget authority, gross .....                                   | 87,404  | 67,374 | 42,890 |
| Outlays, gross:  |         |        |        |
| 4010 Outlays from new discretionary authority .....                  | 52,514  | 37,850 | 25,354 |
| 4011 Outlays from discretionary balances .....                       | 37,240  | 35,748 | 23,966 |
| 4020 Outlays, gross (total) .....                                    | 89,754  | 73,598 | 49,320 |
| Offsets against gross budget authority and outlays:                  |         |        |        |
| Offsetting collections (collected) from:                             |         |        |        |
| 4030 Federal sources .....   | -8,225  | -8,037 | -7,817 |
| 4033 Non-Federal sources .....                                       | -2,785  |        |        |
| 4040 Offsets against gross budget authority and outlays (total) .... | -11,010 | -8,037 | -7,817 |
| Additional offsets against gross budget authority only:              |         |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -6,330  |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 5,381   |        |        |
| 4060 Additional offsets against budget authority only (total) .....  | -949    |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 75,445  | 59,337 | 35,073 |
| 4080 Outlays, net (discretionary) .....                              | 78,744  | 65,561 | 41,503 |
| 4180 Budget authority, net (total) .....                             | 75,445  | 59,337 | 35,073 |
| 4190 Outlays, net (total) .....                                      | 78,744  | 65,561 | 41,503 |

## Object Classification (in millions of dollars)

| Identification code 21-2020-0-1-051             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 6,145       | 5,852   | 5,910     |
| 11.3 Other than full-time permanent .....       | 826         | 723     | 832       |
| 11.5 Other personnel compensation .....         | 480         | 613     | 138       |
| 11.9 Total personnel compensation .....         | 7,451       | 7,188   | 6,880     |
| 12.1 Civilian personnel benefits .....          | 2,390       | 2,240   | 2,368     |
| 13.0 Benefits for former personnel .....        | 45          | 16      | 41        |
| 21.0 Travel and transportation of persons ..... | 2,458       | 802     | 961       |
| 22.0 Transportation of things .....             | 5,960       | 499     | 898       |
| 23.1 Rental payments to GSA .....               | 165         | 162     | 168       |

OPERATION AND MAINTENANCE, ARMY—Continued  
Object Classification—Continued

| Identification code 21–2020–0–1–051                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 23.2 Rental payments to others .....                                   | 410         | 300     | 365       |
| 23.3 Communications, utilities, and miscellaneous charges .....        | 1,971       | 1,290   | 1,606     |
| 24.0 Printing and reproduction .....                                   | 141         | 113     | 130       |
| 25.1 Advisory and assistance services .....                            | 5,303       | 381     | 296       |
| 25.2 Other services from non-Federal sources .....                     | 7,044       | 18,048  | 2,070     |
| 25.3 Purchases of goods and services from other Federal accounts ..... | 3,646       | 1,629   | 2,174     |
| 25.3 Payments to foreign national indirect hire personnel .....        | 482         | 504     | 507       |
| 25.3 Purchases from revolving funds .....                              | 7,487       | 7,376   | 2,184     |
| 25.4 Operation and maintenance of facilities .....                     | 5,799       | 6,711   | 2,874     |
| 25.5 Research and development contracts .....                          | 11          | .....   | 1         |
| 25.6 Medical care .....  | 31          | .....   | .....     |
| 25.7 Operation and maintenance of equipment .....                      | 10,117      | 5,112   | 4,752     |
| 25.8 Subsistence and support of persons .....                          | 120         | 45      | 40        |
| 26.0 Supplies and materials .....                                      | 12,012      | 4,263   | 4,726     |
| 31.0 Equipment .....   | 1,915       | 1,608   | 1,240     |
| 32.0 Land and structures .....   | 1,345       | 535     | 405       |
| 41.0 Grants, subsidies, and contributions .....                        | 930         | 387     | 382       |
| 42.0 Insurance claims and indemnities .....                            | 32          | 3       | 5         |
| 43.0 Interest and dividends .....                                      | 19          | .....   | .....     |
| 99.0 Direct obligations .....  | 77,284      | 59,212  | 35,073    |
| 99.0 Reimbursable obligations .....                                    | 11,947      | 8,037   | 7,817     |
| 99.9 Total new obligations .....                                       | 89,231      | 67,249  | 42,890    |

## Employment Summary

| Identification code 21–2020–0–1–051                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 107,502     | 102,100 | 98,386    |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 57,272      | 62,324  | 61,901    |
| 3001 Allocation account civilian full-time equivalent employment ..... | 15          | 13      | 13        |

## OPERATION AND MAINTENANCE, NAVY

*For expenses, not otherwise provided for, necessary for the operation and maintenance of the Navy and the Marine Corps, as authorized by law; and not to exceed \$15,055,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Navy, and payments may be made on his certificate of necessity for confidential military purposes, \$39,945,237,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 17–1804–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Operating forces .....  | 38,992      | 36,544  | 32,610    |
| 0002 Mobilization .....  | 825         | 1,091   | 661       |
| 0003 Training and recruiting .....                                 | 1,837       | 1,820   | 1,798     |
| 0004 Administration and Service-wide activities .....              | 5,325       | 4,819   | 4,876     |
| 0799 Total direct obligations .....                                | 46,979      | 44,274  | 39,945    |
| 0801 Reimbursable program .....                                    | 5,282       | 5,330   | 6,620     |
| 0900 Total new obligations .....                                   | 52,261      | 49,604  | 46,565    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 15          | 15      | 16        |
| 1010 Unobligated balance transfer to other accts [97–9999] .....   | –3          | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [97–9999] ..... | 103         | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 115         | 15      | 16        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 45,795      | 44,275  | 39,945    |
| 1120 Appropriations transferred to other accts [97–9999] .....     | –25         | .....   | .....     |
| 1121 Appropriations transferred from other accts [97–9999] .....   | 1,383       | .....   | .....     |
| 1130 Appropriations permanently reduced .....                      | –156        | .....   | .....     |

|  |        |        |        |
|--|--------|--------|--------|
| 1160 Appropriation, discretionary (total) .....                    | 46,997 | 44,275 | 39,945 |
| Spending authority from offsetting collections, discretionary:     |        |        |        |
| 1700 Collected .....   | 3,992  | 5,330  | 6,620  |
| 1701 Change in uncollected payments, Federal sources .....         | 1,289  | .....  | .....  |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 5,281  | 5,330  | 6,620  |
| 1900 Budget authority (total) .....                                | 52,278 | 49,605 | 46,565 |
| 1930 Total budgetary resources available .....                     | 52,393 | 49,620 | 46,581 |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1940 Unobligated balance expiring .....                            | –117   | .....  | .....  |
| 1941 Unexpired unobligated balance, end of year .....              | 15     | 16     | 16     |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 19,114  | 19,832  | 19,890  |
| 3010 Obligations incurred, unexpired accounts .....               | 52,261  | 49,604  | 46,565  |
| 3011 Obligations incurred, expired accounts .....                 | 2,326   | .....   | .....   |
| 3020 Outlays (gross) .....  | –50,576 | –49,546 | –45,274 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –3,293  | .....   | .....   |
| 3050 Unpaid obligations, end of year .....                        | 19,832  | 19,890  | 21,181  |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1,355  | –1,667  | –1,667  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –1,289  | .....   | .....   |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 977     | .....   | .....   |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –1,667  | –1,667  | –1,667  |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 17,759  | 18,165  | 18,223  |
| 3200 Obligated balance, end of year .....                         | 18,165  | 18,223  | 19,514  |

## Budget authority and outlays, net:

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 52,278 | 49,605 | 46,565 |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 37,678 | 37,650 | 30,703 |
| 4011 Outlays from discretionary balances .....                       | 12,898 | 11,896 | 14,571 |
| 4020 Outlays, gross (total) .....                                    | 50,576 | 49,546 | 45,274 |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   | –4,785 | –5,330 | –6,620 |
| 4033 Non-Federal sources .....                                       | –128   | .....  | .....  |
| 4040 Offsets against gross budget authority and outlays (total) .... | –4,913 | –5,330 | –6,620 |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –1,289 | .....  | .....  |
| 4052 Offsetting collections credited to expired accounts .....       | 921    | .....  | .....  |
| 4060 Additional offsets against budget authority only (total) .....  | –368   | .....  | .....  |
| 4070 Budget authority, net (discretionary) .....                     | 46,997 | 44,275 | 39,945 |
| 4080 Outlays, net (discretionary) .....                              | 45,663 | 44,216 | 38,654 |
| 4180 Budget authority, net (total) .....                             | 46,997 | 44,275 | 39,945 |
| 4190 Outlays, net (total) .....                                      | 45,663 | 44,216 | 38,654 |

## Object Classification (in millions of dollars)

| Identification code 17–1804–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 5,550       | 5,591   | 5,625     |
| 11.3 Other than full-time permanent .....                       | 123         | 131     | 125       |
| 11.5 Other personnel compensation .....                         | 437         | 418     | 431       |
| Total personnel compensation .....                              | 6,110       | 6,140   | 6,181     |
| 12.1 Civilian personnel benefits .....                          | 1,953       | 2,002   | 1,987     |
| 13.0 Benefits for former personnel .....                        | 15          | 16      | 12        |
| 21.0 Travel and transportation of persons .....                 | 970         | 827     | 555       |
| 22.0 Transportation of things .....                             | 432         | 790     | 176       |
| 23.1 Rental payments to GSA .....                               | 15          | 34      | 34        |
| 23.2 Rental payments to others .....                            | 105         | 110     | 78        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 863         | 428     | 693       |
| 24.0 Printing and reproduction .....                            | 104         | 74      | 54        |
| 25.1 Advisory and assistance services .....                     | 590         | 514     | 390       |
| 25.2 Contracts with the private sector .....                    | 2,124       | 1,887   | 1,298     |
| 25.3 Other goods and services from Federal sources .....        | 3,581       | 3,654   | 3,489     |
| 25.3 Payments to foreign national indirect hire personnel ..... | 73          | 83      | 87        |
| 25.3 Purchases from revolving funds .....                       | 9,919       | 9,098   | 8,346     |
| 25.4 Operation and maintenance of facilities .....              | 2,159       | 2,410   | 2,410     |
| 25.7 Operation and maintenance of equipment .....               | 7,289       | 6,466   | 5,647     |
| 25.8 Subsistence and support of persons .....                   | 79          | 111     | 98        |
| 26.0 Supplies and materials .....                               | 6,950       | 6,275   | 5,236     |
| 31.0 Equipment .....  | 3,331       | 3,295   | 3,115     |
| 32.0 Land and structures .....                                  | 255         | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....                 | 47          | 42      | 42        |
| 42.0 Insurance claims and indemnities .....                     | 9           | 13      | 12        |



|      |                                |        |        |        |
|------|--------------------------------|--------|--------|--------|
| 43.0 | Interest and dividends .....   | 6      | 3      | 3      |
| 99.0 | Direct obligations .....       | 46,979 | 44,274 | 39,945 |
| 99.0 | Reimbursable obligations ..... | 5,282  | 5,330  | 6,620  |
| 99.9 | Total new obligations .....    | 52,261 | 49,604 | 46,565 |

**Employment Summary**

| Identification code 17-1804-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 76,052      | 75,689  | 76,973    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 27,789      | 27,900  | 27,076    |

**OPERATION AND MAINTENANCE, MARINE CORPS**

*For expenses, not otherwise provided for, necessary for the operation and maintenance of the Marine Corps, as authorized by law, \$6,254,650,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 17-1106-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Operating forces .....  | 7,883       | 7,659   | 4,994     |
| 0003 Training and recruiting .....                                 | 924         | 928     | 778       |
| 0004 Administration and Service-wide activities .....              | 934         | 1,056   | 483       |
| 0799 Total direct obligations .....                                | 9,741       | 9,643   | 6,255     |
| 0801 Reimbursable program .....                                    | 359         | 66      | 81        |
| 0900 Total new obligations .....                                   | 10,100      | 9,709   | 6,336     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1011 Unobligated balance transfer from other accts [97-9999] ....  | 221         |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 9,478       | 9,643   | 6,255     |
| 1120 Appropriations transferred to other accts [97-9999] .....     | -4          |         |           |
| 1121 Appropriations transferred from other accts [97-9999] ....    | 469         |         |           |
| 1130 Appropriations permanently reduced .....                      | -397        |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 9,546       | 9,643   | 6,255     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 292         | 66      | 81        |
| 1701 Change in uncollected payments, Federal sources .....         | 67          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 359         | 66      | 81        |
| 1900 Budget authority (total) .....                                | 9,905       | 9,709   | 6,336     |
| 1930 Total budgetary resources available .....                     | 10,126      | 9,709   | 6,336     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -26         |         |           |

**Change in obligated balance:**

|   |         |        |        |
|---|---------|--------|--------|
| Unpaid obligations:   |         |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 5,368   | 4,843  | 5,299  |
| 3010 Obligations incurred, unexpired accounts .....               | 10,100  | 9,709  | 6,336  |
| 3011 Obligations incurred, expired accounts .....                 | 137     |        |        |
| 3020 Outlays (gross) .....  | -10,355 | -9,253 | -7,007 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -407    |        |        |
| 3050 Unpaid obligations, end of year .....                        | 4,843   | 5,299  | 4,628  |
| Uncollected payments:   |         |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -142    | -97    | -97    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -67     |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 112     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -97     | -97    | -97    |
| Memorandum (non-add) entries:                                     |         |        |        |
| 3100 Obligated balance, start of year .....                       | 5,226   | 4,746  | 5,202  |
| 3200 Obligated balance, end of year .....                         | 4,746   | 5,202  | 4,531  |

**Budget authority and outlays, net:**

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | 9,905 | 9,709 | 6,336 |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 6,306 | 6,045 | 3,236 |

|  |        |       |       |
|--|--------|-------|-------|
| 4011 Outlays from discretionary balances .....                       | 4,049  | 3,208 | 3,771 |
| 4020 Outlays, gross (total) .....                                    | 10,355 | 9,253 | 7,007 |
| Offsets against gross budget authority and outlays:                  |        |       |       |
| Offsetting collections (collected) from:                             |        |       |       |
| 4030 Federal sources .....   | -348   | -66   | -81   |
| 4033 Non-Federal sources .....                                       | -49    |       |       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -397   | -66   | -81   |
| Additional offsets against gross budget authority only:              |        |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -67    |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 105    |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | 38     |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 9,546  | 9,643 | 6,255 |
| 4080 Outlays, net (discretionary) .....                              | 9,958  | 9,187 | 6,926 |
| 4180 Budget authority, net (total) .....                             | 9,546  | 9,643 | 6,255 |
| 4190 Outlays, net (total) .....                                      | 9,958  | 9,187 | 6,926 |

**Object Classification** (in millions of dollars)

| Identification code 17-1106-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,135       | 1,195   | 1,240     |
| 11.3 Other than full-time permanent .....                       | 23          |         |           |
| 11.5 Other personnel compensation .....                         | 43          | 81      | 38        |
| 11.9 Total personnel compensation .....                         | 1,201       | 1,276   | 1,278     |
| 12.1 Civilian personnel benefits .....                          | 390         | 344     | 419       |
| 13.0 Benefits for former personnel .....                        | 1           |         |           |
| 21.0 Travel and transportation of persons .....                 | 370         | 377     | 179       |
| 22.0 Transportation of things .....                             | 134         | 565     | 83        |
| 23.1 Rental payments to GSA .....                               | 42          | 14      | 25        |
| 23.2 Rental payments to others .....                            | 48          | 61      | 19        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 384         | 397     | 312       |
| 24.0 Printing and reproduction .....                            | 124         | 74      | 111       |
| 25.1 Advisory and assistance services .....                     | 432         | 188     | 127       |
| 25.2 Other services from non-Federal sources .....              | 462         | 291     | 231       |
| 25.3 Other goods and services from Federal sources .....        | 914         | 876     | 795       |
| 25.3 Payments to foreign national indirect hire personnel ..... | 21          | 17      | 19        |
| 25.3 Purchases from revolving funds .....                       | 1,216       | 878     | 570       |
| 25.4 Operation and maintenance of facilities .....              | 784         | 682     | 611       |
| 25.6 Medical care .....   | 10          | 31      |           |
| 25.7 Operation and maintenance of equipment .....               | 1,102       | 1,178   | 481       |
| 25.8 Subsistence and support of persons .....                   | 24          | 16      | 26        |
| 26.0 Supplies and materials .....                               | 1,106       | 1,519   | 684       |
| 31.0 Equipment .....  | 975         | 844     | 285       |
| 32.0 Land and structures .....                                  |             | 15      |           |
| 99.0 Direct obligations .....                                   | 9,740       | 9,643   | 6,255     |
| 99.0 Reimbursable obligations .....                             | 360         | 66      | 81        |
| 99.9 Total new obligations .....                                | 10,100      | 9,709   | 6,336     |

**Employment Summary**

| Identification code 17-1106-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 15,766      | 17,246  | 17,296    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 926         | 636     | 737       |

**OPERATION AND MAINTENANCE, AIR FORCE**

*For expenses, not otherwise provided for, necessary for the operation and maintenance of the Air Force, as authorized by law; and not to exceed \$7,699,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of the Air Force, and payments may be made on his certificate of necessity for confidential military purposes, \$37,270,842,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

# OPERATION AND MAINTENANCE, AIR FORCE—Continued Program and Financing (in millions of dollars)

| Identification code 57–3400–0–1–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Operating forces .....  | 27,018      | 24,343  | 21,968    |
| 0002 Mobilization .....  | 8,685       | 8,424   | 4,594     |
| 0003 Training and recruiting .....                                   | 3,768       | 3,669   | 3,606     |
| 0004 Administration and Service-wide activities .....                | 8,716       | 8,006   | 7,103     |
| 0799 Total direct obligations .....                                  | 48,187      | 44,442  | 37,271    |
| 0801 Reimbursable program .....                                      | 4,188       | 1,659   | 1,896     |
| 0900 Total new obligations .....                                     | 52,375      | 46,101  | 39,167    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ....    | 370         |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 45,865      | 44,442  | 37,271    |
| 1120 Appropriations transferred to other accts [97–9999] .....       | –29         |         |           |
| 1121 Appropriations transferred from other accts [97–9999] ....      | 2,639       |         |           |
| 1130 Appropriations permanently reduced .....                        | –468        |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 48,007      | 44,442  | 37,271    |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 3,373       | 1,659   | 1,896     |
| 1701 Change in uncollected payments, Federal sources .....           | 815         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 4,188       | 1,659   | 1,896     |
| 1900 Budget authority (total) .....                                  | 52,195      | 46,101  | 39,167    |
| 1930 Total budgetary resources available .....                       | 52,565      | 46,101  | 39,167    |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –190        |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 23,531      | 22,457  | 23,896    |
| 3010 Obligations incurred, unexpired accounts .....                  | 52,375      | 46,101  | 39,167    |
| 3011 Obligations incurred, expired accounts .....                    | 1,938       |         |           |
| 3020 Outlays (gross) .....   | –52,820     | –44,662 | –38,744   |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –2,567      |         |           |
| 3050 Unpaid obligations, end of year .....                           | 22,457      | 23,896  | 24,319    |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –2,370      | –1,081  | –1,081    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –815        |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 2,104       |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –1,081      | –1,081  | –1,081    |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 21,161      | 21,376  | 22,815    |
| 3200 Obligated balance, end of year .....                            | 21,376      | 22,815  | 23,238    |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 52,195      | 46,101  | 39,167    |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 36,189      | 33,214  | 22,497    |
| 4011 Outlays from discretionary balances .....                       | 16,631      | 11,448  | 16,247    |
| 4020 Outlays, gross (total) .....                                    | 52,820      | 44,662  | 38,744    |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –5,140      | –1,659  | –1,896    |
| 4033 Non-Federal sources .....                                       | –449        |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | –5,589      | –1,659  | –1,896    |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –815        |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 2,216       |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | 1,401       |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 48,007      | 44,442  | 37,271    |
| 4080 Outlays, net (discretionary) .....                              | 47,231      | 43,003  | 36,848    |
| 4180 Budget authority, net (total) .....                             | 48,007      | 44,442  | 37,271    |
| 4190 Outlays, net (total) .....                                      | 47,231      | 43,003  | 36,848    |

## Object Classification (in millions of dollars)

| Identification code 57–3400–0–1–051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Direct obligations:                 |             |         |           |
| Personnel compensation:             |             |         |           |
| 11.1 Full-time permanent .....      | 5,445       | 5,425   | 5,323     |

|   |        |        |        |
|---|--------|--------|--------|
| 11.3 Other than full-time permanent .....                       | 227    | 241    | 454    |
| 11.5 Other personnel compensation .....                         | 148    | 394    | 153    |
| 11.9 Total personnel compensation .....                         | 5,820  | 6,060  | 5,930  |
| 12.1 Civilian personnel benefits .....                          | 2,078  | 1,757  | 2,211  |
| 13.0 Benefits for former personnel .....                        | 81     | 216    | 40     |
| 21.0 Travel and transportation of persons .....                 | 1,231  | 835    | 734    |
| 22.0 Transportation of things .....                             | 410    | 196    | 137    |
| 23.1 Rental payments to GSA .....                               | 13     | 12     | 15     |
| 23.2 Rental payments to others .....                            | 125    | 127    | 458    |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2,662  | 1,507  | 2,076  |
| 24.0 Printing and reproduction .....                            | 64     | 74     | 71     |
| 25.1 Advisory and assistance services .....                     | 1,147  | 146    | 309    |
| 25.2 Contracts with the private sector .....                    | 2,281  | 4,164  | 2,252  |
| 25.3 Other goods and services from Federal sources .....        | 494    | 47     | 110    |
| 25.3 Payments to foreign national indirect hire personnel ..... | 157    | 260    | 228    |
| 25.3 Purchases from revolving funds .....                       | 5,193  | 6,245  | 2,659  |
| 25.4 Operation and maintenance of facilities .....              | 3,331  | 2,480  | 1,186  |
| 25.5 Research and development contracts .....                   | 5      |        | 20     |
| 25.6 Medical care .....   | 40     |        | 2      |
| 25.7 Operation and maintenance of equipment .....               | 10,312 | 9,380  | 9,360  |
| 25.8 Subsistence and support of persons .....                   | 218    | 19     | 160    |
| 26.0 Supplies and materials .....                               | 9,754  | 9,103  | 6,834  |
| 31.0 Equipment .....  | 1,599  | 942    | 739    |
| 32.0 Land and structures .....                                  | 1,129  | 799    | 1,671  |
| 41.0 Grants, subsidies, and contributions .....                 | 34     | 30     | 29     |
| 42.0 Insurance claims and indemnities .....                     | 9      | 43     | 39     |
| 43.0 Interest and dividends .....                               |        | 1      | 1      |
| 99.0 Direct obligations .....                                   | 48,187 | 44,443 | 37,271 |
| 99.0 Reimbursable obligations .....                             | 4,188  | 1,658  | 1,896  |
| 99.9 Total new obligations .....                                | 52,375 | 46,101 | 39,167 |

## Employment Summary

| Identification code 57–3400–0–1–051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 81,251      | 86,627  | 83,928    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 16,551      | 15,517  | 16,254    |

## OPERATION AND MAINTENANCE, DEFENSE-WIDE (INCLUDING TRANSFER OF FUNDS)

*For expenses, not otherwise provided for, necessary for the operation and maintenance of activities and agencies of the Department of Defense (other than the military departments), as authorized by law, \$32,997,693,000: Provided, That not more than \$25,000,000 may be used for the Combatant Commander Initiative Fund authorized under section 166a of title 10, United States Code: Provided further, That not to exceed \$36,000,000 can be used for emergencies and extraordinary expenses, to be expended on the approval or authority of the Secretary of Defense, and payments may be made on his certificate of necessity for confidential military purposes: Provided further, That \$8,721,000, to remain available until expended, is available only for expenses relating to certain classified activities, and may be transferred as necessary by the Secretary of Defense to operation and maintenance appropriations or research, development, test and evaluation appropriations, to be merged with and to be available for the same time period as the appropriations to which transferred: Provided further, That any ceiling on the investment item unit cost of items that may be purchased with operation and maintenance funds shall not apply to the funds described in the preceding proviso: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 97–0100–0–1–051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operating forces .....                           | 7,992       | 6,974   | 5,734     |
| 0003 Training and recruiting .....                    | 222         | 218     | 242       |
| 0004 Administration and Service-wide activities ..... | 31,745      | 32,318  | 27,021    |
| 0799 Total direct obligations .....                   | 39,959      | 39,510  | 32,997    |

|  |   |        |        |        |
|--|---|--------|--------|--------|
| 0801   | Reimbursable program .....                                    | 2,093  | 2,624  | 2,894  |
| 0900   | Total new obligations .....                                   | 42,052 | 42,134 | 35,891 |
| <b>Budgetary Resources:</b>                                    |   |        |        |        |
| Unobligated balance:   |   |        |        |        |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 2,089  | 1,795  | 742    |
| 1010   | Unobligated balance transfer to other accts [97–9999] .....   | –1,649 |        |        |
| 1011   | Unobligated balance transfer from other accts [97–9999] ..... | 1,657  |        |        |
| 1021   | Recoveries of prior year unpaid obligations .....             | 109    |        |        |
| 1050   | Unobligated balance (total) .....                             | 2,206  | 1,795  | 742    |
| Budget authority:  |   |        |        |        |
| Appropriations, discretionary:                                 |   |        |        |        |
| 1100   | Appropriation .....   | 39,698 | 38,457 | 32,997 |
| 1120   | Appropriations transferred to other accts [97–9999] .....     | –2,333 |        |        |
| 1120   | Appropriations transferred to other accts [11–1041] .....     | –22    |        |        |
| 1121   | Appropriations transferred from other accts [95–0401] .....   | 9      |        |        |
| 1121   | Appropriations transferred from other accts [97–9999] .....   | 2,445  |        |        |
| 1160   | Appropriation, discretionary (total) .....                    | 39,797 | 38,457 | 32,997 |
| Spending authority from offsetting collections, discretionary: |   |        |        |        |
| 1700   | Collected .....   | 868    | 2,624  | 2,894  |
| 1701   | Change in uncollected payments, Federal sources .....         | 1,228  |        |        |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 2,096  | 2,624  | 2,894  |
| 1900   | Budget authority (total) .....                                | 41,893 | 41,081 | 35,891 |
| 1930   | Total budgetary resources available .....                     | 44,099 | 42,876 | 36,633 |
| Memorandum (non-add) entries:                                  |   |        |        |        |
| 1940   | Unobligated balance expiring .....                            | –252   |        |        |
| 1941   | Unexpired unobligated balance, end of year .....              | 1,795  | 742    | 742    |

|                                     |  |         |         |         |
|-------------------------------------|--|---------|---------|---------|
| <b>Change in obligated balance:</b> |  |         |         |         |
| Unpaid obligations:                 |  |         |         |         |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 18,207  | 19,191  | 21,104  |
| 3010                                | Obligations incurred, unexpired accounts .....               | 42,052  | 42,134  | 35,891  |
| 3011                                | Obligations incurred, expired accounts .....                 | 1,555   |         |         |
| 3020                                | Outlays (gross) .....  | –40,288 | –40,221 | –34,573 |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | –109    |         |         |
| 3041                                | Recoveries of prior year unpaid obligations, expired .....   | –2,226  |         |         |
| 3050                                | Unpaid obligations, end of year .....                        | 19,191  | 21,104  | 22,422  |
| Uncollected payments:               |  |         |         |         |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1,328  | –1,774  | –1,774  |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | –1,228  |         |         |
| 3071                                | Change in uncollected pymts, Fed sources, expired .....      | 782     |         |         |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | –1,774  | –1,774  | –1,774  |
| Memorandum (non-add) entries:       |  |         |         |         |
| 3100                                | Obligated balance, start of year .....                       | 16,879  | 17,417  | 19,330  |
| 3200                                | Obligated balance, end of year .....                         | 17,417  | 19,330  | 20,648  |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| <b>Budget authority and outlays, net:</b>               |   |        |        |        |
| Discretionary:  |   |        |        |        |
| 4000  | Budget authority, gross .....                                   | 41,893 | 41,081 | 35,891 |
| Outlays, gross:   |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 25,972 | 27,083 | 19,620 |
| 4011  | Outlays from discretionary balances .....                       | 14,316 | 13,138 | 14,953 |
| 4020  | Outlays, gross (total) .....                                    | 40,288 | 40,221 | 34,573 |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4030  | Federal sources .....   | –1,606 | –2,624 | –2,893 |
| 4033  | Non-Federal sources .....                                       | –90    |        | –1     |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –1,696 | –2,624 | –2,894 |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | –1,228 |        |        |
| 4052  | Offsetting collections credited to expired accounts .....       | 828    |        |        |
| 4060  | Additional offsets against budget authority only (total) .....  | –400   |        |        |
| 4070  | Budget authority, net (discretionary) .....                     | 39,797 | 38,457 | 32,997 |
| 4080  | Outlays, net (discretionary) .....                              | 38,592 | 37,597 | 31,679 |
| 4180  | Budget authority, net (total) .....                             | 39,797 | 38,457 | 32,997 |
| 4190  | Outlays, net (total) .....                                      | 38,592 | 37,597 | 31,679 |

**Object Classification (in millions of dollars)**

| Identification code 97–0100–0–1–051 | 2012 actual                              | 2013 CR | 2014 est. |       |
|-------------------------------------|--|---------|-----------|-------|
| Direct obligations:                 |  |         |           |       |
| Personnel compensation:             |  |         |           |       |
| 11.1                                | Full-time permanent .....                | 6,786   | 5,129     | 8,562 |
| 11.3                                | Other than full-time permanent .....     | 168     | 164       | 147   |
| 11.5                                | Other personnel compensation .....       | 456     | 407       | 261   |
| 11.8                                | Special personal services payments ..... | 17      | .....     | 10    |
| 11.9                                | Total personnel compensation .....       | 7,427   | 5,700     | 8,980 |

|      |  |        |        |        |
|------|--|--------|--------|--------|
| 12.1 | Civilian personnel benefits .....                          | 2,399  | 1,706  | 1,831  |
| 13.0 | Benefits for former personnel .....                        | 11     | 9      | 5      |
| 21.0 | Travel and transportation of persons .....                 | 1,076  | 982    | 922    |
| 22.0 | Transportation of things .....                             | 141    | 691    | 128    |
| 23.1 | Rental payments to GSA .....                               | 199    | 171    | 169    |
| 23.2 | Rental payments to others .....                            | 195    | 331    | 395    |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 1,335  | 976    | 1,159  |
| 24.0 | Printing and reproduction .....                            | 48     | 68     | 42     |
| 25.1 | Advisory and assistance services .....                     | 5,152  | 5,067  | 3,141  |
| 25.2 | Other services from non-Federal sources .....              | 2,578  | 2,652  | 2,055  |
| 25.3 | Other goods and services from Federal sources .....        | 6,132  | 9,422  | 3,851  |
| 25.3 | Payments to foreign national indirect hire personnel ..... | 15     | 17     | 17     |
| 25.3 | Purchases from revolving funds .....                       | 1,195  | 1,215  | 921    |
| 25.4 | Operation and maintenance of facilities .....              | 588    | 907    | 471    |
| 25.5 | Research and development contracts .....                   | 20     | 91     |        |
| 25.6 | Medical care .....   | 36     | 4      | 78     |
| 25.7 | Operation and maintenance of equipment .....               | 6,005  | 4,908  | 4,837  |
| 25.8 | Subsistence and support of persons .....                   | 27     | 19     | 26     |
| 26.0 | Supplies and materials .....                               | 1,523  | 1,795  | 1,313  |
| 31.0 | Equipment .....  | 2,840  | 2,079  | 1,927  |
| 32.0 | Land and structures .....                                  | 323    | 1      | 164    |
| 33.0 | Investments and loans .....                                | 3      |        |        |
| 41.0 | Grants, subsidies, and contributions .....                 | 675    | 677    | 564    |
| 42.0 | Insurance claims and indemnities .....                     | 1      |        |        |
| 91.0 | Unvouchered .....  | 14     | 21     | 1      |
| 99.0 | Direct obligations .....                                   | 39,958 | 39,509 | 32,997 |
| 99.0 | Reimbursable obligations .....                             | 2,094  | 2,625  | 2,894  |
| 99.9 | Total new obligations .....                                | 42,052 | 42,134 | 35,891 |

**Employment Summary**

| Identification code 97-0100-0-1-051                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 55,795      | 59,770  | 59,972    |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 2,091       | 2,274   | 2,309     |
| 3001 Allocation account civilian full-time equivalent employment ..... | 326         | 405     | 403       |

**OFFICE OF THE INSPECTOR GENERAL**

*For expenses and activities of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$312,131,000, of which \$311,131,000 shall be for operation and maintenance, of which not to exceed \$700,000 is available for emergencies and extraordinary expenses to be expended on the approval or authority of the Inspector General, and payments may be made on the Inspector General's certificate of necessity for confidential military purposes; and of which \$1,000,000, to remain available until September 30, 2016, shall be for procurement.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 97–0107–0–1–051                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Operation and maintenance .....                   | 331         | 354     | 311       |
| 0002 Research, development, test, and evaluation ..... |             | 9       | .....     |
| 0003 Procurement .....                                 | 1           | 3       | 1         |
| 0799 Total direct obligations .....                    | 332         | 366     | 312       |
| 0801 Reimbursable program .....                        | 7           | 9       | 9         |
| 0900 Total new obligations .....                       | 339         | 375     | 321       |

**Budgetary Resources:**

|  |   |     |       |       |
|--|---|-----|-------|-------|
| Unobligated balance:   |   |     |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....          | 2   | 8     | 2     |
| Budget authority:  |   |     |       |       |
| Appropriations, discretionary:                                 |   |     |       |       |
| 1100   | Appropriation .....                                       | 358 | 360   | 312   |
| 1120   | Appropriations transferred to other accts [97–9999] ..... | –19 | ..... | ..... |
| 1160   | Appropriation, discretionary (total) .....                | 339 | 360   | 312   |
| Spending authority from offsetting collections, discretionary: |   |     |       |       |
| 1700   | Collected .....   | 5   | 9     | 9     |
| 1701   | Change in uncollected payments, Federal sources .....     | 3   | ..... | ..... |

OFFICE OF THE INSPECTOR GENERAL—Continued  
Program and Financing—Continued

| Identification code 97-0107-0-1-051                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1750 Spending auth from offsetting collections, disc (total) .....  | 8           | 9       | 9         |
| 1900 Budget authority (total) .....                                 | 347         | 369     | 321       |
| 1930 Total budgetary resources available .....                      | 349         | 377     | 323       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1940 Unobligated balance expiring .....                             | -2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 8           | 2       | 2         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 58          | 65      | 52        |
| 3010 Obligations incurred, unexpired accounts .....                 | 339         | 375     | 321       |
| 3011 Obligations incurred, expired accounts .....                   | 1           |         |           |
| 3020 Outlays (gross) .....  | -329        | -388    | -321      |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | -4          |         |           |
| 3050 Unpaid obligations, end of year .....                          | 65          | 52      | 52        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -2          | -3      | -3        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | -3          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 2           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | -3          | -3      | -3        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 56          | 62      | 49        |
| 3200 Obligated balance, end of year .....                           | 62          | 49      | 49        |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 347         | 369     | 321       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 287         | 315     | 274       |
| 4011 Outlays from discretionary balances .....                      | 42          | 73      | 47        |
| 4020 Outlays, gross (total) .....                                   | 329         | 388     | 321       |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | -6          | -9      | -9        |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -3          |         |           |
| 4052 Offsetting collections credited to expired accounts .....      | 1           |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | -2          |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 339         | 360     | 312       |
| 4080 Outlays, net (discretionary) .....                             | 323         | 379     | 312       |
| 4180 Budget authority, net (total) .....                            | 339         | 360     | 312       |
| 4190 Outlays, net (total) .....                                     | 323         | 379     | 312       |

## Object Classification (in millions of dollars)

| Identification code 97-0107-0-1-051                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:  |             |         |           |
| Personnel compensation:  |             |         |           |
| 11.1 Full-time permanent .....   | 153         | 164     | 164       |
| 11.3 Other than full-time permanent .....                              | 4           | 5       | 2         |
| 11.5 Other personnel compensation .....                                | 18          | 8       | 16        |
| 11.9 Total personnel compensation .....                                | 175         | 177     | 182       |
| 12.1 Civilian personnel benefits .....                                 | 56          | 60      | 59        |
| 21.0 Travel and transportation of persons .....                        | 7           | 8       | 6         |
| 22.0 Transportation of things .....                                    |             | 1       |           |
| 23.1 Rental payments to GSA .....                                      | 21          | 34      | 21        |
| 25.1 Advisory and assistance services .....                            | 40          | 9       | 18        |
| 25.2 Other services from non-Federal sources .....                     | 8           | 37      | 6         |
| 25.3 Purchases of goods and services from other Federal agencies ..... | 10          | 19      | 8         |
| 25.3 Purchases from revolving funds .....                              | 3           | 5       | 4         |
| 25.7 Operation and maintenance of equipment .....                      | 2           | 1       | 2         |
| 26.0 Supplies and materials .....                                      | 2           | 2       | 2         |
| 31.0 Equipment .....   | 8           | 13      | 4         |
| 99.0 Direct obligations .....  | 332         | 366     | 312       |
| 99.0 Reimbursable obligations .....                                    | 7           | 9       | 9         |
| 99.9 Total new obligations .....                                       | 339         | 375     | 321       |

## Employment Summary

| Identification code 97-0107-0-1-051                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,532       | 1,631   | 1,613     |

## OPERATION AND MAINTENANCE, ARMY RESERVE

*For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Army Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, \$3,095,036,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 21-2080-0-1-051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Operating forces .....  | 3,095       | 3,087   | 3,002     |
| 0004 Administration and Service-wide activities .....                | 156         | 158     | 93        |
| 0799 Total direct obligations .....                                  | 3,251       | 3,245   | 3,095     |
| 0801 Reimbursable program .....                                      | 27          | 66      | 72        |
| 0900 Total new obligations .....                                     | 3,278       | 3,311   | 3,167     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 3,289       | 3,245   | 3,095     |
| 1120 Appropriations transferred to other accts [97-9999] .....       | -26         |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 3,263       | 3,245   | 3,095     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 17          | 66      | 72        |
| 1701 Change in uncollected payments, Federal sources .....           | 11          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 28          | 66      | 72        |
| 1900 Budget authority (total) .....                                  | 3,291       | 3,311   | 3,167     |
| 1930 Total budgetary resources available .....                       | 3,291       | 3,311   | 3,167     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -13         |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1,372       | 1,692   | 1,846     |
| 3010 Obligations incurred, unexpired accounts .....                  | 3,278       | 3,311   | 3,167     |
| 3011 Obligations incurred, expired accounts .....                    | 202         |         |           |
| 3020 Outlays (gross) .....   | -2,838      | -3,157  | -3,328    |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -322        |         |           |
| 3050 Unpaid obligations, end of year .....                           | 1,692       | 1,846   | 1,685     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -15         | -14     | -14       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -11         |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 12          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -14         | -14     | -14       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1,357       | 1,678   | 1,832     |
| 3200 Obligated balance, end of year .....                            | 1,678       | 1,832   | 1,671     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 3,291       | 3,311   | 3,167     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 1,897       | 2,046   | 1,960     |
| 4011 Outlays from discretionary balances .....                       | 941         | 1,111   | 1,368     |
| 4020 Outlays, gross (total) .....                                    | 2,838       | 3,157   | 3,328     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -9          | -66     | -72       |
| 4033 Non-Federal sources .....                                       | -23         |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | -32         | -66     | -72       |

|   |  |       |             |
|---|--|-------|-------------|
| Additional offsets against gross budget authority only: |  |       |             |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -11   | .....       |
| 4052  | Offsetting collections credited to expired accounts .....      | 15    | .....       |
| <hr/>   |  |       |             |
| 4060  | Additional offsets against budget authority only (total) ..... | 4     | .....       |
| <hr/>   |  |       |             |
| 4070  | Budget authority, net (discretionary) .....                    | 3,263 | 3,245 3,095 |
| 4080  | Outlays, net (discretionary) .....                             | 2,806 | 3,091 3,256 |
| 4180  | Budget authority, net (total) .....                            | 3,263 | 3,245 3,095 |
| 4190  | Outlays, net (total) .....                                     | 2,806 | 3,091 3,256 |

**Object Classification** (in millions of dollars)

| Identification code 21–2080–0–1–051 | 2012 actual   | 2013 CR | 2014 est.   |
|-------------------------------------|---|---------|-------------|
| Direct obligations:                 |   |         |             |
| Personnel compensation:             |   |         |             |
| 11.1                                | Full-time permanent .....   | 544     | 653 672     |
| 11.3                                | Other than full-time permanent .....                              | 5       | 20 7        |
| 11.5                                | Other personnel compensation .....                                | 14      | 18 8        |
| <hr/>                               |   |         |             |
| 11.9                                | Total personnel compensation .....                                | 563     | 691 687     |
| 12.1                                | Civilian personnel benefits .....                                 | 202     | 237 246     |
| 13.0                                | Benefits for former personnel .....                               | 1       | .....       |
| 21.0                                | Travel and transportation of persons .....                        | 230     | 161 176     |
| 22.0                                | Transportation of things .....                                    | 59      | 37 32       |
| 23.1                                | Rental payments to GSA .....                                      | 5       | 7 4         |
| 23.2                                | Rental payments to others .....                                   | 8       | 15 15       |
| 23.3                                | Communications, utilities, and miscellaneous charges .....        | 76      | 87 93       |
| 24.0                                | Printing and reproduction .....                                   | 10      | 18 10       |
| 25.1                                | Advisory and assistance services .....                            | 44      | 15 28       |
| 25.2                                | Other services from non-Federal sources .....                     | 334     | 545 194     |
| 25.3                                | Purchases of goods and services from other Federal agencies ..... | 220     | 277 223     |
| 25.3                                | Purchases from revolving funds .....                              | 260     | 285 110     |
| 25.4                                | Operation and maintenance of facilities .....                     | 187     | 172 200     |
| 25.6                                | Medical care .....  | 166     | ..... 184   |
| 25.7                                | Operation and maintenance of equipment .....                      | 151     | 32 52       |
| 25.8                                | Subsistence and support of persons .....                          | 49      | ..... 43    |
| 26.0                                | Supplies and materials .....                                      | 366     | 481 559     |
| 31.0                                | Equipment .....   | 320     | 185 191     |
| 32.0                                | Land and structures .....   | .....   | ..... 48    |
| <hr/>                               |   |         |             |
| 99.0                                | Direct obligations .....  | 3,251   | 3,245 3,095 |
| 99.0                                | Reimbursable obligations .....                                    | 27      | 66 72       |
| <hr/>                               |   |         |             |
| 99.9                                | Total new obligations .....                                       | 3,278   | 3,311 3,167 |

**Employment Summary**

| Identification code 21–2080–0–1–051 | 2012 actual   | 2013 CR | 2014 est.     |
|-------------------------------------|---|---------|---------------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 9,900   | 11,847 11,860 |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 38      | 21 21         |

**OPERATION AND MAINTENANCE, NAVY RESERVE**

*For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Navy Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, \$1,197,752,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 17–1806–0–1–051     | 2012 actual                                      | 2013 CR | 2014 est.   |
|---|--|---------|-------------|
| <b>Obligations by program activity:</b> |  |         |             |
| 0001                                    | Operating Forces .....                           | 1,351   | 1,347 1,175 |
| 0004                                    | Administration and Service-wide activities ..... | 23      | 22 23       |
| <hr/>                                   |  |         |             |
| 0799                                    | Total direct obligations .....                   | 1,374   | 1,369 1,198 |
| 0801                                    | Reimbursable program .....                       | 10      | 3 4         |
| <hr/>                                   |  |         |             |
| 0900                                    | Total new obligations .....                      | 1,384   | 1,372 1,202 |

**Budgetary Resources:**

|  |   |       |             |
|--|---|-------|-------------|
| Budget authority:  |   |       |             |
| Appropriations, discretionary:                                 |   |       |             |
| 1100   | Appropriation .....   | 1,379 | 1,369 1,198 |
| 1120   | Appropriations transferred to other accts [97–9999] .....     | -8    | .....       |
| 1121   | Appropriations transferred from other accts [97–9999] .....   | 9     | .....       |
| <hr/>  |   |       |             |
| 1160   | Appropriation, discretionary (total) .....                    | 1,380 | 1,369 1,198 |
| Spending authority from offsetting collections, discretionary: |   |       |             |
| 1700   | Collected .....   | 9     | 3 4         |
| 1701   | Change in uncollected payments, Federal sources .....         | 1     | .....       |
| <hr/>  |   |       |             |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 10    | 3 4         |
| 1900   | Budget authority (total) .....                                | 1,390 | 1,372 1,202 |
| 1930   | Total budgetary resources available .....                     | 1,390 | 1,372 1,202 |
| Memorandum (non-add) entries:                                  |   |       |             |
| 1940   | Unobligated balance expiring .....                            | -6    | .....       |

**Change in obligated balance:**

|                               |  |        |               |
|-------------------------------|--|--------|---------------|
| Unpaid obligations:           |  |        |               |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 597    | 571 544       |
| 3010                          | Obligations incurred, unexpired accounts .....               | 1,384  | 1,372 1,202   |
| 3011                          | Obligations incurred, expired accounts .....                 | 74     | .....         |
| 3020                          | Outlays (gross) .....  | -1,355 | -1,399 -1,275 |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -129   | .....         |
| <hr/>                         |  |        |               |
| 3050                          | Unpaid obligations, end of year .....                        | 571    | 544 471       |
| Uncollected payments:         |  |        |               |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -3     | -1 -1         |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | -1     | .....         |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | 3      | .....         |
| <hr/>                         |  |        |               |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -1     | -1 -1         |
| Memorandum (non-add) entries: |  |        |               |
| 3100                          | Obligated balance, start of year .....                       | 594    | 570 543       |
| 3200                          | Obligated balance, end of year .....                         | 570    | 543 470       |

**Budget authority and outlays, net:**

|   |  |       |             |
|---|--|-------|-------------|
| Discretionary:  |  |       |             |
| 4000  | Budget authority, gross .....                                  | 1,390 | 1,372 1,202 |
| Outlays, gross:   |  |       |             |
| 4010  | Outlays from new discretionary authority .....                 | 955   | 961 842     |
| 4011  | Outlays from discretionary balances .....                      | 400   | 438 433     |
| <hr/>   |  |       |             |
| 4020  | Outlays, gross (total) .....                                   | 1,355 | 1,399 1,275 |
| Offsets against gross budget authority and outlays:     |  |       |             |
| Offsetting collections (collected) from:                |  |       |             |
| 4030  | Federal sources .....  | -11   | -3 -4       |
| Additional offsets against gross budget authority only: |  |       |             |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -1    | .....       |
| 4052  | Offsetting collections credited to expired accounts .....      | 2     | .....       |
| <hr/>   |  |       |             |
| 4060  | Additional offsets against budget authority only (total) ..... | 1     | .....       |
| <hr/>   |  |       |             |
| 4070  | Budget authority, net (discretionary) .....                    | 1,380 | 1,369 1,198 |
| 4080  | Outlays, net (discretionary) .....                             | 1,344 | 1,396 1,271 |
| 4180  | Budget authority, net (total) .....                            | 1,380 | 1,369 1,198 |
| 4190  | Outlays, net (total) .....                                     | 1,344 | 1,396 1,271 |

**Object Classification** (in millions of dollars)

| Identification code 17–1806–0–1–051 | 2012 actual  | 2013 CR | 2014 est.   |
|-------------------------------------|--|---------|-------------|
| Direct obligations:                 |  |         |             |
| Personnel compensation:             |  |         |             |
| 11.1                                | Full-time permanent .....                                  | 51      | 55 53       |
| 11.5                                | Other personnel compensation .....                         | 1       | 2 1         |
| <hr/>                               |  |         |             |
| 11.9                                | Total personnel compensation .....                         | 52      | 57 54       |
| 12.1                                | Civilian personnel benefits .....                          | 17      | 18 17       |
| 21.0                                | Travel and transportation of persons .....                 | 40      | 37 17       |
| 22.0                                | Transportation of things .....                             | 11      | 8 2         |
| 23.1                                | Rental payments to GSA .....                               | 1       | 2 2         |
| 23.2                                | Rental payments to others .....                            | 2       | 2 3         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 47      | 25 25       |
| 25.1                                | Advisory and assistance services .....                     | .....   | 1 .....     |
| 25.2                                | Other services from non-Federal sources .....              | 21      | 22 15       |
| 25.3                                | Other goods and services from Federal sources .....        | 117     | 80 73       |
| 25.3                                | Purchases from revolving funds .....                       | 88      | 100 63      |
| 25.4                                | Operation and maintenance of facilities .....              | 71      | 71 69       |
| 25.6                                | Medical care .....   | 4       | 4 4         |
| 25.7                                | Operation and maintenance of equipment .....               | 300     | 294 246     |
| 25.8                                | Subsistence and support of persons .....                   | 17      | 16 16       |
| 26.0                                | Supplies and materials .....                               | 409     | 418 394     |
| 31.0                                | Equipment .....  | 177     | 214 198     |
| <hr/>                               |  |         |             |
| 99.0                                | Direct obligations .....                                   | 1,374   | 1,369 1,198 |
| 99.0                                | Reimbursable obligations .....                             | 10      | 3 4         |

## OPERATION AND MAINTENANCE, NAVY RESERVE—Continued

## Object Classification—Continued

| Identification code 17–1806–0–1–051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 1,384       | 1,372   | 1,202     |

## Employment Summary

| Identification code 17–1806–0–1–051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 854         | 877     | 870       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 16          | 20      | 27        |

## OPERATION AND MAINTENANCE, MARINE CORPS RESERVE

*For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Marine Corps Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, \$263,317,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–1107–0–1–051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operating forces .....                           | 288         | 276     | 241       |
| 0004 Administration and Service-wide activities ..... | 19          | 23      | 22        |
| 0799 Total direct obligations .....                   | 307         | 299     | 263       |
| 0801 Reimbursable program .....                       | 3           |         |           |
| 0900 Total new obligations .....                      | 310         | 299     | 263       |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 308 | 299 | 263 |
| 1160 Appropriation, discretionary (total) .....                    | 308 | 299 | 263 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 3   |     |     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3   |     |     |
| 1900 Budget authority (total) .....                                | 311 | 299 | 263 |
| 1930 Total budgetary resources available .....                     | 311 | 299 | 263 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1940 Unobligated balance expiring .....                            | –1  |     |     |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 193  | 186  | 183  |
| 3010 Obligations incurred, unexpired accounts .....             | 310  | 299  | 263  |
| 3011 Obligations incurred, expired accounts .....               | 7    |      |      |
| 3020 Outlays (gross) .....                                      | –305 | –302 | –277 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –19  |      |      |
| 3050 Unpaid obligations, end of year .....                      | 186  | 183  | 169  |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 193  | 186  | 183  |
| 3200 Obligated balance, end of year .....                       | 186  | 183  | 169  |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 311 | 299 | 263 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 165 | 159 | 139 |
| 4011 Outlays from discretionary balances .....      | 140 | 143 | 138 |
| 4020 Outlays, gross (total) .....                   | 305 | 302 | 277 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | –3  |     |     |
| 4180 Budget authority, net (total) .....            | 308 | 299 | 263 |

|                                 |     |     |     |
|---------------------------------|-----|-----|-----|
| 4190 Outlays, net (total) ..... | 302 | 302 | 277 |
|---------------------------------|-----|-----|-----|

## Object Classification (in millions of dollars)

| Identification code 17–1107–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 16          | 17      | 17        |
| 11.5 Other personnel compensation .....                         |             | 1       |           |
| 11.9 Total personnel compensation .....                         | 16          | 18      | 17        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 6         |
| 21.0 Travel and transportation of persons .....                 | 24          | 19      | 14        |
| 22.0 Transportation of things .....                             | 15          | 7       | 7         |
| 23.1 Rental payments to GSA .....                               | 2           | 3       | 3         |
| 23.2 Rental payments to others .....                            |             | 1       |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 15          | 20      | 19        |
| 24.0 Printing and reproduction .....                            | 5           | 2       | 5         |
| 25.1 Advisory and assistance services .....                     | 3           | 4       | 3         |
| 25.2 Other services from non-Federal sources .....              | 6           | 3       | 2         |
| 25.3 Other goods and services from Federal sources .....        | 32          | 38      | 20        |
| 25.3 Purchases from revolving funds .....                       | 23          | 27      | 33        |
| 25.4 Operation and maintenance of facilities .....              | 77          | 52      | 53        |
| 25.7 Operation and maintenance of equipment .....               | 5           | 16      | 18        |
| 25.8 Subsistence and support of persons .....                   | 4           | 1       | 6         |
| 26.0 Supplies and materials .....                               | 42          | 58      | 34        |
| 31.0 Equipment .....  | 34          | 25      | 23        |
| 99.0 Direct obligations .....                                   | 308         | 299     | 263       |
| 99.0 Reimbursable obligations .....                             | 2           |         |           |
| 99.9 Total new obligations .....                                | 310         | 299     | 263       |

## Employment Summary

| Identification code 17–1107–0–1–051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 260         | 317     | 291       |
| 2001 Reimbursable civilian full-time equivalent employment ..... |             | 5       | 5         |

## OPERATION AND MAINTENANCE, AIR FORCE RESERVE

*For expenses, not otherwise provided for, necessary for the operation and maintenance, including training, organization, and administration, of the Air Force Reserve; repair of facilities and equipment; hire of passenger motor vehicles; travel and transportation; care of the dead; recruiting; procurement of services, supplies, and equipment; and communications, \$3,164,607,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 57–3740–0–1–051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operating forces .....                           | 3,252       | 3,286   | 3,054     |
| 0004 Administration and Service-wide activities ..... | 129         | 129     | 110       |
| 0799 Total direct obligations .....                   | 3,381       | 3,415   | 3,164     |
| 0801 Reimbursable program .....                       | 481         | 84      | 83        |
| 0900 Total new obligations .....                      | 3,862       | 3,499   | 3,247     |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 3,416 | 3,415 | 3,164 |
| 1120 Appropriations transferred to other accts [97–9999] .....     | –30   |       |       |
| 1121 Appropriations transferred from other accts [97–9999] .....   | 3     |       |       |
| 1160 Appropriation, discretionary (total) .....                    | 3,389 | 3,415 | 3,164 |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | 389   | 84    | 83    |
| 1701 Change in uncollected payments, Federal sources .....         | 92    |       |       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 481   | 84    | 83    |
| 1900 Budget authority (total) .....                                | 3,870 | 3,499 | 3,247 |
| 1930 Total budgetary resources available .....                     | 3,870 | 3,499 | 3,247 |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| Memorandum (non-add) entries:                           |   |        |        |        |
| 1940  | Unobligated balance expiring .....                              | -8     |        |        |
| <b>Change in obligated balance:</b>                     |   |        |        |        |
| Unpaid obligations:                                     |   |        |        |        |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....                | 1,245  | 1,043  | 1,056  |
| 3010  | Obligations incurred, unexpired accounts .....                  | 3,862  | 3,499  | 3,247  |
| 3011  | Obligations incurred, expired accounts .....                    | 69     |        |        |
| 3020  | Outlays (gross) .....   | -4,011 | -3,486 | -3,265 |
| 3041  | Recoveries of prior year unpaid obligations, expired .....      | -122   |        |        |
| 3050  | Unpaid obligations, end of year .....                           | 1,043  | 1,056  | 1,038  |
| Uncollected payments:                                   |   |        |        |        |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -41    | -92    | -92    |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....       | -92    |        |        |
| 3071  | Change in uncollected pymts, Fed sources, expired .....         | 41     |        |        |
| 3090  | Uncollected pymts, Fed sources, end of year .....               | -92    | -92    | -92    |
| Memorandum (non-add) entries:                           |   |        |        |        |
| 3100  | Obligated balance, start of year .....                          | 1,204  | 951    | 964    |
| 3200  | Obligated balance, end of year .....                            | 951    | 964    | 946    |
| <b>Budget authority and outlays, net:</b>               |   |        |        |        |
| Discretionary:  |   |        |        |        |
| 4000  | Budget authority, gross .....                                   | 3,870  | 3,499  | 3,247  |
| Outlays, gross:   |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 3,002  | 2,646  | 2,456  |
| 4011  | Outlays from discretionary balances .....                       | 1,009  | 840    | 809    |
| 4020  | Outlays, gross (total) .....                                    | 4,011  | 3,486  | 3,265  |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4030  | Federal sources .....   | -432   | -84    | -83    |
| 4033  | Non-Federal sources .....                                       | -9     |        |        |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -441   | -84    | -83    |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -92    |        |        |
| 4052  | Offsetting collections credited to expired accounts .....       | 52     |        |        |
| 4060  | Additional offsets against budget authority only (total) .....  | -40    |        |        |
| 4070  | Budget authority, net (discretionary) .....                     | 3,389  | 3,415  | 3,164  |
| 4080  | Outlays, net (discretionary) .....                              | 3,570  | 3,402  | 3,182  |
| 4180  | Budget authority, net (total) .....                             | 3,389  | 3,415  | 3,164  |
| 4190  | Outlays, net (total) .....                                      | 3,570  | 3,402  | 3,182  |

**Object Classification** (in millions of dollars)

|                                     |  |       |       |       |
|-------------------------------------|--|-------|-------|-------|
| Identification code 57-3740-0-1-051 |  |       |       |       |
| Direct obligations:                 |  |       |       |       |
| Personnel compensation:             |  |       |       |       |
| 11.1                                | Full-time permanent .....                                  | 799   | 925   | 840   |
| 11.3                                | Other than full-time permanent .....                       | 33    | 34    | 35    |
| 11.5                                | Other personnel compensation .....                         | 24    | 20    | 25    |
| 11.9                                | Total personnel compensation .....                         | 856   | 979   | 900   |
| 12.1                                | Civilian personnel benefits .....                          | 306   | 293   | 346   |
| 13.0                                | Benefits for former personnel .....                        | 4     | 2     |       |
| 21.0                                | Travel and transportation of persons .....                 | 34    | 13    | 13    |
| 22.0                                | Transportation of things .....                             | 5     | 2     | 2     |
| 23.2                                | Rental payments to others .....                            | 6     | 1     | 1     |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 47    | 42    | 42    |
| 24.0                                | Printing and reproduction .....                            | 22    | 1     | 2     |
| 25.1                                | Advisory and assistance services .....                     | 11    | 1     | 1     |
| 25.2                                | Other services from non-Federal sources .....              | 14    | 20    | 18    |
| 25.3                                | Other goods and services from Federal sources .....        | 7     | 3     | 14    |
| 25.3                                | Purchases from revolving funds .....                       | 567   | 609   | 476   |
| 25.4                                | Operation and maintenance of facilities .....              | 252   | 104   | 71    |
| 25.6                                | Medical care .....   | 2     | 1     | 1     |
| 25.7                                | Operation and maintenance of equipment .....               | 296   | 331   | 286   |
| 25.8                                | Subsistence and support of persons .....                   | 30    | 4     | 2     |
| 26.0                                | Supplies and materials .....                               | 792   | 954   | 887   |
| 31.0                                | Equipment .....  | 89    | 33    | 36    |
| 32.0                                | Land and structures .....                                  | 34    | 17    | 59    |
| 42.0                                | Insurance claims and indemnities .....                     | 7     | 6     | 7     |
| 99.0                                | Direct obligations .....                                   | 3,381 | 3,416 | 3,164 |
| 99.0                                | Reimbursable obligations .....                             | 481   | 83    | 83    |
| 99.9                                | Total new obligations .....                                | 3,862 | 3,499 | 3,247 |

**Employment Summary**

|                                     |   |        |        |        |
|-------------------------------------|---|--------|--------|--------|
| Identification code 57-3740-0-1-051 |   |        |        |        |
| 1001                                | Direct civilian full-time equivalent employment ..... | 12,771 | 13,866 | 13,724 |

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 2001 | Reimbursable civilian full-time equivalent employment ..... | 232 | 304 | 304 |
|------|---|-----|-----|-----|

**OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD**

*For expenses of training, organizing, and administering the Army National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; hire of passenger motor vehicles; personnel services in the National Guard Bureau; travel expenses (other than mileage), as authorized by law for Army personnel on active duty, for Army National Guard division, regimental, and battalion commanders while inspecting units in compliance with National Guard Bureau regulations when specifically authorized by the Chief, National Guard Bureau; supplying and equipping the Army National Guard as authorized by law; and expenses of repair, modification, maintenance, and issue of supplies and equipment (including aircraft), \$7,054,196,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

|  |   |        |        |        |
|--|---|--------|--------|--------|
| Identification code 21-2065-0-1-051                            |   |        |        |        |
| Obligations by program activity:                               |   |        |        |        |
| 0001   | Operating forces .....  | 6,620  | 6,827  | 6,613  |
| 0004   | Administration and Service-wide activities .....              | 608    | 526    | 441    |
| 0799   | Total direct obligations .....                                | 7,228  | 7,353  | 7,054  |
| 0801   | Reimbursable program .....                                    | 136    | 193    | 193    |
| 0900   | Total new obligations .....                                   | 7,364  | 7,546  | 7,247  |
| Budgetary Resources:   |   |        |        |        |
| Unobligated balance:   |   |        |        |        |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 1      |        |        |
| 1011   | Unobligated balance transfer from other accts [97-9999] ....  | 2      |        |        |
| 1050   | Unobligated balance (total) .....                             | 3      |        |        |
| Budget authority:  |   |        |        |        |
| Appropriations, discretionary:                                 |   |        |        |        |
| 1100   | Appropriation .....   | 7,302  | 7,353  | 7,054  |
| 1120   | Appropriations transferred to other accts [97-9999] .....     | -4     |        |        |
| 1160   | Appropriation, discretionary (total) .....                    | 7,298  | 7,353  | 7,054  |
| Spending authority from offsetting collections, discretionary: |   |        |        |        |
| 1700   | Collected .....   | 77     | 193    | 193    |
| 1701   | Change in uncollected payments, Federal sources .....         | 66     |        |        |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 143    | 193    | 193    |
| 1900   | Budget authority (total) .....                                | 7,441  | 7,546  | 7,247  |
| 1930   | Total budgetary resources available .....                     | 7,444  | 7,546  | 7,247  |
| Memorandum (non-add) entries:                                  |   |        |        |        |
| 1940   | Unobligated balance expiring .....                            | -80    |        |        |
| <b>Change in obligated balance:</b>                            |   |        |        |        |
| Unpaid obligations:  |   |        |        |        |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 2,556  | 3,034  | 3,265  |
| 3010   | Obligations incurred, unexpired accounts .....                | 7,364  | 7,546  | 7,247  |
| 3011   | Obligations incurred, expired accounts .....                  | 679    |        |        |
| 3020   | Outlays (gross) .....   | -6,759 | -7,315 | -7,335 |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -806   |        |        |
| 3050   | Unpaid obligations, end of year .....                         | 3,034  | 3,265  | 3,177  |
| Uncollected payments:  |   |        |        |        |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -17    | -68    | -68    |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | -66    |        |        |
| 3071   | Change in uncollected pymts, Fed sources, expired .....       | 15     |        |        |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -68    | -68    | -68    |
| Memorandum (non-add) entries:                                  |   |        |        |        |
| 3100   | Obligated balance, start of year .....                        | 2,539  | 2,966  | 3,197  |
| 3200   | Obligated balance, end of year .....                          | 2,966  | 3,197  | 3,109  |
| <b>Budget authority and outlays, net:</b>                      |   |        |        |        |
| Discretionary:   |   |        |        |        |
| 4000   | Budget authority, gross .....                                 | 7,441  | 7,546  | 7,247  |
| Outlays, gross:  |   |        |        |        |
| 4010   | Outlays from new discretionary authority .....                | 4,825  | 5,120  | 4,919  |

OPERATION AND MAINTENANCE, ARMY NATIONAL GUARD—Continued  
Program and Financing—Continued

| Identification code 21–2065–0–1–051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4011 Outlays from discretionary balances .....  | 1,934       | 2,195   | 2,416     |
| 4020 Outlays, gross (total) .....   | 6,759       | 7,315   | 7,335     |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4030 Federal sources .....  | –7          | –193    | –193      |
| 4033 Non-Federal sources .....  | –89         |         |           |
| 4040 Offsets against gross budget authority and outlays (total) ....                            | –96         | –193    | –193      |
| Additional offsets against gross budget authority only:   |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                                  | –66         |         |           |
| 4052 Offsetting collections credited to expired accounts .....                                  | 19          |         |           |
| 4060 Additional offsets against budget authority only (total) .....                             | –47         |         |           |
| 4070 Budget authority, net (discretionary) .....  | 7,298       | 7,353   | 7,054     |
| 4080 Outlays, net (discretionary) .....   | 6,663       | 7,122   | 7,142     |
| 4180 Budget authority, net (total) .....  | 7,298       | 7,353   | 7,054     |
| 4190 Outlays, net (total) .....   | 6,663       | 7,122   | 7,142     |

## Object Classification (in millions of dollars)

| Identification code 21–2065–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,469       | 1,614   | 1,600     |
| 11.3 Other than full-time permanent .....                       | 45          | 48      | 49        |
| 11.5 Other personnel compensation .....                         | 17          | 30      | 18        |
| 11.9 Total personnel compensation .....                         | 1,531       | 1,692   | 1,667     |
| 12.1 Civilian personnel benefits .....                          | 584         | 610     | 655       |
| 13.0 Benefits for former personnel .....                        | 7           |         |           |
| 21.0 Travel and transportation of persons .....                 | 116         | 61      | 87        |
| 22.0 Transportation of things .....                             | 86          | 59      | 127       |
| 23.1 Rental payments to GSA .....                               | 31          | 87      | 43        |
| 23.2 Rental payments to others .....                            | 18          | 23      | 21        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 242         | 408     | 372       |
| 24.0 Printing and reproduction .....                            | 185         | 110     | 112       |
| 25.1 Advisory and assistance services .....                     | 729         | 54      | 68        |
| 25.2 Other services from non-Federal sources .....              | 291         | 300     | 169       |
| 25.3 Other goods and services from Federal sources .....        | 55          | 196     | 113       |
| 25.3 Purchases from revolving funds .....                       | 227         | 411     | 188       |
| 25.4 Operation and maintenance of facilities .....              | 776         | 788     | 658       |
| 25.6 Medical care .....   | 147         | 104     | 170       |
| 25.7 Operation and maintenance of equipment .....               | 74          | 137     | 119       |
| 25.8 Subsistence and support of persons .....                   | 17          |         | 27        |
| 26.0 Supplies and materials .....                               | 1,689       | 2,031   | 1,999     |
| 31.0 Equipment .....  | 423         | 282     | 411       |
| 32.0 Land and structures .....                                  |             |         | 48        |
| 99.0 Direct obligations .....                                   | 7,228       | 7,353   | 7,054     |
| 99.0 Reimbursable obligations .....                             | 136         | 193     | 193       |
| 99.9 Total new obligations .....                                | 7,364       | 7,546   | 7,247     |

## Employment Summary

| Identification code 21–2065–0–1–051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 27,447      | 29,110  | 29,363    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 89          |         |           |

## OPERATION AND MAINTENANCE, AIR NATIONAL GUARD

*For expenses of training, organizing, and administering the Air National Guard, including medical and hospital treatment and related expenses in non-Federal hospitals; maintenance, operation, and repairs to structures and facilities; transportation of things, hire of passenger motor vehicles; supplying and equipping the Air National Guard, as authorized by law; expenses for repair, modification, maintenance, and issue of supplies and equipment, including those furnished from stocks under the control of agencies of the Department of Defense; travel expenses (other than mileage) on the same basis as authorized by law for Air National Guard personnel on active Federal duty, for Air National Guard commanders while inspecting units in compliance with National Guard Bureau regulations when*

*specifically authorized by the Chief, National Guard Bureau, \$6,566,004,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 57–3840–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Operating Forces .....  | 6,086       | 6,088   | 6,501     |
| 0004 Administration and Service-wide activities .....              | 82          | 74      | 65        |
| 0799 Total direct obligations .....                                | 6,168       | 6,162   | 6,566     |
| 0801 Reimbursable program .....                                    | 1,299       | 254     | 253       |
| 0900 Total new obligations .....                                   | 7,467       | 6,416   | 6,819     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1010 Unobligated balance transfer to other accts [97–9999] .....   | –1          |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ..... | 1           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 6,133       | 6,162   | 6,566     |
| 1120 Appropriations transferred to other accts [97–9999] .....     | –1          |         |           |
| 1121 Appropriations transferred from other accts [97–9999] .....   | 74          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 6,206       | 6,162   | 6,566     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1,096       | 254     | 253       |
| 1701 Change in uncollected payments, Federal sources .....         | 203         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1,299       | 254     | 253       |
| 1900 Budget authority (total) .....                                | 7,505       | 6,416   | 6,819     |
| 1930 Total budgetary resources available .....                     | 7,505       | 6,416   | 6,819     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –38         |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 2,290       | 1,988   | 2,193     |
| 3010 Obligations incurred, unexpired accounts .....                | 7,467       | 6,416   | 6,819     |
| 3011 Obligations incurred, expired accounts .....                  | 302         |         |           |
| 3020 Outlays (gross) .....   | –7,673      | –6,211  | –6,685    |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –398        |         |           |
| 3050 Unpaid obligations, end of year .....                         | 1,988       | 2,193   | 2,327     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –119        | –207    | –207      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –203        |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 115         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –207        | –207    | –207      |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 2,171       | 1,781   | 1,986     |
| 3200 Obligated balance, end of year .....                          | 1,781       | 1,986   | 2,120     |

## Budget authority and outlays, net:

|  |        |       |       |
|--|--------|-------|-------|
| Discretionary:   |        |       |       |
| 4000 Budget authority, gross .....                                   | 7,505  | 6,416 | 6,819 |
| Outlays, gross:  |        |       |       |
| 4010 Outlays from new discretionary authority .....                  | 5,931  | 4,753 | 5,047 |
| 4011 Outlays from discretionary balances .....                       | 1,742  | 1,458 | 1,638 |
| 4020 Outlays, gross (total) .....                                    | 7,673  | 6,211 | 6,685 |
| Offsets against gross budget authority and outlays:                  |        |       |       |
| Offsetting collections (collected) from:                             |        |       |       |
| 4030 Federal sources .....   | –1,169 | –254  | –253  |
| 4033 Non-Federal sources .....                                       | –23    |       |       |
| 4040 Offsets against gross budget authority and outlays (total) .... | –1,192 | –254  | –253  |
| Additional offsets against gross budget authority only:              |        |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –203   |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 96     |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | –107   |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 6,206  | 6,162 | 6,566 |
| 4080 Outlays, net (discretionary) .....                              | 6,481  | 5,957 | 6,432 |
| 4180 Budget authority, net (total) .....                             | 6,206  | 6,162 | 6,566 |
| 4190 Outlays, net (total) .....                                      | 6,481  | 5,957 | 6,432 |



## Object Classification (in millions of dollars)

| Identification code 57–3840–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,458       | 1,490   | 1,523     |
| 11.5 Other personnel compensation .....                         | 18          | 17      | 20        |
| 11.9 Total personnel compensation .....                         | 1,476       | 1,507   | 1,543     |
| 12.1 Civilian personnel benefits .....                          | 546         | 533     | 581       |
| 13.0 Benefits for former personnel .....                        | 3           | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 72          | 49      | 39        |
| 22.0 Transportation of things .....                             | 12          | 9       | 10        |
| 23.2 Rental payments to others .....                            | 4           | 5       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 222         | 115     | 148       |
| 24.0 Printing and reproduction .....                            | 23          | 20      | 19        |
| 25.1 Advisory and assistance services .....                     | 15          | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 193         | 37      | 36        |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.3 Purchases from revolving funds .....                       | 515         | 603     | 705       |
| 25.4 Operation and maintenance of facilities .....              | 515         | 522     | 335       |
| 25.6 Medical care .....   | 17          | 15      | 32        |
| 25.7 Operation and maintenance of equipment .....               | 704         | 855     | 963       |
| 25.8 Subsistence and support of persons .....                   | 12          |         |           |
| 26.0 Supplies and materials .....                               | 1,607       | 1,750   | 1,796     |
| 31.0 Equipment .....  | 140         | 94      | 103       |
| 32.0 Land and structures .....                                  | 78          | 29      | 233       |
| 42.0 Insurance claims and indemnities .....                     | 14          | 14      | 14        |
| 99.0 Direct obligations .....                                   | 6,168       | 6,162   | 6,566     |
| 99.0 Reimbursable obligations .....                             | 1,299       | 254     | 253       |
| 99.9 Total new obligations .....                                | 7,467       | 6,416   | 6,819     |

## Employment Summary

| Identification code 57–3840–0–1–051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 22,985      | 24,075  | 23,183    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 563         | 395     | 314       |

## OVERSEAS CONTINGENCY OPERATIONS TRANSFER FUND

*For expenses directly relating to Overseas Contingency Operations, \$5,000,000 to remain available until expended: Provided, That the Secretary of Defense may transfer such funds, and funds appropriated in prior fiscal years under the heading Overseas Contingency Operations Transfer Fund, to appropriations for military personnel; operation and maintenance; Overseas Humanitarian, Disaster, and Civic Aid; Defense Health Program; procurement; research, development, test and evaluation; and defense working capital funds: Provided further, That the funds transferred shall be merged with the appropriation to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation and shall be available for the same time period as originally appropriated: Provided further, That the Secretary shall notify the congressional defense committees 15 days prior to such transfer: Provided further, That the transfer authority provided in this paragraph is in addition to any other transfer authority contained elsewhere in this act.*

## Program and Financing (in millions of dollars)

| Identification code 97–0118–0–1–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                     |             |         |           |
| 0001 Direct program activity .....                                   |             |         | 5         |
| 0900 Total new obligations (object class 26.0) .....                 |             |         | 5         |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 367         | 10      | 10        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   |             |         | 5         |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –357        |         |           |
| 1160 Appropriation, discretionary (total) .....                      | –357        |         | 5         |

|   |    |    |    |
|---|----|----|----|
| 1930 Total budgetary resources available .....        | 10 | 10 | 15 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 10 | 10 | 10 |

## Change in obligated balance:

|   |  |  |    |
|---|--|--|----|
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 5  |
| 3020 Outlays (gross) .....                          |  |  | –3 |
| 3050 Unpaid obligations, end of year .....          |  |  | 2  |
| Memorandum (non-add) entries:                       |  |  |    |
| 3200 Obligated balance, end of year .....           |  |  | 2  |

## Budget authority and outlays, net:

|   |      |  |   |
|---|------|--|---|
| Discretionary:                                      |      |  |   |
| 4000 Budget authority, gross .....                  | –357 |  | 5 |
| Outlays, gross:                                     |      |  |   |
| 4010 Outlays from new discretionary authority ..... |      |  | 3 |
| 4180 Budget authority, net (total) .....            | –357 |  | 5 |
| 4190 Outlays, net (total) .....                     |      |  | 3 |

## UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES

*For salaries and expenses necessary for the United States Court of Appeals for the Armed Forces, \$13,606,000, of which not to exceed \$5,000 may be used for official representation purposes.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 97–0104–0–1–051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0004 Administration and associated activities .....   | 13          | 14      | 14        |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 14          | 14      | 14        |
| 1160 Appropriation, discretionary (total) .....       | 14          | 14      | 14        |
| 1930 Total budgetary resources available .....        | 14          | 15      | 15        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4           | 3       | 3         |
| 3010 Obligations incurred, unexpired accounts .....   | 13          | 14      | 14        |
| 3020 Outlays (gross) .....                            | –14         | –14     | –14       |
| 3050 Unpaid obligations, end of year .....            | 3           | 3       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 4           | 3       | 3         |
| 3200 Obligated balance, end of year .....             | 3           | 3       | 3         |
| Budget authority and outlays, net:                    |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 14          | 14      | 14        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 11          | 11      | 11        |
| 4011 Outlays from discretionary balances .....        | 3           | 3       | 3         |
| 4020 Outlays, gross (total) .....                     | 14          | 14      | 14        |
| 4180 Budget authority, net (total) .....              | 14          | 14      | 14        |
| 4190 Outlays, net (total) .....                       | 14          | 14      | 14        |

## Object Classification (in millions of dollars)

| Identification code 97–0104–0–1–051       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                       |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 3           | 6       | 6         |
| 11.3 Other than full-time permanent ..... | 2           |         |           |

UNITED STATES COURT OF APPEALS FOR THE ARMED FORCES—Continued  
Object Classification—Continued

| Identification code 97–0104–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 11.9 Total personnel compensation .....                         | 5           | 6       | 6         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 3         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | .....     |
| 25.1 Advisory and assistance services .....                     | 1           | .....   | .....     |
| 25.2 Other services from non-Federal sources .....              | 2           | .....   | .....     |
| 25.3 Other goods and services from Federal sources .....        | .....       | 3       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 1           | .....   | .....     |
| 99.9 Total new obligations .....                                | 13          | 14      | 14        |

## Employment Summary

| Identification code 97–0104–0–1–051                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 59          | 59      | 59        |

## DRUG INTERDICTION AND COUNTER-DRUG ACTIVITIES, DEFENSE

## (INCLUDING TRANSFER OF FUNDS)

*For drug interdiction and counter-drug activities of the Department of Defense, for transfer to appropriations available to the Department of Defense for military personnel of the reserve components serving under the provisions of title 10 and title 32, United States Code; for operation and maintenance; for procurement; and for research, development, test and evaluation, \$938,545,000: Provided, That the funds appropriated under this heading shall be available for obligation for the same time period and for the same purpose as the appropriation to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority contained elsewhere in this Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 97–0105–0–1–051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Drug interdiction and counter drug activities .....         | .....       | 1,576   | 1,021     |
| 0002 Demand reduction program .....                              | .....       | 110     | 122       |
| 0900 Total new obligations .....                                 | .....       | 1,686   | 1,143     |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 174         | 205     | 205       |
| 1010 Unobligated balance transfer to other accts [97–9999] ..... | –174        | .....   | .....     |
| 1050 Unobligated balance (total) .....                           | .....       | 205     | 205       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 1,666       | 1,686   | 939       |
| 1120 Appropriations transferred to other accts [97–9999] .....   | –1,461      | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                  | 205         | 1,686   | 939       |
| 1930 Total budgetary resources available .....                   | 205         | 1,891   | 1,144     |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 205         | 205     | 1         |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | .....       | .....   | 301       |
| 3010 Obligations incurred, unexpired accounts .....              | .....       | 1,686   | 1,143     |
| 3020 Outlays (gross) .....                                       | .....       | –1,385  | –1,069    |
| 3050 Unpaid obligations, end of year .....                       | .....       | 301     | 375       |

|   |       |       |     |
|---|-------|-------|-----|
| Memorandum (non-add) entries:               |       |       |     |
| 3100 Obligated balance, start of year ..... | ..... | ..... | 301 |
| 3200 Obligated balance, end of year .....   | ..... | 301   | 375 |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | 205   | 1,686 | 939   |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | ..... | 1,180 | 658   |
| 4011 Outlays from discretionary balances .....      | ..... | 205   | 411   |
| 4020 Outlays, gross (total) .....                   | ..... | 1,385 | 1,069 |
| 4180 Budget authority, net (total) .....            | 205   | 1,686 | 939   |
| 4190 Outlays, net (total) .....                     | ..... | 1,385 | 1,069 |

## Object Classification (in millions of dollars)

| Identification code 97–0105–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 21.0 Travel and transportation of persons .....                 | .....       | 90      | 66        |
| 22.0 Transportation of things .....                             | .....       | 2       | 17        |
| 23.1 Rental payments to GSA .....                               | .....       | 2       | 1         |
| 23.2 Rental payments to others .....                            | .....       | 4       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | .....       | 9       | 15        |
| 24.0 Printing and reproduction .....                            | .....       | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | .....       | 214     | 124       |
| 25.2 Other services from non-Federal sources .....              | .....       | 64      | 28        |
| 25.3 Other goods and services from Federal sources .....        | .....       | 631     | 431       |
| 25.3 Other goods and services from Federal sources .....        | .....       | 13      | 7         |
| 25.4 Operation and maintenance of facilities .....              | .....       | 12      | 22        |
| 25.5 Research and development contracts .....                   | .....       | .....   | 1         |
| 25.7 Operation and maintenance of equipment .....               | .....       | 120     | 120       |
| 26.0 Supplies and materials .....                               | .....       | 482     | 249       |
| 31.0 Equipment .....  | .....       | 41      | 58        |
| 41.0 Grants, subsidies, and contributions .....                 | .....       | 1       | .....     |
| 99.9 Total new obligations .....                                | .....       | 1,686   | 1,143     |

## SUPPORT FOR INTERNATIONAL SPORTING COMPETITIONS

## Program and Financing (in millions of dollars)

| Identification code 97–0838–0–1–051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 2           | .....   | .....     |
| 0900 Total new obligations (object class 26.0) .....  | 2           | .....   | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 12          | 10      | 10        |
| 1930 Total budgetary resources available .....        | 12          | 10      | 10        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 10          | 10      | 10        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | .....       | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 2           | .....   | .....     |
| 3020 Outlays (gross) .....                            | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | .....       | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 1           | .....   | .....     |
| 4190 Outlays, net (total) .....                       | 1           | .....   | .....     |

## FOREIGN CURRENCY FLUCTUATIONS

## Program and Financing (in millions of dollars)

| Identification code 97–0801–0–1–051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 928         | 970     | 970       |
| 1010 Unobligated balance transfer to other accts [97–9999] .....                | –249        |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 291         |         |           |
| 1050 Unobligated balance (total) .....  | 970         | 970     | 970       |
| 1930 Total budgetary resources available .....                                  | 970         | 970     | 970       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 970         | 970     | 970       |

This account transfers funds to operation and maintenance and military personnel appropriations, for Defense activities that purchase foreign currencies, to finance upward adjustments of recorded obligations due to foreign currency fluctuations above the budget rate. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this appropriation and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Department to withdraw unobligated balances from operation and maintenance and military personnel appropriations from prior years. By statute (10 U.S.C. 2779(d)(3)), the total amount of discretionary budget authority in this transfer account may not exceed \$970,000,000.

## DEFENSE HEALTH PROGRAM

*For expenses, not otherwise provided for, for medical and health care programs of the Department of Defense as authorized by law, \$33,351,528,000; of which \$31,950,734,000 shall be for operation and maintenance, of which not to exceed two percent shall remain available until September 30, 2015; of which \$671,181,000, to remain available for obligation until September 30, 2016, shall be for procurement; and of which \$729,613,000, to remain available for obligation until September 30, 2015, shall be for research, development, test and evaluation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 97–0130–0–1–051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Operation and maintenance .....                 | 30,770      | 32,027  | 31,665    |
| 0002 Research, development, test, & evaluation ..... | 1,274       | 1,148   | 684       |
| 0003 Procurement .....                               | 572         | 713     | 665       |
| 0010 TRICARE benefit reform .....                    |             |         | 170       |
| 0011 Pharmacy savings .....                          |             |         | 127       |
| 0799 Total direct obligations .....                  | 32,616      | 33,888  | 33,311    |
| 0801 Reimbursable program .....                      | 2,704       | 3,242   | 3,544     |
| 0900 Total new obligations .....                     | 35,320      | 37,130  | 36,855    |

|   |       |       |       |
|---|-------|-------|-------|
| <b>Budgetary Resources:</b>   |       |       |       |
| Unobligated balance:  |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1,681 | 1,810 | 1,702 |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                 | 1,681 | 1,810 |       |
| 1010 Unobligated balance transfer to other accts [97–9999] .....                | –76   |       |       |
| 1011 Unobligated balance transfer from other accts [97–9999] ....               | 78    |       |       |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 297   |       |       |
| 1021 Recoveries of prior year unpaid obligations .....                          | 136   |       |       |
| 1050 Unobligated balance (total) .....  | 2,116 | 1,810 | 1,702 |

## Budget authority:

|  |        |        |        |
|--|--------|--------|--------|
| Appropriations, discretionary:                                     |        |        |        |
| 1100 Appropriation .....   | 33,714 | 33,678 | 33,352 |
| 1120 Appropriations transferred to other accts [97–9999] .....     | –724   |        |        |
| 1120 Appropriations transferred to other accts [36–0165] .....     | –65    | –15    | –15    |
| 1120 Appropriations transferred to other accts [36–0169] .....     | –119   |        |        |
| 1121 Appropriations transferred from other accts [97–9999] ....    | 6      |        |        |
| 1130 Appropriations permanently reduced .....                      | –14    |        |        |
| 1160 Appropriation, discretionary (total) .....                    | 32,798 | 33,663 | 33,337 |
| Spending authority from offsetting collections, discretionary:     |        |        |        |
| 1700 Collected .....   | 1,049  | 1,968  | 2,142  |
| 1701 Change in uncollected payments, Federal sources .....         | 309    |        |        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1,358  | 1,968  | 2,142  |
| Spending authority from offsetting collections, mandatory:         |        |        |        |
| 1800 Collected .....   | 1,336  | 1,391  | 1,408  |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 1,336  | 1,391  | 1,408  |
| 1900 Budget authority (total) .....                                | 35,492 | 37,022 | 36,887 |
| 1930 Total budgetary resources available .....                     | 37,608 | 38,832 | 38,589 |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1940 Unobligated balance expiring .....                            | –478   |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 1,810  | 1,702  | 1,734  |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 10,915  | 11,459  | 10,537  |
| 3010 Obligations incurred, unexpired accounts .....               | 35,320  | 37,130  | 36,855  |
| 3011 Obligations incurred, expired accounts .....                 | 1,025   |         |         |
| 3020 Outlays (gross) .....  | –33,925 | –38,052 | –36,034 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –136    |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –1,740  |         |         |
| 3050 Unpaid obligations, end of year .....                        | 11,459  | 10,537  | 11,358  |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –396    | –529    | –529    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –309    |         |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 176     |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –529    | –529    | –529    |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 10,519  | 10,930  | 10,008  |
| 3200 Obligated balance, end of year .....                         | 10,930  | 10,008  | 10,829  |

## Budget authority and outlays, net:

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 34,156 | 35,631 | 35,479 |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 25,317 | 26,543 | 26,558 |
| 4011 Outlays from discretionary balances .....                       | 7,272  | 10,118 | 8,068  |
| 4020 Outlays, gross (total) .....                                    | 32,589 | 36,661 | 34,626 |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   | –758   | –997   | –1,838 |
| 4033 Non-Federal sources .....                                       | –447   | –971   | –304   |
| 4040 Offsets against gross budget authority and outlays (total) .... | –1,205 | –1,968 | –2,142 |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –309   |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 156    |        |        |
| 4060 Additional offsets against budget authority only (total) .....  | –153   |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 32,798 | 33,663 | 33,337 |
| 4080 Outlays, net (discretionary) .....                              | 31,384 | 34,693 | 32,484 |
| Mandatory:   |        |        |        |
| 4090 Budget authority, gross .....                                   | 1,336  | 1,391  | 1,408  |
| Outlays, gross:  |        |        |        |
| 4100 Outlays from new mandatory authority .....                      | 1,336  | 1,391  | 1,408  |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4120 Federal sources .....   | –1,336 | –1,391 | –1,408 |
| 4180 Budget authority, net (total) .....                             | 32,798 | 33,663 | 33,337 |
| 4190 Outlays, net (total) .....                                      | 31,384 | 34,693 | 32,484 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Enacted/requested:</b>                          |             |         |           |
| Budget Authority .....                             | 32,798      | 33,663  | 33,337    |
| Outlays .....                                      | 31,384      | 34,693  | 32,484    |
| <b>Legislative proposal, not subject to PAYGO:</b> |             |         |           |
| Budget Authority .....                             |             |         | –297      |
| Outlays .....                                      |             |         | –297      |
| <b>Total:</b>                                      |             |         |           |
| Budget Authority .....                             | 32,798      | 33,663  | 33,040    |

**DEFENSE HEALTH PROGRAM—Continued**  
**Summary of Budget Authority and Outlays—Continued**

|               | 2012 actual | 2013 CR | 2014 est. |
|---------------|-------------|---------|-----------|
| Outlays ..... | 31,384      | 34,693  | 32,187    |

The Defense Health Program (DHP) provides care to current and retired members of the Armed Forces, their family members, and other eligible beneficiaries. Beneficiaries may obtain care from the Military Department medical and dental facilities or through the civilian health care network under the TRICARE program.

Accrual accounting for Medicare-eligible beneficiaries began in 2003 and the health care for these beneficiaries is funded from the Department of Defense Medicare-Eligible Retiree Health Care Fund. The DHP also manages Research and Development funds appropriated by Congress, which support medical research and health information management systems development.

The DHP and Department of Veterans Affairs (VA) share the goal of improving the access, quality, and cost effectiveness of health care provided by VA and DOD. To this end, each Department contributes a minimum of \$15 million per year for joint health care incentives.

The Budget assumes enactment of a comprehensive package of health care enrollment fees, deductible, and co-pay changes phased in over several years—cost share generally tied to a simple percentage of the member's gross retired pay. Once the reform is fully implemented, the benefits are still generous with the average beneficiary cost share well below the original 27% of total health care costs. The current reform proposals include: increase in enrollment fees and deductibles for retirees under age 65; modest enrollment fees for Medicare-eligible retirees over age 65 (with full grandfathering of those Medicare-eligible retirees who are already receiving TRICARE benefits at the time of enactment); increases pharmacy co-pays for retirees and military family members, but not active duty members, to incentivise the use of mail order and generic drugs; exempts survivors of members who die on active duty and medically retired and their family members to protect the most vulnerable.

The requested appropriation for the Defense Health Program is over \$33 billion. Contingent upon the enactment of authorizing legislation of the health care proposals, the appropriation shall be reduced by approximately \$297 million.

Health care is provided in military facilities as follows:

|                            | 2012 | 2013 | 2014 |
|----------------------------|------|------|------|
| Inpatient Facilities ..... | 56   | 56   | 56   |
| Outpatient Clinics .....   | 365  | 361  | 361  |
| Dental Clinics .....       | 281  | 249  | 249  |

The DHP is staffed by:

|                                       | 2012 | 2013 | 2014 |
|---------------------------------------|------|------|------|
| Civilian work years (thousands) ..... | 65   | 68   | 68   |
| Military personnel (thousands) .....  | 85   | 86   | 86   |

The number of eligible beneficiaries of the Defense Health Program is estimated as follows:

| Eligible Beneficiary Categories                                | 2012        | 2013        | 2014        |
|--|-------------|-------------|-------------|
| Active Duty (AD) Personnel .....                               | 1,685,856   | 1,677,992   | 1,658,078   |
| Active Duty Family Members .....                               | 2,376,648   | 2,365,510   | 2,337,177   |
| (Medicare Eligible AD Family Members) .....                    | (10,171)    | (10,156)    | (10,032)    |
| Retirees .....   | 2,120,367   | 2,126,129   | 2,128,379   |
| (Medicare Eligible Retirees) .....                             | (1,037,258) | (1,061,593) | (1,080,388) |
| Retiree Family Members and Survivors .....                     | 3,478,691   | 3,469,821   | 3,466,787   |
| (Medicare Eligible Retiree Family Members and Survivors) ..... | (1,135,384) | (1,157,809) | (1,175,620) |
| Total .....  | 9,661,562   | 9,639,452   | 9,590,421   |
| (Total Medicare Eligible) .....                                | (2,182,813) | (2,229,560) | (2,266,040) |

Source MCFAS FY2012.0

**Object Classification (in millions of dollars)**

| Identification code 97-0130-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 436         | 399     | 467       |
| 11.3 Other than full-time permanent .....                       | 22          | 13      | 14        |
| 11.5 Other personnel compensation .....                         | 38          | 43      | 43        |
| 11.9 Total personnel compensation .....                         | 496         | 455     | 524       |
| 12.1 Civilian personnel benefits .....                          | 131         | 108     | 133       |
| 21.0 Travel and transportation of persons .....                 | 281         | 306     | 233       |
| 22.0 Transportation of things .....                             | 43          | 51      | 45        |
| 23.1 Rental payments to GSA .....                               | 51          | 52      | 61        |
| 23.2 Rental payments to others .....                            | 41          | 35      | 37        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 261         | 233     | 253       |
| 24.0 Printing and reproduction .....                            | 18          | 23      | 23        |
| 25.1 Advisory and assistance services .....                     | 339         | 291     | 267       |
| 25.2 Other services from non-Federal sources .....              | 714         | 773     | 730       |
| 25.3 Other goods and services from Federal sources .....        | 5,434       | 4,865   | 5,461     |
| 25.3 Other goods and services from Federal sources .....        | 172         | 197     | 212       |
| 25.4 Operation and maintenance of facilities .....              | 544         | 738     | 548       |
| 25.5 Research and development contracts .....                   | 1,273       | 1,267   | 730       |
| 25.6 Medical care .....   | 15,265      | 16,963  | 15,941    |
| 25.6 Medical care .....   |             |         | 127       |
| 25.7 Operation and maintenance of equipment .....               | 1,053       | 1,216   | 1,098     |
| 25.8 Subsistence and support of persons .....                   | 5           | 10      | 10        |
| 26.0 Supplies and materials .....                               | 4,644       | 5,123   | 4,949     |
| 31.0 Equipment .....  | 1,233       | 1,146   | 1,356     |
| 32.0 Land and structures .....                                  | 580         |         | 543       |
| 41.0 Grants, subsidies, and contributions .....                 | 37          | 35      | 28        |
| 43.0 Interest and dividends .....                               | 1           | 1       | 2         |
| 99.0 Direct obligations .....                                   | 32,616      | 33,888  | 33,311    |
| 99.0 Reimbursable obligations .....                             | 2,704       | 3,242   | 3,544     |
| 99.9 Total new obligations .....                                | 35,320      | 37,130  | 36,855    |

**Employment Summary**

| Identification code 97-0130-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 5,714       | 5,866   | 5,882     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 61          | 42      | 42        |

**DEFENSE HEALTH PROGRAM**

(Legislative proposal, not subject to PAYGO)

*Contingent upon the enactment of authorizing legislation to revise TRICARE Prime fees, implement new Standard/Extra enrollment fees, adjust TRICARE pharmacy fees, and adjust the deductibles and catastrophic cap, the amount made available under this heading shall be reduced by \$297,000,000, all of which shall be from amounts made available for operation and maintenance.*

**Program and Financing (in millions of dollars)**

| Identification code 97-0130-2-1-051                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0010 TRICARE benefit reform .....                   |             |         | -170      |
| 0011 Pharmacy savings .....                         |             |         | -127      |
| 0900 Total new obligations .....                    |             |         | -297      |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            |             |         | -297      |
| 1160 Appropriation, discretionary (total) .....     |             |         | -297      |
| 1930 Total budgetary resources available .....      |             |         | -297      |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | -297      |
| 3020 Outlays (gross) .....                          |             |         | 297       |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  |             |         | -297      |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | -297      |
| 4180 Budget authority, net (total) .....            |             |         | -297      |

4190 Outlays, net (total) ..... -297

**Object Classification** (in millions of dollars)

| Identification code 97-0130-2-1-051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| <b>Direct obligations:</b>          |             |         |           |
| 25.6 Medical care .....             |             |         | -170      |
| 25.6 Medical care .....             |             |         | -127      |
| 99.9 Total new obligations .....    |             |         | -297      |

**ENVIRONMENTAL RESTORATION, ARMY**

## (INCLUDING TRANSFER OF FUNDS)

For the Department of the Army, \$298,815,000, to remain available until transferred: Provided, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Army, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

**ENVIRONMENTAL RESTORATION, NAVY**

## (INCLUDING TRANSFER OF FUNDS)

For the Department of the Navy, \$316,103,000, to remain available until transferred: Provided, That the Secretary of the Navy shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Navy, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Navy, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

**ENVIRONMENTAL RESTORATION, AIR FORCE**

## (INCLUDING TRANSFER OF FUNDS)

For the Department of the Air Force, \$439,820,000, to remain available until transferred: Provided, That the Secretary of the Air Force shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of the Air Force, or for similar purposes, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Air Force, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

**ENVIRONMENTAL RESTORATION, DEFENSE-WIDE**

## (INCLUDING TRANSFER OF FUNDS)

For the Department of Defense, \$10,757,000, to remain available until transferred: Provided, That the Secretary of Defense shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris of the Department of Defense, or for similar purposes, transfer the funds

made available by this appropriation to other appropriations made available to the Department of Defense, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97-0810-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Department of the Army .....                                  |             | 348     | 299       |
| 0002 Department of the Navy .....                                  |             | 310     | 316       |
| 0003 Department of the Air Force .....                             |             | 529     | 440       |
| 0004 Defense-wide .....  |             | 11      | 10        |
| 0900 Total new obligations .....                                   |             | 1,198   | 1,065     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 4           | 10      | 10        |
| 1010 Unobligated balance transfer to other accts [97-9999] .....   | -4          |         |           |
| 1011 Unobligated balance transfer from other accts [97-9999] ..... | 8           |         |           |
| 1050 Unobligated balance (total) .....                             | 8           | 10      | 10        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1,191       | 1,198   | 1,065     |
| 1120 Appropriations transferred to other accts [97-9999] .....     | -1,190      |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 1           | 1,198   | 1,065     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           |         |           |
| 1900 Budget authority (total) .....                                | 2           | 1,198   | 1,065     |
| 1930 Total budgetary resources available .....                     | 10          | 1,208   | 1,075     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 10          | 10      | 10        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              |             |         | 699       |
| 3010 Obligations incurred, unexpired accounts .....                |             | 1,198   | 1,065     |
| 3020 Outlays (gross) .....   |             | -499    | -905      |
| 3050 Unpaid obligations, end of year .....                         |             | 699     | 859       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        |             |         | 699       |
| 3200 Obligated balance, end of year .....                          |             | 699     | 859       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 2           | 1,198   | 1,065     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             | 489     | 426       |
| 4011 Outlays from discretionary balances .....                     |             | 10      | 479       |
| 4020 Outlays, gross (total) .....                                  |             | 499     | 905       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -1          |         |           |
| 4180 Budget authority, net (total) .....                           | 1           | 1,198   | 1,065     |
| 4190 Outlays, net (total) .....                                    | -1          | 499     | 905       |

**Object Classification** (in millions of dollars)

| Identification code 97-0810-0-1-051                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.2 Other services from non-Federal sources ..... |             | 346     |           |
| 32.0 Land and structures .....                     |             | 852     | 1,065     |

THE DEPARTMENT OF DEFENSE ENVIRONMENTAL RESTORATION  
ACCOUNTS—Continued  
Object Classification—Continued

| Identification code 97–0810–0–1–051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    |             | 1,198   | 1,065     |

ENVIRONMENTAL RESTORATION, FORMERLY USED DEFENSE SITES  
(INCLUDING TRANSFER OF FUNDS)

*For the Department of the Army, \$237,443,000, to remain available until transferred: Provided, That the Secretary of the Army shall, upon determining that such funds are required for environmental restoration, reduction and recycling of hazardous waste, removal of unsafe buildings and debris at sites formerly used by the Department of Defense, transfer the funds made available by this appropriation to other appropriations made available to the Department of the Army, to be merged with and to be available for the same purposes and for the same time period as the appropriations to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That the transfer authority provided under this heading is in addition to any other transfer authority provided elsewhere in this Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 97–0811–0–1–051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0005 Defense-wide .....  |             | 328     | 237       |
| 0900 Total new obligations (object class 32.0) .....             |             | 328     | 237       |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 2           |         |           |
| 1010 Unobligated balance transfer to other accts [97–9999] ..... | –2          |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 326         | 328     | 237       |
| 1120 Appropriations transferred to other accts [97–9999] .....   | –326        |         |           |
| 1160 Appropriation, discretionary (total) .....                  |             | 328     | 237       |
| 1930 Total budgetary resources available .....                   |             | 328     | 237       |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            |             |         | 197       |
| 3010 Obligations incurred, unexpired accounts .....              |             | 328     | 237       |
| 3020 Outlays (gross) .....                                       |             | –131    | –227      |
| 3050 Unpaid obligations, end of year .....                       |             | 197     | 207       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      |             |         | 197       |
| 3200 Obligated balance, end of year .....                        |             | 197     | 207       |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               |             | 328     | 237       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              |             | 131     | 95        |
| 4011 Outlays from discretionary balances .....                   |             |         | 132       |
| 4020 Outlays, gross (total) .....                                |             | 131     | 227       |
| 4180 Budget authority, net (total) .....                         |             | 328     | 237       |
| 4190 Outlays, net (total) .....                                  |             | 131     | 227       |

The Defense Environmental Restoration Program provides for the identification, investigation, and cleanup of contamination resulting from past DOD activities. The Department has 27,553

sites that have a remedy in place or a response completed, leaving 5,495 open sites at active and Base Realignment and Closure (BRAC) military installations and 1,821 open sites at Formerly Used Defense Sites (FUDS). For these remaining open sites, DOD is engaged in either a study to determine the extent of the contamination or the actual clean-up of the contamination.

The Department's environmental restoration program is funded by five separate environmental restoration accounts, one for each military department, one for defense agencies and one for FUDS. The first four accounts, Army, Navy, Air Force and defense-wide environmental restoration accounts cover funding for active installations, and are shown separately from the FUDS program environmental restoration account, which funds environmental cleanup on properties no longer owned and/or used by DOD. These five accounts include restoration activities ranging from inventory to preliminary assessment, then to investigation and cleanup of contamination, and finally to closeout of a site. BRAC sites are funded separately under the relevant BRAC account.

OVERSEAS HUMANITARIAN, DISASTER, AND CIVIC AID

*For expenses relating to the Overseas Humanitarian, Disaster, and Civic Aid programs of the Department of Defense (consisting of the programs provided under sections 401, 402, 404, 407, 2557, and 2561 of title 10, United States Code), \$109,500,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 97–0819–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Humanitarian assistance .....                                 | 117         | 142     | 109       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 85          | 89      | 55        |
| 1010 Unobligated balance transfer to other accts [97–9999] .....   | –19         |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ..... | 19          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 17          |         |           |
| 1050 Unobligated balance (total) .....                             | 102         | 89      | 55        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 108         | 108     | 110       |
| 1160 Appropriation, discretionary (total) .....                    | 108         | 108     | 110       |
| 1930 Total budgetary resources available .....                     | 210         | 197     | 165       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –4          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 89          | 55      | 56        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 245         | 201     | 189       |
| 3010 Obligations incurred, unexpired accounts .....                | 117         | 142     | 109       |
| 3011 Obligations incurred, expired accounts .....                  | 6           |         |           |
| 3020 Outlays (gross) .....   | –130        | –154    | –129      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –17         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –20         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 201         | 189     | 169       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 245         | 201     | 189       |
| 3200 Obligated balance, end of year .....                          | 201         | 189     | 169       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 108         | 108     | 110       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 4           | 9       | 8         |
| 4011 Outlays from discretionary balances .....                     | 126         | 145     | 121       |

|      |                                     |     |     |     |
|------|-------------------------------------|-----|-----|-----|
| 4020 | Outlays, gross (total) .....        | 130 | 154 | 129 |
| 4180 | Budget authority, net (total) ..... | 108 | 108 | 110 |
| 4190 | Outlays, net (total) .....          | 130 | 154 | 129 |

**Object Classification** (in millions of dollars)

| Identification code 97-0819-0-1-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 21.0 Travel and transportation of persons .....          | 6           | 3       | 3         |
| 22.0 Transportation of things .....                      | 1           | 21      | .....     |
| 25.1 Advisory and assistance services .....              | 1           | .....   | 2         |
| 25.2 Other services from non-Federal sources .....       | 11          | 108     | 46        |
| 25.3 Other goods and services from Federal sources ..... | 49          | 2       | 22        |
| 25.3 Other goods and services from Federal sources ..... | 4           | .....   | 17        |
| 26.0 Supplies and materials .....                        | 3           | 1       | 3         |
| 31.0 Equipment .....                                     | 2           | 3       | 2         |
| 32.0 Land and structures .....                           | 41          | 4       | 14        |
| 99.9 Total new obligations .....                         | 117         | 142     | 109       |

**COOPERATIVE THREAT REDUCTION ACCOUNT**

*For assistance to the republics of the former Soviet Union and, with appropriate authorization by the Department of Defense and Department of State, to countries outside of the former Soviet Union, including assistance provided by contract or by grants, for facilitating the elimination and the safe and secure transportation and storage of nuclear, chemical and other weapons; for establishing programs to prevent the proliferation of weapons, weapons components, and weapon-related technology and expertise; for programs relating to the training and support of defense and military personnel for demilitarization and protection of weapons, weapons components and weapons technology and expertise, and threat reduction engagements, \$528,455,000, to remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97-0134-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 FSU threat reduction .....                                    | 486         | 521     | 521       |
| 0801 Reimbursable program .....                                    | .....       | 1       | 1         |
| 0900 Total new obligations .....                                   | 486         | 522     | 522       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 233         | 254     | 244       |
| 1010 Unobligated balance transfer to other accts [97-9999] .....   | -2          | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [97-9999] ..... | 2           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 233         | 254     | 244       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 508         | 511     | 528       |
| 1160 Appropriation, discretionary (total) .....                    | 508         | 511     | 528       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 12          | 1       | 1         |
| 1701 Change in uncollected payments, Federal sources .....         | -12         | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | .....       | 1       | 1         |
| 1900 Budget authority (total) .....                                | 508         | 512     | 529       |
| 1930 Total budgetary resources available .....                     | 741         | 766     | 773       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 254         | 244     | 251       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 516         | 538     | 646       |
| 3010 Obligations incurred, unexpired accounts .....                | 486         | 522     | 522       |
| 3020 Outlays (gross) .....   | -462        | -414    | -441      |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 538         | 646     | 727       |

|   |     |       |       |
|---|-----|-------|-------|
| Uncollected payments:   |     |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -16 | -4    | -4    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 12  | ..... | ..... |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -4  | -4    | -4    |
| Memorandum (non-add) entries:                                     |     |       |       |
| 3100 Obligated balance, start of year .....                       | 500 | 534   | 642   |
| 3200 Obligated balance, end of year .....                         | 534 | 642   | 723   |

**Budget authority and outlays, net:**

|  |     |       |       |
|--|-----|-------|-------|
| Discretionary:   |     |       |       |
| 4000 Budget authority, gross .....                             | 508 | 512   | 529   |
| Outlays, gross:  |     |       |       |
| 4010 Outlays from new discretionary authority .....            | 21  | 27    | 27    |
| 4011 Outlays from discretionary balances .....                 | 441 | 387   | 414   |
| 4020 Outlays, gross (total) .....                              | 462 | 414   | 441   |
| Offsets against gross budget authority and outlays:            |     |       |       |
| Offsetting collections (collected) from:                       |     |       |       |
| 4030 Federal sources .....                                     | -12 | -1    | -1    |
| Additional offsets against gross budget authority only:        |     |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 12  | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....               | 508 | 511   | 528   |
| 4080 Outlays, net (discretionary) .....                        | 450 | 413   | 440   |
| 4180 Budget authority, net (total) .....                       | 508 | 511   | 528   |
| 4190 Outlays, net (total) .....                                | 450 | 413   | 440   |

**Object Classification** (in millions of dollars)

| Identification code 97-0134-0-1-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 21.0 Travel and transportation of persons .....          | 4           | 3       | 5         |
| 25.1 Advisory and assistance services .....              | 32          | 35      | 32        |
| 25.2 Other services from non-Federal sources .....       | 385         | 420     | 321       |
| 25.3 Other goods and services from Federal sources ..... | 60          | 39      | 147       |
| 25.5 Research and development contracts .....            | .....       | .....   | 12        |
| 25.7 Operation and maintenance of equipment .....        | 5           | .....   | 4         |
| 26.0 Supplies and materials .....                        | .....       | 24      | .....     |
| 99.0 Direct obligations .....                            | 486         | 521     | 521       |
| 99.0 Reimbursable obligations .....                      | .....       | 1       | 1         |
| 99.9 Total new obligations .....                         | 486         | 522     | 522       |

**MILITARY INTELLIGENCE PROGRAM TRANSFER FUND****Program and Financing** (in millions of dollars)

| Identification code 97-0462-0-1-051                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 MIP transfer fund .....                                   | .....       | 313     | .....     |
| 0900 Total new obligations (object class 26.0) .....           | .....       | 313     | .....     |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       | 311         | 313     | .....     |
| 1120 Appropriations transferred to other accts [97-9999] ..... | -311        | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                | .....       | 313     | .....     |
| 1930 Total budgetary resources available .....                 | .....       | 313     | .....     |
| <b>Change in obligated balance:</b>                            |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....          | .....       | .....   | 94        |
| 3010 Obligations incurred, unexpired accounts .....            | .....       | 313     | .....     |
| 3020 Outlays (gross) .....                                     | .....       | -219    | -78       |
| 3050 Unpaid obligations, end of year .....                     | .....       | 94      | 16        |
| Memorandum (non-add) entries:                                  |             |         |           |
| 3100 Obligated balance, start of year .....                    | .....       | .....   | 94        |
| 3200 Obligated balance, end of year .....                      | .....       | 94      | 16        |

**Budget authority and outlays, net:**

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | ..... | 313   | ..... |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | ..... | 219   | ..... |
| 4011 Outlays from discretionary balances .....      | ..... | ..... | 78    |
| 4020 Outlays, gross (total) .....                   | ..... | 219   | 78    |

### MILITARY INTELLIGENCE PROGRAM TRANSFER FUND—Continued

#### Program and Financing—Continued

| Identification code 97-0462-0-1-051      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4180 Budget authority, net (total) ..... |             | 313     | .....     |
| 4190 Outlays, net (total) .....          |             | 219     | 78        |

### AFGHANISTAN SECURITY FORCES FUND

#### Program and Financing (in millions of dollars)

| Identification code 21-2091-0-1-051  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Ministry of Defense .....   | 6,395       | 5,798   | 1,467     |
| 0002 Ministry of Interior .....  | 2,447       | 3,689   | 794       |
| 0004 Detainee operations .....   | 219         | 94      | 10        |
| 0005 Contributions .....   | 182         | 151     | .....     |
| 0799 Total direct obligations .....  | 9,243       | 9,732   | 2,271     |
| 0820 Reimbursable program .....  | .....       | 287     | .....     |
| 0900 Total new obligations .....   | 9,243       | 10,019  | 2,271     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                  | 6,104       | 6,559   | 2,289     |
| 1010 Unobligated balance transfer to other accts [97-9999] .....                       | -1,000      | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                                 | 229         | .....   | .....     |
| 1050 Unobligated balance (total) .....   | 5,333       | 6,559   | 2,289     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 11,200      | 5,749   | .....     |
| 1120 Appropriations transferred to other accts [97-9999] .....                         | -1,000      | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....  | 10,200      | 5,749   | .....     |
| 1700 Spending authority from offsetting collections, discretionary:<br>Collected ..... | 287         | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....                     | 287         | .....   | .....     |
| 1900 Budget authority (total) .....  | 10,487      | 5,749   | .....     |
| 1930 Total budgetary resources available .....   | 15,820      | 12,308  | 2,289     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....  | -18         | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                                  | 6,559       | 2,289   | 18        |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                  | 3,733       | 5,670   | 7,421     |
| 3010 Obligations incurred, unexpired accounts .....                                    | 9,243       | 10,019  | 2,271     |
| 3011 Obligations incurred, expired accounts .....                                      | 15          | .....   | .....     |
| 3020 Outlays (gross) .....   | -6,930      | -8,268  | -4,659    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                      | -229        | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....                        | -162        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....   | 5,670       | 7,421   | 5,033     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 3,733       | 5,670   | 7,421     |
| 3200 Obligated balance, end of year .....  | 5,670       | 7,421   | 5,033     |

|   |        |       |       |
|---|--------|-------|-------|
| <b>Budget authority and outlays, net:</b>           |        |       |       |
| Discretionary:                                      |        |       |       |
| 4000 Budget authority, gross .....                  | 10,487 | 5,749 | ..... |
| Outlays, gross:                                     |        |       |       |
| 4010 Outlays from new discretionary authority ..... | 1,875  | 1,150 | ..... |
| 4011 Outlays from discretionary balances .....      | 5,055  | 7,118 | 4,659 |
| 4020 Outlays, gross (total) .....                   | 6,930  | 8,268 | 4,659 |
| Offsets against gross budget authority and outlays: |        |       |       |
| Offsetting collections (collected) from:            |        |       |       |
| 4033 Non-Federal sources .....                      | -287   | ..... | ..... |
| 4180 Budget authority, net (total) .....            | 10,200 | 5,749 | ..... |
| 4190 Outlays, net (total) .....                     | 6,643  | 8,268 | 4,659 |

#### Object Classification (in millions of dollars)

| Identification code 21-2091-0-1-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.2 Other services from non-Federal sources .....       | 635         | .....   | .....     |
| 25.3 Other goods and services from Federal sources ..... | 635         | 1,221   | .....     |
| 26.0 Supplies and materials .....                        | 3,879       | 4,800   | 2,271     |

|                                     |       |        |       |
|-------------------------------------|-------|--------|-------|
| 31.0 Equipment .....                | 786   | 1,774  | ..... |
| 32.0 Land and structures .....      | 3,308 | 1,937  | ..... |
| 99.0 Direct obligations .....       | 9,243 | 9,732  | 2,271 |
| 99.0 Reimbursable obligations ..... | ..... | 287    | ..... |
| 99.9 Total new obligations .....    | 9,243 | 10,019 | 2,271 |

### AFGHANISTAN INFRASTRUCTURE FUND

#### Program and Financing (in millions of dollars)

| Identification code 21-2096-0-1-051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 248         | 436     | 200       |
| 0900 Total new obligations (object class 32.0) .....              | 248         | 436     | 200       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 184         | 236     | 200       |
| 1010 Unobligated balance transfer to other accts [72-1037] .....  | -101        | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 5           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 88          | 236     | 200       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 400         | 400     | .....     |
| 1160 Appropriation, discretionary (total) .....                   | 400         | 400     | .....     |
| 1930 Total budgetary resources available .....                    | 488         | 636     | 200       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1940 Unobligated balance expiring .....                           | -4          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....             | 236         | 200     | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 213         | 402     | 662       |
| 3010 Obligations incurred, unexpired accounts .....               | 248         | 436     | 200       |
| 3020 Outlays (gross) .....  | -54         | -176    | -320      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -5          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 402         | 662     | 542       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 213         | 402     | 662       |
| 3200 Obligated balance, end of year .....                         | 402         | 662     | 542       |

|   |     |     |       |
|---|-----|-----|-------|
| <b>Budget authority and outlays, net:</b>           |     |     |       |
| Discretionary:                                      |     |     |       |
| 4000 Budget authority, gross .....                  | 400 | 400 | ..... |
| Outlays, gross:                                     |     |     |       |
| 4010 Outlays from new discretionary authority ..... | 12  | 16  | ..... |
| 4011 Outlays from discretionary balances .....      | 42  | 160 | 320   |
| 4020 Outlays, gross (total) .....                   | 54  | 176 | 320   |
| 4180 Budget authority, net (total) .....            | 400 | 400 | ..... |
| 4190 Outlays, net (total) .....                     | 54  | 176 | 320   |

### IRAQ SECURITY FORCES FUND

#### Program and Financing (in millions of dollars)

| Identification code 21-2092-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Ministry of Defense .....                                   | 983         | .....   | .....     |
| 0002 Ministry of Interior .....                                  | 76          | .....   | .....     |
| 0003 Associated activities .....                                 | 1           | .....   | .....     |
| 0900 Total new obligations (object class 26.0) .....             | 1,060       | .....   | .....     |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 1,393       | .....   | .....     |
| 1010 Unobligated balance transfer to other accts [97-9999] ..... | -345        | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....           | 17          | .....   | .....     |
| 1050 Unobligated balance (total) .....                           | 1,065       | .....   | .....     |
| 1930 Total budgetary resources available .....                   | 1,065       | .....   | .....     |



|   |  |        |      |     |
|---|--|--------|------|-----|
| Memorandum (non-add) entries:             |  |        |      |     |
| 1940                                      | Unobligated balance expiring .....                           | -5     |      |     |
| <b>Change in obligated balance:</b>       |  |        |      |     |
| Unpaid obligations:                       |  |        |      |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 618    | 383  | 133 |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 1,060  |      |     |
| 3011                                      | Obligations incurred, expired accounts .....                 | 43     |      |     |
| 3020                                      | Outlays (gross) .....  | -1,111 | -250 | -72 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -17    |      |     |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....   | -210   |      |     |
| 3050                                      | Unpaid obligations, end of year .....                        | 383    | 133  | 61  |
| Memorandum (non-add) entries:             |  |        |      |     |
| 3100                                      | Obligated balance, start of year .....                       | 618    | 383  | 133 |
| 3200                                      | Obligated balance, end of year .....                         | 383    | 133  | 61  |
| <b>Budget authority and outlays, net:</b> |  |        |      |     |
| Discretionary:                            |  |        |      |     |
| Outlays, gross:                           |  |        |      |     |
| 4011                                      | Outlays from discretionary balances .....                    | 1,111  | 250  | 72  |
| 4190                                      | Outlays, net (total) .....                                   | 1,111  | 250  | 72  |

## PAKISTAN COUNTERINSURGENCY FUND

## Program and Financing (in millions of dollars)

|   |   |             |         |           |
|---|---|-------------|---------|-----------|
| Identification code 21-2095-0-1-051       |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Defense Security Forces .....                               | 70          |         |           |
| 0900                                      | Total new obligations (object class 26.0) .....             | 70          |         |           |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Unobligated balance:                      |   |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....            | 503         | 1       | 1         |
| 1010                                      | Unobligated balance transfer to other accts [97-9999] ..... | -126        |         |           |
| 1050                                      | Unobligated balance (total) .....                           | 377         | 1       | 1         |
| 1930                                      | Total budgetary resources available .....                   | 377         | 1       | 1         |
| Memorandum (non-add) entries:             |   |             |         |           |
| 1940                                      | Unobligated balance expiring .....                          | -306        |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....            | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....            | 88          | 64      | 23        |
| 3010                                      | Obligations incurred, unexpired accounts .....              | 70          |         |           |
| 3020                                      | Outlays (gross) .....                                       | -89         | -41     | -20       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....  | -5          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                       | 64          | 23      | 3         |
| Memorandum (non-add) entries:             |   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                      | 88          | 64      | 23        |
| 3200                                      | Obligated balance, end of year .....                        | 64          | 23      | 3         |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| Outlays, gross:                           |   |             |         |           |
| 4011                                      | Outlays from discretionary balances .....                   | 89          | 41      | 20        |
| 4190                                      | Outlays, net (total) .....                                  | 89          | 41      | 20        |

## DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT FUND

*For the Department of Defense Acquisition Workforce Development Fund, \$256,031,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

|  |   |             |         |           |
|--|---|-------------|---------|-----------|
| Identification code 97-0111-0-1-051                        |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                    |   |             |         |           |
| 0001   | Acquisition workforce development .....                       | 655         | 668     | 827       |
| <b>Budgetary Resources:</b>                                |   |             |         |           |
| Unobligated balance:                                       |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 218         | 398     | 406       |
| 1021   | Recoveries of prior year unpaid obligations .....             | 28          |         |           |
| 1050   | Unobligated balance (total) .....                             | 246         | 398     | 406       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                             |   |             |         |           |
| 1100   | Appropriation .....   | 106         | 106     | 256       |
| 1160   | Appropriation, discretionary (total) .....                    | 106         | 106     | 256       |
| Spending authority from offsetting collections, mandatory: |   |             |         |           |
| 1800   | Collected .....   | 702         | 570     | 797       |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 702         | 570     | 797       |
| 1900   | Budget authority (total) .....                                | 808         | 676     | 1,053     |
| 1930   | Total budgetary resources available .....                     | 1,054       | 1,074   | 1,459     |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 1940   | Unobligated balance expiring .....                            | -1          |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 398         | 406     | 632       |
| <b>Change in obligated balance:</b>                        |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 129         | 142     | 190       |
| 3010   | Obligations incurred, unexpired accounts .....                | 655         | 668     | 827       |
| 3011   | Obligations incurred, expired accounts .....                  | 17          |         |           |
| 3020   | Outlays (gross) .....   | -611        | -620    | -1,011    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | -28         |         |           |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -20         |         |           |
| 3050   | Unpaid obligations, end of year .....                         | 142         | 190     | 6         |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 129         | 142     | 190       |
| 3200   | Obligated balance, end of year .....                          | 142         | 190     | 6         |
| <b>Budget authority and outlays, net:</b>                  |   |             |         |           |
| Discretionary:   |   |             |         |           |
| Budget authority, gross:                                   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 106         | 106     | 256       |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 99          | 92      | 222       |
| 4011   | Outlays from discretionary balances .....                     | 36          | 100     | 48        |
| 4020   | Outlays, gross (total) .....                                  | 135         | 192     | 270       |
| Mandatory:   |   |             |         |           |
| Budget authority, gross:                                   |   |             |         |           |
| 4090   | Budget authority, gross .....                                 | 702         | 570     | 797       |
| Outlays, gross:  |   |             |         |           |
| 4100   | Outlays from new mandatory authority .....                    | 80          | 428     | 598       |
| 4101   | Outlays from mandatory balances .....                         | 396         |         | 143       |
| 4110   | Outlays, gross (total) .....                                  | 476         | 428     | 741       |
| Offsets against gross budget authority and outlays:        |   |             |         |           |
| Offsetting collections (collected) from:                   |   |             |         |           |
| 4120   | Federal sources .....   | -702        | -570    | -797      |
| 4180   | Budget authority, net (total) .....                           | 106         | 106     | 256       |
| 4190   | Outlays, net (total) .....                                    | -91         | 50      | 214       |

## Object Classification (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 97-0111-0-1-051 |  | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 295         | 288     | 214       |
| 11.5                                | Other personnel compensation .....                         | 16          | 8       | 23        |
| 11.9                                | Total personnel compensation .....                         | 311         | 296     | 237       |
| 12.1                                | Civilian personnel benefits .....                          | 52          | 91      | 76        |
| 21.0                                | Travel and transportation of persons .....                 | 28          | 42      | 27        |
| 23.1                                | Rental payments to GSA .....                               | 4           | 4       | 3         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1           |         |           |
| 24.0                                | Printing and reproduction .....                            | 1           |         |           |
| 25.1                                | Advisory and assistance services .....                     | 7           | 11      | 149       |
| 25.2                                | Other services from non-Federal sources .....              | 240         | 208     | 34        |
| 25.3                                | Other goods and services from Federal sources .....        |             | 3       | 76        |
| 25.4                                | Operation and maintenance of facilities .....              |             | 4       |           |
| 25.7                                | Operation and maintenance of equipment .....               | 4           | 1       | 3         |
| 26.0                                | Supplies and materials .....                               | 1           |         | 214       |
| 31.0                                | Equipment .....  | 2           | 8       | 1         |
| 32.0                                | Land and structures .....                                  | 4           |         | 7         |

DEPARTMENT OF DEFENSE ACQUISITION WORKFORCE DEVELOPMENT  
FUND—Continued  
Object Classification—Continued

| Identification code 97-0111-0-1-051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 655         | 668     | 827       |

Employment Summary

| Identification code 97-0111-0-1-051                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 3,812       | 4,064   | 3,279     |

EMERGENCY RESPONSE FUND

Program and Financing (in millions of dollars)

| Identification code 97-0833-0-1-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0010 Direct program activity .....                   | 5           |         |           |
| 0900 Total new obligations (object class 26.0) ..... | 5           |         |           |

**Budgetary Resources:**

|  |     |     |     |
|--|-----|-----|-----|
| 1000 Unobligated balance brought forward, Oct 1 .....  | 214 | 217 | 217 |
| 1021 Recoveries of prior year unpaid obligations ..... | 8   |     |     |
| 1050 Unobligated balance (total) .....                 | 222 | 217 | 217 |
| 1930 Total budgetary resources available .....         | 222 | 217 | 217 |
| Memorandum (non-add) entries:                          |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....  | 217 | 217 | 217 |

**Change in obligated balance:**

|   |    |     |     |
|---|----|-----|-----|
| Unpaid obligations:   |    |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 46 | 43  | 21  |
| 3010 Obligations incurred, unexpired accounts .....               | 5  |     |     |
| 3020 Outlays (gross) .....  |    | -22 | -21 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -8 |     |     |
| 3050 Unpaid obligations, end of year .....                        | 43 | 21  |     |
| Memorandum (non-add) entries:                                     |    |     |     |
| 3100 Obligated balance, start of year .....                       | 46 | 43  | 21  |
| 3200 Obligated balance, end of year .....                         | 43 | 21  |     |

**Budget authority and outlays, net:**

|  |  |    |    |
|--|--|----|----|
| Discretionary:                                 |  |    |    |
| Outlays, gross:                                |  |    |    |
| 4011 Outlays from discretionary balances ..... |  | 22 | 21 |
| 4190 Outlays, net (total) .....                |  | 22 | 21 |

EMERGENCY RESPONSE

Program and Financing (in millions of dollars)

| Identification code 97-4965-0-4-051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 12          | 12      | 12        |
| 1930 Total budgetary resources available .....        | 12          | 12      | 12        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 12          | 12      | 12        |

**Change in obligated balance:**

|   |   |    |  |
|---|---|----|--|
| Unpaid obligations:                                   |   |    |  |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3 | 3  |  |
| 3020 Outlays (gross) .....                            |   | -3 |  |
| 3050 Unpaid obligations, end of year .....            | 3 |    |  |
| Memorandum (non-add) entries:                         |   |    |  |
| 3100 Obligated balance, start of year .....           | 3 | 3  |  |
| 3200 Obligated balance, end of year .....             | 3 |    |  |

**Budget authority and outlays, net:**

|  |  |   |  |
|--|--|---|--|
| Discretionary:                                 |  |   |  |
| Outlays, gross:                                |  |   |  |
| 4011 Outlays from discretionary balances ..... |  | 3 |  |
| 4190 Outlays, net (total) .....                |  | 3 |  |

ALLIED CONTRIBUTIONS AND COOPERATION ACCOUNT

Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97-9927-0-2-051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 8           | 8       | 8         |
| Receipts:   |             |         |           |
| 0220 Contributions for Burdensharing and Other Cooperative Activities (Kuwait) .....    | 140         | 142     | 145       |
| 0221 Contributions for Burdensharing and Other Cooperative Activities (Japan) .....     | 332         | 338     | 343       |
| 0222 Contributions for Burdensharing and Other Cooperative Activities (So. Korea) ..... | 313         | 318     | 324       |
| 0299 Total receipts and collections .....   | 785         | 798     | 812       |
| 0400 Total: Balances and collections .....  | 793         | 806     | 820       |
| Appropriations:   |             |         |           |
| 0500 Allied Contributions and Cooperation Account .....                                 | -785        | -798    | -812      |
| 0799 Balance, end of year .....   | 8           | 8       | 8         |

Program and Financing (in millions of dollars)

| Identification code 97-9927-0-2-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0010 Direct program activity .....                   | 785         | 798     | 812       |
| 0900 Total new obligations (object class 26.0) ..... | 785         | 798     | 812       |

**Budgetary Resources:**

|   |     |     |     |
|---|-----|-----|-----|
| Unobligated balance:                                  |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 95  | 95  | 95  |
| Budget authority:                                     |     |     |     |
| Appropriations, mandatory:                            |     |     |     |
| 1201 Appropriation (special or trust fund) .....      | 785 | 798 | 812 |
| 1260 Appropriations, mandatory (total) .....          | 785 | 798 | 812 |
| 1930 Total budgetary resources available .....        | 880 | 893 | 907 |
| Memorandum (non-add) entries:                         |     |     |     |
| 1941 Unexpired unobligated balance, end of year ..... | 95  | 95  | 95  |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |      |      | 1    |
| 3010 Obligations incurred, unexpired accounts .....   | 785  | 798  | 812  |
| 3020 Outlays (gross) .....                            | -785 | -797 | -812 |
| 3050 Unpaid obligations, end of year .....            |      | 1    | 1    |
| Memorandum (non-add) entries:                         |      |      |      |
| 3100 Obligated balance, start of year .....           |      |      | 1    |
| 3200 Obligated balance, end of year .....             |      | 1    | 1    |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 785 | 798 | 812 |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 785 | 797 | 812 |
| 4180 Budget authority, net (total) .....        | 785 | 798 | 812 |
| 4190 Outlays, net (total) .....                 | 785 | 797 | 812 |

**Memorandum (non-add) entries:**

|  |   |   |   |
|--|---|---|---|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 8 | 8 | 8 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 8 | 8 | 8 |

Cash contributions from foreign countries, international organizations, and individuals are deposited into this account for DOD costs such as compensation of local national employees, military construction, and supplies and services. Contributions are used to offset costs of DOD's overseas presence.

## MISCELLANEOUS SPECIAL FUNDS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97–9922–0–2–051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 3           | 2       | 7         |
| Receipts:   |             |         |           |
| 0220 Proceeds from the Transfer or Disposition of Commissary Facilities ..... | 1           | 6       | 6         |
| 0260 Restoration of the Rocky Mountain Arsenal, Army .....                    | 7           | 7       | 7         |
| 0299 Total receipts and collections .....                                     | 1           | 13      | 13        |
| 0400 Total: Balances and collections .....                                    | 4           | 15      | 20        |
| Appropriations:   |             |         |           |
| 0500 Miscellaneous Special Funds .....  | –2          | –8      | .....     |
| 0799 Balance, end of year .....   | 2           | 7       | 20        |

## Program and Financing (in millions of dollars)

| Identification code 97–9922–0–2–051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0010 Miscellaneous special funds .....                | 16          | 8       | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 34          | 20      | 20        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 2           | 8       | .....     |
| 1260 Appropriations, mandatory (total) .....          | 2           | 8       | .....     |
| 1930 Total budgetary resources available .....        | 36          | 28      | 20        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 20          | 20      | 20        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | –1          | .....   | .....     |
| 3010 Obligations incurred, unexpired accounts .....   | 16          | 8       | .....     |
| 3020 Outlays (gross) .....                            | –15         | –8      | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | –1          | .....   | .....     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 2           | 8       | .....     |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | .....       | 8       | .....     |
| 4101 Outlays from mandatory balances .....            | 15          | .....   | .....     |
| 4110 Outlays, gross (total) .....                     | 15          | 8       | .....     |
| 4180 Budget authority, net (total) .....              | 2           | 8       | .....     |
| 4190 Outlays, net (total) .....                       | 15          | 8       | .....     |

## Object Classification (in millions of dollars)

| Identification code 97–9922–0–2–051                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.4 Operation and maintenance of facilities ..... | 15          | 8       | .....     |
| 26.0 Supplies and materials .....                  | 1           | .....   | .....     |
| 99.9 Total new obligations .....                   | 16          | 8       | .....     |

## DISPOSAL OF DEPARTMENT OF DEFENSE REAL PROPERTY

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97–5188–0–2–051                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                     | .....       | –1      | 14        |
| Adjustments:  |             |         |           |
| 0190 Adjustment - to correct prior year accounting for balances ..... | .....       | 15      | .....     |
| 0199 Balance, start of year .....                                     | .....       | 14      | 14        |
| Receipts:   |             |         |           |
| 0220 Disposal of Department of Defense Real Property .....            | .....       | 14      | 1         |
| 0400 Total: Balances and collections .....                            | .....       | 28      | 15        |

|  |    |     |     |
|--|----|-----|-----|
| Appropriations:  |    |     |     |
| 0500 Disposal of Department of Defense Real Property ..... | –1 | –14 | –10 |
| 0799 Balance, end of year .....                            | –1 | 14  | 5   |

## Program and Financing (in millions of dollars)

| Identification code 97–5188–0–2–051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0010 Concept obligations .....                                    | 37          | 61      | 10        |
| 0900 Total new obligations (object class 25.4) .....              | 37          | 61      | 10        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 95          | 62      | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....   | .....       | –15     | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 3           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 98          | 47      | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1101 Appropriation (special or trust fund) .....                  | 1           | 14      | 10        |
| 1160 Appropriation, discretionary (total) .....                   | 1           | 14      | 10        |
| 1930 Total budgetary resources available .....                    | 99          | 61      | 10        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 62          | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 13          | 25      | 13        |
| 3010 Obligations incurred, unexpired accounts .....               | 37          | 61      | 10        |
| 3020 Outlays (gross) .....  | –22         | –73     | –21       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 25          | 13      | 2         |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –4          | –4      | –4        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –4          | –4      | –4        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 9           | 21      | 9         |
| 3200 Obligated balance, end of year .....                         | 21          | 9       | –2        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 1           | 14      | 10        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | .....       | 11      | 8         |
| 4011 Outlays from discretionary balances .....                    | 22          | 62      | 13        |
| 4020 Outlays, gross (total) .....                                 | 22          | 73      | 21        |
| 4180 Budget authority, net (total) .....                          | 1           | 14      | 10        |
| 4190 Outlays, net (total) .....                                   | 22          | 73      | 21        |

These receipts from the disposal of DOD real property are applied to real property maintenance and environmental efforts at DOD installations.

## LEASE OF DEPARTMENT OF DEFENSE REAL PROPERTY

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97–5189–0–2–051                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                       | 27          | 37      | 37        |
| Receipts:   |             |         |           |
| 0220 Lease of Department of Defense Real Property ..... | 33          | 29      | 30        |
| 0400 Total: Balances and collections .....              | 60          | 66      | 67        |
| Appropriations:   |             |         |           |
| 0500 Lease of Department of Defense Real Property ..... | –23         | –29     | –30       |
| 0799 Balance, end of year .....                         | 37          | 37      | 37        |

## Program and Financing (in millions of dollars)

| Identification code 97–5189–0–2–051     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0010 Concept obligations .....          | 79          | 93      | 36        |

LEASE OF DEPARTMENT OF DEFENSE REAL PROPERTY—Continued  
Program and Financing—Continued

| Identification code 97-5189-0-2-051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0900 Total new obligations (object class 25.4) .....              | 79          | 93      | 36        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 83          | 82      | 18        |
| 1021 Recoveries of prior year unpaid obligations .....            | 55          |         |           |
| 1050 Unobligated balance (total) .....                            | 138         | 82      | 18        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1101 Appropriation (special or trust fund) .....                  | 23          | 29      | 30        |
| 1160 Appropriation, discretionary (total) .....                   | 23          | 29      | 30        |
| 1930 Total budgetary resources available .....                    | 161         | 111     | 48        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 82          | 18      | 12        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 16          | 27      | 14        |
| 3010 Obligations incurred, unexpired accounts .....               | 79          | 93      | 36        |
| 3020 Outlays (gross) .....  | -13         | -106    | -50       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -55         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 27          | 14      |           |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 17          | 28      | 15        |
| 3200 Obligated balance, end of year .....                         | 28          | 15      | 1         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 23          | 29      | 30        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               |             | 23      | 24        |
| 4011 Outlays from discretionary balances .....                    | 13          | 83      | 26        |
| 4020 Outlays, gross (total) .....                                 | 13          | 106     | 50        |
| 4180 Budget authority, net (total) .....                          | 23          | 29      | 30        |
| 4190 Outlays, net (total) .....                                   | 13          | 106     | 50        |

These receipts from the lease of DOD real property are applied to real property maintenance and environmental efforts at DOD installations. Receipts are available for maintenance, protection, alteration, repair, improvement, restoration of property or facilities, construction or acquisition of new facilities, lease of facilities, and facilities operation support.

## OVERSEAS MILITARY FACILITY INVESTMENT RECOVERY

## Program and Financing (in millions of dollars)

| Identification code 97-5193-0-2-051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0010 Concept obligations .....                        | 6           | 1       |           |
| 0900 Total new obligations (object class 25.4) .....  | 6           | 1       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 8           | 2       | 1         |
| 1930 Total budgetary resources available .....        | 8           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 7       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 6           | 1       |           |
| 3020 Outlays (gross) .....                            | -1          | -7      |           |
| 3050 Unpaid obligations, end of year .....            | 7           | 1       | 1         |

|   |   |   |   |
|---|---|---|---|
| Memorandum (non-add) entries:               |   |   |   |
| 3100 Obligated balance, start of year ..... | 2 | 7 | 1 |
| 3200 Obligated balance, end of year .....   | 7 | 1 | 1 |

## Budget authority and outlays, net:

|  |   |   |  |
|--|---|---|--|
| Discretionary:                                 |   |   |  |
| Outlays, gross:                                |   |   |  |
| 4011 Outlays from discretionary balances ..... | 1 | 7 |  |
| 4190 Outlays, net (total) .....                | 1 | 7 |  |

## DEPARTMENT OF DEFENSE VIETNAM WAR COMMEMORATION FUND

## Program and Financing (in millions of dollars)

| Identification code 97-5750-0-2-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 11      | 11        |
| 1010 Unobligated balance transfer to other accts [97-9999] .....   | -5          |         |           |
| 1011 Unobligated balance transfer from other accts [97-9999] ..... | 5           |         |           |
| 1050 Unobligated balance (total) .....                             | 5           | 11      | 11        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1121 Appropriations transferred from other accts [97-9999] .....   | 6           |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 6           |         |           |
| 1930 Total budgetary resources available .....                     | 11          | 11      | 11        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 11          | 11      | 11        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 6           |         |           |
| 4180 Budget authority, net (total) .....                           | 6           |         |           |

## INTERNATIONAL RECONSTRUCTION AND OTHER ASSISTANCE

## Federal Funds

## OPERATING EXPENSES OF THE COALITION PROVISIONAL AUTHORITY

## Program and Financing (in millions of dollars)

| Identification code 21-2090-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Operating expenses .....                                     | 2           |         |           |
| 0900 Total new obligations (object class 26.0) .....              | 2           |         |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 3           | 2       | 2         |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 4           | 2       | 2         |
| 1930 Total budgetary resources available .....                    | 4           | 2       | 2         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 5           | 3       | 3         |
| 3010 Obligations incurred, unexpired accounts .....               | 2           |         |           |
| 3020 Outlays (gross) .....  | -1          |         | -3        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 3           | 3       |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 5           | 3       | 3         |
| 3200 Obligated balance, end of year .....                         | 3           | 3       |           |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 1           |         | 3         |

4190 Outlays, net (total) ..... 1 ..... 3

## IRAQ RELIEF AND RECONSTRUCTION FUND, ARMY

## Program and Financing (in millions of dollars)

| Identification code 21–2089–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Iraq relief and reconstruction .....             | 15          |         |           |
| 0900 Total new obligations (object class 25.2) .....  | 15          |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 25          | 10      | 10        |
| 1930 Total budgetary resources available .....        | 25          | 10      | 10        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 10          | 10      | 10        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 15          |         |           |
| 3020 Outlays (gross) .....                            | –15         |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 15          |         |           |
| 4190 Outlays, net (total) .....                       | 15          |         |           |

## PROCUREMENT

Appropriations in this title support the acquisition of aircraft, ships, combat vehicles, satellites and their launch vehicles, weapons and all capital equipment. Major systems in production typically are budgeted annually to maintain production continuity through the life of the acquisition program and in several instances multiyear contracts are used to ensure stability of production and economies of scale. Initial spares and support as well as the modification of existing equipment are also funded. Resources presented under the Procurement title contribute primarily to achieving the Department's annual goals of assuring readiness and sustainability, transforming the force for new missions, and reforming processes and organizations. Performance targets in support of these goals contribute to the Department's efforts to mitigate force management and operational risk, future challenges risk, and institutional risk.

Procurement in support of the ground forces encompasses wheeled and tracked vehicles, rotary wing aircraft, ammunition and equipment procured to meet inventory requirements dictated by the force size and anticipated mission requirements. Similarly, procurement in support of naval forces includes ships, equipment for the ships, aircraft, munitions, the Marine Corps ground element, and other equipment to sustain future naval operations. The Air Force programs support the broad range of missions and include aircraft, tactical missiles, ballistic missile weapons and associated surveillance and space assets keyed to the strategic deterrence mission, munitions and other mission support equipment. Advance appropriations are being requested for VIRGINIA class submarines.

## Federal Funds

## AIRCRAFT PROCUREMENT, ARMY

For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment

and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$5,024,387,000, to remain available for obligation until September 30, 2016, of which \$896,015,000 shall be available for the Army National Guard and Army Reserve.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 21–2031–0–1–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Aircraft .....  | 5,246       | 3,740   | 3,679     |
| 0002 Modification of aircraft .....                                  | 2,418       | 1,608   | 1,149     |
| 0003 Spares and repair parts .....                                   | 6           |         |           |
| 0004 Support equipment and facilities .....                          | 621         | 451     | 397       |
| 0799 Total direct obligations .....                                  | 8,291       | 5,799   | 5,225     |
| 0801 Reimbursable program .....                                      | 120         | 216     | 145       |
| 0900 Total new obligations .....                                     | 8,411       | 6,015   | 5,370     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 4,374       | 2,686   | 2,674     |
| 1010 Unobligated balance transfer to other accts [97–9999] .....     | –26         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 181         |         |           |
| 1050 Unobligated balance (total) .....                               | 4,529       | 2,686   | 2,674     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 6,498       | 5,880   | 5,024     |
| 1120 Appropriations transferred to other accts [97–9999] .....       | –21         |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –27         | –22     |           |
| 1160 Appropriation, discretionary (total) .....                      | 6,450       | 5,858   | 5,024     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 95          | 145     | 145       |
| 1701 Change in uncollected payments, Federal sources .....           | 27          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 122         | 145     | 145       |
| 1900 Budget authority (total) .....                                  | 6,572       | 6,003   | 5,169     |
| 1930 Total budgetary resources available .....                       | 11,101      | 8,689   | 7,843     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –4          |         |           |
| 1941 Unobligated balance carried forward, EOY .....                  | 2,686       | 2,674   | 2,473     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 8,709       | 9,447   | 9,330     |
| 3010 Obligations incurred, unexpired accounts .....                  | 8,411       | 6,015   | 5,370     |
| 3011 Obligations incurred, expired accounts .....                    | 4           |         |           |
| 3020 Outlays (gross) .....   | –7,368      | –6,132  | –5,137    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –181        |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –128        |         |           |
| 3050 Unpaid obligations, end of year .....                           | 9,447       | 9,330   | 9,563     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –362        | –293    | –293      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –27         |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 96          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –293        | –293    | –293      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 8,347       | 9,154   | 9,037     |
| 3200 Obligated balance, end of year .....                            | 9,154       | 9,037   | 9,270     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 6,572       | 6,003   | 5,169     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 557         | 614     | 547       |
| 4011 Outlays from discretionary balances .....                       | 6,811       | 5,518   | 4,590     |
| 4020 Outlays, gross (total) .....                                    | 7,368       | 6,132   | 5,137     |

### AIRCRAFT PROCUREMENT, ARMY—Continued

#### Program and Financing—Continued

| Identification code 21–2031–0–1–051                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| Federal sources .....   | –107        | –145    | –145      |
| Additional offsets against gross budget authority only:             |             |         |           |
| Change in uncollected pymts, Fed sources, unexpired .....           | –27         |         |           |
| Offsetting collections credited to expired accounts .....           | 12          |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | –15         |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 6,450       | 5,858   | 5,024     |
| 4080 Outlays, net (discretionary) .....                             | 7,261       | 5,987   | 4,992     |
| 4180 Budget authority, net (total) .....                            | 6,450       | 5,858   | 5,024     |
| 4190 Outlays, net (total) .....                                     | 7,261       | 5,987   | 4,992     |

#### Object Classification (in millions of dollars)

| Identification code 21–2031–0–1–051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 21.0 Travel and transportation of persons .....          | 1           | 1       |           |
| 22.0 Transportation of things .....                      | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 640         | 84      | 85        |
| 25.2 Other services from non-Federal sources .....       | 8           | 34      | 9         |
| 25.3 Other goods and services from Federal sources ..... | 621         | 412     | 606       |
| 25.3 Purchases from revolving funds .....                | 169         | 98      | 171       |
| 25.4 Operation and maintenance of facilities .....       | 2           |         | 3         |
| 25.7 Operation and maintenance of equipment .....        | 200         | 134     | 185       |
| 26.0 Supplies and materials .....                        | 52          | 95      | 41        |
| 31.0 Equipment .....                                     | 6,595       | 4,939   | 4,123     |
| 99.0 Direct obligations .....                            | 8,290       | 5,799   | 5,225     |
| 99.0 Reimbursable obligations .....                      | 121         | 216     | 145       |
| 99.9 Total new obligations .....                         | 8,411       | 6,015   | 5,370     |

### MISSILE PROCUREMENT, ARMY

*For construction, procurement, production, modification, and modernization of missiles, equipment, including ordnance, ground handling equipment, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$1,334,083,000, to remain available for obligation until September 30, 2016, of which \$19,527,000 shall be available for the Army National Guard and Army Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 21–2032–0–1–051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 Other missiles .....   | 1,812       | 1,507   | 1,076     |
| 0003 Modification of missiles .....                               | 162         | 111     | 282       |
| 0004 Spares and repair parts .....                                | 13          | 9       | 10        |
| 0005 Support equipment and facilities .....                       | 8           | 7       | 10        |
| 0799 Total direct obligations .....                               | 1,995       | 1,634   | 1,378     |
| 0801 Reimbursable program .....                                   | 61          | 338     | 200       |
| 0900 Total new obligations .....                                  | 2,056       | 1,972   | 1,578     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 683         | 564     | 382       |
| 1011 Unobligated balance transfer from other accts [97–9999] .... | 210         |         |           |

|  |       |       |       |
|--|-------|-------|-------|
| 1021 Recoveries of prior year unpaid obligations .....               | 217   |       |       |
| 1050 Unobligated balance (total) .....                               | 1,110 | 564   | 382   |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                       |       |       |       |
| 1100 Appropriation .....   | 1,588 | 1,520 | 1,334 |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –100  | –30   |       |
| 1160 Appropriation, discretionary (total) .....                      | 1,488 | 1,490 | 1,334 |
| Spending authority from offsetting collections, discretionary:       |       |       |       |
| 1700 Collected .....   | 90    | 300   | 200   |
| 1701 Change in uncollected payments, Federal sources .....           | –67   |       |       |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 23    | 300   | 200   |
| 1900 Budget authority (total) .....                                  | 1,511 | 1,790 | 1,534 |
| 1930 Total budgetary resources available .....                       | 2,621 | 2,354 | 1,916 |
| Memorandum (non-add) entries:  |       |       |       |
| 1940 Unobligated balance expiring .....                              | –1    |       |       |
| 1941 Unobligated balance carried forward, EOY .....                  | 564   | 382   | 338   |

#### Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 3,231  | 3,147  | 3,105  |
| 3010 Obligations incurred, unexpired accounts .....               | 2,056  | 1,972  | 1,578  |
| 3011 Obligations incurred, expired accounts .....                 | 12     |        |        |
| 3020 Outlays (gross) .....  | –1,903 | –2,014 | –1,779 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –217   |        |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –32    |        |        |
| 3050 Unpaid obligations, end of year .....                        | 3,147  | 3,105  | 2,904  |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –102   | –23    | –23    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 67     |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 12     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –23    | –23    | –23    |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 3,129  | 3,124  | 3,082  |
| 3200 Obligated balance, end of year .....                         | 3,124  | 3,082  | 2,881  |

#### Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 1,511 | 1,790 | 1,534 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 159   | 435   | 320   |
| 4011 Outlays from discretionary balances .....                       | 1,744 | 1,579 | 1,459 |
| 4020 Outlays, gross (total) .....                                    | 1,903 | 2,014 | 1,779 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| Federal sources .....  | –48   | –285  | –185  |
| Non-Federal sources .....  | –53   | –15   | –15   |
| 4040 Offsets against gross budget authority and outlays (total) .... | –101  | –300  | –200  |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 67    |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 11    |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | 78    |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 1,488 | 1,490 | 1,334 |
| 4080 Outlays, net (discretionary) .....                              | 1,802 | 1,714 | 1,579 |
| 4180 Budget authority, net (total) .....                             | 1,488 | 1,490 | 1,334 |
| 4190 Outlays, net (total) .....                                      | 1,802 | 1,714 | 1,579 |

#### Object Classification (in millions of dollars)

| Identification code 21–2032–0–1–051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 22.0 Transportation of things .....                      | 1           |         | 1         |
| 23.1 Rental payments to GSA .....                        |             |         | 1         |
| 25.1 Advisory and assistance services .....              | 101         | 31      | 31        |
| 25.2 Other services from non-Federal sources .....       | 7           | 23      | 5         |
| 25.3 Other goods and services from Federal sources ..... | 165         | 294     | 114       |
| 25.3 Purchases from revolving funds .....                | 8           | 1       | 6         |
| 25.4 Operation and maintenance of facilities .....       |             |         | 4         |
| 26.0 Supplies and materials .....                        | 7           | 33      | 5         |
| 31.0 Equipment .....                                     | 1,706       | 1,252   | 1,211     |
| 99.0 Direct obligations .....                            | 1,995       | 1,634   | 1,378     |
| 99.0 Reimbursable obligations .....                      | 61          | 338     | 200       |
| 99.9 Total new obligations .....                         | 2,056       | 1,972   | 1,578     |

## PROCUREMENT OF WEAPONS AND TRACKED COMBAT VEHICLES, ARMY

*For construction, procurement, production, and modification of weapons and tracked combat vehicles, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$1,597,267,000, to remain available for obligation until September 30, 2016, of which \$112,696,000 shall be available for the Army National Guard and Army Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 21–2033–0–1–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Tracked combat vehicles .....                                   | 3,583       | 1,728   | 1,388     |
| 0002 Weapons and other combat vehicles .....                         | 452         | 300     | 320       |
| 0003 Spare and repair parts .....                                    | 36          | 101     | 60        |
| 0799 Total direct obligations .....                                  | 4,071       | 2,129   | 1,768     |
| 0801 Reimbursable program .....                                      | 6           | 88      | 85        |
| 0900 Total new obligations .....                                     | 4,077       | 2,217   | 1,853     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 2,179       | 1,382   | 1,330     |
| 1010 Unobligated balance transfer to other accts [97–9999] .....     | –2          |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] .....   | 34          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 1,206       |         |           |
| 1050 Unobligated balance (total) .....                               | 3,417       | 1,382   | 1,330     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 2,108       | 2,099   | 1,597     |
| 1120 Appropriations transferred to other accts [97–9999] .....       | –36         |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –23         | –19     |           |
| 1160 Appropriation, discretionary (total) .....                      | 2,049       | 2,080   | 1,597     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 22          | 85      | 85        |
| 1701 Change in uncollected payments, Federal sources .....           | –18         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 4           | 85      | 85        |
| 1900 Budget authority (total) .....                                  | 2,053       | 2,165   | 1,682     |
| 1930 Total budgetary resources available .....                       | 5,470       | 3,547   | 3,012     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –11         |         |           |
| 1941 Unobligated balance carried forward, EOY .....                  | 1,382       | 1,330   | 1,159     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 6,243       | 4,599   | 3,885     |
| 3010 Obligations incurred, unexpired accounts .....                  | 4,077       | 2,217   | 1,853     |
| 3011 Obligations incurred, expired accounts .....                    | 892         |         |           |
| 3020 Outlays (gross) .....   | –4,550      | –2,931  | –2,270    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –1,206      |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –857        |         |           |
| 3050 Unpaid obligations, end of year .....                           | 4,599       | 3,885   | 3,468     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –245        | –304    | –304      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 18          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | –77         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –304        | –304    | –304      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 5,998       | 4,295   | 3,581     |
| 3200 Obligated balance, end of year .....                            | 4,295       | 3,581   | 3,164     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 2,053       | 2,165   | 1,682     |

|  |       |       |       |
|--|-------|-------|-------|
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 162   | 230   | 197   |
| 4011 Outlays from discretionary balances .....                       | 4,388 | 2,701 | 2,073 |
| 4020 Outlays, gross (total) .....                                    | 4,550 | 2,931 | 2,270 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | –27   | –65   | –65   |
| 4033 Non-Federal sources .....                                       |       | –20   | –20   |
| 4040 Offsets against gross budget authority and outlays (total) .... | –27   | –85   | –85   |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 18    |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 5     |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | 23    |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 2,049 | 2,080 | 1,597 |
| 4080 Outlays, net (discretionary) .....                              | 4,523 | 2,846 | 2,185 |
| 4180 Budget authority, net (total) .....                             | 2,049 | 2,080 | 1,597 |
| 4190 Outlays, net (total) .....                                      | 4,523 | 2,846 | 2,185 |

## Object Classification (in millions of dollars)

| Identification code 21–2033–0–1–051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 21.0 Travel and transportation of persons .....          | 1           |         | 1         |
| 22.0 Transportation of things .....                      | 12          | 4       | 5         |
| 25.1 Advisory and assistance services .....              | 17          | 14      | 13        |
| 25.2 Other services from non-Federal sources .....       | 24          | 10      | 21        |
| 25.3 Other goods and services from Federal sources ..... | 509         | 170     | 221       |
| 25.3 Purchases from revolving funds .....                | 81          | 46      | 38        |
| 26.0 Supplies and materials .....                        | 79          | 43      | 35        |
| 31.0 Equipment .....                                     | 3,348       | 1,842   | 1,434     |
| 99.0 Direct obligations .....                            | 4,071       | 2,129   | 1,768     |
| 99.0 Reimbursable obligations .....                      | 6           | 88      | 85        |
| 99.9 Total new obligations .....                         | 4,077       | 2,217   | 1,853     |

## PROCUREMENT OF AMMUNITION, ARMY

*For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$1,540,437,000, to remain available for obligation until September 30, 2016, of which \$147,219,000 shall be available for the Army National Guard and Army Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 21–2034–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Ammunition .....  | 2,034       | 1,472   | 1,591     |
| 0002 Ammunition production base support .....                      | 449         | 273     | 475       |
| 0799 Total direct obligations .....                                | 2,483       | 1,745   | 2,066     |
| 0801 Reimbursable program .....                                    | 3,936       | 2,414   | 1,906     |
| 0900 Total new obligations .....                                   | 6,419       | 4,159   | 3,972     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,673       | 1,200   | 1,380     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 1,673       |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ..... | 52          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 2,665       |         |           |
| 1050 Unobligated balance (total) .....                             | 4,390       | 1,200   | 1,380     |

PROCUREMENT OF AMMUNITION, ARMY—Continued  
Program and Financing—Continued

| Identification code 21–2034–0–1–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 2,093       | 2,254   | 1,540     |
| 1120 Appropriations transferred to other accts [97–9999] .....       | –97         |         |           |
| 1121 Appropriations transferred from other accts [97–9999] .....     | 1           |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –58         | –15     |           |
| 1160 Appropriation, discretionary (total) .....                      | 1,939       | 2,239   | 1,540     |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 1           |         |           |
| 1260 Appropriations, mandatory (total) .....                         | 1           |         |           |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 1,083       | 2,100   | 2,400     |
| 1701 Change in uncollected payments, Federal sources .....           | 215         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 1,298       | 2,100   | 2,400     |
| 1900 Budget authority (total) .....                                  | 3,238       | 4,339   | 3,940     |
| 1930 Total budgetary resources available .....                       | 7,628       | 5,539   | 5,320     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –9          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1,200       | 1,380   | 1,348     |
| Change in obligated balance:   |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 5,546       | 5,545   | 5,456     |
| 3010 Obligations incurred, unexpired accounts .....                  | 6,419       | 4,159   | 3,972     |
| 3011 Obligations incurred, expired accounts .....                    | 29          |         |           |
| 3020 Outlays (gross) .....   | –3,736      | –4,248  | –4,476    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –2,665      |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –48         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 5,545       | 5,456   | 4,952     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –2,806      | –2,697  | –2,697    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –215        |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 324         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –2,697      | –2,697  | –2,697    |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 2,740       | 2,848   | 2,759     |
| 3200 Obligated balance, end of year .....                            | 2,848       | 2,759   | 2,255     |
| Budget authority and outlays, net:                                   |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 3,237       | 4,339   | 3,940     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 284         | 2,189   | 2,462     |
| 4011 Outlays from discretionary balances .....                       | 3,451       | 2,059   | 2,014     |
| 4020 Outlays, gross (total) .....                                    | 3,735       | 4,248   | 4,476     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –1,395      | –2,078  | –2,378    |
| 4033 Non-Federal sources .....                                       | –8          | –22     | –22       |
| 4040 Offsets against gross budget authority and outlays (total) .... | –1,403      | –2,100  | –2,400    |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –215        |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 320         |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | 105         |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 1,939       | 2,239   | 1,540     |
| 4080 Outlays, net (discretionary) .....                              | 2,332       | 2,148   | 2,076     |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 1           |         |           |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 1           |         |           |
| 4180 Budget authority, net (total) .....                             | 1,940       | 2,239   | 1,540     |
| 4190 Outlays, net (total) .....                                      | 2,333       | 2,148   | 2,076     |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 21–2034–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 ARMS Initiative Loan Guarantee Program .....             |             | –1      |           |
| 237999 Total downward reestimate subsidy budget authority ..... |             | –1      |           |

## Object Classification (in millions of dollars)

| Identification code 21–2034–0–1–051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 22.0 Transportation of things .....                      | 5           | 8       | 4         |
| 25.1 Advisory and assistance services .....              | 43          | 15      | 15        |
| 25.2 Other services from non-Federal sources .....       | 5           | 32      | 4         |
| 25.3 Other goods and services from Federal sources ..... | 494         | 150     | 355       |
| 25.3 Purchases from revolving funds .....                | 42          | 36      | 32        |
| 25.4 Operation and maintenance of facilities .....       | 43          | 127     | 32        |
| 26.0 Supplies and materials .....                        | 1,424       | 1,375   | 1,337     |
| 31.0 Equipment .....                                     | 17          | 1       | 11        |
| 32.0 Land and structures .....                           | 410         | 1       | 276       |
| 99.0 Direct obligations .....                            | 2,483       | 1,745   | 2,066     |
| 99.0 Reimbursable obligations .....                      | 3,936       | 2,414   | 1,906     |
| 99.9 Total new obligations .....                         | 6,419       | 4,159   | 3,972     |

## OTHER PROCUREMENT, ARMY

*For construction, procurement, production, and modification of vehicles, including tactical, support, and non-tracked combat vehicles; the purchase of passenger motor vehicles for replacement only; communications and electronic equipment; other support equipment; spare parts, ordnance, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$6,465,218,000, to remain available for obligation until September 30, 2016, of which \$1,690,958,000 shall be available for the Army National Guard and Army Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 21–2035–0–1–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                     |             |         |           |
| 0001 Tactical and support vehicles .....                             | 4,610       | 3,527   | 1,780     |
| 0002 Communications and electronics equipment .....                  | 8,210       | 5,372   | 4,422     |
| 0003 Other support equipment .....                                   | 2,874       | 1,618   | 1,617     |
| 0004 Spare and repair parts .....                                    | 27          | 31      | 43        |
| 0799 Total direct obligations .....                                  | 15,721      | 10,548  | 7,862     |
| 0801 Reimbursable program .....                                      | 151         | 116     | 99        |
| 0900 Total new obligations .....                                     | 15,872      | 10,664  | 7,961     |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 10,181      | 6,436   | 5,418     |
| 1010 Unobligated balance transfer to other accts [97–9999] .....     | –20         |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ....    | 122         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 2,940       |         |           |
| 1050 Unobligated balance (total) .....                               | 13,223      | 6,436   | 5,418     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 9,259       | 9,985   | 6,465     |
| 1121 Appropriations transferred from other accts [97–9999] ....      | 289         |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –497        | –438    |           |
| 1160 Appropriation, discretionary (total) .....                      | 9,051       | 9,547   | 6,465     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 102         | 99      | 99        |
| 1701 Change in uncollected payments, Federal sources .....           | 42          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 144         | 99      | 99        |
| 1900 Budget authority (total) .....                                  | 9,195       | 9,646   | 6,564     |
| 1930 Total budgetary resources available .....                       | 22,418      | 16,082  | 11,982    |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –110        |         |           |



|   |   |         |         |        |
|---|---|---------|---------|--------|
| 1941  | Unobligated balance carried forward, EOY .....                  | 6,436   | 5,418   | 4,021  |
| <b>Change in obligated balance:</b>                     |   |         |         |        |
| Unpaid obligations:                                     |   |         |         |        |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....                | 20,621  | 16,426  | 14,730 |
| 3010  | Obligations incurred, unexpired accounts .....                  | 15,872  | 10,664  | 7,961  |
| 3011  | Obligations incurred, expired accounts .....                    | 449     |         |        |
| 3020  | Outlays (gross) .....   | -17,052 | -12,360 | -7,965 |
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....    | -2,940  |         |        |
| 3041  | Recoveries of prior year unpaid obligations, expired .....      | -524    |         |        |
| 3050  | Unpaid obligations, end of year .....                           | 16,426  | 14,730  | 14,726 |
| Uncollected payments:                                   |   |         |         |        |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -465    | -600    | -600   |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....       | -42     |         |        |
| 3071  | Change in uncollected pymts, Fed sources, expired .....         | -93     |         |        |
| 3090  | Uncollected pymts, Fed sources, end of year .....               | -600    | -600    | -600   |
| Memorandum (non-add) entries:                           |   |         |         |        |
| 3100  | Obligated balance, start of year .....                          | 20,156  | 15,826  | 14,130 |
| 3200  | Obligated balance, end of year .....                            | 15,826  | 14,130  | 14,126 |
| <b>Budget authority and outlays, net:</b>               |   |         |         |        |
| Discretionary:  |   |         |         |        |
| 4000  | Budget authority, gross .....                                   | 9,195   | 9,646   | 6,564  |
| Outlays, gross:   |   |         |         |        |
| 4010  | Outlays from new discretionary authority .....                  | 814     | 862     | 616    |
| 4011  | Outlays from discretionary balances .....                       | 16,238  | 11,498  | 7,349  |
| 4020  | Outlays, gross (total) .....                                    | 17,052  | 12,360  | 7,965  |
| Offsets against gross budget authority and outlays:     |   |         |         |        |
| Offsetting collections (collected) from:                |   |         |         |        |
| 4030  | Federal sources .....   | -113    | -82     | -82    |
| 4033  | Non-Federal sources .....                                       |         | -17     | -17    |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -113    | -99     | -99    |
| Additional offsets against gross budget authority only: |   |         |         |        |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -42     |         |        |
| 4052  | Offsetting collections credited to expired accounts .....       | 11      |         |        |
| 4060  | Additional offsets against budget authority only (total) .....  | -31     |         |        |
| 4070  | Budget authority, net (discretionary) .....                     | 9,051   | 9,547   | 6,465  |
| 4080  | Outlays, net (discretionary) .....                              | 16,939  | 12,261  | 7,866  |
| 4180  | Budget authority, net (total) .....                             | 9,051   | 9,547   | 6,465  |
| 4190  | Outlays, net (total) .....                                      | 16,939  | 12,261  | 7,866  |

**Object Classification** (in millions of dollars)

| Identification code 21–2035–0–1–051 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 21.0                                | Travel and transportation of persons .....                 | 3           | 3       | 2         |
| 22.0                                | Transportation of things .....                             | 305         | 425     | 283       |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1           | 1       | .....     |
| 25.1                                | Advisory and assistance services .....                     | 513         | 108     | 104       |
| 25.2                                | Other services from non-Federal sources .....              | 31          | 35      | 23        |
| 25.3                                | Other goods and services from Federal sources .....        | 1,834       | 1,419   | 950       |
| 25.3                                | Purchases from revolving funds .....                       | 490         | 731     | 480       |
| 26.0                                | Supplies and materials .....                               | 151         | 266     | 160       |
| 31.0                                | Equipment .....  | 12,392      | 7,560   | 5,860     |
| 99.0                                | Direct obligations .....                                   | 15,720      | 10,548  | 7,862     |
| 99.0                                | Reimbursable obligations .....                             | 152         | 116     | 99        |
| 99.9                                | Total new obligations .....                                | 15,872      | 10,664  | 7,961     |

**JOINT IMPROVISED EXPLOSIVE DEVICE DEFEAT FUND**

## (INCLUDING TRANSFER OF FUNDS)

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 21–2093–0–1–051     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Network attack .....               | 1,254       | 559     | 382       |
| 0002 JIEDDO device defeat .....         | 1,192       | 272     | 502       |
| 0003 Force training .....               | 177         | 90      | 95        |

|      |                                |       |       |       |
|------|--------------------------------|-------|-------|-------|
| 0004 | Staff and infrastructure ..... | 562   | 111   | 351   |
| 0900 | Total new obligations .....    | 3,185 | 1,032 | 1,330 |

**Budgetary Resources:**

|                                |   |       |       |       |
|--------------------------------|---|-------|-------|-------|
| Unobligated balance:           |   |       |       |       |
| 1000                           | Unobligated balance brought forward, Oct 1 .....  | 1,200 | 839   | 1,482 |
| 1021                           | Recoveries of prior year unpaid obligations ..... | 382   |       |       |
| 1050                           | Unobligated balance (total) .....                 | 1,582 | 839   | 1,482 |
| Budget authority:              |   |       |       |       |
| Appropriations, discretionary: |   |       |       |       |
| 1100                           | Appropriation .....                               | 2,442 | 1,675 |       |
| 1160                           | Appropriation, discretionary (total) .....        | 2,442 | 1,675 |       |
| 1930                           | Total budgetary resources available .....         | 4,024 | 2,514 | 1,482 |
| Memorandum (non-add) entries:  |   |       |       |       |
| 1941                           | Unexpired unobligated balance, end of year .....  | 839   | 1,482 | 152   |

**Change in obligated balance:**

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations:           |  |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 1,883  | 2,365  | 1,572  |
| 3010                          | Obligations incurred, unexpired accounts .....               | 3,185  | 1,032  | 1,330  |
| 3011                          | Obligations incurred, expired accounts .....                 | 144    |        |        |
| 3020                          | Outlays (gross) .....  | -2,285 | -1,825 | -1,267 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -382   |        |        |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -180   |        |        |
| 3050                          | Unpaid obligations, end of year .....                        | 2,365  | 1,572  | 1,635  |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 1,883  | 2,365  | 1,572  |
| 3200                          | Obligated balance, end of year .....                         | 2,365  | 1,572  | 1,635  |

**Budget authority and outlays, net:**

|                 |  |       |       |       |
|-----------------|--|-------|-------|-------|
| Discretionary:  |  |       |       |       |
| 4000            | Budget authority, gross .....                  | 2,442 | 1,675 |       |
| Outlays, gross: |  |       |       |       |
| 4010            | Outlays from new discretionary authority ..... | 338   | 335   |       |
| 4011            | Outlays from discretionary balances .....      | 1,947 | 1,490 | 1,267 |
| 4020            | Outlays, gross (total) .....                   | 2,285 | 1,825 | 1,267 |
| 4180            | Budget authority, net (total) .....            | 2,442 | 1,675 |       |
| 4190            | Outlays, net (total) .....                     | 2,285 | 1,825 | 1,267 |

Funding provides for the Joint Improvised Explosive Device Defeat Organization's mission capabilities beyond support of current operations in Afghanistan, to include Headquarters Staff and Infrastructure, Science and Technology efforts, and the Joint Center of Excellence.

**Object Classification** (in millions of dollars)

| Identification code 21–2093–0–1–051 | 2012 actual  | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| Personnel compensation:             |  |         |           |
| 11.1                                | Full-time permanent .....                                  | 27      | 32        |
| 11.5                                | Other personnel compensation .....                         | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 28      | 33        |
| 12.1                                | Civilian personnel benefits .....                          | 7       | 8         |
| 21.0                                | Travel and transportation of persons .....                 | 8       |           |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 4       | 63        |
| 25.1                                | Advisory and assistance services .....                     | 1,584   | 196       |
| 25.2                                | Other services from non-Federal sources .....              | 1       | 122       |
| 25.3                                | Other goods and services from Federal sources .....        | 2       | 1         |
| 25.4                                | Operation and maintenance of facilities .....              | 4       |           |
| 25.5                                | Research and development contracts .....                   | 908     | 638       |
| 25.7                                | Operation and maintenance of equipment .....               | 1       | 5         |
| 26.0                                | Supplies and materials .....                               | 3       | 6         |
| 31.0                                | Equipment .....  | 634     | 1,132     |
| 32.0                                | Land and structures .....                                  | 1       | 1         |
| 99.9                                | Total new obligations .....                                | 3,185   | 1,032     |
|                                     |  |         | 1,330     |

**Employment Summary**

| Identification code 21-2093-0-1-051                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 227         | .....   | 454       |

## AIRCRAFT PROCUREMENT, NAVY

*For construction, procurement, production, modification, and modernization of aircraft, equipment, including ordnance, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, \$17,927,651,000, to remain available for obligation until September 30, 2016, of which \$243,988,000 shall be available for the Navy Reserve and Marine Corps Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–1506–0–1–051                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Combat aircraft .....   | 14,762      | 14,202  | 13,385    |
| 0002   | Airlift aircraft .....  | 3           | .....   | .....     |
| 0003   | Trainer aircraft .....  | 227         | 185     | 228       |
| 0004   | Other aircraft .....  | 337         | 347     | 326       |
| 0005   | Modification of aircraft .....                                  | 2,306       | 1,793   | 2,342     |
| 0006   | Aircraft spares and repair parts .....                          | 1,424       | 1,095   | 1,095     |
| 0007   | Aircraft support equipment and facilities .....                 | 437         | 425     | 504       |
| 0799   | Total direct obligations .....                                  | 19,496      | 18,047  | 17,880    |
| 0801   | Reimbursable program .....                                      | 1           | 5       | 5         |
| 0900   | Total new obligations .....                                     | 19,497      | 18,052  | 17,885    |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 7,922       | 7,296   | 7,119     |
| 1010   | Unobligated balance transfer to other accts [97–9999] .....     | –68         | .....   | .....     |
| 1011   | Unobligated balance transfer from other accts [97–9999] .....   | 17          | .....   | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....               | 1,016       | .....   | .....     |
| 1050   | Unobligated balance (total) .....                               | 8,887       | 7,296   | 7,119     |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 18,157      | 17,948  | 17,928    |
| 1120   | Appropriations transferred to other accts [97–9999] .....       | –44         | .....   | .....     |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | –168        | –78     | .....     |
| 1160   | Appropriation, discretionary (total) .....                      | 17,945      | 17,870  | 17,928    |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | .....       | 5       | 5         |
| 1701   | Change in uncollected payments, Federal sources .....           | 1           | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 1           | 5       | 5         |
| 1900   | Budget authority (total) .....                                  | 17,946      | 17,875  | 17,933    |
| 1930   | Total budgetary resources available .....                       | 26,833      | 25,171  | 25,052    |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                              | –40         | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....                | 7,296       | 7,119   | 7,167     |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 21,443      | 23,190  | 24,055    |
| 3010   | Obligations incurred, unexpired accounts .....                  | 19,497      | 18,052  | 17,885    |
| 3011   | Obligations incurred, expired accounts .....                    | 186         | .....   | .....     |
| 3020   | Outlays (gross) .....   | –16,602     | –17,187 | –14,538   |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | –1,016      | .....   | .....     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | –318        | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                           | 23,190      | 24,055  | 27,402    |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | 3           | .....   | .....     |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | –1          | .....   | .....     |
| 3071   | Change in uncollected pymts, Fed sources, expired .....         | –2          | .....   | .....     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 21,446      | 23,190  | 24,055    |
| 3200   | Obligated balance, end of year .....                            | 23,190      | 24,055  | 27,402    |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                   | 17,946      | 17,875  | 17,933    |

|      |   |        |        |        |
|------|---|--------|--------|--------|
|      | Outlays, gross:   |        |        |        |
| 4010 | Outlays from new discretionary authority .....            | 2,424  | 2,328  | 2,336  |
| 4011 | Outlays from discretionary balances .....                 | 14,178 | 14,859 | 12,202 |
| 4020 | Outlays, gross (total) .....                              | 16,602 | 17,187 | 14,538 |
|      | Offsets against gross budget authority and outlays:       |        |        |        |
|      | Offsetting collections (collected) from:                  |        |        |        |
| 4030 | Federal sources .....                                     |        | –5     | –5     |
|      | Additional offsets against gross budget authority only:   |        |        |        |
| 4050 | Change in uncollected pymts, Fed sources, unexpired ..... | –1     |        |        |
| 4070 | Budget authority, net (discretionary) .....               | 17,945 | 17,870 | 17,928 |
| 4080 | Outlays, net (discretionary) .....                        | 16,602 | 17,182 | 14,533 |
| 4180 | Budget authority, net (total) .....                       | 17,945 | 17,870 | 17,928 |
| 4190 | Outlays, net (total) .....                                | 16,602 | 17,182 | 14,533 |

## Object Classification (in millions of dollars)

| Identification code 17–1506–0–1–051 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 22.0                                | Transportation of things .....                         | .....       | .....   | 1         |
| 25.1                                | Advisory and assistance services .....                 | 171         | 169     | 149       |
| 25.2                                | Other services from non-Federal sources .....          | 183         | 183     | 143       |
| 25.3                                | Other goods and services from Federal sources .....    | 78          | 77      | 284       |
| 25.3                                | Purchases from other Govt acct – revolving funds ..... | 1,270       | 1,189   | 1,223     |
| 25.4                                | Operation and maintenance of facilities .....          | .....       | 16      | .....     |
| 26.0                                | Supplies and materials .....                           | 10          | 6       | 29        |
| 31.0                                | Equipment .....  | 17,783      | 16,407  | 16,051    |
| 99.0                                | Direct obligations .....                               | 19,495      | 18,047  | 17,880    |
| 99.0                                | Reimbursable obligations .....                         | 2           | 5       | 5         |
| 99.9                                | Total new obligations .....                            | 19,497      | 18,052  | 17,885    |

## WEAPONS PROCUREMENT, NAVY

*For construction, procurement, production, modification, and modernization of missiles, torpedoes, other weapons, and related support equipment including spare parts, and accessories therefor; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, \$3,122,193,000, to remain available for obligation until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–1507–0–1–051     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Ballistic missiles .....                                      | 1,284       | 1,171   | 1,139     |
| 0002                                    | Other missiles .....  | 1,680       | 1,621   | 1,603     |
| 0003                                    | Torpedoes and related equipment .....                         | 184         | 211     | 270       |
| 0004                                    | Other weapons .....   | 521         | 207     | 198       |
| 0006                                    | Spares and repair parts .....                                 | 59          | 57      | 58        |
| 0799                                    | Total direct obligations .....                                | 3,728       | 3,267   | 3,268     |
| 0801                                    | Reimbursable program .....                                    | 1           | 4       | 4         |
| 0900                                    | Total new obligations .....                                   | 3,729       | 3,271   | 3,272     |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....              | 1,468       | 1,134   | 1,100     |
| 1010                                    | Unobligated balance transfer to other accts [97–9999] .....   | –15         | .....   | .....     |
| 1011                                    | Unobligated balance transfer from other accts [97–9999] ..... | 6           | .....   | .....     |
| 1021                                    | Recoveries of prior year unpaid obligations .....             | 230         | .....   | .....     |
| 1050                                    | Unobligated balance (total) .....                             | 1,689       | 1,134   | 1,100     |
| Budget authority:                       |   |             |         |           |
| Appropriations, discretionary:          |   |             |         |           |
| 1100                                    | Appropriation .....   | 3,266       | 3,267   | 3,122     |
| 1120                                    | Appropriations transferred to other accts [97–9999] .....     | –23         | .....   | .....     |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| 1131                                      | Unobligated balance of appropriations permanently reduced ..... | -34    | -34    | .....  |
| 1160                                      | Appropriation, discretionary (total) .....                      | 3,209  | 3,233  | 3,122  |
| 1700                                      | Spending authority from offsetting collections, discretionary:  |        |        |        |
| 1701                                      | Collected .....   | 1      | 4      | 4      |
| 1701                                      | Change in uncollected payments, Federal sources .....           | -3     | .....  | .....  |
| 1750                                      | Spending auth from offsetting collections, disc (total) .....   | -2     | 4      | 4      |
| 1900                                      | Budget authority (total) .....                                  | 3,207  | 3,237  | 3,126  |
| 1930                                      | Total budgetary resources available .....                       | 4,896  | 4,371  | 4,226  |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 1940                                      | Unobligated balance expiring .....                              | -33    | .....  | .....  |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 1,134  | 1,100  | 954    |
| <b>Change in obligated balance:</b>       |   |        |        |        |
|   | Unpaid obligations:   |        |        |        |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 4,167  | 4,668  | 4,759  |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 3,729  | 3,271  | 3,272  |
| 3011                                      | Obligations incurred, expired accounts .....                    | 39     | .....  | .....  |
| 3020                                      | Outlays (gross) .....   | -2,985 | -3,180 | -3,106 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | -230   | .....  | .....  |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....      | -52    | .....  | .....  |
| 3050                                      | Unpaid obligations, end of year .....                           | 4,668  | 4,759  | 4,925  |
|   | Uncollected payments:   |        |        |        |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | 1      | 1      | 1      |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 3      | .....  | .....  |
| 3071                                      | Change in uncollected pymts, Fed sources, expired .....         | -3     | .....  | .....  |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | 1      | 1      | 1      |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 3100                                      | Obligated balance, start of year .....                          | 4,168  | 4,669  | 4,760  |
| 3200                                      | Obligated balance, end of year .....                            | 4,669  | 4,760  | 4,926  |
| <b>Budget authority and outlays, net:</b> |   |        |        |        |
|   | Discretionary:  |        |        |        |
| 4000                                      | Budget authority, gross .....                                   | 3,207  | 3,237  | 3,126  |
|   | Outlays, gross:   |        |        |        |
| 4010                                      | Outlays from new discretionary authority .....                  | 476    | 554    | 534    |
| 4011                                      | Outlays from discretionary balances .....                       | 2,509  | 2,626  | 2,572  |
| 4020                                      | Outlays, gross (total) .....                                    | 2,985  | 3,180  | 3,106  |
|   | Offsets against gross budget authority and outlays:             |        |        |        |
|   | Offsetting collections (collected) from:                        |        |        |        |
| 4030                                      | Federal sources .....   | -1     | -4     | -4     |
|   | Additional offsets against gross budget authority only:         |        |        |        |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 3      | .....  | .....  |
| 4070                                      | Budget authority, net (discretionary) .....                     | 3,209  | 3,233  | 3,122  |
| 4080                                      | Outlays, net (discretionary) .....                              | 2,984  | 3,176  | 3,102  |
| 4180                                      | Budget authority, net (total) .....                             | 3,209  | 3,233  | 3,122  |
| 4190                                      | Outlays, net (total) .....                                      | 2,984  | 3,176  | 3,102  |

**Object Classification** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 17-1507-0-1-051                      | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                                      |             |         |           |
| 22.0 Transportation of things .....                      | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....              | 15          | 17      | 15        |
| 25.2 Other services from non-Federal sources .....       | 36          | 32      | 14        |
| 25.3 Other goods and services from Federal sources ..... | 94          | 66      | 47        |
| 25.3 Purchases from revolving funds .....                | 249         | 256     | 138       |
| 25.4 Operation and maintenance of facilities .....       | .....       | 1       | .....     |
| 26.0 Supplies and materials .....                        | 852         | 669     | 776       |
| 31.0 Equipment .....                                     | 2,478       | 2,222   | 2,274     |
| 99.0 Direct obligations .....                            | 3,728       | 3,267   | 3,268     |
| 99.0 Reimbursable obligations .....                      | 1           | 4       | 4         |
| 99.9 Total new obligations .....                         | 3,729       | 3,271   | 3,272     |

**PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS**

*For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses*

*necessary for the foregoing purposes, \$589,267,000, to remain available for obligation until September 30, 2016, of which \$206,000 shall be available for the Navy Reserve and Marine Corps Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

|  |  |         |           |
|--|--|---------|-----------|
| Identification code 17-1508-0-1-051                                  | 2012 actual  | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                              |  |         |           |
| 0001 Procurement of ammunition, Navy .....                           | 509  | 416     | 444       |
| 0002 Ammunition, Marine Corps .....                                  | 668  | 402     | 197       |
| 0799 Total direct obligations .....                                  | 1,177  | 818     | 641       |
| 0801 Reimbursable program .....                                      | 9  | 6       | 5         |
| 0900 Total new obligations .....                                     | 1,186  | 824     | 646       |
| <b>Budgetary Resources:</b>  |  |         |           |
|  | Unobligated balance:   |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 361  | 192     | 261       |
| 1010 Unobligated balance transfer to other accts [97-9999] .....     | -60  | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [97-9999] .....   | 16   | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....               | 146  | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 463  | 192     | 261       |
|  | Budget authority:  |         |           |
|  | Appropriations, discretionary:                                 |         |           |
| 1100 Appropriation .....   | 945  | 916     | 589       |
| 1121 Appropriations transferred from other accts [97-9999] .....     | 1  | .....   | .....     |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -28  | -28     | .....     |
| 1160 Appropriation, discretionary (total) .....                      | 918  | 888     | 589       |
|  | Spending authority from offsetting collections, discretionary: |         |           |
| 1700 Collected .....   | 8  | 5       | 5         |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 8  | 5       | 5         |
| 1900 Budget authority (total) .....                                  | 926  | 893     | 594       |
| 1930 Total budgetary resources available .....                       | 1,389  | 1,085   | 855       |
|  | Memorandum (non-add) entries:                                  |         |           |
| 1940 Unobligated balance expiring .....                              | -11  | .....   | .....     |
| 1941 Unobligated balance carried forward, EOY .....                  | 192  | 261     | 209       |

**Change in obligated balance:**

|      |  |        |        |        |
|------|--|--------|--------|--------|
|      | Unpaid obligations:  |        |        |        |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....             | 2,467  | 2,190  | 1,771  |
| 3010 | Obligations incurred, unexpired accounts .....               | 1,186  | 824    | 646    |
| 3011 | Obligations incurred, expired accounts .....                 | 8      | .....  | .....  |
| 3020 | Outlays (gross) .....  | -1,305 | -1,243 | -1,035 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -146   | .....  | .....  |
| 3041 | Recoveries of prior year unpaid obligations, expired .....   | -20    | .....  | .....  |
| 3050 | Unpaid obligations, end of year .....                        | 2,190  | 1,771  | 1,382  |
|      | Memorandum (non-add) entries:                                |        |        |        |
| 3100 | Obligated balance, start of year .....                       | 2,467  | 2,190  | 1,771  |
| 3200 | Obligated balance, end of year .....                         | 2,190  | 1,771  | 1,382  |

**Budget authority and outlays, net:**

|      |  |       |       |       |
|------|--|-------|-------|-------|
|      | Discretionary:   |       |       |       |
| 4000 | Budget authority, gross .....                                    | 926   | 893   | 594   |
|      | Outlays, gross:  |       |       |       |
| 4010 | Outlays from new discretionary authority .....                   | 81    | 85    | 58    |
| 4011 | Outlays from discretionary balances .....                        | 1,224 | 1,158 | 977   |
| 4020 | Outlays, gross (total) .....                                     | 1,305 | 1,243 | 1,035 |
|      | Offsets against gross budget authority and outlays:              |       |       |       |
|      | Offsetting collections (collected) from:                         |       |       |       |
| 4030 | Federal sources .....  | -5    | -5    | -5    |
| 4033 | Non-Federal sources .....  | -3    | ..... | ..... |
| 4040 | Offsets against gross budget authority and outlays (total) ..... | -8    | -5    | -5    |
| 4070 | Budget authority, net (discretionary) .....                      | 918   | 888   | 589   |
| 4080 | Outlays, net (discretionary) .....                               | 1,297 | 1,238 | 1,030 |
| 4180 | Budget authority, net (total) .....                              | 918   | 888   | 589   |
| 4190 | Outlays, net (total) .....                                       | 1,297 | 1,238 | 1,030 |

## PROCUREMENT OF AMMUNITION, NAVY AND MARINE CORPS—Continued

## Object Classification (in millions of dollars)

| Identification code 17–1508–0–1–051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 5           | 4       | 3         |
| 25.2 Other services from non-Federal sources .....       | 2           | 25      | 1         |
| 25.3 Other goods and services from Federal sources ..... | 254         | 192     | 110       |
| 26.0 Supplies and materials .....                        | 867         | 428     | 388       |
| 31.0 Equipment .....                                     | 49          | 169     | 139       |
| 99.0 Direct obligations .....                            | 1,177       | 818     | 641       |
| 99.0 Reimbursable obligations .....                      | 9           | 6       | 5         |
| 99.9 Total new obligations .....                         | 1,186       | 824     | 646       |

## SHIPBUILDING AND CONVERSION, NAVY

*For expenses necessary for the construction, acquisition, or conversion of vessels as authorized by law, including armor and armament thereof, plant equipment, appliances, and machine tools and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; procurement of critical, long lead time components and designs for vessels to be constructed or converted in the future; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, \$15,030,543,000, to remain available for obligation until September 30, 2018: Provided, That of the amounts provided under this heading, \$952,739,000 shall become available on October 1, 2014 for construction of Virginia class submarines and shall remain available until September 30, 2019: Provided further, That additional obligations may be incurred after September 30, 2018, for the amounts made available on October 1, 2013, and after September 30, 2019, for the amounts made available on October 1, 2014, for engineering services, tests, evaluations, and other such budgeted work that must be performed in the final stage of ship construction: Provided further, That none of the funds provided under this heading for the construction or conversion of any naval vessel to be constructed in shipyards in the United States shall be expended in foreign facilities for the construction of major components of such vessel: Provided further, That none of the funds provided under this heading shall be used for the construction of any naval vessel in foreign shipyards.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–1611–0–1–051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:  |             |         |           |
| 0002 Other warships .....   | 10,378      | 10,075  | 11,258    |
| 0003 Amphibious ships .....   | 5,195       | 3,940   | 2,033     |
| 0005 Auxiliaries, craft, and prior-year program costs .....                     | 764         | 547     | 1,167     |
| 0900 Total new obligations .....  | 16,337      | 14,562  | 14,458    |
| Budgetary Resources:  |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 9,289       | 9,357   | 9,805     |
| 1010 Unobligated balance transfer to other accts [97–9999] .....                | –17         |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 281         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 1,610       |         |           |
| 1050 Unobligated balance (total) .....  | 11,163      | 9,357   | 9,805     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 14,919      | 15,010  | 14,078    |
| 1121 Appropriations transferred from other accts [97–9999] .....                | 219         |         |           |
| 1131 Unobligated balance of appropriations permanently reduced .....            | –110        |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 15,028      | 15,010  | 14,078    |
| 1900 Budget authority (total) .....   | 15,028      | 15,010  | 14,078    |
| 1930 Total budgetary resources available .....                                  | 26,191      | 24,367  | 23,883    |

## Memorandum (non-add) entries:

|   |       |       |       |
|---|-------|-------|-------|
| 1940 Unobligated balance expiring .....               | –497  |       |       |
| 1941 Unexpired unobligated balance, end of year ..... | 9,357 | 9,805 | 9,425 |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 26,023  | 28,329  | 29,433  |
| 3010 Obligations incurred, unexpired accounts .....               | 16,337  | 14,562  | 14,458  |
| 3011 Obligations incurred, expired accounts .....                 | 10      |         |         |
| 3020 Outlays (gross) .....  | –12,353 | –13,458 | –12,883 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1,610  |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –78     |         |         |
| 3050 Unpaid obligations, end of year .....                        | 28,329  | 29,433  | 31,008  |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 26,023  | 28,329  | 29,433  |
| 3200 Obligated balance, end of year .....                         | 28,329  | 29,433  | 31,008  |

## Budget authority and outlays, net:

|   |        |        |        |
|---|--------|--------|--------|
| Discretionary:                                      |        |        |        |
| 4000 Budget authority, gross .....                  | 15,028 | 15,010 | 14,078 |
| Outlays, gross:                                     |        |        |        |
| 4010 Outlays from new discretionary authority ..... | 901    | 901    | 845    |
| 4011 Outlays from discretionary balances .....      | 11,452 | 12,557 | 12,038 |
| 4020 Outlays, gross (total) .....                   | 12,353 | 13,458 | 12,883 |
| 4180 Budget authority, net (total) .....            | 15,028 | 15,010 | 14,078 |
| 4190 Outlays, net (total) .....                     | 12,353 | 13,458 | 12,883 |

## Object Classification (in millions of dollars)

| Identification code 17–1611–0–1–051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 22.0 Transportation of things .....                      | 5           | 5       | 5         |
| 25.1 Advisory and assistance services .....              | 161         | 153     | 154       |
| 25.2 Other services from non-Federal sources .....       | 209         | 161     | 181       |
| 25.3 Other goods and services from Federal sources ..... | 92          | 88      | 145       |
| 25.3 Purchases from revolving funds .....                | 738         | 715     | 847       |
| 25.7 Operation and maintenance of equipment .....        | 10          | 10      | 1         |
| 25.8 Subsistence and support of persons .....            | 9           | 9       |           |
| 26.0 Supplies and materials .....                        | 22          | 28      | 59        |
| 31.0 Equipment .....                                     | 15,091      | 13,393  | 13,066    |
| 99.9 Total new obligations .....                         | 16,337      | 14,562  | 14,458    |

## OTHER PROCUREMENT, NAVY

*For procurement, production, and modernization of support equipment and materials not otherwise provided for, Navy ordnance (except ordnance for new aircraft, new ships, and ships authorized for conversion); the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, including the land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway, \$6,310,257,000, to remain available for obligation until September 30, 2016, of which \$8,971,000 shall be available for the Navy Reserve and Marine Corps Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–1810–0–1–051                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                    |             |         |           |
| 0001 Ships support equipment .....                  | 2,407       | 2,382   | 1,941     |
| 0002 Communications and electronics equipment ..... | 2,022       | 1,877   | 2,282     |
| 0003 Aviation support equipment .....               | 470         | 367     | 477       |
| 0004 Ordnance support equipment .....               | 656         | 656     | 787       |
| 0005 Civil engineering support equipment .....      | 150         | 108     | 85        |
| 0006 Supply support equipment .....                 | 78          | 75      | 57        |
| 0007 Personnel and command support equipment .....  | 525         | 471     | 607       |
| 0008 Spares and repair parts .....                  | 217         | 236     | 320       |
| 0799 Total direct obligations .....                 | 6,525       | 6,172   | 6,556     |

|      |                             |       |       |       |
|------|-----------------------------|-------|-------|-------|
| 0801 | Reimbursable program .....  | 139   | 350   | 295   |
| 0900 | Total new obligations ..... | 6,664 | 6,522 | 6,851 |

**Budgetary Resources:**  
Unobligated balance:

|  |   |       |       |       |
|--|---|-------|-------|-------|
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 2,302 | 2,349 | 2,205 |
| 1011   | Unobligated balance transfer from other accts [97–9999] ....    | 108   |       |       |
| 1021   | Recoveries of prior year unpaid obligations .....               | 277   |       |       |
| 1050   | Unobligated balance (total) .....                               | 2,687 | 2,349 | 2,205 |
| Budget authority:  |   |       |       |       |
| Appropriations, discretionary:                                 |   |       |       |       |
| 1100   | Appropriation .....   | 6,250 | 6,149 | 6,310 |
| 1120   | Appropriations transferred to other accts [97–9999] .....       | –58   |       |       |
| 1121   | Appropriations transferred from other accts [97–9999] ....      | 52    |       |       |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | –60   | –60   |       |
| 1160   | Appropriation, discretionary (total) .....                      | 6,184 | 6,089 | 6,310 |
| Spending authority from offsetting collections, discretionary: |   |       |       |       |
| 1700   | Collected .....   | 191   | 289   | 295   |
| 1701   | Change in uncollected payments, Federal sources .....           | –1    |       |       |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 190   | 289   | 295   |
| 1900   | Budget authority (total) .....                                  | 6,374 | 6,378 | 6,605 |
| 1930   | Total budgetary resources available .....                       | 9,061 | 8,727 | 8,810 |
| Memorandum (non-add) entries:                                  |   |       |       |       |
| 1940   | Unobligated balance expiring .....                              | –48   |       |       |
| 1941   | Unexpired unobligated balance, end of year .....                | 2,349 | 2,205 | 1,959 |

**Change in obligated balance:**

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations:           |  |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 6,295  | 6,619  | 7,092  |
| 3010                          | Obligations incurred, unexpired accounts .....               | 6,664  | 6,522  | 6,851  |
| 3011                          | Obligations incurred, expired accounts .....                 | 28     |        |        |
| 3020                          | Outlays (gross) .....  | –5,986 | –6,049 | –6,242 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | –277   |        |        |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | –105   |        |        |
| 3050                          | Unpaid obligations, end of year .....                        | 6,619  | 7,092  | 7,701  |
| Uncollected payments:         |  |        |        |        |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 8      | 7      | 7      |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 1      |        |        |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | –2     |        |        |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | 7      | 7      | 7      |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 6,303  | 6,626  | 7,099  |
| 3200                          | Obligated balance, end of year .....                         | 6,626  | 7,099  | 7,708  |

**Budget authority and outlays, net:**

|   |   |       |       |       |
|---|---|-------|-------|-------|
| Discretionary:  |   |       |       |       |
| 4000  | Budget authority, gross .....                                   | 6,374 | 6,378 | 6,605 |
| Outlays, gross:   |   |       |       |       |
| 4010  | Outlays from new discretionary authority .....                  | 1,240 | 1,507 | 1,557 |
| 4011  | Outlays from discretionary balances .....                       | 4,746 | 4,542 | 4,685 |
| 4020  | Outlays, gross (total) .....                                    | 5,986 | 6,049 | 6,242 |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4030  | Federal sources .....   | –82   | –289  | –295  |
| 4033  | Non-Federal sources .....                                       | –109  |       |       |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –191  | –289  | –295  |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | 1     |       |       |
| 4070  | Budget authority, net (discretionary) .....                     | 6,184 | 6,089 | 6,310 |
| 4080  | Outlays, net (discretionary) .....                              | 5,795 | 5,760 | 5,947 |
| 4180  | Budget authority, net (total) .....                             | 6,184 | 6,089 | 6,310 |
| 4190  | Outlays, net (total) .....                                      | 5,795 | 5,760 | 5,947 |

**Object Classification (in millions of dollars)**

|                                     |   |       |       |       |
|-------------------------------------|---|-------|-------|-------|
| Identification code 17–1810–0–1–051 |   |       |       |       |
| Direct obligations:                 |   |       |       |       |
| 22.0                                | Transportation of things .....                      | 6     | 6     | 4     |
| 25.1                                | Advisory and assistance services .....              | 47    | 43    | 35    |
| 25.2                                | Other services from non-Federal sources .....       | 258   | 236   | 137   |
| 25.3                                | Other goods and services from Federal sources ..... | 682   | 588   | 811   |
| 25.3                                | Purchases from revolving funds .....                | 1,343 | 1,551 | 1,512 |
| 25.8                                | Subsistence and support of persons .....            | 25    | 28    |       |
| 26.0                                | Supplies and materials .....                        | 39    | 59    | 66    |
| 31.0                                | Equipment .....                                     | 4,125 | 3,661 | 3,991 |
| 99.0                                | Direct obligations .....                            | 6,525 | 6,172 | 6,556 |

|      |                                |       |       |       |
|------|--------------------------------|-------|-------|-------|
| 99.0 | Reimbursable obligations ..... | 139   | 350   | 295   |
| 99.9 | Total new obligations .....    | 6,664 | 6,522 | 6,851 |

**COASTAL DEFENSE AUGMENTATION****Program and Financing (in millions of dollars)**

|   |  |             |         |           |
|---|--|-------------|---------|-----------|
| Identification code 17–0380–0–1–051       |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 ..... | 4           | 4       | 4         |
| 1930                                      | Total budgetary resources available .....        | 4           | 4       | 4         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 53          | 53      | 3         |
| 3020                                      | Outlays (gross) .....                            |             | –50     |           |
| 3050                                      | Unpaid obligations, end of year .....            | 53          | 3       | 3         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 53          | 53      | 3         |
| 3200                                      | Obligated balance, end of year .....             | 53          | 3       | 3         |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| Outlays, gross:                           |  |             |         |           |
| 4011                                      | Outlays from discretionary balances .....        |             | 50      |           |
| 4190                                      | Outlays, net (total) .....                       |             | 50      |           |

**PROCUREMENT, MARINE CORPS**

*For expenses necessary for the procurement, manufacture, and modification of missiles, armament, military equipment, spare parts, and accessories therefor; plant equipment, appliances, and machine tools, and installation thereof in public and private plants; reserve plant and Government and contractor-owned equipment layaway; vehicles for the Marine Corps, including the purchase of passenger motor vehicles for replacement only; and expansion of public and private plants, including land necessary therefor, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title, \$1,343,511,000, to remain available for obligation until September 30, 2016, of which \$64,541,000 shall be available for the Marine Corps Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

|   |  |             |         |           |
|---|--|-------------|---------|-----------|
| Identification code 17–1109–0–1–051     |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0002                                    | Weapons and combat vehicles .....                            | 225         | 425     | 250       |
| 0003                                    | Guided missiles and equipment .....                          | 90          | 133     | 114       |
| 0004                                    | Communications and electronics equipment .....               | 1,139       | 1,352   | 861       |
| 0005                                    | Support vehicles .....                                       | 293         | 303     | 158       |
| 0006                                    | Engineer and other equipment .....                           | 1,001       | 765     | 506       |
| 0007                                    | Spare parts .....  | 4           | 1       | 8         |
| 0799                                    | Total direct obligations .....                               | 2,752       | 2,979   | 1,897     |
| 0801                                    | Reimbursable program .....                                   | 36          | 45      | 45        |
| 0900                                    | Total new obligations .....                                  | 2,788       | 3,024   | 1,942     |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....             | 1,925       | 2,046   | 1,442     |
| 1011                                    | Unobligated balance transfer from other accts [97–9999] .... | 65          |         |           |
| 1021                                    | Recoveries of prior year unpaid obligations .....            | 86          |         |           |
| 1050                                    | Unobligated balance (total) .....                            | 2,076       | 2,046   | 1,442     |

PROCUREMENT, MARINE CORPS—Continued  
Program and Financing—Continued

| Identification code 17-1109-0-1-051                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 2,657       | 2,375   | 1,344     |
| 1121 Appropriations transferred from other accts [97-9999] ....     | 100         |         |           |
| 1160 Appropriation, discretionary (total) .....                     | 2,757       | 2,375   | 1,344     |
| Spending authority from offsetting collections, discretionary:      |             |         |           |
| 1700 Collected .....  | 2           | 45      | 45        |
| 1701 Change in uncollected payments, Federal sources .....          | 8           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 10          | 45      | 45        |
| 1900 Budget authority (total) .....                                 | 2,767       | 2,420   | 1,389     |
| 1930 Total budgetary resources available .....                      | 4,843       | 4,466   | 2,831     |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1940 Unobligated balance expiring .....                             | -9          |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 2,046       | 1,442   | 889       |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 3,274       | 2,868   | 3,282     |
| 3010 Obligations incurred, unexpired accounts .....                 | 2,788       | 3,024   | 1,942     |
| 3011 Obligations incurred, expired accounts .....                   | 9           |         |           |
| 3020 Outlays (gross) .....  | -3,051      | -2,610  | -2,274    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | -86         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | -66         |         |           |
| 3050 Unpaid obligations, end of year .....                          | 2,868       | 3,282   | 2,950     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | 8           | -4      | -4        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | -8          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | -4          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | -4          | -4      | -4        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 3,282       | 2,864   | 3,278     |
| 3200 Obligated balance, end of year .....                           | 2,864       | 3,278   | 2,946     |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 2,767       | 2,420   | 1,389     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 277         | 330     | 206       |
| 4011 Outlays from discretionary balances .....                      | 2,774       | 2,280   | 2,068     |
| 4020 Outlays, gross (total) .....                                   | 3,051       | 2,610   | 2,274     |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | -3          | -45     | -45       |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -8          |         |           |
| 4052 Offsetting collections credited to expired accounts .....      | 1           |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | -7          |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 2,757       | 2,375   | 1,344     |
| 4080 Outlays, net (discretionary) .....                             | 3,048       | 2,565   | 2,229     |
| 4180 Budget authority, net (total) .....                            | 2,757       | 2,375   | 1,344     |
| 4190 Outlays, net (total) .....                                     | 3,048       | 2,565   | 2,229     |

## Object Classification (in millions of dollars)

| Identification code 17-1109-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 21.0 Travel and transportation of persons .....                 |             | 1       |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       |           |
| 25.1 Advisory and assistance services .....                     | 90          | 38      | 31        |
| 25.2 Other services from non-Federal sources .....              | 23          | 3       | 4         |
| 25.3 Other goods and services from Federal sources .....        | 87          | 27      | 52        |
| 25.3 Purchases from revolving funds .....                       | 149         | 45      | 170       |
| 25.7 Operation and maintenance of equipment .....               | 1           | 2       |           |
| 26.0 Supplies and materials .....                               | 111         | 1       | 41        |
| 31.0 Equipment .....  | 2,291       | 2,861   | 1,599     |
| 99.0 Direct obligations .....                                   | 2,752       | 2,979   | 1,897     |
| 99.0 Reimbursable obligations .....                             | 36          | 45      | 45        |
| 99.9 Total new obligations .....                                | 2,788       | 3,024   | 1,942     |

AIRCRAFT PROCUREMENT, AIR FORCE  
(INCLUDING TRANSFER OF FUNDS)

*For construction, procurement, and modification of aircraft and equipment, including armor and armament, specialized ground handling equipment, and training devices, spare parts, and accessories therefor; specialized equipment; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, \$11,398,901,000, to remain available for obligation until September 30, 2016, of which \$958,403,000 shall be available for the Air National Guard and Air Force Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 57-3010-0-1-051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Combat aircraft .....   | 2,567       | 5,494   | 4,018     |
| 0002 Airlift aircraft .....  | 2,342       | 1,730   | 1,901     |
| 0003 Trainer aircraft .....  | 1           | 1       | 1         |
| 0004 Other aircraft .....  | 1,922       | 2,196   | 1,397     |
| 0005 Modification of inservice aircraft .....                        | 3,736       | 3,966   | 3,533     |
| 0006 Aircraft spares and repair parts .....                          | 634         | 1,033   | 757       |
| 0007 Aircraft support equipment and facilities .....                 | 1,874       | 1,424   | 1,295     |
| 0799 Total direct obligations .....                                  | 13,076      | 15,844  | 12,902    |
| 0801 Reimbursable program .....                                      | 47          | 586     | 550       |
| 0900 Total new obligations .....                                     | 13,123      | 16,430  | 13,452    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 14,397      | 14,876  | 12,045    |
| 1010 Unobligated balance transfer to other accts [97-9999] .....     | -87         |         |           |
| 1011 Unobligated balance transfer from other accts [97-9999] .....   | 165         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 134         |         |           |
| 1050 Unobligated balance (total) .....                               | 14,609      | 14,876  | 12,045    |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 14,186      | 13,269  | 11,399    |
| 1120 Appropriations transferred to other accts [97-9999] .....       | -226        |         |           |
| 1120 Appropriations transferred to other accts [70-0613] .....       | -64         |         |           |
| 1121 Appropriations transferred from other accts [97-9999] .....     | 46          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -253        | -220    |           |
| 1160 Appropriation, discretionary (total) .....                      | 13,689      | 13,049  | 11,399    |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 66          | 550     | 550       |
| 1701 Change in uncollected payments, Federal sources .....           | 44          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 110         | 550     | 550       |
| 1900 Budget authority (total) .....                                  | 13,799      | 13,599  | 11,949    |
| 1930 Total budgetary resources available .....                       | 28,408      | 28,475  | 23,994    |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -409        |         |           |
| 1941 Unobligated balance carried forward, EOY .....                  | 14,876      | 12,045  | 10,542    |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 18,720  | 18,170  | 20,168  |
| 3010 Obligations incurred, unexpired accounts .....               | 13,123  | 16,430  | 13,452  |
| 3011 Obligations incurred, expired accounts .....                 | 48      |         |         |
| 3020 Outlays (gross) .....  | -13,273 | -14,432 | -11,459 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -134    |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -314    |         |         |
| 3050 Unpaid obligations, end of year .....                        | 18,170  | 20,168  | 22,161  |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 20      | -26     | -26     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -44     |         |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | -2      |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -26     | -26     | -26     |

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year ..... | 18,740 | 18,144 | 20,142 |
| 3200                          | Obligated balance, end of year .....   | 18,144 | 20,142 | 22,135 |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| <b>Budget authority and outlays, net:</b>               |   |        |        |        |
| Discretionary:  |   |        |        |        |
| 4000  | Budget authority, gross .....                                   | 13,799 | 13,599 | 11,949 |
| Outlays, gross:   |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 1,196  | 1,463  | 1,348  |
| 4011  | Outlays from discretionary balances .....                       | 12,075 | 12,969 | 10,111 |
| 4020  | Outlays, gross (total) .....                                    | 13,271 | 14,432 | 11,459 |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4030  | Federal sources .....   | -63    | -550   | -550   |
| 4033  | Non-Federal sources .....                                       | -13    |        |        |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -76    | -550   | -550   |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -44    |        |        |
| 4052  | Offsetting collections credited to expired accounts .....       | 10     |        |        |
| 4060  | Additional offsets against budget authority only (total) .....  | -34    |        |        |
| 4070  | Budget authority, net (discretionary) .....                     | 13,689 | 13,049 | 11,399 |
| 4080  | Outlays, net (discretionary) .....                              | 13,195 | 13,882 | 10,909 |
| Mandatory:  |   |        |        |        |
| Outlays, gross:   |   |        |        |        |
| 4101  | Outlays from mandatory balances .....                           | 2      |        |        |
| 4180  | Budget authority, net (total) .....                             | 13,689 | 13,049 | 11,399 |
| 4190  | Outlays, net (total) .....                                      | 13,197 | 13,882 | 10,909 |

**Object Classification** (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 57-3010-0-1-051 |  | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |  |             |         |           |
| 25.1                                | Advisory and assistance services ..... | 78          | 78      | 68        |
| 31.0                                | Equipment .....                        | 12,999      | 15,766  | 12,834    |
| 99.0                                | Direct obligations .....               | 13,077      | 15,844  | 12,902    |
| 99.0                                | Reimbursable obligations .....         | 46          | 586     | 550       |
| 99.9                                | Total new obligations .....            | 13,123      | 16,430  | 13,452    |

**MISSILE PROCUREMENT, AIR FORCE**

*For construction, procurement, and modification of missiles, spacecraft, rockets, and related equipment, including spare parts and accessories therefor, ground handling equipment, and training devices; expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes including rents and transportation of things, \$5,343,286,000, to remain available for obligation until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

|   |   |             |         |           |
|---|---|-------------|---------|-----------|
| Identification code 57-3020-0-1-051     |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Ballistic missiles .....                  | 56          | 84      | 52        |
| 0002                                    | Other missiles .....                      | 731         | 548     | 731       |
| 0003                                    | Modification of in-service missiles ..... | 162         | 201     | 85        |
| 0004                                    | Spares and repair parts .....             | 70          | 46      | 62        |
| 0005                                    | Other support .....                       | 4,724       | 5,146   | 4,604     |
| 0799                                    | Total direct obligations .....            | 5,743       | 6,025   | 5,534     |
| 0801                                    | Reimbursable program .....                | 44          | 275     | 275       |
| 0900                                    | Total new obligations .....               | 5,787       | 6,300   | 5,809     |

**Budgetary Resources:**

|  |   |       |       |       |
|--|---|-------|-------|-------|
| Unobligated balance:   |   |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 2,678 | 2,676 | 2,596 |
| 1010   | Unobligated balance transfer to other accts [97-9999] .....     | -42   |       |       |
| 1011   | Unobligated balance transfer from other accts [97-9999] ....    | 69    |       |       |
| 1021   | Recoveries of prior year unpaid obligations .....               | 40    |       |       |
| 1050   | Unobligated balance (total) .....                               | 2,745 | 2,676 | 2,596 |
| Budget authority:  |   |       |       |       |
| Appropriations, discretionary:                                 |   |       |       |       |
| 1100   | Appropriation .....   | 6,122 | 6,139 | 5,343 |
| 1120   | Appropriations transferred to other accts [97-9999] .....       | -87   |       |       |
| 1130   | Appropriations permanently reduced .....                        | -13   |       |       |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | -198  | -194  |       |
| 1160   | Appropriation, discretionary (total) .....                      | 5,824 | 5,945 | 5,343 |
| Spending authority from offsetting collections, discretionary: |   |       |       |       |
| 1700   | Collected .....   | 48    | 275   | 275   |
| 1701   | Change in uncollected payments, Federal sources .....           | -1    |       |       |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 47    | 275   | 275   |
| 1900   | Budget authority (total) .....                                  | 5,871 | 6,220 | 5,618 |
| 1930   | Total budgetary resources available .....                       | 8,616 | 8,896 | 8,214 |
| Memorandum (non-add) entries:                                  |   |       |       |       |
| 1940   | Unobligated balance expiring .....                              | -153  |       |       |
| 1941   | Unexpired unobligated balance, end of year .....                | 2,676 | 2,596 | 2,405 |

**Change in obligated balance:**

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations:           |  |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 6,587  | 7,640  | 8,614  |
| 3010                          | Obligations incurred, unexpired accounts .....               | 5,787  | 6,300  | 5,809  |
| 3011                          | Obligations incurred, expired accounts .....                 | 29     |        |        |
| 3020                          | Outlays (gross) .....  | -4,611 | -5,326 | -5,448 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -40    |        |        |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -112   |        |        |
| 3050                          | Unpaid obligations, end of year .....                        | 7,640  | 8,614  | 8,975  |
| Uncollected payments:         |  |        |        |        |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -5     | -4     | -4     |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 1      |        |        |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -4     | -4     | -4     |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 6,582  | 7,636  | 8,610  |
| 3200                          | Obligated balance, end of year .....                         | 7,636  | 8,610  | 8,971  |

**Budget authority and outlays, net:**

|   |   |       |       |       |
|---|---|-------|-------|-------|
| Discretionary:  |   |       |       |       |
| 4000  | Budget authority, gross .....                                   | 5,871 | 6,220 | 5,618 |
| Outlays, gross:   |   |       |       |       |
| 4010  | Outlays from new discretionary authority .....                  | 1,203 | 1,464 | 1,344 |
| 4011  | Outlays from discretionary balances .....                       | 3,403 | 3,862 | 4,104 |
| 4020  | Outlays, gross (total) .....                                    | 4,606 | 5,326 | 5,448 |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4030  | Federal sources .....   | -28   | -275  | -275  |
| 4033  | Non-Federal sources .....                                       | -23   |       |       |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -51   | -275  | -275  |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | 1     |       |       |
| 4052  | Offsetting collections credited to expired accounts .....       | 3     |       |       |
| 4060  | Additional offsets against budget authority only (total) .....  | 4     |       |       |
| 4070  | Budget authority, net (discretionary) .....                     | 5,824 | 5,945 | 5,343 |
| 4080  | Outlays, net (discretionary) .....                              | 4,555 | 5,051 | 5,173 |
| Mandatory:  |   |       |       |       |
| Outlays, gross:   |   |       |       |       |
| 4101  | Outlays from mandatory balances .....                           | 5     |       |       |
| 4180  | Budget authority, net (total) .....                             | 5,824 | 5,945 | 5,343 |
| 4190  | Outlays, net (total) .....                                      | 4,560 | 5,051 | 5,173 |

**Object Classification** (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 57-3020-0-1-051 |  | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |  |             |         |           |
| 25.1                                | Advisory and assistance services ..... | 174         | 174     | 152       |
| 31.0                                | Equipment .....                        | 5,569       | 5,850   | 5,382     |
| 99.0                                | Direct obligations .....               | 5,743       | 6,024   | 5,534     |
| 99.0                                | Reimbursable obligations .....         | 44          | 276     | 275       |

### MISSILE PROCUREMENT, AIR FORCE—Continued

#### Object Classification—Continued

| Identification code 57–3020–0–1–051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 5,787       | 6,300   | 5,809     |

### PROCUREMENT OF AMMUNITION, AIR FORCE

*For construction, procurement, production, and modification of ammunition, and accessories therefor; specialized equipment and training devices; expansion of public and private plants, including ammunition facilities, authorized by section 2854 of title 10, United States Code, and the land necessary therefor, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; and procurement and installation of equipment, appliances, and machine tools in public and private plants; reserve plant and Government and contractor-owned equipment layaway; and other expenses necessary for the foregoing purposes, \$759,442,000, to remain available for obligation until September 30, 2016, of which, \$24,625,000 shall be available for the Air National Guard and Air Force Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 57–3011–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Ammunition .....  | 874         | 575     | 687       |
| 0002 Weapons .....   | 16          | 19      | 22        |
| 0799 Total direct obligations .....                                | 890         | 594     | 709       |
| 0801 Reimbursable program .....                                    | 9           | 86      | 29        |
| 0900 Total new obligations .....                                   | 899         | 680     | 738       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 415         | 231     | 229       |
| 1011 Unobligated balance transfer from other accts [97–9999] ....  | 47          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 20          |         |           |
| 1050 Unobligated balance (total) .....                             | 482         | 231     | 229       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 608         | 618     | 759       |
| 1160 Appropriation, discretionary (total) .....                    | 608         | 618     | 759       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 38          | 60      | 29        |
| 1701 Change in uncollected payments, Federal sources .....         | 4           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 42          | 60      | 29        |
| 1900 Budget authority (total) .....                                | 650         | 678     | 788       |
| 1930 Total budgetary resources available .....                     | 1,132       | 909     | 1,017     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 231         | 229     | 279       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1,783       | 1,704   | 1,454     |
| 3010 Obligations incurred, unexpired accounts .....                | 899         | 680     | 738       |
| 3011 Obligations incurred, expired accounts .....                  | 2           |         |           |
| 3020 Outlays (gross) .....   | –949        | –930    | –787      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –20         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –11         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 1,704       | 1,454   | 1,405     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –4          | –8      | –8        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –4          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –8          | –8      | –8        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1,779       | 1,696   | 1,446     |
| 3200 Obligated balance, end of year .....                          | 1,696       | 1,446   | 1,397     |

#### Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 650 | 678 | 788 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 11  | 66  | 37  |
| 4011 Outlays from discretionary balances .....                 | 938 | 864 | 750 |
| 4020 Outlays, gross (total) .....                              | 949 | 930 | 787 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | –38 | –60 | –29 |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | –4  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 608 | 618 | 759 |
| 4080 Outlays, net (discretionary) .....                        | 911 | 870 | 758 |
| 4180 Budget authority, net (total) .....                       | 608 | 618 | 759 |
| 4190 Outlays, net (total) .....                                | 911 | 870 | 758 |

#### Object Classification (in millions of dollars)

| Identification code 57–3011–0–1–051         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                  |             |         |           |
| 25.1 Advisory and assistance services ..... | 3           | 3       | 2         |
| 31.0 Equipment .....                        | 887         | 591     | 707       |
| 99.0 Direct obligations .....               | 890         | 594     | 709       |
| 99.0 Reimbursable obligations .....         | 9           | 86      | 29        |
| 99.9 Total new obligations .....            | 899         | 680     | 738       |

### OTHER PROCUREMENT, AIR FORCE

*For procurement and modification of equipment (including ground guidance and electronic control equipment, and ground electronic and communication equipment), and supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; lease of passenger motor vehicles; and expansion of public and private plants, Government-owned equipment and installation thereof in such plants, erection of structures, and acquisition of land, for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon, prior to approval of title; reserve plant and Government and contractor-owned equipment layaway, \$16,760,581,000, to remain available for obligation until September 30, 2016, of which \$82,160,000 shall be available for the Air National Guard and Air Force Reserve.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 57–3080–0–1–051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 Vehicular equipment .....                                    | 259         | 209     | 107       |
| 0003 Electronics and telecommunications equipment .....           | 1,579       | 2,270   | 1,470     |
| 0004 Other base maintenance and support equipment .....           | 23,555      | 16,274  | 15,484    |
| 0005 Spare and repair parts .....                                 | 21          | 27      | 25        |
| 0799 Total direct obligations .....                               | 25,414      | 18,780  | 17,086    |
| 0801 Reimbursable program .....                                   | 336         | 576     | 650       |
| 0900 Total new obligations .....                                  | 25,750      | 19,356  | 17,736    |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 7,985       | 3,024   | 4,464     |
| 1010 Unobligated balance transfer to other accts [97–9999] .....  | –48         |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] .... | 27          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 97          |         |           |
| 1050 Unobligated balance (total) .....                            | 8,061       | 3,024   | 4,464     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 20,492      | 20,324  | 16,761    |
| 1120 Appropriations transferred to other accts [97–9999] .....    | –101        |         |           |
| 1121 Appropriations transferred from other accts [97–9999] ....   | 52          |         |           |
| 1130 Appropriations permanently reduced .....                     | –4          |         |           |



|   |  |         |         |         |
|---|--|---------|---------|---------|
| 1131  | Unobligated balance of appropriations permanently reduced .....                | -67     | -53     | .....   |
| 1160  | Appropriation, discretionary (total) .....                                     | 20,372  | 20,271  | 16,761  |
| 1700  | Spending authority from offsetting collections, discretionary: Collected ..... | 382     | 525     | 650     |
| 1701  | Change in uncollected payments, Federal sources .....                          | 19      | .....   | .....   |
| 1750  | Spending auth from offsetting collections, disc (total) .....                  | 401     | 525     | 650     |
| 1900  | Budget authority (total) .....   | 20,773  | 20,796  | 17,411  |
| 1930  | Total budgetary resources available .....                                      | 28,834  | 23,820  | 21,875  |
| Memorandum (non-add) entries:                           |  |         |         |         |
| 1940  | Unobligated balance expiring .....   | -60     | .....   | .....   |
| 1941  | Unobligated balance carried forward, EOY .....                                 | 3,024   | 4,464   | 4,139   |
| <b>Change in obligated balance:</b>                     |  |         |         |         |
| Unpaid obligations:                                     |  |         |         |         |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....                               | 3,937   | 8,304   | 7,710   |
| 3010  | Obligations incurred, unexpired accounts .....                                 | 25,750  | 19,356  | 17,736  |
| 3011  | Change in uncollected pymts, Fed sources, unexpired .....                      | 37      | .....   | .....   |
| 3020  | Outlays (gross) .....  | -21,248 | -19,950 | -16,834 |
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....                   | -97     | .....   | .....   |
| 3041  | Recoveries of prior year unpaid obligations, expired .....                     | -75     | .....   | .....   |
| 3050  | Unpaid obligations, end of year .....  | 8,304   | 7,710   | 8,612   |
| Uncollected payments:                                   |  |         |         |         |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                   | 9       | -5      | -5      |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....                      | -19     | .....   | .....   |
| 3071  | Change in uncollected pymts, Fed sources, expired .....                        | 5       | .....   | .....   |
| 3090  | Uncollected pymts, Fed sources, end of year .....                              | -5      | -5      | -5      |
| Memorandum (non-add) entries:                           |  |         |         |         |
| 3100  | Obligated balance, start of year .....   | 3,946   | 8,299   | 7,705   |
| 3200  | Obligated balance, end of year .....   | 8,299   | 7,705   | 8,607   |
| <b>Budget authority and outlays, net:</b>               |  |         |         |         |
| Discretionary:  |  |         |         |         |
| 4000  | Budget authority, gross .....  | 20,773  | 20,796  | 17,411  |
| Outlays, gross:   |  |         |         |         |
| 4010  | Outlays from new discretionary authority .....                                 | 13,098  | 12,688  | 9,873   |
| 4011  | Outlays from discretionary balances .....                                      | 8,150   | 7,262   | 6,961   |
| 4020  | Outlays, gross (total) .....   | 21,248  | 19,950  | 16,834  |
| Offsets against gross budget authority and outlays:     |  |         |         |         |
| Offsetting collections (collected) from:                |  |         |         |         |
| 4030  | Federal sources .....  | -380    | -525    | -650    |
| 4033  | Non-Federal sources .....  | -24     | .....   | .....   |
| 4040  | Offsets against gross budget authority and outlays (total) ....                | -404    | -525    | -650    |
| Additional offsets against gross budget authority only: |  |         |         |         |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....                      | -19     | .....   | .....   |
| 4052  | Offsetting collections credited to expired accounts .....                      | 22      | .....   | .....   |
| 4060  | Additional offsets against budget authority only (total) .....                 | 3       | .....   | .....   |
| 4070  | Budget authority, net (discretionary) .....                                    | 20,372  | 20,271  | 16,761  |
| 4080  | Outlays, net (discretionary) .....   | 20,844  | 19,425  | 16,184  |
| 4180  | Budget authority, net (total) .....  | 20,372  | 20,271  | 16,761  |
| 4190  | Outlays, net (total) .....   | 20,844  | 19,425  | 16,184  |

**Object Classification** (in millions of dollars)

| Identification code 57-3080-0-1-051 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 25.1                                | Advisory and assistance services ..... | 180         | 180     | 162       |
| 31.0                                | Equipment .....                        | 25,234      | 18,600  | 16,924    |
| 99.0                                | Direct obligations .....               | 25,414      | 18,780  | 17,086    |
| 99.0                                | Reimbursable obligations .....         | 336         | 576     | 650       |
| 99.9                                | Total new obligations .....            | 25,750      | 19,356  | 17,736    |

**PROCUREMENT, DEFENSE-WIDE**

*For expenses of activities and agencies of the Department of Defense (other than the military departments) necessary for procurement, production, and modification of equipment, supplies, materials, and spare parts therefor, not otherwise provided for; the purchase of passenger motor vehicles for replacement only; expansion of public and private plants, equipment, and installation thereof in such plants, erection of structures, and acquisition of land for the foregoing purposes, and such lands and interests therein, may be acquired, and construction prosecuted thereon prior to approval of title; reserve plant and Government and contractor-*

*owned equipment layaway, \$4,534,083,000, to remain available for obligation until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97-0300-0-1-051                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| Obligations by program activity:                               |   |             |         |           |
| 0001   | Major equipment .....   | 3,292       | 2,217   | 2,692     |
| 0002   | Special Operations Command .....                                | 2,533       | 1,987   | 1,715     |
| 0003   | Chemical/biological defense .....                               | 354         | 186     | 247       |
| 0799   | Total direct obligations .....                                  | 6,179       | 4,390   | 4,654     |
| 0801   | Reimbursable program .....                                      | 380         | 548     | 515       |
| 0900   | Total new obligations .....                                     | 6,559       | 4,938   | 5,169     |
| Budgetary Resources:   |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 2,266       | 1,363   | 2,061     |
| 1010   | Unobligated balance transfer to other accts [97-9999] .....     | -444        | .....   | .....     |
| 1011   | Unobligated balance transfer from other accts [97-9999] ....    | 427         | .....   | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....               | 279         | .....   | .....     |
| 1050   | Unobligated balance (total) .....                               | 2,528       | 1,363   | 2,061     |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 5,314       | 5,134   | 4,534     |
| 1120   | Appropriations transferred to other accts [97-9999] .....       | -291        | .....   | .....     |
| 1121   | Appropriations transferred from other accts [97-9999] ....      | 71          | .....   | .....     |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | -5          | -4      | .....     |
| 1160   | Appropriation, discretionary (total) .....                      | 5,089       | 5,130   | 4,534     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 212         | 506     | 660       |
| 1701   | Change in uncollected payments, Federal sources .....           | 191         | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 403         | 506     | 660       |
| 1900   | Budget authority (total) .....                                  | 5,492       | 5,636   | 5,194     |
| 1930   | Total budgetary resources available .....                       | 8,020       | 6,999   | 7,255     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                              | -98         | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....                | 1,363       | 2,061   | 2,086     |
| Change in obligated balance:                                   |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 4,868       | 5,828   | 5,321     |
| 3010   | Obligations incurred, unexpired accounts .....                  | 6,559       | 4,938   | 5,169     |
| 3011   | Obligations incurred, expired accounts .....                    | 81          | .....   | .....     |
| 3020   | Outlays (gross) .....   | -5,239      | -5,445  | -5,441    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | -279        | .....   | .....     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | -162        | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                           | 5,828       | 5,321   | 5,049     |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -284        | -477    | -477      |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | -191        | .....   | .....     |
| 3071   | Change in uncollected pymts, Fed sources, expired .....         | -2          | .....   | .....     |
| 3090   | Uncollected pymts, Fed sources, end of year .....               | -477        | -477    | -477      |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 4,584       | 5,351   | 4,844     |
| 3200   | Obligated balance, end of year .....                            | 5,351       | 4,844   | 4,572     |

**PROCUREMENT, DEFENSE-WIDE—Continued**  
**Program and Financing—Continued**

| Identification code 97-0300-0-1-051                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4060 Additional offsets against budget authority only (total) ..... | -182        |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 5,089       | 5,130   | 4,534     |
| 4080 Outlays, net (discretionary) .....                             | 5,018       | 4,939   | 4,781     |
| 4180 Budget authority, net (total) .....                            | 5,089       | 5,130   | 4,534     |
| 4190 Outlays, net (total) .....                                     | 5,018       | 4,939   | 4,781     |

**Object Classification (in millions of dollars)**

| Identification code 97-0300-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.0 Communications, utilities, and miscellaneous charges ..... | 3           | 3       | 2         |
| 25.1 Advisory and assistance services .....                     | 78          | 59      | 50        |
| 25.2 Other services from non-Federal sources .....              | 107         | 23      | 13        |
| 25.3 Other goods and services from Federal sources .....        | 141         | 147     | 50        |
| 25.5 Research and development contracts .....                   | 37          | 1       |           |
| 25.7 Operation and maintenance of equipment .....               | 66          | 24      | 4         |
| 26.0 Supplies and materials .....                               | 97          | 96      | 28        |
| 31.0 Equipment .....  | 5,605       | 3,999   | 4,482     |
| 41.0 Grants, subsidies, and contributions .....                 | 42          | 36      | 23        |
| 99.0 Direct obligations .....                                   | 6,178       | 4,390   | 4,654     |
| 99.0 Reimbursable obligations .....                             | 381         | 548     | 515       |
| 99.9 Total new obligations .....                                | 6,559       | 4,938   | 5,169     |

**NATIONAL GUARD AND RESERVE EQUIPMENT**

**Program and Financing (in millions of dollars)**

| Identification code 97-0350-0-1-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Reserve equipment .....                         | 330         | 195     | 95        |
| 0002 National Guard equipment .....                  | 858         | 147     | 76        |
| 0900 Total new obligations (object class 31.0) ..... | 1,188       | 342     | 171       |

|   |       |     |     |
|---|-------|-----|-----|
| <b>Budgetary Resources:</b>                                       |       |     |     |
| Unobligated balance:  |       |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 519   | 513 | 171 |
| 1010 Unobligated balance transfer to other accts [97-9999] .....  | -5    |     |     |
| 1011 Unobligated balance transfer from other accts [97-9999] .... | 13    |     |     |
| 1021 Recoveries of prior year unpaid obligations .....            | 175   |     |     |
| 1050 Unobligated balance (total) .....                            | 702   | 513 | 171 |
| Budget authority:   |       |     |     |
| Appropriations, discretionary:                                    |       |     |     |
| 1100 Appropriation .....  | 1,000 |     |     |
| 1160 Appropriation, discretionary (total) .....                   | 1,000 |     |     |
| 1930 Total budgetary resources available .....                    | 1,702 | 513 | 171 |
| Memorandum (non-add) entries:                                     |       |     |     |
| 1940 Unobligated balance expiring .....                           | -1    |     |     |
| 1941 Unexpired unobligated balance, end of year .....             | 513   | 171 |     |

|   |        |        |       |
|---|--------|--------|-------|
| <b>Change in obligated balance:</b>                               |        |        |       |
| Unpaid obligations:   |        |        |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,306  | 1,843  | 1,096 |
| 3010 Obligations incurred, unexpired accounts .....               | 1,188  | 342    | 171   |
| 3011 Obligations incurred, expired accounts .....                 | 121    |        |       |
| 3020 Outlays (gross) .....  | -1,465 | -1,089 | -762  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -175   |        |       |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -132   |        |       |
| 3050 Unpaid obligations, end of year .....                        | 1,843  | 1,096  | 505   |
| Memorandum (non-add) entries:                                     |        |        |       |
| 3100 Obligated balance, start of year .....                       | 2,306  | 1,843  | 1,096 |
| 3200 Obligated balance, end of year .....                         | 1,843  | 1,096  | 505   |

|   |       |  |  |
|---|-------|--|--|
| <b>Budget authority and outlays, net:</b>           |       |  |  |
| Discretionary:                                      |       |  |  |
| 4000 Budget authority, gross .....                  | 1,000 |  |  |
| Outlays, gross:                                     |       |  |  |
| 4010 Outlays from new discretionary authority ..... | 19    |  |  |

|  |       |       |     |
|--|-------|-------|-----|
| 4011 Outlays from discretionary balances ..... | 1,446 | 1,089 | 762 |
| 4020 Outlays, gross (total) .....              | 1,465 | 1,089 | 762 |
| 4180 Budget authority, net (total) .....       | 1,000 |       |     |
| 4190 Outlays, net (total) .....                | 1,465 | 1,089 | 762 |

**DEFENSE PRODUCTION ACT PURCHASES**

*For activities by the Department of Defense pursuant to sections 108, 301, 302, and 303 of the Defense Production Act of 1950 (50 U.S.C. App. 2078, 2091, 2092, and 2093), \$25,135,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 97-0360-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Defense Production Act purchases .....                     | 40          | 171     | 25        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 67          | 203     | 203       |
| 1021 Recoveries of prior year unpaid obligations .....          | 1           |         |           |
| 1050 Unobligated balance (total) .....                          | 68          | 203     | 203       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 170         | 171     | 25        |
| 1121 Appropriations transferred from other accts [97-9999] .... | 5           |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 175         | 171     | 25        |
| 1930 Total budgetary resources available .....                  | 243         | 374     | 228       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 203         | 203     | 203       |

|   |     |      |     |
|---|-----|------|-----|
| <b>Change in obligated balance:</b>                               |     |      |     |
| Unpaid obligations:   |     |      |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 106 | 69   | 128 |
| 3010 Obligations incurred, unexpired accounts .....               | 40  | 171  | 25  |
| 3020 Outlays (gross) .....  | -76 | -112 | -97 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  |      |     |
| 3050 Unpaid obligations, end of year .....                        | 69  | 128  | 56  |
| Memorandum (non-add) entries:                                     |     |      |     |
| 3100 Obligated balance, start of year .....                       | 106 | 69   | 128 |
| 3200 Obligated balance, end of year .....                         | 69  | 128  | 56  |

|   |     |     |    |
|---|-----|-----|----|
| <b>Budget authority and outlays, net:</b>           |     |     |    |
| Discretionary:                                      |     |     |    |
| 4000 Budget authority, gross .....                  | 175 | 171 | 25 |
| Outlays, gross:                                     |     |     |    |
| 4010 Outlays from new discretionary authority ..... | 3   | 68  | 10 |
| 4011 Outlays from discretionary balances .....      | 73  | 44  | 87 |
| 4020 Outlays, gross (total) .....                   | 76  | 112 | 97 |
| 4180 Budget authority, net (total) .....            | 175 | 171 | 25 |
| 4190 Outlays, net (total) .....                     | 76  | 112 | 97 |

The Defense Production Act (50 U.S.C. App. 2061, et seq.) authorizes the use of Federal funds to expedite and expand the supply of critical resources and services from the U.S. industrial base to support national defense and homeland security.

**Object Classification (in millions of dollars)**

| Identification code 97-0360-0-1-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 5           | 2       | 5         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 3         |
| 25.5 Research and development contracts .....            | 24          | 163     | 11        |
| 31.0 Equipment .....                                     | 9           | 4       | 6         |

|      |                             |    |     |    |
|------|-----------------------------|----|-----|----|
| 99.9 | Total new obligations ..... | 40 | 171 | 25 |
|------|-----------------------------|----|-----|----|

**CHEMICAL AGENTS AND MUNITIONS DESTRUCTION, DEFENSE**

*For expenses, not otherwise provided for, necessary for the destruction of the United States stockpile of lethal chemical agents and munitions in accordance with the provisions of section 1412 of the Department of Defense Authorization Act, 1986 (50 U.S.C. 1521), and for the destruction of other chemical warfare materials that are not in the chemical weapon stockpile, \$1,057,123,000, of which \$451,572,000 shall be for operation and maintenance, of which no less than \$51,217,000 shall be for the Chemical Stockpile Emergency Preparedness Program, consisting of \$21,489,000 for activities on military installations and \$29,728,000, to remain available until September 30, 2015, to assist State and local governments; \$1,368,000 shall be for procurement, to remain available until September 30, 2016, of which \$1,368,000 shall be for the Chemical Stockpile Emergency Preparedness Program to assist State and local governments; and \$604,183,000, to remain available until September 30, 2015, shall be for research, development, test and evaluation, of which \$584,264,000 shall only be for the Assembled Chemical Weapons Alternatives (ACWA) program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97–0390–0–1–051                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Operation and maintenance .....                   | 1,049       | 636     | 452       |
| 0002 Research, development, test, and evaluation ..... | 411         | 884     | 613       |
| 0003 Procurement .....                                 | 18          | 1       | 1         |
| 0799 Total direct obligations .....                    | 1,460       | 1,538   | 1,066     |
| 0801 Reimbursable program .....                        | 25          | 10      | 10        |
| 0900 Total new obligations .....                       | 1,460       | 1,563   | 1,076     |

**Budgetary Resources:**

|   |       |       |       |
|---|-------|-------|-------|
| <b>Unobligated balance:</b>   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 17    | 4     | 30    |
| 1010 Unobligated balance transfer to other accts [97–9999] .....      | –2    |       |       |
| 1021 Recoveries of prior year unpaid obligations .....                | 4     |       |       |
| 1050 Unobligated balance (total) .....                                | 19    | 4     | 30    |
| <b>Budget authority:</b>  |       |       |       |
| <b>Appropriations, discretionary:</b>                                 |       |       |       |
| 1100 Appropriation .....  | 1,554 | 1,564 | 1,057 |
| 1120 Appropriations transferred to other accts [97–9999] .....        | –99   |       |       |
| 1160 Appropriation, discretionary (total) .....                       | 1,455 | 1,564 | 1,057 |
| <b>Spending authority from offsetting collections, discretionary:</b> |       |       |       |
| 1700 Collected .....  | 25    | 10    | 10    |
| 1750 Spending auth from offsetting collections, disc (total) .....    | 25    | 10    | 10    |
| 1900 Budget authority (total) .....                                   | 1,455 | 1,589 | 1,067 |
| 1930 Total budgetary resources available .....                        | 1,474 | 1,593 | 1,097 |
| <b>Memorandum (non-add) entries:</b>                                  |       |       |       |
| 1940 Unobligated balance expiring .....                               | –10   |       |       |
| 1941 Unexpired unobligated balance, end of year .....                 | 4     | 30    | 21    |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| <b>Unpaid obligations:</b>  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,065  | 990    | 1,178  |
| 3010 Obligations incurred, unexpired accounts .....               | 1,460  | 1,563  | 1,076  |
| 3011 Obligations incurred, expired accounts .....                 | 3      |        |        |
| 3020 Outlays (gross) .....  | –1,419 | –1,375 | –1,149 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –4     |        |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –115   |        |        |
| 3050 Unpaid obligations, end of year .....                        | 990    | 1,178  | 1,105  |
| <b>Uncollected payments:</b>                                      |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –10    | –10    | –10    |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –10    | –10    | –10    |
| <b>Memorandum (non-add) entries:</b>                              |        |        |        |
| 3100 Obligated balance, start of year .....                       | 1,055  | 980    | 1,168  |
| 3200 Obligated balance, end of year .....                         | 980    | 1,168  | 1,095  |

**Budget authority and outlays, net:**

|  |       |       |       |
|--|-------|-------|-------|
| <b>Discretionary:</b>                                      |       |       |       |
| 4000 Budget authority, gross .....                         | 1,455 | 1,589 | 1,067 |
| <b>Outlays, gross:</b>                                     |       |       |       |
| 4010 Outlays from new discretionary authority .....        | 553   | 651   | 433   |
| 4011 Outlays from discretionary balances .....             | 866   | 724   | 716   |
| 4020 Outlays, gross (total) .....                          | 1,419 | 1,375 | 1,149 |
| <b>Offsets against gross budget authority and outlays:</b> |       |       |       |
| <b>Offsetting collections (collected) from:</b>            |       |       |       |
| 4030 Federal sources .....                                 |       | –25   | –10   |
| 4180 Budget authority, net (total) .....                   | 1,455 | 1,564 | 1,057 |
| 4190 Outlays, net (total) .....                            | 1,419 | 1,350 | 1,139 |

The Chemical Agents and Munitions Destruction, Defense account supports the Chemical Demilitarization Program, whose objective is to destroy the U.S. inventory of lethal chemical agents, munitions and related (non-stockpile) material, thus avoiding future risks and costs associated with their continued storage. The program supports the Chemical Weapons Convention initiatives to rid the world of chemical weapons.

**Object Classification** (in millions of dollars)

| Identification code 97–0390–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 21.0 Travel and transportation of persons .....                 | 1           |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 67          | 82      | 46        |
| 25.2 Other services from non-Federal sources .....              |             | 118     | 182       |
| 25.3 Other goods and services from Federal sources .....        | 177         | 289     | 229       |
| 25.3 Other goods and services from Federal sources .....        |             | 73      | 58        |
| 25.4 Operation and maintenance of facilities .....              | 821         | 795     | 407       |
| 25.5 Research and development contracts .....                   | 388         | 146     | 116       |
| 25.7 Operation and maintenance of equipment .....               |             | 2       | 1         |
| 31.0 Equipment .....  | 1           | 28      | 23        |
| 32.0 Land and structures .....                                  | 1           |         |           |
| 41.0 Grants, subsidies, and contributions .....                 | 3           | 4       | 3         |
| 99.0 Direct obligations .....                                   | 1,459       | 1,538   | 1,066     |
| 99.0 Reimbursable obligations .....                             | 1           | 25      | 10        |
| 99.9 Total new obligations .....                                | 1,460       | 1,563   | 1,076     |

**JOINT URGENT OPERATIONAL NEEDS FUND****(INCLUDING TRANSFER OF FUNDS)**

*For the "Joint Urgent Operational Needs Fund", \$98,800,000, to remain available until September 30, 2016: Provided, That such funds shall be available to the Secretary of Defense, with the advice of the Chairman of the Joint Chiefs of Staff, for the purpose of providing for Joint Urgent Operational Needs: Provided further, That the Secretary of Defense may transfer such funds to appropriations for operation and maintenance; procurement; and research, development, test, and evaluation: Provided further, That funds so transferred shall be merged with the account to which transferred: Provided further, That upon a determination that all or part of the funds transferred from this appropriation are not necessary for the purposes provided herein, such funds may be transferred back to this appropriation: Provided further, That the transfer authority provided herein is in addition to any other transfer authority available to the Department of Defense.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97–0303–0–1–051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Joint Urgent Operational Needs funds .....      |             |         | 99        |
| 0900 Total new obligations (object class 31.0) ..... |             |         | 99        |

JOINT URGENT OPERATIONAL NEEDS FUND—Continued  
Program and Financing—Continued

| Identification code 97-0303-0-1-051                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            |             |         | 99        |
| 1160 Appropriation, discretionary (total) .....     |             |         | 99        |
| 1930 Total budgetary resources available .....      |             |         | 99        |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 99        |
| 3020 Outlays (gross) .....                          |             |         | -32       |
| 3050 Unpaid obligations, end of year .....          |             |         | 67        |
| Memorandum (non-add) entries:                       |             |         |           |
| 3200 Obligated balance, end of year .....           |             |         | 67        |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  |             |         | 99        |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | 32        |
| 4180 Budget authority, net (total) .....            |             |         | 99        |
| 4190 Outlays, net (total) .....                     |             |         | 32        |

## MINE RESISTANT AMBUSH PROTECTED VEHICLE FUND

## Program and Financing (in millions of dollars)

| Identification code 97-0144-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Mine resistant ambush protected vehicle program .....       |             | 648     |           |
| 0900 Total new obligations (object class 31.0) .....             |             | 648     |           |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 695         | 648     |           |
| 1010 Unobligated balance transfer to other accts [97-9999] ..... | -695        |         |           |
| 1050 Unobligated balance (total) .....                           |             | 648     |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 2,600       |         |           |
| 1120 Appropriations transferred to other accts [97-9999] .....   | -1,952      |         |           |
| 1160 Appropriation, discretionary (total) .....                  | 648         |         |           |
| 1930 Total budgetary resources available .....                   | 648         | 648     |           |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 648         |         |           |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            |             |         | 648       |
| 3010 Obligations incurred, unexpired accounts .....              |             | 648     |           |
| 3050 Unpaid obligations, end of year .....                       |             | 648     | 648       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      |             |         | 648       |
| 3200 Obligated balance, end of year .....                        |             | 648     | 648       |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 648         |         |           |
| 4180 Budget authority, net (total) .....                         | 648         |         |           |

## ARMS INITIATIVE GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 21-4275-0-3-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0742 Downward reestimate paid to receipt account .....             |             | 1       |           |
| 0900 Total new obligations .....                                   |             | 1       |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             | 1       |           |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 1           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 1           |         |           |
| 1930 Total budgetary resources available .....                     | 1           | 1       |           |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1           |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              |             |         | 1         |
| 3010 Obligations incurred, unexpired accounts .....                |             | 1       |           |
| 3050 Unpaid obligations, end of year .....                         |             | 1       | 1         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        |             |         | 1         |
| 3200 Obligated balance, end of year .....                          |             | 1       | 1         |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              | 1           |         |           |
| Offsets against gross financing authority and disbursements:       |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -1          |         |           |
| 4190 Financing disbursements, net (total) .....                    | -1          |         |           |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 21-4275-0-3-051                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 2           | 2       | 2         |
| 2251 Repayments and prepayments .....                                     |             |         |           |
| 2290 Outstanding, end of year .....                                       | 2           | 2       | 2         |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 2           | 2       | 2         |

This program, first authorized in Public Law 102-484 (the 1992 Authorization Act), is to encourage commercial firms to use idle government owned, contractor-operated Army ammunition manufacturing facilities to ensure a viable industrial base for the manufacture of ammunition.

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 21-4275-0-3-051                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 2           | 1           |
| 1999 Total assets .....   | 2           | 1           |
| <b>LIABILITIES:</b>   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 2           | 1           |

4999 Total liabilities and net position ..... 2 1

## RESEARCH, DEVELOPMENT, TEST, AND EVALUATION

Appropriations in this title support modernization through basic and applied research, fabrication of technology-demonstration devices, and development and testing of prototypes and full-scale preproduction hardware. This work is performed by contractors, government laboratories and facilities, universities, and nonprofit organizations. Research and development programs are funded to cover annual needs. Resources presented under the RDT&E title contribute primarily to achieving the Department's annual goals of transforming the force for new missions and reforming processes and organizations.

Funds for each fiscal year are available for obligation for a two-year period beginning on the first day of that fiscal year.

This year's Budget provides for major technology and development efforts. These include science and technology programs, development of weapons systems and supporting systems, including missile defense, and support of test and evaluation programs and necessary infrastructure. The Department continues to emphasize technology efforts that ensure that the Nation will maintain a technological advantage over potential adversaries.

DOD's request reflects the partnership between NNSA and DOD to modernize the nuclear deterrent. As in last year's Budget, DOD is carrying a separate account for the outyears that contains the amounts for NNSA's Weapons Activities and Naval Reactors. These funds, shown in the table below, underscore the close link between these activities and DOD nuclear requirements and missions. OMB will ensure that these future budget year allocations to NNSA occur in the required amounts.

### Department of Defense Support for NNSA

(in millions of dollars)

|             | Future<br>Funds from<br>DOD for<br>Weapons<br>Activities | Future<br>Funds from<br>DOD for<br>Naval<br>Reactors |
|-------------|--|--|
| 2014 .....  | ...  | ...  |
| 2015 .....  | 948  | 249  |
| 2016 .....  | 1130   | 314  |
| 2017 .....  | 1133   | 470  |
| 2018 .....  | 1271   | 393  |
| Total ..... | 4482   | 1426   |

### Federal Funds

#### RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY

*For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, \$7,989,102,000, to remain available for obligation until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 21–2040–0–1–051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001 Basic research .....                                | 409         | 353     | 413       |
| 0002 Applied Research .....                              | 912         | 769     | 844       |
| 0003 Advanced technology development .....               | 1,089       | 935     | 896       |
| 0004 Advanced component development and prototypes ..... | 702         | 536     | 569       |
| 0005 System development and demonstration .....          | 3,746       | 2,980   | 2,775     |

|   |        |        |        |
|---|--------|--------|--------|
| 0006 Management support .....             | 1,412  | 999    | 1,060  |
| 0007 Operational system development ..... | 1,419  | 1,135  | 1,117  |
| 0799 Total direct obligations .....       | 9,689  | 7,707  | 7,674  |
| 0801 Reimbursable program .....           | 5,377  | 4,784  | 4,989  |
| 0900 Total new obligations .....          | 15,066 | 12,491 | 12,663 |

#### Budgetary Resources:

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 4,468  | 3,507  | 2,580  |
| 1010 Unobligated balance transfer to other accts [97–9999] .....     | –57    |        |        |
| 1021 Recoveries of prior year unpaid obligations .....               | 602    |        |        |
| 1050 Unobligated balance (total) .....                               | 5,013  | 3,507  | 2,580  |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:                                       |        |        |        |
| 1100 Appropriation .....   | 8,774  | 8,815  | 7,989  |
| 1120 Appropriations transferred to other accts [97–9999] .....       | –56    |        |        |
| 1130 Appropriations permanently reduced .....                        | –14    |        |        |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –357   |        |        |
| 1160 Appropriation, discretionary (total) .....                      | 8,347  | 8,815  | 7,989  |
| Spending authority from offsetting collections, discretionary:       |        |        |        |
| 1700 Collected .....   | 4,462  | 2,749  | 4,989  |
| 1701 Change in uncollected payments, Federal sources .....           | 860    |        |        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 5,322  | 2,749  | 4,989  |
| 1900 Budget authority (total) .....                                  | 13,669 | 11,564 | 12,978 |
| 1930 Total budgetary resources available .....                       | 18,682 | 15,071 | 15,558 |
| Memorandum (non-add) entries:  |        |        |        |
| 1940 Unobligated balance expiring .....                              | –109   |        |        |
| 1941 Unobligated balance carried forward, EOY .....                  | 3,507  | 2,580  | 2,895  |

#### Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 9,909   | 10,432  | 11,543  |
| 3010 Obligations incurred, unexpired accounts .....               | 15,066  | 12,491  | 12,663  |
| 3011 Obligations incurred, expired accounts .....                 | 144     |         |         |
| 3020 Outlays (gross) .....  | –13,726 | –11,380 | –13,326 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –602    |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –359    |         |         |
| 3050 Unpaid obligations, end of year .....                        | 10,432  | 11,543  | 10,880  |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –3,955  | –4,398  | –4,398  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –860    |         |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 417     |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –4,398  | –4,398  | –4,398  |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 5,954   | 6,034   | 7,145   |
| 3200 Obligated balance, end of year .....                         | 6,034   | 7,145   | 6,482   |

#### Budget authority and outlays, net:

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 13,669 | 11,564 | 12,978 |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 5,315  | 5,835  | 7,546  |
| 4011 Outlays from discretionary balances .....                       | 8,411  | 5,545  | 5,780  |
| 4020 Outlays, gross (total) .....                                    | 13,726 | 11,380 | 13,326 |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   | –4,270 | –2,749 | –4,989 |
| 4033 Non-Federal sources .....                                       | –525   |        |        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –4,795 | –2,749 | –4,989 |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –860   |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 333    |        |        |
| 4060 Additional offsets against budget authority only (total) .....  | –527   |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 8,347  | 8,815  | 7,989  |
| 4080 Outlays, net (discretionary) .....                              | 8,931  | 8,631  | 8,337  |
| 4180 Budget authority, net (total) .....                             | 8,347  | 8,815  | 7,989  |
| 4190 Outlays, net (total) .....                                      | 8,931  | 8,631  | 8,337  |

#### Object Classification (in millions of dollars)

| Identification code 21–2040–0–1–051       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 850         | 901     | 863       |
| 11.3 Other than full-time permanent ..... | 5           | 28      | 34        |
| 11.5 Other personnel compensation .....   | 37          | 19      | 12        |

RESEARCH, DEVELOPMENT, TEST AND EVALUATION, ARMY—Continued  
Object Classification—Continued

| Identification code 21–2040–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 11.9 Total personnel compensation .....                         | 892         | 948     | 909       |
| 12.1 Civilian personnel benefits .....                          | 236         | 234     | 242       |
| 13.0 Benefits for former personnel .....                        | 5           |         |           |
| 21.0 Travel and transportation of persons .....                 | 68          | 60      | 56        |
| 22.0 Transportation of things .....                             | 17          | 9       | 8         |
| 23.1 Rental payments to GSA .....                               | 7           | 4       | 4         |
| 23.2 Rental payments to others .....                            | 3           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 10          | 8       | 7         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 954         | 610     | 549       |
| 25.2 Other services from non-Federal sources .....              | 129         | 101     | 93        |
| 25.3 Other goods and services from Federal sources .....        | 821         | 758     | 701       |
| 25.3 Purchases from revolving funds .....                       | 9           | 2       | 2         |
| 25.4 Operation and maintenance of facilities .....              | 27          | 12      | 11        |
| 25.5 Research and development contracts .....                   | 5,242       | 3,663   | 3,891     |
| 25.7 Operation and maintenance of equipment .....               | 69          | 21      | 20        |
| 25.8 Subsistence and support of persons .....                   | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 127         | 379     | 350       |
| 31.0 Equipment .....  | 880         | 621     | 574       |
| 32.0 Land and structures .....                                  | 60          | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....                 | 131         | 270     | 250       |
| 99.0 Direct obligations .....                                   | 9,689       | 7,707   | 7,674     |
| 99.0 Reimbursable obligations .....                             | 5,377       | 4,784   | 4,989     |
| 99.9 Total new obligations .....                                | 15,066      | 12,491  | 12,663    |

## Employment Summary

| Identification code 21–2040–0–1–051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 9,634       | 9,422   | 9,166     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 12,653      | 11,430  | 8,675     |

## RESEARCH, DEVELOPMENT, TEST AND EVALUATION, NAVY

*For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, \$15,974,780,000, to remain available for obligation until September 30, 2015: Provided, That funds appropriated in this paragraph which are available for the V-22 may be used to meet unique operational requirements of the Special Operations Forces: Provided further, That funds appropriated in this paragraph shall be available for the Cobra Judy program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–1319–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Basic research .....  | 588         | 557     | 614       |
| 0002 Applied research .....  | 826         | 791     | 832       |
| 0003 Advanced technology development .....                         | 687         | 701     | 594       |
| 0004 Advanced component development and prototypes .....           | 4,367       | 4,517   | 4,615     |
| 0005 System development and demonstration .....                    | 6,764       | 6,757   | 5,149     |
| 0006 Management support .....                                      | 1,236       | 963     | 881       |
| 0007 Operational system development .....                          | 4,307       | 4,085   | 3,459     |
| 0799 Total direct obligations .....                                | 18,775      | 18,371  | 16,144    |
| 0801 Reimbursable program .....                                    | 166         | 276     | 465       |
| 0900 Total new obligations .....                                   | 18,941      | 18,647  | 16,609    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2,569       | 2,331   | 1,813     |
| 1010 Unobligated balance transfer to other accts [97–9999] .....   | –74         |         |           |
| 1010 Unobligated balance transfer to other accts [11–5512] .....   | –15         |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ..... | 34          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 1,006       |         |           |
| 1050 Unobligated balance (total) .....                             | 3,520       | 2,331   | 1,813     |

## Budget authority:

|  |         |         |         |
|--|---------|---------|---------|
| Appropriations, discretionary:                                       |         |         |         |
| 1100 Appropriation .....   | 17,808  | 17,908  | 15,975  |
| 1120 Appropriations transferred to other accts [97–9999] .....       | –190    |         |         |
| 1121 Appropriations transferred from other accts [97–9999] .....     | 120     |         |         |
| 1130 Appropriations permanently reduced .....                        | –14     |         |         |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –66     |         |         |
| 1160 Appropriation, discretionary (total) .....                      | 17,658  | 17,908  | 15,975  |
| Spending authority from offsetting collections, discretionary:       |         |         |         |
| 1700 Collected .....   | 160     | 221     | 465     |
| 1701 Change in uncollected payments, Federal sources .....           | 12      |         |         |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 172     | 221     | 465     |
| 1900 Budget authority (total) .....                                  | 17,830  | 18,129  | 16,440  |
| 1930 Total budgetary resources available .....                       | 21,350  | 20,460  | 18,253  |
| Memorandum (non-add) entries:  |         |         |         |
| 1940 Unobligated balance expiring .....                              | –78     |         |         |
| 1941 Unobligated balance carried forward, EOY .....                  | 2,331   | 1,813   | 1,644   |
| <b>Change in obligated balance:</b>                                  |         |         |         |
| Unpaid obligations:  |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 9,504   | 10,271  | 11,911  |
| 3010 Obligations incurred, unexpired accounts .....                  | 18,941  | 18,647  | 16,609  |
| 3011 Obligations incurred, expired accounts .....                    | 34      |         |         |
| 3020 Outlays (gross) .....   | –16,995 | –17,007 | –15,547 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –1,006  |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –207    |         |         |
| 3050 Unpaid obligations, end of year .....                           | 10,271  | 11,911  | 12,973  |
| Uncollected payments:  |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –134    | –118    | –118    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –12     |         |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 28      |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –118    | –118    | –118    |
| Memorandum (non-add) entries:  |         |         |         |
| 3100 Obligated balance, start of year .....                          | 9,370   | 10,153  | 11,793  |
| 3200 Obligated balance, end of year .....                            | 10,153  | 11,793  | 12,855  |

## Budget authority and outlays, net:

|   |        |        |        |
|---|--------|--------|--------|
| Discretionary:  |        |        |        |
| 4000 Budget authority, gross .....                                    | 17,830 | 18,129 | 16,440 |
| Outlays, gross:   |        |        |        |
| 4010 Outlays from new discretionary authority .....                   | 8,520  | 8,460  | 7,342  |
| 4011 Outlays from discretionary balances .....                        | 8,475  | 8,547  | 8,205  |
| 4020 Outlays, gross (total) .....                                     | 16,995 | 17,007 | 15,547 |
| Offsets against gross budget authority and outlays:                   |        |        |        |
| Offsetting collections (collected) from:                              |        |        |        |
| 4030 Federal sources .....  | –166   | –221   | –465   |
| 4033 Non-Federal sources .....  | –24    |        |        |
| 4040 Offsets against gross budget authority and outlays (total) ..... | –190   | –221   | –465   |
| Additional offsets against gross budget authority only:               |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....        | –12    |        |        |
| 4052 Offsetting collections credited to expired accounts .....        | 30     |        |        |
| 4060 Additional offsets against budget authority only (total) .....   | 18     |        |        |
| 4070 Budget authority, net (discretionary) .....                      | 17,658 | 17,908 | 15,975 |
| 4080 Outlays, net (discretionary) .....                               | 16,805 | 16,786 | 15,082 |
| 4180 Budget authority, net (total) .....                              | 17,658 | 17,908 | 15,975 |
| 4190 Outlays, net (total) .....                                       | 16,805 | 16,786 | 15,082 |

## Object Classification (in millions of dollars)

| Identification code 17–1319–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 51          | 58      | 64        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 53          | 60      | 66        |
| 12.1 Civilian personnel benefits .....                          | 15          | 16      | 19        |
| 21.0 Travel and transportation of persons .....                 | 37          | 35      | 30        |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 13          | 12      | 13        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 9           | 6       | 6         |
| 25.1 Advisory and assistance services .....                     | 715         | 466     | 656       |
| 25.2 Other services from non-Federal sources .....              | 85          | 66      | 76        |
| 25.3 Other goods and services from Federal sources .....        | 1,136       | 594     | 436       |
| 25.3 Purchases from revolving funds .....                       | 3,981       | 3,922   | 3,767     |
| 25.4 Operation and maintenance of facilities .....              | 5           | 2       | 4         |
| 25.5 Research and development contracts .....                   | 11,270      | 12,157  | 8,794     |
| 25.7 Operation and maintenance of equipment .....               | 421         | 118     | 666       |

|      |  |        |        |        |
|------|--|--------|--------|--------|
| 26.0 | Supplies and materials .....               | 28     | 26     | 31     |
| 31.0 | Equipment .....                            | 543    | 348    | 1,099  |
| 32.0 | Land and structures .....                  | .....  | .....  | 23     |
| 41.0 | Grants, subsidies, and contributions ..... | 463    | 542    | 457    |
| 99.0 | Direct obligations .....                   | 18,775 | 18,371 | 16,144 |
| 99.0 | Reimbursable obligations .....             | 166    | 276    | 465    |
| 99.9 | Total new obligations .....                | 18,941 | 18,647 | 16,609 |

**Employment Summary**

| Identification code 17-1319-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 436         | 486     | 575       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 458         | 840     | 718       |

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, AIR FORCE**

*For expenses necessary for basic and applied scientific research, development, test and evaluation, including maintenance, rehabilitation, lease, and operation of facilities and equipment, \$25,702,946,000, to remain available for obligation until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 57-3600-0-1-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001 Basic research .....                                | 503         | 462     | 529       |
| 0002 Applied research .....                              | 1,223       | 1,130   | 1,150     |
| 0003 Advanced technology development .....               | 685         | 587     | 622       |
| 0004 Advanced component development and prototypes ..... | 1,662       | 1,518   | 980       |
| 0005 System development and demonstration .....          | 3,523       | 4,129   | 4,891     |
| 0006 Management support .....                            | 1,562       | 1,552   | 1,215     |
| 0007 Operational system development .....                | 18,570      | 17,006  | 16,591    |
| 0799 Total direct obligations .....                      | 27,728      | 26,384  | 25,978    |
| 0801 Reimbursable program .....                          | 3,136       | 6,970   | 5,752     |
| 0900 Total new obligations .....                         | 30,864      | 33,354  | 31,730    |

**Budgetary Resources:**

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 5,501  | 4,507  | 4,606  |
| 1010 Unobligated balance transfer to other accts [97-9999] .....     | -96    | .....  | .....  |
| 1011 Unobligated balance transfer from other accts [97-9999] .....   | 169    | .....  | .....  |
| 1021 Recoveries of prior year unpaid obligations .....               | 275    | .....  | .....  |
| 1050 Unobligated balance (total) .....                               | 5,849  | 4,507  | 4,606  |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:                                       |        |        |        |
| 1100 Appropriation .....   | 26,796 | 26,695 | 25,703 |
| 1120 Appropriations transferred to other accts [97-9999] .....       | -259   | .....  | .....  |
| 1120 Appropriations transferred to other accts [95-0401] .....       | -8     | .....  | .....  |
| 1121 Appropriations transferred from other accts [97-9999] .....     | 157    | .....  | .....  |
| 1130 Appropriations permanently reduced .....                        | -56    | .....  | .....  |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -258   | .....  | .....  |
| 1160 Appropriation, discretionary (total) .....                      | 26,372 | 26,695 | 25,703 |
| Spending authority from offsetting collections, discretionary:       |        |        |        |
| 1700 Collected .....   | 2,854  | 6,758  | 5,752  |
| 1701 Change in uncollected payments, Federal sources .....           | 387    | .....  | .....  |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 3,241  | 6,758  | 5,752  |
| 1900 Budget authority (total) .....                                  | 29,613 | 33,453 | 31,455 |
| 1930 Total budgetary resources available .....                       | 35,462 | 37,960 | 36,061 |
| Memorandum (non-add) entries:  |        |        |        |
| 1940 Unobligated balance expiring .....                              | -91    | .....  | .....  |
| 1941 Unobligated balance carried forward, EOY .....                  | 4,507  | 4,606  | 4,331  |

**Change in obligated balance:**

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 11,525  | 13,953  | 15,263  |
| 3010 Obligations incurred, unexpired accounts .....               | 30,864  | 33,354  | 31,730  |
| 3011 Obligations incurred, expired accounts .....                 | 174     | .....   | .....   |
| 3020 Outlays (gross) .....  | -27,984 | -32,044 | -30,240 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -275    | .....   | .....   |

|   |        |        |        |
|---|--------|--------|--------|
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -351   | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                        | 13,953 | 15,263 | 16,753 |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -423   | -689   | -689   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -387   | .....  | .....  |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 121    | .....  | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -689   | -689   | -689   |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 11,102 | 13,264 | 14,574 |
| 3200 Obligated balance, end of year .....                         | 13,264 | 14,574 | 16,064 |

**Budget authority and outlays, net:**

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 29,613 | 33,453 | 31,455 |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 15,781 | 20,106 | 17,335 |
| 4011 Outlays from discretionary balances .....                       | 12,203 | 11,938 | 12,905 |
| 4020 Outlays, gross (total) .....                                    | 27,984 | 32,044 | 30,240 |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   | -2,871 | -6,758 | -5,752 |
| 4033 Non-Federal sources .....                                       | -82    | .....  | .....  |
| 4040 Offsets against gross budget authority and outlays (total) .... | -2,953 | -6,758 | -5,752 |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -387   | .....  | .....  |
| 4052 Offsetting collections credited to expired accounts .....       | 99     | .....  | .....  |
| 4060 Additional offsets against budget authority only (total) .....  | -288   | .....  | .....  |
| 4070 Budget authority, net (discretionary) .....                     | 26,372 | 26,695 | 25,703 |
| 4080 Outlays, net (discretionary) .....                              | 25,031 | 25,286 | 24,488 |
| 4180 Budget authority, net (total) .....                             | 26,372 | 26,695 | 25,703 |
| 4190 Outlays, net (total) .....                                      | 25,031 | 25,286 | 24,488 |

**Object Classification** (in millions of dollars)

| Identification code 57-3600-0-1-051           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                           |             |         |           |
| Personnel compensation:                       |             |         |           |
| 11.1 Full-time permanent .....                | 681         | 651     | 745       |
| 11.3 Other than full-time permanent .....     | 28          | 27      | 31        |
| 11.5 Other personnel compensation .....       | 25          | 25      | 26        |
| 11.9 Total personnel compensation .....       | 734         | 703     | 802       |
| 12.1 Civilian personnel benefits .....        | 206         | 190     | 228       |
| 25.1 Advisory and assistance services .....   | 1,146       | 1,146   | 1,182     |
| 25.5 Research and development contracts ..... | 25,643      | 24,345  | 23,766    |
| 99.0 Direct obligations .....                 | 27,729      | 26,384  | 25,978    |
| 99.0 Reimbursable obligations .....           | 3,135       | 6,970   | 5,752     |
| 99.9 Total new obligations .....              | 30,864      | 33,354  | 31,730    |

**Employment Summary**

| Identification code 57-3600-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 8,247       | 8,897   | 8,846     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,586       | 1,466   | 1,586     |

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION, DEFENSE-WIDE**

*For expenses of activities and agencies of the Department of Defense (other than the military departments), necessary for basic and applied scientific research, development, test and evaluation; advanced research projects as may be designated and determined by the Secretary of Defense, pursuant to law; maintenance, rehabilitation, lease, and operation of facilities and equipment, \$17,667,108,000, to remain available for obligation until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**RESEARCH, DEVELOPMENT, TEST AND EVALUATION,  
DEFENSE-WIDE—Continued**  
**Program and Financing** (in millions of dollars)

| Identification code 97-0400-0-1-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001 Basic research .....                                | 528         | 507     | 578       |
| 0002 Applied research .....                              | 1,748       | 1,782   | 1,776     |
| 0003 Advanced technology development .....               | 3,102       | 2,861   | 3,078     |
| 0004 Advanced component development and prototypes ..... | 7,673       | 6,455   | 6,085     |
| 0005 System development and demonstration .....          | 767         | 876     | 742       |
| 0006 Management support .....                            | 1,228       | 1,354   | 945       |
| 0007 Operational system development .....                | 5,541       | 4,900   | 4,736     |
| 0799 Total direct obligations .....                      | 20,587      | 18,735  | 17,940    |
| 0801 Reimbursable program .....                          | 1,422       | 1,971   | 2,406     |
| 0900 Total new obligations .....                         | 22,009      | 20,706  | 20,346    |

|  |        |        |        |
|--|--------|--------|--------|
| <b>Budgetary Resources:</b>  |        |        |        |
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 3,550  | 2,657  | 3,336  |
| 1010 Unobligated balance transfer to other accts [97-9999] .....     | -794   |        |        |
| 1011 Unobligated balance transfer from other accts [97-9999] .....   | 774    |        |        |
| 1021 Recoveries of prior year unpaid obligations .....               | 341    |        |        |
| 1050 Unobligated balance (total) .....                               | 3,871  | 2,657  | 3,336  |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:                                       |        |        |        |
| 1100 Appropriation .....   | 19,388 | 19,391 | 17,667 |
| 1120 Appropriations transferred to other accts [97-9999] .....       | -4     |        |        |
| 1121 Appropriations transferred from other accts [97-9999] .....     | 371    |        |        |
| 1130 Appropriations permanently reduced .....                        | -33    |        |        |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -254   |        |        |
| 1160 Appropriation, discretionary (total) .....                      | 19,468 | 19,391 | 17,667 |
| Spending authority from offsetting collections, discretionary:       |        |        |        |
| 1700 Collected .....   | 1,241  | 1,994  | 2,491  |
| 1701 Change in uncollected payments, Federal sources .....           | 158    |        |        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 1,399  | 1,994  | 2,491  |
| 1900 Budget authority (total) .....                                  | 20,867 | 21,385 | 20,158 |
| 1930 Total budgetary resources available .....                       | 24,738 | 24,042 | 23,494 |
| Memorandum (non-add) entries:  |        |        |        |
| 1940 Unobligated balance expiring .....                              | -72    |        |        |
| 1941 Unexpired unobligated balance, end of year .....                | 2,657  | 3,336  | 3,148  |

|   |         |         |         |
|---|---------|---------|---------|
| <b>Change in obligated balance:</b>                               |         |         |         |
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 13,938  | 14,617  | 14,580  |
| 3010 Obligations incurred, unexpired accounts .....               | 22,009  | 20,706  | 20,346  |
| 3011 Obligations incurred, expired accounts .....                 | 307     |         |         |
| 3020 Outlays (gross) .....  | -20,828 | -20,743 | -20,536 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -341    |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -468    |         |         |
| 3050 Unpaid obligations, end of year .....                        | 14,617  | 14,580  | 14,390  |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1,459  | -1,474  | -1,474  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -158    |         |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 143     |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1,474  | -1,474  | -1,474  |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 12,479  | 13,143  | 13,106  |
| 3200 Obligated balance, end of year .....                         | 13,143  | 13,106  | 12,916  |

|   |        |        |        |
|---|--------|--------|--------|
| <b>Budget authority and outlays, net:</b>                             |        |        |        |
| Discretionary:  |        |        |        |
| 4000 Budget authority, gross .....                                    | 20,867 | 21,385 | 20,158 |
| Outlays, gross:   |        |        |        |
| 4010 Outlays from new discretionary authority .....                   | 8,633  | 10,138 | 9,432  |
| 4011 Outlays from discretionary balances .....                        | 12,195 | 10,605 | 11,104 |
| 4020 Outlays, gross (total) .....                                     | 20,828 | 20,743 | 20,536 |
| Offsets against gross budget authority and outlays:                   |        |        |        |
| Offsetting collections (collected) from:                              |        |        |        |
| 4030 Federal sources .....  | -1,369 | -1,994 | -2,491 |
| 4033 Non-Federal sources .....  | -15    |        |        |
| 4040 Offsets against gross budget authority and outlays (total) ..... | -1,384 | -1,994 | -2,491 |
| Additional offsets against gross budget authority only:               |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....        | -158   |        |        |
| 4052 Offsetting collections credited to expired accounts .....        | 143    |        |        |
| 4060 Additional offsets against budget authority only (total) .....   | -15    |        |        |

|  |        |        |        |
|--|--------|--------|--------|
| 4070 Budget authority, net (discretionary) ..... | 19,468 | 19,391 | 17,667 |
| 4080 Outlays, net (discretionary) .....          | 19,444 | 18,749 | 18,045 |
| 4180 Budget authority, net (total) .....         | 19,468 | 19,391 | 17,667 |
| 4190 Outlays, net (total) .....                  | 19,444 | 18,749 | 18,045 |

**Object Classification** (in millions of dollars)

| Identification code 97-0400-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 553         | 358     | 405       |
| 11.3 Other than full-time permanent .....                       | 2           | 3       | 2         |
| 11.5 Other personnel compensation .....                         | 24          | 26      | 21        |
| 11.9 Total personnel compensation .....                         | 579         | 387     | 428       |
| 12.1 Civilian personnel benefits .....                          | 155         | 104     | 119       |
| 13.0 Benefits for former personnel .....                        | 3           |         |           |
| 21.0 Travel and transportation of persons .....                 | 56          | 54      | 47        |
| 22.0 Transportation of things .....                             | 20          | 24      | 17        |
| 23.1 Rental payments to GSA .....                               | 24          | 35      | 25        |
| 23.2 Rental payments to others .....                            | 9           | 27      | 21        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 94          | 79      | 98        |
| 25.1 Advisory and assistance services .....                     | 3,171       | 2,496   | 2,163     |
| 25.2 Other services from non-Federal sources .....              | 183         | 194     | 217       |
| 25.3 Other goods and services from Federal sources .....        | 1,858       | 2,152   | 1,499     |
| 25.3 Other goods and services from Federal sources .....        | 169         |         | 168       |
| 25.4 Operation and maintenance of facilities .....              | 29          | 25      | 16        |
| 25.5 Research and development contracts .....                   | 12,146      | 11,634  | 10,946    |
| 25.7 Operation and maintenance of equipment .....               | 280         | 136     | 172       |
| 25.8 Subsistence and support of persons .....                   | 22          |         |           |
| 26.0 Supplies and materials .....                               | 273         | 51      | 239       |
| 31.0 Equipment .....  | 1,396       | 1,238   | 1,651     |
| 32.0 Land and structures .....                                  | 13          | 2       | 9         |
| 41.0 Grants, subsidies, and contributions .....                 | 106         | 97      | 105       |
| 99.0 Direct obligations .....                                   | 20,586      | 18,735  | 17,940    |
| 99.0 Reimbursable obligations .....                             | 1,423       | 1,971   | 2,406     |
| 99.9 Total new obligations .....                                | 22,009      | 20,706  | 20,346    |

**Employment Summary**

| Identification code 97-0400-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 3,119       | 3,266   | 3,483     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 284         | 338     | 377       |

**OPERATIONAL TEST AND EVALUATION, DEFENSE**

*For expenses, not otherwise provided for, necessary for the independent activities of the Director, Operational Test and Evaluation, in the direction and supervision of operational test and evaluation, including initial operational test and evaluation which is conducted prior to, and in support of, production decisions; joint operational testing and evaluation; and administrative expenses in connection therewith, \$186,300,000, to remain available for obligation until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97-0460-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0006 Management support .....                                      | 200         | 188     | 187       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 10          | 21      | 22        |
| 1010 Unobligated balance transfer to other accts [97-9999] .....   | -13         |         |           |
| 1011 Unobligated balance transfer from other accts [97-9999] ..... | 13          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 24          |         |           |
| 1050 Unobligated balance (total) .....                             | 34          | 21      | 22        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 191         | 189     | 186       |



|                               |  |     |     |     |
|-------------------------------|--|-----|-----|-----|
| 1130                          | Appropriations permanently reduced .....         | -3  |     |     |
| 1160                          | Appropriation, discretionary (total) .....       | 188 | 189 | 186 |
| 1930                          | Total budgetary resources available .....        | 222 | 210 | 208 |
| Memorandum (non-add) entries: |  |     |     |     |
| 1940                          | Unobligated balance expiring .....               | -1  |     |     |
| 1941                          | Unexpired unobligated balance, end of year ..... | 21  | 22  | 21  |

|                                     |  |      |      |      |
|-------------------------------------|--|------|------|------|
| <b>Change in obligated balance:</b> |  |      |      |      |
| Unpaid obligations:                 |  |      |      |      |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 94   | 83   | 90   |
| 3010                                | Obligations incurred, unexpired accounts .....               | 200  | 188  | 187  |
| 3020                                | Outlays (gross) .....  | -185 | -181 | -181 |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | -24  |      |      |
| 3041                                | Recoveries of prior year unpaid obligations, expired .....   | -2   |      |      |
| 3050                                | Unpaid obligations, end of year .....                        | 83   | 90   | 96   |
| Memorandum (non-add) entries:       |  |      |      |      |
| 3100                                | Obligated balance, start of year .....                       | 94   | 83   | 90   |
| 3200                                | Obligated balance, end of year .....                         | 83   | 90   | 96   |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Discretionary:                            |  |     |     |     |
| 4000                                      | Budget authority, gross .....                  | 188 | 189 | 186 |
| Outlays, gross:                           |  |     |     |     |
| 4010                                      | Outlays from new discretionary authority ..... | 99  | 98  | 97  |
| 4011                                      | Outlays from discretionary balances .....      | 86  | 83  | 84  |
| 4020                                      | Outlays, gross (total) .....                   | 185 | 181 | 181 |
| 4180                                      | Budget authority, net (total) .....            | 188 | 189 | 186 |
| 4190                                      | Outlays, net (total) .....                     | 185 | 181 | 181 |

**Object Classification** (in millions of dollars)

| Identification code 97-0460-0-1-051 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 21.0                                | Travel and transportation of persons .....          | 3           | 3       | 3         |
| 25.1                                | Advisory and assistance services .....              | 47          | 47      | 45        |
| 25.3                                | Other goods and services from Federal sources ..... | 141         | 136     | 137       |
| 26.0                                | Supplies and materials .....                        | 9           | 2       | 2         |
| 99.9                                | Total new obligations .....                         | 200         | 188     | 187       |

**CONTRIBUTIONS FOR RENEWABLE ENERGY IMPACT ASSESSMENTS AND  
MITIGATION, DEFENSE**

Contributions of funds from applicants for renewable energy projects filed with the Secretary of Transportation pursuant to section 44718 of title 49, United States Code. Contributions are used to conduct studies of potential measures to mitigate adverse impacts of such projects on military operations and readiness. As applicable, contributions may also be reallocated to other DOD appropriations and used to offset the costs of measures undertaken by the Secretary of Defense to mitigate adverse impacts of approved projects on military operations and readiness.

**MILITARY CONSTRUCTION**

The Military Construction programs are intended to provide facilities required as a result of new weapon systems entering the inventory, including aircraft and naval vessels, and other high priority initiatives. The Program also continues initiatives to realign the global defense posture, improve living and working conditions, reduce operating costs, and increase productivity. The Program also supports energy conservation by upgrading or replacing facilities which have become functionally obsolete or can be made more efficient through relatively modest investments in improvements. Also included in this request are resources required to clean up and dispose of property consistent with the five closure rounds required by the Base Closure Acts of 1988 and 1990.

Resources presented under the Military Construction title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

**Federal Funds****MILITARY CONSTRUCTION, ARMY**

*For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Army as currently authorized by law, including personnel in the Army Corps of Engineers and other personal services necessary for the purposes of this appropriation, and for construction and operation of facilities in support of the functions of the Commander in Chief, \$1,119,875,000, to remain available until September 30, 2018: Provided, That of this amount, not to exceed \$74,575,000 shall be available for study, planning, design, architect and engineer services, and host nation support, as authorized by law, unless the Secretary of Army determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 21-2050-0-1-051                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Major construction .....  | 3,043       | 3,788   | 1,888     |
| 0002   | Minor construction .....  | 44          | 35      | 36        |
| 0003   | Planning .....  | 235         | 366     | 191       |
| 0004   | Supporting activities .....                                     | 47          | 111     | 43        |
| 0799   | Total direct obligations .....                                  | 3,369       | 4,300   | 2,158     |
| 0801   | Reimbursable program .....                                      | 8,183       | 4,803   | 4,626     |
| 0900   | Total new obligations .....                                     | 11,552      | 9,103   | 6,784     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 6,287       | 6,493   | 4,725     |
| 1010   | Unobligated balance transfer to other accts [97-9999] .....     | -20         |         |           |
| 1011   | Unobligated balance transfer from other accts [97-9999] .....   | 82          |         |           |
| 1021   | Recoveries of prior year unpaid obligations .....               | 934         |         |           |
| 1050   | Unobligated balance (total) .....                               | 7,283       | 6,493   | 4,725     |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 3,086       | 3,024   | 1,120     |
| 1120   | Appropriations transferred to other accts [97-9999] .....       | -18         |         |           |
| 1121   | Appropriations transferred from other accts [97-9999] .....     | 18          |         |           |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | -334        | -103    |           |
| 1160   | Appropriation, discretionary (total) .....                      | 2,752       | 2,921   | 1,120     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 7,903       | 4,414   | 4,826     |
| 1701   | Change in uncollected payments, Federal sources .....           | 660         |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 8,563       | 4,414   | 4,826     |
| 1900   | Budget authority (total) .....                                  | 11,315      | 7,335   | 5,946     |
| 1930   | Total budgetary resources available .....                       | 18,598      | 13,828  | 10,671    |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                              | -553        |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 6,493       | 4,725   | 3,887     |

| Change in obligated balance: |  |         |         |         |
|------------------------------|--|---------|---------|---------|
| Unpaid obligations:          |  |         |         |         |
| 3000                         | Unpaid obligations, brought forward, Oct 1 .....             | 15,229  | 14,297  | 14,375  |
| 3010                         | Obligations incurred, unexpired accounts .....               | 11,552  | 9,103   | 6,784   |
| 3011                         | Obligations incurred, expired accounts .....                 | 57      |         |         |
| 3020                         | Outlays (gross) .....  | -11,501 | -9,025  | -8,544  |
| 3040                         | Recoveries of prior year unpaid obligations, unexpired ..... | -934    |         |         |
| 3041                         | Recoveries of prior year unpaid obligations, expired .....   | -106    |         |         |
|                              |  |         |         |         |
| 3050                         | Unpaid obligations, end of year .....                        | 14,297  | 14,375  | 12,615  |
| Uncollected payments:        |  |         |         |         |
| 3060                         | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -11,167 | -11,738 | -11,738 |
| 3070                         | Change in uncollected pymts, Fed sources, unexpired .....    | -660    |         |         |

**MILITARY CONSTRUCTION, ARMY—Continued**  
**Program and Financing—Continued**

| Identification code 21–2050–0–1–051                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 3071  | Change in uncollected pymts, Fed sources, expired .....         | 89          |         |           |
| 3090  | Uncollected pymts, Fed sources, end of year .....               | –11,738     | –11,738 | –11,738   |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                          | 4,062       | 2,559   | 2,637     |
| 3200  | Obligated balance, end of year .....                            | 2,559       | 2,637   | 877       |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                                   | 11,315      | 7,335   | 5,946     |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....                  | 1,059       | 4,443   | 4,837     |
| 4011  | Outlays from discretionary balances .....                       | 10,442      | 4,582   | 3,707     |
| 4020  | Outlays, gross (total) .....                                    | 11,501      | 9,025   | 8,544     |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....   | –7,823      | –4,414  | –4,826    |
| 4033  | Non-Federal sources .....                                       | –126        |         |           |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –7,949      | –4,414  | –4,826    |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | –660        |         |           |
| 4052  | Offsetting collections credited to expired accounts .....       | 46          |         |           |
| 4060  | Additional offsets against budget authority only (total) .....  | –614        |         |           |
| 4070  | Budget authority, net (discretionary) .....                     | 2,752       | 2,921   | 1,120     |
| 4080  | Outlays, net (discretionary) .....                              | 3,552       | 4,611   | 3,718     |
| 4180  | Budget authority, net (total) .....                             | 2,752       | 2,921   | 1,120     |
| 4190  | Outlays, net (total) .....                                      | 3,552       | 4,611   | 3,718     |

**Object Classification (in millions of dollars)**

| Identification code 21–2050–0–1–051 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 32.0                                | Direct obligations: Land and structures ..... | 3,370       | 4,300   | 2,158     |
| 99.0                                | Reimbursable obligations .....                | 8,182       | 4,803   | 4,626     |
| 99.9                                | Total new obligations .....                   | 11,552      | 9,103   | 6,784     |

**Employment Summary**

| Identification code 21–2050–0–1–051 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 7,365       | 6,630   | 5,380     |

**MILITARY CONSTRUCTION, NAVY AND MARINE CORPS**

*For acquisition, construction, installation, and equipment of temporary or permanent public works, naval installations, facilities, and real property for the Navy and Marine Corps as currently authorized by law, including personnel in the Naval Facilities Engineering Command and other personal services necessary for the purposes of this appropriation, \$1,700,269,000, to remain available until September 30, 2018: Provided, That of this amount, not to exceed \$89,830,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 17–1205–0–1–051     |                          | 2012 actual | 2013 CR | 2014 est. |
|---|--------------------------|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |                          |             |         |           |
| 0001                                    | Major construction ..... | 2,730       | 2,220   | 1,829     |
| 0002                                    | Minor construction ..... | 19          | 28      | 25        |
| 0003                                    | Planning .....           | 110         | 64      | 71        |

|      |                                      |       |       |       |
|------|--------------------------------------|-------|-------|-------|
| 0005 | Major repair construction .....      | 1     | 2     | 1     |
| 0006 | Minor maintenance construction ..... | 6     | 1     |       |
| 0799 | Total direct obligations .....       | 2,866 | 2,315 | 1,926 |
| 0801 | Reimbursable program .....           | 1,739 | 566   | 548   |
| 0900 | Total new obligations .....          | 4,605 | 2,881 | 2,474 |

**Budgetary Resources:**

|  |   |       |       |       |
|--|---|-------|-------|-------|
| Unobligated balance:   |   |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 2,282 | 2,157 | 1,894 |
| 1010   | Unobligated balance transfer to other accts [97–9999] .....     | –1    |       |       |
| 1011   | Unobligated balance transfer from other accts [97–9999] ....    | 6     |       |       |
| 1021   | Recoveries of prior year unpaid obligations .....               | 1,238 | 1     |       |
| 1050   | Unobligated balance (total) .....                               | 3,525 | 2,158 | 1,894 |
| Budget authority:  |   |       |       |       |
| Appropriations, discretionary:                                 |   |       |       |       |
| 1100   | Appropriation .....   | 2,303 | 2,126 | 1,700 |
| 1120   | Appropriations transferred to other accts [97–9999] .....       | –13   |       |       |
| 1121   | Appropriations transferred from other accts [97–9999] ....      | 13    |       |       |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | –25   | –47   |       |
| 1160   | Appropriation, discretionary (total) .....                      | 2,278 | 2,079 | 1,700 |
| Spending authority from offsetting collections, discretionary: |   |       |       |       |
| 1700   | Collected .....   | 1,135 | 538   | 548   |
| 1701   | Change in uncollected payments, Federal sources .....           | –108  |       |       |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 1,027 | 538   | 548   |
| 1900   | Budget authority (total) .....                                  | 3,305 | 2,617 | 2,248 |
| 1930   | Total budgetary resources available .....                       | 6,830 | 4,775 | 4,142 |
| Memorandum (non-add) entries:                                  |   |       |       |       |
| 1940   | Unobligated balance expiring .....                              | –68   |       |       |
| 1941   | Unexpired unobligated balance, end of year .....                | 2,157 | 1,894 | 1,668 |

**Change in obligated balance:**

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations:           |  |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 5,525  | 5,021  | 4,484  |
| 3010                          | Obligations incurred, unexpired accounts .....               | 4,605  | 2,881  | 2,474  |
| 3011                          | Obligations incurred, expired accounts .....                 | 39     |        |        |
| 3020                          | Outlays (gross) .....  | –3,870 | –3,417 | –2,537 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | –1,238 | –1     |        |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | –40    |        |        |
| 3050                          | Unpaid obligations, end of year .....                        | 5,021  | 4,484  | 4,421  |
| Uncollected payments:         |  |        |        |        |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –649   | –543   | –543   |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 108    |        |        |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | –2     |        |        |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | –543   | –543   | –543   |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 4,876  | 4,478  | 3,941  |
| 3200                          | Obligated balance, end of year .....                         | 4,478  | 3,941  | 3,878  |

**Budget authority and outlays, net:**

|   |   |        |       |       |
|---|---|--------|-------|-------|
| Discretionary:  |   |        |       |       |
| 4000  | Budget authority, gross .....                                   | 3,305  | 2,617 | 2,248 |
| Outlays, gross:   |   |        |       |       |
| 4010  | Outlays from new discretionary authority .....                  | 452    | 580   | 582   |
| 4011  | Outlays from discretionary balances .....                       | 3,418  | 2,837 | 1,955 |
| 4020  | Outlays, gross (total) .....                                    | 3,870  | 3,417 | 2,537 |
| Offsets against gross budget authority and outlays:     |   |        |       |       |
| Offsetting collections (collected) from:                |   |        |       |       |
| 4030  | Federal sources .....   | –962   | –538  | –548  |
| 4033  | Non-Federal sources .....                                       | –177   |       |       |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –1,139 | –538  | –548  |
| Additional offsets against gross budget authority only: |   |        |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | 108    |       |       |
| 4052  | Offsetting collections credited to expired accounts .....       | 4      |       |       |
| 4060  | Additional offsets against budget authority only (total) .....  | 112    |       |       |
| 4070  | Budget authority, net (discretionary) .....                     | 2,278  | 2,079 | 1,700 |
| 4080  | Outlays, net (discretionary) .....                              | 2,731  | 2,879 | 1,989 |
| 4180  | Budget authority, net (total) .....                             | 2,278  | 2,079 | 1,700 |
| 4190  | Outlays, net (total) .....                                      | 2,731  | 2,879 | 1,989 |

**Object Classification (in millions of dollars)**

| Identification code 17–1205–0–1–051 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 32.0                                | Direct obligations: Land and structures ..... | 2,867       | 2,315   | 1,926     |
| 99.0                                | Reimbursable obligations .....                | 1,738       | 566     | 548       |

|      |                             |       |       |       |
|------|-----------------------------|-------|-------|-------|
| 99.9 | Total new obligations ..... | 4,605 | 2,881 | 2,474 |
|------|-----------------------------|-------|-------|-------|

**MILITARY CONSTRUCTION, AIR FORCE**

*For acquisition, construction, installation, and equipment of temporary or permanent public works, military installations, facilities, and real property for the Air Force as currently authorized by law, \$1,156,573,000, to remain available until September 30, 2018: Provided, That of this amount, not to exceed \$11,314,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Air Force determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 57–3300–0–1–051                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Major construction .....  | 1,407       | 1,125   | 1,141     |
| 0002   | Minor construction .....  | 53          | 43      | 29        |
| 0003   | Planning .....  | 56          | 121     | 55        |
| 0799   | Total direct obligations .....                                  | 1,516       | 1,289   | 1,225     |
| 0801   | Reimbursable program .....                                      | 1           | 1       | .....     |
| 0900   | Total new obligations .....                                     | 1,517       | 1,290   | 1,225     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 1,443       | 848     | 752       |
| 1010   | Unobligated balance transfer to other accts [97–9999] .....     | –76         | .....   | .....     |
| 1011   | Unobligated balance transfer from other accts [97–9999] .....   | 23          | .....   | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....               | 32          | .....   | .....     |
| 1050   | Unobligated balance (total) .....                               | 1,422       | 848     | 752       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 1,227       | 1,234   | 1,157     |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | –67         | –41     | .....     |
| 1160   | Appropriation, discretionary (total) .....                      | 1,160       | 1,193   | 1,157     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 13          | 1       | .....     |
| 1701   | Change in uncollected payments, Federal sources .....           | 1           | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 14          | 1       | .....     |
| 1900   | Budget authority (total) .....                                  | 1,174       | 1,194   | 1,157     |
| 1930   | Total budgetary resources available .....                       | 2,596       | 2,042   | 1,909     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                              | –231        | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....                | 848         | 752     | 684       |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 1,868       | 2,134   | 2,031     |
| 3010   | Obligations incurred, unexpired accounts .....                  | 1,517       | 1,290   | 1,225     |
| 3011   | Obligations incurred, expired accounts .....                    | 19          | .....   | .....     |
| 3020   | Outlays (gross) .....   | –1,230      | –1,393  | –1,123    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | –32         | .....   | .....     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | –8          | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                           | 2,134       | 2,031   | 2,133     |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | 1           | .....   | .....     |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | –1          | .....   | .....     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 1,869       | 2,134   | 2,031     |
| 3200   | Obligated balance, end of year .....                            | 2,134       | 2,031   | 2,133     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                   | 1,174       | 1,194   | 1,157     |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                  | 25          | 25      | 23        |
| 4011   | Outlays from discretionary balances .....                       | 1,205       | 1,368   | 1,100     |

|   |   |       |       |       |
|---|---|-------|-------|-------|
| 4020  | Outlays, gross (total) .....                                    | 1,230 | 1,393 | 1,123 |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4030  | Federal sources .....   | –1    | –1    | ..... |
| 4033  | Non-Federal sources .....                                       | –12   | ..... | ..... |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –13   | –1    | ..... |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | –1    | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....                     | 1,160 | 1,193 | 1,157 |
| 4080  | Outlays, net (discretionary) .....                              | 1,217 | 1,392 | 1,123 |
| 4180  | Budget authority, net (total) .....                             | 1,160 | 1,193 | 1,157 |
| 4190  | Outlays, net (total) .....                                      | 1,217 | 1,392 | 1,123 |

**Object Classification** (in millions of dollars)

| Identification code 57–3300–0–1–051 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 32.0                                | Direct obligations: Land and structures (direct) ..... | 1,515       | 1,289   | 1,225     |
| 99.0                                | Reimbursable obligations .....                         | 2           | 1       | .....     |
| 99.9                                | Total new obligations .....                            | 1,517       | 1,290   | 1,225     |

**MILITARY CONSTRUCTION, DEFENSE-WIDE**

## (INCLUDING TRANSFER OF FUNDS)

*For acquisition, construction, installation, and equipment of temporary or permanent public works, installations, facilities, and real property for activities and agencies of the Department of Defense (other than the military departments), as currently authorized by law, \$3,985,300,000, to remain available until September 30, 2018: Provided, That such amounts of this appropriation as may be determined by the Secretary of Defense may be transferred to such appropriations of the Department of Defense available for military construction or family housing as the Secretary may designate, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: Provided further, That of the amount appropriated, not to exceed \$237,838,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of Defense determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor: Provided further, That, of the amount appropriated, notwithstanding any other provision of law, \$38,513,000 shall be available for payments to the North Atlantic Treaty Organization for the planning, design, and construction of a new North Atlantic Treaty Organization headquarters.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97–0500–0–1–051     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Major construction .....  | 2,995       | 3,502   | 3,585     |
| 0002                                    | Minor construction .....  | 31          | 37      | 42        |
| 0003                                    | Planning .....  | 269         | 281     | 246       |
| 0900                                    | Total new obligations (object class 32.0) .....                 | 3,295       | 3,820   | 3,873     |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....                | 3,120       | 3,236   | 2,705     |
| 1010                                    | Unobligated balance transfer to other accts [97–9999] .....     | –1,202      | –3      | .....     |
| 1011                                    | Unobligated balance transfer from other accts [97–9999] ....    | 1,218       | 3       | .....     |
| 1021                                    | Recoveries of prior year unpaid obligations .....               | 175         | 7       | .....     |
| 1050                                    | Unobligated balance (total) .....                               | 3,311       | 3,243   | 2,705     |
| Budget authority:                       |   |             |         |           |
| Appropriations, discretionary:          |   |             |         |           |
| 1100                                    | Appropriation .....   | 3,432       | 3,452   | 3,985     |
| 1120                                    | Appropriations transferred to other accts [97–9999] .....       | –4          | .....   | .....     |
| 1121                                    | Appropriations transferred from other accts [97–9999] ....      | 4           | .....   | .....     |
| 1131                                    | Unobligated balance of appropriations permanently reduced ..... | –132        | –170    | .....     |

MILITARY CONSTRUCTION, DEFENSE-WIDE—Continued  
Program and Financing—Continued

| Identification code 97-0500-0-1-051       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 1160                                      | Appropriation, discretionary (total) .....                   | 3,300       | 3,282   | 3,985     |
| 1930                                      | Total budgetary resources available .....                    | 6,611       | 6,525   | 6,690     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1940                                      | Unobligated balance expiring .....                           | -80         |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 3,236       | 2,705   | 2,817     |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 4,899       | 5,081   | 5,592     |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 3,295       | 3,820   | 3,873     |
| 3011                                      | Obligations incurred, expired accounts .....                 | 9           |         |           |
| 3020                                      | Outlays (gross) .....  | -2,938      | -3,302  | -2,764    |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -175        | -7      |           |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....   | -9          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 5,081       | 5,592   | 6,701     |
| Uncollected payments:                     |  |             |         |           |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 4,900       | 5,082   | 5,593     |
| 3200                                      | Obligated balance, end of year .....                         | 5,082       | 5,593   | 6,702     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 3,300       | 3,282   | 3,985     |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               | 100         | 66      | 80        |
| 4011                                      | Outlays from discretionary balances .....                    | 2,838       | 3,236   | 2,684     |
| 4020                                      | Outlays, gross (total) .....                                 | 2,938       | 3,302   | 2,764     |
| 4180                                      | Budget authority, net (total) .....                          | 3,300       | 3,282   | 3,985     |
| 4190                                      | Outlays, net (total) .....                                   | 2,938       | 3,302   | 2,764     |

## NORTH ATLANTIC TREATY ORGANIZATION SECURITY INVESTMENT PROGRAM

*For the United States share of the cost of the North Atlantic Treaty Organization Security Investment Program for the acquisition and construction of military facilities and installations (including international military headquarters) and for related expenses for the collective defense of the North Atlantic Treaty Area as authorized by section 2806 of title 10, United States Code, and Military Construction Authorization Acts, \$239,700,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 97-0804-0-1-051                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | NATO infrastructure .....                                     | 193         | 249     | 240       |
| 0801   | Reimbursable program activity .....                           | 20          | 20      |           |
| 0900   | Total new obligations .....                                   | 213         | 269     | 240       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 39          | 97      | 97        |
| 1021   | Recoveries of prior year unpaid obligations .....             | 3           |         |           |
| 1050   | Unobligated balance (total) .....                             | 42          | 97      | 97        |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 248         | 249     | 240       |
| 1160   | Appropriation, discretionary (total) .....                    | 248         | 249     | 240       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   |             | 20      |           |
| 1701   | Change in uncollected payments, Federal sources .....         | 20          |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 20          | 20      |           |
| 1900   | Budget authority (total) .....                                | 268         | 269     | 240       |
| 1930   | Total budgetary resources available .....                     | 310         | 366     | 337       |

|                                     |  |      |      |      |
|-------------------------------------|--|------|------|------|
| Memorandum (non-add) entries:       |  |      |      |      |
| 1941                                | Unexpired unobligated balance, end of year .....             | 97   | 97   | 97   |
| <b>Change in obligated balance:</b> |  |      |      |      |
| Unpaid obligations:                 |  |      |      |      |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 453  | 521  | 467  |
| 3010                                | Obligations incurred, unexpired accounts .....               | 213  | 269  | 240  |
| 3020                                | Outlays (gross) .....  | -142 | -323 | -319 |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | -3   |      |      |
| 3050                                | Unpaid obligations, end of year .....                        | 521  | 467  | 388  |
| Uncollected payments:               |  |      |      |      |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |      | -20  | -20  |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | -20  |      |      |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -20  | -20  | -20  |
| Memorandum (non-add) entries:       |  |      |      |      |
| 3100                                | Obligated balance, start of year .....                       | 453  | 501  | 447  |
| 3200                                | Obligated balance, end of year .....                         | 501  | 447  | 368  |

|   |   |     |     |     |
|---|---|-----|-----|-----|
| <b>Budget authority and outlays, net:</b>               |   |     |     |     |
| Discretionary:  |   |     |     |     |
| 4000  | Budget authority, gross .....                             | 268 | 269 | 240 |
| Outlays, gross:   |   |     |     |     |
| 4010  | Outlays from new discretionary authority .....            | 20  | 108 | 96  |
| 4011  | Outlays from discretionary balances .....                 | 122 | 215 | 223 |
| 4020  | Outlays, gross (total) .....                              | 142 | 323 | 319 |
| Offsets against gross budget authority and outlays:     |   |     |     |     |
| Offsetting collections (collected) from:                |   |     |     |     |
| 4030  | Federal sources .....                                     |     | -20 |     |
| Additional offsets against gross budget authority only: |   |     |     |     |
| 4050  | Change in uncollected pymts, Fed sources, unexpired ..... | -20 |     |     |
| 4070  | Budget authority, net (discretionary) .....               | 248 | 249 | 240 |
| 4080  | Outlays, net (discretionary) .....                        | 142 | 303 | 319 |
| 4180  | Budget authority, net (total) .....                       | 248 | 249 | 240 |
| 4190  | Outlays, net (total) .....                                | 142 | 303 | 319 |

## Object Classification (in millions of dollars)

| Identification code 97-0804-0-1-051 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 32.0                                | Direct obligations: Land and structures ..... | 193         | 249     | 240       |
| 99.0                                | Reimbursable obligations .....                | 20          | 20      |           |
| 99.9                                | Total new obligations .....                   | 213         | 269     | 240       |

## MILITARY CONSTRUCTION, ARMY NATIONAL GUARD

*For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army National Guard, and contributions therefor, as authorized by law, \$320,815,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$29,005,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Army National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 21-2085-0-1-051     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Major construction .....                         | 1,149       | 745     | 387       |
| 0002                                    | Minor construction .....                         | 11          | 12      | 12        |
| 0003                                    | Planning .....                                   | 68          | 111     | 62        |
| 0900                                    | Total new obligations (object class 32.0) .....  | 1,228       | 868     | 461       |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 468         | 503     | 438       |

|      |   |       |       |     |
|------|---|-------|-------|-----|
| 1021 | Recoveries of prior year unpaid obligations ..... | 504   |       |     |
| 1050 | Unobligated balance (total) .....                 | 972   | 503   | 438 |
|      | Budget authority:                                 |       |       |     |
|      | Appropriations, discretionary:                    |       |       |     |
| 1100 | Appropriation .....                               | 774   | 803   | 321 |
| 1160 | Appropriation, discretionary (total) .....        | 774   | 803   | 321 |
| 1930 | Total budgetary resources available .....         | 1,746 | 1,306 | 759 |
|      | Memorandum (non-add) entries:                     |       |       |     |
| 1940 | Unobligated balance expiring .....                | -15   |       |     |
| 1941 | Unexpired unobligated balance, end of year .....  | 503   | 438   | 298 |

**Change in obligated balance:**

|      |  |       |       |       |
|------|--|-------|-------|-------|
|      | Unpaid obligations:  |       |       |       |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....             | 1,104 | 1,187 | 1,397 |
| 3010 | Obligations incurred, unexpired accounts .....               | 1,228 | 868   | 461   |
| 3011 | Obligations incurred, expired accounts .....                 | 29    |       |       |
| 3020 | Outlays (gross) .....  | -644  | -658  | -583  |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -504  |       |       |
| 3041 | Recoveries of prior year unpaid obligations, expired .....   | -26   |       |       |
| 3050 | Unpaid obligations, end of year .....                        | 1,187 | 1,397 | 1,275 |
|      | Memorandum (non-add) entries:                                |       |       |       |
| 3100 | Obligated balance, start of year .....                       | 1,104 | 1,187 | 1,397 |
| 3200 | Obligated balance, end of year .....                         | 1,187 | 1,397 | 1,275 |

**Budget authority and outlays, net:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
|      | Discretionary:                                 |     |     |     |
| 4000 | Budget authority, gross .....                  | 774 | 803 | 321 |
|      | Outlays, gross:                                |     |     |     |
| 4010 | Outlays from new discretionary authority ..... | 13  | 32  | 12  |
| 4011 | Outlays from discretionary balances .....      | 631 | 626 | 571 |
| 4020 | Outlays, gross (total) .....                   | 644 | 658 | 583 |
| 4180 | Budget authority, net (total) .....            | 774 | 803 | 321 |
| 4190 | Outlays, net (total) .....                     | 644 | 658 | 583 |

**MILITARY CONSTRUCTION, AIR NATIONAL GUARD**

*For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air National Guard, and contributions therefor, as authorized by law, \$119,800,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$13,400,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Director of the Air National Guard determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 57–3830–0–1–051                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Major construction .....                          | 98          | 112     | 106       |
| 0002 Minor construction .....                          | 22          | 28      | 13        |
| 0003 Planning .....                                    | 53          | 54      | 26        |
| 0900 Total new obligations (object class 32.0) .....   | 173         | 194     | 145       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 180         | 159     | 82        |
| 1021 Recoveries of prior year unpaid obligations ..... | 37          |         |           |
| 1050 Unobligated balance (total) .....                 | 217         | 159     | 82        |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1100      Appropriation .....                          | 116         | 117     | 120       |
| 1160      Appropriation, discretionary (total) .....   | 116         | 117     | 120       |
| 1930 Total budgetary resources available .....         | 333         | 276     | 202       |
| Memorandum (non-add) entries:                          |             |         |           |
| 1940 Unobligated balance expiring .....                | -1          |         |           |
| 1941 Unobligated balance carried forward, EOY .....    | 159         | 82      | 57        |

**Change in obligated balance:**

|      |  |      |      |      |
|------|--|------|------|------|
|      | Unpaid obligations:  |      |      |      |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....             | 316  | 250  | 189  |
| 3010 | Obligations incurred, unexpired accounts .....               | 173  | 194  | 145  |
| 3011 | Obligations incurred, expired accounts .....                 | 1    |      |      |
| 3020 | Outlays (gross) .....  | -203 | -255 | -131 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -37  |      |      |
| 3050 | Unpaid obligations, end of year .....                        | 250  | 189  | 203  |
|      | Memorandum (non-add) entries:                                |      |      |      |
| 3100 | Obligated balance, start of year .....                       | 316  | 250  | 189  |
| 3200 | Obligated balance, end of year .....                         | 250  | 189  | 203  |

**Budget authority and outlays, net:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
|      | Discretionary:                                 |     |     |     |
| 4000 | Budget authority, gross .....                  | 116 | 117 | 120 |
|      | Outlays, gross:                                |     |     |     |
| 4010 | Outlays from new discretionary authority ..... |     | 2   | 2   |
| 4011 | Outlays from discretionary balances .....      | 203 | 253 | 129 |
| 4020 | Outlays, gross (total) .....                   | 203 | 255 | 131 |
| 4180 | Budget authority, net (total) .....            | 116 | 117 | 120 |
| 4190 | Outlays, net (total) .....                     | 203 | 255 | 131 |

**MILITARY CONSTRUCTION, ARMY RESERVE**

*For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Army Reserve as authorized by law, \$174,060,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$14,212,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Army Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 21–2086–0–1–051       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Major construction .....                                     | 341         | 254     | 184       |
| 0002                                      | Minor construction .....                                     | 4           | 6       | 3         |
| 0003                                      | Planning .....   | 28          | 23      | 17        |
| 0900                                      | Total new obligations (object class 32.0) .....              | 373         | 283     | 204       |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 241         | 152     | 151       |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 3           |         |           |
| 1050                                      | Unobligated balance (total) .....                            | 244         | 152     | 151       |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 281         | 282     | 174       |
| 1160                                      | Appropriation, discretionary (total) .....                   | 281         | 282     | 174       |
| 1930                                      | Total budgetary resources available .....                    | 525         | 434     | 325       |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 152         | 151     | 121       |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 454         | 482     | 426       |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 373         | 283     | 204       |
| 3020                                      | Outlays (gross) .....  | –342        | –339    | –223      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | –3          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 482         | 426     | 407       |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 454         | 482     | 426       |
| 3200                                      | Obligated balance, end of year .....                         | 482         | 426     | 407       |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 281         | 282     | 174       |

**MILITARY CONSTRUCTION, ARMY RESERVE—Continued**  
**Program and Financing—Continued**

| Identification code 21–2086–0–1–051                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 27          | 17      | 10        |
| 4011 Outlays from discretionary balances .....      | 315         | 322     | 213       |
| 4020 Outlays, gross (total) .....                   | 342         | 339     | 223       |
| 4180 Budget authority, net (total) .....            | 281         | 282     | 174       |
| 4190 Outlays, net (total) .....                     | 342         | 339     | 223       |

**MILITARY CONSTRUCTION, NAVY RESERVE**

*For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the reserve components of the Navy and Marine Corps as authorized by law, \$32,976,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$2,540,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Secretary of the Navy determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 17–1235–0–1–051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Major construction .....                                     | 77          | 40      | 38        |
| 0002 Minor construction .....                                     |             | 3       | 1         |
| 0003 Planning .....   | 1           | 3       | 3         |
| 0900 Total new obligations (object class 32.0) .....              | 78          | 46      | 42        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 38          | 46      | 26        |
| 1021 Recoveries of prior year unpaid obligations .....            | 60          |         |           |
| 1050 Unobligated balance (total) .....                            | 98          | 46      | 26        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 26          | 26      | 33        |
| 1160 Appropriation, discretionary (total) .....                   | 26          | 26      | 33        |
| 1930 Total budgetary resources available .....                    | 124         | 72      | 59        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 46          | 26      | 17        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 119         | 58      | 22        |
| 3010 Obligations incurred, unexpired accounts .....               | 78          | 46      | 42        |
| 3020 Outlays (gross) .....  | –78         | –82     | –30       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –60         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 58          | 22      | 34        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 119         | 58      | 22        |
| 3200 Obligated balance, end of year .....                         | 58          | 22      | 34        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 26          | 26      | 33        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 1           | 1       | 1         |
| 4011 Outlays from discretionary balances .....                    | 77          | 81      | 29        |
| 4020 Outlays, gross (total) .....                                 | 78          | 82      | 30        |
| 4180 Budget authority, net (total) .....                          | 26          | 26      | 33        |

|                                 |    |    |    |
|---------------------------------|----|----|----|
| 4190 Outlays, net (total) ..... | 78 | 82 | 30 |
|---------------------------------|----|----|----|

**MILITARY CONSTRUCTION, AIR FORCE RESERVE**

*For construction, acquisition, expansion, rehabilitation, and conversion of facilities for the training and administration of the Air Force Reserve as authorized by law, \$45,659,000, to remain available until September 30, 2018: Provided, That of the amount appropriated, not to exceed \$2,229,000 shall be available for study, planning, design, and architect and engineer services, as authorized by law, unless the Chief of the Air Force Reserve determines that additional obligations are necessary for such purposes and notifies the Committees on Appropriations of both Houses of Congress of the determination and the reasons therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 57–3730–0–1–051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Major construction .....                         | 33          | 27      | 39        |
| 0002 Minor construction .....                         | 1           | 9       | 3         |
| 0003 Planning .....                                   | 2           | 5       | 3         |
| 0900 Total new obligations (object class 32.0) .....  | 36          | 41      | 45        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 28          | 26      | 19        |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 34          | 34      | 46        |
| 1160 Appropriation, discretionary (total) .....       | 34          | 34      | 46        |
| 1930 Total budgetary resources available .....        | 62          | 60      | 65        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unobligated balance carried forward, EOY .....   | 26          | 19      | 20        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 61          | 38      | 44        |
| 3010 Obligations incurred, unexpired accounts .....   | 36          | 41      | 45        |
| 3020 Outlays (gross) .....                            | –59         | –35     | –32       |
| 3050 Unpaid obligations, end of year .....            | 38          | 44      | 57        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 61          | 38      | 44        |
| 3200 Obligated balance, end of year .....             | 38          | 44      | 57        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 34          | 34      | 46        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             | 1       | 1         |
| 4011 Outlays from discretionary balances .....        | 59          | 34      | 31        |
| 4020 Outlays, gross (total) .....                     | 59          | 35      | 32        |
| 4180 Budget authority, net (total) .....              | 34          | 34      | 46        |
| 4190 Outlays, net (total) .....                       | 59          | 35      | 32        |

**CHEMICAL DEMILITARIZATION CONSTRUCTION, DEFENSE-WIDE**

*For expenses of construction, not otherwise provided for, necessary for the destruction of the United States stockpile of lethal chemical agents and munitions in accordance with section 1412 of the Department of Defense Authorization Act, 1986 (50 U.S.C. 1521), and for the destruction of other chemical warfare materials that are not in the chemical weapon stockpile, as currently authorized by law, \$122,536,000, to remain available until September 30, 2018, which shall be only for the Assembled Chemical Weapons Alternatives program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97–0391–0–1–051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Chemical demilitarization construction, defense-wide .....   | 96          | 63      | 109       |
| 0900 Total new obligations (object class 32.0) .....              | 96          | 63      | 109       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 23          | 3       | 16        |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 24          | 3       | 16        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 75          | 76      | 123       |
| 1160 Appropriation, discretionary (total) .....                   | 75          | 76      | 123       |
| 1930 Total budgetary resources available .....                    | 99          | 79      | 139       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 3           | 16      | 30        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 136         | 79      | 89        |
| 3010 Obligations incurred, unexpired accounts .....               | 96          | 63      | 109       |
| 3020 Outlays (gross) .....  | –152        | –53     | –60       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 79          | 89      | 138       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 136         | 79      | 89        |
| 3200 Obligated balance, end of year .....                         | 79          | 89      | 138       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 75          | 76      | 123       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 7           | 7       | 11        |
| 4011 Outlays from discretionary balances .....                    | 145         | 46      | 49        |
| 4020 Outlays, gross (total) .....                                 | 152         | 53      | 60        |
| 4180 Budget authority, net (total) .....                          | 75          | 76      | 123       |
| 4190 Outlays, net (total) .....                                   | 152         | 53      | 60        |

## DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT

*For deposit into the Department of Defense Base Closure Account 1990, established by section 2906(a)(1) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), as amended by section 2711 of the National Defense Authorization Act for Fiscal Year 2013, \$451,357,000, to remain available until expended.*

**Program and Financing** (in millions of dollars)

| Identification code 97–0516–0–1–051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                |             |         | 451       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] .... |             |         | 1,255     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  |             |         | 451       |
| 1160 Appropriation, discretionary (total) .....                   |             |         | 451       |
| 1930 Total budgetary resources available .....                    |             |         | 1,706     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             |             |         | 1,255     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....               |             |         | 451       |
| 3020 Outlays (gross) .....  |             |         | –280      |
| 3050 Unpaid obligations, end of year .....                        |             |         | 171       |

|   |  |  |     |
|---|--|--|-----|
| 3200                                      | Memorandum (non-add) entries:                  |  |     |
|   | Obligated balance, end of year .....           |  | 171 |
| <b>Budget authority and outlays, net:</b> |  |  |     |
| Discretionary:                            |  |  |     |
| 4000                                      | Budget authority, gross .....                  |  | 451 |
| Outlays, gross:                           |  |  |     |
| 4010                                      | Outlays from new discretionary authority ..... |  | 280 |
| 4180                                      | Budget authority, net (total) .....            |  | 451 |
| 4190                                      | Outlays, net (total) .....                     |  | 280 |

**Object Classification** (in millions of dollars)

| Identification code 97–0516–0–1–051 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| 25.2                                | Other services from non-Federal sources .....       |         | 1         |
| 25.3                                | Other goods and services from Federal sources ..... |         | 263       |
| 25.4                                | Operation and maintenance of facilities .....       |         | 1         |
| 31.0                                | Equipment .....                                     |         | 20        |
| 32.0                                | Land and structures .....                           |         | 164       |
| 41.0                                | Grants, subsidies, and contributions .....          |         | 2         |
| 99.9                                | Total new obligations .....                         |         | 451       |

## DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 1990

**Program and Financing** (in millions of dollars)

| Identification code 97–0510–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0002 Base Closure (II) .....                                       | 106         | 75      |           |
| 0004 Base Closure (IV) .....                                       | 353         | 251     |           |
| 0900 Total new obligations .....                                   | 459         | 326     |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 212         | 137     | 141       |
| 1010 Unobligated balance transfer to other accts [97–9999] .....   | –171        |         | –137      |
| 1011 Unobligated balance transfer from other accts [97–9999] ....  | 171         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 42          |         |           |
| 1050 Unobligated balance (total) .....                             | 254         | 137     | 4         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 324         | 326     |           |
| 1160 Appropriation, discretionary (total) .....                    | 324         | 326     |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 14          | 4       |           |
| 1701 Change in uncollected payments, Federal sources .....         | 4           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 18          | 4       |           |
| 1900 Budget authority (total) .....                                | 342         | 330     |           |
| 1930 Total budgetary resources available .....                     | 596         | 467     | 4         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unobligated balance carried forward, EOY .....                | 137         | 141     | 4         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 702         | 705     | 607       |
| 3010 Obligations incurred, unexpired accounts .....                | 459         | 326     |           |
| 3020 Outlays (gross) .....   | –414        | –424    | –331      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –42         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 705         | 607     | 276       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | 16          | 12      | 12        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –4          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | 12          | 12      | 12        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 718         | 717     | 619       |
| 3200 Obligated balance, end of year .....                          | 717         | 619     | 288       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 342         | 330     |           |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 41          | 119     |           |
| 4011 Outlays from discretionary balances .....                     | 373         | 305     | 331       |

DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 1990—Continued  
Program and Financing—Continued

| Identification code 97-0510-0-1-051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4020 Outlays, gross (total) .....   | 414         | 424     | 331       |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4030 Federal sources .....  |             | -4      |           |
| 4033 Non-Federal sources .....  | -14         |         |           |
| 4040 Offsets against gross budget authority and outlays (total) ....                            | -14         | -4      |           |
| Additional offsets against gross budget authority only:   |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                                  | -4          |         |           |
| 4070 Budget authority, net (discretionary) .....  | 324         | 326     |           |
| 4080 Outlays, net (discretionary) .....   | 400         | 420     | 331       |
| 4180 Budget authority, net (total) .....  | 324         | 326     |           |
| 4190 Outlays, net (total) .....   | 400         | 420     | 331       |

## Object Classification (in millions of dollars)

| Identification code 97-0510-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           |         |           |
| 25.1 Advisory and assistance services .....                     | 2           |         |           |
| 25.2 Other services from non-Federal sources .....              |             | 1       |           |
| 25.3 Other goods and services from Federal sources .....        | 169         | 150     |           |
| 25.3 Purchases from revolving funds .....                       | 14          | 6       |           |
| 25.4 Operation and maintenance of facilities .....              | 11          | 9       |           |
| 31.0 Equipment .....  |             | 15      |           |
| 32.0 Land and structures .....                                  | 260         | 144     |           |
| 41.0 Grants, subsidies, and contributions .....                 | 2           | 1       |           |
| 99.9 Total new obligations .....                                | 459         | 326     |           |

## DEPARTMENT OF DEFENSE BASE CLOSURE ACCOUNT 2005

## Program and Financing (in millions of dollars)

| Identification code 97-0512-0-1-051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 BRAC 2005 .....   | 660         | 14      |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 1,641       | 1,118   | 1,118     |
| 1010 Unobligated balance transfer to other accts [97-9999] .....     | -730        |         | -1,118    |
| 1011 Unobligated balance transfer from other accts [97-9999] ....    | 226         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 573         |         |           |
| 1050 Unobligated balance (total) .....                               | 1,710       | 1,118   |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 259         | 259     |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -259        | -259    |           |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 54          | 14      |           |
| 1701 Change in uncollected payments, Federal sources .....           | 14          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 68          | 14      |           |
| 1900 Budget authority (total) .....                                  | 68          | 14      |           |
| 1930 Total budgetary resources available .....                       | 1,778       | 1,132   |           |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1,118       | 1,118   |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 3,564       | 1,502   | 656       |
| 3010 Obligations incurred, unexpired accounts .....                  | 660         | 14      |           |
| 3020 Outlays (gross) .....   | -2,149      | -860    | -575      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -573        |         |           |
| 3050 Unpaid obligations, end of year .....                           | 1,502       | 656     | 81        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | 13          | -1      | -1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -14         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -1          | -1      | -1        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 3,577       | 1,501   | 655       |

|   |       |     |     |
|---|-------|-----|-----|
| 3200 Obligated balance, end of year .....   | 1,501 | 655 | 80  |
| <b>Budget authority and outlays, net:</b>   |       |     |     |
| Discretionary:  |       |     |     |
| 4000 Budget authority, gross .....  | 68    | 14  |     |
| Outlays, gross:   |       |     |     |
| 4010 Outlays from new discretionary authority .....   | 17    | 4   |     |
| 4011 Outlays from discretionary balances .....  | 2,132 | 856 | 575 |
| 4020 Outlays, gross (total) .....   | 2,149 | 860 | 575 |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |       |     |     |
| 4030 Federal sources .....  | -51   | -14 |     |
| 4033 Non-Federal sources .....  | -3    |     |     |
| 4040 Offsets against gross budget authority and outlays (total) ....                            | -54   | -14 |     |
| Additional offsets against gross budget authority only:   |       |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                                  | -14   |     |     |
| 4080 Outlays, net (discretionary) .....   | 2,095 | 846 | 575 |
| 4190 Outlays, net (total) .....   | 2,095 | 846 | 575 |

## Object Classification (in millions of dollars)

| Identification code 97-0512-0-1-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 518         |         |           |
| 32.0 Land and structures .....                           | 142         | 14      |           |
| 99.9 Total new obligations .....                         | 660         | 14      |           |

## FOREIGN CURRENCY FLUCTUATIONS, CONSTRUCTION

## Program and Financing (in millions of dollars)

| Identification code 97-0803-0-1-051                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 1           | 1       | 1         |
| 1010 Unobligated balance transfer to other accts [97-9999] .....          | -335        |         |           |
| 1011 Unobligated balance transfer from other accts [97-9999] ....         | 53          |         |           |
| 1012 Expired unobligated balance transfer to unexpired accounts (+) ..... | 282         |         |           |
| 1050 Unobligated balance (total) .....                                    | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....                            | 1           | 1       | 1         |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 1           | 1       | 1         |

## FAMILY HOUSING

These appropriations finance all costs associated with construction, improvements, operations, maintenance, utilities, privatization, and leasing of all military family housing. In addition to quality of life enhancements, the program contains initiatives to reduce operating costs and conserve energy by upgrading or replacing facilities which can be made more efficient through relatively modest investments in improvements.

The Family Housing Improvement Fund (FHIF) was created to finance the use of innovative methods authorized in the National Defense Authorization Act for Fiscal Year 1996 (Public Law 104-106), under the Military Housing Privatization Initiative (MHPI) to meet the Department's housing needs. The MHPI authorities allow the Department to use limited partnerships, make direct and guaranteed loans, provide equity investments, and convey Department-owned property to stimulate the private sector to increase the availability of affordable, quality housing for military personnel. The funds required to privatize military family housing are transferred from the military departments' family housing construction accounts into the Family Housing Improvement Fund.



Resources presented under the Family Housing title contribute primarily to achieving the Department's annual performance goals of assuring readiness and sustainability.

### Federal Funds

#### FAMILY HOUSING CONSTRUCTION, ARMY

*For expenses of family housing for the Army for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, \$44,008,000, to remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 21–0720–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Construction of new housing .....                             | 83          | 99      | 40        |
| 0003 Construction Improvements .....                               | 43          | 118     | 13        |
| 0004 Planning and design .....                                     | 3           | 19      | 14        |
| 0900 Total new obligations (object class 32.0) .....               | 129         | 236     | 67        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 131         | 137     | 79        |
| 1010 Unobligated balance transfer to other accts [97–9999] .....   | –62         |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ..... | 1           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 19          |         |           |
| 1050 Unobligated balance (total) .....                             | 89          | 137     | 79        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 177         | 178     | 44        |
| 1160 Appropriation, discretionary (total) .....                    | 177         | 178     | 44        |
| 1930 Total budgetary resources available .....                     | 266         | 315     | 123       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 137         | 79      | 56        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 248         | 196     | 110       |
| 3010 Obligations incurred, unexpired accounts .....                | 129         | 236     | 67        |
| 3020 Outlays (gross) .....   | –162        | –322    | –75       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –19         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 196         | 110     | 102       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 248         | 196     | 110       |
| 3200 Obligated balance, end of year .....                          | 196         | 110     | 102       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 177         | 178     | 44        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             | 9       | 2         |
| 4011 Outlays from discretionary balances .....                     | 162         | 313     | 73        |
| 4020 Outlays, gross (total) .....                                  | 162         | 322     | 75        |
| 4180 Budget authority, net (total) .....                           | 177         | 178     | 44        |
| 4190 Outlays, net (total) .....                                    | 162         | 322     | 75        |

#### Program and Financing (in millions of dollars)

| Identification code 21–0725–0–1–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0005 Utilities .....   | 85          | 74      | 97        |
| 0006 Operation .....   | 85          | 86      | 102       |
| 0007 Leasing .....   | 196         | 206     | 181       |
| 0008 Maintenance .....   | 101         | 105     | 107       |
| 0012 Housing privatization support .....                             | 23          | 26      | 26        |
| 0799 Total direct obligations .....                                  | 490         | 497     | 513       |
| 0801 Reimbursable program .....                                      | 5           | 15      | 15        |
| 0900 Total new obligations .....                                     | 495         | 512     | 528       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ....    | 7           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 493         | 497     | 513       |
| 1160 Appropriation, discretionary (total) .....                      | 493         | 497     | 513       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 2           | 15      | 15        |
| 1701 Change in uncollected payments, Federal sources .....           | 4           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 6           | 15      | 15        |
| 1900 Budget authority (total) .....                                  | 499         | 512     | 528       |
| 1930 Total budgetary resources available .....                       | 506         | 512     | 528       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –11         |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 310         | 322     | 309       |
| 3010 Obligations incurred, unexpired accounts .....                  | 495         | 512     | 528       |
| 3011 Obligations incurred, expired accounts .....                    | 119         |         |           |
| 3020 Outlays (gross) .....   | –454        | –525    | –594      |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –148        |         |           |
| 3050 Unpaid obligations, end of year .....                           | 322         | 309     | 243       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    |             | –4      | –4        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –4          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –4          | –4      | –4        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 310         | 318     | 305       |
| 3200 Obligated balance, end of year .....                            | 318         | 305     | 239       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 499         | 512     | 528       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 289         | 363     | 374       |
| 4011 Outlays from discretionary balances .....                       | 165         | 162     | 220       |
| 4020 Outlays, gross (total) .....                                    | 454         | 525     | 594       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   |             | –15     | –15       |
| 4033 Non-Federal sources .....                                       | –2          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | –2          | –15     | –15       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –4          |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 493         | 497     | 513       |
| 4080 Outlays, net (discretionary) .....                              | 452         | 510     | 579       |
| 4180 Budget authority, net (total) .....                             | 493         | 497     | 513       |
| 4190 Outlays, net (total) .....                                      | 452         | 510     | 579       |

#### Object Classification (in millions of dollars)

| Identification code 21–0725–0–1–051             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                      |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 22          | 19      | 18        |
| 11.3 Other than full-time permanent .....       |             | 8       | 13        |
| 11.5 Other personnel compensation .....         | 1           | 1       |           |
| 11.9 Total personnel compensation .....         | 23          | 28      | 31        |
| 12.1 Civilian personnel benefits .....          | 7           | 9       | 10        |
| 21.0 Travel and transportation of persons ..... | 2           | 1       | 3         |
| 22.0 Transportation of things .....             | 6           | 3       | 4         |

#### FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY

*For expenses of family housing for the Army for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, \$512,871,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

FAMILY HOUSING OPERATION AND MAINTENANCE, ARMY—Continued  
Object Classification—Continued

| Identification code 21–0725–0–1–051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 129         | 70      | 70        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 101         | 51      | 68        |
| 25.1 Advisory and assistance services .....                     | 2           | 14      | 1         |
| 25.2 Other services from non-Federal sources .....              | 27          | 12      | 7         |
| 25.3 Other goods and services from Federal sources .....        | 28          | 89      | 106       |
| 25.3 Payments to foreign national indirect hire personnel ..... | 21          | 15      | 12        |
| 25.3 Other goods and services from Federal sources .....        | 4           | 69      | 72        |
| 25.4 Operation and maintenance of facilities .....              | 90          | 96      | 95        |
| 25.7 Operation and maintenance of equipment .....               | 12          | 6       | 10        |
| 26.0 Supplies and materials .....                               | 13          | 26      | 7         |
| 31.0 Equipment .....  | 12          | 8       | 16        |
| 32.0 Land and structures .....                                  | 12          | .....   | .....     |
| 99.0 Direct obligations .....                                   | 490         | 498     | 513       |
| 99.0 Reimbursable obligations .....                             | 5           | 14      | 15        |
| 99.9 Total new obligations .....                                | 495         | 512     | 528       |

## Employment Summary

| Identification code 21–0725–0–1–051                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 404         | 458     | 484       |

## FAMILY HOUSING CONSTRUCTION, NAVY AND MARINE CORPS

*For expenses of family housing for the Navy and Marine Corps for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, \$73,407,000, to remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–0730–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Construction of new housing .....                             | 38          | 17      | 1         |
| 0003 Construction improvements .....                               | 89          | 144     | 101       |
| 0004 Planning and design .....                                     | 2           | 7       | 6         |
| 0900 Total new obligations (object class 32.0) .....               | 129         | 168     | 108       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 258         | 249     | 77        |
| 1010 Unobligated balance transfer to other accts [97–9999] .....   | –2          | –106    | .....     |
| 1011 Unobligated balance transfer from other accts [97–9999] ..... | 18          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 3           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 277         | 143     | 77        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 101         | 102     | 73        |
| 1160 Appropriation, discretionary (total) .....                    | 101         | 102     | 73        |
| 1930 Total budgetary resources available .....                     | 378         | 245     | 150       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 249         | 77      | 42        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 133         | 167     | 125       |
| 3010 Obligations incurred, unexpired accounts .....                | 129         | 168     | 108       |
| 3011 Obligations incurred, expired accounts .....                  | 7           | .....   | .....     |
| 3020 Outlays (gross) .....   | –98         | –210    | –87       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –3          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 167         | 125     | 146       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 133         | 167     | 125       |

|   |       |     |     |
|---|-------|-----|-----|
| 3200 Obligated balance, end of year .....           | 167   | 125 | 146 |
| <b>Budget authority and outlays, net:</b>           |       |     |     |
| Discretionary:                                      |       |     |     |
| 4000 Budget authority, gross .....                  | 101   | 102 | 73  |
| Outlays, gross:                                     |       |     |     |
| 4010 Outlays from new discretionary authority ..... | ..... | 6   | 4   |
| 4011 Outlays from discretionary balances .....      | 98    | 204 | 83  |
| 4020 Outlays, gross (total) .....                   | 98    | 210 | 87  |
| 4180 Budget authority, net (total) .....            | 101   | 102 | 73  |
| 4190 Outlays, net (total) .....                     | 98    | 210 | 87  |

## FAMILY HOUSING OPERATION AND MAINTENANCE, NAVY AND MARINE CORPS

*For expenses of family housing for the Navy and Marine Corps for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, \$389,844,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17–0735–0–1–051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0005 Utilities .....   | 70          | 70      | 94        |
| 0006 Operation .....   | 82          | 93      | 103       |
| 0007 Leasing .....   | 76          | 80      | 75        |
| 0008 Maintenance .....   | 121         | 98      | 90        |
| 0012 Housing privatization support .....                           | 26          | 29      | 28        |
| 0799 Total direct obligations .....                                | 375         | 370     | 390       |
| 0801 Reimbursable program .....                                    | 6           | 14      | 18        |
| 0900 Total new obligations .....                                   | 381         | 384     | 408       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1011 Unobligated balance transfer from other accts [97–9999] ..... | 18          | .....   | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 368         | 370     | 390       |
| 1160 Appropriation, discretionary (total) .....                    | 368         | 370     | 390       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 12          | 14      | 18        |
| 1701 Change in uncollected payments, Federal sources .....         | –6          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 6           | 14      | 18        |
| 1900 Budget authority (total) .....                                | 374         | 384     | 408       |
| 1930 Total budgetary resources available .....                     | 392         | 384     | 408       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –11         | .....   | .....     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 178         | 169     | 166       |
| 3010 Obligations incurred, unexpired accounts .....                | 381         | 384     | 408       |
| 3011 Obligations incurred, expired accounts .....                  | 38          | .....   | .....     |
| 3020 Outlays (gross) .....   | –382        | –387    | –393      |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –46         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 169         | 166     | 181       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | 18          | 14      | 14        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 6           | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | –10         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | 14          | 14      | 14        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 196         | 183     | 180       |
| 3200 Obligated balance, end of year .....                          | 183         | 180     | 195       |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 374 | 384 | 408 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 247 | 256 | 273 |

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 4011 | Outlays from discretionary balances .....                       | 135 | 131 | 120 |
| 4020 | Outlays, gross (total) .....                                    | 382 | 387 | 393 |
|      | Offsets against gross budget authority and outlays:             |     |     |     |
|      | Offsetting collections (collected) from:                        |     |     |     |
| 4030 | Federal sources .....   | -1  | -14 | -18 |
| 4033 | Non-Federal sources .....                                       | -31 |     |     |
| 4040 | Offsets against gross budget authority and outlays (total) .... | -32 | -14 | -18 |
|      | Additional offsets against gross budget authority only:         |     |     |     |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | 6   |     |     |
| 4052 | Offsetting collections credited to expired accounts .....       | 20  |     |     |
| 4060 | Additional offsets against budget authority only (total) .....  | 26  |     |     |
| 4070 | Budget authority, net (discretionary) .....                     | 368 | 370 | 390 |
| 4080 | Outlays, net (discretionary) .....                              | 350 | 373 | 375 |
| 4180 | Budget authority, net (total) .....                             | 368 | 370 | 390 |
| 4190 | Outlays, net (total) .....                                      | 350 | 373 | 375 |

**Object Classification** (in millions of dollars)

| Identification code 17-0735-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 30          | 30      | 29        |
| 11.3 Other than full-time permanent .....                       | 5           | 4       | 6         |
| 11.5 Other personnel compensation .....                         | 1           | 2       | 1         |
| 11.9 Total personnel compensation .....                         | 36          | 36      | 36        |
| 12.1 Civilian personnel benefits .....                          | 11          | 11      | 12        |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 1         |
| 22.0 Transportation of things .....                             | 1           | 3       | 3         |
| 23.2 Rental payments to others .....                            | 46          | 50      | 46        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 9           | 8       | 6         |
| 25.1 Advisory and assistance services .....                     | 3           | 4       | 3         |
| 25.2 Other services from non-Federal sources .....              | 12          |         |           |
| 25.3 Other goods and services from Federal sources .....        | 49          | 50      | 55        |
| 25.3 Other goods and services from Federal sources .....        | 2           | 3       | 3         |
| 25.3 Other goods and services from Federal sources .....        | 75          | 73      | 97        |
| 25.4 Operation and maintenance of facilities .....              | 118         | 120     | 116       |
| 25.7 Operation and maintenance of equipment .....               | 3           | 3       | 4         |
| 26.0 Supplies and materials .....                               | 5           | 4       | 4         |
| 31.0 Equipment .....  | 4           | 3       | 4         |
| 99.0 Direct obligations .....                                   | 375         | 370     | 390       |
| 99.0 Reimbursable obligations .....                             | 6           | 14      | 18        |
| 99.9 Total new obligations .....                                | 381         | 384     | 408       |

**Employment Summary**

| Identification code 17-0735-0-1-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 566         | 594     | 592       |
| 2001 Reimbursable civilian full-time equivalent employment ..... |             | 4       |           |

**FAMILY HOUSING CONSTRUCTION, AIR FORCE**

*For expenses of family housing for the Air Force for construction, including acquisition, replacement, addition, expansion, extension, and alteration, as authorized by law, \$76,360,000, to remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 57-0740-0-1-051      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:         |             |         |           |
| 0003 Construction Improvements .....     | 197         | 280     | 98        |
| 0004 Planning and design .....           | 6           | 13      | 6         |
| 0799 Total direct obligations .....      | 203         | 293     | 104       |
| 0801 Reimbursable program activity ..... | 2           | 1       |           |
| 0900 Total new obligations .....         | 205         | 294     | 104       |

**Budgetary Resources:**

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 373 | 385 | 113 |
| 1010 Unobligated balance transfer to other accts [97-9999] .....   | -27 | -40 |     |
| 1011 Unobligated balance transfer from other accts [97-9999] ....  | 209 |     |     |
| 1050 Unobligated balance (total) .....                             | 555 | 345 | 113 |
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 60  | 60  | 76  |
| 1160 Appropriation, discretionary (total) .....                    | 60  | 60  | 76  |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   |     | 2   |     |
| 1701 Change in uncollected payments, Federal sources .....         | 2   |     |     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2   | 2   |     |
| 1900 Budget authority (total) .....                                | 62  | 62  | 76  |
| 1930 Total budgetary resources available .....                     | 617 | 407 | 189 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1940 Unobligated balance expiring .....                            | -27 |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 385 | 113 | 85  |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 597  | 381  | 377  |
| 3010 Obligations incurred, unexpired accounts .....               | 205  | 294  | 104  |
| 3011 Obligations incurred, expired accounts .....                 | 4    |      |      |
| 3020 Outlays (gross) .....  | -421 | -298 | -198 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -4   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 381  | 377  | 283  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 2    |      |      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -2   |      |      |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 599  | 381  | 377  |
| 3200 Obligated balance, end of year .....                         | 381  | 377  | 283  |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 62  | 62  | 76  |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            |     | 6   | 8   |
| 4011 Outlays from discretionary balances .....                 | 421 | 292 | 190 |
| 4020 Outlays, gross (total) .....                              | 421 | 298 | 198 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     |     | -2  |     |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -2  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 60  | 60  | 76  |
| 4080 Outlays, net (discretionary) .....                        | 421 | 296 | 198 |
| 4180 Budget authority, net (total) .....                       | 60  | 60  | 76  |
| 4190 Outlays, net (total) .....                                | 421 | 296 | 198 |

**Object Classification** (in millions of dollars)

| Identification code 57-0740-0-1-051                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 32.0 Direct obligations: Land and structures ..... | 203         | 293     | 104       |
| 99.0 Reimbursable obligations .....                | 2           | 1       |           |
| 99.9 Total new obligations .....                   | 205         | 294     | 104       |

**FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE**

*For expenses of family housing for the Air Force for operation and maintenance, including debt payment, leasing, minor construction, principal and interest charges, and insurance premiums, as authorized by law, \$388,598,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

# **FAMILY HOUSING OPERATION AND MAINTENANCE, AIR FORCE—Continued**

## **Program and Financing** (in millions of dollars)

| Identification code 57-0745-0-1-051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0005 Utilities .....   | 88          | 77      | 71        |
| 0006 Operation .....   | 101         | 111     | 111       |
| 0007 Leasing .....   | 58          | 81      | 55        |
| 0008 Maintenance .....   | 149         | 115     | 111       |
| 0012 Housing privatization support .....                             | 44          | 48      | 41        |
| 0799 Total direct obligations .....                                  | 440         | 432     | 389       |
| 0801 Reimbursable program .....                                      | 5           | 6       | 6         |
| 0900 Total new obligations .....                                     | 445         | 438     | 395       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 2           | 2       | 2         |
| 1011 Unobligated balance transfer from other accts [97-9999] ....    | 25          |         |           |
| 1050 Unobligated balance (total) .....                               | 25          | 2       | 2         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 430         | 432     | 389       |
| 1160 Appropriation, discretionary (total) .....                      | 430         | 432     | 389       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 5           | 6       | 6         |
| 1701 Change in uncollected payments, Federal sources .....           | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 6           | 6       | 6         |
| 1900 Budget authority (total) .....                                  | 436         | 438     | 395       |
| 1930 Total budgetary resources available .....                       | 461         | 440     | 397       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -14         |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 244         | 186     | 221       |
| 3010 Obligations incurred, unexpired accounts .....                  | 445         | 438     | 395       |
| 3011 Obligations incurred, expired accounts .....                    | 4           |         |           |
| 3020 Outlays (gross) .....   | -477        | -403    | -411      |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -30         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 186         | 221     | 205       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -1          | -1      | -1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -1          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -1          | -1      | -1        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 243         | 185     | 220       |
| 3200 Obligated balance, end of year .....                            | 185         | 220     | 204       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 436         | 438     | 395       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 300         | 278     | 251       |
| 4011 Outlays from discretionary balances .....                       | 177         | 125     | 160       |
| 4020 Outlays, gross (total) .....                                    | 477         | 403     | 411       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -3          | -6      | -6        |
| 4033 Non-Federal sources .....                                       | -3          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | -6          | -6      | -6        |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -1          |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 1           |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 430         | 432     | 389       |
| 4080 Outlays, net (discretionary) .....                              | 471         | 397     | 405       |
| 4180 Budget authority, net (total) .....                             | 430         | 432     | 389       |
| 4190 Outlays, net (total) .....                                      | 471         | 397     | 405       |

## **Object Classification** (in millions of dollars)

| Identification code 57-0745-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 23.2 Rental payments to others .....                            | 172         | 167     | 173       |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 88          | 76      | 71        |

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 25.4 | Operation and maintenance of facilities ..... | 178 | 187 | 143 |
| 26.0 | Supplies and materials .....                  | 2   | 2   | 2   |
| 99.0 | Direct obligations .....                      | 440 | 432 | 389 |
| 99.0 | Reimbursable obligations .....                | 5   | 6   | 6   |
| 99.9 | Total new obligations .....                   | 445 | 438 | 395 |

# **FAMILY HOUSING OPERATION AND MAINTENANCE, DEFENSE-WIDE**

*For expenses of family housing for the activities and agencies of the Department of Defense (other than the military departments) for operation and maintenance, leasing, and minor construction, as authorized by law, \$55,845,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## **Program and Financing** (in millions of dollars)

| Identification code 97-0765-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0006 Operation .....   | 3           | 5       | 4         |
| 0007 Leasing .....   | 46          | 45      | 52        |
| 0008 Maintenance .....   | 1           | 1       |           |
| 0799 Total direct obligations .....                                | 50          | 51      | 56        |
| 0801 Reimbursable program .....                                    |             | 4       |           |
| 0900 Total new obligations .....                                   | 50          | 55      | 56        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 51          | 51      | 56        |
| 1160 Appropriation, discretionary (total) .....                    | 51          | 51      | 56        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 4       |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... |             | 4       |           |
| 1900 Budget authority (total) .....                                | 51          | 55      | 56        |
| 1930 Total budgetary resources available .....                     | 51          | 56      | 57        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 9           | 16      | 19        |
| 3010 Obligations incurred, unexpired accounts .....                | 50          | 55      | 56        |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020 Outlays (gross) .....   | -39         | -52     | -55       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -5          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 16          | 19      | 20        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  |             | -3      | -3        |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | -3          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -3          | -3      | -3        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 9           | 13      | 16        |
| 3200 Obligated balance, end of year .....                          | 13          | 16      | 17        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 51          | 55      | 56        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 39          | 45      | 45        |
| 4011 Outlays from discretionary balances .....                     |             | 7       | 10        |
| 4020 Outlays, gross (total) .....                                  | 39          | 52      | 55        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | 3           | -4      |           |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....     | -3          |         |           |
| 4070 Budget authority, net (discretionary) .....                   | 51          | 51      | 56        |
| 4080 Outlays, net (discretionary) .....                            | 42          | 48      | 55        |

|      |                                     |    |    |    |
|------|-------------------------------------|----|----|----|
| 4180 | Budget authority, net (total) ..... | 51 | 51 | 56 |
| 4190 | Outlays, net (total) .....          | 42 | 48 | 55 |

**Object Classification** (in millions of dollars)

| Identification code 97-0765-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 23.2 Rental payments to others .....                            | 37          | 34      | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 2       | 4         |
| 25.2 Other services from non-Federal sources .....              | 3           | 2       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 2           | 10      | 41        |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 3         |
| 26.0 Supplies and materials .....                               | 2           | 1       | .....     |
| 31.0 Equipment .....  | .....       | 1       | .....     |
| 99.0 Direct obligations .....                                   | 50          | 51      | 56        |
| 99.0 Reimbursable obligations .....                             | .....       | 4       | .....     |
| 99.9 Total new obligations .....                                | 50          | 55      | 56        |

**HOMEOWNERS ASSISTANCE FUND**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97-4090-0-3-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 631         | 1       | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 154         | 233     | 233       |
| 1011 Unobligated balance transfer from other accts [97-9999] ....  | 507         | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 18          | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 679         | 233     | 233       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1           | 1       | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 1           | 1       | .....     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 184         | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 184         | .....   | .....     |
| 1900 Budget authority (total) .....                                | 185         | 1       | .....     |
| 1930 Total budgetary resources available .....                     | 864         | 234     | 233       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 233         | 233     | 233       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 38          | 246     | 12        |
| 3010 Obligations incurred, unexpired accounts .....                | 631         | 1       | .....     |
| 3020 Outlays (gross) .....   | -405        | -235    | -12       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -18         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 246         | 12      | .....     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 38          | 246     | 12        |
| 3200 Obligated balance, end of year .....                          | 246         | 12      | .....     |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 185         | 1       | .....     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 1           | 1       | .....     |
| 4011 Outlays from discretionary balances .....                     | 404         | 234     | 12        |
| 4020 Outlays, gross (total) .....                                  | 405         | 235     | 12        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | -184        | .....   | .....     |
| 4180 Budget authority, net (total) .....                           | 1           | 1       | .....     |
| 4190 Outlays, net (total) .....                                    | 221         | 235     | 12        |

The Homeowners Assistance Fund finances a program which provides assistance to eligible homeowners by mitigating losses incident to the disposal of a primary residence.

**Object Classification** (in millions of dollars)

| Identification code 97-4090-0-3-051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 26.0 Supplies and materials .....   | 58          | 1       | .....     |
| 32.0 Land and structures .....      | 573         | .....   | .....     |
| 99.9 Total new obligations .....    | 631         | 1       | .....     |

**DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND**

*For the Department of Defense Family Housing Improvement Fund, \$1,780,000, to remain available until expended, for family housing initiatives undertaken pursuant to section 2883 of title 10, United States Code, providing alternative means of acquiring and improving military family housing and supporting facilities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 97-0834-0-1-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Equity program .....  | 70          | 79      | 203       |
| 0002 Differential lease payments .....                             | .....       | 12      | .....     |
| 0003 Other .....   | .....       | 35      | .....     |
| 0091 Direct program activities, subtotal .....                     | 70          | 126     | 203       |
| Credit program obligations:  |             |         |           |
| 0701 Direct loan subsidy .....                                     | 20          | 60      | .....     |
| 0705 Reestimates of direct loan subsidy .....                      | 5           | 9       | .....     |
| 0706 Interest on reestimates of direct loan subsidy .....          | 2           | 1       | .....     |
| 0709 Administrative expenses .....                                 | 2           | 2       | 2         |
| 0791 Direct program activities, subtotal .....                     | 29          | 72      | 2         |
| 0900 Total new obligations .....                                   | 99          | 198     | 205       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 166         | 142     | 76        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 166         | 142     | .....     |
| 1011 Unobligated balance transfer from other accts [97-9999] ....  | 62          | 120     | 193       |
| 1050 Unobligated balance (total) .....                             | 228         | 262     | 269       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 2           | 2       | 2         |
| 1160 Appropriation, discretionary (total) .....                    | 2           | 2       | 2         |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 7           | 10      | .....     |
| 1260 Appropriations, mandatory (total) .....                       | 7           | 10      | .....     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 4           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4           | .....   | .....     |
| 1900 Budget authority (total) .....                                | 13          | 12      | 2         |
| 1930 Total budgetary resources available .....                     | 241         | 274     | 271       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 142         | 76      | 66        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 126         | 107     | 183       |
| 3010 Obligations incurred, unexpired accounts .....                | 99          | 198     | 205       |
| 3020 Outlays (gross) .....   | -118        | -122    | -85       |
| 3050 Unpaid obligations, end of year .....                         | 107         | 183     | 303       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 126         | 107     | 183       |
| 3200 Obligated balance, end of year .....                          | 107         | 183     | 303       |

DEPARTMENT OF DEFENSE FAMILY HOUSING IMPROVEMENT FUND—Continued  
Program and Financing—Continued

| Identification code 97-0834-0-1-051                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 6           | 2       | 2         |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             | 2       | 2         |
| 4011 Outlays from discretionary balances .....      | 111         | 110     | 83        |
| 4020 Outlays, gross (total) .....                   | 111         | 112     | 85        |
| Offsets against gross budget authority and outlays: |             |         |           |
| Offsetting collections (collected) from:            |             |         |           |
| 4033 Non-Federal sources .....                      | -4          |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  | 7           | 10      |           |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     | 7           | 10      |           |
| 4180 Budget authority, net (total) .....            | 9           | 12      | 2         |
| 4190 Outlays, net (total) .....                     | 114         | 122     | 85        |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 97-0834-0-1-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:     |             |         |           |
| 115001 Family Housing Improvement Fund Direct Loans .....       | 143         | 367     |           |
| 115999 Total direct loan levels .....                           | 143         | 367     |           |
| Direct loan subsidy (in percent):                               |             |         |           |
| 132001 Family Housing Improvement Fund Direct Loans .....       | 14.07       | 16.26   |           |
| 132999 Weighted average subsidy rate .....                      | 14.07       | 16.26   |           |
| Direct loan subsidy budget authority:                           |             |         |           |
| 133001 Family Housing Improvement Fund Direct Loans .....       | 20          | 60      |           |
| 133999 Total subsidy budget authority .....                     | 20          | 60      |           |
| Direct loan subsidy outlays:                                    |             |         |           |
| 134001 Family Housing Improvement Fund Direct Loans .....       | 36          | 42      | 41        |
| 134999 Total subsidy outlays .....                              | 36          | 42      | 41        |
| Direct loan upward reestimates:                                 |             |         |           |
| 135001 Family Housing Improvement Fund Direct Loans .....       | 7           | 10      |           |
| 135999 Total upward reestimate budget authority .....           | 7           | 10      |           |
| Direct loan downward reestimates:                               |             |         |           |
| 137001 Family Housing Improvement Fund Direct Loans .....       | -41         | -19     |           |
| 137999 Total downward reestimate budget authority .....         | -41         | -19     |           |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 Family Housing Improvement Fund Guaranteed Loans .....   |             |         | 2         |
| 234999 Total subsidy outlays .....                              |             |         | 2         |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 Family Housing Improvement Fund Guaranteed Loans .....   | -3          | -3      |           |
| 237999 Total downward reestimate subsidy budget authority ..... | -3          | -3      |           |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 2           | 2       | 2         |
| 3590 Outlays from new authority .....                           | 2           | 2       | 2         |

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with investments obligated, the direct loans obligated and loan guarantees committed in 1996 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

## Object Classification (in millions of dollars)

| Identification code 97-0834-0-1-051                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 2           | 2       | 2         |
| 33.0 Investments and loans .....                   | 70          | 79      | 203       |
| 41.0 Grants, subsidies, and contributions .....    | 27          | 117     |           |

|      |                             |    |     |     |
|------|-----------------------------|----|-----|-----|
| 99.9 | Total new obligations ..... | 99 | 198 | 205 |
|------|-----------------------------|----|-----|-----|

## FAMILY HOUSING IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 97-4166-0-3-051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 142         | 367     |           |
| 0713 Payment of interest to Treasury .....                                      | 44          | 50      | 63        |
| 0742 Downward reestimate paid to receipt account .....                          | 34          | 13      |           |
| 0743 Interest on downward reestimates .....                                     | 7           | 6       |           |
| 0900 Total new obligations .....  | 227         | 436     | 63        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 177         | 331     | 12        |
| 1440 Borrowing authority, mandatory (total) .....                               | 177         | 331     | 12        |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 84          | 99      | 98        |
| 1801 Change in uncollected payments, Federal sources .....                      | -16         | 18      | -41       |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -18         | -12     | -6        |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 50          | 105     | 51        |
| 1900 Financing authority (total) .....  | 227         | 436     | 63        |
| 1930 Total budgetary resources available .....                                  | 227         | 436     | 63        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 569         | 542     | 603       |
| 3010 Obligations incurred, unexpired accounts .....                             | 227         | 436     | 63        |
| 3020 Financing disbursements (gross) .....                                      | -254        | -375    | -289      |
| 3050 Unpaid obligations, end of year .....                                      | 542         | 603     | 377       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -100        | -84     | -102      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | 16          | -18     | 41        |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -84         | -102    | -61       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 469         | 458     | 501       |
| 3200 Obligated balance, end of year .....                                       | 458         | 501     | 316       |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 227         | 436     | 63        |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 254         | 375     | 289       |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Upward reestimate .....  | -5          | -9      |           |
| 4120 Interest on upward reestimate .....  | -2          | -1      |           |
| 4120 Payment from program account .....   | -36         | -42     | -41       |
| 4122 Interest on uninvested funds .....   | -1          |         |           |
| 4123 Interest received on loans .....   | -36         | -42     | -50       |
| 4123 Repayment of loan principal, net .....                                     | -4          | -5      | -7        |
| 4130 Offsets against gross financing auth and disbursements (total) .....       | -84         | -99     | -98       |
| Additional offsets against financing authority only (total):                    |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....                  | 16          | -18     | 41        |
| 4160 Financing authority, net (mandatory) .....                                 | 159         | 319     | 6         |
| 4170 Financing disbursements, net (mandatory) .....                             | 170         | 276     | 191       |
| 4180 Financing authority, net (total) .....                                     | 159         | 319     | 6         |
| 4190 Financing disbursements, net (total) .....                                 | 170         | 276     | 191       |

## Status of Direct Loans (in millions of dollars)

| Identification code 97-4166-0-3-051                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 142         | 367     |           |
| 1150 Total direct loan obligations .....                               | 142         | 367     |           |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 958         | 1,171   | 1,467     |
| 1231 Disbursements: Direct loan disbursements .....                    | 217         | 303     | 226       |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 1251 | Repayments: Repayments and prepayments ..... | -4    | -5    | -7    |
| 1263 | Write-offs for default: Direct loans .....   |       | -2    | -3    |
| 1290 | Outstanding, end of year .....               | 1,171 | 1,467 | 1,683 |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans made under the authorities of the Military Family Housing Initiative. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 97-4166-0-3-051                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets: Investments in US securities:                     |             |             |
| 1106 Federal Assets: Receivables, net .....                       | 13          | 12          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 958         | 1,171       |
| 1405 Allowance for subsidy cost (-) .....                         | -139        | -162        |
| 1499 Net present value of assets related to direct loans .....    | 819         | 1,009       |
| 1999 Total assets .....   | 832         | 1,021       |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Debt .....   | 782         | 999         |
| 2105 Other-Downward reestimate payables .....                     | 50          | 22          |
| 2999 Total liabilities .....                                      | 832         | 1,021       |
| 4999 Total liabilities and net position .....                     | 832         | 1,021       |

|      |  |    |    |
|------|--|----|----|
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -1 | -6 |
| 4170 | Financing disbursements, net (mandatory) .....                       | 3  | 2  |
| 4190 | Financing disbursements, net (total) .....                           | 3  | 2  |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 97-4167-0-3-051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Cumulative balance of guaranteed loans outstanding:</b>                              |             |         |           |
| 2210 Outstanding, start of year .....   | 445         | 438     | 425       |
| 2231 Disbursements of new guaranteed loans .....  |             |         | 32        |
| 2251 Repayments and prepayments .....   | -7          | -8      | -8        |
| 2262 Adjustments: Terminations for default that result in acquisition of property ..... |             | -5      | -7        |
| 2290 Outstanding, end of year .....   | 438         | 425     | 442       |

**Memorandum:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 2299 | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 433 | 422 | 439 |
|------|--|-----|-----|-----|

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees made under the authorities of the Military Housing Privatization Initiative. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 97-4167-0-3-051                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 17          | 15          |
| 1999 Total assets .....   | 17          | 15          |
| <b>LIABILITIES:</b>   |             |             |
| 2105 Federal liabilities: Other: Downward reestimate payables ..... | 3           | 3           |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 14          | 12          |
| 2999 Total liabilities .....  | 17          | 15          |
| 4999 Total liabilities and net position .....                       | 17          | 15          |

**FAMILY HOUSING IMPROVEMENT GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 97-4167-0-3-051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....                                  |             | 5       | 7         |
| 0742 Downward reestimate paid to receipt account .....                          | 2           | 2       |           |
| 0743 Interest on downward reestimates .....                                     | 1           | 1       |           |
| 0900 Total new obligations .....  | 3           | 8       | 7         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 17          | 14      | 7         |
| Financing authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory: Collected ..... |             | 1       | 6         |
| 1850 Spending auth from offsetting collections, mand (total) .....              |             | 1       | 6         |
| 1930 Total budgetary resources available .....                                  | 17          | 15      | 13        |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 14          | 7       | 6         |

**Change in obligated balance:**

|   |    |    |    |
|---|----|----|----|
| <b>Unpaid obligations:</b>                            |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |    |    | 5  |
| 3010 Obligations incurred, unexpired accounts .....   | 3  | 8  | 7  |
| 3020 Financing disbursements (gross) .....            | -3 | -3 | -7 |
| 3050 Unpaid obligations, end of year .....            |    | 5  | 5  |
| <b>Memorandum (non-add) entries:</b>                  |    |    |    |
| 3100 Obligated balance, start of year .....           |    |    | 5  |
| 3200 Obligated balance, end of year .....             |    | 5  | 5  |

**Financing authority and disbursements, net:**

|   |   |    |    |
|---|---|----|----|
| <b>Mandatory:</b>   |   |    |    |
| 4090 Financing authority, gross .....                               |   | 1  | 6  |
| <b>Financing disbursements:</b>                                     |   |    |    |
| 4110 Financing disbursements, gross .....                           | 3 | 3  | 7  |
| <b>Offsets against gross financing authority and disbursements:</b> |   |    |    |
| Offsetting collections (collected) from:                            |   |    |    |
| 4120 Federal sources .....  |   |    | -2 |
| 4122 Interest on uninvested funds .....                             |   | -1 | -1 |
| 4123 Non-Federal sources: Other (Recoveries) .....                  |   |    | -3 |

**REVOLVING AND MANAGEMENT FUNDS**

Resources presented under the Revolving and Management Funds title support logistics and other infrastructure activities under the authority of 10 U.S.C. 2208 and other sections to accept customer reimbursable orders to meet customer needs. The activities include depot maintenance, supply management, distribution depots, transportation services, Navy research and development, finance and accounting services, information systems and telecommunications services, and commissaries, among others.

**Federal Funds****NATIONAL DEFENSE STOCKPILE TRANSACTION FUND****Program and Financing** (in millions of dollars)

| Identification code 97-4555-0-3-051                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0804 Civilian pay benefits .....                       | 43          | 45      | 46        |
| 0807 Payments to receipt accounts .....                | 77          | 89      | 85        |
| 0900 Total new obligations .....                       | 120         | 134     | 131       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 357         | 301     | 320       |
| 1021 Recoveries of prior year unpaid obligations ..... | 2           |         |           |
| 1050 Unobligated balance (total) .....                 | 359         | 301     | 320       |

# NATIONAL DEFENSE STOCKPILE TRANSACTION FUND—Continued

## Program and Financing—Continued

| Identification code 97-4555-0-3-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 62          | 153     | 152       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 62          | 153     | 152       |
| 1930 Total budgetary resources available .....                     | 421         | 454     | 472       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 301         | 320     | 341       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 25          | 32      | 32        |
| 3010 Obligations incurred, unexpired accounts .....                | 120         | 134     | 131       |
| 3020 Outlays (gross) .....   | -111        | -134    | -131      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 32          | 32      | 32        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 25          | 32      | 32        |
| 3200 Obligated balance, end of year .....                          | 32          | 32      | 32        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 62          | 153     | 152       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 57          | 123     | 107       |
| 4101 Outlays from mandatory balances .....                         | 54          | 11      | 24        |
| 4110 Outlays, gross (total) .....                                  | 111         | 134     | 131       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -62         | -153    | -152      |
| 4190 Outlays, net (total) .....                                    | 49          | -19     | -21       |

The National Defense Stockpile program is managed under the authority of the Strategic and Critical Materials Stockpiling Act. The purpose of the Stockpile is to decrease or preclude U.S. dependence on foreign sources for supplies of strategic and critical materials in times of national emergency.

Revenues from the sales of excess commodities are either deposited into the National Defense Stockpile Transaction Fund to finance the National Defense Stockpile program or are transferred to the Treasury for specific congressionally mandated programs or to reduce the deficit.

## Object Classification (in millions of dollars)

| Identification code 97-4555-0-3-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 6           | 7       | 7         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 7           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 |             | 1       | 1         |
| 22.0 Transportation of things .....                             |             | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 14          | 6       | 8         |
| 25.2 Other services from non-Federal sources .....              | 14          | 22      | 21        |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....              |             | 1       | 1         |
| 31.0 Equipment .....  | 2           |         |           |
| 94.0 Financial transfers .....                                  | 78          | 89      | 85        |
| 99.9 Total new obligations .....                                | 120         | 134     | 131       |

## Employment Summary

| Identification code 97-4555-0-3-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 79          | 90      | 88        |

# PENTAGON RESERVATION MAINTENANCE REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 97-4950-0-4-051                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0801 Building operations .....                                      | 363         | 267     | 272       |
| 0803 Pentagon Force Protection Agency .....                         | 215         | 207     | 211       |
| 0804 Site R .....   | 51          | 61      | 68        |
| 0805 Building operations - capital program .....                    | 14          |         | 16        |
| 0807 Pentagon Force Protection Agency - capital program .....       | 11          | 17      | 12        |
| 0808 Site R - capital program .....                                 | 9           | 2       | 2         |
| 0900 Total new obligations .....                                    | 663         | 554     | 581       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 72          | 2       | 13        |
| 1021 Recoveries of prior year unpaid obligations .....              | 68          |         |           |
| 1022 Capital transfer of unobligated balances to general fund ..... | -4          |         |           |
| 1050 Unobligated balance (total) .....                              | 136         | 2       | 13        |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:          |             |         |           |
| 1800 Collected .....  | 503         | 565     | 580       |
| 1801 Change in uncollected payments, Federal sources .....          | 26          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....  | 529         | 565     | 580       |
| 1930 Total budgetary resources available .....                      | 665         | 567     | 593       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 2           | 13      | 12        |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 393         | 426     | 286       |
| 3010 Obligations incurred, unexpired accounts .....                 | 663         | 554     | 581       |
| 3020 Outlays (gross) .....  | -562        | -694    | -655      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | -68         |         |           |
| 3050 Unpaid obligations, end of year .....                          | 426         | 286     | 212       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -67         | -93     | -93       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | -26         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | -93         | -93     | -93       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 326         | 333     | 193       |
| 3200 Obligated balance, end of year .....                           | 333         | 193     | 119       |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                  | 529         | 565     | 580       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                     | 410         | 450     | 462       |
| 4101 Outlays from mandatory balances .....                          | 152         | 244     | 193       |
| 4110 Outlays, gross (total) .....                                   | 562         | 694     | 655       |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4120 Federal sources .....  | -503        | -565    | -580      |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....      | -26         |         |           |
| 4170 Outlays, net (mandatory) .....                                 | 59          | 129     | 75        |
| 4190 Outlays, net (total) .....                                     | 59          | 129     | 75        |

The Pentagon Reservation Maintenance Revolving Fund was established by the 1991 National Defense Authorization Act and is codified in 10 U.S.C 2674. It provides for space, building services, deep underground relocation capability, and force protection for Department of Defense Components, including Military Departments and other activities located within the Pentagon Reservation and defense facilities in the National Capital Region.



## Object Classification (in millions of dollars)

| Identification code 97-4950-0-4-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 128         | 147     | 152       |
| 11.5 Other personnel compensation .....                         | 19          | 13      | 10        |
| 11.9 Total personnel compensation .....                         | 147         | 160     | 162       |
| 12.1 Civilian personnel benefits .....                          | 44          | 45      | 47        |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 1         |
| 23.1 Rental payments to GSA .....                               | 8           | 5       | 4         |
| 23.2 Rental payments to others .....                            | 34          |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 36          | 42      | 32        |
| 25.1 Advisory and assistance services .....                     | 140         | 57      | 66        |
| 25.2 Other services from non-Federal sources .....              | 19          | 9       | 19        |
| 25.3 Other goods and services from Federal sources .....        | 4           | 43      | 61        |
| 25.4 Operation and maintenance of facilities .....              | 137         | 119     | 121       |
| 25.7 Operation and maintenance of equipment .....               | 8           | 10      | 11        |
| 26.0 Supplies and materials .....                               | 20          | 27      | 20        |
| 31.0 Equipment .....  | 38          | 30      | 32        |
| 32.0 Land and structures .....                                  | 27          | 5       | 5         |
| 99.9 Total new obligations .....                                | 663         | 554     | 581       |

## Employment Summary

| Identification code 97-4950-0-4-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,676       | 1,794   | 1,814     |

## SPECIAL DEFENSE REPAIR FUND

The Special Defense Repair Fund finances the repair, overhaul, or refurbishment of in-stock defense articles in anticipation of the sale or transfer of such defense articles to eligible foreign countries or international organizations. DOD may transfer up to \$50 million into the Fund from amounts appropriated for Overseas Contingency Operations for Fiscal Year 2013. The Fund may also, under certain conditions, retain collections from the sale or transfer of defense stocks repaired, overhauled, or refurbished with amounts from the Fund. The total amount in the fund at any time is limited to \$50 million.

## NATIONAL DEFENSE SEALIFT FUND

*For National Defense Sealift Fund programs, projects, and activities, and for expenses of the National Defense Reserve Fleet, as established by section 11 of the Merchant Ship Sales Act of 1946 (50 U.S.C. App. 1744), and for the necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, \$730,700,000, to remain available until expended: Provided, That none of the funds provided in this paragraph shall be used to award a new contract that provides for the acquisition of any of the following major components unless such components are manufactured in the United States: auxiliary equipment, including pumps, for all shipboard services; propulsion system components (engines, reduction gears, and propellers); shipboard cranes; and spreaders for shipboard cranes: Provided further, That the exercise of an option in a contract awarded through the obligation of previously appropriated funds shall not be considered to be the award of a new contract: Provided further, That the Secretary of the military department responsible for such procurement may waive the restrictions in the first proviso on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that adequate domestic supplies are not available to meet Department of Defense requirements on a timely basis and that such an acquisition must be made in order to acquire capability for national security purposes.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 17-4557-0-4-051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Construction and conversion .....                               | 798         | 77      | 178       |
| 0002 Operations, maintenance and lease .....                         | 313         | 185     | 197       |
| 0004 Research and development .....                                  | 51          | 43      | 56        |
| 0005 Ready reserve forces .....                                      | 310         | 802     | 299       |
| 0799 Total direct obligations .....                                  | 1,472       | 1,107   | 730       |
| 0803 Strategic sealift O&M .....                                     | 683         | 714     | 1,117     |
| 0900 Total new obligations .....                                     | 2,155       | 1,821   | 1,847     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 519         | 139     | 1         |
| 1021 Recoveries of prior year unpaid obligations .....               | 26          |         |           |
| 1050 Unobligated balance (total) .....                               | 545         | 139     | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 1,101       | 1,107   | 731       |
| 1121 Appropriations transferred from other accts [97-9999] ....      | 5           |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -35         |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 1,071       | 1,107   | 731       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 725         | 576     | 1,117     |
| 1701 Change in uncollected payments, Federal sources .....           | -47         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 678         | 576     | 1,117     |
| 1900 Budget authority (total) .....                                  | 1,749       | 1,683   | 1,848     |
| 1930 Total budgetary resources available .....                       | 2,294       | 1,822   | 1,849     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 139         | 1       | 2         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1,335       | 1,226   | 1,361     |
| 3010 Obligations incurred, unexpired accounts .....                  | 2,155       | 1,821   | 1,847     |
| 3020 Outlays (gross) .....   | -2,238      | -1,686  | -2,031    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -26         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 1,226       | 1,361   | 1,177     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -264        | -217    | -217      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 47          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -217        | -217    | -217      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1,071       | 1,009   | 1,144     |
| 3200 Obligated balance, end of year .....                            | 1,009       | 1,144   | 960       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 1,749       | 1,683   | 1,848     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 338         | 1,019   | 1,409     |
| 4011 Outlays from discretionary balances .....                       | 1,900       | 667     | 622       |
| 4020 Outlays, gross (total) .....                                    | 2,238       | 1,686   | 2,031     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -725        | -576    | -1,117    |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 47          |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 1,071       | 1,107   | 731       |
| 4080 Outlays, net (discretionary) .....                              | 1,513       | 1,110   | 914       |
| 4180 Budget authority, net (total) .....                             | 1,071       | 1,107   | 731       |
| 4190 Outlays, net (total) .....                                      | 1,513       | 1,110   | 914       |

## Object Classification (in millions of dollars)

| Identification code 17-4557-0-4-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 22.0 Transportation of things .....                      |             | 129     |           |
| 25.1 Advisory and assistance services .....              | 16          | 7       | 9         |
| 25.2 Other services from non-Federal sources .....       | 10          | 3       | 9         |
| 25.3 Other goods and services from Federal sources ..... | 605         | 807     | 301       |
| 25.3 Other goods and services from Federal sources ..... | 18          | 61      | 150       |
| 31.0 Equipment .....                                     | 823         | 100     | 261       |
| 99.0 Direct obligations .....                            | 1,472       | 1,107   | 730       |

## NATIONAL DEFENSE SEALIFT FUND—Continued

## Object Classification—Continued

| Identification code 17-4557-0-4-051                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                                |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 683         | 714     | 1,117     |
| 99.0 Reimbursable obligations .....                      | 683         | 714     | 1,117     |
| 99.9 Total new obligations .....                         | 2,155       | 1,821   | 1,847     |

## DEFENSE WORKING CAPITAL FUNDS

*For the Defense Working Capital Funds, \$1,545,827,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## WORKING CAPITAL FUND, ARMY

## Program and Financing (in millions of dollars)

| Identification code 97-493001-0-4-051    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0801 Industrial operations .....         | 6,748       | 6,420   | 6,115     |
| 0804 Supply management .....             | 5,794       | 6,592   | 6,342     |
| 0809 Subtotal, Operations Programs ..... | 12,542      | 13,012  | 12,457    |
| 0811 Industrial .....                    | 191         | 200     | 178       |
| 0814 Supply management .....             | 33          | 59      | 72        |
| 0819 Subtotal, Capital Programs .....    | 224         | 259     | 250       |
| 0900 Total new obligations .....         | 12,766      | 13,271  | 12,707    |

## Budgetary Resources:

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2,150  | 435    | .....  |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                              | 2,150  | 435    | .....  |
| 1010 Unobligated balance transfer to other accts [97-9999] .....                             | -1,140 | .....  | .....  |
| 1021 Recoveries of prior year unpaid obligations .....                                       | 1,528  | .....  | .....  |
| 1025 Unobligated balance of contract authority withdrawn .....                               | -854   | .....  | .....  |
| 1050 Unobligated balance (total) .....   | 1,684  | 435    | .....  |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:   |        |        |        |
| 1100 Appropriation .....   | 155    | 144    | 25     |
| 1160 Appropriation, discretionary (total) .....  | 155    | 144    | 25     |
| Contract authority, mandatory:   |        |        |        |
| 1600 Contract authority .....  | 5,980  | .....  | .....  |
| 1640 Contract authority, mandatory (total) .....   | 5,980  | .....  | .....  |
| Spending authority from offsetting collections, discretionary:                               |        |        |        |
| 1700 Collected .....   | 12,897 | 12,692 | 12,707 |
| 1701 Change in uncollected payments, Federal sources .....                                   | -1,000 | .....  | .....  |
| 1750 Spending auth from offsetting collections, disc (total) .....                           | 11,897 | 12,692 | 12,707 |
| 1826 Spending authority from offsetting collections, mandatory:                              |        |        |        |
| Spending authority from offsetting collections applied to liquidate contract authority ..... | -6,515 | .....  | .....  |
| 1850 Spending auth from offsetting collections, mand (total) .....                           | -6,515 | .....  | .....  |
| 1900 Budget authority (total) .....  | 11,517 | 12,836 | 12,732 |
| 1930 Total budgetary resources available .....   | 13,201 | 13,271 | 12,732 |
| Memorandum (non-add) entries:  |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....  | 435    | .....  | 25     |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 8,229   | 6,989   | 7,415   |
| 3010 Obligations incurred, unexpired accounts .....               | 12,766  | 13,271  | 12,707  |
| 3020 Outlays (gross) .....  | -12,478 | -12,845 | -12,819 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1,528  | .....   | .....   |
| 3050 Unpaid obligations, end of year .....                        | 6,989   | 7,415   | 7,303   |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -7,077  | -6,077  | -6,077  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 1,000   | .....   | .....   |

|  |        |        |        |
|--|--------|--------|--------|
| 3090 Uncollected pymts, Fed sources, end of year ..... | -6,077 | -6,077 | -6,077 |
| Memorandum (non-add) entries:                          |        |        |        |
| 3100 Obligated balance, start of year .....            | 1,152  | 912    | 1,338  |
| 3200 Obligated balance, end of year .....              | 912    | 1,338  | 1,226  |

## Budget authority and outlays, net:

|  |         |         |         |
|--|---------|---------|---------|
| Discretionary:   |         |         |         |
| 4000 Budget authority, gross .....                                   | 12,052  | 12,836  | 12,732  |
| Outlays, gross:  |         |         |         |
| 4010 Outlays from new discretionary authority .....                  | 10,578  | 9,642   | 8,026   |
| 4011 Outlays from discretionary balances .....                       | 1,900   | 3,203   | 4,793   |
| 4020 Outlays, gross (total) .....                                    | 12,478  | 12,845  | 12,819  |
| Offsets against gross budget authority and outlays:                  |         |         |         |
| Offsetting collections (collected) from:                             |         |         |         |
| 4030 Federal sources .....   | -12,587 | -12,402 | -12,310 |
| 4033 Non-Federal sources .....                                       | -310    | -290    | -397    |
| 4040 Offsets against gross budget authority and outlays (total) .... | -12,897 | -12,692 | -12,707 |
| Additional offsets against gross budget authority only:              |         |         |         |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 1,000   | .....   | .....   |
| 4070 Budget authority, net (discretionary) .....                     | 155     | 144     | 25      |
| 4080 Outlays, net (discretionary) .....                              | -419    | 153     | 112     |
| Mandatory:   |         |         |         |
| 4090 Budget authority, gross .....                                   | -535    | .....   | .....   |
| 4180 Budget authority, net (total) .....                             | -380    | 144     | 25      |
| 4190 Outlays, net (total) .....                                      | -419    | 153     | 112     |

## Memorandum (non-add) entries:

|   |       |    |    |
|---|-------|----|----|
| 5052 Obligated balance, SOY: Contract authority ..... | 1,401 | 12 | 12 |
| 5053 Obligated balance, EOY: Contract authority ..... | 12    | 12 | 12 |

The Army Working Capital Fund finances industrial and supply operations of the Army. The Fund finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The Fund uses cost accounting and business management techniques to provide DOD Managers with information that can be used to monitor, control, and minimize the cost of operations.

## Object Classification (in millions of dollars)

| Identification code 97-493001-0-4-051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:  |             |         |           |
| Personnel compensation:  |             |         |           |
| 11.1 Full-time permanent .....   | 1,378       | 1,431   | 1,356     |
| 11.3 Other than full-time permanent .....                              | 52          | 87      | 59        |
| 11.5 Other personnel compensation .....                                | 325         | 224     | 194       |
| 11.8 Special personal services payments .....                          | 3           | 4       | 4         |
| 11.9 Total personnel compensation .....                                | 1,758       | 1,746   | 1,613     |
| 12.1 Civilian personnel benefits .....                                 | 582         | 473     | 450       |
| 13.0 Benefits for former personnel .....                               | 9           | 16      | 21        |
| 21.0 Travel and transportation of persons .....                        | 49          | 47      | 40        |
| 22.0 Transportation of things .....                                    | 118         | 114     | 124       |
| 23.1 Rental payments to GSA .....                                      | 13          | 12      | 11        |
| 23.2 Rental payments to others .....                                   | 4           | 5       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges .....        | 59          | 65      | 58        |
| 24.0 Printing and reproduction .....                                   | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                            | 181         | 158     | 124       |
| 25.2 Other services from non-Federal sources .....                     | 136         | 742     | 575       |
| 25.3 Purchases of goods and services from other Federal Agencies ..... | 682         | 224     | 343       |
| 25.3 Other goods and services from Federal sources .....               | 716         | 434     | 403       |
| 25.4 Operation and maintenance of facilities .....                     | 159         | 163     | 148       |
| 25.7 Operation and maintenance of equipment .....                      | 288         | 170     | 163       |
| 26.0 Supplies and materials .....                                      | 7,741       | 8,557   | 8,304     |
| 31.0 Equipment .....   | 270         | 344     | 325       |
| 99.9 Total new obligations .....                                       | 12,766      | 13,271  | 12,707    |

## Employment Summary

| Identification code 97-493001-0-4-051                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 28,349      | 26,176  | 24,536    |

## WORKING CAPITAL FUND, NAVY

## Program and Financing (in millions of dollars)

| Identification code 97-493002-0-4-051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Supply management .....  | 8,574       | 7,075   | 6,707     |
| 0803 Depot maintenance - aviation .....   | 2,196       | 2,212   | 2,116     |
| 0805 Depot maintenance - other .....  | 566         | 581     | 560       |
| 0806 Base support .....   | 3,110       | 3,207   | 3,334     |
| 0807 Transportation .....   | 2,831       | 2,895   | 2,841     |
| 0808 Research and development activities .....  | 12,955      | 13,645  | 13,742    |
| 0809 Subtotal, Operations Programs .....  | 30,232      | 29,615  | 29,300    |
| 0820 Supply management .....  | 6           | 4       | 5         |
| 0822 Depot maintenance - aviation .....   | 56          | 42      | 46        |
| 0824 Depot maintenance - other .....  | 9           | 10      | 10        |
| 0825 Base support .....   | 16          | 19      | 18        |
| 0826 Transportation .....   | 16          | 23      | 11        |
| 0827 Research and development activities .....  | 104         | 125     | 122       |
| 0829 Subtotal, Capital Programs .....   | 207         | 223     | 212       |
| 0900 Total new obligations .....  | 30,439      | 29,838  | 29,512    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 3,164       | 3,735   | 556       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                                   | 3,164       | 3,735   |           |
| 1010 Unobligated balance transfer to other accts [97-9999] .....                                  | -172        |         |           |
| 1011 Unobligated balance transfer from other accts [97-9999] .....                                | 172         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....  | 1,870       |         |           |
| 1025 Unobligated balance of contract authority withdrawn .....                                    | -1,449      |         |           |
| 1050 Unobligated balance (total) .....  | 3,585       | 3,735   | 556       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  |             | 24      |           |
| 1160 Appropriation, discretionary (total) .....   |             | 24      |           |
| Contract authority, mandatory:  |             |         |           |
| 1600 Contract authority .....   | 8,930       |         |           |
| 1640 Contract authority, mandatory (total) .....  | 8,930       |         |           |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1700 Collected .....  | 28,281      | 26,635  | 29,512    |
| 1701 Change in uncollected payments, Federal sources .....  | 1,828       |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                                | 30,109      | 26,635  | 29,512    |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1826 Spending authority from offsetting collections applied to liquidate contract authority ..... | -8,450      |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....                                | -8,450      |         |           |
| 1900 Budget authority (total) .....   | 30,589      | 26,659  | 29,512    |
| 1930 Total budgetary resources available .....  | 34,174      | 30,394  | 30,068    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 3,735       | 556     | 556       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 12,119      | 12,494  | 16,032    |
| 3010 Obligations incurred, unexpired accounts .....   | 30,439      | 29,838  | 29,512    |
| 3020 Outlays (gross) .....  | -28,194     | -26,300 | -29,873   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                 | -1,870      |         |           |
| 3050 Unpaid obligations, end of year .....  | 12,494      | 16,032  | 15,671    |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                 | -10,847     | -12,675 | -12,675   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                                    | -1,828      |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....  | -12,675     | -12,675 | -12,675   |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 1,272       | -181    | 3,357     |
| 3200 Obligated balance, end of year .....   | -181        | 3,357   | 2,996     |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 30,109      | 26,659  | 29,512    |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 26,946      | 18,687  | 20,677    |
| 4011 Outlays from discretionary balances .....  | 1,248       | 7,613   | 9,196     |
| 4020 Outlays, gross (total) .....   | 28,194      | 26,300  | 29,873    |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | -28,017     | -26,635 | -29,512   |
| 4033 Non-Federal sources .....  | -264        |         |           |

|  |         |         |         |
|--|---------|---------|---------|
| 4040 Offsets against gross budget authority and outlays (total) .... | -28,281 | -26,635 | -29,512 |
| Additional offsets against gross budget authority only:              |         |         |         |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -1,828  |         |         |
| 4070 Budget authority, net (discretionary) .....                     |         | 24      |         |
| 4080 Outlays, net (discretionary) .....                              | -87     | -335    | 361     |
| Mandatory:   |         |         |         |
| 4090 Budget authority, gross .....                                   | 480     |         |         |
| 4180 Budget authority, net (total) .....                             | 480     | 24      |         |
| 4190 Outlays, net (total) .....                                      | -87     | -335    | 361     |
| <b>Memorandum (non-add) entries:</b>                                 |         |         |         |
| 5052 Obligated balance, SOY: Contract authority .....                | 3,188   | 2,220   | 2,220   |
| 5053 Obligated balance, EOY: Contract authority .....                | 2,220   | 2,220   | 2,220   |

The Navy Working Capital Fund (NWCF) finances the operations of Navy industrial, logistical, and commercial and support-type activities. These activities include Marine Corps Depot Maintenance, Marine Corps Supply, Navy Supply, Fleet Readiness Centers (Aviation Depots), Research and Development, Military Sealift Command (MSC) and Base Operations. The NWCF finances operating and capital costs through the receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The Fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

## Object Classification (in millions of dollars)

| Identification code 97-493002-0-4-051  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:  |             |         |           |
| Personnel compensation:  |             |         |           |
| 11.1 Full-time permanent .....   | 6,134       | 6,133   | 6,147     |
| 11.3 Other than full-time permanent .....  | 95          | 108     | 109       |
| 11.5 Other personnel compensation .....  | 585         | 547     | 541       |
| 11.8 Special personal services payments .....  | 118         | 107     | 109       |
| 11.9 Total personnel compensation .....  | 6,932       | 6,895   | 6,906     |
| 12.1 Civilian personnel benefits .....   | 1,923       | 1,973   | 1,982     |
| 13.0 Benefits for former personnel .....   | 11          | 10      | 5         |
| 21.0 Travel and transportation of persons .....  | 381         | 383     | 380       |
| 22.0 Transportation of things .....  | 180         | 204     | 210       |
| 23.1 Rental payments to GSA .....  | 58          | 63      | 67        |
| 23.2 Rental payments to others .....   | 415         | 406     | 364       |
| 23.3 Communications, utilities, and miscellaneous charges .....                        | 1,358       | 1,429   | 1,514     |
| 24.0 Printing and reproduction .....   | 12          | 10      | 10        |
| 25.1 Advisory and assistance services .....  | 16          | 18      | 18        |
| 25.2 Other services from non-Federal sources .....                                     | 801         | 676     | 692       |
| 25.3 Purchases of goods and services from other Federal Agencies .....                 | 412         | 429     | 432       |
| 25.3 Purchases of goods and services from other Federal Agencies .....                 | 32          | 39      | 39        |
| 25.3 Purchases from revolving funds .....  | 795         | 941     | 915       |
| 25.4 Operation and maintenance of facilities including GOCOs .....                     | 705         | 746     | 814       |
| 25.5 Research and development contracts .....  | 3,693       | 4,492   | 4,547     |
| 25.7 Contract operation and maintenance of equipment including ADP hard/software ..... | 1,304       | 1,316   | 1,332     |
| 26.0 Supplies and materials .....  | 10,496      | 8,900   | 8,397     |
| 31.0 Equipment .....   | 844         | 862     | 836       |
| 32.0 Land and structures .....   | 71          | 46      | 52        |
| 99.9 Total new obligations .....   | 30,439      | 29,838  | 29,512    |

## Employment Summary

| Identification code 97-493002-0-4-051                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 78,238      | 76,534  | 77,787    |

## WORKING CAPITAL FUND, AIR FORCE

## Program and Financing (in millions of dollars)

| Identification code 97-493003-0-4-051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0801 Transportation .....               | 12,785      | 13,176  | 12,138    |

### WORKING CAPITAL FUND, AIR FORCE—Continued

#### Program and Financing—Continued

| Identification code 97–493003–0–4–051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0802 CSAG supply .....  | 3,581       | 3,616   | 6,149     |
| 0803 Supply management .....  | 3,790       | 4,326   | 4,457     |
| 0804 CSAG maintenance .....   | 4,814       | 4,841   | 4,794     |
| 0809 Subtotal, Operations Programs .....  | 24,970      | 25,959  | 27,538    |
| 0810 CSAG maintenance .....   | 143         | 161     | 162       |
| 0811 Transportation .....   | 144         | 203     | 177       |
| 0812 CSAG supply .....  | 7           | 10      | 7         |
| 0819 Subtotal, Capital Programs .....   | 294         | 374     | 346       |
| 0900 Total new obligations .....  | 25,264      | 26,333  | 27,884    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 698         | 342     | 505       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                                   | 698         | 342     | .....     |
| 1010 Unobligated balance transfer to other accts [97–9999] .....                                  | –1,584      | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [97–9999] .....                                | 1,214       | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....  | 42          | .....   | .....     |
| 1025 Unobligated balance of contract authority withdrawn .....                                    | –42         | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 328         | 342     | 505       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 77          | 306     | 62        |
| 1160 Appropriation, discretionary (total) .....   | 77          | 306     | 62        |
| Contract authority, mandatory:  |             |         |           |
| 1600 Contract authority .....   | 7,708       | .....   | .....     |
| 1640 Contract authority, mandatory (total) .....  | 7,708       | .....   | .....     |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1700 Collected .....  | 25,829      | 26,190  | 30,090    |
| 1701 Change in uncollected payments, Federal sources .....  | –504        | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....                                | 25,325      | 26,190  | 30,090    |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1826 Spending authority from offsetting collections applied to liquidate contract authority ..... | –7,832      | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....                                | –7,832      | .....   | .....     |
| 1900 Budget authority (total) .....   | 25,278      | 26,496  | 30,152    |
| 1930 Total budgetary resources available .....  | 25,606      | 26,838  | 30,657    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 342         | 505     | 2,773     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 9,823       | 9,294   | 9,161     |
| 3010 Obligations incurred, unexpired accounts .....   | 25,264      | 26,333  | 27,884    |
| 3020 Outlays (gross) .....  | –25,751     | –26,466 | –30,091   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                 | –42         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....  | 9,294       | 9,161   | 6,954     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                 | –5,741      | –5,237  | –5,237    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                                    | 504         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....  | –5,237      | –5,237  | –5,237    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 4,082       | 4,057   | 3,924     |
| 3200 Obligated balance, end of year .....   | 4,057       | 3,924   | 1,717     |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 25,402      | 26,496  | 30,152    |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 24,725      | 19,905  | 22,623    |
| 4011 Outlays from discretionary balances .....  | 1,026       | 6,561   | 7,468     |
| 4020 Outlays, gross (total) .....   | 25,751      | 26,466  | 30,091    |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | –25,286     | –25,127 | –29,126   |
| 4033 Non-Federal sources .....  | –543        | –1,063  | –964      |
| 4040 Offsets against gross budget authority and outlays (total) ....                              | –25,829     | –26,190 | –30,090   |
| Additional offsets against gross budget authority only:   |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                                    | 504         | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....  | 77          | 306     | 62        |
| 4080 Outlays, net (discretionary) .....   | –78         | 276     | 1         |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | –124        | .....   | .....     |

|  |     |     |    |
|--|-----|-----|----|
| 4180 Budget authority, net (total) ..... | –47 | 306 | 62 |
| 4190 Outlays, net (total) .....          | –78 | 276 | 1  |

**Memorandum (non-add) entries:**

|   |       |       |       |
|---|-------|-------|-------|
| 5052 Obligated balance, SOY: Contract authority ..... | 3,754 | 3,588 | 3,588 |
| 5053 Obligated balance, EOY: Contract authority ..... | 3,588 | 3,588 | 3,588 |

The Air Force Working Capital Fund finances the commercial operations of the Air Force and the United States Transportation Command. Activities performed include depot maintenance, supply support, and the transport of cargo and personnel. The Fund finances operating and capital costs (excluding Military Construction) through receipt of funded customer reimbursable orders from appropriated accounts in accordance with 10 U.S.C. 2208. The fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize the cost of operations.

**Object Classification (in millions of dollars)**

| Identification code 97–493003–0–4–051  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:  |             |         |           |
| Personnel compensation:  |             |         |           |
| 11.1 Full-time permanent .....   | 1,818       | 1,828   | 1,796     |
| 11.5 Other personnel compensation .....  | 172         | 151     | 148       |
| 11.8 Special personal services payments .....  | 58          | 60      | 60        |
| 11.9 Total personnel compensation .....  | 2,048       | 2,039   | 2,004     |
| 12.1 Civilian personnel benefits .....   | 739         | 736     | 721       |
| 13.0 Benefits for former personnel .....   | 7           | .....   | .....     |
| 21.0 Travel and transportation of persons .....  | 138         | 159     | 151       |
| 22.0 Transportation of things .....  | 8,140       | 8,104   | 7,076     |
| 23.2 Rental payments to others .....   | 9           | 10      | 12        |
| 23.3 Communications, utilities, and miscellaneous charges .....                        | 166         | 209     | 215       |
| 24.0 Printing and reproduction .....   | 3           | 1       | 1         |
| 25.1 Advisory and assistance services .....  | 90          | 88      | 89        |
| 25.2 Other services from non-Federal sources .....                                     | 675         | 574     | 540       |
| 25.3 Purchases of goods and services from other Federal Agencies .....                 | 22          | 18      | 18        |
| 25.3 Purchases from revolving funds .....  | 2,928       | 2,825   | 2,756     |
| 25.4 Operation and maintenance of facilities including GOCOs .....                     | 172         | 210     | 209       |
| 25.7 Contract operation and maintenance of equipment including ADP hard/software ..... | 1,416       | 1,795   | 1,799     |
| 26.0 Supplies and materials .....  | 8,409       | 9,178   | 11,941    |
| 31.0 Equipment .....   | 302         | 387     | 352       |
| 99.9 Total new obligations .....   | 25,264      | 26,333  | 27,884    |

**Employment Summary**

| Identification code 97–493003–0–4–051                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 31,086      | 30,854  | 29,473    |

**WORKING CAPITAL FUND, DEFENSE-WIDE****Program and Financing (in millions of dollars)**

| Identification code 97–493005–0–4–051         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>       |             |         |           |
| 0803 Defense Automated Printing Service ..... | 300         | 330     | 363       |
| 0804 Defense Financial Operations .....       | 1,367       | 1,444   | 1,430     |
| 0805 Information services .....               | 6,052       | 5,713   | 6,481     |
| 0806 Energy management .....                  | 25,130      | 19,848  | 18,955    |
| 0807 COMP services .....                      | 1,080       | 965     | 1,212     |
| 0808 Supply chain management .....            | 26,280      | 24,514  | 23,147    |
| 0809 Subtotal, Operations Programs .....      | 60,209      | 52,814  | 51,588    |
| 0812 Defense Automated Printing Service ..... | 1           | 8       | 8         |
| 0813 Defense Financial Operations .....       | 37          | 31      | 34        |
| 0814 Information services .....               | 4           | 31      | 20        |
| 0816 COMP services .....                      | 48          | 50      | 49        |
| 0817 Energy management .....                  | 101         | 147     | 149       |
| 0818 Supply chain management .....            | 124         | 108     | 103       |
| 0819 Subtotal, Capital Programs .....         | 315         | 375     | 363       |
| 0900 Total new obligations .....              | 60,524      | 53,189  | 51,951    |

| <b>Budgetary Resources:</b>                                    |  |         |         |         |
|--|--|---------|---------|---------|
| Unobligated balance:   |  |         |         |         |
| 1000   | Unobligated balance brought forward, Oct 1 .....   | 202     | -367    | 1,892   |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....                                   | 202     |         |         |
| 1011   | Unobligated balance transfer from other accts [97-9999] ....                                 | 1,000   |         |         |
| 1021   | Recoveries of prior year unpaid obligations .....  | 1,779   |         |         |
| 1025   | Unobligated balance of contract authority withdrawn .....                                    | -1,716  |         |         |
| 1050   | Unobligated balance (total) .....  | 1,265   | -367    | 1,892   |
| Budget authority:  |  |         |         |         |
| Appropriations, discretionary:                                 |  |         |         |         |
| 1100   | Appropriation .....  | 401     | 252     | 46      |
| 1160   | Appropriation, discretionary (total) .....   | 401     | 252     | 46      |
| Contract authority, mandatory:                                 |  |         |         |         |
| 1600   | Contract authority .....   | 51,750  |         |         |
| 1640   | Contract authority, mandatory (total) .....  | 51,750  |         |         |
| Spending authority from offsetting collections, discretionary: |  |         |         |         |
| 1700   | Collected .....  | 52,215  | 55,196  | 51,418  |
| 1701   | Change in uncollected payments, Federal sources .....  | 1,903   |         |         |
| 1750   | Spending auth from offsetting collections, disc (total) .....                                | 54,118  | 55,196  | 51,418  |
| Spending authority from offsetting collections, mandatory:     |  |         |         |         |
| 1826   | Spending authority from offsetting collections applied to liquidate contract authority ..... | -47,377 |         |         |
| 1850   | Spending auth from offsetting collections, mand (total) .....                                | -47,377 |         |         |
| 1900   | Budget authority (total) .....   | 58,892  | 55,448  | 51,464  |
| 1930   | Total budgetary resources available .....  | 60,157  | 55,081  | 53,356  |
| Memorandum (non-add) entries:                                  |  |         |         |         |
| 1941   | Unexpired unobligated balance, end of year .....   | -367    | 1,892   | 1,405   |
| Change in obligated balance:                                   |  |         |         |         |
| Unpaid obligations:  |  |         |         |         |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....   | 24,551  | 28,794  | 25,242  |
| 3010   | Obligations incurred, unexpired accounts .....   | 60,524  | 53,189  | 51,951  |
| 3020   | Outlays (gross) .....  | -54,502 | -56,741 | -52,041 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....                                 | -1,779  |         |         |
| 3050   | Unpaid obligations, end of year .....  | 28,794  | 25,242  | 25,152  |
| Uncollected payments:  |  |         |         |         |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                 | -11,308 | -13,211 | -13,211 |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                                    | -1,903  |         |         |
| 3090   | Uncollected pymts, Fed sources, end of year .....  | -13,211 | -13,211 | -13,211 |
| Memorandum (non-add) entries:                                  |  |         |         |         |
| 3100   | Obligated balance, start of year .....   | 13,243  | 15,583  | 12,031  |
| 3200   | Obligated balance, end of year .....   | 15,583  | 12,031  | 11,941  |
| Budget authority and outlays, net:                             |  |         |         |         |
| Discretionary:   |  |         |         |         |
| 4000   | Budget authority, gross .....  | 54,519  | 55,448  | 51,464  |
| Outlays, gross:  |  |         |         |         |
| 4010   | Outlays from new discretionary authority .....   | 51,406  | 41,642  | 30,939  |
| 4011   | Outlays from discretionary balances .....  | 3,096   | 15,099  | 21,102  |
| 4020   | Outlays, gross (total) .....   | 54,502  | 56,741  | 52,041  |
| Offsets against gross budget authority and outlays:            |  |         |         |         |
| Offsetting collections (collected) from:                       |  |         |         |         |
| 4030   | Federal sources .....  | -50,742 | -53,279 | -48,861 |
| 4033   | Non-Federal sources .....  | -1,473  | -1,917  | -2,557  |
| 4040   | Offsets against gross budget authority and outlays (total) ....                              | -52,215 | -55,196 | -51,418 |
| Additional offsets against gross budget authority only:        |  |         |         |         |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....                                    | -1,903  |         |         |
| 4070   | Budget authority, net (discretionary) .....  | 401     | 252     | 46      |
| 4080   | Outlays, net (discretionary) .....   | 2,287   | 1,545   | 623     |
| Mandatory:   |  |         |         |         |
| 4090   | Budget authority, gross .....  | 4,373   |         |         |
| 4180   | Budget authority, net (total) .....  | 4,774   | 252     | 46      |
| 4190   | Outlays, net (total) .....   | 2,287   | 1,545   | 623     |
| Memorandum (non-add) entries:                                  |  |         |         |         |
| 5052   | Obligated balance, SOY: Contract authority .....   | 10,349  | 13,006  | 13,006  |
| 5053   | Obligated balance, EOY: Contract authority .....   | 13,006  | 13,006  | 13,006  |

The Defense-Wide Working Capital Fund finances the commercial and support-type operations of the Defense Logistics Agency, the Defense Finance and Accounting Service, and the Defense Information Systems Agency. The Fund supports activities such as the Department's finance and accounting operations, information systems to include command and control systems and enterprise infrastructure, global acquisition and delivery of fuel, and document printing and distribution services. The Fund oper-

ates and finances operating and capital expenses (excluding Military Construction) in accordance with 10 U.S.C. 2208 through receipt of funded customer reimbursable orders. The Fund uses cost accounting and business management techniques to provide DOD managers with information that can be used to monitor, control, and minimize its cost of operations.

**Object Classification** (in millions of dollars)

| Identification code 97-493005-0-4-051 |   | 2012 actual | 2013 CR | 2014 est. |
|---------------------------------------|---|-------------|---------|-----------|
| Reimbursable obligations:             |   |             |         |           |
| Personnel compensation:               |   |             |         |           |
| 11.1                                  | Full-time permanent .....   | 2,522       | 2,655   | 2,672     |
| 11.3                                  | Other than full-time permanent .....  | 100         | 106     | 104       |
| 11.5                                  | Other personnel compensation .....  | 203         | 218     | 225       |
| 11.8                                  | Special personal services payments .....  | 66          | 62      | 62        |
| 11.9                                  | Total personnel compensation .....  | 2,891       | 3,041   | 3,063     |
| 12.1                                  | Civilian personnel benefits .....   | 835         | 843     | 855       |
| 13.0                                  | Benefits for former personnel .....   | 18          | 14      | 15        |
| 21.0                                  | Travel and transportation of persons .....  | 59          | 69      | 78        |
| 22.0                                  | Transportation of things .....  | 1,009       | 1,026   | 979       |
| 23.1                                  | Rental payments to GSA .....  | 43          | 50      | 51        |
| 23.2                                  | Rental payments to others .....   | 91          | 105     | 106       |
| 23.3                                  | Communications, utilities, and miscellaneous charges .....                        | 2,290       | 1,902   | 2,138     |
| 24.0                                  | Printing and reproduction .....   | 91          | 96      | 97        |
| 25.1                                  | Advisory and assistance services .....  | 62          | 50      | 65        |
| 25.2                                  | Other services from non-Federal sources .....                                     | 908         | 893     | 919       |
| 25.3                                  | Purchases of goods and services from other Federal Agencies .....                 | 1,120       | 1,540   | 1,289     |
| 25.3                                  | Payments to foreign national indirect hire personnel .....                        | 21          | 26      | 27        |
| 25.3                                  | Purchases from revolving funds .....  | 326         | 730     | 768       |
| 25.4                                  | Operation and maintenance of facilities including GOCOs .....                     | 965         | 1,289   | 1,321     |
| 25.5                                  | Research and development contracts .....  |             | 126     |           |
| 25.7                                  | Contract operation and maintenance of equipment including ADP hard/software ..... | 3,699       | 3,303   | 4,063     |
| 26.0                                  | Supplies and materials .....  | 45,488      | 37,438  | 35,376    |
| 31.0                                  | Equipment .....   | 548         | 579     | 665       |
| 32.0                                  | Land and structures .....   | 60          | 68      | 75        |
| 43.0                                  | Interest and dividends .....  |             | 1       | 1         |
| 99.9                                  | Total new obligations .....   | 60,524      | 53,189  | 51,951    |

**Employment Summary**

| Identification code 97-493005-0-4-051 |   | 2012 actual | 2013 CR | 2014 est. |
|---------------------------------------|---|-------------|---------|-----------|
| 2001                                  | Reimbursable civilian full-time equivalent employment ..... | 39,978      | 41,453  | 41,384    |

**WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY****Program and Financing** (in millions of dollars)

| Identification code 97-493004-0-4-051                          | 2012 actual  | 2013 CR | 2014 est. |       |
|--|--|---------|-----------|-------|
| <b>Obligations by program activity:</b>                        |  |         |           |       |
| 0801   | Commissary resale stocks .....                             | 6,099   | 6,139     | 6,159 |
| 0802   | Commissary operations .....                                | 1,341   | 1,429     | 1,443 |
| 0810   | Capital program .....                                      | 21      | 20        | 24    |
| 0900   | Total new obligations .....                                | 7,461   | 7,588     | 7,626 |
| <b>Budgetary Resources:</b>                                    |  |         |           |       |
| Unobligated balance:   |  |         |           |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....           | 8       | 61        | 1     |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 ..... | 8       | 61        | ..... |
| 1021   | Recoveries of prior year unpaid obligations .....          | 1       | .....     | ..... |
| 1025   | Unobligated balance of contract authority withdrawn .....  | -1      | .....     | ..... |
| 1050   | Unobligated balance (total) .....                          | 8       | 61        | 1     |
| Budget authority:  |  |         |           |       |
| Appropriations, discretionary:                                 |  |         |           |       |
| 1100   | Appropriation .....  | 1,377   | 1,385     | 1,413 |
| 1160   | Appropriation, discretionary (total) .....                 | 1,377   | 1,385     | 1,413 |
| Contract authority, mandatory:                                 |  |         |           |       |
| 1600   | Contract authority .....                                   | 6,119   | .....     | ..... |
| 1640   | Contract authority, mandatory (total) .....                | 6,119   | .....     | ..... |
| Spending authority from offsetting collections, discretionary: |  |         |           |       |
| 1700   | Collected .....  | 6,099   | 6,143     | 6,214 |

# WORKING CAPITAL FUND, DEFENSE COMMISSARY AGENCY—Continued Program and Financing—Continued

| Identification code 97-493004-0-4-051                   |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1750  | Spending auth from offsetting collections, disc (total) .....   | 6,099       | 6,143   | 6,214     |
| 1826  | Spending authority from offsetting collections, mandatory:<br>Spending authority from offsetting collections applied to<br>liquidate contract authority ..... | -6,081      | .....   | .....     |
| 1850  | Spending auth from offsetting collections, mand (total) .....   | -6,081      | .....   | .....     |
| 1900  | Budget authority (total) .....  | 7,514       | 7,528   | 7,627     |
| 1930  | Total budgetary resources available .....   | 7,522       | 7,589   | 7,628     |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 1941  | Unexpired unobligated balance, end of year .....  | 61          | 1       | 2         |
| <b>Change in obligated balance:</b>                     |   |             |         |           |
| Unpaid obligations:                                     |   |             |         |           |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....  | 530         | 466     | 515       |
| 3010  | Obligations incurred, unexpired accounts .....  | 7,461       | 7,588   | 7,626     |
| 3020  | Outlays (gross) .....   | -7,524      | -7,539  | -7,629    |
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....  | -1          | .....   | .....     |
| 3050  | Unpaid obligations, end of year .....   | 466         | 515     | 512       |
| Uncollected payments:                                   |   |             |         |           |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -2          | -2      | -2        |
| 3090  | Uncollected pymts, Fed sources, end of year .....   | -2          | -2      | -2        |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....  | 528         | 464     | 513       |
| 3200  | Obligated balance, end of year .....  | 464         | 513     | 510       |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....   | 7,476       | 7,528   | 7,627     |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....  | 7,339       | 7,306   | 7,401     |
| 4011  | Outlays from discretionary balances .....   | 185         | 233     | 228       |
| 4020  | Outlays, gross (total) .....  | 7,524       | 7,539   | 7,629     |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....   | -7          | -5      | -7        |
| 4033  | Non-Federal sources .....   | -6,093      | -6,138  | -6,207    |
| 4040  | Offsets against gross budget authority and outlays (total) ....   | -6,100      | -6,143  | -6,214    |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4052  | Offsetting collections credited to expired accounts .....   | 1           | .....   | .....     |
| 4070  | Budget authority, net (discretionary) .....   | 1,377       | 1,385   | 1,413     |
| 4080  | Outlays, net (discretionary) .....  | 1,424       | 1,396   | 1,415     |
| Mandatory:  |   |             |         |           |
| 4090  | Budget authority, gross .....   | 38          | .....   | .....     |
| 4180  | Budget authority, net (total) .....   | 1,415       | 1,385   | 1,413     |
| 4190  | Outlays, net (total) .....  | 1,424       | 1,396   | 1,415     |
| <b>Memorandum (non-add) entries:</b>                    |   |             |         |           |
| 5052  | Obligated balance, SOY: Contract authority .....  | 352         | 389     | 389       |
| 5053  | Obligated balance, EOY: Contract authority .....  | 389         | 389     | 389       |

The Defense Commissary Agency Working Capital Fund finances the cost of Commissary Operations and Resale Stocks activities. Commissary Operations pays the operating costs of 248 commissaries worldwide, agency and region headquarters, and support services. Costs include civilian pay, transportation of commissary goods overseas, rwarehousing, shelf stocking, janitorial services in each commissary, and base support as a tenant organization. Resale Stocks pays for the purchase of inventory for resale to commissary patrons.

## Object Classification (in millions of dollars)

| Identification code 97-493004-0-4-051 |  | 2012 actual | 2013 CR | 2014 est. |
|---------------------------------------|--|-------------|---------|-----------|
| Reimbursable obligations:             |  |             |         |           |
| Personnel compensation:               |  |             |         |           |
| 11.1                                  | Full-time permanent .....                  | 334         | 337     | 342       |
| 11.3                                  | Other than full-time permanent .....       | 189         | 191     | 194       |
| 11.5                                  | Other personnel compensation .....         | 41          | 41      | 42        |
| 11.9                                  | Total personnel compensation .....         | 564         | 569     | 578       |
| 12.1                                  | Civilian personnel benefits .....          | 158         | 172     | 174       |
| 13.0                                  | Benefits for former personnel .....        | 2           | 3       | 3         |
| 21.0                                  | Travel and transportation of persons ..... | 8           | 8       | 8         |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 22.0 | Transportation of things .....                             | 161   | 160   | 165   |
| 23.1 | Rental payments to GSA .....                               | 1     | 1     | ..... |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 72    | 72    | 75    |
| 24.0 | Printing and reproduction .....                            | ..... | 1     | 1     |
| 25.2 | Other services from non-Federal sources .....              | 32    | 36    | 37    |
| 25.3 | Other goods and services from Federal sources .....        | 29    | 35    | 36    |
| 25.3 | Payments to foreign national indirect hire personnel ..... | 49    | 63    | 65    |
| 25.3 | Purchases from revolving funds .....                       | 38    | 40    | 41    |
| 25.4 | Operation and maintenance of facilities .....              | 158   | 164   | 167   |
| 25.7 | Operation and maintenance of equipment .....               | 8     | 21    | 21    |
| 26.0 | Supplies and materials .....                               | 6,159 | 6,222 | 6,230 |
| 31.0 | Equipment .....  | 22    | 21    | 25    |
| 99.9 | Total new obligations .....                                | 7,461 | 7,588 | 7,626 |

## Employment Summary

| Identification code 97-493004-0-4-051 |   | 2012 actual | 2013 CR | 2014 est. |
|---------------------------------------|---|-------------|---------|-----------|
| 2001                                  | Reimbursable civilian full-time equivalent employment ..... | 12,955      | 13,216  | 13,310    |

## BUILDINGS MAINTENANCE FUND

### Program and Financing (in millions of dollars)

| Identification code 97-4931-0-4-051                        |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |   |             |         |           |
| 0801   | Operation and maintenance .....                               | 67          | 181     | 293       |
| 0802   | Pentagon Force Protection Agency .....                        | 55          | 61      | 55        |
| 0900   | Total new obligations .....                                   | 122         | 242     | 348       |
| <b>Budgetary Resources:</b>                                |   |             |         |           |
| Unobligated balance:                                       |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 45          | 25      | 25        |
| 1021   | Recoveries of prior year unpaid obligations .....             | 4           | .....   | .....     |
| 1050   | Unobligated balance (total) .....                             | 49          | 25      | 25        |
| Budget authority:  |   |             |         |           |
| Spending authority from offsetting collections, mandatory: |   |             |         |           |
| 1800   | Collected .....   | 99          | 242     | 348       |
| 1801   | Change in uncollected payments, Federal sources .....         | -1          | .....   | .....     |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 98          | 242     | 348       |
| 1930   | Total budgetary resources available .....                     | 147         | 267     | 373       |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 25          | 25      | 25        |

### Change in obligated balance:

|                               |  |     |       |       |
|-------------------------------|--|-----|-------|-------|
| Unpaid obligations:           |  |     |       |       |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 31  | 76    | 96    |
| 3010                          | Obligations incurred, unexpired accounts .....               | 122 | 242   | 348   |
| 3020                          | Outlays (gross) .....  | -73 | -222  | -334  |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -4  | ..... | ..... |
| 3050                          | Unpaid obligations, end of year .....                        | 76  | 96    | 110   |
| Uncollected payments:         |  |     |       |       |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -44 | -43   | -43   |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 1   | ..... | ..... |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -43 | -43   | -43   |
| Memorandum (non-add) entries: |  |     |       |       |
| 3100                          | Obligated balance, start of year .....                       | -13 | 33    | 53    |
| 3200                          | Obligated balance, end of year .....                         | 33  | 53    | 67    |

### Budget authority and outlays, net:

|   |   |     |       |       |
|---|---|-----|-------|-------|
| Mandatory:  |   |     |       |       |
| 4090  | Budget authority, gross .....                             | 98  | 242   | 348   |
| Outlays, gross:   |   |     |       |       |
| 4100  | Outlays from new mandatory authority .....                | 60  | 206   | 296   |
| 4101  | Outlays from mandatory balances .....                     | 13  | 16    | 38    |
| 4110  | Outlays, gross (total) .....                              | 73  | 222   | 334   |
| Offsets against gross budget authority and outlays:     |   |     |       |       |
| Offsetting collections (collected) from:                |   |     |       |       |
| 4120  | Federal sources .....                                     | -99 | -242  | -348  |
| Additional offsets against gross budget authority only: |   |     |       |       |
| 4140  | Change in uncollected pymts, Fed sources, unexpired ..... | 1   | ..... | ..... |
| 4170  | Outlays, net (mandatory) .....                            | -26 | -20   | -14   |
| 4190  | Outlays, net (total) .....                                | -26 | -20   | -14   |

The Buildings Maintenance Fund was established in accordance with direction from Congress in the 1994 Appropriations Conference Report for the General Services Administration and operates under the authority provided in 10 U.S.C. 2208. It provides for operation and maintenance of three federally owned and 15 leased facilities occupied by DOD in the Washington Metropolitan area.

**Object Classification** (in millions of dollars)

| Identification code 97-4931-0-4-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 12          | 18      | 18        |
| 11.5 Other personnel compensation .....                         | 2           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 14          | 19      | 19        |
| 12.1 Civilian personnel benefits .....                          | 4           | 5       | 5         |
| 21.0 Travel and transportation of persons .....                 | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               |             | 110     | 217       |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 11          | 10      | 11        |
| 25.1 Advisory and assistance services .....                     | 38          | 38      | 33        |
| 25.2 Other services from non-Federal sources .....              | 2           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....              | 30          | 32      | 32        |
| 25.7 Operation and maintenance of equipment .....               | 17          | 20      | 20        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 5         |
| 31.0 Equipment .....  | 1           | 2       | 1         |
| 99.9 Total new obligations .....                                | 122         | 242     | 348       |

**Employment Summary**

| Identification code 97-4931-0-4-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 155         | 203     | 229       |

**ALLOWANCES****Federal Funds****DEPARTMENT OF DEFENSE CLOSED ACCOUNTS****Program and Financing** (in millions of dollars)

| Identification code 97-3999-0-1-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0020 Direct program activity .....                   | 51          |         |           |
| 0900 Total new obligations (object class 26.0) ..... | 51          |         |           |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 51          |         |           |
| 1160 Appropriation, discretionary (total) .....      | 51          |         |           |
| 1930 Total budgetary resources available .....       | 51          |         |           |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 51          |         |           |
| 3020 Outlays (gross) .....                           | -51         |         |           |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 51          |         |           |
| Outlays, gross:                                      |             |         |           |
| 4011 Outlays from discretionary balances .....       | 51          |         |           |
| 4180 Budget authority, net (total) .....             | 51          |         |           |
| 4190 Outlays, net (total) .....                      | 51          |         |           |

**TRUST FUNDS****Trust Funds****VOLUNTARY SEPARATION INCENTIVE FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 97-8335-0-7-051                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                         | 348         | 308     | 271       |
| Receipts:   |             |         |           |
| 0240 Payment to Voluntary Separation Incentive Fund ..... | 57          | 55      | 52        |
| 0241 Earnings on Investments .....                        | 14          | 14      | 12        |
| 0299 Total receipts and collections .....                 | 71          | 69      | 64        |
| 0400 Total: Balances and collections .....                | 419         | 377     | 335       |
| Appropriations:   |             |         |           |
| 0500 Voluntary Separation Incentive Fund .....            | -71         | -69     | -64       |
| 0501 Voluntary Separation Incentive Fund .....            | -59         | -37     | -35       |
| 0502 Voluntary Separation Incentive Fund .....            | 19          |         |           |
| 0599 Total appropriations .....                           | -111        | -106    | -99       |
| 0799 Balance, end of year .....                           | 308         | 271     | 236       |

**Program and Financing** (in millions of dollars)

| Identification code 97-8335-0-7-051                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0010 Direct program activity .....                   | 111         | 106     | 99        |
| 0900 Total new obligations (object class 41.0) ..... | 111         | 106     | 99        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 71          | 69      | 64        |
| 1203 Appropriation (previously unavailable) .....    | 59          | 37      | 35        |
| 1235 Appropriations precluded from obligation .....  | -19         |         |           |
| 1260 Appropriations, mandatory (total) .....         | 111         | 106     | 99        |
| 1930 Total budgetary resources available .....       | 111         | 106     | 99        |

**Change in obligated balance:**

|   |      |      |     |
|---|------|------|-----|
| Unpaid obligations:                                   |      |      |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 12   | 4    |     |
| 3010 Obligations incurred, unexpired accounts .....   | 111  | 106  | 99  |
| 3020 Outlays (gross) .....                            | -119 | -110 | -99 |
| 3050 Unpaid obligations, end of year .....            | 4    |      |     |
| Memorandum (non-add) entries:                         |      |      |     |
| 3100 Obligated balance, start of year .....           | 12   | 4    |     |
| 3200 Obligated balance, end of year .....             | 4    |      |     |

**Budget authority and outlays, net:**

|   |     |     |    |
|---|-----|-----|----|
| Mandatory:                                      |     |     |    |
| 4090 Budget authority, gross .....              | 111 | 106 | 99 |
| Outlays, gross:                                 |     |     |    |
| 4100 Outlays from new mandatory authority ..... | 106 | 106 | 99 |
| 4101 Outlays from mandatory balances .....      | 13  | 4   |    |
| 4110 Outlays, gross (total) .....               | 119 | 110 | 99 |
| 4180 Budget authority, net (total) .....        | 111 | 106 | 99 |
| 4190 Outlays, net (total) .....                 | 119 | 110 | 99 |

**Memorandum (non-add) entries:**

|  |     |     |     |
|--|-----|-----|-----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 358 | 312 | 275 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 312 | 275 | 239 |

Section 662 of the National Defense Authorization Act for 1992 and 1993, Public Law 102-190, established the Voluntary Separation Incentive (VSI) Fund to more effectively manage and account for the costs of the Voluntary Separation Incentive program. The VSI program provides annual payments to former active-duty servicemembers who voluntarily left service after serving more than six but less than 20 years. For all members who left service after December 31, 1992, the Department of Defense was required to deposit the total present value of their future VSI benefits into the VSI fund by the time authority to approve VSI benefits ended on December 31, 2001. DOD was also required to

**VOLUNTARY SEPARATION INCENTIVE FUND—Continued**  
cover the unfunded benefits of former members who separated before January 1, 1993 through yearly, actuarially-determined Government contributions from the DOD military personnel appropriations. Permanent authority to make these payments is contained in section 8044 of the 1997 Defense Appropriations Act. The fund also receives interest on its investments.

#### HOST NATION SUPPORT FUND FOR RELOCATION

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97–8337–0–7–051   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             | 1       | 6         |
| Receipts:   |             |         |           |
| 0200 Contributions, Host National Support for U.S. Relocation Activities .....      | 100         | 101     | 103       |
| 0201 Contributions from Japan, Support for U.S. Relocation to Guam Activities ..... |             | 195     | 30        |
| 0240 Earnings on Investments, Support for U.S. Relocation to Guam Activities .....  | 2           | 5       | 9         |
| 0299 Total receipts and collections .....   | 102         | 301     | 142       |
| 0400 Total: Balances and collections .....  | 102         | 302     | 148       |
| Appropriations:   |             |         |           |
| 0500 Host Nation Support Fund for Relocation .....                                  | –101        | –296    | –133      |
| 0799 Balance, end of year .....   | 1           | 6       | 15        |

##### Program and Financing (in millions of dollars)

| Identification code 97–8337–0–7–051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0010 Concept obligations undistributed .....          | 106         | 128     | 347       |
| 0900 Total new obligations (object class 41.0) .....  | 106         | 128     | 347       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 744         | 739     | 907       |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 101         | 296     | 133       |
| 1260 Appropriations, mandatory (total) .....          | 101         | 296     | 133       |
| 1930 Total budgetary resources available .....        | 845         | 1,035   | 1,040     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 739         | 907     | 693       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 96          | 77      | 104       |
| 3010 Obligations incurred, unexpired accounts .....   | 106         | 128     | 347       |
| 3020 Outlays (gross) .....                            | –125        | –101    | –285      |
| 3050 Unpaid obligations, end of year .....            | 77          | 104     | 166       |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 96          | 77      | 104       |
| 3200 Obligated balance, end of year .....             | 77          | 104     | 166       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 101         | 296     | 133       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 101     | 103       |
| 4101 Outlays from mandatory balances .....            | 125         |         | 182       |
| 4110 Outlays, gross (total) .....                     | 125         | 101     | 285       |
| 4180 Budget authority, net (total) .....              | 101         | 296     | 133       |
| 4190 Outlays, net (total) .....                       | 125         | 101     | 285       |

##### Memorandum (non-add) entries:

|  |     |       |       |
|--|-----|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 840 | 816   | 1,011 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 816 | 1,011 | 859   |

Section 2350k of U.S.C. Title 10 established a trust fund for cash contributions from any nation in support of relocation of elements of the Armed Forces from or to any location within that

nation. The Host Nation Support for Relocation account is financed through these cash contributions and interest accrued on the cash balances. Funds may be used to defray costs incurred in connection with the relocation for which the contribution was made.

#### OTHER DOD TRUST FUNDS

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 21–9971–0–7–051                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                    |             |         | 13        |
| Receipts:  |             |         |           |
| 0220 Deposits, Other DOD Trust Funds .....                           | 16          | 18      | 5         |
| 0240 Interest, Other DOD Trust Funds .....                           |             | 1       | 1         |
| 0241 Profits from Sale of Ships' Shores, Other DOD Trust Funds ..... | 13          | 20      | 20        |
| Adjustments:   |             |         |           |
| 0290 Adjustment - receipts rounding issue .....                      | 1           |         |           |
| 0299 Total receipts and collections .....                            | 30          | 39      | 26        |
| 0400 Total: Balances and collections .....                           | 30          | 39      | 39        |
| Appropriations:  |             |         |           |
| 0500 Other DOD Trust Funds .....                                     | –30         | –26     | –26       |
| 0799 Balance, end of year .....                                      |             | 13      | 13        |

##### Program and Financing (in millions of dollars)

| Identification code 21–9971–0–7–051                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0010 Other DOD trust funds .....                                  | 27          | 26      | 26        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 38          | 42      | 42        |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 39          | 42      | 42        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 30          | 26      | 26        |
| 1260 Appropriations, mandatory (total) .....                      | 30          | 26      | 26        |
| 1930 Total budgetary resources available .....                    | 69          | 68      | 68        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 42          | 42      | 42        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 8           | 5       |           |
| 3010 Obligations incurred, unexpired accounts .....               | 27          | 26      | 26        |
| 3020 Outlays (gross) .....  | –29         | –31     | –26       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 5           |         |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 8           | 5       |           |
| 3200 Obligated balance, end of year .....                         | 5           |         |           |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 30          | 26      | 26        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 23          | 26      | 26        |
| 4101 Outlays from mandatory balances .....                        | 6           | 5       |           |
| 4110 Outlays, gross (total) .....                                 | 29          | 31      | 26        |
| 4180 Budget authority, net (total) .....                          | 30          | 26      | 26        |
| 4190 Outlays, net (total) .....                                   | 29          | 31      | 26        |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....  | 13          | 11      | 16        |
| 5001 Total investments, EOY: Federal securities: Par value .....  | 11          | 16      | 16        |

This fund includes gifts and bequests limited to specific purposes by the donors. In addition, it accounts for gifts and bequests, not limited to specific use by the donors, which may be used for pur-



poses as determined by the Secretaries of the Army, Navy and Air Force.

**Object Classification** (in millions of dollars)

| Identification code 21-9971-0-7-051                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... |             | 6       | 6         |
| 26.0 Supplies and materials .....                  | 9           | 6       | 6         |
| 31.0 Equipment .....                               | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....    | 16          | 12      | 12        |
| 99.9 Total new obligations .....                   | 27          | 26      | 26        |

**NATIONAL SECURITY EDUCATION TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 97-8168-0-7-051 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 1           | 1       | 1         |
| 0799 Balance, end of year .....     | 1           | 1       | 1         |

**Program and Financing** (in millions of dollars)

| Identification code 97-8168-0-7-051                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....                   | 4           | 4       | 4         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 4           | 4       | 4         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 5           | 5       | 5         |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 5           | 5       | 5         |

**FOREIGN NATIONAL EMPLOYEES SEPARATION PAY**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 97-8165-0-7-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               |             |         |           |
| Receipts:   |             |         |           |
| 0240 Foreign National Employees Separation Pay Trust Fund ..... | 32          | 44      | 44        |
| 0400 Total: Balances and collections .....                      | 32          | 44      | 44        |
| Appropriations:   |             |         |           |
| 0500 Foreign National Employees Separation Pay .....            | -32         | -44     | -44       |
| 0799 Balance, end of year .....                                 |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 97-8165-0-7-051                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Foreign national employees separation pay .....  | 29          | 44      | 44        |
| 0900 Total new obligations (object class 13.0) .....  | 29          | 44      | 44        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 99          | 102     | 102       |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 32          | 44      | 44        |
| 1260 Appropriations, mandatory (total) .....          | 32          | 44      | 44        |
| 1930 Total budgetary resources available .....        | 131         | 146     | 146       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 102         | 102     | 102       |

**Change in obligated balance:**

|   |     |      |     |
|---|-----|------|-----|
| Unpaid obligations:                                   |     |      |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 446 | 423  |     |
| 3010 Obligations incurred, unexpired accounts .....   | 29  | 44   | 44  |
| 3020 Outlays (gross) .....                            | -52 | -467 | -44 |
| 3050 Unpaid obligations, end of year .....            | 423 |      |     |
| Memorandum (non-add) entries:                         |     |      |     |
| 3100 Obligated balance, start of year .....           | 446 | 423  |     |
| 3200 Obligated balance, end of year .....             | 423 |      |     |

**Budget authority and outlays, net:**

|   |    |     |    |
|---|----|-----|----|
| Mandatory:                                      |    |     |    |
| 4090 Budget authority, gross .....              | 32 | 44  | 44 |
| Outlays, gross:                                 |    |     |    |
| 4100 Outlays from new mandatory authority ..... |    | 44  | 44 |
| 4101 Outlays from mandatory balances .....      | 52 | 423 |    |
| 4110 Outlays, gross (total) .....               | 52 | 467 | 44 |
| 4180 Budget authority, net (total) .....        | 32 | 44  | 44 |
| 4190 Outlays, net (total) .....                 | 52 | 467 | 44 |

This account funds separation payments for former Department of Defense employees who are not United States citizens and who worked outside the United States. The payments are determined according to the applicable labor laws of the various countries.

**SURCHARGE COLLECTIONS, SALES OF COMMISSARY STORES, DEFENSE**

**Program and Financing** (in millions of dollars)

| Identification code 97-8164-0-8-051                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 291         | 306     | 328       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 33          | 55      | 55        |
| Budget authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 313         | 306     | 319       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 313         | 306     | 319       |
| 1930 Total budgetary resources available .....                     | 346         | 361     | 374       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 55          | 55      | 46        |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 328  | 328  | 328  |
| 3010 Obligations incurred, unexpired accounts .....   | 291  | 306  | 328  |
| 3020 Outlays (gross) .....                            | -291 | -306 | -319 |
| 3050 Unpaid obligations, end of year .....            | 328  | 328  | 337  |
| Memorandum (non-add) entries:                         |      |      |      |
| 3100 Obligated balance, start of year .....           | 328  | 328  | 328  |
| 3200 Obligated balance, end of year .....             | 328  | 328  | 337  |

**Budget authority and outlays, net:**

|   |      |      |      |
|---|------|------|------|
| Mandatory:  |      |      |      |
| 4090 Budget authority, gross .....                  | 313  | 306  | 319  |
| Outlays, gross:                                     |      |      |      |
| 4100 Outlays from new mandatory authority .....     | 50   | 260  | 271  |
| 4101 Outlays from mandatory balances .....          | 241  | 46   | 48   |
| 4110 Outlays, gross (total) .....                   | 291  | 306  | 319  |
| Offsets against gross budget authority and outlays: |      |      |      |
| Offsetting collections (collected) from:            |      |      |      |
| 4123 Non-Federal sources .....                      | -313 | -306 | -319 |
| 4190 Outlays, net (total) .....                     | -22  |      |      |

This fund was established in 1992 as a result of the consolidation of Defense Commissaries. The trust fund pays commissary costs to acquire (including leases), construct, convert, expand, improve, repair, maintain, and equip the physical infrastructure of commissary stores and central processing facilities of the Defense Commissary system. Surcharge funds are also utilized for real property, environmental evaluation, and construction costs including costs for surveys, administration, overhead, planning,

**SURCHARGE COLLECTIONS, SALES OF COMMISSARY STORES,  
DEFENSE—Continued**

and design. Title 10 of the United States Code prescribes costs which may be financed by the trust fund.

**Object Classification** (in millions of dollars)

| Identification code 97-8164-0-8-051                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 4       | 4         |
| 25.4 Operation and maintenance of facilities .....              | 92          | 76      | 104       |
| 25.7 Operation and maintenance of equipment .....               | 41          | 69      | 46        |
| 31.0 Equipment .....  | 56          | 102     | 63        |
| 32.0 Land and structures .....                                  | 99          | 55      | 111       |
| 99.9 Total new obligations .....                                | 291         | 306     | 328       |

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 17-143517 General Fund Proprietary Interest Receipts, not Otherwise Classified, Navy .....                         |             | 1       | 1         |
| 17-304117 Recoveries under the Foreign Military Sales Program, Navy .....  | 32          | 156     | 156       |
| 17-321017 General Fund Proprietary Receipts, not Otherwise Classified, Navy .....                                  | 221         | 82      | 82        |
| 21-278230 Arms Initiative, Downward Reestimates of Subsidies .....   |             | 1       |           |
| 21-301900 Recoveries for Government Property Lost or Damaged .....   | 37          | 13      | 12        |
| 21-304121 Recoveries under the Foreign Military Sales Program, Army .....  | 37          | 22      | 22        |
| 21-321021 General Fund Proprietary Receipts, not Otherwise Classified, Army .....                                  | -427        | 68      | 68        |
| 57-304157 Recoveries under the Foreign Military Sales Program, Air Force .....                                     | 21          | 41      | 41        |
| 57-321057 General Fund Proprietary Receipts, not Otherwise Classified, Air Force .....                             | 65          | 97      | 97        |
| 97-184000 Rent of Equipment and Other Personal Property .....  |             | 1       | 1         |
| 97-223600 Sale of Certain Materials in National Defense Stockpile .....  | 76          | 48      | 20        |
| 97-246200 Deposits for Survivor Annuity Benefits .....   | 15          | 21      | 21        |
| 97-265197 Sale of Scrap and Salvage Materials .....  | 1           | 1       | 1         |
| 97-276130 Family Housing Improvement Fund, Downward Reestimates of Subsidies .....                                 | 44          | 22      |           |
| 97-304197 Recoveries under the Foreign Military Sales Program, Defense Agencies .....                              | 6           | 5       | 5         |
| 97-321097 General Fund Proprietary Receipts, not Otherwise Classified, Defense Agencies .....                      | 194         | 87      | 87        |
| General Fund Offsetting receipts from the public .....   | 322         | 666     | 614       |
| Intragovernmental payments:  |             |         |           |
| 17-388517 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Navy .....             | -55         | 45      | 45        |
| 21-388521 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Army .....             | -475        |         |           |
| 57-388557 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Air Force .....        | 87          |         |           |
| 97-388597 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts, Defense Agencies ..... | -36         |         |           |
| General Fund Intragovernmental payments .....  | -479        | 45      | 45        |

**ADMINISTRATIVE PROVISIONS**

**SEC. 101.** None of the funds made available in this title shall be expended for payments under a cost-plus-a-fixed-fee contract for construction, where cost estimates exceed \$25,000, to be performed within the United States, except Alaska, without the specific approval in writing of the Secretary of Defense setting forth the reasons therefor.

**SEC. 102.** Funds made available in this title for construction shall be available for hire of passenger motor vehicles.

**SEC. 103.** Funds made available in this title for construction may be used for advances to the Federal Highway Administration, Department of Transportation, for the construction of access roads as authorized by section 210 of title 23, United States Code, when projects authorized therein

are certified as important to the national defense by the Secretary of Defense.

**SEC. 104.** None of the funds made available in this title may be used to begin construction of new bases in the United States for which specific appropriations have not been made.

**SEC. 105.** None of the funds made available in this title shall be used for purchase of land or land easements in excess of 100 percent of the value as determined by the Army Corps of Engineers or the Naval Facilities Engineering Command, except: (1) where there is a determination of value by a Federal court; (2) purchases negotiated by the Attorney General or the designee of the Attorney General; (3) where the estimated value is less than \$25,000; or (4) as otherwise determined by the Secretary of Defense to be in the public interest.

**SEC. 106.** None of the funds made available in this title shall be used to: (1) acquire land; (2) provide for site preparation; or (3) install utilities for any family housing, except housing for which funds have been made available in annual Acts making appropriations for military construction.

**SEC. 107.** None of the funds made available in this title for minor construction may be used to transfer or relocate any activity from one base or installation to another, without prior notification to the Committees on Appropriations of both Houses of Congress.

**SEC. 108.** None of the funds made available in this title may be used for the procurement of steel for any construction project or activity for which American steel producers, fabricators, and manufacturers have been denied the opportunity to compete for such steel procurement.

**SEC. 109.** None of the funds available to the Department of Defense for military construction or family housing during the current fiscal year may be used to pay real property taxes in any foreign nation.

**SEC. 110.** None of the funds made available in this title may be used to initiate a new installation overseas without prior notification to the Committees on Appropriations of both Houses of Congress.

**SEC. 111.** None of the funds made available in this title for military construction in the United States territories and possessions in the Pacific and on Kwajalein Atoll, or in countries bordering the Arabian Sea, may be used to award any contract estimated by the Government to exceed \$1,000,000 to a foreign contractor: Provided, That this section shall not be applicable to contract awards for which the lowest responsive and responsible bid of a United States contractor exceeds the lowest responsive and responsible bid of a foreign contractor by greater than 20 percent: Provided further, That this section shall not apply to contract awards for military construction on Kwajalein Atoll for which the lowest responsive and responsible bid is submitted by a Marshallese contractor.

**SEC. 112.** Funds appropriated to the Department of Defense for construction in prior years shall be available for construction authorized for each such military department by the authorizations enacted into law during the current session of Congress.

**SEC. 113.** For military construction or family housing projects that are being completed with funds otherwise expired or lapsed for obligation, expired or lapsed funds may be used to pay the cost of associated supervision, inspection, overhead, engineering and design on those projects and on subsequent claims, if any.

**SEC. 114.** Notwithstanding any other provision of law, any funds made available to a military department or defense agency for the construction of military projects may be obligated for a military construction project or contract, or for any portion of such a project or contract, at any time before the end of the fourth fiscal year after the fiscal year for which funds for such project were made available, if the funds obligated for such project: (1) are obligated from funds available for military construction projects; and (2) do not exceed the amount appropriated for such project, plus any amount by which the cost of such project is increased pursuant to law.

(INCLUDING TRANSFER OF FUNDS)

**SEC. 115.** In addition to any other transfer authority available to the Department of Defense, proceeds deposited to the Department of Defense Base Closure Account established by section 207(a)(1) of the Defense Authorization Amendments and Base Closure and Realignment Act (10 U.S.C. 2687 note) pursuant to section 207(a)(2)(C) of such Act, may be transferred to the account established by section 2906(a)(1) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), to be merged with, and to be available for the same purposes and the same time period as that account.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 116. Subject to 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, such additional amounts as may be determined by the Secretary of Defense may be transferred to: (1) the Department of Defense Family Housing Improvement Fund from amounts appropriated for construction in "Family Housing" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund; or (2) the Department of Defense Military Unaccompanied Housing Improvement Fund from amounts appropriated for construction of military unaccompanied housing in "Military Construction" accounts, to be merged with and to be available for the same purposes and for the same period of time as amounts appropriated directly to the Fund: Provided, That appropriations made available to the Funds shall be available to cover the costs, as defined in section 502(5) of the Congressional Budget Act of 1974, of direct loans or loan guarantees issued by the Department of Defense pursuant to the provisions of subchapter IV of chapter 169 of title 10, United States Code, pertaining to alternative means of acquiring and improving military family housing, military unaccompanied housing, and supporting facilities.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 117. In addition to any other transfer authority available to the Department of Defense, amounts may be transferred from the accounts established by sections 2906(a)(1) and 2906A(a)(1) of the Defense Base Closure and Realignment Act of 1990 (10 U.S.C. 2687 note), to the fund established by section 1013(d) of the Demonstration Cities and Metropolitan Development Act of 1966 (42 U.S.C. 3374) to pay for expenses associated with the Homeowners Assistance Program incurred under 42 U.S.C. 3374(a)(1)(A). Any amounts transferred shall be merged with and be available for the same purposes and for the same time period as the fund to which transferred.

SEC. 118. Notwithstanding any other provision of law, funds made available in this title for operation and maintenance of family housing shall be the exclusive source of funds for repair and maintenance of all family housing units, including general or flag officer quarters: Provided, That not more than \$35,000 per unit may be spent annually for the maintenance and repair of any general or flag officer quarters without 30 days prior notification, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code, to the Committees on Appropriations of both Houses of Congress, except that an after-the-fact notification shall be submitted if the limitation is exceeded solely due to costs associated with environmental remediation that could not be reasonably anticipated at the time of the budget submission.

SEC. 119. Amounts contained in the Ford Island Improvement Account established by subsection (h) of section 2814 of title 10, United States Code, are appropriated and shall be available until expended for the purposes specified in subsection (i)(1) of such section or until transferred pursuant to subsection (i)(3) of such section.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 120. During the 5-year period after appropriations available in this Act to the Department of Defense for military construction and family housing operation and maintenance and construction have expired for obligation, upon a determination that such appropriations will not be necessary for the liquidation of obligations or for making authorized adjustments to such appropriations for obligations incurred during the period of availability of such appropriations, unobligated balances of such appropriations may be transferred into the appropriation "Foreign Currency Fluctuations, Construction, Defense", to be merged with and to be available for the same time period and for the same purposes as the appropriation to which transferred.

SEC. 121. Notwithstanding any other provision of law, the Secretary of the Army may use funds appropriated for operation and maintenance in any other act for real property maintenance and repair projects and activities at Arlington National Cemetery: Provided, That amounts provided in this title or in any other Act for maintenance and repair activities at Arlington National Cemetery are in addition to, and do not supplant, amounts made available in this Act under the heading the Cemeterial Expenses, Army for necessary expenses of the same general purpose.

SEC. 122. During any fiscal year for which an act authorizing military construction projects for that fiscal year has been enacted but appropriations for that fiscal year have been provided under a continuing appropriations resolution, notwithstanding any new start restrictions contained in the continuing resolution, appropriations available for military construction, including those provided under the authority of the continuing resolution, may be used for projects authorized in the military construction authorization act for that fiscal year, with the approval of the Office of Management and Budget: Provided, That, before using the authority provided by this section, the Secretary of Defense or the Secretary of the military department concerned shall provide notice to the Committees on Appropriations of both Houses of Congress not less than 30 days prior to such use, or 14 days for a notification provided in an electronic medium pursuant to sections 480 and 2883 of title 10, United States Code: Provided further, That the use of the authority of this section shall not result in the cancellation of any previously authorized military construction project.

## TITLE VIII—GENERAL PROVISIONS

SEC. 8001. During the current fiscal year, provisions of law prohibiting the payment of compensation to, or employment of, any person not a citizen of the United States shall not apply to personnel of the Department of Defense: Provided, That salary increases granted to direct and indirect hire foreign national employees of the Department of Defense funded by this Act shall not be at a rate in excess of the percentage increase authorized by law for civilian employees of the Department of Defense whose pay is computed under the provisions of section 5332 of title 5, United States Code, or at a rate in excess of the percentage increase provided by the appropriate host nation to its own employees, whichever is higher: Provided further, That this section shall not apply to Department of Defense foreign service national employees serving at United States diplomatic missions whose pay is set by the Department of State under the Foreign Service Act of 1980: Provided further, That the limitations of this provision shall not apply to foreign national employees of the Department of Defense in the Republic of Turkey.

SEC. 8002. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year, unless expressly so provided herein.

## (TRANSFER OF FUNDS)

SEC. 8003. Upon determination by the Secretary of Defense that such action is necessary in the national interest, he may, with the approval of the Office of Management and Budget, transfer not to exceed \$4,000,000,000 of working capital funds of the Department of Defense or funds made available in this Act to the Department of Defense for military functions (except military construction) between such appropriations or funds or any subdivision thereof, to be merged with and to be available for the same purposes, and for the same time period, as the appropriation or fund to which transferred: Provided, That the Secretary of Defense shall notify the Congress promptly of all transfers made pursuant to this authority or any other authority in this Act: Provided further, That transfers among military personnel appropriations shall not be taken into account for purposes of the limitation on the amount of funds that may be transferred under this section.

## (TRANSFER OF FUNDS)

SEC. 8004. During the current fiscal year, cash balances in working capital funds of the Department of Defense established pursuant to section 2208 of title 10, United States Code, may be maintained in only such amounts as are necessary at any time for cash disbursements to be made from such funds: Provided, That transfers may be made between such funds: Provided further, That transfers may be made between working capital funds and the "Foreign Currency Fluctuations, Defense" appropriation and the "Operation and Maintenance" appropriation accounts in such amounts as may be determined by the Secretary of Defense, with the approval of the Office of Management and Budget, except that such transfers may not be made unless the Secretary of Defense has notified the Congress of the proposed transfer. Except in amounts equal to the amounts appropriated to working capital funds in this Act, no obligations may be made against a working capital fund to procure or increase the value of war reserve material inventory, unless the Secretary of Defense has notified the Congress prior to any such obligation.

SEC. 8005.

Funds appropriated in title III of this Act may be used for a multiyear procurement contract as follows:

E–2D Advanced Hawkeye, SSN 774 Virginia class submarine, KC-130J, C-130J, HC-130J, MC-130J, AC-130J aircraft, and government furnished equipment.

SEC. 8006. Within the funds appropriated for the operation and maintenance of the Armed Forces, funds are hereby appropriated pursuant to section 401 of title 10, United States Code, for humanitarian and civic assistance costs under chapter 20 of title 10, United States Code. Such funds may also be obligated for humanitarian and civic assistance costs incidental to authorized operations and pursuant to authority granted in section 401 of chapter 20 of title 10, United States Code, and these obligations shall be reported as required by section 401(d) of title 10, United States Code: Provided, That funds available for operation and maintenance shall be available for providing humanitarian and similar assistance by using Civic Action Teams in the Trust Territories of the Pacific Islands and freely associated states of Micronesia, pursuant to the Compact of Free Association as authorized by Public Law 99–239 as amended: Provided further, That upon a determination by the Secretary of the Army that such action is beneficial for graduate medical education programs conducted at Army medical facilities located in Hawaii, the Secretary of the Army may authorize the provision of medical services at such facilities and transportation to such facilities, on a nonreimbursable basis, for civilian patients from American Samoa, the Commonwealth of the Northern Mariana Islands, the Marshall Islands, the Federated States of Micronesia, Palau, and Guam.

SEC. 8007. (a) During fiscal year 2014, the civilian personnel of the Department of Defense may not be managed on the basis of any end-strength, and the management of such personnel during that fiscal year shall not be subject to any constraint or limitation (known as an end-strength) on the number of such personnel who may be employed on the last day of such fiscal year.

SEC. 8008. None of the funds appropriated by this Act shall be available for the basic pay and allowances of any member of the Army participating as a full-time student and receiving benefits paid by the Secretary of Veterans Affairs from the Department of Defense Education Benefits Fund when time spent as a full-time student is credited toward completion of a service commitment: Provided, That this section shall not apply to those members who have reenlisted with this option prior to October 1, 1987: Provided further, That this section applies only to active components of the Army.

(TRANSFER OF FUNDS)

SEC. 8009. Funds appropriated in title III of this Act for the Department of Defense Pilot Mentor-Protégé Program may be transferred to any other appropriation contained in this Act solely for the purpose of implementing a Mentor-Protégé Program developmental assistance agreement pursuant to section 831 of the National Defense Authorization Act for Fiscal Year 1991 (Public Law 101–510; 10 U.S.C. 2302 note), as amended, under the authority of this provision or any other transfer authority contained in this Act.

SEC. 8010. Funds appropriated by this Act for the Defense Media Activity shall not be used for any national or international political or psychological activities.

SEC. 8011. During the current fiscal year, the Department of Defense is authorized to incur obligations of not to exceed \$350,000,000 for purposes specified in section 2350j(c) of title 10, United States Code, in anticipation of receipt of contributions, only from the Government of Kuwait, under that section: Provided, That upon receipt, such contributions from the Government of Kuwait shall be credited to the appropriations or fund which incurred such obligations.

SEC. 8012. (a) None of the funds appropriated in this Act are available to establish a new Department of Defense (department) federally funded research and development center (FFRDC), either as a new entity, or as a separate entity administrated by an organization managing another FFRDC, or as a nonprofit membership corporation consisting of a consortium of other FFRDCs and other nonprofit entities.

(b) No member of a Board of Directors, Trustees, Overseers, Advisory Group, Special Issues Panel, Visiting Committee, or any similar entity of a defense FFRDC, and no paid consultant to any defense FFRDC, except when acting in a technical advisory capacity, may be compensated for his or her services as a member of such entity, or as a paid consultant by more than one FFRDC in a fiscal year: Provided, That a member of

any such entity referred to previously in this subsection shall be allowed travel expenses and per diem as authorized under the Federal Joint Travel Regulations, when engaged in the performance of membership duties.

(c) Notwithstanding any other provision of law, none of the funds available to the department from any source during fiscal year 2014 may be used by a defense FFRDC, through a fee or other payment mechanism, for construction of new buildings, for payment of cost sharing for projects funded by Government grants, for absorption of contract overruns, or for certain charitable contributions, not to include employee participation in community service and/or development.

SEC. 8013. For the purposes of this Act, the term "congressional defense committees" means the Armed Services Committee of the House of Representatives, the Armed Services Committee of the Senate, the Subcommittee on Defense of the Committee on Appropriations of the Senate, and the Subcommittee on Defense of the Committee on Appropriations of the House of Representatives.

SEC. 8014. During the current fiscal year, the Department of Defense may acquire the modification, depot maintenance and repair of aircraft, vehicles and vessels as well as the production of components and other Defense-related articles, through competition between Department of Defense depot maintenance activities and private firms: Provided, That the Senior Acquisition Executive of the military department or Defense Agency concerned, with power of delegation, shall certify that successful bids include comparable estimates of all direct and indirect costs for both public and private bids.

SEC. 8015. (a)(1) If the Secretary of Defense, after consultation with the United States Trade Representative, determines that a foreign country which is party to an agreement described in paragraph (2) has violated the terms of the agreement by discriminating against certain types of products produced in the United States that are covered by the agreement, the Secretary of Defense shall rescind the Secretary's blanket waiver of the Buy American Act with respect to such types of products produced in that foreign country.

(2) An agreement referred to in paragraph (1) is any reciprocal defense procurement memorandum of understanding, between the United States and a foreign country pursuant to which the Secretary of Defense has prospectively waived the Buy American Act for certain products in that country.

(b) The Secretary of Defense shall submit to the Congress a report on the amount of Department of Defense purchases from foreign entities in fiscal year 2014. Such report shall separately indicate the dollar value of items for which the Buy American Act was waived pursuant to any agreement described in subsection (a)(2), the Trade Agreement Act of 1979 (19 U.S.C. 2501 et seq.), or any international agreement to which the United States is a party.

(c) For purposes of this section, the term "Buy American Act" means chapter 83 of title 41, United States Code.

SEC. 8016. During the current fiscal year, amounts contained in the Department of Defense Overseas Military Facility Investment Recovery Account established by section 2921(c)(1) of the National Defense Authorization Act of 1991 (Public Law 101–510; 10 U.S.C. 2687 note) shall be available until expended for the payments specified by section 2921(c)(2) of that Act.

SEC. 8017. (a) Notwithstanding any other provision of law, the Secretary of the Air Force may convey at no cost to the Air Force, without consideration, to Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington relocatable military housing units located at Grand Forks Air Force Base, Malmstrom Air Force Base, Mountain Home Air Force Base, Ellsworth Air Force Base, and Minot Air Force Base that are excess to the needs of the Air Force.

(b) The Secretary of the Air Force shall convey, at no cost to the Air Force, military housing units under subsection (a) in accordance with the request for such units that are submitted to the Secretary by the Operation Walking Shield Program on behalf of Indian tribes located in the States of Nevada, Idaho, North Dakota, South Dakota, Montana, Oregon, Minnesota, and Washington. Any such conveyance shall be subject to the condition that the housing units shall be removed within a reasonable period of time, as determined by the Secretary.

(c) The Operation Walking Shield Program shall resolve any conflicts among requests of Indian tribes for housing units under subsection (a)

before submitting requests to the Secretary of the Air Force under subsection (b).

(d) In this section, the term "Indian tribe" means any recognized Indian tribe included on the current list published by the Secretary of the Interior under section 104 of the Federally Recognized Indian Tribe Act of 1994 (Public Law 103-454; 108 Stat. 4792; 25 U.S.C. 479a-1).

SEC. 8018. During the current fiscal year, appropriations which are available to the Department of Defense for operation and maintenance may be used to purchase items having an investment item unit cost of not more than \$250,000.

SEC. 8019. None of the funds appropriated by this Act for programs of the Central Intelligence Agency shall remain available for obligation beyond the current fiscal year, except for funds appropriated for the Reserve for Contingencies, which shall remain available until September 30, 2015: Provided, That funds appropriated, transferred, or otherwise credited to the Central Intelligence Agency Central Services Working Capital Fund during this or any prior or subsequent fiscal year shall remain available until expended: Provided further, That any funds appropriated or transferred to the Central Intelligence Agency for advanced research and development acquisition, for agent operations, and for covert action programs authorized by the President under section 503 of the National Security Act of 1947, as amended, shall remain available until September 30, 2015.

SEC. 8020. Notwithstanding any other provision of law, funds made available in this Act for the Defense Intelligence Agency may be used for the design, development, and deployment of General Defense Intelligence Program intelligence communications and intelligence information systems for the Services, the Unified and Specified Commands, and the component commands.

SEC. 8021. None of the funds appropriated by this Act shall be available for a contract for studies, analysis, or consulting services entered into without competition on the basis of an unsolicited proposal unless the head of the activity responsible for the procurement determines—

(1) as a result of thorough technical evaluation, only one source is found fully qualified to perform the proposed work;

(2) the purpose of the contract is to explore an unsolicited proposal which offers significant scientific or technological promise, represents the product of original thinking, and was submitted in confidence by one source; or

(3) the purpose of the contract is to take advantage of unique and significant industrial accomplishment by a specific concern, or to insure that a new product or idea of a specific concern is given financial support: Provided, That this limitation shall not apply to contracts in an amount of less than \$25,000, contracts related to improvements of equipment that is in development or production, or contracts as to which a civilian official of the Department of Defense, who has been confirmed by the Senate, determines that the award of such contract is in the interest of the national defense.

SEC. 8022. None of the funds appropriated or otherwise made available in this Act may be obligated or expended for assistance to the Democratic People's Republic of Korea unless specifically appropriated for that purpose.

SEC. 8023. Funds appropriated in this Act for operation and maintenance of the Military Departments, Combatant Commands and Defense Agencies shall be available for reimbursement of pay, allowances and other expenses which would otherwise be incurred against appropriations for the National Guard and Reserve when members of the National Guard and Reserve provide intelligence or counterintelligence support to Combatant Commands, Defense Agencies and Joint Intelligence Activities, including the activities and programs included within the National Intelligence Program and the Military Intelligence Program: Provided, That nothing in this section authorizes deviation from established Reserve and National Guard personnel and training procedures.

SEC. 8024. (a) None of the funds available to the Department of Defense for any fiscal year for drug interdiction or counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

(b) None of the funds available to the Central Intelligence Agency for any fiscal year for drug interdiction and counter-drug activities may be transferred to any other department or agency of the United States except as specifically provided in an appropriations law.

SEC. 8025. None of the funds available to the Department of Defense under this Act shall be obligated or expended to pay a contractor under

a contract with the Department of Defense for costs of any amount paid by the contractor to an employee when—

(1) such costs are for a bonus or otherwise in excess of the normal salary paid by the contractor to the employee; and

(2) such bonus is part of restructuring costs associated with a business combination.

(INCLUDING TRANSFER OF FUNDS)

SEC. 8026. During the current fiscal year, no more than \$30,000,000 of appropriations made in this Act under the heading "Operation and Maintenance, Defense-Wide" may be transferred to appropriations available for the pay of military personnel, to be merged with, and to be available for the same time period as the appropriations to which transferred, to be used in support of such personnel in connection with support and services for eligible organizations and activities outside the Department of Defense pursuant to section 2012 of title 10, United States Code.

SEC. 8027. (a) Notwithstanding any other provision of law, the Chief of the National Guard Bureau may permit the use of equipment of the National Guard Distance Learning Project by any person or entity on a space-available, reimbursable basis. The Chief of the National Guard Bureau shall establish the amount of reimbursement for such use on a case-by-case basis.

(b) Amounts collected under subsection (a) shall be credited to funds available for the National Guard Distance Learning Project and be available to defray the costs associated with the use of equipment of the project under that subsection. Such funds shall be available for such purposes without fiscal year limitation.

SEC. 8028. None of the funds appropriated in title IV of this Act may be used to procure end-items for delivery to military forces for operational training, operational use or inventory requirements: Provided, That this restriction does not apply to end-items used in development, prototyping, and test activities preceding and leading to acceptance for operational use: Provided further, That this restriction does not apply to programs funded within the National Intelligence Program: Provided further, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying in writing to the Committees on Appropriations of the House of Representatives and the Senate that it is in the national security interest to do so.

SEC. 8029. (a) The Secretary of Defense may, on a case-by-case basis, waive with respect to a foreign country each limitation on the procurement of defense items from foreign sources provided in law if the Secretary determines that the application of the limitation with respect to that country would invalidate cooperative programs entered into between the Department of Defense and the foreign country, or would invalidate reciprocal trade agreements for the procurement of defense items entered into under section 2531 of title 10, United States Code, and the country does not discriminate against the same or similar defense items produced in the United States for that country.

(b) Subsection (a) applies with respect to—

(1) contracts and subcontracts entered into on or after the date of the enactment of this Act; and

(2) options for the procurement of items that are exercised after such date under contracts that are entered into before such date if the option prices are adjusted for any reason other than the application of a waiver granted under subsection (a).

(c) Subsection (a) does not apply to a limitation regarding construction of public vessels, ball and roller bearings, food, and clothing or textile materials as defined by section 11 (chapters 50-65) of the Harmonized Tariff Schedule and products classified under headings 4010, 4202, 4203, 6401 through 6406, 6505, 7019, 7218 through 7229, 7304.41 through 7304.49, 7306.40, 7502 through 7508, 8105, 8108, 8109, 8211, 8215, and 9404.

SEC. 8030. (a) None of the funds made available by this Act may be used to support any training program involving a unit of the security forces or police of a foreign country if the Secretary of Defense has received credible information from the Department of State that the unit has committed a gross violation of human rights, unless all necessary corrective steps have been taken.

(b) The Secretary of Defense, in consultation with the Secretary of State, shall ensure that prior to a decision to conduct any training program referred to in subsection (a), full consideration is given to all credible information available to the Department of State relating to human rights violations by foreign security forces.

(c) *The Secretary of Defense, after consultation with the Secretary of State, may waive the prohibition in subsection (a) if he determines that such waiver is required by extraordinary circumstances.*

(d) *Not more than 15 days after the exercise of any waiver under subsection (c), the Secretary of Defense shall submit a report to the congressional defense committees describing the extraordinary circumstances, the purpose and duration of the training program, the United States forces and the foreign security forces involved in the training program, and the information relating to human rights violations that necessitates the waiver.*

SEC. 8031. *Notwithstanding any other provision of law, funds appropriated in this Act under the heading "Research, Development, Test and Evaluation, Defense-Wide" for any new start advanced concept technology demonstration project or joint capability demonstration project may only be obligated 45 days after a report, including a description of the project, the planned acquisition and transition strategy and its estimated annual and total cost, has been provided in writing to the congressional defense committees: Provided, That the Secretary of Defense may waive this restriction on a case-by-case basis by certifying to the congressional defense committees that it is in the national interest to do so.*

SEC. 8032. *Notwithstanding section 12310(b) of title 10, United States Code, a Reserve who is a member of the National Guard serving on full-time National Guard duty under section 502(f) of title 32, United States Code, may perform duties in support of the ground-based elements of the National Ballistic Missile Defense System.*

SEC. 8033. *None of the funds provided in this Act may be used to transfer to any nongovernmental entity ammunition held by the Department of Defense that has a center-fire cartridge and a United States military nomenclature designation of "armor penetrator", "armor piercing (AP)", "armor piercing incendiary (API)", or "armor-piercing incendiary tracer (API-T)", except to an entity performing demilitarization services for the Department of Defense under a contract that requires the entity to demonstrate to the satisfaction of the Department of Defense that armor piercing projectiles are either: (1) rendered incapable of reuse by the demilitarization process; or (2) used to manufacture ammunition pursuant to a contract with the Department of Defense or the manufacture of ammunition for export pursuant to a License for Permanent Export of Unclassified Military Articles issued by the Department of State.*

SEC. 8034. *Notwithstanding any other provision of law, the Chief of the National Guard Bureau, or his designee, may waive payment of all or part of the consideration that otherwise would be required under section 2667 of title 10, United States Code, in the case of a lease of personal property for a period not in excess of 1 year to any organization specified in section 508(d) of title 32, United States Code, or any other youth, social, or fraternal nonprofit organization as may be approved by the Chief of the National Guard Bureau, or his designee, on a case-by-case basis.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8035. *Of the amounts appropriated in this Act under the heading "Operation and Maintenance, Army", \$108,725,800 shall remain available until expended: Provided, That notwithstanding any other provision of law, the Secretary of Defense is authorized to transfer such funds to other activities of the Federal Government: Provided further, That the Secretary of Defense is authorized to enter into and carry out contracts for the acquisition of real property, construction, personal services, and operations related to projects carrying out the purposes of this section: Provided further, That contracts entered into under the authority of this section may provide for such indemnification as the Secretary determines to be necessary: Provided further, That projects authorized by this section shall comply with applicable Federal, State, and local law to the maximum extent consistent with the national security, as determined by the Secretary of Defense.*

SEC. 8036. *Section 8106 of the Department of Defense Appropriations Act, 1997 (titles I through VIII of the matter under subsection 101(b) of Public Law 104-208; 110 Stat. 3009-111; 10 U.S.C. 113 note) shall continue in effect to apply to disbursements that are made by the Department of Defense in fiscal year 2014.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8037. *Of the amounts appropriated in this Act under the heading "Procurement, Defense-wide" and "Research, Development, Test and Evaluation, Defense-Wide", \$316,091,000 shall be for the Israeli Cooperation Programs: Provided, That of this amount, \$220,309,000 shall be for the Secretary of Defense to provide to the Government of Israel for the*

*procurement of the Iron Dome defense system to counter short-range rocket threats: Provided further, That \$95,782,000 provided under the heading "Research, Development, Test and Evaluation, Defense-Wide" shall be available as follows: \$32,512,000 shall be for the Short Range Ballistic Missile Defense (SRBMD) program, including cruise missile defense research and development under the SRBMD program, of which \$15,000,000 shall be for production activities of SRBMD missiles in the United States and in Israel to meet Israel's defense requirements consistent with each nation's laws, regulations, and procedures, \$52,607,000 shall be available for an upper-tier component to the Israeli Missile Defense Architecture, and \$10,663,000 shall be for the Arrow System Improvement Program including development of a long range, ground and airborne, detection suite: Provided further, That funds made available under this provision for production of missiles and missile components may be transferred to appropriations available for the procurement of weapons and equipment, to be merged with and to be available for the same time period and the same purposes as the appropriation to which transferred: Provided further, That the transfer authority provided under this provision is in addition to any other transfer authority contained in this Act.*

SEC. 8038. *Funds appropriated by this Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of the Intelligence Authorization Act for Fiscal Year 2014.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8039. *The Secretary of Defense may transfer funds from any available Department of the Navy appropriation to any available Navy ship construction appropriation for the purpose of liquidating necessary changes resulting from inflation, market fluctuations, or rate adjustments for any ship construction program appropriated in law: Provided, That the Secretary may transfer not to exceed \$100,000,000 under the authority provided by this section: Provided further, That the Secretary may not transfer any funds until 30 days after the proposed transfer has been reported to the Committees on Appropriations of the House of Representatives and the Senate, unless a response from the Committees is received sooner: Provided further, That any funds transferred pursuant to this section shall retain the same period of availability as when originally appropriated: Provided further, That the transfer authority provided by this section is in addition to any other transfer authority contained elsewhere in this Act.*

SEC. 8040. *For purposes of section 7108 of title 41, United States Code, any subdivision of appropriations made under the heading "Shipbuilding and Conversion, Navy" that is not closed at the time reimbursement is made shall be available to reimburse the Judgment Fund and shall be considered for the same purposes as any subdivision under the heading "Shipbuilding and Conversion, Navy" appropriations in the current fiscal year or any prior fiscal year.*

SEC. 8041. *None of the funds appropriated by this Act for programs of the Office of the Director of National Intelligence shall remain available for obligation beyond the current fiscal year, except for funds appropriated for research and technology, which shall remain available until September 30, 2015.*

SEC. 8042. *For purposes of section 1553(b) of title 31, United States Code, any subdivision of appropriations made in this Act under the heading "Shipbuilding and Conversion, Navy" shall be considered to be for the same purpose as any subdivision under the heading "Shipbuilding and Conversion, Navy" appropriations in any prior fiscal year, and the 1 percent limitation shall apply to the total amount of the appropriation.*

(INCLUDING TRANSFER OF FUNDS)

SEC. 8043. *During the current fiscal year, not to exceed \$200,000,000 from funds available under "Operation and Maintenance" may be transferred to the Department of State "Global Security Contingency Fund": Provided, That this transfer authority is in addition to any other transfer authority available to the Department of Defense: Provided further, That the Secretary of Defense shall, not fewer than 30 days prior to making transfers to the Department of State "Global Security Contingency Fund", notify the congressional defense committees in writing with the source of funds and a detailed justification, execution plan, and timeline for each proposed project.*

## (INCLUDING TRANSFER OF FUNDS)

SEC. 8044. During the current fiscal year, not to exceed \$11,000,000 from each of the appropriations made in title II of this Act for "Operation and Maintenance, Army", "Operation and Maintenance, Navy", "Operation and Maintenance, Marine Corps", and "Operation and Maintenance, Air Force" may be transferred by the military department concerned to its central fund established for Fisher Houses and Suites pursuant to section 2493(d) of title 10, United States Code.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 8045. Of the funds appropriated in the Intelligence Community Management Account for the Program Manager for the Information Sharing Environment, \$20,000,000 is available for transfer by the Director of National Intelligence to other departments and agencies for purposes of Government-wide information sharing activities: Provided, That funds transferred under this provision are to be merged with and available for the same purposes and time period as the appropriation to which transferred: Provided further, That the Office of Management and Budget must approve any transfers made under this provision.

SEC. 8046. Funds appropriated by this Act for operation and maintenance may be available for the purpose of making remittances to the Defense Acquisition Workforce Development Fund in accordance with the requirements of section 1705 of title 10, United States Code: Provided, That funds may also be transferred to the Fund in accordance with the provisions of section 1705(d)(3) of title 10, United States Code.

SEC. 8047. (a) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract for an amount in excess of \$1,000,000, unless the contractor agrees not to—

(1) enter into any agreement with any of its employees or independent contractors that requires, as a condition of employment, that the employee or independent contractor agree to resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention; or

(2) take any action to enforce any provision of an existing agreement with an employee or independent contractor that mandates that the employee or independent contractor resolve through arbitration any claim under title VII of the Civil Rights Act of 1964 or any tort related to or arising out of sexual assault or harassment, including assault and battery, intentional infliction of emotional distress, false imprisonment, or negligent hiring, supervision, or retention.

(b) None of the funds appropriated or otherwise made available by this Act may be expended for any Federal contract unless the contractor certifies that it requires each covered subcontractor to agree not to enter into, and not to take any action to enforce any provision of, any agreement as described in paragraphs (1) and (2) of subsection (a), with respect to any employee or independent contractor performing work related to such subcontract. For purposes of this subsection, a "covered subcontractor" is an entity that has a subcontract in excess of \$1,000,000 on a contract subject to subsection (a).

(c) The prohibitions in this section do not apply with respect to a contractor's or subcontractor's agreements with employees or independent contractors that may not be enforced in a court of the United States.

(d) The Secretary of Defense may waive the application of subsection (a) or (b) to a particular contractor or subcontractor for the purposes of a particular contract or subcontract if the Secretary or the Deputy Secretary personally determines that the waiver is necessary to avoid harm to national security interests of the United States, and that the term of the contract or subcontract is not longer than necessary to avoid such harm. The determination shall set forth with specificity the grounds for the waiver and for the contract or subcontract term selected, and shall state any alternatives considered in lieu of a waiver and the reasons each such alternative would not avoid harm to national security interests of the United States. The Secretary of Defense shall transmit to Congress, and simultaneously make public, any determination under this subsection not less than 15 business days before the contract or subcontract addressed in the determination may be awarded.

SEC. 8048. None of the funds made available under this Act may be distributed to the Association of Community Organizations for Reform Now (ACORN) or its subsidiaries.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 8049. From within the funds appropriated for operation and maintenance for the Defense Health Program in this Act, up to \$143,087,000, shall be available for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund in accordance with the provisions of section 1704 of the National Defense Authorization Act for Fiscal Year 2010, Public Law 111-84: Provided, That for purposes of section 1704(b), the facility operations funded are operations of the integrated Captain James A. Lovell Federal Health Care Center, consisting of the North Chicago Veterans Affairs Medical Center, the Navy Ambulatory Care Center, and supporting facilities designated as a combined Federal medical facility as described by section 706 of Public Law 110-417: Provided further, That additional funds may be transferred from funds appropriated for operation and maintenance for the Defense Health Program to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Defense to the Committees on Appropriations of the House of Representatives and the Senate.

SEC. 8050. Appropriations available to the Department of Defense may be used for the purchase of heavy and light armored vehicles for the physical security of personnel or for force protection purposes up to a limit of \$250,000 per vehicle, notwithstanding price or other limitations applicable to the purchase of passenger carrying vehicles.

SEC. 8051. Of the amounts appropriated for "Operation and Maintenance, Defense-Wide", the following amount shall be available to the Secretary of Defense, for the following authorized purpose, notwithstanding any other provision of law, acting through the Office of Economic Adjustment of the Department of Defense, to make grants, conclude cooperative agreements, and supplement other Federal funds, to remain available until expended, to support critical existing and enduring military installations and missions on Guam, as well as any potential Department of Defense growth, \$273,300,000 for addressing the need for civilian water and wastewater improvements: Provided, That the Secretary of Defense shall, not fewer than 15 days prior to obligating funds for either of the foregoing purposes, notify the congressional defense committees in writing of the details of any such obligation.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 8052. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that any unpaid Federal tax liability that has been assessed, for which all judicial and administrative remedies have been exhausted or have lapsed, and that is not being paid in a timely manner pursuant to an agreement with the authority responsible for collecting the tax liability, where the awarding agency is aware of the unpaid tax liability, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 8053. None of the funds made available by this Act may be used to enter into a contract, memorandum of understanding, or cooperative agreement with, make a grant to, or provide a loan or loan guarantee to, any corporation that was convicted of a felony criminal violation under any Federal law within the preceding 24 months, where the awarding agency is aware of the conviction, unless the agency has considered suspension or debarment of the corporation and made a determination that this further action is not necessary to protect the interests of the Government.

SEC. 8054. During the current fiscal year, funds appropriated by this or any prior Act may be used under authority of this section to carry out or continue programs, projects and activities authorized by law on September 30, 2013: Provided, That the additional authority provided by this section expires on the date of enactment of a National Defense Authorization Act for fiscal year 2014.

SEC. 8055. Of the amounts appropriated for Military Personnel under title I of this Act, not to exceed two percent of each appropriation shall remain available for obligation until September 30, 2015.

SEC. 8056. Of the amounts appropriated in this Act under the heading "Shipbuilding and Conversion, Navy", \$625,800,000 shall be available until September 30, 2014, to fund prior year shipbuilding cost increases: Provided, That upon enactment of this Act, the Secretary of the Navy shall transfer funds to the following appropriations in the amounts specified: Provided further, That the amounts transferred shall be merged with and

*be available for the same purposes as the appropriations to which transferred to:*

*(1) Under the heading "Shipbuilding and Conversion, Navy" in Public*

*Law 109–289: LHA Replacement Program \$37,700,000.*

*(2) Under the heading "Shipbuilding and Conversion, Navy" in Public Law 110–116: Carrier Replacement Program, \$588,100,000.*



# DEPARTMENT OF EDUCATION

## OFFICE OF ELEMENTARY AND SECONDARY EDUCATION

### Federal Funds

#### ACCELERATING ACHIEVEMENT AND ENSURING EQUITY

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 91–0900–0–1–501                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Grants to local educational agencies .....                   | 14,490      | 14,548  | 10,841    |
| 0002 School improvement grants .....                              | 534         | 1,069   | .....     |
| 0003 Striving readers .....                                       | 160         | 161     | .....     |
| 0004 State agency programs .....                                  | 444         | 454     | .....     |
| 0005 Evaluation .....   | 3           | 3       | .....     |
| 0006 Special programs for migrant students .....                  | 37          | 37      | .....     |
| 0007 High school graduation initiative .....                      | 49          | 49      | .....     |
| 0900 Total new obligations .....                                  | 15,717      | 16,321  | 10,841    |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 543         | 549     | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 544         | 549     | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 4,910       | 4,931   | .....     |
| 1130 Appropriations permanently reduced .....                     | –9          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                   | 4,901       | 4,931   | .....     |
| Advance appropriations, discretionary:                            |             |         |           |
| 1170 Advance appropriation .....                                  | 10,841      | 10,841  | 10,841    |
| 1173 Advance appropriations permanently reduced .....             | –20         | .....   | .....     |
| 1180 Advanced appropriation, discretionary (total) .....          | 10,821      | 10,841  | 10,841    |
| 1900 Budget authority (total) .....                               | 15,722      | 15,772  | 10,841    |
| 1930 Total budgetary resources available .....                    | 16,266      | 16,321  | 10,841    |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 549         | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 12,788      | 11,373  | 10,271    |
| 3010 Obligations incurred, unexpired accounts .....               | 15,717      | 16,321  | 10,841    |
| 3011 Obligations incurred, expired accounts .....                 | 1           | .....   | .....     |
| 3020 Outlays (gross) .....  | –17,112     | –17,423 | –16,488   |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –20         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 11,373      | 10,271  | 4,624     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 12,788      | 11,373  | 10,271    |
| 3200 Obligated balance, end of year .....                         | 11,373      | 10,271  | 4,624     |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 15,722      | 15,772  | 10,841    |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 7,545       | 8,826   | 8,456     |
| 4011 Outlays from discretionary balances .....                    | 9,567       | 8,597   | 8,032     |
| 4020 Outlays, gross (total) .....                                 | 17,112      | 17,423  | 16,488    |
| 4180 Budget authority, net (total) .....                          | 15,722      | 15,772  | 10,841    |
| 4190 Outlays, net (total) .....                                   | 17,112      | 17,423  | 16,488    |

#### Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 15,722      | 15,772  | 10,841    |
| Outlays .....                               | 17,112      | 17,423  | 16,488    |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      | .....       | .....   | 4,002     |

|                        |        |        |        |
|------------------------|--------|--------|--------|
| Outlays .....          | .....  | .....  | 80     |
| Total:                 |        |        |        |
| Budget Authority ..... | 15,722 | 15,772 | 14,843 |
| Outlays .....          | 17,112 | 17,423 | 16,568 |

#### SUMMARY OF PROGRAM LEVEL

(in millions of dollars)

|  | 2012–2013<br>Academic<br>Year | 2013–2014<br>Academic<br>Year | 2014–2015<br>Academic<br>Year |
|--|-------------------------------|-------------------------------|-------------------------------|
| New Budget Authority .....                                   | \$4,900                       | \$4,931                       | \$4,002                       |
| Advance appropriation .....                                  | 10,841                        | 10,841                        | 11,682                        |
| Total program level .....                                    | 15,741                        | 15,772                        | 15,684                        |
| Change in advance appropriation from the previous year ..... | 0                             | 0                             | +841 <sup>1</sup>             |

<sup>1</sup>To account for the Administration's ESEA reauthorization proposal, the 2014 Budget eliminates the \$1.7 billion advance appropriation that was previously in the Education Improvement Programs account and replaces it with corresponding increases to advance appropriations in the Accelerating Achievement and Ensuring Equity account (\$841 million) and the Special Education account (\$841 million). Total advance appropriations in the Department of Education remain \$22.6 billion.

The Administration is proposing legislation reauthorizing programs included in the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

#### Object Classification (in millions of dollars)

| Identification code 91–0900–0–1–501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.1 Advisory and assistance services .....        | 5           | 6       | .....     |
| 25.2 Other services from non-Federal sources ..... | 23          | 29      | .....     |
| 41.0 Grants, subsidies, and contributions .....    | 15,689      | 16,286  | 10,841    |
| 99.9 Total new obligations .....                   | 15,717      | 16,321  | 10,841    |

#### ACCELERATING ACHIEVEMENT AND ENSURING EQUITY

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 91–0900–2–1–501                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Grants to local educational agencies .....     | .....       | .....   | 2,835     |
| 0002 School turnaround grants .....                 | .....       | .....   | 659       |
| 0003 State agency programs .....                    | .....       | .....   | 443       |
| 0004 Homeless children and youth education .....    | .....       | .....   | 65        |
| 0900 Total new obligations .....                    | .....       | .....   | 4,002     |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            | .....       | .....   | 4,002     |
| 1160 Appropriation, discretionary (total) .....     | .....       | .....   | 4,002     |
| 1900 Budget authority (total) .....                 | .....       | .....   | 4,002     |
| 1930 Total budgetary resources available .....      | .....       | .....   | 4,002     |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | .....       | .....   | 4,002     |
| 3020 Outlays (gross) .....                          | .....       | .....   | –80       |
| 3050 Unpaid obligations, end of year .....          | .....       | .....   | 3,922     |
| Memorandum (non-add) entries:                       |             |         |           |
| 3200 Obligated balance, end of year .....           | .....       | .....   | 3,922     |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | .....       | .....   | 4,002     |

ACCELERATING ACHIEVEMENT AND ENSURING EQUITY—Continued  
Program and Financing—Continued

| Identification code 91–0900–2–1–501                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | 80        |
| 4180 Budget authority, net (total) .....            |             |         | 4,002     |
| 4190 Outlays, net (total) .....                     |             |         | 80        |

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

*College- and career-ready students (formerly Grants to local educational agencies).*—Funds would be allocated via formula for programs that provide academic support to help students in high-poverty schools meet college- and career-ready standards. States would assess annually all students in certain grades in at least English language arts and mathematics, and use the results of these assessments to measure local educational agency (LEA) and school progress in ensuring that all students are meeting, or are on track to meet, college- and career-ready standards; to inform families about whether their children are meeting or are on track to meet such standards; and to develop appropriate improvement and support strategies for schools and LEAs. States would establish systems for differentiating among schools and LEAs on the basis of performance, including recognition and rewards for highly effective schools and LEAs, the implementation of rigorous school intervention models in the lowest-achieving schools, and State-approved, research-based interventions in low-performing schools that are not serving their students well.

*School turnaround grants.*—Funds would primarily support formula grants to States to help LEAs turn around their lowest-achieving schools by implementing rigorous school intervention models. In general, such schools would rank in the bottom five percent of performance in their States based on proficiency rates and lack of progress or, in the case of high schools, have a graduation rate below 60 percent. Once States have served their lowest-achieving schools, funds could be used for other school improvement and support efforts in eligible schools. Funds also would support competitive grants to LEAs to build local capacity to support school turnaround efforts and sustain successful turnarounds following the end of the grant period.

*State agency migrant program.*—Funds would support formula grants to States for educational services to children of migratory farmworkers and fishers, with resources and services focused on children who have moved within the past 36 months.

*State agency neglected and delinquent children and youth education program.*—Funds would support formula grants to States for educational services to neglected or delinquent children and youth in State-run institutions, attending community day programs, and in other correctional facilities.

*Homeless children and youth education.*—Funds would support formula grants to States to provide educational and support services that enable homeless children and youth to attend and achieve success in school.

## Object Classification (in millions of dollars)

| Identification code 91–0900–2–1–501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        |             |         | 5         |
| 25.2 Other services from non-Federal sources ..... |             |         | 23        |
| 41.0 Grants, subsidies, and contributions .....    |             |         | 3,974     |

|      |                             |       |
|------|-----------------------------|-------|
| 99.9 | Total new obligations ..... | 4,002 |
|------|-----------------------------|-------|

## SCHOOL READINESS

*For carrying out activities authorized by part D of title V of the Elementary and Secondary Education Act of 1965, \$750,000,000 for a preschool development grants program: Provided, That the Secretary shall make competitive grants to States to carry out activities that support high-quality preschool programs for children from families at or below 200 percent of the Federal poverty line: Provided further: That the Secretary may permit or require States to subgrant a portion of grant funds to local educational agencies, or local educational agencies in partnership with other early learning providers, for the implementation of high-quality preschool programs for children from families at or below 200 percent of the Federal poverty line: Provided further, That up to 5 percent of such funds for competitive grants shall be available for national activities.*

## Program and Financing (in millions of dollars)

| Identification code 91–0015–0–1–501                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Preschool development grants .....              |             |         | 750       |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 750       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 750       |
| 1160 Appropriation, discretionary (total) .....      |             |         | 750       |
| 1930 Total budgetary resources available .....       |             |         | 750       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 750       |
| 3020 Outlays (gross) .....                           |             |         | –38       |
| 3050 Unpaid obligations, end of year .....           |             |         | 712       |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 712       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 750       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | 38        |
| 4180 Budget authority, net (total) .....             |             |         | 750       |
| 4190 Outlays, net (total) .....                      |             |         | 38        |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  |             |         | 750       |
| Outlays .....                           |             |         | 38        |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 1,300     |
| Outlays .....                           |             |         | 130       |
| Total:                                  |             |         |           |
| Budget Authority .....                  |             |         | 2,050     |
| Outlays .....                           |             |         | 168       |

*Preschool development grants.*—Funds would support grants to States to carry out the activities needed to ensure that every State willing to commit to expanding preschool access has the high-quality programs required to successfully serve four-year-old children. The Department would provide competitive grants to States with preschool systems at various stages of development that are planning to provide universal access to high-quality

preschool for four-year-old children from low and moderate income families.

**SCHOOL READINESS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 91–0015–4–1–501                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Preschool for all .....                         |             |         | 1,300     |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 1,300     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 1,300     |
| 1260 Appropriations, mandatory (total) .....         |             |         | 1,300     |
| 1930 Total budgetary resources available .....       |             |         | 1,300     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 1,300     |
| 3020 Outlays (gross) .....                           |             |         | –130      |
| 3050 Unpaid obligations, end of year .....           |             |         | 1,170     |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 1,170     |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 1,300     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 130       |
| 4180 Budget authority, net (total) .....             |             |         | 1,300     |
| 4190 Outlays, net (total) .....                      |             |         | 130       |

*Preschool for all.*—Funds would support grants to States for the implementation of high-quality preschool programs that are aligned with elementary and secondary education systems. The Department would share costs with States to provide universal access to high-quality preschool for children from low and moderate income families and provide incentives for States to serve additional children from middle-class families.

**IMPACT AID**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91–0102–0–1–501                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Basic support payments .....                     | 1,154       | 1,161   |           |
| 0002 Payments for children with disabilities .....    | 48          | 49      |           |
| 0091 Direct program activities, subtotal .....        | 1,202       | 1,210   |           |
| 0101 Facilities maintenance .....                     | 6           | 5       | 4         |
| 0201 Construction .....                               |             | 17      | 17        |
| 0301 Payments for Federal property .....              | 67          | 67      |           |
| 0900 Total new obligations (object class 41.0) .....  | 1,275       | 1,299   | 21        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 4           | 21      | 21        |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 1,294       | 1,299   |           |
| 1130 Appropriations permanently reduced .....         | –2          |         |           |
| 1160 Appropriation, discretionary (total) .....       | 1,292       | 1,299   |           |
| 1930 Total budgetary resources available .....        | 1,296       | 1,320   | 21        |

|   |    |    |  |
|---|----|----|--|
| Memorandum (non-add) entries:                         |    |    |  |
| 1941 Unexpired unobligated balance, end of year ..... | 21 | 21 |  |

**Change in obligated balance:**

|   |        |        |      |
|---|--------|--------|------|
| Unpaid obligations:   |        |        |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 484    | 454    | 323  |
| 3010 Obligations incurred, unexpired accounts .....             | 1,275  | 1,299  | 21   |
| 3011 Obligations incurred, expired accounts .....               | 440    |        |      |
| 3020 Outlays (gross) .....                                      | –1,305 | –1,430 | –221 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –440   |        |      |
| 3050 Unpaid obligations, end of year .....                      | 454    | 323    | 123  |
| Memorandum (non-add) entries:                                   |        |        |      |
| 3100 Obligated balance, start of year .....                     | 484    | 454    | 323  |
| 3200 Obligated balance, end of year .....                       | 454    | 323    | 123  |

**Budget authority and outlays, net:**

|   |       |       |     |
|---|-------|-------|-----|
| Discretionary:                                      |       |       |     |
| 4000 Budget authority, gross .....                  | 1,292 | 1,299 |     |
| Outlays, gross:                                     |       |       |     |
| 4010 Outlays from new discretionary authority ..... | 1,048 | 1,151 |     |
| 4011 Outlays from discretionary balances .....      | 257   | 279   | 221 |
| 4020 Outlays, gross (total) .....                   | 1,305 | 1,430 | 221 |
| 4180 Budget authority, net (total) .....            | 1,292 | 1,299 |     |
| 4190 Outlays, net (total) .....                     | 1,305 | 1,430 | 221 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 1,292       | 1,299   |           |
| Outlays .....                               | 1,305       | 1,430   | 221       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | 1,224     |
| Outlays .....                               |             |         | 1,084     |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 1,292       | 1,299   | 1,224     |
| Outlays .....                               | 1,305       | 1,430   | 1,305     |

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**IMPACT AID**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 91–0102–2–1–501                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Basic support payments .....                    |             |         | 1,154     |
| 0002 Payments for children with disabilities .....   |             |         | 48        |
| 0091 Direct program activities, subtotal .....       |             |         | 1,202     |
| 0101 Facilities maintenance .....                    |             |         | 5         |
| 0201 Construction .....                              |             |         | 17        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 1,224     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 1,224     |
| 1160 Appropriation, discretionary (total) .....      |             |         | 1,224     |
| 1930 Total budgetary resources available .....       |             |         | 1,224     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 1,224     |
| 3020 Outlays (gross) .....                           |             |         | –1,084    |
| 3050 Unpaid obligations, end of year .....           |             |         | 140       |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 140       |

**IMPACT AID—Continued**  
**Program and Financing—Continued**

| Identification code 91–0102–2–1–501                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  |             |         | 1,224     |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | 1,084     |
| 4180 Budget authority, net (total) .....            |             |         | 1,224     |
| 4190 Outlays, net (total) .....                     |             |         | 1,084     |

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

Impact Aid helps to replace the lost local revenue that would otherwise be available to educate federally connected children. The presence of certain students living on Federal property, such as students who are military dependents or who reside on Indian lands, can place a financial burden on local educational agencies (LEAs) that educate them. The property on which the children live and their parents work is exempt from local property taxes, denying local educational agencies access to the primary source of revenue used by most communities to finance education.

**Basic support payments.**—Payments will be made on behalf of approximately 950,000 federally connected students enrolled in about 1,200 LEAs to assist them in meeting their operation and maintenance costs. Average per-student payments will be approximately \$1,200.

**Payments for children with disabilities.**—Payments in addition to those provided under the Individuals with Disabilities Education Act (IDEA) will be provided on behalf of approximately 55,000 federally connected students with disabilities in about 900 LEAs. Average per-student payments will be approximately \$900.

**Facilities maintenance.**—Funds will be used to provide emergency repairs for school facilities that serve military dependents and are owned by the Department of Education. Funds will also be used to transfer the facilities to LEAs.

**Construction.**—Approximately 12 construction grants will be awarded competitively to the highest-need Impact Aid LEAs for emergency repairs and modernization of school facilities.

**EDUCATION IMPROVEMENT PROGRAMS**

*For carrying out school improvement activities authorized by section 203 of the Educational Technical Assistance Act of 2002; the Compact of Free Association Amendments Act of 2003; and the Civil Rights Act of 1964, \$75,694,000, of which \$51,113,000 shall be available to carry out section 203 of the Educational Technical Assistance Act of 2002: Provided, That \$17,619,000 shall be available to carry out the Supplemental Education Grants program for the Federated States of Micronesia and the Republic of the Marshall Islands: Provided further, That the Secretary of Education may reserve up to 5 percent of the amount referred to in the previous proviso to provide technical assistance in the implementation of the Supplemental Education Grants program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91–1000–0–1–501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>            |             |         |           |
| 0001 Improving teacher quality State grants .....  | 2,450       | 2,473   | 1,730     |
| 0002 Mathematics and science partnerships .....    | 148         | 152     |           |
| 0003 21st century community learning centers ..... | 1,150       | 1,173   |           |
| 0004 State assessments .....                       | 389         | 403     |           |

|  |       |       |       |
|--|-------|-------|-------|
| 0005 Education for homeless children and youth ..... | 65    | 66    |       |
| 0006 Education for Native Hawaiians .....            | 34    | 34    |       |
| 0007 Alaska Native education equity .....            | 33    | 33    |       |
| 0008 Training and advisory services .....            | 7     | 7     | 7     |
| 0009 Rural education .....                           | 179   | 180   |       |
| 0010 Supplemental education grants .....             | 18    | 18    | 18    |
| 0011 Comprehensive centers .....                     | 51    | 51    | 51    |
| 0012 Project SERV .....                              | 1     | 1     |       |
| 0900 Total new obligations .....                     | 4,525 | 4,591 | 1,806 |

|  |       |       |       |
|--|-------|-------|-------|
| <b>Budgetary Resources:</b>                              |       |       |       |
| Unobligated balance:                                     |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....    | 62    | 79    | 50    |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                           |       |       |       |
| 1100 Appropriation .....                                 | 2,869 | 2,881 | 76    |
| 1130 Appropriations permanently reduced .....            | –5    |       |       |
| 1160 Appropriation, discretionary (total) .....          | 2,864 | 2,881 | 76    |
| Advance appropriations, discretionary:                   |       |       |       |
| 1170 Advance appropriation .....                         | 1,681 | 1,681 | 1,681 |
| 1173 Advance appropriations permanently reduced .....    | –3    |       |       |
| 1180 Advanced appropriation, discretionary (total) ..... | 1,678 | 1,681 | 1,681 |
| 1900 Budget authority (total) .....                      | 4,542 | 4,562 | 1,757 |
| 1930 Total budgetary resources available .....           | 4,604 | 4,641 | 1,807 |
| Memorandum (non-add) entries:                            |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....    | 79    | 50    | 1     |

|   |        |        |        |
|---|--------|--------|--------|
| <b>Change in obligated balance:</b>                             |        |        |        |
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 5,568  | 5,103  | 5,045  |
| 3010 Obligations incurred, unexpired accounts .....             | 4,525  | 4,591  | 1,806  |
| 3020 Outlays (gross) .....                                      | –4,950 | –4,649 | –4,496 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –40    |        |        |
| 3050 Unpaid obligations, end of year .....                      | 5,103  | 5,045  | 2,355  |
| Memorandum (non-add) entries:                                   |        |        |        |
| 3100 Obligated balance, start of year .....                     | 5,568  | 5,103  | 5,045  |
| 3200 Obligated balance, end of year .....                       | 5,103  | 5,045  | 2,355  |

|   |       |       |       |
|---|-------|-------|-------|
| <b>Budget authority and outlays, net:</b>           |       |       |       |
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | 4,542 | 4,562 | 1,757 |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 880   | 1,093 | 1,011 |
| 4011 Outlays from discretionary balances .....      | 4,070 | 3,556 | 3,485 |
| 4020 Outlays, gross (total) .....                   | 4,950 | 4,649 | 4,496 |
| 4180 Budget authority, net (total) .....            | 4,542 | 4,562 | 1,757 |
| 4190 Outlays, net (total) .....                     | 4,950 | 4,649 | 4,496 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 4,542       | 4,562   | 1,757     |
| Outlays .....                               | 4,950       | 4,649   | 4,496     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | 1,000     |
| Outlays .....                               |             |         | 20        |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 4,542       | 4,562   | 2,757     |
| Outlays .....                               | 4,950       | 4,649   | 4,516     |

**SUMMARY OF PROGRAM LEVEL**

(in millions of dollars)

|  | 2012–2013<br>Academic<br>Year | 2013–2014<br>Academic<br>Year | 2014–2015<br>Academic<br>Year |
|--|-------------------------------|-------------------------------|-------------------------------|
| New Budget Authority .....                               | \$2,863                       | \$2,881                       | \$1,076                       |
| Advance Appropriation .....                              | 1,681                         | 1,681                         | 0                             |
| Total program level .....                                | 4,544                         | 4,562                         | 1,076                         |
| Change in advance appropriation over previous year ..... | +3                            | 0                             | –1681 <sup>1</sup>            |

<sup>1</sup>To account for the Administration's ESEA reauthorization proposal, the 2014 Budget eliminates the \$1.7 billion advance appropriation that was previously in the School Improvement Programs account (renamed the Education Improvement Programs account) and replaces it with corresponding increases to advance appropriations in the Education for the Disadvantaged account (\$841 million, renamed the Accelerating Achievement and Ensuring Equity account) and the Special Education account (\$841 million). Total advance appropriations in the Department of Education remain \$22.6 billion.

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**Training and advisory services.**—Funds support grants to regional equity assistance centers that provide technical assistance to local educational agencies (LEAs) in addressing educational equity related to issues of race, gender, and national origin.

**Supplemental education grants.**—Funds support grants to the Federated States of Micronesia and to the Republic of the Marshall Islands in place of grant programs in which those Freely Associated States no longer participate pursuant to the Compact of Free Association Amendments Act of 2003.

**Comprehensive centers.**—Funds support at least 22 comprehensive centers that focus on building State capacity to help school districts and schools meet the requirements of the ESEA.

#### Object Classification (in millions of dollars)

| Identification code 91–1000–0–1–501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        | 3           | 54      | .....     |
| 25.2 Other services from non-Federal sources ..... | 20          | 25      | 2         |
| 25.7 Operation and maintenance of equipment .....  | 2           | 148     | .....     |
| 41.0 Grants, subsidies, and contributions .....    | 4,500       | 4,364   | 1,804     |
| 99.9 Total new obligations .....                   | 4,525       | 4,591   | 1,806     |

#### EDUCATION IMPROVEMENT PROGRAMS

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 91–1000–2–1–501                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                                    |             |         |           |
| 0001 Effective teaching and learning for a complete education ..... |             |         | 263       |
| 0003 College pathways and accelerated learning .....                |             |         | 102       |
| 0004 Assessing achievement .....                                    |             |         | 389       |
| 0005 Rural education .....  |             |         | 179       |
| 0006 Native Hawaiian student education .....                        |             |         | 34        |
| 0007 Alaska Native student education .....                          |             |         | 33        |
| 0900 Total new obligations .....                                    |             |         | 1,000     |

#### Budgetary Resources:

|   |  |  |       |
|---|--|--|-------|
| Budget authority:                               |  |  |       |
| Appropriations, discretionary:                  |  |  |       |
| 1100 Appropriation .....                        |  |  | 1,000 |
| 1160 Appropriation, discretionary (total) ..... |  |  | 1,000 |
| 1930 Total budgetary resources available .....  |  |  | 1,000 |

#### Change in obligated balance:

|   |  |  |       |
|---|--|--|-------|
| Unpaid obligations:                                 |  |  |       |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 1,000 |
| 3020 Outlays (gross) .....                          |  |  | –20   |
| 3050 Unpaid obligations, end of year .....          |  |  | 980   |
| Memorandum (non-add) entries:                       |  |  |       |
| 3200 Obligated balance, end of year .....           |  |  | 980   |

#### Budget authority and outlays, net:

|   |  |  |       |
|---|--|--|-------|
| Discretionary:                                      |  |  |       |
| 4000 Budget authority, gross .....                  |  |  | 1,000 |
| Outlays, gross:                                     |  |  |       |
| 4010 Outlays from new discretionary authority ..... |  |  | 20    |
| 4180 Budget authority, net (total) .....            |  |  | 1,000 |
| 4190 Outlays, net (total) .....                     |  |  | 20    |

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

**Effective teaching and learning: literacy.**—Funds would support competitive grants to States to support the development and implementation of comprehensive State and local efforts to provide high-quality literacy programs, aligned with college- and career-ready English-language arts standards, for students from preschool through grade 12.

**Effective teaching and learning for a well-rounded education.**—Funds would support competitive grants to States and high-need LEAs to develop and expand innovative practices to improve teaching and learning in the arts, health education, foreign languages, civics and government, history, geography, environmental education, economics, financial literacy, and other subjects.

**College pathways and accelerated learning.**—Funds would support competitive grants to high-need LEAs for programs that prepare students to enter and succeed in college by providing college-level and other accelerated courses and instruction in middle and high schools as well as accelerated learning opportunities in elementary schools.

**Assessing achievement.**—Funds would support formula and competitive grants to States to develop and implement assessments that are aligned with college- and career-ready academic standards.

**Rural education.**—Funds would support formula grants under two programs: the Small, Rural School Achievement program and the Rural and Low-Income School program. The Small, Rural School Achievement program provides rural LEAs with small enrollments with additional formula funds. Funds under the Rural and Low-Income School program, which targets rural LEAs that serve concentrations of poor students, are allocated by formula to States, which in turn allocate funds to eligible LEAs.

**Native Hawaiian student education.**—Funds would support competitive grants to public and private entities to develop or operate innovative projects that enhance the educational services provided to Native Hawaiian children and adults.

**Alaska Native student education.**—Funds would support competitive grants to LEAs and other public and private organizations to develop or operate innovative projects that enhance the educational services provided to Alaska Native children and adults.

#### Object Classification (in millions of dollars)

| Identification code 91–1000–2–1–501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        |             |         | 22        |
| 25.2 Other services from non-Federal sources ..... |             |         | 2         |
| 41.0 Grants, subsidies, and contributions .....    |             |         | 976       |
| 99.9 Total new obligations .....                   |             |         | 1,000     |

#### SUPPORTING STUDENT SUCCESS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 91–0203–0–1–501                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:  |             |         |           |
| 0001 Safe and drug-free schools and communities national activities ..... | 66          | 71      | .....     |
| 0002 Elementary and secondary school counseling .....                     | 52          | 53      | .....     |

SUPPORTING STUDENT SUCCESS—Continued  
Program and Financing—Continued

| Identification code 91–0203–0–1–501                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 0003   | Physical education program .....                              | 79          | 79      | .....     |
| 0004   | Promise neighborhoods .....                                   | 30          | 62      | 58        |
| 0500   | Direct program activities, subtotal .....                     | 227         | 265     | 58        |
| 0799   | Total direct obligations .....                                | 227         | 265     | 58        |
| 0803   | Reimbursable program activity .....                           | 6           | 2       | .....     |
| 0900   | Total new obligations .....                                   | 233         | 267     | 58        |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 37          | 65      | 58        |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 256         | 258     | .....     |
| 1160   | Appropriation, discretionary (total) .....                    | 256         | 258     | .....     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 7           | 2       | .....     |
| 1701   | Change in uncollected payments, Federal sources .....         | –2          | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 5           | 2       | .....     |
| 1900   | Budget authority (total) .....                                | 261         | 260     | .....     |
| 1930   | Total budgetary resources available .....                     | 298         | 325     | 58        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 65          | 58      | .....     |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 641         | 483     | 354       |
| 3010   | Obligations incurred, unexpired accounts .....                | 233         | 267     | 58        |
| 3020   | Outlays (gross) .....   | –370        | –396    | –279      |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | –21         | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                         | 483         | 354     | 133       |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –2          | .....   | .....     |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | 2           | .....   | .....     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 639         | 483     | 354       |
| 3200   | Obligated balance, end of year .....                          | 483         | 354     | 133       |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 261         | 260     | .....     |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 1           | 5       | .....     |
| 4011   | Outlays from discretionary balances .....                     | 369         | 391     | 279       |
| 4020   | Outlays, gross (total) .....                                  | 370         | 396     | 279       |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –7          | –2      | .....     |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | 2           | .....   | .....     |
| 4070   | Budget authority, net (discretionary) .....                   | 256         | 258     | .....     |
| 4080   | Outlays, net (discretionary) .....                            | 363         | 394     | 279       |
| 4180   | Budget authority, net (total) .....                           | 256         | 258     | .....     |
| 4190   | Outlays, net (total) .....                                    | 363         | 394     | 279       |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Enacted/requested:                          |  |             |         |           |
| Budget Authority .....                      |  | 256         | 258     | .....     |
| Outlays .....                               |  | 363         | 394     | 279       |
| Legislative proposal, not subject to PAYGO: |  |             |         |           |
| Budget Authority .....                      |  | .....       | .....   | 1,832     |
| Outlays .....                               |  | .....       | .....   | 37        |
| Total:                                      |  |             |         |           |
| Budget Authority .....                      |  | 256         | 258     | 1,832     |
| Outlays .....                               |  | 363         | 394     | 316       |

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA ac-

counts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

## Object Classification (in millions of dollars)

| Identification code 91–0203–0–1–501 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.1                                | Advisory and assistance services .....              | 2           | 7       | .....     |
| 25.2                                | Other services from non-Federal sources .....       | 3           | 11      | .....     |
| 25.3                                | Other goods and services from Federal sources ..... | .....       | 1       | .....     |
| 41.0                                | Grants, subsidies, and contributions .....          | 223         | 246     | 58        |
| 99.0                                | Direct obligations .....                            | 228         | 265     | 58        |
| 99.0                                | Reimbursable obligations .....                      | 5           | 2       | .....     |
| 99.9                                | Total new obligations .....                         | 233         | 267     | 58        |

SUPPORTING STUDENT SUCCESS  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 91–0203–2–1–501       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Promise neighborhoods .....                    | .....       | .....   | 300       |
| 0002                                      | Successful, safe, and healthy students .....   | .....       | .....   | 280       |
| 0003                                      | 21st Century community learning centers .....  | .....       | .....   | 1,252     |
| 0500                                      | Direct program activities, subtotal .....      | .....       | .....   | 1,832     |
| 0900                                      | Total new obligations .....                    | .....       | .....   | 1,832     |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                            | .....       | .....   | 1,832     |
| 1160                                      | Appropriation, discretionary (total) .....     | .....       | .....   | 1,832     |
| 1930                                      | Total budgetary resources available .....      | .....       | .....   | 1,832     |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts ..... | .....       | .....   | 1,832     |
| 3020                                      | Outlays (gross) .....                          | .....       | .....   | –37       |
| 3050                                      | Unpaid obligations, end of year .....          | .....       | .....   | 1,795     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3200                                      | Obligated balance, end of year .....           | .....       | .....   | 1,795     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                  | .....       | .....   | 1,832     |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority ..... | .....       | .....   | 37        |
| 4180                                      | Budget authority, net (total) .....            | .....       | .....   | 1,832     |
| 4190                                      | Outlays, net (total) .....                     | .....       | .....   | 37        |

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

*Promise neighborhoods.*—Funds would support competitive grants and other activities for projects designed to improve significantly the educational and developmental outcomes of children within the Nation's most distressed communities, with the goal of transforming those communities so that all children in the community have access to a cradle-through-college-to-career continuum of academic programs and community supports, including effective schools and services. Promise Neighborhoods is also a central component of the Administration's new Promise Zones—high-poverty communities where the Federal government will engage more directly with local leaders to break down barriers and help them access the resources and expertise they need to create jobs, leverage private investment, increase economic activity, reduce violence, and expand educational opportunities.

*Successful, safe, and healthy students.*—Funds would support competitive grants and other activities to assist States, local educational agencies (LEAs), and partnerships between LEAs and non-profit organizations or local government entities in improving school climate; reducing or preventing drug use, violence, bullying, or harassment; and promoting and supporting the physical and mental well-being of students so that schools are safe, healthy, and drug-free environments. Funds would also support a variety of school safety initiatives that are proposed in the President's plan to protect our children and our communities by reducing gun violence, including efforts to improve school emergency plans, create positive school climates, and counter the effects of pervasive violence on students.

*21st century community learning centers.*—Funds would support competitive grants to States, LEAs, non-profit organizations, or local governmental entities for projects that provide the additional time, support, and enrichment activities needed to improve student achievement, including projects that support expanding learning time by significantly increasing the number of hours in a regular school schedule and by comprehensively redesigning the school schedule for all students in a school. Projects could also provide teachers the time they need to collaborate, plan, and engage in professional development within and across grades and subjects. The additional funds requested in fiscal year 2014 reflect the Administration's commitment to increasing this program's support for State and local efforts to implement high-quality expanded learning time.

#### Object Classification (in millions of dollars)

| Identification code 91-0203-2-1-501                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              |             |         | 14        |
| 25.2 Other services from non-Federal sources .....       |             |         | 22        |
| 25.3 Other goods and services from Federal sources ..... |             |         | 2         |
| 41.0 Grants, subsidies, and contributions .....          |             |         | 1,794     |
| 99.9 Total new obligations .....                         |             |         | 1,832     |

#### INDIAN STUDENT EDUCATION

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 91-0101-0-1-501                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Grants to local educational agencies .....                 | 106         | 107     |           |
| 0002 Special programs for Indian children .....                 | 19          | 19      |           |
| 0003 National activities .....                                  | 6           | 6       |           |
| 0900 Total new obligations .....                                | 131         | 132     |           |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| 1100 Appropriations, discretionary:                             |             |         |           |
| Appropriation .....   | 131         | 132     |           |
| 1160 Appropriation, discretionary (total) .....                 | 131         | 132     |           |
| 1930 Total budgetary resources available .....                  | 131         | 132     |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 134         | 140     | 153       |
| 3010 Obligations incurred, unexpired accounts .....             | 131         | 132     |           |
| 3020 Outlays (gross) .....                                      | -123        | -119    | -124      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -2          |         |           |

|   |     |     |     |
|---|-----|-----|-----|
| 3050 Unpaid obligations, end of year .....  | 140 | 153 | 29  |
| Memorandum (non-add) entries:               |     |     |     |
| 3100 Obligated balance, start of year ..... | 134 | 140 | 153 |
| 3200 Obligated balance, end of year .....   | 140 | 153 | 29  |

#### Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 131 | 132 |     |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 2   | 7   |     |
| 4011 Outlays from discretionary balances .....      | 121 | 112 | 124 |
| 4020 Outlays, gross (total) .....                   | 123 | 119 | 124 |
| 4180 Budget authority, net (total) .....            | 131 | 132 |     |
| 4190 Outlays, net (total) .....                     | 123 | 119 | 124 |

#### Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 131         | 132     |           |
| Outlays .....                               | 123         | 119     | 124       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | 131       |
| Outlays .....                               |             |         | 7         |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 131         | 132     | 131       |
| Outlays .....                               | 123         | 119     | 131       |

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

#### Object Classification (in millions of dollars)

| Identification code 91-0101-0-1-501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 5           | 6       |           |
| 41.0 Grants, subsidies, and contributions .....    | 126         | 126     |           |
| 99.9 Total new obligations .....                   | 131         | 132     |           |

#### INDIAN STUDENT EDUCATION

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 91-0101-2-1-501                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Grants to local educational agencies .....     |             |         | 106       |
| 0002 Special programs for Indian children .....     |             |         | 19        |
| 0003 National activities .....                      |             |         | 6         |
| 0900 Total new obligations .....                    |             |         | 131       |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| 1100 Appropriations, discretionary:                 |             |         |           |
| Appropriation .....                                 |             |         | 131       |
| 1160 Appropriation, discretionary (total) .....     |             |         | 131       |
| 1930 Total budgetary resources available .....      |             |         | 131       |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 131       |
| 3020 Outlays (gross) .....                          |             |         | -7        |
| 3050 Unpaid obligations, end of year .....          |             |         | 124       |
| Memorandum (non-add) entries:                       |             |         |           |
| 3200 Obligated balance, end of year .....           |             |         | 124       |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  |             |         | 131       |

**INDIAN STUDENT EDUCATION—Continued**  
**Program and Financing—Continued**

| Identification code 91–0101–2–1–501                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | 7         |
| 4180 Budget authority, net (total) .....            |             |         | 131       |
| 4190 Outlays, net (total) .....                     |             |         | 7         |

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

The Indian Education programs support the efforts of local educational agencies (LEA) and tribal schools to improve teaching and learning for the Nation's American Indian and Alaska Native children.

*Grants to local educational agencies.*—Formula grants support LEAs in their efforts to reform elementary and secondary school programs that serve Indian students, with the goal of ensuring that such programs assist participating students in meeting the same academic standards as all other students.

*Special programs for Indian children.*—Funds support competitive awards for demonstration projects in early childhood education and college preparation, as well as professional development grants for training Native American teachers and administrators for employment in school districts with concentrations of Indian students.

*National activities.*—Funds support research, evaluation, data collection, and related activities.

**Object Classification (in millions of dollars)**

| Identification code 91–0101–2–1–501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... |             |         | 6         |
| 41.0 Grants, subsidies, and contributions .....    |             |         | 125       |
| 99.9 Total new obligations .....                   |             |         | 131       |

**AMERICAN JOBS ACT**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

| Identification code 91–0702–4–1–501                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Teacher stabilization .....                      |             | 12,500  |           |
| 0900 Total new obligations (object class 41.0) .....  |             | 12,500  |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             | 12,500  |           |
| 1260 Appropriations, mandatory (total) .....          |             | 12,500  |           |
| 1930 Total budgetary resources available .....        |             | 12,500  |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 11,875    |
| 3010 Obligations incurred, unexpired accounts .....   |             | 12,500  |           |
| 3020 Outlays (gross) .....                            |             | –625    | –11,875   |
| 3050 Unpaid obligations, end of year .....            |             | 11,875  |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 11,875    |
| 3200 Obligated balance, end of year .....             |             | 11,875  |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             | 12,500  |           |

|   |  |        |        |
|---|--|--------|--------|
| Outlays, gross:                                 |  |        |        |
| 4100 Outlays from new mandatory authority ..... |  | 625    |        |
| 4101 Outlays from mandatory balances .....      |  |        | 11,875 |
| 4110 Outlays, gross (total) .....               |  | 625    | 11,875 |
| 4180 Budget authority, net (total) .....        |  | 12,500 |        |
| 4190 Outlays, net (total) .....                 |  | 625    | 11,875 |

*Teacher stabilization.*—Funds would support formula grants to States to retain, rehire, and hire early childhood, elementary, and secondary educators, including teachers, guidance counselors, classroom assistants, after-school personnel, tutors, and literacy and math coaches.

**EDUCATION JOBS FUND**

**Program and Financing (in millions of dollars)**

| Identification code 91–0012–0–1–501                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3,713       | 229     |           |
| 3020 Outlays (gross) .....                            | –3,484      | –229    |           |
| 3050 Unpaid obligations, end of year .....            | 229         |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 3,713       | 229     |           |
| 3200 Obligated balance, end of year .....             | 229         |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            | 3,484       | 229     |           |
| 4190 Outlays, net (total) .....                       | 3,484       | 229     |           |

Amounts in the schedule reflect balances that are spending out from a prior-year appropriation.

**STATE FISCAL STABILIZATION FUND, RECOVERY ACT**

**Program and Financing (in millions of dollars)**

| Identification code 91–1909–0–1–999                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 5,456       | 3,865   | 2,000     |
| 3020 Outlays (gross) .....                            | –1,591      | –1,865  | –1,000    |
| 3050 Unpaid obligations, end of year .....            | 3,865       | 2,000   | 1,000     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 5,456       | 3,865   | 2,000     |
| 3200 Obligated balance, end of year .....             | 3,865       | 2,000   | 1,000     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 1,591       | 1,865   | 1,000     |
| 4190 Outlays, net (total) .....                       | 1,591       | 1,865   | 1,000     |

Amounts in this schedule reflect balances that are spending out from a prior-year appropriation.

**OFFICE OF INNOVATION AND IMPROVEMENT**

*Federal Funds*

**INNOVATION AND INSTRUCTIONAL TEAMS**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.



**Program and Financing** (in millions of dollars)

| Identification code 91–0204–0–1–501                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Race to the top .....   | 704         | 552     | 545       |
| 0002   | Investing in innovation .....                                 | 153         | 150     | 144       |
| 0003   | Teacher incentive fund .....                                  | 299         | 301     | .....     |
| 0004   | Transition to teaching .....                                  | 26          | 26      | .....     |
| 0005   | School leadership .....                                       | 29          | 29      | .....     |
| 0006   | Charter schools grants .....                                  | 244         | 248     | .....     |
| 0007   | Credit enhancement for charter school facilities .....        | 11          | 8       | .....     |
| 0008   | Magnet schools assistance .....                               | 97          | 100     | .....     |
| 0009   | Advanced placement .....                                      | 30          | 27      | .....     |
| 0010   | Ready-to-learn television .....                               | 27          | 27      | .....     |
| 0011   | FIE programs of national significance .....                   | 41          | 41      | .....     |
| 0012   | Arts in education .....                                       | 25          | 25      | .....     |
| 0100   | Total direct program .....                                    | 1,686       | 1,534   | 689       |
| 0799   | Total direct obligations .....                                | 1,686       | 1,534   | 689       |
| 0801   | Reimbursable program activity .....                           | 22          | 100     | 52        |
| 0900   | Total new obligations .....                                   | 1,708       | 1,634   | 741       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 847         | 726     | 689       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 1,530       | 1,537   | .....     |
| 1130   | Appropriations permanently reduced .....                      | –3          | .....   | .....     |
| 1160   | Appropriation, discretionary (total) .....                    | 1,527       | 1,537   | .....     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 60          | 60      | 52        |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 60          | 60      | 52        |
| 1900   | Budget authority (total) .....                                | 1,587       | 1,597   | 52        |
| 1930   | Total budgetary resources available .....                     | 2,434       | 2,323   | 741       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 726         | 689     | .....     |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 2,216       | 2,909   | 2,938     |
| 3010   | Obligations incurred, unexpired accounts .....                | 1,708       | 1,634   | 741       |
| 3020   | Outlays (gross) .....   | –956        | –1,605  | –2,196    |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | –59         | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                         | 2,909       | 2,938   | 1,483     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 2,216       | 2,909   | 2,938     |
| 3200   | Obligated balance, end of year .....                          | 2,909       | 2,938   | 1,483     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 1,587       | 1,597   | 52        |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 5           | 79      | 3         |
| 4011   | Outlays from discretionary balances .....                     | 951         | 1,526   | 2,193     |
| 4020   | Outlays, gross (total) .....                                  | 956         | 1,605   | 2,196     |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4033   | Non-Federal sources .....                                     | –60         | –60     | –52       |
| 4180   | Budget authority, net (total) .....                           | 1,527       | 1,537   | .....     |
| 4190   | Outlays, net (total) .....                                    | 896         | 1,545   | 2,144     |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Enacted/requested:                          |  |             |         |           |
| Budget Authority .....                      |  | 1,527       | 1,537   | .....     |
| Outlays .....                               |  | 896         | 1,545   | 2,144     |
| Legislative proposal, not subject to PAYGO: |  |             |         |           |
| Budget Authority .....                      |  | .....       | .....   | 5,335     |
| Outlays .....                               |  | .....       | .....   | 267       |
| Legislative proposal, subject to PAYGO:     |  |             |         |           |
| Budget Authority .....                      |  | .....       | 5,000   | .....     |
| Outlays .....                               |  | .....       | 100     | 2,650     |
| Total:                                      |  |             |         |           |
| Budget Authority .....                      |  | 1,527       | 6,537   | 5,335     |
| Outlays .....                               |  | 896         | 1,645   | 5,061     |

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including the programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

**Object Classification** (in millions of dollars)

| Identification code 91–0204–0–1–501 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.1                                | Advisory and assistance services .....        | 4           | 38      | .....     |
| 25.2                                | Other services from non-Federal sources ..... | 26          | 26      | .....     |
| 25.5                                | Research and development contracts .....      | 3           | 4       | .....     |
| 41.0                                | Grants, subsidies, and contributions .....    | 1,653       | 1,466   | 689       |
| 99.0                                | Direct obligations .....                      | 1,686       | 1,534   | 689       |
| 99.0                                | Reimbursable obligations .....                | 22          | 100     | 52        |
| 99.9                                | Total new obligations .....                   | 1,708       | 1,634   | 741       |

**INNOVATION AND INSTRUCTIONAL TEAMS**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 91–0204–2–1–501       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Race to the top .....   | .....       | .....   | 1,000     |
| 0002                                      | Investing in innovation .....   | .....       | .....   | 215       |
| 0003                                      | Science, technology, engineering, and mathematics (STEM) innovation ..... | .....       | .....   | 415       |
| 0004                                      | High school transformation .....  | .....       | .....   | 300       |
| 0005                                      | Effective teachers and leaders State grants .....                         | .....       | .....   | 2,466     |
| 0006                                      | School leadership .....   | .....       | .....   | 98        |
| 0007                                      | Teacher and leader innovation fund .....                                  | .....       | .....   | 400       |
| 0008                                      | Expanding educational options .....                                       | .....       | .....   | 295       |
| 0009                                      | Magnet schools assistance .....   | .....       | .....   | 100       |
| 0010                                      | FIE programs of national significance .....                               | .....       | .....   | 46        |
| 0100                                      | Total direct program .....  | .....       | .....   | 5,335     |
| 0900                                      | Total new obligations .....   | .....       | .....   | 5,335     |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, discretionary:            |   |             |         |           |
| 1100                                      | Appropriation .....   | .....       | .....   | 5,335     |
| 1160                                      | Appropriation, discretionary (total) .....                                | .....       | .....   | 5,335     |
| 1930                                      | Total budgetary resources available .....                                 | .....       | .....   | 5,335     |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....                            | .....       | .....   | 5,335     |
| 3020                                      | Outlays (gross) .....   | .....       | .....   | –267      |
| 3050                                      | Unpaid obligations, end of year .....                                     | .....       | .....   | 5,068     |
| Memorandum (non-add) entries:             |   |             |         |           |
| 3200                                      | Obligated balance, end of year .....                                      | .....       | .....   | 5,068     |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| 4000                                      | Budget authority, gross .....   | .....       | .....   | 5,335     |
| Outlays, gross:                           |   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                            | .....       | .....   | 267       |
| 4180                                      | Budget authority, net (total) .....                                       | .....       | .....   | 5,335     |
| 4190                                      | Outlays, net (total) .....  | .....       | .....   | 267       |

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

**Race to the top.**—Funds would support a College Affordability and Completion initiative that would provide grants to States demonstrating a commitment to undertake reforms in the following key areas: sustaining fiscal support for higher education while modernizing funding policies to constrain costs and improve

## INNOVATION AND INSTRUCTIONAL TEAMS—Continued

outcomes; removing barriers preventing the creation of innovative methods of student learning and degree pathways; empowering consumer choice through increased transparency; and smoothing transitions into college and between institutions of higher education.

**Investing in innovation.**—Funds would support grants to local educational agencies (LEAs) or to nonprofit organizations in consortium with one or more schools or LEAs to develop and expand innovative strategies and practices that have been shown to be effective in improving educational outcomes for students. A portion of the funds would be used to launch the Advanced Research Projects Agency—Education, which would pursue breakthrough developments in educational technologies and other strategies for raising achievement.

**STEM innovation networks.**—Funds would support competitive grants to consortia of LEAs in partnership with institutions of higher education, businesses, science agencies, or other entities. These public-private partnerships would harness local, regional, and national resources to transform STEM teaching and learning by implementing innovative evidence-based practices that improve teacher recruitment, preparation, and professional development and student engagement. Funds would also support a STEM Master Teacher Corps, and a STEM Virtual Learning Network, a robust online community to inform and guide the field in adopting the most effective practices for improving teaching and learning.

**Effective teaching and learning: science, technology, engineering, and mathematics (STEM).**—Funds would support grants to States to implement comprehensive strategies to improve the teaching and learning of STEM subjects.

**High school transformation.**—Funds would support competitive grants to transform teaching and learning in high schools by encouraging partnerships among LEAs, postsecondary institutions, businesses, and non-profits to prepare students for college and career. Grantees would leverage new and existing federal, state, and local resources to create learning models that are rigorous, relevant, and better focused on real-world experiences while incorporating personalized learning, work- and project-based learning, and career and college exploration.

**Effective teachers and leaders State grants.**—Funds would support formula grants to States and LEAs to promote and enhance the teaching profession; recruit, prepare, develop, reward, and retain effective and highly effective teachers, principals, and other school leaders and foster excellent instructional teams, especially in high-need LEAs, schools, fields, and subjects; ensure the equitable distribution of effective and highly effective teachers and principals; increase the effectiveness of teachers and principals; strengthen teacher and principal evaluation systems; ensure that teachers have the knowledge, skills, data, support, and collaborative opportunities needed to be effective in the classroom; and improve the management of the education workforce in States and LEAs. The Department would reserve up to 25 percent of the appropriation for this program to build evidence on how to best recruit, train, and support effective teachers and school leaders and invest in efforts to enhance the teaching and leadership professions.

**School leadership.**—Funds would support competitive grants to States, high-need LEAs, nonprofit organizations, and institutions of higher education, to assist high-need LEAs in recruiting and training principals (including assistant principals) through such activities as professional development programs in leading the transition to college- and career-ready standards, evaluating

and providing feedback to teachers, developing school leadership teams, and creating a positive school climate.

**Teacher and leader innovation fund.**—Funds would support competitive grants to States and LEAs willing to implement bold approaches to improving the effectiveness of the education workforce in high-need schools and districts by creating the conditions needed to identify, reward, retain, and advance effective teachers, principals, and school leadership teams in those schools, and enabling schools to build the strongest teams possible.

**Expanding educational options.**—Funds would support competitive grants to States, charter school authorizers, charter management organizations, LEAs, and other nonprofit organizations to start or expand high-performing charter and other autonomous public schools in high-need areas.

**Magnet schools assistance.**—Funds would support competitive grants to LEAs to establish and operate magnet school programs that are part of an approved desegregation plan.

**Fund for the improvement of education: programs of national significance.**—Funds would support nationally significant projects to improve the quality of elementary and secondary education, including an interagency initiative to strengthen services provided to disconnected youth and continuation of efforts to improve the quality, analysis, and reporting of elementary and secondary education performance data. Funds would also go towards a joint evidence-based math initiative with the National Science Foundation.

## Object Classification (in millions of dollars)

| Identification code 91-0204-2-1-501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        |             |         | 40        |
| 25.2 Other services from non-Federal sources ..... |             |         | 672       |
| 25.5 Research and development contracts .....      |             |         | 4         |
| 41.0 Grants, subsidies, and contributions .....    |             |         | 4,619     |
| 99.9 Total new obligations .....                   |             |         | 5,335     |

## INNOVATION AND INSTRUCTIONAL TEAMS

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 91-0204-4-1-501   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Recognizing educational success, professional excellence, and collaborative teaching (RESPECT) ..... |             | 5,000   |           |
| 0100 Total direct program .....   |             | 5,000   |           |
| 0900 Total new obligations (object class 41.0) .....  |             | 5,000   |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  |             | 5,000   |           |
| 1260 Appropriations, mandatory (total) .....  |             | 5,000   |           |
| 1930 Total budgetary resources available .....  |             | 5,000   |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   |             |         | 4,900     |
| 3010 Obligations incurred, unexpired accounts .....   |             | 5,000   |           |
| 3020 Outlays (gross) .....  |             | -100    | -2,650    |
| 3050 Unpaid obligations, end of year .....  |             | 4,900   | 2,250     |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   |             |         | 4,900     |
| 3200 Obligated balance, end of year .....   |             | 4,900   | 2,250     |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  |             | 5,000   |           |

|                 |  |       |       |
|-----------------|--|-------|-------|
| Outlays, gross: |  |       |       |
| 4100            | Outlays from new mandatory authority ..... | 100   |       |
| 4101            | Outlays from mandatory balances .....      |       | 2,650 |
| 4110            | Outlays, gross (total) .....               | 100   | 2,650 |
| 4180            | Budget authority, net (total) .....        | 5,000 |       |
| 4190            | Outlays, net (total) .....                 | 100   | 2,650 |

*Recognizing educational success, professional excellence, and collaborative teaching (RESPECT).—Funds would support reforms at each stage of the teaching profession.*

## OFFICE OF ENGLISH LANGUAGE ACQUISITION

### Federal Funds

#### ENGLISH LEARNER EDUCATION

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 91–1300–0–1–501                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 English language acquisition grants .....                  | 726         | 742     | 10        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 8           | 15      | 10        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 734         | 737     |           |
| 1130 Appropriations permanently reduced .....                   | –1          |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 733         | 737     |           |
| 1930 Total budgetary resources available .....                  | 741         | 752     | 10        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 15          | 10      |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 1,040       | 1,035   | 1,013     |
| 3010 Obligations incurred, unexpired accounts .....             | 726         | 742     | 10        |
| 3020 Outlays (gross) .....                                      | –727        | –764    | –728      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –4          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 1,035       | 1,013   | 295       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 1,040       | 1,035   | 1,013     |
| 3200 Obligated balance, end of year .....                       | 1,035       | 1,013   | 295       |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 733         | 737     |           |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 7           | 7       |           |
| 4011 Outlays from discretionary balances .....                  | 720         | 757     | 728       |
| 4020 Outlays, gross (total) .....                               | 727         | 764     | 728       |
| 4180 Budget authority, net (total) .....                        | 733         | 737     |           |
| 4190 Outlays, net (total) .....                                 | 727         | 764     | 728       |

#### Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 733         | 737     |           |
| Outlays .....                               | 727         | 764     | 728       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | 732       |
| Outlays .....                               |             |         | 7         |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 733         | 737     | 732       |
| Outlays .....                               | 727         | 764     | 735       |

The Administration is proposing legislation reauthorizing the Elementary and Secondary Education Act (ESEA), including

programs in this account. Consistent with this reauthorization proposal, the Budget proposes to realign programs in ESEA accounts. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

#### Object Classification (in millions of dollars)

| Identification code 91–1300–0–1–501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        | 1           | 4       |           |
| 25.2 Other services from non-Federal sources ..... | 2           | 2       |           |
| 25.7 Operation and maintenance of equipment .....  | 1           |         |           |
| 41.0 Grants, subsidies, and contributions .....    | 722         | 736     | 10        |
| 99.9 Total new obligations .....                   | 726         | 742     | 10        |

#### ENGLISH LEARNER EDUCATION

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 91–1300–2–1–501                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 English language acquisition grants .....      |             |         | 732       |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            |             |         | 732       |
| 1160 Appropriation, discretionary (total) .....     |             |         | 732       |
| 1930 Total budgetary resources available .....      |             |         | 732       |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 732       |
| 3020 Outlays (gross) .....                          |             |         | –7        |
| 3050 Unpaid obligations, end of year .....          |             |         | 725       |
| Memorandum (non-add) entries:                       |             |         |           |
| 3200 Obligated balance, end of year .....           |             |         | 725       |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  |             |         | 732       |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | 7         |
| 4180 Budget authority, net (total) .....            |             |         | 732       |
| 4190 Outlays, net (total) .....                     |             |         | 7         |

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Elementary and Secondary Education Act of 1965.

*Language acquisition State grants.*—This program provides formula grants to States to improve services for English Learners. States are accountable for demonstrating that English Learners are making progress toward proficiency in English and meeting the same high State academic standards as all other students. Funds also support national activities, including professional development, evaluation, a national information clearinghouse on English language acquisition, and technical assistance to grantees and funding for demonstration projects to replicate proven practices.

#### Object Classification (in millions of dollars)

| Identification code 91–1300–2–1–501                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        |             |         | 3         |
| 25.2 Other services from non-Federal sources ..... |             |         | 2         |
| 41.0 Grants, subsidies, and contributions .....    |             |         | 727       |

ENGLISH LEARNER EDUCATION—Continued  
Object Classification—Continued

| Identification code 91–1300–2–1–501 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    |             |         | 732       |

OFFICE OF SPECIAL EDUCATION AND  
REHABILITATIVE SERVICES

Federal Funds

SPECIAL EDUCATION

For carrying out the Individuals with Disabilities Education Act ("IDEA") and the Special Olympics Sport and Empowerment Act of 2004, \$12,657,307,000, of which \$2,289,108,000 shall become available on July 1, 2014, and shall remain available through September 30, 2015, and of which \$10,124,103,000 shall become available on October 1, 2014, and shall remain available through September 30, 2015, for academic year 2014–2015: Provided, That the amount for section 611(b)(2) of the IDEA shall be equal to the lesser of the amount available for that activity during fiscal year 2013, increased by the amount of inflation as specified in section 619(d)(2)(B) of the IDEA, or the percent change in the funds appropriated under section 611(i) of the IDEA, but not less than the amount for that activity during fiscal year 2013: Provided further, That the Secretary shall, without regard to section 611(d) of the IDEA, distribute to all other States (as that term is defined in section 611(g)(2)), subject to the third proviso, any amount by which a State's allocation under section 611(d), from funds appropriated under this heading, is reduced under section 612(a)(18)(B), according to the following: 85 percent on the basis of the States' relative populations of children aged 3 through 21 who are of the same age as children with disabilities for whom the State ensures the availability of a free appropriate public education under this part, and 15 percent to States on the basis of the States' relative populations of those children who are living in poverty: Provided further, That the Secretary may not distribute any funds under the previous proviso to any State whose reduction in allocation from funds appropriated under this heading made funds available for such a distribution: Provided further, That the States shall allocate such funds distributed under the second proviso to local educational agencies in accordance with section 611(f): Provided further, That the amount by which a State's allocation under section 611(d) of the IDEA is reduced under section 612(a)(18)(B) and the amounts distributed to States under the previous provisos in fiscal year 2012 or any subsequent year shall not be considered in calculating the awards under section 611(d) for fiscal year 2013 or for any subsequent fiscal years: Provided further, that the Secretary may, notwithstanding section 643(e)(1) of the IDEA, reserve up to \$2,710,000 of the amount provided under section 644 for incentive grants to States to carry out section 635(c): Provided further, that funds made available for the Special Olympics Sport and Empowerment Act of 2004 may be used to support expenses associated with the Special Olympics National and World Games: Provided further, That the funds reserved under section 611(c) may be used to provide technical assistance to States to improve the capacity of the States to meet the data collection requirements of sections 616 and 618 and to administer and carry out other services and activities to improve data collection, coordination, quality, and use under Parts B and C of the IDEA: Provided further, That the level of effort a local educational agency must meet under section 613(a)(2)(A)(iii) of the IDEA, in the year after it fails to maintain effort is the level of effort that would have been required in the absence of that failure and not the LEA's reduced level of expenditures: Provided further, That the Secretary may use funds made available for the State Personnel Development Grants program under Part D, subpart 1 of IDEA to evaluate program performance.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 91–0300–0–1–501                                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                  |             |         |           |
| 0001 Grants to States .....  | 10,868      | 11,615  | 10,737    |
| 0002 Preschool grants .....  | 373         | 375     | 372       |
| 0003 Grants for infants and families .....                               | 364         | 523     | 462       |
| 0091 Subtotal, State grants .....  | 11,605      | 12,513  | 11,571    |
| 0101 State personnel development .....                                   | 44          | 44      | 45        |
| 0102 Technical assistance and dissemination .....                        | 55          | 55      | 47        |
| 0103 Personnel preparation .....   | 88          | 89      | 86        |
| 0104 Parent information centers .....                                    | 29          | 29      | 29        |
| 0105 Technology and media services .....                                 | 30          | 30      | 30        |
| 0191 Subtotal, National activities .....                                 | 246         | 247     | 237       |
| 0200 Total Direct Program .....  | 11,851      | 12,760  | 11,808    |
| 0201 Special Olympics education programs .....                           |             |         | 8         |
| 0203 PROMISE: Promoting Readiness of Minors in SSI .....                 |             | 4       |           |
| 0291 Direct program activities, subtotal .....                           |             | 4       | 8         |
| 0900 Total new obligations .....   | 11,851      | 12,764  | 11,816    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                    | 20          | 103     |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 3,364       | 3,378   | 2,533     |
| 1130 Appropriations permanently reduced .....                            | –6          |         |           |
| 1160 Appropriation, discretionary (total) .....                          | 3,358       | 3,378   | 2,533     |
| Advance appropriations, discretionary:                                   |             |         |           |
| 1170 Advance appropriation (Advance appropriated in previous year) ..... | 8,592       | 9,283   | 9,283     |
| 1173 Advance appropriations permanently reduced .....                    | –16         |         |           |
| 1180 Advanced appropriation, discretionary (total) .....                 | 8,576       | 9,283   | 9,283     |
| 1900 Budget authority (total) .....                                      | 11,934      | 12,661  | 11,816    |
| 1930 Total budgetary resources available .....                           | 11,954      | 12,764  | 11,816    |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                    | 103         |         |           |
| <b>Change in obligated balance:</b>                                      |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                    | 8,634       | 6,946   | 6,577     |
| 3010 Obligations incurred, unexpired accounts .....                      | 11,851      | 12,764  | 11,816    |
| 3011 Obligations incurred, expired accounts .....                        | 3           |         |           |
| 3020 Outlays (gross) .....   | –13,530     | –13,133 | –13,204   |
| 3041 Recoveries of prior year unpaid obligations, expired .....          | –12         |         |           |
| 3050 Unpaid obligations, end of year .....                               | 6,946       | 6,577   | 5,189     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                              | 8,634       | 6,946   | 6,577     |
| 3200 Obligated balance, end of year .....                                | 6,946       | 6,577   | 5,189     |
| <b>Budget authority and outlays, net:</b>                                |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                       | 11,934      | 12,661  | 11,816    |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                      | 5,648       | 7,620   | 7,621     |
| 4011 Outlays from discretionary balances .....                           | 7,882       | 5,513   | 5,583     |
| 4020 Outlays, gross (total) .....  | 13,530      | 13,133  | 13,204    |
| 4180 Budget authority, net (total) .....                                 | 11,934      | 12,661  | 11,816    |
| 4190 Outlays, net (total) .....  | 13,530      | 13,133  | 13,204    |

SUMMARY OF GRANTS TO STATES PROGRAM LEVEL

|  | [in millions of dollars]      |                               |                               |
|--|-------------------------------|-------------------------------|-------------------------------|
|  | 2012–2013<br>Academic<br>Year | 2013–2014<br>Academic<br>Year | 2014–2015<br>Academic<br>Year |
| Current Budget Authority .....                               | \$2,295                       | \$2,309                       | \$1,454                       |
| Advance appropriation .....                                  | 9,283                         | 9,283                         | 10,124                        |
| Total program level .....                                    | 11,578                        | 11,592                        | 11,578                        |
| Change in advance appropriation from the previous year ..... | 707                           | 0                             | 841 <sup>1</sup>              |

<sup>1</sup>To account for the Administration's ESEA reauthorization proposal, the 2014 Budget eliminates the \$1.7 billion advance appropriation that was previously in the School Improvement Programs account (renamed the Education Improvement Programs account) and replaces it with corresponding increases to advance appropriations in the Education for the Disadvantaged (\$841 million, renamed the Accelerating Achievement and Ensuring Equity account) and Special

Education (\$841 million) accounts. Total advance appropriations in the Department of Education remain the same at \$22.6 billion.

#### State Grants:

**Grants to States.**—Formula grants are provided to States to assist them in providing special education and related services to children with disabilities ages 3 through 21.

**Preschool grants.**—Formula grants provide additional funds to States to further assist them in providing special education and related services to children with disabilities ages 3 through 5 served under the Grants to States program.

The goal of both of these programs is to improve results for children with disabilities by assisting State and local educational agencies to provide children with disabilities with access to high quality education that will help them meet challenging standards and prepare them for employment and independent living.

**Grants for infants and families.**—Formula grants are provided to assist States to implement statewide systems of coordinated, comprehensive, multi-disciplinary interagency programs to provide early intervention services to children with disabilities, birth through age 2, and their families.

The goal of this program is to help States provide a comprehensive system of early intervention services that will enhance child and family outcomes.

**National activities.**—These activities include personnel preparation and development, technical assistance, and other activities to support State efforts to improve results for children with disabilities under the State Grants programs.

The goal of National Activities is to link States, school systems, and families to best practices to improve results for infants, toddlers, and children with disabilities.

**Special Olympics education programs.**—This program funds activities that promote the expansion of the Special Olympics and the design and implementation of Special Olympics education programs.

Performance data related to program goals include:

|  | 2008–2009<br>actual | 2009–2010<br>actual | 2010–2011<br>actual |
|--|---------------------|---------------------|---------------------|
| Status of Exiting Students   |                     |                     |                     |
| Percent / number of students with disabilities aged 14–21 exiting special education: |                     |                     |                     |
| Graduated with a diploma .....   | 35.7% / 247,299     | 37.9% / 256,102     | 39.7% / 255,801     |
| Graduated through certification .....  | 8.9% / 61,508       | 8.9% / 60,001       | 9.2% / 58,946       |
| Transferred to regular education .....   | 9.6% / 66,364       | 9.9% / 66,920       | 9.5% / 61,243       |
| Dropped out of school/not known to continue .....                                    | 13.2% / 91,318      | 12.8% / 86,327      | 12.6% / 80,927      |
| Moved, but known to have continued in education .....                                | 31.6% / 219,020     | 29.6% / 199,899     | 28.1% / 181,618     |
| Reached maximum age for services/other .....   | .9% / 6,112         | .8% / 5,071         | .8% / 5,245         |
| Total .....  | 100% / 691,621      | 100% / 674,320      | 100% / 643,780      |

Note—Percentages may not add to 100% due to rounding.

Note—Previous versions of this table did not contain the categories "Transferred to regular education" and "Moved, but known to have continued in education." The Department of Education revised its data collection forms to include these additional items, which track additional students with disabilities ages 14 and older who leave special education, and are mutually exclusive with other categories included in this table. Because this is the case, the percentages reported in this table are not comparable with percentages reported in the same table in previous years.

#### Object Classification (in millions of dollars)

| Identification code 91–0300–0–1–501                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.2 Other services from non-Federal sources .....       | 5           | 5       | 5         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....          | 11,846      | 12,757  | 11,811    |
| 99.9 Total new obligations .....                         | 11,851      | 12,764  | 11,816    |

#### REHABILITATION SERVICES AND DISABILITY RESEARCH

For carrying out, to the extent not otherwise provided, the Rehabilitation Act of 1973, the Assistive Technology Act of 1998, and the Helen Keller National Center Act, \$3,655,577,000, of which \$3,302,053,000 shall be for grants for vocational rehabilitation services under Title I of the Rehabilitation Act: Provided, That funds available for the Vocational Rehabilitation State Grants program may be used to pay the continuation costs of grants awarded under section 304 of the Rehabilitation Act: Provided further, That no State's allocation under the Vocational Rehabilitation State Grants program shall be less than the total amount allocated to the State in fiscal year 2013 under sections 110(a) and 622(a) of the Rehabilitation Act: Provided further, That section 302(g)(3) of the Rehabilitation Act shall not apply to funds provided under section 302 of such Act: Provided further, That the Secretary may use amounts provided in this Act that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act for innovative activities aimed at improving the outcomes of individuals with disabilities as defined in section 7(20)(B) of the Rehabilitation Act, including activities aimed at improving the education and post-school outcomes of children receiving Supplemental Security Income (SSI) and their families that may result in long-term improvement in the SSI child recipient's economic status and self-sufficiency: Provided further, That States may award subgrants for a portion of the funds to other public and private, non-profit entities: Provided further, That any funds made available subsequent to reallocation for innovative activities aimed at improving the outcomes of individuals with disabilities shall remain available until September 30, 2015: Provided further, That not to exceed \$20,000,000 of the amounts made available in the fourth proviso may be used for performance-based awards for Pay for Success projects: Provided further, That, with respect to the previous proviso, any funds obligated for such projects shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a): Provided further, That, with respect to the sixth proviso, any deobligated funds from such projects shall immediately be available for programs authorized under the Rehabilitation Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 91–0301–0–1–506   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Vocational rehabilitation State grants .....                               | 3,027       | 3,231   | 3,302     |
| 0002 Client assistance State grants .....                                       | 12          | 13      | 12        |
| 0003 Supported employment State grants .....                                    | 29          | 29      | .....     |
| 0004 Migrant and seasonal farmworkers .....                                     | 1           | 1       | .....     |
| 0005 Training .....   | 36          | 36      | 30        |
| 0006 Demonstration and training programs .....                                  | 5           | 5       | 6         |
| 0007 Independent living .....   | 137         | 138     | 137       |
| 0008 Protection and advocacy of individual rights .....                         | 18          | 18      | 18        |
| 0009 National Institute on Disability and Rehabilitation Research .....         | 109         | 109     | 110       |
| 0011 Helen Keller National Center .....   | 9           | 9       | 9         |
| 0012 Assistive technology .....   | 33          | 33      | 31        |
| 0013 PROMISE .....  | .....       | 95      | .....     |
| 0100 Total direct program .....   | 3,416       | 3,717   | 3,655     |
| 0799 Total direct obligations .....   | 3,416       | 3,717   | 3,655     |
| 0801 Reimbursable program .....   | 2           | 2       | 2         |
| 0900 Total new obligations .....  | 3,418       | 3,719   | 3,657     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | .....       | 95      | .....     |
| 1050 Unobligated balance (total) .....  | .....       | 95      | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 390         | 391     | 353       |
| 1130 Appropriations permanently reduced .....                                   | –1          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                                 | 389         | 391     | 353       |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 3,122       | 3,231   | 3,302     |

REHABILITATION SERVICES AND DISABILITY RESEARCH—Continued  
Program and Financing—Continued

| Identification code 91–0301–0–1–506       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 1260                                      | Appropriations, mandatory (total) .....                        | 3,122       | 3,231   | 3,302     |
|   | Spending authority from offsetting collections, discretionary: |             |         |           |
| 1700                                      | Collected .....  | 2           | 2       | 2         |
| 1750                                      | Spending auth from offsetting collections, disc (total) .....  | 2           | 2       | 2         |
| 1900                                      | Budget authority (total) .....                                 | 3,513       | 3,624   | 3,657     |
| 1930                                      | Total budgetary resources available .....                      | 3,513       | 3,719   | 3,657     |
|   | Memorandum (non-add) entries:                                  |             |         |           |
| 1940                                      | Unobligated balance expiring .....                             | –95         |         |           |
| <b>Change in obligated balance:</b>       |  |             |         |           |
|   | Unpaid obligations:  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....               | 2,336       | 2,364   | 2,129     |
| 3010                                      | Obligations incurred, unexpired accounts .....                 | 3,418       | 3,719   | 3,657     |
| 3011                                      | Obligations incurred, expired accounts .....                   | 2           |         |           |
| 3020                                      | Outlays (gross) .....  | –3,377      | –3,954  | –4,174    |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....     | –15         |         |           |
| 3050                                      | Unpaid obligations, end of year .....                          | 2,364       | 2,129   | 1,612     |
|   | Memorandum (non-add) entries:                                  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                         | 2,336       | 2,364   | 2,129     |
| 3200                                      | Obligated balance, end of year .....                           | 2,364       | 2,129   | 1,612     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
|   | Discretionary:   |             |         |           |
| 4000                                      | Budget authority, gross .....                                  | 391         | 393     | 355       |
|   | Outlays, gross:  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                 | 85          | 247     | 223       |
| 4011                                      | Outlays from discretionary balances .....                      | 375         | 342     | 188       |
| 4020                                      | Outlays, gross (total) .....                                   | 460         | 589     | 411       |
|   | Offsets against gross budget authority and outlays:            |             |         |           |
|   | Offsetting collections (collected) from:                       |             |         |           |
| 4030                                      | Federal sources .....  | –2          | –2      | –2        |
|   | Mandatory:   |             |         |           |
| 4090                                      | Budget authority, gross .....                                  | 3,122       | 3,231   | 3,302     |
|   | Outlays, gross:  |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....                     | 1,387       | 2,036   | 2,080     |
| 4101                                      | Outlays from mandatory balances .....                          | 1,530       | 1,329   | 1,683     |
| 4110                                      | Outlays, gross (total) .....                                   | 2,917       | 3,365   | 3,763     |
| 4180                                      | Budget authority, net (total) .....                            | 3,511       | 3,622   | 3,655     |
| 4190                                      | Outlays, net (total) .....                                     | 3,375       | 3,952   | 4,172     |

**Vocational rehabilitation State grants.**—The basic State grants program provides Federal matching funds to State vocational rehabilitation (VR) agencies to assist individuals with physical or mental impairments to become gainfully employed. Services are tailored to the specific needs of the individual. Priority is given to serving those with the most significant disabilities. Current law requires that between 1.0 percent and 1.5 percent of the funds appropriated for the VR State grants program be set aside for Grants for Indians.

The program performance measures for this program are based on State VR agency performance indicators developed pursuant to Section 106 of the Rehabilitation Act. One of these indicators measures the percentage of general and combined State VR agencies that assist at least 55.8 percent of individuals receiving services to achieve an employment outcome. In 2011, 54 percent of the agencies met this performance criterion. Another indicator measures the percentage of general and combined State VR agencies that assist at least 85 percent of individuals with employment outcomes to achieve competitive employment. In 2011, 93 percent of general and combined agencies met this performance criterion. These outcome data are based on the approximately 330,570 individuals whose service records were closed in 2011 after receiving services.

The request for the VR State Grants program includes the CPIU adjustment specified in the authorizing statute, which would more than offset the reduction in funds resulting from the Administration's proposal to eliminate separate funding authorities for the smaller VR-related programs under the Rehabilitation Act

in order to reduce duplication of effort and administrative costs, streamline program administration at the Federal and local level, and improve efficiency and accountability. The FY 2014 request also includes language that would allow the Secretary to use amounts provided in this Act for the VR State Grants program that remain available subsequent to the reallocation of funds to States pursuant to section 110(b) of the Rehabilitation Act to support innovative activities aimed at improving outcomes for individuals with disabilities, including activities under the Promoting Readiness of Minors in Supplemental Security Income (PROMISE) program. A portion of these funds may be used for Pay for Success bonds to engage social investors, the Federal Government, and a State or local community to collaboratively finance effective interventions.

**Client assistance State grants.**—Formula grants are made to States to provide assistance in informing and advising clients and applicants about benefits available under the Rehabilitation Act and, if requested, to pursue legal or administrative remedies to ensure the protection of the rights of individuals with disabilities.

**Training.**—Grants are made to States and public or nonprofit agencies and organizations, including institutions of higher education, to increase the number of skilled personnel available for employment in the field of rehabilitation and to upgrade the skills of those already employed. The Administration's proposal would eliminate funds currently provided to State VR agencies to support in-service training for agency personnel under section 302(g)(3) of the Training program (\$5.327 million) as these agencies are able to use VR State Grant funds for training State agency personnel. This proposal would eliminate the administrative costs involved in making small grants each year to State VR agencies under the Training program and improve the efficiency of training delivered under the Rehabilitation Act.

**Demonstration and training programs.**—Competitive grants and contracts are awarded to expand and improve the provision and effectiveness of programs and services authorized under the Rehabilitation Act or further the purposes of the Act in promoting the employment and independence of individuals with disabilities in the community. Funds are used to support model demonstrations, technical assistance, and projects designed to improve program performance and the delivery of vocational rehabilitation and independent living services.

**Independent living.**—Grants are awarded to States and consumer-controlled nonprofit organizations to assist individuals with significant disabilities in their achievement of self-determined independent living goals. Grants are also awarded to provide support services to older blind individuals to increase their ability to care for their own needs.

**Protection and advocacy of individual rights.**—Formula grants are made to State protection and advocacy systems to protect the legal and human rights of individuals with disabilities.

**National institute on disability and rehabilitation research.**—The Institute carries out a comprehensive and coordinated program of rehabilitation research and related activities. Through grants and contracts, it supports the conduct and dissemination of research and development aimed at improving the lives of individuals with disabilities. The Institute also promotes the development and utilization of new technologies to assist these individuals in achieving greater independence and integration into society. Funds would also be used to conduct rigorous evaluations of programs and activities authorized under the Rehabilitation Act.

**Helen Keller national center for deaf blind youths and adults.**—The Center provides services to deaf-blind youths and

adults and provides training and technical assistance to professional and allied personnel at its national headquarters center and through its regional representatives and affiliate agencies.

**Assistive technology.**—Assistive Technology (AT) programs support AT State formula grants to implement comprehensive Statewide programs designed to maximize the ability of individuals with disabilities of all ages to obtain assistive technology. States conduct activities that include alternative financing programs, device reutilization programs, device loan programs, and device demonstrations. Formula grants are also provided under the AT Protection and Advocacy program to systems established under the Developmental Disabilities Assistance and Bill of Rights Act for protection and advocacy services to assist individuals with disabilities of all ages. Funds also support national technical assistance activities for these formula grant programs.

**Object Classification** (in millions of dollars)

| Identification code 91–0301–0–1–506                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 8           | 6       | 6         |
| 25.2 Other services from non-Federal sources .....       |             | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 1           |         |           |
| 41.0 Grants, subsidies, and contributions .....          | 3,407       | 3,710   | 3,648     |
| 99.0 Direct obligations .....                            | 3,416       | 3,717   | 3,655     |
| 99.0 Reimbursable obligations .....                      | 2           | 2       | 2         |
| 99.9 Total new obligations .....                         | 3,418       | 3,719   | 3,657     |

**SPECIAL INSTITUTIONS FOR PERSONS WITH DISABILITIES**

**AMERICAN PRINTING HOUSE FOR THE BLIND**

*For carrying out the Act of March 3, 1879, \$24,505,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91–0600–0–1–501                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 American printing house for the blind .....      | 25          | 25      | 25        |
| 0900 Total new obligations (object class 41.0) .....  | 25          | 25      | 25        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 25          | 25      | 25        |
| 1160 Appropriation, discretionary (total) .....       | 25          | 25      | 25        |
| 1930 Total budgetary resources available .....        | 25          | 25      | 25        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 7           | 7       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 25          | 25      | 25        |
| 3020 Outlays (gross) .....                            | –25         | –30     | –26       |
| 3050 Unpaid obligations, end of year .....            | 7           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 7           | 7       | 2         |
| 3200 Obligated balance, end of year .....             | 7           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 25          | 25      | 25        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 18          | 24      | 24        |
| 4011 Outlays from discretionary balances .....        | 7           | 6       | 2         |
| 4020 Outlays, gross (total) .....                     | 25          | 30      | 26        |
| 4180 Budget authority, net (total) .....              | 25          | 25      | 25        |

|                                 |    |    |    |
|---------------------------------|----|----|----|
| 4190 Outlays, net (total) ..... | 25 | 30 | 26 |
|---------------------------------|----|----|----|

The Federal appropriation supports: the production and distribution of free educational materials for students below the college level who are blind, research related to developing and improving products, and advisory services to consumer organizations on the availability and use of materials. In 2012, the portion of the Federal appropriation allocated to educational materials represented approximately 70 percent of the Printing House's total sales. The full 2012 appropriation represented approximately 86 percent of the Printing House's total budget.

**NATIONAL TECHNICAL INSTITUTE FOR THE DEAF**

*For the National Technical Institute for the Deaf under titles I and II of the Education of the Deaf Act of 1986, \$65,422,000, of which \$2,000,000, to remain available until expended, shall be for construction: Provided, That from the total amount available, the Institute may at its discretion use funds for the endowment program as authorized under section 207 of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91–0601–0–1–502                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operations .....                                 | 65          | 67      | 63        |
| 0002 Construction .....                               |             |         | 2         |
| 0900 Total new obligations (object class 41.0) .....  | 65          | 67      | 65        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 66          | 66      | 65        |
| 1160 Appropriation, discretionary (total) .....       | 66          | 66      | 65        |
| 1930 Total budgetary resources available .....        | 66          | 67      | 65        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 65          | 67      | 65        |
| 3020 Outlays (gross) .....                            | –65         | –67     | –63       |
| 3050 Unpaid obligations, end of year .....            |             |         | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 66          | 66      | 65        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 65          | 66      | 63        |
| 4011 Outlays from discretionary balances .....        |             | 1       |           |
| 4020 Outlays, gross (total) .....                     | 65          | 67      | 63        |
| 4180 Budget authority, net (total) .....              | 66          | 66      | 65        |
| 4190 Outlays, net (total) .....                       | 65          | 67      | 63        |

This residential program provides postsecondary technical and professional education for people who are deaf to prepare them for employment, provides training, and conducts applied research into employment-related aspects of deafness. In 2012, the Federal appropriation represented approximately 70 percent of the Institute's operating budget. The 2014 request includes funds for the support of a deferred maintenance account. The 2014 request

NATIONAL TECHNICAL INSTITUTE FOR THE DEAF—Continued  
also includes funds that may be used for the Endowment Grant program.

#### GALLAUDET UNIVERSITY

*For the Kendall Demonstration Elementary School, the Model Secondary School for the Deaf, and the partial support of Gallaudet University under titles I and II of the Education of the Deaf Act of 1986, \$117,541,000: Provided, That from the total amount available, the University may at its discretion use funds for the endowment program as authorized under section 207 of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 91–0602–0–1–502                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operations .....                                 | 118         | 118     | 118       |
| 0002 Construction .....                               | 8           | 8       | .....     |
| 0900 Total new obligations (object class 41.0) .....  | 126         | 126     | 118       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 126         | 126     | 118       |
| 1160 Appropriation, discretionary (total) .....       | 126         | 126     | 118       |
| 1930 Total budgetary resources available .....        | 126         | 126     | 118       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 9           | 17      | 13        |
| 3010 Obligations incurred, unexpired accounts .....   | 126         | 126     | 118       |
| 3020 Outlays (gross) .....                            | –118        | –130    | –125      |
| 3050 Unpaid obligations, end of year .....            | 17          | 13      | 6         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 9           | 17      | 13        |
| 3200 Obligated balance, end of year .....             | 17          | 13      | 6         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 126         | 126     | 118       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 117         | 119     | 118       |
| 4011 Outlays from discretionary balances .....        | 1           | 11      | 7         |
| 4020 Outlays, gross (total) .....                     | 118         | 130     | 125       |
| 4180 Budget authority, net (total) .....              | 126         | 126     | 118       |
| 4190 Outlays, net (total) .....                       | 118         | 130     | 125       |

This institution provides undergraduate, continuing education, and graduate programs related to deafness for students who are deaf and hard of hearing. The University also conducts basic and applied research and provides public service programs for persons who are deaf and persons who work with them.

Gallaudet operates the Laurent Clerc National Deaf Education Center, which includes two elementary and secondary education programs on the main campus of the University. The Kendall Demonstration Elementary School serves students who are deaf from infancy through age 15, and the Model Secondary School for the Deaf (MSSD) serves high school age students who are deaf. The Clerc Center also develops and disseminates information on effective educational techniques and strategies for teachers and professionals working with students who are deaf or hard of hearing.

In 2012, the appropriation for Gallaudet represented approximately 68 percent of total revenue for the University. Approximately 25 percent of the Federal appropriation was used to support

activities at the Clerc Center, which received nearly 100 percent of its revenue through the appropriation. In addition, the University receives other Federal funds such as student financial aid, vocational rehabilitation, Endowment Grant program income, and competitive grants and contracts. The 2014 request includes funds that may be used for the Endowment Grant program.

## OFFICE OF VOCATIONAL AND ADULT EDUCATION

### Federal Funds

#### CAREER, TECHNICAL, AND ADULT EDUCATION

*For carrying out, to the extent not otherwise provided, the Adult Education and Family Literacy Act (referred to in this Act as the "AEFLA"), \$609,295,000, which shall become available on July 1, 2014, and shall remain available through September 30, 2015: Provided, That of the amount provided for Adult Education State Grants, \$74,709,000 shall be made available for integrated English literacy and civics education services to immigrants and other limited-English-proficient populations: Provided further, That of the amount reserved for integrated English literacy and civics education, notwithstanding section 211 of the AEFLA, 65 percent shall be allocated to States based on a State's absolute need as determined by calculating each State's share of a 10-year average of the United States Citizenship and Immigration Services data for immigrants admitted for legal permanent residence for the 10 most recent years, and 35 percent allocated to States that experienced growth as measured by the average of the 3 most recent years for which United States Citizenship and Immigration Services data for immigrants admitted for legal permanent residence are available, except that no State shall be allocated an amount less than \$60,000: Provided further, That, of the amounts made available for AEFLA, \$14,302,000 shall be for national leadership activities under section 243.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 91–0400–0–1–501                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| Career and technical education:                                |             |         |           |
| 0001 State grants .....  | 1,122       | 1,125   | 791       |
| 0002 National programs .....                                   | 8           | 8       | .....     |
| 0091 Total, Career and technical education .....               | 1,130       | 1,133   | 791       |
| Adult education:   |             |         |           |
| 0101 State grants .....  | 595         | 599     | 595       |
| 0102 National leadership activities .....                      | 11          | 11      | 14        |
| 0191 Total, adult education .....                              | 606         | 610     | 609       |
| 0900 Total new obligations .....                               | 1,736       | 1,743   | 1,400     |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 31          | 31      | 31        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       | 948         | 952     | 609       |
| 1130 Appropriations permanently reduced .....                  | –2          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                | 946         | 952     | 609       |
| Advance appropriations, discretionary:                         |             |         |           |
| 1170 Advance appropriation from prior year .....               | 791         | 791     | 791       |
| 1173 Advance appropriations permanently reduced .....          | –1          | .....   | .....     |
| 1180 Advanced appropriation, discretionary (total) .....       | 790         | 791     | 791       |
| Spending authority from offsetting collections, discretionary: |             |         |           |
| 1700 Collected .....   | 1           | .....   | .....     |
| 1701 Change in uncollected payments, Federal sources .....     | –1          | .....   | .....     |
| 1900 Budget authority (total) .....                            | 1,736       | 1,743   | 1,400     |
| 1930 Total budgetary resources available .....                 | 1,767       | 1,774   | 1,431     |
| Memorandum (non-add) entries:                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....          | 31          | 31      | 31        |



| Change in obligated balance:  |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations:           |  |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 1,607  | 1,470  | 1,444  |
| 3010                          | Obligations incurred, unexpired accounts .....               | 1,736  | 1,743  | 1,400  |
| 3011                          | Obligations incurred, expired accounts .....                 | 5      |        |        |
| 3020                          | Outlays (gross) .....  | -1,867 | -1,769 | -1,462 |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -11    |        |        |
| 3050                          | Unpaid obligations, end of year .....                        | 1,470  | 1,444  | 1,382  |
| Uncollected payments:         |  |        |        |        |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1     |        |        |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 1      |        |        |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 1,606  | 1,470  | 1,444  |
| 3200                          | Obligated balance, end of year .....                         | 1,470  | 1,444  | 1,382  |

| Budget authority and outlays, net:                      |   |       |       |       |
|---|---|-------|-------|-------|
| Discretionary:  |   |       |       |       |
| 4000  | Budget authority, gross .....                             | 1,736 | 1,743 | 1,400 |
| Outlays, gross:   |   |       |       |       |
| 4010  | Outlays from new discretionary authority .....            | 590   | 624   | 599   |
| 4011  | Outlays from discretionary balances .....                 | 1,277 | 1,145 | 863   |
| 4020  | Outlays, gross (total) .....                              | 1,867 | 1,769 | 1,462 |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4030  | Federal sources .....                                     | -1    |       |       |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired ..... | 1     |       |       |
| 4070  | Budget authority, net (discretionary) .....               | 1,736 | 1,743 | 1,400 |
| 4080  | Outlays, net (discretionary) .....                        | 1,866 | 1,769 | 1,462 |
| 4180  | Budget authority, net (total) .....                       | 1,736 | 1,743 | 1,400 |
| 4190  | Outlays, net (total) .....                                | 1,866 | 1,769 | 1,462 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 1,736       | 1,743   | 1,400     |
| Outlays .....                               | 1,866       | 1,769   | 1,462     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | 350       |
| Outlays .....                               |             |         | 18        |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 1,736       | 1,743   | 1,750     |
| Outlays .....                               | 1,866       | 1,769   | 1,480     |

## SUMMARY OF PROGRAM LEVEL

|  | 2011-12<br>Academic<br>Year | 2012-13<br>Academic<br>Year | 2013-14<br>Academic<br>Year |
|--|-----------------------------|-----------------------------|-----------------------------|
| New Budget Authority .....                               | \$946                       | \$952                       | \$959                       |
| Advance Appropriation .....                              | 790                         | 791                         | 791                         |
| Total program level .....                                | 1,736                       | 1,743                       | 1,750                       |
| Change in advance appropriation over previous year ..... | -1                          | +1                          | 0                           |

The Administration is proposing legislation reauthorizing the Carl D. Perkins Career and Technical Education Act of 2006, including programs in this account. When new authorizing legislation is enacted, resources will be requested for these programs. See the "Legislative proposal, not subject to PAYGO" schedule for additional details.

*Adult education:*

*State programs.*—Funds support formula grants to States to help eliminate functional illiteracy among the Nation's adults, to assist adults in obtaining a high school diploma or its equivalent, and to promote family literacy. A portion of the funds is reserved for formula grants to States to provide English literacy and civics education for immigrants and other limited English proficient adults.

*National leadership activities.*—Funds support discretionary activities to evaluate the effectiveness of Federal, State, and local adult education programs, and to test and demonstrate methods of improving program quality.

## Object Classification (in millions of dollars)

| Identification code 91-0400-0-1-501 | 2012 actual                                | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| 25.1                                | Advisory and assistance services .....     | 2       |           |
| 25.5                                | Research and development contracts .....   | 15      | 13        |
| 41.0                                | Grants, subsidies, and contributions ..... | 1,721   | 1,728     |
| 99.9                                | Total new obligations .....                | 1,736   | 1,743     |

CAREER, TECHNICAL AND ADULT EDUCATION  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 91-0400-2-1-501 | 2012 actual                                | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Obligations by program activity:    |  |         |           |
| Career and technical education:     |  |         |           |
| 0001                                | State grants .....                         |         | 332       |
| 0002                                | National programs .....                    |         | 18        |
| 0900                                | Total new obligations .....                |         | 350       |
| Budgetary Resources:                |  |         |           |
| Budget authority:                   |  |         |           |
| Appropriations, discretionary:      |  |         |           |
| 1100                                | Appropriation .....                        |         | 350       |
| 1160                                | Appropriation, discretionary (total) ..... |         | 350       |
| 1900                                | Budget authority (total) .....             |         | 350       |
| 1930                                | Total budgetary resources available .....  |         | 350       |

| Change in obligated balance:       |  |  |  |     |
|------------------------------------|--|--|--|-----|
| Unpaid obligations:                |  |  |  |     |
| 3010                               | Obligations incurred, unexpired accounts ..... |  |  | 350 |
| 3020                               | Outlays (gross) .....                          |  |  | -18 |
| 3050                               | Unpaid obligations, end of year .....          |  |  | 332 |
| Memorandum (non-add) entries:      |  |  |  |     |
| 3200                               | Obligated balance, end of year .....           |  |  | 332 |
| Budget authority and outlays, net: |  |  |  |     |
| Discretionary:                     |  |  |  |     |
| 4000                               | Budget authority, gross .....                  |  |  | 350 |
| Outlays, gross:                    |  |  |  |     |
| 4010                               | Outlays from new discretionary authority ..... |  |  | 18  |
| 4180                               | Budget authority, net (total) .....            |  |  | 350 |
| 4190                               | Outlays, net (total) .....                     |  |  | 18  |

The resources in this schedule are proposed for later transmittal under proposed legislation to reauthorize the Carl D. Perkins Career and Technical Education Act of 2006.

*Career and Technical Education:*

*State grants.*—Funds would support formula grants to States for programs that focus on improving the academic achievement and career and technical skills of secondary and postsecondary students. Funds would also support projects to promote innovation in career and technical education.

*National programs.*—Funds would support discretionary activities to support research, evaluation, data collection, technical assistance, and other national leadership activities aimed at improving the quality and effectiveness of career and technical education.

## Object Classification (in millions of dollars)

| Identification code 91-0400-2-1-501 | 2012 actual                                | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| 25.1                                | Advisory and assistance services .....     |         | 12        |
| 25.5                                | Research and development contracts .....   |         | 3         |
| 41.0                                | Grants, subsidies, and contributions ..... |         | 335       |
| 99.9                                | Total new obligations .....                |         | 350       |

**OFFICE OF POSTSECONDARY EDUCATION****Federal Funds****HIGHER EDUCATION**

For carrying out, to the extent not otherwise provided, titles III, IV, V, VI, VII, and VIII of the HEA, the Mutual Educational and Cultural Exchange Act of 1961, and section 117 of the Carl D. Perkins Career and Technical Education Act of 2006, \$2,182,799,000: Provided, That \$67,607,000 shall be used for data collection, evaluation, research, and demonstration activities relating to programs under the HEA, including activities that are designed to test approaches for providing grant, loan, or work assistance under title IV of the HEA in ways that promote access to, and completion of, affordable and high-quality postsecondary education programs: Provided further, That the Secretary may use funds under the preceding proviso for the costs of postsecondary tuition, fees, textbooks, and related costs for students enrolled in postsecondary courses who are participating in evaluation, research, and demonstration activities funded under the preceding proviso: Provided further, That, notwithstanding any other provision of law, funds made available in this Act to carry out title VI of the HEA and section 102(b)(6) of the Mutual Educational and Cultural Exchange Act of 1961 may be used to support visits and study in foreign countries by individuals who are participating in advanced foreign language training and international studies in areas that are vital to United States national security and who plan to apply their language skills and knowledge of these countries in the fields of government, the professions, or international development: Provided further, That, of the funds referred to in the preceding proviso up to 1 percent may be used for program evaluation, national outreach, and information dissemination activities: Provided further, That funds available for part B of title VII may be used for continuation awards authorized under subpart 2 of part D of title VII: Provided further, That up to \$75,000,000 of the funds made available under this Act for part B of title VII may be used: (1) to support projects to develop third-party validation systems that identify competencies, assessments, and curricula for particular fields; or (2) for performance-based awards or other agreements under the Pay for Success program: Provided further, That, with respect to the preceding proviso, any funds obligated for such projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any funds deobligated from such projects or agreements shall immediately be available for part B of title VII: Provided further, That, with respect to the second preceding proviso, the Secretary may award funds to the types of entities listed in section 741(a) or any other type of entity that the Secretary identifies in the notice inviting applications for such awards: Provided further, That up to \$100,000,000 of the funds made available under this Act for part B of title VII shall be used for awards to develop, implement, and evaluate interventions to help high school students, particularly from low-income families, successfully transition to and succeed in higher education: Provided further, That, of the amount available under subpart 2 of part A of title VII of the HEA, the Secretary may use up to \$1,485,000 to fund continuation awards for projects originally supported under subpart 1 of part A of title VII of the HEA: Provided further, That up to 1.5 percent of the funds made available under chapter 2 of subpart 2 of part A of title IV may be used for evaluation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91–0201–0–1–502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Strengthening institutions .....   | 81          | 81      | 81        |
| 0002 Strengthening tribally controlled colleges and universities .....                            | 56          | 56      | 56        |
| 0003 Strengthening Alaska Native and Native Hawaiian-serving institutions .....                   | 25          | 28      | 28        |
| 0004 Strengthening historically Black colleges and universities .....                             | 313         | 314     | 313       |
| 0005 Strengthening historically Black graduate institutions .....                                 | 59          | 59      | 59        |
| 0006 Masters degree programs for HBCUs and predominantly Black institutions .....                 | 12          | 12      | 12        |
| 0007 Strengthening predominantly Black institutions .....   | 24          | 24      | 24        |
| 0008 Strengthening Asian American and Native American Pacific Islander-serving institutions ..... | 8           | 8       | 8         |

|  |       |       |       |
|--|-------|-------|-------|
| 0009 Strengthening Native American-serving nontribal institutions .....                                | 8     | 8     | 8     |
| 0010 Minority science and engineering improvement .....  | 9     | 10    | 9     |
| 0091 Subtotal, aid for institutional development .....   | 595   | 600   | 598   |
| 0101 Developing Hispanic-serving institutions .....  | 100   | 101   | 100   |
| 0102 Developing Hispanic-serving institution STEM and articulation programs .....                      | 100   | 100   | 100   |
| 0103 Promoting baccalaureate opportunities for Hispanic Americans .....                                | 21    | 21    | 21    |
| 0104 International education and foreign language studies .....  | 74    | 74    | 81    |
| 0105 Fund for the Improvement of Postsecondary Education .....   | 3     | 4     | 260   |
| 0106 Model transition programs for students with intellectual disabilities into higher education ..... | 11    | 11    | ..... |
| 0107 Tribally controlled postsecondary vocational and technical institutions .....                     | 8     | 8     | 8     |
| 0108 Special programs for migrant students .....   | ..... | ..... | 37    |
| 0191 Subtotal, other aid for institutions .....  | 317   | 319   | 607   |
| 0201 Federal TRIO programs .....   | 840   | 845   | 840   |
| 0202 Gaining early awareness and readiness for undergraduate programs (GEAR UP) .....                  | 302   | 304   | 302   |
| 0204 Javits fellowships .....  | 8     | ..... | ..... |
| 0205 Graduate assistance in areas of national need .....   | 31    | 31    | 31    |
| 0208 Child care access means parents in school .....   | 16    | 16    | 16    |
| 0291 Subtotal, assistance for students .....   | 1,197 | 1,196 | 1,189 |
| 0302 Teacher quality partnerships .....  | 43    | 43    | ..... |
| 0303 GPRA data/HEA program evaluation .....  | 1     | 1     | 68    |
| 0305 College access challenge grants .....   | 128   | 150   | 150   |
| 0391 Subtotal, other higher education activities .....   | 172   | 194   | 218   |
| 0900 Total new obligations .....   | 2,281 | 2,309 | 2,612 |

**Budgetary Resources:**

|   |       |       |       |
|---|-------|-------|-------|
| <b>Unobligated balance:</b>   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 10    | 3     | 3     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                 | 10    | 3     | ..... |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 130   | 137   | 125   |
| 1050 Unobligated balance (total) .....  | 140   | 140   | 128   |
| <b>Budget authority:</b>  |       |       |       |
| <b>Appropriations, discretionary:</b>   |       |       |       |
| 1100 Appropriation .....  | 1,873 | 1,881 | 2,183 |
| 1130 Appropriations permanently reduced .....                                   | –4    | ..... | ..... |
| 1160 Appropriation, discretionary (total) .....                                 | 1,869 | 1,881 | 2,183 |
| <b>Appropriations, mandatory:</b>   |       |       |       |
| 1200 Appropriation .....  | 428   | 428   | 428   |
| 1260 Appropriations, mandatory (total) .....                                    | 428   | 428   | 428   |
| <b>Spending authority from offsetting collections, discretionary:</b>           |       |       |       |
| 1700 Collected .....  | 1     | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 1     | ..... | ..... |
| 1900 Budget authority (total) .....   | 2,298 | 2,309 | 2,611 |
| 1930 Total budgetary resources available .....                                  | 2,438 | 2,449 | 2,739 |
| <b>Memorandum (non-add) entries:</b>  |       |       |       |
| 1940 Unobligated balance expiring .....   | –154  | –137  | –125  |
| 1941 Unexpired unobligated balance, end of year .....                           | 3     | 3     | 2     |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| <b>Unpaid obligations:</b>                                      |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 3,461  | 3,361  | 3,243  |
| 3010 Obligations incurred, unexpired accounts .....             | 2,281  | 2,309  | 2,612  |
| 3011 Obligations incurred, expired accounts .....               | 1      | .....  | .....  |
| 3020 Outlays (gross) .....                                      | –2,346 | –2,427 | –2,320 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –36    | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                      | 3,361  | 3,243  | 3,535  |
| <b>Memorandum (non-add) entries:</b>                            |        |        |        |
| 3100 Obligated balance, start of year .....                     | 3,461  | 3,361  | 3,243  |
| 3200 Obligated balance, end of year .....                       | 3,361  | 3,243  | 3,535  |

**Budget authority and outlays, net:**

|   |       |       |       |
|---|-------|-------|-------|
| <b>Discretionary:</b>                               |       |       |       |
| 4000 Budget authority, gross .....                  | 1,870 | 1,881 | 2,183 |
| <b>Outlays, gross:</b>                              |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 33    | 56    | 65    |
| 4011 Outlays from discretionary balances .....      | 1,938 | 1,858 | 1,816 |
| 4020 Outlays, gross (total) .....                   | 1,971 | 1,914 | 1,881 |
| <b>Mandatory:</b>                                   |       |       |       |
| 4090 Budget authority, gross .....                  | 428   | 428   | 428   |
| <b>Outlays, gross:</b>                              |       |       |       |
| 4100 Outlays from new mandatory authority .....     | ..... | 13    | 13    |
| 4101 Outlays from mandatory balances .....          | 375   | 500   | 426   |
| 4110 Outlays, gross (total) .....                   | 375   | 513   | 439   |

|      |                                     |       |       |       |
|------|-------------------------------------|-------|-------|-------|
| 4180 | Budget authority, net (total) ..... | 2,298 | 2,309 | 2,611 |
| 4190 | Outlays, net (total) .....          | 2,346 | 2,427 | 2,320 |

*Aid for institutional development:*

**Strengthening institutions.**—Funds support planning and development grants for improving academic programs and financial management at schools that enroll high proportions of disadvantaged students and have low per-student expenditures.

**Strengthening tribally controlled colleges and universities.**—Discretionary and mandatory funds support grants to American Indian tribally controlled colleges and universities with scarce resources to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening Alaska Native and Native Hawaiian-serving institutions.**—Discretionary and mandatory funds support Alaska Native and Native Hawaiian-serving institutions to enable them to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black colleges and universities.**—Discretionary and mandatory funds support grants to help historically Black undergraduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening historically Black graduate institutions.**—Funds support grants to help historically Black graduate institutions to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Master's degree programs at historically Black colleges and universities and predominantly Black institutions.**—Mandatory funds support Historically Black Colleges and Universities (HBCUs) and Predominantly Black Institutions (PBIs) to improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening predominantly Black institutions.**—Discretionary and mandatory funds support grants to predominantly Black institutions to improve and expand their capacity to serve students.

**Strengthening Asian American and Native American Pacific Islander-serving institutions.**—Discretionary and mandatory funds support grants to help Asian American and Native American Pacific Islander-serving institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Strengthening Native American-serving nontribal institutions.**—Discretionary and mandatory funds support grants to help Native American-serving nontribal institutions improve and expand their capacity to serve students and to strengthen management and fiscal operations.

**Minority science and engineering improvement.**—Funds support grants to predominantly minority institutions to help them make long-range improvements in science and engineering education and to increase the participation of minorities in scientific and technological careers.

*Aid for Hispanic-serving institutions:*

**Developing Hispanic-serving institutions.**—Funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students.

**Developing Hispanic-serving institutions STEM and articulation programs.**—Mandatory funds support Hispanic-serving institutions to help them improve and expand their capacity to serve students with priority given to applications that propose to increase the number of Hispanics and other low-income students attaining degrees in the fields of science, technology, engineering, or mathematics; and to develop model transfer and

articulation agreements between 2-year Hispanic-serving institutions and 4-year institutions in such fields.

**Promoting postbaccalaureate opportunities for Hispanic Americans.**—Discretionary and mandatory funds support Hispanic-serving Institutions to help them expand and improve postbaccalaureate educational opportunities.

*Other aid for institutions:*

**International education and foreign language studies programs.**—Funds promote the development and improvement of domestic and overseas international and foreign language programs.

**Fund for the improvement of postsecondary education.**—Funds would support the First in the World initiative, which would include an evidence-based competition with a priority for projects designed to improve college access and student success. Funds would also support development of third-party validation systems to facilitate competency-based learning in particular fields and Pay for Success awards that provide alternative pathways for postsecondary programs that can demonstrate good student outcomes to receive Federal funding.

**Tribally controlled postsecondary career and technical institutions.**—Funds support the operation and improvement of eligible tribally controlled postsecondary career institutions to ensure continued and expanded educational opportunities for Indian students.

**Special programs for migrant students.**—Funds support grants to institutions of higher education and nonprofit organizations that assist migrant students in earning a high school equivalency certificate or in completing their first year of college.

*Assistance for students:*

**Federal TRIO programs.**—Funds support postsecondary education outreach and student support services to help individuals from disadvantaged backgrounds prepare for, enter, and complete college and graduate studies.

**Gaining early awareness and readiness for undergraduate programs.**—Funds support early college preparation and awareness activities at the State and local levels to ensure that low-income elementary and secondary school students are prepared for and pursue postsecondary education.

**Graduate assistance in areas of national need.**—Funds support fellowships to graduate students of superior ability who have financial need for study in areas of national need and continuation awards for Javits Fellowships to students of superior ability who have financial need and who are pursuing terminal degrees in the arts, humanities, and social sciences.

**Child care access means parents in school.**—Funds support a program designed to bolster the participation of low-income parents in postsecondary education through the provision of campus-based child care services.

*Other activities:*

**GPRA data / HEA program evaluation.**—Funds support data collection and evaluation activities for programs under the Higher Education Act (HEA) of 1965. The request for 2014 includes funds to conduct research and test approaches for providing grant, loan, or work assistance under title IV of the HEA in ways that promote access to, and completion of, affordable and high-quality postsecondary education programs.

**College access challenge grants.**—Mandatory funds support grants to States to promote activities designed to increase the number of low-income students who are prepared to enter and succeed in postsecondary education.

**HIGHER EDUCATION—Continued**  
**Object Classification** (in millions of dollars)

| Identification code 91–0201–0–1–502                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 3           | 6       | 73        |
| 25.2 Other services from non-Federal sources .....       | 4           | 5       | 4         |
| 25.3 Other goods and services from Federal sources ..... | 1           | .....   | .....     |
| 25.7 Operation and maintenance of equipment .....        | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 2,272       | 2,297   | 2,534     |
| 99.9 Total new obligations .....                         | 2,281       | 2,309   | 2,612     |

**HIGHER EDUCATION**

(Legislative proposal, subject to PAYGO)

*Community college to career fund.*—Beginning in 2015, provides \$8 billion (\$4 billion each in the Departments of Labor and Education) over three years to support and evaluate community college-based training programs that build the skills of American workers, with a particular emphasis on initiatives with strong State and community college partnerships with businesses. The Fund will be jointly administered by the Departments of Labor and Education and is the successor to the Trade Adjustment Assistance Community College and Career Training program in the Department of Labor, for which 2014 is the final year of funding.

**HOWARD UNIVERSITY**

*For partial support of Howard University, \$234,064,000, of which not less than \$3,593,000 shall be for a matching endowment grant pursuant to the Howard University Endowment Act and shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91–0603–0–1–502                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 General support .....                            | 206         | 206     | 205       |
| 0002 Howard University Hospital .....                 | 29          | 29      | 29        |
| 0900 Total new obligations (object class 41.0) .....  | 235         | 235     | 234       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | .....   | .....     |
| Budget authority:                                     |             |         |           |
| 1100 Appropriations, discretionary:                   |             |         |           |
| Appropriation .....                                   | 234         | 235     | 234       |
| 1160 Appropriation, discretionary (total) .....       | 234         | 235     | 234       |
| 1930 Total budgetary resources available .....        | 235         | 235     | 234       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4           | 5       | 15        |
| 3010 Obligations incurred, unexpired accounts .....   | 235         | 235     | 234       |
| 3020 Outlays (gross) .....                            | –234        | –225    | –234      |
| 3050 Unpaid obligations, end of year .....            | 5           | 15      | 15        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 4           | 5       | 15        |
| 3200 Obligated balance, end of year .....             | 5           | 15      | 15        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 234         | 235     | 234       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 230         | 221     | 220       |
| 4011 Outlays from discretionary balances .....        | 4           | 4       | 14        |

|  |     |     |     |
|--|-----|-----|-----|
| 4020 Outlays, gross (total) .....        | 234 | 225 | 234 |
| 4180 Budget authority, net (total) ..... | 234 | 235 | 234 |
| 4190 Outlays, net (total) .....          | 234 | 225 | 234 |

Howard University is a private, nonprofit educational institution consisting of 12 schools and colleges. Federal funds are used to provide partial support for university programs as well as for the teaching hospital facilities. In 2012, Federal funding represented approximately 43 percent of the university's revenue.

**COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS PROGRAM**

*For Federal administrative expenses to carry out activities related to existing facility loans pursuant to section 121 of the HEA, \$459,000.*

**HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING PROGRAM ACCOUNT**

*For the cost of guaranteed loans, \$20,150,000 as authorized pursuant to part D of title III of the HEA, which shall remain available through September 30, 2015: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed \$320,350,000: Provided further, That these funds may be used to support loans to public and private Historically Black Colleges and Universities without regard to the limitations within section 344(a) of the HEA.*

*In addition, for administrative expenses to carry out the Historically Black College and University Capital Financing Program entered into pursuant to part D of title III of the HEA, \$352,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91–0241–0–1–502                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| Credit program obligations:                               |             |         |           |
| 0701 Direct loan subsidy .....                            | 13          | 20      | 10        |
| 0703 Subsidy for modifications of direct loans .....      | .....       | .....   | 10        |
| 0705 Reestimates of direct loan subsidy .....             | 10          | 1       | .....     |
| 0706 Interest on reestimates of direct loan subsidy ..... | 2           | .....   | .....     |
| 0709 Administrative expenses .....                        | .....       | 1       | 1         |
| 0715 Other .....  | 8           | .....   | .....     |
| 0900 Total new obligations (object class 41.0) .....      | 33          | 22      | 21        |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                            |             |         |           |
| 1100 Appropriation .....                                  | 21          | 21      | 21        |
| 1160 Appropriation, discretionary (total) .....           | 21          | 21      | 21        |
| Appropriations, mandatory:                                |             |         |           |
| 1200 Appropriation .....                                  | 12          | 1       | .....     |
| 1260 Appropriations, mandatory (total) .....              | 12          | 1       | .....     |
| 1900 Budget authority (total) .....                       | 33          | 22      | 21        |
| 1930 Total budgetary resources available .....            | 33          | 22      | 21        |
| <b>Change in obligated balance:</b>                       |             |         |           |
| Unpaid obligations:                                       |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....     | 28          | 35      | 37        |
| 3010 Obligations incurred, unexpired accounts .....       | 33          | 22      | 21        |
| 3020 Outlays (gross) .....                                | –26         | –20     | –19       |
| 3050 Unpaid obligations, end of year .....                | 35          | 37      | 39        |
| Memorandum (non-add) entries:                             |             |         |           |
| 3100 Obligated balance, start of year .....               | 28          | 35      | 37        |
| 3200 Obligated balance, end of year .....                 | 35          | 37      | 39        |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                        | 21          | 21      | 21        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....       | 2           | 5       | 5         |

|      |  |    |    |       |
|------|--|----|----|-------|
| 4011 | Outlays from discretionary balances .....  | 12 | 14 | 14    |
| 4020 | Outlays, gross (total) .....               | 14 | 19 | 19    |
|      | Mandatory:                                 |    |    |       |
| 4090 | Budget authority, gross .....              | 12 | 1  | ..... |
|      | Outlays, gross:                            |    |    |       |
| 4100 | Outlays from new mandatory authority ..... | 12 | 1  | ..... |
| 4180 | Budget authority, net (total) .....        | 33 | 22 | 21    |
| 4190 | Outlays, net (total) .....                 | 26 | 20 | 19    |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 91-0241-0-1-502                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115002 Historically Black Colleges and Universities .....   | 235         | 318     | 320       |
| 115999 Total direct loan levels .....                       | 235         | 318     | 320       |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132002 Historically Black Colleges and Universities .....   | 5.50        | 6.29    | 3.09      |
| 132999 Weighted average subsidy rate .....                  | 5.50        | 6.29    | 3.09      |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133002 Historically Black Colleges and Universities .....   | 13          | 20      | 10        |
| 133999 Total subsidy budget authority .....                 | 13          | 20      | 10        |
| Direct loan subsidy outlays:                                |             |         |           |
| 134002 Historically Black Colleges and Universities .....   | 14          | 7       | 11        |
| 134999 Total subsidy outlays .....                          | 14          | 7       | 11        |
| Direct loan upward reestimates:                             |             |         |           |
| 135002 Historically Black Colleges and Universities .....   | 8           | 1       | .....     |
| 135003 HBCU Hurricane Supplemental .....                    | 4           | .....   | .....     |
| 135999 Total upward reestimate budget authority .....       | 12          | 1       | .....     |
| Direct loan downward reestimates:                           |             |         |           |
| 137001 College housing and academic facilities loans .....  | .....       | -1      | .....     |
| 137002 Historically Black Colleges and Universities .....   | -20         | -27     | .....     |
| 137003 HBCU Hurricane Supplemental .....                    | .....       | -171    | .....     |
| 137999 Total downward reestimate budget authority .....     | -20         | -199    | .....     |
| Administrative expense data:                                |             |         |           |
| 3510 Budget authority .....                                 | 1           | 1       | 1         |

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, as well as any administrative expenses for the College Housing and Academic Facilities Loans Program and the Historically Black College and University Capital Financing Program. The subsidy amounts are estimated on a present value basis; the administrative expenses are on a cash basis. These programs are administered separately but consolidated in the Budget for presentation purposes.

**College housing and academic facilities loans program.**—Funds for this activity pay the Federal costs of administering the College Housing and Academic Facilities Loans (CHAFL), College Housing Loans (CHL), and Higher Education Facilities Loans (HEFL) programs. Prior to 1994, these programs provided financing for the construction, reconstruction, and renovation of housing, academic, and other educational facilities. Although no new loans have been awarded since 1993, the Department of Education will incur costs for administering the outstanding loans through 2030.

**Historically Black college and university capital financing program.**—The Historically Black College and University (HBCU) Capital Financing Program provides HBCUs with access to capital financing for the repair, renovation, and construction of classrooms, libraries, laboratories, dormitories, instructional equipment, and research instrumentation. The authorizing statute gives the Department authority to enter into insurance agreements with a private for-profit Designated Bonding Authority. The bonding authority issues the loans and maintains an escrow account in which 5 percent of each institution's principal is deposited. The Budget requests \$20.150 million in new loan subsidies, allowing the program to guarantee an estimated \$320

million in new loans in 2014. The Budget also requests a 2-year period of availability for this loan subsidy. In addition, the Budget requests funds for the Federal costs of administering the program and providing technical assistance activities that improve the financial stability of HBCUs.

**Employment Summary**

| Identification code 91-0241-0-1-502                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 4       | 4         |

**COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 91-4252-0-3-502                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                | 1           | 1       | 1         |
| 0743 Interest on downward reestimates .....                               | .....       | 1       | .....     |
| 0900 Total new obligations .....  | 1           | 2       | 1         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  | 1           | 2       | 1         |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 1           | 2       | 1         |
| 1930 Total budgetary resources available .....                            | 1           | 2       | 1         |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                       | 1           | 2       | 1         |
| 3020 Financing disbursements (gross) .....                                | -1          | -2      | -1        |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 1           | 2       | 1         |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 1           | 2       | 1         |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources .....  | .....       | -1      | .....     |
| 4123 Interest repayments .....  | -1          | -1      | -1        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -1          | -2      | -1        |

**Status of Direct Loans** (in millions of dollars)

| Identification code 91-4252-0-3-502               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 11          | 10      | 10        |
| 1251 Repayments: Repayments and prepayments ..... | -1          | .....   | .....     |
| 1290 Outstanding, end of year .....               | 10          | 10      | 10        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and 1993. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 91-4252-0-3-502                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 11          | 10          |
| 1405 Allowance for subsidy cost (-) .....                         | -1          | -2          |
| 1499 Net present value of assets related to direct loans .....    | 10          | 8           |
| 1999 Total assets .....   | 10          | 8           |

**COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS FINANCING  
ACCOUNT—Continued  
Balance Sheet—Continued**

| Identification code 91-4252-0-3-502           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>LIABILITIES:</b>                           |             |             |
| 2103 Federal liabilities: Debt .....          | 10          | 8           |
| 4999 Total liabilities and net position ..... | 10          | 8           |

**COLLEGE HOUSING AND ACADEMIC FACILITIES LOANS LIQUIDATING ACCOUNT  
Program and Financing (in millions of dollars)**

| Identification code 91-0242-0-1-502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....  | 4           | 4       | 4         |
| 0900 Total new obligations (object class 43.0) .....  | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 8           |         |           |
| 1023 Unobligated balances applied to repay debt .....   | -8          |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....  | 1           | 1       | 1         |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 26          | 26      | 26        |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -18         | -19     | -19       |
| 1825 Spending authority from offsetting collections applied to repay debt .....               | -5          | -4      | -4        |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 3           | 3       | 3         |
| 1900 Budget authority (total) .....   | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....  | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 4           | 4       | 4         |
| 3020 Outlays (gross) .....  | -4          | -4      | -4        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 4           | 4       | 4         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 4           | 4       | 4         |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | -26         | -26     | -26       |
| 4180 Budget authority, net (total) .....  | -22         | -22     | -22       |
| 4190 Outlays, net (total) .....   | -22         | -22     | -22       |

**Status of Direct Loans (in millions of dollars)**

| Identification code 91-0242-0-1-502               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 141         | 136     | 132       |
| 1251 Repayments: Repayments and prepayments ..... | -5          | -4      | -4        |
| 1290 Outstanding, end of year .....               | 136         | 132     | 128       |

As required by the Federal Credit Reform Act of 1990, the College Housing and Academic Facilities Loans Liquidating Account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account includes loans made under the College Housing and Academic Facilities Loans, College Housing Loans, and Higher Education Facilities Loans programs, which continue to be administered separately.

**Balance Sheet (in millions of dollars)**

| Identification code 91-0242-0-1-502                | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>                                     |             |             |
| 1601 Direct loans, gross .....                     | 141         | 136         |
| 1602 Interest receivable .....                     | 6           | 6           |
| 1699 Value of assets related to direct loans ..... | 147         | 142         |
| 1999 Total assets .....                            | 147         | 142         |
| <b>LIABILITIES:</b>                                |             |             |
| Federal liabilities:                               |             |             |
| 2103 Debt .....                                    | 51          | 46          |
| 2104 Resources payable to Treasury .....           | 96          | 96          |
| 2999 Total liabilities .....                       | 147         | 142         |
| 4999 Total liabilities and net position .....      | 147         | 142         |

**HISTORICALLY BLACK COLLEGE AND UNIVERSITY CAPITAL FINANCING DIRECT  
LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 91-4255-0-3-502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Interest paid to Treasury (FFB) .....   |             |         |           |
| 0004 .....  | 14          | 14      | 15        |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 235         | 318     | 320       |
| 0742 Downward reestimate paid to receipt account .....                          | 14          | 126     |           |
| 0743 Interest on downward reestimates .....                                     | 6           | 72      |           |
| 0791 Direct program activities, subtotal .....                                  | 255         | 516     | 320       |
| 0900 Total new obligations .....  | 269         | 530     | 335       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 391         | 414     | 120       |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 235         | 198     | 367       |
| 1440 Borrowing authority, mandatory (total) .....                               | 235         | 198     | 367       |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 71          | 52      | 57        |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -14         | -14     | -14       |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 57          | 38      | 43        |
| 1900 Financing authority (total) .....  | 292         | 236     | 410       |
| 1930 Total budgetary resources available .....                                  | 683         | 650     | 530       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 414         | 120     | 195       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 192         | 270     | 591       |
| 3010 Obligations incurred, unexpired accounts .....                             | 269         | 530     | 335       |
| 3020 Financing disbursements (gross) .....                                      | -191        | -209    | -202      |
| 3050 Unpaid obligations, end of year .....                                      | 270         | 591     | 724       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -22         | -22     | -22       |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -22         | -22     | -22       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 170         | 248     | 569       |
| 3200 Obligated balance, end of year .....                                       | 248         | 569     | 702       |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 292         | 236     | 410       |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 191         | 209     | 202       |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources .....  | -25         | -7      | -11       |
| 4122 Interest on uninvested funds .....   | -18         | -17     | -18       |
| 4123 Interest repayments .....  | -14         | -14     | -14       |
| 4123 Principal repayments .....   | -14         | -14     | -14       |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -71 | -52 | -57 |
| 4160 | Financing authority, net (mandatory) .....                           | 221 | 184 | 353 |
| 4170 | Financing disbursements, net (mandatory) .....                       | 120 | 157 | 145 |
| 4180 | Financing authority, net (total) .....                               | 221 | 184 | 353 |
| 4190 | Financing disbursements, net (total) .....                           | 120 | 157 | 145 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 91-4255-0-3-502                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 368         | 368     | 320       |
| 1142 Unobligated direct loan limitation (-) .....                      | -133        | -50     |           |
| 1150 Total direct loan obligations .....                               | 235         | 318     | 320       |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 782         | 929     | 1,046     |
| 1231 Disbursements: Direct loan disbursements .....                    | 161         | 138     | 186       |
| 1251 Repayments: Repayments and prepayments .....                      | -14         | -21     | -21       |
| 1290 Outstanding, end of year .....                                    | 929         | 1,046   | 1,211     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Federal Government resulting from direct loans obligated in 1996 and beyond. The Federal Financing Bank (FFB) purchases bonds issued by the HBCU Designated Bonding Authority. Under the policies governing Federal credit programs, bonds purchased by the FFB and supported by the Department of Education with a letter of credit create the equivalent of a Federal direct loan. HBCU bonds are also available for purchase by the private sector, and these will be treated as loan guarantees. However, the Department anticipates that all HBCU loans will be financed by the FFB. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 91-4255-0-3-502                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 280         | 365         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 822         | 929         |
| 1402 Interest receivable .....                                    | 12          | 6           |
| 1405 Allowance for subsidy cost (-) .....                         | -280        | -365        |
| 1499 Net present value of assets related to direct loans .....    | 554         | 570         |
| 1999 Total assets .....   | 834         | 935         |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2102 Interest payable .....                                       | 12          | 6           |
| 2103 Debt .....   | 822         | 929         |
| 2999 Total liabilities .....                                      | 834         | 935         |
| 4999 Total liabilities and net position .....                     | 834         | 935         |

**OFFICE OF FEDERAL STUDENT AID****Federal Funds****STUDENT FINANCIAL ASSISTANCE**

For carrying out subparts 1, 3, and 10 of part A, and part C of title IV of the HEA, \$24,685,281,000, which shall remain available through September 30, 2015: Provided, That, of amounts provided under this heading, \$3,022,000,000 shall also be available for Pell Grants for award year 2015-2016.

The maximum Pell Grant for which a student shall be eligible during award year 2014-2015 shall be \$4,860.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91-0200-0-1-502                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0101 Federal Pell grants .....  | 34,308      | 32,274  | 34,921    |
| 0201 Federal supplemental educational opportunity grants (SEOG) ..... | 738         | 740     | 735       |
| 0202 Federal work-study .....   | 986         | 983     | 1,127     |
| 0291 Campus-based activities - Subtotal .....                         | 1,724       | 1,723   | 1,862     |
| 0900 Total new obligations (object class 41.0) .....                  | 36,032      | 33,997  | 36,783    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 1,007       | 8,464   | 11,593    |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....       | 1,007       | 8,464   |           |
| 1021 Recoveries of prior year unpaid obligations .....                | 210         |         |           |
| 1050 Unobligated balance (total) .....                                | 1,217       | 8,464   | 11,593    |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 24,539      | 24,685  | 24,685    |
| 1130 Appropriations permanently reduced .....                         | -3          |         |           |
| 1160 Appropriation, discretionary (total) .....                       | 24,536      | 24,685  | 24,685    |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 18,745      | 12,441  | 7,044     |
| 1260 Appropriations, mandatory (total) .....                          | 18,745      | 12,441  | 7,044     |
| 1900 Budget authority (total) .....                                   | 43,281      | 37,126  | 31,729    |
| 1930 Total budgetary resources available .....                        | 44,498      | 45,590  | 43,322    |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....                               | -2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                 | 8,464       | 11,593  | 6,539     |

**Change in obligated balance:**

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 22,667  | 23,468  | 23,232  |
| 3010 Obligations incurred, unexpired accounts .....               | 36,032  | 33,997  | 36,783  |
| 3011 Obligations incurred, expired accounts .....                 | 205     |         |         |
| 3020 Outlays (gross) .....  | -34,980 | -34,233 | -35,386 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -210    |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -246    |         |         |
| 3050 Unpaid obligations, end of year .....                        | 23,468  | 23,232  | 24,629  |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 22,667  | 23,468  | 23,232  |
| 3200 Obligated balance, end of year .....                         | 23,468  | 23,232  | 24,629  |

**Budget authority and outlays, net:**

|   |        |        |        |
|---|--------|--------|--------|
| Discretionary:                                      |        |        |        |
| 4000 Budget authority, gross .....                  | 24,536 | 24,685 | 24,685 |
| Outlays, gross:                                     |        |        |        |
| 4010 Outlays from new discretionary authority ..... | 7,597  | 6,212  | 5,410  |
| 4011 Outlays from discretionary balances .....      | 15,411 | 16,778 | 18,474 |
| 4020 Outlays, gross (total) .....                   | 23,008 | 22,990 | 23,884 |
| Mandatory:  |        |        |        |
| 4090 Budget authority, gross .....                  | 18,745 | 12,441 | 7,044  |
| Outlays, gross:                                     |        |        |        |
| 4100 Outlays from new mandatory authority .....     | 4,017  | 840    | 1,735  |
| 4101 Outlays from mandatory balances .....          | 7,955  | 10,403 | 9,767  |
| 4110 Outlays, gross (total) .....                   | 11,972 | 11,243 | 11,502 |
| 4180 Budget authority, net (total) .....            | 43,281 | 37,126 | 31,729 |
| 4190 Outlays, net (total) .....                     | 34,980 | 34,233 | 35,386 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 91-0200-0-1-502               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 308         | 303     | 299       |
| 1251 Repayments: Repayments and prepayments ..... | -25         | -24     | -24       |
| Write-offs for default:                           |             |         |           |
| 1263 Direct loans .....                           | -1          | -1      | -1        |
| 1264 Other adjustments, net (+ or -) .....        | 21          | 21      | 20        |
| 1290 Outstanding, end of year .....               | 303         | 299     | 294       |

Notes.—Figures include, in all years, institutional matching share of defaulted notes assigned from institutions to the Education Department.

Funding from the Student Financial Assistance account and related matching funds would provide over 12.2 million awards

## STUDENT FINANCIAL ASSISTANCE—Continued

totaling more than \$38.5 billion in available aid in award year 2014–2015.

**Federal Pell grants.**—Pell Grants are the single largest source of grant aid for postsecondary education. Funding for this program is provided from two sources: discretionary appropriations and mandatory budget authority provided by the College Cost Reduction and Access Act, as amended, the Budget Control Act, and changes to the Higher Education Act of 1965 made in the 2011 and 2012 appropriations acts.

In 2014, 9.4 million undergraduates will receive up to \$4,860 from the discretionary award and an additional \$925 from the mandatory add-on to help pay for postsecondary education. Undergraduate students establish eligibility for these grants under award and need determination rules set out in the authorizing statute and annual appropriations act. The 2014 Budget request includes \$22.8 billion in discretionary funding for Pell Grants in 2014, which, when combined with previously provided mandatory funding, will support a projected maximum award of \$5,785.

**Federal supplemental educational opportunity grants (SEOG).**—Federal funds are awarded by formula to qualifying institutions, which use these funds to award grants to undergraduate students. While institutions have discretion in awarding these funds, they are required to give priority to Pell Grant recipients and other students with exceptional need. The Federal share of these grants cannot exceed 75 percent of the total grant. The 2014 Budget includes \$735 million for SEOG, which would generate \$982 million in aid to 1.6 million students.

**Federal work-study.**—Federal funds are awarded by formula to qualifying institutions, which provide part-time jobs to eligible undergraduate and graduate students. Hourly earnings under this program must be at least the Federal minimum wage. Federal funding, in most cases, pays 75 percent of a student's hourly wages, with the remaining 25 percent paid by the employer. The Federal Work-Study program also requires participating institutions to use at least seven percent of their total funds for students employed in community service jobs. The 2014 Budget includes \$1.13 billion for Work-Study, which would generate \$1.35 billion in aid to 809,000 students, representing increases of \$150 million and 112,000 recipients over the 2012 level.

**Federal Perkins loans.**—Institutions award low-interest loans from institutional revolving funds, which are comprised of Federal Capital Contributions, institutional matching funds, and student repayments on outstanding loans. No new Federal Capital Contributions have been appropriated since 2004. The Budget proposes to modernize and expand the Perkins Loan program so more colleges can participate and more students can access these loans. The proposal would increase, beginning on July 1, 2014, the annual loan amounts available to students to \$8.5 billion from the current \$1 billion. Rather than operating through institutional revolving funds, the Federal Government would originate and service Perkins Loans. Loan volume would be allocated among degree-granting institutions. This new formula will encourage colleges to control costs and offer need-based aid to prevent excessive indebtedness. Schools would have some discretion about student eligibility. Perkins Loan borrowers would be charged the same interest rate as Unsubsidized Stafford Loan borrowers. The 2014 Budget proposes to change how interest rates for all new student loans are calculated to better reflect current market conditions. For Perkins, this would mean an annually adjusted interest rate based on the 10-year Treasury bill plus 293 basis points, fixed at that rate for the life of the loan. These loans would accrue interest while students are in school, and other loan terms and conditions would be the same as current

Unsubsidized Stafford loans. As current Perkins Loan borrowers repay their loans, schools would remit the Federal share of those payments to the Department of Education, beginning at the statutory date described in the Higher Education Act of 1965. Schools would retain their own share of the revolving funds, as well as amounts sufficient to cover the costs of the various Perkins Loan forgiveness provisions. Mandatory loan subsidy costs of this proposal would reduce 2014 outlays by \$673 million and are displayed in the Federal Perkins Loan program account.

**Iraq and Afghanistan service grants.**—This program, which became effective July 1, 2010, provides non-need-based grants to students whose parent or guardian was a member of the Armed Forces and died in Iraq or Afghanistan as a result of performing military service after September 11, 2001. Service Grants are equal to the maximum Pell Grant for a given award year. The Administration anticipates spending \$231,000 to support an estimated maximum of 1,000 awards in 2014.

**Funding tables.**—The following tables display student aid funds available, the number of aid awards, average awards, and the unduplicated count of recipients from each Federal student aid program. Loan amounts reflect the amount actually loaned to borrowers, not the Federal cost of these loans. The data in these tables include matching funds wherever appropriate. The 2014 data in these tables reflect the Administration's legislative proposals.

## AID FUNDS AVAILABLE FOR POSTSECONDARY EDUCATION AND TRAINING

|   | [in thousands of dollars] |                        |             |
|---|---------------------------|------------------------|-------------|
|   | 2012                      | 2013                   | 2014        |
| Pell grants .....                                 | 32,798,175                | 33,728,145             | 35,325,135  |
| Student loans:                                    |                           |                        |             |
| Direct student loans:                             |                           |                        |             |
| Stafford loans .....                              | 33,612,370                | 28,645,382             | 29,345,724  |
| Unsubsidized Stafford loans .....                 | 53,887,607                | 59,185,855             | 62,729,063  |
| PLUS .....  | 17,851,442                | 18,602,421             | 19,986,972  |
| Consolidation .....                               | 35,964,152                | 27,049,105             | 27,938,030  |
| Perkins loans .....                               | 856,789                   | 856,789                | 856,789     |
| Unsubsidized Perkins loans .....                  | 0                         | 0                      | 4,113,423   |
| Student loans, subtotal .....                     | 142,172,360               | 134,339,552            | 144,970,001 |
| Work-study .....                                  | 1,161,950                 | 1,169,316 <sup>1</sup> | 1,349,620   |
| Supplemental educational opportunity grants ..... | 981,994                   | 988,005 <sup>1</sup>   | 981,994     |
| Iraq and Afghanistan service grants .....         | 257                       | 225                    | 268         |
| TEACH grants .....                                | 110,698                   | 116,233                | 87,030      |
| Presidential teaching fellows .....               | 0                         | 0                      | 149,030     |
| Total aid available .....                         | 177,225,434               | 170,341,475            | 182,863,079 |

<sup>1</sup>Includes 0.612 percent across-the-board increase provided by P.L. 112–175.

## NUMBER OF AID AWARDS

|  | [in thousands] |                    |                |
|--|----------------|--------------------|----------------|
|  | 2012           | 2013               | 2014           |
| Pell grants .....                                      | 8,965          | 9,171              | 9,373          |
| Direct student loans—Stafford loans .....              | 9,911          | 8,941              | 9,153          |
| Direct student loans—Unsubsidized Stafford loans ..... | 10,924         | 10,973             | 11,420         |
| Direct student loans—PLUS .....                        | 1,347          | 1,291              | 1,318          |
| Direct student loans—Consolidation .....               | 2,579          | 690                | 600            |
| Perkins loans .....                                    | 461            | 461                | 461            |
| Unsubsidized Perkins loans .....                       | 0              | 0                  | 751            |
| Work-study .....                                       | 697            | 701 <sup>1</sup>   | 809            |
| Supplemental educational opportunity grants .....      | 1,584          | 1,594 <sup>1</sup> | 1,584          |
| Iraq and Afghanistan service grants .....              | 0 <sup>2</sup> | 0 <sup>2</sup>     | 0 <sup>2</sup> |
| TEACH grants .....                                     | 37             | 39                 | 30             |
| Presidential teaching fellows .....                    | 0              | 0                  | 15             |
| Total awards .....                                     | 36,503         | 33,860             | 35,514         |

<sup>1</sup>Reflects the impact of 0.612 percent across-the-board increase provided by P.L. 112–175.

<sup>2</sup>Number of recipients is fewer than 1,000.

## AVERAGE AID AWARDS

|                   | [in whole dollars] |       |       |
|-------------------|--------------------|-------|-------|
|                   | 2012               | 2013  | 2014  |
| Pell grants ..... | 3,658              | 3,678 | 3,769 |



|  |        |                    |        |
|--|--------|--------------------|--------|
| Direct student loans-Stafford loans .....              | 3,392  | 3,204              | 3,206  |
| Direct student loans-Unsubsidized Stafford loans ..... | 4,933  | 5,394              | 5,493  |
| Direct student loans-PLUS .....                        | 13,256 | 14,410             | 15,169 |
| Direct student loans-Consolidation .....               | 13,946 | 39,201             | 46,537 |
| Perkins loans .....                                    | 1,860  | 1,860              | 1,860  |
| Unsubsidized Perkins loans .....                       | 0      | 0                  | 5,476  |
| Work-study .....                                       | 1,668  | 1,668 <sup>1</sup> | 1,668  |
| Supplemental educational opportunity grants .....      | 620    | 620 <sup>1</sup>   | 620    |
| Iraq and Afghanistan service grants .....              | 4,759  | 4,884              | 4,963  |
| TEACH grants .....                                     | 2,979  | 2,979              | 2,925  |
| Presidential teaching fellows .....                    | 0      | 0                  | 10,000 |

<sup>1</sup>Reflects the impact of 0.612 percent across-the-board increase provided by P.L. 112–175.

#### NUMBER OF STUDENTS AIDED

[in thousands]

|                                  | 2012   | 2013                | 2014   |
|----------------------------------|--------|---------------------|--------|
| Unduplicated student count ..... | 13,941 | 14,257 <sup>1</sup> | 14,694 |

<sup>1</sup>Reflects the impact of 0.612 percent across-the-board increase provided by P.L. 112–175.

#### ADMINISTRATIVE PAYMENTS TO INSTITUTIONS

[in thousands of dollars]

|   | 2012   | 2013                | 2014   |
|---|--------|---------------------|--------|
| Pell grants .....                                 | 44,825 | 45,855              | 46,865 |
| Work-study .....                                  | 72,748 | 73,206 <sup>1</sup> | 72,748 |
| Supplemental educational opportunity grants ..... | 39,280 | 39,520 <sup>1</sup> | 39,280 |
| Perkins loans .....                               | 34,272 | 34,272              | 34,272 |

<sup>1</sup>Reflects the impact of 0.612 percent across-the-board increase provided by P.L. 112–175.

#### STUDENT AID ADMINISTRATION

*For Federal administrative expenses to carry out part D of title I, and subparts 1, 3, 9, and 10 of part A, and parts B, C, D, and E of title IV of the HEA, \$1,050,091,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 91–0202–0–1–502                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Student aid administration .....                             | 904         | 1,076   | 1,170     |
| 0002 Discretionary servicing activities .....                     | 349         | 431     | 317       |
| 0900 Total new obligations .....                                  | 1,253       | 1,507   | 1,487     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 3           | 72      | .....     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 3           | 72      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 22          | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 25          | 72      | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 1,045       | 1,049   | 1,050     |
| 1121 Appropriations transferred from other accts [75–0340] .....  | .....       | .....   | 3         |
| 1130 Appropriations permanently reduced .....                     | –2          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                   | 1,043       | 1,049   | 1,053     |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 277         | 386     | 434       |
| 1260 Appropriations, mandatory (total) .....                      | 277         | 386     | 434       |
| 1900 Budget authority (total) .....                               | 1,320       | 1,435   | 1,487     |
| 1930 Total budgetary resources available .....                    | 1,345       | 1,507   | 1,487     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1940 Unobligated balance expiring .....                           | –20         | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....             | 72          | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 528         | 576     | 863       |
| 3010 Obligations incurred, unexpired accounts .....               | 1,253       | 1,507   | 1,487     |
| 3020 Outlays (gross) .....  | –1,178      | –1,220  | –1,391    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –22         | .....   | .....     |

|   |       |       |       |
|---|-------|-------|-------|
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –5    | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                      | 576   | 863   | 959   |
| Memorandum (non-add) entries:                                   |       |       |       |
| 3100 Obligated balance, start of year .....                     | 528   | 576   | 863   |
| 3200 Obligated balance, end of year .....                       | 576   | 863   | 959   |
| <b>Budget authority and outlays, net:</b>                       |       |       |       |
| Discretionary:  |       |       |       |
| 4000 Budget authority, gross .....                              | 1,043 | 1,049 | 1,053 |
| Outlays, gross:   |       |       |       |
| 4010 Outlays from new discretionary authority .....             | 557   | 590   | 591   |
| 4011 Outlays from discretionary balances .....                  | 410   | 369   | 432   |
| 4020 Outlays, gross (total) .....                               | 967   | 959   | 1,023 |
| Mandatory:  |       |       |       |
| 4090 Budget authority, gross .....                              | 277   | 386   | 434   |
| Outlays, gross:   |       |       |       |
| 4100 Outlays from new mandatory authority .....                 | 149   | 185   | 208   |
| 4101 Outlays from mandatory balances .....                      | 62    | 76    | 160   |
| 4110 Outlays, gross (total) .....                               | 211   | 261   | 368   |
| 4180 Budget authority, net (total) .....                        | 1,320 | 1,435 | 1,487 |
| 4190 Outlays, net (total) .....                                 | 1,178 | 1,220 | 1,391 |

The Department of Education manages Federal student aid programs that will provide nearly \$183 billion in new Federal student aid grants and loans to 14.7 million students and parents in 2014. Primary responsibility for administering these programs lies with the Office of Postsecondary Education and the performance-based Federal Student Aid (FSA), which are both overseen by the Office of the Under Secretary. FSA was created by Congress in 1998 with a mandate to improve service to students and other student aid program participants, reduce student aid administration costs, and improve accountability and program integrity.

#### Student Aid Administration

The 2014 Budget includes \$733 million for student aid administration activities, \$317 million for loan servicing activities, and \$3 million in transferred budget authority for administering the Health Education Assistance Loan Program (HEAL), for a total of \$1.053 billion in discretionary budget authority. The 2014 Budget includes appropriations language to transfer the HEAL Program from the Secretary of Health and Human Services (HHS) to the Secretary of Education (ED). Administrative functions supported by these discretionary funds include: processing student aid applications; providing and tracking aid awards to students, parents, and schools; promoting efforts to reach key student populations; and simplifying the student aid application. In addition, the 2014 Budget includes \$434 million in mandatory funds for administrative costs of servicing contracts with Not-For-Profit (NFP) loan servicers.

#### Servicing Cost Assumptions:

The following table details the major assumptions driving servicing costs for Federal student loans. Servicing costs are largely determined by volume (average borrower accounts per month) and the average contractual unit costs negotiated to service the volume. Average borrower accounts per month are calculated by the distribution of new unique borrower accounts to one of the multiple servicers contracted with the Department. Currently, the Department contracts with 16 servicers, through the Common Servicers for Borrowers (CSB) contract, the Title IV Additional Servicers (TIVAS) contract, and the new Not-For-Profit Servicers (NFP) contract. The average unit cost to service each borrower is derived by contractual pricing schedules based on different borrower statuses (e.g., in-school, in-grace/current repayment, deferment/forbearance, and delinquency). Differences in distribution among loan statuses will affect overall unit costs due to different pricing for different statuses (e.g., in-repayment borrowers cost more to service than in-school borrowers).

#### Trends in Assumptions:

## STUDENT AID ADMINISTRATION—Continued

The increases in average unit cost from 2012 to 2013, and 2013 to 2014, under the NFP servicers, are partly due to the maturity of the 100 percent Direct Loan portfolio as more loans shift from in-school to in-repayment, and the assumption that borrowers will be shifted from the CSB servicer to new NFP servicers, in accordance with Section 2212 of the Health Care and Education Reconciliation Act of 2010 (Pub. L. 111–152, 124 Stat. 1029). By comparison, the unit costs for the For-Profit servicers will likely decrease between 2013 and 2014, because the current CSB servicer unit costs are higher for this portfolio than the TIVAS For-Profit Servicers' unit costs.

## Student Aid Administration Servicing Variables

|  | 2012       | 2013       | 2014       |
|--|------------|------------|------------|
| For-Profit Servicers Average Borrower Accounts per Month .....             | 18,613,305 | 16,613,609 | 17,736,571 |
| For-Profit Servicers Average Unit Cost per Month (whole dollars) .....     | \$1.56     | \$1.65     | \$1.45     |
| Not-For-Profit Servicers Average Borrower Accounts per Month .....         | 11,086,907 | 16,549,824 | 19,042,873 |
| Not-For-Profit Servicers Average Unit Cost per Month (whole dollars) ..... | \$1.67     | \$1.79     | \$1.85     |

## Object Classification (in millions of dollars)

| Identification code 91–0202–0–1–502                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 135         | 137     | 138       |
| 11.3 Other than full-time permanent .....                       | 1           |         |           |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 138         | 139     | 140       |
| 12.1 Civilian personnel benefits .....                          | 39          | 39      | 40        |
| 21.0 Travel and transportation of persons .....                 | 2           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 14          | 19      | 19        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           |         |           |
| 24.0 Printing and reproduction .....                            | 3           | 2       | 1         |
| 25.1 Advisory and assistance services .....                     | 9           | 2       | 5         |
| 25.2 Other services from non-Federal sources .....              | 640         | 820     | 793       |
| 25.3 Other goods and services from Federal sources .....        | 23          | 18      | 20        |
| 25.7 Operation and maintenance of equipment .....               | 381         | 464     | 464       |
| 31.0 Equipment .....  | 3           |         | 1         |
| 99.0 Direct obligations .....                                   | 1,253       | 1,506   | 1,486     |
| 99.5 Below reporting threshold .....                            |             | 1       | 1         |
| 99.9 Total new obligations .....                                | 1,253       | 1,507   | 1,487     |

## Employment Summary

| Identification code 91–0202–0–1–502                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,325       | 1,326   | 1,321     |

## ACADEMIC COMPETITIVENESS/SMART GRANT PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 91–0205–0–1–502                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Change in obligated balance:                                    |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 10          | 2       |           |
| 3011 Obligations incurred, expired accounts .....               | 3           |         |           |
| 3020 Outlays (gross) .....                                      | –9          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          | –2      |           |
| 3050 Unpaid obligations, end of year .....                      | 2           |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 10          | 2       |           |
| 3200 Obligated balance, end of year .....                       | 2           |         |           |
| Budget authority and outlays, net:                              |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                      | 9           |         |           |
| 4190 Outlays, net (total) .....                                 | 9           |         |           |

The Academic Competitiveness Grant and Science and Mathematics Access to Retain Talent Grant programs expired July 1, 2011. This account reflects the final transactions of grants provided in prior years.

## TEACHER EDUCATION ASSISTANCE

## Program and Financing (in millions of dollars)

| Identification code 91–0206–0–1–502                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                                |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0701 Direct loan subsidy .....                                  | 14          | 2       | 2         |
| 0705 Reestimates of direct loan subsidy .....                   | 18          | 1       |           |
| 0706 Interest on reestimates of direct loan subsidy .....       | 2           |         |           |
| 0900 Total new obligations (object class 41.0) .....            | 34          | 3       | 2         |
| Budgetary Resources:  |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation (indefinite) - Loan subsidy .....            | 14          | 2       | 2         |
| 1200 Appropriation (indefinite) - Upward reestimate .....       | 20          | 1       |           |
| 1260 Appropriations, mandatory (total) .....                    | 34          | 3       | 2         |
| 1930 Total budgetary resources available .....                  | 34          | 3       | 2         |
| Change in obligated balance:                                    |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 8           | 4       |           |
| 3010 Obligations incurred, unexpired accounts .....             | 34          | 3       | 2         |
| 3020 Outlays (gross) .....                                      | –35         | –7      | –2        |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 4           |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 8           | 4       |           |
| 3200 Obligated balance, end of year .....                       | 4           |         |           |
| Budget authority and outlays, net:                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 34          | 3       | 2         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 30          | 2       | 1         |
| 4101 Outlays from mandatory balances .....                      | 5           | 5       | 1         |
| 4110 Outlays, gross (total) .....                               | 35          | 7       | 2         |
| 4180 Budget authority, net (total) .....                        | 34          | 3       | 2         |
| 4190 Outlays, net (total) .....                                 | 35          | 7       | 2         |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 34          | 3       | 2         |
| Outlays .....                           | 35          | 7       | 2         |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 189       |
| Outlays .....                           |             |         | 5         |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 34          | 3       | 191       |
| Outlays .....                           | 35          | 7       | 7         |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 91–0206–0–1–502                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 TEACH Grants .....                                   | 138         | 125     | 132       |
| 115999 Total direct loan levels .....                       | 138         | 125     | 132       |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 TEACH Grants .....                                   | 10.25       | 1.48    | 1.52      |
| 132999 Weighted average subsidy rate .....                  | 10.25       | 1.48    | 1.52      |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 TEACH Grants .....                                   | 14          | 2       | 2         |
| 133999 Total subsidy budget authority .....                 | 14          | 2       | 2         |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 TEACH Grants .....                                   | 14          | 5       | 2         |

|        |  |    |     |   |
|--------|--|----|-----|---|
| 134999 | Total subsidy outlays .....                      | 14 | 5   | 2 |
|        | Direct loan upward reestimates:                  |    |     |   |
| 135001 | TEACH Grants .....                               | 20 | 2   |   |
| 135999 | Total upward reestimate budget authority .....   | 20 | 2   |   |
|        | Direct loan downward reestimates:                |    |     |   |
| 137001 | TEACH Grants .....                               |    | -17 |   |
| 137999 | Total downward reestimate budget authority ..... |    | -17 |   |

The TEACH Grant program, authorized by the College Cost Reduction and Access Act of 2007, awards annual grants of up to \$4,000 to full- or part-time undergraduate and graduate students who agree to teach mathematics, science, foreign languages, bilingual education, special education, or reading at a high-poverty school for not less than four years within eight years of graduating. The program began awarding grants in the 2008–2009 award year. Students must have a grade point average of 3.25 or higher to be eligible to receive a grant. Students who fail to fulfill the service requirements must repay the grants, including interest accrued from the time of award.

Because TEACH Grants turn into loans in cases where the service requirements are not fulfilled, for budget and accounting purposes the program is operated consistent with the requirements of the Federal Credit Reform Act of 1990. This program account records subsidy costs reflecting the net present value of the estimated lifetime Federal program costs for grants awarded in a given fiscal year. Under this approach the subsidy cost reflects the cost of grant awards net of expected future repayments for grants that are converted to loans.

The 2014 Budget proposes to overhaul the TEACH Grant program, as of the end of the 2013–2014 academic year, and replace it with a new, targeted teacher recruitment and retention program, Presidential Teaching Fellows. This new program would provide grants to States that meet certain conditions to supply scholarships of up to \$10,000 to talented individuals attending the most effective programs in the State. These individuals would commit to teaching for at least three years in a high-need school and subject. To be eligible for funds, States would measure the effectiveness of their teacher preparation programs based on student achievement data of their graduates, among other measures; hold teacher preparation programs accountable for results; and upgrade licensure and certification standards.

## TEACHER EDUCATION ASSISTANCE

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 91–0206–4–1–502                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0002 Presidential teaching fellows .....               |             |         | 190       |
| Credit program obligations:                            |             |         |           |
| 0701 Direct loan subsidy .....                         |             |         | -1        |
| 0900 Total new obligations (object class 41.0) .....   |             |         | 189       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Budget authority:                                      |             |         |           |
| Appropriations, mandatory:                             |             |         |           |
| 1200 Appropriation (indefinite) - Loan subsidy .....   |             |         | -1        |
| 1200 Appropriation Presidential Teaching Fellows ..... |             |         | 190       |
| 1260 Appropriations, mandatory (total) .....           |             |         | 189       |
| 1930 Total budgetary resources available .....         |             |         | 189       |
| <b>Change in obligated balance:</b>                    |             |         |           |
| Unpaid obligations:                                    |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....    |             |         | 189       |
| 3020 Outlays (gross) .....                             |             |         | -5        |
| 3050 Unpaid obligations, end of year .....             |             |         | 184       |

|   |  |  |     |
|---|--|--|-----|
| Memorandum (non-add) entries:                   |  |  |     |
| 3200 Obligated balance, end of year .....       |  |  | 184 |
| <b>Budget authority and outlays, net:</b>       |  |  |     |
| Mandatory:                                      |  |  |     |
| 4090 Budget authority, gross .....              |  |  | 189 |
| Outlays, gross:                                 |  |  |     |
| 4100 Outlays from new mandatory authority ..... |  |  | 5   |
| 4180 Budget authority, net (total) .....        |  |  | 189 |
| 4190 Outlays, net (total) .....                 |  |  | 5   |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 91–0206–4–1–502                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 TEACH Grants .....                                   |             |         | -38       |
| 115999 Total direct loan levels .....                       |             |         | -38       |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 TEACH Grants .....                                   |             |         | 0.00      |
| 132999 Weighted average subsidy rate .....                  |             |         | 0.00      |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 TEACH Grants .....                                   |             |         | -1        |
| 133999 Total subsidy budget authority .....                 |             |         | -1        |

## TEACH GRANT FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 91–4290–0–3–502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 138         | 125     | 132       |
| 0713 Payment of interest to Treasury .....                                      | 15          | 20      | 30        |
| 0742 Downward reestimate paid to receipt account .....                          |             | 16      |           |
| 0743 Interest on downward reestimates .....                                     |             | 1       |           |
| 0900 Total new obligations .....  | 153         | 162     | 162       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 24          | 9       | 10        |
| 1023 Unobligated balances applied to repay debt .....                           | -9          |         |           |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | -15         | -9      | -10       |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 130         | 157     | 160       |
| 1440 Borrowing authority, mandatory (total) .....                               | 130         | 157     | 160       |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 45          | 17      | 17        |
| 1801 Change in uncollected payments, Federal sources .....                      | -1          | 1       | 1         |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -21         | -13     | -16       |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 23          | 5       | 2         |
| 1900 Financing authority (total) .....  | 153         | 162     | 162       |
| 1930 Total budgetary resources available .....                                  | 153         | 162     | 162       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 88          | 82      | 79        |
| 3010 Obligations incurred, unexpired accounts .....                             | 153         | 162     | 162       |
| 3020 Financing disbursements (gross) .....                                      | -135        | -156    | -169      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -24         | -9      | -10       |
| 3050 Unpaid obligations, end of year .....                                      | 82          | 79      | 62        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -5          | -4      | -5        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | 1           | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -4          | -5      | -6        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 83          | 78      | 74        |
| 3200 Obligated balance, end of year .....                                       | 78          | 74      | 56        |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 153         | 162     | 162       |

**TEACH GRANT FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 91–4290–0–3–502                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 135         | 156     | 169       |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Upward Reestimate .....  | –14         | –1      |           |
| 4120 Upward Reestimate, interest .....                                    | –18         |         |           |
| 4120 Subsidy from Program Account .....                                   | –2          | –5      | –2        |
| 4122 Interest on uninvested funds .....                                   | –4          |         |           |
| 4123 Payment of Principal .....   | –7          | –6      | –9        |
| 4123 Interest Received .....  |             | –5      | –6        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –45         | –17     | –17       |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 1           | –1      | –1        |
| 4160 Financing authority, net (mandatory) .....                           | 109         | 144     | 144       |
| 4170 Financing disbursements, net (mandatory) .....                       | 90          | 139     | 152       |
| 4180 Financing authority, net (total) .....                               | 109         | 144     | 144       |
| 4190 Financing disbursements, net (total) .....                           | 90          | 139     | 152       |

**Status of Direct Loans (in millions of dollars)**

| Identification code 91–4290–0–3–502                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 138         | 125     | 132       |
| 1150 Total direct loan obligations .....                               | 138         | 125     | 132       |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 278         | 396     | 508       |
| 1231 Disbursements: Direct loan disbursements .....                    | 125         | 118     | 120       |
| 1251 Repayments: Repayments and prepayments .....                      | –7          | –6      | –9        |
| 1290 Outstanding, end of year .....                                    | 396         | 508     | 619       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the TEACH Grant program. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 91–4290–0–3–502                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 28          | 27          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 278         | 396         |
| 1402 Interest receivable .....                                    | 22          | 41          |
| 1405 Allowance for subsidy cost (-) .....                         | –46         | –93         |
| 1499 Net present value of assets related to direct loans .....    | 254         | 344         |
| 1999 Total assets .....   | 282         | 371         |
| LIABILITIES:  |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....                                       |             | 1           |
| 2103 Debt .....   | 282         | 370         |
| 2999 Total liabilities .....                                      | 282         | 371         |
| 4999 Total liabilities and net position .....                     | 282         | 371         |

**TEACH GRANT FINANCING ACCOUNT**  
**(Legislative proposal, subject to PAYGO)****Program and Financing (in millions of dollars)**

| Identification code 91–4290–4–3–502        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:           |             |         |           |
| Credit program obligations:                |             |         |           |
| 0710 Direct loan obligations .....         |             |         | –38       |
| 0713 Payment of interest to Treasury ..... |             |         | –6        |
| 0900 Total new obligations .....           |             |         | –44       |

**Budgetary Resources:**

|   |  |  |     |
|---|--|--|-----|
| Unobligated balance:  |  |  |     |
| 1021 Recoveries of prior year unpaid obligations .....          |  |  | –3  |
| 1024 Unobligated balance of borrowing authority withdrawn ..... |  |  | 3   |
| Financing authority:  |  |  |     |
| Borrowing authority, mandatory:                                 |  |  |     |
| 1400 Borrowing authority .....                                  |  |  | –44 |
| 1440 Borrowing authority, mandatory (total) .....               |  |  | –44 |
| 1930 Total budgetary resources available .....                  |  |  | –44 |

**Change in obligated balance:**

|   |  |  |     |
|---|--|--|-----|
| Unpaid obligations:   |  |  |     |
| 3010 Obligations incurred, unexpired accounts .....               |  |  | –44 |
| 3020 Financing disbursements (gross) .....                        |  |  | 44  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... |  |  | 3   |
| 3050 Unpaid obligations, end of year .....                        |  |  | 3   |
| Memorandum (non-add) entries:                                     |  |  |     |
| 3200 Obligated balance, end of year .....                         |  |  | 3   |

**Financing authority and disbursements, net:**

|   |  |  |     |
|---|--|--|-----|
| Mandatory:                                      |  |  |     |
| 4090 Financing authority, gross .....           |  |  | –44 |
| Financing disbursements:                        |  |  |     |
| 4110 Financing disbursements, gross .....       |  |  | –44 |
| 4180 Financing authority, net (total) .....     |  |  | –44 |
| 4190 Financing disbursements, net (total) ..... |  |  | –44 |

**Status of Direct Loans (in millions of dollars)**

| Identification code 91–4290–4–3–502                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              |             |         | –38       |
| 1150 Total direct loan obligations .....                               |             |         | –38       |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  |             |         |           |
| 1231 Disbursements: Direct loan disbursements .....                    |             |         | –38       |
| 1251 Repayments: Repayments and prepayments .....                      |             |         |           |
| 1290 Outstanding, end of year .....                                    |             |         | –38       |

**STUDENT FINANCIAL ASSISTANCE DEBT COLLECTION****Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 91–5557–0–2–502                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                       |             |         |           |
| Receipts:   |             |         |           |
| 0220 Student Financial Assistance Debt Collection ..... | 8           | 9       | 9         |
| 0400 Total: Balances and collections .....              | 8           | 9       | 9         |
| Appropriations:   |             |         |           |
| 0500 Student Financial Assistance Debt Collection ..... | –8          | –9      | –9        |
| 0799 Balance, end of year .....                         |             |         |           |

**Program and Financing (in millions of dollars)**

| Identification code 91–5557–0–2–502                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                        |             |         |           |
| 0001 Student Financial Assistance Debt Collection ..... | 3           | 5       | 5         |
| 0900 Total new obligations (object class 25.2) .....    | 3           | 5       | 5         |

**Budgetary Resources:**

|   |    |    |    |
|---|----|----|----|
| Unobligated balance:  |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 15 | 12 | 10 |
| 1022 Capital transfer of unobligated balances to general fund ..... | –8 | –6 | –6 |
| 1050 Unobligated balance (total) .....                              | 7  | 6  | 4  |
| Budget authority:   |    |    |    |
| Appropriations, mandatory:  |    |    |    |
| 1201 Appropriation (special or trust fund) .....                    | 8  | 9  | 9  |
| 1260 Appropriations, mandatory (total) .....                        | 8  | 9  | 9  |
| 1930 Total budgetary resources available .....                      | 15 | 15 | 13 |

|                                     |  |    |    |    |
|-------------------------------------|--|----|----|----|
| Memorandum (non-add) entries:       |  |    |    |    |
| 1941                                | Unexpired unobligated balance, end of year ..... | 12 | 10 | 8  |
| <b>Change in obligated balance:</b> |  |    |    |    |
| Unpaid obligations:                 |  |    |    |    |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 2  | 2  | 2  |
| 3010                                | Obligations incurred, unexpired accounts .....   | 3  | 5  | 5  |
| 3020                                | Outlays (gross) .....                            | -3 | -5 | -5 |
| 3050                                | Unpaid obligations, end of year .....            | 2  | 2  | 2  |
| Memorandum (non-add) entries:       |  |    |    |    |
| 3100                                | Obligated balance, start of year .....           | 2  | 2  | 2  |
| 3200                                | Obligated balance, end of year .....             | 2  | 2  | 2  |

|   |                                       |   |   |   |
|---|---------------------------------------|---|---|---|
| <b>Budget authority and outlays, net:</b> |                                       |   |   |   |
| Mandatory:                                |                                       |   |   |   |
| 4090                                      | Budget authority, gross .....         | 8 | 9 | 9 |
| Outlays, gross:                           |                                       |   |   |   |
| 4101                                      | Outlays from mandatory balances ..... | 3 | 5 | 5 |
| 4180                                      | Budget authority, net (total) .....   | 8 | 9 | 9 |
| 4190                                      | Outlays, net (total) .....            | 3 | 5 | 5 |

## FEDERAL STUDENT LOAN RESERVE FUND

## Program and Financing (in millions of dollars)

|  |   |         |         |        |
|--|---|---------|---------|--------|
| Identification code 91-4257-0-3-502                        |   |         |         |        |
| 2012 actual      2013 CR      2014 est.                    |   |         |         |        |
| <b>Obligations by program activity:</b>                    |   |         |         |        |
| 0102   | Obligations, non-Federal .....                                  | 12,448  | 10,588  | 9,572  |
| 0900   | Total new obligations (object class 42.0) .....                 | 12,448  | 10,588  | 9,572  |
| <b>Budgetary Resources:</b>                                |   |         |         |        |
| Unobligated balance:                                       |   |         |         |        |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 1,664   | 1,306   | 994    |
| Budget authority:  |   |         |         |        |
| Spending authority from offsetting collections, mandatory: |   |         |         |        |
| 1800   | Collected .....   | 12,090  | 10,276  | 9,305  |
| 1850   | Spending auth from offsetting collections, mand (total) .....   | 12,090  | 10,276  | 9,305  |
| 1930   | Total budgetary resources available .....                       | 13,754  | 11,582  | 10,299 |
| Memorandum (non-add) entries:                              |   |         |         |        |
| 1941   | Unexpired unobligated balance, end of year .....                | 1,306   | 994     | 727    |
| <b>Change in obligated balance:</b>                        |   |         |         |        |
| Unpaid obligations:  |   |         |         |        |
| 3010   | Obligations incurred, unexpired accounts .....                  | 12,448  | 10,588  | 9,572  |
| 3020   | Outlays (gross) .....   | -12,448 | -10,588 | -9,572 |
| <b>Budget authority and outlays, net:</b>                  |   |         |         |        |
| Mandatory:   |   |         |         |        |
| 4090   | Budget authority, gross .....                                   | 12,090  | 10,276  | 9,305  |
| Outlays, gross:  |   |         |         |        |
| 4100   | Outlays from new mandatory authority .....                      | 12,090  | 10,276  | 9,305  |
| 4101   | Outlays from mandatory balances .....                           | 358     | 312     | 267    |
| 4110   | Outlays, gross (total) .....                                    | 12,448  | 10,588  | 9,572  |
| Offsets against gross budget authority and outlays:        |   |         |         |        |
| Offsetting collections (collected) from:                   |   |         |         |        |
| 4120   | Federal sources .....   | -12,029 | -10,084 | -9,122 |
| 4123   | Non-Federal sources .....                                       | -61     | -192    | -183   |
| 4130   | Offsets against gross budget authority and outlays (total) .... | -12,090 | -10,276 | -9,305 |
| 4170   | Outlays, net (mandatory) .....                                  | 358     | 312     | 267    |
| 4190   | Outlays, net (total) .....                                      | 358     | 312     | 267    |

The Higher Education Amendments of 1998 clarified that reserve funds held by public and non-profit guaranty agencies participating in the Federal Family Education Loan (FFEL) program are Federal property. These reserves are used to pay default claims from FFEL lenders and fees to support agency efforts to avert defaults. The Federal Government reimburses these reserves for default claim payments. The following schedule reflects the balances in these guaranty agency funds.

## Balance Sheet (in millions of dollars)

|                                     |   |             |             |
|-------------------------------------|---|-------------|-------------|
| Identification code 91-4257-0-3-502 |   | 2011 actual | 2012 actual |
| <b>ASSETS:</b>                      |   |             |             |
| 1101                                | Federal assets: Fund balances with Treasury ..... | 1,664       | 1,306       |
| 1999                                | Total assets .....                                | 1,664       | 1,306       |
| <b>NET POSITION:</b>                |   |             |             |
| 3300                                | Cumulative results of operations .....            | 1,664       | 1,306       |
| 4999                                | Total liabilities and net position .....          | 1,664       | 1,306       |

## FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

|  |   |        |        |       |
|--|---|--------|--------|-------|
| Identification code 91-0243-0-1-502                        |   |        |        |       |
| 2012 actual      2013 CR      2014 est.                    |   |        |        |       |
| <b>Obligations by program activity:</b>                    |   |        |        |       |
| Credit program obligations:                                |   |        |        |       |
| 0705   | Reestimates of direct loan subsidy .....                      | 6,614  | 2,377  | ..... |
| 0706   | Interest on reestimates of direct loan subsidy .....          | 303    | 897    | ..... |
| 0709   | Administrative expenses .....                                 | .....  | 3      | ..... |
| 0900   | Total new obligations .....                                   | 6,917  | 3,277  | ..... |
| <b>Budgetary Resources:</b>                                |   |        |        |       |
| Unobligated balance:                                       |   |        |        |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | .....  | 3      | ..... |
| Budget authority:  |   |        |        |       |
| Appropriations, mandatory:                                 |   |        |        |       |
| 1200   | Appropriation (indefinite) - Upward reestimate .....          | 6,917  | 3,274  | ..... |
| 1260   | Appropriations, mandatory (total) .....                       | 6,917  | 3,274  | ..... |
| Spending authority from offsetting collections, mandatory: |   |        |        |       |
| 1800   | Collected .....   | 3      | .....  | ..... |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 3      | .....  | ..... |
| 1900   | Budget authority (total) .....                                | 6,920  | 3,274  | ..... |
| 1930   | Total budgetary resources available .....                     | 6,920  | 3,277  | ..... |
| Memorandum (non-add) entries:                              |   |        |        |       |
| 1941   | Unexpired unobligated balance, end of year .....              | 3      | .....  | ..... |
| <b>Change in obligated balance:</b>                        |   |        |        |       |
| Unpaid obligations:  |   |        |        |       |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 6      | 5      | 1     |
| 3010   | Obligations incurred, unexpired accounts .....                | 6,917  | 3,277  | ..... |
| 3020   | Outlays (gross) .....   | -6,918 | -3,281 | -1    |
| 3050   | Unpaid obligations, end of year .....                         | 5      | 1      | ..... |
| Memorandum (non-add) entries:                              |   |        |        |       |
| 3100   | Obligated balance, start of year .....                        | 6      | 5      | 1     |
| 3200   | Obligated balance, end of year .....                          | 5      | 1      | ..... |
| <b>Budget authority and outlays, net:</b>                  |   |        |        |       |
| Mandatory:   |   |        |        |       |
| 4090   | Budget authority, gross .....                                 | 6,920  | 3,274  | ..... |
| Outlays, gross:  |   |        |        |       |
| 4100   | Outlays from new mandatory authority .....                    | 6,917  | 3,274  | ..... |
| 4101   | Outlays from mandatory balances .....                         | 1      | 7      | 1     |
| 4110   | Outlays, gross (total) .....                                  | 6,918  | 3,281  | 1     |
| Offsets against gross budget authority and outlays:        |   |        |        |       |
| Offsetting collections (collected) from:                   |   |        |        |       |
| 4123   | Administrative refund from settlement .....                   | -3     | .....  | ..... |
| 4180   | Budget authority, net (total) .....                           | 6,917  | 3,274  | ..... |
| 4190   | Outlays, net (total) .....                                    | 6,915  | 3,281  | 1     |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   |                        |             |         |           |
|---|------------------------|-------------|---------|-----------|
|   |                        | 2012 actual | 2013 CR | 2014 est. |
| Enacted/requested:                      |                        |             |         |           |
|   | Budget Authority ..... | 6,917       | 3,274   | .....     |
|   | Outlays .....          | 6,915       | 3,281   | 1         |
| Legislative proposal, subject to PAYGO: |                        |             |         |           |
|   | Budget Authority ..... | .....       | .....   | 2,871     |
|   | Outlays .....          | .....       | .....   | 2,871     |
| Total:                                  |                        |             |         |           |
|   | Budget Authority ..... | 6,917       | 3,274   | 2,871     |
|   | Outlays .....          | 6,915       | 3,281   | 2,872     |

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 91-0243-0-1-502                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Stafford .....                                       | 38,719      | 32,122  | 33,115    |
| 115002 Unsubsidized Stafford .....                          | 65,500      | 66,925  | 71,492    |
| 115003 PLUS .....   | 20,819      | 19,463  | 20,921    |
| 115004 Consolidation .....                                  | 39,264      | 27,180  | 28,076    |
| 115999 Total direct loan levels .....                       | 164,302     | 145,690 | 153,604   |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Stafford .....                                       | 5.82        | -7.47   | -11.33    |
| 132002 Unsubsidized Stafford .....                          | -28.30      | -37.21  | -37.12    |
| 132003 PLUS .....   | -33.29      | -46.03  | -45.99    |
| 132004 Consolidation .....                                  | -9.90       | -13.63  | -10.93    |
| 132999 Weighted average subsidy rate .....                  | -16.49      | -27.43  | -27.98    |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Stafford .....                                       | 2,253       | -2,399  | -3,752    |
| 133002 Unsubsidized Stafford .....                          | -18,536     | -24,903 | -26,538   |
| 133003 PLUS .....   | -6,931      | -8,959  | -9,622    |
| 133004 Consolidation .....                                  | -3,887      | -3,705  | -3,069    |
| 133999 Total subsidy budget authority .....                 | -27,101     | -39,966 | -42,981   |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 Stafford .....                                       | 2,173       | -1,054  | -2,997    |
| 134002 Unsubsidized Stafford .....                          | -15,185     | -20,790 | -22,924   |
| 134003 PLUS .....   | -6,029      | -8,085  | -8,969    |
| 134004 Consolidation .....                                  | -3,866      | -3,676  | -3,057    |
| 134999 Total subsidy outlays .....                          | -22,907     | -33,605 | -37,947   |
| Direct loan upward reestimates:                             |             |         |           |
| 135005 Federal Direct Student Loans .....                   | 6,917       | 3,274   | .....     |
| 135999 Total upward reestimate budget authority .....       | 6,917       | 3,274   | .....     |
| Direct loan downward reestimates:                           |             |         |           |
| 137005 Federal Direct Student Loans .....                   | -1,351      | -11,426 | .....     |
| 137999 Total downward reestimate budget authority .....     | -1,351      | -11,426 | .....     |
| Administrative expense data:                                |             |         |           |
| 3580 Outlays from balances .....                            | .....       | 7       | 1         |

The Federal Government currently operates two major student loan programs: the Federal Family Education Loan (FFEL) program and the William D. Ford Federal Direct Loan (Direct Loan) program. The Health Care and Education Reconciliation Act of 2010 (HCERA) eliminated the authorization to originate new FFEL loans; as of July 1, 2010, all new loans are originated in the Direct Loan program. This summary section outlines the structure of these two programs and provides text tables displaying program cost data; loan volume, subsidy, default, and interest rates; and other descriptive information.

From its inception in 1965 through the end of June 2010, the FFEL program provided almost \$899 billion in loans to postsecondary students and their parents. Although no new FFEL loans will be originated, billions of outstanding FFEL loans will continue to be serviced by lenders. Since July 1, 1994, the Direct Loan program has provided nearly \$668 billion in new and consolidation loans to students and parents. The Direct Loan program will make \$112.1 billion in new loans available in 2014.

Loan capital in the FFEL program was provided by private lenders, facilitated by the Federal guarantee on the loans. For the outstanding FFEL portfolio, State and private nonprofit guaranty agencies act as agents of the Federal Government, providing a variety of services including collection of some defaulted loans, default avoidance activities, and counseling to schools, students, and lenders. The Government provides substantial payments to these guaranty agencies. The 2014 Budget proposes two technical changes to the guaranty agencies' compensation via the retention of loan rehabilitation funds: eliminating their current retention share of the original defaulted student loan amount, and reducing the maximum fee they can charge a borrower on the borrower's outstanding balance from 18.5 to 16 percent. This policy requires agencies to send the rehabilitated

loans to the Department of Education if they cannot find a private lender buyer but maintains their right to the 16 percent collection fee. The Government also pays interest subsidies to lenders for certain borrowers, as well as most costs associated with loan defaults and other write-offs.

Under the Direct Loan program, the Federal Government provides loan capital through the Treasury while loan origination and servicing is handled by private-sector companies under performance-based contracts with the Department. The Direct Loan program began operation in academic year 1994–1995 with 7 percent of overall loan volume but now originates all new loans.

The Direct Loan program offers four types of loans: Stafford, Unsubsidized Stafford, PLUS, and Consolidation. Evidence of financial need is required for a student to receive a subsidized Stafford loan. The other three loan programs are available to borrowers at all income levels. Loans can be used only to meet qualified educational expenses.

For Stafford loans available to undergraduates, the Moving Ahead for Progress in the 21st Century Act (MAP-21 Act) maintained the existing 3.4 percent interest rate on new loans through June 30, 2013. The interest rate for new loans is set to rise to 6.8 percent beginning July 1, 2013. Interest payments for these loans are fully subsidized by the Federal Government while a student is in school (up to 150 percent of program length) and during grace and deferment periods. The Consolidated Appropriations Act, 2012, provides that interest on Stafford loans issued between July 1, 2012 and July 1, 2014 will begin to accrue during the 6-month grace period after a borrower leaves school.

Borrower interest rates on new Unsubsidized Stafford loans are fixed at 6.8 percent. The fixed borrower interest rate on PLUS loans is 7.9 percent.

The Budget proposes to reform the interest rate calculation for new loans to benchmark borrower interest rates to current market rates. New rates for Stafford loans would be equal to the 10-year Treasury bill plus 93 basis points; the Unsubsidized Stafford loan rate would be equal to the 10-year Treasury bill plus 293 basis points; and the new PLUS loan rate would be equal to the 10-year Treasury bill plus 393 basis points. Additionally, the 8.25 percent cap on new Consolidation loans would be eliminated. These rates would be determined annually before the start of the academic year and fixed for the duration of the loan.

For loans originated in the FFEL program, lenders may receive an interest subsidy, known as a special allowance payment, from the Government to ensure a guaranteed rate of return on the loans. Special allowance payments vary by loan type, are determined quarterly, and are based on current borrower interest rates and market-yield formulas. The guarantee percentage paid to lenders on most defaults is 97 percent of unpaid loan principal (including any accrued interest on the full loan principal). The Consolidated Appropriations Act, 2012, gave holders of Federal student loans the option to change the basis for the special allowance calculation from commercial paper to the London Interbank Offered Rate (LIBOR), beginning April 1, 2012.

Consolidation loans allow borrowers to combine FFEL, Direct Loans, and Perkins Loans, as well as some loans made under the Public Health Service Act. The interest rate for new Consolidation loans equals the weighted average of the interest rate on the loans consolidated, rounded up to the nearest one-eighth of one percent. Interest rates for new Consolidation Loans are capped at 8.25 percent.

Direct Loan origination fees are one percent. Loans are discharged when borrowers die, are totally and permanently disabled, or, under some circumstances, declare bankruptcy.

New borrowers after October 1, 1998, who are employed as teachers in schools serving low-income populations for five consecutive, complete school years, qualify for up to \$5,000 in loan forgiveness; this benefit is increased to \$17,500 for mathematics, science, and special education teachers considered highly qualified under criteria established in the Elementary and Secondary Education Act.

In addition, under a loan forgiveness program for public-sector employees, qualifying borrowers who have worked for 10 years while making payments on their student loans will have any remaining loan balance forgiven. This benefit is only available in the Direct Loan program, though FFEL borrowers may receive the benefit by taking out a Direct Consolidation Loan. Forgiveness is available for all borrowers, regardless of when they took out their loans.

Borrowers may choose from four repayment plans. Repayment periods under standard, graduated, and income-sensitive or income-contingent repayment may not exceed 10 years. An extended repayment plan of up to 25 years is available for new borrowers with outstanding loans totaling more than \$30,000. FFEL borrowers may change repayment plans annually. Direct Loan borrowers may switch between repayment plans at any time.

In addition, since July 1, 2009, qualifying student borrowers may choose an income-based repayment plan under which monthly loan payments are based on the borrower's income, and outstanding balances, if any, are forgiven after 25 years in repayment. Parent PLUS borrowers are not eligible for this payment plan. Interest does not accrue in the first three years for Stafford Loans and the Stafford portion of Consolidation Loans. Monthly loan payments can be up to 15 percent of a borrower's prior-year income, and cannot exceed the amount calculated under the Standard 10-year repayment option. Beginning July 1, 2014, new loans will be eligible for a reduced maximum assessment rate of 10 percent of prior-year income with a maximum of 20 years in repayment. As of December 21, 2012, the Pay As You Earn plan, which provides this reduced assessment rate and repayment length, is available for eligible borrowers. To be eligible for this new income-contingent repayment initiative, an individual must be a new borrower as of October 1, 2007, and have received a Direct Loan disbursement on or after October 1, 2011. The Budget proposes to extend this plan to all borrowers beginning in fiscal year 2014.

The following tables display performance indicators and program data; including projected overall Direct Loan and FFEL costs; and summary of default rates.

**Federal Budget Authority and Outlays**

(in thousands of dollars)

|  | 2012 actual  | 2013 est.    | 2014 est.    |
|--|--------------|--------------|--------------|
| <b>PROGRAM COST:</b>                     |              |              |              |
| <b>FFEL:</b>                             |              |              |              |
| Liquidating <sup>1</sup> .....           | (187,821)    | (310,911)    | (243,958)    |
| <b>Program:</b>                          |              |              |              |
| Net Reestimate of Prior Year Costs ..... | (15,164,122) | (6,843,641)  | 0            |
| Net Modification <sup>2</sup> .....      | 152,957      | 0            | (3,657,173)  |
| Subtotal, Program .....                  | (15,011,166) | (6,843,641)  | (3,657,173)  |
| Total, FFEL .....                        | (15,198,987) | (7,154,552)  | (3,901,131)  |
| <b>Direct Loans:</b>                     |              |              |              |
| <b>Program:</b>                          |              |              |              |
| New Loan Subsidies .....                 | (27,100,852) | (26,140,573) | (29,172,697) |
| Net Reestimate of Prior Year Costs ..... | 5,566,331    | (8,151,717)  | 0            |
| Net Modification <sup>2</sup> .....      | 0            | 0            | 2,871,258    |
| Total, Direct Loans .....                | (21,534,522) | (34,292,290) | (26,301,439) |
| Total, FFEL and Direct Loans .....       | (36,733,509) | (41,446,842) | (30,202,571) |
| <b>PROGRAM COST OUTLAYS:</b>             |              |              |              |
| <b>FFEL:</b>                             |              |              |              |
| Liquidating <sup>1</sup> .....           | (407,283)    | (310,911)    | (243,958)    |

|  |              |              |              |
|--|--------------|--------------|--------------|
| <b>Program:</b>                          |              |              |              |
| Net Reestimate of Prior Year Costs ..... | (15,164,122) | (6,843,641)  | 0            |
| Net Modification <sup>2</sup> .....      | 152,957      | 0            | (3,657,173)  |
| Subtotal, Program .....                  | (15,011,166) | (6,843,641)  | (3,657,173)  |
| Total, FFEL .....                        | (15,418,449) | (7,154,552)  | (3,901,131)  |
| <b>Direct Loans:</b>                     |              |              |              |
| <b>Program:</b>                          |              |              |              |
| Regular .....                            | (22,907,141) | (24,652,847) | (25,403,384) |
| Net Reestimate of Prior Year Costs ..... | 5,566,331    | (8,151,717)  | 0            |
| Net Modification <sup>2</sup> .....      | 0            | 0            | 2,871,258    |
| Total, Direct Loans .....                | (17,340,811) | (32,804,564) | (22,532,126) |
| Total, FFEL and Direct Loans .....       | (32,759,259) | (39,959,116) | (26,433,258) |

Details may not sum to totals due to rounding.

<sup>1</sup>Liquidating account reflects loans made prior to 1992.

<sup>2</sup>Reflects the cost or savings associated with policy changes proposed in the 2014 President's Budget.

**Summary of Default Rates<sup>1</sup>**

(expressed as percentages)

|                                      | 2012 est. | 2013 est. | 2014 est. |
|--------------------------------------|-----------|-----------|-----------|
| <b>Direct Loans:</b>                 |           |           |           |
| Stafford .....                       | 20.18%    | 21.64%    | 21.41%    |
| Unsubsidized Stafford .....          | 16.39%    | 15.19%    | 14.93%    |
| PLUS .....                           | 7.78%     | 7.57%     | 7.49%     |
| Consolidation .....                  | 18.43%    | 20.91%    | 20.90%    |
| Weighted Average, Direct Loans ..... | 16.72%    | 16.67%    | 16.42%    |

<sup>1</sup>Default rates displayed in this table, which reflect projected defaults over the life of a loan cohort, are used in developing program cost estimates. The Department uses other rates based on defaults occurring in the first 3 years of repayment to determine institutional eligibility to participate in Federal loan programs. These 3-year rates are lower than those included in this table.

FFEL program payments are made to lenders (interest subsidies, loan defaults, and discharges) and guaranty agencies (default collection costs, administrative services). These payments are partially offset by an annual consolidation loan holder fee. In Direct Loans, cash outflows are primarily payments to Treasury. Cash inflows include principal and interest payments on outstanding Direct Loans.

The following table shows Government payments to and from lenders, guaranty agencies, and borrowers for specific years, regardless of when loans were originated. These flows do not reflect long-term costs to the Government, nor the value of outstanding loan assets, which are reflected in credit reform subsidy estimates.

The Federal Credit Reform Act of 1990 accounts for differences in the amount and timing of cash flows among direct and guaranteed loan programs to make cost estimates for these programs comparable with each other and other Federal programs.

**Selected Program Costs and Offsets**

(in thousands of dollars)

|   | 2012 actual  | 2013 est.    | 2014 est.    |
|---|--------------|--------------|--------------|
| <b>FFEL:</b>  |              |              |              |
| <b>Payments to lenders:</b>                                 |              |              |              |
| Interest benefits .....                                     | 1,626,733    | 863,163      | 651,912      |
| Special allowance payments <sup>1</sup> .....               | (5,959,586)  | (4,189,091)  | (3,672,489)  |
| Default claims .....  | 10,579,681   | 7,494,630    | 6,180,344    |
| Loan discharges .....                                       | 1,449,896    | 993,876      | 938,714      |
| Teacher loan forgiveness .....                              | 158,146      | 195,315      | 185,821      |
| Administrative payments to guaranty agencies .....          | 208,276      | 196,769      | 186,240      |
| <b>Fees paid to the Department of Education:</b>            |              |              |              |
| Loan holder fees .....                                      | (1,993,094)  | (1,623,387)  | (1,452,929)  |
| <b>Other Major Transactions:</b>                            |              |              |              |
| Net default collections .....                               | (8,745,939)  | (8,176,915)  | (7,655,597)  |
| Contract collection costs .....                             | 59,255       | 64,727       | 46,354       |
| Federal administrative costs .....                          | 69,702       | 59,909       | 47,381       |
| Net Cash Flow, FFEL .....                                   | (2,546,929)  | (4,121,004)  | (4,544,251)  |
| <b>Ensuring Continued Access to Student Loans (ECASLA):</b> |              |              |              |
| Inflows .....   | (12,322,152) | (12,667,339) | (12,338,304) |
| Outflows .....  | 8,729,863    | 15,925,288   | 13,003,638   |
| Federal administrative costs .....                          | 189,191      | 203,689      | 201,370      |

FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT—Continued  
Selected Program Costs and Offsets—Continued

|   | 2012 actual   | 2013 est.     | 2014 est.     |
|---|---------------|---------------|---------------|
| Net Cash Flow, ECASLA .....                 | (3,403,098)   | 3,461,638     | 866,704       |
| Direct Loans:                               |               |               |               |
| Loan disbursements to borrowers .....       | 142,284,597   | 131,912,862   | 138,297,842   |
| Borrower interest payments .....            | (5,029,070)   | (8,113,397)   | (11,881,050)  |
| Borrower principal payments .....           | (17,422,538)  | (29,332,572)  | (40,098,586)  |
| Borrower origination fees .....             | (1,584,526)   | (1,531,745)   | (1,688,618)   |
| Net default collections .....               | (1,215,093)   | (2,947,951)   | (4,391,470)   |
| Contract collection costs .....             | 353,319       | 448,118       | 401,351       |
| Federal administrative costs .....          | 736,849       | 934,573       | 935,779       |
| Net operating cash flows .....              | 118,123,538   | 91,369,888    | 81,575,248    |
| Loan capital borrowings from Treasury ..... | (142,284,597) | (131,912,862) | (138,297,842) |
| Net interest payments to Treasury .....     | 16,378,402    | 25,511,853    | 30,490,123    |
| Principal payments to Treasury .....        | 19,465,383    | 22,444,090    | 32,668,930    |
| Subtotal, Treasury activity .....           | (106,440,813) | (83,956,920)  | (75,138,789)  |
| Net Cash Flow, Direct Loans .....           | 11,682,725    | 7,412,968     | 6,436,459     |

Net Cash Flow, Direct Loans

<sup>1</sup>Includes Negative Special Allowance Payments.

## Student Loan Program Costs: Analysis of Direct Loans including Program and Administrative Expenses

|                                     | 2012 actual | 2013 est. | 2014 est. |
|-------------------------------------|-------------|-----------|-----------|
| Direct Loans:                       |             |           |           |
| New Loans:                          |             |           |           |
| Stafford .....                      | 7.88        | 3.31      | 1.57      |
| Unsubsidized Stafford .....         | -26.05      | -26.17    | -27.56    |
| PLUS .....                          | -36.30      | -33.64    | -37.12    |
| Subtotal, new loan subsidy .....    | -20.54      | -19.41    | -21.47    |
| Federal administrative costs .....  | 1.72        | 1.70      | 1.70      |
| Subtotal, new loans .....           | -18.82      | -17.71    | -19.77    |
| Consolidation Loans                 |             |           |           |
| Loan subsidy .....                  | -13.74      | -11.56    | -7.92     |
| Federal administrative costs .....  | 0.38        | 0.38      | 0.38      |
| Subtotal, consolidation loans ..... | -13.36      | -11.18    | -7.54     |
| New and Consolidation Loans         |             |           |           |
| Loan subsidy .....                  | -8.32       | -17.94    | -18.99    |
| Federal administrative costs .....  | 1.24        | 1.45      | 1.45      |
| Total, Direct Loans .....           | -18.95      | -16.49    | -17.54    |

Totals may not add due to rounding. Subsidies are weighted on Gross Volumes.

Notes: For 2012, the rates are current; these include the actual executed rates for 2012 and the effect of re-estimates on those rates. The 2012 and 2013 cohorts for Consolidation Loans include volume and subsidy from the Special Direct Consolidation Loan program.

The table above describes Direct Loan costs on a subsidy rate basis: program costs calculated under the Federal Credit Reform Act of 1990 and comparably projected estimates of Federal administrative costs. As with any long-term projection, the comparison is based on assumed future interest rates, borrower characteristics, administrative costs, and other factors over the life of the loan cohort. To the degree actual conditions differ from projections, estimated subsidy rates will change.

The Federal Credit Reform Act of 1990 requires the cost of existing loan cohorts to be reestimated to reflect changes in actual and assumed borrower behavior, interest rates, and other factors. The following table shows the impact of these reestimates in FFEL and Direct Loans.

## Loan Disbursement and Subsidy Costs

(in billions of dollars)

|                              | FFEL     | Direct Loans |
|------------------------------|----------|--------------|
| Original Subsidy Costs ..... | +\$77.1  | -\$50.0      |
| Cumulative Reestimates ..... | -\$50.6  | +\$1.5       |
| Net Subsidy Costs .....      | +\$26.4  | -\$48.5      |
| Total Disbursements .....    | +\$898.7 | +\$667.9     |

Changes in interest rate projections are a significant factor in FFEL and Direct Loan reestimates; recent declines in interest

rates below historical averages have accordingly been a major driver in changes to program costs. Changes in borrower behavior (notably, prepayment of loans through consolidation) have also contributed to these reestimates.

## Direct Loan Repayment Options

(expressed as percentages)

| Subsidies by Repayment Option                   | 2012 actual <sup>1</sup> | 2013 est. | 2014 est. |
|---|--------------------------|-----------|-----------|
| Stafford:                                       |                          |           |           |
| Standard .....                                  | 6.76                     | 2.44      | 0.89      |
| Extended .....                                  | 9.95                     | 4.82      | 0.80      |
| Graduated .....                                 | 10.78                    | 5.58      | 1.62      |
| ICR/IBR .....                                   | 17.09                    | 10.40     | 10.29     |
| Unsubsidized Stafford:                          |                          |           |           |
| Standard .....                                  | -28.36                   | -27.70    | -29.08    |
| Extended .....                                  | -40.31                   | -40.26    | -42.32    |
| Graduated .....                                 | -39.36                   | -39.62    | -41.95    |
| ICR/IBR .....                                   | 17.07                    | 10.06     | 10.03     |
| PLUS: <sup>2</sup>                              |                          |           |           |
| Standard .....                                  | -32.40                   | -30.01    | -32.87    |
| Extended .....                                  | -51.69                   | -47.74    | -53.58    |
| Graduated .....                                 | -52.88                   | -49.19    | -55.38    |
| Consolidated:                                   |                          |           |           |
| Standard .....                                  | -20.17                   | -19.88    | -17.23    |
| Extended .....                                  | -48.07                   | -48.89    | -42.44    |
| Graduated .....                                 | -42.94                   | -42.67    | -36.50    |
| ICR/IBR .....                                   | 13.25                    | 20.18     | 23.51     |
| Special Direct Consolidation <sup>3</sup> ..... | -14.23                   | -9.27     | -         |

## Direct Loan Repayment Options

(gross volumes in millions of dollars)

| Volumes by Repayment Option                     | 2012 actual <sup>1</sup> | 2013 est. | 2014 est. |
|---|--------------------------|-----------|-----------|
| Stafford:                                       |                          |           |           |
| Standard .....                                  | 31,023                   | 26,372    | 27,187    |
| Extended .....                                  | 446                      | 379       | 391       |
| Graduated .....                                 | 3,867                    | 3,287     | 3,389     |
| ICR/IBR .....                                   | 2,452                    | 2,084     | 2,148     |
| Unsubsidized Stafford:                          |                          |           |           |
| Standard .....                                  | 47,720                   | 51,817    | 55,353    |
| Extended .....                                  | 1,127                    | 1,224     | 1,307     |
| Graduated .....                                 | 7,536                    | 8,183     | 8,742     |
| ICR/IBR .....                                   | 5,250                    | 5,701     | 6,090     |
| PLUS: <sup>2</sup>                              |                          |           |           |
| Standard .....                                  | 15,079                   | 15,702    | 16,877    |
| Extended .....                                  | 998                      | 1,039     | 1,117     |
| Graduated .....                                 | 2,614                    | 2,722     | 2,926     |
| Consolidated:                                   |                          |           |           |
| Standard .....                                  | 8,866                    | 10,337    | 11,010    |
| Extended .....                                  | 1,258                    | 1,485     | 1,584     |
| Graduated .....                                 | 4,335                    | 5,126     | 5,468     |
| ICR/IBR .....                                   | 8,647                    | 9,462     | 10,014    |
| Special Direct Consolidation <sup>3</sup> ..... | 13,100                   | 770       | .....     |

<sup>1</sup>2012 rates are current; these include actual executed rates for 2012 and the effect of re-estimates on those rates.<sup>2</sup>ICR/IBR are not available repayment options for PLUS loans.<sup>3</sup>Special Direct Consolidation loans are made up of both FFEL and Direct Loans from underlying cohorts 1992–2010. They retain their repayment plan from the underlying loans.

## Object Classification (in millions of dollars)

| Identification code 91–0243–0–1–502               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                               |             |         |           |
| 25.7 Operation and maintenance of equipment ..... |             | 3       | .....     |
| 41.0 Grants, subsidies, and contributions .....   | 6,917       | 3,274   | .....     |
| 99.9 Total new obligations .....                  | 6,917       | 3,277   | .....     |

## FEDERAL DIRECT STUDENT LOAN PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 91–0243–4–1–502                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| Credit program obligations:                          |             |         |           |
| 0703 Subsidy for modifications of direct loans ..... | .....       | .....   | 2,871     |



|   |  |        |
|---|--|--------|
| 0900                                      | Total new obligations (object class 41.0)    | 2,871  |
| <b>Budgetary Resources:</b>               |  |        |
| Budget authority:                         |  |        |
| Appropriations, mandatory:                |  |        |
| 1200                                      | Appropriation-indefinite-Upward Modification | 2,871  |
| 1260                                      | Appropriations, mandatory (total)            | 2,871  |
| 1900                                      | Budget authority (total)                     | 2,871  |
| 1930                                      | Total budgetary resources available          | 2,871  |
| <b>Change in obligated balance:</b>       |  |        |
| Unpaid obligations:                       |  |        |
| 3010                                      | Obligations incurred, unexpired accounts     | 2,871  |
| 3020                                      | Outlays (gross)                              | -2,871 |
| <b>Budget authority and outlays, net:</b> |  |        |
| Mandatory:                                |  |        |
| 4090                                      | Budget authority, gross                      | 2,871  |
| Outlays, gross:                           |  |        |
| 4100                                      | Outlays from new mandatory authority         | 2,871  |
| 4180                                      | Budget authority, net (total)                | 2,871  |
| 4190                                      | Outlays, net (total)                         | 2,871  |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 91-0243-4-1-502          | 2012 actual                    | 2013 CR | 2014 est. |
|--|--------------------------------|---------|-----------|
| <b>Direct loan subsidy (in percent):</b>     |                                |         |           |
| 132001                                       | Stafford                       | 10.78   | 12.90     |
| 132002                                       | Unsubsidized Stafford          | 11.04   | 9.56      |
| 132003                                       | PLUS                           | 12.39   | 8.87      |
| 132004                                       | Consolidation                  | 2.07    | 3.01      |
| 132999                                       | Weighted average subsidy rate  | 9.49    | 8.99      |
| <b>Direct loan subsidy budget authority:</b> |                                |         |           |
| 133001                                       | Stafford                       | 3,463   | 4,272     |
| 133002                                       | Unsubsidized Stafford          | 7,388   | 6,835     |
| 133003                                       | PLUS                           | 2,411   | 1,855     |
| 133004                                       | Consolidation                  | 563     | 845       |
| 133999                                       | Total subsidy budget authority | 13,825  | 13,807    |
| <b>Direct loan subsidy outlays:</b>          |                                |         |           |
| 134001                                       | Stafford                       | 2,231   | 3,593     |
| 134002                                       | Unsubsidized Stafford          | 4,683   | 6,148     |
| 134003                                       | PLUS                           | 1,482   | 1,963     |
| 134004                                       | Consolidation                  | 556     | 839       |
| 134005                                       | Federal Direct Student Loans   |         | 2,871     |
| 134999                                       | Total subsidy outlays          | 8,952   | 15,414    |

**FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 91-4253-0-3-502     | 2012 actual  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b> |  |         |           |
| 0201                                    | Interest rate rebate                                 | 470     | 22        |
| 0301                                    | Consolidation loans-Payment of Orig. Services        | 60      | 47        |
| 0401                                    | Payment of contract collection costs                 | 354     | 448       |
| <b>Credit program obligations:</b>      |  |         |           |
| 0710                                    | Direct loan obligations                              | 164,302 | 145,690   |
| 0713                                    | Payment of interest to Treasury                      | 20,643  | 25,681    |
| 0740                                    | Negative subsidy obligations                         | 27,101  | 39,966    |
| 0742                                    | Downward reestimate paid to receipt account          | 1,103   | 10,650    |
| 0743                                    | Interest on downward reestimates                     | 248     | 776       |
| 0791                                    | Direct program activities, subtotal                  | 213,397 | 222,763   |
| 0900                                    | Total new obligations                                | 214,281 | 223,280   |
| <b>Budgetary Resources:</b>             |  |         |           |
| Unobligated balance:                    |  |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1           | 1,817   | 3,015     |
| 1021                                    | Recoveries of prior year unpaid obligations          | 17,772  | 19,548    |
| 1023                                    | Unobligated balances applied to repay debt           | -6,376  | -3,015    |
| 1024                                    | Unobligated balance of borrowing authority withdrawn | -12,943 | -19,548   |
| 1050                                    | Unobligated balance (total)                          | 270     |           |
| <b>Financing authority:</b>             |  |         |           |
| Borrowing authority, mandatory:         |  |         |           |
| 1400                                    | Borrowing authority                                  | 193,140 | 197,081   |

|  |  |         |         |         |
|--|--|---------|---------|---------|
| 1440   | Borrowing authority, mandatory (total)                               | 193,140 | 197,081 | 196,584 |
| Spending authority from offsetting collections, mandatory: |  |         |         |         |
| 1800   | Collected  | 36,434  | 45,224  | 58,504  |
| 1825   | Spending authority from offsetting collections applied to repay debt | -12,548 | -19,025 | -27,011 |
| 1850   | Spending auth from offsetting collections, mand (total)              | 23,886  | 26,199  | 31,493  |
| 1900   | Financing authority (total)  | 217,026 | 223,280 | 228,077 |
| 1930   | Total budgetary resources available                                  | 217,296 | 223,280 | 228,077 |
| Memorandum (non-add) entries:                              |  |         |         |         |
| 1941   | Unexpired unobligated balance, end of year                           | 3,015   |         |         |

|                                     |  |          |          |          |
|-------------------------------------|--|----------|----------|----------|
| <b>Change in obligated balance:</b> |  |          |          |          |
| Unpaid obligations:                 |  |          |          |          |
| 3000                                | Unpaid obligations, brought forward, Oct 1             | 77,058   | 86,010   | 86,600   |
| 3010                                | Obligations incurred, unexpired accounts               | 214,281  | 223,280  | 228,077  |
| 3020                                | Financing disbursements (gross)                        | -187,557 | -203,142 | -207,738 |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired | -17,772  | -19,548  | -21,503  |
| 3050                                | Unpaid obligations, end of year                        | 86,010   | 86,600   | 85,436   |
| Memorandum (non-add) entries:       |  |          |          |          |
| 3100                                | Obligated balance, start of year                       | 77,058   | 86,010   | 86,600   |
| 3200                                | Obligated balance, end of year                         | 86,010   | 86,600   | 85,436   |

|  |  |         |         |         |
|--|--|---------|---------|---------|
| <b>Financing authority and disbursements, net:</b>           |  |         |         |         |
| Mandatory:   |  |         |         |         |
| 4090   | Financing authority, gross                                     | 217,026 | 223,280 | 228,077 |
| Financing disbursements:                                     |  |         |         |         |
| 4110   | Financing disbursements, gross                                 | 187,557 | 203,142 | 207,738 |
| Offsets against gross financing authority and disbursements: |  |         |         |         |
| Offsetting collections (collected) from:                     |  |         |         |         |
| 4120   | Upward reestimate  | -6,614  | -2,377  |         |
| 4120   | Upward reestimate, interest                                    | -303    | -897    |         |
| 4120   | Civil legal assistance attorney repayment program              | -1      |         |         |
| 4122   | Interest on uninvested funds                                   | -4,265  |         |         |
| 4123   | Repayment of principal, Stafford                               | -4,755  | -7,651  | -10,887 |
| 4123   | Interest received on loans, Stafford                           | -834    | -1,205  | -1,880  |
| 4123   | Origination Fees, Stafford                                     | -554    | -276    | -291    |
| 4123   | Other fees, Stafford   | -31     |         |         |
| 4123   | Repayment of principal, Unsubsidized Stafford                  | -5,664  | -9,722  | -14,700 |
| 4123   | Interest received on loans, Unsubsidized Stafford              | -1,171  | -1,876  | -3,359  |
| 4123   | Origination Fees, Unsubsidized Stafford                        | -761    | -563    | -617    |
| 4123   | Other fees, Unsubsidized Stafford                              | -22     |         |         |
| 4123   | Repayment of principal, PLUS                                   | -3,266  | -5,103  | -7,105  |
| 4123   | Interest received on loans, PLUS                               | -950    | -1,820  | -2,761  |
| 4123   | Origination Fees, PLUS   | -270    | -692    | -780    |
| 4123   | Other fees, PLUS   | -6      |         |         |
| 4123   | Payment of principal, Consolidation                            | -4,388  | -9,554  | -11,581 |
| 4123   | Interest received on loans, Consolidation                      | -2,525  | -3,488  | -4,543  |
| 4123   | Other fees, Consolidation                                      | -54     |         |         |
| 4130   | Offsets against gross financing auth and disbursements (total) | -36,434 | -45,224 | -58,504 |
| 4160   | Financing authority, net (mandatory)                           | 180,592 | 178,056 | 169,573 |
| 4170   | Financing disbursements, net (mandatory)                       | 151,123 | 157,918 | 149,234 |
| 4180   | Financing authority, net (total)                               | 180,592 | 178,056 | 169,573 |
| 4190   | Financing disbursements, net (total)                           | 151,123 | 157,918 | 149,234 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 91-4253-0-3-502                                    | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| <b>STAFFORD</b>  |   |         |           |
| Position with respect to appropriations act limitation on obligations: |   |         |           |
| 1131   | Direct loan obligations exempt from limitation          | 38,719  | 32,122    |
| 1150   | Total direct loan obligations                           | 38,719  | 32,122    |
| <b>Cumulative balance of direct loans outstanding:</b>                 |   |         |           |
| 1210   | Outstanding, start of year                              | 96,590  | 130,879   |
| 1231   | Disbursements: Direct loan disbursements                | 37,112  | 28,618    |
| 1251   | Repayments: Repayments and prepayments                  | -4,756  | -7,651    |
| 1261   | Adjustments: Capitalized interest                       | 1,491   | 49        |
| 1264   | Write-offs for default: Other adjustments, net (+ or -) | 442     | -151      |
| 1290   | Outstanding, end of year                                | 130,879 | 151,744   |
| <b>UNSUBSIDIZED STAFFORD</b>   |   |         |           |
| Position with respect to appropriations act limitation on obligations: |   |         |           |
| 1131   | Direct loan obligations exempt from limitation          | 65,500  | 66,925    |
| 1150   | Total direct loan obligations                           | 65,500  | 66,925    |
| <b>Cumulative balance of direct loans outstanding:</b>                 |   |         |           |
| 1210   | Outstanding, start of year                              | 105,150 | 152,643   |
| 1231   | Disbursements: Direct loan disbursements                | 51,052  | 58,231    |

## FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT—Continued

## Status of Direct Loans—Continued

| Identification code 91–4253–0–3–502                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1251 Repayments: Repayments and prepayments .....                      | –5,664      | –9,722  | –14,700   |
| 1261 Adjustments: Capitalized interest .....                           | 1,624       | 4,491   | 6,877     |
| 1264 Write-offs for default: Other adjustments, net (+ or -) .....     | 481         | –153    | –218      |
| 1290 Outstanding, end of year .....                                    | 152,643     | 205,490 | 259,164   |
| PLUS   |             |         |           |
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 20,819      | 19,463  | 20,921    |
| 1150 Total direct loan obligations .....                               |             |         |           |
| 1150 Total direct loan obligations .....                               | 20,819      | 19,463  | 20,921    |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 35,531      | 51,067  | 64,696    |
| 1231 Disbursements: Direct loan disbursements .....                    | 18,090      | 18,057  | 19,497    |
| 1251 Repayments: Repayments and prepayments .....                      | –3,266      | –5,103  | –7,105    |
| 1261 Adjustments: Capitalized interest .....                           | 549         | 748     | 1,193     |
| 1264 Write-offs for default: Other adjustments, net (+ or -) .....     | 163         | –73     | –103      |
| 1290 Outstanding, end of year .....                                    | 51,067      | 64,696  | 78,178    |
| CONSOLIDATION  |             |         |           |
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 39,264      | 27,180  | 28,076    |
| 1150 Total direct loan obligations .....                               |             |         |           |
| 1150 Total direct loan obligations .....                               | 39,264      | 27,180  | 28,076    |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 104,552     | 138,288 | 155,321   |
| 1231 Disbursements: Direct loan disbursements .....                    | 36,031      | 27,008  | 27,927    |
| 1251 Repayments: Repayments and prepayments .....                      | –4,388      | –9,554  | –11,581   |
| 1261 Adjustments: Capitalized interest .....                           | 1,614       | 17      | 10        |
| 1264 Write-offs for default: Other adjustments, net (+ or -) .....     | 479         | –438    | –539      |
| 1290 Outstanding, end of year .....                                    | 138,288     | 155,321 | 171,138   |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Federal Direct Student Loans. The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 91–4253–0–3–502                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 17,606      | 23,441      |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 101         | 4,347       |
| 1206 Non-Federal assets: Receivables, net .....                   | 7           | 12          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 341,823     | 472,877     |
| 1402 Interest receivable .....                                    | 13,726      | 21,082      |
| 1405 Allowance for subsidy cost (-) .....                         | 25,905      | 32,076      |
| 1499 Net present value of assets related to direct loans .....    | 381,454     | 526,035     |
| 1999 Total assets .....   | 399,168     | 553,835     |
| LIABILITIES:  |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....                                       | 3,307       | 932         |
| 2103 Debt .....   | 392,374     | 549,332     |
| 2201 Non-Federal liabilities: Accounts payable .....              | 3,487       | 3,571       |
| 2999 Total liabilities .....                                      | 399,168     | 553,835     |

|      |  |         |         |
|------|--|---------|---------|
| 4999 | Total liabilities and net position ..... | 399,168 | 553,835 |
|------|--|---------|---------|

## FEDERAL DIRECT STUDENT LOAN PROGRAM FINANCING ACCOUNT

## (Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 91–4253–4–3–502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:  |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....  |             | –169    | –554      |
| 0740 Negative subsidy obligations .....   |             | –13,825 | –13,807   |
| 0900 Total new obligations .....  |             | –13,994 | –14,361   |
| Budgetary Resources:  |             |         |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  |             | –13,825 | –13,807   |
| 1440 Borrowing authority, mandatory (total) .....   |             | –13,825 | –13,807   |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1800 Collected .....  |             | –24     | 2,427     |
| 1820 Capital transfer of spending authority from offsetting collections to general fund-MAT ..... |             |         | –194      |
| 1825 Spending authority from offsetting collections applied to repay debt .....                   |             | –145    | –2,787    |
| 1850 Spending auth from offsetting collections, mand (total) .....                                |             | –169    | –554      |
| 1900 Financing authority (total) .....  |             | –13,994 | –14,361   |
| 1930 Total budgetary resources available .....  |             | –13,994 | –14,361   |
| Change in obligated balance:  |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   |             |         | –4,873    |
| 3010 Obligations incurred, unexpired accounts .....   |             | –13,994 | –14,361   |
| 3020 Financing disbursements (gross) .....  |             | 9,121   | 13,097    |
| 3050 Unpaid obligations, end of year .....  |             | –4,873  | –6,137    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   |             |         | –4,873    |
| 3200 Obligated balance, end of year .....   |             | –4,873  | –6,137    |
| Financing authority and disbursements, net:   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   |             | –13,994 | –14,361   |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....   |             | –9,121  | –13,097   |
| Offsets against gross financing authority and disbursements:                                      |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Upward Modification .....  |             |         | –2,871    |
| 4123 Repayment of principal, Stafford .....   |             | –5      | –2        |
| 4123 Interest received on loans, Stafford .....   |             | 8       | 51        |
| 4123 Repayment of principal, Unsubsidized Stafford .....  |             | 2       | 12        |
| 4123 Interest received on loans, Unsubsidized Stafford .....                                      |             | 12      | 54        |
| 4123 Repayment of principal, PLUS .....   |             | –1      | –25       |
| 4123 Interest received on loans, PLUS .....   |             | 4       | 82        |
| 4123 Payment of principal, Consolidation .....  |             | –2      | 139       |
| 4123 Interest received on loans, Consolidation .....  |             | 6       | 133       |
| 4130 Offsets against gross financing auth and disbursements (total) .....                         |             | 24      | –2,427    |
| 4160 Financing authority, net (mandatory) .....   |             | –13,970 | –16,788   |
| 4170 Financing disbursements, net (mandatory) .....   |             | –9,097  | –15,524   |
| 4180 Financing authority, net (total) .....   |             | –13,970 | –16,788   |
| 4190 Financing disbursements, net (total) .....   |             | –9,097  | –15,524   |

## Status of Direct Loans (in millions of dollars)

| Identification code 91–4253–4–3–502                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| STAFFORD   |             |         |           |
| Cumulative balance of direct loans outstanding:                    |             |         |           |
| 1210 Outstanding, start of year .....                              |             |         | 1         |
| 1251 Repayments: Repayments and prepayments .....                  |             | –5      | –2        |
| 1261 Adjustments: Capitalized interest .....                       |             |         | –44       |
| 1264 Write-offs for default: Other adjustments, net (+ or -) ..... |             | 6       | 8         |
| 1290 Outstanding, end of year .....                                |             | 1       | –37       |
| UNSUBSIDIZED STAFFORD  |             |         |           |
| Cumulative balance of direct loans outstanding:                    |             |         |           |
| 1210 Outstanding, start of year .....                              |             |         | 2         |
| 1251 Repayments: Repayments and prepayments .....                  |             | 2       | 12        |

|   |  |    |     |
|---|--|----|-----|
| 1261  | Adjustments: Capitalized interest .....      |    | -57 |
| 1290  | Outstanding, end of year .....               | 2  | -43 |
| <b>PLUS</b>                                     |  |    |     |
| Cumulative balance of direct loans outstanding: |  |    |     |
| 1210  | Outstanding, start of year .....             |    | -1  |
| 1251  | Repayments: Repayments and prepayments ..... | -1 | -25 |
| 1261  | Adjustments: Capitalized interest .....      |    | -8  |
| 1290  | Outstanding, end of year .....               | -1 | -34 |
| <b>CONSOLIDATION</b>                            |  |    |     |
| Cumulative balance of direct loans outstanding: |  |    |     |
| 1210  | Outstanding, start of year .....             |    | -1  |
| 1251  | Repayments: Repayments and prepayments ..... | -1 | 139 |
| 1290  | Outstanding, end of year .....               | -1 | 138 |

**FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 91-0231-0-1-502       | 2012 actual   | 2013 CR | 2014 est. |
|---|---|---------|-----------|
| <b>Obligations by program activity:</b>   |   |         |           |
| Credit program obligations:               |   |         |           |
| 0704                                      | Subsidy for modifications of loan guarantees .....      | 415     |           |
| 0705                                      | Reestimates of direct loan subsidy .....                | 715     | 2,298     |
| 0706                                      | Interest on reestimates of direct loan subsidy .....    | 86      | 306       |
| 0707                                      | Reestimates of loan guarantee subsidy .....             | 96      | 151       |
| 0708                                      | Interest on reestimates of loan guarantee subsidy ..... | 48      | 344       |
| 0900                                      | Total new obligations (object class 41.0) .....         | 1,360   | 3,099     |
| <b>Budgetary Resources:</b>               |   |         |           |
| Budget authority:                         |   |         |           |
| Appropriations, mandatory:                |   |         |           |
| 1200                                      | Appropriation .....                                     | 1,360   | 3,099     |
| 1260                                      | Appropriations, mandatory (total) .....                 | 1,360   | 3,099     |
| 1930                                      | Total budgetary resources available .....               | 1,360   | 3,099     |
| <b>Change in obligated balance:</b>       |   |         |           |
| Unpaid obligations:                       |   |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....          | 1,360   | 3,099     |
| 3020                                      | Outlays (gross) .....                                   | -1,360  | -3,099    |
| <b>Budget authority and outlays, net:</b> |   |         |           |
| Mandatory:                                |   |         |           |
| 4090                                      | Budget authority, gross .....                           | 1,360   | 3,099     |
| Outlays, gross:                           |   |         |           |
| 4100                                      | Outlays from new mandatory authority .....              | 1,360   | 3,099     |
| 4180                                      | Budget authority, net (total) .....                     | 1,360   | 3,099     |
| 4190                                      | Outlays, net (total) .....                              | 1,360   | 3,099     |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 91-0231-0-1-502   | 2012 actual  | 2013 CR | 2014 est. |
|---------------------------------------|--|---------|-----------|
| Direct loan upward reestimates:       |  |         |           |
| 135010                                | Direct Participation Agreement Reestimates .....         | 1,825   |           |
| 135012                                | Direct Standard Put Reestimates .....                    | 801     | 779       |
| 135999                                | Total upward reestimate budget authority .....           | 801     | 2,604     |
| Direct loan downward reestimates:     |  |         |           |
| 137010                                | Direct Participation Agreement Reestimates .....         | -1,621  | -519      |
| 137012                                | Direct Standard Put Reestimates .....                    | -719    | -598      |
| 137999                                | Total downward reestimate budget authority .....         | -2,340  | -1,117    |
| Guaranteed loan subsidy outlays:      |  |         |           |
| 234006                                | FFEL Guarantees .....                                    | 153     |           |
| 234999                                | Total subsidy outlays .....                              | 153     |           |
| Guaranteed loan upward reestimates:   |  |         |           |
| 235006                                | FFEL Guarantees .....                                    | 144     | 494       |
| 235999                                | Total upward reestimate budget authority .....           | 144     | 494       |
| Guaranteed loan downward reestimates: |  |         |           |
| 237006                                | FFEL Guarantees .....                                    | -13,769 | -8,825    |
| 237999                                | Total downward reestimate subsidy budget authority ..... | -13,769 | -8,825    |

As required by the Federal Credit Reform Act of 1990, this program account records the subsidy costs associated with Federal Family Education Loans (FFEL), formerly guaranteed student loans (GSL), committed in 1992 and beyond. Beginning with the 1993 cohort of loans, mandatory administrative costs, specifically contract collection costs, are included in the FFEL subsidy estimates of each year's cohort. Subsidy amounts are estimated on a net present value basis.

A description of the FFEL program and accompanying tables are included under the Federal Direct Student Loan program account.

**FEDERAL FAMILY EDUCATION LOAN PROGRAM ACCOUNT**

(Legislative proposal, subject to PAYGO)

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 91-0231-4-1-502 | 2012 actual                 | 2013 CR | 2014 est. |
|-------------------------------------|-----------------------------|---------|-----------|
| Guaranteed loan subsidy outlays:    |                             |         |           |
| 234006                              | FFEL Guarantees .....       |         | -3,657    |
| 234999                              | Total subsidy outlays ..... |         | -3,657    |

**FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 91-4251-0-3-502     | 2012 actual                                       | 2013 CR | 2014 est. |
|---|---|---------|-----------|
| <b>Obligations by program activity:</b> |   |         |           |
| 0101                                    | Default claims .....                              | 2,812   | 1,735     |
| 0102                                    | Special allowance .....                           | 146     | 35        |
| 0103                                    | Interest benefits .....                           | 1,157   | 445       |
| 0104                                    | Death, disability, and bankruptcy claims .....    | 260     | 84        |
| 0105                                    | Teacher loan forgiveness, other write-offs .....  | 44      | 110       |
| 0107                                    | Contract collection costs .....                   | 14      | 17        |
| 0110                                    | Guaranty Agency account maintenance fees .....    | 37      | 26        |
| 0191                                    | Subtotal, Stafford loans .....                    | 4,470   | 2,452     |
| 0202                                    | Default claims .....                              | 2,611   | 1,595     |
| 0203                                    | Special allowance .....                           | 48      | 36        |
| 0204                                    | Death, disability, and bankruptcy claims .....    | 237     | 88        |
| 0205                                    | Teacher loan forgiveness, other write-offs .....  | 80      | 85        |
| 0207                                    | Contract collection costs .....                   | 10      | 9         |
| 0210                                    | Guaranty Agency account maintenance fees .....    | 39      | 22        |
| 0291                                    | Subtotal, Unsubsidized Stafford loans .....       | 3,025   | 1,835     |
| 0301                                    | Default claims .....                              | 313     | 208       |
| 0302                                    | Special allowance .....                           | 5       | 1         |
| 0304                                    | Death, disability, and bankruptcy claims .....    | 72      | 41        |
| 0307                                    | Contract Collection Costs .....                   | 1       | 1         |
| 0310                                    | Guaranty Agency account maintenance fees .....    | 9       | 8         |
| 0391                                    | Subtotal, PLUS loans .....                        | 400     | 259       |
| 0403                                    | Default claims .....                              | 41      |           |
| 0404                                    | Special allowance .....                           | 1       |           |
| 0405                                    | Death, disability, and bankruptcy claims .....    | 4       |           |
| 0407                                    | Contract collection costs .....                   | 3       | 1         |
| 0491                                    | Subtotal, SLS loans .....                         | 49      | 1         |
| 0501                                    | Default claims .....                              | 4,737   | 3,898     |
| 0502                                    | Special allowance .....                           | 10      | 98        |
| 0503                                    | Interest benefits .....                           | 467     | 415       |
| 0504                                    | Death, disability, and bankruptcy claims .....    | 870     | 774       |
| 0505                                    | Teacher loan forgiveness, other write-offs .....  | 34      |           |
| 0507                                    | Contract collection costs .....                   | 2       | 12        |
| 0510                                    | Guaranty Agency account maintenance fees .....    | 124     | 141       |
| 0591                                    | Subtotal, Consolidations loans .....              | 6,244   | 5,338     |
| Credit program obligations:             |   |         |           |
| 0713                                    | Payment of interest to Treasury .....             | 2,083   | 112       |
| 0741                                    | Modification savings .....                        | 262     |           |
| 0742                                    | Downward reestimate paid to receipt account ..... | 10,450  | 6,456     |
| 0743                                    | Interest on downward reestimates .....            | 3,319   | 2,369     |
| 0791                                    | Direct program activities, subtotal .....         | 16,114  | 8,937     |
| 0900                                    | Total new obligations .....                       | 30,302  | 18,822    |

**FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued**

| Identification code 91–4251–0–3–502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 11,839      | 13,781  | 9,208     |
| 1021 Recoveries of prior year unpaid obligations .....  | 853         |         |           |
| 1050 Unobligated balance (total) .....  | 12,692      | 13,781  | 9,208     |
| Financing authority:  |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 35          |         |           |
| 1260 Appropriations, mandatory (total) .....  | 35          |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 13,769      |         |           |
| 1440 Borrowing authority, mandatory (total) .....   | 13,769      |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 17,616      | 14,249  | 12,922    |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | –29         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 17,587      | 14,249  | 12,922    |
| 1900 Financing authority (total) .....  | 31,391      | 14,249  | 12,922    |
| 1930 Total budgetary resources available .....  | 44,083      | 28,030  | 22,130    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 13,781      | 9,208   | 13,773    |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 2,455       | 2,275   | 2,275     |
| 3010 Obligations incurred, unexpired accounts .....   | 30,302      | 18,822  | 8,357     |
| 3020 Financing disbursements (gross) .....  | –29,629     | –18,822 | –8,357    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                             | –853        |         |           |
| 3050 Unpaid obligations, end of year .....  | 2,275       | 2,275   | 2,275     |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 2,455       | 2,275   | 2,275     |
| 3200 Obligated balance, end of year .....   | 2,275       | 2,275   | 2,275     |
| <b>Financing authority and disbursements, net:</b>  |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 31,391      | 14,249  | 12,922    |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....   | 29,629      | 18,822  | 8,357     |
| Offsets against gross financing authority and disbursements:                                  |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Upward reestimate .....  | –96         | –151    |           |
| 4120 Interest on upward reestimate .....  | –48         | –344    |           |
| 4120 Upward Modification .....  | –415        |         |           |
| 4122 Interest on uninvested funds .....   | –643        |         |           |
| 4123 Stafford recoveries on defaults .....  | –2,592      | –2,367  | –2,213    |
| 4123 Stafford other fees .....  | –101        |         |           |
| 4123 Stafford special allowance rebate .....  | –1,599      | –905    | –755      |
| 4123 Unsubsidized Stafford recoveries on default .....  | –1,491      | –1,989  | –1,895    |
| 4123 Unsubsidized Stafford other fees .....   | –82         |         |           |
| 4123 Unsubsidized Stafford special allowance rebate .....                                     | –1,856      | –1,194  | –1,036    |
| 4123 PLUS recoveries on defaults .....  | –289        | –206    | –201      |
| 4123 PLUS other fees .....  | –12         |         |           |
| 4123 PLUS special allowance rebate .....  | –690        | –402    | –325      |
| 4123 SLS recoveries on defaults .....   | –30         | –22     | –16       |
| 4123 SLS other fees .....   | –1          |         |           |
| 4123 Consolidation recoveries on defaults .....   | –3,499      | –3,189  | –3,330    |
| 4123 Consolidation loan holders fee .....   | –1,993      | –1,623  | –1,453    |
| 4123 Consolidation other fees .....   | –153        |         |           |
| 4123 Consolidation special allowance rebate .....   | –2,026      | –1,857  | –1,698    |
| 4130 Offsets against gross financing auth and disbursements (total) .....                     | –17,616     | –14,249 | –12,922   |
| 4160 Financing authority, net (mandatory) .....   | 13,775      |         |           |
| 4170 Financing disbursements, net (mandatory) .....   | 12,013      | 4,573   | –4,565    |
| 4180 Financing authority, net (total) .....   | 13,775      |         |           |
| 4190 Financing disbursements, net (total) .....   | 12,013      | 4,573   | –4,565    |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 91–4251–0–3–502                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>STAFFORD</b>                                     |             |         |           |
| Cumulative balance of guaranteed loans outstanding: |             |         |           |
| 2210 Outstanding, start of year .....               | 55,818      | 46,132  | 40,136    |
| 2251 Repayments and prepayments .....               | –4,418      | –3,872  | –3,621    |

|   |        |        |        |
|---|--------|--------|--------|
| Adjustments:  |        |        |        |
| 2261 Terminations for default that result in loans receivable .....               | –3,071 | –1,929 | –1,162 |
| 2263 Terminations for default that result in claim payments .....                 | –260   | –84    | –65    |
| 2264 Other adjustments, net .....   | –1,937 | –111   | –103   |
| 2290 Outstanding, end of year .....   | 46,132 | 40,136 | 35,185 |
| Memorandum:   |        |        |        |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 44,748 | 38,129 | 33,426 |
| Addendum:   |        |        |        |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |        |        |        |
| 2310 Outstanding, start of year .....   | 6,994  | 7,145  | 6,483  |
| 2331 Disbursements for guaranteed loan claims .....                               | 3,071  | 1,929  | 1,162  |
| 2351 Repayments of loans receivable .....   | –2,278 | –2,367 | –2,213 |
| 2361 Write-offs of loans receivable .....   | –260   | –224   | –181   |
| 2364 Other adjustments, net .....   | –382   |        |        |
| 2390 Outstanding, end of year .....   | 7,145  | 6,483  | 5,251  |
| <b>UNSUBSIDIZED STAFFORD</b>  |        |        |        |
| Cumulative balance of guaranteed loans outstanding:                               |        |        |        |
| 2210 Outstanding, start of year .....   | 58,593 | 49,707 | 42,560 |
| 2251 Repayments and prepayments .....   | –4,638 | –5,197 | –4,834 |
| Adjustments:  |        |        |        |
| 2261 Terminations for default that result in loans receivable .....               | –2,849 | –1,777 | –1,115 |
| 2263 Terminations for default that result in claim payments .....                 | –237   | –88    | –64    |
| 2264 Other adjustments, net .....   | –1,162 | –85    | –83    |
| 2290 Outstanding, end of year .....   | 49,707 | 42,560 | 36,464 |
| Memorandum:   |        |        |        |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 48,216 | 40,432 | 34,641 |
| Addendum:   |        |        |        |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |        |        |        |
| 2310 Outstanding, start of year .....   | 7,820  | 8,595  | 8,169  |
| 2331 Disbursements for guaranteed loan claims .....                               | 2,849  | 1,777  | 1,115  |
| 2351 Repayments of loans receivable .....   | –1,374 | –1,989 | –1,895 |
| 2361 Write-offs of loans receivable .....   | –237   | –214   | –188   |
| 2364 Other adjustments, net .....   | –463   |        |        |
| 2390 Outstanding, end of year .....   | 8,595  | 8,169  | 7,201  |
| <b>PLUS</b>   |        |        |        |
| Cumulative balance of guaranteed loans outstanding:                               |        |        |        |
| 2210 Outstanding, start of year .....   | 13,791 | 11,014 | 9,240  |
| 2251 Repayments and prepayments .....   | –1,092 | –1,505 | –1,419 |
| Adjustments:  |        |        |        |
| 2261 Terminations for default that result in loans receivable .....               | –385   | –228   | –151   |
| 2263 Terminations for default that result in claim payments .....                 | –72    | –41    | –31    |
| 2264 Other adjustments, net .....   | –1,228 |        |        |
| 2290 Outstanding, end of year .....   | 11,014 | 9,240  | 7,639  |
| Memorandum:   |        |        |        |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 10,684 | 8,778  | 7,257  |
| Addendum:   |        |        |        |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |        |        |        |
| 2310 Outstanding, start of year .....   | 603    | 625    | 584    |
| 2331 Disbursements for guaranteed loan claims .....                               | 385    | 228    | 151    |
| 2351 Repayments of loans receivable .....   | –257   | –206   | –201   |
| 2361 Write-offs of loans receivable .....   | –72    | –63    | –53    |
| 2364 Other adjustments, net .....   | –34    |        |        |
| 2390 Outstanding, end of year .....   | 625    | 584    | 481    |
| <b>SLS</b>  |        |        |        |
| Cumulative balance of guaranteed loans outstanding:                               |        |        |        |
| 2210 Outstanding, start of year .....   | 94     | 80     | 70     |
| 2251 Repayments and prepayments .....   | –7     | –4     | –2     |
| Adjustments:  |        |        |        |
| 2261 Terminations for default that result in loans receivable .....               | –45    | –6     |        |
| 2263 Terminations for default that result in claim payments .....                 | –4     |        |        |
| 2264 Other adjustments, net .....   | 42     |        |        |
| 2290 Outstanding, end of year .....   | 80     | 70     | 68     |
| Memorandum:   |        |        |        |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 77     | 66     | 64     |

|   |  |         |         |         |
|---|--|---------|---------|---------|
| Addendum:   |  |         |         |         |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |         |         |         |
| 2310  | Outstanding, start of year .....                                     | 306     | 308     | 288     |
| 2331  | Disbursements for guaranteed loan claims .....                       | 45      | 6       | .....   |
| 2351  | Repayments of loans receivable .....                                 | -22     | -23     | -16     |
| 2361  | Write-offs of loans receivable .....                                 | -4      | -3      | -3      |
| 2364  | Other adjustments, net .....   | -17     | .....   | .....   |
| 2390  | Outstanding, end of year .....                                       | 308     | 288     | 269     |
| CONSOLIDATION   |  |         |         |         |
| Cumulative balance of guaranteed loans outstanding:                               |  |         |         |         |
| 2210  | Outstanding, start of year .....                                     | 198,540 | 183,067 | 165,845 |
| 2251  | Repayments and prepayments .....                                     | -15,716 | -12,355 | -11,573 |
| Adjustments:  |  |         |         |         |
| 2261  | Terminations for default that result in loans receivable .....       | -5,607  | -4,093  | -4,006  |
| 2263  | Terminations for default that result in claim payments .....         | -870    | -774    | -773    |
| 2264  | Other adjustments, net .....   | 6,720   | .....   | .....   |
| 2290  | Outstanding, end of year .....                                       | 183,067 | 165,845 | 149,493 |
| Memorandum:   |  |         |         |         |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 177,575 | 157,552 | 142,019 |
| Addendum:   |  |         |         |         |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |         |         |         |
| 2310  | Outstanding, start of year .....                                     | 14,067  | 14,876  | 14,950  |
| 2331  | Disbursements for guaranteed loan claims .....                       | 5,607   | 4,093   | 4,006   |
| 2351  | Repayments of loans receivable .....                                 | -3,129  | -3,189  | -3,330  |
| 2361  | Write-offs of loans receivable .....                                 | -870    | -830    | -822    |
| 2364  | Other adjustments, net .....   | -799    | .....   | .....   |
| 2390  | Outstanding, end of year .....                                       | 14,876  | 14,950  | 14,804  |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Federal Family Education Loans (FFEL), formerly guaranteed student loans (GSL), committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

#### Balance Sheet (in millions of dollars)

| Identification code 91-4251-0-3-502  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets:  |             |             |
| 1101 Fund balances with Treasury .....   | 13,920      | 15,682      |
| Investments in US securities:  |             |             |
| 1106 Receivables, net .....  | 333         | 695         |
| 1206 Non-Federal assets: Receivables, net .....  | 115         | 72          |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 29,790      | 31,549      |
| 1502 Interest receivable .....   | 4,236       | 4,541       |
| 1505 Allowance for subsidy cost (-) .....  | -5,400      | -6,446      |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             | 28,626      | 29,644      |
| 1999 Total assets .....  | 42,994      | 46,093      |
| <b>LIABILITIES:</b>  |             |             |
| Federal liabilities:   |             |             |
| 2101 Accounts payable .....  | 3,192       | 1,664       |
| 2103 Debt .....  | 29,485      | 43,254      |
| Non-Federal liabilities:   |             |             |
| 2201 Accounts payable .....  | 333         | 162         |
| 2204 Liabilities for loan guarantees .....   | 9,984       | 1,013       |
| 2999 Total liabilities .....   | 42,994      | 46,093      |
| 4999 Total liabilities and net position .....  | 42,994      | 46,093      |

#### FEDERAL FAMILY EDUCATION LOAN PROGRAM FINANCING ACCOUNT (Legislative proposal, subject to PAYGO)

##### Program and Financing (in millions of dollars)

| Identification code 91-4251-4-3-502        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>    |             |         |           |
| Credit program obligations:                |             |         |           |
| 0713 Payment of interest to Treasury ..... | .....       | .....   | 51        |

|  |   |       |        |
|--|---|-------|--------|
| 0741   | Modification savings .....                                    | ..... | 3,657  |
| 0900   | Total new obligations .....                                   | ..... | 3,708  |
| <b>Budgetary Resources:</b>                                |   |       |        |
| Financing authority:                                       |   |       |        |
| Appropriations, mandatory:                                 |   |       |        |
| 1200   | Appropriation-Positive MAT .....                              | ..... | 528    |
| 1260   | Appropriations, mandatory (total) .....                       | ..... | 528    |
| Spending authority from offsetting collections, mandatory: |   |       |        |
| 1800   | Collected .....   | ..... | 2      |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | ..... | 2      |
| 1900   | Financing authority (total) .....                             | ..... | 530    |
| 1930   | Total budgetary resources available .....                     | ..... | 530    |
| Memorandum (non-add) entries:                              |   |       |        |
| 1941   | Unexpired unobligated balance, end of year .....              | ..... | -3,178 |

##### Change in obligated balance:

|                     |  |       |        |
|---------------------|--|-------|--------|
| Unpaid obligations: |  |       |        |
| 3010                | Obligations incurred, unexpired accounts ..... | ..... | 3,708  |
| 3020                | Financing disbursements (gross) .....          | ..... | -3,708 |

##### Financing authority and disbursements, net:

|  |  |       |       |
|--|--|-------|-------|
| Mandatory:   |  |       |       |
| 4090   | Financing authority, gross .....                                     | ..... | 530   |
| Financing disbursements:                                     |  |       |       |
| 4110   | Financing disbursements, gross .....                                 | ..... | 3,708 |
| Offsets against gross financing authority and disbursements: |  |       |       |
| Offsetting collections (collected) from:                     |  |       |       |
| 4123   | Stafford special allowance rebate .....                              | ..... | -1    |
| 4123   | Unsubsidized Stafford special allowance rebate .....                 | ..... | -1    |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | ..... | -2    |
| 4160   | Financing authority, net (mandatory) .....                           | ..... | 528   |
| 4170   | Financing disbursements, net (mandatory) .....                       | ..... | 3,706 |
| 4180   | Financing authority, net (total) .....                               | ..... | 528   |
| 4190   | Financing disbursements, net (total) .....                           | ..... | 3,706 |

#### TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING ACCOUNT

##### Program and Financing (in millions of dollars)

| Identification code 91-4453-0-3-502                        |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |   |             |         |           |
| 0006   | Contract collection costs .....   | 14          | 58      | 83        |
| Credit program obligations:                                |   |             |         |           |
| 0713   | Payment of interest to Treasury .....   | 2,471       | 2,873   | 2,717     |
| 0742   | Downward reestimate paid to receipt account .....                             | 1,491       | 474     | .....     |
| 0743   | Interest on downward reestimates .....  | 130         | 45      | .....     |
| 0791   | Direct program activities, subtotal .....                                     | 4,092       | 3,392   | 2,717     |
| 0900   | Total new obligations .....   | 4,106       | 3,450   | 2,800     |
| <b>Budgetary Resources:</b>                                |   |             |         |           |
| Unobligated balance:                                       |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                              | 1,021       | 576     | .....     |
| 1023   | Unobligated balances applied to repay debt .....                              | -1,021      | -576    | .....     |
| Financing authority:                                       |   |             |         |           |
| Borrowing authority, mandatory:                            |   |             |         |           |
| 1400   | Borrowing authority .....   | 1,621       | 519     | .....     |
| 1440   | Borrowing authority, mandatory (total) .....                                  | 1,621       | 519     | .....     |
| Spending authority from offsetting collections, mandatory: |   |             |         |           |
| 1800   | Collected .....   | 5,671       | 9,702   | 7,631     |
| 1825   | Spending authority from offsetting collections applied to<br>repay debt ..... | -2,610      | -6,771  | -4,831    |
| 1850   | Spending auth from offsetting collections, mand (total) .....                 | 3,061       | 2,931   | 2,800     |
| 1900   | Financing authority (total) .....   | 4,682       | 3,450   | 2,800     |
| 1930   | Total budgetary resources available .....                                     | 4,682       | 3,450   | 2,800     |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                              | 576         | .....   | .....     |

##### Change in obligated balance:

|                     |  |        |        |        |
|---------------------|--|--------|--------|--------|
| Unpaid obligations: |  |        |        |        |
| 3000                | Unpaid obligations, brought forward, Oct 1 ..... | 11,652 | 11,652 | 11,652 |
| 3010                | Obligations incurred, unexpired accounts .....   | 4,106  | 3,450  | 2,800  |
| 3020                | Financing disbursements (gross) .....            | -4,106 | -3,450 | -2,800 |

TEMPORARY STUDENT LOAN PURCHASE AUTHORITY FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 91-4453-0-3-502                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3050 Unpaid obligations, end of year .....                                | 11,652      | 11,652  | 11,652    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 11,652      | 11,652  | 11,652    |
| 3200 Obligated balance, end of year .....                                 | 11,652      | 11,652  | 11,652    |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 4,682       | 3,450   | 2,800     |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 4,106       | 3,450   | 2,800     |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Upward reestimate .....  | -1,607      |         |           |
| 4120 Upward reestimate interest .....                                     | -218        |         |           |
| 4122 Interest on uninvested funds .....                                   | -237        |         |           |
| 4123 Principal repayments .....   | -4,264      | -6,530  | -6,167    |
| 4123 Interest repayments .....  | -1,008      | -1,347  | -1,464    |
| 4123 Fees and other refunds .....   | -162        |         |           |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -5,671      | -9,702  | -7,631    |
| 4160 Financing authority, net (mandatory) .....                           | -989        | -6,252  | -4,831    |
| 4170 Financing disbursements, net (mandatory) .....                       | -1,565      | -6,252  | -4,831    |
| 4180 Financing authority, net (total) .....                               | -989        | -6,252  | -4,831    |
| 4190 Financing disbursements, net (total) .....                           | -1,565      | -6,252  | -4,831    |

Status of Direct Loans (in millions of dollars)

| Identification code 91-4453-0-3-502                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:                    |             |         |           |
| 1210 Outstanding, start of year .....                              | 61,125      | 58,834  | 53,250    |
| 1251 Repayments: Repayments and prepayments .....                  | -4,264      | -6,530  | -6,167    |
| 1261 Adjustments: Capitalized interest .....                       |             | 1,005   | 680       |
| 1264 Write-offs for default: Other adjustments, net (+ or -) ..... | 1,973       | -59     | -76       |
| 1290 Outstanding, end of year .....                                | 58,834      | 53,250  | 47,687    |

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records all cash flows to and from the Government resulting from the participation interest program authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

Balance Sheet (in millions of dollars)

| Identification code 91-4453-0-3-502                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 5,546       | 5,101       |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 1,075       | 1,822       |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 61,125      | 58,834      |
| 1402 Interest receivable .....                                    | 2,993       | 3,143       |
| 1405 Allowance for subsidy cost (-) .....                         | 8,564       | 8,910       |
| 1499 Net present value of assets related to direct loans .....    | 72,682      | 70,887      |
| 1999 Total assets .....   | 79,303      | 77,810      |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....                                       |             | 518         |
| 2103 Debt .....   | 79,302      | 77,292      |
| 2201 Non-Federal liabilities: Accounts payable .....              | 1           |             |
| 2999 Total liabilities .....                                      | 79,303      | 77,810      |
| 4999 Total liabilities and net position .....                     | 79,303      | 77,810      |

STUDENT LOAN ACQUISITION ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 91-4449-0-3-502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0005 Contract collection costs .....  | 21          | 35      | 49        |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 1,318       | 1,700   | 1,615     |
| 0742 Downward reestimate paid to receipt account .....                          | 658         | 531     |           |
| 0743 Interest on downward reestimates .....                                     | 61          | 67      |           |
| 0791 Direct program activities, subtotal .....                                  | 2,037       | 2,298   | 1,615     |
| 0900 Total new obligations .....  | 2,058       | 2,333   | 1,664     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 248         | 1,190   |           |
| 1023 Unobligated balances applied to repay debt .....                           | -248        | -1,190  |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 719         | 598     |           |
| 1440 Borrowing authority, mandatory (total) .....                               | 719         | 598     |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 4,517       | 5,569   | 4,671     |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -1,988      | -3,834  | -3,007    |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 2,529       | 1,735   | 1,664     |
| 1900 Financing authority (total) .....  | 3,248       | 2,333   | 1,664     |
| 1930 Total budgetary resources available .....                                  | 3,248       | 2,333   | 1,664     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 1,190       |         |           |

Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:                                   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 305    | 305    | 305    |
| 3010 Obligations incurred, unexpired accounts .....   | 2,058  | 2,333  | 1,664  |
| 3020 Financing disbursements (gross) .....            | -2,058 | -2,333 | -1,664 |
| 3050 Unpaid obligations, end of year .....            | 305    | 305    | 305    |
| Memorandum (non-add) entries:                         |        |        |        |
| 3100 Obligated balance, start of year .....           | 305    | 305    | 305    |
| 3200 Obligated balance, end of year .....             | 305    | 305    | 305    |

Financing authority and disbursements, net:

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:  |        |        |        |
| 4090 Financing authority, gross .....                                     | 3,248  | 2,333  | 1,664  |
| Financing disbursements:  |        |        |        |
| 4110 Financing disbursements, gross .....                                 | 2,058  | 2,333  | 1,664  |
| Offsets against gross financing authority and disbursements:              |        |        |        |
| Offsetting collections (collected) from:                                  |        |        |        |
| 4120 Upward reestimate .....  | -715   | -692   |        |
| 4120 Upward reestimate interest .....                                     | -86    | -87    |        |
| 4122 Interest on uninvested funds .....                                   | -73    |        |        |
| 4123 Principal repayments .....   | -2,929 | -3,982 | -3,788 |
| 4123 Borrower interest repayments .....                                   | -645   | -808   | -883   |
| 4123 Fees and other refunds .....   | -69    |        |        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -4,517 | -5,569 | -4,671 |
| 4160 Financing authority, net (mandatory) .....                           | -1,269 | -3,236 | -3,007 |
| 4170 Financing disbursements, net (mandatory) .....                       | -2,459 | -3,236 | -3,007 |
| 4180 Financing authority, net (total) .....                               | -1,269 | -3,236 | -3,007 |
| 4190 Financing disbursements, net (total) .....                           | -2,459 | -3,236 | -3,007 |

Status of Direct Loans (in millions of dollars)

| Identification code 91-4449-0-3-502                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:                    |             |         |           |
| 1210 Outstanding, start of year .....                              | 35,822      | 34,012  | 30,691    |
| 1251 Repayments: Repayments and prepayments .....                  | -2,929      | -3,982  | -3,788    |
| 1261 Adjustments: Capitalized interest .....                       |             | 698     | 477       |
| 1264 Write-offs for default: Other adjustments, net (+ or -) ..... | 1,119       | -37     | -48       |
| 1290 Outstanding, end of year .....                                | 34,012      | 30,691  | 27,332    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the standard and short-term Put programs authorized under the Ensuring Continued Access to Student

Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 91-4449-0-3-502                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 84          | 1,025       |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 1,661       | 772         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 35,822      | 34,012      |
| 1402 Interest receivable .....                                    | 1,879       | 1,875       |
| 1405 Allowance for subsidy cost (-) .....                         | 4,415       | 5,258       |
| 1499 Net present value of assets related to direct loans .....    | 42,116      | 41,145      |
| 1999 Total assets .....   | 43,861      | 42,942      |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....                                       |             | 600         |
| 2103 Debt .....   | 43,860      | 42,342      |
| 2201 Non-Federal liabilities: Accounts payable .....              | 1           |             |
| 2999 Total liabilities .....                                      | 43,861      | 42,942      |
| 4999 Total liabilities and net position .....                     | 43,861      | 42,942      |

**TEMPORARY STUDENT LOAN PURCHASE AUTHORITY CONDUIT FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 91-4459-0-3-502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0003 Contract collection costs .....  | 17          | 91      | 97        |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 90          | 62      | 63        |
| 0900 Total new obligations .....  | 107         | 153     | 160       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 79          | 17      | 86        |
| 1023 Unobligated balances applied to repay debt .....                           | -79         | -17     | -86       |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 324         | 741     | 35,849    |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -200        | -502    | -542      |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 124         | 239     | 35,307    |
| 1930 Total budgetary resources available .....                                  | 124         | 239     | 35,307    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 17          | 86      | 35,147    |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 72,638      | 71,634  | 70,930    |
| 3010 Obligations incurred, unexpired accounts .....                             | 107         | 153     | 160       |
| 3020 Financing disbursements (gross) .....                                      | -1,111      | -857    | -35,708   |
| 3050 Unpaid obligations, end of year .....                                      | 71,634      | 70,930  | 35,382    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 72,638      | 71,634  | 70,930    |
| 3200 Obligated balance, end of year .....                                       | 71,634      | 70,930  | 35,382    |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 124         | 239     | 35,307    |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 1,111       | 857     | 35,708    |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4122 Interest on uninvested funds .....   | -32         |         |           |
| 4123 Direct Conduit Fees .....  | -156        | -44     | -35       |
| 4123 Principal repayments .....   | -105        | -566    | -599      |
| 4123 Interest repayments .....  | -31         | -89     | -103      |
| 4123 FFB Commitment Fee .....   |             | -42     | -34       |
| 4123 FFB principal repayment .....  |             |         | -35,000   |

|      |  |      |      |         |
|------|--|------|------|---------|
| 4123 | FFB interest repayment .....   |      |      | -78     |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -324 | -741 | -35,849 |
| 4160 | Financing authority, net (mandatory) .....                           | -200 | -502 | -542    |
| 4170 | Financing disbursements, net (mandatory) .....                       | 787  | 116  | -141    |
| 4180 | Financing authority, net (total) .....                               | -200 | -502 | -542    |
| 4190 | Financing disbursements, net (total) .....                           | 787  | 116  | -141    |

**Status of Direct Loans** (in millions of dollars)

| Identification code 91-4459-0-3-502                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:                    |             |         |           |
| 1210 Outstanding, start of year .....                              | 1,121       | 2,038   | 2,176     |
| 1232 Disbursements: Purchase of loans assets from the public ..... | 1,004       | 704     | 35,548    |
| 1251 Repayments: Repayments and prepayments .....                  | -104        | -566    | -35,598   |
| 1261 Adjustments: Capitalized interest .....                       |             |         |           |
| 1264 Write-offs for default: Other adjustments, net (+ or -) ..... | 17          |         |           |
| 1290 Outstanding, end of year .....                                | 2,038       | 2,176   | 2,126     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the asset-backed commercial paper conduit authorized under the Ensuring Continued Access to Student Loans Act of 2008. Amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 91-4459-0-3-502                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 38          | 22          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 1,121       | 2,038       |
| 1402 Interest receivable .....                                    | 55          | 133         |
| 1405 Allowance for subsidy cost (-) .....                         | -233        | -440        |
| 1499 Net present value of assets related to direct loans .....    | 943         | 1,731       |
| 1999 Total assets .....   | 981         | 1,753       |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 963         | 1,734       |
| 2201 Non-Federal liabilities: Accounts payable .....              | 18          | 19          |
| 2999 Total liabilities .....                                      | 981         | 1,753       |
| 4999 Total liabilities and net position .....                     | 981         | 1,753       |

**FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 91-0230-0-1-502                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0101 Interest benefits, net of origination fees .....               | 3           | 3       | 3         |
| 0103 Default claims .....   | 59          | 51      | 45        |
| 0104 Death, disability, and bankruptcy claims .....                 | 5           | 5       | 4         |
| 0105 Contract collection costs .....                                | 24          | 20      | 17        |
| 0191 Subtotal, Stafford loans .....                                 | 91          | 79      | 69        |
| 0201 Default claims .....   | 7           | 8       | 7         |
| 0202 Death, disability, and bankruptcy claims .....                 | 2           | 2       | 2         |
| 0205 Contract collection costs .....                                | 6           | 4       | 3         |
| 0291 Subtotal, PLUS/SLS loans .....                                 | 15          | 14      | 12        |
| 0900 Total new obligations .....                                    | 106         | 93      | 81        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 236         | 203     |           |
| 1021 Recoveries of prior year unpaid obligations .....              | 1           |         |           |
| 1022 Capital transfer of unobligated balances to general fund ..... | -236        | -203    |           |
| 1050 Unobligated balance (total) .....                              | 1           |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:          |             |         |           |
| 1800 Collected .....  | 496         | 404     | 325       |

**FEDERAL FAMILY EDUCATION LOAN LIQUIDATING ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 91-0230-0-1-502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -188        | -311    | -244      |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 308         | 93      | 81        |
| 1900 Budget authority (total) .....   | 308         | 93      | 81        |
| 1930 Total budgetary resources available .....  | 309         | 93      | 81        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 203         |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 20          | 36      | 36        |
| 3010 Obligations incurred, unexpired accounts .....   | 106         | 93      | 81        |
| 3020 Outlays (gross) .....  | -89         | -93     | -81       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                             | -1          |         |           |
| 3050 Unpaid obligations, end of year .....  | 36          | 36      | 36        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 20          | 36      | 36        |
| 3200 Obligated balance, end of year .....   | 36          | 36      | 36        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 308         | 93      | 81        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 89          | 93      | 81        |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Fed collections on defaulted loans, stafford .....                                       | -99         | -112    | -90       |
| 4123 Fed collections on bankruptcies, Stafford .....  | -2          | -1      | -1        |
| 4123 Offsets against Federal tax refunds, Stafford .....                                      | -136        | -120    | -97       |
| 4123 Reimbursements from guaranty agencies, Stafford .....                                    | -127        | -85     | -68       |
| 4123 Other collections, Stafford .....  | -32         | -25     | -20       |
| 4123 Federal collections on defaulted loans, PLUS/SLS .....                                   | -65         | -34     | -27       |
| 4123 Federal collections on bankruptcies, PLUS/SLS .....                                      |             | -1      | -1        |
| 4123 Offsets against Federal tax refunds, PLUS/SLS .....                                      | -8          | -9      | -7        |
| 4123 Reimbursements from guaranty agencies, PLUS/SLS .....                                    | -27         | -17     | -14       |
| 4130 Offsets against gross budget authority and outlays (total) ....                          | -496        | -404    | -325      |
| 4160 Budget authority, net (mandatory) .....  | -188        | -311    | -244      |
| 4170 Outlays, net (mandatory) .....   | -407        | -311    | -244      |
| 4180 Budget authority, net (total) .....  | -188        | -311    | -244      |
| 4190 Outlays, net (total) .....   | -407        | -311    | -244      |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 91-0230-0-1-502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>STAFFORD LOANS</b>   |             |         |           |
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 692         | 594     | 537       |
| 2251 Repayments and prepayments .....   | -19         | -15     | -11       |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               | -64         | -38     | -29       |
| 2263 Terminations for default that result in claim payments .....                 | -5          | -4      | -4        |
| 2264 Other adjustments, net .....   | -10         |         |           |
| 2290 Outstanding, end of year .....   | 594         | 537     | 493       |
| <b>Memorandum:</b>  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 576         | 510     | 468       |
| <b>Addendum:</b>  |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 5,375       | 4,770   | 4,616     |
| 2331 Disbursements for guaranteed loan claims .....                               | 64          | 38      | 29        |
| 2351 Repayments of loans receivable .....   | -244        | -166    | -134      |
| 2361 Write-offs of loans receivable .....   | -5          | -4      | -4        |
| 2364 Other adjustments, net .....   | -420        | -22     | -17       |
| 2390 Outstanding, end of year .....   | 4,770       | 4,616   | 4,490     |
| <b>PLUS/SLS LOANS</b>   |             |         |           |
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 90          | 75      | 67        |
| 2251 Repayments and prepayments .....   | -4          | -4      | -4        |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               | -9          | -3      | -2        |
| 2263 Terminations for default that result in claim payments .....                 | -2          | -1      | -2        |

|   |     |     |     |
|---|-----|-----|-----|
| 2290 Outstanding, end of year .....   | 75  | 67  | 59  |
| <b>Memorandum:</b>  |     |     |     |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 73  | 63  | 56  |
| <b>Addendum:</b>  |     |     |     |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |     |     |     |
| 2310 Outstanding, start of year .....   | 853 | 749 | 719 |
| 2331 Disbursements for guaranteed loan claims .....                               | 9   | 3   | 2   |
| 2351 Repayments of loans receivable .....   | -45 | -28 | -22 |
| 2361 Write-offs of loans receivable .....   | -2  | -2  | -2  |
| 2364 Other adjustments, net .....   | -66 | -3  | -2  |
| 2390 Outstanding, end of year .....   | 749 | 719 | 695 |

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed student loans committed prior to 1992. This account is shown on a cash basis. All new loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet (in millions of dollars)**

| Identification code 91-0230-0-1-502                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 256         | 240         |
| 1701 Defaulted guaranteed loans, gross .....                            | 6,228       | 5,519       |
| 1702 Interest receivable .....  | 4,034       | 5,358       |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -6,587      | -8,180      |
| 1799 Value of assets related to loan guarantees .....                   | 3,675       | 2,697       |
| 1999 Total assets .....   | 3,931       | 2,937       |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 3,886       | 2,913       |
| <b>Non-Federal liabilities:</b>   |             |             |
| 2201 Accounts payable .....   | 4           |             |
| 2204 Liabilities for loan guarantees .....                              | 41          | 24          |
| 2999 Total liabilities .....  | 3,931       | 2,937       |
| 4999 Total liabilities and net position .....                           | 3,931       | 2,937       |

**Object Classification (in millions of dollars)**

| Identification code 91-0230-0-1-502             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                      |             |         |           |
| 33.0 Investments and loans .....                | 96          | 84      | 72        |
| 41.0 Grants, subsidies, and contributions ..... | 3           | 3       | 3         |
| 42.0 Insurance claims and indemnities .....     | 7           | 6       | 6         |
| 99.9 Total new obligations .....                | 106         | 93      | 81        |

**FEDERAL PERKINS LOAN PROGRAM ACCOUNT**  
**(Legislative proposal, subject to PAYGO)****Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

| Identification code 91-0217-4-1-502                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct loan levels supportable by subsidy budget authority:</b> |             |         |           |
| 115001 Federal Perkins Loans .....                                 |             |         | 4,684     |
| 115999 Total direct loan levels .....                              |             |         | 4,684     |
| <b>Direct loan subsidy (in percent):</b>                           |             |         |           |
| 132001 Federal Perkins Loans .....                                 |             |         | -30.07    |
| 132999 Weighted average subsidy rate .....                         |             |         | -30.07    |
| <b>Direct loan subsidy budget authority:</b>                       |             |         |           |
| 133001 Federal Perkins Loans .....                                 |             |         | -1,409    |
| 133999 Total subsidy budget authority .....                        |             |         | -1,409    |
| <b>Direct loan subsidy outlays:</b>                                |             |         |           |
| 134001 Federal Perkins Loans .....                                 |             |         | -673      |



134999 Total subsidy outlays ..... -673

**FEDERAL PERKINS LOAN FINANCING ACCOUNT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 91-4574-4-3-502                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  |             |         | 4,684     |
| 0713 Payment of interest to Treasury .....                                |             |         | 40        |
| 0740 Negative subsidy obligations .....                                   |             |         | 1,409     |
| 0900 Total new obligations .....  |             |         | 6,133     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  |             |         | 6,110     |
| 1440 Borrowing authority, mandatory (total) .....                         |             |         | 6,110     |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  |             |         | 23        |
| 1850 Spending auth from offsetting collections, mand (total) .....        |             |         | 23        |
| 1900 Financing authority (total) .....                                    |             |         | 6,133     |
| 1930 Total budgetary resources available .....                            |             |         | 6,133     |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                       |             |         | 6,133     |
| 3020 Financing disbursements (gross) .....                                |             |         | -2,951    |
| 3050 Unpaid obligations, end of year .....                                |             |         | 3,182     |
| Memorandum (non-add) entries:   |             |         |           |
| 3200 Obligated balance, end of year .....                                 |             |         | 3,182     |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     |             |         | 6,133     |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 |             |         | 2,951     |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4123 Payment of principal .....   |             |         | -1        |
| 4123 Origination fees .....   |             |         | -22       |
| 4130 Offsets against gross financing auth and disbursements (total) ..... |             |         | -23       |
| 4160 Financing authority, net (mandatory) .....                           |             |         | 6,110     |
| 4170 Financing disbursements, net (mandatory) .....                       |             |         | 2,928     |
| 4180 Financing authority, net (total) .....                               |             |         | 6,110     |
| 4190 Financing disbursements, net (total) .....                           |             |         | 2,928     |

**Status of Direct Loans** (in millions of dollars)

| Identification code 91-4574-4-3-502                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              |             |         | 4,684     |
| 1150 Total direct loan obligations .....                               |             |         | 4,684     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  |             |         |           |
| 1231 Disbursements: Direct loan disbursements .....                    |             |         | 2,238     |
| 1251 Repayments: Repayments and prepayments .....                      |             |         | -1        |
| 1261 Adjustments: Capitalized interest .....                           |             |         |           |
| 1264 Write-offs for default: Other adjustments, net (+ or -) .....     |             |         |           |
| 1290 Outstanding, end of year .....                                    |             |         | 2,237     |

of Health and Human Services. The Department of Education will assume responsibility for the program and the authority to administer, service, collect, and enforce the program. In addition, the functions, assets, and liabilities of the Secretary of Health and Human Services that are associated with the HEAL program will be permanently transferred to the Secretary of Education.

**HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 91-4300-0-3-552                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....                            |             |         | 12        |
| 0900 Total new obligations .....  |             |         | 12        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1011 Unobligated balance transfer from other accts [75-4304] ....         |             |         | 99        |
| 1050 Unobligated balance (total) .....                                    |             |         | 99        |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  |             |         | 6         |
| 1850 Spending auth from offsetting collections, mand (total) .....        |             |         | 6         |
| 1930 Total budgetary resources available .....                            |             |         | 105       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     |             |         | 93        |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                       |             |         | 12        |
| 3020 Financing disbursements (gross) .....                                |             |         | -12       |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     |             |         | 6         |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 |             |         | 12        |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4122 Interest on uninvested funds .....                                   |             |         | -3        |
| 4123 Non-Federal sources .....  |             |         | -3        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... |             |         | -6        |
| 4170 Financing disbursements, net (mandatory) .....                       |             |         | 6         |
| 4190 Financing disbursements, net (total) .....                           |             |         | 6         |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 91-4300-0-3-552                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:    |             |         |           |
| 2143 Uncommitted limitation carried forward .....                         |             |         |           |
| 2150 Total guaranteed loan commitments .....                              |             |         |           |
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     |             |         |           |
| 2251 Repayments and prepayments .....                                     |             |         | -16       |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....       |             |         | -9        |
| 2263 Terminations for default that result in claim payments .....         |             |         | -3        |
| 2264 Other adjustments, net .....   |             |         | 403       |
| 2290 Outstanding, end of year .....                                       |             |         | 375       |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... |             |         | 375       |

**Addendum:**

|   |  |  |    |
|---|--|--|----|
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |  |    |
| 2310 Outstanding, start of year .....   |  |  |    |
| 2331 Disbursements for guaranteed loan claims .....                               |  |  | 9  |
| 2351 Repayments and prepayments .....   |  |  | -3 |

**HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT**

Consistent with the 2013 President's Budget, in 2014, the Health Education Assistance Loan (HEAL) program will be transferred to the Department of Education from the Department

**HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT—Continued**  
**Status of Guaranteed Loans—Continued**

| Identification code 91–4300–0–3–552       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 2361 Write-offs of loans receivable ..... |             |         |           |
| 2364 Other adjustments, net .....         |             |         | 184       |
| 2390 Outstanding, end of year .....       |             |         | 190       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the Health Education Assistance Loan program. Amounts in this account are a means of financing and are not included in the budget totals.

**HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 91–4299–0–3–552   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....  |             |         | 2         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  |             |         | 1         |
| 1260 Appropriations, mandatory (total) .....  |             |         | 1         |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  |             |         | 10        |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... |             |         | –9        |
| 1850 Spending auth from offsetting collections, mand (total) .....                            |             |         | 1         |
| 1900 Budget authority (total) .....   |             |         | 2         |
| 1930 Total budgetary resources available .....  |             |         | 2         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 2         |
| 3020 Outlays (gross) .....  |             |         | –2        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  |             |         | 2         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   |             |         | 2         |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  |             |         | –10       |
| 4180 Budget authority, net (total) .....  |             |         | –8        |
| 4190 Outlays, net (total) .....   |             |         | –8        |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 91–4299–0–3–552   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   |             |         |           |
| 2251 Repayments and prepayments .....   |             |         | –8        |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               |             |         | –1        |
| 2264 Other adjustments, net .....   |             |         | 62        |
| 2290 Outstanding, end of year .....   |             |         | 53        |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         |             |         | 53        |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   |             |         |           |
| 2331 Disbursements for guaranteed loan claims .....                               |             |         | 3         |
| 2351 Repayments of loans receivable .....   |             |         | –7        |
| 2361 Write-offs of loans receivable .....   |             |         | –21       |

|                                     |  |  |     |
|-------------------------------------|--|--|-----|
| 2364 Other adjustments, net .....   |  |  | 349 |
| 2390 Outstanding, end of year ..... |  |  | 324 |

As required by the Federal Credit Reform Act of 1990, this liquidating account records, for this program, all cash flows to and from the Government resulting from guaranteed HEAL loans committed prior to 1992. This account is shown on a cash basis. All loan activity in this program for 1992 and beyond is recorded in corresponding program and financing accounts.

**Object Classification** (in millions of dollars)

| Identification code 91–4299–0–3–552         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                         |             |         |           |
| 33.0 Investments and loans .....            |             |         | 1         |
| 42.0 Insurance claims and indemnities ..... |             |         | 1         |
| 99.9 Total new obligations .....            |             |         | 2         |

**INSTITUTE OF EDUCATION SCIENCES****Federal Funds**

## INSTITUTE OF EDUCATION SCIENCES

*For carrying out activities authorized by the Education Sciences Reform Act of 2002, the National Assessment of Educational Progress Authorization Act, section 208 of the Educational Technical Assistance Act of 2002, and section 664 of the Individuals with Disabilities Education Act, \$671,073,000, which shall remain available through September 30, 2015: Provided, That funds available to carry out section 208 of the Educational Technical Assistance Act may be used to link Statewide elementary and secondary data systems with early childhood, postsecondary, and workforce data systems, or to further develop such systems: Provided further, That up to \$25,000,000 of the funds available to carry out section 208 of the Educational Technical Assistance Act may be used for awards to public or private organizations or agencies to support activities to improve data coordination, quality, and use at the local, State, and national levels.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 91–1100–0–1–503                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Research, development, and dissemination .....    | 191         | 191     | 202       |
| 0002 Statistics .....                                  | 101         | 123     | 123       |
| 0003 Regional educational laboratories .....           | 61          | 87      | 57        |
| 0004 National Assessment .....                         | 121         | 142     | 125       |
| 0005 National Assessment Governing Board .....         | 7           | 9       | 8         |
| 0006 Research in special education .....               | 50          | 50      | 60        |
| 0007 Statewide data systems .....                      | 55          | 46      | 85        |
| 0008 Special education studies and evaluations .....   | 15          | 15      | 11        |
| 0100 Total direct program .....                        | 601         | 663     | 671       |
| 0799 Total direct obligations .....                    | 601         | 663     | 671       |
| 0801 Reimbursable program .....                        | 2           | 2       | 2         |
| 0900 Total new obligations .....                       | 603         | 665     | 673       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 62          | 69      | 4         |
| 1021 Recoveries of prior year unpaid obligations ..... | 13          |         |           |
| 1050 Unobligated balance (total) .....                 | 75          | 69      | 4         |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1100 Appropriation .....                               | 595         | 598     | 671       |
| 1130 Appropriations permanently reduced .....          | –1          |         |           |
| 1160 Appropriation, discretionary (total) .....        | 594         | 598     | 671       |

|   |   |       |      |      |
|---|---|-------|------|------|
| 1700  | Spending authority from offsetting collections, discretionary:<br>Collected ..... | 3     | 2    | 2    |
| 1750  | Spending auth from offsetting collections, disc (total) .....                     | 3     | 2    | 2    |
| 1900  | Budget authority (total) .....  | 597   | 600  | 673  |
| 1930  | Total budgetary resources available .....   | 672   | 669  | 677  |
| Memorandum (non-add) entries:                       |   |       |      |      |
| 1941  | Unexpired unobligated balance, end of year .....                                  | 69    | 4    | 4    |
| <b>Change in obligated balance:</b>                 |   |       |      |      |
| Unpaid obligations:                                 |   |       |      |      |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....                                  | 1,120 | 998  | 946  |
| 3010  | Obligations incurred, unexpired accounts .....                                    | 603   | 665  | 673  |
| 3011  | Obligations incurred, expired accounts .....                                      | 2     |      |      |
| 3020  | Outlays (gross) .....   | -705  | -717 | -624 |
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....                      | -13   |      |      |
| 3041  | Recoveries of prior year unpaid obligations, expired .....                        | -9    |      |      |
| 3050  | Unpaid obligations, end of year .....   | 998   | 946  | 995  |
| Uncollected payments:                               |   |       |      |      |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                      | -2    | -2   | -2   |
| 3090  | Uncollected pymts, Fed sources, end of year .....                                 | -2    | -2   | -2   |
| Memorandum (non-add) entries:                       |   |       |      |      |
| 3100  | Obligated balance, start of year .....  | 1,118 | 996  | 944  |
| 3200  | Obligated balance, end of year .....  | 996   | 944  | 993  |
| <b>Budget authority and outlays, net:</b>           |   |       |      |      |
| Discretionary:                                      |   |       |      |      |
| 4000  | Budget authority, gross .....   | 597   | 600  | 673  |
| Outlays, gross:                                     |   |       |      |      |
| 4010  | Outlays from new discretionary authority .....                                    | 82    | 100  | 111  |
| 4011  | Outlays from discretionary balances .....   | 623   | 617  | 513  |
| 4020  | Outlays, gross (total) .....  | 705   | 717  | 624  |
| Offsets against gross budget authority and outlays: |   |       |      |      |
| Offsetting collections (collected) from:            |   |       |      |      |
| 4030  | Federal sources .....   | -2    | -2   | -2   |
| 4033  | Non-Federal sources .....   | -1    |      |      |
| 4040  | Offsets against gross budget authority and outlays (total) ....                   | -3    | -2   | -2   |
| 4070  | Budget authority, net (discretionary) .....                                       | 594   | 598  | 671  |
| 4080  | Outlays, net (discretionary) .....  | 702   | 715  | 622  |
| 4180  | Budget authority, net (total) .....   | 594   | 598  | 671  |
| 4190  | Outlays, net (total) .....  | 702   | 715  | 622  |

### Research and Statistics:

**Research, development, and dissemination.**—Funds support a diverse portfolio of investigator-led research and development, evaluation studies, research and development centers, and dissemination activities that provide parents, teachers, and schools with evidence-based information on effective educational practices. Funds requested in 2014 would be used to sustain and expand research and evaluation efforts on topics in early childhood, elementary, secondary, and postsecondary education.

**Statistics.**—Funds support the Department's statistical data collection activities, which are conducted by the National Center for Education Statistics (NCES). NCES collects, analyzes, and disseminates education statistics at all levels, from preschool through postsecondary and adult education, including statistics on international education activities. The 2014 request would allow NCES to continue its on-going portfolio of activities, including collecting and reporting information on sub-baccalaureate education and training for adults, as well as to obtain State-level Program for International Student Assessment (PISA) data and to collect student-level administrative National Post-secondary Student Aid Survey (NPSAS) data every 2 years, providing more timely information on educational costs, financial aid, enrollment, and student progress.

**Regional educational laboratories.**—Funds support a network of 10 regional laboratories that provide expert advice, including training and technical assistance, to help States and school districts apply proven research findings in their school improvement efforts.

**Assessment.**—Funds support the National Assessment of Educational Progress (NAEP) and the National Assessment Govern-

ing Board (NAGB). NAEP administers assessments to samples of students in order to gather reliable information about educational attainment in important academic areas. NAGB is responsible for formulating NAEP policy; developing student achievement levels; and selecting, consistent with the requirements of the statute, the subjects to be assessed. The request for 2014 would provide support for conducting assessments in U.S. history, civics, and geography, as well as a technology and engineering literacy assessment.

**Research in special education.**—Funds support research to address gaps in scientific knowledge in order to improve special education and early intervention services and results for infants, toddlers, and children with disabilities.

**Statewide data systems.**—Funds support competitive awards to States to foster the design, development, and implementation of longitudinal data systems. In 2014, funding would support systems that include early childhood, postsecondary, and workforce information, as well as awards to public and private agencies to improve data coordination, quality, and use at the local, State, and national levels. Funding also will support initiatives designed to improve data on whether students are prepared for postsecondary education and the workforce.

**Special education studies and evaluations.**—Funds support studies, evaluations, and assessments related to the implementation of the Individuals with Disabilities Education Act in order to improve special education and early intervention services and results for infants, toddlers, and children with disabilities.

### Object Classification (in millions of dollars)

| Identification code 91-1100-0-1-503                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 1           | 1       | 1         |
| 11.3 Other than full-time permanent .....          | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 2           | 2       | 2         |
| 12.1 Civilian personnel benefits .....             |             | 1       | 1         |
| 25.1 Advisory and assistance services .....        | 21          | 30      | 30        |
| 25.2 Other services from non-Federal sources ..... | 217         | 251     | 238       |
| 25.5 Research and development contracts .....      | 102         | 123     | 94        |
| 25.7 Operation and maintenance of equipment .....  | 2           | 1       | 1         |
| 31.0 Equipment .....                               |             | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....    | 256         | 253     | 303       |
| 99.0 Direct obligations .....                      | 600         | 663     | 671       |
| 99.0 Reimbursable obligations .....                | 2           | 1       | 1         |
| 99.5 Below reporting threshold .....               | 1           | 1       | 1         |
| 99.9 Total new obligations .....                   | 603         | 665     | 673       |

### Employment Summary

| Identification code 91-1100-0-1-503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 14          | 15      | 15        |

## DEPARTMENTAL MANAGEMENT

### Federal Funds

#### PROGRAM ADMINISTRATION

*For carrying out, to the extent not otherwise provided, the Department of Education Organization Act, including rental of conference rooms in the District of Columbia and hire of three passenger motor vehicles, \$463,450,000, of which \$2,000,000, to remain available until expended, shall be for relocation of, and renovation of buildings occupied by, Department staff.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

## PROGRAM ADMINISTRATION—Continued

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 91-0800-0-1-503        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          |             |         |           |
| Receipts:                                  |             |         |           |
| 0220 Contributions .....                   | 1           |         |           |
| 0400 Total: Balances and collections ..... | 1           |         |           |
| Appropriations:                            |             |         |           |
| 0500 Program Administration .....          | -1          |         |           |
| 0799 Balance, end of year .....            |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 91-0800-0-1-503                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Program administration .....                                  | 447         | 449     | 463       |
| 0801 Reimbursable program .....                                    | 4           | 4       | 4         |
| 0900 Total new obligations .....                                   | 451         | 453     | 467       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3           | 11      | 10        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 3           | 11      |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 8           |         |           |
| 1050 Unobligated balance (total) .....                             | 11          | 11      | 10        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 447         | 449     | 463       |
| 1130 Appropriations permanently reduced .....                      | -1          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 446         | 449     | 463       |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 1           |         |           |
| 1260 Appropriations, mandatory (total) .....                       | 1           |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | 3       | 3         |
| 1701 Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4           | 3       | 3         |
| 1900 Budget authority (total) .....                                | 451         | 452     | 466       |
| 1930 Total budgetary resources available .....                     | 462         | 463     | 476       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 11          | 10      | 9         |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 139  | 132  | 137  |
| 3010 Obligations incurred, unexpired accounts .....               | 451  | 453  | 467  |
| 3011 Obligations incurred, expired accounts .....                 | 2    |      |      |
| 3020 Outlays (gross) .....  | -445 | -448 | -459 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -8   |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -7   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 132  | 137  | 145  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1   | -1   | -1   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -1   |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1    |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1   | -1   | -1   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 138  | 131  | 136  |
| 3200 Obligated balance, end of year .....                         | 131  | 136  | 144  |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 450 | 452 | 466 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 353 | 356 | 364 |
| 4011 Outlays from discretionary balances .....      | 90  | 92  | 95  |
| 4020 Outlays, gross (total) .....                   | 443 | 448 | 459 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | -3  | -3  | -3  |

## Additional offsets against gross budget authority only:

|  |     |     |     |
|--|-----|-----|-----|
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -1  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 446 | 449 | 463 |
| 4080 Outlays, net (discretionary) .....                        | 440 | 445 | 456 |
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                             | 1   |     |     |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                | 1   |     |     |
| 4101 Outlays from mandatory balances .....                     | 1   |     |     |
| 4110 Outlays, gross (total) .....                              | 2   |     |     |
| 4180 Budget authority, net (total) .....                       | 447 | 449 | 463 |
| 4190 Outlays, net (total) .....                                | 442 | 445 | 456 |

The Program Administration account includes the direct Federal costs of providing grants and administering elementary and secondary education; Indian education; English language acquisition; higher education; career, technical, and adult education; special education programs; and programs for persons with disabilities. It also supports assessment, statistics, and research activities.

In addition, this account includes the cost of providing centralized support and administrative services, overall policy development, and strategic planning for the Department. Included in the centralized activities are rent and mail services; telecommunications; contractual services; financial management and accounting, including payments to schools, education agencies and other grant recipients, and preparation of auditable financial statements; information technology services; personnel management; personnel security; budget formulation and execution; legal services; congressional and public relations; and intergovernmental affairs.

Included in this account is the Department of Education's cost to relocate staff and renovate buildings occupied by Department staff.

Also included in this account are contributions from the public. Activities supported include receptions for Blue Ribbon Schools and Historically Black Colleges and Universities. Contributions not designated for a specific purpose are in the account's Gifts and Bequests Miscellaneous Fund.

*Reimbursable program.*—Reimbursements to this account are for providing administrative services to other agencies.

## Object Classification (in millions of dollars)

| Identification code 91-0800-0-1-503                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 194         | 206     | 209       |
| 11.3 Other than full-time permanent .....                       | 28          | 13      | 13        |
| 11.5 Other personnel compensation .....                         | 3           | 3       | 3         |
| 11.9 Total personnel compensation .....                         | 225         | 222     | 225       |
| 12.1 Civilian personnel benefits .....                          | 63          | 65      | 66        |
| 13.0 Benefits for former personnel .....                        | 3           |         |           |
| 21.0 Travel and transportation of persons .....                 | 5           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 41          | 43      | 44        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 19          | 23      | 25        |
| 25.3 Other goods and services from Federal sources .....        | 22          | 22      | 22        |
| 25.7 Operation and maintenance of equipment .....               | 60          | 62      | 67        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 2         |
| 32.0 Land and structures .....                                  | 1           | 1       | 2         |
| 99.0 Direct obligations .....                                   | 447         | 449     | 463       |
| 99.0 Reimbursable obligations .....                             | 4           | 4       | 4         |
| 99.9 Total new obligations .....                                | 451         | 453     | 467       |

## Employment Summary

| Identification code 91–0800–0–1–503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,053       | 2,035   | 2,051     |

## OFFICE FOR CIVIL RIGHTS

*For expenses necessary for the Office for Civil Rights, as authorized by section 203 of the Department of Education Organization Act, \$107,500,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 91–0700–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Civil rights .....   | 103         | 104     | 108       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 103         | 104     | 108       |
| 1160 Appropriation, discretionary (total) .....                 | 103         | 104     | 108       |
| 1930 Total budgetary resources available .....                  | 103         | 104     | 108       |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 18          | 17      | 19        |
| 3010 Obligations incurred, unexpired accounts .....             | 103         | 104     | 108       |
| 3020 Outlays (gross) .....                                      | –103        | –102    | –106      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 17          | 19      | 21        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 18          | 17      | 19        |
| 3200 Obligated balance, end of year .....                       | 17          | 19      | 21        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 103         | 104     | 108       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 90          | 88      | 91        |
| 4011 Outlays from discretionary balances .....                  | 13          | 14      | 15        |
| 4020 Outlays, gross (total) .....                               | 103         | 102     | 106       |
| 4180 Budget authority, net (total) .....                        | 103         | 104     | 108       |
| 4190 Outlays, net (total) .....                                 | 103         | 102     | 106       |

The Office for Civil Rights is responsible for ensuring that no person is unlawfully discriminated against on the basis of race, color, national origin, sex, disability, or age in the delivery of services or the provision of benefits in programs or activities of schools and institutions receiving financial assistance from the Department of Education. The authorities under which the Office for Civil Rights operates are Title VI of the Civil Rights Act of 1964 (racial and ethnic discrimination), Title IX of the Education Amendments of 1972 (sex discrimination), section 504 of the Rehabilitation Act of 1973 (discrimination against individuals with a disability), the Age Discrimination Act of 1975, the Americans with Disabilities Act of 1990, and the Boy Scouts of America Equal Access Act of 2002.

## Object Classification (in millions of dollars)

| Identification code 91–0700–0–1–751       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 56          | 59      | 58        |
| 11.3 Other than full-time permanent ..... | 3           | 3       | 4         |

|  |     |     |     |
|--|-----|-----|-----|
| 11.5 Other personnel compensation .....                  | 1   | 1   |     |
| 11.9 Total personnel compensation .....                  | 60  | 63  | 62  |
| 12.1 Civilian personnel benefits .....                   | 17  | 18  | 18  |
| 21.0 Travel and transportation of persons .....          | 1   | 1   | 1   |
| 23.1 Rental payments to GSA .....                        | 8   | 8   | 9   |
| 25.2 Other services from non-Federal sources .....       | 1   | 2   | 2   |
| 25.3 Other goods and services from Federal sources ..... | 3   | 3   | 3   |
| 25.7 Operation and maintenance of equipment .....        | 13  | 9   | 13  |
| 99.9 Total new obligations .....                         | 103 | 104 | 108 |

## Employment Summary

| Identification code 91–0700–0–1–751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 582         | 598     | 598       |

## OFFICE OF INSPECTOR GENERAL

*For expenses necessary for the Office of the Inspector General, as authorized by section 212 of the Department of Education Organization Act, \$62,347,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 91–1400–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Inspector General .....                                    | 64          | 60      | 62        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 5           |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 60          | 60      | 62        |
| 1160 Appropriation, discretionary (total) .....                 | 60          | 60      | 62        |
| 1930 Total budgetary resources available .....                  | 65          | 60      | 62        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | –1          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 13          | 12      | 16        |
| 3010 Obligations incurred, unexpired accounts .....             | 64          | 60      | 62        |
| 3020 Outlays (gross) .....                                      | –64         | –56     | –60       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 12          | 16      | 18        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 13          | 12      | 16        |
| 3200 Obligated balance, end of year .....                       | 12          | 16      | 18        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 60          | 60      | 62        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 49          | 47      | 49        |
| 4011 Outlays from discretionary balances .....                  | 15          | 9       | 11        |
| 4020 Outlays, gross (total) .....                               | 64          | 56      | 60        |
| 4180 Budget authority, net (total) .....                        | 60          | 60      | 62        |
| 4190 Outlays, net (total) .....                                 | 64          | 56      | 60        |

The Inspector General is responsible for the quality, coverage, and coordination of audit and investigation functions relating to Federal education activities. The Inspector General has the authority to inquire into all activities of the Department, including those performed under Federal education contracts, grants, or other agreements. Under the Chief Financial Officers Act of 1990, the Inspector General is also responsible for internal reviews of

OFFICE OF INSPECTOR GENERAL—Continued  
the Department's financial systems and audits of its financial statements.

**Object Classification** (in millions of dollars)

| Identification code 91-1400-0-1-751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 28          | 28      | 29        |
| 11.3 Other than full-time permanent .....                | 2           | 1       | 1         |
| 11.5 Other personnel compensation .....                  | 2           |         |           |
| 11.9 Total personnel compensation .....                  | 32          | 29      | 30        |
| 12.1 Civilian personnel benefits .....                   | 11          | 10      | 11        |
| 21.0 Travel and transportation of persons .....          | 1           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 5           | 5       | 6         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 4           | 4       | 4         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....        | 6           | 5       | 5         |
| 31.0 Equipment .....                                     | 1           | 1       |           |
| 32.0 Land and structures .....                           |             | 1       | 1         |
| 99.0 Direct obligations .....                            | 63          | 60      | 62        |
| 99.5 Below reporting threshold .....                     | 1           |         |           |
| 99.9 Total new obligations .....                         | 64          | 60      | 62        |

**Employment Summary**

| Identification code 91-1400-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 298         | 269     | 277       |

## HURRICANE EDUCATION RECOVERY

### Federal Funds

#### HURRICANE EDUCATION RECOVERY

##### Program and Financing (in millions of dollars)

| Identification code 91-0013-0-1-500                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 24          | 9       | 1         |
| 3020 Outlays (gross) .....                            | -15         | -8      |           |
| 3050 Unpaid obligations, end of year .....            | 9           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 24          | 9       | 1         |
| 3200 Obligated balance, end of year .....             | 9           | 1       | 1         |
| Budget authority and outlays, net:                    |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 15          | 8       |           |
| 4190 Outlays, net (total) .....                       | 15          | 8       |           |

Amounts in this schedule reflect balances that are spending out from prior-year appropriations.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 91-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....            | 6           | 20      | 20        |
| 91-271810 Federal Family Education Loan Program, Negative Subsidies .....                       | 262         |         |           |
| Legislative proposal, subject to PAYGO .....  |             |         | 3,657     |
| 91-271830 Federal Family Education Loan Program, Downward Reestimates of Subsidies .....        | 16,109      | 9,942   |           |
| 91-274130 College Housing and Academic Facilities Loan, Downward Reestimates of Subsidies ..... | 20          | 198     |           |

|  |        |        |         |
|--|--------|--------|---------|
| 91-278110 Federal Direct Student Loan Program, Negative Subsidies .....                          | 22,907 | 33,605 | 37,947  |
| Legislative proposal, subject to PAYGO .....   |        | -8,952 | -12,543 |
| 91-278130 Federal Direct Student Loan Program, Downward Reestimates of Subsidies .....           | 1,351  | 11,426 |         |
| 91-278310 Federal Perkins Loan, Negative Subsidies .....   |        |        | 673     |
| 91-279430 TEACH Grant Program, Downward Reestimates of Subsidies .....                           |        | 17     |         |
| 91-291500 Repayment of Loans, Capital Contributions, Higher Education Activities .....           | 25     | 25     | 25      |
| 91-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 2      | 47     | 47      |
| General Fund Offsetting receipts from the public .....   | 40,682 | 46,328 | 29,826  |
| Intragovernmental payments:  |        |        |         |
| 91-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... | -69    |        |         |
| General Fund Intragovernmental payments .....  | -69    |        |         |

## GENERAL PROVISIONS

*SEC. 301. No funds appropriated in this Act may be used for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to overcome racial imbalance in any school or school system, or for the transportation of students or teachers (or for the purchase of equipment for such transportation) in order to carry out a plan of racial desegregation of any school or school system.*

*SEC. 302. None of the funds contained in this Act shall be used to require, directly or indirectly, the transportation of any student to a school other than the school which is nearest the student's home, except for a student requiring special education, to the school offering such special education, in order to comply with title VI of the Civil Rights Act of 1964. For the purpose of this section an indirect requirement of transportation of students includes the transportation of students to carry out a plan involving the reorganization of the grade structure of schools, the pairing of schools, or the clustering of schools, or any combination of grade restructuring, pairing, or clustering. The prohibition described in this section does not include the establishment of magnet schools.*

*SEC. 303. No funds appropriated in this Act may be used to prevent the implementation of programs of voluntary prayer and meditation in the public schools.*

### (TRANSFER OF FUNDS)

*SEC. 304. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the Department of Education in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.*

*SEC. 305. The Outlying Areas may consolidate funds received under this Act, pursuant to 48 U.S.C. 1469a, under part A of title V of the ESEA.*

*SEC. 306. Section 105(f)(1)(B)(ix) of the Compact of Free Association Amendments Act of 2003 (48 U.S.C. 1921d(f)(1)(B)(ix)) shall be applied by substituting "2014" for "2009".*

*SEC. 307. (a) The Secretary may reserve not more than 0.5 percent from each appropriation made available in this Act for the accounts identified in subsection (d), with the exception of the appropriation for subpart 1 of part A of title IV of the Higher Education Act of 1965, in order to carry out evaluations of any of the programs or activities that are funded under such accounts.*

(b) Any funds reserved under this section shall be available for obligation through September 30, 2015.

(c) Such funds shall be in addition to any other funds available to the Secretary for evaluation.

(d) The accounts referred to in subsection (a) are: "Accelerating Achievement and Ensuring Equity", "Impact Aid", "Education Improve-

ment Programs", "Supporting Student Success", "Indian Student Education", "Innovation and Instructional Teams", "English Learner Education", "Rehabilitation Services and Disability Research", "Career, Technical, and Adult Education", "Student Financial Assistance", "Student Aid Administration", and "Higher Education."





# DEPARTMENT OF ENERGY

## NATIONAL NUCLEAR SECURITY ADMINISTRATION

### Federal Funds

#### OFFICE OF THE ADMINISTRATOR

*For necessary expenses of the Office of the Administrator in the National Nuclear Security Administration, including official reception and representation expenses not to exceed \$12,000, \$397,784,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 89–0313–0–1–053                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0010 Office of the Administrator .....                            | 408         | 422     | 398       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 5           | 9       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           |         |           |
| 1050 Unobligated balance (total) .....                            | 7           | 9       |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 410         | 413     | 398       |
| 1160 Appropriation, discretionary (total) .....                   | 410         | 413     | 398       |
| 1930 Total budgetary resources available .....                    | 417         | 422     | 398       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 9           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 108         | 92      | 93        |
| 3010 Obligations incurred, unexpired accounts .....               | 408         | 422     | 398       |
| 3020 Outlays (gross) .....  | –422        | –421    | –411      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 92          | 93      | 80        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 108         | 92      | 93        |
| 3200 Obligated balance, end of year .....                         | 92          | 93      | 80        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 410         | 413     | 398       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 339         | 341     | 328       |
| 4011 Outlays from discretionary balances .....                    | 83          | 80      | 83        |
| 4020 Outlays, gross (total) .....                                 | 422         | 421     | 411       |
| 4180 Budget authority, net (total) .....                          | 410         | 413     | 398       |
| 4190 Outlays, net (total) .....                                   | 422         | 421     | 411       |

*Office of the Administrator.*—This account provides the Federal salaries and other expenses of the National Nuclear Security Administration (NNSA) mission and mission support staff, including the Federal personnel for Defense Programs, Defense Nuclear Nonproliferation, Emergency Operations, Defense Nuclear Security, Acquisition and Project Management, the Office of the Chief Information Officer, Safety and Health, the Administrator's direct staff, and Federal employees at the Albuquerque Complex and site offices. The Office of the Administrator creates a well-managed, inclusive, responsive, and accountable organization through the strategic management of human capital and greater integration of budget and performance data. Program direction for Naval Reactors is within that program's account, and program direction for Secure Transportation Asset is within the Weapons Activities account.

#### Object Classification (in millions of dollars)

| Identification code 89–0313–0–1–053                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 224         | 228     | 212       |
| 11.3 Other than full-time permanent .....                       | 4           | 5       | 5         |
| 11.5 Other personnel compensation .....                         | 6           | 9       | 12        |
| 11.8 Special personal services payments .....                   | 1           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 235         | 244     | 231       |
| 12.1 Civilian personnel benefits .....                          | 62          | 62      | 63        |
| 21.0 Travel and transportation of persons .....                 | 13          | 16      | 15        |
| 22.0 Transportation of things .....                             | 1           | 1       |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 17          | 17      | 19        |
| 25.2 Other services from non-Federal sources .....              | 33          | 35      | 25        |
| 25.3 Other goods and services from Federal sources .....        | 32          | 34      | 29        |
| 25.4 Operation and maintenance of facilities .....              | 8           | 6       | 7         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 1           | 1       |           |
| 32.0 Land and structures .....                                  |             |         | 2         |
| 41.0 Grants, subsidies, and contributions .....                 |             |         | 1         |
| 99.9 Total new obligations .....                                | 408         | 422     | 398       |

#### Employment Summary

| Identification code 89–0313–0–1–053                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,886       | 1,817   | 1,817     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 2           |         |           |

#### NAVAL REACTORS

*For Department of Energy expenses necessary for naval reactors activities to carry out the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition (by purchase, condemnation, construction, or otherwise) of real property, plant, and capital equipment, facilities, and facility expansion, \$1,246,134,000, to remain available until expended: Provided, That \$44,404,000 shall be available until September 30, 2015 for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 89–0314–0–1–053                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0010 Naval reactors development .....                   | 417         | 424     | 419       |
| 0020 Program Direction .....                            | 40          | 45      | 44        |
| 0030 S8G prototype refueling .....                      | 99          | 100     | 144       |
| 0040 Naval reactors operations and infrastructure ..... | 354         | 361     | 456       |
| 0050 Construction .....                                 | 40          | 8       | 70        |
| 0060 OHIO replacement reactor systems development ..... | 120         | 122     | 126       |
| 0900 Total new obligations .....                        | 1,070       | 1,060   | 1,259     |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Unobligated balance:                                    |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 5           | 16      | 43        |
| 1021 Recoveries of prior year unpaid obligations .....  | 1           |         |           |
| 1050 Unobligated balance (total) .....                  | 6           | 16      | 43        |
| Budget authority:                                       |             |         |           |
| Appropriations, discretionary:                          |             |         |           |
| 1100 Appropriation .....                                | 1,080       | 1,087   | 1,246     |
| 1160 Appropriation, discretionary (total) .....         | 1,080       | 1,087   | 1,246     |
| 1930 Total budgetary resources available .....          | 1,086       | 1,103   | 1,289     |
| Memorandum (non-add) entries:                           |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 16          | 43      | 30        |

NAVAL REACTORS—Continued  
Program and Financing—Continued

| Identification code 89-0314-0-1-053                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 269         | 311     | 183       |
| 3010 Obligations incurred, unexpired accounts .....               | 1,070       | 1,060   | 1,259     |
| 3020 Outlays (gross) .....  | -1,027      | -1,188  | -1,199    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 311         | 183     | 243       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 269         | 311     | 183       |
| 3200 Obligated balance, end of year .....                         | 311         | 183     | 243       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 1,080       | 1,087   | 1,246     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 790         | 924     | 1,059     |
| 4011 Outlays from discretionary balances .....                    | 237         | 264     | 140       |
| 4020 Outlays, gross (total) .....                                 | 1,027       | 1,188   | 1,199     |
| 4180 Budget authority, net (total) .....                          | 1,080       | 1,087   | 1,246     |
| 4190 Outlays, net (total) .....                                   | 1,027       | 1,188   | 1,199     |

**Naval Reactors.**—This account funds all naval nuclear propulsion work. It begins with reactor technology development and design, continues through reactor operation and maintenance, and ends with reactor plant disposal. The program ensures the safe and reliable operation of reactor plants in nuclear-powered submarines and aircraft carriers (constituting over 40 percent of the Navy's combatants), and fulfills the Navy's requirements for new nuclear propulsion plants that meet current and future national defense requirements.

Due to the crucial nature of nuclear reactor work, Naval Reactors is a centrally managed organization. Federal employees oversee and set policies/procedures for developing new reactor plants and operating existing nuclear plants and the facilities that support these plants.

## Object Classification (in millions of dollars)

| Identification code 89-0314-0-1-053                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 26          | 28      | 26        |
| 11.5 Other personnel compensation .....                  | 1           | 1       | .....     |
| 11.9 Total personnel compensation .....                  | 27          | 29      | 26        |
| 12.1 Civilian personnel benefits .....                   | 7           | 8       | 8         |
| 21.0 Travel and transportation of persons .....          | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 5           | 5       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 3           | 3       | 1         |
| 25.4 Operation and maintenance of facilities .....       | 922         | 918     | 1,132     |
| 26.0 Supplies and materials .....                        | 1           | 1       | .....     |
| 31.0 Equipment .....                                     | 25          | 16      | 16        |
| 32.0 Land and structures .....                           | 77          | 77      | 71        |
| 41.0 Grants, subsidies, and contributions .....          | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 1,070       | 1,060   | 1,259     |

## Employment Summary

| Identification code 89-0314-0-1-053                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 231         | 238     | 238       |

## WEAPONS ACTIVITIES

**For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for atomic energy defense weapons activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C.**

**7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion \$7,868,409,000, to remain available until expended.**

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89-0240-0-1-053                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                 |             |         |           |
| 0020 Directed stockpile work .....                                      | 1,854       | 2,099   | 2,429     |
| 0021 Science campaign .....   | 332         | 347     | 398       |
| 0022 Engineering campaign .....   | 141         | 149     | 150       |
| 0023 Inertial confinement fusion ignition and high yield campaign ..... | 474         | 455     | 401       |
| 0024 Advanced simulation and computing campaign .....                   | 616         | 596     | 564       |
| 0025 Readiness campaign .....   | 130         | 129     | 198       |
| 0026 Readiness in technical base and facilities .....                   | 1,892       | 2,201   | 122       |
| 0027 Secure transportation asset .....                                  | 228         | 218     | 219       |
| 0029 Nuclear programs .....   | .....       | .....   | 741       |
| 0091 Defense programs (DP), subtotal .....                              | 5,667       | 6,194   | 5,222     |
| 0150 Nuclear counterterrorism incident response .....                   | 220         | 246     | .....     |
| 0160 Facilities and infrastructure recapitalization program .....       | 96          | .....   | .....     |
| 0170 Site stewardship .....   | 82          | 90      | 1,706     |
| 0180 Defense nuclear security .....                                     | 688         | 672     | 679       |
| 0181 Cyber security .....   | 132         | 134     | .....     |
| 0182 NSA CIO Activities .....   | .....       | .....   | 148       |
| 0183 Legacy contractor pensions .....                                   | 168         | 232     | 280       |
| 0184 National security applications .....                               | 10          | 18      | .....     |
| 0191 Non-DP activities, subtotal .....                                  | 1,396       | 1,392   | 2,813     |
| 0300 Subtotal, Weapons Activities .....                                 | 7,063       | 7,586   | 8,035     |
| 0799 Total direct obligations .....                                     | 7,063       | 7,586   | 8,035     |
| 0810 Reimbursable program .....   | 1,323       | 1,283   | 1,272     |
| 0900 Total new obligations .....  | 8,386       | 8,869   | 9,307     |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 26    | 211   | 169   |
| 1021 Recoveries of prior year unpaid obligations .....             | 41    | ..... | ..... |
| 1050 Unobligated balance (total) .....                             | 67    | 211   | 169   |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 7,234 | 7,557 | 7,868 |
| 1130 Appropriations permanently reduced .....                      | -20   | ..... | ..... |
| 1160 Appropriation, discretionary (total) .....                    | 7,214 | 7,557 | 7,868 |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | 1,354 | 1,385 | 1,385 |
| 1701 Change in uncollected payments, Federal sources .....         | -38   | -115  | -115  |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1,316 | 1,270 | 1,270 |
| 1900 Budget authority (total) .....                                | 8,530 | 8,827 | 9,138 |
| 1930 Total budgetary resources available .....                     | 8,597 | 9,038 | 9,307 |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 211   | 169   | ..... |

## Change in obligated balance:

|  |        |        |         |
|--|--------|--------|---------|
| Unpaid obligations:  |        |        |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 5,275  | 4,951  | 5,271   |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | -11    | .....  | .....   |
| 3010 Obligations incurred, unexpired accounts .....                  | 8,386  | 8,869  | 9,307   |
| 3020 Outlays (gross) .....   | -8,658 | -8,549 | -10,074 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -41    | .....  | .....   |
| 3050 Unpaid obligations, end of year .....                           | 4,951  | 5,271  | 4,504   |
| Uncollected payments:  |        |        |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -2,017 | -1,979 | -1,864  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 38     | 115    | 115     |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -1,979 | -1,864 | -1,749  |
| Memorandum (non-add) entries:  |        |        |         |
| 3100 Obligated balance, start of year .....                          | 3,247  | 2,972  | 3,407   |
| 3200 Obligated balance, end of year .....                            | 2,972  | 3,407  | 2,755   |

## Budget authority and outlays, net:

|                                    |       |       |       |
|------------------------------------|-------|-------|-------|
| Discretionary:                     |       |       |       |
| 4000 Budget authority, gross ..... | 8,530 | 8,827 | 9,138 |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| Outlays, gross:   |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 4,813  | 5,739  | 5,940  |
| 4011  | Outlays from discretionary balances .....                       | 3,845  | 2,810  | 4,134  |
| 4020  | Outlays, gross (total) .....                                    | 8,658  | 8,549  | 10,074 |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4030  | Federal sources .....   | -1,253 | -1,280 | -1,280 |
| 4033  | Non-Federal sources .....                                       | -101   | -105   | -105   |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -1,354 | -1,385 | -1,385 |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | 38     | 115    | 115    |
| 4070  | Budget authority, net (discretionary) .....                     | 7,214  | 7,557  | 7,868  |
| 4080  | Outlays, net (discretionary) .....                              | 7,304  | 7,164  | 8,689  |
| 4180  | Budget authority, net (total) .....                             | 7,214  | 7,557  | 7,868  |
| 4190  | Outlays, net (total) .....                                      | 7,304  | 7,164  | 8,689  |

Programs funded within the Weapons Activities appropriation support the nation's current and future defense posture, and its attendant nationwide infrastructure of science, technology and engineering capabilities. Weapons Activities provides for the maintenance and refurbishment of nuclear weapons to sustain confidence in their safety, reliability, and performance; expansion of scientific, engineering, and manufacturing capabilities to enable certification of the enduring nuclear weapons stockpile; and manufacture of nuclear weapon components. Weapons Activities also provides for continued maintenance and investment in the NNSA nuclear complex to be more responsive and cost effective. The major elements of the program include the following:

**Directed Stockpile Work.**—Encompasses all activities that directly support the nuclear weapons stockpile. These activities include: maintenance and surveillance; planned refurbishment; reliability assessment; weapon dismantlement and disposal; and research, development, and certification technology efforts to meet stockpile requirements.

**Campaigns.**—Focuses on scientific, technical, and engineering efforts to develop and maintain critical capabilities, tools, and processes needed to support science based stockpile stewardship, refurbishment, and continued certification of the stockpile over the long-term in the absence of underground nuclear testing.

**Nuclear Programs.**—Focuses on the strategic management of Defense Programs Special Nuclear Materials inventories, recovery and processing technologies, storage, and sustainment of essential Weapons Activities through capability investment.

**Secure Transportation Asset.**—Provides for the safe, secure movement of nuclear weapons, special nuclear material, and weapon components to meet projected DOE, Department of Defense (DOD), and other customer requirements. The Program Direction in this account provides for the secure transportation workforce, including the Federal agents.

**Site Stewardship.**—Ensures the overall health and viability of necessary site-wide infrastructure to support NNSA, DOE, and other national missions, with a focus on maintaining environmental compliance, achieving energy efficiency, dispositioning nuclear materials, and establishing a new standardized corporate project management enterprise, while efficiently providing common and shared services for the various program customers and maximizing the overall availability and utility of the NNSA nuclear complex for all programmatic objectives.

**Defense Nuclear Security.**—Provides protection for NNSA personnel, facilities, and nuclear weapons from a full spectrum of threats, most notably terrorism. Provides for all safeguards and security requirements including protective forces and systems at all NNSA sites.

**NNSA Chief Information Officer Activities.**—Provides for research and development of information technology and cyber security solutions such as identity, credential, and access manage-

ment to help meet energy security, proliferation resistance, and climate goals.

NNSA's request reflects the partnership between NNSA and the DOD to maintain and modernize the nuclear deterrent. The DOD's NNSA Program Support account has the amounts for Weapons Activities that are shown in the table below, underscoring the close link between these activities and DOD nuclear weapons-related requirements and missions. The OMB will ensure that future budget year allocations to NNSA occur in the required amounts. Total Weapons Activities funding for each year will thereby equal the amounts projected in the table below with the amounts above.

#### Department of Defense Support for Weapons Activities

| (in millions) |       |                       |  |
|---------------|-------|-----------------------|--|
|               |       | Future Funds from DOD | Weapons Activities Total Including DOD Funds |
| FY 2014 ..... | 0     |                       | 7,868  |
| FY 2015 ..... | 948   |                       | 8,550  |
| FY 2016 ..... | 1,130 |                       | 8,785  |
| FY 2017 ..... | 1,133 |                       | 8,933  |
| FY 2018 ..... | 1,271 |                       | 9,293  |

OMB will ensure that the following additional allocations from DOD occur as planned for Naval Reactors: FY 2015, \$249 million; FY 2016, \$314 million; FY 2017, \$470 million; and FY 2018, \$393 million.

#### Object Classification (in millions of dollars)

| Identification code 89-0240-0-1-053                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 44          | 44      | 47        |
| 11.5 Other personnel compensation .....                         | 13          | 14      | 14        |
| 11.9 Total personnel compensation .....                         | 57          | 58      | 61        |
| 12.1 Civilian personnel benefits .....                          | 21          | 21      | 21        |
| 13.0 Benefits for former personnel .....                        |             | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 6           | 5       | 5         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 123         | 45      | 45        |
| 25.2 Other services from non-Federal sources .....              | 389         | 327     | 270       |
| 25.3 Other goods and services from Federal sources .....        | 8           | 12      | 12        |
| 25.4 Operation and maintenance of facilities .....              | 5,749       | 6,205   | 6,716     |
| 25.5 Research and development contracts .....                   | 102         | 80      | 80        |
| 25.7 Operation and maintenance of equipment .....               |             | 10      | 10        |
| 26.0 Supplies and materials .....                               | 8           | 11      | 11        |
| 31.0 Equipment .....  | 78          | 296     | 296       |
| 32.0 Land and structures .....                                  | 472         | 457     | 450       |
| 41.0 Grants, subsidies, and contributions .....                 | 44          | 55      | 55        |
| 99.0 Direct obligations .....                                   | 7,063       | 7,586   | 8,035     |
| 99.0 Reimbursable obligations .....                             | 1,323       | 1,283   | 1,272     |
| 99.9 Total new obligations .....                                | 8,386       | 8,869   | 9,307     |

#### Employment Summary

| Identification code 89-0240-0-1-053                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 547         | 547     | 562       |

#### DEFENSE NUCLEAR NONPROLIFERATION

For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other incidental expenses necessary for defense nuclear nonproliferation activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real

## DEFENSE NUCLEAR NONPROLIFERATION—Continued

property or any facility or for plant or facility acquisition, construction, or expansion, \$2,140,142,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0309–0–1–053  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0010 Defense nuclear nonproliferation research and development (formerly nonproliferation and verification research and development) ..... | 348         | 448     | 389       |
| 0020 Elimination of weapons-grade plutonium production .....   | 1           | .....   | .....     |
| 0030 Nonproliferation and international security .....   | 153         | 152     | 142       |
| 0040 International material protection and cooperation (formerly international nuclear materials protection and cooperation) .....         | 577         | 561     | 370       |
| 0050 U.S. surplus fissile materials disposition .....  | 667         | 708     | 503       |
| 0070 Russian surplus fissile materials disposition .....   | 1           | 2       | .....     |
| 0080 Global threat reduction initiative .....  | 499         | 492     | 425       |
| 0081 Nuclear counterterrorism incident response .....  | .....       | .....   | 181       |
| 0082 Counterterrorism and counterproliferation programs .....  | .....       | .....   | 75        |
| 0085 Legacy contractor pensions .....  | 56          | 69      | 94        |
| 0100 Subtotal, obligations by program activity .....   | 2,302       | 2,432   | 2,179     |
| 0799 Total direct obligations .....  | 2,302       | 2,432   | 2,179     |
| 0801 INMP&C international contributions .....  | 6           | .....   | .....     |
| 0802 GTRI international contribution .....   | 5           | 1       | .....     |
| 0899 Total reimbursable obligations .....  | 11          | 1       | .....     |
| 0900 Total new obligations .....   | 2,313       | 2,433   | 2,179     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 25          | 61      | 39        |
| 1021 Recoveries of prior year unpaid obligations .....   | 48          | .....   | .....     |
| 1050 Unobligated balance (total) .....   | 73          | 61      | 39        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 2,324       | 2,431   | 2,140     |
| 1120 Appropriations transferred to other accts [89–0222] .....   | –6          | .....   | .....     |
| 1130 Appropriations permanently reduced .....  | –7          | .....   | .....     |
| 1131 Unobligated balance of appropriations permanently reduced .....   | –21         | –21     | .....     |
| 1160 Appropriation, discretionary (total) .....  | 2,290       | 2,410   | 2,140     |
| Spending authority from offsetting collections, discretionary:   |             |         |           |
| 1700 Collected .....   | 11          | 1       | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 11          | 1       | .....     |
| 1900 Budget authority (total) .....  | 2,301       | 2,411   | 2,140     |
| 1930 Total budgetary resources available .....   | 2,374       | 2,472   | 2,179     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 61          | 39      | .....     |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 2,090       | 1,830   | 1,919     |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....   | –1          | .....   | .....     |
| 3010 Obligations incurred, unexpired accounts .....  | 2,313       | 2,433   | 2,179     |
| 3020 Outlays (gross) .....   | –2,524      | –2,344  | –2,448    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –48         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....   | 1,830       | 1,919   | 1,650     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 2,089       | 1,830   | 1,919     |
| 3200 Obligated balance, end of year .....  | 1,830       | 1,919   | 1,650     |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   | 2,301       | 2,411   | 2,140     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 825         | 1,326   | 1,177     |
| 4011 Outlays from discretionary balances .....   | 1,699       | 1,018   | 1,271     |
| 4020 Outlays, gross (total) .....  | 2,524       | 2,344   | 2,448     |
| Offsets against gross budget authority and outlays:  |             |         |           |
| Offsetting collections (collected) from:   |             |         |           |
| 4034 Offsetting governmental collections .....   | –11         | –1      | .....     |

|  |       |       |       |
|--|-------|-------|-------|
| 4180 Budget authority, net (total) ..... | 2,290 | 2,410 | 2,140 |
| 4190 Outlays, net (total) .....          | 2,513 | 2,343 | 2,448 |

Programs funded within the Defense Nuclear Nonproliferation appropriation account support the mission to: 1) prevent the spread of materials, technology, and expertise relating to weapons of mass destruction (WMD); 2) advance the technologies to detect the proliferation of WMD worldwide; 3) eliminate or secure inventories of surplus materials and infrastructure usable for nuclear weapons; and 4) respond to nuclear or radiological incidents worldwide. The programs address the danger that hostile nations or terrorist groups may acquire WMD or weapons-usable material, dual-use production technology, or WMD expertise. The major elements of the appropriation account include the following:

**Defense Nuclear Nonproliferation Research and Development (DNN R&D).**—Formerly Nonproliferation and Verification Research and Development, this program drives the innovation of unilateral and multi-lateral technical capabilities to detect, identify, and characterize: 1) foreign nuclear weapons programs, 2) illicit diversion of special nuclear materials, and 3) nuclear detonations. DNN R&D changed its name to reflect a stronger alignment with its mission space as expressed in both the National Nuclear Security Administration and Department of Energy strategic plans and to communicate the scope of its R&D activities more clearly. To meet national and departmental nuclear security requirements, DNN R&D leverages the unique facilities and scientific skills of the Department of Energy, academia, and industry for the performance of research, conduct of technology demonstrations, and development of prototypes for integration into operational systems.

**Nonproliferation and International Security (NIS).**—The NIS mission is to prevent and counter the proliferation of WMD, including materials, technologies, and expertise, by states and non-state actors. The program provides policy and technical support for nonproliferation and associated treaties and agreements, domestic and international legal and regulatory controls, and diplomatic and counter-proliferation initiatives, and it cooperates with international organizations and foreign partners on export controls, safeguards, and security. The program makes vital contributions to strengthen international security and the nuclear nonproliferation regime in four main areas: (1) Nuclear Safeguards and Security, (2) Nuclear Controls, (3) Nuclear Verification, and (4) Nonproliferation Policy. The NIS program safeguards nuclear material to ensure it is not diverted for non-peaceful uses; controls the spread of WMD material, technology, and expertise; and verifies nuclear reductions and programs.

**International Materials Protection and Cooperation (IMPC).**—The IMPC program supports one of the President's top priorities to lead a global effort to secure all nuclear weapons materials at vulnerable sites within four years—the most effective way to prevent terrorists from acquiring a nuclear bomb. The IMPC program prevents nuclear terrorism by working in Russia and other regions of concern to: 1) secure and eliminate vulnerable nuclear weapons and weapons exploitable materials, and 2) install and sustain detection equipment at international crossing points and ports to prevent and detect the illicit transfer of nuclear material. The program continues to improve the security of nuclear material and nuclear warheads in Russia and other countries of proliferation concern by installing Material, Protection, Control and Accounting (MPC&A) upgrades and providing sustainability support to sites with previously installed MPC&A upgrades. Reducing the potential for diversion of nuclear warheads and nuclear materials has been a critical priority for the United States. The United States, through DOE/NNSA's Second Line of

Defense program, will continue to work with international partners to prevent nuclear smuggling through border crossings, airports, seaports, and within borders.

**Fissile Materials Disposition (FMD).**—The program goal is to dispose of surplus Russian weapon-grade plutonium and surplus U.S. weapon-grade plutonium and highly enriched uranium. To dispose of U.S. plutonium, the program has been building the Mixed Oxide (MOX) Fuel Fabrication Facility, which would enable the Department of Energy to dispose of plutonium by converting it into MOX fuel and burning it in commercial nuclear reactors. This approach may be unaffordable, though, due to cost growth and fiscal pressure. While the Administration will assess the feasibility of alternative plutonium disposition strategies, resulting in a slowdown of MOX Fuel Fabrication Facility construction in 2014, it is nonetheless committed to the overarching goals of the plutonium disposition program to: 1) dispose of excess U.S. plutonium; and 2) achieve Russian disposition of equal quantities of plutonium. The Administration recognizes the importance of the U.S.-Russia Plutonium Management and Disposition Agreement (PMDA), whereby each side committed to dispose of at least 34 metric tons of weapon-grade plutonium.

**Global Threat Reduction Initiative (GTRI).**—The GTRI mission is to reduce and protect vulnerable nuclear and radiological materials located at civilian sites worldwide. The GTRI program directly supports the international effort to secure all vulnerable nuclear material around the world within four years. GTRI supports DOE's Strategic Plan Goal to Reduce Global Nuclear Dangers by preventing terrorists from acquiring nuclear and radiological materials that could be used in WMD or acts of terrorism by: 1) Converting research reactors and isotope production facilities from the use of highly enriched uranium to low enriched uranium, 2) Removing and disposing of excess nuclear and radiological materials, and 3) Protecting high-priority nuclear and radiological materials from theft and sabotage. These three key aspects of GTRI—convert, remove, and protect—together provide a comprehensive approach to achieving its mission and denying terrorists access to nuclear and radiological materials.

**Nuclear Counterterrorism Incident Response (NCTIR).**—Strategically manages people with specialized expertise and equipment to provide a technically trained response to nuclear or radiological incidents worldwide, mitigates nuclear or radiological threats through research and development, and provides inter-agency training and support to the Nation from the threat of nuclear terrorism.

**Counterterrorism and Counterproliferation Programs (CTCP).**—Advances the U.S. Government counterterrorism and counterproliferation goals through innovative science, technology, and policy-driven solutions. The CTCP programs consolidate the Nuclear Counterterrorism subprogram from the NCTIR program and the National Security Applications program into an integrated program of technical work that materially contributes to the Department of Energy's goal of enhancing nuclear security through preventing nuclear terrorism.

#### Object Classification (in millions of dollars)

| Identification code 89-0309-0-1-053                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 129         | 129     | 140       |
| 25.2 Other services from non-Federal sources .....              | 185         | 198     | 115       |
| 25.3 Other goods and services from Federal sources .....        | 4           | 4       | 6         |
| 25.4 Operation and maintenance of facilities .....              | 1,457       | 1,573   | 1,376     |
| 25.5 Research and development contracts .....                   | 13          | 13      | 151       |
| 31.0 Equipment .....  | 38          | 38      | 70        |
| 32.0 Land and structures .....                                  | 463         | 463     | 300       |
| 41.0 Grants, subsidies, and contributions .....                 | 13          | 13      | 20        |

|                                     |       |       |       |
|-------------------------------------|-------|-------|-------|
| 99.0 Direct obligations .....       | 2,302 | 2,432 | 2,179 |
| 99.0 Reimbursable obligations ..... | 11    | 1     | ..... |
| 99.9 Total new obligations .....    | 2,313 | 2,433 | 2,179 |

#### CERRO GRANDE FIRE ACTIVITIES

##### Program and Financing (in millions of dollars)

| Identification code 89-0312-0-1-053                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 1           | 1       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....               | .....       | 2       | .....     |
| 1050 Unobligated balance (total) .....                               | 1           | 3       | 3         |
| 1930 Total budgetary resources available .....                       | 1           | 3       | 3         |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           | 3       | 3         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | .....       | 13      | .....     |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | 13          | .....   | .....     |
| 3020 Outlays (gross) .....   | .....       | -11     | .....     |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | .....       | -2      | .....     |
| 3050 Unpaid obligations, end of year .....                           | 13          | .....   | .....     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 13          | 13      | .....     |
| 3200 Obligated balance, end of year .....                            | 13          | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                       | .....       | 11      | .....     |
| 4190 Outlays, net (total) .....                                      | .....       | 11      | .....     |

**Cerro Grande Fire Activities.**—Emergency funding was provided in 2000 and 2001 for restoration activities at the Los Alamos National Laboratory in New Mexico after the Cerro Grande Fire in May 2000.

## ENVIRONMENTAL AND OTHER DEFENSE ACTIVITIES Federal Funds

#### DEFENSE ENVIRONMENTAL CLEANUP

*For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for atomic energy defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, and the purchase of not to exceed one sport utility vehicle, three lube trucks, and one fire truck for replacement only, \$4,853,909,000, to remain available until expended: Provided, That \$280,784,000 shall be available until September 30, 2015 for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

| Identification code 89-0251-0-1-053                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Closure Sites .....                            | 5           | 5       | 5         |
| 0002 Hanford Site .....                             | 949         | 956     | 922       |
| 0003 River Protection - Tank Farm .....             | 442         | 445     | 521       |
| 0004 River Protection - Waste Treatment Plant ..... | 740         | 744     | 690       |
| 0005 Idaho .....                                    | 384         | 388     | 362       |

DEFENSE ENVIRONMENTAL CLEANUP—Continued  
Program and Financing—Continued

| Identification code 89–0251–0–1–053                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 0006   | NNSA Sites .....  | 281         | 286     | 286       |
| 0007   | Oak Ridge .....   | 198         | 200     | 194       |
| 0008   | Savannah River .....  | 1,135       | 1,253   | 1,088     |
| 0009   | Waste Isolation Pilot Plant .....                             | 213         | 215     | 204       |
| 0010   | Program Support .....   | 19          | 24      | 18        |
| 0011   | Safeguards & Security .....                                   | 251         | 253     | 235       |
| 0012   | Technology Development & Demonstration .....                  | 10          | 13      | 24        |
| 0013   | Program Direction .....                                       | 320         | 330     | 281       |
| 0016   | SPRU .....  |             |         | 24        |
| 0799   | Total direct obligations .....                                | 4,947       | 5,112   | 4,854     |
| 0801   | Reimbursable program activity .....                           |             |         | 1         |
| 0900   | Total new obligations .....                                   | 4,947       | 5,112   | 4,855     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 19          | 80      | 15        |
| 1021   | Recoveries of prior year unpaid obligations .....             | 5           | 12      | 12        |
| 1050   | Unobligated balance (total) .....                             | 24          | 92      | 27        |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 5,023       | 5,034   | 4,854     |
| 1120   | Appropriations transferred to other accts [89–0222] .....     | –1          |         |           |
| 1130   | Appropriations permanently reduced .....                      | –20         |         |           |
| 1160   | Appropriation, discretionary (total) .....                    | 5,002       | 5,034   | 4,854     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 1           | 1       | 1         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900   | Budget authority (total) .....                                | 5,003       | 5,035   | 4,855     |
| 1930   | Total budgetary resources available .....                     | 5,027       | 5,127   | 4,882     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 80          | 15      | 27        |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 2,633       | 1,891   | 1,850     |
| 3010   | Obligations incurred, unexpired accounts .....                | 4,947       | 5,112   | 4,855     |
| 3020   | Outlays (gross) .....   | –5,683      | –5,141  | –4,922    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –5          | –12     | –12       |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | –1          |         |           |
| 3050   | Unpaid obligations, end of year .....                         | 1,891       | 1,850   | 1,771     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 2,633       | 1,891   | 1,850     |
| 3200   | Obligated balance, end of year .....                          | 1,891       | 1,850   | 1,771     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 5,003       | 5,035   | 4,855     |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 3,434       | 3,525   | 3,399     |
| 4011   | Outlays from discretionary balances .....                     | 2,249       | 1,616   | 1,523     |
| 4020   | Outlays, gross (total) .....                                  | 5,683       | 5,141   | 4,922     |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4033   | Non-Federal sources .....                                     | –1          | –1      | –1        |
| 4180   | Budget authority, net (total) .....                           | 5,002       | 5,034   | 4,854     |
| 4190   | Outlays, net (total) .....                                    | 5,682       | 5,140   | 4,921     |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Enacted/requested:                          |  |             |         |           |
| Budget Authority .....                      |  | 5,002       | 5,034   | 4,854     |
| Outlays .....                               |  | 5,682       | 5,140   | 4,921     |
| Legislative proposal, not subject to PAYGO: |  |             |         |           |
| Budget Authority .....                      |  |             |         | 463       |
| Outlays .....                               |  |             |         | 463       |
| Total:                                      |  |             |         |           |
| Budget Authority .....                      |  | 5,002       | 5,034   | 5,317     |
| Outlays .....                               |  | 5,682       | 5,140   | 5,384     |

The Defense Environmental Cleanup program is responsible for identifying and reducing risks and managing waste at sites where the Department carried out defense-related nuclear re-

search and production activities that resulted in radioactive, hazardous, and mixed waste contamination requiring remediation, stabilization, or some other type of cleanup action. The budget displays the cleanup program by site.

**Closure Sites.**—Funds post-closure administration costs after physical completion.

**Hanford Site.**—Funds the Hanford site cleanup and environmental restoration to protect the Columbia River. The Hanford site cleanup is managed by two Environmental Management (EM) site offices: the Richland Operations Office and the Office of River Protection.

The Richland Office is responsible for cleanup of most of the geographic area on the Hanford site. The Richland site projects are displayed in three separate control points (Central Plateau Remediation, River Corridor and Other Cleanup Operations, and Richland Community and Regulatory Support). The primary cleanup focus is the safe storage, treatment and disposal of Hanford's legacy wastes and environmental restoration. Risk to the public, workers, and the environment will be reduced by removing contamination before it migrates to the Columbia River.

The Office of River Protection on the Hanford site is responsible for the storage, retrieval, treatment, immobilization, and disposal of tank waste and the operation, maintenance, engineering, and construction activities in the 200 Area Tank Farms. Its budget has two components, the operation and maintenance of radioactive liquid waste tank farms and construction of the Waste Treatment and Immobilization Plant.

**Idaho.**—Funds the Idaho Cleanup Project, which is aimed at reducing the risk of contamination reaching the Snake River Plain Aquifer from nuclear and hazardous waste buried or stored on-site. It also funds efforts to eliminate infrastructure costs by conducting cleanup operations to reduce the site "footprint"; and treat and dispose of the sodium bearing tank wastes, close tank farms, perform initial tank soils remediation work. The Idaho projects are displayed in two separate control points (Idaho Cleanup and Waste Disposition and Idaho Community and Regulatory Support).

**NNSA Sites.**—Funds the safe and efficient cleanup of the environmental legacy at National Nuclear Security Administration (NNSA) sites including Los Alamos National Laboratory, Nevada National Security Site, Sandia, Lawrence Livermore National Laboratory, and the Separations Process Research Unit. The cleanup strategy is a risk-informed approach that focuses first on those contaminant plumes and sources that are the greatest contributors to risk. The overall goal is first to ensure that risks to the public and workers are controlled, then to clean up soil and groundwater using a risk-informed methodology. NNSA is responsible for long-term stewardship of its sites after physical cleanup is completed.

**Oak Ridge.**—Funds defense-related cleanup of the three facilities that make up the Oak Ridge Reservation: the East Tennessee Technology Park, the Oak Ridge National Laboratory, and the Y-12 Plant. The overall cleanup strategy is based on surface water considerations, encompassing five distinct watersheds that feed the Clinch River. Cleanup actions will contain that waste; improve on-site surface water quality to meet required standards; and protect off-site users of the Clinch River. The Oak Ridge projects are displayed in three separate control points (Oak Ridge Cleanup and Disposition, Oak Ridge Nuclear Facility D&D, and Oak Ridge Community and Regulatory Support).

**Savannah River Site.**—Funds the safe stabilization, treatment, and disposition of legacy nuclear materials, spent nuclear fuel, and waste at the Savannah River site. The Savannah River projects are displayed in three separate control points (Radioactive

Liquid Waste Stabilization and Disposition, Site Risk Management Operations, and Savannah River Community and Regulatory Support). The Savannah River cleanup strategy has four primary objectives: 1) eliminate the highest risks first through safe stabilization, treatment, and disposition of EM-owned nuclear materials, spent nuclear fuel, and waste; 2) significantly reduce costs of continuing operations and surveillance and maintenance; 3) decommission all EM-owned facilities; and 4) remediate groundwater and contaminated soils, using an area closure approach.

**Waste Isolation Pilot Plant.**—Funds the world's first permitted deep geologic repository for the permanent disposal of radioactive waste, and the Nation's only disposal site for defense-generated transuranic waste. The Waste Isolation Pilot Plant, managed by the Carlsbad Field Office, is an operating facility, supporting the cleanup of transuranic waste from waste generator and storage sites. The Waste Isolation Pilot Plant is crucial to the Department of Energy (DOE) completing its cleanup and closure mission.

**Program Direction.**—Funds the Federal workforce responsible for the overall direction and administrative support of the EM program, including both Headquarters and field personnel.

**Program Support.**—Funds Headquarters policy and oversight activities including management and direction for various crosscutting EM and DOE initiatives; establishment and implementation of national and departmental policy; and analyses and integration activities across the DOE complex in a consistent, responsible, and efficient manner.

**Safeguards and Security.**—Funds activities to protect against unauthorized access, theft, diversion, loss of custody or destruction of DOE assets and hostile acts that may cause adverse impacts on fundamental national security or the health and safety of DOE and contractor employees, the public or the environment.

**Technology Development and Deployment.**—Funds projects to address the immediate, near- and long-term technology needs identified by the EM sites, enabling them to accelerate their cleanup schedules, treat orphaned wastes, improve worker safety, and provide technical foundations for the sites' end state visions. Specific focus is to mature and deploy the necessary technologies to accelerate tank waste processing, treatment, and waste loading.

#### Object Classification (in millions of dollars)

| Identification code 89-0251-0-1-053                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 169         | 175     | 171       |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 7         |
| 11.9 Total personnel compensation .....                         | 173         | 179     | 180       |
| 12.1 Civilian personnel benefits .....                          | 48          | 50      | 45        |
| 13.0 Benefits for former personnel .....                        | 2           | 2       | 1         |
| 21.0 Travel and transportation of persons .....                 | 6           | 6       | 7         |
| 22.0 Transportation of things .....                             |             |         | 1         |
| 23.1 Rental payments to GSA .....                               | 9           | 9       | 10        |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 4         |
| 25.1 Advisory and assistance services .....                     | 96          | 99      | 117       |
| 25.2 Other services from non-Federal sources .....              | 1,661       | 1,717   | 1,505     |
| 25.3 Other goods and services from Federal sources .....        | 42          | 43      | 47        |
| 25.4 Operation and maintenance of facilities .....              | 1,921       | 1,985   | 1,860     |
| 25.5 Research and development contracts .....                   | 3           | 3       | 3         |
| 25.6 Medical care .....   | 2           | 2       |           |
| 26.0 Supplies and materials .....                               | 3           | 3       | 2         |
| 31.0 Equipment .....  | 10          | 10      | 8         |
| 32.0 Land and structures .....                                  | 911         | 942     | 972       |
| 41.0 Grants, subsidies, and contributions .....                 | 56          | 58      | 91        |
| 99.0 Direct obligations .....                                   | 4,946       | 5,111   | 4,854     |
| 99.0 Reimbursable obligations .....                             | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 4,947       | 5,112   | 4,855     |

#### Employment Summary

| Identification code 89-0251-0-1-053                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,526       | 1,435   | 1,398     |

#### DEFENSE ENVIRONMENTAL CLEANUP

(Legislative proposal, not subject to PAYGO)

*Contingent upon the enactment of legislation reauthorizing the Uranium Enrichment Decontamination and Decommissioning Fund, \$463,000,000, which shall be transferred to the "Uranium Enrichment Decontamination and Decommissioning Fund".*

#### Program and Financing (in millions of dollars)

| Identification code 89-0251-2-1-053                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0014 UE D&D Fund Contribution .....                  |             |         | 463       |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 463       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 463       |
| 1160 Appropriation, discretionary (total) .....      |             |         | 463       |
| 1900 Budget authority (total) .....                  |             |         | 463       |
| 1930 Total budgetary resources available .....       |             |         | 463       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 463       |
| 3020 Outlays (gross) .....                           |             |         | -463      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 463       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | 463       |
| Offsets against gross budget authority and outlays:  |             |         |           |
| Offsetting collections (collected) from:             |             |         |           |
| 4180 Budget authority, net (total) .....             |             |         | 463       |
| 4190 Outlays, net (total) .....                      |             |         | 463       |

#### OTHER DEFENSE ACTIVITIES

*For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses, necessary for atomic energy defense, other defense activities, and classified activities, in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$749,080,000, to remain available until expended: Provided, That \$127,035,000 shall be available until September 30, 2015, for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 89-0243-0-1-999               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>           |             |         |           |
| 0010 Health, safety and security .....            | 254         | 256     | 252       |
| 0015 Specialized security activities .....        | 193         | 190     | 196       |
| 0020 Legacy management .....                      | 173         | 174     | 177       |
| 0030 Defense related administrative support ..... | 124         | 121     | 119       |
| 0050 Defense activities at INL .....              | 93          | 94      |           |
| 0060 Hearings and Appeals .....                   | 4           | 5       | 5         |
| 0100 Subtotal, Direct program activities .....    | 841         | 840     | 749       |
| 0799 Total direct obligations .....               | 841         | 840     | 749       |

OTHER DEFENSE ACTIVITIES—Continued  
Program and Financing—Continued

| Identification code 89-0243-0-1-999                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0810 Reimbursable program .....                                      | 1,687       | 1,749   | 1,700     |
| 0819 Reimbursable program activities, subtotal .....                 | 1,687       | 1,749   | 1,700     |
| 0900 Total new obligations .....                                     | 2,528       | 2,589   | 2,449     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 25          | 16      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....               | 4           | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 29          | 16      | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 823         | 828     | 749       |
| 1160 Appropriation, discretionary (total) .....                      | 823         | 828     | 749       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 1,745       | 1,745   | 1,745     |
| 1701 Change in uncollected payments, Federal sources .....           | -52         | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 1,693       | 1,745   | 1,745     |
| 1900 Budget authority (total) .....                                  | 2,516       | 2,573   | 2,494     |
| 1930 Total budgetary resources available .....                       | 2,545       | 2,589   | 2,494     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                | 16          | .....   | 45        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1,623       | 1,612   | 1,586     |
| 3010 Obligations incurred, unexpired accounts .....                  | 2,528       | 2,589   | 2,449     |
| 3020 Outlays (gross) .....   | -2,535      | -2,615  | -2,633    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 1,612       | 1,586   | 1,402     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -1,436      | -1,384  | -1,384    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 52          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -1,384      | -1,384  | -1,384    |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 187         | 228     | 202       |
| 3200 Obligated balance, end of year .....                            | 228         | 202     | 18        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 2,516       | 2,573   | 2,494     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 1,278       | 1,411   | 1,360     |
| 4011 Outlays from discretionary balances .....                       | 1,257       | 1,204   | 1,273     |
| 4020 Outlays, gross (total) .....                                    | 2,535       | 2,615   | 2,633     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -1,696      | -1,696  | -1,696    |
| 4033 Non-Federal sources .....                                       | -49         | -49     | -49       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -1,745      | -1,745  | -1,745    |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 52          | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                     | 823         | 828     | 749       |
| 4080 Outlays, net (discretionary) .....                              | 790         | 870     | 888       |
| 4180 Budget authority, net (total) .....                             | 823         | 828     | 749       |
| 4190 Outlays, net (total) .....                                      | 790         | 870     | 888       |

**Health, Safety and Security.**—The Office of Health, Safety and Security (HSS) supports the Secretary's mission-related objectives by strengthening the Department's health, safety, environment, and security programs to enhance productivity while maintaining the highest standards of safe operation, protection of national assets, and environmental sustainability. HSS functions include: policy and guidance development and technical assistance; analysis of health, safety, environment, and security performance; nuclear safety; domestic and international health studies; medical screening programs for former workers; Energy Employee Occupational Illness Compensation Program Act support; quality assurance programs; safety and security professional development

and training; interface with the Defense Nuclear Facilities Safety Board; national security information programs; security for the Department's facilities and personnel in the National Capital Area; independent oversight of security, cyber security, emergency management, environment, safety, and health performance; and worker safety, nuclear safety, and classified information security enforcement programs.

**Office of Specialized Security Activities.**—The program supports national security related analyses requiring highly specialized skills and capabilities.

**Office of Legacy Management.**—The program supports long-term stewardship activities (e.g., groundwater monitoring, disposal cell maintenance, records management, and management of natural resources) at 92 sites where active remediation has been completed. In addition, Legacy Management funds the pensions and/or post-retirement benefits for 11,000 former contractor employees.

**Office of Hearings and Appeals.**—The Office of Hearings and Appeals adjudicates personnel security cases, as well as whistleblower reprisal complaints filed by DOE contractor employees. The office is the appeal authority in various other areas, including Freedom of Information Act and Privacy Act appeals. In addition, the office decides requests for exception from DOE orders, rules, regulations, and is responsible for the DOE's alternative dispute resolution function.

**All Other.**—Obligations are included for defense-related administrative support. Idaho site-wide safeguards and security activities are requested in the Nuclear Energy account for 2014.

## Object Classification (in millions of dollars)

| Identification code 89-0243-0-1-999                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 106         | 99      | 97        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 3           | 3       | 4         |
| 11.9 Total personnel compensation .....                         | 110         | 103     | 102       |
| 12.1 Civilian personnel benefits .....                          | 29          | 26      | 23        |
| 13.0 Benefits for former personnel .....                        | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 5           | 5       | 5         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 44          | 70      | 72        |
| 25.2 Other services from non-Federal sources .....              | 305         | 301     | 285       |
| 25.3 Other goods and services from Federal sources .....        | 40          | 22      | 22        |
| 25.4 Operation and maintenance of facilities .....              | 284         | 294     | 221       |
| 26.0 Supplies and materials .....                               | 1           | 4       | 4         |
| 31.0 Equipment .....  | 11          | 5       | 5         |
| 32.0 Land and structures .....                                  | 2           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....                 | 3           | 3       | 3         |
| 99.0 Direct obligations .....                                   | 841         | 840     | 749       |
| 99.0 Reimbursable obligations .....                             | 1,687       | 1,749   | 1,700     |
| 99.9 Total new obligations .....                                | 2,528       | 2,589   | 2,449     |

## Employment Summary

| Identification code 89-0243-0-1-999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 768         | 748     | 864       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |



## DEFENSE NUCLEAR WASTE DISPOSAL

## Program and Financing (in millions of dollars)

| Identification code 89-0244-0-1-053                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Repository Program .....                         | 1           |         |           |
| 0900 Total new obligations (object class 99.5) .....  | 1           |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 10          | 9       | 9         |
| 1930 Total budgetary resources available .....        | 10          | 9       | 9         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 9           | 9       | 9         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 31          | 20      | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 1           |         |           |
| 3020 Outlays (gross) .....                            | -12         | -19     | -1        |
| 3050 Unpaid obligations, end of year .....            | 20          | 1       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 31          | 20      | 1         |
| 3200 Obligated balance, end of year .....             | 20          | 1       |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 12          | 19      | 1         |
| 4190 Outlays, net (total) .....                       | 12          | 19      | 1         |

In FY 2010, the Department closed the Yucca Mountain Project and the Office of Civilian Radioactive Waste Management (RW). Related activities that were performed by RW are now being performed elsewhere in the Department.

## ENERGY PROGRAMS

## Federal Funds

## SCIENCE

*For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for science activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or facility or for plant or facility acquisition, construction, or expansion, and purchase of not more than 25 passenger motor vehicles for replacement only, including one law enforcement vehicle, one ambulance, and one bus, \$5,152,752,000, to remain available until expended: Provided, That \$193,300,000 shall be available until September 30, 2015 for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89-0222-0-1-251                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0001 Basic Energy Sciences .....                             | 1,631       | 1,722   | 1,862     |
| 0002 Advanced Scientific Computing Research .....            | 429         | 445     | 466       |
| 0003 Biological and Environmental Research .....             | 593         | 616     | 625       |
| 0004 High Energy Physics .....                               | 770         | 796     | 777       |
| 0005 Nuclear Physics .....                                   | 536         | 551     | 570       |
| 0006 Fusion Energy Sciences .....                            | 394         | 404     | 458       |
| 0007 Science Laboratories Infrastructure .....               | 114         | 113     | 98        |
| 0008 Science Program Direction .....                         | 186         | 192     | 193       |
| 0009 Workforce Development for Teachers and Scientists ..... | 19          | 19      | 17        |
| 0010 Safeguards and Security .....                           | 81          | 81      | 87        |
| 0011 Small Business Innovation Research .....                | 161         | 2       |           |
| 0012 Small Business Technology Transfer .....                | 23          |         |           |
| 0799 Total direct obligations .....                          | 4,937       | 4,941   | 5,153     |

|                                  |       |       |       |
|----------------------------------|-------|-------|-------|
| 0801 Reimbursable program .....  | 564   | 599   | 610   |
| 0900 Total new obligations ..... | 5,501 | 5,540 | 5,763 |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 38    | 43    | 6     |
| 1011 Unobligated balance transfer from other accts [89-0319] ....  | 2     |       |       |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | -2    |       |       |
| 1021 Recoveries of prior year unpaid obligations .....             | 12    |       |       |
| 1050 Unobligated balance (total) .....                             | 50    | 43    | 6     |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 4,889 | 4,904 | 5,153 |
| 1121 Appropriations transferred from other accts [89-0213] ....    | 10    |       |       |
| 1121 Appropriations transferred from other accts [89-0321] ....    | 29    |       |       |
| 1121 Appropriations transferred from other accts [89-0251] ....    | 1     |       |       |
| 1121 Appropriations transferred from other accts [89-0309] ....    | 6     |       |       |
| 1121 Appropriations transferred from other accts [89-0318] ....    | 3     |       |       |
| 1121 Appropriations transferred from other accts [89-0319] ....    | 11    |       |       |
| 1130 Appropriations permanently reduced .....                      | -15   |       |       |
| 1160 Appropriation, discretionary (total) .....                    | 4,934 | 4,904 | 5,153 |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | 583   | 599   | 610   |
| 1701 Change in uncollected payments, Federal sources .....         | -23   |       |       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 560   | 599   | 610   |
| 1900 Budget authority (total) .....                                | 5,494 | 5,503 | 5,763 |
| 1930 Total budgetary resources available .....                     | 5,544 | 5,546 | 5,769 |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 43    | 6     | 6     |

## Change in obligated balance:

|  |        |        |        |
|--|--------|--------|--------|
| Unpaid obligations:  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 4,891  | 4,543  | 4,449  |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | -1     |        |        |
| 3010 Obligations incurred, unexpired accounts .....                  | 5,501  | 5,540  | 5,763  |
| 3020 Outlays (gross) .....   | -5,835 | -5,634 | -5,958 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -12    |        |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -1     |        |        |
| 3050 Unpaid obligations, end of year .....                           | 4,543  | 4,449  | 4,254  |
| Uncollected payments:  |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -473   | -450   | -450   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 23     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -450   | -450   | -450   |
| Memorandum (non-add) entries:  |        |        |        |
| 3100 Obligated balance, start of year .....                          | 4,417  | 4,093  | 3,999  |
| 3200 Obligated balance, end of year .....                            | 4,093  | 3,999  | 3,804  |

## Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 5,494 | 5,503 | 5,763 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 1,992 | 3,465 | 3,622 |
| 4011 Outlays from discretionary balances .....                       | 3,843 | 2,169 | 2,336 |
| 4020 Outlays, gross (total) .....                                    | 5,835 | 5,634 | 5,958 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | -395  | -319  | -330  |
| 4033 Non-Federal sources .....                                       | -188  | -280  | -280  |
| 4040 Offsets against gross budget authority and outlays (total) .... | -583  | -599  | -610  |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 23    |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 4,934 | 4,904 | 5,153 |
| 4080 Outlays, net (discretionary) .....                              | 5,252 | 5,035 | 5,348 |
| 4180 Budget authority, net (total) .....                             | 4,934 | 4,904 | 5,153 |
| 4190 Outlays, net (total) .....                                      | 5,252 | 5,035 | 5,348 |

**Advanced Scientific Computing Research.**—This program supports advanced computational research, applied mathematics, computer science, and networking. The program also supports the development, maintenance, and operation of large high performance computing and network facilities including leadership computing facilities at the Oak Ridge and Argonne National Laboratories, the National Energy Research Scientific Computing Facility at Lawrence Berkeley National Laboratory, and the Energy Sciences Network. The request includes research, in partnership with other science programs, on the application of

## SCIENCE—Continued

high performance computer simulation and modeling to science problems. Research will continue to focus on coordinated efforts to address the challenges for emerging computing hardware such as energy management and fault tolerance. Research will continue to address the challenges of data-intensive science including the massive quantities of data generated by Office of Science facilities and collaborations. ASCR efforts will consider and integrate the full spectrum of this challenge from hardware to applications.

**Basic Energy Sciences.**—The Basic Energy Sciences (BES) program supports fundamental research in material sciences, chemistry, geosciences, and aspects of biosciences to understand, predict, and ultimately control matter and energy at the electronic, atomic, and molecular levels. BES core research awards permit individual scientists and small groups to pursue discovery driven research interests with broad energy relevance. BES also supports two innovative approaches to integrated research: Energy Frontier Research Centers and Energy Innovation Hubs. The Energy Frontier Research Centers (EFRCs) support multi-year, multi-investigator scientific collaborations focused on overcoming hurdles in basic science that block transformational discoveries. The EFRCs portfolio will undergo an open re-competition in FY 2014 to select new EFRCs and consider renewal applications for existing EFRCs. The request includes funding for new EFRCs to replace some of the awards that will be completed. The Energy Innovation Hubs establish larger, highly integrated teams working to solve priority technology challenges.

The BES program operates large national user research facilities: a complementary set of intense x-ray sources, neutron scattering centers, electron beam characterization capabilities, and research centers for nanoscale science. These facilities probe materials in space, time, and energy at resolutions that can investigate the inner workings of matter to answer some of the most challenging grand science questions. The request includes support to utilize these state-of-the-art national user facilities at optimal levels. Research areas that will benefit from the facilities funding include materials sciences, chemical sciences, structural biology, and energy technology development. The request supports funding for construction of the National Synchrotron Light Source-II at Brookhaven National Laboratory, the Linac coherent Light Source-II at the SLAC National Accelerator Laboratory and the upgrade of the Advanced Photon Source at Argonne National Laboratory.

**Biological and Environmental Research.**—This program addresses diverse and critical global challenges, from the sustainable and affordable production of renewable biofuels in an environmentally conscientious manner to the simulation and prediction of climate change and greenhouse gas emissions relevant to energy production. The science portfolio examines complex biological, climatic, and environmental systems across spatial and temporal scales ranging from sub-cellular to global, individual molecules to entire ecosystems, and nanoseconds to millennia. Multidisciplinary systems approaches are employed to study and predict dynamic biological interactions from the subcellular molecular level to large scale processes performed by complex plant and microbial communities. The program plays a vital role in supporting research examining atmospheric processes, climate change and its impacts, including warmer temperatures, changes in precipitation, increased levels of greenhouse gases, changing distributions of weather extremes on different ecosystems. The program also seeks understanding of the critical role that biogeochemical processes play in controlling the cycling and mo-

bility of materials in the Earth's subsurface and across key surface-subsurface interfaces in the environment.

The budget continues support for key core research areas and scientific user facilities in bioenergy, climate, and environmental research. The Bioenergy Research Centers continue to address the fundamental science underpinning the development of cost-effective cellulosic biofuels. Genomic sciences investments target the development of synthetic biology tools and technologies and integrative analysis of experimental datasets to accelerate the interpretation of complex genomes that are sequenced and analyzed at the Joint Genome Institute. Observational research on clouds and aerosols at the Atmospheric Radiation Measurement (ARM) Climate Research Facility will improve understanding of the priority climatic sensitive regions of the Arctic and tropics, and modeling efforts will shift their emphasis from global scale dynamics to higher resolution scale interactions for these priority regions. The Environmental Molecular Sciences Laboratory enables experimental and computational research on physical, chemical, and biological processes to resolve molecular-scale challenges in areas such as atmospheric aerosols and trace gases, biofuel feedstocks, biogeochemistry subsurface science and energy materials.

**Fusion Energy Sciences.**—The Fusion Energy Sciences (FES) program focuses on developing the scientific basis for fusion energy. Burning plasma science, control of the plasma state required for attractive fusion energy, plasma-material interfaces, and harnessing fusion power are the four themes being addressed for the purposes of magnetic fusion research. FES supports the construction and development of the research program for ITER, an international experiment that will test many theories underpinning our understanding of burning plasmas magnetic fusion. An essential element of the FES program is the invention of advanced measurement techniques to ascertain the properties of plasma and its surroundings at the level required to test, challenge, and advance theoretical models. This validation forms the foundation of computational tools used to understand and predict the behavior of natural and human-made plasmas systems, including burning plasmas for fusion energy. FES funds the U.S. contributions to the ITER Project in collaboration with the European Union (EU), Japan, Russia, Korea, China, and India. FES supports two major domestic research facilities (DIII-D and the National Spherical Torus Experiment) to develop a more complete understanding of the physics of magnetically confined plasma and carry out research relevant to the success of ITER. The FES program also provides support for basic research in plasma science in partnership with the National Science Foundation; basic research in fusion science with university, private sector, and DOE laboratory engagement; and the study of high energy density laboratory plasmas.

**High Energy Physics.**—The High Energy Physics (HEP) program aims to understand how our universe works at its most fundamental level by discovering the most elementary constituents of matter and energy, probing the interactions between them, and exploring the basic nature of space and time itself. The program encompasses both experimental and theoretical particle physics research at the Energy, Intensity, and Cosmic Frontiers, as well as related advanced accelerator and detector technology research and development (R&D). The primary mode of experimental research involves the study of collisions of beams of intense and/or energetic particles using large particle accelerators or colliding beam facilities.

The HEP request supports Intensity Frontier research, primarily at the Fermi National Accelerator Laboratory, including a diverse portfolio of experiments studying the fundamental

properties of neutrinos, quarks and leptons, and searching for new forces and phenomena. The HEP request also supports the Energy Frontier Research program at the Large Hadron Collider (LHC), including support for software and computing, pre-operations, and maintenance of the U.S. built systems that are part of the LHC detectors and accelerator commissioning and accelerator physics studies using the LHC, and Cosmic Frontier program focused on discovering the nature of dark matter and dark energy using sensitive, state-of-the-art detectors underground, in space, and mounted on telescopes.

In addition to contributing to breakthrough scientific discoveries, HEP research also makes major contributions to accelerator technology development and provides the expertise necessary for the expansion of such technology into medicine, industry, and homeland security, as well as materials, biology, and chemistry research using light sources. To formally acknowledge this broader role in accelerator R&D stewardship, HEP submitted a strategic plan to Congress that outlines the HEP stewardship role and explains how input will be solicited from outside HEP and how HEP sponsored research would be applied to benefit other programs. The request includes support for an initial program of accelerator R&D stewardship.

**Nuclear Physics.**—The Nuclear Physics (NP) program provides new insights into and advances understanding of the evolution and structure of nuclear matter. The program focuses on three broad but highly related research frontiers: strong interactions among quarks and gluons (quantum chromodynamics) and how they assemble into the various forms of matter; the structure of atomic nuclei at their limits of existence and nuclear astrophysics to address the origin of the elements and the evolution of the cosmos; and development of a new Standard Model of fundamental interactions and understanding of its implications for the origin of matter and the properties of neutrinos and nuclei. NP develops the scientific knowledge, technologies, and trained workforce needed to underpin DOE's applied missions. The advancement of knowledge of nuclear matter and its properties is intertwined with nuclear power, nuclear medicine, national security, environmental and geological sciences, and isotope production.

The request continues support of the Relativistic Heavy Ion Collider at Brookhaven National Laboratory to characterize new states of matter and phenomena that occur in hot, dense nuclear matter; the Continuous Electron Beam Accelerator Facility (CEBAF) at Thomas Jefferson National Accelerator Facility to understand the substructure of the nucleon; and the Argonne Tandem Linear Accelerator System at Argonne National Laboratory for the study of nuclear structure and nuclear astrophysics. Construction continues on the 12 GeV CEBAF Upgrade project to double the electron beam energy at CEBAF, which will open the opportunity for new discoveries and an understanding of quark confinement. Efforts also continue for the Facility for Rare Isotope Beams at Michigan State University. The Isotope Development and Production for Research and Applications program will continue to develop and produce commercial and research radioisotopes that are provided to medical institutions, universities, research organizations, and industry for a wide array of uses and applications.

**Science Laboratories Infrastructure.**—The mission of this program is to support scientific and technological innovation at Office of Science (SC) laboratories by funding and sustaining mission-ready infrastructure and fostering safe and environmentally responsible operations. Revitalizing facilities and providing modern laboratory infrastructure is critical to ensuring the continued mission readiness of SC laboratories. The program provides the modern laboratory infrastructure necessary to support world

leadership by the SC national laboratories in the area of basic scientific research now and in the future.

**Safeguards and Security.**—The mission of this program is to support the Department's research at SC laboratories by ensuring appropriate levels of protection against unauthorized access, theft, or destruction of Department assets, and hostile acts that may have adverse impacts on fundamental science, national security, the health and safety of DOE and contractor employees, the public, and the environment.

**Workforce Development for Teachers and Scientists.**—This program supports the annual National Science Bowl in Washington D.C., a middle-and high-school science competition that is the culmination of regional competitions held across the nation. This and other investments help develop the next generation of scientists and engineers to support the DOE mission, administer its programs, and conduct its research.

**Program Direction.**—This program provides a highly skilled Federal workforce to develop and sustain world-class science programs that deliver the scientific discoveries and technological innovations needed to solve our nation's energy and environmental challenges and enable the U.S. to maintain its global competitiveness. The SC workforce is responsible for overseeing taxpayer dollars for science program development; program and project execution and management; managing the administrative, business, and technical aspects of research grants and contracts; overseeing 10 of the 17 DOE national laboratories; and providing public access to DOE's R&D results.

#### Object Classification (in millions of dollars)

| Identification code 89-0222-0-1-251 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 105         | 107     | 111       |
| 11.3                                | Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5                                | Other personnel compensation .....                         | 3           | 3       | 3         |
| 11.9                                | Total personnel compensation .....                         | 110         | 112     | 116       |
| 12.1                                | Civilian personnel benefits .....                          | 29          | 30      | 31        |
| 13.0                                | Benefits for former personnel .....                        | 1           | 1       | 1         |
| 21.0                                | Travel and transportation of persons .....                 | 3           | 3       | 4         |
| 22.0                                | Transportation of things .....                             |             | 2       |           |
| 23.1                                | Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.2                                | Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 5         |
| 25.1                                | Advisory and assistance services .....                     | 9           | 10      | 9         |
| 25.2                                | Other services from non-Federal sources .....              | 67          | 67      | 70        |
| 25.3                                | Other goods and services from Federal sources .....        | 14          | 14      | 15        |
| 25.4                                | Operation and maintenance of facilities .....              | 3,008       | 3,135   | 3,243     |
| 25.5                                | Research and development contracts .....                   | 200         | 191     | 186       |
| 25.7                                | Operation and maintenance of equipment .....               | 1           | 2       | 1         |
| 26.0                                | Supplies and materials .....                               | 2           | 2       | 2         |
| 31.0                                | Equipment .....  | 288         | 379     | 418       |
| 32.0                                | Land and structures .....                                  | 369         | 306     | 363       |
| 41.0                                | Grants, subsidies, and contributions .....                 | 829         | 680     | 686       |
| 99.0                                | Direct obligations .....                                   | 4,937       | 4,941   | 5,153     |
| 99.0                                | Reimbursable obligations .....                             | 564         | 599     | 610       |
| 99.9                                | Total new obligations .....                                | 5,501       | 5,540   | 5,763     |

#### Employment Summary

| Identification code 89-0222-0-1-251 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 974         | 989     | 1,010     |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

#### ADVANCED RESEARCH PROJECTS AGENCY—ENERGY

*For necessary expenses in carrying out the activities authorized by section 5012 of the America COMPETES Act (Public Law 110-69), as amended, \$379,000,000 to remain available until expended: Provided, That*

## ADVANCED RESEARCH PROJECTS AGENCY—ENERGY—Continued

\$34,110,000 shall be available until September 30, 2015 for program direction.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0337–0–1–270                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 ARPA-E Projects .....   | 266         | 285     | 352       |
| 0002 Program Direction .....                                       | 31          | 22      | 34        |
| 0799 Total direct obligations .....                                | 297         | 307     | 386       |
| 0801 Reimbursable program activity .....                           |             | 3       |           |
| 0900 Total new obligations .....                                   | 297         | 310     | 386       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 178         | 159     | 126       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 275         | 277     | 379       |
| 1160 Appropriation, discretionary (total) .....                    | 275         | 277     | 379       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 3       |           |
| 1701 Change in uncollected payments, Federal sources .....         | 3           | –3      |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           |         |           |
| 1900 Budget authority (total) .....                                | 278         | 277     | 379       |
| 1930 Total budgetary resources available .....                     | 456         | 436     | 505       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 159         | 126     | 119       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 4           | 266     | 332       |
| 3010 Obligations incurred, unexpired accounts .....                | 297         | 310     | 386       |
| 3020 Outlays (gross) .....   | –35         | –244    | –376      |
| 3050 Unpaid obligations, end of year .....                         | 266         | 332     | 342       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  |             | –3      |           |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –3          | 3       |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –3          |         |           |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 4           | 263     | 332       |
| 3200 Obligated balance, end of year .....                          | 263         | 332     | 342       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 278         | 277     | 379       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 23          | 69      | 95        |
| 4011 Outlays from discretionary balances .....                     | 12          | 175     | 281       |
| 4020 Outlays, gross (total) .....                                  | 35          | 244     | 376       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   |             | –3      |           |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | –3          | 3       |           |
| 4070 Budget authority, net (discretionary) .....                   | 275         | 277     | 379       |
| 4080 Outlays, net (discretionary) .....                            | 35          | 241     | 376       |
| 4180 Budget authority, net (total) .....                           | 275         | 277     | 379       |
| 4190 Outlays, net (total) .....                                    | 35          | 241     | 376       |

The Advanced Research Projects Agency-Energy (ARPA-E) within the Department of Energy was established by the America COMPETES Act of 2007 (Pub. L. No. 110–69), as amended. The mission of ARPA-E is to overcome the long-term and high-risk technological barriers to the development of new energy technologies that increase energy efficiency and reduce emissions, including green house gases.

ARPA-E will facilitate initiatives to enhance the energy and economic security of the United States through the development

of new energy technologies and ensure that the United States maintains a technological lead in developing and deploying advanced energy technologies. ARPA-E will identify and promote revolutionary advances in energy-related applied sciences, translating scientific discoveries and cutting edge inventions into technological innovations. It will also accelerate transformational technological advances in areas where industry by itself is not likely to invest due to technical and financial uncertainty. The role of ARPA-E is not to duplicate DOE's basic research and applied programs but to focus on novel early-stage energy research and development with technology applications.

## Object Classification (in millions of dollars)

| Identification code 89–0337–0–1–270                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 7           | 7       | 7         |
| 11.3 Other than full-time permanent .....                | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                  | 9           | 9       | 9         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....          | 2           | 1       | 2         |
| 23.2 Rental payments to others .....                     | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 16          | 8       | 20        |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 1         |
| 25.5 Research and development contracts .....            | 266         | 285     | 352       |
| 99.0 Direct obligations .....                            | 297         | 307     | 386       |
| 99.0 Reimbursable obligations .....                      |             | 3       |           |
| 99.9 Total new obligations .....                         | 297         | 310     | 386       |

## Employment Summary

| Identification code 89–0337–0–1–270                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 25          | 40      | 44        |

## RACE TO THE TOP FOR ENERGY EFFICIENCY AND GRID MODERNIZATION

*For Department of Energy expenses necessary to promote policies at the State, local, or tribal level or by electric cooperatives intended to increase energy efficiency, increase clean distributed generation, and modernize the grid in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.) \$200,000,000, to remain available until September 30, 2018.*

## Program and Financing (in millions of dollars)

| Identification code 89–0220–0–1–272                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Grants to States .....                           |             |         | 100       |
| 0900 Total new obligations (object class 41.0) .....  |             |         | 100       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              |             |         | 200       |
| 1160 Appropriation, discretionary (total) .....       |             |         | 200       |
| 1930 Total budgetary resources available .....        |             |         | 200       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 100       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 100       |
| 3020 Outlays (gross) .....                            |             |         | –20       |
| 3050 Unpaid obligations, end of year .....            |             |         | 80        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 80        |

|   |  |  |     |
|---|--|--|-----|
| <b>Budget authority and outlays, net:</b> |  |  |     |
| Discretionary:                            |  |  |     |
| 4000                                      | Budget authority, gross .....                  |  | 200 |
| Outlays, gross:                           |  |  |     |
| 4010                                      | Outlays from new discretionary authority ..... |  | 20  |
| 4180                                      | Budget authority, net (total) .....            |  | 200 |
| 4190                                      | Outlays, net (total) .....                     |  | 20  |

**Race to the Top for Energy Efficiency and Grid Modernization.**—The Budget includes \$200 million in one-time funding for Race to the Top performance based awards to support state governments that implement effective policies to cut energy waste and modernize the grid. These awards will be modeled after a successful Administration approach in education reform designed to promote forward-leaning policies at the state-level. This approach will challenge states to pursue policy and regulatory reforms for key opportunities that include: modernizing utility regulations to encourage cost-effective investments in efficiency such as combined heat and power, clean distributed generation, and demand response resources; enhancing customer access to data; investments that improve the reliability, security and resilience of the grid; and enhancing information sharing regarding grid conditions.

## ENERGY TRANSFORMATION ACCELERATION FUND, RECOVERY ACT

## Program and Financing (in millions of dollars)

| Identification code 89–0336–0–1–270       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 220         | 99      | 14        |
| 3020                                      | Outlays (gross) .....                                      | –114        | –85     | –14       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | –7          | .....   | .....     |
| 3050                                      | Unpaid obligations, end of year .....                      | 99          | 14      | .....     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 220         | 99      | 14        |
| 3200                                      | Obligated balance, end of year .....                       | 99          | 14      | .....     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| Outlays, gross:                           |  |             |         |           |
| 4011                                      | Outlays from discretionary balances .....                  | 114         | 85      | 14        |
| 4190                                      | Outlays, net (total) .....                                 | 114         | 85      | 14        |

## ENERGY SUPPLY AND CONSERVATION

## Program and Financing (in millions of dollars)

| Identification code 89–0224–0–1–999                            | 2012 actual   | 2013 CR | 2014 est. |       |
|--|---|---------|-----------|-------|
| <b>Budgetary Resources:</b>                                    |   |         |           |       |
| Unobligated balance:   |   |         |           |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 12      | 13        | 13    |
| 1020   | Adjustment of unobligated bal brought forward, Oct 1 .....    | 2       | .....     | ..... |
| 1050   | Unobligated balance (total) .....                             | 14      | 13        | 13    |
| Budget authority:  |   |         |           |       |
| Spending authority from offsetting collections, discretionary: |   |         |           |       |
| 1701   | Change in uncollected payments, Federal sources .....         | –1      | .....     | ..... |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | –1      | .....     | ..... |
| 1930   | Total budgetary resources available .....                     | 13      | 13        | 13    |
| Memorandum (non-add) entries:                                  |   |         |           |       |
| 1941   | Unexpired unobligated balance, end of year .....              | 13      | 13        | 13    |
| <b>Change in obligated balance:</b>                            |   |         |           |       |
| Unpaid obligations:  |   |         |           |       |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 1       | –4        | –4    |
| 3020   | Outlays (gross) .....   | –4      | .....     | ..... |
| 3030   | Unpaid obligations transferred to other accts [89–0320] ..... | –1      | .....     | ..... |
| 3050   | Unpaid obligations, end of year .....                         | –4      | –4        | –4    |

|                               |  |    |    |    |
|-------------------------------|--|----|----|----|
| Uncollected payments:         |  |    |    |    |
| 3060                          | Obligated balance transferred to other accts .....                     | -4 | -3 | -3 |
| 3070                          | Uncollected pymts from Fed sources transferred to other accounts ..... | 1  |    |    |
|                               |  |    |    |    |
| 3090                          | Uncollected pymts, Fed sources, end of year .....                      | -3 | -3 | -3 |
| Memorandum (non-add) entries: |  |    |    |    |
| 3100                          | Obligated balance, start of year .....                                 | -3 | -7 | -7 |
| 3200                          | Obligated balance, end of year .....                                   | -7 | -7 | -7 |

| Budget authority and outlays, net: |   |    |       |
|------------------------------------|---|----|-------|
| Discretionary:                     |   |    |       |
| 4000                               | Budget authority, gross .....                             | -1 | ..... |
|                                    | Outlays, gross:   |    |       |
| 4011                               | Outlays from discretionary balances .....                 | 4  | ..... |
|                                    | Offsets against gross budget authority and outlays:       |    |       |
|                                    | Offsetting collections (collected) from:                  |    |       |
|                                    | Additional offsets against gross budget authority only:   |    |       |
| 4050                               | Change in uncollected pymts, Fed sources, unexpired ..... | 1  | ..... |
| 4190                               | Outlays, net (total) .....                                | 4  | ..... |

## NUCLEAR ENERGY

*For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for nuclear energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion \$735,460,000, to remain available until expended, of which \$24,000,000 shall be derived from the Nuclear Waste Fund: Provided, That , of the amount made available under this heading, \$87,500,000 shall be available until September 30, 2015, for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0319–0–1–999     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0032                                    | Reactor Concepts RD&D .....                                     | 111         | 119     | 73        |
| 0041                                    | Fuel Cycle R&D .....  | 178         | 190     | 165       |
| 0042                                    | Integrated University Program .....                             | 5           | 5       | .....     |
| 0043                                    | Nuclear Energy Enabling Technologies R&D .....                  | 73          | 75      | 62        |
| 0091                                    | Research and Development programs, subtotal .....               | 367         | 389     | 300       |
| 0301                                    | Radiological Facilities Management .....                        | 70          | 70      | 5         |
| 0401                                    | Idaho Facilities Management .....                               | 154         | 155     | 182       |
| 0450                                    | Idaho National Laboratory safeguards and security .....         | .....       | .....   | 94        |
| 0451                                    | International Nuclear Safety .....                              | 3           | .....   | .....     |
| 0491                                    | Infrastructure programs, subtotal .....                         | 157         | 155     | 276       |
| 0501                                    | Small Modular Reactor Licensing Technical Support Program ..... | 1           | 133     | 70        |
| 0551                                    | Program Direction .....   | 84          | 95      | 88        |
| 0552                                    | International Nuclear Energy Cooperation .....                  | 3           | 3       | 3         |
| 0591                                    | Other direct program activities, subtotal .....                 | 88          | 231     | 161       |
| 0799                                    | Total direct obligations .....                                  | 682         | 845     | 742       |
| 0801                                    | Reimbursable program .....                                      | 113         | 73      | 70        |
| 0900                                    | Total new obligations .....                                     | 795         | 918     | 812       |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....                | 10          | 93      | 16        |
| 1010                                    | Unobligated balance transfer to other accts [89–0222] .....     | –2          | .....   | .....     |
| 1011                                    | Unobligated balance transfer from other accts [72–0306] ....    | 4           | .....   | .....     |
| 1021                                    | Recoveries of prior year unpaid obligations .....               | 3           | .....   | .....     |
| 1050                                    | Unobligated balance (total) .....                               | 15          | 93      | 16        |
| Budget authority:                       |   |             |         |           |
| Appropriations, discretionary:          |   |             |         |           |
| 1100                                    | Appropriation .....   | 769         | 771     | 711       |
| 1101                                    | Appropriation (special or trust fund) .....                     | .....       | .....   | 24        |
| 1120                                    | Appropriations transferred to other accts [89–0222] .....       | –11         | .....   | .....     |
| 1121                                    | Appropriations transferred from other accts [72–0306] ....      | 4           | .....   | .....     |
| 1130                                    | Appropriations permanently reduced .....                        | –3          | .....   | .....     |

NUCLEAR ENERGY—Continued  
Program and Financing—Continued

| Identification code 89-0319-0-1-999       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1160                                      | Appropriation, discretionary (total) .....                      | 759         | 771     | 735       |
|   | Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700                                      | Collected .....   | 102         | 70      | 70        |
| 1701                                      | Change in uncollected payments, Federal sources .....           | 12          |         |           |
| 1750                                      | Spending auth from offsetting collections, disc (total) .....   | 114         | 70      | 70        |
| 1900                                      | Budget authority (total) .....                                  | 873         | 841     | 805       |
| 1930                                      | Total budgetary resources available .....                       | 888         | 934     | 821       |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 93          | 16      | 9         |
| <b>Change in obligated balance:</b>       |   |             |         |           |
|   | Unpaid obligations:   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 566         | 513     | 555       |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 795         | 918     | 812       |
| 3020                                      | Outlays (gross) .....   | -845        | -876    | -869      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | -3          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                           | 513         | 555     | 498       |
|   | Uncollected payments:   |             |         |           |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -51         | -63     | -63       |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | -12         |         |           |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | -63         | -63     | -63       |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                          | 515         | 450     | 492       |
| 3200                                      | Obligated balance, end of year .....                            | 450         | 492     | 435       |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
|   | Discretionary:  |             |         |           |
| 4000                                      | Budget authority, gross .....                                   | 873         | 841     | 805       |
|   | Outlays, gross:   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                  | 397         | 417     | 446       |
| 4011                                      | Outlays from discretionary balances .....                       | 448         | 459     | 423       |
| 4020                                      | Outlays, gross (total) .....                                    | 845         | 876     | 869       |
|   | Offsets against gross budget authority and outlays:             |             |         |           |
|   | Offsetting collections (collected) from:                        |             |         |           |
| 4030                                      | Federal sources .....   | -89         | -70     | -70       |
| 4033                                      | Non-Federal sources .....                                       | -13         |         |           |
| 4040                                      | Offsets against gross budget authority and outlays (total) .... | -102        | -70     | -70       |
|   | Additional offsets against gross budget authority only:         |             |         |           |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | -12         |         |           |
| 4070                                      | Budget authority, net (discretionary) .....                     | 759         | 771     | 735       |
| 4080                                      | Outlays, net (discretionary) .....                              | 743         | 806     | 799       |
| 4180                                      | Budget authority, net (total) .....                             | 759         | 771     | 735       |
| 4190                                      | Outlays, net (total) .....                                      | 743         | 806     | 799       |

The Office of Nuclear Energy funds a range of research and development activities as well as supports the Nation's nuclear facilities. The FY 2014 budget continues programmatic support for advanced reactor R&D activities; fuel cycle R&D, including R&D on storage, transportation, and disposal that supports the implementation of recommendations put forward by the Blue Ribbon Commission on America's Nuclear Future; and the safe, environmentally compliant, and cost-effective operation of the Department's facilities vital to nuclear energy R&D activities. The Reactor Concepts Research, Development and Demonstration program will support R&D focused on innovative small modular reactors, Light Water Reactor Sustainability, and other advanced reactor concepts. The Nuclear Energy Enabling Technologies program will support R&D focused on a broad spectrum of nuclear energy issues that crosscut reactor types and fuel cycle issues, including materials, proliferation risk assessment, and advanced sensors and instrumentation. The budget will also support cutting-edge nuclear technology R&D across the full spectrum of nuclear energy issues to inspire creative solutions to the broad array of nuclear energy challenges. Consistent with the FY 2013 request, Safeguards and Security for Idaho National Laboratory, previously included within the Other Defense Activities appropriation, is requested within the Nuclear Energy appropriation. In addition, the Office of Nuclear Energy will continue to fund ongoing

responsibilities under the Nuclear Waste Policy Act, including administration of the Nuclear Waste Fund and the Standard Contract, and will lead future waste management activities. Beginning in FY 2014 the Space and Defense Infrastructure subprogram, previously included within the Radiological Facilities Management program, is transitioning to a full cost recovery funding model and is included in the National Aeronautics and Space Administration budget request.

## Object Classification (in millions of dollars)

| Identification code 89-0319-0-1-999 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                           | 44          | 55      | 47        |
| 11.3                                | Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.5                                | Other personnel compensation .....                  | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                  | 46          | 57      | 49        |
| 12.1                                | Civilian personnel benefits .....                   | 13          | 16      | 14        |
| 13.0                                | Benefits for former personnel .....                 | 1           | 1       | 1         |
| 21.0                                | Travel and transportation of persons .....          | 2           | 2       | 2         |
| 25.1                                | Advisory and assistance services .....              | 8           | 10      | 9         |
| 25.2                                | Other services from non-Federal sources .....       | 45          | 56      | 48        |
| 25.3                                | Other goods and services from Federal sources ..... | 10          | 12      | 11        |
| 25.4                                | Operation and maintenance of facilities .....       | 522         | 647     | 570       |
| 26.0                                | Supplies and materials .....                        | 1           | 1       | 1         |
| 31.0                                | Equipment .....                                     | 6           | 8       | 6         |
| 32.0                                | Land and structures .....                           | 7           | 9       | 8         |
| 41.0                                | Grants, subsidies, and contributions .....          | 21          | 26      | 23        |
| 99.0                                | Direct obligations .....                            | 682         | 845     | 742       |
| 99.0                                | Reimbursable obligations .....                      | 113         | 73      | 70        |
| 99.9                                | Total new obligations .....                         | 795         | 918     | 812       |

## Employment Summary

| Identification code 89-0319-0-1-999 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 404         | 404     | 418       |

## NUCLEAR ENERGY

(Legislative proposal, subject to PAYGO)

In January 2013 the Administration released its *Strategy for the Management and Disposal of Used Nuclear Fuel and High Level Radioactive Waste*. This Strategy lays out a broad outline for a stable, integrated system capable of transporting, storing, and disposing of high-level nuclear waste from civilian nuclear power generation, defense, national security and other activities. The Administration looks forward to working with Congress to build and implement this new program and believes that providing adequate and timely funding is critical to success.

Currently more than 68,000 metric tons heavy metal (MTHM) of used nuclear fuel are stored at 72 commercial power plants around the country with approximately 2,000 MTHM added to that amount every year. As a result of litigation by contract holders, the government was found in partial breach of contract, and is now liable for damages to some utilities to cover the costs of that on-site, at-reactor storage. For the first time, the FY 2014 Budget reflects a more complete estimate of those liability payments in the baseline. Please see additional discussion of the cost of the governments liability in the Budget Process chapter in the *Analytical Perspectives* volume.

To support the nuclear waste management program over the long term, reform of the current funding arrangement is necessary and the Administration believes the funding system should consist of the following elements: ongoing discretionary appropriations, access to annual fee collections provided in legislation either through their reclassification from mandatory to discretionary or as a direct mandatory appropriation, and eventual access to the balance or "corpus" of the Nuclear Waste Fund.

The FY 2014 Budget includes a proposal to implement such reform. Discretionary appropriations are included for this new program beginning in 2014 and continue for the duration of the effort. These funds would be used to fund expenses that are regular and recurring, such as program management costs, including administrative expenses, salaries and benefits, studies, and regulatory interactions. Mandatory appropriations in addition to the discretionary funding are proposed to be provided annually beginning in 2017 to fund the balance of the annual program costs.

The program envisioned in the FY 2014 Budget is a very long term, flexible, multi-faceted approach to dispose of the nations commercial and defense waste. The estimated programmatic cost of this effort over its first 10 years is approximately \$5.6 billion. As part of this program, the Budget assumes the construction and operation of a pilot interim waste storage facility within the next 10 years as well as notable progress on both full-scale interim storage and long-term permanent geologic disposal. The deployment of pilot interim storage within the next 10 years allows the government to begin picking up waste, thus enabling the collection of one-time fees owed by certain generators that will offset some of this spending. Over the 10-year budget window, the projected net mandatory cost would be in the range of \$1.3 billion.

The sooner that legislation enables progress on implementing a nuclear waste management program, the lower the ultimate cost will be to the taxpayers. This proposal is intended to limit, and then end, liability costs by making it possible for the government to begin performing on its contractual obligations.

#### ELECTRICITY DELIVERY AND ENERGY RELIABILITY

*For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for electricity delivery and energy reliability activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$169,015,000, to remain available until expended: Provided, That \$27,615,000 shall be available until September 30, 2015 for program direction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 89–0318–0–1–999                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0010 Research and development .....                            | 106         | 100     | 119       |
| 0020 Infrastructure Security and Energy Restoration .....      | 6           | 6       | 16        |
| 0030 Permitting, Siting, and Analysis .....                    | 7           | 7       | 6         |
| 0040 Program Direction .....                                   | 24          | 27      | 28        |
| 0799 Total direct obligations .....                            | 143         | 140     | 169       |
| 0801 Reimbursable work .....                                   | 1           | 1       | 1         |
| 0900 Total new obligations .....                               | 144         | 141     | 170       |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 20          | 20      | 21        |
| 1021 Recoveries of prior year unpaid obligations .....         | 4           |         |           |
| 1050 Unobligated balance (total) .....                         | 24          | 20      | 21        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       | 140         | 140     | 169       |
| 1120 Appropriations transferred to other accts [89–0222] ..... | –3          |         |           |
| 1130 Appropriations permanently reduced .....                  | –1          |         |           |

|  |   |        |       |      |
|--|---|--------|-------|------|
| 1160   | Appropriation, discretionary (total) .....                    | 136    | 140   | 169  |
| Spending authority from offsetting collections, discretionary: |   |        |       |      |
| 1700   | Collected .....   | 1      | 1     | 1    |
| 1701   | Change in uncollected payments, Federal sources .....         | 3      | 1     | 1    |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 4      | 2     | 2    |
| 1900   | Budget authority (total) .....                                | 140    | 142   | 171  |
| 1930   | Total budgetary resources available .....                     | 164    | 162   | 192  |
| Memorandum (non-add) entries:                                  |   |        |       |      |
| 1941   | Unexpired unobligated balance, end of year .....              | 20     | 21    | 22   |
| <b>Change in obligated balance:</b>                            |   |        |       |      |
| Unpaid obligations:  |   |        |       |      |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 2,823  | 1,516 | 866  |
| 3010   | Obligations incurred, unexpired accounts .....                | 144    | 141   | 170  |
| 3020   | Outlays (gross) .....   | –1,438 | –791  | –749 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –4     |       |      |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | –9     |       |      |
| 3050   | Unpaid obligations, end of year .....                         | 1,516  | 866   | 287  |
| Uncollected payments:  |   |        |       |      |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –1     | –4    | –5   |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | –3     | –1    | –1   |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | –4     | –5    | –6   |
| Memorandum (non-add) entries:                                  |   |        |       |      |
| 3100   | Obligated balance, start of year .....                        | 2,822  | 1,512 | 861  |
| 3200   | Obligated balance, end of year .....                          | 1,512  | 861   | 281  |
| <b>Budget authority and outlays, net:</b>                      |   |        |       |      |
| Discretionary:   |   |        |       |      |
| 4000   | Budget authority, gross .....                                 | 140    | 142   | 171  |
| Outlays, gross:  |   |        |       |      |
| 4010   | Outlays from new discretionary authority .....                | 41     | 86    | 104  |
| 4011   | Outlays from discretionary balances .....                     | 1,397  | 705   | 645  |
| 4020   | Outlays, gross (total) .....                                  | 1,438  | 791   | 749  |
| Offsets against gross budget authority and outlays:            |   |        |       |      |
| Offsetting collections (collected) from:                       |   |        |       |      |
| 4030   | Federal sources .....   | –1     | –1    | –1   |
| Additional offsets against gross budget authority only:        |   |        |       |      |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | –3     | –1    | –1   |
| 4070   | Budget authority, net (discretionary) .....                   | 136    | 140   | 169  |
| 4080   | Outlays, net (discretionary) .....                            | 1,437  | 790   | 748  |
| 4180   | Budget authority, net (total) .....                           | 136    | 140   | 169  |
| 4190   | Outlays, net (total) .....                                    | 1,437  | 790   | 748  |

The mission of the Office of Electricity Delivery and Energy Reliability (OE) is to drive electric grid modernization and reliability in energy infrastructure. OE leads the Department of Energy's efforts to ensure a resilient, reliable, and flexible electricity system through research, partnerships, facilitation, modeling and analytics, and emergency preparedness. OE programs include:

**Clean Energy Transmission and Reliability (CETR).**—The CETR program develops advanced modeling, monitoring, and control applications to improve the reliability and resiliency of the US transmission system.

**Smart Grid.**—The Smart Grid program targets modernization of the electric system at the distribution level. The program develops tools and applications with a goal of achieving a self-healing system for improved reliability and integration of demand-side management and improved system efficiency.

**Electricity Systems Hub.**—The Electricity Systems Hub, proposed in the Budget, will address the basic science, technology, economic, and policy issues that affect our ability to achieve a seamless and modernized grid.

**Cybersecurity for Energy Delivery System (CEDS).**—The CEDS program develops advanced cybersecurity technologies and capabilities to enhance the reliability and resiliency of the Nation's energy infrastructure by reducing the risk of energy disruptions due to cyber events.

**Energy Storage.**—The Energy Storage program conducts research, development, and demonstrations to enhance the stability, reliability, and flexibility of the electric grid by accelerating the

## ELECTRICITY DELIVERY AND ENERGY RELIABILITY—Continued

development and deployment of advanced grid-scale energy storage in the electric system.

**National Electricity Delivery (NED).**—Formerly called Permitting, Siting, and Analysis, the NED program provides technical assistance to states, regional entities, and tribes to help them develop and improve their programs, policies, and laws that facilitate the development of reliable and affordable electricity infrastructure. The program implements the electricity grid modernization requirements contained in the Energy Policy Act of 2005 and the Energy Independence and Security Act of 2007, and authorizes the export of electric energy and processes permits for the construction of transmission infrastructure across international borders.

**Infrastructure Security and Energy Restoration (ISER).**—The ISER program leads efforts for securing the U.S. energy infrastructure against all hazards, reducing the impact of disruptive events, and responding to and facilitating recovery from energy disruptions, in collaboration with industry and State and local governments.

**Program Direction.**—Program Direction provides for the costs associated with the federal workforce and contractor services that support OE's mission. These costs include salaries, benefits, travel, training, building occupancy, IT systems, and other related expenses.

## Object Classification (in millions of dollars)

| Identification code 89–0318–0–1–999                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 8           | 8       | 8         |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 9           | 9       | 9         |
| 12.1 Civilian personnel benefits .....                   | 3           | 2       | 2         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 13          | 18      | 20        |
| 25.2 Other services from non-Federal sources .....       | 4           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 25.4 Operation and maintenance of facilities .....       | 57          | 57      | 82        |
| 25.5 Research and development contracts .....            | 53          | 49      | 51        |
| 31.0 Equipment .....                                     | 1           | 1       | 1         |
| 99.0 Direct obligations .....                            | 143         | 140     | 169       |
| 99.0 Reimbursable obligations .....                      | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 144         | 141     | 170       |

## Employment Summary

| Identification code 89–0318–0–1–999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 75          | 75      | 75        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5           | 5       | 5         |

## LEGACY MANAGEMENT

## Program and Financing (in millions of dollars)

| Identification code 89–0320–0–1–271                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Change in obligated balance:   |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                |             | 1       | 1         |
| 3031 Unpaid obligations transferred from other accts [89–0224] ..... | 1           |         |           |
| 3050 Unpaid obligations, end of year .....                           | 1           | 1       | 1         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          |             | 1       | 1         |

|      |                                      |   |   |   |
|------|--------------------------------------|---|---|---|
| 3200 | Obligated balance, end of year ..... | 1 | 1 | 1 |
|------|--------------------------------------|---|---|---|

## ENERGY EFFICIENCY AND RENEWABLE ENERGY

*For Department of Energy expenses including the purchase, construction, and acquisition of plant and capital equipment, and other expenses necessary for energy efficiency and renewable energy activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$2,775,700,000, to remain available until expended: Provided, That \$185,000,000 shall be available until September 30, 2015 for program direction: Provided further, That, of the amount provided under this heading, the Secretary may transfer up to \$95,000,000 to the Defense Production Act Fund for activities of the Department of Energy pursuant to the Defense Production Act of 1950 (50 U.S.C. App. 2061, et seq.).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0321–0–1–270                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                     |             |         |           |
| 0001 Hydrogen Technology .....                                       | 92          | 115     | 100       |
| 0002 Biomass and Biorefinery Systems R&D .....                       | 129         | 277     | 282       |
| 0003 Solar Energy .....  | 233         | 378     | 357       |
| 0004 Wind Energy .....   | 73          | 115     | 144       |
| 0005 Geothermal Technology .....                                     | 34          | 42      | 60        |
| 0006 Water Power .....   | 49          | 69      | 55        |
| 0007 Vehicle Technologies .....                                      | 315         | 340     | 575       |
| 0008 Building Technologies .....                                     | 208         | 245     | 300       |
| 0009 Advanced Manufacturing .....                                    | 117         | 146     | 365       |
| 0010 Federal Energy Management Program .....                         | 29          | 33      | 36        |
| 0011 Facilities & Infrastructure .....                               | 26          | 26      | 46        |
| 0012 Weatherization & Intergovernmental Activities .....             | 126         | 145     | 248       |
| 0013 Program Direction & Support .....                               | 187         | 204     | 221       |
| 0014 Congressionally Directed Projects .....                         | 1           | 15      |           |
| 0799 Total direct obligations .....                                  | 1,619       | 2,150   | 2,789     |
| 0810 Reimbursable program .....                                      | 205         | 308     | 276       |
| 0900 Total new obligations .....                                     | 1,824       | 2,458   | 3,065     |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 119         | 335     |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 58          | 26      | 13        |
| 1050 Unobligated balance (total) .....                               | 177         | 361     | 13        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 1,825       | 1,831   | 2,776     |
| 1120 Appropriations transferred to other accts [89–0222] .....       | –29         |         |           |
| 1130 Appropriations permanently reduced .....                        | –5          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –10         | –10     |           |
| 1160 Appropriation, discretionary (total) .....                      | 1,781       | 1,821   | 2,776     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 191         | 276     | 276       |
| 1701 Change in uncollected payments, Federal sources .....           | 10          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 201         | 276     | 276       |
| 1900 Budget authority (total) .....                                  | 1,982       | 2,097   | 3,052     |
| 1930 Total budgetary resources available .....                       | 2,159       | 2,458   | 3,065     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 335         |         |           |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 9,783  | 4,776  | 3,863  |
| 3010 Obligations incurred, unexpired accounts .....               | 1,824  | 2,458  | 3,065  |
| 3020 Outlays (gross) .....  | –6,689 | –3,345 | –3,097 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –58    | –26    | –13    |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –84    |        |        |
| 3050 Unpaid obligations, end of year .....                        | 4,776  | 3,863  | 3,818  |



|   |   |       |       |       |
|---|---|-------|-------|-------|
| Uncollected payments:                                   |   |       |       |       |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -143  | -153  | -153  |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....       | -10   | ..... | ..... |
| 3090  | Uncollected pymts, Fed sources, end of year .....               | -153  | -153  | -153  |
| Memorandum (non-add) entries:                           |   |       |       |       |
| 3100  | Obligated balance, start of year .....                          | 9,640 | 4,623 | 3,710 |
| 3200  | Obligated balance, end of year .....                            | 4,623 | 3,710 | 3,665 |
| <hr/>   |   |       |       |       |
| <b>Budget authority and outlays, net:</b>               |   |       |       |       |
| Discretionary:  |   |       |       |       |
| 4000  | Budget authority, gross .....                                   | 1,982 | 2,097 | 3,052 |
| Outlays, gross:   |   |       |       |       |
| 4010  | Outlays from new discretionary authority .....                  | 514   | 776   | 1,025 |
| 4011  | Outlays from discretionary balances .....                       | 6,175 | 2,569 | 2,072 |
| 4020  | Outlays, gross (total) .....                                    | 6,689 | 3,345 | 3,097 |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4030  | Federal sources .....   | -117  | -210  | -210  |
| 4033  | Non-Federal sources .....                                       | -74   | -66   | -66   |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -191  | -276  | -276  |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -10   | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....                     | 1,781 | 1,821 | 2,776 |
| 4080  | Outlays, net (discretionary) .....                              | 6,498 | 3,069 | 2,821 |
| 4180  | Budget authority, net (total) .....                             | 1,781 | 1,821 | 2,776 |
| 4190  | Outlays, net (total) .....                                      | 6,498 | 3,069 | 2,821 |

The Department of Energy's Office of Energy Efficiency and Renewable Energy (EERE) supports clean energy research, development, demonstration, and deployment activities to advance the state-of-the-art in efficiency and renewable energy technologies and to transition them from early-stage research to the private sector. EERE programs accelerate the development and commercialization of new generations of energy technologies for buildings, factories, and vehicles that are clean, reliable, efficient, and affordable and that help the country meet its economic, environmental, and energy security goals. These technologies can provide the basis for increased domestic manufacturing and economic growth; protect the environment by reducing greenhouse gas emissions and improving air and water quality; reduce petroleum use; increase diversity and choice in energy sources and services; and decrease energy use and costs for consumers. As EERE technologies become more cost competitive, grid integration issues associated with higher penetration of EERE technologies on the power grid (such as variable renewable electricity generation, electric vehicle charging, building efficiency, demand response, etc.) emerge as a high priority barrier to address.

EERE programs include:

**Hydrogen and Fuel Cell Technologies.**—This program aims to reduce petroleum use, greenhouse gas emissions, and criteria air pollutants, and to contribute to a more diverse and efficient energy infrastructure by supporting the development of affordable, high efficiency and low emissions hydrogen and fuel cell technologies for widespread commercialization. The program supports applied research, development, and demonstration (RD&D) of transformative advances in hydrogen and fuel cell technologies, as well as efforts to overcome economic and institutional barriers to their commercial deployment.

**Biomass and Biorefinery Systems.**—This program funds research, development, and demonstration projects to advance biofuels technologies and to validate and assist in the commercialization of integrated biorefinery technologies that will help transform the nation's transportation sector. The program's activities include the development of biomass conversion technologies to produce a variety of biofuels, bioproducts, and biopower. The program also works to evaluate environmentally sustainable feedstocks and to develop economically viable feedstock logistics systems to sustainably supply the biofuels industry. With the completion of the program's technology development for cost-

competitive cellulosic ethanol, the program is now partnering with the private sector to demonstrate economic viability at larger scales. It is also developing follow-on technology for more infrastructure-compatible biofuels, such as bio-based gasoline, diesel and jet fuel. This work is coordinated closely with other agencies such as the Agriculture and Defense Departments.

**Solar Energy.**—This program's main objective under the SunShot Initiative is to make solar energy cost-competitive with other sources of electricity, across the nation and without subsidies, by 2020—a goal of approximately 5–6 cents per kWh for installed systems. To achieve this objective, the program supports solar energy research, development, and demonstration at universities and the national laboratories and in collaboration with industry and industry-led consortia. The Photovoltaic (PV) and Innovations in Manufacturing R&D subprograms focus on lowering the cost of PV through increased conversion efficiency, reduction in cell and module costs, and manufacturing technology improvements and on increasing the competitiveness of the U.S. solar energy manufacturing industry and supply chain. The Concentrating Solar Power (CSP) subprogram supports the development of thermal storage, heat transfer fluids, and component and systems research and optimization to enable CSP to provide baseload power on demand. Additionally, the Systems Integration and Soft Balance of Systems Cost Reduction subprograms support cost goals for the deployment of solar technologies by addressing grid integration issues, the balance-of-system and non-hardware costs of installation, and other market barriers.

**Wind Energy.**—This program develops technology in partnership with industry to improve the reliability and affordability of land-based and offshore wind energy systems, with an increased focus on next generation technologies (e.g., floating platform designs, etc.) that will enable America's sizable offshore wind resources to be captured at a competitive price. The program also supports advanced turbine component research and design, wind resource assessments and modeling, advanced turbine and system modeling and optimization of entire wind plants, and improved approaches to systems interconnection and integration with the electric transmission grid. These efforts also help reduce barriers to technology acceptance and enable increased market penetration of this variable resource.

**Geothermal Technologies.**—This program conducts research, development and demonstration in partnership with industry, academia, and the national laboratories to improve the discovery of new geothermal resources and to develop innovative methods for accessing and using those resources for cost-effective baseload renewable electricity generation. The program's geothermal work will concentrate on improved exploration technologies and on developing new technologies for enhanced geothermal systems (EGS) that offer the potential for tapping into enormous geothermal resources across America. The program's new EGS field test laboratory will enable transformative, high-impact technologies and techniques to be tested and improved and will increase technology sharing and leverage with the private sector.

**Water Power.**—This program conducts research, development, and validation testing and demonstration of innovative water power technologies to enable improved, cost-effective, and environmentally responsible renewable power generation from water. The program focuses primarily on a diverse array of marine and hydrokinetic technologies for producing electricity from waves, tides, and currents in oceans and rivers. It also focuses on advanced hydropower technologies and tools that significantly improve energy and environmental performance. In addition, the program supports resource assessments, cost assessments, environmental studies, and advanced modeling aimed at determining

## ENERGY EFFICIENCY AND RENEWABLE ENERGY—Continued

and demonstrating the viability of emerging water power technologies and reducing the market barriers to their deployment.

**Vehicle Technologies.**—This program's research and development (R&D) seeks technology breakthroughs that will enable the U.S. to greatly reduce transportation petroleum use and greenhouse gas emissions while reducing the costs of vehicle operation. To accomplish this, the program focuses on a suite of technologies from transportation electrification to lightweight materials, advanced combustion engines, and non-petroleum fuels and lubricant technologies. The program incorporates a DOE grand challenge, the EV Everywhere Initiative, to develop the technologies to make electric-powered vehicles as affordable and convenient as gasoline-powered vehicles for the average American family by 2020. The EV Everywhere Initiative will include accelerated R&D on emerging battery technologies and innovative battery manufacturing processes, power electronics, and electric motors. The program also supports early demonstration, field validation, and community-scale deployment of advanced vehicle technologies, as well as efforts to reduce the vehicle miles traveled by the public.

**Building Technologies.**—In partnership with the buildings industry, this program develops, demonstrates, and integrates energy technologies and practices to make buildings more efficient and affordable. The program accelerates the availability of innovative, highly efficient building technologies and practices through R&D; increases the minimum efficiency of buildings and equipment through the promotion of model building efficiency codes and the promulgation of national lighting and appliance standards; and encourages the use of energy-efficient and renewable energy technologies and practices in residential and commercial buildings through integration activities such as Better Buildings, Building America, and the ENERGY STAR partnership with EPA. As part of its activities, the program oversees the Energy Efficient Buildings Hub.

**Advanced Manufacturing.**—This program supports RD&D focused on high-impact energy-efficient manufacturing processes and materials technologies. The program is accelerating its activities to develop cross-cutting manufacturing process technologies and advanced industrial materials that will enable U.S. companies to cut the costs of manufacturing by using less energy while improving product quality and accelerating product development. These activities include one or more Clean Energy Manufacturing Innovation Institutes as part of a larger proposed interagency network aimed at bringing together universities, companies, and the government to co-invest in solving industry-relevant manufacturing challenges. The program seeks to demonstrate materials and processes at a convincing scale to prove reductions in energy intensity and in the life-cycle energy consumption of manufactured products, plus promote a corporate culture of continuous improvement in energy efficiency among existing facilities and manufacturers. The program also manages the Energy Innovation Hub on Critical Materials.

**Federal Energy Management Program.**—This program enables the Federal Government to meet its relevant energy, water, greenhouse gas, and transportation goals as defined in existing legislation and Executive Orders by providing interagency coordination, technical expertise, training, financing resources, and contracting support. FEMP also assists agencies in implementing and monitoring performance-based contracting to improve the efficiency of Federal buildings.

**Strategic Programs.**—The mission of the Office of Strategic Programs (OSP) is to increase the effectiveness and impact of all EERE activities by funding cross-cutting activities, analysis, and

support functions. The office conducts sector analyses, feasibility studies, and evaluations to characterize technology cost and performance, understand market trends, estimate impacts, and complete long-term strategic planning. Strategic Programs also supports technology-to-market activities that accelerate the commercialization of innovative clean energy technologies, coordinates EERE communication and outreach to consumers and other stakeholders on the progress and benefits of clean energy development, and assists in catalyzing international markets for clean energy solutions and U.S. export opportunities.

**Facilities and Infrastructure.**—This activity sustains RD&D infrastructure and supports EERE's clean energy RD&D by providing funding for general plant projects, maintenance and repair, general purpose equipment, upgrades to accommodate new research requirements, and safeguards and security operations at the National Renewable Energy Laboratory (NREL). Facilities and Infrastructure will also support the operation of the NREL Energy Systems Integration Facility as a DOE Technology User Facility. This new facility will provide component and system testing and grid simulation capability to DOE programs and the private sector, to help integrate clean energy technologies seamlessly into electrical grid infrastructure and utility operations at the speed and scale required to meet national goals.

**Weatherization and Intergovernmental.**—This program supports clean energy deployment in partnership with State, local, U.S. territory, and tribal governments. The State Energy Program provides technical and financial resources to States to help them achieve their energy efficiency and renewable energy goals through interactions with utilities and through building codes and other local policies. Funding also supports energy efficiency and renewable energy projects that meet local needs. The Tribal Energy Program supports feasibility assessments and the development of implementation plans for clean energy projects on Tribal lands. The Weatherization Assistance Program lowers energy use and costs for low income families by supporting energy-efficient home retrofits through State-managed networks of local weatherization providers.

## Object Classification (in millions of dollars)

| Identification code 89-0321-0-1-270                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 71          | 71      | 81        |
| 11.3 Other than full-time permanent .....                       | 4           | 4       | 4         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 76          | 76      | 86        |
| 12.1 Civilian personnel benefits .....                          | 23          | 31      | 40        |
| 21.0 Travel and transportation of persons .....                 | 4           | 5       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 3       | 4         |
| 25.1 Advisory and assistance services .....                     | 93          | 125     | 163       |
| 25.2 Other services from non-Federal sources .....              | 76          | 102     | 133       |
| 25.3 Other goods and services from Federal sources .....        | 27          | 36      | 47        |
| 25.4 Operation and maintenance of facilities .....              | 717         | 964     | 1,256     |
| 25.5 Research and development contracts .....                   | 189         | 254     | 331       |
| 31.0 Equipment .....  | 2           | 3       | 4         |
| 32.0 Land and structures .....                                  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 409         | 550     | 717       |
| 99.0 Direct obligations .....                                   | 1,619       | 2,150   | 2,789     |
| 99.0 Reimbursable obligations .....                             | 205         | 308     | 276       |
| 99.9 Total new obligations .....                                | 1,824       | 2,458   | 3,065     |

## Employment Summary

| Identification code 89–0321–0–1–270                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 703         | 735     | 770       |

## HOME ENERGY RETROFIT REBATE PROGRAM

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 89–0341–4–1–272                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Grants for rebates .....                         |             |         | 300       |
| 0900 Total new obligations (object class 41.0) .....  |             |         | 300       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             |         | 6,000     |
| 1260 Appropriations, mandatory (total) .....          |             |         | 6,000     |
| 1930 Total budgetary resources available .....        |             |         | 6,000     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 5,700     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 300       |
| 3020 Outlays (gross) .....                            |             |         | –300      |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             |         | 6,000     |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             |         | 300       |
| 4180 Budget authority, net (total) .....              |             |         | 6,000     |
| 4190 Outlays, net (total) .....                       |             |         | 300       |

**HomeStar.**—The HomeStar program is a legislative proposal for mandatory funding to provide consumers incentives to make their homes more energy efficient. It will create jobs by encouraging Americans to invest in energy saving home improvements. The HomeStar program will provide direct rebates to consumers at the point of sale, through vendors who would be reimbursed by the Federal government, for both individual energy efficiency upgrades and whole home energy improvement projects. It also will include funding for State and local governments to provide financing options for consumers seeking to make efficiency investments.

## NON-DEFENSE ENVIRONMENTAL CLEANUP

*For Department of Energy expenses, including the purchase, construction, and acquisition of plant and capital equipment and other expenses necessary for non-defense environmental cleanup activities in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the acquisition or condemnation of any real property or any facility or for plant or facility acquisition, construction, or expansion, \$212,956,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0315–0–1–271     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0002 Fast Flux Test Facility .....      | 3           | 3       | 3         |

|  |     |     |     |
|--|-----|-----|-----|
| 0003 Gaseous Diffusion Plants .....          | 100 | 101 | 96  |
| 0004 Small Sites .....                       | 59  | 79  | 50  |
| 0005 West Valley Demonstration Project ..... | 65  | 65  | 64  |
| 0799 Total direct obligations .....          | 227 | 248 | 213 |
| 0801 Reimbursable program .....              | 26  | 28  | 28  |
| 0900 Total new obligations .....             | 253 | 276 | 241 |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1   | 11  |     |
| 1021 Recoveries of prior year unpaid obligations .....             | 1   |     |     |
| 1050 Unobligated balance (total) .....                             | 2   | 11  |     |
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 New budget authority (gross), detail .....                    | 236 | 237 | 213 |
| 1160 Appropriation, discretionary (total) .....                    | 236 | 237 | 213 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 27  | 26  | 27  |
| 1701 Change in uncollected payments, Federal sources .....         | –1  | 2   | 1   |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 26  | 28  | 28  |
| 1900 Budget authority (total) .....                                | 262 | 265 | 241 |
| 1930 Total budgetary resources available .....                     | 264 | 276 | 241 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 11  |     |     |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Obligated balances, start of year .....                      | 202  | 121  | 100  |
| 3010 Obligations incurred, unexpired accounts .....               | 253  | 276  | 241  |
| 3020 Outlays (gross) .....  | –333 | –297 | –248 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 121  | 100  | 93   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –4   | –3   | –5   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 1    | –2   | –1   |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –3   | –5   | –6   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 198  | 118  | 95   |
| 3200 Obligated balance, end of year .....                         | 118  | 95   | 87   |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                                   | 262 | 265 | 241 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays (gross), detail .....                                   | 163 | 194 | 177 |
| 4011 Outlays from discretionary balances .....                       | 168 | 103 | 71  |
| 4020 Outlays, gross (total) .....                                    | 331 | 297 | 248 |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Federal sources .....   | –3  | –1  | –1  |
| 4033 Non-Federal sources .....                                       | –24 | –25 | –26 |
| 4040 Offsets against gross budget authority and outlays (total) .... | –27 | –26 | –27 |
| Additional offsets against gross budget authority only:              |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 1   | –2  | –1  |
| 4070 Budget authority, net (discretionary) .....                     | 236 | 237 | 213 |
| 4080 Outlays, net (discretionary) .....                              | 304 | 271 | 221 |
| Mandatory:   |     |     |     |
| Outlays, gross:  |     |     |     |
| 4101 Outlays from mandatory balances .....                           | 2   |     |     |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4180 Budget authority, net (total) .....                             | 236 | 237 | 213 |
| 4190 Outlays, net (total) .....                                      | 306 | 271 | 221 |

The Non-Defense Environmental Cleanup program includes funds to manage and clean up sites used for civilian energy research and non-defense related activities. Past activities related to nuclear energy research and development resulted in radioactive, hazardous, and mixed waste contamination that requires remediation, stabilization, or some other type of action. The budget displays the cleanup program by site.

**West Valley Demonstration Project.**—Funds waste disposition, building decontamination, and removal of non-essential facilities in the near-term. As a result of the Environmental Impact Statement (completed in January 2010), a Record of Decision to

## NON-DEFENSE ENVIRONMENTAL CLEANUP—Continued

proceed with "Phased Decommissioning" was issued in April 2010.

**Gaseous Diffusion Plants.**—Funds surveillance and maintenance of the former Uranium Program facilities and manages legacy polychlorinated biphenyl contamination. Also included is the operation of two depleted uranium hexafluoride conversion facilities at Paducah, Kentucky, and Portsmouth, Ohio, to convert the depleted uranium hexafluoride into a more stable form for reuse or disposition.

**Fast Flux Test Facility.**—Funds the long-term surveillance and maintenance and eventual decontamination and decommissioning of the Fast Flux Test Facility, constructed and operated from the 1960s through 1980s.

**Small Sites.**—Funds cleanup, closure, and post-closure environmental activities at a number of geographic sites across the Nation, including Brookhaven National Laboratory, Energy Technology Engineering Center, Moab, and the Stanford Linear Accelerator Center (SLAC), as well as non-defense activities at Idaho. Some sites are associated with other Department of Energy programs, particularly the Office of Science, and will have continuing missions after EM completes the cleanup. Others will transition to the Office of Legacy Management or private sector entities for post-closure activities. Brookhaven and SLAC transfer back to Office of Science in FY 2014.

## Object Classification (in millions of dollars)

| Identification code 89-0315-0-1-271                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 206         | 225     | 193       |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....              | 17          | 19      | 16        |
| 41.0 Grants, subsidies, and contributions .....                 | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 227         | 248     | 213       |
| 99.0 Reimbursable obligations .....                             | 26          | 28      | 28        |
| 99.9 Total new obligations .....                                | 253         | 276     | 241       |

## FOSSIL ENERGY RESEARCH AND DEVELOPMENT

*For necessary expenses in carrying out fossil energy research and development activities, under the authority of the Department of Energy Organization Act (Public Law 95-91), including the acquisition of interest, including defeasible and equitable interests in any real property or any facility or for plant or facility acquisition or expansion, and for conducting inquiries, technological investigations and research concerning the extraction, processing, use, and disposal of mineral substances without objectionable social and environmental costs (30 U.S.C. 3, 1602, and 1603), \$420,575,000, to remain available until expended: Provided, That \$115,753,000 shall be available until September 30, 2015 for program direction: Provided further, That for all programs funded under Fossil Energy appropriations in this Act or any other Act, the Secretary may vest fee title or other property interests acquired under projects in any entity, including the United States.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89-0213-0-1-271 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0002 Carbon Capture .....           | 67          | 69      | 112       |
| 0003 Carbon Storage .....           | 112         | 115     | 61        |

|   |     |     |       |
|---|-----|-----|-------|
| 0004 Advanced Energy Systems .....        | 97  | 101 | 48    |
| 0005 Cross-Cutting Research .....         | 48  | 48  | 21    |
| 0012 Program Direction - Management ..... | 123 | 123 | 116   |
| 0013 Program Direction - NETL R&D .....   | 35  | 35  | 35    |
| 0014 Plant and Capital Equipment .....    | 17  | 17  | 13    |
| 0016 Environmental Restoration .....      | 7   | 8   | 6     |
| 0017 Special Recruitment Program .....    | 1   | 1   | 1     |
| 0020 Natural gas technologies .....       | 15  | 15  | 17    |
| 0021 Unconventional FE Technologies ..... | 5   | 5   | ..... |
| 0799 Total direct obligations .....       | 527 | 537 | 430   |
| 0801 Reimbursable program .....           | 8   | 8   | 8     |
| 0900 Total new obligations .....          | 535 | 545 | 438   |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 35    | 29    | ..... |
| 1021 Recoveries of prior year unpaid obligations .....               | 190   | 13    | 9     |
| 1050 Unobligated balance (total) .....                               | 225   | 42    | 9     |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                       |       |       |       |
| 1100 Appropriation .....   | 534   | 537   | 421   |
| 1120 Appropriations transferred to other accts [89-0222] .....       | -10   | ..... | ..... |
| 1130 Appropriations permanently reduced .....                        | ..... | -13   | ..... |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -187  | -29   | ..... |
| 1160 Appropriation, discretionary (total) .....                      | 337   | 495   | 421   |
| Spending authority from offsetting collections, discretionary:       |       |       |       |
| 1700 Collected .....   | 4     | 8     | 8     |
| 1701 Change in uncollected payments, Federal sources .....           | -2    | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 2     | 8     | 8     |
| 1900 Budget authority (total) .....                                  | 339   | 503   | 429   |
| 1930 Total budgetary resources available .....                       | 564   | 545   | 438   |
| Memorandum (non-add) entries:  |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....                | 29    | ..... | ..... |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 4,444  | 3,586  | 2,468  |
| 3010 Obligations incurred, unexpired accounts .....               | 535    | 545    | 438    |
| 3020 Outlays (gross) .....  | -1,051 | -1,650 | -1,698 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -190   | -13    | -9     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -152   | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                        | 3,586  | 2,468  | 1,199  |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -4     | -2     | -2     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 2      | .....  | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -2     | -2     | -2     |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 4,440  | 3,584  | 2,466  |
| 3200 Obligated balance, end of year .....                         | 3,584  | 2,466  | 1,197  |

## Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 339   | 503   | 429   |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 144   | 201   | 171   |
| 4011 Outlays from discretionary balances .....                       | 907   | 1,449 | 1,527 |
| 4020 Outlays, gross (total) .....                                    | 1,051 | 1,650 | 1,698 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | -1    | -6    | -6    |
| 4033 Non-Federal sources .....                                       | -3    | -2    | -2    |
| 4040 Offsets against gross budget authority and outlays (total) .... | -4    | -8    | -8    |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 2     | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                     | 337   | 495   | 421   |
| 4080 Outlays, net (discretionary) .....                              | 1,047 | 1,642 | 1,690 |
| 4180 Budget authority, net (total) .....                             | 337   | 495   | 421   |
| 4190 Outlays, net (total) .....                                      | 1,047 | 1,642 | 1,690 |

The Fossil Energy Research and Development program supports high-priority, high-risk research that will improve the Nation's ability to use fossil energy resources cleanly, affordably, and efficiently. The program funds research and development with academia, national laboratories, and the private sector to advance the technology base used to develop new products and processes. Fossil Energy R&D supports activities ranging from early concept

research in universities and national laboratories to applied R&D and proof-of-concept projects with private-sector firms.

**Research, Development & Demonstration.**—Program activities, including NETL in-house R&D, focus on: 1) CO<sub>2</sub> capture technology applicable to both new and existing fossil-fueled facilities; 2) CO<sub>2</sub> storage, with emphasis on modeling, simulation, and CO<sub>2</sub> monitoring, verification and accounting; 3) advanced coal-fueled power systems that support carbon capture and storage (CCS), including integrated gasification combined cycle (IGCC) and oxy-combustion technologies; and 4) cross-cutting research to bridge fundamental science and applied engineering development. The Department will continue to work with the private sector and academia to conduct and direct research toward overcoming critical challenges to reducing greenhouse gas emissions from fossil energy power generation in the United States, as well as with the Department of the Interior and the Environmental Protection Agency to ensure that hydraulic fracturing for natural gas development is conducted in a manner that is environmentally sound and protective of human health and safety. Also, methane hydrates R&D activities will continue to advance our understanding of naturally-occurring gas hydrates.

**Program Direction and Management Support.**—The program provides the funding for all headquarters and field personnel and operational expenses in Fossil Energy R&D. In addition, it provides support for day-to-day project management functions. Also included is the Import/Export Authorization program, which will continue regulatory reviews and oversight of the transmission of natural gas across the U.S. borders.

**Environmental Restoration.**—The program provides the funding for environmental cleanup of former and present Fossil Energy project sites, security and safeguard services for NETL, and health, safety, and environmental protection programs at NETL.

#### Object Classification (in millions of dollars)

| Identification code 89–0213–0–1–271                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 57          | 65      | 65        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 59          | 68      | 68        |
| 12.1 Civilian personnel benefits .....                          | 17          | 10      | 10        |
| 13.0 Benefits for former personnel .....                        |             | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.2 Rental payments to others .....                            |             | 2       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 6       | 4         |
| 25.1 Advisory and assistance services .....                     | 114         | 35      | 35        |
| 25.2 Other services from non-Federal sources .....              | 20          | 20      | 20        |
| 25.3 Other goods and services from Federal sources .....        | 9           | 5       | 5         |
| 25.4 Operation and maintenance of facilities .....              | 61          | 51      | 47        |
| 25.5 Research and development contracts .....                   | 231         | 321     | 220       |
| 25.7 Operation and maintenance of equipment .....               | 2           | 3       | 1         |
| 26.0 Supplies and materials .....                               | 2           | 2       | 2         |
| 31.0 Equipment .....  | 5           | 2       | 5         |
| 32.0 Land and structures .....                                  | 2           | 7       | 7         |
| 41.0 Grants, subsidies, and contributions .....                 |             | 2       | 2         |
| 99.0 Direct obligations .....                                   | 527         | 537     | 430       |
| 99.0 Reimbursable obligations .....                             | 8           | 8       | 8         |
| 99.9 Total new obligations .....                                | 535         | 545     | 438       |

#### Employment Summary

| Identification code 89–0213–0–1–271                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 570         | 680     | 675       |

#### NAVAL PETROLEUM AND OIL SHALE RESERVES

*For expenses necessary to carry out naval petroleum and oil shale reserve activities, \$20,000,000, to remain available until expended: Provided, That, notwithstanding any other provision of law, unobligated funds remaining from prior years shall be available for all naval petroleum and oil shale reserve activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 89–0219–0–1–271                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Production and Operations .....                                | 8           | 8       | 13        |
| 0002 Naval Petroleum and Oil Shale Reserves Program Direction ..... | 8           | 7       | 7         |
| 0900 Total new obligations .....                                    | 16          | 15      | 20        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 2           | 3       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....              | 2           |         |           |
| 1050 Unobligated balance (total) .....                              | 4           | 3       | 3         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 15          | 15      | 20        |
| 1160 Appropriation, discretionary (total) .....                     | 15          | 15      | 20        |
| 1930 Total budgetary resources available .....                      | 19          | 18      | 23        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 27          | 22      | 13        |
| 3010 Obligations incurred, unexpired accounts .....                 | 16          | 15      | 20        |
| 3020 Outlays (gross) .....  | –19         | –24     | –27       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                          | 22          | 13      | 6         |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 27          | 22      | 13        |
| 3200 Obligated balance, end of year .....                           | 22          | 13      | 6         |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 15          | 15      | 20        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 5           | 9       | 12        |
| 4011 Outlays from discretionary balances .....                      | 14          | 15      | 15        |
| 4020 Outlays, gross (total) .....                                   | 19          | 24      | 27        |
| 4180 Budget authority, net (total) .....                            | 15          | 15      | 20        |
| 4190 Outlays, net (total) .....                                     | 19          | 24      | 27        |

Following the sale of the government's interests in Naval Petroleum Reserve 1 (NPR-1) (Elk Hills) mandated by the National Defense Authorization Act for Fiscal Year 1996 (P.L. 104–106), post-sale activities required by legally binding agreements involve the environmental cleanup/remediation under the Corrective Action Consent Agreement with the State of California Department of Toxic Substances Control (DTSC). Program activities encompass execution of a technical baseline, interim measures, environmental sampling and analysis, corrective measures, waste removal and disposal, confirmatory sampling, and requests to DTSC for release from further corrective actions.

The account also funds activities at the Naval Petroleum Reserve 3 (NPR-3) in Wyoming (Teapot Dome field), a stripper well oil field. Disposition of NPR-3 will be the primary focus. NPR-3 will continue implementing the approved disposition plan. A competitive sale will be conducted in late FY 2014 with final disposition of the property estimated to occur in FY 2015. NPR-3 will be utilized for production and testing operations in order

## NAVAL PETROLEUM AND OIL SHALE RESERVES—Continued

to retain asset value during preparation to transfer to new ownership. Production facilities will remain operational as long as economic, until date of transfer. The program will continue Rocky Mountain Oilfield Testing Center (RMOTC) testing for 100 percent funds-in projects until date of transfer. Environmental remediation of NPR-3 facilities will continue to facilitate the sale/disposition of the property in a manner consistent with the approved property disposition plan.

## Object Classification (in millions of dollars)

| Identification code 89-0219-0-1-271                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 1           | 2       | 2         |
| 12.1 Civilian personnel benefits .....                          |             | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           |         |           |
| 25.1 Advisory and assistance services .....                     | 5           | 4       | 5         |
| 25.2 Other services from non-Federal sources .....              | 7           | 7       | 10        |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 2         |
| 26.0 Supplies and materials .....                               | 1           |         |           |
| 99.9 Total new obligations .....                                | 16          | 15      | 20        |

## Employment Summary

| Identification code 89-0219-0-1-271                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 13          | 20      | 12        |

## STRATEGIC PETROLEUM RESERVE

For necessary expenses for Strategic Petroleum Reserve facility development and operations and program management activities pursuant to the Energy Policy and Conservation Act of 1975, as amended (42 U.S.C. 6201 et seq.), \$189,400,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89-0218-0-1-274           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:              |             |         |           |
| 0001 SPR Management .....                     | 20          | 22      | 24        |
| 0002 SPR Storage Facilities Development ..... | 174         | 172     | 165       |
| 0900 Total new obligations .....              | 194         | 194     | 189       |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:                                   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 6   | 12  | 12  |
| 1021 Recoveries of prior year unpaid obligations ..... | 7   |     |     |
| 1050 Unobligated balance (total) .....                 | 13  | 12  | 12  |
| Budget authority:                                      |     |     |     |
| Appropriations, discretionary:                         |     |     |     |
| 1100 Appropriation .....                               | 193 | 194 | 189 |
| 1160 Appropriation, discretionary (total) .....        | 193 | 194 | 189 |
| 1930 Total budgetary resources available .....         | 206 | 206 | 201 |
| Memorandum (non-add) entries:                          |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....  | 12  | 12  | 12  |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 147  | 112  | 105  |
| 3010 Obligations incurred, unexpired accounts .....               | 194  | 194  | 189  |
| 3020 Outlays (gross) .....  | -222 | -201 | -184 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -7   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 112  | 105  | 110  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 147  | 112  | 105  |
| 3200 Obligated balance, end of year .....                         | 112  | 105  | 110  |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 193 | 194 | 189 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 96  | 107 | 104 |
| 4011 Outlays from discretionary balances .....      | 126 | 94  | 80  |
| 4020 Outlays, gross (total) .....                   | 222 | 201 | 184 |
| 4180 Budget authority, net (total) .....            | 193 | 194 | 189 |
| 4190 Outlays, net (total) .....                     | 222 | 201 | 184 |

The Strategic Petroleum Reserve (SPR) Program has the national security mission to reduce the vulnerability of the United States to energy supply disruptions by maintaining a crude oil stockpile capable of rapid deployment at the direction of the President. This program protects the United States against foreign and domestic disruptions in its critical petroleum supplies that would result from international incidents, hurricanes or terrorism, and fulfills the United States obligations under the International Energy Program. The International Energy Program (the charter of the International Energy Agency) avails the United States to worldwide emergency assistance through its International Energy Agency alliance in the event of a petroleum supply disruption.

This account provides for the operations, maintenance and security of the SPR storage facilities, drawdown testing and readiness of the Reserve, and program administration. The FY 2014 budget continues to provide further insurance against oil supply disruptions that could harm the U.S. economy by pursuing a SPR program that is environmentally responsible and fully responsive to the needs of the Nation and the public. The FY 2014 budget funds the resumption of degasification operations at West Hackberry site to begin the process of treating oil to safe vapor pressure levels to ensure the availability of crude oil inventories at SPR sites within environmental and safety constraints; provides for the capacity maintenance program to regain cavern volume lost to geologically induced cavern creep and continues a cavern casing inspection and remediation program to comply with state regulations.

The key measure of program performance is expressed as capability to comply with Level 1 Technical and Performance Criteria. These criteria are specifically engineered performance and reliability standards applied to critical inventory storage, drawdown, and delivery systems required for drawing down and delivering crude oil inventory.

## Object Classification (in millions of dollars)

| Identification code 89-0218-0-1-274                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 11          | 10      | 10        |
| 12.1 Civilian personnel benefits .....                          | 3           | 3       | 3         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 2           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     |             | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 31          | 47      | 47        |
| 25.4 Operation and maintenance of facilities .....              | 142         | 130     | 125       |
| 99.9 Total new obligations .....                                | 194         | 194     | 189       |

## Employment Summary

| Identification code 89-0218-0-1-274                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 107         | 123     | 123       |

## SPR PETROLEUM ACCOUNT

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0233–0–1–274   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 3,243       | 2,743   | 2,243     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1130 Appropriations permanently reduced .....   |             | –500    |           |
| 1160 Appropriation, discretionary (total) .....   |             | –500    |           |
| Appropriations, mandatory:  |             |         |           |
| 1230 Appropriations and/or unobligated balance of<br>appropriations permanently reduced ..... | –500        |         |           |
| 1260 Appropriations, mandatory (total) .....  | –500        |         |           |
| 1900 Budget authority (total) .....   | –500        | –500    |           |
| 1930 Total budgetary resources available .....  | 2,743       | 2,243   | 2,243     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 2,743       | 2,243   | 2,243     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 25          | 19      | 19        |
| 3020 Outlays (gross) .....  | –6          |         |           |
| 3050 Unpaid obligations, end of year .....  | 19          | 19      | 19        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 25          | 19      | 19        |
| 3200 Obligated balance, end of year .....   | 19          | 19      | 19        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  |             | –500    |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....  | 6           |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | –500        |         |           |
| 4180 Budget authority, net (total) .....  | –500        | –500    |           |
| 4190 Outlays, net (total) .....   | 6           |         |           |

No funding is requested for FY 2014.

## ENERGY INFORMATION ADMINISTRATION

For necessary expenses in carrying out the activities of the Energy Information Administration, \$117,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0216–0–1–276                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Obligations by Program Activity .....             | 106         | 106     | 117       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....                 | 1           |         |           |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1100 Discretionary: .....                              | 105         | 106     | 117       |
| 1160 Appropriation, discretionary (total) .....        | 105         | 106     | 117       |
| 1930 Total budgetary resources available .....         | 106         | 106     | 117       |

## Change in obligated balance:

|   |     |     |      |
|---|-----|-----|------|
| Unpaid obligations:   |     |     |      |
| 3000 Change in obligated balances .....                           | 18  | 28  | 40   |
| 3010 Obligations incurred, unexpired accounts .....               | 106 | 106 | 117  |
| 3020 Outlays (gross) .....  | –95 | –94 | –109 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1  |     |      |
| 3050 Unpaid obligations, end of year .....                        | 28  | 40  | 48   |
| Memorandum (non-add) entries:                                     |     |     |      |
| 3100 Obligated balance, start of year .....                       | 18  | 28  | 40   |
| 3200 Obligated balance, end of year .....                         | 28  | 40  | 48   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 105 | 106 | 117 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 78  | 74  | 82  |
| 4011 Outlays from discretionary balances .....      | 17  | 20  | 27  |
| 4020 Outlays, gross (total) .....                   | 95  | 94  | 109 |
| 4180 Budget authority, net (total) .....            | 105 | 106 | 117 |
| 4190 Outlays, net (total) .....                     | 95  | 94  | 109 |

The Energy Information Administration (EIA) is the statistical and analytical agency within the U.S. Department of Energy. EIA collects, analyzes, and disseminates independent and impartial energy information to promote sound policymaking, efficient markets, and public understanding of energy and its interaction with the economy and the environment. EIA is the Nation's premier source of energy information and, by law, its data, analyses, and forecasts are independent of approval by any other officer or employee of the United States Government. EIA conducts a data collection program with the goal of covering the full spectrum of energy sources, end uses, and energy flows; generates short- and long-term domestic and international energy projections; and performs informative energy analyses. The work of EIA is to further understanding of the energy complex and its interactions with the economy and the environment using state-of-the-art analytical tools and the most comprehensive and timely data available for both supply and demand. Given that the data products, analyses, reports, and services are primarily disseminated to customers and stakeholders through its website, EIA endeavors to provide continuous improvement for users with an emphasis on enabling access to desired information including data in a format and structure usable with minimal additional effort. Priority areas include restoring important electricity trade data collection and adding collection of monthly oil production data; restoring energy modeling and enhancing international, short-term, end-use efficiency, and refinery analytic capabilities; improving the analysis of energy market behavior, the interrelationship of energy and financial markets, and the analysis of refined product markets; revitalizing the energy consumption data program to enhance understanding of energy use and provide benchmarking and performance measurement of energy efficiency programs; modernizing the systems and tools used to produce EIA's weekly petroleum and natural gas statistical reports, on which industry and market participants heavily rely; leveraging technology to more efficiently manage data collection and processing across the agency; and enhancing customer access and usability of EIA's information by developing more integrated and interactive dissemination platforms.

## Object Classification (in millions of dollars)

| Identification code 89–0216–0–1–276       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 38          | 40      | 40        |
| 11.3 Other than full-time permanent ..... | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....   |             | 1       | 1         |
| 11.9 Total personnel compensation .....   | 39          | 42      | 42        |
| 12.1 Civilian personnel benefits .....    | 11          | 11      | 11        |

ENERGY INFORMATION ADMINISTRATION—Continued  
Object Classification—Continued

| Identification code 89–0216–0–1–276                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.1 Consulting services - non-Government contracts .....          | 34          | 35      | 45        |
| 25.3 Purchases of goods and services from Government accounts .... | 10          | 9       | 10        |
| 25.4 Operation and maintenance of facilities .....                 | 1           | .....   | .....     |
| 25.7 Operation and maintenance of equipment .....                  | 5           | 5       | 5         |
| 26.0 Supplies and materials .....                                  | 1           | 1       | 1         |
| 31.0 Equipment .....   | 5           | 3       | 3         |
| 99.9 Total new obligations .....                                   | 106         | 106     | 117       |

## Employment Summary

| Identification code 89–0216–0–1–276                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 352         | 350     | 350       |

FEDERAL ENERGY REGULATORY COMMISSION  
SALARIES AND EXPENSES

*For necessary expenses of the Federal Energy Regulatory Commission to carry out the provisions of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including services as authorized by 5 U.S.C. 3109, the hire of passenger motor vehicles, and official reception and representation expenses not to exceed \$3,000, \$304,600,000, to remain available until expended: Provided, That notwithstanding any other provision of law, not to exceed \$304,600,000 of revenues from fees and annual charges, and other services and collections in fiscal year 2014 shall be retained and used for necessary expenses in this account, and shall remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as revenues are received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$0.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0212–0–1–276                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Just and Reasonable Rates, Terms & Conditions .....           | 164         | 167     | 166       |
| 0802 Infrastructure .....  | 141         | 139     | 139       |
| 0900 Total new obligations .....                                   | 305         | 306     | 305       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 20          | 21      | 20        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 21          | 21      | 20        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 305         | 305     | 305       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 305         | 305     | 305       |
| 1930 Total budgetary resources available .....                     | 326         | 326     | 325       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 21          | 20      | 20        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 33          | 36      | 37        |
| 3010 Obligations incurred, unexpired accounts .....                | 305         | 306     | 305       |
| 3020 Outlays (gross) .....   | –301        | –305    | –332      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 36          | 37      | 10        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 33          | 36      | 37        |
| 3200 Obligated balance, end of year .....                          | 36          | 37      | 10        |

## Budget authority and outlays, net:

|   |      |       |      |
|---|------|-------|------|
| Discretionary:                                      |      |       |      |
| 4000 Budget authority, gross .....                  | 305  | 305   | 305  |
| Outlays, gross:                                     |      |       |      |
| 4010 Outlays from new discretionary authority ..... | 260  | 275   | 275  |
| 4011 Outlays from discretionary balances .....      | 41   | 30    | 57   |
| 4020 Outlays, gross (total) .....                   | 301  | 305   | 332  |
| Offsets against gross budget authority and outlays: |      |       |      |
| Offsetting collections (collected) from:            |      |       |      |
| 4034 Offsetting governmental collections .....      | –305 | –305  | –305 |
| 4190 Outlays, net (total) .....                     | –4   | ..... | 27   |

The Federal Energy Regulatory Commission (Commission) regulates and oversees key interstate aspects of the electric power, natural gas and oil pipeline and hydropower industries. The Commission assists consumers in obtaining reliable, efficient and sustainable energy services at a reasonable cost through appropriate regulatory and market means. Regulated businesses pay fees and charges sufficient to recover the Commission's full cost of operations.

*Just and Reasonable Rates, Terms and Conditions.*—One of the Commission's fundamental statutory responsibilities is to ensure that rates, terms and conditions for wholesale sales and transmission of electric energy and for transportation of natural gas are just and reasonable and not unduly discriminatory or preferential. The Commission uses a combination of regulatory and market means to achieve this goal, consistent with national policy and priorities. The Commission approves cost-based, and where appropriate, market-based rates for the interstate transportation of natural gas and oil on jurisdictional pipelines, and for the interstate transmission and wholesale sales of electric energy. The Commission accepts tariff provisions, as appropriate, to allow natural gas and oil pipelines and public utilities to modify their services to meet their customers' needs. The organized wholesale electric markets illustrate the Commission's use of regulatory and market means. Improving the competitiveness of these markets encourages new entry by supply-side and demand-side resources, spurs innovation and deployment of new technologies, improves operating performance, and exerts downward pressure on costs. Notable benefits also stem from more broadly diversifying the fuels used to generate electricity. The Commission will continue to pursue market reforms to allow all resources, including renewable energy resources, to compete in jurisdictional markets on a level playing field. These efforts could include amendments to market rules, the modification of ancillary services and related policies, or the implementation of operational tools that support the reliable integration of renewable resources. The Commission will continue its efforts to identify and eliminate barriers to participation by demand resources in organized wholesale electric markets. The Commission also is implementing policies which reform compensation mechanisms for demand response resources in organized wholesale electric markets. The provision of ancillary services is critical to the reliable operation of the interstate electric transmission grid. To build on earlier reforms, the Commission is instituting formal proceedings to determine whether the modification of ancillary services is necessary to support the provision of transmission service on terms and conditions that are just and reasonable and not unduly discriminatory or preferential. The development of RTOs and ISOs and modified market structures was aimed at increasing the efficiency of wholesale electric market operations and increasing non-discriminatory access to the transmission grid. To measure these benefits, the Commission worked with RTO and ISO staff, stakeholders, and other experts to develop operational and financial metrics for RTOs and ISOs. The Commission collected and analyzed the historic data for these metrics to measure performance on three dimensions: market benefits, organizational effect-



iveness, and reliability. The Commission engaged in a similar process with a diverse group of utilities in regions outside RTO and ISO markets to develop comparable operational and financial performance metrics. Participating utilities have submitted performance data on these metrics, which staff is analyzing. The Commission will establish appropriate common metrics between the two groups, refining the metrics as necessary. The final product will be a report that compares the results of the non-RTO/ISO performance metrics with performance data provided by RTOs and ISOs. Oversight and enforcement are essential complements to the Commission's approach to ensure that rates, terms and conditions of service are just and reasonable and not unduly discriminatory or preferential. The Commission will review internal compliance programs as part of its compliance audits, issue publicly available audit reports, and engage in formal and informal outreach efforts to promote effective compliance programs. Audits are planned and prioritized using a risk-based approach in order to maximize the impact of the Commission's resources. The Commission also uses its oversight authority to prevent the accumulation and exercise of market power by reviewing mergers and other corporate filings to ensure that mergers and consolidations will not harm the public interest.

**Infrastructure.**—The Commission plays an important role in the development of a strong and secure energy infrastructure that operates efficiently, safely and reliably. In addition, the Commission will provide leadership, expertise and assistance in identifying, communicating and seeking comprehensive solutions to significant potential cyber and physical security risks to the energy infrastructure under the Commission's jurisdiction. One aspect of the Commission's role in energy infrastructure development stems from siting authority that includes licensing non-federal hydropower projects, certificating interstate natural gas pipelines and storage projects, authorizing liquefied natural gas (LNG) facilities, and, in certain circumstances, permitting electric transmission lines. Throughout all of these processes, the Commission's goal is to expedite application processing without compromising environmental responsibilities or public participation. The Commission encourages, and sometimes requires, project proponents to engage in early involvement with state and federal agencies, Indian tribes, affected landowners and the public. The Commission will support the deployment of smart grid applications in the electric grid by reviewing and adopting, as appropriate, standards and protocols developed through the process coordinated by the National Institute of Standards and Technology. In addition, the Commission will implement rate treatment policies that support investments in smart grid technologies where appropriate. The Commission also evaluates requests for incentive rate treatments on a case-by-case basis, in an effort to encourage development of the Nation's transmission infrastructure. Although ownership of the interstate electric transmission grid is highly disaggregated, with more than 500 owners, transmission planning to meet reliability and economic needs, as well as transmission needs driven by public policy requirements, must be considered not only on a local basis, but also on a regional basis. The Commission therefore requires transmission providers to participate in an open and transparent regional transmission planning process that aims to improve the coordination of transmission planning among utilities. The Commission issued new policies to reform regional transmission planning and cost allocation for new transmission facilities and public utilities have submitted filings to comply with these new requirements. The Commission is monitoring implementation of these new policies. The Commission is responsible for the safety of LNG and non-federal hydropower facilities throughout the entire life cycle of

a project: design review, construction and operation. To meet this mandate, FERC primarily relies on physical inspections of the facilities. The Commission is incorporating risk-informed decision making into its dam safety program. By doing so, the Commission is focusing its resources on those structures that pose the greatest risk. The Commission also has an important role in maintaining the reliability of the electric transmission grid through its oversight of the bulk power system infrastructure and the Electric Reliability Organization (ERO). The ERO develops and enforces mandatory reliability standards, including cyber and physical security standards, subject to the Commission's oversight and approval. The Reliability Standards development process uses an open and inclusive process that employs extensive negotiation, consultation and coordination among many stakeholders. Regional Entities may also develop regional Reliability Standards or regional modifications to a national Reliability Standard. In addition, the ERO may develop interpretations of approved standards, subject to Commission review. In all such cases, the Commission must either accept or remand these filings. The Commission may also, upon its own motion or upon complaint, order the ERO to submit a proposed reliability standard or a modification of an existing reliability standard that addresses a specific reliability matter. Once proposed standards are filed, it is important that the Commission respond in a timely manner so that mandatory and enforceable standards affecting reliability can be implemented in a timely manner. Rigorous audits and investigations of potential violations coupled with appropriate penalties and adequate mitigation plans should reduce the frequency of repeat violations of Reliability Standards. To determine the effectiveness of the compliance program, the Commission has developed a process to track the number and type of violations. The Commission has also established contacts throughout the industry and other government agencies to identify other reliability issues.

**Management Initiatives.**—The Commission has management initiatives underway and administrative processes in place to support its two strategic goals. These activities, including the effective management of human capital, agency resources and information technology, help the Commission work more efficiently, both within and across program areas. The Commission also understands that open lines of communication with affected parties and the public are critical for effective function of Commission operations. The Commission therefore communicates its policies and actions to the public in order to provide a transparent and open process.

#### Object Classification (in millions of dollars)

| Identification code 89-0212-0-1-276 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 305         | 306     | 305       |

#### Employment Summary

| Identification code 89-0212-0-1-276                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,468       | 1,480   | 1,480     |

#### CLEAN COAL TECHNOLOGY

##### Program and Financing (in millions of dollars)

| Identification code 89-0235-0-1-271 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

##### Budgetary Resources: Unobligated balance:

|   |   |   |   |
|---|---|---|---|
| 1000 Unobligated balance brought forward, Oct 1 ..... | 5 | 6 | 6 |
|---|---|---|---|

CLEAN COAL TECHNOLOGY—Continued  
Program and Financing—Continued

| Identification code 89–0235–0–1–271                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:        |             |         |           |
| 1700    Collected .....   | 1           |         |           |
| 1750    Spending auth from offsetting collections, disc (total) ..... | 1           |         |           |
| 1900    Budget authority (total) .....                                | 1           |         |           |
| 1930    Total budgetary resources available .....                     | 6           | 6       | 6         |
| Memorandum (non-add) entries:   |             |         |           |
| 1941    Unexpired unobligated balance, end of year .....              | 6           | 6       | 6         |
| <b>Change in obligated balance:</b>                                   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000    Unpaid obligations, brought forward, Oct 1 .....              | 1           |         |           |
| 3020    Outlays (gross) .....   | –1          |         |           |
| Memorandum (non-add) entries:   |             |         |           |
| 3100    Obligated balance, start of year .....                        | 1           |         |           |
| <b>Budget authority and outlays, net:</b>                             |             |         |           |
| Discretionary:  |             |         |           |
| 4000    Budget authority, gross .....                                 | 1           |         |           |
| Outlays, gross:   |             |         |           |
| 4011    Outlays from discretionary balances .....                     | 1           |         |           |
| Offsets against gross budget authority and outlays:                   |             |         |           |
| Offsetting collections (collected) from:                              |             |         |           |
| 4033    Non-Federal sources .....                                     | –1          |         |           |

The Clean Coal Technology Program was established in the 1980s to perform commercial-scale demonstrations of advanced coal-based technologies. The budget proposes no new funding. All projects have concluded and only closeout activities remain.

## ALTERNATIVE FUELS PRODUCTION

## Program and Financing (in millions of dollars)

| Identification code 89–5180–0–2–271                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1021    Recoveries of prior year unpaid obligations .....              | 9           |         |           |
| 1022    Capital transfer of unobligated balances to general fund ..... | –9          |         |           |
| <b>Change in obligated balance:</b>                                    |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000    Unpaid obligations, brought forward, Oct 1 .....               | 9           |         |           |
| 3040    Recoveries of prior year unpaid obligations, unexpired .....   | –9          |         |           |
| Memorandum (non-add) entries:  |             |         |           |
| 3100    Obligated balance, start of year .....                         | 9           |         |           |

The alternative fuels program was established in 1980 for the purpose of expediting the development and production of alternative fuels from coal. A loan guarantee was issued by the Department of Energy in 1982 for the construction and startup of the Great Plains Synthetic Fuels Plant to produce synthetic gas lignite coal.

Upon default of the borrower in 1985 under the terms of the loan guarantee, the Department acquired ownership of the Great Plains Coal Gasification Project plant by foreclosure. On October 31, 1988, the Department completed the transfer of the Great Plains Plant to Dakota Gasification Company (DGC) under terms of an Asset Purchase Agreement.

Funds in this account had previously been used to pay for expenses and responsibilities related to the Department's prior operation of the Great Plains Coal Gasification Project and any close-out expenses related to the Asset Purchase Agreement, which expired on December 31, 2009. In August 2012 a balance outstanding of \$9 million of prior-year obligations in this account

was returned, in entirety, to the Treasury via a SF-1151 Nonexpenditure transfer pursuant to 2 U.S.C. 661 d(d).

ULTRA-DEEPWATER AND UNCONVENTIONAL NATURAL GAS AND OTHER  
PETROLEUM RESEARCH FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 89–5523–0–2–271  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100    Balance, start of year .....   |             |         |           |
| Receipts:  |             |         |           |
| 0220    OCS Receipts, Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund ..... | 50          | 50      | 50        |
| 0221    OCS Receipts, Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund ..... |             |         | –50       |
| 0299    Total receipts and collections .....   | 50          | 50      |           |
| 0400    Total: Balances and collections .....  | 50          | 50      |           |
| Appropriations:  |             |         |           |
| 0500    Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund .....               | –50         | –50     | –50       |
| 0501    Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund .....               |             |         | 50        |
| 0599    Total appropriations .....   | –50         | –50     |           |
| 0799    Balance, end of year .....   |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 89–5523–0–2–271                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001    Consortium-Ultra-Deepwater .....                 | 36          | 36      | 36        |
| 0002    NETL-Ultra-Deepwater .....                       | 14          | 15      | 14        |
| 0900    Total new obligations .....                      | 50          | 51      | 50        |
| <b>Budgetary Resources:</b>                              |             |         |           |
| Unobligated balance:                                     |             |         |           |
| 1000    Unobligated balance brought forward, Oct 1 ..... | 1           | 1       |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                               |             |         |           |
| 1201    Appropriation (special or trust fund) .....      | 50          | 50      | 50        |
| 1260    Appropriations, mandatory (total) .....          | 50          | 50      | 50        |
| 1930    Total budgetary resources available .....        | 51          | 51      | 50        |
| Memorandum (non-add) entries:                            |             |         |           |
| 1941    Unexpired unobligated balance, end of year ..... | 1           |         |           |
| <b>Change in obligated balance:</b>                      |             |         |           |
| Unpaid obligations:                                      |             |         |           |
| 3000    Unpaid obligations, brought forward, Oct 1 ..... | 131         | 146     | 118       |
| 3010    Obligations incurred, unexpired accounts .....   | 50          | 51      | 50        |
| 3020    Outlays (gross) .....                            | –35         | –79     | –99       |
| 3050    Unpaid obligations, end of year .....            | 146         | 118     | 69        |
| Memorandum (non-add) entries:                            |             |         |           |
| 3100    Obligated balance, start of year .....           | 131         | 146     | 118       |
| 3200    Obligated balance, end of year .....             | 146         | 118     | 69        |
| <b>Budget authority and outlays, net:</b>                |             |         |           |
| Mandatory:   |             |         |           |
| 4090    Budget authority, gross .....                    | 50          | 50      | 50        |
| Outlays, gross:  |             |         |           |
| 4100    Outlays from new mandatory authority .....       | 2           | 20      | 20        |
| 4101    Outlays from mandatory balances .....            | 33          | 59      | 79        |
| 4110    Outlays, gross (total) .....                     | 35          | 79      | 99        |
| 4180    Budget authority, net (total) .....              | 50          | 50      | 50        |
| 4190    Outlays, net (total) .....                       | 35          | 79      | 99        |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 50          | 50      | 50        |
| Outlays .....                           | 35          | 79      | 99        |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | –50       |
| Outlays .....                           |             |         | –20       |

|                        |    |    |       |
|------------------------|----|----|-------|
| Total:                 |    |    |       |
| Budget Authority ..... | 50 | 50 | ..... |
| Outlays .....          | 35 | 79 | 79    |

The Energy Policy Act of 2005 (Public Law 109–58) created a mandatory Ultra-Deepwater and Unconventional Natural Gas and Other Petroleum Research program beginning in 2007. The program is funded from Federal revenues from oil and gas leases. This Budget proposes to cancel the program through a legislative proposal.

**Object Classification** (in millions of dollars)

| Identification code 89–5523–0–2–271                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        | 10          | 8       | 8         |
| 25.2 Other services from non-Federal sources ..... | 2           | 1       | 1         |
| 25.4 Operation and maintenance of facilities ..... | 1           | .....   | .....     |
| 25.5 Research and development contracts .....      | 37          | 42      | 41        |
| 99.9 Total new obligations .....                   | 50          | 51      | 50        |

**Employment Summary**

| Identification code 89–5523–0–2–271                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 4       | 4         |

**ULTRA-DEEPWATER AND UNCONVENTIONAL NATURAL GAS AND OTHER  
PETROLEUM RESEARCH FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 89–5523–4–2–271                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Consortium-Ultra-Deepwater .....               | .....       | .....   | –36       |
| 0002 NETL-Ultra-Deepwater .....                     | .....       | .....   | –14       |
| 0900 Total new obligations .....                    | .....       | .....   | –50       |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1201 Appropriation (special or trust fund) .....    | .....       | .....   | –50       |
| 1260 Appropriations, mandatory (total) .....        | .....       | .....   | –50       |
| 1930 Total budgetary resources available .....      | .....       | .....   | –50       |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | .....       | .....   | –50       |
| 3020 Outlays (gross) .....                          | .....       | .....   | 20        |
| 3050 Unpaid obligations, end of year .....          | .....       | .....   | –30       |
| Memorandum (non-add) entries:                       |             |         |           |
| 3200 Obligated balance, end of year .....           | .....       | .....   | –30       |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  | .....       | .....   | –50       |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     | .....       | .....   | –20       |
| 4180 Budget authority, net (total) .....            | .....       | .....   | –50       |
| 4190 Outlays, net (total) .....                     | .....       | .....   | –20       |

**Object Classification** (in millions of dollars)

| Identification code 89–5523–4–2–271                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        | .....       | .....   | –8        |
| 25.2 Other services from non-Federal sources ..... | .....       | .....   | –1        |
| 25.5 Research and development contracts .....      | .....       | .....   | –41       |
| 99.9 Total new obligations .....                   | .....       | .....   | –50       |

**Employment Summary**

| Identification code 89–5523–4–2–271                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | .....       | .....   | –4        |

**ELK HILLS SCHOOL LANDS FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 89–5428–0–2–271        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          | 15          | 15      | 16        |
| Receipts:                                  |             |         |           |
| 0220 Elk Hills School Lands Fund .....     | .....       | 1       | .....     |
| 0400 Total: Balances and collections ..... | 15          | 16      | 16        |
| 0799 Balance, end of year .....            | 15          | 16      | 16        |

Title XXXIV, Subtitle B of Public Law 104–106 required the Department to sell the government's interest in Naval Petroleum Reserve No. 1 (NPR-1;Elk Hills) pursuant to the terms of the Act. The sale occurred in February 1998. Section 3415 of the Act required, among other things, that the Department make an offer of settlement based on the fair value of the State of California's longstanding claims to two parcels of land ("school lands") within the Reserve. Under the Act, nine percent of the net proceeds were reserved in a contingent fund in the Treasury for payment to the State. In compliance with the Act and in order to remove any cloud over title which could diminish the sales value of the Reserve, the Department entered into a settlement agreement with the State on October 11, 1996, in which the Department agreed to compensate the State of California for its claim of title to two sections of land with NPR-1. The "Settlement Agreement" stipulates installments totaling nine percent of the net proceeds from the sale will be paid to the State. Installments totaling \$299,520,000 have been paid to date. On April 21, 2011 the Department settled NPR-1 final equity with Chevron. Under the terms of the settlement, Chevron paid \$108,000,000 to the United States. That, in turn, increased the net proceeds of the sale. On August 3, 2011, the Department and the State agreed on the final payment of \$15,579,815 with respect to the longstanding claim on the two sections of land.

**PAYMENTS TO STATES UNDER FEDERAL POWER ACT**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 89–5105–0–2–806   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | .....       | .....   | .....     |
| Receipts:   |             |         |           |
| 0200 Licenses under Federal Power Act from Public Lands and National Forests, Payment to States (37 1/2%) ..... | 3           | 3       | 3         |
| 0400 Total: Balances and collections .....  | 3           | 3       | 3         |
| Appropriations:   |             |         |           |
| 0500 Payments to States under Federal Power Act .....   | –3          | –3      | –3        |
| 0799 Balance, end of year .....   | .....       | .....   | .....     |

**Program and Financing** (in millions of dollars)

| Identification code 89–5105–0–2–806                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 3           | 3       | 3         |
| 0900 Total new obligations (object class 41.0) ..... | 3           | 3       | 3         |

**PAYMENTS TO STATES UNDER FEDERAL POWER ACT—Continued**  
**Program and Financing—Continued**

| Identification code 89–5105–0–2–806                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 3           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....          | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 3       | 3         |
| 3020 Outlays (gross) .....                            | –5          | –3      | –3        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 3           | 3       | 3         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 3           | 3       | 3         |
| 4101 Outlays from mandatory balances .....            | 2           |         |           |
| 4110 Outlays, gross (total) .....                     | 5           | 3       | 3         |
| 4180 Budget authority, net (total) .....              | 3           | 3       | 3         |
| 4190 Outlays, net (total) .....                       | 5           | 3       | 3         |

The States are paid 37.5 percent of the receipts from licenses for occupancy and use of national forests and public lands within their boundaries issued by the Federal Energy Regulatory Commission (16 U.S.C. 810).

**NORTHEAST HOME HEATING OIL RESERVE**

*For necessary expenses for Northeast Home Heating Oil Reserve storage, operation, and management activities pursuant to the Energy Policy and Conservation Act, \$8,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 89–5369–0–2–274                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 NEHOR .....  | 156         | 10      | 8         |
| 0900 Total new obligations (object class 25.2) .....              | 156         | 10      | 8         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 233         | 92      | 92        |
| 1021 Recoveries of prior year unpaid obligations .....            | 5           |         |           |
| 1050 Unobligated balance (total) .....                            | 238         | 92      | 92        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 10          | 10      | 8         |
| 1160 Appropriation, discretionary (total) .....                   | 10          | 10      | 8         |
| 1900 Budget authority (total) .....                               | 10          | 10      | 8         |
| 1930 Total budgetary resources available .....                    | 248         | 102     | 100       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 92          | 92      | 92        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 12          | 16      | 18        |
| 3010 Obligations incurred, unexpired accounts .....               | 156         | 10      | 8         |
| 3020 Outlays (gross) .....  | –147        | –8      | –10       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –5          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 16          | 18      | 16        |

|   |    |    |    |
|---|----|----|----|
| Memorandum (non-add) entries:               |    |    |    |
| 3100 Obligated balance, start of year ..... | 12 | 16 | 18 |
| 3200 Obligated balance, end of year .....   | 16 | 18 | 16 |

**Budget authority and outlays, net:**

|   |     |    |    |
|---|-----|----|----|
| Discretionary:                                      |     |    |    |
| 4000 Budget authority, gross .....                  | 10  | 10 | 8  |
| Outlays, gross:                                     |     |    |    |
| 4010 Outlays from new discretionary authority ..... |     | 8  | 6  |
| 4011 Outlays from discretionary balances .....      | 6   |    | 4  |
| 4020 Outlays, gross (total) .....                   | 6   | 8  | 10 |
| Mandatory:  |     |    |    |
| Outlays, gross:                                     |     |    |    |
| 4101 Outlays from mandatory balances .....          | 141 |    |    |
| 4180 Budget authority, net (total) .....            | 10  | 10 | 8  |
| 4190 Outlays, net (total) .....                     | 147 | 8  | 10 |

The Northeast Home Heating Oil Reserve provides an emergency supply of home heating oil supply for the Northeast States during times of inventory shortages and significant threats to immediate further supply. In FY 2011, the NEHHOR sold its 2 million barrel inventory of high sulfur heating oil located in Northeast commercial terminals. In order to comply with new Northeast states' emission standards, 1 million barrels of Ultra Low Sulfur Diesel (ULSD) was purchased and stored at commercial terminals at Groton, CT and Boston, MA by the end of FY 2012. The FY 2014 Budget continues the operation and management of the Reserve, including the extension of the leases for the Northeast commercial storage terminals.

**NUCLEAR WASTE DISPOSAL**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 89–5227–0–2–271                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               | 26,110      | 28,170  | 30,253    |
| Receipts:   |             |         |           |
| 0220 Nuclear Waste Disposal Fund .....                          | 753         | 755     | 775       |
| 0240 Earnings on Investments, Nuclear Waste Disposal Fund ..... | 1,310       | 1,331   | 1,447     |
| 0299 Total receipts and collections .....                       | 2,063       | 2,086   | 2,222     |
| 0400 Total: Balances and collections .....                      | 28,173      | 30,256  | 32,475    |
| Appropriations:   |             |         |           |
| 0500 Nuclear Energy .....                                       |             |         | –24       |
| 0501 Salaries and Expenses .....                                | –3          | –3      | –3        |
| 0599 Total appropriations .....                                 | –3          | –3      | –27       |
| 0799 Balance, end of year .....                                 | 28,170      | 30,253  | 32,448    |

**Program and Financing (in millions of dollars)**

| Identification code 89–5227–0–2–271                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Repository .....                                 | 6           |         |           |
| 0900 Total new obligations (object class 41.0) .....  | 6           |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 15          | 9       | 9         |
| 1930 Total budgetary resources available .....        | 15          | 9       | 9         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 9           | 9       | 9         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 22          | 18      |           |
| 3010 Obligations incurred, unexpired accounts .....   | 6           |         |           |
| 3020 Outlays (gross) .....                            | –10         | –18     |           |
| 3050 Unpaid obligations, end of year .....            | 18          |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 22          | 18      |           |
| 3200 Obligated balance, end of year .....             | 18          |         |           |

| Budget authority and outlays, net: |   |        |        |        |
|------------------------------------|---|--------|--------|--------|
| Discretionary:                     |   |        |        |        |
| Outlays, gross:                    |   |        |        |        |
| 4011                               | Outlays from discretionary balances .....                   | 10     | 18     | .....  |
| 4190                               | Outlays, net (total) .....                                  | 10     | 18     | .....  |
| Memorandum (non-add) entries:      |   |        |        |        |
| 5000                               | Total investments, SOY: Federal securities: Par value ..... | 48,611 | 49,552 | 54,206 |
| 5001                               | Total investments, EOY: Federal securities: Par value ..... | 49,552 | 54,206 | 58,860 |

A new nuclear waste management approach was outlined in the Administrations January 2013 *Strategy for the Management and Disposal of Used Nuclear Fuel and High Level Radioactive Waste* and the FY 2014 Budget reflects this new effort. The Budget includes a proposal to implement funding reforms needed to support the new approach, which includes the collection of one-time fees anticipated to begin in the 2022 timeframe. Additional discussion of the proposal can be found in the narrative for the Department of Energy's Nuclear Energy account.

In FY 2010, the Department closed the Yucca Mountain Project and the Office of Civilian Radioactive Waste Management. Residual obligations and outlays in the Nuclear Waste Disposal account are associated with Yucca project closeout activities and remaining legacy activities such as accounting.

#### URANIUM ENRICHMENT DECONTAMINATION AND DECOMMISSIONING FUND

*For necessary expenses in carrying out uranium enrichment facility decontamination and decommissioning, remedial actions, and other activities of title II of the Atomic Energy Act of 1954, and title X, subtitle A, of the Energy Policy Act of 1992, \$554,823,000, to be derived from the Uranium Enrichment Decontamination and Decommissioning Fund, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 89–5231–0–2–271   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 4,193       | 3,880   | 3,511     |
| Receipts:   |             |         |           |
| 0200 Assessments, Decontamination and Decommissioning Fund .....                    |             |         | 200       |
| 0240 Earnings on Investments, Decontamination and Decommissioning Fund .....        | 159         | 106     | 107       |
| 0241 General Fund Payment - Defense, Decontamination and Decommissioning Fund ..... |             |         | 463       |
| 0299 Total receipts and collections .....   | 159         | 106     | 770       |
| 0400 Total: Balances and collections .....  | 4,352       | 3,986   | 4,281     |
| Appropriations:   |             |         |           |
| 0500 Uranium Enrichment Decontamination and Decommissioning Fund .....              | –473        | –475    | –555      |
| 0501 Uranium Enrichment Decontamination and Decommissioning Fund .....              | 1           |         |           |
| 0599 Total appropriations .....   | –472        | –475    | –555      |
| 0799 Balance, end of year .....   | 3,880       | 3,511   | 3,726     |

#### Program and Financing (in millions of dollars)

| Identification code 89–5231–0–2–271                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                        |             |         |           |
| 0001 Oak Ridge .....                                    | 201         | 202     | 177       |
| 0002 Paducah .....                                      | 81          | 82      | 262       |
| 0003 Portsmouth .....                                   | 190         | 191     | 92        |
| 0004 Pension and Community and Regulatory Support ..... |             |         | 24        |
| 0900 Total new obligations .....                        | 472         | 475     | 555       |

| Budgetary Resources:           |   |     |     |     |
|--------------------------------|---|-----|-----|-----|
| Budget authority:              |   |     |     |     |
| Appropriations, discretionary: |   |     |     |     |
| 1101                           | Appropriation (special or trust fund) ..... | 473 | 475 | 555 |
| 1132                           | Appropriations temporarily reduced .....    | –1  |     |     |
| 1160                           | Appropriation, discretionary (total) .....  | 472 | 475 | 555 |
| 1930                           | Total budgetary resources available .....   | 472 | 475 | 555 |

| Change in obligated balance:  |  |      |      |      |
|-------------------------------|--|------|------|------|
| Unpaid obligations:           |  |      |      |      |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... | 242  | 150  | 162  |
| 3010                          | Obligations incurred, unexpired accounts .....   | 472  | 475  | 555  |
| 3020                          | Outlays (gross) .....                            | –564 | –463 | –552 |
| 3050                          | Unpaid obligations, end of year .....            | 150  | 162  | 165  |
| Memorandum (non-add) entries: |  |      |      |      |
| 3100                          | Obligated balance, start of year .....           | 242  | 150  | 162  |
| 3200                          | Obligated balance, end of year .....             | 150  | 162  | 165  |

| Budget authority and outlays, net: |  |     |     |     |
|------------------------------------|--|-----|-----|-----|
| Discretionary:                     |  |     |     |     |
| Outlays, gross:                    |  |     |     |     |
| 4000                               | Budget authority, gross .....                  | 472 | 475 | 555 |
| Outlays, gross:                    |  |     |     |     |
| 4010                               | Outlays from new discretionary authority ..... | 396 | 333 | 389 |
| 4011                               | Outlays from discretionary balances .....      | 168 | 130 | 163 |
| 4020                               | Outlays, gross (total) .....                   | 564 | 463 | 552 |
| 4180                               | Budget authority, net (total) .....            | 472 | 475 | 555 |
| 4190                               | Outlays, net (total) .....                     | 564 | 463 | 552 |

| Memorandum (non-add) entries: |   |       |       |       |
|-------------------------------|---|-------|-------|-------|
| 5000                          | Total investments, SOY: Federal securities: Par value .....         | 4,372 | 4,022 | 3,906 |
| 5001                          | Total Investments, end of year: Federal securities: Par Value ..... | 4,022 | 3,906 | 3,790 |

*Decontamination and Decommissioning Activities.—Funds 1) projects to decontaminate, decommission, and remediate the sites and facilities of the gaseous diffusion plants at Portsmouth, Ohio; Paducah, Kentucky; and East Tennessee Technology Park, Oak Ridge, Tennessee; 2) pensions and post-retirement medical benefits for active and inactive gaseous diffusion plant workers.*

#### Object Classification (in millions of dollars)

| Identification code 89–5231–0–2–271 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 8           | 8       | 9         |
| 25.2                                | Other services from non-Federal sources .....              | 259         | 261     | 304       |
| 25.4                                | Operation and maintenance of facilities .....              | 202         | 203     | 238       |
| 41.0                                | Grants, subsidies, and contributions .....                 | 3           | 3       | 4         |
| 99.9                                | Total new obligations .....                                | 472         | 475     | 555       |

#### URANIUM SALES AND REMEDIATION

#### Program and Financing (in millions of dollars)

| Identification code 89–5530–0–2–271 |  | 2012 actual       | 2013 CR           | 2014 est.         |
|-------------------------------------|--|-------------------|-------------------|-------------------|
| <b>Change in obligated balance:</b> |  |                   |                   |                   |
| Unpaid obligations:                 |  |                   |                   |                   |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 5                 | 5                 | .....             |
| 3020                                | Outlays (gross) .....                            | .....             | –5                | .....             |
|                                     |  | <u>          </u> | <u>          </u> | <u>          </u> |
| 3050                                | Unpaid obligations, end of year .....            | 5                 | .....             | .....             |
| Memorandum (non-add) entries:       |  |                   |                   |                   |
| 3100                                | Obligated balance, start of year .....           | 5                 | 5                 | .....             |
| 3200                                | Obligated balance, end of year .....             | 5                 | .....             | .....             |

| Budget authority and outlays, net: |   |  |   |  |
|------------------------------------|---|--|---|--|
| Discretionary:                     |   |  |   |  |
| Outlays, gross:                    |   |  |   |  |
| 4011                               | Outlays from discretionary balances ..... |  | 5 |  |
| 4190                               | Outlays, net (total) .....                |  | 5 |  |

The Energy and Water Development Appropriations Act of 2006 provided the Department of Energy authority to barter, transfer, or sell uranium and to use any proceeds, without fiscal year

## URANIUM SALES AND REMEDIATION—Continued

limitation, to remediate contaminated uranium inventories held by the Secretary of Energy.

## ISOTOPE PRODUCTION AND DISTRIBUTION PROGRAM FUND

## Program and Financing (in millions of dollars)

| Identification code 89-4180-0-3-271                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Isotope Production and Distribution Reimbursable program .....  | 47          | 47      | 47        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 18          | 18      | 18        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 47          | 47      | 47        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 47          | 47      | 47        |
| 1930 Total budgetary resources available .....                       | 65          | 65      | 65        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 18          | 18      | 18        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 41          | 41      | 37        |
| 3010 Obligations incurred, unexpired accounts .....                  | 47          | 47      | 47        |
| 3020 Outlays (gross) .....   | -47         | -51     | -51       |
| 3050 Unpaid obligations, end of year .....                           | 41          | 37      | 33        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 41          | 41      | 37        |
| 3200 Obligated balance, end of year .....                            | 41          | 37      | 33        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 47          | 47      | 47        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 4           | 47      | 47        |
| 4011 Outlays from discretionary balances .....                       | 43          | 4       | 4         |
| 4020 Outlays, gross (total) .....                                    | 47          | 51      | 51        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -20         | -20     | -20       |
| 4033 Non-Federal sources .....                                       | -27         | -27     | -27       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -47         | -47     | -47       |
| 4080 Outlays, net (discretionary) .....                              |             | 4       | 4         |
| 4190 Outlays, net (total) .....                                      |             | 4       | 4         |

## Object Classification (in millions of dollars)

| Identification code 89-4180-0-3-271                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities ..... | 45          | 45      | 45        |
| 41.0 Grants, subsidies, and contributions .....    | 1           | 1       | 1         |
| 99.9 Total new obligations .....                   | 47          | 47      | 47        |

## ADVANCED TECHNOLOGY VEHICLES MANUFACTURING LOAN PROGRAM

*For administrative expenses in carrying out the Advanced Technology Vehicles Manufacturing Loan Program, \$6,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89-0322-0-1-272                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0010 Administrative Expenses -ARRA .....                          | 1           |         |           |
| Credit program obligations:                                       |             |         |           |
| 0701 Direct loan subsidy .....                                    |             | 4,223   |           |
| 0705 Reestimates of direct loan subsidy .....                     | 2           | 13      |           |
| 0706 Interest on reestimates of direct loan subsidy .....         |             | 94      |           |
| 0709 Administrative expenses .....                                | 5           | 7       | 8         |
| 0791 Direct program activities, subtotal .....                    | 7           | 4,337   | 8         |
| 0900 Total new obligations .....                                  | 8           | 4,337   | 8         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 4,066       | 4,230   | 6         |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 4,066       | 4,230   |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 164         |         |           |
| 1050 Unobligated balance (total) .....                            | 4,230       | 4,230   | 6         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 6           | 6       | 6         |
| 1160 Appropriation, discretionary (total) .....                   | 6           | 6       | 6         |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 2           | 107     |           |
| 1260 Appropriations, mandatory (total) .....                      | 2           | 107     |           |
| 1900 Budget authority (total) .....                               | 8           | 113     | 6         |
| 1930 Total budgetary resources available .....                    | 4,238       | 4,343   | 12        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 4,230       | 6       | 4         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,128       | 122     | 3,377     |
| 3010 Obligations incurred, unexpired accounts .....               | 8           | 4,337   | 8         |
| 3020 Outlays (gross) .....  | -850        | -1,082  | -1,697    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -164        |         |           |
| 3050 Unpaid obligations, end of year .....                        | 122         | 3,377   | 1,688     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 1,128       | 122     | 3,377     |
| 3200 Obligated balance, end of year .....                         | 122         | 3,377   | 1,688     |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 6           | 6       | 6         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 3           | 5       | 5         |
| 4011 Outlays from discretionary balances .....                    | 845         | 970     | 1,692     |
| 4020 Outlays, gross (total) .....                                 | 848         | 975     | 1,697     |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 2           | 107     |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 2           | 107     |           |
| 4180 Budget authority, net (total) .....                          | 8           | 113     | 6         |
| 4190 Outlays, net (total) .....                                   | 850         | 1,082   | 1,697     |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 89-0322-0-1-272                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Direct Auto Loans .....                              |             | 16,602  |           |
| 115999 Total direct loan levels .....                       |             | 16,602  |           |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Direct Auto Loans .....                              |             | 25.44   |           |
| 132999 Weighted average subsidy rate .....                  |             | 25.44   |           |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Direct Auto Loans .....                              |             | 4,224   |           |
| 133999 Total subsidy budget authority .....                 |             | 4,224   |           |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 Direct Auto Loans .....                              | 837         | 967     | 1,689     |
| 134999 Total subsidy outlays .....                          | 837         | 967     | 1,689     |
| Direct loan upward reestimates:                             |             |         |           |
| 135001 Direct Auto Loans .....                              | 2           | 108     |           |
| 135999 Total upward reestimate budget authority .....       | 2           | 108     |           |

|                                   |  |        |      |       |
|-----------------------------------|--|--------|------|-------|
| Direct loan downward reestimates: |  |        |      |       |
| 137001                            | Direct Auto Loans .....                          | -1,131 | -919 | ..... |
| 137999                            | Total downward reestimate budget authority ..... | -1,131 | -919 | ..... |
| Administrative expense data:      |  |        |      |       |
| 3510                              | Budget authority .....                           | 6      | 6    | 6     |
| 3580                              | Outlays from balances .....                      | 7      | 2    | 2     |
| 3590                              | Outlays from new authority .....                 | 3      | 5    | 6     |

Section 136 of the Energy Independence and Security Act of 2007 established a direct loan program to support the development of advanced technology vehicles and associated components in the United States, known as the Advanced Technology Vehicles Manufacturing Loan Program (ATVM). The 2009 Continuing Resolution (CR), enacted on September 30, 2008, appropriated \$7.5 billion to support a maximum of \$25 billion in loans under the ATVM. The ATVM provides loans to automobile and automobile part manufacturers' for the cost of re-equipping, expanding, or establishing manufacturing facilities in the United States to produce advanced technology vehicles or qualified components and for associated engineering integration costs.

The FY 2014 Budget reflects placeholder estimates for direct loan subsidy costs. These estimates are not related to any specific project proposals. DOE will calculate the credit subsidy cost of any direct loan on a case-by-case basis in accordance with Federal Credit Reform Act of 1990 (FCRA) and OMB Circular A-11. For any project, the terms and conditions of the loan, the risks associated with the project, and any other factor that affects the amount and timing of such cash flows will affect the credit subsidy cost calculations.

As required by the FCRA, this account records, for this program, the subsidy costs associated with the direct loans committed in 1992 and beyond (including modifications of direct loans that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

#### Object Classification (in millions of dollars)

| Identification code 89-0322-0-1-272                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 1       | 1         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....            | 3           | 5       | 6         |
| 41.0 Grants, subsidies, and contributions .....        | 2           | 4,330   | .....     |
| 99.9 Total new obligations .....                       | 8           | 4,337   | 8         |

#### Employment Summary

| Identification code 89-0322-0-1-272                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 14          | 12      | 11        |

### ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 89-4579-0-3-272                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                       |             |         |           |
| Credit program obligations:                            |             |         |           |
| 0710 Direct loan obligations .....                     | .....       | 16,602  | .....     |
| 0715 Interest paid to FFB .....                        | 329         | 653     | 631       |
| 0742 Downward reestimate paid to receipt account ..... | 987         | 919     | .....     |
| 0743 Interest on downward reestimates .....            | 145         | .....   | .....     |
| 0900 Total new obligations .....                       | 1,461       | 18,174  | 631       |

#### Budgetary Resources:

|   |        |        |        |
|---|--------|--------|--------|
| Unobligated balance:  |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 2,671  | 1,290  | 4,664  |
| 1021 Recoveries of prior year unpaid obligations .....                          | 731    | .....  | .....  |
| 1023 Unobligated balances applied to repay debt .....                           | -149   | -383   | -258   |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | -731   | .....  | .....  |
| 1050 Unobligated balance (total) .....  | 2,522  | 907    | 4,406  |
| Financing authority:  |        |        |        |
| Borrowing authority, mandatory:   |        |        |        |
| 1400 Borrowing authority .....  | .....  | 16,602 | .....  |
| 1440 Borrowing authority, mandatory (total) .....                               | .....  | 16,602 | .....  |
| Spending authority from offsetting collections, mandatory:                      |        |        |        |
| 1800 Collected .....  | 1,229  | 2,397  | 3,109  |
| 1801 Change in uncollected payments, Federal sources .....                      | -1,000 | 3,260  | -1,689 |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | .....  | -328   | -589   |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 229    | 5,329  | 831    |
| 1900 Financing authority (total) .....  | 229    | 21,931 | 831    |
| 1930 Total budgetary resources available .....                                  | 2,751  | 22,838 | 5,237  |
| Memorandum (non-add) entries:   |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....                           | 1,290  | 4,664  | 4,606  |

#### Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 4,210  | 1,303  | 13,282 |
| 3010 Obligations incurred, unexpired accounts .....               | 1,461  | 18,174 | 631    |
| 3020 Financing disbursements (gross) .....                        | -3,637 | -6,195 | -6,641 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -731   | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                        | 1,303  | 13,282 | 7,272  |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1,118 | -118   | -3,378 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 1,000  | -3,260 | 1,689  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -118   | -3,378 | -1,689 |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 3,092  | 1,185  | 9,904  |
| 3200 Obligated balance, end of year .....                         | 1,185  | 9,904  | 5,583  |

#### Financing authority and disbursements, net:

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:  |        |        |        |
| 4090 Financing authority, gross .....                                     | 229    | 21,931 | 831    |
| Financing disbursements:  |        |        |        |
| 4110 Financing disbursements, gross .....                                 | 3,637  | 6,195  | 6,641  |
| Offsets against gross financing authority and disbursements:              |        |        |        |
| Offsetting collections (collected) from:                                  |        |        |        |
| 4120 Payment from program account .....                                   | -837   | -967   | -1,689 |
| 4120 Upward Reestimate .....  | -2     | -13    | .....  |
| 4120 Interest on Reestimate .....   | .....  | -94    | .....  |
| 4122 Interest on uninvested funds .....                                   | -100   | -386   | -125   |
| 4123 Non-Federal sources (interest) .....                                 | -149   | -257   | -525   |
| 4123 Non-Federal sources (principal) .....                                | -141   | -663   | -770   |
| 4123 Other Income - Fees .....  | .....  | -17    | .....  |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -1,229 | -2,397 | -3,109 |
| Additional offsets against financing authority only (total):              |        |        |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 1,000  | -3,260 | 1,689  |
| 4160 Financing authority, net (mandatory) .....                           | .....  | 16,274 | -589   |
| 4170 Financing disbursements, net (mandatory) .....                       | 2,408  | 3,798  | 3,532  |
| 4180 Financing authority, net (total) .....                               | .....  | 16,274 | -589   |
| 4190 Financing disbursements, net (total) .....                           | 2,408  | 3,798  | 3,532  |

#### Status of Direct Loans (in millions of dollars)

| Identification code 89-4579-0-3-272                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     | 16,602      | 16,602  | .....     |
| 1143 Unobligated limitation carried forward (P.L. xx) (-) .....        | -16,602     | .....   | .....     |
| 1150 Total direct loan obligations .....                               | .....       | 16,602  | .....     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 4,912       | 6,940   | 10,900    |
| 1231 Disbursements: Direct loan disbursements .....                    | 2,177       | 4,623   | 6,641     |
| 1251 Repayments: Repayments and prepayments .....                      | -149        | -663    | -770      |
| 1290 Outstanding, end of year .....                                    | 6,940       | 10,900  | 16,771    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond

ADVANCED TECHNOLOGY VEHICLES MANUFACTURING DIRECT LOAN FINANCING  
ACCOUNT—Continued

(including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 89–4579–0–3–272                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 1,553       | 1,173       |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 13          | 104         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 4,912       | 6,940       |
| 1402 Interest receivable .....                                    | 6           | 6           |
| 1405 Allowance for subsidy cost (-) .....                         | -490        | -337        |
| 1499 Net present value of assets related to direct loans .....    | 4,428       | 6,609       |
| 1999 Total assets .....   | 5,994       | 7,886       |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....                                       | 1,082       | 946         |
| 2103 Debt .....   | 4,912       | 6,940       |
| 2999 Total liabilities .....                                      | 5,994       | 7,886       |
| 4999 Total upward reestimate subsidy BA [89–0322] .....           | 5,994       | 7,886       |

## TITLE 17 INNOVATIVE TECHNOLOGY LOAN GUARANTEE PROGRAM

*Such sums as are derived from amounts received from borrowers pursuant to section 1702(b)(2) of the Energy Policy Act of 2005 under this heading in prior Acts, shall be collected in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided, That, for necessary administrative expenses to carry out this Loan Guarantee program, \$48,000,000 is appropriated, to remain available until expended: Provided further, That \$48,000,000 of the fees collected pursuant to section 1702(h) of the Energy Policy Act of 2005 shall be credited as offsetting collections to this account to cover administrative expenses and shall remain available until expended, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$0: Provided further, That fees collected under section 1702(h) in excess of the amount appropriated for administrative expenses shall not be available until appropriated.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0208–0–1–271                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| Credit program obligations:  |             |         |           |
| 0701 Direct loan subsidy .....                                       |             |         | 169       |
| 0705 Reestimates of direct loan subsidy .....                        | 409         | 64      |           |
| 0706 Interest on reestimates of direct loan subsidy .....            | 24          | 4       |           |
| 0707 Reestimates of loan guarantee subsidy .....                     | 12          | 1       |           |
| 0708 Interest on reestimates of loan guarantee subsidy .....         | 1           |         |           |
| 0709 Administrative expenses .....                                   | 50          | 48      | 50        |
| 0900 Total new obligations .....                                     | 496         | 117     | 219       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 751         | 756     | 274       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....      | 751         | 756     |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 17          |         |           |
| 1050 Unobligated balance (total) .....                               | 768         | 756     | 274       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 36          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... |             | -472    |           |

|  |       |      |     |
|--|-------|------|-----|
| 1160 Appropriation, discretionary (total) .....                    | 36    | -472 |     |
| Appropriations, mandatory:   |       |      |     |
| 1200 Appropriation .....   | 446   | 69   |     |
| 1260 Appropriations, mandatory (total) .....                       | 446   | 69   |     |
| Spending authority from offsetting collections, discretionary:     |       |      |     |
| 1700 Collected .....   | 2     | 38   | 48  |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2     | 38   | 48  |
| 1900 Budget authority (total) .....                                | 484   | -365 | 48  |
| 1930 Total budgetary resources available .....                     | 1,252 | 391  | 322 |
| Memorandum (non-add) entries:                                      |       |      |     |
| 1941 Unexpired unobligated balance, end of year .....              | 756   | 274  | 103 |

## Change in obligated balance:

|   |        |      |      |
|---|--------|------|------|
| Unpaid obligations:   |        |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,555  | 969  | 503  |
| 3010 Obligations incurred, unexpired accounts .....               | 496    | 117  | 219  |
| 3020 Outlays (gross) .....  | -1,065 | -583 | -303 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -17    |      |      |
| 3050 Unpaid obligations, end of year .....                        | 969    | 503  | 419  |
| Memorandum (non-add) entries:                                     |        |      |      |
| 3100 Obligated balance, start of year .....                       | 1,555  | 969  | 503  |
| 3200 Obligated balance, end of year .....                         | 969    | 503  | 419  |

## Budget authority and outlays, net:

|   |       |      |     |
|---|-------|------|-----|
| Discretionary:                                      |       |      |     |
| 4000 Budget authority, gross .....                  | 38    | -434 | 48  |
| Outlays, gross:                                     |       |      |     |
| 4010 Outlays from new discretionary authority ..... | 19    | 38   | 48  |
| 4011 Outlays from discretionary balances .....      | 600   | 476  | 255 |
| 4020 Outlays, gross (total) .....                   | 619   | 514  | 303 |
| Offsets against gross budget authority and outlays: |       |      |     |
| Offsetting collections (collected) from:            |       |      |     |
| 4033 Non-Federal sources .....                      | -2    | -38  | -48 |
| Mandatory:  |       |      |     |
| 4090 Budget authority, gross .....                  | 446   | 69   |     |
| Outlays, gross:                                     |       |      |     |
| 4100 Outlays from new mandatory authority .....     | 446   | 69   |     |
| 4180 Budget authority, net (total) .....            | 482   | -403 |     |
| 4190 Outlays, net (total) .....                     | 1,063 | 545  | 255 |

## Memorandum (non-add) entries:

|   |    |    |    |
|---|----|----|----|
| 5090 Unavailable balance, SOY: Offsetting collections ..... | 47 | 47 | 47 |
| 5091 Unavailable balance, EOY: Offsetting collections ..... | 47 | 47 | 47 |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 89–0208–0–1–271                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Section 1703 FFB Loans (Self Pay) .....              |             | 9,050   | 8,750     |
| 115003 Section 1703 FFB Loans (EERE) .....                  |             |         | 1,072     |
| 115999 Total direct loan levels .....                       |             | 9,050   | 9,822     |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Section 1703 FFB Loans (Self Pay) .....              |             | 0.00    | 0.00      |
| 132003 Section 1703 FFB Loans (EERE) .....                  |             |         | 15.79     |
| 132999 Weighted average subsidy rate .....                  |             | 0.00    | 1.72      |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133003 Section 1703 FFB Loans (EERE) .....                  |             |         | 169       |
| 133999 Total subsidy budget authority .....                 |             |         | 169       |
| Direct loan subsidy outlays:                                |             |         |           |
| 134002 Section 1705 FFB Loans .....                         | 492         | 423     | 120       |
| 134003 Section 1703 FFB Loans (EERE) .....                  |             |         | 85        |
| 134999 Total subsidy outlays .....                          | 492         | 423     | 205       |
| Direct loan upward reestimates:                             |             |         |           |
| 135002 Section 1705 FFB Loans .....                         | 433         | 68      |           |
| 135999 Total upward reestimate budget authority .....       | 433         | 68      |           |
| Direct loan downward reestimates:                           |             |         |           |
| 137002 Section 1705 FFB Loans .....                         |             | -52     |           |
| 137999 Total downward reestimate budget authority .....     |             | -52     |           |
| Guaranteed loan subsidy outlays:                            |             |         |           |
| 234002 Section 1705 Loan Guarantees .....                   | 66          | 37      | 48        |
| 234999 Total subsidy outlays .....                          | 66          | 37      | 48        |
| Guaranteed loan upward reestimates:                         |             |         |           |
| 235002 Section 1705 Loan Guarantees .....                   | 13          | 1       |           |
| 235999 Total upward reestimate budget authority .....       | 13          | 1       |           |
| Guaranteed loan downward reestimates:                       |             |         |           |
| 237002 Section 1705 Loan Guarantees .....                   |             | -6      |           |



|                              |  |    |    |    |
|------------------------------|--|----|----|----|
| 237999                       | Total downward reestimate subsidy budget authority ..... | -6 |    |    |
| Administrative expense data: |  |    |    |    |
| 3510                         | Budget authority .....                                   | 38 | 38 | 48 |
| 3580                         | Outlays from balances .....                              | 42 | 34 | 16 |
| 3590                         | Outlays from new authority .....                         | 19 | 19 | 34 |

The Loan Programs Office (LPO) will consider and coordinate Departmental action on all loan guarantee applications submitted to the Department of Energy in compliance with Title XVII of the Energy Policy Act of 2005 (EPAAct of 2005). Section 1703 of that Act authorizes the Department to provide loan guarantees for projects in categories including renewable energy systems, advanced nuclear facilities, coal gasification, carbon sequestration, energy efficiency, and various other types of projects. These projects must avoid, reduce, or sequester air pollutants or anthropogenic emissions of greenhouse gases; employ new or significantly improved technologies compared to commercial technologies in service in the United States at the time the guarantee is issued; and offer a reasonable prospect of repayment of the principal and interest on the guaranteed obligation. To date, DOE has been implementing Section 1703 of this program under authorizing law that allows borrowers to pay the credit subsidy costs of these loan guarantees ("self-pay" authority).

Section 406 of the American Recovery and Reinvestment Act of 2009, P.L. No. 111-5 (the "Recovery Act"), amended the LGPO's authorizing legislation, by establishing Section 1705, a temporary program for the rapid deployment of renewable energy and electric power transmission projects. For the Section 1705 program, \$2.435 billion (after rescissions and transfers) in appropriated credit subsidy was provided, which allows the Secretary to make loan guarantees available for the following categories of projects that commence construction not later than September 30, 2011: renewable energy systems, including incremental hydropower, that generate electricity or thermal energy, and facilities that manufacture related components; electric power transmission systems, including upgrading and reconductoring projects; and leading edge biofuel projects that will use technologies performing at the pilot or demonstrations scale that the Secretary determines are likely to become commercial technologies and will produce transportation fuels that substantially reduce life-cycle greenhouse gas emissions compared to other transportation fuels. Funding for these biofuel projects shall not exceed \$500 million. The authority to enter into loan guarantees under Section 1705 expired on September 30, 2011.

The decision to issue loan guarantees depends on the merits and benefits of particular project proposals and their compliance with statutory and regulatory requirements.

As of 2012, \$34 billion in self-pay loan guarantee authority is available to support projects eligible under Section 1703. In addition, the FY 2011 full-year continuing resolution provided \$170 million in appropriated credit subsidy for Section 1703 loan guarantees for energy efficiency and renewable energy projects. Loan volume utilized may not be reused. The FY 2014 Budget does not include any additional loan authority or appropriated credit subsidy as the program will focus on deploying the significant amount of remaining resources appropriated in prior years. The FY 2014 Budget reflects estimates based on illustrative examples, unrelated to any specific project.

The Loan Programs Office will ensure all processes and criteria are applied uniformly in accordance with established requirements, procedures and guidelines. The Department requests \$48 million in FY 2014 to operate the Office and support personnel and associated costs. This request is intended to be offset by collections authorized under the EPAAct of 2005. To ensure that the Department meets statutory and regulatory requirements and

implements effective management and oversight of its loan guarantee activities, program funding also will support the procurement of outside expertise in areas such as finance, project engineering, and commercial market assessment.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as the administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

#### Object Classification (in millions of dollars)

| Identification code 89-0208-0-1-271                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 9           | 9       | 9         |
| 12.1 Civilian personnel benefits .....                   | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....              | 33          | 33      | 35        |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 3           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 446         | 64      | 169       |
| 43.0 Interest and dividends .....                        |             | 5       |           |
| 99.9 Total new obligations .....                         | 496         | 117     | 219       |

#### Employment Summary

| Identification code 89-0208-0-1-271                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 77          | 80      | 80        |

### TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 89-4455-0-3-271   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:  |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  |             | 9,050   | 9,822     |
| 0715 Interest paid to FFB .....   | 203         | 399     | 558       |
| 0742 Downward reestimate paid to receipt account .....                          |             | 52      |           |
| 0900 Total new obligations .....  | 203         | 9,501   | 10,380    |
| Budgetary Resources:  |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1,498       | 1,775   | 2,384     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 120         |         |           |
| 1023 Unobligated balances applied to repay debt .....                           | -64         | -180    | -673      |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | -120        |         |           |
| 1050 Unobligated balance (total) .....  | 1,434       | 1,595   | 1,711     |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 4           | 9,051   | 9,822     |
| 1440 Borrowing authority, mandatory (total) .....                               | 4           | 9,051   | 9,822     |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 1,101       | 1,690   | 2,746     |
| 1801 Change in uncollected payments, Federal sources .....                      | -509        | -423    | -36       |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -52         | -28     | -539      |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 540         | 1,239   | 2,171     |
| 1900 Financing authority (total) .....  | 544         | 10,290  | 11,993    |
| 1930 Total budgetary resources available .....                                  | 1,978       | 11,885  | 13,704    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 1,775       | 2,384   | 3,324     |
| Change in obligated balance:  |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 8,058       | 4,662   | 8,378     |
| 3010 Obligations incurred, unexpired accounts .....                             | 203         | 9,501   | 10,380    |
| 3020 Financing disbursements (gross) .....                                      | -3,479      | -5,785  | -6,603    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -120        |         |           |

**TITLE 17 INNOVATIVE TECHNOLOGY DIRECT LOAN FINANCING**  
**ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 89-4455-0-3-271                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3050 Unpaid obligations, end of year .....                                | 4,662       | 8,378   | 12,155    |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | -1,190      | -681    | -258      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | 509         | 423     | 36        |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -681        | -258    | -222      |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 6,868       | 3,981   | 8,120     |
| 3200 Obligated balance, end of year .....                                 | 3,981       | 8,120   | 11,933    |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 544         | 10,290  | 11,993    |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 3,479       | 5,785   | 6,603     |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Payment from program account .....                                   | -492        | -423    | -205      |
| 4120 Upward reestimate .....  | -409        | -64     |           |
| 4120 Interest on reestimate .....   | -24         | -4      |           |
| 4122 Interest on uninvested funds .....                                   | -100        | -155    | -176      |
| 4123 Interest payments .....  | -20         | -42     | -369      |
| 4123 Principal payments .....   | -56         | -85     | -895      |
| 4123 Fees .....   |             | -917    | -1,101    |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -1,101      | -1,690  | -2,746    |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 509         | 423     | 36        |
| 4160 Financing authority, net (mandatory) .....                           | -48         | 9,023   | 9,283     |
| 4170 Financing disbursements, net (mandatory) .....                       | 2,378       | 4,095   | 3,857     |
| 4180 Financing authority, net (total) .....                               | -48         | 9,023   | 9,283     |
| 4190 Financing disbursements, net (total) .....                           | 2,378       | 4,095   | 3,857     |

**Status of Direct Loans (in millions of dollars)**

| Identification code 89-4455-0-3-271                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     |             | 8,300   | 9,822     |
| 1131 Direct loan obligations exempt from limitation .....              |             | 750     |           |
| 1143 Unobligated limitation carried forward (P.L. xx) (-) .....        |             |         |           |
| 1150 Total direct loan obligations .....                               |             | 9,050   | 9,822     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 2,023       | 5,293   | 10,769    |
| 1231 Disbursements: Direct loan disbursements .....                    | 3,276       | 5,334   | 6,046     |
| 1251 Repayments: Repayments and prepayments .....                      | -56         | -85     | -895      |
| 1261 Adjustments: Capitalized interest .....                           | 50          | 227     | 182       |
| 1290 Outstanding, end of year .....                                    | 5,293       | 10,769  | 16,102    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 89-4455-0-3-271                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 308         | 1,094       |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 404         | 98          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 2,023       | 5,293       |
| 1402 Interest receivable .....                                    | 11          | 28          |
| 1405 Allowance for subsidy cost (-) .....                         | -737        | -1,254      |
| 1499 Net present value of assets related to direct loans .....    | 1,297       | 4,067       |
| 1999 Total assets .....   | 2,009       | 5,259       |

**LIABILITIES:**

|   |       |       |
|---|-------|-------|
| Federal liabilities:                          |       |       |
| 2101 Accounts payable .....                   | 1     | 87    |
| 2103 Debt .....                               | 2,008 | 5,172 |
| 2999 Total liabilities .....                  | 2,009 | 5,259 |
| 4999 Total liabilities and net position ..... | 2,009 | 5,259 |

**Trust Funds**

**ENERGY SECURITY TRUST**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 89-8577-0-7-272  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Royalties from OCS Oil and Gas Development, Energy Security Trust ..... |             |         | 200       |
| 0400 Total: Balances and collections .....                                   |             |         | 200       |
| Appropriations:  |             |         |           |
| 0500 Energy Security Trust .....   |             |         | -200      |
| 0799 Balance, end of year .....  |             |         |           |

**Energy Security Trust.**—The Energy Security Trust proposal is a \$2 billion investment that will support research into a range of technologies—like advanced vehicles that run on electricity, homegrown biofuels, hydrogen, and domestically produced natural gas—to allow the Nation to transition from oil towards more secure alternatives. The Trust will be funded by revenue generated from Federal oil and gas development. Establishing a guaranteed source of funding will allow the Energy Department to maintain targeted and sustained investments that will directly advance U.S. energy security.

**ENERGY SECURITY TRUST**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

| Identification code 89-8577-4-7-272                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Alternative Fuel Vehicle R&D .....              |             |         | 200       |
| 0900 Total new obligations (object class 25.5) ..... |             |         | 200       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     |             |         | 200       |
| 1260 Appropriations, mandatory (total) .....         |             |         | 200       |
| 1930 Total budgetary resources available .....       |             |         | 200       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 200       |
| 3020 Outlays (gross) .....                           |             |         | -60       |
| 3050 Unpaid obligations, end of year .....           |             |         | 140       |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 140       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 200       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 60        |
| 4180 Budget authority, net (total) .....             |             |         | 200       |

|      |                            |    |
|------|----------------------------|----|
| 4190 | Outlays, net (total) ..... | 60 |
|------|----------------------------|----|

|      |                                |       |       |       |
|------|--------------------------------|-------|-------|-------|
| 2290 | Outstanding, end of year ..... | 2,963 | 3,324 | 3,531 |
|------|--------------------------------|-------|-------|-------|

**TITLE 17 INNOVATIVE TECHNOLOGY GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 89-4577-0- -271                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....                            |             | 4       | 17        |
| 0712 Default claim payments on interest .....                             |             | 2       | 3         |
| 0742 Downward reestimate paid to receipt account .....                    |             | 5       |           |
| 0743 Interest on downward reestimates .....                               |             | 1       |           |
| 0900 Total new obligations .....  |             | 12      | 20        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 424         | 442     | 437       |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  | 84          | 44      | 58        |
| 1801 Change in uncollected payments, Federal sources .....                | -66         | -37     | -48       |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 18          | 7       | 10        |
| 1930 Total budgetary resources available .....                            | 442         | 449     | 447       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 442         | 437     | 427       |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                       |             | 12      | 20        |
| 3020 Financing disbursements (gross) .....                                |             | -12     | -20       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | -347        | -281    | -244      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | 66          | 37      | 48        |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -281        | -244    | -196      |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | -347        | -281    | -244      |
| 3200 Obligated balance, end of year .....                                 | -281        | -244    | -196      |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 18          | 7       | 10        |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 |             | 12      | 20        |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Payment from program account .....                                   | -66         | -37     | -48       |
| 4120 Upward Reestimate .....  | -12         | -1      |           |
| 4120 Interest on Reestimate .....   | -1          |         |           |
| 4122 Interest on uninvested funds .....                                   | -5          | -6      | -7        |
| 4123 Principal payments .....   |             |         | -2        |
| 4123 Interest Payments .....  |             |         | -1        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -84         | -44     | -58       |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 66          | 37      | 48        |
| 4170 Financing disbursements, net (mandatory) .....                       | -84         | -32     | -38       |
| 4190 Financing disbursements, net (total) .....                           | -84         | -32     | -38       |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 89-4577-0- -271                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2121 Limitation available from carry-forward .....                     | 2,200       | 2,200   | 2,200     |
| 2143 Uncommitted limitation carried forward .....                      | -2,200      | -2,200  | -2,200    |
| 2150 Total guaranteed loan commitments .....                           |             |         |           |
| Cumulative balance of guaranteed loans outstanding:                    |             |         |           |
| 2210 Outstanding, start of year .....                                  | 1,762       | 2,963   | 3,324     |
| 2231 Disbursements of new guaranteed loans .....                       | 1,264       | 463     | 617       |
| 2251 Repayments and prepayments .....                                  | -63         | -97     | -389      |
| Adjustments:   |             |         |           |
| 2261 Terminations for default that result in loans receivable .....    |             | -4      | -17       |
| 2264 Other adjustments, net .....                                      |             | -1      | -4        |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| Memorandum:   |  |       |       |       |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 2,370 | 2,659 | 2,825 |
| Addendum:   |  |       |       |       |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |       |       |       |
| 2310  | Outstanding, start of year .....                                     |       |       | 6     |
| 2331  | Disbursements for guaranteed loan claims .....                       |       | 4     | 17    |
| 2351  | Repayments of loans receivable .....                                 |       |       | -2    |
| 2364  | Other adjustments, net .....   |       | 2     | 3     |
| 2390  | Outstanding, end of year .....                                       |       | 6     | 24    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 89-4577-0- -271                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                              | 77          | 161         |
| Investments in US securities:                                       |             |             |
| 1106 Receivables, net .....   | 9           | 17          |
| 1999 Total assets .....   | 86          | 178         |
| <b>LIABILITIES:</b>   |             |             |
| 2101 Federal liabilities: Accounts payable .....                    |             | 21          |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 86          | 157         |
| 2999 Total liabilities .....  | 86          | 178         |
| 4999 Total liabilities and net position .....                       | 86          | 178         |

**POWER MARKETING ADMINISTRATION****Federal Funds**

## OPERATION AND MAINTENANCE, ALASKA POWER ADMINISTRATION

The Alaska Power Administration (APA) was created in 1967 by the Secretary of the Interior to assume the functions of the Bureau of Reclamation in Alaska. These functions include operations, maintenance, transmission, and power marketing of the two Federal hydroelectric projects (Eklutna and Snettisham), and the investigation of future water and power development programs. All Alaska activities of APA, including the Juneau headquarters office, were terminated on September 30, 1998. A fund is maintained to liquidate the remaining obligations of the APA.

## OPERATION AND MAINTENANCE, SOUTHEASTERN POWER ADMINISTRATION

*For necessary expenses of operation and maintenance of power transmission facilities and of marketing electric power and energy, including transmission wheeling and ancillary services, pursuant to section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the southeastern power area, and including official reception and representation expenses in an amount not to exceed \$1,500, \$7,750,000, to remain available until expended: Provided, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944, up to \$7,750,000 collected by the Southeastern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the Southeastern Power Administration: Provided further, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation estimated at not more than \$0: Provided*

OPERATION AND MAINTENANCE, SOUTHEASTERN POWER  
ADMINISTRATION—Continued

further, That, notwithstanding 31 U.S.C. 3302, up to \$93,284,000 collected by the Southeastern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: Provided further, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0302–0–1–271                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0801   | Purchase Power and Wheeling .....                               | 47          | 107     | 78        |
| 0802   | Annual Expenses and other costs repaid in one year .....        | 7           | 12      | 9         |
| 0900   | Total new obligations .....                                     | 54          | 119     | 87        |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 2           | 9       | 9         |
| Budget authority:  |   |             |         |           |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 61          | 119     | 87        |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 61          | 119     | 87        |
| 1900   | Budget authority (total) .....                                  | 61          | 119     | 87        |
| 1930   | Total budgetary resources available .....                       | 63          | 128     | 96        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 9           | 9       | 9         |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 15          | 9       | 2         |
| 3010   | Obligations incurred, unexpired accounts .....                  | 54          | 119     | 87        |
| 3020   | Outlays (gross) .....   | –60         | –126    | –89       |
| 3050   | Unpaid obligations, end of year .....                           | 9           | 2       | .....     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 15          | 9       | 2         |
| 3200   | Obligated balance, end of year .....                            | 9           | 2       | .....     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                   | 61          | 119     | 87        |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                  | 44          | 119     | 87        |
| 4011   | Outlays from discretionary balances .....                       | 16          | 7       | 2         |
| 4020   | Outlays, gross (total) .....                                    | 60          | 126     | 89        |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –53         | –107    | –78       |
| 4033   | Non-Federal sources .....                                       | –8          | –12     | –9        |
| 4040   | Offsets against gross budget authority and outlays (total) .... | –61         | –119    | –87       |
| 4080   | Outlays, net (discretionary) .....                              | –1          | 7       | 2         |
| 4190   | Outlays, net (total) .....                                      | –1          | 7       | 2         |

The Southeastern Power Administration (Southeastern) markets power generated at 22 U.S. Army Corps of Engineers' hydroelectric generating plants in an eleven-State area of the Southeast. Power deliveries are made by means of contracting for use of transmission facilities owned by others.

Southeastern sells wholesale power primarily to publicly and cooperatively-owned electric distribution utilities. Southeastern does not own or operate any transmission facilities. Its long-term contracts provide for periodic electric rate adjustments to ensure that the Federal Government recovers the costs of operations and the capital invested in power facilities, with interest, in keeping with statutory requirements. As in past years, the budget

continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

**Program Direction.**—Provision is made for negotiation and administration of transmission and power contracts, collection of revenues, development of wholesale power rates, amortization of the Federal power investment, energy efficiency and competitiveness program, investigation and planning of proposed water resources projects, scheduling and dispatch of power generation, scheduling storage and release of water, administration of contractual operation requirements, and determination of methods of operating generating plants individually and in coordination with others to obtain maximum utilization of resources.

**Purchase Power and Wheeling.**—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the disposal of power under contracts with utility companies. Customers are encouraged to use alternative funding mechanisms, including customer advances and net billing to finance these activities. Offsetting collections to fund these ongoing operating services are also available up to \$78.1 million in 2014.

**Reimbursable Program.**—The Consolidated Appropriations Act, 2008 (Pub. L. No. 110–161) provided Southeastern with authority to accept advance payment from customers for reimbursable work associated with operations and maintenance activities, consistent with those authorized in section 5 of the Flood Control Act of 1944. Funds received from any State, municipality, corporation, association, firm, district or individual as an advance payment for reimbursable work will be credited to Southeastern's account and remain available until expended.

## Object Classification (in millions of dollars)

| Identification code 89–0302–0–1–271 |                                 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---------------------------------|-------------|---------|-----------|
| 99.0                                | Reimbursable obligations .....  | 51          | 119     | 84        |
| 99.5                                | Below reporting threshold ..... | 3           | .....   | 3         |
| 99.9                                | Total new obligations .....     | 54          | 119     | 87        |

## Employment Summary

| Identification code 89–0302–0–1–271 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 41          | 46      | 44        |

## CONTINUING FUND, SOUTHEASTERN POWER ADMINISTRATION

A continuing fund maintained from receipts from the sale and transmission of electric power in the Southeastern service area is available to defray emergency expenses necessary to ensure continuity of service (16 U.S.C. 825s-2). The fund was last activated in fiscal year 2009 to finance power purchases associated with below normal hydro power generation due to severe drought. Consistent with sound business practices, the Southeastern Power Administration has implemented a policy to recover all emergency costs associated with purchased power and wheeling within one year from the time funds are expended.

## OPERATION AND MAINTENANCE, SOUTHWESTERN POWER ADMINISTRATION

*For necessary expenses of operation and maintenance of power transmission facilities and of marketing electric power and energy, for construction and acquisition of transmission lines, substations and appurtenant facilities, and for administrative expenses, including official reception and representation expenses in an amount not to exceed \$1,500 in carrying*

out section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), as applied to the Southwestern Power Administration, \$45,456,000, to remain available until expended: Provided, That notwithstanding 31 U.S.C. 3302 and section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), up to \$33,564,000 collected by the Southwestern Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Southwestern Power Administration: Provided further, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation estimated at not more than \$11,892,000: Provided further, That, notwithstanding 31 U.S.C. 3302, up to \$42,000,000 collected by the Southwestern Power Administration pursuant to the Flood Control Act of 1944 to recover purchase power and wheeling expenses shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures: Provided further, That, for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 89–0303–0–1–271                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Systems operation and maintenance .....                       | 5           | 5       | 4         |
| 0003 Construction .....  | 6           | 6       | 6         |
| 0004 Program direction .....                                       | 1           | 1       | 2         |
| 0200 Direct program subtotal .....                                 | 12          | 12      | 12        |
| 0799 Total direct obligations .....                                | 12          | 12      | 12        |
| 0805 Purchase power and wheeling .....                             | 12          | 40      | 41        |
| 0810 Other reimbursable activities .....                           | 37          | 37      | 37        |
| 0811 Annual Expenses .....   | 33          | 33      | 32        |
| 0899 Total reimbursable obligations .....                          | 82          | 110     | 110       |
| 0900 Total new obligations .....                                   | 94          | 122     | 122       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             | 12      | 12        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 12          | 12      | 12        |
| 1121 Appropriations transferred from other accts [11–5512] ....    | 17          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 29          | 12      | 12        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 79          | 110     | 110       |
| 1701 Change in uncollected payments, Federal sources .....         | –2          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 77          | 110     | 110       |
| 1900 Budget authority (total) .....                                | 106         | 122     | 122       |
| 1930 Total budgetary resources available .....                     | 106         | 134     | 134       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 12          | 12      | 12        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 75          | 87      | 60        |
| 3010 Obligations incurred, unexpired accounts .....                | 94          | 122     | 122       |
| 3020 Outlays (gross) .....   | –82         | –149    | –147      |
| 3050 Unpaid obligations, end of year .....                         | 87          | 60      | 35        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –2          |         |           |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 2           |         |           |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 73          | 87      | 60        |
| 3200 Obligated balance, end of year .....                          | 87          | 60      | 35        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 106         | 122     | 122       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 54          | 117     | 117       |

|  |     |      |      |
|--|-----|------|------|
| 4011 Outlays from discretionary balances .....                       | 28  | 32   | 30   |
| 4020 Outlays, gross (total) .....                                    | 82  | 149  | 147  |
| Offsets against gross budget authority and outlays:                  |     |      |      |
| Offsetting collections (collected) from:                             |     |      |      |
| 4030 Federal sources .....   | –2  | –6   | –6   |
| 4033 Non-Federal sources .....                                       | –77 | –104 | –104 |
| 4040 Offsets against gross budget authority and outlays (total) .... | –79 | –110 | –110 |
| Additional offsets against gross budget authority only:              |     |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 2   |      |      |
| 4070 Budget authority, net (discretionary) .....                     | 29  | 12   | 12   |
| 4080 Outlays, net (discretionary) .....                              | 3   | 39   | 37   |
| 4180 Budget authority, net (total) .....                             | 29  | 12   | 12   |
| 4190 Outlays, net (total) .....                                      | 3   | 39   | 37   |

The Southwestern Power Administration (Southwestern) operates in a six-state area marketing and delivering renewable hydroelectric power produced at the U.S. Army Corps of Engineers' dams. Southwestern operates and maintains 1,380 miles of high voltage transmission lines, 25 substations and switching stations, associated power system controls, and communication sites. Southwestern is also responsible for the construction of these facilities.

Southwestern markets and delivers its power at wholesale rates primarily to public bodies and rural electric cooperatives. In compliance with statutory requirements, Southwestern's power sales contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of operations, other costs allocated to power, and the capital investments in power facilities, with interest. Southwestern is also responsible for scheduling and dispatching power and negotiating power sales contracts to meet changing customer load requirements. As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

**Program Direction.**—Provides compensation and all related expenses for personnel who market, deliver, operate, and maintain Southwestern's high-voltage interconnected power system and associated facilities.

**Operations and Maintenance.**—Provides essential electrical and communications equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for the safe, reliable, and cost effective operation and maintenance of the power system.

**Purchase Power and Wheeling.**—Provides for the purchase and delivery of energy to meet limited peaking power contractual obligations. Federal power receipts and alternative financing methods, including net billing, bill crediting, and customer advances are used to fund system-purchased power support and other contractual services. Customers will provide other power resources and/or purchases for the remainder of their firm loads.

**Construction.**—Provides for replacement, addition or upgrade of existing infrastructure to sustain reliable delivery of power to its customers, contain annual maintenance costs, and improve overall efficiency.

**Reimbursable Program.**—This activity involves services provided by Southwestern to others under various types of reimbursable arrangements.

#### Object Classification (in millions of dollars)

| Identification code 89–0303–0–1–271                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 2         |
| 25.2 Other services from non-Federal sources .....     | 6           | 6       | 6         |
| 26.0 Supplies and materials .....                      | 2           | 2       | 1         |
| 31.0 Equipment .....                                   | 3           | 3       | 3         |
| 99.0 Direct obligations .....                          | 12          | 12      | 12        |

OPERATION AND MAINTENANCE, SOUTHWESTERN POWER  
ADMINISTRATION—Continued  
Object Classification—Continued

| Identification code 89-0303-0-1-271 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 82          | 110     | 110       |
| 99.9 Total new obligations .....    | 94          | 122     | 122       |

Employment Summary

| Identification code 89-0303-0-1-271                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 10          | 10      | 10        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 164         | 184     | 184       |

WHITE RIVER MINIMUM FLOW

In 2010, Southwestern compensated the licensee of Federal Energy Regulatory Commission (FERC) Project No. 2221 \$26,563,700 for impacts of the White River Minimum Flows project. Under this legislation, Southwestern also has the authority to collect and disburse receipts for Purchase Power and Wheeling expenses as a result of the implementation of the White River Minimum Flows project. Southwestern has made final payment to the licensee of FERC Project No. 2221 from this account.

CONTINUING FUND, SOUTHWESTERN POWER ADMINISTRATION

A continuing fund maintained from receipts from the sale and transmission of electric power in the Southwestern service area, is available permanently for emergency expenses necessary to ensure continuity of electric service and continuous operation of the facilities. The fund is also available on an ongoing basis to pay for purchase power and wheeling expenses when the Administrator determines that such expenses are necessary to meet contractual obligations for the sale and delivery of power during periods of below-average generation (16 U.S.C. 825s-1 as amended further by Public Law No. 101-101). The fund was last activated in fiscal year 2009 to repair and replace damaged transmission lines due to an ice storm.

CONSTRUCTION, REHABILITATION, OPERATION AND MAINTENANCE, WESTERN  
AREA POWER ADMINISTRATION

For carrying out the functions authorized by title III, section 302(a)(1)(E) of the Act of August 4, 1977 (42 U.S.C. 7152), and other related activities including conservation and renewable resources programs as authorized, including official reception and representation expenses in an amount not to exceed \$1,500; \$299,919,000, to remain available until expended, of which \$292,019,000 shall be derived from the Department of the Interior Reclamation Fund: Provided, That notwithstanding 31 U.S.C. 3302, section 5 of the Flood Control Act of 1944 (16 U.S.C. 825s), and section 1 of the Interior Department Appropriation Act, 1939 (43 U.S.C. 392a), up to \$203,989,000 collected by the Western Area Power Administration from the sale of power and related services shall be credited to this account as discretionary offsetting collections, to remain available until expended, for the sole purpose of funding the annual expenses of the Western Area Power Administration: Provided further, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation estimated at not more than \$95,930,000, of which \$88,030,000 is derived from the Reclamation Fund: Provided further, That notwithstanding 31 U.S.C. 3302, up to \$230,738,000 collected by the Western Area Power Administration pursuant to the Flood Control Act of 1944 and the Reclamation Project Act of 1939 to recover purchase power and wheeling expenses

shall be credited to this account as offsetting collections, to remain available until expended for the sole purpose of making purchase power and wheeling expenditures, including the cost of voluntary participation in state greenhouse gas programs: Provided further, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred (excluding purchase power and wheeling expenses).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 89-5068-0-2-271                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0001 Systems operation and maintenance .....                 | 41          | 57      | 53        |
| 0004 Program direction .....                                 | 40          | 39      | 39        |
| 0005 Utah mitigation and conservation fund .....             | 3           | 3       | .....     |
| 0091 Direct Program by Activities - Subtotal (1 level) ..... | 84          | 99      | 92        |
| 0100 Total operating expenses .....                          | 84          | 99      | 92        |
| 0101 Capital investment .....                                | 19          | 23      | 17        |
| 0799 Total direct obligations .....                          | 103         | 122     | 109       |
| 0802 Purchase Power and Wheeling .....                       | 117         | 308     | 231       |
| 0803 Annual Expenses .....                                   | 161         | 221     | 204       |
| 0804 Other Reimbursable .....                                | 259         | 1,029   | 807       |
| 0809 Reimbursable program activities, subtotal .....         | 537         | 1,558   | 1,242     |
| 0899 Total reimbursable obligations .....                    | 537         | 1,558   | 1,242     |
| 0900 Total new obligations .....                             | 640         | 1,680   | 1,351     |

Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| 1000 Unobligated balance:  |       |       |       |
| 1020 Unobligated balance brought forward, Oct 1 .....              | 310   | 369   | 55    |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | -1    | ..... | ..... |
| 1050 Unobligated balance (total) .....                             | 309   | 369   | 55    |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 7     | 10    | 8     |
| 1101 Appropriation (special or trust fund) .....                   | 89    | 88    | 88    |
| 1160 Appropriation, discretionary (total) .....                    | 96    | 98    | 96    |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | 618   | 1,268 | 1,242 |
| 1701 Change in uncollected payments, Federal sources .....         | -14   | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 604   | 1,268 | 1,242 |
| 1900 Budget authority (total) .....                                | 700   | 1,366 | 1,338 |
| 1930 Total budgetary resources available .....                     | 1,009 | 1,735 | 1,393 |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 369   | 55    | 42    |

Change in obligated balance:

|   |      |        |        |
|---|------|--------|--------|
| Unpaid obligations:   |      |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 315  | 297    | 398    |
| 3010 Obligations incurred, unexpired accounts .....               | 640  | 1,680  | 1,351  |
| 3020 Outlays (gross) .....  | -658 | -1,579 | -1,355 |
| 3050 Unpaid obligations, end of year .....                        | 297  | 398    | 394    |
| Uncollected payments:   |      |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -41  | -27    | -27    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 14   | .....  | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -27  | -27    | -27    |
| Memorandum (non-add) entries:                                     |      |        |        |
| 3100 Obligated balance, start of year .....                       | 274  | 270    | 371    |
| 3200 Obligated balance, end of year .....                         | 270  | 371    | 367    |

Budget authority and outlays, net:

|   |      |       |       |
|---|------|-------|-------|
| Discretionary:                                      |      |       |       |
| 4000 Budget authority, gross .....                  | 700  | 1,366 | 1,338 |
| Outlays, gross:                                     |      |       |       |
| 4010 Outlays from new discretionary authority ..... | 375  | 1,312 | 1,285 |
| 4011 Outlays from discretionary balances .....      | 283  | 267   | 70    |
| 4020 Outlays, gross (total) .....                   | 658  | 1,579 | 1,355 |
| Offsets against gross budget authority and outlays: |      |       |       |
| Offsetting collections (collected) from:            |      |       |       |
| 4030 Federal sources .....                          | -160 | -269  | -262  |

|      |   |      |        |        |
|------|---|------|--------|--------|
| 4033 | Non-Federal sources .....                                       | -458 | -999   | -980   |
| 4040 | Offsets against gross budget authority and outlays (total) .... | -618 | -1,268 | -1,242 |
|      | Additional offsets against gross budget authority only:         |      |        |        |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | 14   |        |        |
| 4070 | Budget authority, net (discretionary) .....                     | 96   | 98     | 96     |
| 4080 | Outlays, net (discretionary) .....                              | 40   | 311    | 113    |
| 4180 | Budget authority, net (total) .....                             | 96   | 98     | 96     |
| 4190 | Outlays, net (total) .....                                      | 40   | 311    | 113    |

The Western Area Power Administration (Western) markets electric power in 15 central and western states from federally-owned power plants operated primarily by the Bureau of Reclamation, the Army Corps of Engineers, and the International Boundary and Water Commission. Western operates and maintains about 17,000 circuit-miles of high-voltage transmission lines, more than 300 substations/switchyards and associated power system controls, and communication and electrical facilities for 15 separate power projects. Western also constructs additions and modifications to existing facilities.

In keeping with statutory requirements, Western's long-term power contracts allow for periodic rate adjustments to ensure that the Federal Government recovers costs of operations, other costs allocated to power, and the capital investment in power facilities, with interest.

Power is sold to wholesale customers such as municipalities, cooperatives, irrigation districts, public utility districts, State and Federal Government agencies, and private utilities. Receipts are deposited in the Reclamation Fund, the Falcon and Amistad Operating and Maintenance Fund, the General Fund, the Colorado River Dam Fund and the Colorado River Basins Power Marketing Fund.

As in past years, the budget continues to provide funding for annual expenses and purchase power and wheeling expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

This account includes appropriations enacted in the American Recovery and Reinvestment Act of 2009 for use by Western Area Power Administration to complete activities authorized in section 402 of the Act.

**Systems Operation and Maintenance.**—Provides essential electrical and communication equipment replacements and upgrades, capitalized moveable equipment, technical services, and supplies and materials necessary for safe reliable operation and cost-effective maintenance of the power systems.

**Purchase Power and Wheeling.**—Provision is made for the payment of wheeling fees and for the purchase of electricity in connection with the distribution of power under contracts with utility companies, including the cost of voluntary participation in state greenhouse gas programs. Customers are encouraged to contract for power and wheeling on their own, or use alternative funding mechanisms, including customer advances, net billing and bill crediting to finance these activities. Ongoing operating services are also available on a reimbursable basis.

**System Construction.**—Western's construction and rehabilitation activity emphasizes replacement and upgrades of existing infrastructure to sustain reliable power delivery to its customers, to contain annual maintenance costs, and to improve overall operational efficiency. Western will continue to participate in joint construction projects with customers to encourage more widespread transmission access.

**Program Direction.**—Provides compensation and all related expenses for the workforce that operates and maintains Western's high-voltage interconnected transmission system (systems operation and maintenance program), and those that plan, design, and supervise the construction of replacements, upgrades and

additions (system construction program) to the transmission facilities.

**Utah Mitigation and Conservation.**—This account is primarily for environmental mitigation expenditures covering fish and wildlife, and recreation resources impacted by the Central Utah Project and the Colorado River Storage Project (CRSP) in the State of Utah.

**Reimbursable Program.**—This program involves services provided by Western to others under various types of reimbursable arrangements. Western will continue to spend out of the Colorado River Dam Fund for operations and maintenance activities associated with the Boulder Canyon Project via a reimbursable arrangement with the Interior Department's Bureau of Reclamation. The Colorado River Dam Fund is a revolving fund operated by the Bureau of Reclamation. Authority for Western to obligate directly from the Colorado River Dam Fund comes from section 104(a) of the Hoover Power Plant Act of 1984.

#### Object Classification (in millions of dollars)

| Identification code 89-5068-0-2-271                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 12          | 18      | 18        |
| 11.5 Other personnel compensation .....                         | 2           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 14          | 19      | 19        |
| 12.1 Civilian personnel benefits .....                          | 4           | 6       | 7         |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 2         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 31          | 30      | 20        |
| 26.0 Supplies and materials .....                               | 1           | 3       | 2         |
| 31.0 Equipment .....  | 10          | 28      | 16        |
| 32.0 Land and structures .....                                  | 38          | 29      | 41        |
| 41.0 Grants, subsidies, and contributions .....                 | 3           | 3       |           |
| 99.0 Direct obligations .....                                   | 103         | 122     | 109       |
| 99.0 Reimbursable obligations .....                             | 537         | 1,558   | 1,242     |
| 99.9 Total new obligations .....                                | 640         | 1,680   | 1,351     |

#### Employment Summary

| Identification code 89-5068-0-2-271                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 146         | 198     | 198       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 970         | 940     | 950       |

#### WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY ACT.

#### Program and Financing (in millions of dollars)

| Identification code 89-4404-0-3-271                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0102 Transmission Infrastructure Program Projects .....         | 1           | 180     | 29        |
| 0811 Reimbursable program activity .....                        |             | 151     |           |
| 0900 Total new obligations .....                                | 1           | 331     | 29        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 169     | 26        |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | 1           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 9           |         |           |
| 1050 Unobligated balance (total) .....                          | 10          | 169     | 26        |
| Budget authority:   |             |         |           |
| Borrowing authority, mandatory:                                 |             |         |           |
| 1400 Borrowing authority .....                                  | 382         | 180     | 29        |
| 1421 Borrowing authority applied to repay debt .....            | -382        |         |           |
| 1440 Borrowing authority, mandatory (total) .....               |             | 180     | 29        |
| Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700 Collected .....  | 9           | 8       | 9         |

WESTERN AREA POWER ADMINISTRATION, BORROWING AUTHORITY, RECOVERY  
ACT.—Continued

## Program and Financing—Continued

| Identification code 89-4404-0-3-271       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1750                                      | Spending auth from offsetting collections, disc (total) .....                 | 9           | 8       | 9         |
|   | Spending authority from offsetting collections, mandatory:                    |             |         |           |
| 1800                                      | Collected .....   | 151         | 151     | .....     |
| 1825                                      | Spending authority from offsetting collections applied to<br>repay debt ..... | .....       | -151    | .....     |
| 1850                                      | Spending auth from offsetting collections, mand (total) .....                 | 151         | .....   | .....     |
| 1900                                      | Budget authority (total) .....  | 160         | 188     | 38        |
| 1930                                      | Total budgetary resources available .....                                     | 170         | 357     | 64        |
|   | Memorandum (non-add) entries:   |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....                              | 169         | 26      | 35        |
| <b>Change in obligated balance:</b>       |   |             |         |           |
|   | Unpaid obligations:   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                              | 126         | 90      | 164       |
| 3010                                      | Obligations incurred, unexpired accounts .....                                | 1           | 331     | 29        |
| 3020                                      | Outlays (gross) .....   | -28         | -257    | -51       |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....                  | -9          | .....   | .....     |
| 3050                                      | Unpaid obligations, end of year .....   | 90          | 164     | 142       |
|   | Memorandum (non-add) entries:   |             |         |           |
| 3100                                      | Obligated balance, start of year .....  | 126         | 90      | 164       |
| 3200                                      | Obligated balance, end of year .....  | 90          | 164     | 142       |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
|   | Discretionary:  |             |         |           |
| 4000                                      | Budget authority, gross .....   | 9           | 8       | 9         |
|   | Outlays, gross:   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                                | 1           | 8       | 9         |
| 4011                                      | Outlays from discretionary balances .....                                     | .....       | 8       | .....     |
| 4020                                      | Outlays, gross (total) .....  | 1           | 16      | 9         |
|   | Offsets against gross budget authority and outlays:                           |             |         |           |
|   | Offsetting collections (collected) from:                                      |             |         |           |
| 4030                                      | Federal sources .....   | -1          | -8      | -9        |
| 4033                                      | Non-Federal sources .....   | -8          | .....   | .....     |
| 4040                                      | Offsets against gross budget authority and outlays (total) ....               | -9          | -8      | -9        |
| 4080                                      | Outlays, net (discretionary) .....  | -8          | 8       | .....     |
|   | Mandatory:  |             |         |           |
| 4090                                      | Budget authority, gross .....   | 151         | 180     | 29        |
|   | Outlays, gross:   |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....                                    | .....       | 46      | 20        |
| 4101                                      | Outlays from mandatory balances .....   | 27          | 195     | 22        |
| 4110                                      | Outlays, gross (total) .....  | 27          | 241     | 42        |
|   | Offsets against gross budget authority and outlays:                           |             |         |           |
|   | Offsetting collections (collected) from:                                      |             |         |           |
| 4123                                      | Non-Federal sources .....   | -151        | -151    | .....     |
| 4180                                      | Budget authority, net (total) .....   | .....       | 29      | 29        |
| 4190                                      | Outlays, net (total) .....  | -132        | 98      | 42        |

The American Recovery and Reinvestment Act of 2009 (the Act) provided Western Area Power Administration (Western) borrowing authority for the purpose of constructing, financing, facilitating, planning, operating, maintaining or studying construction of new or upgraded electric power transmission lines and related facilities with at least one terminus within the area served by Western, and for delivering or facilitating the delivery of power generated by renewable energy resources constructed or reasonably expected to be constructed after the date of enactment. This authority to borrow from the United States Treasury is available to Western on a permanent, indefinite basis, with the amount of borrowing outstanding not to exceed \$3.25 billion at any one time. Western has established a separate program and office to administer the borrowing authority. The Transmission Infrastructure Program will support Western's and the Department of Energy's priorities by facilitating the delivery of renewable energy resources to market.

## Object Classification (in millions of dollars)

| Identification code 89-4404-0-3-271 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
|                                     | Direct obligations:                               |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 1           | .....   | .....     |
| 25.2                                | Other services from non-Federal sources .....     | .....       | 180     | 29        |
| 99.0                                | Direct obligations .....                          | 1           | 180     | 29        |
|                                     | Reimbursable obligations:                         |             |         |           |
| 25.2                                | Other services from non-Federal sources .....     | .....       | 151     | .....     |
| 99.0                                | Reimbursable obligations .....                    | .....       | 151     | .....     |
| 99.9                                | Total new obligations .....                       | 1           | 331     | 29        |

## Employment Summary

| Identification code 89-4404-0-3-271 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 14          | .....   | .....     |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 2           | .....   | .....     |

## EMERGENCY FUND, WESTERN AREA POWER ADMINISTRATION

## Program and Financing (in millions of dollars)

| Identification code 89-5069-0-2-271 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
|                                     | <b>Budgetary Resources:</b>                      |             |         |           |
|                                     | Unobligated balance:                             |             |         |           |
| 1000                                | Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1930                                | Total budgetary resources available .....        | 1           | 1       | 1         |
|                                     | Memorandum (non-add) entries:                    |             |         |           |
| 1941                                | Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

An emergency fund maintained from receipts from the sale and transmission of electric power is available to defray expenses necessary to ensure continuity of service. The fund was last activated in fiscal year 2010 to repair and replace damaged transmission lines due to severe winter storm conditions. This work has since been completed.

## FALCON AND AMISTAD OPERATING AND MAINTENANCE FUND

*For operation, maintenance, and emergency costs for the hydroelectric facilities at the Falcon and Amistad Dams, \$5,330,671, to remain available until expended, and to be derived from the Falcon and Amistad Operating and Maintenance Fund of the Western Area Power Administration, as provided in section 2 of the Act of June 18, 1954 (68 Stat. 255) as amended: Provided, That notwithstanding the provisions of that Act and of 31 U.S.C. 3302, up to \$4,910,671 collected by the Western Area Power Administration from the sale of power and related services from the Falcon and Amistad Dams shall be credited to this account as discretionary offsetting collections, to remain available until expended for the sole purpose of funding the annual expenses of the hydroelectric facilities of these Dams and associated Western Area Power Administration activities: Provided further, That the sum herein appropriated for annual expenses shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation estimated at not more than \$420,000: Provided further, That for purposes of this appropriation, annual expenses means expenditures that are generally recovered in the same year that they are incurred: Provided further, That, for fiscal year 2014 and thereafter, the Administrator of the Western Area Power Administration may accept funds contributed by United States power customers of the Falcon and Amistad Dams for deposit into the Falcon and Amistad Operating and Maintenance Fund, and such funds shall be available for the purpose for which contributed in like manner as if said sums had been specifically appropriated for such purpose: Provided further, That any such funds shall be available without further appropriation and without fiscal year limitation for use by the Commissioner of the United States Section of the International Boundary and Water Commission for the sole purpose of operating, maintaining, repairing, rehabilitating, replacing, or upgrading the hydroelectric facilities at these Dams in accordance with agreements*



reached between the Administrator, Commissioner, and the power customers.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 89–5178–0–2–271                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                     | 3           | 4       | 4         |
| Receipts:   |             |         |           |
| 0220 Falcon and Amistad Operating and Maintenance Fund Receipts ..... | 1           |         |           |
| 0400 Total: Balances and collections .....                            | 4           | 4       | 4         |
| 0799 Balance, end of year .....                                       | 4           | 4       | 4         |

#### Program and Financing (in millions of dollars)

| Identification code 89–5178–0–2–271  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Direct program activity .....   | 4           |         | 1         |
| 0801 Reimbursable program activity - Annual expenses .....                                       |             | 5       | 5         |
| 0900 Total new obligations (object class 25.3) .....   | 4           | 5       | 6         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| 1700 Spending authority from offsetting collections, discretionary: Offsetting collections ..... | 4           | 5       | 6         |
| 1750 Spending auth from offsetting collections, disc (total) .....                               | 4           | 5       | 6         |
| 1900 Budget authority (total) .....  | 4           | 5       | 6         |
| 1930 Total budgetary resources available .....   | 4           | 5       | 6         |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 2           | 3       | 2         |
| 3010 Obligations incurred, unexpired accounts .....  | 4           | 5       | 6         |
| 3020 Outlays (gross) .....   | –3          | –6      | –7        |
| 3050 Unpaid obligations, end of year .....   | 3           | 2       | 1         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 2           | 3       | 2         |
| 3200 Obligated balance, end of year .....  | 3           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   | 4           | 5       | 6         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 2           | 3       | 4         |
| 4011 Outlays from discretionary balances .....   | 1           | 3       | 3         |
| 4020 Outlays, gross (total) .....  | 3           | 6       | 7         |
| Offsets against gross budget authority and outlays:  |             |         |           |
| Offsetting collections (collected) from:   |             |         |           |
| 4033 Non-Federal sources .....   | –4          | –5      | –6        |
| 4190 Outlays, net (total) .....  | –1          | 1       | 1         |

Pursuant to section 2 of the Act of June 18, 1954, as amended, Western Area Power Administration is requesting funding for the Falcon and Amistad Operating and Maintenance Fund to defray operations, maintenance, and emergency (OM&E) expenses for the hydroelectric facilities at Falcon and Amistad Dams on the Rio Grande River. Most of these funds will be made available to the United States Section of the International Boundary and Water Commission through a reimbursable agreement. Within the fund, \$200,000 is for an emergency reserve that will remain unobligated unless unanticipated expenses arise. Revenues in excess of OM&E will be paid to the General Fund to repay the costs of replacements and the original investment with interest. The budget provides funding for annual expenses through discretionary offsetting collections derived from power receipts collected to recover those expenses.

#### Object Classification (in millions of dollars)

| Identification code 89–5178–0–2–271 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 4           | 5       | 6         |

#### COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER ADMINISTRATION

#### Program and Financing (in millions of dollars)

| Identification code 89–4452–0–3–271   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Program direction .....  | 54          | 56      | 58        |
| 0802 Equipment, Contracts and Related Expenses .....  | 94          | 166     | 137       |
| 0900 Total new obligations .....  | 148         | 222     | 195       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 171         | 181     | 181       |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:                                |             |         |           |
| 1700 Collected .....  | 178         | 245     | 204       |
| 1720 Capital transfer of spending authority from offsetting collections to general fund ..... | –20         | –23     | –23       |
| 1750 Spending auth from offsetting collections, disc (total) .....                            | 158         | 222     | 181       |
| 1930 Total budgetary resources available .....  | 329         | 403     | 362       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 181         | 181     | 167       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 54          | 45      | 45        |
| 3010 Obligations incurred, unexpired accounts .....   | 148         | 222     | 195       |
| 3020 Outlays (gross) .....  | –157        | –222    | –195      |
| 3050 Unpaid obligations, end of year .....  | 45          | 45      | 45        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                             | –1          | –1      | –1        |
| 3090 Uncollected pymts, Fed sources, end of year .....  | –1          | –1      | –1        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 53          | 44      | 44        |
| 3200 Obligated balance, end of year .....   | 44          | 44      | 44        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 158         | 222     | 181       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             | 50      | 40        |
| 4011 Outlays from discretionary balances .....  | 157         | 172     | 155       |
| 4020 Outlays, gross (total) .....   | 157         | 222     | 195       |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | –3          | –10     | –10       |
| 4033 Non-Federal sources .....  | –175        | –235    | –194      |
| 4040 Offsets against gross budget authority and outlays (total) ....                          | –178        | –245    | –204      |
| 4070 Budget authority, net (discretionary) .....  | –20         | –23     | –23       |
| 4080 Outlays, net (discretionary) .....   | –21         | –23     | –9        |
| 4180 Budget authority, net (total) .....  | –20         | –23     | –23       |
| 4190 Outlays, net (total) .....   | –21         | –23     | –9        |

Western Area Power Administration's (Western) operation and maintenance (O&M) and power marketing expenses for the Colorado River Storage Project, the Colorado River Basin Project, the Seedskaadee Project, the Dolores Project and the Fort Peck Project are financed from power revenues.

*Colorado River Storage Project.*—Western markets power and operates and maintains the power transmission facilities of the Colorado River Storage Project consisting of four major storage units: Glen Canyon on the Colorado River in Arizona, Flaming Gorge on the Green River in Utah, Navajo on the San Juan River in New Mexico, and the Wayne N. Aspinall unit on the Gunnison River in Colorado.

COLORADO RIVER BASINS POWER MARKETING FUND, WESTERN AREA POWER  
ADMINISTRATION—Continued

**Colorado River Basin Project.**—This project includes Western's expenses associated with the Central Arizona Project and the United States entitlement from the Navajo coal-fired powerplant. Revenues in excess of operating expenses are transferred to the Lower Colorado River Basin Development Fund.

**Seedskadee Project.**—This project includes Western's expenses for O&M, power marketing, and transmission of hydroelectric power from the Fontenelle Dam power plant in southwestern Wyoming.

**Dolores Project.**—This project includes Western's expenses for O&M, power marketing, and transmission of hydroelectric power from power plants at McPhee Dam and Towaoc Canal in southwestern Colorado.

**Fort Peck Project.**—Revenues collected by Western are used to defray operation and maintenance and power marketing expenses associated with the power generation and transmission facilities of the Fort Peck Project, and Western operates and maintains the transmission system and performs power marketing functions.

**Equipment, Contracts and Related Expenses.**—Western operates and maintains approximately 4,000 miles of transmission lines, substations, switchyards, communications and control equipment associated with this fund. Wholesale power is provided to utilities over interconnected high-voltage transmission systems. In keeping with statutory requirements, long-term power contracts provide for periodic rate adjustments to ensure that the Federal Government recovers all costs of O&M, and all capital invested in power, with interest. This activity provides for the supplies, materials, services, capital equipment replacements and additions, including communications and control equipment, purchase power, transmission and wheeling services, and interest payments to the U.S. Treasury.

**Program Direction.**—The personnel compensation and related expenses for all these activities are quantified under Program Direction.

**Balance Sheet** (in millions of dollars)

| Identification code 89-4452-0-3-271             | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>                                  |             |             |
| Federal assets:                                 |             |             |
| 1101 Fund balances with Treasury .....          | 224         | 224         |
| Investments in US securities:                   |             |             |
| 1106 Receivables, net .....                     | 1           | 1           |
| 1206 Non-Federal assets: Receivables, net ..... | 42          | 42          |
| Other Federal assets:                           |             |             |
| 1802 Inventories and related properties .....   | 4           | 4           |
| 1803 Property, plant and equipment, net .....   | 183         | 183         |
| 1901 Other assets .....                         | 28          | 28          |
| 1999 Total assets .....                         | 482         | 482         |
| <b>LIABILITIES:</b>                             |             |             |
| 2105 Federal liabilities: Other .....           | 284         | 284         |
| Non-Federal liabilities:                        |             |             |
| 2201 Accounts payable .....                     | 8           | 8           |
| 2203 Debt .....                                 | 15          | 15          |
| 2207 Other .....                                | 18          | 18          |
| 2999 Total liabilities .....                    | 325         | 325         |
| <b>NET POSITION:</b>                            |             |             |
| 3300 Cumulative results of operations .....     | 157         | 157         |
| 4999 Total liabilities and net position .....   | 482         | 482         |

**Object Classification** (in millions of dollars)

| Identification code 89-4452-0-3-271     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:               |             |         |           |
| Personnel compensation:                 |             |         |           |
| 11.1 Full-time permanent .....          | 27          | 26      | 26        |
| 11.5 Other personnel compensation ..... | 3           | 3       | 3         |

|   |     |     |     |
|---|-----|-----|-----|
| 11.9 Total personnel compensation .....                         | 30  | 29  | 29  |
| 12.1 Civilian personnel benefits .....                          | 10  | 11  | 11  |
| 21.0 Travel and transportation of persons .....                 | 2   | 3   | 3   |
| 22.0 Transportation of things .....                             | 1   | 1   | 1   |
| 23.1 Rental payments to GSA .....                               | 1   | 1   | 1   |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1   | 1   | 1   |
| 25.2 Other services from non-Federal sources .....              | 72  | 142 | 111 |
| 25.3 Other goods and services from Federal sources .....        | 10  | 5   | 5   |
| 26.0 Supplies and materials .....                               | 4   | 3   | 3   |
| 31.0 Equipment .....  | 2   | 3   | 10  |
| 32.0 Land and structures .....                                  | 16  | 13  | 13  |
| 43.0 Interest and dividends .....                               | 10  | 7   | 7   |
| 99.9 Total new obligations .....                                | 148 | 222 | 195 |

**Employment Summary**

| Identification code 89-4452-0-3-271                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 299         | 297     | 295       |

## BONNEVILLE POWER ADMINISTRATION FUND

*Expenditures from the Bonneville Power Administration Fund, established pursuant to Public Law 93-454, are approved for construction of, or participating in the construction of, a high voltage line from Bonneville's high voltage system to the service areas of requirements customers located within Bonneville's service area in southern Idaho, southern Montana, and western Wyoming; and such line may extend to, and interconnect in, the Pacific Northwest with lines between the Pacific Northwest and the Pacific Southwest, and for John Day Reprogramming and Construction, the Columbia River Basin White Sturgeon Hatchery, and Kelt Reconditioning and Reproductive Success Evaluation Research, and, in addition, for official reception and representation expenses in an amount not to exceed \$5,000: Provided, That during fiscal year 2014, no new direct loan obligations may be made.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 89-4045-0-3-271                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0801 Power business line .....                       | 1,288       | 1,278   | 1,274     |
| 0802 Residential exchange .....                      | 204         | 203     | 204       |
| 0803 Bureau of Reclamation .....                     | 89          | 120     | 150       |
| 0804 Corp of Engineers .....                         | 207         | 216     | 231       |
| 0805 Colville settlement .....                       | 20          | 21      | 21        |
| 0806 U.S. Fish & Wildlife .....                      | 22          | 30      | 31        |
| 0807 Planning council .....                          | 9           | 10      | 11        |
| 0808 Fish and Wildlife .....                         | 249         | 246     | 254       |
| 0809 Reimbursable program activities, subtotal ..... | 2,088       | 2,124   | 2,176     |
| 0811 Transmission business line .....                | 303         | 326     | 336       |
| 0812 Conservation and energy efficiency .....        | 72          | 86      | 88        |
| 0813 Interest .....                                  | 335         | 362     | 409       |
| 0814 Pension and health benefits .....               | 34          | 35      | 37        |
| 0819 Reimbursable program activities, subtotal ..... | 744         | 809     | 870       |
| 0821 Power business line .....                       | 214         | 248     | 250       |
| 0822 Transmission services .....                     | 255         | 717     | 746       |
| 0823 Conservation and energy efficiency .....        | 80          | 75      | 75        |
| 0824 Fish and Wildlife .....                         | 58          | 67      | 60        |
| 0825 Capital Equipment .....                         | 42          | 56      | 45        |
| 0826 Projects funded in advance .....                | 305         | 72      | 61        |
| 0827 Capitalized Bond Premiums .....                 | 2           | 2       | 2         |
| 0829 Reimbursable program activities, subtotal ..... | 956         | 1,237   | 1,239     |
| 0900 Total new obligations .....                     | 3,788       | 4,170   | 4,285     |

**Budgetary Resources:**

|   |    |    |      |
|---|----|----|------|
| Unobligated balance:                                  |    |    |      |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 15 | 11 | 964  |
| 1023 Unobligated balances applied to repay debt ..... | —  | —2 | —956 |
| 1050 Unobligated balance (total) .....                | 15 | 9  | 8    |

|  |  |        |        |        |
|--|--|--------|--------|--------|
| Budget authority:  |  |        |        |        |
| Borrowing authority, mandatory:                            |  |        |        |        |
| 1400   | Borrowing authority .....  | 806    | 1,166  | 1,179  |
| 1440   | Borrowing authority, mandatory (total) .....   | 806    | 1,166  | 1,179  |
| Contract authority, mandatory:                             |  |        |        |        |
| 1600   | Contract authority .....   | 1,363  | .....  | .....  |
| 1640   | Contract authority, mandatory (total) .....  | 1,363  | .....  | .....  |
| Spending authority from offsetting collections, mandatory: |  |        |        |        |
| 1800   | Collected .....  | 3,306  | 4,180  | 4,296  |
| 1801   | Change in uncollected payments, Federal sources .....  | 19     | .....  | .....  |
| 1810   | Spending authority from offsetting collections transferred to other accounts [96–3123] ..... | –93    | .....  | .....  |
| 1825   | Spending authority from offsetting collections applied to repay debt .....                   | –329   | –221   | –152   |
| 1826   | Spending authority from offsetting collections applied to liquidate contract authority ..... | –1,288 | .....  | .....  |
| 1850   | Spending auth from offsetting collections, mand (total) .....                                | 1,615  | 3,959  | 4,144  |
| 1900   | Budget authority (total) .....   | 3,784  | 5,125  | 5,323  |
| 1930   | Total budgetary resources available .....  | 3,799  | 5,134  | 5,331  |
| Memorandum (non-add) entries:                              |  |        |        |        |
| 1941   | Unexpired unobligated balance, end of year .....   | 11     | 964    | 1,046  |
| <b>Change in obligated balance:</b>                        |  |        |        |        |
| Unpaid obligations:  |  |        |        |        |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....   | 2,473  | 2,524  | 2,524  |
| 3001   | Adjustments to unpaid obligations, brought forward, Oct 1 .....                              | –91    | .....  | .....  |
| 3010   | Obligations incurred, unexpired accounts .....   | 3,788  | 4,170  | 4,285  |
| 3020   | Outlays (gross) .....  | –3,646 | –4,170 | –4,286 |
| 3050   | Unpaid obligations, end of year .....  | 2,524  | 2,524  | 2,523  |
| Uncollected payments:                                      |  |        |        |        |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                 | –324   | –343   | –343   |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                                    | –19    | .....  | .....  |
| 3090   | Uncollected pymts, Fed sources, end of year .....  | –343   | –343   | –343   |
| Memorandum (non-add) entries:                              |  |        |        |        |
| 3100   | Obligated balance, start of year .....   | 2,058  | 2,181  | 2,181  |
| 3200   | Obligated balance, end of year .....   | 2,181  | 2,181  | 2,180  |
| <b>Budget authority and outlays, net:</b>                  |  |        |        |        |
| Mandatory:   |  |        |        |        |
| 4090   | Budget authority, gross .....  | 3,784  | 5,125  | 5,323  |
| Outlays, gross:  |  |        |        |        |
| 4100   | Outlays from new mandatory authority .....   | 3,640  | 4,070  | 4,186  |
| 4101   | Outlays from mandatory balances .....  | 6      | 100    | 100    |
| 4110   | Outlays, gross (total) .....   | 3,646  | 4,170  | 4,286  |
| Offsets against gross budget authority and outlays:        |  |        |        |        |
| Offsetting collections (collected) from:                   |  |        |        |        |
| 4120   | Federal sources .....  | –36    | –90    | –90    |
| 4121   | Interest on Federal securities .....   | –3     | –3     | –3     |
| 4123   | Non-Federal sources .....  | –3,267 | –4,087 | –4,203 |
| 4130   | Offsets against gross budget authority and outlays (total) ....                              | –3,306 | –4,180 | –4,296 |
| Additional offsets against gross budget authority only:    |  |        |        |        |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....                                    | –19    | .....  | .....  |
| 4160   | Budget authority, net (mandatory) .....  | 459    | 945    | 1,027  |
| 4170   | Outlays, net (mandatory) .....   | 340    | –10    | –10    |
| 4180   | Budget authority, net (total) .....  | 459    | 945    | 1,027  |
| 4190   | Outlays, net (total) .....   | 340    | –10    | –10    |
| <b>Memorandum (non-add) entries:</b>                       |  |        |        |        |
| 5000   | Total investments, SOY: Federal securities: Par value .....                                  | 291    | 395    | 395    |
| 5001   | Total investments, EOY: Federal securities: Par value .....                                  | 395    | 395    | 395    |
| 5052   | Obligated balance, SOY: Contract authority .....   | 1,288  | 1,363  | 1,363  |
| 5053   | Obligated balance, EOY: Contract authority .....   | 1,363  | 1,363  | 1,363  |

**Status of Direct Loans (in millions of dollars)**

| Identification code 89–4045–0–3–271             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year .....           | 2           | 2       | 2         |
| 1290 Outstanding, end of year .....             | 2           | 2       | 2         |

Bonneville Power Administration (BPA) is a Federal electric power marketing agency in the Pacific Northwest. BPA markets hydroelectric power from 21 multipurpose water resource projects of the U.S. Army Corps of Engineers and 10 projects of the U.S. Bureau of Reclamation, plus some energy from non-Federal

generating projects in the region. These generating resources and BPA's transmission system are operated as an integrated power system with operating and financial results combined and reported as the Federal Columbia River Power System (FCRPS). BPA provides about 50 percent of the region's electric energy supply and about three-fourths of the region's high-voltage electric power transmission capacity.

BPA is responsible for meeting the net firm power requirements of its requesting customers through a variety of means, including energy conservation programs, acquisition of renewable and other resources, and power exchanges with utilities both in and outside the region.

BPA will finance its operations with a business-type budget under the Government Corporation Control Act, 31 U.S.C. 9101–10, on the basis of the self-financing authority provided by the Federal Columbia River Transmission System Act of 1974 (Transmission Act) (Public Law 93–454) and the U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Electric Power Planning and Conservation Act (Pacific Northwest Power Act) (Public Law 96–501) for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009 (Public Law 111–5), and other legislation. Authority to borrow from the U.S. Treasury is available to the BPA on a permanent, indefinite basis. The amount of U.S. Treasury borrowing outstanding at any time cannot exceed \$7.70 billion. BPA finances its approximate \$4.3 billion annual cost of operations and investments primarily using power and transmission revenues and loans from the U.S. Treasury.

**Operating Expenses.—Transmission Services.**—Provides for operating over 15,000 circuit miles of high-voltage transmissions lines and 262 substations, and for maintaining the facilities and equipment of the Bonneville transmission system in 2014.

**Power Services.**—Provides for the planning, contractual acquisition and oversight of reliable, cost effective resources. These resources are needed to serve BPA's portion of the region's forecasted net electric load requirements. This activity also includes protection, mitigation and enhancement of fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries in accordance with the Pacific Northwest Power Act. This activity provides for payment of the operation and maintenance (O&M) costs allocated to power the 31 U.S. Army Corps of Engineers and U.S. Bureau of Reclamation hydro projects, amortization on the capital investment in power generating facilities, and irrigation assistance at U.S. Bureau of Reclamation facilities. This activity also provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation. It also provides for extending the benefits of low cost Federal power to the residential and small farm customers of investor-owned and publicly-owned utilities, in accordance with the Pacific Northwest Power Act and for activities of the Pacific Northwest Electric Power and Conservation Planning Council required by the Pacific Northwest Power Act.

**Interest.**—Provides for payments to the U.S. Treasury for interest on U.S. Treasury borrowings to finance BPA's capital investments under \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009, and other legislation. This interest category also includes interest on U.S. Army Corps of Engineers, BPA and U.S. Bureau of Reclamation appropriated debt.

**Capital Investments—Transmission Services.**—Provides for the planning, design and construction of transmission lines, substa-

## BONNEVILLE POWER ADMINISTRATION FUND—Continued

tion and control system additions, replacements, and enhancements to the FCRPS transmission system for a reliable, efficient and cost-effective regional transmission system. Provides for planning, design, and construction work to repair or replace existing transmission lines, substations, control systems, and general facilities of the FCRPS transmission system.

**Power Services.**—Provides for direct funding of additions, improvements, and replacements at existing Federal hydroelectric projects in the Northwest. It also provides for capital investments to implement environmental activities, and protect, mitigate, and enhance fish and wildlife affected by hydroelectric facilities on the Columbia River and its tributaries, in accordance with the Pacific Northwest Power Act. This activity provides for the planning, contractual acquisition and oversight of reliable, cost effective conservation. *Capital Equipment/Capitalized Bond Premium.*—Provides for capital information technologies, and office furniture and equipment, and software capital development in support of all BPA programs. It also provides for bond premiums incurred for refinancing of bonds.

**Total Capital Obligations.**—The 2014 capital obligations are estimated to be \$1.2 billion.

**Contingencies.**—Although contingencies are not specifically funded, the need may arise to provide for purchase of power in low-water years; for repair and/or replacement of facilities affected by natural and man-made emergencies, including the resulting additional costs for contracting, construction, and operation and maintenance work; for unavoidable increased costs for the planned program due to necessary but unforeseen adjustments, including engineering and design changes, contractor and other claims and relocations; or for payment of a retrospective premium adjustment in excess nuclear property insurance.

**Financing.**—The Transmission Act provides for the use by BPA of all receipts, collections, and recoveries in cash from all sources, including the sale of bonds, to finance the annual budget programs of BPA. These receipts result primarily from the sale of power and transmission services. The Transmission Act also provides for authority to borrow from the U.S. Treasury at rates comparable to borrowings at open market rates for similar issues. BPA has \$7.70 billion of U.S. Treasury borrowing authority provided by the Transmission Act, the Pacific Northwest Power Act for energy conservation, renewable energy resources, capital fish facilities, and other purposes, the American Recovery and Reinvestment Act of 2009, and other legislation. The amount of BPA's current outstanding bonds with the U.S. Treasury is \$3.4 billion. BPA also currently has \$6.9 billion of non-Federal debt outstanding, including Energy Northwest bonds. BPA will rely primarily on its U.S. Treasury borrowing authority to finance capital projects, but may also elect to use cash reserves generated by revenues from customers or seek third party financing sources when feasible to finance some of these investments.

In 2012, BPA made payments to the Treasury of \$886 million and also expects to make payments of \$675 million in 2013 and \$671 million in 2014. The 2014 payment will be distributed as follows: interest on bonds and appropriations ( \$449 million), amortization ( \$132 million), and other ( \$89 million). BPA also received credits totaling \$77 million applied against its Treasury payments in 2012 to reflect amounts diverted to fish mitigation efforts, but not allocable to power, in the Columbia and Snake River systems.

BPA, with input from its stakeholders, considers other strategies to sustain funding for its infrastructure investment requirements as well. BPA's recently updated Financial Plan defines strategies and policies for guiding how BPA will manage risk and variability

of electricity markets and water years. It also describes how BPA will continue to manage to ensure it meets its Treasury repayment responsibilities.

**Direct Loans.**—During 2014, no new direct loan obligations may be made.

**Operating Results.**—Total revenues are forecast at approximately \$4.3 billion in 2014.

It should be noted that BPA's revenue forecasts are based on several critical assumptions about both the supply of and demand for Federal energy. During the operating year, deviation from the conditions assumed in a rate case may result in a variation in actual revenues of several hundred million dollars from the forecast.

Consistent with Administration policy, BPA will continue to fully recover, from the sale of electric power and transmission, funds sufficient to cover the full cost of Civil Service Retirement System and Post-Retirement Health Benefits for its employees. The entire cost of BPA and the power share of FCRPS U.S. Army Corps of Engineers and U.S. Bureau of Reclamation employees working under the Federal Employees Retirement System is fully recovered in wholesale electric power and transmission rates.

## Balance Sheet (in millions of dollars)

| Identification code 89-4045-0-3-271             | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>                                  |             |             |
| Federal assets:                                 |             |             |
| 1101 Fund balances with Treasury .....          | 618         | 566         |
| Investments in US securities:                   |             |             |
| 1106 Receivables, net .....                     | 2           | 1           |
| 1206 Non-Federal assets: Receivables, net ..... | 322         | 322         |
| Other Federal assets:                           |             |             |
| 1802 Inventories and related properties .....   | 94          | 99          |
| 1803 Property, plant and equipment, net .....   | 4,962       | 5,228       |
| 1901 Other assets .....                         | 16,136      | 16,828      |
| 1999 Total assets .....                         | 22,134      | 23,044      |
| <b>LIABILITIES:</b>                             |             |             |
| Federal liabilities:                            |             |             |
| 2102 Interest payable .....                     | 60          | 66          |
| 2103 Debt .....                                 | 8,453       | 8,778       |
| Non-Federal liabilities:                        |             |             |
| 2201 Accounts payable .....                     | 394         | 426         |
| 2203 Debt .....                                 | 5,713       | 6,078       |
| 2207 Other .....                                | 7,514       | 7,696       |
| 2999 Total liabilities .....                    | 22,134      | 23,044      |
| 4999 Total liabilities and net position .....   | 22,134      | 23,044      |

## Object Classification (in millions of dollars)

| Identification code 89-4045-0-3-271                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 248         | 280     | 284       |
| 12.1 Civilian personnel benefits .....                          | 131         | 70      | 74        |
| 13.0 Benefits for former personnel .....                        | 34          | 35      | 37        |
| 21.0 Travel and transportation of persons .....                 | 12          | 10      | 10        |
| 22.0 Transportation of things .....                             | 9           | 7       | 4         |
| 23.2 Rental payments to others .....                            | 23          | 22      | 25        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 9           | 8       | 5         |
| 25.1 Advisory and assistance services .....                     | 508         | 507     | 532       |
| 25.2 Other services from non-Federal sources .....              | 1,298       | 1,190   | 1,188     |
| 25.5 Research and development contracts .....                   | 15          | 16      | 16        |
| 26.0 Supplies and materials .....                               | 206         | 658     | 660       |
| 32.0 Land and structures .....                                  | 209         | 83      | 72        |
| 41.0 Grants, subsidies, and contributions .....                 | 779         | 881     | 928       |
| 43.0 Interest and dividends .....                               | 307         | 403     | 450       |
| 99.9 Total new obligations .....                                | 3,788       | 4,170   | 4,285     |

## Employment Summary

| Identification code 89–4045–0–3–271                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 3,037       | 3,175   | 3,100     |

## DEPARTMENTAL ADMINISTRATION

## Federal Funds

## DEPARTMENTAL ADMINISTRATION

*For salaries and expenses of the Department of Energy necessary for departmental administration in carrying out the purposes of the Department of Energy Organization Act (42 U.S.C. 7101 et seq.), including the hire of passenger motor vehicles and official reception and representation expenses not to exceed \$30,000, \$226,580,000, to remain available until September 30, 2015, plus such additional amounts as necessary to cover increases in the estimated amount of cost of work for others notwithstanding the provisions of the Anti-Deficiency Act (31 U.S.C. 1511 et seq.): Provided, That such increases in cost of work are offset by revenue increases of the same or greater amount: Provided further, That moneys received by the Department for miscellaneous revenues estimated to total \$108,188,000 in fiscal year 2014 may be retained and used for operating expenses within this account, as authorized by section 201 of Public Law 95–238, notwithstanding the provisions of 31 U.S.C. 3302: Provided further, That the sum herein appropriated shall be reduced as collections are received during the fiscal year so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$118,392,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 89–0228–0–1–276                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0002 Office of Policy and International Affairs .....              | 25          | 28      | 26        |
| 0003 Chief Information Officer .....                               |             | 1       |           |
| 0004 Office of Congressional and Intergovernmental Affairs .....   | 5           | 5       | 5         |
| 0005 Office of Public Affairs .....                                | 4           | 4       | 4         |
| 0006 General Counsel .....   | 31          | 33      | 32        |
| 0007 Office of the Secretary .....                                 | 5           | 6       | 6         |
| 0008 Economic Impact and Diversity .....                           | 6           | 8       | 10        |
| 0009 Chief Financial Officer .....                                 | 20          | 28      | 24        |
| 0010 Management .....  | 61          | 55      | 55        |
| 0011 Human Capital Management .....                                | 25          | 24      | 23        |
| 0012 Indian Energy Policy .....                                    | 3           | 4       | 3         |
| 0013 Recovery Act .....  | 33          |         |           |
| 0100 Total, direct programs .....                                  | 218         | 196     | 188       |
| 0799 Total direct obligations .....                                | 218         | 196     | 188       |
| 0801 Reimbursable program .....                                    | 46          | 46      | 46        |
| 0900 Total new obligations .....                                   | 264         | 242     | 234       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 66          | 44      | 41        |
| 1021 Recoveries of prior year unpaid obligations .....             | 5           |         |           |
| 1050 Unobligated balance (total) .....                             | 71          | 44      | 41        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 129         | 131     | 118       |
| 1160 Appropriation, discretionary (total) .....                    | 129         | 131     | 118       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 108         | 108     | 108       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 108         | 108     | 108       |
| 1900 Budget authority (total) .....                                | 237         | 239     | 226       |
| 1930 Total budgetary resources available .....                     | 308         | 283     | 267       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 44          | 41      | 33        |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 133  | 127  | 80   |
| 3010 Obligations incurred, unexpired accounts .....               | 264  | 242  | 234  |
| 3020 Outlays (gross) .....  | –265 | –289 | –260 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –5   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 127  | 80   | 54   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 133  | 127  | 80   |
| 3200 Obligated balance, end of year .....                         | 127  | 80   | 54   |

## Budget authority and outlays, net:

|  |      |      |      |
|--|------|------|------|
| Discretionary:   |      |      |      |
| 4000 Budget authority, gross .....                                   | 237  | 239  | 226  |
| Outlays, gross:  |      |      |      |
| 4010 Outlays from new discretionary authority .....                  | 146  | 197  | 186  |
| 4011 Outlays from discretionary balances .....                       | 119  | 92   | 74   |
| 4020 Outlays, gross (total) .....                                    | 265  | 289  | 260  |
| Offsets against gross budget authority and outlays:                  |      |      |      |
| Offsetting collections (collected) from:                             |      |      |      |
| 4030 Federal sources .....   | –38  | –38  | –38  |
| 4033 Non-Federal sources .....                                       | –70  | –70  | –70  |
| 4040 Offsets against gross budget authority and outlays (total) .... | –108 | –108 | –108 |
| 4070 Budget authority, net (discretionary) .....                     | 129  | 131  | 118  |
| 4080 Outlays, net (discretionary) .....                              | 157  | 181  | 152  |
| 4180 Budget authority, net (total) .....                             | 129  | 131  | 118  |
| 4190 Outlays, net (total) .....                                      | 157  | 181  | 152  |

**Chief Financial Officer (CFO).**—The Office of the Chief Financial Officer provides the Department with centralized oversight for a full range of financial management and program evaluation services. CFO financial activities include: budget formulation, presentation and execution; accounting and financial policy; oversight of DOE-wide internal control; and development, maintenance and operation of DOE financial management systems. Management activities include strategic planning, program evaluation, performance management, and special analysis.

**Chief Information Officer (CIO).**—The Office of the Chief Information Officer provides advice and assistance to the Secretary of Energy and other senior managers to ensure that information technology is acquired and information resources are managed in a manner that complies with policies and procedures of legislation including the Paperwork Reduction Act, the Clinger Cohen Act and the Federal Information Security Act.

**Policy and International Affairs (PI).**—The Office of Policy and International Affairs serves as the primary advisor to the Secretary and the Department on energy supply, demand, and technology policy development, analysis and implementation, and leads the Department's international energy initiatives. PI's objectives are: increasing energy diversity; reducing energy-related environmental impacts; enhancing U.S. energy infrastructure; and increasing energy productivity.

**Management (MA).**—The Office of Management provides DOE with centralized direction and oversight for the full range of management, procurement and administrative services. MA is responsible for project and contract management policy development and oversight, acquisition and contract administration, cost estimating, and delivery of procurement services to DOE headquarters organizations. MA's administrative activities include the management of headquarters facilities and the delivery of other services critical to the proper functions of the Department.

**Chief Human Capital Officer (HC).**—The Office of the Chief Human Capital Officer (OCHCO) provides leadership to the Department on the impact and use of policies, proposals, programs, partnership agreements and relationships related to all aspects of human capital management. OCHCO seeks solutions that address workforce issues in the areas of recruiting, hiring, motivating, succession planning, competency development, training

## DEPARTMENTAL ADMINISTRATION—Continued

and learning, retention, and diversity. OCHCO also provides leadership and direction on DOE human capital issues with the Office of Personnel Management (OPM), Government Accountability Office (GAO), the Merit Systems Protection Board (MSPB), Federal Labor Relations Authority (FLRA), and other organizations.

**Congressional and Intergovernmental Affairs (CI).**—The Office of Congressional and Intergovernmental Affairs is responsible for the Department's liaison, communication, coordinating, directing, and promoting the Secretary's and the Department's policies and legislative initiatives with Congress, State, territorial, Tribal and local government officials, other Federal agencies, and the general public.

**Indian Energy Policy and Programs (IE).**—The Office of Indian Energy Policy and Programs is charged to direct, foster, coordinate, and implement energy planning, education, management, and programs that assist tribes with energy development, capacity building, energy infrastructure, energy costs, and electrification of Indian lands and homes. Indian Energy coordinates programmatic activity across the Department related to development of energy resources on Indian lands, and works with other federal government agencies, Indian tribes and tribal organizations to promote Indian energy policies and initiatives.

**Public Affairs (PA).**—The Office of Public Affairs is responsible for directing and managing the Department's policies and initiatives with the public, news media, and other stakeholders on energy issues. The Office serves as the Department's chief spokesperson with the news media, shapes initiatives aimed at educating the press and public about energy issues, builds and maintains the Department's innovative and cost-saving Energy.gov internet platform, and oversees all public affairs efforts. This includes public information, press and media services, employee communications, speech writing, special projects, editorial services, and review of proposed publications and audiovisuals. The Office is also leading a cost-saving effort to help upgrade the Department's digital communications and website efforts, reducing costly duplications while improving transparency and customer service to the public.

**General Counsel (GC).**—The Office of the General Counsel is responsible for providing legal services to all DOE offices, and for determining the Department's authoritative position on any question of law with respect to all DOE offices and programs, except for those belonging exclusively to the Federal Energy Regulatory Commission. GC's responsibilities include the provision of legal opinions, advice and services to administrative and program offices, and participation in or management of both administrative and judicial litigation. The office is responsible for the coordination and clearance of proposed legislation affecting energy policy and Department activities. GC serves as the Department's Regulatory Policy Officer under Executive Order 12866; administers and monitors standards of conduct requirements; conducts patent program and intellectual property activities; and coordinates DOE rulemaking actions with other federal agencies. GC also includes the Office of National Environmental Policy Act (NEPA) Policy and Compliance, which provides independent technical and policy reviews to ensure that proposed Department actions comply with NEPA and related environmental requirements. GC also includes the Office of Standard Contract Management, which manages standard contracts between nuclear utilities and the government according to the Nuclear Waste Policy Act of 1982, as amended.

**Office of the Secretary (OSE).**—Directs and leads the management of the Department and provides policy guidance to line and staff organizations in the accomplishment of DOE's mission.

**Economic Impact and Diversity (ED).**—The Office of Economic Impact and Diversity develops and executes Department-wide policies to implement applicable legislation and Executive Orders that strengthen diversity goals affecting equal employment opportunities, small and disadvantaged businesses, minority banks, minority educational institutions, and historically underrepresented communities. The mission is to identify and implement ways of ensuring that underrepresented population groups are afforded an opportunity to participate fully in the energy programs of the Department, including promoting and increasing prime contracting, subcontracting, and energy technology opportunities for small businesses in the acquisition process and technology programs. ED serves as a strong advocate for equal employment opportunities, civil rights concerns, and non-discriminatory practices at the Department. In addition, the Office is charged with creating and sustaining a high performing, inclusive workforce by leveraging diversity and empowering all employees to achieve superior results.

**Cost of Work for Others.**—This activity covers the cost of work performed under orders placed with the Department by non-DOE entities which are precluded by law from making advance payments and certain revenue programs. Reimbursement for these costs is made through deposits of offsetting collections to this account.

## Object Classification (in millions of dollars)

| Identification code 89-0228-0-1-276                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 67          | 88      | 87        |
| 11.3 Other than full-time permanent .....                       | 10          | 5       | 5         |
| 11.5 Other personnel compensation .....                         | 1           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 78          | 95      | 94        |
| 12.1 Civilian personnel benefits .....                          | 20          | 22      | 22        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            |             | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 21          | 16      | 15        |
| 25.2 Other services from non-Federal sources .....              | 25          | 13      | 13        |
| 25.3 Other goods and services from Federal sources .....        | 29          | 19      | 18        |
| 25.4 Operation and maintenance of facilities .....              | 30          | 25      | 20        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 44.0 Refunds .....  | 10          |         |           |
| 99.0 Direct obligations .....                                   | 218         | 196     | 188       |
| 99.0 Reimbursable obligations .....                             | 46          | 46      | 46        |
| 99.9 Total new obligations .....                                | 264         | 242     | 234       |

## Employment Summary

| Identification code 89-0228-0-1-276                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 958         | 785     | 885       |

## OFFICE OF THE INSPECTOR GENERAL

*For necessary expenses of the Office of the Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$42,120,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 89–0236–0–1–276       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Direct program activity .....                    | 42          | 48      | 48        |
| 0002                                      | Recovery Act Activities .....                    | 4           | .....   | .....     |
| 0900                                      | Total new obligations .....                      | 46          | 48      | 48        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 ..... | 29          | 25      | 19        |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                              | 42          | 42      | 42        |
| 1160                                      | Appropriation, discretionary (total) .....       | 42          | 42      | 42        |
| 1930                                      | Total budgetary resources available .....        | 71          | 67      | 61        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 25          | 19      | 13        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 7           | 7       | 6         |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 46          | 48      | 48        |
| 3020                                      | Outlays (gross) .....                            | –46         | –49     | –45       |
| 3050                                      | Unpaid obligations, end of year .....            | 7           | 6       | 9         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 7           | 7       | 6         |
| 3200                                      | Obligated balance, end of year .....             | 7           | 6       | 9         |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                    | 42          | 42      | 42        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....   | 23          | 36      | 36        |
| 4011                                      | Outlays from discretionary balances .....        | 23          | 13      | 9         |
| 4020                                      | Outlays, gross (total) .....                     | 46          | 49      | 45        |
| 4180                                      | Budget authority, net (total) .....              | 42          | 42      | 42        |
| 4190                                      | Outlays, net (total) .....                       | 46          | 49      | 45        |

This appropriation provides Department-wide, including the National Nuclear Security Administration and the Federal Energy Regulatory Commission, audit, inspection, and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, abuse and violations of law. The audit function provides financial and performance audits of programs and operations. The inspection function provides independent inspections and analyses of the performance, on a system basis, of programs and operations. The investigative function provides for the detection and investigation of improper and illegal activities involving programs, personnel, and operations. Through these efforts the OIG identifies opportunities for cost savings and operational efficiencies; identifies programs that are not meeting performance expectations; recovers monies to the Department and the Treasury as a result of civil and criminal prosecutions; and identifies ways to make Departmental programs safer and more secure.

**Object Classification** (in millions of dollars)

| Identification code 89–0236–0–1–276 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                           | 25          | 28      | 28        |
| 11.3                                | Other than full-time permanent .....                | 1           | .....   | .....     |
| 11.5                                | Other personnel compensation .....                  | 2           | 2       | 2         |
| 11.9                                | Total personnel compensation .....                  | 28          | 30      | 30        |
| 12.1                                | Civilian personnel benefits .....                   | 9           | 10      | 10        |
| 21.0                                | Travel and transportation of persons .....          | 2           | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....       | 4           | 3       | 3         |
| 25.3                                | Other goods and services from Federal sources ..... | 3           | 2       | 2         |
| 26.0                                | Supplies and materials .....                        | .....       | 1       | 1         |

|      |                             |    |    |    |
|------|-----------------------------|----|----|----|
| 99.9 | Total new obligations ..... | 46 | 48 | 48 |
|------|-----------------------------|----|----|----|

**Employment Summary**

| Identification code 89–0236–0–1–276 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 270         | 270     | 270       |

**WORKING CAPITAL FUND****Program and Financing** (in millions of dollars)

| Identification code 89–4563–0–4–276                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0801   | Payroll and other personnel .....                             | 8           | 9       | 9         |
| 0802   | Project management and career development program .....       | 1           | 2       | 2         |
| 0810   | Supplies .....  | 3           | 4       | 3         |
| 0811   | Postage .....   | 3           | 5       | 4         |
| 0812   | Photocopying .....  | 3           | 3       | 3         |
| 0813   | Printing and graphics .....                                   | 3           | 4       | 4         |
| 0814   | Building rental, operations & maintenance .....               | 96          | 102     | 96        |
| 0815   | iManage .....   | 25          | 19      | 35        |
| 0817   | Internal control/Financial Statement Audit .....              | 10          | 15      | 13        |
| 0818   | Procurement Management .....                                  | 16          | 17      | 17        |
| 0820   | Telecommunication .....                                       | 19          | 30      | 30        |
| 0821   | Overseas Representation .....                                 | .....       | 15      | 15        |
| 0822   | Interagency Transfers to GSA .....                            | .....       | 6       | 6         |
| 0823   | Health Services .....   | 1           | 2       | 2         |
| 0824   | CyberOne .....  | .....       | 40      | 40        |
| 0825   | Corporate Training Services .....                             | 1           | .....   | .....     |
| 0900   | Total new obligations .....                                   | 189         | 273     | 279       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 28          | 43      | 31        |
| Budget authority:  |   |             |         |           |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 204         | 261     | 279       |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 204         | 261     | 279       |
| 1930   | Total budgetary resources available .....                     | 232         | 304     | 310       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 43          | 31      | 31        |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 57          | 58      | 5         |
| 3010   | Obligations incurred, unexpired accounts .....                | 189         | 273     | 279       |
| 3020   | Outlays (gross) .....   | –188        | –326    | –278      |
| 3050   | Unpaid obligations, end of year .....                         | 58          | 5       | 6         |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 57          | 58      | 5         |
| 3200   | Obligated balance, end of year .....                          | 58          | 5       | 6         |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 204         | 261     | 279       |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 108         | 251     | 268       |
| 4011   | Outlays from discretionary balances .....                     | 80          | 75      | 10        |
| 4020   | Outlays, gross (total) .....                                  | 188         | 326     | 278       |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –204        | –261    | –279      |
| 4190   | Outlays, net (total) .....                                    | –16         | 65      | –1        |

The Department's Working Capital Fund (WCF) provides the following shared services: rent and building operations, telecommunications, cyber-security, automated office systems including the Standard Accounting and Reporting System, Strategic Integrated Procurement Enterprise System, payroll and personnel processing, administrative services, training and health services, overseas representation, procurement management, audits, and controls for financial reporting. The WCF helps the Department reduce waste and improve efficiency.

**WORKING CAPITAL FUND—Continued**  
**Object Classification** (in millions of dollars)

| Identification code 89-4563-0-4-276                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 6           | 11      | 11        |
| 11.5 Other personnel compensation .....                         | 1           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 7           | 13      | 13        |
| 12.1 Civilian personnel benefits .....                          | 2           | 3       | 3         |
| 21.0 Travel and transportation of persons .....                 |             | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 60          | 60      | 60        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 23          | 68      | 73        |
| 24.0 Printing and reproduction .....                            | 3           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 1           | 20      | 20        |
| 25.2 Other services from non-Federal sources .....              | 85          | 66      | 67        |
| 25.3 Other goods and services from Federal sources .....        | 5           | 33      | 33        |
| 25.6 Medical care .....   | 3           | 2       | 2         |
| 26.0 Supplies and materials .....                               |             | 5       | 5         |
| 99.9 Total new obligations .....                                | 189         | 273     | 279       |

**Employment Summary**

| Identification code 89-4563-0-4-276                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 38          | 38      | 38        |

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 89-089400 Fees and Recoveries, Federal Energy Regulatory Commission .....                        | 33          | 27      | 26        |
| 89-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....             | 1           | 1       | 1         |
| 89-223000 Oil and Gas Sale Proceeds at NPRs. ....  | 5           | 1       | 1         |
| 89-224500 Sale and Transmission of Electric Energy, Falcon Dam .....                             | 1           | 2       | 1         |
| 89-224700 Sale and Transmission of Electric Energy, Southwestern Power Administration .....      | 33          | 84      | 84        |
| 89-224800 Sale and Transmission of Electric Energy, Southeastern Power Administration .....      | 112         | 155     | 196       |
| 89-224900 Sale of Power and Other Utilities, not Otherwise Classified .....                      | 62          | 30      | 30        |
| 89-279530 DOE ATVM Direct Loans Downward Reestimate Account .....                                | 1,131       | 919     |           |
| 89-279730 DOE Loan Guarantees Downward Reestimate Account .....                                  |             | 58      |           |
| 89-288900 Repayments on Miscellaneous Recoverable Costs, not Otherwise Classified .....          | 33          | 35      | 37        |
| 89-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 173         | 14      | 14        |
| General Fund Offsetting receipts from the public .....   | 1,584       | 1,326   | 390       |
| Intragovernmental payments:  |             |         |           |
| 89-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... | 7           | 7       | 7         |
| General Fund Intragovernmental payments .....  | 7           | 7       | 7         |

**GENERAL PROVISIONS—DEPARTMENT OF ENERGY**

(INCLUDING CANCELLATION AND TRANSFER OF FUNDS)

*SEC. 301. The unexpended balances of prior appropriations provided for activities in this Act may be available to the same appropriation ac-*

*counts for such activities established pursuant to this title. Available balances may be merged with funds in the applicable established accounts and thereafter may be accounted for as one fund for the same time period as originally enacted.*

*SEC. 302. Funds appropriated by this or any other Act, or made available by the transfer of funds in this Act, for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of the Intelligence Authorization Act for fiscal year 2014.*

*SEC. 303. Not to exceed 5 percent, or \$100,000,000, of any appropriation, whichever is less, made available for Department of Energy activities funded in this Act or subsequent Energy and Water Development and Related Agencies Appropriations Acts may be transferred between such appropriations, but no such appropriation, except as otherwise provided, shall be increased or decreased by more than 5 percent by any such transfers, and any such proposed transfers shall be submitted promptly to the Committees on Appropriations of the House and Senate.*

*SEC. 304. None of the funds made available in this title shall be used for the construction of facilities classified as high-hazard nuclear facilities under 10 CFR Part 830 unless independent oversight is conducted by the Office of Health, Safety, and Security to ensure the project is in compliance with nuclear safety requirements.*

*SEC. 305. None of the funds made available in this title may be used to approve critical decision-2 or critical decision-3 under Department of Energy Order 413.3B, or any successive departmental guidance, for construction projects where the total project cost exceeds \$100,000,000, until a separate independent cost estimate has been developed for the project for that critical decision.*

*SEC. 306. (a) The set-asides included in Division C of Public Law 111-8 for projects specified in the explanatory statement accompanying that Act in the following accounts shall not apply to such funds: "Defense Environmental Cleanup", "Electricity Delivery and Energy Reliability", "Energy Efficiency and Renewable Energy", "Fossil Energy Research and Development", "Non-Defense Environmental Cleanup", "Nuclear Energy", "Other Defense Activities", and "Science". (b) The set-asides included in Public Law 111-85 for projects specified in the explanatory statement accompanying that Act in the following accounts shall not apply to such funds: "Electricity Delivery and Energy Reliability", "Energy Efficiency and Renewable Energy", "Fossil Energy Research and Development", "Nuclear Energy", and "Science".*

*SEC. 307. The Secretary of Energy may transfer up to \$48,000,000 from any appropriation or combination of appropriations made available to the Department of Energy in this or prior Acts to any other appropriation, for the purpose of carrying out domestic uranium enrichment research, development, and demonstration activities: Provided, That any transfer pursuant to this section does not transfer funds from the national defense (050) budget function to any other budget function, or from any other budget function to the national defense (050) budget function.*

**TITLE V—GENERAL PROVISIONS**

*SEC. 501. Notwithstanding the limitations contained in section 306(g) of the Denali Commission Act of 1998, the Denali Commission may use amounts transferred pursuant to section 329 of the Department of Transportation and Related Agencies Appropriations Act, 1999, for administrative expenses.*

*SEC. 502. None of the funds made available by this Act may be used in contravention of Executive Order No. 12898 of February 11, 1994 ("Federal Actions to Address Environmental Justice in Minority Populations and Low-Income Populations").*



# DEPARTMENT OF HEALTH AND HUMAN SERVICES

## FOOD AND DRUG ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Food and Drug Administration, including hire and purchase of passenger motor vehicles; for payment of space rental and related costs pursuant to Public Law 92–313 for programs and activities of the Food and Drug Administration which are included in this Act; for rental of special purpose space in the District of Columbia or elsewhere; for miscellaneous and emergency expenses of enforcement activities, authorized and approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$25,000; and notwithstanding section 521 of Public Law 107–188; \$3,957,738,000: Provided, That, of the amount provided under this heading, \$760,000,000 shall be derived from prescription drug user fees authorized by 21 U.S.C. 379h, and shall be credited to this account and remain available until expended; \$114,833,000 shall be derived from medical device user fees authorized by 21 U.S.C. 379j, and shall be credited to this account and shall remain available until expended; \$534,000,000 shall be derived from tobacco product user fees authorized by 21 U.S.C. 387s, and shall be credited to this account and remain available until expended: Provided further, That, in addition to and notwithstanding any other provision under this heading, amounts collected for prescription drug user fees and medical device user fees that exceed the respective fiscal year 2014 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from prescription drug, medical device, human generic drug, and biosimilar biological product assessments for fiscal year 2014, including any such fees collected prior to fiscal year 2014 but credited for fiscal year 2014, shall be subject to the fiscal year 2014 limitations: Provided further, That the Secretary may, prior to the due date for such fees, accept payment during fiscal year 2014 of user fees specified under this heading and authorized for fiscal year 2015, and that amounts of such fiscal year 2015 fees for which the Secretary accepts payment during fiscal year 2014 shall not be included in amounts provided under this heading: Provided further, That not to exceed \$25,000 of this amount shall be for official reception and representation expenses, not otherwise provided for, as determined by the Commissioner.

In addition, human generic drug user fees authorized by 21 U.S.C. 379j-42, biosimilar biological product fees authorized by 21 U.S.C. 379j-52, mammography user fees authorized by 42 U.S.C. 263b, export certification user fees authorized by 21 U.S.C. 381, priority review user fees authorized by 21 U.S.C. 360n, and food and feed recall and reinspection fees authorized by 21 U.S.C. 379j-31 shall be credited to this account, to remain available until expended.

#### BUILDINGS AND FACILITIES

For plans, construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of or used by the Food and Drug Administration, where not otherwise provided, \$8,788,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 75–9911–0–1–554                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               |             |         |           |
| Receipts:   |             |         |           |
| 0220 Cooperative Research and Development Agreements, FDA ..... | 2           | 2       | 2         |
| 0400 Total: Balances and collections .....                      | 2           | 2       | 2         |
| Appropriations:   |             |         |           |
| 0500 Salaries and Expenses .....                                | –2          | –2      | –2        |
| 0799 Balance, end of year .....                                 |             |         |           |

#### Program and Financing (in millions of dollars)

| Identification code 75–9911–0–1–554   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Foods .....  | 866         | 864     | 883       |
| 0002 Drugs .....  | 828         | 481     | 466       |
| 0003 Devices and radiological products .....  | 323         | 325     | 321       |
| 0004 National Center for Toxicological Research .....   | 60          | 60      | 59        |
| 0005 Other activities .....   | 154         | 163     | 173       |
| 0006 Other rent and rent related activities .....   | 106         | 107     | 133       |
| 0007 Rental payments .....  | 160         | 161     | 162       |
| 0008 Buildings and facilities .....   | 9           | 9       | 9         |
| 0009 CRADAs .....   | 2           | 2       | 2         |
| 0010 Animal Drugs and Feed .....  |             | 138     | 141       |
| 0011 Biologics .....  |             | 213     | 211       |
| 0799 Total direct obligations .....   | 2,508       | 2,523   | 2,560     |
| 0801 Reimbursable program (User fees) .....   | 1,086       | 1,686   | 1,788     |
| 0802 Reimbursable program (Federal sources) .....   |             | 49      | 49        |
| 0899 Total reimbursable obligations .....   | 1,086       | 1,735   | 1,837     |
| 0900 Total new obligations .....  | 3,594       | 4,258   | 4,397     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 581         | 854     | 854       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 581         | 854     |           |
| 1021 Recoveries of prior year unpaid obligations .....  | 1           |         |           |
| 1050 Unobligated balance (total) .....  | 582         | 854     | 854       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 2,506       | 2,521   | 2,558     |
| 1160 Appropriation, discretionary (total) .....   | 2,506       | 2,521   | 2,558     |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....  | 2           | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....  | 2           | 2       | 2         |
| Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700 Collected .....  | 1,275       | 1,416   | 1,837     |
| 1701 Change in uncollected payments, Federal sources .....  | 19          |         |           |
| 1702 Offsetting collections (previously unavailable) .....  | 388         | 324     | 333       |
| 1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) ..... | –324        | –324    | –333      |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 1,358       | 1,416   | 1,837     |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1800 Collected .....  |             | 319     |           |
| 1850 Spending auth from offsetting collections, mand (total) .....  |             | 319     |           |
| 1900 Budget authority (total) .....   | 3,866       | 4,258   | 4,397     |
| 1930 Total budgetary resources available .....  | 4,448       | 5,112   | 5,251     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 854         | 854     | 854       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 1,459       | 1,663   | 1,641     |
| 3010 Obligations incurred, unexpired accounts .....   | 3,594       | 4,258   | 4,397     |
| 3011 Obligations incurred, expired accounts .....   | 5           |         |           |
| 3020 Outlays (gross) .....  | –3,330      | –4,280  | –4,354    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | –1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –64         |         |           |
| 3050 Unpaid obligations, end of year .....  | 1,663       | 1,641   | 1,684     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –46         | –44     | –44       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....  | –19         |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....  | 21          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....  | –44         | –44     | –44       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 1,413       | 1,619   | 1,597     |
| 3200 Obligated balance, end of year .....   | 1,619       | 1,597   | 1,640     |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 3,864       | 3,937   | 4,395     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 2,420       | 2,888   | 3,331     |
| 4011 Outlays from discretionary balances .....  | 908         | 1,071   | 1,021     |
| 4020 Outlays, gross (total) .....   | 3,328       | 3,959   | 4,352     |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 75–9911–0–1–554                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –49         | –49     | –49       |
| 4033 Non-Federal sources .....                                       | –1,252      | –1,367  | –1,788    |
| 4040 Offsets against gross budget authority and outlays (total) .... | –1,301      | –1,416  | –1,837    |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –19         |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 26          |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | 7           |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 2,570       | 2,521   | 2,558     |
| 4080 Outlays, net (discretionary) .....                              | 2,027       | 2,543   | 2,515     |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 2           | 321     | 2         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 2           | 321     | 2         |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4123 Non-Federal sources .....                                       |             | –319    |           |
| 4180 Budget authority, net (total) .....                             | 2,572       | 2,523   | 2,560     |
| 4190 Outlays, net (total) .....                                      | 2,029       | 2,545   | 2,517     |

## Memorandum (non-add) entries:

|   |     |     |     |
|---|-----|-----|-----|
| 5090 Unavailable balance, SOY: Offsetting collections ..... | 413 | 349 | 349 |
| 5091 Unavailable balance, EOY: Offsetting collections ..... | 349 | 349 | 349 |

[In millions of dollars]

|  | 2012 | 2013 | 2014 |
|--|------|------|------|
| Distribution of discretionary budget authority by account: |      |      |      |
| Salaries and expenses .....                                | 2497 | 2512 | 2549 |
| Buildings and facilities .....                             | 9    | 9    | 9    |
| Distribution of discretionary outlays by account:          |      |      |      |
| Salaries and expenses .....                                | 2018 | 2534 | 2506 |
| Buildings and facilities .....                             | 9    | 9    | 9    |

The Food and Drug Administration (FDA) is responsible for protecting the public health by ensuring the safety, effectiveness, and security of human and veterinary drugs, biological products and medical devices; ensuring the safety of foods, cosmetics, and radiation-emitting products; and regulating tobacco products. FDA also advances public health by helping to speed innovations that make medicines and devices safer and more effective. The agency strives to provide the public with accurate, science-based information necessary to use medical products and foods to maintain and improve their health. FDA also has responsibility for regulating the manufacturing, marketing and distribution of tobacco products to protect the public health and to reduce tobacco use by minors. Finally, FDA plays a significant role in the Nations counterterrorism efforts. FDA fulfills this responsibility by ensuring the security of the food supply and by fostering the development of medical products to respond to intentional and natural public health threats. The Budget includes funding for counterterrorism activities that specifically relate to the protection of products or therapies regulated by the FDA (such as drugs, vaccines, foods, and animal feed), and the availability of medical products for public health preparedness in the event of an attack. Specifically, the Budget requests funding for food protection, drug safety, medical device review and safety, developing medical countermeasures, and headquarters consolidation in White Oak, Maryland.

## Object Classification (in millions of dollars)

| Identification code 75–9911–0–1–554       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                       |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 757         | 719     | 787       |
| 11.3 Other than full-time permanent ..... | 101         | 97      | 106       |
| 11.5 Other personnel compensation .....   | 54          | 51      | 56        |
| 11.7 Military personnel .....             | 60          | 57      | 61        |

|   |       |       |       |
|---|-------|-------|-------|
| 11.8 Special personal services payments .....                   | 1     | 1     | 1     |
| 11.9 Total personnel compensation .....                         | 973   | 925   | 1,011 |
| 12.1 Civilian personnel benefits .....                          | 267   | 254   | 278   |
| 12.2 Military personnel benefits .....                          | 32    | 30    | 32    |
| 21.0 Travel and transportation of persons .....                 | 47    | 51    | 49    |
| 22.0 Transportation of things .....                             | 4     | 5     | 5     |
| 23.1 Rental payments to GSA .....                               | 161   | 161   | 162   |
| 23.2 Rental payments to others .....                            | 3     | 3     | 3     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 37    | 40    | 38    |
| 24.0 Printing and reproduction .....                            | 3     | 3     | 3     |
| 25.1 Advisory and assistance services .....                     | 47    | 50    | 48    |
| 25.2 Other services from non-Federal sources .....              | 359   | 384   | 340   |
| 25.3 Other goods and services from Federal sources .....        | 226   | 242   | 233   |
| 25.4 Operation and maintenance of facilities .....              | 57    | 61    | 59    |
| 25.5 Research and development contracts .....                   | 33    | 35    | 34    |
| 25.7 Operation and maintenance of equipment .....               | 48    | 52    | 50    |
| 26.0 Supplies and materials .....                               | 42    | 46    | 44    |
| 31.0 Equipment .....  | 54    | 58    | 56    |
| 32.0 Land and structures .....                                  | 4     | 5     | 5     |
| 41.0 Grants, subsidies, and contributions .....                 | 110   | 117   | 109   |
| 42.0 Insurance claims and indemnities .....                     | 1     | 1     | 1     |
| 99.0 Direct obligations .....                                   | 2,508 | 2,523 | 2,560 |
| 99.0 Reimbursable obligations .....                             | 1,086 | 1,735 | 1,837 |
| 99.9 Total new obligations .....                                | 3,594 | 4,258 | 4,397 |

## Employment Summary

| Identification code 75–9911–0–1–554                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 8,974       | 10,025  | 10,316    |
| 1101 Direct military average strength employment .....                 | 690         | 690     | 690       |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 3,514       | 3,477   | 3,580     |
| 2101 Reimbursable military average strength employment .....           | 270         | 270     | 270       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 53          | 72      | 74        |
| 3101 Allocation account military average strength employment .....     | 1           | 1       | 1         |

## SALARIES AND EXPENSES

(Legislative proposal, not subject to PAYGO)

*In addition, contingent upon the enactment of authorizing legislation, the Secretary shall assess a fee with respect to animal drugs and animal generic drugs: Provided, That fees of \$23,600,000 with respect to animal drugs shall be credited to this account and remain available until expended; and \$7,328,000 with respect to animal generic drugs shall be credited to this account and remain available until expended: Provided further, That in addition to and notwithstanding any other provision under this heading, amounts collected for such animal drug user fees and animal generic drug user fees that exceed the respective fiscal year 2014 limitations are appropriated and shall be credited to this account and remain available until expended: Provided further, That fees derived from such animal drugs and animal generic drugs assessments for fiscal year 2014, including any such fees collected prior to fiscal year 2014 but credited for fiscal 2014, shall be subject to the fiscal year 2014 limitations: Provided further, That the Secretary may, prior to the due date for such animal drug and animal generic drug user fees, accept payment during fiscal year 2014 of such fees authorized for fiscal year 2015, and that amounts of such fiscal year 2015 fees for which the Secretary accepts payment during fiscal year 2014 shall not be included in amounts provided under this heading.*

*In addition, contingent upon the enactment of authorizing legislation, the Secretary shall assess user fees with respect to food facility registrations and inspections, food imports, food contact notification activities, reinspection of medical product facilities, cosmetic activities, and international express courier import activities, and such fees shall be credited to this account and remain available until expended.*

## Program and Financing (in millions of dollars)

| Identification code 75–9911–2–1–554 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0801 ADUFA .....                    |             |         | 23        |
| 0802 AGDUFA .....                   |             |         | 7         |
| 0803 Med.Prod.Reinspect .....       |             |         | 15        |
| 0804 Intl.Courier .....             |             |         | 6         |
| 0805 Food Reg.and Inspect .....     |             |         | 59        |
| 0806 Food Import .....              |             |         | 166       |
| 0807 Cosmetics .....                |             |         | 19        |
| 0808 Food Contact .....             |             |         | 5         |

|  |   |      |
|--|---|------|
| 0900   | Total new obligations .....                                   | 300  |
| <b>Budgetary Resources:</b>                                    |   |      |
| Budget authority:  |   |      |
| Spending authority from offsetting collections, discretionary: |   |      |
| 1700   | Collected .....   | 300  |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 300  |
| 1900   | Budget authority (total) .....                                | 300  |
| 1930   | Total budgetary resources available .....                     | 300  |
| <b>Change in obligated balance:</b>                            |   |      |
| Unpaid obligations:  |   |      |
| 3010   | Obligations incurred, unexpired accounts .....                | 300  |
| 3020   | Outlays (gross) .....   | -300 |
| <b>Budget authority and outlays, net:</b>                      |   |      |
| Discretionary:   |   |      |
| 4000   | Budget authority, gross .....                                 | 300  |
| Outlays, gross:  |   |      |
| 4010   | Outlays from new discretionary authority .....                | 300  |
| Offsets against gross budget authority and outlays:            |   |      |
| Offsetting collections (collected) from:                       |   |      |
| 4033   | Non-Federal sources .....                                     | -300 |

The 2014 Budget includes a number of new user fees. Legislation will be proposed to allow FDA to collect fees for food facility registration and inspection as well as for food import to implement the requirements of the FDA Food Safety Modernization Act (FSMA). The additional resources, estimated at \$59 million for the food facility registration and inspection program, would enable FDA to target new and improved activities required by FSMA to modernize the food safety system and support improvements in food safety science and risk analysis to prevent food safety outbreaks. The fees collected for the food import program, estimated at \$166 million, would support FDA's food safety efforts to modernize the import system. The Budget also repropose user fees to support activities related to cosmetics and food contact notification activities and user fees to support inspection-related activities at domestic courier facilities and reinspections at medical product facilities.

The FDA transmitted proposed legislation to reauthorize the Animal Drug User Fee Act and the Animal Generic Drug User Fee Act to Congress on February 25, 2012. The proposed legislation authorizes the collection and spending of these fees subject to appropriations.

**Object Classification** (in millions of dollars)

| Identification code 75-9911-2-1-554 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    |             |         | 300       |

**Employment Summary**

| Identification code 75-9911-2-1-554                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             |             |         |           |
| 1101 Direct military average strength employment .....                 |             |         |           |
| 2001 Reimbursable civilian full-time equivalent employment .....       |             |         | 612       |
| 2101 Reimbursable military average strength employment .....           |             |         |           |
| 3001 Allocation account civilian full-time equivalent employment ..... |             |         |           |
| 3101 Allocation account military average strength employment .....     |             |         |           |

**REVOLVING FUND FOR CERTIFICATION AND OTHER SERVICES****Program and Financing** (in millions of dollars)

| Identification code 75-4309-0-3-554     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0801 Reimbursable program .....         | 7           | 8       | 8         |

| Budgetary Resources:                                       |   |    |    |    |
|--|---|----|----|----|
| Unobligated balance:                                       |   |    |    |    |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 2  | 3  | 3  |
| Budget authority:  |   |    |    |    |
| Spending authority from offsetting collections, mandatory: |   |    |    |    |
| 1800   | Collected .....   | 8  | 8  | 8  |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 8  | 8  | 8  |
| 1930   | Total budgetary resources available .....                     | 10 | 11 | 11 |
| Memorandum (non-add) entries:                              |   |    |    |    |
| 1941   | Unexpired unobligated balance, end of year .....              | 3  | 3  | 3  |
| <hr/>  |   |    |    |    |
| Change in obligated balance:                               |   |    |    |    |
| Unpaid obligations:  |   |    |    |    |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 3  | 3  | 2  |
| 3010   | Obligations incurred, unexpired accounts .....                | 7  | 8  | 8  |
| 3020   | Outlays (gross) .....   | -7 | -9 | -9 |
| 3050   | Unpaid obligations, end of year .....                         | 3  | 2  | 1  |
| Memorandum (non-add) entries:                              |   |    |    |    |
| 3100   | Obligated balance, start of year .....                        | 3  | 3  | 2  |
| 3200   | Obligated balance, end of year .....                          | 3  | 2  | 1  |
| <hr/>  |   |    |    |    |
| Budget authority and outlays, net:                         |   |    |    |    |
| Mandatory:   |   |    |    |    |
| 4090   | Budget authority, gross .....                                 | 8  | 8  | 8  |
| Outlays, gross:  |   |    |    |    |
| 4100   | Outlays from new mandatory authority .....                    | 2  | 8  | 8  |
| 4101   | Outlays from mandatory balances .....                         | 5  | 1  | 1  |
| 4110   | Outlays, gross (total) .....                                  | 7  | 9  | 9  |
| Offsets against gross budget authority and outlays:        |   |    |    |    |
| Offsetting collections (collected) from:                   |   |    |    |    |
| 4123   | Non-Federal sources .....                                     | -8 | -8 | -8 |
| 4190   | Outlays, net (total) .....                                    | -1 | 1  | 1  |

FDA certifies color additives for use in foods, drugs, and cosmetics. It also lists color additives for use in foods, drugs, medical devices, and cosmetics (21 U.S.C. 346a, 356, 357, 376). These services are financed wholly by fees paid by the industries affected.

**Object Classification** (in millions of dollars)

| Identification code 75-4309-0-3-554                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 4           | 4       | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                      | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                      |             | 1       | 1         |
| 99.9 Total new obligations .....                       | 7           | 8       | 8         |

**Employment Summary**

| Identification code 75-4309-0-3-554                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 36          | 37      | 37        |

**HEALTH RESOURCES AND SERVICES  
ADMINISTRATION****Federal Funds****HEALTH RESOURCES AND SERVICES****PRIMARY HEALTH CARE**

*For carrying out titles II and III of the Public Health Service Act (referred to in this Act as the "PHS Act") with respect to primary health care and the Native Hawaiian Health Care Act of 1988, \$1,566,932,000: Provided, That no more than \$40,000 shall be available until expended for carrying out the provisions of section 224(o) of the PHS Act, including associated administrative expenses and relevant evaluations: Provided further, That no more than \$94,893,000 shall be available until expended for carrying out the provisions of Public Law 104-73 and for expenses incurred by the Department of Health and Human Services (referred to in this Act as "HHS") pertaining to administrative claims made under such law.*

## HEALTH RESOURCES AND SERVICES—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## HEALTH WORKFORCE

For carrying out titles III, VII, and VIII of the PHS Act with respect to the health workforce, section 1128E and 1921(b) of the Social Security Act, and the Health Care Quality Improvement Act of 1986, \$540,804,000: Provided, That sections 747(c)(2) and the proportional funding amounts in paragraphs (1) through (4) of section 756(e) of the PHS Act shall not apply to funds made available under this heading: Provided further, That in addition to fees authorized by section 427(b) of the Health Care Quality Improvement Act of 1986, fees shall be collected for the full disclosure of information under such Act sufficient to recover the full costs of operating the National Practitioner Data Bank and shall remain available until expended to carry out that Act: Provided further, That fees collected for the full disclosure of information under the "Health Care Fraud and Abuse Data Collection Program", authorized by section 1128E(d)(2) of the Social Security Act, shall be sufficient to recover the full costs of operating the program, and shall remain available until expended to carry out that Act: Provided further, That fees collected for the disclosure of information under the information reporting requirement program authorized by section 1921 of the Social Security Act shall be sufficient to recover the full costs of operating the program and shall remain available until expended to carry out that Act: Provided further, That funds transferred to this account to carry out section 846 and subpart 3 of part D of title III of the PHS Act may be used to make prior year adjustments to awards made under such sections: Provided further, That, of the amount appropriated under this heading, \$88,000,000 shall be for payments to children's hospitals pursuant to section 340E of the PHS Act, all of which shall be for payments for direct graduate medical education as described in section 340E(c).

## MATERNAL AND CHILD HEALTH

For carrying out titles III, XI, XII, and XIX of the PHS Act with respect to maternal and child health, title V of the Social Security Act, and section 712 of the American Jobs Creation Act of 2004, \$824,861,000: Provided, That notwithstanding sections 502(a)(1) and 502(b)(1) of the Social Security Act, not more than \$78,641,000 shall be available for carrying out special projects of regional and national significance pursuant to section 501(a)(2) of such Act and \$10,276,000 shall be available for projects described in paragraphs (A) through (F) of section 501(a)(3) of such Act.

## RYAN WHITE HIV/AIDS PROGRAM

For carrying out title XXVI of the PHS Act with respect to the Ryan White HIV/AIDS program, \$2,387,178,000, of which \$2,036,898,000 shall remain available to the Secretary through September 30, 2016, for parts A and B of title XXVI of the PHS Act: Provided, That of the funds available for parts A and B of title XXVI of the PHS Act, not less than \$943,299,000 shall be for State AIDS Drug Assistance Programs pursuant to section 2616 or 311(c) of such Act: Provided further, That in addition to amounts provided herein, \$25,000,000 shall be available from amounts available under section 241 of the PHS Act to carry out parts A, B, C, and D of title XXVI of the PHS Act to fund Special Projects of National Significance under section 2691.

## HEALTH CARE SYSTEMS

For carrying out titles III and XII of the PHS Act with respect to health care systems, and the Stem Cell Therapeutic and Research Act of 2005, \$83,836,000, of which \$127,000 shall be available until expended for facilities renovations at the Gillis W. Long Hansen's Disease Center: Provided, That the Secretary may collect a fee of 0.1 percent of each purchase of 340B drugs from entities participating in the Drug Pricing Program pursuant to section 340B of the PHS Act to pay for the operating costs of such program: Provided further, That fees pursuant to the 340B Drug Pricing Program shall be collected by the Secretary based on sales data that shall be submitted by drug manufacturers and shall be credited to this account, to remain available until expended.

## RURAL HEALTH

For carrying out titles III and IV of the PHS Act with respect to rural health, section 427(a) of the Federal Coal Mine Health and Safety Act, the Cardiac Arrest Survival Act of 2000, and sections 711 and 1820 of the Social Security Act, \$122,232,000, of which \$26,200,000 from general revenues, notwithstanding section 1820(j) of the Social Security Act, shall be available for carrying out the Medicare rural hospital flexibility grants program: Provided, That, of the funds made available under this heading for Medicare rural hospital flexibility grants, \$1,000,000 shall be to carry out section 1820(g)(6) of the Social Security Act, with funds provided for grants under section 1820(g)(6) available for the purchase and implementation of telehealth services, including pilots and demonstrations on the use of electronic health records to coordinate rural veterans care between rural providers and the Department of Veterans Affairs electronic health record system: Provided further, That notwithstanding section 338J(k) of the PHS Act, \$10,036,000 shall be available for State Offices of Rural Health.

## FAMILY PLANNING

For carrying out the program under title X of the PHS Act to provide for voluntary family planning projects, \$327,402,000: Provided, That amounts provided to said projects under such title shall not be expended for abortions, that all pregnancy counseling shall be nondirective, and that such amounts shall not be expended for any activity (including the publication or distribution of literature) that in any way tends to promote public support or opposition to any legislative proposal or candidate for public office.

## PROGRAM MANAGEMENT

For program support in the Health Resources and Services Administration, \$161,794,000: Provided, That funds made available under this heading may be used to supplement program support funding provided under the headings "Primary Health Care", "Health Workforce", "Maternal and Child Health", "Ryan White HIV/AIDS Program", "Health Care Systems", and "Rural Health": Provided further, That the Administrator may transfer funds between any of the accounts of HRSA with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75–0350–0–1–550                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0010 Health Centers .....  | 1,472       | 1,492   | 1,472     |
| 0013 Hansen's Disease Center .....                                 | 16          | 16      | 16        |
| 0014 Payment to Hawaii for the Treatment of Hansen's Disease ..... | 2           | 2       | 2         |
| 0015 Black Lung Clinics .....                                      | 7           | 7       | 7         |
| 0016 Nursing Education Loan Repayment and Scholarships .....       | 83          | 84      | 83        |
| 0017 Health Professions .....                                      | 376         | 383     | 363       |
| 0018 Maternal and Child Health Block Grant .....                   | 639         | 649     | 639       |
| 0019 Healthy Start .....   | 104         | 105     | 104       |
| 0020 Poison Control Centers .....                                  | 19          | 19      | .....     |
| 0021 EMS for Children .....  | 21          | 21      | 21        |
| 0022 Universal Newborn Hearing Screening .....                     | 19          | 19      | .....     |
| 0023 HIV/AIDS .....  | 2,367       | 2,337   | 2,387     |
| 0024 Organ Transplantation .....                                   | 24          | 25      | 26        |
| 0025 Bone Marrow Donor Registry .....                              | 23          | 23      | 23        |
| 0026 Rural Health Policy Development .....                         | 10          | 10      | 10        |
| 0027 Rural Health Outreach Grants .....                            | 55          | 56      | 56        |
| 0028 Rural Health Flexibility Grants .....                         | 41          | 41      | 26        |
| 0030 Telehealth .....  | 11          | 12      | 12        |
| 0031 Program Management .....                                      | 160         | 162     | 162       |
| 0032 Family Planning .....   | 294         | 299     | 327       |
| 0033 Loan Repayment/Faculty Fellowship .....                       | 1           | 1       | 1         |
| 0035 Health Centers Tort Claim Fund .....                          | 75          | 133     | 95        |
| 0036 Heritable Disorders .....                                     | 10          | 10      | .....     |
| 0038 Children's GME .....  | 265         | 270     | 88        |
| 0041 State Offices of Rural Health .....                           | 10          | 10      | 10        |
| 0043 Radiogenic Diseases .....                                     | 2           | 2       | 2         |
| 0044 Traumatic Brain Injury .....                                  | 10          | 10      | 10        |
| 0045 Autism and Other Developmental Disorders .....                | 47          | 48      | 47        |
| 0046 Cord Blood Stem Cell Bank .....                               | 12          | 12      | 12        |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 0048 | Sickle Cell .....                                     | 5     | 5     | 5     |
| 0049 | Drug Pricing Program .....                            | 4     | 4     | 4     |
| 0050 | Family to Family Health Information Centers ACA ..... | 5     | 5     | ..... |
| 0067 | Prevention Fund .....                                 | 37    | ..... | 57    |
| 0073 | Health Centers ACA .....                              | 1,171 | 1,220 | 1,246 |
| 0074 | Health Centers Construction ACA .....                 | 741   | 10    | 7     |
| 0075 | National Health Service Corps ACA .....               | 297   | 300   | 305   |
| 0076 | School Based Health Centers ACA .....                 | 19    | 83    | ..... |
| 0077 | GME Payments THC ACA .....                            | 17    | 50    | 73    |
| 0079 | Rural Health AED .....                                | 1     | 3     | ..... |
| 0080 | Pediatric Loan Repayment .....                        | ..... | ..... | 5     |
| 0300 | Total direct programs .....                           | 8,472 | 7,938 | 7,703 |
| 0799 | Total direct obligations .....                        | 8,472 | 7,938 | 7,703 |
| 0801 | Reimbursable program .....                            | 75    | 71    | 77    |
| 0802 | Reimbursable program: PHS evaluation .....            | 22    | 25    | 25    |
| 0899 | Total reimbursable obligations .....                  | 97    | 96    | 102   |
| 0900 | Total new obligations .....                           | 8,569 | 8,034 | 7,805 |

**Budgetary Resources:**

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 1000 | Unobligated balance brought forward, Oct 1 .....               | 1,061 | 381   | 531   |
| 1001 | Discretionary unobligated balance brought fwd, Oct 1 .....     | 58    | 83    | ..... |
| 1021 | Recoveries of prior year unpaid obligations .....              | 8     | ..... | ..... |
| 1050 | Unobligated balance (total) .....                              | 1,069 | 381   | 531   |
| 1100 | Budget authority:  |       |       |       |
| 1100 | Appropriations, discretionary:                                 |       |       |       |
| 1100 | Appropriation .....  | 6,206 | 6,232 | 6,015 |
| 1121 | Appropriations transferred from other accts [75–9915] ....     | 9     | ..... | ..... |
| 1121 | Appropriations transferred from other accts [75–0943] ....     | 1     | ..... | ..... |
| 1121 | Appropriations transferred from other accts [75–1362] ....     | 1     | ..... | ..... |
| 1130 | Appropriations permanently reduced .....                       | –12   | ..... | ..... |
| 1160 | Appropriation, discretionary (total) .....                     | 6,205 | 6,232 | 6,015 |
| 1200 | Appropriations, mandatory:                                     |       |       |       |
| 1200 | Appropriation .....  | 1,550 | 1,855 | 2,505 |
| 1221 | Appropriations transferred from other accts [75–0116] ....     | 37    | ..... | 57    |
| 1260 | Appropriations, mandatory (total) .....                        | 1,587 | 1,855 | 2,562 |
| 1700 | Spending authority from offsetting collections, discretionary: |       |       |       |
| 1700 | Collected .....  | 33    | 81    | 87    |
| 1701 | Change in uncollected payments, Federal sources .....          | 45    | ..... | ..... |
| 1750 | Spending auth from offsetting collections, disc (total) .....  | 78    | 81    | 87    |
| 1800 | Spending authority from offsetting collections, mandatory:     |       |       |       |
| 1800 | Offsetting collections (cash)(HPSL&NSL) .....                  | 14    | 16    | 16    |
| 1850 | Spending auth from offsetting collections, mand (total) .....  | 14    | 16    | 16    |
| 1900 | Budget authority (total) .....                                 | 7,884 | 8,184 | 8,680 |
| 1930 | Total budgetary resources available .....                      | 8,953 | 8,565 | 9,211 |
| 1940 | Memorandum (non-add) entries:                                  |       |       |       |
| 1940 | Unobligated balance expiring .....                             | –3    | ..... | ..... |
| 1941 | Unexpired unobligated balance, end of year .....               | 381   | 531   | 1,406 |

**Change in obligated balance:**

|      |  |        |        |        |
|------|--|--------|--------|--------|
| 3000 | Unpaid obligations:  |        |        |        |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....             | 6,836  | 6,681  | 6,186  |
| 3010 | Obligations incurred, unexpired accounts .....               | 8,569  | 8,034  | 7,805  |
| 3011 | Obligations incurred, expired accounts .....                 | 15     | .....  | .....  |
| 3020 | Outlays (gross) .....  | –8,638 | –8,529 | –8,272 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | –8     | .....  | .....  |
| 3041 | Recoveries of prior year unpaid obligations, expired .....   | –93    | .....  | .....  |
| 3050 | Unpaid obligations, end of year .....                        | 6,681  | 6,186  | 5,719  |
| 3060 | Uncollected payments:  |        |        |        |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –42    | –80    | –80    |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | –45    | .....  | .....  |
| 3071 | Change in uncollected pymts, Fed sources, expired .....      | 7      | .....  | .....  |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | –80    | –80    | –80    |
| 3100 | Memorandum (non-add) entries:                                |        |        |        |
| 3100 | Obligated balance, start of year .....                       | 6,794  | 6,601  | 6,106  |
| 3200 | Obligated balance, end of year .....                         | 6,601  | 6,106  | 5,639  |

**Budget authority and outlays, net:**

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 4000 | Discretionary:                                      |       |       |       |
| 4000 | Budget authority, gross .....                       | 6,283 | 6,313 | 6,102 |
| 4010 | Outlays, gross:                                     |       |       |       |
| 4010 | Outlays from new discretionary authority .....      | 2,515 | 2,549 | 2,460 |
| 4011 | Outlays from discretionary balances .....           | 4,277 | 3,764 | 3,721 |
| 4020 | Outlays, gross (total) .....                        | 6,792 | 6,313 | 6,181 |
| 4030 | Offsets against gross budget authority and outlays: |       |       |       |
| 4030 | Offsetting collections (collected) from:            |       |       |       |
| 4030 | Federal sources .....                               | –16   | –52   | –53   |
| 4033 | Non-Federal sources .....                           | –29   | –29   | –28   |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 4033 | Non-Federal sources .....                                       | ..... | ..... | –6    |
| 4040 | Offsets against gross budget authority and outlays (total) .... | –45   | –81   | –87   |
| 4050 | Additional offsets against gross budget authority only:         |       |       |       |
| 4052 | Change in uncollected pymts, Fed sources, unexpired .....       | –45   | ..... | ..... |
| 4060 | Offsetting collections credited to expired accounts .....       | 12    | ..... | ..... |
| 4070 | Additional offsets against budget authority only (total) .....  | –33   | ..... | ..... |
| 4080 | Budget authority, net (discretionary) .....                     | 6,205 | 6,232 | 6,015 |
| 4090 | Outlays, net (discretionary) .....                              | 6,747 | 6,232 | 6,094 |
| 4100 | Mandatory:  |       |       |       |
| 4100 | Budget authority, gross .....                                   | 1,601 | 1,871 | 2,578 |
| 4101 | Outlays, gross:   |       |       |       |
| 4101 | Outlays from new mandatory authority .....                      | 801   | 850   | 855   |
| 4110 | Outlays from mandatory balances .....                           | 1,045 | 1,366 | 1,236 |
| 4110 | Outlays, gross (total) .....                                    | 1,846 | 2,216 | 2,091 |
| 4123 | Offsets against gross budget authority and outlays:             |       |       |       |
| 4123 | Offsetting collections (collected) from:                        |       |       |       |
| 4123 | Non-Federal sources .....                                       | –14   | –16   | –16   |
| 4180 | Budget authority, net (total) .....                             | 7,792 | 8,087 | 8,577 |
| 4190 | Outlays, net (total) .....                                      | 8,579 | 8,432 | 8,169 |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 75–0350–0–1–550  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority:              |             |         |           |
| 215001 Health centers: Facilities renovation loan guarantee levels .....     | 10          | 8       | 4         |
| 215002 Health centers: Managed care network development loan guarantee ..... | .....       | 1       | 1         |
| 215003 Health centers: Managed care plan loan guarantee levels .....         | .....       | 3       | 1         |
| 215999 Total loan guarantee levels .....                                     | 10          | 12      | 6         |
| Guaranteed loan subsidy (in percent):  |             |         |           |
| 232001 Health centers: Facilities renovation loan guarantee levels .....     | 2.67        | 2.69    | 2.81      |
| 232002 Health centers: Managed care network development loan guarantee ..... | 9.62        | 9.73    | 10.10     |
| 232003 Health centers: Managed care plan loan guarantee levels .....         | 5.76        | 5.79    | 5.86      |
| 232999 Weighted average subsidy rate .....                                   | 2.67        | 4.05    | 4.53      |

Resources displayed here support categorical grants and contracts managed by Health Resources and Services Administration (HRSA). These activities include support for Federally Qualified Health Centers, treatment and care for those living with HIV/AIDS, health professions training, maternal and child health care services, promotion of organ and bone marrow donation, and the medical malpractice claims funds, which pay malpractice claims filed against employees of federally-supported health centers and free clinics. HRSA is also responsible for oversight of the 340B Drug Discount Program. The 2014 Budget proposes a new user fee for this program to improve administration and oversight of this activity.

HRSA administers the following revolving loan programs: Health Professions Student Loans (HPSL), Nursing Student Loans (NSL), Primary Care Loans (PCL) and Loans for Disadvantaged Students (LDS). These programs are financed through revolving accounts (Federal Capital Contribution) and do not receive annual appropriations. Through these revolving fund accounts, funds are awarded to institutions that in turn provide loans to individual students. As borrowers pay back loans the programs revolving account gets replenished, and the collected funds are then used to give out new loans in the following academic years. If the programs revolving account has excess funds that will not be used to provide new loans, these excess funds are returned to HRSA. Funds returned to HRSA are then awarded to programs that are in need of additional funds. The information below reflects Academic Year 2011–2012 data reported in the Annual Operating Report.

**Health Professions Revolving Loan Programs**

| Program    | Federal Capital Contribution | Account Balance |
|------------|------------------------------|-----------------|
| HPSL ..... |                              | \$378,701,815   |

HEALTH RESOURCES AND SERVICES—Continued  
Health Professions Revolving Loan Programs—Continued

| Program     | Account Balance      |
|-------------|----------------------|
| NSL .....   | \$171,324,184        |
| PCL .....   | \$246,664,915        |
| LDS .....   | \$130,836,447        |
| Total ..... | <u>\$927,527,361</u> |

## Object Classification (in millions of dollars)

| Identification code 75-0350-0-1-550                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 150         | 150     | 151       |
| 11.3 Other than full-time permanent .....                       | 6           | 6       | 6         |
| 11.5 Other personnel compensation .....                         | 3           | 3       | 4         |
| 11.7 Military personnel .....                                   | 20          | 20      | 20        |
| 11.8 Special personal services payments .....                   | 1           | 1       | .....     |
| 11.9 Total personnel compensation .....                         | 180         | 180     | 181       |
| 12.1 Civilian personnel benefits .....                          | 45          | 45      | 44        |
| 12.2 Military personnel benefits .....                          | 11          | 11      | 11        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 4         |
| 23.1 Rental payments to GSA .....                               | 23          | 23      | 23        |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 1         |
| 24.0 Printing and reproduction .....                            | .....       | .....   | 1         |
| 25.1 Advisory and assistance services .....                     | 13          | 13      | 38        |
| 25.2 Other services from non-Federal sources .....              | 181         | 176     | 156       |
| 25.3 Other goods and services from Federal sources .....        | 202         | 201     | 197       |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.6 Medical care .....   | 3           | 3       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 13          | 13      | 14        |
| 26.0 Supplies and materials .....                               | 2           | 2       | 1         |
| 31.0 Equipment .....  | 6           | 6       | 4         |
| 41.0 Grants, subsidies, and contributions .....                 | 7,718       | 7,131   | 6,934     |
| 42.0 Insurance claims and indemnities .....                     | 68          | 127     | 89        |
| 99.0 Direct obligations .....                                   | 8,472       | 7,938   | 7,703     |
| 99.0 Reimbursable obligations .....                             | 97          | 96      | 102       |
| 99.9 Total new obligations .....                                | 8,569       | 8,034   | 7,805     |

## Employment Summary

| Identification code 75-0350-0-1-550                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,564       | 1,556   | 1,547     |
| 1101 Direct military average strength employment .....           | 200         | 200     | 200       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 79          | 85      | 85        |
| 2101 Reimbursable military average strength employment .....     | 8           | 8       | 8         |

## VACCINE INJURY COMPENSATION

## Program and Financing (in millions of dollars)

| Identification code 75-0320-0-1-551                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 12          | 16      | 16        |
| Budget authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 4           | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 4           | .....   | .....     |
| 1900 Budget authority (total) .....                                | 4           | .....   | .....     |
| 1930 Total budgetary resources available .....                     | 16          | 16      | 16        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 16          | 16      | 16        |
| Budget authority and outlays, net:                                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 4           | .....   | .....     |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| Federal sources .....  | -4          | .....   | .....     |
| 4120 Outlays, net (total) .....                                    | -4          | .....   | .....     |

The Vaccine Injury Compensation Program was established pursuant to Public Law 99-660 and Public Law 100-203, and serves as a source of funds to pay claims for compensation for vaccine related injury or death. Payment of claims associated with vaccine related injury or death occurring before October 1, 1988 are financed from the General Fund and are reflected in this account. Given sufficient carry-over funds from prior years' appropriations to pay for the balance of the pre-1988 claims yet to be adjudicated, no appropriation is requested to cover payment of pre-1988 claims. By statute, no new claims are accepted for this account. Payment of claims associated with vaccine related injury or death occurring after October 1, 1988 are reflected in the Vaccine Injury Compensation Program trust fund account.

## COVERED COUNTERMEASURE PROCESS FUND

## Program and Financing (in millions of dollars)

| Identification code 75-0343-0-1-551                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                   |             |         |           |
| 0001 Claims .....  | .....       | 2       | 1         |
| 0103 Admin Expense .....   | 2           | 3       | 3         |
| 0900 Total new obligations .....                                   | 2           | 5       | 4         |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | 3       | 1         |
| 1011 Unobligated balance transfer from other accts [75-0140] ..... | 3           | 3       | 3         |
| 1050 Unobligated balance (total) .....                             | 5           | 6       | 4         |
| 1930 Total budgetary resources available .....                     | 5           | 6       | 4         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 3           | 1       | .....     |
| Change in obligated balance:                                       |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 2           | 1       | 6         |
| 3010 Obligations incurred, unexpired accounts .....                | 2           | 5       | 4         |
| 3020 Outlays (gross) .....   | -3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 1           | 6       | 10        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 2           | 1       | 6         |
| 3200 Obligated balance, end of year .....                          | 1           | 6       | 10        |
| Budget authority and outlays, net:                                 |             |         |           |
| Discretionary:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                     | 3           | .....   | .....     |
| 4190 Outlays, net (total) .....                                    | 3           | .....   | .....     |

The Covered Countermeasure Process Fund is established pursuant to the PHS Act, as amended by Division C of Public Law 109-148, to serve as a source of funds to pay for compensation for injuries, illnesses or death, or losses resulting from the administration to or use by an individual of a covered countermeasure for which a Secretarial Declaration has been issued, pursuant to section 319F-3(b) of the Public Health Service Act. Additionally, authority is provided to address any unexpected claims that may arise under the Smallpox Emergency Personal Protection Act of 2003.

## Object Classification (in millions of dollars)

| Identification code 75-0343-0-1-551                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 3       | 3         |
| 42.0 Insurance claims and indemnities .....            | .....       | 2       | 1         |
| 99.9 Total new obligations .....                       | 2           | 5       | 4         |

**Employment Summary**

| Identification code 75–0343–0–1–551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 4       | 4         |
| 1101 Direct military average strength employment .....     | 3           | 3       | 3         |

**MATERNAL, INFANT, AND EARLY CHILDHOOD HOME VISITING PROGRAMS****Program and Financing** (in millions of dollars)

| Identification code 75–0321–0–1–551   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0010 Maternal, Infant, and Early Childhood Home Visiting Programs .....         | 344         | 400     | 406       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           |             | 13      | 13        |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 7           |         |           |
| 1050 Unobligated balance (total) .....  | 7           | 13      | 13        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 350         | 400     | 400       |
| 1260 Appropriations, mandatory (total) .....                                    | 350         | 400     | 400       |
| 1930 Total budgetary resources available .....                                  | 357         | 413     | 413       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 13          | 13      | 7         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 313         | 528     | 527       |
| 3010 Obligations incurred, unexpired accounts .....                             | 344         | 400     | 406       |
| 3020 Outlays (gross) .....  | –122        | –401    | –318      |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –7          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 528         | 527     | 615       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 313         | 528     | 527       |
| 3200 Obligated balance, end of year .....                                       | 528         | 527     | 615       |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 350         | 400     | 400       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                                 | 19          | 120     | 120       |
| 4101 Outlays from mandatory balances .....                                      | 103         | 281     | 198       |
| 4110 Outlays, gross (total) .....   | 122         | 401     | 318       |
| 4180 Budget authority, net (total) .....  | 350         | 400     | 400       |
| 4190 Outlays, net (total) .....   | 122         | 401     | 318       |

P.L. 111–148 provides resources to Maternal, Infant and Early Childhood Home Visiting Program through 2014 to provide comprehensive services for at risk communities. These activities are administered by HRSA.

**Object Classification** (in millions of dollars)

| Identification code 75–0321–0–1–551                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 2       | 2         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....            | 18          | 15      | 15        |
| 41.0 Grants, subsidies, and contributions .....        | 322         | 382     | 388       |
| 99.9 Total new obligations .....                       | 344         | 400     | 406       |

**Employment Summary**

| Identification code 75–0321–0–1–551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 19          | 21      | 21        |

|  |   |   |   |
|--|---|---|---|
| 1101 Direct military average strength employment ..... | 4 | 4 | 4 |
|--|---|---|---|

**HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 75–4442–0–3–551                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| Credit program obligations:                           |             |         |           |
| 0711 Default claim payments on principal .....        |             | 1       | 1         |
| 0900 Total new obligations .....                      |             | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 3       | 2         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 3           | 2       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 1         |
| 3010 Obligations incurred, unexpired accounts .....   |             | 1       | 1         |
| 3050 Unpaid obligations, end of year .....            |             | 1       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 1         |
| 3200 Obligated balance, end of year .....             |             | 1       | 2         |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 75–4442–0–3–551  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:         |             |         |           |
| 2121 Limitation available from carry-forward .....                             | 28          | 18      | 6         |
| 2143 Uncommitted limitation carried forward .....                              | –18         | –6      |           |
| 2150 Total guaranteed loan commitments .....                                   | 10          | 12      | 6         |
| 2199 Guaranteed amount of guaranteed loan commitments .....                    | 8           | 10      | 5         |
| Cumulative balance of guaranteed loans outstanding:                            |             |         |           |
| 2210 Outstanding, start of year .....  | 80          | 77      | 77        |
| 2231 Disbursements of new guaranteed loans .....                               | 8           | 11      | 5         |
| 2251 Repayments and prepayments .....  | –10         | –10     | –10       |
| 2263 Adjustments: Terminations for default that result in claim payments ..... | –1          | –1      | –1        |
| 2290 Outstanding, end of year .....  | 77          | 77      | 71        |
| Memorandum:  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....      | 70          | 65      | 60        |

P.L. 104–299 and P.L. 104–208 authorize Health Resources and Services Administration (HRSA) to guarantee up to \$160 million in private loans to health centers for the costs of developing and operating managed care networks or plans and for the construction, renovation and modernization of medical facilities. As required by the Federal Credit Reform Act of 1990, this financing account records all cash flows to and from the Government resulting from the Health Center Loan Guarantee program. The program account for this activity is displayed in the Health Resources and Services account (75–0350) as a line in the program and financing schedule.

**Balance Sheet** (in millions of dollars)

| Identification code 75–4442–0–3–551                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 3           | 3           |
| 1999 Total assets .....   | 3           | 3           |
| <b>LIABILITIES:</b>   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 3           | 3           |

HEALTH CENTER GUARANTEED LOAN FINANCING ACCOUNT—Continued  
Balance Sheet—Continued

| Identification code 75-4442-0-3-551           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 4999 Total liabilities and net position ..... | 3           | 3           |

## HEALTH EDUCATION ASSISTANCE LOANS PROGRAM ACCOUNT

*Such sums as may be necessary to carry out the purpose of the program, as authorized by title VII of the PHS Act.*

*In addition, for administrative expenses to carry out the guaranteed loan program, including section 709 of the PHS Act, \$2,807,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75-0340-0-1-552                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0707 Reestimates of loan guarantee subsidy .....             |             | 25      |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... |             | 31      |           |
| 0709 Administrative expenses .....                           | 3           | 3       |           |
| 0900 Total new obligations .....                             | 3           | 59      |           |

## Budgetary Resources:

|  |   |    |    |
|--|---|----|----|
| Budget authority:  |   |    |    |
| Appropriations, discretionary:                                 |   |    |    |
| 1100 Appropriation .....                                       | 3 | 3  | 3  |
| 1120 Appropriations transferred to other accts [91-0202] ..... |   |    | -3 |
| 1160 Appropriation, discretionary (total) .....                | 3 | 3  |    |
| Appropriations, mandatory:                                     |   |    |    |
| 1200 Appropriation .....                                       |   | 56 |    |
| 1260 Appropriations, mandatory (total) .....                   |   | 56 |    |
| 1900 Budget authority (total) .....                            | 3 | 59 |    |
| 1930 Total budgetary resources available .....                 | 3 | 59 |    |

## Change in obligated balance:

|   |    |     |   |
|---|----|-----|---|
| Unpaid obligations:   |    |     |   |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 2  | 1   | 1 |
| 3010 Obligations incurred, unexpired accounts .....             | 3  | 59  |   |
| 3020 Outlays (gross) .....                                      | -3 | -59 |   |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1 |     |   |
| 3050 Unpaid obligations, end of year .....                      | 1  | 1   | 1 |
| Memorandum (non-add) entries:                                   |    |     |   |
| 3100 Obligated balance, start of year .....                     | 2  | 1   | 1 |
| 3200 Obligated balance, end of year .....                       | 1  | 1   | 1 |

## Budget authority and outlays, net:

|   |   |    |  |
|---|---|----|--|
| Discretionary:                                      |   |    |  |
| 4000 Budget authority, gross .....                  | 3 | 3  |  |
| Outlays, gross:                                     |   |    |  |
| 4010 Outlays from new discretionary authority ..... | 2 | 3  |  |
| 4011 Outlays from discretionary balances .....      | 1 |    |  |
| 4020 Outlays, gross (total) .....                   | 3 | 3  |  |
| Mandatory:  |   |    |  |
| 4090 Budget authority, gross .....                  |   | 56 |  |
| Outlays, gross:                                     |   |    |  |
| 4100 Outlays from new mandatory authority .....     |   | 56 |  |
| 4180 Budget authority, net (total) .....            | 3 | 59 |  |
| 4190 Outlays, net (total) .....                     | 3 | 59 |  |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 75-0340-0-1-552                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan upward reestimates:                   |             |         |           |
| 235001 HEAL Loan guarantee .....                      |             | 56      |           |
| 235999 Total upward reestimate budget authority ..... |             | 56      |           |

## Guaranteed loan downward reestimates:

|   |     |  |  |
|---|-----|--|--|
| 237001 HEAL Loan guarantee .....                                | -12 |  |  |
| 237999 Total downward reestimate subsidy budget authority ..... | -12 |  |  |

## Administrative expense data:

|                                       |   |   |  |
|---------------------------------------|---|---|--|
| 3510 Budget authority .....           | 3 | 3 |  |
| 3590 Outlays from new authority ..... |   | 3 |  |

In 2014 the Department of Education will assume responsibility for the program. Legislative language that would effect this transfer is included under General Provisions. The authority to administer, service, collect, and enforce the program as well as the functions, assets, and liabilities of the Secretary of Health and Human Services will be permanently transferred to the Secretary of Education.

## Object Classification (in millions of dollars)

| Identification code 75-0340-0-1-552                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 2           | 2       |           |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       |           |
| 41.0 Grants, subsidies, and contributions .....          |             | 56      |           |
| 99.9 Total new obligations .....                         | 3           | 59      |           |

## Employment Summary

| Identification code 75-0340-0-1-552                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 13          | 13      |           |

## HEALTH EDUCATION ASSISTANCE LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 75-4304-0-3-552                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| Credit program obligations:                            |             |         |           |
| 0711 Default claim payments on principal .....         | 19          | 13      |           |
| 0742 Downward reestimate paid to receipt account ..... | 5           |         |           |
| 0743 Interest on downward reestimates .....            | 7           |         |           |
| 0900 Total new obligations .....                       | 31          | 13      |           |

## Budgetary Resources:

|  |    |     |     |
|--|----|-----|-----|
| Unobligated balance:   |    |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 69 | 49  | 99  |
| 1010 Unobligated balance transfer to other accts [91-4300] .....   |    |     | -99 |
| 1050 Unobligated balance (total) .....                             | 69 | 49  |     |
| Financing authority:   |    |     |     |
| Spending authority from offsetting collections, mandatory:         |    |     |     |
| 1800 Collected .....   | 11 | 63  |     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 11 | 63  |     |
| 1930 Total budgetary resources available .....                     | 80 | 112 |     |
| Memorandum (non-add) entries:                                      |    |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 49 | 99  |     |

## Change in obligated balance:

|   |     |     |  |
|---|-----|-----|--|
| Unpaid obligations:                                 |     |     |  |
| 3010 Obligations incurred, unexpired accounts ..... | 31  | 13  |  |
| 3020 Financing disbursements (gross) .....          | -31 | -13 |  |

## Financing authority and disbursements, net:

|   |     |     |  |
|---|-----|-----|--|
| Mandatory:  |     |     |  |
| 4090 Financing authority, gross .....                                     | 11  | 63  |  |
| Financing disbursements:  |     |     |  |
| 4110 Financing disbursements, gross .....                                 | 31  | 13  |  |
| Offsets against gross financing authority and disbursements:              |     |     |  |
| Offsetting collections (collected) from:                                  |     |     |  |
| 4120 Federal sources-upward estimate and interest .....                   |     | -56 |  |
| 4122 Interest on uninvested funds .....                                   | -4  | -3  |  |
| 4123 Recoveries of defaulted loans .....                                  | -7  | -4  |  |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -11 | -63 |  |



|      |  |    |     |       |
|------|--|----|-----|-------|
| 4170 | Financing disbursements, net (mandatory) ..... | 20 | -50 | ..... |
| 4190 | Financing disbursements, net (total) .....     | 20 | -50 | ..... |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 75-4304-0-3-552   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 526         | 430     | 402       |
| 2251 Repayments and prepayments .....   | -77         | -15     | .....     |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               | -18         | -9      | .....     |
| 2263 Terminations for default that result in claim payments .....                 | -1          | -4      | .....     |
| 2264 Other adjustments, net .....   | .....       | .....   | -402      |
| 2290 Outstanding, end of year .....   | 430         | 402     | .....     |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 430         | .....   | .....     |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 175         | 184     | 190       |
| 2331 Disbursements for guaranteed loan claims .....                               | 16          | 10      | .....     |
| 2361 Write-offs of loans receivable .....   | -7          | -4      | .....     |
| 2364 Other adjustments, net .....   | .....       | .....   | -190      |
| 2390 Outstanding, end of year .....   | 184         | 190     | .....     |

**Balance Sheet** (in millions of dollars)

| Identification code 75-4304-0-3-552  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                                   | 70          | 50          |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 175         | 184         |
| 1505 Allowance for subsidy cost (-) .....  | -126        | -126        |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             | 49          | 58          |
| 1999 Total assets .....  | 119         | 108         |
| LIABILITIES:   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees .....                      | 119         | 108         |
| 4999 Total liabilities and net position .....  | 119         | 108         |

**HEALTH EDUCATION ASSISTANCE LOANS LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 75-4305-0-3-552   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....  | 4           | 3       | .....     |
| 0900 Total new obligations (object class 33.0) .....  | 4           | 3       | .....     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 1           | 1       | .....     |
| 1260 Appropriations, mandatory (total) .....  | 1           | 1       | .....     |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 8           | 7       | .....     |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -5          | -5      | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 3           | 2       | .....     |
| 1900 Budget authority (total) .....   | 4           | 3       | .....     |
| 1930 Total budgetary resources available .....  | 4           | 3       | .....     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 4           | 3       | .....     |
| 3020 Outlays (gross) .....  | -4          | -3      | .....     |

**Budget authority and outlays, net:**

|   |    |    |       |
|---|----|----|-------|
| Mandatory:  |    |    |       |
| 4090 Budget authority, gross .....                  | 4  | 3  | ..... |
| Outlays, gross:                                     |    |    |       |
| 4100 Outlays from new mandatory authority .....     | 4  | 3  | ..... |
| Offsets against gross budget authority and outlays: |    |    |       |
| Offsetting collections (collected) from:            |    |    |       |
| 4123 Non-Federal sources .....                      | -8 | -7 | ..... |
| 4180 Budget authority, net (total) .....            | -4 | -4 | ..... |
| 4190 Outlays, net (total) .....                     | -4 | -4 | ..... |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 75-4305-0-3-552   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 83          | 64      | 53        |
| 2251 Repayments and prepayments .....   | -15         | -8      | .....     |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               | -4          | -3      | .....     |
| 2264 Other adjustments, net .....   | .....       | .....   | -53       |
| 2290 Outstanding, end of year .....   | 64          | 53      | .....     |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 64          | 53      | .....     |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 362         | 346     | 324       |
| 2331 Disbursements for guaranteed loan claims .....                               | 3           | 3       | .....     |
| 2351 Repayments of loans receivable .....   | -8          | -7      | .....     |
| 2361 Write-offs of loans receivable .....   | -21         | -18     | .....     |
| 2364 Other adjustments, net .....   | 10          | .....   | -324      |
| 2390 Outstanding, end of year .....   | 346         | 324     | .....     |

**Balance Sheet** (in millions of dollars)

| Identification code 75-4305-0-3-552                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 1           | 1           |
| 1701 Defaulted guaranteed loans, gross .....                            | 362         | 346         |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -238        | -238        |
| 1799 Value of assets related to loan guarantees .....                   | 124         | 108         |
| 1999 Total assets .....   | 125         | 109         |
| LIABILITIES:  |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 119         | 109         |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees .....     | 6           | .....       |
| 2999 Total liabilities .....  | 125         | 109         |
| 4999 Total liabilities and net position .....                           | 125         | 109         |

**MEDICAL FACILITIES GUARANTEE AND LOAN FUND****Program and Financing** (in millions of dollars)

| Identification code 75-9931-0-3-551                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....              | 1           | .....   | .....     |
| 1022 Capital transfer of unobligated balances to general fund ..... | -1          | .....   | .....     |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 1           | .....   | .....     |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | -1          | .....   | .....     |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 1           | .....   | .....     |

**Status of Direct Loans** (in millions of dollars)

| Identification code 75-9931-0-3-551             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year .....           | 7           | 7       | 7         |

## MEDICAL FACILITIES GUARANTEE AND LOAN FUND—Continued

## Status of Direct Loans—Continued

| Identification code 75–9931–0–3–551               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1251 Repayments: Repayments and prepayments ..... |             |         |           |
| 1290 Outstanding, end of year .....               | 7           | 7       | 7         |

Titles VI and XVI of the Public Health Service Act established a loan and loan guarantee fund for medical facilities with a maximum amount allowable for the Government's liability. Direct loans were made available for public facilities and guaranteed loans for private, nonprofit facilities. Funds under this authority were established in the amount of \$50 million for use in fulfilling guarantees in event of default, \$30 million as a revolving fund for direct loans and an amount for interest subsidy payments on guaranteed loans.

## Balance Sheet (in millions of dollars)

| Identification code 75–9931–0–3–551                  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1601 Direct loans, gross .....                       | 7           | 7           |
| 1999 Total assets .....                              | 7           | 7           |
| LIABILITIES:   |             |             |
| 2201 Non-Federal liabilities: Accounts payable ..... | 7           | 7           |
| 4999 Total liabilities and net position .....        | 7           | 7           |

## Trust Funds

## VACCINE INJURY COMPENSATION PROGRAM TRUST FUND

For payments from the Vaccine Injury Compensation Program Trust Fund ("Trust Fund"), such sums as may be necessary for claims associated with vaccine-related injury or death with respect to vaccines administered after September 30, 1988, pursuant to subtitle 2 of title XXI of the PHS Act, to remain available until expended: Provided, That for necessary administrative expenses, not to exceed \$6,477,000 shall be available from the Trust Fund to the Secretary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 75–8175–0–7–551  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 3,115       | 3,214   | 3,289     |
| Receipts:  |             |         |           |
| 0200 Deposits, Vaccine Injury Compensation Trust Fund .....                            | 254         | 262     | 271       |
| 0240 Interest and Profits on Investments, Vaccine Injury Compensation Trust Fund ..... | 49          | 74      | 121       |
| 0299 Total receipts and collections .....  | 303         | 336     | 392       |
| 0400 Total: Balances and collections .....   | 3,418       | 3,550   | 3,681     |
| Appropriations:  |             |         |           |
| 0500 Vaccine Injury Compensation Program Trust Fund .....                              | –19         | –19     | –19       |
| 0501 Vaccine Injury Compensation Program Trust Fund .....                              | –185        | –242    | –242      |
| 0599 Total appropriations .....  | –204        | –261    | –261      |
| 0799 Balance, end of year .....  | 3,214       | 3,289   | 3,420     |

## Program and Financing (in millions of dollars)

| Identification code 75–8175–0–7–551                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                            |             |         |           |
| 0001 Compensation: Claims for post - FY 1989 injuries ..... | 185         | 242     | 242       |
| 0103 Claims processing (Claims Court) .....                 | 5           | 5       | 5         |
| 0104 Claims processing (HRSA) .....                         | 6           | 6       | 6         |
| 0105 Claims processing (Dept. of Justice) .....             | 8           | 8       | 8         |

|   |     |     |     |
|---|-----|-----|-----|
| 0191 Total, administrative expenses .....         | 19  | 19  | 19  |
| 0799 Total direct obligations .....               | 204 | 261 | 261 |
| 0801 Reimbursable program activity (claims) ..... | 1   |     |     |
| 0900 Total new obligations .....                  | 205 | 261 | 261 |

## Budgetary Resources:

|   |     |     |     |
|---|-----|-----|-----|
| Budget authority:   |     |     |     |
| Appropriations, discretionary:  |     |     |     |
| 1101 Appropriation (special or trust fund) .....                                | 19  | 19  | 19  |
| 1160 Appropriation, discretionary (total) .....                                 | 19  | 19  | 19  |
| Appropriations, mandatory:  |     |     |     |
| 1201 Appropriation (special or trust fund) .....                                | 185 | 242 | 242 |
| 1260 Appropriations, mandatory (total) .....                                    | 185 | 242 | 242 |
| 1800 Spending authority from offsetting collections, mandatory: Collected ..... | 1   |     |     |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 1   |     |     |
| 1900 Budget authority (total) .....   | 205 | 261 | 261 |
| 1930 Total budgetary resources available .....                                  | 205 | 261 | 261 |

## Change in obligated balance:

|  |      |      |      |
|--|------|------|------|
| Unpaid obligations:  |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 19   | 19   |      |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | 2    |      |      |
| 3010 Obligations incurred, unexpired accounts .....                  | 205  | 261  | 261  |
| 3020 Outlays (gross) .....   | –207 | –280 | –261 |
| 3050 Unpaid obligations, end of year .....                           | 19   |      |      |
| Memorandum (non-add) entries:  |      |      |      |
| 3100 Obligated balance, start of year .....                          | 21   | 19   |      |
| 3200 Obligated balance, end of year .....                            | 19   |      |      |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 19  | 19  | 19  |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 10  | 19  | 19  |
| 4011 Outlays from discretionary balances .....      | 10  | 19  |     |
| 4020 Outlays, gross (total) .....                   | 20  | 38  | 19  |
| Mandatory:  |     |     |     |
| 4090 Budget authority, gross .....                  | 186 | 242 | 242 |
| Outlays, gross:                                     |     |     |     |
| 4100 Outlays from new mandatory authority .....     | 2   | 242 | 242 |
| 4101 Outlays from mandatory balances .....          | 185 |     |     |
| 4110 Outlays, gross (total) .....                   | 187 | 242 | 242 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4120 Federal sources .....                          | –1  |     |     |
| 4180 Budget authority, net (total) .....            | 204 | 261 | 261 |
| 4190 Outlays, net (total) .....                     | 206 | 280 | 261 |

## Memorandum (non-add) entries:

|  |       |       |       |
|--|-------|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 3,108 | 3,194 | 3,287 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 3,194 | 3,287 | 3,433 |

The Vaccine Injury Compensation Program was established pursuant to P.L. 99–660 and P.L. 100–203 and serves as a source of funds to pay claims for compensation for vaccine-related injury or death. This account reflects payments for claims for vaccine-related injury or death occurring after October 1, 1988.

## Object Classification (in millions of dollars)

| Identification code 75–8175–0–7–551                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 19          | 19      | 19        |
| 42.0 Insurance claims and indemnities .....              | 185         | 242     | 242       |
| 99.0 Direct obligations .....                            | 204         | 261     | 261       |
| 99.0 Reimbursable obligations .....                      | 1           |         |           |
| 99.9 Total new obligations .....                         | 205         | 261     | 261       |

**INDIAN HEALTH SERVICE****Federal Funds****INDIAN HEALTH SERVICE**

*For expenses necessary to carry out the Act of August 5, 1954 (68 Stat. 674), the Indian Self-Determination Act, the Indian Health Care Improvement Act, and titles II and III of the Public Health Service Act with respect to the Indian Health Service, \$3,505,293,000, together with payments received during the fiscal year pursuant to 42 U.S.C. 238(b) and 238b for services furnished by the Indian Health Service: Provided, That funds made available to tribes and tribal organizations through contracts, grant agreements, or any other agreements or compacts authorized by the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), shall be deemed to be obligated at the time of the grant or contract award and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: Provided further, That, \$878,575,000 for Purchased/Referred Care, including \$51,500,000 for the Indian Catastrophic Health Emergency Fund, shall remain available until expended: Provided further, That, of the funds provided, up to \$36,000,000 shall remain available until expended for implementation of the loan repayment program under section 108 of the Indian Health Care Improvement Act: Provided further, That the amounts collected by the Federal Government as authorized by sections 104 and 108 of the Indian Health Care Improvement Act (25 U.S.C. 1613a and 1616a) during the preceding fiscal year for breach of contracts shall be deposited to the Fund authorized by section 108A of the Act (25 U.S.C. 1616a-1) and shall remain available until expended and, notwithstanding section 108A(c) of the Act (25 U.S.C. 1616a-1(c)), funds shall be available to make new awards under the loan repayment and scholarship programs under sections 104 and 108 of the Act (25 U.S.C. 1613a and 1616a): Provided further, That notwithstanding any other provision of law, the amounts made available within this account for the methamphetamine and suicide prevention and treatment initiative and for the domestic violence prevention initiative shall be allocated at the discretion of the Director of the Indian Health Service and shall remain available until expended: Provided further, That funds provided in this Act may be used for annual contracts and grants that fall within 2 fiscal years, provided the total obligation is recorded in the year the funds are appropriated: Provided further, That the amounts collected by the Secretary of Health and Human Services under the authority of title IV of the Indian Health Care Improvement Act shall remain available until expended for the purpose of achieving compliance with the applicable conditions and requirements of titles XVIII and XIX of the Social Security Act, except for those related to the planning, design, or construction of new facilities: Provided further, That funding contained herein for scholarship programs under the Indian Health Care Improvement Act (25 U.S.C. 1613) shall remain available until expended: Provided further, That amounts received by tribes and tribal organizations under title IV of the Indian Health Care Improvement Act shall be reported and accounted for and available to the receiving tribes and tribal organizations until expended: Provided further, That the Bureau of Indian Affairs may collect from the Indian Health Service, tribes and tribal organizations operating health facilities pursuant to Public Law 93-638, such individually identifiable health information relating to disabled children as may be necessary for the purpose of carrying out its functions under the Individuals with Disabilities Education Act (20 U.S.C. 1400, et seq.): Provided further, That the Indian Health Care Improvement Fund may be used, as needed, to carry out activities typically funded under the Indian Health Facilities account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75-0390-0-1-551     |                                 | 2012 actual | 2013 CR | 2014 est. |
|---|---------------------------------|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |                                 |             |         |           |
| 0001                                    | Clinical services .....         | 3,153       | 3,177   | 3,189     |
| 0002                                    | Preventive health .....         | 147         | 148     | 152       |
| 0003                                    | Urban health .....              | 43          | 43      | 43        |
| 0004                                    | Indian health professions ..... | 41          | 41      | 41        |
| 0005                                    | Tribal management .....         | 3           | 3       | 3         |
| 0006                                    | Direct operations .....         | 72          | 72      | 72        |

|  |  |        |        |        |
|--|--|--------|--------|--------|
| 0007   | Self-governance .....  | 6      | 6      | 6      |
| 0008   | Contract support costs .....                                     | 471    | 476    | .....  |
| 0009   | Diabetes funds .....   | 187    | 187    | 150    |
| 0799   | Total direct obligations .....                                   | 4,123  | 4,153  | 3,656  |
| 0801   | Reimbursable program .....                                       | 1,100  | 1,102  | 1,100  |
| 0900   | Total new obligations .....                                      | 5,223  | 5,255  | 4,756  |
| <b>Budgetary Resources:</b>                                    |  |        |        |        |
| Unobligated balance:   |  |        |        |        |
| 1000   | Unobligated balance brought forward, Oct 1 .....                 | 745    | 560    | 510    |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....       | 700    | 514    | .....  |
| 1021   | Recoveries of prior year unpaid obligations .....                | 118    | .....  | .....  |
| 1050   | Unobligated balance (total) .....                                | 863    | 560    | 510    |
| Budget authority:  |  |        |        |        |
| Appropriations, discretionary:                                 |  |        |        |        |
| 1100   | Appropriation .....  | 3,872  | 3,890  | 3,505  |
| 1120   | Appropriations transferred to other accts [75-0390] .....        | -69    | .....  | .....  |
| 1121   | Appropriations transferred from other accts [75-0390] .....      | 69     | .....  | .....  |
| 1130   | Appropriations permanently reduced .....                         | -6     | .....  | .....  |
| 1160   | Appropriation, discretionary (total) .....                       | 3,866  | 3,890  | 3,505  |
| Appropriations, mandatory:                                     |  |        |        |        |
| 1200   | Appropriation .....  | 150    | 150    | 150    |
| 1260   | Appropriations, mandatory (total) .....                          | 150    | 150    | 150    |
| Spending authority from offsetting collections, discretionary: |  |        |        |        |
| 1700   | Collected .....  | 1,147  | 1,165  | 1,165  |
| 1701   | Change in uncollected payments, Federal sources .....            | -242   | .....  | .....  |
| 1750   | Spending auth from offsetting collections, disc (total) .....    | 905    | 1,165  | 1,165  |
| 1900   | Budget authority (total) .....                                   | 4,921  | 5,205  | 4,820  |
| 1930   | Total budgetary resources available .....                        | 5,784  | 5,765  | 5,330  |
| Memorandum (non-add) entries:                                  |  |        |        |        |
| 1940   | Unobligated balance expiring .....                               | -1     | .....  | .....  |
| 1941   | Unexpired unobligated balance, end of year .....                 | 560    | 510    | 574    |
| <b>Change in obligated balance:</b>                            |  |        |        |        |
| Unpaid obligations:  |  |        |        |        |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                 | 866    | 844    | 768    |
| 3010   | Obligations incurred, unexpired accounts .....                   | 5,223  | 5,255  | 4,756  |
| 3011   | Obligations incurred, expired accounts .....                     | 30     | .....  | .....  |
| 3020   | Outlays (gross) .....  | -5,138 | -5,331 | -5,010 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....     | -118   | .....  | .....  |
| 3041   | Recoveries of prior year unpaid obligations, expired .....       | -19    | .....  | .....  |
| 3050   | Unpaid obligations, end of year .....                            | 844    | 768    | 514    |
| Uncollected payments:  |  |        |        |        |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....     | -332   | -82    | -82    |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....        | 242    | .....  | .....  |
| 3071   | Change in uncollected pymts, Fed sources, expired .....          | 8      | .....  | .....  |
| 3090   | Uncollected pymts, Fed sources, end of year .....                | -82    | -82    | -82    |
| Memorandum (non-add) entries:                                  |  |        |        |        |
| 3100   | Obligated balance, start of year .....                           | 534    | 762    | 686    |
| 3200   | Obligated balance, end of year .....                             | 762    | 686    | 432    |
| <b>Budget authority and outlays, net:</b>                      |  |        |        |        |
| Discretionary:   |  |        |        |        |
| 4000   | Budget authority, gross .....                                    | 4,771  | 5,055  | 4,670  |
| Outlays, gross:  |  |        |        |        |
| 4010   | Outlays from new discretionary authority .....                   | 4,307  | 4,355  | 4,039  |
| 4011   | Outlays from discretionary balances .....                        | 721    | 820    | 815    |
| 4020   | Outlays, gross (total) .....                                     | 5,028  | 5,175  | 4,854  |
| Offsets against gross budget authority and outlays:            |  |        |        |        |
| Offsetting collections (collected) from:                       |  |        |        |        |
| 4030   | Federal sources .....  | -324   | -368   | -368   |
| 4033   | Non-Federal sources .....  | -823   | -797   | -797   |
| 4040   | Offsets against gross budget authority and outlays (total) ..... | -1,147 | -1,165 | -1,165 |
| Additional offsets against gross budget authority only:        |  |        |        |        |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....        | 242    | .....  | .....  |
| 4070   | Budget authority, net (discretionary) .....                      | 3,866  | 3,890  | 3,505  |
| 4080   | Outlays, net (discretionary) .....                               | 3,881  | 4,010  | 3,689  |
| Mandatory:   |  |        |        |        |
| 4090   | Budget authority, gross .....                                    | 150    | 150    | 150    |
| Outlays, gross:  |  |        |        |        |
| 4100   | Outlays from new mandatory authority .....                       | 49     | 144    | 144    |
| 4101   | Outlays from mandatory balances .....                            | 61     | 12     | 12     |
| 4110   | Outlays, gross (total) .....                                     | 110    | 156    | 156    |
| 4180   | Budget authority, net (total) .....                              | 4,016  | 4,040  | 3,655  |
| 4190   | Outlays, net (total) .....                                       | 3,991  | 4,166  | 3,845  |

## INDIAN HEALTH SERVICE—Continued

The Indian Health Services account provides medical care, public health services, and health professions training opportunities to American Indians and Alaska Natives. An estimated \$2.403 billion primarily through self determination contracts and compacts, will be administered by tribal governments in 2014.

## Object Classification (in millions of dollars)

| Identification code 75-0390-0-1-551                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 413         | 413     | 409       |
| 11.3 Other than full-time permanent .....                       | 21          | 21      | 20        |
| 11.5 Other personnel compensation .....                         | 58          | 58      | 58        |
| 11.7 Military personnel .....                                   | 76          | 76      | 75        |
| 11.9 Total personnel compensation .....                         | 568         | 568     | 562       |
| 12.1 Civilian personnel benefits .....                          | 148         | 148     | 147       |
| 12.2 Military personnel benefits .....                          | 35          | 35      | 34        |
| 13.0 Benefits for former personnel .....                        | 9           | 9       | 9         |
| 21.0 Travel and transportation of persons .....                 | 8           | 8       | 8         |
| 21.0 Patient travel .....                                       | 35          | 35      | 37        |
| 22.0 Transportation of things .....                             | 7           | 7       | 7         |
| 23.1 Rental payments to GSA .....                               | 9           | 9       | 9         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 12          | 12      | 12        |
| 25.1 Advisory and assistance services .....                     | 9           | 9       | 4         |
| 25.2 Other services from non-Federal sources .....              | 123         | 125     | 121       |
| 25.3 Other goods and services from Federal sources .....        | 48          | 48      | 48        |
| 25.4 Operation and maintenance of facilities .....              | 8           | 8       | 8         |
| 25.6 Medical care .....   | 328         | 333     | 343       |
| 25.7 Operation and maintenance of equipment .....               | 18          | 18      | 19        |
| 25.8 Subsistence and support of persons .....                   | 3           | 3       | 4         |
| 26.0 Supplies and materials .....                               | 109         | 111     | 114       |
| 31.0 Equipment .....  | 12          | 12      | 12        |
| 41.0 Grants, subsidies, and contributions .....                 | 2,633       | 2,654   | 2,157     |
| 99.0 Direct obligations .....                                   | 4,123       | 4,153   | 3,656     |
| 99.0 Reimbursable obligations .....                             | 1,100       | 1,102   | 1,100     |
| 99.9 Total new obligations .....                                | 5,223       | 5,255   | 4,756     |

## Employment Summary

| Identification code 75-0390-0-1-551                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 7,013       | 7,128   | 7,128     |
| 1101 Direct military average strength employment .....           | 1,085       | 1,103   | 1,103     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5,272       | 5,291   | 5,291     |
| 2101 Reimbursable military average strength employment .....     | 816         | 819     | 819       |

## INDIAN HEALTH CONTRACT SUPPORT COSTS

For payments of contract support costs associated with ongoing Indian Self-Determination Act agreements with the Indian Health Service for fiscal or calendar year 2014, not to exceed \$477,205,000: Provided, That, notwithstanding any other provision of law, the amount available for contract support costs associated with each ongoing Indian Self-Determination Act agreement with the Indian Health Service for fiscal or calendar year 2014 shall not exceed the amount identified in the Indian Health Service Contract Support Costs table submitted by the Secretary of Health and Human Services to the House and Senate Committees on Appropriations.

In addition, not to exceed \$500,000 shall be available for payments for contract support costs associated with new or expanded Indian Self-Determination Act agreements with the Indian Health Service for fiscal or calendar year 2014.

## Program and Financing (in millions of dollars)

| Identification code 75-3920-0-1-551 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0001 Contract Support Costs .....   |             |         | 477       |

|  |  |  |      |
|--|--|--|------|
| 0900 Total new obligations (object class 41.0) ..... |  |  | 477  |
| Budgetary Resources:                                 |  |  |      |
| Budget authority:                                    |  |  |      |
| Appropriations, discretionary:                       |  |  |      |
| 1100 Appropriation .....                             |  |  | 477  |
| 1160 Appropriation, discretionary (total) .....      |  |  | 477  |
| 1930 Total budgetary resources available .....       |  |  | 477  |
| Change in obligated balance:                         |  |  |      |
| Unpaid obligations:                                  |  |  |      |
| 3010 Obligations incurred, unexpired accounts .....  |  |  | 477  |
| 3020 Outlays (gross) .....                           |  |  | -391 |
| 3050 Unpaid obligations, end of year .....           |  |  | 86   |
| Memorandum (non-add) entries:                        |  |  |      |
| 3200 Obligated balance, end of year .....            |  |  | 86   |
| Budget authority and outlays, net:                   |  |  |      |
| Discretionary:                                       |  |  |      |
| 4000 Budget authority, gross .....                   |  |  | 477  |
| Outlays, gross:                                      |  |  |      |
| 4010 Outlays from new discretionary authority .....  |  |  | 391  |
| 4180 Budget authority, net (total) .....             |  |  | 477  |
| 4190 Outlays, net (total) .....                      |  |  | 391  |

The Indian Health Service Contract Support Costs (CSC) account supports federal government payments to tribes for certain administrative costs associated with executing tribal self-governance contracts and compacts under the Indian Self Determination and Education Assistance Act (ISDEAA), P.L. 93-638, that are not otherwise funded under the contract or compact. The Bureau of Indian Affairs is also required to pay CSC under ISDEAA. Payments are available for direct and indirect contract support costs. Indirect contract support costs are those incurred for a Tribe's or tribal organization's common services, including, but not limited to, insurance and audits. Direct contract costs include program-specific costs such as unemployment taxes and workers compensation insurance. The account also supports the costs associated with executing or administering new and/or expanded self-determination contracts.

## INDIAN HEALTH FACILITIES

For construction, repair, maintenance, improvement, and equipment of health and related auxiliary facilities, including quarters for personnel; preparation of plans, specifications, and drawings; acquisition of sites, purchase and erection of modular buildings, and purchases of trailers; and for provision of domestic and community sanitation facilities for Indians, as authorized by section 7 of the Act of August 5, 1954 (42 U.S.C. 2004a), the Indian Self-Determination Act, and the Indian Health Care Improvement Act, and for expenses necessary to carry out such Acts and titles II and III of the Public Health Service Act with respect to environmental health and facilities support activities of the Indian Health Service, \$448,139,000 to remain available until expended: Provided, That notwithstanding any other provision of law, funds appropriated for the planning, design, construction, renovation or expansion of health facilities for the benefit of an Indian tribe or tribes may be used to purchase land on which such facilities will be located: Provided further, That not to exceed \$500,000 shall be used by the Indian Health Service to purchase TRANSAM equipment from the Department of Defense for distribution to the Indian Health Service and tribal facilities: Provided further, That none of the funds appropriated to the Indian Health Service may be used for sanitation facilities construction for new homes funded with grants by the housing programs of the United States Department of Housing and Urban Development: Provided further, That not to exceed \$2,700,000 from this account and the "Indian Health Services" account shall be used by the Indian Health Service to obtain ambulances for the Indian Health Service and tribal facilities in conjunction with an existing interagency agreement between the Indian Health Service and the General Services Administration: Provided further, That not to exceed \$500,000 shall be placed in a Demolition Fund, to remain available until expended, and be used by the Indian Health Service for the demolition of Federal buildings.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 75–0391–0–1–551                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               |             |         |           |
| Receipts:   |             |         |           |
| 0220 Rent and Charges for Quarters, Indian Health Service ..... | 8           | 8       | 8         |
| 0400 Total: Balances and collections .....                      | 8           | 8       | 8         |
| Appropriations:   |             |         |           |
| 0500 Indian Health Facilities .....                             | –8          | –8      | –8        |
| 0799 Balance, end of year .....                                 |             |         |           |

### Program and Financing (in millions of dollars)

| Identification code 75–0391–0–1–551                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Sanitation and health facilities .....                        | 175         | 176     | 164       |
| 0002 Maintenance .....   | 63          | 63      | 54        |
| 0003 Facilities and environmental health .....                     | 203         | 205     | 207       |
| 0004 Equipment .....   | 26          | 26      | 23        |
| 0100 Total direct program .....                                    | 467         | 470     | 448       |
| 0799 Total direct obligations .....                                | 467         | 470     | 448       |
| 0801 Reimbursable program .....                                    | 39          | 39      | 39        |
| 0900 Total new obligations .....                                   | 506         | 509     | 487       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 216         | 167     | 115       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 216         | 167     |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 7           |         |           |
| 1050 Unobligated balance (total) .....                             | 223         | 167     | 115       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 441         | 443     | 448       |
| 1130 Appropriations permanently reduced .....                      | –1          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 440         | 443     | 448       |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 8           | 8       | 8         |
| 1260 Appropriations, mandatory (total) .....                       | 8           | 8       | 8         |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 18          | 6       | 6         |
| 1701 Change in uncollected payments, Federal sources .....         | –16         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2           | 6       | 6         |
| 1900 Budget authority (total) .....                                | 450         | 457     | 462       |
| 1930 Total budgetary resources available .....                     | 673         | 624     | 577       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 167         | 115     | 90        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 578         | 526     | 540       |
| 3010 Obligations incurred, unexpired accounts .....                | 506         | 509     | 487       |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020 Outlays (gross) .....   | –551        | –495    | –491      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –7          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 526         | 540     | 536       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –21         | –6      | –6        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 16          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | –1          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –6          | –6      | –6        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 557         | 520     | 534       |
| 3200 Obligated balance, end of year .....                          | 520         | 534     | 530       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 442         | 449     | 454       |

|  |     |     |     |
|--|-----|-----|-----|
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 283 | 139 | 141 |
| 4011 Outlays from discretionary balances .....                 | 263 | 348 | 342 |
| 4020 Outlays, gross (total) .....                              | 546 | 487 | 483 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | –18 | –6  | –6  |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 16  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 440 | 443 | 448 |
| 4080 Outlays, net (discretionary) .....                        | 528 | 481 | 477 |
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                             | 8   | 8   | 8   |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                | 2   | 8   | 8   |
| 4101 Outlays from mandatory balances .....                     | 3   |     |     |
| 4110 Outlays, gross (total) .....                              | 5   | 8   | 8   |
| 4180 Budget authority, net (total) .....                       | 448 | 451 | 456 |
| 4190 Outlays, net (total) .....                                | 533 | 489 | 485 |

The Indian Health Facilities account supports construction, repair and improvement, equipment, and environmental health and facilities support for the Indian Health Service. An estimated \$208.8 million primarily through self determination contracts and compacts, will be administered by tribal governments in 2014.

### Object Classification (in millions of dollars)

| Identification code 75–0391–0–1–551                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 47          | 47      | 47        |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.7 Military personnel .....                                   | 23          | 23      | 24        |
| 11.9 Total personnel compensation .....                         | 74          | 74      | 75        |
| 12.1 Civilian personnel benefits .....                          | 15          | 15      | 14        |
| 12.2 Military personnel benefits .....                          | 8           | 8       | 8         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0 Transportation of things .....                             | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 16          | 16      | 16        |
| 25.1 Advisory and assistance services .....                     | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 81          | 82      | 71        |
| 25.3 Other goods and services from Federal sources .....        | 4           | 4       | 4         |
| 25.4 Operation and maintenance of facilities .....              | 8           | 8       | 6         |
| 25.7 Operation and maintenance of equipment .....               | 4           | 4       | 4         |
| 25.8 Subsistence and support of persons .....                   | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 8           | 8       | 7         |
| 31.0 Equipment .....  | 14          | 14      | 13        |
| 32.0 Land and structures .....                                  | 42          | 43      | 41        |
| 41.0 Grants, subsidies, and contributions .....                 | 183         | 184     | 179       |
| 99.0 Direct obligations .....                                   | 467         | 470     | 448       |
| 99.0 Reimbursable obligations .....                             | 39          | 39      | 39        |
| 99.9 Total new obligations .....                                | 506         | 509     | 487       |

### Employment Summary

| Identification code 75–0391–0–1–551                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,021       | 1,021   | 1,021     |
| 1101 Direct military average strength employment .....           | 170         | 170     | 170       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 32          | 32      | 32        |

### ADMINISTRATIVE PROVISIONS—INDIAN HEALTH SERVICE

*Appropriations provided in this Act to the Indian Health Service shall be available for services as authorized by 5 U.S.C. 3109 at rates not to exceed the per diem rate equivalent to the maximum rate payable for senior-level positions under 5 U.S.C. 5376; hire of passenger motor vehicles and aircraft; purchase of medical equipment; purchase of reprints; purchase, renovation and erection of modular buildings and renovation of existing facilities; payments for telephone service in private residences in the field, when authorized under regulations approved by the Secretary;*

uniforms or allowances therefor as authorized by 5 U.S.C. 5901–5902; and for expenses of attendance at meetings that relate to the functions or activities of the Indian Health Service: Provided, That in accordance with the provisions of the Indian Health Care Improvement Act, non-Indian patients may be extended health care at all tribally administered or Indian Health Service facilities, subject to charges, and the proceeds along with funds recovered under the Federal Medical Care Recovery Act (42 U.S.C. 2651–2653) shall be credited to the account of the facility providing the service and shall be available without fiscal year limitation: Provided further, That notwithstanding any other law or regulation, funds transferred from the Department of Housing and Urban Development to the Indian Health Service shall be administered under Public Law 86–121, the Indian Sanitation Facilities Act and Public Law 93–638, as amended: Provided further, That funds appropriated to the Indian Health Service in this Act, except those used for administrative and program direction purposes, shall not be subject to limitations directed at curtailing Federal travel and transportation: Provided further, That none of the funds made available to the Indian Health Service in this Act shall be used for any assessments or charges by the Department of Health and Human Services unless identified in the budget justification and provided in this Act, or approved by the House and Senate Committees on Appropriations through the reprogramming process: Provided further, That notwithstanding any other provision of law, funds previously or herein made available to a tribe or tribal organization through a contract, grant, or agreement authorized by title I or title V of the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450), may be deobligated and reobligated to a self-determination contract under title I, or a self-governance agreement under title V of such Act and thereafter shall remain available to the tribe or tribal organization without fiscal year limitation: Provided further, That none of the funds made available to the Indian Health Service in this Act shall be used to implement the final rule published in the Federal Register on September 16, 1987, by the Department of Health and Human Services, relating to the eligibility for the health care services of the Indian Health Service until the Indian Health Service has submitted a budget request reflecting the increased costs associated with the proposed final rule, and such request has been included in an appropriations Act and enacted into law: Provided further, That with respect to functions transferred by the Indian Health Service to tribes or tribal organizations, the Indian Health Service is authorized to provide goods and services to those entities on a reimbursable basis, including payments in advance with subsequent adjustment, and the reimbursements received therefrom, along with the funds received from those entities pursuant to the Indian Self-Determination Act, may be credited to the same or subsequent appropriation account from which the funds were originally derived, with such amounts to remain available until expended: Provided further, That reimbursements for training, technical assistance, or services provided by the Indian Health Service will contain total costs, including direct, administrative, and overhead associated with the provision of goods, services, or technical assistance: Provided further, That the appropriation structure for the Indian Health Service may not be altered without advance notification to the House and Senate Committees on Appropriations.

## CENTERS FOR DISEASE CONTROL AND PREVENTION

### Federal Funds

#### CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

##### IMMUNIZATION AND RESPIRATORY DISEASES

For carrying out titles II, III, XVII, and XXI, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to immunization and respiratory diseases, \$668,696,000: Provided, That in addition to amounts provided herein, \$12,864,000 shall be available from amounts available under section 241 of the PHS Act to carry out the National Immunization Surveys.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### HIV/AIDS, VIRAL HEPATITIS, SEXUALLY TRANSMITTED DISEASES, AND TUBERCULOSIS PREVENTION

For carrying out titles II, III, XVII, and XXIII of the PHS Act with respect to HIV/AIDS, viral hepatitis, sexually transmitted diseases, and tuberculosis prevention, \$1,173,942,000: Provided, That in addition to the amounts provided herein, \$3,000,000 shall be available from amounts available under section 241 of the PHS Act to carry out the evaluation of HIV school health activities.

#### EMERGING AND ZOO NOTIC INFECTIOUS DISEASES

For carrying out titles II, III, and XVII, and section 2821 of the PHS Act, titles II and IV of the Immigration and Nationality Act, and section 501 of the Refugee Education Assistance Act, with respect to emerging and zoonotic infectious diseases, \$380,664,000, of which \$1,000,000 shall remain available until expended to pay for the transportation, medical care, treatment, and other related costs of persons quarantined or isolated under federal or state quarantine laws.

#### CHRONIC DISEASE PREVENTION AND HEALTH PROMOTION

For carrying out titles II, III, XI, XV, and XVII of the PHS Act and Public Law 111–148 with respect to chronic disease prevention and health promotion, \$620,189,000: Provided, That Centers for Disease Control and Prevention and State grant recipients may reallocate up to five percent of grant funds received for diabetes, heart disease and stroke, obesity, and school health activities to address cross-cutting chronic disease issues: Provided further, That with respect to the previous proviso, grantees shall submit a plan in writing to the Centers for Disease Control and Prevention and obtain the approval of the Centers for Disease Control and Prevention to reallocate such funds: Provided further, That funds appropriated under this account may be available for making grants under section 1509 of the PHS Act for up to 21 States, tribes, or tribal organizations: Provided further, That the Secretary may grant up to ten waivers under section 1503(d) of the PHS Act.

#### BIRTH DEFECTS, DEVELOPMENTAL DISABILITIES, DISABILITIES AND HEALTH

For carrying out titles II, III, XI, and XVII of the PHS Act with respect to birth defects, developmental disabilities, disabilities and health, \$67,148,000.

#### PUBLIC HEALTH SCIENTIFIC SERVICES

For carrying out titles II, III, and XVII of the PHS Act and Public Law 111–148 with respect to health statistics, surveillance, health informatics, and workforce development, \$144,416,000: Provided, That in addition to amounts provided herein, \$324,889,000 shall be available from amounts available under section 241 of the PHS Act to carry out Public Health Scientific Services.

#### ENVIRONMENTAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to environmental health, \$126,126,000.

#### INJURY PREVENTION AND CONTROL

For carrying out titles II, III, and XVII of the PHS Act with respect to injury prevention and control, \$176,585,000: Provided, that in addition to the amounts provided herein, \$5,000,000 shall be available from amounts under section 241 of the PHS Act to carry out the evaluation of Rape Prevention and Education programs authorized by the Violence Against Women Act.

#### NATIONAL INSTITUTE FOR OCCUPATIONAL SAFETY AND HEALTH

For carrying out titles II, III, and XVII of the PHS Act, sections 101, 102, 103, 201, 202, 203, 301, 501, and 514 of the Federal Mine Safety and Health Act, section 13 of the Mine Improvement and New Emergency Response Act, and sections 20, 21, and 22 of the Occupational Safety and Health Act, with respect to occupational safety and health, \$271,911,000 shall be available from amounts available under section 241 of the PHS Act.

#### ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION PROGRAM

For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, \$55,358,000 to remain available until expended: Provided, That this amount shall be available consistent with the provision regarding administrative expenses in section 151(b) of division B, title I of Public Law 106–554.

## GLOBAL HEALTH

For carrying out titles II, III, and XVII of the PHS Act with respect to global health, \$393,024,000 of which \$131,942,000 for international HIV/AIDS shall remain available through September 30, 2015: Provided, That funds may be used for purchase and insurance of official motor vehicles in foreign countries.

## PUBLIC HEALTH PREPAREDNESS AND RESPONSE

For carrying out titles II, III, and XVII of the PHS Act with respect to public health preparedness and response, and for expenses necessary to support activities related to countering potential biological, nuclear, radiological, and chemical threats to civilian populations, \$1,334,316,000, of which \$510,278,000 shall remain available until expended for the Strategic National Stockpile.

## CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT

For carrying out titles II, III, and XVII and section 2821 of the PHS Act and for cross-cutting activities and program support that supplement activities funded under the headings "Immunization and Respiratory Diseases", "HIV/AIDS, Viral Hepatitis, Sexually Transmitted Diseases, and Tuberculosis Prevention", "Emerging and Zoonotic Infectious Diseases", "Chronic Disease Prevention and Health Promotion", "Birth Defects, Developmental Disabilities, Disabilities and Health", "Environmental Health", "Injury Prevention and Control", "National Institute for Occupational Safety and Health", "Employees Occupational Illness Compensation Program Act", "Global Health", "Public Health Preparedness and Response", and "Public Health Scientific Services", and for carrying out section 4001 of the Patient Protection and Affordable Care Act, \$131,403,000, of which \$14,591,000 shall be available until expended for equipment, construction, and renovation of facilities: Provided, That funds appropriated under this heading and in all other accounts of the Centers for Disease Control and Prevention (referred to in this title as "CDC") may be used to support the purchase, hire, maintenance, and operation of aircraft for use and support of the activities of CDC: Provided further, That employees of CDC or the Public Health Service, both civilian and commissioned officers, detailed to States, municipalities, or other organizations under authority of section 214 of the PHS Act, or in overseas assignments, shall be treated as non-Federal employees for reporting purposes only and shall not be included within any personnel ceiling applicable to the Agency, Service, or HHS during the period of detail or assignment: Provided further, That CDC may use up to \$10,000 from amounts appropriated to CDC in this Act for official reception and representation expenses when specifically approved by the Director of CDC: Provided further, That in addition, such sums as may be derived from authorized user fees, which shall be credited to the appropriation charged with the cost thereof: Provided further, That with respect to the previous proviso, authorized user fees from the Vessel Sanitation Program shall be available through September 30, 2015: Provided further, That of the funds made available under this heading and in all other accounts of CDC, up to \$1,000 per eligible employee of CDC shall be made available until expended for Individual Learning Accounts: Provided further, That the Director may transfer funds between any of the accounts of CDC with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 75–0943–0–1–999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0220 Cooperative Research and Development Agreements, Centers for Disease Control ..... | 3           | 2       | 2         |
| 0400 Total: Balances and collections .....  | 3           | 2       | 2         |
| Appropriations:   |             |         |           |
| 0500 CDC-Wide Activities and Program Support .....                                      | –3          | –2      | –2        |
| 0799 Balance, end of year .....   |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 75–0943–0–1–999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Birth Defects, Developmental Disabilities, Disability and Health (BA & Prevention Fund) (0958) ..... | 137         | 138     | 142       |
| 0002 CDC Wide Activities and Program Support (0943) .....   | 659         | 622     | 173       |
| 0004 Chronic Disease Prevention and Health Promotion (0948) .....   | 1,167       | 761     | 1,036     |
| 0005 Emerging and Zoonotic Infectious Diseases (0949) .....   | 304         | 254     | 432       |
| 0006 Energy Employee Illness Occupational Compensation Program Act (EEOICPA) (0954) .....                 | 54          |         | 55        |
| 0007 Environmental Health (0947) .....  | 140         | 106     | 155       |
| 0008 Global Health (0955) .....   | 348         | 350     | 393       |
| 0012 HIV/AIDS, Viral Hepatitis, STD and TB Prevention (0950) .....  | 1,110       | 1,107   | 1,177     |
| 0013 Immunization and Respiratory Diseases (0951) .....   | 779         | 593     | 754       |
| 0015 Injury Prevention and Control (0952) .....   | 138         | 139     | 182       |
| 0016 Occupational Safety and Health (0953) .....  | 293         | 294     | 272       |
| 0019 Public Health Preparedness & Response (0956) .....   | 1,299       | 1,308   | 1,334     |
| 0020 Public Health Scientific Services (0959) .....   | 462         | 394     | 539       |
| 0021 Cooperative Research and Development Agreements (CRADA) (5146) .....                                 | 2           | 2       | 2         |
| 0799 Total direct obligations .....   | 6,892       | 6,068   | 6,646     |
| 0802 Other Reimbursable Programs .....  | 273         | 273     | 273       |
| 0809 Reimbursable program activities, subtotal .....  | 273         | 273     | 273       |
| 0900 Total new obligations .....  | 7,165       | 6,341   | 6,919     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 193         | 182     |           |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 96          | 96      |           |
| 1021 Recoveries of prior year unpaid obligations .....  | 7           |         |           |
| 1050 Unobligated balance (total) .....  | 200         | 182     |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 5,688       | 5,692   | 5,217     |
| 1120 Appropriations transferred to other accts [75–0350] .....  | –1          |         |           |
| 1130 Appropriations permanently reduced .....   | –31         |         |           |
| 1160 Appropriation, discretionary (total) .....   | 5,656       | 5,692   | 5,217     |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 55          |         | 55        |
| 1201 Appropriation (special or trust fund) .....  | 3           | 2       | 2         |
| 1221 Appropriations transferred from other accts [75–0116] .....  | 809         |         | 755       |
| 1260 Appropriations, mandatory (total) .....  | 867         | 2       | 812       |
| Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700 Collected .....  | 326         | 465     | 890       |
| 1701 Change in uncollected payments, Federal sources .....  | 307         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 633         | 465     | 890       |
| 1900 Budget authority (total) .....   | 7,156       | 6,159   | 6,919     |
| 1930 Total budgetary resources available .....  | 7,356       | 6,341   | 6,919     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –9          |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 182         |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 6,452       | 6,314   | 5,830     |
| 3010 Obligations incurred, unexpired accounts .....   | 7,165       | 6,341   | 6,919     |
| 3011 Obligations incurred, expired accounts .....   | 28          |         |           |
| 3020 Outlays (gross) .....  | –7,243      | –6,825  | –6,809    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | –7          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –81         |         |           |
| 3050 Unpaid obligations, end of year .....  | 6,314       | 5,830   | 5,940     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –468        | –460    | –460      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....  | –307        |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....  | 315         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....  | –460        | –460    | –460      |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 5,984       | 5,854   | 5,370     |
| 3200 Obligated balance, end of year .....   | 5,854       | 5,370   | 5,480     |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 6,289       | 6,157   | 6,107     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 2,497       | 2,415   | 2,702     |
| 4011 Outlays from discretionary balances .....  | 4,183       | 3,994   | 3,723     |
| 4020 Outlays, gross (total) .....   | 6,680       | 6,409   | 6,425     |

CDC-WIDE ACTIVITIES AND PROGRAM SUPPORT—Continued  
Program and Financing—Continued

| Identification code 75–0943–0–1–999                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –629        | –458    | –881      |
| 4033 Non-Federal sources .....                                       | –7          | –7      | –9        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –636        | –465    | –890      |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –307        |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 310         |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | 3           |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 5,656       | 5,692   | 5,217     |
| 4080 Outlays, net (discretionary) .....                              | 6,044       | 5,944   | 5,535     |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 867         | 2       | 812       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 207         | 2       | 303       |
| 4101 Outlays from mandatory balances .....                           | 356         | 414     | 81        |
| 4110 Outlays, gross (total) .....                                    | 563         | 416     | 384       |
| 4180 Budget authority, net (total) .....                             | 6,523       | 5,694   | 6,029     |
| 4190 Outlays, net (total) .....                                      | 6,607       | 6,360   | 5,919     |

The Centers for Disease Control and Prevention (CDC) supports a number of categorical programs designed to improve the health, safety, and protection of all Americans. These activities include immunization and respiratory diseases, HIV/AIDS, Hepatitis, STDs, and Tuberculosis prevention, emerging and zoonotic infectious diseases, chronic disease prevention and health promotion, occupational safety and health, public health and scientific services, injury prevention and control, environmental health, global health, programs that reduce the occurrence of birth defects and developmental disabilities, and CDC-Wide Activities and Program Support. CDC also supports bioterrorism, and emergency response. The 2012 and 2013 levels in MAX are not comparable with the 2014 Budget levels. The 2014 Budget realigns business support services from the prior fiscal to the program budget lines based on previous consumption data.

## Object Classification (in millions of dollars)

| Identification code 75–0943–0–1–999                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 696         | 737     | 742       |
| 11.3 Other than full-time permanent .....                       | 95          | 92      | 92        |
| 11.5 Other personnel compensation .....                         | 31          | 39      | 39        |
| 11.7 Military personnel .....                                   | 68          | 67      | 69        |
| 11.8 Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 891         | 936     | 943       |
| 12.1 Civilian personnel benefits .....                          | 247         | 252     | 254       |
| 12.2 Military personnel benefits .....                          | 46          | 48      | 48        |
| 21.0 Travel and transportation of persons .....                 | 46          | 41      | 41        |
| 22.0 Transportation of things .....                             | 20          | 15      | 15        |
| 23.1 Rental payments to GSA .....                               | 25          | 52      | 52        |
| 23.2 Rental payments to others .....                            | 2           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 51          | 34      | 34        |
| 24.0 Printing and reproduction .....                            | 3           | 5       | 5         |
| 25.1 Advisory and assistance services .....                     | 634         | 448     | 448       |
| 25.2 Other services from non-Federal sources .....              | 226         | 193     | 193       |
| 25.3 Other goods and services from Federal sources .....        | 386         | 371     | 371       |
| 25.4 Operation and maintenance of facilities .....              | 74          | 47      | 47        |
| 25.5 Research and development contracts .....                   | 84          | 63      | 63        |
| 25.6 Medical care .....   | 36          | 28      | 28        |
| 25.7 Operation and maintenance of equipment .....               | 40          | 33      | 33        |
| 25.8 Subsistence and support of persons (Includes 1259) .....   | 41          | 30      | 30        |
| 26.0 Supplies and materials .....                               | 646         | 528     | 528       |
| 31.0 Equipment .....  | 94          | 56      | 56        |
| 32.0 Land and structures .....                                  | 15          | 8       | 8         |
| 41.0 Grants, subsidies, and contributions .....                 | 3,285       | 2,879   | 3,448     |
| 99.0 Direct obligations .....                                   | 6,892       | 6,068   | 6,646     |
| 99.0 Reimbursable obligations .....                             | 273         | 273     | 273       |
| 99.9 Total new obligations .....                                | 7,165       | 6,341   | 6,919     |

## Employment Summary

| Identification code 75–0943–0–1–999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 8,676       | 8,623   | 8,623     |
| 1101 Direct military average strength employment .....           | 805         | 805     | 805       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,018       | 1,018   | 1,018     |
| 2101 Reimbursable military average strength employment .....     | 72          | 72      | 72        |

## CDC WORKING CAPITAL FUND

The Consolidated Appropriations Bill, 2012 (P.L. 112–74, Division F) provided authorities equivalent to those provided in 42 U.S.C. 231 for CDC to begin creating a Working Capital Fund. The Working Capital Fund will be used by CDC to achieve greater cost efficiencies across the administrative operations of the agency.

## AGENCY FOR TOXIC SUBSTANCES AND DISEASE REGISTRY

## TOXIC SUBSTANCES AND ENVIRONMENTAL PUBLIC HEALTH

*For necessary expenses for the Agency for Toxic Substances and Disease Registry (ATSDR) in carrying out activities set forth in sections 104(i) and 111(c)(4) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended; and section 3019 of the Solid Waste Disposal Act, as amended, \$76,215,000 of which up to \$1,000 per eligible employee of the Agency for Toxic Substances and Disease Registry shall remain available until expended for Individual Learning Accounts: Provided, That notwithstanding any other provision of law, in lieu of performing a health assessment under section 104(i)(6) of CERCLA, the Administrator of ATSDR may conduct other appropriate health studies, evaluations, or activities, including, without limitation, biomedical testing, clinical evaluations, medical monitoring, and referral to accredited healthcare providers: Provided further, That in performing any such health assessment or health study, evaluation, or activity, the Administrator of ATSDR shall not be bound by the deadlines in section 104(i)(6)(A) of CERCLA: Provided further, That none of the funds appropriated under this heading shall be available for ATSDR to issue in excess of 40 toxicological profiles pursuant to section 104(i) of CERCLA during fiscal year 2014, and existing profiles may be updated as necessary.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75–0944–0–1–551                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                   |             |         |           |
| 0001 Direct program .....  | 79          | 77      | 76        |
| 0801 Reimbursable program .....                                    | 11          | 10      | 10        |
| 0900 Total new obligations .....                                   | 90          | 87      | 86        |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 20          | 17      | 17        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 76          | 77      | 76        |
| 1160 Appropriation, discretionary (total) .....                    | 76          | 77      | 76        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 4           | 10      | 10        |
| 1701 Change in uncollected payments, Federal sources .....         | 7           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 11          | 10      | 10        |
| 1900 Budget authority (total) .....                                | 87          | 87      | 86        |
| 1930 Total budgetary resources available .....                     | 107         | 104     | 103       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 17          | 17      | 17        |



|                                     |  |     |       |       |
|-------------------------------------|--|-----|-------|-------|
| <b>Change in obligated balance:</b> |  |     |       |       |
| Unpaid obligations:                 |  |     |       |       |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 48  | 52    | 26    |
| 3010                                | Obligations incurred, unexpired accounts .....               | 90  | 87    | 86    |
| 3020                                | Outlays (gross) .....  | -85 | -113  | -81   |
| 3041                                | Recoveries of prior year unpaid obligations, expired .....   | -1  | ..... | ..... |
| 3050                                | Unpaid obligations, end of year .....                        | 52  | 26    | 31    |
| Uncollected payments:               |  |     |       |       |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -13 | -14   | -14   |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | -7  | ..... | ..... |
| 3071                                | Change in uncollected pymts, Fed sources, expired .....      | 6   | ..... | ..... |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -14 | -14   | -14   |
| Memorandum (non-add) entries:       |  |     |       |       |
| 3100                                | Obligated balance, start of year .....                       | 35  | 38    | 12    |
| 3200                                | Obligated balance, end of year .....                         | 38  | 12    | 17    |

|   |  |    |       |       |
|---|--|----|-------|-------|
| <b>Budget authority and outlays, net:</b>               |  |    |       |       |
| Discretionary:  |  |    |       |       |
| 4000  | Budget authority, gross .....                                  | 87 | 87    | 86    |
| Outlays, gross:   |  |    |       |       |
| 4010  | Outlays from new discretionary authority .....                 | 53 | 64    | 63    |
| 4011  | Outlays from discretionary balances .....                      | 29 | 38    | 15    |
| 4020  | Outlays, gross (total) .....                                   | 82 | 102   | 78    |
| Offsets against gross budget authority and outlays:     |  |    |       |       |
| Offsetting collections (collected) from:                |  |    |       |       |
| 4030  | Federal sources .....  | -9 | -10   | -10   |
| Additional offsets against gross budget authority only: |  |    |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -7 | ..... | ..... |
| 4052  | Offsetting collections credited to expired accounts .....      | 5  | ..... | ..... |
| 4060  | Additional offsets against budget authority only (total) ..... | -2 | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....                    | 76 | 77    | 76    |
| 4080  | Outlays, net (discretionary) .....                             | 73 | 92    | 68    |
| Mandatory:  |  |    |       |       |
| Outlays, gross:   |  |    |       |       |
| 4101  | Outlays from mandatory balances .....                          | 3  | 11    | 3     |
| 4180  | Budget authority, net (total) .....                            | 76 | 77    | 76    |
| 4190  | Outlays, net (total) .....                                     | 76 | 103   | 71    |

The Agency for Toxic Substances and Disease Registry (ATSDR) is authorized under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA). ATSDR assesses health hazards at specific hazardous waste sites helping to prevent or reduce exposure and illnesses that result, and increasing knowledge and understanding of the health effects that may result from exposure to hazardous substances.

**Object Classification** (in millions of dollars)

|                                     |   |    |       |       |
|-------------------------------------|---|----|-------|-------|
| Identification code 75-0944-0-1-551 |   |    |       |       |
| Direct obligations:                 |   |    |       |       |
| Personnel compensation:             |   |    |       |       |
| 11.1                                | Full-time permanent .....                           | 22 | 22    | 22    |
| 11.3                                | Other than full-time permanent .....                | 1  | 1     | 1     |
| 11.5                                | Other personnel compensation .....                  | 1  | 1     | 1     |
| 11.7                                | Military personnel .....                            | 3  | 4     | 4     |
| 11.9                                | Total personnel compensation .....                  | 27 | 28    | 28    |
| 12.1                                | Civilian personnel benefits .....                   | 7  | 7     | 7     |
| 12.2                                | Military personnel benefits .....                   | 1  | 2     | 2     |
| 21.0                                | Travel and transportation of persons .....          | 1  | ..... | ..... |
| 25.1                                | Advisory and assistance services .....              | 4  | 4     | 4     |
| 25.2                                | Other services from non-Federal sources .....       | 7  | 7     | 7     |
| 25.3                                | Other goods and services from Federal sources ..... | 14 | 11    | 11    |
| 31.0                                | Equipment .....                                     | 1  | 1     | 1     |
| 41.0                                | Grants, subsidies, and contributions .....          | 17 | 17    | 15    |
| 99.0                                | Direct obligations .....                            | 79 | 77    | 75    |
| 99.0                                | Reimbursable obligations .....                      | 11 | 10    | 11    |
| 99.9                                | Total new obligations .....                         | 90 | 87    | 86    |

**Employment Summary**

|                                     |   |     |     |     |
|-------------------------------------|---|-----|-----|-----|
| Identification code 75-0944-0-1-551 |   |     |     |     |
| 1001                                | Direct civilian full-time equivalent employment ..... | 249 | 250 | 250 |
| 1101                                | Direct military average strength employment .....     | 37  | 35  | 35  |

|      |   |    |    |    |
|------|---|----|----|----|
| 2001 | Reimbursable civilian full-time equivalent employment ..... | 10 | 10 | 10 |
|------|---|----|----|----|

**WORLD TRADE CENTER HEALTH PROGRAM FUND****Program and Financing** (in millions of dollars)

|                                     |                             |     |     |     |
|-------------------------------------|-----------------------------|-----|-----|-----|
| Identification code 75-0946-0-1-551 |                             |     |     |     |
| Obligations by program activity:    |                             |     |     |     |
| 0001                                | Federal Share .....         | 187 | 231 | 241 |
| 0002                                | NYC .....                   | 21  | 26  | 26  |
| 0900                                | Total new obligations ..... | 208 | 257 | 267 |

|  |   |     |       |       |
|--|---|-----|-------|-------|
| <b>Budgetary Resources:</b>                                |   |     |       |       |
| Budget authority:  |   |     |       |       |
| Appropriations, mandatory:                                 |   |     |       |       |
| 1200   | Appropriation (WTC (CDC Direct)) .....                        | 187 | 231   | 241   |
| 1200   | Appropriation (WTC—NYC DHSS—CDC) .....                        | 18  | 26    | 26    |
| 1260   | Appropriations, mandatory (total) .....                       | 205 | 257   | 267   |
| Spending authority from offsetting collections, mandatory: |   |     |       |       |
| 1800   | Collected .....   | 3   | ..... | ..... |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 3   | ..... | ..... |
| 1900   | Budget authority (total) .....                                | 208 | 257   | 267   |
| 1930   | Total budgetary resources available .....                     | 208 | 257   | 267   |

|                                     |  |      |       |       |
|-------------------------------------|--|------|-------|-------|
| <b>Change in obligated balance:</b> |  |      |       |       |
| Unpaid obligations:                 |  |      |       |       |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....           | 68   | 113   | 137   |
| 3010                                | Obligations incurred, unexpired accounts .....             | 208  | 257   | 267   |
| 3020                                | Outlays (gross) .....                                      | -146 | -233  | -226  |
| 3041                                | Recoveries of prior year unpaid obligations, expired ..... | -17  | ..... | ..... |
| 3050                                | Unpaid obligations, end of year .....                      | 113  | 137   | 178   |
| Memorandum (non-add) entries:       |  |      |       |       |
| 3100                                | Obligated balance, start of year .....                     | 68   | 113   | 137   |
| 3200                                | Obligated balance, end of year .....                       | 113  | 137   | 178   |

|   |   |     |       |       |
|---|---|-----|-------|-------|
| <b>Budget authority and outlays, net:</b>               |   |     |       |       |
| Mandatory:  |   |     |       |       |
| 4090  | Budget authority, gross .....                             | 208 | 257   | 267   |
| Outlays, gross:   |   |     |       |       |
| 4100  | Outlays from new mandatory authority .....                | 106 | 129   | 134   |
| 4101  | Outlays from mandatory balances .....                     | 40  | 104   | 92    |
| 4110  | Outlays, gross (total) .....                              | 146 | 233   | 226   |
| Offsets against gross budget authority and outlays:     |   |     |       |       |
| Offsetting collections (collected) from:                |   |     |       |       |
| 4123  | Non-Federal sources .....                                 | -6  | ..... | ..... |
| Additional offsets against gross budget authority only: |   |     |       |       |
| 4142  | Offsetting collections credited to expired accounts ..... | 3   | ..... | ..... |
| 4160  | Budget authority, net (mandatory) .....                   | 205 | 257   | 267   |
| 4170  | Outlays, net (mandatory) .....                            | 140 | 233   | 226   |
| 4180  | Budget authority, net (total) .....                       | 205 | 257   | 267   |
| 4190  | Outlays, net (total) .....                                | 140 | 233   | 226   |

HHS along with CDC began implementing provisions of The James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111-347) on July 1, 2011, to provide monitoring and treatment benefits to eligible responders and survivors. CDC/NIOSH serves as the Program Administrator for the WTC Health Program. The WTC Health Program provides quality care for WTC-related health conditions, conducts WTC research, and maintains a health registry to collect data on victims of the September 11, 2001, terrorist attacks. The amounts included for 2013 and 2014 Budget reflect estimated Federal obligations for the WTC Health Program.

**Object Classification** (in millions of dollars)

|                                     |                                    |   |   |   |
|-------------------------------------|------------------------------------|---|---|---|
| Identification code 75-0946-0-1-551 |                                    |   |   |   |
| Direct obligations:                 |                                    |   |   |   |
| Personnel compensation:             |                                    |   |   |   |
| 11.1                                | Full-time permanent .....          | 2 | 2 | 2 |
| 11.7                                | Military personnel .....           | 1 | 1 | 1 |
| 11.9                                | Total personnel compensation ..... | 3 | 3 | 3 |

## WORLD TRADE CENTER HEALTH PROGRAM FUND—Continued

## Object Classification—Continued

| Identification code 75–0946–0–1–551 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 25.1                                | Advisory and assistance services .....              | 3           | 20      | 20        |
| 25.2                                | Other services from non-Federal sources .....       |             | 33      | 33        |
| 25.3                                | Other goods and services from Federal sources ..... | 77          | 4       | 4         |
| 25.6                                | Medical care .....                                  | 106         | 177     | 187       |
| 41.0                                | Grants, subsidies, and contributions .....          | 19          | 20      | 20        |
| 99.9                                | Total new obligations .....                         | 208         | 257     | 267       |

## Employment Summary

| Identification code 75–0946–0–1–551 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 8           | 8       | 8         |
| 1101                                | Direct military average strength employment .....     | 2           | 2       | 2         |

## NATIONAL INSTITUTES OF HEALTH

## Federal Funds

## NATIONAL CANCER INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cancer, \$5,125,951,000, of which up to \$8,000,000 may be used for facilities repairs and improvements at the National Cancer Institute–Frederick Federally Funded Research and Development Center in Frederick, Maryland.

## NATIONAL HEART, LUNG, AND BLOOD INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to cardiovascular, lung, and blood diseases, and blood and blood products, \$3,098,508,000.

## NATIONAL INSTITUTE OF DENTAL AND CRANIOFACIAL RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to dental and craniofacial diseases, \$411,515,000.

## NATIONAL INSTITUTE OF DIABETES AND DIGESTIVE AND KIDNEY DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to diabetes and digestive and kidney disease, \$1,811,786,000.

## NATIONAL INSTITUTE OF NEUROLOGICAL DISORDERS AND STROKE

For carrying out section 301 and title IV of the PHS Act with respect to neurological disorders and stroke, \$1,642,619,000.

## NATIONAL INSTITUTE OF ALLERGY AND INFECTIOUS DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to allergy and infectious diseases, \$4,578,813,000.

## NATIONAL INSTITUTE OF GENERAL MEDICAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to general medical sciences, \$2,401,011,000.

## EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE OF CHILD HEALTH AND HUMAN DEVELOPMENT

For carrying out section 301 and title IV of the PHS Act with respect to child health and human development, \$1,339,360,000.

## NATIONAL EYE INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to eye diseases and visual disorders, \$699,216,000.

## NATIONAL INSTITUTE OF ENVIRONMENT HEALTH SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to environmental health sciences, \$691,348,000.

For necessary expenses for the National Institute of Environmental Health Sciences in carrying out activities set forth in section 311(a) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 and section 126(g) of the Superfund Amendments and Reauthorization Act of 1986, \$79,411,000.

## NATIONAL INSTITUTE ON AGING

For carrying out section 301 and title IV of the PHS Act with respect to aging, \$1,193,370,000.

## NATIONAL INSTITUTE OF ARTHRITIS AND MUSCULOSKELETAL AND SKIN DISEASES

For carrying out section 301 and title IV of the PHS Act with respect to arthritis and musculoskeletal and skin diseases, \$540,993,000.

## NATIONAL INSTITUTE ON DEAFNESS AND OTHER COMMUNICATION DISORDERS

For carrying out section 301 and title IV of the PHS Act with respect to deafness and other communication disorders, \$422,936,000.

## NATIONAL INSTITUTE OF NURSING RESEARCH

For carrying out section 301 and title IV of the PHS Act with respect to nursing research, \$146,244,000.

## NATIONAL INSTITUTE ON ALCOHOL ABUSE AND ALCOHOLISM

For carrying out section 301 and title IV of the PHS Act with respect to alcohol abuse and alcoholism, \$463,848,000.

## NATIONAL INSTITUTE ON DRUG ABUSE

For carrying out section 301 and title IV of the PHS Act with respect to drug abuse, \$1,071,612,000.

## NATIONAL INSTITUTE OF MENTAL HEALTH

For carrying out section 301 and title IV of the PHS Act with respect to mental health, \$1,465,782,000.

## NATIONAL HUMAN GENOME RESEARCH INSTITUTE

For carrying out section 301 and title IV of the PHS Act with respect to human genome research, \$517,319,000.

## NATIONAL INSTITUTE OF BIOMEDICAL IMAGING AND BIOENGINEERING

For carrying out section 301 and title IV of the PHS Act with respect to biomedical imaging and bioengineering research, \$338,892,000.

## NATIONAL CENTER FOR COMPLEMENTARY AND ALTERNATIVE MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to complementary and alternative medicine, \$129,041,000.

## NATIONAL INSTITUTE ON MINORITY HEALTH AND HEALTH DISPARITIES

For carrying out section 301 and title IV of the PHS Act with respect to minority health and health disparities research, \$283,299,000.

## JOHN E. FOGARTY INTERNATIONAL CENTER

For carrying out the activities of the John E. Fogarty International Center (described in subpart 2 of part E of title IV of the PHS Act), \$72,864,000.

## NATIONAL LIBRARY OF MEDICINE

For carrying out section 301 and title IV of the PHS Act with respect to health information communications, \$382,252,000, of which \$4,000,000 shall be available until September 30, 2015, for improvement of information systems: Provided, That in fiscal year 2014, the National Library of Medicine may enter into personal services contracts for the provision of services in facilities owned, operated, or constructed under the jurisdiction of the National Institutes of Health (referred to in this title as "NIH"): Provided further, That in addition to amounts provided herein, \$8,200,000 shall be available from amounts available under section 241 of the PHS Act to carry out the purposes of the National Information Center on Health Services Research and Health Care Technology established under section 478A of the PHS Act and related health information services.

## NATIONAL CENTER FOR ADVANCING TRANSLATIONAL SCIENCES

For carrying out section 301 and title IV of the PHS Act with respect to translational sciences, \$665,688,000: Provided, That up to \$50,000,000 shall be available to implement section 480 of the PHS Act, relating to the Cures Acceleration Network.

## OFFICE OF THE DIRECTOR

For carrying out the responsibilities of the Office of the Director, NIH, \$1,473,398,000, of which up to \$25,000,000 shall be used to carry out section 211 of this Act: Provided, That funding shall be available for the purchase of not to exceed 29 passenger motor vehicles for replacement only: Provided further, That NIH is authorized to collect third-party

payments for the cost of clinical services that are incurred in NIH research facilities and that such payments shall be credited to the NIH Management Fund: Provided further, That all funds credited to the NIH Management Fund shall remain available for one fiscal year after the fiscal year in which they are deposited: Provided further, That \$572,948,000 shall be available for the Common Fund established under section 402A(c)(1) of the PHS Act: Provided further, That of the funds provided \$10,000 shall be for official reception and representation expenses when specifically approved by the Director of the NIH: Provided further, That the Office of AIDS Research within the Office of the Director of the NIH may spend up to \$8,000,000 to make grants for construction or renovation of facilities as provided for in section 2354(a)(5)(B) of the PHS Act: Provided further, That the Director may direct up to 1 percent of the total made available in this or any other Act to all National Institutes of Health appropriations to activities that the Director may so designate: Provided further, That no such appropriation shall be decreased by more than 1 percent by any such transfers and that the Congress is promptly notified of the transfer.

## BUILDINGS AND FACILITIES

For the study of, construction of, renovation of, and acquisition of equipment for, facilities of or used by NIH, including the acquisition of real property, \$126,111,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 75–9915–0–1–552                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               |             |         |           |
| Receipts:   |             |         |           |
| 0220 Cooperative Research and Development Agreements, NIH ..... | 16          | 17      | 17        |
| 0400 Total: Balances and collections .....                      | 16          | 17      | 17        |
| Appropriations:   |             |         |           |
| 0500 National Institutes of Health .....                        | –16         | –17     | –17       |
| 0799 Balance, end of year .....                                 |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 75–9915–0–1–552  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 National Cancer Institute (0849) .....  | 5,068       | 5,103   | 5,126     |
| 0002 National Heart, Lung, and Blood Institute (0872) .....                            | 3,052       | 3,098   | 3,099     |
| 0003 National Institute of Dental and Craniofacial Research (0873) .....               | 410         | 413     | 412       |
| 0004 National Institute of Diabetes and Digestive and Kidney Disease (0884) .....      | 1,795       | 1,808   | 1,812     |
| 0005 National Institute of Neurological Disorders and Stroke (0886) .....              | 1,625       | 1,636   | 1,643     |
| 0006 National Institute of Allergy and Infectious Diseases (0885) .....                | 4,487       | 4,518   | 4,579     |
| 0007 National Institute of General Medical Sciences (0851) .....                       | 2,428       | 2,445   | 2,401     |
| 0008 National Institute of Child Health and Human Development (0844) .....             | 1,320       | 1,329   | 1,339     |
| 0009 National Eye Institute (0887) .....   | 702         | 707     | 699       |
| 0010 National Institute of Environmental Health Sciences (0862) .....                  | 764         | 769     | 771       |
| 0011 National Institute on Aging (0843) .....  | 1,121       | 1,110   | 1,193     |
| 0012 National Institute of Arthritis and Musculoskeletal and Skin Disease (0888) ..... | 535         | 539     | 541       |
| 0013 National Institute on Deafness and Other Communication Disorder (0890) .....      | 416         | 419     | 423       |
| 0014 National Institute of Mental Health (0892) .....                                  | 1,479       | 1,489   | 1,466     |
| 0015 National Institute on Drug Abuse (0893) .....                                     | 1,052       | 1,060   | 1,072     |
| 0016 National Institute on Alcohol Abuse and Alcoholism (0894) .....                   | 459         | 462     | 464       |
| 0017 National Institute of Nursing Research (0889) .....                               | 145         | 146     | 146       |
| 0018 National Human Genome Research Institute (0891) .....                             | 513         | 516     | 517       |
| 0019 National Institute of Biomedical Imaging and Bioengineering (0898) .....          | 338         | 340     | 339       |
| 0021 National Center for Complementary and Alternative Medicine (0896) .....           | 128         | 129     | 129       |
| 0022 National Institute on Minority Health and Health Disparities (0897) .....         | 276         | 278     | 283       |
| 0023 John E. Fogarty International Center (0819) .....                                 | 70          | 70      | 73        |
| 0024 National Library of Medicine (0807) .....   | 337         | 340     | 382       |
| 0025 Office of the Director (0846) .....   | 1,458       | 1,468   | 1,473     |
| 0026 Buildings and facilities (0838) .....   | 99          | 126     | 126       |
| 0027 Cooperative Research and Development Agreements .....                             | 18          | 17      | 17        |

|  |        |        |        |
|--|--------|--------|--------|
| 0028 National Center for Advancing Translational Sciences (0875) ..... | 575    | 579    | 666    |
| 0031 Type 1 Diabetes .....   | 150    | 150    | 150    |
| 0799 Total direct obligations .....                                    | 30,820 | 31,064 | 31,341 |
| 0801 Reimbursable program activity .....                               | 3,166  | 3,168  | 3,377  |
| 0802 Royalties .....   | 87     | 88     | 88     |
| 0809 Reimbursable program activities, subtotal .....                   | 3,253  | 3,256  | 3,465  |
| 0899 Total reimbursable obligations .....                              | 3,253  | 3,256  | 3,465  |
| 0900 Total new obligations .....                                       | 34,073 | 34,320 | 34,806 |
| <b>Budgetary Resources:</b>  |        |        |        |
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                  | 374    | 457    | 371    |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....        | 342    | 420    |        |
| 1021 Recoveries of prior year unpaid obligations .....                 | 52     |        |        |
| 1050 Unobligated balance (total) .....                                 | 426    | 457    | 371    |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:   |        |        |        |
| 1100 Appropriation .....   | 30,769 | 30,899 | 31,173 |
| 1120 Appropriations transferred to other accts [75–0350] .....         | –9     |        |        |
| 1130 Appropriations permanently reduced .....                          | –58    |        |        |
| 1160 Appropriation, discretionary (total) .....                        | 30,702 | 30,899 | 31,173 |
| Appropriations, mandatory:   |        |        |        |
| 1200 Appropriation .....   | 150    | 150    | 150    |
| 1201 Appropriation (special or trust fund) .....                       | 16     | 17     | 17     |
| 1260 Appropriations, mandatory (total) .....                           | 166    | 167    | 167    |
| Spending authority from offsetting collections, discretionary:         |        |        |        |
| 1700 Collected .....   | 2,733  | 3,168  | 3,377  |
| 1701 Change in uncollected payments, Federal sources .....             | 530    |        |        |
| 1750 Spending auth from offsetting collections, disc (total) .....     | 3,263  | 3,168  | 3,377  |
| 1900 Budget authority (total) .....                                    | 34,131 | 34,234 | 34,717 |
| 1930 Total budgetary resources available .....                         | 34,557 | 34,691 | 35,088 |
| Memorandum (non-add) entries:  |        |        |        |
| 1940 Unobligated balance expiring .....                                | –27    |        |        |
| 1941 Unexpired unobligated balance, end of year .....                  | 457    | 371    | 282    |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 35,624  | 33,051  | 32,411  |
| 3010 Obligations incurred, unexpired accounts .....               | 34,073  | 34,320  | 34,806  |
| 3011 Obligations incurred, expired accounts .....                 | 926     |         |         |
| 3020 Outlays (gross) .....  | –36,568 | –34,960 | –34,713 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –52     |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –952    |         |         |
| 3050 Unpaid obligations, end of year .....                        | 33,051  | 32,411  | 32,504  |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1,151  | –938    | –938    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –530    |         |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 743     |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –938    | –938    | –938    |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 34,473  | 32,113  | 31,473  |
| 3200 Obligated balance, end of year .....                         | 32,113  | 31,473  | 31,566  |

## Budget authority and outlays, net:

|   |        |        |        |
|---|--------|--------|--------|
| Discretionary:  |        |        |        |
| 4000 Budget authority, gross .....                                    | 33,965 | 34,067 | 34,550 |
| Outlays, gross:   |        |        |        |
| 4010 Outlays from new discretionary authority .....                   | 10,769 | 11,512 | 11,794 |
| 4011 Outlays from discretionary balances .....                        | 25,653 | 23,140 | 22,750 |
| 4020 Outlays, gross (total) .....                                     | 36,422 | 34,652 | 34,544 |
| Offsets against gross budget authority and outlays:                   |        |        |        |
| Offsetting collections (collected) from:                              |        |        |        |
| 4030 Federal sources .....  | –3,675 | –3,168 | –3,377 |
| 4033 Non-Federal sources .....  | –112   |        |        |
| 4040 Offsets against gross budget authority and outlays (total) ..... | –3,787 | –3,168 | –3,377 |
| Additional offsets against gross budget authority only:               |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....        | –530   |        |        |
| 4052 Offsetting collections credited to expired accounts .....        | 1,054  |        |        |
| 4060 Additional offsets against budget authority only (total) .....   | 524    |        |        |
| 4070 Budget authority, net (discretionary) .....                      | 30,702 | 30,899 | 31,173 |
| 4080 Outlays, net (discretionary) .....                               | 32,635 | 31,484 | 31,167 |
| Mandatory:  |        |        |        |
| 4090 Budget authority, gross .....                                    | 166    | 167    | 167    |
| Outlays, gross:   |        |        |        |
| 4100 Outlays from new mandatory authority .....                       | 10     | 52     | 53     |

NATIONAL INSTITUTES OF HEALTH—Continued  
Program and Financing—Continued

| Identification code 75–9915–0–1–552        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4101 Outlays from mandatory balances ..... | 136         | 256     | 116       |
| 4110 Outlays, gross (total) .....          | 146         | 308     | 169       |
| 4180 Budget authority, net (total) .....   | 30,868      | 31,066  | 31,340    |
| 4190 Outlays, net (total) .....            | 32,781      | 31,792  | 31,336    |

## DISTRIBUTION OF BUDGET AUTHORITY AND OUTLAYS BY ACCOUNT

(in millions of dollars)

|   | 2012   | 2013 <sup>1</sup> | 2014   |
|---|--------|-------------------|--------|
| Distribution of budget authority by account:  |        |                   |        |
| National Cancer Institute .....   | 5,072  | 5,103             | 5,126  |
| National Heart, Lung, and Blood Institute .....                                       | 3,079  | 3,098             | 3,099  |
| National Institute of Dental and Craniofacial Research .....                          | 411    | 413               | 412    |
| National Institute of Diabetes and Digestive and Kidney Diseases .....                | 1,947  | 1,958             | 1,962  |
| National Institute of Neurological Disorders and Stroke .....                         | 1,626  | 1,636             | 1,643  |
| National Institute of Allergy and Infectious Diseases .....                           | 4,491  | 4,518             | 4,579  |
| National Institute of General Medical Sciences .....                                  | 2,430  | 2,445             | 2,401  |
| Eunice Kennedy Shriver National Institute of Child Health and Human Development ..... | 1,321  | 1,329             | 1,339  |
| National Eye Institute .....  | 703    | 707               | 699    |
| National Institute of Environmental Health Sciences .....                             | 764    | 769               | 771    |
| National Institute on Aging .....   | 1,103  | 1,110             | 1,193  |
| National Institute of Arthritis and Musculoskeletal and Skin Diseases .....           | 536    | 539               | 541    |
| National Institute on Deafness and Other Communication Disorders ....                 | 416    | 419               | 423    |
| National Institute of Mental Health .....   | 1,480  | 1,489             | 1,466  |
| National Institute on Drug Abuse .....  | 1,053  | 1,060             | 1,072  |
| National Institute on Alcohol Abuse and Alcoholism .....                              | 460    | 462               | 464    |
| National Institute of Nursing Research .....  | 145    | 146               | 146    |
| National Center for Research Resources .....  | 0      | 0                 | 0      |
| National Human Genome Research Institute .....  | 513    | 516               | 517    |
| National Institute of Biomedical Imaging and Bioengineering .....                     | 338    | 340               | 339    |
| National Institute on Minority Health and Health Disparities .....                    | 276    | 278               | 283    |
| National Center for Complementary and Alternative Medicine .....                      | 128    | 129               | 129    |
| National Center for Advancing Translational Sciences .....                            | 575    | 579               | 666    |
| John E. Fogarty International Center .....  | 70     | 70                | 73     |
| National Library of Medicine .....  | 338    | 340               | 382    |
| Office of the Director .....  | 1,459  | 1,468             | 1,473  |
| Buildings and Facilities .....  | 125    | 126               | 126    |
| ARRA Funds .....  | 0      | 0                 | 0      |
| Subtotal .....  | 30,861 | 31,049            | 31,323 |
| Cooperative Research and Development Agreements .....                                 | 17     | 17                | 17     |
| Total Budget Authority, NIH .....   | 30,878 | 31,066            | 31,340 |

<sup>1</sup>Assumes annualized continuing resolution

(in millions of dollars)

|   | 2012  | 2013  | 2014  |
|---|-------|-------|-------|
| Distribution of outlays by account:   |       |       |       |
| National Cancer Institute .....   | 5,154 | 4,909 | 5,102 |
| National Heart, Lung, and Blood Institute .....                                       | 3,151 | 2,925 | 3,089 |
| National Institute of Dental and Craniofacial Research .....                          | 403   | 423   | 412   |
| National Institute of Diabetes and Digestive and Kidney Diseases .....                | 1,927 | 2,342 | 1,956 |
| National Institute of Neurological Disorders and Stroke .....                         | 1,593 | 1,715 | 1,636 |
| National Institute of Allergy and Infectious Diseases .....                           | 4,569 | 4,340 | 4,527 |
| National Institute of General Medical Sciences .....                                  | 1,824 | 2,668 | 2,427 |
| Eunice Kennedy Shriver National Institute of Child Health and Human Development ..... | 1,289 | 1,359 | 1,331 |
| National Eye Institute .....  | 699   | 707   | 704   |
| National Institute of Environmental Health Sciences .....                             | 1,049 | 490   | 769   |
| National Institute on Aging .....   | 1,101 | 1,081 | 1,131 |
| National Institute of Arthritis and Musculoskeletal and Skin Diseases .....           | 531   | 527   | 539   |
| National Institute on Deafness and Other Communication Disorders ....                 | 417   | 420   | 419   |
| National Institute of Mental Health .....   | 1,490 | 1,435 | 1,481 |
| National Institute on Drug Abuse .....  | 1,090 | 962   | 1,061 |
| National Institute on Alcohol Abuse and Alcoholism .....                              | 467   | 460   | 462   |
| National Institute of Nursing Research .....  | 145   | 137   | 146   |
| National Center for Research Resources .....  | 910   | 406   | 77    |
| National Human Genome Research Institute .....  | 498   | 537   | 516   |
| National Institute of Biomedical Imaging and Bioengineering .....                     | 318   | 331   | 339   |
| National Institute on Minority Health and Health Disparities .....                    | 227   | 243   | 274   |
| National Center for Complementary and Alternative Medicine .....                      | 123   | 126   | 129   |
| National Center for Advancing Translational Sciences .....                            | 98    | 326   | 554   |
| John E. Fogarty International Center .....  | 65    | 72    | 71    |
| National Library of Medicine .....  | 341   | 328   | 362   |
| Office of the Director .....  | 1,341 | 1,359 | 1,439 |
| Buildings and Facilities .....  | 112   | 130   | 90    |
| ARRA Funds .....  | 1,880 | 1,020 | 277   |

|   |        |        |        |
|---|--------|--------|--------|
| Management Fund/Service and Supply Fund .....         | –46    | 0      | 0      |
| Subtotal Outlays .....                                | 32,769 | 31,777 | 31,318 |
| Cooperative Research and Development Agreements ..... | 13     | 16     | 16     |
| Total Outlays, NIH .....                              | 32,782 | 31,792 | 31,336 |

This program funds biomedical research and research training. These accounts will continue to be appropriated separately, and are displayed in a consolidated format to improve the readability of the presentation. Detailed information is available through the Department of Health and Human Services.

## Object Classification (in millions of dollars)

| Identification code 75–9915–0–1–552                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 879         | 933     | 944       |
| 11.3 Other than full-time permanent .....                       | 475         | 487     | 496       |
| 11.5 Other personnel compensation .....                         | 30          | 32      | 33        |
| 11.7 Military personnel .....                                   | 21          | 22      | 22        |
| 11.8 Special personal services payments .....                   | 162         | 163     | 166       |
| 11.9 Total personnel compensation .....                         | 1,567       | 1,637   | 1,661     |
| 12.1 Civilian personnel benefits .....                          | 411         | 430     | 435       |
| 12.2 Military personnel benefits .....                          | 15          | 16      | 16        |
| 21.0 Travel and transportation of persons .....                 | 50          | 49      | 49        |
| 22.0 Transportation of things .....                             | 5           | 5       | 5         |
| 23.1 Rental payments to GSA .....                               | 1           | 2       | 2         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 27          | 27      | 27        |
| 24.0 Printing and reproduction .....                            | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 125         | 115     | 115       |
| 25.2 Other services from non-Federal sources .....              | 819         | 702     | 725       |
| 25.3 Other goods and services from Federal sources .....        | 3,171       | 3,126   | 3,273     |
| 25.4 Operation and maintenance of facilities .....              | 45          | 45      | 45        |
| 25.5 Research and development contracts .....                   | 1,996       | 1,984   | 1,905     |
| 25.6 Medical care .....   | 20          | 20      | 20        |
| 25.7 Operation and maintenance of equipment .....               | 88          | 86      | 86        |
| 26.0 Supplies and materials .....                               | 193         | 190     | 189       |
| 31.0 Equipment .....  | 154         | 142     | 144       |
| 32.0 Land and structures .....                                  |             | 126     | 126       |
| 41.0 Grants, subsidies, and contributions .....                 | 22,130      | 22,358  | 22,514    |
| 99.0 Direct obligations .....                                   | 30,821      | 31,064  | 31,341    |
| 99.0 Reimbursable obligations .....                             | 3,252       | 3,256   | 3,465     |
| 99.9 Total new obligations .....                                | 34,073      | 34,320  | 34,806    |

## Employment Summary

| Identification code 75–9915–0–1–552                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 13,048      | 13,604  | 13,607    |
| 1101 Direct military average strength employment .....           | 201         | 201     | 198       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5,137       | 4,581   | 4,581     |
| 2101 Reimbursable military average strength employment .....     | 107         | 107     | 107       |

SUBSTANCE ABUSE AND MENTAL HEALTH  
SERVICES ADMINISTRATION

## Federal Funds

## MENTAL HEALTH

For carrying out titles III, V, and XIX of the PHS Act with respect to mental health, and the Protection and Advocacy for Individuals with Mental Illness Act, \$990,341,000 of which \$438,717,000 shall be for carrying out Section 1911 of the PHS Act: Provided, That notwithstanding section 520A(f)(2) of the PHS Act, no funds appropriated for carrying out section 520A shall be available for carrying out section 1971 of the PHS Act: Provided further, That in addition to amounts provided herein, \$21,039,000 shall be available under section 241 of the PHS Act to carry out subpart I of part B of title XIX of the PHS Act to fund section 1920(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1920(b) activities shall not exceed 5 percent of the amounts appropriated for subpart I of part B of title XIX: Provided further, That section 520E(b)(2) of the PHS Act shall not apply to funds appropriated under this Act for

fiscal year 2014: Provided further, That notwithstanding section 565(b)(1) of the PHS Act, technical assistance may be provided to communities that wish to establish such programs irrespective of whether the communities have a grant under section 561(a): Provided further, That States shall expend at least 3 percent of the amount for carrying out section 1911 of the PHS Act to award competitive subawards that assist providers in building capacity to bill third party insurance or assist individuals to enroll in insurance for which they are eligible: Provided further, That States shall expend at least 5 percent of the amount for carrying out section 1911 of the PHS Act to award competitive subawards that support effective evidence-based mental health prevention and treatment practices: Provided further, That any State receiving 2 percent or more of the total amount distributed for carrying out section 1911 of the PHS Act shall expend the amounts described in the two immediately preceding provisos through competitive subawards.

#### SUBSTANCE ABUSE TREATMENT

For carrying out titles III, V, and XIX of the PHS Act with respect to substance abuse treatment and section 1922(a) of the PHS Act with respect to substance abuse prevention, \$2,052,926,000 of which \$1,748,132,000 shall be for carrying out Section 1921 of the PHS Act: Provided, That in addition to amounts provided herein, \$71,724,000 shall be available under section 241 of the PHS Act to carry out subpart II of part B of title XIX of the PHS Act to fund section 1935(b) technical assistance, national data, data collection and evaluation activities, and further that the total available under this Act for section 1935(b) activities shall not exceed 5 percent of the amounts appropriated for subpart II of part B of title XIX: Provided further, That States shall expend at least 3 percent of the amount for carrying out section 1921 of the PHS Act to award competitive subawards that assist providers in building capacity to bill third party insurance or assist individuals to enroll in insurance for which they are eligible: Provided further, That any State receiving 1 percent or more of the total amount distributed for carrying out section 1921 of the PHS Act shall expend the amounts described in the immediately preceding proviso through competitive subawards.

#### SUBSTANCE ABUSE PREVENTION

For carrying out titles III and V of the PHS Act with respect to substance abuse prevention, \$175,560,000.

#### HEALTH SURVEILLANCE AND PROGRAM SUPPORT

For program support and cross-cutting activities that supplement activities funded under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention" in carrying out titles III, V, and XIX of the PHS Act and the Protection and Advocacy for Individuals with Mental Illness Act in the Substance Abuse and Mental Health Services Administration, \$129,124,000: Provided, That in addition to amounts provided herein, \$71,995,000 shall be available under section 241 of the PHS Act to supplement funds available to carry out national surveys on drug abuse and mental health, to collect and analyze program data, and to conduct public awareness and technical assistance activities: Provided further, That, in addition, fees may be collected for the costs of publications, data, data tabulations, and data analysis completed under title V of the PHS Act and provided to a public or private entity upon request, which shall be credited to this appropriation and shall remain available until expended for such purposes: Provided further, That funds made available under this heading may be used to supplement program support funding provided under the headings "Mental Health", "Substance Abuse Treatment", and "Substance Abuse Prevention": Provided further, That the Administrator may transfer funds between any of the accounts of SAMHSA with notification to the Committees on Appropriations of both Houses of Congress at least 15 days in advance of any transfer, but no such account shall be decreased by more than 3 percent by any such transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 75–1362–0–1–551                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0006   | Mental Health .....   | 978         | 934     | 990       |
| 0007   | Substance Abuse Treatment .....   | 2,148       | 2,132   | 2,053     |
| 0008   | Substance Abuse Prevention .....  | 186         | 187     | 176       |
| 0009   | Health Surveillance and Program Support .....   | 126         | 115     | 129       |
| 0100   | Total, direct program .....   | 3,438       | 3,368   | 3,348     |
| 0799   | Total direct obligations .....  | 3,438       | 3,368   | 3,348     |
| 0802   | Reimbursable program: PHS Evaluation .....  | 248         | 253     | 257       |
| 0900   | Total new obligations .....   | 3,686       | 3,621   | 3,605     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 3,354       | 3,368   | 3,348     |
| 1120   | Appropriations transferred to other accts [75–0350] .....                             | –1          |         |           |
| 1130   | Appropriations permanently reduced .....  | –6          |         |           |
| 1160   | Appropriation, discretionary (total) .....  | 3,347       | 3,368   | 3,348     |
| Appropriations, mandatory:                                     |   |             |         |           |
| 1221   | Appropriations transferred from the Prevention and Public Health Fund [75–0116] ..... | 92          |         | 58        |
| 1260   | Appropriations, mandatory (total) .....   | 92          |         | 58        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 238         | 253     | 259       |
| 1701   | Change in uncollected payments, Federal sources .....                                 | 10          |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) .....                         | 248         | 253     | 259       |
| 1900   | Budget authority (total) .....  | 3,687       | 3,621   | 3,665     |
| 1930   | Total budgetary resources available .....   | 3,687       | 3,621   | 3,665     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....  | –1          |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                                      |             |         | 60        |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                                      | 2,965       | 3,125   | 2,899     |
| 3010   | Obligations incurred, unexpired accounts .....  | 3,686       | 3,621   | 3,605     |
| 3011   | Obligations incurred, expired accounts .....  | 5           |         |           |
| 3020   | Outlays (gross) .....   | –3,487      | –3,847  | –3,669    |
| 3041   | Recoveries of prior year unpaid obligations, expired .....                            | –44         |         |           |
| 3050   | Unpaid obligations, end of year .....   | 3,125       | 2,899   | 2,835     |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                          | –241        | –22     | –22       |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                             | –10         |         |           |
| 3071   | Change in uncollected pymts, Fed sources, expired .....                               | 229         |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....                                     | –22         | –22     | –22       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....  | 2,724       | 3,103   | 2,877     |
| 3200   | Obligated balance, end of year .....  | 3,103       | 2,877   | 2,813     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....   | 3,595       | 3,621   | 3,607     |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....  | 1,359       | 1,469   | 1,468     |
| 4011   | Outlays from discretionary balances .....   | 2,118       | 2,295   | 2,150     |
| 4020   | Outlays, gross (total) .....  | 3,477       | 3,764   | 3,618     |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –471        | –253    | –257      |
| 4033   | Non-Federal sources .....   |             |         | –2        |
| 4040   | Offsets against gross budget authority and outlays (total) .....                      | –471        | –253    | –259      |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....                             | –10         |         |           |
| 4052   | Offsetting collections credited to expired accounts .....                             | 233         |         |           |
| 4060   | Additional offsets against budget authority only (total) .....                        | 223         |         |           |
| 4070   | Budget authority, net (discretionary) .....   | 3,347       | 3,368   | 3,348     |
| 4080   | Outlays, net (discretionary) .....  | 3,006       | 3,511   | 3,359     |
| Mandatory:   |   |             |         |           |
| 4090   | Budget authority, gross .....   | 92          |         | 58        |
| Outlays, gross:  |   |             |         |           |
| 4100   | Outlays from new mandatory authority .....  | 10          |         |           |
| 4101   | Outlays from mandatory balances .....   |             | 83      | 51        |
| 4110   | Outlays, gross (total) .....  | 10          | 83      | 51        |
| 4180   | Budget authority, net (total) .....   | 3,439       | 3,368   | 3,406     |

**SUBSTANCE ABUSE AND MENTAL HEALTH SERVICES  
ADMINISTRATION—Continued  
Program and Financing—Continued**

| Identification code 75–1362–0–1–551 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 4190 Outlays, net (total) .....     | 3,016       | 3,594   | 3,410     |

This program provides Federal support to strengthen the capacity of the Nation's health care delivery system to provide effective substance abuse prevention, addiction treatment, and mental health services for people at risk for or experiencing substance abuse or mental illness. SAMHSA builds partnerships with states, communities, tribal and private not-for-profit organizations to enhance health and reduce the adverse impact of substance abuse and mental illness on America's communities.

**Object Classification (in millions of dollars)**

| Identification code 75–1362–0–1–551                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 46          | 54      | 57        |
| 11.3 Other than full-time permanent .....                       | 2           | 3       | 4         |
| 11.5 Other personnel compensation .....                         | 1           | 4       | 4         |
| 11.7 Military personnel .....                                   | 4           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 53          | 65      | 69        |
| 12.1 Civilian personnel benefits .....                          | 12          | 15      | 16        |
| 12.2 Military personnel benefits .....                          | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 | 2           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 7           | 7       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 3           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 26          | 55      | 49        |
| 25.2 Other services from non-Federal sources .....              | 201         | 173     | 166       |
| 25.3 Other goods and services from Federal sources .....        | 90          | 41      | 32        |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 3,039       | 3,005   | 3,002     |
| 42.0 Insurance claims and indemnities .....                     | 1           |         |           |
| 99.0 Direct obligations .....                                   | 3,438       | 3,368   | 3,348     |
| 99.0 Reimbursable obligations .....                             | 248         | 253     | 257       |
| 99.9 Total new obligations .....                                | 3,686       | 3,621   | 3,605     |

**Employment Summary**

| Identification code 75–1362–0–1–551                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 498         | 533     | 552       |
| 1101 Direct military average strength employment .....           | 44          | 48      | 48        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 40          | 43      | 48        |
| 2101 Reimbursable military average strength employment .....     | 8           | 7       | 7         |

**AGENCY FOR HEALTHCARE RESEARCH AND  
QUALITY**

**Federal Funds**

**HEALTHCARE RESEARCH AND QUALITY**

For carrying out titles III and IX of the PHS Act, part A of title XI of the Social Security Act, and section 1013 of the Medicare Prescription Drug, Improvement, and Modernization Act of 2003, \$333,697,000 shall be available from amounts available under section 241 of the PHS Act, notwithstanding subsection 947(c) of such Act: Provided, That in addition, amounts received from Freedom of Information Act fees, reimbursable and interagency agreements, and the sale of data shall be credited to this appropriation and shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 75–1700–0–1–552                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0003 Prevention and Public Health Fund .....                        | 12          |         |           |
| 0801 Reimbursable program .....                                     | 15          | 15      | 15        |
| 0802 Reimbursable program: PHS evaluation .....                     | 366         | 371     | 334       |
| 0899 Total reimbursable obligations .....                           | 381         | 386     | 349       |
| 0900 Total new obligations .....                                    | 393         | 386     | 349       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 4           | 5       | 5         |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....     | 4           | 5       |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1221 Appropriations transferred from other accts [75–0116] ....     | 12          |         |           |
| 1260 Appropriations, mandatory (total) .....                        | 12          |         |           |
| Spending authority from offsetting collections, discretionary:      |             |         |           |
| 1700 Collected .....  | 187         | 386     | 349       |
| 1701 Change in uncollected payments, Federal sources .....          | 195         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 382         | 386     | 349       |
| 1900 Budget authority (total) .....                                 | 394         | 386     | 349       |
| 1930 Total budgetary resources available .....                      | 398         | 391     | 354       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 989         | 726     | 68        |
| 3010 Obligations incurred, unexpired accounts .....                 | 393         | 386     | 349       |
| 3020 Outlays (gross) .....  | –647        | –1,044  | –375      |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –9          |         |           |
| 3050 Unpaid obligations, end of year .....                          | 726         | 68      | 42        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –382        | –293    | –293      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –195        |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 284         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –293        | –293    | –293      |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 607         | 433     | –225      |
| 3200 Obligated balance, end of year .....                           | 433         | –225    | –251      |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 382         | 386     | 349       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 124         | 386     | 349       |
| 4011 Outlays from discretionary balances .....                      | 517         | 651     | 21        |
| 4020 Outlays, gross (total) .....                                   | 641         | 1,037   | 370       |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | –463        | –839    | –349      |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –195        |         |           |
| 4052 Offsetting collections credited to expired accounts .....      | 276         | 453     |           |
| 4060 Additional offsets against budget authority only (total) ..... | 81          | 453     |           |
| 4080 Outlays, net (discretionary) .....                             | 178         | 198     | 21        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                  | 12          |         |           |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                          | 6           | 7       | 5         |
| 4180 Budget authority, net (total) .....                            | 12          |         |           |
| 4190 Outlays, net (total) .....                                     | 184         | 205     | 26        |

This activity supports the development of scientific evidence and tools to improve the quality, safety, and effectiveness of all aspects of the health care system, and initiatives to disseminate and translate scientific findings into health care practice.

**Object Classification (in millions of dollars)**

| Identification code 75–1700–0–1–552             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 25.5 Research and development contracts .....   | 8           |         |           |
| 41.0 Grants, subsidies, and contributions ..... | 4           |         |           |

|      |                                |     |     |     |
|------|--------------------------------|-----|-----|-----|
| 99.0 | Direct obligations .....       | 12  |     |     |
| 99.0 | Reimbursable obligations ..... | 381 | 386 | 349 |
| 99.9 | Total new obligations .....    | 393 | 386 | 349 |

**Employment Summary**

| Identification code 75-1700-0-1-552                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             |             |         |           |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 295         | 299     | 294       |
| 2101 Reimbursable military average strength employment .....           | 9           | 9       | 9         |
| 3001 Allocation account civilian full-time equivalent employment ..... | 4           | 12      | 20        |

**CENTERS FOR MEDICARE AND MEDICAID SERVICES****Federal Funds****GRANTS TO STATES FOR MEDICAID**

*For carrying out, except as otherwise provided, titles XI and XIX of the Social Security Act, \$177,872,985,000, to remain available until expended.*

*For making, after May 31, 2014, payments to States under title XIX or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the last quarter of fiscal year 2014 for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.*

*For making payments to States or in the case of section 1928 on behalf of States under title XIX of the Social Security Act for the first quarter of fiscal year 2015, \$103,472,323,000, to remain available until expended.*

*Payment under such title XIX may be made for any quarter with respect to a State plan or plan amendment in effect during such quarter, if submitted in or prior to such quarter and approved in that or any subsequent quarter.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75-0512-0-1-551                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Medicaid vendor payments .....                   | 248,004     | 246,797 | 283,045   |
| 0002 State and local administration .....             | 17,216      | 16,182  | 16,453    |
| 0003 Vaccines for Children .....                      | 4,000       | 3,607   | 4,293     |
| 0004 Incurred by providers but not yet reported ..... | 1,092       | 1,959   | 2,369     |
| 0799 Total direct obligations .....                   | 270,312     | 268,545 | 306,160   |
| 0801 Medicare Part B premiums .....                   | 602         | 645     | 300       |
| 0900 Total new obligations .....                      | 270,914     | 269,190 | 306,460   |

**Budgetary Resources:**  
Unobligated balance:

|  |         |         |         |
|--|---------|---------|---------|
| 1000 Unobligated balance brought forward, Oct 1 .....              | 408     | 21,091  | 21,951  |
| 1021 Recoveries of prior year unpaid obligations .....             | 20,186  |         |         |
| 1050 Unobligated balance (total) .....                             | 20,594  | 21,091  | 21,951  |
| <b>Budget authority:</b>   |         |         |         |
| <b>Appropriations, mandatory:</b>                                  |         |         |         |
| 1200 Appropriation Medicaid .....                                  | 184,279 | 178,791 | 177,873 |
| 1260 Appropriations, mandatory (total) .....                       | 184,279 | 178,791 | 177,873 |
| <b>Advance appropriations, mandatory:</b>                          |         |         |         |
| 1270 Advance appropriation .....                                   | 86,445  | 90,614  | 106,336 |
| 1280 Advanced appropriation, mandatory (total) .....               | 86,445  | 90,614  | 106,336 |
| <b>Spending authority from offsetting collections, mandatory:</b>  |         |         |         |
| 1800 Collected .....   | 687     | 645     | 300     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 687     | 645     | 300     |
| 1900 Budget authority (total) .....                                | 271,411 | 270,050 | 284,509 |
| 1930 Total budgetary resources available .....                     | 292,005 | 291,141 | 306,460 |
| <b>Memorandum (non-add) entries:</b>                               |         |         |         |
| 1941 Unexpired unobligated balance, end of year .....              | 21,091  | 21,951  |         |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| <b>Unpaid obligations:</b>                            |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 28,392 | 27,899 | 29,858 |

|   |          |          |          |
|---|----------|----------|----------|
| 3010 Obligations incurred, unexpired accounts .....               | 270,914  | 269,190  | 306,460  |
| 3020 Outlays (gross) .....  | -251,221 | -267,231 | -304,091 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -20,186  |          |          |
| 3050 Unpaid obligations, end of year .....                        | 27,899   | 29,858   | 32,227   |
| <b>Memorandum (non-add) entries:</b>                              |          |          |          |
| 3100 Obligated balance, start of year .....                       | 28,392   | 27,899   | 29,858   |
| 3200 Obligated balance, end of year .....                         | 27,899   | 29,858   | 32,227   |

**Budget authority and outlays, net:**

|  |         |         |         |
|--|---------|---------|---------|
| <b>Mandatory:</b>  |         |         |         |
| 4090 Budget authority, gross .....                                   | 271,411 | 270,050 | 284,509 |
| <b>Outlays, gross:</b>   |         |         |         |
| 4100 Outlays from new mandatory authority .....                      | 226,477 | 232,191 | 267,211 |
| 4101 Outlays from mandatory balances .....                           | 24,744  | 35,040  | 36,880  |
| 4110 Outlays, gross (total) .....                                    | 251,221 | 267,231 | 304,091 |
| <b>Offsets against gross budget authority and outlays:</b>           |         |         |         |
| <b>Offsetting collections (collected) from:</b>                      |         |         |         |
| 4120 Federal sources .....   | -602    | -645    | -300    |
| 4123 Non-Federal sources .....                                       | -85     |         |         |
| 4130 Offsets against gross budget authority and outlays (total) .... | -687    | -645    | -300    |
| 4160 Budget authority, net (mandatory) .....                         | 270,724 | 269,405 | 284,209 |
| 4170 Outlays, net (mandatory) .....                                  | 250,534 | 266,586 | 303,791 |
| 4180 Budget authority, net (total) .....                             | 270,724 | 269,405 | 284,209 |
| 4190 Outlays, net (total) .....                                      | 250,534 | 266,586 | 303,791 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Enacted/requested:</b>                          |             |         |           |
| Budget Authority .....                             | 270,724     | 269,405 | 284,209   |
| Outlays .....                                      | 250,534     | 266,586 | 303,791   |
| <b>Legislative proposal, not subject to PAYGO:</b> |             |         |           |
| Budget Authority .....                             |             | -21     | -436      |
| Outlays .....                                      |             | -21     | -436      |
| <b>Legislative proposal, subject to PAYGO:</b>     |             |         |           |
| Budget Authority .....                             |             |         | 279       |
| Outlays .....                                      |             |         | 279       |
| <b>Total:</b>                                      |             |         |           |
| Budget Authority .....                             | 270,724     | 269,384 | 284,052   |
| Outlays .....                                      | 250,534     | 266,565 | 303,634   |

Medicaid assists States in providing medical care to their low-income populations by granting Federal matching payments under title XIX of the Social Security Act to States with approved plans.

Medicaid estimates assume budget authority for expenses that are incurred but not reported (IBNR).

Authorized as part of Title XIX, Vaccines for Children (VFC) finances the purchase of vaccines for low-income, eligible children. VFC is administered by the Centers for Disease Control and Prevention and is funded entirely by the Federal Government.

**Vaccines for Children**

(in millions of dollars)

|  | 2012  | 2013  | 2014  |
|--|-------|-------|-------|
| <b>Obligations</b>                           |       |       |       |
| Vaccine Purchase .....                       | 3,703 | 3,335 | 3,996 |
| Vaccine Stockpile .....                      | 137   | 137   | 137   |
| Ordering, Distribution, and Operations ..... | 117   | 92    | 117   |
| Vaccine Management Contract Support .....    | 2     | 1     | 0     |
| Evaluation Activities .....                  | 41    | 42    | 43    |
| Total Obligations .....                      | 4,000 | 3,607 | 4,293 |

**Object Classification** (in millions of dollars)

| Identification code 75-0512-0-1-551                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 270,312     | 268,545 | 306,160   |
| 99.0 Reimbursable obligations .....                                 | 602         | 645     | 300       |

GRANTS TO STATES FOR MEDICAID—Continued  
Object Classification—Continued

| Identification code 75–0512–0–1–551 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 270,914     | 269,190 | 306,460   |

GRANTS TO STATES FOR MEDICAID  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–0512–2–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Medicaid vendor payments .....                  |             | –21     | –31       |
| 0900 Total new obligations (object class 41.0) ..... |             | –21     | –31       |

## Budgetary Resources:

## Budget authority:

|  |  |     |     |
|--|--|-----|-----|
| 1200 Appropriations, mandatory:                |  |     |     |
| Appropriation .....                            |  | –21 | –31 |
| 1260 Appropriations, mandatory (total) .....   |  | –21 | –31 |
| 1930 Total budgetary resources available ..... |  | –21 | –31 |

## Change in obligated balance:

## Unpaid obligations:

|   |  |     |     |
|---|--|-----|-----|
| 3010 Obligations incurred, unexpired accounts ..... |  | –21 | –31 |
| 3020 Outlays (gross) .....                          |  | 21  | 31  |

## Budget authority and outlays, net:

## Mandatory:

|   |  |     |      |
|---|--|-----|------|
| 4090 Budget authority, gross .....                  |  | –21 | –31  |
| 4100 Outlays, gross:                                |  |     |      |
| Outlays from new mandatory authority .....          |  | –21 | –31  |
| Offsets against gross budget authority and outlays: |  |     |      |
| Offsetting collections (collected) from:            |  |     |      |
| 4120 Federal sources .....                          |  |     | –405 |
| 4180 Budget authority, net (total) .....            |  | –21 | –436 |
| 4190 Outlays, net (total) .....                     |  | –21 | –436 |

Please see the narratives in the *Program Integrity Administrative Expenses* (Social Security Administration) and *Health Care Fraud and Abuse Control* accounts for a description of the program integrity estimates reflected here. This schedule also reflects the offsetting collections from Medicare Part B for the extension of the Qualified Individuals (QI) program.

GRANTS TO STATES FOR MEDICAID  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–0512–4–1–551     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Medicaid Proposals .....           |             |         | –126      |
| 0801 Medicare Part B premiums .....     |             |         | 405       |
| 0900 Total new obligations .....        |             |         | 279       |

## Budgetary Resources:

## Budget authority:

|  |  |  |      |
|--|--|--|------|
| 1200 Appropriations, mandatory:                                    |  |  |      |
| Appropriation Medicaid .....                                       |  |  | –126 |
| 1260 Appropriations, mandatory (total) .....                       |  |  | –126 |
| 1800 Spending authority from offsetting collections, mandatory:    |  |  |      |
| Collected .....  |  |  | 405  |
| 1850 Spending auth from offsetting collections, mand (total) ..... |  |  | 405  |
| 1900 Budget authority (total) .....                                |  |  | 279  |
| 1930 Total budgetary resources available .....                     |  |  | 279  |

## Change in obligated balance:

## Unpaid obligations:

|   |  |  |      |
|---|--|--|------|
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 279  |
| 3020 Outlays (gross) .....                          |  |  | –279 |

## Budget authority and outlays, net:

## Mandatory:

|  |  |  |     |
|--|--|--|-----|
| 4090 Budget authority, gross .....         |  |  | 279 |
| 4100 Outlays, gross:                       |  |  |     |
| Outlays from new mandatory authority ..... |  |  | 279 |
| 4180 Budget authority, net (total) .....   |  |  | 279 |
| 4190 Outlays, net (total) .....            |  |  | 279 |

This schedule reflects the Administration's Medicaid proposals.

## Object Classification (in millions of dollars)

| Identification code 75–0512–4–1–551                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... |             |         | –126      |
| 99.0 Reimbursable obligations .....                                 |             |         | 405       |
| 99.9 Total new obligations .....                                    |             |         | 279       |

## STATE GRANTS AND DEMONSTRATIONS

## Program and Financing (in millions of dollars)

| Identification code 75–0516–0–1–551                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0010 Ticket to Work grants .....                                     | 1           |         |           |
| 0011 Emergency health services for undocumented aliens .....         | 22          | 16      | 5         |
| 0012 Medicaid integrity program .....                                | 63          | 96      | 86        |
| 0018 Money follows the person (MFP) demonstration .....              | 296         | 434     | 434       |
| 0019 MFP evaluations and technical support .....                     | 1           | 1       | 1         |
| 0023 Grants to improve outreach and enrollment .....                 | 3           | 39      | 2         |
| 0024 Application of Prospective Payment System .....                 | 1           |         |           |
| 0025 Medicaid emergency psychiatric demonstration .....              | 1           | 28      | 26        |
| 0026 Incentives for prevention of chronic diseases in Medicaid ..... | 28          | 22      | 22        |
| 0900 Total new obligations .....                                     | 416         | 636     | 576       |

## Budgetary Resources:

## Unobligated balance:

|   |       |       |       |
|---|-------|-------|-------|
| 1000 Unobligated balance brought forward, Oct 1 .....                   | 1,303 | 1,459 | 1,353 |
| 1021 Recoveries of prior year unpaid obligations .....                  | 44    |       |       |
| 1050 Unobligated balance (total) .....                                  | 1,347 | 1,459 | 1,353 |
| Budget authority:   |       |       |       |
| Appropriations, mandatory:  |       |       |       |
| 1200 Appropriation (Money follows the person (MFP) demonstration) ..... | 449   | 449   | 449   |
| 1200 Appropriation (MFP research & evaluation) .....                    | 1     | 1     | 1     |
| 1200 Appropriation (Medicaid integrity program) .....                   | 78    | 80    | 82    |
| 1260 Appropriations, mandatory (total) .....                            | 528   | 530   | 532   |
| 1930 Total budgetary resources available .....                          | 1,875 | 1,989 | 1,885 |
| Memorandum (non-add) entries:   |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....                   | 1,459 | 1,353 | 1,309 |

## Change in obligated balance:

## Unpaid obligations:

|   |      |      |      |
|---|------|------|------|
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 881  | 764  | 612  |
| 3010 Obligations incurred, unexpired accounts .....               | 416  | 636  | 576  |
| 3011 Obligations incurred, expired accounts .....                 | 12   |      |      |
| 3020 Outlays (gross) .....  | –480 | –788 | –749 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –44  |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –21  |      |      |
| 3050 Unpaid obligations, end of year .....                        | 764  | 612  | 439  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 881  | 764  | 612  |
| 3200 Obligated balance, end of year .....                         | 764  | 612  | 439  |

## Budget authority and outlays, net:

## Mandatory:

|  |     |     |     |
|--|-----|-----|-----|
| 4090 Budget authority, gross .....                             | 528 | 530 | 532 |
| 4100 Outlays, gross:   |     |     |     |
| Outlays from new mandatory authority .....                     |     | 81  | 133 |
| 4101 Outlays from mandatory balances .....                     | 480 | 707 | 616 |
| 4110 Outlays, gross (total) .....                              | 480 | 788 | 749 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| Non-Federal sources .....                                      | –3  |     |     |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4142 Offsetting collections credited to expired accounts ..... | 3   |     |     |



|      |   |     |     |     |
|------|---|-----|-----|-----|
| 4160 | Budget authority, net (mandatory) ..... | 528 | 530 | 532 |
| 4170 | Outlays, net (mandatory) .....          | 477 | 788 | 749 |
| 4180 | Budget authority, net (total) .....     | 528 | 530 | 532 |
| 4190 | Outlays, net (total) .....              | 477 | 788 | 749 |

State Grants and Demonstrations includes funding for grant programs enacted in several legislative authorities, including the Ticket to Work and Work Incentives Improvement Act of 1999 (P.L. 106–170), the Medicare Prescription Drug, Improvement, and Modernization Act of 2003 (P.L. 108–173), the Deficit Reduction Act of 2005 (P.L. 109–171), the Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3), the Patient Protection and Affordable Care Act (P.L. 111–148), and the Health Care and Education Reconciliation Act of 2010 (P.L. 111–152).

The Budget includes an unobligated balance of \$129 million for activities authorized by Section 204 of the Ticket to Work and Work Incentives Improvement Act. Thus, pursuant to 31 U.S.C. 1555, any remaining balance (whether obligated or unobligated) will be withdrawn and returned to the Treasury two years after there has been no disbursement made against the appropriation.

**Object Classification** (in millions of dollars)

| Identification code 75–0516–0–1–551   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent - Medicaid Integrity Program .....                         | 9           | 9       | 9         |
| 12.1 Civilian personnel benefits - Medicaid Integrity Program .....   | 6           | 6       | 6         |
| 41.0 Grants, subsidies, and contributions - Ticket to Work .....  | 1           |         |           |
| 41.0 Grants, subsidies, and contributions - Emergency services for undocumented aliens .....                | 22          | 16      | 5         |
| 41.0 Grants, subsidies, and contributions - Medicaid Integrity Program .....                                | 48          | 81      | 71        |
| 41.0 Grants, subsidies, and contributions - Money follows the person (MFP) demonstration .....              | 296         | 434     | 434       |
| 41.0 Grants, subsidies, and contributions - MFP evaluations and technical support .....                     | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions - Grants to improve outreach and enrollment .....                 | 3           | 39      | 2         |
| 41.0 Grants, subsidies, and contributions - Application of Prospective Payment System .....                 | 1           |         |           |
| 41.0 Grants, subsidies, and contributions - Medicaid emergency psychiatric demonstration .....              | 1           | 28      | 26        |
| 41.0 Grants, subsidies, and contributions - Incentives for prevention of chronic diseases in Medicaid ..... | 28          | 22      | 22        |
| 99.9 Total new obligations .....  | 416         | 636     | 576       |

**Employment Summary**

| Identification code 75–0516–0–1–551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 80          | 97      | 102       |

**PAYMENTS TO HEALTH CARE TRUST FUNDS**

For payment to the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as provided under sections 217(g), 1844, and 1860D-16 of the Social Security Act, sections 103(c) and 111(d) of the Social Security Amendments of 1965, section 278(d)(3) of Public Law 97–248, and for administrative expenses incurred pursuant to section 201(g) of the Social Security Act, \$255,185,000,000.

In addition, for making matching payments under section 1844 and benefit payments under section 1860D-16 of the Social Security Act that were not anticipated in budget estimates, such sums as may be necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75–0580–0–1–571  | 2012 actual | 2013 CR  | 2014 est. |
|--|-------------|----------|-----------|
| <b>Obligations by program activity:</b>  |             |          |           |
| 0001 Federal contribution to match premiums (SMI) .....                              | 165,254     | 181,351  | 194,565   |
| 0002 Part D benefits (Rx Drug) .....   | 44,875      | 51,245   | 58,596    |
| 0003 Part D Federal administration (Rx Drug) .....                                   | 394         | 382      | 373       |
| 0004 General Fund Transfers to HI .....  | 485         | 1,104    | 1,651     |
| 0006 Federal Bureau of Investigation (HCFAC) .....                                   | 132         | 135      | 138       |
| 0007 Federal payments from taxation of OASDI benefits (HI) .....                     | 18,643      | 14,387   | 18,877    |
| 0008 Criminal fines (HCFAC) .....  | 1,389       | 1,126    | 1,141     |
| 0009 Civil monetary penalties and damages (HCFAC - DOJ and CMS administration) ..... | 18          | 20       | 21        |
| 0010 Asset Forfeiture .....  | 20          | 23       | 23        |
| 0900 Total new obligations .....   | 231,210     | 249,773  | 275,385   |
| <b>Budgetary Resources:</b>  |             |          |           |
| Unobligated balance:   |             |          |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                |             |          | 184       |
| Budget authority:  |             |          |           |
| Appropriations, mandatory:   |             |          |           |
| 1200 Appropriation (definite, annual) .....  | 230,741     | 234,266  | 255,321   |
| 1200 Appropriation (indefinite, permanent) .....                                     | 18,643      | 14,387   | 18,877    |
| 1200 Appropriation (HCFAC for FBI) .....   | 132         | 135      | 138       |
| 1200 Appropriation (indefinite for HCFAC) .....                                      | 1,428       | 1,169    | 1,049     |
| 1260 Appropriations, mandatory (total) .....   | 250,944     | 249,957  | 275,385   |
| 1930 Total budgetary resources available .....                                       | 250,944     | 249,957  | 275,569   |
| Memorandum (non-add) entries:  |             |          |           |
| 1940 Unobligated balance expiring .....  | –19,734     |          |           |
| 1941 Unexpired unobligated balance, end of year .....                                |             | 184      | 184       |
| <b>Change in obligated balance:</b>  |             |          |           |
| Unpaid obligations:  |             |          |           |
| 3010 Obligations incurred, unexpired accounts .....                                  | 231,210     | 249,773  | 275,385   |
| 3011 Obligations incurred, expired accounts .....                                    | 171         |          |           |
| 3020 Outlays (gross) .....   | –231,381    | –249,773 | –275,385  |
| <b>Budget authority and outlays, net:</b>  |             |          |           |
| Mandatory:   |             |          |           |
| 4090 Budget authority, gross .....   | 250,944     | 249,957  | 275,385   |
| Outlays, gross:  |             |          |           |
| 4100 Outlays from new mandatory authority .....                                      | 231,210     | 249,773  | 275,385   |
| 4101 Outlays from mandatory balances .....   | 171         |          |           |
| 4110 Outlays, gross (total) .....  | 231,381     | 249,773  | 275,385   |
| Offsets against gross budget authority and outlays:                                  |             |          |           |
| Offsetting collections (collected) from:   |             |          |           |
| 4120 Federal sources .....   | –14         |          |           |
| Additional offsets against gross budget authority only:                              |             |          |           |
| 4142 Offsetting collections credited to expired accounts .....                       | 14          |          |           |
| 4160 Budget authority, net (mandatory) .....   | 250,944     | 249,957  | 275,385   |
| 4170 Outlays, net (mandatory) .....  | 231,367     | 249,773  | 275,385   |
| 4180 Budget authority, net (total) .....   | 250,944     | 249,957  | 275,385   |
| 4190 Outlays, net (total) .....  | 231,367     | 249,773  | 275,385   |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 250,944     | 249,957 | 275,385   |
| Outlays .....                               | 231,367     | 249,773 | 275,385   |
| Amounts included in the adjusted baseline:  |             |         |           |
| Budget Authority .....                      |             |         | 2,007     |
| Outlays .....                               |             |         | 2,007     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | 136       |
| Outlays .....                               |             | 127     | 136       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 250,944     | 249,957 | 277,528   |
| Outlays .....                               | 231,367     | 249,900 | 277,528   |

Payments are made to the Federal Hospital Insurance and Federal Supplementary Medical Insurance trust funds from the general fund of the Treasury to finance Medicare's medical and drug benefits for beneficiaries and certain other qualified individuals.

## PAYMENTS TO HEALTH CARE TRUST FUNDS—Continued

## Object Classification (in millions of dollars)

| Identification code 75–0580–0–1–571             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 41.0 Grants, subsidies, and contributions ..... | 230,181     | 248,413 | 273,625   |
| 42.0 Insurance claims and indemnities .....     | 262         | 228     | 204       |
| 94.0 Financial transfers (Federal admin) .....  | 767         | 1,132   | 1,556     |
| 99.9 Total new obligations .....                | 231,210     | 249,773 | 275,385   |

PAYMENTS TO HEALTH CARE TRUST FUNDS  
(Amounts included in the adjusted baseline)

## Program and Financing (in millions of dollars)

| Identification code 75–0580–7–1–571                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Federal contribution to match premiums (SMI) ..... |             |         | 2,007     |
| 0900 Total new obligations (object class 41.0) .....    |             |         | 2,007     |

|  |  |  |       |
|--|--|--|-------|
| <b>Budgetary Resources:</b>                    |  |  |       |
| Budget authority:                              |  |  |       |
| Appropriations, mandatory:                     |  |  |       |
| 1200 Appropriation (definite annual) .....     |  |  | 2,007 |
| 1260 Appropriations, mandatory (total) .....   |  |  | 2,007 |
| 1930 Total budgetary resources available ..... |  |  | 2,007 |

|   |  |  |        |
|---|--|--|--------|
| <b>Change in obligated balance:</b>                 |  |  |        |
| Unpaid obligations:                                 |  |  |        |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 2,007  |
| 3020 Outlays (gross) .....                          |  |  | –2,007 |

|   |  |  |       |
|---|--|--|-------|
| <b>Budget authority and outlays, net:</b>       |  |  |       |
| Mandatory:                                      |  |  |       |
| 4090 Budget authority, gross .....              |  |  | 2,007 |
| Outlays, gross:                                 |  |  |       |
| 4100 Outlays from new mandatory authority ..... |  |  | 2,007 |
| 4180 Budget authority, net (total) .....        |  |  | 2,007 |
| 4190 Outlays, net (total) .....                 |  |  | 2,007 |

PAYMENTS TO HEALTH CARE TRUST FUNDS  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–0580–2–1–571                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0004 General Fund Transfers to HI .....              |             | 127     | 136       |
| 0900 Total new obligations (object class 41.0) ..... |             | 127     | 136       |

|  |  |     |     |
|--|--|-----|-----|
| <b>Budgetary Resources:</b>                    |  |     |     |
| Budget authority:                              |  |     |     |
| Appropriations, mandatory:                     |  |     |     |
| 1200 Appropriation (definite annual) .....     |  |     | 136 |
| 1260 Appropriations, mandatory (total) .....   |  |     | 136 |
| 1930 Total budgetary resources available ..... |  |     | 136 |
| Memorandum (non-add) entries:                  |  |     |     |
| 1940 Unobligated balance expiring .....        |  | 127 |     |

|   |  |      |      |
|---|--|------|------|
| <b>Change in obligated balance:</b>                 |  |      |      |
| Unpaid obligations:                                 |  |      |      |
| 3010 Obligations incurred, unexpired accounts ..... |  | 127  | 136  |
| 3020 Outlays (gross) .....                          |  | –127 | –136 |

|   |  |     |     |
|---|--|-----|-----|
| <b>Budget authority and outlays, net:</b>       |  |     |     |
| Mandatory:                                      |  |     |     |
| 4090 Budget authority, gross .....              |  |     | 136 |
| Outlays, gross:                                 |  |     |     |
| 4100 Outlays from new mandatory authority ..... |  | 127 | 136 |
| 4180 Budget authority, net (total) .....        |  |     | 136 |
| 4190 Outlays, net (total) .....                 |  | 127 | 136 |

## QUALITY IMPROVEMENT ORGANIZATIONS

## Program and Financing (in millions of dollars)

| Identification code 75–0519–0–1–571     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 QIO contracts .....                | 147         | 173     | 270       |
| 0002 QIO support contracts .....        | 226         | 286     | 108       |
| 0900 Total new obligations .....        | 373         | 459     | 378       |

|  |      |     |     |
|--|------|-----|-----|
| <b>Budgetary Resources:</b>  |      |     |     |
| Unobligated balance:   |      |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              |      |     | 69  |
| Budget authority:  |      |     |     |
| Spending authority from offsetting collections, mandatory:         |      |     |     |
| 1800 Collected .....   | 40   | 528 | 628 |
| 1801 Change in uncollected payments, Federal sources .....         | 472  |     |     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 512  | 528 | 628 |
| 1930 Total budgetary resources available .....                     | 512  | 528 | 697 |
| Memorandum (non-add) entries:                                      |      |     |     |
| 1940 Unobligated balance expiring .....                            | –139 |     |     |
| 1941 Unexpired unobligated balance, end of year .....              |      | 69  | 319 |

|   |        |        |        |
|---|--------|--------|--------|
| <b>Change in obligated balance:</b>                               |        |        |        |
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 825    | 766    | 697    |
| 3010 Obligations incurred, unexpired accounts .....               | 373    | 459    | 378    |
| 3011 Obligations incurred, expired accounts .....                 | 3      |        |        |
| 3020 Outlays (gross) .....  | –429   | –528   | –628   |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –6     |        |        |
| 3050 Unpaid obligations, end of year .....                        | 766    | 697    | 447    |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –999   | –1,100 | –1,100 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –472   |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 371    |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –1,100 | –1,100 | –1,100 |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | –174   | –334   | –403   |
| 3200 Obligated balance, end of year .....                         | –334   | –403   | –653   |

|   |      |      |      |
|---|------|------|------|
| <b>Budget authority and outlays, net:</b>                           |      |      |      |
| Mandatory:  |      |      |      |
| 4090 Budget authority, gross .....                                  | 512  | 528  | 628  |
| Outlays, gross:   |      |      |      |
| 4100 Outlays from new mandatory authority .....                     | 50   | 56   | 207  |
| 4101 Outlays from mandatory balances .....                          | 379  | 472  | 421  |
| 4110 Outlays, gross (total) .....                                   | 429  | 528  | 628  |
| Offsets against gross budget authority and outlays:                 |      |      |      |
| Offsetting collections (collected) from:                            |      |      |      |
| 4120 Baseline Program [Text] .....                                  | –411 | –528 | –628 |
| Additional offsets against gross budget authority only:             |      |      |      |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....      | –472 |      |      |
| 4142 Offsetting collections credited to expired accounts .....      | 371  |      |      |
| 4150 Additional offsets against budget authority only (total) ..... | –101 |      |      |
| 4170 Outlays, net (mandatory) .....                                 | 18   |      |      |
| 4190 Outlays, net (total) .....                                     | 18   |      |      |

Part B of Title XI of the Social Security Act, as amended by the Peer Review Improvement Act of 1982, provides the statutory authority for the Medicare Quality Improvement Organization (QIO) Program. The mission of the program is to promote the effectiveness, efficiency, economy, and quality of services delivered to Medicare beneficiaries and to ensure that those services are reasonable and necessary. The program is funded through transfers from the Medicare Hospital Insurance Trust Fund and the Medicare Supplementary Medical Insurance Trust Fund. In FY 2012, a Treasury account specific to the QIO program was established to improve budgetary operations.

## Object Classification (in millions of dollars)

| Identification code 75–0519–0–1–571                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 20          | 28      | 28        |
| 25.2 Other services from non-Federal sources .....     | 353         | 342     | 342       |

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 25.3 | Other goods and services from Federal sources ..... | 89  | 8   |     |
| 99.9 | Total new obligations .....                         | 373 | 459 | 378 |

**Employment Summary**

| Identification code 75–0519–0–1–571                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 138         | 174     | 182       |

**PROGRAM MANAGEMENT**

For carrying out, except as otherwise provided, titles XI, XVIII, XIX, and XXI of the Social Security Act, titles XIII and XXVII of the PHS Act, the Clinical Laboratory Improvement Amendments of 1988, and other responsibilities of the Centers for Medicare & Medicaid Services, not to exceed \$5,217,357,000, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act; together with all funds collected in accordance with section 353 of the PHS Act and section 1857(e)(2) of the Social Security Act, funds retained by the Secretary pursuant to section 302 of the Tax Relief and Health Care Act of 2006; and such sums as may be collected from authorized user fees and the sale of data, which shall be credited to this account and remain available until expended: Provided, That all funds derived in accordance with 31 U.S.C. 9701 from organizations established under title XIII of the PHS Act shall be credited to and available for carrying out the purposes of this appropriation: Provided further, That the Secretary is directed to collect fees in fiscal year 2014 from Medicare Advantage organizations pursuant to section 1857(e)(2) of the Social Security Act and from eligible organizations with risk-sharing contracts under section 1876 of that Act pursuant to section 1876(k)(4)(D) of that Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75–0511–0–1–550                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0001 Program operations .....                                | 2,856       | 2,773   | 4,034     |
| 0002 Federal administration .....                            | 780         | 942     | 866       |
| 0003 State survey and certification .....                    | 386         | 415     | 450       |
| 0004 Research, demonstrations, and evaluation projects ..... | 33          | 623     | 56        |
| 0006 High-risk pool grants .....                             | 44          | 44      | 22        |
| 0007 ARRA Medicare/Medicaid HIT .....                        | 142         | 166     | 140       |
| 0008 Consumer Assistance Grants .....                        | 2           | 2       | .....     |
| 0100 Total direct program .....                              | 4,243       | 4,965   | 5,568     |
| 0799 Total direct obligations .....                          | 4,243       | 4,965   | 5,568     |
| 0801 Clinical laboratory improvement amendments .....        | 55          | 50      | 50        |
| 0802 Sale of data .....                                      | 11          | 7       | 7         |
| 0803 Coordination of benefits .....                          | 33          | 35      | 35        |
| 0804 Medicare advantage/Prescription drug plan .....         | 107         | 70      | 71        |
| 0805 Provider enrollment .....                               | 2           | 28      | 28        |
| 0806 Recovery audit contractors .....                        | 228         | 310     | 310       |
| 0807 Other reimbursable program activity .....               | 35          | .....   | .....     |
| 0808 Marketplace User Fee .....                              | .....       | .....   | 450       |
| 0809 Reimbursable program activities, subtotal .....         | 471         | 500     | 951       |
| 0899 Total reimbursable obligations .....                    | 471         | 500     | 951       |
| 0900 Total new obligations .....                             | 4,714       | 5,465   | 6,519     |

**Budgetary Resources:**

|   |       |       |       |
|---|-------|-------|-------|
| <b>Unobligated balance:</b>                                     |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 1,349 | 1,296 | 525   |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 217   | 195   | ..... |
| 1021 Recoveries of prior year unpaid obligations .....          | 20    | ..... | ..... |
| 1050 Unobligated balance (total) .....                          | 1,369 | 1,296 | 525   |
| <b>Budget authority:</b>  |       |       |       |
| <b>Appropriations, mandatory:</b>                               |       |       |       |
| 1200 Appropriation .....  | 253   | 253   | 253   |
| 1260 Appropriations, mandatory (total) .....                    | 253   | 253   | 253   |

|   |       |       |       |
|---|-------|-------|-------|
| <b>Spending authority from offsetting collections, discretionary:</b> |       |       |       |
| 1700 Collected .....  | 2,024 | 4,369 | 5,224 |
| 1701 Change in uncollected payments, Federal sources .....            | 2,303 | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....    | 4,327 | 4,369 | 5,224 |
| <b>Spending authority from offsetting collections, mandatory:</b>     |       |       |       |
| 1800 Collected .....  | 103   | 72    | 970   |
| 1801 Change in uncollected payments, Federal sources .....            | 31    | ..... | ..... |
| 1850 Spending auth from offsetting collections, mand (total) .....    | 134   | 72    | 970   |
| 1900 Budget authority (total) .....                                   | 4,714 | 4,694 | 6,447 |
| 1930 Total budgetary resources available .....                        | 6,083 | 5,990 | 6,972 |
| <b>Memorandum (non-add) entries:</b>                                  |       |       |       |
| 1940 Unobligated balance expiring .....                               | –73   | ..... | ..... |
| 1941 Unexpired unobligated balance, end of year .....                 | 1,296 | 525   | 453   |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| <b>Unpaid obligations:</b>  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,824  | 3,436  | 3,157  |
| 3010 Obligations incurred, unexpired accounts .....               | 4,714  | 5,465  | 6,519  |
| 3011 Obligations incurred, expired accounts .....                 | 133    | .....  | .....  |
| 3020 Outlays (gross) .....  | –4,035 | –5,744 | –6,519 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –20    | .....  | .....  |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –180   | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                        | 3,436  | 3,157  | 3,157  |
| <b>Uncollected payments:</b>                                      |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –3,623 | –4,251 | –4,251 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –2,334 | .....  | .....  |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1,706  | .....  | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –4,251 | –4,251 | –4,251 |
| <b>Memorandum (non-add) entries:</b>                              |        |        |        |
| 3100 Obligated balance, start of year .....                       | –799   | –815   | –1,094 |
| 3200 Obligated balance, end of year .....                         | –815   | –1,094 | –1,094 |

**Budget authority and outlays, net:**

|  |        |        |        |
|--|--------|--------|--------|
| <b>Discretionary:</b>  |        |        |        |
| 4000 Budget authority, gross .....                                   | 4,327  | 4,369  | 5,224  |
| <b>Outlays, gross:</b>   |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 1,935  | 4,369  | 5,224  |
| 4011 Outlays from discretionary balances .....                       | 1,726  | .....  | .....  |
| 4020 Outlays, gross (total) .....                                    | 3,661  | 4,369  | 5,224  |
| <b>Offsets against gross budget authority and outlays:</b>           |        |        |        |
| <b>Offsetting collections (collected) from:</b>                      |        |        |        |
| 4030 Federal sources .....   | –3,240 | –3,896 | –5,217 |
| 4033 Non-Federal sources .....                                       | –356   | –473   | –7     |
| 4040 Offsets against gross budget authority and outlays (total) .... | –3,596 | –4,369 | –5,224 |
| <b>Additional offsets against gross budget authority only:</b>       |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –2,303 | .....  | .....  |
| 4052 Offsetting collections credited to expired accounts .....       | 1,572  | .....  | .....  |
| 4060 Additional offsets against budget authority only (total) .....  | –731   | .....  | .....  |
| 4080 Outlays, net (discretionary) .....                              | 65     | .....  | .....  |
| <b>Mandatory:</b>  |        |        |        |
| 4090 Budget authority, gross .....                                   | 387    | 325    | 1,223  |
| <b>Outlays, gross:</b>   |        |        |        |
| 4100 Outlays from new mandatory authority .....                      | 9      | 75     | 989    |
| 4101 Outlays from mandatory balances .....                           | 365    | 1,300  | 306    |
| 4110 Outlays, gross (total) .....                                    | 374    | 1,375  | 1,295  |
| <b>Offsets against gross budget authority and outlays:</b>           |        |        |        |
| <b>Offsetting collections (collected) from:</b>                      |        |        |        |
| 4120 Federal sources .....   | –119   | –44    | –26    |
| 4123 Non-Federal sources .....                                       | –30    | –28    | –944   |
| 4130 Offsets against gross budget authority and outlays (total) .... | –149   | –72    | –970   |
| <b>Additional offsets against gross budget authority only:</b>       |        |        |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | –31    | .....  | .....  |
| 4142 Offsetting collections credited to expired accounts .....       | 46     | .....  | .....  |
| 4150 Additional offsets against budget authority only (total) .....  | 15     | .....  | .....  |
| 4160 Budget authority, net (mandatory) .....                         | 253    | 253    | 253    |
| 4170 Outlays, net (mandatory) .....                                  | 225    | 1,303  | 325    |
| 4180 Budget authority, net (total) .....                             | 253    | 253    | 253    |
| 4190 Outlays, net (total) .....                                      | 290    | 1,303  | 325    |

Program management activities include funding for program operations, survey and certification, State high-risk pools, the Clinical Laboratory Improvement Amendments (CLIA), Medicare Advantage, Medicare Part D coordination of benefits, recovery audit contracts and other administrative costs.

## PROGRAM MANAGEMENT—Continued

## Object Classification (in millions of dollars)

| Identification code 75–0511–0–1–550                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 433         | 489     | 487       |
| 11.3 Other than full-time permanent .....                       | 14          | 12      | 12        |
| 11.5 Other personnel compensation .....                         | 6           | 8       | 8         |
| 11.7 Military personnel .....                                   | 9           | 12      | 13        |
| 11.9 Total personnel compensation .....                         | 462         | 521     | 520       |
| 12.1 Civilian personnel benefits .....                          | 133         | 133     | 136       |
| 12.2 Military personnel benefits .....                          | 4           | 7       | 6         |
| 21.0 Travel and transportation of persons .....                 | 6           | 7       | 7         |
| 22.0 Transportation of things .....                             | 1           |         |           |
| 23.1 Rental payments to GSA .....                               | 17          | 34      | 35        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 62          |         |           |
| 24.0 Printing and reproduction .....                            | 30          | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 79          | 8       | 8         |
| 25.2 Other services from non-Federal sources .....              | 2,180       | 2,586   | 3,172     |
| 25.3 Other goods and services from Federal sources .....        | 137         | 19      | 11        |
| 25.5 Research and development contracts .....                   | 21          | 21      |           |
| 25.6 Medical care .....   | 808         | 1,338   | 1,412     |
| 25.7 Operation and maintenance of equipment .....               | 151         | 16      | 16        |
| 26.0 Supplies and materials .....                               | 2           | 1       | 1         |
| 31.0 Equipment .....  | 28          |         |           |
| 32.0 Land and structures .....                                  |             | 11      | 11        |
| 41.0 Grants, subsidies, and contributions .....                 | 120         | 260     | 230       |
| 42.0 Insurance claims and indemnities .....                     | 2           |         |           |
| 99.0 Direct obligations .....                                   | 4,243       | 4,965   | 5,568     |
| 99.0 Reimbursable obligations .....                             | 471         | 500     | 951       |
| 99.9 Total new obligations .....                                | 4,714       | 5,465   | 6,519     |

## Employment Summary

| Identification code 75–0511–0–1–550                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 4,241       | 4,622   | 4,511     |
| 1001 Direct civilian full-time equivalent employment .....       | 156         | 190     | 204       |
| 1101 Direct military average strength employment .....           | 114         | 124     | 124       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 103         | 124     | 124       |

## CHILDREN'S HEALTH INSURANCE FUND

## Program and Financing (in millions of dollars)

| Identification code 75–0515–0–1–551  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Grants to States and U.S. territories .....   | 8,971       | 8,939   | 9,306     |
| 0002 Performance bonus payments .....  | 352         | 330     | 340       |
| 0003 Child health quality improvement .....  | 39          | 47      | 52        |
| 0900 Total new obligations (object class 41.0) .....                                       | 9,362       | 9,316   | 9,698     |
| <b>Budgetary Resources:</b>  |             |         |           |
| <b>Unobligated balance:</b>  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                      | 7,527       | 860     | 2,627     |
| 1012 Unobligated balance transfers between expired and unexpired accounts .....            | 80          |         |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                            | –34         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                                     | 1           |         |           |
| 1050 Unobligated balance (total) .....   | 7,574       | 860     | 2,627     |
| <b>Budget authority:</b>   |             |         |           |
| <b>Appropriations, discretionary:</b>  |             |         |           |
| 1130 Appropriations permanently reduced .....  |             | –6,368  | –3,779    |
| 1160 Appropriation, discretionary (total) .....  |             | –6,368  | –3,779    |
| <b>Appropriations, mandatory:</b>  |             |         |           |
| 1200 Appropriation .....   | 14,982      | 17,406  | 19,147    |
| 1200 Appropriation .....   | 45          | 45      |           |
| 1230 Appropriations and/or unobligated balance of appropriations permanently reduced ..... | –6,368      |         |           |
| 1260 Appropriations, mandatory (total) .....   | 8,659       | 17,451  | 19,147    |
| 1900 Budget authority (total) .....  | 8,659       | 11,083  | 15,368    |
| 1930 Total budgetary resources available .....   | 16,233      | 11,943  | 17,995    |
| <b>Memorandum (non-add) entries:</b>   |             |         |           |
| 1940 Unobligated balance expiring .....  | –6,011      |         |           |

|   |  |        |        |        |
|---|--|--------|--------|--------|
| 1941                                      | Unexpired unobligated balance, end of year .....             | 860    | 2,627  | 8,297  |
| <b>Change in obligated balance:</b>       |  |        |        |        |
| Unpaid obligations:                       |  |        |        |        |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 7,106  | 6,474  | 5,893  |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 9,362  | 9,316  | 9,698  |
| 3011                                      | Obligations incurred, expired accounts .....                 | 215    |        |        |
| 3020                                      | Outlays (gross) .....  | –9,065 | –9,897 | –9,992 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | –1     |        |        |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....   | –1,143 |        |        |
| 3050                                      | Unpaid obligations, end of year .....                        | 6,474  | 5,893  | 5,599  |
| Memorandum (non-add) entries:             |  |        |        |        |
| 3100                                      | Obligated balance, start of year .....                       | 7,106  | 6,474  | 5,893  |
| 3200                                      | Obligated balance, end of year .....                         | 6,474  | 5,893  | 5,599  |
| <b>Budget authority and outlays, net:</b> |  |        |        |        |
| Discretionary:                            |  |        |        |        |
| 4000                                      | Budget authority, gross .....                                |        | –6,368 | –3,779 |
| Mandatory:                                |  |        |        |        |
| 4090                                      | Budget authority, gross .....                                | 8,659  | 17,451 | 19,147 |
| Outlays, gross:                           |  |        |        |        |
| 4100                                      | Outlays from new mandatory authority .....                   | 3,073  | 2,045  | 1,500  |
| 4101                                      | Outlays from mandatory balances .....                        | 5,992  | 7,852  | 8,492  |
| 4110                                      | Outlays, gross (total) .....                                 | 9,065  | 9,897  | 9,992  |
| 4180                                      | Budget authority, net (total) .....                          | 8,659  | 11,083 | 15,368 |
| 4190                                      | Outlays, net (total) .....                                   | 9,065  | 9,897  | 9,992  |

The Balanced Budget Act of 1997 established the Children's Health Insurance Program (CHIP) under Title XXI of the Social Security Act. Title XXI provides Federal matching funds to States to enable them to extend coverage to uninsured children from low-income families. States are able to use Title XXI funds for obtaining health benefit coverage for uninsured children through a separate CHIP program, a CHIP Medicaid expansion program, or a combination of both. The Children's Health Insurance Program Reauthorization Act of 2009 (P.L. 111–3, CHIPRA) reauthorized the CHIP program and appropriated funding for CHIP through 2013. CHIPRA made some modifications to the program including increased funding for States and territories, bonus payments for States that exceed Medicaid child enrollment targets, and support for child health quality and outreach activities. A contingency fund to assist States who project spending above their available allocated CHIP funds was also created by CHIPRA and funding is included in a separate account. The Patient Protection and Affordable Care Act of 2010 (P.L. 111–148) extended funding for CHIP, providing appropriations through 2015.

## CENTER FOR MEDICARE AND MEDICAID INNOVATION

## Program and Financing (in millions of dollars)

| Identification code 75–0522–0–1–551     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Administration .....   | 71          | 151     | 155       |
| 0002                                    | Innovation Activities .....                                  | 713         | 1,162   | 1,257     |
| 0900                                    | Total new obligations .....                                  | 784         | 1,313   | 1,412     |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....             | 9,910       | 9,129   | 7,816     |
| 1021                                    | Recoveries of prior year unpaid obligations .....            | 3           |         |           |
| 1050                                    | Unobligated balance (total) .....                            | 9,913       | 9,129   | 7,816     |
| 1930                                    | Total budgetary resources available .....                    | 9,913       | 9,129   | 7,816     |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year .....             | 9,129       | 7,816   | 6,404     |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....             | 84          | 647     | 967       |
| 3010                                    | Obligations incurred, unexpired accounts .....               | 784         | 1,313   | 1,412     |
| 3020                                    | Outlays (gross) .....  | –218        | –993    | –1,339    |
| 3040                                    | Recoveries of prior year unpaid obligations, unexpired ..... | –3          |         |           |

|   |  |     |     |       |
|---|--|-----|-----|-------|
| 3050                                      | Unpaid obligations, end of year .....  | 647 | 967 | 1,040 |
|   | Memorandum (non-add) entries:          |     |     |       |
| 3100                                      | Obligated balance, start of year ..... | 84  | 647 | 967   |
| 3200                                      | Obligated balance, end of year .....   | 647 | 967 | 1,040 |
| <b>Budget authority and outlays, net:</b> |  |     |     |       |
|   | Mandatory:                             |     |     |       |
|   | Outlays, gross:                        |     |     |       |
| 4101                                      | Outlays from mandatory balances .....  | 218 | 993 | 1,339 |
| 4190                                      | Outlays, net (total) .....             | 218 | 993 | 1,339 |

The Center for Medicare and Medicaid Innovation ("Innovation Center") was established by section 1115A of the Social Security Act (as added by section 3021 of the Affordable Care Act). The Innovation Center is tasked with testing innovative payment and service delivery models to reduce program expenditures while preserving or enhancing the quality of care provided to individuals under Medicare, Medicaid, or Children's Health Insurance Program (CHIP). The statute provides \$10 billion in mandatory funding for these purposes in fiscal year 2011 through 2019.

**Object Classification** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 75-0522-0-1-551                      | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 17          | 47      | 51        |
| 12.1 Civilian personnel benefits .....                   | 5           | 13      | 14        |
| 25.2 Other services from non-Federal sources .....       | 713         | 1,162   | 1,257     |
| 25.3 Other goods and services from Federal sources ..... | 49          | 91      | 90        |
| 99.9 Total new obligations .....                         | 784         | 1,313   | 1,412     |

**Employment Summary**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 75-0522-0-1-551                        | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment ..... | 163         | 309     | 347       |

**CHILD ENROLLMENT CONTINGENCY FUND****Special and Trust Fund Receipts** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 75-5551-0-2-551                    | 2012 actual | 2013 CR | 2014 est. |
| 0100 Balance, start of year .....                      |             |         |           |
| Receipts:  |             |         |           |
| 0240 Interest, Child Enrollment Contingency Fund ..... |             | 3       | 4         |
| 0400 Total: Balances and collections .....             |             | 3       | 4         |
| Appropriations:  |             |         |           |
| 0500 Child Enrollment Contingency Fund .....           |             | -3      | -4        |
| 0799 Balance, end of year .....                        |             |         |           |

**Program and Financing** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 75-5551-0-2-551                   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Grants to States and US Territories .....        | 1           | 100     | 100       |
| 0900 Total new obligations (object class 41.0) .....  | 1           | 100     | 100       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2,093       | 2,092   | 1,995     |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      |             | 3       | 4         |
| 1260 Appropriations, mandatory (total) .....          |             | 3       | 4         |
| 1930 Total budgetary resources available .....        | 2,093       | 2,095   | 1,999     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2,092       | 1,995   | 1,899     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 25          | 26      | 1         |

|   |    |      |      |
|---|----|------|------|
| 3010 Obligations incurred, unexpired accounts ..... | 1  | 100  | 100  |
| 3020 Outlays (gross) .....                          |    | -125 | -100 |
| 3050 Unpaid obligations, end of year .....          | 26 | 1    | 1    |
| Memorandum (non-add) entries:                       |    |      |      |
| 3100 Obligated balance, start of year .....         | 25 | 26   | 1    |
| 3200 Obligated balance, end of year .....           | 26 | 1    | 1    |

**Budget authority and outlays, net:**

|  |  |     |     |
|--|--|-----|-----|
| Mandatory:                                 |  |     |     |
| 4090 Budget authority, gross .....         |  | 3   | 4   |
| Outlays, gross:                            |  |     |     |
| 4101 Outlays from mandatory balances ..... |  | 125 | 100 |
| 4180 Budget authority, net (total) .....   |  | 3   | 4   |
| 4190 Outlays, net (total) .....            |  | 125 | 100 |

**Memorandum (non-add) entries:**

|  |       |       |       |
|--|-------|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 2,093 | 2,096 | 1,996 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 2,096 | 1,996 | 1,899 |

The Children's Health Insurance Program Reauthorization Act of 2009 (*P.L. 111-3, CHIPRA*) established the Child Enrollment Contingency Fund under Title XXI of the Social Security Act. Beginning in 2009, a State may qualify for a contingency fund payment if it projects a funding shortfall for the fiscal year and if its average monthly child enrollment exceeds its target average number of enrollees for the fiscal year. The Patient Protection and Affordable Care Act of 2010 (*P.L. 111-148*) extended the contingency fund through 2015.

The fund received an initial appropriation equal to 20 percent of the FY 2009 national allotment (\$2.1 billion). In fiscal years 2010 through 2015, the statute appropriates the amount necessary to make payments to eligible States, but not to exceed 20 percent of the total annual appropriation for CHIP allotments to States. Any amounts in excess of this aggregate cap will be made available for CHIP performance bonus payments. The contingency fund is invested in interest bearing securities of the United States, and the income derived from these investments constitutes a part of the fund.

**MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS, RECOVERY ACT****Program and Financing** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 75-0508-0-1-551                                | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Incentive payments to hospitals .....                         | 2,560       | 2,920   | 2,670     |
| 0802 Incentive payments to eligible professionals .....            | 1,620       | 1,640   | 2,790     |
| 0900 Total new obligations (object class 42.0) .....               | 4,180       | 4,560   | 5,460     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,659       | 1,070   | 1,070     |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected from the HI Trust Fund .....                        | 2,111       | 2,920   | 2,670     |
| 1800 Collected from the SMI Trust Fund .....                       | 1,421       | 1,640   | 2,790     |
| 1801 Change in uncollected payments, Federal sources .....         | 59          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 3,591       | 4,560   | 5,460     |
| 1930 Total budgetary resources available .....                     | 5,250       | 5,630   | 6,530     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1,070       | 1,070   | 1,070     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 90          | 448     | 448       |
| 3010 Obligations incurred, unexpired accounts .....                | 4,180       | 4,560   | 5,460     |
| 3020 Outlays (gross) .....   | -3,822      | -4,560  | -5,460    |
| 3050 Unpaid obligations, end of year .....                         | 448         | 448     | 448       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1,839      | -1,898  | -1,898    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -59         |         |           |

**MEDICARE HEALTH INFORMATION TECHNOLOGY INCENTIVE PAYMENTS,  
RECOVERY ACT—Continued  
Program and Financing—Continued**

| Identification code 75–0508–0–1–551                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 3090  | Uncollected pymts, Fed sources, end of year .....         | –1,898      | –1,898  | –1,898    |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                    | –1,749      | –1,450  | –1,450    |
| 3200  | Obligated balance, end of year .....                      | –1,450      | –1,450  | –1,450    |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Mandatory:  |   |             |         |           |
| 4090  | Budget authority, gross .....                             | 3,591       | 4,560   | 5,460     |
| Outlays, gross:   |   |             |         |           |
| 4100  | Outlays from new mandatory authority .....                | 2,164       | 4,114   | 5,460     |
| 4101  | Outlays from mandatory balances .....                     | 1,658       | 446     |           |
| 4110  | Outlays, gross (total) .....                              | 3,822       | 4,560   | 5,460     |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4120  | Federal sources .....                                     | –3,532      | –4,560  | –5,460    |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4140  | Change in uncollected pymts, Fed sources, unexpired ..... | –59         |         |           |
| 4170  | Outlays, net (mandatory) .....                            | 290         |         |           |
| 4190  | Outlays, net (total) .....                                | 290         |         |           |

**RATE REVIEW GRANTS**

**Program and Financing** (in millions of dollars)

| Identification code 75–0112–0–1–551       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Premium rate review grants .....                             | 8           | 90      |           |
| 0900                                      | Total new obligations (object class 41.0) .....              | 8           | 90      |           |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 91          | 90      |           |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 7           |         |           |
| 1050                                      | Unobligated balance (total) .....                            | 98          | 90      |           |
| 1930                                      | Total budgetary resources available .....                    | 98          | 90      |           |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 90          |         |           |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 147         | 126     | 116       |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 8           | 90      |           |
| 3020                                      | Outlays (gross) .....  | –22         | –100    | –80       |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | –7          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 126         | 116     | 36        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 147         | 126     | 116       |
| 3200                                      | Obligated balance, end of year .....                         | 126         | 116     | 36        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Mandatory:                                |  |             |         |           |
| Outlays, gross:                           |  |             |         |           |
| 4101                                      | Outlays from mandatory balances .....                        | 22          | 100     | 80        |
| 4190                                      | Outlays, net (total) .....                                   | 22          | 100     | 80        |

The Affordable Care Act (P.L. 111–148) amends Section 2794 of the Public Health Service Act and provides that the Secretary shall carry out a program to award grants to States for a five-year period beginning in fiscal year 2010. The Grants to States for Health Insurance Premium Review program provides funding to help States develop or enhance their current rate review activities. The program provides \$250,000,000 over the five-year period beginning with fiscal year 2010. States may receive no less than \$1,000,000 and no more than \$5,000,000 in any one

grant year. Grant recipients are required to submit data to the Secretary on health insurance rate trends.

**PRE-EXISTING CONDITION INSURANCE PLAN PROGRAM**

**Program and Financing** (in millions of dollars)

| Identification code 75–0113–0–1–551                        |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |   |             |         |           |
| 0001   | Direct program activity .....                                 | 2,131       | 1,520   | 312       |
| 0801   | Premiums from Fallback States .....                           | 98          | 199     | 46        |
| 0900   | Total new obligations .....                                   | 2,229       | 1,719   | 358       |
| <b>Budgetary Resources:</b>                                |   |             |         |           |
| Unobligated balance:                                       |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 3,961       | 1,832   | 312       |
| 1021   | Recoveries of prior year unpaid obligations .....             | 2           |         |           |
| 1050   | Unobligated balance (total) .....                             | 3,963       | 1,832   | 312       |
| Budget authority:  |   |             |         |           |
| Spending authority from offsetting collections, mandatory: |   |             |         |           |
| 1800   | Collected .....   | 98          | 199     | 46        |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 98          | 199     | 46        |
| 1900   | Budget authority (total) .....                                | 98          | 199     | 46        |
| 1930   | Total budgetary resources available .....                     | 4,061       | 2,031   | 358       |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 1,832       | 312     |           |
| <b>Change in obligated balance:</b>                        |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 633         | 1,261   | 625       |
| 3010   | Obligations incurred, unexpired accounts .....                | 2,229       | 1,719   | 358       |
| 3020   | Outlays (gross) .....   | –1,599      | –2,355  | –983      |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –2          |         |           |
| 3050   | Unpaid obligations, end of year .....                         | 1,261       | 625     |           |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 633         | 1,261   | 625       |
| 3200   | Obligated balance, end of year .....                          | 1,261       | 625     |           |
| <b>Budget authority and outlays, net:</b>                  |   |             |         |           |
| Mandatory:   |   |             |         |           |
| Budget authority, gross:                                   |   |             |         |           |
| 4090   | Budget authority, gross .....                                 | 98          | 199     | 46        |
| Outlays, gross:  |   |             |         |           |
| 4100   | Outlays from new mandatory authority .....                    | 63          | 199     | 46        |
| 4101   | Outlays from mandatory balances .....                         | 1,536       | 2,156   | 937       |
| 4110   | Outlays, gross (total) .....                                  | 1,599       | 2,355   | 983       |
| Offsets against gross budget authority and outlays:        |   |             |         |           |
| Offsetting collections (collected) from:                   |   |             |         |           |
| 4123   | Non-Federal sources .....                                     | –98         | –199    | –46       |
| 4190   | Outlays, net (total) .....                                    | 1,501       | 2,156   | 937       |

This account funds the Pre-Existing Condition Insurance Plan Program (PCIP), which makes health insurance available to people who have been unable to get insurance due to a pre-existing condition. Enrollees pay monthly premiums similar to those charged in the commercial individual market, and the Federal government pays for remaining costs that exceed enrollee contributions. The funding for this program, including operating costs, was provided in the FY 2010 appropriation by the Affordable Care Act (P.L. 111–148). The FY 2014 President's Budget obligation and outlays projections are based on policy assumptions to manage costs in the program to the \$5 billion appropriation and continue coverage for current enrollees.

**Object Classification** (in millions of dollars)

| Identification code 75–0113–0–1–551 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 4           | 5       | 5         |
| 25.2                                | Other services from non-Federal sources .....     | 28          | 21      | 21        |
| 41.0                                | Grants, subsidies, and contributions .....        | 2,099       | 1,494   | 286       |
| 99.0                                | Direct obligations .....                          | 2,131       | 1,520   | 312       |
| 99.0                                | Reimbursable obligations .....                    | 98          | 199     | 46        |

99.9 Total new obligations ..... 2,229 1,719 358

**Employment Summary**

| Identification code 75-0113-0-1-551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 18          | 16      | 16        |

**EARLY RETIREE REINSURANCE PROGRAM****Program and Financing** (in millions of dollars)

| Identification code 75-0114-0-1-551      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Subsidies for early retirees .....  | 1,907       | 41      | .....     |
| 0002 Administration .....                | 27          | 24      | 8         |
| 0799 Total direct obligations .....      | 1,934       | 65      | 8         |
| 0801 Reimbursable program activity ..... | 24          | 75      | .....     |
| 0900 Total new obligations .....         | 1,958       | 140     | 8         |

**Budgetary Resources:**

|  |       |       |       |
|--|-------|-------|-------|
| <b>Unobligated balance:</b>  |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,998 | 74    | 9     |
| 1021 Recoveries of prior year unpaid obligations .....             | 10    | ..... | ..... |
| 1050 Unobligated balance (total) .....                             | 2,008 | 74    | 9     |
| <b>Budget authority:</b>   |       |       |       |
| Spending authority from offsetting collections, mandatory:         |       |       |       |
| 1800 Collected .....   | 24    | 75    | ..... |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 24    | 75    | ..... |
| 1900 Budget authority (total) .....                                | 24    | 75    | ..... |
| 1930 Total budgetary resources available .....                     | 2,032 | 149   | 9     |
| <b>Memorandum (non-add) entries:</b>                               |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 74    | 9     | 1     |

**Change in obligated balance:**

|   |        |       |       |
|---|--------|-------|-------|
| <b>Unpaid obligations:</b>  |        |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 26     | 24    | 17    |
| 3010 Obligations incurred, unexpired accounts .....               | 1,958  | 140   | 8     |
| 3020 Outlays (gross) .....  | -1,950 | -147  | -23   |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -10    | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 24     | 17    | 2     |
| <b>Memorandum (non-add) entries:</b>                              |        |       |       |
| 3100 Obligated balance, start of year .....                       | 26     | 24    | 17    |
| 3200 Obligated balance, end of year .....                         | 24     | 17    | 2     |

**Budget authority and outlays, net:**

|  |       |     |       |
|--|-------|-----|-------|
| <b>Mandatory:</b>  |       |     |       |
| 4090 Budget authority, gross .....                         | 24    | 75  | ..... |
| <b>Outlays, gross:</b>                                     |       |     |       |
| 4100 Outlays from new mandatory authority .....            | ..... | 75  | ..... |
| 4101 Outlays from mandatory balances .....                 | 1,950 | 72  | 23    |
| 4110 Outlays, gross (total) .....                          | 1,950 | 147 | 23    |
| <b>Offsets against gross budget authority and outlays:</b> |       |     |       |
| Offsetting collections (collected) from:                   |       |     |       |
| 4120 Federal sources .....                                 | -24   | -75 | ..... |
| 4190 Outlays, net (total) .....                            | 1,926 | 72  | 23    |

The Affordable Care Act (P.L. 111-148) authorized and appropriated \$5 billion for the Early Retiree Reinsurance Program (ERRP). ERRP provides reimbursement to participating sponsors of certified plans that provide health benefits to early retirees (age 55 and older and not eligible for Medicare), their spouses, and surviving spouses and dependents. Reimbursement is equal to 80 percent of the actual cost of health expenses paid for an individual (net of price concessions) between a cost threshold of \$15,000 and cost limit of \$90,000 beginning in 2010. The cost threshold and cost limit are adjusted for inflation each year based on the Medical Care Component of the consumer price index for all urban consumers. Reimbursements to sponsors shall be used to reduce the sponsor's health benefit or premium costs, provide premium and cost sharing relief to plan participants, or both.

**Object Classification** (in millions of dollars)

| Identification code 75-0114-0-1-551                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 26          | 23      | 7         |
| 42.0 Insurance claims and indemnities .....            | 1,907       | 41      | .....     |
| 99.0 Direct obligations .....                          | 1,934       | 65      | 8         |
| 99.0 Reimbursable obligations .....                    | 24          | 75      | .....     |
| 99.9 Total new obligations .....                       | 1,958       | 140     | 8         |

**Employment Summary**

| Identification code 75-0114-0-1-551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 12      | 12        |

**AFFORDABLE INSURANCE EXCHANGE GRANTS****Program and Financing** (in millions of dollars)

| Identification code 75-0115-0-1-551          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>      |             |         |           |
| 0001 Planning and establishment grants ..... | 1,626       | 2,700   | 1,292     |
| 0002 Administration .....                    | 29          | 51      | 51        |
| 0900 Total new obligations .....             | 1,655       | 2,751   | 1,343     |

**Budgetary Resources:**

|  |       |       |       |
|--|-------|-------|-------|
| <b>Budget authority:</b>                       |       |       |       |
| Appropriations, mandatory:                     |       |       |       |
| 1200 Appropriation .....                       | 1,655 | 2,751 | 1,343 |
| 1260 Appropriations, mandatory (total) .....   | 1,655 | 2,751 | 1,343 |
| 1930 Total budgetary resources available ..... | 1,655 | 2,751 | 1,343 |

**Change in obligated balance:**

|   |       |        |        |
|---|-------|--------|--------|
| <b>Unpaid obligations:</b>                                      |       |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 503   | 1,900  | 3,194  |
| 3010 Obligations incurred, unexpired accounts .....             | 1,655 | 2,751  | 1,343  |
| 3020 Outlays (gross) .....                                      | -167  | -1,457 | -2,061 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -91   | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                      | 1,900 | 3,194  | 2,476  |
| <b>Memorandum (non-add) entries:</b>                            |       |        |        |
| 3100 Obligated balance, start of year .....                     | 503   | 1,900  | 3,194  |
| 3200 Obligated balance, end of year .....                       | 1,900 | 3,194  | 2,476  |

**Budget authority and outlays, net:**

|   |       |       |       |
|---|-------|-------|-------|
| <b>Mandatory:</b>                               |       |       |       |
| 4090 Budget authority, gross .....              | 1,655 | 2,751 | 1,343 |
| <b>Outlays, gross:</b>                          |       |       |       |
| 4100 Outlays from new mandatory authority ..... | 37    | 275   | 134   |
| 4101 Outlays from mandatory balances .....      | 130   | 1,182 | 1,927 |
| 4110 Outlays, gross (total) .....               | 167   | 1,457 | 2,061 |
| 4180 Budget authority, net (total) .....        | 1,655 | 2,751 | 1,343 |
| 4190 Outlays, net (total) .....                 | 167   | 1,457 | 2,061 |

This program provides funding for Planning and Establishment Grants to States for their activities to implement Affordable Insurance Exchanges, which are also referred to as Marketplaces. The Exchanges will facilitate the purchase of qualified health plans in the individual market and provide for the establishment of a Small Business Health Options Program to allow small businesses to offer qualified health plans to their employees. Section 1311 of the Patient Protection and Affordable Care Act (P.L. 111-148) provides amounts necessary to enable the Secretary to award grants to States beginning no later than March 23, 2011, and allows for renewal grants through January 1, 2015.

## AFFORDABLE INSURANCE EXCHANGE GRANTS—Continued

## Object Classification (in millions of dollars)

| Identification code 75–0115–0–1–551                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 8           | 8       | 8         |
| 25.2 Other services from non-Federal sources .....     | 21          | 43      | 43        |
| 41.0 Grants, subsidies, and contributions .....        | 1,626       | 2,700   | 1,292     |
| 99.9 Total new obligations .....                       | 1,655       | 2,751   | 1,343     |

## Employment Summary

| Identification code 75–0115–0–1–551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 44          | 62      | 63        |

## REDUCED COST SHARING FOR INDIVIDUALS ENROLLING IN QUALIFIED HEALTH PLANS

For carrying out, except as otherwise provided, sections 1402 and 1412 of the Patient Protection and Affordable Care Act (Public Law 111–148), such sums as necessary.

For carrying out, except as otherwise provided, such sections in the first quarter of fiscal year 2015 (including upward adjustments to prior year payments), \$1,420,000,000.

## Program and Financing (in millions of dollars)

| Identification code 75–0126–0–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Benefit payments .....                          |             |         | 3,978     |
| 0900 Total new obligations (object class 42.0) ..... |             |         | 3,978     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 3,978     |
| 1260 Appropriations, mandatory (total) .....         |             |         | 3,978     |
| 1930 Total budgetary resources available .....       |             |         | 3,978     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 3,978     |
| 3020 Outlays (gross) .....                           |             |         | –3,978    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 3,978     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 3,978     |
| 4180 Budget authority, net (total) .....             |             |         | 3,978     |
| 4190 Outlays, net (total) .....                      |             |         | 3,978     |

Section 1402 of the Patient Protection and Affordable Care Act (P.L. 111–148) provides for reductions in cost sharing for certain individuals enrolled in qualified health plans purchased on the Exchanges, and section 1412 of the Patient Protection and Affordable Care Act (P.L. 111–148) provides for the advance payment of these reductions to issuers. This assistance helps eligible low- and moderate-income qualified individuals and families afford the out-of-pocket spending associated with health care services provided through Exchange-based qualified health plan coverage.

## CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND

## Program and Financing (in millions of dollars)

| Identification code 75–0524–0–1–551                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| Credit program obligations:                                       |             |         |           |
| 0701 Direct loan subsidy .....                                    |             | 68      |           |
| 0709 Administrative expenses .....                                |             | 17      | 17        |
| 0900 Total new obligations .....                                  |             | 85      | 17        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             |             |         | 168       |
| 1011 Unobligated balance transfer from other accts [75–0118] .... |             | 253     |           |
| 1050 Unobligated balance (total) .....                            |             | 253     | 168       |
| 1930 Total budgetary resources available .....                    |             | 253     | 168       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             |             | 168     | 151       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             |             |         | 55        |
| 3010 Obligations incurred, unexpired accounts .....               |             | 85      | 17        |
| 3020 Outlays (gross) .....  |             | –30     | –55       |
| 3050 Unpaid obligations, end of year .....                        |             | 55      | 17        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       |             |         | 55        |
| 3200 Obligated balance, end of year .....                         |             | 55      | 17        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                        |             | 30      | 55        |
| 4190 Outlays, net (total) .....                                   |             | 30      | 55        |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 75–0524–0–1–551                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Contingency Fund Loans .....                         |             | 180     |           |
| 115999 Total direct loan levels .....                       |             | 180     |           |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Contingency Fund Loans .....                         |             | 37.66   |           |
| 132999 Weighted average subsidy rate .....                  |             | 37.66   |           |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Contingency Fund Loans .....                         |             | 68      |           |
| 133999 Total subsidy budget authority .....                 |             | 68      |           |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 Contingency Fund Loans .....                         |             | 25      | 39        |
| 134999 Total subsidy outlays .....                          |             | 25      | 39        |
| Direct loan downward reestimates:                           |             |         |           |
| Administrative expense data:                                |             |         |           |
| 3580 Outlays from balances .....                            |             | 5       | 16        |

The Consumer Operated and Oriented Plan Contingency Fund was established by the American Taxpayer Relief Act of 2012 (P.L. 112–240). This fund provides assistance and oversight to qualified nonprofit health insurance issuers that have been awarded loans or grants under section 1322 of the Affordable Care Act (P.L. 111–148).

## Object Classification (in millions of dollars)

| Identification code 75–0524–0–1–551                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             | 5       | 5         |
| 25.2 Other services from non-Federal sources .....     |             | 12      | 12        |
| 41.0 Grants, subsidies, and contributions .....        |             | 68      |           |
| 99.9 Total new obligations .....                       |             | 85      | 17        |



## Employment Summary

| Identification code 75–0524–0–1–551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 25      | 22        |

## CONSUMER OPERATED AND ORIENTED PLAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 75–0118–0–1–551  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| Credit program obligations:  |             |         |           |
| 0701 Direct loan subsidy .....   | 726         | 122     |           |
| 0703 Subsidy for modifications of direct loans .....                                       |             | 5       |           |
| 0705 Reestimates of direct loan subsidy .....  |             | 3       |           |
| 0709 Administrative expenses .....   | 12          | 1       |           |
| 0900 Total new obligations .....   | 738         | 131     |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                      | 3,797       | 2,659   |           |
| 1010 Unobligated balance transfer to other accts [75–0524] .....                           |             | –253    |           |
| 1050 Unobligated balance (total) .....   | 3,797       | 2,406   |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   |             | 3       |           |
| 1230 Appropriations and/or unobligated balance of appropriations permanently reduced ..... | –400        | –2,278  |           |
| 1260 Appropriations, mandatory (total) .....   | –400        | –2,275  |           |
| 1930 Total budgetary resources available .....   | 3,397       | 131     |           |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                      | 2,659       |         |           |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                      | 3           | 703     | 580       |
| 3010 Obligations incurred, unexpired accounts .....  | 738         | 131     |           |
| 3020 Outlays (gross) .....   | –38         | –254    | –175      |
| 3050 Unpaid obligations, end of year .....   | 703         | 580     | 405       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 3           | 703     | 580       |
| 3200 Obligated balance, end of year .....  | 703         | 580     | 405       |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | –400        | –2,275  |           |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....   | 38          | 254     | 175       |
| 4180 Budget authority, net (total) .....   | –400        | –2,275  |           |
| 4190 Outlays, net (total) .....  | 38          | 254     | 175       |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 75–0118–0–1–551                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115002 Startup Loans .....                                  | 281         | 38      |           |
| 115003 Solvency .....                                       | 1,410       | 257     |           |
| 115999 Total direct loan levels .....                       | 1,691       | 295     |           |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132002 Startup Loans .....                                  | 37.51       | 37.66   |           |
| 132003 Solvency .....                                       | 43.99       | 41.90   |           |
| 132999 Weighted average subsidy rate .....                  | 42.91       | 41.35   |           |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133002 Startup Loans .....                                  | 105         | 14      |           |
| 133003 Solvency .....                                       | 620         | 108     |           |
| 133999 Total subsidy budget authority .....                 | 725         | 122     |           |
| Direct loan subsidy outlays:                                |             |         |           |
| 134002 Startup Loans .....                                  | 34          | 65      | 19        |
| 134003 Solvency .....                                       |             | 176     | 155       |
| 134999 Total subsidy outlays .....                          | 34          | 241     | 174       |
| Direct loan upward reestimates:                             |             |         |           |
| 135002 Startup Loans .....                                  |             | 3       |           |
| 135999 Total upward reestimate budget authority .....       |             | 3       |           |

Direct loan downward reestimates:

|                                  |    |    |  |   |
|----------------------------------|----|----|--|---|
| Administrative expense data:     |    |    |  |   |
| 3510 Budget authority .....      | 12 | 1  |  |   |
| 3580 Outlays from balances ..... | 4  | 10 |  | 1 |

Section 1322 of the Affordable Care Act (P.L. 111–148) authorized and appropriated funding for the Consumer Operated and Oriented Plan (CO-OP) Program. The CO-OP Program fosters the creation of qualified nonprofit health insurance issuers that operate with a strong consumer focus to offer qualified health plans in the individual and small group markets in the States. The Secretary shall award loans to qualified nonprofit issuers to fund start-up costs and reserves which enable qualified issuers to meet state solvency requirements. The Secretary may also award loans for the purposes of encouraging the establishment of CO-OPs in states where no issuer applies to be a qualified nonprofit issuer under Section 1322.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with CO-OP Program grants and loans, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

## Object Classification (in millions of dollars)

| Identification code 75–0118–0–1–551                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           |         |           |
| 25.2 Other services from non-Federal sources .....     | 11          | 1       |           |
| 41.0 Grants, subsidies, and contributions .....        | 726         | 130     |           |
| 99.9 Total new obligations .....                       | 738         | 131     |           |

## Employment Summary

| Identification code 75–0118–0–1–551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 6           |         |           |

## CONSUMER OPERATED AND ORIENTED PLAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 75–4418–0–3–551   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 1,691       | 295     |           |
| 0713 Payment of interest to Treasury .....                                      | 4           | 14      | 18        |
| 0900 Total new obligations .....  | 1,695       | 309     | 18        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           |             | 2,228   |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                 |             | –2,228  |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 3,194       | 182     | 18        |
| 1440 Borrowing authority, mandatory (total) .....                               | 3,194       | 182     | 18        |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 37          | 244     | 174       |
| 1801 Change in uncollected payments, Federal sources .....                      | 692         | –114    | –174      |
| 1825 Spending authority from offsetting collections applied to repay debt ..... |             | –3      |           |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 729         | 127     |           |
| 1900 Financing authority (total) .....  | 3,923       | 309     | 18        |
| 1930 Total budgetary resources available .....                                  | 3,923       | 309     | 18        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 2,228       |         |           |

CONSUMER OPERATED AND ORIENTED PLAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 75-4418-0-3-551                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     |             | 1,601   | 1,326     |
| 3010 Obligations incurred, unexpired accounts .....                       | 1,695       | 309     | 18        |
| 3020 Financing disbursements (gross) .....                                | -94         | -584    | -422      |
| 3050 Unpaid obligations, end of year .....                                | 1,601       | 1,326   | 922       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         |             | -692    | -578      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | -692        | 114     | 174       |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -692        | -578    | -404      |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               |             | 909     | 748       |
| 3200 Obligated balance, end of year .....                                 | 909         | 748     | 518       |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 3,923       | 309     | 18        |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 94          | 584     | 422       |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources .....  | -34         | -244    | -174      |
| 4122 Interest on uninvested funds .....                                   | -3          |         |           |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -37         | -244    | -174      |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -692        | 114     | 174       |
| 4160 Financing authority, net (mandatory) .....                           | 3,194       | 179     | 18        |
| 4170 Financing disbursements, net (mandatory) .....                       | 57          | 340     | 248       |
| 4180 Financing authority, net (total) .....                               | 3,194       | 179     | 18        |
| 4190 Financing disbursements, net (total) .....                           | 57          | 340     | 248       |

## Status of Direct Loans (in millions of dollars)

| Identification code 75-4418-0-3-551                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 1,691       | 295     |           |
| 1150 Total direct loan obligations .....                               | 1,691       | 295     |           |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  |             | 90      | 660       |
| 1231 Disbursements: Direct loan disbursements .....                    | 90          | 570     | 404       |
| 1251 Repayments: Repayments and prepayments .....                      |             |         |           |
| 1263 Write-offs for default: Direct loans .....                        |             |         |           |
| 1290 Outstanding, end of year .....                                    | 90          | 660     | 1,064     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 75-4418-0-3-551                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| Group heading   |             |             |
| <b>ASSETS:</b>  |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         |             | 90          |
| 1405 Allowance for subsidy cost (-) .....                         |             | -34         |
| 1499 Net present value of assets related to direct loans .....    |             | 56          |
| 1999 Total assets .....   |             | 56          |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              |             | 56          |

|   |    |
|---|----|
| 4999 Total liabilities and net position ..... | 56 |
|---|----|

CONSUMER OPERATED AND ORIENTED PLAN PROGRAM CONTINGENCY FUND  
FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 75-4482-0-3-551                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0710 Direct loan obligations .....                                 |             | 180     |           |
| 0713 Payment of interest to Treasury .....                         |             | 1       | 2         |
| 0900 Total new obligations .....                                   |             | 181     | 2         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Financing authority:   |             |         |           |
| Borrowing authority, mandatory:                                    |             |         |           |
| 1400 Borrowing authority .....                                     |             | 113     | 2         |
| 1440 Borrowing authority, mandatory (total) .....                  |             | 113     | 2         |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             | 25      | 39        |
| 1801 Change in uncollected payments, Federal sources .....         |             | 43      | -39       |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             | 68      |           |
| 1900 Financing authority (total) .....                             |             | 181     | 2         |
| 1930 Total budgetary resources available .....                     |             | 181     | 2         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              |             |         | 114       |
| 3010 Obligations incurred, unexpired accounts .....                |             | 181     | 2         |
| 3020 Financing disbursements (gross) .....                         |             | -67     | -106      |
| 3050 Unpaid obligations, end of year .....                         |             | 114     | 10        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  |             |         | -43       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     |             | -43     | 39        |
| 3090 Uncollected pymts, Fed sources, end of year .....             |             | -43     | -4        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        |             |         | 71        |
| 3200 Obligated balance, end of year .....                          |             | 71      | 6         |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              |             | 181     | 2         |
| Financing disbursements:   |             |         |           |
| 4110 Financing disbursements, gross .....                          |             | 67      | 106       |
| Offsets against gross financing authority and disbursements:       |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   |             | -25     | -39       |
| Additional offsets against financing authority only (total):       |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....     |             | -43     | 39        |
| 4160 Financing authority, net (mandatory) .....                    |             | 113     | 2         |
| 4170 Financing disbursements, net (mandatory) .....                |             | 42      | 67        |
| 4180 Financing authority, net (total) .....                        |             | 113     | 2         |
| 4190 Financing disbursements, net (total) .....                    |             | 42      | 67        |

## Status of Direct Loans (in millions of dollars)

| Identification code 75-4482-0-3-551                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              |             | 180     |           |
| 1150 Total direct loan obligations .....                               |             | 180     |           |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  |             |         | 66        |
| 1231 Disbursements: Direct loan disbursements .....                    |             | 66      | 104       |
| 1251 Repayments: Repayments and prepayments .....                      |             |         |           |
| 1263 Write-offs for default: Direct loans .....                        |             |         |           |
| 1290 Outstanding, end of year .....                                    |             | 66      | 170       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the federal treasury resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from oblig-

ations in any year). The amounts in this account are a means of financing only and are not included in the budget totals.

### Trust Funds

#### FEDERAL HOSPITAL INSURANCE TRUST FUND

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 75-8005-0-7-571   | 2012 actual | 2013 CR  | 2014 est. |
|---|-------------|----------|-----------|
| 0100 Balance, start of year .....   | 212,203     | 203,744  | 175,265   |
| Adjustments:  |             |          |           |
| 0190 Adjustment - rounding issues over several years .....  | 2           |          |           |
| 0191 Adjustment - HCFAC - Small Jobs Act .....  | 100         |          |           |
| 0199 Balance, start of year .....   | 212,305     | 203,744  | 175,265   |
| Receipts:   |             |          |           |
| 0200 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....   | 186,773     | 191,684  | 205,563   |
| 0201 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....   |             | 7        | 224       |
| 0202 FHI Trust Fund, Receipts from Railroad Retirement Board .....  | 484         | 508      | 546       |
| 0203 FHI Trust Fund, Transfers from General Fund (SECA Taxes) .....   | 13,886      | 16,220   | 17,465    |
| 0204 FHI Trust Fund, Civil Penalties and Damages .....  | 602         | 675      | 663       |
| 0220 FHI Trust Fund, Other Proprietary Interest from the Public .....                                       | 3           | 2        | 2         |
| 0221 FHI Trust Fund, Basic Premium, Medicare Advantage .....  | 234         | 258      | 248       |
| 0222 FHI Trust Fund, Basic Premium, Medicare Advantage .....  |             |          | 5         |
| 0223 FHI Trust Fund, Medicare Refunds .....   | 7,115       | 5,800    | 6,000     |
| 0224 FHI Trust Fund, Premiums Collected for Uninsured Individuals<br>not Otherwise Eligible .....           | 3,400       | 3,529    | 3,507     |
| 0240 FHI Trust Fund, Federal Employer Contributions (FICA) .....  | 3,510       | 3,586    | 3,690     |
| 0241 FHI Trust Fund, Postal Service Employer Contributions (FICA) ....                                      | 583         | 595      | 623       |
| 0242 FHI Trust Fund, Interest Received by Trust Funds .....   | 11,270      | 9,972    | 8,787     |
| 0243 FHI Trust Fund, Taxation on OASDI Benefits .....   | 18,643      | 14,387   | 18,877    |
| 0244 FHI Trust Fund, Payment from the General Fund for Health Care<br>Fraud and Abuse Control Account ..... | 132         | 135      | 138       |
| 0245 FHI Trust Fund, Transfers from General Fund (criminal<br>Fines) .....                                  | 1,389       | 1,126    | 1,141     |
| 0246 FHI Trust Fund, Transfers from General Fund (civil Monetary<br>Penalties) .....                        | 16          | 22       | 23        |
| 0247 FHI Trust Fund, Transfers from General Fund (asset<br>Forfeitures) .....                               | 20          | 23       | 23        |
| 0248 FHI Trust Fund, Interest Payments by Railroad Retirement<br>Board .....                                | 27          | 25       | 27        |
| 0249 FHI Trust Fund, Payments from the General Fund (uninsured and<br>Program Management) .....             | 657         | 1,105    | 1,652     |
| 0250 FHI Trust Fund, Payments from the General Fund (uninsured and<br>Program Management) .....             |             | 127      | 136       |
| 0299 Total receipts and collections .....   | 248,744     | 249,786  | 269,340   |
| 0400 Total: Balances and collections .....  | 461,049     | 453,530  | 444,605   |
| Appropriations:   |             |          |           |
| 0500 Federal Hospital Insurance Trust Fund .....  | -2,441      | -2,573   | -3,954    |
| 0501 Federal Hospital Insurance Trust Fund .....  | 5           |          |           |
| 0502 Federal Hospital Insurance Trust Fund .....  | -244,733    | -245,479 | -263,389  |
| 0503 Federal Hospital Insurance Trust Fund .....  | -8,646      | -28,828  | -15,596   |
| 0504 Federal Hospital Insurance Trust Fund .....  |             | -600     | -821      |
| 0505 Federal Hospital Insurance Trust Fund .....  |             | 600      | 821       |
| 0506 Federal Hospital Insurance Trust Fund .....  |             | 303      | 329       |
| 0507 Federal Hospital Insurance Trust Fund .....  |             | -303     | -329      |
| 0508 Federal Hospital Insurance Trust Fund .....  |             |          | 324       |
| 0509 Federal Hospital Insurance Trust Fund .....  |             |          | -806      |
| 0510 Health Care Fraud and Abuse Control Account .....  | -310        | -312     | -311      |
| 0511 Health Care Fraud and Abuse Control Account .....  | -1,290      | -1,243   | -1,219    |
| 0512 Health Care Fraud and Abuse Control Account .....  |             | 473      | 685       |
| 0513 Health Care Fraud and Abuse Control Account .....  |             | -303     | -329      |
| 0599 Total appropriations .....   | -257,415    | -278,265 | -284,595  |
| 0610 Federal Hospital Insurance Trust Fund .....  | 1           |          |           |
| 0611 Health Care Fraud and Abuse Control Account .....  | 26          |          |           |
| 0620 Federal Hospital Insurance Trust Fund .....  | 83          |          |           |
| 0799 Balance, end of year .....   | 203,744     | 175,265  | 160,010   |

##### Program and Financing (in millions of dollars)

| Identification code 75-8005-0-7-571              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                 |             |         |           |
| 0001 Benefit payments, HI .....                  | 251,139     | 270,814 | 275,461   |
| 0002 HIT Incentive Payments .....                | 2,135       | 2,920   | 2,670     |
| 0003 Administration, HI .....                    | 2,612       | 2,773   | 4,180     |
| 0004 Quality improvement organizations, HI ..... | 419         | 367     | 628       |
| 0900 Total new obligations .....                 | 256,305     | 276,874 | 282,939   |

##### Budgetary Resources:

|  |         |         |         |
|--|---------|---------|---------|
| Unobligated balance:   |         |         |         |
| 1021 Recoveries of prior year unpaid obligations .....   | 436     |         |         |
| 1026 Adjustment for change in allocation of trust fund limitation<br>or foreign exchange valuation ..... | -83     |         |         |
| 1029 Other balances withdrawn .....  | -1      |         |         |
| 1050 Unobligated balance (total) .....   | 352     |         |         |
| Budget authority:  |         |         |         |
| Appropriations, discretionary:   |         |         |         |
| 1101 Appropriation (special or trust fund) .....   | 2,441   | 2,573   | 3,954   |
| 1130 Appropriations permanently reduced .....  |         | -6      |         |
| 1132 Appropriations temporarily reduced .....  | -5      |         |         |
| 1160 Appropriation, discretionary (total) .....  | 2,436   | 2,567   | 3,954   |
| Appropriations, mandatory:   |         |         |         |
| 1201 Appropriation (special or trust fund) .....   | 244,733 | 245,479 | 263,389 |
| 1203 Appropriation (previously unavailable) .....  | 8,646   | 28,828  | 15,596  |
| 1260 Appropriations, mandatory (total) .....   | 253,379 | 274,307 | 278,985 |
| Spending authority from offsetting collections, mandatory:   |         |         |         |
| 1800 Collected .....   | 138     |         |         |
| 1850 Spending auth from offsetting collections, mand (total) .....                                       | 138     |         |         |
| 1900 Budget authority (total) .....  | 255,953 | 276,874 | 282,939 |
| 1930 Total budgetary resources available .....   | 256,305 | 276,874 | 282,939 |
| Memorandum (non-add) entries:  |         |         |         |
| Special and non-revolving trust funds:   |         |         |         |
| 1950 Other balances withdrawn .....  | 1       |         |         |

##### Change in obligated balance:

|   |          |          |          |
|---|----------|----------|----------|
| Unpaid obligations:   |          |          |          |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 32,195   | 24,209   | 24,178   |
| 3010 Obligations incurred, unexpired accounts .....               | 256,305  | 276,874  | 282,939  |
| 3020 Outlays (gross) .....  | -263,855 | -276,905 | -282,186 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -436     |          |          |
| 3050 Unpaid obligations, end of year .....                        | 24,209   | 24,178   | 24,931   |
| Uncollected payments:   |          |          |          |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1       | -1       | -1       |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1       | -1       | -1       |
| Memorandum (non-add) entries:                                     |          |          |          |
| 3100 Obligated balance, start of year .....                       | 32,194   | 24,208   | 24,177   |
| 3200 Obligated balance, end of year .....                         | 24,208   | 24,177   | 24,930   |

##### Budget authority and outlays, net:

|   |         |         |         |
|---|---------|---------|---------|
| Discretionary:                                      |         |         |         |
| 4000 Budget authority, gross .....                  | 2,436   | 2,567   | 3,954   |
| Outlays, gross:                                     |         |         |         |
| 4010 Outlays from new discretionary authority ..... | 1,619   | 1,936   | 2,694   |
| 4011 Outlays from discretionary balances .....      | 365     | 540     | 585     |
| 4020 Outlays, gross (total) .....                   | 1,984   | 2,476   | 3,279   |
| Mandatory:  |         |         |         |
| 4090 Budget authority, gross .....                  | 253,517 | 274,307 | 278,985 |
| Outlays, gross:                                     |         |         |         |
| 4100 Outlays from new mandatory authority .....     | 232,676 | 251,666 | 252,523 |
| 4101 Outlays from mandatory balances .....          | 29,195  | 22,763  | 26,384  |
| 4110 Outlays, gross (total) .....                   | 261,871 | 274,429 | 278,907 |
| Offsets against gross budget authority and outlays: |         |         |         |
| Offsetting collections (collected) from:            |         |         |         |
| 4123 Non-Federal sources .....                      | -138    |         |         |
| 4180 Budget authority, net (total) .....            | 255,815 | 276,874 | 282,939 |
| 4190 Outlays, net (total) .....                     | 263,717 | 276,905 | 282,186 |

##### Memorandum (non-add) entries:

|  |         |         |         |
|--|---------|---------|---------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 245,939 | 228,292 | 199,923 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 228,292 | 199,923 | 185,039 |

##### Summary of Budget Authority and Outlays (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Enacted/requested:                         |             |         |           |
| Budget Authority .....                     | 255,815     | 276,874 | 282,939   |
| Outlays .....                              | 263,717     | 276,905 | 282,186   |
| Amounts included in the adjusted baseline: |             |         |           |
| Budget Authority .....                     |             |         | 482       |
| Outlays .....                              |             |         | 482       |
| Total:                                     |             |         |           |
| Budget Authority .....                     | 255,815     | 276,874 | 283,421   |
| Outlays .....                              | 263,717     | 276,905 | 282,668   |

## FEDERAL HOSPITAL INSURANCE TRUST FUND—Continued

The Hospital Insurance (HI) program funds the costs of hospital and related care for individuals age 65 or older and for eligible disabled people.

The status of the trust fund is as follows. In addition, the Budget includes a package of proposals that improve Medicare's sustainability by promoting high-quality, efficient care; aligning payments with the costs of providing care; encouraging beneficiaries to seek high-value services; and reducing the Federal subsidy of Medicare costs for those beneficiaries who can most afford them.

## Status of Funds (in millions of dollars)

| Identification code 75–8005–0–7–571  | 2012 actual | 2013 CR  | 2014 est. |
|--|-------------|----------|-----------|
| Unexpended balance, start of year:   |             |          |           |
| 0100 Balance, start of year .....  | 245,698     | 229,337  | 200,668   |
| Adjustments:   |             |          |           |
| 0190 Adjustment - HCFA - Small Jobs Act .....  | 100         | .....    | .....     |
| 0199 Total balance, start of year .....  | 245,798     | 229,337  | 200,668   |
| Cash income during the year:   |             |          |           |
| Current law:   |             |          |           |
| Receipts:  |             |          |           |
| 1200 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....                                      | 186,773     | 191,684  | 205,563   |
| 1202 FHI Trust Fund, Receipts from Railroad Retirement Board .....                                       | 484         | 508      | 546       |
| 1203 FHI Trust Fund, Transfers from General Fund (SECA Taxes) .....                                      | 13,886      | 16,220   | 17,465    |
| 1204 FHI Trust Fund, Civil Penalties and Damages .....   | 602         | 675      | 663       |
| Offsetting receipts (proprietary):   |             |          |           |
| 1220 FHI Trust Fund, Other Proprietary Interest from the Public .....                                    | 3           | 2        | 2         |
| 1221 FHI Trust Fund, Basic Premium, Medicare Advantage .....   | 234         | 258      | 248       |
| 1222 FHI Trust Fund, Basic Premium, Medicare Advantage .....   | .....       | .....    | 5         |
| 1223 FHI Trust Fund, Medicare Refunds .....  | 7,115       | 5,800    | 6,000     |
| 1224 FHI Trust Fund, Premiums Collected for Uninsured Individuals not Otherwise Eligible .....           | 3,400       | 3,529    | 3,507     |
| Offsetting receipts (intragovernmental):   |             |          |           |
| 1240 FHI Trust Fund, Federal Employer Contributions (FICA) .....   | 3,510       | 3,586    | 3,690     |
| 1241 FHI Trust Fund, Postal Service Employer Contributions (FICA) .....                                  | 583         | 595      | 623       |
| 1242 FHI Trust Fund, Interest Received by Trust Funds .....  | 11,270      | 9,972    | 8,787     |
| 1243 FHI Trust Fund, Taxation on OASDI Benefits .....  | 18,643      | 14,387   | 18,877    |
| 1244 FHI Trust Fund, Payment from the General Fund for Health Care Fraud and Abuse Control Account ..... | 132         | 135      | 138       |
| 1245 FHI Trust Fund, Transfers from General Fund (criminal Fines) .....                                  | 1,389       | 1,126    | 1,141     |
| 1246 FHI Trust Fund, Transfers from General Fund (civil Monetary Penalties) .....                        | 16          | 22       | 23        |
| 1247 FHI Trust Fund, Transfers from General Fund (asset Forfeitures) .....                               | 20          | 23       | 23        |
| 1248 FHI Trust Fund, Interest Payments by Railroad Retirement Board .....                                | 27          | 25       | 27        |
| 1249 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management) .....             | 657         | 1,105    | 1,652     |
| Offsetting collections:  |             |          |           |
| 1280 Federal Hospital Insurance Trust Fund .....   | 138         | .....    | .....     |
| 1299 Income under present law .....  | 248,882     | 249,652  | 268,980   |
| Proposed legislation:  |             |          |           |
| Receipts:  |             |          |           |
| 2201 FHI Trust Fund, Transfers from General Fund (FICA Taxes) .....                                      | .....       | 7        | 224       |
| Offsetting receipts (intragovernmental):   |             |          |           |
| 2250 FHI Trust Fund, Payments from the General Fund (uninsured and Program Management) .....             | .....       | 127      | 136       |
| 2299 Income under proposed legislation .....   | .....       | 134      | 360       |
| 3299 Total cash income .....   | 248,882     | 249,786  | 269,340   |
| Cash outgo during year:  |             |          |           |
| Current law:   |             |          |           |
| 4500 Federal Hospital Insurance Trust Fund .....   | –263,855    | –276,905 | –282,186  |
| 4500 Health Care Fraud and Abuse Control Account .....   | –1,488      | –1,714   | –1,614    |
| 4500 Federal Hospital Insurance Trust Fund .....   | .....       | .....    | –482      |
| 4599 Outgo under current law (-) .....   | –265,343    | –278,619 | –284,282  |
| Proposed legislation:  |             |          |           |
| 5500 Health Care Fraud and Abuse Control Account .....   | .....       | –303     | –329      |
| 5500 Health Care Fraud and Abuse Control Account .....   | .....       | 473      | 685       |
| 5599 Outgo under proposed legislation (-) .....  | .....       | 170      | 356       |
| 6599 Total cash outgo (-) .....  | –265,343    | –278,449 | –283,926  |
| 7625 Federal Hospital Insurance Trust Fund .....   | .....       | –6       | .....     |
| 7699 Total adjustments .....   | .....       | –6       | .....     |

|  |         |         |         |
|--|---------|---------|---------|
| Unexpended balance, end of year:                 |         |         |         |
| 8700 Uninvested balance (net), end of year ..... | 1,045   | 745     | 1,043   |
| 8701 Federal Hospital Insurance Trust Fund ..... | 228,292 | 199,923 | 185,039 |
| 8799 Total balance, end of year .....            | 229,337 | 200,668 | 186,082 |

## Object Classification (in millions of dollars)

| Identification code 75–8005–0–7–571                                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:  |             |         |           |
| 41.0 Payment for Quality Improvement Organization (QIO) activities ..... | 419         | 367     | 628       |
| 42.0 Insurance claims and indemnities (benefits) .....                   | 253,274     | 273,734 | 278,131   |
| 94.0 Financial transfers .....   | 2,612       | 2,773   | 4,180     |
| 99.9 Total new obligations .....   | 256,305     | 276,874 | 282,939   |

## FEDERAL HOSPITAL INSURANCE TRUST FUND

(Amounts included in the adjusted baseline)

## Program and Financing (in millions of dollars)

| Identification code 75–8005–7–7–571                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Benefit payments, HI .....                      | .....       | .....   | 482       |
| 0900 Total new obligations (object class 42.0) ..... | .....       | .....   | 482       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | .....       | .....   | –324      |
| 1203 Appropriation (previously unavailable) .....    | .....       | .....   | 806       |
| 1260 Appropriations, mandatory (total) .....         | .....       | .....   | 482       |
| 1900 Budget authority (total) .....                  | .....       | .....   | 482       |
| 1930 Total budgetary resources available .....       | .....       | .....   | 482       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | .....       | .....   | 482       |
| 3020 Outlays (gross) .....                           | .....       | .....   | –482      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | .....       | .....   | 482       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | .....       | .....   | 482       |
| 4180 Budget authority, net (total) .....             | .....       | .....   | 482       |
| 4190 Outlays, net (total) .....                      | .....       | .....   | 482       |

## FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–8005–2–7–571               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                       |             |         |           |
| Budget authority:                                 |             |         |           |
| Appropriations, mandatory:                        |             |         |           |
| 1201 Appropriation (special or trust fund) .....  | .....       | 600     | 821       |
| 1203 Appropriation (previously unavailable) ..... | .....       | –600    | –821      |

## FEDERAL HOSPITAL INSURANCE TRUST FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–8005–4–7–571               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                       |             |         |           |
| Budget authority:                                 |             |         |           |
| Appropriations, mandatory:                        |             |         |           |
| 1201 Appropriation (special or trust fund) .....  | .....       | –303    | –329      |
| 1203 Appropriation (previously unavailable) ..... | .....       | 303     | 329       |

## HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT

*In addition to amounts otherwise available for program integrity and program management, \$311,000,000, to remain available through September 30, 2015, to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund, as authorized by section 201(g) of the Social Security Act, of which \$251,420,650 shall be for the Centers for Medicare and Medicaid Services Program Integrity Activities, including administrative costs, to conduct oversight activities for the Medicare program including, but not limited to, Medicare Advantage and the Medicare Prescription Drug Program authorized in title XVIII of the Social Security Act and for activities described in section 1893 of such Act and for Medicaid and Children's Health Insurance Program ("CHIP") program integrity activities, of which \$29,789,675 shall be for the Department of Health and Human Services Office of Inspector General to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act, and of which \$29,789,675 shall be for the Department of Justice to carry out fraud and abuse activities authorized by section 1817(k)(3) of such Act: Provided, That the report required by section 1817(k)(5) of the Social Security Act for fiscal year 2014 shall include measures of the operational efficiency and impact on fraud, waste, and abuse in the Medicare, Medicaid, and CHIP programs for the funds provided by this appropriation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75–8393–0–7–571                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Medicare integrity program .....                             | 854         | 864     | 882       |
| 0002 FBI fraud and abuse control .....                            | 131         | 135     | 138       |
| 0003 Other fraud and abuse control .....                          | 323         | 295     | 300       |
| 0004 Predictive Modeling .....                                    | 39          | 30      | 3         |
| 0091 Total Mandatory .....  | 1,347       | 1,324   | 1,323     |
| 0101 CMS discretionary .....                                      | 269         | 252     | 251       |
| 0102 Other discretionary .....                                    | 78          | 60      | 60        |
| 0191 Total Discretionary .....                                    | 347         | 312     | 311       |
| 0900 Total new obligations .....                                  | 1,694       | 1,636   | 1,634     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 241         | 185     | 104       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 74          | 55      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 41          | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 282         | 185     | 104       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1101 Appropriation (special or trust fund) .....                  | 310         | 312     | 311       |
| 1160 Appropriation, discretionary (total) .....                   | 310         | 312     | 311       |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 1,290       | 1,243   | 1,219     |
| 1260 Appropriations, mandatory (total) .....                      | 1,290       | 1,243   | 1,219     |
| 1900 Budget authority (total) .....                               | 1,600       | 1,555   | 1,530     |
| 1930 Total budgetary resources available .....                    | 1,882       | 1,740   | 1,634     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1940 Unobligated balance expiring .....                           | –3          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....             | 185         | 104     | .....     |
| Special and non-revolving trust funds:                            |             |         |           |
| 1951 Unobligated balance expiring .....                           | 3           | .....   | .....     |
| 1952 Expired unobligated balance, start of year .....             | 41          | 44      | 44        |
| 1953 Expired unobligated balance, end of year .....               | 41          | 44      | 44        |
| 1954 Unobligated balance canceling .....                          | 26          | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,018       | 1,157   | 1,079     |
| 3010 Obligations incurred, unexpired accounts .....               | 1,694       | 1,636   | 1,634     |
| 3011 Obligations incurred, expired accounts .....                 | 1           | .....   | .....     |
| 3020 Outlays (gross) .....  | –1,488      | –1,714  | –1,614    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –41         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –27         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 1,157       | 1,079   | 1,099     |

## Memorandum (non-add) entries:

|   |       |       |       |
|---|-------|-------|-------|
| 3100 Obligated balance, start of year ..... | 1,018 | 1,157 | 1,079 |
| 3200 Obligated balance, end of year .....   | 1,157 | 1,079 | 1,099 |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | 310   | 312   | 311   |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 58    | 312   | 311   |
| 4011 Outlays from discretionary balances .....      | 233   | ..... | ..... |
| 4020 Outlays, gross (total) .....                   | 291   | 312   | 311   |
| Mandatory:  |       |       |       |
| 4090 Budget authority, gross .....                  | 1,290 | 1,243 | 1,219 |
| Outlays, gross:                                     |       |       |       |
| 4100 Outlays from new mandatory authority .....     | 436   | 841   | 808   |
| 4101 Outlays from mandatory balances .....          | 761   | 561   | 495   |
| 4110 Outlays, gross (total) .....                   | 1,197 | 1,402 | 1,303 |
| 4180 Budget authority, net (total) .....            | 1,600 | 1,555 | 1,530 |
| 4190 Outlays, net (total) .....                     | 1,488 | 1,714 | 1,614 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 1,600       | 1,555   | 1,530     |
| Outlays .....                               | 1,488       | 1,714   | 1,614     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      | .....       | –473    | –685      |
| Outlays .....                               | .....       | –473    | –685      |
| Legislative proposal, subject to PAYGO:     |             |         |           |
| Budget Authority .....                      | .....       | 303     | 329       |
| Outlays .....                               | .....       | 303     | 329       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 1,600       | 1,385   | 1,174     |
| Outlays .....                               | 1,488       | 1,544   | 1,258     |

The Health Insurance Portability and Accountability Act of 1996 (P.L. 104–191) established the Health Care Fraud and Abuse Control (HCFAC) account within the Federal Hospital Insurance Trust Fund and appropriated funds from the Trust Fund to the HCFAC account for specified health care fraud and abuse control activities of the Department of Health and Human Services (HHS), the Department of Justice, and other agencies.

The Budget includes a discretionary request for efforts to safeguard Medicare, Medicaid, and CHIP that will supplement the mandatory funds made available by P.L. 104–191 and subsequent Acts. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

## Object Classification (in millions of dollars)

| Identification code 75–8393–0–7–571   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent (CMS) .....                        | 45          | 42      | 42        |
| 12.1 Civilian personnel benefits (CMS) .....  | 13          | 13      | 12        |
| 23.3 Communications, utilities, and miscellaneous charges .....                     | 12          | 11      | 11        |
| 25.1 Advisory and assistance services (predictive modeling) .....                   | 39          | 30      | 3         |
| 25.2 Other services (CMS/Medicaid) .....  | 46          | 29      | 29        |
| 25.3 Other purchases of goods and services from Government accounts (HHS/DOJ) ..... | 110         | 91      | 92        |
| 25.3 Other purchases of goods and services from Government accounts (HHS/OIG) ..... | 233         | 226     | 230       |
| 25.3 Other purchases of goods and services from Government accounts (HHS/AoA) ..... | 11          | 11      | 11        |
| 25.3 Other purchases of goods and services from Government accounts (HHS/OGC) ..... | 9           | 9       | 9         |
| 25.3 Other goods and services from Federal sources (HHS/CMS) .....                  | 26          | 15      | 15        |
| 25.3 Other goods and services from Government accounts (HHS/FDA) .....              | 6           | 3       | 3         |
| 25.6 Medical care (CMS) .....   | 1,005       | 1,021   | 1,039     |
| 94.0 Financial transfers (FBI) .....  | 139         | 135     | 138       |
| 99.9 Total new obligations .....  | 1,694       | 1,636   | 1,634     |

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT—Continued  
Employment Summary

| Identification code 75–8393–0–7–571                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 333         | 405     | 405       |

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–8393–2–7–571                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Medicare Program Integrity savings .....        |             | –430    | –476      |
| 0002 SSA Program integrity savings .....             |             | –43     | –209      |
| 0900 Total new obligations (object class 25.6) ..... |             | –473    | –685      |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     |             | –473    | –685      |
| 1260 Appropriations, mandatory (total) .....         |             | –473    | –685      |
| 1930 Total budgetary resources available .....       |             | –473    | –685      |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             | –473    | –685      |
| 3020 Outlays (gross) .....                           |             | 473     | 685       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             | –473    | –685      |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             | –473    | –685      |
| 4180 Budget authority, net (total) .....             |             | –473    | –685      |
| 4190 Outlays, net (total) .....                      |             | –473    | –685      |

The mandatory proposal included in the Budget is estimated to achieve additional savings.

HEALTH CARE FRAUD AND ABUSE CONTROL ACCOUNT  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–8393–4–7–571                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 CMS program integrity .....                    |             | 162     | 221       |
| 0002 Other program integrity .....                  |             | 141     | 108       |
| 0900 Total new obligations .....                    |             | 303     | 329       |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1201 Appropriation (special or trust fund) .....    |             | 303     | 329       |
| 1260 Appropriations, mandatory (total) .....        |             | 303     | 329       |
| 1930 Total budgetary resources available .....      |             | 303     | 329       |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             | 303     | 329       |
| 3020 Outlays (gross) .....                          |             | –303    | –329      |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  |             | 303     | 329       |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     |             | 303     | 329       |
| 4180 Budget authority, net (total) .....            |             | 303     | 329       |
| 4190 Outlays, net (total) .....                     |             | 303     | 329       |

Despite enactment of multi-year discretionary cap adjustments in the Budget Control Act (BCA) of 2011, annual appropriations

have not provided the full amount of program integrity funding authorized in law. Billions of dollars in deficit savings over the next ten years from curtailing improper payments will not be realized if the administrative expenses for program integrity envisioned by the Balanced Budget and Emergency Deficit Control Act (BBEDCA), as amended by the BCA, are not provided in each year. As a result, the Budget is proposing to repeal the discretionary cap adjustments beginning in 2013 for HCFAC and instead provide a dedicated, dependable source of mandatory funding that will ensure the Department of Health and Human Services (HHS) and the Department of Justice (DOJ) have the resources they need to conduct necessary program integrity activities and make certain that only the right people receive the right payment for the right reason at the right time. Providing additional mandatory funding for HCFAC will also eliminate delays in annual appropriations that make it difficult for the agencies to execute their budget plans and achieve targeted results in each year. For 2014, the Budget proposes to continue to provide the base funding (\$311 million for HHS and DOJ) through discretionary appropriations. After 2014, no discretionary funding is being proposed for this purpose. In addition, an annual reduction to the discretionary spending limits in section 251(c) of the BBEDCA, as amended, is proposed beginning in 2015 to offset the cost of shifting the base funding from discretionary to mandatory. The more stable mandatory program integrity funding will produce new net deficit savings of over \$2 billion over 11 years.

## Proposed Additional Mandatory Funding for HCFAC

| (\$Mil)                                  | FY 2013 | FY 2014 |
|--|---------|---------|
| HHS-CMS .....                            | 161     | 178     |
| HHS-OIG .....                            | 73      | 78      |
| DOJ .....                                | 69      | 73      |
| Total Additional Mandatory Funding ..... | 303     | 329     |

## Object Classification (in millions of dollars)

| Identification code 75–8393–4–7–571   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 25.2 Other services (CMS/Medicaid) .....  |             | 16      | 25        |
| 25.3 Other purchases of goods and services from Government accounts (HHS/DOJ) ..... |             | 68      | 53        |
| 25.3 Other purchases of goods and services from Government accounts (HHS/OIG) ..... |             | 73      | 55        |
| 25.3 Other goods and services from Federal sources (HHS/CMS) .....                  |             | 146     | 196       |
| 99.9 Total new obligations .....  |             | 303     | 329       |

## FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 75–8004–0–7–571  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 42,930      | 41,270  | 39,454    |
| Receipts:  |             |         |           |
| 0200 Fee on Branded Prescription Pharmaceutical Manufacturers and Importers, SMI ..... | 2,808       | 4,207   | 2,960     |
| 0220 Other Proprietary Interest from the Public, FSMI Fund .....                       | 1           | 3       | 3         |
| 0221 Premiums Collected for Medicare Prescription Drug Account, FSMI .....             | 2,955       | 3,432   | 3,843     |
| 0222 Payments from States, Medicare Prescription Drug Account, FSMI .....              | 8,324       | 8,766   | 9,036     |
| 0223 Basic Premium, Medicare Advantage, FSMI Trust Fund .....                          | 208         | 233     | 242       |
| 0224 Basic Premium, Medicare Advantage, FSMI Trust Fund .....                          |             |         | 19        |
| 0225 Medicare Refunds, SMI .....   | 4,989       | 4,400   | 4,500     |
| 0226 Premiums Collected for the Aged, FSMI Fund .....                                  | 48,297      | 52,481  | 53,997    |
| 0227 Premiums Collected for the Aged, FSMI Fund .....                                  |             |         | 545       |
| 0228 Premiums Collected for the Disabled, FSMI Fund .....                              | 9,592       | 9,707   | 10,031    |
| 0229 Premiums Collected for the Disabled, FSMI Fund .....                              |             |         | 101       |
| 0240 Federal Contributions, FSMI Fund .....  | 165,254     | 181,351 | 194,565   |

|                 |   |          |          |          |
|-----------------|---|----------|----------|----------|
| 0241            | Federal Contributions, FSMI Fund .....  |          |          | 2,007    |
| 0242            | Interest Received by Trust Fund, FSMI Fund .....  | 2,941    | 2,074    | 3,077    |
| 0243            | Interest Received by Trust Fund, FSMI Fund .....  |          |          | -123     |
| 0244            | Interest, Medicare Prescription Drug Account, FSMI .....  | 6        | 6        | 8        |
| 0245            | Federal Contribution for Admin. Contribution for Admin. Costs,<br>Prescription Drug Account, FSMI ..... | 380      | 382      | 373      |
| 0246            | Federal Contributions for Benefits, Prescription Drug Account,<br>SMI .....                             | 44,874   | 51,245   | 58,596   |
| 0247            | Miscellaneous Federal Payments, Federal Supplementary Medical<br>Insurance Trust Fund .....             | 1        | 1        | 1        |
| 0299            | Total receipts and collections .....  | 290,630  | 318,288  | 343,781  |
| 0400            | Total: Balances and collections .....   | 333,560  | 359,558  | 383,235  |
| Appropriations: |   |          |          |          |
| 0500            | Federal Supplementary Medical Insurance Trust Fund .....  | -3,270   | -3,148   | -3,042   |
| 0501            | Federal Supplementary Medical Insurance Trust Fund .....  | 6        |          |          |
| 0502            | Federal Supplementary Medical Insurance Trust Fund .....  | -231,232 | -251,238 | -266,390 |
| 0503            | Federal Supplementary Medical Insurance Trust Fund .....  | -1,860   | -1,812   |          |
| 0504            | Federal Supplementary Medical Insurance Trust Fund .....  |          |          | 11,898   |
| 0505            | Federal Supplementary Medical Insurance Trust Fund .....  |          |          | -2,550   |
| 0506            | Federal Supplementary Medical Insurance Trust Fund .....  |          |          | -13,037  |
| 0507            | Medicare Prescription Drug Account, Federal Supplementary<br>Insurance Trust Fund .....                 | -302     | -361     | -328     |
| 0508            | Medicare Prescription Drug Account, Federal Supplementary<br>Insurance Trust Fund .....                 | -55,745  | -63,545  | -71,471  |
| 0599            | Total appropriations .....  | -292,403 | -320,104 | -344,920 |
| 0610            | Federal Supplementary Medical Insurance Trust Fund .....  | 1        |          |          |
| 0620            | Federal Supplementary Medical Insurance Trust Fund .....  | 76       |          |          |
| 0795            | Adjustment- expired accounts, receipts withdrawn .....  | 36       |          |          |
| 0799            | Balance, end of year .....  | 41,270   | 39,454   | 38,315   |

**Program and Financing** (in millions of dollars)

| Identification code 75-8004-0-7-571                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                     |             |         |           |
| 0001 Benefit payments, SMI .....                            | 230,916     | 250,593 | 251,160   |
| 0002 Transfer to Medicaid for payment of SMI premiums ..... | 602         | 645     | 300       |
| 0003 HIT Incentive Payments .....                           | 1,456       | 1,640   | 2,790     |
| 0004 Administration, SMI .....                              | 3,328       | 3,224   | 3,127     |
| 0005 Quality Improvement Organizations, SMI .....           | 93          | 92      | 157       |
| 0799 Total direct obligations .....                         | 236,395     | 256,194 | 257,534   |
| 0801 Reimbursable program activity .....                    | 17          |         |           |
| 0900 Total new obligations .....                            | 236,412     | 256,194 | 257,534   |

|  |         |         |         |
|--|---------|---------|---------|
| <b>Budgetary Resources:</b>  |         |         |         |
| Unobligated balance:   |         |         |         |
| 1000 Unobligated balance brought forward, Oct 1 .....  |         | 1       | 1       |
| 1021 Recoveries of prior year unpaid obligations .....   | 117     |         |         |
| 1026 Adjustment for change in allocation of trust fund limitation<br>or foreign exchange valuation ..... | -76     |         |         |
| 1029 Other balances withdrawn .....  | -1      |         |         |
| 1050 Unobligated balance (total) .....   | 40      | 1       | 1       |
| Budget authority:  |         |         |         |
| Appropriations, discretionary:   |         |         |         |
| 1101 Appropriation (special or trust fund) .....   | 3,270   | 3,148   | 3,042   |
| 1130 Appropriations permanently reduced .....  |         | -4      |         |
| 1132 Appropriations temporarily reduced .....  | -6      |         |         |
| 1160 Appropriation, discretionary (total) .....  | 3,264   | 3,144   | 3,042   |
| Appropriations, mandatory:   |         |         |         |
| 1201 Appropriation (special or trust fund) .....   | 231,232 | 251,238 | 266,390 |
| 1203 Appropriation (previously unavailable) .....  | 1,860   | 1,812   |         |
| 1235 Appropriations precluded from obligation .....  |         |         | -11,898 |
| 1260 Appropriations, mandatory (total) .....   | 233,092 | 253,050 | 254,492 |
| Spending authority from offsetting collections, mandatory:   |         |         |         |
| 1800 Collected .....   | 17      |         |         |
| 1850 Spending auth from offsetting collections, mand (total) .....                                       | 17      |         |         |
| 1900 Budget authority (total) .....  | 236,373 | 256,194 | 257,534 |
| 1930 Total budgetary resources available .....   | 236,413 | 256,195 | 257,535 |
| Memorandum (non-add) entries:  |         |         |         |
| 1941 Unexpired unobligated balance, end of year .....  | 1       | 1       | 1       |
| Special and non-revolving trust funds:   |         |         |         |
| 1950 Other balances withdrawn .....  | 1       |         |         |

|   |          |          |          |
|---|----------|----------|----------|
| <b>Change in obligated balance:</b>                   |          |          |          |
| Unpaid obligations:                                   |          |          |          |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 24,063   | 24,403   | 24,369   |
| 3010 Obligations incurred, unexpired accounts .....   | 236,412  | 256,194  | 257,534  |
| 3020 Outlays (gross) .....                            | -235,955 | -256,228 | -257,570 |

|   |        |        |        |
|---|--------|--------|--------|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -117   |        |        |
| 3050 Unpaid obligations, end of year .....                        | 24,403 | 24,369 | 24,333 |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 24,063 | 24,403 | 24,369 |
| 3200 Obligated balance, end of year .....                         | 24,403 | 24,369 | 24,333 |

|   |         |         |         |
|---|---------|---------|---------|
| <b>Budget authority and outlays, net:</b>           |         |         |         |
| Discretionary:                                      |         |         |         |
| 4000 Budget authority, gross .....                  | 3,264   | 3,144   | 3,042   |
| Outlays, gross:                                     |         |         |         |
| 4010 Outlays from new discretionary authority ..... | 1,830   | 2,162   | 2,086   |
| 4011 Outlays from discretionary balances .....      | 1,294   | 935     | 959     |
| 4020 Outlays, gross (total) .....                   | 3,124   | 3,097   | 3,045   |
| Mandatory:  |         |         |         |
| 4090 Budget authority, gross .....                  | 233,109 | 253,050 | 254,492 |
| Outlays, gross:                                     |         |         |         |
| 4100 Outlays from new mandatory authority .....     | 211,941 | 231,342 | 230,373 |
| 4101 Outlays from mandatory balances .....          | 20,890  | 21,789  | 24,152  |
| 4110 Outlays, gross (total) .....                   | 232,831 | 253,131 | 254,525 |
| Offsets against gross budget authority and outlays: |         |         |         |
| Offsetting collections (collected) from:            |         |         |         |
| 4123 Non-Federal sources .....                      | -17     |         |         |
| 4180 Budget authority, net (total) .....            | 236,356 | 256,194 | 257,534 |
| 4190 Outlays, net (total) .....                     | 235,938 | 256,228 | 257,570 |

|  |        |        |        |
|--|--------|--------|--------|
| <b>Memorandum (non-add) entries:</b>                             |        |        |        |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 70,446 | 69,324 | 67,876 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 69,324 | 67,876 | 66,660 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Enacted/requested:                         |             |         |           |
| Budget Authority .....                     | 236,356     | 256,194 | 257,534   |
| Outlays .....                              | 235,938     | 256,228 | 257,570   |
| Amounts included in the adjusted baseline: |             |         |           |
| Budget Authority .....                     |             |         | 15,587    |
| Outlays .....                              |             |         | 15,587    |
| Total:                                     |             |         |           |
| Budget Authority .....                     | 236,356     | 256,194 | 273,121   |
| Outlays .....                              | 235,938     | 256,228 | 273,157   |

The Supplementary Medical Insurance (SMI) program is a voluntary program that affords protection against the costs of physician and certain other medical services. The program also covers treatment of end-stage renal disease for eligible enrollees. SMI costs are generally financed by premium payments from enrollees and contributions from the general revenues.

The status of the trust fund is as follows. In addition, the Budget includes a package of proposals that improve Medicare's sustainability by promoting high-quality, efficient care; aligning payments with the costs of providing care; encouraging beneficiaries to seek high-value services; and reducing the Federal subsidy of Medicare costs for those beneficiaries who can most afford them.

**Status of Funds** (in millions of dollars)

| Identification code 75-8004-0-7-571   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Unexpended balance, start of year:  |             |         |           |
| 0100 Balance, start of year .....   | 72,780      | 71,728  | 69,862    |
| 0199 Total balance, start of year .....   | 72,780      | 71,728  | 69,862    |
| Cash income during the year:  |             |         |           |
| Current law:  |             |         |           |
| Receipts:   |             |         |           |
| 1200 Fee on Branded Prescription Pharmaceutical Manufacturers<br>and Importers, SMI ..... | 2,808       | 4,207   | 2,960     |
| Offsetting receipts (proprietary):  |             |         |           |
| 1220 Other Proprietary Interest from the Public, FSMI Fund .....                          | 1           | 3       | 3         |
| 1221 Premiums Collected for Medicare Prescription Drug Account,<br>FSMI .....             | 2,955       | 3,432   | 3,843     |
| 1222 Payments from States, Medicare Prescription Drug Account,<br>FSMI .....              | 8,324       | 8,766   | 9,036     |
| 1223 Basic Premium, Medicare Advantage, FSMI Trust Fund .....                             | 208         | 233     | 242       |
| 1224 Basic Premium, Medicare Advantage, FSMI Trust Fund .....                             |             |         | 19        |
| 1225 Medicare Refunds, SMI .....  | 4,989       | 4,400   | 4,500     |
| 1226 Premiums Collected for the Aged, FSMI Fund .....                                     | 48,297      | 52,481  | 53,997    |
| 1227 Premiums Collected for the Aged, FSMI Fund .....                                     |             |         | 545       |

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND—Continued  
Status of Funds—Continued

| Identification code 75–8004–0–7–571  | 2012 actual | 2013 CR  | 2014 est. |
|--|-------------|----------|-----------|
| 1228 Premiums Collected for the Disabled, FSMI Fund .....  | 9,592       | 9,707    | 10,031    |
| 1229 Premiums Collected for the Disabled, FSMI Fund .....  |             |          | 101       |
| Offsetting receipts (intragovernmental):   |             |          |           |
| 1240 Federal Contributions, FSMI Fund .....  | 165,254     | 181,351  | 194,565   |
| 1241 Federal Contributions, FSMI Fund .....  |             |          | 2,007     |
| 1242 Interest Received by Trust Fund, FSMI Fund .....  | 2,941       | 2,074    | 3,077     |
| 1243 Interest Received by Trust Fund, FSMI Fund .....  |             |          | –123      |
| 1244 Interest, Medicare Prescription Drug Account, FSMI .....  | 6           | 6        | 8         |
| 1245 Federal Contribution for Admin. Contribution for Admin.<br>Costs, Prescription Drug Account, FSMI ..... | 380         | 382      | 373       |
| 1246 Federal Contributions for Benefits, Prescription Drug<br>Account, SMI .....                             | 44,874      | 51,245   | 58,596    |
| 1247 Miscellaneous Federal Payments, Federal Supplementary<br>Medical Insurance Trust Fund .....             | 1           | 1        | 1         |
| Offsetting collections:  |             |          |           |
| 1280 Federal Supplementary Medical Insurance Trust Fund .....  | 17          |          |           |
| 1281 Medicare Prescription Drug Account, Federal Supplementary<br>Insurance Trust Fund .....                 | 2,216       |          |           |
| 1299 Income under present law .....  | 292,863     | 318,288  | 343,781   |
| 3299 Total cash income .....   | 292,863     | 318,288  | 343,781   |
| Cash outgo during year:  |             |          |           |
| Current law:   |             |          |           |
| 4500 Federal Supplementary Medical Insurance Trust Fund .....  | –235,955    | –256,228 | –257,570  |
| 4500 Medicare Prescription Drug Account, Federal Supplementary<br>Insurance Trust Fund .....                 | –57,960     | –63,922  | –71,839   |
| 4500 Federal Supplementary Medical Insurance Trust Fund .....  |             |          | –15,587   |
| 4599 Outgo under current law (-) .....   | –293,915    | –320,150 | –344,996  |
| 6599 Total cash outgo (-) .....  | –293,915    | –320,150 | –344,996  |
| 7625 Federal Supplementary Medical Insurance Trust Fund .....  |             | –4       |           |
| 7699 Total adjustments .....   |             | –4       |           |
| Unexpended balance, end of year:   |             |          |           |
| 8700 Uninvested balance (net), end of year .....   | 2,404       | 1,986    | 1,987     |
| 8701 Federal Supplementary Medical Insurance Trust Fund .....  | 69,324      | 67,876   | 66,660    |
| 8799 Total balance, end of year .....  | 71,728      | 69,862   | 68,647    |

## Object Classification (in millions of dollars)

| Identification code 75–8004–0–7–571   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 41.0 Payment for Quality Improvement Organization (QIO) activity ....             | 93          | 92      | 157       |
| 42.0 Insurance claims and indemnities .....                                       | 232,974     | 252,878 | 254,250   |
| 94.0 Financial transfers .....  | 3,328       | 3,224   | 3,127     |
| 99.0 Direct obligations .....   | 236,395     | 256,194 | 257,534   |
| 42.0 Allocation Account - reimbursable: Insurance claims and<br>indemnities ..... | 17          |         |           |
| 99.9 Total new obligations .....  | 236,412     | 256,194 | 257,534   |

FEDERAL SUPPLEMENTARY MEDICAL INSURANCE TRUST FUND  
(Amounts included in the adjusted baseline)

## Program and Financing (in millions of dollars)

| Identification code 75–8004–7–7–571                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Benefits .....                                  |             |         | 15,587    |
| 0900 Total new obligations (object class 42.0) ..... |             |         | 15,587    |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     |             |         | 2,550     |
| 1235 Appropriations precluded from obligation .....  |             |         | 13,037    |
| 1260 Appropriations, mandatory (total) .....         |             |         | 15,587    |
| 1900 Budget authority (total) .....                  |             |         | 15,587    |
| 1930 Total budgetary resources available .....       |             |         | 15,587    |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 15,587    |
| 3020 Outlays (gross) .....                           |             |         | –15,587   |

## Budget authority and outlays, net:

|   |  |  |        |
|---|--|--|--------|
| Mandatory:  |  |  |        |
| 4090 Budget authority, gross .....                  |  |  | 15,587 |
| Outlays, gross:                                     |  |  |        |
| 4100 Outlays from new mandatory authority .....     |  |  | 15,587 |
| Offsets against gross budget authority and outlays: |  |  |        |
| Offsetting collections (collected) from:            |  |  |        |
| 4180 Budget authority, net (total) .....            |  |  | 15,587 |
| 4190 Outlays, net (total) .....                     |  |  | 15,587 |

MEDICARE PRESCRIPTION DRUG ACCOUNT, FEDERAL SUPPLEMENTARY  
INSURANCE TRUST FUND

## Program and Financing (in millions of dollars)

| Identification code 75–8308–0–7–571     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Prescription Drug Benefits .....   | 58,365      | 63,540  | 71,467    |
| 0002 Administrative Costs .....         | 306         | 366     | 332       |
| 0900 Total new obligations .....        | 58,671      | 63,906  | 71,799    |

## Budgetary Resources:

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....              |        | 1      | 1      |
| 1021 Recoveries of prior year unpaid obligations .....             | 409    |        |        |
| 1050 Unobligated balance (total) .....                             | 409    | 1      | 1      |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:                                     |        |        |        |
| 1101 Appropriation (special or trust fund) .....                   | 302    | 361    | 328    |
| 1160 Appropriation, discretionary (total) .....                    | 302    | 361    | 328    |
| Appropriations, mandatory:   |        |        |        |
| 1201 Appropriation (special or trust fund) .....                   | 55,745 | 63,545 | 71,471 |
| 1260 Appropriations, mandatory (total) .....                       | 55,745 | 63,545 | 71,471 |
| Spending authority from offsetting collections, mandatory:         |        |        |        |
| 1800 Collected .....   | 2,216  |        |        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2,216  |        |        |
| 1900 Budget authority (total) .....                                | 58,263 | 63,906 | 71,799 |
| 1930 Total budgetary resources available .....                     | 58,672 | 63,907 | 71,800 |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 1      | 1      | 1      |
| Special and non-revolving trust funds:                             |        |        |        |
| 1952 Expired unobligated balance, start of year .....              | 572    | 449    | 449    |
| 1953 Expired unobligated balance, end of year .....                | 449    | 449    | 449    |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 5,216   | 5,606   | 5,590   |
| 3010 Obligations incurred, unexpired accounts .....               | 58,671  | 63,906  | 71,799  |
| 3011 Obligations incurred, expired accounts .....                 | 120     |         |         |
| 3020 Outlays (gross) .....  | –57,960 | –63,922 | –71,839 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –409    |         |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –32     |         |         |
| 3050 Unpaid obligations, end of year .....                        | 5,606   | 5,590   | 5,550   |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 5,216   | 5,606   | 5,590   |
| 3200 Obligated balance, end of year .....                         | 5,606   | 5,590   | 5,550   |

## Budget authority and outlays, net:

|   |        |        |        |
|---|--------|--------|--------|
| Discretionary:                                      |        |        |        |
| 4000 Budget authority, gross .....                  | 302    | 361    | 328    |
| Outlays, gross:                                     |        |        |        |
| 4010 Outlays from new discretionary authority ..... | 276    | 286    | 259    |
| 4011 Outlays from discretionary balances .....      | 100    | 73     | 72     |
| 4020 Outlays, gross (total) .....                   | 376    | 359    | 331    |
| Mandatory:  |        |        |        |
| 4090 Budget authority, gross .....                  | 57,961 | 63,545 | 71,471 |
| Outlays, gross:                                     |        |        |        |
| 4100 Outlays from new mandatory authority .....     | 55,335 | 59,916 | 67,771 |
| 4101 Outlays from mandatory balances .....          | 2,249  | 3,647  | 3,737  |
| 4110 Outlays, gross (total) .....                   | 57,584 | 63,563 | 71,508 |
| Offsets against gross budget authority and outlays: |        |        |        |
| Offsetting collections (collected) from:            |        |        |        |
| 4120 Federal sources .....                          | –2,216 |        |        |
| 4180 Budget authority, net (total) .....            | 56,047 | 63,906 | 71,799 |
| 4190 Outlays, net (total) .....                     | 55,744 | 63,922 | 71,839 |



Since January 2006, Medicare beneficiaries have had the opportunity to enroll in a comprehensive voluntary prescription drug benefit. The Budget includes a package of proposals that slow the growth of Part D net expenditures.

**Object Classification** (in millions of dollars)

| Identification code 75–8308–0–7–571                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 306         | 366     | 332       |
| 42.0 Insurance claims and indemnities .....        | 58,365      | 63,540  | 71,467    |
| 99.9 Total new obligations .....                   | 58,671      | 63,906  | 71,799    |

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

**ADMINISTRATION FOR CHILDREN AND FAMILIES**

**Federal Funds**

**TEMPORARY ASSISTANCE FOR NEEDY FAMILIES**

**Program and Financing** (in millions of dollars)

| Identification code 75–1552–0–1–609                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                       |             |         |           |
| 0001 State family assistance grant .....                      | 16,488      | 16,486  | 16,486    |
| 0002 Territories - family assistance grants .....             | 75          | 78      | 78        |
| 0006 Tribal work programs .....                               | 8           | 8       | 8         |
| 0009 Healthy marriage and responsible fatherhood grants ..... | 150         | 150     | 150       |
| 0900 Total new obligations .....                              | 16,721      | 16,722  | 16,722    |

**Budgetary Resources:**

|   |        |        |        |
|---|--------|--------|--------|
| 1000 Unobligated balance:                             |        |        |        |
| Unobligated balance brought forward, Oct 1 .....      |        |        | 2      |
| Budget authority:                                     |        |        |        |
| Appropriations, mandatory:                            |        |        |        |
| 1200 Appropriation .....                              | 16,739 | 16,739 | 16,739 |
| 1260 Appropriations, mandatory (total) .....          | 16,739 | 16,739 | 16,739 |
| 1930 Total budgetary resources available .....        | 16,739 | 16,739 | 16,741 |
| Memorandum (non-add) entries:                         |        |        |        |
| 1940 Unobligated balance expiring .....               | –18    | –15    | –15    |
| 1941 Unexpired unobligated balance, end of year ..... |        | 2      | 4      |

**Change in obligated balance:**

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:                                   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4,595   | 5,180   | 5,054   |
| 3010 Obligations incurred, unexpired accounts .....   | 16,721  | 16,722  | 16,722  |
| 3020 Outlays (gross) .....                            | –16,136 | –16,848 | –16,982 |
| 3050 Unpaid obligations, end of year .....            | 5,180   | 5,054   | 4,794   |
| Memorandum (non-add) entries:                         |         |         |         |
| 3100 Obligated balance, start of year .....           | 4,595   | 5,180   | 5,054   |
| 3200 Obligated balance, end of year .....             | 5,180   | 5,054   | 4,794   |

**Budget authority and outlays, net:**

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:                                      |        |        |        |
| 4090 Budget authority, gross .....              | 16,739 | 16,739 | 16,739 |
| Outlays, gross:                                 |        |        |        |
| 4100 Outlays from new mandatory authority ..... | 13,210 | 13,379 | 13,379 |
| 4101 Outlays from mandatory balances .....      | 2,926  | 3,469  | 3,603  |
| 4110 Outlays, gross (total) .....               | 16,136 | 16,848 | 16,982 |
| 4180 Budget authority, net (total) .....        | 16,739 | 16,739 | 16,739 |
| 4190 Outlays, net (total) .....                 | 16,136 | 16,848 | 16,982 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|                        | 2012 actual | 2013 CR | 2014 est. |
|------------------------|-------------|---------|-----------|
| Enacted/requested:     |             |         |           |
| Budget Authority ..... | 16,739      | 16,739  | 16,739    |
| Outlays .....          | 16,136      | 16,848  | 16,982    |

Legislative proposal, subject to PAYGO:

|                        |        |        |        |
|------------------------|--------|--------|--------|
| Budget Authority ..... |        |        | 319    |
| Outlays .....          |        |        | 289    |
| Total:                 |        |        |        |
| Budget Authority ..... | 16,739 | 16,739 | 17,058 |
| Outlays .....          | 16,136 | 16,848 | 17,271 |

This account provides funding for the Temporary Assistance for Needy Families block grant and related activities authorized by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171). Since 2010, this account has been temporarily reauthorized by a series of Acts and at the time the Budget was prepared was operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175).

**Object Classification** (in millions of dollars)

| Identification code 75–1552–0–1–609                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 2           | 1       | 1         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 23          | 23      | 23        |
| 25.2 Other services from non-Federal sources .....       | 15          | 15      | 15        |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 16,677      | 16,679  | 16,679    |
| 99.9 Total new obligations .....                         | 16,721      | 16,722  | 16,722    |

**Employment Summary**

| Identification code 75–1552–0–1–609                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 15          | 15      | 15        |

**TEMPORARY ASSISTANCE FOR NEEDY FAMILIES**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 75–1552–4–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0007 Supplemental Grants .....                       |             |         | 319       |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 319       |

**Budgetary Resources:**

|  |  |  |     |
|--|--|--|-----|
| Budget authority:                              |  |  |     |
| Appropriations, mandatory:                     |  |  |     |
| 1200 Appropriation .....                       |  |  | 319 |
| 1260 Appropriations, mandatory (total) .....   |  |  | 319 |
| 1930 Total budgetary resources available ..... |  |  | 319 |

**Change in obligated balance:**

|   |  |  |      |
|---|--|--|------|
| Unpaid obligations:                                 |  |  |      |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 319  |
| 3020 Outlays (gross) .....                          |  |  | –289 |
| 3050 Unpaid obligations, end of year .....          |  |  | 30   |
| Memorandum (non-add) entries:                       |  |  |      |
| 3200 Obligated balance, end of year .....           |  |  | 30   |

**Budget authority and outlays, net:**

|   |  |  |     |
|---|--|--|-----|
| Mandatory:                                      |  |  |     |
| 4090 Budget authority, gross .....              |  |  | 319 |
| Outlays, gross:                                 |  |  |     |
| 4100 Outlays from new mandatory authority ..... |  |  | 289 |
| 4180 Budget authority, net (total) .....        |  |  | 319 |
| 4190 Outlays, net (total) .....                 |  |  | 289 |

## CONTINGENCY FUND

## Program and Financing (in millions of dollars)

| Identification code 75–1522–0–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Contingency fund .....                          | 612         | 612     | 612       |
| 0900 Total new obligations (object class 41.0) ..... | 612         | 612     | 612       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 612         | 612     | 612       |
| 1260 Appropriations, mandatory (total) .....         | 612         | 612     | 612       |
| 1930 Total budgetary resources available .....       | 612         | 612     | 612       |

|   |      |      |      |
|---|------|------|------|
| <b>Change in obligated balance:</b>                             |      |      |      |
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 832  | 595  | 331  |
| 3010 Obligations incurred, unexpired accounts .....             | 612  | 612  | 612  |
| 3020 Outlays (gross) .....                                      | –678 | –876 | –776 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –171 |      |      |
| 3050 Unpaid obligations, end of year .....                      | 595  | 331  | 167  |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 832  | 595  | 331  |
| 3200 Obligated balance, end of year .....                       | 595  | 331  | 167  |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budget authority and outlays, net:</b>       |     |     |     |
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 612 | 612 | 612 |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 473 | 555 | 555 |
| 4101 Outlays from mandatory balances .....      | 205 | 321 | 221 |
| 4110 Outlays, gross (total) .....               | 678 | 876 | 776 |
| 4180 Budget authority, net (total) .....        | 612 | 612 | 612 |
| 4190 Outlays, net (total) .....                 | 678 | 876 | 776 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 612         | 612     | 612       |
| Outlays .....                           | 678         | 876     | 776       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | –319      |
| Outlays .....                           |             |         | –289      |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 612         | 612     | 293       |
| Outlays .....                           | 678         | 876     | 487       |

This account provides funding for the TANF Contingency Fund authorized by section 403(b) of the Social Security Act that currently provides money to qualifying States (but not Territories or Tribes) during an economic downturn.

## CONTINGENCY FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–1522–4–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Contingency fund .....                          |             |         | –319      |
| 0900 Total new obligations (object class 41.0) ..... |             |         | –319      |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | –319      |
| 1260 Appropriations, mandatory (total) .....         |             |         | –319      |
| 1930 Total budgetary resources available .....       |             |         | –319      |

## Change in obligated balance:

|   |  |  |      |
|---|--|--|------|
| Unpaid obligations:                                 |  |  |      |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | –319 |
| 3020 Outlays (gross) .....                          |  |  | 289  |
| 3050 Unpaid obligations, end of year .....          |  |  | –30  |
| Memorandum (non-add) entries:                       |  |  |      |
| 3200 Obligated balance, end of year .....           |  |  | –30  |

## Budget authority and outlays, net:

|   |  |  |      |
|---|--|--|------|
| Mandatory:                                      |  |  |      |
| 4090 Budget authority, gross .....              |  |  | –319 |
| Outlays, gross:                                 |  |  |      |
| 4100 Outlays from new mandatory authority ..... |  |  | –289 |
| 4180 Budget authority, net (total) .....        |  |  | –319 |
| 4190 Outlays, net (total) .....                 |  |  | –289 |

## PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS

*For carrying out, except as otherwise provided, titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, \$2,965,245,000, to remain available until expended; and for such purposes for the first quarter of fiscal year 2015, \$1,250,000,000, to remain available until expended.*

*For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under titles I, IV-D, X, XI, XIV, and XVI of the Social Security Act and the Act of July 5, 1960, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75–1501–0–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 State child support administrative costs .....  | 3,626       | 3,730   | 3,780     |
| 0002 Child support incentive payments .....          | 508         | 530     | 541       |
| 0003 Access and visitation grants .....              | 10          | 10      | 10        |
| 0091 Subtotal, child support enforcement .....       | 4,144       | 4,270   | 4,331     |
| 0102 Payments to territories .....                   | 32          | 33      | 33        |
| 0103 Repatriation .....                              | 1           | 1       | 1         |
| 0191 Subtotal, other payments .....                  | 33          | 34      | 34        |
| 0799 Total direct obligations .....                  | 4,177       | 4,304   | 4,365     |
| 0801 Offset obligations (CSE grants to States) ..... | 2           | 8       | 8         |
| 0900 Total new obligations .....                     | 4,179       | 4,312   | 4,373     |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              |       | 1     |       |
| 1021 Recoveries of prior year unpaid obligations .....             | 341   | 300   | 300   |
| 1050 Unobligated balance (total) .....                             | 341   | 301   | 300   |
| Budget authority:  |       |       |       |
| Appropriations, mandatory:   |       |       |       |
| 1200 Appropriation .....   | 2,636 | 2,904 | 2,965 |
| 1260 Appropriations, mandatory (total) .....                       | 2,636 | 2,904 | 2,965 |
| Advance appropriations, mandatory:                                 |       |       |       |
| 1270 Advance appropriation .....                                   | 1,200 | 1,100 | 1,100 |
| 1280 Advanced appropriation, mandatory (total) .....               | 1,200 | 1,100 | 1,100 |
| Spending authority from offsetting collections, mandatory:         |       |       |       |
| 1800 Collected .....   | 3     | 7     | 8     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 3     | 7     | 8     |
| 1900 Budget authority (total) .....                                | 3,839 | 4,011 | 4,073 |
| 1930 Total budgetary resources available .....                     | 4,180 | 4,312 | 4,373 |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 1     |       |       |

## Change in obligated balance:

|   |       |     |     |
|---|-------|-----|-----|
| Unpaid obligations:                                   |       |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1,108 | 986 | 997 |

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| 3010                          | Obligations incurred, unexpired accounts .....               | 4,179  | 4,312  | 4,373  |
| 3020                          | Outlays (gross) .....  | -3,960 | -4,001 | -4,043 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -341   | -300   | -300   |
| 3050                          | Unpaid obligations, end of year .....                        | 986    | 997    | 1,027  |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 1,108  | 986    | 997    |
| 3200                          | Obligated balance, end of year .....                         | 986    | 997    | 1,027  |

**Budget authority and outlays, net:**

|   |  |       |       |       |
|---|--|-------|-------|-------|
| Mandatory:  |  |       |       |       |
| 4090  | Budget authority, gross .....              | 3,839 | 4,011 | 4,073 |
| Outlays, gross:                                     |  |       |       |       |
| 4100  | Outlays from new mandatory authority ..... | 3,627 | 3,702 | 3,751 |
| 4101  | Outlays from mandatory balances .....      | 333   | 299   | 292   |
| 4110  | Outlays, gross (total) .....               | 3,960 | 4,001 | 4,043 |
| Offsets against gross budget authority and outlays: |  |       |       |       |
| Offsetting collections (collected) from:            |  |       |       |       |
| 4123  | Non-Federal sources .....                  | -3    | -7    | -8    |
| 4180  | Budget authority, net (total) .....        | 3,836 | 4,004 | 4,065 |
| 4190  | Outlays, net (total) .....                 | 3,957 | 3,994 | 4,035 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 3,836       | 4,004   | 4,065     |
| Outlays .....                           | 3,957       | 3,994   | 4,035     |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 10        |
| Outlays .....                           |             |         | 10        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 3,836       | 4,004   | 4,075     |
| Outlays .....                           | 3,957       | 3,994   | 4,045     |

This account provides for payments to States for child support enforcement and other family support programs, including access and visitation programs for families. The Federal share of child support collections is returned to the Treasury in a receipt account. This request proposes a Child Support and Fatherhood Initiative, a comprehensive set of proposals which promotes using child support payments in the best interest of the child; supports noncustodial parents becoming and staying involved in their children's lives; and improves establishment and enforcement procedures by closing loopholes and improving federal processes.

**Object Classification** (in millions of dollars)

| Identification code 75-1501-0-1-609                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 4,177       | 4,304   | 4,365     |
| 99.0 Reimbursable obligations .....                                 | 2           | 8       | 8         |
| 99.9 Total new obligations .....                                    | 4,179       | 4,312   | 4,373     |

**PAYMENTS TO STATES FOR CHILD SUPPORT ENFORCEMENT AND FAMILY SUPPORT PROGRAMS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 75-1501-4-1-609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 State child support administrative costs .....  |             |         | 10        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 10        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 10        |
| 1260 Appropriations, mandatory (total) .....         |             |         | 10        |
| 1930 Total budgetary resources available .....       |             |         | 10        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 10        |

|   |  |     |
|---|--|-----|
| 3020                                      | Outlays (gross) .....                      | -10 |
| <b>Budget authority and outlays, net:</b> |  |     |
| Mandatory:                                |  |     |
| 4090                                      | Budget authority, gross .....              | 10  |
| Outlays, gross:                           |  |     |
| 4100                                      | Outlays from new mandatory authority ..... | 10  |
| 4180                                      | Budget authority, net (total) .....        | 10  |
| 4190                                      | Outlays, net (total) .....                 | 10  |

**LOW INCOME HOME ENERGY ASSISTANCE**

For making payments under subsections (b), (d), and (e) of section 2602 of the Low Income Home Energy Assistance Act of 1981, \$2,970,000,000, of which \$2,820,000,000 shall be for making payments under subsections (b) and (d) of such section; and of which \$150,000,000 shall be for making payments under subsection (e) of such section, to be made notwithstanding the designation requirements of such subsection: Provided, That all but \$403,000,000 of the amount provided in this section for subsections (b) and (d) shall be allocated as though the total appropriation for such payments for fiscal year 2014 was less than \$1,975,000,000: Provided further, That notwithstanding section 2609A(a), the Secretary may reserve up to \$3,000,000 of the amounts appropriated under section 2602(b) for technical assistance, training, and monitoring of program activities for compliance with internal controls, policies and procedures and may, in addition to the authorities provided in section 2609A(a)(1), use such funds through contracts with private entities that do not qualify as nonprofit organizations.

For making competitive grants to assist low-income households in reducing household energy burdens and for conducting a national evaluation of such grants, \$50,000,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75-1502-0-1-609                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 LIHEAP Block Grant .....                                   | 3,472       | 3,493   | 2,820     |
| 0002 Contingency Fund .....                                     |             |         | 150       |
| 0003 Energy Burden Reduction Grants .....                       |             |         | 50        |
| 0900 Total new obligations .....                                | 3,472       | 3,493   | 3,020     |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 3,478       | 3,493   | 3,020     |
| 1130 Appropriations permanently reduced .....                   | -6          |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 3,472       | 3,493   | 3,020     |
| 1930 Total budgetary resources available .....                  | 3,472       | 3,493   | 3,020     |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 1,991       | 1,642   | 1,431     |
| 3010 Obligations incurred, unexpired accounts .....             | 3,472       | 3,493   | 3,020     |
| 3020 Outlays (gross) .....                                      | -3,817      | -3,704  | -2,936    |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -4          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 1,642       | 1,431   | 1,515     |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 1,991       | 1,642   | 1,431     |
| 3200 Obligated balance, end of year .....                       | 1,642       | 1,431   | 1,515     |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 3,472       | 3,493   | 3,020     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 2,191       | 2,201   | 1,905     |
| 4011 Outlays from discretionary balances .....                  | 1,626       | 1,503   | 1,031     |
| 4020 Outlays, gross (total) .....                               | 3,817       | 3,704   | 2,936     |
| 4180 Budget authority, net (total) .....                        | 3,472       | 3,493   | 3,020     |
| 4190 Outlays, net (total) .....                                 | 3,817       | 3,704   | 2,936     |

## LOW INCOME HOME ENERGY ASSISTANCE—Continued

This program makes grants to States and Indian Tribes to aid low-income households with high energy costs through payments to eligible households, energy suppliers, and weatherization providers. Obligation estimates for the contingency fund assume that all funds will be released in response to emergencies such as extreme weather related events and high fuel prices. The Budget proposes new competitive grants to reduce household energy burdens for low-income households.

## Object Classification (in millions of dollars)

| Identification code 75–1502–0–1–609                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 3,469       | 3,490   | 3,017     |
| 99.9 Total new obligations .....                         | 3,472       | 3,493   | 3,020     |

## REFUGEE AND ENTRANT ASSISTANCE

For necessary expenses for refugee and entrant assistance activities authorized by section 414 of the Immigration and Nationality Act and section 501 of the Refugee Education Assistance Act of 1980, and for carrying out section 462 of the Homeland Security Act of 2002, the Torture Victims Relief Act of 1998, the Trafficking Victims Protection Act of 2000 (TVPA), section 203 of the Trafficking Victims Protection Reauthorization Act of 2005, and section 235 of the William Wilberforce Trafficking Victims Protection Reauthorization Act of 2008, \$1,123,432,000, to remain available through September 30, 2016: Provided, That up to \$19,775,000 shall be available to carry out the last three Acts cited above, of which \$300,000 of such amount shall be available for research and evaluation under sections 107(f) and 112A of the TVPA, as amended, notwithstanding any limitations or earmarks in such sections.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75–1503–0–1–609                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                       |             |         |           |
| 0001 Refugee and entrant assistance .....              | 507         | 587     | 607       |
| 0002 Assistance for treatment of torture victims ..... | 11          | 11      | 11        |
| 0003 Unaccompanied alien children .....                | 274         | 302     | 495       |
| 0005 Domestic Trafficking Victims program .....        |             |         | 10        |
| 0900 Total new obligations .....                       | 792         | 900     | 1,123     |

## Budgetary Resources:

|  |     |     |       |
|--|-----|-----|-------|
| Unobligated balance:                                   |     |     |       |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 24  | 1   | 1     |
| 1021 Recoveries of prior year unpaid obligations ..... | 1   |     |       |
| 1050 Unobligated balance (total) .....                 | 25  | 1   | 1     |
| Budget authority:                                      |     |     |       |
| Appropriations, discretionary:                         |     |     |       |
| 1100 Appropriation .....                               | 769 | 900 | 1,123 |
| 1130 Appropriations permanently reduced .....          | –1  |     |       |
| 1160 Appropriation, discretionary (total) .....        | 768 | 900 | 1,123 |
| 1930 Total budgetary resources available .....         | 793 | 901 | 1,124 |
| Memorandum (non-add) entries:                          |     |     |       |
| 1941 Unexpired unobligated balance, end of year .....  | 1   | 1   | 1     |

## Change in obligated balance:

|   |      |      |       |
|---|------|------|-------|
| Unpaid obligations:   |      |      |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 567  | 544  | 552   |
| 3010 Obligations incurred, unexpired accounts .....               | 792  | 900  | 1,123 |
| 3011 Obligations incurred, expired accounts .....                 | 1    |      |       |
| 3020 Outlays (gross) .....  | –802 | –892 | –952  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1   |      |       |

|   |     |     |     |
|---|-----|-----|-----|
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –13 |     |     |
| 3050 Unpaid obligations, end of year .....                      | 544 | 552 | 723 |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 567 | 544 | 552 |
| 3200 Obligated balance, end of year .....                       | 544 | 552 | 723 |

## Budget authority and outlays, net:

|   |     |     |       |
|---|-----|-----|-------|
| Discretionary:                                      |     |     |       |
| 4000 Budget authority, gross .....                  | 768 | 900 | 1,123 |
| Outlays, gross:                                     |     |     |       |
| 4010 Outlays from new discretionary authority ..... | 435 | 450 | 562   |
| 4011 Outlays from discretionary balances .....      | 367 | 442 | 390   |
| 4020 Outlays, gross (total) .....                   | 802 | 892 | 952   |
| 4180 Budget authority, net (total) .....            | 768 | 900 | 1,123 |
| 4190 Outlays, net (total) .....                     | 802 | 892 | 952   |

This program provides funds to States and non-governmental organizations for administering the refugee and entrant assistance program. Funds also are provided to assist in the rehabilitation of victims of torture, trafficking victims, and the care and placement of unaccompanied alien children. Additional funding is included to address the increase in unaccompanied alien children and for a new initiative aimed at preventing human trafficking and providing direct services to domestic victims.

## Object Classification (in millions of dollars)

| Identification code 75–1503–0–1–609                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 4           | 5       | 6         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 2         |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 35          | 20      | 31        |
| 25.2 Other services from non-Federal sources .....       | 5           | 6       | 7         |
| 25.3 Other goods and services from Federal sources ..... | 4           | 24      | 30        |
| 41.0 Grants, subsidies, and contributions .....          | 741         | 842     | 1,045     |
| 99.9 Total new obligations .....                         | 792         | 900     | 1,123     |

## Employment Summary

| Identification code 75–1503–0–1–609                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 45          | 54      | 64        |

## SUPPORTING HEALTH FAMILIES AND ADOLESCENT DEVELOPMENT

For carrying out, except as otherwise provided, section 436 of the Social Security Act, \$345,000,000 and, in addition, for carrying out, except as otherwise provided, section 437 of such Act, \$63,065,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75–1512–0–1–506                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                       |             |         |           |
| 0001 Grants to States and Tribes .....                 | 368         | 368     | 368       |
| 0002 Research, training and technical assistance ..... | 8           | 8       | 8         |
| 0003 State court improvement activities .....          | 32          | 32      | 32        |
| 0004 Family Connection Grants .....                    | 15          | 15      |           |
| 0005 PREP .....  | 84          | 84      | 82        |
| 0006 Abstinence Education .....                        | 39          | 37      | 37        |
| 0900 Total new obligations .....                       | 546         | 544     | 527       |

## Budgetary Resources:

|   |    |    |    |
|---|----|----|----|
| Unobligated balance:                                  |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 25 | 16 | 20 |
| Budget authority:                                     |    |    |    |
| Appropriations, discretionary:                        |    |    |    |
| 1100 Appropriation .....                              | 63 | 63 | 75 |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 1130 | Appropriations permanently reduced .....         |     |     | -12 |
| 1160 | Appropriation, discretionary (total) .....       | 63  | 63  | 63  |
|      | Appropriations, mandatory:                       |     |     |     |
| 1200 | Appropriation .....                              | 485 | 485 | 470 |
| 1260 | Appropriations, mandatory (total) .....          | 485 | 485 | 470 |
| 1900 | Budget authority (total) .....                   | 548 | 548 | 533 |
| 1930 | Total budgetary resources available .....        | 573 | 564 | 553 |
|      | Memorandum (non-add) entries:                    |     |     |     |
| 1940 | Unobligated balance expiring .....               | -11 |     |     |
| 1941 | Unexpired unobligated balance, end of year ..... | 16  | 20  | 26  |

**Change in obligated balance:**

|      |  |      |      |      |
|------|--|------|------|------|
|      | Unpaid obligations:  |      |      |      |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....           | 614  | 678  | 708  |
| 3010 | Obligations incurred, unexpired accounts .....             | 546  | 544  | 527  |
| 3020 | Outlays (gross) .....                                      | -476 | -511 | -525 |
| 3041 | Recoveries of prior year unpaid obligations, expired ..... | -6   | -3   |      |
| 3050 | Unpaid obligations, end of year .....                      | 678  | 708  | 710  |
|      | Memorandum (non-add) entries:                              |      |      |      |
| 3100 | Obligated balance, start of year .....                     | 614  | 678  | 708  |
| 3200 | Obligated balance, end of year .....                       | 678  | 708  | 710  |

**Budget authority and outlays, net:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
|      | Discretionary:                                 |     |     |     |
| 4000 | Budget authority, gross .....                  | 63  | 63  | 63  |
|      | Outlays, gross:                                |     |     |     |
| 4010 | Outlays from new discretionary authority ..... | 19  | 20  | 24  |
| 4011 | Outlays from discretionary balances .....      | 38  | 43  | 44  |
| 4020 | Outlays, gross (total) .....                   | 57  | 63  | 68  |
|      | Mandatory:                                     |     |     |     |
| 4090 | Budget authority, gross .....                  | 485 | 485 | 470 |
|      | Outlays, gross:                                |     |     |     |
| 4100 | Outlays from new mandatory authority .....     | 91  | 98  | 98  |
| 4101 | Outlays from mandatory balances .....          | 328 | 350 | 359 |
| 4110 | Outlays, gross (total) .....                   | 419 | 448 | 457 |
| 4180 | Budget authority, net (total) .....            | 548 | 548 | 533 |
| 4190 | Outlays, net (total) .....                     | 476 | 511 | 525 |

**Summary of Budget Authority and Outlays (in millions of dollars)**

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 548         | 548     | 533       |
| Outlays .....                           | 476         | 511     | 525       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 15        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 548         | 548     | 548       |
| Outlays .....                           | 476         | 511     | 525       |

This account provides funds for a broad range of child welfare services, including family preservation and family support services. Additionally, this account includes funding for the Personal Responsibility Education Program (PREP) and Abstinence Education which were made available by the Patient Protection and Affordable Care Act (P.L. 111-148). This account also includes a new initiative to prevent pregnancy among youth in foster care.

**Object Classification (in millions of dollars)**

| Identification code 75-1512-0-1-506                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 12          | 11      | 11        |
| 25.3 Other goods and services from Federal sources ..... | 2           | 3       | 2         |
| 41.0 Grants, subsidies, and contributions .....          | 531         | 529     | 513       |
| 99.9 Total new obligations .....                         | 546         | 544     | 527       |

**Employment Summary**

| Identification code 75-1512-0-1-506                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 10          | 10      | 10        |

**SUPPORTING HEALTHY FAMILIES AND ADOLESCENT DEVELOPMENT**  
(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

| Identification code 75-1512-4-1-506 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

**Obligations by program activity:**

|                                     |  |  |    |
|-------------------------------------|--|--|----|
| 0004 Family Connection Grants ..... |  |  | 15 |
|-------------------------------------|--|--|----|

**Budgetary Resources:**

|  |  |  |    |
|--|--|--|----|
| Budget authority:                              |  |  |    |
| Appropriations, mandatory:                     |  |  |    |
| 1200 Appropriation .....                       |  |  | 15 |
| 1260 Appropriations, mandatory (total) .....   |  |  | 15 |
| 1900 Budget authority (total) .....            |  |  | 15 |
| 1930 Total budgetary resources available ..... |  |  | 15 |

**Change in obligated balance:**

|   |  |  |    |
|---|--|--|----|
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 15 |
| 3050 Unpaid obligations, end of year .....          |  |  | 15 |
| Memorandum (non-add) entries:                       |  |  |    |
| 3200 Obligated balance, end of year .....           |  |  | 15 |

**Budget authority and outlays, net:**

|  |  |  |    |
|--|--|--|----|
| Mandatory:                               |  |  |    |
| 4090 Budget authority, gross .....       |  |  | 15 |
| 4180 Budget authority, net (total) ..... |  |  | 15 |

**Object Classification (in millions of dollars)**

| Identification code 75-1512-4-1-506             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 25.1 Advisory and assistance services .....     |             |         | 1         |
| 41.0 Grants, subsidies, and contributions ..... |             |         | 14        |
| 99.9 Total new obligations .....                |             |         | 15        |

**CHILD CARE ENTITLEMENT TO STATES****Program and Financing (in millions of dollars)**

| Identification code 75-1550-0-1-609 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

**Obligations by program activity:**

|  |       |       |       |
|--|-------|-------|-------|
| 0001 Mandatory child care .....              | 1,178 | 1,178 | 1,178 |
| 0002 Matching child care .....               | 1,697 | 1,674 | 1,674 |
| 0003 Training and technical assistance ..... | 7     | 7     | 7     |
| 0004 Child care tribal grants .....          | 58    | 58    | 58    |
| 0900 Total new obligations .....             | 2,940 | 2,917 | 2,917 |

**Budgetary Resources:**

|   |       |       |       |
|---|-------|-------|-------|
| Unobligated balance:  |       |       |       |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 23    |       |       |
| 1050 Unobligated balance (total) .....  | 23    |       |       |
| Budget authority:   |       |       |       |
| Appropriations, mandatory:  |       |       |       |
| 1200 Appropriation .....  | 2,917 | 2,917 | 2,917 |
| 1260 Appropriations, mandatory (total) .....                                    | 2,917 | 2,917 | 2,917 |
| 1900 Budget authority (total) .....   | 2,917 | 2,917 | 2,917 |
| 1930 Total budgetary resources available .....                                  | 2,940 | 2,917 | 2,917 |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:                                   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 609    | 710    | 719    |
| 3010 Obligations incurred, unexpired accounts .....   | 2,940  | 2,917  | 2,917  |
| 3020 Outlays (gross) .....                            | -2,828 | -2,908 | -2,916 |

CHILD CARE ENTITLEMENT TO STATES—Continued  
Program and Financing—Continued

| Identification code 75–1550–0–1–609 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 3041                                | Recoveries of prior year unpaid obligations, expired ..... | –11         |         |           |
| 3050                                | Unpaid obligations, end of year .....                      | 710         | 719     | 720       |
| Memorandum (non-add) entries:       |  |             |         |           |
| 3100                                | Obligated balance, start of year .....                     | 609         | 710     | 719       |
| 3200                                | Obligated balance, end of year .....                       | 710         | 719     | 720       |

**Budget authority and outlays, net:**

|                 |  |       |       |       |
|-----------------|--|-------|-------|-------|
| Mandatory:      |  |       |       |       |
| 4090            | Budget authority, gross .....              | 2,917 | 2,917 | 2,917 |
| Outlays, gross: |  |       |       |       |
| 4100            | Outlays from new mandatory authority ..... | 2,291 | 2,363 | 2,363 |
| 4101            | Outlays from mandatory balances .....      | 537   | 545   | 553   |
| 4110            | Outlays, gross (total) .....               | 2,828 | 2,908 | 2,916 |
| 4180            | Budget authority, net (total) .....        | 2,917 | 2,917 | 2,917 |
| 4190            | Outlays, net (total) .....                 | 2,828 | 2,908 | 2,916 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   |                        | 2012 actual | 2013 CR | 2014 est. |
|---|------------------------|-------------|---------|-----------|
| Enacted/requested:                      |                        |             |         |           |
|   | Budget Authority ..... | 2,917       | 2,917   | 2,917     |
|   | Outlays .....          | 2,828       | 2,908   | 2,916     |
| Legislative proposal, subject to PAYGO: |                        |             |         |           |
|   | Budget Authority ..... |             |         | 500       |
|   | Outlays .....          |             |         | 406       |
| Total:                                  |                        |             |         |           |
|   | Budget Authority ..... | 2,917       | 2,917   | 3,417     |
|   | Outlays .....          | 2,828       | 2,908   | 3,322     |

This account provides child care subsidies for low-income working families and was established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104–193), as amended by the Deficit Reduction Act of 2005 (P.L. 109–171). Since 2010, this account has been temporarily reauthorized by a series of Acts and at the time the Budget was prepared was operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175).

**Object Classification** (in millions of dollars)

| Identification code 75–1550–0–1–609 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.1                                | Advisory and assistance services .....        | 7           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources ..... | 11          | 6       | 6         |
| 41.0                                | Grants, subsidies, and contributions .....    | 2,922       | 2,910   | 2,910     |
| 99.9                                | Total new obligations .....                   | 2,940       | 2,917   | 2,917     |

CHILD CARE ENTITLEMENT TO STATES  
(Legislative proposal, subject to PAYGO)**Program and Financing** (in millions of dollars)

| Identification code 75–1550–4–1–609     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0002                                    | Matching child care .....                 |             |         | 480       |
| 0003                                    | Training and technical assistance .....   |             |         | 10        |
| 0004                                    | Child care tribal grants .....            |             |         | 10        |
| 0900                                    | Total new obligations .....               |             |         | 500       |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Budget authority:                       |   |             |         |           |
| Appropriations, mandatory:              |   |             |         |           |
| 1200                                    | Appropriation .....                       |             |         | 500       |
| 1260                                    | Appropriations, mandatory (total) .....   |             |         | 500       |
| 1900                                    | Budget authority (total) .....            |             |         | 500       |
| 1930                                    | Total budgetary resources available ..... |             |         | 500       |

**Change in obligated balance:**

|                               |  |  |  |      |
|-------------------------------|--|--|--|------|
| Unpaid obligations:           |  |  |  |      |
| 3010                          | Obligations incurred, unexpired accounts ..... |  |  | 500  |
| 3020                          | Outlays (gross) .....                          |  |  | –406 |
| 3050                          | Unpaid obligations, end of year .....          |  |  | 94   |
| Memorandum (non-add) entries: |  |  |  |      |
| 3200                          | Obligated balance, end of year .....           |  |  | 94   |

**Budget authority and outlays, net:**

|                 |  |  |  |     |
|-----------------|--|--|--|-----|
| Mandatory:      |  |  |  |     |
| 4090            | Budget authority, gross .....              |  |  | 500 |
| Outlays, gross: |  |  |  |     |
| 4100            | Outlays from new mandatory authority ..... |  |  | 406 |
| 4180            | Budget authority, net (total) .....        |  |  | 500 |
| 4190            | Outlays, net (total) .....                 |  |  | 406 |

**Object Classification** (in millions of dollars)

| Identification code 75–1550–4–1–609 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.2                                | Other services from non-Federal sources ..... |             |         | 10        |
| 41.0                                | Grants, subsidies, and contributions .....    |             |         | 490       |
| 99.9                                | Total new obligations .....                   |             |         | 500       |

## PAYMENTS TO STATES FOR THE CHILD CARE AND DEVELOPMENT BLOCK GRANT

*For carrying out the Child Care and Development Block Grant Act of 1990, \$2,278,313,000 shall be used to supplement, not supplant State general revenue funds for child care assistance for low-income families: Provided, That \$19,396,000 shall be available for child care resource and referral and school-aged child care activities, of which \$1,000,000 shall be available to the Secretary for a competitive grant for the operation of a national toll free hotline and Web site to develop and disseminate child care consumer education information for parents and help parents access child care in their local community: Provided further, That, in addition to the amounts required to be reserved by the States under section 658G, \$290,698,000 shall be reserved by the States for activities authorized under section 658G, of which \$106,611,000 shall be for activities that improve the quality of infant and toddler care: Provided further, That \$9,871,000 shall be for use by the Secretary for child care research, demonstration, and evaluation activities.*

*In addition, \$200,000,000, to be allocated by the Secretary for discretionary grants to States to improve the quality of child care, including health and safety measures, under section 658I(a)(1) of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75–1515–0–1–609     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Block grant payments to States .....                       | 2,268       | 2,282   | 2,468     |
| 0004                                    | Research and evaluation fund .....                         | 10          | 10      | 10        |
| 0900                                    | Total new obligations .....                                | 2,278       | 2,292   | 2,478     |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1100                                    | Appropriation .....  | 2,282       | 2,292   | 2,478     |
| 1130                                    | Appropriations permanently reduced .....                   | –4          |         |           |
| 1160                                    | Appropriation, discretionary (total) .....                 | 2,278       | 2,292   | 2,478     |
| 1930                                    | Total budgetary resources available .....                  | 2,278       | 2,292   | 2,478     |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....           | 478         | 555     | 561       |
| 3010                                    | Obligations incurred, unexpired accounts .....             | 2,278       | 2,292   | 2,478     |
| 3020                                    | Outlays (gross) .....                                      | –2,200      | –2,286  | –2,442    |
| 3041                                    | Recoveries of prior year unpaid obligations, expired ..... | –1          |         |           |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| 3050                                      | Unpaid obligations, end of year .....          | 555   | 561   | 597   |
| Memorandum (non-add) entries:             |  |       |       |       |
| 3100                                      | Obligated balance, start of year .....         | 478   | 555   | 561   |
| 3200                                      | Obligated balance, end of year .....           | 555   | 561   | 597   |
| <b>Budget authority and outlays, net:</b> |  |       |       |       |
| Discretionary:                            |  |       |       |       |
| 4000                                      | Budget authority, gross .....                  | 2,278 | 2,292 | 2,478 |
| Outlays, gross:                           |  |       |       |       |
| 4010                                      | Outlays from new discretionary authority ..... | 1,788 | 1,857 | 2,007 |
| 4011                                      | Outlays from discretionary balances .....      | 412   | 429   | 435   |
| 4020                                      | Outlays, gross (total) .....                   | 2,200 | 2,286 | 2,442 |
| 4180                                      | Budget authority, net (total) .....            | 2,278 | 2,292 | 2,478 |
| 4190                                      | Outlays, net (total) .....                     | 2,200 | 2,286 | 2,442 |

This program provides grants to States for child care subsidies for low-income working families and activities to improve child care quality. In FY 2014, it includes a new initiative to improve the quality of child care, including healthy and safety measures.

**Object Classification** (in millions of dollars)

| Identification code 75-1515-0-1-609 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.1                                | Advisory and assistance services .....              | 14          | 13      | 13        |
| 25.2                                | Other services from non-Federal sources .....       | 10          | .....   | .....     |
| 25.3                                | Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....          | 2,253       | 2,278   | 2,464     |
| 99.9                                | Total new obligations .....                         | 2,278       | 2,292   | 2,478     |

**SOCIAL SERVICES BLOCK GRANT**

For making grants to States pursuant to section 2002 of the Social Security Act, \$1,700,000,000: Provided, That notwithstanding subparagraph (B) of section 404(d)(2) of such Act, the applicable percent specified under such subparagraph for a State to carry out State programs pursuant to title XX-A of such Act shall be 10 percent.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75-1534-0-1-506     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Social Services Block Grant .....                          | 1,700       | 1,700   | 1,700     |
| 0002                                    | Health Profession Opportunity Grants .....                 | 85          | 85      | 85        |
| 0003                                    | Emergency Funding .....                                    | .....       | 500     | .....     |
| 0900                                    | Total new obligations .....                                | 1,785       | 2,285   | 1,785     |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....           | 30          | 30      | 30        |
| Budget authority:                       |  |             |         |           |
| Appropriations, mandatory:              |  |             |         |           |
| 1200                                    | Appropriation .....  | 1,785       | 1,785   | 1,785     |
| 1221                                    | Appropriations transferred from other accts [75-0140] .... | .....       | 500     | .....     |
| 1260                                    | Appropriations, mandatory (total) .....                    | 1,785       | 2,285   | 1,785     |
| 1930                                    | Total budgetary resources available .....                  | 1,815       | 2,315   | 1,815     |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year .....           | 30          | 30      | 30        |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....           | 580         | 572     | 893       |
| 3010                                    | Obligations incurred, unexpired accounts .....             | 1,785       | 2,285   | 1,785     |
| 3020                                    | Outlays (gross) .....                                      | -1,715      | -1,964  | -2,062    |
| 3041                                    | Recoveries of prior year unpaid obligations, expired ..... | -78         | .....   | .....     |
| 3050                                    | Unpaid obligations, end of year .....                      | 572         | 893     | 616       |
| Memorandum (non-add) entries:           |  |             |         |           |
| 3100                                    | Obligated balance, start of year .....                     | 580         | 572     | 893       |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| 3200                                      | Obligated balance, end of year .....       | 572   | 893   | 616   |
| <b>Budget authority and outlays, net:</b> |  |       |       |       |
| Mandatory:                                |  |       |       |       |
| 4090                                      | Budget authority, gross .....              | 1,785 | 2,285 | 1,785 |
| Outlays, gross:                           |  |       |       |       |
| 4100                                      | Outlays from new mandatory authority ..... | 1,359 | 1,498 | 1,398 |
| 4101                                      | Outlays from mandatory balances .....      | 356   | 466   | 664   |
| 4110                                      | Outlays, gross (total) .....               | 1,715 | 1,964 | 2,062 |
| 4180                                      | Budget authority, net (total) .....        | 1,785 | 2,285 | 1,785 |
| 4190                                      | Outlays, net (total) .....                 | 1,715 | 1,964 | 2,062 |

**Object Classification** (in millions of dollars)

| Identification code 75-1534-0-1-506 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....            | 16          | 16      | 16        |
| 41.0                                | Grants, subsidies, and contributions .....        | 1,768       | 2,268   | 1,768     |
| 99.9                                | Total new obligations .....                       | 1,785       | 2,285   | 1,785     |

**Employment Summary**

| Identification code 75-1534-0-1-506                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 7           | 7       | 7         |

**CHILDREN AND FAMILIES SERVICES PROGRAMS**

For carrying out, except as otherwise provided, the Runaway and Homeless Youth Act, the Head Start Act, the Child Abuse Prevention and Treatment Act, sections 303 and 313 of the Family Violence Prevention and Services Act, the Native American Programs Act of 1974, title II of the Child Abuse Prevention and Treatment and Adoption Reform Act of 1978 (adoption opportunities), the Abandoned Infants Assistance Act of 1988, part B-1 of title IV and sections 413, 1110, and 1115 of the Social Security Act; for making payments under the Community Services Block Grant Act ("CSBG Act"), sections 473B and 477(i) of the Social Security Act, and the Assets for Independence Act; and for necessary administrative expenses to carry out such Acts and titles I, IV, V, X, XI, XIV, XVI, and XX of the Social Security Act, the Act of July 5, 1960, the Low Income Home Energy Assistance Act of 1981, title IV of the Immigration and Nationality Act, section 501 of the Refugee Education Assistance Act of 1980, and for the administration of prior year obligations made by the Administration for Children and Families under the Developmental Disabilities Assistance and Bill of Rights Act and the Help America Vote Act of 2002, \$11,083,182,000, of which \$39,346,000, to remain available through September 30, 2015, shall be for grants to States for adoption incentive payments, as authorized by section 473A of the Social Security Act and may be made for adoptions completed before September 30, 2014: Provided, That \$9,621,070,000 shall be for making payments under the Head Start Act: Provided further, That of the amount in the previous proviso, \$8,190,694,000 shall be available for payments under section 640 of the Head Start Act of which \$25,000,000 shall be available for allocation by the Secretary to supplement activities described in paragraphs (7)(B) and (9) of section 641(c) of such Act under the Designation Renewal System, established under the authority of sections 641(c)(7), 645A(b)(12) and 645A(d) of such Act: Provided further, That amounts allocated to Head Start grantees at the discretion of the Secretary to supplement activities pursuant to the previous proviso shall not be included in the calculation of the "base grant" in subsequent fiscal years, as such term is used in section 640(a)(7)(A) of the Head Start Act: Provided further, That notwithstanding section 640 of the Head Start Act, of the amount described in the first proviso, \$1,430,376,000 shall be available to entities defined as eligible under section 645A(d) of such Act for expansion of Early Head Start programs as described in section 645(A) of such Act, for conversion of Head Start services to Early Head Start services as described in section 645(a)(5)(A) of such Act, and for new discretionary grants for high quality infant and toddler care through Early Head Start-Child Care Partnerships, and, notwithstanding section 645A(c)(2) of such Act, these funds are available to serve children under age 4: Provided further, That of the

## CHILDREN AND FAMILIES SERVICES PROGRAMS—Continued

amount made available in the immediately preceding proviso, up to \$15,000,000 shall be available for the Federal costs of administration and evaluation activities of the program described in such proviso: Provided further, That an Early Head Start agency awarded funds for an Early Head Start-Child Care Partnership after October 1, 2014, shall not be subject to the requirements of the system for designation renewal as defined by section 641 of the Head Start Act, for this award only, prior to 18 months after the date of such award: Provided further, That \$350,000,000 shall be for making payments under the CSBG Act: Provided further, That no more than \$350,000 shall be reserved under section 674(b)(3) of the CSBG Act, all of which shall be available solely for carrying out section 678E(b)(2) of such Act: Provided further, That, to the extent funds for the Assets for Independence Act are distributed as grant funds to a qualified entity and have not been expended by such entity within three years after the date of award, such funds may be recaptured and reallocated among other qualified entities, to remain available for five years: Provided further, That, notwithstanding section 414(e) of the Assets for Independence Act, the Secretary may award up to \$1,000,000 to support evidence-based research to evaluate the demonstration project: Provided further, That, in addition to amounts provided herein, \$5,762,000 shall be available from amounts available under section 241 of the PHS Act to carry out the provisions of section 1110 of the Social Security Act: Provided further, That amounts provided under this heading to carry out section 1110 of the Social Security Act, other than amounts made available for that purpose under the immediately preceding proviso, shall remain available until expended: Provided further, That to the extent Community Services Block Grant funds are distributed as grant funds by a State to an eligible entity as provided under the CSBG Act, and have not been expended by such entity, they shall remain with such entity for carryover into the next fiscal year for expenditure by such entity consistent with program purposes: Provided further, That the Secretary shall establish procedures regarding the disposition of intangible assets and program income that permit such assets acquired with, and program income derived from, grant funds authorized under section 680 of the CSBG Act to become the sole property of such grantees after a period of not more than 12 years after the end of the grant period for any activity consistent with section 680(a)(2)(A) of the CSBG Act: Provided further, That intangible assets in the form of loans, equity investments and other debt instruments, and program income may be used by grantees for any eligible purpose consistent with section 680(a)(2)(A) of the CSBG Act: Provided further, That these procedures shall apply to such grant funds made available after November 29, 1999: Provided further, That funds appropriated for section 680(a)(2) of the CSBG Act shall be available for financing construction and rehabilitation and loans or investments in private business enterprises owned by community development corporations: Provided further, That \$1,992,000 shall be for a human services case management system for federally declared disasters, to include a comprehensive national case management contract and Federal costs of administering the system: Provided further, That section 303 (a)(2)(A)(i) of the Family Violence Prevention and Services Act shall not apply to amounts provided herein: Provided further, That up to \$2,000,000 shall be for improving the Public Assistance Reporting Information System, including grants to States to support data collection for a study of the system's effectiveness.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 75–1536–0–1–506                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0101 Head start .....   | 7,968       | 8,017   | 9,621     |
| 0102 Head Start Emergency Hurricane Sandy funding .....             |             | 15      | 50        |
| 0103 Runaway and homeless youth (basic centers) .....               | 53          | 54      | 53        |
| 0104 Transitional living .....                                      | 44          | 44      | 44        |
| 0105 Prevalence, Needs and Characteristics of Homeless Youth .....  |             |         | 3         |
| 0106 Education grants to reduce sexual abuse of runaway youth ..... | 18          | 18      | 18        |
| 0109 Child abuse State grants .....                                 | 26          | 26      | 26        |
| 0110 Child abuse discretionary activities .....                     | 26          | 26      | 26        |
| 0111 Community-based child abuse prevention .....                   | 42          | 42      | 42        |

|  |       |        |        |
|--|-------|--------|--------|
| 0112 Child welfare services .....  | 281   | 282    | 281    |
| 0113 Child welfare training, research, or demonstration projects .....           | 26    | 26     | 26     |
| 0114 Adoption opportunities .....  | 39    | 40     | 39     |
| 0115 Abandoned infants assistance .....  | 12    | 12     | 11     |
| 0116 Adoption incentives .....   | 42    | 40     | 39     |
| 0117 Independent living training vouchers .....                                  | 45    | 45     | 45     |
| 0119 State councils on developmental disabilities .....                          | 75    | 75     |        |
| 0120 Protection and advocacy .....   | 41    | 41     |        |
| 0121 Projects of national significance .....                                     | 8     | 9      |        |
| 0122 University centers for excellence .....                                     | 39    | 39     |        |
| 0123 Voting access for individuals with disabilities .....                       | 5     | 5      |        |
| 0124 Native American programs .....  | 49    | 49     | 49     |
| 0125 Social services and income maintenance research .....                       |       |        | 44     |
| 0128 Federal administration .....  | 199   | 205    | 204    |
| 0129 Center for faith-based and community initiatives .....                      | 1     | 1      | 1      |
| 0131 Disaster human services case management .....                               | 2     | 2      | 2      |
| 0191 Direct program activities, subtotal .....                                   | 9,041 | 9,113  | 10,624 |
| 0301 Community services block grant .....  | 677   | 682    | 350    |
| 0303 Rural community facilities .....  | 5     | 5      |        |
| 0304 Community services discretionary (JOLI & CED) .....                         | 30    | 30     |        |
| 0306 Assets for independence .....   | 20    | 20     | 19     |
| 0308 Domestic violence hotline .....   | 3     | 3      | 5      |
| 0309 Family violence prevention and services .....                               | 129   | 131    | 135    |
| 0391 Direct program activities, subtotal .....                                   | 864   | 871    | 509    |
| 0400 Total, direct program .....   | 9,905 | 9,984  | 11,133 |
| 0799 Total direct obligations .....  | 9,905 | 9,984  | 11,133 |
| 0801 Reimbursable program .....  | 53    | 25     | 25     |
| 0809 Reimbursable program activities, subtotal .....                             | 53    | 25     | 25     |
| 0900 Total new obligations .....   | 9,958 | 10,009 | 11,158 |
| <b>Budgetary Resources:</b>  |       |        |        |
| Unobligated balance:   |       |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                            | 2     | 3      | 88     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                  | 2     | 3      |        |
| 1021 Recoveries of prior year unpaid obligations .....                           | 3     |        |        |
| 1050 Unobligated balance (total) .....   | 5     | 3      | 88     |
| Budget authority:  |       |        |        |
| Appropriations, discretionary:   |       |        |        |
| 1100 Appropriation .....   | 9,927 | 9,969  | 11,083 |
| 1121 Discretionary Emergency pursuant to 2011 Budget Control Act [75–0140] ..... |       | 100    |        |
| 1130 Appropriations permanently reduced .....                                    | –19   |        |        |
| 1160 Appropriation, discretionary (total) .....                                  | 9,908 | 10,069 | 11,083 |
| Spending authority from offsetting collections, discretionary:                   |       |        |        |
| 1700 Collected .....   | 46    | 24     | 24     |
| 1701 Change in uncollected payments, Federal sources .....                       | 5     |        |        |
| 1750 Spending auth from offsetting collections, disc (total) .....               | 51    | 24     | 24     |
| Spending authority from offsetting collections, mandatory:                       |       |        |        |
| 1800 Collected .....   | 1     | 1      | 1      |
| 1801 Change in uncollected payments, Federal sources .....                       | 1     |        |        |
| 1850 Spending auth from offsetting collections, mand (total) .....               | 2     | 1      | 1      |
| 1900 Budget authority (total) .....  | 9,961 | 10,094 | 11,108 |
| 1930 Total budgetary resources available .....                                   | 9,966 | 10,097 | 11,196 |
| Memorandum (non-add) entries:  |       |        |        |
| 1940 Unobligated balance expiring .....  | –5    |        |        |
| 1941 Unexpired unobligated balance, end of year .....                            | 3     | 88     | 38     |

## Change in obligated balance:

|   |        |        |         |
|---|--------|--------|---------|
| Unpaid obligations:   |        |        |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 6,703  | 6,626  | 6,793   |
| 3010 Obligations incurred, unexpired accounts .....               | 9,958  | 10,009 | 11,158  |
| 3020 Outlays (gross) .....  | –9,909 | –9,842 | –10,461 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –3     |        |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –123   |        |         |
| 3050 Unpaid obligations, end of year .....                        | 6,626  | 6,793  | 7,490   |
| Uncollected payments:   |        |        |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –7     | –10    | –10     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –6     |        |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 3      |        |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –10    | –10    | –10     |
| Memorandum (non-add) entries:                                     |        |        |         |
| 3100 Obligated balance, start of year .....                       | 6,696  | 6,616  | 6,783   |
| 3200 Obligated balance, end of year .....                         | 6,616  | 6,783  | 7,480   |

## Budget authority and outlays, net:

|   |       |        |        |
|---|-------|--------|--------|
| Discretionary:                                      |       |        |        |
| 4000 Budget authority, gross .....                  | 9,959 | 10,093 | 11,107 |
| Outlays, gross:                                     |       |        |        |
| 4010 Outlays from new discretionary authority ..... | 4,180 | 4,217  | 4,681  |



|      |  |       |        |        |
|------|--|-------|--------|--------|
| 4011 | Outlays from discretionary balances .....  | 5,726 | 5,623  | 5,778  |
| 4020 | Outlays, gross (total) .....   | 9,906 | 9,840  | 10,459 |
|      | Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from:                      |       |        |        |
| 4030 | Federal sources .....  | -49   | -18    | -18    |
| 4033 | Non-Federal sources .....  |       | -6     | -6     |
| 4040 | Offsets against gross budget authority and outlays (total) ....  | -49   | -24    | -24    |
|      | Additional offsets against gross budget authority only:<br>Change in uncollected pymts, Fed sources, unexpired ..... | -5    |        |        |
| 4052 | Offsetting collections credited to expired accounts .....  | 3     |        |        |
| 4060 | Additional offsets against budget authority only (total) .....   | -2    |        |        |
| 4070 | Budget authority, net (discretionary) .....  | 9,908 | 10,069 | 11,083 |
| 4080 | Outlays, net (discretionary) .....   | 9,857 | 9,816  | 10,435 |
|      | Mandatory:   |       |        |        |
| 4090 | Budget authority, gross .....  | 2     | 1      | 1      |
|      | Outlays, gross:  |       |        |        |
| 4100 | Outlays from new mandatory authority .....   | 2     | 1      | 1      |
| 4101 | Outlays from mandatory balances .....  | 1     | 1      | 1      |
| 4110 | Outlays, gross (total) .....   | 3     | 2      | 2      |
|      | Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from:                      |       |        |        |
| 4120 | Federal sources .....  | -1    | -1     | -1     |
|      | Additional offsets against gross budget authority only:<br>Change in uncollected pymts, Fed sources, unexpired ..... | -1    |        |        |
| 4170 | Outlays, net (mandatory) .....   | 2     | 1      | 1      |
| 4180 | Budget authority, net (total) .....  | 9,908 | 10,069 | 11,083 |
| 4190 | Outlays, net (total) .....   | 9,859 | 9,817  | 10,436 |

The request prioritizes investments in early learning to improve outcomes for children. A total of \$9.6 billion is requested to support an early learning initiative to expand access to high quality infant and toddler care, maintain the number of children served in Head Start, and support the transition between new and incumbent Head Start grantees in the Designation Renewal System. This Budget also requests an additional \$7 million for family violence prevention, \$35 million for an initiative to address marriage disincentives, and \$3 million to study the prevalence, needs and characteristics of homeless youth. This request discontinues funding for the Rural Communities Facilities and the Community Economic Development programs.

**Object Classification** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 75-1536-0-1-506                             | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 110         | 116     | 121       |
| 11.3 Other than full-time permanent .....                       | 4           | 5       | 5         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 116         | 123     | 128       |
| 12.1 Civilian personnel benefits .....                          | 30          | 32      | 33        |
| 21.0 Travel and transportation of persons .....                 | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 13          | 14      | 14        |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 4         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 234         | 241     | 246       |
| 25.2 Other services from non-Federal sources .....              | 5           | 4       | 4         |
| 25.3 Other goods and services from Federal sources .....        | 47          | 49      | 49        |
| 25.4 Operation and maintenance of facilities .....              | 2           | 2       | 2         |
| 25.5 Research and development contracts .....                   | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 9,446       | 9,507   | 10,645    |
| 99.0 Direct obligations .....                                   | 9,905       | 9,984   | 11,133    |
| 99.0 Reimbursable obligations .....                             | 53          | 25      | 25        |
| 99.9 Total new obligations .....                                | 9,958       | 10,009  | 11,158    |

**Employment Summary**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 75-1536-0-1-506                        | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment ..... | 1,145       | 1,203   | 1,243     |
| 1101 Direct military average strength employment .....     | 4           | 6       | 6         |

|  |    |    |    |
|--|----|----|----|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 10 | 10 | 10 |
|--|----|----|----|

**CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE****Program and Financing** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 75-1553-0-1-609                                | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Training and technical assistance .....                       | 14          | 12      | 12        |
| 0002 Federal parent locator service .....                          | 25          | 25      | 25        |
| 0004 Welfare research .....  | 15          | 15      | 15        |
| 0799 Total direct obligations .....                                | 54          | 52      | 52        |
| 0801 Reimbursable program FPLS REIMB .....                         | 21          | 26      | 26        |
| 0900 Total new obligations .....                                   | 75          | 78      | 78        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 7           | 7       | 7         |
| 1021 Recoveries of prior year unpaid obligations .....             | 3           |         |           |
| 1050 Unobligated balance (total) .....                             | 10          | 7       | 7         |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 52          | 52      | 52        |
| 1260 Appropriations, mandatory (total) .....                       | 52          | 52      | 52        |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 20          | 26      | 26        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 20          | 26      | 26        |
| 1900 Budget authority (total) .....                                | 72          | 78      | 78        |
| 1930 Total budgetary resources available .....                     | 82          | 85      | 85        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 7           | 7       | 7         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 61          | 60      | 52        |
| 3010 Obligations incurred, unexpired accounts .....                | 75          | 78      | 78        |
| 3020 Outlays (gross) .....   | -73         | -86     | -84       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -3          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 60          | 52      | 46        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -5          | -5      | -5        |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -5          | -5      | -5        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 56          | 55      | 47        |
| 3200 Obligated balance, end of year .....                          | 55          | 47      | 41        |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                                   | 72  | 78  | 78  |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                      | 29  | 15  | 15  |
| 4101 Outlays from mandatory balances .....                           | 44  | 71  | 69  |
| 4110 Outlays, gross (total) .....                                    | 73  | 86  | 84  |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4120 Federal sources .....   | -19 | -25 | -25 |
| 4123 Non-Federal sources .....                                       | -1  | -1  | -1  |
| 4130 Offsets against gross budget authority and outlays (total) .... | -20 | -26 | -26 |
| 4160 Budget authority, net (mandatory) .....                         | 52  | 52  | 52  |
| 4170 Outlays, net (mandatory) .....                                  | 53  | 60  | 58  |
| 4180 Budget authority, net (total) .....                             | 52  | 52  | 52  |
| 4190 Outlays, net (total) .....                                      | 53  | 60  | 58  |

This account provides funding for research and technical assistance activities established by the Personal Responsibility and Work Opportunity Reconciliation Act of 1996 (P.L. 104-193), as amended by the Deficit Reduction Act of 2005 (P.L. 109-171). Since 2010, Welfare Research has been temporarily reauthorized by a series of Acts and is currently authorized through March 27, 2013, in the Continuing Appropriations Resolution, 2013 (P.L. 112-175).

## CHILDREN'S RESEARCH AND TECHNICAL ASSISTANCE—Continued

## Object Classification (in millions of dollars)

| Identification code 75–1553–0–1–609                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 7           | 7       | 7         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                        | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....              | 13          | 11      | 11        |
| 25.2 Other services from non-Federal sources .....       | 15          | 13      | 13        |
| 25.3 Other goods and services from Federal sources ..... | 7           | 6       | 6         |
| 25.7 Operation and maintenance of equipment .....        | 4           | 7       | 7         |
| 41.0 Grants, subsidies, and contributions .....          | 2           | 2       | 2         |
| 99.0 Direct obligations .....                            | 54          | 52      | 52        |
| 99.0 Reimbursable obligations .....                      | 21          | 26      | 26        |
| 99.9 Total new obligations .....                         | 75          | 78      | 78        |

## Employment Summary

| Identification code 75–1553–0–1–609                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 62          | 70      | 70        |

## PAYMENTS FOR FOSTER CARE AND PERMANENCY

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, \$4,806,000,000.

For carrying out, except as otherwise provided, title IV-E of the Social Security Act, for the first quarter of fiscal year 2015, \$2,200,000,000.

For making, after May 31 of the current fiscal year, payments to States or other non-Federal entities under section 474 of title IV-E of the Social Security Act, for the last 3 months of the current fiscal year for unanticipated costs, incurred for the current fiscal year, such sums as may be necessary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75–1545–0–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Foster care .....                               | 4,180       | 4,286   | 4,279     |
| 0002 Independent living .....                        | 140         | 140     | 140       |
| 0004 Adoption assistance .....                       | 2,296       | 2,369   | 2,463     |
| 0005 Guardianship .....                              | 74          | 123     | 124       |
| 0006 Tribal & TA .....                               | 3           | 3       | 3         |
| 0900 Total new obligations .....                     | 6,693       | 6,921   | 7,009     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 5,156       | 4,821   | 4,809     |
| 1260 Appropriations, mandatory (total) .....         | 5,156       | 4,821   | 4,809     |
| Advance appropriations, mandatory:                   |             |         |           |
| 1270 Advance appropriation .....                     | 1,850       | 2,100   | 2,200     |
| 1280 Advanced appropriation, mandatory (total) ..... | 1,850       | 2,100   | 2,200     |
| 1900 Budget authority (total) .....                  | 7,006       | 6,921   | 7,009     |
| 1930 Total budgetary resources available .....       | 7,006       | 6,921   | 7,009     |
| Memorandum (non-add) entries:                        |             |         |           |
| 1940 Unobligated balance expiring .....              | –313        |         |           |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 1,218  | 979    | 1,153  |
| 3010 Obligations incurred, unexpired accounts .....             | 6,693  | 6,921  | 7,009  |
| 3011 Obligations incurred, expired accounts .....               | 2      |        |        |
| 3020 Outlays (gross) .....                                      | –6,847 | –6,744 | –6,899 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –87    | –3     | –4     |
| 3050 Unpaid obligations, end of year .....                      | 979    | 1,153  | 1,259  |

## Memorandum (non-add) entries:

|   |       |       |       |
|---|-------|-------|-------|
| 3100 Obligated balance, start of year ..... | 1,218 | 979   | 1,153 |
| 3200 Obligated balance, end of year .....   | 979   | 1,153 | 1,259 |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Mandatory:                                      |       |       |       |
| 4090 Budget authority, gross .....              | 7,006 | 6,921 | 7,009 |
| Outlays, gross:                                 |       |       |       |
| 4100 Outlays from new mandatory authority ..... | 5,878 | 6,027 | 6,113 |
| 4101 Outlays from mandatory balances .....      | 969   | 717   | 786   |
| 4110 Outlays, gross (total) .....               | 6,847 | 6,744 | 6,899 |
| 4180 Budget authority, net (total) .....        | 7,006 | 6,921 | 7,009 |
| 4190 Outlays, net (total) .....                 | 6,847 | 6,744 | 6,899 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 7,006       | 6,921   | 7,009     |
| Outlays .....                           | 6,847       | 6,744   | 6,899     |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 2         |
| Outlays .....                           |             |         | 2         |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 7,006       | 6,921   | 7,011     |
| Outlays .....                           | 6,847       | 6,744   | 6,901     |

This account provides formula grants for Foster Care, Adoption Assistance, Guardianship Assistance, and the Chafee Foster Care Independence Program as well as technical assistance and implementation services for Tribal programs.

**Foster Care.**—The proposed level will support eligible low-income children who must be placed outside the home. An average of 147,300 children per month are estimated to be served in FY 2014.

**Adoption Assistance.**—The proposed funding level will support subsidies for families adopting eligible low-income children with special needs. An average of 450,700 children per month are estimated to be served in FY 2014.

**Guardianship Assistance.**—The proposed funding level will provide payments for relatives taking legal guardianship of eligible children who have been in foster care. An average of 24,200 children per month are estimated to be served in FY 2014.

## Object Classification (in millions of dollars)

| Identification code 75–1545–0–1–609                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 15          | 17      | 22        |
| 25.3 Other goods and services from Federal sources ..... | 1           |         |           |
| 41.0 Grants, subsidies, and contributions .....          | 6,676       | 6,903   | 6,986     |
| 99.9 Total new obligations .....                         | 6,693       | 6,921   | 7,009     |

## Employment Summary

| Identification code 75–1545–0–1–609                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 4       | 4         |

## PAYMENTS FOR FOSTER CARE AND PERMANENCY

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–1545–4–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Foster care .....                               |             |         | 2         |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 2         |

|   |  |  |    |
|---|--|--|----|
| <b>Budgetary Resources:</b>               |  |  |    |
| Budget authority:                         |  |  |    |
| Appropriations, mandatory:                |  |  |    |
| 1200                                      | Appropriation .....                            |  | 2  |
| 1260                                      | Appropriations, mandatory (total) .....        |  | 2  |
| 1900                                      | Budget authority (total) .....                 |  | 2  |
| 1930                                      | Total budgetary resources available .....      |  | 2  |
| <b>Change in obligated balance:</b>       |  |  |    |
| Unpaid obligations:                       |  |  |    |
| 3010                                      | Obligations incurred, unexpired accounts ..... |  | 2  |
| 3020                                      | Outlays (gross) .....                          |  | -2 |
| <b>Budget authority and outlays, net:</b> |  |  |    |
| Mandatory:                                |  |  |    |
| 4090                                      | Budget authority, gross .....                  |  | 2  |
| Outlays, gross:                           |  |  |    |
| 4100                                      | Outlays from new mandatory authority .....     |  | 2  |
| 4180                                      | Budget authority, net (total) .....            |  | 2  |
| 4190                                      | Outlays, net (total) .....                     |  | 2  |

This request continues support for the proposal to require that child support payments made on behalf of youth in foster care are used in the best interest of the child, rather than as an offset to state and federal child welfare costs.

## ADMINISTRATION FOR COMMUNITY LIVING

### Federal Funds

#### AGING AND DISABILITY SERVICES PROGRAMS

##### (INCLUDING TRANSFER OF FUNDS)

For carrying out, to the extent not otherwise provided, the Older Americans Act of 1965 ("OAA"), titles III and XXIX of the PHS Act, section 119 of the Medicare Improvements for Patients and Providers Act of 2008, section 6021(d) of the Deficit Reduction Act of 2005, title XX-B of the Social Security Act, the Developmental Disabilities Assistance and Bill of Rights Act, parts 2 and 5 of subtitle D of title II of the Help America Vote Act of 2002, and for department-wide coordination of policy and program activities that assist individuals with disabilities, \$2,042,640,000, together with \$52,115,000 to be transferred from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund to carry out section 4360 of the Omnibus Budget Reconciliation Act of 1990: Provided, That amounts appropriated under this heading may be used for grants to States under section 361 of the OAA only for disease prevention and health promotion programs and activities which have been demonstrated through rigorous evaluation to be evidence-based and effective: Provided further, That, notwithstanding section 206(g) of the OAA, up to one percent of amounts appropriated to carry out programs authorized under title III of such Act shall be available for conducting evaluations, training and technical assistance: Provided further, That none of the funds provided shall be used to carry out sections 1701 and 1703 of the PHS Act (with respect to chronic disease self-management activity grants), except that such funds may be used for necessary expenses associated with administering any such grants awarded prior to the date of the enactment of this Act: Provided further, That notwithstanding any other provision of this Act, funds made available under this heading to carry out section 311 of the OAA may be transferred to the Secretary of Agriculture in accordance with such section: Provided further, That, of the amounts provided under this heading, \$380,000,000 shall be available for carrying out title V of the OAA: Provided further, That, with respect to the previous proviso, such funds shall be available through June 30, 2015, and may be recaptured and reobligated in accordance with section 517(c) of the OAA.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 75–0142–0–1–506  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                    |             |         |           |
| 0101 Home and community-based supportive services .....                    | 367         | 369     | 367       |
| 0102 Preventive health services .....                                      | 21          | 21      | 21        |
| 0103 National family caregiver support program .....                       | 154         | 155     | 154       |
| 0104 Native American caregiver support program .....                       | 6           | 6       | 6         |
| 0105 Congregate nutrition services .....                                   | 439         | 442     | 439       |
| 0106 Home-delivered nutrition services .....                               | 217         | 218     | 217       |
| 0107 Nutrition services incentive program .....                            | 158         | 159     | 160       |
| 0108 Native American nutrition and supportive services .....               | 28          | 28      | 28        |
| 0110 Aging network support activities .....                                | 8           | 8       | 8         |
| 0111 Long-term care ombudsmen program .....                                | 17          | 17      | 17        |
| 0112 Prevention of elder abuse and neglect .....                           | 5           | 5       | 5         |
| 0113 Alzheimer's disease demonstration grants .....                        | 4           | 4       | 9         |
| 0114 Program administration .....  | 23          | 23      | 30        |
| 0115 Lifespan respite care program .....                                   | 2           | 2       | 2         |
| 0117 Aging and Disability Resource Centers (ADRC) .....                    | 16          | 16      | 10        |
| 0118 Chronic Disease Self-Management Education Program .....               | 10          |         | 10        |
| 0121 Senior Medicare Patrol program .....                                  | 9           | 9       | 9         |
| 0122 Elder Rights Support Activities .....                                 | 4           | 4       | 4         |
| 0126 National Clearinghouse Long-Term Care Information .....               | 3           |         | 3         |
| 0127 Alzheimer's Disease Awareness and Education .....                     | 4           |         | 4         |
| 0128 Adult Protective Services .....                                       | 6           |         | 8         |
| 0129 Senior Community Service Employment Program .....                     |             |         | 380       |
| 0131 State Health Insurance Assistance Program .....                       |             |         | 26        |
| 0133 Alzheimer's Disease Initiative - Services .....                       |             |         | 11        |
| 0135 Paralysis Resource Center .....                                       |             |         | 7         |
| 0136 Voting Access for People With Disabilities .....                      |             |         | 5         |
| 0138 State Councils on Developmental Disabilities .....                    |             |         | 75        |
| 0139 Protection and Advocacy .....   |             |         | 41        |
| 0141 University Centers for Excellence in Developmental Disabilities ..... |             |         | 39        |
| 0142 Projects of National Significance .....                               |             |         | 8         |
| 0300 Total, direct program .....   | 1,501       | 1,486   | 2,103     |
| 0799 Total direct obligations .....  | 1,501       | 1,486   | 2,103     |
| 0801 Reimbursable program - HCFA and Other .....                           | 11          | 11      | 11        |
| 0802 ADRC's - MIPPA (TRA) .....  |             | 5       |           |
| 0803 Area Agencies on Aging - MIPPA (TRA) .....                            |             | 8       |           |
| 0804 MIPPA - Natl Center Benefits Outreach Enrollment .....                |             | 5       |           |
| 0805 SHIPs .....   |             |         | 26        |
| 0899 Total reimbursable obligations .....                                  | 11          | 29      | 37        |
| 0900 Total new obligations .....   | 1,512       | 1,515   | 2,140     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                      |             | 1       | 5         |
| 1021 Recoveries of prior year unpaid obligations .....                     | 2           |         |           |
| 1050 Unobligated balance (total) .....                                     | 2           | 1       | 5         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 1,474       | 1,480   | 2,043     |
| 1120 Appropriations transferred to other accts [12–3507] .....             | -2          |         |           |
| 1130 Appropriations permanently reduced .....                              | -3          |         |           |
| 1160 Appropriation, discretionary (total) .....                            | 1,469       | 1,480   | 2,043     |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 13          | 10      | 10        |
| 1221 PPHF Appropriations transferred from other accounts [75–0116] .....   | 20          |         | 25        |
| 1260 Appropriations, mandatory (total) .....                               | 33          | 10      | 35        |
| Spending authority from offsetting collections, discretionary:             |             |         |           |
| 1700 Collected .....   |             |         | 52        |
| Spending auth from offsetting collections, disc (total) .....              |             |         |           |
| 1750 Spending authority from offsetting collections, mandatory:            |             |         | 52        |
| 1800 Collected .....   | 22          | 29      | 11        |
| 1801 Change in uncollected payments, Federal sources .....                 | -12         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....         | 10          | 29      | 11        |
| 1900 Budget authority (total) .....  | 1,512       | 1,519   | 2,141     |
| 1930 Total budgetary resources available .....                             | 1,514       | 1,520   | 2,146     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                                    | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                      | 1           | 5       | 6         |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                      | 679         | 691     | 707       |
| 3010 Obligations incurred, unexpired accounts .....                        | 1,512       | 1,515   | 2,140     |
| 3020 Outlays (gross) .....   | -1,493      | -1,499  | -1,889    |

AGING AND DISABILITY SERVICES PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 75-0142-0-1-506                     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....   | -2          |         |           |
| 3041  | Recoveries of prior year unpaid obligations, expired .....     | -5          |         |           |
| 3050  | Unpaid obligations, end of year .....                          | 691         | 707     | 958       |
| Uncollected payments:                                   |  |             |         |           |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -37         | -18     | -18       |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....      | 12          |         |           |
| 3071  | Change in uncollected pymts, Fed sources, expired .....        | 7           |         |           |
| 3090  | Uncollected pymts, Fed sources, end of year .....              | -18         | -18     | -18       |
| Memorandum (non-add) entries:                           |  |             |         |           |
| 3100  | Obligated balance, start of year .....                         | 642         | 673     | 689       |
| 3200  | Obligated balance, end of year .....                           | 673         | 689     | 940       |
| <b>Budget authority and outlays, net:</b>               |  |             |         |           |
| Discretionary:  |  |             |         |           |
| 4000  | Budget authority, gross .....                                  | 1,469       | 1,480   | 2,095     |
| Outlays, gross:   |  |             |         |           |
| 4010  | Outlays from new discretionary authority .....                 | 936         | 903     | 1,298     |
| 4011  | Outlays from discretionary balances .....                      | 522         | 554     | 567       |
| 4020  | Outlays, gross (total) .....                                   | 1,458       | 1,457   | 1,865     |
| Offsets against gross budget authority and outlays:     |  |             |         |           |
| Offsetting collections (collected) from:                |  |             |         |           |
| 4030  | Federal sources .....  |             |         | -52       |
| Mandatory:  |  |             |         |           |
| 4090  | Budget authority, gross .....                                  | 43          | 39      | 46        |
| Outlays, gross:   |  |             |         |           |
| 4100  | Outlays from new mandatory authority .....                     | 3           | 30      | 15        |
| 4101  | Outlays from mandatory balances .....                          | 32          | 12      | 9         |
| 4110  | Outlays, gross (total) .....                                   | 35          | 42      | 24        |
| Offsets against gross budget authority and outlays:     |  |             |         |           |
| Offsetting collections (collected) from:                |  |             |         |           |
| 4120  | Federal sources .....  | -28         | -29     | -11       |
| Additional offsets against gross budget authority only: |  |             |         |           |
| 4140  | Change in uncollected pymts, Fed sources, unexpired .....      | 12          |         |           |
| 4142  | Offsetting collections credited to expired accounts .....      | 6           |         |           |
| 4150  | Additional offsets against budget authority only (total) ..... | 18          |         |           |
| 4160  | Budget authority, net (mandatory) .....                        | 33          | 10      | 35        |
| 4170  | Outlays, net (mandatory) .....                                 | 7           | 13      | 13        |
| 4180  | Budget authority, net (total) .....                            | 1,502       | 1,490   | 2,078     |
| 4190  | Outlays, net (total) .....                                     | 1,465       | 1,470   | 1,826     |

Note.—The reimbursable program (HCFAC) in the Administration on Aging (AoA) reflects the actual distribution of the allocation account for 2012. Future allocations will be determined annually, and are not estimated in the current budget.

This account provides funding for formula grant programs that provide nutrition, supportive services and caregiver support services through a network of aging-related organizations to help predominantly older Americans, with the assistance of their caregivers, maintain their dignity and independence in their homes and communities. The Budget proposes to transfer the Senior Community Services Employment Program (SCSEP), authorized under title V of the Older Americans Act, from the Department of Labor to the Department of Health and Human Services (HHS), which administers the remainder of the Older Americans Act. SCSEP offers participants work-based community service training so that they can gain on-the-job experience and prepare to enter or to re-enter the workforce. As a part of the proposed transfer to HHS, the Department will make improving program performance, including targeting the SCSEP program to people with the greatest need, a priority. This process is expected to include better aligning the program's eligibility, income, and other requirements with those of other HHS income maintenance programs

## Object Classification (in millions of dollars)

| Identification code 75-0142-0-1-506 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 12          | 12      | 19        |
| 12.1                                | Civilian personnel benefits .....                 | 3           | 3       | 5         |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 23.1 | Rental payments to GSA .....                        | 2     | 2     | 4     |
| 25.1 | Advisory and assistance services .....              | 18    | 13    | 28    |
| 25.3 | Other goods and services from Federal sources ..... | 8     | 7     | 9     |
| 41.0 | Grants, subsidies, and contributions .....          | 1,458 | 1,449 | 2,038 |
| 99.0 | Direct obligations .....                            | 1,501 | 1,486 | 2,103 |
| 99.0 | Reimbursable obligations .....                      | 11    | 29    | 37    |
| 99.9 | Total new obligations .....                         | 1,512 | 1,515 | 2,140 |

## Employment Summary

| Identification code 75-0142-0-1-506 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 111         | 111     | 188       |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 8           | 8       | 8         |

## DEPARTMENTAL MANAGEMENT

## Federal Funds

## OFFICE OF THE SECRETARY

## GENERAL DEPARTMENTAL MANAGEMENT

*For necessary expenses, not otherwise provided, for general departmental management, including hire of passenger motor vehicles, and for carrying out titles II, III, XVII, and XXI of the PHS Act, the United States-Mexico Border Health Commission Act, and research studies under section 1110 of the Social Security Act, \$301,435,000, together with \$119,841,000 from the amounts available under section 241 of the PHS Act to carry out national health or human services research and evaluation activities: Provided, That of the funds made available under this heading, \$1,750,000 is for strengthening the Department's acquisition workforce capacity and capabilities: Provided further, That with respect to the previous proviso, such funds shall be available for training, recruitment, retention and hiring members of the acquisition workforce as defined by 41 U.S.C. 1703: Provided further, That, with respect to the second proviso, such funds shall be available for information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management: Provided further, That of the amounts provided under this heading from amounts available under section 241 of the PHS Act, no less than \$4,232,000 shall be available to carry out evaluations (including longitudinal evaluations) of teenage pregnancy prevention approaches, and \$53,891,000 shall be for minority AIDS prevention and treatment activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75-9912-0-1-551     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | General Departmental Management .....                 | 475         | 477     | 301       |
| 0004                                    | Prevention & Public Health Fund (PPHF) (MAND) .....   | 30          |         | 105       |
| 0799                                    | Total direct obligations .....                        | 505         | 477     | 406       |
| 0801                                    | GDM (PHS Evaluation & Collected) .....                | 166         | 166     | 166       |
| 0802                                    | HCFAC (Mandatory) .....                               | 9           | 9       | 9         |
| 0803                                    | PHS Evaluation (Collected) .....                      | 69          | 70      | 120       |
| 0899                                    | Total reimbursable obligations .....                  | 244         | 245     | 295       |
| 0900                                    | Total new obligations .....                           | 749         | 722     | 701       |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....      | 2           | 3       | 2         |
| 1021                                    | Recoveries of prior year unpaid obligations .....     | 1           |         |           |
| 1050                                    | Unobligated balance (total) .....                     | 3           | 3       | 2         |
| Budget authority:                       |   |             |         |           |
| Appropriations, discretionary:          |   |             |         |           |
| 1100                                    | Appropriation .....                                   | 475         | 477     | 301       |
| 1130                                    | Appropriations permanently reduced (Rescission) ..... | -1          |         |           |
| 1160                                    | Appropriation, discretionary (total) .....            | 474         | 477     | 301       |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 1221 | Appropriations, mandatory:<br>Appropriations transferred from other accts [75–0116] ....                       | 30    | ..... | 105   |
| 1260 | Appropriations, mandatory (total) .....  | 30    | ..... | 105   |
| 1700 | Spending authority from offsetting collections, discretionary:<br>Collected (PHS Evaluation & Collected) ..... | 113   | 235   | 286   |
| 1701 | Change in uncollected payments, Federal sources (PHS<br>Evaluation & Collected) .....                          | 125   | ..... | ..... |
| 1750 | Spending auth from offsetting collections, disc (total) .....  | 238   | 235   | 286   |
| 1800 | Spending authority from offsetting collections, mandatory:<br>Collected HCFAC (Mandatory) .....                | ..... | 9     | 9     |
| 1801 | Change in uncollected payments, Federal sources .....  | 9     | ..... | ..... |
| 1850 | Spending auth from offsetting collections, mand (total) .....  | 9     | 9     | 9     |
| 1900 | Budget authority (total) .....   | 751   | 721   | 701   |
| 1930 | Total budgetary resources available .....  | 754   | 724   | 703   |
| 1940 | Memorandum (non-add) entries:<br>Unobligated balance expiring .....  | –2    | ..... | ..... |
| 1941 | Unexpired unobligated balance, end of year .....   | 3     | 2     | 2     |

|                                     |  |      |       |       |
|-------------------------------------|--|------|-------|-------|
| <b>Change in obligated balance:</b> |  |      |       |       |
| Unpaid obligations:                 |  |      |       |       |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 717  | 623   | 710   |
| 3010                                | Obligations incurred, unexpired accounts .....               | 749  | 722   | 701   |
| 3011                                | Obligations incurred, expired accounts .....                 | 49   | ..... | ..... |
| 3020                                | Outlays (gross) .....  | –860 | –635  | –815  |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | –1   | ..... | ..... |
| 3041                                | Recoveries of prior year unpaid obligations, expired .....   | –31  | ..... | ..... |
| 3050                                | Unpaid obligations, end of year .....                        | 623  | 710   | 596   |
| Uncollected payments:               |  |      |       |       |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –226 | –233  | –233  |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | –134 | ..... | ..... |
| 3071                                | Change in uncollected pymts, Fed sources, expired .....      | 127  | ..... | ..... |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | –233 | –233  | –233  |
| Memorandum (non-add) entries:       |  |      |       |       |
| 3100                                | Obligated balance, start of year .....                       | 491  | 390   | 477   |
| 3200                                | Obligated balance, end of year .....                         | 390  | 477   | 363   |

|   |  |      |       |       |
|---|--|------|-------|-------|
| <b>Budget authority and outlays, net:</b>               |  |      |       |       |
| Discretionary:  |  |      |       |       |
| 4000  | Budget authority, gross .....                                  | 712  | 712   | 587   |
| Outlays, gross:   |  |      |       |       |
| 4010  | Outlays from new discretionary authority .....                 | 340  | 339   | 289   |
| 4011  | Outlays from discretionary balances .....                      | 485  | 271   | 490   |
| 4020  | Outlays, gross (total) .....                                   | 825  | 610   | 779   |
| Offsets against gross budget authority and outlays:     |  |      |       |       |
| Offsetting collections (collected) from:                |  |      |       |       |
| 4030  | Federal sources (PHS Evaluation & Collected) .....             | –219 | –235  | –286  |
| Additional offsets against gross budget authority only: |  |      |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | –125 | ..... | ..... |
| 4052  | Offsetting collections credited to expired accounts .....      | 106  | ..... | ..... |
| 4060  | Additional offsets against budget authority only (total) ..... | –19  | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....                    | 474  | 477   | 301   |
| 4080  | Outlays, net (discretionary) .....                             | 606  | 375   | 493   |
| Mandatory:  |  |      |       |       |
| 4090  | Budget authority, gross .....                                  | 39   | 9     | 114   |
| Outlays, gross:   |  |      |       |       |
| 4100  | Outlays from new mandatory authority .....                     | 16   | 9     | 23    |
| 4101  | Outlays from mandatory balances .....                          | 19   | 16    | 13    |
| 4110  | Outlays, gross (total) .....                                   | 35   | 25    | 36    |
| Offsets against gross budget authority and outlays:     |  |      |       |       |
| Offsetting collections (collected) from:                |  |      |       |       |
| 4120  | Federal sources (HCFAC Mandatory) .....                        | –34  | –9    | –9    |
| Additional offsets against gross budget authority only: |  |      |       |       |
| 4140  | Change in uncollected pymts, Fed sources, unexpired .....      | –9   | ..... | ..... |
| 4142  | Offsetting collections credited to expired accounts .....      | 34   | ..... | ..... |
| 4150  | Additional offsets against budget authority only (total) ..... | 25   | ..... | ..... |
| 4160  | Budget authority, net (mandatory) .....                        | 30   | ..... | 105   |
| 4170  | Outlays, net (mandatory) .....                                 | 1    | 16    | 27    |
| 4180  | Budget authority, net (total) .....                            | 504  | 477   | 406   |
| 4190  | Outlays, net (total) .....                                     | 607  | 391   | 520   |

Note.—The reimbursable program (HCFAC) in the General Departmental Management (GDM) account reflects the actual distribution of the allocation account for 2012. Future allocation will be determined annually, and are not estimated in the current budget.

General Departmental Management (GDM) funds activities that provide leadership, policy, legal, and administrative guidance to HHS components, and support research to develop policy initiatives and improve existing HHS programs. GDM also funds the activities of the Office of the Assistant Secretary for Health,

including adolescent health, disease prevention and health promotion, physical fitness and sports, minority health, research integrity, women's health, and programs funded through the Prevention and Public Health Fund. This includes funding the continuation of grants for medically accurate and age appropriate programs to reduce teen pregnancy, including funds for research, demonstration grants, and replication of programs that have been proven effective through rigorous evaluation to reduce teen pregnancy.

#### Object Classification (in millions of dollars)

| Identification code 75–9912–0–1–551 | 2012 actual  | 2013 CR | 2014 est. |     |
|-------------------------------------|--|---------|-----------|-----|
| Direct obligations:                 |  |         |           |     |
| Personnel compensation:             |  |         |           |     |
| 11.1                                | Full-time permanent .....                                  | 92      | 92        | 94  |
| 11.3                                | Other than full-time permanent .....                       | 12      | 12        | 12  |
| 11.5                                | Other personnel compensation .....                         | 3       | 3         | 3   |
| 11.7                                | Military personnel .....                                   | 4       | 4         | 4   |
| <hr/>                               |  |         |           |     |
| 11.9                                | Total personnel compensation .....                         | 111     | 111       | 113 |
| 12.1                                | Civilian personnel benefits .....                          | 28      | 29        | 28  |
| 12.2                                | Military personnel benefits .....                          | 2       | 2         | 2   |
| 21.0                                | Travel and transportation of persons .....                 | 5       | 5         | 4   |
| 23.1                                | Rental payments to GSA .....                               | 18      | 18        | 19  |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 4       | 4         | 3   |
| 24.0                                | Printing and reproduction .....                            | 1       | 1         | 1   |
| 25.1                                | Advisory and assistance services .....                     | 59      | 30        | 23  |
| 25.2                                | Other services from non-Federal sources .....              | 39      | 40        | 31  |
| 25.3                                | Other goods and services from Federal sources .....        | 75      | 75        | 48  |
| 25.4                                | Operation and maintenance of facilities .....              | 7       | 7         | 5   |
| 25.7                                | Operation and maintenance of equipment .....               | 4       | 4         | 4   |
| 26.0                                | Supplies and materials .....                               | 1       | 1         | 1   |
| 31.0                                | Equipment .....  | 1       | .....     | 1   |
| 41.0                                | Grants, subsidies, and contributions .....                 | 150     | 150       | 123 |
| <hr/>                               |  |         |           |     |
| 99.0                                | Direct obligations .....                                   | 505     | 477       | 406 |
| 99.0                                | Reimbursable obligations .....                             | 244     | 245       | 295 |
| <hr/>                               |  |         |           |     |
| 99.9                                | Total new obligations .....                                | 749     | 722       | 701 |

#### Employment Summary

| Identification code 75–9912–0–1–551 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 1,043       | 1,054   | 1,035     |
| 1101                                | Direct military average strength employment .....           | 40          | 37      | 37        |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 485         | 418     | 434       |
| 2101                                | Reimbursable military average strength employment .....     | 27          | 17      | 17        |

#### OFFICE FOR CIVIL RIGHTS

*For expenses necessary for the Office for Civil Rights, \$42,205,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 75–0135–0–1–751      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Direct program activity .....       | 41          | 41      | 42        |
| 0801 Reimbursable program activity ..... | 4           | 6       | 7         |
| 0900 Total new obligations .....         | 45          | 47      | 49        |

#### Budgetary Resources:

|  |   |    |    |    |
|--|---|----|----|----|
| Budget authority:  |   |    |    |    |
| Appropriations, discretionary:                                 |   |    |    |    |
| 1100   | Appropriation .....   | 41 | 41 | 42 |
| 1160   | Appropriation, discretionary (total) .....                    | 41 | 41 | 42 |
| Spending authority from offsetting collections, discretionary: |   |    |    |    |
| 1700   | Collected .....   | 4  | 6  | 7  |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 4  | 6  | 7  |
| 1900   | Budget authority (total) .....                                | 45 | 47 | 49 |

OFFICE FOR CIVIL RIGHTS—Continued  
Program and Financing—Continued

| Identification code 75-0135-0-1-751                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1930 Total budgetary resources available .....                       | 45          | 47      | 49        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 12          | 12      | 11        |
| 3010 Obligations incurred, unexpired accounts .....                  | 45          | 47      | 49        |
| 3020 Outlays (gross) .....   | -44         | -48     | -49       |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 12          | 11      | 11        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -1          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 1           |         |           |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 11          | 12      | 11        |
| 3200 Obligated balance, end of year .....                            | 12          | 11      | 11        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 45          | 47      | 49        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 37          | 39      | 41        |
| 4011 Outlays from discretionary balances .....                       | 7           | 9       | 8         |
| 4020 Outlays, gross (total) .....                                    | 44          | 48      | 49        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -1          |         |           |
| 4033 Non-Federal sources .....                                       | -4          | -6      | -7        |
| 4040 Offsets against gross budget authority and outlays (total) .... | -5          | -6      | -7        |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 1           |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 41          | 41      | 42        |
| 4080 Outlays, net (discretionary) .....                              | 39          | 42      | 42        |
| 4180 Budget authority, net (total) .....                             | 41          | 41      | 42        |
| 4190 Outlays, net (total) .....                                      | 39          | 42      | 42        |

The Office for Civil Rights funds activities that carry out the Department's civil rights nondiscrimination and health information privacy and security compliance programs.

## Object Classification (in millions of dollars)

| Identification code 75-0135-0-1-751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 21          | 22      | 23        |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 22          | 23      | 24        |
| 12.1 Civilian personnel benefits .....                   | 6           | 5       | 6         |
| 23.1 Rental payments to GSA .....                        | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....       | 3           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 5           | 6       | 5         |
| 25.4 Operation and maintenance of facilities .....       | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....        | 1           | 1       | 1         |
| 99.0 Direct obligations .....                            | 41          | 41      | 42        |
| 99.0 Reimbursable obligations .....                      | 4           | 6       | 7         |
| 99.9 Total new obligations .....                         | 45          | 47      | 49        |

## Employment Summary

| Identification code 75-0135-0-1-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 223         | 220     | 228       |
| 1101 Direct military average strength employment .....           | 1           | 2       | 2         |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 2           | 3       | 3         |

OFFICE OF THE NATIONAL COORDINATOR FOR HEALTH INFORMATION  
TECHNOLOGY

*For expenses necessary for the Office of the National Coordinator for Health Information Technology, including grants, contracts, and cooperative agreements for the development and advancement of interoperable health information technology, \$20,576,000: Provided, That in addition to amounts provided herein, \$56,307,000 shall be available from amounts available under section 241 of the PHS Act: Provided further, That health information technology user fees collected in FY 2014, as provided in this Act, shall be credited to this account as offsetting collections, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 75-0130-0-1-551                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Health information technology .....                           | 16          | 17      | 21        |
| 0002 Recovery Act activities .....                                 | 33          |         |           |
| 0799 Total direct obligations .....                                | 49          | 17      | 21        |
| 0801 Reimbursable program .....                                    | 12          | 7       | 7         |
| 0802 Reimbursable program: PHS evaluation .....                    | 44          | 45      | 57        |
| 0803 Reimbursable program activity: User Fee .....                 |             |         | 1         |
| 0899 Total reimbursable obligations .....                          | 56          | 52      | 65        |
| 0900 Total new obligations .....                                   | 105         | 69      | 86        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 1       | 1         |
| 1021 Recoveries of prior year unpaid obligations .....             | 30          |         |           |
| 1050 Unobligated balance (total) .....                             | 35          | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 16          | 17      | 21        |
| 1160 Appropriation, discretionary (total) .....                    | 16          | 17      | 21        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 PHS and Other .....   | 47          | 52      | 64        |
| 1700 User Fee .....  |             |         | 1         |
| 1701 Change in uncollected payments, Federal sources .....         | 9           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 56          | 52      | 65        |
| 1900 Budget authority (total) .....                                | 72          | 69      | 86        |
| 1930 Total budgetary resources available .....                     | 107         | 70      | 87        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 1       | 1         |

## Change in obligated balance:

|   |       |      |      |
|---|-------|------|------|
| Unpaid obligations:   |       |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,553 | 977  | 414  |
| 3010 Obligations incurred, unexpired accounts .....               | 105   | 69   | 86   |
| 3011 Obligations incurred, expired accounts .....                 | 1     |      |      |
| 3020 Outlays (gross) .....  | -648  | -632 | -441 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -30   |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -4    |      |      |
| 3050 Unpaid obligations, end of year .....                        | 977   | 414  | 59   |
| Uncollected payments:   |       |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -44   | -23  | -3   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -9    |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 30    | 20   |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -23   | -3   | -3   |
| Memorandum (non-add) entries:                                     |       |      |      |
| 3100 Obligated balance, start of year .....                       | 1,509 | 954  | 411  |
| 3200 Obligated balance, end of year .....                         | 954   | 411  | 56   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 72  | 69  | 86  |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 46  | 66  | 83  |
| 4011 Outlays from discretionary balances .....      | 599 | 563 | 358 |
| 4020 Outlays, gross (total) .....                   | 645 | 629 | 441 |

|   |   |     |     |
|---|---|-----|-----|
| Offsets against gross budget authority and outlays:     |   |     |     |
| Offsetting collections (collected) from:                |   |     |     |
| 4030  | Federal sources .....   | -78 | -52 |
| 4033  | Non-Federal sources .....                                       |     | -1  |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -78 | -52 |
| Additional offsets against gross budget authority only: |   |     |     |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -9  |     |
| 4052  | Offsetting collections credited to expired accounts .....       | 31  |     |
| 4060  | Additional offsets against budget authority only (total) .....  | 22  |     |
| 4070  | Budget authority, net (discretionary) .....                     | 16  | 17  |
| 4080  | Outlays, net (discretionary) .....                              | 567 | 577 |
| Mandatory:  |   |     |     |
| Outlays, gross:   |   |     |     |
| 4101  | Outlays from mandatory balances .....                           | 3   | 3   |
| 4180  | Budget authority, net (total) .....                             | 16  | 17  |
| 4190  | Outlays, net (total) .....                                      | 570 | 580 |

This program supports coordination, leadership, and development of Federal health information technology activities and Federal initiatives for the nationwide advancement of private and secure interoperable health information technology, in cooperation with participants in the health sector. The Office of the National Coordinator for Health Information Technology was established in the Health Information Technology for Economic and Clinical Health Act (P.L. 111-5, Title XIII), for the purpose of addressing strategic planning, coordination, and the analysis of key technical, economic and other issues related to the public and private adoption of health information technology.

**Object Classification** (in millions of dollars)

| Identification code 75-0130-0-1-551 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....   | 3       |           |
| 12.1                                | Civilian personnel benefits .....                   | 1       |           |
| 23.1                                | Rental payments to GSA .....                        | 3       | 2         |
| 25.1                                | Advisory and assistance services .....              |         | 1         |
| 25.2                                | Other services from non-Federal sources .....       | 5       | 6         |
| 25.3                                | Other goods and services from Federal sources ..... | 6       | 7         |
| 25.4                                | Operation and maintenance of facilities .....       | 2       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....          | 29      |           |
| 99.0                                | Direct obligations .....                            | 49      | 17        |
| 99.0                                | Reimbursable obligations .....                      | 56      | 52        |
| 99.9                                | Total new obligations .....                         | 105     | 69        |

**Employment Summary**

| Identification code 75-0130-0-1-551 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 158     | 190       |
| 1101                                | Direct military average strength employment .....     | 1       | 1         |

**OFFICE OF MEDICARE HEARINGS AND APPEALS**

*For expenses necessary for the Office of Medicare Hearings and Appeals, \$82,381,000, to be transferred in appropriate part from the Federal Hospital Insurance Trust Fund and the Federal Supplementary Medical Insurance Trust Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75-0139-0-1-551     | 2012 actual                   | 2013 CR | 2014 est. |
|---|-------------------------------|---------|-----------|
| <b>Obligations by program activity:</b> |                               |         |           |
| 0001                                    | Direct program activity ..... | 71      | 72        |

**Budgetary Resources:**

|  |   |    |    |
|--|---|----|----|
| Budget authority:  |   |    |    |
| Spending authority from offsetting collections, discretionary: |   |    |    |
| 1700   | Collected .....   | 72 | 72 |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 72 | 72 |
| 1930   | Total budgetary resources available .....                     | 72 | 72 |
| Memorandum (non-add) entries:                                  |   |    |    |
| 1940   | Unobligated balance expiring .....                            | -1 |    |

**Change in obligated balance:**

|                               |  |     |     |
|-------------------------------|--|-----|-----|
| Unpaid obligations:           |  |     |     |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....           | 15  | 14  |
| 3010                          | Obligations incurred, unexpired accounts .....             | 71  | 72  |
| 3011                          | Obligations incurred, expired accounts .....               | 1   |     |
| 3020                          | Outlays (gross) .....                                      | -70 | -86 |
| 3041                          | Recoveries of prior year unpaid obligations, expired ..... | -3  |     |
| 3050                          | Unpaid obligations, end of year .....                      | 14  |     |
| Memorandum (non-add) entries: |  |     |     |
| 3100                          | Obligated balance, start of year .....                     | 15  | 14  |
| 3200                          | Obligated balance, end of year .....                       | 14  |     |

**Budget authority and outlays, net:**

|   |  |     |     |
|---|--|-----|-----|
| Discretionary:                                      |  |     |     |
| 4000  | Budget authority, gross .....                  | 72  | 72  |
| Outlays, gross:                                     |  |     |     |
| 4010  | Outlays from new discretionary authority ..... | 62  | 72  |
| 4011  | Outlays from discretionary balances .....      | 8   | 14  |
| 4020  | Outlays, gross (total) .....                   | 70  | 86  |
| Offsets against gross budget authority and outlays: |  |     |     |
| Offsetting collections (collected) from:            |  |     |     |
| 4030  | Federal sources .....                          | -72 | -72 |
| 4190  | Outlays, net (total) .....                     | -2  | 14  |

This appropriation funds the operations of the Office of Medicare Hearings and Appeals (OMHA), as authorized by the Medicare Prescription Drug, Improvement, and Modernization Act of 2003. OMHA provides an independent and impartial forum for the adjudication of claims brought by or on behalf of Medicare beneficiaries related to their benefits and care.

**Object Classification** (in millions of dollars)

| Identification code 75-0139-0-1-551 | 2012 actual  | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....          | 39      | 42        |
| 12.1                                | Civilian personnel benefits .....                          | 12      | 13        |
| 22.0                                | Transportation of things .....                             |         | 1         |
| 23.1                                | Rental payments to GSA .....                               | 7       | 6         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1       | 3         |
| 25.1                                | Advisory and assistance services .....                     | 1       |           |
| 25.2                                | Other services from non-Federal sources .....              | 1       | 1         |
| 25.3                                | Other goods and services from Federal sources .....        | 9       | 5         |
| 25.4                                | Operation and maintenance of facilities .....              |         | 1         |
| 25.7                                | Operation and maintenance of equipment .....               |         | 1         |
| 26.0                                | Supplies and materials .....                               | 1       | 1         |
| 31.0                                | Equipment .....  |         | 2         |
| 99.9                                | Total new obligations .....                                | 71      | 72        |

**Employment Summary**

| Identification code 75-0139-0-1-551 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 466     | 490       |

**PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND****(INCLUDING TRANSFER OF FUNDS)**

*For expenses necessary to support activities related to countering potential biological, nuclear, radiological, chemical, and cybersecurity threats to civilian populations, and for other public health emergencies, \$858,521,000, of which \$415,130,000 shall remain available through September 30, 2015, for expenses necessary to support advanced research and development pursuant to section 319L of the PHS Act, and other administrative expenses of the Biomedical Advanced Research and Develop-*

**PUBLIC HEALTH AND SOCIAL SERVICES EMERGENCY FUND—Continued**

ment Authority, and of which up to \$5,000,000 shall remain available through September 30, 2016, to support the delivery of medical countermeasures and shall be in addition to any other amounts available for such purpose: Provided, That funds provided under this heading for the purpose of acquisition of security countermeasures shall be in addition to any other funds available for such purpose: Provided further, That products purchased with funds provided under this heading may, at the discretion of the Secretary, be deposited in the Strategic National Stockpile pursuant to section 319F-2 of the PHS Act: Provided further, That \$5,000,000 of the amounts made available to support emergency operations shall remain available through September 30, 2016: Provided further, That of the amounts made available to support advanced research and development, \$20,000,000 shall remain available through September 30, 2015, for the purposes of establishing and funding, using for either such purpose contracts, grants, cooperative agreements, or other transactions as described in section 319L of the PHS Act (42 U.S.C. 247d-7e), a strategic investment corporation, which shall not be deemed to be a Federal agency for any purpose, to further the purposes of such section 319L to foster innovation in the development of medical countermeasures.

For necessary expenses for procuring security countermeasures (as defined in section 319F-2(c)(1)(B) of the PHS Act), \$250,000,000, to remain available until expended.

For expenses necessary to prepare for and respond to an influenza pandemic, \$140,009,000; of which \$108,000,000 shall be available until expended, for activities including the development and purchase of vaccine, antivirals, necessary medical supplies, diagnostics, and other surveillance tools: Provided further, That notwithstanding section 496(b) of the PHS Act, funds may be used for the construction or renovation of privately owned facilities for the production of pandemic influenza vaccines and other biologics, if the Secretary finds such construction or renovation necessary to secure sufficient supplies of such vaccines or biologics: Provided further, That funds appropriated in this paragraph may be transferred to other appropriation accounts of the Department of Health and Human Services, as determined by the Secretary to be appropriate, to be used for the purposes specified in this paragraph.

In addition, for expenses necessary for replacement of building leases and associated renovation costs for Public Health Service agencies and other components of the Department of Health and Human Services, including relocation and fit-out costs, \$41,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

| Identification code 75–0140–0–1–551                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Public Health and Social Services Emergency Fund .....        | 1,749       | 2,305   | 881       |
| 0801 Reimbursable program .....                                    | 36          | 60      | 60        |
| 0900 Total new obligations .....                                   | 1,785       | 2,365   | 941       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3,414       | 2,349   | 808       |
| 1010 Unobligated balance transfer to other accts [75–0343] .....   | –3          | –3      | –3        |
| 1021 Recoveries of prior year unpaid obligations .....             | 120         |         |           |
| 1050 Unobligated balance (total) .....                             | 3,531       | 2,346   | 805       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 569         | 1,372   | 1,290     |
| 1120 Appropriations transferred to other accts [75–1534] .....     |             | –500    |           |
| 1120 Appropriations transferred to other accts [75–1536] .....     |             | –100    |           |
| 1120 Appropriations transferred to other accts [75–0128] .....     |             | –5      |           |
| 1130 Appropriations permanently reduced .....                      | –1          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 568         | 767     | 1,290     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 60      | 60        |
| 1701 Change in uncollected payments, Federal sources .....         | 35          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 36          | 60      | 60        |

|   |        |        |        |
|---|--------|--------|--------|
| 1900 Budget authority (total) .....                                 | 604    | 827    | 1,350  |
| 1930 Total budgetary resources available .....                      | 4,135  | 3,173  | 2,155  |
| Memorandum (non-add) entries:                                       |        |        |        |
| 1940 Unobligated balance expiring .....                             | –1     |        |        |
| 1941 Unexpired unobligated balance, end of year .....               | 2,349  | 808    | 1,214  |
| <b>Change in obligated balance:</b>                                 |        |        |        |
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 4,611  | 4,455  | 4,931  |
| 3010 Obligations incurred, unexpired accounts .....                 | 1,785  | 2,365  | 941    |
| 3011 Obligations incurred, expired accounts .....                   | 2      |        |        |
| 3020 Outlays (gross) .....  | –1,795 | –1,889 | –1,999 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | –120   |        |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –28    |        |        |
| 3050 Unpaid obligations, end of year .....                          | 4,455  | 4,931  | 3,873  |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –290   | –241   | –241   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –35    |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 84     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –241   | –241   | –241   |
| Memorandum (non-add) entries:                                       |        |        |        |
| 3100 Obligated balance, start of year .....                         | 4,321  | 4,214  | 4,690  |
| 3200 Obligated balance, end of year .....                           | 4,214  | 4,690  | 3,632  |
| <b>Budget authority and outlays, net:</b>                           |        |        |        |
| Discretionary:  |        |        |        |
| 4000 Budget authority, gross .....                                  | 604    | 827    | 1,350  |
| Outlays, gross:   |        |        |        |
| 4010 Outlays from new discretionary authority .....                 | 147    | 248    | 431    |
| 4011 Outlays from discretionary balances .....                      | 1,648  | 1,641  | 1,568  |
| 4020 Outlays, gross (total) .....                                   | 1,795  | 1,889  | 1,999  |
| Offsets against gross budget authority and outlays:                 |        |        |        |
| Offsetting collections (collected) from:                            |        |        |        |
| 4030 Federal sources .....  | –81    | –60    | –60    |
| Additional offsets against gross budget authority only:             |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –35    |        |        |
| 4052 Offsetting collections credited to expired accounts .....      | 80     |        |        |
| 4060 Additional offsets against budget authority only (total) ..... | 45     |        |        |
| 4070 Budget authority, net (discretionary) .....                    | 568    | 767    | 1,290  |
| 4080 Outlays, net (discretionary) .....                             | 1,714  | 1,829  | 1,939  |
| 4180 Budget authority, net (total) .....                            | 568    | 767    | 1,290  |
| 4190 Outlays, net (total) .....                                     | 1,714  | 1,829  | 1,939  |

The Public Health and Social Services Emergency Fund (PHSSEF) provides resources to support a comprehensive program to prepare for the health and medical consequences of bioterrorism or other public health emergencies. This account includes funding for the Office of the Assistant Secretary for Preparedness and Response (ASPR), as authorized by the Pandemic and All-Hazards Preparedness Act. Funds will be used for hospital preparedness and other emergency preparedness activities including the National Disaster Medical System. The PHSSEF also supports the HHS Cyber Security and Security and Strategic Information programs, and the Medical Reserve Corps.

The PHSSEF also continues to support the advanced development and procurement of biodefense and pandemic influenza countermeasures.

A Strategic Investor corporation will be created to make investments in the private sector with specific focus on disruptive technologies including novel antimicrobials and multi-use platform technologies for diagnostics and medical countermeasures.

Resources are also being provided for the Department's consolidation of lease replacements and renovation costs for the National Capital Region.

#### Object Classification (in millions of dollars)

| Identification code 75–0140–0–1–551       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                       |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 57          | 57      | 58        |
| 11.3 Other than full-time permanent ..... | 14          | 14      | 15        |
| 11.7 Military personnel .....             | 9           | 9       | 9         |
| 11.9 Total personnel compensation .....   | 80          | 80      | 82        |



|      |  |       |       |     |
|------|--|-------|-------|-----|
| 12.1 | Civilian personnel benefits .....                          | 21    | 21    | 21  |
| 12.2 | Military personnel benefits .....                          | 4     | 4     | 4   |
| 21.0 | Travel and transportation of persons .....                 | 8     | 6     | 3   |
| 22.0 | Transportation of things .....                             | 10    | 9     | 7   |
| 23.1 | Rental payments to GSA .....                               | 11    | 10    | 10  |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 5     | 5     | 4   |
| 25.1 | Advisory and assistance services .....                     | 281   | 279   | 62  |
| 25.2 | Other services from non-Federal sources .....              | 37    | 36    | 26  |
| 25.3 | Other goods and services from Federal sources .....        | 525   | 498   | 103 |
| 25.4 | Operation and maintenance of facilities .....              | 3     | 3     | 3   |
| 25.5 | Research and development contracts .....                   | 176   | 786   | 226 |
| 25.7 | Operation and maintenance of equipment .....               | 43    | 43    | 31  |
| 26.0 | Supplies and materials .....                               | 48    | 40    | 17  |
| 31.0 | Equipment .....  | 32    | 30    | 24  |
| 32.0 | Land and structures .....                                  | 25    | 15    | 15  |
| 41.0 | Grants, subsidies, and contributions .....                 | 440   | 440   | 243 |
| 99.0 | Direct obligations .....                                   | 1,749 | 2,305 | 881 |
| 99.0 | Reimbursable obligations .....                             | 36    | 60    | 60  |
| 99.9 | Total new obligations .....                                | 1,785 | 2,365 | 941 |

**Employment Summary**

| Identification code 75-0140-0-1-551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 551         | 567     | 608       |
| 1101 Direct military average strength employment .....     | 75          | 83      | 83        |

**TRANSFERS FROM THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND****Program and Financing** (in millions of dollars)

| Identification code 75-0145-0-1-552                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 AHRQ .....  | 8           | 43      | 75        |
| 0802 Office of the Secretary .....                                 | 3           | 19      | 25        |
| 0900 Total new obligations .....                                   | 11          | 62      | 100       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 9           | 28      | 42        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 30          | 76      | 125       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 30          | 76      | 125       |
| 1930 Total budgetary resources available .....                     | 39          | 104     | 167       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 28          | 42      | 67        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           | 9       | 61        |
| 3010 Obligations incurred, unexpired accounts .....                | 11          | 62      | 100       |
| 3020 Outlays (gross) .....   | -3          | -10     | -35       |
| 3050 Unpaid obligations, end of year .....                         | 9           | 61      | 126       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           | 9       | 61        |
| 3200 Obligated balance, end of year .....                          | 9           | 61      | 126       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 30          | 76      | 125       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 1           | 2       | 4         |
| 4101 Outlays from mandatory balances .....                         | 2           | 8       | 31        |
| 4110 Outlays, gross (total) .....                                  | 3           | 10      | 35        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -30         | -76     | -125      |
| 4190 Outlays, net (total) .....                                    | -27         | -66     | -90       |

Public Law 111-148 established the Patient-Centered Outcomes Research Trust Fund (PCORTF). Beginning in FY 2011, a total of 20 percent of the funds appropriated or credited to the PCORTF will be transferred each year to the Department of Health and Human Services (HHS). As authorized in section 937 of the

Public Health Service Act, HHS will disseminate research findings from the Patient-Centered Outcomes Research Institute and other government-funded comparative clinical effectiveness research and build research and data capacity for comparative clinical effectiveness research. Transferred funds will be distributed to the Secretary of HHS and the Agency for Healthcare Research and Quality to carry out these activities.

**Object Classification** (in millions of dollars)

| Identification code 75-0145-0-1-552                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 99.0 Reimbursable obligations .....                    | 3           | 19      | 25        |
| Allocation Account - reimbursable:                     |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             | 2       | 3         |
| 25.5 Research and development contracts .....          | 8           | 41      | 72        |
| 99.0 Allocation account - reimbursable .....           | 8           | 43      | 75        |
| 99.9 Total new obligations .....                       | 11          | 62      | 100       |

**Employment Summary**

| Identification code 75-0145-0-1-552                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 2       | 2         |

**PREVENTION AND WELLNESS FUND, RECOVERY ACT****Program and Financing** (in millions of dollars)

| Identification code 75-0144-0-1-551                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 18          | 2       | 2         |
| 3020 Outlays (gross) .....                                      | -14         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 2           | 2       | 2         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 18          | 2       | 2         |
| 3200 Obligated balance, end of year .....                       | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                  | 14          |         |           |
| 4190 Outlays, net (total) .....                                 | 14          |         |           |

The Prevention and Wellness Fund is authorized under the American Recovery and Reinvestment Act (ARRA), (P.L. 111-5). The Prevention and Wellness Fund administers evidence-based clinical and community-based prevention and wellness strategies.

**NONRECURRING EXPENSES FUND**

The Nonrecurring Expenses Fund is a no-year account that captures expired unobligated balances from discretionary accounts prior to cancellation. The Fund will be used for capital acquisition, including facilities infrastructure and information technology infrastructure.

**HEALTH INSURANCE REFORM IMPLEMENTATION FUND****Program and Financing** (in millions of dollars)

| Identification code 75-0119-0-1-551     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program activity .....      | 333         | 241     |           |

HEALTH INSURANCE REFORM IMPLEMENTATION FUND—Continued  
Program and Financing—Continued

| Identification code 75–0119–0–1–551                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 565         | 241     | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 9           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 574         | 241     | .....     |
| 1930 Total budgetary resources available .....                    | 574         | 241     | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 241         | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 205         | 265     | 188       |
| 3010 Obligations incurred, unexpired accounts .....               | 333         | 241     | .....     |
| 3020 Outlays (gross) .....  | –264        | –318    | –163      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –9          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 265         | 188     | 25        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 205         | 265     | 188       |
| 3200 Obligated balance, end of year .....                         | 265         | 188     | 25        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                        | 264         | 318     | 163       |
| 4190 Outlays, net (total) .....                                   | 264         | 318     | 163       |

Section 1005 of the Health Care and Education Reconciliation Act of 2010 (P.L. 111–152) appropriated \$1,000,000,000 to the Health Insurance Reform Implementation Fund within the Department of Health and Human Services. The Fund shall be used for Federal administrative expenses necessary to carry out the requirements of the Patient Protection and Affordable Care Act of 2010 (P.L. 111–148) and the Health Care and Education Reconciliation Act of 2010.

## Object Classification (in millions of dollars)

| Identification code 75–0119–0–1–551                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 1           | .....   | .....     |
| 12.1 Civilian personnel benefits .....                   | 1           | .....   | .....     |
| 25.2 Other services from non-Federal sources .....       | 25          | 237     | .....     |
| 25.3 Other goods and services from Federal sources ..... | .....       | 4       | .....     |
| 99.0 Direct obligations .....                            | 27          | 241     | .....     |
| <b>Allocation Account - direct:</b>                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 71          | .....   | .....     |
| 11.3 Other than full-time permanent .....                | 1           | .....   | .....     |
| 11.5 Other personnel compensation .....                  | 1           | .....   | .....     |
| 11.9 Total personnel compensation .....                  | 73          | .....   | .....     |
| 12.1 Civilian personnel benefits .....                   | 20          | .....   | .....     |
| 21.0 Travel and transportation of persons .....          | 2           | .....   | .....     |
| 25.1 Advisory and assistance services .....              | 181         | .....   | .....     |
| 25.2 Other services from non-Federal sources .....       | 9           | .....   | .....     |
| 31.0 Equipment .....                                     | 20          | .....   | .....     |
| 99.0 Allocation account - direct .....                   | 305         | .....   | .....     |
| 99.5 Below reporting threshold .....                     | 1           | .....   | .....     |
| 99.9 Total new obligations .....                         | 333         | 241     | .....     |

## Employment Summary

| Identification code 75–0119–0–1–551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 16          | .....   | .....     |

## PREVENTION AND PUBLIC HEALTH FUND

## Program and Financing (in millions of dollars)

| Identification code 75–0116–0–1–551  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Direct program activity .....   | .....       | 1,000   | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 1,000       | 1,250   | 1,250     |
| 1220 Appropriations transferred to other accts [75–0142] .....                             | –20         | .....   | –25       |
| 1220 Appropriations transferred to other accts [75–1700] .....                             | –12         | .....   | .....     |
| 1220 Appropriations transferred to other accts [75–0943] .....                             | –809        | .....   | –755      |
| 1220 Appropriations transferred to other accts [75–9912] .....                             | –30         | .....   | –105      |
| 1220 Appropriations transferred to other accts [75–0350] .....                             | –37         | .....   | –57       |
| 1220 Appropriations transferred to other accts [75–1362] .....                             | –92         | .....   | –58       |
| 1230 Appropriations and/or unobligated balance of appropriations permanently reduced ..... | .....       | –250    | –250      |
| 1260 Appropriations, mandatory (total) .....   | .....       | 1,000   | .....     |
| 1930 Total budgetary resources available .....   | .....       | 1,000   | .....     |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                      | .....       | .....   | 890       |
| 3010 Obligations incurred, unexpired accounts .....  | .....       | 1,000   | .....     |
| 3020 Outlays (gross) .....   | .....       | –110    | –800      |
| 3050 Unpaid obligations, end of year .....   | .....       | 890     | 90        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | .....       | .....   | 890       |
| 3200 Obligated balance, end of year .....  | .....       | 890     | 90        |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | .....       | 1,000   | .....     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....  | .....       | 110     | .....     |
| 4101 Outlays from mandatory balances .....   | .....       | .....   | 800       |
| 4110 Outlays, gross (total) .....  | .....       | 110     | 800       |
| 4180 Budget authority, net (total) .....   | .....       | 1,000   | .....     |
| 4190 Outlays, net (total) .....  | .....       | 110     | 800       |

The Affordable Care Act, (P.L. 111–148) establishes the Prevention and Public Health Fund as a mandatory appropriation for prevention and public health activities. For FY 2014, \$1.00 billion is available within the Fund to support a range of public health efforts intended to prevent disease and reduce health care costs. The Secretary has authority to transfer to accounts within HHS.

## Object Classification (in millions of dollars)

| Identification code 75–0116–0–1–551                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.2 Other services from non-Federal sources ..... | .....       | 250     | .....     |
| 41.0 Grants, subsidies, and contributions .....    | .....       | 750     | .....     |
| 99.9 Total new obligations .....                   | .....       | 1,000   | .....     |

## PREGNANCY ASSISTANCE FUND

## Program and Financing (in millions of dollars)

| Identification code 75–0117–0–1–551            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>        |             |         |           |
| 0001 Direct program activity .....             | 25          | 25      | 25        |
| <b>Budgetary Resources:</b>                    |             |         |           |
| Budget authority:                              |             |         |           |
| Appropriations, mandatory:                     |             |         |           |
| 1200 Appropriation .....                       | 25          | 25      | 25        |
| 1260 Appropriations, mandatory (total) .....   | 25          | 25      | 25        |
| 1930 Total budgetary resources available ..... | 25          | 25      | 25        |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| <b>Change in obligated balance:</b>       |  |     |     |     |
| Unpaid obligations:                       |  |     |     |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 40  | 39  | 39  |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 25  | 25  | 25  |
| 3020                                      | Outlays (gross) .....                            | -26 | -25 | -21 |
| 3050                                      | Unpaid obligations, end of year .....            | 39  | 39  | 43  |
| Memorandum (non-add) entries:             |  |     |     |     |
| 3100                                      | Obligated balance, start of year .....           | 40  | 39  | 39  |
| 3200                                      | Obligated balance, end of year .....             | 39  | 39  | 43  |
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Mandatory:                                |  |     |     |     |
| 4090                                      | Budget authority, gross .....                    | 25  | 25  | 25  |
| Outlays, gross:                           |  |     |     |     |
| 4100                                      | Outlays from new mandatory authority .....       | 1   | 2   | 2   |
| 4101                                      | Outlays from mandatory balances .....            | 25  | 23  | 19  |
| 4110                                      | Outlays, gross (total) .....                     | 26  | 25  | 21  |
| 4180                                      | Budget authority, net (total) .....              | 25  | 25  | 25  |
| 4190                                      | Outlays, net (total) .....                       | 26  | 25  | 21  |

For awarding competitive grants to States to assist pregnant and parenting teens and women.

**Object Classification** (in millions of dollars)

|                                     |   |         |           |
|-------------------------------------|---|---------|-----------|
| Identification code 75-0117-0-1-551 | 2012 actual   | 2013 CR | 2014 est. |
| Direct obligations:                 |   |         |           |
| 25.3                                | Other goods and services from Federal sources ..... | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....          | 24      | 24        |
| 99.9                                | Total new obligations .....                         | 25      | 25        |

**SECTION 241 EVALUATION TRANSACTIONS ACCOUNT**

**Program and Financing** (in millions of dollars)

|  |   |         |           |
|--|---|---------|-----------|
| Identification code 75-3902-0-1-552                            | 2012 actual   | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                        |   |         |           |
| 0801   | Reimbursable program activity .....                           | 530     | 1,331     |
| 0809   | Reimbursable program activities, subtotal .....               | 530     | 1,331     |
| 0900   | Total new obligations (object class 25.3) .....               | 530     | 1,331     |
| <b>Budgetary Resources:</b>                                    |   |         |           |
| Budget authority:  |   |         |           |
| Spending authority from offsetting collections, discretionary: |   |         |           |
| 1700   | Collected .....   | 530     | 1,331     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 530     | 1,331     |
| 1930   | Total budgetary resources available .....                     | 530     | 1,331     |
| <b>Change in obligated balance:</b>                            |   |         |           |
| Unpaid obligations:  |   |         |           |
| 3010   | Obligations incurred, unexpired accounts .....                | 530     | 1,331     |
| 3020   | Outlays (gross) .....   | -530    | -1,331    |
| <b>Budget authority and outlays, net:</b>                      |   |         |           |
| Discretionary:   |   |         |           |
| 4000   | Budget authority, gross .....                                 | 530     | 1,331     |
| Outlays, gross:  |   |         |           |
| 4010   | Outlays from new discretionary authority .....                | 530     | 1,331     |
| Offsets against gross budget authority and outlays:            |   |         |           |
| Offsetting collections (collected) from:                       |   |         |           |
| 4030   | Federal sources .....   | -530    | -1,331    |

The Public Health Service Act (PHS) Evaluation Transactions account supports the execution of section 241 of the PHS Act.

**Object Classification** (in millions of dollars)

|                                     |                                |         |           |
|-------------------------------------|--------------------------------|---------|-----------|
| Identification code 75-3902-0-1-552 | 2012 actual                    | 2013 CR | 2014 est. |
| 99.0                                | Reimbursable obligations ..... | 530     | 1,331     |

**PROGRAM SUPPORT CENTER**

**Federal Funds**

**RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS**

For retirement pay and medical benefits of Public Health Service Commissioned Officers as authorized by law, for payments under the Retired Serviceman's Family Protection Plan and Survivor Benefit Plan, and for medical care of dependents and retired personnel under the Dependents' Medical Care Act, such amounts as may be required during the current fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 75-0379-0-1-551     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Retirement payments .....                 | 405         | 394     | 415       |
| 0002                                    | Survivors' benefits .....                 | 25          | 27      | 28        |
| 0003                                    | Medical care .....                        | 94          | 101     | 108       |
| 0900                                    | Total new obligations .....               | 524         | 522     | 551       |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Budget authority:                       |   |             |         |           |
| Appropriations, mandatory:              |   |             |         |           |
| 1200                                    | Appropriation .....                       | 524         | 522     | 551       |
| 1260                                    | Appropriations, mandatory (total) .....   | 524         | 522     | 551       |
| 1930                                    | Total budgetary resources available ..... | 524         | 522     | 551       |

|                                     |   |      |      |      |
|-------------------------------------|---|------|------|------|
| <b>Change in obligated balance:</b> |   |      |      |      |
| Unpaid obligations:                 |   |      |      |      |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....                | 29   | 101  | 31   |
| 3001                                | Adjustments to unpaid obligations, brought forward, Oct 1 ..... |      | -31  |      |
| 3010                                | Obligations incurred, unexpired accounts .....                  | 524  | 522  | 551  |
| 3011                                | Obligations incurred, expired accounts .....                    | 10   |      |      |
| 3020                                | Outlays (gross) .....   | -453 | -561 | -549 |
| 3041                                | Recoveries of prior year unpaid obligations, expired .....      | -9   |      |      |
| 3050                                | Unpaid obligations, end of year .....                           | 101  | 31   | 33   |
| Memorandum (non-add) entries:       |   |      |      |      |
| 3100                                | Obligated balance, start of year .....                          | 29   | 70   | 31   |
| 3200                                | Obligated balance, end of year .....                            | 101  | 31   | 33   |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Mandatory:                                |  |     |     |     |
| 4090                                      | Budget authority, gross .....              | 524 | 522 | 551 |
| Outlays, gross:                           |  |     |     |     |
| 4100                                      | Outlays from new mandatory authority ..... | 440 | 496 | 523 |
| 4101                                      | Outlays from mandatory balances .....      | 13  | 65  | 26  |
| 4110                                      | Outlays, gross (total) .....               | 453 | 561 | 549 |
| 4180                                      | Budget authority, net (total) .....        | 524 | 522 | 551 |
| 4190                                      | Outlays, net (total) .....                 | 453 | 561 | 549 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
|   | 2012 actual | 2013 CR | 2014 est. |
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 524         | 522     | 551       |
| Outlays .....                           | 453         | 561     | 549       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | -1        |
| Outlays .....                           |             |         | -1        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 524         | 522     | 550       |
| Outlays .....                           | 453         | 561     | 548       |

The number of beneficiaries using the Commissioned Corps system is estimated as follows:

**Retirement Pay, Survivor Benefits, and Medical Benefits**

|              |      |      |      |
|--------------|------|------|------|
|              | 2012 | 2013 | 2014 |
| Active Duty: |      |      |      |
| HHS .....    | 4888 | 4935 | 4938 |

RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED  
OFFICERS—Continued

## Retirement Pay, Survivor Benefits, and Medical Benefits—Continued

|  | 2012  | 2013  | 2014  |
|--|-------|-------|-------|
| DOJ, BOP .....   | 812   | 845   | 845   |
| Homeland Security .....                                      | 474   | 509   | 509   |
| EPA .....  | 74    | 70    | 70    |
| All Other .....  | 380   | 407   | 407   |
| Total Active Duty .....                                      | 6628  | 6766  | 6769  |
| Retirees & Survivors:  |       |       |       |
| Retirees .....   | 5430  | 5565  | 5700  |
| Retiree family members and survivors .....                   | 979   | 1000  | 1021  |
| Total Retirement Pay .....                                   | 6409  | 6565  | 6721  |
| Total Beneficiaries (active duty, retirees, survivors) ..... | 13037 | 13331 | 13490 |

This activity funds annuities of retired Public Health Service (PHS) commissioned officers and survivors of retirees, and medical benefits for active duty PHS commissioned officers, retirees, and dependents of members and retirees of the PHS Commissioned Corps.

## Object Classification (in millions of dollars)

| Identification code 75–0379–0–1–551      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                      |             |         |           |
| 13.0 Benefits for former personnel ..... | 430         | 421     | 443       |
| 25.6 Medical care .....                  | 94          | 101     | 108       |
| 99.9 Total new obligations .....         | 524         | 522     | 551       |

## RETIREMENT PAY AND MEDICAL BENEFITS FOR COMMISSIONED OFFICERS

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–0379–4–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Direct program activity .....                   |             |         | –1        |
| 0900 Total new obligations (object class 25.6) ..... |             |         | –1        |
| Budgetary Resources:                                 |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | –1        |
| 1260 Appropriations, mandatory (total) .....         |             |         | –1        |
| 1930 Total budgetary resources available .....       |             |         | –1        |
| Change in obligated balance:                         |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | –1        |
| 3020 Outlays (gross) .....                           |             |         | 1         |
| Budget authority and outlays, net:                   |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | –1        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | –1        |
| 4180 Budget authority, net (total) .....             |             |         | –1        |
| 4190 Outlays, net (total) .....                      |             |         | –1        |

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH  
CARE FUND

## Program and Financing (in millions of dollars)

| Identification code 75–0170–0–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Medicare eligible accruals .....                | 36          | 29      | 28        |
| 0900 Total new obligations (object class 12.2) ..... | 36          | 29      | 28        |

## Budgetary Resources:

|   |    |    |    |
|---|----|----|----|
| Budget authority:                               |    |    |    |
| Appropriations, discretionary:                  |    |    |    |
| 1100 Appropriation .....                        | 36 | 29 | 28 |
| 1160 Appropriation, discretionary (total) ..... | 36 | 29 | 28 |
| 1930 Total budgetary resources available .....  | 36 | 29 | 28 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                 |     |     |     |
| 3010 Obligations incurred, unexpired accounts ..... | 36  | 29  | 28  |
| 3020 Outlays (gross) .....                          | –36 | –29 | –28 |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 36 | 29 | 28 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 36 | 29 | 28 |
| 4180 Budget authority, net (total) .....            | 36 | 29 | 28 |
| 4190 Outlays, net (total) .....                     | 36 | 29 | 28 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 36          | 29      | 28        |
| Outlays .....                               | 36          | 29      | 28        |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | –2        |
| Outlays .....                               |             |         | –2        |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 36          | 29      | 26        |
| Outlays .....                               | 36          | 29      | 26        |

The cost of medical benefits for Medicare-eligible beneficiaries is paid from the Department of Defense Medicare-Eligible Retiree Health Care Fund (10 U.S.C., ch. 56). Beginning in 2006, permanent indefinite authority is provided for a discretionary appropriation of the annual accrual payment into this fund (P.L. No. 108–375, section 725).

HHS ACCRUAL CONTRIBUTION TO THE UNIFORMED SERVICES RETIREE HEALTH  
CARE FUND

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 75–0170–2–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Direct Program Activity .....                   |             |         | –2        |
| 0900 Total new obligations (object class 12.2) ..... |             |         | –2        |
| Budgetary Resources:                                 |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | –2        |
| 1160 Appropriation, discretionary (total) .....      |             |         | –2        |
| 1930 Total budgetary resources available .....       |             |         | –2        |
| Change in obligated balance:                         |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | –2        |
| 3020 Outlays (gross) .....                           |             |         | 2         |
| Budget authority and outlays, net:                   |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | –2        |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | –2        |
| 4180 Budget authority, net (total) .....             |             |         | –2        |
| 4190 Outlays, net (total) .....                      |             |         | –2        |

## HEALTH ACTIVITIES FUNDS

## Program and Financing (in millions of dollars)

| Identification code 75-9913-0-1-552                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |

This display shows activities in support of scientific activities overseas that were supported by foreign currencies by the United States abroad.

## HHS SERVICE AND SUPPLY FUND

## Program and Financing (in millions of dollars)

| Identification code 75-9941-0-4-551                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Program Support Center .....                                    | 887         | 775     | 785       |
| 0802 OS activities .....   | 116         | 309     | 318       |
| 0900 Total new obligations .....                                     | 1,003       | 1,084   | 1,103     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 216         | 103     | 103       |
| 1021 Recoveries of prior year unpaid obligations .....               | 67          |         |           |
| 1050 Unobligated balance (total) .....                               | 283         | 103     | 103       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 1,019       | 1,084   | 1,103     |
| 1701 Change in uncollected payments, Federal sources .....           | -196        |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 823         | 1,084   | 1,103     |
| 1930 Total budgetary resources available .....                       | 1,106       | 1,187   | 1,206     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 103         | 103     | 103       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 415         | 509     | 25        |
| 3010 Obligations incurred, unexpired accounts .....                  | 1,003       | 1,084   | 1,103     |
| 3020 Outlays (gross) .....   | -842        | -1,568  | -1,103    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -67         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 509         | 25      | 25        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -481        | -285    | -285      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 196         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -285        | -285    | -285      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | -66         | 224     | -260      |
| 3200 Obligated balance, end of year .....                            | 224         | -260    | -260      |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 823         | 1,084   | 1,103     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 600         | 1,084   | 1,103     |
| 4011 Outlays from discretionary balances .....                       | 242         | 484     |           |
| 4020 Outlays, gross (total) .....                                    | 842         | 1,568   | 1,103     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -1,012      | -1,084  | -1,103    |
| 4033 Non-Federal sources .....                                       | -7          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | -1,019      | -1,084  | -1,103    |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 196         |         |           |
| 4080 Outlays, net (discretionary) .....                              | -177        | 484     |           |
| 4190 Outlays, net (total) .....                                      | -177        | 484     |           |

HHS Service and Supply Fund (SSF) provides a wide range of logistical and support services to components of the Department and other Federal agencies. The Program Support Center includes activities such as personnel and payroll support, information technology, financial management operations, and administrative services, including acquisitions management, building and property management, telecommunication services, medical supplies repackaging and distribution services, and the Federal Occupational Health Service. The Office of Secretary activities include the fund manager, departmental contracts, audit resolutions, Commissioned Corps force management, web management, claims, acquisition integration and modernization, small business, grants tracking, the physical access aspects of the Department's implementation of Homeland Security Presidential Directive 12, and commercial services management.

Most Commissioned Corps officers work for agencies in the Department of Health and Human Services and are reflected in the agencies' personnel summaries. However, some officers are assigned to other Federal agencies. The allocation account section in the following personnel summary shows officers assigned to other agencies, which are paid directly by that agency, either through an allocation account or by directly citing that agency's appropriation.

## Object Classification (in millions of dollars)

| Identification code 75-9941-0-4-551                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 110         | 103     | 103       |
| 11.3 Other than full-time permanent .....                       | 4           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 2           | 3       | 3         |
| 11.7 Military personnel .....                                   | 8           | 10      | 10        |
| 11.8 Special personal services payments .....                   | 10          |         |           |
| 11.9 Total personnel compensation .....                         | 134         | 118     | 118       |
| 12.1 Civilian personnel benefits .....                          | 32          | 29      | 29        |
| 12.2 Military personnel benefits .....                          | 4           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0 Transportation of things .....                             | 3           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 25          | 22      | 22        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 32          | 6       | 6         |
| 24.0 Printing and reproduction .....                            | 2           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 36          | 56      | 57        |
| 25.2 Other services from non-Federal sources .....              | 480         | 612     | 625       |
| 25.3 Other goods and services from Federal sources .....        | 127         | 69      | 71        |
| 25.4 Operation and maintenance of facilities .....              | 18          | 7       | 7         |
| 25.6 Medical care .....   | 14          | 29      | 29        |
| 25.7 Operation and maintenance of equipment .....               | 51          | 92      | 94        |
| 26.0 Supplies and materials .....                               | 41          | 31      | 32        |
| 31.0 Equipment .....  | 2           | 2       | 2         |
| 99.9 Total new obligations .....                                | 1,003       | 1,084   | 1,103     |

## Employment Summary

| Identification code 75-9941-0-4-551                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment .....   | 1,280       | 1,166   | 1,168     |
| 2101 Reimbursable military average strength employment .....       | 94          | 94      | 94        |
| 3101 Allocation account military average strength employment ..... | 1,665       | 1,761   | 1,761     |

## Trust Funds

## MISCELLANEOUS TRUST FUNDS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 75-9971-0-7-551                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                           |             |         | 3         |
| Receipts:   |             |         |           |
| 0220 Contributions, Indian Health Facilities .....          | 48          | 48      | 48        |
| 0221 Contributions, N.I.H., Unconditional Gift Fund .....   | 3           | 3       | 3         |
| 0222 Centers for Disease Control, Gifts and Donations ..... | 15          | 15      | 15        |
| 0223 Contributions, N.I.H., Conditional Gift Fund .....     | 27          | 27      | 27        |

MISCELLANEOUS TRUST FUNDS—Continued  
Special and Trust Fund Receipts—Continued

| Identification code 75-9971-0-7-551                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0224 Contributions to the Indian Health Service Gift Fund ..... | 1           | 1       | 1         |
| 0299 Total receipts and collections .....                       | 94          | 94      | 94        |
| 0400 Total: Balances and collections .....                      | 94          | 94      | 97        |
| Appropriations:   |             |         |           |
| 0500 Miscellaneous Trust Funds .....                            | -94         | -91     | -91       |
| 0799 Balance, end of year .....                                 |             | 3       | 6         |

## Program and Financing (in millions of dollars)

| Identification code 75-9971-0-7-551                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 Gifts .....  | 55          | 57      | 57        |
| 0003 Contributions, Indian Health Facilities .....                | 33          | 35      | 35        |
| 0900 Total new obligations .....                                  | 88          | 92      | 92        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 114         | 133     | 132       |
| 1021 Recoveries of prior year unpaid obligations .....            | 13          |         |           |
| 1050 Unobligated balance (total) .....                            | 127         | 133     | 132       |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 94          | 91      | 91        |
| 1260 Appropriations, mandatory (total) .....                      | 94          | 91      | 91        |
| 1930 Total budgetary resources available .....                    | 221         | 224     | 223       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 133         | 132     | 131       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 61          | 76      | 127       |
| 3010 Obligations incurred, unexpired accounts .....               | 88          | 92      | 92        |
| 3020 Outlays (gross) .....  | -60         | -41     | -56       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -13         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 76          | 127     | 163       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 61          | 76      | 127       |
| 3200 Obligated balance, end of year .....                         | 76          | 127     | 163       |

|  |    |    |    |
|--|----|----|----|
| <b>Budget authority and outlays, net:</b>                        |    |    |    |
| Mandatory:   |    |    |    |
| 4090 Budget authority, gross .....                               | 94 | 91 | 91 |
| Outlays, gross:  |    |    |    |
| 4100 Outlays from new mandatory authority .....                  | 22 | 9  | 9  |
| 4101 Outlays from mandatory balances .....                       | 38 | 32 | 47 |
| 4110 Outlays, gross (total) .....                                | 60 | 41 | 56 |
| 4180 Budget authority, net (total) .....                         | 94 | 91 | 91 |
| 4190 Outlays, net (total) .....                                  | 60 | 41 | 56 |
| <b>Memorandum (non-add) entries:</b>                             |    |    |    |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 28 | 25 | 25 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 25 | 25 | 25 |

(in millions of dollars)

|   | 2012 | 2013 | 2014 |
|---|------|------|------|
| Distribution of budget authority by account:  |      |      |      |
| Gifts .....                                   | 55   | 57   | 57   |
| Contributions, Indian Health Facilities ..... | 33   | 35   | 35   |
| Distribution of outlays by account:           |      |      |      |
| Gifts .....                                   | 30   | 21   | 28   |
| Contributions, Indian Health Facilities ..... | 30   | 20   | 28   |

Gifts to the Public Health Service are for the benefit of patients and for research. Contributions are made for the construction, improvement, extension, and provision of sanitation facilities.

## Object Classification (in millions of dollars)

| Identification code 75-9971-0-7-551                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 2           | 2       | 2         |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.8 Special personal services payments .....            | 1           |         |           |
| 11.9 Total personnel compensation .....                  | 4           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....       | 13          | 13      | 13        |
| 25.3 Other goods and services from Federal sources ..... | 14          | 14      | 14        |
| 25.5 Research and development contracts .....            | 8           | 8       | 8         |
| 25.6 Medical care .....                                  | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                        | 6           | 6       | 6         |
| 31.0 Equipment .....                                     | 5           | 6       | 6         |
| 41.0 Grants, subsidies, and contributions .....          | 32          | 36      | 36        |
| 99.9 Total new obligations .....                         | 88          | 92      | 92        |

## Employment Summary

| Identification code 75-9971-0-7-551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 27          | 27      | 27        |

## OFFICE OF THE INSPECTOR GENERAL

## Federal Funds

## OFFICE OF INSPECTOR GENERAL

*For expenses necessary for the Office of Inspector General, including the hire of passenger motor vehicles for investigations, in carrying out the provisions of the Inspector General Act of 1978, \$68,879,000: Provided, That of such amount, necessary sums shall be available for providing protective services to the Secretary of Health and Human Services and investigating non-payment of child support cases for which non-payment is a Federal offense under 18 U.S.C. 228.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 75-0128-0-1-551                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Direct program .....                           | 74          | 53      | 70        |
| 0801 HCFA Reimbursable program .....                | 216         | 222     | 214       |
| 0802 Direct Reimbursable program .....              | 19          | 24      | 24        |
| 0803 HCFA Discretionary allocation adjustment ..... | 24          | 103     | 114       |
| 0899 Total reimbursable obligations .....           | 259         | 349     | 352       |
| 0900 Total new obligations .....                    | 333         | 402     | 422       |

|   |    |     |     |
|---|----|-----|-----|
| <b>Budgetary Resources:</b>   |    |     |     |
| Unobligated balance:  |    |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 44 | 9   | 25  |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                 | 5  |     |     |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 7  | 10  |     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 1  |     |     |
| 1050 Unobligated balance (total) .....  | 52 | 19  | 25  |
| Budget authority:   |    |     |     |
| Appropriations, discretionary:  |    |     |     |
| 1100 Appropriation .....  | 50 | 50  | 69  |
| 1121 Appropriations transferred from other accts [75-0140] ....                 |    | 5   |     |
| 1160 Appropriation, discretionary (total) .....                                 | 50 | 55  | 69  |
| Spending authority from offsetting collections, discretionary:                  |    |     |     |
| 1700 Collected .....  | 29 | 140 | 132 |
| 1701 Change in uncollected payments, Federal sources .....                      | 14 |     |     |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 43 | 140 | 132 |

|  |  |      |      |
|--|--|------|------|
| Spending authority from offsetting collections, mandatory: |  |      |      |
| 1800   | Collected .....  | 195  | 213  |
| 1801   | Change in uncollected payments, Federal sources .....            | 13   |      |
| 1850   | Spending auth from offsetting collections, mand (total) .....    | 208  | 213  |
| 1900   | Budget authority (total) .....                                   | 301  | 408  |
| 1930   | Total budgetary resources available .....                        | 353  | 427  |
| Memorandum (non-add) entries:                              |  |      |      |
| 1940   | Unobligated balance expiring .....                               | -11  |      |
| 1941   | Unexpired unobligated balance, end of year .....                 | 9    | 25   |
| <b>Change in obligated balance:</b>                        |  |      |      |
| Unpaid obligations:  |  |      |      |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                 | 61   | 56   |
| 3010   | Obligations incurred, unexpired accounts .....                   | 333  | 402  |
| 3011   | Obligations incurred, expired accounts .....                     | 4    |      |
| 3020   | Outlays (gross) .....  | -336 | -407 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....     | -1   |      |
| 3041   | Recoveries of prior year unpaid obligations, expired .....       | -5   |      |
| 3050   | Unpaid obligations, end of year .....                            | 56   | 51   |
| Uncollected payments:                                      |  |      |      |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....     | -73  | -61  |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....        | -27  |      |
| 3071   | Change in uncollected pymts, Fed sources, expired .....          | 39   |      |
| 3090   | Uncollected pymts, Fed sources, end of year .....                | -61  | -61  |
| Memorandum (non-add) entries:                              |  |      |      |
| 3100   | Obligated balance, start of year .....                           | -12  | -5   |
| 3200   | Obligated balance, end of year .....                             | -5   | -10  |
| <b>Budget authority and outlays, net:</b>                  |  |      |      |
| Discretionary:   |  |      |      |
| 4000   | Budget authority, gross .....                                    | 93   | 195  |
| Outlays, gross:  |  |      |      |
| 4010   | Outlays from new discretionary authority .....                   | 82   | 184  |
| 4011   | Outlays from discretionary balances .....                        | 19   | 7    |
| 4020   | Outlays, gross (total) .....                                     | 101  | 191  |
| Offsets against gross budget authority and outlays:        |  |      |      |
| Offsetting collections (collected) from:                   |  |      |      |
| 4030   | Federal sources .....  | -66  | -140 |
| Additional offsets against gross budget authority only:    |  |      |      |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....        | -14  |      |
| 4052   | Offsetting collections credited to expired accounts .....        | 37   |      |
| 4060   | Additional offsets against budget authority only (total) .....   | 23   |      |
| 4070   | Budget authority, net (discretionary) .....                      | 50   | 55   |
| 4080   | Outlays, net (discretionary) .....                               | 35   | 51   |
| Mandatory:   |  |      |      |
| 4090   | Budget authority, gross .....                                    | 208  | 213  |
| Outlays, gross:  |  |      |      |
| 4100   | Outlays from new mandatory authority .....                       | 177  | 213  |
| 4101   | Outlays from mandatory balances .....                            | 58   | 3    |
| 4110   | Outlays, gross (total) .....                                     | 235  | 216  |
| Offsets against gross budget authority and outlays:        |  |      |      |
| Offsetting collections (collected) from:                   |  |      |      |
| 4120   | Federal sources .....  | -157 | -201 |
| 4123   | Non-Federal sources .....  | -20  | -12  |
| 4130   | Offsets against gross budget authority and outlays (total) ..... | -177 | -213 |
| Additional offsets against gross budget authority only:    |  |      |      |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....        | -13  |      |
| 4142   | Offsetting collections credited to expired accounts .....        | -18  |      |
| 4150   | Additional offsets against budget authority only (total) .....   | -31  |      |
| 4170   | Outlays, net (mandatory) .....                                   | 58   | 3    |
| 4180   | Budget authority, net (total) .....                              | 50   | 55   |
| 4190   | Outlays, net (total) .....                                       | 93   | 54   |

The Office of Inspector General (OIG) is an independent and objective oversight unit of the U.S. Department of Health and Human Services (HHS) that promotes economy, efficiency, and effectiveness through preventing and detecting fraud, waste, and abuse in the Department's programs and operations. OIG fulfills its mission through a broad range of audits, evaluations, investigations, and enforcement and compliance activities. In addition to discretionary appropriations, OIG receives funds through the Health Care Fraud and Abuse Control (HCFAC) account created by the Health Insurance Portability and Accountability Act of 1996.

## Object Classification (in millions of dollars)

| Identification code 75-0128-0-1-551 | 2012 actual  | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| Personnel compensation:             |  |         |           |
| 11.1                                | Full-time permanent .....                                  | 40      | 28        |
| 11.3                                | Other than full-time permanent .....                       | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 41      | 29        |
| 12.1                                | Civilian personnel benefits .....                          | 14      | 10        |
| 21.0                                | Travel and transportation of persons .....                 | 2       | 1         |
| 22.0                                | Transportation of things .....                             | 1       |           |
| 23.1                                | Rental payments to GSA .....                               | 3       | 5         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 2       | 1         |
| 25.3                                | Other goods and services from Federal sources .....        | 5       | 4         |
| 25.4                                | Operation and maintenance of facilities .....              | 2       | 1         |
| 25.7                                | Operation and maintenance of equipment .....               | 1       |           |
| 26.0                                | Supplies and materials .....                               | 1       |           |
| 31.0                                | Equipment .....  | 1       | 1         |
| 99.0                                | Direct obligations .....                                   | 74      | 53        |
| 99.0                                | Reimbursable obligations .....                             | 259     | 349       |
| 99.9                                | Total new obligations .....                                | 333     | 402       |

## Employment Summary

| Identification code 75-0128-0-1-551 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 404     | 273       |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 1,369   | 1,610     |

## ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows: Centers for Medicare and Medicaid Services "Health Care Fraud and Abuse Control Account."

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual  | 2013 CR | 2014 est. |
|--|--|---------|-----------|
| Offsetting receipts from the public:                   |  |         |           |
| 75-143500  | General Fund Proprietary Interest Receipts, not Otherwise Classified .....             | 93      | 90        |
| 75-274530  | Health Education Assistance Loans, Downward Reestimates of Subsidies .....             | 12      |           |
| 75-310700  | Federal Share of Child Support Collections .....                                       | 789     | 852       |
| Legislative proposal, subject to PAYGO                 |  |         | 4         |
| 75-322000  | All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 34      | 34        |
| General Fund Offsetting receipts from the public ..... | 928  | 976     | 959       |
| Intragovernmental payments:                            |  |         |           |
| 75-388500  | Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... | -27     |           |
| General Fund Intragovernmental payments .....          | -27  |         |           |

## GENERAL PROVISIONS

SEC. 201. Funds appropriated in this title shall be available for not to exceed \$50,000 for official reception and representation expenses when specifically approved by the Secretary.

SEC. 202. None of the discretionary funds appropriated in this title shall be used to pay the salary of an individual, through a grant or other extramural mechanism, at a rate in excess of Executive Level II.

SEC. 203. Notwithstanding section 241(a) of the PHS Act, such portion as the Secretary shall determine, but not more than 3.0 percent, of any amounts appropriated for programs authorized under such Act shall be made available for the evaluation (directly, or by grants or contracts) of the implementation and effectiveness of such programs.

## (TRANSFER OF FUNDS)

SEC. 204. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for HHS in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

## (TRANSFER OF FUNDS)

SEC. 205. The Director of the NIH, jointly with the Director of the Office of AIDS Research, may transfer up to 3 percent among institutes and centers from the total amounts identified by these two Directors as funding for research pertaining to the human immunodeficiency virus: Provided, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

## (TRANSFER OF FUNDS)

SEC. 206. Of the amounts made available in this Act for NIH, the amount for research related to the human immunodeficiency virus, as jointly determined by the Director of NIH and the Director of the Office of AIDS Research, shall be made available to the "Office of AIDS Research" account. The Director of the Office of AIDS Research shall transfer from such account amounts necessary to carry out section 2353(d)(3) of the PHS Act.

SEC. 207. None of the funds appropriated in this Act may be made available to any entity under title X of the PHS Act unless the applicant for the award certifies to the Secretary that it encourages family participation in the decision of minors to seek family planning services and that it provides counseling to minors on how to resist attempts to coerce minors into engaging in sexual activities.

SEC. 208. Notwithstanding any other provision of law, no provider of services under title X of the PHS Act shall be exempt from any State law requiring notification or the reporting of child abuse, child molestation, sexual abuse, rape, or incest.

SEC. 209. None of the funds appropriated by this Act (including funds appropriated to any trust fund) may be used to carry out the Medicare Advantage program if the Secretary denies participation in such program to an otherwise eligible entity (including a Provider Sponsored Organization) because the entity informs the Secretary that it will not provide, pay for, provide coverage of, or provide referrals for abortions: Provided, That the Secretary shall make appropriate prospective adjustments to the capitation payment to such an entity (based on an actuarially sound estimate of the expected costs of providing the service to such entity's enrollees): Provided further, That nothing in this section shall be construed to change the Medicare program's coverage for such services and a Medicare Advantage organization described in this section shall be responsible for informing enrollees where to obtain information about all Medicare covered services.

SEC. 210. In order for HHS to carry out international health activities, including HIV/AIDS and other infectious disease, chronic and environmental disease, and other health activities abroad during fiscal year 2014:

(1) The Secretary may exercise authority equivalent to that available to the Secretary of State in section 2(c) of the State Department Basic Authorities Act of 1956. The Secretary shall consult with the Secretary of State and relevant Chief of Mission to ensure that the authority provided in this section is exercised in a manner consistent with section 207 of the Foreign Service Act of 1980 and other applicable statutes administered by the Department of State.

(2) The Secretary is authorized to provide such funds by advance or reimbursement to the Secretary of State as may be necessary to pay the costs of acquisition, lease, alteration, renovation, and management of facilities outside of the United States for the use of HHS. The Department of State shall cooperate fully with the Secretary to ensure that HHS has secure, safe, functional facilities that comply with applicable regulation governing location, setback, and other facilities requirements and serve the purposes established by this Act. The Secretary is authorized, in consultation with the Secretary of State, through grant or cooperative agreement, to make available to public or nonprofit private institutions or agencies in participating foreign countries, funds to acquire, lease, alter, or renovate facilities in those countries as necessary

to conduct programs of assistance for international health activities, including activities relating to HIV/AIDS and other infectious diseases, chronic and environmental diseases, and other health activities abroad.

(3) The Secretary is authorized to provide to personnel appointed or assigned by the Secretary to serve abroad, allowances and benefits similar to those provided under chapter 9 of title I of the Foreign Service Act of 1980, and 22 U.S.C. 4081 through 4086 and subject to such regulations prescribed by the Secretary. The Secretary is further authorized to provide locality-based comparability payments (stated as a percentage) up to the amount of the locality-based comparability payment (stated as a percentage) that would be payable to such personnel under section 5304 of title 5, United States Code if such personnel's official duty station were in the District of Columbia. Leaves of absence for personnel under this subsection shall be on the same basis as that provided under subchapter I of chapter 63 of title 5, United States Code, or section 903 of the Foreign Service Act of 1980, to individuals serving in the Foreign Service.

SEC. 211. (a) AUTHORITY.—Notwithstanding any other provision of law, the Director of NIH ("Director") may use funds available under section 402(b)(7) or 402(b)(12) of the PHS Act to enter into transactions (other than contracts, cooperative agreements, or grants) to carry out research identified pursuant to such section 402(b)(7) (pertaining to the Common Fund) or research and activities described in such section 402(b)(12).

(b) PEER REVIEW.—In entering into transactions under subsection (a), the Director may utilize such peer review procedures (including consultation with appropriate scientific experts) as the Director determines to be appropriate to obtain assessments of scientific and technical merit. Such procedures shall apply to such transactions in lieu of the peer review and advisory council review procedures that would otherwise be required under sections 301(a)(3), 405(b)(1)(B), 405(b)(2), 406(a)(3)(A), 492, and 494 of the PHS Act.

SEC. 212. Funds which are available for Individual Learning Accounts for employees of CDC and the Agency for Toxic Substances and Disease Registry ("ATSDR") may be transferred to appropriate accounts of CDC, to be available only for Individual Learning Accounts: Provided, That such funds may be used for any individual full-time equivalent employee while such employee is employed either by CDC or ATSDR.

SEC. 213. Notwithstanding any other provisions of law, discretionary funds made available in this Act may be used to continue operating the Council on Graduate Medical Education established by section 301 of Public Law 102–408.

SEC. 214. Not to exceed \$45,000,000 of funds appropriated by this Act to the institutes and centers of the National Institutes of Health may be used for alteration, repair, or improvement of facilities, as necessary for the proper and efficient conduct of the activities authorized herein, at not to exceed \$3,500,000 per project.

## (TRANSFER OF FUNDS)

SEC. 215. Of the amounts made available for NIH, 1 percent of the amount made available for National Research Service Awards ("NRSA") shall be made available to the Administrator of the Health Resources and Services Administration to make NRSA awards for research in primary medical care to individuals affiliated with entities who have received grants or contracts under section 747 of the PHS Act, and 1 percent of the amount made available for NRSA shall be made available to the Director of the Agency for Healthcare Research and Quality to make NRSA awards for health service research.

## SEC. 216.

(a) A state shall be entitled to receive a grant under section 510 of the Social Security Act (42 U.S.C. 710) for fiscal year 2014 only if the Department of Health and Human Services receives an application under section 505(a) of such Act (42 U.S.C. 705(a)) for such fiscal year by no later than September 20, 2014.

(b) CANCELLATION.—The remaining unobligated balances of the amount appropriated for fiscal year 2014 by section 510(d) of such Act (42 U.S.C. 710(d)) for which no application has been received by September 20, 2014, shall be permanently cancelled as of September 27, 2014.

(c) APPROPRIATION.—There is appropriated to the Department of Health and Human Services, to become available on September 27, 2014, and to remain available through September 30, 2015, an amount equal to the unobligated balances cancelled pursuant to subsection (b), for carrying out (in addition to any other funds that may be available



for such purpose) a program of competitive contracts and grants to State and local governments to develop approaches to reduce pregnancy among youth in foster care and to fund age appropriate evidence-based programs that reduce teenage pregnancy, behavioral risk factors underlying teen pregnancy, or other associated risk factors among youth in foster care and for the Federal costs associated with administering and evaluating such contracts and grants.

SEC. 217. The Director of the CDC, or the Administrator of the Agency for Toxic Substances and Disease Registry, may detail staff without reimbursement for up to 180 days, to support the CDC response to a public health emergency or urgent public health event that involves activation of the Emergency Operations Center at the CDC.

SEC. 218. Funds provided to the National Institutes of Health in this and subsequent acts may be used to support the Sanctuary System for Surplus Chimpanzees authorized by section 404K of the Public Health Service Act, including for the construction, renovation, and funding of current or additional facilities of the sanctuary system as authorized by section 404K, notwithstanding the limitations in subsection (g) of such section.

SEC. 219. In the event of a public health emergency declared under section 319 of the PHS Act, the Secretary of HHS may, during the duration of the emergency, transfer discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated in this Act for the current fiscal year for HHS between appropriations for costs of responding to and aiding in recovery from such public health emergency: Provided, That no appropriation may be reduced by more than 10 percent under this section: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate shall be promptly notified of such transfers: Provided further, That this transfer authority is in addition to any other transfer authority.

SEC. 220. Notwithstanding section 338E(c)(2) of the PHS Act, unless funds have been paid to or on behalf of an individual under section 338B(g) of such Act, the Secretary or the individual with whom the Secretary entered into a contract under section 338B of such Act in this or any subsequent fiscal year may terminate the contract within 60 days of its execution. The party electing to terminate the contract under such section must provide written notice to the other party prior to expiration of the 60-day period, and termination of the contract shall be effective upon verified receipt of the termination notice.

SEC. 221. HEALTH INFORMATION TECHNOLOGY USER FEES.—

(a) The Secretary of HHS shall prescribe by regulation, for application in the current fiscal year and in subsequent fiscal years, a schedule of

fees for certification of health information technology as established by Section 300jj-11(c)(5) of Title 42. The fees shall be paid by health information technology vendors based on the fee structure established by the Secretary and published in the Federal Register. The Secretary shall periodically update this schedule of fees through a notice in the Federal Register. This fee structure shall be designed to be sufficient to recover costs associated with the administration of certification programs authorized by Section 300jj-11(c)(5) of Title 42, including the costs for health information technology standards, testing and certification, and other related costs for improving the efficiency of certification programs.

(b) COLLECTION PROCEDURES.—The Secretary shall prescribe procedures to collect the fees. The Secretary may, for the purpose of collecting fees, use the services of a department, agency, or instrumentality authorized by the National Coordinator to perform the certification of health information technology in accordance with Section 300jj-11(c)(5) of Title 42, and may reimburse such department, agency, or instrumentality a reasonable amount for its services.

(c) COLLECTION, DEPOSIT, AND USE.—(1) Fees collected under this section shall be deposited in the HHS Office of the National Coordinator for Health Information Technology account as offsetting collections.

(2) Such fees shall be collected and available only to the extent and in such amounts as provided in advance in appropriations acts.

SEC. 222. (a) The Biomedical Advanced Research and Development Authority (BARDA) may enter into a contract, for more than one but no more than ten program years, for purchase of research services or of security countermeasures, as that term is defined in section 319F-2(c)(1)(B) of the Public Health Service Act (42 U.S.C. 247d-6b(c)(1)(B)), if—

(1) funds are available and obligated—

(A) for the full period of the contract or for the first fiscal year in which the contract is in effect; and

(B) for the estimated costs associated with a necessary termination of the contract; and

(2) the Secretary determines that a multi-year contract will serve the best interests of the Federal Government by encouraging full and open competition or promoting economy in administration, performance, and operation of BARDA's programs.

(b) A contract entered into under this section:

(1) shall include a termination clause as described by subsection (c) of section 3903 of title 41, United States Code; and

(2) shall be subject to the congressional notice requirement stated in subsection (d) of such section.



# DEPARTMENT OF HOMELAND SECURITY

## DEPARTMENTAL MANAGEMENT AND OPERATIONS

### Federal Funds

#### DEPARTMENTAL OPERATIONS

##### OFFICE OF THE SECRETARY AND EXECUTIVE MANAGEMENT

For necessary expenses of the Office of the Secretary of Homeland Security, as authorized by section 102 of the Homeland Security Act of 2002 (6 U.S.C. 112), and executive management of the Department of Homeland Security, as authorized by law, \$126,554,000: Provided, That not to exceed \$51,000 shall be for official reception and representation expenses, of which \$17,000 shall be made available to the Office of International Affairs for Visa Waiver Program negotiations in Washington, DC, and for other international activities: Provided further, That all official costs associated with the use of government aircraft by Department of Homeland Security personnel to support official travel of the Secretary and the Deputy Secretary shall be paid from amounts made available for the Immediate Office of the Secretary and the Immediate Office of the Deputy Secretary.

##### OFFICE OF THE UNDER SECRETARY FOR MANAGEMENT

For necessary expenses of the Office of the Under Secretary for Management, as authorized by sections 701 through 705 of the Homeland Security Act of 2002 (6 U.S.C. 341 through 345), \$202,686,000, of which not to exceed \$2,500 shall be for official reception and representation expenses: Provided, That of the total amount made available under this heading, \$4,729,000 shall remain available until September 30, 2017, solely for the alteration and improvement of facilities, tenant improvements, and relocation costs to consolidate Department headquarters operations at the Nebraska Avenue Complex; and \$9,205,000 shall remain available until September 30, 2015, for the Human Resources Information Technology program.

##### OFFICE OF THE CHIEF FINANCIAL OFFICER

For necessary expenses of the Office of the Chief Financial Officer, as authorized by section 103 of the Homeland Security Act of 2002 (6 U.S.C. 113), \$48,779,000.

#### DEPARTMENT OF HOMELAND SECURITY CONSOLIDATED HEADQUARTERS PROJECT

For necessary expenses to plan, acquire, construct, renovate, remediate, equip, furnish, and occupy building and facilities for the consolidation of department headquarters at St. Elizabeths and associated mission consolidation, and to fund operational costs within the St. Elizabeths Department Operations Center, \$105,500,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 70–0100–0–1–751                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                     |             |         |           |
| 0001 Office of the Secretary and Executive Management ..... | 138         | 134     | 127       |
| 0003 Under Secretary for Management .....                   | 216         | 218     | 189       |
| 0004 DHS Headquarters (Nebraska Avenue Complex) .....       | 5           | 5       | 4         |
| 0005 Human Resources Information Technology .....           | 15          | 14      | 9         |
| 0006 Chief Financial Officer .....                          | 54          | 51      | 49        |
| 0008 DHS HQ Consolidation .....                             | 56          | 56      | 106       |
| 0100 Subtotal, Direct Programs .....                        | 484         | 478     | 484       |
| 0799 Total direct obligations .....                         | 484         | 478     | 484       |
| 0881 Reimbursable program activity .....                    | 73          | 85      | 52        |
| 0889 Reimbursable program activities, subtotal .....        | 73          | 85      | 52        |
| 0900 Total new obligations .....                            | 557         | 563     | 536       |
| <b>Budgetary Resources:</b>                                 |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....       | 32          | 27      | 17        |

|   |      |      |      |
|---|------|------|------|
| 1011 Unobligated balance transfer from other accts [19–0113] ....   | 3    |      |      |
| 1050 Unobligated balance (total) .....                              | 35   | 27   | 17   |
| Budget authority:   |      |      |      |
| Appropriations, discretionary:                                      |      |      |      |
| 1100 Appropriation - OSEM .....                                     | 133  | 134  | 127  |
| 1100 Appropriation - CFO .....                                      | 51   | 51   | 49   |
| 1100 Appropriation - USM .....                                      | 236  | 237  | 202  |
| 1100 Appropriation - DHS HQ Consolidation .....                     | 56   | 56   | 106  |
| 1121 Appropriations transferred from other accts [70–0566] ....     | 1    |      |      |
| 1160 Appropriation, discretionary (total) .....                     | 477  | 478  | 484  |
| Spending authority from offsetting collections, discretionary:      |      |      |      |
| 1700 Collected .....  | 32   | 75   | 52   |
| 1701 Change in uncollected payments, Federal sources .....          | 41   |      |      |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 73   | 75   | 52   |
| 1900 Budget authority (total) .....                                 | 550  | 553  | 536  |
| 1930 Total budgetary resources available .....                      | 585  | 580  | 553  |
| Memorandum (non-add) entries:                                       |      |      |      |
| 1940 Unobligated balance expiring .....                             | –1   |      |      |
| 1941 Unexpired unobligated balance, end of year .....               | 27   | 17   | 17   |
| <b>Change in obligated balance:</b>                                 |      |      |      |
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 554  | 531  | 737  |
| 3010 Obligations incurred, unexpired accounts .....                 | 557  | 563  | 536  |
| 3011 Obligations incurred, expired accounts .....                   | 3    |      |      |
| 3020 Outlays (gross) .....  | –570 | –357 | –350 |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –13  |      |      |
| 3050 Unpaid obligations, end of year .....                          | 531  | 737  | 923  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –50  | –48  | –48  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –41  |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 43   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –48  | –48  | –48  |
| Memorandum (non-add) entries:                                       |      |      |      |
| 3100 Obligated balance, start of year .....                         | 504  | 483  | 689  |
| 3200 Obligated balance, end of year .....                           | 483  | 689  | 875  |
| <b>Budget authority and outlays, net:</b>                           |      |      |      |
| Discretionary:  |      |      |      |
| 4000 Budget authority, gross .....                                  | 550  | 553  | 536  |
| Outlays, gross:   |      |      |      |
| 4010 Outlays from new discretionary authority .....                 | 327  | 141  | 128  |
| 4011 Outlays from discretionary balances .....                      | 243  | 216  | 222  |
| 4020 Outlays, gross (total) .....                                   | 570  | 357  | 350  |
| Offsets against gross budget authority and outlays:                 |      |      |      |
| Offsetting collections (collected) from:                            |      |      |      |
| 4030 Federal sources .....  | –75  | –75  | –52  |
| Additional offsets against gross budget authority only:             |      |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –41  |      |      |
| 4052 Offsetting collections credited to expired accounts .....      | 43   |      |      |
| 4060 Additional offsets against budget authority only (total) ..... | 2    |      |      |
| 4070 Budget authority, net (discretionary) .....                    | 477  | 478  | 484  |
| 4080 Outlays, net (discretionary) .....                             | 495  | 282  | 298  |
| 4180 Budget authority, net (total) .....                            | 477  | 478  | 484  |
| 4190 Outlays, net (total) .....                                     | 495  | 282  | 298  |

The Departmental Operations account funds basic support to the Secretary of Homeland Security, including executive planning and decision-making, management of departmental operations, institutional and public liaison activities, and other program support requirements to ensure effective operation and management of the Department. Specific activities funded by the Departmental Operations account include:

*Office of the Secretary and Executive Management.*—Directs and leads management of the Department and provides policy guidance to operating bureaus within the organization; plans and executes departmental strategies to accomplish agency objectives; provides leadership to the Department and includes the following offices: the Office of the Secretary; the Office of the Deputy Secretary; the Office of the Chief of Staff; the Office of Policy; the Office of the Executive Secretary; the Office of Public Affairs; the Office of Legislative Affairs; the Office of the General

## DEPARTMENTAL OPERATIONS—Continued

Counsel; the Office for Civil Rights and Liberties; the Office of the Citizenship and Immigration Services Ombudsman; the Office of Privacy; the Private Sector Office; the Office of International Affairs; the Office of State and Local Law Enforcement; and the Office of Intergovernmental Affairs.

**Under Secretary for Management.**—Oversees management and operations of the Department, including procurement and acquisition, human capital policy, security, planning and systems, facilities, property, equipment, and administrative services for the Department. The Management Directorate is comprised of the Immediate Office of the Under Secretary for Management, the Office of the Chief Procurement Officer, the Office of the Chief Human Capital Officer, the Office of the Chief Readiness Support Officer, and the Office of the Chief Security Officer, which all report to the Under Secretary for Management.

**Department of Homeland Security Headquarters Consolidation Project.**—Provides funding for designing, building, equipping, and funding operations costs for the Department's consolidated headquarters at St. Elizabeths.

**Chief Financial Officer.**—Funds basic support for financial and budget operations for the Department of Homeland Security. Provides support funding for budget policy and operations; program analysis and evaluation; development of departmental financial management policies; operations, and systems, including consolidated financial statements; oversight of all matters involving relations between the Government Accountability Office and the Office of the Inspector General; policy and operations associated with the DHS bank card program; management of department internal controls; department-wide oversight of grants and assistance awards, and resource management systems.

## Object Classification (in millions of dollars)

| Identification code 70-0100-0-1-751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 162         | 171     | 161       |
| 11.3 Other than full-time permanent .....                | 10          | 8       | 8         |
| 11.5 Other personnel compensation .....                  | 3           | 5       | 4         |
| 11.8 Special personal services payments .....            | 1           |         |           |
| 11.9 Total personnel compensation .....                  | 176         | 184     | 173       |
| 12.1 Civilian personnel benefits .....                   | 49          | 48      | 48        |
| 21.0 Travel and transportation of persons .....          | 6           | 6       | 4         |
| 22.0 Transportation of things .....                      |             | 1       |           |
| 23.1 Rental payments to GSA .....                        | 26          | 20      | 21        |
| 23.2 Rental payments to others .....                     | 1           | 1       |           |
| 24.0 Printing and reproduction .....                     |             | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 48          | 38      | 27        |
| 25.2 Other services from non-Federal sources .....       | 38          | 53      | 26        |
| 25.3 Other goods and services from Federal sources ..... | 79          | 121     | 177       |
| 25.4 Operation and maintenance of facilities .....       | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....        | 5           | 1       | 3         |
| 26.0 Supplies and materials .....                        | 2           | 2       | 2         |
| 31.0 Equipment .....                                     | 44          | 1       | 1         |
| 32.0 Land and structures .....                           | 9           |         |           |
| 99.0 Direct obligations .....                            | 484         | 478     | 484       |
| 99.0 Reimbursable obligations .....                      | 73          | 85      | 52        |
| 99.9 Total new obligations .....                         | 557         | 563     | 536       |

## Employment Summary

| Identification code 70-0100-0-1-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,712       | 1,808   | 1,708     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 47          | 62      | 82        |

## OFFICE OF THE CHIEF INFORMATION OFFICER

*For necessary expenses of the Office of the Chief Information Officer, as authorized by section 103 of the Homeland Security Act of 2002 (6 U.S.C. 113), and Department-wide technology investments, \$273,054,000; of which \$117,347,000 shall be available for salaries and expenses; and of which \$155,707,000, to remain available until September 30, 2016, shall be available for development and acquisition of information technology equipment, software, services, and related activities for the Department of Homeland Security: Provided, That in addition to the amounts provided herein, \$54,200,000 shall be available for data center migration.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70-0102-0-1-751                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Salaries and Expenses .....                                     | 105         | 101     | 117       |
| 0002 Information Technology Services .....                           | 52          | 39      | 33        |
| 0003 Infrastructure Security Activities .....                        | 77          | 70      | 46        |
| 0005 Homeland Secure Data Network .....                              | 42          | 44      | 77        |
| 0006 Spectrum Relocation Fund .....                                  | 2           |         |           |
| 0008 Data Center Consolidation .....                                 | 70          | 70      | 54        |
| 0009 Financial Systems Modernization .....                           | 2           |         |           |
| 0100 Subtotal, Direct Programs .....                                 | 350         | 324     | 327       |
| 0799 Total direct obligations .....                                  | 350         | 324     | 327       |
| 0881 Reimbursable program activity .....                             | 237         | 131     | 131       |
| 0889 Reimbursable program activities, subtotal .....                 | 237         | 131     | 131       |
| 0900 Total new obligations .....                                     | 587         | 455     | 458       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 50          | 42      | 42        |
| 1021 Recoveries of prior year unpaid obligations .....               | 11          |         |           |
| 1050 Unobligated balance (total) .....                               | 61          | 42      | 42        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 327         | 329     | 327       |
| 1121 Appropriations transferred from other accts [11-5512] .....     | 7           |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -5          | -5      |           |
| 1160 Appropriation, discretionary (total) .....                      | 329         | 324     | 327       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 64          | 131     | 131       |
| 1701 Change in uncollected payments, Federal sources .....           | 176         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 240         | 131     | 131       |
| 1900 Budget authority (total) .....                                  | 569         | 455     | 458       |
| 1930 Total budgetary resources available .....                       | 630         | 497     | 500       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 42          | 42      | 42        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 473         | 603     | 599       |
| 3010 Obligations incurred, unexpired accounts .....                  | 587         | 455     | 458       |
| 3020 Outlays (gross) .....   | -442        | -459    | -444      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -11         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -4          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 603         | 599     | 613       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -141        | -255    | -255      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -176        |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 62          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -255        | -255    | -255      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 332         | 348     | 344       |
| 3200 Obligated balance, end of year .....                            | 348         | 344     | 358       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 569         | 455     | 458       |

|   |  |      |      |      |
|---|--|------|------|------|
| Outlays, gross:   |  |      |      |      |
| 4010  | Outlays from new discretionary authority .....                 | 175  | 141  | 141  |
| 4011  | Outlays from discretionary balances .....                      | 267  | 318  | 303  |
| 4020  | Outlays, gross (total) .....                                   | 442  | 459  | 444  |
| Offsets against gross budget authority and outlays:     |  |      |      |      |
| Offsetting collections (collected) from:                |  |      |      |      |
| 4030  | Federal sources .....  | -126 | -131 | -131 |
| Additional offsets against gross budget authority only: |  |      |      |      |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -176 |      |      |
| 4052  | Offsetting collections credited to expired accounts .....      | 62   |      |      |
| 4060  | Additional offsets against budget authority only (total) ..... | -114 |      |      |
| 4070  | Budget authority, net (discretionary) .....                    | 329  | 324  | 327  |
| 4080  | Outlays, net (discretionary) .....                             | 316  | 328  | 313  |
| 4180  | Budget authority, net (total) .....                            | 329  | 324  | 327  |
| 4190  | Outlays, net (total) .....                                     | 316  | 328  | 313  |

This account includes funding for department-wide investments in information technology and operating expenses for the Office of the Chief Information Officer. Funding from this account will be used for department-wide investments and high-priority investments that DHS components need to modernize business processes and increase efficiency through information technology improvements. The account includes costs for operations and investments in program activities such as Information Technology Services, Infrastructure and Security Activities, and the Homeland Secure Data Network.

**Object Classification** (in millions of dollars)

| Identification code 70-0102-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 38          | 41      | 41        |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 39          | 42      | 42        |
| 12.1 Civilian personnel benefits .....                          | 8           | 9       | 9         |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 7           | 13      | 13        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 67          | 56      | 63        |
| 25.2 Other services from non-Federal sources .....              | 6           | 6       | 6         |
| 25.3 Other goods and services from Federal sources .....        | 17          | 23      | 23        |
| 25.4 Operation and maintenance of facilities .....              | 4           | 6       | 6         |
| 25.7 Operation and maintenance of equipment .....               | 192         | 161     | 157       |
| 25.8 Subsistence and support of persons .....                   | 2           | 1       | 1         |
| 26.0 Supplies and materials .....                               |             | 1       | 1         |
| 31.0 Equipment .....  | 6           | 3       | 3         |
| 99.0 Direct obligations .....                                   | 350         | 324     | 327       |
| 99.0 Reimbursable obligations .....                             | 237         | 131     | 131       |
| 99.9 Total new obligations .....                                | 587         | 455     | 458       |

**Employment Summary**

| Identification code 70-0102-0-1-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 261         | 271     | 274       |
| 2001 Reimbursable civilian full-time equivalent employment ..... |             | 27      |           |

**WORKING CAPITAL FUND****Program and Financing** (in millions of dollars)

| Identification code 70-4640-0-4-751                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0801 Reimbursable program .....                        | 611         | 633     | 968       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 82          | 77      | 76        |
| 1021 Recoveries of prior year unpaid obligations ..... | 34          |         |           |
| 1050 Unobligated balance (total) .....                 | 116         | 77      | 76        |

|  |     |      |       |  |
|--|-----|------|-------|--|
| Budget authority:  |     |      |       |  |
| Appropriations, discretionary:                                       |     |      |       |  |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -5  | -1   |       |  |
| 1160 Appropriation, discretionary (total) .....                      | -5  | -1   |       |  |
| Spending authority from offsetting collections, discretionary:       |     |      |       |  |
| 1700 Collected .....   | 673 | 828  | 1,168 |  |
| 1701 Change in uncollected payments, Federal sources .....           | -96 | -195 | -200  |  |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 577 | 633  | 968   |  |
| 1900 Budget authority (total) .....                                  | 572 | 632  | 968   |  |
| 1930 Total budgetary resources available .....                       | 688 | 709  | 1,044 |  |
| Memorandum (non-add) entries:  |     |      |       |  |
| 1941 Unexpired unobligated balance, end of year .....                | 77  | 76   | 76    |  |

**Change in obligated balance:**

|   |      |      |      |  |
|---|------|------|------|--|
| Unpaid obligations:   |      |      |      |  |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 412  | 350  | 293  |  |
| 3010 Obligations incurred, unexpired accounts .....               | 611  | 633  | 968  |  |
| 3020 Outlays (gross) .....  | -639 | -690 | -902 |  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -34  |      |      |  |
| 3050 Unpaid obligations, end of year .....                        | 350  | 293  | 359  |  |
| Uncollected payments:   |      |      |      |  |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -491 | -395 | -200 |  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 96   | 195  | 200  |  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -395 | -200 |      |  |
| Memorandum (non-add) entries:                                     |      |      |      |  |
| 3100 Obligated balance, start of year .....                       | -79  | -45  | 93   |  |
| 3200 Obligated balance, end of year .....                         | -45  | 93   | 359  |  |

**Budget authority and outlays, net:**

|  |      |      |        |  |
|--|------|------|--------|--|
| Discretionary:   |      |      |        |  |
| 4000 Budget authority, gross .....                             | 572  | 632  | 968    |  |
| Outlays, gross:  |      |      |        |  |
| 4010 Outlays from new discretionary authority .....            | 399  | 348  | 532    |  |
| 4011 Outlays from discretionary balances .....                 | 240  | 342  | 370    |  |
| 4020 Outlays, gross (total) .....                              | 639  | 690  | 902    |  |
| Offsets against gross budget authority and outlays:            |      |      |        |  |
| Offsetting collections (collected) from:                       |      |      |        |  |
| 4030 Federal sources .....                                     | -673 | -828 | -1,168 |  |
| Additional offsets against gross budget authority only:        |      |      |        |  |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 96   | 195  | 200    |  |
| 4070 Budget authority, net (discretionary) .....               | -5   | -1   |        |  |
| 4080 Outlays, net (discretionary) .....                        | -34  | -138 | -266   |  |
| 4180 Budget authority, net (total) .....                       | -5   | -1   |        |  |
| 4190 Outlays, net (total) .....                                | -34  | -138 | -266   |  |

The Department of Homeland Security Working Capital Fund serves as a centralized reimbursable mechanism for collecting costs for administrative services that can be performed more advantageously and economically at the Departmental level. The Department of Homeland Security Working Capital Fund was authorized in the Department of Homeland Security Appropriations Act, 2004.

**Object Classification** (in millions of dollars)

| Identification code 70-4640-0-4-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 50          | 52      | 61        |
| 11.5 Other personnel compensation .....                         | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 51          | 52      | 61        |
| 12.1 Civilian personnel benefits .....                          | 14          | 16      | 18        |
| 23.1 Rental payments to GSA .....                               | 82          | 77      | 138       |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 12          | 28      | 30        |
| 25.1 Advisory and assistance services .....                     | 31          | 59      | 53        |
| 25.2 Other services from non-Federal sources .....              | 10          | 50      | 86        |
| 25.3 Other goods and services from Federal sources .....        | 187         | 107     | 116       |
| 25.7 Operation and maintenance of equipment .....               | 191         | 217     | 457       |
| 26.0 Supplies and materials .....                               | 2           | 2       | 2         |
| 31.0 Equipment .....  | 31          | 25      | 7         |
| 99.9 Total new obligations .....                                | 611         | 633     | 968       |

### WORKING CAPITAL FUND—Continued

#### Employment Summary

| Identification code 70–4640–0–4–751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 483         | 480     | 564       |

#### ANALYSIS AND OPERATIONS

*For necessary expenses for intelligence analysis and operations coordination activities, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), \$309,228,000; of which not to exceed \$4,250 shall be for official reception and representation expenses; and of which \$117,412,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 70–0115–0–1–751                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Analysis and Operations .....                                 | 341         | 340     | 309       |
| 0801 Reimbursable program .....                                    | 9           | 6       | 6         |
| 0900 Total new obligations .....                                   | 350         | 346     | 315       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | 4       | 4         |
| 1021 Recoveries of prior year unpaid obligations .....             | 5           |         |           |
| 1050 Unobligated balance (total) .....                             | 7           | 4       | 4         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 338         | 340     | 309       |
| 1160 Appropriation, discretionary (total) .....                    | 338         | 340     | 309       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 2           | 2       | 4         |
| 1701 Change in uncollected payments, Federal sources .....         | 8           | 4       | 2         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 10          | 6       | 6         |
| 1900 Budget authority (total) .....                                | 348         | 346     | 315       |
| 1930 Total budgetary resources available .....                     | 355         | 350     | 319       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 244         | 223     | 226       |
| 3010 Obligations incurred, unexpired accounts .....                | 350         | 346     | 315       |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020 Outlays (gross) .....   | –352        | –343    | –319      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –5          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –15         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 223         | 226     | 222       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –11         | –9      | –13       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –8          | –4      | –2        |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 10          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –9          | –13     | –15       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 233         | 214     | 213       |
| 3200 Obligated balance, end of year .....                          | 214         | 213     | 207       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 348         | 346     | 315       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 206         | 173     | 158       |
| 4011 Outlays from discretionary balances .....                     | 146         | 170     | 161       |
| 4020 Outlays, gross (total) .....                                  | 352         | 343     | 319       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –11         | –6      | –6        |

|   |     |     |     |
|---|-----|-----|-----|
| Additional offsets against gross budget authority only:             |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –8  | –4  | –2  |
| 4052 Offsetting collections credited to expired accounts .....      | 9   | 4   | 2   |
| 4060 Additional offsets against budget authority only (total) ..... | 1   |     |     |
| 4070 Budget authority, net (discretionary) .....                    | 338 | 340 | 309 |
| 4080 Outlays, net (discretionary) .....                             | 341 | 337 | 313 |
| 4180 Budget authority, net (total) .....                            | 338 | 340 | 309 |
| 4190 Outlays, net (total) .....                                     | 341 | 337 | 313 |

The Analysis and Operations appropriation provides resources for the support of the Office of Intelligence and Analysis (I&A) and the Office of Operations Coordination and Planning (OPS). This appropriation includes both National Intelligence Program (NIP) and non-NIP funds. Even though these two offices are different and distinct in their missions, they work closely together and collaborate with other departmental component agencies and related federal agencies, as well as state, local, tribal, foreign, and private-sector partners, to improve intelligence analysis, information sharing, incident management support, and situational awareness.

**Office of Intelligence and Analysis (I&A).**—I&A's mission is to analyze intelligence and information about homeland security threats and serve as the two-way interface between the national Intelligence Community (IC) and state, local, tribal and private sector partners on homeland security intelligence and information—including warnings, actionable intelligence, and analysis—to ensure that Headquarters leadership, departmental operating Components, federal policy, law enforcement and IC partners, and frontline law enforcement have the tools they need to confront and disrupt terrorist threats. I&A has a unique analytic mission, blending intelligence from the IC with DHS Component and other stakeholder source data, to provide homeland security-centric products. The Under Secretary for Intelligence and Analysis leads I&A and is the Department's Chief Intelligence Officer responsible for managing the entire DHS Intelligence Enterprise. The Under Secretary is also the Department's Chief Information Sharing Officer responsible for implementing the objectives of the Department and the National Strategy on Information Sharing within DHS.

**Office of Operations Coordination and Planning (OPS).**—The mission of OPS is to provide decision support and enable the Secretary's execution of responsibilities across the homeland security enterprise by promoting situational awareness and information sharing, integrating and synchronizing strategic operations and planning, and administering the DHS continuity program. OPS plays a pivotal role in the DHS mission to lead the unified national effort to secure America by facilitating the Secretary's responsibilities across the full spectrum of incident management efforts (i.e., prevention, protection, response and recovery). OPS provides situational awareness, assessments, and operations coordination for the DHS Secretary and facilitates operational information sharing with all DHS components, as well as for Federal, state, local, tribal, private sector, and international partners. In support of the Secretary's role as the Principal Federal Official for domestic incident management, OPS develops and coordinates Departmental and interagency strategic-level operations plans. Additionally, OPS supports the DHS mission to lead the national unified effort to secure America by maintaining the National Operations Center (NOC) and by providing 24/7 incident management capabilities to ensure a seamless integration of threat monitoring and information flow. The NOC serves as a 24/7 multi-agency organization, fusing law enforcement, national intelligence, emergency response, and private sector reporting. The NOC is the primary national-level hub for domestic incident management, operations coordination, and situational awareness.

**Object Classification** (in millions of dollars)

| Identification code 70-0115-0-1-751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 85          | 88      | 93        |
| 11.5 Other personnel compensation .....                  | 2           | 3       | 2         |
| 11.8 Special personal services payments .....            | 6           | 3       | 2         |
| 11.9 Total personnel compensation .....                  | 93          | 94      | 97        |
| 12.1 Civilian personnel benefits .....                   | 25          | 27      | 28        |
| 21.0 Travel and transportation of persons .....          | 4           | 4       | 4         |
| 22.0 Transportation of things .....                      | 1           |         |           |
| 23.1 Rental payments to GSA .....                        | 9           | 11      | 11        |
| 25.1 Advisory and assistance services .....              | 119         | 121     | 91        |
| 25.2 Other services from non-Federal sources .....       | 1           |         |           |
| 25.3 Other goods and services from Federal sources ..... | 48          | 53      | 54        |
| 25.4 Operation and maintenance of facilities .....       | 1           |         |           |
| 25.7 Operation and maintenance of equipment .....        | 29          | 15      | 12        |
| 26.0 Supplies and materials .....                        | 4           | 2       | 2         |
| 31.0 Equipment .....                                     | 6           | 13      | 10        |
| 32.0 Land and structures .....                           | 1           |         |           |
| 99.0 Direct obligations .....                            | 341         | 340     | 309       |
| 99.0 Reimbursable obligations .....                      | 9           | 6       | 6         |
| 99.9 Total new obligations .....                         | 350         | 346     | 315       |

**Employment Summary**

| Identification code 70-0115-0-1-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 810         | 871     | 852       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 7           | 7       | 10        |

**Trust Funds****GIFTS AND DONATIONS****Program and Financing** (in millions of dollars)

| Identification code 70-8244-0-7-453                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Direct program activity .....                               | 1           |         |           |
| 0100 Direct program activities, subtotal .....                   | 1           |         |           |
| 0900 Total new obligations (object class 25.2) .....             | 1           |         |           |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 4           | 3       | 3         |
| 1930 Total budgetary resources available .....                   | 4           | 3       | 3         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            |             | 1       |           |
| 3010 Obligations incurred, unexpired accounts .....              | 1           |         |           |
| 3020 Outlays (gross) .....                                       |             | -1      |           |
| 3050 Unpaid obligations, end of year .....                       | 1           |         |           |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      |             | 1       |           |
| 3200 Obligated balance, end of year .....                        | 1           |         |           |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                   |             | 1       |           |
| 4190 Outlays, net (total) .....                                  |             | 1       |           |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... |             | 2       | 2         |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 2           | 2       | 2         |

This account represents contributions to the Department from private sources and through the "Heroes" semi-postal stamp

program, and includes bequests and gifts from the estate of Cora Brown given to the Federal Emergency Management Agency.

**OFFICE OF THE INSPECTOR GENERAL****Federal Funds****OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), \$119,309,000, of which not to exceed \$300,000 may be used for certain confidential operational expenses, including the payment of informants, to be expended at the direction of the Inspector General.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 70-0200-0-1-751                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Inspections and Investigations .....                           | 141         | 142     | 143       |
| 0801 Reimbursable program .....                                     | 18          | 18      | 18        |
| 0900 Total new obligations .....                                    | 159         | 160     | 161       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 5           | 1       | 4         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 117         | 118     | 119       |
| 1121 Appropriations transferred from other accts [70-0702] ....     | 24          | 27      | 24        |
| 1160 Appropriation, discretionary (total) .....                     | 141         | 145     | 143       |
| Spending authority from offsetting collections, discretionary:      |             |         |           |
| 1700 Collected .....  | 7           | 18      | 18        |
| 1701 Change in uncollected payments, Federal sources .....          | 7           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 14          | 18      | 18        |
| 1900 Budget authority (total) .....                                 | 155         | 163     | 161       |
| 1930 Total budgetary resources available .....                      | 160         | 164     | 165       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 1           | 4       | 4         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 33          | 34      | 41        |
| 3010 Obligations incurred, unexpired accounts .....                 | 159         | 160     | 161       |
| 3020 Outlays (gross) .....  | -154        | -153    | -163      |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | -4          |         |           |
| 3050 Unpaid obligations, end of year .....                          | 34          | 41      | 39        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -11         | -9      | -9        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | -7          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 9           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | -9          | -9      | -9        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 22          | 25      | 32        |
| 3200 Obligated balance, end of year .....                           | 25          | 32      | 30        |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| Budget authority, gross .....                                       | 155         | 163     | 161       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 132         | 137     | 135       |
| 4011 Outlays from discretionary balances .....                      | 22          | 16      | 28        |
| 4020 Outlays, gross (total) .....                                   | 154         | 153     | 163       |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| Federal sources .....   | -13         | -18     | -18       |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -7          |         |           |
| 4052 Offsetting collections credited to expired accounts .....      | 6           |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | -1          |         |           |

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

| Identification code 70–0200–0–1–751              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4070 Budget authority, net (discretionary) ..... | 141         | 145     | 143       |
| 4080 Outlays, net (discretionary) .....          | 141         | 135     | 145       |
| 4180 Budget authority, net (total) .....         | 141         | 145     | 143       |
| 4190 Outlays, net (total) .....                  | 141         | 135     | 145       |

This account finances the Office of Inspector General's (OIG) cost of conducting and supervising audits, inspections, and investigations relating to the programs and operations of the Department to promote economy, efficiency, and effectiveness and to prevent and detect fraud, waste, and abuse in such programs and operations. The Budget reflects resources that will enable the OIG to perform its oversight responsibilities, as well as assist DHS in achieving its goal of "organizational excellence." The resources requested will increase the number of reports that the OIG is able to produce and its ability to provide a greater number of departmental managers with recommendations to ensure that their program/activities are operating in the most economical, efficient, and effective manner possible. In addition, the resources will enable the OIG to conduct new audits annually, including in-house grant audits of state grantees and local government sub-grantees; adequately staff existing investigative field offices; address major information technology issues facing the Department in the various stages of development and implementation; and continue the prominent operations of the OIG's Emergency Management Oversight office.

## Object Classification (in millions of dollars)

| Identification code 70–0200–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 72          | 73      | 73        |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 5           | 5       | 5         |
| 11.9 Total personnel compensation .....                         | 79          | 80      | 80        |
| 12.1 Civilian personnel benefits .....                          | 26          | 26      | 26        |
| 21.0 Travel and transportation of persons .....                 | 5           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 14          | 14      | 13        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 3       | 4         |
| 25.1 Advisory and assistance services .....                     | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 4           | 5       | 7         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 4           | 4       | 3         |
| 99.0 Direct obligations .....                                   | 141         | 142     | 143       |
| 99.0 Reimbursable obligations .....                             | 18          | 18      | 18        |
| 99.9 Total new obligations .....                                | 159         | 160     | 161       |

## Employment Summary

| Identification code 70–0200–0–1–751                        | 2012 actual      | 2013 CR | 2014 est. |
|--|------------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 779 <sup>1</sup> | 681     | 681       |

<sup>1</sup>2012 the 779 FTEs displayed includes full time 51 CORE, 31 Student interns, 6 ARRA employees

## CITIZENSHIP AND IMMIGRATION SERVICES

## Federal Funds

## UNITED STATES CITIZENSHIP AND IMMIGRATION SERVICES

For necessary expenses for citizenship and immigration services, \$124,213,000, of which \$114,213,000 is for the E-Verify Program, as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note), to assist United States employers with maintaining a legal workforce, and of which \$10,000,000

is for the Citizenship and Integration Grant Program: Provided, That, notwithstanding any other provision of law, funds otherwise made available to United States Citizenship and Immigration Services may be used to acquire, operate, equip, and dispose of up to 5 vehicles, for replacement only, for areas where the Administrator of General Services does not provide vehicles for lease: Provided further, That the Director of United States Citizenship and Immigration Services may authorize employees who are assigned to those areas to use such vehicles to travel between the employees' residences and places of employment: Provided further, That none of the funds made available in this Act for grants for immigrant integration may be used to provide services to aliens who have not been lawfully admitted for permanent residence.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 70–0300–0–1–751                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 1           | 2       | 20        |
| Receipts:   |             |         |           |
| 0260 Immigration Examination Fee .....                                    | 2,709       | 2,924   | 3,041     |
| 0261 H-1B Nonimmigrant Petitioner Account .....                           | 323         | 260     | 260       |
| 0262 H-1B and L Fraud Prevention and Detection Account .....              | 136         | 123     | 123       |
| 0299 Total receipts and collections .....                                 | 3,168       | 3,307   | 3,424     |
| 0400 Total: Balances and collections .....                                | 3,169       | 3,309   | 3,444     |
| Appropriations:   |             |         |           |
| 0500 Citizenship and Immigration Services .....                           | –2,709      | –2,924  | –3,041    |
| 0501 Citizenship and Immigration Services .....                           | –16         | –13     | –13       |
| 0502 Citizenship and Immigration Services .....                           | –45         | –39     | –41       |
| 0503 Training and Employment Services .....                               | –161        | –125    | –125      |
| 0504 State Unemployment Insurance and Employment Service Operations ..... | –17         | –13     | –13       |
| 0505 H-1 B and L Fraud Prevention and Detection .....                     | –45         | –35     | –35       |
| 0506 Diplomatic and Consular Programs .....                               | –45         | –40     | –41       |
| 0507 Education and Human Resources .....                                  | –129        | –100    | –100      |
| 0599 Total appropriations .....   | –3,167      | –3,289  | –3,409    |
| 0799 Balance, end of year .....   | 2           | 20      | 35        |

## Program and Financing (in millions of dollars)

| Identification code 70–0300–0–1–751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:  |             |         |           |
| 0001 Direct program activity .....  | 2,752       | 3,093   | 3,223     |
| 0801 Reimbursable program .....   | 27          | 39      | 36        |
| 0900 Total new obligations .....  | 2,779       | 3,132   | 3,259     |
| Budgetary Resources:  |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1,055       | 1,271   | 1,345     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                 | 31          | 8       | .....     |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 1           | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 90          | 92      | 91        |
| 1050 Unobligated balance (total) .....  | 1,146       | 1,363   | 1,436     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 102         | 103     | 124       |
| 1120 Appropriations transferred to other accts [15–0339] .....                  | .....       | –4      | –4        |
| 1131 Unobligated balance of appropriations permanently reduced .....            | –1          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                                 | 101         | 99      | 120       |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (examinations fee) .....                                     | 2,709       | 2,924   | 3,041     |
| 1201 Appropriation (H-1B fee) .....   | 16          | 13      | 13        |
| 1201 Appropriation (H-1B L Fraud Fee) .....                                     | 45          | 39      | 41        |
| 1220 Appropriations transferred to other accts [15–0339] .....                  | –4          | .....   | .....     |
| 1260 Appropriations, mandatory (total) .....                                    | 2,766       | 2,976   | 3,095     |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 33          | 39      | 36        |
| 1801 Change in uncollected payments, Federal sources .....                      | 4           | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 37          | 39      | 36        |
| 1900 Budget authority (total) .....   | 2,904       | 3,114   | 3,251     |



|   |   |        |        |        |
|---|---|--------|--------|--------|
| 1930                                      | Total budgetary resources available .....                       | 4,050  | 4,477  | 4,687  |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 1,271  | 1,345  | 1,428  |
| <b>Change in obligated balance:</b>       |   |        |        |        |
|   | Unpaid obligations:   |        |        |        |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 1,018  | 965    | 880    |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 2,779  | 3,132  | 3,259  |
| 3020                                      | Outlays (gross) .....   | -2,734 | -3,125 | -3,252 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | -90    | -92    | -91    |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....      | -8     |        |        |
| 3050                                      | Unpaid obligations, end of year .....                           | 965    | 880    | 796    |
|   | Uncollected payments:   |        |        |        |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -10    | -14    | -14    |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | -4     |        |        |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | -14    | -14    | -14    |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 3100                                      | Obligated balance, start of year .....                          | 1,008  | 951    | 866    |
| 3200                                      | Obligated balance, end of year .....                            | 951    | 866    | 782    |
| <b>Budget authority and outlays, net:</b> |   |        |        |        |
|   | Discretionary:  |        |        |        |
| 4000                                      | Budget authority, gross .....                                   | 101    | 99     | 120    |
|   | Outlays, gross:   |        |        |        |
| 4010                                      | Outlays from new discretionary authority .....                  | 50     | 84     | 101    |
| 4011                                      | Outlays from discretionary balances .....                       | 88     |        | 15     |
| 4020                                      | Outlays, gross (total) .....                                    | 138    | 84     | 116    |
|   | Mandatory:  |        |        |        |
| 4090                                      | Budget authority, gross .....                                   | 2,803  | 3,015  | 3,131  |
|   | Outlays, gross:   |        |        |        |
| 4100                                      | Outlays from new mandatory authority .....                      | 2,035  | 2,420  | 2,512  |
| 4101                                      | Outlays from mandatory balances .....                           | 561    | 621    | 624    |
| 4110                                      | Outlays, gross (total) .....                                    | 2,596  | 3,041  | 3,136  |
|   | Offsets against gross budget authority and outlays:             |        |        |        |
|   | Offsetting collections (collected) from:                        |        |        |        |
| 4120                                      | Federal sources .....   | -24    | -33    | -30    |
| 4123                                      | Non-Federal sources .....                                       | -9     | -6     | -6     |
| 4130                                      | Offsets against gross budget authority and outlays (total) .... | -33    | -39    | -36    |
|   | Additional offsets against gross budget authority only:         |        |        |        |
| 4140                                      | Change in uncollected pymts, Fed sources, unexpired .....       | -4     |        |        |
| 4160                                      | Budget authority, net (mandatory) .....                         | 2,766  | 2,976  | 3,095  |
| 4170                                      | Outlays, net (mandatory) .....                                  | 2,563  | 3,002  | 3,100  |
| 4180                                      | Budget authority, net (total) .....                             | 2,867  | 3,075  | 3,215  |
| 4190                                      | Outlays, net (total) .....                                      | 2,701  | 3,086  | 3,216  |

The mission of U.S. Citizenship and Immigration Services (USCIS) is to adjudicate and grant immigration and citizenship benefits, provide accurate and useful information to its customers, and promote an awareness and understanding of citizenship in support of immigrant integration, while also protecting the integrity of our Nation's immigration system. USCIS approves millions of immigration benefit applications each year, ranging from work authorization and lawful permanent residency to asylum and refugee status. The Budget continues to invest in technology to improve and automate business operations, eliminate paper-based processing, improve information sharing, and enhance USCIS' ability to identify and prevent immigration benefit fraud.

The Budget assumes that USCIS will continue to be funded primarily through fees on the applications and petitions it adjudicates.

#### Object Classification (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 70-0300-0-1-751             | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                             |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 873         | 911     | 1,067     |
| 11.3 Other than full-time permanent .....       | 7           | 8       | 9         |
| 11.5 Other personnel compensation .....         | 36          | 37      | 43        |
| 11.9 Total personnel compensation .....         | 916         | 956     | 1,119     |
| 12.1 Civilian personnel benefits .....          | 274         | 286     | 335       |
| 21.0 Travel and transportation of persons ..... | 31          | 24      | 24        |
| 22.0 Transportation of things .....             | 9           | 11      | 11        |
| 23.1 Rental payments to GSA .....               | 211         | 214     | 226       |
| 23.2 Rental payments to others .....            | 11          | 15      | 14        |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 43    | 52    | 52    |
| 24.0 | Printing and reproduction .....                            | 5     | 5     | 5     |
| 25.1 | Advisory and assistance services .....                     | 671   | 803   | 765   |
| 25.2 | Other services from non-Federal sources .....              | 37    | 54    | 49    |
| 25.3 | Other goods and services from Federal sources .....        | 317   | 361   | 357   |
| 25.7 | Operation and maintenance of equipment .....               | 50    | 60    | 58    |
| 26.0 | Supplies and materials .....                               | 34    | 38    | 39    |
| 31.0 | Equipment .....  | 110   | 173   | 128   |
| 32.0 | Land and structures .....                                  | 19    | 22    | 22    |
| 41.0 | Grants, subsidies, and contributions .....                 | 13    | 18    | 18    |
| 42.0 | Insurance claims and indemnities .....                     | 1     | 1     | 1     |
| 99.0 | Direct obligations .....                                   | 2,752 | 3,093 | 3,223 |
| 99.0 | Reimbursable obligations .....                             | 27    | 39    | 36    |
| 99.9 | Total new obligations .....                                | 2,779 | 3,132 | 3,259 |

#### Employment Summary

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 70-0300-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment ..... | 10,769      | 10,849  | 13,151    |

## UNITED STATES SECRET SERVICE

### Federal Funds

#### OPERATING EXPENSES

*For necessary expenses of the United States Secret Service, including purchase of not to exceed 652 vehicles for police-type use for replacement only; hire of passenger motor vehicles; purchase of motorcycles made in the United States; hire of aircraft; services of expert witnesses at such rates as may be determined by the Director of the Secret Service; rental of buildings in the District of Columbia, and fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control, as may be necessary to perform protective functions; payment of per diem or subsistence allowances to employees in cases in which a protective assignment on the actual day or days of the visit of a protectee requires an employee to work 16 hours per day or to remain overnight at a post of duty; conduct of and participation in firearms matches; presentation of awards; travel of United States Secret Service employees on protective missions without regard to the limitations on such expenditures in this or any other Act; research and development; grants to conduct behavioral research in support of protective research and operations; and payment in advance for commercial accommodations as may be necessary to perform protective functions; \$1,494,614,000, of which not to exceed \$21,250 shall be for official reception and representation expenses; of which not to exceed \$100,000 shall be to provide technical assistance and equipment to foreign law enforcement organizations in counterfeit investigations: Provided, That \$18,000,000 for protective travel shall remain available until September 30, 2015: Provided further, That \$4,500,000 for National Special Security Events shall remain available until expended: Provided further, That the United States Secret Service is authorized to obligate funds in anticipation of reimbursements from Federal agencies and entities, as defined in section 105 of title 5, United States Code, for personnel receiving training sponsored by the James J. Rowley Training Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available under this heading at the end of the fiscal year: Provided further, That none of the funds made available under this heading shall be available to compensate any employee for overtime in an annual amount in excess of \$35,000, except that the Secretary of Homeland Security, or the designee of the Secretary, may waive that amount as necessary for national security purposes: Provided further, That none of the funds made available to the United States Secret Service by this Act or by previous appropriations Acts may be made available for the protection of the head of a Federal agency other than the Secretary of Homeland Security: Provided further, That the Director of the United States Secret Service may enter into an agreement to provide such protection on a fully reimbursable basis: Provided further, That none of the funds made available to the United States Secret Service by this Act or by previous appropriations Acts may be obligated for the purpose of opening a new permanent domestic or overseas office or location unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such obligation.*

## OPERATING EXPENSES—Continued

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0400–0–1–751  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Protection of persons and facilities .....                                      | 846         | 863     | 823       |
| 0002 Protective intelligence activities .....  | 68          | 69      | 68        |
| 0003 Presidential candidate nominee protection .....                                 | 78          | 114     | .....     |
| 0004 White House Mail Screening .....  | 18          | 19      | 18        |
| 0005 National Special Security Events .....  | 18          | 20      | 5         |
| 0006 Headquarters, management and administration .....                               | 200         | 192     | 177       |
| 0007 Rowley Training Center .....  | 55          | 56      | 56        |
| 0008 Domestic field operations .....   | 239         | 225     | 259       |
| 0009 International field operations, administration and operations ....              | 33          | 33      | 31        |
| 0010 Electronic crimes special agent program and electronic crimes task forces ..... | 54          | 53      | 57        |
| 0011 Support for missing and exploited children .....                                | 8           | 8       | .....     |
| 0012 Information Integration and Technology Transformation .....                     | 40          | 48      | 1         |
| 0799 Total direct obligations .....  | 1,657       | 1,700   | 1,495     |
| 0801 Reimbursable program .....  | 49          | 25      | 25        |
| 0900 Total new obligations .....   | 1,706       | 1,725   | 1,520     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                | 15          | 30      | .....     |
| 1012 Unobligated balance transfers between expired and unexpired accounts .....      | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....   | 16          | 30      | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 1,661       | 1,671   | 1,495     |
| 1121 Appropriations transferred from other accts [11–5512] ....                      | 15          | .....   | .....     |
| 1121 Appropriations transferred from other accts [70–0117] ....                      | 2           | .....   | .....     |
| 1130 Appropriations permanently reduced .....  | –1          | .....   | .....     |
| 1131 Unobligated balance of appropriations permanently reduced .....                 | .....       | –1      | .....     |
| 1160 Appropriation, discretionary (total) .....                                      | 1,677       | 1,670   | 1,495     |
| Spending authority from offsetting collections, discretionary:                       |             |         |           |
| 1700 Collected .....   | 11          | 25      | 25        |
| 1701 Change in uncollected payments, Federal sources .....                           | 37          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....                   | 48          | 25      | 25        |
| 1900 Budget authority (total) .....  | 1,725       | 1,695   | 1,520     |
| 1930 Total budgetary resources available .....                                       | 1,741       | 1,725   | 1,520     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....  | –5          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                                | 30          | .....   | .....     |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                | 370         | 441     | 503       |
| 3010 Obligations incurred, unexpired accounts .....                                  | 1,706       | 1,725   | 1,520     |
| 3011 Obligations incurred, expired accounts .....                                    | 2           | .....   | .....     |
| 3020 Outlays (gross) .....   | –1,612      | –1,663  | –1,678    |
| 3041 Recoveries of prior year unpaid obligations, expired .....                      | –25         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....   | 441         | 503     | 345       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                    | –20         | –42     | –42       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                       | –37         | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                         | 15          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....                               | –42         | –42     | –42       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 350         | 399     | 461       |
| 3200 Obligated balance, end of year .....  | 399         | 461     | 303       |

|   |       |       |       |
|---|-------|-------|-------|
| <b>Budget authority and outlays, net:</b>           |       |       |       |
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | 1,725 | 1,695 | 1,520 |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 1,349 | 1,366 | 1,226 |
| 4011 Outlays from discretionary balances .....      | 263   | 297   | 452   |
| 4020 Outlays, gross (total) .....                   | 1,612 | 1,663 | 1,678 |

|   |       |       |       |
|---|-------|-------|-------|
| Offsets against gross budget authority and outlays:                 |       |       |       |
| Offsetting collections (collected) from:                            |       |       |       |
| 4030 Federal sources .....  | –28   | –25   | –25   |
| Additional offsets against gross budget authority only:             |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –37   | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts .....      | 17    | ..... | ..... |
| 4060 Additional offsets against budget authority only (total) ..... | –20   | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                    | 1,677 | 1,670 | 1,495 |
| 4080 Outlays, net (discretionary) .....                             | 1,584 | 1,638 | 1,653 |
| 4180 Budget authority, net (total) .....                            | 1,677 | 1,670 | 1,495 |
| 4190 Outlays, net (total) .....                                     | 1,584 | 1,638 | 1,653 |

The United States Secret Service has statutory authority to carry out two primary missions: protection of the nations leaders and investigation of financial and electronic crimes. The Secret Service protects and investigates threats against the President and Vice President, their families, visiting heads of state and government, and other individuals as directed by the President; protects the White House Complex, Vice President's Residence, foreign missions, and other buildings within Washington, D.C.; and manages the security at designated National Special Security Events. The Secret Service also investigates violations of laws relating to counterfeiting of obligations and securities of the United States; financial crimes that include, but are not limited to, access device fraud, financial institution fraud, identity theft, and computer fraud; and computer-based attacks on financial, banking, telecommunications, and other critical infrastructure.

## Object Classification (in millions of dollars)

| Identification code 70–0400–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 609         | 630     | 633       |
| 11.3 Other than full-time permanent .....                       | 3           | 3       | 3         |
| 11.5 Other personnel compensation .....                         | 188         | 196     | 183       |
| 11.9 Total personnel compensation .....                         | 800         | 829     | 819       |
| 12.1 Civilian personnel benefits .....                          | 329         | 294     | 302       |
| 21.0 Travel and transportation of persons .....                 | 113         | 167     | 70        |
| 22.0 Transportation of things .....                             | 8           | 9       | 5         |
| 23.1 Rental payments to GSA .....                               | 94          | 81      | 81        |
| 23.2 Rental payments to others .....                            | 4           | 2       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 32          | 35      | 28        |
| 24.0 Printing and reproduction .....                            | .....       | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 188         | 173     | 127       |
| 26.0 Supplies and materials .....                               | 22          | 22      | 20        |
| 31.0 Equipment .....  | 53          | 70      | 35        |
| 32.0 Land and structures .....                                  | 7           | 11      | 6         |
| 41.0 Grants, subsidies, and contributions .....                 | 6           | 6       | .....     |
| 42.0 Insurance claims and indemnities .....                     | 1           | .....   | .....     |
| 99.0 Direct obligations .....                                   | 1,657       | 1,700   | 1,495     |
| 99.0 Reimbursable obligations .....                             | 49          | 25      | 25        |
| 99.9 Total new obligations .....                                | 1,706       | 1,725   | 1,520     |

## Employment Summary

| Identification code 70–0400–0–1–751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 6,810       | 6,810   | 6,681     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 24          | 24      | 24        |

## CONTRIBUTION FOR ANNUITY BENEFITS, UNITED STATES SECRET SERVICE

## Program and Financing (in millions of dollars)

| Identification code 70–0405–0–1–751                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0304 Mandatory-DC Annuity .....                      | 247         | 250     | 255       |
| 0900 Total new obligations (object class 12.1) ..... | 247         | 250     | 255       |

|   |  |      |      |      |
|---|--|------|------|------|
| <b>Budgetary Resources:</b>               |  |      |      |      |
| Budget authority:                         |  |      |      |      |
| Appropriations, mandatory:                |  |      |      |      |
| 1200                                      | Appropriation .....                              | 247  | 250  | 255  |
| 1260                                      | Appropriations, mandatory (total) .....          | 247  | 250  | 255  |
| 1930                                      | Total budgetary resources available .....        | 247  | 250  | 255  |
| <b>Change in obligated balance:</b>       |  |      |      |      |
| Unpaid obligations:                       |  |      |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 22   | 23   | 23   |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 247  | 250  | 255  |
| 3020                                      | Outlays (gross) .....                            | -246 | -250 | -255 |
| 3050                                      | Unpaid obligations, end of year .....            | 23   | 23   | 23   |
| Memorandum (non-add) entries:             |  |      |      |      |
| 3100                                      | Obligated balance, start of year .....           | 22   | 23   | 23   |
| 3200                                      | Obligated balance, end of year .....             | 23   | 23   | 23   |
| <b>Budget authority and outlays, net:</b> |  |      |      |      |
| Mandatory:                                |  |      |      |      |
| 4090                                      | Budget authority, gross .....                    | 247  | 250  | 255  |
| Outlays, gross:                           |  |      |      |      |
| 4100                                      | Outlays from new mandatory authority .....       | 225  | 229  | 234  |
| 4101                                      | Outlays from mandatory balances .....            | 21   | 21   | 21   |
| 4110                                      | Outlays, gross (total) .....                     | 246  | 250  | 255  |
| 4180                                      | Budget authority, net (total) .....              | 247  | 250  | 255  |
| 4190                                      | Outlays, net (total) .....                       | 246  | 250  | 255  |

This account provides the Secret Service funding for contributions to the District of Columbia's Police and Firefighters Retirement Plan (DC Annuity).

#### ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS

*For necessary expenses for acquisition, construction, and improvement of physical and technological infrastructure, \$51,775,000, of which \$5,380,000, to remain available until September 30, 2018, shall be for acquisition, construction, improvement, and maintenance of the James J. Rowley Training Center, and of which \$46,395,000, to remain available until September 30, 2016, shall be for Information Integration and Technology Transformation program execution.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |   |    |     |     |
|---|---|----|-----|-----|
| Identification code 70-0401-0-1-751     |   |    |     |     |
| <b>Obligations by program activity:</b> |   |    |     |     |
| 0001                                    | Rowley Training Center .....                                | 5  | 6   | 5   |
| 0002                                    | Information Integration and Technology Transformation ..... |    |     | 47  |
| 0900                                    | Total new obligations .....                                 | 5  | 6   | 52  |
| <b>Budgetary Resources:</b>             |   |    |     |     |
| Unobligated balance:                    |   |    |     |     |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....            | 1  | 1   |     |
| Budget authority:                       |   |    |     |     |
| Appropriations, discretionary:          |   |    |     |     |
| 1100                                    | Appropriation .....   | 5  | 5   | 52  |
| 1160                                    | Appropriation, discretionary (total) .....                  | 5  | 5   | 52  |
| 1930                                    | Total budgetary resources available .....                   | 6  | 6   | 52  |
| Memorandum (non-add) entries:           |   |    |     |     |
| 1941                                    | Unexpired unobligated balance, end of year .....            | 1  |     |     |
| <b>Change in obligated balance:</b>     |   |    |     |     |
| Unpaid obligations:                     |   |    |     |     |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....            | 7  | 7   | 2   |
| 3010                                    | Obligations incurred, unexpired accounts .....              | 5  | 6   | 52  |
| 3020                                    | Outlays (gross) .....                                       | -5 | -11 | -45 |
| 3050                                    | Unpaid obligations, end of year .....                       | 7  | 2   | 9   |
| Memorandum (non-add) entries:           |   |    |     |     |
| 3100                                    | Obligated balance, start of year .....                      | 7  | 7   | 2   |
| 3200                                    | Obligated balance, end of year .....                        | 7  | 2   | 9   |

|   |  |   |    |    |
|---|--|---|----|----|
| <b>Budget authority and outlays, net:</b> |  |   |    |    |
| Discretionary:                            |  |   |    |    |
| 4000                                      | Budget authority, gross .....                  | 5 | 5  | 52 |
| Outlays, gross:                           |  |   |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 2 | 4  | 44 |
| 4011                                      | Outlays from discretionary balances .....      | 3 | 7  | 1  |
| 4020                                      | Outlays, gross (total) .....                   | 5 | 11 | 45 |
| 4180                                      | Budget authority, net (total) .....            | 5 | 5  | 52 |
| 4190                                      | Outlays, net (total) .....                     | 5 | 11 | 45 |

This account supports the acquisition, construction, improvements and related costs for maintenance and support of the James J. Rowley Training Center. It also provides for ongoing costs and investments associated with the Information Integration and Technology Transformation program to stabilize and modernize the information technology capabilities needed to support the Secret Service's protective and investigative missions.

#### Object Classification (in millions of dollars)

|                                     |   |   |   |    |
|-------------------------------------|---|---|---|----|
| Identification code 70-0401-0-1-751 |   |   |   |    |
| 2012 actual                         |   |   |   |    |
| 2013 CR                             |   |   |   |    |
| 2014 est.                           |   |   |   |    |
| <b>Direct obligations:</b>          |   |   |   |    |
| 25.2                                | Other services from non-Federal sources ..... | 5 | 5 | 38 |
| 31.0                                | Equipment .....                               |   |   | 13 |
| 32.0                                | Land and structures .....                     |   | 1 | 1  |
| 99.9                                | Total new obligations .....                   | 5 | 6 | 52 |

## TRANSPORTATION SECURITY ADMINISTRATION

### Federal Funds

#### AVIATION SECURITY

*For necessary expenses of the Transportation Security Administration related to providing civil aviation security services pursuant to the Aviation and Transportation Security Act (Public Law 107-71; 115 Stat. 597; 49 U.S.C. 40101 note), \$4,968,036,000, to remain available until September 30, 2015, of which not to exceed \$8,500 shall be for official reception and representation expenses: Provided, That of the total amount made available under this heading, not to exceed \$3,899,525,000 shall be for screening operations, of which \$382,496,000 shall be available for explosives detection systems; \$103,377,000 shall be for checkpoint support; and not to exceed \$1,068,511,000 shall be for aviation security direction and enforcement: Provided further, That of the amount made available in the preceding proviso for explosives detection systems, \$83,987,000 shall be available for the purchase and installation of these systems: Provided further, That any award to deploy explosives detection systems shall be based on risk, the airport's current reliance on other screening solutions, lobby congestion resulting in increased security concerns, high injury rates, airport readiness, and increased cost effectiveness: Provided further, That security service fees authorized under section 44940 of title 49, United States Code, shall be credited to this appropriation as offsetting collections and shall be available only for aviation security: Provided further, That the sum appropriated under this heading from the general fund shall be reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year 2014 so as to result in a final fiscal year appropriation from the general fund estimated at not more than \$2,722,139,000: Provided further, That any security service fees collected in excess of the amount made available under this heading shall become available during fiscal year 2015: Provided further, That notwithstanding section 44923 of title 49, United States Code, for fiscal year 2014, any funds in the Aviation Security Capital Fund established by section 44923(h) of title 49, United States Code, may be used for the procurement and installation of explosives detection systems or for the issuance of other transaction agreements for the purpose of funding projects described in section 44923(a): Provided further, That Members of the United States House of Representatives and United States Senate, including the leadership; the heads of Federal agencies and commissions, including the Secretary, Deputy Secretary, Under Secretaries, and Assistant Secretaries of the Department of Homeland Security; the United States Attorney General, Deputy Attorney General, Assistant Attorneys General, and the United States Attorneys; and senior members of the Executive Office of the President, including*

## AVIATION SECURITY—Continued

*the Director of the Office of Management and Budget, shall not be exempt from Federal passenger and baggage screening.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 70–0550–0–1–402                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                     | 1           | 1       | 2         |
| Receipts:   |             |         |           |
| 0220 Unclaimed Checkpoint Money .....                 | 1           | 1       | 1         |
| 0260 Fees, Aviation Security Capital Fund .....       | 250         | 250     | 250       |
| 0261 Fees, Aviation Security, Deficit Reduction ..... |             |         | 200       |
| 0299 Total receipts and collections .....             | 251         | 251     | 451       |
| 0400 Total: Balances and collections .....            | 252         | 252     | 453       |
| Appropriations:                                       |             |         |           |
| 0500 Aviation Security .....                          | –1          |         |           |
| 0501 Aviation Security .....                          | –250        | –250    | –250      |
| 0599 Total appropriations .....                       | –251        | –250    | –250      |
| 0799 Balance, end of year .....                       | 1           | 2       | 203       |

## Program and Financing (in millions of dollars)

| Identification code 70–0550–0–1–402                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Direct program activity .....                                   | 5,222       | 5,536   | 5,218     |
| 0801 Reimbursable program activity .....                             |             | 9       | 5         |
| 0900 Total new obligations .....                                     | 5,222       | 5,545   | 5,223     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 1,061       | 1,313   | 1,297     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....      | 672         |         |           |
| 1010 Unobligated balance transfer to other accts [70–0800] .....     | –5          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 45          |         |           |
| 1050 Unobligated balance (total) .....                               | 1,101       | 1,313   | 1,297     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 3,246       | 3,194   | 2,844     |
| 1101 Appropriation (special or trust fund) .....                     | 1           |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –71         | –16     |           |
| 1160 Appropriation, discretionary (total) .....                      | 3,176       | 3,178   | 2,844     |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                     | 250         | 250     | 250       |
| 1260 Appropriations, mandatory (total) .....                         | 250         | 250     | 250       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 2,012       | 2,101   | 2,129     |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 2,012       | 2,101   | 2,129     |
| 1900 Budget authority (total) .....                                  | 5,438       | 5,529   | 5,223     |
| 1930 Total budgetary resources available .....                       | 6,539       | 6,842   | 6,520     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –4          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1,313       | 1,297   | 1,297     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 3,298       | 3,119   | 3,221     |
| 3010 Obligations incurred, unexpired accounts .....                  | 5,222       | 5,545   | 5,223     |
| 3011 Obligations incurred, expired accounts .....                    | 2           |         |           |
| 3020 Outlays (gross) .....   | –5,279      | –5,443  | –5,408    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –45         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –79         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 3,119       | 3,221   | 3,036     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –2          | –2      | –2        |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –2          | –2      | –2        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 3,296       | 3,117   | 3,219     |
| 3200 Obligated balance, end of year .....                            | 3,117       | 3,219   | 3,034     |

## Budget authority and outlays, net:

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 5,188  | 5,279  | 4,973  |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 3,806  | 4,481  | 4,262  |
| 4011 Outlays from discretionary balances .....                       | 1,427  | 778    | 877    |
| 4020 Outlays, gross (total) .....                                    | 5,233  | 5,259  | 5,139  |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   |        | –9     | –2     |
| 4033 Non-Federal sources .....                                       | –5     |        | –3     |
| 4034 Offsetting governmental collections .....                       | –2,008 | –2,092 | –2,124 |
| 4040 Offsets against gross budget authority and outlays (total) .... | –2,013 | –2,101 | –2,129 |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 1      |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 3,176  | 3,178  | 2,844  |
| 4080 Outlays, net (discretionary) .....                              | 3,220  | 3,158  | 3,010  |
| Mandatory:   |        |        |        |
| 4090 Budget authority, gross .....                                   | 250    | 250    | 250    |
| Outlays, gross:  |        |        |        |
| 4100 Outlays from new mandatory authority .....                      |        | 88     | 88     |
| 4101 Outlays from mandatory balances .....                           | 46     | 96     | 181    |
| 4110 Outlays, gross (total) .....                                    | 46     | 184    | 269    |
| 4180 Budget authority, net (total) .....                             | 3,426  | 3,428  | 3,094  |
| 4190 Outlays, net (total) .....                                      | 3,266  | 3,342  | 3,279  |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 3,426       | 3,428   | 3,094     |
| Outlays .....                               | 3,266       | 3,342   | 3,279     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | –122      |
| Outlays .....                               |             |         | –122      |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 3,426       | 3,428   | 2,972     |
| Outlays .....                               | 3,266       | 3,342   | 3,157     |

The Budget proposes \$5,218 million in discretionary and mandatory resources for the Transportation Security Administration's aviation security efforts. Of this amount, an estimated \$2,246 million is financed by offsetting collections from passenger and air carrier security fees. The \$2,246 million amount includes neither the first \$250 million in mandatory passenger security fee collections, which are provided to the Aviation Security Capital Fund, nor an additional \$200 million in mandatory passenger security fee collections submitted for deficit reduction.

Overall funding in this account will be used to fund screening personnel, compensation and benefits, and related expenses for transportation security officers; screening technologies; privatized passenger and baggage screening contracts; aviation regulation and enforcement activities, which include domestic and international inspections, explosives detection canine team deployments, and Visible Intermodal Prevention and Response exercises; airport management and support activities; air cargo screening operations; operational testing; and flight deck and air crew security activities.

This account also supports the TSA Pre-Check expedited-screening initiative, which is expanding to airports across the nation. A component of the agency's intelligence-driven, risk-based approach to security, TSA Pre-Check provides expedited screening to select populations who volunteer pre-screening information.

## Object Classification (in millions of dollars)

| Identification code 70–0550–0–1–402       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                       |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 2,008       | 2,110   | 2,128     |
| 11.3 Other than full-time permanent ..... | 310         | 287     | 286       |
| 11.5 Other personnel compensation .....   | 324         | 344     | 343       |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 11.8 | Special personal services payments .....                   | 2     | 1     | 2     |
| 11.9 | Total personnel compensation .....                         | 2,644 | 2,742 | 2,759 |
| 12.1 | Civilian personnel benefits .....                          | 950   | 963   | 968   |
| 13.0 | Benefits for former personnel .....                        | 1     | 1     | 1     |
| 21.0 | Travel and transportation of persons .....                 | 70    | 74    | 65    |
| 22.0 | Transportation of things .....                             | 1     | 1     | 1     |
| 23.1 | Rental payments to GSA .....                               | 100   | 120   | 126   |
| 23.2 | Rental payments to others .....                            | 15    | 12    | 12    |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 14    | 5     | 5     |
| 24.0 | Printing and reproduction .....                            | 1     | ..... | ..... |
| 25.1 | Advisory and assistance services .....                     | 420   | 424   | 318   |
| 25.2 | Other services from non-Federal sources .....              | 68    | 167   | 139   |
| 25.3 | Other goods and services from Federal sources .....        | 227   | 192   | 193   |
| 25.4 | Operation and maintenance of facilities .....              | 24    | 14    | 13    |
| 25.7 | Operation and maintenance of equipment .....               | 294   | 322   | 298   |
| 25.8 | Subsistence and support of persons .....                   | 4     | ..... | ..... |
| 26.0 | Supplies and materials .....                               | 57    | 76    | 67    |
| 31.0 | Equipment .....  | 216   | 311   | 162   |
| 32.0 | Land and structures .....                                  | 23    | 10    | 11    |
| 41.0 | Grants, subsidies, and contributions .....                 | 91    | 101   | 79    |
| 42.0 | Insurance claims and indemnities .....                     | 2     | 1     | 1     |
| 99.0 | Direct obligations .....                                   | 5,222 | 5,536 | 5,218 |
| 99.0 | Reimbursable obligations .....                             | ..... | 9     | 5     |
| 99.9 | Total new obligations .....                                | 5,222 | 5,545 | 5,223 |

**Employment Summary**

| Identification code 70-0550-0-1-402                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 56,317      | 57,233  | 56,581    |

**AVIATION SECURITY**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 70-0550-2-1-402                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | .....       | .....   | -122      |
| 1160 Appropriation, discretionary (total) .....                    | .....       | .....   | -122      |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | .....       | .....   | 122       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | .....       | .....   | 122       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4034 Offsetting governmental collections .....                     | .....       | .....   | -122      |
| 4180 Budget authority, net (total) .....                           | .....       | .....   | -122      |
| 4190 Outlays, net (total) .....                                    | .....       | .....   | -122      |

**FEDERAL AIR MARSHALS**

For necessary expenses of the Transportation Security Administration for federal air marshal activities, \$826,522,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70-0541-0-1-402      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Direct program activity .....       | 964         | 972     | 827       |
| 0801 Reimbursable program activity ..... | .....       | 1       | 1         |
| 0900 Total new obligations .....         | 964         | 973     | 828       |

**Budgetary Resources:**

|  |       |       |       |
|--|-------|-------|-------|
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 966   | 972   | 827   |
| 1160 Appropriation, discretionary (total) .....                    | 966   | 972   | 827   |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | ..... | 1     | 1     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | ..... | 1     | 1     |
| 1900 Budget authority (total) .....                                | 966   | 973   | 828   |
| 1930 Total budgetary resources available .....                     | 966   | 973   | 828   |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1940 Unobligated balance expiring .....                            | -2    | ..... | ..... |

**Change in obligated balance:**

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 156  | 165   | 195   |
| 3010 Obligations incurred, unexpired accounts .....             | 964  | 973   | 828   |
| 3011 Obligations incurred, expired accounts .....               | 4    | ..... | ..... |
| 3020 Outlays (gross) .....                                      | -952 | -943  | -868  |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -7   | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                      | 165  | 195   | 155   |
| Memorandum (non-add) entries:                                   |      |       |       |
| 3100 Obligated balance, start of year .....                     | 156  | 165   | 195   |
| 3200 Obligated balance, end of year .....                       | 165  | 195   | 155   |

**Budget authority and outlays, net:**

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 966   | 973   | 828   |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 831   | 876   | 745   |
| 4011 Outlays from discretionary balances .....                       | 121   | 67    | 123   |
| 4020 Outlays, gross (total) .....                                    | 952   | 943   | 868   |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | ..... | -1    | -1    |
| 4033 Non-Federal sources .....                                       | -1    | ..... | ..... |
| 4040 Offsets against gross budget authority and outlays (total) .... | -1    | -1    | -1    |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 1     | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                     | 966   | 972   | 827   |
| 4080 Outlays, net (discretionary) .....                              | 951   | 942   | 867   |
| 4180 Budget authority, net (total) .....                             | 966   | 972   | 827   |
| 4190 Outlays, net (total) .....                                      | 951   | 942   | 867   |

The Budget proposes \$827 million for Federal Air Marshal activities. The Federal Air Marshal Service promotes confidence in and protects our Nation's civil aviation system through the domestic and international deployment of Federal Air Marshals to detect, deter, and defeat hostile acts against passengers, crew, and property aboard U.S. aircraft.

**Object Classification** (in millions of dollars)

| Identification code 70-0541-0-1-402                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 380         | 400     | 370       |
| 11.3 Other than full-time permanent .....                       | .....       | 14      | 13        |
| 11.5 Other personnel compensation .....                         | 120         | 115     | 106       |
| 11.8 Special personal services payments .....                   | .....       | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 500         | 530     | 490       |
| 12.1 Civilian personnel benefits .....                          | 230         | 206     | 191       |
| 21.0 Travel and transportation of persons .....                 | 114         | 119     | 106       |
| 23.1 Rental payments to GSA .....                               | 3           | 4       | 1         |
| 23.2 Rental payments to others .....                            | 25          | 17      | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 13          | 15      | 5         |
| 25.1 Advisory and assistance services .....                     | 33          | 14      | 4         |
| 25.2 Other services from non-Federal sources .....              | 2           | 27      | 9         |
| 25.3 Other goods and services from Federal sources .....        | 17          | 13      | 5         |
| 25.4 Operation and maintenance of facilities .....              | 4           | 3       | 1         |
| 25.6 Medical care .....   | .....       | 2       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 6           | 6       | 2         |
| 26.0 Supplies and materials .....                               | 7           | 6       | 3         |
| 31.0 Equipment .....  | 8           | 10      | 3         |
| 32.0 Land and structures .....                                  | 2           | .....   | .....     |
| 99.0 Direct obligations .....                                   | 964         | 972     | 827       |
| 99.0 Reimbursable obligations .....                             | .....       | 1       | 1         |

FEDERAL AIR MARSHALS—Continued  
Object Classification—Continued

| Identification code 70–0541–0–1–402 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 964         | 973     | 828       |

## SURFACE TRANSPORTATION SECURITY

*For necessary expenses of the Transportation Security Administration related to surface transportation security activities, \$109,331,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0551–0–1–401                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 111         | 136     | 109       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 28          | 52      | 52        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 135         | 136     | 109       |
| 1160 Appropriation, discretionary (total) .....                 | 135         | 136     | 109       |
| 1930 Total budgetary resources available .....                  | 163         | 188     | 161       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 52          | 52      | 52        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 31          | 33      | 43        |
| 3010 Obligations incurred, unexpired accounts .....             | 111         | 136     | 109       |
| 3020 Outlays (gross) .....                                      | –107        | –126    | –110      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 33          | 43      | 42        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 31          | 33      | 43        |
| 3200 Obligated balance, end of year .....                       | 33          | 43      | 42        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 135         | 136     | 109       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 61          | 95      | 76        |
| 4011 Outlays from discretionary balances .....                  | 46          | 31      | 34        |
| 4020 Outlays, gross (total) .....                               | 107         | 126     | 110       |
| 4180 Budget authority, net (total) .....                        | 135         | 136     | 109       |
| 4190 Outlays, net (total) .....                                 | 107         | 126     | 110       |

The Budget proposes \$109 million for surface transportation security activities. This funding will support operational requirements associated with day-to-day support personnel and resources dedicated to evaluating the risk of terrorist attack on surface transportation modes, assessing the standards and procedures to address those risks, and ensuring compliance with regulations and policies. This includes resources to support inspectors, canine teams, and Visible Intermodal Prevention and Response teams deployed to augment surface transportation security.

## Object Classification (in millions of dollars)

| Identification code 70–0551–0–1–401     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>              |             |         |           |
| Personnel compensation:                 |             |         |           |
| 11.1 Full-time permanent .....          | 55          | 74      | 67        |
| 11.5 Other personnel compensation ..... | 4           | 1       | 1         |

|   |     |     |     |
|---|-----|-----|-----|
| 11.9 Total personnel compensation .....                         | 59  | 75  | 68  |
| 12.1 Civilian personnel benefits .....                          | 19  | 21  | 19  |
| 21.0 Travel and transportation of persons .....                 | 4   | 3   | 2   |
| 23.2 Rental payments to others .....                            | 1   | 1   | 1   |
| 23.3 Communications, utilities, and miscellaneous charges ..... |     | 1   |     |
| 25.1 Advisory and assistance services .....                     | 15  |     |     |
| 25.2 Other services from non-Federal sources .....              | 1   | 26  | 12  |
| 25.3 Other goods and services from Federal sources .....        | 2   |     |     |
| 26.0 Supplies and materials .....                               | 2   | 2   |     |
| 31.0 Equipment .....  | 2   |     |     |
| 41.0 Grants, subsidies, and contributions .....                 | 6   | 7   | 7   |
| 99.9 Total new obligations .....                                | 111 | 136 | 109 |

## Employment Summary

| Identification code 70–0551–0–1–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 751         | 839     | 720       |

## TRANSPORTATION SECURITY SUPPORT

*For necessary expenses of the Transportation Security Administration related to transportation security support and intelligence pursuant to the Aviation and Transportation Security Act (Public Law 107–71; 115 Stat. 597; 49 U.S.C. 40101 note), \$997,789,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0554–0–1–400                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 992         | 1,038   | 998       |
| 0801 Reimbursable Agreements .....                                 | 1           | 3       | 1         |
| 0900 Total new obligations .....                                   | 993         | 1,041   | 999       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 111         | 169     | 169       |
| 1021 Recoveries of prior year unpaid obligations .....             | 15          |         |           |
| 1050 Unobligated balance (total) .....                             | 126         | 169     | 169       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1,032       | 1,038   | 998       |
| 1160 Appropriation, discretionary (total) .....                    | 1,032       | 1,038   | 998       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 5           | 3       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 5           | 3       | 1         |
| 1900 Budget authority (total) .....                                | 1,037       | 1,041   | 999       |
| 1930 Total budgetary resources available .....                     | 1,163       | 1,210   | 1,168     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 169         | 169     | 169       |

## Change in obligated balance:

|   |      |       |        |
|---|------|-------|--------|
| Unpaid obligations:   |      |       |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 665  | 635   | 783    |
| 3010 Obligations incurred, unexpired accounts .....               | 993  | 1,041 | 999    |
| 3011 Obligations incurred, expired accounts .....                 | 5    |       |        |
| 3020 Outlays (gross) .....  | –988 | –893  | –1,099 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –15  |       |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –25  |       |        |
| 3050 Unpaid obligations, end of year .....                        | 635  | 783   | 683    |
| Uncollected payments:   |      |       |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1   | –1    | –1     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –1   | –1    | –1     |
| Memorandum (non-add) entries:                                     |      |       |        |
| 3100 Obligated balance, start of year .....                       | 664  | 634   | 782    |
| 3200 Obligated balance, end of year .....                         | 634  | 782   | 682    |

| Budget authority and outlays, net:                      |   |       |       |       |
|---|---|-------|-------|-------|
| Discretionary:  |   |       |       |       |
| 4000  | Budget authority, gross .....                                   | 1,037 | 1,041 | 999   |
| Outlays, gross:   |   |       |       |       |
| 4010  | Outlays from new discretionary authority .....                  | 427   | 730   | 700   |
| 4011  | Outlays from discretionary balances .....                       | 561   | 163   | 399   |
| 4020  | Outlays, gross (total) .....                                    | 988   | 893   | 1,099 |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4030  | Federal sources .....   | -1    | -3    | -1    |
| 4033  | Non-Federal sources .....                                       | -7    |       |       |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -8    | -3    | -1    |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4052  | Offsetting collections credited to expired accounts .....       | 3     |       |       |
| 4070  | Budget authority, net (discretionary) .....                     | 1,032 | 1,038 | 998   |
| 4080  | Outlays, net (discretionary) .....                              | 980   | 890   | 1,098 |
| 4180  | Budget authority, net (total) .....                             | 1,032 | 1,038 | 998   |
| 4190  | Outlays, net (total) .....                                      | 980   | 890   | 1,098 |

The Budget proposes \$998 million for a wide range of support functions for TSA missions. Significant support activities include information technology, intelligence and analysis, human capital services, and headquarters' administration functions, such as policy development, finance and administration, acquisitions, and legal counsel.

#### Object Classification (in millions of dollars)

| Identification code 70-0554-0-1-400 |  |         |           |     |
|-------------------------------------|--|---------|-----------|-----|
|                                     | 2012 actual  | 2013 CR | 2014 est. |     |
| Direct obligations:                 |  |         |           |     |
| Personnel compensation:             |  |         |           |     |
| 11.1                                | Full-time permanent .....                                  | 179     | 191       | 209 |
| 11.3                                | Other than full-time permanent .....                       | 3       | 3         | 3   |
| 11.5                                | Other personnel compensation .....                         | 5       | 9         | 10  |
| 11.9                                | Total personnel compensation .....                         | 187     | 203       | 222 |
| 12.1                                | Civilian personnel benefits .....                          | 56      | 55        | 60  |
| 13.0                                | Benefits for former personnel .....                        | 6       | 7         | 7   |
| 21.0                                | Travel and transportation of persons .....                 | 8       | 10        | 7   |
| 23.1                                | Rental payments to GSA .....                               | 3       | 3         | 3   |
| 23.2                                | Rental payments to others .....                            | 34      | 28        | 28  |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 42      | 47        | 46  |
| 25.1                                | Advisory and assistance services .....                     | 510     | 360       | 326 |
| 25.2                                | Other services from non-Federal sources .....              | 4       | 140       | 123 |
| 25.3                                | Other goods and services from Federal sources .....        | 78      | 111       | 106 |
| 25.4                                | Operation and maintenance of facilities .....              | 11      | 7         | 6   |
| 25.7                                | Operation and maintenance of equipment .....               | 22      | 28        | 27  |
| 26.0                                | Supplies and materials .....                               | 2       | 3         | 2   |
| 31.0                                | Equipment .....  | 29      | 35        | 34  |
| 32.0                                | Land and structures .....                                  |         | 1         | 1   |
| 99.0                                | Direct obligations .....                                   | 992     | 1,038     | 998 |
| 99.0                                | Reimbursable obligations .....                             | 1       | 3         | 1   |
| 99.9                                | Total new obligations .....                                | 993     | 1,041     | 999 |

#### Employment Summary

| Identification code 70-0554-0-1-400 |   |         |           |       |
|-------------------------------------|---|---------|-----------|-------|
|                                     | 2012 actual   | 2013 CR | 2014 est. |       |
| 1001                                | Direct civilian full-time equivalent employment ..... | 1,785   | 1,901     | 2,157 |

#### Program and Financing (in millions of dollars)

| Identification code 70-0557-0-1-400                            |  |         |           |      |
|--|--|---------|-----------|------|
|  | 2012 actual  | 2013 CR | 2014 est. |      |
| <b>Obligations by program activity:</b>                        |  |         |           |      |
| 0001   | Direct program activity .....  | 202     | 165       | 181  |
| 0002   | Fees .....   | 56      | 80        | 66   |
| 0799   | Total direct obligations .....   | 258     | 245       | 247  |
| 0801   | Reimbursable program activity .....  | 2       | 10        | 6    |
| 0900   | Total new obligations .....  | 260     | 255       | 253  |
| <b>Budgetary Resources:</b>                                    |  |         |           |      |
| Unobligated balance:   |  |         |           |      |
| 1000   | Unobligated balance brought forward, Oct 1 .....   | 103     | 66        | 66   |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....                               | 98      |           |      |
| 1021   | Recoveries of prior year unpaid obligations .....  | 2       |           |      |
| 1050   | Unobligated balance (total) .....  | 105     | 66        | 66   |
| Budget authority:  |  |         |           |      |
| Appropriations, discretionary:                                 |  |         |           |      |
| 1100   | Appropriation .....  | 164     | 165       | 181  |
| 1160   | Appropriation, discretionary (total) .....   | 164     | 165       | 181  |
| Spending authority from offsetting collections, discretionary: |  |         |           |      |
| 1700   | Offsetting collections (cash) - TWIC .....   | 32      | 48        | 37   |
| 1700   | Offsetting collections (cash) - HAZMAT CDL .....   | 11      | 12        | 12   |
| 1700   | Offsetting collections (cash) - GA, IAC, SSI, & OSTA (prior to FY13) .....               | 1       |           |      |
| 1700   | Offsetting collections (cash) - Comm Aviation and Airport (formerly known as SIDA) ..... | 6       | 8         | 7    |
| 1700   | Reimbursable Agreements .....  | 2       | 10        | 6    |
| 1700   | Offsetting collections (cash) - Air Cargo (starting FY13, incl. IAC and CCSP) .....      | 1       | 7         | 5    |
| 1701   | Change in uncollected payments, Federal sources .....                                    | -1      |           |      |
| 1750   | Spending auth from offsetting collections, disc (total) .....                            | 52      | 85        | 67   |
| Spending authority from offsetting collections, mandatory:     |  |         |           |      |
| 1800   | Collected .....  | 5       | 5         | 5    |
| 1850   | Spending auth from offsetting collections, mand (total) .....                            | 5       | 5         | 5    |
| 1900   | Budget authority (total) .....   | 221     | 255       | 253  |
| 1930   | Total budgetary resources available .....  | 326     | 321       | 319  |
| Memorandum (non-add) entries:                                  |  |         |           |      |
| 1941   | Unexpired unobligated balance, end of year .....   | 66      | 66        | 66   |
| <b>Change in obligated balance:</b>                            |  |         |           |      |
| Unpaid obligations:  |  |         |           |      |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....   | 118     | 168       | 176  |
| 3010   | Obligations incurred, unexpired accounts .....   | 260     | 255       | 253  |
| 3020   | Outlays (gross) .....  | -207    | -247      | -253 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....                             | -2      |           |      |
| 3041   | Recoveries of prior year unpaid obligations, expired .....                               | -1      |           |      |
| 3050   | Unpaid obligations, end of year .....  | 168     | 176       | 176  |
| Uncollected payments:  |  |         |           |      |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                             | -1      |           |      |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                                | 1       |           |      |
| Memorandum (non-add) entries:                                  |  |         |           |      |
| 3100   | Obligated balance, start of year .....   | 117     | 168       | 176  |
| 3200   | Obligated balance, end of year .....   | 168     | 176       | 176  |
| <b>Budget authority and outlays, net:</b>                      |  |         |           |      |
| Discretionary:   |  |         |           |      |
| 4000   | Budget authority, gross .....  | 216     | 250       | 248  |
| Outlays, gross:  |  |         |           |      |
| 4010   | Outlays from new discretionary authority .....   | 91      | 126       | 125  |
| 4011   | Outlays from discretionary balances .....  | 114     | 116       | 123  |
| 4020   | Outlays, gross (total) .....   | 205     | 242       | 248  |
| Offsets against gross budget authority and outlays:            |  |         |           |      |
| Offsetting collections (collected) from:                       |  |         |           |      |
| 4030   | Baseline Program [Text] .....  | -2      |           |      |
| 4030   | Federal sources .....  |         | -10       | -6   |
| 4034   | Offsetting governmental collections .....  | -51     | -75       | -61  |
| 4040   | Offsets against gross budget authority and outlays (total) ....                          | -53     | -85       | -67  |
| Additional offsets against gross budget authority only:        |  |         |           |      |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....                                | 1       |           |      |
| 4070   | Budget authority, net (discretionary) .....  | 164     | 165       | 181  |
| 4080   | Outlays, net (discretionary) .....   | 152     | 157       | 181  |
| Mandatory:   |  |         |           |      |
| 4090   | Budget authority, gross .....  | 5       | 5         | 5    |
| Outlays, gross:  |  |         |           |      |
| 4100   | Outlays from new mandatory authority .....   | 1       | 5         | 5    |
| 4101   | Outlays from mandatory balances .....  | 1       |           |      |

#### TRANSPORTATION THREAT ASSESSMENT AND CREDENTIALING

For necessary expenses of the Transportation Security Administration for the development and implementation of vetting and credentialing activities, \$180,617,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

TRANSPORTATION THREAT ASSESSMENT AND CREDENTIALING—Continued  
Program and Financing—Continued

| Identification code 70–0557–0–1–400 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 4110                                | Outlays, gross (total) .....                                    | 2           | 5       | 5         |
|                                     | Offsets against gross budget authority and outlays:             |             |         |           |
|                                     | Offsetting collections (collected) from:                        |             |         |           |
| 4124                                | Offsetting governmental collections .....                       |             | –5      | –5        |
| 4124                                | Offsetting governmental collections .....                       | –5          |         |           |
| 4130                                | Offsets against gross budget authority and outlays (total) .... | –5          | –5      | –5        |
| 4170                                | Outlays, net (mandatory) .....                                  | –3          |         |           |
| 4180                                | Budget authority, net (total) .....                             | 164         | 165     | 181       |
| 4190                                | Outlays, net (total) .....                                      | 149         | 157     | 181       |

The Budget proposes \$242 million in mandatory and discretionary resources of which \$181 million is directly appropriated and the remainder is generated from fees. The mission of the vetting and credentialing programs is to enhance the interdiction of terrorists and their instruments of terrorism by streamlining terrorist-related threat assessments by coordinating procedures that detect, identify, track, and interdict people, cargo, conveyances, and other entities and objects posing a threat to homeland security. This includes safeguarding legal rights, including freedoms, civil liberties, and information privacy guaranteed by Federal law. Some of the major vetting programs within this appropriation include: Secure Flight, Commercial Aviation and Airport Workers, Flight Crews, Transportation Worker Identification Credential, Alien Flight Students, Hazardous Materials Endorsement Threat Assessments, and Air Cargo Workers.

## Object Classification (in millions of dollars)

| Identification code 70–0557–0–1–400 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....  | 38          | 48      | 46        |
| 11.5                                | Other personnel compensation .....   | 2           | 3       | 2         |
| 11.9                                | Total personnel compensation .....   | 40          | 51      | 48        |
| 12.1                                | Civilian personnel benefits .....  | 11          | 14      | 13        |
| 21.0                                | Travel and transportation of persons .....   |             | 1       | 1         |
| 23.2                                | Rental payments to others .....  | 6           | 6       | 5         |
| 23.3                                | Communications, utilities, and miscellaneous charges .....                             |             |         | 1         |
| 25.1                                | Advisory and assistance services .....   | 118         | 63      | 62        |
| 25.2                                | Other services from non-Federal sources .....  | 2           | 37      | 38        |
| 25.3                                | Other goods and services from Federal sources .....                                    | 14          | 16      | 14        |
| 25.4                                | Operation and maintenance of facilities .....  | 1           | 1       | 4         |
| 25.7                                | Operation and maintenance of equipment .....   | 4           | 9       | 14        |
| 31.0                                | Equipment .....  | 62          | 47      | 47        |
| 99.0                                | Direct obligations .....   | 258         | 245     | 247       |
| 99.0                                | Reimbursable obligations .....   |             | 10      | 6         |
| 25.3                                | Allocation Account - reimbursable: Other goods and services from Federal sources ..... | 2           |         |           |
| 99.9                                | Total new obligations .....  | 260         | 255     | 253       |

## Employment Summary

| Identification code 70–0557–0–1–400 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 434         | 527     | 491       |

FEDERAL LAW ENFORCEMENT TRAINING  
CENTER

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of the Federal Law Enforcement Training Center, including materials and support costs of Federal law enforcement basic training; the purchase of not to exceed 117 vehicles for police-type use and hire of passenger motor vehicles; expenses for student athletic and related activities; the conduct of and participation in firearms matches and

presentation of awards; public awareness and enhancement of community support of law enforcement training; room and board for student interns; a flat monthly reimbursement to employees authorized to use personal mobile phones for official duties; and services as authorized by section 3109 of title 5, United States Code; \$240,544,000; of which up to \$53,625,000 shall remain available until September 30, 2015, for materials and support costs of Federal law enforcement basic training; of which \$300,000 shall remain available until expended to be distributed to Federal law enforcement agencies for expenses incurred participating in training accreditation; and of which not to exceed \$10,200 shall be for official reception and representation expenses: Provided, That the Center is authorized to obligate funds in anticipation of reimbursements from agencies receiving training sponsored by the Center, except that total obligations at the end of the fiscal year shall not exceed total budgetary resources available at the end of the fiscal year: Provided further, That section 1202(a) of Public Law 107–206 (42 U.S.C. 3771 note), as amended by Public Law 112–74, is further amended by striking "December 31, 2015" and inserting "December 31, 2016": Provided further, That the Director of the Federal Law Enforcement Training Center shall schedule basic or advanced law enforcement training, or both, at all four training facilities under the control of the Federal Law Enforcement Training Center to ensure that such training facilities are operated at the highest capacity throughout the fiscal year: Provided further, That the Federal Law Enforcement Training Accreditation Board, including representatives from the Federal law enforcement community and non-Federal accreditation experts involved in law enforcement training, shall lead the Federal law enforcement training accreditation process to continue the implementation of measuring and assessing the quality and effectiveness of Federal law enforcement training programs, facilities, and instructors.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0509–0–1–751                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Law Enforcement Training .....                                | 202         | 228     | 216       |
| 0002   | Management and Administration .....                           | 29          | 30      | 29        |
| 0003   | Accreditation .....   | 2           | 1       | 1         |
| 0799   | Total direct obligations .....                                | 233         | 259     | 246       |
| 0801   | Reimbursable program activity .....                           | 70          | 90      | 108       |
| 0900   | Total new obligations .....                                   | 303         | 349     | 354       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 16          | 22      | 5         |
| 1021   | Recoveries of prior year unpaid obligations .....             | 2           | 2       |           |
| 1050   | Unobligated balance (total) .....                             | 18          | 24      | 5         |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 239         | 240     | 241       |
| 1160   | Appropriation, discretionary (total) .....                    | 239         | 240     | 241       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 66          | 85      | 102       |
| 1701   | Change in uncollected payments, Federal sources .....         | 3           | 5       | 6         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 69          | 90      | 108       |
| 1900   | Budget authority (total) .....                                | 308         | 330     | 349       |
| 1930   | Total budgetary resources available .....                     | 326         | 354     | 354       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                            | –1          |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 22          | 5       |           |

## Change in obligated balance:

|                     |  |      |      |      |
|---------------------|--|------|------|------|
| Unpaid obligations: |  |      |      |      |
| 3000                | Unpaid obligations, brought forward, Oct 1 .....             | 60   | 52   | 66   |
| 3010                | Obligations incurred, unexpired accounts .....               | 303  | 349  | 354  |
| 3011                | Obligations incurred, expired accounts .....                 | 11   | 2    |      |
| 3020                | Outlays (gross) .....  | –311 | –329 | –349 |
| 3040                | Recoveries of prior year unpaid obligations, unexpired ..... | –2   | –2   |      |
| 3041                | Recoveries of prior year unpaid obligations, expired .....   | –9   | –6   |      |
| 3050                | Unpaid obligations, end of year .....                        | 52   | 66   | 71   |



|                               |  |     |     |     |
|-------------------------------|--|-----|-----|-----|
| Uncollected payments:         |  |     |     |     |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -14 | -13 | -14 |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | -3  | -5  | -6  |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | 4   | 4   | 4   |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -13 | -14 | -16 |
| Memorandum (non-add) entries: |  |     |     |     |
| 3100                          | Obligated balance, start of year .....                       | 46  | 39  | 52  |
| 3200                          | Obligated balance, end of year .....                         | 39  | 52  | 55  |

**Budget authority and outlays, net:**

|   |   |     |     |       |
|---|---|-----|-----|-------|
| Discretionary:  |   |     |     |       |
| 4000  | Budget authority, gross .....                                   | 308 | 330 | 349   |
| Outlays, gross:   |   |     |     |       |
| 4010  | Outlays from new discretionary authority .....                  | 240 | 284 | 301   |
| 4011  | Outlays from discretionary balances .....                       | 71  | 45  | 48    |
| 4020  | Outlays, gross (total) .....                                    | 311 | 329 | 349   |
| Offsets against gross budget authority and outlays:     |   |     |     |       |
| Offsetting collections (collected) from:                |   |     |     |       |
| 4030  | Federal sources .....   | -68 | -87 | -106  |
| 4033  | Non-Federal sources .....                                       | -10 | -2  | -2    |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -78 | -89 | -108  |
| Additional offsets against gross budget authority only: |   |     |     |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -3  | -5  | -6    |
| 4052  | Offsetting collections credited to expired accounts .....       | 12  | 4   | 6     |
| 4060  | Additional offsets against budget authority only (total) .....  | 9   | -1  | ..... |
| 4070  | Budget authority, net (discretionary) .....                     | 239 | 240 | 241   |
| 4080  | Outlays, net (discretionary) .....                              | 233 | 240 | 241   |
| 4180  | Budget authority, net (total) .....                             | 239 | 240 | 241   |
| 4190  | Outlays, net (total) .....                                      | 233 | 240 | 241   |

The Federal Law Enforcement Training Center (FLETC) serves as an interagency law enforcement training organization for over 90 Partner Organizations, providing the necessary facilities, equipment, and support services to conduct advanced, specialized, and refresher training for Federal law enforcement personnel. FLETC personnel conduct the instructional programs for basic law enforcement recruits and some advanced training based on agency requests. Additionally, FLETC provides tuition-free or reduced cost training opportunities to state, local, rural, tribal and territorial law enforcement officers through export training deliveries, distance learning, and, on a space-available basis, advanced training conducted at any of FLETC's domestic campuses. In cooperation with the Department of State, FLETC manages the International Law Enforcement Academy (ILEA) at Gabarone, Botswana; assists in the management of the ILEA in Bangkok, Thailand; and, supports training at the other ILEAs in Budapest, Hungary, and San Salvador, El Salvador. Also, FLETC provides training and technical assistance at locations worldwide in collaboration with and in support of U.S. Embassies.

**Object Classification** (in millions of dollars)

| Identification code 70-0509-0-1-751 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 88          | 88      | 87        |
| 11.3                                | Other than full-time permanent .....                       | 1           | 1       | 2         |
| 11.5                                | Other personnel compensation .....                         | 5           | 5       | 3         |
|                                     |  |             |         |           |
| 11.9                                | Total personnel compensation .....                         | 94          | 94      | 92        |
| 12.1                                | Civilian personnel benefits .....                          | 32          | 32      | 31        |
| 21.0                                | Travel and transportation of persons .....                 | 7           | 6       | 5         |
| 22.0                                | Transportation of things .....                             | 1           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 8           | 10      | 10        |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 65          | 83      | 75        |
| 26.0                                | Supplies and materials .....                               | 7           | 9       | 8         |
| 31.0                                | Equipment .....  | 18          | 23      | 23        |
|                                     |  |             |         |           |
| 99.0                                | Direct obligations .....                                   | 233         | 259     | 246       |
| 99.0                                | Reimbursable obligations .....                             | 70          | 90      | 108       |
|                                     |  |             |         |           |
| 99.9                                | Total new obligations .....                                | 303         | 349     | 354       |

**Employment Summary**

| Identification code 70-0509-0-1-751 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 1,062       | 1,103   | 1,099     |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 81          | 80      | 110       |

**ACQUISITIONS, CONSTRUCTION, IMPROVEMENTS, AND RELATED EXPENSES**

*For acquisition of necessary additional real property and facilities, construction, and ongoing maintenance, facility improvements, and related expenses of the Federal Law Enforcement Training Center, \$30,885,000, to remain available until September 30, 2018: Provided, That the Center is authorized to accept reimbursement to this appropriation from government agencies requesting the construction of special use facilities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70-0510-0-1-751      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0002 Direct program activity .....       | 38          | 43      | 31        |
| 0801 Reimbursable program activity ..... | 22          | 87      | 714       |
| 0900 Total new obligations .....         | 60          | 130     | 745       |

**Budgetary Resources:**

|  |   |       |       |       |
|--|---|-------|-------|-------|
| Unobligated balance:   |   |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 65    | 32    | 22    |
| 1020   | Adjustment of unobligated bal brought forward, Oct 1 .....    | -45   | ..... | ..... |
| 1021   | Recoveries of prior year unpaid obligations .....             | 1     | ..... | ..... |
|  |   | <hr/> | <hr/> | <hr/> |
| 1050   | Unobligated balance (total) .....                             | 21    | 32    | 22    |
| Budget authority:  |   |       |       |       |
| Appropriations, discretionary:                                 |   |       |       |       |
| 1100   | Appropriation .....   | 32    | 33    | 31    |
|  |   | <hr/> | <hr/> | <hr/> |
| 1160   | Appropriation, discretionary (total) .....                    | 32    | 33    | 31    |
| Spending authority from offsetting collections, discretionary: |   |       |       |       |
| 1700   | Collected .....   | 65    | 49    | 714   |
| 1701   | Change in uncollected payments, Federal sources .....         | -26   | 38    | ..... |
|  |   | <hr/> | <hr/> | <hr/> |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 39    | 87    | 714   |
| 1900   | Budget authority (total) .....                                | 71    | 120   | 745   |
| 1930   | Total budgetary resources available .....                     | 92    | 152   | 767   |
| Memorandum (non-add) entries:                                  |   |       |       |       |
| 1941   | Unexpired unobligated balance, end of year .....              | 32    | 22    | 22    |

**Change in obligated balance:**

|                               |   |      |       |       |
|-------------------------------|---|------|-------|-------|
| Unpaid obligations:           |   |      |       |       |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....                            | 115  | 59    | 101   |
| 3010                          | Obligations incurred, unexpired accounts .....                              | 60   | 130   | 745   |
| 3020                          | Outlays (gross) .....   | -115 | -88   | -152  |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired .....                | -1   | ..... | ..... |
| 3050                          | Unpaid obligations, end of year .....                                       | 59   | 101   | 694   |
| Uncollected payments:         |   |      |       |       |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                | -104 | -33   | -71   |
| 3061                          | Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 45   | ..... | ..... |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....                   | 26   | -38   | ..... |
| 3090                          | Uncollected pymts, Fed sources, end of year .....                           | -33  | -71   | -71   |
| Memorandum (non-add) entries: |   |      |       |       |
| 3100                          | Obligated balance, start of year .....                                      | 56   | 26    | 30    |
| 3200                          | Obligated balance, end of year .....  | 26   | 30    | 623   |

**Budget authority and outlays, net:**

|   |  |     |     |      |
|---|--|-----|-----|------|
| Discretionary:                                      |  |     |     |      |
| 4000  | Budget authority, gross .....                  | 71  | 120 | 745  |
| Outlays, gross:                                     |  |     |     |      |
| 4010  | Outlays from new discretionary authority ..... | 25  | 14  | 89   |
| 4011  | Outlays from discretionary balances .....      | 90  | 74  | 63   |
| 4020  | Outlays, gross (total) .....                   | 115 | 88  | 152  |
| Offsets against gross budget authority and outlays: |  |     |     |      |
| Offsetting collections (collected) from:            |  |     |     |      |
| 4030  | Federal sources .....                          | -65 | -49 | -714 |

**ACQUISITIONS, CONSTRUCTION, IMPROVEMENTS, AND RELATED  
EXPENSES—Continued**  
**Program and Financing—Continued**

| Identification code 70-0510-0-1-751                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Additional offsets against gross budget authority only:        |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 26          | -38     | .....     |
| 4070 Budget authority, net (discretionary) .....               | 32          | 33      | 31        |
| 4080 Outlays, net (discretionary) .....                        | 50          | 39      | -562      |
| 4180 Budget authority, net (total) .....                       | 32          | 33      | 31        |
| 4190 Outlays, net (total) .....                                | 50          | 39      | -562      |

This account provides for the acquisition and related costs for the expansion and maintenance of the Federal Law Enforcement Training Center, to include funding for construction based on the Facilities Master Plan, Minor Construction and Maintenance, Environmental Compliance, and Communications Systems.

The Master Plan provides the long range blueprint for expansion of facilities to meet the training requirements of over 90 Partner Organizations. Minor Construction and Maintenance provides alterations and maintenance funding for approximately 300 buildings at four locations (Glynco, Georgia; Artesia, New Mexico; Charleston, South Carolina; and Cheltenham, Maryland). Environmental Compliance funding is to ensure compliance with the EPA and State environmental laws and regulations. Communications Systems funding is to maintain and repair or replace the fiber optics telecommunications cable system.

**Object Classification** (in millions of dollars)

| Identification code 70-0510-0-1-751                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 3           | 3       | 3         |
| 32.0 Land and structures .....                     | 35          | 40      | 28        |
| 99.0 Direct obligations .....                      | 38          | 43      | 31        |
| 99.0 Reimbursable obligations .....                | 22          | 87      | 714       |
| 99.9 Total new obligations .....                   | 60          | 130     | 745       |

## IMMIGRATION AND CUSTOMS ENFORCEMENT

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses to conduct investigations of criminal violations of Federal law relating to border security, customs and trade, immigration and naturalization, intellectual property rights, and travel and transportation, including overseas vetted units operations; and purchase and lease of up to 3,790 (2,350 for replacement only) police-type vehicles; \$4,956,822,000; of which not to exceed \$10,000,000 shall be available until expended for conducting special operations under section 3131 of the Customs Enforcement Act of 1986 (19 U.S.C. 2081); of which not to exceed \$12,750 shall be for official reception and representation expenses; of which not to exceed \$2,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security; of which not less than \$305,000 shall be for promotion of public awareness of the child pornography tipline and activities to counter child exploitation; of which not less than \$5,400,000 shall be used to facilitate agreements consistent with section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)); and of which not to exceed \$11,216,000 shall be available to fund or reimburse other Federal agencies for the costs associated with the care, maintenance, and repatriation of smuggled aliens unlawfully present in the United States: Provided, That none of the funds made available under this heading shall be available to compensate any employee for overtime in an annual amount in excess of \$35,000, except that the Secretary of Homeland Security, or the designee of the Secretary, may waive that amount as necessary for national security purposes and in cases of immigration emergencies: Provided further, That of the total amount provided, \$15,770,000 shall be for activities to enforce

laws against forced child labor, of which not to exceed \$6,000,000 shall remain available until expended: Provided further, That of the total amount available, not less than \$1,600,000,000 shall be available to identify aliens convicted of a crime who may be deportable, and to remove them from the United States once they are judged deportable: Provided further, That the Secretary of Homeland Security shall prioritize the identification and removal of aliens convicted of a crime by the severity of that crime: Provided further, That of the total amount provided, not less than \$2,590,713,000 is for enforcement and removal operations, including transportation of unaccompanied minor aliens: Provided further, That of the total amount provided, \$10,300,000 shall remain available until September 30, 2015, for the Visa Security Program: Provided further, That not less than \$10,000,000 shall be available for investigation of intellectual property rights violations, including the National Intellectual Property Rights Coordination Center: Provided further, That none of the funds provided under this heading may be used to continue a delegation of law enforcement authority authorized under section 287(g) of the Immigration and Nationality Act (8 U.S.C. 1357(g)) if the Department of Homeland Security Inspector General determines that the terms of the agreement governing the delegation of authority have been violated: Provided further, That none of the funds provided under this heading may be used to continue any contract for the provision of detention services if the two most recent overall performance evaluations received by the contracted facility are less than "adequate" or the equivalent median score in any subsequent performance evaluation system: Provided further, That pursuant to section 503 of this Act, the Secretary may propose to reprogram funds necessary to ensure the detention of aliens prioritized for removal: Provided further, That nothing under this heading shall prevent U.S. Immigration and Customs Enforcement from exercising those authorities provided under immigration laws (as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))) during priority operations pertaining to aliens convicted of a crime.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Special and Trust Fund Receipts

 (in millions of dollars)

| Identification code 70-0540-0-1-751            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....              |             |         |           |
| Receipts:                                      |             |         |           |
| 0260 Breached Bond/detention Fund .....        | 62          | 75      | 65        |
| 0261 Student and Exchange Visitor Fee .....    | 127         | 120     | 145       |
| 0299 Total receipts and collections .....      | 189         | 195     | 210       |
| 0400 Total: Balances and collections .....     | 189         | 195     | 210       |
| Appropriations:                                |             |         |           |
| 0500 Immigration and Customs Enforcement ..... | -127        | -120    | -145      |
| 0501 Immigration and Customs Enforcement ..... | -62         | -75     | -65       |
| 0599 Total appropriations .....                | -189        | -195    | -210      |
| 0799 Balance, end of year .....                |             |         |           |

#### Program and Financing

 (in millions of dollars)

| Identification code 70-0540-0-1-751                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 5,809       | 5,562   | 4,957     |
| 0801 Reimbursable program activity .....                           | 169         | 181     | 181       |
| 0900 Total new obligations .....                                   | 5,978       | 5,743   | 5,138     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 342         | 483     | 767       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 68          |         |           |
| 1011 Unobligated balance transfer from other accts [19-0113] ..... | 3           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 17          |         |           |
| 1050 Unobligated balance (total) .....                             | 362         | 483     | 767       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 5,529       | 5,564   | 4,957     |
| 1121 Appropriations transferred from other accts [11-1070] .....   | 1           |         |           |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| 1121                                      | Appropriations transferred from other accts [11–5512] ....      | 121    | .....  | .....  |
| 1131                                      | Unobligated balance of appropriations permanently reduced ..... | –16    | –10    | .....  |
| 1160                                      | Appropriation, discretionary (total) .....                      | 5,635  | 5,554  | 4,957  |
|   | Appropriations, mandatory:                                      |        |        |        |
| 1201                                      | Student and Exchange Visitor Program .....                      | 127    | 120    | 145    |
| 1201                                      | Breached Bond Fund .....  | 62     | 75     | 65     |
| 1201                                      | Immigration User Fee .....                                      | 119    | 117    | 135    |
| 1260                                      | Appropriations, mandatory (total) .....                         | 308    | 312    | 345    |
|   | Spending authority from offsetting collections, discretionary:  |        |        |        |
| 1700                                      | Collected .....   | 89     | 161    | 161    |
| 1701                                      | Change in uncollected payments, Federal sources .....           | 72     | .....  | .....  |
| 1750                                      | Spending auth from offsetting collections, disc (total) .....   | 161    | 161    | 161    |
| 1900                                      | Budget authority (total) .....                                  | 6,104  | 6,027  | 5,463  |
| 1930                                      | Total budgetary resources available .....                       | 6,466  | 6,510  | 6,230  |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 1940                                      | Unobligated balance expiring .....                              | –5     | .....  | .....  |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 483    | 767    | 1,092  |
| <b>Change in obligated balance:</b>       |   |        |        |        |
|   | Unpaid obligations:   |        |        |        |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 1,813  | 1,489  | 1,612  |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 5,978  | 5,743  | 5,138  |
| 3011                                      | Obligations incurred, expired accounts .....                    | 71     | .....  | .....  |
| 3020                                      | Outlays (gross) .....   | –6,164 | –5,620 | –5,846 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | –17    | .....  | .....  |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....      | –192   | .....  | .....  |
| 3050                                      | Unpaid obligations, end of year .....                           | 1,489  | 1,612  | 904    |
|   | Uncollected payments:   |        |        |        |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –105   | –105   | –105   |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | –72    | .....  | .....  |
| 3071                                      | Change in uncollected pymts, Fed sources, expired .....         | 72     | .....  | .....  |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | –105   | –105   | –105   |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 3100                                      | Obligated balance, start of year .....                          | 1,708  | 1,384  | 1,507  |
| 3200                                      | Obligated balance, end of year .....                            | 1,384  | 1,507  | 799    |
| <b>Budget authority and outlays, net:</b> |   |        |        |        |
|   | Discretionary:  |        |        |        |
| 4000                                      | Budget authority, gross .....                                   | 5,796  | 5,715  | 5,118  |
|   | Outlays, gross:   |        |        |        |
| 4010                                      | Outlays from new discretionary authority .....                  | 4,716  | 3,507  | 3,154  |
| 4011                                      | Outlays from discretionary balances .....                       | 1,193  | 1,717  | 2,208  |
| 4020                                      | Outlays, gross (total) .....                                    | 5,909  | 5,224  | 5,362  |
|   | Offsets against gross budget authority and outlays:             |        |        |        |
|   | Offsetting collections (collected) from:                        |        |        |        |
| 4030                                      | Federal sources .....   | –158   | –161   | –161   |
| 4033                                      | Non-Federal sources .....                                       | –10    | .....  | .....  |
| 4040                                      | Offsets against gross budget authority and outlays (total) .... | –168   | –161   | –161   |
|   | Additional offsets against gross budget authority only:         |        |        |        |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | –72    | .....  | .....  |
| 4052                                      | Offsetting collections credited to expired accounts .....       | 79     | .....  | .....  |
| 4060                                      | Additional offsets against budget authority only (total) .....  | 7      | .....  | .....  |
| 4070                                      | Budget authority, net (discretionary) .....                     | 5,635  | 5,554  | 4,957  |
| 4080                                      | Outlays, net (discretionary) .....                              | 5,741  | 5,063  | 5,201  |
|   | Mandatory:  |        |        |        |
| 4090                                      | Budget authority, gross .....                                   | 308    | 312    | 345    |
|   | Outlays, gross:   |        |        |        |
| 4100                                      | Outlays from new mandatory authority .....                      | 148    | 281    | 312    |
| 4101                                      | Outlays from mandatory balances .....                           | 107    | 115    | 172    |
| 4110                                      | Outlays, gross (total) .....                                    | 255    | 396    | 484    |
| 4180                                      | Budget authority, net (total) .....                             | 5,943  | 5,866  | 5,302  |
| 4190                                      | Outlays, net (total) .....                                      | 5,996  | 5,459  | 5,685  |

As the largest investigative arm of the Department of Homeland Security, Immigration and Customs Enforcement (ICE) brings a unified and coordinated focus to the enforcement of Federal immigration and customs laws.

The Budget supports ICE's mission to enforce immigration and customs laws. ICE works to protect the United States and its people by deterring, interdicting, and investigating threats arising from the movement of people and goods into and out of the United States. Major programs funded by the Salaries and Expenses appropriation include:

**Investigations.**—Responsible for investigating a broad range of domestic and international activities, including human smuggling

and trafficking; weapons, narcotics and all other contraband smuggling; export enforcement, such as investigating illegal arms exports and exports of dual-use equipment that may threaten national security; financial crimes, such as money laundering, bulk cash smuggling, and other financial crimes; customs fraud and intellectual property rights violations; cybercrime; immigration crimes; child pornography and child sex tourism; and human rights violations.

**Intelligence.**—Responsible for the collection, analysis, and dissemination of strategic, operational, and tactical intelligence for use by the operational elements of ICE and DHS.

**Detention and Removal.**—Responsible for promoting the public safety and national security by ensuring the departure from the United States of all removable aliens through the fair enforcement of the nation's immigration laws.

**International Affairs.**—Responsible for investigating violations involving contraband smuggling, immigration violations, money laundering, arms/technology trafficking, child sexual exploitation and cyber crimes overseas.

**Principal Legal Advisor.**—Serves as the legal representative for the U.S. Government at immigration court hearings, and provides the legal advice, training, and services required to support the ICE mission while defending the immigration laws of the United States.

#### Object Classification (in millions of dollars)

| Identification code 70–0540–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,579       | 1,823   | 1,527     |
| 11.3 Other than full-time permanent .....                       | 18          | 61      | 17        |
| 11.5 Other personnel compensation .....                         | 317         | 348     | 309       |
| 11.8 Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 1,915       | 2,233   | 1,854     |
| 12.1 Civilian personnel benefits .....                          | 764         | 663     | 733       |
| 21.0 Travel and transportation of persons .....                 | 339         | 194     | 294       |
| 22.0 Transportation of things .....                             | 14          | 22      | 9         |
| 23.1 Rental payments to GSA .....                               | 276         | 246     | 301       |
| 23.2 Rental payments to others .....                            | 3           | 28      | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 93          | 57      | 67        |
| 25.1 Advisory and assistance services .....                     | 311         | 322     | 181       |
| 25.2 Other services from non-Federal sources .....              | 283         | 503     | 180       |
| 25.3 Other goods and services from Federal sources .....        | 73          | 98      | 38        |
| 25.4 Operation and maintenance of facilities .....              | 1,142       | 760     | 913       |
| 25.6 Medical care .....   | 162         | 118     | 159       |
| 25.7 Operation and maintenance of equipment .....               | 152         | 54      | 90        |
| 25.8 Subsistence and support of persons .....                   | .....       | 39      | 1         |
| 26.0 Supplies and materials .....                               | 102         | 62      | 76        |
| 31.0 Equipment .....  | 90          | 151     | 42        |
| 32.0 Land and structures .....                                  | 4           | 10      | 4         |
| 42.0 Insurance claims and indemnities .....                     | 3           | 2       | 3         |
| 91.0 Unvouchered .....  | 83          | .....   | 5         |
| 99.0 Direct obligations .....                                   | 5,809       | 5,562   | 4,957     |
| 99.0 Reimbursable obligations .....                             | 169         | 181     | 181       |
| 99.9 Total new obligations .....                                | 5,978       | 5,743   | 5,138     |

#### Employment Summary

| Identification code 70–0540–0–1–751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 20,031      | 20,131  | 19,192    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 140         | 140     | 140       |

#### AUTOMATION MODERNIZATION

For expenses of immigration and customs enforcement automated systems, \$34,900,000, to remain available until September 30, 2018.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

## AUTOMATION MODERNIZATION—Continued

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0543–0–1–751                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Direct program activity .....                                   | 43          | 12      | 35        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 33          | 7       | 7         |
| 1021 Recoveries of prior year unpaid obligations .....               | 5           |         |           |
| 1050 Unobligated balance (total) .....                               | 38          | 7       | 7         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 22          | 22      | 35        |
| 1130 Appropriations permanently reduced .....                        |             | –3      |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –10         | –7      |           |
| 1160 Appropriation, discretionary (total) .....                      | 12          | 12      | 35        |
| 1930 Total budgetary resources available .....                       | 50          | 19      | 42        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 7           | 7       | 7         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 96          | 69      | 79        |
| 3010 Obligations incurred, unexpired accounts .....                  | 43          | 12      | 35        |
| 3020 Outlays (gross) .....   | –65         | –2      | –21       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –5          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 69          | 79      | 93        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 96          | 69      | 79        |
| 3200 Obligated balance, end of year .....                            | 69          | 79      | 93        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 12          | 12      | 35        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 6           | 2       | 7         |
| 4011 Outlays from discretionary balances .....                       | 59          |         | 14        |
| 4020 Outlays, gross (total) .....                                    | 65          | 2       | 21        |
| 4180 Budget authority, net (total) .....                             | 12          | 12      | 35        |
| 4190 Outlays, net (total) .....                                      | 65          | 2       | 21        |

**Automation Modernization.**—Automation Modernization strengthens information availability, while improving information sharing across DHS, ICE, and other partner organizations in a fully secure IT environment.

## Object Classification (in millions of dollars)

| Identification code 70–0543–0–1–751                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.1 Advisory and assistance services .....        | 17          | 5       | 13        |
| 25.2 Other services from non-Federal sources ..... | 16          | 5       |           |
| 25.7 Operation and maintenance of equipment .....  | 1           |         |           |
| 31.0 Equipment .....                               | 9           | 2       | 22        |
| 99.9 Total new obligations .....                   | 43          | 12      | 35        |

## CONSTRUCTION

**For necessary expenses to plan, construct, renovate, equip, and maintain buildings and facilities necessary for the administration and enforcement of the laws relating to customs and immigration, \$5,000,000, to remain available until expended.**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0545–0–1–751                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 7           | 1       | 5         |
| 0900 Total new obligations (object class 25.4) .....              | 7           | 1       | 5         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 1           | 4       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....            | 10          |         |           |
| 1050 Unobligated balance (total) .....                            | 11          | 4       | 3         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  |             |         | 5         |
| 1160 Appropriation, discretionary (total) .....                   |             |         | 5         |
| 1930 Total budgetary resources available .....                    | 11          | 4       | 8         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 4           | 3       | 3         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 87          | 64      | 62        |
| 3010 Obligations incurred, unexpired accounts .....               | 7           | 1       | 5         |
| 3020 Outlays (gross) .....  | –20         | –3      | –2        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –10         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 64          | 62      | 65        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 87          | 64      | 62        |
| 3200 Obligated balance, end of year .....                         | 64          | 62      | 65        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                |             |         | 5         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               |             |         | 1         |
| 4011 Outlays from discretionary balances .....                    | 20          | 3       | 1         |
| 4020 Outlays, gross (total) .....                                 | 20          | 3       | 2         |
| 4180 Budget authority, net (total) .....                          |             |         | 5         |
| 4190 Outlays, net (total) .....                                   | 20          | 3       | 2         |

**Construction.**—The funding within this account is used for the acquisition, construction, and maintenance of ICE facilities.

## CUSTOMS AND BORDER PROTECTION

## Federal Funds

## U.S. CUSTOMS AND BORDER PROTECTION

## SALARIES AND EXPENSES

*For necessary expenses for enforcement of laws relating to border security, immigration, customs, agricultural inspections and regulatory activities related to plant and animal imports, and transportation of unaccompanied minor aliens; purchase and lease of up to 7,500 (6,500 for replacement only) police-type vehicles; and contracting with individuals for personal services abroad; \$9,127,088,000; of which \$3,274,000 shall be derived from the Harbor Maintenance Trust Fund for administrative expenses related to the collection of the Harbor Maintenance Fee pursuant to section 9505(c)(3) of the Internal Revenue Code of 1986 (26 U.S.C. 9505(c)(3)) and notwithstanding section 1511(e)(1) of the Homeland Security Act of 2002 (6 U.S.C. 551(e)(1)); of which not to exceed \$38,250 shall be for official reception and representation expenses; of which not less than \$286,769,000 shall be for Air and Marine Operations; of which not to exceed \$1,000,000 shall be for awards of compensation to informants, to be accounted for solely under the certificate of the Secretary of Homeland Security: Provided, That for fiscal year 2014, the overtime limitation prescribed in section 5(c)(1) of the Act of February 13, 1911 (19 U.S.C. 267(c)(1)) shall be \$35,000; and notwithstanding any other provision of law, none of the*

*funds appropriated by this Act may be available to compensate any employee of U.S. Customs and Border Protection for overtime, from whatever source, in an amount that exceeds such limitation, except in individual cases determined by the Secretary of Homeland Security, or the designee of the Secretary, to be necessary for national security purposes, to prevent excessive costs, or in cases of immigration emergencies: Provided further, That of the amount provided under this heading, \$253,533,000 is for necessary expenses for the United States Visitor and Immigrant Status Indicator Technology program, as authorized by section 110 of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1365a), of which \$118,787,000 shall remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 70–0530–0–1–751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 1           | 719     | 830       |
| Adjustments:  |             |         |           |
| 0190 Adjustment- legal opinion that certain unobligated balances are unavailable for obligation ..... | 640         |         |           |
| 0191 Adjustment - prior year small receipt balance missing .....                                      | 3           |         |           |
| 0199 Balance, start of year .....   | 644         | 719     | 830       |
| Receipts:   |             |         |           |
| 0220 User Fees for Customs Services at Small Airports .....   | 7           | 8       | 9         |
| 0260 Immigration User Fee .....   | 686         | 698     | 724       |
| 0261 Immigration User Fee .....   |             |         | 166       |
| 0262 Land Border Inspection Fee .....   | 38          | 42      | 43        |
| 0263 Immigrant Enforcement Account .....  | 1           | 1       | 1         |
| 0264 Customs Conveyance, Passenger, and Other Fees .....  | 463         | 481     | 500       |
| 0265 Customs Conveyance, Passenger, and Other Fees .....  |             |         | 194       |
| 0266 US Customs User Fees Account, Merchandise Processing .....                                       | 2,097       | 2,160   | 2,237     |
| 0267 Elimination of NAFTA Certain Customs Fees Exemption .....  | 83          | 110     | 110       |
| 0299 Total receipts and collections .....   | 3,375       | 3,500   | 3,984     |
| 0400 Total: Balances and collections .....  | 4,019       | 4,219   | 4,814     |
| Appropriations:   |             |         |           |
| 0500 Immigration and Customs Enforcement .....  | -119        | -117    | -135      |
| 0501 Customs and Border Protection (Small Airports) .....   | -9          | -8      | -9        |
| 0502 Customs and Border Protection (MPF) .....  | -2,100      | -2,160  | -2,237    |
| 0503 Customs and Border Protection (COBRA FTA) .....  |             |         | -110      |
| 0504 Customs and Border Protection (Harbor Maintenance) .....   | -3          | -3      | -3        |
| 0505 Customs and Border Protection (COBRA Increase) .....   |             |         | -194      |
| 0506 Customs and Border Protection (IUF Increase) .....   |             |         | -166      |
| 0507 Customs and Border Protection (Land Border) .....  | -38         | -42     | -43       |
| 0508 Customs and Border Protection (IUF) .....  | -567        | -577    | -599      |
| 0509 Customs and Border Protection (Enforcement Fines) .....  | -1          | -1      | -1        |
| 0510 Customs and Border Protection (COBRA) .....  | -463        | -481    | -500      |
| 0599 Total appropriations .....   | -3,300      | -3,389  | -3,997    |
| 0799 Balance, end of year .....   | 719         | 830     | 817       |

**Program and Financing** (in millions of dollars)

| Identification code 70–0530–0–1–751                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Headquarters M&A .....                                       | 1,979       | 1,988   | 1,782     |
| 0002 Border Security, at POEs .....                               | 4,266       | 4,363   | 5,023     |
| 0003 Border Security, between POEs .....                          | 3,631       | 3,645   | 3,757     |
| 0004 Air & Marine .....   | 288         | 290     | 287       |
| 0005 US VISIT .....   |             |         | 254       |
| 0799 Total direct obligations .....                               | 10,164      | 10,286  | 11,103    |
| 0801 Reimbursable program activity .....                          | 303         | 343     | 345       |
| 0802 Reimbursable program activity Border Security at POE .....   | 1,275       | 1,205   | 1,213     |
| 0803 Reimbursable program activity - Between Point of Entry ..... | 1           | 2       | 2         |
| 0804 Reimbursable program activity Air and Marine .....           | 7           | 6       | 6         |
| 0899 Total reimbursable obligations .....                         | 1,586       | 1,556   | 1,566     |
| 0900 Total new obligations .....                                  | 11,750      | 11,842  | 12,669    |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 823         | 173     | 108       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 16          | 8       |           |

|   |         |         |         |
|---|---------|---------|---------|
| 1011 Unobligated balance transfer from other accts [19–0113] ....               | 1       |         |         |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 8       |         |         |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                 | -640    |         |         |
| 1021 Recoveries of prior year unpaid obligations .....                          | 8       | 7       |         |
| 1050 Unobligated balance (total) .....  | 200     | 180     | 108     |
| Budget authority:   |         |         |         |
| Appropriations, discretionary:  |         |         |         |
| 1100 Appropriation .....  | 6,577   | 6,570   | 6,887   |
| 1100 Sandy Supplemental .....   |         | 2       |         |
| 1101 Appropriation (Small Airports) .....                                       | 9       | 8       | 9       |
| 1101 Appropriation (MPF) .....  | 2,100   | 2,160   | 2,237   |
| 1101 Appropriation (COBRA FTA) .....  |         |         | 110     |
| 1101 Harbor Maintenance Fee .....   | 3       | 3       | 3       |
| 1101 Appropriation (COBRA Fee Increase) .....                                   |         |         | 194     |
| 1101 Appropriation (IUF Increase) .....   |         |         | 166     |
| 1121 Appropriations transferred from other accts [70–0521] ....                 | 4       |         |         |
| 1121 Appropriations transferred from other accts [11–5512] ....                 | 14      |         |         |
| 1121 Appropriations transferred from other accts [70–0533] ....                 | 15      |         |         |
| 1131 Unobligated balance of appropriations permanently reduced .....            | -5      | -5      |         |
| 1160 Appropriation, discretionary (total) .....                                 | 8,717   | 8,738   | 9,606   |
| Appropriations, mandatory:  |         |         |         |
| 1201 Appropriation (Land Border) .....  | 38      | 42      | 43      |
| 1201 Appropriation (IUF) .....  | 567     | 577     | 599     |
| 1201 Appropriation (Enforcement fines) .....                                    | 1       | 1       | 1       |
| 1201 Appropriation (COBRA) .....  | 463     | 481     | 500     |
| 1221 Appropriations transferred from other accts [12–1600] ....                 | 349     | 350     | 355     |
| 1260 Appropriations, mandatory (total) .....                                    | 1,418   | 1,451   | 1,498   |
| Spending authority from offsetting collections, discretionary:                  |         |         |         |
| 1700 Collected .....  | 1,516   | 1,556   | 1,566   |
| 1700 Collected (private public partnership) .....                               |         | 25      | 25      |
| 1701 Change in uncollected payments, Federal sources .....                      | 74      |         |         |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 1,590   | 1,581   | 1,591   |
| 1900 Budget authority (total) .....   | 11,725  | 11,770  | 12,695  |
| 1930 Total budgetary resources available .....                                  | 11,925  | 11,950  | 12,803  |
| Memorandum (non-add) entries:   |         |         |         |
| 1940 Unobligated balance expiring .....   | -2      |         |         |
| 1941 Unexpired unobligated balance, end of year .....                           | 173     | 108     | 134     |
| <b>Change in obligated balance:</b>   |         |         |         |
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 1,876   | 1,793   | 1,359   |
| 3010 Obligations incurred, unexpired accounts .....                             | 11,750  | 11,842  | 12,669  |
| 3011 Obligations incurred, expired accounts .....                               | 65      |         |         |
| 3020 Outlays (gross) .....  | -11,758 | -12,269 | -12,829 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -8      | -7      |         |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -132    |         |         |
| 3050 Unpaid obligations, end of year .....                                      | 1,793   | 1,359   | 1,199   |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -163    | -161    | -161    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | -74     |         |         |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 76      |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -161    | -161    | -161    |
| Memorandum (non-add) entries:   |         |         |         |
| 3100 Obligated balance, start of year .....                                     | 1,713   | 1,632   | 1,198   |
| 3200 Obligated balance, end of year .....                                       | 1,632   | 1,198   | 1,038   |

**Budget authority and outlays, net:**

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 10,307 | 10,319 | 11,197 |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 9,264  | 9,384  | 10,161 |
| 4011 Outlays from discretionary balances .....                       | 1,412  | 1,407  | 1,172  |
| 4020 Outlays, gross (total) .....                                    | 10,676 | 10,791 | 11,333 |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   | -1,543 | -1,556 | -1,566 |
| 4033 Non-Federal sources .....                                       | -44    | -25    | -25    |
| 4040 Offsets against gross budget authority and outlays (total) .... | -1,587 | -1,581 | -1,591 |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -74    |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 71     |        |        |
| 4060 Additional offsets against budget authority only (total) .....  | -3     |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 8,717  | 8,738  | 9,606  |
| 4080 Outlays, net (discretionary) .....                              | 9,089  | 9,210  | 9,742  |
| Mandatory:   |        |        |        |
| 4090 Budget authority, gross .....                                   | 1,418  | 1,451  | 1,498  |
| Outlays, gross:  |        |        |        |
| 4100 Outlays from new mandatory authority .....                      | 930    | 1,336  | 1,413  |

## U.S. CUSTOMS AND BORDER PROTECTION—Continued

## Program and Financing—Continued

| Identification code 70–0530–0–1–751        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4101 Outlays from mandatory balances ..... | 152         | 142     | 83        |
| 4110 Outlays, gross (total) .....          | 1,082       | 1,478   | 1,496     |
| 4180 Budget authority, net (total) .....   | 10,135      | 10,189  | 11,104    |
| 4190 Outlays, net (total) .....            | 10,171      | 10,688  | 11,238    |

Among the missions at the Department of Homeland Security, U.S. Customs and Border Protection (CBP) is responsible for securing America's borders, while facilitating legitimate trade and travel. CBP is responsible for inspecting travelers at land, sea, and air ports-of-entry for immigration, customs, and agriculture compliance, as well as interdicting illegal crossers between ports-of-entry. CBP is responsible for enforcing the laws regarding admission of foreign-born persons into the United States; identifying and apprehending aliens; and ensuring that all goods and persons entering and exiting the United States do so legally.

## Object Classification (in millions of dollars)

| Identification code 70–0530–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 4,448       | 4,597   | 5,102     |
| 11.3 Other than full-time permanent .....                       | 13          | 13      | 21        |
| 11.5 Other personnel compensation .....                         | 1,174       | 1,209   | 1,237     |
| 11.9 Total personnel compensation .....                         | 5,635       | 5,819   | 6,360     |
| 12.1 Civilian personnel benefits .....                          | 2,328       | 2,409   | 2,688     |
| 13.0 Benefits for former personnel .....                        | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 | 116         | 116     | 96        |
| 22.0 Transportation of things .....                             | 11          | 9       | 10        |
| 23.1 Rental payments to GSA .....                               | 449         | 413     | 344       |
| 23.2 Rental payments to others .....                            | 28          | 40      | 50        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 151         | 150     | 98        |
| 24.0 Printing and reproduction .....                            | 11          | 8       | 4         |
| 25.1 Advisory and assistance services .....                     | 7           | 7       | 22        |
| 25.2 Other services from non-Federal sources .....              | 587         | 517     | 691       |
| 25.3 Other goods and services from Federal sources .....        | 87          | 84      | 68        |
| 25.4 Operation and maintenance of facilities .....              | 76          | 112     | 44        |
| 25.6 Medical care .....   | 13          | 13      | 5         |
| 25.7 Operation and maintenance of equipment .....               | 189         | 154     | 195       |
| 25.8 Subsistence and support of persons .....                   | 4           | 4       | .....     |
| 26.0 Supplies and materials .....                               | 200         | 167     | 191       |
| 31.0 Equipment .....  | 268         | 260     | 232       |
| 42.0 Insurance claims and indemnities .....                     | 2           | 2       | 3         |
| 99.0 Direct obligations .....                                   | 10,164      | 10,286  | 11,103    |
| 99.0 Reimbursable obligations .....                             | 1,586       | 1,556   | 1,566     |
| 99.9 Total new obligations .....                                | 11,750      | 11,842  | 12,669    |

## Employment Summary

| Identification code 70–0530–0–1–751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 52,031      | 50,821  | 51,811    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 6,759       | 8,759   | 8,759     |

## BORDER SECURITY FENCING, INFRASTRUCTURE, AND TECHNOLOGY

For expenses for border security fencing, infrastructure, and technology, \$351,454,00, to remain available until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0533–0–1–751                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Program Management .....  |             | 55      | .....     |
| 0002 Development and Deployment .....                                | 225         | 246     | 191       |
| 0003 Operations and Maintenance .....                                | 207         | 149     | 160       |
| 0900 Total new obligations .....                                     | 432         | 450     | 351       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 390         | 405     | 354       |
| 1021 Recoveries of prior year unpaid obligations .....               | 69          | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 459         | 405     | 354       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 400         | 402     | 351       |
| 1120 Appropriations transferred to other accts [70–0530] .....       | –15         | .....   | .....     |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –7          | –3      | .....     |
| 1160 Appropriation, discretionary (total) .....                      | 378         | 399     | 351       |
| 1930 Total budgetary resources available .....                       | 837         | 804     | 705       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 405         | 354     | 354       |

## Change in obligated balance:

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 688  | 645   | 537   |
| 3010 Obligations incurred, unexpired accounts .....               | 432  | 450   | 351   |
| 3011 Obligations incurred, expired accounts .....                 | 15   | ..... | ..... |
| 3020 Outlays (gross) .....  | –418 | –558  | –348  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –69  | ..... | ..... |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –3   | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 645  | 537   | 540   |
| Memorandum (non-add) entries:                                     |      |       |       |
| 3100 Obligated balance, start of year .....                       | 688  | 645   | 537   |
| 3200 Obligated balance, end of year .....                         | 645  | 537   | 540   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 378 | 399 | 351 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 66  | 60  | 53  |
| 4011 Outlays from discretionary balances .....      | 352 | 498 | 295 |
| 4020 Outlays, gross (total) .....                   | 418 | 558 | 348 |
| 4180 Budget authority, net (total) .....            | 378 | 399 | 351 |
| 4190 Outlays, net (total) .....                     | 418 | 558 | 348 |

This appropriation will fund acquisition, delivery, and sustainment of border security technology and infrastructure capabilities and services, while responding to changing threats and evolving operational needs including: 1) Delivering detection and surveillance technology systems to gain situational awareness of activity at the border; 2) Establishing and managing comprehensive Tactical Infrastructure (TI) maintenance and repair activities to support fielded pedestrian and vehicle fencing, roads, tower sites, canal crossovers, ongoing vegetation removal, among other similar efforts; 3) Modernizing Tactical Communications (TACCOM) systems on the southwest border for improved operations and agent safety; and, 4) Evaluating existing technologies for innovative application in addressing specific border security needs.

## Object Classification (in millions of dollars)

| Identification code 70–0533–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 20          | 16      | .....     |
| 12.1 Civilian personnel benefits .....                          | 2           | 6       | .....     |
| 21.0 Travel and transportation of persons .....                 | 2           | 1       | .....     |
| 23.2 Rental payments to others .....                            | 2           | 3       | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 6           | 7       | .....     |
| 25.2 Other services from non-Federal sources .....              | 186         | 196     | 219       |
| 25.3 Other goods and services from Federal sources .....        | 40          | 48      | .....     |
| 25.4 Operation and maintenance of facilities .....              | 34          | 45      | .....     |
| 25.7 Operation and maintenance of equipment .....               | .....       | 1       | 33        |

|      |                              |     |     |       |
|------|------------------------------|-----|-----|-------|
| 26.0 | Supplies and materials ..... | 10  | 8   | ..... |
| 31.0 | Equipment .....              | 65  | 65  | 96    |
| 32.0 | Land and structures .....    | 65  | 54  | 3     |
| 99.9 | Total new obligations .....  | 432 | 450 | 351   |

**Employment Summary**

| Identification code 70-0533-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 167         | 205     | .....     |

**AUTOMATION MODERNIZATION**

*For expenses for U.S. Customs and Border Protection automated systems, \$340,105,000 to remain available until September 30, 2016, of which not less than 140,830,000 shall be for the development of the Automated Commercial Environment.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70-0531-0-1-751     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 COPPS .....                        | 200         | 198     | 199       |
| 0003 ACE .....                          | 141         | 202     | 141       |
| 0900 Total new obligations .....        | 341         | 400     | 340       |

**Budgetary Resources:**

|  |     |       |       |
|--|-----|-------|-------|
| 1000 Unobligated balance brought forward, Oct 1 .....                | 90  | 99    | 30    |
| 1021 Recoveries of prior year unpaid obligations .....               | 21  | ..... | ..... |
| 1050 Unobligated balance (total) .....                               | 111 | 99    | 30    |
| <b>Budget authority:</b>   |     |       |       |
| 1100 Appropriations, discretionary:                                  |     |       |       |
| 1131 Appropriation .....   | 334 | 336   | 340   |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -5  | -5    | ..... |
| 1160 Appropriation, discretionary (total) .....                      | 329 | 331   | 340   |
| 1930 Total budgetary resources available .....                       | 440 | 430   | 370   |
| <b>Memorandum (non-add) entries:</b>                                 |     |       |       |
| 1941 Unexpired unobligated balance, end of year .....                | 99  | 30    | 30    |

**Change in obligated balance:**

|   |      |       |       |
|---|------|-------|-------|
| 3000 Unpaid obligations:  |      |       |       |
| 3010 Unpaid obligations, brought forward, Oct 1 .....             | 245  | 177   | 241   |
| 3010 Obligations incurred, unexpired accounts .....               | 341  | 400   | 340   |
| 3020 Outlays (gross) .....  | -388 | -336  | -332  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -21  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 177  | 241   | 249   |
| <b>Memorandum (non-add) entries:</b>                              |      |       |       |
| 3100 Obligated balance, start of year .....                       | 245  | 177   | 241   |
| 3200 Obligated balance, end of year .....                         | 177  | 241   | 249   |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| 4000 Discretionary:                                 |     |     |     |
| 4010 Budget authority, gross .....                  | 329 | 331 | 340 |
| 4010 Outlays, gross:                                |     |     |     |
| 4011 Outlays from new discretionary authority ..... | 164 | 136 | 140 |
| 4011 Outlays from discretionary balances .....      | 224 | 200 | 192 |
| 4020 Outlays, gross (total) .....                   | 388 | 336 | 332 |
| 4180 Budget authority, net (total) .....            | 329 | 331 | 340 |
| 4190 Outlays, net (total) .....                     | 388 | 336 | 332 |

The Automation Modernization account is divided into two program and project activities, the Automated Commercial Environment (ACE) and Critical Operations Protection and Processing Support (COPPS). The funding for information technology initiatives as well as maintenance of the existing information technology infrastructure at CBP resides in this account. ACE

is being developed and deployed in increments and will replace the current trade management system, the Automated Commercial System (ACS). ACE will provide tools and enhance the business processes that are essential to securing U.S. borders while ensuring the efficient processing of legitimate goods. COPPS provides nearly all the CBP Information Technology (IT) infrastructure to operate and maintain mission-critical IT systems requisite to secure the borders while facilitating legitimate trade and travel.

**Object Classification** (in millions of dollars)

| Identification code 70-0531-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 11          | 11      | 11        |
| 12.1 Civilian personnel benefits .....                          | 3           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 10          | 13      | .....     |
| 25.2 Other services from non-Federal sources .....              | 175         | 205     | 182       |
| 25.7 Operation and maintenance of equipment .....               | 34          | 43      | 55        |
| 31.0 Equipment .....  | 108         | 125     | 89        |
| 99.9 Total new obligations .....                                | 341         | 400     | 340       |

**Employment Summary**

| Identification code 70-0531-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 63          | 82      | 82        |

**CONSTRUCTION AND FACILITIES MANAGEMENT**

*For necessary expenses to plan, acquire, construct, renovate, equip, furnish, operate, manage, and maintain buildings, facilities, and related infrastructure necessary for the administration and enforcement of the laws relating to customs, immigration, and border security, \$471,499,000, to remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70-0532-0-1-751                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>            |             |         |           |
| 0002 Program Oversight .....                       | 82          | 54      | 86        |
| 0003 Facilities Construction and Sustainment ..... | 236         | 185     | 385       |
| 0900 Total new obligations .....                   | 318         | 239     | 471       |

**Budgetary Resources:**

|  |     |       |       |
|--|-----|-------|-------|
| 1000 Unobligated balance brought forward, Oct 1 .....  | 1   | 1     | ..... |
| 1021 Recoveries of prior year unpaid obligations ..... | 81  | ..... | ..... |
| 1050 Unobligated balance (total) .....                 | 82  | 1     | ..... |
| <b>Budget authority:</b>                               |     |       |       |
| 1100 Appropriations, discretionary:                    |     |       |       |
| 1100 Appropriation .....                               | 237 | 238   | 471   |
| 1160 Appropriation, discretionary (total) .....        | 237 | 238   | 471   |
| 1930 Total budgetary resources available .....         | 319 | 239   | 471   |
| <b>Memorandum (non-add) entries:</b>                   |     |       |       |
| 1941 Unexpired unobligated balance, end of year .....  | 1   | ..... | ..... |

**Change in obligated balance:**

|   |       |       |       |
|---|-------|-------|-------|
| 3000 Unpaid obligations:  |       |       |       |
| 3010 Unpaid obligations, brought forward, Oct 1 .....             | 1,075 | 693   | 652   |
| 3010 Obligations incurred, unexpired accounts .....               | 318   | 239   | 471   |
| 3011 Obligations incurred, expired accounts .....                 | 11    | ..... | ..... |
| 3020 Outlays (gross) .....  | -627  | -280  | -652  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -81   | ..... | ..... |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -3    | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 693   | 652   | 471   |

CONSTRUCTION AND FACILITIES MANAGEMENT—Continued  
Program and Financing—Continued

| Identification code 70–0532–0–1–751                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                       |             |         |           |
| 3100 Obligated balance, start of year .....         | 1,075       | 693     | 652       |
| 3200 Obligated balance, end of year .....           | 693         | 652     | 471       |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 237         | 238     | 471       |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 65          | 36      | 71        |
| 4011 Outlays from discretionary balances .....      | 562         | 244     | 581       |
| 4020 Outlays, gross (total) .....                   | 627         | 280     | 652       |
| 4180 Budget authority, net (total) .....            | 237         | 238     | 471       |
| 4190 Outlays, net (total) .....                     | 627         | 280     | 652       |

CBP has consolidated all multi-year facilities-related funding into a single account, except funding resources associated with rent and rent-related costs, so that the agency can consistently plan, finance, and manage its multifaceted facilities portfolio. The consolidation of these budget activities will allow CBP to best fulfill the driving mission needs.

## Object Classification (in millions of dollars)

| Identification code 70–0532–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 20          | 19      | 52        |
| 12.1 Civilian personnel benefits .....                          | 2           | 6       | 16        |
| 12.2 Military personnel benefits .....                          | 4           | .....   | 1         |
| 21.0 Travel and transportation of persons .....                 | 2           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | .....   | 63        |
| 25.2 Other services from non-Federal sources .....              | 97          | 54      | 25        |
| 25.3 Other goods and services from Federal sources .....        | 4           | .....   | .....     |
| 25.4 Operation and maintenance of facilities .....              | 50          | 142     | 214       |
| 25.7 Operation and maintenance of equipment .....               | 66          | .....   | 8         |
| 26.0 Supplies and materials .....                               | 1           | .....   | .....     |
| 31.0 Equipment .....  | 24          | 17      | 5         |
| 32.0 Land and structures .....                                  | 43          | .....   | 86        |
| 99.9 Total new obligations .....                                | 318         | 239     | 471       |

## Employment Summary

| Identification code 70–0532–0–1–751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 173         | 200     | 633       |

AIR AND MARINE INTERDICTION, OPERATIONS, MAINTENANCE, AND  
PROCUREMENT

For necessary expenses for the operations, maintenance, and procurement of marine vessels, aircraft, unmanned aircraft systems, and other related equipment of the air and marine program, including operational training and mission-related travel, the operations of which include the following: the interdiction of narcotics and other goods; the provision of support to Federal, State, and local agencies in the enforcement or administration of laws enforced by the Department of Homeland Security; and, at the discretion of the Secretary of Homeland Security, the provision of assistance to Federal, State, and local agencies in other law enforcement and emergency humanitarian efforts, \$427,701,000, to remain available until September 30, 2016: Provided, That no aircraft or other related equipment, with the exception of aircraft that are one of a kind and have been identified as excess to U.S. Customs and Border Protection requirements and aircraft that have been damaged beyond repair, shall be transferred to any other Federal agency, department, or office outside of the Department of Homeland Security during fiscal year 2014 without the prior notice to the Committees on Appropriations of the Senate and the House of Representatives..

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0544–0–1–751                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Operations and Maintenance .....                              | 477         | 385     | 354       |
| 0002 Procurement .....   | 65          | 189     | 74        |
| 0799 Total direct obligations .....                                | 542         | 574     | 428       |
| 0801 Reimbursable program activity .....                           | 1           | 3       | 3         |
| 0900 Total new obligations .....                                   | 543         | 577     | 431       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 78          | 68      | 26        |
| 1021 Recoveries of prior year unpaid obligations .....             | 24          | 25      | 25        |
| 1050 Unobligated balance (total) .....                             | 102         | 93      | 51        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 504         | 507     | 428       |
| 1160 Appropriation, discretionary (total) .....                    | 504         | 507     | 428       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 5           | 3       | 3         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 5           | 3       | 3         |
| 1900 Budget authority (total) .....                                | 509         | 510     | 431       |
| 1930 Total budgetary resources available .....                     | 611         | 603     | 482       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 68          | 26      | 51        |

## Change in obligated balance:

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 616  | 605   | 504   |
| 3010 Obligations incurred, unexpired accounts .....               | 543  | 577   | 431   |
| 3020 Outlays (gross) .....  | –529 | –653  | –431  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –24  | –25   | –25   |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –1   | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 605  | 504   | 479   |
| Memorandum (non-add) entries:                                     |      |       |       |
| 3100 Obligated balance, start of year .....                       | 616  | 605   | 504   |
| 3200 Obligated balance, end of year .....                         | 605  | 504   | 479   |

## Budget authority and outlays, net:

|  |     |       |       |
|--|-----|-------|-------|
| Discretionary:   |     |       |       |
| 4000 Budget authority, gross .....                                   | 509 | 510   | 431   |
| Outlays, gross:  |     |       |       |
| 4010 Outlays from new discretionary authority .....                  | 182 | 206   | 174   |
| 4011 Outlays from discretionary balances .....                       | 347 | 447   | 257   |
| 4020 Outlays, gross (total) .....                                    | 529 | 653   | 431   |
| Offsets against gross budget authority and outlays:                  |     |       |       |
| Offsetting collections (collected) from:                             |     |       |       |
| 4030 Federal sources .....   | –1  | –3    | –3    |
| 4033 Non-Federal sources .....                                       | –4  | ..... | ..... |
| 4040 Offsets against gross budget authority and outlays (total) .... | –5  | –3    | –3    |
| 4070 Budget authority, net (discretionary) .....                     | 504 | 507   | 428   |
| 4080 Outlays, net (discretionary) .....                              | 524 | 650   | 428   |
| 4180 Budget authority, net (total) .....                             | 504 | 507   | 428   |
| 4190 Outlays, net (total) .....                                      | 524 | 650   | 428   |

The Air and Marine Interdiction, Operations, Maintenance, and Procurement account funds the operations, maintenance, lease, and procurement of marine vessels, aircraft, unmanned aircraft systems, and other related equipment of the air and marine program.

## Object Classification (in millions of dollars)

| Identification code 70–0544–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 21.0 Travel and transportation of persons .....                 | 15          | 7       | 16        |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 2           | 3       | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 8           | 8       | 6         |
| 25.1 Advisory and assistance services .....                     | 11          | 15      | .....     |
| 25.2 Other services from non-Federal sources .....              | 29          | 45      | 37        |



|      |   |     |     |       |
|------|---|-----|-----|-------|
| 25.3 | Other goods and services from Federal sources ..... | 55  | 65  | 43    |
| 25.4 | Operation and maintenance of facilities .....       | 3   | 5   | ..... |
| 25.7 | Operation and maintenance of equipment .....        | 180 | 185 | 166   |
| 26.0 | Supplies and materials .....                        | 119 | 135 | 91    |
| 31.0 | Equipment .....                                     | 117 | 105 | 68    |
| 99.0 | Direct obligations .....                            | 540 | 574 | 428   |
| 99.0 | Reimbursable obligations .....                      | 3   | 3   | 3     |
| 99.9 | Total new obligations .....                         | 543 | 577 | 431   |

## ENHANCED INSPECTIONAL SERVICES

## Program and Financing (in millions of dollars)

| Identification code 70-4363-0-3-751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Reimbursable program activity .....  |             |         | 25        |
| 0900 Total new obligations (object class 11.5) .....  |             |         | 25        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| 1700 Spending authority from offsetting collections, discretionary:<br>Collected .....          |             |         | 25        |
| 1750 Spending auth from offsetting collections, disc (total) .....                              |             |         | 25        |
| 1930 Total budgetary resources available .....  |             |         | 25        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 25        |
| 3020 Outlays (gross) .....  |             |         | -25       |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  |             |         | 25        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             |         | 25        |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4033 Non-Federal sources .....  |             |         | -25       |

The Budget includes a proposal to allow the Commissioner of Customs and Border Protection (CBP) to approve requests from interested parties to reimburse CBP for enhanced inspectional services. Under current law, 19 U.S.C. 58b, CBP is authorized to receive reimbursement only if the Secretary of Homeland Security determines that the volume or value of business cleared through the facility at issue is insufficient to justify the availability of CBP services and if the governor of the State in which the facility is located approves such designation. The proposed legislation would authorize CBP to (1) receive reimbursement from corporations, government agencies, and other interested parties for inspection services in the air, land and sea environments at both the domestic and foreign locations; (2) receive reimbursement at international and landing rights airports that already receive inspection services; and (3) collect reimbursable expenses including salaries, benefits, temporary duty costs, relocation and, as applicable, housing, infrastructure, equipment and training. This would allow CBP to provide services to requesting parties that it could not provide in the absence of reimbursement.

## Object Classification (in millions of dollars)

| Identification code 70-4363-0-3-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.5 Personnel compensation: Other personnel compensation ..... |             |         | 25        |
| 99.0 Reimbursable obligations .....                             |             |         | 25        |

## REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO RICO

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 70-5687-0-2-806                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                     |             |         | .....     |
| Receipts:   |             |         |           |
| 0200 Deposits, Duties, and Taxes, Puerto Rico .....                   | 107         | 103     | 99        |
| 0400 Total: Balances and collections .....                            | 107         | 103     | 99        |
| Appropriations:   |             |         |           |
| 0500 Refunds, Transfers, and Expenses of Operation, Puerto Rico ..... | -107        | -103    | -99       |
| 0799 Balance, end of year .....                                       |             |         | .....     |

## Program and Financing (in millions of dollars)

| Identification code 70-5687-0-2-806   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program activity .....  | 113         | 105     | 105       |
| 0100 Direct program activities, subtotal .....  | 113         | 105     | 105       |
| 0811 Reimbursable program activity .....  | 28          | 27      | 27        |
| 0900 Total new obligations .....  | 141         | 132     | 132       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   |             | 2       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....  | 8           |         | .....     |
| 1050 Unobligated balance (total) .....  | 8           | 2       | 3         |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....  | 107         | 103     | 99        |
| 1260 Appropriations, mandatory (total) .....  | 107         | 103     | 99        |
| Spending authority from offsetting collections, mandatory:                                      |             |         |           |
| 1800 Collected .....  | 28          | 30      | 30        |
| 1850 Spending auth from offsetting collections, mand (total) .....                              | 28          | 30      | 30        |
| 1900 Budget authority (total) .....   | 135         | 133     | 129       |
| 1930 Total budgetary resources available .....  | 143         | 135     | 132       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 2           | 3       | .....     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 52          | 36      | 11        |
| 3010 Obligations incurred, unexpired accounts .....   | 141         | 132     | 132       |
| 3020 Outlays (gross) .....  | -149        | -157    | -129      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                               | -8          |         | .....     |
| 3050 Unpaid obligations, end of year .....  | 36          | 11      | 14        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 52          | 36      | 11        |
| 3200 Obligated balance, end of year .....   | 36          | 11      | 14        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 135         | 133     | 129       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 134         | 123     | 119       |
| 4101 Outlays from mandatory balances .....  | 15          | 34      | 10        |
| 4110 Outlays, gross (total) .....   | 149         | 157     | 129       |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4120 Federal sources .....  | -28         | -30     | -30       |
| 4180 Budget authority, net (total) .....  | 107         | 103     | 99        |
| 4190 Outlays, net (total) .....   | 121         | 127     | 99        |

U.S. Customs and Border Protection (CBP) acts as Puerto Rico's sole customs service. CBP and the Homeland Security Investigation (HSI) directorate of U.S. Immigration and Customs Enforcement (ICE) also perform investigative law enforcement activities under statute, 48 U.S.C. 1469c. This secondary statute provides any U.S. government agency or instrumentality the authority to provide additional services to Puerto Rico, at the Government of Puerto Rico's behest, on a reimbursable basis. Collections in Puerto Rico, less the costs of collecting duties and taxes, are trans-

REFUNDS, TRANSFERS, AND EXPENSES OF OPERATION, PUERTO  
RICO—Continued

ferred to Puerto Rico's Treasury (Hacienda) to be expended as required by law for the Government of Puerto Rico..

## Object Classification (in millions of dollars)

| Identification code 70-5687-0-2-806                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 22          | 18      | 18        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 3           | 2       | 2         |
| 11.6 Military personnel - basic allowance for housing .....     | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 27          | 22      | 22        |
| 12.1 Civilian personnel benefits .....                          | 2           | 10      | 10        |
| 12.2 Military personnel benefits .....                          | 8           |         |           |
| 21.0 Travel and transportation of persons .....                 | 1           |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 10          | 17      | 17        |
| 25.3 Other goods and services from Federal sources .....        | 27          | 37      | 37        |
| 25.4 Operation and maintenance of facilities .....              | 3           |         |           |
| 25.7 Operation and maintenance of equipment .....               | 2           |         |           |
| 26.0 Supplies and materials .....                               | 2           | 2       | 2         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 14          | 13      | 13        |
| 44.0 Refunds .....  | 14          |         |           |
| 99.0 Direct obligations .....                                   | 113         | 105     | 105       |
| 99.0 Reimbursable obligations .....                             | 28          | 27      | 27        |
| 99.9 Total new obligations .....                                | 141         | 132     | 132       |

## Employment Summary

| Identification code 70-5687-0-2-806                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 297         | 292     | 292       |

## PAYMENTS TO WOOL MANUFACTURERS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 70-5533-0-2-376        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          |             |         |           |
| Receipts:                                  |             |         |           |
| 0200 Wool Manufacturers Trust Fund .....   | 17          | 20      | 20        |
| 0400 Total: Balances and collections ..... | 17          | 20      | 20        |
| Appropriations:                            |             |         |           |
| 0500 Payments to Wool Manufacturers .....  | -17         | -20     | -20       |
| 0799 Balance, end of year .....            |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 70-5533-0-2-376                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                               |             |         |           |
| 0001 Direct program activity .....                             | 12          | 15      | 15        |
| 0900 Total new obligations (object class 44.0) .....           | 12          | 15      | 15        |
| Budgetary Resources:   |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                     |             |         |           |
| 1201 Appropriation (special or trust fund) .....               | 17          | 20      | 20        |
| 1220 Appropriations transferred to other accts [13-5521] ..... | -5          | -5      | -5        |
| 1260 Appropriations, mandatory (total) .....                   | 12          | 15      | 15        |
| 1930 Total budgetary resources available .....                 | 12          | 15      | 15        |
| Change in obligated balance:                                   |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....            | 12          | 15      | 15        |
| 3020 Outlays (gross) .....                                     | -12         | -15     | -15       |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Mandatory:                                      |    |    |    |
| 4090 Budget authority, gross .....              | 12 | 15 | 15 |
| Outlays, gross:                                 |    |    |    |
| 4100 Outlays from new mandatory authority ..... | 12 | 15 | 15 |
| 4180 Budget authority, net (total) .....        | 12 | 15 | 15 |
| 4190 Outlays, net (total) .....                 | 12 | 15 | 15 |

This account makes refunds pursuant to Section 5101 of the Trade Act of 2002. This section entitles U.S. manufacturers of certain wool articles to a limited refund of duties paid on imports of select wool products.

## INTERNATIONAL REGISTERED TRAVELER

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 70-5543-0-2-751                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                         |             |         |           |
| Receipts:   |             |         |           |
| 0260 International Registered Traveler Program Fund ..... | 24          | 34      | 35        |
| 0400 Total: Balances and collections .....                | 24          | 34      | 35        |
| Appropriations:   |             |         |           |
| 0500 International Registered Traveler .....              | -24         | -34     | -35       |
| 0799 Balance, end of year .....                           |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 70-5543-0-2-751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Direct program activity .....                    | 12          | 34      | 35        |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 13          | 25      | 25        |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 24          | 34      | 35        |
| 1160 Appropriation, discretionary (total) .....       | 24          | 34      | 35        |
| 1900 Budget authority (total) .....                   | 24          | 34      | 35        |
| 1930 Total budgetary resources available .....        | 37          | 59      | 60        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 25          | 25      | 25        |

## Change in obligated balance:

|   |    |     |     |
|---|----|-----|-----|
| Unpaid obligations:                                   |    |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1  | 8   | 8   |
| 3010 Obligations incurred, unexpired accounts .....   | 12 | 34  | 35  |
| 3020 Outlays (gross) .....                            | -5 | -34 | -35 |
| 3050 Unpaid obligations, end of year .....            | 8  | 8   | 8   |
| Memorandum (non-add) entries:                         |    |     |     |
| 3100 Obligated balance, start of year .....           | 1  | 8   | 8   |
| 3200 Obligated balance, end of year .....             | 8  | 8   | 8   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 24 | 34 | 35 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... |    | 26 | 26 |
| 4011 Outlays from discretionary balances .....      | 5  | 8  | 9  |
| 4020 Outlays, gross (total) .....                   | 5  | 34 | 35 |
| 4180 Budget authority, net (total) .....            | 24 | 34 | 35 |
| 4190 Outlays, net (total) .....                     | 5  | 34 | 35 |

The Global Entry Program is authorized under the Consolidated Appropriations Act of 2008 (P.L. 110-161) Section 565(3)(A). The Global Entry program establishes an international registered traveler program that incorporates technologies, such as biometrics and e-passports, and security threat assessments to expedite screening and processing of international passengers. All applicants must be pre-approved, and they must undergo a rigorous background check and interview before enrollment. Global Entry

allows expedited clearance for pre-approved and low-risk travelers upon arrival in the United States. Though intended for frequent international travelers, there is no minimum number of trips necessary to qualify. Participants may enter the United States by using automated kiosks located at selected airports.

**Object Classification** (in millions of dollars)

| Identification code 70–5543–0–2–751                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 24.0 Printing and reproduction .....               | 3           | 10      | .....     |
| 25.2 Other services from non-Federal sources ..... | 9           | 24      | 35        |
| 99.9 Total new obligations .....                   | 12          | 34      | 35        |

**ELECTRONIC SYSTEM FOR TRAVEL AUTHORIZATION****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 70–5595–0–2–751                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                 |             |         |           |
| Receipts:   |             |         |           |
| 0200 Electronic System for Travel Authorization (ESTA) Fees ..... | 48          | 54      | 55        |
| 0400 Total: Balances and collections .....                        | 48          | 54      | 55        |
| Appropriations:   |             |         |           |
| 0500 Electronic System for Travel Authorization .....             | –48         | –54     | –55       |
| 0799 Balance, end of year .....                                   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 70–5595–0–2–751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 34          | 54      | 55        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 15          | 29      | 29        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 48          | 54      | 55        |
| 1260 Appropriations, mandatory (total) .....          | 48          | 54      | 55        |
| 1930 Total budgetary resources available .....        | 63          | 83      | 84        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 29          | 29      | 29        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 23          | 19      | 6         |
| 3010 Obligations incurred, unexpired accounts .....   | 34          | 54      | 55        |
| 3020 Outlays (gross) .....                            | –38         | –67     | –55       |
| 3050 Unpaid obligations, end of year .....            | 19          | 6       | 6         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 23          | 19      | 6         |
| 3200 Obligated balance, end of year .....             | 19          | 6       | 6         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 48          | 54      | 55        |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 11          | 49      | 50        |
| 4101 Outlays from mandatory balances .....            | 27          | 18      | 5         |
| 4110 Outlays, gross (total) .....                     | 38          | 67      | 55        |
| 4180 Budget authority, net (total) .....              | 48          | 54      | 55        |
| 4190 Outlays, net (total) .....                       | 38          | 67      | 55        |

P.L.110–53, or the Implementing Recommendations of the 9/11 Commission Act of 2007 established an electronic authorization system to pre-screen aliens prior to arrival in the United States. This mandate was made operational by the creation of the Electronic System for Travel Authorization (ESTA). ESTA operates under informed compliance, requiring all Visa Waiver Program

travelers to obtain authorization prior to travel. The Visa Waiver Program allows visitors to travel to the U.S. for business or pleasure for 90 days or less without obtaining a visa.

**Object Classification** (in millions of dollars)

| Identification code 70–5595–0–2–751                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                 | 1           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....     | 20          | 25      | 25        |
| 31.0 Equipment .....                                   | 11          | 17      | 18        |
| 99.9 Total new obligations .....                       | 34          | 54      | 55        |

**Employment Summary**

| Identification code 70–5595–0–2–751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 27          | 110     | 110       |

**Trust Funds****U.S. CUSTOMS REFUNDS, TRANSFERS AND EXPENSES, UNCLAIMED AND ABANDONED GOODS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 70–8789–0–7–751  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0200 Proceeds of the Sales of Unclaimed Abandoned, Seized Goods .....                | 3           | 4       | 4         |
| 0400 Total: Balances and collections .....   | 3           | 4       | 4         |
| Appropriations:  |             |         |           |
| 0500 US Customs Refunds, Transfers and Expenses, Unclaimed and Abandoned Goods ..... | –3          | –4      | –4        |
| 0799 Balance, end of year .....  |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 70–8789–0–7–751                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 3           | 4       | 4         |
| 0900 Total new obligations (object class 44.0) ..... | 3           | 4       | 4         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 3           | 4       | 4         |
| 1260 Appropriations, mandatory (total) .....         | 3           | 4       | 4         |
| 1930 Total budgetary resources available .....       | 3           | 4       | 4         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 3           | 4       | 4         |
| 3020 Outlays (gross) .....                           | –3          | –4      | –4        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 3           | 4       | 4         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 3           | 4       | 4         |
| 4180 Budget authority, net (total) .....             | 3           | 4       | 4         |
| 4190 Outlays, net (total) .....                      | 3           | 4       | 4         |

This account expends proceeds from the auction of unclaimed and abandoned goods.

## UNITED STATES COAST GUARD

## Federal Funds

## OPERATING EXPENSES

*For necessary expenses for the operation and maintenance of the Coast Guard, not otherwise provided for; purchase or lease of not to exceed 25 passenger motor vehicles, which shall be for replacement only; purchase or lease of small boats for contingent and emergent requirements (at a unit cost of no more than \$700,000) and repairs and service-life replacements, not to exceed a total of \$31,000,000; purchase or lease of boats necessary for overseas deployments and activities; minor shore construction projects not exceeding \$1,000,000 in total cost on any asset; payments pursuant to section 156 of Public Law 97-377 (42 U.S.C. 402 note; 96 Stat. 1920); and recreation and welfare; \$6,755,383,000, of which \$340,000,000 shall be for defense-related activities; of which \$24,500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)); and of which not to exceed \$17,000 shall be for official reception and representation expenses: Provided, That none of the funds made available by this Act shall be for expenses incurred for recreational vessels under section 12114 of title 46, United States Code, except to the extent fees are collected from owners of yachts and credited to this appropriation. Provided further, That, without regard to the limitation as to time and condition of section 503(d), after June 30, up to \$25,000,000 may be reprogrammed within this appropriation in accordance with subsections (a) and (b) of section 503.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70-0610-0-1-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Maritime .....   | 7,049       | 7,055   | 6,755     |
| 0600 Total direct program .....   | 7,049       | 7,055   | 6,755     |
| 0801 Reimbursable program .....   | 191         | 250     | 238       |
| 0900 Total new obligations .....  | 7,240       | 7,305   | 6,993     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 23          | 1       | 1         |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 38          |         |           |
| 1050 Unobligated balance (total) .....  | 61          | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 7,027       | 7,068   | 6,731     |
| 1120 Appropriations transferred to other accts [70-0613] .....                  | -1          |         |           |
| 1130 Appropriations permanently reduced .....                                   | -38         | -38     |           |
| 1160 Appropriation, discretionary (total) .....                                 | 6,988       | 7,030   | 6,731     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 148         | 275     | 262       |
| 1701 Change in uncollected payments, Federal sources .....                      | 68          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 216         | 275     | 262       |
| 1900 Budget authority (total) .....   | 7,204       | 7,305   | 6,993     |
| 1930 Total budgetary resources available .....                                  | 7,265       | 7,306   | 6,994     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | -24         |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 1,804       | 1,828   | 3,059     |
| 3010 Obligations incurred, unexpired accounts .....                             | 7,240       | 7,305   | 6,993     |
| 3011 Obligations incurred, expired accounts .....                               | 24          |         |           |
| 3020 Outlays (gross) .....  | -7,103      | -6,074  | -7,680    |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -137        |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 1,828       | 3,059   | 2,372     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -124        | -95     | -95       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | -68         |         |           |

|  |       |       |       |
|--|-------|-------|-------|
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 97    |       |       |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -95   | -95   | -95   |
| Memorandum (non-add) entries:  |       |       |       |
| 3100 Obligated balance, start of year .....                          | 1,680 | 1,733 | 2,964 |
| 3200 Obligated balance, end of year .....                            | 1,733 | 2,964 | 2,277 |
| <b>Budget authority and outlays, net:</b>                            |       |       |       |
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 7,204 | 7,305 | 6,993 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 5,702 | 5,733 | 5,598 |
| 4011 Outlays from discretionary balances .....                       | 1,401 | 341   | 2,082 |
| 4020 Outlays, gross (total) .....                                    | 7,103 | 6,074 | 7,680 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | -234  | -275  | -262  |
| 4033 Non-Federal sources .....                                       | -3    |       |       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -237  | -275  | -262  |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -68   |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 89    |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | 21    |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 6,988 | 7,030 | 6,731 |
| 4080 Outlays, net (discretionary) .....                              | 6,866 | 5,799 | 7,418 |
| 4180 Budget authority, net (total) .....                             | 6,988 | 7,030 | 6,731 |
| 4190 Outlays, net (total) .....                                      | 6,866 | 5,799 | 7,418 |

Funding requested in the Operating Expenses account supports the operations of the Coast Guard as it carries out its duties as a maritime, military, multi-mission operating agency and one of the five armed forces. To fulfill its mission, the Coast Guard employs multipurpose vessels, aircraft, and shore units, strategically located along the coasts and inland waterways of the United States. The account funds operations and maintenance of these assets and sustainment of new and existing Coast Guard Programs, Projects, and Activities. In 2014, Coast Guard will begin procurement of their financial management system solution .

## Object Classification (in millions of dollars)

| Identification code 70-0610-0-1-999                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 551         | 567     | 570       |
| 11.3 Other than full-time permanent .....                       | 9           | 9       | 9         |
| 11.5 Other personnel compensation .....                         | 17          | 18      | 18        |
| 11.6 Military personnel - basic allowance for housing .....     | 708         | 706     | 728       |
| 11.7 Military personnel .....                                   | 1,916       | 1,911   | 1,834     |
| 11.8 Special personal services payments .....                   |             | 7       | 7         |
| 11.9 Total personnel compensation .....                         | 3,201       | 3,218   | 3,166     |
| 12.1 Civilian personnel benefits .....                          | 177         | 182     | 183       |
| 12.2 Military personnel benefits .....                          | 243         | 242     | 233       |
| 13.0 Benefits for former personnel .....                        | 9           | 9       | 9         |
| 21.0 Travel and transportation of persons .....                 | 322         | 308     | 254       |
| 22.0 Transportation of things .....                             | 75          | 69      | 57        |
| 23.1 Rental payments to GSA .....                               | 96          | 93      | 106       |
| 23.2 Rental payments to others .....                            | 33          | 30      | 30        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 153         | 192     | 150       |
| 24.0 Printing and reproduction .....                            | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....                     | 127         | 126     | 68        |
| 25.2 Other services from non-Federal sources .....              | 874         | 843     | 825       |
| 25.3 Other goods and services from Federal sources .....        | 47          | 46      | 42        |
| 25.4 Operation and maintenance of facilities .....              | 111         | 111     | 104       |
| 25.6 Medical care .....   | 303         | 313     | 345       |
| 25.7 Operation and maintenance of equipment .....               | 372         | 378     | 358       |
| 25.8 Subsistence and support of persons .....                   | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 778         | 772     | 705       |
| 31.0 Equipment .....  | 111         | 105     | 105       |
| 32.0 Land and structures .....                                  | 10          | 11      | 8         |
| 42.0 Insurance claims and indemnities .....                     | 2           | 2       | 2         |
| 99.0 Direct obligations .....                                   | 7,049       | 7,055   | 6,755     |
| 99.0 Reimbursable obligations .....                             | 191         | 250     | 238       |
| 99.9 Total new obligations .....                                | 7,240       | 7,305   | 6,993     |

**Employment Summary**

| Identification code 70–0610–0–1–999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 7,336       | 7,526   | 7,582     |
| 1101 Direct military average strength employment .....           | 41,554      | 41,729  | 40,832    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 188         | 193     | 172       |
| 2101 Reimbursable military average strength employment .....     | 649         | 431     | 423       |

**ENVIRONMENTAL COMPLIANCE AND RESTORATION**

*For necessary expenses to carry out the environmental compliance and restoration functions of the Coast Guard under chapter 19 of title 14, United States Code, \$13,187,000, to remain available until September 30, 2018.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70–0611–0–1–304                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Environmental Compliance .....                                | 12          | 20      | 13        |
| 0822 Reimbursable program activity .....                           |             | 4       |           |
| 0900 Total new obligations .....                                   | 12          | 24      | 13        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 8       |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 14          | 14      | 13        |
| 1160 Appropriation, discretionary (total) .....                    | 14          | 14      | 13        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 2       |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 2       |           |
| 1900 Budget authority (total) .....                                | 15          | 16      | 13        |
| 1930 Total budgetary resources available .....                     | 20          | 24      | 13        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 8           |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 13          | 12      | 18        |
| 3010 Obligations incurred, unexpired accounts .....                | 12          | 24      | 13        |
| 3020 Outlays (gross) .....   | –13         | –18     | –15       |
| 3050 Unpaid obligations, end of year .....                         | 12          | 18      | 16        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –3          | –3      | –3        |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –3          | –3      | –3        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 10          | 9       | 15        |
| 3200 Obligated balance, end of year .....                          | 9           | 15      | 13        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 15          | 16      | 13        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 5           | 8       | 6         |
| 4011 Outlays from discretionary balances .....                     | 8           | 10      | 9         |
| 4020 Outlays, gross (total) .....                                  | 13          | 18      | 15        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –1          | –2      |           |
| 4180 Budget authority, net (total) .....                           | 14          | 14      | 13        |
| 4190 Outlays, net (total) .....                                    | 12          | 16      | 15        |

Funding requested in this account will be used by the Coast Guard to satisfy obligations arising under chapter 19 of title 14 of the United States Code related to Environmental Compliance and Restoration. This includes environmental cleanup, sustain-

ment, and restoration of current and former contaminated Coast Guard facilities, and engineering remedies for Coast Guard assets, to comply with environmental laws and prevent contamination and environmental damage.

**Object Classification** (in millions of dollars)

| Identification code 70–0611–0–1–304                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....            | 1           | 2       | 1         |
| 25.2 Other services from non-Federal sources .....     | 8           | 15      | 9         |
| 99.0 Direct obligations .....                          | 12          | 20      | 13        |
| 99.0 Reimbursable obligations .....                    |             | 4       |           |
| 99.9 Total new obligations .....                       | 12          | 24      | 13        |

**Employment Summary**

| Identification code 70–0611–0–1–304                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 22          | 23      | 23        |
| 1101 Direct military average strength employment .....     | 1           | 1       | 1         |

**RESERVE TRAINING**

*For necessary expenses of the Coast Guard Reserve, as authorized by law; operations and maintenance of the Coast Guard reserve program; personnel and training costs; and equipment and services; \$109,543,000, of which \$5,000,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70–0612–0–1–403                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Reserve Training .....                                     | 132         | 135     | 110       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 134         | 135     | 110       |
| 1160 Appropriation, discretionary (total) .....                 | 134         | 135     | 110       |
| 1930 Total budgetary resources available .....                  | 134         | 135     | 110       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | –2          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 6           | 13      | 6         |
| 3010 Obligations incurred, unexpired accounts .....             | 132         | 135     | 110       |
| 3011 Obligations incurred, expired accounts .....               | 1           |         |           |
| 3020 Outlays (gross) .....                                      | –124        | –142    | –110      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 13          | 6       | 6         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 6           | 13      | 6         |
| 3200 Obligated balance, end of year .....                       | 13          | 6       | 6         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 134         | 135     | 110       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 120         | 130     | 105       |
| 4011 Outlays from discretionary balances .....                  | 4           | 12      | 5         |
| 4020 Outlays, gross (total) .....                               | 124         | 142     | 110       |
| 4180 Budget authority, net (total) .....                        | 134         | 135     | 110       |
| 4190 Outlays, net (total) .....                                 | 124         | 142     | 110       |

## RESERVE TRAINING—Continued

Funding requested in this account will support the training of Coast Guard Reserve Forces so that they are prepared to provide qualified personnel to augment active duty forces in event of conflict, national emergency, or natural and manmade disasters. The Reservists maintain their readiness through both formal training and mobilization exercises and duty alongside regular Coast Guard members during routine and emergency operations. Reservists will continue to serve as a cost-effective surge force for response to man-made and natural disasters.

## Object Classification (in millions of dollars)

| Identification code 70-0612-0-1-403                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 5           | 5       | 5         |
| 11.6 Military personnel - basic allowance for housing .....     | 10          | 10      | 9         |
| 11.7 Military personnel .....                                   | 78          | 79      | 67        |
| 11.9 Total personnel compensation .....                         | 93          | 94      | 81        |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 1         |
| 12.2 Military personnel benefits .....                          | 10          | 10      | 7         |
| 21.0 Travel and transportation of persons .....                 | 10          | 11      | 9         |
| 22.0 Transportation of things .....                             | 1           | 2       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | .....     |
| 25.2 Other services from non-Federal sources .....              | 3           | 3       | 2         |
| 25.6 Medical care .....   | 3           | 3       | 2         |
| 25.8 Subsistence and support of persons .....                   | 2           | 2       | 1         |
| 26.0 Supplies and materials .....                               | 7           | 7       | 6         |
| 99.9 Total new obligations .....                                | 132         | 135     | 110       |

## Employment Summary

| Identification code 70-0612-0-1-403                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 91          | 98      | 78        |
| 1101 Direct military average strength employment .....     | 429         | 438     | 338       |

## ACQUISITION, CONSTRUCTION, AND IMPROVEMENTS

For necessary expenses of acquisition, construction, renovation, and improvement of aids to navigation, shore facilities, vessels, and aircraft, including equipment related thereto; and maintenance, rehabilitation, lease and operation of facilities and equipment; as authorized by law; \$951,116,000, of which \$20,000,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section 1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)); of which \$743,000,000 shall be available until September 30, 2018, to acquire, effect major repairs to, renovate, or improve vessels, small boats, and related equipment; of which \$28,000,000 shall be available until September 30, 2018, to acquire, effect major repairs to, renovate, or improve aircraft or increase aviation capability; of which \$59,930,000 shall be available until September 30, 2018, for other acquisition programs; of which \$5,000,000 shall be available until September 30, 2018, for shore facilities and aids to navigation, including waterfront facilities at Navy installations used by the Coast Guard; of which \$115,186,000 shall be available for personnel compensation and benefits and related costs.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 70-0613-0-1-403 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0001 Assets and Facilities .....    | 1,233       | 1,319   | 1,362     |
| 0600 Total Direct Program .....     | 1,233       | 1,319   | 1,362     |

|   |       |       |       |
|---|-------|-------|-------|
| 0801 Reimbursable program .....   | 32    | 39    | ..... |
| 0900 Total new obligations .....  | 1,265 | 1,358 | 1,362 |
| <b>Budgetary Resources:</b>   |       |       |       |
| Unobligated balance:  |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 1,148 | 1,360 | 1,788 |
| 1012 Unobligated balance transfers between expired and unexpired accounts .....                     | 1     | ..... | ..... |
| 1021 Recoveries of prior year unpaid obligations .....  | 50    | ..... | ..... |
| 1050 Unobligated balance (total) .....  | 1,199 | 1,360 | 1,788 |
| Budget authority:   |       |       |       |
| Appropriations, discretionary:  |       |       |       |
| 1100 Appropriation .....  | 1,370 | 1,456 | 931   |
| 1100 Appropriation .....  | ..... | 274   | ..... |
| 1121 Appropriations transferred from other accts [70-0610] .....                                    | 1     | ..... | ..... |
| 1121 Appropriations transferred from other accts [57-3010] .....                                    | 64    | ..... | ..... |
| 1121 Appropriations transferred from other accts [70-5710] .....                                    | 2     | ..... | ..... |
| 1130 Appropriations permanently reduced .....   | -1    | ..... | ..... |
| 1131 Unobligated balance of appropriations permanently reduced .....                                | -5    | -3    | -42   |
| 1160 Appropriation, discretionary (total) .....   | 1,431 | 1,727 | 889   |
| Spending authority from offsetting collections, discretionary:                                      |       |       |       |
| 1700 Collected .....  | 125   | 59    | 20    |
| 1701 Change in uncollected payments, Federal sources .....  | -136  | ..... | ..... |
| 1711 Spending authority from offsetting collections transferred from other accounts [70-0603] ..... | 12    | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....                                  | 1     | 59    | 20    |
| 1900 Budget authority (total) .....   | 1,432 | 1,786 | 909   |
| 1930 Total budgetary resources available .....  | 2,631 | 3,146 | 2,697 |
| Memorandum (non-add) entries:   |       |       |       |
| 1940 Unobligated balance expiring .....   | -6    | ..... | ..... |
| 1941 Unexpired unobligated balance, end of year .....   | 1,360 | 1,788 | 1,335 |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,591  | 2,423  | 2,085  |
| 3010 Obligations incurred, unexpired accounts .....               | 1,265  | 1,358  | 1,362  |
| 3011 Obligations incurred, expired accounts .....                 | 8      | .....  | .....  |
| 3020 Outlays (gross) .....  | -1,380 | -1,696 | -1,595 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -50    | .....  | .....  |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -11    | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                        | 2,423  | 2,085  | 1,852  |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -204   | -67    | -67    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 136    | .....  | .....  |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1      | .....  | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -67    | -67    | -67    |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 2,387  | 2,356  | 2,018  |
| 3200 Obligated balance, end of year .....                         | 2,356  | 2,018  | 1,785  |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:  |       |       |       |
| 4000 Budget authority, gross .....                                  | 1,432 | 1,786 | 909   |
| Outlays, gross:   |       |       |       |
| 4010 Outlays from new discretionary authority .....                 | 163   | 313   | 155   |
| 4011 Outlays from discretionary balances .....                      | 1,217 | 1,383 | 1,440 |
| 4020 Outlays, gross (total) .....                                   | 1,380 | 1,696 | 1,595 |
| Offsets against gross budget authority and outlays:                 |       |       |       |
| Offsetting collections (collected) from:                            |       |       |       |
| 4030 Federal sources .....  | -127  | -59   | -20   |
| Additional offsets against gross budget authority only:             |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | 136   | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts .....      | 2     | ..... | ..... |
| 4060 Additional offsets against budget authority only (total) ..... | 138   | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                    | 1,443 | 1,727 | 889   |
| 4080 Outlays, net (discretionary) .....                             | 1,253 | 1,637 | 1,575 |
| 4180 Budget authority, net (total) .....                            | 1,443 | 1,727 | 889   |
| 4190 Outlays, net (total) .....                                     | 1,253 | 1,637 | 1,575 |

Funding requested in this account provides for the acquisition, construction, and improvement of vessels, aircraft, information management resources, shore facilities, and aids to navigation required to execute the Coast Guard's missions and achieve its performance goals. In 2014, the Coast Guard will sustain or acquire assets and systems to continue the recapitalization of boats, major cutters and patrol boats, aircraft, and Command, Control,

Communications, Computers, Intelligence, Surveillance and Reconnaissance systems. Furthermore, the Coast Guard will continue fleet sustainment projects to enhance and extend the service life of selected existing aircraft and cutters. The Coast Guard will also invest in shore infrastructure as well as repair aging buildings, and other facilities. These vital recapitalization projects, along with the corresponding development of acquisition personnel and management systems, will provide the Coast Guard with additional capability to perform its missions.

**Object Classification** (in millions of dollars)

| Identification code 70-0613-0-1-403                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 42          | 42      | 42        |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.6 Military personnel - basic allowance for housing .....     | 10          | 10      | 10        |
| 11.7 Military personnel .....                                   | 27          | 27      | 27        |
| 11.9 Total personnel compensation .....                         | 80          | 80      | 80        |
| 12.1 Civilian personnel benefits .....                          | 11          | 11      | 12        |
| 12.2 Military personnel benefits .....                          | 3           | 3       | 3         |
| 21.0 Travel and transportation of persons .....                 | 10          | 9       | 8         |
| 22.0 Transportation of things .....                             | 2           | 2       | 2         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 107         | 100     | 99        |
| 25.2 Other services from non-Federal sources .....              | 220         | 207     | 202       |
| 25.8 Subsistence and support of persons .....                   | 3           | 3       | 3         |
| 26.0 Supplies and materials .....                               | 55          | 52      | 50        |
| 31.0 Equipment .....  | 610         | 700     | 651       |
| 32.0 Land and structures .....                                  | 130         | 150     | 250       |
| 99.0 Direct obligations .....                                   | 1,233       | 1,319   | 1,362     |
| 99.0 Reimbursable obligations .....                             | 32          | 39      | .....     |
| 99.9 Total new obligations .....                                | 1,265       | 1,358   | 1,362     |

**Employment Summary**

| Identification code 70-0613-0-1-403                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 399         | 400     | 416       |
| 1101 Direct military average strength employment .....     | 363         | 385     | 402       |

**ALTERATION OF BRIDGES****Program and Financing** (in millions of dollars)

| Identification code 70-0614-0-1-403                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 96          | 43      | 2         |
| 3020 Outlays (gross) .....                            | -53         | -41     | .....     |
| 3050 Unpaid obligations, end of year .....            | 43          | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 96          | 43      | 2         |
| 3200 Obligated balance, end of year .....             | 43          | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 53          | 41      | .....     |
| 4190 Outlays, net (total) .....                       | 53          | 41      | .....     |

No new funding is requested for alteration of bridges in 2014.

**RESEARCH, DEVELOPMENT, TEST, AND EVALUATION**

*For necessary expenses for applied scientific research, development, test, and evaluation; and for maintenance, rehabilitation, lease, and operation of facilities and equipment; as authorized by law; \$19,856,000, to remain available until September 30, 2018, of which \$500,000 shall be derived from the Oil Spill Liability Trust Fund to carry out the purposes of section*

*1012(a)(5) of the Oil Pollution Act of 1990 (33 U.S.C. 2712(a)(5)): Provided, That there may be credited to and used for the purposes of this appropriation funds received from State and local governments, other public authorities, private sources, and foreign countries for expenses incurred for research, development, testing, and evaluation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70-0615-0-1-403                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Applied R&D .....   | 39          | 37      | 20        |
| 0801 Reimbursable program .....                                    | 1           | 4       | 4         |
| 0900 Total new obligations .....                                   | 40          | 41      | 24        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 23          | 9       | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | -7          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 17          | 9       | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 27          | 28      | 20        |
| 1160 Appropriation, discretionary (total) .....                    | 27          | 28      | 20        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 4           | 4       | 5         |
| 1701 Change in uncollected payments, Federal sources .....         | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 5           | 4       | 5         |
| 1900 Budget authority (total) .....                                | 32          | 32      | 25        |
| 1930 Total budgetary resources available .....                     | 49          | 41      | 25        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 9           | .....   | 1         |

**Change in obligated balance:**

|  |     |       |       |
|--|-----|-------|-------|
| Unpaid obligations:  |     |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                            | 12  | 23    | 21    |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....             | -3  | ..... | ..... |
| 3010 Obligations incurred, unexpired accounts .....                              | 40  | 41    | 24    |
| 3020 Outlays (gross) .....   | -25 | -43   | -28   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                | -1  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                                       | 23  | 21    | 17    |
| Uncollected payments:  |     |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                | -14 | -6    | -6    |
| 3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 9   | ..... | ..... |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                   | -1  | ..... | ..... |
| 3090 Uncollected pymts, Fed sources, end of year .....                           | -6  | -6    | -6    |
| Memorandum (non-add) entries:  |     |       |       |
| 3100 Obligated balance, start of year .....                                      | 4   | 17    | 15    |
| 3200 Obligated balance, end of year .....  | 17  | 15    | 11    |

**Budget authority and outlays, net:**

|  |    |       |       |
|--|----|-------|-------|
| Discretionary:   |    |       |       |
| 4000 Budget authority, gross .....                             | 32 | 32    | 25    |
| Outlays, gross:  |    |       |       |
| 4010 Outlays from new discretionary authority .....            | 13 | 22    | 19    |
| 4011 Outlays from discretionary balances .....                 | 12 | 21    | 9     |
| 4020 Outlays, gross (total) .....                              | 25 | 43    | 28    |
| Offsets against gross budget authority and outlays:            |    |       |       |
| Offsetting collections (collected) from:                       |    |       |       |
| 4030 Federal sources .....                                     | -4 | -4    | -5    |
| Additional offsets against gross budget authority only:        |    |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -1 | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....               | 27 | 28    | 20    |
| 4080 Outlays, net (discretionary) .....                        | 21 | 39    | 23    |
| 4180 Budget authority, net (total) .....                       | 27 | 28    | 20    |
| 4190 Outlays, net (total) .....                                | 21 | 39    | 23    |

The Coast Guard's Research, Development, Test and Evaluation program develops techniques, methods, hardware, and systems that directly contribute to increasing the productivity and effect-

RESEARCH, DEVELOPMENT, TEST, AND EVALUATION—Continued  
iveness of the Coast Guard's operating missions, as well as expertise and services that enhance pre-acquisition planning and analysis to reduce cost, schedule, and performance risks across all acquisition projects.

**Object Classification** (in millions of dollars)

| Identification code 70-0615-0-1-403                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:                                     |             |         |           |
| 11.1 Full-time permanent .....                              | 7           | 8       | 8         |
| 11.6 Military personnel - basic allowance for housing ..... | 1           | 1       | 1         |
| 11.7 Military personnel .....                               | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                     | 9           | 10      | 10        |
| 12.1 Civilian personnel benefits .....                      | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....             | 2           | 1       | .....     |
| 25.1 Advisory and assistance services .....                 | 19          | 17      | 5         |
| 25.2 Other services from non-Federal sources .....          | 2           | 2       | 1         |
| 25.5 Research and development contracts .....               | 5           | 5       | 2         |
| 99.0 Direct obligations .....                               | 39          | 37      | 20        |
| 99.0 Reimbursable obligations .....                         | 1           | 4       | 4         |
| 99.9 Total new obligations .....                            | 40          | 41      | 24        |

**Employment Summary**

| Identification code 70-0615-0-1-403                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 74          | 80      | 80        |
| 1101 Direct military average strength employment .....     | 20          | 21      | 21        |

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY**

**Program and Financing** (in millions of dollars)

| Identification code 70-0616-0-1-403                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 MERHCF .....                                    | 262         | 202     | 201       |
| 0100 Direct program activities, subtotal .....       | 262         | 202     | 201       |
| 0900 Total new obligations (object class 12.2) ..... | 262         | 202     | 201       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 262         | 202     | 201       |
| 1160 Appropriation, discretionary (total) .....      | 262         | 202     | 201       |
| 1930 Total budgetary resources available .....       | 262         | 202     | 201       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 262         | 202     | 201       |
| 3020 Outlays (gross) .....                           | -262        | -202    | -201      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 262         | 202     | 201       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 262         | 202     | 201       |
| 4180 Budget authority, net (total) .....             | 262         | 202     | 201       |
| 4190 Outlays, net (total) .....                      | 262         | 202     | 201       |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 262         | 202     | 201       |
| Outlays .....                               | 262         | 202     | 201       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      | .....       | .....   | -14       |
| Outlays .....                               | .....       | .....   | -14       |

Total:

|                        |     |     |     |
|------------------------|-----|-----|-----|
| Budget Authority ..... | 262 | 202 | 187 |
| Outlays .....          | 262 | 202 | 187 |

This account reflects funding associated with the Coast Guard's permanent indefinite discretionary authority to maintain the cost of accruing the military Medicare-eligible health benefit contributions to the Department of Defense Medicare-Eligible Retiree Health Care Fund. Contributions are for Coast Guard military personnel who will become future Medicare-eligible retirees, their dependents, or their survivors. In 2014, the Coast Guard estimates it will pay \$186,602,000 to the fund.

**MEDICARE-ELIGIBLE RETIREE HEALTH FUND CONTRIBUTION, HOMELAND SECURITY**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 70-0616-2-1-403                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 MERHCF .....                                    | .....       | .....   | -14       |
| 0100 Direct program activities, subtotal .....       | .....       | .....   | -14       |
| 0900 Total new obligations (object class 12.2) ..... | .....       | .....   | -14       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | .....       | .....   | -14       |
| 1160 Appropriation, discretionary (total) .....      | .....       | .....   | -14       |
| 1930 Total budgetary resources available .....       | .....       | .....   | -14       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | .....       | .....   | -14       |
| 3020 Outlays (gross) .....                           | .....       | .....   | 14        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | .....       | .....   | -14       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | .....       | .....   | -14       |
| 4180 Budget authority, net (total) .....             | .....       | .....   | -14       |
| 4190 Outlays, net (total) .....                      | .....       | .....   | -14       |

**RETIRED PAY**

*For retired pay, including the payment of obligations otherwise chargeable to lapsed appropriations for this purpose, payments under the Retired Serviceman's Family Protection and Survivor Benefits Plans, payment for career status bonuses, concurrent receipts and combat-related special compensation under the National Defense Authorization Act, and payments for medical care of retired personnel and their dependents under chapter 55 of title 10, United States Code, \$1,452,150,000 to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70-0602-0-1-403                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Retired Pay .....                                | 1,382       | 1,440   | 1,460     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 156         | 214     | 214       |



|                               |  |       |       |       |
|-------------------------------|--|-------|-------|-------|
| Budget authority:             |  |       |       |       |
| Appropriations, mandatory:    |  |       |       |       |
| 1200                          | Appropriation .....                              | 1,440 | 1,440 | 1,460 |
| 1260                          | Appropriations, mandatory (total) .....          | 1,440 | 1,440 | 1,460 |
| 1930                          | Total budgetary resources available .....        | 1,596 | 1,654 | 1,674 |
| Memorandum (non-add) entries: |  |       |       |       |
| 1941                          | Unexpired unobligated balance, end of year ..... | 214   | 214   | 214   |

|                                     |  |        |        |        |
|-------------------------------------|--|--------|--------|--------|
| <b>Change in obligated balance:</b> |  |        |        |        |
| Unpaid obligations:                 |  |        |        |        |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 42     | 123    | 2      |
| 3010                                | Obligations incurred, unexpired accounts .....   | 1,382  | 1,440  | 1,460  |
| 3020                                | Outlays (gross) .....                            | -1,301 | -1,561 | -1,457 |
| 3050                                | Unpaid obligations, end of year .....            | 123    | 2      | 5      |
| Memorandum (non-add) entries:       |  |        |        |        |
| 3100                                | Obligated balance, start of year .....           | 42     | 123    | 2      |
| 3200                                | Obligated balance, end of year .....             | 123    | 2      | 5      |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| <b>Budget authority and outlays, net:</b> |  |       |       |       |
| Mandatory:                                |  |       |       |       |
| 4090                                      | Budget authority, gross .....              | 1,440 | 1,440 | 1,460 |
| Outlays, gross:                           |  |       |       |       |
| 4100                                      | Outlays from new mandatory authority ..... | 1,190 | 1,224 | 1,241 |
| 4101                                      | Outlays from mandatory balances .....      | 111   | 337   | 216   |
| 4110                                      | Outlays, gross (total) .....               | 1,301 | 1,561 | 1,457 |
| 4180                                      | Budget authority, net (total) .....        | 1,440 | 1,440 | 1,460 |
| 4190                                      | Outlays, net (total) .....                 | 1,301 | 1,561 | 1,457 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 1,440       | 1,440   | 1,460     |
| Outlays .....                           | 1,301       | 1,561   | 1,457     |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | -8        |
| Outlays .....                           |             |         | -8        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 1,440       | 1,440   | 1,452     |
| Outlays .....                           | 1,301       | 1,561   | 1,449     |

Funding requested in this account provides for retired pay of military personnel of the Coast Guard and Coast Guard Reserve, members of the former Lighthouse Service, and for annuities payable to beneficiaries of retired military personnel under the Retired Serviceman's Family Protection Plan (10 U.S.C. 1431-46) and Survivor Benefits Plans (10 U.S.C. 1447-55); payments for career status bonuses, concurrent receipts, and combat-related special compensation under the National Defense Authorization Act; and for payments for medical care of retired personnel and their dependents under the Dependents Medical Care Act (10 U.S.C., ch. 55).

**Object Classification** (in millions of dollars)

| Identification code 70-0602-0-1-403      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                      |             |         |           |
| 13.0 Benefits for former personnel ..... | 1,209       | 1,267   | 1,293     |
| 25.6 Medical care .....                  | 173         | 173     | 167       |
| 99.9 Total new obligations .....         | 1,382       | 1,440   | 1,460     |

**RETIRED PAY**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 70-0602-4-1-403     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Retired Pay .....                  |             |         | -8        |

**Budgetary Resources:**

|                            |   |  |  |    |
|----------------------------|---|--|--|----|
| Budget authority:          |   |  |  |    |
| Appropriations, mandatory: |   |  |  |    |
| 1200                       | Appropriation .....                       |  |  | -8 |
| 1260                       | Appropriations, mandatory (total) .....   |  |  | -8 |
| 1930                       | Total budgetary resources available ..... |  |  | -8 |

**Change in obligated balance:**

|                     |  |  |  |    |
|---------------------|--|--|--|----|
| Unpaid obligations: |  |  |  |    |
| 3010                | Obligations incurred, unexpired accounts ..... |  |  | -8 |
| 3020                | Outlays (gross) .....                          |  |  | 8  |

**Budget authority and outlays, net:**

|                 |  |  |  |    |
|-----------------|--|--|--|----|
| Mandatory:      |  |  |  |    |
| 4090            | Budget authority, gross .....              |  |  | -8 |
| Outlays, gross: |  |  |  |    |
| 4100            | Outlays from new mandatory authority ..... |  |  | -8 |
| 4180            | Budget authority, net (total) .....        |  |  | -8 |
| 4190            | Outlays, net (total) .....                 |  |  | -8 |

**Object Classification** (in millions of dollars)

| Identification code 70-0602-4-1-403      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                      |             |         |           |
| 13.0 Benefits for former personnel ..... |             |         | -6        |
| 25.6 Medical care .....                  |             |         | -2        |
| 99.9 Total new obligations .....         |             |         | -8        |

**COAST GUARD HOUSING FUND****Program and Financing** (in millions of dollars)

| Identification code 70-0603-0-1-403   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1702 Offsetting collections (previously unavailable) .....  | 12          |         |           |
| 1710 Spending authority from offsetting collections transferred to other accounts [70-0613] ..... | -12         |         |           |
| Memorandum (non-add) entries:   |             |         |           |
| 5090 Unavailable balance, SOY: Offsetting collections .....                                       | 12          |         |           |

This fund, established in 1996, received deposits of proceeds from the conveyance or lease of property or facilities. The proceeds deposited in this account had been available for the purposes of chapter 18 of title 14, United States Code, with regard to the Acquisition, Construction, and Improvements of military family housing and military unaccompanied housing. The entire fund balance in this account has been transferred to the Acquisition, Construction and Improvements account pursuant to P.L. 112-74. Proceeds are now deposited in a special fund account established in 2011.

**U.S. COAST GUARD HOUSING SPECIAL FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 70-5710-0-2-403                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                       |             |         | 27        |
| Adjustments:  |             |         |           |
| 0190 Adjustment - reconcile to actual unappropriated balances .....     |             | 5       |           |
| 0199 Balance, start of year .....                                       |             | 5       | 27        |
| Receipts:   |             |         |           |
| 0220 Sale of Real Property, U.S. Coast Guard Housing Special Fund ..... | 2           | 11      | 5         |
| 0221 Sale of Real Property, U.S. Coast Guard Housing Special Fund ..... | 5           | 11      | 5         |
| 0299 Total receipts and collections .....                               | 7           | 22      | 10        |

U.S. COAST GUARD HOUSING SPECIAL FUND—Continued  
Special and Trust Fund Receipts—Continued

| Identification code 70–5710–0–2–403              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0400 Total: Balances and collections .....       | 7           | 27      | 37        |
| Appropriations:                                  |             |         |           |
| 0500 U.S. Coast Guard Housing Special Fund ..... | –7          |         |           |
| 0799 Balance, end of year .....                  |             | 27      | 37        |

## Program and Financing (in millions of dollars)

| Identification code 70–5710–0–2–403                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 5       |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... |             | –5      |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1101 Appropriation (special or trust fund) .....                | 7           |         |           |
| 1120 Appropriations transferred to other accts [70–0613] .....  | –2          |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 5           |         |           |
| 1930 Total budgetary resources available .....                  | 5           |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 5           |         |           |

## Budget authority and outlays, net:

|  |   |  |  |
|--|---|--|--|
| Discretionary:                           |   |  |  |
| 4000 Budget authority, gross .....       | 5 |  |  |
| 4180 Budget authority, net (total) ..... | 5 |  |  |

This account, established in 2011, receives deposits of proceeds from the conveyance of property under the administrative control of the Service. The funds are available for the purposes of chapter 18 of title 14, United States Code, with regard to the Acquisition, Construction, and Improvements of military family housing and military unaccompanied housing.

## SUPPLY FUND

## Program and Financing (in millions of dollars)

| Identification code 70–4535–0–4–403                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 153         | 158     | 149       |
| 0900 Total new obligations (object class 26.0) .....               | 153         | 158     | 149       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | 9       |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           |         |           |
| 1050 Unobligated balance (total) .....                             | 3           | 9       |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 159         | 149     | 149       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 159         | 149     | 149       |
| 1930 Total budgetary resources available .....                     | 162         | 158     | 149       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 9           |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 12          | 12      |           |
| 3010 Obligations incurred, unexpired accounts .....                | 153         | 158     | 149       |
| 3020 Outlays (gross) .....   | –152        | –170    | –149      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 12          |         |           |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 12          | 12      |           |
| 3200 Obligated balance, end of year .....                          | 12          |         |           |

## Budget authority and outlays, net:

|   |      |      |      |
|---|------|------|------|
| Discretionary:                                      |      |      |      |
| 4000 Budget authority, gross .....                  | 159  | 149  | 149  |
| Outlays, gross:                                     |      |      |      |
| 4010 Outlays from new discretionary authority ..... | 143  | 149  | 149  |
| 4011 Outlays from discretionary balances .....      | 9    | 21   |      |
| 4020 Outlays, gross (total) .....                   | 152  | 170  | 149  |
| Offsets against gross budget authority and outlays: |      |      |      |
| Offsetting collections (collected) from:            |      |      |      |
| 4030 Federal sources .....                          | –159 | –149 | –149 |
| 4190 Outlays, net (total) .....                     | –7   | 21   |      |

The Coast Guard supply fund, in accordance with 14 U.S.C. 650, finances the procurement of uniform clothing, commissary provisions, general stores, technical material, and fuel for vessels over 180 feet in length. The fund is normally financed by reimbursements from sale of goods.

## Object Classification (in millions of dollars)

| Identification code 70–4535–0–4–403 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 26.0 Supplies and materials .....   | 153         | 158     | 149       |
| 99.0 Reimbursable obligations ..... | 153         | 158     | 149       |

## YARD FUND

## Program and Financing (in millions of dollars)

| Identification code 70–4743–0–4–403                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Shipyard activities .....                                     | 93          | 189     | 150       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 54          | 39      |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 83          | 150     | 150       |
| 1701 Change in uncollected payments, Federal sources .....         | –5          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 78          | 150     | 150       |
| 1930 Total budgetary resources available .....                     | 132         | 189     | 150       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 39          |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 31          | 34      | 34        |
| 3010 Obligations incurred, unexpired accounts .....                | 93          | 189     | 150       |
| 3020 Outlays (gross) .....   | –90         | –189    | –150      |
| 3050 Unpaid obligations, end of year .....                         | 34          | 34      | 34        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –20         | –15     | –15       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 5           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –15         | –15     | –15       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 11          | 19      | 19        |
| 3200 Obligated balance, end of year .....                          | 19          | 19      | 19        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 78          | 150     | 150       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 57          | 150     | 150       |
| 4011 Outlays from discretionary balances .....                     | 33          | 39      |           |
| 4020 Outlays, gross (total) .....                                  | 90          | 189     | 150       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –83         | –150    | –150      |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | 5           |         |           |
| 4080 Outlays, net (discretionary) .....                            | 7           | 39      |           |
| 4190 Outlays, net (total) .....                                    | 7           | 39      |           |

This fund finances the industrial operation of the Coast Guard YARD, Curtis Bay, MD (14 U.S.C. 648). The YARD finances all direct and indirect costs for its operations out of advances from Coast Guard and other agency appropriations that are placed in the fund.

**Object Classification** (in millions of dollars)

| Identification code 70–4743–0–4–403                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 31          | 31      | 31        |
| 11.5 Other personnel compensation .....                         | 7           | 7       | 7         |
| 11.7 Military personnel .....                                   | 1           | 2       | 1         |
| 11.9 Total personnel compensation .....                         | 39          | 40      | 39        |
| 12.1 Civilian personnel benefits .....                          | 10          | 10      | 10        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 9       | 6         |
| 25.2 Other services from non-Federal sources .....              | 16          | 49      | 37        |
| 26.0 Supplies and materials .....                               | 25          | 81      | 58        |
| 99.9 Total new obligations .....                                | 93          | 189     | 150       |

**Employment Summary**

| Identification code 70–4743–0–4–403                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 519         | 505     | 505       |
| 2101 Reimbursable military average strength employment .....     | 11          | 12      | 12        |

**Trust Funds****AQUATIC RESOURCES TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 70–8147–0–7–403   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 627         | 669     | 608       |
| Receipts:   |             |         |           |
| 0200 Excise Taxes, Sport Fish Restoration, Aquatic Resources Trust Fund ..... | 614         | 545     | 561       |
| 0201 Customs Duties, Aquatic Resources Trust Fund .....                       | 41          | 49      | 53        |
| 0240 Earnings on Investments, Aquatic Resources Trust Fund .....              | 13          | 13      | 15        |
| 0299 Total receipts and collections .....                                     | 668         | 607     | 629       |
| 0400 Total: Balances and collections .....                                    | 1,295       | 1,276   | 1,237     |
| Appropriations:   |             |         |           |
| 0500 Sport Fish Restoration .....   | –626        | –668    | –607      |
| 0799 Balance, end of year .....   | 669         | 608     | 630       |

**Program and Financing** (in millions of dollars)

| Identification code 70–8147–0–7–403                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Memorandum (non-add) entries:                                    |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1,882       | 1,942   | 1,745     |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 1,942       | 1,745   | 1,650     |

The Internal Revenue Code of 1986, as amended by TEA–21 and SAFETEA–LU, provides for the transfer of Highway Trust Fund revenue derived from the motor boat fuel tax and certain other taxes to the Aquatic Resources Trust Fund. Appropriations are authorized from this fund to meet expenditures for programs specified by law, including sport fish restoration and boating safety. Excise tax receipts for the trust fund include motorboat fuel tax receipts, plus receipts from excise taxes on sport fishing equipment, sonar and fish finders, small engine fuels, and import duties on fishing equipment and recreational vessels.

**BOAT SAFETY****Program and Financing** (in millions of dollars)

| Identification code 70–8149–0–7–403                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                                  |             |         |           |
| 0001 State recreational boating safety programs .....             | 120         | 126     | 104       |
| 0002 Compliance and boating programs .....                        | 5           | 5       | 5         |
| 0900 Total new obligations .....                                  | 125         | 131     | 109       |
| Budgetary Resources:  |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 19          | 10      |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 3           |         |           |
| 1050 Unobligated balance (total) .....                            | 22          | 10      |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1221 Appropriations transferred from other accts [14–8151] ....   | 113         | 121     | 109       |
| 1260 Appropriations, mandatory (total) .....                      | 113         | 121     | 109       |
| 1930 Total budgetary resources available .....                    | 135         | 131     | 109       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 10          |         |           |
| Change in obligated balance:                                      |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Change in obligated balances .....                           | 114         | 118     | 103       |
| 3010 Obligations incurred, unexpired accounts .....               | 125         | 131     | 109       |
| 3020 Outlays (gross) .....  | –118        | –146    | –123      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 118         | 103     | 89        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 114         | 118     | 103       |
| 3200 Obligated balance, end of year .....                         | 118         | 103     | 89        |
| Budget authority and outlays, net:                                |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 113         | 121     | 109       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 31          | 53      | 47        |
| 4101 Outlays from mandatory balances .....                        | 87          | 93      | 76        |
| 4110 Outlays, gross (total) .....                                 | 118         | 146     | 123       |
| 4180 Budget authority, net (total) .....                          | 113         | 121     | 109       |
| 4190 Outlays, net (total) .....                                   | 118         | 146     | 123       |

This account provides grants for the development and implementation of a coordinated national recreational boating safety program. Boating safety statistics reflect the success in meeting the program's objectives. Pursuant to 16 U.S.C. 777c, as amended by the Safe, Accountable, Flexible, Efficient Transportation Equity Act—A Legacy for Users (SAFETEA–LU, P.L. 109–59), the Boat Safety program receives 18.5 percent of the funds collected in the Sport Fish Restoration and Boating Safety Trust Fund.

**Object Classification** (in millions of dollars)

| Identification code 70–8149–0–7–403                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 7           | 6       | 6         |
| 41.0 Grants, subsidies, and contributions .....        | 109         | 124     | 102       |
| 42.0 Insurance claims and indemnities .....            | 8           |         |           |
| 99.9 Total new obligations .....                       | 125         | 131     | 109       |

**Employment Summary**

| Identification code 70–8149–0–7–403                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 10          | 10      | 10        |

## TRUST FUND SHARE OF EXPENSES

## Program and Financing (in millions of dollars)

| Identification code 70–8314–0–7–304                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operating expenses .....                         | 24          | 24      | 24        |
| 0002 Acquisition, construction and improvements ..... | 20          | 20      | 20        |
| 0003 Research, development, test and evaluation ..... | 1           | 1       | 1         |
| 0900 Total new obligations (object class 94.0) .....  | 45          | 45      | 45        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 45          | 45      | 45        |
| 1160 Appropriation, discretionary (total) .....       | 45          | 45      | 45        |
| 1930 Total budgetary resources available .....        | 45          | 45      | 45        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 45          | 45      | 45        |
| 3020 Outlays (gross) .....                            | –45         | –45     | –45       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 45          | 45      | 45        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 45          | 45      | 45        |
| 4180 Budget authority, net (total) .....              | 45          | 45      | 45        |
| 4190 Outlays, net (total) .....                       | 45          | 45      | 45        |

This account provides resources from the Oil Spill Liability Trust Fund for activities authorized in other accounts including Operating Expenses; Acquisition, Construction, and Improvements; and Research, Development, Test, and Evaluation.

## GENERAL GIFT FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 70–8533–0–7–403        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          |             |         |           |
| Receipts:                                  |             |         |           |
| 0220 General Gift Fund .....               | 2           |         |           |
| 0400 Total: Balances and collections ..... | 2           |         |           |
| Appropriations:                            |             |         |           |
| 0500 General Gift Fund .....               | –2          |         |           |
| 0799 Balance, end of year .....            |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 70–8533–0–7–403                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Obligations by program activity .....            | 2           | 2       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           | 2       |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 2           |         |           |
| 1260 Appropriations, mandatory (total) .....          | 2           |         |           |
| 1930 Total budgetary resources available .....        | 4           | 2       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 2           | 2       |           |
| 3020 Outlays (gross) .....                            | –2          |         |           |
| 3050 Unpaid obligations, end of year .....            |             | 2       | 2         |

## Memorandum (non-add) entries:

|   |   |  |   |
|---|---|--|---|
| 3100 Obligated balance, start of year ..... |   |  | 2 |
| 3200 Obligated balance, end of year .....   | 2 |  | 2 |

## Budget authority and outlays, net:

|   |   |  |  |
|---|---|--|--|
| Mandatory:                                      |   |  |  |
| 4090 Budget authority, gross .....              | 2 |  |  |
| Outlays, gross:                                 |   |  |  |
| 4100 Outlays from new mandatory authority ..... | 2 |  |  |
| 4180 Budget authority, net (total) .....        | 2 |  |  |
| 4190 Outlays, net (total) .....                 | 2 |  |  |

## Memorandum (non-add) entries:

|  |   |   |   |
|--|---|---|---|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1 | 1 | 1 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 1 | 1 | 1 |

This fund, maintained from gifts, devises or bequests, is used for purposes as specified by the donor in connection with or benefit to the Coast Guard training program, as well as all other programs and activities permitted by law (10 U.S.C. 2601).

## Object Classification (in millions of dollars)

| Identification code 70–8533–0–7–403 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 2           | 2       |           |

## OIL SPILL LIABILITY TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 70–8185–0–7–304                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                        | 2,020       | 2,305   | 3,350     |
| Adjustments:   |             |         |           |
| 0190 Adjustment - prior year accounting adjustment ..... | 5           |         |           |
| 0199 Balance, start of year .....                        | 2,025       | 2,305   | 3,350     |
| Receipts:  |             |         |           |
| 0200 Excise Taxes, Oil Spill Liability Trust Fund .....  | 497         | 504     | 506       |
| 0201 Excise Taxes, Oil Spill Liability Trust Fund .....  |             |         | 85        |
| 0202 Fines and Penalties, OSLTF .....                    | 53          | 659     | 329       |
| 0220 Recoveries, Oil Spill Liability Trust Fund .....    | 35          | 245     | 68        |
| 0240 Earnings on Investments .....                       | 12          | 30      | 50        |
| 0299 Total receipts and collections .....                | 597         | 1,438   | 1,038     |
| 0400 Total: Balances and collections .....               | 2,622       | 3,743   | 4,388     |
| Appropriations:  |             |         |           |
| 0500 Trust Fund Share of Expenses .....                  | –45         | –45     | –45       |
| 0501 Maritime Oil Spill Programs .....                   | –213        | –289    | –239      |
| 0502 Oil Spill Research .....                            | –15         | –15     | –15       |
| 0503 Trust Fund Share of Pipeline Safety .....           | –19         | –19     | –19       |
| 0504 Inland Oil Spill Programs .....                     | –18         | –18     | –21       |
| 0505 Denali Commission Trust Fund .....                  | –7          | –7      | –7        |
| 0599 Total appropriations .....                          | –317        | –393    | –346      |
| 0799 Balance, end of year .....                          | 2,305       | 3,350   | 4,042     |

## Program and Financing (in millions of dollars)

| Identification code 70–8185–0–7–304                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 2,225       | 2,553   | 3,420     |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 2,553       | 3,420   | 3,960     |

The Oil Spill Liability Trust Fund (OSLTF) is used to finance oil pollution prevention and cleanup activities by various Federal agencies. In accordance with the provisions of the Oil Pollution Act of 1990, the Fund may finance annually up to \$50 million of emergency resources and all valid claims from injured parties resulting from oil spills. For Coast Guard, this funds the Trust Fund Share of Expenses and Maritime Oil Spill Programs accounts. The OSLTF is funded by an excise tax on each barrel of oil produced domestically or imported. The Energy Improvement and Extension Act of 2008 (P.L. 110–343) increased the tax rate

to eight cents on each barrel of oil through December 31, 2016, and nine cents on each barrel of oil for the period January 1, 2017 through December 31, 2017. The tax currently will sunset December 31, 2017. In 2014, the Administration proposes to increase these taxes by one cent per barrel, to nine cents per barrel for periods after December 31, 2013, and to 10 cents per barrel for periods after December 31, 2016.

**Status of Funds** (in millions of dollars)

| Identification code 70–8185–0–7–304                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Unexpended balance, start of year:                      |             |         |           |
| 0100 Balance, start of year .....                       | 2,453       | 2,586   | 3,545     |
| 0199 Total balance, start of year .....                 | 2,453       | 2,586   | 3,545     |
| Cash income during the year:                            |             |         |           |
| Current law:  |             |         |           |
| Receipts:   |             |         |           |
| 1200 Excise Taxes, Oil Spill Liability Trust Fund ..... | 497         | 504     | 506       |
| 1202 Fines and Penalties, OSLTF .....                   | 53          | 659     | 329       |
| Offsetting receipts (proprietary):                      |             |         |           |
| 1220 Recoveries, Oil Spill Liability Trust Fund .....   | 35          | 245     | 68        |
| Offsetting receipts (intragovernmental):                |             |         |           |
| 1240 Earnings on Investments .....                      | 12          | 30      | 50        |
| Offsetting collections:                                 |             |         |           |
| 1280 Inland Oil Spill Programs .....                    | 2           |         |           |
| 1281 Inland Oil Spill Programs .....                    | 31          | 31      | 31        |
| 1299 Income under present law .....                     | 630         | 1,469   | 984       |
| Proposed legislation:                                   |             |         |           |
| Receipts:   |             |         |           |
| 2201 Excise Taxes, Oil Spill Liability Trust Fund ..... |             |         | 85        |
| 2299 Income under proposed legislation .....            |             |         | 85        |
| 3299 Total cash income .....                            | 630         | 1,469   | 1,069     |
| Cash outgo during year:                                 |             |         |           |
| Current law:  |             |         |           |
| 4500 Maritime Oil Spill Programs .....                  | –363        | –364    | –308      |
| 4500 Inland Oil Spill Programs .....                    | –56         | –45     | –53       |
| 4500 Trust Fund Share of Expenses .....                 | –45         | –45     | –45       |
| 4500 Trust Fund Share of Pipeline Safety .....          | –20         | –21     | –19       |
| 4500 Oil Spill Research .....                           | –10         | –14     | –15       |
| 4500 Denali Commission Trust Fund .....                 | –8          | –16     | –7        |
| 4599 Outgo under current law (-) .....                  | –502        | –505    | –447      |
| 6599 Total cash outgo (-) .....                         | –502        | –505    | –447      |
| 7645 Inland Oil Spill Programs .....                    |             | –5      |           |
| 7645 Inland Oil Spill Programs .....                    | 5           |         |           |
| 7699 Total adjustments .....                            | 5           | –5      |           |
| Unexpended balance, end of year:                        |             |         |           |
| 8700 Uninvested balance (net), end of year .....        | 33          | 125     | 207       |
| 8701 Oil Spill Liability Trust Fund .....               | 2,553       | 3,420   | 3,960     |
| 8799 Total balance, end of year .....                   | 2,586       | 3,545   | 4,167     |

**MARITIME OIL SPILL PROGRAMS****Program and Financing** (in millions of dollars)

| Identification code 70–8349–0–7–304                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0001 Emergency fund .....                                    | 50          | 90      | 50        |
| 0002 Payment of claims .....                                 | 264         | 238     | 188       |
| 0003 Prince William Sound Oil Spill Recovery Institute ..... | 1           | 1       | 1         |
| 0900 Total new obligations (object class 25.2) .....         | 315         | 329     | 239       |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....        | 77          | 40      |           |
| 1021 Recoveries of prior year unpaid obligations .....       | 65          |         |           |
| 1050 Unobligated balance (total) .....                       | 142         | 40      |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                   |             |         |           |
| 1201 Appropriation (special or trust fund) .....             | 213         | 289     | 239       |
| 1260 Appropriations, mandatory (total) .....                 | 213         | 289     | 239       |
| 1930 Total budgetary resources available .....               | 355         | 329     | 239       |
| Memorandum (non-add) entries:                                |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....        | 40          |         |           |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 313  | 200  | 165  |
| 3010 Obligations incurred, unexpired accounts .....               | 315  | 329  | 239  |
| 3020 Outlays (gross) .....  | –363 | –364 | –308 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –65  |      |      |
| 3050 Unpaid obligations, end of year .....                        | 200  | 165  | 96   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 313  | 200  | 165  |
| 3200 Obligated balance, end of year .....                         | 200  | 165  | 96   |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 213 | 289 | 239 |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 213 | 205 | 202 |
| 4101 Outlays from mandatory balances .....      | 150 | 159 | 106 |
| 4110 Outlays, gross (total) .....               | 363 | 364 | 308 |
| 4180 Budget authority, net (total) .....        | 213 | 289 | 239 |
| 4190 Outlays, net (total) .....                 | 363 | 364 | 308 |

This account provides resources from the Oil Spill Liability Trust Fund for costs associated with the cleanup of oil spills. These include emergency costs associated with oil spill cleanup, funding provided to the Prince William Sound Oil Spill Recovery Institute, and the payment of claims to those who suffer harm from oil spills where the responsible party is not identifiable or is without resources. The claims activity in this account will continue to be funded under separate permanent appropriations and are being displayed in a consolidated format to enhance presentation.

**MISCELLANEOUS TRUST REVOLVING FUNDS****Program and Financing** (in millions of dollars)

| Identification code 70–9981–0–8–403                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 13          | 13      | 10        |
| 0900 Total new obligations (object class 25.2) .....               | 13          | 13      | 10        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 13          | 13      | 10        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 13          | 13      | 10        |
| 1930 Total budgetary resources available .....                     | 13          | 13      | 10        |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2   | 1   | 1   |
| 3010 Obligations incurred, unexpired accounts .....   | 13  | 13  | 10  |
| 3020 Outlays (gross) .....                            | –14 | –13 | –10 |
| 3050 Unpaid obligations, end of year .....            | 1   | 1   | 1   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 2   | 1   | 1   |
| 3200 Obligated balance, end of year .....             | 1   | 1   | 1   |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                                   | 13  | 13  | 10  |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....                  | 13  | 13  | 10  |
| 4011 Outlays from discretionary balances .....                       | 1   |     |     |
| 4020 Outlays, gross (total) .....                                    | 14  | 13  | 10  |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Federal sources .....   |     | –13 | –10 |
| 4033 Non-Federal sources .....                                       | –13 |     |     |
| 4040 Offsets against gross budget authority and outlays (total) .... | –13 | –13 | –10 |
| 4080 Outlays, net (discretionary) .....                              | 1   |     |     |
| 4190 Outlays, net (total) .....                                      | 1   |     |     |

## MISCELLANEOUS TRUST REVOLVING FUNDS—Continued

The Coast Guard Cadet Fund is used by the Superintendent of the Coast Guard Academy to receive, plan, control, and expend funds for personal expenses and obligations of Coast Guard Academy cadets.

The Coast Guard Surcharge Collections, Sales of Commissary Stores Fund is used to finance expenses incurred in connection with the operation of the Coast Guard commissary store in Kodiak, Alaska. Revenue is derived from a surcharge placed on sales (14 U.S.C. 487).

## Object Classification (in millions of dollars)

| Identification code 70-9981-0-8-403                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 13          | 13      | 10        |
| 99.0 Reimbursable obligations .....                | 13          | 13      | 10        |

## NATIONAL PROTECTION AND PROGRAMS DIRECTORATE

## Federal Funds

## MANAGEMENT AND ADMINISTRATION

*For salaries and expenses of the Office of the Under Secretary and the offices of the Assistant Secretaries for the National Protection and Programs Directorate, support for operations, and information technology, \$64,725,000: Provided, That not to exceed \$4,250 shall be for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70-0566-0-1-453                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 50          | 51      | 65        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 51          | 51      | 65        |
| 1120 Appropriations transferred to other accts [70-0100] .....  | -1          |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 50          | 51      | 65        |
| 1930 Total budgetary resources available .....                  | 50          | 51      | 65        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 20          | 20      | 13        |
| 3010 Obligations incurred, unexpired accounts .....             | 50          | 51      | 65        |
| 3020 Outlays (gross) .....                                      | -48         | -49     | -71       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -2          | -9      |           |
| 3050 Unpaid obligations, end of year .....                      | 20          | 13      | 7         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 20          | 20      | 13        |
| 3200 Obligated balance, end of year .....                       | 20          | 13      | 7         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 50          | 51      | 65        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 38          | 46      | 59        |
| 4011 Outlays from discretionary balances .....                  | 10          | 3       | 12        |
| 4020 Outlays, gross (total) .....                               | 48          | 49      | 71        |
| 4180 Budget authority, net (total) .....                        | 50          | 51      | 65        |
| 4190 Outlays, net (total) .....                                 | 48          | 49      | 71        |

The Department of Homeland Security (DHS) National Protection and Programs Directorate (NPPD) Management and Admin-

istration (M&A) appropriation provides Directorate leadership and management, coordination of Directorate activities with DHS Headquarters and Components, and program oversight and mission support services. NPPD M&A leads and coordinates Directorate activities to reduce the risks to the Nation's critical infrastructure, including cyber and physical assets. M&A also includes resources for the offices of the Assistant Secretary for Infrastructure Protection and the Assistant Secretary for Cybersecurity and Communications.

## Object Classification (in millions of dollars)

| Identification code 70-0566-0-1-453                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 23          | 27      | 34        |
| 11.3 Other than full-time permanent .....                | 2           | 1       | 2         |
| 11.9 Total personnel compensation .....                  | 25          | 28      | 36        |
| 12.1 Civilian personnel benefits .....                   | 7           | 8       | 9         |
| 21.0 Travel and transportation of persons .....          | 1           |         |           |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 5           | 4       | 2         |
| 25.2 Other services from non-Federal sources .....       | 1           | 2       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 7           | 7       | 13        |
| 25.7 Operation and maintenance of equipment .....        | 1           |         | 1         |
| 31.0 Equipment .....                                     | 1           |         | 1         |
| 99.9 Total new obligations .....                         | 50          | 51      | 65        |

## Employment Summary

| Identification code 70-0566-0-1-453                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 219         | 203     | 322       |

## UNITED STATES VISITOR AND IMMIGRANT STATUS INDICATOR TECHNOLOGY

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70-0521-0-1-751                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 System development and deployment .....                         | 275         | 324     |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 33          | 40      |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 6           | 2       |           |
| 1050 Unobligated balance (total) .....                               | 39          | 42      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 307         | 309     |           |
| 1120 Appropriations transferred to other accts [70-0530] .....       | -4          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -27         | -27     |           |
| 1160 Appropriation, discretionary (total) .....                      | 276         | 282     |           |
| 1900 Budget authority (total) .....                                  | 276         | 282     |           |
| 1930 Total budgetary resources available .....                       | 315         | 324     |           |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 40          |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 397         | 344     | 291       |
| 3010 Obligations incurred, unexpired accounts .....                  | 275         | 324     |           |
| 3020 Outlays (gross) .....   | -321        | -375    | -234      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -6          | -2      |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 344         | 291     | 57        |

|   |  |     |     |       |
|---|--|-----|-----|-------|
| Memorandum (non-add) entries:             |  |     |     |       |
| 3100                                      | Obligated balance, start of year .....         | 397 | 344 | 291   |
| 3200                                      | Obligated balance, end of year .....           | 344 | 291 | 57    |
| <b>Budget authority and outlays, net:</b> |  |     |     |       |
| Discretionary:                            |  |     |     |       |
| 4000                                      | Budget authority, gross .....                  | 276 | 282 | ..... |
| Outlays, gross:                           |  |     |     |       |
| 4010                                      | Outlays from new discretionary authority ..... | 103 | 195 | ..... |
| 4011                                      | Outlays from discretionary balances .....      | 218 | 180 | 234   |
| 4020                                      | Outlays, gross (total) .....                   | 321 | 375 | 234   |
| 4180                                      | Budget authority, net (total) .....            | 276 | 282 | ..... |
| 4190                                      | Outlays, net (total) .....                     | 321 | 375 | 234   |

The mission of the United States Visitor and Immigrant Status Indicator Technology (US-VISIT) Program is to provide biometric identification through the collection, maintenance, and sharing of biometric and selected biographic data to authorized DHS, Federal, State, tribal, and local law enforcement agencies, and through international data-sharing agreements with strategic foreign partners in support of the DHS mission. US-VISIT is a key component of a multi-layered, multi-agency defense that is integral to domestic and international security.

Through its use of biometrics, US-VISIT collects, stores, and share digital fingerprints and digital photographs for subsequent verification. This biometric information is paired with biographic information and used to establish and verify an individual's identity, as well as to match that identity against criminal and immigration violator watchlists. US-VISIT also analyzes biographic data for the identification of potential foreign national overstay violators. The President's Budget proposes the consolidation of US-VISIT to Customs and Border Protection and Immigration and Customs Enforcement.

#### Object Classification (in millions of dollars)

|                                     |  |       |       |       |
|-------------------------------------|--|-------|-------|-------|
| Identification code 70-0521-0-1-751 |  |       |       |       |
| Direct obligations:                 |  |       |       |       |
| Personnel compensation:             |  |       |       |       |
| 11.1                                | Full-time permanent .....                                  | 29    | 41    | ..... |
| 11.5                                | Other personnel compensation .....                         | ..... | 1     | ..... |
| 11.9                                | Total personnel compensation .....                         | 29    | 42    | ..... |
| 12.1                                | Civilian personnel benefits .....                          | 9     | 13    | ..... |
| 21.0                                | Travel and transportation of persons .....                 | 1     | ..... | ..... |
| 23.1                                | Rental payments to GSA .....                               | 5     | 6     | ..... |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 3     | 5     | ..... |
| 25.2                                | Other services from non-Federal sources .....              | 19    | 38    | ..... |
| 25.3                                | Other goods and services from Federal sources .....        | 22    | 23    | ..... |
| 25.7                                | Operation and maintenance of equipment .....               | 145   | 155   | ..... |
| 31.0                                | Equipment .....  | 42    | 42    | ..... |
| 99.9                                | Total new obligations .....                                | 275   | 324   | ..... |

#### Employment Summary

|                                     |   |     |     |       |
|-------------------------------------|---|-----|-----|-------|
| Identification code 70-0521-0-1-751 |   |     |     |       |
| 1001                                | Direct civilian full-time equivalent employment ..... | 308 | 416 | ..... |

#### INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY

For necessary expenses for infrastructure protection and information security programs and activities, as authorized by title II of the Homeland Security Act of 2002 (6 U.S.C. 121 et seq.), \$1,201,994,000, of which \$365,144,000 shall remain available until September 30, 2015: Provided, That \$168,061,000 shall be used to deploy on Federal systems technology for improvement of the agency information security policies, practices, and systems covered by 44 U.S.C. 3543(a): Provided further, That funds provided under this heading shall be used to assist and support Government-wide and agency-specific efforts to provide adequate, risk-based, and cost-effective cybersecurity measures that address escalating and rapidly evolving threats to information security, including the acquisition

and operation of a continuous monitoring and diagnostics program that includes equipment, software, and services supplied by the Department of Homeland Security.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|  |   |      |        |        |
|--|---|------|--------|--------|
| Identification code 70-0565-0-1-054                            |   |      |        |        |
| 2012 actual      2013 CR      2014 est.                        |   |      |        |        |
| <b>Obligations by program activity:</b>                        |   |      |        |        |
| 0001   | Direct program activity .....                                 | 855  | 1,170  | 1,202  |
| 0861   | Reimbursable program activity .....                           | 5    | .....  | .....  |
| 0869   | Reimbursable program activities, subtotal .....               | 5    | .....  | .....  |
| 0900   | Total new obligations .....                                   | 860  | 1,170  | 1,202  |
| <b>Budgetary Resources:</b>                                    |   |      |        |        |
| Unobligated balance:   |   |      |        |        |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 30   | 83     | 83     |
| 1021   | Recoveries of prior year unpaid obligations .....             | 21   | .....  | .....  |
| 1050   | Unobligated balance (total) .....                             | 51   | 83     | 83     |
| Budget authority:  |   |      |        |        |
| Appropriations, discretionary:                                 |   |      |        |        |
| 1100   | Appropriation .....   | 888  | 1,170  | 1,202  |
| 1160   | Appropriation, discretionary (total) .....                    | 888  | 1,170  | 1,202  |
| Spending authority from offsetting collections, discretionary: |   |      |        |        |
| 1700   | Collected .....   | 1    | 4      | 5      |
| 1701   | Change in uncollected payments, Federal sources .....         | 9    | -4     | -5     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 10   | .....  | .....  |
| 1900   | Budget authority (total) .....                                | 898  | 1,170  | 1,202  |
| 1930   | Total budgetary resources available .....                     | 949  | 1,253  | 1,285  |
| Memorandum (non-add) entries:                                  |   |      |        |        |
| 1940   | Unobligated balance expiring .....                            | -6   | .....  | .....  |
| 1941   | Unexpired unobligated balance, end of year .....              | 83   | 83     | 83     |
| <b>Change in obligated balance:</b>                            |   |      |        |        |
| Unpaid obligations:  |   |      |        |        |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 797  | 699    | 624    |
| 3010   | Obligations incurred, unexpired accounts .....                | 860  | 1,170  | 1,202  |
| 3011   | Obligations incurred, expired accounts .....                  | 4    | .....  | .....  |
| 3020   | Outlays (gross) .....   | -925 | -1,245 | -1,351 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | -21  | .....  | .....  |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -16  | .....  | .....  |
| 3050   | Unpaid obligations, end of year .....                         | 699  | 624    | 475    |
| Uncollected payments:  |   |      |        |        |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -2   | -10    | -6     |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | -9   | 4      | 5      |
| 3071   | Change in uncollected pymts, Fed sources, expired .....       | 1    | .....  | .....  |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -10  | -6     | -1     |
| Memorandum (non-add) entries:                                  |   |      |        |        |
| 3100   | Obligated balance, start of year .....                        | 795  | 689    | 618    |
| 3200   | Obligated balance, end of year .....                          | 689  | 618    | 474    |

#### Budget authority and outlays, net:

|   |  |     |       |       |
|---|--|-----|-------|-------|
| Discretionary:  |  |     |       |       |
| 4000  | Budget authority, gross .....                                  | 898 | 1,170 | 1,202 |
| Outlays, gross:   |  |     |       |       |
| 4010  | Outlays from new discretionary authority .....                 | 359 | 784   | 805   |
| 4011  | Outlays from discretionary balances .....                      | 566 | 461   | 546   |
| 4020  | Outlays, gross (total) .....                                   | 925 | 1,245 | 1,351 |
| Offsets against gross budget authority and outlays:     |  |     |       |       |
| Offsetting collections (collected) from:                |  |     |       |       |
| 4030  | Federal sources .....  | -3  | -4    | -5    |
| Additional offsets against gross budget authority only: |  |     |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -9  | 4     | 5     |
| 4052  | Offsetting collections credited to expired accounts .....      | 2   | ..... | ..... |
| 4060  | Additional offsets against budget authority only (total) ..... | -7  | 4     | 5     |
| 4070  | Budget authority, net (discretionary) .....                    | 888 | 1,170 | 1,202 |
| 4080  | Outlays, net (discretionary) .....                             | 922 | 1,241 | 1,346 |
| 4180  | Budget authority, net (total) .....                            | 888 | 1,170 | 1,202 |
| 4190  | Outlays, net (total) .....                                     | 922 | 1,241 | 1,346 |

The Infrastructure Protection and Information Security (IPIS) appropriation funds Infrastructure Protection, Cybersecurity,

INFRASTRUCTURE PROTECTION AND INFORMATION SECURITY—Continued and Communications activities, which seek to understand and manage risk from natural disasters, terrorist attacks, or other disasters to the Nation's physical and cyber infrastructure.

*Infrastructure Protection (IP)* programs accomplish the IPIS mission by assisting security partners to identify and mitigate vulnerabilities; increase preparedness for facilities, systems, and surrounding communities; and assess the impact of risk mitigation efforts. The IP PPAs are: Infrastructure Analysis and Planning, Sector Management and Governance, Regional Field Operations, and Infrastructure Security Compliance. The Infrastructure Analysis and Planning PPA supports the identification, prioritization, and protection of the Nation's critical infrastructure and key resources. The Sector Management and Governance PPA is responsible for increasing the ability of all levels of government and private sector partners to assess risks and execute risk mitigation programs. The Regional Field Operations PPA includes voluntary projects and activities that enable security partners in identification of risks and implementation of protective measures. The Infrastructure Security Compliance PPA is responsible for coordination and management of regulatory authority over high-risk chemical facilities and ammonium nitrate.

*Cyber Security and Communications (CS&C)* collaborates with public, private, and international partners to ensure security and continuity of the Nation's cyber and communications infrastructures in the event of terrorist attacks, national disasters, and catastrophic incidents. Additionally, CS&C protects and strengthens the reliability, survivability and interoperability of the Nation's communications capabilities, including those utilized during emergencies, at the Federal, State, local, territorial, and tribal levels.

Cybersecurity and Communications partners with the private sector, government, military, and intelligence stakeholders in risk assessments and mitigating vulnerabilities and threats to information technology assets and activities affecting the operation of the government and civilian critical cyber infrastructures. It provides cyber threat and vulnerability analysis, early warning, and incident response assistance for public and private sector constituents. In addition, Cybersecurity and Communications is the Federal executive agent for carrying out many of the mandates under the Comprehensive National Cybersecurity Initiative. The cybersecurity-focused PPAs are: Cybersecurity Coordination, US-CERT Operations, Federal Network Security, Network Security Deployment, Global Cyber Security Management, Critical Infrastructure Cyber Protection and Awareness, and Business Operations.

Cybersecurity and Communications also provides mission-critical national security and emergency preparedness (NS/EP) telecommunications for Federal, State and local governments, and private industry through the following functions: 1) administering the National Coordinating Center for Telecommunications to facilitate the initiation, coordination, restoration and reconstitution of NS/EP telecommunications services or facilities under all circumstances; 2) developing and ensuring the implementation of plans and programs that support telecommunications infrastructure hardness, redundancy, mobility, connectivity and security; and 3) serving as the focal point for industry and government NS/EP telecommunications planning and partnerships. It supports and promotes the ability of emergency responders and government officials to continue communicating in the event of natural disasters, acts of terrorism, or other man-made disasters. Cybersecurity and Communications works

to ensure, accelerate, and attain interoperable and operable emergency communications nationwide.

The communications PPAs include: Priority Telecommunications services, Programs to Study and Enhance Telecommunications, Critical Infrastructure Protection, Next Generation Networks programs, and the Office of Emergency Communications.

#### Object Classification (in millions of dollars)

| Identification code 70-0565-0-1-054                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 106         | 121     | 139       |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 3         |
| 11.5 Other personnel compensation .....                         | 6           | 4       | 5         |
| 11.9 Total personnel compensation .....                         | 114         | 127     | 147       |
| 12.1 Civilian personnel benefits .....                          | 31          | 34      | 39        |
| 21.0 Travel and transportation of persons .....                 | 8           | 12      | 7         |
| 23.1 Rental payments to GSA .....                               | 17          | 13      | 16        |
| 23.2 Rental payments to others .....                            | 4           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 11      | 11        |
| 25.1 Advisory and assistance services .....                     | 347         | 218     | 184       |
| 25.2 Other services from non-Federal sources .....              | 16          | 219     | 232       |
| 25.3 Other goods and services from Federal sources .....        | 290         | 149     | 172       |
| 25.4 Operation and maintenance of facilities .....              | 4           | 8       | 4         |
| 25.7 Operation and maintenance of equipment .....               | 14          | 271     | 206       |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 4           | 101     | 164       |
| 32.0 Land and structures .....                                  | 4           |         |           |
| 41.0 Grants, subsidies, and contributions .....                 |             | 3       | 16        |
| 99.0 Direct obligations .....                                   | 855         | 1,170   | 1,202     |
| 99.0 Reimbursable obligations .....                             | 5           |         |           |
| 99.9 Total new obligations .....                                | 860         | 1,170   | 1,202     |

#### Employment Summary

| Identification code 70-0565-0-1-054                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,044       | 1,188   | 1,373     |

#### FEDERAL PROTECTIVE SERVICE

*The revenues and collections of security fees credited to this account shall be available until expended for necessary expenses related to the protection of federally owned and leased buildings and for the operations of the Federal Protective Service.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 70-0542-0-1-804                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 1,174       | 1,302   | 1,302     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 141         | 161     | 174       |
| 1021 Recoveries of prior year unpaid obligations .....             | 43          | 40      | 20        |
| 1050 Unobligated balance (total) .....                             | 184         | 201     | 194       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1,178       | 1,302   | 1,302     |
| 1701 Change in uncollected payments, Federal sources .....         | -27         | -27     |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1,151       | 1,275   | 1,302     |
| 1930 Total budgetary resources available .....                     | 1,335       | 1,476   | 1,496     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Policy Program [Text] .....                                   | 161         | 174     | 194       |



|   |   |        |        |        |
|---|---|--------|--------|--------|
| <b>Change in obligated balance:</b>                     |   |        |        |        |
| Unpaid obligations:                                     |   |        |        |        |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....                | 352    | 329    | 291    |
| 3010  | Obligations incurred, unexpired accounts .....                  | 1,174  | 1,302  | 1,302  |
| 3020  | Outlays (gross) .....   | -1,154 | -1,300 | -1,327 |
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....    | -43    | -40    | -20    |
| 3050  | Unpaid obligations, end of year .....                           | 329    | 291    | 246    |
| Uncollected payments:                                   |   |        |        |        |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -154   | -127   | -100   |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....       | 27     | 27     | .....  |
| 3090  | Uncollected pymts, Fed sources, end of year .....               | -127   | -100   | -100   |
| Memorandum (non-add) entries:                           |   |        |        |        |
| 3100  | Obligated balance, start of year .....                          | 198    | 202    | 191    |
| 3200  | Obligated balance, end of year .....                            | 202    | 191    | 146    |
| <b>Budget authority and outlays, net:</b>               |   |        |        |        |
| Discretionary:  |   |        |        |        |
| 4000  | Budget authority, gross .....                                   | 1,151  | 1,275  | 1,302  |
| Outlays, gross:   |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 953    | 1,275  | 1,302  |
| 4011  | Outlays from discretionary balances .....                       | 201    | 25     | 25     |
| 4020  | Outlays, gross (total) .....                                    | 1,154  | 1,300  | 1,327  |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4030  | Federal sources .....   | -1,167 | -1,299 | -1,299 |
| 4033  | Non-Federal sources .....                                       | -11    | -3     | -3     |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -1,178 | -1,302 | -1,302 |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | 27     | 27     | .....  |
| 4080  | Outlays, net (discretionary) .....                              | -24    | -2     | 25     |
| 4190  | Outlays, net (total) .....                                      | -24    | -2     | 25     |

The Federal Protective Service (FPS) is the federal law enforcement organization responsible for the protection of federal facilities. FPS executes the Department of Homeland Security's responsibility to protect federally owned property, leased property and personnel across the nation, as required by section 1315 of title 40 United States Code. FPS operations focus on security and law enforcement activities reducing vulnerability to criminal and terrorist threats, while ensuring facilities are secure and occupants safe. FPS protects federal facilities and the employees within them through measures such as all hazards based risk assessments; appropriate placement of countermeasures, law enforcement response; assistance to stakeholders through Facility Security Committees; and emergency and safety education. FPS also partners with federal agencies to provide additional required security services. FPS is the lead Government Facilities Sector-specific Agency for the National Infrastructure Protection Plan (NIPP).

**Object Classification** (in millions of dollars)

| Identification code 70-0542-0-1-804 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 1,174       | 1,302   | 1,302     |

**Employment Summary**

| Identification code 70-0542-0-1-804                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,197       | 1,371   | 1,371     |

**BIODEFENSE COUNTERMEASURES****Program and Financing** (in millions of dollars)

| Identification code 70-0714-0-1-551 | 2012 actual                                       | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| <b>Budgetary Resources:</b>         |   |         |           |
| Unobligated balance:                |   |         |           |
| 1000                                | Unobligated balance brought forward, Oct 1 .....  | 1       | 1         |
| 1021                                | Recoveries of prior year unpaid obligations ..... | 1       | .....     |
| 1050                                | Unobligated balance (total) .....                 | 1       | 1         |

|   |  |      |       |       |
|---|--|------|-------|-------|
| 1930                                      | Total budgetary resources available .....                    | 1    | 1     | 1     |
| Memorandum (non-add) entries:             |  |      |       |       |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 1    | 1     | 1     |
| <b>Change in obligated balance:</b>       |  |      |       |       |
| Unpaid obligations:                       |  |      |       |       |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 564  | 344   | 62    |
| 3020                                      | Outlays (gross) .....  | -219 | -282  | -45   |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -1   | ..... | ..... |
| 3050                                      | Unpaid obligations, end of year .....                        | 344  | 62    | 17    |
| Memorandum (non-add) entries:             |  |      |       |       |
| 3100                                      | Obligated balance, start of year .....                       | 564  | 344   | 62    |
| 3200                                      | Obligated balance, end of year .....                         | 344  | 62    | 17    |
| <b>Budget authority and outlays, net:</b> |  |      |       |       |
| Discretionary:                            |  |      |       |       |
| Outlays, gross:                           |  |      |       |       |
| 4011                                      | Outlays from discretionary balances .....                    | 219  | 282   | 45    |
| 4190                                      | Outlays, net (total) .....                                   | 219  | 282   | 45    |

The 2004 Homeland Security Appropriations Act established appropriations for Biodefense Countermeasures through 2013. This program, which the Departments of Homeland Security and Health and Human Services jointly manage, was designed to support the government's efforts to secure medical countermeasures to strengthen the Nation's preparedness against bioterror attacks by pre-purchasing critically needed vaccines and other countermeasures for biodefense.

**OFFICE OF HEALTH AFFAIRS**

*For necessary expenses of the Office of Health Affairs, \$131,797,000; of which \$27,369,000, is for salaries and expenses and \$90,609,000 is for BioWatch operations: Provided, That \$13,819,000 shall remain available until September 30, 2015, for biosurveillance, chemical defense, medical and health planning and coordination, and workforce health protection: Provided further, That not to exceed \$2,500 shall be for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70-0117-0-1-453                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Biodefense activities .....                                   | 158         | 168     | 132       |
| 0801 Reimbursable program (Sched. O-2118) .....                    | 40          | 46      | 46        |
| 0900 Total new obligations .....                                   | 198         | 214     | 178       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 21          | 26      | 26        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           |         |           |
| 1050 Unobligated balance (total) .....                             | 22          | 26      | 26        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 167         | 168     | 132       |
| 1120 Appropriations transferred to other accts [70-0400] .....     | -2          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 165         | 168     | 132       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 32          | 46      | 46        |
| 1701 Change in uncollected payments, Federal sources .....         | 8           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 40          | 46      | 46        |
| 1900 Budget authority (total) .....                                | 205         | 214     | 178       |
| 1930 Total budgetary resources available .....                     | 227         | 240     | 204       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -3          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 26          | 26      | 26        |

|                                     |  |     |     |     |
|-------------------------------------|--|-----|-----|-----|
| <b>Change in obligated balance:</b> |  |     |     |     |
| Unpaid obligations:                 |  |     |     |     |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 143 | 161 | 124 |

OFFICE OF HEALTH AFFAIRS—Continued  
Program and Financing—Continued

| Identification code 70–0117–0–1–453                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3010 Obligations incurred, unexpired accounts .....                 | 198         | 214     | 178       |
| 3020 Outlays (gross) .....  | –175        | –251    | –246      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | –1          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                          | 161         | 124     | 56        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –7          | –8      | –8        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –8          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 7           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –8          | –8      | –8        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 136         | 153     | 116       |
| 3200 Obligated balance, end of year .....                           | 153         | 116     | 48        |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 205         | 214     | 178       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 72          | 161     | 134       |
| 4011 Outlays from discretionary balances .....                      | 103         | 90      | 112       |
| 4020 Outlays, gross (total) .....                                   | 175         | 251     | 246       |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | –38         | –46     | –46       |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –8          | .....   | .....     |
| 4052 Offsetting collections credited to expired accounts .....      | 6           | .....   | .....     |
| 4060 Additional offsets against budget authority only (total) ..... | –2          | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                    | 165         | 168     | 132       |
| 4080 Outlays, net (discretionary) .....                             | 137         | 205     | 200       |
| 4180 Budget authority, net (total) .....                            | 165         | 168     | 132       |
| 4190 Outlays, net (total) .....                                     | 137         | 205     | 200       |

The Office of Health Affairs (OHA) provides health and medical expertise in support of the DHS mission to prepare for, respond to, and recover from all threats. OHAs responsibilities include: serving as the principal advisor to the Secretary and FEMA Administrator on medical and public health issues; leading and coordinating biological and chemical defense activities; providing medical and scientific expertise to support DHS preparedness and response efforts; and leading the Department's workforce health and medical oversight activities. OHA also serves as the primary DHS point of contact for State and local governments on medical and public health issues.

One of OHA's primary responsibilities is to mitigate the consequences of biological incidents through early detection and biosurveillance. BioWatch is the only federally managed, locally operated nationwide biosurveillance system designed to detect the intentional release of select aerosolized biological agents. Deployed in more than 30 metropolitan areas throughout the country, the system is a collaborative effort of health personnel at all levels of government. The National Biosurveillance Integration Center (the Center) housed within OHA, coordinates comprehensive national biosurveillance and situational awareness. The Center's mission is to rapidly identify, characterize, localize, and track a biological event of national concern; integrate and analyze data relating to human health, animal, plant, food, water, and environmental domains; disseminate alerts and pertinent information; and oversee development and operation of the National Biosurveillance Integration System.

## Object Classification (in millions of dollars)

| Identification code 70–0117–0–1–453 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Direct obligations:                 |             |         |           |
| Personnel compensation:             |             |         |           |
| 11.1 Full-time permanent .....      | 10          | 12      | 12        |

|  |       |       |       |
|--|-------|-------|-------|
| 11.8 Special personal services payments .....            | 4     | 3     | 5     |
| 11.9 Total personnel compensation .....                  | 14    | 15    | 17    |
| 12.1 Civilian personnel benefits .....                   | 3     | 3     | 4     |
| 21.0 Travel and transportation of persons .....          | 1     | ..... | ..... |
| 23.1 Rental payments to GSA .....                        | 2     | 3     | 3     |
| 25.1 Advisory and assistance services .....              | 54    | 61    | 45    |
| 25.3 Other goods and services from Federal sources ..... | 38    | 35    | 19    |
| 26.0 Supplies and materials .....                        | 15    | 15    | 15    |
| 31.0 Equipment .....                                     | ..... | 6     | 1     |
| 41.0 Grants, subsidies, and contributions .....          | 31    | 30    | 28    |
| 99.0 Direct obligations .....                            | 158   | 168   | 132   |
| 99.0 Reimbursable obligations .....                      | 40    | 46    | 46    |
| 99.9 Total new obligations .....                         | 198   | 214   | 178   |

## Employment Summary

| Identification code 70–0117–0–1–453                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 83          | 101     | 99        |

## FEDERAL EMERGENCY MANAGEMENT AGENCY

## Federal Funds

## FLOOD HAZARD MAPPING AND RISK ANALYSIS PROGRAM

*For necessary expenses, including administrative costs, under section 1360 of the National Flood Insurance Act of 1968 (42 U.S.C. 4101), \$84,361,000, and such additional sums as may be provided by State and local governments or other political subdivisions for cost-shared mapping activities under section 1360(f)(2) of such Act (42 U.S.C. 4101(f)(2)), to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0500–0–1–453  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Flood Hazard Mapping and Risk Analysis .....                                | 99          | 100     | 84        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                            | 2           | 1       | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                  | –8          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                           | 8           | .....   | .....     |
| 1050 Unobligated balance (total) .....   | 2           | 1       | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 98          | 99      | 84        |
| 1160 Appropriation, discretionary (total) .....                                  | 98          | 99      | 84        |
| 1930 Total budgetary resources available .....                                   | 100         | 100     | 84        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                            | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                            | 351         | 290     | 283       |
| 3010 Obligations incurred, unexpired accounts .....                              | 99          | 100     | 84        |
| 3020 Outlays (gross) .....   | –152        | –107    | –125      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                | –8          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                       | 290         | 283     | 242       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                | –8          | .....   | .....     |
| 3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 8           | .....   | .....     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                                      | 351         | 290     | 283       |
| 3200 Obligated balance, end of year .....  | 290         | 283     | 242       |

## Budget authority and outlays, net:

|                                    |    |    |    |
|------------------------------------|----|----|----|
| Discretionary:                     |    |    |    |
| 4000 Budget authority, gross ..... | 98 | 99 | 84 |

|                 |  |     |     |     |
|-----------------|--|-----|-----|-----|
| Outlays, gross: |  |     |     |     |
| 4010            | Outlays from new discretionary authority ..... | 6   | 6   | 5   |
| 4011            | Outlays from discretionary balances .....      | 146 | 101 | 120 |
| 4020            | Outlays, gross (total) .....                   | 152 | 107 | 125 |
| 4180            | Budget authority, net (total) .....            | 98  | 99  | 84  |
| 4190            | Outlays, net (total) .....                     | 152 | 107 | 125 |

The Flood Hazard Mapping and Risk Analysis Program is used to ensure the map modernization investment is preserved and that FEMA makes progress toward addressing flood hazard data update needs for communities who rely heavily on structural flood control defenses as well as those communities at high risk of flooding along the Nation's open coasts.

#### Object Classification (in millions of dollars)

| Identification code 70-0500-0-1-453 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....   | 3           | 5       | 5         |
| 12.1                                | Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 21.0                                | Travel and transportation of persons .....          | 1           |         |           |
| 23.1                                | Rental payments to GSA .....                        |             | 1       | 1         |
| 25.1                                | Advisory and assistance services .....              | 23          | 24      | 24        |
| 25.2                                | Other services from non-Federal sources .....       | 35          | 33      | 17        |
| 25.3                                | Other goods and services from Federal sources ..... | 7           | 6       | 6         |
| 41.0                                | Grants, subsidies, and contributions .....          | 29          | 30      | 30        |
| 99.9                                | Total new obligations .....                         | 99          | 100     | 84        |

#### Employment Summary

| Identification code 70-0500-0-1-453 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 37          | 57      | 57        |

#### STATE AND LOCAL PROGRAMS

##### (INCLUDING TRANSFER OF FUNDS)

For grants, contracts, cooperative agreements, and other activities, \$2,123,200,000, shall be allocated as follows:

(1) \$1,043,200,000, shall be for the National Preparedness Grant Program for the purpose of achieving the National Preparedness Goal: Provided, That grants may be awarded on a competitive basis to achieve the National Preparedness Goal through the protection of critical infrastructure and key resources, the development and sustainment of capabilities, including nationally deployable resources to prevent and protect against terrorism and to respond to, recover from, and mitigate against all hazards: Provided further, That any grant made under the National Preparedness Grant Program, to the extent possible, be based on effectiveness determinations and threat and hazard identification and risk assessments: Provided further, That grants made under the National Preparedness Grant Program may provide a minimum allocation of funding to each state and territory, including the District of Columbia;

(2) \$670,000,000, to remain available until September 30, 2015, shall be for necessary expenses for programs authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.), of which \$335,000,000 shall be available to carry out section 33 of that Act (15 U.S.C. 2229 et seq.) and of which \$335,000,000 shall be available to carry out section 34 of that Act (15 U.S.C. 2229a): Provided, That, in making such grants, the Secretary may grant waivers from the requirements in subsections (a)(1)(A), (a)(1)(B), (a)(1)(E), (c)(1), (c)(2), and (c)(4)(A) of section 34 of that Act (15 U.S.C. 2229a);

(3) \$350,000,000 shall be available for necessary expenses for emergency management performance grants, as authorized by the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 7701 et seq.), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), and Reorganization Plan No. 3 of 1978 (5 U.S.C. App.); and

(4) \$60,000,000 shall be for Training Partnership Grants for the purpose of achieving the National Preparedness Goal: Provided, That any grant be awarded based on the result of full and open competition:

Provided, That for grants under paragraph (1), applications for grants shall be made available to eligible applicants not later than 60 days after the date of enactment of this Act, that eligible applicants shall submit applications not later than 80 days after the grant announcement, and the Administrator of the Federal Emergency Management Agency shall act within 65 days after the receipt of an application.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 70-0560-0-1-453     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Firefighter Assistance Grants .....           | 826         | 645     | 670       |
| 0002                                    | Emergency Management Performance Grants ..... | 350         | 352     | 350       |
| 0003                                    | State and Local Program Grants .....          | 1,041       |         |           |
| 0004                                    | Education, Training, and Exercises .....      | 211         |         |           |
| 0005                                    | National Preparedness Grant Program .....     |             | 1,115   | 1,043     |
| 0006                                    | Training Partnership Grants .....             |             | 60      | 60        |
| 0007                                    | Management and Administration .....           |             | 95      |           |
| 0008                                    | National Security Special Event .....         | 15          |         |           |
| 0799                                    | Total direct obligations .....                | 2,443       | 2,267   | 2,123     |
| 0801                                    | Reimbursable Program .....                    | 8           | 2       |           |
| 0900                                    | Total new obligations .....                   | 2,451       | 2,269   | 2,123     |

#### Budgetary Resources:

|  |   |       |       |       |
|--|---|-------|-------|-------|
| Unobligated balance:   |   |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 781   | 622   | 656   |
| 1021   | Recoveries of prior year unpaid obligations .....               | 2     |       |       |
| 1050   | Unobligated balance (total) .....                               | 783   | 622   | 656   |
| Budget authority:  |   |       |       |       |
| Appropriations, discretionary:                                 |   |       |       |       |
| 1100   | Firefighter Assistance Grants .....                             | 675   | 679   | 670   |
| 1100   | Emergency Management Performance Grants .....                   | 350   | 352   | 350   |
| 1100   | State and Local Program Grants .....                            | 1,117 |       |       |
| 1100   | Education, Training and Exercises .....                         | 232   |       |       |
| 1100   | National Preparedness Grant Program .....                       |       | 1,132 | 1,043 |
| 1100   | Training Partnership Grants .....                               |       | 60    | 60    |
| 1100   | Management and Administration .....                             |       | 173   |       |
| 1100   | National Security Special Event .....                           | 8     |       |       |
| 1120   | Appropriations transferred to other accts [70-0700] .....       | -97   | -92   |       |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | -3    | -3    |       |
| 1160   | Appropriation, discretionary (total) .....                      | 2,282 | 2,301 | 2,123 |
| Spending authority from offsetting collections, discretionary: |   |       |       |       |
| 1700   | Collected .....   | 1     | 2     |       |
| 1701   | Change in uncollected payments, Federal sources .....           | 8     |       |       |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 9     | 2     |       |
| 1900   | Budget authority (total) .....                                  | 2,291 | 2,303 | 2,123 |
| 1930   | Total budgetary resources available .....                       | 3,074 | 2,925 | 2,779 |
| Memorandum (non-add) entries:                                  |   |       |       |       |
| 1940   | Unobligated balance expiring .....                              | -1    |       |       |
| 1941   | Unexpired unobligated balance, end of year .....                | 622   | 656   | 656   |

#### Change in obligated balance:

|                               |   |        |        |        |
|-------------------------------|---|--------|--------|--------|
| Unpaid obligations:           |   |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....              | 10,782 | 9,064  | 7,973  |
| 3010                          | Obligations incurred, unexpired accounts .....                | 2,451  | 2,269  | 2,123  |
| 3011                          | Obligations incurred, expired accounts .....                  | 44     |        |        |
| 3020                          | Outlays (gross) .....   | -4,027 | -3,360 | -3,150 |
| 3030                          | Unpaid obligations transferred to other accts [69-0700] ..... | -10    |        |        |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired .....  | -2     |        |        |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....    | -174   |        |        |
| 3050                          | Unpaid obligations, end of year .....                         | 9,064  | 7,973  | 6,946  |
| Uncollected payments:         |   |        |        |        |
| 3060                          | Obligated balance transferred to other accts .....            | -163   | -12    | -12    |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....     | -8     |        |        |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....       | 159    |        |        |
| 3090                          | Uncollected pymts, Fed sources, end of year .....             | -12    | -12    | -12    |
| Memorandum (non-add) entries: |   |        |        |        |
| 3100                          | Obligated balance, start of year .....                        | 10,619 | 9,052  | 7,961  |
| 3200                          | Obligated balance, end of year .....                          | 9,052  | 7,961  | 6,934  |

STATE AND LOCAL PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 70–0560–0–1–453                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 2,291       | 2,303   | 2,123     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 97          | 37      | 35        |
| 4011 Outlays from discretionary balances .....                       | 3,930       | 3,323   | 3,115     |
| 4020 Outlays, gross (total) .....                                    | 4,027       | 3,360   | 3,150     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –168        | –2      | .....     |
| 4033 Non-Federal sources .....                                       | –2          | .....   | .....     |
| 4040 Offsets against gross budget authority and outlays (total) .... | –170        | –2      | .....     |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –8          | .....   | .....     |
| 4052 Offsetting collections credited to expired accounts .....       | 169         | .....   | .....     |
| 4060 Additional offsets against budget authority only (total) .....  | 161         | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                     | 2,282       | 2,301   | 2,123     |
| 4080 Outlays, net (discretionary) .....                              | 3,857       | 3,358   | 3,150     |
| 4180 Budget authority, net (total) .....                             | 2,282       | 2,301   | 2,123     |
| 4190 Outlays, net (total) .....                                      | 3,857       | 3,358   | 3,150     |

## Comparative Funding Display for Program Adjustment

| Total Grant Awards for State and Local Programs |         |         |         |
|---|---------|---------|---------|
|   | FY 2012 | FY 2013 | FY 2014 |
| Total Budget Authority .....                    | 2,084   | 2,084   | 2,132   |

**National Preparedness Grant Program.**—The National Preparedness Grant Program (NPGP) will focus on the development and sustainment of National Incident Management System (NIMS) typed capabilities, that can be utilized nationally and regionally. These types of investments include canine explosive detection teams, Urban Search and Rescue Teams (USAR), and Hazardous Materials (HAZMAT) Teams. Emergency Management and Homeland Security (EMHS) resources will be considered in the context of their availability and utility to multiple jurisdictions, regions, and the Nation. The NPGP will optimize the development and sustainment of core capabilities as outlined in the National Preparedness Goal. Particular emphasis will be placed on capabilities that pose the greatest risk to the security and resilience of the United States and can be utilized to address multiple threats and hazards. Grantees will map their proposed investments to one or more specific core capabilities and will incorporate effectiveness measures that facilitate accountability. This clear linkage will enable all levels of government to collectively demonstrate how the proposed investment will build and sustain core capabilities necessary to strengthen the Nation's preparedness.

**Emergency Management Performance Grants.**—These grants support State and regional efforts to achieve target levels of capability in catastrophic planning and emergency management. This program provides funding by formula basis to all 56 States and territories.

**Firefighter Assistance Grants.**—These grants provide direct assistance to local fire departments for investments to improve their ability to safeguard the lives of firefighting personnel and members of the public in the event of a terrorist attack. The request is focused on grants for hiring and retaining firefighters, training, equipment, and personal protective gear. Funding supports a menu of grant programs: the Staffing for Adequate Fire and Emergency Response (SAFER) grants, the Assistance to Firefighter Grant (AFG), and the Fire Prevention and Safety grant program. The competitive, peer-review grant process will

give priority to applications that enhance capabilities for terrorism response and other major incidents.

**Training Partnership Grants Program.**—These grants will be awarded to competitively selected applicants to develop and deliver innovative training programs addressing high priority national homeland security training needs. All training partners funded through this program will offer training regimens that build and sustain the core capabilities as outlined in the National Preparedness Goal.

**Management and Administration.**—To provide streamlined accounting and increased oversight in 2014, the FEMA Salaries and Expenses account includes \$259 million to fund management and administration of grants, including salaries and benefits, the National Exercise Program, the Center for Domestic Preparedness, Technical Assistance, Evaluations and Assessments.

## Object Classification (in millions of dollars)

| Identification code 70–0560–0–1–453                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 14          | 16      | .....     |
| 12.1 Civilian personnel benefits .....                          | 4           | 5       | .....     |
| 21.0 Travel and transportation of persons .....                 | 5           | 4       | .....     |
| 23.2 Rental payments to others .....                            | 1           | 4       | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | .....   | .....     |
| 24.0 Printing and reproduction .....                            | 1           | .....   | .....     |
| 25.1 Advisory and assistance services .....                     | 8           | 3       | .....     |
| 25.2 Other services from non-Federal sources .....              | 127         | 105     | .....     |
| 25.3 Other goods and services from Federal sources .....        | 1           | .....   | .....     |
| 25.4 Operation and maintenance of facilities .....              | 11          | .....   | .....     |
| 25.7 Operation and maintenance of equipment .....               | 4           | 2       | .....     |
| 26.0 Supplies and materials .....                               | 1           | .....   | .....     |
| 31.0 Equipment .....  | 3           | 1       | .....     |
| 41.0 Grants, subsidies, and contributions .....                 | 2,260       | 2,127   | 2,123     |
| 99.0 Direct obligations .....                                   | 2,443       | 2,267   | 2,123     |
| 99.0 Reimbursable obligations .....                             | 8           | 2       | .....     |
| 99.9 Total new obligations .....                                | 2,451       | 2,269   | 2,123     |

## Employment Summary

| Identification code 70–0560–0–1–453                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 170         | 181     | .....     |

## FIRST RESPONDER STABILIZATION FUND

This account provides immediate assistance for the retention, rehiring, and hiring of firefighters as requested by the President in the American Jobs Act. In addition, departments will gain a preference for implementing programs and policies that focus on the recruitment of post-9/11 veterans for firefighter positions. Based on the current economic environment, the proposal also provided authority for the Secretary to waive certain restrictions on the award and expenditure of SAFER grants to further assist local firefighting agencies and prevent unnecessary job losses.

## FIRST RESPONDER STABILIZATION FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 70–0721–4–1–453                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | .....       | 1,000   | .....     |
| 0900 Total new obligations (object class 41.0) ..... | .....       | 1,000   | .....     |

|   |   |       |       |  |
|---|---|-------|-------|--|
| <b>Budgetary Resources:</b>               |   |       |       |  |
| Budget authority:                         |   |       |       |  |
| Appropriations, mandatory:                |   |       |       |  |
| 1221                                      | Appropriations transferred from other accts [15–0413] ..... | 1,000 |       |  |
| 1260                                      | Appropriations, mandatory (total) .....                     | 1,000 |       |  |
| 1930                                      | Total budgetary resources available .....                   | 1,000 |       |  |
| <b>Change in obligated balance:</b>       |   |       |       |  |
| Unpaid obligations:                       |   |       |       |  |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....            |       | 1,000 |  |
| 3010                                      | Obligations incurred, unexpired accounts .....              | 1,000 |       |  |
| 3020                                      | Outlays (gross) .....                                       |       | –50   |  |
| 3050                                      | Unpaid obligations, end of year .....                       | 1,000 | 950   |  |
| Memorandum (non-add) entries:             |   |       |       |  |
| 3100                                      | Obligated balance, start of year .....                      |       | 1,000 |  |
| 3200                                      | Obligated balance, end of year .....                        | 1,000 | 950   |  |
| <b>Budget authority and outlays, net:</b> |   |       |       |  |
| Mandatory:                                |   |       |       |  |
| 4090                                      | Budget authority, gross .....                               | 1,000 |       |  |
| Outlays, gross:                           |   |       |       |  |
| 4101                                      | Outlays from mandatory balances .....                       |       | 50    |  |
| 4180                                      | Budget authority, net (total) .....                         | 1,000 |       |  |
| 4190                                      | Outlays, net (total) .....                                  |       | 50    |  |

## UNITED STATES FIRE ADMINISTRATION

For necessary expenses of the United States Fire Administration and for other purposes, as authorized by the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2201 et seq.) and the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), \$41,306,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0564–0–1–453                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 44          | 44      | 41        |
| 0801 Reimbursable program activity .....                           |             | 1       | 1         |
| 0900 Total new obligations .....                                   | 44          | 45      | 42        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 44          | 44      | 41        |
| 1160 Appropriation, discretionary (total) .....                    | 44          | 44      | 41        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1701 Change in uncollected payments, Federal sources .....         |             | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... |             | 1       | 1         |
| 1900 Budget authority (total) .....                                | 44          | 45      | 42        |
| 1930 Total budgetary resources available .....                     | 44          | 45      | 42        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 22          | 21      | 9         |
| 3010 Obligations incurred, unexpired accounts .....                | 44          | 45      | 42        |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020 Outlays (gross) .....   | –43         | –57     | –47       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 21          | 9       | 4         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –2          | –1      | –2        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     |             | –1      | –1        |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –1          | –2      | –3        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 20          | 20      | 7         |
| 3200 Obligated balance, end of year .....                          | 20          | 7       | 1         |

|   |    |    |    |
|---|----|----|----|
| <b>Budget authority and outlays, net:</b>                           |    |    |    |
| Discretionary:  |    |    |    |
| 4000 Budget authority, gross .....                                  | 44 | 45 | 42 |
| Outlays, gross:   |    |    |    |
| 4010 Outlays from new discretionary authority .....                 | 27 | 41 | 38 |
| 4011 Outlays from discretionary balances .....                      | 16 | 16 | 9  |
| 4020 Outlays, gross (total) .....                                   | 43 | 57 | 47 |
| Offsets against gross budget authority and outlays:                 |    |    |    |
| Offsetting collections (collected) from:                            |    |    |    |
| 4030 Federal sources .....  | –1 | –1 | –1 |
| Additional offsets against gross budget authority only:             |    |    |    |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      |    | –1 | –1 |
| 4052 Offsetting collections credited to expired accounts .....      | 1  | 1  | 1  |
| 4060 Additional offsets against budget authority only (total) ..... | 1  |    |    |
| 4070 Budget authority, net (discretionary) .....                    | 44 | 44 | 41 |
| 4080 Outlays, net (discretionary) .....                             | 42 | 56 | 46 |
| 4180 Budget authority, net (total) .....                            | 44 | 44 | 41 |
| 4190 Outlays, net (total) .....                                     | 42 | 56 | 46 |

The United States Fire Administration supports the preparedness of the Nation's fire and emergency service leaders through analysis, training, and education regarding how to evaluate and minimize community risk, improve protection of critical infrastructure, and prepare to react to fires, natural hazards, and terrorism emergencies.

## Object Classification (in millions of dollars)

| Identification code 70–0564–0–1–453                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 11          | 11      | 12        |
| 12.1 Civilian personnel benefits .....                          | 3           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 6           | 7       | 6         |
| 25.2 Other services from non-Federal sources .....              | 3           | 2       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....              | 7           | 7       | 7         |
| 25.7 Operation and maintenance of equipment .....               | 4           | 6       | 6         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           |         |           |
| 32.0 Land and structures .....                                  | 2           |         |           |
| 41.0 Grants, subsidies, and contributions .....                 | 3           | 3       | 1         |
| 99.0 Direct obligations .....                                   | 44          | 44      | 41        |
| 99.0 Reimbursable obligations .....                             |             | 1       | 1         |
| 99.9 Total new obligations .....                                | 44          | 45      | 42        |

## Employment Summary

| Identification code 70–0564–0–1–453                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 124         | 133     | 133       |

## RADIOLOGICAL EMERGENCY PREPAREDNESS PROGRAM

The aggregate charges assessed during fiscal year 2014, as authorized in title III of the Departments of Veterans Affairs and Housing and Urban Development, and Independent Agencies Appropriations Act, 1999 (42 U.S.C. 5196e), shall not be less than 100 percent of the amounts anticipated by the Department of Homeland Security necessary for its radiological emergency preparedness program for the next fiscal year: Provided, That the methodology for assessment and collection of fees shall be fair and equitable and shall reflect costs of providing such services, including administrative costs of collecting such fees: Provided further, That fees received under this heading shall be deposited in this account as offsetting collections and will become available for authorized purposes on October 1, 2014, and remain available until September 30, 2016.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

# **RADIOLOGICAL EMERGENCY PREPAREDNESS PROGRAM—Continued**

## **Program and Financing** (in millions of dollars)

| Identification code 70–0715–0–1–453   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Radiological Emergency Preparedness .....  | 34          | 54      | 40        |
| 0802 Reimbursable program activity .....  | 60          | 14      | .....     |
| 0900 Total new obligations .....  | 94          | 68      | 40        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 19          | 28      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....  | 10          | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 29          | 28      | .....     |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700 Collected .....  | 92          | 54      | 41        |
| 1701 Change in uncollected payments, Federal sources .....  | 6           | .....   | .....     |
| 1701 Change in uncollected payments, CSEPP .....  | .....       | –14     | .....     |
| 1702 Offsetting collections (previously unavailable) .....  | 37          | 40      | 40        |
| 1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) ..... | –40         | –40     | –41       |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 95          | 40      | 40        |
| 1930 Total budgetary resources available .....  | 124         | 68      | 40        |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –2          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....   | 28          | .....   | .....     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 112         | 95      | 98        |
| 3010 Obligations incurred, unexpired accounts .....   | 94          | 68      | 40        |
| 3011 Obligations incurred, expired accounts .....   | 9           | .....   | .....     |
| 3020 Outlays (gross) .....  | –105        | –65     | –60       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | –10         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –5          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....  | 95          | 98      | 78        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –127        | –77     | –63       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....  | –6          | 14      | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....  | 56          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....  | –77         | –63     | –63       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | –15         | 18      | 35        |
| 3200 Obligated balance, end of year .....   | 18          | 35      | 15        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 95          | 40      | 40        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 25          | 16      | 16        |
| 4011 Outlays from discretionary balances .....  | 80          | 49      | 44        |
| 4020 Outlays, gross (total) .....   | 105         | 65      | 60        |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | –56         | –14     | .....     |
| 4033 Non-Federal sources .....  | –37         | –40     | –41       |
| 4040 Offsets against gross budget authority and outlays (total) ....  | –93         | –54     | –41       |
| Additional offsets against gross budget authority only:   |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....  | –6          | 14      | .....     |
| 4052 Offsetting collections credited to expired accounts .....  | 1           | .....   | .....     |
| 4060 Additional offsets against budget authority only (total) .....   | –5          | 14      | .....     |
| 4070 Budget authority, net (discretionary) .....  | –3          | .....   | –1        |
| 4080 Outlays, net (discretionary) .....   | 12          | 11      | 19        |
| 4180 Budget authority, net (total) .....  | –3          | .....   | –1        |
| 4190 Outlays, net (total) .....   | 12          | 11      | 19        |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5090 Unavailable balance, SOY: Offsetting collections .....   | 37          | 40      | 40        |
| 5091 Unavailable balance, EOY: Offsetting collections .....   | 40          | 40      | 41        |

The Radiological Emergency Preparedness (REP) program assists State, local and tribal governments in the development of off-site radiological emergency preparedness plans within the emergency planning zones of Nuclear Regulatory Commission (NRC) licensed commercial nuclear power facilities. The fund is

financed from fees assessed and collected from the NRC licensees to cover the costs for radiological emergency planning, preparedness, and response activities in the following year.

## **Object Classification** (in millions of dollars)

| Identification code 70–0715–0–1–453 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 94          | 68      | 40        |

## **Employment Summary**

| Identification code 70–0715–0–1–453                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 157         | 170     | 170       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 18          | 24      | .....     |

# **READINESS, MITIGATION, RESPONSE, AND RECOVERY**

## **Program and Financing** (in millions of dollars)

| Identification code 70–0711–0–1–453                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 17          | .....   | .....     |
| 3011 Obligations incurred, expired accounts .....                 | 14          | .....   | .....     |
| 3020 Outlays (gross) .....  | –16         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –15         | .....   | .....     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –13         | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 13          | .....   | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 4           | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 16          | .....   | .....     |
| 4190 Outlays, net (total) .....                                   | 16          | .....   | .....     |

# **ADMINISTRATIVE AND REGIONAL OPERATIONS**

## **Program and Financing** (in millions of dollars)

| Identification code 70–0712–0–1–453                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Administrative and regional operations .....                 | 2           | 1       | .....     |
| 0900 Total new obligations (object class 25.7) .....              | 2           | 1       | .....     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 2           | 1       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 3           | 1       | .....     |
| 1930 Total budgetary resources available .....                    | 3           | 1       | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 34          | 19      | 4         |
| 3010 Obligations incurred, unexpired accounts .....               | 2           | 1       | .....     |
| 3020 Outlays (gross) .....  | –14         | –16     | –2        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 19          | 4       | 2         |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1           | .....   | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 33          | 19      | 4         |
| 3200 Obligated balance, end of year .....                         | 19          | 4       | 2         |

|  |    |    |   |
|--|----|----|---|
| <b>Budget authority and outlays, net:</b>      |    |    |   |
| Discretionary:                                 |    |    |   |
| Outlays, gross:                                |    |    |   |
| 4011 Outlays from discretionary balances ..... | 14 | 16 | 2 |
| 4190 Outlays, net (total) .....                | 14 | 16 | 2 |

## SALARIES AND EXPENSES

*For necessary expenses of the Federal Emergency Management Agency, \$1,042,382,000, including activities authorized by the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.), the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), the Cerro Grande Fire Assistance Act of 2000 (division C, title I, 114 Stat. 583), the Earthquake Hazards Reduction Act of 1977 (42 U.S.C. 7701 et seq.), the Defense Production Act of 1950 (50 U.S.C. App. 2061 et seq.), sections 107 and 303 of the National Security Act of 1947 (50 U.S.C. 404, 405), Reorganization Plan No. 3 of 1978 (5 U.S.C. App.), the National Dam Safety Program Act (33 U.S.C. 467 et seq.), the Homeland Security Act of 2002 (6 U.S.C. 101 et seq.), and the Post-Katrina Emergency Management Reform Act of 2006 (Public Law 109-295; 120 Stat. 1394): Provided, That not to exceed \$2,500 shall be for official reception and representation expenses: Provided further, That of the total amount made available under this heading, \$27,513,000 shall be for the Urban Search and Rescue Response System, of which no funds may be made available for administrative costs: Provided further, That, of the total amount made available under this heading, \$32,000,000 shall remain available until September 30, 2015, for capital improvements and other expenses related to continuity of operations at the Mount Weather Emergency Operations Center.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70-0700-0-1-999                                |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |  |             |         |           |
| 0005 Administrative and Regional Offices .....                     |  | 256         | 253     | 241       |
| 0006 Preparedness and Protection .....                             |  | 164         | 169     | 294       |
| 0007 Response .....  |  | 190         | 171     | 172       |
| 0008 Recovery .....  |  | 57          | 55      | 55        |
| 0009 Mitigation .....  |  | 31          | 27      | 26        |
| 0010 Mission Support .....   |  | 192         | 153     | 144       |
| 0011 Centrally Managed Accounts .....                              |  | 117         | 177     | 110       |
| 0799 Total direct obligations .....                                |  | 1,007       | 1,005   | 1,042     |
| 0801 Reimbursable program .....                                    |  | 12          | 45      | 55        |
| 0900 Total new obligations .....                                   |  | 1,019       | 1,050   | 1,097     |
| <b>Budgetary Resources:</b>  |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |  | 14          | 13      |           |
| 1021 Recoveries of prior year unpaid obligations .....             |  | 14          |         |           |
| 1050 Unobligated balance (total) .....                             |  | 28          | 13      |           |
| Budget authority:  |  |             |         |           |
| Appropriations, discretionary:                                     |  |             |         |           |
| 1100 Appropriation .....   |  | 895         | 900     | 1,042     |
| 1121 Appropriations transferred from other accts [70-0560] ....    |  | 97          | 92      |           |
| 1160 Appropriation, discretionary (total) .....                    |  | 992         | 992     | 1,042     |
| Spending authority from offsetting collections, discretionary:     |  |             |         |           |
| 1700 Collected .....   |  | 9           | 45      | 55        |
| 1701 Change in uncollected payments, Federal sources .....         |  | 4           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... |  | 13          | 45      | 55        |
| 1900 Budget authority (total) .....                                |  | 1,005       | 1,037   | 1,097     |
| 1930 Total budgetary resources available .....                     |  | 1,033       | 1,050   | 1,097     |
| Memorandum (non-add) entries:                                      |  |             |         |           |
| 1940 Unobligated balance expiring .....                            |  | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |  | 13          |         |           |
| <b>Change in obligated balance:</b>                                |  |             |         |           |
| Unpaid obligations:  |  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              |  | 675         | 554     | 437       |
| 3010 Obligations incurred, unexpired accounts .....                |  | 1,019       | 1,050   | 1,097     |

|   |        |        |        |
|---|--------|--------|--------|
| 3011 Obligations incurred, expired accounts .....                 | 13     |        |        |
| 3020 Outlays (gross) .....  | -1,062 | -1,167 | -1,149 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -14    |        |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -77    |        |        |
| 3050 Unpaid obligations, end of year .....                        | 554    | 437    | 385    |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -16    | -5     |        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -4     |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 15     | 5      |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -5     |        |        |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 659    | 549    | 437    |
| 3200 Obligated balance, end of year .....                         | 549    | 437    | 385    |

|  |       |       |       |
|--|-------|-------|-------|
| <b>Budget authority and outlays, net:</b>                            |       |       |       |
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 1,005 | 1,037 | 1,097 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 613   | 733   | 775   |
| 4011 Outlays from discretionary balances .....                       | 449   | 434   | 374   |
| 4020 Outlays, gross (total) .....                                    | 1,062 | 1,167 | 1,149 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | -16   | -45   | -55   |
| 4033 Non-Federal sources .....                                       | -1    |       |       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -17   | -45   | -55   |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -4    |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 8     |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | 4     |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 992   | 992   | 1,042 |
| 4080 Outlays, net (discretionary) .....                              | 1,045 | 1,122 | 1,094 |
| 4180 Budget authority, net (total) .....                             | 992   | 992   | 1,042 |
| 4190 Outlays, net (total) .....                                      | 1,045 | 1,122 | 1,094 |

Funding for Salaries and Expenses provides for the development and maintenance of an integrated, nationwide capability to prepare for, mitigate against, respond to, and recover from the consequences of terrorist attacks and other major disasters and emergencies, in partnership with other Federal agencies, State and local governments, volunteer organizations and the private sector. Activities also include coordination of Federal homeland security programs affecting State, local, and regional authorities in the National Capital Region, congressional outreach, national security functions, information technology services, and facilities management. In order to provide streamlined accounting and increased oversight in FY 2014, the Salaries and Expenses account includes \$259 million in funding for management and administration of preparedness grants and operating programs that was requested as a transfer from State and Local Programs in previous years. For additional information, please reference the State and Local Programs narrative.

## Object Classification (in millions of dollars)

| Identification code 70-0700-0-1-999                             |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |  |             |         |           |
| Personnel compensation:   |  |             |         |           |
| 11.1 Full-time permanent .....                                  |  | 361         | 408     | 417       |
| 11.3 Other than full-time permanent .....                       |  | 1           |         |           |
| 11.5 Other personnel compensation .....                         |  | 12          | 5       | 4         |
| 11.9 Total personnel compensation .....                         |  | 374         | 413     | 421       |
| 12.1 Civilian personnel benefits .....                          |  | 107         | 119     | 124       |
| 21.0 Travel and transportation of persons .....                 |  | 20          | 16      | 15        |
| 23.1 Rental payments to GSA .....                               |  | 32          | 25      | 25        |
| 23.2 Rental payments to others .....                            |  | 6           |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... |  | 29          | 19      | 35        |
| 24.0 Printing and reproduction .....                            |  | 2           | 1       | 2         |
| 25.1 Advisory and assistance services .....                     |  | 49          | 20      | 17        |
| 25.2 Other services from non-Federal sources .....              |  | 219         | 177     | 208       |
| 25.3 Other goods and services from Federal sources .....        |  | 47          | 24      | 30        |
| 25.4 Operation and maintenance of facilities .....              |  | 8           | 5       | 15        |
| 25.5 Research and development contracts .....                   |  | 1           | 1       |           |
| 25.7 Operation and maintenance of equipment .....               |  | 7           | 4       | 11        |
| 26.0 Supplies and materials .....                               |  | 5           | 5       | 6         |

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

| Identification code 70–0700–0–1–999             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 31.0 Equipment .....                            | 27          | 27      | 27        |
| 32.0 Land and structures .....                  | 31          | 112     | 58        |
| 41.0 Grants, subsidies, and contributions ..... | 43          | 37      | 48        |
| 99.0 Direct obligations .....                   | 1,007       | 1,005   | 1,042     |
| 99.0 Reimbursable obligations .....             | 12          | 45      | 55        |
| 99.9 Total new obligations .....                | 1,019       | 1,050   | 1,097     |

## Employment Summary

| Identification code 70–0700–0–1–999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 3,770       | 4,280   | 4,332     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5           | 5       | 27        |

## NATIONAL PREDISASTER MITIGATION GRANTS

## Program and Financing (in millions of dollars)

| Identification code 70–0701–0–1–453                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 2           | 4       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....               | 3           |         |           |
| 1050 Unobligated balance (total) .....                               | 5           | 4       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –1          | –1      |           |
| 1160 Appropriation, discretionary (total) .....                      | –1          | –1      |           |
| 1930 Total budgetary resources available .....                       | 4           | 3       | 3         |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 4           | 3       | 3         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 7           | 3       | 2         |
| 3020 Outlays (gross) .....   | –1          | –1      | –1        |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 3           | 2       | 1         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 7           | 3       | 2         |
| 3200 Obligated balance, end of year .....                            | 3           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | –1          | –1      |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                       | 1           | 1       | 1         |
| 4180 Budget authority, net (total) .....                             | –1          | –1      |           |
| 4190 Outlays, net (total) .....                                      | 1           | 1       | 1         |

## NATIONAL FLOOD MITIGATION FUND

## Program and Financing (in millions of dollars)

| Identification code 70–0717–0–1–453                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 16          | 8       |           |
| 3020 Outlays (gross) .....                                      | –6          | –8      |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 8           |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 16          | 8       |           |
| 3200 Obligated balance, end of year .....                       | 8           |         |           |

## Budget authority and outlays, net:

|  |   |   |  |
|--|---|---|--|
| Discretionary:                                 |   |   |  |
| Outlays, gross:                                |   |   |  |
| 4011 Outlays from discretionary balances ..... | 6 | 8 |  |
| 4190 Outlays, net (total) .....                | 6 | 8 |  |

## NATIONAL PRE-DISASTER MITIGATION FUND

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0716–0–1–453                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Pre-disaster mitigation .....                                | 77          | 74      | 58        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 174         | 143     | 105       |
| 1021 Recoveries of prior year unpaid obligations .....            | 10          |         |           |
| 1050 Unobligated balance (total) .....                            | 184         | 143     | 105       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 36          | 36      |           |
| 1160 Appropriation, discretionary (total) .....                   | 36          | 36      |           |
| 1930 Total budgetary resources available .....                    | 220         | 179     | 105       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 143         | 105     | 47        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 201         | 225     | 255       |
| 3010 Obligations incurred, unexpired accounts .....               | 77          | 74      | 58        |
| 3020 Outlays (gross) .....  | –43         | –44     | –57       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –10         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 225         | 255     | 256       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 201         | 225     | 255       |
| 3200 Obligated balance, end of year .....                         | 225         | 255     | 256       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 36          | 36      |           |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 2           | 2       |           |
| 4011 Outlays from discretionary balances .....                    | 41          | 42      | 57        |
| 4020 Outlays, gross (total) .....                                 | 43          | 44      | 57        |
| 4180 Budget authority, net (total) .....                          | 36          | 36      |           |
| 4190 Outlays, net (total) .....                                   | 43          | 44      | 57        |

The goal of the National Pre-disaster Mitigation Fund is to support strategic local approaches to sustainable development by coupling hazard mitigation with related community development goals and activities that reduce risks while protecting life, property, and the environment. Funding requested through this program will provide grants funding to State, local, and tribal governments to reduce the risks associated with disasters. Resources support the development and enhancement of hazard mitigation plans, as well as the implementation of pre-disaster mitigation projects. Operating independently of the Disaster Relief Fund (DRF), which provides post-disaster mitigation funding, the Pre-disaster Mitigation program provides a stable, year-to-year funding source for qualified projects that is not dependent upon Presidentially declared disaster activity. No funding is requested; the program will operate exclusively from unobligated prior year appropriated balances.



## Object Classification (in millions of dollars)

| Identification code 70–0716–0–1–453                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 12.1 Civilian personnel benefits .....                 | 1           | .....   | .....     |
| 25.2 Other services from non-Federal sources .....     | 4           | 5       | 4         |
| 41.0 Grants, subsidies, and contributions .....        | 71          | 68      | 53        |
| 99.9 Total new obligations .....                       | 77          | 74      | 58        |

## Employment Summary

| Identification code 70–0716–0–1–453                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 12          | 7       | 3         |

## EMERGENCY FOOD AND SHELTER

To carry out the emergency food and shelter program pursuant to title III of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11331 et seq.), \$100,000,000, to remain available until expended: Provided, That total administrative costs shall not exceed 3.5 percent of the total amount made available under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–0707–0–1–605                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0101 Emergency food and shelter .....                 | 120         | 121     | 100       |
| 0900 Total new obligations (object class 41.0) .....  | 120         | 121     | 100       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 120         | 121     | 100       |
| 1160 Appropriation, discretionary (total) .....       | 120         | 121     | 100       |
| 1930 Total budgetary resources available .....        | 120         | 121     | 100       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 93          | 123     | 18        |
| 3010 Obligations incurred, unexpired accounts .....   | 120         | 121     | 100       |
| 3020 Outlays (gross) .....                            | –90         | –226    | –106      |
| 3050 Unpaid obligations, end of year .....            | 123         | 18      | 12        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 93          | 123     | 18        |
| 3200 Obligated balance, end of year .....             | 123         | 18      | 12        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 120         | 121     | 100       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | .....       | 109     | 90        |
| 4011 Outlays from discretionary balances .....        | 90          | 117     | 16        |
| 4020 Outlays, gross (total) .....                     | 90          | 226     | 106       |
| 4180 Budget authority, net (total) .....              | 120         | 121     | 100       |
| 4190 Outlays, net (total) .....                       | 90          | 226     | 106       |

The Emergency Food and Shelter program provides grants to nonprofit and faith-based organizations at the local level to supplement their programs for emergency food and shelter.

## DISASTER RELIEF FUND

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses in carrying out the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), \$6,220,908,000, to remain available until expended: Provided, That, of the funds provided herein, \$5,626,386,394 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.): Provided further, That the amount for major disasters in the previous proviso is designated by the Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That of the funds provided herein other than for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.), \$24,000,000 shall be transferred to the Department of Homeland Security Office of Inspector General for audits and investigations related to disasters.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 70–0702–0–1–453                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0003 Base/Non-Major Disasters .....                                | 700         | 680     | 571       |
| 0004 Disaster Relief .....   | 6,835       | 18,903  | 7,028     |
| 0799 Total direct obligations .....                                | 7,535       | 19,583  | 7,599     |
| 0801 Reimbursable program activity .....                           | 15          | .....   | .....     |
| 0900 Total new obligations .....                                   | 7,550       | 19,583  | 7,599     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 98          | 1,025   | 1,208     |
| 1021 Recoveries of prior year unpaid obligations .....             | 1,386       | 1,200   | 800       |
| 1050 Unobligated balance (total) .....                             | 1,484       | 2,225   | 2,008     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 7,100       | 18,592  | 6,221     |
| 1120 Appropriations transferred to other accts [70–0200] .....     | –24         | –27     | –24       |
| 1160 Appropriation, discretionary (total) .....                    | 7,076       | 18,565  | 6,197     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 39          | .....   | 1         |
| 1701 Change in uncollected payments, Federal sources .....         | –24         | 1       | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 15          | 1       | 1         |
| 1900 Budget authority (total) .....                                | 7,091       | 18,566  | 6,198     |
| 1930 Total budgetary resources available .....                     | 8,575       | 20,791  | 8,206     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1,025       | 1,208   | 607       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 11,346      | 11,126  | 20,295    |
| 3010 Obligations incurred, unexpired accounts .....                | 7,550       | 19,583  | 7,599     |
| 3020 Outlays (gross) .....   | –6,384      | –9,214  | –11,782   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1,386      | –1,200  | –800      |
| 3050 Unpaid obligations, end of year .....                         | 11,126      | 20,295  | 15,312    |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –24         | .....   | –1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 24          | –1      | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | .....       | –1      | –1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 11,322      | 11,126  | 20,294    |
| 3200 Obligated balance, end of year .....                          | 11,126      | 20,294  | 15,311    |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 7,091       | 18,566  | 6,198     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 2,937       | 6,422   | 2,200     |
| 4011 Outlays from discretionary balances .....                     | 3,447       | 2,792   | 9,582     |
| 4020 Outlays, gross (total) .....                                  | 6,384       | 9,214   | 11,782    |

DISASTER RELIEF FUND—Continued  
Program and Financing—Continued

| Identification code 70–0702–0–1–453                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –2          |         | –1        |
| 4033 Non-Federal sources .....                                       | –37         |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | –39         |         | –1        |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 24          | –1      |           |
| 4070 Budget authority, net (discretionary) .....                     | 7,076       | 18,565  | 6,197     |
| 4080 Outlays, net (discretionary) .....                              | 6,345       | 9,214   | 11,781    |
| 4180 Budget authority, net (total) .....                             | 7,076       | 18,565  | 6,197     |
| 4190 Outlays, net (total) .....                                      | 6,345       | 9,214   | 11,781    |

Through the Disaster Relief Fund (DRF), FEMA provides a significant portion of the total Federal response to Presidentially-declared major disasters and emergencies. Primary assistance programs include Federal assistance to individuals and households, public assistance, and hazard mitigation assistance, which includes the repair and reconstruction of State, local, and non-profit infrastructure.

Beginning in 2012, section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended (BBEDCA) includes a discretionary cap adjustment for disaster relief, facilitating a shift from a reliance on supplemental appropriations. DRF funding has historically been fungible, but the BBEDCA requires that funding appropriated as disaster relief under the cap adjustment go toward declared major disasters only as defined under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act. Cap adjustment funding is not to be used for base/non-major disaster spending on emergency declarations, disaster readiness support activities, pre-disaster surge costs, or Fire Management Assistance Grants. The DRF request can be broken down into four principal components: catastrophic obligations, non-catastrophic obligations, recoveries, and a reserve. The funding required for the catastrophic category (events greater than \$500 million) is based on FEMA spend plans for all prior declared catastrophic events. The Budget does not include additional funding for Hurricane Sandy, because the funding tail could not yet be determined at the time of allocation; however, it should be noted that this event will be reflected in the 2015 Budget. It should also be noted that these figures include no funds for new catastrophic events that may occur in 2014. It is assumed that any new catastrophic events in 2014 will be funded with emergency supplemental funding requests as provided for in the BBEDCA. The non-catastrophic funding level is based on a new approach that uses the ten-year average for non-catastrophic events. As opposed to the method used in previous years that utilized the five-year average, this approach provides a more realistic projection of non-catastrophic needs in 2014. The recoveries figure represents an estimate of the amount that FEMA will recover and de-obligate from prior projects. The 2013 Budget included a \$500 million reserve. Given the uncertainty over whether this reserve will be used in 2013, an additional \$500 million is again included for a reserve in 2014.

## Object Classification (in millions of dollars)

| Identification code 70–0702–0–1–453       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                       |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 167         | 357     | 359       |
| 11.3 Other than full-time permanent ..... | 158         | 285     | 287       |
| 11.5 Other personnel compensation .....   | 59          | 71      | 72        |
| 11.9 Total personnel compensation .....   | 384         | 713     | 718       |

|   |       |        |       |
|---|-------|--------|-------|
| 12.1 Civilian personnel benefits .....                          | 87    | 277    | 279   |
| 13.0 Benefits for former personnel .....                        | 18    | 24     | 26    |
| 21.0 Travel and transportation of persons .....                 | 186   | 332    | 177   |
| 22.0 Transportation of things .....                             | 36    | 123    | 41    |
| 23.1 Rental payments to GSA .....                               | 70    | 60     | 60    |
| 23.2 Rental payments to others .....                            | 5     | 5      | 5     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 44    | 52     | 47    |
| 24.0 Printing and reproduction .....                            | 1     | 2      | 2     |
| 25.1 Advisory and assistance services .....                     | 54    | 35     | 30    |
| 25.2 Other services from non-Federal sources .....              | 219   | 598    | 263   |
| 25.3 Other goods and services from Federal sources .....        | 387   | 615    | 464   |
| 25.4 Operation and maintenance of facilities .....              | 27    | 18     | 18    |
| 25.7 Operation and maintenance of equipment .....               | 14    | 10     | 10    |
| 25.8 Subsistence and support of persons .....                   | 1     |        |       |
| 26.0 Supplies and materials .....                               | 49    | 63     | 59    |
| 31.0 Equipment .....  | 28    | 133    | 42    |
| 32.0 Land and structures .....                                  | 3     | 6      | 6     |
| 41.0 Grants, subsidies, and contributions .....                 | 5,922 | 16,516 | 5,351 |
| 99.0 Direct obligations .....                                   | 7,535 | 19,582 | 7,598 |
| 99.0 Reimbursable obligations .....                             | 15    | 1      | 1     |
| 99.9 Total new obligations .....                                | 7,550 | 19,583 | 7,599 |

## Employment Summary

| Identification code 70–0702–0–1–453                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 5,569       | 7,917   | 7,134     |

## NATIONAL FLOOD INSURANCE FUND

For activities under the National Flood Insurance Act of 1968 (42 U.S.C. 4001 et seq.) and the Flood Disaster Protection Act of 1973 (42 U.S.C. 4001 et seq.), the Biggert-Waters Flood Insurance Reform Act of 2012, Public Law 112–141, \$176,300,000, which shall remain available until September 30, 2015, and shall be derived from offsetting amounts collected under section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)), which is available for salaries and expenses associated with flood mitigation and flood insurance operations; and floodplain management and additional amounts for flood mapping: Provided, That \$22,000,000 shall be available for salaries and expenses associated with flood mitigation and flood insurance operations; and \$154,300,000 shall be available for flood plain management and flood mapping: Provided further, That any additional fees collected pursuant to section 1308(d) of the National Flood Insurance Act of 1968 (42 U.S.C. 4015(d)) shall be credited as an offsetting collection to this account, to be available for flood plain management and flood mapping: Provided further, That in fiscal year 2014, no funds shall be available from the National Flood Insurance Fund under section 1310 of that Act (42 U.S.C. 4017) in excess of:

- (1) \$132,000,000 for operating expenses;
- (2) \$1,152,000,000 for commissions and taxes of agents;
- (3) such sums as are necessary for interest on Treasury borrowings; and

(4) \$100,000,000, which shall remain available until expended, for flood mitigation actions and for flood mitigation assistance under section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c), notwithstanding subparagraphs (B) and (C) of subsection (b)(3) and subsection (f) of section 1366 of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c) and notwithstanding subsection (a)(7) of section 1310 of the National Flood Insurance Act of 1968 (42 U.S.C. 4017): Provided further, That the amounts collected under section 102 of the Flood Disaster Protection Act of 1973 (42 U.S.C. 4012a) and section 1366(e) of the National Flood Insurance Act of 1968 shall be deposited in the National Flood Insurance Fund to supplement other amounts specified as available for section 1366 of the National Insurance Act of 1968, notwithstanding subsection (f)(8) of such section 102 (42 U.S.C. 4012a(f)(8) and subsection 1366(e) and paragraphs (2) through (4) of section 1367(b) of the National Flood Insurance Act of 1968 (42 U.S.C. 4104c(e), 4104d(b)(2)–(3)): Provided further, That total administrative costs shall not exceed 4 percent of the total appropriation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 70–4236–0–3–453                            |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |  |             |         |           |
| 0801   | NFIP Mandatory - Insurance .....   | 3,579       | 14,930  | 4,783     |
| 0802   | Flood Mitigation and Flood Insurance Operations .....                      | 16          | 22      | 22        |
| 0803   | Floodplain Management and Flood Mapping .....                              | 157         | 149     | 154       |
| 0804   | Flood Mitigation Grants .....  | 86          | 120     | 100       |
| 0900   | Total new obligations .....  | 3,838       | 15,221  | 5,059     |
| <b>Budgetary Resources:</b>                                    |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 2,121       | 1,480   | 403       |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....                 | 11          | 9       | .....     |
| 1020   | Adjustment of unobligated bal brought forward, Oct 1 .....                 | -275        | -1,077  | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....                          | 30          | .....   | .....     |
| 1050   | Unobligated balance (total) .....  | 1,876       | 403     | 403       |
| Budget authority:  |  |             |         |           |
| Borrowing authority, mandatory:                                |  |             |         |           |
| 1400   | Borrowing authority (Available) .....                                      | .....       | 3,025   | .....     |
| 1400   | Borrowing authority (Request) .....  | .....       | 8,700   | 1,000     |
| 1440   | Borrowing authority, mandatory (total) .....                               | .....       | 11,725  | 1,000     |
| Spending authority from offsetting collections, discretionary: |  |             |         |           |
| 1700   | Collected .....  | 165         | 171     | 176       |
| 1750   | Spending auth from offsetting collections, disc (total) .....              | 165         | 171     | 176       |
| Spending authority from offsetting collections, mandatory:     |  |             |         |           |
| 1800   | Offsetting collections (Claims Expense) .....                              | 3,352       | 3,325   | 3,526     |
| 1825   | Spending authority from offsetting collections applied to repay debt ..... | -75         | .....   | .....     |
| 1850   | Spending auth from offsetting collections, mand (total) .....              | 3,277       | 3,325   | 3,526     |
| 1900   | Budget authority (total) .....   | 3,442       | 15,221  | 4,702     |
| 1930   | Total budgetary resources available .....                                  | 5,318       | 15,624  | 5,105     |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                           | 1,480       | 403     | 46        |
| <b>Change in obligated balance:</b>                            |  |             |         |           |
| Unpaid obligations:  |  |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                           | 474         | 600     | 600       |
| 3010   | Obligations incurred, unexpired accounts .....                             | 3,838       | 15,221  | 5,059     |
| 3020   | Outlays (gross) .....  | -3,681      | -15,221 | -5,059    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....               | -30         | .....   | .....     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....                 | -1          | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                                      | 600         | 600     | 600       |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 3100   | Obligated balance, start of year .....                                     | 474         | 600     | 600       |
| 3200   | Obligated balance, end of year .....                                       | 600         | 600     | 600       |
| <b>Budget authority and outlays, net:</b>                      |  |             |         |           |
| Discretionary:   |  |             |         |           |
| 4000   | Budget authority, gross .....  | 165         | 171     | 176       |
| Outlays, gross:  |  |             |         |           |
| 4010   | Outlays from new discretionary authority .....                             | 95          | 82      | 84        |
| 4011   | Outlays from discretionary balances .....                                  | 84          | 89      | 92        |
| 4020   | Outlays, gross (total) .....   | 179         | 171     | 176       |
| Offsets against gross budget authority and outlays:            |  |             |         |           |
| Offsetting collections (collected) from:                       |  |             |         |           |
| 4033   | Non-Federal sources .....  | -165        | -171    | -176      |
| Mandatory:   |  |             |         |           |
| 4090   | Budget authority, gross .....  | 3,277       | 15,050  | 4,526     |
| Outlays, gross:  |  |             |         |           |
| 4100   | Outlays from new mandatory authority .....                                 | 3,467       | 14,588  | 4,365     |
| 4101   | Outlays from mandatory balances .....                                      | 35          | 462     | 518       |
| 4110   | Outlays, gross (total) .....   | 3,502       | 15,050  | 4,883     |
| Offsets against gross budget authority and outlays:            |  |             |         |           |
| Offsetting collections (collected) from:                       |  |             |         |           |
| 4123   | Non-Federal sources .....  | -3,352      | -3,325  | -3,526    |
| 4180   | Budget authority, net (total) .....  | -75         | 11,725  | 1,000     |
| 4190   | Outlays, net (total) .....   | 164         | 11,725  | 1,357     |

The National Flood Insurance Act of 1968, as amended, authorizes the Federal Government to provide flood insurance on a national basis. Flood insurance may be sold or continued in force only in communities which enact and enforce appropriate floodplain management measures. Communities must participate in the program within one year of the time they are identified as flood-prone in order to be eligible for flood insurance and some

forms of Federal financial assistance for acquisition or construction purposes. In addition, Federally regulated funding institutions cannot provide loans to non-participating communities with an identified flood hazard.

The Budget Request assumes collection of all of the administrative and program costs associated with flood insurance activities from policy holders. In addition, funding from premium collections will be used to support repetitive loss mitigation. Under the emergency program, structures in identified flood-prone areas are eligible for limited amounts of coverage at subsidized insurance rates. Under the regular program, studies must be made of different flood risks in flood-prone areas to establish actuarial premium rates. These rates are charged for insurance on new construction. Coverage is available on virtually all types of buildings and their contents in amounts up to \$350,000 for residential and \$1,000,000 for other types.

This account also provides funding for flood mitigation activities that reduce the risk of flood damage to structures insurable under the National Flood Insurance Program. These activities provide flood mitigation assistance planning support to States and communities through the Flood Mitigation Assistance grant program.

## Balance Sheet (in millions of dollars)

| Identification code 70–4236–0–3–453 |  | 2011 actual | 2012 actual |
|-------------------------------------|--|-------------|-------------|
| <b>ASSETS:</b>                      |  |             |             |
| 1101                                | Federal assets: Fund balances with Treasury .....          | 1,165       | 1,002       |
| Non-Federal assets:                 |  |             |             |
| 1206                                | Receivables, net .....                                     | 2           | 3           |
| 1207                                | Advances and prepayments .....                             | 533         | 526         |
| 1801                                | Other Federal assets: Cash and other monetary assets ..... | 33          | 100         |
| 1999                                | Total assets .....   | 1,733       | 1,631       |
| <b>LIABILITIES:</b>                 |  |             |             |
| 2103                                | Federal liabilities: Debt .....                            | 17,750      | 17,750      |
| Non-Federal liabilities:            |  |             |             |
| 2201                                | Accounts payable .....                                     | 86          | 64          |
| 2207                                | Other .....  | 5,511       | 2,783       |
| 2999                                | Total liabilities .....                                    | 23,347      | 20,597      |
| <b>NET POSITION:</b>                |  |             |             |
| 3300                                | Cumulative results of operations .....                     | .....       | -18,966     |
| 4999                                | Total liabilities and net position .....                   | 23,347      | 1,631       |

## Object Classification (in millions of dollars)

| Identification code 70–4236–0–3–453 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Reimbursable obligations:           |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 31          | 33      | 34        |
| 11.5                                | Other personnel compensation .....                         | 1           | .....   | .....     |
| 11.9                                | Total personnel compensation .....                         | 32          | 33      | 34        |
| 12.1                                | Civilian personnel benefits .....                          | 9           | 9       | 10        |
| 21.0                                | Travel and transportation of persons .....                 | 2           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 2           | 3       | 3         |
| 23.2                                | Rental payments to others .....                            | 1           | .....   | .....     |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2           | 1       | 1         |
| 24.0                                | Printing and reproduction .....                            | 5           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....                     | 73          | 10      | 10        |
| 25.2                                | Other services from non-Federal sources .....              | 211         | 2,714   | 2,062     |
| 25.3                                | Other goods and services from Federal sources .....        | 882         | 3       | .....     |
| 26.0                                | Supplies and materials .....                               | .....       | 1       | .....     |
| 31.0                                | Equipment .....  | .....       | 1       | .....     |
| 41.0                                | Grants, subsidies, and contributions .....                 | 85          | 183     | 106       |
| 42.0                                | Insurance claims and indemnities .....                     | 2,445       | 12,172  | 2,684     |
| 43.0                                | Interest and dividends .....                               | 89          | 89      | 147       |
| 99.9                                | Total new obligations .....                                | 3,838       | 15,221  | 5,059     |

NATIONAL FLOOD INSURANCE FUND—Continued  
Employment Summary

| Identification code 70–4236–0–3–453                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 315         | 327     | 341       |

NATIONAL FLOOD INSURANCE RESERVE FUND  
Special and Trust Fund Receipts (in millions of dollars)

| Identification code 70–5701–0–2–453                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                      |             |         |           |
| Receipts:  |             |         |           |
| 0220 Fees, National Flood Insurance Reserve Fund ..... |             |         | 162       |
| 0400 Total: Balances and collections .....             |             |         | 162       |
| Appropriations:  |             |         |           |
| 0500 National Flood Insurance Reserve Fund .....       |             |         | –162      |
| 0799 Balance, end of year .....                        |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 70–5701–0–2–453                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 |             |         | 162       |
| 1260 Appropriations, mandatory (total) .....                     |             |         | 162       |
| 1930 Total budgetary resources available .....                   |             |         | 162       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            |             |         | 162       |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               |             |         | 162       |
| 4180 Budget authority, net (total) .....                         |             |         | 162       |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5001 Total investments, EOY: Federal securities: Par value ..... |             |         | 162       |

As directed by the Biggert-Waters Flood Insurance Reform Act of 2012, FEMA has established a Reserve Fund for the National Flood Insurance Program to be available for meeting the expected future obligations of the program, to include payment of claims, claims adjustment expenses, and the repayment of amounts outstanding under any note or obligation issued.

## DISASTER ASSISTANCE DIRECT LOAN PROGRAM ACCOUNT

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 70–0703–0–1–453                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| Credit program obligations:                               |             |         |           |
| 0701 Direct loan subsidy .....                            | 4           | 336     |           |
| 0706 Interest on reestimates of direct loan subsidy ..... |             | 22      |           |
| 0709 Administrative expenses .....                        | 1           | 4       |           |
| 0900 Total new obligations (object class 25.2) .....      | 5           | 362     |           |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Unobligated balance:                                      |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....     | 52          | 242     | 202       |

|  |     |     |     |
|--|-----|-----|-----|
| 1021 Recoveries of prior year unpaid obligations ..... | 195 |     |     |
| 1050 Unobligated balance (total) .....                 | 247 | 242 | 202 |
| Budget authority:                                      |     |     |     |
| Appropriations, discretionary:                         |     |     |     |
| 1100 Appropriation .....                               |     | 300 |     |
| 1160 Appropriation, discretionary (total) .....        |     | 300 |     |
| Appropriations, mandatory:                             |     |     |     |
| 1200 Appropriation .....                               |     | 22  |     |
| 1260 Appropriations, mandatory (total) .....           |     | 22  |     |
| 1900 Budget authority (total) .....                    |     | 322 |     |
| 1930 Total budgetary resources available .....         | 247 | 564 | 202 |
| Memorandum (non-add) entries:                          |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....  | 242 | 202 | 202 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 208  | 17   | 137  |
| 3010 Obligations incurred, unexpired accounts .....               | 5    | 362  |      |
| 3020 Outlays (gross) .....  | –1   | –242 | –136 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –195 |      |      |
| 3050 Unpaid obligations, end of year .....                        | 17   | 137  | 1    |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 208  | 17   | 137  |
| 3200 Obligated balance, end of year .....                         | 17   | 137  | 1    |

## Budget authority and outlays, net:

|   |   |     |     |
|---|---|-----|-----|
| Discretionary:                                      |   |     |     |
| 4000 Budget authority, gross .....                  |   | 300 |     |
| Outlays, gross:                                     |   |     |     |
| 4010 Outlays from new discretionary authority ..... |   | 150 |     |
| 4011 Outlays from discretionary balances .....      | 1 | 70  | 136 |
| 4020 Outlays, gross (total) .....                   | 1 | 220 | 136 |
| Mandatory:  |   |     |     |
| 4090 Budget authority, gross .....                  |   | 22  |     |
| Outlays, gross:                                     |   |     |     |
| 4100 Outlays from new mandatory authority .....     |   | 22  |     |
| 4180 Budget authority, net (total) .....            |   | 322 |     |
| 4190 Outlays, net (total) .....                     | 1 | 242 | 136 |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 70–0703–0–1–453                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 States share program .....                           |             | 25      |           |
| 115002 Community disaster loan program .....                | 5           | 367     |           |
| 115999 Total direct loan levels .....                       | 5           | 392     |           |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 States share program .....                           |             | –1.51   |           |
| 132002 Community disaster loan program .....                | 86.06       | 91.63   |           |
| 132999 Weighted average subsidy rate .....                  | 86.06       | 85.69   |           |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 States share program .....                           |             | –1      |           |
| 133002 Community disaster loan program .....                | 4           | 336     |           |
| 133999 Total subsidy budget authority .....                 | 4           | 335     |           |
| Direct loan subsidy outlays:                                |             |         |           |
| 134002 Community disaster loan program .....                |             | 182     | 101       |
| 134999 Total subsidy outlays .....                          |             | 182     | 101       |
| Direct loan upward reestimates:                             |             |         |           |
| 135003 Special community disaster loans .....               |             | 22      |           |
| 135999 Total upward reestimate budget authority .....       |             | 22      |           |
| Direct loan downward reestimates:                           |             |         |           |
| 137002 Community disaster loan program .....                |             | –21     |           |
| 137003 Special community disaster loans .....               | –327        | –5      |           |
| 137999 Total downward reestimate budget authority .....     | –327        | –26     |           |
| Administrative expense data:                                |             |         |           |
| 3510 Budget authority .....                                 |             | 4       |           |
| 3590 Outlays from new authority .....                       |             | 2       |           |

Disaster assistance loans authorized by the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.) are loans to States for the non-Federal portion of cost-sharing funds and community disaster loans to local governments incurring substantial loss of tax and other revenues as a result of a major disaster. As required by the Federal Credit Reform

Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans). The subsidy amounts are estimated on a present value basis. Loan activity prior to 1992, which is budgeted for on a cash basis, totals less than \$500,000 in every year and is not presented separately.

### DISASTER ASSISTANCE DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 70-4234-0-3-453   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 5           | 392     | .....     |
| 0713 Payment of interest to Treasury .....                                      | 15          | .....   | .....     |
| 0740 Negative subsidy obligations .....   | .....       | 1       | .....     |
| 0742 Downward reestimate paid to receipt account .....                          | 252         | 23      | .....     |
| 0743 Interest on downward reestimates .....                                     | 76          | 4       | .....     |
| 0900 Total new obligations .....  | 348         | 420     | .....     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 33          | .....   | 358       |
| 1021 Recoveries of prior year unpaid obligations .....                          | 195         | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 228         | .....   | 358       |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 322         | 344     | .....     |
| 1440 Borrowing authority, mandatory (total) .....                               | 322         | 344     | .....     |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 8           | 266     | 164       |
| 1801 Change in uncollected payments, Federal sources .....                      | -207        | 168     | .....     |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -3          | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....              | -202        | 434     | 164       |
| 1900 Financing authority (total) .....  | 120         | 778     | 164       |
| 1930 Total budgetary resources available .....                                  | 348         | 778     | 522       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | .....       | 358     | 522       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 208         | 17      | 238       |
| 3010 Obligations incurred, unexpired accounts .....                             | 348         | 420     | .....     |
| 3020 Financing disbursements (gross) .....                                      | -344        | -199    | -110      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -195        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                      | 17          | 238     | 128       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -223        | -16     | -184      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | 207         | -168    | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -16         | -184    | -184      |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | -15         | 1       | 54        |
| 3200 Obligated balance, end of year .....                                       | 1           | 54      | -56       |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 120         | 778     | 164       |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 344         | 199     | 110       |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources .....  | .....       | -204    | -101      |
| 4123 Non-Federal sources .....  | -8          | -62     | -63       |
| 4130 Offsets against gross financing auth and disbursements (total) .....       | -8          | -266    | -164      |
| Additional offsets against financing authority only (total):                    |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....                  | 207         | -168    | .....     |
| 4160 Financing authority, net (mandatory) .....                                 | 319         | 344     | .....     |
| 4170 Financing disbursements, net (mandatory) .....                             | 336         | -67     | -54       |
| 4180 Financing authority, net (total) .....                                     | 319         | 344     | .....     |
| 4190 Financing disbursements, net (total) .....                                 | 336         | -67     | -54       |

#### Status of Direct Loans (in millions of dollars)

| Identification code 70-4234-0-3-453                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 25          | 425     | .....     |
| 1121 Limitation available from carry-forward .....                     | 49          | 44      | 77        |
| 1142 Unobligated direct loan limitation (-) .....                      | -25         | .....   | .....     |
| 1143 Unobligated limitation carried forward (P.L. xx) (-) .....        | -44         | -77     | -77       |
| 1150 Total direct loan obligations .....                               | 5           | 392     | .....     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 423         | 423     | 558       |
| 1231 Disbursements: Direct loan disbursements .....                    | 12          | 199     | 110       |
| 1251 Repayments: Repayments and prepayments .....                      | -7          | -32     | -7        |
| 1264 Write-offs for default: Other adjustments, net (+ or -) .....     | -5          | -32     | -1        |
| 1290 Outstanding, end of year .....                                    | 423         | 558     | 660       |

As required by the Federal Credit Reform Act of 1990, this nonbudgetary account records, for this program, all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans). The amounts in this account are a means of financing and are not included in the budget totals.

#### Balance Sheet (in millions of dollars)

| Identification code 70-4234-0-3-453                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 17          | 17          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 423         | 423         |
| 1402 Interest receivable .....                                    | 54          | 54          |
| 1405 Allowance for subsidy cost (-) .....                         | -467        | -467        |
| 1499 Net present value of assets related to direct loans .....    | 10          | 10          |
| 1999 Total assets .....   | 27          | 27          |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Debt .....   | 4           | 4           |
| 2104 Resources payable to Treasury .....                          | 7           | 7           |
| 2207 Non-Federal liabilities: Other .....                         | 16          | 16          |
| 2999 Total liabilities .....                                      | 27          | 27          |
| 4999 Total liabilities and net position .....                     | 27          | 27          |

## SCIENCE AND TECHNOLOGY

### Federal Funds

#### RESEARCH, DEVELOPMENT, ACQUISITION, AND OPERATIONS

*For necessary expenses for science and technology research, including advanced research projects, development, test and evaluation, acquisition, and operations as authorized by title III of the Homeland Security Act of 2002 (6 U.S.C. 181 et seq.), and the purchase or lease of not to exceed 5 vehicles, \$1,397,488,000, of which \$539,703,000, to remain available until September 30, 2016; and of which \$857,595,000, to remain available until September 30, 2018, solely for operation and construction of laboratory facilities.*

#### MANAGEMENT AND ADMINISTRATION

*For salaries and expenses of the Office of the Under Secretary for Science and Technology and for management and administration of programs and activities, as authorized by title III of the Homeland Security Act of 2002 (6 U.S.C. 181 et seq.), \$129,608,000: Provided, That not to exceed \$8,500 shall be for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

RESEARCH, DEVELOPMENT, ACQUISITIONS AND OPERATIONS—Continued  
Program and Financing (in millions of dollars)

| Identification code 70-0800-0-1-751                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Management and Administration .....                             | 135         | 136     | 130       |
| 0002 Research, Development, Acquisition, and Operations .....        | 541         | 585     | 1,295     |
| 0799 Total direct obligations .....                                  | 676         | 721     | 1,425     |
| 0801 Reimbursable program .....                                      | 116         | 158     | 127       |
| 0900 Total new obligations .....                                     | 792         | 879     | 1,552     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 49          | 111     | 42        |
| 1011 Unobligated balance transfer from other accts [70-0550] ....    | 5           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 46          |         |           |
| 1050 Unobligated balance (total) .....                               | 100         | 111     | 42        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 668         | 675     | 1,527     |
| 1160 Appropriation, discretionary (total) .....                      | 668         | 675     | 1,527     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 92          | 92      | 127       |
| 1701 Change in uncollected payments, Federal sources .....           | 43          | 43      |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 135         | 135     | 127       |
| 1900 Budget authority (total) .....                                  | 803         | 810     | 1,654     |
| 1930 Total budgetary resources available .....                       | 903         | 921     | 1,696     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 111         | 42      | 144       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1,089       | 842     | 805       |
| 3010 Obligations incurred, unexpired accounts .....                  | 792         | 879     | 1,552     |
| 3020 Outlays (gross) .....   | -987        | -916    | -1,247    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -46         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -6          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 842         | 805     | 1,110     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -220        | -167    | -114      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -43         | -43     |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 96          | 96      |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -167        | -114    | -114      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 869         | 675     | 691       |
| 3200 Obligated balance, end of year .....                            | 675         | 691     | 996       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 803         | 810     | 1,654     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 245         | 296     | 548       |
| 4011 Outlays from discretionary balances .....                       | 742         | 620     | 699       |
| 4020 Outlays, gross (total) .....                                    | 987         | 916     | 1,247     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -179        | -179    | -125      |
| 4033 Non-Federal sources .....                                       | -2          | -2      | -2        |
| 4040 Offsets against gross budget authority and outlays (total) .... | -181        | -181    | -127      |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -43         | -43     |           |
| 4052 Offsetting collections credited to expired accounts .....       | 89          | 89      |           |
| 4060 Additional offsets against budget authority only (total) .....  | 46          | 46      |           |
| 4070 Budget authority, net (discretionary) .....                     | 668         | 675     | 1,527     |
| 4080 Outlays, net (discretionary) .....                              | 806         | 735     | 1,120     |
| 4180 Budget authority, net (total) .....                             | 668         | 675     | 1,527     |
| 4190 Outlays, net (total) .....                                      | 806         | 735     | 1,120     |

Appropriations in this title support the advance of homeland security through applied research; fabrication of technology demonstration devices; development and testing of standards; development and testing of prototypes and full-scale pre-production hardware; and the procurement of products, systems, and other capital equipment necessary to meet the missions of the

Department of Homeland Security and the activities of the Science and Technology Directorate. Contractors, government laboratories and facilities, universities, and nonprofit organizations support this work. Funding is also provided for the operations, maintenance, and construction of laboratory facilities.

The 2014 Budget provides for major technology and development efforts, including detection, destruction, disposal, and mitigation of chemical and biological agents and explosives. Funding is provided to develop technology to mitigate and prepare for natural disasters and to improve cyber security. Funding is also provided for the testing and evaluation of technologies, systems, and processes developed to counter these threats; acquisition of equipment and operations needed to field those technologies, systems, and processes; as well as others that may be available without further development, as part of the counter-WMD, counter-terror, and preparation for/response to natural disaster activities of the Department. Funding for Laboratory Facilities (including construction) will be available for obligation for five years. Funding for all other Research, Development, Acquisition, and Operations programs will be available for obligation for three years.

## Object Classification (in millions of dollars)

| Identification code 70-0800-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 53          | 53      | 55        |
| 11.3 Other than full-time permanent .....                       | 3           | 3       | 4         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 2         |
| 11.9 Total personnel compensation .....                         | 57          | 57      | 61        |
| 12.1 Civilian personnel benefits .....                          | 15          | 15      | 15        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 15          | 15      | 13        |
| 25.1 Advisory and assistance services .....                     | 178         | 111     | 65        |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 3         |
| 25.3 Other goods and services from Federal sources .....        | 98          | 98      | 83        |
| 25.4 Operation and maintenance of facilities .....              | 4           | 7       | 7         |
| 25.5 Research and development contracts .....                   | 250         | 291     | 390       |
| 25.7 Operation and maintenance of equipment .....               | 4           | 4       | 3         |
| 26.0 Supplies and materials .....                               | 7           | 7       | 6         |
| 31.0 Equipment .....  | 3           | 3       | 4         |
| 32.0 Land and structures .....                                  |             | 68      | 743       |
| 41.0 Grants, subsidies, and contributions .....                 | 39          | 39      | 28        |
| 99.0 Direct obligations .....                                   | 676         | 721     | 1,425     |
| 99.0 Reimbursable obligations .....                             | 116         | 158     | 127       |
| 99.9 Total new obligations .....                                | 792         | 879     | 1,552     |

## Employment Summary

| Identification code 70-0800-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 459         | 491     | 467       |

## DOMESTIC NUCLEAR DETECTION OFFICE

## Federal Funds

## MANAGEMENT AND ADMINISTRATION

*For salaries and expenses of the Domestic Nuclear Detection Office, as authorized by title XIX of the Homeland Security Act of 2002 (6 U.S.C. 591 et seq.), for management and administration of programs and activities, \$37,510,000: Provided, That not to exceed \$2,500 shall be for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70–0861–0–1–751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Management and Administration .....  | 38          | 38      | 38        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....  | 1           |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 38          | 38      | 38        |
| 1131 Unobligated balance of appropriations permanently reduced .....            | –1          |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 37          | 38      | 38        |
| 1930 Total budgetary resources available .....                                  | 38          | 38      | 38        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 19          | 18      | 10        |
| 3010 Obligations incurred, unexpired accounts .....                             | 38          | 38      | 38        |
| 3020 Outlays (gross) .....  | –38         | –46     | –39       |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 18          | 10      | 9         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 19          | 18      | 10        |
| 3200 Obligated balance, end of year .....                                       | 18          | 10      | 9         |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 37          | 38      | 38        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 28          | 29      | 29        |
| 4011 Outlays from discretionary balances .....                                  | 10          | 17      | 10        |
| 4020 Outlays, gross (total) .....   | 38          | 46      | 39        |
| 4180 Budget authority, net (total) .....  | 37          | 38      | 38        |
| 4190 Outlays, net (total) .....   | 38          | 46      | 39        |

This account supports the personnel and related administrative costs for the Domestic Nuclear Detection Office (DNDO). The DNDO is a jointly-staffed office established to improve the Nation's capability to detect and interdict unauthorized attempts to import, possess, store, develop, or transport nuclear or radiological material for use against the Nation. The Management and Administration request includes most contributions to the DHS Working Capital Fund. Management and Administration funds will be available for obligation until the end of the fiscal year.

**Object Classification** (in millions of dollars)

| Identification code 70–0861–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 14          | 17      | 17        |
| 11.3 Other than full-time permanent .....                       | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 15          | 17      | 17        |
| 12.1 Civilian personnel benefits .....                          | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               |             | 4       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           |         |           |
| 25.1 Advisory and assistance services .....                     | 2           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 15          | 11      | 10        |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 38          | 38      | 38        |

**Employment Summary**

| Identification code 70–0861–0–1–751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 101         | 130     | 127       |

**RESEARCH, DEVELOPMENT, AND OPERATIONS**

*For necessary expenses for radiological and nuclear research, development, testing, evaluation, and operations, \$211,210,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 70–0860–0–1–751                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Research, Development, and Operations .....                  | 231         | 216     | 211       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 12          | 17      | 17        |
| 1021 Recoveries of prior year unpaid obligations .....            | 21          |         |           |
| 1050 Unobligated balance (total) .....                            | 33          | 17      | 17        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 215         | 216     | 211       |
| 1160 Appropriation, discretionary (total) .....                   | 215         | 216     | 211       |
| 1900 Budget authority (total) .....                               | 215         | 216     | 211       |
| 1930 Total budgetary resources available .....                    | 248         | 233     | 228       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 17          | 17      | 17        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 267         | 231     | 77        |
| 3010 Obligations incurred, unexpired accounts .....               | 231         | 216     | 211       |
| 3020 Outlays (gross) .....  | –246        | –370    | –213      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –21         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 231         | 77      | 75        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 267         | 231     | 77        |
| 3200 Obligated balance, end of year .....                         | 231         | 77      | 75        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 215         | 216     | 211       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 49          | 151     | 148       |
| 4011 Outlays from discretionary balances .....                    | 197         | 219     | 65        |
| 4020 Outlays, gross (total) .....                                 | 246         | 370     | 213       |
| 4180 Budget authority, net (total) .....                          | 215         | 216     | 211       |
| 4190 Outlays, net (total) .....                                   | 246         | 370     | 213       |

This account supports the engineering, operational, research, development, and technical nuclear forensics programs of the Domestic Nuclear Detection Office (DNDO). DNDO is dedicated to both the development and enhancement of the Global Nuclear Detection Architecture (GNDA); development of advanced nuclear detection systems; coordination of effective sharing of nuclear detection-related information; coordination of nuclear detection development; technical nuclear forensics; and the establishment of procedures and training for end users of nuclear detection equipment. The 2014 Budget provides for a systems development program that is responsive to commercial-first systems development approaches while providing near-term technical solutions addressing pressing operational requirements. Funding is provided for the test and evaluation of all developed systems prior to acquisition decisions, ensuring that a full systems characterization has been conducted prior to any deployments. The budget supports transformational research and development providing break-through technologies that address gaps in the GNDA and have a positive impact on capabilities to detect nuclear threats. Funding will be allocated for operational support programs that provide information analysis and situational aware-

RESEARCH, DEVELOPMENT, AND OPERATIONS—Continued  
ness, technical support, training curricula, and response protocols to field-users. Research, Development, and Operations funds for each fiscal year will be available for obligation for three years.

**Object Classification** (in millions of dollars)

| Identification code 70-0860-0-1-751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 3         |
| 25.1 Advisory and assistance services .....              | 36          | 23      | 41        |
| 25.3 Other goods and services from Federal sources ..... | 122         | 107     | 84        |
| 25.5 Research and development contracts .....            | 60          | 77      | 80        |
| 25.7 Operation and maintenance of equipment .....        | 1           | .....   | .....     |
| 41.0 Grants, subsidies, and contributions .....          | 11          | 8       | 3         |
| 99.9 Total new obligations .....                         | 231         | 216     | 211       |

**SYSTEMS ACQUISITION**

*For expenses for the Domestic Nuclear Detection Office acquisition and deployment of radiological detection systems in accordance with the global nuclear detection architecture, \$42,600,000, to remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 70-0862-0-1-751                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Systems Acquisition .....                                    | 52          | 37      | 42        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 18          | 4       | 8         |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 19          | 4       | 8         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 37          | 37      | 42        |
| 1100 Sandy Supplemental .....                                     | .....       | 4       | .....     |
| 1160 Appropriation, discretionary (total) .....                   | 37          | 41      | 42        |
| 1900 Budget authority (total) .....                               | 37          | 41      | 42        |
| 1930 Total budgetary resources available .....                    | 56          | 45      | 50        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 4           | 8       | 8         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 132         | 120     | 24        |
| 3010 Obligations incurred, unexpired accounts .....               | 52          | 37      | 42        |
| 3020 Outlays (gross) .....  | -63         | -133    | -39       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 120         | 24      | 27        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 132         | 120     | 24        |
| 3200 Obligated balance, end of year .....                         | 120         | 24      | 27        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 37          | 41      | 42        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 6           | 19      | 17        |
| 4011 Outlays from discretionary balances .....                    | 57          | 114     | 22        |
| 4020 Outlays, gross (total) .....                                 | 63          | 133     | 39        |
| 4180 Budget authority, net (total) .....                          | 37          | 41      | 42        |
| 4190 Outlays, net (total) .....                                   | 63          | 133     | 39        |

Funds for this account are used to procure a full range of radiation detection technologies for DHS components such as Customs

and Border Protection and the U.S. Coast Guard. These technologies are deployed at and between the Nation's ports of entry as well as in the maritime domain. Systems Acquisition funds in FY 2014 will be used to procure a mix of Radiation Portal Monitors and Human Portable Radiation Detection Systems. Funding also supports efforts to build basic radiological and nuclear detection capabilities in high risk urban regions. Acquisition funds for each fiscal year will be available for obligation for three years.

**Object Classification** (in millions of dollars)

| Identification code 70-0862-0-1-751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 3           | 2       | 3         |
| 25.3 Other goods and services from Federal sources ..... | 1           | .....   | .....     |
| 31.0 Equipment .....                                     | 13          | 15      | 19        |
| 41.0 Grants, subsidies, and contributions .....          | 34          | 20      | 20        |
| 99.0 Direct obligations .....                            | 51          | 37      | 42        |
| 99.0 Reimbursable obligations .....                      | 1           | .....   | .....     |
| 99.9 Total new obligations .....                         | 52          | 37      | 42        |

**INFORMATION ANALYSIS AND INFRASTRUCTURE PROTECTION**

**Federal Funds**

**ASSESSMENTS AND EVALUATION**

Funding is no longer requested for this account. Appropriations for the legacy Information Analysis and Infrastructure Protection, Assessments and Evaluation account is requested in the Preparedness Directorate: Infrastructure Protection and Information Security, and Analysis and Operations accounts.

**OPERATING EXPENSES**

**Program and Financing** (in millions of dollars)

| Identification code 70-0900-0-1-751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Governmental receipts:</b>  |             |         |           |
| 70-083400 Breached Bond Penalties .....  | 8           | 8       | 8         |
| 70-0835 Immigration Fees, Border Security Act .....  | 150         | 104     | 104       |
| General Fund Governmental receipts .....   | 158         | 112     | 112       |
| <b>Offsetting receipts from the public:</b>  |             |         |           |
| 70-031100 Tonnage Duty Increases .....   | 22          | 22      | 22        |
| 70-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....             | 29          | 19      | 19        |
| 70-242100 Marine Safety Fees .....   | 16          | 16      | 16        |
| 70-274030 Disaster Assistance, Downward Reestimates .....  | 327         | 26      | .....     |
| 70-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 16          | .....   | .....     |
| General Fund Offsetting receipts from the public .....   | 410         | 83      | 57        |
| <b>Intragovernmental payments:</b>   |             |         |           |
| 70-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... | 21          | .....   | .....     |



## GENERAL PROVISIONS

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. Subject to the requirements of section 503 of this Act, the unexpended balances of prior appropriations provided for activities in this Act may be transferred to appropriation accounts for such activities established pursuant to this Act, may be merged with funds in the applicable established accounts, and thereafter may be accounted for as one fund for the same time period as originally enacted.

SEC. 503. (a) None of the funds provided by this Act, provided by previous appropriations Acts to the agencies in or transferred to the Department of Homeland Security that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that:

- (1) creates a new program, project, or activity;
- (2) eliminates a program, project, office, or activity;
- (3) increases funds for any program, project, or activity for which funds have been denied or restricted by the Congress;
- (4) proposes to use funds directed for a specific activity by either of the Committees on Appropriations of the Senate or the House of Representatives for a different purpose, unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such reprogramming of funds; or
- (5) contracts out any function or activity for which funding levels were requested for Federal full-time equivalents in the object classification tables contained in the fiscal year 2014 Budget Appendix for the Department of Homeland Security, as modified by the joint explanatory statement accompanying this Act, unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such reprogramming of funds.

(b) None of the funds provided by this Act, provided by previous appropriations Acts to the agencies in or transferred to the Department of Homeland Security that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees or proceeds available to the agencies funded by this Act, shall be available for obligation or expenditure for programs, projects, or activities through a reprogramming of funds in excess of \$5,000,000 or 10 percent, whichever is less, that:

- (1) augments existing programs, projects, or activities;
- (2) reduces by 10 percent funding for any existing program, project, or activity, or reduces the numbers of personnel by 10 percent as approved by the Congress; or
- (3) results from any general savings from a reduction in personnel that would result in a change in existing programs, projects, or activities as approved by the Congress, unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such reprogramming of funds.

(c) Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Homeland Security by this Act or provided by previous appropriations Acts may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by such transfers: Provided, That, subject to approval by the Director of the Office of Management and Budget, any unobligated funds within such department or agency may be transferred between appropriations in order to expedite a more rapid and effective response to a Presidentially-declared major disaster, as provided in the National Response Plan required under Public Law 107-296: Provided further, That any transfer under this section shall be treated as a reprogramming of funds under subsection (b) and shall not be available for obligation unless the Committees on Appropriations of the Senate and the House of Representatives are notified 15 days in advance of such transfer.

(d) Notwithstanding subsections (a), (b), and (c) of this section, no funds shall be reprogrammed within or transferred between appropri-

ations after June 30, except in extraordinary circumstances that imminently threaten the safety of human life or the protection of property.

(e) The notification thresholds and procedures set forth in this section shall apply to any use of deobligated balances of funds provided in previous Department of Homeland Security Appropriations Acts.

SEC. 504. The Department of Homeland Security Working Capital Fund, established pursuant to section 403 of Public Law 103-356 (31 U.S.C. 501 note), shall continue operations as a permanent working capital fund for fiscal year 2014: Provided, That none of the funds appropriated or otherwise made available to the Department of Homeland Security may be used to make payments to the Working Capital Fund, except for the activities and amounts allowed in the President's fiscal year 2014 budget: Provided further, That funds provided to the Working Capital Fund shall be available for obligation until expended to carry out the purposes of the Working Capital Fund: Provided further, That all departmental components shall be charged only for direct usage of each Working Capital Fund service: Provided further, That funds provided to the Working Capital Fund shall be used only for purposes consistent with the contributing component: Provided further, That the Working Capital Fund shall be paid in advance or reimbursed at rates which will return the full cost of each service: Provided further, That the Working Capital Fund shall be subject to the requirements of section 503 of this Act.

SEC. 505. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2014 from appropriations for salaries and expenses for fiscal year 2014 in this Act shall remain available through September 30, 2015, in the account and for the purposes for which the appropriations were provided: Provided, That prior to the obligation of such funds, notice thereof shall be submitted to the Committees on Appropriations of the Senate and the House of Representatives in accordance with section 503 of this Act.

SEC. 506. Funds made available by this Act for intelligence activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of an Act authorizing intelligence activities for fiscal year 2014.

SEC. 507. (a) Except as provided in subsections (b) and (c), none of the funds made available by this Act may be used to—

(1) make or award a grant allocation, grant, contract, other transaction agreement, task or delivery order on a Department of Homeland Security multiple award contract, or to issue a letter of intent totaling in excess of \$1,000,000;

(2) award a task or delivery order requiring an obligation of funds in an amount greater than \$10,000,000 from multi-year Department of Homeland Security funds or a task or delivery order that would cause cumulative obligations of multi-year funds in a single account to exceed 50 percent of the total amount appropriated; or

(3) announce publicly the intention to make or award items under paragraph (1) or (2), including a contract covered by the Federal Acquisition Regulation.

(b) The Secretary of Homeland Security may waive the prohibition under subsection (a) if the Secretary notifies the Committees on Appropriations of the Senate and the House of Representatives at least 3 full business days in advance of making an award or issuing a letter as described in that subsection.

(c) If the Secretary of Homeland Security determines that compliance with this section would pose a substantial risk to human life, health, or safety, an award may be made without notification, and the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives not later than 5 full business days after such an award is made or letter issued.

(d) A notification under this section—

(1) may not involve funds that are not available for obligation; and

(2) shall include the amount of the award, the fiscal year for which the funds for the award were appropriated, and the account from which the funds are being drawn.

(e) The Administrator of the Federal Emergency Management Agency shall brief the Committees on Appropriations of the Senate and the House of Representatives 5 full business days in advance of announcing publicly the intention of making an award under "State and Local Programs".

SEC. 508. Notwithstanding any other provision of law, no agency shall purchase, construct, or lease any additional facilities, except within or contiguous to existing locations, to be used for the purpose of conducting Federal law enforcement training without the advance notification to the Committees on Appropriations of the Senate and the House of Representatives, except that the Federal Law Enforcement Training Center is authorized to obtain the temporary use of additional facilities by lease, contract, or other agreement for training that cannot be accommodated in existing Center facilities.

SEC. 509. None of the funds appropriated or otherwise made available by this Act may be used for expenses for any construction, repair, alteration, or acquisition project for which a prospectus otherwise required under chapter 33 of title 40, United States Code, has not been transmitted to Congress, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus.

SEC. 510. Sections 520, 522, and 530, of the Department of Homeland Security Appropriations Act, 2008 (division E of Public Law 110-161; 121 Stat. 2073 and 2074) shall apply with respect to funds made available in this Act in the same manner as such sections applied to funds made available in that Act.

SEC. 511. None of the funds made available in this Act may be used in contravention of the applicable provisions of chapter 83 of title 41, United States Code.

SEC. 512. Within 45 days after the end of each month, the Chief Financial Officer of the Department of Homeland Security shall submit to the Committees on Appropriations of the Senate and the House of Representatives a monthly budget and staffing report for that month that includes total obligations, on-board versus funded full-time equivalent staffing levels, and the number of contract employees for each office of the Department.

SEC. 513. None of the funds appropriated by this Act may be used to process or approve a competition under Office of Management and Budget Circular A-76 for services provided as of June 1, 2004, by employees (including employees serving on a temporary or term basis) of United States Citizenship and Immigration Services of the Department of Homeland Security who are known as of that date as Immigration Information Officers, Contact Representatives, or Investigative Assistants.

SEC. 514. Any funds appropriated to Coast Guard "Acquisition, Construction, and Improvements" for fiscal years 2002, 2003, 2004, 2005, and 2006 for the 110-123 foot patrol boat conversion that are recovered, collected, or otherwise received as the result of negotiation, mediation, or litigation, shall be available until expended for the Fast Response Cutter program.

SEC. 515. Section 532(a) of Public Law 109-295 (120 Stat. 1384) is amended by striking "2012" and inserting "2014".

SEC. 516. The functions of the Federal Law Enforcement Training Center instructor staff shall be classified as inherently governmental for the purpose of the Federal Activities Inventory Reform Act of 1998 (31 U.S.C. 501 note).

SEC. 517. (a) Except as provided in subsection (b), none of the funds appropriated in this or any other Act to the "Office of the Secretary and Executive Management", the "Office of the Under Secretary for Management", or the "Office of the Chief Financial Officer", may be obligated for a grant or contract funded under such headings by any means other than full and open competition.

(b) Subsection (a) does not apply to obligation of funds for a contract awarded—

(1) by a means that is required by a Federal statute, including obligation for a purchase made under a mandated preferential program, including the AbilityOne Program, that is authorized under the Javits-Wagner-O'Day Act (41 U.S.C. 46 et seq.);

(2) pursuant to the Small Business Act (15 U.S.C. 631 et seq.);

(3) in an amount less than the simplified acquisition threshold described under section 302A(a) of the Federal Property and Administrative Services Act of 1949 (41 U.S.C. 252a(a)); or

(4) by another Federal agency using funds provided through an interagency agreement.

(c)(1) Subject to paragraph (2), the Secretary of Homeland Security may waive the application of this section for the award of a contract in the interest of national security or if failure to do so would pose a substantial risk to human health or welfare.

(2) Not later than 5 days after the date on which the Secretary of Homeland Security issues a waiver under this subsection, the Secretary shall submit notification of that waiver to the Committees on Appropriations of the Senate and the House of Representatives, including a description of the applicable contract to which the waiver applies and an explanation of why the waiver authority was used: Provided, That the Secretary may not delegate the authority to grant such a waiver.

(d) In addition to the requirements established by subsections (a), (b), and (c) of this section, the Inspector General of the Department of Homeland Security shall review departmental contracts awarded through means other than a full and open competition to assess departmental compliance with applicable laws and regulations: Provided, That the Inspector General shall review selected contracts awarded in the previous fiscal year through means other than a full and open competition: Provided further, That in selecting which contracts to review, the Inspector General shall consider the cost and complexity of the goods and services to be provided under the contract, the criticality of the contract to fulfilling Department missions, past performance problems on similar contracts or by the selected vendor, complaints received about the award process or contractor performance, and such other factors as the Inspector General deems relevant: Provided further, That the Inspector General shall report the results of the reviews to the Committees on Appropriations of the Senate and the House of Representatives no later than February 3, 2014.

SEC. 518. None of the funds made available in this Act may be used by United States Citizenship and Immigration Services to grant an immigration benefit unless the results of background checks required by law to be completed prior to the granting of the benefit have been received by United States Citizenship and Immigration Services, and the results do not preclude the granting of the benefit.

SEC. 519. None of the funds made available in this or any other Act for fiscal year 2014 may be used to destroy or put out to pasture any horse or other equine belonging to any component or agency of the Department of Homeland Security that has become unfit for service, unless the trainer or handler is first given the option to take possession of the equine through an adoption program that has safeguards against slaughter and inhumane treatment.

SEC. 520. Section 831 of the Homeland Security Act of 2002 (6 U.S.C. 391) is amended—

(1) in subsection (a), by striking "Until September 30, 2012," and inserting "Until September 30, 2014,"; and

(2) in subsection (c)(1), by striking "September 30, 2012," and inserting "September 30, 2014,".

SEC. 521. The Secretary of Homeland Security shall require that all contracts of the Department of Homeland Security that provide award fees link such fees to successful acquisition outcomes (which outcomes shall be specified in terms of cost, schedule, and performance).

SEC. 522. None of the funds made available to the Office of the Secretary and Executive Management under this Act may be expended for any new hires by the Department of Homeland Security that are not verified through the E-Verify Program as described in section 403(a) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996 (8 U.S.C. 1324a note).

SEC. 523. None of the funds made available in this Act for U.S. Customs and Border Protection may be used to prevent an individual not in the business of importing a prescription drug (within the meaning of section 801(g) of the Federal Food, Drug, and Cosmetic Act) from importing a prescription drug from Canada that complies with the Federal Food, Drug, and Cosmetic Act: Provided, That this section shall apply only to individuals transporting on their person a personal-use quantity of the prescription drug, not to exceed a 90-day supply: Provided further, That the prescription drug may not be—

(1) a controlled substance, as defined in section 102 of the Controlled Substances Act (21 U.S.C. 802); or

(2) a biological product, as defined in section 351 of the Public Health Service Act (42 U.S.C. 262).

SEC. 524. The Secretary of Homeland Security, in consultation with the Secretary of the Treasury, shall notify the Committees on Appropriations of the Senate and the House of Representatives of any proposed transfers of funds available under section 9703.1(g)(4)(B) of title 31, United States Code (as added by Public Law 102-393) from the Department of the

Treasury Forfeiture Fund to any agency within the Department of Homeland Security.

SEC. 525. None of the funds made available in this Act may be used for planning, testing, piloting, or developing a national identification card.

SEC. 526. Section 550(b) of the Department of Homeland Security Appropriations Act, 2007 (Public Law 109-295; 6 U.S.C. 121 note), as amended by section 550 of the Department of Homeland Security Appropriations Act, 2010 (Public Law 111-83), is further amended by striking "on October 4, 2012" and inserting "on October 4, 2014".

SEC. 527. None of the funds made available in this Act may be used for first-class travel by the employees of agencies funded by this Act in contravention of sections 301-10.122 through 301.10-124 of title 41, Code of Federal Regulations.

SEC. 528. None of the funds made available in this Act may be used to propose or effect a disciplinary or adverse action, with respect to any Department of Homeland Security employee who engages regularly with the public in the performance of his or her official duties solely because that employee elects to utilize protective equipment or measures, including but not limited to surgical masks, N95 respirators, gloves, or hand-sanitizers, where use of such equipment or measures is in accord with Department of Homeland Security policy, and Centers for Disease Control and Prevention and Office of Personnel Management guidance.

SEC. 529. (a) Any company that collects or retains personal information directly from any individual who participates in the Registered Traveler program of the Transportation Security Administration shall safeguard and dispose of such information in accordance with the requirements in—

(1) the National Institute for Standards and Technology Special Publication 800-30, entitled "Risk Management Guide for Information Technology Systems";

(2) the National Institute for Standards and Technology Special Publication 800-53, Revision 3, entitled "Recommended Security Controls for Federal Information Systems and Organizations,"; and

(3) any supplemental standards established by the Administrator of the Transportation Security Administration (referred to in this section as the "Administrator").

(b) The airport authority or air carrier operator that sponsors the company under the Registered Traveler program shall be known as the Sponsoring Entity.

(c) The Administrator shall require any company covered by subsection (a) to provide, not later than 30 days after the date of enactment of this Act, to the Sponsoring Entity written certification that the procedures used by the company to safeguard and dispose of information are in compliance with the requirements under subsection (a). Such certification shall include a description of the procedures used by the company to comply with such requirements.

SEC. 530. Notwithstanding any other provision of this Act, none of the funds appropriated or otherwise made available by this Act may be used to pay award or incentive fees for contractor performance that has been judged to be below satisfactory performance or performance that does not meet the basic requirements of a contract.

SEC. 531. Notwithstanding the 10 percent limitation contained in section 503(c) of this Act, the Secretary of Homeland Security may transfer to the fund established by 8 U.S.C. 1101 note, up to \$20,000,000 from appropriations available to the Department of Homeland Security: Provided, That the Secretary shall notify the Committees on Appropriations of the Senate and the House of Representatives 5 days in advance of such transfer.

SEC. 532. The administrative law judge annuitants participating in the Senior Administrative Law Judge Program managed by the Director of the Office of Personnel Management under section 3323 of title 5, United States Code, shall be available on a temporary re-employment basis to conduct arbitrations of disputes as part of the arbitration panel established by the President under section 601 of division A of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5; 123 Stat. 164).

SEC. 533. None of the funds appropriated or otherwise made available by this Act may be used by the Department of Homeland Security to enter into any federal contract unless such contract is entered into in accordance with the requirements of the Federal Property and Administrative Services Act of 1949 (41 U.S.C. 253) or Chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless such contract is otherwise authorized by statute to be entered into without regard to the above referenced statutes.

SEC. 534. For fiscal year 2014, U.S. Customs and Border Protection's Advanced Training Center is authorized to charge fees for any service and/or thing of value it provides to Federal Government or non-government entities or individuals, so long as the fees charged do not exceed the full costs associated with the service or thing of value provided: Provided, That notwithstanding 31 U.S.C. 3302(b), fees collected by the Advanced Training Center are to be deposited into a separate account entitled "Advanced Training Center Revolving Fund", and be available, without further appropriations, for necessary expenses of the Advanced Training Center program, and are to remain available until expended.

SEC. 535. Notwithstanding any other provision of law, should the Secretary of Homeland Security determine that specific U.S. Immigration and Customs Enforcement Service Processing Centers or other U.S. Immigration and Customs Enforcement owned detention facilities no longer meet the mission need, the Secretary is authorized to dispose of individual Service Processing Centers or other U.S. Immigration and Customs Enforcement owned detention facilities by directing the Administrator of General Services to sell all real and related personal property which support Service Processing Centers or other U.S. Immigration and Customs Enforcement owned detention facilities, subject to such terms and conditions as necessary to protect Government interests and meet program requirements: Provided, That the proceeds, net of the costs of sale incurred by the General Services Administration and U.S. Immigration and Customs Enforcement, shall be deposited as offsetting collections into a separate account that shall be available until expended for other real property capital asset needs of existing U.S. Immigration and Customs Enforcement assets, excluding daily operations and maintenance costs, as the Secretary deems appropriate: Provided further, That the Committees on Appropriations of the Senate and the House of Representatives shall be notified 15 days prior to the announcement of any proposed sale or collocation.

SEC. 536. The Commissioner of U.S. Customs and Border Protection and the Assistant Secretary of Homeland Security for U.S. Immigration and Customs Enforcement each shall submit to the Committees on Appropriations of the Senate and the House of Representatives with the congressional budget justification, a multi-year investment and management plan, to include each year starting with the current fiscal year and the 3 subsequent fiscal years, for their respective Offices of Information Technology to include for that office—

(1) the funding level by source for all funds to be executed;

(2) the funding included for each project and activity tied to mission requirements, program management capabilities, performance levels, and specific capabilities and services to be delivered;

(3) the total estimated cost and projected timeline of completion for all multi-year enhancements, modernizations, and new capabilities proposed in the current fiscal year or underway; and

(4) a detailed accounting of operation and maintenance costs.

SEC. 537. The Secretary of Homeland Security shall ensure enforcement of immigration laws (as defined in section 101(a)(17) of the Immigration and Nationality Act (8 U.S.C. 1101(a)(17))).

SEC. 538. Section 401(b) of the Illegal Immigration Reform and Immigrant Responsibility Act of 1996, as amended (8 U.S.C. 1324a note), is amended by striking "September 30, 2012" and inserting "September 30, 2014".

SEC. 539. PREDISASTER HAZARD MITIGATION.—The FEMA Administrator may make grant awards at his discretion pursuant to section 203 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5133) with funding otherwise designated as congressionally directed spending and appropriated in any prior fiscal year to "National Predisaster Mitigation Fund" if either: (a) the intended applicant for such earmarked funding informs FEMA in writing that no application will be submitted to use the funding; or (b) no application for such earmarked funding is submitted to FEMA within two years of the date of the respective appropriation for such funds: Provided, That amounts appropriated to "National Predisaster Mitigation Fund" in any fiscal year shall be for necessary and reasonable costs to administer and to close out predisaster Mitigation grants: Provided further, That the FEMA Administrator may transfer and merge unexpired funds previously appropriated to FEMA for the purposes of predisaster mitigation into "National Predisaster Mitigation Fund".

SEC. 540. DAM SAFETY ACT.—The National Dam Safety Program Act, as amended (33 U.S.C. 467 note) is amended—

(1) In section 8(e) (33 U.S.C. 467f) by inserting after paragraph (6) the following new paragraphs:

"(7) **PERFORMANCE-BASED EVALUATION.**—FEMA shall establish performance measures for its assessment of State programs participating in the National Dam Safety Program. FEMA shall assess State performance under this section using these measures. FEMA shall establish targets for the States for the performance measures within 120 days of the enactment of this Act and may update them, as needed, each fiscal year.

"(8) **ALLOCATION-IN GENERAL.**—Subject to subparagraphs (C) and (D), for each fiscal year, amounts made available under this subsection to carry out section 8 shall be allocated among the states as follows:

(A) "One-third of the funds shall be awarded among states that qualify as eligible for assistance under this section.

(B) "Two-thirds of the funds shall be awarded among states that not only qualify as eligible for assistance under this section, but also meet or exceed their target established for the performance measures referred to in section 8(e)(7).

(C) "**MAXIMUM AMOUNT OF ALLOCATION.**—The amount of funds allocated to a State under this paragraph may not exceed the funds committed by the State to implement dam safety activities.

(D) "**DETERMINATION.**—The Administrator shall determine the amount allocated to States."

(2) By redesignating sections 11 through 13, as sections 12 through 14, respectively, and by inserting after section 10, the following new section: **SEC. 11.—PUBLIC AWARENESS AND OUTREACH FOR DAM SAFETY.**—The Administrator, in consultation with other Federal agencies, State, and local governments, dam owners, the emergency management community, the private sector, non-governmental organizations and associations, and universities and academia, and others as appropriate, shall carry out a nationwide public awareness and outreach program to provide risk information to the public related to the hazards of dam failures and related matters."

(3) In section 14, as redesignated by this Act, (33 U.S.C. 467j) by—

(A) striking subsection (a) and inserting (a) "**APPROPRIATIONS.**—"There is authorized to be appropriated to FEMA to carry out the National Dam Safety Program Act (in addition to any amounts made available for similar purposes included in any other Act) \$14.8 million for fiscal year 2013, \$15.2 million for fiscal year 2014, \$15.7 million for fiscal year 2015, \$16.2 million for fiscal year 2016.;"

(B) striking subsections (c), (d) and (e); and

(C) redesignating subsection (f) as subsection (c).

**SEC. 541.** (a)(1) For fiscal year 2014, the fees imposed in subsection (a) of section 13031 of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(a)) shall be applied by substituting the following amounts for the amounts provided in such subsection:

(A) For paragraph (1), \$594;

(B) For paragraph (2), \$7.50;

(C) For paragraph (3), \$11.25;

(D) For paragraph (4), \$37.50;

(E) For paragraph (5), \$7.50 for the fee imposed in subparagraph (A) and \$2.60 for the fee imposed in subparagraph (B);

(F) For paragraph (6), \$7.50;

(G) For paragraph (7), \$188; and

(H) For paragraph (8), \$150.

(2) For fiscal year 2014, the dollar amounts in subsection (b) of section 58c of title 19, United States Code, shall be applied by substituting the following amounts for the amounts provided in such subsection:

(A) For paragraphs (2) and (3), \$135;

(B) For subparagraph (A) of paragraph (5), \$8,316;

(C) For paragraph (6), \$2,100;

(D) For subparagraph (A)(ii) of paragraph (9), \$1.36; and

(E) For subparagraph (B)(i) of paragraph (9), not more than \$3.00 per individual airway bill or bill of lading.

(3) As authorized by section 601(b) of the United States-Colombia Trade Promotion Agreement Implementation Act (Public Law 112–42) fees collected from passengers arriving from Canada, Mexico, or an adjacent island pursuant to section 13031(a)(5) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(a)(5)) shall be available until expended.

(b) For fiscal year 2014, the fees imposed in section 286 of the Immigration and Nationality Act (8 U.S.C. 1356) shall be applied by substituting the following amounts for the amounts provided in such section:

(1) For subsection (d), \$9; and

(2) For paragraph (3) of subsection (e), \$5.

**SEC. 542.** (a) Notwithstanding section 13031(e) of the Consolidated Omnibus Budget Reconciliation Act of 1985 (19 U.S.C. 58c(e)) and section 451 of the Tariff Act of 1930 (19 U.S.C. 1451) upon the request of any persons, the Commissioner of U.S. Customs and Border Protection may enter into reimbursable fee agreements for a period of up to 5 years with such persons for the provision of U.S. Customs and Border Protection services and any other costs incurred by U.S. Customs and Border Protection relating to such services. Such requests may include additional U.S. Customs and Border Protection services at existing U.S. Customs and Border Protection serviced facilities (including but not limited to payment for overtime), the provision of U.S. Customs and Border Protection services at new facilities, and expanded U.S. Customs and Border Protection services at land border facilities.

(1) By December 31, 2013, the Commissioner may enter into not more than 5 agreements under this section.

(2) The Commissioner shall not enter into such an agreement if it would unduly and permanently impact services funded in this or any other appropriations Acts, or provided from any accounts in the Treasury of the United States derived by the collection of fees.

(b) Funds collected pursuant to any agreement entered into under this section shall be deposited in a newly established account as offsetting collections and remain available until expended, without fiscal year limitation, and shall directly reimburse each appropriation for the amount paid out of that appropriation for any expenses incurred by U.S. Customs and Border Protection in providing U.S. Customs and Border Protection services and any other costs incurred by U.S. Customs and Border Protection relating to such services.

(c) The amount of the fee to be charged pursuant to an agreement authorized under subsection (a) of this section shall be paid by each person requesting U.S. Customs and Border Protection services and shall include, but shall not be limited to, the salaries and expenses of individuals employed by U.S. Customs and Border Protection to provide such U.S. Customs and Border Protection services and other costs incurred by U.S. Customs and Border Protection relating to those services, such as temporary placement or permanent relocation of those individuals.

(d) U.S. Customs and Border Protection shall terminate the provision of services pursuant to an agreement entered into under subsection (a) with a person that, after receiving notice from the Commissioner that a fee imposed under subsection (a) is due, fails to pay the fee in a timely manner. In the event of such termination, all costs incurred by U.S. Customs and Border Protection, which have not been reimbursed, will become immediately due and payable. Interest on unpaid fees will accrue based on current U.S. Treasury borrowing rates. Additionally, any person who, after notice and demand for payment of any fee charged under subsection (a) of this section, fails to pay such fee in a timely manner shall be liable for a penalty or liquidated damage equal to two times the amount of the fee. Any amount collected pursuant to any agreement entered into under this subsection shall be deposited into the account specified under subsection (b) of this section and shall be available as described therein.

(e) Each facility at which such U.S. Customs and Border Protection services are performed shall provide, maintain, and equip, without cost to the Government, facilities in accordance with U.S. Customs and Border Protection specifications.

(f) The authority found in this section may not be used to enter into agreements to expand or begin to provide U.S. Customs and Border Protection services outside of the United States.

(g) The authority found in this section may not be used at existing U.S. Customs and Border Protection serviced air facilities to enter into agreements for costs other than payment of overtime.

(h) The Commissioner shall notify the appropriate Committees of Congress 15 days prior to entering into any agreement under the authority of this section and shall provide a copy of the agreement to the appropriate Committees of Congress.

(i) For purposes of this section the terms:

(1) *U.S. Customs and Border Protection services* means any activities of any employee or contractor of U.S. Customs and Border Protection pertaining to customs and immigration inspection-related matters.

(2) *Person* means any natural person or any corporation, partnership, trust, association, or any other public or private entity, or any officer, employee, or agent thereof.

(3) *Appropriate Committees of Congress* means the Committees on Appropriations; Finance; Judiciary; and Homeland Security and Governmental Affairs of the Senate and the Committees on Appropriations; Judiciary; Ways and Means; and Homeland Security of the House of Representatives.

**SEC. 543.**

(a) **IN GENERAL.**—Notwithstanding any other provision of law, including Chapter 33 of Title 40, United States Code, the Secretary of Homeland Security may, for purposes of constructing, altering, operating or maintaining a new or existing land port of entry facility, accept donations of real and personal property (including monetary donations) and non-personal services, from private parties and State and local government entities.

(b) **PURPOSES.**—The Secretary of Homeland Security may, with respect to any donation provided pursuant to subsection (a),

(1) use such property or services for necessary expenses related to the construction, alteration, operation or maintenance of a new or existing land port of entry facility under the custody and control of the Secretary, specifically including but not limited to expenses related to land acquisition, design, construction, repair and alteration, furniture and fixtures and equipment (FFE), deployment of technology and equipment, and operation and maintenance (O&M); or

(2) transfer such property or services to the Administrator of General Services for necessary expenses as described in subsection (b)(1) related to a new or existing land port of entry facility under the custody and control of the Administrator.

(c) **SUPPLEMENTAL FUNDING.**—Property (including monetary donations) and services provided pursuant to subsection (a) may be used in addition to any other funding (including appropriated funds), property or services made available for the same purpose.

(d) **UNCONDITIONAL DONATIONS.**—A donation provided pursuant to subsection (a) may specify the land port of entry facility(ies) in support of which the donation is being made and the timeframe in which the donated property or services must be used, but must otherwise be made unconditionally.

(e) **RETURN OF DONATIONS.**—If the Secretary or Administrator do not use the property or services donated pursuant to subsection (a) for the specific land port of entry facility(ies) designated or within the timeframe specified, then such donated property or services shall be returned to the entity that made the donation; provided, however, that no interest shall be owed on any donation of funding provided under subsection (a) and returned pursuant to this subparagraph.

(f) **SAVINGS.**—Nothing in this section shall be deemed to affect or alter the underlying authority of the Secretary of Homeland Security or the Administrator of General Services to construct, alter, operate and maintain land port of entry facilities.

**SEC. 544.** (a) The Commissioner of the United States Customs and Border Protection shall:

(1) conduct a study assessing the feasibility and cost relating to establishing and collecting a land border crossing fee for both land border pedestrians and passenger vehicles along the northern and southwest borders of the United States; the study should include:

(A) the feasibility of collecting from existing operators on the land border such as bridge commissions, toll operators, commercial passenger bus, and commercial passenger rail;

(B) requirements to collect at land ports of entry where existing capability is not present; and

(C) any legal and regulatory impediments to establishing and collecting a land border crossing fee; and

(2) complete the study within 9 months of enactment of this Act.

**SEC. 545.** (a) **IN GENERAL.**—Section 33 of the Federal Fire Prevention and Control Act of 1974 (15 U.S.C. 2229) is amended to read as follows:

(a) **ASSISTANCE PROGRAM.**—

(1) **AUTHORITY.**—The Director may make grants on a competitive basis directly to State fire training academies, in consultation with the chief executive of the State, in accordance with paragraph (1)(C).

**SEC. 546.**

(a) Of the unobligated balances available under the heading Coast Guard, Acquisition, Construction, and Improvements by Public Law 111–83, the following funds are hereby permanently cancelled, from the following programs, projects, or activities in the specified amounts: (1) Integrated Deepwater Systems program (surface ships), \$9,000,000; (2) Integrated Deepwater Systems program (other), \$4,000,000; and (3) High Endurance Cutter Sustainment by Public Law 111–83, \$1,500,000.

(b) Of the unobligated balances available under the heading Coast Guard, Acquisition, Construction, and Improvements by Public Law 112–10, the following funds are hereby permanently cancelled, from the following programs, projects, and activities in the specified amounts: (1) Integrated Deepwater Systems program (surface), \$7,000,000; and (2) Integrated Deepwater Systems program (other), \$2,000,000.

(c) Of the unobligated balances available under the heading Coast Guard, Acquisition, Construction, and Improvements by Public Law 112–74, the following funds are hereby permanently cancelled, from the following programs, projects, and activities in the specified amounts: (1) Shore and Aton (Major Shore, MASI), \$8,500,000; (2) Aircraft (HH-60 Sustainment), \$2,000,000; (3) Vessels (Fast Response Cutter), \$7,000,000; and (4) Other (Systems Engineering & Integration), \$1,000,000.

**SEC. 547.** The Commissioner of the United States Customs and Border Protection may waive the claim for reimbursement of \$221,123 from the fiscal year 2009 appropriation for the Office of the Federal Coordinator for Gulf Coast Rebuilding.



# DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

## PUBLIC AND INDIAN HOUSING PROGRAMS

### Federal Funds

#### RENTAL ASSISTANCE DEMONSTRATION

For continuing activities under the heading "Rental Assistance Demonstration" in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112-55), and in accordance with priorities established by the Secretary, \$10,000,000, to remain available through September 30, 2017: Provided, That such funds shall only be available to properties converting from assistance under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 86-0406-0-1-604                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 RAD Incremental Conversion Cost .....           |             |         | 10        |
| 0100 Direct program activities, subtotal .....       |             |         | 10        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 10        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 10        |
| 1160 Appropriation, discretionary (total) .....      |             |         | 10        |
| 1930 Total budgetary resources available .....       |             |         | 10        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 10        |
| 3050 Unpaid obligations, end of year .....           |             |         | 10        |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 10        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 10        |
| 4180 Budget authority, net (total) .....             |             |         | 10        |

In 2014, the Department will continue implementation of the Rental Assistance Demonstration (RAD), authorized by the Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112-55). Under RAD, Public Housing Authorities (PHAs) and other owners of rental properties assisted under the Public Housing, Moderate Rehabilitation (Mod Rehab), Rent Supplement (Rent Supp) and Rental Assistance Payment (RAP) programs are offered the option to convert their properties to long-term, project-based Section 8 contracts that can leverage private financing for capital improvements. Through February 2013, HUD awarded 112 initial approvals to 70 PHAs, supporting the eventual conversion of over 12,100 Public Housing units; and 24 approvals to private owners of Rent Supp, RAP, and Mod Rehab properties for the conversion of 2,770 units with contracts expiring through September 30, 2013. The Department has also received 38 Letters of Interest (constituting an additional 4,300 units) from private owners of properties with contracts expiring after September 30, 2013.

While the Department will continue to process no-cost conversions in 2014, the Budget requests \$10 million for a targeted expansion of RAD to Public Housing properties that cannot feasibly convert at existing funding levels and are located in high-poverty neighborhoods, including designated Promise Zones, where the

Administration is supporting comprehensive revitalization efforts. This request will cover the incremental subsidy cost of converting approximately 3,300 Public Housing units, thereby increasing private investment in targeted projects and surrounding neighborhoods.

The Budget also includes the following proposals to facilitate additional no-cost conversions of HUD-assisted properties under RAD: 1) increases the unit cap on Public Housing conversions from 60,000 to 150,000; 2) excludes Mod Rehab properties from the unit cap; 3) makes Section 8 Mod Rehab Single Room Occupancy properties eligible for RAD; and 4) extends by two years (through September 30, 2015) the sunset date on conversions of Rent Supp, RAP and Mod Rehab properties.

#### PUBLIC AND INDIAN HOUSING

##### TENANT-BASED RENTAL ASSISTANCE

For activities and assistance for the provision of tenant-based rental assistance authorized under the United States Housing Act of 1937, as amended (42 U.S.C. 1437 et seq.) ("the Act" herein), not otherwise provided for, \$15,989,216,000, to remain available until expended, shall be available on October 1, 2013 (in addition to the \$4,000,000,000 previously appropriated under this heading that became available on October 1, 2013), and \$4,000,000,000, to remain available until expended, shall be available on October 1, 2014: Provided, That amounts made available under this heading are provided as follows:

(1) \$17,968,278,000 shall be available for renewals of expiring section 8 tenant-based annual contributions contracts (including renewals of enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act) and including renewal of other special purpose incremental vouchers: Provided, That notwithstanding any other provision of law, from amounts provided under this paragraph and any carryover, the Secretary for the calendar year 2014 funding cycle shall provide renewal funding for each public housing agency based on validated voucher management system (VMS) leasing and cost data for the prior calendar year and by applying an inflation factor as established by the Secretary, by notice published in the Federal Register, and by making any necessary adjustments for the costs associated with the first-time renewal of vouchers under this paragraph, including tenant protection and HOPE VI vouchers: Provided further, That in determining calendar year 2014 funding allocation under this heading for public housing agencies, including agencies participating in the Moving To Work (MTW) demonstration, the Secretary may take into account the anticipated impact of changes in targeting, medical expense thresholds, and utility allowances, to public housing agencies' contract renewal needs: Provided further, That the Secretary shall, to the extent necessary to stay within the amount specified under this paragraph (except as otherwise modified under this Act), pro rate each public housing agency's allocation otherwise established pursuant to this paragraph: Provided further, That except as provided in the following provisos, the entire amount specified under this paragraph (except as otherwise modified under this Act) shall be obligated to the public housing agencies based on the allocation and pro rata method described above, and the Secretary shall notify public housing agencies of their annual budget by the latter of 60 days after enactment of this Act or March 1, 2014: Provided further, That the Secretary may extend the notification period, with notification to the House and Senate Committees on Appropriations: Provided further, That public housing agencies participating in the MTW demonstration shall be funded pursuant to their MTW agreements and shall be subject to the same pro rata adjustments under the previous provisos: Provided further, That the Secretary may offset public housing agencies' calendar year 2014 allocations by the excess amount of agencies' reserves as established by the Secretary: Provided further, That public housing agencies participating in the MTW demonstration shall also be subject to the offset, as determined by the Secretary, from the agencies' calendar year 2014 MTW funding allocation: Provided further, That the Secretary shall use any offset referred to in the previous two provisos throughout

## PUBLIC AND INDIAN HOUSING—Continued

the calendar year to prevent the termination of rental assistance for families as the result of insufficient funding, as determined by the Secretary, and to avoid or reduce the proration of renewal funding allocations: Provided further, That up to \$50,000,000 shall be available only: (1) for adjustments in the allocations for public housing agencies, after application for an adjustment by a public housing agency, that experienced a significant increase, as determined by the Secretary, in renewal costs of vouchers resulting from unforeseen circumstances or from portability under section 8(r) of the Act; (2) for vouchers that were not in use during the 12-month period in order to be available to meet a commitment pursuant to section 8(o)(13) of the Act; (3) for adjustments for costs associated with HUD-Veterans Affairs Supportive Housing (HUD-VASH) vouchers; (4) for adjustments in the allocations for public housing agencies that experienced a significant increase, as determined by the Secretary, in renewal costs as a result of participation in the Small Area Fair Market Rent demonstration: Provided further, That the Secretary shall allocate amounts under the previous proviso based on need as determined by the Secretary; and (5) for public housing agencies that despite taking reasonable cost savings measures, as determined by the Secretary, would otherwise be required to terminate rental assistance for families as the result of insufficient funding;

(2) \$150,000,000 shall be for section 8 rental assistance for relocation and replacement of housing units that are demolished or disposed of pursuant to section 18 of the Act, conversion of section 23 projects to assistance under section 8, the family unification program under section 8(x) of the Act, relocation of witnesses in connection with efforts to combat crime in public and assisted housing pursuant to a request from a law enforcement or prosecution agency, enhanced vouchers under any provision of law authorizing such assistance under section 8(t) of the Act, HOPE VI vouchers, mandatory and voluntary conversions, and tenant protection assistance including replacement and relocation assistance or for project-based assistance to prevent the displacement of unassisted elderly tenants currently residing in section 202 properties financed between 1959 and 1974 that are refinanced pursuant to Public Law 106-569, as amended, or under the authority as provided under this Act: Provided, That when a public housing development is submitted for demolition or disposition under section 18 of the Act, the Secretary may provide section 8 rental assistance when the units pose an imminent health and safety risk to residents: Provided further, That the Secretary, for the purposes under this paragraph, may use unobligated balances, including recaptures and carryovers, remaining from amounts appropriated in prior fiscal years under this heading for voucher assistance for nonelderly disabled families and for disaster assistance made available under Public Law 110-329;

(3) \$1,685,374,000 shall be for administrative and other expenses of public housing agencies in administering the section 8 tenant-based rental assistance program, of which up to \$50,000,000 shall be available to the Secretary to allocate to public housing agencies that need additional funds to administer their section 8 programs, including fees associated with section 8 tenant protection rental assistance, the administration of disaster-related vouchers, Veterans Affairs Supportive Housing vouchers, and other special purpose incremental vouchers: Provided, That no less than \$1,635,374,000 of the amount provided in this paragraph shall be allocated to public housing agencies for the calendar year 2014 funding cycle based on section 8(q) of the Act (and related Appropriation Act provisions) as in effect immediately before the enactment of the Quality Housing and Work Responsibility Act of 1998 (Public Law 105-276): Provided further, That if the amounts made available under this paragraph are insufficient to pay the amounts determined under the previous proviso, the Secretary may decrease the amounts allocated to agencies by a uniform percentage applicable to all agencies receiving funding under this paragraph or may, to the extent necessary to provide full payment of amounts determined under the previous proviso, utilize unobligated balances, including recaptures and carryovers, remaining from funds appropriated to the Department of Housing and Urban Development under this heading from prior fiscal years, notwithstanding the purposes for which such amounts were appropriated: Provided further, That all public housing agencies participating in the MTW demonstration shall be funded pursuant to their MTW agreements, and shall be subject to the same uniform percentage decrease as under the previous proviso: Provided further, That amounts provided under this

paragraph shall be only for activities related to the provision of tenant-based rental assistance authorized under section 8, including related development activities;

(4) \$110,564,000 for the renewal of tenant-based assistance contracts under section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), including necessary administrative expenses: Provided, That administrative and other expenses of public housing agencies in administering the special purpose vouchers in this paragraph shall be funded under the same terms and be subject to the same proration reduction as the percent decrease for administrative and other expenses to public housing agencies under paragraph (3) of this heading;

(5) \$75,000,000 for incremental rental voucher assistance for use through a supported housing program administered in conjunction with the Department of Veterans Affairs as authorized under section 8(o)(19) of the United States Housing Act of 1937: Provided, That the Secretary of Housing and Urban Development shall make such funding available, notwithstanding section 204 (competition provision) of this title, to public housing agencies that partner with eligible VA Medical Centers or other entities as designated by the Secretary of the Department of Veterans Affairs, based on geographical need for such assistance as identified by the Secretary of the Department of Veterans Affairs, public housing agency administrative performance, and other factors as specified by the Secretary of Housing and Urban Development in consultation with the Secretary of the Department of Veterans Affairs: Provided further, That the Secretary of Housing and Urban Development may waive, or specify alternative requirements for (in consultation with the Secretary of the Department of Veterans Affairs), any provision of any statute or regulation that the Secretary of Housing and Urban Development administers in connection with the use of funds made available under this paragraph (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment), upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective delivery and administration of such voucher assistance: Provided further, That assistance made available under this paragraph shall continue to remain available for homeless veterans upon turn-over; and

(6) The Secretary shall separately track all special purpose vouchers funded under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0302-0-1-604     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Tenant Protection .....                                     | 117         | 94      | 150       |
| 0002                                    | Administrative Fees .....                                   | 1,490       | 1,451   | 1,685     |
| 0003                                    | Family Self Sufficiency Coordinators .....                  | 61          | 62      | .....     |
| 0006                                    | Contract Renewals .....                                     | 16,488      | 17,329  | 17,953    |
| 0007                                    | Rental Assistance Demonstration .....                       | .....       | .....   | 22        |
| 0008                                    | Veterans Affairs Supportive Housing Vouchers .....          | 78          | 76      | 75        |
| 0012                                    | Disaster Housing Assistance Program .....                   | .....       | 2       | .....     |
| 0013                                    | Section 811 Mainstream Vouchers .....                       | 82          | 146     | 111       |
| 0900                                    | Total new obligations (object class 41.0) .....             | 18,316      | 19,160  | 19,996    |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....            | 179         | 154     | .....     |
| 1021                                    | Recoveries of prior year unpaid obligations .....           | 27          | .....   | .....     |
| 1050                                    | Unobligated balance (total) .....                           | 206         | 154     | .....     |
| Budget authority:                       |   |             |         |           |
| Appropriations, discretionary:          |   |             |         |           |
| 1100                                    | Appropriation .....   | 14,914      | 15,006  | 15,989    |
| 1120                                    | Appropriations transferred to other accts [86-0402] .....   | .....       | .....   | -15       |
| 1121                                    | Appropriations transferred from other accts [86-0304] ..... | .....       | .....   | 6         |
| 1121                                    | Appropriations transferred from other accts [86-0163] ..... | .....       | .....   | 16        |
| 1160                                    | Appropriation, discretionary (total) .....                  | 14,914      | 15,006  | 15,996    |
| Advance appropriations, discretionary:  |   |             |         |           |
| 1170                                    | Advance appropriation .....                                 | 4,000       | 4,000   | 4,000     |
| 1173                                    | Advance appropriations permanently reduced .....            | -650        | .....   | .....     |
| 1180                                    | Advanced appropriation, discretionary (total) .....         | 3,350       | 4,000   | 4,000     |
| 1900                                    | Budget authority (total) .....                              | 18,264      | 19,006  | 19,996    |



|   |  |         |         |         |
|---|--|---------|---------|---------|
| 1930                                      | Total budgetary resources available .....                    | 18,470  | 19,160  | 19,996  |
|   | Memorandum (non-add) entries:                                |         |         |         |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 154     |         |         |
| <b>Change in obligated balance:</b>       |  |         |         |         |
|   | Unpaid obligations:  |         |         |         |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 1,677   | 2,008   | 2,249   |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 18,316  | 19,160  | 19,996  |
| 3020                                      | Outlays (gross) .....  | -17,952 | -18,919 | -19,956 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -27     |         |         |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....   | -6      |         |         |
| 3050                                      | Unpaid obligations, end of year .....                        | 2,008   | 2,249   | 2,289   |
|   | Memorandum (non-add) entries:                                |         |         |         |
| 3100                                      | Obligated balance, start of year .....                       | 1,677   | 2,008   | 2,249   |
| 3200                                      | Obligated balance, end of year .....                         | 2,008   | 2,249   | 2,289   |
| <b>Budget authority and outlays, net:</b> |  |         |         |         |
|   | Discretionary:   |         |         |         |
| 4000                                      | Budget authority, gross .....                                | 18,264  | 19,006  | 19,996  |
|   | Outlays, gross:  |         |         |         |
| 4010                                      | Outlays from new discretionary authority .....               | 16,194  | 17,037  | 17,897  |
| 4011                                      | Outlays from discretionary balances .....                    | 1,758   | 1,882   | 2,059   |
| 4020                                      | Outlays, gross (total) .....                                 | 17,952  | 18,919  | 19,956  |
| 4180                                      | Budget authority, net (total) .....                          | 18,264  | 19,006  | 19,996  |
| 4190                                      | Outlays, net (total) .....                                   | 17,952  | 18,919  | 19,956  |

The 2014 Budget provides \$20 billion for the Tenant-Based Rental Assistance Program (also known as the Housing Choice Voucher program). The Housing Choice Voucher program provides housing assistance to 2.2 million extremely low- to very low-income families to rent in the neighborhoods of their choice. This is the Federal government's largest and most income-targeted program for assisting very low-income families to rent decent, safe and sanitary housing in the private market. About 2,350 state and local Public Housing Authorities (PHAs) administer the Housing Choice Voucher program.

In addition to continuing assistance for families anticipated to be under lease by 2013, the Budget provides new vouchers to make progress on HUD's annual performance goals to maximize the number of families receiving rental assistance and to reduce the number of homeless veterans. The Budget includes \$111 million to renew over 14,000 vouchers for persons with disabilities that were previously funded from the Section 811 account, and \$75 million in new vouchers for homeless veterans through the HUD-Veteran Affairs Supportive Housing (HUD-VASH) program. The Budget also requests \$150 million for tenant protection vouchers, which are provided when certain actions occur beyond the control of the residents, such as public housing demolition or disposition, or when landlords terminate their Project-Based Rental Assistance contracts.

The Budget proposes comprehensive legislative reforms across HUD's core rental assistance programs (Housing Choice Vouchers, Project-Based Rental Assistance, and Public Housing). The main goals of this legislation are to improve family outcomes, streamline program delivery, reduce burden on PHAs and private owners, and reduce short- and long-term program costs.

This legislative proposal will include a substantial expansion of the Moving to Work (MTW) program to high-capacity PHAs. In partnership with HUD, participating PHAs will design and implement innovative policies related to housing preservation, family self-sufficiency, mobility, cost-effectiveness and other priority areas. Key tenant protections will continue to apply and PHAs will be subject to rigorous reporting and evaluation requirements.

Additional reforms include: (1) providing PHAs greater flexibility in the use of their funds for supportive services; (2) streamlining the Family Self-Sufficiency program; (3) allowing fixed-income families to recertify their incomes every three years; (4) simplifying and improving the annual plan requirement; (5) increasing

the threshold used to determine deductions for unreimbursed medical expenses from 3 to 10 percent of family income; (6) broadening the definition of extremely low-income to apply to families with incomes that are the higher of 30 percent of area median income or the Federal poverty level; and (7) ensuring the responsible stewardship of Federal funds by establishing reasonable limits on compensation provided to PHA personnel. This is part of a government-wide effort to review the compensation policy of non-Federal staff supported primarily with Federal funds.

The proposed legislation will also address reforms specific to the Housing Choice Voucher program, such as: (1) authorizing the renewal formula to ensure predictability and stability for the program; (2) improving the Project-Based Voucher program; (3) addressing homelessness through expansion of the sponsor-based assistance model; (4) enabling biennial and alternative inspections, and (5) streamlining the process for establishing annual Fair Market Rents. The Administration also continues to improve the management of the Housing Choice Voucher program by working on the development of the Next Generation Management System, which will comprehensively overhaul and improve HUD information technology systems to better manage and administer the program.

While some reforms are included in the general provisions at the end of this chapter, all others will be included in authorizing legislation to be transmitted to Congress in the Spring of 2013.

#### HOUSING CERTIFICATE FUND

##### (CANCELLATION)

*Unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under this heading, the heading "Annual Contributions for Assisted Housing", and the heading "Project-Based Rental Assistance", for fiscal year 2014 and prior years may be used for renewal of or amendments to section 8 project-based contracts and for performance-based contract administrators, notwithstanding the purposes for which such funds were appropriated: Provided, That any obligated balances of contract authority from fiscal year 1974 and prior that have been terminated are hereby permanently cancelled: Provided further, That amounts previously recaptured, or recaptured during the current fiscal year, from section 8 project-based contracts from source years fiscal year 1975 through fiscal year 1987 are hereby permanently cancelled, and an amount of additional new budget authority, equivalent to the amount permanently cancelled is hereby appropriated, to remain available until expended, for the purposes set forth under this heading, in addition to amounts otherwise available.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 86-0319-0-1-604                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Contract Renewals .....                                   |             |         | 29        |
| 0900 Total new obligations (object class 41.0) .....           |             |         | 29        |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 53          | 25      |           |
| 1021 Recoveries of prior year unpaid obligations .....         | 216         | 125     | 29        |
| 1025 Unobligated balance of contract authority withdrawn ..... | -5          |         |           |
| 1029 Other balances withdrawn .....                            | -39         | -12     |           |
| 1050 Unobligated balance (total) .....                         | 225         | 138     | 29        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       |             |         | 29        |

HOUSING CERTIFICATE FUND—Continued  
Program and Financing—Continued

| Identification code 86-0319-0-1-604       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1131                                      | Unobligated balance of appropriations permanently reduced (HCF funds) .....     | -200        | -95     | -29       |
| 1131                                      | Unobligated balance of appropriations permanently reduced (non-HCF funds) ..... |             | -43     |           |
| 1160                                      | Appropriation, discretionary (total) .....                                      | -200        | -138    |           |
| 1900                                      | Budget authority (total) .....  | -200        | -138    |           |
| 1930                                      | Total budgetary resources available .....                                       | 25          |         | 29        |
| Memorandum (non-add) entries:             |   |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....                                | 25          |         |           |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                                | 3,180       | 2,130   | 1,527     |
| 3010                                      | Obligations incurred, unexpired accounts .....                                  |             |         | 29        |
| 3020                                      | Outlays (gross) .....   | -834        | -478    | -427      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....                    | -216        | -125    | -29       |
| 3050                                      | Unpaid obligations, end of year .....   | 2,130       | 1,527   | 1,100     |
| Memorandum (non-add) entries:             |   |             |         |           |
| 3100                                      | Obligated balance, start of year .....  | 3,180       | 2,130   | 1,527     |
| 3200                                      | Obligated balance, end of year .....  | 2,130       | 1,527   | 1,100     |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| 4000                                      | Budget authority, gross .....   | -200        | -138    |           |
| Outlays, gross:                           |   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                                  |             |         | 1         |
| 4011                                      | Outlays from discretionary balances .....                                       | 834         | 478     | 426       |
| 4020                                      | Outlays, gross (total) .....  | 834         | 478     | 427       |
| 4180                                      | Budget authority, net (total) .....   | -200        | -138    |           |
| 4190                                      | Outlays, net (total) .....  | 834         | 478     | 427       |
| <b>Memorandum (non-add) entries:</b>      |   |             |         |           |
| 5052                                      | Obligated balance, SOY: Contract authority .....                                | 5           |         |           |

Until 2005, the Housing Certificate Fund provided funding to both the project-based and tenant-based components of the Section 8 program. Project-Based Rental Assistance and Tenant-Based Rental Assistance are now funded in separate accounts. The Housing Certificate Fund retains and recovers balances from previous years' appropriations, and uses those balances to support contract renewals, amendments, and performance-based contract administrators.

## HOUSING PROGRAMS

## PROJECT-BASED RENTAL ASSISTANCE

For activities and assistance for the provision of project-based subsidy contracts under the United States Housing Act of 1937 (42 U.S.C. 1437 et seq.) ("the Act"), not otherwise provided for, \$9,872,000,000, to remain available until expended, shall be available on October 1, 2013 (in addition to the \$400,000,000 previously appropriated under this heading that became available October 1, 2013), and \$400,000,000, to remain available until expended, shall be available on October 1, 2014: Provided, That the amounts made available under this heading shall be available for expiring or terminating section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for amendments to section 8 project-based subsidy contracts (including section 8 moderate rehabilitation contracts), for contracts entered into pursuant to section 441 of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11401), for renewal of section 8 contracts for units in projects that are subject to approved plans of action under the Emergency Low Income Housing Preservation Act of 1987 or the Low-Income Housing Preservation and Resident Homeownership Act of 1990, and for administrative and other expenses associated with project-based activities and assistance funded under this paragraph: Provided further, That of the total amounts provided under this heading, not to exceed \$265,000,000 shall be available for assistance agreements with performance-based contract administrators for section 8 project-based assistance, for carrying out 42 U.S.C. 1437(f): Provided

further, That the Secretary of Housing and Urban Development may also use such amounts in the previous proviso for performance-based contract administrators for the administration of: interest reduction payments pursuant to section 236(a) of the National Housing Act (12 U.S.C. 1715z-1(a)); rent supplement payments pursuant to section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s); section 236(f)(2) rental assistance payments (12 U.S.C. 1715z-1(f)(2)); project rental assistance contracts for the elderly under section 202(c)(2) of the Housing Act of 1959 (12 U.S.C. 1701q); project rental assistance contracts for supportive housing for persons with disabilities under section 811(d)(2) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013(d)(2)); project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667); and loans under section 202 of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667): Provided further, That amounts recaptured under this heading, the heading "Annual Contributions for Assisted Housing", or the heading "Housing Certificate Fund" may be used for renewals of or amendments to section 8 project-based contracts or for performance-based contract administrators, notwithstanding the purposes for which such amounts were appropriated: Provided further, That, notwithstanding any other provision of law, upon the request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a section 8 project-based Housing Assistance Payments contract that authorizes HUD to require that surplus project funds be deposited in an interest-bearing residual receipts account and that are in excess of an amount to be determined by the Secretary, shall be remitted to the Department and deposited in this account, to be available until expended: Provided further, That amounts deposited pursuant to the previous proviso shall be available in addition to the amount otherwise provided by this heading for uses authorized under this heading.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0303-0-1-604                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Contract Renewals .....                                       | 8,442       | 8,660   | 9,515     |
| 0002   | RAD Contract Renewals .....                                   |             |         | 21        |
| 0003   | Section 8 Amendments .....                                    | 556         | 625     | 612       |
| 0004   | Contract Administrators .....                                 | 308         | 260     | 265       |
| 0005   | Vouchers for Disaster Relief .....                            |             |         | 1         |
| 0006   | Tenant Information and Outreach .....                         | 5           |         |           |
| 0900   | Total new obligations (object class 41.0) .....               | 9,311       | 9,545   | 10,414    |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 114         | 181     | 31        |
| 1021   | Recoveries of prior year unpaid obligations .....             | 38          |         |           |
| 1050   | Unobligated balance (total) .....                             | 152         | 181     | 31        |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 8,940       | 8,995   | 9,872     |
| 1120   | Appropriations transferred to other accts [86-0402] .....     |             |         | -15       |
| 1121   | Appropriations transferred from other accts [86-0163] .....   |             |         | 16        |
| 1121   | Appropriations transferred from other accts [86-0304] .....   |             |         | 5         |
| 1160   | Appropriation, discretionary (total) .....                    | 8,940       | 8,995   | 9,878     |
| Advance appropriations, discretionary:                         |   |             |         |           |
| 1170   | Advance appropriation .....                                   | 400         | 400     | 400       |
| 1180   | Advanced appropriation, discretionary (total) .....           | 400         | 400     | 400       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   |             |         | 105       |
| 1750   | Spending auth from offsetting collections, disc (total) ..... |             |         | 105       |
| 1900   | Budget authority (total) .....                                | 9,340       | 9,395   | 10,383    |
| 1930   | Total budgetary resources available .....                     | 9,492       | 9,576   | 10,414    |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 181         | 31      |           |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 5,584       | 5,613   | 5,600     |
| 3010   | Obligations incurred, unexpired accounts .....                | 9,311       | 9,545   | 10,414    |

|   |  |        |        |         |
|---|--|--------|--------|---------|
| 3020                                      | Outlays (gross) .....  | -9,244 | -9,558 | -10,079 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -38    | .....  | .....   |
| 3050                                      | Unpaid obligations, end of year .....                        | 5,613  | 5,600  | 5,935   |
|   | Memorandum (non-add) entries:                                |        |        |         |
| 3100                                      | Obligated balance, start of year .....                       | 5,584  | 5,613  | 5,600   |
| 3200                                      | Obligated balance, end of year .....                         | 5,613  | 5,600  | 5,935   |
| <b>Budget authority and outlays, net:</b> |  |        |        |         |
| Discretionary:                            |  |        |        |         |
| 4000                                      | Budget authority, gross .....                                | 9,340  | 9,395  | 10,383  |
|   | Outlays, gross:  |        |        |         |
| 4010                                      | Outlays from new discretionary authority .....               | 3,786  | 4,808  | 5,293   |
| 4011                                      | Outlays from discretionary balances .....                    | 5,458  | 4,750  | 4,786   |
| 4020                                      | Outlays, gross (total) .....                                 | 9,244  | 9,558  | 10,079  |
|   | Offsets against gross budget authority and outlays:          |        |        |         |
|   | Offsetting collections (collected) from:                     |        |        |         |
| 4033                                      | Non-Federal sources .....                                    | .....  | .....  | -105    |
| 4180                                      | Budget authority, net (total) .....                          | 9,340  | 9,395  | 10,278  |
| 4190                                      | Outlays, net (total) .....                                   | 9,244  | 9,558  | 9,974   |

The Budget requests \$10.3 billion for Project-Based Rental Assistance (PBRA), of which \$400 million is requested as an advance appropriation to become available in 2015. The PBRA program assists approximately 1.2 million extremely low- to low-income households in obtaining decent, safe, and sanitary housing in private accommodations. PBRA serves families, elderly and disabled households and provides transitional housing for the homeless. Through this funding, HUD supports approximately 17,500 contracts with private owners of multifamily housing by paying the difference between what a household can afford, generally 30 percent of its eligible income, and the approved market-based rent for a housing unit.

The Budget proposes comprehensive legislative reforms to HUD's core rental assistance programs, including PBRA. In addition to crosscutting reforms, which are summarized under the Tenant-Based Rental Assistance heading, the Budget includes the following proposals that are specific to the PBRA program: (1) collects excess reserves (residual receipts) from owners in the PBRA program and uses them to cover a portion of the assistance payments; (2) establishes a demonstration allowing HUD to enter multi-year agreements to repay private investors who provide upfront funding for energy efficiency retrofits of HUD-assisted housing; and (3) amends the Low-Income Housing Preservation and Resident Homeownership Act (LIHPRA) to align owner distribution policies in properties governed by LIHPRA with other PBRA-assisted properties in order to facilitate preservation transactions. HUD also plans to implement a Flexible Portfolio Demonstration, which would offer regulatory and administrative flexibilities to high-performing multifamily owners in exchange for commitments to provide costs savings and to preserve property affordability.

Program activities include the following:

**Contract Renewals and Amendments.**—These activities provide funding for HUD to renew expiring contracts and amend contracts that have not expired but require additional funding for HUD to meet remaining payment obligations. These funds cover the direct housing costs of families in the program. Currently, 91 percent of contracts are funded annually; the other 9 percent are long-term contracts funded with previous appropriations, of which 45 percent will need amendment funding in 2014. Appropriations for these activities are supplemented with recoveries of excess balances remaining on expired contracts that utilized less than anticipated resources during their initial terms.

**Contract Administrators.**—This activity funds the local level administration of the program through HUD contracts with performance-based contract administrators. These entities, which are typically public housing authorities or state housing finance agencies, are responsible for conducting on-site management re-

views of assisted properties; adjusting contract rents; reviewing, processing, and paying monthly vouchers submitted by owners; renewing contracts with property owners; and responding to health and safety issues at properties. The Budget requests up to \$265 million for this purpose.

#### PUBLIC HOUSING CAPITAL FUND

*For the Public Housing Capital Fund Program to carry out capital and management activities for public housing agencies, as authorized under section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g) (the "Act"), \$2,000,000,000, to remain available until September 30, 2017: Provided, That notwithstanding any other provision of law or regulation, during fiscal year 2014 the Secretary of Housing and Urban Development may not delegate to any Department official other than the Deputy Secretary and the Assistant Secretary for Public and Indian Housing any authority under paragraph (2) of section 9(j) regarding the extension of the time periods under such section: Provided further, That for purposes of such section 9(j), the term "obligate" means, with respect to amounts, that the amounts are subject to a binding agreement that will result in outlays, immediately or in the future: Provided further, That up to \$8,000,000 shall be to support ongoing Public Housing Financial and Physical Assessment activities: Provided further, That of the total amount provided under this heading, not to exceed \$20,000,000 shall be available for the Secretary to make grants, notwithstanding section 204 of this Act, to public housing agencies for emergency capital needs resulting from unforeseen or unpreventable emergencies and natural disasters excluding Presidentially declared emergencies and natural disasters under the Robert T. Stafford Disaster Relief and Emergency Act (42 U.S.C. 5121 et seq.) occurring in fiscal year 2014: Provided further, That from the funds made available under this heading, the Secretary shall provide bonus awards in fiscal year 2014 to public housing agencies that are designated high performers: Provided further, That up to \$15,000,000 of funds made available under this heading shall be used for a Jobs-Plus Pilot initiative modeled after the Jobs-Plus demonstration: Provided further, That the Jobs-Plus Pilot initiative shall provide competitive grants to partnerships between public housing authorities, local workforce investment boards established under section 117 of the Workforce Investment Act of 1998, and other agencies and organizations that provide support to help public housing residents obtain employment and increase earnings: Provided further, That the Secretary may waive or specify alternative requirements for any provision of the United States Housing Act of 1937 (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment) upon a finding by the Secretary that any such waivers or alternative requirements are necessary for the effective implementation of the Jobs-Plus Pilot initiative: Provided further, That the Secretary shall publish by notice in the Federal Register any waivers or alternative requirements pursuant to the preceding proviso no later than 10 days before the effective date of such notice.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 86-0304-0-1-604                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| 0001 Capital Grants .....                                 | 1,789       | 1,843   | 1,936     |
| 0002 Technical Assistance .....                           | 2           | 1       | .....     |
| 0003 Emergency/Disaster Reserve .....                     | 16          | 20      | 20        |
| 0006 Resident Opportunities and Supportive Services ..... | 50          | 50      | .....     |
| 0007 Administrative Receivership .....                    | 3           | 10      | 10        |
| 0008 Financial and Physical Assessment Support .....      | 13          | 18      | 18        |
| 0009 Early Childhood Education Facilities .....           | 7           | .....   | .....     |
| 0010 Jobs-Plus Pilot .....                                | .....       | .....   | 15        |
| 0900 Total new obligations (object class 41.0) .....      | 1,880       | 1,942   | 1,999     |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Unobligated balance:                                      |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....     | 76          | 87      | 20        |
| 1021 Recoveries of prior year unpaid obligations .....    | 102         | .....   | .....     |

PUBLIC HOUSING CAPITAL FUND—Continued  
Program and Financing—Continued

| Identification code 86-0304-0-1-604       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 1029                                      | Other balances withdrawn .....                               | -83         | -11     | .....     |
| 1050                                      | Unobligated balance (total) .....                            | 95          | 76      | 20        |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 1,875       | 1,886   | 2,000     |
| 1120                                      | Appropriations transferred to other accts [86-0303] .....    | .....       | .....   | -5        |
| 1120                                      | Appropriations transferred to other accts [86-0302] .....    | .....       | .....   | -6        |
| 1120                                      | Appropriations transferred to other accts [86-0402] .....    | .....       | .....   | -10       |
| 1160                                      | Appropriation, discretionary (total) .....                   | 1,875       | 1,886   | 1,979     |
| 1900                                      | Budget authority (total) .....                               | 1,875       | 1,886   | 1,979     |
| 1930                                      | Total budgetary resources available .....                    | 1,970       | 1,962   | 1,999     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1940                                      | Unobligated balance expiring .....                           | -3          | .....   | .....     |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 87          | 20      | .....     |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 5,635       | 4,688   | 4,130     |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 1,880       | 1,942   | 1,999     |
| 3020                                      | Outlays (gross) .....  | -2,719      | -2,500  | -2,388    |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -102        | .....   | .....     |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....   | -6          | .....   | .....     |
| 3050                                      | Unpaid obligations, end of year .....                        | 4,688       | 4,130   | 3,741     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 5,635       | 4,688   | 4,130     |
| 3200                                      | Obligated balance, end of year .....                         | 4,688       | 4,130   | 3,741     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 1,875       | 1,886   | 1,979     |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               | 93          | 38      | 40        |
| 4011                                      | Outlays from discretionary balances .....                    | 2,538       | 2,462   | 2,348     |
| 4020                                      | Outlays, gross (total) .....                                 | 2,631       | 2,500   | 2,388     |
| Mandatory:                                |  |             |         |           |
| Outlays, gross:                           |  |             |         |           |
| 4101                                      | Outlays from mandatory balances .....                        | 88          | .....   | .....     |
| 4180                                      | Budget authority, net (total) .....                          | 1,875       | 1,886   | 1,979     |
| 4190                                      | Outlays, net (total) .....                                   | 2,719       | 2,500   | 2,388     |

The Budget proposes \$2 billion for the Public Housing Capital Fund, a formula program designed to respond to the capital and management improvement requirements of Public Housing properties. This program preserves and enhances a valuable affordable housing resource that serves approximately 1.1 million low-income families. Of the amount requested, over \$1.9 billion will fund capital grants to Public Housing Authorities. The balance includes: up to \$20 million for emergency capital needs resulting from non-Presidentially declared emergencies and natural disasters; up to \$15 million for a Jobs-Plus pilot modeled after the evidence-based Jobs-Plus demonstration; and up to \$8 million for Public Housing financial and physical assessment support.

## PUBLIC HOUSING OPERATING FUND

*For 2014 payments to public housing agencies for the operation and management of public housing, as authorized by section 9(e) of the United States Housing Act of 1937 (42 U.S.C. 1437g(e)), \$4,600,000,000: Provided, That in determining public housing agencies', including Moving to Work agencies', calendar year 2014 funding allocations under this heading, the Secretary shall take into account the impact of changes in flat rents and medical expense thresholds on public housing agencies' formula income levels.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0163-0-1-604       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Operating Subsidy .....                                    | 3,957       | 3,991   | 4,560     |
| 0900                                      | Total new obligations (object class 41.0) .....            | 3,957       | 3,991   | 4,560     |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....           | 3           | 8       | .....     |
| 1029                                      | Other balances withdrawn .....                             | .....       | -3      | .....     |
| 1050                                      | Unobligated balance (total) .....                          | 3           | 5       | .....     |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 3,962       | 3,986   | 4,600     |
| 1120                                      | Appropriations transferred to other accts [86-0302] .....  | .....       | .....   | -16       |
| 1120                                      | Appropriations transferred to other accts [86-0303] .....  | .....       | .....   | -16       |
| 1120                                      | Appropriations transferred to other accts [86-0402] .....  | .....       | .....   | -8        |
| 1160                                      | Appropriation, discretionary (total) .....                 | 3,962       | 3,986   | 4,560     |
| 1900                                      | Budget authority (total) .....                             | 3,962       | 3,986   | 4,560     |
| 1930                                      | Total budgetary resources available .....                  | 3,965       | 3,991   | 4,560     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....           | 8           | .....   | .....     |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 1,312       | 1,048   | 1,116     |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 3,957       | 3,991   | 4,560     |
| 3020                                      | Outlays (gross) .....                                      | -4,220      | -3,923  | -4,399    |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -1          | .....   | .....     |
| 3050                                      | Unpaid obligations, end of year .....                      | 1,048       | 1,116   | 1,277     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 1,312       | 1,048   | 1,116     |
| 3200                                      | Obligated balance, end of year .....                       | 1,048       | 1,116   | 1,277     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                              | 3,962       | 3,986   | 4,560     |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 2,922       | 2,870   | 3,283     |
| 4011                                      | Outlays from discretionary balances .....                  | 1,298       | 1,053   | 1,116     |
| 4020                                      | Outlays, gross (total) .....                               | 4,220       | 3,923   | 4,399     |
| 4180                                      | Budget authority, net (total) .....                        | 3,962       | 3,986   | 4,560     |
| 4190                                      | Outlays, net (total) .....                                 | 4,220       | 3,923   | 4,399     |

The Budget requests \$4.6 billion for the Public Housing Operating Fund, which provides subsidies to Public Housing Authorities (PHAs) to assist in funding the operating expenses of Public Housing units in accordance with Section 9(e) of the United States Housing Act of 1937. This request is equal to 90 percent of PHAs' estimated funding eligibility under the Operating Fund formula.

The Budget also proposes comprehensive legislative reforms to HUD's core rental assistance programs, including Public Housing. In addition to crosscutting reforms, which are summarized under the Tenant-Based Rental Assistance heading, the Budget includes the following proposals that are specific to Public Housing: (1) provides all PHAs with full flexibility to use their operating and capital funds for any eligible expense under both programs; (2) phases in a flat rent floor of 80 percent of the applicable fair market rent; (3) allows PHAs to form consortia for the purposes of administering Public Housing; (4) streamlines the community service requirement; and (5) establishes a utilities conservation pilot to encourage PHAs to undertake energy conservation measures and reduce Federal costs. The first three proposals are reflected in the general provisions at the end of this budget chapter; the latter two will be included in authorizing legislation to be submitted to Congress in the spring of 2013.

## DRUG ELIMINATION GRANTS FOR LOW-INCOME HOUSING

## Program and Financing (in millions of dollars)

| Identification code 86-0197-0-1-604                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | .....     |
| 1029 Other balances withdrawn .....                   | .....       | -1      | .....     |
| 1050 Unobligated balance (total) .....                | 1           | .....   | .....     |
| 1930 Total budgetary resources available .....        | 1           | .....   | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |

No new appropriations have been provided for the Public Housing Drug Elimination Grants program since 2001.

## CHOICE NEIGHBORHOODS INITIATIVE

*For competitive grants under the Choice Neighborhoods Initiative for transformation, rehabilitation, and replacement housing needs of both public and HUD-assisted housing and to transform neighborhoods of poverty into functioning, sustainable mixed income neighborhoods with appropriate services, schools, public assets, transportation and access to jobs, \$400,000,000, to remain available until September 30, 2016, of which the Secretary of Housing and Urban Development may use up to \$5,000,000 for technical assistance and contract expertise, including training and the cost of necessary travel for participants in such training, to be provided directly or indirectly through grants, contracts or cooperative agreements by or to officials and employees of the Department and of grantees and to residents: Provided, That grant funds may be used for resident and community services, community development, and affordable housing needs, including housing vouchers (the renewal of which shall be funded solely from this account), in the community, and for conversion of vacant or foreclosed properties to affordable housing: Provided further, That grantees shall commit to an additional period of affordability determined by the Secretary, but not fewer than 20 years: Provided further, That grantees shall undertake comprehensive local planning with input from residents and the community, and that grantees shall provide a match in State, local, other Federal or private funds: Provided further, That grantees may include local governments, tribal entities, public housing authorities, and nonprofits: Provided further, That for-profit developers may apply jointly with a public entity: Provided further, That such grantees shall create partnerships with other local organizations including assisted housing owners, service agencies, and resident organizations: Provided further, That the Secretary shall consult with the Secretaries of Education, Labor, Transportation, Health and Human Services, Agriculture, and Commerce, the Attorney General and the Administrator of the Environmental Protection Agency to coordinate and leverage other appropriate Federal resources.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0349-0-1-604                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Choice Neighborhoods Grants .....               | 1           | 240     | 398       |
| 0900 Total new obligations (object class 41.0) ..... | 1           | 240     | 398       |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 119   | ..... | ..... |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                 |       |       |       |
| 1100 Appropriation .....                                       | 120   | 121   | 400   |
| 1120 Appropriations transferred to other accts [86-0402] ..... | ..... | ..... | -2    |
| 1160 Appropriation, discretionary (total) .....                | 120   | 121   | 398   |
| 1930 Total budgetary resources available .....                 | 120   | 240   | 398   |
| Memorandum (non-add) entries:                                  |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....          | 119   | ..... | ..... |
| <b>Change in obligated balance:</b>                            |       |       |       |
| Unpaid obligations:  |       |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....          | 1     | 233   | ..... |
| 3010 Obligations incurred, unexpired accounts .....            | 1     | 240   | 398   |
| 3020 Outlays (gross) .....                                     | ..... | -8    | -36   |
| 3050 Unpaid obligations, end of year .....                     | 1     | 233   | 595   |
| Memorandum (non-add) entries:                                  |       |       |       |
| 3100 Obligated balance, start of year .....                    | 1     | 233   | ..... |
| 3200 Obligated balance, end of year .....                      | 1     | 233   | 595   |
| <b>Budget authority and outlays, net:</b>                      |       |       |       |
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                             | 120   | 121   | 398   |
| Outlays, gross:  |       |       |       |
| 4011 Outlays from discretionary balances .....                 | ..... | 8     | 36    |
| 4180 Budget authority, net (total) .....                       | 120   | 121   | 398   |
| 4190 Outlays, net (total) .....                                | ..... | 8     | 36    |

The Budget proposes \$400 million for Choice Neighborhoods to continue the transformation of neighborhoods of poverty into sustainable, mixed-income neighborhoods with well-functioning services, schools, public assets, transportation, and access to jobs. The goal of the program is to transform distressed neighborhoods and improve the quality of life of current and future residents by coordinating and concentrating neighborhood investments from multiple sources. To date, the Department has awarded 9 implementation grants in the amount of \$231 million and 47 planning grants in the amount of \$12.6 million. The Budget will fund an additional 10 implementation grants and 20 planning grants.

Choice Neighborhoods builds on the successes of Public Housing transformation under HOPE VI with a broader approach to concentrated poverty. In addition to preserving, rehabilitating, and transforming distressed Public Housing and other HUD-assisted rental properties, Choice Neighborhoods implementation grants support key social service investments as well as a range of community and economic development activities. A strong emphasis is placed on improving outcomes for youth by leveraging evidence-based school reforms and early education and after school programs. Grantees, which include public housing authorities, local governments, non-profits and for-profit developers, are required to undertake comprehensive local planning with input from residents and community stakeholders.

Choice Neighborhoods is also a central component of the Administration's new Promise Zones—high-poverty communities where the Federal government will work with local leadership to invest and engage more intensely to create jobs, leverage private investment, increase economic activity, reduce violence and expand educational opportunities. The Budget includes companion investments of \$200 million and \$10 million, respectively, in HUD's Neighborhood Stabilization and Rental Assistance Demonstration programs, \$300 million in the Department of Education's Promise Neighborhoods program, and \$35 million in the Department of Justice's Byrne Criminal Justice Innovation Grants program, as well as tax incentives to promote investment and economic growth.

## REVITALIZATION OF SEVERELY DISTRESSED PUBLIC HOUSING (HOPE VI)

## Program and Financing (in millions of dollars)

| Identification code 86-0218-0-1-604                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 HOPE VI Grants and Technical Assistance .....                 | 9           | 2       | 5         |
| 0900 Total new obligations (object class 41.0) .....               | 9           | 2       | 5         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 13          | 7       | 5         |
| 1021 Recoveries of prior year unpaid obligations .....             | 2           |         |           |
| 1050 Unobligated balance (total) .....                             | 15          | 7       | 5         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 2           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2           |         |           |
| 1900 Budget authority (total) .....                                | 2           |         |           |
| 1930 Total budgetary resources available .....                     | 17          | 7       | 5         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 7           | 5       |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 670         | 546     | 418       |
| 3010 Obligations incurred, unexpired accounts .....                | 9           | 2       | 5         |
| 3020 Outlays (gross) .....   | -131        | -130    | -130      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 546         | 418     | 293       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 670         | 546     | 418       |
| 3200 Obligated balance, end of year .....                          | 546         | 418     | 293       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 2           |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                     | 131         | 130     | 130       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -2          |         |           |
| 4190 Outlays, net (total) .....                                    | 129         | 130     | 130       |

The HOPE VI program, in coordination with funding from the Public Housing Capital Fund, has accomplished its goal of contributing to the demolition of 100,000 severely distressed Public Housing units. The Budget proposes no additional funds for this program. Instead, the Budget builds on the success of HOPE VI with the Choice Neighborhoods program, which makes a broad range of transformative investments in high-poverty neighborhoods where Public Housing and other HUD-assisted housing is concentrated.

Remaining balances of HOPE VI grants will spend out over several years as redevelopment projects are completed. Cumulative results of the HOPE VI program as of September 30, 2012 are as follows: 75,116 households relocated; 96,456 units demolished; 93,265 units (new and rehabilitated) completed; and 92,139 completed units occupied.

## FAMILY SELF-SUFFICIENCY

For the Family Self-Sufficiency program to support family self-sufficiency coordinators under section 23 of the United States Housing Act of 1937, to promote the development of local strategies to coordinate the use of assistance under sections 8(o) and 9 of such Act with public and private resources, and enable eligible families to achieve economic independence and self-sufficiency, \$75,000,000: Provided, That the Secretary may, by Federal Register notice, waive or specify alternative requirements (except for requirements related to fair housing, nondiscrimination, labor standards, and the environment) for any provision of section 23 of such Act in

order to better fulfill the purposes of section 23 of such Act, as determined by the Secretary.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0350-0-1-604                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Family Self-Sufficiency .....                   |             |         | 75        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 75        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 75        |
| 1160 Appropriation, discretionary (total) .....      |             |         | 75        |
| 1930 Total budgetary resources available .....       |             |         | 75        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 75        |
| 3050 Unpaid obligations, end of year .....           |             |         | 75        |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 75        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 75        |
| 4180 Budget authority, net (total) .....             |             |         | 75        |

The Budget requests \$75 million for a consolidated Family Self-Sufficiency (FSS) Program to help Housing Choice Voucher and Public Housing residents achieve self-sufficiency and economic independence. The FSS program is designed to provide service coordination through community partnerships that link assisted residents with employment assistance, job training, child care, transportation, financial literacy, and other supportive services. The funding will be allocated through one competition to eligible Public Housing Authorities (PHAs) to support service coordinators. Rather than operate two separate and independently administered FSS programs for Housing Choice Voucher and Public Housing families, the Budget proposes to consolidate and align the FSS program into one program to enable PHAs to more uniformly serve both programs' residents.

In addition to the FSS program consolidation, the Budget includes flexible authorities for PHAs to combine and use a portion of their funds from the Public Housing Operating and Capital Funds, and Tenant-Based Rental Assistance administrative fees towards additional service coordination that could complement the FSS program or provide residents with other supportive services that promote positive resident outcomes related to education, health, self-sufficiency and quality of life.

## NATIVE AMERICAN HOUSING BLOCK GRANTS

For the Native American Housing Block Grants program, as authorized under title I of the Native American Housing Assistance and Self-Determination Act of 1996 (NAHASDA) (25 U.S.C. 4111 et seq.), \$650,000,000, to remain available until September 30, 2018: Provided, That, notwithstanding the Native American Housing Assistance and Self-Determination Act of 1996, to determine the amount of the allocation under title I of such Act for each Indian tribe, the Secretary shall apply the formula under section 302 of such Act with the need component based on single-race census data and with the need component based on multi-race census data, and the amount of the allocation for each Indian tribe shall be the greater of the two resulting allocation amounts: Provided further, That

of the amount provided under this heading, \$2,000,000 shall be made available for the cost of guaranteed notes and other obligations, as authorized by title VI of NAHASDA: Provided further, That such costs, including the costs of modifying such notes and other obligations, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: Provided further, That these funds are available to subsidize the total principal amount of any notes and other obligations, any part of which is to be guaranteed, not to exceed \$16,530,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 86–0313–0–1–604                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0010 Indian Housing Block Grants .....                             | 682         | 685     | 640       |
| 0011 Technical Assistance .....                                    | 3           | 6       | 2         |
| 0015 National American Indian Housing Council .....                | 4           | 4       | .....     |
| 0091 Direct program activities, subtotal .....                     | 689         | 695     | 642       |
| Credit program obligations:  |             |         |           |
| 0702 Loan guarantee subsidy .....                                  | 2           | 5       | 5         |
| 0707 Reestimates of loan guarantee subsidy .....                   | .....       | 1       | .....     |
| 0791 Direct program activities, subtotal .....                     | 2           | 6       | 5         |
| 0900 Total new obligations (object class 41.0) .....               | 691         | 701     | 647       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 81          | 45      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 4           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 85          | 45      | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 650         | 654     | 650       |
| 1120 Appropriations transferred to other accts [86–0402] .....     | .....       | .....   | –3        |
| 1160 Appropriation, discretionary (total) .....                    | 650         | 654     | 647       |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | .....       | 2       | .....     |
| 1260 Appropriations, mandatory (total) .....                       | .....       | 2       | .....     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | .....   | .....     |
| 1900 Budget authority (total) .....                                | 651         | 656     | 647       |
| 1930 Total budgetary resources available .....                     | 736         | 701     | 647       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 45          | .....   | .....     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1,172       | 1,104   | 1,155     |
| 3010 Obligations incurred, unexpired accounts .....                | 691         | 701     | 647       |
| 3020 Outlays (gross) .....   | –752        | –650    | –673      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –4          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 1,104       | 1,155   | 1,129     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1,172       | 1,104   | 1,155     |
| 3200 Obligated balance, end of year .....                          | 1,104       | 1,155   | 1,129     |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 651         | 654     | 647       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 192         | 193     | 191       |
| 4011 Outlays from discretionary balances .....                     | 560         | 457     | 482       |
| 4020 Outlays, gross (total) .....                                  | 752         | 650     | 673       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | –1          | .....   | .....     |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | .....       | 2       | .....     |
| 4180 Budget authority, net (total) .....                           | 650         | 656     | 647       |
| 4190 Outlays, net (total) .....                                    | 751         | 650     | 673       |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 86–0313–0–1–604                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Title VI Indian Federal Guarantees Program .....         | 20          | 45      | 45        |
| 215999 Total loan guarantee levels .....                        | 20          | 45      | 45        |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Title VI Indian Federal Guarantees Program .....         | 10.80       | 10.91   | 12.10     |
| 232999 Weighted average subsidy rate .....                      | 10.80       | 10.91   | 12.10     |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Title VI Indian Federal Guarantees Program .....         | 2           | 5       | 5         |
| 233999 Total subsidy budget authority .....                     | 2           | 5       | 5         |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 Title VI Indian Federal Guarantees Program .....         | 2           | 2       | 2         |
| 234999 Total subsidy outlays .....                              | 2           | 2       | 2         |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 Title VI Indian Federal Guarantees Program .....         | .....       | 2       | .....     |
| 235999 Total upward reestimate budget authority .....           | .....       | 2       | .....     |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 Title VI Indian Federal Guarantees Program .....         | –3          | –3      | .....     |
| 237999 Total downward reestimate subsidy budget authority ..... | –3          | –3      | .....     |

Title I of the Native American Housing Assistance and Self-Determination Act (NAHASDA) of 1996 (P.L. 104–330) authorized the Native American Housing Block Grant program. This program provides an allocation of funds on a formula basis to Indian tribes and their tribally designated housing entities to help them address housing needs within their communities. HUD estimated that in 2011, out of a population of 1.5 million American Indians and Alaska Natives in block grant formula areas, over 100,000 households were either overcrowded or lacked adequate plumbing or kitchen facilities.

The Budget includes \$650 million for the total activities of this program in 2014, including \$2 million for the Title VI loan guarantee program, which will guarantee \$16.5 million in loans to tribes. A primary goal of the Title VI program is to encourage private lenders to provide financing in Indian Country. The program provides for the Federal guarantee of notes or other obligations issued by Indian tribes or tribally designated housing entities for the purpose of financing affordable housing activities described in section 202 of the Act.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1998 and beyond (including modifications of guarantees that resulted from obligations in any given year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

**NATIVE HAWAIIAN HOUSING BLOCK GRANT**

*For the Native Hawaiian Housing Block Grant program, as authorized under title VIII of the Native American Housing Assistance and Self-Determination Act of 1996 (25 U.S.C. 4111 et seq.), \$13,000,000, to remain available until September 30, 2018: Provided, That of this amount, \$300,000 shall be for training and technical assistance activities, including up to \$100,000 for related travel by Hawaii-based employees of the Department of Housing and Urban Development.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**NATIVE HAWAIIAN HOUSING BLOCK GRANT—Continued**  
**Program and Financing** (in millions of dollars)

| Identification code 86-0235-0-1-604                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Native Hawaiian Housing Block Grant .....        | 13          | 14      | 13        |
| 0900 Total new obligations (object class 41.0) .....  | 13          | 14      | 13        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | .....     |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 13          | 13      | 13        |
| 1160 Appropriation, discretionary (total) .....       | 13          | 13      | 13        |
| 1930 Total budgetary resources available .....        | 14          | 14      | 13        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 43          | 53      | 54        |
| 3010 Obligations incurred, unexpired accounts .....   | 13          | 14      | 13        |
| 3020 Outlays (gross) .....                            | -3          | -13     | -16       |
| 3050 Unpaid obligations, end of year .....            | 53          | 54      | 51        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 43          | 53      | 54        |
| 3200 Obligated balance, end of year .....             | 53          | 54      | 51        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 13          | 13      | 13        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | .....       | 1       | 1         |
| 4011 Outlays from discretionary balances .....        | 3           | 12      | 15        |
| 4020 Outlays, gross (total) .....                     | 3           | 13      | 16        |
| 4180 Budget authority, net (total) .....              | 13          | 13      | 13        |
| 4190 Outlays, net (total) .....                       | 3           | 13      | 16        |

The Hawaiian Homelands Homeownership Act of 2000 (P.L. 106-568) amended the Native American Housing Assistance and Self-Determination Act of 1996 by adding Title VIII, which authorized the Native Hawaiian Housing Block Grant program. This program provides funds to assist and promote affordable housing activities to develop, maintain and operate affordable housing for eligible low-income Native Hawaiian families.

It authorizes annual grants to the Department of Hawaiian Home Lands (DHHL) for housing and housing-related assistance, pursuant to an annual housing plan, within the area in which DHHL is authorized to provide that assistance. DHHL uses performance measures and benchmarks that are based on the needs and priorities established in its five- and one-year housing plans. The Budget requests \$13 million for this program.

**INDIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT**

*For the cost of guaranteed loans, as authorized by section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z), \$6,000,000, to remain available until expended: Provided, That such costs, including the costs of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, up to \$1,818,000,000, to remain available until expended: Provided further, That up to \$750,000 of this amount may be used for administrative contract expenses including management processes and systems to carry out the loan guarantee program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 86-0223-0-1-371                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| Credit program obligations:  |             |         |           |
| 0702 Loan guarantee subsidy .....                                    | 11          | 5       | 5         |
| 0707 Reestimates of loan guarantee subsidy .....                     | 14          | 3       | .....     |
| 0708 Interest on reestimates of loan guarantee subsidy .....         | 6           | 5       | .....     |
| 0709 Administrative expenses .....                                   | .....       | .....   | 1         |
| 0900 Total new obligations .....                                     | 31          | 13      | 6         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 8           | 3       | 2         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 6           | 6       | 6         |
| 1160 Appropriation, discretionary (total) .....                      | 6           | 6       | 6         |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 20          | 6       | .....     |
| 1260 Appropriations, mandatory (total) .....                         | 20          | 6       | .....     |
| 1900 Budget authority (total) .....                                  | 26          | 12      | 6         |
| 1930 Total budgetary resources available .....                       | 34          | 15      | 8         |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 3           | 2       | 2         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 2           | 4       | 5         |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | -1          | .....   | .....     |
| 3010 Obligations incurred, unexpired accounts .....                  | 31          | 13      | 6         |
| 3020 Outlays (gross) .....   | -28         | -12     | -6        |
| 3050 Unpaid obligations, end of year .....                           | 4           | 5       | 5         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1           | 4       | 5         |
| 3200 Obligated balance, end of year .....                            | 4           | 5       | 5         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 6           | 6       | 6         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | .....       | 5       | 5         |
| 4011 Outlays from discretionary balances .....                       | 8           | 7       | 1         |
| 4020 Outlays, gross (total) .....                                    | 8           | 12      | 6         |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 20          | 6       | .....     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 20          | .....   | .....     |
| 4180 Budget authority, net (total) .....                             | 26          | 12      | 6         |
| 4190 Outlays, net (total) .....                                      | 28          | 12      | 6         |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 86-0223-0-1-371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Indian Housing Loan Guarantee .....                      | 792         | 368     | 1,818     |
| 215999 Total loan guarantee levels .....                        | 792         | 368     | 1,818     |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Indian Housing Loan Guarantee .....                      | 1.46        | 1.35    | 0.33      |
| 232999 Weighted average subsidy rate .....                      | 1.46        | 1.35    | 0.33      |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Indian Housing Loan Guarantee .....                      | 12          | 5       | 6         |
| 233999 Total subsidy budget authority .....                     | 12          | 5       | 6         |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 Indian Housing Loan Guarantee .....                      | 7           | 5       | 4         |
| 234999 Total subsidy outlays .....                              | 7           | 5       | 4         |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 Indian Housing Loan Guarantee .....                      | 20          | 7       | .....     |
| 235999 Total upward reestimate budget authority .....           | 20          | 7       | .....     |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 Indian Housing Loan Guarantee .....                      | -1          | -12     | .....     |
| 237999 Total downward reestimate subsidy budget authority ..... | -1          | -12     | .....     |



The Indian Housing Loan Guarantee program provides access to sources of private financing for Indian families, Indian tribes, and their tribally designated housing entities who otherwise could not acquire housing financing because of the unique legal status of Indian trust land. The Budget provides \$6 million to support additional loan guarantee activity and administrative systems support. In 2014, this program is projected to grow by 25 percent, representing almost 6,300 loans to American Indian borrowers. To support this increase in demand, the Budget proposes giving HUD increased flexibility to raise fees within this program to ensure the necessary resources are available. For 2014, HUD proposes using this authority to raise the upfront fee 50 basis points to 1.5 percent, allowing it to subsidize up to \$1.82 billion in Indian housing loans. The program has issued 18,949 loan guarantees totaling \$2.99 billion since 1995, with 52 percent of the activity occurring in 2010, 2011 and 2012. Even through the national foreclosure crisis, the program has maintained a claims rate of less than one and a half percent.

HUD will also submit a legislative proposal in the spring of 2013 to authorize qualifying lenders delegation of authority to participate in a direct guarantee process for underwriting loans. This will allow the Section 184 program to operate more efficiently and provide capital to more potential homebuyers.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 1992 and beyond (including modifications of guarantees that resulted from obligations in any year). The subsidy amounts are estimated on a net present value basis. The administrative expenses are shown on a cash basis.

#### Object Classification (in millions of dollars)

| Identification code 86-0223-0-1-371                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 2       | 1         |
| 41.0 Grants, subsidies, and contributions .....    | 30          | 11      | 5         |
| 99.9 Total new obligations .....                   | 31          | 13      | 6         |

### INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 86-4104-0-3-604                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| Credit program obligations:  |             |         |           |
| 0711 Default claim payments on principal .....                       | 15          | 5       | 7         |
| 0713 Payment of interest to Treasury .....                           | 2           | 2       | 2         |
| 0742 Downward reestimate paid to receipt account .....               | 1           | 12      |           |
| 0900 Total new obligations .....                                     | 18          | 19      | 9         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 54          | 82      | 81        |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 44          | 18      | 19        |
| 1801 Change in uncollected payments, Federal sources .....           | 2           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 46          | 18      | 19        |
| 1900 Financing authority (total) .....                               | 46          | 18      | 19        |
| 1930 Total budgetary resources available .....                       | 100         | 100     | 100       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 82          | 81      | 91        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1           |         | 19        |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | -2          |         |           |
| 3010 Obligations incurred, unexpired accounts .....                  | 18          | 19      | 9         |

|      |  |     |    |    |
|------|--|-----|----|----|
| 3020 | Financing disbursements (gross) .....                        | -17 |    |    |
| 3050 | Unpaid obligations, end of year .....                        |     | 19 | 28 |
|      | Uncollected payments:  |     |    |    |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1  | -3 | -3 |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | -2  |    |    |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | -3  | -3 | -3 |
|      | Memorandum (non-add) entries:                                |     |    |    |
| 3100 | Obligated balance, start of year .....                       | -2  | -3 | 16 |
| 3200 | Obligated balance, end of year .....                         | -3  | 16 | 25 |

#### Financing authority and disbursements, net:

|            |  |     |       |       |
|------------|--|-----|-------|-------|
| Mandatory: |  |     |       |       |
| 4090       | Financing authority, gross .....                                     | 46  | 18    | 19    |
|            | Financing disbursements:   |     |       |       |
| 4110       | Financing disbursements, gross .....                                 | 17  | ..... | ..... |
|            | Offsets against gross financing authority and disbursements:         |     |       |       |
|            | Offsetting collections (collected) from:                             |     |       |       |
| 4120       | Federal sources: Payments from program account .....                 | -28 | -12   | -4    |
| 4122       | Interest on uninvested funds .....                                   | -3  | -2    | -3    |
| 4123       | Non-Federal sources .....  | -13 | -4    | -12   |
|            |  |     |       |       |
| 4130       | Offsets against gross financing auth and disbursements (total) ..... | -44 | -18   | -19   |
|            | Additional offsets against financing authority only (total):         |     |       |       |
| 4140       | Change in uncollected pymts, Fed sources, unexpired .....            | -2  | ..... | ..... |
| 4170       | Financing disbursements, net (mandatory) .....                       | -27 | -18   | -19   |
| 4190       | Financing disbursements, net (total) .....                           | -27 | -18   | -19   |

#### Status of Guaranteed Loans (in millions of dollars)

| Identification code 86-4104-0-3-604  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:         |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....              | 360         | 360     | 1,818     |
| 2121 Limitation available from carry-forward .....                             | 440         | 8       |           |
| 2143 Uncommitted limitation carried forward .....                              | -8          |         |           |
| 2150 Total guaranteed loan commitments .....                                   | 792         | 368     | 1,818     |
| 2199 Guaranteed amount of guaranteed loan commitments .....                    | 792         | 368     | 1,818     |
| Cumulative balance of guaranteed loans outstanding:                            |             |         |           |
| 2210 Outstanding, start of year .....  | 2,189       | 2,841   | 3,671     |
| 2231 Disbursements of new guaranteed loans .....                               | 671         | 850     | 1,050     |
| 2251 Repayments and prepayments .....  | -4          | -4      | -4        |
| 2263 Adjustments: Terminations for default that result in claim payments ..... | -15         | -16     | -16       |
| 2290 Outstanding, end of year .....  | 2,841       | 3,671   | 4,701     |
| Memorandum:  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....      | 2,841       | 3,671   | 4,701     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

#### Balance Sheet (in millions of dollars)

| Identification code 86-4104-0-3-604   | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....  | 34          | 67          |
| 1504 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Foreclosed property ..... |             | 19          |
| 1999 Total assets .....   | 34          | 86          |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt Payable to Treasury .....  | 10          | 20          |
| <b>Non-Federal liabilities:</b>   |             |             |
| 2204 Liabilities for loan guarantees .....  | 4           | 48          |
| 2207 Unearned revenues and advances .....   | 20          | 18          |
| 2999 Total liabilities .....  | 34          | 86          |

INDIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT—Continued  
**Balance Sheet—Continued**

| Identification code 86-4104-0-3-604           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 4999 Total liabilities and net position ..... | 34          | 86          |

## NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND PROGRAM ACCOUNT

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 86-0233-0-1-371                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....                       | 5           | 5       | 5         |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | -3          | 1       | 1         |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | 4           |         |           |
| 3020 Outlays (gross) .....   |             |         | -1        |
| 3050 Unpaid obligations, end of year .....                           | 1           | 1       |           |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....                            | 1           | 1       |           |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                       |             |         | 1         |
| 4190 Outlays, net (total) .....                                      |             |         | 1         |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 86-0233-0-1-371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Native Hawaiian Housing Loan Guarantees .....            | 4           | 14      | 38        |
| 215999 Total loan guarantee levels .....                        | 4           | 14      | 38        |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Native Hawaiian Housing Loan Guarantees .....            | 0.93        | 0.50    | 0.53      |
| 232999 Weighted average subsidy rate .....                      | 0.93        | 0.50    | 0.53      |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Native Hawaiian Housing Loan Guarantees .....            |             | 1       | 1         |
| 233999 Total subsidy budget authority .....                     |             | 1       | 1         |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 Native Hawaiian Housing Loan Guarantees .....            |             | -1      |           |
| 237999 Total downward reestimate subsidy budget authority ..... |             | -1      |           |

The Native Hawaiian Housing Loan Guarantee program provides access to private financing to eligible Native Hawaiian families who reside on the Hawaiian Home Lands and who otherwise could not acquire private financing because of the unique legal status of the Hawaiian Home Lands. Because the program has sufficient carryover funds, the 2014 Budget does not provide any new credit subsidy budget authority.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the loan guarantees committed in 2001 and beyond (including modifications of guarantees that resulted from obligations in any

year). The subsidy amounts are estimated on a net present value basis. The administrative expenses are shown on a cash basis.

## NATIVE HAWAIIAN HOUSING LOAN GUARANTEE FUND FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

| Identification code 86-4351-0-3-371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0742 Downward reestimate paid to receipt account .....          |             | 1       |           |
| 0900 Total new obligations .....                                |             | 1       |           |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 8           | 2       | 1         |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | -6          |         |           |
| 1050 Unobligated balance (total) .....                          | 2           | 2       | 1         |
| 1930 Total budgetary resources available .....                  | 2           | 2       | 1         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 2           | 1       | 1         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           |             |         | 1         |
| 3010 Obligations incurred, unexpired accounts .....             |             | 1       |           |
| 3050 Unpaid obligations, end of year .....                      |             | 1       | 1         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     |             |         | 1         |
| 3200 Obligated balance, end of year .....                       |             | 1       | 1         |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 86-4351-0-3-371                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:    |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....         | 42          | 42      | 38        |
| 2121 Limitation available from carry-forward .....                        | 545         | 583     | 611       |
| 2143 Uncommitted limitation carried forward .....                         | -583        | -611    | -611      |
| 2150 Total guaranteed loan commitments .....                              | 4           | 14      | 38        |
| 2199 Guaranteed amount of guaranteed loan commitments .....               | 4           | 14      | 38        |
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 119         | 124     | 150       |
| 2231 Disbursements of new guaranteed loans .....                          | 5           | 30      | 30        |
| 2251 Repayments and prepayments .....                                     |             | -4      | -4        |
| 2290 Outstanding, end of year .....                                       | 124         | 150     | 176       |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 69          | 28      | 28        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the government resulting from the loan guarantees committed in 2001 and beyond (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

**Balance Sheet** (in millions of dollars)

| Identification code 86-4351-0-3-371                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 1           | 1           |
| 1999 Total assets .....   | 1           | 1           |
| <b>LIABILITIES:</b>   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 1           | 1           |

|      |  |   |   |
|------|--|---|---|
| 4999 | Total liabilities and net position ..... | 1 | 1 |
|------|--|---|---|

## TITLE VI INDIAN FEDERAL GUARANTEES FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 86-4244-0-3-604                          |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |  |             |         |           |
| Credit program obligations:                                  |  |             |         |           |
| 0711   | Default claim payments on principal .....                            |             | 1       | 1         |
| 0712   | Default claim payments on interest .....                             |             | 1       | 1         |
| 0742   | Downward reestimate paid to receipt account .....                    | 2           | 3       |           |
| 0743   | Interest on downward reestimates .....                               | 1           | 1       |           |
| 0900   | Total new obligations .....  | 3           | 6       | 2         |
| <b>Budgetary Resources:</b>                                  |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                     | 10          | 12      | 10        |
| Financing authority:   |  |             |         |           |
| Spending authority from offsetting collections, mandatory:   |  |             |         |           |
| 1800   | Collected .....  | 4           | 4       | 2         |
| 1801   | Change in uncollected payments, Federal sources .....                | 1           |         |           |
| 1850   | Spending auth from offsetting collections, mand (total) .....        | 5           | 4       | 2         |
| 1930   | Total budgetary resources available .....                            | 15          | 16      | 12        |
| Memorandum (non-add) entries:                                |  |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                     | 12          | 10      | 10        |
| <b>Change in obligated balance:</b>                          |  |             |         |           |
| Unpaid obligations:  |  |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                     |             |         | 3         |
| 3010   | Obligations incurred, unexpired accounts .....                       | 3           | 6       | 2         |
| 3020   | Financing disbursements (gross) .....                                | -3          | -3      | -3        |
| 3050   | Unpaid obligations, end of year .....                                |             | 3       | 2         |
| Uncollected payments:  |  |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....         |             | -1      | -1        |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....            | -1          |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....                    | -1          | -1      | -1        |
| Memorandum (non-add) entries:                                |  |             |         |           |
| 3100   | Obligated balance, start of year .....                               |             | -1      | 2         |
| 3200   | Obligated balance, end of year .....                                 | -1          | 2       | 1         |
| <b>Financing authority and disbursements, net:</b>           |  |             |         |           |
| Mandatory:   |  |             |         |           |
| 4090   | Financing authority, gross .....                                     | 5           | 4       | 2         |
| Financing disbursements:                                     |  |             |         |           |
| 4110   | Financing disbursements, gross .....                                 | 3           | 3       | 3         |
| Offsets against gross financing authority and disbursements: |  |             |         |           |
| Offsetting collections (collected) from:                     |  |             |         |           |
| 4120   | Federal sources .....  | -3          | -3      | -1        |
| 4122   | Interest on uninvested funds .....                                   | -1          | -1      | -1        |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -4          | -4      | -2        |
| Additional offsets against financing authority only (total): |  |             |         |           |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....            | -1          |         |           |
| 4170   | Financing disbursements, net (mandatory) .....                       | -1          | -1      | 1         |
| 4190   | Financing disbursements, net (total) .....                           | -1          | -1      | 1         |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 86-4244-0-3-604                                    |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |   |             |         |           |
| 2111   | Limitation on guaranteed loans made by private lenders .....              | 20          | 20      | 18        |
| 2121   | Limitation available from carry-forward .....                             | 52          | 52      | 27        |
| 2143   | Uncommitted limitation carried forward .....                              | -52         | -27     |           |
| 2150   | Total guaranteed loan commitments .....                                   | 20          | 45      | 45        |
| 2199   | Guaranteed amount of guaranteed loan commitments .....                    | 20          | 20      | 18        |
| Cumulative balance of guaranteed loans outstanding:                    |   |             |         |           |
| 2210   | Outstanding, start of year .....  | 122         | 135     | 148       |
| 2231   | Disbursements of new guaranteed loans .....                               | 20          | 20      | 18        |
| 2251   | Repayments and prepayments .....  | -5          | -5      | -5        |
| 2263   | Adjustments: Terminations for default that result in claim payments ..... | -2          | -2      | -2        |

|      |                                |     |     |     |
|------|--------------------------------|-----|-----|-----|
| 2290 | Outstanding, end of year ..... | 135 | 148 | 159 |
|------|--------------------------------|-----|-----|-----|

## Memorandum:

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 2299 | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 135 | 146 | 146 |
|------|--|-----|-----|-----|

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

## Balance Sheet (in millions of dollars)

| Identification code 86-4244-0-3-604 |  | 2011 actual | 2012 actual |
|-------------------------------------|--|-------------|-------------|
| <b>ASSETS:</b>                      |  |             |             |
| 1101                                | Federal assets: Fund balances with Treasury .....              | 11          | 11          |
| 1999                                | Total assets .....   | 11          | 11          |
| <b>LIABILITIES:</b>                 |  |             |             |
| 2204                                | Non-Federal liabilities: Liabilities for loan guarantees ..... | 11          | 11          |
| 4999                                | Total liabilities and net position .....                       | 11          | 11          |

## COMMUNITY PLANNING AND DEVELOPMENT

## Federal Funds

## COMMUNITY PLANNING AND DEVELOPMENT

## HOUSING OPPORTUNITIES FOR PERSONS WITH AIDS

*For carrying out the Housing Opportunities for Persons with AIDS program, as authorized by the AIDS Housing Opportunity Act (42 U.S.C. 12901 et seq.), \$332,000,000, to remain available until September 30, 2015, except that amounts allocated pursuant to section 854(c)(3) of such Act shall remain available until September 30, 2016: Provided, That the Secretary shall renew all expiring contracts for permanent supportive housing that were funded under section 854(c)(3) of such Act that meet all program requirements before awarding funds for new contracts and activities authorized under this section.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0308-0-1-604     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | HOPWA Formula Grants .....                                 | 319         | 301     | 297       |
| 0002                                    | HOPWA Competitive Grants .....                             | 33          | 34      | 33        |
| 0900                                    | Total new obligations (object class 41.0) .....            | 352         | 335     | 330       |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....           | 90          | 70      | 69        |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1100                                    | Appropriation .....  | 332         | 334     | 332       |
| 1120                                    | Appropriations transferred to other accts [86-0308] .....  | -33         | -33     | -33       |
| 1120                                    | Appropriations transferred to other accts [86-0402] .....  |             |         | -2        |
| 1121                                    | Appropriations transferred from other accts [86-0308] .... | 33          | 33      | 33        |
| 1160                                    | Appropriation, discretionary (total) .....                 | 332         | 334     | 330       |
| 1930                                    | Total budgetary resources available .....                  | 422         | 404     | 399       |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year .....           | 70          | 69      | 69        |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....           | 468         | 485     | 495       |
| 3010                                    | Obligations incurred, unexpired accounts .....             | 352         | 335     | 330       |

COMMUNITY PLANNING AND DEVELOPMENT—Continued  
Program and Financing—Continued

| Identification code 86-0308-0-1-604       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3020                                      | Outlays (gross) .....                                      | -334        | -325    | -316      |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -1          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                      | 485         | 495     | 509       |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 468         | 485     | 495       |
| 3200                                      | Obligated balance, end of year .....                       | 485         | 495     | 509       |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                              | 332         | 334     | 330       |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 2           | 3       | 3         |
| 4011                                      | Outlays from discretionary balances .....                  | 332         | 322     | 313       |
| 4020                                      | Outlays, gross (total) .....                               | 334         | 325     | 316       |
| 4180                                      | Budget authority, net (total) .....                        | 332         | 334     | 330       |
| 4190                                      | Outlays, net (total) .....                                 | 334         | 325     | 316       |

The 2014 Budget provides \$332 million for the Housing Opportunities for Persons with AIDS (HOPWA) program, the only Federal program dedicated to address the urgent housing needs of low-income Americans living with HIV and AIDS. HOPWA funding provides States and localities with resources and incentives to devise long-term comprehensive strategies for planning and providing housing and supportive services to meet the complex, multiple needs of persons living with HIV and AIDS and their families. HOPWA funds have been demonstrated to help reduce the risk of homelessness, increase housing stability, and improve access to HIV care and health outcomes for program participants.

Ninety percent of HOPWA funds are distributed to States and eligible metropolitan areas according to a formula, which is based on the number of AIDS cases in the jurisdiction. The remaining ten percent are awarded competitively to States, local governments, and private nonprofit entities for projects of national significance and for projects in non-formula areas. However, the HOPWA formula does not reflect the current nature and distribution of the epidemic. To modernize the program, the Administration is proposing an updated formula based on living cases of HIV and adjusted for an area's fair market rent and poverty rates, focusing HOPWA funds on areas that have the most need. The proposal also includes several changes that will allow better targeting of HOPWA resources and more flexibility for grantees to provide the most cost-effective, timely interventions. These changes, which will be proposed in separate legislation, will improve the nation's response to the specialized housing needs of HIV/AIDS patients and will further the Administration's National HIV/AIDS Strategy.

In addition, the Department will seek to develop a strategy to effectively integrate HOPWA housing activities with homeless assistance and prevention programs under the Homeless Assistance Grants program while integrating HOPWA in Continuum of Care coordinated planning, centralized intake and assessment, and Homeless Management Information Systems. The integration of HOPWA resources with other homelessness prevention interventions will facilitate more collaborative local planning, better deploy limited resources to achieve the greatest impacts, and help synchronize program tools to reduce administrative burdens to give priority to ending homelessness for a greater number of persons who are homeless and who are also living with HIV/AIDS.

## COMMUNITY DEVELOPMENT FUND

For assistance to units of State and local government, and to other entities, for economic and community development activities, and for other purposes, \$3,143,100,000, to remain available until September 30, 2016, unless otherwise specified: Provided, That of the total amount provided, \$2,798,100,000 is for carrying out the community development block grant program under title I of the Housing and Community Development Act of 1974, as amended (the "Act" herein) (42 U.S.C. 5301 et seq.): Provided further, That unless explicitly provided for under this heading, not to exceed 20 percent of any grant made with funds appropriated under this heading shall be expended for planning and management development and administration: Provided further, That \$70,000,000 shall be for grants to Indian tribes notwithstanding section 106(a)(1) of such Act, of which, notwithstanding any other provision of law (including section 204 of this Act), up to \$3,960,000 may be used for emergencies that constitute imminent threats to health and safety, and up to \$10,000,000 may be used for mold remediation and prevention.

Of the amounts made available under this heading, \$200,000,000 shall be for the redevelopment of abandoned and foreclosed property as authorized under division B, title III of the Housing and Economic Recovery Act of 2008 (42 U.S.C. 5301 note): Provided, That the Act shall govern the use of such assistance except as otherwise provided in this paragraph: Provided further, That the Secretary shall competitively award such assistance to States and units of general local government: Provided further, That for the purposes of such assistance, including the previous proviso, the term "State" at section 102(a) of the Act shall be construed as including State housing finance agencies: Provided further, That the Secretary shall by notice published in the Federal Register establish criteria for awarding such assistance, including the extent of need, the demonstrated capacity of the applicant to execute projects, concentration of investment, the ability to leverage other resources (which may include loans guaranteed under section 108 of the Act [42 U.S.C. 5308]), and such other factors as the Secretary determines to be appropriate: Provided further, That the Secretary shall establish a minimum grant size for awards: Provided further, That loans guaranteed under section 108 of the Act [42 U.S.C. 5308] and used in conjunction with such assistance shall not be subject to subsection 108(b): Provided further, That the Secretary may use a portion of such assistance for grants under subsection 108(q): Provided further, That the Secretary shall make establishment and operation of land banks, demolition, and new housing construction eligible for assistance under this paragraph: Provided further, That grantees receiving such assistance under this paragraph may also use funds provided under Title I of the Act for the purposes specified in the previous proviso, upon approval of the Secretary.

Of the amounts made available under this heading, \$75,000,000 shall be made available for Integrated Planning and Investment Grants to support local and regional public investment plans and implementation efforts that align public and private investments in development and infrastructure to better attract businesses and improve quality of life, modernize zoning and building codes, reduce barriers to achieve affordable and economically vital communities, attract private capital to community revitalization efforts, and sponsor community engagement efforts: Provided, That the Secretary will consult with the Secretary of Transportation and the heads of other relevant agencies in evaluating grant proposals awarded under this paragraph.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 86-0162-0-1-451     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Community Development Formula Grants .....                 | 3,188       | 3,750   | 2,784     |
| 0003                                    | Indian Tribes .....  | 57          | 61      | 70        |
| 0004                                    | Administration, Operations and Management .....            |             | 1       | 1         |
| 0007                                    | Economic Development Initiative Grants .....               | 6           |         |           |
| 0008                                    | Neighborhood Initiative Demonstration .....                | 2           |         |           |
| 0010                                    | Disaster Assistance .....                                  | 359         | 6,381   | 9,842     |
| 0013                                    | Integrated Planning and Investment Strategies Grants ..... | 100         |         | 75        |

|  |   |        |        |         |
|--|---|--------|--------|---------|
| 0014   | Rural Fund .....  | 2      |        |         |
| 0015   | Neighborhood Stabilization Initiative .....                   |        |        | 199     |
| 0900   | Total new obligations (object class 41.0) .....               | 3,714  | 10,193 | 12,971  |
| <b>Budgetary Resources:</b>                                    |   |        |        |         |
| Unobligated balance:   |   |        |        |         |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 1,039  | 733    | 9,848   |
| 1021   | Recoveries of prior year unpaid obligations .....             | 1      |        |         |
| 1050   | Unobligated balance (total) .....                             | 1,040  | 733    | 9,848   |
| Budget authority:  |   |        |        |         |
| Appropriations, discretionary:                                 |   |        |        |         |
| 1100   | Appropriation .....   | 3,407  | 3,328  | 3,143   |
| 1100   | Appropriation .....   |        | 16,000 |         |
| 1120   | Appropriations transferred to other accts [86-0338] .....     |        | -10    |         |
| 1120   | Appropriations transferred to other accts [86-0189] .....     |        | -10    |         |
| 1120   | Appropriations transferred to other accts [86-0402] .....     |        |        | -15     |
| 1160   | Appropriation, discretionary (total) .....                    | 3,407  | 19,308 | 3,128   |
| Spending authority from offsetting collections, discretionary: |   |        |        |         |
| 1700   | Collected .....   | 1      |        |         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 1      |        |         |
| 1900   | Budget authority (total) .....                                | 3,408  | 19,308 | 3,128   |
| 1930   | Total budgetary resources available .....                     | 4,448  | 20,041 | 12,976  |
| Memorandum (non-add) entries:                                  |   |        |        |         |
| 1940   | Unobligated balance expiring .....                            | -1     |        |         |
| 1941   | Unexpired unobligated balance, end of year .....              | 733    | 9,848  | 5       |
| <b>Change in obligated balance:</b>                            |   |        |        |         |
| Unpaid obligations:  |   |        |        |         |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 18,136 | 15,036 | 18,827  |
| 3010   | Obligations incurred, unexpired accounts .....                | 3,714  | 10,193 | 12,971  |
| 3020   | Outlays (gross) .....   | -6,795 | -6,402 | -10,066 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | -1     |        |         |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -18    |        |         |
| 3050   | Unpaid obligations, end of year .....                         | 15,036 | 18,827 | 21,732  |
| Memorandum (non-add) entries:                                  |   |        |        |         |
| 3100   | Obligated balance, start of year .....                        | 18,136 | 15,036 | 18,827  |
| 3200   | Obligated balance, end of year .....                          | 15,036 | 18,827 | 21,732  |
| <b>Budget authority and outlays, net:</b>                      |   |        |        |         |
| Discretionary:   |   |        |        |         |
| 4000   | Budget authority, gross .....                                 | 3,408  | 19,308 | 3,128   |
| Outlays, gross:  |   |        |        |         |
| 4010   | Outlays from new discretionary authority .....                | 18     | 193    | 31      |
| 4011   | Outlays from discretionary balances .....                     | 6,777  | 6,209  | 10,035  |
| 4020   | Outlays, gross (total) .....                                  | 6,795  | 6,402  | 10,066  |
| Offsets against gross budget authority and outlays:            |   |        |        |         |
| Offsetting collections (collected) from:                       |   |        |        |         |
| 4030   | Federal sources .....   | -1     |        |         |
| 4180   | Budget authority, net (total) .....                           | 3,407  | 19,308 | 3,128   |
| 4190   | Outlays, net (total) .....                                    | 6,794  | 6,402  | 10,066  |

The Community Development Fund account includes funding for the Community Development Block Grant (CDBG) program, Indian CDBG, Integrated Planning and Investment Strategies Grants, and a new competitive neighborhood stabilization grant program.

The Budget funds the CDBG formula grant program at \$2.8 billion to assist State and local governments in addressing local priorities and needs. The CDBG program provides over 1,200 flexible annual formula grants to States, local governments, and Insular Areas to benefit mainly low-to moderate-income persons. The funding is used for a wide range of community and economic development activities, such as public infrastructure improvements (approximately 33 percent of all CDBG funds), housing rehabilitation and construction (approximately 25 percent of funds), job creation and retention, and public services (e.g., child care). 70 percent of the CDBG formula grants are distributed to mainly urban areas (entitlement communities), and 30 percent is distributed to the States (non-entitlement communities).

The Administration recognizes that CDBG funds represent a significant expenditure that is not optimally targeted based on community need or used most effectively in many cases. The Budget includes several proposals to better target funds based on community need and ensure that communities receive grants

large enough to be more effective in advancing the goals of the program. The Budget proposes statutory changes to establish a minimum grant threshold and eliminate the community "grandfathering" provision. In addition, HUD will seek input from stakeholders over the coming months regarding further programmatic changes that would improve the targeting of formula funds and strengthen their accountability and performance.

The Budget also includes \$200 million for a new competitive set-aside grant program to provide funds to areas hit hardest by the foreclosure crisis to support specific activities that support neighborhood stabilization. This new initiative builds on the successes of the first three rounds of the Neighborhood Stabilization Program, and will target neighborhoods still feeling the effects of the foreclosure crisis, and allow them to manage foreclosures, put land back to effective uses, and begin to recover economically. Competitive grants will go to States, cities, and state housing financing agencies for a number of eligible uses, including purchasing and rehabilitating abandoned and foreclosed properties, establishing land banks, demolishing blighted structures, and redeveloping vacant or demolished property. Grantees will be encouraged to leverage these funds with Community Development Loan Guarantees and other Federal, State, and local funds to ensure that they have maximum impact.

The Budget requests \$75 million for Integrated Planning and Investment Grants, which is administered by HUD's Office of Economic Resilience. In partnership with the Department of Transportation (DOT) and the Environmental Protection Agency (EPA) and other Federal agencies, the Integrated Planning and Investment Grants aim to expand job opportunities and improve the quality of life for families by providing incentives to regions and communities to align planning efforts, invest public and private resources to attract businesses, modernize land use and building codes, attract private capital for community revitalization efforts, and sponsor robust community engagement efforts. This initiative complements DOT's funding to strengthen state and local infrastructure capacity, EPA's technical assistance, and efforts by other Federal agencies to advance economic development initiatives. Language is proposed to streamline HUD and DOT joint grant solicitations and implementation efforts to increase overall effectiveness and reduce burden on grantees.

The Budget increases the Indian Community Development program funding to \$70 million. This program provides eligible grantees with direct grants for use in developing viable Indian and Alaska Native Communities, including decent housing, a suitable living environment, and economic opportunities, primarily for low- and moderate-income persons. Within this account, \$10 million will be set aside to address mold issues in Indian housing.

This account reflects \$16 billion in CDBG funding appropriated by the Disaster Relief Appropriations Act, 2013 (Public Law 113-2). These funds are intended primarily to respond to the effects of Hurricane Sandy that impacted the Atlantic Coast in late October 2012, but will also be used to respond to other significant Presidentially-declared disasters that occurred in calendar years 2011, 2012, and 2013. Other amounts reflected in this account include prior year CDBG disaster supplemental funding, as well as funds provided by the 2009 American Recovery and Reinvestment Act (\$1 billion in CDBG formula grants and \$2 billion for Neighborhood Stabilization Program (NSP) II grants). The \$3.92 billion in NSP funding from the Housing and Economic Recovery Act of 2008 and the \$1 billion from the Dodd-Frank Wall Street

## COMMUNITY DEVELOPMENT FUND—Continued

Financial Reform and Consumer Protection Act are mandatory appropriations and are reflected in a separate account.

## EMPOWERMENT ZONES/ENTERPRISE COMMUNITIES/RENEWAL COMMUNITIES

*Unobligated balances, including recaptures and carryover, remaining from funds appropriated to the Department of Housing and Urban Development under this heading are hereby permanently cancelled.*

No new appropriation is requested for the Empowerment Zone (EZ) and Renewal Community (RC) programs in the 2014 Budget. Rather, a rescission of approximately \$25,000 in carryover is proposed. The tax incentives for RCs expired on December 31, 2009, while EZ tax incentives have been extended to December 31, 2013.

## BROWNFIELDS REDEVELOPMENT

## Program and Financing (in millions of dollars)

| Identification code 86-0314-0-1-451                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 52          | 35      | 23        |
| 3020 Outlays (gross) .....                                      | -16         | -12     | -12       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 35          | 23      | 11        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 52          | 35      | 23        |
| 3200 Obligated balance, end of year .....                       | 35          | 23      | 11        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                  | 16          | 12      | 12        |
| 4190 Outlays, net (total) .....                                 | 16          | 12      | 12        |

The 2014 Budget requests no funding for the Brownfields Economic Development Initiative (BEDI) program, which is a competitive grant program designed to assist cities with the redevelopment of brownfield sites for the purposes of economic development and job creation. Brownfields are abandoned, idled, and underused industrial and commercial facilities and land where expansion and redevelopment is burdened by real or potential environmental contamination. Local governments have access to other public and private funds, including Community Development Block Grant (CDBG) funds, which can serve similar purposes.

## HOME INVESTMENT PARTNERSHIPS PROGRAM

*For the HOME Investment Partnerships program, as authorized under title II of the Cranston-Gonzalez National Affordable Housing Act, as amended, \$950,000,000, to remain available until September 30, 2016: Provided, That of the amounts made available under this heading, up to \$10,000,000 shall be made available for the Self-Help and Assisted Homeownership Opportunity Program (SHOP), as authorized by Section 11 of the Housing Opportunity Program Extension Act of 1996, as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0205-0-1-604                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 HOME Investment Program .....                                | 1,206       | 1,027   | 944       |
| 0002 Technical Assistance .....                                   | 2           |         |           |
| 0003 SHOP .....   |             |         | 10        |
| 0900 Total new obligations (object class 41.0) .....              | 1,208       | 1,027   | 954       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 355         | 152     | 131       |
| 1021 Recoveries of prior year unpaid obligations .....            | 8           |         |           |
| 1050 Unobligated balance (total) .....                            | 363         | 152     | 131       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 1,000       | 1,006   | 950       |
| 1120 Appropriations transferred to other accts [86-0402] .....    |             |         | -5        |
| 1160 Appropriation, discretionary (total) .....                   | 1,000       | 1,006   | 945       |
| 1930 Total budgetary resources available .....                    | 1,363       | 1,158   | 1,076     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1940 Unobligated balance expiring .....                           | -3          |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 152         | 131     | 122       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 4,932       | 4,340   | 3,743     |
| 3010 Obligations incurred, unexpired accounts .....               | 1,208       | 1,027   | 954       |
| 3020 Outlays (gross) .....  | -1,781      | -1,624  | -1,392    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -8          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -11         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 4,340       | 3,743   | 3,305     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 4,932       | 4,340   | 3,743     |
| 3200 Obligated balance, end of year .....                         | 4,340       | 3,743   | 3,305     |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 1,000       | 1,006   | 945       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 5           | 10      | 9         |
| 4011 Outlays from discretionary balances .....                    | 1,776       | 1,614   | 1,383     |
| 4020 Outlays, gross (total) .....                                 | 1,781       | 1,624   | 1,392     |
| 4180 Budget authority, net (total) .....                          | 1,000       | 1,006   | 945       |
| 4190 Outlays, net (total) .....                                   | 1,781       | 1,624   | 1,392     |

The HOME Investment Partnerships Program is authorized by the National Affordable Housing Act (P.L. 101-625), as amended. This program provides flexible annual formula grant assistance to States and units of local government to increase the supply of affordable housing and expand homeownership for low- to very-low income persons. Sixty percent of the formula grant funds is awarded to participating local governments and 40 percent is awarded to states. These communities often use the funds in partnership with local non-profit organizations to fund a wide range of activities that build, buy, and/or rehabilitate affordable housing for rent or homeownership, or to a much lesser extent, provide direct rental assistance to low-income people. Projects funded by HOME often leverage private dollars and are used in conjunction with the Low-Income Housing Tax Credit (LIHTC), Community Development Block Grant, and local funds. For example, 53 percent of almost 150,000 completed HOME assisted rental units were part of awarded LIHTC projects from 2007-2011.

The Budget requests \$950 million for HOME. Over time, this funding is estimated to result in the production of almost 39,500 units of affordable housing through new construction, rehabilitation, and/or acquisition. It is also estimated that communities will use a portion of their funding to support tenant-based rental assistance for about 9,500 units.

The 2014 Budget also proposes statutory changes that would allow recaptured Community Housing Development Organization

funds to be reallocated by formula; establish a single qualification threshold of \$500,000 irrespective of the appropriation amount; revise the current "grandfathering" provision so that participating jurisdictions that fall below the threshold three out of a five year period are ineligible for direct formula funds; and facilitate eviction of HOME rental unit tenants who pose an imminent threat. When implemented, these changes will improve the targeting focus and effectiveness of the overall administration of the program.

In addition to funding HOME, up to \$10 million will be eligible to specifically fund the Self-Help and Assisted Homeownership Opportunity Program (SHOP). SHOP is a competitive grant program that provides funds to increase the ability of non-profit organizations to assist low-income homebuyers willing to contribute "sweat equity" toward the construction of their homes. Communities can further leverage SHOP grants by using other sources of funding including HOME funds, which can also be used for sweat equity projects. The 2014 Budget also proposes statutory changes that would improve the administration of the SHOP program. These include allowing HUD to develop program regulations over five pages long, establishing a standard grant term of 36 months, establishing a deadline for completion of SHOP units, and explicitly naming planning, administrative, and management costs as eligible activities.

#### HOUSING TRUST FUND

(Legislative proposal, subject to PAYGO)

##### Program and Financing (in millions of dollars)

| Identification code 86-5553-4-2-604                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 1,000     |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 1,000     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 1,000     |
| 1260 Appropriations, mandatory (total) .....         |             |         | 1,000     |
| 1930 Total budgetary resources available .....       |             |         | 1,000     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 1,000     |
| 3020 Outlays (gross) .....                           |             |         | -10       |
| 3050 Unpaid obligations, end of year .....           |             |         | 990       |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 990       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 1,000     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 10        |
| 4180 Budget authority, net (total) .....             |             |         | 1,000     |
| 4190 Outlays, net (total) .....                      |             |         | 10        |

The Housing Trust Fund was originally authorized in the Housing and Economic Recovery Act of 2008 (Pub. L. 110-289) under section 1338 of the Federal Housing Enterprises Financial Safety and Soundness Act of 1992 (U.S.C. 1301 et. seq.) with a dedicated funding stream from assessments on Fannie Mae and Freddie Mac. However, the Federal Housing Finance Agency, the regulator for Fannie Mae and Freddie Mac, has indefinitely suspended these assessments.

The Budget proposes a \$1 billion mandatory appropriations to capitalize the Housing Trust Fund. The purpose of the Housing

Trust Fund is to provide grants to States to increase and preserve the supply of affordable rental housing and homeownership opportunities for extremely low- and very low-income families, and help address the growing shortage of affordable housing for these families. This program is similar to HOME, but is more income-targeted. The funding will be distributed by formula to States or State-designated entities that will target resources to areas with substantial affordable housing needs. The funding will be used primarily for construction, preservation, and rehabilitation of affordable rental housing, with up to ten percent of the funding for similar eligible activities that support homeownership. Of the total amounts made available, not less than 75 percent shall be used to benefit extremely low-income households, for whom the shortage of affordable housing is most acute. Over time, the funding provided for the Housing Trust Fund in 2014 is expected to produce approximately 16,000 affordable units using a mix of funding sources, including other public funds, tax credits, and private debt.

#### CAPACITY BUILDING

*Of the amounts made available under this heading, \$20,000,000 shall be made available for the second, third, and fourth capacity building activities authorized under section 4(a) of the HUD Demonstration Act of 1993 (42 U.S.C. 9816 note), of which not less than \$5,000,000 may be made available for rural capacity building activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

| Identification code 86-0405-0-1-451                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Capacity Building .....                         |             |         | 20        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 20        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 20        |
| 1160 Appropriation, discretionary (total) .....      |             |         | 20        |
| 1930 Total budgetary resources available .....       |             |         | 20        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 20        |
| 3050 Unpaid obligations, end of year .....           |             |         | 20        |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 20        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 20        |
| 4180 Budget authority, net (total) .....             |             |         | 20        |

The 2014 Budget provides \$20 million for the Capacity Building for Community Development and Affordable Housing program, which is authorized by Section 4 of the HUD Demonstration Act of 1993. The Capacity Building program provides grants to national intermediaries to develop, enhance, and strengthen the technical and administrative capabilities of community development corporations to carry out community development and affordable housing activities for low- and moderate-income persons that support and address local needs and priorities. This program

## CAPACITY BUILDING—Continued

was previously funded as a part of the Self-Help and Assisted Homeownership Opportunity Program account.

## SELF-HELP AND ASSISTED HOMEOWNERSHIP OPPORTUNITY PROGRAM

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86–0176–0–1–604                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Self Help Housing Opportunity Program .....      | 48          | 14      | .....     |
| 0002 Capacity Building .....                          | 27          | 35      | .....     |
| 0003 Rural Capacity Building .....                    | .....       | 10      | .....     |
| 0900 Total new obligations (object class 41.0) .....  | 75          | 59      | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 27          | 6       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 54          | 54      | .....     |
| 1160 Appropriation, discretionary (total) .....       | 54          | 54      | .....     |
| 1930 Total budgetary resources available .....        | 81          | 60      | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 6           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 187         | 199     | 176       |
| 3010 Obligations incurred, unexpired accounts .....   | 75          | 59      | .....     |
| 3020 Outlays (gross) .....                            | –63         | –82     | –66       |
| 3050 Unpaid obligations, end of year .....            | 199         | 176     | 110       |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 187         | 199     | 176       |
| 3200 Obligated balance, end of year .....             | 199         | 176     | 110       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 54          | 54      | .....     |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 63          | 82      | 66        |
| 4180 Budget authority, net (total) .....              | 54          | 54      | .....     |
| 4190 Outlays, net (total) .....                       | 63          | 82      | 66        |

The 2014 Budget requests no separate funding for the Self-Help and Assisted Homeownership Opportunity Program (SHOP) account. Instead, SHOP is included as part of the request for the HOME Investment Partnerships Program. Activities under the Capacity Building for Community Development and Affordable Housing Program are requested separately under the Capacity Building account.

## NEIGHBORHOOD STABILIZATION PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 86–0344–0–1–451                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Abandoned and Foreclosed .....                              | 1           | .....   | .....     |
| 0002 Technical Assistance .....                                  | 20          | .....   | .....     |
| 0003 Disaster Assistance .....                                   | .....       | 19      | .....     |
| 0900 Total new obligations (object class 41.0) .....             | 21          | 19      | .....     |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 31          | 19      | .....     |
| 1010 Unobligated balance transfer to other accts [86–0338] ..... | –3          | .....   | .....     |

|  |    |       |       |
|--|----|-------|-------|
| 1010 Unobligated balance transfer to other accts [86–4586] ..... | –5 | ..... | ..... |
| 1010 Unobligated balance transfer to other accts [86–0335] ..... | –2 | ..... | ..... |
| 1021 Recoveries of prior year unpaid obligations .....           | 19 | ..... | ..... |
| 1050 Unobligated balance (total) .....                           | 40 | 19    | ..... |
| 1930 Total budgetary resources available .....                   | 40 | 19    | ..... |
| Memorandum (non-add) entries:                                    |    |       |       |
| 1941 Unexpired unobligated balance, end of year .....            | 19 | ..... | ..... |

## Change in obligated balance:

|   |       |        |       |
|---|-------|--------|-------|
| Unpaid obligations:   |       |        |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,091 | 1,416  | 405   |
| 3010 Obligations incurred, unexpired accounts .....               | 21    | 19     | ..... |
| 3020 Outlays (gross) .....  | –677  | –1,030 | –329  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –19   | .....  | ..... |
| 3050 Unpaid obligations, end of year .....                        | 1,416 | 405    | 76    |
| Memorandum (non-add) entries:                                     |       |        |       |
| 3100 Obligated balance, start of year .....                       | 2,091 | 1,416  | 405   |
| 3200 Obligated balance, end of year .....                         | 1,416 | 405    | 76    |

## Budget authority and outlays, net:

|  |     |       |     |
|--|-----|-------|-----|
| Mandatory:                                 |     |       |     |
| Outlays, gross:                            |     |       |     |
| 4101 Outlays from mandatory balances ..... | 677 | 1,030 | 329 |
| 4190 Outlays, net (total) .....            | 677 | 1,030 | 329 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Outlays .....                           | 677         | 1,030   | 329       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  | .....       | 15,000  | .....     |
| Outlays .....                           | .....       | .....   | 50        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | .....       | 15,000  | .....     |
| Outlays .....                           | 677         | 1,030   | 379       |

The Neighborhood Stabilization Program (NSP) was authorized by the Housing and Economic Recovery Act of 2008 (HERA) and funded at \$3.92 billion. In response to the foreclosure crisis, HERA directed HUD to develop a formula to distribute the funds to State and local governments with the greatest need. To determine the areas with the greatest need, the allocation formula had to be based on home foreclosures, subprime loans, and mortgage defaults or delinquencies. Grantees may use NSP funds for a number of eligible activities, including establishing financing mechanisms; purchasing and rehabilitating abandoned or foreclosed properties; establishing land banks; demolishing blighted structures; and redeveloping vacant or demolished property. NSP grantees must use at least 25 percent of the funds appropriated for the purchase and redevelopment of abandoned or foreclosed residential properties that will be used to house individuals or families whose incomes do not exceed 50 percent of the area median income. In addition, all activities funded by NSP must benefit low- and moderate-income persons whose income does not exceed 120 percent of area median income.

In September 2008, HUD announced direct NSP allocations to 309 jurisdictions, including all 50 states, Puerto Rico and the Insular Areas. Pursuant to HERA, grantees had 18 months from the date funds were made available to obligate the funds. As of February 2013, grantees had expended more than 95 percent of this first round of NSP funding (NSP1).

The American Recovery and Reinvestment Act of 2009 (ARRA) made several changes to the NSP program as enacted by HERA and appropriated an additional \$2 billion in funding for the NSP program. The ARRA funding for the second round of NSP funding (NSP2) is reflected within the Community Development Fund account. In January 2010, HUD announced 56 awards under the NSP2 program and all funds were obligated on February 11, 2010. NSP2 grantees had until February 11, 2013, to expend their funds. All but four grantees met the February deadline and



HUD is imposing corrective actions and sanctions to resolve expenditure issues surrounding these grants. As of February 2013, grantees had collectively expended more than 100 percent of NSP2 funding, including program income.

The Dodd-Frank Financial Reform and Consumer Protection Act of 2010 (Dodd-Frank Act) appropriated an additional \$1 billion for a third iteration of NSP (NSP3) in July 2010. The Department announced a formula allocation of these funds to 283 entities consisting of State and local governments in September 2010. Grantees submitted their plans for using the NSP3 funds by March 2011 and, from the date HUD made the funds available, grantees will have two years to expend 50 percent of the grant and three years to expend 100 percent. As of February 2013, NSP 3 grantees had expended more than 36 percent of funds.

The Budget provides \$200 million in new competitive funds for neighborhood stabilization activities as part of the Community Development Fund. The Administration continues to propose \$15 billion in mandatory funding for Project Rebuild which would build upon the success of the Neighborhood Stabilization Program and expand opportunities for grantees to address abandoned and foreclosed commercial properties for redevelopment purposes. Of the requested \$15 billion for Project Rebuild, \$10 billion is for a formula allocation to State and local governments while \$5 billion is reserved for competitive distribution to governmental entities as well as non-profit and for-profit entities.

**NEIGHBORHOOD STABILIZATION PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 86-0344-4-1-451                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0004 Project Rebuild .....                            |             | 15,000  | .....     |
| 0900 Total new obligations (object class 41.0) .....  |             | 15,000  | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             | 15,000  | .....     |
| 1260 Appropriations, mandatory (total) .....          |             | 15,000  | .....     |
| 1930 Total budgetary resources available .....        |             | 15,000  | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 15,000    |
| 3010 Obligations incurred, unexpired accounts .....   |             | 15,000  | .....     |
| 3020 Outlays (gross) .....                            |             |         | -50       |
| 3050 Unpaid obligations, end of year .....            |             | 15,000  | 14,950    |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 15,000    |
| 3200 Obligated balance, end of year .....             |             | 15,000  | 14,950    |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             | 15,000  | .....     |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            |             |         | 50        |
| 4180 Budget authority, net (total) .....              |             | 15,000  | .....     |
| 4190 Outlays, net (total) .....                       |             |         | 50        |

**HOMELESS ASSISTANCE GRANTS**  
(INCLUDING TRANSFER OF FUNDS)

*For the emergency solutions grants program as authorized under subtitle B of title IV of the McKinney-Vento Homeless Assistance Act, as amended; and the continuum of care program as authorized under subtitle C of title IV of such Act; \$2,381,000,000, to remain available until September 30, 2016, and any rental assistance amounts that are recaptured under such*

*continuum of care program shall remain available until expended: Provided, That not less than \$346,000,000 of the funds appropriated under this heading shall be available for such emergency solutions grants program, of which \$60,000,000 shall be for rapid re-housing for high need communities as determined by the Secretary: Provided further, That not less than \$2,027,000,000 of the funds appropriated under this heading shall be available for such continuum of care program: Provided further, That up to \$8,000,000 of the funds appropriated under this heading shall be available for the national homeless data analysis project: Provided further, That all funds awarded for supportive services under the continuum of care program shall be matched by not less than 25 percent in cash or in kind by each grantee: Provided further, That for all match requirements applicable to funds made available under this heading for this fiscal year and prior years, a grantee may use (or could have used) as a source of match funds other funds administered by the Secretary and other Federal agencies unless there is (or was) a specific statutory prohibition on any such use of any such funds: Provided further, That the Secretary shall renew on an annual basis expiring contracts or amendments to contracts funded under the continuum of care program if the program is determined to be needed under the applicable continuum of care and meets appropriate program requirements and financial standards, as determined by the Secretary: Provided further, That all awards of assistance under this heading shall be required to coordinate and integrate homeless programs with other mainstream health, social services, and employment programs for which homeless populations may be eligible, including Medicaid, State Children's Health Insurance Program, Temporary Assistance for Needy Families, Food Stamps, and services funding through the Mental Health and Substance Abuse Block Grant, Workforce Investment Act, and the Welfare-to-Work grant program: Provided further, That all balances for Shelter Plus Care renewals previously funded from the Shelter Plus Care Renewal account and transferred to this account shall be available, if recaptured, for continuum of care renewals in fiscal year 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 86-0192-0-1-604                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Homeless Assistance Grants .....                             | 1,743       | .....   | .....     |
| 0002 National Homeless Data Analysis Project .....                | 13          | .....   | 8         |
| 0003 Technical Assistance .....                                   | 7           | .....   | .....     |
| 0004 Sec. 8 Mod Rehab Amendments .....                            | 2           | .....   | .....     |
| 0009 Continuum of Care (SPC, SHP, Rural) .....                    |             | 1,681   | 1,574     |
| 0010 Emergency Solutions Grants - Formula .....                   | 314         | 314     | 356       |
| 0900 Total new obligations (object class 41.0) .....              | 2,079       | 1,995   | 1,938     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 2,192       | 2,023   | 1,961     |
| 1021 Recoveries of prior year unpaid obligations .....            | 35          | 20      | 20        |
| 1050 Unobligated balance (total) .....                            | 2,227       | 2,043   | 1,981     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 1,901       | 1,913   | 2,381     |
| 1160 Appropriation, discretionary (total) .....                   | 1,901       | 1,913   | 2,381     |
| 1930 Total budgetary resources available .....                    | 4,128       | 3,956   | 4,362     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1940 Unobligated balance expiring .....                           | -26         | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....             | 2,023       | 1,961   | 2,424     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,516       | 2,474   | 2,731     |
| 3010 Obligations incurred, unexpired accounts .....               | 2,079       | 1,995   | 1,938     |
| 3011 Obligations incurred, expired accounts .....                 | 1           | .....   | .....     |
| 3020 Outlays (gross) .....  | -1,955      | -1,718  | -1,652    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -35         | -20     | -20       |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -132        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 2,474       | 2,731   | 2,997     |

HOMELESS ASSISTANCE GRANTS—Continued  
Program and Financing—Continued

| Identification code 86-0192-0-1-604                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                           |             |         |           |
| 3100 Obligated balance, start of year .....                    | 2,516       | 2,474   | 2,731     |
| 3200 Obligated balance, end of year .....                      | 2,474       | 2,731   | 2,997     |
| <b>Budget authority and outlays, net:</b>                      |             |         |           |
| <b>Discretionary:</b>  |             |         |           |
| 4000 Budget authority, gross .....                             | 1,901       | 1,913   | 2,381     |
| <b>Outlays, gross:</b>   |             |         |           |
| 4010 Outlays from new discretionary authority .....            | 4           | 10      | 12        |
| 4011 Outlays from discretionary balances .....                 | 1,951       | 1,708   | 1,640     |
| 4020 Outlays, gross (total) .....                              | 1,955       | 1,718   | 1,652     |
| <b>Offsets against gross budget authority and outlays:</b>     |             |         |           |
| <b>Offsetting collections (collected) from:</b>                |             |         |           |
| 4033 Non-Federal sources .....                                 | -1          |         |           |
| <b>Additional offsets against gross budget authority only:</b> |             |         |           |
| 4052 Offsetting collections credited to expired accounts ..... | 1           |         |           |
| 4070 Budget authority, net (discretionary) .....               | 1,901       | 1,913   | 2,381     |
| 4080 Outlays, net (discretionary) .....                        | 1,954       | 1,718   | 1,652     |
| 4180 Budget authority, net (total) .....                       | 1,901       | 1,913   | 2,381     |
| 4190 Outlays, net (total) .....                                | 1,954       | 1,718   | 1,652     |

The Homeless Assistance Grants account provides funds for the Emergency Solutions Grant (ESG) and Continuum of Care (CoC) programs. These programs, which award funds through formula and competitive processes, enable localities to shape and implement comprehensive, flexible, coordinated approaches to address the multiple issues of homelessness. Many communities have made great strides in creating comprehensive approaches to ending chronic homelessness through the development of local plans.

The 2014 Budget provides \$2.38 billion for a wide range of activities to assist homeless persons and prevent future homelessness. HUD estimates it will use \$1.95 billion for competitive renewals in the CoC program and \$346 million for the Emergency Solutions Grant Program, \$60 million of which shall be used for rapid re-housing in high need communities. The Budget also includes \$40 million for new competitive permanent supportive housing projects in the CoC program, and \$8 million for the Homeless Data Analysis Project.

In 2014, HUD will continue the implementation of the McKinney-Vento Act as amended by the HEARTH Act. HUD began implementation of the HEARTH Act with the Emergency Solutions Grants, Consolidated Plan, and Homeless Definition interim rules effective January 2012, and the Continuum of Care interim rule effective August 2012.

The 2014 Budget helps make progress toward ending homelessness by supporting the goals of "Opening Doors: the Federal Strategic Plan to Prevent and End Homelessness," which was published by the U.S. Interagency Council on Homelessness in 2010.

## PERMANENT SUPPORTIVE HOUSING

## Program and Financing (in millions of dollars)

| Identification code 86-0342-0-1-604                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| <b>Unpaid obligations:</b>                            |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 46          | 36      | 24        |
| 3020 Outlays (gross) .....                            | -10         | -12     | -7        |
| 3050 Unpaid obligations, end of year .....            | 36          | 24      | 17        |
| <b>Memorandum (non-add) entries:</b>                  |             |         |           |
| 3100 Obligated balance, start of year .....           | 46          | 36      | 24        |
| 3200 Obligated balance, end of year .....             | 36          | 24      | 17        |

## Budget authority and outlays, net:

|  |    |    |   |
|--|----|----|---|
| <b>Discretionary:</b>                          |    |    |   |
| <b>Outlays, gross:</b>                         |    |    |   |
| 4011 Outlays from discretionary balances ..... | 10 | 12 | 7 |
| 4190 Outlays, net (total) .....                | 10 | 12 | 7 |

This program was created by the Supplemental Appropriations Act, 2008 (P.L. 110-252), which provided \$73 million for permanent supportive housing assistance as referenced in the Road Home Program of the Louisiana Recovery Authority (LRA). Of the total amount appropriated, \$50 million is for permanent supportive housing, which serves approximately 1,000 homeless individuals and families living with disabilities. These grants are administered under the Shelter Plus Care program, as authorized under subtitle F of title IV of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11403 et seq.). The LRA will be eligible to apply for Homeless Assistance Grants to renew this assistance. Additionally, this account provides \$23 million in project-based rental assistance vouchers to LRA to support an estimated 2,000 elderly and disabled disaster victims, as authorized, under section 8(o)(13) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)(13)). Beginning in 2010, these vouchers have been renewed within the Tenant-Based Rental Assistance account upon the termination of the original subsidy.

## RURAL HOUSING AND ECONOMIC DEVELOPMENT

## Program and Financing (in millions of dollars)

| Identification code 86-0324-0-1-604                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Rural Housing and Economic Development .....                 | 3           |         |           |
| 0002 Border Capital Community Initiative .....                    |             | 3       | 1         |
| 0900 Total new obligations (object class 41.0) .....              | 3           | 3       | 1         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| <b>Unobligated balance:</b>                                       |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 4           | 3       | 1         |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           | 1       |           |
| 1050 Unobligated balance (total) .....                            | 6           | 4       | 1         |
| 1930 Total budgetary resources available .....                    | 6           | 4       | 1         |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 3           | 1       |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 34          | 24      | 6         |
| 3010 Obligations incurred, unexpired accounts .....               | 3           | 3       | 1         |
| 3020 Outlays (gross) .....  | -11         | -20     | -7        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2          | -1      |           |
| 3050 Unpaid obligations, end of year .....                        | 24          | 6       |           |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 3100 Obligated balance, start of year .....                       | 34          | 24      | 6         |
| 3200 Obligated balance, end of year .....                         | 24          | 6       |           |

## Budget authority and outlays, net:

|  |    |    |   |
|--|----|----|---|
| <b>Discretionary:</b>                          |    |    |   |
| <b>Outlays, gross:</b>                         |    |    |   |
| 4011 Outlays from discretionary balances ..... | 11 | 20 | 7 |
| 4190 Outlays, net (total) .....                | 11 | 20 | 7 |

The 2014 Budget does not provide funding for the Rural Housing and Economic Development (RHED) program. RHED was created to encourage innovative approaches to serving the housing and economic development needs of the nation's rural communities.

## REVOLVING FUND (LIQUIDATING PROGRAMS)

## Program and Financing (in millions of dollars)

| Identification code 86-4015-0-3-451                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Revolving Fund .....                            | 2           | 1       | 1         |
| 0900 Total new obligations (object class 32.0) ..... | 2           | 1       | 1         |

## Budgetary Resources:

|  |   |   |   |
|--|---|---|---|
| Budget authority:                              |   |   |   |
| Appropriations, mandatory:                     |   |   |   |
| 1200 Appropriation .....                       | 2 | 1 | 1 |
| 1260 Appropriations, mandatory (total) .....   | 2 | 1 | 1 |
| 1900 Budget authority (total) .....            | 2 | 1 | 1 |
| 1930 Total budgetary resources available ..... | 2 | 1 | 1 |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 7  | 9  | 8  |
| 3010 Obligations incurred, unexpired accounts .....   | 2  | 1  | 1  |
| 3020 Outlays (gross) .....                            | -2 | -2 | -2 |
| 3050 Unpaid obligations, end of year .....            | 9  | 8  | 7  |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           | 7  | 9  | 8  |
| 3200 Obligated balance, end of year .....             | 9  | 8  | 7  |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 2 | 1 | 1 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... | 1 | 1 | 1 |
| 4101 Outlays from mandatory balances .....      | 1 | 1 | 1 |
| 4110 Outlays, gross (total) .....               | 2 | 2 | 2 |
| 4180 Budget authority, net (total) .....        | 2 | 1 | 1 |
| 4190 Outlays, net (total) .....                 | 2 | 2 | 2 |

## Status of Direct Loans (in millions of dollars)

| Identification code 86-4015-0-3-451             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year .....           | 5           | 5       | 5         |
| 1263 Write-offs for default: Direct loans ..... |             |         |           |
| 1290 Outstanding, end of year .....             | 5           | 5       | 5         |

The Revolving Fund (liquidating programs) was established by the Independent Offices Appropriations Act of 1955 for the efficient liquidation of assets acquired under a number of housing and urban development programs, all of which are no longer active. The operational expenses are financed from a permanent, indefinite appropriation to administer the remaining repayments of loans and recaptures in the portfolio. Annually, any remaining unobligated balances in the account are returned as a dividend to the Treasury.

The Section 312 loan program portfolio, which provided first and junior lien financing at below market interest rates for the rehabilitation of homes in low-income neighborhoods, constituted a large portion of the account activities. This program ceased to originate new loans over 20 years ago. Since the sale of the Section 312 loan portfolio to the private sector in 2001, activity in this account has been minimal.

## Balance Sheet (in millions of dollars)

| Identification code 86-4015-0-3-451                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 7           | 9           |
| 1601 Direct loans, gross .....  | 5           | 5           |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -5          | -5          |
| 1604 Direct loans and interest receivable, net .....                    |             |             |
| 1606 Foreclosed property .....  | 1           | 2           |

|  |   |    |
|--|---|----|
| 1699 Value of assets related to direct loans ..... | 1 | 2  |
| 1999 Total assets .....                            | 8 | 11 |
| <b>LIABILITIES:</b>                                |   |    |
| 2207 Non-Federal liabilities: Other .....          | 1 | 1  |
| <b>NET POSITION:</b>                               |   |    |
| 3100 Unexpended appropriations .....               | 7 | 10 |
| 4999 Total liabilities and net position .....      | 8 | 11 |

## COMMUNITY DEVELOPMENT LOAN GUARANTEES PROGRAM ACCOUNT

*Subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2014 commitments to guarantee loans under section 108 of the Housing and Community Development Act of 1974, any part of which is guaranteed, shall not exceed a total principal amount of \$500,000,000, notwithstanding any aggregate limitation on outstanding obligations guaranteed in subsection (k) of such section 108: Provided, That the Secretary shall collect fees from borrowers, notwithstanding subsection (m) of such section 108, to result in a credit subsidy cost of zero, and such fees such be collected in accordance with section 502(7) of the Congressional Budget Act of 1974.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0198-0-1-451                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0702 Loan guarantee subsidy .....                            | 5           | 9       |           |
| 0707 Reestimates of loan guarantee subsidy .....             | 7           | 7       |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... | 1           | 1       |           |
| 0900 Total new obligations (object class 33.0) .....         | 13          | 17      |           |

## Budgetary Resources:

|   |    |    |  |
|---|----|----|--|
| Unobligated balance:  |    |    |  |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 3  | 3  |  |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 3  | 3  |  |
| 1021 Recoveries of prior year unpaid obligations .....          | 1  |    |  |
| 1050 Unobligated balance (total) .....                          | 4  | 3  |  |
| Budget authority:   |    |    |  |
| Appropriations, discretionary:                                  |    |    |  |
| 1100 Appropriation .....  | 6  | 6  |  |
| 1160 Appropriation, discretionary (total) .....                 | 6  | 6  |  |
| Appropriations, mandatory:                                      |    |    |  |
| 1200 Appropriation .....  | 7  | 8  |  |
| 1260 Appropriations, mandatory (total) .....                    | 7  | 8  |  |
| 1900 Budget authority (total) .....                             | 13 | 14 |  |
| 1930 Total budgetary resources available .....                  | 17 | 17 |  |
| Memorandum (non-add) entries:                                   |    |    |  |
| 1940 Unobligated balance expiring .....                         | -1 |    |  |
| 1941 Unexpired unobligated balance, end of year .....           | 3  |    |  |

## Change in obligated balance:

|   |     |     |    |
|---|-----|-----|----|
| Unpaid obligations:   |     |     |    |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 14  | 14  | 15 |
| 3010 Obligations incurred, unexpired accounts .....               | 13  | 17  |    |
| 3020 Outlays (gross) .....  | -11 | -16 | -8 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  |     |    |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -1  |     |    |
| 3050 Unpaid obligations, end of year .....                        | 14  | 15  | 7  |
| Memorandum (non-add) entries:                                     |     |     |    |
| 3100 Obligated balance, start of year .....                       | 14  | 14  | 15 |
| 3200 Obligated balance, end of year .....                         | 14  | 15  | 7  |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Discretionary:                                      |   |   |   |
| 4000 Budget authority, gross .....                  | 6 | 6 |   |
| Outlays, gross:                                     |   |   |   |
| 4010 Outlays from new discretionary authority ..... |   | 1 |   |
| 4011 Outlays from discretionary balances .....      | 4 | 7 | 8 |
| 4020 Outlays, gross (total) .....                   | 4 | 8 | 8 |

**COMMUNITY DEVELOPMENT LOAN GUARANTEES PROGRAM**  
**ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 86-0198-0-1-451             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Mandatory:</b>                               |             |         |           |
| 4090 Budget authority, gross .....              | 7           | 8       |           |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... | 7           | 8       |           |
| 4180 Budget authority, net (total) .....        | 13          | 14      |           |
| 4190 Outlays, net (total) .....                 | 11          | 16      | 8         |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 86-0198-0-1-451                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Guaranteed loan levels supportable by subsidy budget authority:</b> |             |         |           |
| 215001 Community development loan guarantee levels .....               | 206         | 364     | 500       |
| 215999 Total loan guarantee levels .....                               | 206         | 364     | 500       |
| <b>Guaranteed loan subsidy (in percent):</b>                           |             |         |           |
| 232001 Community development loan guarantee levels .....               | 2.48        | 2.46    | 0.00      |
| 232999 Weighted average subsidy rate .....                             | 2.48        | 2.46    | 0.00      |
| <b>Guaranteed loan subsidy budget authority:</b>                       |             |         |           |
| 233001 Community development loan guarantee levels .....               | 5           | 9       |           |
| 233999 Total subsidy budget authority .....                            | 5           | 9       |           |
| <b>Guaranteed loan subsidy outlays:</b>                                |             |         |           |
| 234001 Community development loan guarantee levels .....               | 4           | 5       | 5         |
| 234999 Total subsidy outlays .....                                     | 4           | 5       | 5         |
| <b>Guaranteed loan upward reestimates:</b>                             |             |         |           |
| 235001 Community development loan guarantee levels .....               | 7           | 8       |           |
| 235999 Total upward reestimate budget authority .....                  | 7           | 8       |           |
| <b>Guaranteed loan downward reestimates:</b>                           |             |         |           |
| 237001 Community development loan guarantee levels .....               | -10         | -3      |           |
| 237999 Total downward reestimate subsidy budget authority .....        | -10         | -3      |           |

The 2014 Budget increases the guaranteed loan limit to \$500 million, but does not request funding for the Community Development Loan Guarantee program (Section 108). Instead of subsidy, the Budget includes a legislative change to allow HUD to collect fees to offset credit subsidy costs and make related adjustments to the program. Carryover loan guarantee credit subsidy in this account will continue to be used until exhausted. The Budget requires that the program operate at a zero credit subsidy cost and provides for the collection of fees to fund program costs. Program activities include economic development projects, housing rehabilitation, public facilities rehabilitation, construction or installation for the benefit of low- to moderate-income persons, or to aid in the prevention of slums.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the loan guarantees committed since 1992, including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year. The subsidy amounts are estimated on a present value basis.

**COMMUNITY DEVELOPMENT LOAN GUARANTEES FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 86-4096-0-3-451                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| <b>Credit program obligations:</b>                     |             |         |           |
| 0742 Downward reestimate paid to receipt account ..... | 5           | 2       |           |
| 0743 Interest on downward reestimates .....            | 5           | 1       |           |
| 0900 Total new obligations .....                       | 10          | 3       |           |
| <b>Budgetary Resources:</b>                            |             |         |           |
| <b>Unobligated balance:</b>                            |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 104         | 109     | 119       |

|  |     |     |     |
|--|-----|-----|-----|
| <b>Financing authority:</b>  |     |     |     |
| <b>Spending authority from offsetting collections, mandatory:</b>  |     |     |     |
| 1800 Collected .....   | 15  | 13  | 9   |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 15  | 13  | 9   |
| 1930 Total budgetary resources available .....                     | 119 | 122 | 128 |
| <b>Memorandum (non-add) entries:</b>                               |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 109 | 119 | 128 |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Change in obligated balance:</b>                               |     |     |     |
| <b>Unpaid obligations:</b>  |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             |     |     | 3   |
| 3010 Obligations incurred, unexpired accounts .....               | 10  | 3   |     |
| 3020 Financing disbursements (gross) .....                        | -10 |     |     |
| 3050 Unpaid obligations, end of year .....                        |     | 3   | 3   |
| <b>Uncollected payments:</b>                                      |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -14 | -14 | -14 |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -14 | -14 | -14 |
| <b>Memorandum (non-add) entries:</b>                              |     |     |     |
| 3100 Obligated balance, start of year .....                       | -14 | -14 | -11 |
| 3200 Obligated balance, end of year .....                         | -14 | -11 | -11 |

|   |     |     |    |
|---|-----|-----|----|
| <b>Financing authority and disbursements, net:</b>                        |     |     |    |
| <b>Mandatory:</b>   |     |     |    |
| 4090 Financing authority, gross .....                                     | 15  | 13  | 9  |
| <b>Financing disbursements:</b>   |     |     |    |
| 4110 Financing disbursements, gross .....                                 | 10  |     |    |
| <b>Offsets against gross financing authority and disbursements:</b>       |     |     |    |
| <b>Offsetting collections (collected) from:</b>                           |     |     |    |
| 4120 Federal Sources: Payments from Program Account .....                 | -11 | -12 | -5 |
| 4122 Interest on uninvested funds .....                                   | -4  | -1  | -4 |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -15 | -13 | -9 |
| 4170 Financing disbursements, net (mandatory) .....                       | -5  | -13 | -9 |
| 4190 Financing disbursements, net (total) .....                           | -5  | -13 | -9 |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 86-4096-0-3-451   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Position with respect to appropriations act limitation on commitments:</b> |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....             | 240         | 240     | 500       |
| 2121 Limitation available from carry-forward .....                            | 125         | 124     |           |
| 2142 Uncommitted loan guarantee limitation .....                              | -34         |         |           |
| 2143 Uncommitted limitation carried forward .....                             | -124        |         |           |
| 2150 Total guaranteed loan commitments .....                                  | 207         | 364     | 500       |
| 2199 Guaranteed amount of guaranteed loan commitments .....                   | 206         | 364     | 500       |
| <b>Cumulative balance of guaranteed loans outstanding:</b>                    |             |         |           |
| 2210 Outstanding, start of year .....   | 2,079       | 2,148   | 2,108     |
| 2231 Disbursements of new guaranteed loans .....                              | 175         | 210     | 210       |
| 2251 Repayments and prepayments .....   | -212        | -250    | -250      |
| 2264 Adjustments: Other adjustments, net .....                                | 106         |         |           |
| 2290 Outstanding, end of year .....   | 2,148       | 2,108   | 2,068     |
| <b>Memorandum:</b>  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....     | 2,148       | 1,999   | 1,999     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

**Balance Sheet** (in millions of dollars)

| Identification code 86-4096-0-3-451                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 95          | 96          |
| 1999 Total assets .....   | 95          | 96          |
| <b>LIABILITIES:</b>   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 95          | 96          |

4999 Total liabilities and net position ..... 95 96

## COMMUNITY DEVELOPMENT LOAN GUARANTEES LIQUIDATING ACCOUNT

### Program and Financing (in millions of dollars)

| Identification code 86-4097-0-3-451                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                               |             |         |           |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -3          | -3      | -3        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -3          | -3      | -3        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | -3          | -3      | -3        |
| 3200 Obligated balance, end of year .....                         | -3          | -3      | -3        |

### Status of Guaranteed Loans (in millions of dollars)

| Identification code 86-4097-0-3-451                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 10          | 5       | .....     |
| 2251 Repayments and prepayments .....                                     | -5          | -5      | .....     |
| 2290 Outstanding, end of year .....                                       | 5           | .....   | .....     |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 5           | .....   | .....     |

As required by the Federal Credit Reform Act of 1990, this liquidating account records all cash flows to and from the Government resulting from FFB direct loans for which loan guarantees were committed prior to 1992. This account is shown on a cash basis.

### Balance Sheet (in millions of dollars)

| Identification code 86-4097-0-3-451    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>                         |             |             |
| Federal assets:                        |             |             |
| 1101 Fund balances with Treasury ..... | 3           | 3           |
| Investments in US securities:          |             |             |
| 1106 Receivables, net .....            | 3           | 3           |
| 1999 Total assets .....                | 6           | 6           |

## HOUSING PROGRAMS

### Federal Funds

#### HOUSING FOR THE ELDERLY

For amendments to capital advance contracts for housing for the elderly, as authorized by section 202 of the Housing Act of 1959, as amended, and for project rental assistance for the elderly under section 202(c)(2) of such Act, including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, and for senior preservation rental assistance contracts, as authorized by section 811(e) of the American Housing and Economic Opportunity Act of 2000, as amended, and for supportive services associated with the housing, \$400,000,000 to remain available until September 30, 2017: Provided, That of the amount provided under this heading, up to \$70,000,000 shall be for service coordinators and the continuation of existing congregate service grants for residents of assisted housing projects: Provided further, That amounts under this heading shall be available for Real Estate Assessment Center inspections and inspection-related activities associated with section 202 projects: Provided further, That the Secretary may waive the provisions of section 202 governing the terms and conditions of project rental assistance, except that the initial contract term for such assistance shall not exceed 5 years in duration: Provided further, That upon the request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a

section 202 project rental assistance contract and that upon termination of such contract are in excess of an amount to be determined by the Secretary shall be remitted to the Department and deposited in this account, to be available until expended: Provided further, That amounts deposited in this account pursuant to the previous proviso shall be available in addition to the amounts otherwise provided by this heading for the purposes authorized under this heading and, together with such other funds, may be used by the Secretary for demonstration programs to test housing with services models for the elderly: Provided further, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated under this heading may be used for the current purposes authorized under this heading, notwithstanding the purposes for which such funds were originally appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 86-0320-0-1-604                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |             |         |           |
| 0001 Construction and Expansion .....                      | 654         | 29      | 31        |
| 0002 PRAC Renewal/Amendment .....                          | 228         | 285     | 310       |
| 0003 Service Coordinators/Congregate Services .....        | 127         | 81      | 70        |
| 0004 Conversion to Assisted Living Facilities .....        | 24          | 26      | 22        |
| 0005 Pre-Construction Demonstration .....                  | 21          | .....   | .....     |
| 0006 Senior Preservation Rental Assistance Contracts ..... | .....       | .....   | 16        |
| 0007 Technical Assistance .....                            | 2           | .....   | .....     |
| 0900 Total new obligations (object class 41.0) .....       | 1,056       | 421     | 449       |

### Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 865   | 183   | 139   |
| 1021 Recoveries of prior year unpaid obligations .....             | 7     | ..... | ..... |
| 1050 Unobligated balance (total) .....                             | 872   | 183   | 139   |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:                                     |       |       |       |
| 1100 Appropriation .....   | 375   | 377   | 400   |
| 1120 Appropriations transferred to other accts [86-0402] .....     | ..... | ..... | -2    |
| 1160 Appropriation, discretionary (total) .....                    | 375   | 377   | 398   |
| Spending authority from offsetting collections, discretionary:     |       |       |       |
| 1700 Collected .....   | 8     | ..... | 28    |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 8     | ..... | 28    |
| 1900 Budget authority (total) .....                                | 383   | 377   | 426   |
| 1930 Total budgetary resources available .....                     | 1,255 | 560   | 565   |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1940 Unobligated balance expiring .....                            | -16   | ..... | ..... |
| 1941 Unexpired unobligated balance, end of year .....              | 183   | 139   | 116   |

### Change in obligated balance:

|   |       |       |       |
|---|-------|-------|-------|
| Unpaid obligations:   |       |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,638 | 2,806 | 2,330 |
| 3010 Obligations incurred, unexpired accounts .....               | 1,056 | 421   | 449   |
| 3020 Outlays (gross) .....  | -870  | -897  | -880  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -7    | ..... | ..... |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -11   | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 2,806 | 2,330 | 1,899 |
| Memorandum (non-add) entries:                                     |       |       |       |
| 3100 Obligated balance, start of year .....                       | 2,638 | 2,806 | 2,330 |
| 3200 Obligated balance, end of year .....                         | 2,806 | 2,330 | 1,899 |

### Budget authority and outlays, net:

|   |     |       |     |
|---|-----|-------|-----|
| Discretionary:                                      |     |       |     |
| 4000 Budget authority, gross .....                  | 383 | 377   | 426 |
| Outlays, gross:                                     |     |       |     |
| 4010 Outlays from new discretionary authority ..... | 71  | 117   | 126 |
| 4011 Outlays from discretionary balances .....      | 799 | 780   | 754 |
| 4020 Outlays, gross (total) .....                   | 870 | 897   | 880 |
| Offsets against gross budget authority and outlays: |     |       |     |
| Offsetting collections (collected) from:            |     |       |     |
| 4033 Non-Federal sources .....                      | -8  | ..... | -28 |
| 4180 Budget authority, net (total) .....            | 375 | 377   | 398 |
| 4190 Outlays, net (total) .....                     | 862 | 897   | 852 |

## HOUSING FOR THE ELDERLY—Continued

Since 1959, the Housing for the Elderly program (Section 202) has supported both the construction and operation of supportive housing for very low-income elderly households, including frail elderly. The Budget provides \$310 million to renew and amend operating subsidy contracts for existing Section 202 housing, \$70 million to support service coordinators who work on-site to help residents obtain critical services, such as benefit counseling, and \$20 million to support a demonstration program to test and implement housing with services models for seniors.

As proposed in the 2013 Budget, the Administration continues to support legislative and administrative changes to permit a new generation of Section 202 housing with supportive services targeted at populations most in need of affordable housing. Building off emerging research on best practices, HUD will provide Section 202 operating assistance to States to fund innovative supportive housing projects in line with state housing and health care priorities. Funded projects—new or existing multifamily housing complexes—must be fully leveraged with other capital resources, such as Low-Income Housing Tax Credits, HOME funds, and other Federal, state, and local programs, and only require Section 202 for operating assistance. This will result in long-term strategies to increase the supply of affordable permanent housing units with structured access to appropriate services, but also enables the program to better facilitate cost savings to state and federal health care budgets through reduced institutionalization and emergency room utilization.

In addition, the Budget provides HUD with new authorities to make better use of existing resources. In 2014, HUD will review residual receipts collections, recaptures, and other unobligated balances to increase the amount available for awards to support housing with services models that serve as a platform for seniors to live independently and age in place.

## HOUSING FOR THE ELDERLY

|                                  | 2012 actual | 2013 est. | 2014 est. |
|----------------------------------|-------------|-----------|-----------|
| Units eligible for payment ..... | 115,112     | 119,698   | 125,661   |

## HOUSING FOR PERSONS WITH DISABILITIES

*For amendments to capital advance contracts for supportive housing for persons with disabilities, as authorized by section 811 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 8013), for project rental assistance for supportive housing for persons with disabilities under section 811(d)(2) of such Act and for project assistance contracts pursuant to section 202(h) of the Housing Act of 1959 (Public Law 86-372; 73 Stat. 667), including amendments to contracts for such assistance and renewal of expiring contracts for such assistance for up to a 1-year term, for project rental assistance to State housing finance agencies and other appropriate entities as authorized under section 811(b)(3) of the Cranston-Gonzalez National Housing Act, and for supportive services associated with the housing for persons with disabilities as authorized by section 811(b)(1) of such Act, \$126,000,000 to remain available until September 30, 2017: Provided, That amounts made available under this heading shall be available for Real Estate Assessment Center inspections and inspection-related activities associated with section 811 Projects. Provided further, That, notwithstanding any other provision of law, upon the request of the Secretary of Housing and Urban Development, project funds that are held in residual receipts accounts for any project subject to a section 811 project rental assistance contract and that upon termination of such contract are in excess of an amount to be determined by the Secretary shall be remitted to the Department and deposited in this account, to be available until expended: Provided further, That amounts deposited in this account pursuant to the previous proviso shall be available in addition to the amounts otherwise provided by this heading for the purposes authorized under this heading: Provided further, That unobligated balances, including recaptures and carryover, remaining from funds transferred to or appropriated*

*under this heading may be used for the current purposes authorized under this heading notwithstanding the purposes for which such funds originally were appropriated.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0237-0-1-604                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Construction and Expansion .....                              | 161         | 10      | .....     |
| 0002 PRAC Renewals/Amendments .....                                | 81          | 96      | 106       |
| 0003 Mainstream Voucher Renewals .....                             | 1           | 1       | .....     |
| 0004 State Housing Project Rental Assistance .....                 | .....       | 101     | 122       |
| 0900 Total new obligations (object class 41.0) .....               | 243         | 208     | 228       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 257         | 183     | 141       |
| 1021 Recoveries of prior year unpaid obligations .....             | 5           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 262         | 183     | 141       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 165         | 166     | 126       |
| 1120 Appropriations transferred to other accts [86-0402] .....     | .....       | .....   | -1        |
| 1160 Appropriation, discretionary (total) .....                    | 165         | 166     | 125       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | .....       | .....   | 12        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | .....       | .....   | 12        |
| 1900 Budget authority (total) .....                                | 165         | 166     | 137       |
| 1930 Total budgetary resources available .....                     | 427         | 349     | 278       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 183         | 141     | 50        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 656         | 664     | 601       |
| 3010 Obligations incurred, unexpired accounts .....                | 243         | 208     | 228       |
| 3020 Outlays (gross) .....   | -226        | -271    | -202      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -5          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 664         | 601     | 627       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 656         | 664     | 601       |
| 3200 Obligated balance, end of year .....                          | 664         | 601     | 627       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 165         | 166     | 137       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 22          | 35      | 17        |
| 4011 Outlays from discretionary balances .....                     | 204         | 236     | 185       |
| 4020 Outlays, gross (total) .....                                  | 226         | 271     | 202       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | .....       | .....   | -12       |
| 4180 Budget authority, net (total) .....                           | 165         | 166     | 125       |
| 4190 Outlays, net (total) .....                                    | 226         | 271     | 190       |

Since 1992, the Housing for Persons with Disabilities program (Section 811) has supported the development of supportive housing for very low-income people with disabilities. The Budget provides \$106 million to renew and amend operating subsidy contracts for existing Section 811 housing, and \$20 million for new Project Rental Assistance (PRA) awards.

In 2014, HUD will continue to fund supportive housing projects in line with state housing and health care priorities through the Section 811 PRA program. PRA projects must be fully leveraged with other capital resources, such as Low-Income Housing Tax Credits, HOME funds, and other Federal, state, and local programs, and only require Section 811 for operating assistance.

Section 811 allows for States to better leverage community-based care, to affirmatively address legal requirements for integrated housing, and to provide a platform for disabled persons to live independently in integrated community-based settings. Supportive housing can achieve significant savings for state and federal health care budgets through reduced institutionalization and emergency room utilization.

The inaugural competition of the Section 811 PRA program in 2012 provided funding to thirteen states to support 3,530 units specifically set-aside for persons with disabilities with appropriate community-based supports and services. The majority of state PRA programs are targeting persons with disabilities in institutions or at risk of institutionalization consistent with each State's Olmstead agreements or Olmstead plan.

The Budget also provides HUD with new authorities to make better use of existing resources. In 2014, HUD will review residual receipts collections, recaptures, and other unobligated balances to redirect available funds to make new additional investments in PRA awards.

#### HOUSING FOR PERSONS WITH DISABILITIES

|                                  | 2012 actual | 2013 est. | 2014 est. |
|----------------------------------|-------------|-----------|-----------|
| Units eligible for payment ..... | 32,187      | 33,469    | 36,040    |

#### HOUSING COUNSELING ASSISTANCE

*For contracts, grants, and other assistance excluding loans, as authorized under section 106 of the Housing and Urban Development Act of 1968, as amended, \$55,000,000, including up to \$4,500,000 for administrative contract services, to remain available until September 30, 2015: Provided, That funds shall be used for providing counseling and advice to tenants and homeowners, both current and prospective, with respect to property maintenance, financial management/literacy, and such other matters as may be appropriate to assist them in improving their housing conditions, meeting their financial needs, and fulfilling the responsibilities of tenancy or homeownership; for program administration; and for housing counselor training.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 86–0156–0–1–604                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Housing Counseling Assistance .....                        | 43          | 42      | 50        |
| 0002 Administrative Contract Services .....                     | 2           | 3       | 5         |
| 0900 Total new obligations .....                                | 45          | 45      | 55        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 45          | 45      | 55        |
| 1160 Appropriation, discretionary (total) .....                 | 45          | 45      | 55        |
| 1930 Total budgetary resources available .....                  | 45          | 45      | 55        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 46          | 39      | 37        |
| 3010 Obligations incurred, unexpired accounts .....             | 45          | 45      | 55        |
| 3020 Outlays (gross) .....                                      | –51         | –47     | –49       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 39          | 37      | 43        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 46          | 39      | 37        |
| 3200 Obligated balance, end of year .....                       | 39          | 37      | 43        |

#### Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 45 | 45 | 55 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 15 | 15 | 19 |
| 4011 Outlays from discretionary balances .....      | 36 | 32 | 30 |
| 4020 Outlays, gross (total) .....                   | 51 | 47 | 49 |
| 4180 Budget authority, net (total) .....            | 45 | 45 | 55 |
| 4190 Outlays, net (total) .....                     | 51 | 47 | 49 |

The Housing Counseling Assistance Program provides comprehensive housing counseling services to eligible homeowners and tenants through grants to non-profit intermediaries, state governmental entities, and other agencies with local to national presences. Eligible counseling activities include pre- and post-purchase education, personal financial management, reverse mortgage product education, foreclosure prevention/mitigation, and rental counseling. The Housing Counseling Assistance Program supports the delivery of a wide variety of housing counseling services to homebuyers, homeowners, low- to moderate-income renters, and elderly citizens including the Administration's current foreclosure mitigation efforts. The primary objectives of the Housing Counseling program are to expand homeownership opportunities, improve access to affordable housing, prevent foreclosure, increase financial literacy, and aid in HUD's commitment to bridging the minority homeownership gap. Additionally, the program supports a significant number of individuals with FHA-insured loans, which helps maintain the financial soundness of the FHA insurance funds. The 2014 Budget includes \$55 million for this program, the bulk of which funds grants for the direct provision of counseling.

#### Object Classification (in millions of dollars)

| Identification code 86–0156–0–1–604                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.2 Other services from non-Federal sources ..... |             | 3       | 5         |
| 41.0 Grants, subsidies, and contributions .....    | 45          | 42      | 50        |
| 99.9 Total new obligations .....                   | 45          | 45      | 55        |

#### ENERGY INNOVATION FUND

#### Program and Financing (in millions of dollars)

| Identification code 86–0401–0–1–272                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Energy Efficient Mortgage Innovation Pilot ..... | 6           | 6       |           |
| 0002 Multifamily Energy Pilot .....                   | 23          | 2       |           |
| 0900 Total new obligations (object class 41.0) .....  | 29          | 8       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 37          | 8       |           |
| 1930 Total budgetary resources available .....        | 37          | 8       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 8           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 12          | 40      | 34        |
| 3010 Obligations incurred, unexpired accounts .....   | 29          | 8       |           |
| 3020 Outlays (gross) .....                            | –1          | –14     | –19       |
| 3050 Unpaid obligations, end of year .....            | 40          | 34      | 15        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 12          | 40      | 34        |
| 3200 Obligated balance, end of year .....             | 40          | 34      | 15        |

ENERGY INNOVATION FUND—Continued  
Program and Financing—Continued

| Identification code 86-0401-0-1-272            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>      |             |         |           |
| Discretionary:                                 |             |         |           |
| Outlays, gross:                                |             |         |           |
| 4011 Outlays from discretionary balances ..... | 1           | 14      | 19        |
| 4190 Outlays, net (total) .....                | 1           | 14      | 19        |

The objective of the Energy Innovation Fund is to provide support for promising local initiatives that can be replicated across the nation and to stimulate and enhance private investment in cost-saving energy efficiency retrofits of existing housing, through improved use of FHA single family and multifamily mortgage products. In, 2010, \$50 million was appropriated for this initiative.

The single family Energy Efficient Mortgage Innovation Pilot provided up to \$25 million in incentive payments to support the new FHA PowerSaver loan guarantee program. PowerSaver is a partnership between HUD and 18 lenders that extends the benefits of Title I Energy Efficient Property Improvement loans to more homeowners and enabled them to borrow up to \$25,000 for terms as long as 20 years to make energy improvements of their choice, based on a list of proven measures developed by FHA and the U.S. Department of Energy (DOE).

The Multifamily Energy Innovation Fund Pilot provided \$25 million for financing and applied research demonstrations. The demonstrations will identify solutions to the primary and long-standing challenges to implementing energy efficiency and renewable energy improvements in existing affordable multifamily properties and leverage private capital and additional public funding to demonstrate proof of concept of specific models.

All funding for the program expires at the end of 2013. This schedule reflects the expenditure of prior year balances.

## EMERGENCY HOMEOWNERS' RELIEF FUND

## Program and Financing (in millions of dollars)

| Identification code 86-0407-0-1-371                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| Credit program obligations:                                       |             |         |           |
| 0701 Direct loan subsidy .....                                    | 18          | 22      | .....     |
| 0900 Total new obligations (object class 41.0) .....              | 18          | 22      | .....     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 472         | 185     | 163       |
| 1021 Recoveries of prior year unpaid obligations .....            | 106         | .....   | .....     |
| 1029 Other balances withdrawn .....                               | -375        | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 203         | 185     | 163       |
| 1930 Total budgetary resources available .....                    | 203         | 185     | 163       |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 185         | 163     | 163       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 492         | 215     | 107       |
| 3010 Obligations incurred, unexpired accounts .....               | 18          | 22      | .....     |
| 3020 Outlays (gross) .....  | -189        | -130    | -69       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -106        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 215         | 107     | 38        |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 3100 Obligated balance, start of year .....                       | 492         | 215     | 107       |
| 3200 Obligated balance, end of year .....                         | 215         | 107     | 38        |

## Budget authority and outlays, net:

|  |     |     |    |
|--|-----|-----|----|
| Mandatory:                                 |     |     |    |
| Outlays, gross:                            |     |     |    |
| 4101 Outlays from mandatory balances ..... | 189 | 130 | 69 |
| 4190 Outlays, net (total) .....            | 189 | 130 | 69 |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 86-0407-0-1-371                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct loan levels supportable by subsidy budget authority:</b> |             |         |           |
| 115001 Emergency Homeowners' Relief .....                          | 19          | 23      | .....     |
| 115999 Total direct loan levels .....                              | 19          | 23      | .....     |
| <b>Direct loan subsidy (in percent):</b>                           |             |         |           |
| 132001 Emergency Homeowners' Relief .....                          | 97.72       | 97.71   | .....     |
| 132999 Weighted average subsidy rate .....                         | 97.72       | 97.71   | .....     |
| <b>Direct loan subsidy budget authority:</b>                       |             |         |           |
| 133001 Emergency Homeowners' Relief .....                          | 18          | 22      | .....     |
| 133999 Total subsidy budget authority .....                        | 18          | 22      | .....     |
| <b>Direct loan subsidy outlays:</b>                                |             |         |           |
| 134001 Emergency Homeowners' Relief .....                          | 67          | 32      | 18        |
| 134999 Total subsidy outlays .....                                 | 67          | 32      | 18        |

The Emergency Homeowners' Loan Program (EHLPL), authorized by the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203), provided emergency mortgage assistance to homeowners who were unemployed or underemployed due to economic or medical conditions. EHLPL offered an eligible homeowner a declining balance, deferred payment, non-recourse, zero interest subordinate loan of up to \$50,000 to assist with paying all arrearages on the homeowner's first mortgage and up to 24 consecutive months of first mortgage assistance payments. EHLPL provided assistance to homeowners in Puerto Rico and the 32 states not assisted by the Treasury Department's Innovation Fund for Hardest Hit Housing Markets program. States with existing programs that provided substantially similar assistance to homeowners received grants to provide EHLPL assistance through such programs. The program became effective October 1, 2010 and, per statute, stopped accepting applications on September 30, 2011. As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses for EHLPL, as well as the subsidy costs, associated with the direct loans obligated. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

## EMERGENCY HOMEOWNERS' RELIEF FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 86-4357-0-3-371                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0710 Direct loan obligations .....                                 | 19          | 23      | .....     |
| 0900 Total new obligations .....                                   | 19          | 23      | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 18          | 1       | 11        |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | -18         | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 109         | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 109         | 1       | 11        |
| <b>Financing authority:</b>  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Positive Subsidy .....  | 67          | 32      | 18        |
| 1800 Repayments .....  | .....       | 1       | 1         |
| 1801 Change in uncollected payments, Federal sources .....         | -156        | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | -89         | 33      | 19        |
| 1900 Financing authority (total) .....                             | -89         | 33      | 19        |
| 1930 Total budgetary resources available .....                     | 20          | 34      | 30        |



|                                     |  |      |          |
|-------------------------------------|--|------|----------|
| Memorandum (non-add) entries:       |  |      |          |
| 1941                                | Unexpired unobligated balance, end of year .....             | 1    | 11 30    |
| <b>Change in obligated balance:</b> |  |      |          |
| Unpaid obligations:                 |  |      |          |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 210  | 52 41    |
| 3010                                | Obligations incurred, unexpired accounts .....               | 19   | 23 ..... |
| 3020                                | Financing disbursements (gross) .....                        | -68  | -34 -19  |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | -109 | .....    |
| 3050                                | Unpaid obligations, end of year .....                        | 52   | 41 22    |
| Uncollected payments:               |  |      |          |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -205 | -49 -49  |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | 156  | .....    |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -49  | -49 -49  |
| Memorandum (non-add) entries:       |  |      |          |
| 3100                                | Obligated balance, start of year .....                       | 5    | 3 -8     |
| 3200                                | Obligated balance, end of year .....                         | 3    | -8 -27   |

|  |  |       |         |
|--|--|-------|---------|
| <b>Financing authority and disbursements, net:</b>           |  |       |         |
| Mandatory:   |  |       |         |
| 4090   | Financing authority, gross .....                                     | -89   | 33 19   |
| Financing disbursements:                                     |  |       |         |
| 4110   | Financing disbursements, gross .....                                 | 68    | 34 19   |
| Offsets against gross financing authority and disbursements: |  |       |         |
| Offsetting collections (collected) from:                     |  |       |         |
| 4120   | Federal sources .....  | -67   | -32 -18 |
| 4123   | Repayments of principal, net .....                                   | ..... | -1 -1   |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -67   | -33 -19 |
| Additional offsets against financing authority only (total): |  |       |         |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....            | 156   | .....   |
| 4170   | Financing disbursements, net (mandatory) .....                       | 1     | 1 ..... |
| 4190   | Financing disbursements, net (total) .....                           | 1     | 1 ..... |

**Status of Direct Loans** (in millions of dollars)

| Identification code 86-4357-0-3-371                                    | 2012 actual  | 2013 CR | 2014 est. |
|--|--|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |  |         |           |
| 1121   | Limitation available from carry-forward .....              | 790     | 771 748   |
| 1143   | Unobligated limitation carried forward (P.L. xx) (-) ..... | -771    | -748 -748 |
| 1150   | Total direct loan obligations .....                        | 19      | 23 .....  |
| Cumulative balance of direct loans outstanding:                        |  |         |           |
| 1210   | Outstanding, start of year .....                           | 68      | 77        |
| 1231   | Disbursements: Direct loan disbursements .....             | 68      | 34 19     |
| 1251   | Repayments: Repayments and prepayments .....               | -1      | -1        |
| 1263   | Write-offs for default: Direct loans .....                 | -24     | -20       |
| 1290   | Outstanding, end of year .....                             | 68      | 77 75     |

This non-budgetary account records all cash flows to and from the Government resulting from the loan guarantees (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. No administrative expenses can be recorded in the financing account.

**Balance Sheet** (in millions of dollars)

| Identification code 86-4357-0-3-371                               | 2011 actual   | 2012 actual |
|---|---|-------------|
| <b>ASSETS:</b>  |   |             |
| 1101  | Federal assets: Fund balances with Treasury .....         | 23 4        |
| Net value of assets related to post-1991 direct loans receivable: |   |             |
| 1401  | Direct loans receivable, gross .....                      | 68          |
| 1405  | Allowance for subsidy cost (-) .....                      | -68         |
| 1499  | Net present value of assets related to direct loans ..... | .....       |
| 1999  | Total assets .....  | 23 4        |
| <b>LIABILITIES:</b>   |   |             |
| 2103  | Federal liabilities: Debt payable to Treasury .....       | 23 4        |
| 4999  | Total upward reestimate subsidy BA [86-0407] .....        | 23 4        |

**OTHER ASSISTED HOUSING PROGRAMS****RENTAL HOUSING ASSISTANCE**

For amendments to contracts under section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s) and section 236(f)(2) of the National Housing Act (12 U.S.C. 1715z-1) in State-aided, noninsured rental housing projects, \$21,000,000, to remain available until expended: Provided, That such amount, together with unobligated balances from recaptured amounts appropriated prior to fiscal year 2006 from terminated contracts under such sections of law, and any unobligated balances, including recaptures and carryover, remaining from funds appropriated under this heading after fiscal year 2005, shall also be available for extensions of up to one year for expiring contracts under such sections of law.

**RENT SUPPLEMENT****(CANCELLATION)**

Of the amounts recaptured from terminated contracts under section 101 of the Housing and Urban Development Act of 1965 (12 U.S.C. 1701s) and section 236 of the National Housing Act (12 U.S.C. 1715z-1) \$3,500,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 86-0206-0-1-999                            | 2012 actual  | 2013 CR | 2014 est.   |
|--|--|---------|-------------|
| <b>Obligations by program activity:</b>                        |  |         |             |
| 0001   | Rent supplement .....  | 12      | 16 19       |
| 0002   | Homeownership and rental housing assistance (Sections 235 and 236) ..... | 20      | 21 25       |
| 0900   | Total new obligations (object class 41.0) .....                          | 32      | 37 44       |
| <b>Budgetary Resources:</b>                                    |  |         |             |
| Unobligated balance:   |  |         |             |
| 1000   | Unobligated balance brought forward, Oct 1 .....                         | 327     | 70 11       |
| 1021   | Recoveries of prior year unpaid obligations .....                        | 112     | ..... 27    |
| 1025   | Unobligated balance of contract authority withdrawn .....                | -99     | .....       |
| 1029   | Other balances withdrawn .....   | -8      | .....       |
| 1050   | Unobligated balance (total) .....  | 332     | 70 38       |
| Budget authority:  |  |         |             |
| Appropriations, discretionary:                                 |  |         |             |
| 1100   | Appropriation .....  | 1       | 1 21        |
| 1131   | Unobligated balance permanently reduced .....                            | -232    | -23 -4      |
| 1160   | Appropriation, discretionary (total) .....                               | -231    | -22 17      |
| Appropriations, mandatory:                                     |  |         |             |
| 1200   | Appropriation .....  | 444     | 444 444     |
| 1238   | Appropriations applied to liquidate contract authority .....             | -444    | -444 -444   |
| Spending authority from offsetting collections, discretionary: |  |         |             |
| 1700   | Collected .....  | 1       | .....       |
| 1750   | Spending auth from offsetting collections, disc (total) .....            | 1       | .....       |
| 1900   | Budget authority (total) .....   | -230    | -22 17      |
| 1930   | Total budgetary resources available .....                                | 102     | 48 55       |
| Memorandum (non-add) entries:                                  |  |         |             |
| 1941   | Unexpired unobligated balance, end of year .....                         | 70      | 11 11       |
| <b>Change in obligated balance:</b>                            |  |         |             |
| Unpaid obligations:  |  |         |             |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                         | 2,487   | 1,961 1,619 |
| 3010   | Obligations incurred, unexpired accounts .....                           | 32      | 37 44       |
| 3020   | Outlays (gross) .....  | -446    | -379 -367   |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....             | -112    | ..... -27   |
| 3050   | Unpaid obligations, end of year .....                                    | 1,961   | 1,619 1,269 |
| Memorandum (non-add) entries:                                  |  |         |             |
| 3100   | Obligated balance, start of year .....                                   | 2,487   | 1,961 1,619 |
| 3200   | Obligated balance, end of year .....                                     | 1,961   | 1,619 1,269 |

OTHER ASSISTED HOUSING PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 86-0206-0-1-999                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>                     |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                            | -230        | -22     | 17        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....           | 1           | 1       | 10        |
| 4011 Outlays from discretionary balances .....                | 445         | 378     | 357       |
| 4020 Outlays, gross (total) .....                             | 446         | 379     | 367       |
| Offsets against gross budget authority and outlays:           |             |         |           |
| Offsetting collections (collected) from:                      |             |         |           |
| 4033 Non-Federal sources .....                                | -1          |         |           |
| 4180 Budget authority, net (total) .....                      | -231        | -22     | 17        |
| 4190 Outlays, net (total) .....                               | 445         | 379     | 367       |
| <b>Memorandum (non-add) entries:</b>                          |             |         |           |
| 5052 Obligated balance, SOY: Contract authority .....         | 1,415       | 872     | 428       |
| 5053 Obligated balance, EOY: Contract authority .....         | 872         | 428     |           |
| 5055 Fund balance in excess of liquidating requirements, EOY: |             |         |           |
| Contract authority .....                                      |             |         | 16        |

The Other Assisted Housing Account contains the programs listed below:

**Rent Supplement.**—Rent Supplement assistance payments will continue to be made on behalf of qualified low-income tenants in approximately 6,120 units that have not converted to Section 8.

**Section 235.**—The Housing and Urban-Rural Recovery Act of 1983 (P.L. 98-181) authorized a restructured Section 235 (Homeownership Assistance) program that provided homeowners a 10-year interest reduction subsidy on their mortgages.

**Section 236.**—The Housing and Urban Development Act of 1968, as amended, authorizes the Section 236 Rental Housing Assistance Program, which subsidizes the monthly mortgage payment that an owner of a rental or cooperative project is required to make. This interest subsidy reduces rents for lower income tenants. Some Section 236 properties (approximately 11,300 units) also have rental assistance contracts with HUD through the Rental Assistance Payment (RAP) program.

When Rent Supplement and RAP contracts are terminated due to prepayments, remaining balances are recovered. HUD has identified \$3.5 million in Section 236 Interest Reduction Payment recaptures that may be cancelled in 2014 without impacting current contract amendment and extension activities.

As an increasing number of Rent Supplement and RAP rental assistance contracts reach the ends of their terms, the funding needs of the account have shifted from amendments to short-term extensions that help preserve this affordable housing stock. In order to meet the growing need for extensions, the Budget proposes appropriations language to allow recaptured funds to be used for these purposes. In addition, the Rental Assistance Demonstration (RAD) enables owners of properties with expiring Rent Supplement or RAP contracts to convert their assistance to long-term, project-based voucher contracts. More information on this Demonstration is available under the RAD heading.

The table below provides a summary of outlays by program.

## SUMMARY OF OUTLAYS

(in millions of dollars)

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Total .....                                   | 446         | 379       | 367       |
| Rent supplement .....                         | 43          | 38        | 33        |
| Homeownership assistance (Section 235) .....  | 1           | 1         | 1         |
| Rental housing assistance (Section 236) ..... | 400         | 339       | 332       |
| College housing grants .....                  | 1           | 1         | 1         |

## HOMEOWNERSHIP AND OPPORTUNITY FOR PEOPLE EVERYWHERE GRANTS (HOPE GRANTS)

## Program and Financing (in millions of dollars)

| Identification code 86-0196-0-1-604                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       |           |
| 1029 Other balances withdrawn .....                   |             | -1      |           |
| 1050 Unobligated balance (total) .....                | 1           |         |           |
| 1930 Total budgetary resources available .....        | 1           |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           |         |           |

The Homeownership and Opportunity for People Everywhere Program, funded from 1992-1995, provided affordable homeownership opportunities for low-income families. Units were converted to homeownership from public and Indian housing properties in HOPE I, from FHA-insured and Government-held multifamily properties in HOPE II, and from Government-owned or -held single family properties in HOPE III. HOPE Grants were used for property acquisition, rehabilitation, mortgage subsidies, security measures, and technical assistance. In addition, grants have been devoted to counseling and training of residents, and other activities intended to help them become economically self-sufficient homeowners.

## PAYMENT TO MANUFACTURED HOUSING FEES TRUST FUND

*For necessary expenses as authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974 (42 U.S.C. 5401 et seq.), up to \$7,530,000, to remain available until expended, of which \$6,530,000 is to be derived from the Manufactured Housing Fees Trust Fund: Provided, That not to exceed the total amount appropriated under this heading shall be available from the general fund of the Treasury to the extent necessary to incur obligations and make expenditures pending the receipt of collections to the Fund pursuant to section 620 of such Act: Provided further, That the amount made available under this heading from the general fund shall be reduced as such collections are received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$1,000,000 and fees pursuant to such section 620 shall be modified as necessary to ensure such a final fiscal year 2014 appropriation: Provided further, That for the dispute resolution and installation programs, the Secretary of Housing and Urban Development may assess and collect fees from any program participant: Provided further, That such collections shall be deposited into the Fund, and the Secretary, as provided herein, may use such collections, as well as fees collected under section 620, for necessary expenses of such Act: Provided further, That, notwithstanding the requirements of section 620 of such Act, the Secretary may carry out responsibilities of the Secretary under such Act through the use of approved service providers that are paid directly by the recipients of their services.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0234-0-1-376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Payment to Trust Fund .....                     | 3           | 3       | 1         |
| 0900 Total new obligations (object class 94.0) ..... | 3           | 3       | 1         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 3           | 3       | 1         |

|   |  |    |    |    |
|---|--|----|----|----|
| 1160                                      | Appropriation, discretionary (total) .....     | 3  | 3  | 1  |
| 1930                                      | Total budgetary resources available .....      | 3  | 3  | 1  |
| <b>Change in obligated balance:</b>       |  |    |    |    |
| Unpaid obligations:                       |  |    |    |    |
| 3010                                      | Obligations incurred, unexpired accounts ..... | 3  | 3  | 1  |
| 3020                                      | Outlays (gross) .....                          | -3 | -3 | -1 |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| 4000                                      | Budget authority, gross .....                  | 3  | 3  | 1  |
| Outlays, gross:                           |  |    |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 3  | 3  | 1  |
| 4180                                      | Budget authority, net (total) .....            | 3  | 3  | 1  |
| 4190                                      | Outlays, net (total) .....                     | 3  | 3  | 1  |

The Budget provides a total of \$7.53 million, including \$6.53 million in estimated fees, to support activities authorized by the National Manufactured Housing Construction and Safety Standards Act of 1974, as amended, including the development and enforcement of manufactured housing construction standards, as well as the development and implementation of new installation and dispute resolution programs required by the Manufactured Housing Improvement Act of 2000.

## GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING, RECOVERY ACT

## Program and Financing (in millions of dollars)

| Identification code 86-0306-0-1-604       | 2012 actual  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b>   |  |         |           |
| Credit program obligations:               |  |         |           |
| 0705                                      | Reestimates of direct loan subsidy .....                   | 1       | .....     |
| 0900                                      | Total new obligations (object class 99.5) .....            | 1       | .....     |
| <b>Budgetary Resources:</b>               |  |         |           |
| Budget authority:                         |  |         |           |
| Appropriations, mandatory:                |  |         |           |
| 1200                                      | Appropriation .....  | 1       | .....     |
| 1260                                      | Appropriations, mandatory (total) .....                    | 1       | .....     |
| 1900                                      | Budget authority (total) .....                             | 1       | .....     |
| 1930                                      | Total budgetary resources available .....                  | 1       | .....     |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 32      | 4         |
| 3010                                      | Obligations incurred, unexpired accounts .....             | .....   | 1         |
| 3020                                      | Outlays (gross) .....                                      | -25     | -1        |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -3      | -4        |
| 3050                                      | Unpaid obligations, end of year .....                      | 4       | .....     |
| Memorandum (non-add) entries:             |  |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 32      | 4         |
| 3200                                      | Obligated balance, end of year .....                       | 4       | .....     |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Discretionary:                            |  |         |           |
| Outlays, gross:                           |  |         |           |
| 4011                                      | Outlays from discretionary balances .....                  | 25      | .....     |
| Mandatory:                                |  |         |           |
| 4090                                      | Budget authority, gross .....                              | .....   | 1         |
| Outlays, gross:                           |  |         |           |
| 4100                                      | Outlays from new mandatory authority .....                 | .....   | 1         |
| 4180                                      | Budget authority, net (total) .....                        | .....   | 1         |
| 4190                                      | Outlays, net (total) .....                                 | 25      | 1         |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 86-0306-0-1-604 | 2012 actual                                    | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct loan upward reestimates:     |  |         |           |
| 135001                              | Energy Retrofit Loans .....                    | 1       | .....     |
| 135999                              | Total upward reestimate budget authority ..... | 1       | .....     |
| Direct loan downward reestimates:   |  |         |           |
| 137001                              | Energy Retrofit Loans .....                    | -1      | .....     |

|        |  |    |       |
|--------|--|----|-------|
| 137999 | Total downward reestimate budget authority ..... | -1 | ..... |
|--------|--|----|-------|

The Green Retrofit Program (GRP) offered grants and loans to owners of eligible HUD-assisted multifamily housing properties to fund green retrofits, which are intended to reduce ongoing utility consumption, benefit resident health, and benefit the environment. This program was funded under Title XII of the American Recovery and Reinvestment Act of 2009 (P.L. 111-5). This account includes funds for grants, direct loan credit subsidy, and administrative expenses. All loan cash flows are recorded in the corresponding financing account (86-4589).

## GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 86-4589-0-3-604                          | 2012 actual  | 2013 CR | 2014 est. |
|--|--|---------|-----------|
| <b>Obligations by program activity:</b>                      |  |         |           |
| Credit program obligations:                                  |  |         |           |
| 0713   | Payment of interest to Treasury .....                                | 1       | .....     |
| 0743   | Interest on downward reestimates .....                               | .....   | 1         |
| 0900   | Total new obligations .....  | 1       | 1         |
| <b>Budgetary Resources:</b>                                  |  |         |           |
| Unobligated balance:   |  |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                     | 3       | 3         |
| Financing authority:   |  |         |           |
| Spending authority from offsetting collections, mandatory:   |  |         |           |
| 1800   | Collected .....  | 4       | 1         |
| 1850   | Spending auth from offsetting collections, mand (total) .....        | 4       | 1         |
| 1900   | Financing authority (total) .....                                    | 4       | 1         |
| 1930   | Total budgetary resources available .....                            | 4       | 4         |
| Memorandum (non-add) entries:                                |  |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                     | 3       | 3         |
| <b>Change in obligated balance:</b>                          |  |         |           |
| Unpaid obligations:  |  |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                     | .....   | 1         |
| 3010   | Obligations incurred, unexpired accounts .....                       | 1       | 1         |
| 3050   | Unpaid obligations, end of year .....                                | 1       | 2         |
| Memorandum (non-add) entries:                                |  |         |           |
| 3100   | Obligated balance, start of year .....                               | .....   | 1         |
| 3200   | Obligated balance, end of year .....                                 | 1       | 2         |
| <b>Financing authority and disbursements, net:</b>           |  |         |           |
| Mandatory:   |  |         |           |
| 4090   | Financing authority, gross .....                                     | 4       | 1         |
| Offsets against gross financing authority and disbursements: |  |         |           |
| Offsetting collections (collected) from:                     |  |         |           |
| 4120   | Federal sources .....  | .....   | -1        |
| 4123   | Non-Federal sources .....  | -4      | .....     |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -4      | -1        |
| 4170   | Financing disbursements, net (mandatory) .....                       | -4      | -1        |
| 4190   | Financing disbursements, net (total) .....                           | -4      | -1        |

## Status of Direct Loans (in millions of dollars)

| Identification code 86-4589-0-3-604             | 2012 actual                                  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| Cumulative balance of direct loans outstanding: |  |         |           |
| 1210  | Outstanding, start of year .....             | 83      | 80        |
| 1251  | Repayments: Repayments and prepayments ..... | -3      | .....     |
| 1290  | Outstanding, end of year .....               | 80      | 80        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans in the Green Retrofit Program, which received one-time funding in the Recovery Act (P.L. 111-5). The program account is displayed under "Green Retrofit Program for Multifamily Housing, Recovery Act" (86-0306).

GREEN RETROFIT PROGRAM FOR MULTIFAMILY HOUSING FINANCING  
ACCOUNT—Continued

## Balance Sheet (in millions of dollars)

| Identification code 86-4589-0-3-604                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            |             | 4           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 83          | 80          |
| 1402 Interest receivable .....                                    | 1           | 1           |
| 1405 Allowance for subsidy cost (-) .....                         | -69         | -70         |
| 1499 Net present value of assets related to direct loans .....    | 15          | 11          |
| 1999 Total assets .....   | 15          | 15          |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 15          | 15          |
| 4999 Total liabilities and net position .....                     | 15          | 15          |

## RENTAL HOUSING ASSISTANCE FUND

## Program and Financing (in millions of dollars)

| Identification code 86-4041-0-3-604                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Transfer to HUD's Flexible Subsidy Fund .....                 | 3           | 4       | .....     |
| 0900 Total new obligations (object class 94.0) .....               | 3           | 4       | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3           | 4       | 3         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 4           | 3       | 3         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 4           | 3       | 3         |
| 1930 Total budgetary resources available .....                     | 7           | 7       | 6         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 4           | 3       | 6         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 3           | 4       | .....     |
| 3020 Outlays (gross) .....   | -3          | -4      | .....     |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 4           | 3       | 3         |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....                         | 3           | 4       | .....     |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -4          | -3      | -3        |
| 4190 Outlays, net (total) .....                                    | -1          | 1       | -3        |

The Housing and Urban Development Act of 1968 authorized the Secretary to establish a revolving fund into which rental collections in excess of the established basic rents for units in Section 236 subsidized projects would be deposited.

The Housing and Community Development Amendment of 1978 authorized the Secretary, subject to approval in appropriation acts, to transfer excess rent collections received after 1978 to the Troubled Projects Operating Subsidy program, renamed the Flexible Subsidy Fund. Prior to that time, collections were used for paying tax and utility increases in Section 236 projects. The Housing and Community Development Act of 1980 amended the 1978 Act by authorizing the transfer of excess rent collections regardless of when collected.

The Budget proposes appropriation language in the general provisions at the end of this budget chapter to fully eliminate any authorities which mandate the transfer of excess resources from the Rental Housing Assistance Fund to the Flexible Subsidy Fund.

## Object Classification (in millions of dollars)

| Identification code 86-4041-0-3-604 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 94.0 Financial transfers .....      | 3           | 4       | .....     |
| 99.0 Reimbursable obligations ..... | 3           | 4       | .....     |

## FLEXIBLE SUBSIDY FUND

## Program and Financing (in millions of dollars)

| Identification code 86-4044-0-3-604                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 201         | 255     | 297       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 54          | 42      | 38        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 54          | 42      | 38        |
| 1930 Total budgetary resources available .....                       | 255         | 297     | 335       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 255         | 297     | 335       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 54          | 42      | 38        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -3          | -4      | .....     |
| 4033 Non-Federal sources .....                                       | -51         | -38     | -38       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -54         | -42     | -38       |
| 4080 Outlays, net (discretionary) .....                              | -54         | -42     | -38       |
| 4190 Outlays, net (total) .....                                      | -54         | -42     | -38       |

## Status of Direct Loans (in millions of dollars)

| Identification code 86-4044-0-3-604  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:  |             |         |           |
| 1210 Outstanding, start of year .....  | 563         | 533     | 508       |
| 1251 Repayments: Repayments and prepayments .....  | -41         | -25     | -25       |
| 1264 Write-offs for default: Writeoff for default: Other adjustments, net (+ or -) ..... | 11          | .....   | .....     |
| 1290 Outstanding, end of year .....  | 533         | 508     | 483       |

The Flexible Subsidy Fund assisted financially troubled subsidized projects under certain Federal Housing Administration (FHA) authorities. The subsidies were intended to prevent potential losses to the FHA fund resulting from project insolvency and to preserve these projects as a viable source of housing for low and moderate-income tenants. Priority was given to projects with Federal insurance-in-force and then to those with mortgages that had been assigned to the Department of Housing and Urban Development.

The Budget proposes appropriation language in the general provisions at the end of this budget chapter to fully eliminate any authorities which mandate the transfer of excess resources from the Rental Housing Assistance Fund to the Flexible Subsidy Fund.

## Balance Sheet (in millions of dollars)

| Identification code 86-4044-0-3-604                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 201         | 255         |
| 1601 Direct loans, gross .....  | 537         | 508         |
| 1602 Interest receivable .....  | 105         | 96          |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -573        | -44         |
| 1699 Value of assets related to direct loans .....                      | 69          | 560         |
| 1999 Total assets .....   | 270         | 815         |

|               |  |     |     |
|---------------|--|-----|-----|
| NET POSITION: |  |     |     |
| 3100          | Unexpended appropriations .....          | 201 | 255 |
| 3300          | Cumulative results of operations .....   | 69  | 560 |
| 3999          | Total net position .....                 | 270 | 815 |
| 4999          | Total liabilities and net position ..... | 270 | 815 |

## HOME OWNERSHIP PRESERVATION EQUITY FUND PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

|   |  |      |     |
|---|--|------|-----|
| Identification code 86-0343-0-1-371     |  |      |     |
| 2012 actual      2013 CR      2014 est. |  |      |     |
| <b>Budgetary Resources:</b>             |  |      |     |
| Unobligated balance:                    |  |      |     |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 459  | 459 |
| 1023                                    | Unobligated balances applied to repay debt ..... | -449 |     |
| 1050                                    | Unobligated balance (total) .....                | 459  | 10  |
| 1930                                    | Total budgetary resources available .....        | 459  | 10  |
| Memorandum (non-add) entries:           |  |      |     |
| 1941                                    | Unexpired unobligated balance, end of year ..... | 459  | 10  |
| <b>Change in obligated balance:</b>     |  |      |     |
| Unpaid obligations:                     |  |      |     |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 ..... | 1    | 1   |
| 3050                                    | Unpaid obligations, end of year .....            | 1    | 1   |
| Memorandum (non-add) entries:           |  |      |     |
| 3100                                    | Obligated balance, start of year .....           | 1    | 1   |
| 3200                                    | Obligated balance, end of year .....             | 1    | 1   |

The HOPE for Homeowners (H4H) program was created by the Housing and Economic Recovery Act of 2008 (Act) to help homeowners at risk of default and foreclosure refinance into more affordable, sustainable loans. Under the H4H Program, eligible homeowners refinanced their current mortgage loans into a new mortgage insured by FHA. The program ended on September 30, 2011.

As required by the Federal Credit Reform Act of 1990, this account records the administrative expenses for this program, as well as the subsidy costs, associated with the loan guarantees committed.

## HOME OWNERSHIP PRESERVATION ENTITY FUND FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

|  |   |    |    |
|--|---|----|----|
| Identification code 86-4353-0-3-371                        |   |    |    |
| 2012 actual      2013 CR      2014 est.                    |   |    |    |
| <b>Obligations by program activity:</b>                    |   |    |    |
| Credit program obligations:                                |   |    |    |
| 0711   | Default claim payments on principal .....                     | 1  | 2  |
| 0712   | Default claim payments on interest .....                      |    | 1  |
| 0900   | Total new obligations .....                                   | 1  | 3  |
| <b>Budgetary Resources:</b>                                |   |    |    |
| Unobligated balance:                                       |   |    |    |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 19 | 20 |
| Financing authority:                                       |   |    |    |
| Spending authority from offsetting collections, mandatory: |   |    |    |
| 1800   | Collected .....   | 2  | 1  |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 2  | 1  |
| 1930   | Total budgetary resources available .....                     | 21 | 21 |
| Memorandum (non-add) entries:                              |   |    |    |
| 1941   | Unexpired unobligated balance, end of year .....              | 20 | 18 |
| <b>Change in obligated balance:</b>                        |   |    |    |
| Unpaid obligations:  |   |    |    |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              |    | 1  |
| 3010   | Obligations incurred, unexpired accounts .....                | 1  | 3  |
| 3020   | Financing disbursements (gross) .....                         | -1 | -2 |
| 3050   | Unpaid obligations, end of year .....                         |    | 1  |

|                               |  |   |   |
|-------------------------------|--|---|---|
| Memorandum (non-add) entries: |  |   |   |
| 3100                          | Obligated balance, start of year ..... |   | 1 |
| 3200                          | Obligated balance, end of year .....   | 1 | 1 |

## Financing authority and disbursements, net:

|  |  |    |    |
|--|--|----|----|
| Mandatory:   |  |    |    |
| 4090   | Financing authority, gross .....                                     | 2  | 1  |
| Financing disbursements:                                     |  |    |    |
| 4110   | Financing disbursements, gross .....                                 | 1  | 2  |
| Offsets against gross financing authority and disbursements: |  |    |    |
| Offsetting collections (collected) from:                     |  |    |    |
| 4122   | Interest on uninvested funds .....                                   | -1 |    |
| 4123   | Premiums .....   | -1 |    |
| 4123   | Recoveries on defaults .....   |    | -1 |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -2 | -1 |
| 4170   | Financing disbursements, net (mandatory) .....                       | -1 | 1  |
| 4190   | Financing disbursements, net (total) .....                           | -1 | 1  |

## Status of Guaranteed Loans (in millions of dollars)

|  |   |                |     |
|--|---|----------------|-----|
| Identification code 86-4353-0-3-371                                    |   |                |     |
| 2012 actual      2013 CR      2014 est.                                |   |                |     |
| Position with respect to appropriations act limitation on commitments: |   |                |     |
| 2143   | Uncommitted limitation carried forward .....                          |                |     |
| 2150   | Total guaranteed loan commitments .....                               |                |     |
| Cumulative balance of guaranteed loans outstanding:                    |   |                |     |
| 2210   | Outstanding, start of year .....                                      | 120            | 122 |
| 2251   | Repayments and prepayments .....                                      | -1             | -2  |
| Adjustments:   |   |                |     |
| 2262   | Terminations for default that result in acquisition of property ..... | -1             | -2  |
| 2264   | Other adjustments, net .....  | 4 <sup>1</sup> |     |
| 2290   | Outstanding, end of year .....  | 122            | 118 |
| Memorandum:  |   |                |     |
| 2299   | Guaranteed amount of guaranteed loans outstanding, end of year .....  | 122            | 112 |

<sup>1</sup>Correction to start of year balance

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loans insured in 1992 and thereafter. The amounts in this account are considered a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

|                                     |  |    |
|-------------------------------------|--|----|
| Identification code 86-4353-0-3-371 |  |    |
| 2011 actual      2012 actual        |  |    |
| <b>ASSETS:</b>                      |  |    |
| 1101                                | Federal assets: Fund balances with Treasury .....              | 16 |
| 1999                                | Total assets .....   | 16 |
| <b>LIABILITIES:</b>                 |  |    |
| 2204                                | Non-Federal liabilities: Liabilities for loan guarantees ..... | 16 |
| 4999                                | Total liabilities and net position .....                       | 16 |

## NEHEMIAH HOUSING OPPORTUNITY FUND

## Program and Financing (in millions of dollars)

|   |  |    |   |
|---|--|----|---|
| Identification code 86-4071-0-3-604     |  |    |   |
| 2012 actual      2013 CR      2014 est. |  |    |   |
| <b>Budgetary Resources:</b>             |  |    |   |
| Unobligated balance:                    |  |    |   |
| 1021                                    | Recoveries of prior year unpaid obligations .....            | 3  |   |
| 1029                                    | Other balances withdrawn .....                               | -3 |   |
| <b>Change in obligated balance:</b>     |  |    |   |
| Unpaid obligations:                     |  |    |   |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....             | 4  | 1 |
| 3040                                    | Recoveries of prior year unpaid obligations, unexpired ..... | -3 |   |
| 3050                                    | Unpaid obligations, end of year .....                        | 1  | 1 |

NEHEMIAH HOUSING OPPORTUNITY FUND—Continued  
Program and Financing—Continued

| Identification code 86-4071-0-3-604         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Memorandum (non-add) entries:               |             |         |           |
| 3100 Obligated balance, start of year ..... | 4           | 1       | 1         |
| 3200 Obligated balance, end of year .....   | 1           | 1       | 1         |

The Nehemiah Grants program was authorized by the Housing and Community Development Act of 1987 to provide loans to eligible families to assist in the purchase of new or substantially rehabilitated units.

## FEDERAL HOUSING ADMINISTRATION

## MUTUAL MORTGAGE INSURANCE PROGRAM ACCOUNT

(INCLUDING TRANSFERS OF FUNDS)

*New commitments to guarantee single family loans insured under the Mutual Mortgage Insurance Fund shall not exceed \$400,000,000,000, to remain available until September 30, 2015: Provided, That during fiscal year 2014, obligations to make direct loans to carry out the purposes of section 204(g) of the National Housing Act, as amended, shall not exceed \$20,000,000: Provided further, That the foregoing amount in the previous proviso shall be for loans to nonprofit and governmental entities in connection with sales of single family real properties owned by the Secretary and formerly insured under the Mutual Mortgage Insurance Fund. For administrative contract expenses of the Federal Housing Administration, \$127,000,000, to remain available until September 30, 2015: Provided further, That to the extent guaranteed loan commitments exceed \$200,000,000,000 on or before April 1, 2013, an additional \$1,400 for administrative contract expenses shall be available for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$30,000,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0183-0-1-371   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0707 Reestimates of loan guarantee subsidy .....  | 10,983      | 22,744  | .....     |
| 0708 Interest on reestimates of loan guarantee subsidy .....  | 2,885       | 4,929   | .....     |
| 0709 Administrative expenses .....  | 120         | 211     | 138       |
| 0900 Total new obligations .....  | 13,988      | 27,884  | 138       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | .....       | 15      | 12        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                                     | .....       | 15      | .....     |
| 1011 Unobligated balance transfer from other accts [86-0236] ....                                   | 4,685       | 3,299   | .....     |
| 1050 Unobligated balance (total) .....  | 4,685       | 3,314   | 12        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation - Administrative Expenses .....  | 207         | 208     | 127       |
| 1120 Appropriations transferred to other accts [86-4586] .....                                      | -72         | .....   | .....     |
| 1120 Appropriations transferred to other accts [86-0402] .....                                      | .....       | .....   | -1        |
| 1160 Appropriation, discretionary (total) .....   | 135         | 208     | 126       |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | .....       | 943     | .....     |
| 1260 Appropriations, mandatory (total) .....  | .....       | 943     | .....     |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1811 Spending authority from offsetting collections transferred from other accounts [86-0236] ..... | 9,183       | 23,431  | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....                                  | 9,183       | 23,431  | .....     |
| 1900 Budget authority (total) .....   | 9,318       | 24,582  | 126       |
| 1930 Total budgetary resources available .....  | 14,003      | 27,896  | 138       |

|      |  |    |    |
|------|--|----|----|
| 1941 | Memorandum (non-add) entries:                    |    |    |
|      | Unexpired unobligated balance, end of year ..... | 15 | 12 |

## Change in obligated balance:

|   |         |         |       |
|---|---------|---------|-------|
| Unpaid obligations:   |         |         |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 145     | 155     | 245   |
| 3010 Obligations incurred, unexpired accounts .....             | 13,988  | 27,884  | 138   |
| 3011 Obligations incurred, expired accounts .....               | 1       | .....   | ..... |
| 3020 Outlays (gross) .....                                      | -13,969 | -27,794 | -188  |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -10     | .....   | ..... |
| 3050 Unpaid obligations, end of year .....                      | 155     | 245     | 195   |
| Memorandum (non-add) entries:                                   |         |         |       |
| 3100 Obligated balance, start of year .....                     | 145     | 155     | 245   |
| 3200 Obligated balance, end of year .....                       | 155     | 245     | 195   |

## Budget authority and outlays, net:

|   |        |        |       |
|---|--------|--------|-------|
| Discretionary:                                      |        |        |       |
| 4000 Budget authority, gross .....                  | 135    | 208    | 126   |
| Outlays, gross:                                     |        |        |       |
| 4010 Outlays from new discretionary authority ..... | 11     | 21     | 13    |
| 4011 Outlays from discretionary balances .....      | 91     | 100    | 175   |
| 4020 Outlays, gross (total) .....                   | 102    | 121    | 188   |
| Mandatory:  |        |        |       |
| 4090 Budget authority, gross .....                  | 9,183  | 24,374 | ..... |
| Outlays, gross:                                     |        |        |       |
| 4100 Outlays from new mandatory authority .....     | 9,182  | 24,374 | ..... |
| 4101 Outlays from mandatory balances .....          | 4,685  | 3,299  | ..... |
| 4110 Outlays, gross (total) .....                   | 13,867 | 27,673 | ..... |
| 4180 Budget authority, net (total) .....            | 9,318  | 24,582 | 126   |
| 4190 Outlays, net (total) .....                     | 13,969 | 27,794 | 188   |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 86-0183-0-1-371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:     |             |         |           |
| 115001 MMI Fund, Direct loans .....                             | .....       | 50      | 20        |
| 115999 Total direct loan levels .....                           | .....       | 50      | 20        |
| Direct loan subsidy (in percent):                               |             |         |           |
| 132001 MMI Fund, Direct loans .....                             | .....       | 0.00    | 0.00      |
| 132999 Weighted average subsidy rate .....                      | .....       | 0.00    | 0.00      |
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215002 MMI Fund .....   | 213,126     | 248,000 | 178,000   |
| 215004 MMI HECM .....   | 13,164      | 11,723  | 6,397     |
| 215007 MMI Refi .....   | 233         | 10,457  | 14,939    |
| 215999 Total loan guarantee levels .....                        | 226,523     | 270,180 | 199,336   |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232002 MMI Fund .....   | -2.53       | -7.22   | -7.25     |
| 232004 MMI HECM .....   | -1.52       | -2.29   | -0.90     |
| 232007 MMI Refi .....   | 0.00        | 0.00    | 0.00      |
| 232999 Weighted average subsidy rate .....                      | -2.47       | -6.73   | -6.50     |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233002 MMI Fund .....   | -5,382      | -17,908 | -12,902   |
| 233004 MMI HECM .....   | -200        | -269    | -57       |
| 233999 Total subsidy budget authority .....                     | -5,582      | -18,177 | -12,959   |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234002 MMI Fund .....   | -5,382      | -17,908 | -12,902   |
| 234004 MMI HECM .....   | -200        | -269    | -57       |
| 234999 Total subsidy outlays .....                              | -5,582      | -18,177 | -12,959   |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235002 MMI Fund .....   | 13,802      | 22,156  | .....     |
| 235004 MMI HECM .....   | 66          | 5,517   | .....     |
| 235999 Total upward reestimate budget authority .....           | 13,868      | 27,673  | .....     |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237002 MMI Fund .....   | -4,304      | -5,241  | .....     |
| 237004 MMI HECM .....   | -1,352      | .....   | .....     |
| 237999 Total downward reestimate subsidy budget authority ..... | -5,656      | -5,241  | .....     |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 135         | 136     | 127       |
| 3580 Outlays from balances .....                                | 90          | 100     | 128       |
| 3590 Outlays from new authority .....                           | 11          | 14      | 13        |

The Federal Housing Administration (FHA) provides mortgage insurance to encourage lenders to make credit available to borrowers for which the conventional market does not adequately

serve. These include first-time homebuyers, minorities, lower-income families, and residents of underserved areas (central cities and rural areas). In recent years, FHA has also served broader populations, providing access as conventional financing became scarce.

In 2014, the Budget requests a limitation of \$400 billion on loan guarantees for the Mutual Mortgage Insurance (MMI) Fund. The Budget projects insurance of \$178 billion in single family forward mortgages, \$14.9 billion in FHA short refinances, and \$6.4 billion in Home Equity Conversion Mortgages (HECMs) with additional commitment authority available in case these amounts are exceeded during execution. Since October 2010, FHA has increased its annual premium five times, the most recent increase announced in January of 2013. Additionally, FHA also announced at that time that it would reverse a prior policy whereby borrowers were permitted to stop paying annual insurance premiums when their loans amortized to a certain percentage of the original principal balance. Due to this policy, FHA was forfeiting prematurely substantial premium revenue to which it was entitled, while still maintaining responsibility for the outstanding insurance guarantee for these loans. Also, FHA is now requiring manual underwriting for loans with credit scores below 620 and debt-to-income ratios greater than 43% in order to ensure that such borrowers possess compensating factors that accord with FHA underwriting guidelines. Finally, in addition to the premium increases that have placed premiums for loans with values above \$625,500 at their statutory maximum, FHA will also require a minimum down payment of 5% percent for these loans. These increases will bolster FHA's capital reserves, accelerating the point at which FHA will regain compliance with its target capital reserve ratio. These increases also contribute to higher receipts generated by FHA's loan guarantee volume.

Apart from the Budget, HUD is pursuing a comprehensive legislative package which will give FHA the tools it needs to build upon the many administrative steps it has taken since 2009 to strengthen FHA Single Family Programs. These items will allow FHA to enhance enforcement authority, create certainty for FHA approved lenders, protect reverse mortgage consumers, and enhance loss mitigation opportunities for borrowers with FHA approved loans. In total, all these steps will reduce losses to the MMIF.

**Enhanced Indemnification Authority to Obtain Indemnification for Direct Endorsement Lenders:** In order to originate FHA insured loans, lenders must be approved by FHA to be either a Lender Insurance or a Direct Endorsement Lender. FHA can only seek indemnification from lenders with Lender Insurance approval. This authority would provide FHA the ability to treat both classes of FHA approved lenders equally with respect to non-compliant loans.

**Authority to Terminate Origination and Underwriting Approval:** In 2014, HUD will continue to seek authority to terminate lender approval on a broader geographic basis for institutions with default rates significantly higher than their peers.

**Transfer of Servicing:** This fiscal year, HUD will seek authority to approve servicers of FHA insured loans, enabling FHA to, on a case by case basis, transfer servicing of loans to institutions better equipped to reduce losses to the fund by assisting borrowers.

**Additional Authority to manage the Reverse Mortgage Program:** To address the expected losses to the MMIF from the Home Equity Conversation Mortgage Program, HUD intends to make significant revisions to the program including instituting a required financial assessment and establishing mandatory escrow accounts.

**Revise FHA's Compare Ratio:** In an effort to provide greater clarity and certainty to lenders while enabling FHA to more effectively minimize poor lender performance and resulting losses, HUD seeks legislative authority to revise the calculation for the Compare Ratio to better reflect the modern lending environment.

The Budget requests an appropriation of \$127 million in administrative expenses, which will allow FHA to implement improved risk management systems critical for FHA's oversight of its insured portfolio. The Budget discontinues the practice of transferring funds into the Information Technology Portfolio (formerly the Working Capital Fund) from this account.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs, if any, associated with the loan guarantees committed in 1992 and thereafter. The subsidy amounts are estimated on a present value basis.

#### Object Classification (in millions of dollars)

| Identification code 86-0183-0-1-371                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 120         | 211     | 138       |
| 41.0 Grants, subsidies, and contributions .....    | 10,983      | 22,744  | .....     |
| 43.0 Interest and dividends .....                  | 2,885       | 4,929   | .....     |
| 99.9 Total new obligations .....                   | 13,988      | 27,884  | 138       |

#### FHA-MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 86-4242-0-3-371                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| 0003 Claims & other .....   |             | 4       | 4         |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  |             | 50      | 20        |
| 0713 Payment of interest to Treasury .....                                |             | 2       | 2         |
| 0791 Direct program activities, subtotal .....                            |             | 52      | 22        |
| 0900 Total new obligations .....  |             | 56      | 26        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 5           | 5       | 54        |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  |             | 50      | 20        |
| 1440 Borrowing authority, mandatory (total) .....                         |             | 50      | 20        |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  |             | 55      | 25        |
| 1850 Spending auth from offsetting collections, mand (total) .....        |             | 55      | 25        |
| 1900 Financing authority (total) .....                                    |             | 105     | 45        |
| 1930 Total budgetary resources available .....                            | 5           | 110     | 99        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 5           | 54      | 73        |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                       |             | 56      | 26        |
| 3020 Financing disbursements (gross) .....                                |             | -56     | -26       |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     |             | 105     | 45        |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 |             | 56      | 26        |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4122 Interest on uninvested funds .....                                   |             | -1      | -1        |
| 4123 Repayment of Principal .....   |             | -50     | -20       |
| 4123 Repayment of interest .....  |             | -4      | -4        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... |             | -55     | -25       |

**FHA-MUTUAL MORTGAGE INSURANCE DIRECT LOAN FINANCING**  
**ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 86-4242-0-3-371                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4160 Financing authority, net (mandatory) .....     |             | 50      | 20        |
| 4170 Financing disbursements, net (mandatory) ..... |             | 1       | 1         |
| 4180 Financing authority, net (total) .....         |             | 50      | 20        |
| 4190 Financing disbursements, net (total) .....     |             | 1       | 1         |

**Status of Direct Loans (in millions of dollars)**

| Identification code 86-4242-0-3-371                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 50          | 50      | 20        |
| 1142 Unobligated direct loan limitation (-) .....                      | -50         |         |           |
| 1150 Total direct loan obligations .....                               |             | 50      | 20        |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  |             |         |           |
| 1231 Disbursements: Direct loan disbursements .....                    |             | 50      | 20        |
| 1251 Repayments: Repayments and prepayments .....                      |             | -50     | -20       |
| 1290 Outstanding, end of year .....                                    |             |         |           |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and thereafter (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

The 2014 direct loan limitation of \$20 million in the MMI Fund would permit the Department to use Purchase Money Mortgages (PMMs) to help finance the sale of acquired single family properties. HUD would extend credit for these single family homes to community non-profit organizations or local government entities, which would be expected to sell the properties to low- and moderate-income buyers. The use of PMMs provides a tool for State and local non-profit organizations to use in revitalizing communities, and creates enhanced homeownership opportunities for low- and moderate-income families.

**Balance Sheet (in millions of dollars)**

| Identification code 86-4242-0-3-371                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1101 Federal assets: Fund balances with Treasury ..... | 5           | 5           |
| 1999 Total assets .....                                | 5           | 5           |
| LIABILITIES:   |             |             |
| Federal liabilities:                                   |             |             |
| 2103 Federal Liabilities - Debt .....                  | 1           | 1           |
| 2104 Resources payable to Treasury .....               | 4           | 4           |
| 2999 Total liabilities .....                           | 5           | 5           |
| 4999 Total liabilities and net position .....          | 5           | 5           |

**FHA-MUTUAL MORTGAGE INSURANCE GUARANTEED LOAN FINANCING**  
**ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 86-4587-0-3-371                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0003 Other capital investment & operating expenses ..... | 1,930       | 4,789   | 4,959     |
| Credit program obligations:                              |             |         |           |
| 0711 Default claim payments on principal .....           | 15,835      | 45,438  | 32,103    |
| 0712 Default claim payments on interest .....            | 1,759       | 748     |           |
| 0713 Payment of interest to Treasury .....               | 305         | 525     | 765       |
| 0740 Negative subsidy obligations .....                  | 5,582       | 18,176  | 12,959    |
| 0742 Downward reestimate paid to receipt account .....   | 5,355       | 5,102   |           |

|  |        |        |        |
|--|--------|--------|--------|
| 0743 Interest on downward reestimates .....    | 301    | 139    |        |
| 0791 Direct program activities, subtotal ..... | 29,137 | 70,128 | 45,827 |
| 0900 Total new obligations .....               | 31,067 | 74,917 | 50,786 |

**Budgetary Resources:**

|   |        |         |        |
|---|--------|---------|--------|
| Unobligated balance:  |        |         |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 27,044 | 33,167  | 27,203 |
| 1021 Recoveries of prior year unpaid obligations .....                          | 103    |         |        |
| 1050 Unobligated balance (total) .....  | 27,147 | 33,167  | 27,203 |
| Financing authority:  |        |         |        |
| Borrowing authority, mandatory:   |        |         |        |
| 1400 Borrowing authority .....  | 5,200  | 13,000  | 9,400  |
| 1440 Borrowing authority, mandatory (total) .....                               | 5,200  | 13,000  | 9,400  |
| Spending authority from offsetting collections, mandatory:                      |        |         |        |
| 1800 Offsetting collections .....   | 32,017 | 57,003  | 32,928 |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -130   | -1,050  | -2,100 |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 31,887 | 55,953  | 30,828 |
| 1900 Financing authority (total) .....  | 37,087 | 68,953  | 40,228 |
| 1930 Total budgetary resources available .....                                  | 64,234 | 102,120 | 67,431 |
| Memorandum (non-add) entries:   |        |         |        |
| 1941 Unexpired unobligated balance, end of year .....                           | 33,167 | 27,203  | 16,645 |

**Change in obligated balance:**

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,007   | 1,930   | 1,929   |
| 3010 Obligations incurred, unexpired accounts .....               | 31,067  | 74,917  | 50,786  |
| 3020 Financing disbursements (gross) .....                        | -31,041 | -74,918 | -50,786 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -103    |         |         |
| 3050 Unpaid obligations, end of year .....                        | 1,930   | 1,929   | 1,929   |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | 2,007   | 1,930   | 1,929   |
| 3200 Obligated balance, end of year .....                         | 1,930   | 1,929   | 1,929   |

**Financing authority and disbursements, net:**

|   |         |         |         |
|---|---------|---------|---------|
| Mandatory:  |         |         |         |
| 4090 Financing authority, gross .....                                       | 37,087  | 68,953  | 40,228  |
| Financing disbursements:  |         |         |         |
| 4110 Financing disbursements, gross .....                                   | 31,041  | 74,918  | 50,786  |
| Offsets against gross financing authority and disbursements:                |         |         |         |
| Offsetting collections (collected) from:                                    |         |         |         |
| 4120 Transfer of Reestimates from reserves in Capital Reserve account ..... | -13,868 | -27,673 |         |
| 4122 Interest on uninvested funds .....                                     | -1,474  | -575    | -575    |
| 4123 Fees and premiums .....  | -16,675 | -12,993 | -13,290 |
| 4123 Recoveries on defaults .....   |         | -15,762 | -19,063 |
| 4130 Offsets against gross financing auth and disbursements (total) .....   | -32,017 | -57,003 | -32,928 |
| 4160 Financing authority, net (mandatory) .....                             | 5,070   | 11,950  | 7,300   |
| 4170 Financing disbursements, net (mandatory) .....                         | -976    | 17,915  | 17,858  |
| 4180 Financing authority, net (total) .....                                 | 5,070   | 11,950  | 7,300   |
| 4190 Financing disbursements, net (total) .....                             | -976    | 17,915  | 17,858  |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 86-4587-0-3-371  | 2012 actual | 2013 CR   | 2014 est. |
|--|-------------|-----------|-----------|
| Position with respect to appropriations act limitation on commitments:     |             |           |           |
| 2111 Limitation on guaranteed loans made by private lenders .....          | 400,000     | 400,000   | 400,000   |
| 2142 Uncommitted loan guarantee limitation .....                           | -173,477    | -129,819  | -200,664  |
| 2150 Total guaranteed loan commitments .....                               | 226,523     | 270,181   | 199,336   |
| 2199 Guaranteed amount of guaranteed loan commitments .....                | 226,523     | 270,181   | 199,336   |
| Cumulative balance of guaranteed loans outstanding:                        |             |           |           |
| 2210 Outstanding, start of year .....                                      | 1,038,170   | 1,114,221 | 1,160,115 |
| 2231 Disbursements of new guaranteed loans .....                           | 230,633     | 268,010   | 199,240   |
| 2251 Repayments and prepayments .....                                      | -138,747    | -176,678  | -157,845  |
| Adjustments:   |             |           |           |
| 2261 Terminations for default that result in loans receivable .....        | -2,813      | -1,473    | -1,786    |
| 2262 Terminations for default that result in acquisition of property ..... | -12,412     | -42,858   | -29,861   |
| 2263 Terminations for default that result in claim payments .....          | -610        | -1,107    | -897      |
| 2290 Outstanding, end of year .....  | 1,114,221   | 1,160,115 | 1,168,966 |
| Memorandum:  |             |           |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....  | 1,114,221   | 1,160,115 | 1,168,966 |



|   |  |        |       |
|---|--|--------|-------|
| Addendum:   |  |        |       |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |        |       |
| 2310  | Outstanding, start of year .....               | 1,142  | 1,744 |
| 2331  | Disbursements for guaranteed loan claims ..... | 1,785  | 1,463 |
| 2351  | Repayments of loans receivable .....           | -1,183 | -589  |
| 2361  | Write-offs of loans receivable .....           | -126   | -142  |
| 2390  | Outstanding, end of year .....                 | 1,744  | 2,492 |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loans insured in 1992 and thereafter. The amounts in this account are considered a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 86-4587-0-3-371  |  | 2011 actual | 2012 actual |
|--|--|-------------|-------------|
| <b>ASSETS:</b>   |  |             |             |
| Federal assets:  |  |             |             |
| 1101   | Fund balances with Treasury .....                              | 29,051      | 35,098      |
| Investments in US securities:  |  |             |             |
| 1106   | Receivables, net .....   | 9,725       | 20,643      |
| Non-Federal assets:  |  |             |             |
| 1201   | Investments in non-Federal securities, net .....               | 4           | .....       |
| 1206   | Receivables, net .....   | 505         | 621         |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |  |             |             |
| 1501   | Defaulted guaranteed loans receivable, gross .....             | 1,142       | 1,744       |
| 1502   | Interest receivable .....                                      | 4           | 41          |
| 1504   | Foreclosed property .....                                      | 5,200       | 4,888       |
| 1505   | Allowance for subsidy cost .....                               | -3,866      | -4,482      |
| 1599   | Net value of assets related to defaulted guaranteed loan ..... | 2,480       | 2,191       |
| 1901   | Other Federal assets: Other assets .....                       | 267         | 260         |
| 1999   | Total assets .....   | 42,032      | 58,813      |
| <b>LIABILITIES:</b>  |  |             |             |
| Federal liabilities:   |  |             |             |
| 2101   | Accounts payable .....   | 8,736       | 6,316       |
| 2103   | Federal liabilities, Debt .....                                | 3,585       | 8,655       |
| Non-Federal liabilities:   |  |             |             |
| 2201   | Accounts payable .....   | 692         | 485         |
| 2204   | Liabilities for loan guarantees .....                          | 28,442      | 42,635      |
| 2207   | Other .....  | 577         | 722         |
| 2999   | Total liabilities .....  | 42,032      | 58,813      |
| 4999   | Total liabilities and net position .....                       | 42,032      | 58,813      |

**FHA-MUTUAL MORTGAGE INSURANCE CAPITAL RESERVE ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 86-0236-0-1-371                        |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                |  |             |         |           |
| Unobligated balance:                                       |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....   | 4,685       | 3,309   | .....     |
| 1010   | Unobligated balance transfer to other accts [86-0183] .....                                  | -4,685      | -3,299  | .....     |
| 1010   | Unobligated balance transfer to other accts [86-4070] .....                                  | .....       | -10     | .....     |
| Budget authority:  |  |             |         |           |
| Spending authority from offsetting collections, mandatory: |  |             |         |           |
| 1800   | Offsetting collections (negative subsidy) .....  | 5,582       | 18,176  | 12,959    |
| 1800   | Offsetting collections (interest on investments) .....                                       | 1,273       | 14      | 208       |
| 1800   | Offsetting collections (downward reestimate) .....   | 5,655       | 5,241   | .....     |
| 1801   | Change in uncollected payments, Federal sources .....  | -18         | .....   | .....     |
| 1810   | Spending authority from offsetting collections transferred to other accounts [86-0183] ..... | -9,183      | -23,431 | .....     |
| 1810   | Spending authority from offsetting collections transferred to other accounts [86-4070] ..... | .....       | .....   | -8        |
| 1850   | Spending auth from offsetting collections, mand (total) .....                                | 3,309       | .....   | 13,159    |
| 1930   | Total budgetary resources available .....  | 3,309       | .....   | 13,159    |
| Memorandum (non-add) entries:                              |  |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....   | 3,309       | .....   | 13,159    |
| <b>Change in obligated balance:</b>                        |  |             |         |           |
| Uncollected payments:                                      |  |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                 | -19         | -1      | -1        |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                                    | 18          | .....   | .....     |

|                               |   |     |    |    |
|-------------------------------|---|-----|----|----|
| 3090                          | Uncollected pymts, Fed sources, end of year ..... | -1  | -1 | -1 |
| Memorandum (non-add) entries: |   |     |    |    |
| 3100                          | Obligated balance, start of year .....            | -19 | -1 | -1 |
| 3200                          | Obligated balance, end of year .....              | -1  | -1 | -1 |

**Budget authority and outlays, net:**

|   |   |         |         |         |
|---|---|---------|---------|---------|
| Discretionary:  |   |         |         |         |
| Offsets against gross budget authority and outlays:     |   |         |         |         |
| Offsetting collections (collected) from:                |   |         |         |         |
| 4030  | Federal sources .....   | -5,582  | -18,176 | -12,959 |
| Mandatory:  |   |         |         |         |
| 4090  | Budget authority, gross .....                                   | 3,309   | .....   | 13,159  |
| Offsets against gross budget authority and outlays:     |   |         |         |         |
| Offsetting collections (collected) from:                |   |         |         |         |
| 4120  | Federal Sources: Downward Re-estimate .....                     | -5,655  | -5,241  | .....   |
| 4121  | Interest on Federal securities .....                            | -1,273  | -14     | -208    |
| 4130  | Offsets against gross budget authority and outlays (total) .... | -6,928  | -5,255  | -208    |
| Additional offsets against gross budget authority only: |   |         |         |         |
| 4140  | Change in uncollected pymts, Fed sources, unexpired .....       | 18      | .....   | .....   |
| 4160  | Budget authority, net (mandatory) .....                         | -3,601  | -5,255  | 12,951  |
| 4170  | Outlays, net (mandatory) .....                                  | -6,928  | -5,255  | -208    |
| 4180  | Budget authority, net (total) .....                             | -9,183  | -23,431 | -8      |
| 4190  | Outlays, net (total) .....                                      | -12,510 | -23,431 | -13,167 |

**Memorandum (non-add) entries:**

|      |   |       |       |        |
|------|---|-------|-------|--------|
| 5000 | Total investments, SOY: Federal securities: Par value ..... | 4,157 | 2,774 | .....  |
| 5001 | Total investments, EOY: Federal securities: Par value ..... | 2,774 | ..... | 13,166 |

In 2002, a Capital Reserve account was established for the Mutual Mortgage Insurance Fund. Financial reserves, including securities, of the MMI Fund were transferred from the liquidating account to the Capital Reserve account. The Liquidating account now only reflects cash flows related to pre-1992 books of business. In 2003, the Capital Reserve account started earning interest on Treasury investments, collecting negative subsidy and downward re-estimates from the Financing account, and paying upward re-estimates to the Program account. As such, this account is the ultimate depository for all resources collected by the MMI Fund. The amount of reserves held in this account fluctuates with changes in economic conditions, loan performance, and other factors that cause actual reserve levels in the future to vary from projections. The Budget estimates that reserves will be insufficient for the cost of the 2013 upward credit subsidy re-estimate. As a result, the Budget anticipates that FHA will use a permanent indefinite appropriation in the MMI Program Account of \$943 million in addition to \$26,730 million in reserves transferred from this account to pay for the upward re-estimate. Such use of permanent indefinite authority is authorized by the Federal Credit Reform Act. Based on the results of the 2012 FHA Actuarial Review, the capital reserve ratio will reach the target level of 2 percent in 2017.

**Balance Sheet** (in millions of dollars)

| Identification code 86-0236-0-1-371 |   | 2011 actual | 2012 actual |
|-------------------------------------|---|-------------|-------------|
| <b>ASSETS:</b>                      |   |             |             |
| Federal assets:                     |   |             |             |
| 1101                                | Fund balances with Treasury .....           | 266         | 537         |
| Investments in US securities:       |   |             |             |
| 1102                                | Treasury securities, net .....              | 4,127       | 2,772       |
| 1106                                | Receivables, net .....                      | 6,908       | 21,051      |
| 1999                                | Total assets .....                          | 11,301      | 24,360      |
| <b>LIABILITIES:</b>                 |   |             |             |
| 2101                                | Federal liabilities: Accounts payable ..... | 3,542       | 20,643      |
| <b>NET POSITION:</b>                |   |             |             |
| 3300                                | Cumulative results of operations .....      | 7,759       | 3,717       |
| 4999                                | Total liabilities and net position .....    | 11,301      | 24,360      |

FHA-MUTUAL MORTGAGE AND COOPERATIVE HOUSING INSURANCE FUNDS  
LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 86-4070-0-3-371  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0103 Acquisition of real properties .....  | 26          | 21      | 14        |
| 0107 Capitalized expenses .....  | 7           | 1       | 1         |
| 0108 Loss mitigation activities .....  | 1           |         |           |
| 0191 Total capital investment .....  | 34          | 22      | 15        |
| 0202 Other Operation expenses .....  | 6           | 2       | 2         |
| 0900 Total new obligations .....   | 40          | 24      | 17        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 19          | 3       |           |
| 1011 Unobligated balance transfer from other accts [86-0236] ....                                      |             | 10      |           |
| 1021 Recoveries of prior year unpaid obligations .....   | 3           |         |           |
| 1050 Unobligated balance (total) .....   | 22          | 13      |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:   |             |         |           |
| 1800 Collected .....   | 21          | 11      | 9         |
| 1811 Spending authority from offsetting collections transferred<br>from other accounts [86-0236] ..... |             |         | 8         |
| 1850 Spending auth from offsetting collections, mand (total) .....                                     | 21          | 11      | 17        |
| 1930 Total budgetary resources available .....   | 43          | 24      | 17        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 3           |         |           |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 155         | 156     | 95        |
| 3010 Obligations incurred, unexpired accounts .....  | 40          | 24      | 17        |
| 3020 Outlays (gross) .....   | -36         | -85     | -82       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                      | -3          |         |           |
| 3050 Unpaid obligations, end of year .....   | 156         | 95      | 30        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 155         | 156     | 95        |
| 3200 Obligated balance, end of year .....  | 156         | 95      | 30        |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | 21          | 11      | 17        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....  | 1           | 10      | 16        |
| 4101 Outlays from mandatory balances .....   | 35          | 75      | 66        |
| 4110 Outlays, gross (total) .....  | 36          | 85      | 82        |
| Offsets against gross budget authority and outlays:  |             |         |           |
| Offsetting collections (collected) from:   |             |         |           |
| 4123 Non-Federal sources - Fees & Premiums .....   | -21         | -3      | -2        |
| 4123 Non-Federal sources - Recoveries .....  |             | -8      | -7        |
| 4130 Offsets against gross budget authority and outlays (total) ....                                   | -21         | -11     | -9        |
| 4160 Budget authority, net (mandatory) .....   |             |         | 8         |
| 4170 Outlays, net (mandatory) .....  | 15          | 74      | 73        |
| 4180 Budget authority, net (total) .....   |             |         | 8         |
| 4190 Outlays, net (total) .....  | 15          | 74      | 73        |
| <b>Status of Guaranteed Loans (in millions of dollars)</b>   |             |         |           |
| Identification code 86-4070-0-3-371  | 2012 actual | 2013 CR | 2014 est. |
| Cumulative balance of guaranteed loans outstanding:  |             |         |           |
| 2210 Outstanding, start of year .....  | 4,602       | 3,680   | 2,983     |
| 2251 Repayments and prepayments .....  | -908        | -676    | -677      |
| Adjustments:   |             |         |           |
| 2262 Terminations for default that result in acquisition of<br>property .....                          | -13         | -19     | -12       |
| 2263 Terminations for default that result in claim payments .....                                      | -1          | -2      | -1        |
| 2290 Outstanding, end of year .....  | 3,680       | 2,983   | 2,293     |
| Memorandum:  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of<br>year .....                           | 3,680       | 2,983   | 2,293     |

## Addendum:

| Cumulative balance of defaulted guaranteed loans that result<br>in loans receivable: |                                  |    |    |    |
|--|----------------------------------|----|----|----|
| 2310   | Outstanding, start of year ..... | 17 | 17 | 17 |
| 2390   | Outstanding, end of year .....   | 17 | 17 | 17 |

The Federal Housing Administration Fund currently consists of four separate insurance funds.

In order to present more clearly the operations of the various funds, FHA's budget transactions are separated into two major business segments. The basic single family insurance programs, including the Condominium, Section 203(k) rehabilitation, and Home Equity Conversion Mortgage programs, in the Mutual Mortgage Insurance (MMI) fund and the multifamily Cooperative Management Housing Insurance (CMHI) funds form one segment. All other multifamily and other specialized insurance programs are in the General Insurance and Special Risk Insurance funds (GI/SRI).

The Federal Credit Reform Act of 1990 creates a structure of three accounts for existing credit programs. For each of the FHA business segments (MMI/CMHI and GI/SRI) there is a liquidating account, which records the revenues and costs associated with loan insurance committed prior to October 1, 1991; a financing account which records the revenues and costs associated with commitments to insure loans made after September 30, 1991; and, a program account which records the transactions associated with the program subsidy costs, if any, and the costs of administering the program.

This liquidating account records, for this program, all cash flows to and from the Government resulting from MMI/CMHI loans insured prior to 1992 and is shown on a cash basis. All new activity in this program in 1992 and thereafter (including modifications of loans insured in any year) is recorded in the corresponding program (86-0183) and financing (86-4587 and 86-4242) accounts.

In 2002, the MMI Capital Reserve account was established to maintain reserves required by statute that were previously deposited in the liquidating account.

**Financial condition.**—The following tables reflect the revenues, expenses and financial condition of the MMI/CMHI liquidating funds based on Generally Accepted Accounting Principles.

## Balance Sheet (in millions of dollars)

| Identification code 86-4070-0-3-371                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 174         | 159         |
| 1206 Non-Federal assets: Receivables, net .....                         | 3           | 5           |
| 1701 Defaulted guaranteed loans, gross .....                            | 17          | 17          |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -15         | -10         |
| 1799 Value of assets related to loan guarantees .....                   | 2           | 7           |
| 1901 Other Federal assets: Other assets .....                           | 1           | 1           |
| 1999 Total assets .....   | 180         | 172         |
| <b>LIABILITIES:</b>   |             |             |
| Non-Federal liabilities:  |             |             |
| 2201 Accounts payable .....   | 145         | 136         |
| 2204 Liabilities for loan guarantees .....                              | 18          | 11          |
| 2207 Unearned revenue and advances, and other .....                     | 11          | 14          |
| 2999 Total liabilities .....  | 174         | 161         |
| <b>NET POSITION:</b>  |             |             |
| 3300 Cumulative results of operations .....                             | 6           | 11          |
| 4999 Total liabilities and net position .....                           | 180         | 172         |

## Object Classification (in millions of dollars)

| Identification code 86-4070-0-3-371                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 13          |         | 2         |

|      |  |    |    |    |
|------|--|----|----|----|
| 32.0 | Land and structures .....              | 26 | 22 | 14 |
| 42.0 | Insurance claims and indemnities ..... | 1  | 2  | 1  |
| 99.9 | Total new obligations .....            | 40 | 24 | 17 |

## GENERAL AND SPECIAL RISK PROGRAM ACCOUNT

*New commitments to guarantee loans insured under the General and Special Risk Insurance Funds, as authorized by sections 238 and 519 of the National Housing Act (12 U.S.C. 1715z-3 and 1735c), shall not exceed \$30,000,000,000 in total loan principal, any part of which is to be guaranteed, to remain available until September 30, 2015: Provided, That during fiscal year 2014, gross obligations for the principal amount of direct loans, as authorized by sections 204(g), 207(l), 238, and 519(a) of the National Housing Act, shall not exceed \$20,000,000, which shall be for loans to nonprofit and governmental entities in connection with the sale of single family real properties owned by the Secretary and formerly insured under such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0200-0-1-371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0707 Reestimates of loan guarantee subsidy .....                | 523         | 4,096   |           |
| 0708 Interest on reestimates of loan guarantee subsidy .....    | 223         | 1,586   |           |
| 0900 Total new obligations (object class 41.0) .....            | 746         | 5,682   |           |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 17          | 17      | 17        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 746         | 5,682   |           |
| 1260 Appropriations, mandatory (total) .....                    | 746         | 5,682   |           |
| 1900 Budget authority (total) .....                             | 746         | 5,682   |           |
| 1930 Total budgetary resources available .....                  | 763         | 5,699   | 17        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 17          | 17      | 17        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 17          | 8       |           |
| 3010 Obligations incurred, unexpired accounts .....             | 746         | 5,682   |           |
| 3020 Outlays (gross) .....                                      | -749        | -5,690  |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -6          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 8           |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 17          | 8       |           |
| 3200 Obligated balance, end of year .....                       | 8           |         |           |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                  | 3           | 8       |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 746         | 5,682   |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 746         | 5,682   |           |
| 4180 Budget authority, net (total) .....                        | 746         | 5,682   |           |
| 4190 Outlays, net (total) .....                                 | 749         | 5,690   |           |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 86-0200-0-1-371                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 GI/SRI Direct Loans .....                            |             | 1       | 1         |
| 115999 Total direct loan levels .....                       |             | 1       | 1         |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 GI/SRI Direct Loans .....                            |             | 0.00    | 0.00      |

|   |        |        |        |
|---|--------|--------|--------|
| 132999 Weighted average subsidy rate .....                      | 0.00   | 0.00   |        |
| Guaranteed loan levels supportable by subsidy budget authority: |        |        |        |
| 215001 Multifamily Development .....                            | 2,584  | 2,500  | 2,711  |
| 215003 Tax Credit New Construction .....                        | 1,283  | 1,252  | 1,501  |
| 215004 238(c) Military Impact Area .....                        | 32     |        |        |
| 215005 Apartments Refinance .....                               | 11,144 | 12,802 | 10,889 |
| 215008 Housing Finance Authority Risk Sharing .....             | 166    | 170    | 187    |
| 215009 GSE Risk Sharing .....                                   | 128    | 135    | 137    |
| 215010 Health Care and Nursing Homes .....                      | 266    | 256    | 224    |
| 215011 Health Care Refinances .....                             | 5,688  | 5,486  | 4,785  |
| 215012 Hospitals .....  | 295    | 675    | 1,025  |
| 215013 Other Rental .....                                       | 331    | 242    | 287    |
| 215017 Title 1 Property Improvement .....                       | 101    | 128    | 140    |
| 215018 Title 1 Manufactured Housing .....                       | 32     | 24     | 26     |
| 215999 Total loan guarantee levels .....                        | 22,050 | 23,670 | 21,912 |
| Guaranteed loan subsidy (in percent):                           |        |        |        |
| 232001 Multifamily Development .....                            | -1.09  | -2.51  | -3.58  |
| 232003 Tax Credit New Construction .....                        | -2.30  | -3.15  | -3.24  |
| 232004 238(c) Military Impact Area .....                        | 0.45   |        |        |
| 232005 Apartments Refinance .....                               | -2.17  | -4.65  | -4.19  |
| 232008 Housing Finance Authority Risk Sharing .....             | -0.99  | -3.41  | -2.85  |
| 232009 GSE Risk Sharing .....                                   | -0.99  | -2.15  | -1.16  |
| 232010 Health Care and Nursing Homes .....                      | -1.34  | -3.15  | -1.16  |
| 232011 Health Care Refinances .....                             | -1.96  | -4.29  | -4.04  |
| 232012 Hospitals .....  | -3.82  | -6.41  | -4.09  |
| 232013 Other Rental .....                                       | -1.70  | -1.08  | -0.41  |
| 232017 Title 1 Property Improvement .....                       | -0.67  | 0.00   | -0.10  |
| 232018 Title 1 Manufactured Housing .....                       | -2.14  | -2.58  | -1.66  |
| 232999 Weighted average subsidy rate .....                      | -1.98  | -4.21  | -3.87  |
| Guaranteed loan subsidy budget authority:                       |        |        |        |
| 233001 Multifamily Development .....                            | -29    | -63    | -97    |
| 233003 Tax Credit New Construction .....                        | -30    | -39    | -49    |
| 233005 Apartments Refinance .....                               | -242   | -595   | -456   |
| 233008 Housing Finance Authority Risk Sharing .....             | -2     | -6     | -5     |
| 233009 GSE Risk Sharing .....                                   | -1     | -3     | -2     |
| 233010 Health Care and Nursing Homes .....                      | -4     | -8     | -3     |
| 233011 Health Care Refinances .....                             | -111   | -235   | -193   |
| 233012 Hospitals .....  | -11    | -43    | -42    |
| 233013 Other Rental .....                                       | -6     | -3     | -1     |
| 233017 Title 1 Property Improvement .....                       | -1     |        |        |
| 233018 Title 1 Manufactured Housing .....                       | -1     | -1     |        |
| 233999 Total subsidy budget authority .....                     | -438   | -996   | -848   |
| Guaranteed loan subsidy outlays:                                |        |        |        |
| 234001 Multifamily Development .....                            | -26    | -56    | -88    |
| 234003 Tax Credit New Construction .....                        | -26    | -36    | -46    |
| 234005 Apartments Refinance .....                               | -227   | -531   | -491   |
| 234007 Multifamily Operating Loss Loans .....                   | 1      |        |        |
| 234008 Housing Finance Authority Risk Sharing .....             | -1     | -6     | -5     |
| 234009 GSE Risk Sharing .....                                   | -1     | -2     | -2     |
| 234010 Health Care and Nursing Homes .....                      | -3     | -8     | -4     |
| 234011 Health Care Refinances .....                             | -94    | -206   | -204   |
| 234012 Hospitals .....  | -12    | -40    | -42    |
| 234013 Other Rental .....                                       | -4     | -4     | -2     |
| 234017 Title 1 Property Improvement .....                       | -1     |        |        |
| 234018 Title 1 Manufactured Housing .....                       | -1     | -1     | -1     |
| 234999 Total subsidy outlays .....                              | -395   | -890   | -885   |
| Guaranteed loan upward reestimates:                             |        |        |        |
| 235023 GI/SRI Reestimates .....                                 | 746    | 5,682  |        |
| 235999 Total upward reestimate budget authority .....           | 746    | 5,682  |        |
| Guaranteed loan downward reestimates:                           |        |        |        |
| 237023 GI/SRI Reestimates .....                                 | -2,216 | -529   |        |
| 237999 Total downward reestimate subsidy budget authority ..... | -2,216 | -529   |        |

This account includes credit subsidy budget authority and outlays for FHA's General Insurance and Special Risk Insurance Fund programs, including reestimates and modifications. These programs provide mortgage insurance for a variety of purposes including financing for the development or rehabilitation of multifamily housing, nursing homes, and hospitals. The Budget does not request an appropriation of new credit subsidy funds and assumes that HUD will continue to suspend issuance of new insurance on the two types of loans that currently require positive credit subsidy: Section 221(d)(3) multifamily housing loans for projects with non-profit sponsors and Section 223(d) operating loss loans to multifamily housing projects with a primary FHA mortgage. Neither suspension is expected to have a detrimental impact on the production and preservation of rental housing.

## GENERAL AND SPECIAL RISK PROGRAM ACCOUNT—Continued

Effective October 1, 2012, Section 223(d) operating loss loans to healthcare facilities with a primary 232 mortgage and Section 241(a) supplemental loans to FHA-financed multifamily housing loans are reported under the budget risk category of the primary FHA mortgage.

Credit subsidy rates for 2014 reflect a continuation of 2013 mortgage insurance premium increases for newly insured market rate multifamily housing and healthcare facility loans.

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with loan guarantees committed or direct loans obligated in 1992 and thereafter. The subsidy amounts are estimated on a present value basis. This account no longer includes appropriations for administrative contract costs, which were moved to the MMI Fund in 2010.

## FHA-GENERAL AND SPECIAL RISK GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 86-4077-0-3-371   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Capital investment, claims and other  |             |         |           |
| 0003 Other capital investments and operating expenses .....                     | 32          | 45      | 36        |
| 0014 Contract Costs .....   | 42          | 75      | 123       |
| 0091 Direct program activities, subtotal .....                                  | 74          | 120     | 159       |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....                                  | 2,008       | 4,313   | 3,338     |
| 0712 Default claim payments on interest .....                                   | 223         | 431     | 334       |
| 0713 Payment of interest to Treasury .....                                      | 158         | 175     | 175       |
| 0740 Negative subsidy obligations .....   | 438         | 996     | 848       |
| 0742 Downward reestimate paid to receipt account .....                          | 1,723       | 470     |           |
| 0743 Interest on downward reestimates .....                                     | 494         | 59      |           |
| 0791 Direct program activities, subtotal .....                                  | 5,044       | 6,444   | 4,695     |
| 0900 Total new obligations .....  | 5,118       | 6,564   | 4,854     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 9,181       | 7,083   | 9,104     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 19          |         |           |
| 1023 Unobligated balances applied to repay debt .....                           |             | -200    | -200      |
| 1050 Unobligated balance (total) .....  | 9,200       | 6,883   | 8,904     |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 560         | 800     | 800       |
| 1440 Borrowing authority, mandatory (total) .....                               | 560         | 800     | 800       |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 2,576       | 8,185   | 2,714     |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -135        | -200    | -200      |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 2,441       | 7,985   | 2,514     |
| 1900 Financing authority (total) .....  | 3,001       | 8,785   | 3,314     |
| 1930 Total budgetary resources available .....                                  | 12,201      | 15,668  | 12,218    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 7,083       | 9,104   | 7,364     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 313         | 542     | 2,144     |
| 3010 Obligations incurred, unexpired accounts .....                             | 5,118       | 6,564   | 4,854     |
| 3020 Financing disbursements (gross) .....                                      | -4,870      | -4,962  | -3,212    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -19         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 542         | 2,144   | 3,786     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -1          | -1      | -1        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 312         | 541     | 2,143     |
| 3200 Obligated balance, end of year .....                                       | 541         | 2,143   | 3,785     |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 3,001       | 8,785   | 3,314     |

|   |        |        |        |
|---|--------|--------|--------|
| Financing disbursements:  |        |        |        |
| 4110 Financing disbursements, gross .....                                 | 4,870  | 4,962  | 3,212  |
| Offsets against gross financing authority and disbursements:              |        |        |        |
| Offsetting collections (collected) from:                                  |        |        |        |
| 4120 Subsidy reestimate from program account .....                        | -746   | -5,682 |        |
| 4120 Other payments from FHA Accounts .....                               | -1     | -1     |        |
| 4122 Interest on uninvested funds .....                                   | -405   | -405   | -405   |
| 4123 Fees and premiums .....  | -796   | -947   | -1,015 |
| 4123 Recoveries on HUD-Held Notes .....                                   | -128   | -312   | -547   |
| 4123 Title I recoveries .....   | -10    |        |        |
| 4123 Single family property recoveries .....                              | -320   | -659   | -517   |
| 4123 Gross Proceeds from Mortgage Note Sales .....                        | -160   | -179   | -230   |
| 4123 Non-Federal Resources-other .....                                    | -10    |        |        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -2,576 | -8,185 | -2,714 |
| 4160 Financing authority, net (mandatory) .....                           | 425    | 600    | 600    |
| 4170 Financing disbursements, net (mandatory) .....                       | 2,294  | -3,223 | 498    |
| 4180 Financing authority, net (total) .....                               | 425    | 600    | 600    |
| 4190 Financing disbursements, net (total) .....                           | 2,294  | -3,223 | 498    |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 86-4077-0-3-371   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:            |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....                 | 25,000      | 25,000  | 30,000    |
| 2142 Uncommitted loan guarantee limitation .....                                  | -2,950      | -1,330  | -8,088    |
| 2150 Total guaranteed loan commitments .....                                      | 22,050      | 23,670  | 21,912    |
| 2199 Guaranteed amount of guaranteed loan commitments .....                       | 21,613      | 23,559  | 21,750    |
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 136,016     | 141,710 | 156,238   |
| 2231 Disbursements of new guaranteed loans .....                                  | 19,957      | 26,708  | 23,937    |
| 2251 Repayments and prepayments .....   | -12,032     | -6,955  | -5,965    |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               | -1,399      | -2,816  | -1,943    |
| 2262 Terminations for default that result in acquisition of property .....        | -678        | -1,141  | -712      |
| 2263 Terminations for default that result in claim payments .....                 | -154        | -1,268  | -2,557    |
| 2290 Outstanding, end of year .....   | 141,710     | 156,238 | 168,998   |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 138,455     | 152,650 | 165,117   |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 2,120       | 2,458   | 4,197     |
| 2331 Disbursements for guaranteed loan claims .....                               | 1,399       | 2,816   | 1,930     |
| 2351 Repayments of loans receivable .....   | -231        | -115    | -551      |
| 2361 Write-offs of loans receivable .....   | -830        | -962    | -1,166    |
| 2390 Outstanding, end of year .....   | 2,458       | 4,197   | 4,410     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and thereafter (including modifications of loan guarantees that resulted from commitments in any year) for FHA's General and Special Risk Insurance Fund programs. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

## Balance Sheet (in millions of dollars)

| Identification code 86-4077-0-3-371  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets:  |             |             |
| 1101 Fund balances with Treasury .....   | 9,493       | 7,624       |
| Investments in US securities:  |             |             |
| 1106 Receivables, net .....  | 562         | 6,452       |
| Non-Federal assets:  |             |             |
| 1201 Investments in non-Federal securities, net .....                                    | 56          | 57          |
| 1206 Receivables, net .....  | 45          | 47          |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 2,120       | 2,458       |

|                          |  |        |        |
|--------------------------|--|--------|--------|
| 1502                     | Interest receivable .....                                      | 503    | 846    |
| 1504                     | Foreclosed property .....                                      | 479    | 254    |
| 1505                     | Allowance for subsidy cost .....                               | -1,167 | -1,531 |
| 1599                     | Net value of assets related to defaulted guaranteed loan ..... | 1,935  | 2,027  |
| 1901                     | Other Federal assets: Other assets .....                       | 2,292  | 8      |
| 1999                     | Total assets .....   | 14,383 | 16,215 |
| <b>LIABILITIES:</b>      |  |        |        |
| Federal liabilities:     |  |        |        |
| 2101                     | Accounts payable Intragovernmental .....                       | 1,801  | 609    |
| 2103                     | Debt .....   | 2,447  | 2,873  |
| Non-Federal liabilities: |  |        |        |
| 2201                     | Accounts payable .....   | 126    | 330    |
| 2204                     | Liabilities for loan guarantees .....                          | 7,608  | 12,311 |
| 2207                     | Other .....  | 115    | 92     |
| 2999                     | Total liabilities .....  | 12,097 | 16,215 |
| <b>NET POSITION:</b>     |  |        |        |
| 3300                     | Cumulative results of operations .....                         | 2,286  |        |
| 4999                     | Total liabilities and net position .....                       | 14,383 | 16,215 |

**FHA-GENERAL AND SPECIAL RISK DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

|  |  |         |           |
|--|--|---------|-----------|
| Identification code 86-4105-0-3-371                          | 2012 actual  | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                      |  |         |           |
| Credit program obligations:                                  |  |         |           |
| 0710   | Direct loan obligations .....  | 1       | 1         |
| 0900   | Total new obligations .....  | 1       | 1         |
| <b>Budgetary Resources:</b>                                  |  |         |           |
| Financing authority:   |  |         |           |
| Borrowing authority, mandatory:                              |  |         |           |
| 1400   | Borrowing authority .....  | 1       | 1         |
| 1440   | Borrowing authority, mandatory (total) .....                               | 1       | 1         |
| Spending authority from offsetting collections, mandatory:   |  |         |           |
| 1800   | Collected .....  | 1       | 1         |
| 1825   | Spending authority from offsetting collections applied to repay debt ..... | -1      | -1        |
| 1900   | Financing authority (total) .....  | 1       | 1         |
| 1930   | Total budgetary resources available .....                                  | 1       | 1         |
| <b>Change in obligated balance:</b>                          |  |         |           |
| Unpaid obligations:  |  |         |           |
| 3010   | Obligations incurred, unexpired accounts .....                             | 1       | 1         |
| 3020   | Financing disbursements (gross) .....                                      | -1      | -1        |
| <b>Financing authority and disbursements, net:</b>           |  |         |           |
| Mandatory:   |  |         |           |
| 4090   | Financing authority, gross .....   | 1       | 1         |
| Financing disbursements:                                     |  |         |           |
| 4110   | Financing disbursements, gross .....                                       | 1       | 1         |
| Offsets against gross financing authority and disbursements: |  |         |           |
| Offsetting collections (collected) from:                     |  |         |           |
| 4123   | Repayment of Principal .....   | -1      | -1        |

**Status of Direct Loans** (in millions of dollars)

|  |  |         |           |
|--|--|---------|-----------|
| Identification code 86-4105-0-3-371                                    | 2012 actual                                  | 2013 CR | 2014 est. |
| Position with respect to appropriations act limitation on obligations: |  |         |           |
| 1111   | Limitation on direct loans .....             | 20      | 20        |
| 1142   | Unobligated direct loan limitation (-) ..... | -20     | -19       |
| 1150   | Total direct loan obligations .....          | 1       | 1         |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and thereafter (including loan modifications) for FHA's General Insurance and Special Risk Insurance Fund programs. The amounts in this account are a means of financing and are not included in the budget

totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

**FHA-LOAN GUARANTEE RECOVERY FUND FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

|                                     |  |         |           |
|-------------------------------------|--|---------|-----------|
| Identification code 86-4106-0-3-371 | 2012 actual  | 2013 CR | 2014 est. |
| <b>Budgetary Resources:</b>         |  |         |           |
| Unobligated balance:                |  |         |           |
| 1000                                | Unobligated balance brought forward, Oct 1 .....             | 4       | 4         |
| 1930                                | Total budgetary resources available .....                    | 4       | 4         |
| Memorandum (non-add) entries:       |  |         |           |
| 1941                                | Unexpired unobligated balance, end of year .....             | 4       | 4         |
| <b>Change in obligated balance:</b> |  |         |           |
| Uncollected payments:               |  |         |           |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1      | -1        |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -1      | -1        |
| Memorandum (non-add) entries:       |  |         |           |
| 3100                                | Obligated balance, start of year .....                       | -1      | -1        |
| 3200                                | Obligated balance, end of year .....                         | -1      | -1        |

**Status of Guaranteed Loans** (in millions of dollars)

|   |  |         |           |
|---|--|---------|-----------|
| Identification code 86-4106-0-3-371                 | 2012 actual  | 2013 CR | 2014 est. |
| Cumulative balance of guaranteed loans outstanding: |  |         |           |
| 2210  | Outstanding, start of year .....                                     | 6       | 6         |
| 2251  | Repayments and prepayments .....                                     | -1      | -1        |
| 2290  | Outstanding, end of year .....                                       | 6       | 5         |
| Memorandum:   |  |         |           |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 6       | 4         |

Section 4 of the Church Arson Prevention Act of 1996 (P.L. 104-155), entitled "Loan Guarantee Recovery Fund," authorizes the Secretary of Housing and Urban Development to guarantee loans made by financial institutions to assist certain non-profit organizations that were damaged as a result of acts of arson or terrorism. As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and thereafter. The amounts in this account are a means of financing and are not included in the budget totals. As required by the Federal Credit Reform Act of 1990, no administrative expenses can be recorded in the financing account.

**Balance Sheet** (in millions of dollars)

|                                     |  |             |
|-------------------------------------|--|-------------|
| Identification code 86-4106-0-3-371 | 2011 actual  | 2012 actual |
| <b>ASSETS:</b>                      |  |             |
| 1101                                | Federal assets: Fund balances with Treasury .....              | 4           |
| 1999                                | Total assets .....   | 4           |
| <b>LIABILITIES:</b>                 |  |             |
| 2204                                | Non-Federal liabilities: Liabilities for loan guarantees ..... | 4           |
| 4999                                | Total liabilities and net position .....                       | 4           |

**FHA-GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

|   |  |         |           |
|---|--|---------|-----------|
| Identification code 86-4072-0-3-371     | 2012 actual                                      | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |  |         |           |
| Capital investment: Claims and other    |  |         |           |
| 0101                                    | Interest on Debentures .....                     | 1       |           |
| 0102                                    | Assignment and Property Acquisition Claims ..... | 20      | 10        |
| 0104                                    | Mark-To-Market Restructures .....                | 9       |           |

**FHA-GENERAL AND SPECIAL RISK INSURANCE FUNDS LIQUIDATING  
ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 86-4072-0-3-371   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0110 Capitalized Expenses .....   | 4           | .....   | .....     |
| 0111 HUD Held Notes Escrow Activity .....   | 58          | 58      | 53        |
| 0112 Upfront Grants .....   | 4           | .....   | .....     |
| 0113 Other .....  | 4           | .....   | .....     |
| 0900 Total new obligations .....  | 80          | 78      | 63        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 253         | 182     | .....     |
| 1021 Recoveries of prior year unpaid obligations .....  | 8           | .....   | .....     |
| 1022 Capital transfer of unobligated balances to general fund .....                           | -253        | -182    | .....     |
| 1050 Unobligated balance (total) .....  | 8           | .....   | .....     |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 30          | 30      | 30        |
| 1260 Appropriations, mandatory (total) .....  | 30          | 30      | 30        |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 234         | 105     | 57        |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | .....       | -57     | -24       |
| 1825 Spending authority from offsetting collections applied to repay debt .....               | -10         | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 224         | 48      | 33        |
| 1900 Budget authority (total) .....   | 254         | 78      | 63        |
| 1930 Total budgetary resources available .....  | 262         | 78      | 63        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 182         | .....   | .....     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 396         | 366     | 346       |
| 3010 Obligations incurred, unexpired accounts .....   | 80          | 78      | 63        |
| 3020 Outlays (gross) .....  | -102        | -98     | -83       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                             | -8          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....  | 366         | 346     | 326       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                             | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....  | -1          | -1      | -1        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 395         | 365     | 345       |
| 3200 Obligated balance, end of year .....   | 365         | 345     | 325       |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 254         | 78      | 63        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 80          | 75      | 60        |
| 4101 Outlays from mandatory balances .....  | 22          | 23      | 23        |
| 4110 Outlays, gross (total) .....   | 102         | 98      | 83        |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources - Other .....  | -234        | -105    | -57       |
| 4180 Budget authority, net (total) .....  | 20          | -27     | 6         |
| 4190 Outlays, net (total) .....   | -132        | -7      | 26        |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....                              | 3           | 3       | .....     |
| 5001 Total investments, EOY: Federal securities: Par value .....                              | 3           | .....   | .....     |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 86-4072-0-3-371  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                        |             |         |           |
| 2210 Outstanding, start of year .....                                      | 2,332       | 1,755   | 1,477     |
| 2251 Repayments and prepayments .....                                      | -566        | -258    | -176      |
| Adjustments:   |             |         |           |
| 2261 Terminations for default that result in loans receivable .....        | -9          | -19     | -10       |
| 2262 Terminations for default that result in acquisition of property ..... | -2          | -1      | -1        |
| 2290 Outstanding, end of year .....  | 1,755       | 1,477   | 1,290     |

|   |       |       |       |
|---|-------|-------|-------|
| Memorandum:   |       |       |       |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 1,755 | 1,477 | 1,290 |
| <b>Addendum:</b>  |       |       |       |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |       |       |       |
| 2310 Outstanding, start of year .....   | 2,483 | 2,359 | 2,322 |
| 2331 Disbursements for guaranteed loan claims .....                               | 9     | 19    | 10    |
| 2351 Repayments of loans receivable .....   | -133  | -56   | -54   |
| 2390 Outstanding, end of year .....   | 2,359 | 2,322 | 2,278 |

The General and Special Risk Insurance funds provide insurance for a large number of specialized mortgage insurance programs, including insurance of loans for property improvements, cooperatives, condominiums, nursing homes, rental housing and nonprofit hospitals.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from loan guarantees committed and direct loans obligated prior to 1992. This account is shown on a cash basis. New insurance and direct loan activity in 1992 and thereafter in the GI/SRI programs are recorded in corresponding program (86-0200) and financing (86-4077 and 86-4105) accounts.

**Balance Sheet (in millions of dollars)**

| Identification code 86-4072-0-3-371                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                                  | 645         | 544         |
| Investments in US securities:   |             |             |
| 1102 Treasury securities, par .....                                     | 3           | 3           |
| Non-Federal assets:   |             |             |
| 1201 Investments in non-Federal securities, net .....                   | 3           | 3           |
| 1206 Receivables, net .....   | 11          | 3           |
| 1701 Defaulted guaranteed loans, gross .....                            | 2,483       | 2,359       |
| 1702 Interest receivable .....  | 226         | 231         |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -1,671      | -1,371      |
| 1799 Value of assets related to loan guarantees .....                   | 1,038       | 1,219       |
| 1901 Other Federal assets: Other assets .....                           | 115         | 251         |
| 1999 Total assets .....   | 1,815       | 2,023       |
| <b>LIABILITIES:</b>   |             |             |
| Non-Federal liabilities:  |             |             |
| 2201 Accounts payable .....   | 13          | 7           |
| 2203 Debt .....   | 10          | .....       |
| 2204 Liabilities for loan guarantees .....                              | 16          | 7           |
| 2207 Other .....  | 192         | 165         |
| 2999 Total liabilities .....  | 231         | 179         |
| <b>NET POSITION:</b>  |             |             |
| 3100 Unexpended appropriations .....                                    | 68          | 89          |
| 3300 Cumulative results of operations .....                             | 1,516       | 1,755       |
| 3999 Total net position .....   | 1,584       | 1,844       |
| 4999 Total liabilities and net position .....                           | 1,815       | 2,023       |

**Object Classification (in millions of dollars)**

| Identification code 86-4072-0-3-371 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| <b>Direct obligations:</b>          |             |         |           |
| 32.0 Land and structures .....      | 2           | 1       | 1         |
| 33.0 Investments and loans .....    | 78          | 77      | 62        |
| 99.9 Total new obligations .....    | 80          | 78      | 63        |

**HOUSING FOR THE ELDERLY OR HANDICAPPED FUND LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 86-4115-0-3-371                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0102 Loan Management, Liquidations and Property Dispositions ..... | 2           | 6       | 6         |

|  |  |      |       |       |
|--|--|------|-------|-------|
| 0900   | Total new obligations (object class 32.0) .....  | 2    | 6     | 6     |
| <b>Budgetary Resources:</b>                                |  |      |       |       |
| Unobligated balance:                                       |  |      |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....   | 6    | 35    | ..... |
| 1022   | Capital transfer of unobligated balances to general fund .....                           | -6   | -35   | ..... |
| Budget authority:  |  |      |       |       |
| Spending authority from offsetting collections, mandatory: |  |      |       |       |
| 1800   | Collected .....  | 572  | 550   | 400   |
| 1820   | Capital transfer of spending authority from offsetting collections to general fund ..... | -535 | -544  | -394  |
| 1850   | Spending auth from offsetting collections, mand (total) .....                            | 37   | 6     | 6     |
| 1930   | Total budgetary resources available .....  | 37   | 6     | 6     |
| Memorandum (non-add) entries:                              |  |      |       |       |
| 1941   | Unexpired unobligated balance, end of year .....   | 35   | ..... | ..... |

|                                     |  |    |    |    |
|-------------------------------------|--|----|----|----|
| <b>Change in obligated balance:</b> |  |    |    |    |
| Unpaid obligations:                 |  |    |    |    |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 5  | 5  | 8  |
| 3010                                | Obligations incurred, unexpired accounts .....   | 2  | 6  | 6  |
| 3020                                | Outlays (gross) .....                            | -2 | -3 | -5 |
| 3050                                | Unpaid obligations, end of year .....            | 5  | 8  | 9  |
| Memorandum (non-add) entries:       |  |    |    |    |
| 3100                                | Obligated balance, start of year .....           | 5  | 5  | 8  |
| 3200                                | Obligated balance, end of year .....             | 5  | 8  | 9  |

|   |  |       |      |      |
|---|--|-------|------|------|
| <b>Budget authority and outlays, net:</b>           |  |       |      |      |
| Mandatory:  |  |       |      |      |
| 4090  | Budget authority, gross .....              | 37    | 6    | 6    |
| Outlays, gross:                                     |  |       |      |      |
| 4100  | Outlays from new mandatory authority ..... | ..... | 2    | 2    |
| 4101  | Outlays from mandatory balances .....      | 2     | 1    | 3    |
| 4110  | Outlays, gross (total) .....               | 2     | 3    | 5    |
| Offsets against gross budget authority and outlays: |  |       |      |      |
| Offsetting collections (collected) from:            |  |       |      |      |
| 4123  | Non-Federal sources .....                  | -572  | -550 | -400 |
| 4180  | Budget authority, net (total) .....        | -535  | -544 | -394 |
| 4190  | Outlays, net (total) .....                 | -570  | -547 | -395 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 86-4115-0-3-371             |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |   |             |         |           |
| 1210  | Outstanding, start of year .....                              | 2,846       | 2,493   | 2,155     |
| 1251  | Repayments: Repayments and prepayments .....                  | -348        | -332    | -332      |
| 1264  | Write-offs for default: Other adjustments, net (+ or -) ..... | -5          | -6      | -6        |
| 1290  | Outstanding, end of year .....                                | 2,493       | 2,155   | 1,817     |

The Housing for the Elderly or Handicapped Loan Fund was established pursuant to section 202 of the Housing Act of 1959, as amended. The fund provided direct loans to non-profit organizations sponsoring the construction and management of rental housing for the elderly or non-elderly persons with disabilities. No new loan commitments were made after 1991; however, projects developed under it continue to operate. After April 1, 1992, all projects for which there were administrative reservations converted to the capital advance assistance program. Any remaining activity for the loan program includes amendments for projects reaching final endorsement.

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from this program.

**Balance Sheet** (in millions of dollars)

| Identification code 86-4115-0-3-371                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 11          | 40          |
| 1206 Non-Federal assets: Interest Receivable: Public .....              | 29          | 27          |
| 1601 Direct loans, gross .....  | 2,846       | 2,493       |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -9          | -21         |
| 1604 Direct loans and interest receivable, net .....                    | 2,837       | 2,472       |
| 1606 Acquired Real Property .....                                       | 1           | .....       |
| 1699 Value of assets related to direct loans .....                      | 2,838       | 2,472       |

|                      |  |       |       |
|----------------------|--|-------|-------|
| 1999                 | Total assets .....                                     | 2,878 | 2,539 |
| <b>LIABILITIES:</b>  |  |       |       |
| 2207                 | Non-Federal liabilities: Other .....                   | 6     | 3     |
| <b>NET POSITION:</b> |  |       |       |
| 3100                 | Unexpended Appropriations .....                        | 10    | 8     |
| 3300                 | Revolving Fund: Cumulative results of operations ..... | 2,862 | 2,528 |
| 3999                 | Total net position .....                               | 2,872 | 2,536 |
| 4999                 | Total liabilities and net position .....               | 2,878 | 2,539 |

**Trust Funds****MANUFACTURED HOUSING FEES TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 86-8119-0-7-376 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 0100                                | Balance, start of year .....  | 1           | 1       | 1         |
| Receipts:                           |   |             |         |           |
| 0240                                | General Fund Payment, Manufactured Housing Fee Trust Fund .....                       | 3           | 3       | 1         |
| 0260                                | Mobile Home Inspection and Monitoring Fees, Manufactured Housing Fee Trust Fund ..... | 3           | 3       | 7         |
| 0299                                | Total receipts and collections .....  | 6           | 6       | 8         |
| 0400                                | Total: Balances and collections .....   | 7           | 7       | 9         |
| Appropriations:                     |   |             |         |           |
| 0500                                | Manufactured Housing Fees Trust Fund .....  | -6          | -6      | -8        |
| 0799                                | Balance, end of year .....  | 1           | 1       | 1         |

**Program and Financing** (in millions of dollars)

| Identification code 86-8119-0-7-376     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0002                                    | Manufactured Housing Program Costs — Fees .....                  | 8           | 6       | 6         |
| 0004                                    | Manufactured Housing Program Costs — Direct Appropriations ..... | 2           | 4       | 4         |
| 0900                                    | Total new obligations .....                                      | 10          | 10      | 10        |

|                                |  |    |    |    |
|--------------------------------|--|----|----|----|
| <b>Budgetary Resources:</b>    |  |    |    |    |
| Unobligated balance:           |  |    |    |    |
| 1000                           | Unobligated balance brought forward, Oct 1 ..... | 15 | 11 | 7  |
| Budget authority:              |  |    |    |    |
| Appropriations, discretionary: |  |    |    |    |
| 1101                           | Appropriation (special or trust fund) .....      | 6  | 6  | 8  |
| 1160                           | Appropriation, discretionary (total) .....       | 6  | 6  | 8  |
| 1930                           | Total budgetary resources available .....        | 21 | 17 | 15 |
| Memorandum (non-add) entries:  |  |    |    |    |
| 1941                           | Unexpired unobligated balance, end of year ..... | 11 | 7  | 5  |

|                                     |  |    |    |    |
|-------------------------------------|--|----|----|----|
| <b>Change in obligated balance:</b> |  |    |    |    |
| Unpaid obligations:                 |  |    |    |    |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 2  | 5  | 6  |
| 3010                                | Obligations incurred, unexpired accounts .....   | 10 | 10 | 10 |
| 3020                                | Outlays (gross) .....                            | -7 | -9 | -8 |
| 3050                                | Unpaid obligations, end of year .....            | 5  | 6  | 8  |
| Memorandum (non-add) entries:       |  |    |    |    |
| 3100                                | Obligated balance, start of year .....           | 2  | 5  | 6  |
| 3200                                | Obligated balance, end of year .....             | 5  | 6  | 8  |

|   |  |   |   |   |
|---|--|---|---|---|
| <b>Budget authority and outlays, net:</b> |  |   |   |   |
| Discretionary:                            |  |   |   |   |
| 4000                                      | Budget authority, gross .....                  | 6 | 6 | 8 |
| Outlays, gross:                           |  |   |   |   |
| 4010                                      | Outlays from new discretionary authority ..... | 1 | 2 | 3 |
| 4011                                      | Outlays from discretionary balances .....      | 6 | 7 | 5 |
| 4020                                      | Outlays, gross (total) .....                   | 7 | 9 | 8 |
| 4180                                      | Budget authority, net (total) .....            | 6 | 6 | 8 |
| 4190                                      | Outlays, net (total) .....                     | 7 | 9 | 8 |

The National Manufactured Housing Construction and Safety Standards Act of 1974, as amended, authorizes the development and enforcement of appropriate standards for the construction, design, and performance of manufactured homes to assure their

## MANUFACTURED HOUSING FEES TRUST FUND—Continued

quality, durability, affordability, and safety. All manufactured homes produced, since the standards took effect in 1976, must comply with Federal construction and safety standards. A majority of States participate in the program under compliance plans approved by HUD. Program requirements mandated by the Manufactured Housing Improvement Act of 2000 include procurement of an Administering Organization, formation of a Consensus Committee to recommend revisions to and interpretations of the manufactured housing standards, development and implementation of standards for installation of manufactured housing, and development and implementation of a dispute resolution program.

Fees are charged to the manufacturers for each transportable section produced and may be charged to any dispute resolution and installation program participant. Prior to 2009, this fee income was sufficient to fully support program operations. However, due to a significant decline in manufactured housing production rates in recent years, fee collections have been insufficient to maintain program requirements. The 2014 Budget proposes to fund the costs of authorized activities necessary to carry out all aspects of the manufactured housing legislation with a direct appropriation of \$1 million and approximately \$6.53 million in estimated fees. In order to achieve this level of collections, HUD is also proposing to increase the label fee in 2014 from \$39 to up to \$100 per label. This fee increase is necessary to ensure that HUD can continue to fulfill its statutory responsibilities.

## Object Classification (in millions of dollars)

| Identification code 86–8119–0–7–376                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 7           | 7       | 7         |
| 41.0 Grants, subsidies, and contributions .....    | 3           | 3       | 3         |
| 99.9 Total new obligations .....                   | 10          | 10      | 10        |

## GOVERNMENT NATIONAL MORTGAGE ASSOCIATION

The Government National Mortgage Association (GNMA) was formed by Congress in 1968. It is a wholly owned government corporation within the U.S. Department of Housing and Urban Development (HUD). It was established to support Federal housing initiatives by providing liquidity to the secondary mortgage market and to attract capital from the global capital markets for the nation's mortgage markets. Its primary function is to guarantee the timely payment of principal and interest on Mortgage-Backed Securities (MBS) that are backed by loans insured or guaranteed by the Federal Housing Administration (FHA), the Department of Veterans Affairs (VA), Rural Development in the U.S. Department of Agriculture, and HUD's Office of Public and Indian Housing.

## Federal Funds

## GUARANTEES OF MORTGAGE-BACKED SECURITIES CAPITAL RESERVE ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 86–0238–0–1–371                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             |             |         | 8,489     |
| 1010 Unobligated balance transfer to other accts [86–0186] .....  |             |         | –100      |
| 1011 Unobligated balance transfer from other accts [86–4238] .... |             | 1,576   |           |
| 1011 Unobligated balance transfer from other accts [86–4240] .... |             | 200     | 200       |

|      |   |       |       |
|------|---|-------|-------|
| 1050 | Unobligated balance (total) .....                             | 1,776 | 8,589 |
|      | <b>Budget authority:</b>                                      |       |       |
|      | Appropriations, mandatory:                                    |       |       |
| 1221 | Appropriations transferred from other accts [86–0186] ....    | 6,083 |       |
| 1260 | Appropriations, mandatory (total) .....                       | 6,083 |       |
|      | Spending authority from offsetting collections, mandatory:    |       |       |
| 1800 | Offsetting collections (negative subsidy) .....               | 580   | 542   |
| 1800 | Offsetting collections (interest on investments) .....        | 50    | 130   |
| 1850 | Spending auth from offsetting collections, mand (total) ..... | 630   | 672   |
| 1900 | Budget authority (total) .....                                | 6,713 | 672   |
| 1930 | Total budgetary resources available .....                     | 8,489 | 9,261 |
|      | <b>Memorandum (non-add) entries:</b>                          |       |       |
| 1941 | Unexpired unobligated balance, end of year .....              | 8,489 | 9,261 |

## Budget authority and outlays, net:

|      |   |       |      |
|------|---|-------|------|
|      | <b>Discretionary:</b>                               |       |      |
|      | Offsets against gross budget authority and outlays: |       |      |
|      | Offsetting collections (collected) from:            |       |      |
| 4030 | Federal sources .....                               | –580  | –542 |
|      | <b>Mandatory:</b>                                   |       |      |
| 4090 | Budget authority, gross .....                       | 6,713 | 672  |
|      | Offsets against gross budget authority and outlays: |       |      |
|      | Offsetting collections (collected) from:            |       |      |
| 4121 | Interest on Federal securities .....                | –50   | –130 |
| 4180 | Budget authority, net (total) .....                 | 6,083 |      |
| 4190 | Outlays, net (total) .....                          | –630  | –672 |

## Memorandum (non-add) entries:

|      |   |       |       |
|------|---|-------|-------|
| 5000 | Total investments, SOY: Federal securities: Par value ..... |       | 7,659 |
| 5001 | Total investments, EOY: Federal securities: Par value ..... | 7,659 | 7,678 |

In 2013, a Capital Reserve account was established for the Government National Mortgage Association. Financial reserves of the Association were transferred from the reserve receipt and liquidating accounts to the Capital Reserve account. This mandatory account earns interest on Treasury investments and is the eventual depository for all resources collected by the Association. The amount of reserves held in this account fluctuates with changes in economic conditions, issuer performance, and other factors that cause actual reserve levels in the future to vary from projections. The Liquidating account now only reflects cash flows related to pre-1998 books of business.

## GUARANTEES OF MORTGAGE-BACKED SECURITIES LOAN GUARANTEE PROGRAM ACCOUNT

*New commitments to issue guarantees to carry out the purposes of section 306 of the National Housing Act, as amended (12 U.S.C. 1721(g)), shall not exceed \$500,000,000, to remain available until September 30, 2015: Provided, That \$21,200,000 shall be available for necessary salaries and expenses of the Office of Government National Mortgage Association: Provided further, That to the extent that guaranteed loan commitments will and do exceed \$155,000,000,000 on or before April 1, 2014, an additional \$100 for necessary salaries and expenses shall be available until expended for each \$1,000,000 in additional guaranteed loan commitments (including a pro rata amount for any amount below \$1,000,000), but in no case shall funds made available by this proviso exceed \$3,000,000: Provided further, That receipts from Commitment and Multiclass fees collected pursuant to title III of the National Housing Act, as amended, shall be credited as offsetting collections to this account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 86–0186–0–1–371 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 0100                                | Balance, start of year .....  | 5,486   | 6,083     |
|                                     | <b>Receipts:</b>  |         |           |
| 0220                                | GNMA-guarantees of Mortgage Backed Securities Guarantee Loans, Negative Subsidies ..... | 737     |           |
| 0400                                | Total: Balances and collections .....   | 6,223   | 6,083     |



|                 |   |        |        |
|-----------------|---|--------|--------|
| Appropriations: |   |        |        |
| 0500            | Guarantees of Mortgage-backed Securities Loan Guarantee Program Account ..... | -140   | .....  |
| 0501            | Guarantees of Mortgage-backed Securities Loan Guarantee Program Account ..... | -6,083 | .....  |
| 0599            | Total appropriations .....  | -140   | -6,083 |
| 0799            | Balance, end of year .....  | 6,083  | .....  |

**Program and Financing** (in millions of dollars)

| Identification code 86-0186-0-1-371                            |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |  |             |         |           |
| Credit program obligations:                                    |  |             |         |           |
| 0707   | Reestimates of loan guarantee subsidy .....  | 132         | 97      | .....     |
| 0708   | Interest on reestimates of loan guarantee subsidy .....  | 8           | 5       | .....     |
| 0709   | Administrative expenses .....  | 14          | 20      | 164       |
| 0799   | Total direct obligations .....   | 154         | 122     | 164       |
| 0801   | Servicing Expenses .....   | .....       | 72      | 62        |
| 0900   | Total new obligations .....  | 154         | 194     | 226       |
| <b>Budgetary Resources:</b>                                    |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....   | .....       | .....   | 21        |
| 1011   | Unobligated balance transfer from other accts [86-0238] .....  | .....       | .....   | 100       |
| 1050   | Unobligated balance (total) .....  | .....       | .....   | 121       |
| Budget authority:  |  |             |         |           |
| Appropriations, mandatory:                                     |  |             |         |           |
| 1201   | Appropriation (special or trust fund) .....  | 140         | .....   | .....     |
| 1201   | Pre-2013 Accumulated GNMA Receipts .....   | .....       | 6,083   | .....     |
| 1220   | Appropriations transferred to other accts [86-0186] .....  | -140        | .....   | .....     |
| 1220   | Receipts transferred to GNMA Capital Reserve [86-0238] .....   | .....       | -6,083  | .....     |
| 1221   | Appropriations transferred from other accts [86-0186] .....  | 140         | .....   | .....     |
| 1260   | Appropriations, mandatory (total) .....  | 140         | .....   | .....     |
| Spending authority from offsetting collections, discretionary: |  |             |         |           |
| 1700   | Collected .....  | 121         | 79      | 77        |
| 1725   | Spending authority from offsetting collections precluded from obligation (limitation on obligations) ..... | -102        | -59     | -56       |
| 1750   | Spending auth from offsetting collections, disc (total) .....  | 19          | 20      | 21        |
| Spending authority from offsetting collections, mandatory:     |  |             |         |           |
| 1800   | Collected .....  | .....       | 195     | 120       |
| 1850   | Spending auth from offsetting collections, mand (total) .....  | .....       | 195     | 120       |
| 1900   | Budget authority (total) .....   | 159         | 215     | 141       |
| 1930   | Total budgetary resources available .....  | 159         | 215     | 262       |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 1940   | Unobligated balance expiring .....   | -5          | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....   | .....       | 21      | 36        |
| <b>Change in obligated balance:</b>                            |  |             |         |           |
| Unpaid obligations:  |  |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....   | .....       | 1       | 3         |
| 3010   | Obligations incurred, unexpired accounts .....   | 154         | 194     | 226       |
| 3020   | Outlays (gross) .....  | -153        | -192    | -226      |
| 3050   | Unpaid obligations, end of year .....  | 1           | 3       | 3         |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 3100   | Obligated balance, start of year .....   | .....       | 1       | 3         |
| 3200   | Obligated balance, end of year .....   | 1           | 3       | 3         |

|   |  |       |       |      |
|---|--|-------|-------|------|
| <b>Budget authority and outlays, net:</b>           |  |       |       |      |
| Discretionary:                                      |  |       |       |      |
| 4000  | Budget authority, gross .....                  | 19    | 20    | 21   |
| Outlays, gross:                                     |  |       |       |      |
| 4010  | Outlays from new discretionary authority ..... | 13    | 18    | 19   |
| 4011  | Outlays from discretionary balances .....      | ..... | ..... | 2    |
| 4020  | Outlays, gross (total) .....                   | 13    | 18    | 21   |
| Offsets against gross budget authority and outlays: |  |       |       |      |
| Offsetting collections (collected) from:            |  |       |       |      |
| 4033  | Non-Federal sources .....                      | -121  | -79   | -77  |
| Mandatory:  |  |       |       |      |
| 4090  | Budget authority, gross .....                  | 140   | 195   | 120  |
| Outlays, gross:                                     |  |       |       |      |
| 4100  | Outlays from new mandatory authority .....     | 140   | 72    | 62   |
| 4101  | Outlays from mandatory balances .....          | ..... | 102   | 143  |
| 4110  | Outlays, gross (total) .....                   | 140   | 174   | 205  |
| Offsets against gross budget authority and outlays: |  |       |       |      |
| Offsetting collections (collected) from:            |  |       |       |      |
| 4123  | Non-Federal sources .....                      | ..... | -195  | -120 |

|      |                                     |    |     |     |
|------|-------------------------------------|----|-----|-----|
| 4180 | Budget authority, net (total) ..... | 38 | -59 | -56 |
| 4190 | Outlays, net (total) .....          | 32 | -82 | 29  |

**Memorandum (non-add) entries:**

|      |  |       |     |     |
|------|--|-------|-----|-----|
| 5090 | Unavailable balance, SOY: Offsetting collections ..... | ..... | 102 | 161 |
| 5091 | Unavailable balance, EOY: Offsetting collections ..... | 102   | 161 | 217 |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 86-0186-0-1-371                             |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |  |             |         |           |
| 215001  | Guarantees of Mortgage-Backed Securities ..... | 388,029     | 252,000 | 246,500   |
| 215999  | Total loan guarantee levels .....              | 388,029     | 252,000 | 246,500   |
| Guaranteed loan subsidy (in percent):                           |  |             |         |           |
| 232001  | Guarantees of Mortgage-Backed Securities ..... | -0.19       | -0.23   | -0.22     |
| 232999  | Weighted average subsidy rate .....            | -0.19       | -0.23   | -0.22     |
| Guaranteed loan subsidy budget authority:                       |  |             |         |           |
| 233001  | Guarantees of Mortgage-Backed Securities ..... | -737        | -580    | -542      |
| 233999  | Total subsidy budget authority .....           | -737        | -580    | -542      |
| Guaranteed loan subsidy outlays:                                |  |             |         |           |
| 234001  | Guarantees of Mortgage-Backed Securities ..... | -737        | -580    | -542      |
| 234999  | Total subsidy outlays .....                    | -737        | -580    | -542      |
| Guaranteed loan upward reestimates:                             |  |             |         |           |
| 235001  | Guarantees of Mortgage-Backed Securities ..... | 140         | 102     | .....     |
| 235999  | Total upward reestimate budget authority ..... | 140         | 102     | .....     |
| Guaranteed loan downward reestimates:                           |  |             |         |           |
| Administrative expense data:                                    |  |             |         |           |
| 3510  | Budget authority .....                         | 20          | 20      | 21        |
| 3590  | Outlays from new authority .....               | 13          | 18      | 18        |

The Budget requests loan commitment authority of \$500 billion in 2014. The Budget also requests \$21 million for the personnel costs of the Government National Mortgage Association (GNMA), to be offset by Commitment and Multiclass fees. Before 2012, personnel expenses were funded in the "Office of Government National Mortgage Association" appropriation under the Management and Administration section of the HUD budget.

**Object Classification** (in millions of dollars)

| Identification code 86-0186-0-1-371 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 10          | 15      | 17        |
| 12.1                                | Civilian personnel benefits .....                 | 3           | 4       | 3         |
| 21.0                                | Travel and transportation of persons .....        | .....       | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....     | 1           | .....   | 143       |
| 41.0                                | Grants, subsidies, and contributions .....        | 140         | 97      | .....     |
| 43.0                                | Interest and dividends .....                      | .....       | 5       | .....     |
| 99.0                                | Direct obligations .....                          | 154         | 122     | 164       |
| 99.0                                | Reimbursable obligations .....                    | .....       | 72      | 62        |
| 99.9                                | Total new obligations .....                       | 154         | 194     | 226       |

**Employment Summary**

| Identification code 86-0186-0-1-371 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 89          | 122     | 130       |

**GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 86-4240-0-3-371     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0003                                    | Advances and other .....                         | 2,808       | 5,259   | 4,056     |
| 0004                                    | Preservation of collateral .....                 | .....       | 245     | 265       |
| 0091                                    | Subtotal - Advances and Operating Expenses ..... | 2,808       | 5,504   | 4,321     |
| Credit program obligations:             |  |             |         |           |
| 0740                                    | Negative subsidy obligations .....               | 737         | 580     | 542       |

**GUARANTEES OF MORTGAGE-BACKED SECURITIES FINANCING  
ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 86-4240-0-3-371                                       | 2012 actual | 2013 CR   | 2014 est. |
|---|-------------|-----------|-----------|
| 0900 Total new obligations .....  | 3,545       | 6,084     | 4,863     |
| <b>Budgetary Resources:</b>   |             |           |           |
| Unobligated balance:  |             |           |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 1,138       | 680       | 881       |
| 1010 Unobligated balance transfer to other accts [86-0238] .....          | .....       | -200      | -200      |
| 1050 Unobligated balance (total) .....                                    | 1,138       | 480       | 681       |
| Financing authority:  |             |           |           |
| Spending authority from offsetting collections, mandatory:                |             |           |           |
| 1800 Collected .....  | 3,082       | 6,485     | 4,234     |
| 1801 Change in uncollected payments, Federal sources .....                | 5           | .....     | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 3,087       | 6,485     | 4,234     |
| 1930 Total budgetary resources available .....                            | 4,225       | 6,965     | 4,915     |
| Memorandum (non-add) entries:   |             |           |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 680         | 881       | 52        |
| <b>Change in obligated balance:</b>                                       |             |           |           |
| Unpaid obligations:   |             |           |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 466         | 209       | 309       |
| 3010 Obligations incurred, unexpired accounts .....                       | 3,545       | 6,084     | 4,863     |
| 3020 Financing disbursements (gross) .....                                | -3,802      | -5,984    | -4,786    |
| 3050 Unpaid obligations, end of year .....                                | 209         | 309       | 386       |
| Uncollected payments:   |             |           |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | -2          | -7        | -7        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | -5          | .....     | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -7          | -7        | -7        |
| Memorandum (non-add) entries:   |             |           |           |
| 3100 Obligated balance, start of year .....                               | 464         | 202       | 302       |
| 3200 Obligated balance, end of year .....                                 | 202         | 302       | 379       |
| <b>Financing authority and disbursements, net:</b>                        |             |           |           |
| Mandatory:  |             |           |           |
| 4090 Financing authority, gross .....                                     | 3,087       | 6,485     | 4,234     |
| Financing disbursements:  |             |           |           |
| 4110 Financing disbursements, gross .....                                 | 3,802       | 5,984     | 4,786     |
| Offsets against gross financing authority and disbursements:              |             |           |           |
| Offsetting collections (collected) from:                                  |             |           |           |
| 4120 Federal sources .....  | -140        | -102      | .....     |
| 4122 Interest on uninvested funds .....                                   | -35         | -89       | -31       |
| 4123 Guarantee Fees .....   | -774        | -724      | -834      |
| 4123 Commitment and other fees .....                                      | -3          | .....     | .....     |
| 4123 Multiclass fees .....  | -22         | .....     | .....     |
| 4123 Repayment of advances .....  | -2,051      | -5,570    | -3,369    |
| 4123 Servicing Fees .....   | -57         | .....     | .....     |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -3,082      | -6,485    | -4,234    |
| Additional offsets against financing authority only (total):              |             |           |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -5          | .....     | .....     |
| 4170 Financing disbursements, net (mandatory) .....                       | 720         | -501      | 552       |
| 4190 Financing disbursements, net (total) .....                           | 720         | -501      | 552       |
| <b>Status of Guaranteed Loans (in millions of dollars)</b>                |             |           |           |
| Identification code 86-4240-0-3-371                                       | 2012 actual | 2013 CR   | 2014 est. |
| Position with respect to appropriations act limitation on commitments:    |             |           |           |
| 2111 Limitation on guaranteed loans made by private lenders .....         | 500,000     | 500,000   | 500,000   |
| 2121 Limitation available from carry-forward .....                        | 321,036     | 414,768   | 500,000   |
| 2142 Uncommitted loan guarantee limitation .....                          | -18,239     | -162,768  | -253,500  |
| 2143 Uncommitted limitation carried forward .....                         | -414,768    | -500,000  | -500,000  |
| 2150 Total guaranteed loan commitments .....                              | 388,029     | 252,000   | 246,500   |
| 2199 Guaranteed amount of guaranteed loan commitments .....               | 388,029     | 252,000   | 246,500   |
| Cumulative balance of guaranteed loans outstanding:                       |             |           |           |
| 2210 Outstanding, start of year .....                                     | 1,221,685   | 1,341,405 | 1,425,405 |
| 2231 Disbursements of new guaranteed loans .....                          | 388,029     | 252,000   | 246,500   |
| 2251 Repayments and prepayments .....                                     | -268,309    | -168,000  | -199,557  |
| 2290 Outstanding, end of year .....                                       | 1,341,405   | 1,425,405 | 1,472,348 |
| Memorandum:   |             |           |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 1,341,405   | 1,425,405 | 1,472,348 |

This non-budgetary account records all cash flows to and from the Government resulting from the loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. No administrative expenses can be recorded in the financing account.

**Balance Sheet (in millions of dollars)**

| Identification code 86-4240-0-3-371  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....   | 1,602       | 882         |
| 1206 Non-Federal assets: Receivables, net .....  | 2,478       | 6,882       |
| 1401 Net value of assets related to post-1991 direct loans receivable:   |             |             |
| Direct loans receivable, gross .....   | 7,451       | 7,946       |
| 1505 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Allowance for subsidy cost (-) ..... | -462        | -342        |
| 1999 Total assets .....  | 11,069      | 15,368      |
| <b>LIABILITIES:</b>  |             |             |
| Non-Federal liabilities:   |             |             |
| 2201 Accounts payable .....  | 313         | 111         |
| 2207 Other .....   | 2,724       | 7,089       |
| 2999 Total liabilities .....   | 3,037       | 7,200       |
| <b>NET POSITION:</b>   |             |             |
| 3300 Cumulative results of operations .....  | 8,032       | 8,168       |
| 4999 Total liabilities and net position .....  | 11,069      | 15,368      |

**GUARANTEES OF MORTGAGE-BACKED SECURITIES LIQUIDATING ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 86-4238-0-3-371                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Administrative contract expenses .....                        | 243         | 353     | .....     |
| Operating expenses   |             |         |           |
| 0003 Servicing expenses .....                                      | 24          | .....   | .....     |
| 0091 Direct program activities, subtotal .....                     | 267         | 353     | .....     |
| Capital investment   |             |         |           |
| 0101 Advances of guaranty payments .....                           | .....       | 4       | 3         |
| 0900 Total new obligations .....                                   | 267         | 357     | 3         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2,199       | 1,991   | 107       |
| 1010 Unobligated balance transfer to other accts [86-0238] .....   | .....       | -1,576  | .....     |
| 1050 Unobligated balance (total) .....                             | 2,199       | 415     | 107       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 61          | 49      | .....     |
| 1801 Change in uncollected payments, Federal sources .....         | -2          | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 59          | 49      | .....     |
| 1930 Total budgetary resources available .....                     | 2,258       | 464     | 107       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1,991       | 107     | 104       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 52          | 124     | 117       |
| 3010 Obligations incurred, unexpired accounts .....                | 267         | 357     | 3         |
| 3020 Outlays (gross) .....   | -195        | -364    | -120      |
| 3050 Unpaid obligations, end of year .....                         | 124         | 117     | .....     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -12         | -10     | -10       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 2           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -10         | -10     | -10       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 40          | 114     | 107       |
| 3200 Obligated balance, end of year .....                          | 114         | 107     | -10       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 59          | 49      | .....     |

|                                      |   |       |       |       |
|--------------------------------------|---|-------|-------|-------|
| 4100                                 | Outlays, gross:   |       |       |       |
| 4101                                 | Outlays from new mandatory authority .....                      | 1     | 49    | ..... |
| 4101                                 | Outlays from mandatory balances .....                           | 194   | 315   | 120   |
| 4110                                 | Outlays, gross (total) .....                                    | 195   | 364   | 120   |
|                                      | Offsets against gross budget authority and outlays:             |       |       |       |
|                                      | Offsetting collections (collected) from:                        |       |       |       |
| 4121                                 | Interest on Federal securities .....                            | -60   | -40   | ..... |
| 4123                                 | Non-Federal sources .....                                       | -1    | -9    | ..... |
| 4130                                 | Offsets against gross budget authority and outlays (total) .... | -61   | -49   | ..... |
|                                      | Additional offsets against gross budget authority only:         |       |       |       |
| 4140                                 | Change in uncollected pymts, Fed sources, unexpired .....       | 2     |       | ..... |
| 4170                                 | Outlays, net (mandatory) .....                                  | 134   | 315   | 120   |
| 4190                                 | Outlays, net (total) .....                                      | 134   | 315   | 120   |
| <b>Memorandum (non-add) entries:</b> |   |       |       |       |
| 5000                                 | Total investments, SOY: Federal securities: Par value .....     | 2,134 | 2,117 | 100   |
| 5001                                 | Total investments, EOY: Federal securities: Par value .....     | 2,117 | 100   | 100   |

**Status of Direct Loans** (in millions of dollars)

| Identification code 86-4238-0-3-371             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year .....           | 8           | 8       | 7         |
| 1263 Write-offs for default: Direct loans ..... |             | -1      | -1        |
| 1290 Outstanding, end of year .....             | 8           | 7       | 6         |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 86-4238-0-3-371                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 4           | 2       | .....     |
| 2251 Repayments and prepayments .....                                     | -2          | -2      | .....     |
| 2290 Outstanding, end of year .....                                       | 2           |         | .....     |
| <b>Memorandum:</b>  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 2           |         | .....     |

This liquidating account records, for this program, all cash flows to and from the Government resulting from MMI/CMHI loans insured prior to 1992 and is shown on a cash basis. All new activity in this program in 1992 and thereafter (including modifications of loans insured in any year) is recorded in the corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

| Identification code 86-4238-0-3-371                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                                  | 119         | .....       |
| Investments in US securities:   |             |             |
| 1102 Treasury securities, par .....                                     | 2,127       | 2,117       |
| 1106 Receivables, net .....   | 12          | 6           |
| 1601 Direct loans, gross .....  | 8           | 8           |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -4          | -4          |
| 1699 Value of assets related to direct loans .....                      | 4           | 4           |
| 1901 Other Federal assets: Other assets .....                           | 31          | 41          |
| 1999 Total assets .....   | 2,293       | 2,168       |
| <b>LIABILITIES:</b>   |             |             |
| Non-Federal liabilities:  |             |             |
| 2201 Accounts payable .....   | 52          | 123         |
| 2207 Other .....  | 1           | 1           |
| 2999 Total liabilities .....  | 53          | 124         |
| <b>NET POSITION:</b>  |             |             |
| 3300 Cumulative results of operations .....                             | 2,240       | 2,044       |
| 4999 Total liabilities and net position .....                           | 2,293       | 2,168       |

**Object Classification** (in millions of dollars)

| Identification code 86-4238-0-3-371                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 267         | 353     | .....     |

|      |                             |     |     |
|------|-----------------------------|-----|-----|
| 33.0 | Investments and loans ..... | 4   | 3   |
| 99.9 | Total new obligations ..... | 267 | 357 |
|      |                             | 3   |     |

**POLICY DEVELOPMENT AND RESEARCH****Federal Funds****RESEARCH AND TECHNOLOGY**

*For contracts, grants, and necessary expenses of programs of research and studies relating to housing and urban problems, not otherwise provided for, as authorized by title V of the Housing and Urban Development Act of 1970 (12 U.S.C. 1701z-1 et seq.), including carrying out the functions of the Secretary of Housing and Urban Development under section 1(a)(1)(i) of Reorganization Plan No. 2 of 1968, \$50,000,000, to remain available until September 30, 2015: Provided, That with respect to amounts made available under this heading, notwithstanding section 204 of this title, the Secretary may enter into cooperative agreements funded with philanthropic entities, other Federal agencies, or State or local governments and their agencies for research projects: Provided further, That with respect to the previous proviso, such partners to the cooperative agreements must contribute at least a 50 percent match toward the cost of the project.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 86-0108-0-1-451                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Contracts, Grants and Cooperative Agreements .....           | 51          | 46      | 50        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 3           | 5       | 5         |
| 1021 Recoveries of prior year unpaid obligations .....            | 7           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 10          | 5       | 5         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 46          | 46      | 50        |
| 1160 Appropriation, discretionary (total) .....                   | 46          | 46      | 50        |
| 1930 Total budgetary resources available .....                    | 56          | 51      | 55        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 46          | 30      | 20        |
| 3010 Obligations incurred, unexpired accounts .....               | 51          | 46      | 50        |
| 3020 Outlays (gross) .....  | -59         | -56     | -56       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -7          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 30          | 20      | 14        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 46          | 30      | 20        |
| 3200 Obligated balance, end of year .....                         | 30          | 20      | 14        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 46          | 46      | 50        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 34          | 34      | 37        |
| 4011 Outlays from discretionary balances .....                    | 25          | 22      | 19        |
| 4020 Outlays, gross (total) .....                                 | 59          | 56      | 56        |
| 4180 Budget authority, net (total) .....                          | 46          | 46      | 50        |
| 4190 Outlays, net (total) .....                                   | 59          | 56      | 56        |

The Housing and Urban Development Act of 1970 directs the Secretary to undertake programs of research, studies, testing, and demonstrations related to HUD's mission. These functions are carried out by HUD's Office of Policy Development and Re-

## RESEARCH AND TECHNOLOGY—Continued

search, and through contracts with industry, nonprofit research organizations, educational institutions, and through non-competitive cooperative agreements with State and local governments, other Federal agencies, and philanthropic entities.

The Budget requests \$50 million for HUD's Research and Technology program. This request includes funding to restore and enhance various national housing surveys that are rich sources of data on the nation's housing stock, including the American Housing Survey, the Survey of New Home Sales and Completions, the Survey of Market Absorption of Multifamily Units, the Survey of New Manufactured Housing Placements, and the Rental Housing Finance Survey. Also included in the request is funding for research dissemination activities, for the Urban Data Systems, for housing finance studies, and for Research Partnerships. Research will also be conducted as part of HUD's Transformation Initiative and other set-asides.

## Object Classification (in millions of dollars)

| Identification code 86-0108-0-1-451                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.2 Other services from non-Federal sources .....       | 9           | 7       | 10        |
| 25.3 Other goods and services from Federal sources ..... | 41          | 38      | 39        |
| 41.0 Grants, subsidies, and contributions .....          | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 51          | 46      | 50        |

## FAIR HOUSING AND EQUAL OPPORTUNITY

## Federal Funds

## FAIR HOUSING ACTIVITIES

*For contracts, grants, and other assistance, not otherwise provided for, as authorized by title VIII of the Civil Rights Act of 1968, as amended by the Fair Housing Amendments Act of 1988, and section 561 of the Housing and Community Development Act of 1987, as amended, \$71,000,000, to remain available until September 30, 2015, of which \$44,100,000 shall be to carry out activities pursuant to such section 561: Provided, That, notwithstanding 31 U.S.C. 3302, the Secretary may assess and collect fees to cover the costs of the Fair Housing Training Academy, and may use such funds to provide such training: Provided further, That no funds made available under this heading shall be used to lobby the executive or legislative branches of the Federal Government in connection with a specific contract, grant or loan: Provided further, That, of the funds made available under this heading, \$500,000 shall be available to the Secretary of Housing and Urban Development for the creation and promotion of translated materials and other programs that support the assistance of persons with limited English proficiency in utilizing the services provided by the Department of Housing and Urban Development.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0144-0-1-751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Fair Housing Assistance .....                    | 77          | 36      | 24        |
| 0002 Fair Housing Initiatives .....                   |             | 43      | 44        |
| 0004 Limited English Proficiency Program .....        |             | 1       | 1         |
| 0005 National Fair Housing Training Academy .....     |             |         | 2         |
| 0900 Total new obligations (object class 41.0) .....  | 77          | 80      | 71        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 15          | 9       |           |

## Budget authority:

|   |    |    |    |
|---|----|----|----|
| Appropriations, discretionary:                        |    |    |    |
| 1100 Appropriation .....                              | 71 | 71 | 71 |
| 1160 Appropriation, discretionary (total) .....       | 71 | 71 | 71 |
| 1930 Total budgetary resources available .....        | 86 | 80 | 71 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 9  |    |    |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 96  | 102 | 110 |
| 3010 Obligations incurred, unexpired accounts .....             | 77  | 80  | 71  |
| 3020 Outlays (gross) .....                                      | -70 | -72 | -79 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 102 | 110 | 102 |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 96  | 102 | 110 |
| 3200 Obligated balance, end of year .....                       | 102 | 110 | 102 |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 71 | 71 | 71 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 4  | 7  | 7  |
| 4011 Outlays from discretionary balances .....      | 66 | 65 | 72 |
| 4020 Outlays, gross (total) .....                   | 70 | 72 | 79 |
| 4180 Budget authority, net (total) .....            | 71 | 71 | 71 |
| 4190 Outlays, net (total) .....                     | 70 | 72 | 79 |

The Budget requests \$71 million for fair housing activities to support efforts to end housing discrimination. Of the amount requested, \$24.6 million is for the Fair Housing Assistance Program (FHAP), \$1.8 million is for the National Fair Housing Training Academy, \$44.1 million is for the Fair Housing Initiatives Program (FHIP), and \$500 thousand is for the Limited English Proficiency Initiative.

The National Fair Housing Training Academy (NFTHA) provides comprehensive fair housing and civil rights training for investigators, local agencies, educators, attorneys, industry representatives and other housing industry professionals.

FHAP, authorized by Title VIII of the Civil Rights Act of 1968, as amended, provides funding to State and local agencies to assure prompt and effective processing of Title VIII (Civil Rights Act of 1968) complaints under substantially equivalent State and local fair housing laws. To be eligible for assistance through FHAP, an agency must demonstrate that the fair housing law it administers is substantially equivalent to the Fair Housing Act. It is estimated that there will be a total of 100 FHAP jurisdictions in 2014. The funding requested for FHAP will support fair housing enforcement by funding State and local fair housing organizations to meet the needs of currently underserved populations. It will also address the persistently high rate of discrimination against minorities and people with disabilities as identified by HUD's 2000 Housing Discrimination Study and provide additional support to FHAP agencies on the heels of the State and local budget crisis.

FHIP, authorized by the Housing and Community Development Act of 1987, as amended by the Housing and Community Development Act of 1992, provides funding to States and local governments, and to public and private non-profit organizations that administer programs to prevent or eliminate discriminatory housing practices through enforcement, education, and outreach.

## OFFICE OF LEAD HAZARD CONTROL AND HEALTHY HOMES

### Federal Funds

#### LEAD HAZARD REDUCTION

*For the Lead Hazard Reduction Program, as authorized by section 1011 of the Residential Lead-Based Paint Hazard Reduction Act of 1992, \$120,000,000, to remain available until September 30, 2015: Provided, That up to \$25,000,000 of that amount shall be for the Healthy Homes Initiative, pursuant to sections 501 and 502 of the Housing and Urban Development Act of 1970 that shall include research, studies, testing, and demonstration efforts, including education and outreach concerning lead-based paint poisoning and other housing-related diseases and hazards: Provided further, That for purposes of environmental review, pursuant to the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and other provisions of the law that further the purposes of such Act, a grant under the Healthy Homes Initiative or the Lead Technical Studies program under this heading or under prior appropriations Acts for such purposes under this heading, shall be considered to be funds for a special project for purposes of section 305(c) of the Multifamily Housing Property Disposition Reform Act of 1994: Provided further, That amounts made available under this heading in this or prior appropriations Acts, and that still remain available, may be used for any purpose under this heading notwithstanding the purpose for which such amounts were appropriated if a program competition is undersubscribed and there are other program competitions under this heading that are oversubscribed.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 86-0174-0-1-451                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Lead Hazard Reduction Grants .....                           | 73          | 67      | 90        |
| 0002 Lead Hazard Reduction Demonstration .....                    | 32          | 45      | .....     |
| 0003 Healthy Homes .....  | 13          | 11      | 25        |
| 0004 Lead Technical Studies .....                                 | 2           | 5       | 4         |
| 0900 Total new obligations (object class 41.0) .....              | 120         | 128     | 119       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 7           | 9       | 2         |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 9           | 9       | 2         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 120         | 121     | 120       |
| 1120 Appropriations transferred to other accts [86-0402] .....    | .....       | .....   | -1        |
| 1160 Appropriation, discretionary (total) .....                   | 120         | 121     | 119       |
| 1930 Total budgetary resources available .....                    | 129         | 130     | 121       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 9           | 2       | 2         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 410         | 371     | 369       |
| 3010 Obligations incurred, unexpired accounts .....               | 120         | 128     | 119       |
| 3020 Outlays (gross) .....  | -148        | -130    | -130      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -9          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 371         | 369     | 358       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 410         | 371     | 369       |
| 3200 Obligated balance, end of year .....                         | 371         | 369     | 358       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 120         | 121     | 119       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 1           | 2       | 2         |
| 4011 Outlays from discretionary balances .....                    | 147         | 128     | 128       |
| 4020 Outlays, gross (total) .....                                 | 148         | 130     | 130       |

|  |     |     |     |
|--|-----|-----|-----|
| 4180 Budget authority, net (total) ..... | 120 | 121 | 119 |
| 4190 Outlays, net (total) .....          | 148 | 130 | 130 |

Title X of the Housing and Community Development Act of 1992 (Public Law 102-550), known as the Residential Lead-Based Paint Hazard Reduction Act, authorized the Secretary to establish the Lead-Based Paint Hazard Control Grant Program. The primary purpose of the program is to reduce the exposure of young children to lead-based paint and other environmental hazards in their homes, including protecting them from permanent developmental problems and asthma, and exposure to pesticides and carbon monoxide.

The program plays a critical role in addressing the number one environmental disease impacting children: lead poisoning. The Budget includes \$90 million for HUD's Lead Hazard Control Program, \$25 million for the Healthy Homes Program, and \$4 million for Technical Studies. The 2014 Budget also includes a provision that would allow the transfer of unobligated balances and recaptured funds from undersubscribed competitive programs to other competitive programs experiencing oversubscription.

The Lead Hazard Control Grant Programs provides grants of \$1 million to \$4 million to State and local governments and Indian tribes for control of lead-based paint hazards in low-income rental and owner-occupied housing. The grants are also designed to facilitate the development of a housing maintenance and rehabilitation workforce trained in lead-safe work practices and a certified hazard evaluation and control industry. In awarding grants, HUD promotes the use of new, low-cost approaches to hazard control that can be replicated across the nation.

The Healthy Homes Program enables the Department to assess and control housing-related hazards that contribute to childhood diseases and injuries. With funding from this program, grantees implement and evaluate methods for controlling two or more housing-related diseases through a single intervention.

The Office of Healthy Homes and Lead Hazard Control will continue its Technical Support program, which includes public education; support for State and local agencies, private property owners, HUD programs and field offices and professional organizations; technical studies to improve program policy and implementation; quality control to ensure that the evaluation and control of lead-based paint hazards is done properly in HUD-assisted housing; and development of standards, technical guidance, regulations and improved testing and hazard control methods.

## MANAGEMENT AND ADMINISTRATION

### Federal Funds

#### EXECUTIVE OFFICES

*For necessary salaries and expenses for Executive Offices, which shall be comprised of the offices of the Secretary, Deputy Secretary, Hearings and Appeals, Congressional and Intergovernmental Relations, Public Affairs, and Center for Faith-Based and Community Initiatives, \$14,540,000: Provided, That not to exceed \$25,000 of the amount made available under this heading shall be available to the Secretary for official reception and representation expenses as the Secretary may determine.*

#### Program and Financing (in millions of dollars)

| Identification code 86-0332-0-1-604     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Personnel costs .....              | .....       | .....   | 14        |
| 0002 Non-Personnel cost .....           | .....       | .....   | 1         |
| 0900 Total new obligations .....        | .....       | .....   | 15        |

EXECUTIVE OFFICES—Continued  
Program and Financing—Continued

| Identification code 86-0332-0-1-604                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            |             |         | 15        |
| 1160 Appropriation, discretionary (total) .....     |             |         | 15        |
| 1930 Total budgetary resources available .....      |             |         | 15        |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 15        |
| 3020 Outlays (gross) .....                          |             |         | -15       |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  |             |         | 15        |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | 15        |
| 4180 Budget authority, net (total) .....            |             |         | 15        |
| 4190 Outlays, net (total) .....                     |             |         | 15        |

The 2014 Budget proposes to streamline HUD's salaries and expenses accounts to provide greater flexibility in funding the executive and support offices. Funding for these functions is proposed to be consolidated in two accounts, Executive Offices and Administrative Support Offices. The Executive Offices account supports the total salaries and expenses of various high level management offices, including the immediate offices of the Secretary; Deputy Secretary; Congressional and Intergovernmental Relations; Public Affairs; Hearing and Appeals; and the Center for Faith-Based and Community Initiatives.

## Object Classification (in millions of dollars)

| Identification code 86-0332-0-1-604                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             |         | 11        |
| 12.1 Civilian personnel benefits .....                 |             |         | 3         |
| 25.2 Other services from non-Federal sources .....     |             |         | 1         |
| 99.9 Total new obligations .....                       |             |         | 15        |

## Employment Summary

| Identification code 86-0332-0-1-604                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             |         | 89        |

【ADMINISTRATION, OPERATIONS, AND MANAGEMENT】ADMINISTRATIVE  
SUPPORT OFFICES

For necessary salaries and expenses for Administrative Support Offices, which shall be comprised of the offices of Chief Financial Officer, General Counsel, Administration, Chief Human Capital Officer, Field Policy and Management, Chief Procurement Officer, Departmental Equal Employment Opportunity, Strategic Planning and Management, and Chief Information Officer, \$505,313,000: Provided, That funds provided under this heading may be used for necessary administrative and non-administrative expenses of the Department of Housing and Urban Development, not otherwise provided for, including purchase of uniforms, or allowances therefore, as authorized by 5 U.S.C. 5901-5902; hire of passenger motor vehicles; services as authorized by 5 U.S.C. 3109: Provided further, That notwithstanding any other provision of law, funds appropriated under this heading may be used for advertising and promotional activities that support the Department's mission.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0335-0-1-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Personnel compensation & benefits .....                                    | 321         | 331     | 302       |
| 0002 Non-personnel costs .....  | 211         | 210     | 203       |
| 0003 Recovery Act Administrative Costs .....                                    | 4           |         |           |
| 0900 Total new obligations .....  | 536         | 541     | 505       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 7           | 2       | 2         |
| 1011 Unobligated balance transfer from other accts [86-0344] ....               | 2           |         |           |
| 1011 Unobligated balance transfer from other accts [86-0338] ....               | 2           |         |           |
| 1011 Unobligated balance transfer from other accts [86-0337] ....               | 1           |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 3           |         |           |
| 1050 Unobligated balance (total) .....  | 15          | 2       | 2         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 538         | 541     | 505       |
| 1120 Appropriations transferred to other accts [86-4586] .....                  | -4          |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 534         | 541     | 505       |
| 1930 Total budgetary resources available .....                                  | 549         | 543     | 507       |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | -11         |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 2           | 2       | 2         |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 158  | 153  | 154  |
| 3010 Obligations incurred, unexpired accounts .....             | 536  | 541  | 505  |
| 3011 Obligations incurred, expired accounts .....               | 18   |      |      |
| 3020 Outlays (gross) .....                                      | -539 | -540 | -570 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -20  |      |      |
| 3050 Unpaid obligations, end of year .....                      | 153  | 154  | 89   |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 158  | 153  | 154  |
| 3200 Obligated balance, end of year .....                       | 153  | 154  | 89   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 534 | 541 | 505 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 427 | 460 | 429 |
| 4011 Outlays from discretionary balances .....      | 112 | 80  | 141 |
| 4020 Outlays, gross (total) .....                   | 539 | 540 | 570 |
| 4180 Budget authority, net (total) .....            | 534 | 541 | 505 |
| 4190 Outlays, net (total) .....                     | 539 | 540 | 570 |

The proposed Administrative Support Offices (ASO) account funds central Departmental functions, including the offices of the Chief Human Capital Officer, Chief Financial Officer, Chief Procurement Officer, General Counsel, Field Policy and Management, Strategic Planning and Management, Departmental Equal Employment Opportunity, and Administration. The newly created Office of Administration reflects a grouping of similar functions, such as facilities and security, which were transferred from the Office of the Chief Human Capital Officer. The ASO account supports all personnel and non-personnel expenses for these offices.

## Object Classification (in millions of dollars)

| Identification code 86-0335-0-1-999       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 257         | 265     | 238       |
| 11.3 Other than full-time permanent ..... | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....   | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....   | 261         | 269     | 242       |
| 12.1 Civilian personnel benefits .....    | 60          | 62      | 60        |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 21.0 | Travel and transportation of persons .....                 | 6   | 5   | 8   |
| 23.1 | Rental payments to GSA .....                               | 107 | 104 | 104 |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 26  | 26  | 26  |
| 24.0 | Printing and reproduction .....                            | 1   | 1   | 1   |
| 25.2 | Other services from non-Federal sources .....              | 61  | 58  | 53  |
| 25.4 | Operation and maintenance of facilities .....              | 3   | 5   | 3   |
| 25.7 | Operation and maintenance of equipment .....               | 5   | 5   | 2   |
| 26.0 | Supplies and materials .....                               | 3   | 3   | 3   |
| 31.0 | Equipment .....  | 2   | 2   | 2   |
| 42.0 | Insurance claims and indemnities .....                     | 1   | 1   | 1   |
| 99.9 | Total new obligations .....                                | 536 | 541 | 505 |

**Employment Summary**

| Identification code 86-0335-0-1-999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,239       | 2,341   | 2,109     |

**PROGRAM OFFICE SALARIES AND EXPENSES****PUBLIC AND INDIAN HOUSING**

*For necessary salaries and expenses of the Office of Public and Indian Housing, \$220,299,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 86-0337-0-1-604                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Personnel costs .....                                       | 153         | 153     | 159       |
| 0002 Benefits .....  | 41          | 42      | 44        |
| 0003 Recovery Act - Personnel costs .....                        | 2           |         |           |
| 0004 Non-personnel expenses .....                                | 6           | 6       | 17        |
| 0900 Total new obligations .....                                 | 202         | 201     | 220       |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 3           |         |           |
| 1010 Unobligated balance transfer to other accts [86-0335] ..... | -1          |         |           |
| 1050 Unobligated balance (total) .....                           | 2           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 200         | 201     | 220       |
| 1121 Appropriations transferred from other accts [86-0334] ..... | 2           |         |           |
| 1160 Appropriation, discretionary (total) .....                  | 202         | 201     | 220       |
| 1930 Total budgetary resources available .....                   | 204         | 201     | 220       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1940 Unobligated balance expiring .....                          | -2          |         |           |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 3           | 8       | 6         |
| 3010 Obligations incurred, unexpired accounts .....              | 202         | 201     | 220       |
| 3011 Obligations incurred, expired accounts .....                | 9           |         |           |
| 3020 Outlays (gross) .....                                       | -198        | -203    | -222      |
| 3041 Recoveries of prior year unpaid obligations, expired .....  | -8          |         |           |
| 3050 Unpaid obligations, end of year .....                       | 8           | 6       | 4         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 3           | 8       | 6         |
| 3200 Obligated balance, end of year .....                        | 8           | 6       | 4         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 202         | 201     | 220       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              | 192         | 199     | 217       |
| 4011 Outlays from discretionary balances .....                   | 6           | 4       | 5         |
| 4020 Outlays, gross (total) .....                                | 198         | 203     | 222       |
| 4180 Budget authority, net (total) .....                         | 202         | 201     | 220       |
| 4190 Outlays, net (total) .....                                  | 198         | 203     | 222       |

This account provides funding for all salaries and expenses of the Office of Public and Indian Housing, including the Office of the Assistant Secretary. The Office's mission is to ensure safe, decent, and affordable housing for low-income families; create opportunities for residents' self-sufficiency and economic independence; reduce improper payments; and support mixed-income developments to replace distressed public housing.

**Object Classification** (in millions of dollars)

| Identification code 86-0337-0-1-604                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 154         | 152     | 158       |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 155         | 153     | 159       |
| 12.1 Civilian personnel benefits .....             | 41          | 42      | 44        |
| 21.0 Travel and transportation of persons .....    | 4           | 4       | 6         |
| 25.2 Other services from non-Federal sources ..... | 2           | 2       | 11        |
| 99.9 Total new obligations .....                   | 202         | 201     | 220       |

**Employment Summary**

| Identification code 86-0337-0-1-604                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,542       | 1,493   | 1,551     |

**COMMUNITY PLANNING AND DEVELOPMENT**

*For necessary salaries and expenses of the Office of Community Planning and Development, \$109,740,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 86-0338-0-1-451                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Personnel costs .....   | 77          | 75      | 81        |
| 0002 Benefits .....  | 21          | 24      | 26        |
| 0003 Recovery Act - Personnel costs .....                          | 4           |         |           |
| 0004 Disaster Supplemental - PS .....                              | 2           | 1       | 1         |
| 0005 Disaster Supplemental - NPS .....                             |             | 3       | 1         |
| 0006 Non-personnel expenses .....                                  |             | 2       | 3         |
| 0900 Total new obligations .....                                   | 104         | 105     | 112       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 6           | 3       | 9         |
| 1010 Unobligated balance transfer to other accts [86-0335] .....   | -2          |         |           |
| 1011 Unobligated balance transfer from other accts [86-0344] ..... | 3           |         |           |
| 1050 Unobligated balance (total) .....                             | 7           | 3       | 9         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 100         | 101     | 110       |
| 1121 Appropriations transferred from other accts [86-0334] .....   | 1           |         |           |
| 1121 Appropriations transferred from other accts [86-0340] .....   | 1           |         |           |
| 1121 Appropriations transferred from other accts [86-0162] .....   |             | 10      |           |
| 1160 Appropriation, discretionary (total) .....                    | 102         | 111     | 110       |
| 1930 Total budgetary resources available .....                     | 109         | 114     | 119       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 3           | 9       | 7         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 2           | 3       | 5         |
| 3010 Obligations incurred, unexpired accounts .....                | 104         | 105     | 112       |
| 3011 Obligations incurred, expired accounts .....                  | 3           |         |           |
| 3020 Outlays (gross) .....   | -103        | -103    | -114      |

COMMUNITY PLANNING AND DEVELOPMENT—Continued  
Program and Financing—Continued

| Identification code 86-0338-0-1-451                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -3          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 3           | 5       | 3         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 2           | 3       | 5         |
| 3200 Obligated balance, end of year .....                       | 3           | 5       | 3         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 102         | 111     | 110       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 97          | 101     | 109       |
| 4011 Outlays from discretionary balances .....                  | 6           | 2       | 5         |
| 4020 Outlays, gross (total) .....                               | 103         | 103     | 114       |
| 4180 Budget authority, net (total) .....                        | 102         | 111     | 110       |
| 4190 Outlays, net (total) .....                                 | 103         | 103     | 114       |

This account provides funding for all salaries and expenses of the Office of Community Planning and Development, including the Office of the Assistant Secretary. The Office provides funding to a broad array of state and local governments, non-profit and for-profit organizations to administer a wide range of housing, economic development, homeless assistance, infrastructure, disaster recovery, and other community development activities in urban and rural areas across the country. In partnership, the Office and its local funding recipients develop viable communities by providing decent housing, a suitable living environment, and expanded economic opportunities for low- and moderate-income persons.

## Object Classification (in millions of dollars)

| Identification code 86-0338-0-1-451                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 80          | 74      | 80        |
| 11.5 Other personnel compensation .....            | 1           | 2       | 2         |
| 11.9 Total personnel compensation .....            | 81          | 76      | 82        |
| 12.1 Civilian personnel benefits .....             | 21          | 24      | 26        |
| 21.0 Travel and transportation of persons .....    | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources ..... | 1           | 4       | 3         |
| 99.9 Total new obligations .....                   | 104         | 105     | 112       |

## Employment Summary

| Identification code 86-0338-0-1-451                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 828         | 792     | 845       |

## HOUSING

For necessary salaries and expenses of the Office of Housing, \$383,375,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0334-0-1-604     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Personnel costs .....              | 298         | 304     | 296       |
| 0002 Benefits .....                     | 80          | 82      | 80        |
| 0003 Non-Personnel Service .....        | 7           | 8       | 7         |

|   |     |     |     |
|---|-----|-----|-----|
| 0900 Total new obligations .....  | 385 | 394 | 383 |
| <b>Budgetary Resources:</b>   |     |     |     |
| Unobligated balance:  |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....                           |     | 1   | 1   |
| 1010 Unobligated balance transfer to other accts [86-4586] .....                | -3  |     |     |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 3   |     |     |
| 1050 Unobligated balance (total) .....  |     | 1   | 1   |
| Budget authority:   |     |     |     |
| Appropriations, discretionary:  |     |     |     |
| 1100 Appropriation .....  | 392 | 394 | 383 |
| 1120 Appropriations transferred to other accts [86-0337] .....                  | -2  |     |     |
| 1120 Appropriations transferred to other accts [86-0338] .....                  | -1  |     |     |
| 1120 Appropriations transferred to other accts [86-4586] .....                  | -1  |     |     |
| 1120 Appropriations transferred to other accts [86-0339] .....                  | -1  |     |     |
| 1160 Appropriation, discretionary (total) .....                                 | 387 | 394 | 383 |
| 1930 Total budgetary resources available .....                                  | 387 | 395 | 384 |
| Memorandum (non-add) entries:   |     |     |     |
| 1940 Unobligated balance expiring .....   | -1  |     |     |
| 1941 Unexpired unobligated balance, end of year .....                           | 1   | 1   | 1   |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 8    | 11   | 10   |
| 3010 Obligations incurred, unexpired accounts .....             | 385  | 394  | 383  |
| 3011 Obligations incurred, expired accounts .....               | 13   |      |      |
| 3020 Outlays (gross) .....                                      | -382 | -395 | -387 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -13  |      |      |
| 3050 Unpaid obligations, end of year .....                      | 11   | 10   | 6    |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 8    | 11   | 10   |
| 3200 Obligated balance, end of year .....                       | 11   | 10   | 6    |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 387 | 394 | 383 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 375 | 389 | 378 |
| 4011 Outlays from discretionary balances .....      | 7   | 6   | 9   |
| 4020 Outlays, gross (total) .....                   | 382 | 395 | 387 |
| 4180 Budget authority, net (total) .....            | 387 | 394 | 383 |
| 4190 Outlays, net (total) .....                     | 382 | 395 | 387 |

This account provides funding for all salaries and expenses of the Office of Housing, including the Office of the Federal Housing Commissioner. The mission of the Office is to maintain and expand homeownership, rental housing and healthcare opportunities; stabilize credit markets in times of economic disruption; contribute to building and preserving healthy neighborhoods and communities; operate with a high degree of public and fiscal accountability; and recognize and value its customers, staff, constituents, and partners.

## Object Classification (in millions of dollars)

| Identification code 86-0334-0-1-604                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 296         | 302     | 294       |
| 11.5 Other personnel compensation .....            | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....            | 298         | 304     | 296       |
| 12.1 Civilian personnel benefits .....             | 80          | 82      | 80        |
| 21.0 Travel and transportation of persons .....    | 3           | 4       | 3         |
| 25.2 Other services from non-Federal sources ..... | 4           | 4       | 4         |
| 99.9 Total new obligations .....                   | 385         | 394     | 383       |

## Employment Summary

| Identification code 86-0334-0-1-604                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 3,142       | 3,155   | 3,020     |



OFFICE OF THE GOVERNMENT NATIONAL MORTGAGE ASSOCIATION PERSONNEL  
COMPENSATION AND BENEFITS

## Program and Financing (in millions of dollars)

| Identification code 86-0336-0-1-371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3011 Obligations incurred, expired accounts .....               | 1           |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1          |         |           |

The 2012 Appropriations Act eliminated the Personnel Compensation and Benefits account for the Office of Government National Mortgage Association (GNMA) and approved funding for GNMA salaries and expenses under the "Guarantees of Mortgage-backed Securities Loan Guarantee Program" account, as requested. This funding structure allows GNMA to maintain sufficient staffing, to strengthen risk management and oversight, and to move in-house some functions performed by contractors.

## POLICY DEVELOPMENT AND RESEARCH

*For necessary salaries and expenses of the Office of Policy Development and Research, \$21,687,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0339-0-1-451                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Personnel costs .....                                       | 16          | 16      | 16        |
| 0002 Benefits .....  | 4           | 4       | 4         |
| 0003 Non-personnel expenses .....                                | 2           | 2       | 2         |
| 0900 Total new obligations .....                                 | 22          | 22      | 22        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 22          | 22      | 22        |
| 1120 Appropriations transferred to other accts [86-4586] .....   | -1          |         |           |
| 1121 Appropriations transferred from other accts [86-0334] ..... | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....                  | 22          | 22      | 22        |
| 1930 Total budgetary resources available .....                   | 22          | 22      | 22        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            |             | 1       |           |
| 3010 Obligations incurred, unexpired accounts .....              | 22          | 22      | 22        |
| 3011 Obligations incurred, expired accounts .....                | 1           |         |           |
| 3020 Outlays (gross) .....                                       | -21         | -23     | -22       |
| 3041 Recoveries of prior year unpaid obligations, expired .....  | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                       | 1           |         |           |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      |             | 1       |           |
| 3200 Obligated balance, end of year .....                        | 1           |         |           |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 22          | 22      | 22        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              | 21          | 22      | 22        |
| 4011 Outlays from discretionary balances .....                   |             | 1       |           |
| 4020 Outlays, gross (total) .....                                | 21          | 23      | 22        |
| 4180 Budget authority, net (total) .....                         | 22          | 22      | 22        |
| 4190 Outlays, net (total) .....                                  | 21          | 23      | 22        |

This account provides funding for all salaries and expenses of the Office of Policy Development and Research, including the Office of the Assistant Secretary. The Office is responsible for

conducting research on priority housing and community development issues and maintaining current information on housing needs, market conditions, and existing programs. The Office also provides reliable and objective data, technical and statistical sampling support, and analysis to help inform policy decisions.

## Object Classification (in millions of dollars)

| Identification code 86-0339-0-1-451                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 16          | 16      | 16        |
| 12.1 Civilian personnel benefits .....                 | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....     | 2           | 2       | 2         |
| 99.9 Total new obligations .....                       | 22          | 22      | 22        |

## Employment Summary

| Identification code 86-0339-0-1-451                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 147         | 147     | 145       |

## FAIR HOUSING AND EQUAL OPPORTUNITY

*For necessary salaries and expenses of the Office of Fair Housing and Equal Opportunity, \$76,504,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0340-0-1-751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Personnel costs .....  | 55          | 57      | 60        |
| 0002 Benefits .....   | 14          | 14      | 15        |
| 0003 Non-personnel expenses .....   | 2           | 2       | 2         |
| 0900 Total new obligations .....  | 71          | 73      | 77        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           |             | 1       | 1         |
| 1010 Unobligated balance transfer to other accts [86-4586] .....                | -1          |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....  |             | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 73          | 73      | 77        |
| 1120 Appropriations transferred to other accts [86-0338] .....                  | -1          |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 72          | 73      | 77        |
| 1930 Total budgetary resources available .....                                  | 72          | 74      | 78        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 2           | 2       | 1         |
| 3010 Obligations incurred, unexpired accounts .....                             | 71          | 73      | 77        |
| 3011 Obligations incurred, expired accounts .....                               | 3           |         |           |
| 3020 Outlays (gross) .....  | -71         | -74     | -78       |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -3          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 2           | 1       |           |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 2           | 2       | 1         |
| 3200 Obligated balance, end of year .....                                       | 2           | 1       |           |

|   |    |    |    |
|---|----|----|----|
| <b>Budget authority and outlays, net:</b>           |    |    |    |
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 72 | 73 | 77 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 70 | 72 | 76 |

FAIR HOUSING AND EQUAL OPPORTUNITY—Continued  
Program and Financing—Continued

| Identification code 86-0340-0-1-751            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4011 Outlays from discretionary balances ..... | 1           | 2       | 2         |
| 4020 Outlays, gross (total) .....              | 71          | 74      | 78        |
| 4180 Budget authority, net (total) .....       | 72          | 73      | 77        |
| 4190 Outlays, net (total) .....                | 71          | 74      | 78        |

This account provides funding for all salaries and expenses of the Office of Fair Housing and Equal Opportunity, including the Office of the Assistant Secretary. The Office administers and enforces the Fair Housing Act and other civil rights laws and establishes policies to ensure all Americans have equal access to the housing of their choice.

## Object Classification (in millions of dollars)

| Identification code 86-0340-0-1-751                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 54          | 56      | 59        |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 55          | 57      | 60        |
| 12.1 Civilian personnel benefits .....             | 14          | 14      | 15        |
| 21.0 Travel and transportation of persons .....    | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 99.9 Total new obligations .....                   | 71          | 73      | 77        |

## Employment Summary

| Identification code 86-0340-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 583         | 573     | 595       |

## OFFICE OF HEALTHY HOMES AND LEAD HAZARD CONTROL

*For necessary salaries and expenses of the Office of Healthy Homes and Lead Hazard Control, \$7,642,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86-0341-0-1-451             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>         |             |         |           |
| 0001 Personnel costs .....                      | 6           | 6       | 7         |
| 0002 Benefits .....                             | 1           | 1       | 1         |
| 0900 Total new obligations .....                | 7           | 7       | 8         |
| <b>Budgetary Resources:</b>                     |             |         |           |
| Budget authority:                               |             |         |           |
| Appropriations, discretionary:                  |             |         |           |
| 1100 Appropriation .....                        | 7           | 7       | 8         |
| 1160 Appropriation, discretionary (total) ..... | 7           | 7       | 8         |
| 1930 Total budgetary resources available .....  | 7           | 7       | 8         |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                 |    |    |    |
| 3010 Obligations incurred, unexpired accounts ..... | 7  | 7  | 8  |
| 3020 Outlays (gross) .....                          | -7 | -7 | -8 |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Discretionary:                                      |   |   |   |
| 4000 Budget authority, gross .....                  | 7 | 7 | 8 |
| Outlays, gross:                                     |   |   |   |
| 4010 Outlays from new discretionary authority ..... | 7 | 7 | 8 |
| 4180 Budget authority, net (total) .....            | 7 | 7 | 8 |

|                                 |   |   |   |
|---------------------------------|---|---|---|
| 4190 Outlays, net (total) ..... | 7 | 7 | 8 |
|---------------------------------|---|---|---|

This account provides funding for all salaries and expenses of the Office of Healthy Homes and Lead Hazard Control. The Office seeks to eliminate lead-based paint hazards in America's privately-owned and low-income housing and to lead the nation in addressing other housing-related health hazards that threaten vulnerable residents.

## Object Classification (in millions of dollars)

| Identification code 86-0341-0-1-451                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 6           | 6       | 7         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 7           | 7       | 8         |

## Employment Summary

| Identification code 86-0341-0-1-451                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 57          | 56      | 59        |

## EXECUTIVE DIRECTION

## Program and Financing (in millions of dollars)

| Identification code 86-0333-0-1-604   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1010 Unobligated balance transfer to other accts [86-4586] .....                | -2          |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 2           |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 1           |         |           |
| 3011 Obligations incurred, expired accounts .....                               | 1           |         |           |
| 3020 Outlays (gross) .....  | -1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -1          |         |           |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 1           |         |           |

## Budget authority and outlays, net:

|  |   |  |  |
|--|---|--|--|
| Discretionary:                                 |   |  |  |
| Outlays, gross:                                |   |  |  |
| 4011 Outlays from discretionary balances ..... | 1 |  |  |
| 4190 Outlays, net (total) .....                | 1 |  |  |

The Executive Direction account previously supported the salaries and expenses of various high level management offices including the immediate offices of the Secretary and Deputy Secretary, and offices of Assistant Secretaries. The 2012 Appropriations Act eliminated this account and funded these offices under administrative and program office accounts.

## SALARIES AND EXPENSES

## Program and Financing (in millions of dollars)

| Identification code 86-0143-0-1-999               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct program:</b>                            |             |         |           |
| 0001 PL 109-234 .....                             | 2           | 1       | 1         |
| 0801 Gulf Coast Disaster related activities ..... |             | 1       | 1         |
| 0802 Sandy Task Force .....                       |             | 6       | 2         |
| 0899 Total reimbursable obligations .....         |             | 7       | 3         |
| 0900 Total new obligations .....                  | 2           | 8       | 4         |

|  |   |    |    |    |
|--|---|----|----|----|
| <b>Budgetary Resources:</b>                                    |   |    |    |    |
| Unobligated balance:   |   |    |    |    |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 6  | 9  | 9  |
| Budget authority:  |   |    |    |    |
| Spending authority from offsetting collections, discretionary: |   |    |    |    |
| 1700   | Collected .....   | 5  | 8  |    |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 5  | 8  |    |
| 1900   | Budget authority (total) .....                                | 5  | 8  |    |
| 1930   | Total budgetary resources available .....                     | 11 | 17 | 9  |
| Memorandum (non-add) entries:                                  |   |    |    |    |
| 1941   | Unexpired unobligated balance, end of year .....              | 9  | 9  | 5  |
| <b>Change in obligated balance:</b>                            |   |    |    |    |
| Unpaid obligations:  |   |    |    |    |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 6  |    |    |
| 3010   | Obligations incurred, unexpired accounts .....                | 2  | 8  | 4  |
| 3011   | Obligations incurred, expired accounts .....                  | 1  |    |    |
| 3020   | Outlays (gross) .....   | -3 | -8 | -4 |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -6 |    |    |
| Memorandum (non-add) entries:                                  |   |    |    |    |
| 3100   | Obligated balance, start of year .....                        | 6  |    |    |
| <b>Budget authority and outlays, net:</b>                      |   |    |    |    |
| Discretionary:   |   |    |    |    |
| 4000   | Budget authority, gross .....                                 | 5  | 8  |    |
| Outlays, gross:  |   |    |    |    |
| 4010   | Outlays from new discretionary authority .....                |    | 6  |    |
| 4011   | Outlays from discretionary balances .....                     | 3  | 2  | 4  |
| 4020   | Outlays, gross (total) .....                                  | 3  | 8  | 4  |
| Offsets against gross budget authority and outlays:            |   |    |    |    |
| Offsetting collections (collected) from:                       |   |    |    |    |
| 4030   | Federal sources .....   | -5 | -8 |    |
| 4190   | Outlays, net (total) .....                                    | -2 |    | 4  |

Beginning with the passage of the Consolidated Appropriations Act, 2008, this account no longer receives annual appropriations for Departmental administrative expenses. Instead, salary and expense funds are distributed across multiple accounts, achieving greater transparency and accountability within the Department. Resources in this account reflect prior-year appropriations, as well as funds for disaster-related administrative expenses and certain interagency agreements.

#### Object Classification (in millions of dollars)

| Identification code  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 86-0143-0-1-999  |             |         |           |
| 25.3 Direct obligations: Other goods and services from Federal sources ..... | 2           | 1       | 1         |
| 99.0 Reimbursable obligations .....  |             | 7       | 3         |
| 99.9 Total new obligations .....   | 2           | 8       | 4         |

#### OFFICE OF INSPECTOR GENERAL

*For necessary salaries and expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, as amended, \$127,672,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

| Identification code 86-0189-0-1-451     | 2012 actual   | 2013 CR | 2014 est. |       |
|---|---|---------|-----------|-------|
| <b>Obligations by program activity:</b> |   |         |           |       |
| 0001                                    | OIG Salaries and Expenses .....                             | 124     | 125       | 128   |
| 0002                                    | Gulf States Disaster related activities .....               | 2       | 1         | ..... |
| 0003                                    | Recovery Act related activities .....                       | 4       | 3         | ..... |
| 0004                                    | Hurricane Sandy and Other Disaster related activities ..... | .....   | 1         | 2     |
| 0900                                    | Total new obligations .....                                 | 130     | 130       | 130   |

|   |  |      |      |      |
|---|--|------|------|------|
| <b>Budgetary Resources:</b>               |  |      |      |      |
| Unobligated balance:                      |  |      |      |      |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....           | 10   | 4    | 9    |
| Budget authority:                         |  |      |      |      |
| Appropriations, discretionary:            |  |      |      |      |
| 1100                                      | Appropriation .....  | 124  | 125  | 128  |
| 1121                                      | Appropriations transferred from other accts [86-0162] .... |      | 10   |      |
| 1160                                      | Appropriation, discretionary (total) .....                 | 124  | 135  | 128  |
| 1930                                      | Total budgetary resources available .....                  | 134  | 139  | 137  |
| Memorandum (non-add) entries:             |  |      |      |      |
| 1941                                      | Unexpired unobligated balance, end of year .....           | 4    | 9    | 7    |
| <b>Change in obligated balance:</b>       |  |      |      |      |
| Unpaid obligations:                       |  |      |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 17   | 17   | 26   |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 130  | 130  | 130  |
| 3011                                      | Obligations incurred, expired accounts .....               | 5    |      |      |
| 3020                                      | Outlays (gross) .....                                      | -129 | -121 | -134 |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -6   |      |      |
| 3050                                      | Unpaid obligations, end of year .....                      | 17   | 26   | 22   |
| Memorandum (non-add) entries:             |  |      |      |      |
| 3100                                      | Obligated balance, start of year .....                     | 17   | 17   | 26   |
| 3200                                      | Obligated balance, end of year .....                       | 17   | 26   | 22   |
| <b>Budget authority and outlays, net:</b> |  |      |      |      |
| Discretionary:                            |  |      |      |      |
| 4000                                      | Budget authority, gross .....                              | 124  | 135  | 128  |
| Outlays, gross:                           |  |      |      |      |
| 4010                                      | Outlays from new discretionary authority .....             | 109  | 105  | 106  |
| 4011                                      | Outlays from discretionary balances .....                  | 20   | 16   | 28   |
| 4020                                      | Outlays, gross (total) .....                               | 129  | 121  | 134  |
| 4180                                      | Budget authority, net (total) .....                        | 124  | 135  | 128  |
| 4190                                      | Outlays, net (total) .....                                 | 129  | 121  | 134  |

The Office of the Inspector General (OIG) provides independent and objective reviews of the integrity, efficiency and effectiveness of Departmental programs and operations. Through various activities, the OIG seeks to promote efficiency and effectiveness in programs and operations, detect and deter fraud and abuse, investigate allegations of misconduct by HUD employees, and review and make recommendations regarding existing and proposed legislation and regulations affecting HUD. The Budget includes \$127.6 million to support agency-wide audit and investigative functions.

#### Object Classification (in millions of dollars)

| Identification code 86-0189-0-1-451 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                     | 72          | 81      | 81        |
| 11.5                                | Other personnel compensation .....            | 1           | 1       | .....     |
| 11.9                                | Total personnel compensation .....            | 73          | 82      | 81        |
| 12.1                                | Civilian personnel benefits .....             | 24          | 20      | 21        |
| 21.0                                | Travel and transportation of persons .....    | 5           | 5       | 5         |
| 23.1                                | Rental payments to GSA .....                  | 9           | 9       | 9         |
| 25.2                                | Other services from non-Federal sources ..... | 18          | 13      | 14        |
| 26.0                                | Supplies and materials .....                  | .....       | 1       | .....     |
| 31.0                                | Equipment .....                               | 1           | .....   | .....     |
| 99.9                                | Total new obligations .....                   | 130         | 130     | 130       |

#### Employment Summary

| Identification code 86-0189-0-1-451                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 660         | 665     | 665       |

#### 【WORKING CAPITAL FUND】INFORMATION TECHNOLOGY PORTFOLIO

*For the development of, modifications to, and infrastructure for Department-wide and program-specific information technology systems, for the continuing operation and maintenance of both Department-wide and program-specific information systems, and for program-related mainten-*

## INFORMATION TECHNOLOGY PORTFOLIO—Continued

ance activities, \$285,100,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86–4586–0–4–451                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Information Technology Expenses .....                         | 240         | 267     | 275       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 63          | 110     | 44        |
| 1011 Unobligated balance transfer from other accts [86–0333] ....  | 2           | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [86–0334] ....  | 3           | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [86–0340] ....  | 1           | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [86–0344] ....  | 5           | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | 1       | 1         |
| 1050 Unobligated balance (total) .....                             | 75          | 111     | 45        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 199         | 200     | 285       |
| 1121 Appropriations transferred from other accts [86–0183] ....    | 72          | .....   | .....     |
| 1121 Appropriations transferred from other accts [86–0334] ....    | 1           | .....   | .....     |
| 1121 Appropriations transferred from other accts [86–0335] ....    | 4           | .....   | .....     |
| 1121 Appropriations transferred from other accts [86–0339] ....    | 1           | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 277         | 200     | 285       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1701 Change in uncollected payments, Federal sources .....         | –1          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | –1          | .....   | .....     |
| 1900 Budget authority (total) .....                                | 276         | 200     | 285       |
| 1930 Total budgetary resources available .....                     | 351         | 311     | 330       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 110         | 44      | 55        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 204         | 210     | 160       |
| 3010 Obligations incurred, unexpired accounts .....                | 240         | 267     | 275       |
| 3020 Outlays (gross) .....   | –232        | –316    | –324      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1          | –1      | –1        |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 210         | 160     | 110       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –2          | –1      | –1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 1           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –1          | –1      | –1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 202         | 209     | 159       |
| 3200 Obligated balance, end of year .....                          | 209         | 159     | 109       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 276         | 200     | 285       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 36          | 150     | 214       |
| 4011 Outlays from discretionary balances .....                     | 196         | 166     | 110       |
| 4020 Outlays, gross (total) .....                                  | 232         | 316     | 324       |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | 1           | .....   | .....     |
| 4180 Budget authority, net (total) .....                           | 277         | 200     | 285       |
| 4190 Outlays, net (total) .....                                    | 232         | 316     | 324       |

The Information Technology Portfolio (formerly the Working Capital Fund) funds the information technology (IT) systems that support Departmental programs and operations, including FHA Mortgage Insurance, housing assistance, grant and disaster relief programs, as well as core financial and general operations. The Budget provides \$285.1 million for the development, modernization, enhancement, operation and maintenance of HUD's IT infrastructure and systems. The Budget renames the Working

Capital Fund to more accurately describe the account, and consolidates all IT funding within the IT Portfolio to align to the integrated IT investment strategy and governance processes that HUD has instituted in recent years.

## Object Classification (in millions of dollars)

| Identification code 86–4586–0–4–451                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 148         | 177     | 183       |
| 25.3 Other goods and services from Federal sources .....        | 2           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 89          | 86      | 88        |
| 31.0 Equipment .....  | 1           | 3       | 3         |
| 99.9 Total new obligations .....                                | 240         | 267     | 275       |

## TRANSFORMATION INITIATIVE

## (INCLUDING TRANSFER OF FUNDS)

*Of the amounts made available in this Act under each of the following headings under this title, the Secretary may transfer to, and merge with, this account up to 0.5 percent from each such account, and such transferred amounts shall be available until September 30, 2016, for (1) research, evaluation, and program metrics; (2) program demonstrations; and (3) technical assistance and capacity building: "Capacity Building", "Choice Neighborhoods Initiative", "Community Development Fund", "Fair Housing Activities", "Family Self-Sufficiency", "HOME Investment Partnerships Program", "Homeless Assistance Grants", "Housing Counseling Assistance", "Housing for Persons with Disabilities", "Housing for the Elderly", "Housing Opportunities for Persons with AIDS", "Lead Hazard Reduction", "Mutual Mortgage Insurance Program Account", "Native American Housing Block Grants", "Native Hawaiian Housing Block Grant", "Payment to the Manufactured Housing Fees Trust Fund", "Project-Based Rental Assistance", "Public Housing Capital Fund", "Public Housing Operating Fund", "Rental Assistance Demonstration", "Rental Housing Assistance", and "Tenant-Based Rental Assistance": Provided, That any such amounts, or portion thereof, transferred to this account, may be transferred back to be merged with any such other account and to be available for the same purpose and same time period as provided under this Act: Provided further, That with respect to amounts made available under this heading, notwithstanding section 204 of this title, the Secretary may enter into cooperative agreements funded with philanthropic entities, other Federal agencies, or State or local governments and their agencies for projects: Provided further, That with respect to the previous proviso, such partners to the cooperative agreements must contribute at least a 50 percent match toward the cost of the project.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 86–0402–0–1–451                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001 TI Research and Demonstrations .....                | 38          | 19      | 12        |
| 0002 TI Technical Assistance and Capacity Building ..... | 60          | 33      | 28        |
| 0003 TI Information Technology .....                     | 108         | 71      | .....     |
| 0004 Combat Mortgage Fraud .....                         | 2           | 1       | .....     |
| 0900 Total new obligations (object class 25.2) .....     | 208         | 124     | 40        |

## Budgetary Resources:

|   |       |       |       |
|---|-------|-------|-------|
| Unobligated balance:  |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 278   | 126   | 52    |
| 1021 Recoveries of prior year unpaid obligations .....          | 9     | ..... | ..... |
| 1050 Unobligated balance (total) .....                          | 287   | 126   | 52    |
| Budget authority:   |       |       |       |
| Appropriations, discretionary:                                  |       |       |       |
| 1100 Appropriation .....  | 50    | 50    | ..... |
| 1121 Appropriations transferred from other accts [86–0162] .... | ..... | ..... | 15    |
| 1121 Appropriations transferred from other accts [86–0205] .... | ..... | ..... | 5     |

|   |  |     |      |      |
|---|--|-----|------|------|
| 1121                                      | Appropriations transferred from other accts [86-0308] .....  | 2   |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0163] .....  | 8   |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0320] .....  | 2   |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0237] .....  | 1   |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0174] .....  | 1   |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0303] .....  | 15  |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0304] .....  | 10  |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0313] .....  | 3   |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0349] .....  | 2   |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0302] .....  | 15  |      |      |
| 1121                                      | Appropriations transferred from other accts [86-0183] .....  | 1   |      |      |
| 1160                                      | Appropriation, discretionary (total) .....                   | 50  | 50   | 80   |
| 1930                                      | Total budgetary resources available .....                    | 337 | 176  | 132  |
| Memorandum (non-add) entries:             |  |     |      |      |
| 1940                                      | Unobligated balance expiring .....                           | -3  |      |      |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 126 | 52   | 92   |
| <b>Change in obligated balance:</b>       |  |     |      |      |
| Unpaid obligations:                       |  |     |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 106 | 210  | 233  |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 208 | 124  | 40   |
| 3020                                      | Outlays (gross) .....  | -95 | -101 | -103 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -9  |      |      |
| 3050                                      | Unpaid obligations, end of year .....                        | 210 | 233  | 170  |
| Memorandum (non-add) entries:             |  |     |      |      |
| 3100                                      | Obligated balance, start of year .....                       | 106 | 210  | 233  |
| 3200                                      | Obligated balance, end of year .....                         | 210 | 233  | 170  |
| <b>Budget authority and outlays, net:</b> |  |     |      |      |
| Discretionary:                            |  |     |      |      |
| 4000                                      | Budget authority, gross .....                                | 50  | 50   | 80   |
| Outlays, gross:                           |  |     |      |      |
| 4010                                      | Outlays from new discretionary authority .....               |     | 1    | 1    |
| 4011                                      | Outlays from discretionary balances .....                    | 95  | 100  | 102  |
| 4020                                      | Outlays, gross (total) .....                                 | 95  | 101  | 103  |
| 4180                                      | Budget authority, net (total) .....                          | 50  | 50   | 80   |
| 4190                                      | Outlays, net (total) .....                                   | 95  | 101  | 103  |

Initiated in 2010, the Transformation Initiative (TI) is an ongoing effort aimed at increasing the effectiveness of program and service delivery, facilitating an evidence-based approach to improving program outcomes, and enabling innovative approaches to address the nation's housing and urban development problems. The 2014 Budget proposes TI transfers up to a maximum of 0.5 percent per program or \$15 million, whichever is less, and approximately \$80 million in total. The Budget proposes to use these funds for three complementary purposes: (1) research, evaluation and program metrics, (2) program demonstrations, and (3) technical assistance and capacity building.

Through an extensive consultation and prioritization process, HUD's Office of Policy Development and Research (PD&R) has developed the "Research Roadmap 2014–2018," which identifies research and evaluation priorities that will set HUD on a path to address the most pressing housing and urban development challenges. The TI provides a predictable stream of funding for these high quality research and evaluation projects and program demonstrations that will inform sound policymaking. The TI supplements HUD's Research and Technology appropriations, which are mainly dedicated to funding housing data, such as the American Housing Survey.

The TI also enables HUD to design and execute a series of major research demonstrations that rigorously test new program innovations. Demonstrations can be used to explore fundamental questions about housing market dynamics and their impact on economic, social and environmental objectives. The demonstrations will improve programs, help State and local governments, non-profits, and for profit organizations to develop more effective strategies for housing and community and economic development, and improve the delivery and reduce the cost of public services.

Traditionally, HUD has delivered program-oriented technical assistance to ensure that HUD grantees are fully aware of the rules governing the disparate programs. While awareness of rules

is necessary, effective responses to urban and housing challenges increasingly require coordination and awareness of diverse areas of knowledge: housing finance as well as land use, energy efficiency as well as healthy homes, community development as well as transportation planning, and accessibility as well as job creation. The TI enables HUD to develop enhanced and focused support to deliver cross-program technical assistance for States, local governments, and other HUD grantees for integrated management and planning across programs and jurisdictions, including improved use of data to drive decision-making and results. In 2014, HUD will strengthen its focus on using TI to deliver comprehensive capacity building to help distressed communities position themselves for revitalization and economic growth.

The following table illustrates the *maximum* and *estimated* transfers from HUD's programs into the Transformation Initiative account in 2014.

| Program Name (amounts in thousands)                       | Treasury Account | 2014 Maximum Transfer | 2014 Estimated Transfer |
|---|------------------|-----------------------|-------------------------|
| Capacity Building .....                                   | 86-0405          | 100                   | 100                     |
| Choice Neighborhoods .....                                | 86-0349          | 2,000                 | 2,000                   |
| Community Development Fund .....                          | 86-0162          | 15,716                | 15,000                  |
| Fair Housing Activities .....                             | 86-0144          | 355                   | 355                     |
| Family Self Sufficiency .....                             | 86-0350          | 375                   | 375                     |
| HOME Investment Partnerships Program .....                | 86-0205          | 4,750                 | 4,750                   |
| Homeless Assistance Grants .....                          | 86-0192          | 11,905                | 0                       |
| Housing Counseling Assistance .....                       | 86-0156          | 275                   | 275                     |
| Housing for Persons with Disabilities .....               | 86-0237          | 630                   | 630                     |
| Housing for the Elderly .....                             | 86-0320          | 2,000                 | 2,000                   |
| Housing Opportunities for Persons with AIDS .....         | 86-0308          | 1,660                 | 1,660                   |
| Lead Hazard Reduction .....                               | 86-0174          | 600                   | 600                     |
| Mutual Mortgage Insurance Program Account .....           | 86-0183          | 635                   | 635                     |
| Native American Housing Block Grants .....                | 86-0313          | 3,250                 | 3,250                   |
| Native Hawaiian Housing Block Grants .....                | 86-0235          | 65                    | 65                      |
| Payment to the Manufactured Housing Fees Trust Fund ..... | 86-0234          | 5                     | 5                       |
| Project-Based Rental Assistance .....                     | 86-0303          | 51,360                | 15,000                  |
| Public Housing Capital Fund .....                         | 86-0304          | 10,000                | 10,000                  |
| Public Housing Operating Fund .....                       | 86-0163          | 23,000                | 8,162                   |
| Rental Assistance Demonstration .....                     | 86-0406          | 50                    | 50                      |
| Rental Housing Assistance .....                           | 86-0148          | 88                    | 88                      |
| Tenant-Based Rental Assistance .....                      | 86-0302          | 99,946                | 15,000                  |
| Transfer Total .....                                      |                  | 228,764 <sup>1</sup>  | 80,000 <sup>2</sup>     |

<sup>1</sup>Amount represents maximum TI transfers in 2014 - 0.5% of program funding.

<sup>2</sup>Amount represents estimated TI transfers based on the 2014 Budget priorities and program requirements. Estimated TI transfers from CDF, HAG, PBRA, Operating Fund, and TBRA are less than the maximum.

### Trust Funds

#### GIFTS AND BEQUESTS

#### Program and Financing (in millions of dollars)

| Identification code 86-8093-0-7-451                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Gifts and bequests .....                         | 3           |         |           |
| 0900 Total new obligations (object class 41.0) .....  | 3           |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           |         |           |
| 1930 Total budgetary resources available .....        | 3           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 3       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 3           |         |           |
| 3020 Outlays (gross) .....                            |             | -1      | -1        |
| 3050 Unpaid obligations, end of year .....            | 3           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 3       | 2         |
| 3200 Obligated balance, end of year .....             | 3           | 2       | 1         |

GIFTS AND BEQUESTS—Continued  
Program and Financing—Continued

| Identification code 86–8093–0–7–451        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:                                 |             |         |           |
| Outlays, gross:                            |             |         |           |
| 4101 Outlays from mandatory balances ..... |             | 1       | 1         |
| 4190 Outlays, net (total) .....            |             | 1       | 1         |

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 86–271910 FHA-general and Special Risk, Negative Subsidies .....                               | 395         | 890     | 885       |
| 86–271930 FHA-general and Special Risk, Downward Reestimates of Subsidies .....                | 2,216       | 529     |           |
| 86–274330 Indian Housing Loan Guarantees, Downward Reestimates of Subsidies .....              | 1           | 12      |           |
| 86–276230 Title VI Indian Loan Guarantee Downward Reestimate .....                             | 3           | 3       |           |
| 86–277330 Community Development Loan Guarantees, Downward Reestimates .....                    | 10          | 3       |           |
| 86–279930 Native Hawaiian Housing Loan Guarantees, Downward Reestimates of Subsidies .....     |             | 1       |           |
| 86–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 34          | 12      | 12        |
| General Fund Offsetting receipts from the public .....   | 2,659       | 1,450   | 897       |
| Intragovernmental payments:  |             |         |           |
| 86–38510 Undistributed Intragovernmental Payments .....  | 23          | 7       | 7         |
| General Fund Intragovernmental payments .....  | 23          | 7       | 7         |

## GENERAL PROVISIONS—DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT

(INCLUDING CANCELLATION AND TRANSFER OF FUNDS)

SEC. 201. Fifty percent of the amounts of budget authority, or in lieu thereof 50 percent of the cash amounts associated with such budget authority, that are recaptured from projects described in section 1012(a) of the Stewart B. McKinney Homeless Assistance Amendments Act of 1988 (42 U.S.C. 1437 note) shall be cancelled or in the case of cash, shall be remitted to the Treasury, and such amounts of budget authority or cash recaptured and not cancelled or remitted to the Treasury shall be used by State housing finance agencies or local governments or local housing agencies with projects approved by the Secretary of Housing and Urban Development for which settlement occurred after January 1, 1992, in accordance with such section. Notwithstanding the previous sentence, the Secretary may award up to 15 percent of the budget authority or cash recaptured and not cancelled or remitted to the Treasury to provide project owners with incentives to refinance their project at a lower interest rate.

SEC. 202. None of the amounts made available under this Act may be used during fiscal year 2014 to investigate or prosecute under the Fair Housing Act any otherwise lawful activity engaged in by one or more persons, including the filing or maintaining of a nonfrivolous legal action, that is engaged in solely for the purpose of achieving or preventing action by a Government official or entity, or a court of competent jurisdiction.

SEC. 203. Sections 203 and 209 of division C of Public Law 112–55 (125 Stat. 693–694) shall apply during fiscal year 2014 as if such sections were included in this title, except that during such fiscal year such sections shall be applied by substituting fiscal year 2014 for fiscal year 2011 and fiscal year 2012, each place such terms appear.

SEC. 204. Except as explicitly provided in law, any grant, cooperative agreement or other assistance made pursuant to title II of this Act shall be made on a competitive basis and in accordance with section 102 of the Department of Housing and Urban Development Reform Act of 1989 (42 U.S.C. 3545).

SEC. 205. Funds of the Department of Housing and Urban Development subject to the Government Corporation Control Act or section 402 of the

Housing Act of 1950 shall be available, without regard to the limitations on administrative expenses, for legal services on a contract or fee basis, and for utilizing and making payment for services and facilities of the Federal National Mortgage Association, Government National Mortgage Association, Federal Home Loan Mortgage Corporation, Federal Financing Bank, Federal Reserve banks or any member thereof, Federal Home Loan banks, and any insured bank within the meaning of the Federal Deposit Insurance Corporation Act, as amended (12 U.S.C. 1811–1).

SEC. 206. Corporations and agencies of the Department of Housing and Urban Development which are subject to the Government Corporation Control Act are hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to each such corporation or agency and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of such Act as may be necessary in carrying out the programs set forth in the budget for 2014 for such corporation or agency except as hereinafter provided: Provided, That collections of these corporations and agencies may be used for new loan or mortgage purchase commitments only to the extent expressly provided for in this Act (unless such loans are in support of other forms of assistance provided for in this or prior appropriations Acts), except that this proviso shall not apply to the mortgage insurance or guaranty operations of these corporations, or where loans or mortgage purchases are necessary to protect the financial interest of the United States Government.

SEC. 207. The Secretary of Housing and Urban Development shall provide quarterly reports to the House and Senate Committees on Appropriations regarding all uncommitted, unobligated, recaptured and excess funds in each program and activity within the jurisdiction of the Department.

SEC. 208. A public housing agency or such other entity that administers Federal housing assistance for the Housing Authority of the county of Los Angeles, California, the States of Alaska, Iowa, and Mississippi shall not be required to include a resident of public housing or a recipient of assistance provided under section 8 of the United States Housing Act of 1937 on the board of directors or a similar governing board of such agency or entity as required under section (2)(b) of such Act. Each public housing agency or other entity that administers Federal housing assistance under section 8 for the Housing Authority of the county of Los Angeles, California and the States of Alaska, Iowa and Mississippi that chooses not to include a resident of public housing or a recipient of section 8 assistance on the board of directors or a similar governing board shall establish an advisory board of not less than six residents of public housing or recipients of section 8 assistance to provide advice and comment to the public housing agency or other administering entity on issues related to public housing and section 8. Such advisory board shall meet not less than quarterly.

SEC. 209. (a) Notwithstanding any other provision of law, subject to the conditions listed in subsections (c) and (e), for fiscal years 2014 and 2015, the Secretary of Housing and Urban Development may authorize the transfer of some or all project-based assistance, debt held or insured by the Secretary, and statutorily required low-income and very low-income use restrictions if any, associated with one or more multifamily housing project to another multifamily housing project or projects.

(b) PHASED TRANSFERS.—Transfers of project-based assistance under this section may be done in phases to accommodate the financing and other requirements related to rehabilitating or constructing the project or projects to which the assistance is transferred, to ensure that such project or projects meet the standards under section (c).

(c) The transfer authorized in subsection (a) is subject to the following conditions:

(1) NUMBER AND BEDROOM SIZE OF UNITS.—

(A) For occupied units in the transferring project: the number of low-income and very low-income units and the configuration (i.e. bedroom size) provided by the transferring project shall be no less than when transferred to the receiving project or projects and the net dollar amount of Federal assistance provided to the transferring project shall remain the same in the receiving project or projects.

(B) For unoccupied units in the transferring project: the Secretary may authorize a reduction in the number of dwelling units in the receiving project or projects to allow for a reconfiguration of bedroom sizes to meet current market demands, as determined by the Secretary and provided there is no increase in the project-based assistance budget authority.

(2) The transferring project shall, as determined by the Secretary, be either physically obsolete or economically nonviable.

(3) The receiving project or projects shall meet or exceed applicable physical standards established by the Secretary.

(4) The owner or mortgagor of the transferring project shall notify and consult with the tenants residing in the transferring project and provide a certification of approval by all appropriate local governmental officials.

(5) The tenants of the transferring project who remain eligible for assistance to be provided by the receiving project or projects shall not be required to vacate their units in the transferring project or projects until new units in the receiving project are available for occupancy.

(6) The Secretary determines that this transfer is in the best interest of the tenants.

(7) If either the transferring project or the receiving project or projects meets the condition specified in subsection (d)(2)(A), any lien on the receiving project resulting from additional financing obtained by the owner shall be subordinate to any FHA-insured mortgage lien transferred to, or placed on, such project by the Secretary, except that the Secretary may waive this requirement upon determination that such a waiver is necessary to facilitate the financing of acquisition, construction, and/or rehabilitation of the receiving project or projects.

(8) If the transferring project meets the requirements of subsection (d)(2)(F), the owner or mortgagor of the receiving project or projects shall execute and record either a continuation of the existing use agreement or a new use agreement for the project where, in either case, any use restrictions in such agreement are of no lesser duration than the existing use restrictions.

(9) The transfer does not increase the cost (as defined in section 502 of the Congressional Budget Act of 1974, as amended) of any FHA-insured mortgage, except to the extent that appropriations are provided in advance for the amount of any such increased cost.

(d) For purposes of this section—

(1) the terms "low-income" and "very low-income" shall have the meanings provided by the statute and/or regulations governing the program under which the project is insured or assisted;

(2) the term "multifamily housing project" means housing that meets one of the following conditions—

(A) housing that is subject to a mortgage insured under the National Housing Act;

(B) housing that has project-based assistance attached to the structure including projects undergoing mark to market debt restructuring under the Multifamily Assisted Housing Reform and Affordability Housing Act;

(C) housing that is assisted under section 202 of the Housing Act of 1959 as amended by section 801 of the Cranston-Gonzales National Affordable Housing Act;

(D) housing that is assisted under section 202 of the Housing Act of 1959, as such section existed before the enactment of the Cranston-Gonzales National Affordable Housing Act;

(E) housing that is assisted under section 811 of the Cranston-Gonzales National Affordable Housing Act; or

(F) housing or vacant land that is subject to a use agreement;

(3) the term "project-based assistance" means—

(A) assistance provided under section 8(b) of the United States Housing Act of 1937;

(B) assistance for housing constructed or substantially rehabilitated pursuant to assistance provided under section 8(b)(2) of such Act (as such section existed immediately before October 1, 1983);

(C) rent supplement payments under section 101 of the Housing and Urban Development Act of 1965;

(D) interest reduction payments under section 236 and/or additional assistance payments under section 236(f)(2) of the National Housing Act;

(E) assistance payments made under section 202(c)(2) of the Housing Act of 1959; and

(F) assistance payments made under section 811(d)(2) of the Cranston-Gonzales National Affordable Housing Act;

(4) the term "receiving project or projects" means the multifamily housing project or projects to which some or all of the project-based assistance, debt, and statutorily required low-income and very low-income use restrictions are to be transferred;

(5) the term "transferring project" means the multifamily housing project which is transferring some or all of the project-based assistance, debt and the statutorily required low-income and very low-income use restrictions to the receiving project or projects; and

(6) the term "Secretary" means the Secretary of Housing and Urban Development.

(e) Public Notice and Research Report.—

(1) The Secretary shall publish by notice in the Federal Register the terms and conditions, including criteria for HUD approval, of transfers pursuant to this section no later than 30 days before the effective date of such notice.

(2) The Secretary shall conduct an evaluation of the transfer authority under this section, including the effect of such transfers on the operational efficiency, contract rents, physical and financial conditions, and long-term preservation of the affected properties.

SEC. 210. Section 255(g) of the National Housing Act (12 U.S.C. 1715z-20(g)) is amended by striking the sentence beginning "The aggregate number of mortgages".

SEC. 211. During fiscal year 2014, in the provision of rental assistance under section 8(o) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)) in connection with a program to demonstrate the economy and effectiveness of providing such assistance for use in assisted living facilities that is carried out in the counties of the State of Michigan notwithstanding paragraphs (3) and (18)(B)(iii) of such section 8(o), a family residing in an assisted living facility in any such county, on behalf of which a public housing agency provides assistance pursuant to section 8(o)(18) of such Act, may be required, at the time the family initially receives such assistance, to pay rent in an amount exceeding 40 percent of the monthly adjusted income of the family by such a percentage or amount as the Secretary of Housing and Urban Development determines to be appropriate.

SEC. 212. The commitment authority funded by fees as provided under the subheading "Program Account" under the heading "Community Development Loan Guarantees" may be used to guarantee, or make commitments to guarantee, notes, or other obligations issued by any State on behalf of non-entitlement communities in the State in accordance with the requirements of section 108 of the Housing and Community Development Act of 1974: Provided, That any State receiving such a guarantee or commitment shall distribute all funds subject to such guarantee to the units of general local government in non-entitlement areas that received the commitment.

SEC. 213. No official or employee of the Department of Housing and Urban Development shall be designated as an allotment holder unless the Office of the Chief Financial Officer has determined that such allotment holder has implemented an adequate system of funds control and has received training in funds control procedures and directives. The Chief Financial Officer shall ensure that there is a trained allotment holder for each HUD office under the accounts "Executive Offices" and "Administrative Support Offices," as well as each account receiving appropriations for "Program Office Salaries and Expenses" within the Department of Housing and Urban Development.

SEC. 214. The Secretary of the Department of Housing and Urban Development shall for fiscal year 2014 and subsequent fiscal years, notify the public through the Federal Register and other means, as determined appropriate, of the issuance of a notice of the availability of assistance or notice of funding availability (NOFA) for any program or discretionary fund administered by the Secretary that is to be competitively awarded. Notwithstanding any other provision of law, for fiscal year 2014 and subsequent fiscal years, the Secretary may make the NOFA available only on the Internet at the appropriate Government Web site or through other electronic media, as determined by the Secretary.

SEC. 215. The Secretary of the Department of Housing and Urban Development is authorized to transfer up to 5 percent or \$10,000,000, whichever is less, of the funds appropriated under any account under the headings "Management and Administration", "Program Office Salaries and Expenses", and "Government National Mortgage Association" to any other account funded under such headings: Provided, That no appropriation for any account funded under such headings shall be increased or decreased by more than 5 percent or \$10,000,000, whichever is less, without prior written notification to the House and Senate Committees on Appropriations.

SEC. 216. The Disaster Housing Assistance Programs, administered by the Department of Housing and Urban Development, shall be considered

a "program of the Department of Housing and Urban Development" under section 904 of the McKinney Act for the purpose of income verifications and matching.

SEC. 217. Of the amounts made available for salaries and expenses under all accounts under this title (except for the Office of Inspector General account), a total of up to \$10,000,000 may be transferred to and merged with amounts made available in the "Information Technology Portfolio" account under this title.

SEC. 218. Title II of Division K of Public Law 110–161 is amended by striking the item related to "Flexible Subsidy Fund".

SEC. 219. Paragraph (1) of section 242(i) of the National Housing Act (12 U.S.C. 1715z-7(i)(1)) is amended by striking "July 31, 2011" and inserting "July 31, 2016".

SEC. 220. Subparagraph (A) of Section 3(b)(6) of the U.S. Housing Act of 1937 (42 U.S.C. 1437a(b)(6)(A)) is amended by inserting before the period at the end the following: ", or a consortium of such entities or bodies as approved by the Secretary".

SEC. 221. FLAT RENTS.—

(a) Section 3(a) of the United States Housing Act of 1937 (42 U.S.C. 1437a(a)) is amended—

(1) in paragraph (2)(B)(i)—

(A) in the matter preceding subclause (I)—

(i) by striking "Except as otherwise provided under this clause, each" and inserting "Each";

(ii) by inserting after "which shall" the following: "not be lower than 80 percent of the applicable fair market rental established under section 8(c) of this Act and which shall";

(B) by striking the undesignated matter following subclause (II) and inserting the following: "Public housing agencies must comply by June 1, 2014, with the requirement of this clause, except that if a new flat rental amount for a dwelling unit will increase a family's existing rental payment by more than 35 percent, the new flat rental amount shall be phased in as necessary to ensure that the family's existing rental payment does not increase by more than 35 percent annually. The preceding sentence shall not be construed to require establishment of rental amounts equal to 80 percent of the fair market rental in years when the fair market rental falls from the prior year.";

SEC. 222. Notwithstanding any provision of the United States Housing Act of 1937 concerning the determination of tenant rent obligations, and of section 23 of such Act (42 U.S.C. 1437u) concerning deposits to escrow accounts, the Secretary may, during the 5-year period beginning on the date of enactment of this Act, allow the use of funds made available by the Secretary to public housing agencies to carry out rent policy demonstrations involving a limited number of families assisted under the 1937 Act, for the purpose of determining the effectiveness of different rent policies in encouraging families to obtain employment, increase their incomes, and achieve economic self-sufficiency, while reducing administrative burdens and maintaining housing stability. Such demonstrations shall include public housing agencies of various sizes, and may include providing income disregards, family self-sufficiency accounts, and policies under which families pay rent in amounts different from 30 percent of their adjusted income. The Secretary shall publish a report regarding the results and effectiveness of any demonstrations conducted under the authority of this section.

SEC. 223. INSPECTIONS.—

(a) Section 8(o)(8) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)(8)) is amended—

(1) by redesignating subparagraph (E) as subparagraph (G); and

(2) by striking subparagraph (D) and inserting the following new subparagraphs:

"(D) BIENNIAL INSPECTIONS.

"(i) REQUIREMENT.—Each public housing agency providing assistance under this subsection (or other entity, as provided in paragraph (11)) shall, for each assisted dwelling unit, make inspections not less often than biennially during the term of the housing assistance payments contract for the unit to determine whether the unit is maintained in accordance with the requirements under subparagraph (A).

"(ii) USE OF ALTERNATIVE INSPECTION METHOD.—The requirements under clause (i) may be complied with by use of inspections that qualify as an alternative inspection method pursuant to subparagraph (E).

"(iii) RECORDS.—The public housing agency (or other entity) shall retain the records of the inspection for a reasonable time, as determined by the Secretary, and shall make the records available upon request to the Secretary, the Inspector General for the Department of Housing and Urban Development, and any auditor conducting an audit under section 5(h).

"(iv) MIXED-FINANCE PROPERTIES.—The Secretary may adjust the frequency of inspections for mixed-finance properties assisted with vouchers under paragraph (13) to facilitate the use of the alternative inspections in subparagraph (E).

"(E) ALTERNATIVE INSPECTION METHOD.—An inspection of a property shall qualify as an alternative inspection method for purposes of this subparagraph if—

"(i) the inspection was conducted pursuant to requirements under a Federal, State, or local housing program (including the Home investment partnership program under title II of the Cranston-Gonzalez National Affordable Housing Act and the low-income housing tax credit program under section 42 of the Internal Revenue Code of 1986); and

"(ii) pursuant to such inspection, the property was determined to meet the standards or requirements regarding housing quality or safety applicable to properties assisted under such program, and, if a non-Federal standard or requirement was used, the public housing agency has certified to the Secretary that such standard or requirement provides the same (or greater) protection to occupants of dwelling units meeting such standard or requirement as would the housing quality standards under subparagraph (B).

"(F) INTERIM INSPECTIONS.—Upon notification to the public housing agency, by a family (on whose behalf tenant-based rental assistance is provided under this subsection) or by a government official, that the dwelling unit for which such assistance is provided does not comply with the housing quality standards under subparagraph (B), the public housing agency shall inspect the dwelling unit—

"(i) in the case of any condition that is life-threatening, within 24 hours after the agency's receipt of such notification, unless waived by the Secretary in extraordinary circumstances; and

"(ii) in the case of any condition that is not life-threatening, within a reasonable time frame as determined by the Secretary."

(b) EFFECTIVE DATE.—The amendments in subsection (a) shall take effect upon such date as the Secretary determines, in the Secretary's sole discretion, through the Secretary's publication of such date in the Federal Register, as part of regulations promulgated, or a notice issued, by the Secretary to implement such amendments.

SEC. 224. Notwithstanding any other provision of the United States Housing Act of 1937 (42 U.S.C. 1437f et seq.) and any provision in this Act under the headings "Public Housing Operating Fund", "Public Housing Capital Fund", "Tenant-Based Rental Assistance", and "General Provisions, Department of Housing and Urban Development" (except for provisions establishing the amount of funding made available), of the funds provided by this Act under the headings "Public Housing Operating Fund" and "Public Housing Capital Fund", and of the administrative fees in this Act under the heading "Tenant-Based Rental Assistance", a percentage of such funds and fees (which percentage the Secretary shall establish by notice published in the Federal Register) may be set aside and used by a public housing agency for the Consolidated Opportunities for Resident Enrichment (CORE) Flexibility program: Provided, That a public housing agency shall use such set-aside funds and fees to provide flexibility for supportive services activities for families that receive assistance under either section 8(o) or 9 of the United States Housing Act of 1937 (42 U.S.C. 1437f(o) or 42 U.S.C. 1437g), including activities such as service coordination, case management, direct services, services to keep the elderly or persons with disabilities successfully housed, and other activities that promote positive resident outcomes related to education, health, safety, economic security and self-sufficiency, and quality of life: Provided further, That funds and fees may be set aside pursuant to this section for a period of up to two years, after which any unexpended funds shall be used only for the original purposes for which such funds and fees were made available: Provided further, That the Secretary shall develop and publish, in the Federal Register, a notice regarding the use of such set-aside funds and fees, in which the Secretary shall provide program guidelines that include (but are not limited to) eligibility threshold, eligible activities, reporting and accountability, and other matters as determined by the Secretary.



SEC. 225. Subsection (d) of section 184 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z?13a) is amended to read as follows:

"(d) **Guarantee fee.** The Secretary shall establish and collect, at the time of issuance of the guarantee, a fee for the guarantee of loans under this section, in an amount not exceeding 3 percent of the principal obligation of the loan. The Secretary may also establish and collect annual premium payments in an amount not exceeding 1 percent of the remaining guaranteed balance (excluding the portion of the remaining balance attributable to the fee collected at the time of issuance of the guarantee). The Secretary shall establish the amount of the fees and premiums by publishing a notice in the Federal Register. The Secretary shall deposit any fees and premiums collected under this subsection in the Indian Housing Loan Guarantee Fund established under subsection (i)."

SEC. 226. (Subsection (g) of section 9 of the United States Housing Act of 1937 (42 U.S.C. 1437g(g)) is amended by striking paragraphs (1) and (2) and inserting the following new paragraph:

"(1) **FULL FLEXIBILITY OF CAPITAL AND OPERATING FUND AMOUNTS.**—The Secretary shall provide, by notice published in the Federal Register, that of any amounts allocated for any fiscal year from the funds under subsections (d) and (e) for any public housing agency that is not designated pursuant to section 6(j)(2) as a troubled public housing agency and that, in the determination of the Secretary is operating and maintaining its public housing in a safe, clean, and healthy condition, the agency may use any such amounts for any eligible activities under subsections (d)(1) and (e)(1), regardless of the fund from which the amounts were allocated and provided."; and

(2) by redesignating paragraph (3) as paragraph (2).

SEC. 227. **GINNIE MAE SECURITIZATION.**—

(a) Paragraph (8) of section 542(b) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z?22(b)) is amended in its title by deleting "Prohibition on" and by revising the text of paragraph (8) to read as follows:

"The Government National Mortgage Association shall not securitize any multifamily loans insured or reinsured under this subsection, except as provided herein. The Government National Mortgage Association may, at the discretion of the Secretary, securitize any multifamily loan, provided that—

"(A) the Federal Housing Administration provides mortgage insurance based on the unpaid principal balance of the loan, as shall be described in the Risk Share Agreement

"(B) the Federal Housing Administration shall not require an assignment fee for mortgage insurance claims related to the securitized mortgages and

"(C) any successors and assigns of the risk share partner (including the holders of credit instruments issued under a trust mortgage or deed of trust pursuant to which such holders act by and through a trustee therein named) shall not assume any obligation under the risk-sharing agreement and may assign any defaulted loan to the Federal Housing Administration in exchange for payment of the mortgage insurance claim.

"The risk-sharing agreement must provide for reimbursement to the Secretary by the risk share partner(s) for either all or a portion of the losses incurred on the loans insured."

(b) Paragraph (6) of section 542(c) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z?22(c)) is amended in its title by deleting "Prohibition on" and by revising the text of paragraph (6) to read as follows:

"The Government National Mortgage Association may, at the discretion of the Secretary, securitize any multifamily loan insured under this subsection, provided that—

"(A) the Federal Housing Administration provides mortgage insurance based on the unpaid principal balance of the loan, as shall be described by regulation,

"(B) the Federal Housing Administration shall not require an assignment fee for mortgage insurance claims related to the securitized mortgages, and

"(C) any successors and assigns of the risk share partner (including the holders of credit instruments issued under a trust mortgage or deed of trust pursuant to which such holders act by and through a trustee therein named) shall not assume any obligation under the risk-sharing agreement and may assign any defaulted loan to the Federal Housing

Administration in exchange for payment of the mortgage insurance claim.

"The risk-sharing agreement must provide for reimbursement to the Secretary by the risk share partner(s) for either all or a portion of the losses incurred on the loans insured."

(c) Clause (ii) of the first sentence of section 306(g)(1) of the National Housing Act (12 U.S.C. 1721(g)(1)) is amended by striking the semicolon and inserting a comma, and by inserting before the period at the end the following: ", or which are insured under subsection (b) or (c) of section 542 of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z?22), subject to the terms of paragraph (8) and (6), respectively, of such subsection".

SEC. 228. **EXCEPTION TO AFFORDABLE HOUSING QUALIFICATION FOR MULTIFAMILY HOUSING SECURING LOANS MADE BY CERTAIN ENTITIES.**—Section 542(b)(9) of the Housing and Community Development Act of 1992 (12 U.S.C. 1715z?22(b)(9)) is amended by inserting after the period at the end the following: "This requirement does not apply to housing securing loans made to increase the availability of capital to small multifamily rental properties by entities approved by the Secretary as having demonstrated experience in making loans for low and moderate income multifamily housing."

SEC. 229. (a) Subsection (b) of section 225 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12755) is amended by inserting at the end the following sentence: "Such 30 day waiting period is not required if the grounds for the termination or refusal to renew involve a direct threat to the safety of the tenants or employees of the housing, or an imminent and serious threat to the property (and the termination or refusal to renew is in accordance with the requirements of State or local law)."

(b) Section 231 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12771) is amended—

(1) in subsection (b) by striking "make such funds available by direct reallocation" and all that follows through "were recaptured" and inserting "reallocate the funds by formula in accordance with section 217(d) of this Act (42 U.S.C. 12747(d))"; and

(2) by striking subsection (c).

(c) Section 104(6) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12704) is amended by inserting at the end of the undesignated matter after subparagraph (D) the following sentence: "In the case of an organization funded by the State under title II of this Act, the organization may serve all counties within the State."

(d) Section 216 of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12746) is amended—

(1) in paragraph (3) by striking "Except as provided in paragraph (10), a" and inserting "A";

(2) in paragraph (8) by striking "subsequent" and inserting "five";

(3) by amending paragraph (9) to read as follows:

"(9) **REVOCATION.**—

"(A) The Secretary may revoke the designation of a jurisdiction as a participating jurisdiction if the Secretary finds, after reasonable notice and opportunity for hearing, that the jurisdiction is unwilling or unable to carry out the provisions of this title. Any remaining line of credit in the HOME Investment Trust Fund established for the jurisdiction under section 218 shall be reallocated in accordance with paragraph (6) of this section.

"(B) The Secretary shall revoke the designation of a jurisdiction as a participating jurisdiction if the allocation for the jurisdiction falls below \$500,000 for 3 years during the period in paragraph (8)."; and

(4) by striking paragraph (10).

(e) Section 217(b) of the Cranston-Gonzalez National Affordable Housing Act (42 U.S.C. 12747(b)) is amended—

(1) in paragraph (3) by striking ", except as provided in paragraph (4)"; and

(2) by striking paragraph (4).

SEC. 230. (a) Section 3(b) of the United States Housing Act of 1937 (42 U.S.C. 1437a) is amended—

(1) in paragraph (2),

(A) by designating the first sentence as subparagraph (A), the second sentence as subparagraph (B), and the remaining sentences as subparagraph (D);

(B) by inserting after subparagraph (B) the following new subparagraph (C):

"(C) *The term extremely low-income families means very low-income families whose incomes do not exceed the higher of—*

*"(i) the poverty guidelines updated periodically by the Department of Health and Human Services under the authority of section 673(2) of the Community Services Block Grant Act applicable to a family of the size involved (except that this clause shall not apply in the case of public housing agencies located in Puerto Rico or any other territory or possession of the United States); or*

*"(ii) 30 percent of the median family income for the area, as determined by the Secretary, with adjustments for smaller and larger families (except that the Secretary may establish income ceilings higher or lower than 30 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes)."; and*

*(C) in subparagraph (D), as so designated by this subsection, by striking the second sentence and all that follows through the end of the subparagraph; and*

*(2) in paragraph 5(A), by revising subparagraph (ii) to read as follows:*

*"(i) Health and medical expenses. The amount, if any, by which 10 percent of annual family income is exceeded by the sum of*

*"(I) in the case of any elderly or disabled family, any unreimbursed health and medical care expenses; and*

*"(II) any unreimbursed reasonable attendant care and auxiliary apparatus expenses for each handicapped member of the family, to the extent necessary to enable any member of such family to be employed.".*

*(b) Section 16 of the United States Housing Act of 1937 (42 U.S.C. 1437n) is amended—*

*(1) in subsection (a)(2)(A),*

*(2) in subsection (b)(1), and*

*(3) in subsection (c)(3),*

*by striking "families whose incomes" and all that follows through "low family incomes" and inserting "extremely low-income families".*

*SEC. 231. Notwithstanding Section 24(o) of the United States Housing Act of 1937 (42 U.S.C. 1437v(o)), amounts made available in prior appropriations Acts under the heading "Revitalization of Severely Distressed Public Housing (HOPE VI)" or under the heading "Choice Neighborhoods Initiative" may continue to be provided as assistance pursuant to such Section 24.*

*SEC. 232. PROJECT RENTAL ASSISTANCE AUTHORITY. —Section 202(f)(2) of the Housing Act of 1959 (12 U.S.C. 1701q(f)(2)) is amended—*

*(a) in paragraph (A)—*

*(1) by striking the matter before clause (i) and inserting the following: "The Secretary shall establish procedures to delegate the award, review and processing of projects to a State or local housing agency that—"; and*

*(2) in clause (iii), by striking "capital advance" and inserting "funding", and by replacing the comma with a semi-colon;*

*(b) in subparagraph (B), by striking "capital advances" and inserting "funding under this section";*

*(c) in subparagraph (C), by striking the first sentence;*

*(d) by redesignating subparagraph (D) as subparagraph (E), and in the redesignated subparagraph (E)—*

*(1) by striking "a capital advance" and inserting "funding under this section"; and*

*(2) by striking "capital advance amounts or project rental assistance" and inserting "funding under this section"; and*

*(e) by inserting the following new subparagraph after subparagraph (C):*

*"(D) Assistance under subsection (c)(2) may be provided for projects for which the applicable State agency responsible for health and human services programs, and the applicable State agency designated to administer or supervise the administration of the State plan for medical assistance under title XIX of the Social Security Act, have entered into such agreements as the Secretary considers appropriate—*

*"(i) to identify the target populations to be served by the project;*

*"(ii) to set forth methods for outreach and referral; and*

*"(iii) to make available appropriate services for tenants of the project.".*

*SEC. 233. The proviso under the "Community Development Fund" heading in Public Laws 109–148, 109–234, 110–252, and 110–329 which requires the Secretary to establish procedures to prevent duplication of benefits and to report to the Committees on Appropriations on all steps to*

*prevent fraud and abuse is amended by striking "quarterly" and inserting "annually".*

*SEC. 234. Section 11 of the Housing Opportunity Program Extension Act of 1996 (42 U.S.C. 12805 note) is amended—*

*(1) in subsection (d)(2) by inserting at the end the following new subparagraph:*

*"(C) PLANNING, ADMINISTRATION, AND MANAGEMENT. Planning, administration, and management of grant programs and activities, provided that such expenses do not exceed 20 percent of any grant made under this section.";*

*(2) in subsection (i)(5) by—*

*(A) striking "24" and inserting "36"; and*

*(B) striking "except that" and all that follow through "such grant amounts";*

*(3) in subsection (j) by—*

*(A) inserting after the heading "(1) REDISTRIBUTION OF FUNDS.";*

*(B) striking "24" and inserting "36";*

*(C) striking "(or, in the case" and all that follow through "within 36 months); and*

*(d) inserting at the end the following new paragraph:*

*"(2) DEADLINE FOR COMPLETION AND CONVEYANCE.—The Secretary shall establish a deadline (which may be extended for good cause as determined by the Secretary) by which time all units that have been assisted with grant funds under this section must be completed and conveyed.";*

*(4) by striking subsection (q).*

*SEC. 235. RENTAL ASSISTANCE DEMONSTRATION AMENDMENTS—*

*The language under the heading Rental Assistance Demonstration in the Department of Housing and Urban Development Appropriations Act, 2012 (Public Law 112–55) is amended—*

*(1) by striking "(except for funds allocated under such section for single room occupancy dwellings as authorized by title IV of the McKinney-Vento Homeless Assistance Act)" in both places such language appears;*

*(2) in the third proviso by inserting "in excess of amounts made available under this heading" after "associated with such conversion";*

*(3) in the fourth proviso—*

*(A) by striking "60,000" and inserting "150,000"; and*

*(B) by striking "or section 8(e)(2)"; and*

*(4) in the penultimate proviso by striking "and 2013," and inserting "through 2015".*

*SEC. 236. PHA COMPENSATION—*

*(a) Section 2(b) of the United States Housing Act of 1937 (42 U.S.C. 1437(b)) is amended by adding the following new paragraph at the end:*

*"(4) SALARY.—*

*"(A) GENERAL.—This paragraph establishes the maximum salary that a public housing agency may provide to its employees and the maximum annual contract amounts that may be paid to its contract personnel using funds provided under this Act. A public housing agency shall use the same salary structure as described in this paragraph and follow the requirements of uniform administrative rules for Federal grants and cooperative agreements and principles and standards for determining costs for Federal awards for all payments that it makes to its employees and for personnel hired as contractors when funds provided under this Act are used for such payments.*

*"(B) SALARY STRUCTURE.—*

*"(i) The base salary of public housing agency employees and the contract amount paid to contracted personnel from funds provided under this Act shall be based on the Federal General Schedule (GS) basic rate of pay, including locality adjustment, established under sections 5303 and 5304 of title 5, United States Code as follows:*

*"(I) For public housing agencies with fewer than 250 total units (public housing and section 8 housing vouchers), the base salary of a public housing agency employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including a locality adjustment, for GS-11, step 10;*

*"(II) For public housing agencies with 250 to 1249 total units (public housing and section 8 housing vouchers), the base salary of a public housing employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including locality adjustment, for GS-13, step 10;*

(III) For public housing agencies with 1250 or more total units (public housing and section 8 housing vouchers), the base salary of a public housing agency employee or total annual payment to each contracted personnel shall not exceed the basic rate of pay, including locality adjustment, for GS-15, step 10.

"(ii) Any amount of salary paid to an employee or of total annual payment to each contracted personnel that exceeds the amount provided under the structure of this paragraph must be from non-Federal non-Act sources.

"(iii) The salary structure provided in subparagraph (B)(i) shall be subject to any requirements that may be established for the General Schedule by an appropriations Act or by Presidential executive order for any Federal fiscal year.

"(iv) A public housing agency must certify that it has established detailed performance measures that describe how public housing agency employees or personnel hired as contractors may receive a salary or contract increase within the limits of subparagraph (B)(i). The certification shall be transmitted to the Secretary in a format as determined by the Secretary.

"(C) **DEFINITIONS.**—For purposes of this section—

"(i) Employee includes any member of a public housing agency organization whose salary is paid in whole or in part from funds provided under this Act, and regardless of whether such employee is full-time or part-time, temporary or permanent.

"(ii) Contracted personnel includes any member of a public housing agency organization whose position is procured under uniform administrative rules for Federal grants and cooperative agreements and who is paid in whole or in part from funds provided under this Act, and regardless of whether such individual is full-time or part-time, hourly, temporary or permanent. No such position shall be for a period beyond 5 years without re-procurement.

"(iii) Salary includes the annual basic rate of pay, including a locality adjustment, as provided in sub-paragraph (B) and any additional adjustments, such as may be provided for overtime or shift differentials, bonuses, or contract payments including bonuses. Salary does not include fringe benefits as defined in principles and standards for determining costs for Federal awards.

"(D) **DISCLOSURE OF RECORDS.**— Each public housing agency shall make available to the Secretary upon request such financial and other records as the Secretary deems necessary for purpose of review and monitoring compliance with this section."

(b) **EFFECTIVE DATE.**—The amendment made by subsection (a) shall take effect on January 1, 2014 except that for contract personnel the amendment should be effective upon the expiration of any contract in effect on the date of enactment of the amendment.

**SEC. 237. UTILITY ALLOWANCE.**—Section 8(o)(2) of the United States Housing Act of 1937 (42 U.S.C. 1437f(o)(2)), is amended—

by adding at the end the following new subparagraph:

"(D) **UTILITY ALLOWANCE.**

"(1) **GENERAL.**—In determining the monthly assistance payment for a family under subparagraphs (A) and (B), the amount allowed for tenant-paid utilities shall not exceed the appropriate utility allowance for the family unit size as determined by the public housing agency regardless of the size of the dwelling unit leased by the family.

"(2) **EXCEPTION FOR FAMILIES INCLUDING PERSONS WITH DISABILITIES.**—Notwithstanding subparagraph (A), upon request by a family that includes a person with disabilities, the public housing agency shall approve a utility allowance that is higher than the applicable amount on the utility allowance schedule if a higher utility allowance is needed as a reasonable accommodation to make the program accessible to and usable by the family member with a disability."

**SEC. 238. FAIR MARKET RENTS.**—Paragraph (1) of section 8(c) of the United States Housing Act of 1937 (42 U.S.C. 1437) is amended—

(a) by inserting "(A)" after the paragraph designation;

(b) by striking the fourth, seventh, eighth, ninth sentences; and

(c) by adding at the end the following:

"(B) **Publication of Fair Market Rentals.**—Not less than annually:

"(1) The Secretary shall publish a notice in the Federal Register that proposed fair market rentals for an area have been published on the site of the Department on the Internet and in any other manner specified by the Secretary. Such notice shall describe proposed material changes in

the methodology for estimating fair market rentals and shall provide reasonable time for public comment.

"(2) The Secretary shall publish a notice in the Federal Register that final fair market rentals have been published on the site of the Department on the internet and in any other manner specified by the Secretary. Such notice shall include the final decisions regarding proposed substantial methodological changes for estimating fair market rentals and responses to public comments."

**SEC. 239.** Section 314 of the Department of Housing and Urban Development Appropriations Act, 2006 is repealed.

**SEC. 240.** Section 255 of the National Housing Act (12 U.S.C. 1715z(20)) is amended—

(a) in subsection (b)(1) by inserting before the period ", except that the term mortgagor shall not include the successors and assigns of the original borrower under a mortgage"; and

(b) in subsection (j) to read as follows: "(j) **SAFEGUARD TO PREVENT DISPLACEMENT OF HOMEOWNER.**—In order for a mortgage to be eligible for insurance under this section, the mortgage shall provide that the obligation of the mortgagor to satisfy the loan obligation is deferred until the death of the mortgagor, the sale of the home, or the occurrence of other events specified in regulations of the Secretary. Section 1647(b) of title 15 and any implementing regulations issued by the Board of Governors of the Federal Reserve System shall not apply to a mortgage insured under this section."

**SEC. 241. HOUSING COUNSELING AMENDMENTS—**

(a) Section 106 of the Housing and Urban Development Act of 1968 (12 U.S.C. 1701x) is amended—

(1) by adding at the end of the section the following new subsection: "(j) **FINANCIAL ASSISTANCE.**—For purposes of this section, the Secretary may enter into multiyear agreements as is appropriate, subject to the availability of annual appropriations."

(2) in subsection (e)(2) by adding the following undesignated matter at the end of paragraph (2): "These standards may provide that an individual may also show competence to provide counseling by having successfully completed training in each of the six areas."; and

(3) in subsection (f)—

(A) in paragraph (1), by inserting "or entities" after "(which may be a nonprofit organization)"; and

(B) in paragraphs (3) through (6), by inserting "or entities" after the word "entity" each place such word appears.

(b) Section 4(g)(3)(A) of the Department of Housing and Urban Development Act (42 U.S.C. 3533(g)(3)(A)) is amended by—

(1) by striking "and" in clause (i);

(2) in clause (ii), by striking the period at the end, and inserting "; and"; and

(3) by adding the following clause at the end: "(iii) to accept and retain, on behalf of the Secretary, and subject to procedures established by the Secretary, funds from private entities, including mortgage lenders and servicers, and any funds made available to the Director pursuant to the settlement of any legal proceedings, to be distributed and used for housing counseling activities under section 106 of the Housing and Urban Development Act of 1968."

**SEC. 242. COMMUNITY DEVELOPMENT BLOCK GRANT AMENDMENTS—**

(a) Section 102 of the Housing and Community Development Act of 1974 (42 U.S.C. 5302) is amended—

(1) in subsection (a)(4)—

(A) in the second sentence, by striking "Any" and inserting "Through September 30, 2013, but not thereafter, any";

(B) by amending the fourth sentence to read, "A city may elect not to maintain its classification as a metropolitan city."; and

(C) by striking the fifth sentence; and

(2) in subsection (a)(6)(B) by striking "Any" and inserting "Through September 30, 2013, but not thereafter, any".

(b) Section 106 of the Housing and Community Development Act of 1974 (42 U.S.C. 5306) is amended by adding at the end the following new subsection:

"(g) **QUALIFICATION FOR A GRANT.**—

"(1) In general, in fiscal year 2014 and for subsequent fiscal years, once a metropolitan city or urban county receives a formula allocation, it shall receive an allocation for five years regardless of its classification

as a metropolitan city, whether it meets the population criteria under section 102(a)(6)(A)(ii), or any decrease in its formula allocation.

"(2) Notwithstanding section 106(a)(4)—

"(A) except as provided in (B), the Secretary will not make an allocation—

"(i) to a metropolitan city or urban county if its allocation falls below 0.0125 percent of the appropriation for three years during the five year period in paragraph (1);

"(ii) for a city, if it does not meet the definition of a metropolitan city in section 102(a)(4) after the five year period; or

"(iii) for a county, if it no longer maintains the population under section 102(a)(6)(A)(ii) after the five year period; and

"(B) any metropolitan city or urban county that does not receive a grant in any fiscal year after September 30, 2012, shall only receive a grant in fiscal year 2014 and any subsequent year if its formula allocation is \$500,000 or greater."

#### SEC. 243. PERFORMANCE PARTNERSHIP PILOTS—

(a) DEFINITIONS. In this section,

(1) "Performance Partnership Pilot" (or "Pilot") is a project that seeks to identify, through a demonstration, cost-effective strategies for providing services at the state, regional, or local level that—

(A) involve two or more Federal programs (administered by one or more Federal agencies)—

(i) which have related policy goals, and

(ii) at least one of which is administered (in whole or in part) by a state, local, or tribal government; and

(B) achieve better results for regions, communities, or specific at risk populations through making better use of the budgetary resources that are available for supporting such programs.

(2) "To improve outcomes for disconnected youth" means to increase the rate at which individuals between the ages of 14 and 24 (who are homeless, in foster care, involved in the juvenile justice system, or are neither employed nor enrolled in an educational institution) achieve success in meeting educational, employment or other key goals.

(3) The "lead Federal administering agency" is the Federal agency, to be designated by the Director of the Office of Management and Budget (from among the participating Federal agencies that have statutory responsibility for the Federal discretionary funds that will be used in a Performance Partnership Pilot) that will enter into and administer the particular Performance Partnership Agreement on behalf of that agency and the other participating Federal agencies.

(b) USE OF DISCRETIONARY FUNDS IN FISCAL YEAR 2014 APPROPRIATIONS ACT. Federal agencies may use Federal discretionary funds, that are made available in this act or any other appropriations act providing funds for Fiscal Year 2014 and corresponding authority to enter into Performance Partnership Pilots, to carry out up to a total of 13 Performance Partnership Pilots involving up to a total of \$130,000,000 in aggregate Federal discretionary budget authority. Such Pilots shall:

(1) be designed to improve outcomes for disconnected youth, and

(2) involve Federal programs targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training and employment, and other related social services; and

(c) PERFORMANCE PARTNERSHIP AGREEMENTS. Federal agencies may use Federal discretionary funds, as authorized in subsection (b), to participate in a Performance Partnership Pilot only in accordance with the terms of a Performance Partnership Agreement that—

(1) is entered into between—

(A) the head of the lead Federal administering agency, on behalf of all of the participating Federal agencies (subject to the head of the lead Federal administering agency having received from the heads of each of the other participating agencies their written concurrence for entering into the Agreement), and

(B) the respective representatives of all of the state, local or tribal governments that are participating in the Agreement; and

(2) specifies, at a minimum, the following information:

(A) the length of the Agreement (which shall not extend beyond September 30, 2018);

(B) the Federal programs and federally-funded services that are involved in the Pilot;

(C) the Federal discretionary funds that are being used in the Pilot (by the respective Federal account identifier, and the total amount from

such account that is being used in the Pilot), and the period (or periods) of availability for obligation (by the Federal Government) of such funds;

(D) the non-Federal funds that are involved in the Pilot, by source (which can include private funds as well as governmental funds) and by amount;

(E) the state, local, or tribal programs that are involved in the Pilot;

(F) the populations to be served by the Pilot;

(G) the cost-effective Federal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;

(H) the cost-effective State, local or tribal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;

(I) the outcome (or outcomes) that the Pilot is designed to achieve;

(J) the appropriate, reliable, and objective outcome-measurement methodology that the Federal Government and the participating state, local, or tribal governments will use, in carrying out the Pilot, to determine whether the Pilot is achieving, and has achieved, the specified outcomes that the Pilot is designed to achieve; and

(K) in cases where, during the course of the Pilot, it is determined that the Pilot is not achieving the specified outcomes that it is designed to achieve,

(i) the consequences that will result from such deficiencies with respect to the Federal discretionary funds that are being used in the Pilot, and

(ii) the corrective actions that will be taken in order to increase the likelihood that the Pilot, upon completion, will have achieved such specified outcomes.

(d) AGENCY HEAD DETERMINATIONS. A Federal agency may participate in a Performance Partnership Pilot (including by providing Federal discretionary funds that have been appropriated to such agency) only upon the written determination by the head of such agency that the agency's participation in such Pilot—

(1) will not result in denying or restricting the eligibility of any individual for any of the services that (in whole or in part) are funded by the agency's programs and Federal discretionary funds that are involved in the Pilot, and

(2) based on the best available information, will not otherwise adversely affect vulnerable populations that are the recipients of such services. In making this determination, the head of the agency may take into consideration the other Federal discretionary funds that will be used in the Pilot as well as any non-Federal funds (including from private sources as well as governmental sources) that will be used in the Pilot.

(e) TRANSFER AUTHORITY. For the purpose of carrying out the Pilot in accordance with the Performance Partnership Agreement, and subject to the written approval of the Director of the Office of Management and Budget, the head of each participating Federal agency may transfer Federal discretionary funds that are being used in the Pilot to an account of the lead Federal administering agency that includes Federal discretionary funds that are being used in the Pilot. Subject to the waiver authority under subsection (g), such transferred funds shall remain available for the same purposes for which such funds were originally appropriated: Provided, That such transferred funds shall remain available for obligation by the Federal Government until the expiration of those Federal discretionary funds (which are being used in the Pilot) that have the longest period of availability, except that any such transferred funds shall not remain available beyond September 30, 2018.

(f) WAIVER AUTHORITY. In connection with a Federal agency's participation in a Performance Partnership Pilot, and subject to the other provisions of this section (including subsection (e)), the head of the Federal agency to which the Federal discretionary funds were appropriated may waive (in whole or in part) the application, solely to such discretionary funds that are being used in the Pilot, of any statutory, regulatory, or administrative requirement that such agency head—

(1) is otherwise authorized to waive (in accordance with the terms and conditions of such other authority), and

(2) is not otherwise authorized to waive, provided that in such case the agency head, prior to granting the waiver, shall—

(A) not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and substate levels;

(B) issue a written determination with respect to such discretionary funds that the granting of such waiver for purposes of the Pilot—

(i) is consistent with both—

*(I) the statutory purposes of the Federal program for which such discretionary funds were appropriated, and*

*(II) the other provisions of this section, including the written determination by the agency head issued under subsection (e);*

*(ii) is necessary to achieve the outcomes of the Pilot as specified in the Performance Partnership Agreement, and is no broader in scope than is necessary to achieve such outcomes; and*

*(iii) will result in either—*

*(I) realizing efficiencies by simplifying reporting burdens or reducing administrative barriers with respect to such discretionary funds, or*

*(II) increasing the ability of individuals to obtain access to services that are provided by such discretionary funds; and*

*(C) provide at least 60 days advance written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.*



# DEPARTMENT OF THE INTERIOR

## LAND AND MINERALS MANAGEMENT

### BUREAU OF LAND MANAGEMENT

The Bureau of Land Management (BLM) is charged with the multiple use management of natural resources on more than 245 million acres of surface estate of public land, about one-eighth of the land in the United States. BLM also administers approximately 700 million acres of onshore Federal mineral estate underlying BLM and other surface ownerships. In addition, BLM has trust responsibilities on 56 million acres of Indian trust lands for mineral operations and cadastral (land) surveys. The lands managed by BLM provide important natural resources, recreational and scenic values to the American people, as well as resource commodities and revenue to the Federal Government, States, and counties. It is the mission of BLM to sustain the health, diversity, and productivity of the public lands for the use and enjoyment of present and future generations. The Budget proposes to establish a Congressionally-chartered National BLM Foundation. This Foundation will provide the BLM with an opportunity to broaden its partnership capabilities, leverage private funding, and focus public support for the BLM mission of protecting and restoring the natural, cultural, historical, and recreation resources on the Nation's public lands.

### Federal Funds

### BUREAU OF LAND MANAGEMENT

### MANAGEMENT OF LANDS AND RESOURCES

*For necessary expenses for protection, use, improvement, development, disposal, cadastral surveying, classification, acquisition of easements and other interests in lands, and performance of other functions, including maintenance of facilities, as authorized by law, in the management of lands and their resources under the jurisdiction of the Bureau of Land Management, including the general administration of the Bureau, and assessment of mineral potential of public lands pursuant to Public Law 96-487 (16 U.S.C. 3150(a)), \$980,228,000, to remain available until expended; of which \$4,500,000 shall be available in fiscal year 2014 subject to a match by at least an equal amount by the National Fish and Wildlife Foundation for cost-shared projects supporting conservation of Bureau lands; and such funds shall be advanced to the Foundation as a lump-sum grant without regard to when expenses are incurred.*

*In addition, \$32,500,000 is for the processing of applications for permit to drill and related use authorizations, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation that shall be derived from a fee of \$6,500 per new application for permit to drill that the Bureau shall collect upon submission of each new application, and in addition, \$47,950,000 is for conducting oil and gas inspection activities, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation that shall be derived from onshore oil and gas inspection fees that the Bureau shall collect, as provided for in this Act, and in addition, \$6,500,000 is for the processing of grazing permits and leases, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation, which shall be derived by a \$1.00 per animal unit month administrative fee, as provided for in this Act, and in addition, \$39,696,000 is for Mining Law Administration program operations, including the cost of administering the mining claim fee program, to remain available until expended, to be reduced by amounts collected by the Bureau and credited to this appropriation from mining claim maintenance fees and location fees that are hereby authorized for fiscal year 2014 so as to result in a final appropriation estimated at not more than \$980,228,000, and \$2,000,000, to remain available until expended, from communication site rental fees established by the Bureau for the cost of administering communication site activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is oper-

ating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 14-1109-0-1-302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0011 Land resources .....  | 266         | 266     | 267       |
| 0012 Wildlife and fisheries .....                                  | 53          | 53      | 53        |
| 0013 Threatened and endangered species .....                       | 22          | 22      | 22        |
| 0014 Recreation management .....                                   | 69          | 69      | 70        |
| 0015 Energy and minerals .....                                     | 115         | 115     | 116       |
| 0016 Realty and ownership management .....                         | 82          | 82      | 83        |
| 0017 Resource protection .....                                     | 105         | 105     | 106       |
| 0018 Transportation and facilities maintenance .....               | 75          | 75      | 76        |
| 0019 Land and resource information systems .....                   | 17          | 17      | 17        |
| 0020 Workforce and organizational support .....                    | 161         | 161     | 162       |
| 0026 Challenge Cost Share .....                                    | 8           | 8       | 8         |
| 0030 National Monuments & NCA .....                                | 32          | 32      | 32        |
| 0799 Total direct obligations .....                                | 1,005       | 1,005   | 1,012     |
| 0801 Reimbursable program .....                                    | 17          | 17      | 17        |
| 0802 Communication site rental fees .....                          | 2           | 2       | 2         |
| 0803 Mining law administration .....                               | 41          | 40      | 39        |
| 0804 APD fees .....  | 34          | 33      | 32        |
| 0805 Cadastral reimbursable program .....                          | 13          | 13      | 9         |
| 0806 Inspection fees .....   |             |         | 4         |
| 0807 Grazing fees .....  |             |         | 3         |
| 0899 Total reimbursable obligations .....                          | 107         | 105     | 106       |
| 0900 Total new obligations .....                                   | 1,112       | 1,110   | 1,118     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 164         | 131     | 143       |
| 1021 Recoveries of prior year unpaid obligations .....             | 40          | 43      | 43        |
| 1050 Unobligated balance (total) .....                             | 204         | 174     | 186       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 962         | 966     | 980       |
| 1130 Appropriations permanently reduced .....                      | -2          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 960         | 966     | 980       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Offsetting collections (Mining law and Comm Sites) .....      | 43          | 42      | 42        |
| 1700 Offsetting collections (Economy Act) .....                    | 37          | 38      | 38        |
| 1700 Offsetting collections (APD fees) .....                       | 33          | 33      | 33        |
| 1700 Offsetting collections (Inspection fees) .....                |             |         | 48        |
| 1700 Offsetting Collections (Grazing fees) .....                   |             |         | 7         |
| 1701 Change in uncollected payments, Federal sources .....         | -34         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 79          | 113     | 168       |
| 1900 Budget authority (total) .....                                | 1,039       | 1,079   | 1,148     |
| 1930 Total budgetary resources available .....                     | 1,243       | 1,253   | 1,334     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 131         | 143     | 216       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 416         | 418     | 412       |
| 3010 Obligations incurred, unexpired accounts .....                | 1,112       | 1,110   | 1,118     |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020 Outlays (gross) .....   | -1,070      | -1,073  | -1,150    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -40         | -43     | -43       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 418         | 412     | 337       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -104        | -70     | -70       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 34          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -70         | -70     | -70       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 312         | 348     | 342       |
| 3200 Obligated balance, end of year .....                          | 348         | 342     | 267       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 1,039       | 1,079   | 1,148     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 867         | 826     | 909       |

BUREAU OF LAND MANAGEMENT—Continued  
Program and Financing—Continued

| Identification code 14–1109–0–1–302   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4011 Outlays from discretionary balances .....  | 203         | 247     | 241       |
| 4020 Outlays, gross (total) .....   | 1,070       | 1,073   | 1,150     |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4030 Federal sources .....  | –37         | –38     | –38       |
| 4033 Non-Federal sources .....  | –76         | –75     | –75       |
| 4033 Non-Federal sources .....  |             |         | –48       |
| 4033 Non-Federal sources .....  |             |         | –7        |
| 4040 Offsets against gross budget authority and outlays (total) ....                            | –113        | –113    | –168      |
| Additional offsets against gross budget authority only:   |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                                  | 34          |         |           |
| 4070 Budget authority, net (discretionary) .....  | 960         | 966     | 980       |
| 4080 Outlays, net (discretionary) .....   | 957         | 960     | 982       |
| 4180 Budget authority, net (total) .....  | 960         | 966     | 980       |
| 4190 Outlays, net (total) .....   | 957         | 960     | 982       |

**Land resources.**—Provides for management of rangeland and forest resources; riparian areas; soil, water, and air activities; wild horses and burros; and cultural resources. The Budget funds rangeland management activities through a combination of direct appropriations and offsetting collections generated from a proposed 3-year pilot program to assess a new administrative processing fee on grazing permits. The new fee would allow BLM to recover some of the costs of issuing grazing permits/leases on BLM lands and use the funds to address pending applications for grazing permit renewals. BLM would charge a fee of \$1 per Animal Unit Month, which would be collected along with current grazing fees. BLM would promulgate regulations for the continuation of the grazing administrative fee as a cost recovery fee after the pilot expires.

**Wildlife and fisheries management.**—Provides for maintenance, improvement, or enhancement of fish and wildlife habitats as part of the management of public lands and ecosystems.

**Threatened and endangered species management.**—Provides for protection, conservation, consultation, recovery, and evaluation of populations and habitats of threatened, endangered and special status animal and plant species.

**Recreation management.**—Provides for management and protection of recreational resource values, designated and potential wilderness areas, and collection and expenditure of recreation user fees. The Administration proposes to permanently reauthorize the Departments of the Interior and Agriculture recreation fee program under the Federal Lands Recreation Enhancement Act, which is set to expire on December 8, 2014.

**Energy and minerals management.**—Provides for management of onshore oil and gas; coal; geothermal and renewable energy resources such as wind and solar; other leasable minerals and mineral materials activities; and the administration of encumbrances on the mineral estate on Federal and Indian lands. The Budget funds oil and gas management activities through a combination of direct appropriations and offsetting collections generated from assessing fees for inspection activities and processing applications for permits to drill. The 2014 Budget funds the inspection activity in the Oil and Gas Management program through inspection fees. These fees are expected to generate \$48 million in 2014 that will be used to offset the costs of administering BLM's oil and gas program.

**Realty and ownership management.**—Provides for management and non-reimbursable processing of authorizations and compliance for realty actions and rights-of-way (including Alaska), administration of land title records and completion of cadastral

surveys on public lands. Provides for the processing of communication site use authorization requests.

**Resource protection.**—Provides for management of the land use planning and National Environmental Policy Act processes, including monitoring activities. Also ensures the health and safety of users of the public lands through remediation of abandoned mine lands and protection from criminal and other unlawful activities; the effects of hazardous material and/or waste; and physical safety hazards.

**Transportation and facilities management.**—Provides for construction and maintenance of administrative and recreation sites, roads, trails, bridges and dams, including compliance with building codes and standards and environmental protection requirements. These funds allow for the systematic management of facilities with critical health and safety concerns, and ensure the protection of natural and cultural resources and the environment. In 2014, the BLM will fund all construction and deferred maintenance projects from this activity, including those on the Oregon and California grant lands.

**Challenge Cost Share (CCS).**—This program leverages non-Federal funding, in-kind services, and materials with Federal funding to conduct on-the-ground projects that improve conditions of the public lands. These conservation, restoration, and enhancement projects benefit forestry, range, riparian, fish, wildlife, threatened and endangered species, recreation, and cultural resources.

**National Landscape Conservation System (NLCS).**—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations, key units in the NLCS. The program provides for the recurring operational (base) budgets of these NLCS units.

**Workforce and organizational support.**—Provides for the management of specified bureau business practices, such as human resources, Equal Employment Opportunity, financial resources, procurement, property, information technology, and fixed costs.

**Mining law administration.**—Provides for exploration and development of minerals on public lands pursuant to the General Mining Law of 1872, including validity examinations, patent application reviews, enforcement of environmental and bonding requirements, and recordation of mining claims. Program costs are expected to be fully offset by claim maintenance and other fees in 2014.

## Object Classification (in millions of dollars)

| Identification code 14–1109–0–1–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 364         | 362     | 370       |
| 11.3 Other than full-time permanent .....                       | 23          | 22      | 24        |
| 11.5 Other personnel compensation .....                         | 16          | 18      | 19        |
| 11.9 Total personnel compensation .....                         | 403         | 402     | 413       |
| 12.1 Civilian personnel benefits .....                          | 129         | 129     | 131       |
| 21.0 Travel and transportation of persons .....                 | 16          | 14      | 14        |
| 22.0 Transportation of things .....                             | 9           | 8       | 8         |
| 23.1 Rental payments to GSA .....                               | 23          | 23      | 23        |
| 23.2 Rental payments to others .....                            | 32          | 33      | 33        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 23          | 23      | 23        |
| 24.0 Printing and reproduction .....                            | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 18          | 16      | 16        |
| 25.2 Other services from non-Federal sources .....              | 147         | 146     | 145       |
| 25.3 Other goods and services from Federal sources .....        | 59          | 59      | 59        |
| 25.4 Operation and maintenance of facilities .....              | 8           | 8       | 6         |
| 25.5 Research and development contracts .....                   |             | 4       | 4         |
| 25.7 Operation and maintenance of equipment .....               | 13          | 13      | 13        |
| 26.0 Supplies and materials .....                               | 27          | 24      | 24        |
| 31.0 Equipment .....  | 15          | 15      | 15        |
| 32.0 Land and structures .....                                  | 10          | 10      | 9         |
| 41.0 Grants, subsidies, and contributions .....                 | 71          | 71      | 71        |
| 42.0 Insurance claims and indemnities .....                     |             | 1       | 1         |



|      |                                |       |       |       |
|------|--------------------------------|-------|-------|-------|
| 99.0 | Direct obligations .....       | 1,005 | 1,001 | 1,010 |
| 99.0 | Reimbursable obligations ..... | 107   | 109   | 108   |
| 99.9 | Total new obligations .....    | 1,112 | 1,110 | 1,118 |

**Employment Summary**

| Identification code 14-1109-0-1-302                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 5,596       | 5,502   | 5,639     |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 851         | 851     | 836       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 2,376       | 2,178   | 2,138     |

**CONSTRUCTION**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 14-1110-0-1-302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 5           | 5       | 5         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 12          | 11      | 10        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 4           | 4       | .....     |
| 1160 Appropriation, discretionary (total) .....                 | 4           | 4       | .....     |
| 1930 Total budgetary resources available .....                  | 16          | 15      | 10        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 11          | 10      | 5         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 18          | 5       | 4         |
| 3010 Obligations incurred, unexpired accounts .....             | 5           | 5       | 5         |
| 3020 Outlays (gross) .....                                      | -17         | -6      | -5        |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 5           | 4       | 4         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 18          | 5       | 4         |
| 3200 Obligated balance, end of year .....                       | 5           | 4       | 4         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 4           | 4       | .....     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 1           | 1       | .....     |
| 4011 Outlays from discretionary balances .....                  | 16          | 5       | 5         |
| 4020 Outlays, gross (total) .....                               | 17          | 6       | 5         |
| 4180 Budget authority, net (total) .....                        | 4           | 4       | .....     |
| 4190 Outlays, net (total) .....                                 | 17          | 6       | 5         |

**Construction.**—Provides for the construction of buildings, recreation facilities, bridges, roads, and trails necessary for effective multiple use management of the public lands and resources. In 2014, the Budget proposes to eliminate this account and fund projects through the Management of Lands and Resources appropriation.

**Object Classification** (in millions of dollars)

| Identification code 14-1110-0-1-302                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 1           | 1       | .....     |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | .....       | 2       | 1         |
| 32.0 Land and structures .....                           | 3           | 1       | 3         |

|      |                             |   |   |   |
|------|-----------------------------|---|---|---|
| 99.9 | Total new obligations ..... | 5 | 5 | 5 |
|------|-----------------------------|---|---|---|

**Employment Summary**

| Identification code 14-1110-0-1-302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 12          | 12      | .....     |

**OREGON AND CALIFORNIA GRANT LANDS**

*For expenses necessary for management, protection, and development of resources and for construction, operation, and maintenance of access roads, reforestation, and other improvements on the revested Oregon and California Railroad grant lands, on other Federal lands in the Oregon and California land-grant counties of Oregon, and on adjacent rights-of-way; and acquisition of lands or interests therein, including existing connecting roads on or adjacent to such grant lands; \$115,543,000, to remain available until expended: Provided, That 25 percent of the aggregate of all receipts during the current fiscal year from the revested Oregon and California Railroad grant lands is hereby made a charge against the Oregon and California land-grant fund and shall be transferred to the General Fund in the Treasury in accordance with the second paragraph of subsection (b) of title II of the Act of August 28, 1937 (50 Stat. 876).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 14-1116-0-1-302                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 Western Oregon Maintenance .....                             | 11          | 10      | 10        |
| 0004 Western Oregon Resource Management .....                     | 107         | 95      | 99        |
| 0005 Western Oregon Data Systems Operation & Management .....     | 2           | 2       | 2         |
| 0006 Western Oregon National Monuments & NCA .....                | 1           | 2       | 2         |
| 0900 Total new obligations .....                                  | 121         | 109     | 113       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 9           | 4       | 8         |
| 1021 Recoveries of prior year unpaid obligations .....            | 4           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 13          | 4       | 8         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 112         | 113     | 116       |
| 1160 Appropriation, discretionary (total) .....                   | 112         | 113     | 116       |
| 1930 Total budgetary resources available .....                    | 125         | 117     | 124       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 4           | 8       | 11        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 39          | 48      | 47        |
| 3010 Obligations incurred, unexpired accounts .....               | 121         | 109     | 113       |
| 3020 Outlays (gross) .....  | -108        | -110    | -116      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 48          | 47      | 44        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 39          | 48      | 47        |
| 3200 Obligated balance, end of year .....                         | 48          | 47      | 44        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 112         | 113     | 116       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 81          | 84      | 86        |
| 4011 Outlays from discretionary balances .....                    | 27          | 26      | 30        |
| 4020 Outlays, gross (total) .....                                 | 108         | 110     | 116       |
| 4180 Budget authority, net (total) .....                          | 112         | 113     | 116       |
| 4190 Outlays, net (total) .....                                   | 108         | 110     | 116       |

## OREGON AND CALIFORNIA GRANT LANDS—Continued

**Western Oregon resources management.**—Provides for the management of 2.2 million acres of lands that are primarily forested ecosystems in western Oregon. These lands support a number of resource management activities including timber management, grazing management, and recreation management. In support of these activities, the BLM is involved in improving critical watersheds, restoring wildlife and fish habitat, providing safe recreation opportunities, and preserving cultural resources.

**Western Oregon information and resource data systems.**—Provides for the acquisition, operation, and maintenance of the automated data support systems required for the management of the Oregon and California programs.

**Western Oregon transportation and facilities maintenance.**—Provides for the maintenance of office buildings, warehouse and storage structures, shops, greenhouses, recreation sites, and the transportation system that are necessary to assure public safety and effective management of the lands in western Oregon. In 2014, the Budget proposes to fund deferred maintenance projects on Oregon and California Grant Lands from the Management of Lands and Resources appropriation.

**Western Oregon Acquisition.**—Provides for the acquisition of road easements and road use agreements for timber site access and for other resource management activities, including recreation use. This activity also provides for transportation planning, survey, and design of access and other resource management roads.

**Western Oregon National Landscape Conservation System (NLCS).**—Provides for the management of National Monuments, National Conservation Areas, and other Congressional conservation designations, key units in the NLCS. The program provides for the recurring operational (base) budgets of these NLCS units.

## Object Classification (in millions of dollars)

| Identification code 14–1116–0–1–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 47          | 47      | 48        |
| 11.3 Other than full-time permanent .....                       | 5           | 5       | 5         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 54          | 54      | 55        |
| 12.1 Civilian personnel benefits .....                          | 17          | 17      | 17        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 22.0 Transportation of things .....                             | 3           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 17          | 12      | 13        |
| 25.3 Other goods and services from Federal sources .....        | 7           | 3       | 4         |
| 25.4 Operation and maintenance of facilities .....              |             | 2       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 2           | 2       | 2         |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 5           | 5       | 5         |
| 41.0 Grants, subsidies, and contributions .....                 | 7           | 5       | 5         |
| 99.9 Total new obligations .....                                | 121         | 109     | 113       |

## Employment Summary

| Identification code 14–1116–0–1–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 780         | 780     | 782       |

## LAND ACQUISITION

For expenses necessary to carry out sections 205, 206, and 318(d) of Public Law 94–579, including administrative expenses and acquisition of lands or waters, or interests therein, \$32,618,000, to be derived from the Land and Water Conservation Fund and to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–5033–0–2–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Land acquisition .....                           | 24          | 24      | 16        |
| 0002 Acquisition management .....                     | 2           | 3       | 2         |
| 0900 Total new obligations .....                      | 26          | 27      | 18        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 19          | 15      | 10        |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 22          | 22      | 33        |
| 1160 Appropriation, discretionary (total) .....       | 22          | 22      | 33        |
| 1930 Total budgetary resources available .....        | 41          | 37      | 43        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 15          | 10      | 25        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 3       | 6         |
| 3010 Obligations incurred, unexpired accounts .....   | 26          | 27      | 18        |
| 3020 Outlays (gross) .....                            | –25         | –24     | –19       |
| 3050 Unpaid obligations, end of year .....            | 3           | 6       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 3       | 6         |
| 3200 Obligated balance, end of year .....             | 3           | 6       | 5         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 22          | 22      | 33        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 13          | 6       | 8         |
| 4011 Outlays from discretionary balances .....        | 12          | 18      | 11        |
| 4020 Outlays, gross (total) .....                     | 25          | 24      | 19        |
| 4180 Budget authority, net (total) .....              | 22          | 22      | 33        |
| 4190 Outlays, net (total) .....                       | 25          | 24      | 19        |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 22          | 22      | 33        |
| Outlays .....                           | 25          | 24      | 19        |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 16        |
| Outlays .....                           |             |         | 4         |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 22          | 22      | 49        |
| Outlays .....                           | 25          | 24      | 23        |

This appropriation provides for the acquisition of lands or interests in lands, by purchase or exchange, when necessary for public access and recreation use, preservation of open space, resource protection, and/or other purposes related to the management of public lands. The 2014 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in inter-agency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals. The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for the Departments of Agriculture and the Interior Land and

**Water Conservation Fund programs beginning in fiscal year 2014.****Object Classification** (in millions of dollars)

| Identification code 14–5033–0–2–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 1           |         |           |
| 32.0 Land and structures .....                         | 24          | 26      | 17        |
| 99.9 Total new obligations .....                       | 26          | 27      | 18        |

**Employment Summary**

| Identification code 14–5033–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 12          | 12      | 11        |

**LAND ACQUISITION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 14–5033–4–2–302                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Land acquisition .....                          |             |         | 9         |
| 0002 Acquisition management .....                    |             |         | 1         |
| 0900 Total new obligations (object class 32.0) ..... |             |         | 10        |

**Budgetary Resources:**

|   |  |  |    |
|---|--|--|----|
| Budget authority:   |  |  |    |
| Appropriations, mandatory:                                      |  |  |    |
| 1221 Appropriations transferred from other accts [14–5005] .... |  |  | 16 |
| 1260 Appropriations, mandatory (total) .....                    |  |  | 16 |
| 1930 Total budgetary resources available .....                  |  |  | 16 |
| Memorandum (non-add) entries:                                   |  |  |    |
| 1941 Unexpired unobligated balance, end of year .....           |  |  | 6  |

**Change in obligated balance:**

|   |  |  |    |
|---|--|--|----|
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 10 |
| 3020 Outlays (gross) .....                          |  |  | –4 |
| 3050 Unpaid obligations, end of year .....          |  |  | 6  |
| Memorandum (non-add) entries:                       |  |  |    |
| 3200 Obligated balance, end of year .....           |  |  | 6  |

**Budget authority and outlays, net:**

|   |  |  |    |
|---|--|--|----|
| Mandatory:                                      |  |  |    |
| 4090 Budget authority, gross .....              |  |  | 16 |
| Outlays, gross:                                 |  |  |    |
| 4100 Outlays from new mandatory authority ..... |  |  | 4  |
| 4180 Budget authority, net (total) .....        |  |  | 16 |
| 4190 Outlays, net (total) .....                 |  |  | 4  |

**RANGE IMPROVEMENTS**

For rehabilitation, protection, and acquisition of lands and interests therein, and improvement of Federal rangelands pursuant to section 401 of the Federal Land Policy and Management Act of 1976 (43 U.S.C. 1701), notwithstanding any other Act, sums equal to 50 percent of all moneys received during the prior fiscal year under sections 3 and 15 of the Taylor Grazing Act (43 U.S.C. 315 et seq.) and the amount designated for range improvements from grazing fees and mineral leasing receipts from Bankhead-Jones lands transferred to the Department of the Interior pursuant to law, but not less than \$10,000,000, to remain available until expended: Provided, That not to exceed \$600,000 shall be available for administrative expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–5132–0–2–302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Grazing Fees for Range Improvements, Taylor Grazing Act, As Amended ..... | 8           | 7       | 7         |
| 0400 Total: Balances and collections .....                                     | 8           | 7       | 7         |
| Appropriations:  |             |         |           |
| 0500 Range Improvements .....  | –8          | –7      | –7        |
| 0799 Balance, end of year .....  |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 14–5132–0–2–302           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>       |             |         |           |
| 0001 Public Lands Improvements .....          | 2           | 7       | 7         |
| 0002 Farm Tenant Act Lands Improvements ..... | 8           | 3       | 3         |
| 0900 Total new obligations .....              | 10          | 10      | 10        |

**Budgetary Resources:**

|  |    |    |    |
|--|----|----|----|
| Unobligated balance:                                   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2  | 3  | 3  |
| 1021 Recoveries of prior year unpaid obligations ..... | 1  |    |    |
| 1050 Unobligated balance (total) .....                 | 3  | 3  | 3  |
| Budget authority:                                      |    |    |    |
| Appropriations, mandatory:                             |    |    |    |
| 1200 Appropriation (General Fund) .....                | 2  | 3  | 3  |
| 1201 Appropriation (special or trust fund) .....       | 8  | 7  | 7  |
| 1260 Appropriations, mandatory (total) .....           | 10 | 10 | 10 |
| 1930 Total budgetary resources available .....         | 13 | 13 | 13 |
| Memorandum (non-add) entries:                          |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....  | 3  | 3  | 3  |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 6   | 4   | 4   |
| 3010 Obligations incurred, unexpired accounts .....               | 10  | 10  | 10  |
| 3020 Outlays (gross) .....  | –11 | –10 | –10 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 4   | 4   | 4   |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 6   | 4   | 4   |
| 3200 Obligated balance, end of year .....                         | 4   | 4   | 4   |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Mandatory:                                      |    |    |    |
| 4090 Budget authority, gross .....              | 10 | 10 | 10 |
| Outlays, gross:                                 |    |    |    |
| 4100 Outlays from new mandatory authority ..... | 5  | 4  | 4  |
| 4101 Outlays from mandatory balances .....      | 6  | 6  | 6  |
| 4110 Outlays, gross (total) .....               | 11 | 10 | 10 |
| 4180 Budget authority, net (total) .....        | 10 | 10 | 10 |
| 4190 Outlays, net (total) .....                 | 11 | 10 | 10 |

This appropriation is derived from a percentage of receipts from grazing of livestock on the public lands and from grazing and mineral leasing receipts on Bankhead-Jones Farm Tenant Act lands transferred from the Department of Agriculture by various Executive Orders. These funds are used for the planning, construction, development, and monitoring of range improvements.

**Object Classification** (in millions of dollars)

| Identification code 14–5132–0–2–302                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 2           | 2       | 2         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 1           |         |           |
| 25.3 Other goods and services from Federal sources ..... | 1           | 2       | 2         |
| 26.0 Supplies and materials .....                        | 2           | 2       | 2         |
| 32.0 Land and structures .....                           | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....          | 1           | 1       | 1         |

RANGE IMPROVEMENTS—Continued  
Object Classification—Continued

| Identification code 14–5132–0–2–302 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 10          | 10      | 10        |

## Employment Summary

| Identification code 14–5132–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 42          | 42      | 42        |

## SERVICE CHARGES, DEPOSITS, AND FORFEITURES

For administrative expenses and other costs related to processing application documents and other authorizations for use and disposal of public lands and resources, for costs of providing copies of official public land documents, for monitoring construction, operation, and termination of facilities in conjunction with use authorizations, and for rehabilitation of damaged property, such amounts as may be collected under Public Law 94–579, as amended, and Public Law 93–153, to remain available until expended: Provided, That, notwithstanding any provision to the contrary of section 305(a) of Public Law 94–579 (43 U.S.C. 1735(a)), any moneys that have been or will be received pursuant to that section, whether as a result of forfeiture, compromise, or settlement, if not appropriate for refund pursuant to section 305(c) of that Act (43 U.S.C. 1735(c)), shall be available and may be expended under the authority of this Act by the Secretary to improve, protect, or rehabilitate any public lands administered through the Bureau of Land Management which have been damaged by the action of a resource developer, purchaser, permittee, or any unauthorized person, without regard to whether all moneys collected from each such action are used on the exact lands damaged which led to the action: Provided further, That any such moneys that are in excess of amounts needed to repair damage to the exact land for which funds were collected may be used to repair other damaged public lands.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5017–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                          |             |         |           |
| Receipts:  |             |         |           |
| 0220 Service Charges, Deposits, and Forfeitures, BLM ..... | 32          | 32      | 32        |
| 0400 Total: Balances and collections .....                 | 32          | 32      | 32        |
| Appropriations:  |             |         |           |
| 0500 Service Charges, Deposits, and Forfeitures .....      | –32         | –32     | –32       |
| 0799 Balance, end of year .....                            |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 14–5017–0–2–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Right-of-way processing .....                    | 15          | 15      | 15        |
| 0004 Energy and minerals cost recovery .....          | 3           | 3       | 3         |
| 0005 Wild horse and burro cost recover .....          | 2           | 2       | 2         |
| 0006 Repair of damaged lands .....                    | 4           | 4       | 4         |
| 0007 Cost recoverable realty .....                    | 1           | 1       | 1         |
| 0008 Recreation cost recovery .....                   | 2           | 2       | 2         |
| 0009 Copy fees .....                                  | 1           | 1       | 1         |
| 0011 Trans Alaska Pipeline Authority .....            | 4           | 4       | 4         |
| 0900 Total new obligations .....                      | 32          | 32      | 32        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 47          | 47      | 47        |

|   |    |    |    |
|---|----|----|----|
| Budget authority:                                     |    |    |    |
| Appropriations, discretionary:                        |    |    |    |
| 1101 Appropriation (special or trust fund) .....      | 32 | 32 | 32 |
| 1160 Appropriation, discretionary (total) .....       | 32 | 32 | 32 |
| 1930 Total budgetary resources available .....        | 79 | 79 | 79 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 47 | 47 | 47 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 6   | 8   | 6   |
| 3010 Obligations incurred, unexpired accounts .....   | 32  | 32  | 32  |
| 3020 Outlays (gross) .....                            | –30 | –34 | –34 |
| 3050 Unpaid obligations, end of year .....            | 8   | 6   | 4   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 6   | 8   | 6   |
| 3200 Obligated balance, end of year .....             | 8   | 6   | 4   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 32 | 32 | 32 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 15 | 16 | 16 |
| 4011 Outlays from discretionary balances .....      | 15 | 18 | 18 |
| 4020 Outlays, gross (total) .....                   | 30 | 34 | 34 |
| 4180 Budget authority, net (total) .....            | 32 | 32 | 32 |
| 4190 Outlays, net (total) .....                     | 30 | 34 | 34 |

This appropriation is derived from: 1) revenues received to offset administrative and other costs incurred to process applications for rights-of-way, and the monitoring of construction, operation, and termination of rights-of-ways; 2) recovery of costs associated with the adopt-a-horse program; 3) revenues received for rehabilitation of damages to lands, resources, and facilities; 4) fees for processing specified categories of realty actions under the Federal Land Policy Management Act; 5) deposits received from contractors in lieu of completing contract requirements such as slash burning and timber extension expenses; 6) fees for costs of reproduction and administrative services involved in providing requested copies of materials; 7) fixed fees for energy and minerals lease applications, assignments, and transfers; 8) costs of processing applications and administering permits, including environmental analysis and monitoring of special recreation permits; and 9) rents received for permits to do commercial filming and photography on public lands. The Budget assumes that BLM will continue to identify new opportunities to recover more of its costs from benefiting public land users in order to reduce the need for direct appropriations from the Treasury.

## Object Classification (in millions of dollars)

| Identification code 14–5017–0–2–302                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 14          | 14      | 14        |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                  | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 16          | 16      | 16        |
| 12.1 Civilian personnel benefits .....                   | 5           | 5       | 5         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 3           | 3       | 3         |
| 25.3 Other goods and services from Federal sources ..... | 4           | 3       | 3         |
| 26.0 Supplies and materials .....                        | 1           | 1       | 1         |
| 32.0 Land and structures .....                           | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 32          | 32      | 32        |

## Employment Summary

| Identification code 14–5017–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 211         | 211     | 208       |

## PERMANENT OPERATING FUNDS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–9926–0–2–302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 80          | 77      | 78        |
| Adjustments:   |             |         |           |
| 0190 Adjustment - late processing of surplus warrant .....                     | -4          |         |           |
| 0199 Balance, start of year .....  | 76          | 77      | 78        |
| Receipts:  |             |         |           |
| 0220 Deposits for Road Maintenance and Reconstruction .....                    | 2           | 2       | 2         |
| 0221 Rents and Charges for Quarters, Bureau of Land Management, Interior ..... | 1           | 1       | 1         |
| 0222 Forest Ecosystem Health and Recovery, Disposal of Salvage Timber .....    | 6           | 6       | 3         |
| 0223 Forest Ecosystem Health and Recovery, Disposal of Salvage Timber .....    |             |         | 2         |
| 0224 Land Sales, Southern Nevada Public Land Management .....                  | 7           | 13      | 5         |
| 0225 Timber Sale Pipeline Restoration Fund .....                               | 4           | 4       | 1         |
| 0226 Timber Sale Pipeline Restoration Fund .....                               |             |         | 1         |
| 0227 Surplus Land Sales, Federal Land Disposal Account .....                   |             |         | 5         |
| 0228 Recreation Enhancement Fee, BLM .....                                     | 17          | 18      | 19        |
| 0229 Washington County, Utah Land Acquisition Account .....                    |             |         | 1         |
| 0230 Rent from Mineral Leases, Permit Processing Improvement Fund .....        | 19          | 21      | 19        |
| 0240 Earnings on Investments, Southern Nevada Public Land Management .....     | 1           | 1       | 1         |
| 0299 Total receipts and collections .....                                      | 57          | 66      | 60        |
| 0400 Total: Balances and collections .....                                     | 133         | 143     | 138       |
| Appropriations:  |             |         |           |
| 0500 Permanent Operating Funds .....   | -17         | -18     | -19       |
| 0501 Permanent Operating Funds .....   | -6          | -6      | -3        |
| 0502 Permanent Operating Funds .....   | -4          | -4      | -2        |
| 0503 Permanent Operating Funds .....   | -2          | -2      | -2        |
| 0504 Permanent Operating Funds .....   | -7          | -13     | -5        |
| 0505 Permanent Operating Funds .....   | -1          | -1      | -1        |
| 0506 Permanent Operating Funds .....   | -19         | -20     | -16       |
| 0507 Permanent Operating Funds .....   | -1          | -1      | -1        |
| 0508 Permanent Operating Funds .....   |             |         | -1        |
| 0509 Permanent Operating Funds .....   |             |         | -1        |
| 0510 Permanent Operating Funds .....   |             |         | -2        |
| 0511 Permanent Operating Funds .....   |             |         | -5        |
| 0512 Permanent Operating Funds .....   |             |         | -3        |
| 0599 Total appropriations .....  | -57         | -65     | -61       |
| 0610 Permanent Operating Funds .....   | 1           |         |           |
| 0799 Balance, end of year .....  | 77          | 78      | 77        |

## Program and Financing (in millions of dollars)

| Identification code 14–9926–0–2–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                       |             |         |           |
| 0001 Forest ecosystems health and recovery .....       | 5           | 6       | 5         |
| 0002 Recreation fee demonstration .....                | 17          | 18      | 19        |
| 0003 Expenses, road maintenance deposits .....         | 1           | 1       | 1         |
| 0004 Timber sale pipeline restoration fund .....       | 5           | 4       | 4         |
| 0005 Southern Nevada public land sales (85) .....      | 101         | 110     | 114       |
| 0008 Lincoln County Lands Act .....                    | 4           | 5       | 1         |
| 0013 Operation and maintenance of quarters .....       | 1           | 1       | 1         |
| 0014 Permit Processing Improvement Fund .....          | 23          | 19      | 19        |
| 0015 Geothermal Steam Act Fund .....                   | 3           | 3       | 1         |
| 0018 NPR-2 Cleanup Fund .....                          |             |         | 1         |
| 0900 Total new obligations .....                       | 160         | 167     | 166       |
| Budgetary Resources:                                   |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 657         | 625     | 523       |
| 1021 Recoveries of prior year unpaid obligations ..... | 72          |         |           |
| 1029 Other balances withdrawn .....                    | -1          |         |           |
| 1050 Unobligated balance (total) .....                 | 728         | 625     | 523       |

## Budget authority:

|   |     |     |     |
|---|-----|-----|-----|
| Appropriations, mandatory:                                  |     |     |     |
| 1201 Recreation fee demonstration program .....             | 17  | 18  | 19  |
| 1201 Forest ecosystem health and recovery fund .....        | 6   | 6   | 3   |
| 1201 Timber sales pipeline restoration fund .....           | 4   | 4   | 2   |
| 1201 Expenses, road maintenance deposits .....              | 2   | 2   | 2   |
| 1201 S. Nevada public land management .....                 | 7   | 13  | 5   |
| 1201 S. Nevada public land management-interest earned ..... | 1   | 1   | 1   |
| 1201 Permit processing improvement fund .....               | 19  | 20  | 16  |
| 1201 Operation and maintenance of quarters .....            | 1   | 1   | 1   |
| 1201 Owyhee Land Acquisition .....                          |     |     | 1   |
| 1260 Appropriations, mandatory (total) .....                | 57  | 65  | 50  |
| 1930 Total budgetary resources available .....              | 785 | 690 | 573 |
| Memorandum (non-add) entries:                               |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....       | 625 | 523 | 407 |
| Special and non-revolving trust funds:                      |     |     |     |
| 1950 Other balances withdrawn .....                         | 1   |     |     |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 754  | 492  | 376  |
| 3010 Obligations incurred, unexpired accounts .....               | 160  | 167  | 166  |
| 3020 Outlays (gross) .....  | -350 | -283 | -220 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -72  |      |      |
| 3050 Unpaid obligations, end of year .....                        | 492  | 376  | 322  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 754  | 492  | 376  |
| 3200 Obligated balance, end of year .....                         | 492  | 376  | 322  |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 57  | 65  | 50  |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 20  | 37  | 30  |
| 4101 Outlays from mandatory balances .....      | 330 | 246 | 190 |
| 4110 Outlays, gross (total) .....               | 350 | 283 | 220 |
| 4180 Budget authority, net (total) .....        | 57  | 65  | 50  |
| 4190 Outlays, net (total) .....                 | 350 | 283 | 220 |

## Memorandum (non-add) entries:

|  |       |     |     |
|--|-------|-----|-----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1,166 | 881 | 666 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 881   | 666 | 503 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 57          | 65      | 50        |
| Outlays .....                           | 350         | 283     | 220       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 11        |
| Outlays .....                           |             |         | 6         |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 57          | 65      | 61        |
| Outlays .....                           | 350         | 283     | 226       |

## Permanent operating funds accounts include:

*Operations and maintenance of quarters.*—Funds in this account are used to maintain and repair BLM employee-occupied quarters from which rental charges are collected. Agencies are required to collect quarters rentals from employees who occupy Government-owned housing and quarters. This housing is provided only in isolated areas or where an employee is required to live on-site at a Federally owned facility or reservation.

*Forest ecosystems health and recovery.*—Funds in this account are derived from revenue generated from the Federal share of receipts from the sale of salvage timber from the Oregon and California grant lands, public domain lands, and Coos Bay Wagon Road lands. Pursuant to Public Law 102–381, as amended, this account was established to allow BLM to more efficiently and effectively address forest health issues. Funds can be used for other forest health purposes, including release from competing vegetation and density control treatments.

*Timber sale pipeline restoration fund.*—This fund provides for the deposit and use of fees collected by BLM for sales of timber

## PERMANENT OPERATING FUNDS—Continued

authorized by section 2001(k) of Public Law 104–19. Of the total deposited into this account, 75 percent is to be used for the preparation of timber sales to fill the timber pipeline on lands administered by the BLM, and 25 percent is to be used to address recreation projects on the BLM lands.

*Expenses, road maintenance deposits.*—Users of certain roads under the BLM's jurisdiction make deposits for maintenance purposes. Moneys collected are appropriated for necessary road maintenance. Moneys collected on Oregon and California grant lands are available only for those lands (43 U.S.C. 1762(c), 43 U.S.C. 1735(b)).

*Federal Lands Recreation Enhancement Act, BLM.*—The Federal Lands Recreation Enhancement Act (FLREA) was enacted on December 8, 2004, as part of the Consolidated Appropriations Act for 2005. FLREA replaced the Recreation Fee Demonstration Program, and most current BLM sites have transitioned to the new program. All receipts collected under this authority are deposited to this account. The BLM returns 100 percent of these receipts back to the site where the fees were generated. FLREA authorizes this program through 2014.

*Acquisitions in Deschutes, Oregon from land sale receipts.*—Pursuant to Public Law 105–221, the Oregon Public Lands Transfer Act, the Secretary of the Interior is authorized to use the proceeds from sales in Deschutes County to purchase environmentally sensitive lands.

*Operations and acquisitions in Nevada from land sale receipts.*—Pursuant to Public Law 105–263, 85 percent of receipts from sales of public domain lands in southern Nevada are used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by the National Park Service, the U.S. Fish and Wildlife Service and the BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse the BLM for costs incurred arranging sales and exchanges under the Act.

*Lincoln County Land Sales Act.*—Public Law 106–298 authorizes the Secretary to dispose of certain lands in Lincoln County, Nevada, and distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the County; and 85 percent to an interest bearing account that is available for expenditure without further appropriation to be used by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

*White Pine County Land Sales Act.*—Public Law 109–432 authorizes the Secretary to dispose of certain lands in White Pine County, Nevada, and to distribute the proceeds as follows: five percent to the State of Nevada; 10 percent to the County; and 85 percent to an account that is available for expenditure without further appropriation for the management of archaeological resources, wilderness protection, recreation activities, preparation of a management plan, reimbursement for sale costs, and other purposes.

*Leases from Naval Petroleum Reserve No. 2.*—The 2005 Energy Policy Act established this fund for environmental investigation and restoration on that site located in Kern County, California. A portion of revenue from new leases on the site is authorized to be deposited to this account.

*BLM Permit Processing Improvement Fund.*—The 2005 Energy Policy Act established pilot offices to improve interagency co-

ordination in processing onshore Federal oil and gas permits. Fifty-percent of the rents from non-geothermal onshore mineral leases are authorized to be deposited in this fund through 2015 and used to facilitate BLM oil and gas permit processing in these pilot offices.

*Geothermal Lease Revenue Fund.*—The 2005 Energy Policy Act established this fund. Twenty-five percent of geothermal bonuses, rents, and royalties were authorized to be deposited to this account through 2010 and used to expedite geothermal leasing activities. Unobligated balances are expected to be exhausted in 2013.

*Federal land disposal.*—The Federal Land Transaction Facilitation Act, P.L. 106–248 (114 Stat. 613), provided authority for the BLM to sell public lands that were classified as suitable for disposal under resource management plans in effect at the time of enactment. This law provided that receipts from such sales could be used to acquire non-Federal lands with significant resource values that fall within the boundaries of areas now managed by DOI. This authority expired on July 25, 2010, and was later was reauthorized through July 25, 2011. All funds not obligated by both expiration dates were transferred to the Land and Water Conservation Fund.

*Owyhee Land Acquisition Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1039), provides that the Secretary may sell public land located within the Boise District of the Bureau of Land Management that, as of July 25, 2000, was identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land in, or adjacent to, certain wilderness areas.

*Washington County, Utah Land Acquisition Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1091), authorizes the sale of public land located within Washington County, Utah, that, as of July 25, 2000, has been identified for disposal in appropriate resource management plans. Amounts in the account shall be available to the Secretary, without further appropriation, to purchase land or interests in land in, or adjacent to, certain wilderness areas.

*Silver Saddle Endowment Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1114), requires Carson City to deposit twenty-five percent of the difference between what the Secretary of the Interior and the City paid for the 62-acre Bernhard parcel before the Secretary conveys the land to the City. Amounts deposited in the account established by paragraph (1) shall be available to the Secretary, without further appropriation, for the oversight and enforcement of a certain conservation easement.

*Carson City Special Account.*—The 2009 Omnibus Public Land Management Act, P.L. 111–11 (123 STAT. 1113), authorizes the sale of 158 acres of public land described in the statute. Five percent of the proceeds are paid to the State of Nevada for use for public education. The remainder is deposited to this account and used to acquire environmentally sensitive land or an interest in environmentally sensitive land in Carson City; to cover the cost of surveys and appraisals; and to reimburse the Bureau of Land Management for administrative expenses.

## Object Classification (in millions of dollars)

| Identification code 14–9926–0–2–302 |                                      | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--------------------------------------|-------------|---------|-----------|
| Direct obligations:                 |                                      |             |         |           |
| Personnel compensation:             |                                      |             |         |           |
| 11.1                                | Full-time permanent .....            | 24          | 26      | 21        |
| 11.3                                | Other than full-time permanent ..... | 3           | 3       | 3         |
| 11.5                                | Other personnel compensation .....   | 2           | 2       | 2         |
| 11.9                                | Total personnel compensation .....   | 29          | 31      | 26        |

|                              |   |     |     |       |
|------------------------------|---|-----|-----|-------|
| 12.1                         | Civilian personnel benefits .....                   | 9   | 9   | 9     |
| 21.0                         | Travel and transportation of persons .....          | 2   | 2   | 2     |
| 22.0                         | Transportation of things .....                      | 1   | 1   | 1     |
| 25.1                         | Advisory and assistance services .....              | 2   | 1   | 1     |
| 25.2                         | Other services from non-Federal sources .....       | 9   | 9   | 9     |
| 25.3                         | Other goods and services from Federal sources ..... | 9   | 11  | 11    |
| 25.7                         | Operation and maintenance of equipment .....        | 1   | 1   | 2     |
| 26.0                         | Supplies and materials .....                        | 2   | 1   | 1     |
| 31.0                         | Equipment .....                                     | 1   | 1   | 1     |
| 32.0                         | Land and structures .....                           | 4   | 4   | 4     |
| 41.0                         | Grants, subsidies, and contributions .....          | 7   | 10  | 13    |
| 99.0                         | Direct obligations .....                            | 76  | 81  | 80    |
| Allocation Account - direct: |   |     |     |       |
| 11.1                         | Personnel compensation: Full-time permanent .....   | 1   | 1   | 1     |
| 21.0                         | Travel and transportation of persons .....          | 2   | 2   | 2     |
| 22.0                         | Transportation of things .....                      | 2   | 2   | 2     |
| 25.1                         | Advisory and assistance services .....              | 2   | 1   | ..... |
| 25.2                         | Other services from non-Federal sources .....       | 19  | 19  | 19    |
| 25.3                         | Other goods and services from Federal sources ..... | 22  | 22  | 22    |
| 25.7                         | Operation and maintenance of equipment .....        | 2   | 2   | 2     |
| 26.0                         | Supplies and materials .....                        | 5   | 1   | 1     |
| 31.0                         | Equipment .....                                     | 2   | 1   | 1     |
| 32.0                         | Land and structures .....                           | 10  | 10  | 10    |
| 41.0                         | Grants, subsidies, and contributions .....          | 17  | 25  | 26    |
| 99.0                         | Allocation account - direct .....                   | 84  | 86  | 86    |
| 99.9                         | Total new obligations .....                         | 160 | 167 | 166   |

**Employment Summary**

| Identification code 14-9926-0-2-302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 493         | 521     | 449       |

**PERMANENT OPERATING FUNDS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 14-9926-4-2-302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Federal Land Disposal Account .....                           | .....       | .....   | 5         |
| 0002 FEHRF and TSPRF .....   | .....       | .....   | 1         |
| 0900 Total new obligations .....                                   | .....       | .....   | 6         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (Timber Sales Pipeline Restoration Fund) ....   | .....       | .....   | 1         |
| 1201 Appropriation (Forest Ecosystem Health & Recovery Fund) ..... | .....       | .....   | 2         |
| 1201 Appropriation (Federal Land Disposal Account) .....           | .....       | .....   | 5         |
| 1201 Appropriation (Alaska Land Conveyance and Remediation) .....  | .....       | .....   | 3         |
| 1260 Appropriations, mandatory (total) .....                       | .....       | .....   | 11        |
| 1930 Total budgetary resources available .....                     | .....       | .....   | 11        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | .....       | .....   | 5         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | .....       | .....   | 6         |
| 3020 Outlays (gross) .....   | .....       | .....   | -6        |

**Budget authority and outlays, net:**

|   |       |       |    |
|---|-------|-------|----|
| Mandatory:                                      |       |       |    |
| 4090 Budget authority, gross .....              | ..... | ..... | 11 |
| Outlays, gross:                                 |       |       |    |
| 4100 Outlays from new mandatory authority ..... | ..... | ..... | 6  |
| 4180 Budget authority, net (total) .....        | ..... | ..... | 11 |
| 4190 Outlays, net (total) .....                 | ..... | ..... | 6  |

**Reauthorize the Federal Lands Transaction Facilitation Act (FLTFA).**—The Budget proposes to reauthorize the FLTFA land sale authority, which expired in July 2011, to allow lands identified as suitable for disposal in recent land use plans to be sold using the FLTFA authority. FLTFA sales revenues would continue to be used to fund the acquisition of environmentally sensitive

lands and to cover BLM's administrative costs associated with conducting sales.

**Terminate the BLM Permit Processing Improvement Fund.**—As part of a broader package of oil and gas management reforms, the Budget proposes to reauthorize and expand the oil and gas permitting pilot office authority established under the 2005 Energy Policy Act (EPAct), while terminating in FY 2015 this mandatory fund established by EPAct. The mandatory nature of this funding reduces the agency's administrative flexibility to reallocate funding between programs and field offices. For FY 2014, the Budget assumes the mandatory fund will continue, resulting in a reduced need for discretionary appropriations. In 2014, BLM will also continue to rely on the oil and gas permit processing fees imposed by appropriations language and credited as offsetting collections to the Management of Lands and Resources account. This proposal would also repeal EPAct's prohibition on BLM charging oil and gas permit fees administratively; the Budget assumes that once the fee prohibition is lifted, BLM will begin assessing these fees administratively in FY 2015.

**Reallocate State Share of NPR?A Revenues to Priority BLM Alaska Activities.**—The Budget proposes to temporarily halt revenue sharing payments to the State of Alaska from NPR?A oil and gas development in order to reallocate these resources to a new Alaska Land Conveyance and Remediation Fund. This fund would be used to supplement discretionary appropriations and address priority BLM program needs in Alaska, specifically the remediation of oil and gas legacy wells in NPR-A and the completion of remaining land title conveyances to the State of Alaska, individual Alaska Natives, and Alaska Native Corporations. The regular 50/50 Federal-State revenue sharing arrangement would resume once the work on these two Alaska-specific activities is complete. This approach of temporarily suspending revenue sharing payments is similar to the approach taken by Congress to address priority site remediation needs in the Naval Oil Shale Reserve No. 3 located in the State of Colorado.

**Object Classification** (in millions of dollars)

| Identification code 14-9926-4-2-302                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | .....       | .....   | 1         |
| 25.1 Advisory and assistance services .....              | .....       | .....   | 1         |
| 25.2 Other services from non-Federal sources .....       | .....       | .....   | 1         |
| 25.3 Other goods and services from Federal sources ..... | .....       | .....   | 2         |
| 32.0 Land and structures .....                           | .....       | .....   | 1         |
| 99.9 Total new obligations .....                         | .....       | .....   | 6         |

**Employment Summary**

| Identification code 14-9926-4-2-302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | .....       | .....   | 5         |

**MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14-9921-0-2-999  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 41          | 43      | 42        |
| Receipts:  |             |         |           |
| 0220 Receipts from Grazing, Etc., Public Lands outside Grazing Districts ..... | 1           | 1       | 1         |
| 0221 Receipts from Grazing, Etc., Public Lands within Grazing Districts .....  | 1           | 1       | 1         |
| 0222 Payments to States and Counties from Land Sales .....                     | 1           | 3       | 1         |
| 0223 Sale of Public Lands and Materials .....                                  | 2           | .....   | .....     |
| 0224 Deposits, Oregon and California Grant Lands .....                         | 12          | 12      | 8         |
| 0225 Deposits, Oregon and California Grant Lands .....                         | .....       | .....   | -8        |

**MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS—Continued**  
**Special and Trust Fund Receipts—Continued**

| Identification code 14–9921–0–2–999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0226 Coos Bay Wagon Road Grant Fund .....                  | 1           |         |           |
| 0227 Funds Reserved, Coos Bay Wagon Road Grant Lands ..... |             |         | 1         |
| 0228 Funds Reserved, Coos Bay Wagon Road Grant Lands ..... |             |         | –1        |
| 0299 Total receipts and collections .....                  | 18          | 17      | 3         |
| 0400 Total: Balances and collections .....                 | 59          | 60      | 45        |
| Appropriations:  |             |         |           |
| 0500 Miscellaneous Permanent Payment Accounts .....        | –12         | –12     |           |
| 0501 Miscellaneous Permanent Payment Accounts .....        | –1          | –1      | –1        |
| 0502 Miscellaneous Permanent Payment Accounts .....        | –1          | –1      | –1        |
| 0503 Miscellaneous Permanent Payment Accounts .....        | –1          | –1      | –1        |
| 0504 Miscellaneous Permanent Payment Accounts .....        | –1          | –1      | –1        |
| 0505 Miscellaneous Permanent Payment Accounts .....        |             | –2      | –1        |
| 0506 Miscellaneous Permanent Payment Accounts .....        |             |         | –8        |
| 0507 Miscellaneous Permanent Payment Accounts .....        |             |         | –1        |
| 0508 Miscellaneous Permanent Payment Accounts .....        |             |         | 8         |
| 0509 Miscellaneous Permanent Payment Accounts .....        |             |         | 1         |
| 0599 Total appropriations .....                            | –16         | –18     | –5        |
| 0799 Balance, end of year .....                            | 43          | 42      | 40        |

**Program and Financing (in millions of dollars)**

| Identification code 14–9921–0–2–999  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Payments to O&C Counties, Title I/III 5884 .....                              | 36          | 36      |           |
| 0003 Payment to O&C and CBWR Counties, Title II 5485 .....                         | 6           | 4       |           |
| 0004 From grazing fees, etc., public lands outside grazing districts<br>5016 ..... | 1           | 1       | 1         |
| 0005 From grazing fees, etc., public lands within grazing districts<br>5032 .....  | 1           | 1       | 1         |
| 0009 Proceeds from sales 5133 .....  | 1           | 1       | 1         |
| 0010 Payments to counties from national grasslands 5896 .....                      | 1           | 1       | 1         |
| 0013 Payments to State and Counties from Nevada Land Sales .....                   |             | 2       | 1         |
| 0014 Payments to O&C counties under 1937 statute .....                             |             |         | 8         |
| 0015 Payments to CBWR counties under 1939 statute .....                            |             |         | 1         |
| 0900 Total new obligations .....   | 46          | 46      | 14        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                              | 6           | 4       | 4         |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 SRS O&C Payments from GF- Title I/III .....                                   | 24          | 24      |           |
| 1200 SRS Payments from GF-Title II .....   | 4           | 4       |           |
| 1201 SRS O&C Title I/III Payments from receipts .....                              | 12          | 12      |           |
| 1201 Proceeds of sales-payments to states .....                                    | 1           | 1       | 1         |
| 1201 Payments from grazing fees outside grazing districts .....                    | 1           | 1       | 1         |
| 1201 Payments from grazing fees within grazing districts .....                     | 1           | 1       | 1         |
| 1201 Payments to Counties, National Grasslands, BLM .....                          | 1           | 1       | 1         |
| 1201 Payments from Nevada Land Sales .....   |             | 2       | 1         |
| 1201 Payments to O&C Grants lands counties under 1937<br>statute .....             |             |         | 8         |
| 1201 Payments to CBWR counties under 1939 statute .....                            |             |         | 1         |
| 1260 Appropriations, mandatory (total) .....                                       | 44          | 46      | 14        |
| 1930 Total budgetary resources available .....                                     | 50          | 50      | 18        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                              | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                              | 8           | 7       | 13        |
| 3010 Obligations incurred, unexpired accounts .....                                | 46          | 46      | 14        |
| 3020 Outlays (gross) .....   | –47         | –40     | –23       |
| 3050 Unpaid obligations, end of year .....   | 7           | 13      | 4         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 8           | 7       | 13        |
| 3200 Obligated balance, end of year .....  | 7           | 13      | 4         |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | 44          | 46      | 14        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                                    | 1           | 37      | 11        |
| 4101 Outlays from mandatory balances .....   | 46          | 3       | 12        |

|  |    |    |    |
|--|----|----|----|
| 4110 Outlays, gross (total) .....        | 47 | 40 | 23 |
| 4180 Budget authority, net (total) ..... | 44 | 46 | 14 |
| 4190 Outlays, net (total) .....          | 47 | 40 | 23 |

**Summary of Budget Authority and Outlays (in millions of dollars)**

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 44          | 46      | 14        |
| Outlays .....                           | 47          | 40      | 23        |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | –9        |
| Outlays .....                           |             |         | –9        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 44          | 46      | 5         |
| Outlays .....                           | 47          | 40      | 14        |

**Miscellaneous permanent payments include:**

*Payments for Oregon and California and Coos Bay Wagon Road grant lands, receipts.*—The Secure Rural Schools and Community Self-Determination Act of 2000 (P.L. 106–393), as amended by P.L. 110–343 and by P.L. 112–141, provides annual transition payments to the 18 O&C counties. These payments were derived from revenues from federal activities on O&C lands in the previous fiscal year that were not deposited to permanent operating funds, supplemented by amounts from the General Fund when necessary. The last payment authorized under P.L. 106–393 was for 2006. Section 601 of Division C of P.L. 110–343 amends and reauthorizes the Secure Rural Schools Act (P.L. 106–393). Payments authorized for 2012 by P.L. 112–141 will be made in 2013. Amendments to P.L. 106–393 retain most provisions of the original Act, but reduce payments each year. The Budget reflects a five-year reauthorization of the Secure Rural Schools Act with funding through mandatory appropriations. Counties not opting to receive a portion of the USDA Forest Service payments to communities will receive funds authorized under the 1937 and 1939 statutes. Payments to the Oregon counties under the 1937 statute will be 50 percent of revenues from O&C grant lands. Payments under the 1939 statute are for lost tax revenue in two Oregon counties and are estimated to be 75 percent of all revenues from Coos Bay Wagon Road grant lands.

*Payments to States (proceeds of sales).*—States are paid five percent of the net proceeds from the sale of public land and public land products (31 U.S.C. 1305).

*Payments to States from grazing receipts, etc., public lands outside grazing districts.*—States are paid 50 percent of the grazing receipts from public lands outside of grazing districts (43 U.S.C. 315i, 315m).

*Payments to States from grazing receipts, etc., public lands within districts.*—States are paid 12.5 percent of grazing receipts from public lands inside grazing districts (43 U.S.C. 315b, 315i).

*Payments to States from grazing receipts, etc., public lands within grazing districts, miscellaneous.*—States are paid specifically determined amounts from grazing receipts derived from miscellaneous lands within grazing districts when payment is not feasible on a percentage basis (43 U.S.C. 315).

*Payments to counties, National Grasslands.*—Of the revenues received from the use of Bankhead-Jones Act lands administered by the BLM, 25 percent is paid to the counties in which such lands are situated, for school and road purposes (7 U.S.C. 1012).

*Payments to Nevada from receipts on land sales.*—(A) Public Law 96–586 authorizes and directs the Secretary to sell not more than 700 acres of public lands per calendar year in and around Las Vegas, Nevada, the proceeds of which are to be used to acquire environmentally sensitive lands in the Lake Tahoe Basin of California and Nevada. Annual revenues are distrib-



uted to the State of Nevada (five percent) and the county in which the land is located (10 percent). (B) Public Law 105–263, as amended by P.L. 107–282, authorizes the disposal through sale of approximately 49,000 acres in Clark County Nevada, the proceeds of which are to be distributed as follows: a) five percent for use in the general education program of the State of Nevada; b) 10 percent for use by the Southern Nevada Water Authority for water treatment and transmission facility infrastructure in Clark County, Nevada; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to be used to acquire environmentally sensitive lands in Nevada; make capital improvements to areas administered by NPS, FWS and BLM in Clark County, Nevada; develop a multi-species habitat plan in Clark County, Nevada; develop parks, trails and natural areas and implement other conservation initiatives in Clark County, Nevada; and reimburse BLM for costs incurred arranging sales and exchanges under the Act. (C) Public Law 106–298 authorizes the sale of certain lands in Lincoln County, Nevada. The proceeds of these sales are to be distributed as follows: a) five percent to the State of Nevada for general education purposes; b) 10 percent to Lincoln County for general purposes with emphasis on supporting schools; and c) the remaining 85 percent to a special fund administered by the Secretary of the Interior to acquire environmentally sensitive lands in the State of Nevada, for identification and management of unique archaeological resources, for development of a multi-species habitat conservation plan in the county, and for other specified administrative purposes.

*Cook Inlet Region Inc. property.*—This account received funding appropriated by section 9102 of the fiscal year 1990 Department of Defense Appropriations Act for the acquisition of Federal real properties, improvements on such lands or rights to their use or exploitation, and any personal property related to the land purchased by the Cook Inlet Region, Incorporated as authorized by the provisions of section 12(b) of Public Law 94–204 (43 U.S.C. 1611). Funds are made available to the BLM for administration and subsequent payment to accounts accepting Cook Inlet Region, Incorporated offers for Federal properties.

*State 5 Percent Share, Carson City Land Sales.*—The 2009 Omnibus Public Land Management Act, Public Law 111–11 (123 STAT. 1113), requires that five percent of proceeds from the sale of 158 acres described in the statute shall be paid to the State of Nevada for general public education purposes.

#### Object Classification (in millions of dollars)

| Identification code 14–9921–0–2–999                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | .....     |
| 25.2 Other services from non-Federal sources .....     | 2           | 2       | .....     |
| 41.0 Grants, subsidies, and contributions .....        | 43          | 43      | 14        |
| 99.9 Total new obligations .....                       | 46          | 46      | 14        |

#### Employment Summary

| Identification code 14–9921–0–2–999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 9           | 9       | .....     |

#### MISCELLANEOUS PERMANENT PAYMENT ACCOUNTS

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 14–9921–4–2–999                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0014 Payments to O&C counties under 1937 statute ..... | .....       | .....   | –8        |

|   |       |       |    |
|---|-------|-------|----|
| 0015 Payments to CBWR counties under 1939 statute ..... | ..... | ..... | –1 |
| 0900 Total new obligations (object class 41.0) .....    | ..... | ..... | –9 |

#### Budgetary Resources:

|   |       |       |    |
|---|-------|-------|----|
| Budget authority:   |       |       |    |
| Appropriations, mandatory:  |       |       |    |
| 1201 Payments to O&C Grants lands counties under 1937 statute ..... | ..... | ..... | –8 |
| 1201 Payments to CBWR counties under 1939 statute .....             | ..... | ..... | –1 |
| 1260 Appropriations, mandatory (total) .....                        | ..... | ..... | –9 |
| 1930 Total budgetary resources available .....                      | ..... | ..... | –9 |

#### Change in obligated balance:

|   |       |       |    |
|---|-------|-------|----|
| Unpaid obligations:                                 |       |       |    |
| 3010 Obligations incurred, unexpired accounts ..... | ..... | ..... | –9 |
| 3020 Outlays (gross) .....                          | ..... | ..... | 9  |

#### Budget authority and outlays, net:

|   |       |       |    |
|---|-------|-------|----|
| Mandatory:                                      |       |       |    |
| 4090 Budget authority, gross .....              | ..... | ..... | –9 |
| Outlays, gross:                                 |       |       |    |
| 4100 Outlays from new mandatory authority ..... | ..... | ..... | –9 |
| 4180 Budget authority, net (total) .....        | ..... | ..... | –9 |
| 4190 Outlays, net (total) .....                 | ..... | ..... | –9 |

#### HELIUM FUND

#### Program and Financing (in millions of dollars)

| Identification code 14–4053–0–3–306          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>      |             |         |           |
| 0801 Production and sales .....              | 19          | 21      | .....     |
| 0802 Transmission and storage .....          | 2           | 2       | .....     |
| 0803 Administration and other expenses ..... | 2           | 4       | .....     |
| 0900 Total new obligations .....             | 23          | 27      | .....     |

#### Budgetary Resources:

|   |       |       |       |
|---|-------|-------|-------|
| Unobligated balance:  |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 30    | 27    | 44    |
| 1021 Recoveries of prior year unpaid obligations .....  | 2     | ..... | ..... |
| 1023 Unobligated balances applied to repay debt .....   | ..... | ..... | –44   |
| 1050 Unobligated balance (total) .....  | 32    | 27    | ..... |
| Budget authority:   |       |       |       |
| Spending authority from offsetting collections, mandatory:                                    |       |       |       |
| 1800 Collected .....  | 198   | 212   | ..... |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | ..... | –168  | ..... |
| 1825 Spending authority from offsetting collections applied to repay debt .....               | –180  | ..... | ..... |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 18    | 44    | ..... |
| 1930 Total budgetary resources available .....  | 50    | 71    | ..... |
| Memorandum (non-add) entries:   |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....   | 27    | 44    | ..... |

#### Change in obligated balance:

|   |     |       |       |
|---|-----|-------|-------|
| Unpaid obligations:   |     |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 12  | 12    | 19    |
| 3010 Obligations incurred, unexpired accounts .....               | 23  | 27    | ..... |
| 3020 Outlays (gross) .....  | –21 | –20   | –7    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –2  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 12  | 19    | 12    |
| Memorandum (non-add) entries:                                     |     |       |       |
| 3100 Obligated balance, start of year .....                       | 12  | 12    | 19    |
| 3200 Obligated balance, end of year .....                         | 12  | 19    | 12    |

#### Budget authority and outlays, net:

|   |      |      |       |
|---|------|------|-------|
| Mandatory:  |      |      |       |
| 4090 Budget authority, gross .....                  | 18   | 44   | ..... |
| Outlays, gross:                                     |      |      |       |
| 4100 Outlays from new mandatory authority .....     | 8    | 11   | ..... |
| 4101 Outlays from mandatory balances .....          | 13   | 9    | 7     |
| 4110 Outlays, gross (total) .....                   | 21   | 20   | 7     |
| Offsets against gross budget authority and outlays: |      |      |       |
| Offsetting collections (collected) from:            |      |      |       |
| 4123 Non-Federal sources .....                      | –198 | –212 | ..... |
| 4180 Budget authority, net (total) .....            | –180 | –168 | ..... |

**HELIUM FUND—Continued**  
**Program and Financing—Continued**

| Identification code 14–4053–0–3–306 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 4190 Outlays, net (total) .....     | –177        | –192    | 7         |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | –180        | –168    | .....     |
| Outlays .....                           | –177        | –192    | 7         |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Outlays .....                           | .....       | .....   | –7        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | –180        | –168    | .....     |
| Outlays .....                           | –177        | –192    | .....     |

The Helium Act Amendments of 1960, Public Law 86–777 (50 U.S.C. 167), authorized activities necessary to provide sufficient helium to meet the current and foreseeable future needs of essential government activities. The Helium Privatization Act of 1996 (HPA), Public Law 104–273, provides for the eventual privatization of the program and its functions, specifying that once the helium debt is retired, the Helium Production Fund would be dissolved. It is anticipated that the helium debt will be repaid at the beginning of 2014. The Budget proposes to continue operations of the Helium program while facilitating a gradual exit from the helium market. The Helium program would consist of: (a) continued storage and transmission of crude helium; (b) complete disposal of helium refining facilities and other excess property not needed for storage and transmission of crude helium; (c) oversight of the production of helium on Federal lands; and (d) administration of in-kind and open market crude helium gas sale program. To minimize impacts to the helium market, the Budget provides a "glide path" from the sales mandated under HPA, gradually increasing the sales price of helium and reducing the total volume of helium sold each year, until the amount in storage reaches 3,000,000,000 scf. At that point, the remaining helium will be reserved for Federal uses.

**Balance Sheet** (in millions of dollars)

| Identification code 14–4053–0–3–306                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1101 Federal assets: Fund balances with Treasury ..... | 73          | 73          |
| Other Federal assets:                                  |             |             |
| 1802 Inventories and related properties .....          | 201         | 201         |
| 1803 Property, plant and equipment, net .....          | 3           | 3           |
| 1999 Total assets .....                                | 277         | 277         |
| LIABILITIES:   |             |             |
| Federal liabilities:                                   |             |             |
| 2102 Interest payable .....                            | 184         | 184         |
| 2103 Debt .....  | 252         | 252         |
| 2999 Total liabilities .....                           | 436         | 436         |
| NET POSITION:  |             |             |
| 3300 Cumulative results of operations .....            | –159        | –159        |
| 4999 Total liabilities and net position .....          | 277         | 277         |

**Object Classification** (in millions of dollars)

| Identification code 14–4053–0–3–306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 3           | 3       | .....     |
| 12.1 Civilian personnel benefits .....                          | 1           | 1       | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 4       | .....     |
| 25.2 Other services from non-Federal sources .....              | 2           | 6       | .....     |
| 26.0 Supplies and materials .....                               | 1           | 4       | .....     |
| 41.0 Grants, subsidies, and contributions .....                 | 14          | 9       | .....     |

|                                  |    |    |       |
|----------------------------------|----|----|-------|
| 99.9 Total new obligations ..... | 23 | 27 | ..... |
|----------------------------------|----|----|-------|

**Employment Summary**

| Identification code 14–4053–0–3–306                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 49          | 49      | .....     |

**HELIUM FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 14–4053–4–3–306          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>      |             |         |           |
| 0801 Production and sales .....              | .....       | .....   | 19        |
| 0802 Transmission and storage .....          | .....       | .....   | 2         |
| 0803 Administration and other expenses ..... | .....       | .....   | 4         |
| 0900 Total new obligations .....             | .....       | .....   | 25        |

**Budgetary Resources:**

|  |       |       |    |
|--|-------|-------|----|
| Budget authority:  |       |       |    |
| Spending authority from offsetting collections, mandatory:         |       |       |    |
| 1800 Collected .....   | ..... | ..... | 25 |
| 1850 Spending auth from offsetting collections, mand (total) ..... | ..... | ..... | 25 |
| 1930 Total budgetary resources available .....                     | ..... | ..... | 25 |

**Change in obligated balance:**

|   |       |       |     |
|---|-------|-------|-----|
| Unpaid obligations:                                 |       |       |     |
| 3010 Obligations incurred, unexpired accounts ..... | ..... | ..... | 25  |
| 3020 Outlays (gross) .....                          | ..... | ..... | –18 |
| 3050 Unpaid obligations, end of year .....          | ..... | ..... | 7   |
| Memorandum (non-add) entries:                       |       |       |     |
| 3200 Obligated balance, end of year .....           | ..... | ..... | 7   |

**Budget authority and outlays, net:**

|   |       |       |     |
|---|-------|-------|-----|
| Mandatory:  |       |       |     |
| 4090 Budget authority, gross .....                  | ..... | ..... | 25  |
| Outlays, gross:                                     |       |       |     |
| 4100 Outlays from new mandatory authority .....     | ..... | ..... | 18  |
| Offsets against gross budget authority and outlays: |       |       |     |
| Offsetting collections (collected) from:            |       |       |     |
| 4123 Non-Federal sources .....                      | ..... | ..... | –25 |
| 4190 Outlays, net (total) .....                     | ..... | ..... | –7  |

**Object Classification** (in millions of dollars)

| Identification code 14–4053–4–3–306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | .....       | .....   | 3         |
| 12.1 Civilian personnel benefits .....                          | .....       | .....   | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | .....       | .....   | 4         |
| 25.2 Other services from non-Federal sources .....              | .....       | .....   | 5         |
| 26.0 Supplies and materials .....                               | .....       | .....   | 3         |
| 41.0 Grants, subsidies, and contributions .....                 | .....       | .....   | 9         |
| 99.9 Total new obligations .....                                | .....       | .....   | 25        |

**Employment Summary**

| Identification code 14–4053–4–3–306                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | .....       | .....   | 49        |

**WORKING CAPITAL FUND****Program and Financing** (in millions of dollars)

| Identification code 14–4525–0–4–302     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0801 Operating expenses .....           | 29          | 25      | 29        |
| 0802 Capital investment .....           | 19          | 22      | 18        |

|  |   |     |     |     |
|--|---|-----|-----|-----|
| 0900   | Total new obligations .....                                     | 48  | 47  | 47  |
| <b>Budgetary Resources:</b>                                    |   |     |     |     |
| Unobligated balance:   |   |     |     |     |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 62  | 77  | 94  |
| Budget authority:  |   |     |     |     |
| Spending authority from offsetting collections, discretionary: |   |     |     |     |
| 1700   | Collected .....   | 63  | 64  | 64  |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 63  | 64  | 64  |
| 1930   | Total budgetary resources available .....                       | 125 | 141 | 158 |
| Memorandum (non-add) entries:                                  |   |     |     |     |
| 1941   | Unexpired unobligated balance, end of year .....                | 77  | 94  | 111 |
| <b>Change in obligated balance:</b>                            |   |     |     |     |
| Unpaid obligations:  |   |     |     |     |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 17  | 15  | 8   |
| 3010   | Obligations incurred, unexpired accounts .....                  | 48  | 47  | 47  |
| 3020   | Outlays (gross) .....   | -50 | -54 | -52 |
| 3050   | Unpaid obligations, end of year .....                           | 15  | 8   | 3   |
| Memorandum (non-add) entries:                                  |   |     |     |     |
| 3100   | Obligated balance, start of year .....                          | 17  | 15  | 8   |
| 3200   | Obligated balance, end of year .....                            | 15  | 8   | 3   |
| <b>Budget authority and outlays, net:</b>                      |   |     |     |     |
| Discretionary:   |   |     |     |     |
| 4000   | Budget authority, gross .....                                   | 63  | 64  | 64  |
| Outlays, gross:  |   |     |     |     |
| 4010   | Outlays from new discretionary authority .....                  | 30  | 26  | 26  |
| 4011   | Outlays from discretionary balances .....                       | 20  | 28  | 26  |
| 4020   | Outlays, gross (total) .....                                    | 50  | 54  | 52  |
| Offsets against gross budget authority and outlays:            |   |     |     |     |
| Offsetting collections (collected) from:                       |   |     |     |     |
| 4030   | Federal sources .....   | -61 | -55 | -55 |
| 4033   | Non-Federal sources .....                                       | -2  | -9  | -9  |
| 4040   | Offsets against gross budget authority and outlays (total) .... | -63 | -64 | -64 |
| 4080   | Outlays, net (discretionary) .....                              | -13 | -10 | -12 |
| 4190   | Outlays, net (total) .....                                      | -13 | -10 | -12 |

Section 306 of the Federal Land Policy and Management Act of 1976 authorizes a BLM working capital fund. The fund is managed as a self-sustaining revolving fund for purchase and maintenance of vehicles and equipment, purchase of materials for resource conservation projects, purchase of uniforms, and other business-type functions.

**Balance Sheet** (in millions of dollars)

| Identification code 14-4525-0-4-302                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| 1101 Federal assets: Fund balances with Treasury ..... | 77          | 90          |
| Other Federal assets:                                  |             |             |
| 1801 Cash and other monetary assets .....              | 3           | 3           |
| 1802 Inventories and related properties .....          | 2           | 1           |
| 1803 Property, plant and equipment, net .....          | 134         | 130         |
| 1999 Total assets .....                                | 216         | 224         |
| LIABILITIES:   |             |             |
| 2105 Federal liabilities: Other .....                  | 9           | 9           |
| NET POSITION:  |             |             |
| 3300 Cumulative results of operations .....            | 207         | 215         |
| 4999 Total liabilities and net position .....          | 216         | 224         |

**Object Classification** (in millions of dollars)

| Identification code 14-4525-0-4-302 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Reimbursable obligations:           |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 12.1                                | Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.7                                | Operation and maintenance of equipment .....      | 5           | 6       | 6         |
| 26.0                                | Supplies and materials .....                      | 22          | 20      | 20        |
| 31.0                                | Equipment .....                                   | 19          | 19      | 19        |
| 99.9                                | Total new obligations .....                       | 48          | 47      | 47        |

**Employment Summary**

| Identification code 14-4525-0-4-302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 24          | 24      | 24        |

**BUREAU OF LAND MANAGEMENT—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

The Department of Agriculture: Forest Service: "Forest Pest Management."  
The Department of Transportation: Federal Highway Administration: "Federal-Aid Highways."  
The Department of the Interior: Department-wide Programs: "Natural Resource Damage Assessment Fund."  
The Department of the Interior: Department-wide Programs: "Central Hazardous Materials Fund."  
The Department of the Interior: Department-wide Programs: "Wildland Fire Management."

**Trust Funds****MISCELLANEOUS TRUST FUNDS**

*In addition to amounts authorized to be expended under existing laws, there is hereby appropriated such amounts as may be contributed under section 307 of the Act of October 21, 1976 (43 U.S.C. 1701), and such amounts as may be advanced for administrative costs, surveys, appraisals, and costs of making conveyances of omitted lands under section 211(b) of that Act, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14-9971-0-7-302 |                                       | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---------------------------------------|-------------|---------|-----------|
| 0100                                | Balance, start of year .....          |             |         |           |
| Receipts:                           |                                       |             |         |           |
| 0220                                | Contributions and Deposits, BLM ..... | 24          | 25      | 25        |
| 0400                                | Total: Balances and collections ..... | 24          | 25      | 25        |
| Appropriations:                     |                                       |             |         |           |
| 0500                                | Miscellaneous Trust Funds .....       | -24         | -25     | -25       |
| 0799                                | Balance, end of year .....            |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 14-9971-0-7-302     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Resource development FLPMA .....                 | 17          | 16      | 16        |
| 0002                                    | Resource development CA OHV .....                | 6           | 7       | 7         |
| 0003                                    | Resource development Taylor Grazing .....        | 2           | 1       | 1         |
| 0004                                    | Public survey .....                              | 1           | 1       | 1         |
| 0005                                    | Sikes Act .....                                  | 1           | 1       | 1         |
| 0900                                    | Total new obligations .....                      | 26          | 26      | 26        |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 49          | 47      | 46        |
| Budget authority:                       |  |             |         |           |
| Appropriations, mandatory:              |  |             |         |           |
| 1201                                    | Appropriation (special or trust fund) .....      | 24          | 25      | 25        |
| 1260                                    | Appropriations, mandatory (total) .....          | 24          | 25      | 25        |
| 1930                                    | Total budgetary resources available .....        | 73          | 72      | 71        |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year ..... | 47          | 46      | 45        |

**Change in obligated balance:**

|                               |  |     |     |     |
|-------------------------------|--|-----|-----|-----|
| Unpaid obligations:           |  |     |     |     |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... | 9   | 12  | 14  |
| 3010                          | Obligations incurred, unexpired accounts .....   | 26  | 26  | 26  |
| 3020                          | Outlays (gross) .....                            | -23 | -24 | -27 |
| 3050                          | Unpaid obligations, end of year .....            | 12  | 14  | 13  |
| Memorandum (non-add) entries: |  |     |     |     |
| 3100                          | Obligated balance, start of year .....           | 9   | 12  | 14  |

MISCELLANEOUS TRUST FUNDS—Continued  
Program and Financing—Continued

| Identification code 14–9971–0–7–302             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3200 Obligated balance, end of year .....       | 12          | 14      | 13        |
| <b>Budget authority and outlays, net:</b>       |             |         |           |
| Mandatory:                                      |             |         |           |
| 4090 Budget authority, gross .....              | 24          | 25      | 25        |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... | 10          | 13      | 13        |
| 4101 Outlays from mandatory balances .....      | 13          | 11      | 14        |
| 4110 Outlays, gross (total) .....               | 23          | 24      | 27        |
| 4180 Budget authority, net (total) .....        | 24          | 25      | 25        |
| 4190 Outlays, net (total) .....                 | 23          | 24      | 27        |

## Current Trust Funds include:

**Land and Resource Management Trust Fund.**—Provides for the acceptance of contributed money or services for: 1) resource development, protection, and management; 2) conveyance or acquisition of public lands (including omitted lands or islands) to States, their political subdivisions, or individuals; and 3) conducting cadastral surveys, provided that estimated costs are paid prior to project initiation. (The Federal Land Policy and Management Act of 1976 (43 U.S.C. 1721, 1737).) The Sikes Act of 1974, as amended, provides for acceptance of contributions for conservation, restoration, and management of species and their habitats in cooperation with State wildlife agencies (16 U.S.C. 670 et seq.).

## Permanent Trust Funds include:

**Range improvements.**—Acceptance of contributions for range-land improvements is authorized by the Taylor Grazing Act (43 U.S.C. 315h and 315i). These funds are permanently appropriated as trust funds to the Secretary for such uses as specified by those Acts.

**Public surveys.**—Acceptance of contributions for public surveys is authorized by 43 U.S.C. 759, 761, and 31 U.S.C. 1321(a). These contributions are permanently appropriated as trust funds to the Secretary for such uses as specified by those Acts.

**Trustee funds, Alaska townsites.**—Amounts received from the sale of Alaska town lots are available for expenses incident to the maintenance and sale of townsites (31 U.S.C. 1321; Comp. Gen. Dec. of Nov. 18, 1935).

## Object Classification (in millions of dollars)

| Identification code 14–9971–0–7–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 5           | 5       | 5         |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 8           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | .....   | .....     |
| 25.1 Advisory and assistance services .....                     | .....       | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 4           | 5       | 5         |
| 25.3 Other goods and services from Federal sources .....        | 4           | 4       | 4         |
| 26.0 Supplies and materials .....                               | 2           | 1       | 1         |
| 31.0 Equipment .....  | .....       | 1       | 1         |
| 32.0 Land and structures .....                                  | 2           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 26          | 26      | 26        |

## Employment Summary

| Identification code 14–9971–0–7–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 110         | 110     | 108       |

## ADMINISTRATIVE PROVISIONS

*The Bureau of Land Management may carry out the operations funded under this Act by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities, including with States. Appropriations for the Bureau shall be available for purchase, erection, and dismantlement of temporary structures, and alteration and maintenance of necessary buildings and appurtenant facilities to which the United States has title; up to \$100,000 for payments, at the discretion of the Secretary, for information or evidence concerning violations of laws administered by the Bureau; miscellaneous and emergency expenses of enforcement activities authorized or approved by the Secretary and to be accounted for solely on the Secretary's certificate, not to exceed \$10,000: Provided, That notwithstanding 44 U.S.C. 501, the Bureau may, under cooperative cost-sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share the cost of printing either in cash or in services, and the Bureau determines the co-operator is capable of meeting accepted quality standards: Provided further, That projects to be funded pursuant to a written commitment by a State government to provide an identified amount of money in support of the project may be carried out by the Bureau on a reimbursable basis. Appropriations herein made shall not be available for the destruction of healthy, unadopted, wild horses and burros in the care of the Bureau or its contractors or for the sale of wild horses and burros that results in their destruction for processing into commercial products.*

## BUREAU OF OCEAN ENERGY MANAGEMENT

## Federal Funds

## OCEAN ENERGY MANAGEMENT

*For expenses necessary for granting leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf and approving operations related thereto, as authorized by law; for environmental studies, as authorized by law; for implementing other laws and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, \$169,440,000, of which \$71,549,000 is to remain available until September 30, 2015 and of which \$97,891,000 is to remain available until expended: Provided, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Ocean Energy Management pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: Provided further, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year 2014 appropriation estimated at not more than \$71,549,000: Provided further, That not to exceed \$3,000 shall be available for reasonable expenses related to promoting volunteer beach and marine cleanup activities.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–1917–0–1–302     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0003 Appropriations .....               | 64          | 60      | 63        |
| 0004 Offsetting Collections .....       | .....       | 119     | 116       |

|  |  |      |      |      |
|--|--|------|------|------|
| 0192   | Total direct program .....   | 64   | 179  | 179  |
| 0799   | Total direct obligations .....   | 64   | 179  | 179  |
| 0801   | Offsetting Collections .....   | 94   |      |      |
| 0802   | RSAs .....   |      | 6    | 6    |
| 0899   | Total reimbursable obligations .....   | 94   | 6    | 6    |
| 0900   | Total new obligations .....  | 158  | 185  | 185  |
| <b>Budgetary Resources:</b>                                    |  |      |      |      |
| Unobligated balance:   |  |      |      |      |
| 1000   | Unobligated balance brought forward, Oct 1 .....   | 108  | 30   | 15   |
| 1010   | Unobligated balance transfer to other accts [14–1700] .....                                  | –36  |      |      |
| 1010   | Unobligated balance transfer to other accts [14–0102] .....                                  | –54  |      |      |
| 1021   | Recoveries of prior year unpaid obligations .....  | 6    | 3    | 3    |
| 1050   | Unobligated balance (total) .....  | 24   | 33   | 18   |
| Budget authority:  |  |      |      |      |
| Appropriations, discretionary:                                 |  |      |      |      |
| 1100   | Appropriation .....  | 60   | 60   | 71   |
| 1160   | Appropriation, discretionary (total) .....   | 60   | 60   | 71   |
| Spending authority from offsetting collections, discretionary: |  |      |      |      |
| 1700   | Collected - Offsetting Collections .....   | 167  | 163  | 98   |
| 1700   | Collected - RSAs .....   |      | 6    | 4    |
| 1701   | Change in uncollected payments, Federal sources .....  | –1   |      |      |
| 1710   | Spending authority from offsetting collections transferred to other accounts [14–1700] ..... | –62  | –62  |      |
| 1750   | Spending auth from offsetting collections, disc (total) .....                                | 104  | 107  | 102  |
| 1900   | Budget authority (total) .....   | 164  | 167  | 173  |
| 1930   | Total budgetary resources available .....  | 188  | 200  | 191  |
| Memorandum (non-add) entries:                                  |  |      |      |      |
| 1941   | Unexpired unobligated balance, end of year .....   | 30   | 15   | 6    |
| <b>Change in obligated balance:</b>                            |  |      |      |      |
| Unpaid obligations:  |  |      |      |      |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....   | 162  | 108  | 95   |
| 3010   | Obligations incurred, unexpired accounts .....   | 158  | 185  | 185  |
| 3020   | Outlays (gross) .....  | –169 | –195 | –172 |
| 3030   | Unpaid obligations transferred to other accts [14–1700] .....                                | –15  |      |      |
| 3030   | Unpaid obligations transferred to other accts [14–0102] .....                                | –22  |      |      |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....                                 | –6   | –3   | –3   |
| 3050   | Unpaid obligations, end of year .....  | 108  | 95   | 105  |
| Uncollected payments:  |  |      |      |      |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                 | –4   | –3   | –3   |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                                    | 1    |      |      |
| 3090   | Uncollected pymts, Fed sources, end of year .....  | –3   | –3   | –3   |
| Memorandum (non-add) entries:                                  |  |      |      |      |
| 3100   | Obligated balance, start of year .....   | 158  | 105  | 92   |
| 3200   | Obligated balance, end of year .....   | 105  | 92   | 102  |
| <b>Budget authority and outlays, net:</b>                      |  |      |      |      |
| Discretionary:   |  |      |      |      |
| 4000   | Budget authority, gross .....  | 164  | 167  | 173  |
| Outlays, gross:  |  |      |      |      |
| 4010   | Outlays from new discretionary authority .....   | 83   | 110  | 116  |
| 4011   | Outlays from discretionary balances .....  | 86   | 85   | 56   |
| 4020   | Outlays, gross (total) .....   | 169  | 195  | 172  |
| Offsets against gross budget authority and outlays:            |  |      |      |      |
| Offsetting collections (collected) from:                       |  |      |      |      |
| 4030   | Baseline Program [Text] .....  | –4   |      |      |
| 4033   | Baseline Program [OCS offsetting collections-rents cost rec fees; RIK] .....                 | –163 | –169 | –102 |
| 4040   | Offsets against gross budget authority and outlays (total) ....                              | –167 | –169 | –102 |
| Additional offsets against gross budget authority only:        |  |      |      |      |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....                                    | 1    |      |      |
| 4070   | Budget authority, net (discretionary) .....  | –2   | –2   | 71   |
| 4080   | Outlays, net (discretionary) .....   | 2    | 26   | 70   |
| 4180   | Budget authority, net (total) .....  | –2   | –2   | 71   |
| 4190   | Outlays, net (total) .....   | 2    | 26   | 70   |

The Bureau of Ocean Energy Management (BOEM) manages the exploration and development of the nation's offshore energy and marine mineral resources on the U.S. Outer Continental Shelf (OCS). The marine environment includes vast deposits of oil and natural gas, non-energy minerals, as well as renewable sources such as offshore wind, wave, and ocean currents. BOEM's goal is to balance economic development, energy needs, and environmental protection through responsible and transparent

management of offshore resources based on the best available science. In carrying out this mission, the bureau regulates OCS activities, including conducting oil and gas lease sales, conducting environmental reviews, preparing a five-year oil and gas leasing program which identifies OCS areas (parcels of the seafloor) to be offered to industry for development, and overseeing national resource assessments of oil, gas, and other mineral resource potential on the OCS. As the nation's designated steward of mineral resources on the OCS, BOEM works diligently to build a successful offshore program with a legal and regulatory framework that will provide for safe and environmentally sound OCS mineral resource development. The Ocean Energy Management account includes the following activities: Renewable Energy, Conventional Energy, Environmental Assessment and Studies, General Support Services, and Executive Direction.

**Renewable Energy.**—Includes leasing program development and implementation; related environmental analysis, assessment, and compliance work; review of site assessment, construction, and operation plans; consultation with state and local governments, Federal agencies, and other stakeholders; and development of the multipurpose marine cadastre.

**Conventional Energy.**—Includes OCS oil and gas leasing, including planning the 5-Year Oil and Gas Program; surveying OCS boundaries; implementing lease sales; administering leases; reviewing exploration and development plans; technical and economic resource evaluation; and coastal and marine spatial planning activities involving minerals other than oil and gas.

**Environmental Assessment and Studies.**—Funds the environmental impact statements and environmental assessments needed to assess potential environmental impacts of proposed actions in accordance with the National Environmental Protection Act and related regulations; and specific studies that address information needs before and after OCS activity.

**General Support Services.**—Provides shared support services for the bureau, such as space, workers and unemployment compensation, voice and data communications, and other central services.

**Executive Direction.**—Funds bureau-wide leadership, management, coordination, communications strategies, and outreach. It includes budget management, Congressional and public affairs, and program policy and analysis.

#### Object Classification (in millions of dollars)

| Identification code 14–1917–0–1–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 40          | 50      | 51        |
| 12.1 Civilian personnel benefits .....                 | 11          | 14      | 14        |
| 21.0 Travel and transportation of persons .....        | 1           | 2       | 2         |
| 24.0 Printing and reproduction .....                   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 11          | 100     | 99        |
| 26.0 Supplies and materials .....                      |             | 1       | 1         |
| 31.0 Equipment .....                                   |             | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        |             | 10      | 10        |
| 99.0 Direct obligations .....                          | 64          | 179     | 179       |
| 99.0 Reimbursable obligations .....                    | 94          | 6       | 6         |
| 99.9 Total new obligations .....                       | 158         | 185     | 185       |

#### Employment Summary

| Identification code 14–1917–0–1–302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 453         | 572     | 580       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 107         |         |           |

## COASTAL IMPACT ASSISTANCE

## Program and Financing (in millions of dollars)

| Identification code 14–5572–0–2–306                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 585         | .....   | .....     |
| 1010 Unobligated balance transfer to other accts [14–5579] .....   | –585        | .....   | .....     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 189         | 1       | 1         |
| 3020 Outlays (gross) .....   | –8          | .....   | .....     |
| 3030 Unpaid obligations transferred to other accts [14–5579] ..... | –180        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 189         | 1       | 1         |
| 3200 Obligated balance, end of year .....                          | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....                         | 8           | .....   | .....     |
| 4190 Outlays, net (total) .....                                    | 8           | .....   | .....     |

The Energy Policy Act of 2005 (P.L. 109–58) amends section 31 of the Outer Continental Shelf (OCS) Lands Act (43 U.S.C. 1356 et seq.) to require that for each of the fiscal years 2007 through 2010, \$250,000,000 in OCS revenues be distributed annually to coastal States that have submitted approved coastal impact assistance plans. The program was transferred from the Bureau of Ocean Energy Management, Regulation and Enforcement (BOEMRE), now the Bureau of Ocean Energy Management (BOEM), to the U.S. Fish and Wildlife Service. Remaining balances from this program were transferred on October 1, 2011.

## BUREAU OF SAFETY AND ENVIRONMENTAL ENFORCEMENT

## Federal Funds

## OFFSHORE SAFETY AND ENVIRONMENTAL ENFORCEMENT

For expenses necessary for the regulation of operations related to leases, easements, rights-of-way and agreements for use for oil and gas, other minerals, energy, and marine-related purposes on the Outer Continental Shelf, as authorized by law; for enforcing and implementing laws and regulations as authorized by law and to the extent provided by Presidential or Secretarial delegation; and for matching grants or cooperative agreements, \$142,233,000, of which \$83,263,000 is to remain available until September 30, 2015 and of which \$58,970,000 is to remain available until expended: Provided, That this total appropriation shall be reduced by amounts collected by the Secretary and credited to this appropriation from additions to receipts resulting from increases to lease rental rates in effect on August 5, 1993, and from cost recovery fees from activities conducted by the Bureau of Safety and Environmental Enforcement pursuant to the Outer Continental Shelf Lands Act, including studies, assessments, analysis, and miscellaneous administrative activities: Provided further, That the sum herein appropriated shall be reduced as such collections are received during the fiscal year, so as to result in a final fiscal year 2014 appropriation estimated at not more than \$83,263,000.

For an additional amount, \$65,000,000, to remain available until expended, to be reduced by amounts collected by the Secretary and credited to this appropriation, which shall be derived from non-refundable inspection fees collected in fiscal year 2014, as provided in this Act: Provided, , That to the extent that amounts realized from such inspection fees exceed \$65,000,000, the amounts realized in excess of \$65,000,000 shall be credited to this appropriation and remain available until expended: Provided further, That for fiscal year 2014, not less than 50 percent of the inspection fees expended by the Bureau of Safety and Environmental Enforcement will be used to fund personnel and mission-related costs to expand capacity and expedite the orderly development, subject to environmental safeguards, of the Outer Continental Shelf pursuant to the Outer Continental Shelf

Lands Act (43 U.S.C. 1331 et seq.), including the review of applications for permits to drill.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–1700–0–1–302   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Appropriations .....   | 60          | 62      | 83        |
| 0002 Offsetting Collections .....   | .....       | 121     | 124       |
| 0192 Total direct program .....   | 60          | 183     | 207       |
| 0799 Total direct obligations .....   | 60          | 183     | 207       |
| 0801 Offsetting Collection & Reimbursable Receipts .....  | 133         | .....   | .....     |
| 0802 Reimbursable Service Agreements .....  | .....       | 33      | 33        |
| 0899 Total reimbursable obligations .....   | 133         | 33      | 33        |
| 0900 Total new obligations .....  | 193         | 216     | 240       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | .....       | 69      | 69        |
| 1011 Unobligated balance transfer from other accts [14–1917] .....                                  | 36          | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 36          | 69      | 69        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 61          | 62      | 83        |
| 1160 Appropriation, discretionary (total) .....   | 61          | 62      | 83        |
| Spending authority from offsetting collections, discretionary:                                      |             |         |           |
| 1700 Offsetting Collections (Cost Recovery) .....   | .....       | 6       | 8         |
| 1700 Offsetting Collections (Rents - Cost Recovery) .....   | 59          | 53      | 51        |
| 1700 Collected (Inspection Fee) .....   | 23          | .....   | 65        |
| 1700 Offsetting Collections (Reimbursable Service Agreements) .....                                 | .....       | 33      | 33        |
| 1701 Change in uncollected payments, Federal sources .....  | 21          | .....   | .....     |
| 1711 Spending authority from offsetting collections transferred from other accounts [14–1917] ..... | 62          | 62      | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....                                  | 165         | 154     | 157       |
| 1900 Budget authority (total) .....   | 226         | 216     | 240       |
| 1930 Total budgetary resources available .....  | 262         | 285     | 309       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 69          | 69      | 69        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | .....       | 83      | 78        |
| 3010 Obligations incurred, unexpired accounts .....   | 193         | 216     | 240       |
| 3020 Outlays (gross) .....  | –125        | –221    | –236      |
| 3031 Unpaid obligations transferred from other accts [14–1917] .....                                | 15          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....  | 83          | 78      | 82        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                   | .....       | –21     | –21       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                                      | –21         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....  | –21         | –21     | –21       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | .....       | 62      | 57        |
| 3200 Obligated balance, end of year .....   | 62          | 57      | 61        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 226         | 216     | 240       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 100         | 150     | 168       |
| 4011 Outlays from discretionary balances .....  | 25          | 71      | 68        |
| 4020 Outlays, gross (total) .....   | 125         | 221     | 236       |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | –23         | –33     | –33       |
| 4033 Non-Federal sources .....  | –59         | .....   | .....     |
| 4034 Offsetting governmental collections .....  | .....       | –59     | –124      |
| 4040 Offsets against gross budget authority and outlays (total) ....                                | –82         | –92     | –157      |
| Additional offsets against gross budget authority only:   |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                                      | –21         | .....   | .....     |

|      |   |     |     |    |
|------|---|-----|-----|----|
| 4070 | Budget authority, net (discretionary) ..... | 123 | 124 | 83 |
| 4080 | Outlays, net (discretionary) .....          | 43  | 129 | 79 |
| 4180 | Budget authority, net (total) .....         | 123 | 124 | 83 |
| 4190 | Outlays, net (total) .....                  | 43  | 129 | 79 |

The Bureau of Safety and Environmental Enforcement (BSEE) was established on October 1, 2011, to aggressively promote safety, protect the environment, and conserve offshore resources. The mission of BSEE is to enforce safety, environmental, and conservation laws and regulations concerning development of Outer Continental Shelf resources. The Offshore Safety and Environmental Enforcement account is BSEE's primary operating account. Activities include: Operations, Safety, and Regulation; Environmental Enforcement; Administrative Operations; General Support Services; and Executive Direction.

**Operations, Safety, and Regulation.**—Provides for the development of standards and regulations, review of operational permits and requests, drilling rig and production facility inspections, oil spill planning and preparedness compliance, accident investigations, production verification (in cooperation with the Office of Natural Resources Revenue), emerging technology assessment and research, and the National Offshore Training and Learning Center.

**Environmental Enforcement.**—Funds environmental compliance activities related to issuing permits; inspections of environmental measures and enforcement of incidences of noncompliance, and monitoring industry compliance with mitigation and other environmental requirements through office and field inspections.

**Administrative Operations.**—Provides bureau-wide ethics programs and oversight, emergency management, finance, human resources, procurement, and information management. This activity also provides a full range of administrative and information management services to the Bureau of Ocean Energy Management (BOEM), as well as select services to other Departmental entities.

**General Support Services.**—Provides shared support services for the bureau, such as office space, workers' and unemployment compensation, voice and data communications, and other central services. BSEE provides some general support services to BOEM through a reimbursable service agreement.

**Executive Direction.**—Maintains bureau-wide leadership, management, coordination, communications strategies, and outreach. Funds such activities as budget management, congressional and public affairs, and program policy and analysis.

#### Object Classification (in millions of dollars)

| Identification code 14–1700–0–1–302                      | 2012 actual | 2013 CR         | 2014 est. |
|--|-------------|-----------------|-----------|
| Direct obligations:                                      |             |                 |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 32          | 46              | 52        |
| 12.1 Civilian personnel benefits .....                   | 11          | 16              | 17        |
| 21.0 Travel and transportation of persons .....          | 1           | 4               | 4         |
| 23.1 Rental payments to GSA .....                        | 1           | 8               | 8         |
| 25.1 Advisory and assistance services .....              |             | 4               | 4         |
| 25.2 Other services from non-Federal sources .....       | 7           | 89              | 104       |
| 25.3 Other goods and services from Federal sources ..... | 2           | 1               | 1         |
| 25.5 Research and development contracts .....            |             | 11              | 13        |
| 26.0 Supplies and materials .....                        | 1           | 1               | 1         |
| 31.0 Equipment .....                                     | 5           | 3               | 3         |
| 99.0 Direct obligations .....                            | 60          | 183             | 207       |
| 99.0 Reimbursable obligations .....                      | 133         | 33 <sup>1</sup> | 33        |
| 99.9 Total new obligations .....                         | 193         | 216             | 240       |

<sup>1</sup>As of FY 2013, Offsetting Collections moved to Direct Category

#### Employment Summary

| Identification code 14–1700–0–1–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 386         | 563     | 616       |

|  |     |     |     |
|--|-----|-----|-----|
| 2001 Reimbursable civilian full-time equivalent employment ..... |     |     |     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 212 | 125 | 125 |

#### OIL SPILL RESEARCH

##### Program and Financing (in millions of dollars)

| Identification code 14–1920–0–1–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    |             | 3       |           |
| 0900 Total new obligations (object class 25.2) .....  |             | 3       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              |             | 3       |           |
| 1160 Appropriation, discretionary (total) .....       |             | 3       |           |
| 1930 Total budgetary resources available .....        |             | 3       |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 2         |
| 3010 Obligations incurred, unexpired accounts .....   |             | 3       |           |
| 3020 Outlays (gross) .....                            |             | –1      | –2        |
| 3050 Unpaid obligations, end of year .....            |             | 2       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 2         |
| 3200 Obligated balance, end of year .....             |             | 2       |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    |             | 3       |           |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             | 1       |           |
| 4011 Outlays from discretionary balances .....        |             |         | 2         |
| 4020 Outlays, gross (total) .....                     |             | 1       | 2         |
| 4180 Budget authority, net (total) .....              |             | 3       |           |
| 4190 Outlays, net (total) .....                       |             | 1       | 2         |

#### Trust Funds

##### OIL SPILL RESEARCH

*For necessary expenses to carry out title I, section 1016, title IV, sections 4202 and 4303, title VII, and title VIII, section 8201 of the Oil Pollution Act of 1990, \$14,899,000, which shall be derived from the Oil Spill Liability Trust Fund, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

| Identification code 14–8370–0–7–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 12          | 15      | 15        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 6       | 6         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 15          | 15      | 15        |
| 1160 Appropriation, discretionary (total) .....       | 15          | 15      | 15        |
| 1900 Budget authority (total) .....                   | 15          | 15      | 15        |
| 1930 Total budgetary resources available .....        | 18          | 21      | 21        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 6           | 6       | 6         |

OIL SPILL RESEARCH—Continued  
Program and Financing—Continued

| Identification code 14–8370–0–7–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 8           | 10      | 11        |
| 3010 Obligations incurred, unexpired accounts .....   | 12          | 15      | 15        |
| 3020 Outlays (gross) .....                            | –10         | –14     | –15       |
| 3050 Unpaid obligations, end of year .....            | 10          | 11      | 11        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 8           | 10      | 11        |
| 3200 Obligated balance, end of year .....             | 10          | 11      | 11        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 15          | 15      | 15        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 4           | 8       | 8         |
| 4011 Outlays from discretionary balances .....        | 6           | 6       | 7         |
| 4020 Outlays, gross (total) .....                     | 10          | 14      | 15        |
| 4180 Budget authority, net (total) .....              | 15          | 15      | 15        |
| 4190 Outlays, net (total) .....                       | 10          | 14      | 15        |

The Oil Pollution Act of 1990 authorizes use of the Oil Spill Liability Trust Fund, established by section 9509 of the Internal Revenue Code of 1986. The Oil Spill Research (OSR) appropriation funds oil spill research, oil spill prevention, response planning activities, and regulation of oil spill financial responsibility.

## Object Classification (in millions of dollars)

| Identification code 14–8370–0–7–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 12.1 Civilian personnel benefits .....                 |             | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 4           | 2       | 2         |
| 25.5 Research and development contracts .....          | 4           | 10      | 10        |
| 94.0 Financial transfers .....                         | 1           |         |           |
| 99.0 Direct obligations .....                          | 11          | 15      | 15        |
| 99.0 Reimbursable obligations .....                    | 1           |         |           |
| 99.9 Total new obligations .....                       | 12          | 15      | 15        |

## Employment Summary

| Identification code 14–8370–0–7–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 17          | 22      | 22        |

## OFFICE OF SURFACE MINING RECLAMATION AND ENFORCEMENT

## Federal Funds

## REGULATION AND TECHNOLOGY

For necessary expenses to carry out the provisions of the Surface Mining Control and Reclamation Act of 1977, Public Law 95–87, as amended, \$114,955,000, to remain available until September 30, 2015: Provided, That appropriations for the Office of Surface Mining Reclamation and Enforcement may provide for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.

In addition, for costs to review, administer, and enforce permits issued by the Bureau pursuant to section 507 of Public Law 95–87 (30 U.S.C. 1257), \$2,400,000, to remain available until expended: Provided, That fees assessed and collected by the Bureau pursuant to such section 507 shall be credited to this account as discretionary offsetting collections, to remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as collections are received during the fiscal year, so as to result in a fiscal year 2014 appropriation estimated at not more than \$114,955,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is oper-

ating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–1801–0–1–302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0002 Environmental protection .....                                | 93          | 92      | 84        |
| 0003 Technology development & transfer .....                       | 15          | 15      | 16        |
| 0004 Financial management .....                                    | 1           | 1       | 1         |
| 0005 Executive direction & administration .....                    | 15          | 16      | 16        |
| 0900 Total new obligations .....                                   | 124         | 124     | 117       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 17          | 19      | 19        |
| 1021 Recoveries of prior year unpaid obligations .....             | 5           |         |           |
| 1050 Unobligated balance (total) .....                             | 22          | 19      | 19        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 123         | 124     | 115       |
| 1160 Appropriation, discretionary (total) .....                    | 123         | 124     | 115       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             |         | 2         |
| 1750 Spending auth from offsetting collections, disc (total) ..... |             |         | 2         |
| 1900 Budget authority (total) .....                                | 123         | 124     | 117       |
| 1930 Total budgetary resources available .....                     | 145         | 143     | 136       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 19          | 19      | 19        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 54          | 52      | 42        |
| 3010 Obligations incurred, unexpired accounts .....                | 124         | 124     | 117       |
| 3020 Outlays (gross) .....   | –119        | –134    | –120      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –5          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 52          | 42      | 39        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 54          | 52      | 42        |
| 3200 Obligated balance, end of year .....                          | 52          | 42      | 39        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 123         | 124     | 117       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 66          | 84      | 80        |
| 4011 Outlays from discretionary balances .....                     | 53          | 50      | 40        |
| 4020 Outlays, gross (total) .....                                  | 119         | 134     | 120       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4034 Offsetting governmental collections .....                     |             |         | –2        |
| 4180 Budget authority, net (total) .....                           | 123         | 124     | 115       |
| 4190 Outlays, net (total) .....                                    | 119         | 134     | 118       |

**Environmental protection.**—This activity funds those functions that directly contribute to ensuring that the environment is protected during surface coal mining operations. It also addresses activities to ensure coal operators adequately reclaim the land after mining is completed.

Under this activity, the Office of Surface Mining Reclamation and Enforcement provides grants and support to States to operate enforcement programs on State and private lands under the terms of the Surface Mining Control and Reclamation Act of 1977 (SMCRA). This activity also provides for the operation of enforcement programs on Federal and Indian lands, as well as Federal oversight of these regulatory programs.

**Technology development and transfer.**—This activity provides funding to enhance the technical skills that States and Indian Tribes need to operate their regulatory programs. It provides technical tools, such as the Applicant Violator System, to States and Indian Tribes to solve problems related to the environmental



effects of coal mining and technical assistance to address specific coal mining issues.

**Financial management.**—This activity provides resources for managing, accounting, and processing collections and for pursuing delinquent civil penalties. This includes developing and maintaining information management systems that support these functions and enhance the agency's ability to deny new mining permits to applicants with unabated State or Federal violations.

**Executive direction and administration.**—This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services, such as rent, telephones, and postage.

#### Object Classification (in millions of dollars)

| Identification code 14–1801–0–1–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 31          | 33      | 34        |
| 12.1 Civilian personnel benefits .....                 | 8           | 7       | 7         |
| 21.0 Travel and transportation of persons .....        | 1           | 2       | 2         |
| 23.1 Rental payments to GSA .....                      | 4           | 4       | 4         |
| 23.2 Rental payments to others .....                   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 7           | 6       | 7         |
| 26.0 Supplies and materials .....                      | 1           | 2       | 2         |
| 31.0 Equipment .....                                   | 2           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 69          | 68      | 57        |
| 99.0 Direct obligations .....                          | 124         | 124     | 115       |
| 99.0 Reimbursable obligations .....                    |             |         | 2         |
| 99.9 Total new obligations .....                       | 124         | 124     | 117       |

#### Employment Summary

| Identification code 14–1801–0–1–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 345         | 344     | 363       |

#### ABANDONED MINE RECLAMATION FUND

*For necessary expenses to carry out title IV of the Surface Mining Control and Reclamation Act of 1977, Public Law 95–87, as amended, \$28,013,000, to be derived from receipts of the Abandoned Mine Reclamation Fund and to remain available until expended: Provided, That pursuant to Public Law 97–365, the Department of the Interior is authorized to use up to 20 percent from the recovery of the delinquent debt owed to the United States Government to pay for contracts to collect these debts: Provided further, That funds made available under title IV of Public Law 95–87 may be used for any required non-Federal share of the cost of projects funded by the Federal Government for the purpose of environmental restoration related to treatment or abatement of acid mine drainage from abandoned mines: Provided further, That such projects must be consistent with the purposes and priorities of the Surface Mining Control and Reclamation Act: Provided further, That amounts provided under this heading may be used for the travel and per diem expenses of State and tribal personnel attending Office of Surface Mining Reclamation and Enforcement sponsored training.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5015–0–2–999                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                   | 2,459       | 2,468   | 2,447     |
| Receipts:   |             |         |           |
| 0200 Abandoned Mine Reclamation Fund, Reclamation Fees .....        | 250         | 216     | 213       |
| 0201 Abandoned Mine Reclamation Fund, Reclamation Fees .....        |             |         | 53        |
| 0240 Earnings on Investments, Abandoned Mine Reclamation Fund ..... | 55          | 56      | 15        |
| 0299 Total receipts and collections .....                           | 305         | 272     | 281       |

|  |       |       |       |
|--|-------|-------|-------|
| 0400 Total: Balances and collections ..... | 2,764 | 2,740 | 2,728 |
| Appropriations:                            |       |       |       |
| 0500 Abandoned Mine Reclamation Fund ..... | –27   | –28   | –28   |
| 0501 Abandoned Mine Reclamation Fund ..... | –269  | –55   | –15   |
| 0502 Abandoned Mine Reclamation Fund ..... |       | –210  | –188  |
| 0599 Total appropriations .....            | –296  | –293  | –231  |
| 0799 Balance, end of year .....            | 2,468 | 2,447 | 2,497 |

#### Program and Financing (in millions of dollars)

| Identification code 14–5015–0–2–999               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>           |             |         |           |
| 0001 Environmental Restoration .....              | 8           | 9       | 9         |
| 0002 Technology development and transfer .....    | 3           | 4       | 4         |
| 0003 Financial management .....                   | 6           | 7       | 7         |
| 0004 Executive direction and administration ..... | 8           | 8       | 8         |
| 0005 AML funded Grants to States .....            | 232         | 210     | 188       |
| 0006 UMWA and other benefits .....                | 48          | 55      | 15        |
| 0900 Total new obligations .....                  | 305         | 293     | 231       |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budgetary Resources:</b>                                     |     |     |     |
| Unobligated balance:  |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 27  | 29  | 34  |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 27  | 29  |     |
| 1021 Recoveries of prior year unpaid obligations .....          | 11  | 5   | 5   |
| 1050 Unobligated balance (total) .....                          | 38  | 34  | 39  |
| Budget authority:   |     |     |     |
| Appropriations, discretionary:                                  |     |     |     |
| 1101 Appropriation (special or trust fund) .....                | 27  | 28  | 28  |
| 1160 Appropriation, discretionary (total) .....                 | 27  | 28  | 28  |
| Appropriations, mandatory:                                      |     |     |     |
| 1201 Appropriation (AML & RAMP transfers to UMWA) .....         | 269 | 55  | 15  |
| 1201 Appropriation (AML grants to states) .....                 |     | 210 | 188 |
| 1260 Appropriations, mandatory (total) .....                    | 269 | 265 | 203 |
| 1900 Budget authority (total) .....                             | 296 | 293 | 231 |
| 1930 Total budgetary resources available .....                  | 334 | 327 | 270 |
| Memorandum (non-add) entries:                                   |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....           | 29  | 34  | 39  |

|   |      |      |      |
|---|------|------|------|
| <b>Change in obligated balance:</b>                               |      |      |      |
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 229  | 262  | 321  |
| 3010 Obligations incurred, unexpired accounts .....               | 305  | 293  | 231  |
| 3020 Outlays (gross) .....  | –261 | –229 | –247 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –11  | –5   | –5   |
| 3050 Unpaid obligations, end of year .....                        | 262  | 321  | 300  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 229  | 262  | 321  |
| 3200 Obligated balance, end of year .....                         | 262  | 321  | 300  |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budget authority and outlays, net:</b>           |     |     |     |
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 27  | 28  | 28  |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 21  | 24  | 24  |
| 4011 Outlays from discretionary balances .....      | 20  | 4   | 4   |
| 4020 Outlays, gross (total) .....                   | 41  | 28  | 28  |
| Mandatory:  |     |     |     |
| 4090 Budget authority, gross .....                  | 269 | 265 | 203 |
| Outlays, gross:                                     |     |     |     |
| 4100 Outlays from new mandatory authority .....     | 73  | 113 | 67  |
| 4101 Outlays from mandatory balances .....          | 147 | 88  | 152 |
| 4110 Outlays, gross (total) .....                   | 220 | 201 | 219 |
| 4180 Budget authority, net (total) .....            | 296 | 293 | 231 |
| 4190 Outlays, net (total) .....                     | 261 | 229 | 247 |

|  |       |       |       |
|--|-------|-------|-------|
| <b>Memorandum (non-add) entries:</b>                             |       |       |       |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 2,708 | 2,752 | 2,771 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 2,752 | 2,771 | 2,702 |

**Environmental restoration.**—This activity funds those functions that contribute to reclaiming lands affected by past coal mining practices. This activity provides discretionary funding for the Federal reclamation program for watershed restoration projects

## ABANDONED MINE RECLAMATION FUND—Continued

and for the evaluation of State and tribal reclamation programs that now receive mandatory funding for reclamation activities.

**Technology development and transfer.**—This activity provides funding to enhance the technical skills that the States and Indian Tribes need to operate their reclamation programs. The Office of Surface Mining Reclamation and Enforcement (OSM) provides technical assistance on mining and reclamation-related problems.

**Financial management.**—This activity provides funds to identify, notify, collect, and audit fees from coal operators for the Abandoned Mine Reclamation Fund. OSM seeks to maximize voluntary compliance with the Surface Mining Control and Reclamation Act's reclamation fee provisions.

**Executive direction and administration.**— This activity provides funding for executive direction, general administrative support, and the acquisition of certain agency-wide common services such as rent, telephones, and postage.

## Status of Funds (in millions of dollars)

| Identification code 14–5015–0–2–999                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Unexpended balance, start of year:                                  |             |         |           |
| 0100 Balance, start of year .....                                   | 2,715       | 2,759   | 2,802     |
| 0199 Total balance, start of year .....                             | 2,715       | 2,759   | 2,802     |
| Cash income during the year:  |             |         |           |
| Current law:  |             |         |           |
| Receipts:   |             |         |           |
| 1200 Abandoned Mine Reclamation Fund, Reclamation Fees .....        | 250         | 216     | 213       |
| Offsetting receipts (intragovernmental):                            |             |         |           |
| 1240 Earnings on Investments, Abandoned Mine Reclamation Fund ..... | 55          | 56      | 15        |
| 1299 Income under present law .....                                 | 305         | 272     | 228       |
| Proposed legislation:   |             |         |           |
| Receipts:   |             |         |           |
| 2201 Abandoned Mine Reclamation Fund, Reclamation Fees .....        |             |         | 53        |
| 2299 Income under proposed legislation .....                        |             |         | 53        |
| 3299 Total cash income .....  | 305         | 272     | 281       |
| Cash outgo during year:   |             |         |           |
| Current law:  |             |         |           |
| 4500 Abandoned Mine Reclamation Fund .....                          | –261        | –229    | –247      |
| 4599 Outgo under current law (–) .....                              | –261        | –229    | –247      |
| 6599 Total cash outgo (–) .....                                     | –261        | –229    | –247      |
| Unexpended balance, end of year:                                    |             |         |           |
| 8700 Uninvested balance (net), end of year .....                    | 7           | 31      | 134       |
| 8701 Abandoned Mine Reclamation Fund .....                          | 2,752       | 2,771   | 2,702     |
| 8799 Total balance, end of year .....                               | 2,759       | 2,802   | 2,836     |

## Object Classification (in millions of dollars)

| Identification code 14–5015–0–2–999                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 13          | 17      | 17        |
| 12.1 Civilian personnel benefits .....                          | 3           | 3       | 3         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 1           | 2       | 2         |
| 23.2 Rental payments to others .....                            | 1           |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 55          | 3       | 3         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 230         | 265     | 203       |
| 99.9 Total new obligations .....                                | 305         | 293     | 231       |

## Employment Summary

| Identification code 14–5015–0–2–999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 141         | 159     | 159       |

## ABANDONED MINE RECLAMATION FUND

(Legislative proposal, subject to PAYGO)

The Budget proposes to return coal fees to the level prior to 2006, when an amendment to the Surface Mining Control and

Reclamation Act reduced the fees. The proposal to return the fees to their historic levels will generate an additional \$53 million in 2014 that will be used to reclaim priority abandoned mine sites.

## PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS

## Program and Financing (in millions of dollars)

| Identification code 14–1803–0–1–999                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Prior Balance Payments to Non-Certified States .....         | 98          | 85      | 85        |
| 0002 Prior Balance Payments to Certified States and Tribes .....  | 110         | 19      | 19        |
| 0003 In Lieu Payments to Certified States and Tribes .....        | 79          | 25      | 24        |
| 0900 Total new obligations (object class 41.0) .....              | 287         | 129     | 128       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 1           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 21          |         |           |
| 1050 Unobligated balance (total) .....                            | 22          |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 265         | 129     | 128       |
| 1260 Appropriations, mandatory (total) .....                      | 265         | 129     | 128       |
| 1900 Budget authority (total) .....                               | 265         | 129     | 128       |
| 1930 Total budgetary resources available .....                    | 287         | 129     | 128       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 541         | 651     | 359       |
| 3010 Obligations incurred, unexpired accounts .....               | 287         | 129     | 128       |
| 3020 Outlays (gross) .....  | –156        | –421    | –268      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –21         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 651         | 359     | 219       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 541         | 651     | 359       |
| 3200 Obligated balance, end of year .....                         | 651         | 359     | 219       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 265         | 129     | 128       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 16          | 54      | 53        |
| 4101 Outlays from mandatory balances .....                        | 140         | 367     | 215       |
| 4110 Outlays, gross (total) .....                                 | 156         | 421     | 268       |
| 4180 Budget authority, net (total) .....                          | 265         | 129     | 128       |
| 4190 Outlays, net (total) .....                                   | 156         | 421     | 268       |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 265         | 129     | 128       |
| Outlays .....                           | 156         | 421     | 268       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | –43       |
| Outlays .....                           |             |         | –32       |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 265         | 129     | 85        |
| Outlays .....                           | 156         | 421     | 236       |

Public Law 109–432 authorizes mandatory Treasury payments to all States and Tribes equivalent to their share of the accumulated balance of the Abandoned Mine Reclamation Fund. The payments also return half of annual coal fee collections to States and Tribes that have certified completion of their abandoned coal mine reclamation programs.

The Budget proposes to eliminate mandatory payments from the Treasury to States and Tribes that have been certified as completing reclamation of their abandoned coal mines, so that

abandoned mine lands funds are only used to clean up the most hazardous abandoned coal mines.

**PAYMENTS TO STATES IN LIEU OF COAL FEE RECEIPTS**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 14–1803–4–1–999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |             |         |           |
| 0003 In Lieu Payments to Certified States and Tribes ..... |             |         | –43       |
| 0900 Total new obligations (object class 41.0) .....       |             |         | –43       |
| <b>Budgetary Resources:</b>                                |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                 |             |         |           |
| 1200 Appropriation .....                                   |             |         | –43       |
| 1260 Appropriations, mandatory (total) .....               |             |         | –43       |
| 1900 Budget authority (total) .....                        |             |         | –43       |
| 1930 Total budgetary resources available .....             |             |         | –43       |
| <b>Change in obligated balance:</b>                        |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....        |             |         | –43       |
| 3020 Outlays (gross) .....                                 |             |         | 32        |
| 3050 Unpaid obligations, end of year .....                 |             |         | –11       |
| Memorandum (non-add) entries:                              |             |         |           |
| 3200 Obligated balance, end of year .....                  |             |         | –11       |
| <b>Budget authority and outlays, net:</b>                  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                         |             |         | –43       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....            |             |         | –32       |
| 4180 Budget authority, net (total) .....                   |             |         | –43       |
| 4190 Outlays, net (total) .....                            |             |         | –32       |

**SUPPLEMENTAL PAYMENTS TO UMWA HEALTH PLANS**

**Program and Financing** (in millions of dollars)

| Identification code 14–1804–0–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 206         | 141     | 180       |
| 0900 Total new obligations (object class 25.2) ..... | 206         | 141     | 180       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 206         | 141     | 180       |
| 1260 Appropriations, mandatory (total) .....         | 206         | 141     | 180       |
| 1930 Total budgetary resources available .....       | 206         | 141     | 180       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 206         | 141     | 180       |
| 3020 Outlays (gross) .....                           | –206        | –141    | –180      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 206         | 141     | 180       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 206         | 141     | 180       |
| 4180 Budget authority, net (total) .....             | 206         | 141     | 180       |
| 4190 Outlays, net (total) .....                      | 206         | 141     | 180       |

Public Law 109–432 authorizes mandatory Treasury payments to three United Mine Workers of America (UMWA) retiree health benefit plans (the Combined Benefit Fund, the 1992 Plan, and the 1993 Plan), to the extent that other Federal funding sources do not meet the plans' expenditure needs, subject to certain limitations. Interest earned on Abandoned Mine Land trust fund

balances is available for transfer to cover funding shortfalls in the plans; unobligated balances in the fund are used to generate interest for this purpose.

**ADMINISTRATIVE PROVISION**

*With funds available for the Technical Innovation and Professional Services program in this Act, the Secretary may transfer title for computer hardware, software and other technical equipment to State and tribal regulatory and reclamation programs.*

**WATER AND SCIENCE**

**BUREAU OF RECLAMATION**

Appropriations to the Bureau are made from the General Fund and special funds. The source of funds are a) the General Fund, which funds other projects or programs. Among the projects funded from the General Fund are the Colorado River Basin Project and the Colorado River Storage Project; b) the Reclamation Fund, derived from repayments and other revenues from water and power users, receipts from the sale, lease, and rental of Federal lands, and certain oil and mineral revenues. Program activities that can be financed from the Reclamation Fund are those that directly benefit the 17 Western States and are for the purposes authorized under "Reclamation Law"; c) the Central Valley Project Restoration Fund, consisting of revenues from project beneficiaries; and d) other sources such as the Colorado River Dam Fund, which generates revenue from the sale of Boulder Canyon power, and the recreation, entrance, and use fee account, consisting of fees collected pursuant to the Land and Water Conservation Fund Act of 1965, as amended. Non-Federal entities also advance funds for operation and maintenance and provide funds under the Contributed Funds Act. The 2014 estimates are summarized by source as follows (in millions of dollars):

|  | Total appropriations | General Fund | Reclamation Fund | CVP Restoration Fund | Other |
|--|----------------------|--------------|------------------|----------------------|-------|
| <b>Appropriated Funds:</b>   |                      |              |                  |                      |       |
| Water and Related Resources (net) .....  | 783                  | 79           | 704              |                      |       |
| Transferred from Water and Related Resources to Lower and Upper Colorado Basin Funds ..... | 8                    | 8            |                  |                      |       |
| California Bay-Delta Restoration .....   | 37                   | 37           |                  |                      |       |
| Central Utah Project Completion Account .....  | 4                    | 4            |                  |                      | 0     |
| Policy and Administration .....  | 60                   |              | 60               |                      |       |
| Working Capital Fund .....   | 0                    |              |                  |                      |       |
| Loan Program .....   | 0                    |              |                  |                      |       |
| Central Valley Project Restoration Fund .....  | 53                   |              |                  | 53                   |       |
| San Joaquin Restoration Fund .....   | 26                   | 26           |                  |                      | 0     |
| Indian Water Rights Settlements .....  | 79                   | 79           |                  |                      | 0     |
| Gross Current Authority .....  | 1050                 | 233          | 764              | 53                   | 0     |
| Central Valley Project Restoration Fund, current offset .....                              | –53                  |              |                  | –53                  |       |
| Net Current Authority .....  | 996                  | 233          | 764              | 0                    | 0     |
| Loan Liquidating Account .....   | –1                   |              |                  |                      | –1    |
| Colorado River Dam Fund .....  | 111                  |              |                  |                      | 111   |
| Reclamation Trust Fund .....   | 3                    |              |                  |                      | 3     |
| San Joaquin Restoration Fund .....   | 0                    |              |                  |                      | 0     |
| Reclamation Water Settlements Fund .....   | 60                   | 60           |                  |                      |       |
| Federal Lands Recreation Enhancement Act .....   | 1                    |              |                  |                      | 1     |
| Utah Reclamation Mitigation and Conservation Act .....                                     | 7                    | 0            | 0                | 0                    | 7     |
| Total Permanent Appropriations .....   | 181                  | 60           | 0                | 0                    | 121   |

|                   |      |     |     |   |     |
|-------------------|------|-----|-----|---|-----|
| Grand Total ..... | 1177 | 293 | 764 | 0 | 121 |
|-------------------|------|-----|-----|---|-----|

**Federal Funds****BUREAU OF RECLAMATION**

*The following appropriations shall be expended to execute authorized functions of the Bureau of Reclamation:*

**WATER AND RELATED RESOURCES**

(INCLUDING TRANSFERS OF FUNDS)

*For management, development, and restoration of water and related natural resources and for related activities, including the operation, maintenance, and rehabilitation of reclamation and other facilities, participation in fulfilling related Federal responsibilities to Native Americans, and related grants to, and cooperative and other agreements with, State and local governments, federally recognized Indian tribes, and others, \$791,135,000, to remain available until expended, of which \$28,000 shall be available for transfer to the Upper Colorado River Basin Fund and \$8,401,000 shall be available for transfer to the Lower Colorado River Basin Development Fund; of which such amounts as may be necessary may be advanced to the Colorado River Dam Fund: Provided, That such transfers may be increased or decreased within the overall appropriation under this heading: Provided further, That of the total appropriated, the amount for program activities that can be financed by the Reclamation Fund or the Bureau of Reclamation special fee account established by 16 U.S.C. 6806 shall be derived from that Fund or account: Provided further, That funds contributed under 43 U.S.C. 395 are available until expended for the purposes for which the funds were contributed: Provided further, That funds advanced under 43 U.S.C. 397a shall be credited to this account and are available until expended for the same purposes as the sums appropriated under this heading: Provided further, That of the amounts provided herein, funds may be used for high priority projects which shall be carried out by the Youth Conservation Corps, as authorized by 16 U.S.C. 1706.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–0680–0–1–301           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....             |             |         |           |
| Receipts:                                     |             |         |           |
| 0220 Recreation Enhancement Fee Program ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....    | 1           | 1       | 1         |
| Appropriations:                               |             |         |           |
| 0500 Water and Related Resources .....        | –1          | –1      | –1        |
| 0799 Balance, end of year .....               |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 14–0680–0–1–301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Facility operations .....                                  | 233         | 252     | 241       |
| 0002 Facility maintenance and rehabilitation .....              | 188         | 179     | 177       |
| 0003 Water and energy management and development .....          | 492         | 261     | 234       |
| 0004 Fish and wildlife management and development .....         | 151         | 112     | 117       |
| 0005 Land management and development .....                      | 35          | 36      | 35        |
| 0006 Recovery Act activities .....                              | 20          | 10      |           |
| 0100 Total direct program .....                                 | 1,119       | 850     | 804       |
| 0799 Total direct obligations .....                             | 1,119       | 850     | 804       |
| 0801 Reimbursable program .....                                 | 325         | 404     | 294       |
| 0900 Total new obligations .....                                | 1,444       | 1,254   | 1,098     |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 529         | 358     | 321       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 248         | 302     |           |

|   |       |       |       |
|---|-------|-------|-------|
| 1010 Unobligated balance transfer to other accts [11–5512] .....                | –3    |       |       |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 14    |       |       |
| 1021 Recoveries of prior year unpaid obligations .....                          | 37    |       |       |
| 1050 Unobligated balance (total) .....  | 577   | 358   | 321   |
| Budget authority:   |       |       |       |
| Appropriations, discretionary:  |       |       |       |
| 1100 Appropriation .....  | 172   | 175   | 87    |
| 1101 Appropriation (special or trust fund) .....                                | 723   | 725   | 704   |
| 1120 Appropriations transferred to other accts [14–4081] .....                  | –39   | –11   |       |
| 1120 Appropriations transferred to other accts [14–4079] .....                  | –6    | –6    | –8    |
| 1131 Unobligated balance of appropriations permanently reduced .....            |       | –2    |       |
| 1160 Appropriation, discretionary (total) .....                                 | 850   | 881   | 783   |
| Appropriations, mandatory:  |       |       |       |
| 1201 Appropriation (special or trust fund) .....                                | 1     | 1     | 1     |
| 1260 Appropriations, mandatory (total) .....                                    | 1     | 1     | 1     |
| Spending authority from offsetting collections, discretionary:                  |       |       |       |
| 1700 Collected .....  | 377   | 335   | 294   |
| 1701 Change in uncollected payments, Federal sources .....                      | –3    |       |       |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 374   | 335   | 294   |
| 1900 Budget authority (total) .....   | 1,225 | 1,217 | 1,078 |
| 1930 Total budgetary resources available .....                                  | 1,802 | 1,575 | 1,399 |
| Memorandum (non-add) entries:   |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....                           | 358   | 321   | 301   |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,234  | 920    | 932    |
| 3010 Obligations incurred, unexpired accounts .....               | 1,444  | 1,254  | 1,098  |
| 3011 Obligations incurred, expired accounts .....                 | –1     |        |        |
| 3020 Outlays (gross) .....  | –1,708 | –1,242 | –1,152 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –37    |        |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –12    |        |        |
| 3050 Unpaid obligations, end of year .....                        | 920    | 932    | 878    |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –148   | –145   | –145   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 3      |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –145   | –145   | –145   |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 1,086  | 775    | 787    |
| 3200 Obligated balance, end of year .....                         | 775    | 787    | 733    |

**Budget authority and outlays, net:**

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 1,224 | 1,216 | 1,077 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 906   | 731   | 646   |
| 4011 Outlays from discretionary balances .....                       | 580   | 500   | 487   |
| 4020 Outlays, gross (total) .....                                    | 1,486 | 1,231 | 1,133 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | –184  | –242  | –201  |
| 4033 Non-Federal sources .....                                       | –193  | –93   | –93   |
| 4040 Offsets against gross budget authority and outlays (total) .... | –377  | –335  | –294  |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 3     |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 850   | 881   | 783   |
| 4080 Outlays, net (discretionary) .....                              | 1,109 | 896   | 839   |
| Mandatory:   |       |       |       |
| 4090 Budget authority, gross .....                                   | 1     | 1     | 1     |
| Outlays, gross:  |       |       |       |
| 4100 Outlays from new mandatory authority .....                      | 1     | 1     | 1     |
| 4101 Outlays from mandatory balances .....                           | 221   | 10    | 18    |
| 4110 Outlays, gross (total) .....                                    | 222   | 11    | 19    |
| 4180 Budget authority, net (total) .....                             | 851   | 882   | 784   |
| 4190 Outlays, net (total) .....                                      | 1,331 | 907   | 858   |

The Water and Related Resources account supports the development, management, and restoration of water and related natural resources in the 17 Western States. The account includes funds for operating and maintaining existing facilities to obtain the greatest overall level of benefits, to protect public safety, and to conduct studies on ways to improve the use of water and related natural resources. Work will be done in partnership and cooperation with non-Federal entities and other Federal agencies to reduce conflict, facilitate solutions to complex water issues and

stretch limited water supplies. The American West is the fastest growing region of the country and faces serious water challenges. Adequate and safe water supplies are fundamental to the health, economy, security, and ecology of the country. With increased demands for water from growth and energy needs, amplified recognition of environmental water requirements, and the potential for decreased supplies due to drought and climate change, a water balance cannot be achieved without water conservation and water reuse. In 2014, Reclamation will help address these issues through a water conservation program, which includes cost-shared grants for conservation and water and energy management improvement projects; basin-wide planning studies that will address the impacts of climate change; cooperative watershed management; and funding of water reuse and recycling projects through the Title XVI Water Reclamation and Reuse Program. Reclamation will also partner with States, Tribes and local entities under the program to develop incentives and best practices for implementing water and energy conservation and water recycling projects.

**Object Classification** (in millions of dollars)

| Identification code 14-0680-0-1-301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 142         | 146     | 148       |
| 11.3 Other than full-time permanent .....                       | 3           | 4       | 4         |
| 11.5 Other personnel compensation .....                         | 10          | 10      | 10        |
| 11.9 Total personnel compensation .....                         | 155         | 160     | 162       |
| 12.1 Civilian personnel benefits .....                          | 39          | 41      | 42        |
| 21.0 Travel and transportation of persons .....                 | 9           | 9       | 9         |
| 22.0 Transportation of things .....                             | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 12          | 12      | 12        |
| 25.2 Other services from non-Federal sources .....              | 591         | 320     | 277       |
| 25.2 Other services - Recovery Act .....                        | 18          | 8       |           |
| 26.0 Supplies and materials .....                               | 15          | 15      | 15        |
| 31.0 Equipment .....  | 20          | 20      | 20        |
| 32.0 Land and structures .....                                  | 105         | 107     | 109       |
| 32.0 Land and structures - Recovery Act .....                   | 2           | 2       |           |
| 41.0 Grants, subsidies, and contributions .....                 | 147         | 150     | 152       |
| 99.0 Direct obligations .....                                   | 1,117       | 848     | 802       |
| 99.0 Reimbursable obligations .....                             | 325         | 404     | 294       |
| 99.5 Below reporting threshold .....                            | 2           | 2       | 2         |
| 99.9 Total new obligations .....                                | 1,444       | 1,254   | 1,098     |

**Employment Summary**

| Identification code 14-0680-0-1-301                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 1,711       | 2,210   | 2,210     |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 849         | 614     | 614       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 7           | 5       | 5         |
| 3001 Allocation account civilian full-time equivalent employment ..... | 2           | 2       | 2         |

**CALIFORNIA BAY-DELTA RESTORATION**  
(INCLUDING TRANSFERS OF FUNDS)

*For carrying out activities authorized by the Water Supply, Reliability, and Environmental Improvement Act, consistent with plans to be approved by the Secretary of the Interior, \$37,000,000, to remain available until expended, of which such amounts as may be necessary to carry out such activities may be transferred to appropriate accounts of other participating Federal agencies to carry out authorized purposes: Provided, That funds appropriated herein may be used for the Federal share of the costs of CALFED Program management: Provided further, That CALFED implementation shall be carried out in a balanced manner with clear performance measures demonstrating concurrent progress in achieving the goals and objectives of the Program.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 14-0687-0-1-301                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 42          | 42      | 37        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 2           | 2       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           |         |           |
| 1050 Unobligated balance (total) .....                            | 4           | 2       |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 40          | 40      | 37        |
| 1160 Appropriation, discretionary (total) .....                   | 40          | 40      | 37        |
| 1930 Total budgetary resources available .....                    | 44          | 42      | 37        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 2           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 78          | 81      | 82        |
| 3010 Obligations incurred, unexpired accounts .....               | 42          | 42      | 37        |
| 3020 Outlays (gross) .....  | -37         | -41     | -39       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 81          | 82      | 80        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 78          | 81      | 82        |
| 3200 Obligated balance, end of year .....                         | 81          | 82      | 80        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 40          | 40      | 37        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               |             | 14      | 13        |
| 4011 Outlays from discretionary balances .....                    | 37          | 27      | 26        |
| 4020 Outlays, gross (total) .....                                 | 37          | 41      | 39        |
| 4180 Budget authority, net (total) .....                          | 40          | 40      | 37        |
| 4190 Outlays, net (total) .....                                   | 37          | 41      | 39        |

This account funds activities that are consistent with the CALFED Bay-Delta Program, a collaborative effort involving State and Federal agencies and representatives of California's urban, agricultural, and environmental communities. The goals of the program are to improve fish and wildlife habitat, water supply reliability, water quality, and levee integrity in the San Francisco Bay-San Joaquin River Delta, the principal hub of California's water distribution system.

**Object Classification** (in millions of dollars)

| Identification code 14-0687-0-1-301                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 19          | 19      | 13        |
| 26.0 Supplies and materials .....                      | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 17          | 17      | 18        |
| 99.0 Direct obligations .....                          | 41          | 41      | 36        |
| 99.5 Below reporting threshold .....                   | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 42          | 42      | 37        |

CALIFORNIA BAY-DELTA RESTORATION—Continued  
Employment Summary

| Identification code 14–0687–0–1–301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 32          | 31      | 31        |

## INDIAN WATER RIGHTS SETTLEMENTS

For carrying out activities authorized for Indian Water Rights Settlements by the Claims Resolution Act of 2010 (Public Law 111–291), Title X of the Omnibus Public Land Management Act of 2009 (Public Law 111–11), and the White Mountain Apache Tribe Rural Water System Loan Authorization Act (Public Law 110–390), \$78,661,000, to remain available until expended: Provided, That, of the amount appropriated, the amount for program activities that can be financed by the Reclamation Fund shall be derived from that fund: Provided further, That the unobligated and unexpended balances in "Water and Related Resources," "Reclamation Water Settlements Fund", and "Taos Settlement Fund" authorized by Public Law 111–291, Public Law 110–390, and Title X of Public Law 111–11 may be transferred to the Indian Water Rights Settlements account.

## Program and Financing (in millions of dollars)

| Identification code 14–2636–0–1–301                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 White Mountain Apache .....                     |             |         | 2         |
| 0002 Crow Tribe .....                                |             |         | 8         |
| 0003 Taos Pueblo .....                               |             |         | 4         |
| 0004 Aamodt .....                                    |             |         | 5         |
| 0005 Navajo-Gallup .....                             |             |         | 60        |
| 0900 Total new obligations (object class 25.2) ..... |             |         | 79        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 79        |
| 1160 Appropriation, discretionary (total) .....      |             |         | 79        |
| 1930 Total budgetary resources available .....       |             |         | 79        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 79        |
| 3020 Outlays (gross) .....                           |             |         | –47       |
| 3050 Unpaid obligations, end of year .....           |             |         | 32        |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 32        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 79        |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | 47        |
| 4180 Budget authority, net (total) .....             |             |         | 79        |
| 4190 Outlays, net (total) .....                      |             |         | 47        |

This account covers expenses associated with the four Indian water rights settlements contained in the Claims Resolution Act of 2010 (Public Law 111–291), the Omnibus Public Land Management Act of 2009 (Public Law 111–11), and the White Mountain Apache Tribe Rural Water System Loan Authorization Act (Public Law 110–390). These settlements will provide permanent water supplies and offer economic security for the Taos and Aamodt Pueblos, including the Pojoaque, Tesuque, San Ildefonso, and Nambe Pueblos in New Mexico; as well as the Crow Tribe of Montana, the White Mountain Tribe in Arizona, and the Navajo Nation in New Mexico. The agreements will build and improve reservation water systems, rehabilitate irrigation projects, con-

struct a regional multi-pueblo water system, and codify water-sharing arrangements with neighboring communities.

## TAOS SETTLEMENT FUND

## Program and Financing (in millions of dollars)

| Identification code 14–2638–0–1–301                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 16          | 16      | 16        |
| 1930 Total budgetary resources available .....        | 16          | 16      | 16        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 16          | 16      | 16        |

This account covers certain expenses associated with Mutual-Benefit Projects funding authorized by the Taos Pueblo Indian Water Rights Settlement Act contained in Title V of the Claims Resolution Act of 2010 (Public Law 111–291).

## RECLAMATION WATER SETTLEMENTS FUND

## Program and Financing (in millions of dollars)

| Identification code 14–5593–0–2–301                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 12          | 41      | 51        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 48      | 67        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 60          | 60      | 60        |
| 1260 Appropriations, mandatory (total) .....          | 60          | 60      | 60        |
| 1930 Total budgetary resources available .....        | 60          | 108     | 127       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 48          | 67      | 76        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 12      | 16        |
| 3010 Obligations incurred, unexpired accounts .....   | 12          | 41      | 51        |
| 3020 Outlays (gross) .....                            |             | –37     | –47       |
| 3050 Unpaid obligations, end of year .....            | 12          | 16      | 20        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 12      | 16        |
| 3200 Obligated balance, end of year .....             | 12          | 16      | 20        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 60          | 60      | 60        |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 25      | 31        |
| 4101 Outlays from mandatory balances .....            |             | 12      | 16        |
| 4110 Outlays, gross (total) .....                     |             | 37      | 47        |
| 4180 Budget authority, net (total) .....              | 60          | 60      | 60        |
| 4190 Outlays, net (total) .....                       |             | 37      | 47        |

This account covers expenses associated with the Navajo-Gallup Water Supply Project or other projects as authorized by Public Law 111–11 and Public Law 111–291. The Secretary may expend money from the Fund to implement a settlement agreement approved by Congress that resolves, in whole or in part, litigation involving the United States, if the settlement agreement or implementing legislation requires the Bureau of Reclamation to provide financial assistance for, or plan, design, and construct: (A) water supply infrastructure; or (B) a project: (i) to rehabilitate a water delivery system to conserve water; or (ii) to restore fish and wildlife habitat or otherwise improve environmental conditions associated with or affected by, or located within the same

river basin as, a Federal reclamation project that is in existence on the date of enactment of this Act.

**Object Classification** (in millions of dollars)

| Identification code 14-5593-0-2-301                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... |             | 29      | 39        |
| 41.0 Grants, subsidies, and contributions .....    | 12          | 12      | 12        |
| 99.9 Total new obligations .....                   | 12          | 41      | 51        |

**RECLAMATION FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14-5000-0-2-301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 9,622       | 10,841  | 12,032    |
| Adjustments:  |             |         |           |
| 0190 Adjustment - reconcile to Treasury .....   | 2           |         |           |
| 0199 Balance, start of year .....   | 9,624       | 10,841  | 12,032    |
| Receipts:   |             |         |           |
| 0220 Reclamation Fund, All Other, Sale of Electric Energy, Bonneville Power Administration .....      | 28          | 90      | 84        |
| 0221 Reclamation Fund, All Other, Sale of Power and Other Utilities (WAPA) .....                      | 237         | 189     | 142       |
| 0222 Reclamation Fund, Miscellaneous Interest .....   | 25          | 17      | 17        |
| 0223 Reclamation Fund, Royalties on Natural Resources .....   | 1,588       | 1,626   | 1,695     |
| 0224 Reclamation Fund, Royalties on Natural Resources .....   |             |         | 3         |
| 0225 Reclamation Fund, Other Proprietary Receipts from the Public .....                               | 198         | 131     | 131       |
| 0226 Reclamation Fund, Sale of Public Domain .....  | 10          | 11      | 10        |
| Adjustments:  |             |         |           |
| 0290 Adjustment - late processing .....   | 3           |         |           |
| 0299 Total receipts and collections .....   | 2,089       | 2,064   | 2,082     |
| 0400 Total: Balances and collections .....  | 11,713      | 12,905  | 14,114    |
| Appropriations:   |             |         |           |
| 0500 Construction, Rehabilitation, Operation and Maintenance, Western Area Power Administration ..... | -89         | -88     | -88       |
| 0501 Water and Related Resources .....  | -723        | -725    | -704      |
| 0502 Policy and Administration .....  | -60         | -60     | -60       |
| 0599 Total appropriations .....   | -872        | -873    | -852      |
| 0799 Balance, end of year .....   | 10,841      | 12,032  | 13,262    |

This fund is derived from repayments and other revenues from water and power users, together with certain receipts from the sale, lease, and rental of Federal lands in the 17 Western States and certain oil and mineral revenues, and is available for expenditure pursuant to appropriation acts.

**POLICY AND ADMINISTRATION**

*For necessary expenses of policy, administration, and related functions in the Office of the Commissioner, the Denver office, and offices in the five regions of the Bureau of Reclamation, to remain available until expended, \$60,000,000, to be derived from the Reclamation Fund and be nonreimbursable as provided in 43 U.S.C. 377: Provided, That no part of any other appropriation in this Act shall be available for activities or functions budgeted as policy and administration expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 14-5065-0-2-301 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0001 Direct program activity .....  | 58          | 68      | 60        |

**Budgetary Resources:**

|  |    |    |    |
|--|----|----|----|
| Unobligated balance:                                   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 5  | 8  |    |
| 1021 Recoveries of prior year unpaid obligations ..... | 1  |    |    |
| 1050 Unobligated balance (total) .....                 | 6  | 8  |    |
| Budget authority:                                      |    |    |    |
| Appropriations, discretionary:                         |    |    |    |
| 1101 Appropriation (special or trust fund) .....       | 60 | 60 | 60 |
| 1160 Appropriation, discretionary (total) .....        | 60 | 60 | 60 |
| 1930 Total budgetary resources available .....         | 66 | 68 | 60 |
| Memorandum (non-add) entries:                          |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....  | 8  |    |    |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Change in obligated balances .....                           | 9   | 10  | 9   |
| 3010 Obligations incurred, unexpired accounts .....               | 58  | 68  | 60  |
| 3020 Outlays (gross) .....  | -56 | -69 | -60 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 10  | 9   | 9   |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 9   | 10  | 9   |
| 3200 Obligated balance, end of year .....                         | 10  | 9   | 9   |

**Budget authority and outlays, net:**

|  |    |    |    |
|--|----|----|----|
| Discretionary:                                 |    |    |    |
| 4000 Budget authority, gross .....             | 60 | 60 | 60 |
| Outlays, gross:                                |    |    |    |
| 4010 Outlays (gross), detail .....             | 49 | 51 | 51 |
| 4011 Outlays from discretionary balances ..... | 7  | 18 | 9  |
| 4020 Outlays, gross (total) .....              | 56 | 69 | 60 |
| 4180 Budget authority, net (total) .....       | 60 | 60 | 60 |
| 4190 Outlays, net (total) .....                | 56 | 69 | 60 |

The policy and administration account supports the direction and management of all Reclamation activities as performed by the Commissioner's office and the five regional offices. Charges attributable to individual projects or specific beneficiaries, including the costs of related administrative and technical services, are covered under other Bureau of Reclamation accounts.

**Object Classification** (in millions of dollars)

| Identification code 14-5065-0-2-301                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 26          | 31      | 31        |
| 11.3 Other than full-time permanent .....          | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....            |             | 1       | 1         |
| 11.9 Total personnel compensation .....            | 27          | 33      | 33        |
| 12.1 Civilian personnel benefits .....             | 6           | 8       | 8         |
| 21.0 Travel and transportation of persons .....    | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                  | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources ..... | 17          | 19      | 11        |
| 31.0 Equipment .....                               | 1           | 1       | 1         |
| 99.0 Direct obligations .....                      | 57          | 67      | 59        |
| 99.5 Below reporting threshold .....               | 1           | 1       | 1         |
| 99.9 Total new obligations .....                   | 58          | 68      | 60        |

**Employment Summary**

| Identification code 14-5065-0-2-301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 249         | 296     | 296       |

**CENTRAL VALLEY PROJECT RESTORATION FUND**

*For carrying out the programs, projects, plans, habitat restoration, improvement, and acquisition provisions of the Central Valley Project Improvement Act, \$53,288,000, to be derived from such sums as may be collected in the Central Valley Project Restoration Fund pursuant to sections 3407(d), 3404(c)(3), and 3405(f) of Public Law 102-575, to remain available until expended: Provided, That the Bureau of Reclamation is directed*

## CENTRAL VALLEY PROJECT RESTORATION FUND—Continued

to assess and collect the full amount of the additional mitigation and restoration payments authorized by section 3407(d) of Public Law 102–575: Provided further, That none of the funds made available under this heading may be used for the acquisition or leasing of water for in-stream purposes if the water is already committed to in-stream purposes by a court adopted decree or order.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5173–0–2–301                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                           | 14          | 14      | 1         |
| Receipts:   |             |         |           |
| 0220 Central Valley Project Restoration Fund, Revenue ..... | 53          | 40      | 53        |
| 0400 Total: Balances and collections .....                  | 67          | 54      | 54        |
| Appropriations:   |             |         |           |
| 0500 Central Valley Project Restoration Fund .....          | –53         | –53     | –53       |
| 0799 Balance, end of year .....                             | 14          | 1       | 1         |

## Program and Financing (in millions of dollars)

| Identification code 14–5173–0–2–301                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 51          | 60      | 53        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 2           | 7       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 3           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 5           | 7       | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1101 Appropriation (special fund, restoration fund, 3407(d)) .... | 53          | 53      | 53        |
| 1160 Appropriation, discretionary (total) .....                   | 53          | 53      | 53        |
| 1930 Total budgetary resources available .....                    | 58          | 60      | 53        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 7           | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 64          | 65      | 65        |
| 3010 Obligations incurred, unexpired accounts .....               | 51          | 60      | 53        |
| 3020 Outlays (gross) .....  | –47         | –60     | –53       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 65          | 65      | 65        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 64          | 65      | 65        |
| 3200 Obligated balance, end of year .....                         | 65          | 65      | 65        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 53          | 53      | 53        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | .....       | 19      | 19        |
| 4011 Outlays from discretionary balances .....                    | 47          | 41      | 34        |
| 4020 Outlays, gross (total) .....                                 | 47          | 60      | 53        |
| 4180 Budget authority, net (total) .....                          | 53          | 53      | 53        |
| 4190 Outlays, net (total) .....                                   | 47          | 60      | 53        |

This fund was established to carry out the provisions of the Central Valley Project Improvement Act—to provide funding from project beneficiaries for habitat restoration, improvement and acquisition, and other fish and wildlife restoration activities in the Central Valley Project (CVP) area of California. Resources are derived from donations, revenues from voluntary water transfers and tiered water pricing. The account is also financed through additional mitigation and restoration payments collected on an annual basis from project beneficiaries.

## Object Classification (in millions of dollars)

| Identification code 14–5173–0–2–301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 8           | 8       | 8         |
| 25.2 Other services from non-Federal sources .....              | 22          | 31      | 24        |
| 32.0 Land and structures .....                                  | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....                 | 16          | 16      | 16        |
| 99.0 Direct obligations .....                                   | 50          | 59      | 52        |
| 99.5 Below reporting threshold .....                            | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 51          | 60      | 53        |

## Employment Summary

| Identification code 14–5173–0–2–301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 19          | 18      | 18        |

## COLORADO RIVER DAM FUND, BOULDER CANYON PROJECT

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5656–0–2–301                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                   | .....       | .....   | .....     |
| Receipts:   |             |         |           |
| 0220 Revenues, Colorado River Dam Fund, Boulder Canyon Project .... | 96          | 112     | 111       |
| 0400 Total: Balances and collections .....                          | 96          | 112     | 111       |
| Appropriations:   |             |         |           |
| 0500 Colorado River Dam Fund, Boulder Canyon Project .....          | –96         | –112    | –111      |
| 0799 Balance, end of year .....                                     | .....       | .....   | .....     |

## Program and Financing (in millions of dollars)

| Identification code 14–5656–0–2–301                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Facility operations .....                                      | 44          | 79      | 63        |
| 0002 Facility maintenance and rehabilitation .....                  | 17          | 17      | 17        |
| 0003 Payment of interest .....                                      | 11          | 11      | 11        |
| 0004 Payments to Arizona and Nevada .....                           | 1           | 1       | 1         |
| 0005 Western Area Power Administration .....                        | 4           | 4       | 4         |
| 0006 Payment to Lower Colorado River Basin Development Fund .....   | 11          | 11      | 11        |
| 0900 Total new obligations .....                                    | 88          | 123     | 107       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 33          | 40      | 27        |
| 1021 Recoveries of prior year unpaid obligations .....              | 1           | .....   | .....     |
| 1022 Capital transfer of unobligated balances to general fund ..... | –2          | –2      | –2        |
| 1050 Unobligated balance (total) .....                              | 32          | 38      | 25        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                    | 96          | 112     | 111       |
| 1260 Appropriations, mandatory (total) .....                        | 96          | 112     | 111       |
| 1930 Total budgetary resources available .....                      | 128         | 150     | 136       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 40          | 27      | 29        |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 16          | 20      | 48        |
| 3010 Obligations incurred, unexpired accounts .....                 | 88          | 123     | 107       |
| 3020 Outlays (gross) .....  | –83         | –95     | –108      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                          | 20          | 48      | 47        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 16          | 20      | 48        |
| 3200 Obligated balance, end of year .....                           | 20          | 48      | 47        |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                  | 96          | 112     | 111       |



|                 |  |    |     |     |
|-----------------|--|----|-----|-----|
| Outlays, gross: |  |    |     |     |
| 4100            | Outlays from new mandatory authority ..... | 78 | 64  | 63  |
| 4101            | Outlays from mandatory balances .....      | 5  | 31  | 45  |
| 4110            | Outlays, gross (total) .....               | 83 | 95  | 108 |
| 4180            | Budget authority, net (total) .....        | 96 | 112 | 111 |
| 4190            | Outlays, net (total) .....                 | 83 | 95  | 108 |

Revenues from the sale of Boulder Canyon power are placed in this fund and are available without further appropriation to pay the operation and maintenance costs of the project including those of the Western Area Power Administration for power marketing, transmission, operation, maintenance, and rehabilitation; to pay interest on amounts advanced from the Treasury; to pay annually not more than \$300,000 each to Arizona and Nevada; and to repay advances from the Treasury for construction and other purposes. The rates charged for Boulder Canyon power also include certain amounts for transfer to the Lower Colorado River Basin Development Fund.

**Object Classification** (in millions of dollars)

| Identification code 14-5656-0-2-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                     | 17          | 17      | 18        |
| 11.5                                | Other personnel compensation .....            | 2           | 2       | 2         |
| 11.9                                | Total personnel compensation .....            | 19          | 19      | 20        |
| 12.1                                | Civilian personnel benefits .....             | 5           | 5       | 5         |
| 25.2                                | Other services from non-Federal sources ..... | 45          | 80      | 63        |
| 26.0                                | Supplies and materials .....                  | 2           | 2       | 2         |
| 31.0                                | Equipment .....                               | 3           | 3       | 3         |
| 41.0                                | Grants, subsidies, and contributions .....    | 1           | 1       | 1         |
| 43.0                                | Interest and dividends .....                  | 11          | 11      | 11        |
| 99.0                                | Direct obligations .....                      | 86          | 121     | 105       |
| 99.5                                | Below reporting threshold .....               | 2           | 2       | 2         |
| 99.9                                | Total new obligations .....                   | 88          | 123     | 107       |

**Employment Summary**

| Identification code 14-5656-0-2-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 217         | 218     | 218       |

**SAN JOAQUIN RESTORATION FUND**

For carrying out activities authorized by the San Joaquin River Restoration Settlement Act (Public Law 111-11), \$26,000,000, to remain available until expended.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14-5537-0-2-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 0100                                | Balance, start of year .....                      | 150         | 150     | 192       |
| Receipts:                           |   |             |         |           |
| 0220                                | San Joaquin River Restoration Fund Receipts ..... | 31          | 42      | 7         |
| 0400                                | Total: Balances and collections .....             | 181         | 192     | 199       |
| Appropriations:                     |   |             |         |           |
| 0500                                | San Joaquin Restoration Fund .....                | -31         |         |           |
| 0799                                | Balance, end of year .....                        | 150         | 192     | 199       |

**Program and Financing** (in millions of dollars)

| Identification code 14-5537-0-2-301     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Direct program activity .....                    | 21          | 34      | 33        |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 44          | 54      | 20        |

|                                |  |    |    |    |
|--------------------------------|--|----|----|----|
| Budget authority:              |  |    |    |    |
| Appropriations, discretionary: |  |    |    |    |
| 1100                           | Appropriation .....                              |    |    | 26 |
| 1160                           | Appropriation, discretionary (total) .....       |    |    | 26 |
| Appropriations, mandatory:     |  |    |    |    |
| 1201                           | Appropriation (special or trust fund) .....      | 31 |    |    |
| 1260                           | Appropriations, mandatory (total) .....          | 31 |    |    |
| 1900                           | Budget authority (total) .....                   | 31 |    | 26 |
| 1930                           | Total budgetary resources available .....        | 75 | 54 | 46 |
| Memorandum (non-add) entries:  |  |    |    |    |
| 1941                           | Unexpired unobligated balance, end of year ..... | 54 | 20 | 13 |

**Change in obligated balance:**

|                               |  |     |     |     |
|-------------------------------|--|-----|-----|-----|
| Unpaid obligations:           |  |     |     |     |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... | 10  | 19  |     |
| 3010                          | Obligations incurred, unexpired accounts .....   | 21  | 34  | 33  |
| 3020                          | Outlays (gross) .....                            | -12 | -53 | -23 |
| 3050                          | Unpaid obligations, end of year .....            | 19  |     | 10  |
| Memorandum (non-add) entries: |  |     |     |     |
| 3100                          | Obligated balance, start of year .....           | 10  | 19  |     |
| 3200                          | Obligated balance, end of year .....             | 19  |     | 10  |

**Budget authority and outlays, net:**

|                 |  |    |    |    |
|-----------------|--|----|----|----|
| Discretionary:  |  |    |    |    |
| 4000            | Budget authority, gross .....                  |    |    | 26 |
| Outlays, gross: |  |    |    |    |
| 4010            | Outlays from new discretionary authority ..... |    |    | 16 |
| Mandatory:      |  |    |    |    |
| 4090            | Budget authority, gross .....                  | 31 |    |    |
| Outlays, gross: |  |    |    |    |
| 4100            | Outlays from new mandatory authority .....     | 1  |    |    |
| 4101            | Outlays from mandatory balances .....          | 11 | 53 | 7  |
| 4110            | Outlays, gross (total) .....                   | 12 | 53 | 7  |
| 4180            | Budget authority, net (total) .....            | 31 |    | 26 |
| 4190            | Outlays, net (total) .....                     | 12 | 53 | 23 |

This fund receives funding (user fees and repayment receipts) from the Friant Division long-term water contractors and other Federal and non-Federal sources to implement the provisions described in the Settlement (Settlement) for the National Resources Defense Council (NRDC) et. al. v. Rodgers lawsuit. The Settlement's two primary goals are: 1) To restore and maintain fish populations in "good condition" in the main stem of the San Joaquin River below Friant Dam to the confluence of the Merced River, including naturally reproducing and self-sustaining populations of salmon and other fish; and 2) To reduce or avoid adverse water supply impacts to all of the Friant Division long-term contractors that may result from the Interim Flows and Restoration Flows provided for in the Settlement.

**Object Classification** (in millions of dollars)

| Identification code 14-5537-0-2-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....     | 17          | 30      | 29        |
| 99.0                                | Direct obligations .....                          | 19          | 32      | 31        |
| 99.5                                | Below reporting threshold .....                   | 2           | 2       | 2         |
| 99.9                                | Total new obligations .....                       | 21          | 34      | 33        |

**Employment Summary**

| Identification code 14-5537-0-2-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 22          | 22      | 22        |

## LOWER COLORADO RIVER BASIN DEVELOPMENT FUND

## Program and Financing (in millions of dollars)

| Identification code 14-4079-0-3-301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Facility operation .....   | 155         | 149     | 177       |
| 0802 Water & energy management & development .....  | 98          | 124     | 136       |
| 0900 Total new obligations .....  | 253         | 273     | 313       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 547         | 521     | 506       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                               | .....       | 1       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....  | 3           | .....   | .....     |
| 1022 Capital transfer of unobligated balances to general fund .....                           | .....       | -1      | -1        |
| 1050 Unobligated balance (total) .....  | 550         | 520     | 505       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1121 Appropriations transferred from other accts [14-0680] ....                               | 6           | 6       | 8         |
| 1160 Appropriation, discretionary (total) .....   | 6           | 6       | 8         |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 231         | 253     | 283       |
| 1801 Change in uncollected payments, Federal sources .....                                    | -12         | .....   | .....     |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -1          | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 218         | 253     | 283       |
| 1900 Budget authority (total) .....   | 224         | 259     | 291       |
| 1930 Total budgetary resources available .....  | 774         | 779     | 796       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 521         | 506     | 483       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 30          | 50      | 67        |
| 3010 Obligations incurred, unexpired accounts .....   | 253         | 273     | 313       |
| 3020 Outlays (gross) .....  | -230        | -256    | -292      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                             | -3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....  | 50          | 67      | 88        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                             | -13         | -1      | -1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                                | 12          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....  | -1          | -1      | -1        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 17          | 49      | 66        |
| 3200 Obligated balance, end of year .....   | 49          | 66      | 87        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 6           | 6       | 8         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 5           | 4       | 5         |
| 4011 Outlays from discretionary balances .....  | 8           | 5       | 2         |
| 4020 Outlays, gross (total) .....   | 13          | 9       | 7         |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 218         | 253     | 283       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 1           | 188     | 199       |
| 4101 Outlays from mandatory balances .....  | 216         | 59      | 86        |
| 4110 Outlays, gross (total) .....   | 217         | 247     | 285       |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4121 Interest on Federal securities .....   | .....       | -1      | -1        |
| 4123 Non-Federal sources .....  | -231        | -252    | -282      |
| 4130 Offsets against gross budget authority and outlays (total) ....                          | -231        | -253    | -283      |
| Additional offsets against gross budget authority only:                                       |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....                                | 12          | .....   | .....     |
| 4160 Budget authority, net (mandatory) .....  | -1          | .....   | .....     |
| 4170 Outlays, net (mandatory) .....   | -14         | -6      | 2         |
| 4180 Budget authority, net (total) .....  | 5           | 6       | 8         |
| 4190 Outlays, net (total) .....   | -1          | 3       | 9         |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....                              | .....       | 567     | 627       |
| 5001 Total investments, EOY: Federal securities: Par value .....                              | 567         | 627     | 687       |

Ongoing construction costs of the Central Arizona project are financed through appropriations transferred to this fund. Revenues from the operation and repayment, including interest, of project facilities are available without further appropriation. A portion of the revenues from the Boulder Canyon power and Parker-Davis projects are also transferred to this fund. Use of the revenues are authorized for operation and maintenance expenses, for a share of Colorado River salinity control projects, and for other purposes defined in the Colorado River Basin Project Act as amended by the Arizona Water Settlements Act, P.L. 108-451.

## Object Classification (in millions of dollars)

| Identification code 14-4079-0-3-301                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 3       | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 224         | .....   | .....     |
| 32.0 Land and structures .....                         | 2           | 26      | 30        |
| 41.0 Grants, subsidies, and contributions .....        | 21          | 241     | 276       |
| 99.0 Reimbursable obligations .....                    | 251         | 271     | 311       |
| 99.5 Below reporting threshold .....                   | 2           | 2       | 2         |
| 99.9 Total new obligations .....                       | 253         | 273     | 313       |

## Employment Summary

| Identification code 14-4079-0-3-301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 29          | 22      | 22        |

## UPPER COLORADO RIVER BASIN FUND

## Program and Financing (in millions of dollars)

| Identification code 14-4081-0-3-301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Facility operation .....   | 37          | 64      | 46        |
| 0802 Facility maintenance & rehabilitation .....  | 18          | 52      | 34        |
| 0803 Water & energy management & development .....  | 44          | 31      | 14        |
| 0804 Fish & wildlife management & development .....   | 18          | 26      | 15        |
| 0805 Land management & development .....  | 1           | 1       | 1         |
| 0806 Payment to Ute Indian Tribe .....  | 2           | 2       | 2         |
| 0807 Interest on investment .....   | 3           | 4       | 4         |
| 0900 Total new obligations .....  | 123         | 180     | 116       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 46          | 70      | 20        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                               | 1           | 2       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....  | 8           | .....   | .....     |
| 1022 Capital transfer of unobligated balances to general fund .....                           | .....       | -18     | -8        |
| 1050 Unobligated balance (total) .....  | 54          | 52      | 12        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1121 Appropriations transferred from other accts [14-0680] ....                               | 39          | 11      | .....     |
| 1160 Appropriation, discretionary (total) .....   | 39          | 11      | .....     |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 116         | 137     | 124       |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -16         | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 100         | 137     | 124       |
| 1900 Budget authority (total) .....   | 139         | 148     | 124       |
| 1930 Total budgetary resources available .....  | 193         | 200     | 136       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 70          | 20      | 20        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 128         | 136     | 164       |
| 3010 Obligations incurred, unexpired accounts .....   | 123         | 180     | 116       |
| 3020 Outlays (gross) .....  | -107        | -152    | -131      |

|   |   |      |      |      |
|---|---|------|------|------|
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....    | -8   |      |      |
| 3050  | Unpaid obligations, end of year .....                           | 136  | 164  | 149  |
|   | Memorandum (non-add) entries:                                   |      |      |      |
| 3100  | Obligated balance, start of year .....                          | 128  | 136  | 164  |
| 3200  | Obligated balance, end of year .....                            | 136  | 164  | 149  |
| <b>Budget authority and outlays, net:</b>           |   |      |      |      |
| Discretionary:                                      |   |      |      |      |
| 4000  | Budget authority, gross .....                                   | 39   | 11   |      |
| Outlays, gross:                                     |   |      |      |      |
| 4010  | Outlays from new discretionary authority .....                  |      | 7    |      |
| 4011  | Outlays from discretionary balances .....                       | 35   | 14   | 4    |
| 4020  | Outlays, gross (total) .....                                    | 35   | 21   | 4    |
| Mandatory:  |   |      |      |      |
| 4090  | Budget authority, gross .....                                   | 100  | 137  | 124  |
| Outlays, gross:                                     |   |      |      |      |
| 4100  | Outlays from new mandatory authority .....                      | 35   | 41   | 37   |
| 4101  | Outlays from mandatory balances .....                           | 37   | 90   | 90   |
| 4110  | Outlays, gross (total) .....                                    | 72   | 131  | 127  |
| Offsets against gross budget authority and outlays: |   |      |      |      |
| Offsetting collections (collected) from:            |   |      |      |      |
| 4120  | Federal sources .....   | -13  |      |      |
| 4123  | Non-Federal sources .....                                       | -103 | -137 | -124 |
| 4130  | Offsets against gross budget authority and outlays (total) .... | -116 | -137 | -124 |
| 4160  | Budget authority, net (mandatory) .....                         | -16  |      |      |
| 4170  | Outlays, net (mandatory) .....                                  | -44  | -6   | 3    |
| 4180  | Budget authority, net (total) .....                             | 23   | 11   |      |
| 4190  | Outlays, net (total) .....                                      | -9   | 15   | 7    |

Ongoing construction costs of the Colorado River Storage project are financed through appropriations transferred to this account. Revenues from the operation of project facilities are available without further appropriation for operation and maintenance expenses and for capital repayment to the general fund.

**Object Classification** (in millions of dollars)

| Identification code 14-4081-0-3-301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 16          | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 17          | 2       | 2         |
| 12.1 Civilian personnel benefits .....                          | 5           | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 1           |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           |         |           |
| 25.2 Other services from non-Federal sources .....              | 52          |         |           |
| 26.0 Supplies and materials .....                               | 2           |         |           |
| 31.0 Equipment .....  | 1           |         |           |
| 32.0 Land and structures .....                                  | 19          | 85      | 55        |
| 41.0 Grants, subsidies, and contributions .....                 | 21          | 87      | 53        |
| 43.0 Interest and dividends .....                               | 3           | 4       | 4         |
| 99.0 Reimbursable obligations .....                             | 122         | 179     | 115       |
| 99.5 Below reporting threshold .....                            | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 123         | 180     | 116       |

**Employment Summary**

| Identification code 14-4081-0-3-301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 179         | 95      | 97        |

**WORKING CAPITAL FUND****Program and Financing** (in millions of dollars)

| Identification code 14-4524-0-4-301         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>     |             |         |           |
| 0801 Information resources management ..... | 7           | 9       | 7         |
| 0803 Administrative expenses .....          | 311         | 345     | 326       |
| 0804 Technical expenses .....               | 89          | 101     | 101       |
| 0900 Total new obligations .....            | 407         | 455     | 434       |

**Budgetary Resources:**

|  |      |      |      |
|--|------|------|------|
| Unobligated balance:   |      |      |      |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 109  | 128  | 116  |
| 1021 Recoveries of prior year unpaid obligations .....             | 2    |      |      |
| 1050 Unobligated balance (total) .....                             | 111  | 128  | 116  |
| Budget authority:  |      |      |      |
| Spending authority from offsetting collections, discretionary:     |      |      |      |
| 1700 Collected .....   | 425  | 443  | 434  |
| 1701 Change in uncollected payments, Federal sources .....         | -1   |      |      |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 424  | 443  | 434  |
| 1930 Total budgetary resources available .....                     | 535  | 571  | 550  |
| Memorandum (non-add) entries:                                      |      |      |      |
| 1941 Unexpired unobligated balance, end of year .....              | 128  | 116  | 116  |
| <b>Change in obligated balance:</b>                                |      |      |      |
| Unpaid obligations:  |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 50   | 52   | 58   |
| 3010 Obligations incurred, unexpired accounts .....                | 407  | 455  | 434  |
| 3020 Outlays (gross) .....   | -403 | -449 | -435 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -2   |      |      |
| 3050 Unpaid obligations, end of year .....                         | 52   | 58   | 57   |
| Uncollected payments:  |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -15  | -14  | -14  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 1    |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -14  | -14  | -14  |
| Memorandum (non-add) entries:                                      |      |      |      |
| 3100 Obligated balance, start of year .....                        | 35   | 38   | 44   |
| 3200 Obligated balance, end of year .....                          | 38   | 44   | 43   |

**Budget authority and outlays, net:**

|  |      |      |      |
|--|------|------|------|
| Discretionary:   |      |      |      |
| 4000 Budget authority, gross .....                                   | 424  | 443  | 434  |
| Outlays, gross:  |      |      |      |
| 4010 Outlays from new discretionary authority .....                  | 298  | 399  | 391  |
| 4011 Outlays from discretionary balances .....                       | 105  | 50   | 44   |
| 4020 Outlays, gross (total) .....                                    | 403  | 449  | 435  |
| Offsets against gross budget authority and outlays:                  |      |      |      |
| Offsetting collections (collected) from:                             |      |      |      |
| 4030 Federal sources .....   | -410 | -428 | -419 |
| 4033 Non-Federal sources .....                                       | -15  | -15  | -15  |
| 4040 Offsets against gross budget authority and outlays (total) .... | -425 | -443 | -434 |
| Additional offsets against gross budget authority only:              |      |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 1    |      |      |
| 4080 Outlays, net (discretionary) .....                              | -22  | 6    | 1    |
| 4190 Outlays, net (total) .....                                      | -22  | 6    | 1    |

This revolving fund enables Reclamation to recover the costs of administrative and technical services, and of facilities used by its programs and by others, and accumulates funds to finance capital equipment purchases.

**Object Classification** (in millions of dollars)

| Identification code 14-4524-0-4-301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 173         | 175     | 177       |
| 11.3 Other than full-time permanent .....                       | 5           | 6       | 6         |
| 11.5 Other personnel compensation .....                         | 5           | 5       | 5         |
| 11.9 Total personnel compensation .....                         | 183         | 186     | 188       |
| 12.1 Civilian personnel benefits .....                          | 49          | 50      | 51        |
| 21.0 Travel and transportation of persons .....                 | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 16          | 16      | 17        |
| 23.2 Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 8           | 8       | 8         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 117         | 161     | 136       |
| 26.0 Supplies and materials .....                               | 10          | 10      | 10        |
| 31.0 Equipment .....  | 14          | 14      | 14        |
| 32.0 Land and structures .....                                  | 2           | 2       | 2         |
| 99.0 Reimbursable obligations .....                             | 406         | 454     | 433       |
| 99.5 Below reporting threshold .....                            | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 407         | 455     | 434       |

WORKING CAPITAL FUND—Continued  
Employment Summary

| Identification code 14-4524-0-4-301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,963       | 1,850   | 1,848     |

## BUREAU OF RECLAMATION LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 14-0685-0-1-301                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 14-0685-0-1-301                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan downward reestimates:                       |             |         |           |
| 137001 Reclamation Loans .....                          | -20         |         |           |
| 137999 Total downward reestimate budget authority ..... | -20         |         |           |

Under the Small Reclamation Projects Act, loans and grants can be made to non-Federal organizations for construction of small water resource projects.

As required by the Federal Credit Reform Act of 1990, the loan program account records the subsidy costs associated with the direct loans obligated in 1992 and beyond, as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

No funds are requested for the Reclamation Loan Program for direct loans or Loan Program Administration for 2014.

## BUREAU OF RECLAMATION DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 14-4547-0-3-301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 2           |         |           |
| 0742 Downward reestimate paid to receipt account .....                          | 9           |         |           |
| 0743 Interest on downward reestimates .....                                     | 11          |         |           |
| 0900 Total new obligations .....  | 22          |         |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 19          |         |           |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 4           | 3       | 3         |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -1          | -3      | -3        |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 3           |         |           |
| 1900 Financing authority (total) .....  | 3           |         |           |
| 1930 Total budgetary resources available .....                                  | 22          |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                             | 22          |         |           |
| 3020 Financing disbursements (gross) .....                                      | -22         |         |           |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 3           |         |           |

|      |  |    |    |    |
|------|--|----|----|----|
| 4110 | Financing disbursements:   |    |    |    |
|      | Financing disbursements, gross .....                                 | 22 |    |    |
|      | Offsets against gross financing authority and disbursements:         |    |    |    |
|      | Offsetting collections (collected) from:                             |    |    |    |
| 4123 | Repayments of principal .....  | -4 | -2 | -2 |
| 4123 | Interest received on loans .....                                     |    | -1 | -1 |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -4 | -3 | -3 |
| 4160 | Financing authority, net (mandatory) .....                           | -1 | -3 | -3 |
| 4170 | Financing disbursements, net (mandatory) .....                       | 18 | -3 | -3 |
| 4180 | Financing authority, net (total) .....                               | -1 | -3 | -3 |
| 4190 | Financing disbursements, net (total) .....                           | 18 | -3 | -3 |

## Status of Direct Loans (in millions of dollars)

| Identification code 14-4547-0-3-301               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 44          | 43      | 41        |
| 1251 Repayments: Repayments and prepayments ..... | -1          | -2      | -2        |
| 1290 Outstanding, end of year .....               | 43          | 41      | 39        |

As required by the Federal Credit Reform Act of 1990, the direct loan financing account is a non-budgetary account for recording all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 14-4547-0-3-301                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 44          | 43          |
| 1405 Allowance for subsidy cost (-) .....                         | -8          | -7          |
| 1499 Net present value of assets related to direct loans .....    | 36          | 36          |
| 1999 Total assets .....   | 36          | 36          |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 36          | 36          |
| 4999 Total liabilities and net position .....                     | 36          | 36          |

## BUREAU OF RECLAMATION LOAN LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 14-0667-0-1-301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 18          | 1       | 1         |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -18         | -1      | -1        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | -18         | -1      | -1        |
| 4180 Budget authority, net (total) .....  | -18         | -1      | -1        |
| 4190 Outlays, net (total) .....   | -18         | -1      | -1        |

## Status of Direct Loans (in millions of dollars)

| Identification code 14-0667-0-1-301               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 40          | 22      | 21        |
| 1251 Repayments: Repayments and prepayments ..... | -18         | -1      | -1        |
| 1290 Outstanding, end of year .....               | 22          | 21      | 20        |

As required by the Federal Credit Reform Act of 1990, the loan liquidating account records all cash flows to and from the Govern-

ment resulting from direct loans obligated prior to 1992. All loans obligated in 1992 or thereafter are recorded in loan program account No. 14–0685–0–1–301 and loan program financing account No. 14–4547–0–3–301.

**Balance Sheet** (in millions of dollars)

| Identification code 14–0667–0–1–301                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1601 Direct loans, gross .....  | 40          | 22          |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -7          | -7          |
| 1699 Value of assets related to direct loans .....                      | 33          | 15          |
| 1999 Total assets .....   | 33          | 15          |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 33          | 15          |
| 4999 Total liabilities and net position .....                           | 33          | 15          |

**Trust Funds****RECLAMATION TRUST FUNDS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–8070–0–7–301          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....            |             |         |           |
| <b>Receipts:</b>                             |             |         |           |
| 0220 Deposits, Reclamation Trust Funds ..... |             | 3       | 3         |
| 0400 Total: Balances and collections .....   |             | 3       | 3         |
| <b>Appropriations:</b>                       |             |         |           |
| 0500 Reclamation Trust Funds .....           |             | -3      | -3        |
| 0799 Balance, end of year .....              |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 14–8070–0–7–301                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Facility maintenance and rehabilitation .....     | 1           | 6       | 1         |
| 0002 Water and energy management and development ..... | 2           | 6       | 2         |
| 0900 Total new obligations .....                       | 3           | 12      | 3         |
| <b>Budgetary Resources:</b>                            |             |         |           |
| <b>Unobligated balance:</b>                            |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 37          | 34      | 25        |
| <b>Budget authority:</b>                               |             |         |           |
| <b>Appropriations, mandatory:</b>                      |             |         |           |
| 1201 Appropriation (special or trust fund) .....       |             | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....           |             | 3       | 3         |
| 1930 Total budgetary resources available .....         | 37          | 37      | 28        |
| <b>Memorandum (non-add) entries:</b>                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 34          | 25      | 25        |
| <b>Change in obligated balance:</b>                    |             |         |           |
| <b>Unpaid obligations:</b>                             |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 1           | 3       | 2         |
| 3010 Obligations incurred, unexpired accounts .....    | 3           | 12      | 3         |
| 3020 Outlays (gross) .....                             | -1          | -13     | -3        |
| 3050 Unpaid obligations, end of year .....             | 3           | 2       | 2         |
| <b>Memorandum (non-add) entries:</b>                   |             |         |           |
| 3100 Obligated balance, start of year .....            | 1           | 3       | 2         |
| 3200 Obligated balance, end of year .....              | 3           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>              |             |         |           |
| <b>Mandatory:</b>                                      |             |         |           |
| 4090 Budget authority, gross .....                     |             | 3       | 3         |
| <b>Outlays, gross:</b>                                 |             |         |           |
| 4100 Outlays from new mandatory authority .....        |             | 1       | 1         |
| 4101 Outlays from mandatory balances .....             | 1           | 12      | 2         |
| 4110 Outlays, gross (total) .....                      | 1           | 13      | 3         |
| 4180 Budget authority, net (total) .....               |             | 3       | 3         |
| 4190 Outlays, net (total) .....                        | 1           | 13      | 3         |

The Bureau of Reclamation performs work on various projects and activities with funding provided by non-Federal entities under 43 U.S.C. 395 and 396.

**Object Classification** (in millions of dollars)

| Identification code 14–8070–0–7–301                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 10      | 1         |
| 41.0 Grants, subsidies, and contributions .....    | 2           | 2       | 2         |
| 99.9 Total new obligations .....                   | 3           | 12      | 3         |

**Employment Summary**

| Identification code 14–8070–0–7–301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 1       | 1         |

**ADMINISTRATIVE PROVISION**

*Appropriations for the Bureau of Reclamation shall be available for purchase of not to exceed five passenger motor vehicles, which are for replacement only.*

**CENTRAL UTAH PROJECT****Federal Funds****CENTRAL UTAH PROJECT****CENTRAL UTAH PROJECT COMPLETION ACCOUNT**

*For carrying out activities authorized by the Central Utah Project Completion Act, \$3,500,000, to remain available until expended, of which \$1,000,000 shall be deposited into the Utah Reclamation Mitigation and Conservation Account for use by the Utah Reclamation Mitigation and Conservation Commission, and of which \$1,300,000 is for necessary expenses incurred in carrying out related responsibilities of the Secretary of the Interior.*

*For fiscal year 2014, the Commission may use an amount not to exceed \$1,500,000 for administrative expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 14–0787–0–1–301                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Central Utah project construction .....                   | 27          | 25      | 3         |
| 0004 Program administration .....                              |             | 1       | 1         |
| 0900 Total new obligations .....                               | 27          | 26      | 4         |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| <b>Unobligated balance:</b>                                    |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 1           | 2       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....         | 1           |         |           |
| 1050 Unobligated balance (total) .....                         | 2           | 2       | 3         |
| <b>Budget authority:</b>                                       |             |         |           |
| <b>Appropriations, discretionary:</b>                          |             |         |           |
| 1100 Appropriation .....                                       | 29          | 29      | 4         |
| 1120 Appropriations transferred to other accts [14–5174] ..... | -2          | -2      | -1        |
| 1160 Appropriation, discretionary (total) .....                | 27          | 27      | 3         |
| 1930 Total budgetary resources available .....                 | 29          | 29      | 6         |
| <b>Memorandum (non-add) entries:</b>                           |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....          | 2           | 3       | 2         |
| <b>Change in obligated balance:</b>                            |             |         |           |
| <b>Unpaid obligations:</b>                                     |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....          | 2           | 1       |           |
| 3010 Obligations incurred, unexpired accounts .....            | 27          | 26      | 4         |

CENTRAL UTAH PROJECT—Continued  
Program and Financing—Continued

| Identification code 14-0787-0-1-301       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3020                                      | Outlays (gross) .....  | -27         | -27     | -4        |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 1           |         |           |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 2           | 1       |           |
| 3200                                      | Obligated balance, end of year .....                         | 1           |         |           |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 27          | 27      | 3         |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               | 25          | 26      | 3         |
| 4011                                      | Outlays from discretionary balances .....                    | 2           | 1       | 1         |
| 4020                                      | Outlays, gross (total) .....                                 | 27          | 27      | 4         |
| 4180                                      | Budget authority, net (total) .....                          | 27          | 27      | 3         |
| 4190                                      | Outlays, net (total) .....                                   | 27          | 27      | 4         |

In FY 2014, the Department proposes to consolidate this activity as a separate account within the Bureau of Reclamation. Titles II through VI of Public Law 102-575 authorize the completion of the Central Utah project and related activities, including the mitigation, conservation, and enhancement of fish and wildlife and recreational resources. Funds are requested in this account for the Central Utah Water Conservancy District, for transfer to the Utah Reclamation Mitigation and Conservation Commission, and to carry out related responsibilities of the Secretary.

## Object Classification (in millions of dollars)

| Identification code 14-0787-0-1-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.2                                | Other services from non-Federal sources ..... | 2           | 2       | 2         |
| 41.0                                | Grants, subsidies, and contributions .....    | 25          | 24      | 2         |
| 99.9                                | Total new obligations .....                   | 27          | 26      | 4         |

## Employment Summary

| Identification code 14-0787-0-1-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 4           | 4       | 4         |

## UTAH RECLAMATION MITIGATION AND CONSERVATION ACCOUNT

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14-5174-0-2-301 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 0100                                | Balance, start of year .....   | 179         | 154     | 159       |
| Receipts:                           |  |             |         |           |
| 0240                                | Interest on Principal, Utah Mitigation and Conservation Fund .....                           | -25         | 8       | 8         |
| 0241                                | Contributions from Project Beneficiaries (WAPA), Utah Mitigation and Conservation Fund ..... | 3           |         |           |
| 0299                                | Total receipts and collections .....   | -22         | 8       | 8         |
| 0400                                | Total: Balances and collections .....  | 157         | 162     | 167       |
| Appropriations:                     |  |             |         |           |
| 0500                                | Utah Reclamation Mitigation and Conservation Account .....                                   | -3          | -3      | -7        |
| 0799                                | Balance, end of year .....   | 154         | 159     | 160       |

## Program and Financing (in millions of dollars)

| Identification code 14-5174-0-2-301     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Utah Reclamation Mitigation and Conservation ..... | 5           | 5       | 1         |
| 0002                                    | Title IV Interest on Investment .....              |             |         | 7         |

| 0900  | Total new obligations .....                                 | 5           | 5       | 8         |
|---|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |   |             |         |           |
| Unobligated balance:                                  |   |             |         |           |
| 1000  | Unobligated balance brought forward, Oct 1 .....            | 1           | 1       | 1         |
| 1001  | Discretionary unobligated balance brought fwd, Oct 1 .....  | 1           | 1       |           |
| Budget authority:                                     |   |             |         |           |
| Appropriations, discretionary:                        |   |             |         |           |
| 1121  | Appropriations transferred from other accts [14-0787] ....  | 2           | 2       | 1         |
| 1160  | Appropriation, discretionary (total) .....                  | 2           | 2       | 1         |
| Appropriations, mandatory:                            |   |             |         |           |
| 1201  | Appropriation (special or trust fund) .....                 | 3           | 3       | 7         |
| 1260  | Appropriations, mandatory (total) .....                     | 3           | 3       | 7         |
| 1900  | Budget authority (total) .....                              | 5           | 5       | 8         |
| 1930  | Total budgetary resources available .....                   | 6           | 6       | 9         |
| Memorandum (non-add) entries:                         |   |             |         |           |
| 1941  | Unexpired unobligated balance, end of year .....            | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |   |             |         |           |
| Unpaid obligations:                                   |   |             |         |           |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....            | 4           | 4       | 3         |
| 3010  | Obligations incurred, unexpired accounts .....              | 5           | 5       | 8         |
| 3020  | Outlays (gross) .....                                       | -5          | -6      | -7        |
| 3050  | Unpaid obligations, end of year .....                       | 4           | 3       | 4         |
| Memorandum (non-add) entries:                         |   |             |         |           |
| 3100  | Obligated balance, start of year .....                      | 4           | 4       | 3         |
| 3200  | Obligated balance, end of year .....                        | 4           | 3       | 4         |
| <b>Budget authority and outlays, net:</b>             |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                               | 2           | 2       | 1         |
| Outlays, gross:                                       |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....              | 2           | 1       |           |
| 4011  | Outlays from discretionary balances .....                   |             | 3       | 2         |
| 4020  | Outlays, gross (total) .....                                | 2           | 4       | 2         |
| Mandatory:  |   |             |         |           |
| 4090  | Budget authority, gross .....                               | 3           | 3       | 7         |
| Outlays, gross:                                       |   |             |         |           |
| 4100  | Outlays from new mandatory authority .....                  | 3           | 1       | 2         |
| 4101  | Outlays from mandatory balances .....                       |             | 1       | 3         |
| 4110  | Outlays, gross (total) .....                                | 3           | 2       | 5         |
| 4180  | Budget authority, net (total) .....                         | 5           | 5       | 8         |
| 4190  | Outlays, net (total) .....                                  | 5           | 6       | 7         |
| <b>Memorandum (non-add) entries:</b>                  |   |             |         |           |
| 5000  | Total investments, SOY: Federal securities: Par value ..... | 179         | 153     | 167       |
| 5001  | Total investments, EOY: Federal securities: Par value ..... | 153         | 167     | 168       |
| <b>Object Classification (in millions of dollars)</b> |   |             |         |           |
| Identification code 14-5174-0-2-301                   |   | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                                   |   |             |         |           |
| 11.1  | Personnel compensation: Full-time permanent .....           | 2           | 2       | 2         |
| 25.2  | Other services from non-Federal sources .....               | 3           | 3       | 6         |
| 99.9  | Total new obligations .....                                 | 5           | 5       | 8         |

In FY 2014, the Department proposes to consolidate this activity within the Bureau of Reclamation. The Utah Reclamation Mitigation & Conservation account was established under Title IV of Public Law 102-575 to reflect contributions from the State of Utah, the Federal Government (through the Secretary of the Interior and the Western Area Power Administration), and project beneficiaries (the Conservancy District). The requirement for contributions from the State, the Secretary, and the Conservancy District ended in 2001. The requirement for contributions from the Western Area Power Administration ended in 2013. Funds are deposited into the account as principal and may not be expended for any purpose. Interest earned annually on the account is available for expenditure without further appropriations by the Utah Reclamation Mitigation and Conservation Commission which has the option to use the funds for the mitigation, conservation, and enhancement of fish and wildlife and recreational resources, or to reinvest the funds into the account as principal.

## Employment Summary

| Identification code 14–5174–0–2–301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 9           | 10      | 10        |

## UNITED STATES GEOLOGICAL SURVEY

## Federal Funds

## SURVEYS, INVESTIGATIONS, AND RESEARCH

*For expenses necessary for the United States Geological Survey to perform surveys, investigations, and research covering topography, geology, hydrology, biology, and the mineral and water resources of the United States, its territories and possessions, and other areas as authorized by 43 U.S.C. 31, 1332, and 1340; classify lands as to their mineral and water resources; give engineering supervision to power permittees and Federal Energy Regulatory Commission licensees; administer the minerals exploration program (30 U.S.C. 641); conduct inquiries into the economic conditions affecting mining and materials processing industries (30 U.S.C. 3, 21a, and 1603; 50 U.S.C. 98g(1)) and related purposes as authorized by law; and to publish and disseminate data relative to the foregoing activities; \$1,166,855,000, to remain available until September 30, 2015; of which \$53,337,189 shall remain available until expended for satellite operations; and of which \$7,280,000 shall be available until expended for deferred maintenance and capital improvement projects that exceed \$100,000 in cost: Provided, That none of the funds provided for the ecosystem research activity shall be used to conduct new surveys on private property, unless specifically authorized in writing by the property owner: Provided further, That no part of this appropriation shall be used to pay more than one-half the cost of topographic mapping or water resources data collection and investigations carried on in cooperation with States and municipalities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–0804–0–1–306                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Ecosystems .....  | 166         | 161     | 180       |
| 0002 Climate and Land Use Change .....                             | 141         | 142     | 156       |
| 0003 Energy, Minerals, and Environmental Health .....              | 99          | 99      | 107       |
| 0004 Natural Hazards .....   | 135         | 133     | 142       |
| 0005 Water Resources .....   | 216         | 217     | 223       |
| 0006 Core Science Systems .....                                    | 117         | 115     | 136       |
| 0007 Administration and Enterprise Information .....               | 112         | 125     | 116       |
| 0008 Facilities .....  | 104         | 102     | 104       |
| 0799 Total direct obligations .....                                | 1,090       | 1,094   | 1,164     |
| 0801 Reimbursable program .....                                    | 448         | 448     | 448       |
| 0802 Reimbursable program - EPA Great Lakes .....                  | 12          | 12      | 12        |
| 0899 Total reimbursable obligations .....                          | 460         | 460     | 460       |
| 0900 Total new obligations .....                                   | 1,550       | 1,554   | 1,624     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 393         | 405     | 386       |
| 1011 Unobligated balance transfer from other accts [72–0306] ....  | 1           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 15          |         |           |
| 1050 Unobligated balance (total) .....                             | 409         | 405     | 386       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1,070       | 1,075   | 1,167     |
| 1130 Appropriations permanently reduced .....                      | –2          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 1,068       | 1,075   | 1,167     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 441         | 460     | 460       |
| 1701 Change in uncollected payments, Federal sources .....         | 38          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 479         | 460     | 460       |
| 1900 Budget authority (total) .....                                | 1,547       | 1,535   | 1,627     |
| 1930 Total budgetary resources available .....                     | 1,956       | 1,940   | 2,013     |

|  |        |        |        |
|--|--------|--------|--------|
| Memorandum (non-add) entries:  |        |        |        |
| 1940 Unobligated balance expiring .....                              | –1     |        |        |
| 1941 Unexpired unobligated balance, end of year .....                | 405    | 386    | 389    |
| <b>Change in obligated balance:</b>                                  |        |        |        |
| Unpaid obligations:  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 384    | 368    | 308    |
| 3010 Obligations incurred, unexpired accounts .....                  | 1,550  | 1,554  | 1,624  |
| 3011 Obligations incurred, expired accounts .....                    | 4      |        |        |
| 3020 Outlays (gross) .....   | –1,548 | –1,614 | –1,657 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –15    |        |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –7     |        |        |
| 3050 Unpaid obligations, end of year .....                           | 368    | 308    | 275    |
| Uncollected payments:  |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –475   | –480   | –480   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –38    |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 33     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –480   | –480   | –480   |
| Memorandum (non-add) entries:  |        |        |        |
| 3100 Obligated balance, start of year .....                          | –91    | –112   | –172   |
| 3200 Obligated balance, end of year .....                            | –112   | –172   | –205   |
| <b>Budget authority and outlays, net:</b>                            |        |        |        |
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 1,547  | 1,535  | 1,627  |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 898    | 1,351  | 1,432  |
| 4011 Outlays from discretionary balances .....                       | 650    | 263    | 225    |
| 4020 Outlays, gross (total) .....                                    | 1,548  | 1,614  | 1,657  |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   | –269   | –262   | –262   |
| 4033 Non-Federal sources .....                                       | –205   | –198   | –198   |
| 4040 Offsets against gross budget authority and outlays (total) .... | –474   | –460   | –460   |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –38    |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 33     |        |        |
| 4060 Additional offsets against budget authority only (total) .....  | –5     |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 1,068  | 1,075  | 1,167  |
| 4080 Outlays, net (discretionary) .....                              | 1,074  | 1,154  | 1,197  |
| 4180 Budget authority, net (total) .....                             | 1,068  | 1,075  | 1,167  |
| 4190 Outlays, net (total) .....                                      | 1,074  | 1,154  | 1,197  |

The U.S. Geological Survey (USGS) provides research and scientific information to support the mission of the Department of the Interior (Interior) and its science requirements. The USGS also works in collaboration with other Federal, State, and tribal cooperators to conduct research and provide scientific data and information concerning natural hazards and environmental issues, including the water, land, geologic, and biological resources of the Nation. The USGS budget continues science programs that generate relevant, objective information for natural resource managers and for communities throughout the Nation and engages in partnerships with universities, research institutions, and major public and private laboratories.

**Ecosystems.**—The USGS Ecosystems mission area monitors and inventories biological resources and ecological systems; provides scientific information for the management of biological resources and their habitats; studies and predicts the consequences of environmental change and the effects of alternative management actions on plants, animals, and their habitats; conducts the high priority ecological and biological research needed by Interior land management bureaus and to support interagency efforts; and operates the Cooperative Research Unit program, a university-based fish and wildlife research program focusing on graduate education and training of future natural resource professionals.

**Climate and Land Use Change.**—The USGS Climate and Land Use Change mission area conducts scientific research, monitoring, remote sensing, modeling, and forecasting to address the effects of climate and land use change on the Nation's natural resources. The resulting research and products are provided as the scientific

## SURVEYS, INVESTIGATIONS, AND RESEARCH—Continued

foundation upon which policymakers, natural resource managers, and the public make informed decisions about the management of natural resources. *Landsat satellites and data systems are developed, operated, and maintained to ensure a continuous global record of moderate-resolution land images.*

**Energy, Minerals, and Environmental Health.**—The USGS Energy, Minerals, and Environmental Health mission area includes scientific activities that support decision making related to natural resource security; environmental, wildlife, and human health; land and resource management; and economic development. The mission area provides scientific information for assessments and research on mineral and energy resource potential, production and consumption, and environmental impacts of permitting, extraction, and use in the United States and around the world. The mission area also provides information and tools to decision makers and the public regarding environmental contaminants, including impacts on susceptible ecosystems and implications for human, wildlife and fish health.

**Natural Hazards.**—The USGS plays a critical role in providing policymakers and the public with a clear understanding of potential threats from natural hazards, societal vulnerability to these threats, and strategies for achieving resilience to earthquakes, volcanic eruptions, landslides, floods, hurricanes, solar storms, tsunamis, and wildfires. The USGS Natural Hazards mission area is working with its partners and stakeholders to define and mitigate risks, build understanding of natural hazard processes, and characterize the potential impact and consequences on human activity, health, the economy, and the environment. This mission area includes USGS activities that characterize and assess coastal and marine processes, conditions, change and vulnerability.

**Water Resources.**—The USGS is one of the primary Federal science agencies that provides information about water resources. To fulfill this responsibility, the USGS Water Resources mission area produces data, analyses, and assessments, and develops methodologies to support Federal, State, tribal, and local government decisions in managing water resources for domestic, agricultural, commercial industrial, recreational, and ecological uses; protecting and enhancing water resources for human health, aquatic health, and environmental quality; minimizing loss of life and property as a result of water-related natural hazards, such as floods, droughts, and land movement; and contributing to sustainable stewardship and development of the Nation's resources for the benefit of present and future generations.

**Core Science Systems.**—The USGS Core Science Systems mission area conducts fundamental research and provides data about the Earth, its complex processes, and its natural resources. These activities provide the Nation with natural science information to support response planning for natural hazards and to manage natural resources. Core Science Systems produces geological, geophysical, and geochemical maps and three-dimensional geologic frameworks that provide critical data for sustaining and improving the quality of life and economic vitality of the Nation, and creates the informatics framework and provides scientific content needed for understanding and stewardship of our Nation's ecological, geologic, and geospatial resources.

**Administration and Enterprise Information.**—The USGS Administration and Enterprise Information mission area provides Bureau-wide executive direction and coordination, business administration and financial management, and management of information technology infrastructure, processes and systems.

**Facilities.**—The USGS Facilities mission area provides safe, functional workspace, state of the art labs, and facilities for the USGS to accomplish its scientific mission. The mission area

provides rental payments and operation and maintenance for properties and maintenance and capital improvement for existing assets.

**Reimbursable program.**—Reimbursements from non-Federal sources are from States, Tribes, and municipalities for: cooperative efforts and proceeds from sale to the public of copies of photographs and records; proceeds from sale of personal property; reimbursements from permittees and licensees of the Federal Energy Regulatory Commission; and reimbursements from foreign countries and international organizations for technical assistance. Reimbursements from other Federal agencies are for mission-related work performed at the request of the financing agency.

## Object Classification (in millions of dollars)

| Identification code 14-0804-0-1-306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 431         | 435     | 444       |
| 11.3 Other than full-time permanent .....                       | 43          | 43      | 44        |
| 11.5 Other personnel compensation .....                         | 7           | 7       | 7         |
| 11.9 Total personnel compensation .....                         | 481         | 485     | 495       |
| 12.1 Civilian personnel benefits .....                          | 138         | 140     | 143       |
| 13.0 Benefits for former personnel .....                        | 1           |         |           |
| 21.0 Travel and transportation of persons .....                 | 23          | 23      | 27        |
| 22.0 Transportation of things .....                             | 5           | 5       | 6         |
| 23.1 Rental payments to GSA .....                               | 60          | 61      | 59        |
| 23.2 Rental payments to others .....                            | 4           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 15          | 15      | 15        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 18          | 13      | 13        |
| 25.2 Other services from non-Federal sources .....              | 101         | 108     | 122       |
| 25.3 Other goods and services from Federal sources .....        | 76          | 76      | 76        |
| 25.4 Operation and maintenance of facilities .....              | 14          | 14      | 14        |
| 25.7 Operation and maintenance of equipment .....               | 16          | 16      | 16        |
| 26.0 Supplies and materials .....                               | 22          | 18      | 22        |
| 31.0 Equipment .....  | 33          | 33      | 65        |
| 32.0 Land and structures .....                                  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 81          | 81      | 85        |
| 99.0 Direct obligations .....                                   | 1,090       | 1,094   | 1,164     |
| 99.0 Reimbursable obligations .....                             | 460         | 460     | 460       |
| 99.9 Total new obligations .....                                | 1,550       | 1,554   | 1,624     |

## Employment Summary

| Identification code 14-0804-0-1-306                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 5,431       | 5,439   | 5,531     |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 2,838       | 2,838   | 2,838     |
| 3001 Allocation account civilian full-time equivalent employment ..... | 27          | 27      | 27        |

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

| Identification code 14-4556-0-4-306                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Working capital fund .....                                    | 89          | 106     | 86        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 83          | 79      | 71        |
| 1021 Recoveries of prior year unpaid obligations .....             | 2           |         |           |
| 1050 Unobligated balance (total) .....                             | 85          | 79      | 71        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 83          | 98      | 79        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 83          | 98      | 79        |
| 1930 Total budgetary resources available .....                     | 168         | 177     | 150       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 79          | 71      | 64        |



| <b>Change in obligated balance:</b>                 |  |     |     |     |
|---|--|-----|-----|-----|
| Unpaid obligations:                                 |  |     |     |     |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....             | 37  | 29  | 63  |
| 3010  | Obligations incurred, unexpired accounts .....               | 89  | 106 | 86  |
| 3020  | Outlays (gross) .....  | -95 | -72 | -71 |
| 3040  | Recoveries of prior year unpaid obligations, unexpired ..... | -2  |     |     |
| 3050  | Unpaid obligations, end of year .....                        | 29  | 63  | 78  |
| Memorandum (non-add) entries:                       |  |     |     |     |
| 3100  | Obligated balance, start of year .....                       | 37  | 29  | 63  |
| 3200  | Obligated balance, end of year .....                         | 29  | 63  | 78  |
| <b>Budget authority and outlays, net:</b>           |  |     |     |     |
| Discretionary:                                      |  |     |     |     |
| 4000  | Budget authority, gross .....                                | 83  | 98  | 79  |
| Outlays, gross:                                     |  |     |     |     |
| 4010  | Outlays from new discretionary authority .....               | 48  | 44  | 36  |
| 4011  | Outlays from discretionary balances .....                    | 47  | 28  | 35  |
| 4020  | Outlays, gross (total) .....                                 | 95  | 72  | 71  |
| Offsets against gross budget authority and outlays: |  |     |     |     |
| Offsetting collections (collected) from:            |  |     |     |     |
| 4030  | Federal sources .....  | -83 | -98 | -79 |
| 4190  | Outlays, net (total) .....                                   | 12  | -26 | -8  |

The Working Capital Fund allows for: efficient financial management of the USGS telecommunications investments; acquisition, replacement, and enhancement of scientific equipment; facilities, GSA Building delegation operation, and laboratory operations; modernization and equipment replacement; drilling and training services; publications; and other USGS activities as determined and approved by the Director of the USGS and the Secretary.

**Balance Sheet** (in millions of dollars)

| Identification code 14-4556-0-4-306                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 119         | 108         |
| 1803 Other Federal assets: Property, plant and equipment, net ..... | 26          | 26          |
| 1999 Total assets .....   | 145         | 134         |
| <b>LIABILITIES:</b>   |             |             |
| 2201 Non-Federal liabilities: Accounts payable .....                | 8           | 5           |
| <b>NET POSITION:</b>  |             |             |
| 3300 Cumulative results of operations .....                         | 137         | 129         |
| 4999 Total liabilities and net position .....                       | 145         | 134         |

**Object Classification** (in millions of dollars)

| Identification code 14-4556-0-4-306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 18          | 18      | 18        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 20          | 20      | 20        |
| 12.1 Civilian personnel benefits .....                          | 6           | 6       | 6         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 2       | 1         |
| 24.0 Printing and reproduction .....                            |             | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 11          | 16      | 10        |
| 25.3 Other goods and services from Federal sources .....        | 6           | 7       | 6         |
| 25.4 Operation and maintenance of facilities .....              | 4           | 5       | 4         |
| 25.7 Operation and maintenance of equipment .....               | 4           | 3       | 3         |
| 26.0 Supplies and materials .....                               | 5           | 5       | 5         |
| 31.0 Equipment .....  | 27          | 31      | 25        |
| 32.0 Land and structures .....                                  | 1           | 6       | 1         |
| 99.9 Total new obligations .....                                | 89          | 106     | 86        |

**Employment Summary**

| Identification code 14-4556-0-4-306                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 244         | 244     | 244       |

**UNITED STATES GEOLOGICAL SURVEY—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

*Note.*—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:

Department of the Interior: Departmental Offices: "Natural resource damage assessment and restoration fund" and "Central hazardous materials fund".

**Trust Funds****CONTRIBUTED FUNDS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14-8562-0-7-306             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....               |             |         |           |
| Receipts:                                       |             |         |           |
| 0220 Contributed Funds, Geological Survey ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....      | 1           | 1       | 1         |
| Appropriations:                                 |             |         |           |
| 0500 Contributed Funds .....                    | -1          | -1      | -1        |
| 0799 Balance, end of year .....                 |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 14-8562-0-7-306                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0801 Donations and contributed funds .....            | 1           | 1       | 1         |
| 0900 Total new obligations (object class 99.5) .....  | 1           | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....          | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

**Change in obligated balance:**

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                 |    |    |    |
| 3010 Obligations incurred, unexpired accounts ..... | 1  | 1  | 1  |
| 3020 Outlays (gross) .....                          | -1 | -1 | -1 |

**Budget authority and outlays, net:**

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 1 | 1 | 1 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... |   | 1 | 1 |
| 4101 Outlays from mandatory balances .....      | 1 |   |   |
| 4110 Outlays, gross (total) .....               | 1 | 1 | 1 |
| 4180 Budget authority, net (total) .....        | 1 | 1 | 1 |
| 4190 Outlays, net (total) .....                 | 1 | 1 | 1 |

Funds in this account are provided by States, local governments, and private organizations (pursuant to 43 U.S.C. 36c). This appropriation (a permanent, indefinite, special fund) makes these funds available to USGS to perform the work desired by the contributor and USGS. Research and development; data collection and analysis; and services are undertaken when such activities are of mutual interest and benefit and assist USGS in accomplishing its mandated purposes.

CONTRIBUTED FUNDS—Continued  
Employment Summary

| Identification code 14—8562—0—7—306                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 6           | 6       | 6         |

## ADMINISTRATIVE PROVISIONS

*From within the amount appropriated for activities of the United States Geological Survey such sums as are necessary shall be available for contracting for the furnishing of topographic maps and for the making of geophysical or other specialized surveys when it is administratively determined that such procedures are in the public interest; construction and maintenance of necessary buildings and appurtenant facilities; acquisition of lands for gauging stations and observation wells; expenses of the United States National Committee for Geological Sciences; and payment of compensation and expenses of persons employed by the Survey duly appointed to represent the United States in the negotiation and administration of interstate compacts: Provided, That activities funded by appropriations herein made may be accomplished through the use of contracts, grants, or cooperative agreements as defined in section 6302 of title 31, United States Code: Provided further, That the United States Geological Survey may enter into contracts or cooperative agreements directly with individuals or indirectly with institutions or nonprofit organizations, without regard to 41 U.S.C. 6101, for the temporary or intermittent services of students or recent graduates, who shall be considered employees for the purpose of chapters 57 and 81 of title 5, United States Code, relating to compensation for travel and work injuries, and chapter 171 of title 28, United States Code, relating to tort claims, but shall not be considered to be Federal employees for any other purposes.*

## FISH AND WILDLIFE AND PARKS

## UNITED STATES FISH AND WILDLIFE SERVICE

## Federal Funds

## RESOURCE MANAGEMENT

*For necessary expenses of the United States Fish and Wildlife Service, as authorized by law, and for scientific and economic studies, general administration, and for the performance of other authorized functions related to such resources, \$1,295,085,000, to remain available until September 30, 2015, except as otherwise provided herein: Provided, That not to exceed \$22,622,000 shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act, as amended, (except for processing petitions, developing and issuing proposed and final regulations, and taking any other steps to implement actions described in subsection (c)(2)(A), (c)(2)(B)(i), or (c)(2)(B)(ii)), of which not to exceed \$4,605,000 shall be used for any activity regarding the designation of critical habitat, pursuant to subsection (a)(3), excluding litigation support, for species listed pursuant to subsection (a)(1) prior to October 1, 2011; of which not to exceed \$1,501,000 shall be used for any activity regarding petitions to list species that are indigenous to the United States pursuant to subsections (b)(3)(A) and (b)(3)(B); and, of which not to exceed \$1,504,000 shall be used for implementing subsections (a), (b), (c), and (e) of section 4 of the Endangered Species Act, as amended, for species that are not indigenous to the United States.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14—1611—0—1—302 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

|  |     |     |     |
|--|-----|-----|-----|
| <b>Obligations by program activity:</b>    |     |     |     |
| 0001 Ecological Services .....             | 306 | 299 | 317 |
| 0002 National Wildlife Refuge System ..... | 485 | 492 | 504 |

|   |       |       |       |
|---|-------|-------|-------|
| 0003 Migratory Bird Management, Law Enforcement and International Affairs ..... | 158   | 163   | 190   |
| 0004 Conservation, Enforcement and Science .....                                | 137   | 140   | 142   |
| 0005 Fisheries and Aquatic Resource Conservation .....                          | 33    | 41    | 23    |
| 0006 Cooperative Landscape Conservation and Adaptive Science .....              | 146   | 155   | 159   |
| 0007 Cooperative Landscape Conservation .....                                   | 155   | 159   |       |
| 0008 General Administration .....   | 1,265 | 1,290 | 1,335 |
| 0100 Subtotal, direct program .....   | 1,265 | 1,290 | 1,335 |
| 0799 Total direct obligations .....   | 46    | 45    | 45    |
| 0801 Great Lakes Restoration Initiative .....                                   | 207   | 187   | 187   |
| 0802 Reimbursable program activity .....  | 253   | 232   | 232   |
| 0899 Total reimbursable obligations .....                                       | 1,518 | 1,522 | 1,567 |
| 0900 Total new obligations .....  |       |       |       |

## Budgetary Resources:

|   |       |       |       |
|---|-------|-------|-------|
| <b>Unobligated balance:</b>   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 204   | 232   | 180   |
| 1011 Unobligated balance transfer from other accts [72–1021] .....    | 5     |       |       |
| 1021 Recoveries of prior year unpaid obligations .....                | 25    | 16    | 16    |
| 1050 Unobligated balance (total) .....                                | 234   | 248   | 196   |
| <b>Budget authority:</b>  |       |       |       |
| <b>Appropriations, discretionary:</b>                                 |       |       |       |
| 1100 Appropriation .....  | 1,228 | 1,234 | 1,295 |
| 1121 Appropriations transferred from other accts [72–1021] .....      | 9     |       |       |
| 1130 Appropriations permanently reduced .....                         | –2    |       |       |
| 1160 Appropriation, discretionary (total) .....                       | 1,235 | 1,234 | 1,295 |
| <b>Spending authority from offsetting collections, discretionary:</b> |       |       |       |
| 1700 Collected .....  | 197   | 220   | 220   |
| 1701 Change in uncollected payments, Federal sources .....            | 84    |       |       |
| 1750 Spending auth from offsetting collections, disc (total) .....    | 281   | 220   | 220   |
| 1900 Budget authority (total) .....                                   | 1,516 | 1,454 | 1,515 |
| 1930 Total budgetary resources available .....                        | 1,750 | 1,702 | 1,711 |
| <b>Memorandum (non-add) entries:</b>                                  |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....                 | 232   | 180   | 144   |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| <b>Unpaid obligations:</b>  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 590    | 584    | 535    |
| 3010 Obligations incurred, unexpired accounts .....               | 1,518  | 1,522  | 1,567  |
| 3011 Obligations incurred, expired accounts .....                 | 3      |        |        |
| 3020 Outlays (gross) .....  | –1,491 | –1,555 | –1,593 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –25    | –16    | –16    |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –11    |        |        |
| 3050 Unpaid obligations, end of year .....                        | 584    | 535    | 493    |
| <b>Uncollected payments:</b>                                      |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –320   | –353   | –353   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –84    |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 51     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –353   | –353   | –353   |
| <b>Memorandum (non-add) entries:</b>                              |        |        |        |
| 3100 Obligated balance, start of year .....                       | 270    | 231    | 182    |
| 3200 Obligated balance, end of year .....                         | 231    | 182    | 140    |

## Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| <b>Discretionary:</b>  |       |       |       |
| 4000 Budget authority, gross .....                                   | 1,516 | 1,454 | 1,515 |
| <b>Outlays, gross:</b>   |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 915   | 1,163 | 1,212 |
| 4011 Outlays from discretionary balances .....                       | 576   | 392   | 381   |
| 4020 Outlays, gross (total) .....                                    | 1,491 | 1,555 | 1,593 |
| <b>Offsets against gross budget authority and outlays:</b>           |       |       |       |
| <b>Offsetting collections (collected) from:</b>                      |       |       |       |
| 4030 Federal sources .....   | –195  | –165  | –165  |
| 4033 Non-Federal sources .....                                       | –51   | –55   | –55   |
| 4040 Offsets against gross budget authority and outlays (total) .... | –246  | –220  | –220  |
| <b>Additional offsets against gross budget authority only:</b>       |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –84   |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 49    |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | –35   |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 1,235 | 1,234 | 1,295 |
| 4080 Outlays, net (discretionary) .....                              | 1,245 | 1,335 | 1,373 |
| 4180 Budget authority, net (total) .....                             | 1,235 | 1,234 | 1,295 |
| 4190 Outlays, net (total) .....                                      | 1,245 | 1,335 | 1,373 |

**Ecological Services.**—The Service conserves, protects, and enhances fish, wildlife, plants, and their habitat by working with

private landowners, States, Tribes, non-governmental organizations, and other Federal agencies. These partnership activities help protect and recover species that are listed under the Endangered Species Act and work toward making the listing of additional species unnecessary. Financial assistance is provided to private landowners to restore or improve habitat for endangered species and other at-risk species. Technical assistance helps prevent or minimize adverse environmental effects of development projects. Contaminants are investigated, monitored, and assessed for effects on trust resources.

**National Wildlife Refuge System.**—The Service maintains the National Wildlife Refuge System consisting of 561 refuges, waterfowl production areas in 209 counties managed by 38 wetland management districts, and 50 coordination areas. The National Wildlife Refuge System administers this network of more than 150 million acres of land and waters to conserve and restore fish, wildlife, plants, and their habitats, within the United States for the benefit of present and future generations of Americans.

**Conservation, Enforcement and Science.**—The Service directs and coordinates national migratory bird programs to protect and enhance populations and habitat of more than 1,000 species of birds. Grants and partnerships are key to these programs, such as the Joint Ventures that implement the North American Waterfowl Management Plan. The Service Law Enforcement program investigates wildlife crimes, regulates wildlife trade, helps Americans understand and obey wildlife protection laws, and works in partnership with international, State, and Tribal counterparts to conserve wildlife resources. The Service, through the International Affairs Program, works with private citizens, local communities, State and Federal agencies, foreign governments, and non-governmental organizations in the United States and internationally to promote a coordinated domestic and international strategy to protect, restore, and enhance the world's diverse wildlife and their habitats, with a focus on species of international concern. Science support provides funding for applied science directed at high impact questions surrounding threats to fish and wildlife resources to provide answers needed to manage species to healthy, sustainable, desired levels.

**Fisheries.**—The Fisheries Program consists of 72 National Fish Hatcheries, nine Fish Health Centers, seven Fish Technology Centers, 65 Fish and Wildlife Conservation Offices, and a Historic National Fish Hatchery. Working with partners, the Fisheries Program recovers, restores and maintains fish and other aquatic resources at self-sustaining levels; provides technical assistance to States, Tribes and others; and supports Federal mitigation programs for the benefit of the American public.

**Cooperative Landscape Conservation.**—Through a national network of Landscape Conservation Cooperatives (LCCs), the Service enhances its core capacity in biological planning and conservation design to strategically address the problems fish and wildlife will face in the future. These LCCs, in cooperation with both Federal and non-Federal partners, will conduct the planning necessary to implement effective on-the-ground strategies and actions to help fish, wildlife, and plants adapt to future challenges. The information gathered will also help to define clear conservation objectives, inform conservation management decisions, focus management actions where they will have the most effect on the landscape, and supply scientific knowledge and expertise needed most by the Service and its partners.

**General Operations.**—Funding for the Service's general operations provides policy guidance, program coordination, and administrative services to all of the Fish and Wildlife Service's programs. The funds also support the National Conservation Training Center and projects through the National Fish and

Wildlife Foundation to restore and enhance fish and wildlife populations.

#### Object Classification (in millions of dollars)

| Identification code 14-1611-0-1-302 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 519         | 518     | 526       |
| 11.3                                | Other than full-time permanent .....                       | 33          | 31      | 33        |
| 11.5                                | Other personnel compensation .....                         | 18          | 18      | 18        |
| 11.8                                | Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 571         | 568     | 578       |
| 12.1                                | Civilian personnel benefits .....                          | 193         | 193     | 196       |
| 21.0                                | Travel and transportation of persons .....                 | 30          | 30      | 30        |
| 22.0                                | Transportation of things .....                             | 8           | 7       | 7         |
| 23.1                                | Rental payments to GSA .....                               | 61          | 63      | 63        |
| 23.2                                | Rental payments to others .....                            | 2           | 3       | 3         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 22          | 22      | 23        |
| 24.0                                | Printing and reproduction .....                            | 5           | 3       | 3         |
| 25.1                                | Advisory and assistance services .....                     | 10          | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....              | 66          | 76      | 78        |
| 25.3                                | Other goods and services from Federal sources .....        | 40          | 44      | 46        |
| 25.4                                | Operation and maintenance of facilities .....              | 26          | 28      | 29        |
| 25.7                                | Operation and maintenance of equipment .....               | 11          | 16      | 16        |
| 26.0                                | Supplies and materials .....                               | 53          | 48      | 48        |
| 31.0                                | Equipment .....  | 32          | 34      | 34        |
| 32.0                                | Land and structures .....                                  | 19          | 22      | 24        |
| 41.0                                | Grants, subsidies, and contributions .....                 | 116         | 131     | 155       |
| 99.0                                | Direct obligations .....                                   | 1,265       | 1,290   | 1,335     |
| 99.0                                | Reimbursable obligations .....                             | 253         | 232     | 232       |
| 99.9                                | Total new obligations .....                                | 1,518       | 1,522   | 1,567     |

#### Employment Summary

| Identification code 14-1611-0-1-302 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....             | 7,634       | 7,526   | 7,560     |
| 2001                                | Reimbursable civilian full-time equivalent employment .....       | 808         | 871     | 928       |
| 3001                                | Allocation account civilian full-time equivalent employment ..... | 624         | 588     | 533       |

#### CONSTRUCTION

*For construction, improvement, acquisition, or removal of buildings and other facilities required in the conservation, management, investigation, protection, and utilization of fishery and wildlife resources, and the acquisition of lands and interests therein; \$15,722,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

| Identification code 14-1612-0-1-302 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Obligations by program activity:    |   |             |         |           |
| 0001                                | Refuges .....                                     | 13          | 30      | 51        |
| 0002                                | Hatcheries .....                                  | 1           | 3       | 2         |
| 0003                                | Law Enforcement and Other Projects .....          |             |         | 1         |
| 0004                                | Dam safety .....                                  | 2           | 2       | 2         |
| 0005                                | Bridge safety .....                               | 1           | 1       | 1         |
| 0006                                | Nationwide engineering services .....             | 11          | 11      | 11        |
| 0100                                | Total, Direct program: .....                      | 28          | 47      | 68        |
| 0799                                | Total direct obligations .....                    | 28          | 47      | 68        |
| 0801                                | Reimbursable program .....                        | 1           | 2       | 2         |
| 0900                                | Total new obligations .....                       | 29          | 49      | 70        |
| Budgetary Resources:                |   |             |         |           |
| Unobligated balance:                |   |             |         |           |
| 1000                                | Unobligated balance brought forward, Oct 1 .....  | 23          | 24      | 72        |
| 1021                                | Recoveries of prior year unpaid obligations ..... | 5           | 4       | 4         |

CONSTRUCTION—Continued  
Program and Financing—Continued

| Identification code 14–1612–0–1–302                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 1050   | Unobligated balance (total) .....                             | 28          | 28      | 76        |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 23          | 23      | 16        |
| 1100   | Appropriation: Hurricane Sandy Emergency Supplemental .....   |             | 68      |           |
| 1160   | Appropriation, discretionary (total) .....                    | 23          | 91      | 16        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 1           | 2       | 2         |
| 1701   | Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 2           | 2       | 2         |
| 1900   | Budget authority (total) .....                                | 25          | 93      | 18        |
| 1930   | Total budgetary resources available .....                     | 53          | 121     | 94        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 24          | 72      | 24        |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 86          | 40      | 43        |
| 3010   | Obligations incurred, unexpired accounts .....                | 29          | 49      | 70        |
| 3020   | Outlays (gross) .....   | –70         | –42     | –56       |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –5          | –4      | –4        |
| 3050   | Unpaid obligations, end of year .....                         | 40          | 43      | 53        |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –5          | –5      | –5        |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | –1          |         |           |
| 3071   | Change in uncollected pymts, Fed sources, expired .....       | 1           |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | –5          | –5      | –5        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 81          | 35      | 38        |
| 3200   | Obligated balance, end of year .....                          | 35          | 38      | 48        |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 25          | 93      | 18        |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 8           | 14      | 5         |
| 4011   | Outlays from discretionary balances .....                     | 62          | 28      | 51        |
| 4020   | Outlays, gross (total) .....                                  | 70          | 42      | 56        |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –1          | –2      | –2        |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | –1          |         |           |
| 4070   | Budget authority, net (discretionary) .....                   | 23          | 91      | 16        |
| 4080   | Outlays, net (discretionary) .....                            | 69          | 40      | 54        |
| 4180   | Budget authority, net (total) .....                           | 23          | 91      | 16        |
| 4190   | Outlays, net (total) .....                                    | 69          | 40      | 54        |

The Construction activity provides for projects that focus on construction and rehabilitation, environmental compliance, pollution abatement, hazardous materials cleanup, and seismic safety for facilities on Service lands. Repair and inspection of the Service's dams and bridges are also included. These projects are needed to accomplish the management objectives and purposes of these structures, natural resources, and the Service's mission.

## Object Classification (in millions of dollars)

| Identification code 14–1612–0–1–302 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 6           | 6       | 5         |
| 11.3                                | Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 7           | 7       | 6         |
| 12.1                                | Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 21.0                                | Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... |             |         | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 4           | 4       | 3         |
| 25.3                                | Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.4                                | Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.7                                | Operation and maintenance of equipment .....               | 1           | 1       | 1         |

|      |  |    |    |    |
|------|--|----|----|----|
| 26.0 | Supplies and materials .....               | 1  | 1  | 1  |
| 31.0 | Equipment .....                            | 1  | 1  | 1  |
| 32.0 | Land and structures .....                  | 8  | 26 | 48 |
| 41.0 | Grants, subsidies, and contributions ..... | 2  | 1  | 1  |
| 99.0 | Direct obligations .....                   | 28 | 47 | 68 |
| 99.0 | Reimbursable obligations .....             | 1  | 2  | 2  |
| 99.9 | Total new obligations .....                | 29 | 49 | 70 |

## Employment Summary

| Identification code 14–1612–0–1–302 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 79          | 82      | 67        |

## STATE AND TRIBAL WILDLIFE GRANTS

For wildlife conservation grants to States and to the District of Columbia, Puerto Rico, Guam, the United States Virgin Islands, the Northern Mariana Islands, American Samoa, and federally recognized Indian tribes under the provisions of the Fish and Wildlife Act of 1956 and the Fish and Wildlife Coordination Act, for the development and implementation of programs for the benefit of wildlife and their habitat, including species that are not hunted or fished, \$61,323,000, to remain available until expended: Provided, That of the amount provided herein, \$4,268,000, is for a competitive grant program for federally recognized Indian tribes not subject to the remaining provisions of this appropriation: Provided further, That \$13,000,000 is for a competitive grant program to implement approved plans for States, territories, and other jurisdictions, and at the discretion of affected States, the regional associations of fish and wildlife agencies, not subject to the remaining provisions of this appropriation: Provided further, That the Secretary shall, after deducting \$17,268,000 and administrative expenses, apportion the amount provided herein in the following manner: (1) to the District of Columbia and to the Commonwealth of Puerto Rico, each a sum equal to not more than one-half of 1 percent thereof; and (2) to Guam, American Samoa, the United States Virgin Islands, and the Commonwealth of the Northern Mariana Islands, each a sum equal to not more than one-fourth of 1 percent thereof: Provided further, That the Secretary shall apportion the remaining amount in the following manner: (1) one-third of which is based on the ratio to which the land area of such State bears to the total land area of all such States; and (2) two-thirds of which is based on the ratio to which the population of such State bears to the total population of all such States: Provided further, That the amounts apportioned under this paragraph shall be adjusted equitably so that no State shall be apportioned a sum which is less than 1 percent of the amount available for apportionment under this paragraph for any fiscal year or more than 5 percent of such amount: Provided further, That the Federal share of planning grants shall not exceed 75 percent of the total costs of such projects and the Federal share of implementation grants shall not exceed 65 percent of the total costs of such projects: Provided further, That the non-Federal share of such projects may not be derived from Federal grant programs: Provided further, That any amount apportioned in 2014 to any State, territory, or other jurisdiction that remains unobligated as of September 30, 2015, shall be reappportioned, together with funds appropriated in 2016, in the manner provided herein.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–5474–0–2–302     |                                | 2012 actual | 2013 CR | 2014 est. |
|---|--------------------------------|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |                                |             |         |           |
| 0001                                    | State wildlife grants .....    | 50          | 51      | 51        |
| 0002                                    | State competitive grants ..... | 7           | 9       | 11        |
| 0003                                    | Administration .....           | 4           | 4       | 3         |
| 0004                                    | Tribal wildlife grants .....   | 6           | 5       | 3         |
| 0900                                    | Total new obligations .....    | 67          | 69      | 68        |

| Budgetary Resources:               |  |     |     |     |
|------------------------------------|--|-----|-----|-----|
| Unobligated balance:               |  |     |     |     |
| 1000                               | Unobligated balance brought forward, Oct 1 .....             | 42  | 38  | 34  |
| 1021                               | Recoveries of prior year unpaid obligations .....            | 2   | 3   | 3   |
| 1050                               | Unobligated balance (total) .....                            | 44  | 41  | 37  |
| Budget authority:                  |  |     |     |     |
| Appropriations, discretionary:     |  |     |     |     |
| 1100                               | Appropriation .....  | 61  | 62  | 61  |
| 1160                               | Appropriation, discretionary (total) .....                   | 61  | 62  | 61  |
| 1930                               | Total budgetary resources available .....                    | 105 | 103 | 98  |
| Memorandum (non-add) entries:      |  |     |     |     |
| 1941                               | Unexpired unobligated balance, end of year .....             | 38  | 34  | 30  |
|                                    |  |     |     |     |
| Change in obligated balance:       |  |     |     |     |
| Unpaid obligations:                |  |     |     |     |
| 3000                               | Unpaid obligations, brought forward, Oct 1 .....             | 154 | 154 | 145 |
| 3010                               | Obligations incurred, unexpired accounts .....               | 67  | 69  | 68  |
| 3020                               | Outlays (gross) .....  | -65 | -75 | -78 |
| 3040                               | Recoveries of prior year unpaid obligations, unexpired ..... | -2  | -3  | -3  |
| 3050                               | Unpaid obligations, end of year .....                        | 154 | 145 | 132 |
| Memorandum (non-add) entries:      |  |     |     |     |
| 3100                               | Obligated balance, start of year .....                       | 154 | 154 | 145 |
| 3200                               | Obligated balance, end of year .....                         | 154 | 145 | 132 |
|                                    |  |     |     |     |
| Budget authority and outlays, net: |  |     |     |     |
| Discretionary:                     |  |     |     |     |
| 4000                               | Budget authority, gross .....                                | 61  | 62  | 61  |
| Outlays, gross:                    |  |     |     |     |
| 4010                               | Outlays from new discretionary authority .....               | 12  | 13  | 14  |
| 4011                               | Outlays from discretionary balances .....                    | 53  | 62  | 64  |
| 4020                               | Outlays, gross (total) .....                                 | 65  | 75  | 78  |
| 4180                               | Budget authority, net (total) .....                          | 61  | 62  | 61  |
| 4190                               | Outlays, net (total) .....                                   | 65  | 75  | 78  |

The State and Tribal Wildlife Grants program provides funds to States, Commonwealths and Territories primarily through a formula-based apportionment. Additionally, a sizable competitive program uses a merit-based process to fund the best outcome-oriented, results-based projects. At the discretion of affected States, the competitive program may be used by regional associations of State fish and wildlife agencies. Tribes also receive funds through a national competitive award process. These funds are provided to stabilize, restore, enhance, and protect species and their habitat that are of conservation concern, thereby avoiding the costly and time-consuming process that occurs when habitat is degraded or destroyed and species populations plummet.

#### Object Classification (in millions of dollars)

| Identification code 14-5474-0-2-302 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 12.1                                | Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....        | 64          | 66      | 65        |
| 99.9                                | Total new obligations .....                       | 67          | 69      | 68        |

#### Employment Summary

| Identification code 14-5474-0-2-302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 22          | 23      | 23        |

#### MULTINATIONAL SPECIES CONSERVATION FUND

For expenses necessary to carry out the African Elephant Conservation Act (16 U.S.C. 4201 et seq.), the Asian Elephant Conservation Act of 1997 (16 U.S.C. 4261 et seq.), the Rhinoceros and Tiger Conservation Act of 1994 (16 U.S.C. 5301 et seq.), the Great Ape Conservation Act of 2000 (16 U.S.C. 6301 et seq.), and the Marine Turtle Conservation Act of 2004 (16 U.S.C. 6601 et seq.), \$9,787,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 14-1652-0-1-302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 African elephant .....  | 1           | 2       | 2         |
| 0002 Asian elephant .....  | 2           | 2       | 2         |
| 0003 Rhinoceros and tiger .....                                    | 2           | 2       | 2         |
| 0004 Great ape conservation .....                                  | 2           | 2       | 2         |
| 0005 Marine turtle .....   | 2           | 2       | 2         |
| 0799 Total direct obligations .....                                | 9           | 10      | 10        |
| 0801 Multinational Species Semi Postal Stamp Act .....             | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 10          | 11      | 11        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 9           | 10      | 10        |
| 1160 Appropriation, discretionary (total) .....                    | 9           | 10      | 10        |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 1           | 1       | 1         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 10          | 11      | 11        |
| 1930 Total budgetary resources available .....                     | 10          | 11      | 11        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 7           | 8       | 7         |
| 3010 Obligations incurred, unexpired accounts .....                | 10          | 11      | 11        |
| 3020 Outlays (gross) .....   | -9          | -12     | -11       |
| 3050 Unpaid obligations, end of year .....                         | 8           | 7       | 7         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 7           | 8       | 7         |
| 3200 Obligated balance, end of year .....                          | 8           | 7       | 7         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 9           | 10      | 10        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 4           | 3       | 3         |
| 4011 Outlays from discretionary balances .....                     | 5           | 8       | 7         |
| 4020 Outlays, gross (total) .....                                  | 9           | 11      | 10        |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 1           | 1       | 1         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 1           | 1       | 1         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -1          | -1      | -1        |
| 4180 Budget authority, net (total) .....                           | 9           | 10      | 10        |
| 4190 Outlays, net (total) .....                                    | 8           | 11      | 10        |

**African Elephant Conservation Program.**—Provides technical and financial assistance to protect African elephants and their habitats, including elephant population management, public education, and anti-poaching activities.

**Rhinoceros and Tiger Conservation Program.**—Provides conservation grants to protect rhinoceros and tiger populations and their habitats within African and Asian countries.

**Asian Elephant Conservation Program.**—Provides financial assistance for Asian elephant conservation projects to protect elephant populations and their habitats within 13 range countries.

**Great Ape Conservation Program.**—Provides assistance for conservation and protection of chimpanzee, gorilla, orangutan, bonobo, and gibbon populations.

**Marine Sea Turtle Conservation Program.**—Provides financial assistance for projects, public education, and the conservation of marine sea turtles and their nesting habitats.

## MULTINATIONAL SPECIES CONSERVATION FUND—Continued

The Multinational Species Conservation Fund Semipostal Stamp Act of 2010, requires the United States Postal Service to issue and sell, at a premium, a Multinational Species Conservation Funds Semipostal stamp. The proceeds of this stamp are to be transferred to the Service to help operations supported by the Multinational Species Conservation Funds. The expected collections from this stamp in FY 2014 are estimated to be \$1 million.

## Object Classification (in millions of dollars)

| Identification code 14–1652–0–1–302                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 9           | 10      | 10        |
| 99.0 Reimbursable obligations .....                                 | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                    | 10          | 11      | 11        |

## Employment Summary

| Identification code 14–1652–0–1–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 4       | 4         |

## NEOTROPICAL MIGRATORY BIRD CONSERVATION

For expenses necessary to carry out the Neotropical Migratory Bird Conservation Act, as amended (16 U.S.C. 6101 et seq.), \$3,786,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–1696–0–1–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Neotropical Migratory Bird .....                 | 4           | 4       | 4         |
| 0900 Total new obligations (object class 41.0) .....  | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....       | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....        | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 6           | 6       | 5         |
| 3010 Obligations incurred, unexpired accounts .....   | 4           | 4       | 4         |
| 3020 Outlays (gross) .....                            | –4          | –5      | –5        |
| 3050 Unpaid obligations, end of year .....            | 6           | 5       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 6           | 6       | 5         |
| 3200 Obligated balance, end of year .....             | 6           | 5       | 4         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 4           | 4       | 4         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             | 1       | 1         |
| 4011 Outlays from discretionary balances .....        | 4           | 4       | 4         |
| 4020 Outlays, gross (total) .....                     | 4           | 5       | 5         |
| 4180 Budget authority, net (total) .....              | 4           | 4       | 4         |
| 4190 Outlays, net (total) .....                       | 4           | 5       | 5         |

Funds in this account provide grants to conserve migratory bird populations in the United States, Canada, Latin America, and the Caribbean pursuant to the Neotropical Migratory Bird Con-

servation Act, as amended (16 U.S.C. 6101 et seq.). Reauthorization is pending.

## Employment Summary

| Identification code 14–1696–0–1–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 1       | 1         |

## LAND ACQUISITION

For expenses necessary to carry out the Land and Water Conservation Fund Act of 1965, as amended (16 U.S.C. 460l–4 through 11), including administrative expenses, and for acquisition of land or waters, or interest therein, in accordance with statutory authority applicable to the United States Fish and Wildlife Service, \$70,833,000, to be derived from the Land and Water Conservation Fund and to remain available until expended: Provided, That none of the funds appropriated for specific land acquisition projects may be used to pay for any administrative overhead, planning or other management costs.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–5020–0–2–302                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Acquisition management .....                                  | 11          | 11      | 13        |
| 0002 Emergencies and hardships .....                               | 3           | 3       | 3         |
| 0003 Exchanges .....   | 2           | 2       | 1         |
| 0004 Inholdings .....  | 2           | 2       | 2         |
| 0005 User Pay Cost Share .....                                     | 2           | 2       | 2         |
| 0006 Refuge Land Payments .....                                    | 25          | 36      | 46        |
| 0100 total, direct program .....                                   | 45          | 56      | 67        |
| 0799 Total direct obligations .....                                | 45          | 56      | 67        |
| 0801 Reimbursable program activity Border Fence Mitigation .....   | 8           |         |           |
| 0900 Total new obligations .....                                   | 53          | 56      | 67        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 21          | 35      | 35        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | 1       | 1         |
| 1050 Unobligated balance (total) .....                             | 22          | 36      | 36        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1101 Appropriation (LWCF) .....                                    | 55          | 55      | 71        |
| 1160 Appropriation, discretionary (total) .....                    | 55          | 55      | 71        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 11          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 11          |         |           |
| 1900 Budget authority (total) .....                                | 66          | 55      | 71        |
| 1930 Total budgetary resources available .....                     | 88          | 91      | 107       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 35          | 35      | 40        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 33          | 25      | 18        |
| 3010 Obligations incurred, unexpired accounts .....                | 53          | 56      | 67        |
| 3020 Outlays (gross) .....   | –60         | –62     | –64       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1          | –1      | –1        |
| 3050 Unpaid obligations, end of year .....                         | 25          | 18      | 20        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 33          | 25      | 18        |
| 3200 Obligated balance, end of year .....                          | 25          | 18      | 20        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 66          | 55      | 71        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 22          | 22      | 28        |

|      |   |     |    |    |
|------|---|-----|----|----|
| 4011 | Outlays from discretionary balances .....   | 38  | 40 | 36 |
| 4020 | Outlays, gross (total) .....  | 60  | 62 | 64 |
|      | Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |     |    |    |
| 4030 | Federal sources .....   | -11 |    |    |
| 4180 | Budget authority, net (total) .....   | 55  | 55 | 71 |
| 4190 | Outlays, net (total) .....  | 49  | 62 | 64 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 55          | 55      | 71        |
| Outlays .....                           | 49          | 62      | 64        |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 36        |
| Outlays .....                           |             |         | 14        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 55          | 55      | 107       |
| Outlays .....                           | 49          | 62      | 78        |

Federal Land Acquisition funds are used to protect areas that have native fish or wildlife values and provide natural resource benefits over a broad geographical area. Funds in this account also cover acquisition management activities, such as title fees and land surveys. The Service places emphasis on acquiring important fish, wildlife, and plant habitat for the conservation of listed endangered and threatened species. The Federal Land Acquisition program uses alternative and innovative conservation tools, including conservation easements, and implements projects that have the input and participation of the affected local communities and stakeholders. In addition, the 2014 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs beginning in fiscal year 2014.

**Object Classification** (in millions of dollars)

| Identification code 14–5020–0–2–302                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 7           | 7       | 9         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 4           | 6       | 6         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 32.0 Land and structures .....                           | 29          | 39      | 48        |
| 99.0 Direct obligations .....                            | 44          | 56      | 67        |
| 99.0 Reimbursable obligations .....                      | 8           |         |           |
| 99.5 Below reporting threshold .....                     | 1           |         |           |
| 99.9 Total new obligations .....                         | 53          | 56      | 67        |

**Employment Summary**

| Identification code 14–5020–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 89          | 86      | 106       |

**LAND ACQUISITION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 14–5020–4–2–302                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                       |             |         |           |
| 0006 Refuge Land Payments .....                               |             |         | 24        |
| 0900 Total new obligations (object class 32.0) .....          |             |         | 24        |
| <b>Budgetary Resources:</b>                                   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                    |             |         |           |
| 1221 Appropriations transferred from the LWCF [14–5005] ..... |             |         | 36        |
| 1260 Appropriations, mandatory (total) .....                  |             |         | 36        |
| 1930 Total budgetary resources available .....                |             |         | 36        |
| Memorandum (non-add) entries:                                 |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....         |             |         | 12        |
| <b>Change in obligated balance:</b>                           |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....           |             |         | 24        |
| 3020 Outlays (gross) .....                                    |             |         | -14       |
| 3050 Unpaid obligations, end of year .....                    |             |         | 10        |
| Memorandum (non-add) entries:                                 |             |         |           |
| 3200 Obligated balance, end of year .....                     |             |         | 10        |
| <b>Budget authority and outlays, net:</b>                     |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                            |             |         | 36        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....               |             |         | 14        |
| 4180 Budget authority, net (total) .....                      |             |         | 36        |
| 4190 Outlays, net (total) .....                               |             |         | 14        |

**LANDOWNER INCENTIVE PROGRAM****Program and Financing** (in millions of dollars)

| Identification code 14–5496–0–2–302                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0501 Landowner grants .....                                       |             | 1       |           |
| 0900 Total new obligations (object class 41.0) .....              |             | 1       |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             |             | 1       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 1           | 1       |           |
| 1930 Total budgetary resources available .....                    | 1           | 1       |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 1           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 26          | 16      | 5         |
| 3010 Obligations incurred, unexpired accounts .....               |             | 1       |           |
| 3020 Outlays (gross) .....  | -9          | -12     | -5        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 16          | 5       |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 26          | 16      | 5         |
| 3200 Obligated balance, end of year .....                         | 16          | 5       |           |

**LANDOWNER INCENTIVE PROGRAM—Continued**  
**Program and Financing—Continued**

| Identification code 14–5496–0–2–302            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>      |             |         |           |
| Discretionary:                                 |             |         |           |
| Outlays, gross:                                |             |         |           |
| 4011 Outlays from discretionary balances ..... | 9           | 12      | 5         |
| 4190 Outlays, net (total) .....                | 9           | 12      | 5         |

The Landowner Incentive Program provided cost-shared, competitive grants to States, the District of Columbia, Territories, and Tribes to create, supplement or expand upon new or ongoing landowner incentive programs. These State, Tribal, and Territorial programs provided technical and financial assistance to private landowners across the country to help them protect and manage imperiled species and their habitat, while continuing to engage in traditional land use or working conservation practices. The program was phased out in 2008 and minimal balances remain in this account.

**PRIVATE STEWARDSHIP GRANTS**  
**Program and Financing** (in millions of dollars)

| Identification code 14–5495–0–2–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           |         |           |
| 3020 Outlays (gross) .....                            | –1          |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 1           |         |           |
| 4190 Outlays, net (total) .....                       | 1           |         |           |

The Private Stewardship Grants program provided grants and other assistance on a competitive basis to individuals and groups engaged in local voluntary conservation efforts. The program was initiated during fiscal year 2002, but was discontinued after fiscal year 2007 grants were awarded. Minimal balances remain in this account.

**MIGRATORY BIRD CONSERVATION ACCOUNT**  
**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–5137–0–2–303             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....               |             |         |           |
| Receipts:                                       |             |         |           |
| 0200 Migratory Bird Hunting Stamps .....        | 22          | 22      | 22        |
| 0201 Migratory Bird Hunting Stamps .....        |             |         | 14        |
| 0202 Custom Duties on Arms and Ammunition ..... | 34          | 30      | 30        |
| 0299 Total receipts and collections .....       | 56          | 52      | 66        |
| 0400 Total: Balances and collections .....      | 56          | 52      | 66        |
| Appropriations:                                 |             |         |           |
| 0500 Migratory Bird Conservation Account .....  | –56         | –52     | –52       |
| 0501 Migratory Bird Conservation Account .....  |             |         | –14       |
| 0599 Total appropriations .....                 | –56         | –52     | –66       |
| 0799 Balance, end of year .....                 |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 14–5137–0–2–303                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Printing and sale of duck stamps .....           | 1           | 1       | 1         |
| 0003 Acquisition of refuges and other areas .....     | 55          | 51      | 51        |
| 0900 Total new obligations .....                      | 56          | 52      | 52        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 9           | 9       | 9         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 56          | 52      | 52        |
| 1260 Appropriations, mandatory (total) .....          | 56          | 52      | 52        |
| 1930 Total budgetary resources available .....        | 65          | 61      | 61        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 9           | 9       | 9         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 21          | 18      | 14        |
| 3010 Obligations incurred, unexpired accounts .....   | 56          | 52      | 52        |
| 3020 Outlays (gross) .....                            | –59         | –56     | –54       |
| 3050 Unpaid obligations, end of year .....            | 18          | 14      | 12        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 21          | 18      | 14        |
| 3200 Obligated balance, end of year .....             | 18          | 14      | 12        |

|   |    |    |    |
|---|----|----|----|
| <b>Budget authority and outlays, net:</b>       |    |    |    |
| Mandatory:                                      |    |    |    |
| 4090 Budget authority, gross .....              | 56 | 52 | 52 |
| Outlays, gross:                                 |    |    |    |
| 4100 Outlays from new mandatory authority ..... | 29 | 36 | 36 |
| 4101 Outlays from mandatory balances .....      | 30 | 20 | 18 |
| 4110 Outlays, gross (total) .....               | 59 | 56 | 54 |
| 4180 Budget authority, net (total) .....        | 56 | 52 | 52 |
| 4190 Outlays, net (total) .....                 | 59 | 56 | 54 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 56          | 52      | 52        |
| Outlays .....                           | 59          | 56      | 54        |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 14        |
| Outlays .....                           |             |         | 10        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 56          | 52      | 66        |
| Outlays .....                           | 59          | 56      | 64        |

Funds deposited into this account include import duties on arms and ammunition and receipts in excess of Postal Service expenses from the sale of migratory bird hunting and conservation stamps. These funds are used to locate and acquire land and water for migratory bird refuges and waterfowl production areas.

**Object Classification** (in millions of dollars)

| Identification code 14–5137–0–2–303                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 5           | 5       | 5         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 32.0 Land and structures .....                           | 45          | 41      | 41        |
| 99.0 Direct obligations .....                            | 55          | 51      | 51        |
| 99.5 Below reporting threshold .....                     | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 56          | 52      | 52        |



**Employment Summary**

| Identification code 14–5137–0–2–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 63          | 65      | 65        |

**MIGRATORY BIRD CONSERVATION ACCOUNT**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 14–5137–4–2–303                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Printing and sale of duck stamps .....         |             |         | 1         |
| 0003 Acquisition of refuges and other areas .....   |             |         | 13        |
| 0900 Total new obligations .....                    |             |         | 14        |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1201 Appropriation (special or trust fund) .....    |             |         | 14        |
| 1260 Appropriations, mandatory (total) .....        |             |         | 14        |
| 1930 Total budgetary resources available .....      |             |         | 14        |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 14        |
| 3020 Outlays (gross) .....                          |             |         | –10       |
| 3050 Unpaid obligations, end of year .....          |             |         | 4         |
| Memorandum (non-add) entries:                       |             |         |           |
| 3200 Obligated balance, end of year .....           |             |         | 4         |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  |             |         | 14        |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     |             |         | 10        |
| 4180 Budget authority, net (total) .....            |             |         | 14        |
| 4190 Outlays, net (total) .....                     |             |         | 10        |

**Object Classification** (in millions of dollars)

| Identification code 14–5137–4–2–303                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             |         | 1         |
| 32.0 Land and structures .....                         |             |         | 13        |
| 99.9 Total new obligations .....                       |             |         | 14        |

**Employment Summary**

| Identification code 14–5137–4–2–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             |         | 10        |

**NORTH AMERICAN WETLANDS CONSERVATION FUND**

*For expenses necessary to carry out the provisions of the North American Wetlands Conservation Act, as amended (16 U.S.C. 4401 et seq.), \$39,425,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–5241–0–2–302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 1           | 1       | 1         |
| <b>Receipts:</b>   |             |         |           |
| 0200 Fines, Penalties, and Forfeitures from Migratory Bird Treaty Act, North American Wetlands Conservation Fund ..... | 1           | 1       | 1         |

|  |    |    |    |
|--|----|----|----|
| 0400 Total: Balances and collections .....           | 2  | 2  | 2  |
| <b>Appropriations:</b>                               |    |    |    |
| 0500 North American Wetlands Conservation Fund ..... | –1 | –1 | –1 |
| 0799 Balance, end of year .....                      | 1  | 1  | 1  |

**Program and Financing** (in millions of dollars)

| Identification code 14–5241–0–2–302                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0003 Wetlands conservation projects .....                         | 39          | 37      | 41        |
| 0004 Administration .....   | 1           | 1       | 1         |
| 0900 Total new obligations .....                                  | 40          | 38      | 42        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 7           | 5       | 5         |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 7           | 4       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           | 1       | 1         |
| 1050 Unobligated balance (total) .....                            | 8           | 6       | 6         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 36          | 36      | 40        |
| 1160 Appropriation, discretionary (total) .....                   | 36          | 36      | 40        |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....                      | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                               | 37          | 37      | 41        |
| 1930 Total budgetary resources available .....                    | 45          | 43      | 47        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 75          | 74      | 67        |
| 3010 Obligations incurred, unexpired accounts .....               | 40          | 38      | 42        |
| 3020 Outlays (gross) .....  | –40         | –44     | –46       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          | –1      | –1        |
| 3050 Unpaid obligations, end of year .....                        | 74          | 67      | 62        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 75          | 74      | 67        |
| 3200 Obligated balance, end of year .....                         | 74          | 67      | 62        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 36          | 36      | 40        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 4           | 7       | 8         |
| 4011 Outlays from discretionary balances .....                    | 35          | 34      | 36        |
| 4020 Outlays, gross (total) .....                                 | 39          | 41      | 44        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 1           | 1       | 1         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   |             | 1       | 1         |
| 4101 Outlays from mandatory balances .....                        | 1           | 2       | 1         |
| 4110 Outlays, gross (total) .....                                 | 1           | 3       | 2         |
| 4180 Budget authority, net (total) .....                          | 37          | 37      | 41        |
| 4190 Outlays, net (total) .....                                   | 40          | 44      | 46        |

Funds deposited into this account include direct appropriations and fines, penalties, and forfeitures collected under the authority of the Migratory Bird Treaty Act (16 U.S.C. 707) and interest on obligations held in the Federal Aid in Wildlife Restoration Fund. The North American Wetlands Conservation Fund supports wetlands conservation projects approved by the Migratory Bird Conservation Commission. A portion of receipts to the Sport Fish Restoration Account is also available for coastal wetlands conservation projects.

These projects help fulfill the habitat protection, restoration, and enhancement goals of the North American Waterfowl Management Plan and the Tripartite Agreement among Mexico, Canada, and the United States. These projects may involve partnerships with public agencies and private entities, with non-Federal matching contributions, for the long-term conservation

## NORTH AMERICAN WETLANDS CONSERVATION FUND—Continued

of habitat for migratory birds and other fish and wildlife, including species that are listed, or are candidates to be listed, under the Endangered Species Act (16 U.S.C. 1531).

Wetlands conservation projects include the obtaining of a real property interest in lands or waters, including water rights; the restoration, management or enhancement of habitat; and training and development for conservation management in Mexico. Funding may be provided for assistance for wetlands conservation projects in Canada or Mexico.

## Object Classification (in millions of dollars)

| Identification code 14–5241–0–2–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     |             | 1       | 1         |
| 32.0 Land and structures .....                         | 2           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 37          | 35      | 39        |
| 99.9 Total new obligations .....                       | 40          | 38      | 42        |

## Employment Summary

| Identification code 14–5241–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 8           | 9       | 9         |

## COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND

*For expenses necessary to carry out section 6 of the Endangered Species Act of 1973, as amended (16 U.S.C. 1531 et seq.), \$56,000,000, to remain available until expended, to be derived from the Land and Water Conservation Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5143–0–2–302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 291         | 321     | 361       |
| Receipts:  |             |         |           |
| 0240 Payment from the General Fund, Cooperative Endangered Species Conservation Fund ..... | 53          | 63      | 62        |
| 0400 Total: Balances and collections .....   | 344         | 384     | 423       |
| Appropriations:  |             |         |           |
| 0500 Cooperative Endangered Species Conservation Fund .....                                | –23         | –23     |           |
| 0799 Balance, end of year .....  | 321         | 361     | 423       |

## Program and Financing (in millions of dollars)

| Identification code 14–5143–0–2–302                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                                |             |         |           |
| 0001 Conservation Grants to States .....                        | 11          | 14      | 18        |
| 0002 HCP Planning Assistance Grants .....                       | 6           | 7       | 14        |
| 0004 Administration .....                                       | 2           | 2       | 3         |
| 0005 HCP Land Acquisition Grants to States .....                | 10          | 14      | 18        |
| 0006 Species Recovery Land Acquisition .....                    | 11          | 16      | 14        |
| 0007 Payment to special fund unavailable receipt account .....  | 53          | 63      | 62        |
| 0900 Total new obligations .....                                | 93          | 116     | 129       |
| Budgetary Resources:  |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 52          | 75      | 74        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 52          | 75      |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 15          | 4       | 4         |
| 1050 Unobligated balance (total) .....                          | 67          | 79      | 78        |

## Budget authority:

|   |     |     |     |
|---|-----|-----|-----|
| Appropriations, discretionary:                        |     |     |     |
| 1101 Appropriation (LWCF special fund 14 5479) .....  | 25  | 25  | 56  |
| 1101 Appropriation (CESCF special fund 14 5143) ..... | 23  | 23  |     |
| 1160 Appropriation, discretionary (total) .....       | 48  | 48  | 56  |
| Appropriations, mandatory:                            |     |     |     |
| 1200 Appropriation .....                              | 53  | 63  | 62  |
| 1260 Appropriations, mandatory (total) .....          | 53  | 63  | 62  |
| 1900 Budget authority (total) .....                   | 101 | 111 | 118 |
| 1930 Total budgetary resources available .....        | 168 | 190 | 196 |
| Memorandum (non-add) entries:                         |     |     |     |
| 1941 Unexpired unobligated balance, end of year ..... | 75  | 74  | 67  |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 180  | 155  | 116  |
| 3010 Obligations incurred, unexpired accounts .....               | 93   | 116  | 129  |
| 3020 Outlays (gross) .....  | –103 | –151 | –144 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –15  | –4   | –4   |
| 3050 Unpaid obligations, end of year .....                        | 155  | 116  | 97   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 180  | 155  | 116  |
| 3200 Obligated balance, end of year .....                         | 155  | 116  | 97   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 48  | 48  | 56  |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 1   | 5   | 6   |
| 4011 Outlays from discretionary balances .....      | 49  | 83  | 76  |
| 4020 Outlays, gross (total) .....                   | 50  | 88  | 82  |
| Mandatory:  |     |     |     |
| 4090 Budget authority, gross .....                  | 53  | 63  | 62  |
| Outlays, gross:                                     |     |     |     |
| 4100 Outlays from new mandatory authority .....     | 53  | 63  | 62  |
| 4180 Budget authority, net (total) .....            | 101 | 111 | 118 |
| 4190 Outlays, net (total) .....                     | 103 | 151 | 144 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 101         | 111     | 118       |
| Outlays .....                           | 103         | 151     | 144       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 28        |
| Outlays .....                           |             |         | 3         |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 101         | 111     | 146       |
| Outlays .....                           | 103         | 151     | 147       |

The Cooperative Endangered Species Conservation Fund provides grants to States and U.S. territories for species and habitat conservation actions on non-federal lands, including habitat acquisition, conservation planning, habitat restoration, status surveys, captive propagation and reintroduction, research, and education for species that are listed, or species that are candidates for listing, as threatened or endangered. These activities support recovery efforts and Habitat Conservation Plans in partnership with local governments and other interested parties to protect species. Appropriations to this account are financed by the Land and Water Conservation Fund and by a permanent fund that receives a transfer of General Funds from the U.S. Treasury. This permanent fund receives a transfer equal to five percent of receipts deposited to the Federal Aid in Wildlife and Sport Fish Restoration accounts plus Lacey Act receipts over \$500,000. Funds made available for grants from the permanent account are subject to annual appropriations authorized by Congress.

*Mandatory Land and Water Conservation Fund.*—The Administration proposes to permanently authorize annual mandatory funding without further appropriation or fiscal year limitation for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs, including the Cooperative

**Endangered Species Conservation Fund program, beginning in fiscal year 2014.****Object Classification** (in millions of dollars)

| Identification code 14–5143–0–2–302                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 39          | 51      | 65        |
| 94.0 Financial transfers .....                         | 53          | 63      | 62        |
| 99.0 Direct obligations .....                          | 93          | 115     | 128       |
| 99.5 Below reporting threshold .....                   |             | 1       | 1         |
| 99.9 Total new obligations .....                       | 93          | 116     | 129       |

**Employment Summary**

| Identification code 14–5143–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 16          | 18      | 18        |

**COOPERATIVE ENDANGERED SPECIES CONSERVATION FUND**  
(Legislative proposal, subject to PAYGO)**Program and Financing** (in millions of dollars)

| Identification code 14–5143–4–2–302                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                       |             |         |           |
| 0005 HCP Land Acquisition Grants to States .....              |             |         | 10        |
| 0006 Species Recovery Land Acquisition .....                  |             |         | 8         |
| 0900 Total new obligations (object class 41.0) .....          |             |         | 18        |
| <b>Budgetary Resources:</b>                                   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                    |             |         |           |
| 1221 Appropriations transferred from the LWCF [14–5005] ..... |             |         | 28        |
| 1260 Appropriations, mandatory (total) .....                  |             |         | 28        |
| 1930 Total budgetary resources available .....                |             |         | 28        |
| Memorandum (non-add) entries:                                 |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....         |             |         | 10        |
| <b>Change in obligated balance:</b>                           |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....           |             |         | 18        |
| 3020 Outlays (gross) .....                                    |             |         | –3        |
| 3050 Unpaid obligations, end of year .....                    |             |         | 15        |
| Memorandum (non-add) entries:                                 |             |         |           |
| 3200 Obligated balance, end of year .....                     |             |         | 15        |
| <b>Budget authority and outlays, net:</b>                     |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                            |             |         | 28        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....               |             |         | 3         |
| 4180 Budget authority, net (total) .....                      |             |         | 28        |
| 4190 Outlays, net (total) .....                               |             |         | 3         |

**NATIONAL WILDLIFE REFUGE FUND**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–5091–0–2–806        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          |             |         |           |
| Receipts:                                  |             |         |           |
| 0220 National Wildlife Refuge Fund .....   | 8           | 8       | 8         |
| 0400 Total: Balances and collections ..... | 8           | 8       | 8         |

|  |    |    |    |
|--|----|----|----|
| Appropriations:                          |    |    |    |
| 0500 National Wildlife Refuge Fund ..... | –8 | –8 | –8 |
| 0799 Balance, end of year .....          |    |    |    |

**Program and Financing** (in millions of dollars)

| Identification code 14–5091–0–2–806                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Expenses for sales .....                         | 2           | 2       | 2         |
| 0002 Civilian Pay .....                               | 1           | 1       | 1         |
| 0003 Payments to counties .....                       | 18          | 19      | 5         |
| 0900 Total new obligations .....                      | 21          | 22      | 8         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 4           | 5       | 5         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 14          | 14      |           |
| 1160 Appropriation, discretionary (total) .....       | 14          | 14      |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 8           | 8       | 8         |
| 1260 Appropriations, mandatory (total) .....          | 8           | 8       | 8         |
| 1900 Budget authority (total) .....                   | 22          | 22      | 8         |
| 1930 Total budgetary resources available .....        | 26          | 27      | 13        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 21          | 22      | 8         |
| 3020 Outlays (gross) .....                            | –21         | –20     | –8        |
| 3050 Unpaid obligations, end of year .....            | 2           | 4       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 2       | 4         |
| 3200 Obligated balance, end of year .....             | 2           | 4       | 4         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 14          | 14      |           |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 14          | 14      |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 8           | 8       | 8         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 5           | 6       | 6         |
| 4101 Outlays from mandatory balances .....            | 2           |         | 2         |
| 4110 Outlays, gross (total) .....                     | 7           | 6       | 8         |
| 4180 Budget authority, net (total) .....              | 22          | 22      | 8         |
| 4190 Outlays, net (total) .....                       | 21          | 20      | 8         |

The Refuge Revenue Sharing Act (16 U.S.C. 715s) authorizes the Service to make payments to counties in which Service fee lands are located from revenues resulting from the sale of products from Service lands, less expenses for producing revenue and activities related to revenue sharing. While direct appropriations have previously been used to supplement revenues, the 2014 Budget eliminates discretionary funding as formulas contained in the Act do not account for the economic benefits which refuges provide.

**Object Classification** (in millions of dollars)

| Identification code 14–5091–0–2–806                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... |             | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 18          | 19      | 5         |
| 99.0 Direct obligations .....                            | 20          | 22      | 8         |
| 99.5 Below reporting threshold .....                     | 1           |         |           |
| 99.9 Total new obligations .....                         | 21          | 22      | 8         |

NATIONAL WILDLIFE REFUGE FUND—Continued  
Employment Summary

| Identification code 14–5091–0–2–806                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 14          | 11      | 11        |

## RECREATION ENHANCEMENT FEE PROGRAM, FWS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5252–0–2–303                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                |             |         |           |
| Receipts:  |             |         |           |
| 0220 Recreation Enhancement Fee, Fish and Wildlife Service ..... | 5           | 5       | 5         |
| 0400 Total: Balances and collections .....                       | 5           | 5       | 5         |
| Appropriations:  |             |         |           |
| 0500 Recreation Enhancement Fee Program, FWS .....               | –5          | –5      | –5        |
| 0799 Balance, end of year .....                                  |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 14–5252–0–2–303                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 5           | 5       | 5         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 4           | 4       | 4         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 5           | 5       | 5         |
| 1260 Appropriations, mandatory (total) .....          | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....        | 9           | 9       | 9         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3           | 3       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 5           | 5       | 5         |
| 3020 Outlays (gross) .....                            | –5          | –6      | –7        |
| 3050 Unpaid obligations, end of year .....            | 3           | 2       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 3           | 3       | 2         |
| 3200 Obligated balance, end of year .....             | 3           | 2       |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 5           | 5       | 5         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 4           | 4       | 4         |
| 4101 Outlays from mandatory balances .....            | 1           | 2       | 3         |
| 4110 Outlays, gross (total) .....                     | 5           | 6       | 7         |
| 4180 Budget authority, net (total) .....              | 5           | 5       | 5         |
| 4190 Outlays, net (total) .....                       | 5           | 6       | 7         |

The Federal Lands Recreation Enhancement Act (FLREA) was passed on December 8, 2004, as part of the Omnibus Appropriations bill for 2005. Approximately 164 U.S. Fish and Wildlife Service sites collect entrance fees and other receipts. All receipts are deposited into a recreation fee account of which at least 80 percent is returned to the collecting site.

The recreation fee program demonstrates the feasibility of user generated cost recovery for the operation and maintenance of recreation areas, visitor services improvements, and habitat enhancement projects on federal lands. Fees are used primarily at the site to improve visitor access, enhance public safety and security, address maintenance needs, enhance resource protection, and cover the costs of collection. Recreation fees are often used

at U.S. Fish and Wildlife Service sites to fund student interns and for various youth programs focusing on hunting, fishing, wildlife observation, wildlife photography, environmental education, and environmental interpretation. FLREA authorizes this program through 2014.

The Administration proposes to permanently reauthorize the Department of the Interior's and the Department of Agriculture's recreation fee programs under the Federal Lands Recreation Enhancement Act, which is set to expire on December 8, 2014.

## Object Classification (in millions of dollars)

| Identification code 14–5252–0–2–303                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 1           | 1       | 1         |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 99.0 Direct obligations .....                            | 4           | 4       | 4         |
| 99.5 Below reporting threshold .....                     | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 5           | 5       | 5         |

## Employment Summary

| Identification code 14–5252–0–2–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 35          | 32      | 32        |

## FEDERAL AID IN WILDLIFE RESTORATION

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5029–0–2–303  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 384         | 556     | 595       |
| Receipts:  |             |         |           |
| 0200 Excise Taxes, Federal Aid to Wildlife Restoration Fund .....            | 556         | 595     | 559       |
| 0240 Earnings on Investments, Federal Aid to Wildlife Restoration Fund ..... | 14          | 15      | 16        |
| 0299 Total receipts and collections .....                                    | 570         | 610     | 575       |
| 0400 Total: Balances and collections .....                                   | 954         | 1,166   | 1,170     |
| Appropriations:  |             |         |           |
| 0500 Federal Aid in Wildlife Restoration .....                               | –398        | –571    | –611      |
| 0799 Balance, end of year .....  | 556         | 595     | 559       |

## Program and Financing (in millions of dollars)

| Identification code 14–5029–0–2–303                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0003 Multi-state conservation grant program .....      | 4           | 3       | 3         |
| 0004 Administration .....                              | 10          | 10      | 11        |
| 0005 Wildlife restoration grants .....                 | 371         | 518     | 573       |
| 0006 NAWCF (interest used for grants) .....            | 17          | 14      | 15        |
| 0007 Section 10 hunter education .....                 | 8           | 8       | 8         |
| 0900 Total new obligations .....                       | 410         | 553     | 610       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 147         | 167     | 205       |
| 1021 Recoveries of prior year unpaid obligations ..... | 32          | 20      | 20        |
| 1050 Unobligated balance (total) .....                 | 179         | 187     | 225       |
| Budget authority:                                      |             |         |           |
| Appropriations, mandatory:                             |             |         |           |
| 1201 Appropriation (special or trust fund) .....       | 398         | 571     | 611       |
| 1260 Appropriations, mandatory (total) .....           | 398         | 571     | 611       |
| 1930 Total budgetary resources available .....         | 577         | 758     | 836       |

|   |  |      |      |      |
|---|--|------|------|------|
| Memorandum (non-add) entries:             |  |      |      |      |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 167  | 205  | 226  |
| <b>Change in obligated balance:</b>       |  |      |      |      |
| Unpaid obligations:                       |  |      |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 394  | 395  | 461  |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 410  | 553  | 610  |
| 3020                                      | Outlays (gross) .....  | -377 | -467 | -544 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -32  | -20  | -20  |
| 3050                                      | Unpaid obligations, end of year .....                        | 395  | 461  | 507  |
| Memorandum (non-add) entries:             |  |      |      |      |
| 3100                                      | Obligated balance, start of year .....                       | 394  | 395  | 461  |
| 3200                                      | Obligated balance, end of year .....                         | 395  | 461  | 507  |
| <b>Budget authority and outlays, net:</b> |  |      |      |      |
| Mandatory:                                |  |      |      |      |
| 4090                                      | Budget authority, gross .....                                | 398  | 571  | 611  |
| Outlays, gross:                           |  |      |      |      |
| 4100                                      | Outlays from new mandatory authority .....                   | 118  | 171  | 183  |
| 4101                                      | Outlays from mandatory balances .....                        | 259  | 296  | 361  |
| 4110                                      | Outlays, gross (total) .....                                 | 377  | 467  | 544  |
| 4180                                      | Budget authority, net (total) .....                          | 398  | 571  | 611  |
| 4190                                      | Outlays, net (total) .....                                   | 377  | 467  | 544  |
| <b>Memorandum (non-add) entries:</b>      |  |      |      |      |
| 5000                                      | Total investments, SOY: Federal securities: Par value .....  | 913  | 735  | 839  |
| 5001                                      | Total investments, EOY: Federal securities: Par value .....  | 735  | 839  | 906  |

The Federal Aid in Wildlife Restoration Act (16 U.S.C. 669 et seq.), now known as the Pittman-Robertson Wildlife Restoration Act, created a program to fund the selection, restoration, rehabilitation and improvement of wildlife habitat, hunter education and safety, and wildlife management research. Under the program, States, Puerto Rico, Guam, the Virgin Islands, American Samoa, and the Northern Mariana Islands are allocated funds from an excise tax on sporting arms and ammunition, handguns, and a tax on certain archery equipment. States are reimbursed up to 75 percent of the cost of approved wildlife and hunter education projects.

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000 (P.L. 106-408) amends the Pittman-Robertson Wildlife Restoration Act and authorizes a Multistate Conservation Grant Program, as well as a firearm and bow hunter education and safety enhancement program that provides grants to the States.

The North American Wetlands Conservation Act (16 U.S.C. 4407) amends the Pittman-Robertson Wildlife Restoration Act and requires the Secretary of the Treasury to invest the portion of the fund not required for current year spending in interest-bearing obligations to be available for wetlands conservation projects.

#### Object Classification (in millions of dollars)

|                                     |   |     |     |     |
|-------------------------------------|---|-----|-----|-----|
| Identification code 14-5029-0-2-303 |   |     |     |     |
| Direct obligations:                 |   |     |     |     |
| 11.1                                | Personnel compensation: Full-time permanent .....   | 5   | 5   | 5   |
| 12.1                                | Civilian personnel benefits .....                   | 2   | 2   | 2   |
| 23.1                                | Rental payments to GSA .....                        | 1   | 1   | 1   |
| 25.1                                | Advisory and assistance services .....              | 1   |     |     |
| 25.3                                | Other goods and services from Federal sources ..... | 4   | 2   | 2   |
| 25.7                                | Operation and maintenance of equipment .....        |     | 1   | 1   |
| 32.0                                | Land and structures .....                           | 1   |     |     |
| 41.0                                | Grants, subsidies, and contributions .....          | 396 | 540 | 597 |
| 99.0                                | Direct obligations .....                            | 410 | 551 | 608 |
| 99.5                                | Below reporting threshold .....                     |     | 2   | 2   |
| 99.9                                | Total new obligations .....                         | 410 | 553 | 610 |

#### Employment Summary

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 14-5029-0-2-303 |   | 2012 actual | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... | 53          | 53      | 53        |

#### COASTAL IMPACT ASSISTANCE

##### Program and Financing (in millions of dollars)

|   |   |     |      |      |
|---|---|-----|------|------|
| Identification code 14-5579-0-2-306       |   |     |      |      |
| <b>Obligations by program activity:</b>   |   |     |      |      |
| 0001                                      | Administration .....  | 3   | 3    | 3    |
| 0002                                      | Grants to States .....  | 480 | 85   |      |
| 0900                                      | Total new obligations .....                                     | 483 | 88   | 3    |
| <b>Budgetary Resources:</b>               |   |     |      |      |
| Unobligated balance:                      |   |     |      |      |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....                |     | 102  | 14   |
| 1011                                      | Unobligated balance transfer from other accts [14-5572] ....    | 585 |      |      |
| 1050                                      | Unobligated balance (total) .....                               | 585 | 102  | 14   |
| 1930                                      | Total budgetary resources available .....                       | 585 | 102  | 14   |
| Memorandum (non-add) entries:             |   |     |      |      |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 102 | 14   | 11   |
| <b>Change in obligated balance:</b>       |   |     |      |      |
| Unpaid obligations:                       |   |     |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                |     | 578  | 544  |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 483 | 88   | 3    |
| 3020                                      | Outlays (gross) .....   | -85 | -122 | -130 |
| 3031                                      | Unpaid obligations transferred from other accts [14-5572] ..... | 180 |      |      |
| 3050                                      | Unpaid obligations, end of year .....                           | 578 | 544  | 417  |
| Memorandum (non-add) entries:             |   |     |      |      |
| 3100                                      | Obligated balance, start of year .....                          |     | 578  | 544  |
| 3200                                      | Obligated balance, end of year .....                            | 578 | 544  | 417  |
| <b>Budget authority and outlays, net:</b> |   |     |      |      |
| Mandatory:                                |   |     |      |      |
| Outlays, gross:                           |   |     |      |      |
| 4101                                      | Outlays from mandatory balances .....                           | 85  | 122  | 130  |
| 4190                                      | Outlays, net (total) .....                                      | 85  | 122  | 130  |

The Energy Policy Act of 2005 (P.L. 109-58) amends section 31 of the Outer Continental Shelf (OCS) Lands Act (43 U.S.C. 1356 et seq.) to require that for each of the fiscal years 2007 through 2010, \$250,000,000 in OCS revenues be distributed annually to coastal States that have submitted approved coastal impact assistance plans. The formula for distribution is based on the amount of qualified OCS revenues generated off the coastline of each producing State. In addition, 35 percent of each State's allocable share is to be distributed to coastal political subdivisions based on population, coastline, and distance to applicable OCS leases. In fiscal year 2011, this program was transferred from the Bureau of Ocean Energy Management, Regulation, and Enforcement to the U.S. Fish and Wildlife Service.

#### Object Classification (in millions of dollars)

|                                     |   |     |    |   |
|-------------------------------------|---|-----|----|---|
| Identification code 14-5579-0-2-306 |   |     |    |   |
| Direct obligations:                 |   |     |    |   |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 1   | 2  | 2 |
| 25.2                                | Other services from non-Federal sources .....     | 1   | 1  | 1 |
| 41.0                                | Grants, subsidies, and contributions .....        | 480 | 85 |   |
| 99.0                                | Direct obligations .....                          | 482 | 88 | 3 |
| 99.5                                | Below reporting threshold .....                   | 1   |    |   |
| 99.9                                | Total new obligations .....                       | 483 | 88 | 3 |

COASTAL IMPACT ASSISTANCE—Continued  
Employment Summary

| Identification code 14–5579–0–2–306                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 11          | 15      | 15        |

## MISCELLANEOUS PERMANENT APPROPRIATIONS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–9927–0–2–302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Rents and Charges for Quarters, Fish and Wildlife Service .....             | 3           | 3       | 3         |
| 0221 Gifts, Community Partnership Enhancement .....                              |             | 1       | 1         |
| 0240 Transfer from Lahontan Valley and Pyramid Lake Fish and Wildlife Fund ..... |             |         | 1         |
| Adjustments:   |             |         |           |
| 0290 Adjustment - receipts rounding issue .....                                  | 1           |         |           |
| 0299 Total receipts and collections .....  | 4           | 4       | 5         |
| 0400 Total: Balances and collections .....                                       | 4           | 4       | 5         |
| Appropriations:  |             |         |           |
| 0500 Miscellaneous Permanent Appropriations .....                                | –4          | –4      | –4        |
| 0799 Balance, end of year .....  |             |         | 1         |

## Program and Financing (in millions of dollars)

| Identification code 14–9927–0–2–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Miscellaneous Permanents .....                   | 3           | 4       | 4         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 5           | 6       | 6         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 4           | 4       | 4         |
| 1260 Appropriations, mandatory (total) .....          | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....        | 9           | 10      | 10        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 6           | 6       | 6         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 4       | 4         |
| 3020 Outlays (gross) .....                            | –4          | –4      | –4        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 4           | 4       | 4         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 1           | 1       | 1         |
| 4101 Outlays from mandatory balances .....            | 3           | 3       | 3         |
| 4110 Outlays, gross (total) .....                     | 4           | 4       | 4         |
| 4180 Budget authority, net (total) .....              | 4           | 4       | 4         |
| 4190 Outlays, net (total) .....                       | 4           | 4       | 4         |

*Operation and maintenance of quarters.*—Revenue from rental of Government quarters is deposited in this account for use in the operation and maintenance of such quarters for the U.S. Fish and Wildlife Service, pursuant to Public Law 98–473, Section 320; 98 Stat. 1874, as amended.

*Proceeds from sales, water resources development projects.*—Receipts collected from the sale of timber and crops from National Wildlife Refuge System lands leased or licensed from the Department of the Army may be used to pay the costs of production of the timber and crops and for managing wildlife habitat, 16 U.S.C. 460(d).

*Lahontan Valley and Pyramid Lake Fish and Wildlife Fund.*—Under the Truckee-Carson Pyramid Lake Water Rights Settlement Act of 1990, as amended, the Lahontan Valley and Pyramid Lake Fish and Wildlife Fund receives revenues and donations from non-Federal parties to support the restoration and enhancement of wetlands in the Lahontan Valley and to restore and protect the Pyramid Lake fishery. Revenues received from the Bureau of Reclamation's Washoe Project in excess of operation and maintenance costs for Stampede Reservoir are available without further appropriation. Donations made for express purposes and State cost-sharing funds are available without further appropriation. The Secretary of the Interior is also authorized to deposit proceeds from the sale of certain lands and interests in lands into the Pyramid Lake Fish and Wildlife Fund.

*Community Partnership Enhancement.*—Under the National Wildlife Refuge System Volunteer and Community Partnership Enhancement Act of 1998 (Public Law 105–242, dated October 5, 1998), the Service is authorized to enter into cooperative agreements with nonprofit organizations, academic institutions, or State and local governments to construct, operate, maintain, or improve refuge facilities and services, and to promote volunteer outreach and education programs.

## Object Classification (in millions of dollars)

| Identification code 14–9927–0–2–302                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities ..... | 1           | 2       | 2         |
| 26.0 Supplies and materials .....                  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                   | 3           | 4       | 4         |

## Employment Summary

| Identification code 14–9927–0–2–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 5           | 5       | 5         |

## UNITED STATES FISH AND WILDLIFE SERVICE—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

The Department of Agriculture: Forest Service: "Forest Pest Management."  
The Department of Transportation: Federal Highway Administration: "Federal-Aid Highways."  
The Department of the Interior: Bureau of Land Management: "Southern Nevada Public Lands Management", "Energy Policy Act - Permit Processing."  
The Department of the Interior: Department-wide Programs: "Wildland Fire Management", "Natural Resource Damage Assessment and Restoration Fund" and "Central Hazardous Materials Fund."

## Trust Funds

## SPORT FISH RESTORATION

## Program and Financing (in millions of dollars)

| Identification code 14–8151–0–7–303                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| 0001 Payments to States for sport fish restoration .....  | 394         | 402     | 383       |
| 0003 North American wetlands conservation grants .....    | 18          | 18      | 16        |
| 0004 Coastal wetlands conservation grants .....           | 15          | 16      | 16        |
| 0005 Clean Vessel Act- pumpout stations grants .....      | 12          | 13      | 12        |
| 0006 Administration .....                                 | 11          | 11      | 11        |
| 0007 National communication & outreach .....              | 12          | 13      | 12        |
| 0008 Non-trailerable recreational vessel access .....     | 10          | 13      | 12        |
| 0009 Multi-State conservation grants .....                | 3           | 3       | 3         |
| 0010 Marine Fisheries Commissions & Boating Council ..... | 1           | 1       | 1         |
| 0900 Total new obligations .....                          | 476         | 490     | 466       |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Unobligated balance:                                      |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....     | 222         | 228     | 232       |

|   |  |      |      |      |
|---|--|------|------|------|
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 48   | 32   | 32   |
| 1050                                      | Unobligated balance (total) .....                            | 270  | 260  | 264  |
| Budget authority:                         |  |      |      |      |
| Appropriations, mandatory:                |  |      |      |      |
| 1201                                      | Appropriation (special or trust fund) .....                  | 626  | 668  | 607  |
| 1220                                      | Appropriations transferred to other accts [96–8333] .....    | –79  | –85  | –77  |
| 1220                                      | Appropriations transferred to other accts [70–8149] .....    | –113 | –121 | –109 |
| 1260                                      | Appropriations, mandatory (total) .....                      | 434  | 462  | 421  |
| 1930                                      | Total budgetary resources available .....                    | 704  | 722  | 685  |
| Memorandum (non-add) entries:             |  |      |      |      |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 228  | 232  | 219  |
| <b>Change in obligated balance:</b>       |  |      |      |      |
| Unpaid obligations:                       |  |      |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 516  | 517  | 515  |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 476  | 490  | 466  |
| 3020                                      | Outlays (gross) .....  | –427 | –460 | –427 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | –48  | –32  | –32  |
| 3050                                      | Unpaid obligations, end of year .....                        | 517  | 515  | 522  |
| Memorandum (non-add) entries:             |  |      |      |      |
| 3100                                      | Obligated balance, start of year .....                       | 516  | 517  | 515  |
| 3200                                      | Obligated balance, end of year .....                         | 517  | 515  | 522  |
| <b>Budget authority and outlays, net:</b> |  |      |      |      |
| Mandatory:                                |  |      |      |      |
| 4090                                      | Budget authority, gross .....                                | 434  | 462  | 421  |
| Outlays, gross:                           |  |      |      |      |
| 4100                                      | Outlays from new mandatory authority .....                   | 130  | 139  | 126  |
| 4101                                      | Outlays from mandatory balances .....                        | 297  | 321  | 301  |
| 4110                                      | Outlays, gross (total) .....                                 | 427  | 460  | 427  |
| 4180                                      | Budget authority, net (total) .....                          | 434  | 462  | 421  |
| 4190                                      | Outlays, net (total) .....                                   | 427  | 460  | 427  |

The Federal Aid in Sport Fish Restoration Act, now known as the Dingell-Johnson Sport Fish Restoration Act (16 U.S.C. 777 et seq.), created a fishery resources, conservation, and restoration program funded by excise taxes on fishing equipment and certain other sport fish related products.

The Wildlife and Sport Fish Restoration Programs Improvement Act of 2000 (P.L. 106–408) amends the Dingell-Johnson Sport Fish Restoration Act and authorizes a Multistate Conservation Grant Program. It also provides funding for several fisheries commissions and the Sport Fishing and Boating Partnership Council.

The Sport Fish Restoration Act specifies that the net deposits made into the Sport Fish Restoration and Boating Safety Trust Fund, minus the distributions for administrative expenses, special commissions, the Boating Council, and Multistate Grants, be distributed to support the following:

*The Coastal Wetlands Planning, Protection, and Restoration Act (16 U.S.C. 3951 et seq.).*—18.5 percent of net deposits, or amounts collected in small engine fuels excise taxes as provided by 26 U.S.C. 9504(b), whichever is greater, are to be made available and distributed as follows: 70 percent to the Army Corps of Engineers for priority project and conservation planning activities in Louisiana; 15 percent to the U.S. Fish and Wildlife Service for coastal wetlands conservation grants; and 15 percent to the U.S. Fish and Wildlife Service for wetlands conservation projects per the North American Wetlands Conservation Act (16 U.S.C. 4407).

*Boating Safety Programs.*—18.5 percent of net deposits are to be made available to the Department of Homeland Security (United States Coast Guard) for State recreational boating safety programs.

*The Clean Vessel Act.*—2 percent of net deposits are to be made available to the Secretary of the Interior for grants to States, as determined through a competitive award process, to carry out projects for the construction, renovation, operation, and maintenance of pumpout stations and waste reception facilities.

*National Outreach and Communications Programs.*—2 percent of net deposits are to be made available to the Secretary of the Interior to develop national and State outreach plans to promote safe fishing and boating opportunities and the conservation of aquatic resources.

*Boating Infrastructure Programs.*—2 percent of net deposits are to be made available to the Secretary of Interior to make grants to States, as determined through a competitive award process, for the development and maintenance of facilities for transient non-trailerable recreational vessels.

The remaining 57 percent is provided to the States, Puerto Rico, Guam, the Virgin Islands, American Samoa, the Northern Mariana Islands, and the District of Columbia for up to 75 percent of the cost of approved projects including: research into fisheries problems, surveys and inventories of fish populations, acquisition and improvement of fish habitat, and provision of access for public use.

#### Object Classification (in millions of dollars)

| Identification code 14–8151–0–7–303                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 6           | 6       | 6         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 1           |         |           |
| 25.2 Other services from non-Federal sources .....       | 1           |         |           |
| 25.3 Other goods and services from Federal sources ..... | 4           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....        |             | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 461         | 479     | 455       |
| 99.9 Total new obligations .....                         | 476         | 490     | 466       |

#### Employment Summary

| Identification code 14–8151–0–7–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 60          | 60      | 60        |

#### CONTRIBUTED FUNDS

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–8216–0–7–302                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                 |             |         |           |
| Receipts:   |             |         |           |
| 0220 Deposits, Contributed Funds, Fish and Wildlife Service ..... | 3           | 3       | 3         |
| 0400 Total: Balances and collections .....                        | 3           | 3       | 3         |
| Appropriations:   |             |         |           |
| 0500 Contributed Funds .....                                      | –3          | –3      | –3        |
| 0799 Balance, end of year .....                                   |             |         |           |

##### Program and Financing (in millions of dollars)

| Identification code 14–8216–0–7–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Direct program activity .....                    | 3           | 5       | 5         |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 6           | 6       | 4         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 3           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....          | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 9           | 9       | 7         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 6           | 4       | 2         |

CONTRIBUTED FUNDS—Continued  
Program and Financing—Continued

| Identification code 14–8216–0–7–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 5       | 5         |
| 3020 Outlays (gross) .....                            | –4          | –5      | –4        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 3           | 3       | 3         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 1       | 1         |
| 4101 Outlays from mandatory balances .....            | 4           | 4       | 3         |
| 4110 Outlays, gross (total) .....                     | 4           | 5       | 4         |
| 4180 Budget authority, net (total) .....              | 3           | 3       | 3         |
| 4190 Outlays, net (total) .....                       | 4           | 5       | 4         |

Donated funds support activities such as endangered species projects, refuge and fishery operations and maintenance, migratory bird conservation projects and invasive species mitigation projects.

## Object Classification (in millions of dollars)

| Identification code 14–8216–0–7–302                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     |             | 1       | 1         |
| 11.3 Other than full-time permanent .....          | 1           |         |           |
| 11.9 Total personnel compensation .....            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources ..... |             | 1       | 1         |
| 26.0 Supplies and materials .....                  |             | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....    |             | 1       | 1         |
| 99.0 Direct obligations .....                      | 1           | 4       | 4         |
| 99.5 Below reporting threshold .....               | 2           | 1       | 1         |
| 99.9 Total new obligations .....                   | 3           | 5       | 5         |

## Employment Summary

| Identification code 14–8216–0–7–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 16          | 18      | 18        |

## ADMINISTRATIVE PROVISIONS

*The United States Fish and Wildlife Service may carry out the operations of Service programs by direct expenditure, contracts, grants, cooperative agreements and reimbursable agreements with public and private entities. Appropriations and funds available to the United States Fish and Wildlife Service shall be available for repair of damage to public roads within and adjacent to reservation areas caused by operations of the Service; options for the purchase of land at not to exceed \$1 for each option; facilities incident to such public recreational uses on conservation areas as are consistent with their primary purpose; and the maintenance and improvement of aquaria, buildings, and other facilities under the jurisdiction of the Service and to which the United States has title, and which are used pursuant to law in connection with management, and investigation of fish and wildlife resources: Provided, That notwithstanding 44 U.S.C. 501, the Service may, under cooperative cost sharing and partnership arrangements authorized by law, procure printing services from cooperators in connection with jointly produced publications for which the cooperators share at least one-half the cost of printing either in cash or services and the Service determines the cooperator is capable of meeting accepted quality standards:*

*Provided further, That the Service may accept donated aircraft as replacements for existing aircraft.*

## NATIONAL PARK SERVICE

## Federal Funds

## OPERATION OF THE NATIONAL PARK SYSTEM

*For expenses necessary for the management, operation, and maintenance of areas and facilities administered by the National Park Service and for the general administration of the National Park Service, \$2,284,920,000, of which \$9,917,000 for planning and interagency coordination in support of Everglades restoration and \$73,040,000 for maintenance, repair, or rehabilitation projects for constructed assets shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–1036–0–1–303                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Park management .....   | 2,066       | 2,071   | 2,132     |
| 0002 External administrative costs .....                           | 168         | 173     | 171       |
| 0799 Total direct obligations .....                                | 2,234       | 2,244   | 2,303     |
| 0801 Reimbursable program .....                                    | 28          | 28      | 28        |
| 0900 Total new obligations .....                                   | 2,262       | 2,272   | 2,331     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 42          | 43      | 52        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | 1       | 1         |
| 1050 Unobligated balance (total) .....                             | 43          | 44      | 53        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 2,240       | 2,250   | 2,285     |
| 1130 Appropriations permanently reduced .....                      | –4          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 2,236       | 2,250   | 2,285     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 30          | 30      | 30        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 30          | 30      | 30        |
| 1900 Budget authority (total) .....                                | 2,266       | 2,280   | 2,315     |
| 1930 Total budgetary resources available .....                     | 2,309       | 2,324   | 2,368     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –4          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 43          | 52      | 37        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 538         | 471     | 494       |
| 3010 Obligations incurred, unexpired accounts .....                | 2,262       | 2,272   | 2,331     |
| 3011 Obligations incurred, expired accounts .....                  | 32          |         |           |
| 3020 Outlays (gross) .....   | –2,340      | –2,248  | –2,307    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1          | –1      | –1        |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –20         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 471         | 494     | 517       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 538         | 471     | 494       |
| 3200 Obligated balance, end of year .....                          | 471         | 494     | 517       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 2,266       | 2,280   | 2,315     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 1,877       | 1,734   | 1,760     |
| 4011 Outlays from discretionary balances .....                     | 463         | 514     | 547       |
| 4020 Outlays, gross (total) .....                                  | 2,340       | 2,248   | 2,307     |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –30         | –30     | –30       |
| 4180 Budget authority, net (total) .....                           | 2,236       | 2,250   | 2,285     |
| 4190 Outlays, net (total) .....                                    | 2,310       | 2,218   | 2,277     |



The National Park Service administers 398 areas and 84.4 million acres of land in 49 States, the District of Columbia, Puerto Rico, the U.S. Virgin Islands, Guam, Samoa, and the Northern Marianas. In 2012, park visits totaled 287 million. This annual appropriation funds the operation of individual units of the National Park System through two budget activities. Funds within this appropriation are available for one year, with the exception of repair and rehabilitation funds and funds for the cooperative restoration of the Everglades, which are both funded for two years.

The first activity, Park Management, covers the management and operation of park areas, and is divided into five subactivities that represent functional areas:

**Resources stewardship.**—Encompasses resource management operations that provide for the protection and preservation of the unique natural, cultural, and historical features of units in the National Park System.

**Visitor services.**—Includes operations that provide orientation, educational, and interpretive programs to enhance the visitor's park experience. It also provides for the efficient management of concession contracts, commercial use authorizations, and franchise fees for the benefit of visitors and the protection of resources.

**Park protection.**—Provides for the protection of park resources, visitors, and staff. Funding supports law enforcement operations that reduce vandalism and other destruction of park resources, safety and public health operations, and the operations of the United States Park Police.

**Facility operations and maintenance.**—Encompasses the maintenance and protection of buildings, other facilities, lands required to accommodate visitor use, and other government investments.

**Park support.**—Covers the management, supervision, and administrative operations for park areas and partnerships.

The second activity, External Administrative Costs, funds costs which are largely determined by organizations outside the National Park Service and for which funding requirements are therefore less flexible. The requirements for these costs are mandated in accordance with applicable laws. To promote the efficient performance of the National Park Service, these costs are most effectively managed on a centralized basis.

#### Object Classification (in millions of dollars)

| Identification code 14–1036–0–1–303                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 895         | 897     | 904       |
| 11.3 Other than full-time permanent .....                       | 143         | 141     | 143       |
| 11.5 Other personnel compensation .....                         | 50          | 51      | 59        |
| 11.8 Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 1,089       | 1,090   | 1,107     |
| 12.1 Civilian personnel benefits .....                          | 345         | 349     | 353       |
| 21.0 Travel and transportation of persons .....                 | 30          | 32      | 32        |
| 22.0 Transportation of things .....                             | 25          | 25      | 25        |
| 23.1 Rental payments to GSA .....                               | 60          | 67      | 69        |
| 23.2 Rental payments to others .....                            | 1           | 1       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 79          | 79      | 79        |
| 24.0 Printing and reproduction .....                            | 7           | 7       | 7         |
| 25.1 Advisory and assistance services .....                     | 5           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 340         | 304     | 333       |
| 25.3 Other goods and services from Federal sources .....        | 6           | 6       | 6         |
| 25.4 Operation and maintenance of facilities .....              | 20          | 20      | 20        |
| 25.6 Medical care .....   | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 7           | 7       | 8         |
| 25.8 Subsistence and support of persons .....                   | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 116         | 122     | 122       |
| 31.0 Equipment .....  | 27          | 54      | 54        |
| 32.0 Land and structures .....                                  | 16          | 16      | 20        |
| 41.0 Grants, subsidies, and contributions .....                 | 59          | 59      | 59        |
| 99.0 Direct obligations .....                                   | 2,234       | 2,244   | 2,303     |

|      |                                |       |       |       |
|------|--------------------------------|-------|-------|-------|
| 99.0 | Reimbursable obligations ..... | 28    | 28    | 28    |
| 99.9 | Total new obligations .....    | 2,262 | 2,272 | 2,331 |

#### Employment Summary

| Identification code 14–1036–0–1–303                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 17,015      | 16,869  | 16,916    |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 304         | 304     | 304       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 805         | 758     | 692       |

#### PARK PARTNERSHIP PROJECT GRANTS

##### Program and Financing (in millions of dollars)

| Identification code 14–2645–0–1–303                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Park Partnership Projects .....                  | 1           |         |           |
| 0900 Total new obligations (object class 25.2) .....  | 1           |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           |         |           |
| 1930 Total budgetary resources available .....        | 1           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 12          | 7       | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 1           |         |           |
| 3020 Outlays (gross) .....                            | –6          | –3      | –2        |
| 3050 Unpaid obligations, end of year .....            | 7           | 4       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 12          | 7       | 4         |
| 3200 Obligated balance, end of year .....             | 7           | 4       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 6           | 3       | 2         |
| 4190 Outlays, net (total) .....                       | 6           | 3       | 2         |

Park Partnership funds are used to complete projects with partner donations. All Federal funds must be matched on a 50/50 basis, derived from non-Federal sources in the form of cash, assets, or a pledge of donation guaranteed by an irrevocable letter of credit. Grants are administered under the existing NPS partnership authority. No funds are proposed in FY 2014.

#### UNITED STATES PARK POLICE

The United States Park Police funding is now included within the Operation of the National Park System appropriation. Minimal balances remain in this account.

#### NATIONAL RECREATION AND PRESERVATION

*For expenses necessary to carry out recreation programs, natural programs, cultural programs, heritage partnership programs, environmental compliance and review, international park affairs, and grant administration, not otherwise provided for, \$52,035,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## NATIONAL RECREATION AND PRESERVATION—Continued

## Program and Financing (in millions of dollars)

| Identification code 14–1042–0–1–303      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Recreation programs .....           | 1           | 1       | 1         |
| 0002 Natural programs .....              | 13          | 13      | 13        |
| 0003 Cultural programs .....             | 25          | 25      | 25        |
| 0005 Grant administration .....          | 2           | 2       | 2         |
| 0006 International park affairs .....    | 2           | 2       | 2         |
| 0008 Heritage partnership programs ..... | 17          | 17      | 9         |
| 0799 Total direct obligations .....      | 60          | 60      | 52        |
| 0801 Reimbursable program .....          | 1           | 1       | 1         |
| 0900 Total new obligations .....         | 61          | 61      | 53        |

**Budgetary Resources:**

|  |    |    |    |
|--|----|----|----|
| Budget authority:  |    |    |    |
| Appropriations, discretionary:                                     |    |    |    |
| 1100 Appropriation .....   | 60 | 60 | 52 |
| 1160 Appropriation, discretionary (total) .....                    | 60 | 60 | 52 |
| Spending authority from offsetting collections, discretionary:     |    |    |    |
| 1700 Collected .....   | 1  | 1  | 1  |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1  | 1  | 1  |
| 1900 Budget authority (total) .....                                | 61 | 61 | 53 |
| 1930 Total budgetary resources available .....                     | 61 | 61 | 53 |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 49  | 46  | 47  |
| 3010 Obligations incurred, unexpired accounts .....             | 61  | 61  | 53  |
| 3020 Outlays (gross) .....                                      | –63 | –60 | –59 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 46  | 47  | 41  |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 49  | 46  | 47  |
| 3200 Obligated balance, end of year .....                       | 46  | 47  | 41  |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 61 | 61 | 53 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 36 | 40 | 35 |
| 4011 Outlays from discretionary balances .....      | 27 | 20 | 24 |
| 4020 Outlays, gross (total) .....                   | 63 | 60 | 59 |
| Offsets against gross budget authority and outlays: |    |    |    |
| Offsetting collections (collected) from:            |    |    |    |
| 4030 Federal sources .....                          | –1 | –1 | –1 |
| 4180 Budget authority, net (total) .....            | 60 | 60 | 52 |
| 4190 Outlays, net (total) .....                     | 62 | 59 | 58 |

The National Recreation and Preservation appropriation covers a broad range of activities relating to outdoor recreation planning, preservation of natural, cultural and historic resources, and environmental compliance. These programs provide a central point at the Federal level for recreation and preservation planning; the coordination of Federal and State policies, procedures and guidelines; and the administration of technical and financial assistance to Federal, State, and local governments and private organizations. Support is provided to the National Historic Preservation Program to develop a national inventory of historic properties, set standards for historic preservation, and provide technical and financial preservation assistance. Staff resources are also provided to coordinate a number of international assistance programs.

## Object Classification (in millions of dollars)

| Identification code 14–1042–0–1–303       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                       |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 20          | 20      | 20        |
| 11.3 Other than full-time permanent ..... | 3           | 3       | 3         |
| 11.9 Total personnel compensation .....   | 23          | 23      | 23        |

|  |    |    |    |
|--|----|----|----|
| 12.1 Civilian personnel benefits .....             | 7  | 7  | 7  |
| 21.0 Travel and transportation of persons .....    | 1  | 1  | 1  |
| 25.2 Other services from non-Federal sources ..... | 3  | 3  | 3  |
| 26.0 Supplies and materials .....                  | 1  | 1  | 1  |
| 41.0 Grants, subsidies, and contributions .....    | 25 | 25 | 17 |
| 99.0 Direct obligations .....                      | 60 | 60 | 52 |
| 99.0 Reimbursable obligations .....                | 1  | 1  | 1  |
| 99.9 Total new obligations .....                   | 61 | 61 | 53 |

## Employment Summary

| Identification code 14–1042–0–1–303                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 265         | 264     | 262       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 7           | 7       | 7         |

## URBAN PARK AND RECREATION FUND

*For expenses necessary to carry out the Urban Park and Recreation Recovery Act of 1978 (16 U.S.C. 2501–2514), \$10,000,000, to remain available until expended, to be derived from the Land and Water Conservation Fund.*

## Program and Financing (in millions of dollars)

| Identification code 14–1031–0–1–303                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 UPARR Grants .....                              |             |         | 7         |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 7         |

**Budgetary Resources:**

|   |  |  |    |
|---|--|--|----|
| Budget authority:                                     |  |  |    |
| Appropriations, discretionary:                        |  |  |    |
| 1100 Appropriation .....                              |  |  | 10 |
| 1160 Appropriation, discretionary (total) .....       |  |  | 10 |
| 1930 Total budgetary resources available .....        |  |  | 10 |
| Memorandum (non-add) entries:                         |  |  |    |
| 1941 Unexpired unobligated balance, end of year ..... |  |  | 3  |

**Change in obligated balance:**

|   |  |  |    |
|---|--|--|----|
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 7  |
| 3020 Outlays (gross) .....                          |  |  | –1 |
| 3050 Unpaid obligations, end of year .....          |  |  | 6  |
| Memorandum (non-add) entries:                       |  |  |    |
| 3200 Obligated balance, end of year .....           |  |  | 6  |

**Budget authority and outlays, net:**

|   |  |  |    |
|---|--|--|----|
| Discretionary:                                      |  |  |    |
| 4000 Budget authority, gross .....                  |  |  | 10 |
| Outlays, gross:                                     |  |  |    |
| 4010 Outlays from new discretionary authority ..... |  |  | 1  |
| 4180 Budget authority, net (total) .....            |  |  | 10 |
| 4190 Outlays, net (total) .....                     |  |  | 1  |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  |             |         | 10        |
| Outlays .....                           |             |         | 1         |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 5         |
| Total:                                  |             |         |           |
| Budget Authority .....                  |             |         | 15        |
| Outlays .....                           |             |         | 1         |

The Urban Park and Recreation Recovery Act of 1978 authorizes matching grants and technical assistance to eligible economically distressed urban communities to revitalize and improve recreation opportunities. The program provides direct Federal grants to local governments to rehabilitate existing indoor and outdoor recreation facilities; to demonstrate innovative ways to enhance

park and recreation opportunities; and to develop local Recovery Action Programs to identify needs, priorities and strategies for revitalization of the total recreation system.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs, including the Urban Park and Recreation Fund program, beginning in fiscal year 2014.

#### Employment Summary

| Identification code 14–1031–0–1–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             |         | 4         |

#### URBAN PARK AND RECREATION FUND (Legislative proposal, subject to PAYGO)

##### Program and Financing (in millions of dollars)

| Identification code 14–1031–4–1–303                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              |             |         | 3         |
| 0900 Total new obligations (object class 41.0) .....            |             |         | 3         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1221 Appropriations transferred from other accts [14–5005] .... |             |         | 5         |
| 1260 Appropriations, mandatory (total) .....                    |             |         | 5         |
| 1900 Budget authority (total) .....                             |             |         | 5         |
| 1930 Total budgetary resources available .....                  |             |         | 5         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           |             |         | 2         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....             |             |         | 3         |
| 3050 Unpaid obligations, end of year .....                      |             |         | 3         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3200 Obligated balance, end of year .....                       |             |         | 3         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              |             |         | 5         |
| 4180 Budget authority, net (total) .....                        |             |         | 5         |

#### CONSTRUCTION

*For construction, improvements, repair, or replacement of physical facilities, including modifications authorized by section 104 of the Everglades National Park Protection and Expansion Act of 1989 (16 U.S.C. 410r-8), \$159,961,000, to remain available until expended: Provided, That, notwithstanding any other provision of law, a single procurement for the irrigation project on the National Mall may be issued which includes the full scope of the project: Provided further, That the solicitation and contract in the preceding proviso shall contain the clause "availability of appropriated funds" found at 48 CFR 52.232–18.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

##### Program and Financing (in millions of dollars)

| Identification code 14–1039–0–1–303               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>           |             |         |           |
| 0001 Line item construction and maintenance ..... | 82          | 184     | 217       |

|   |     |     |     |
|---|-----|-----|-----|
| 0002 Special programs .....                               | 17  | 20  | 21  |
| 0003 Construction planning .....                          | 9   | 8   | 8   |
| 0005 Construction program management and operations ..... | 35  | 39  | 37  |
| 0006 Management planning .....                            | 14  | 14  | 15  |
| 0799 Total direct obligations .....                       | 157 | 265 | 298 |
| 0801 Reimbursable program .....                           | 131 | 131 | 131 |
| 0900 Total new obligations .....                          | 288 | 396 | 429 |

##### Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 178 | 174 | 429 |
| 1021 Recoveries of prior year unpaid obligations .....             | 12  |     |     |
| 1050 Unobligated balance (total) .....                             | 190 | 174 | 429 |
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 160 | 508 | 160 |
| 1120 Appropriations transferred to other accts [14–1125] .....     | –16 |     |     |
| 1121 Appropriations transferred from other accts [14–1125] ....    |     | 16  |     |
| 1130 Appropriations permanently reduced .....                      | –4  | –4  |     |
| 1160 Appropriation, discretionary (total) .....                    | 140 | 520 | 160 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 158 | 131 | 131 |
| 1701 Change in uncollected payments, Federal sources .....         | –26 |     |     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 132 | 131 | 131 |
| 1900 Budget authority (total) .....                                | 272 | 651 | 291 |
| 1930 Total budgetary resources available .....                     | 462 | 825 | 720 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 174 | 429 | 291 |

##### Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 515  | 305  | 252  |
| 3010 Obligations incurred, unexpired accounts .....               | 288  | 396  | 429  |
| 3011 Obligations incurred, expired accounts .....                 | 4    |      |      |
| 3020 Outlays (gross) .....  | –487 | –449 | –502 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –12  |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –3   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 305  | 252  | 179  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –187 | –146 | –146 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 26   |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 15   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –146 | –146 | –146 |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 328  | 159  | 106  |
| 3200 Obligated balance, end of year .....                         | 159  | 106  | 33   |

##### Budget authority and outlays, net:

|   |      |      |      |
|---|------|------|------|
| Discretionary:  |      |      |      |
| 4000 Budget authority, gross .....                                  | 272  | 651  | 291  |
| Outlays, gross:   |      |      |      |
| 4010 Outlays from new discretionary authority .....                 | 1    | 253  | 129  |
| 4011 Outlays from discretionary balances .....                      | 486  | 196  | 373  |
| 4020 Outlays, gross (total) .....                                   | 487  | 449  | 502  |
| Offsets against gross budget authority and outlays:                 |      |      |      |
| Offsetting collections (collected) from:                            |      |      |      |
| 4030 Federal sources .....  | –173 | –131 | –131 |
| Additional offsets against gross budget authority only:             |      |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | 26   |      |      |
| 4052 Offsetting collections credited to expired accounts .....      | 15   |      |      |
| 4060 Additional offsets against budget authority only (total) ..... | 41   |      |      |
| 4070 Budget authority, net (discretionary) .....                    | 140  | 520  | 160  |
| 4080 Outlays, net (discretionary) .....                             | 314  | 318  | 371  |
| 4180 Budget authority, net (total) .....                            | 140  | 520  | 160  |
| 4190 Outlays, net (total) .....                                     | 314  | 318  | 371  |

The Construction appropriation is composed of five budget activities:

**Line item construction.**—This activity provides for the construction, rehabilitation, and replacement of facilities needed to accomplish the management objectives approved for each park. Projects are categorized as facility improvement, utility systems rehabilitation, historic preservation, or natural resource preservation.

**Special programs.**—This activity includes Emergency and Un-scheduled Projects, the Seismic Safety of National Park System

## CONSTRUCTION—Continued

Buildings Program, Employee Housing, Dam Safety, and Equipment Replacement.

**Construction planning.**—This activity includes the project planning function in which funds are used to prepare working drawings, specification documents, and contracts needed to construct or rehabilitate National Park Service facilities.

**Construction program management and operations.**—This activity complies with the National Academy of Public Administration recommendations to base fund construction program management through offices in Washington, D.C. and Denver, CO. The NPS design center located in Harpers Ferry, WV also is funded under this activity.

**Management planning.**—Under this activity, funding is used to prepare and update Unit Management Plans. The plans guide National Park Service actions for the protection, use, development, and management of each park unit. Funding also is used to conduct studies of alternatives for the protection of areas that may have potential for addition to the National Park System and for environmental impact planning and compliance.

## Object Classification (in millions of dollars)

| Identification code 14–1039–0–1–303   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....  | 29          | 29      | 29        |
| 11.3 Other than full-time permanent .....                                       | 6           | 8       | 9         |
| 11.5 Other personnel compensation .....   | 1           | 1       | 2         |
| 11.9 Total personnel compensation .....   | 36          | 38      | 40        |
| 12.1 Civilian personnel benefits .....  | 10          | 11      | 12        |
| 21.0 Travel and transportation of persons .....                                 | 2           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges .....                 | 2           |         |           |
| 24.0 Printing and reproduction .....  | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                                     | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....                              | 45          | 134     | 164       |
| 25.3 Other goods and services from Federal sources .....                        | 1           |         |           |
| 25.4 Operation and maintenance of facilities .....                              | 2           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....                               |             | 2       | 2         |
| 26.0 Supplies and materials .....   | 3           | 7       | 7         |
| 31.0 Equipment .....  | 14          | 23      | 23        |
| 32.0 Land and structures .....  | 28          | 28      | 28        |
| 41.0 Grants, subsidies, and contributions .....                                 |             | 5       | 5         |
| 42.0 Insurance claims and indemnities .....                                     | 1           | 1       | 1         |
| 99.0 Direct obligations .....   | 147         | 255     | 288       |
| 99.0 Reimbursable obligations .....   | 131         | 131     | 131       |
| 25.2 Allocation Account - direct: Other services from non-Federal sources ..... | 10          | 10      | 10        |
| 99.9 Total new obligations .....  | 288         | 396     | 429       |

## Employment Summary

| Identification code 14–1039–0–1–303                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 426         | 468     | 456       |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 543         | 543     | 543       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 168         | 168     | 168       |

## LAND ACQUISITION AND STATE ASSISTANCE

For expenses necessary to carry out the Land and Water Conservation Act of 1965, as amended (16 U.S.C. 4601–4 through 11), including administrative expenses, and for acquisition of lands or waters, or interest therein, in accordance with the statutory authority applicable to the National Park Service, \$100,391,000, to be derived from the Land and Water Conservation Fund and to remain available until expended, of which \$40,000,000 is for the State assistance program and of which \$8,986,000 shall be for the American Battlefield Protection Program grants as authorized by section 7301 of the Omnibus Public Land Management Act of 2009 (Public Law 111–11).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–5035–0–2–303  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Land acquisition .....  | 37          | 35      | 40        |
| 0002 Land acquisition administration .....   | 9           | 10      | 10        |
| 0004 State grant administration .....  | 3           | 3       | 3         |
| 0005 Grants to States .....  | 43          | 43      | 41        |
| 0900 Total new obligations .....   | 92          | 91      | 94        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 117         | 134     | 153       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                                    |             | 128     |           |
| 1021 Recoveries of prior year unpaid obligations .....   | 7           | 7       | 7         |
| 1050 Unobligated balance (total) .....   | 124         | 141     | 160       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1101 Appropriation (LWCF) .....  | 102         | 103     | 100       |
| 1160 Appropriation, discretionary (total) .....  | 102         | 103     | 100       |
| Contract authority, discretionary:   |             |         |           |
| 1520 Contract authority and/or unobligated balance of contract authority permanently reduced ..... |             | –30     | –30       |
| 1540 Contract authority, discretionary (total) .....   |             | –30     | –30       |
| Contract authority, mandatory:   |             |         |           |
| 1600 Contract authority .....  |             | 30      | 30        |
| 1640 Contract authority, mandatory (total) .....   |             | 30      | 30        |
| 1900 Budget authority (total) .....  | 102         | 103     | 100       |
| 1930 Total budgetary resources available .....   | 226         | 244     | 260       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 134         | 153     | 166       |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 103         | 112     | 96        |
| 3010 Obligations incurred, unexpired accounts .....  | 92          | 91      | 94        |
| 3020 Outlays (gross) .....   | –76         | –100    | –117      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                  | –7          | –7      | –7        |
| 3050 Unpaid obligations, end of year .....   | 112         | 96      | 66        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                                  | –1          | –1      | –1        |
| 3090 Uncollected pymts, Fed sources, end of year .....   | –1          | –1      | –1        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 102         | 111     | 95        |
| 3200 Obligated balance, end of year .....  | 111         | 95      | 65        |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   | 102         | 73      | 70        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             | 22      | 23        |
| 4011 Outlays from discretionary balances .....   | 75          | 74      | 91        |
| 4020 Outlays, gross (total) .....  | 75          | 96      | 114       |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   |             | 30      | 30        |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....   | 1           | 4       | 3         |
| 4180 Budget authority, net (total) .....   | 102         | 103     | 100       |
| 4190 Outlays, net (total) .....  | 76          | 100     | 117       |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 102         | 103     | 100       |
| Outlays .....                           | 76          | 100     | 117       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 50        |
| Outlays .....                           |             |         | 12        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 102         | 103     | 150       |

|               |    |     |     |
|---------------|----|-----|-----|
| Outlays ..... | 76 | 100 | 129 |
|---------------|----|-----|-----|

The Land Acquisition and State Assistance appropriation uses funding derived from the Land and Water Conservation Fund to support NPS land acquisition activities and provide grants to States for the purchase and development of land for outdoor recreation activities. The appropriation is composed of the five following budget activities:

**Federal land acquisition administration.**—Provides for the administration of land acquisitions throughout the National Park System, ensuring compliance with existing guidelines and laws.

**Federal land acquisition.**—Provides for the acquisition of land and interests in land to preserve and protect, for public use and enjoyment, the historic, scenic, natural, and recreational values of congressionally authorized areas within the National Park System. Funds are also used for matching grants for the purchase of Civil War Battlefield lands by non-Federal entities. The 2014 Federal Land Acquisition program builds on efforts started in 2011 to strategically invest in interagency landscape-scale conservation projects while continuing to meet agency-specific programmatic needs. The Department of the Interior and the U.S. Forest Service collaborated extensively to develop a process to more effectively coordinate land acquisitions with government and local community partners to achieve the highest priority shared conservation goals.

**State conservation grants administration.**—Provides for the administration of matching grants to States and through States to local governments, for the acquisition and development of public outdoor recreation areas and facilities.

**State conservation grants.**—This activity provides matching grants to States and local units of government for the acquisition and development of land and facilities that will provide the public access to new opportunities to engage in outdoor recreation.

**Outer Continental Shelf Oil Lease Revenues.**—The Gulf of Mexico Energy Security Act of 2006 (P.L. 109–432) allows a portion of the revenue collected from oil and gas leasing activities in qualified areas just becoming available for leasing in the Outer Continental Shelf (OCS) to be deposited to the Land and Water Conservation Fund (LWCF) and distributed to States in accordance with the Land and Water Conservation Act of 1965. The National Park Service portion of the revenue is 12.5 percent of total qualified OCS revenues. These new OCS receipts became available for expenditure as mandatory funding beginning in 2009. The Omnibus Appropriations Act, 2009, (P.L. 111–8) permits the use of up to three percent of the amounts authorized to be disbursed for costs of administration.

**Mandatory Land and Water Conservation Fund.**—The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for the Departments of the Interior and Agriculture Land and Water Conservation Fund programs beginning in fiscal year 2014.

#### Object Classification (in millions of dollars)

| Identification code 14–5035–0–2–303                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 9           | 10      | 9         |
| 12.1 Civilian personnel benefits .....                   | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 3         |
| 25.3 Other goods and services from Federal sources ..... |             |         | 1         |
| 32.0 Land and structures .....                           | 22          | 21      | 28        |
| 41.0 Grants, subsidies, and contributions .....          | 56          | 55      | 49        |
| 42.0 Insurance claims and indemnities .....              |             |         | 1         |
| 99.9 Total new obligations .....                         | 92          | 91      | 94        |

#### Employment Summary

| Identification code 14–5035–0–2–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 105         | 104     | 105       |

#### LAND ACQUISITION AND STATE ASSISTANCE (Legislative proposal, subject to PAYGO)

##### Program and Financing (in millions of dollars)

| Identification code 14–5035–4–2–303                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              |             |         | 18        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1221 Appropriations transferred from other accts [14–5005] .... |             |         | 50        |
| 1260 Appropriations, mandatory (total) .....                    |             |         | 50        |
| 1930 Total budgetary resources available .....                  |             |         | 50        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           |             |         | 32        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....             |             |         | 18        |
| 3020 Outlays (gross) .....                                      |             |         | –12       |
| 3050 Unpaid obligations, end of year .....                      |             |         | 6         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3200 Obligated balance, end of year .....                       |             |         | 6         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              |             |         | 50        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 |             |         | 12        |
| 4180 Budget authority, net (total) .....                        |             |         | 50        |
| 4190 Outlays, net (total) .....                                 |             |         | 12        |

#### Object Classification (in millions of dollars)

| Identification code 14–5035–4–2–303             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 32.0 Land and structures .....                  |             |         | 10        |
| 41.0 Grants, subsidies, and contributions ..... |             |         | 8         |
| 99.9 Total new obligations .....                |             |         | 18        |

#### RECREATION FEE PERMANENT APPROPRIATIONS

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–9928–0–2–303   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         | 1         |
| Receipts:   |             |         |           |
| 0220 Recreation Enhancement Fee, National Park System .....   | 179         | 179     | 179       |
| 0221 Transportation Fees, Transportation System Fund .....  | 15          | 16      | 16        |
| 0222 Deposits for Educational Expenses, Children of Employees, Yellowstone (including Visitor Fees, Leased Federal Acquired Properties) ..... | 1           | 1       | 1         |
| 0299 Total receipts and collections .....   | 195         | 196     | 196       |
| 0400 Total: Balances and collections .....  | 195         | 196     | 197       |
| Appropriations:   |             |         |           |
| 0500 Recreation Fee Permanent Appropriations .....  | –195        | –195    | –196      |
| 0799 Balance, end of year .....   |             | 1       | 1         |

##### Program and Financing (in millions of dollars)

| Identification code 14–9928–0–2–303     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Recreational Fee Program .....     | 183         | 180     | 180       |

RECREATION FEE PERMANENT APPROPRIATIONS—Continued  
Program and Financing—Continued

| Identification code 14–9928–0–2–303                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0002 Transportation systems fund .....                            | 16          | 15      | 16        |
| 0004 Education Expenses, YELL .....                               | 1           | 1       | 1         |
| 0900 Total new obligations .....                                  | 200         | 196     | 197       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 112         | 113     | 115       |
| 1021 Recoveries of prior year unpaid obligations .....            | 6           | 3       | 3         |
| 1050 Unobligated balance (total) .....                            | 118         | 116     | 118       |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 [14–9928] .....  | 195         | 195     | 196       |
| 1260 Appropriations, mandatory (total) .....                      | 195         | 195     | 196       |
| 1930 Total budgetary resources available .....                    | 313         | 311     | 314       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 113         | 115     | 117       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 122         | 94      | 152       |
| 3010 Obligations incurred, unexpired accounts .....               | 200         | 196     | 197       |
| 3020 Outlays (gross) .....  | –222        | –135    | –151      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –6          | –3      | –3        |
| 3050 Unpaid obligations, end of year .....                        | 94          | 152     | 195       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 122         | 94      | 152       |
| 3200 Obligated balance, end of year .....                         | 94          | 152     | 195       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 195         | 195     | 196       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 39          | 39      | 39        |
| 4101 Outlays from mandatory balances .....                        | 222         | 96      | 112       |
| 4110 Outlays, gross (total) .....                                 | 222         | 135     | 151       |
| 4180 Budget authority, net (total) .....                          | 195         | 195     | 196       |
| 4190 Outlays, net (total) .....                                   | 222         | 135     | 151       |

**Recreation Fee Program.**—The National Park Service and other land management agencies operate a fee program that allows parks and other units to collect admission and user fees in accordance with the Federal Lands Recreation Enhancement Act (FLREA). FLREA was passed on December 8, 2004, as part of the Omnibus Appropriations Act, 2005, and authorizes this program through 2014. The Administration proposes to permanently reauthorize the Department of the Interior's and the Department of Agriculture's recreation fee programs in FY 2014. Net proceeds are used for high-priority visitor service and facility management projects throughout the National Park System. By law, up to 80 percent may be retained for use by the collecting park and the remainder retained for discretionary, Servicewide use by the National Park Service Director. Also by law, up to 15 percent of proceeds may be used for administration, overhead, and indirect costs related to the program. Proceeds from the sale of the America the Beautiful passes, which allow access to all public lands that charge fees for a year, are distributed among the Federal land management agencies which offer them for sale, including the National Park Service, the Bureau of Land Management, the U.S. Fish and Wildlife Service, the Bureau of Reclamation and the U.S. Forest Service, as determined by the Secretaries of the Department of the Interior and the Department of Agriculture in accordance with Public Law 108–447.

**Deed-restricted parks fee program.**—Park units where admission fees may not be collected by reason of deed restrictions retain any other recreation fees collected and use them for certain park operation purposes in accordance with Public Law 105–327. This

law applies to Great Smoky Mountains National Park, Lincoln Home National Historic Site, and Abraham Lincoln Birthplace National Historic Site.

**Transportation systems fund.**—Fees charged for public use of transportation services at parks are retained and used by each collecting park for costs associated with the transportation systems in accordance with section 501 of Public Law 105–391.

**Educational expenses, children of employees, Yellowstone National Park.**—Revenues received from the collection of short-term recreation fees to the Park are used to provide education facilities to pupils who are dependents of persons engaged in the administration, operation, and maintenance of Yellowstone National Park (16 U.S.C. 40a).

**Payment for tax losses on land acquired for Grand Teton National Park.**—Revenues received from fees collected from visitors are used to compensate the State of Wyoming for tax losses on Grand Teton National Park lands (16 U.S.C. 406d-3).

## Object Classification (in millions of dollars)

| Identification code 14–9928–0–2–303                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 28          | 28      | 29        |
| 11.3 Other than full-time permanent .....                       | 35          | 35      | 36        |
| 11.5 Other personnel compensation .....                         | 4           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 67          | 67      | 69        |
| 12.1 Civilian personnel benefits .....                          | 16          | 16      | 16        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 22.0 Transportation of things .....                             | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 24.0 Printing and reproduction .....                            | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 4           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 50          | 48      | 47        |
| 25.3 Other goods and services from Federal sources .....        | 1           |         |           |
| 25.4 Operation and maintenance of facilities .....              | 3           | 3       | 3         |
| 26.0 Supplies and materials .....                               | 12          | 14      | 14        |
| 31.0 Equipment .....  | 3           | 3       | 3         |
| 32.0 Land and structures .....                                  | 26          | 25      | 25        |
| 41.0 Grants, subsidies, and contributions .....                 | 10          | 10      | 10        |
| 99.9 Total new obligations .....                                | 200         | 196     | 197       |

## Employment Summary

| Identification code 14–9928–0–2–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,558       | 1,558   | 1,558     |

## HISTORIC PRESERVATION FUND

*For expenses necessary in carrying out the National Historic Preservation Act, as amended (16 U.S.C. 470), \$58,910,000, to be derived from the Historic Preservation Fund and to remain available until September 30, 2015, of which \$3,000,000 is for competitive grants for the survey and nomination of properties to the National Register of Historic Places and as National Historic Landmarks associated with communities currently underrepresented, as determined by the Secretary: Provided, That such grants shall be made without imposing the matching requirements in Section 102(a)(3) of the National Historic Preservation Act (16 U.S.C. 470(a)(3)) to States and Tribes as defined in 16 U.S.C. 470w, Native Hawaiian organizations, local governments, including Certified Local Governments, and non-profit organizations.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–5140–0–2–303   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 2,896       | 2,995   | 3,039     |
| Receipts:   |             |         |           |
| 0220 Historic Preservation Fund, Rent Receipts, Outer Continental Shelf Lands ..... | 150         | 150     | 150       |
| 0400 Total: Balances and collections .....  | 3,046       | 3,145   | 3,189     |
| Appropriations:   |             |         |           |
| 0500 Historic Preservation Fund .....   | –56         | –106    | –59       |
| 0610 Historic Preservation Fund .....   | 5           |         |           |
| 0799 Balance, end of year .....   | 2,995       | 3,039   | 3,130     |

**Program and Financing** (in millions of dollars)

| Identification code 14–5140–0–2–303                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Grants-in-aid .....                              | 56          | 106     | 47        |
| 0900 Total new obligations (object class 41.0) .....  | 56          | 106     | 47        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 4           | 4       | 4         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special fund, definite) HPF ..... | 56          | 106     | 59        |
| 1160 Appropriation, discretionary (total) .....       | 56          | 106     | 59        |
| 1930 Total budgetary resources available .....        | 60          | 110     | 63        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 4           | 4       | 16        |
| Special and non-revolving trust funds:                |             |         |           |
| 1952 Expired unobligated balance, start of year ..... | 6           | 5       | 5         |
| 1953 Expired unobligated balance, end of year .....   | 5           | 5       | 5         |
| 1954 Unobligated balance canceling .....              | 5           |         |           |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 112 | 75  | 113 |
| 3010 Obligations incurred, unexpired accounts .....             | 56  | 106 | 47  |
| 3020 Outlays (gross) .....                                      | –89 | –68 | –85 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –4  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 75  | 113 | 75  |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 112 | 75  | 113 |
| 3200 Obligated balance, end of year .....                       | 75  | 113 | 75  |

**Budget authority and outlays, net:**

|   |    |     |    |
|---|----|-----|----|
| Discretionary:                                      |    |     |    |
| 4000 Budget authority, gross .....                  | 56 | 106 | 59 |
| Outlays, gross:                                     |    |     |    |
| 4010 Outlays from new discretionary authority ..... | 21 | 32  | 30 |
| 4011 Outlays from discretionary balances .....      | 68 | 36  | 55 |
| 4020 Outlays, gross (total) .....                   | 89 | 68  | 85 |
| 4180 Budget authority, net (total) .....            | 56 | 106 | 59 |
| 4190 Outlays, net (total) .....                     | 89 | 68  | 85 |

The Historic Preservation Fund appropriation includes grant programs to facilitate the preservation of the Nation's historic and cultural resources. This appropriation provides grants-in-aid to States, Territories, and Indian Tribes. Grants-in-aid to States and local governments require a 40 percent funding match; grants to Tribes do not require matching funds. In 2014, in addition to the traditional grants-in-aid described above, the budget proposes a competitive grant program for the survey and nomination of properties associated with communities currently under-represented in the National Register and as National Historic Landmarks. These grants would not be subject to the 40 percent matching requirement. Appropriations also have historically included funding for Save America's Treasures matching grants. No funds are requested for that program in 2014, but minimal balances remain in the account.

**Employment Summary**

| Identification code 14–5140–0–2–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 2       | 2         |

**OTHER PERMANENT APPROPRIATIONS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–9924–0–2–303  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 1           |         |           |
| Receipts:  |             |         |           |
| 0220 Rents and Charges for Quarters, National Park Service .....             | 22          | 23      | 23        |
| 0221 Rental Payments, Park Buildings Lease and Maintenance Fund .....        | 6           | 7       | 7         |
| 0222 Concession Improvement Accounts Deposit .....                           | 14          | 12      | 10        |
| 0223 User Fees for Filming and Photography on Public Lands .....             | 1           | 1       | 1         |
| 0224 Miscellaneous Fees, Glacier Bay National Park Resource Protection ..... | 3           | 3       | 4         |
| 0225 Park Concessions Franchise Fees .....                                   | 72          | 74      | 77        |
| Adjustments:   |             |         |           |
| 0290 Adjustment - receipts rounding issue .....                              | 1           |         |           |
| 0299 Total receipts and collections .....                                    | 119         | 120     | 122       |
| 0400 Total: Balances and collections .....                                   | 120         | 120     | 122       |
| Appropriations:  |             |         |           |
| 0500 Other Permanent Appropriations .....                                    | –120        | –120    | –122      |
| 0799 Balance, end of year .....  |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 14–9924–0–2–303                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0001 Operation and maintenance of quarters .....                      | 26          | 25      | 25        |
| 0002 Glacier Bay resource protection vessel management plan .....     | 3           | 4       | 4         |
| 0003 Park concessions franchise fees .....                            | 60          | 65      | 65        |
| 0005 Rental Payments, Park Buildings Lease and Maintenance Fund ..... | 5           | 8       | 7         |
| 0006 Concessions improvements accounts .....                          | 16          | 16      | 16        |
| 0007 Contribution for annuity benefits for USPP .....                 | 40          | 45      | 46        |
| 0008 Filming and Photography Special Use Fee Program .....            | 1           | 1       | 1         |
| 0900 Total new obligations .....                                      | 151         | 164     | 164       |

**Budgetary Resources:**

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:                                   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 110 | 121 | 123 |
| 1021 Recoveries of prior year unpaid obligations ..... | 1   | 1   | 1   |
| 1050 Unobligated balance (total) .....                 | 111 | 122 | 124 |
| Budget authority:                                      |     |     |     |
| Appropriations, mandatory:                             |     |     |     |
| 1200 Appropriation .....                               | 44  | 45  | 46  |
| 1201 Appropriation (special or trust fund) .....       | 120 | 120 | 122 |
| 1260 Appropriations, mandatory (total) .....           | 164 | 165 | 168 |
| 1930 Total budgetary resources available .....         | 275 | 287 | 292 |
| Memorandum (non-add) entries:                          |     |     |     |
| 1940 Unobligated balance expiring .....                | –3  |     |     |
| 1941 Unexpired unobligated balance, end of year .....  | 121 | 123 | 128 |
| Special and non-revolving trust funds:                 |     |     |     |
| 1951 Unobligated balance expiring .....                | 3   |     |     |
| 1952 Expired unobligated balance, start of year .....  | 1   | 3   | 3   |
| 1953 Expired unobligated balance, end of year .....    |     | 3   | 3   |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 58   | 43   | 37   |
| 3010 Obligations incurred, unexpired accounts .....               | 151  | 164  | 164  |
| 3020 Outlays (gross) .....  | –165 | –169 | –177 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1   | –1   | –1   |
| 3050 Unpaid obligations, end of year .....                        | 43   | 37   | 23   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 58   | 43   | 37   |
| 3200 Obligated balance, end of year .....                         | 43   | 37   | 23   |

OTHER PERMANENT APPROPRIATIONS—Continued  
Program and Financing—Continued

| Identification code 14–9924–0–2–303             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>       |             |         |           |
| <b>Mandatory:</b>                               |             |         |           |
| 4090 Budget authority, gross .....              | 164         | 165     | 168       |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... | 39          | 149     | 151       |
| 4101 Outlays from mandatory balances .....      | 126         | 20      | 26        |
| 4110 Outlays, gross (total) .....               | 165         | 169     | 177       |
| 4180 Budget authority, net (total) .....        | 164         | 165     | 168       |
| 4190 Outlays, net (total) .....                 | 165         | 169     | 177       |

**Park concessions franchise fees.**—Franchise fees for concessioner activities in the National Park System are deposited in this account and used for certain park operations activities in accordance with section 407 of Public Law 105–391. By law, 20 percent of franchise fees collected are used to support activities throughout the National Park System and 80 percent are retained and used by each collecting park unit for visitor services and for purposes of funding high-priority and urgently necessary resource management programs and operations.

**Concessions improvement accounts.**—National Park Service agreements with private concessioners providing visitor services within national parks can require concessioners to deposit a portion of gross receipts or a fixed sum of money in a separate bank account. A concessioner may expend funds from such an account with the approval of the park superintendent for improvements to facilities that directly support concession visitor services, but would not otherwise be funded through the appropriations process. Concessioners do not accrue possessory interests from improvements funded through these accounts.

**Park buildings lease and maintenance fund.**—Rental payments for leases to use buildings and associated property in the National Park System are deposited in this account and used for infrastructure needs at park units in accordance with section 802 of Public Law 105–391.

**Operation and maintenance of quarters.**—Revenues from the rental of Government-owned quarters to park employees are deposited in this account and used to operate and maintain the quarters.

**Delaware Water Gap, Route 209 operations.**—Fees collected for use of Route 209 within the Delaware Water Gap National Recreation Area by commercial vehicles are used for management, operation, and maintenance of the route within the park as authorized by Public Law 98–63 (97 Stat. 329), section 117 of Public Law 98–151 (97 Stat. 977) as amended by Public Law 99–88 (99 Stat. 343), and section 702 of Division I of Public Law 104–333 (110 Stat. 4185). The appropriation was reauthorized in fiscal year 1997 by Public Law 104–333 and in fiscal year 2006 by Public Law 109–156.

**Glacier Bay National Park resource protection.**—Of the revenues received from fees paid by tour boat operators or other permittees for entering Glacier Bay National Park, 60 percent are used for certain activities to protect resources of the Park from harm by permittees in accordance with section 703 of Division I of Public Law 104–333 (110 Stat. 4185).

**Filming and photography special use fees.**—The National Park Service is authorized to retain fee receipts that are collected from issuing permits to use park lands and facilities for commercial filming, still photography, and similar activities. Activities authorized for funding include acquisition of emergency response equipment to prevent harm to aquatic park resources from permittees and studies to quantify the impact of permittees' activities on wildlife and other natural resources of the park.

**Contributions to U.S. Park Police annuity benefits.**—Necessary costs of benefit payments to annuitants under the pension program for United States Park Police officers hired prior to January 1, 1984, established under Public Law 85–157, are paid from the General Fund of the Treasury to the extent the payments exceed deductions from salaries of active duty employees in the program. Permanent funding for such payments was provided in the Department of the Interior and Related Agencies Appropriations Act, 2002. Before 2002, such payments were funded from appropriations made annually to the National Park Service.

## Object Classification (in millions of dollars)

| Identification code 14–9924–0–2–303                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 10          | 10      | 11        |
| 11.3 Other than full-time permanent .....                       | 12          | 13      | 13        |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 24          | 25      | 26        |
| 12.1 Civilian personnel benefits .....                          | 7           | 7       | 7         |
| 13.0 Benefits for former personnel .....                        | 40          | 45      | 46        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 6       | 6         |
| 25.1 Advisory and assistance services .....                     | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 45          | 48      | 46        |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....              | 4           | 4       | 4         |
| 25.7 Operation and maintenance of equipment .....               |             | 1       | 1         |
| 26.0 Supplies and materials .....                               | 8           | 10      | 10        |
| 31.0 Equipment .....  | 2           | 2       | 2         |
| 32.0 Land and structures .....                                  | 9           | 9       | 9         |
| 41.0 Grants, subsidies, and contributions .....                 | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 151         | 164     | 164       |

## Employment Summary

| Identification code 14–9924–0–2–303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 464         | 464     | 464       |

## NATIONAL PARK SERVICE—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

*Note.*—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:

Department of Agriculture, Forest Service: "State and Private Forestry."  
Department of Transportation: Federal Highway Administration: "Federal-Aid Highways."  
(Liquidation of Contract Authorization) (Highway Trust Fund)" and "Highway Studies, Feasibility, Design, Environmental, Engineering."  
Department of the Interior, Bureau of Land Management: "Southern Nevada Lands Management."  
Department of the Interior, Department-wide Programs: "Wildland Fire Management," "Natural Resource Damage Assessment and Restoration Fund," and "Central Hazardous Materials Fund."

## Trust Funds

## CONSTRUCTION (TRUST FUND)

Parkway construction project funds have been derived from the Highway Trust Fund through appropriations to liquidate contract authority, which has been provided under section 104(a)(8) of the Federal Aid Highway Act of 1978, title I of Public Law 95–599, as amended, and appropriation language, which has made the contract authority and the appropriations available until expended.



## MISCELLANEOUS TRUST FUNDS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14-9972-0-7-303           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....             |             |         |           |
| Receipts:                                     |             |         |           |
| 0220 Donations to National Park Service ..... | 44          | 30      | 30        |
| 0400 Total: Balances and collections .....    | 44          | 30      | 30        |
| Appropriations:                               |             |         |           |
| 0500 Miscellaneous Trust Funds .....          | -44         | -30     | -30       |
| 0799 Balance, end of year .....               |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 14-9972-0-7-303                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Donations to National Park Service .....         | 37          | 36      | 36        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 42          | 49      | 43        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 44          | 30      | 30        |
| 1260 Appropriations, mandatory (total) .....          | 44          | 30      | 30        |
| 1930 Total budgetary resources available .....        | 86          | 79      | 73        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 49          | 43      | 37        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 14          | 23      | 22        |
| 3010 Obligations incurred, unexpired accounts .....   | 37          | 36      | 36        |
| 3020 Outlays (gross) .....                            | -28         | -37     | -38       |
| 3050 Unpaid obligations, end of year .....            | 23          | 22      | 20        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 14          | 23      | 22        |
| 3200 Obligated balance, end of year .....             | 23          | 22      | 20        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 44          | 30      | 30        |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 15      | 15        |
| 4101 Outlays from mandatory balances .....            | 28          | 22      | 23        |
| 4110 Outlays, gross (total) .....                     | 28          | 37      | 38        |
| 4180 Budget authority, net (total) .....              | 44          | 30      | 30        |
| 4190 Outlays, net (total) .....                       | 28          | 37      | 38        |

**National Park Service, donations.**—The Secretary of the Interior accepts and uses donated moneys for the purposes of the National Park System (16 U.S.C. 6), as designated by the donor when stated.

**Preservation, Birthplace of Abraham Lincoln, National Park Service.**—This fund consists of an endowment established by the Lincoln Farm Association. The interest therefrom is available for preservation of the Abraham Lincoln Birthplace National Historic Site, Kentucky (16 U.S.C. 211, 212).

## Object Classification (in millions of dollars)

| Identification code 14-9972-0-7-303                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 2           | 2       | 3         |
| 11.3 Other than full-time permanent .....                       | 5           | 5       | 5         |
| 11.5 Other personnel compensation .....                         | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 8           | 7       | 8         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 12          | 12      | 11        |
| 25.4 Operation and maintenance of facilities .....              | 2           | 2       | 2         |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |

|      |  |    |    |    |
|------|--|----|----|----|
| 32.0 | Land and structures .....                  | 8  | 8  | 8  |
| 41.0 | Grants, subsidies, and contributions ..... | 1  | 1  | 1  |
| 99.9 | Total new obligations .....                | 37 | 36 | 36 |

## Employment Summary

| Identification code 14-9972-0-7-303                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 170         | 170     | 170       |

## ADMINISTRATIVE PROVISIONS

## (INCLUDING TRANSFER OF FUNDS)

*In addition to other uses set forth in section 407(d) of Public Law 105-391, franchise fees credited to a sub-account shall be available for expenditure by the Secretary, without further appropriation, for use at any unit within the National Park System to extinguish or reduce liability for Possessory Interest or leasehold surrender interest. Such funds may only be used for this purpose to the extent that the benefitting unit anticipated franchise fee receipts over the term of the contract at that unit exceed the amount of funds used to extinguish or reduce liability. Franchise fees at the benefitting unit shall be credited to the sub-account of the originating unit over a period not to exceed the term of a single contract at the benefitting unit, in the amount of funds so expended to extinguish or reduce liability.*

*For the costs of administration of the Land and Water Conservation Fund grants authorized by section 105(a)(2)(B) of the Gulf of Mexico Energy Security Act of 2006 (Public Law 109-432), the National Park Service may retain up to 3 percent of the amounts which are authorized to be disbursed under such section, such retained amounts to remain available until expended.*

*National Park Service funds may be transferred to the Federal Highway Administration (FHWA), Department of Transportation, for purposes authorized under 23 U.S.C. 204. Transfers may include a reasonable amount for FHWA administrative support costs.*

## INDIAN AFFAIRS

## BUREAU OF INDIAN AFFAIRS AND BUREAU OF INDIAN EDUCATION

## Federal Funds

## OPERATION OF INDIAN PROGRAMS

## (INCLUDING TRANSFER OF FUNDS)

*For expenses necessary for the operation of Indian programs, as authorized by law, including the Snyder Act of November 2, 1921 (25 U.S.C. 13), the Indian Self-Determination and Education Assistance Act of 1975 (25 U.S.C. 450 et seq.), as amended, the Education Amendments of 1978 (25 U.S.C. 2001-2019), and the Tribally Controlled Schools Act of 1988 (25 U.S.C. 2501 et seq.), as amended, \$2,183,774,000, to remain available until September 30, 2015, except as otherwise provided herein; of which not to exceed \$8,500 may be for official reception and representation expenses; of which not to exceed \$74,809,000 shall be for welfare assistance payments: Provided, That in cases of designated Federal disasters, the Secretary may exceed such cap, from the amounts provided herein, to provide for disaster relief to Indian communities affected by the disaster; except that federally recognized tribes and tribal organizations of federally recognized tribes may use their tribal priority allocations for unmet welfare assistance costs; of which not to exceed \$596,234,000 for school operations costs of Bureau-funded schools and other education programs shall become available on July 1, 2014, and shall remain available until September 30, 2015; and of which not to exceed \$34,803,000 shall remain available until expended for road maintenance, attorney fees, litigation support, land records improvement, and the Navajo-Hopi Settlement Program: Provided further, That notwithstanding any other provision of law, including but not limited to the Indian Self-Determination Act of 1975, as amended, and 25 U.S.C. 2008, not to exceed \$48,253,000 within and only from such amounts made available for school operations shall be available for administrative cost grants associated with ongoing grants entered into with the Bureau prior to or during fiscal year 2013 for the operation of*

## OPERATION OF INDIAN PROGRAMS—Continued

*Bureau-funded schools, and up to \$500,000 within and only from such amounts made available for administrative cost grants shall be available for the transitional costs of initial administrative cost grants to grantees that assume operation on or after July 1, 2013, of Bureau-funded schools: Provided further, That any forestry funds allocated to a federally recognized tribe which remain unobligated as of September 30, 2015, may be transferred during fiscal year 2016 to an Indian forest land assistance account established for the benefit of the holder of the funds within the holder's trust fund account: Provided further, That any such unobligated balances not so transferred shall expire on September 30, 2016: Provided further, That, in order to enhance the safety of Bureau field employees, the Bureau may use funds to purchase uniforms or other identifying articles of clothing for personnel.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–2100–0–1–999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0007 Tribal Government .....  | 618         | 564     | 440       |
| 0008 Human services .....   | 110         | 115     | 115       |
| 0009 Trust - Natural resources management .....                                 | 145         | 138     | 138       |
| 0010 Trust - Real estate services .....   | 124         | 127     | 127       |
| 0011 Education .....  | 803         | 787     | 700       |
| 0012 Public safety and justice .....  | 301         | 313     | 303       |
| 0013 Community and economic development .....                                   | 30          | 35      | 35        |
| 0014 Executive direction and administrative services .....                      | 256         | 250     | 240       |
| 0015 Indian Arts and Crafts Board .....   | .....       | 1       | 1         |
| 0799 Total direct obligations .....   | 2,387       | 2,330   | 2,099     |
| 0807 Reimbursable program .....   | 274         | 310     | 310       |
| 0808 Reimbursable program - Education Recovery Act .....                        | 16          | 16      | 16        |
| 0899 Total reimbursable obligations .....                                       | 290         | 326     | 326       |
| 0900 Total new obligations .....  | 2,677       | 2,656   | 2,425     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 439         | 453     | 466       |
| 1011 Unobligated balance transfer from other accts [14–2100] .....              | 2           | .....   | .....     |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 16          | 14      | 14        |
| 1021 Recoveries of prior year unpaid obligations .....                          | 14          | 2       | 2         |
| 1050 Unobligated balance (total) .....  | 471         | 469     | 482       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 2,372       | 2,382   | 2,183     |
| 1120 Appropriations transferred to other accts [14–2100] .....                  | –43         | .....   | .....     |
| 1121 Appropriations transferred from other accts [14–2100] .....                | 43          | .....   | .....     |
| 1130 Appropriations permanently reduced .....                                   | –4          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                                 | 2,368       | 2,382   | 2,183     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 316         | 271     | 271       |
| 1701 Change in uncollected payments, Federal sources .....                      | –20         | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 296         | 271     | 271       |
| 1900 Budget authority (total) .....   | 2,664       | 2,653   | 2,454     |
| 1930 Total budgetary resources available .....                                  | 3,135       | 3,122   | 2,936     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –5          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                           | 453         | 466     | 511       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 373         | 325     | 491       |
| 3010 Obligations incurred, unexpired accounts .....                             | 2,677       | 2,656   | 2,425     |
| 3011 Obligations incurred, expired accounts .....                               | 22          | .....   | .....     |
| 3020 Outlays (gross) .....  | –2,724      | –2,488  | –2,525    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –14         | –2      | –2        |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –9          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                      | 325         | 491     | 389       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –126        | –97     | –97       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | 20          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 9           | .....   | .....     |

|   |       |       |       |
|---|-------|-------|-------|
| 3090 Uncollected pymts, Fed sources, end of year .....              | –97   | –97   | –97   |
| Memorandum (non-add) entries:                                       |       |       |       |
| 3100 Obligated balance, start of year .....                         | 247   | 228   | 394   |
| 3200 Obligated balance, end of year .....                           | 228   | 394   | 292   |
| <b>Budget authority and outlays, net:</b>                           |       |       |       |
| Discretionary:  |       |       |       |
| 4000 Budget authority, gross .....                                  | 2,664 | 2,653 | 2,454 |
| Outlays, gross:   |       |       |       |
| 4010 Outlays from new discretionary authority .....                 | 1,915 | 1,796 | 1,668 |
| 4011 Outlays from discretionary balances .....                      | 809   | 692   | 857   |
| 4020 Outlays, gross (total) .....                                   | 2,724 | 2,488 | 2,525 |
| Offsets against gross budget authority and outlays:                 |       |       |       |
| Offsetting collections (collected) from:                            |       |       |       |
| 4030 Federal sources .....  | –322  | –271  | –271  |
| Additional offsets against gross budget authority only:             |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | 20    | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts .....      | 6     | ..... | ..... |
| 4060 Additional offsets against budget authority only (total) ..... | 26    | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                    | 2,368 | 2,382 | 2,183 |
| 4080 Outlays, net (discretionary) .....                             | 2,402 | 2,217 | 2,254 |
| 4180 Budget authority, net (total) .....                            | 2,368 | 2,382 | 2,183 |
| 4190 Outlays, net (total) .....                                     | 2,402 | 2,217 | 2,254 |

The Operation of Indian Programs appropriation consists of a wide range of services and benefits provided primarily to Federally-recognized Indian Tribes, Alaskan Native groups, and individual American Indians and Alaska Natives that fulfill Federal trust responsibility and implement Federal Indian policy.

This account covers expenses associated with the following activities:

**Tribal Government.**—This activity promotes the sovereignty of Federally-recognized Tribes by supporting and assisting them in the development and maintenance of strong and stable governments capable of administering quality programs and developing economies. This activity also provides for the maintenance of BIA roads and bridges.

**Human services.**—This activity provides funding for social services, housing improvement, welfare assistance, and Indian child welfare. The objective of this activity is to improve the quality of life for individual Indians who live on or near Indian reservations and to protect the children, elderly, and disabled from abuse and neglect.

**Trust: Natural resources management.**—This activity provides for the management, development, and protection of Indian trust land and natural resource assets. Natural resource programs in Indian country include agriculture, forestry, water, fish, wildlife, and parks.

**Trust: Real estate.**—This activity promotes cooperative efforts with landowners for the optimal utilization, development, and enhancement of trust and restricted Federal Indian-owned lands. The activity includes general real estate services, probate, land title and records, environmental compliance, and other trust services and rights protection.

**Education.**—This activity supports Bureau of Indian Education (BIE) tribal elementary and secondary school operations, other education programs for elementary-aged Indian children, Tribal post-secondary schools, education program management, and facilities maintenance. The BIE-funded schools include 169 elementary and secondary BIE- and Tribally-run schools, 14 dormitories, two post-secondary schools, and operating grants to eligible tribal colleges and universities.

**Public safety and justice.**—This activity funds law enforcement activities on approximately 56 million acres of Indian country in 35 States. Programs under this activity include investigative, police, and detention services; tribal courts; fire protection; and facilities maintenance.

**Community and economic development.**—This activity promotes the economic vitality of American Indians and Alaska

Natives through Job Placement and Training, Economic Development, and Community Development. This activity also provides assistance for renewable and conventional energy and hard mineral development for the economic and social benefit of the Tribes and individual Indian allottees.

**Executive direction and administrative services.**—This activity supports the management of BIA's and BIE's finance, budget, acquisition, and property functions, as well as information technology resources, personnel services, facilities management, payment of GSA and direct rentals, and intra-governmental payments.

Significant portions of Indian Affairs activities are executed under contracts or compacts with Federally-recognized Tribes to run tribal and Federal programs. Funding also supports BIA or BIE oversight and technical assistance for these activities in central and regional offices.

**Note:** A new account has been created for Contract Support Costs.

#### Object Classification (in millions of dollars)

| Identification code 14–2100–0–1–999                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 236         | 235     | 235       |
| 11.3 Other than full-time permanent .....                       | 122         | 103     | 103       |
| 11.5 Other personnel compensation .....                         | 23          | 23      | 23        |
| 11.9 Total personnel compensation .....                         | 381         | 361     | 361       |
| 12.1 Civilian personnel benefits .....                          | 112         | 107     | 107       |
| 13.0 Benefits for former personnel .....                        | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 17          | 9       | 9         |
| 22.0 Transportation of things .....                             | 1           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 23          | 26      | 26        |
| 23.2 Rental payments to others .....                            | 14          | 14      | 14        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 38          | 41      | 41        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 33          | 28      | 28        |
| 25.2 Other services from non-Federal sources .....              | 1,031       | 988     | 757       |
| 25.3 Other goods and services from Federal sources .....        | 114         | 122     | 122       |
| 25.4 Operation and maintenance of facilities .....              | 3           | 3       | 3         |
| 25.5 ADP Contracts .....  |             | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 9           | 3       | 3         |
| 25.8 Subsistence and support of persons .....                   | 10          | 14      | 14        |
| 26.0 Supplies and materials .....                               | 41          | 35      | 35        |
| 31.0 Equipment .....  | 22          | 27      | 27        |
| 32.0 Land and structures .....                                  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 534         | 545     | 545       |
| 42.0 Insurance claims and indemnities .....                     | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 2,387       | 2,330   | 2,099     |
| 99.0 Reimbursable obligations .....                             | 290         | 326     | 326       |
| 99.9 Total new obligations .....                                | 2,677       | 2,656   | 2,425     |

#### Employment Summary

| Identification code 14–2100–0–1–999                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 6,045       | 5,974   | 5,800     |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 906         | 906     | 906       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 476         | 449     | 412       |

#### CONTRACT SUPPORT COSTS

For payments of contract support costs associated with ongoing Indian Self-Determination Act agreements with the Bureau of Indian Affairs for fiscal year 2014, not to exceed \$230,000,000, to remain available until September 30, 2015: Provided, That, notwithstanding any other provision of law, the amount available for contract support costs associated with each ongoing Indian Self-Determination Act agreement with the Bureau of Indian Affairs for fiscal year 2014 shall not exceed the amount identified in the Bureau of Indian Affairs Contract Support Costs table submitted by the Secretary of the Interior to the House and Senate Committees on Appropriations, except that tribes and tribal organizations may use their

tribal priority allocations for unmet contract support costs of ongoing Indian Self-Determination Act agreements.

In addition, not to exceed \$1,000,000 shall be available until expended for payments for contract support costs associated with new or expanded Indian Self-Determination Act agreements with the Bureau of Indian Affairs for fiscal year 2014, except that tribes and tribal organizations may use their tribal priority allocations for unmet contract support costs of new or expanded Indian Self-Determination Act agreements.

#### Program and Financing (in millions of dollars)

| Identification code 14–2240–0–1–999                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 231       |
| 0100 Direct program activities, subtotal .....       |             |         | 231       |
| 0900 Total new obligations (object class 25.2) ..... |             |         | 231       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 231       |
| 1160 Appropriation, discretionary (total) .....      |             |         | 231       |
| 1930 Total budgetary resources available .....       |             |         | 231       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 231       |
| 3020 Outlays (gross) .....                           |             |         | –196      |
| 3050 Unpaid obligations, end of year .....           |             |         | 35        |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 35        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 231       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | 196       |
| 4180 Budget authority, net (total) .....             |             |         | 231       |
| 4190 Outlays, net (total) .....                      |             |         | 196       |

The Bureau of Indian Affairs Contract Support Costs (CSC) account supports Federal government payments to tribes for the administrative costs associated with executing tribal self-determination contracts and compacts under the Indian Self-Determination and Education Assistance Act (ISDEAA), P.L. 93–638. The Indian Health Service is also required to pay CSC under ISDEAA. Payments are available for direct and indirect contract support costs. Indirect contract support costs are those incurred for a Tribe's or tribal organization's common services, including, but not limited to, insurance and audits. Direct contract costs include program-specific costs such as unemployment taxes and workers compensation insurance. The account also supports the costs associated with executing or administering new and/or expanded self-determination contracts.

#### CONSTRUCTION

(INCLUDING TRANSFER OF FUNDS)

For construction, repair, improvement, and maintenance of irrigation and power systems, buildings, utilities, and other facilities, including architectural and engineering services by contract; acquisition of lands, and interests in lands; and preparation of lands for farming, and for construction of the Navajo Indian Irrigation Project pursuant to Public Law 87–483, \$107,124,000, to remain available until expended: Provided, That such amounts as may be available for the construction of the Navajo Indian Irrigation Project may be transferred to the Bureau of Reclamation: Provided further, That not to exceed 6 percent of contract authority available to the Bureau of Indian Affairs from the Federal Highway Trust Fund may be used to cover the road program management costs of the Bureau: Provided further, That any funds provided for the Safety of Dams

## CONSTRUCTION—Continued

program pursuant to 25 U.S.C. 13 shall be made available on a nonreimbursable basis: Provided further, That, in implementing new construction or facilities improvement and repair project grants in excess of \$100,000 that are provided to grant schools under Public Law 100–297, as amended, the Secretary of the Interior shall use the Administrative and Audit Requirements and Cost Principles for Assistance Programs contained in 43 CFR part 12 as the regulatory requirements: Provided further, That such grants shall not be subject to section 12.61 of 43 CFR; the Secretary and the grantee shall negotiate and determine a schedule of payments for the work to be performed: Provided further, That in considering grant applications, the Secretary shall consider whether such grantee would be deficient in assuring that the construction projects conform to applicable building standards and codes and Federal, tribal, or State health and safety standards as required by 25 U.S.C. 2005(b), with respect to organizational and financial management capabilities: Provided further, That if the Secretary declines a grant application, the Secretary shall follow the requirements contained in 25 U.S.C. 2504(f): Provided further, That any disputes between the Secretary and any grantee concerning a grant shall be subject to the disputes provision in 25 U.S.C. 2507(e): Provided further, That in order to ensure timely completion of construction projects, the Secretary may assume control of a project and all funds related to the project, if, within 18 months of the date of enactment of this Act, any grantee receiving funds appropriated in this Act or in any prior Act, has not completed the planning and design phase of the project and commenced construction: Provided further, That this appropriation may be reimbursed from the Office of the Special Trustee for American Indians appropriation for the appropriate share of construction costs for space expansion needed in agency offices to meet trust reform implementation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–2301–0–1–452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Education construction .....                                  | 154         | 82      | 82        |
| 0002 Public safety and justice construction .....                  | 19          | 16      | 16        |
| 0003 Resource management construction .....                        | 26          | 38      | 38        |
| 0004 Other Program Construction .....                              | 12          | 12      | 12        |
| 0005 BOR Allocation Account .....                                  | 1           | 2       | 2         |
| 0799 Total direct obligations .....                                | 212         | 150     | 150       |
| 0807 Reimbursable program .....                                    | 7           | 7       | 7         |
| 0900 Total new obligations .....                                   | 219         | 157     | 157       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 140         | 57      | 56        |
| 1021 Recoveries of prior year unpaid obligations .....             | 8           | 24      | 24        |
| 1050 Unobligated balance (total) .....                             | 148         | 81      | 80        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 124         | 124     | 107       |
| 1160 Appropriation, discretionary (total) .....                    | 124         | 124     | 107       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 7           | 8       | 8         |
| 1701 Change in uncollected payments, Federal sources .....         | –3          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4           | 8       | 8         |
| 1900 Budget authority (total) .....                                | 128         | 132     | 115       |
| 1930 Total budgetary resources available .....                     | 276         | 213     | 195       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 57          | 56      | 38        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 291         | 231     | 155       |
| 3010 Obligations incurred, unexpired accounts .....                | 219         | 157     | 157       |
| 3020 Outlays (gross) .....   | –270        | –209    | –147      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –8          | –24     | –24       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 231         | 155     | 141       |

|   |     |     |     |
|---|-----|-----|-----|
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –3  |     |     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 3   |     |     |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 288 | 231 | 155 |
| 3200 Obligated balance, end of year .....                         | 231 | 155 | 141 |
| <b>Budget authority and outlays, net:</b>                         |     |     |     |
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                                | 128 | 132 | 115 |
| Outlays, gross:   |     |     |     |
| 4010 Outlays from new discretionary authority .....               | 44  | 37  | 33  |
| 4011 Outlays from discretionary balances .....                    | 226 | 172 | 114 |
| 4020 Outlays, gross (total) .....                                 | 270 | 209 | 147 |
| Offsets against gross budget authority and outlays:               |     |     |     |
| Offsetting collections (collected) from:                          |     |     |     |
| 4030 Federal sources .....  | –7  | –8  | –8  |
| Additional offsets against gross budget authority only:           |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....    | 3   |     |     |
| 4070 Budget authority, net (discretionary) .....                  | 124 | 124 | 107 |
| 4080 Outlays, net (discretionary) .....                           | 263 | 201 | 139 |
| 4180 Budget authority, net (total) .....                          | 124 | 124 | 107 |
| 4190 Outlays, net (total) .....                                   | 263 | 201 | 139 |

**Education construction.**—This activity provides for the planning, design, construction, and rehabilitation of Bureau of Indian Education-funded school facilities.

**Public safety and justice construction.**—This activity provides for the planning, design, improvement, repair, and construction of detention center facilities on Indian lands.

**Resources management construction.**—This activity provides for the construction, extension, and rehabilitation of irrigation projects, dams, and related power systems on Indian reservations.

**Other program construction.**—This activity provides for the improvement and repair of Indian Affairs' non-education facilities, the telecommunications system, the facilities management information system, and construction program management.

## Object Classification (in millions of dollars)

| Identification code 14–2301–0–1–452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 8           | 4       | 4         |
| 11.3 Other than full-time permanent .....                       | 1           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 10          | 7       | 7         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 3           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 128         | 88      | 88        |
| 25.3 Other goods and services from Federal sources .....        | 1           | 5       | 5         |
| 25.4 Operation and maintenance of facilities .....              | 6           | 6       | 6         |
| 25.7 Operation and maintenance of equipment .....               | 1           |         |           |
| 26.0 Supplies and materials .....                               | 2           | 4       | 4         |
| 31.0 Equipment .....  | 2           | 6       | 6         |
| 32.0 Land and structures .....                                  | 36          | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....                 | 17          | 22      | 22        |
| 99.0 Direct obligations .....                                   | 210         | 148     | 148       |
| 99.0 Reimbursable obligations .....                             | 7           | 7       | 7         |
| Allocation Account – direct:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 1           | 1       | 1         |
| 32.0 Land and structures .....                                  | 1           | 1       | 1         |
| 99.0 Allocation account – direct .....                          | 2           | 2       | 2         |
| 99.9 Total new obligations .....                                | 219         | 157     | 157       |

## Employment Summary

| Identification code 14–2301–0–1–452                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 105         | 104     | 104       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5           | 5       | 5         |

3001 Allocation account civilian full-time equivalent employment ..... 387 387 387

### WHITE EARTH SETTLEMENT FUND

#### Program and Financing (in millions of dollars)

| Identification code 14–2204–0–1–452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Payments for White Earth Settlement .....        | 1           | 3       | 3         |
| 0900 Total new obligations (object class 41.0) .....  | 1           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 1           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....          | 1           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 2           | 4       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 3       | 3         |
| 3020 Outlays (gross) .....                            | –1          | –3      | –3        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 1           | 3       | 3         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 3       | 3         |
| 4101 Outlays from mandatory balances .....            | 1           |         |           |
| 4110 Outlays, gross (total) .....                     | 1           | 3       | 3         |
| 4180 Budget authority, net (total) .....              | 1           | 3       | 3         |
| 4190 Outlays, net (total) .....                       | 1           | 3       | 3         |

The White Earth Reservation Land Settlement Act of 1985 (Public Law 99–264) authorizes the payment of funds to eligible allottees or heirs of the White Earth Reservation in Minnesota, as determined by the Secretary of the Interior. The payment of funds shall be treated as the final judgment, award, or compromise settlement under the provisions of Title 31, United States Code, section 1304.

### INDIAN LAND AND WATER CLAIM SETTLEMENTS AND MISCELLANEOUS PAYMENTS TO INDIANS

For payments and necessary administrative expenses for implementation of Indian land and water claim settlements pursuant to Public Laws 99–264, 100–580, 101–618, 111–11, and 111–291, and for implementation of other land and water rights settlements, \$35,655,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 14–2303–0–1–452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 White Earth .....  | 1           | 1       | 1         |
| 0020 Nez Perce/Snake River .....                                | 9           | 9       | 9         |
| 0025 Navajo Nation Water Resources Development Trust Fund ..... | 6           | 6       | 6         |
| 0026 Duck Valley Reservation Water Rights Settlement .....      | 12          | 12      | 12        |
| 0027 Navajo Water Settlement .....                              | 4           | 8       | 8         |
| 0028 Under the reporting threshold .....                        | 1           |         |           |
| 0030 Crow Settlement Fund (PL 111–291) .....                    | 79          |         |           |
| 0031 Taos Pueblo (PL 111–291) .....                             | 35          |         |           |
| 0032 Aamodt Settlement (PL 111–291) .....                       |             | 25      |           |

0900 Total new obligations ..... 147 61 36

#### Budgetary Resources:

|   |     |    |    |
|---|-----|----|----|
| Unobligated balance:  |     |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 38  | 29 | 1  |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 3   | 3  |    |
| Budget authority:   |     |    |    |
| Appropriations, discretionary:                                  |     |    |    |
| 1100 Appropriation .....  | 33  | 33 | 36 |
| 1160 Appropriation, discretionary (total) .....                 | 33  | 33 | 36 |
| Appropriations, mandatory:                                      |     |    |    |
| 1200 Appropriation .....  | 105 |    |    |
| 1260 Appropriations, mandatory (total) .....                    | 105 |    |    |
| 1900 Budget authority (total) .....                             | 138 | 33 | 36 |
| 1930 Total budgetary resources available .....                  | 176 | 62 | 37 |
| Memorandum (non-add) entries:                                   |     |    |    |
| 1941 Unexpired unobligated balance, end of year .....           | 29  | 1  | 1  |

#### Change in obligated balance:

|   |      |     |     |
|---|------|-----|-----|
| Unpaid obligations:                                   |      |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |      | 4   | 7   |
| 3010 Obligations incurred, unexpired accounts .....   | 147  | 61  | 36  |
| 3020 Outlays (gross) .....                            | –143 | –58 | –36 |
| 3050 Unpaid obligations, end of year .....            | 4    | 7   | 7   |
| Memorandum (non-add) entries:                         |      |     |     |
| 3100 Obligated balance, start of year .....           |      | 4   | 7   |
| 3200 Obligated balance, end of year .....             | 4    | 7   | 7   |

#### Budget authority and outlays, net:

|   |     |    |    |
|---|-----|----|----|
| Discretionary:                                      |     |    |    |
| 4000 Budget authority, gross .....                  | 33  | 33 | 36 |
| Outlays, gross:                                     |     |    |    |
| 4010 Outlays from new discretionary authority ..... | 26  | 33 | 36 |
| 4011 Outlays from discretionary balances .....      | 3   |    |    |
| 4020 Outlays, gross (total) .....                   | 29  | 33 | 36 |
| Mandatory:  |     |    |    |
| 4090 Budget authority, gross .....                  | 105 |    |    |
| Outlays, gross:                                     |     |    |    |
| 4100 Outlays from new mandatory authority .....     | 79  |    |    |
| 4101 Outlays from mandatory balances .....          | 35  | 25 |    |
| 4110 Outlays, gross (total) .....                   | 114 | 25 |    |
| 4180 Budget authority, net (total) .....            | 138 | 33 | 36 |
| 4190 Outlays, net (total) .....                     | 143 | 58 | 36 |

This account covers expenses associated with the following activities.

#### Land settlements:

*White Earth Reservation Land Settlement Act (Public Law 99–264).*—Funds are used to investigate and verify questionable transfers of land by which individual Indian allottees, or their heirs, were divested of ownership and to achieve the payment of compensation to said allottees or heirs in accordance with the Act. A major portion of work is contracted under Public Law 93–638, as amended, to the White Earth Reservation Business Committee.

#### Water settlements:

*Snake River Water Rights Act (Public Law 108–447).*—Funds are not requested for payments for the settlement to the Nez Perce Water and Fisheries Fund, Nez Perce Tribe Habitat Accounts, and the Nez Perce Domestic Water Supply Fund, since funding for this settlement agreement will be completed in 2013.

*Navajo-Gallup Water Supply Project (Public Law 111–11).*—Funds will be used for the San Juan Conjunctive Use Wells and the San Juan Navajo Irrigation Project Rehabilitation as authorized by law.

*Navajo Nation Water Resources Development Trust Fund (Public Law 111–11).*—The Navajo Nation may use the amounts in the Trust Fund to investigate, construct, operate, maintain, or replace water project facilities, including facilities conveyed to the Nation and facilities owned by the United States for which the Nation is responsible for operation, maintenance, and replacement costs; and to investigate, implement, or improve a

INDIAN LAND AND WATER CLAIM SETTLEMENTS AND MISCELLANEOUS  
PAYMENTS TO INDIANS—Continued

water conservation measure (including a metering or monitoring activity) necessary for the Nation to make use of a water right of the Nation under the Agreement.

*Duck Valley Reservation Water Rights Settlement (Public Law 111-11).*—The purpose of the Duck Valley Reservation Water Rights Settlement is to resolve outstanding issues with respect to the East Fork of the Owyhee River in Nevada in a manner beneficial to the United States, Nevada, the Shoshone-Paiute Tribes of the Duck Valley Reservation, and the non-Federal water users located upstream from the Reservation who are signatories to the Agreement.

*Crow Tribe Water Rights Settlement (P.L. 111-291).*—Funds will be used for payments and projects required by the settlement as authorized by law.

*Taos Pueblo Indian Water Rights (P.L. 111-291).*—Funds will be used for payments and projects required by the settlement as authorized by law.

*Aamodt Litigation Settlement (P.L. 111-291).*—Funds will be used for payments and projects required by the settlement as authorized by law.

Under the reporting threshold:

*Hoopa-Yurok Settlement Act (Public Law 100-580).*—The Act provides for the settlement of claims regarding reservation lands between the Hoopa Valley Tribe and the Yurok Indians in northern California. Funds will be used for the settlement as authorized by law and for administrative expenses related to implementing the settlement.

*Truckee-Carson-Pyramid Lake Water Settlement Act (Public Law 101-618).*—The Act provides for the settlement of claims of the Pyramid Lake Paiute Tribe (NV). Funds will be used to provide payments to the Truckee-Carson Irrigation District for services provided to implement the settlement.

## Object Classification (in millions of dollars)

| Identification code 14-2303-0-1-452                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 5           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....    | 142         | 60      | 35        |
| 99.9 Total new obligations .....                   | 147         | 61      | 36        |

## INDIAN LAND CONSOLIDATION

## Program and Financing (in millions of dollars)

| Identification code 14-2103-0-1-452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 3           | 4       | 4         |
| 0900 Total new obligations (object class 32.0) .....               | 3           | 4       | 4         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 6       | 6         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 4           | 4       | 4         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4           | 4       | 4         |
| 1900 Budget authority (total) .....                                | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....                     | 9           | 10      | 10        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 6           | 6       | 6         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 3           | 4       | 4         |

|   |    |    |    |
|---|----|----|----|
| 3020 Outlays (gross) .....                          | -3 | -4 | -4 |
| <b>Budget authority and outlays, net:</b>           |    |    |    |
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 4  | 4  | 4  |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... |    | 4  | 4  |
| 4011 Outlays from discretionary balances .....      | 3  |    |    |
| 4020 Outlays, gross (total) .....                   | 3  | 4  | 4  |
| Offsets against gross budget authority and outlays: |    |    |    |
| Offsetting collections (collected) from:            |    |    |    |
| 4030 Federal sources .....                          | -4 | -4 | -4 |
| 4190 Outlays, net (total) .....                     | -1 |    |    |

This appropriation funds a program to consolidate fractional interests in Indian lands and assist land owners with estate planning. Funds will be used to purchase small interests in parcels of lands from willing individual Indian landowners and convey those interests to the tribe on whose reservation the land is located. Consolidation of these interests is expected to reduce the Government's costs for managing Indian lands and promote economic opportunity on these lands. This program is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106-462), the American Indian Probate Reform Act of 2004 (P.L. 108-374), and other authorities. No funding is requested in 2014 in this account. Implementation of the Individual Indian Money Account Litigation Settlement (Public Law 111-291, Title I) to address fractionation is in a separate account in the Office of the Secretary.

## Object Classification (in millions of dollars)

| Identification code 14-2103-0-1-452 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 3           | 4       | 4         |

## INDIAN WATER RIGHTS AND HABITAT ACQUISITION PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 14-5505-0-2-303                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    |             | 3       |           |
| 0900 Total new obligations (object class 41.0) .....  |             | 3       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 3       |           |
| 1930 Total budgetary resources available .....        | 3           | 3       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 3           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 3         |
| 3010 Obligations incurred, unexpired accounts .....   |             | 3       |           |
| 3050 Unpaid obligations, end of year .....            |             | 3       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 3         |
| 3200 Obligated balance, end of year .....             |             | 3       | 3         |

Funds were requested in 2003 for the settlement of the water claims of the Shivwits Band of the Paiute Indian Tribe of Utah. Public Law 106-263 specifies the use of the Land and Water Conservation Fund for the implementation of the water rights and habitat acquisition program.

## OPERATION AND MAINTENANCE OF QUARTERS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5051–0–2–452                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                   |             |         |           |
| Receipts:   |             |         |           |
| 0220 Rents and Charges for Quarters, Bureau of Indian Affairs ..... | 6           | 6       | 6         |
| 0400 Total: Balances and collections .....                          | 6           | 6       | 6         |
| Appropriations:   |             |         |           |
| 0500 Operation and Maintenance of Quarters .....                    | –6          | –6      | –6        |
| 0799 Balance, end of year .....                                     |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 14–5051–0–2–452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operations and maintenance .....                 | 5           | 6       | 6         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 4           | 5       | 5         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 6           | 6       | 6         |
| 1260 Appropriations, mandatory (total) .....          | 6           | 6       | 6         |
| 1930 Total budgetary resources available .....        | 10          | 11      | 11        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 5           | 6       | 6         |
| 3020 Outlays (gross) .....                            | –5          | –6      | –6        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 6           | 6       | 6         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 1           | 6       | 6         |
| 4101 Outlays from mandatory balances .....            | 4           |         |           |
| 4110 Outlays, gross (total) .....                     | 5           | 6       | 6         |
| 4180 Budget authority, net (total) .....              | 6           | 6       | 6         |
| 4190 Outlays, net (total) .....                       | 5           | 6       | 6         |

Public Law 88–459 (Federal Employees Quarters and Facilities Act of 1964) is the basic authority under which the Secretary utilizes funds from the rental of quarters to defray the costs of operation and maintenance incidental to the employee quarters program. Public Law 98–473 established a special fund, to remain available until expended, for the operation and maintenance of quarters.

## Object Classification (in millions of dollars)

| Identification code 14–5051–0–2–452                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 1           | 1       | 1         |
| 11.3 Other than full-time permanent .....          | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 2           | 2       | 2         |
| 12.1 Civilian personnel benefits .....             | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                  | 1           | 1       | 1         |
| 99.0 Direct obligations .....                      | 5           | 5       | 5         |
| 99.5 Below reporting threshold .....               |             | 1       | 1         |
| 99.9 Total new obligations .....                   | 5           | 6       | 6         |

## Employment Summary

| Identification code 14–5051–0–2–452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 46          | 46      | 46        |

## MISCELLANEOUS PERMANENT APPROPRIATIONS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–9925–0–2–452                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0220 Deposits, Operation and Maintenance, Indian Irrigation Systems ..... | 29          | 28      | 29        |
| 0221 Alaska Resupply Program .....  | 1           | 2       | 3         |
| 0222 Power Revenues, Indian Irrigation Projects .....                     | 73          | 70      | 71        |
| 0299 Total receipts and collections .....                                 | 103         | 100     | 103       |
| 0400 Total: Balances and collections .....                                | 103         | 100     | 103       |
| Appropriations:   |             |         |           |
| 0500 Miscellaneous Permanent Appropriations .....                         | –103        | –100    | –100      |
| 0799 Balance, end of year .....   |             |         | 3         |

## Program and Financing (in millions of dollars)

| Identification code 14–9925–0–2–452                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 Operation and maintenance, Indian irrigation systems .....   | 30          | 30      | 30        |
| 0003 Power systems, Indian irrigation projects .....              | 73          | 68      | 68        |
| 0004 Alaska resupply program .....                                | 1           | 2       | 2         |
| 0900 Total new obligations .....                                  | 104         | 100     | 100       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 56          | 58      | 60        |
| 1021 Recoveries of prior year unpaid obligations .....            | 3           | 2       | 2         |
| 1050 Unobligated balance (total) .....                            | 59          | 60      | 62        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 103         | 100     | 100       |
| 1260 Appropriations, mandatory (total) .....                      | 103         | 100     | 100       |
| 1930 Total budgetary resources available .....                    | 162         | 160     | 162       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 58          | 60      | 62        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 15          | 14      | 12        |
| 3010 Obligations incurred, unexpired accounts .....               | 104         | 100     | 100       |
| 3020 Outlays (gross) .....  | –102        | –100    | –100      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –3          | –2      | –2        |
| 3050 Unpaid obligations, end of year .....                        | 14          | 12      | 10        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 15          | 14      | 12        |
| 3200 Obligated balance, end of year .....                         | 14          | 12      | 10        |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                               | 103 | 100 | 100 |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                  | 41  | 51  | 51  |
| 4101 Outlays from mandatory balances .....                       | 61  | 49  | 49  |
| 4110 Outlays, gross (total) .....                                | 102 | 100 | 100 |
| 4180 Budget authority, net (total) .....                         | 103 | 100 | 100 |
| 4190 Outlays, net (total) .....                                  | 102 | 100 | 100 |
| <b>Memorandum (non-add) entries:</b>                             |     |     |     |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 53  | 54  | 53  |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 54  | 53  | 53  |

**Claims and treaty obligations.**—Payments are made to fulfill treaty obligations with the Senecas of New York (Act of February

## MISCELLANEOUS PERMANENT APPROPRIATIONS—Continued

19, 1831), the Six Nations of New York (Act of November 11, 1794), and the Pawnees of Oklahoma (the treaty of September 24, 1857).

*Operation and maintenance, Indian irrigation systems.*—Revenues derived from charges for operation and maintenance of Indian irrigation projects are used to defray in part the cost of operating and maintaining these projects (25 USC 162a (The Act of November 4, 1983), 60 Stat. 895, P.L. 98–146).

*Power systems, Indian irrigation projects.*—Revenues collected from the sale of electric power by the Colorado River and Flathead power systems are used to operate and maintain those systems (25 USC 162a (The Act of November 4, 1983), 60 Stat. 895; 65 Stat. 254, P.L. 98–146). This activity also includes Cochiti Wet Field Solution funds that were transferred from the Corps of Engineers to pay for operation and maintenance, repair, and replacement of the on-going drainage system (P.L. 102–358).

*Alaska resupply program.*—Revenues collected from operation of the Alaska Resupply Program are used to operate and maintain this program (P.L. 77–457, 56 Stat. 95).

## Object Classification (in millions of dollars)

| Identification code 14–9925–0–2–452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 15          | 12      | 12        |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 17          | 14      | 14        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 5         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 30          | 30      | 30        |
| 25.2 Other services from non-Federal sources .....              | 20          | 33      | 33        |
| 25.3 Other goods and services from Federal sources .....        | 3           | 3       | 3         |
| 25.4 Operation and maintenance of facilities .....              | 5           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 6           | 5       | 5         |
| 31.0 Equipment .....  | 2           | 2       | 2         |
| 32.0 Land and structures .....                                  | 12          | 4       | 4         |
| 41.0 Grants, subsidies, and contributions .....                 | 2           |         |           |
| 99.9 Total new obligations .....                                | 104         | 100     | 100       |

## Employment Summary

| Identification code 14–9925–0–2–452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 275         | 275     | 275       |

## INDIAN DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 14–4416–0–3–452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                   |             |         |           |
| Credit program obligations:  |             |         |           |
| 0715 Other (Rounding) .....  | 1           | 1       | 1         |
| 0743 Interest on downward reestimates .....                        | 2           |         |           |
| 0900 Total new obligations .....                                   | 3           | 1       | 1         |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3           | 1       |           |
| 1023 Unobligated balances applied to repay debt .....              | –1          | –1      |           |
| 1050 Unobligated balance (total) .....                             | 2           |         |           |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 2           | 1       | 1         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2           | 1       | 1         |
| 1930 Total budgetary resources available .....                     | 4           | 1       | 1         |

|  |    |    |    |
|--|----|----|----|
| Memorandum (non-add) entries:                                |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....        | 1  |    |    |
| Change in obligated balance:                                 |    |    |    |
| Unpaid obligations:  |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 .....        | 1  | 1  | 1  |
| 3010 Obligations incurred, unexpired accounts .....          | 3  | 1  | 1  |
| 3020 Financing disbursements (gross) .....                   | –3 | –1 | –1 |
| 3050 Unpaid obligations, end of year .....                   | 1  | 1  | 1  |
| Memorandum (non-add) entries:                                |    |    |    |
| 3100 Obligated balance, start of year .....                  | 1  | 1  | 1  |
| 3200 Obligated balance, end of year .....                    | 1  | 1  | 1  |
| Financing authority and disbursements, net:                  |    |    |    |
| Mandatory:   |    |    |    |
| 4090 Financing authority, gross .....                        | 2  | 1  | 1  |
| Financing disbursements:                                     |    |    |    |
| 4110 Financing disbursements, gross .....                    | 3  | 1  | 1  |
| Offsets against gross financing authority and disbursements: |    |    |    |
| Offsetting collections (collected) from:                     |    |    |    |
| 4123 Collections of loans .....                              | –2 | –1 | –1 |
| 4190 Financing disbursements, net (total) .....              | 1  |    |    |

## Status of Direct Loans (in millions of dollars)

| Identification code 14–4416–0–3–452               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 4           | 3       | 2         |
| 1251 Repayments: Repayments and prepayments ..... | –1          | –1      | –1        |
| 1290 Outstanding, end of year .....               | 3           | 2       | 1         |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 14–4416–0–3–452                                   | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                | 3           |             |
| Net value of assets related to post-1991 direct loans receivable:     |             |             |
| 1401 Direct loans receivable, gross .....                             | 4           | 3           |
| 1405 Allowance for subsidy cost (-) .....                             | –1          | 2           |
| 1499 Net present value of assets related to direct loans .....        | 3           | 5           |
| 1901 Other Federal assets: Upward Subsidy Reestimate Receivable ..... | 1           |             |
| 1999 Total assets .....   | 7           | 5           |
| LIABILITIES:  |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....         | 7           | 5           |
| 4999 Total liabilities and net position .....                         | 7           | 5           |

## REVOLVING FUND FOR LOANS LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 14–4409–0–3–452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Budgetary Resources:  |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 1           | 1       | 1         |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | –1          | –1      | –1        |
| Budget authority and outlays, net:  |             |         |           |
| Mandatory:  |             |         |           |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | –1          | –1      | –1        |
| 4180 Budget authority, net (total) .....  | –1          | –1      | –1        |
| 4190 Outlays, net (total) .....   | –1          | –1      | –1        |



**Status of Direct Loans** (in millions of dollars)

| Identification code 14-4409-0-3-452               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 4           | 3       | 2         |
| 1251 Repayments: Repayments and prepayments ..... | -1          | -1      | -1        |
| 1290 Outstanding, end of year .....               | 3           | 2       | 1         |

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

| Identification code 14-4409-0-3-452                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1601 Direct loans, gross .....  | 4           | 3           |
| 1602 Interest receivable .....  | 2           | 2           |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -2          | -2          |
| 1699 Value of assets related to direct loans .....                      | 4           | 3           |
| 1999 Total assets .....   | 4           | 3           |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 4           | 3           |
| 4999 Total liabilities and net position .....                           | 4           | 3           |

**INDIAN GUARANTEED LOAN PROGRAM ACCOUNT**

For the cost of guaranteed loans and insured loans, \$5,018,000, of which \$981,000 is for administrative expenses, as authorized by the Indian Financing Act of 1974, as amended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed or insured, not to exceed \$70,176,166.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 14-2628-0-1-452                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0702 Loan guarantee subsidy .....                            | 6           | 4       | 4         |
| 0707 Reestimates of loan guarantee subsidy .....             | 1           |         |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... | 1           |         |           |
| 0709 Administrative expenses .....                           | 1           | 1       | 1         |
| 0900 Total new obligations .....                             | 9           | 5       | 5         |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....        |             | 1       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                               |             |         |           |
| 1100 Appropriation .....                                     | 7           | 7       | 5         |
| 1160 Appropriation, discretionary (total) .....              | 7           | 7       | 5         |
| Appropriations, mandatory:                                   |             |         |           |
| 1200 Appropriation .....                                     | 3           |         |           |
| 1260 Appropriations, mandatory (total) .....                 | 3           |         |           |
| 1900 Budget authority (total) .....                          | 10          | 7       | 5         |
| 1930 Total budgetary resources available .....               | 10          | 8       | 8         |
| Memorandum (non-add) entries:                                |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....        | 1           | 3       | 3         |

**Change in obligated balance:**

|   |     |    |    |
|---|-----|----|----|
| Unpaid obligations:   |     |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 6   | 4  | 2  |
| 3010 Obligations incurred, unexpired accounts .....             | 9   | 5  | 5  |
| 3020 Outlays (gross) .....                                      | -10 | -7 | -7 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1  |    |    |
| 3050 Unpaid obligations, end of year .....                      | 4   | 2  |    |
| Memorandum (non-add) entries:                                   |     |    |    |
| 3100 Obligated balance, start of year .....                     | 6   | 4  | 2  |
| 3200 Obligated balance, end of year .....                       | 4   | 2  |    |

**Budget authority and outlays, net:**

|   |    |   |   |
|---|----|---|---|
| Discretionary:                                      |    |   |   |
| 4000 Budget authority, gross .....                  | 7  | 7 | 5 |
| Outlays, gross:                                     |    |   |   |
| 4010 Outlays from new discretionary authority ..... | 7  | 1 | 1 |
| 4011 Outlays from discretionary balances .....      |    | 6 | 6 |
| 4020 Outlays, gross (total) .....                   | 7  | 7 | 7 |
| Mandatory:  |    |   |   |
| 4090 Budget authority, gross .....                  | 3  |   |   |
| Outlays, gross:                                     |    |   |   |
| 4101 Outlays from mandatory balances .....          | 3  |   |   |
| 4180 Budget authority, net (total) .....            | 10 | 7 | 5 |
| 4190 Outlays, net (total) .....                     | 10 | 7 | 7 |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 14-2628-0-1-452                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct loan downward reestimates:</b>                               |             |         |           |
| 137001 Indian Direct Loans .....                                       | -2          |         |           |
| 137999 Total downward reestimate budget authority .....                | -2          |         |           |
| <b>Guaranteed loan levels supportable by subsidy budget authority:</b> |             |         |           |
| 215001 Indian Guaranteed Loans .....                                   | 72          | 72      | 69        |
| 215002 Indian Insured Loans .....                                      | 1           | 1       | 1         |
| 215999 Total loan guarantee levels .....                               | 73          | 73      | 70        |
| <b>Guaranteed loan subsidy (in percent):</b>                           |             |         |           |
| 232001 Indian Guaranteed Loans .....                                   | 8.42        | 5.57    | 5.79      |
| 232002 Indian Insured Loans .....                                      | 5.68        | 3.00    | 3.17      |
| 232999 Weighted average subsidy rate .....                             | 8.38        | 5.53    | 5.75      |
| <b>Guaranteed loan subsidy budget authority:</b>                       |             |         |           |
| 233001 Indian Guaranteed Loans .....                                   | 6           | 4       | 4         |
| 233999 Total subsidy budget authority .....                            | 6           | 4       | 4         |
| <b>Guaranteed loan subsidy outlays:</b>                                |             |         |           |
| 234001 Indian Guaranteed Loans .....                                   | 4           | 4       | 4         |
| 234002 Indian Insured Loans .....                                      | 3           |         |           |
| 234999 Total subsidy outlays .....                                     | 7           | 4       | 4         |
| <b>Guaranteed loan upward reestimates:</b>                             |             |         |           |
| 235001 Indian Guaranteed Loans .....                                   | 2           |         |           |
| 235999 Total upward reestimate budget authority .....                  | 2           |         |           |
| <b>Guaranteed loan downward reestimates:</b>                           |             |         |           |
| 237001 Indian Guaranteed Loans .....                                   | -28         |         |           |
| 237999 Total downward reestimate subsidy budget authority .....        | -28         |         |           |
| <b>Administrative expense data:</b>                                    |             |         |           |
| 3510 Budget authority .....  | 1           | 1       | 1         |
| 3590 Outlays from new authority .....                                  | 1           | 1       | 1         |

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with guaranteed and insured loans committed in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program including improvements to IT systems. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis. Guaranteed and insured loans are targeted to projects with an emphasis on manufacturing, business services, and tourism (hotels, motels, restaurants) providing increased economic development on Indian reservations.

## INDIAN GUARANTEED LOAN PROGRAM ACCOUNT—Continued

## Object Classification (in millions of dollars)

| Identification code 14-2628-0-1-452                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 8           | 4       | 4         |
| 99.9 Total new obligations .....                         | 9           | 5       | 5         |

## INDIAN GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 14-4415-0-3-452                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| 0003 Interest supplement payments .....                                   | 1           | 2       | 2         |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....                            |             | 2       | 2         |
| 0712 Default claim payments on interest .....                             |             | 1       | 1         |
| 0742 Downward reestimate paid to receipt account .....                    | 20          |         |           |
| 0743 Interest on downward reestimates .....                               | 9           |         |           |
| 0791 Direct program activities, subtotal .....                            | 29          | 3       | 3         |
| 0900 Total new obligations .....  | 30          | 5       | 5         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 69          | 56      | 58        |
| Financing authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1801 Collected .....  | 13          | 7       | 7         |
| 1801 Change in uncollected payments, Federal sources .....                | 4           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 17          | 7       | 7         |
| 1930 Total budgetary resources available .....                            | 86          | 63      | 65        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 56          | 58      | 60        |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                       | 30          | 5       | 5         |
| 3020 Financing disbursements (gross) .....                                | -30         | -5      | -5        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         |             | -4      | -4        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | -4          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -4          | -4      | -4        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               |             | -4      | -4        |
| 3200 Obligated balance, end of year .....                                 | -4          | -4      | -4        |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 17          | 7       | 7         |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 30          | 5       | 5         |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Payments from program account .....                                  | -9          | -4      | -4        |
| 4122 Interest on uninvested funds .....                                   | -2          | -2      | -2        |
| 4123 Non-Federal sources .....  | -2          | -1      | -1        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -13         | -7      | -7        |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -4          |         |           |
| 4170 Financing disbursements, net (mandatory) .....                       | 17          | -2      | -2        |
| 4190 Financing disbursements, net (total) .....                           | 17          | -2      | -2        |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 14-4415-0-3-452                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....      | 73          | 73      | 70        |
| 2150 Total guaranteed loan commitments .....                           | 73          | 73      | 70        |
| 2199 Guaranteed amount of guaranteed loan commitments .....            | 67          | 66      | 63        |

## Cumulative balance of guaranteed loans outstanding:

|  |     |     |     |
|--|-----|-----|-----|
| 2210 Outstanding, start of year .....  | 483 | 522 | 512 |
| 2231 Disbursements of new guaranteed loans .....                                 | 82  | 76  | 73  |
| 2251 Repayments and prepayments .....  | -43 | -84 | -82 |
| 2261 Adjustments: Terminations for default that result in loans receivable ..... |     | -2  | -2  |
| 2290 Outstanding, end of year .....  | 522 | 512 | 501 |

## Memorandum:

|   |     |     |     |
|---|-----|-----|-----|
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 469 | 461 | 451 |
|---|-----|-----|-----|

## Addendum:

## Cumulative balance of defaulted guaranteed loans that result in loans receivable:

|   |    |    |    |
|---|----|----|----|
| 2310 Outstanding, start of year .....               | 11 | 8  | 9  |
| 2331 Disbursements for guaranteed loan claims ..... |    | 2  | 2  |
| 2351 Repayments of loans receivable .....           | -3 | -1 | -1 |
| 2361 Write-offs of loans receivable .....           |    |    |    |
| 2390 Outstanding, end of year .....                 | 8  | 9  | 10 |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 14-4415-0-3-452  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                                   | 69          | 48          |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 11          | 8           |
| 1502 Interest receivable .....   | 2           | 2           |
| 1505 Allowance for subsidy cost (-) .....  | -12         | -9          |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             | 1           | 1           |
| 1901 Other Federal assets: Upward Subsidy Reestimate Receivable .....                    | 2           | 3           |
| 1999 Total assets .....  | 72          | 52          |
| <b>LIABILITIES:</b>  |             |             |
| 2105 Federal liabilities: Other-Downward Reestimate .....                                | 30          | 23          |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees .....                      | 42          | 29          |
| 2999 Total liabilities .....   | 72          | 52          |
| 4999 Total liabilities and net position .....  | 72          | 52          |

## INDIAN LOAN GUARANTY AND INSURANCE FUND LIQUIDATING ACCOUNT

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 14-4410-0-3-452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Addendum:</b>  |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 2           | 1       | 1         |
| 2351 Repayments of loans receivable .....   | -1          |         |           |
| 2390 Outstanding, end of year .....   | 1           | 1       | 1         |

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

| Identification code 14-4410-0-3-452                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1701 Defaulted guaranteed loans, gross .....                            | 2           | 1           |
| 1702 Interest receivable .....  | 1           | 1           |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -3          | -1          |
| 1799 Value of assets related to loan guarantees .....                   |             | 1           |
| 1999 Total assets .....   |             | 1           |

**BUREAU OF INDIAN AFFAIRS—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

*Note.*—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:

The Department of the Interior: Department-wide Programs: "Natural Resource Damage Assessment Fund"

The Department of the Interior: Department-wide Programs: "Wildland Fire Management"

The Department of Transportation: Federal Highway Administration: "Federal-Aid Highways"

The Department of the Interior: Office of the Special Trustee for American Indians: "Federal Trust Programs"

**Trust Funds****GIFTS AND DONATIONS, BUREAU OF INDIAN AFFAIRS****Program and Financing** (in millions of dollars)

| Identification code 14-8361-0-7-501                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    |             | 1       | 1         |
| 0900 Total new obligations (object class 41.0) .....  |             | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           | 2       | 1         |
| 1930 Total budgetary resources available .....        | 2           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 1       |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           |         | 1         |
| 3010 Obligations incurred, unexpired accounts .....   |             | 1       | 1         |
| 3020 Outlays (gross) .....                            | -1          |         |           |
| 3050 Unpaid obligations, end of year .....            |             | 1       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           |         | 1         |
| 3200 Obligated balance, end of year .....             |             | 1       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            | 1           |         |           |
| 4190 Outlays, net (total) .....                       | 1           |         |           |

**Donations and contributed funds.**—The Secretary of the Interior may accept donations of funds or other property, and may use the donated property in accordance with the terms of the donation in furtherance of any program authorized by other provision of law for the benefit of Indians (25 U.S.C. 451).

**ADMINISTRATIVE PROVISIONS**

*The Bureau of Indian Affairs may carry out the operation of Indian programs by direct expenditure, contracts, cooperative agreements, compacts, and grants, either directly or in cooperation with States and other organizations.*

*Notwithstanding 25 U.S.C. 15, the Bureau of Indian Affairs may contract for services in support of the management, operation, and maintenance of the Power Division of the San Carlos Irrigation Project.*

*Appropriations for the Bureau of Indian Affairs (except the Revolving Fund for Loans Liquidating Account, Indian Loan Guaranty and Insur-*

*ance Fund Liquidating Account, Indian Guaranteed Loan Financing Account, Indian Direct Loan Financing Account, and the Indian Guaranteed Loan Program account) shall be available for expenses of exhibits.*

*Notwithstanding any other provision of law, no funds available to the Bureau of Indian Affairs for central office oversight and Executive Direction and Administrative Services (except executive direction and administrative services funding for Tribal Priority Allocations, regional offices, and facilities operations and maintenance) shall be available for contracts, grants, compacts, or cooperative agreements with the Bureau of Indian Affairs under the provisions of the Indian Self-Determination Act or the Tribal Self-Governance Act of 1994 (Public Law 103-413).*

*In the event any tribe returns appropriations made available by this Act to the Bureau of Indian Affairs, this action shall not diminish the Federal Government's trust responsibility to that tribe, or the government-to-government relationship between the United States and that tribe, or that tribe's ability to access future appropriations.*

*Notwithstanding any other provision of law, no funds available to the Bureau, other than the amounts provided herein for assistance to public schools under 25 U.S.C. 452 et seq., shall be available to support the operation of any elementary or secondary school in the State of Alaska.*

*No funds available to the Bureau shall be used to support expanded grades for any school or dormitory beyond the grade structure in place or approved by the Secretary of the Interior at each school in the Bureau school system as of October 1, 1995. Appropriations made available in this or any prior Act for schools funded by the Bureau shall be available, in accordance with the BIE funding formula, only to the schools in the Bureau school system as of September 1, 1996 and to any school or school program that was re-instated in FY 2012. Funds made available under this Act may not be used to establish a charter school at a Bureau-funded school (as that term is defined in section 1141 of the Education Amendments of 1978 (25 U.S.C. 2021)), except that a charter school that is in existence on the date of the enactment of this Act and that has operated at a Bureau-funded school before September 1, 1999, may continue to operate during that period, but only if the charter school pays to the Bureau a pro rata share of funds to reimburse the Bureau for the use of the real and personal property (including buses and vans), the funds of the charter school are kept separate and apart from Bureau funds, and the Bureau does not assume any obligation for charter school programs of the State in which the school is located if the charter school loses such funding. Employees of Bureau-funded schools sharing a campus with a charter school and performing functions related to the charter school's operation and employees of a charter school shall not be treated as Federal employees for purposes of chapter 171 of title 28, United States Code.*

*Notwithstanding any other provision of law, including section 113 of title I of appendix C of Public Law 106-113, if in fiscal year 2003 or 2004 a grantee received indirect and administrative costs pursuant to a distribution formula based on section 5(f) of Public Law 101-301, the Secretary shall continue to distribute indirect and administrative cost funds to such grantee using the section 5(f) distribution formula.*

**DEPARTMENTAL OFFICES****DEPARTMENTAL OFFICES****Federal Funds****OFFICE OF THE SECRETARY****DEPARTMENTAL OPERATIONS**

*For necessary expenses for management of the Department of the Interior, including the collection and disbursement of royalties, fees, and other mineral revenue proceeds, and for grants and cooperative agreements, as authorized by law, \$268,868,000, to remain available until September 30, 2015; of which not to exceed \$15,000 may be for official reception and representation expenses; and of which up to \$1,000,000 shall be available for workers compensation payments and unemployment compensation payments associated with the orderly closure of the United States Bureau of Mines; and of which \$12,168,000 for the Office of Valuation Services is to be derived from the Land and Water Conservation Fund and shall remain available until expended; and of which \$38,300,000 shall remain available until expended for the purpose of mineral revenue management activities: Provided, That, for fiscal year 2014, up to \$400,000 of the payments authorized by the Act of October 20, 1976, as amended (31 U.S.C.*

## OFFICE OF THE SECRETARY—Continued

6901–6907) may be retained for administrative expenses of the Payments in Lieu of Taxes Program: Provided further, That no payment shall be made pursuant to that Act to otherwise eligible units of local government if the computed amount of the payment is less than \$100: Provided further, That notwithstanding any other provision of law, \$15,000 under this heading shall be available for refunds of overpayments in connection with certain Indian leases in which the Secretary concurred with the claimed refund due, to pay amounts owed to Indian allottees or tribes, or to correct prior unrecoverable erroneous payments: Provided further, That, notwithstanding the provisions of section 35(b) of the Mineral Leasing Act, as amended (30 U.S.C. 191(b)), the Secretary shall deduct 2 percent from the amount payable to each State in fiscal year 2014 and deposit the amount deducted to miscellaneous receipts of the Treasury.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 14–0102–0–1–306                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0012 Leadership and Administration .....                             | 118         | 125     | 125       |
| 0013 Management Services .....                                       | 22          | 26      | 21        |
| 0014 Office of Natural Resources Revenue .....                       | 112         | 149     | 126       |
| 0015 Disaster Relief Appropriations Act, 2013 .....                  |             | 90      | 270       |
| 0100 Direct program subtotal .....                                   | 252         | 390     | 542       |
| 0799 Total direct obligations .....                                  | 252         | 390     | 542       |
| 0804 Leadership and Administration .....                             | 46          | 60      | 60        |
| 0806 Office of Natural Resources Revenue .....                       | 30          |         |           |
| 0899 Total reimbursable obligations .....                            | 76          | 60      | 60        |
| 0900 Total new obligations .....                                     | 328         | 450     | 602       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 8           | 44      | 278       |
| 1011 Unobligated balance transfer from other accts [14–1917] .....   | 54          |         |           |
| 1050 Unobligated balance (total) .....                               | 62          | 44      | 278       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 249         | 611     | 257       |
| 1101 Appropriation (special or trust fund) .....                     | 13          | 13      | 12        |
| 1121 Appropriations transferred from other accts [72–1021] .....     | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 263         | 624     | 269       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 46          | 60      | 60        |
| 1701 Change in uncollected payments, Federal sources .....           | 6           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 52          | 60      | 60        |
| 1900 Budget authority (total) .....                                  | 315         | 684     | 329       |
| 1930 Total budgetary resources available .....                       | 377         | 728     | 607       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –5          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 44          | 278     | 5         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 14          | 88      | 101       |
| 3010 Obligations incurred, unexpired accounts .....                  | 328         | 450     | 602       |
| 3020 Outlays (gross) .....   | –275        | –437    | –501      |
| 3031 Unpaid obligations transferred from other accts [14–1917] ..... | 22          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 88          | 101     | 202       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –11         | –7      | –7        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –6          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 10          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –7          | –7      | –7        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 3           | 81      | 94        |
| 3200 Obligated balance, end of year .....                            | 81          | 94      | 195       |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                                  | 315 | 684 | 329 |
| Outlays, gross:   |     |     |     |
| 4010 Outlays from new discretionary authority .....                 | 261 | 353 | 303 |
| 4011 Outlays from discretionary balances .....                      | 14  | 84  | 198 |
| 4020 Outlays, gross (total) .....                                   | 275 | 437 | 501 |
| Offsets against gross budget authority and outlays:                 |     |     |     |
| Offsetting collections (collected) from:                            |     |     |     |
| 4030 Federal sources .....  | –47 | –60 | –60 |
| Additional offsets against gross budget authority only:             |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –6  |     |     |
| 4052 Offsetting collections credited to expired accounts .....      | 1   |     |     |
| 4060 Additional offsets against budget authority only (total) ..... | –5  |     |     |
| 4070 Budget authority, net (discretionary) .....                    | 263 | 624 | 269 |
| 4080 Outlays, net (discretionary) .....                             | 228 | 377 | 441 |
| 4180 Budget authority, net (total) .....                            | 263 | 624 | 269 |
| 4190 Outlays, net (total) .....                                     | 228 | 377 | 441 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 263         | 624     | 269       |
| Outlays .....                           | 228         | 377     | 441       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 6         |
| Outlays .....                           |             |         | 5         |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 263         | 624     | 275       |
| Outlays .....                           | 228         | 377     | 446       |

This appropriation supports the functions of the Office of the Secretary of the Interior, including executive-level leadership, policy, guidance, and coordination of the responsibilities carried out by its bureaus and offices. In addition, the appropriation supports programmatic functions carried out by the Office of the Secretary including mineral revenue collections, the Take Pride in America program, the Department's quasi-judicial and appellate responsibilities, and the Office of Valuation Services. The appropriation also provides for workers and unemployment compensation payments for former Bureau of Mines employees.

Office of Natural Resources Revenue (ONRR). The Office of Natural Resources Revenue seeks to ensure that the Nation's Federal and Indian mineral revenues are accurately reported and paid in compliance with laws, regulations, and lease terms. Revenues collected by ONRR represent one of the largest sources of non-tax revenue to the Federal Government. ONRR disburses mineral revenues to States, the Office of the Special Trustee for American Indians, other Federal agencies, and the General Fund of the United States Treasury. Through ONRR, the Administration will continue to implement mineral revenue collection reforms, including the termination of the royalty-in-kind (RIK) program and the implementation of recommendations from GAO, the Department's Inspector General, and others. To solidify its reform of the RIK program, the Administration will propose legislation to repeal all Federal authorities to accept future royalties in-kind (rather than in cash).

## Object Classification (in millions of dollars)

| Identification code 14–0102–0–1–306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 102         | 103     | 104       |
| 11.3 Other than full-time permanent .....                       | 6           | 7       | 7         |
| 11.5 Other personnel compensation .....                         | 3           | 3       | 3         |
| 11.9 Total personnel compensation .....                         | 111         | 113     | 114       |
| 12.1 Civilian personnel benefits .....                          | 31          | 32      | 33        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 26          | 28      | 28        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 25.1 | Advisory and assistance services .....              | 26  | 26  | 26  |
| 25.2 | Other services from non-Federal sources .....       | 5   | 95  | 195 |
| 25.3 | Other goods and services from Federal sources ..... | 30  | 73  | 123 |
| 26.0 | Supplies and materials .....                        | 1   | 1   | 1   |
| 31.0 | Equipment .....                                     | 7   | 7   | 7   |
| 41.0 | Grants, subsidies, and contributions .....          | 11  | 11  | 11  |
| 99.0 | Direct obligations .....                            | 252 | 390 | 542 |
| 99.0 | Reimbursable obligations .....                      | 76  | 60  | 60  |
| 99.9 | Total new obligations .....                         | 328 | 450 | 602 |

**Employment Summary**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 14-0102-0-1-306                                    | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment .....             | 1,066       | 1,111   | 1,136     |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 278         | 289     | 289       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 64          | 60      | 60        |

**SALARIES AND EXPENSES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 14-0102-4-1-306                             | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0013 Management Services .....                                  |             |         | 6         |
| 0100 Direct program subtotal .....                              |             |         | 6         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1221 Appropriations transferred from other accts [14-5005] .... |             |         | 6         |
| 1260 Appropriations, mandatory (total) .....                    |             |         | 6         |
| 1930 Total budgetary resources available .....                  |             |         | 6         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....             |             |         | 6         |
| 3020 Outlays (gross) .....                                      |             |         | -5        |
| 3050 Unpaid obligations, end of year .....                      |             |         | 1         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3200 Obligated balance, end of year .....                       |             |         | 1         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              |             |         | 6         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 |             |         | 5         |
| 4180 Budget authority, net (total) .....                        |             |         | 6         |
| 4190 Outlays, net (total) .....                                 |             |         | 5         |

**Object Classification** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 14-0102-4-1-306                    | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             |         | 1         |
| 25.2 Other services from non-Federal sources .....     |             |         | 5         |
| 99.9 Total new obligations .....                       |             |         | 6         |

**Employment Summary**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 14-0102-4-1-306                        | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment ..... |             |         | 10        |

**MINERAL LEASING AND ASSOCIATED PAYMENTS****Special and Trust Fund Receipts** (in millions of dollars)

|                                     |             |         |           |
|-------------------------------------|-------------|---------|-----------|
| Identification code 14-5003-0-2-999 | 2012 actual | 2013 CR | 2014 est. |
| 0100 Balance, start of year .....   |             |         |           |

|  |        |        |        |
|--|--------|--------|--------|
| Receipts:  |        |        |        |
| 0220 Receipts from Mineral Leasing, Public Lands ..... | 2,050  | 2,057  | 2,142  |
| 0400 Total: Balances and collections .....             | 2,050  | 2,057  | 2,142  |
| Appropriations:  |        |        |        |
| 0500 Mineral Leasing and Associated Payments .....     | -2,050 | -2,057 | -2,142 |
| 0799 Balance, end of year .....                        |        |        |        |

**Program and Financing** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 14-5003-0-2-999                  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 2,050       | 2,017   | 2,100     |
| 0900 Total new obligations (object class 41.0) ..... | 2,050       | 2,017   | 2,100     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1130 Appropriations permanently reduced .....        |             | -40     | -42       |
| 1160 Appropriation, discretionary (total) .....      |             | -40     | -42       |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 2,050       | 2,057   | 2,142     |
| 1260 Appropriations, mandatory (total) .....         | 2,050       | 2,057   | 2,142     |
| 1900 Budget authority (total) .....                  | 2,050       | 2,017   | 2,100     |
| 1930 Total budgetary resources available .....       | 2,050       | 2,017   | 2,100     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 2,050       | 2,017   | 2,100     |
| 3020 Outlays (gross) .....                           | -2,050      | -2,017  | -2,100    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             | -40     | -42       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             | -40     | -42       |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 2,050       | 2,057   | 2,142     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 2,050       | 2,057   | 2,142     |
| 4180 Budget authority, net (total) .....             | 2,050       | 2,017   | 2,100     |
| 4190 Outlays, net (total) .....                      | 2,050       | 2,017   | 2,100     |

**MINERAL LEASING AND ASSOCIATED PAYMENTS**

## (Legislative proposal, subject to PAYGO)

States receive 50 percent of Federal revenues generated from mineral production occurring on Federal lands within that State's boundaries. To partially cover the costs of administering the Federal mineral leasing program, the Budget proposes to amend the Mineral Leasing Act (MLA) to deduct two percent from the required payments to States under the Act. Until 2000, the Department (acting through the former Minerals Management Service) was authorized to deduct a certain amount from State mineral revenue payments based on an assessment of the Federal Government's costs to manage and oversee mineral leasing and production. This was commonly referred to as "net receipts sharing," or NRS. The NRS authority was repealed in 2000, during a time of Federal budget surpluses. Since FY 2008, Congress has annually required a two-percent deduction from each year's State payments as part of the Interior, Environment, and Related Agencies Appropriations Acts. This two-percent deduction (equivalent to one percent of total mineral revenues) is a simpler form of net receipts sharing that addresses concerns raised about the administrative complexity of the original NRS process. The Administration proposes continuing net receipts sharing through the appropriations process in 2014. Separate authorizing legislation to amend the Mineral Leasing Act would make this change in the Federal-State revenue allocation permanent starting in 2015.

## NATIONAL PETROLEUM RESERVE, ALASKA

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14-5045-0-2-806  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Receipts from Oil and Gas Leases, National Petroleum Reserve in Alaska, MMS ..... | 5           | 3       | 3         |
| 0400 Total: Balances and collections .....   | 5           | 3       | 3         |
| Appropriations:  |             |         |           |
| 0500 National Petroleum Reserve, Alaska .....  | -5          | -3      | -3        |
| 0501 National Petroleum Reserve, Alaska .....  |             |         | 3         |
| 0599 Total appropriations .....  | -5          | -3      |           |
| 0799 Balance, end of year .....  |             |         | 3         |

## Program and Financing (in millions of dollars)

| Identification code 14-5045-0-2-806   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                     |             |         |           |
| 0001 Direct program activity .....  | 5           | 3       | 3         |
| 0900 Total new obligations (object class 41.0) .....                        | 5           | 3       | 3         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| 1201 Appropriations, mandatory: Appropriation (special or trust fund) ..... | 5           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....                                | 5           | 3       | 3         |
| 1930 Total budgetary resources available .....                              | 5           | 3       | 3         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                         | 5           | 3       | 3         |
| 3020 Outlays (gross) .....  | -5          | -3      | -3        |
| <b>Budget authority and outlays, net:</b>                                   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 5           | 3       | 3         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                             | 5           | 3       | 3         |
| 4180 Budget authority, net (total) .....                                    | 5           | 3       | 3         |
| 4190 Outlays, net (total) .....   | 5           | 3       | 3         |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 5           | 3       | 3         |
| Outlays .....                           | 5           | 3       | 3         |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | -3        |
| Outlays .....                           |             |         | -3        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 5           | 3       |           |
| Outlays .....                           | 5           | 3       |           |

*Payments to Alaska from oil and gas leasing in the National Petroleum Reserve-Alaska (NPR-A).—P.L. 96-514 requires that 50 percent of all revenues received from oil and gas leasing in the NPR-A be paid to the State of Alaska.*

## NATIONAL PETROLEUM RESERVE, ALASKA

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 14-5045-4-2-806                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | -3        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | -3        |

## Budgetary Resources:

|  |  |  |    |
|--|--|--|----|
| Budget authority:                                |  |  |    |
| Appropriations, mandatory:                       |  |  |    |
| 1201 Appropriation (special or trust fund) ..... |  |  | -3 |
| 1260 Appropriations, mandatory (total) .....     |  |  | -3 |
| 1930 Total budgetary resources available .....   |  |  | -3 |

## Change in obligated balance:

|   |  |  |    |
|---|--|--|----|
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | -3 |
| 3020 Outlays (gross) .....                          |  |  | 3  |

## Budget authority and outlays, net:

|   |  |  |    |
|---|--|--|----|
| Mandatory:                                      |  |  |    |
| 4090 Budget authority, gross .....              |  |  | -3 |
| Outlays, gross:                                 |  |  |    |
| 4100 Outlays from new mandatory authority ..... |  |  | -3 |
| 4180 Budget authority, net (total) .....        |  |  | -3 |
| 4190 Outlays, net (total) .....                 |  |  | -3 |

The Budget proposes to temporarily halt revenue sharing payments to the State of Alaska from NPR-A oil and gas development in order to reallocate these resources to a new Alaska Land Conveyance and Remediation Fund. This fund would be used to address priority BLM program needs in Alaska, as discussed under the BLM Permanent Operating Funds account heading. The regular 50/50 Federal-State revenue sharing arrangement would resume once the work on these two Alaska-specific activities is complete.

## LEASES OF LANDS ACQUIRED FOR FLOOD CONTROL, NAVIGATION, AND ALLIED PURPOSES

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14-5248-0-2-302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes ..... | 24          | 26      | 27        |
| 0400 Total: Balances and collections .....   | 24          | 26      | 27        |
| Appropriations:  |             |         |           |
| 0500 Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes ..... | -24         | -26     | -27       |
| 0799 Balance, end of year .....  |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 14-5248-0-2-302                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 24          | 26      | 27        |
| 0900 Total new obligations (object class 41.0) ..... | 24          | 26      | 27        |

## Budgetary Resources:

|  |    |    |    |
|--|----|----|----|
| Budget authority:                                |    |    |    |
| Appropriations, mandatory:                       |    |    |    |
| 1201 Appropriation (special or trust fund) ..... | 24 | 26 | 27 |
| 1260 Appropriations, mandatory (total) .....     | 24 | 26 | 27 |
| 1930 Total budgetary resources available .....   | 24 | 26 | 27 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                 |     |     |     |
| 3010 Obligations incurred, unexpired accounts ..... | 24  | 26  | 27  |
| 3020 Outlays (gross) .....                          | -24 | -26 | -27 |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Mandatory:                                      |    |    |    |
| 4090 Budget authority, gross .....              | 24 | 26 | 27 |
| Outlays, gross:                                 |    |    |    |
| 4100 Outlays from new mandatory authority ..... | 24 | 26 | 27 |
| 4180 Budget authority, net (total) .....        | 24 | 26 | 27 |
| 4190 Outlays, net (total) .....                 | 24 | 26 | 27 |

Flood control payments to States are shared according to the Flood Control Act of 1936 (33 U.S.C. 701 et seq.) which provides that 75 percent of revenue collected be shared with the State in which it was collected. These funds are to be expended as the State legislature may prescribe for the benefit of the public schools and roads in the county from which the revenue was collected or for defraying any of the expenses of county government. These expenses include public obligations of levee and drainage districts for flood control and drainage improvements.

## NATIONAL FORESTS FUND, PAYMENT TO STATES

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14-5243-0-2-302                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                    |             |         |           |
| Receipts:  |             |         |           |
| 0220 National Forests Fund, Payments to States ..... | 10          | 8       | 8         |
| 0400 Total: Balances and collections .....           | 10          | 8       | 8         |
| Appropriations:                                      |             |         |           |
| 0500 National Forests Fund, Payment to States .....  | -10         | -8      | -8        |
| 0799 Balance, end of year .....                      |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 14-5243-0-2-302                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 10          | 8       | 8         |
| 0900 Total new obligations (object class 41.0) ..... | 10          | 8       | 8         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 10          | 8       | 8         |
| 1260 Appropriations, mandatory (total) .....         | 10          | 8       | 8         |
| 1930 Total budgetary resources available .....       | 10          | 8       | 8         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 10          | 8       | 8         |
| 3020 Outlays (gross) .....                           | -10         | -8      | -8        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 10          | 8       | 8         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 10          | 8       | 8         |
| 4180 Budget authority, net (total) .....             | 10          | 8       | 8         |
| 4190 Outlays, net (total) .....                      | 10          | 8       | 8         |

As of May 23, 1908 (16 U.S.C. 499), 25 percent of the revenues collected from onshore mineral leasing and production on national forest lands have been paid to the State in which the national forest resides. A State's payment is based on national forest acreage and when a national forest is situated in several States, an individual State payment is proportionate to its area within that particular national forest. These payments are now administered by the Office of Natural Resources Revenue within the Department of the Interior's Office of the Secretary.

## GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14-5574-0-2-806                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Geothermal Lease Revenues, County Share ..... | 4           | 4       | 4         |

|   |    |    |    |
|---|----|----|----|
| 0221 Geothermal Lease Revenues, County Share .....        |    |    | -4 |
| 0299 Total receipts and collections .....                 | 4  | 4  |    |
| 0400 Total: Balances and collections .....                | 4  | 4  |    |
| Appropriations:   |    |    |    |
| 0500 Geothermal Lease Revenues, Payment to Counties ..... | -4 | -4 | -4 |
| 0501 Geothermal Lease Revenues, Payment to Counties ..... |    |    | 4  |
| 0599 Total appropriations .....                           | -4 | -4 |    |
| 0799 Balance, end of year .....                           |    |    |    |

## Program and Financing (in millions of dollars)

| Identification code 14-5574-0-2-806                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 4           | 4       | 4         |
| 0900 Total new obligations (object class 41.0) ..... | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 4           | 4       | 4         |
| 1260 Appropriations, mandatory (total) .....         | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....       | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 4           | 4       | 4         |
| 3020 Outlays (gross) .....                           | -4          | -4      | -4        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 4           | 4       | 4         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 4           | 4       | 4         |
| 4180 Budget authority, net (total) .....             | 4           | 4       | 4         |
| 4190 Outlays, net (total) .....                      | 4           | 4       | 4         |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 4           | 4       | 4         |
| Outlays .....                           | 4           | 4       | 4         |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | -4        |
| Outlays .....                           |             |         | -4        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 4           | 4       |           |
| Outlays .....                           | 4           | 4       |           |

The Energy Policy Act of 2005 (P.L. 109-58) amended section 20 of the Geothermal Steam Act of 1970 (30 U.S.C. 1019 et seq.). The amendment provides that for the revenues collected from geothermal leasing, 50 percent of the revenues are to be paid to the State and 25 percent are to be paid to the county in which the leased lands or geothermal resources are located.

## GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 14-5574-4-2-806                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | -4        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | -4        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     |             |         | -4        |
| 1260 Appropriations, mandatory (total) .....         |             |         | -4        |

**GEOTHERMAL LEASE REVENUES, PAYMENT TO COUNTIES—Continued**  
**Program and Financing—Continued**

| Identification code 14–5574–4–2–806                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1930 Total budgetary resources available .....      |             |         | –4        |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | –4        |
| 3020 Outlays (gross) .....                          |             |         | 4         |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  |             |         | –4        |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     |             |         | –4        |
| 4180 Budget authority, net (total) .....            |             |         | –4        |
| 4190 Outlays, net (total) .....                     |             |         | –4        |

*Terminate geothermal payments to counties.* The Budget proposes to repeal the 25 percent geothermal lease revenue sharing payments to counties established by the Energy Policy Act of 2005 (P.L. 109–58) and return to the traditional 50/50 Federal-State revenue sharing arrangement. States have the flexibility to allocate their share of revenue from Federal leases to counties, if appropriate.

**STATES SHARE FROM CERTAIN GULF OF MEXICO LEASES**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 14–5535–0–2–302   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0220 Outer Continental Shelf Rentals and Bonuses, State Share from<br>Certain Gulf of Mexico Leases ..... |             |         | 3         |
| 0400 Total: Balances and collections .....  |             |         | 3         |
| Appropriations:   |             |         |           |
| 0500 States Share from Certain Gulf of Mexico Leases .....  |             |         | –3        |
| 0799 Balance, end of year .....   |             |         |           |

**Program and Financing (in millions of dollars)**

| Identification code 14–5535–0–2–302                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 3         |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 3         |

|  |  |  |   |
|--|--|--|---|
| <b>Budgetary Resources:</b>                      |  |  |   |
| Budget authority:                                |  |  |   |
| Appropriations, mandatory:                       |  |  |   |
| 1201 Appropriation (special or trust fund) ..... |  |  | 3 |
| 1260 Appropriations, mandatory (total) .....     |  |  | 3 |
| 1930 Total budgetary resources available .....   |  |  | 3 |

|   |  |  |    |
|---|--|--|----|
| <b>Change in obligated balance:</b>                 |  |  |    |
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 3  |
| 3020 Outlays (gross) .....                          |  |  | –3 |

|   |  |  |   |
|---|--|--|---|
| <b>Budget authority and outlays, net:</b>       |  |  |   |
| Mandatory:                                      |  |  |   |
| 4090 Budget authority, gross .....              |  |  | 3 |
| Outlays, gross:                                 |  |  |   |
| 4100 Outlays from new mandatory authority ..... |  |  | 3 |
| 4180 Budget authority, net (total) .....        |  |  | 3 |
| 4190 Outlays, net (total) .....                 |  |  | 3 |

The Gulf of Mexico Energy Security Act of 2006 opened some additional areas in the Gulf of Mexico for offshore oil and gas leasing, while maintaining moratoria on activities east of the

Military Mission Line and within certain distances from the coastline of Florida. The Act provides that 37.5 percent of Outer Continental Shelf revenues from certain leases be distributed to four coastal States (Alabama, Louisiana, Mississippi, and Texas) based on a complex allocation formula, with most distributions subject to an annual cap in later years. The receipts are available in the year following collection, and the funding provided is to be used primarily for coastal protection and restoration activities. These payments are now administered by the Office of Natural Resources Revenue within the Department of the Interior's Office of the Secretary.

**ENVIRONMENTAL IMPROVEMENT AND RESTORATION FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 14–5425–0–2–302   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 1,210       | 1,250   | 1,251     |
| Receipts:   |             |         |           |
| 0240 Interest Earned, Environmental Improvement and Restoration<br>Fund ..... | 40          | 1       | 2         |
| 0400 Total: Balances and collections .....                                    | 1,250       | 1,251   | 1,253     |
| 0799 Balance, end of year .....   | 1,250       | 1,251   | 1,253     |

**Program and Financing (in millions of dollars)**

| Identification code 14–5425–0–2–302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1,230       | 1,270   | 1,287     |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 1,270       | 1,287   | 1,288     |

Title IV of the Department of the Interior and Related Agencies Appropriation Act, 1998 (P.L. 105–83) established the Environmental Improvement and Restoration Fund account. As required by law, 50 percent of the principal and 50 percent of the interest from the Alaska Escrow account are deposited into the Environmental Improvement and Restoration Fund. The law requires that the corpus of the Fund be invested. Twenty percent of the interest earned by the Fund is permanently appropriated to the Department of Commerce and the unappropriated balance of interest remains in the fund. At this time, no budget authority is requested.

**INDIAN EDUCATION SCHOLARSHIP HOLDING FUND**

**Program and Financing (in millions of dollars)**

| Identification code 14–2010–0–1–502                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Scholarships .....                              |             | 5       | 15        |
| 0900 Total new obligations (object class 41.0) ..... |             | 5       | 15        |

|   |  |    |    |
|---|--|----|----|
| <b>Budgetary Resources:</b>                                     |  |    |    |
| Unobligated balance:  |  |    |    |
| Unobligated balance brought forward, Oct 1 .....                |  |    | 55 |
| Budget authority:   |  |    |    |
| Appropriations, mandatory:                                      |  |    |    |
| 1221 Appropriations transferred from other accts [14–5670] .... |  | 60 |    |
| 1260 Appropriations, mandatory (total) .....                    |  | 60 |    |
| 1900 Budget authority (total) .....                             |  | 60 |    |
| 1930 Total budgetary resources available .....                  |  | 60 | 55 |
| <b>Memorandum (non-add) entries:</b>                            |  |    |    |
| 1941 Unexpired unobligated balance, end of year .....           |  | 55 | 40 |

|   |  |   |    |
|---|--|---|----|
| <b>Change in obligated balance:</b>                 |  |   |    |
| Unpaid obligations:                                 |  |   |    |
| 3010 Obligations incurred, unexpired accounts ..... |  | 5 | 15 |



|   |  |    |     |
|---|--|----|-----|
| 3020  | Outlays (gross) .....                      | -5 | -15 |
| <b>Budget authority and outlays, net:</b>           |  |    |     |
| Mandatory:  |  |    |     |
| 4090  | Budget authority, gross .....              | 60 |     |
| Outlays, gross:                                     |  |    |     |
| 4100  | Outlays from new mandatory authority ..... | 5  |     |
| 4101  | Outlays from mandatory balances .....      |    | 15  |
| 4110  | Outlays, gross (total) .....               | 5  | 15  |
| Offsets against gross budget authority and outlays: |  |    |     |
| Offsetting collections (collected) from:            |  |    |     |
| 4180  | Budget authority, net (total) .....        | 60 |     |
| 4190  | Outlays, net (total) .....                 | 5  | 15  |

The Individual Indian Money Account Litigation Settlement (P.L. 111–291) establishes this fund to provide Indian land owners with an additional incentive to sell their fractionated interests, given the fact that the market value associated with highly fractionated interests will be quite low in many cases. Not more than \$60 million may be transferred from the Trust Land Consolidation Fund to this fund for higher education scholarships for American Indians and Alaska Natives to be administered as described in the settlement agreement.

### TRUST LAND CONSOLIDATION FUND

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5670–0–2–452                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               |             |         |           |
| Receipts:   |             |         |           |
| 0240 Judgment Fund Payment, Trust Land Consolidation Fund ..... |             | 1,900   |           |
| 0400 Total: Balances and collections .....                      |             | 1,900   |           |
| Appropriations:   |             |         |           |
| 0500 Trust Land Consolidation Fund .....                        |             | -1,900  |           |
| 0799 Balance, end of year .....                                 |             |         |           |

#### Program and Financing (in millions of dollars)

| Identification code 14–5670–0–2–452                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Land Purchases .....                                      |             | 40      | 326       |
| 0003 Administration .....                                      |             | 5       | 9         |
| 0900 Total new obligations .....                               |             | 45      | 335       |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          |             |         | 1,795     |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                     |             |         |           |
| 1201 Appropriation (special or trust fund) .....               |             | 1,900   |           |
| 1220 Appropriations transferred to other accts [14–2010] ..... |             | -60     |           |
| 1260 Appropriations, mandatory (total) .....                   |             | 1,840   |           |
| 1930 Total budgetary resources available .....                 |             | 1,840   | 1,795     |
| Memorandum (non-add) entries:                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....          |             | 1,795   | 1,460     |
| <b>Change in obligated balance:</b>                            |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....            |             | 45      | 335       |
| 3020 Outlays (gross) .....                                     |             | -45     | -335      |
| <b>Budget authority and outlays, net:</b>                      |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                             |             | 1,840   |           |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                |             | 45      |           |
| 4101 Outlays from mandatory balances .....                     |             |         | 335       |
| 4110 Outlays, gross (total) .....                              |             | 45      | 335       |
| 4180 Budget authority, net (total) .....                       |             | 1,840   |           |
| 4190 Outlays, net (total) .....                                |             | 45      | 335       |

The Individual Indian Money Account Litigation Settlement (P.L. 111–291) establishes a new trust land consolidation fund for the buy-back and consolidation of fractionated interests in parcels of land from individual Indian landowners. The fund also covers administrative costs to undertake the process of acquiring fractionated interests and associated trust reform activities not to exceed 15 percent of the fund. The acquisition of fractionated interests is authorized under the Indian Land Consolidation Act Amendments of 2000 (P.L. 106–462), and the American Indian Probate Reform Act of 2004 (P.L. 108–374). The Settlement provides additional authority for the acquisition of interests held by persons who cannot be located after engaging in extensive efforts to notify them and locate them for a five-year period. The Settlement was finalized on November 24, 2012 and in accordance with the terms of the legislation, these funds remain available for ten years from the date of the Settlement.

#### Object Classification (in millions of dollars)

| Identification code 14–5670–0–2–452                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   |             |         | 4         |
| 12.1 Civilian personnel benefits .....                   |             |         | 1         |
| 25.3 Other goods and services from Federal sources ..... |             | 5       | 4         |
| 32.0 Land and structures .....                           |             | 40      | 326       |
| 99.9 Total new obligations .....                         |             | 45      | 335       |

#### Employment Summary

| Identification code 14–5670–0–2–452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 3       | 11        |

### LAND AND WATER CONSERVATION FUND

#### (CANCELLATION)

*The contract authority provided for fiscal year 2012 by 16 U.S.C. 460l-10a is hereby permanently cancelled.*

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5005–0–2–303   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 17,742      | 18,397  | 19,054    |
| Receipts:   |             |         |           |
| 0200 Land and Water Conservation Fund, Motorboat Fuels Tax .....                                    | 1           | 1       | 1         |
| 0220 Land and Water Conservation Fund, Rent Receipts, Outer Continental Shelf Lands .....           | 531         | 897     | 896       |
| 0221 Land and Water Conservation Fund, Royalty Receipts, Outer Continental Shelf .....              | 366         |         |           |
| 0222 Outer Continental Shelf Rents and Bonuses, LWCF Share from Certain Gulf of Mexico Leases ..... |             |         | 1         |
| 0223 Land and Water Conservation Fund, Surplus Property Sales .....                                 | 2           | 6       | 6         |
| 0299 Total receipts and collections .....   | 900         | 904     | 904       |
| 0400 Total: Balances and collections .....  | 18,642      | 19,301  | 19,958    |
| Appropriations:   |             |         |           |
| 0500 State and Private Forestry .....   | -53         | -54     | -60       |
| 0501 Land Acquisition .....   | -22         | -22     | -33       |
| 0502 Land Acquisition .....   | -55         | -55     | -71       |
| 0503 Land Acquisition and State Assistance .....  | -102        | -103    | -100      |
| 0504 Salaries and Expenses .....  | -13         | -13     | -12       |
| 0505 Land and Water Conservation Fund .....   |             |         | -200      |
| 0599 Total appropriations .....   | -245        | -247    | -476      |
| 0799 Balance, end of year .....   | 18,397      | 19,054  | 19,482    |

The Administration proposes to permanently authorize annual mandatory funding, without further appropriation or fiscal year limitation for Land and Water Conservation Fund programs beginning in fiscal year 2014. Annual funds will be appropriated to the Department of the Interior and allocated to the Bureau of

## LAND AND WATER CONSERVATION FUND—Continued

Land Management, Fish and Wildlife Service, National Park Service, and the Department of Agriculture's Forest Service for authorized land acquisition and grant programs. The annual amount to be allocated will be shown in each of the receiving accounts.

LAND AND WATER CONSERVATION FUND  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 14–5005–4–2–303                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                     |             |         |           |
| 1201 Appropriation (special or trust fund) .....               |             |         | 200       |
| 1220 Appropriations transferred to other accts [14–5035] ..... |             |         | –50       |
| 1220 Appropriations transferred to other accts [14–5033] ..... |             |         | –16       |
| 1220 Appropriations transferred to other accts [14–5020] ..... |             |         | –36       |
| 1220 Appropriations transferred to other accts [14–0102] ..... |             |         | –6        |
| 1220 Appropriations transferred to other accts [14–5143] ..... |             |         | –28       |
| 1220 Appropriations transferred to other accts [14–1031] ..... |             |         | –5        |
| 1220 Appropriations transferred to other accts [12–1105] ..... |             |         | –25       |
| 1220 Appropriations transferred to other accts [12–9923] ..... |             |         | –34       |

## DEPARTMENTAL MANAGEMENT—ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS

Note.—Obligations incurred under allocations from other accounts are included in the schedule of the parent appropriation as follows:

Environmental Protection Agency: "Hazardous Substance Superfund".  
Office of the Special Trustee for American Indians: "Federal Trust Programs".  
Interior: Natural Resources Damage Assessment: "Natural Resources Damage Assessment Fund".

## INSULAR AFFAIRS

The Secretary of the Interior is charged with the responsibility of promoting the economic and political development of those insular areas which are under U.S. jurisdiction and within the responsibility of the Department of the Interior. The Secretary originates and implements Federal policy for the U.S. territories; guides and coordinates certain operating programs and construction projects; provides information services and technical assistance; coordinates certain Federal programs and services provided to the freely associated states, and participates in foreign policy and defense matters concerning the U.S. territories and the freely associated states.

## Federal Funds

## TRUST TERRITORY OF THE PACIFIC ISLANDS

## Program and Financing (in millions of dollars)

| Identification code 14–0414–0–1–808                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Technical Assistance .....                       | 1           |         |           |
| 0900 Total new obligations (object class 25.3) .....  | 1           |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           |         |           |
| 1930 Total budgetary resources available .....        | 1           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 1           |         |           |
| 3020 Outlays (gross) .....                            | –1          |         |           |

|   |   |   |   |
|---|---|---|---|
| 3050 Unpaid obligations, end of year .....  | 2 | 2 | 2 |
| Memorandum (non-add) entries:               |   |   |   |
| 3100 Obligated balance, start of year ..... | 2 | 2 | 2 |
| 3200 Obligated balance, end of year .....   | 2 | 2 | 2 |

## Budget authority and outlays, net:

|  |   |  |  |
|--|---|--|--|
| Discretionary:                                 |   |  |  |
| Outlays, gross:                                |   |  |  |
| 4011 Outlays from discretionary balances ..... | 1 |  |  |
| 4190 Outlays, net (total) .....                | 1 |  |  |

Until October 1, 1994, the United States exercised jurisdiction over the Trust Territory of the Pacific Islands according to the terms of the 1947 Trusteeship Agreement between the United States and the Security Council of the United Nations. These responsibilities were carried out by the Department of the Interior.

The Department of the Interior is seeking no additional appropriations for the Trust Territory of the Pacific Islands. Compacts of Free Association have been implemented with the Federated States of Micronesia, the Republic of the Marshall Islands, and the Republic of Palau.

Remaining funds in the Trust Territory of the Pacific Islands account are being used to improve basic economic information and financial management capabilities in the insular areas; address compact impact related issues; and also for brown treesnake control.

## COMPACT OF FREE ASSOCIATION

For grants and necessary expenses, \$3,054,000, to remain available until expended, as provided for in section 221(a)(2) of the Compact of Free Association for the Republic of Palau; and section 221(a)(2) of the Compacts of Free Association for the Government of the Republic of the Marshall Islands and the Federated States of Micronesia, as authorized by Public Law 99–658 and Public Law 108–188.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–0415–0–1–808                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Federal services assistance .....                          | 3           | 3       | 3         |
| 0101 Palau Compact Extension, mandatory .....                   | 14          | 13      |           |
| 0192 Subtotal .....   | 17          | 16      | 3         |
| 0201 Assistance to the Marshall Islands .....                   | 68          | 68      | 75        |
| 0202 Assistance to the Federated States of Micronesia .....     | 98          | 107     | 109       |
| 0203 Assistance to the Republic of Palau .....                  | 7           |         |           |
| 0204 Compact Impact .....                                       | 34          | 30      | 30        |
| 0291 Subtotal, permanent indefinite .....                       | 207         | 205     | 214       |
| 0799 Total direct obligations .....                             | 224         | 221     | 217       |
| 0801 Reimbursable program .....                                 | 18          | 18      | 18        |
| 0900 Total new obligations .....                                | 242         | 239     | 235       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 82          | 91      | 117       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 1           | 1       |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 13          | 13      |           |
| 1050 Unobligated balance (total) .....                          | 95          | 104     | 117       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 3           | 16      | 3         |
| 1160 Appropriation, discretionary (total) .....                 | 3           | 16      | 3         |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 217         | 218     | 215       |
| 1260 Appropriations, mandatory (total) .....                    | 217         | 218     | 215       |

|  |   |     |     |
|--|---|-----|-----|
| Spending authority from offsetting collections, discretionary: |   |     |     |
| 1700   | Collected .....   | 18  | 18  |
| 1701   | Change in uncollected payments, Federal sources .....         | 18  |     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 18  | 18  |
| 1900   | Budget authority (total) .....                                | 238 | 252 |
| 1930   | Total budgetary resources available .....                     | 333 | 356 |
| Memorandum (non-add) entries:                                  |   |     |     |
| 1941   | Unexpired unobligated balance, end of year .....              | 91  | 117 |

|                                     |  |      |      |
|-------------------------------------|--|------|------|
| <b>Change in obligated balance:</b> |  |      |      |
| Unpaid obligations:                 |  |      |      |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 134  | 121  |
| 3010                                | Obligations incurred, unexpired accounts .....               | 242  | 239  |
| 3020                                | Outlays (gross) .....  | -242 | -269 |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | -13  | -13  |
| 3050                                | Unpaid obligations, end of year .....                        | 121  | 78   |
| Uncollected payments:               |  |      |      |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -23  | -20  |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | -18  |      |
| 3071                                | Change in uncollected pymts, Fed sources, expired .....      | 21   |      |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -20  | -20  |
| Memorandum (non-add) entries:       |  |      |      |
| 3100                                | Obligated balance, start of year .....                       | 111  | 101  |
| 3200                                | Obligated balance, end of year .....                         | 101  | 58   |

|   |  |     |     |
|---|--|-----|-----|
| <b>Budget authority and outlays, net:</b>               |  |     |     |
| Discretionary:  |  |     |     |
| 4000  | Budget authority, gross .....                                  | 21  | 34  |
| Outlays, gross:   |  |     |     |
| 4010  | Outlays from new discretionary authority .....                 | 3   | 18  |
| 4011  | Outlays from discretionary balances .....                      | 19  | 17  |
| 4020  | Outlays, gross (total) .....                                   | 22  | 35  |
| Offsets against gross budget authority and outlays:     |  |     |     |
| Offsetting collections (collected) from:                |  |     |     |
| 4030  | Federal sources .....  | -19 | -18 |
| Additional offsets against gross budget authority only: |  |     |     |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -18 |     |
| 4052  | Offsetting collections credited to expired accounts .....      | 19  |     |
| 4060  | Additional offsets against budget authority only (total) ..... | 1   |     |
| 4070  | Budget authority, net (discretionary) .....                    | 3   | 16  |
| 4080  | Outlays, net (discretionary) .....                             | 3   | 17  |
| Mandatory:  |  |     |     |
| 4090  | Budget authority, gross .....                                  | 217 | 218 |
| Outlays, gross:   |  |     |     |
| 4100  | Outlays from new mandatory authority .....                     | 178 | 185 |
| 4101  | Outlays from mandatory balances .....                          | 42  | 49  |
| 4110  | Outlays, gross (total) .....                                   | 220 | 234 |
| 4180  | Budget authority, net (total) .....                            | 220 | 234 |
| 4190  | Outlays, net (total) .....                                     | 223 | 251 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 220         | 234     | 218       |
| Outlays .....                           | 223         | 251     | 218       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 66        |
| Outlays .....                           |             |         | 66        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 220         | 234     | 284       |
| Outlays .....                           | 223         | 251     | 284       |

The peoples of the Republic of the Marshall Islands, the Federated States of Micronesia and the Republic of Palau approved Compacts of Free Association negotiated by the United States and their governments. The Compact of Free Association Act of 1985 (Public Law 99–239) constituted the necessary authorizing legislation to make annual payments to the Republic of the Marshall Islands and the Federated States of Micronesia. Payments began in 1987 and continued through 2003 when the original economic assistance package expired. The Compact of Free Association Amendments Act of 2003, Public Law 108–188, continues financial assistance to the Federated States of Micronesia and the Republic of the Marshall Islands through 2023. The Compact of Free Association for the Republic of Palau was enacted

on November 14, 1986 as P.L. 99–658, and was implemented on October 1, 1994. While the financial assistance provisions under the Compact of Free Association with the Republic of Palau were set to expire on September 30, 2009, subsequent appropriations in 2010 through 2013 have continued financial assistance to Palau at 2009 levels.

**Object Classification** (in millions of dollars)

| Identification code 14–0415–0–1–808                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 3           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....          | 221         | 219     | 214       |
| 99.0 Direct obligations .....                            | 224         | 222     | 217       |
| 99.0 Reimbursable obligations .....                      | 18          | 17      | 18        |
| 99.9 Total new obligations .....                         | 242         | 239     | 235       |

**COMPACT OF FREE ASSOCIATION**  
(Legislative proposal, subject to PAYGO)**Program and Financing** (in millions of dollars)

| Identification code 14–0415–4–1–808                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0203 Assistance to the Republic of Palau .....       |             |         | 66        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 66        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 66        |
| 1260 Appropriations, mandatory (total) .....         |             |         | 66        |
| 1930 Total budgetary resources available .....       |             |         | 66        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 66        |
| 3020 Outlays (gross) .....                           |             |         | -66       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 66        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 66        |
| 4180 Budget authority, net (total) .....             |             |         | 66        |
| 4190 Outlays, net (total) .....                      |             |         | 66        |

**PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL ASSISTANCE****Program and Financing** (in millions of dollars)

| Identification code 14–0418–0–1–806  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Advance payments to Guam of estimated U.S. income tax collections .....               | 57          | 57      | 57        |
| 0002 Advance payments to the Virgin Islands of estimated U.S. excise tax collections ..... | 256         | 283     | 258       |
| 0900 Total new obligations (object class 41.0) .....                                       | 313         | 340     | 315       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 313         | 340     | 315       |
| 1260 Appropriations, mandatory (total) .....   | 313         | 340     | 315       |
| 1930 Total budgetary resources available .....   | 313         | 340     | 315       |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 313         | 340     | 315       |
| 3020 Outlays (gross) .....   | -313        | -340    | -315      |

PAYMENTS TO THE UNITED STATES TERRITORIES, FISCAL  
ASSISTANCE—Continued  
Program and Financing—Continued

| Identification code 14-0418-0-1-806             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>       |             |         |           |
| Mandatory:                                      |             |         |           |
| 4090 Budget authority, gross .....              | 313         | 340     | 315       |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... | 313         | 340     | 315       |
| 4180 Budget authority, net (total) .....        | 313         | 340     | 315       |
| 4190 Outlays, net (total) .....                 | 313         | 340     | 315       |

Public Law 95-348 requires that certain revenues collected by the U.S. Treasury involving Guam and the Virgin Islands (income taxes withheld and excise taxes) be paid prior to the start of the fiscal year of collection. The Budget includes funds for these advance payments.

INSULAR AFFAIRS

ASSISTANCE TO TERRITORIES

*For expenses necessary for assistance to territories under the jurisdiction of the Department of the Interior and other jurisdictions identified in section 104(e) of Public Law 108-188, \$88,976,000, of which: (1) \$79,528,000 shall remain available until expended for territorial assistance, including general technical assistance, maintenance assistance, disaster assistance, coral reef initiative activities, and brown tree snake control and research; grants to the judiciary in American Samoa for compensation and expenses, as authorized by law (48 U.S.C. 1661(c)); grants to the Government of American Samoa, in addition to current local revenues, for construction and support of governmental functions; grants to the Government of the Virgin Islands as authorized by law; grants to the Government of Guam, as authorized by law; and grants to the Government of the Northern Mariana Islands as authorized by law (Public Law 94-241; 90 Stat. 272); and (2) \$9,448,000 shall be available until September 30, 2015, for salaries and expenses of the Office of Insular Affairs: Provided, That all financial transactions of the territorial and local governments herein provided for, including such transactions of all agencies or instrumentalities established or used by such governments, may be audited by the Government Accountability Office, at its discretion, in accordance with chapter 35 of title 31, United States Code: Provided further, That Northern Mariana Islands Covenant grant funding shall be provided according to those terms of the Agreement of the Special Representatives on Future United States Financial Assistance for the Northern Mariana Islands approved by Public Law 104-134: Provided further, That the funds for the program of operations and maintenance improvement are appropriated to institutionalize routine operations and maintenance improvement of capital infrastructure with territorial participation and cost sharing to be determined by the Secretary based on the grantee's commitment to timely maintenance of its capital assets: Provided further, That any appropriation for disaster assistance under this heading in this Act or previous appropriations Acts may be used as non-Federal matching funds for the purpose of hazard mitigation grants provided pursuant to section 404 of the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5170c).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 14-0412-0-1-808         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>     |             |         |           |
| 0009 Office of Insular Affairs .....        | 12          | 10      | 9         |
| 0010 Technical assistance .....             | 23          | 14      | 17        |
| 0015 Coral reef initiative .....            | 1           | 1       | 1         |
| 0016 Water and wastewater projects .....    | 1           | 1       | .....     |
| 0017 Maintenance assistance fund .....      | 2           | 2       | 1         |
| 0018 American Samoa operations grants ..... | 22          | 23      | 23        |

|   |       |    |    |
|---|-------|----|----|
| 0019 Brown Treesnake .....                | 3     | 3  | 4  |
| 0021 Empowering Insular Communities ..... | 2     | 2  | 3  |
| 0031 Compact Impact Discretionary .....   | ..... | 5  | 3  |
| 0091 Direct subtotal, discretionary ..... | 66    | 61 | 61 |
| 0101 Covenant grants, mandatory .....     | 33    | 28 | 28 |
| 0900 Total new obligations .....          | 99    | 89 | 89 |

**Budgetary Resources:**

|   |     |       |       |
|---|-----|-------|-------|
| Unobligated balance:  |     |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 9   | 3     | 3     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 2   | 2     | ..... |
| 1021 Recoveries of prior year unpaid obligations .....          | 5   | ..... | ..... |
| 1050 Unobligated balance (total) .....                          | 14  | 3     | 3     |
| Budget authority:   |     |       |       |
| Appropriations, discretionary:                                  |     |       |       |
| 1100 Appropriation .....  | 60  | 61    | 61    |
| 1160 Appropriation, discretionary (total) .....                 | 60  | 61    | 61    |
| Appropriations, mandatory:                                      |     |       |       |
| 1200 Appropriation .....  | 28  | 28    | 28    |
| 1260 Appropriations, mandatory (total) .....                    | 28  | 28    | 28    |
| 1900 Budget authority (total) .....                             | 88  | 89    | 89    |
| 1930 Total budgetary resources available .....                  | 102 | 92    | 92    |
| Memorandum (non-add) entries:                                   |     |       |       |
| 1941 Unexpired unobligated balance, end of year .....           | 3   | 3     | 3     |

**Change in obligated balance:**

|   |     |       |       |
|---|-----|-------|-------|
| Unpaid obligations:   |     |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 159 | 180   | 198   |
| 3010 Obligations incurred, unexpired accounts .....               | 99  | 89    | 89    |
| 3011 Obligations incurred, expired accounts .....                 | 2   | ..... | ..... |
| 3020 Outlays (gross) .....  | -75 | -71   | -108  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -5  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 180 | 198   | 179   |
| Uncollected payments:   |     |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -3  | -3    | -3    |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -3  | -3    | -3    |
| Memorandum (non-add) entries:                                     |     |       |       |
| 3100 Obligated balance, start of year .....                       | 156 | 177   | 195   |
| 3200 Obligated balance, end of year .....                         | 177 | 195   | 176   |

**Budget authority and outlays, net:**

|   |       |    |     |
|---|-------|----|-----|
| Discretionary:                                      |       |    |     |
| 4000 Budget authority, gross .....                  | 60    | 61 | 61  |
| Outlays, gross:                                     |       |    |     |
| 4010 Outlays from new discretionary authority ..... | 50    | 40 | 40  |
| 4011 Outlays from discretionary balances .....      | 4     | 2  | 32  |
| 4020 Outlays, gross (total) .....                   | 54    | 42 | 72  |
| Mandatory:  |       |    |     |
| 4090 Budget authority, gross .....                  | 28    | 28 | 28  |
| Outlays, gross:                                     |       |    |     |
| 4100 Outlays from new mandatory authority .....     | 21    | 1  | 1   |
| 4101 Outlays from mandatory balances .....          | ..... | 28 | 35  |
| 4110 Outlays, gross (total) .....                   | 21    | 29 | 36  |
| 4180 Budget authority, net (total) .....            | 88    | 89 | 89  |
| 4190 Outlays, net (total) .....                     | 75    | 71 | 108 |

This appropriation provides support for basic government operations for those territories requiring such support, capital infrastructure improvements, special program and economic development assistance, and technical assistance.

Pursuant to section 118 of P.L. 104-134, the \$27.7 million mandatory covenant grant funding may be allocated to high priority needs in the U.S. territories and freely associated states.

Object Classification (in millions of dollars)

| Identification code 14-0412-0-1-808                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 4           | 4       | 4         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 17          | 3       | 4         |
| 41.0 Grants, subsidies, and contributions .....          | 74          | 78      | 77        |
| 99.9 Total new obligations .....                         | 99          | 89      | 89        |

**Employment Summary**

| Identification code 14–0412–0–1–808                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 38          | 41      | 41        |

**ASSISTANCE TO AMERICAN SAMOA DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 14–4163–0–3–806   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 1           | 1       | 1         |
| 0900 Total new obligations .....  | 1           | 1       | 1         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 2           | 2       | 2         |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –1          | –1      | –1        |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 1           | 1       | 1         |
| 1900 Financing authority (total) .....  | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....                                  | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           |             |         | 1         |
| 3010 Obligations incurred, unexpired accounts .....                             | 1           | 1       | 1         |
| 3020 Financing disbursements (gross) .....                                      | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                                      |             | 1       | 2         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     |             |         | 1         |
| 3200 Obligated balance, end of year .....                                       |             | 1       | 2         |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 1           | 1       | 1         |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 1           |         |           |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources - interest payments fr. Am. Samoa .....                | –2          | –1      | –1        |
| 4123 Non-Federal sources Principal Repayment American Samoa .....               |             | –1      | –1        |
| 4130 Offsets against gross financing auth and disbursements (total) .....       | –2          | –2      | –2        |
| 4160 Financing authority, net (mandatory) .....                                 | –1          | –1      | –1        |
| 4170 Financing disbursements, net (mandatory) .....                             | –1          | –2      | –2        |
| 4180 Financing authority, net (total) .....                                     | –1          | –1      | –1        |
| 4190 Financing disbursements, net (total) .....                                 | –1          | –2      | –2        |

**Status of Direct Loans** (in millions of dollars)

| Identification code 14–4163–0–3–806             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year .....           | 15          | 14      | 13        |
| 1251 Repayments: Repayments .....               | –2          | –2      | –2        |
| 1261 Adjustments: Capitalized interest .....    | 1           | 1       | 1         |
| 1290 Outstanding, end of year .....             | 14          | 13      | 12        |

In 2000, the American Samoa Government (ASG) was authorized to borrow \$18.6 million from the U.S. Treasury in order to reduce significant past due debts to vendors. Repayment of the loan is secured and accomplished with funds, as they become due and payable to ASG from the Escrow Account established under the terms and conditions of the Tobacco Master Settlement Agreement. ASG agreed to significant financial reforms as a prerequisite to receiving the loan proceeds.

**Balance Sheet** (in millions of dollars)

| Identification code 14–4163–0–3–806                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 15          | 14          |
| 1405 Allowance for subsidy cost (-) .....                         | –5          | –5          |
| 1499 Net present value of assets related to direct loans .....    | 10          | 9           |
| 1999 Total assets .....   | 10          | 9           |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 10          | 9           |
| 4999 Total liabilities and net position .....                     | 10          | 9           |

**ADMINISTRATIVE PROVISIONS****(INCLUDING TRANSFER OF FUNDS)**

*At the request of the Governor of Guam, the Secretary may transfer discretionary funds or mandatory funds provided under section 104(e) of Public Law 108–188 and Public Law 104–134, that are allocated for Guam, to the Secretary of Agriculture for the subsidy cost of direct or guaranteed loans, plus not to exceed three percent of the amount of the subsidy transferred for the cost of loan administration, for the purposes authorized by the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act for construction and repair projects in Guam, and such funds shall remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That such loans or loan guarantees may be made without regard to the population of the area, credit elsewhere requirements, and restrictions on the types of eligible entities under the Rural Electrification Act of 1936 and section 306(a)(1) of the Consolidated Farm and Rural Development Act: Provided further, That any funds transferred to the Secretary of Agriculture shall be in addition to funds otherwise made available to make or guarantee loans under such authorities.*

*If the Secretary of the Interior determines that a territory has a substantial backlog of capital improvement program funds at the beginning of a fiscal year, the Secretary may withhold or redistribute that territory's capital improvement funds for the current fiscal year among the other eligible recipient territories. For purposes of this section, a territory with an expenditure rate of less than 50 percent shall be deemed to have a substantial backlog. The expenditure rate will be calculated on the last day of each fiscal year, currently September 30, and will be based on expenditures and receipts over the five most recent fiscal years.*

**OFFICE OF THE SOLICITOR****Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses of the Office of the Solicitor, \$65,800,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 14–0107–0–1–306       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program .....                 | 65          | 67      | 66        |
| 0801 Reimbursable program activity .....  | 12          | 13      | 13        |
| 0802 Allocation program activity .....    |             | 5       | 6         |
| 0899 Total reimbursable obligations ..... | 12          | 18      | 19        |
| 0900 Total new obligations .....          | 77          | 85      | 85        |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 14-0107-0-1-306                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 66          | 67      | 66        |
| 1160 Appropriation, discretionary (total) .....                    | 66          | 67      | 66        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 10          | 18      | 19        |
| 1701 Change in uncollected payments, Federal sources .....         | 2           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 12          | 18      | 19        |
| 1900 Budget authority (total) .....                                | 78          | 85      | 85        |
| 1930 Total budgetary resources available .....                     | 78          | 85      | 85        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          |         |           |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Change in obligated balance:</b>                               |     |     |     |
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 4   | 5   | 5   |
| 3010 Obligations incurred, unexpired accounts .....               | 77  | 85  | 85  |
| 3011 Obligations incurred, expired accounts .....                 | 1   |     |     |
| 3020 Outlays (gross) .....  | -77 | -85 | -85 |
| 3050 Unpaid obligations, end of year .....                        | 5   | 5   | 5   |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -4  | -2  | -2  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -2  |     |     |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 4   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -2  | -2  | -2  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       |     | 3   | 3   |
| 3200 Obligated balance, end of year .....                         | 3   | 3   | 3   |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budget authority and outlays, net:</b>                           |     |     |     |
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                                  | 78  | 85  | 85  |
| Outlays, gross:   |     |     |     |
| 4010 Outlays from new discretionary authority .....                 | 72  | 80  | 80  |
| 4011 Outlays from discretionary balances .....                      | 5   | 5   | 5   |
| 4020 Outlays, gross (total) .....                                   | 77  | 85  | 85  |
| Offsets against gross budget authority and outlays:                 |     |     |     |
| Offsetting collections (collected) from:                            |     |     |     |
| 4030 Federal sources .....  | -11 | -18 | -19 |
| Additional offsets against gross budget authority only:             |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -2  |     |     |
| 4052 Offsetting collections credited to expired accounts .....      | 1   |     |     |
| 4060 Additional offsets against budget authority only (total) ..... | -1  |     |     |
| 4070 Budget authority, net (discretionary) .....                    | 66  | 67  | 66  |
| 4080 Outlays, net (discretionary) .....                             | 66  | 67  | 66  |
| 4180 Budget authority, net (total) .....                            | 66  | 67  | 66  |
| 4190 Outlays, net (total) .....                                     | 66  | 67  | 66  |

The Office of the Solicitor provides legal advice and counsel to the Secretary, the Secretariat, and all constituent bureaus and offices of the Department of the Interior. All attorneys employed in the Department for the purposes of providing legal services are under the supervision of the Solicitor, except the Justices of American Samoa and the attorneys in the Office of Congressional and Legislative Affairs, Office of Inspector General, and the Office of Hearings and Appeals. Additionally, the Office administers the Department's ethics program and manages Freedom of Information Act appeals. The Office is comprised of the headquarters staff, located in Washington, DC, and 16 regional and field offices.

## Object Classification (in millions of dollars)

| Identification code 14-0107-0-1-306                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 41          | 41      | 41        |
| 12.1 Civilian personnel benefits .....                 | 11          | 11      | 11        |
| 23.1 Rental payments to GSA .....                      | 8           | 9       | 9         |
| 25.2 Other services from non-Federal sources .....     | 1           | 1       | 1         |

|   |    |    |    |
|---|----|----|----|
| 25.3 Other goods and services from Federal sources .....          | 4  | 5  | 4  |
| 99.0 Direct obligations .....                                     | 65 | 67 | 66 |
| 99.0 Reimbursable obligations .....                               | 12 | 13 | 13 |
| Allocation Account - reimbursable:                                |    |    |    |
| 11.3 Personnel compensation: Other than full-time permanent ..... |    | 2  | 3  |
| 12.1 Civilian personnel benefits .....                            |    | 1  | 1  |
| 25.2 Other services from non-Federal sources .....                |    | 1  | 1  |
| 25.3 Other goods and services from Federal sources .....          |    | 1  | 1  |
| 99.0 Allocation account - reimbursable .....                      |    | 5  | 6  |
| 99.9 Total new obligations .....                                  | 77 | 85 | 85 |

## Employment Summary

| Identification code 14-0107-0-1-306                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 350         | 356     | 339       |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 68          | 65      | 69        |
| 3001 Allocation account civilian full-time equivalent employment ..... | 19          | 18      | 20        |

OFFICE OF INSPECTOR GENERAL  
*Federal Funds*

## SALARIES AND EXPENSES

*For necessary expenses of the Office of Inspector General, \$50,831,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14-0104-0-1-306     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program .....               | 49          | 50      | 51        |
| 0002 Recovery Act activities .....      | 4           |         |           |
| 0799 Total direct obligations .....     | 53          | 50      | 51        |
| 0801 Reimbursable program .....         | 4           | 4       | 4         |
| 0900 Total new obligations .....        | 57          | 54      | 55        |

|  |    |    |    |
|--|----|----|----|
| <b>Budgetary Resources:</b>  |    |    |    |
| Unobligated balance:   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5  |    |    |
| Budget authority:  |    |    |    |
| Appropriations, discretionary:                                     |    |    |    |
| 1100 Appropriation .....   | 49 | 50 | 51 |
| 1160 Appropriation, discretionary (total) .....                    | 49 | 50 | 51 |
| Spending authority from offsetting collections, discretionary:     |    |    |    |
| 1700 Collected .....   | 4  | 4  | 4  |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4  | 4  | 4  |
| 1900 Budget authority (total) .....                                | 53 | 54 | 55 |
| 1930 Total budgetary resources available .....                     | 58 | 54 | 55 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1940 Unobligated balance expiring .....                            | -1 |    |    |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Change in obligated balance:</b>                             |     |     |     |
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 6   | 8   | 6   |
| 3010 Obligations incurred, unexpired accounts .....             | 57  | 54  | 55  |
| 3011 Obligations incurred, expired accounts .....               | 1   |     |     |
| 3020 Outlays (gross) .....                                      | -55 | -56 | -55 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 8   | 6   | 6   |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 6   | 8   | 6   |
| 3200 Obligated balance, end of year .....                       | 8   | 6   | 6   |

|   |    |    |    |
|---|----|----|----|
| <b>Budget authority and outlays, net:</b>           |    |    |    |
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 53 | 54 | 55 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 46 | 49 | 50 |
| 4011 Outlays from discretionary balances .....      | 9  | 7  | 5  |

|      |   |    |    |    |
|------|---|----|----|----|
| 4020 | Outlays, gross (total) .....  | 55 | 56 | 55 |
|      | Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |    |    |    |
| 4030 | Reimbursable program .....  | -4 | -4 | -4 |
| 4180 | Budget authority, net (total) .....   | 49 | 50 | 51 |
| 4190 | Outlays, net (total) .....  | 51 | 52 | 51 |

The mission of the Office of Inspector General is to promote excellence, accountability and integrity in the programs, operations and management of the Department of the Interior. The Office's focus in assisting the Secretary and the Congress is to target resources toward developing solutions for the Department's most serious management and program challenges, and toward high-risk areas vulnerable to fraud, waste, abuse and mismanagement. The Office is responsible for independently and objectively identifying risks and vulnerabilities that directly impact, or could impact, the Department's ability to accomplish its mission. The Office is required to keep the Secretary and the Congress fully and currently informed about problems and deficiencies relating to the administration of departmental programs and operations. Effective implementation of this mandate addresses the public's demand for greater accountability and integrity in the administration of government programs and operations and the demand for programs that work better, cost less, and get the results about which Americans care most.

#### Object Classification (in millions of dollars)

| Identification code 14-0104-0-1-306                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 31          | 30      | 31        |
| 12.1 Civilian personnel benefits .....                   | 10          | 9       | 9         |
| 21.0 Travel and transportation of persons .....          | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 3           | 3       | 3         |
| 23.2 Rental payments to others .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 4           | 3       | 3         |
| 99.0 Direct obligations .....                            | 53          | 50      | 51        |
| 99.0 Reimbursable obligations .....                      | 4           | 4       | 4         |
| 99.9 Total new obligations .....                         | 57          | 54      | 55        |

#### Employment Summary

| Identification code 14-0104-0-1-306                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 275         | 267     | 273       |

### OFFICE OF THE SPECIAL TRUSTEE FOR AMERICAN INDIANS

#### Federal Funds

#### FEDERAL TRUST PROGRAMS

#### (INCLUDING TRANSFER OF FUNDS)

*For the operation of trust programs for Indians by direct expenditure, contracts, cooperative agreements, compacts, and grants, \$139,677,000, to remain available until expended, of which not to exceed \$23,045,000 from this or any other Act, shall be available for historical accounting: Provided, That funds for trust management improvements and litigation support may, as needed, be transferred to or merged with the Bureau of Indian Affairs, "Operation of Indian Programs" account; the Office of the Solicitor, "Salaries and Expenses" account; and the Office of the Secretary, "Salaries and Expenses" account: Provided further, That funds made available through contracts or grants obligated during fiscal year 2014, as authorized by the Indian Self-Determination Act of 1975 (25 U.S.C. 450 et seq.), shall remain available until expended by the contractor or grantee: Provided further, That, notwithstanding any other provision of law, the statute of limitations shall not commence to run on any claim, including any claim in litigation pending on the date of the enactment of this Act, concerning losses to or mismanagement of trust funds, until the affected tribe or individual Indian has been furnished with an accounting*

*of such funds from which the beneficiary can determine whether there has been a loss: Provided further, That, notwithstanding any other provision of law, the Secretary shall not be required to provide a quarterly statement of performance for any Indian trust account that has not had activity for at least 18 months and has a balance of \$15 or less: Provided further, That the Secretary shall issue an annual account statement and maintain a record of any such accounts and shall permit the balance in each such account to be withdrawn upon the express written request of the account holder: Provided further, That not to exceed \$50,000 is available for the Secretary to make payments to correct administrative errors of either disbursements from or deposits to Individual Indian Money or Tribal accounts after September 30, 2002: Provided further, That erroneous payments that are recovered shall be credited to and remain available in this account for this purpose.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 14-0120-0-1-808                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Program operations, support, and improvements .....            | 145         | 151     | 138       |
| 0002 Executive direction .....                                      | 4           | 2       | 2         |
| 0900 Total new obligations .....                                    | 149         | 153     | 140       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 5           | 12      | 15        |
| 1021 Recoveries of prior year unpaid obligations .....              | 4           | 2       | 2         |
| 1050 Unobligated balance (total) .....                              | 9           | 14      | 17        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 152         | 153     | 140       |
| 1160 Appropriation, discretionary (total) .....                     | 152         | 153     | 140       |
| Spending authority from offsetting collections, discretionary:      |             |         |           |
| 1701 Change in uncollected payments, Federal sources .....          |             | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) .....  |             | 1       | 1         |
| 1900 Budget authority (total) .....                                 | 152         | 154     | 141       |
| 1930 Total budgetary resources available .....                      | 161         | 168     | 158       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 12          | 15      | 18        |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 37          | 43      | 34        |
| 3010 Obligations incurred, unexpired accounts .....                 | 149         | 153     | 140       |
| 3020 Outlays (gross) .....  | -139        | -160    | -144      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | -4          | -2      | -2        |
| 3050 Unpaid obligations, end of year .....                          | 43          | 34      | 28        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -1          |         |           |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      |             | -1      | -1        |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 36          | 43      | 34        |
| 3200 Obligated balance, end of year .....                           | 43          | 34      | 28        |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 152         | 154     | 141       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 104         | 123     | 113       |
| 4011 Outlays from discretionary balances .....                      | 35          | 37      | 31        |
| 4020 Outlays, gross (total) .....                                   | 139         | 160     | 144       |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | -2          | -1      | -1        |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      |             | -1      | -1        |
| 4052 Offsetting collections credited to expired accounts .....      | 2           | 1       | 1         |
| 4060 Additional offsets against budget authority only (total) ..... | 2           |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 152         | 153     | 140       |
| 4080 Outlays, net (discretionary) .....                             | 137         | 159     | 143       |

FEDERAL TRUST PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 14–0120–0–1–808      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4180 Budget authority, net (total) ..... | 152         | 153     | 140       |
| 4190 Outlays, net (total) .....          | 137         | 159     | 143       |

*Executive direction.*—This activity supports Office of the Special Trustee for American Indians and staff office responsibilities and authorities for Indian Trust Fund Management. Additionally, pursuant to the American Indian Trust Fund Management Reform Act of 1994, the Special Trustee for American Indians oversees Indian trust reform efforts department wide.

*Program operations, support, and improvements.*—This activity supports the management and investment of approximately \$4.4 billion held in trust for Tribes and individual Indians. Responsibilities include accurate and timely posting of collections, investment and disbursement of funds, and provision of timely financial information to Indian Tribes and individual Indian money (IIM) account holders. Resources also support the implementation of trust management reform efforts, including historical accounting.

## Object Classification (in millions of dollars)

| Identification code 14–0120–0–1–808                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 45          | 47      | 47        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 47          | 50      | 50        |
| 12.1 Civilian personnel benefits .....                          | 14          | 13      | 13        |
| 21.0 Travel and transportation of persons .....                 | 2           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 1           | 3       | 3         |
| 23.2 Rental payments to others .....                            | 3           | 6       | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 4           | 17      | 14        |
| 25.2 Other services from non-Federal sources .....              | 45          | 27      | 18        |
| 25.3 Other goods and services from Federal sources .....        | 13          | 13      | 12        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 2           | 2       | 2         |
| 99.0 Direct obligations .....                                   | 133         | 137     | 124       |
| 99.0 Reimbursable obligations .....                             | 3           | 3       | 3         |
| Allocation Account - direct:                                    |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 6           | 6       | 6         |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 8           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 2           | 2       | 2         |
| 99.0 Allocation account - direct .....                          | 13          | 13      | 13        |
| 99.9 Total new obligations .....                                | 149         | 153     | 140       |

## Employment Summary

| Identification code 14–0120–0–1–808                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 639         | 638     | 638       |

## TRIBAL SPECIAL FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–5265–0–2–452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         | 1         |
| Receipts:   |             |         |           |
| 0220 Interest on Investments in GSEs, Tribal Special Fund .....                     | 18          | 18      | 18        |
| 0221 Return of Principal from Private Sector Investments, Tribal Special Fund ..... | 292         | 294     | 304       |
| 0222 Miscellaneous Sales of Assets, Tribal Special Fund .....                       |             | 1       | 1         |

|  |      |      |      |
|--|------|------|------|
| 0240 Earnings on Investment, Tribal Special Fund ..... | 1    | 1    | 1    |
| 0299 Total receipts and collections .....              | 311  | 314  | 324  |
| 0400 Total: Balances and collections .....             | 311  | 314  | 325  |
| Appropriations:  |      |      |      |
| 0500 Tribal Special Fund .....                         | –311 | –313 | –324 |
| 0799 Balance, end of year .....                        |      | 1    | 1    |

## Program and Financing (in millions of dollars)

| Identification code 14–5265–0–2–452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Direct program activity .....                    | 361         | 363     | 340       |
| 0900 Total new obligations (object class 41.0) .....  | 361         | 363     | 340       |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 116         | 66      | 16        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 311         | 313     | 324       |
| 1260 Appropriations, mandatory (total) .....          | 311         | 313     | 324       |
| 1930 Total budgetary resources available .....        | 427         | 379     | 340       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 66          | 16      |           |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |      |      | 50   |
| 3010 Obligations incurred, unexpired accounts .....   | 361  | 363  | 340  |
| 3020 Outlays (gross) .....                            | –361 | –313 | –324 |
| 3050 Unpaid obligations, end of year .....            |      | 50   | 66   |
| Memorandum (non-add) entries:                         |      |      |      |
| 3100 Obligated balance, start of year .....           |      |      | 50   |
| 3200 Obligated balance, end of year .....             |      | 50   | 66   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 311 | 313 | 324 |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... |     | 300 | 311 |
| 4101 Outlays from mandatory balances .....      | 361 | 13  | 13  |
| 4110 Outlays, gross (total) .....               | 361 | 313 | 324 |
| 4180 Budget authority, net (total) .....        | 311 | 313 | 324 |
| 4190 Outlays, net (total) .....                 | 361 | 313 | 324 |

## Memorandum (non-add) entries:

|   |     |     |     |
|---|-----|-----|-----|
| 5000 Total investments, SOY: Federal securities: Par value .....    | 116 | 66  | 125 |
| 5001 Total investments, EOY: Federal securities: Par value .....    | 66  | 125 | 128 |
| 5010 Total investments, SOY: non-Fed securities: Market value ..... | 412 | 464 | 472 |
| 5011 Total investments, EOY: non-Fed securities: Market value ..... | 464 | 472 | 483 |

The Tribal Special Fund includes the following accounts: Tribal Economic Recovery Fund which consists of the Three Affiliated Fort Berthold Trust Fund and the Standing Rock Trust Fund, Papago Cooperative Fund, Ute Tribe Trust Fund, Pyramid Lake Indian Reservation Trust Fund, San Luis Rey Water Authority Trust Fund, and Cochiti Wetfields Project. More detailed information on specific accounts is provided in the budget justification for the Office of the Special Trustee for American Indians.

Tribal trust funds are deposited into a consolidated account in the U.S. Treasury pursuant to: 1) general or specific acts of Congress and 2) Federal management of Tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to tribal groups for various purposes, under multiple acts of Congress, and may be subject to the provisions of constitutions, bylaws, charters, and resolutions of the Tribes, bands, or groups to which funds accrue.



**Trust Funds**  
**TRIBAL TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 14–8030–0–7–452   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         | 2         |
| Receipts:   |             |         |           |
| 0220 Interest on Investments in GSEs, Tribal Trust Fund .....                     | 4           | 4       | 5         |
| 0221 Return of Principal from Private Sector Investments, Tribal Trust Fund ..... | 92          | 93      | 96        |
| 0222 Miscellaneous Sales of Assets, Tribal Trust Fund .....                       | 3           | 3       | 3         |
| 0240 Federal Fund Payments, Tribal Trust Fund .....                               |             | 1       | 1         |
| 0241 Earnings on Investments, Tribal Trust Fund .....                             | 1           | 1       | 1         |
| 0299 Total receipts and collections .....   | 100         | 102     | 106       |
| 0400 Total: Balances and collections .....  | 100         | 102     | 108       |
| Appropriations:   |             |         |           |
| 0500 Tribal Trust Fund .....  | –100        | –100    | –104      |
| 0799 Balance, end of year .....   |             | 2       | 4         |

**Program and Financing** (in millions of dollars)

| Identification code 14–8030–0–7–452                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Direct program activity .....                                  | 118         | 121     | 123       |
| 0900 Total new obligations (object class 41.0) .....                | 118         | 121     | 123       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 58          | 40      | 19        |
| Budget authority:   |             |         |           |
| 1201 Appropriations, mandatory:                                     |             |         |           |
| Appropriation (special or trust fund) .....                         | 100         | 100     | 104       |
| 1260 Appropriations, mandatory (total) .....                        | 100         | 100     | 104       |
| 1930 Total budgetary resources available .....                      | 158         | 140     | 123       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 40          | 19      |           |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               |             |         | 21        |
| 3010 Obligations incurred, unexpired accounts .....                 | 118         | 121     | 123       |
| 3020 Outlays (gross) .....  | –118        | –100    | –104      |
| 3050 Unpaid obligations, end of year .....                          |             | 21      | 40        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         |             |         | 21        |
| 3200 Obligated balance, end of year .....                           |             | 21      | 40        |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                  | 100         | 100     | 104       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                     |             | 94      | 98        |
| 4101 Outlays from mandatory balances .....                          | 118         | 6       | 6         |
| 4110 Outlays, gross (total) .....                                   | 118         | 100     | 104       |
| 4180 Budget authority, net (total) .....                            | 100         | 100     | 104       |
| 4190 Outlays, net (total) .....                                     | 118         | 100     | 104       |
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....    | 58          | 40      | 61        |
| 5001 Total investments, EOY: Federal securities: Par value .....    | 40          | 61      | 63        |
| 5010 Total investments, SOY: non-Fed securities: Market value ..... | 108         | 125     | 127       |
| 5011 Total investments, EOY: non-Fed securities: Market value ..... | 125         | 127     | 130       |

The Tribal Trust Fund includes the following accounts: Funds for Advancement of the Indian Race, George C. Edgeter Fund, Ella M. Franklin Fund, Josephine Lambert Fund, Orrie Shaw Fund, Welmas Endowment Fund, Arizona Intertribal Trust Fund, Navajo Trust Fund, So. Ute Tribal Resource Fund, Ute Mountain Tribal Resource Fund, Chippewa Cree Tribal Trust Fund, Shivwits Band of Paiute Indians Trust Fund, Northern Cheyenne Trust Fund, Crow Creek Sioux Tribe Infrastructure Development Trust Fund, and Lower Brule Sioux Infrastructure Development

Fund. More detailed information on specific accounts is provided in the budget justifications for the Office of the Special Trustee for American Indians.

Tribal trust funds are deposited into a consolidated account in the U.S. Treasury pursuant to: 1) general or specific acts of the Congress and 2) Federal management of tribal real properties, the titles to which are held in trust for the Tribes by the United States. These funds are available to tribal groups for various purposes, under multiple acts of the Congress, and may be subject to the provisions of constitutions, bylaws, charters, and resolutions of the Tribes, bands, or groups to which funds accrue.

NATIONAL INDIAN GAMING COMMISSION

**Federal Funds**

SALARIES AND EXPENSES

**Program and Financing** (in millions of dollars)

| Identification code 14–0118–0–1–806                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 2           | 2       | 2         |
| 0900 Total new obligations (object class 25.2) .....               | 2           | 2       | 2         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | 2       | 2         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 2           | 2       | 2         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2           | 2       | 2         |
| 1930 Total budgetary resources available .....                     | 4           | 4       | 4         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 2           | 2       | 2         |
| 3020 Outlays (gross) .....   | –2          | –2      | –2        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 2           | 2       | 2         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 2           | 2       | 2         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | –2          | –2      | –2        |

The Indian Gaming Regulatory Act established, within the Department of the Interior, the National Indian Gaming Commission. The Commission monitors and regulates gaming activities conducted on Indian lands. The Commission fosters the economic development of Indian Tribes by ensuring the integrity of Indian gaming on Indian lands and ensuring that the Tribes are the primary beneficiaries of their gaming revenues. Operating costs of the Commission are financed through annual assessments of gaming operations regulated by the Commission.

**Object Classification** (in millions of dollars)

| Identification code 14–0118–0–1–806 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 2           | 2       | 2         |

## NATIONAL INDIAN GAMING COMMISSION, GAMING ACTIVITY FEES

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14-5141-0-2-806                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                  |             |         |           |
| Receipts:  |             |         |           |
| 0200 National Indian Gaming Commission, Gaming Activity Fees ..... | 19          | 19      | 19        |
| 0400 Total: Balances and collections .....                         | 19          | 19      | 19        |
| Appropriations:  |             |         |           |
| 0500 National Indian Gaming Commission, Gaming Activity Fees ..... | -19         | -19     | -19       |
| 0799 Balance, end of year .....                                    |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 14-5141-0-2-806                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 16          | 19      | 20        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 5           | 8       | 8         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 19          | 19      | 19        |
| 1260 Appropriations, mandatory (total) .....          | 19          | 19      | 19        |
| 1930 Total budgetary resources available .....        | 24          | 27      | 27        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 8           | 8       | 7         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 16          | 19      | 20        |
| 3020 Outlays (gross) .....                            | -16         | -19     | -20       |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 19          | 19      | 19        |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 16          | 18      | 18        |
| 4101 Outlays from mandatory balances .....            |             | 1       | 2         |
| 4110 Outlays, gross (total) .....                     | 16          | 19      | 20        |
| 4180 Budget authority, net (total) .....              | 19          | 19      | 19        |
| 4190 Outlays, net (total) .....                       | 16          | 19      | 20        |

The Indian Gaming Regulatory Act established, within the Department of the Interior, the National Indian Gaming Commission. The Commission monitors and regulates gaming activities conducted on Indian lands. The Commission fosters the economic development of Indian Tribes by ensuring the integrity of Indian gaming and ensuring that the Tribes are the primary beneficiaries of their gaming revenues. Operating costs of the Commission are financed through annual assessments of gaming operations regulated by the Commission.

## Object Classification (in millions of dollars)

| Identification code 14-5141-0-2-806                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 10          | 11      | 12        |
| 12.1 Civilian personnel benefits .....                 | 3           | 3       | 3         |
| 21.0 Travel and transportation of persons .....        | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                      | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 1           | 2       | 2         |
| 99.0 Direct obligations .....                          | 16          | 18      | 19        |
| 99.5 Below reporting threshold .....                   |             | 1       | 1         |
| 99.9 Total new obligations .....                       | 16          | 19      | 20        |

## Employment Summary

| Identification code 14-5141-0-2-806                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 100         | 115     | 115       |

## DEPARTMENT-WIDE PROGRAMS

## Federal Funds

## PAYMENTS IN LIEU OF TAXES

## Program and Financing (in millions of dollars)

| Identification code 14-1114-0-1-806                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 393         | 401     |           |
| 0900 Total new obligations (object class 41.0) ..... | 393         | 401     |           |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 393         | 401     |           |
| 1260 Appropriations, mandatory (total) .....         | 393         | 401     |           |
| 1930 Total budgetary resources available .....       | 393         | 401     |           |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 393         | 401     |           |
| 3020 Outlays (gross) .....                           | -393        | -401    |           |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 393         | 401     |           |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 393         | 401     |           |
| 4180 Budget authority, net (total) .....             | 393         | 401     |           |
| 4190 Outlays, net (total) .....                      | 393         | 401     |           |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 393         | 401     |           |
| Outlays .....                           | 393         | 401     |           |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 410       |
| Outlays .....                           |             |         | 410       |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 393         | 401     | 410       |
| Outlays .....                           | 393         | 401     | 410       |

Public Law 94-565 (31 U.S.C. 6901-07), as amended, authorizes payments in lieu of taxes to counties and other units of local government for lands within their boundaries that are administered by the Bureau of Land Management, Forest Service, National Park Service, Fish and Wildlife Service, and certain other agencies. Public Law 112-141, the Moving Ahead for Progress in the 21st Century Act, provides that the payments authorized by 31 U.S.C. Chapter 69 will be funded as mandatory through fiscal year 2013.

**Employment Summary**

| Identification code 14–1114–0–1–806                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 1       | 1         |

**PAYMENTS IN LIEU OF TAXES**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 14–1114–4–1–806                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 410       |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 410       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 410       |
| 1260 Appropriations, mandatory (total) .....         |             |         | 410       |
| 1930 Total budgetary resources available .....       |             |         | 410       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 410       |
| 3020 Outlays (gross) .....                           |             |         | –410      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 410       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 410       |
| 4180 Budget authority, net (total) .....             |             |         | 410       |
| 4190 Outlays, net (total) .....                      |             |         | 410       |

The Budget proposes a one-year extension of mandatory PILT payments at the authorization levels in fiscal year 2013.

**CENTRAL HAZARDOUS MATERIALS FUND**

*For necessary expenses of the Department of the Interior and any of its component offices and bureaus for the response action, including associated activities, performed pursuant to the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 et seq.), \$10,006,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 14–1121–0–1–304                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Remedial action .....   | 21          | 24      | 14        |
| 0801 Reimbursable program .....                                    | 6           | 8       | 4         |
| 0900 Total new obligations .....                                   | 27          | 32      | 18        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 36          | 24      | 11        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | 2       | 2         |
| 1050 Unobligated balance (total) .....                             | 37          | 26      | 13        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 10          | 10      | 10        |
| 1160 Appropriation, discretionary (total) .....                    | 10          | 10      | 10        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 4           | 7       | 5         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4           | 7       | 5         |
| 1900 Budget authority (total) .....                                | 14          | 17      | 15        |

|   |    |    |    |
|---|----|----|----|
| 1930 Total budgetary resources available .....        | 51 | 43 | 28 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 24 | 11 | 10 |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 15  | 20  | 30  |
| 3010 Obligations incurred, unexpired accounts .....               | 27  | 32  | 18  |
| 3020 Outlays (gross) .....  | –21 | –20 | –20 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1  | –2  | –2  |
| 3050 Unpaid obligations, end of year .....                        | 20  | 30  | 26  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 15  | 20  | 30  |
| 3200 Obligated balance, end of year .....                         | 20  | 30  | 26  |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 14 | 17 | 15 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 3  | 7  | 7  |
| 4011 Outlays from discretionary balances .....      | 18 | 13 | 13 |
| 4020 Outlays, gross (total) .....                   | 21 | 20 | 20 |
| Offsets against gross budget authority and outlays: |    |    |    |
| Offsetting collections (collected) from:            |    |    |    |
| 4033 Non-Federal sources .....                      | –4 | –7 | –5 |
| 4180 Budget authority, net (total) .....            | 10 | 10 | 10 |
| 4190 Outlays, net (total) .....                     | 17 | 13 | 15 |

The Central Hazardous Materials Fund is used to fund remedial investigations/feasibility studies and cleanups of hazardous waste sites for which the Department of the Interior is liable. Authority is provided for amounts recovered from responsible parties to be credited to this account. Thus, the account may be composed of both annual appropriations of no-year funds and of offsetting collections. The Comprehensive Environmental Response, Compensation and Liability Act, as amended (42 U.S.C. Section 9601 et seq.) requires responsible parties, including Federal landowners, to investigate and clean up releases of hazardous substances.

**Object Classification** (in millions of dollars)

| Identification code 14–1121–0–1–304                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.2 Direct obligations: Other services from non-Federal sources ..... | 2           | 2       | 2         |
| 99.0 Reimbursable obligations .....                                    | 10          | 8       | 4         |
| Allocation Account – direct:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....                 | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....                     | 13          | 20      | 10        |
| 99.0 Allocation account – direct .....                                 | 15          | 22      | 12        |
| 99.9 Total new obligations .....                                       | 27          | 32      | 18        |

**Employment Summary**

| Identification code 14–1121–0–1–304                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 5           | 5       | 5         |

**NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION****NATURAL RESOURCE DAMAGE ASSESSMENT FUND**

*To conduct natural resource damage assessment, restoration activities, and onshore oil spill preparedness by the Department of the Interior necessary to carry out the provisions of the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 et seq.), the Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 et seq.), the Oil Pollution Act of 1990 (33 U.S.C. 2701 et seq.), and Public Law 101–337, as amended (16 U.S.C. 19jj et seq.), \$12,539,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

NATURAL RESOURCE DAMAGE ASSESSMENT AND RESTORATION—Continued  
Special and Trust Fund Receipts (in millions of dollars)

| Identification code 14–1618–0–1–302                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                            |             | 1       | 1         |
| Receipts:  |             |         |           |
| 0220 Natural Resources Damages from Legal Actions .....      | 124         | 87      | 80        |
| 0240 Natural Resources Damages from Legal Actions, EO1 ..... | 2           | 3       | 3         |
| 0299 Total receipts and collections .....                    | 126         | 90      | 83        |
| 0400 Total: Balances and collections .....                   | 126         | 91      | 84        |
| Appropriations:  |             |         |           |
| 0500 Natural Resource Damage Assessment Fund .....           | –125        | –90     | –80       |
| 0799 Balance, end of year .....                              | 1           | 1       | 4         |

## Program and Financing (in millions of dollars)

| Identification code 14–1618–0–1–302                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Damage assessments .....                                     | 29          | 18      | 12        |
| 0002 Prince William Sound restoration .....                       | 1           | 2       | 2         |
| 0003 Other restoration .....                                      | 43          | 47      | 63        |
| 0004 Program management .....                                     | 4           | 3       | 3         |
| 0005 Onshore oil spill preparedness .....                         |             |         | 2         |
| 0900 Total new obligations .....                                  | 77          | 70      | 82        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 499         | 540     | 550       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 5           | 7       |           |
| 1010 Unobligated balance transfer to other accts [13–4316] .....  | –7          | –8      | –8        |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 493         | 532     | 542       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 6           | 6       | 13        |
| 1160 Appropriation, discretionary (total) .....                   | 6           | 6       | 13        |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 125         | 90      | 80        |
| 1220 Appropriations transferred to other accts [13–4316] .....    | –7          | –8      | –8        |
| 1260 Appropriations, mandatory (total) .....                      | 118         | 82      | 72        |
| 1900 Budget authority (total) .....                               | 124         | 88      | 85        |
| 1930 Total budgetary resources available .....                    | 617         | 620     | 627       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 540         | 550     | 545       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 20          | 26      | 20        |
| 3010 Obligations incurred, unexpired accounts .....               | 77          | 70      | 82        |
| 3020 Outlays (gross) .....  | –70         | –76     | –86       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 26          | 20      | 16        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 20          | 26      | 20        |
| 3200 Obligated balance, end of year .....                         | 26          | 20      | 16        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 6           | 6       | 13        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 3           | 4       | 9         |
| 4011 Outlays from discretionary balances .....                    | 2           | 2       | 2         |
| 4020 Outlays, gross (total) .....                                 | 5           | 6       | 11        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 118         | 82      | 72        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 39          | 16      | 7         |
| 4101 Outlays from mandatory balances .....                        | 26          | 54      | 68        |
| 4110 Outlays, gross (total) .....                                 | 65          | 70      | 75        |
| 4180 Budget authority, net (total) .....                          | 124         | 88      | 85        |
| 4190 Outlays, net (total) .....                                   | 70          | 76      | 86        |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....  | 444         | 134     | 525       |
| 5001 Total investments, EOY: Federal securities: Par value .....  | 134         | 525     | 525       |

Under the Natural Resource Damage Assessment and Restoration Fund (Restoration Fund), natural resource damage assessments will be performed in order to provide the basis for claims against responsible parties for the restoration of injured natural resources. Funds are appropriated to conduct damage assessments, provide restoration support, prepare for response to potential onshore spills, and for program management. In addition, funds will be received for the restoration of damaged resources and other activities and for natural resource damage assessments from responsible parties through cooperative assessment agreements, negotiated settlements, or other legal actions by the Department of the Interior. Responsible parties may also provide in-kind services to restore injured natural resources.

Restoration activities include: 1) the replacement and enhancement of affected resources; 2) acquisition of equivalent resources and services; and, 3) long-term environmental monitoring and research programs directed to the prevention, containment, and amelioration of hazardous substances and oil spill sites.

The Restoration Fund operates as a Department-wide program, incorporating the interdisciplinary expertise of its various bureaus and offices. Natural resource damage assessments and the restoration of injured natural resources are authorized by the Comprehensive Environmental Response, Compensation, and Liability Act, as amended (42 U.S.C. 9601 *et seq.*), Federal Water Pollution Control Act, as amended (33 U.S.C. 1251 *et seq.*), the Oil Pollution Act of 1990 (33 U.S.C. 2701 *et seq.*), and the Act of July 27, 1990 (16 U.S.C. 1911 *et seq.*). Since 1992, amounts received by the United States from responsible parties for restoration or reimbursement in settlement of natural resource damages may be deposited in the Fund and shall accrue interest.

## Object Classification (in millions of dollars)

| Identification code 14–1618–0–1–302                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 1           | 1       | 3         |
| 12.1 Civilian personnel benefits .....                   |             |         | 1         |
| 25.3 Other goods and services from Federal sources ..... | 18          | 17      | 15        |
| 42.0 Insurance claims and indemnities .....              | 15          | 13      | 16        |
| 99.0 Direct obligations .....                            | 34          | 31      | 35        |
| <b>Allocation Account - direct:</b>                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 7           | 6       | 8         |
| 11.3 Other than full-time permanent .....                | 2           | 2       | 3         |
| 11.9 Total personnel compensation .....                  | 9           | 8       | 11        |
| 12.1 Civilian personnel benefits .....                   | 3           | 2       | 3         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 17          | 14      | 16        |
| 25.3 Other goods and services from Federal sources ..... | 1           | 2       | 2         |
| 26.0 Supplies and materials .....                        | 1           | 1       | 1         |
| 32.0 Land and structures .....                           | 3           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....          | 7           | 8       | 10        |
| 99.0 Allocation account - direct .....                   | 42          | 39      | 47        |
| 99.5 Below reporting threshold .....                     | 1           |         |           |
| 99.9 Total new obligations .....                         | 77          | 70      | 82        |

## Employment Summary

| Identification code 14–1618–0–1–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 9           | 12      | 20        |

## EXXON VALDEZ RESTORATION PROGRAM

The Budget reflects the receipts, transfers, and mandatory spending by the Department of the Interior associated with the civil and criminal settlements resulting from the 1989 *Exxon Valdez* oil spill in the Prince William Sound and surrounding

areas. Funding from the settlements, including interest, is provided to Federal and Alaska State natural resource trustee agencies to restore the natural resources and services damaged by the spill. The *Exxon Valdez Oil Spill Trustee Council* consists of 3 State and 3 Federal trustees who oversee restoration of the injured ecosystem through the use of civil settlement funds. The criminal settlement funds are managed separately by the Federal and Alaska State governments, but are coordinated with the Council.

The original civil settlement with the Exxon Corporation included a re-opener provision valid from September 2002 to September 2006, which provided an opportunity for the Trustee governments to claim up to an additional \$100 million for natural resource injury that could not have been known or anticipated at the time of settlement. In late 2006, the Trustee governments issued a demand letter for \$92 million to the ExxonMobil Corporation, as well as a comprehensive restoration project plan for the clean up of lingering oil. Negotiations with ExxonMobil await completion of additional scientific studies.

The civil settlement and interest earned to date total roughly \$1 billion, of which \$166.3 million remains, outside the Treasury. The balance is managed on behalf of the Trustee Council by the State of Alaska (*Exxon Valdez Investment Fund*), with funds earmarked for future habitat acquisition and protection and for marine research and ecological monitoring in the spill zone.

#### WILDLAND FIRE MANAGEMENT (INCLUDING TRANSFERS)

*For necessary expenses for fire preparedness, suppression operations, fire science and research, emergency rehabilitation, hazardous fuels reduction, and rural fire assistance by the Department of the Interior, \$691,893,000 to remain available until expended, of which not to exceed \$6,127,000 shall be for the renovation or construction of fire facilities: Provided, That such funds are also available for repayment of advances to other appropriation accounts from which funds were previously transferred for such purposes: Provided further, That persons hired pursuant to 43 U.S.C. 1469 may be furnished subsistence and lodging without cost from funds available from this appropriation: Provided further, That notwithstanding 42 U.S.C. 1856d, sums received by a bureau or office of the Department of the Interior for fire protection rendered pursuant to 42 U.S.C. 1856 et seq., protection of United States property, may be credited to the appropriation from which funds were expended to provide that protection, and are available without fiscal year limitation: Provided further, That using the amounts designated under this title of this Act, the Secretary of the Interior may enter into procurement contracts, grants, or cooperative agreements, for hazardous fuels reduction activities, and for training and monitoring associated with such hazardous fuels reduction activities, on Federal land, or on adjacent non-Federal land for activities that benefit resources on Federal land: Provided further, That the costs of implementing any cooperative agreement between the Federal Government and any non-Federal entity may be shared, as mutually agreed on by the affected parties: Provided further, That notwithstanding requirements of the Competition in Contracting Act, the Secretary, for purposes of hazardous fuels reduction activities, may obtain maximum practicable competition among: (1) local private, nonprofit, or cooperative entities; (2) Youth Conservation Corps crews, Public Lands Corps (Public Law 109-154), or related partnerships with State, local, or nonprofit youth groups; (3) small or micro-businesses; or (4) other entities that will hire or train locally a significant percentage, defined as 50 percent or more, of the project workforce to complete such contracts: Provided further, That in implementing this section, the Secretary shall develop written guidance to field units to ensure accountability and consistent application of the authorities provided herein: Provided further, That funds appropriated under this heading may be used to reimburse the United States Fish and Wildlife Service and the National Marine Fisheries Service for the costs of carrying out their responsibilities under the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.) to consult and conference, as required by*

*section 7 of such Act, in connection with wildland fire management activities: Provided further, That the Secretary of the Interior may use wildland fire appropriations to enter into leases of real property with local governments, at or below fair market value, to construct capitalized improvements for fire facilities on such leased properties, including but not limited to fire guard stations, retardant stations, and other initial attack and fire support facilities, and to make advance payments for any such lease or for construction activity associated with the lease: Provided further, That the Secretary of the Interior and the Secretary of Agriculture may authorize the transfer of funds appropriated for wildland fire management, in an aggregate amount not to exceed \$50,000,000, between the Departments when such transfers would facilitate and expedite jointly funded wildland fire management programs and projects: Provided further, That funds provided for wildfire suppression shall be available for support of Federal emergency response actions: Provided further, That funds appropriated under this heading shall be available for assistance to or through the Department of State in connection with forest and rangeland research, technical information, and assistance in foreign countries, and, with the concurrence of the Secretary of State, shall be available to support forestry, wildland fire management, and related natural resource activities outside the United States and its territories and possessions, including technical assistance, education and training, and cooperation with United States and international organizations.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 14-1125-0-1-302                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Preparedness (Readiness, Facilities, and Fire Science) .....    | 305         | 292     | 294       |
| 0004 Fire suppression operations .....                               | 466         | 276     | 286       |
| 0006 Hazardous fuels reduction .....                                 | 181         | 145     | 96        |
| 0008 Burned area rehabilitation .....                                | 37          | 13      | 16        |
| 0799 Total direct obligations .....                                  | 989         | 726     | 692       |
| 0801 Fire reimbursable .....   | 41          | 18      | 18        |
| 0900 Total new obligations .....                                     | 1,030       | 744     | 710       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 359         | 73      | 198       |
| 1011 Unobligated balance transfer from other accts [14-1127] ....    | 85          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 25          | 25      | 25        |
| 1050 Unobligated balance (total) .....                               | 469         | 98      | 223       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 566         | 758     | 692       |
| 1120 Appropriations transferred to other accts [12-1115] .....       | -3          |         |           |
| 1120 Appropriations transferred to other accts [14-1039] .....       |             | -16     |           |
| 1121 Appropriations transferred from other accts [14-1127] ....      | 92          | 84      | 92        |
| 1121 Appropriations transferred from other accts [14-1039] ....      | 16          |         |           |
| 1121 Appropriations transferred from other accts [12-1115] ....      | 4           |         |           |
| 1130 Appropriations permanently reduced .....                        | -1          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... |             |         | -7        |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -82         |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 592         | 826     | 777       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 39          | 18      | 18        |
| 1701 Change in uncollected payments, Federal sources .....           | 3           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 42          | 18      | 18        |
| 1900 Budget authority (total) .....                                  | 634         | 844     | 795       |
| 1930 Total budgetary resources available .....                       | 1,103       | 942     | 1,018     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 73          | 198     | 308       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 231         | 298     | 342       |
| 3010 Obligations incurred, unexpired accounts .....                  | 1,030       | 744     | 710       |
| 3020 Outlays (gross) .....   | -938        | -675    | -839      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -25         | -25     | -25       |

WILDLAND FIRE MANAGEMENT—Continued  
Program and Financing—Continued

| Identification code 14–1125–0–1–302       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 3050                                      | Unpaid obligations, end of year .....                           | 298         | 342     | 188       |
|   | Uncollected payments:   |             |         |           |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –10         | –13     | –13       |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | –3          |         |           |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | –13         | –13     | –13       |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                          | 221         | 285     | 329       |
| 3200                                      | Obligated balance, end of year .....                            | 285         | 329     | 175       |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
|   | Discretionary:  |             |         |           |
| 4000                                      | Budget authority, gross .....                                   | 634         | 844     | 795       |
|   | Outlays, gross:   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                  | 483         | 504     | 474       |
| 4011                                      | Outlays from discretionary balances .....                       | 455         | 171     | 365       |
| 4020                                      | Outlays, gross (total) .....                                    | 938         | 675     | 839       |
|   | Offsets against gross budget authority and outlays:             |             |         |           |
|   | Offsetting collections (collected) from:                        |             |         |           |
| 4030                                      | Federal sources .....   | –15         | –9      | –9        |
| 4033                                      | Non-Federal sources .....                                       | –24         | –9      | –9        |
| 4040                                      | Offsets against gross budget authority and outlays (total) .... | –39         | –18     | –18       |
|   | Additional offsets against gross budget authority only:         |             |         |           |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | –3          |         |           |
| 4070                                      | Budget authority, net (discretionary) .....                     | 592         | 826     | 777       |
| 4080                                      | Outlays, net (discretionary) .....                              | 899         | 657     | 821       |
| 4180                                      | Budget authority, net (total) .....                             | 592         | 826     | 777       |
| 4190                                      | Outlays, net (total) .....                                      | 899         | 657     | 821       |

**Preparedness.**—Funds the non-emergency and predictable aspects of the Department's wildland fire program, including the initial attack suppression action on wildfires. Preparedness includes readiness, operational planning, oversight, procurement, training, supervision, and deployment of wildland fire suppression personnel and equipment prior to wildland fire occurrence. It also includes activities related to program monitoring and evaluation, and integration of fire into land-use planning.

**Suppression Operations.**—Funds the emergency and unpredictable aspects of the Department's wildland fire management program. Suppression operations include the total spectrum of management actions taken on wildland fires in a safe, cost-effective manner, considering public benefits and values to be protected consistent with resource objectives and land management plans. Emergency actions taken during and immediately following a wildfire to stabilize the soil and structures to prevent erosion, floods, landslides, and further resource damage are included in this activity. Emergency stabilization actions may be performed within one year of containment of a fire. Funding for the ten-year average of inflation-adjusted suppression obligations is split between the FLAME Wildfire Suppression Reserve Fund and this appropriation. The DOI and Forest Service wildland fire management programs will continue to strengthen oversight and accountability of suppression spending and use risk management principles to guide decision-making at the strategic, program, and operational levels.

**Other Operations.**—Funds all other aspects of the wildland fire management program designed to reduce the risk of damage resulting from catastrophic wildland fires. Programs include hazardous fuels reduction, fire facilities construction and maintenance, post-fire rehabilitation of burned areas, and applied research. The Hazardous Fuels Reduction program includes the planning, operational aspects, and monitoring of treatments to reduce fuel loads and promotes ecosystem health in forests and rangelands. Methods for fuels reduction include prescribed fire, mechanical, chemical, and biological treatments or a combination of methods. In 2014, all hazardous fuels project funding will be

allocated using the Hazardous Fuels Prioritization Allocation System, which ranks and prioritizes allocations based on factors such as fire risk, efficiency of treatments, and effectiveness of treatment. The Fire Facilities program funds construction and maintenance of facilities to house firefighters and equipment used in wildland firefighting and hazardous fuels reduction. Facilities funded in this activity include crew quarters, warehouses, fire caches, dispatch centers, fire stations, engine storage, and aviation bases. The Burned Area Rehabilitation program begins the restoration process for lands and resources damaged by wildland fires that would not return to fire adapted conditions without intervention. Soil stabilization and the introduction of native and other desirable plant species are employed for up to three years following containment of a fire to return severely-burned areas to appropriate fire regimes and resource conditions. The Joint Fire Science subactivity funds the Department's share of the Joint Fire Science program, an interagency partnership that sponsors and delivers applied research to assist field managers with fuels treatment, post-fire rehabilitation, smoke management and many other related topics.

## Object Classification (in millions of dollars)

| Identification code 14–1125–0–1–302 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
|                                     | Direct obligations:  |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....          | 2           | 2       | 2         |
| 12.1                                | Civilian personnel benefits .....                          | 1           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....                     | 1           |         |           |
| 25.2                                | Other services from non-Federal sources .....              | 2           | 1       | 1         |
| 25.3                                | Other goods and services from Federal sources .....        | 2           | 2       | 2         |
| 99.0                                | Direct obligations .....                                   | 8           | 6       | 6         |
|                                     | Allocation Account - direct:                               |             |         |           |
|                                     | Personnel compensation:                                    |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 185         | 173     | 175       |
| 11.3                                | Other than full-time permanent .....                       | 22          | 20      | 19        |
| 11.5                                | Other personnel compensation .....                         | 95          | 88      | 65        |
| 11.8                                | Special personal services payments .....                   | 47          | 30      | 32        |
| 11.9                                | Total personnel compensation .....                         | 349         | 311     | 291       |
| 12.1                                | Civilian personnel benefits .....                          | 92          | 80      | 73        |
| 21.0                                | Travel and transportation of persons .....                 | 27          | 22      | 18        |
| 22.0                                | Transportation of things .....                             | 5           | 3       | 3         |
| 23.1                                | Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.2                                | Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 20          | 18      | 18        |
| 25.1                                | Advisory and assistance services .....                     | 2           | 1       | 9         |
| 25.2                                | Other services from non-Federal sources .....              | 257         | 180     | 182       |
| 25.3                                | Other goods and services from Federal sources .....        | 95          | 30      | 27        |
| 25.4                                | Operation and maintenance of facilities .....              | 2           | 2       | 2         |
| 25.6                                | Medical care .....   | 1           | 1       | 1         |
| 25.7                                | Operation and maintenance of equipment .....               | 6           | 5       | 5         |
| 25.8                                | Subsistence and support of persons .....                   | 1           | 1       | 1         |
| 26.0                                | Supplies and materials .....                               | 65          | 40      | 32        |
| 31.0                                | Equipment .....  | 13          | 10      | 8         |
| 32.0                                | Land and structures .....                                  | 5           | 5       | 4         |
| 41.0                                | Grants, subsidies, and contributions .....                 | 38          | 8       | 8         |
| 99.0                                | Allocation account - direct .....                          | 981         | 720     | 685       |
|                                     | Allocation Account - reimbursable:                         |             |         |           |
|                                     | Personnel compensation:                                    |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 5           | 5       | 4         |
| 11.3                                | Other than full-time permanent .....                       | 1           |         | 1         |
| 11.5                                | Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 7           | 6       | 6         |
| 12.1                                | Civilian personnel benefits .....                          | 3           | 2       | 2         |
| 21.0                                | Travel and transportation of persons .....                 | 2           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2           |         |           |
| 25.2                                | Other services from non-Federal sources .....              | 10          | 5       | 6         |
| 25.3                                | Other goods and services from Federal sources .....        | 2           |         |           |
| 26.0                                | Supplies and materials .....                               | 3           | 2       | 2         |
| 31.0                                | Equipment .....  | 2           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....                 | 10          | 1       | 1         |
| 99.0                                | Allocation account - reimbursable .....                    | 41          | 18      | 19        |
| 99.9                                | Total new obligations .....                                | 1,030       | 744     | 710       |

## Employment Summary

| Identification code 14–1125–0–1–302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 23          | 23      | 20        |

## FLAME WILDFIRE SUPPRESSION RESERVE FUND

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses for large fire suppression operations of the Department of the Interior and as a reserve fund for suppression and Federal emergency response activities, \$92,000,000, to remain available until expended: Provided, That such amounts are available only for transfer to the "Wildland Fire Management" account and only following a declaration by the Secretary that either (1) a wildland fire suppression event meets certain previously established risk-based written criteria for significant complexity, severity, or threat posed by the fire or (2) funds for suppression in the "Wildland Fire Management" account will be exhausted within 30 days.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–1127–0–1–302                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 85          |         |           |
| 1010 Unobligated balance transfer to other accts [14–1125] ..... | –85         |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 92          | 84      | 92        |
| 1120 Appropriations transferred to other accts [14–1125] .....   | –92         | –84     | –92       |

Amounts in the FLAME fund include the portion of the ten-year average of suppression obligations, adjusted for inflation, intended to support the most severe, complex, and threatening fires. The Secretary may permit transfers from this account to cover these extreme fire events. The Secretary may also transfer funds in the event that DOI has exhausted its suppression resources due to an active fire season.

## WORKING CAPITAL FUND

*For the acquisition of a departmental financial and business management system, information technology improvements of general benefit to the Department, and consolidation of facilities and operations throughout the Department, \$62,000,000, to remain available until expended: Provided, That none of the funds appropriated in this Act or any other Act may be used to establish reserves in the Working Capital Fund account other than for accrued annual leave and depreciation of equipment without prior notice to the House of Representatives and Senate Committees on Appropriations: Provided further, That the Secretary may assess reasonable charges to State, local and tribal government employees for training services provided by the National Indian Program Training Center, other than training related to Public Law 93–638: Provided further, That the Secretary may lease or otherwise provide space and related facilities, equipment or professional services of the National Indian Program Training Center to State, local and tribal government employees or persons or organizations engaged in cultural, educational, or recreational activities (as defined in section 3306(a) of title 40, United States Code) at the prevailing rate for similar space, facilities, equipment, or services in the vicinity of the National Indian Program Training Center: Provided further, That all funds received pursuant to the two preceding provisos shall be credited to this account, shall be available until expended, and shall be used by the Secretary for necessary expenses of the National Indian Program Training Center: Provided further, That the Secretary may enter*

*into grants and cooperative agreements to support the Office of Natural Resource Revenue's collection and disbursement of royalties, fees, and other mineral revenue proceeds, as authorized by law.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 14–4523–0–4–306                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Financial Business Management System .....                      | 59          | 58      | 58        |
| 0100 Direct program activities, subtotal .....                       | 59          | 58      | 58        |
| 0801 DM Activities .....   | 223         | 262     | 455       |
| 0802 Interior Business Center .....                                  | 988         | 919     | 609       |
| 0804 Rebate Funding .....  | 12          | 11      | 11        |
| 0805 Facilities .....  | 55          | 76      | 77        |
| 0806 Unemployment and Worker's Compensation .....                    | 103         | 103     | 97        |
| 0809 Reimbursable program activities, subtotal .....                 | 1,381       | 1,371   | 1,249     |
| 0899 Total reimbursable obligations .....                            | 1,381       | 1,371   | 1,249     |
| 0900 Total new obligations .....                                     | 1,440       | 1,429   | 1,307     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 286         | 319     | 517       |
| 1021 Recoveries of prior year unpaid obligations .....               | 3           | 7       | 7         |
| 1050 Unobligated balance (total) .....                               | 289         | 326     | 524       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 62          | 62      | 62        |
| 1160 Appropriation, discretionary (total) .....                      | 62          | 62      | 62        |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 1,266       | 1,558   | 1,588     |
| 1701 Change in uncollected payments, Federal sources .....           | 142         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 1,408       | 1,558   | 1,588     |
| 1900 Budget authority (total) .....                                  | 1,470       | 1,620   | 1,650     |
| 1930 Total budgetary resources available .....                       | 1,759       | 1,946   | 2,174     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 319         | 517     | 867       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 692         | 719     | 540       |
| 3010 Obligations incurred, unexpired accounts .....                  | 1,440       | 1,429   | 1,307     |
| 3020 Outlays (gross) .....   | –1,410      | –1,601  | –1,632    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –3          | –7      | –7        |
| 3050 Unpaid obligations, end of year .....                           | 719         | 540     | 208       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –562        | –704    | –704      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –142        |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –704        | –704    | –704      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 130         | 15      | –164      |
| 3200 Obligated balance, end of year .....                            | 15          | –164    | –496      |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 1,470       | 1,620   | 1,650     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 1,410       | 1,283   | 1,307     |
| 4011 Outlays from discretionary balances .....                       |             | 318     | 325       |
| 4020 Outlays, gross (total) .....                                    | 1,410       | 1,601   | 1,632     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –1,253      | –1,546  | –1,576    |
| 4033 Non-Federal sources .....                                       | –13         | –12     | –12       |
| 4040 Offsets against gross budget authority and outlays (total) .... | –1,266      | –1,558  | –1,588    |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –142        |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 62          | 62      | 62        |
| 4080 Outlays, net (discretionary) .....                              | 144         | 43      | 44        |
| 4180 Budget authority, net (total) .....                             | 62          | 62      | 62        |
| 4190 Outlays, net (total) .....                                      | 144         | 43      | 44        |

## WORKING CAPITAL FUND—Continued

The Working Capital Fund finances services and activities that can be performed more effectively and efficiently in a centralized manner, including business services provided by the Interior Business Center (IBC), formerly the National Business Center. Activities financed through the fund include information technology and security, Departmental news and information, aircraft services, central reproduction, supplies and health services, and safety and health initiatives. IBC hosts the Department's administrative systems, including: the Federal Personnel and Payroll System (FPPS); the Federal Financial System (FFS); the Interior Department Electronic Acquisitions System (IDEAS); and the Financial and Business Management System (FBMS). IBC also provides accounting, acquisition, communications and help desk services. IBC has expanded payroll services to other agencies as one of the Government-wide payroll providers selected by OPM. Through the National Indian Program Training Center, a component of DOI University, the Working Capital Fund provides training courses and other services related to Indian culture, law and programs to Federal government employees. The appropriated portion of the Working Capital Fund includes funding for FBMS, Service First, and management of the Department's Cultural and Scientific collections.

## Object Classification (in millions of dollars)

| Identification code 14-4523-0-4-306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 8           | 10      | 10        |
| 25.2 Other services from non-Federal sources .....              | 51          | 33      | 32        |
| 25.3 Other goods and services from Federal sources .....        |             | 15      | 16        |
| 99.0 Direct obligations .....                                   | 59          | 58      | 58        |
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 108         | 125     | 112       |
| 12.1 Civilian personnel benefits .....                          | 31          | 35      | 32        |
| 13.0 Benefits for former personnel .....                        | 6           |         |           |
| 21.0 Travel and transportation of persons .....                 | 4           | 4       | 3         |
| 23.1 Rental payments to GSA .....                               | 55          | 59      | 59        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 43          | 60      | 59        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1,114       | 332     | 299       |
| 25.3 Other goods and services from Federal sources .....        |             | 737     | 677       |
| 26.0 Supplies and materials .....                               | 6           | 2       | 1         |
| 31.0 Equipment .....  | 13          | 16      | 6         |
| 99.0 Reimbursable obligations .....                             | 1,381       | 1,371   | 1,249     |
| 99.9 Total new obligations .....                                | 1,440       | 1,429   | 1,307     |

## Employment Summary

| Identification code 14-4523-0-4-306                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 64          | 55      | 55        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,228       | 1,281   | 1,281     |

## INTERIOR FRANCHISE FUND

## Program and Financing (in millions of dollars)

| Identification code 14-4529-0-4-306                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable Activity .....                                   | 1,013       | 1,005   | 1,005     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 120         | 124     | 136       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1,005       | 1,005   | 1,005     |
| 1701 Change in uncollected payments, Federal sources .....         | 12          | 12      | 12        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1,017       | 1,017   | 1,017     |

|   |       |       |       |
|---|-------|-------|-------|
| 1930 Total budgetary resources available .....        | 1,137 | 1,141 | 1,153 |
| Memorandum (non-add) entries:                         |       |       |       |
| 1941 Unexpired unobligated balance, end of year ..... | 124   | 136   | 148   |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 832    | 770    | 750    |
| 3010 Obligations incurred, unexpired accounts .....               | 1,013  | 1,005  | 1,005  |
| 3020 Outlays (gross) .....  | -1,075 | -1,025 | -1,092 |
| 3050 Unpaid obligations, end of year .....                        | 770    | 750    | 663    |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -640   | -652   | -664   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -12    | -12    | -12    |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -652   | -664   | -676   |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 192    | 118    | 86     |
| 3200 Obligated balance, end of year .....                         | 118    | 86     | -13    |

## Budget authority and outlays, net:

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                             | 1,017  | 1,017  | 1,017  |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....            | 967    | 915    | 915    |
| 4011 Outlays from discretionary balances .....                 | 108    | 110    | 177    |
| 4020 Outlays, gross (total) .....                              | 1,075  | 1,025  | 1,092  |
| Offsets against gross budget authority and outlays:            |        |        |        |
| Offsetting collections (collected) from:                       |        |        |        |
| 4030 Federal sources .....                                     | -1,005 | -1,005 | -1,005 |
| Additional offsets against gross budget authority only:        |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -12    | -12    | -12    |
| 4080 Outlays, net (discretionary) .....                        | 70     | 20     | 87     |
| 4190 Outlays, net (total) .....                                | 70     | 20     | 87     |

The Interior Franchise Fund (IFF) was established by the Government Management Reform Act (P.L. 103-356) as amended, and provides acquisition management and administrative services to the Department of the Interior and other Federal agencies on a competitive, fee basis. Operating costs for the IFF are funded fully by the fees collected in exchange for the services provided.

## Balance Sheet (in millions of dollars)

| Identification code 14-4529-0-4-306           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>                                |             |             |
| Federal assets:                               |             |             |
| 1101 Fund balances with Treasury .....        | 417         | 417         |
| Investments in US securities:                 |             |             |
| 1106 Receivables, net .....                   | 120         | 120         |
| 1999 Total assets .....                       | 537         | 537         |
| <b>LIABILITIES:</b>                           |             |             |
| Federal liabilities:                          |             |             |
| 2101 Accounts payable .....                   | 252         | 252         |
| 2105 Other .....                              | 259         | 259         |
| 2999 Total liabilities .....                  | 511         | 511         |
| <b>NET POSITION:</b>                          |             |             |
| 3300 Cumulative results of operations .....   | 26          | 26          |
| 4999 Total liabilities and net position ..... | 537         | 537         |

## Object Classification (in millions of dollars)

| Identification code 14-4529-0-4-306                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 11          | 11      | 11        |
| 12.1 Civilian personnel benefits .....                 | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....     | 999         | 991     | 991       |
| 99.9 Total new obligations .....                       | 1,013       | 1,005   | 1,005     |



**Employment Summary**

| Identification code 14–4529–0–4–306                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 103         | 67      | 67        |

**ADMINISTRATIVE PROVISION**

There is hereby authorized for acquisition from available resources within the Working Capital Fund, 15 manned aircraft, 10 of which shall be for replacement, and 250 aircraft under 100 pounds, all of which may be obtained by donation, purchase or through available excess surplus property, to support the Department's goals: *Provided, That existing aircraft being replaced may be sold, with proceeds derived or trade-in value used to offset the purchase price for the replacement aircraft: Provided further, That the Bell 206L–1 aircraft, serial number 45287, currently registered as N613, is to be retired from service and, notwithstanding any other provision of law, the National Business Center, Aviation Management Directorate shall transfer the aircraft without reimbursement to the National Law Enforcement Officers Memorial Fund, for the purpose of providing a static display in the National Law Enforcement Museum: Provided, That such aircraft shall revert back to the Department of the Interior if said museum determines in the future that the subject aircraft is no longer needed.*

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 14–181100 Rent and Bonuses from Land Leases for Resource Exploration and Extraction .....          | 104         | 77      | 83        |
| Legislative proposal, subject to PAYGO .....   |             |         | 1         |
| 14–182000 Rent and Bonuses on Outer Continental Shelf Lands .....                                  |             | 60      | 151       |
| Legislative proposal, subject to PAYGO .....   |             |         | 50        |
| 14–202000 Royalties on Outer Continental Shelf Lands .....   | 5,558       | 5,736   | 5,833     |
| Legislative proposal, subject to PAYGO .....   |             |         | –150      |
| 14–202500 Revenues from Federal Oil and Gas Management Reforms .....                               |             |         | 50        |
| 14–203200 Hardrock Mining Holding Fee .....  | 26          | 20      | 23        |
| 14–203900 Royalties on Natural Resources, not Otherwise Classified .....                           | 383         | 382     | 353       |
| Legislative proposal, subject to PAYGO .....   |             |         | 1         |
| 14–222900 Sale of Timber, Wildlife and Other Natural Land Products, not Otherwise Classified ..... |             | 1       | 5         |
| Legislative proposal, subject to PAYGO .....   |             |         | 5         |
| 14–223900 Sale of Helium .....   |             |         | 10        |
| Legislative proposal, subject to PAYGO .....   |             |         | 145       |
| 14–248400 Receipts from Grazing Fees, Federal Share .....  | 4           | 4       | 4         |
| 14–272930 Indian Loan Guarantee, Downward Reestimates of Subsidies .....                           | 28          |         |           |
| 14–274230 Bureau of Reclamation Loans, Downward Reestimates of Subsidies .....                     | 20          |         |           |
| 14–274730 Indian Direct Loan, Downward Reestimates of Subsidies .....                              | 2           |         |           |
| 14–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....     | 61          | 78      | 84        |
| General Fund Offsetting receipts from the public .....   | 6,186       | 6,358   | 6,648     |
| Intragovernmental payments:  |             |         |           |
| 14–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....   | –49         | 3       | 3         |
| General Fund Intragovernmental payments .....  | –49         | 3       | 3         |

**GENERAL PROVISIONS**

(INCLUDING TRANSFERS OF FUNDS)

**EMERGENCY TRANSFER AUTHORITY—INTRA-BUREAU**

**SEC. 101.** *Appropriations made in this title shall be available for expenditure or transfer (within each bureau or office), with the approval of the Secretary, for the emergency reconstruction, replacement, or repair of aircraft, buildings, utilities, or other facilities or equipment damaged or*

*destroyed by fire, flood, storm, or other unavoidable causes: Provided, That no funds shall be made available under this authority until funds specifically made available to the Department of the Interior for emergencies shall have been exhausted: Provided further, That it is the sense of Congress that all funds used pursuant to this section be replenished by a supplemental appropriation, to be requested as promptly as possible.*

**EMERGENCY TRANSFER AUTHORITY—DEPARTMENT-WIDE**

**SEC. 102.** *The Secretary may authorize the expenditure or transfer of any no year appropriation in this title, in addition to the amounts included in the budget programs of the several agencies, for the suppression or emergency prevention of wildland fires on or threatening lands under the jurisdiction of the Department of the Interior; for the emergency rehabilitation of burned-over lands under its jurisdiction; for emergency actions related to potential or actual earthquakes, floods, volcanoes, storms, or other unavoidable causes; for contingency planning subsequent to actual oil spills; for response and natural resource damage assessment activities related to actual oil spills or releases of hazardous substances into the environment; for the prevention, suppression, and control of actual or potential grasshopper and Mormon cricket outbreaks on lands under the jurisdiction of the Secretary, pursuant to the authority in section 417(b) of Public Law 106–224 (7 U.S.C. 7717(b)); for emergency reclamation projects under section 410 of Public Law 95–87; and shall transfer, from any no year funds available to the Office of Surface Mining Reclamation and Enforcement, such funds as may be necessary to permit assumption of regulatory authority in the event a primacy State is not carrying out the regulatory provisions of the Surface Mining Act: Provided, That appropriations made in this title for wildland fire operations shall be available for the payment of obligations incurred during the preceding fiscal year, and for reimbursement to other Federal agencies for destruction of vehicles, aircraft, or other equipment in connection with their use for wildland fire operations, such reimbursement to be credited to appropriations currently available at the time of receipt thereof: Provided further, That for wildland fire operations, no funds shall be made available under this authority until the Secretary determines that funds appropriated for "wildland fire operations" and "FLAME Wildfire Suppression Reserve Fund" shall be exhausted within 30 days: Provided further, That it is the sense of Congress that all funds used pursuant to this section be replenished by a supplemental appropriation, to be requested as promptly as possible: Provided further, That such replenishment funds should be used to reimburse, on a pro rata basis, accounts from which emergency funds were transferred.*

**AUTHORIZED USE OF FUNDS**

**SEC. 103.** *Appropriations made to the Department of the Interior in this title shall be available for services as authorized by section 3109 of title 5, United States Code, when authorized by the Secretary, in total amount not to exceed \$500,000; purchase and replacement of motor vehicles, including specially equipped law enforcement vehicles; hire, maintenance, and operation of aircraft; hire of passenger motor vehicles; purchase of reprints; payment for telephone service in private residences in the field, when authorized under regulations approved by the Secretary; and the payment of dues, when authorized by the Secretary, for library membership in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members.*

**AUTHORIZED USE OF FUNDS, INDIAN TRUST MANAGEMENT**

**SEC. 104.** *Appropriations made in this Act under the headings Bureau of Indian Affairs and Office of the Special Trustee for American Indians and any unobligated balances from prior appropriations Acts made under the same headings shall be available for expenditure or transfer for Indian trust management and reform activities. Total funding for historical accounting activities shall not exceed amounts specifically designated in this Act for such purpose.*

**REDISTRIBUTION OF FUNDS, BUREAU OF INDIAN AFFAIRS**

**SEC. 105.** *Notwithstanding any other provision of law, the Secretary of the Interior is authorized to redistribute any Tribal Priority Allocation funds, including tribal base funds, to alleviate tribal funding inequities by transferring funds to address identified, unmet needs, dual enrollment, overlapping service areas or inaccurate distribution methodologies. No federally recognized tribe shall receive a reduction in Tribal Priority Allocation funds of more than 10 percent in fiscal year 2014. Under circum-*

stances of dual enrollment, overlapping service areas or inaccurate distribution methodologies, the 10 percent limitation does not apply.

#### OUTER CONTINENTAL SHELF INSPECTION FEES

SEC. 106. (a) In fiscal year 2014, the Secretary shall collect a nonrefundable inspection fee, which shall be deposited in the "Offshore Safety and Environmental Enforcement" account, from the designated operator for facilities subject to inspection under 43 U.S.C. 1348(c).

(b) Annual fees shall be collected for facilities that are above the waterline, excluding drilling rigs, and are in place at the start of the fiscal year. Fees for fiscal year 2014 shall be:

(1) \$10,500 for facilities with no wells, but with processing equipment or gathering lines;

(2) \$17,000 for facilities with 1 to 10 wells, with any combination of active or inactive wells; and

(3) \$31,500 for facilities with more than 10 wells, with any combination of active or inactive wells.

(c) Fees for drilling rigs shall be assessed for all inspections completed in fiscal year 2014. Fees for fiscal year 2014 shall be:

(1) \$30,500 per inspection for rigs operating in water depths of 500 feet or more; and

(2) \$16,700 per inspection for rigs operating in water depths of less than 500 feet.

(d) The Secretary shall bill designated operators under subsection (b) within 60 days, with payment required within 30 days of billing. The Secretary shall bill designated operators under subsection (c) within 30 days of the end of the month in which the inspection occurred, with payment required within 30 days of billing.

#### OIL AND GAS LEASING INTERNET PROGRAM

SEC. 107. Notwithstanding section 17(b)(1)(A) of the Mineral Leasing Act (30 U.S.C. 226(b)(1)(A)), the Secretary of the Interior shall have the authority to implement an oil and gas leasing Internet program, under which the Secretary may conduct lease sales through methods other than oral bidding.

#### BUREAU OF OCEAN ENERGY MANAGEMENT, REGULATION AND ENFORCEMENT REORGANIZATION

SEC. 108. The Secretary of the Interior, in order to implement a reorganization of the Bureau of Ocean Energy Management, Regulation and Enforcement, may transfer funds among and between the successor offices and bureaus affected by the reorganization only in conformance with the reprogramming guidelines described in the report accompanying this Act.

#### AUTHORIZED USE OF INDIAN EDUCATION FUNDS

SEC. 109. Beginning July 1, 2008, any funds (including investments and interest earned, except for construction funds) held by a Public Law 100–297 grant or a Public Law 93–638 contract school shall, upon retrocession to or re-assumption by the Bureau of Indian Education, remain available to the Bureau of Indian Education for a period of 5 years from the date of retrocession or re-assumption for the benefit of the programs approved for the school on October 1, 1995.

#### CONTRACTS AND AGREEMENTS FOR WILD HORSE AND BURRO HOLDING FACILITIES

SEC. 110. (a) Notwithstanding any other provision of this Act, the Secretary of the Interior may enter into multiyear cooperative agreements with nonprofit organizations and other appropriate entities, and may enter into multiyear contracts in accordance with the provisions of section 304B of the Federal Property and Administrative Services Act of 1949 (41 U.S.C. 254c) (except that the 5-year term restriction in subsection (d) shall not apply), for the long-term care and maintenance of excess wild free roaming horses and burros by such organizations or entities on private land. Such cooperative agreements and contracts may not exceed 10 years, subject to renewal at the discretion of the Secretary.

#### MASS MARKING OF SALMONIDS

SEC. 111. The United States Fish and Wildlife Service shall, in carrying out its responsibilities to protect threatened and endangered species of salmon, implement a system of mass marking of salmonid stocks, intended for harvest, that are released from federally operated or federally financed hatcheries including but not limited to fish releases of coho, chinook, and steelhead species. Marked fish must have a visible mark that can be readily identified by commercial and recreational fishers.

#### ONSHORE OIL AND GAS INSPECTION FEES

SEC. 112. (a) In fiscal year 2014, the Bureau of Land Management (BLM) shall collect a non-refundable inspection fee, which shall be deposited in the "Management of Lands and Resources" account, from the designated

operator of each Federal and Indian lease or agreement subject to inspection under 30 U.S.C. 1718(b) that is in place at the start of fiscal year 2014.

(b) Fees for 2014 shall be:

(1) \$700 for each lease or agreement with no active or inactive wells, but with surface use, disturbance or reclamation;

(2) \$1,450 for each lease or agreement with 1 to 10 wells, with any combination of active or inactive wells;

(3) \$3,500 for each lease or agreement with 11 to 50 wells, with any combination of active or inactive wells; and

(4) \$6,800 for each lease or agreement with more than 50 wells, with any combination of active or inactive wells.

(c) BLM will bill designated operators within 60 days of enactment of this Act, with payment required within 30 days of billing.

#### OUTER CONTINENTAL SHELF LEASING REVIEW PERIOD

SEC. 113. Section 11 of the Outer Continental Shelf Lands Act (43 U.S.C. 1340) is amended in subsection (c)(1) in the fourth sentence by deleting "within thirty days of its submission" and inserting in lieu thereof "within ninety days of its submission".

#### INDIAN REORGANIZATION ACT

SEC. 114. (a) Modification.—(1) In general.—The first sentence of section 19 of the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 479), is amended—(A) by striking "The term" and inserting "Effective beginning on June 18, 1934, the term"; and (B) by striking "any recognized Indian tribe now under Federal jurisdiction" and inserting "any federally recognized Indian tribe". (2) Effective date—The amendments made by paragraph (1) shall take effect as if included in the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 479), on the date of enactment of that Act. (b) Ratification and confirmation of actions—Any action taken by the Secretary of the Interior pursuant to the Act of June 18, 1934 (commonly known as the "Indian Reorganization Act") (25 U.S.C. 461 et seq.) for any Indian tribe that was federally recognized on the date of the action is ratified and confirmed, to the extent such action is subjected to challenge based on whether the Indian tribe was federally recognized or under Federal jurisdiction on June 18, 1934, as if the action had, by prior act of Congress, been specifically authorized and directed. (c) Effect on other laws (1) In general nothing in this section or the amendments made by this section affects—(A) the application or effect of any Federal law other than the Act of June 18, 1934 (25 U.S.C. 461 et seq.) (as amended by subsection (a)); or (B) any limitation on the authority of the Secretary of the Interior under any Federal law or regulation other than the Act of June 18, 1934 (25 U.S.C. 461 et seq.) (as so amended). (2) References in other laws—An express reference to the Act of June 18, 1934 (25 U.S.C. 461 et seq.) contained in any other Federal law shall be considered to be a reference to that Act as amended by subsection (a).

#### MINING CLAIM FEE TECHNICAL CORRECTION

SEC. 115. Section 10101(a) of the Omnibus Budget Reconciliation Act of 1993 (30 U.S.C. 28f(a)), as amended by Section 430 of the Department of the Interior, Environment, and Related Agencies Appropriations Act, 2012 (division E of Public Law 112–74; 125 Stat 1047), is further amended—(1) in paragraph (1) in the first sentence, by striking "on" the first place it appears and inserting "before, on, "; and (2) in paragraph (2)—(A) by striking "located" the second place it appears; (B) by inserting at the end the following: "Such claim maintenance fee shall be in lieu of the assessment work requirement contained in the Mining Law of 1872 (30 U.S.C. 28 to 28e) and the related filing requirements contained in section 314(a) and (c) of the Federal Policy and Management Act of 1976 (43 U.S.C. 1744(a) and (c)). "; and (C) by striking "(a)" in the first sentence and inserting "(a)(1)".

#### CIVIL PENALTIES

SEC. 116. Section 206 of the Federal Oil and Gas Royalty Management Act of 1982, Public Law 97–451 (30 U.S.C. 1736), as amended, is hereby amended by striking the second sentence, and inserting in lieu thereof "Any payments under this section shall be reduced by an amount equal to any payments provided or due to such State or Indian tribe under the cooperative agreement or delegation, as applicable, during the fiscal year in which the civil penalty is received, up to the total amount provided or due for that fiscal year."

#### AUTHORIZED USE OF FUNDS

SEC. 117. The Secretary may reduce the payment authorized by 31 U.S.C. 6901–6907 for an individual county by the amount necessary to correct

prior year overpayments to that county: *Provided, That the amount needed to correct a prior year underpayment to an individual county shall be paid from any reductions for overpayments to other counties and the amount necessary to cover any remaining underpayment is hereby appropriated and shall be paid to individual counties.*

#### SPECIAL PAY AUTHORITY

SEC. 118. *The special pay authority provided to the Bureau of Ocean Energy Management and Bureau of Safety and Environmental Enforcement under Section 121(c) of Division E of Public Law 112–74 shall remain in effect for fiscal year 2014.*

#### AUTHORIZED USE OF FUNDS, TRUST LAND CONSOLIDATION

SEC. 119. *Funds made available to the Department of the Interior under section 101(e) of the Claims Resolution Act of 2010 (Public Law 111–291) shall be available for obligation by any of the Department of the Interior's component bureaus and offices.*

#### PEER REVIEW

SEC. 120. *Section 101 of the Federal Oil and Gas Royalty Management Act of 1982, Public Law 97–451 (30 U.S.C. 1711), is amended—(1) in subsection (a) by striking "oil and gas" and inserting "on oil, gas, coal, solid minerals, geothermal resources, and other renewable energy resources" after "payments owed"; (2) in subsection (c)(1) by striking "oil and gas" and inserting "oil, gas, coal, solid minerals, geothermal resources, and other renewable energy resources"; (3) in subsection (c)(2) by striking "oil and gas" and inserting "oil, gas, coal, solid minerals, geothermal resources, and other renewable energy resources".*

#### EXTENSION OF NATIONAL HERITAGE AREA AUTHORITIES

SEC. 121. (a) *Division II of Public Law 104–333 (16 U.S.C. 461 note) is amended in each of sections 107, 208, 310, 408, 507, 607, 707, 809, and 910, by striking "2012" and inserting "2014"; (b) Effective on October 12, 2012, section 7 of Public Law 99647, as amended by section 702(d) of Public Law 109–338 and section 1767 of Public Law 112–10, is further amended by striking the date and all that follows and inserting September 30, 2014; (c) Section 12 of Public Law 100–692 (16 U.S.C. 461 note) is amended—(1) in subsection (c)(1), by striking "2012" and inserting "2014" and (2) in subsection (d), by striking "the date that is 5 years after the date of enactment of this subsection" and inserting "September 30, 2014"; and (d) Section 108 of Public Law 106–278 (16 U.S.C. 461 note) is amended by striking "2012" and inserting "2014".*

#### EXHAUSTION OF ADMINISTRATIVE REVIEW

SEC. 122. *Paragraph (1) of Section 122(a) of division E of Public Law 112–74 is amended by striking "2012 and 2013 only," in the first sentence and inserting "2012 through 2014,".*

#### TRAILING LIVESTOCK OVER PUBLIC LANDS

SEC. 123. *Section 123 of division E of Public Law 112–74 is amended by striking "2012 through 2013 only," in the first sentence and inserting "2012 through 2014," and by striking "review" in the first sentence and inserting "prepare".*

SEC. 124. *Of the funds appropriated in Section 140(b) of Public Law 112–175 for repayment to other appropriations accounts from which funds were transferred in fiscal year 2012 for wildfire suppression, \$7,000,000 are hereby permanently cancelled.*

## GENERAL PROVISIONS—DEPARTMENT OF THE INTERIOR

SEC. 201. (a) *None of the funds appropriated or otherwise made available by this Act may be used to determine the final point of discharge for the interceptor drain for the San Luis Unit until development by the Secretary of the Interior and the State of California of a plan, which shall conform to the water quality standards of the State of California as approved by the Administrator of the Environmental Protection Agency, to minimize any detrimental effect of the San Luis drainage waters.*

(b) *The costs of the Kesterson Reservoir Cleanup Program and the costs of the San Joaquin Valley Drainage Program shall be classified by the Secretary of the Interior as reimbursable or nonreimbursable and collected until fully repaid pursuant to the "Cleanup Program-Alternative Repayment Plan" and the "SJVDP-Alternative Repayment Plan" described in the report entitled "Repayment Report, Kesterson Reservoir Cleanup Program and San Joaquin Valley Drainage Program, February 1995", prepared by the Department of the Interior, Bureau of Reclamation. Any future obligations of funds by the United States relating to, or*

*providing for, drainage service or drainage studies for the San Luis Unit shall be fully reimbursable by San Luis Unit beneficiaries of such service or studies pursuant to Federal reclamation law.*

SEC. 202. *The Secretary of the Interior may participate in non-Federal groundwater banking programs to increase the operational flexibility, reliability, and efficient use of water in the State of California, and this participation may include making payment for the storage of Central Valley Project water supplies, the purchase of stored water, the purchase of shares or an interest in ground banking facilities, or the use of Central Valley Project water as a medium of payment for groundwater banking services: Provided, That the Secretary of the Interior shall participate in groundwater banking programs only to the extent allowed under State law and consistent with water rights applicable to the Central Valley Project: Provided further, That any water user to which banked water is delivered shall pay for such water in the same manner provided by that water user's then-current Central Valley Project water service, repayment, or water rights settlement contract at the rate provided by the then-current Central Valley Project Irrigation or Municipal and Industrial Rate Setting Policies; and: Provided further, That in implementing this section, the Secretary of the Interior shall comply with applicable environmental laws, including the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.) and the Endangered Species Act of 1973 (16 U.S.C. 1531 et seq.) Nothing herein shall alter or limit the Secretary's existing authority to use groundwater banking to meet existing fish and wildlife obligations.*

SEC. 203. *Subject to compliance with all applicable Federal and State laws, a transfer of irrigation water among Central Valley Project contractors from the Friant, San Felipe, West San Joaquin, and Delta divisions, and a transfer from a long-term Friant Division water service or repayment contractor to a temporary or prior temporary service contractors within the place of use in existence on the date of the transfer, as identified in the Bureau of Reclamation water rights permits for the Friant Division, shall be considered to meet the conditions described in subparagraphs (A) and (I) of section 3405(a)(1) of the Reclamation Projects Authorization and Adjustment Act of 1992 (Public Law 102–575; 106 Stat. 4709).*

SEC. 204. *Section 201(e) of the Central Utah Project Completion Act of 1992 (Public Law 102–575), as amended, is further amended by striking "and may not delegate" and all that follows through "ratemaking".*

SEC. 205. *Title I of Public Law 108–361 (the Calfed Bay-Delta Authorization Act) (118 Stat. 1681), as amended by Section 210 of Public Law 111–85, is amended by striking "2014" each place it appears and inserting "2018".*

SEC. 206. *Section 9504(e) of the Secure Water Act of 2009 (42 U.S.C. 10364(e)) is amended by striking "\$200,000,000" and inserting "\$250,000,000".*

SEC. 207. *Section 8 of the Water Desalination Act of 1996 (42 U.S.C. 10301 note; Public Law 104–298), as amended, is further amended— (1) in subsection (a), in the first sentence, by striking "2013" and inserting "2018"; and (2) in subsection (b), by striking "2012 through 2013" and inserting "2014 through 2018".*

SEC. 208. (a) *Section 104(c) of the Reclamation States Emergency Drought Relief Act of 1991 (43 U.S.C. 2214(c)) is amended by striking "2012" and inserting "2017". (b) Section 301 of the Reclamation States Emergency Drought Relief Act of 1991 (43 U.S.C. 2241) is amended by striking "2012" and inserting "2017".*

## TITLE IV—GENERAL PROVISIONS

### (INCLUDING TRANSFERS OF FUNDS)

#### LIMITATION ON CONSULTING SERVICES

SEC. 401. *The expenditure of any appropriation under this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.*

#### OBLIGATION OF APPROPRIATIONS

SEC. 402. *No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.*

## PROHIBITION ON USE OF FUNDS FOR PERSONAL SERVICES

SEC. 403. *None of the funds provided in this Act to any department or agency shall be obligated or expended to provide a personal cook, chauffeur, or other personal servants to any officer or employee of such department or agency except as otherwise provided by law.*

## DISCLOSURE OF ADMINISTRATIVE EXPENSES

SEC. 404. *Estimated overhead charges, deductions, reserves or holdbacks from programs, projects, activities and subactivities to support government-wide, departmental, agency, or bureau administrative functions or headquarters, regional, or central operations shall be presented in annual budget justifications. Advance notice of changes to such estimates shall be presented to the Committees on Appropriations.*

## MINING APPLICATIONS

SEC. 405. (a) **LIMITATION OF FUNDS.**—*None of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended to accept or process applications for a patent for any mining or mill site claim located under the general mining laws.*

(b) **EXCEPTIONS.**—*Subsection (a) shall not apply if the Secretary of the Interior determines that, for the claim concerned (1) a patent application was filed with the Secretary on or before September 30, 1994; and (2) all requirements established under sections 2325 and 2326 of the Revised Statutes (30 U.S.C. 29 and 30) for vein or lode claims, sections 2329, 2330, 2331, and 2333 of the Revised Statutes (30 U.S.C. 35, 36, and 37) for placer claims, and section 2337 of the Revised Statutes (30 U.S.C. 42) for mill site claims, as the case may be, were fully complied with by the applicant by that date.*

(c) **REPORT.**—*On September 30, 2014, the Secretary of the Interior shall file with the House and Senate Committees on Appropriations and the Committee on Natural Resources of the House and the Committee on Energy and Natural Resources of the Senate a report on actions taken by the Department under the plan submitted pursuant to section 314(c) of the Department of the Interior and Related Agencies Appropriations Act, 1997 (Public Law 104–208).*

(d) **MINERAL EXAMINATIONS.**—*In order to process patent applications in a timely and responsible manner, upon the request of a patent applicant, the Secretary of the Interior shall allow the applicant to fund a qualified third-party contractor to be selected by the Director of the Bureau of Land Management to conduct a mineral examination of the mining claims or mill sites contained in a patent application as set forth in subsection (b). The Bureau of Land Management shall have the sole responsibility to choose and pay the third-party contractor in accordance with the standard procedures employed by the Bureau of Land Management in the retention of third-party contractors.*

## CONTRACT SUPPORT COSTS

SEC. 406. *Notwithstanding any other provision of law, amounts appropriated to or otherwise designated in committee reports for the Bureau of Indian Affairs and the Indian Health Service by Public Laws 103–138, 103–332, 104–134, 104–208, 105–83, 105–277, 106–113, 106–291, 107–63, 108–7, 108–108, 108–447, 109–54, 109–289, division B and Continuing Appropriations Resolution, 2007 (division B of Public Law 109–289, as amended by Public Laws 110–5 and 110–28), Public Laws 110–92, 110–116, 110–137, 110–149, 110–161, 110–329, 111–6, 111–8, 111–88, 112–10, and 112–74 for payments for contract support costs associated with self-determination or self-governance contracts, grants, compacts, or annual funding agreements with the Bureau of Indian Affairs or the Indian Health Service as funded by such Acts, are the total amounts available for fiscal years 1994 through 2013 for such purposes, except that the Bureau of Indian Affairs, tribes and tribal organizations may use their tribal priority allocations for unmet contract support costs of ongoing contracts, grants, self-governance compacts, or annual funding agreements.*

## FOREST MANAGEMENT PLANS

SEC. 407. *The Secretary of Agriculture shall not be considered to be in violation of subparagraph 6(f)(5)(A) of the Forest and Rangeland Renewable Resources Planning Act of 1974 (16 U.S.C. 1604(f)(5)(A)) solely because more than 15 years have passed without revision of the plan for a unit of the National Forest System. Nothing in this section exempts the Secretary from any other requirement of the Forest and Rangeland Renewable Resources Planning Act (16 U.S.C. 1600 et seq.) or any other law: Provided, That if the Secretary is not acting expeditiously and in good faith, within the funding available, to revise a plan for a unit of the National Forest System, this section shall be void with respect to such plan*

*and a court of proper jurisdiction may order completion of the plan on an accelerated basis.*

## PROHIBITION WITHIN NATIONAL MONUMENTS

SEC. 408. *No funds provided in this Act may be expended to conduct preleasing, leasing and related activities under either the Mineral Leasing Act (30 U.S.C. 181 et seq.) or the Outer Continental Shelf Lands Act (43 U.S.C. 1331 et seq.) within the boundaries of a National Monument established pursuant to the Act of June 8, 1906 (16 U.S.C. 431 et seq.) as such boundary existed on January 20, 2001, except where such activities are allowed under the Presidential proclamation establishing such monument.*

## LIMITATION ON TAKINGS

SEC. 409. *Unless otherwise provided herein, no funds appropriated in this Act for the acquisition of lands or interests in lands may be expended for the filing of declarations of taking or complaints in condemnation without providing prior notification to the House and Senate Committees on Appropriations: Provided, That this provision shall not apply to funds appropriated to implement the Everglades National Park Protection and Expansion Act of 1989, or to funds appropriated for Federal assistance to the State of Florida to acquire lands for Everglades restoration purposes.*

## PROHIBITION ON NO-BID CONTRACTS

SEC. 410. *None of the funds appropriated or otherwise made available by this Act to executive branch agencies may be used to enter into any Federal contract unless such contract is entered into in accordance with the requirements of Chapter 33 of title 41, United States Code, or Chapter 137 of title 10, United States Code, and the Federal Acquisition Regulation, unless—*

(1) *Federal law specifically authorizes a contract to be entered into without regard for these requirements, including formula grants for States, or federally recognized Indian tribes; or*

(2) *such contract is authorized by the Indian Self-Determination and Education and Assistance Act (Public Law 93–638, 25 U.S.C. 450 et seq., as amended) or by any other Federal laws that specifically authorize a contract within an Indian tribe as defined in section 4(e) of that Act (25 U.S.C. 450b(e)); or*

(3) *such contract was awarded prior to the date of enactment of this Act.*

## POSTING OF REPORTS

SEC. 411. (a) *Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.*

(b) *Subsection (a) shall not apply to a report if—*

(1) *the public posting of the report compromises national security; or*

(2) *the report contains proprietary information.*

(c) *The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.*

## NATIONAL ENDOWMENT FOR THE ARTS GRANT GUIDELINES

SEC. 412. *Of the funds provided to the National Endowment for the Arts—*

(1) *The Chairperson shall only award a grant to an individual if such grant is awarded to such individual for a literature fellowship, National Heritage Fellowship, or American Jazz Masters Fellowship.*

(2) *The Chairperson shall establish procedures to ensure that no funding provided through a grant, except a grant made to a State or local arts agency, or regional group, may be used to make a grant to any other organization or individual to conduct activity independent of the direct grant recipient. Nothing in this subsection shall prohibit payments made in exchange for goods and services.*

(3) *No grant shall be used for seasonal support to a group, unless the application is specific to the contents of the season, including identified programs and / or projects.*

## NATIONAL ENDOWMENT FOR THE ARTS PROGRAM PRIORITIES

SEC. 413. (a) *In providing services or awarding financial assistance under the National Foundation on the Arts and the Humanities Act of 1965 from funds appropriated under this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that serve underserved populations.*

(b) *In this section:*

(1) The term "underserved population" means a population of individuals, including urban minorities, who have historically been outside the purview of arts and humanities programs due to factors such as a high incidence of income below the poverty line or to geographic isolation.

(2) The term "poverty line" means the poverty line (as defined by the Office of Management and Budget, and revised annually in accordance with section 673(2) of the Community Services Block Grant Act (42 U.S.C. 9902(2))) applicable to a family of the size involved.

(c) In providing services and awarding financial assistance under the National Foundation on the Arts and Humanities Act of 1965 with funds appropriated by this Act, the Chairperson of the National Endowment for the Arts shall ensure that priority is given to providing services or awarding financial assistance for projects, productions, workshops, or programs that will encourage public knowledge, education, understanding, and appreciation of the arts.

(d) With funds appropriated by this Act to carry out section 5 of the National Foundation on the Arts and Humanities Act of 1965—

(1) the Chairperson shall establish a grant category for projects, productions, workshops, or programs that are of national impact or availability or are able to tour several States;

(2) the Chairperson shall not make grants exceeding 15 percent, in the aggregate, of such funds to any single State, excluding grants made under the authority of paragraph (1);

(3) the Chairperson shall report to the Congress annually and by State, on grants awarded by the Chairperson in each grant category under section 5 of such Act; and

(4) the Chairperson shall encourage the use of grants to improve and support community-based music performance and education.

#### NATIONAL ENDOWMENT FOR THE ARTS AWARDS TO STATES

SEC. 414. Section 5(g)(4) of the National Foundation on the Arts and the Humanities Act of 1965, as amended (20 U.S.C. 954(g)(4)), is further amended as follows: (a) in subparagraph (A), by inserting after the period the following new sentence: "When authorizing waivers of match for an eligible state arts agency, the Chairman, consistent with the provisions of this subsection, shall—(i) give consideration to the various circumstances the State is encountering at the time of the State's request for waiver; and (ii) ensure that waivers are not granted in perpetuity."; and (b) in subparagraph (C), by inserting after the period the following new sentence: "State partnership agreements must be matched one-to-one with state government funds that are directly controlled and appropriated by the state and directly managed by the state arts agency."

#### ALASKA NATIVE REGIONAL HEALTH ENTITIES

SEC. 415. (a) Notwithstanding any other provision of law and until October 1, 2013, the Indian Health Service may not disburse funds for the provision of health care services pursuant to Public Law 93–638 (25 U.S.C. 450 et seq.) to any Alaska Native village or Alaska Native village corporation that is located within the area served by an Alaska Native regional health entity.

(b) Nothing in this section shall be construed to prohibit the disbursement of funds to any Alaska Native village or Alaska Native village corporation under any contract or compact entered into prior to May 1, 2006, or to prohibit the renewal of any such agreement.

(c) For the purpose of this section, Eastern Aleutian Tribes, Inc., the Council of Athabascan Tribal Governments, and the Native Village of Eyak shall be treated as Alaska Native regional health entities to which funds may be disbursed under this section.

#### CONGRESSIONALLY DIRECTED SPENDING

SEC. 416. The Bureau of Land Management, Fish and Wildlife Service, National Park Service, and Forest Service may allocate either greater or lesser amounts than those specified under the heading "Congressionally Directed Spending" accompanying Public Law 111–8 and in the table entitled "Incorporation of Congressionally Requested Projects" in the joint explanatory statement of managers accompanying Public Law 111–88 within the construction, land acquisition, or capital improvement and maintenance accounts when necessary to complete projects based on the original project scope or to utilize excess funds available after completion

of a project on other projects within the same account, in consultation with the House and Senate Committees on Appropriations.

#### ENHANCING FOREST SERVICE ADMINISTRATION OF RIGHTS-OF-WAY AND LAND USES

SEC. 417. Section 331 of the Department of the Interior and Related Agencies Appropriations Act, 2000 (as enacted into law by section 1000(a)(3) of Public Law 106–113; 113 Stat. 1501 A-196; 16 U.S.C. 497 note), is further amended—(1) in subsection (a) - (A) by striking "develop and implement a pilot program" and inserting "implement a program"; (B) by striking "forest service" and inserting "Forest Service"; (C) by striking "through 2012" and inserting "and each fiscal year thereafter"; and (D) by striking "Prior to the expiration" and all that follows through "permit applications"; and (2) in subsection (b), by striking "during fiscal years 2000–2012".

#### INTERPRETIVE ASSOCIATIONS

SEC. 418. Partnership authorities. (a) In general. The Secretary of Agriculture may enter into an agreement under the Cooperative Funds and Deposits Act (Public Law 94–148), with Federal, tribal, State, or local governments, or nonprofit entities, for additional purposes as follows: (1) to develop, produce, publish, distribute, or sell educational and interpretive materials and products; (2) to develop, conduct, or sell educational and interpretive programs and services; (3) to construct, maintain, or improve facilities not under the jurisdiction, custody, or control of the Administrator of General Services on or in the vicinity of National Forest System lands for the sale or distribution of educational and interpretive materials, products, programs, and services; (4) to operate facilities (including providing the services of Forest Service employees to staff facilities) in any public or private building or on land not under the jurisdiction, custody, or control of the Administrator of General Services for the sale or distribution of educational and interpretive materials, products, programs, and services, pertaining to National Forest System lands, private lands and lands administered by other public entities; (5) to sell health and safety products, visitor convenience items, or other similar items (as determined by the Secretary) in facilities not under the jurisdiction, custody, or control of the Administrator of General Services on or in the vicinity of National Forest System lands; and (6) to collect funds on behalf of cooperators from the sale of materials, products, programs, and services, as authorized by this section, when incidental to other duties of Forest Service personnel; (b) Treatment of Contributions of volunteers. – The Forest Service may consider the value of services performed by persons who volunteer their services to the Forest Service and who are recruited, trained and supported by a cooperator as an in-kind contribution of the cooperator for purposes of any cost sharing requirement under any Forest Service authority to enter into mutual benefit agreements. (c) Duration. – Subsection (a) and (b) shall remain in effect for fiscal years 2014–2019.

#### CONTRACTING AUTHORITIES

SEC. 419. Section 412 of Division E of Public Law 112–74 is amended by striking "fiscal year 2013," and inserting "fiscal year 2015,".

#### CHESAPEAKE BAY INITIATIVE

SEC. 420. Section 502(c) of the Chesapeake Bay Initiative Act of 1998 (16 U.S.C. 461 note; P.L. 105–312) is amended by striking "2013" and inserting "2014".

#### AMERICAN BATTLEFIELD PROTECTION PROGRAM GRANTS

SEC. 421. Section 7301(c)(6) of Public Law 111–11 is amended by striking "2013" and inserting "2014".

#### RECREATION FEE

SEC. 422. Section 810 of the Federal Lands Recreation Enhancement Act (16 U.S.C. 6809) shall be applied by substituting "11 years" for "10 years".

#### SERVICE FIRST

SEC. 423. Section 330 of the Department of the Interior and Related Agencies Appropriations Act, 2001 (Public Law 106–291; 114 Stat. 996; 43 U.S.C. 1701 note), concerning Service First authorities, as amended by section 428 of Public Law 109–54 (119 Stat. 555–556), section 418 of Public Law 111–8 (123 Stat. 747), and section 422 of Public Law 112–74 (125 Stat. 1045), is amended by striking "involving the land management agencies referred to in this section" in the first sentence and inserting in the third sentence "or matters under the purview of other bureaus or offices in either Department" after "Forest Service".

## EXTENSION OF GRAZING PERMITS

SEC. 424. The terms and conditions of section 325 of Public Law 108–108, regarding grazing permits at the Department of the Interior and the Forest Service, shall remain in effect for fiscal year 2014. A grazing permit or lease issued by the Secretary of the Interior for lands administered by the Bureau of Land Management that is the subject of a request for a grazing preference transfer shall be issued, without further processing, for the remaining time period in the existing permit or lease using the same mandatory terms and conditions. If the authorized officer determines a change in the mandatory terms and conditions is required the new permit must be processed as directed in section 325 of Public Law 108–108.

## LIVESTOCK GRAZING ADMINISTRATION

SEC. 425. Beginning on March 1, 2014, and each subsequent year through February 28, 2018, and only to the extent and in the amount provided in advance in appropriations Acts, the Secretary of Agriculture shall collect an annual administrative fee for grazing domestic livestock on National Forests in the 16 contiguous western States and on National Grasslands in the amount of \$1.00 per head month for cattle and its equivalent for other livestock. The administrative fee shall be billed and collected using the process as provided in sections 222.50 through 222.52 of title 36, Code of Federal Regulations. Fees collected may be used, subject to appropriation, to offset the cost of administering the livestock grazing program. Nothing in this provision shall affect the calculation, collection, distribution, or use of the grazing fee under 43 U.S.C. 1751(b), title III of the Bankhead Jones Farm Tenant Act (7 U.S.C. 1010), and implementing regulations.

In fiscal years 2014–2017, beginning on March 1, 2014, and only to the extent and in the amount provided in advance in appropriations Acts, the Secretary of the Interior shall collect an administrative fee to offset the increased cost of administering the livestock grazing program on public lands managed by the Bureau of Land Management by charging \$1.00 per Animal Unit Month, which shall be billed, collected, and subject to the penalties using the same process as the annual grazing fee in 43 C.F.R. 4130.8–1. Penalties assessed shall be deposited in the General Fund of the Treasury. Nothing in this provision affects the calculation, collection, distribution, or use of the grazing fee under 43 U.S.C. 315–315rr, 43 U.S.C. 1751(b), 43 U.S.C. 1905, Executive Order 12548, or administrative regulation.

## SEPARATE FOREST SERVICE DECISION MAKING AND APPEALS PROCESS

SEC. 426. Section 322 of the Department of the Interior and Related Agencies Appropriations Act, 1993 (Public Law 102–381; 16 U.S.C. 1612 note) and Section 428 of Division E of the Consolidated Appropriations

Act, 2012 (Public Law 112–74; 125 Stat. 1046; 16 U.S.C. 515 note) shall not apply to any project or activity implementing a land and resource management plan developed under section 6 of the Forest and Rangeland Renewable Resources Planning Act of 1974 (16 U.S.C. 1604) that is categorically excluded from documentation in an environmental assessment or an environmental impact statement under the National Environmental Policy Act of 1969 (42 U.S.C. 4321 et seq.).

## EXTENSION OF FOREST BOTANICAL PRODUCTS AUTHORITIES

SEC. 427. Section 339 of the Department of the Interior and Related Agencies Appropriations Act, 2000 (as enacted into law by section 1000(a)(3) of Public Law 106–113; 113 Stat. 1501, 1501A–199; 16 U.S.C. 528 note), as amended, concerning a pilot program for the sale of forest botanical products by the Forest Service, is further amended by striking "until September 30, 2014" in subsection (h)(1) and inserting "until September 30, 2019."

## SHASTA TRINITY MARINA FEES

SEC. 428. Section 422, Division F, Consolidated Appropriations Act, 2008 (P.L. 110–161; 121 Stat. 2149), as amended, is further amended by striking "and subsequent fiscal years through fiscal year 2014" and inserting "and each subsequent fiscal year through fiscal year 2019".

## FEDERAL, STATE, COOPERATIVE FOREST, RANGE-LAND AND WATERSHED RESTORATION IN UTAH

SEC. 429. The authority provided by section 337 of the Department of the Interior and Related Agencies Appropriations Act, 2005 (Public Law 108–447; 118 Stat. 3012), as amended, shall remain in effect until September 30, 2014.

## COLORADO COOPERATIVE CONSERVATION AUTHORITY

SEC. 430. Section 331(e) of the Department of the Interior and Related Agencies Appropriations Act, 2001 (Public Law 106–291), as added by section 336 of division E of the Consolidated Appropriations Act, 2005 (Public Law 108–447), concerning cooperative forestry agreements know as the Colorado Good Neighbor Act Authority is amended by striking "September 30, 2013" and inserting "September 30, 2014".

## NATIONAL COUNCIL ON THE ARTS

SEC. 431. Section 6(c) of the National Foundation on the Arts and the Humanities Act of 1965 (Public Law 89–209, 20 U.S.C. 955(c)), as amended, is further amended as follows: in the last sentence, by striking the fifth sentence.

Paragraph 6(d)(1) of the National Foundation on the Arts and the Humanities Act of 1965 (Public Law 89–209, 20 U.S.C. 955(d)(1)), as amended, is further amended as follows: by striking the second sentence and inserting "A majority of voting members of the Council who have taken office and are serving on the Council shall constitute a quorum."

# DEPARTMENT OF JUSTICE

## GENERAL ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For expenses necessary for the administration of the Department of Justice, \$126,208,000, of which not to exceed \$4,000,000 for security and construction of Department of Justice facilities shall remain available until expended: Provided, That the Attorney General is authorized to transfer funds appropriated within the General Administration to any office in this account: Provided further, That this transfer authority is in addition to transfers authorized under section 504 of this Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 15–0129–0–1–999  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0002 Department Leadership .....   | 16          | 16      | 18        |
| 0003 Intergovernmental Relations and External Affairs .....                            | 8           | 8       | 9         |
| 0004 Executive Support and Professional Responsibility .....                           | 12          | 12      | 25        |
| 0005 Justice Management Division .....   | 71          | 71      | 74        |
| 0799 Total direct obligations .....  | 107         | 107     | 126       |
| 0801 Reimbursable program .....  | 20          | 20      | 14        |
| 0900 Total new obligations .....   | 127         | 127     | 140       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                  | 1           | 1       | 6         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 111         | 111     | 126       |
| 1121 Appropriations transferred from ODR to DOJ General Administration [15–0128] ..... | 1           | 1       | .....     |
| 1160 Appropriation, discretionary (total) .....  | 112         | 112     | 126       |
| Spending authority from offsetting collections, discretionary:                         |             |         |           |
| 1700 Collected .....   | 18          | 20      | 14        |
| 1701 Change in uncollected payments, Federal sources .....                             | 2           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....                     | 20          | 20      | 14        |
| 1900 Budget authority (total) .....  | 132         | 132     | 140       |
| 1930 Total budgetary resources available .....   | 133         | 133     | 146       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....  | –5          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                                  | 1           | 6       | 6         |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                  | 25          | 20      | 11        |
| 3010 Obligations incurred, unexpired accounts .....                                    | 127         | 127     | 140       |
| 3020 Outlays (gross) .....   | –131        | –132    | –139      |
| 3041 Recoveries of prior year unpaid obligations, expired .....                        | –1          | –4      | .....     |
| 3050 Unpaid obligations, end of year .....   | 20          | 11      | 12        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                      | –2          | –2      | –2        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                         | –2          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                           | 2           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....                                 | –2          | –2      | –2        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 23          | 18      | 9         |
| 3200 Obligated balance, end of year .....  | 18          | 9       | 10        |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budget authority and outlays, net:</b>           |     |     |     |
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 132 | 132 | 140 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 112 | 117 | 124 |
| 4011 Outlays from discretionary balances .....      | 19  | 15  | 15  |
| 4020 Outlays, gross (total) .....                   | 131 | 132 | 139 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | –20 | –20 | –14 |

|  |     |       |       |
|--|-----|-------|-------|
| Additional offsets against gross budget authority only:        |     |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | –2  | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts ..... | 2   | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....               | 112 | 112   | 126   |
| 4080 Outlays, net (discretionary) .....                        | 111 | 112   | 125   |
| 4180 Budget authority, net (total) .....                       | 112 | 112   | 126   |
| 4190 Outlays, net (total) .....                                | 111 | 112   | 125   |

**Program direction and policy coordination.** The Attorney General of the United States is responsible for leading the Department of Justice in accomplishing its missions. The Attorney General is assisted by the Deputy Attorney General, the Associate Attorney General, Department policy-level officials, and the Justice Management Division. The General Administration appropriation provides the resources for the programs and operations of the Attorney General, the Deputy Attorney General, the Associate Attorney General, and their Offices, several Senior Policy Offices, and the Justice Management Division.

#### Object Classification (in millions of dollars)

| Identification code 15–0129–0–1–999                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 53          | 53      | 67        |
| 11.3 Other than full-time permanent .....                       | 3           | 3       | 2         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 2         |
| 11.9 Total personnel compensation .....                         | 57          | 57      | 71        |
| 12.1 Civilian personnel benefits .....                          | 15          | 15      | 18        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 2         |
| 22.0 Transportation of things .....                             | 3           | 3       | 2         |
| 23.1 Rental payments to GSA .....                               | 17          | 17      | 20        |
| 23.2 Rental payments to others .....                            | 1           | 1       | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 3           | 3       | 3         |
| 25.3 Rental payments to GSA .....                               | 4           | 4       | 4         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 2           | 2       | 2         |
| 99.0 Direct obligations .....                                   | 107         | 107     | 126       |
| 99.0 Reimbursable obligations .....                             | 19          | 19      | 14        |
| 99.5 Below reporting threshold .....                            | 1           | 1       | .....     |
| 99.9 Total new obligations .....                                | 127         | 127     | 140       |

#### Employment Summary

| Identification code 15–0129–0–1–999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 500         | 486     | 536       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 77          | 73      | 29        |

## NATIONAL DRUG INTELLIGENCE CENTER

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 15–1102–0–1–754                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 National Drug Intelligence Center .....          | 19          | 20      | .....     |
| 0801 Reimbursable program activity .....              | 1           | .....   | .....     |
| 0900 Total new obligations .....                      | 20          | 20      | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |

NATIONAL DRUG INTELLIGENCE CENTER—Continued  
Program and Financing—Continued

| Identification code 15–1102–0–1–754                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 20          | 20      | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 20          | 20      | .....     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | .....   | .....     |
| 1900 Budget authority (total) .....                                | 21          | 20      | .....     |
| 1930 Total budgetary resources available .....                     | 22          | 21      | 1         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 10          | 3       | 7         |
| 3010 Obligations incurred, unexpired accounts .....                | 20          | 20      | .....     |
| 3020 Outlays (gross) .....   | –27         | –16     | –6        |
| 3050 Unpaid obligations, end of year .....                         | 3           | 7       | 1         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –6          | –1      | –1        |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 5           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –1          | –1      | –1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 4           | 2       | 6         |
| 3200 Obligated balance, end of year .....                          | 2           | 6       | .....     |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 21          | 20      | .....     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 19          | 15      | .....     |
| 4011 Outlays from discretionary balances .....                     | 8           | 1       | 6         |
| 4020 Outlays, gross (total) .....                                  | 27          | 16      | 6         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –5          | .....   | .....     |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....     | 4           | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                   | 20          | 20      | .....     |
| 4080 Outlays, net (discretionary) .....                            | 22          | 16      | 6         |
| 4180 Budget authority, net (total) .....                           | 20          | 20      | .....     |
| 4190 Outlays, net (total) .....                                    | 22          | 16      | 6         |

In 2013, the NDIC was proposed for elimination, and the resources and personnel required to maintain activities were included in the Drug Enforcement Administration Salaries and Expenses account. This transfer of activities is complete.

## Object Classification (in millions of dollars)

| Identification code 15–1102–0–1–754                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 9           | .....   | .....     |
| 12.1 Civilian personnel benefits .....                          | 4           | .....   | .....     |
| 23.1 Rental payments to GSA .....                               | 3           | .....   | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | .....   | .....     |
| 25.2 Other services from non-Federal sources .....              | 1           | .....   | .....     |
| 25.3 Other goods and services from Federal sources .....        | 1           | 20      | .....     |
| 99.0 Direct obligations .....                                   | 19          | 20      | .....     |
| 99.0 Reimbursable obligations .....                             | 1           | .....   | .....     |
| 99.9 Total new obligations .....                                | 20          | 20      | .....     |

## Employment Summary

| Identification code 15–1102–0–1–754                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 104         | .....   | .....     |

## JUSTICE INFORMATION SHARING TECHNOLOGY

*For necessary expenses for information sharing technology, including planning, development, deployment and departmental direction, \$25,842,000, to remain available until expended; Provided, That the Attorney General may transfer up to \$35,400,000 to this account, from funds available to the Department of Justice for information technology, for enterprise-wide information technology initiatives; Provided further, That the transfer authority in the preceding proviso is in addition to any other transfer authority contained in this Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15–0134–0–1–751                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Justice Information Sharing Technology .....                  | 50          | 47      | 26        |
| 0801 Reimbursable program .....                                    | 58          | 64      | 30        |
| 0900 Total new obligations .....                                   | 108         | 111     | 56        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 23          | 34      | 7         |
| 1021 Recoveries of prior year unpaid obligations .....             | 9           | 7       | .....     |
| 1050 Unobligated balance (total) .....                             | 32          | 41      | 7         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 44          | 44      | 26        |
| 1160 Appropriation, discretionary (total) .....                    | 44          | 44      | 26        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 55          | 33      | 30        |
| 1701 Change in uncollected payments, Federal sources .....         | 11          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 66          | 33      | 30        |
| 1900 Budget authority (total) .....                                | 110         | 77      | 56        |
| 1930 Total budgetary resources available .....                     | 142         | 118     | 63        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 34          | 7       | 7         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 99          | 84      | 79        |
| 3010 Obligations incurred, unexpired accounts .....                | 108         | 111     | 56        |
| 3020 Outlays (gross) .....   | –114        | –109    | –79       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –9          | –7      | .....     |
| 3050 Unpaid obligations, end of year .....                         | 84          | 79      | 56        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –91         | –102    | –102      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –11         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –102        | –102    | –102      |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 8           | –18     | –23       |
| 3200 Obligated balance, end of year .....                          | –18         | –23     | –46       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 110         | 77      | 56        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 48          | 74      | 54        |
| 4011 Outlays from discretionary balances .....                     | 66          | 35      | 25        |
| 4020 Outlays, gross (total) .....                                  | 114         | 109     | 79        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –55         | –33     | –30       |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | –11         | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                   | 44          | 44      | 26        |
| 4080 Outlays, net (discretionary) .....                            | 59          | 76      | 49        |
| 4180 Budget authority, net (total) .....                           | 44          | 44      | 26        |
| 4190 Outlays, net (total) .....                                    | 59          | 76      | 49        |

Funding for the Justice Information Sharing Technology (JIST) account will provide for corporate investments in information



technology (IT). Under the control of the DOJ Chief Information Officer, this centralized fund ensures that investments in information sharing technology are well-planned and aligned with the Department's overall IT strategy and enterprise architecture. The current major initiatives/projects are described below.

**IT Transformation and Cyber Security Program.**—The JIST IT Transformation and Cyber Security Program is a multiyear commitment that directly supports the Federal CIO's 25 Point Plan to Reform Federal IT Management and Portfolio Stat (PSTAT) process. The focus of the program is to advance enterprise initiatives to transform IT infrastructure and cyber security. This program consists of the following six projects: IT security, email consolidation, data center consolidation, mobility and remote access, desktops, and telecommunications.

**Law Enforcement Information Sharing Program (LEISP).**—LEISP is a Department-wide strategy to facilitate the sharing of information about terrorism, criminal activity, and threats to public safety. LEISP will implement the IT tools needed to facilitate timely, appropriate, and secure sharing of information across the law enforcement community.

**Public Key Infrastructure (PKI) Program.**—The PKI program is tasked with ensuring DOJ compliance with Homeland Security Presidential Directive 12 (HSPD-12) which strengthens federal facility and IT system access security.

**Unified Financial Management System.**—The Unified Financial Management System will allow the Department of Justice to streamline and standardize business processes and procedures across components, providing secure, accurate, timely, and useful financial and procurement data to program managers, and to produce component and Department level financial statements.

#### Object Classification (in millions of dollars)

| Identification code 15-0134-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 7           | 7       | 6         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | .....     |
| 25.1 Advisory and assistance services .....                     | 9           | 9       | 3         |
| 25.2 Other services from non-Federal sources .....              | 8           | 8       | 10        |
| 25.3 Other goods and services from Federal sources .....        | 14          | 11      | 1         |
| 31.0 Equipment .....  | 7           | 7       | 1         |
| 99.0 Direct obligations .....                                   | 50          | 47      | 26        |
| 99.0 Reimbursable obligations .....                             | 58          | 64      | 30        |
| 99.9 Total new obligations .....                                | 108         | 111     | 56        |

#### Employment Summary

| Identification code 15-0134-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 54          | 54      | 59        |

#### TACTICAL LAW ENFORCEMENT WIRELESS COMMUNICATIONS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 15-0132-0-1-751                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                          |             |         |           |
| 0001 Wireless communications equipment and services ..... | 116         | 115     | .....     |

#### Budgetary Resources:

|   |     |     |       |
|---|-----|-----|-------|
| Unobligated balance:  |     |     |       |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 15  | 11  | 1     |
| 1011 Unobligated balance transfer from other accts [15-0324] .... | 2   | 2   | ..... |
| 1021 Recoveries of prior year unpaid obligations .....            | 11  | 3   | ..... |
| 1050 Unobligated balance (total) .....                            | 28  | 16  | 1     |
| Budget authority:   |     |     |       |
| Appropriations, discretionary:                                    |     |     |       |
| 1100 Appropriation .....  | 87  | 88  | ..... |
| 1121 Appropriations transferred from other accts [15-0200] ....   | 10  | 10  | ..... |
| 1121 Appropriations transferred from other accts [15-1100] ....   | 1   | 1   | ..... |
| 1121 Appropriations transferred from other accts [15-0323] ....   | 1   | 1   | ..... |
| 1160 Appropriation, discretionary (total) .....                   | 99  | 100 | ..... |
| 1930 Total budgetary resources available .....                    | 127 | 116 | 1     |
| Memorandum (non-add) entries:                                     |     |     |       |
| 1941 Unexpired unobligated balance, end of year .....             | 11  | 1   | 1     |

#### Change in obligated balance:

|   |      |      |       |
|---|------|------|-------|
| Unpaid obligations:   |      |      |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 119  | 93   | 105   |
| 3010 Obligations incurred, unexpired accounts .....               | 116  | 115  | ..... |
| 3020 Outlays (gross) .....  | -131 | -100 | -85   |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -11  | -3   | ..... |
| 3050 Unpaid obligations, end of year .....                        | 93   | 105  | 20    |
| Memorandum (non-add) entries:                                     |      |      |       |
| 3100 Obligated balance, start of year .....                       | 119  | 93   | 105   |
| 3200 Obligated balance, end of year .....                         | 93   | 105  | 20    |

#### Budget authority and outlays, net:

|   |     |     |       |
|---|-----|-----|-------|
| Discretionary:                                      |     |     |       |
| 4000 Budget authority, gross .....                  | 99  | 100 | ..... |
| Outlays, gross:                                     |     |     |       |
| 4010 Outlays from new discretionary authority ..... | 58  | 40  | ..... |
| 4011 Outlays from discretionary balances .....      | 73  | 60  | 85    |
| 4020 Outlays, gross (total) .....                   | 131 | 100 | 85    |
| 4180 Budget authority, net (total) .....            | 99  | 100 | ..... |
| 4190 Outlays, net (total) .....                     | 131 | 100 | 85    |

The mission of this program has been to achieve a secure, reliable and interoperable wireless network that will directly support agents in the conduct of counterterrorism, counterintelligence, law enforcement and emergency response. In 2014, operational and maintenance funding for legacy radio networks is transferred back to the participating components. The management of this program shifts to the Federal Bureau of Investigation (FBI), including resources for developing new technologies as well as improving and upgrading radio infrastructure.

#### Object Classification (in millions of dollars)

| Identification code 15-0132-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 3           | 3       | .....     |
| 23.2 Rental payments to others .....                            | 1           | 1       | .....     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 6           | 6       | .....     |
| 25.1 Advisory and assistance services .....                     | 5           | 5       | .....     |
| 25.2 Other services from non-Federal sources .....              | 2           | 2       | .....     |
| 25.3 Other goods and services from Federal sources .....        | 75          | 74      | .....     |
| 31.0 Equipment .....  | 24          | 24      | .....     |
| 99.9 Total new obligations .....                                | 116         | 115     | .....     |

#### Employment Summary

| Identification code 15-0132-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 20          | 5       | .....     |

#### ADMINISTRATIVE REVIEW AND APPEALS

##### (INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the administration of pardon and clemency petitions and immigration-related activities, \$333,147,000, of which \$4,000,000 shall be derived by transfer from the Executive Office for Im-

## ADMINISTRATIVE REVIEW AND APPEALS—Continued

migration Review fees deposited in the "Immigration Examinations Fee" account. Of the amount provided:

(1) \$5,000,000 is for Executive Office for Immigration Review information technology systems and shall remain available until expended;

(2) \$10,000,000 is for the Executive Office for Immigration Review Legal Orientation Program; and

(3) \$4,000,000 is for the Executive Office for Immigration Review to develop, implement and evaluate a pilot program to provide counsel for unaccompanied alien children; Provided, That such pilot program shall be carried out in consultation with the Department of Health and Human Services, the Department of Homeland Security, and relevant non-governmental organizations and experts.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15–0339–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Executive Office for Immigration Review (EOIR) .....       | 302         | 304     | 329       |
| 0002 Office of the Pardon Attorney (OPA) .....                  | 2           | 3       | 4         |
| 0900 Total new obligations .....                                | 304         | 307     | 333       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 301         | 300     | 325       |
| 1100 Appropriation .....  |             | 3       | 4         |
| 1121 Appropriations transferred from other accts [70–0300] .... | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....                 | 305         | 307     | 333       |
| 1930 Total budgetary resources available .....                  | 305         | 307     | 333       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | –1          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 53          | 47      | 33        |
| 3010 Obligations incurred, unexpired accounts .....             | 304         | 307     | 333       |
| 3020 Outlays (gross) .....                                      | –308        | –321    | –330      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 47          | 33      | 36        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 53          | 47      | 33        |
| 3200 Obligated balance, end of year .....                       | 47          | 33      | 36        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 305         | 307     | 333       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 260         | 273     | 296       |
| 4011 Outlays from discretionary balances .....                  | 48          | 48      | 34        |
| 4020 Outlays, gross (total) .....                               | 308         | 321     | 330       |
| 4180 Budget authority, net (total) .....                        | 305         | 307     | 333       |
| 4190 Outlays, net (total) .....                                 | 308         | 321     | 330       |

This program includes the Office of the Pardon Attorney (OPA) and the Executive Office for Immigration Review (EOIR). The Pardon Attorney receives, reviews, and prepares recommendations to the President for all petitions for executive clemency, i.e., commutation of sentences and pardons, submitted by persons convicted of Federal crimes. The Executive Office for Immigration Review contains 59 Immigration Courts and the Board of Immigration Appeals. EOIR was established January 1, 1983, to improve the immigration hearing and appeal process.

## Object Classification (in millions of dollars)

| Identification code 15–0339–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 128         | 128     | 144       |
| 11.3 Other than full-time permanent .....                       | 12          | 12      | 14        |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 142         | 142     | 160       |
| 12.1 Civilian personnel benefits .....                          | 41          | 41      | 46        |
| 21.0 Travel and transportation of persons .....                 | 2           | 3       | 3         |
| 22.0 Transportation of things .....                             | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 33          | 33      | 34        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 6           | 6       | 7         |
| 25.1 Advisory and assistance services .....                     | 11          | 11      | 4         |
| 25.2 Other services from non-Federal sources .....              | 36          | 36      | 41        |
| 25.3 Other purchases & Svcs from Gov't accounts .....           | 11          | 11      | 12        |
| 25.4 Operation and maintenance of facilities .....              | 3           | 3       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 12          | 12      | 16        |
| 26.0 Supplies and materials .....                               | 2           | 3       | 2         |
| 31.0 Equipment .....  | 2           | 3       | 3         |
| 32.0 Land and structures .....                                  | 1           | 1       |           |
| 99.9 Total new obligations .....                                | 304         | 307     | 333       |

## Employment Summary

| Identification code 15–0339–0–1–751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,449       | 1,373   | 1,482     |

## DETENTION TRUSTEE

## (CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$80,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15–0136–0–1–753                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Management of detention resources and operations .....          | 1,599       | 1,593   |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 27          | 13      | 80        |
| 1021 Recoveries of prior year unpaid obligations .....               | 4           | 70      |           |
| 1050 Unobligated balance (total) .....                               | 31          | 83      | 80        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 1,581       | 1,590   |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... |             |         | –80       |
| 1160 Appropriation, discretionary (total) .....                      | 1,581       | 1,590   | –80       |
| 1900 Budget authority (total) .....                                  | 1,581       | 1,590   | –80       |
| 1930 Total budgetary resources available .....                       | 1,612       | 1,673   |           |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 13          | 80      |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 175         | 229     | 171       |
| 3010 Obligations incurred, unexpired accounts .....                  | 1,599       | 1,593   |           |
| 3020 Outlays (gross) .....   | –1,541      | –1,581  | –159      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –4          | –70     |           |
| 3050 Unpaid obligations, end of year .....                           | 229         | 171     | 12        |

|   |  |       |       |     |
|---|--|-------|-------|-----|
| Memorandum (non-add) entries:                       |  |       |       |     |
| 3100  | Obligated balance, start of year .....         | 175   | 229   | 171 |
| 3200  | Obligated balance, end of year .....           | 229   | 171   | 12  |
| <b>Budget authority and outlays, net:</b>           |  |       |       |     |
| Discretionary:                                      |  |       |       |     |
| 4000  | Budget authority, gross .....                  | 1,581 | 1,590 | -80 |
| Outlays, gross:                                     |  |       |       |     |
| 4010  | Outlays from new discretionary authority ..... | 1,386 | 1,352 | -80 |
| 4011  | Outlays from discretionary balances .....      | 155   | 229   | 239 |
| 4020  | Outlays, gross (total) .....                   | 1,541 | 1,581 | 159 |
| Offsets against gross budget authority and outlays: |  |       |       |     |
| Offsetting collections (collected) from:            |  |       |       |     |
| 4180  | Budget authority, net (total) .....            | 1,581 | 1,590 | -80 |
| 4190  | Outlays, net (total) .....                     | 1,541 | 1,581 | 159 |

In 2013, the Office of the Federal Detention Trustee started merging with the U.S. Marshals Service. The costs associated with the care of Federal detainees will be funded through the U.S. Marshals Service-Federal Prisoner Detention appropriation.

#### Object Classification (in millions of dollars)

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 15-0136-0-1-753 |   | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 3           | 3       | .....     |
| 12.1                                | Civilian personnel benefits .....                 | 1           | 1       | .....     |
| 23.1                                | Rental payments to GSA .....                      | 1           | 1       | .....     |
| 25.1                                | Advisory and assistance services .....            | 14          | 18      | .....     |
| 25.2                                | Other services from non-Federal sources .....     | 72          | 72      | .....     |
| 25.6                                | Medical care .....                                | 112         | 112     | .....     |
| 25.8                                | Subsistence and support of persons .....          | 1,396       | 1,386   | .....     |
| 99.9                                | Total new obligations .....                       | 1,599       | 1,593   | .....     |

#### Employment Summary

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 15-0136-0-1-753 |   | 2012 actual | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... | 21          | 19      | .....     |

#### OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General, \$85,845,000, including not to exceed \$10,000 to meet unforeseen emergencies of a confidential character.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|  |   |             |         |           |
|--|---|-------------|---------|-----------|
| Identification code 15-0328-0-1-751                            |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Audits, inspections, and investigations .....                 | 85          | 85      | 86        |
| 0801   | Reimbursable program .....                                    | 18          | 16      | 13        |
| 0900   | Total new obligations .....                                   | 103         | 101     | 99        |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 1           | .....   | .....     |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 84          | 85      | 86        |
| 1160   | Appropriation, discretionary (total) .....                    | 84          | 85      | 86        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 8           | 16      | 13        |
| 1701   | Change in uncollected payments, Federal sources .....         | 10          | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 18          | 16      | 13        |
| 1900   | Budget authority (total) .....                                | 102         | 101     | 99        |
| 1930   | Total budgetary resources available .....                     | 103         | 101     | 99        |

#### Change in obligated balance:

|                               |  |      |       |       |
|-------------------------------|--|------|-------|-------|
| Unpaid obligations:           |  |      |       |       |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 17   | 16    | 8     |
| 3010                          | Obligations incurred, unexpired accounts .....               | 103  | 101   | 99    |
| 3020                          | Outlays (gross) .....  | -104 | -109  | -99   |
| 3050                          | Unpaid obligations, end of year .....                        | 16   | 8     | 8     |
| Uncollected payments:         |  |      |       |       |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -9   | -10   | -10   |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | -10  | ..... | ..... |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | 9    | ..... | ..... |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -10  | -10   | -10   |
| Memorandum (non-add) entries: |  |      |       |       |
| 3100                          | Obligated balance, start of year .....                       | 8    | 6     | -2    |
| 3200                          | Obligated balance, end of year .....                         | 6    | -2    | -2    |

#### Budget authority and outlays, net:

|   |   |     |       |       |
|---|---|-----|-------|-------|
| Discretionary:  |   |     |       |       |
| 4000  | Budget authority, gross .....                             | 102 | 101   | 99    |
| Outlays, gross:   |   |     |       |       |
| 4010  | Outlays from new discretionary authority .....            | 87  | 94    | 92    |
| 4011  | Outlays from discretionary balances .....                 | 17  | 15    | 7     |
| 4020  | Outlays, gross (total) .....                              | 104 | 109   | 99    |
| Offsets against gross budget authority and outlays:     |   |     |       |       |
| Offsetting collections (collected) from:                |   |     |       |       |
| 4030  | Federal sources .....                                     | -18 | -16   | -13   |
| Additional offsets against gross budget authority only: |   |     |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired ..... | -10 | ..... | ..... |
| 4052  | Offsetting collections credited to expired accounts ..... | 10  | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....               | 84  | 85    | 86    |
| 4080  | Outlays, net (discretionary) .....                        | 86  | 93    | 86    |
| 4180  | Budget authority, net (total) .....                       | 84  | 85    | 86    |
| 4190  | Outlays, net (total) .....                                | 86  | 93    | 86    |

The Office of the Inspector General (OIG) was statutorily established in the Department of Justice on April 14, 1989. The OIG investigates alleged violations of criminal and civil laws, regulations, and ethical standards arising from the conduct of the Department's employees. The OIG provides leadership and assists management in promoting integrity, economy, efficiency, and effectiveness within the Department and in its financial, contractual, and grant relationships with others. Also by statute, the OIG reports to the Attorney General, Congress, and the public on a semiannual basis regarding its significant activities.

The Audit function is responsible for independent audits and reviews of Department organizations, programs, functions, computer security and information technology systems, and financial statement audits. The Audit function also conducts or reviews external audits of expenditures made under Department contracts, grants, and other agreements.

The Investigations function investigates allegations of civil rights violations, bribery, fraud, abuse and violations of other laws, rules, and procedures that govern Department employees, contractors, and grantees. This function also develops these cases for criminal prosecution, civil action, or administrative action. In some instances, the OIG refers allegations to components within the Department and requests notification of their findings and of any disciplinary action taken.

The Evaluation and Inspections function conducts analyses and makes recommendations to decisionmakers for improvements in Department programs, policies, and procedures. In addition, this function also conducts shorter and more time-sensitive reviews and evaluations to provide managers with early warnings about possible program deficiencies.

The Oversight and Review function investigates allegations of significant interest to the American public and Congress and of vital importance to the Department.

The Executive Direction and Control function provides program direction for the OIG. Responsibilities include policy development, legal counsel, congressional affairs, planning, budget, finance,

OFFICE OF INSPECTOR GENERAL—Continued  
personnel, procurement, automated data processing, security,  
and general support services.

Object Classification (in millions of dollars)

| Identification code 15-0328-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 44          | 44      | 44        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 4           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 49          | 49      | 49        |
| 12.1 Civilian personnel benefits .....                          | 16          | 16      | 17        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 6           | 5       | 5         |
| 25.3 Rental payments to GSA .....                               | 8           | 9       | 9         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 85          | 85      | 86        |
| 99.0 Reimbursable obligations .....                             | 18          | 16      | 13        |
| 99.9 Total new obligations .....                                | 103         | 101     | 99        |

Employment Summary

| Identification code 15-0328-0-1-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 442         | 431     | 431       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 23          | 23      | 21        |

WORKING CAPITAL FUND

Program and Financing (in millions of dollars)

| Identification code 15-4526-0-4-751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Financial and employee data .....  | 129         | 105     | 102       |
| 0802 Data Processing and Telecommunications .....                               | 395         | 475     | 493       |
| 0803 Space Management .....   | 545         | 580     | 596       |
| 0804 Library Acquisition Services .....   | 8           | 8       | 8         |
| 0805 Human Resources .....  | 9           | 11      | 11        |
| 0806 Debt Collection Management .....   | 145         | 26      | 25        |
| 0807 Mail and Publication Services .....  | 33          | 36      | 36        |
| 0810 Security Services .....  | 28          | 40      | 40        |
| 0811 Capital Investment .....   | 70          |         |           |
| 0900 Total new obligations .....  | 1,362       | 1,281   | 1,311     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 308         | 348     | 282       |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 109         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 62          |         |           |
| 1050 Unobligated balance (total) .....  | 479         | 348     | 282       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced .....            | -40         | -40     | -30       |
| 1160 Appropriation, discretionary (total) .....                                 | -40         | -40     | -30       |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 1,283       | 1,255   | 1,255     |
| 1701 Change in uncollected payments, Federal sources .....                      | -12         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 1,271       | 1,255   | 1,255     |
| 1900 Budget authority (total) .....   | 1,231       | 1,215   | 1,225     |
| 1930 Total budgetary resources available .....                                  | 1,710       | 1,563   | 1,507     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 348         | 282     | 196       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 389         | 425     | 47        |
| 3010 Obligations incurred, unexpired accounts .....                             | 1,362       | 1,281   | 1,311     |
| 3020 Outlays (gross) .....  | -1,264      | -1,659  | -1,255    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -62         |         |           |

|   |      |      |      |
|---|------|------|------|
| 3050 Unpaid obligations, end of year .....                        | 425  | 47   | 103  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -278 | -266 | -266 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 12   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -266 | -266 | -266 |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 111  | 159  | -219 |
| 3200 Obligated balance, end of year .....                         | 159  | -219 | -163 |

Budget authority and outlays, net:

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                             | 1,231  | 1,215  | 1,225  |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....            | 1,060  | 1,255  | 1,255  |
| 4011 Outlays from discretionary balances .....                 | 204    | 404    |        |
| 4020 Outlays, gross (total) .....                              | 1,264  | 1,659  | 1,255  |
| Offsets against gross budget authority and outlays:            |        |        |        |
| Offsetting collections (collected) from:                       |        |        |        |
| 4030 Federal sources .....                                     | -1,283 | -1,255 | -1,255 |
| Additional offsets against gross budget authority only:        |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 12     |        |        |
| 4070 Budget authority, net (discretionary) .....               | -40    | -40    | -30    |
| 4080 Outlays, net (discretionary) .....                        | -19    | 404    |        |
| 4180 Budget authority, net (total) .....                       | -40    | -40    | -30    |
| 4190 Outlays, net (total) .....                                | -19    | 404    |        |

The Working Capital Fund finances, on a reimbursable basis, those administrative services that can be performed more efficiently at the Department level.

Object Classification (in millions of dollars)

| Identification code 15-4526-0-4-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 58          | 66      | 71        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 60          | 68      | 73        |
| 12.1 Civilian personnel benefits .....                          | 16          | 19      | 20        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0 Transportation of things .....                             | 27          | 30      | 30        |
| 23.1 Rental payments to GSA .....                               | 487         | 517     | 528       |
| 23.2 Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 103         | 126     | 126       |
| 25.1 Advisory and assistance services .....                     | 70          | 70      | 70        |
| 25.2 Other services from non-Federal sources .....              | 320         | 214     | 226       |
| 25.3 Other goods and services from Federal sources .....        | 162         | 162     | 162       |
| 25.3 Rental payments to GSA for WCF only .....                  | 18          | 31      | 32        |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 20          | 20      | 20        |
| 26.0 Supplies and materials .....                               | 9           | 8       | 9         |
| 31.0 Equipment .....  | 65          | 11      | 10        |
| 99.9 Total new obligations .....                                | 1,362       | 1,281   | 1,311     |

Employment Summary

| Identification code 15-4526-0-4-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 550         | 557     | 557       |

UNITED STATES PAROLE COMMISSION

Federal Funds

SALARIES AND EXPENSES

For necessary expenses of the United States Parole Commission as authorized, \$13,021,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15-1061-0-1-751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                     |             |         |           |
| 0001 Determination of parole of prisoners and supervision of parolees ..... | 13          | 13      | 13        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 13          | 13      | 13        |
| 1160 Appropriation, discretionary (total) .....                             | 13          | 13      | 13        |
| 1930 Total budgetary resources available .....                              | 13          | 13      | 13        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                       | 2           | 3       | 3         |
| 3010 Obligations incurred, unexpired accounts .....                         | 13          | 13      | 13        |
| 3020 Outlays (gross) .....  | -12         | -13     | -13       |
| 3050 Unpaid obligations, end of year .....                                  | 3           | 3       | 3         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                 | 2           | 3       | 3         |
| 3200 Obligated balance, end of year .....                                   | 3           | 3       | 3         |
| <b>Budget authority and outlays, net:</b>                                   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 13          | 13      | 13        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                         | 11          | 11      | 11        |
| 4011 Outlays from discretionary balances .....                              | 1           | 2       | 2         |
| 4020 Outlays, gross (total) .....   | 12          | 13      | 13        |
| 4180 Budget authority, net (total) .....                                    | 13          | 13      | 13        |
| 4190 Outlays, net (total) .....   | 12          | 13      | 13        |

The United States Parole Commission is responsible for (1) making parole release and revocation decisions for all parole-eligible federal and District of Columbia Code offenders; (2) setting and enforcing the conditions of supervised release for District of Columbia Code offenders; (3) making release decisions for United States citizens convicted of a crime in another country who voluntarily return to the United States for service of sentence; (4) performing parole-related functions for certain military and state offenders; and (5) exercising decision-making authority over state offenders who are on the state probation or parole, and are transferred to federal authorities under the witness security program.

The Parole Commission works to reduce offender recidivism rates by implementing new revocation guidelines and establishing alternatives to incarceration for low-risk, non-violent offenders. In addition, the Commission seeks to improve the rehabilitation process by monitoring an effective offender supervision program through U.S. and District of Columbia probation officers and through research studies that evaluate the effectiveness of offender supervision programs. The Parole Commission has oversight responsibility for the supervision of District of Columbia parolees and supervised releases under the National Capital Revitalization and Self-Government Improvement Act (P.L. 105-33).

## Object Classification (in millions of dollars)

| Identification code 15-1061-0-1-751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 6           | 6       | 6         |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 7           | 7       | 7         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.2 Rental payments to others .....                     | 1           | 2       | 1         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 1       | 2         |
| 99.9 Total new obligations .....                         | 13          | 13      | 13        |

## Employment Summary

| Identification code 15-1061-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 74          | 74      | 74        |

## LEGAL ACTIVITIES AND U.S. MARSHALS

## Federal Funds

## SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES

*For expenses necessary for the legal activities of the Department of Justice, not otherwise provided for, including not to exceed \$20,000 for expenses of collecting evidence, to be expended under the direction of, and to be accounted for solely under the certificate of, the Attorney General; and rent of private or Government-owned space in the District of Columbia, \$902,605,000, of which not to exceed \$10,000,000 for litigation support contracts shall remain available until expended: Provided, That of the total amount appropriated, not to exceed \$9,000 shall be available to INTERPOL Washington for official reception and representation expenses: Provided further, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for litigation activities of the Civil Division, the Attorney General may transfer such amounts to "Salaries and Expenses, General Legal Activities" from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the previous proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That of the amount appropriated, such sums as may be necessary shall be available to reimburse the Office of Personnel Management for salaries and expenses associated with the election monitoring program under section 8 of the Voting Rights Act of 1965 (42 U.S.C. 1973f): Provided further, That of the amounts provided under this heading for the election monitoring program, \$3,390,000 shall remain available until expended.*

*In addition, for reimbursement of expenses of the Department of Justice associated with processing cases under the National Childhood Vaccine Injury Act of 1986, not to exceed \$7,833,000, to be appropriated from the Vaccine Injury Compensation Trust Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15-0128-0-1-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Conduct of Supreme Court proceedings and review of appellate .....         | 11          | 11      | 11        |
| 0002 General tax matters .....  | 102         | 105     | 107       |
| 0003 Criminal matters .....   | 176         | 174     | 183       |
| 0004 Claims, customs, and general civil matters .....                           | 283         | 285     | 297       |
| 0005 Land, natural resources, and Indian matters .....                          | 109         | 110     | 113       |
| 0006 Legal opinions .....   | 7           | 7       | 7         |
| 0007 Civil rights matters .....   | 144         | 145     | 155       |
| 0008 INTERPOL Washington .....  | 29          | 30      | 30        |
| 0799 Total direct obligations .....   | 861         | 867     | 903       |
| 0880 Reimbursable program activity .....  | 385         | 426     | 464       |
| 0889 Reimbursable program activities, subtotal .....                            | 385         | 426     | 464       |
| 0900 Total new obligations .....  | 1,246       | 1,293   | 1,367     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 10          | 4       | 5         |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....  | 11          | 4       | 5         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 864         | 869     | 903       |

SALARIES AND EXPENSES, GENERAL LEGAL ACTIVITIES—Continued  
Program and Financing—Continued

| Identification code 15-0128-0-1-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1120 Appropriations transferred ODR to DOJ General Administration [15-0129] ..... | -1          | -1      | .....     |
| 1160 Appropriation, discretionary (total) .....                                   | 863         | 868     | 903       |
| 1700 Spending authority from offsetting collections, discretionary: .....         |             |         |           |
| 1701 Collected .....  | 153         | 426     | 467       |
| 1701 Change in uncollected payments, Federal sources .....                        | 232         | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....                | 385         | 426     | 467       |
| 1900 Budget authority (total) .....   | 1,248       | 1,294   | 1,370     |
| 1930 Total budgetary resources available .....                                    | 1,259       | 1,298   | 1,375     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | -9          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                             | 4           | 5       | 8         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                             | 371         | 373     | 312       |
| 3010 Obligations incurred, unexpired accounts .....                               | 1,246       | 1,293   | 1,367     |
| 3011 Obligations incurred, expired accounts .....                                 | 1           | .....   | .....     |
| 3020 Outlays (gross) .....  | -1,201      | -1,354  | -1,425    |
| 3041 Recoveries of prior year unpaid obligations, expired .....                   | -44         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....  | 373         | 312     | 254       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                 | -302        | -304    | -304      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                    | -232        | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                      | 230         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....                            | -304        | -304    | -304      |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                       | 69          | 69      | 8         |
| 3200 Obligated balance, end of year .....   | 69          | 8       | -50       |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 1,248       | 1,294   | 1,370     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                               | 961         | 1,126   | 1,192     |
| 4011 Outlays from discretionary balances .....                                    | 240         | 228     | 233       |
| 4020 Outlays, gross (total) .....   | 1,201       | 1,354   | 1,425     |
| Offsets against gross budget authority and outlays:                               |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | -348        | -426    | -467      |
| Additional offsets against gross budget authority only:                           |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                    | -232        | .....   | .....     |
| 4052 Offsetting collections credited to expired accounts .....                    | 195         | .....   | .....     |
| 4060 Additional offsets against budget authority only (total) .....               | -37         | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                                  | 863         | 868     | 903       |
| 4080 Outlays, net (discretionary) .....   | 853         | 928     | 958       |
| 4180 Budget authority, net (total) .....  | 863         | 868     | 903       |
| 4190 Outlays, net (total) .....   | 853         | 928     | 958       |

The following legal activities of the Department are financed from this appropriation:

**Conduct Supreme Court proceedings and review appellate matters.**—Through this program, the Solicitor General supervises and processes all appellate matters and represents the Government before the U.S. Supreme Court.

**General tax matters.**—The mission of the Tax Division is to enforce the nation's tax laws fully, fairly, and consistently, through both criminal and civil litigation, in order to promote voluntary compliance with the tax laws, maintain public confidence in the integrity of the tax system, and promote the sound development of the law.

**Criminal matters.**—The Criminal Division develops, enforces, and supervises the application of all federal criminal laws, except those specifically assigned to other divisions. The mission of the Criminal Division is to identify and respond to critical and emerging national and international criminal threats, and to lead the enforcement, regulatory, and intelligence communities in a coordinated nationwide response to reduce those threats.

**Claims, customs, and general civil matters.**—The Civil Division represents the Federal Government in civil litigation to defend federal statutes, regulations, and policies, and to avoid payment of unjustified monetary claims. It also investigates and pursues perpetrators of financial, economic, health care, and other forms of fraud to recover billions of dollars owed to the Federal Government. Examples of non-monetary litigation include the defense of thousands of challenges to immigration enforcement decisions and to federal activities involving counterterrorism, as well as enforcement of consumer protection laws.

**Environment and natural resource matters.**—The Environment and Natural Resources Division enforces the Nation's civil and criminal environmental laws and defends environmental challenges to Government action. Additionally, the Division represents the United States in virtually all matters concerning the use and development of the Nation's natural resources and public lands, wildlife protection, Indian rights and claims, and the acquisition of Federal property.

**Legal opinions.**—This program is the preparation of legal opinions for the President and Executive agencies and the review of proposed Executive Orders and proclamations for form and legality.

**Civil rights matters.**—This program is the enforcement of the Nation's civil rights laws. It is the conscience of the federal government. Through the enforcement of a wide range of anti-discrimination laws, the Division gives meaning to our Nation's promise of equal opportunity. The Division works to uphold and defend the civil and constitutional rights of all individuals, particularly some of the most vulnerable members of our society. The Division enforces Federal statutes that prohibit discrimination and provide a remedy for constitutional violations.

**INTERPOL Washington.**—This program is the United States liaison, on behalf of the Attorney General, for international law enforcement cooperation. The mission of INTERPOL Washington is to provide the United States' local and federal law enforcement authorities a central point of communication to the international law enforcement community.

**Reimbursable program.**—This reflects reimbursable funding for the following:

**Civil Division.**—For litigating cases under the National Childhood Vaccine Injury Act, and for litigating a number of extraordinarily large cases on behalf of the United States;

**Criminal Division.**—For activities related to healthcare fraud and drug prosecutions, international training programs and asset forfeiture related activities;

**Environment and Natural Resources Division.**—From numerous client agencies for automated litigation support and litigation consultant services for a variety of environmental, natural resource, land acquisition, and Native American cases, including from the Environmental Protection Agency (EPA) for Superfund enforcement litigation; and,

**Civil Rights Division.**—For activities related to the Division's Complaint Adjudication Office and Health Care Fraud activities.

## Object Classification (in millions of dollars)

| Identification code 15-0128-0-1-999             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 412         | 414     | 438       |
| 11.3 Other than full-time permanent .....       | 29          | 30      | 28        |
| 11.5 Other personnel compensation .....         | 5           | 5       | 5         |
| 11.8 Special personal services payments .....   | 2           | 3       | 4         |
| 11.9 Total personnel compensation .....         | 448         | 452     | 475       |
| 12.1 Civilian personnel benefits .....          | 125         | 128     | 130       |
| 13.0 Benefits for former personnel .....        | 1           | .....   | .....     |
| 21.0 Travel and transportation of persons ..... | 15          | 20      | 18        |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 22.0 | Transportation of things .....                             | 6     | 5     | 4     |
| 23.1 | Rental payments to GSA .....                               | 105   | 97    | 106   |
| 23.2 | Rental payments to others .....                            | 3     | 3     | 3     |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 13    | 14    | 14    |
| 24.0 | Printing and reproduction .....                            | 2     | 3     | 2     |
| 25.1 | Advisory and assistance services .....                     | 14    | 17    | 14    |
| 25.2 | Other services from non-Federal sources .....              | 79    | 74    | 81    |
| 25.3 | Other goods and services from Federal sources .....        | 23    | 23    | 26    |
| 25.7 | Operation and maintenance of equipment .....               | 1     | 2     | 1     |
| 26.0 | Supplies and materials .....                               | 4     | 4     | 4     |
| 31.0 | Equipment .....  | 11    | 8     | 7     |
| 32.0 | Land and structures .....                                  |       | 2     | 3     |
| 41.0 | Grants, subsidies, and contributions .....                 | 10    | 15    | 15    |
| 42.0 | Insurance claims and indemnities .....                     | 1     |       |       |
| 99.0 | Direct obligations .....                                   | 861   | 867   | 903   |
| 99.0 | Reimbursable obligations .....                             | 385   | 426   | 464   |
| 99.9 | Total new obligations .....                                | 1,246 | 1,293 | 1,367 |

**Employment Summary**

| Identification code 15-0128-0-1-999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 3,862       | 3,718   | 3,817     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 520         | 550     | 550       |

**SALARIES AND EXPENSES, ANTITRUST DIVISION**

For expenses necessary for the enforcement of antitrust and kindred laws, \$160,410,000, to remain available until expended: Provided, That notwithstanding any other provision of law, fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection (and estimated to be \$102,300,000 in fiscal year 2014), shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at \$58,110,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 15-0319-0-1-752                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Antitrust .....   | 72          | 43      | 58        |
| 0801 Reimbursable program .....                                    | 93          | 108     | 102       |
| 0900 Total new obligations .....                                   | 165         | 151     | 160       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 18          | 20      | 20        |
| 1021 Recoveries of prior year unpaid obligations .....             | 2           |         |           |
| 1050 Unobligated balance (total) .....                             | 20          | 20      | 20        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 72          | 43      | 58        |
| 1160 Appropriation, discretionary (total) .....                    | 72          | 43      | 58        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 96          | 108     | 102       |
| 1701 Change in uncollected payments, Federal sources .....         | -3          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 93          | 108     | 102       |
| 1900 Budget authority (total) .....                                | 165         | 151     | 160       |
| 1930 Total budgetary resources available .....                     | 185         | 171     | 180       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 20          | 20      | 20        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 19          | 18      | 18        |
| 3010 Obligations incurred, unexpired accounts .....                | 165         | 151     | 160       |
| 3020 Outlays (gross) .....   | -164        | -151    | -159      |

|   |    |    |    |
|---|----|----|----|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2 |    |    |
| 3050 Unpaid obligations, end of year .....                        | 18 | 18 | 19 |
| Uncollected payments:   |    |    |    |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -4 | -1 | -1 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 3  |    |    |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1 | -1 | -1 |
| Memorandum (non-add) entries:                                     |    |    |    |
| 3100 Obligated balance, start of year .....                       | 15 | 17 | 17 |
| 3200 Obligated balance, end of year .....                         | 17 | 17 | 18 |

**Budget authority and outlays, net:**

|  |     |      |      |
|--|-----|------|------|
| Discretionary:   |     |      |      |
| 4000 Budget authority, gross .....                             | 165 | 151  | 160  |
| Outlays, gross:  |     |      |      |
| 4010 Outlays from new discretionary authority .....            | 148 | 136  | 144  |
| 4011 Outlays from discretionary balances .....                 | 16  | 15   | 15   |
| 4020 Outlays, gross (total) .....                              | 164 | 151  | 159  |
| Offsets against gross budget authority and outlays:            |     |      |      |
| Offsetting collections (collected) from:                       |     |      |      |
| 4030 Federal sources .....                                     | -96 | -108 | -102 |
| Additional offsets against gross budget authority only:        |     |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 3   |      |      |
| 4070 Budget authority, net (discretionary) .....               | 72  | 43   | 58   |
| 4080 Outlays, net (discretionary) .....                        | 68  | 43   | 57   |
| 4180 Budget authority, net (total) .....                       | 72  | 43   | 58   |
| 4190 Outlays, net (total) .....                                | 68  | 43   | 57   |

The Antitrust Division administers and enforces antitrust and related statutes. This program primarily involves the investigation of suspected violations of the antitrust laws, the conduct of civil and criminal proceedings in the Federal courts, and the maintenance of competitive conditions.

The Federal Trade Commission and the Department of Justice Antitrust Division are responsible for reviewing corporate mergers to ensure they do not promote anticompetitive practices. Revenue collected from pre-merger filing fees, known as Hart-Scott-Rodino (HSR) fees, are collected by the FTC and split evenly between the two agencies. In 2014, the Antitrust Division will continue to collect filing fees for pre-merger notifications and will retain these fees for expenditure in support of its programs. The Budget proposes to increase the HSR fees and index them for the percentage annual change in the gross national product. The fee proposal would also create a new merger fee category for mergers valued at over \$1 billion. Under the proposal, the fee increase would take effect in 2015.

**Object Classification (in millions of dollars)**

| Identification code 15-0319-0-1-752                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 57          | 35      | 47        |
| 12.1 Civilian personnel benefits .....                 | 13          | 8       | 11        |
| 21.0 Travel and transportation of persons .....        | 1           |         |           |
| 22.0 Transportation of things .....                    | 1           |         |           |
| 99.0 Direct obligations .....                          | 72          | 43      | 58        |
| 99.0 Reimbursable obligations .....                    | 93          | 108     | 102       |
| 99.9 Total new obligations .....                       | 165         | 151     | 160       |

**Employment Summary**

| Identification code 15-0319-0-1-752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 407         | 392     | 392       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 298         | 284     | 284       |

**SALARIES AND EXPENSES, UNITED STATES ATTORNEYS**

For necessary expenses of the Offices of the United States Attorneys, including inter-governmental and cooperative agreements, \$2,007,717,000: Provided, That of the total amount appropriated, not to exceed \$7,200 shall be available for official reception and representation expenses:

## SALARIES AND EXPENSES, UNITED STATES ATTORNEYS—Continued

Provided further, That not to exceed \$25,000,000 shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15–0322–0–1–752   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0002 Criminal .....   | 1,510       | 1,536   | 1,554     |
| 0003 Civil .....  | 402         | 405     | 420       |
| 0004 Legal Education .....  | 33          | 31      | 34        |
| 0799 Total direct obligations .....   | 1,945       | 1,972   | 2,008     |
| 0801 Reimbursable program activity .....  | 272         | 362     | 362       |
| 0900 Total new obligations .....  | 2,217       | 2,334   | 2,370     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 11          | 19      | 19        |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 4           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 7           |         |           |
| 1029 Other balances withdrawn .....   | –2          |         |           |
| 1050 Unobligated balance (total) .....  | 20          | 19      | 19        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 1,960       | 1,972   | 2,008     |
| 1121 Appropriations transferred from other accts [11–1070] ....                 | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 1,961       | 1,972   | 2,008     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 207         |         |           |
| 1701 Change in uncollected payments, Federal sources .....                      | 70          | 362     | 362       |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 277         | 362     | 362       |
| 1900 Budget authority (total) .....   | 2,238       | 2,334   | 2,370     |
| 1930 Total budgetary resources available .....                                  | 2,258       | 2,353   | 2,389     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –22         |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 19          | 19      | 19        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 381         | 410     | 374       |
| 3010 Obligations incurred, unexpired accounts .....                             | 2,217       | 2,334   | 2,370     |
| 3020 Outlays (gross) .....  | –2,167      | –2,370  | –2,404    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –7          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –14         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 410         | 374     | 340       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –80         | –98     | –460      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | –70         | –362    | –362      |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 52          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | –98         | –460    | –822      |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 301         | 312     | –86       |
| 3200 Obligated balance, end of year .....                                       | 312         | –86     | –482      |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 2,238       | 2,334   | 2,370     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 1,864       | 2,078   | 2,110     |
| 4011 Outlays from discretionary balances .....                                  | 303         | 292     | 294       |
| 4020 Outlays, gross (total) .....   | 2,167       | 2,370   | 2,404     |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | –260        | –362    | –362      |
| Additional offsets against gross budget authority only:                         |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                  | –70         | –362    | –362      |
| 4052 Offsetting collections credited to expired accounts .....                  | 53          | 362     | 362       |
| 4060 Additional offsets against budget authority only (total) .....             | –17         |         |           |
| 4070 Budget authority, net (discretionary) .....                                | 1,961       | 1,972   | 2,008     |
| 4080 Outlays, net (discretionary) .....   | 1,907       | 2,008   | 2,042     |
| 4180 Budget authority, net (total) .....  | 1,961       | 1,972   | 2,008     |

|                                 |       |       |       |
|---------------------------------|-------|-------|-------|
| 4190 Outlays, net (total) ..... | 1,907 | 2,008 | 2,042 |
|---------------------------------|-------|-------|-------|

There are 94 United States Attorneys' Offices located throughout the United States, Puerto Rico, the Virgin Islands, Guam, and the Northern Mariana Islands. The 93 U.S. Attorneys (Guam and the Northern Mariana Islands are under the direction of a single U.S. Attorney) prosecute criminal offenses against the United States, represent the Government in civil actions in which the United States is concerned, and initiate proceedings for the collection of fines, penalties, and forfeitures owed to the United States. For 2014, the U.S. Attorneys will continue to investigate and prosecute the diverse workload of criminal cases brought by the Federal Government and will continue to initiate civil actions to assert and protect the interests of the United States.

## Object Classification (in millions of dollars)

| Identification code 15–0322–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 921         | 935     | 945       |
| 11.3 Other than full-time permanent .....                       | 43          | 43      | 43        |
| 11.5 Other personnel compensation .....                         | 14          | 13      | 14        |
| 11.8 Special personal services payments .....                   | 5           | 5       | 5         |
| 11.9 Total personnel compensation .....                         | 983         | 996     | 1,007     |
| 12.1 Civilian personnel benefits .....                          | 288         | 305     | 314       |
| 21.0 Travel and transportation of persons .....                 | 31          | 31      | 31        |
| 22.0 Transportation of things .....                             | 5           | 5       | 5         |
| 23.1 Rental payments to GSA .....                               | 244         | 251     | 261       |
| 23.2 Rental payments to others .....                            | 4           | 4       | 10        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 35          | 37      | 34        |
| 24.0 Printing and reproduction .....                            | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 54          | 55      | 56        |
| 25.2 Other services from non-Federal sources .....              | 150         | 160     | 164       |
| 25.3 Purchases from Govt Accts .....                            | 39          | 38      | 39        |
| 25.4 Operation and maintenance of facilities .....              | 6           | 6       | 4         |
| 25.6 Medical care .....   | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 8           | 8       | 5         |
| 26.0 Supplies and materials .....                               | 16          | 17      | 17        |
| 31.0 Equipment .....  | 58          | 51      | 53        |
| 32.0 Land and structures .....                                  | 21          | 5       | 5         |
| 99.0 Direct obligations .....                                   | 1,945       | 1,972   | 2,008     |
| 99.0 Reimbursable obligations .....                             | 272         | 362     | 362       |
| 99.9 Total new obligations .....                                | 2,217       | 2,334   | 2,370     |

## Employment Summary

| Identification code 15–0322–0–1–752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 9,702       | 9,717   | 9,807     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,554       | 1,639   | 1,676     |

## SALARIES AND EXPENSES, FOREIGN CLAIMS SETTLEMENT COMMISSION

For expenses necessary to carry out the activities of the Foreign Claims Settlement Commission, including services as authorized by section 3109 of title 5, United States Code, \$2,218,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15–0100–0–1–153     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Foreign Claims .....               | 2           | 2       | 2         |
| <b>Budgetary Resources:</b>             |             |         |           |
| Budget authority:                       |             |         |           |
| Appropriations, discretionary:          |             |         |           |
| 1100 Appropriation .....                | 2           | 2       | 2         |



|   |  |    |    |    |
|---|--|----|----|----|
| 1160                                      | Appropriation, discretionary (total) .....     | 2  | 2  | 2  |
| 1930                                      | Total budgetary resources available .....      | 2  | 2  | 2  |
| <b>Change in obligated balance:</b>       |  |    |    |    |
| Unpaid obligations:                       |  |    |    |    |
| 3010                                      | Obligations incurred, unexpired accounts ..... | 2  | 2  | 2  |
| 3020                                      | Outlays (gross) .....                          | -2 | -2 | -2 |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| 4000                                      | Budget authority, gross .....                  | 2  | 2  | 2  |
| Outlays, gross:                           |  |    |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 2  | 2  | 2  |
| 4180                                      | Budget authority, net (total) .....            | 2  | 2  | 2  |
| 4190                                      | Outlays, net (total) .....                     | 2  | 2  | 2  |

The Foreign Claims Settlement Commission adjudicates the claims of American nationals (individuals and corporations) arising out of the nationalization, expropriation or other taking of their property, or injury, caused by foreign governments and which are paid out of foreign government funds, pursuant to the International Claims Settlement Act of 1949 and other statutes. In 2014, the Commission will continue to administer the Iraq Claims Program in accordance with the November 14, 2012 referral by the Department of the State and the Libya Claims Program in accordance with the U.S.-Libya Claims Settlement Agreement of August 14, 2008, and the Libyan Claims Resolution Act (LCRA), passed by Congress and signed into law on August 4, 2008.

**Object Classification** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 15-0100-0-1-153                    | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 2           | 2       | 2         |

**Employment Summary**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 15-0100-0-1-153                        | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment ..... | 9           | 9       | 9         |

## UNITED STATES MARSHALS SERVICE

## SALARIES AND EXPENSES

*For necessary expenses of the United States Marshals Service, \$1,204,033,000, of which not to exceed \$6,000 shall be available for official reception and representation expenses, and not to exceed \$15,000,000 shall remain available until expended.*

## (CANCELLATION)

*Of the unobligated balances from prior year appropriations available under this heading, \$12,200,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 15-0324-0-1-752             | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>         |             |         |           |
| 0002 Judicial and Courthouse Security .....     | 458         | 457     | 462       |
| 0003 Fugitive Apprehension .....                | 401         | 400     | 399       |
| 0004 Prisoner Security and Transportation ..... | 245         | 249     | 252       |

|  |       |       |       |
|--|-------|-------|-------|
| 0005 Protection of Witnesses .....       | 34    | 35    | 35    |
| 0006 Tactical Operations .....           | 40    | 38    | 44    |
| 0799 Total direct obligations .....      | 1,178 | 1,179 | 1,192 |
| 0801 Reimbursable program activity ..... | 1,498 | 1,662 | 70    |
| 0900 Total new obligations .....         | 2,676 | 2,841 | 1,262 |

**Budgetary Resources:**

|   |       |       |       |
|---|-------|-------|-------|
| Unobligated balance:  |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 14    | 8     | 37    |
| 1010 Unobligated balance transfer to other accts [15-0132] .....                | -2    | -2    |       |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 9     | 6     |       |
| 1021 Recoveries of prior year unpaid obligations .....                          | 18    | 25    |       |
| 1050 Unobligated balance (total) .....  | 39    | 37    | 37    |
| Budget authority:   |       |       |       |
| Appropriations, discretionary:  |       |       |       |
| 1100 Appropriation .....  | 1,174 | 1,181 | 1,204 |
| 1121 Appropriations transferred from other accts [11-1070] ....                 | 1     |       |       |
| 1131 Unobligated balance of appropriations permanently reduced .....            | -2    | -2    | -12   |
| 1160 Appropriation, discretionary (total) .....                                 | 1,173 | 1,179 | 1,192 |
| Spending authority from offsetting collections, discretionary:                  |       |       |       |
| 1700 Collected .....  | 1,479 | 1,662 | 70    |
| 1701 Change in uncollected payments, Federal sources .....                      | -1    |       |       |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 1,478 | 1,662 | 70    |
| 1900 Budget authority (total) .....   | 2,651 | 2,841 | 1,262 |
| 1930 Total budgetary resources available .....                                  | 2,690 | 2,878 | 1,299 |
| Memorandum (non-add) entries:   |       |       |       |
| 1940 Unobligated balance expiring .....   | -6    |       |       |
| 1941 Unexpired unobligated balance, end of year .....                           | 8     | 37    | 37    |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 470    | 378    | 430    |
| 3010 Obligations incurred, unexpired accounts .....               | 2,676  | 2,841  | 1,262  |
| 3011 Obligations incurred, expired accounts .....                 | 15     |        |        |
| 3020 Outlays (gross) .....  | -2,742 | -2,764 | -1,539 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -18    | -25    |        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -23    |        |        |
| 3050 Unpaid obligations, end of year .....                        | 378    | 430    | 153    |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -180   | -151   | -151   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 1      |        |        |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 28     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -151   | -151   | -151   |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 290    | 227    | 279    |
| 3200 Obligated balance, end of year .....                         | 227    | 279    | 2      |

**Budget authority and outlays, net:**

|   |        |        |       |
|---|--------|--------|-------|
| Discretionary:  |        |        |       |
| 4000 Budget authority, gross .....                                  | 2,651  | 2,841  | 1,262 |
| Outlays, gross:   |        |        |       |
| 4010 Outlays from new discretionary authority .....                 | 2,314  | 2,557  | 1,135 |
| 4011 Outlays from discretionary balances .....                      | 428    | 207    | 404   |
| 4020 Outlays, gross (total) .....                                   | 2,742  | 2,764  | 1,539 |
| Offsets against gross budget authority and outlays:                 |        |        |       |
| Offsetting collections (collected) from:                            |        |        |       |
| 4030 Federal sources .....  | -1,510 | -1,662 | -70   |
| Additional offsets against gross budget authority only:             |        |        |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | 1      |        |       |
| 4052 Offsetting collections credited to expired accounts .....      | 31     |        |       |
| 4060 Additional offsets against budget authority only (total) ..... | 32     |        |       |
| 4070 Budget authority, net (discretionary) .....                    | 1,173  | 1,179  | 1,192 |
| 4080 Outlays, net (discretionary) .....                             | 1,232  | 1,102  | 1,469 |
| 4180 Budget authority, net (total) .....                            | 1,173  | 1,179  | 1,192 |
| 4190 Outlays, net (total) .....                                     | 1,232  | 1,102  | 1,469 |

The Federal Government is represented in each of the 94 judicial districts and the District of Columbia Superior Court by a United States Marshal. The primary missions of the United States Marshals Service (USMS) are to protect the Federal judiciary, apprehend fugitives and non-compliant sex offenders, protect witnesses, execute warrants and court orders, manage seized assets acquired through illegal means, and transport Federal prisoners from arrest to incarceration. The USMS is the principal

## UNITED STATES MARSHALS SERVICE—Continued

support force in the Federal judicial system and an integral part of the Federal law enforcement community.

**Reimbursable program.**—Federal funds are derived from the Administrative Office of the U.S. Courts for the court security program, the Assets Forfeiture Fund for seized assets management, the Organized Crime Drug Enforcement Task Force Program for multi-agency drug investigations, and the Office of National Drug Control Policy and the Centers for Disease Control for security services. Non-Federal funds are derived from state and local governments for witness protection and the transportation of prisoners pursuant to state writs, as well as fees collected from service of civil process and sales associated with judicial orders.

## Object Classification (in millions of dollars)

| Identification code 15-0324-0-1-752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 407         | 421     | 424       |
| 11.3 Other than full-time permanent .....                       | 13          | 13      | 13        |
| 11.5 Other personnel compensation .....                         | 82          | 95      | 96        |
| 11.8 Special personal services payments .....                   | 7           | 7       | 7         |
| 11.9 Total personnel compensation .....                         | 509         | 536     | 540       |
| 12.1 Civilian personnel benefits .....                          | 215         | 222     | 227       |
| 21.0 Travel and transportation of persons .....                 | 29          | 24      | 22        |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 185         | 199     | 210       |
| 23.2 Rental payments to others .....                            | 10          | 7       | 10        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 24          | 23      | 21        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 93          | 73      | 66        |
| 25.3 Other goods and services from Federal sources .....        | 20          | 18      | 18        |
| 25.4 Operation and maintenance of facilities .....              | 7           | 9       | 9         |
| 25.6 Medical care .....   | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 17          | 17      | 17        |
| 25.8 Subsistence and support of persons .....                   | 6           | .....   | .....     |
| 26.0 Supplies and materials .....                               | 27          | 17      | 17        |
| 31.0 Equipment .....  | 28          | 28      | 29        |
| 32.0 Land and structures .....                                  | 2           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 1,178       | 1,179   | 1,192     |
| 99.0 Reimbursable obligations .....                             | 1,498       | 1,662   | 70        |
| 99.9 Total new obligations .....                                | 2,676       | 2,841   | 1,262     |

## Employment Summary

| Identification code 15-0324-0-1-752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 5,181       | 5,090   | 5,090     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 390         | 418     | 426       |

## CONSTRUCTION

*For construction in space controlled, occupied or utilized by the United States Marshals Service for prisoner holding and related support, \$10,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15-0133-0-1-751                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Construction .....                              | 17          | 15      | 10        |
| 0900 Total new obligations (object class 25.2) ..... | 17          | 15      | 10        |

## Budgetary Resources:

|  |    |       |       |
|--|----|-------|-------|
| Unobligated balance:                                   |    |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2  | 1     | 1     |
| 1021 Recoveries of prior year unpaid obligations ..... | 1  | ..... | ..... |
| 1050 Unobligated balance (total) .....                 | 3  | 1     | 1     |
| Budget authority:                                      |    |       |       |
| Appropriations, discretionary:                         |    |       |       |
| 1100 Appropriation .....                               | 15 | 15    | 10    |
| 1160 Appropriation, discretionary (total) .....        | 15 | 15    | 10    |
| 1930 Total budgetary resources available .....         | 18 | 16    | 11    |
| Memorandum (non-add) entries:                          |    |       |       |
| 1941 Unexpired unobligated balance, end of year .....  | 1  | 1     | 1     |

## Change in obligated balance:

|   |     |       |       |
|---|-----|-------|-------|
| Unpaid obligations:   |     |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 38  | 35    | 29    |
| 3010 Obligations incurred, unexpired accounts .....               | 17  | 15    | 10    |
| 3020 Outlays (gross) .....  | -19 | -21   | -23   |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 35  | 29    | 16    |
| Memorandum (non-add) entries:                                     |     |       |       |
| 3100 Obligated balance, start of year .....                       | 38  | 35    | 29    |
| 3200 Obligated balance, end of year .....                         | 35  | 29    | 16    |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 15 | 15 | 10 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 3  | 1  | 1  |
| 4011 Outlays from discretionary balances .....      | 16 | 20 | 22 |
| 4020 Outlays, gross (total) .....                   | 19 | 21 | 23 |
| 4180 Budget authority, net (total) .....            | 15 | 15 | 10 |
| 4190 Outlays, net (total) .....                     | 19 | 21 | 23 |

The construction appropriation provides resources to modify spaces controlled, occupied and/or utilized by the United States Marshals Service for prisoner holding and related support.

## FEDERAL PRISONER DETENTION

*For necessary expenses related to United States prisoners in the custody of the United States Marshals Service as authorized by 18 U.S.C. 4013, \$1,635,538,000, to remain available until expended: Provided, That not to exceed \$20,000,000 shall be considered "funds appropriated for State and local law enforcement assistance" pursuant to 18 U.S.C. 4013(b): Provided further, That the United States Marshals Service shall be responsible for managing the Justice Prisoner and Alien Transportation System: Provided further, That the unobligated balances remaining under the heading "General Administration, Detention Trustee" after the cancellation of funds therein shall be transferred to and merged with this account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15-1020-0-1-752                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                    |             |         |           |
| 0001 Federal Prisoner Detention .....               | .....       | .....   | 1,636     |
| 0100 Direct program activities, subtotal .....      | .....       | .....   | 1,636     |
| Budgetary Resources:                                |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            | .....       | .....   | 1,636     |
| 1160 Appropriation, discretionary (total) .....     | .....       | .....   | 1,636     |
| 1930 Total budgetary resources available .....      | .....       | .....   | 1,636     |
| Change in obligated balance:                        |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | .....       | .....   | 1,636     |

|   |  |        |
|---|--|--------|
| 3020                                      | Outlays (gross) .....                          | -1,391 |
| 3050                                      | Unpaid obligations, end of year .....          | 245    |
|   | Memorandum (non-add) entries:                  |        |
| 3200                                      | Obligated balance, end of year .....           | 245    |
| <b>Budget authority and outlays, net:</b> |  |        |
| Discretionary:                            |  |        |
| 4000                                      | Budget authority, gross .....                  | 1,636  |
|   | Outlays, gross:                                |        |
| 4010                                      | Outlays from new discretionary authority ..... | 1,391  |
| 4180                                      | Budget authority, net (total) .....            | 1,636  |
| 4190                                      | Outlays, net (total) .....                     | 1,391  |

The Federal Prisoner Detention (FPD) account is responsible for the costs associated with the care of Federal detainees in the custody of the United States Marshals Service (USMS). The USMS must ensure the safe, secure, and humane confinement of persons in its custody while allowing unimpeded prisoner transportation operations. The FPD account provides for the care of Federal detainees in private, state, and local facilities, which includes housing, subsistence, transportation, medical care, and medical guard service.

For 2014, FPD requests funding for housing, medical, and transportation costs associated with the projected USMS detention population. The Federal Government utilizes various methods to house detainees. Detention bed space for Federal detainees is acquired to maximize efficiency and effectiveness for the Government through: (1) Federally-owned and managed detention facilities, where the Government has paid for construction and operation of the facility (funded in the Federal Bureau of Prisons' (BOP) account); (2) Intergovernmental Agreements (IGAs) with state and local jurisdictions, whose excess prison and jail bed capacity is utilized and paid via a daily rate; and (3) Private performance-based contract facilities, where a daily rate is paid. Based on anticipated growth in the USMS detention population, over three-quarters of the USMS's Federally detained population will likely be housed in state, local, and private facilities.

The USMS continues to look for efficiencies and cost reductions and avoidance in detention through process and infrastructure improvements. The costs associated with these efforts will be funded from the FPD account. Proven successes in the detention infrastructure include implementation of eDesignate, which was developed to provide a more efficient workflow between the U.S. Courts, the USMS, and the BOP; establishment of Regional Transfer Centers and Ground Transfer Centers to accelerate the movement of prisoners to a designated BOP facility; and increased use of detention alternatives by providing funding to the Federal Judiciary to support alternatives to pretrial detention, such as electronic monitoring, halfway house placement, and drug testing and treatment. USMS will continue to identify issues and develop solutions to drive further efficiencies.

**Object Classification** (in millions of dollars)

| Identification code 15-1020-0-1-752 | 2012 actual                                       | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... |         | 3         |
| 12.1                                | Civilian personnel benefits .....                 |         | 1         |
| 25.1                                | Advisory and assistance services .....            |         | 18        |
| 25.2                                | Other services from non-Federal sources .....     |         | 81        |
| 25.6                                | Medical care .....                                |         | 90        |
| 25.8                                | Subsistence and support of persons .....          |         | 1,443     |
| 99.9                                | Total new obligations .....                       |         | 1,636     |

**Employment Summary**

| Identification code 15-1020-0-1-752 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... |         | 19        |

**FEES AND EXPENSES OF WITNESSES**

*For fees and expenses of witnesses, for expenses of contracts for the procurement and supervision of expert witnesses, for private counsel expenses, including advances, and for expenses of foreign counsel, \$270,000,000, to remain available until expended, of which not to exceed \$16,000,000 is for construction of buildings for protected witness safesites; not to exceed \$3,000,000 is for the purchase and maintenance of armored and other vehicles for witness security caravans; and not to exceed \$11,000,000 is for the purchase, installation, maintenance, and upgrade of secure telecommunications equipment and a secure automated information network to store and retrieve the identities and locations of protected witnesses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 15-0311-0-1-752       | 2012 actual  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b>   |  |         |           |
| 0001                                      | Fees and expenses of witnesses .....                         | 178     | 216       |
| 0002                                      | Protection of witnesses .....                                | 38      | 40        |
| 0003                                      | Private counsel .....  | 3       | 8         |
| 0004                                      | Foreign counsel .....  | 4       | 4         |
| 0005                                      | Alternative Dispute Resolution .....                         | 1       | 2         |
| 0900                                      | Total new obligations .....                                  | 224     | 270       |
| <b>Budgetary Resources:</b>               |  |         |           |
| Unobligated balance:                      |  |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 92      | 177       |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 39      |           |
| 1050                                      | Unobligated balance (total) .....                            | 131     | 177       |
| Budget authority:                         |  |         |           |
| Appropriations, mandatory:                |  |         |           |
| 1200                                      | Appropriation .....  | 270     | 270       |
| 1260                                      | Appropriations, mandatory (total) .....                      | 270     | 270       |
| 1930                                      | Total budgetary resources available .....                    | 401     | 447       |
| Memorandum (non-add) entries:             |  |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 177     | 177       |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 159     | 168       |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 224     | 270       |
| 3020                                      | Outlays (gross) .....  | -176    | -323      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -39     |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 168     | 115       |
| Memorandum (non-add) entries:             |  |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 159     | 168       |
| 3200                                      | Obligated balance, end of year .....                         | 168     | 115       |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Mandatory:                                |  |         |           |
| 4090                                      | Budget authority, gross .....                                | 270     | 270       |
| Outlays, gross:                           |  |         |           |
| 4100                                      | Outlays from new mandatory authority .....                   | 101     | 189       |
| 4101                                      | Outlays from mandatory balances .....                        | 75      | 134       |
| 4110                                      | Outlays, gross (total) .....                                 | 176     | 323       |
| 4180                                      | Budget authority, net (total) .....                          | 270     | 270       |
| 4190                                      | Outlays, net (total) .....                                   | 176     | 323       |

This appropriation is used to pay fees and expenses of witnesses who appear on behalf of the Government in litigation in which the United States is a party. The United States Attorneys, the United States Marshals Service, and the Department's six litigating divisions are served by this appropriation.

## FEES AND EXPENSES OF WITNESSES—Continued

**Fees and expenses of witnesses.**—Pays the fees and expenses associated with the presentation of testimony on behalf of the United States for fact witnesses, who testify as to events or facts about which they have personal knowledge, and for expert witnesses, who provide technical or scientific testimony. This program also pays the fees of physicians and psychiatrists who examine accused persons upon order of the court to determine their mental competency.

**Protection of witnesses.**—Pays subsistence and other costs to ensure the safety of Government witnesses whose testimony on behalf of the United States places them or their families in jeopardy.

**Victim compensation fund.**—Pays restitution to any victim of a crime committed by a protected witness who causes or threatens death or serious bodily injury.

**Private counsel.**—Pays private counsel retained to represent Government employees who are sued, charged, or subpoenaed for actions taken while performing their official duties (private counsel expenditures may be authorized for congressional testimony as well as for litigation in instances where Government counsel is precluded from representing the employee or private counsel is otherwise appropriate).

**Foreign Counsel.**—Allows the Civil Division, which is authorized to oversee litigation in foreign courts, to pay legal expenses of foreign counsel, retained and supervised by the Department of Justice, who represent the United States in cases filed in foreign courts.

**Alternative Dispute Resolution.**—Pays the costs of providing Alternative Dispute Resolution (ADR) services in instances wherein the Department has taken the initiative to use such services and wherein the courts have directed the parties to attempt a settlement using mediation or some other ADR process.

## Object Classification (in millions of dollars)

| Identification code 15-0311-0-1-752                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.8 Fees and expenses of witnesses .....                | 173         | 216     | 216       |
| 11.8 Fees, protection of witnesses .....                 | 39          | 42      | 42        |
| 11.9 Total personnel compensation .....                  | 212         | 258     | 258       |
| 21.0 Per diem in lieu of subsistence .....               | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....              | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 25.8 Subsistence and support of persons .....            | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 224         | 270     | 270       |

## SALARIES AND EXPENSES, COMMUNITY RELATIONS SERVICE

*For necessary expenses of the Community Relations Service, \$12,464,000: Provided, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for conflict resolution and violence prevention activities of the Community Relations Service, the Attorney General may transfer such amounts to the Community Relations Service, from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15-0500-0-1-752                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Community Relations Service .....                | 11          | 11      | 12        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 11          | 11      | 12        |
| 1160 Appropriation, discretionary (total) .....       | 11          | 11      | 12        |
| 1930 Total budgetary resources available .....        | 11          | 11      | 12        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 11          | 11      | 12        |
| 3020 Outlays (gross) .....                            | -11         | -9      | -11       |
| 3050 Unpaid obligations, end of year .....            | 2           | 4       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 2       | 4         |
| 3200 Obligated balance, end of year .....             | 2           | 4       | 5         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 11          | 11      | 12        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 9           | 9       | 10        |
| 4011 Outlays from discretionary balances .....        | 2           | .....   | 1         |
| 4020 Outlays, gross (total) .....                     | 11          | 9       | 11        |
| 4180 Budget authority, net (total) .....              | 11          | 11      | 12        |
| 4190 Outlays, net (total) .....                       | 11          | 9       | 11        |

The Community Relations Service (CRS) is an agency of the Department of Justice that provides assistance to state and local communities in the prevention and resolution of tension, violence, and civil disorders relating to actual or perceived discrimination on the basis of race, color, or national origin. The Service also works with communities to employ strategies to prevent and respond to alleged violent hate crimes committed on the basis of actual or perceived race, color, national origin, gender, gender identity, sexual orientation, religion or disability. CRS helps local leaders, including community members, law enforcement, and government officials, and affected parties work together on a voluntary basis to develop locally defined and locally implemented solutions. As a result, CRS builds community capacity to manage conflicts and create permanent mechanisms so communities can independently resolve future conflicts. By empowering communities to prevent hate violence and address tension associated with alleged discrimination, CRS helps law enforcement, community leaders and city officials avoid costly litigation, preserve scarce resources, protect public safety, and ultimately enhance community stability. In 2014, the Service will fulfill its historical mandate pursuant to Title X of the Civil Rights Act of 1964 as well as its mandate pursuant to the Shepard and Byrd, Jr. Hate Crimes Prevention Act.

## Object Classification (in millions of dollars)

| Identification code 15-0500-0-1-752                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 5           | 5       | 6         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....        | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                      | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 3           | 3       | 3         |
| 99.9 Total new obligations .....                       | 11          | 11      | 12        |

## Employment Summary

| Identification code 15–0500–0–1–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 45          | 44      | 48        |

## INDEPENDENT COUNSEL

A permanent appropriation is available to fund independent and special counsel activities (28 U.S.C. 591 note).

## SEPTEMBER 11TH VICTIM COMPENSATION (GENERAL FUND)

## Program and Financing (in millions of dollars)

| Identification code 15–0340–0–1–754                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Victim Compensation .....                        |             | 290     | 184       |
| 0002 Management and Administration .....              | 9           | 32      | 16        |
| 0900 Total new obligations (object class 42.0) .....  | 9           | 322     | 200       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 191     | 191       |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 200         | 322     | 200       |
| 1260 Appropriations, mandatory (total) .....          | 200         | 322     | 200       |
| 1930 Total budgetary resources available .....        | 200         | 513     | 391       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 191         | 191     | 191       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 3       |           |
| 3010 Obligations incurred, unexpired accounts .....   | 9           | 322     | 200       |
| 3020 Outlays (gross) .....                            | –6          | –325    | –175      |
| 3050 Unpaid obligations, end of year .....            | 3           |         | 25        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 3       |           |
| 3200 Obligated balance, end of year .....             | 3           |         | 25        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 200         | 322     | 200       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 6           | 322     | 150       |
| 4101 Outlays from mandatory balances .....            |             | 3       | 25        |
| 4110 Outlays, gross (total) .....                     | 6           | 325     | 175       |
| 4180 Budget authority, net (total) .....              | 200         | 322     | 200       |
| 4190 Outlays, net (total) .....                       | 6           | 325     | 175       |

Title II of the James Zadroga 9/11 Health and Compensation Act of 2010 (P.L. 111–347) reopens the September 11 Victim Compensation Fund (VCF), extending eligibility for compensation associated with physical harm stemming from debris removal and extending the deadline for claims associated with harms not discovered before the Fund's original deadline. The VCF was established by the Air Transportation Safety and System Stabilization Act (P.L. 107–42) as an alternative to litigation, and had terminated operations in 2004 after making compensation payments of more than \$7 billion to survivors of 2,880 persons killed in the September 11, 2001 terrorist attacks and to 2,680 individuals who were injured in the attacks and subsequent rescue efforts. The James Zadroga 9/11 Health and Compensation Act of 2010 makes available up to \$2.775 billion for settlement of new claims through the VCF.

## UNITED STATES TRUSTEE SYSTEM FUND

*For necessary expenses of the United States Trustee Program, as authorized, \$225,728,000, to remain available until expended and to be derived from the United States Trustee System Fund: Provided, That, notwithstanding any other provision of law, deposits to the Fund shall be available in such amounts as may be necessary to pay refunds due depositors: Provided further, That, notwithstanding any other provision of law, \$225,728,000 of offsetting collections pursuant to 28 U.S.C. 589a(b) shall be retained and used for necessary expenses in this appropriation and shall remain available until expended: Provided further, That the sum herein appropriated from the Fund shall be reduced as such offsetting collections are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the Fund estimated at \$0.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 15–5073–0–2–752                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                              | 206         | 217     | 210       |
| Receipts:  |             |         |           |
| 0240 Earnings on Investments, U.S. Trustees System .....       |             | 1       | 1         |
| 0260 Fees for Bankruptcy Oversight, U.S. Trustees System ..... | 234         | 217     | 260       |
| 0299 Total receipts and collections .....                      | 234         | 218     | 261       |
| 0400 Total: Balances and collections .....                     | 440         | 435     | 471       |
| Appropriations:  |             |         |           |
| 0500 United States Trustee System Fund .....                   | –223        | –225    | –226      |
| 0799 Balance, end of year .....                                | 217         | 210     | 245       |

## Program and Financing (in millions of dollars)

| Identification code 15–5073–0–2–752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 U.S. Trustee Program .....                                  | 226         | 225     | 226       |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 3           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1101 Appropriation (special or trust fund) .....                 | 223         | 225     | 226       |
| 1160 Appropriation, discretionary (total) .....                  | 223         | 225     | 226       |
| 1930 Total budgetary resources available .....                   | 226         | 225     | 226       |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 21          | 24      | 26        |
| 3010 Obligations incurred, unexpired accounts .....              | 226         | 225     | 226       |
| 3020 Outlays (gross) .....                                       | –223        | –223    | –225      |
| 3050 Unpaid obligations, end of year .....                       | 24          | 26      | 27        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 21          | 24      | 26        |
| 3200 Obligated balance, end of year .....                        | 24          | 26      | 27        |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 223         | 225     | 226       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              | 204         | 203     | 203       |
| 4011 Outlays from discretionary balances .....                   | 19          | 20      | 22        |
| 4020 Outlays, gross (total) .....                                | 223         | 223     | 225       |
| 4180 Budget authority, net (total) .....                         | 223         | 225     | 226       |
| 4190 Outlays, net (total) .....                                  | 223         | 223     | 225       |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 216         | 224     | 249       |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 224         | 249     | 274       |

*United States Trustee System Fund.*—The United States trustees supervise the administration of bankruptcy cases and private

## UNITED STATES TRUSTEE SYSTEM FUND—Continued

trustees in the Federal Bankruptcy Courts and litigate against fraud and abuse in the system by debtors, creditors, attorneys, bankruptcy petition preparers, and others. The Bankruptcy Judges, U.S. Trustees and Family Farmer Bankruptcy Act of 1986 (Public Law 99–554) expanded the pilot trustee program to a twenty-one region, nationwide program encompassing 88 judicial districts. (Bankruptcy cases filed in Alabama and North Carolina are administered by the Administrative Office of the U.S. Courts.) The Bankruptcy Abuse Prevention and Consumer Protection Act of 2005 (Public Law 109–8) expanded United States trustees' existing responsibilities to include means testing, credit counseling/debtor education, and debtor audits. User fees that are assessed offset the annual appropriation.

## Object Classification (in millions of dollars)

| Identification code 15–5073–0–2–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 123         | 123     | 125       |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 125         | 125     | 127       |
| 12.1 Civilian personnel benefits .....                          | 37          | 38      | 39        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 27          | 27      | 26        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....                     | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 2           | 4       | 3         |
| 25.3 Other goods and services from Federal sources .....        | 15          | 16      | 16        |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 4           | 3       | 2         |
| 32.0 Land and structures .....                                  | 4           |         | 1         |
| 99.9 Total new obligations .....                                | 226         | 225     | 226       |

## Employment Summary

| Identification code 15–5073–0–2–752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,216       | 1,202   | 1,202     |

## ASSETS FORFEITURE FUND

## (INCLUDING CANCELLATION)

For expenses authorized by 28 U.S.C. 524(c)(1)(B), (F), and (G), \$20,948,000, to be derived from the Department of Justice Assets Forfeiture Fund.

Of the unobligated balances available under this heading, \$675,000,000 are hereby permanently cancelled.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 15–5042–0–2–752  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 495         | 675     | 696       |
| Receipts:  |             |         |           |
| 0200 Forfeited Cash and Proceeds from the Sale of Forfeited Property, Assets Forfeiture Fund ..... | 4,209       | 1,520   | 1,520     |
| 0240 Interest and Profit on Investment, Department of Justice Assets Forfeiture Fund .....         | 3           | 9       | 16        |
| 0299 Total receipts and collections .....  | 4,212       | 1,529   | 1,536     |
| 0400 Total: Balances and collections .....   | 4,707       | 2,204   | 2,232     |
| Appropriations:  |             |         |           |
| 0500 Assets Forfeiture Fund .....  | –21         | –21     | –21       |
| 0501 Assets Forfeiture Fund .....  |             | 675     |           |
| 0502 Assets Forfeiture Fund .....  | –4,686      | –1,487  | –1,488    |

|                                   |        |        |        |
|-----------------------------------|--------|--------|--------|
| 0503 Assets Forfeiture Fund ..... | –675   | –675   |        |
| 0504 Assets Forfeiture Fund ..... | 675    |        |        |
| 0599 Total appropriations .....   | –4,032 | –1,508 | –2,184 |
| 0799 Balance, end of year .....   | 675    | 696    | 48     |

## Program and Financing (in millions of dollars)

| Identification code 15–5042–0–2–752  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Direct program .....  | 4,488       | 1,601   | 1,566     |
| 0801 Reimbursable program .....  | 14          | 12      | 12        |
| 0900 Total new obligations .....   | 4,502       | 1,613   | 1,578     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                      | 1,024       | 657     | 634       |
| 1021 Recoveries of prior year unpaid obligations .....                                     | 86          | 70      | 70        |
| 1050 Unobligated balance (total) .....   | 1,110       | 727     | 704       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1101 Appropriation (special or trust fund) .....   | 21          | 21      | 21        |
| 1131 Unobligated balance of appropriations permanently reduced .....                       |             |         | –675      |
| 1134 Appropriations precluded from obligation .....  |             | –675    |           |
| 1160 Appropriation, discretionary (total) .....  | 21          | –654    | –654      |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....   | 4,686       | 1,487   | 1,488     |
| 1201 Return of Super Surplus .....   |             | 675     | 675       |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced ..... | –675        |         |           |
| 1260 Appropriations, mandatory (total) .....   | 4,011       | 2,162   | 2,163     |
| Spending authority from offsetting collections, mandatory:                                 |             |         |           |
| 1800 Collected .....   | 13          | 12      | 11        |
| 1801 Change in uncollected payments, Federal sources .....                                 | 4           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....                         | 17          | 12      | 11        |
| 1900 Budget authority (total) .....  | 4,049       | 1,520   | 1,520     |
| 1930 Total budgetary resources available .....   | 5,159       | 2,247   | 2,224     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unobligated balance carried forward, end of year, other .....                         | 657         | 634     | 646       |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,119  | 2,848  | 375    |
| 3010 Obligations incurred, unexpired accounts .....               | 4,502  | 1,613  | 1,578  |
| 3020 Outlays (gross) .....  | –2,687 | –4,016 | –1,221 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –86    | –70    | –70    |
| 3050 Unpaid obligations, end of year .....                        | 2,848  | 375    | 662    |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –5     | –9     | –9     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –4     |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –9     | –9     | –9     |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 1,114  | 2,839  | 366    |
| 3200 Obligated balance, end of year .....                         | 2,839  | 366    | 653    |

## Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                             | 21    | –654  | –654  |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....            | 11    | –667  | –667  |
| 4011 Outlays from discretionary balances .....                 | 7     | 12    | 12    |
| 4020 Outlays, gross (total) .....                              | 18    | –655  | –655  |
| Mandatory:   |       |       |       |
| 4090 Budget authority, gross .....                             | 4,028 | 2,174 | 2,174 |
| Outlays, gross:  |       |       |       |
| 4100 Outlays from new mandatory authority .....                | 1,778 | 1,313 | 1,314 |
| 4101 Outlays from mandatory balances .....                     | 891   | 3,358 | 562   |
| 4110 Outlays, gross (total) .....                              | 2,669 | 4,671 | 1,876 |
| Offsets against gross budget authority and outlays:            |       |       |       |
| Offsetting collections (collected) from:                       |       |       |       |
| 4120 Federal sources .....                                     | –13   | –12   | –11   |
| Additional offsets against gross budget authority only:        |       |       |       |
| 4140 Change in uncollected pymts, Fed sources, unexpired ..... | –4    |       |       |
| 4160 Budget authority, net (mandatory) .....                   | 4,011 | 2,162 | 2,163 |
| 4170 Outlays, net (mandatory) .....                            | 2,656 | 4,659 | 1,865 |
| 4180 Budget authority, net (total) .....                       | 4,032 | 1,508 | 1,509 |

|                                      |   |       |       |       |
|--------------------------------------|---|-------|-------|-------|
| 4190                                 | Outlays, net (total) .....                                  | 2,674 | 4,004 | 1,210 |
| <b>Memorandum (non-add) entries:</b> |   |       |       |       |
| 5000                                 | Total investments, SOY: Federal securities: Par value ..... | 2,405 | 4,093 | 1,631 |
| 5001                                 | Total investments, EOY: Federal securities: Par value ..... | 4,093 | 1,631 | 2,290 |

The Comprehensive Crime Control Act of 1984 established the Assets Forfeiture Fund, into which forfeited cash and the proceeds of sales of forfeited property are deposited. Authorities of the fund have been amended by various public laws enacted since 1984. Under current law, authority to use the fund for certain investigative expenses shall be specified in annual appropriation acts. Expenses necessary to seize, detain, inventory, safeguard, maintain, advertise or sell property under seizure are funded through a permanent, indefinite appropriation. In addition, beginning in 1993, other general expenses of managing and operating the assets forfeiture program are paid from the permanent, indefinite portion of the fund. Once all expenses are covered, the balance is maintained to meet ongoing expenses of the program. Excess unobligated balances may also be allocated by the Attorney General in accordance with 28 U.S.C. 524(c)(8)(E).

**Object Classification** (in millions of dollars)

| Identification code 15-5042-0-2-752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 8           | 8       | 10        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 2         |
| 11.9 Total personnel compensation .....                         | 9           | 9       | 12        |
| 12.1 Civilian personnel benefits .....                          | 2           | 3       | 4         |
| 21.0 Travel and transportation of persons .....                 | 5           | 6       | 14        |
| 22.0 Transportation of things .....                             | 3           | 3       | 4         |
| 23.1 Rental payments to GSA .....                               | 17          | 17      | 21        |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 6           | 6       | 10        |
| 24.0 Printing and reproduction .....                            | 3           | 3       | 4         |
| 25.1 Advisory and assistance services .....                     | 66          | 69      | 98        |
| 25.2 Other services from non-Federal sources .....              | 4,162       | 1,418   | 1,286     |
| 25.3 Other goods and services from Federal sources .....        | 39          | 41      | 70        |
| 25.4 Operation and maintenance of facilities .....              | 5           | 6       | 10        |
| 25.7 Operation and maintenance of equipment .....               | 14          | 14      | 18        |
| 26.0 Supplies and materials .....                               | 4           | 4       | 6         |
| 31.0 Equipment .....  | 1           | 1       | 8         |
| 32.0 Land and structures .....                                  | 151         |         |           |
| 99.0 Direct obligations .....                                   | 4,488       | 1,601   | 1,566     |
| 99.0 Reimbursable obligations .....                             | 14          | 12      | 12        |
| 99.9 Total new obligations .....                                | 4,502       | 1,613   | 1,578     |

**Employment Summary**

| Identification code 15-5042-0-2-752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 20          | 22      | 22        |

**JUSTICE PRISONER AND ALIEN TRANSPORTATION SYSTEM FUND, U.S. MARSHALS****Program and Financing** (in millions of dollars)

| Identification code 15-4575-0-4-752                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0801 Reimbursable program activity .....                              | 52          | 86      | 59        |
| <b>Budgetary Resources:</b>   |             |         |           |
| <b>Unobligated balance:</b>   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 34          | 42      | 7         |
| 1021 Recoveries of prior year unpaid obligations .....                | 2           |         |           |
| 1050 Unobligated balance (total) .....                                | 36          | 42      | 7         |
| <b>Budget authority:</b>  |             |         |           |
| <b>Spending authority from offsetting collections, discretionary:</b> |             |         |           |
| 1700 Collected .....  | 58          | 51      | 59        |

|                                      |   |    |    |    |
|--------------------------------------|---|----|----|----|
| 1750                                 | Spending auth from offsetting collections, disc (total) ..... | 58 | 51 | 59 |
| 1930                                 | Total budgetary resources available .....                     | 94 | 93 | 66 |
| <b>Memorandum (non-add) entries:</b> |   |    |    |    |
| 1941                                 | Unexpired unobligated balance, end of year .....              | 42 | 7  | 7  |

**Change in obligated balance:**

|                                      |  |     |     |     |
|--------------------------------------|--|-----|-----|-----|
| <b>Unpaid obligations:</b>           |  |     |     |     |
| 3000                                 | Unpaid obligations, brought forward, Oct 1 .....             | 9   | 4   | 37  |
| 3010                                 | Obligations incurred, unexpired accounts .....               | 52  | 86  | 59  |
| 3020                                 | Outlays (gross) .....  | -55 | -53 | -58 |
| 3040                                 | Recoveries of prior year unpaid obligations, unexpired ..... | -2  |     |     |
| 3050                                 | Unpaid obligations, end of year .....                        | 4   | 37  | 38  |
| <b>Uncollected payments:</b>         |  |     |     |     |
| 3060                                 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -9  | -9  | -9  |
| 3090                                 | Uncollected pymts, Fed sources, end of year .....            | -9  | -9  | -9  |
| <b>Memorandum (non-add) entries:</b> |  |     |     |     |
| 3100                                 | Obligated balance, start of year .....                       |     | -5  | 28  |
| 3200                                 | Obligated balance, end of year .....                         | -5  | 28  | 29  |

**Budget authority and outlays, net:**

|  |  |     |     |     |
|--|--|-----|-----|-----|
| <b>Discretionary:</b>                                      |  |     |     |     |
| 4000   | Budget authority, gross .....                  | 58  | 51  | 59  |
| <b>Outlays, gross:</b>                                     |  |     |     |     |
| 4010   | Outlays from new discretionary authority ..... | 48  | 46  | 53  |
| 4011   | Outlays from discretionary balances .....      | 7   | 7   | 5   |
| 4020   | Outlays, gross (total) .....                   | 55  | 53  | 58  |
| <b>Offsets against gross budget authority and outlays:</b> |  |     |     |     |
| <b>Offsetting collections (collected) from:</b>            |  |     |     |     |
| 4030   | Federal sources .....                          | -58 | -51 | -59 |
| 4190   | Outlays, net (total) .....                     | -3  | 2   | -1  |

The Justice Prisoner and Alien Transportation System (JPATS) is responsible for transporting by air all Federal prisoners and detainees, including sentenced and pretrial, in the custody of the United States Marshals Service or the Bureau of Prisons. JPATS also transports prisoners in the custody of the Department of Defense, Department of Homeland Security, and state and local law enforcement. JPATS transports prisoners and detainees on a full cost recovery reimbursable basis with participating executive departments and agencies without sacrificing the safety of the public, Federal employees, or those in custody. Additionally, any proceeds from the disposal of aircraft will be deposited into the Fund.

**Object Classification** (in millions of dollars)

| Identification code 15-4575-0-4-752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 9           | 9       | 9         |
| 11.5 Other personnel compensation .....                         | 1           | 3       | 1         |
| 11.8 Special personal services payments .....                   | 2           |         | 2         |
| 11.9 Total personnel compensation .....                         | 12          | 12      | 12        |
| 12.1 Civilian personnel benefits .....                          | 3           | 3       | 3         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       | 1         |
| 25.1 Advisory and assistance services .....                     |             |         | 1         |
| 25.2 Other services from non-Federal sources .....              | 3           | 40      | 11        |
| 25.3 Other goods and services from Federal sources .....        | 2           |         |           |
| 25.7 Operation and maintenance of equipment .....               | 15          | 13      | 13        |
| 26.0 Supplies and materials .....                               | 15          | 15      | 16        |
| 99.9 Total new obligations .....                                | 52          | 86      | 59        |

**Employment Summary**

| Identification code 15-4575-0-4-752                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 92          | 95      | 95        |

**NATIONAL SECURITY DIVISION****Federal Funds****SALARIES AND EXPENSES**

*For expenses necessary to carry out the activities of the National Security Division, \$96,240,000, of which not to exceed \$5,000,000 for information technology systems shall remain available until expended: Provided, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for the activities of the National Security Division, the Attorney General may transfer such amounts to this heading from available appropriations for the current fiscal year for the Department of Justice, as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the preceding proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 15–1300–0–1–751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 National Security Division .....   | 85          | 88      | 96        |
| 0801 Reimbursable program activity .....  | 3           |         |           |
| 0900 Total new obligations .....  | 88          | 88      | 96        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 8           | 7       | 7         |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 3           |         |           |
| 1050 Unobligated balance (total) .....  | 11          | 7       | 7         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 87          | 88      | 96        |
| 1160 Appropriation, discretionary (total) .....                                 | 87          | 88      | 96        |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 2           |         | 2         |
| 1701 Change in uncollected payments, Federal sources .....                      | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 3           |         | 2         |
| 1900 Budget authority (total) .....   | 90          | 88      | 98        |
| 1930 Total budgetary resources available .....                                  | 101         | 95      | 105       |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –6          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 7           | 7       | 9         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 20          | 22      | 12        |
| 3010 Obligations incurred, unexpired accounts .....                             | 88          | 88      | 96        |
| 3020 Outlays (gross) .....  | –85         | –98     | –97       |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 22          | 12      | 11        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –1          | –2      | –2        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | –1          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | –2          | –2      | –2        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 19          | 20      | 10        |
| 3200 Obligated balance, end of year .....                                       | 20          | 10      | 9         |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 90          | 88      | 98        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 68          | 78      | 87        |
| 4011 Outlays from discretionary balances .....                                  | 17          | 20      | 10        |
| 4020 Outlays, gross (total) .....   | 85          | 98      | 97        |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | –2          |         | –2        |

|      |  |    |    |    |
|------|--|----|----|----|
| 4050 | Additional offsets against gross budget authority only:<br>Change in uncollected pymts, Fed sources, unexpired ..... | –1 |    |    |
| 4070 | Budget authority, net (discretionary) .....  | 87 | 88 | 96 |
| 4080 | Outlays, net (discretionary) .....   | 83 | 98 | 95 |
| 4180 | Budget authority, net (total) .....  | 87 | 88 | 96 |
| 4190 | Outlays, net (total) .....   | 83 | 98 | 95 |

The National Security Division (NSD) strengthens the Department's core national security functions by providing strategic national security policy coordination and development. NSD combines counterterrorism and counterespionage prosecutors with attorneys who oversee the Department's foreign intelligence/counterintelligence operations.

For 2014, the NSD request includes \$4 million in enhancements to combat cyber threats to national security and violent extremism and expand intelligence collection capabilities.

**Object Classification** (in millions of dollars)

| Identification code 15–1300–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 37          | 42      | 45        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 39          | 44      | 47        |
| 12.1 Civilian personnel benefits .....                          | 11          | 12      | 13        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 2         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 9           | 10      | 11        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 8         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 9           | 9       | 8         |
| 25.3 Other goods and services from Federal sources .....        | 3           | 3       | 2         |
| 31.0 Equipment .....  | 7           | 2       | 2         |
| 99.0 Direct obligations .....                                   | 85          | 87      | 95        |
| 99.0 Reimbursable obligations .....                             | 3           |         | 1         |
| 99.5 Below reporting threshold .....                            |             | 1       |           |
| 99.9 Total new obligations .....                                | 88          | 88      | 96        |

**Employment Summary**

| Identification code 15–1300–0–1–751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 298         | 304     | 325       |

**RADIATION EXPOSURE COMPENSATION****Federal Funds****PAYMENT TO RADIATION EXPOSURE COMPENSATION TRUST FUND****Program and Financing** (in millions of dollars)

| Identification code 15–0333–0–1–054                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Payment to radiation exposure compensation trust fund ..... | 75          | 94      | 82        |
| 0900 Total new obligations (object class 25.2) .....             | 75          | 94      | 82        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1200 Appropriation .....   | 75          | 94      | 82        |
| 1260 Appropriations, mandatory (total) .....                     | 75          | 94      | 82        |
| 1930 Total budgetary resources available .....                   | 75          | 94      | 82        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              | 75          | 94      | 82        |
| 3020 Outlays (gross) .....                                       | –75         | –94     | –82       |



|   |  |    |       |
|---|--|----|-------|
| <b>Budget authority and outlays, net:</b> |  |    |       |
| Mandatory:                                |  |    |       |
| 4090                                      | Budget authority, gross .....              | 75 | 94 82 |
| Outlays, gross:                           |  |    |       |
| 4100                                      | Outlays from new mandatory authority ..... | 75 | 94 82 |
| 4180                                      | Budget authority, net (total) .....        | 75 | 94 82 |
| 4190                                      | Outlays, net (total) .....                 | 75 | 94 82 |

**Trust Funds****RADIATION EXPOSURE COMPENSATION TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 15-8116-0-7-054 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 0100                                | Balance, start of year .....  |         |           |
| Receipts:                           |   |         |           |
| 0240                                | Payment from the General Fund, Radiation Exposure Compensation Trust Fund ..... | 75      | 94 82     |
| 0400                                | Total: Balances and collections .....   | 75      | 94 82     |
| Appropriations:                     |   |         |           |
| 0500                                | Radiation Exposure Compensation Trust Fund .....                                | -75     | -94 -82   |
| 0799                                | Balance, end of year .....  |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 15-8116-0-7-054     | 2012 actual                                      | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b> |  |         |           |
| 0001                                    | Payments to RECA claimants .....                 | 81      | 90 78     |
| 0900                                    | Total new obligations (object class 41.0) .....  | 81      | 90 78     |
| <b>Budgetary Resources:</b>             |  |         |           |
| Unobligated balance:                    |  |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 12      | 6 10      |
| Budget authority:                       |  |         |           |
| Appropriations, mandatory:              |  |         |           |
| 1201                                    | Appropriation (special or trust fund) .....      | 75      | 94 82     |
| 1260                                    | Appropriations, mandatory (total) .....          | 75      | 94 82     |
| 1930                                    | Total budgetary resources available .....        | 87      | 100 92    |
| Memorandum (non-add) entries:           |  |         |           |
| 1941                                    | Unexpired unobligated balance, end of year ..... | 6       | 10 14     |

**Change in obligated balance:**

|                               |  |     |         |
|-------------------------------|--|-----|---------|
| Unpaid obligations:           |  |     |         |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... | 6   | 4 28    |
| 3010                          | Obligations incurred, unexpired accounts .....   | 81  | 90 78   |
| 3020                          | Outlays (gross) .....                            | -83 | -66 -87 |
| 3050                          | Unpaid obligations, end of year .....            | 4   | 28 19   |
| Memorandum (non-add) entries: |  |     |         |
| 3100                          | Obligated balance, start of year .....           | 6   | 4 28    |
| 3200                          | Obligated balance, end of year .....             | 4   | 28 19   |

**Budget authority and outlays, net:**

|                 |  |    |       |
|-----------------|--|----|-------|
| Mandatory:      |  |    |       |
| 4090            | Budget authority, gross .....              | 75 | 94 82 |
| Outlays, gross: |  |    |       |
| 4100            | Outlays from new mandatory authority ..... | 75 | 56 49 |
| 4101            | Outlays from mandatory balances .....      | 8  | 10 38 |
| 4110            | Outlays, gross (total) .....               | 83 | 66 87 |
| 4180            | Budget authority, net (total) .....        | 75 | 94 82 |
| 4190            | Outlays, net (total) .....                 | 83 | 66 87 |

**INTERAGENCY LAW ENFORCEMENT****Federal Funds****INTERAGENCY CRIME AND DRUG ENFORCEMENT**

*For necessary expenses for the identification, investigation, and prosecution of individuals associated with the most significant drug trafficking, and affiliated money laundering organizations not otherwise provided for, to include inter-governmental agreements with State and local law enforcement agencies engaged in the investigation and prosecution of individuals involved in organized crime drug trafficking, \$523,037,000, of which \$50,000,000 shall remain available until expended: Provided, That any amounts obligated from appropriations under this heading may be used under authorities available to the organizations reimbursed from this appropriation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 15-0323-0-1-751                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Investigations .....                               | 382         | 384     | 371       |
| 0003 Prosecution .....                                  | 150         | 150     | 149       |
| 0004 Transnational Organized Crime Investigations ..... | .....       | .....   | 3         |
| 0799 Total direct obligations .....                     | 532         | 534     | 523       |
| 0801 Reimbursable program activity .....                | 45          | 55      | 55        |
| 0900 Total new obligations .....                        | 577         | 589     | 578       |

**Budgetary Resources:**

|  |   |     |         |
|--|---|-----|---------|
| Unobligated balance:   |   |     |         |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 4   | 1       |
| 1021   | Recoveries of prior year unpaid obligations .....             | 2   | 2       |
| 1050   | Unobligated balance (total) .....                             | 6   | 3       |
| Budget authority:  |   |     |         |
| Appropriations, discretionary:                                 |   |     |         |
| 1100   | Appropriation .....   | 528 | 531 523 |
| 1120   | Appropriations transferred to other accts [15-0132] .....     | -1  | -1      |
| 1160   | Appropriation, discretionary (total) .....                    | 527 | 530 523 |
| Spending authority from offsetting collections, discretionary: |   |     |         |
| 1700   | Collected .....   | 10  |         |
| 1701   | Change in uncollected payments, Federal sources .....         | 35  | 56 55   |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 45  | 56 55   |
| 1900   | Budget authority (total) .....                                | 572 | 586 578 |
| 1930   | Total budgetary resources available .....                     | 578 | 589 578 |
| Memorandum (non-add) entries:                                  |   |     |         |
| 1941   | Unexpired unobligated balance, end of year .....              | 1   |         |

**Change in obligated balance:**

|                               |  |      |           |
|-------------------------------|--|------|-----------|
| Unpaid obligations:           |  |      |           |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 142  | 151 155   |
| 3010                          | Obligations incurred, unexpired accounts .....               | 577  | 589 578   |
| 3020                          | Outlays (gross) .....  | -565 | -583 -580 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -2   | -2        |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -1   |           |
| 3050                          | Unpaid obligations, end of year .....                        | 151  | 155 153   |
| Uncollected payments:         |  |      |           |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -2   | -35 -91   |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | -35  | -56 -55   |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | 2    |           |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -35  | -91 -146  |
| Memorandum (non-add) entries: |  |      |           |
| 3100                          | Obligated balance, start of year .....                       | 140  | 116 64    |
| 3200                          | Obligated balance, end of year .....                         | 116  | 64 7      |

**Budget authority and outlays, net:**

|                 |  |     |         |
|-----------------|--|-----|---------|
| Discretionary:  |  |     |         |
| 4000            | Budget authority, gross .....                  | 572 | 586 578 |
| Outlays, gross: |  |     |         |
| 4010            | Outlays from new discretionary authority ..... | 478 | 440 433 |
| 4011            | Outlays from discretionary balances .....      | 87  | 143 147 |
| 4020            | Outlays, gross (total) .....                   | 565 | 583 580 |

The Radiation Exposure Compensation Act (RECA), as amended, authorizes payments to individuals exposed to radiation as a result of atmospheric nuclear tests or uranium mining, milling or transport. RECA workload is included with the workload of the Civil Division.

INTERAGENCY CRIME AND DRUG ENFORCEMENT—Continued  
Program and Financing—Continued

| Identification code 15-0323-0-1-751                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | -12         | -2      | -2        |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -35         | -56     | -55       |
| 4052 Offsetting collections credited to expired accounts .....      | 2           | 2       | 2         |
| 4060 Additional offsets against budget authority only (total) ..... | -33         | -54     | -53       |
| 4070 Budget authority, net (discretionary) .....                    | 527         | 530     | 523       |
| 4080 Outlays, net (discretionary) .....                             | 553         | 581     | 578       |
| 4180 Budget authority, net (total) .....                            | 527         | 530     | 523       |
| 4190 Outlays, net (total) .....                                     | 553         | 581     | 578       |

The Organized Crime Drug Enforcement Task Forces (OCDETF) Program, with the participation of its seven member federal law enforcement agencies, in cooperation with state and local investigators and with prosecutors from the U.S. Attorneys' Offices and the Criminal Division, focuses on targeting and destroying major domestic and transnational criminal organizations who engage in narcotic-trafficking and money-laundering and related criminal activities. The Program performs the following activities:

**Investigation.**—This activity includes resources for direct investigative, intelligence and support activities of the task forces, focusing on the disruption and dismantlement of the highest level drug trafficking and money laundering organizations that supply illegal drugs to the U.S. and fuel the attendant violence. This includes resources for the OCDETF Fusion Center (OFC). The OFC is a multi-agency intelligence center whose mission is to analyze fused law enforcement financial and human intelligence information and produce actionable intelligence for use by OCDETF member agencies to disrupt and dismantle those criminal organizations, and their supporting financial structures, posing the greatest illegal drug threat to the United States. Organizations participating under the Investigations function are the Drug Enforcement Administration, Federal Bureau of Investigation, Internal Revenue Service, Bureau of Alcohol, Tobacco, Firearms and Explosives, U.S. Coast Guard, U.S. Marshals Service, and U.S. Immigration and Customs Enforcement. OCDETF also maintains 11 co-located Strike Forces. These are prosecutor-led, intelligence-driven, multi-agency teams, which aggressively target the highest-level drug trafficking organizations. OCDETF strike forces benefit from the combined resources and expertise of all OCDETF's participating investigative agencies as well as state and local law enforcement and prosecutors.

**Prosecution.**—This activity includes resources for the prosecution of cases generated through the investigative efforts of task force agents. Litigation efforts are intended to dismantle drug trafficking and money laundering organizations in their entirety, most notably by targeting the leaders of these organizations. This includes activities designed to secure the seizure and forfeiture of the assets of these enterprises. Participating agencies are the U.S. Attorneys, and the Department of Justice's Criminal Division.

**Transnational Organized Crime Investigations.**—This activity includes resources for the International Organized Crime Intelligence and Operations Center (IOC-2), which is a multi-agency intelligence center whose mission is to significantly disrupt and dismantle those international criminal organizations posing the greatest threat to the United States. Multiple agencies participate in IOC-2 activities and related investigations.

The 2014 request includes a \$3 million enhancement for the International Organized Crime and Intelligence Center (IOC-2) which provides critical long term and large scale law enforcement

intelligence and investigative support to its multi-agency partners targeting the highest level transnational criminal organizations.

## Object Classification (in millions of dollars)

| Identification code 15-0323-0-1-751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 2           | 2       | 2         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 528         | 530     | 519       |
| 99.0 Direct obligations .....                            | 532         | 534     | 523       |
| 99.0 Reimbursable obligations .....                      | 45          | 55      | 55        |
| 99.9 Total new obligations .....                         | 577         | 589     | 578       |

## Employment Summary

| Identification code 15-0323-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 22          | 22      | 22        |

## FEDERAL BUREAU OF INVESTIGATION

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses of the Federal Bureau of Investigation for detection, investigation, and prosecution of crimes against the United States, \$8,361,687,000: Provided, That not to exceed \$216,900,000 shall remain available until expended: Provided further, That not to exceed \$184,500 shall be available for official reception and representation expenses.*

## (CANCELLATION)

*Of the unobligated balances from prior year appropriations available under this heading, \$150,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 15-0200-0-1-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Intelligence .....   | 1,380       | 1,381   | 1,399     |
| 0002 Counterterrorism/Counterintelligence .....                                 | 2,979       | 2,991   | 3,171     |
| 0003 Criminal Enterprises and Federal Crimes .....                              | 2,440       | 2,444   | 2,519     |
| 0004 Criminal Justice Services .....  | 92          | 91      | 223       |
| 0091 Total operating expenses .....   | 6,891       | 6,907   | 7,312     |
| 0201 Intelligence .....   | 316         | 315     | 311       |
| 0202 Counterterrorism/Counterintelligence .....                                 | 274         | 251     | 216       |
| 0203 Criminal Enterprises and Federal Crimes .....                              | 200         | 194     | 164       |
| 0204 Criminal Justice Services .....  | 412         | 419     | 359       |
| 0291 Total capital investment .....   | 1,202       | 1,179   | 1,050     |
| 0300 Total .....  | 8,093       | 8,086   | 8,362     |
| 0799 Total direct obligations .....   | 8,093       | 8,086   | 8,362     |
| 0801 Reimbursable program activity .....  | 1,314       | 1,564   | 1,586     |
| 0900 Total new obligations .....  | 9,407       | 9,650   | 9,948     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 454         | 492     | 492       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                 | 245         | 286     | .....     |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 88          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 52          | .....   | .....     |

|  |   |        |        |         |
|--|---|--------|--------|---------|
| 1050   | Unobligated balance (total) .....                               | 594    | 492    | 492     |
| Budget authority:  |   |        |        |         |
| Appropriations, discretionary:                                 |   |        |        |         |
| 1100   | Appropriation .....   | 8,037  | 8,086  | 8,362   |
| 1100   | Appropriation, Emergency .....                                  |        | 10     |         |
| 1120   | Appropriations transferred to other accts [15–0132] .....       | –10    | –10    |         |
| 1121   | Appropriations transferred from other accts [11–1070] ....      | 2      |        |         |
| 1131   | Unobligated balance of appropriations permanently reduced ..... |        |        | –150    |
| 1160   | Appropriation, discretionary (total) .....                      | 8,029  | 8,086  | 8,212   |
| Spending authority from offsetting collections, discretionary: |   |        |        |         |
| 1700   | Collected .....   | 954    | 1,424  | 1,444   |
| 1701   | Change in uncollected payments, Federal sources .....           | 502    |        |         |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 1,456  | 1,424  | 1,444   |
| Spending authority from offsetting collections, mandatory:     |   |        |        |         |
| 1800   | Collected .....   |        | 140    | 142     |
| 1850   | Spending auth from offsetting collections, mand (total) .....   |        | 140    | 142     |
| 1900   | Budget authority (total) .....                                  | 9,485  | 9,650  | 9,798   |
| 1930   | Total budgetary resources available .....                       | 10,079 | 10,142 | 10,290  |
| Memorandum (non-add) entries:                                  |   |        |        |         |
| 1940   | Unobligated balance expiring .....                              | –180   |        |         |
| 1941   | Unexpired unobligated balance, end of year .....                | 492    | 492    | 342     |
| <b>Change in obligated balance:</b>                            |   |        |        |         |
| Unpaid obligations:  |   |        |        |         |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 2,770  | 2,757  | 2,803   |
| 3010   | Obligations incurred, unexpired accounts .....                  | 9,407  | 9,650  | 9,948   |
| 3011   | Obligations incurred, expired accounts .....                    | 81     |        |         |
| 3020   | Outlays (gross) .....   | –9,228 | –9,604 | –10,237 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | –52    |        |         |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | –221   |        |         |
| 3050   | Unpaid obligations, end of year .....                           | 2,757  | 2,803  | 2,514   |
| Uncollected payments:  |   |        |        |         |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –493   | –569   | –569    |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | –502   |        |         |
| 3071   | Change in uncollected pymts, Fed sources, expired .....         | 426    |        |         |
| 3090   | Uncollected pymts, Fed sources, end of year .....               | –569   | –569   | –569    |
| Memorandum (non-add) entries:                                  |   |        |        |         |
| 3100   | Obligated balance, start of year .....                          | 2,277  | 2,188  | 2,234   |
| 3200   | Obligated balance, end of year .....                            | 2,188  | 2,234  | 1,945   |
| <b>Budget authority and outlays, net:</b>                      |   |        |        |         |
| Discretionary:   |   |        |        |         |
| 4000   | Budget authority, gross .....                                   | 9,485  | 9,510  | 9,656   |
| Outlays, gross:  |   |        |        |         |
| 4010   | Outlays from new discretionary authority .....                  | 7,092  | 7,998  | 8,082   |
| 4011   | Outlays from discretionary balances .....                       | 2,136  | 1,462  | 2,013   |
| 4020   | Outlays, gross (total) .....                                    | 9,228  | 9,460  | 10,095  |
| Offsets against gross budget authority and outlays:            |   |        |        |         |
| Offsetting collections (collected) from:                       |   |        |        |         |
| 4030   | Federal sources .....   | –1,000 | –1,424 | –1,444  |
| 4033   | Non-Federal sources .....                                       | –321   |        |         |
| 4040   | Offsets against gross budget authority and outlays (total) .... | –1,321 | –1,424 | –1,444  |
| Additional offsets against gross budget authority only:        |   |        |        |         |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....       | –502   |        |         |
| 4052   | Offsetting collections credited to expired accounts .....       | 367    |        |         |
| 4060   | Additional offsets against budget authority only (total) .....  | –135   |        |         |
| 4070   | Budget authority, net (discretionary) .....                     | 8,029  | 8,086  | 8,212   |
| 4080   | Outlays, net (discretionary) .....                              | 7,907  | 8,036  | 8,651   |
| Mandatory:   |   |        |        |         |
| 4090   | Budget authority, gross .....                                   |        | 140    | 142     |
| Outlays, gross:  |   |        |        |         |
| 4100   | Outlays from new mandatory authority .....                      |        | 140    | 142     |
| 4101   | Outlays from mandatory balances .....                           |        | 4      |         |
| 4110   | Outlays, gross (total) .....                                    |        | 144    | 142     |
| Offsets against gross budget authority and outlays:            |   |        |        |         |
| Offsetting collections (collected) from:                       |   |        |        |         |
| 4120   | Federal sources .....   |        | –140   | –142    |
| 4180   | Budget authority, net (total) .....                             | 8,029  | 8,086  | 8,212   |
| 4190   | Outlays, net (total) .....                                      | 7,907  | 8,040  | 8,651   |

The mission of the FBI is to protect the United States from terrorist and foreign intelligence activities; to uphold the law through the investigation of violations of federal criminal law; to provide leadership and assistance to federal, state, local, and international law enforcement agencies; and to perform these

responsibilities in a manner that is responsive to the needs of the public and is faithful to the Constitution of the United States.

Protecting America in the current challenging national security and criminal environment requires that the FBI refocus its priorities; realign its workforce to address these priorities; and improve management policies and operating procedures to enhance flexibility, agility, effectiveness, and accountability. The FBI's strategic priorities are, in order:

- Protect the United States from terrorist attack,
- Protect the United States against foreign intelligence operations and espionage,
- Protect the United States against cyber-based attacks and high technology crimes,
- Combat public corruption at all levels of government,
- Protect civil rights,
- Combat transnational and national criminal organizations and enterprises,
- Combat major white-collar crime,
- Combat significant violent crime,
- Support federal, state, county, municipal, and international partners,
- Upgrade technology to successfully perform the FBI's mission.

FBI investigations and operations are conducted through a network of 56 major field offices, 366 smaller field offices (resident agencies), and three information technology centers located throughout the United States; the FBI Academy and engineering complex at Quantico, Virginia; a fingerprint identification and criminal justice information services center in Clarksburg, West Virginia; over 60 foreign liaison posts; and FBI Headquarters in Washington, D.C.

A number of FBI activities are carried out on a reimbursable basis. For example, the FBI is reimbursed for its participation in Interagency Crime and Drug Enforcement programs and by other federal agencies for certain investigative services, such as pre-employment background inquiries and fingerprint and name checks. The FBI is also authorized to conduct fingerprint and name checks for certain non-federal agencies.

For 2014, the FBI proposes \$215 million in program enhancements. These enhancements support National Security, Cyber Security, and financial and mortgage fraud investigations. In addition, an increase is included to double the capacity of the FBI's National Instant Criminal Background Check System (NICS). Also proposed is \$61 million in program offsets, to include administrative savings and low-priority program reductions.

#### Object Classification (in millions of dollars)

| Identification code 15–0200–0–1–999                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 2,870       | 3,018   | 3,097     |
| 11.3 Other than full-time permanent .....                       | 14          |         |           |
| 11.5 Other personnel compensation .....                         | 374         | 404     | 412       |
| 11.9 Total personnel compensation .....                         | 3,258       | 3,422   | 3,509     |
| 12.1 Civilian personnel benefits .....                          | 1,258       | 1,279   | 1,347     |
| 13.0 Benefits for former personnel .....                        | 1           | 2       |           |
| 21.0 Travel and transportation of persons .....                 | 221         | 235     | 227       |
| 22.0 Transportation of things .....                             | 17          | 22      | 29        |
| 23.1 Rental payments to GSA .....                               | 548         | 597     | 605       |
| 23.2 Rental payments to others .....                            | 85          | 45      | 66        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 167         | 179     | 175       |
| 24.0 Printing and reproduction .....                            | 2           | 2       | 3         |
| 25.1 Advisory and assistance services .....                     | 342         | 386     | 375       |
| 25.2 Other services from non-Federal sources .....              | 1,192       | 1,088   | 1,102     |
| 25.3 Other goods and services from Federal sources .....        | 67          | 54      | 50        |
| 25.4 Operation and maintenance of facilities .....              | 59          | 45      | 54        |
| 25.5 Research and development contracts .....                   |             | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 64          | 56      | 56        |
| 25.8 Subsistence and support of persons .....                   |             | 2       | 16        |

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

| Identification code 15-0200-0-1-999         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 26.0 Supplies and materials .....           | 173         | 140     | 161       |
| 31.0 Equipment .....                        | 575         | 500     | 544       |
| 32.0 Land and structures .....              | 63          | 30      | 41        |
| 42.0 Insurance claims and indemnities ..... | 1           | 1       | 1         |
| 99.0 Direct obligations .....               | 8,093       | 8,086   | 8,362     |
| 99.0 Reimbursable obligations .....         | 1,314       | 1,564   | 1,586     |
| 99.9 Total new obligations .....            | 9,407       | 9,650   | 9,948     |

## Employment Summary

| Identification code 15-0200-0-1-999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 32,381      | 32,807  | 33,292    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3,138       | 3,150   | 3,150     |

## CONSTRUCTION

For necessary expenses, to include the cost of equipment, furniture, and information technology requirements, related to construction or acquisition of buildings, facilities and sites by purchase, or as otherwise authorized by law; conversion, modification and extension of Federally-owned buildings; preliminary planning and design of projects; and operation and maintenance of secure work environment facilities and secure network capabilities; \$80,982,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15-0203-0-1-751                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Construction .....   |             | 81      | 81        |
| 0006 SCIFs and Work Environment .....                             | 89          |         |           |
| 0011 FBI Academy .....  | 5           |         |           |
| 0013 Biometrics Technology Center .....                           | 3           |         |           |
| 0014 Terrorists Explosive Devices Analytical Center .....         | 8           |         |           |
| 0900 Total new obligations .....                                  | 105         | 81      | 81        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 104         | 97      | 97        |
| 1021 Recoveries of prior year unpaid obligations .....            | 17          |         |           |
| 1050 Unobligated balance (total) .....                            | 121         | 97      | 97        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 81          | 81      | 81        |
| 1160 Appropriation, discretionary (total) .....                   | 81          | 81      | 81        |
| 1930 Total budgetary resources available .....                    | 202         | 178     | 178       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 97          | 97      | 97        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 395         | 316     | 296       |
| 3010 Obligations incurred, unexpired accounts .....               | 105         | 81      | 81        |
| 3020 Outlays (gross) .....  | -167        | -101    | -184      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -17         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 316         | 296     | 193       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 395         | 316     | 296       |
| 3200 Obligated balance, end of year .....                         | 316         | 296     | 193       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 81          | 81      | 81        |

|   |     |     |     |
|---|-----|-----|-----|
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 26  | 8   | 8   |
| 4011 Outlays from discretionary balances .....      | 141 | 93  | 176 |
| 4020 Outlays, gross (total) .....                   | 167 | 101 | 184 |
| 4180 Budget authority, net (total) .....            | 81  | 81  | 81  |
| 4190 Outlays, net (total) .....                     | 167 | 101 | 184 |

For 2014, the FBI is requesting a total of \$81 million for Sensitive Compartmented Information Facilities (SCIF) and Secure Work Environments (SWE) buildouts and renovations at the FBI Academy.

## Object Classification (in millions of dollars)

| Identification code 15-0203-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           |         |           |
| 25.1 Advisory and assistance services .....                     | 53          | 33      | 33        |
| 25.2 Other services from non-Federal sources .....              |             | 12      | 12        |
| 25.4 Operation and maintenance of facilities .....              |             | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               |             | 4       | 4         |
| 26.0 Supplies and materials .....                               | 2           | 1       | 1         |
| 31.0 Equipment .....  | 23          | 18      | 18        |
| 32.0 Land and structures .....                                  | 22          | 12      | 12        |
| 99.9 Total new obligations .....                                | 105         | 81      | 81        |

## DRUG ENFORCEMENT ADMINISTRATION

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of the Drug Enforcement Administration, including not to exceed \$70,000 to meet unforeseen emergencies of a confidential character pursuant to 28 U.S.C. 530C; and expenses for conducting drug education and training programs, including travel and related expenses for participants in such programs and the distribution of items of token value that promote the goals of such programs, \$2,067,952,000; of which not to exceed \$75,000,000 shall remain available until expended and not to exceed \$90,000 shall be available, for official reception and representation expenses.

## (CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$10,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 15-1100-0-1-751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0002 International Enforcement .....  | 437         | 436     | 436       |
| 0003 Domestic Enforcement .....   | 1,688       | 1,697   | 1,657     |
| 0004 State and Local Assistance .....   | 15          | 20      | 5         |
| 0799 Total direct obligations .....   | 2,140       | 2,153   | 2,098     |
| 0801 Reimbursable .....   | 521         | 576     | 557       |
| 0900 Total new obligations .....  | 2,661       | 2,729   | 2,655     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 75          | 66      |           |
| 1011 Unobligated balance transfer from other accts [11-1070] .....              | 1           |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 60          | 40      | 40        |
| 1021 Recoveries of prior year unpaid obligations .....                          | 23          | 27      |           |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| 1050                                      | Unobligated balance (total) .....                               | 159    | 133    | 40     |
|   | Budget authority:   |        |        |        |
|   | Appropriations, discretionary:                                  |        |        |        |
| 1100                                      | Appropriation .....   | 2,025  | 2,037  | 2,068  |
| 1100                                      | Appropriation, Emergency .....                                  |        | 1      |        |
| 1120                                      | Appropriations transferred to other accts [15–0132] .....       | –1     | –1     |        |
| 1121                                      | Appropriations transferred from other accts [15–0406] ....      | 13     | 13     |        |
| 1121                                      | Appropriations transferred from other accts [11–1070] ....      | 15     |        |        |
| 1131                                      | Unobligated balance of appropriations permanently reduced ..... | –10    | –10    | –10    |
| 1160                                      | Appropriation, discretionary (total) .....                      | 2,042  | 2,040  | 2,058  |
|   | Spending authority from offsetting collections, discretionary:  |        |        |        |
| 1700                                      | Collected .....   | 391    | 417    | 425    |
| 1701                                      | Change in uncollected payments, Federal sources .....           | 145    | 139    | 139    |
| 1750                                      | Spending auth from offsetting collections, disc (total) .....   | 536    | 556    | 564    |
| 1900                                      | Budget authority (total) .....                                  | 2,578  | 2,596  | 2,622  |
| 1930                                      | Total budgetary resources available .....                       | 2,737  | 2,729  | 2,662  |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 1940                                      | Unobligated balance expiring .....                              | –10    |        |        |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 66     |        | 7      |
| <b>Change in obligated balance:</b>       |   |        |        |        |
|   | Unpaid obligations:   |        |        |        |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 649    | 657    | 703    |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 2,661  | 2,729  | 2,655  |
| 3011                                      | Obligations incurred, expired accounts .....                    | 12     |        |        |
| 3020                                      | Outlays (gross) .....   | –2,561 | –2,656 | –2,528 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | –23    | –27    |        |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....      | –81    |        |        |
| 3050                                      | Unpaid obligations, end of year .....                           | 657    | 703    | 830    |
|   | Uncollected payments:   |        |        |        |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –157   | –185   | –185   |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | –145   | –139   | –139   |
| 3071                                      | Change in uncollected pymts, Fed sources, expired .....         | 117    | 139    | 139    |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | –185   | –185   | –185   |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 3100                                      | Obligated balance, start of year .....                          | 492    | 472    | 518    |
| 3200                                      | Obligated balance, end of year .....                            | 472    | 518    | 645    |
| <b>Budget authority and outlays, net:</b> |   |        |        |        |
|   | Discretionary:  |        |        |        |
| 4000                                      | Budget authority, gross .....                                   | 2,578  | 2,596  | 2,622  |
|   | Outlays, gross:   |        |        |        |
| 4010                                      | Outlays from new discretionary authority .....                  | 2,059  | 2,084  | 2,106  |
| 4011                                      | Outlays from discretionary balances .....                       | 502    | 572    | 422    |
| 4020                                      | Outlays, gross (total) .....                                    | 2,561  | 2,656  | 2,528  |
|   | Offsets against gross budget authority and outlays:             |        |        |        |
|   | Offsetting collections (collected) from:                        |        |        |        |
| 4030                                      | Federal sources .....   | –492   | –556   | –564   |
| 4033                                      | Non-Federal sources .....                                       | –5     |        |        |
| 4040                                      | Offsets against gross budget authority and outlays (total) .... | –497   | –556   | –564   |
|   | Additional offsets against gross budget authority only:         |        |        |        |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | –145   | –139   | –139   |
| 4052                                      | Offsetting collections credited to expired accounts .....       | 106    | 139    | 139    |
| 4060                                      | Additional offsets against budget authority only (total) .....  | –39    |        |        |
| 4070                                      | Budget authority, net (discretionary) .....                     | 2,042  | 2,040  | 2,058  |
| 4080                                      | Outlays, net (discretionary) .....                              | 2,064  | 2,100  | 1,964  |
| 4180                                      | Budget authority, net (total) .....                             | 2,042  | 2,040  | 2,058  |
| 4190                                      | Outlays, net (total) .....                                      | 2,064  | 2,100  | 1,964  |

The Drug Enforcement Administration's (DEA) mission is to enforce the controlled substances laws and regulations of the United States. DEA's major focus is the disruption and dismantlement of Priority Target Organizations (PTOs)—domestic and international drug trafficking and money laundering organizations having a significant impact on drug availability in the United States. DEA emphasizes PTOs with links to organizations on the Attorney General's Consolidated Priority Organization Target (CPOT) list, which represents the "Most Wanted" drug trafficking and money laundering organizations believed to be primarily responsible for the United States' illicit drug supply. DEA also places a high priority on targeting the financial infrastructure of major drug trafficking organizations and members of the financial community who facilitate the laundering of their proceeds. From 2005 to 2012, DEA denied drug traffickers a cumulative total of \$21.5 billion in revenue through the seizure of

both assets and drugs. In addition to keeping drugs and drug-related violence out of the United States, DEA plays a vital role in the areas of national and border security.

DEA has 223 domestic offices organized in 21 divisions throughout the United States. Internationally, DEA has 86 offices in 67 countries and has responsibility for coordinating and pursuing U.S. drug investigations abroad. Federal, state, local, and international partnerships continue to play an important role in DEA's enforcement efforts. For nearly 40 years DEA has led a task force program that today includes 2,158 task force officers participating in 195 task forces. The El Paso Intelligence Center (EPIC) and Special Operations Divisions (SOD) have become vital resources for federal, state and local law enforcement. Through its Office of National Security Intelligence, DEA ensures that national security information obtained in the course of conducting its drug law enforcement mission is expeditiously shared with the Intelligence Community.

DEA's activities are divided into three main decision units:

**Domestic Enforcement.**—Through effective enforcement efforts and associated support functions, DEA disrupts and dismantles the leadership, command, control, and infrastructure of major drug trafficking syndicates, criminal organizations, and violent drug trafficking groups that threaten the United States. This decision unit contains most of DEA's resources, domestic enforcement groups, state and local task forces, other federal and local task forces, intelligence groups, and all the support functions essential to accomplishing their mission. Strategic objectives have been established as follows:

—Identify and target the national/regional organizations most responsible for the domestic distribution and manufacture of illicit drugs;

—Systematically disrupt or dismantle targeted organizations by arresting/convicting their leaders and facilitators, seizing and forfeiting their assets, targeting their money laundering operations, and destroying their command and control networks; and,

—Work with international offices to dismantle domestic organizations directly affiliated with international cartels.

**International Enforcement.**—DEA works with its foreign counterparts to attack the vulnerabilities in the leadership, production, transportation, communications, finance, and distribution sectors of major international drug trafficking organizations. Strategic objectives include:

—Identify, prioritize, and target the most significant international drug and chemical trafficking organizations;

—Disrupt and dismantle the networks, financial infrastructures, operations, and the resource bases of targeted international drug and chemical trafficking organizations; and,

—Prevent drug trafficking organizations from funding terrorist organizations and activities.

**State and Local Assistance.**—DEA responds to clandestine laboratory training requirements, hazardous waste cleanup, and cannabis eradication/suppression needs of the U.S. law enforcement community. DEA supports state and local law enforcement with methamphetamine-related assistance and training, which allows state and local agencies to better address the methamphetamine threat in their communities and reduce the impact that methamphetamine has on the quality of life for America's citizens. By teaching and assisting others in the techniques of clandestine laboratory drug enforcement, hazardous waste cleanup, and cannabis eradication/suppression, DEA is able to expand drug enforcement across the United States in a cost-effective manner. The strategic objectives are to:

## SALARIES AND EXPENSES—Continued

—Provide clandestine methamphetamine laboratory training to state and local law enforcement officers;

—Assist state and local law enforcement with efforts to clean up hazardous waste from clandestine methamphetamine laboratories; and,

—Assist local efforts to control the production of cannabis.

DEA also receives funding through reimbursable agreements. The primary reimbursements to DEA are for the Organized Crime Drug Enforcement Task Forces (OCDETF) Program and the Department of Justice's (DOJ) Assets Forfeiture Fund (AFF).

DEA's 2014 request for its Salaries and Expenses (S&E) Account includes \$54.9 million in transfers and base adjustments. This includes a total of \$23.8 million in proposed DOJ transfers. Specifically included in this, is \$8.026 million and 57 positions to transfer document and media exploitation functions and the production of high-priority Strategic Intelligence reports from NDIC to DEA.

For 2014, a total of \$11.9 million and 514 positions are proposed in program offsets, to include administrative savings and efficiencies and reductions to low-priority programs. Of the unobligated balances from prior year appropriations, DEA proposes to permanently cancel \$10,000,000.

## Object Classification (in millions of dollars)

| Identification code 15-1100-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 639         | 647     | 632       |
| 11.3 Other than full-time permanent .....                       | 8           | 8       | 8         |
| 11.5 Other personnel compensation .....                         | 111         | 112     | 117       |
| 11.9 Total personnel compensation .....                         | 758         | 767     | 757       |
| 12.1 Civilian personnel benefits .....                          | 335         | 335     | 346       |
| 21.0 Travel and transportation of persons .....                 | 35          | 38      | 34        |
| 22.0 Transportation of things .....                             | 11          | 12      | 11        |
| 23.1 Rental payments to GSA .....                               | 209         | 215     | 213       |
| 23.2 Rental payments to others .....                            | 37          | 38      | 46        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 63          | 65      | 65        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 146         | 115     | 99        |
| 25.2 Other services from non-Federal sources .....              | 166         | 167     | 152       |
| 25.3 Other goods and services from Federal sources .....        | 89          | 108     | 118       |
| 25.4 Operation and maintenance of facilities .....              | 32          | 28      | 24        |
| 25.6 Medical care .....   | 3           | 4       | 4         |
| 25.7 Operation and maintenance of equipment .....               | 99          | 91      | 88        |
| 26.0 Supplies and materials .....                               | 46          | 53      | 43        |
| 31.0 Equipment .....  | 96          | 98      | 78        |
| 32.0 Land and structures .....                                  | 13          | 17      | 18        |
| 42.0 Insurance claims and indemnities .....                     | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 2,140       | 2,153   | 2,098     |
| 99.0 Reimbursable obligations .....                             | 521         | 576     | 557       |
| 99.9 Total new obligations .....                                | 2,661       | 2,729   | 2,655     |

## Employment Summary

| Identification code 15-1100-0-1-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 6,968       | 6,969   | 6,969     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,353       | 1,351   | 1,323     |

## Program and Financing (in millions of dollars)

| Identification code 15-1101-0-1-751                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Construction .....                              | 10          | 10      | .....     |
| 0900 Total new obligations (object class 32.0) ..... | 10          | 10      | .....     |

## Budgetary Resources:

|   |    |    |       |
|---|----|----|-------|
| Budget authority:                               |    |    |       |
| Appropriations, discretionary:                  |    |    |       |
| 1100 Appropriation .....                        | 10 | 10 | ..... |
| 1160 Appropriation, discretionary (total) ..... | 10 | 10 | ..... |
| 1930 Total budgetary resources available .....  | 10 | 10 | ..... |

## Change in obligated balance:

|   |       |     |       |
|---|-------|-----|-------|
| Unpaid obligations:                                   |       |     |       |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | ..... | 10  | 5     |
| 3010 Obligations incurred, unexpired accounts .....   | 10    | 10  | ..... |
| 3020 Outlays (gross) .....                            | ..... | -15 | -4    |
| 3050 Unpaid obligations, end of year .....            | 10    | 5   | 1     |
| Memorandum (non-add) entries:                         |       |     |       |
| 3100 Obligated balance, start of year .....           | ..... | 10  | 5     |
| 3200 Obligated balance, end of year .....             | 10    | 5   | 1     |

## Budget authority and outlays, net:

|   |       |    |       |
|---|-------|----|-------|
| Discretionary:                                      |       |    |       |
| 4000 Budget authority, gross .....                  | 10    | 10 | ..... |
| Outlays, gross:                                     |       |    |       |
| 4010 Outlays from new discretionary authority ..... | ..... | 8  | ..... |
| 4011 Outlays from discretionary balances .....      | ..... | 7  | 4     |
| 4020 Outlays, gross (total) .....                   | ..... | 15 | 4     |
| 4180 Budget authority, net (total) .....            | 10    | 10 | ..... |
| 4190 Outlays, net (total) .....                     | ..... | 15 | 4     |

## DIVERSION CONTROL FEE ACCOUNT

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 15-5131-0-2-751           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....             | .....       | .....   | .....     |
| Receipts:                                     |             |         |           |
| 0260 Diversion Control Fee Account, DEA ..... | 294         | 336     | 356       |
| 0400 Total: Balances and collections .....    | 294         | 336     | 356       |
| Appropriations:                               |             |         |           |
| 0500 Diversion Control Fee Account .....      | -294        | -336    | -356      |
| 0799 Balance, end of year .....               | .....       | .....   | .....     |

## Program and Financing (in millions of dollars)

| Identification code 15-5131-0-2-751                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                       |             |         |           |
| 0001 Diversion Control .....                           | 294         | 352     | 361       |
| Budgetary Resources:                                   |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 42          | 52      | 50        |
| 1021 Recoveries of prior year unpaid obligations ..... | 10          | 14      | 14        |
| 1050 Unobligated balance (total) .....                 | 52          | 66      | 64        |
| Budget authority:                                      |             |         |           |
| Appropriations, mandatory:                             |             |         |           |
| 1201 Appropriation (special or trust fund) .....       | 294         | 336     | 356       |
| 1260 Appropriations, mandatory (total) .....           | 294         | 336     | 356       |
| 1930 Total budgetary resources available .....         | 346         | 402     | 420       |
| Memorandum (non-add) entries:                          |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 52          | 50      | 59        |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 63   | 55   | 61   |
| 3010 Obligations incurred, unexpired accounts .....               | 294  | 352  | 361  |
| 3020 Outlays (gross) .....  | -292 | -332 | -333 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -10  | -14  | -14  |
| 3050 Unpaid obligations, end of year .....                        | 55   | 61   | 75   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 63   | 55   | 61   |
| 3200 Obligated balance, end of year .....                         | 55   | 61   | 75   |

## Budget authority and outlays, net:

|                                    |     |     |     |
|------------------------------------|-----|-----|-----|
| Mandatory:                         |     |     |     |
| 4090 Budget authority, gross ..... | 294 | 336 | 356 |

|                 |  |     |     |     |
|-----------------|--|-----|-----|-----|
| Outlays, gross: |  |     |     |     |
| 4100            | Outlays from new mandatory authority ..... | 246 | 252 | 267 |
| 4101            | Outlays from mandatory balances .....      | 46  | 80  | 66  |
| 4110            | Outlays, gross (total) .....               | 292 | 332 | 333 |
| 4180            | Budget authority, net (total) .....        | 294 | 336 | 356 |
| 4190            | Outlays, net (total) .....                 | 292 | 332 | 333 |

Public Law 102–395 established the Diversion Control Fee Account in 1993. Fees charged by the Drug Enforcement Administration under the Diversion Control Program are set at a level that ensures the recovery of the full costs of operating this program. By carrying out the mandates of the Controlled Substances Act (CSA), DEA ensures that adequate supplies of controlled drugs are available to meet legitimate medical, scientific, industrial, and export needs, while preventing, detecting, and eliminating diversion of these substances to illicit traffic. The CSA requires physicians, pharmacists, and chemical companies to register with the DEA in order to distribute or manufacture controlled substances or listed chemicals. Investigations conducted by DEA's Diversion Control Program fall into two distinct categories: the diversion of legitimately manufactured pharmaceutical controlled substances and the diversion of controlled chemicals (List I and II) used in the illicit manufacture of controlled substances. Strategic objectives include:

- Identify and target those responsible for the diversion of pharmaceutical controlled substances through traditional investigation and cybercrime initiatives to systematically disrupt and dismantle those entities involved in diversion schemes;

- Support the registrant population with improved technology, including e-commerce and customer support, while maintaining cooperation, support and assistance from the regulated industry;

- Educate the public on the dangers of prescription drug abuse and taking proactive enforcement measures to combat emerging drug trends; and,

- Ensure an adequate and uninterrupted supply of pharmaceutical controlled substances and listed chemicals to meet legitimate medical, commercial, and scientific needs.

For 2014, \$8.98 million is requested for base adjustments.

#### Object Classification (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 15–5131–0–2–751 |  | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 130         | 144     | 150       |
| 11.3                                | Other than full-time permanent .....                       | 1           | 1       | 2         |
| 11.5                                | Other personnel compensation .....                         | 9           | 11      | 11        |
| 11.9                                | Total personnel compensation .....                         | 140         | 156     | 163       |
| 12.1                                | Civilian personnel benefits .....                          | 38          | 46      | 49        |
| 21.0                                | Travel and transportation of persons .....                 | 4           | 6       | 6         |
| 22.0                                | Transportation of things .....                             | 1           | 2       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 25          | 28      | 28        |
| 23.2                                | Rental payments to others .....                            | 1           | 1       | 2         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 5           | 8       | 8         |
| 24.0                                | Printing and reproduction .....                            | 4           | 5       | 5         |
| 25.1                                | Advisory and assistance services .....                     | 35          | 45      | 45        |
| 25.2                                | Other services from non-Federal sources .....              | 10          | 15      | 15        |
| 25.3                                | Other goods and services from Federal sources .....        | 7           | 9       | 8         |
| 25.4                                | Operation and maintenance of facilities .....              | 2           | 3       | 3         |
| 25.6                                | Medical care .....   | 1           |         |           |
| 25.7                                | Operation and maintenance of equipment .....               | 7           | 9       | 9         |
| 26.0                                | Supplies and materials .....                               | 5           | 7       | 7         |
| 31.0                                | Equipment .....  | 8           | 10      | 10        |
| 32.0                                | Land and structures .....                                  | 1           | 2       | 2         |
| 99.9                                | Total new obligations .....                                | 294         | 352     | 361       |

#### Employment Summary

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 15–5131–0–2–751                        | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment ..... | 1,335       | 1,347   | 1,347     |

## BUREAU OF ALCOHOL, TOBACCO, FIREARMS, AND EXPLOSIVES

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Bureau of Alcohol, Tobacco, Firearms and Explosives, for training of State and local law enforcement agencies with or without reimbursement, including training in connection with the training and acquisition of canines for explosives and fire accelerants detection; and for provision of laboratory assistance to State and local law enforcement agencies, with or without reimbursement, \$1,229,518,000, of which not to exceed \$36,000 shall be for official reception and representation expenses, not to exceed \$1,000,000 shall be available for the payment of attorneys' fees as provided by section 924(d)(2) of title 18, United States Code, and not to exceed \$20,000,000 shall remain available until expended: Provided, That no funds appropriated herein shall be available for salaries or administrative expenses in connection with consolidating or centralizing, within the Department of Justice, the records, or any portion thereof, of acquisition and disposition of firearms maintained by Federal firearms licensees: Provided further, That none of the funds appropriated herein shall be available to investigate or act upon applications for relief from Federal firearms disabilities under 18 U.S.C. 925(c): Provided further, That such funds shall be available to investigate and act upon applications filed by corporations for relief from Federal firearms disabilities under section 925(c) of title 18, United States Code: Provided further, That no funds made available by this or any other Act may be used to transfer the functions, missions, or activities of the Bureau of Alcohol, Tobacco, Firearms and Explosives to other agencies or Departments: Provided further, That no funds appropriated under this or any other Act may be used to disclose part or all of the contents of the Firearms Trace System database maintained by the National Trace Center of the Bureau of Alcohol, Tobacco, Firearms and Explosives or any information required to be kept by licensees pursuant to section 923(g) of title 18, United States Code, or required to be reported pursuant to paragraphs (3) and (7) of such section, except to: (1) a Federal, State, local, or tribal law enforcement agency, or a Federal, State, or local prosecutor; or (2) a foreign law enforcement agency solely in connection with or for use in a criminal investigation or prosecution; or (3) a Federal agency for a national security or intelligence purpose; unless such disclosure of such data to any of the entities described in (1), (2) or (3) of this proviso would compromise the identity of any undercover law enforcement officer or confidential informant, or interfere with any case under investigation; and no person or entity described in (1), (2) or (3) shall knowingly and publicly disclose such data; and all such data shall be immune from legal process, shall not be subject to subpoena or other discovery, shall be inadmissible in evidence, and shall not be used, relied on, or disclosed in any manner, nor shall testimony or other evidence be permitted based on the data, in a civil action in any State (including the District of Columbia) or Federal court or in an administrative proceeding other than a proceeding commenced by the Bureau of Alcohol, Tobacco, Firearms and Explosives to enforce the provisions of chapter 44 of such title, or a review of such an action or proceeding; except that this proviso shall not be construed to prevent: (A) the disclosure of statistical information concerning total production, importation, and exportation by each licensed importer (as defined in section 921(a)(9) of such title) and licensed manufacturer (as defined in section 921(a)(10) of such title); (B) the sharing or exchange of such information among and between Federal, State, local, or foreign law enforcement agencies, Federal, State, or local prosecutors, and Federal national security, intelligence, or counterterrorism officials; or (C) the publication of annual statistical reports on products regulated by the Bureau of Alcohol, Tobacco, Firearms and Explosives, including total production, importation, and exportation by each licensed importer (as so defined) and licensed manufacturer (as so defined), or statistical aggregate data regarding firearms traffickers and trafficking channels, or firearms misuse, felons, and trafficking investig-*

## SALARIES AND EXPENSES—Continued

*ations: Provided further, That no funds made available by this or any other Act may be used to electronically retrieve information gathered pursuant to 18 U.S.C. 923(g)(4) by name or any personal identification code: Provided further, That no funds authorized or made available under this or any other Act may be used to deny any application for a license under section 923 of title 18, United States Code, or renewal of such a license due to a lack of business activity, provided that the applicant is otherwise eligible to receive such a license, and is eligible to report business income or to claim an income tax deduction for business expenses under the Internal Revenue Code of 1986.*

## (CANCELLATION)

*Of the unobligated balances from prior year appropriations available under this heading, \$12,400,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15–0700–0–1–751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0003 Firearms .....   | 903         | 881     | .....     |
| 0004 Arson and Explosives .....   | 242         | 256     | .....     |
| 0005 Alcohol and Tobacco .....  | 20          | 22      | .....     |
| 0006 Law Enforcement Operations .....   | .....       | .....   | 1,064     |
| 0007 Investigative Support Services .....                                       | .....       | .....   | 166       |
| 0192 Total Direct Program .....   | 1,165       | 1,159   | 1,230     |
| 0799 Total direct obligations .....   | 1,165       | 1,159   | 1,230     |
| 0801 Reimbursable program .....   | 104         | 125     | 125       |
| 0900 Total new obligations .....  | 1,269       | 1,284   | 1,355     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 35          | 28      | 28        |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 3           | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 5           | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 43          | 28      | 28        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 1,152       | 1,159   | 1,229     |
| 1131 Unobligated balance of appropriations permanently reduced .....            | .....       | .....   | –12       |
| 1160 Appropriation, discretionary (total) .....                                 | 1,152       | 1,159   | 1,217     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 46          | 125     | 125       |
| 1701 Change in uncollected payments, Federal sources .....                      | 58          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 104         | 125     | 125       |
| 1900 Budget authority (total) .....   | 1,256       | 1,284   | 1,342     |
| 1930 Total budgetary resources available .....                                  | 1,299       | 1,312   | 1,370     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –2          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                           | 28          | 28      | 15        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 243         | 259     | 200       |
| 3010 Obligations incurred, unexpired accounts .....                             | 1,269       | 1,284   | 1,355     |
| 3011 Obligations incurred, expired accounts .....                               | 10          | .....   | .....     |
| 3020 Outlays (gross) .....  | –1,231      | –1,343  | –1,372    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –5          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –27         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                      | 259         | 200     | 183       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –60         | –67     | –67       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | –58         | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 51          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | –67         | –67     | –67       |

## Memorandum (non-add) entries:

|  |       |       |       |
|--|-------|-------|-------|
| 3100 Obligated balance, start of year .....                          | 183   | 192   | 133   |
| 3200 Obligated balance, end of year .....                            | 192   | 133   | 116   |
| <b>Budget authority and outlays, net:</b>                            |       |       |       |
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 1,256 | 1,284 | 1,342 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 1,034 | 1,144 | 1,231 |
| 4011 Outlays from discretionary balances .....                       | 197   | 199   | 141   |
| 4020 Outlays, gross (total) .....                                    | 1,231 | 1,343 | 1,372 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | –86   | –125  | –125  |
| 4033 Non-Federal sources .....                                       | –1    | ..... | ..... |
| 4040 Offsets against gross budget authority and outlays (total) .... | –87   | –125  | –125  |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –58   | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts .....       | 41    | ..... | ..... |
| 4060 Additional offsets against budget authority only (total) .....  | –17   | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                     | 1,152 | 1,159 | 1,217 |
| 4080 Outlays, net (discretionary) .....                              | 1,144 | 1,218 | 1,247 |
| 4180 Budget authority, net (total) .....                             | 1,152 | 1,159 | 1,217 |
| 4190 Outlays, net (total) .....                                      | 1,144 | 1,218 | 1,247 |

ATF is the U.S. law enforcement agency dedicated to protecting our Nation from the illicit use of firearms and explosives in violent crime and acts of terrorism. ATF protects our communities from violent criminals and criminal organizations by investigating and preventing the illegal use and trafficking of firearms, the illegal use and improper storage of explosives, acts of arson and bombings, and the illegal diversion of alcohol and tobacco products. ATF regulates the firearms and explosives industries from manufacture and/or importation through retail sale to ensure that Federal Firearms Licensees (FFLs) and Federal Explosives Licensees (FELs) and permittees conduct business in compliance with all applicable laws and regulations.

## Object Classification (in millions of dollars)

| Identification code 15–0700–0–1–751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 463         | 449     | 486       |
| 11.3 Other than full-time permanent .....                       | 1           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 67          | 80      | 80        |
| 11.9 Total personnel compensation .....                         | 531         | 531     | 568       |
| 12.1 Civilian personnel benefits .....                          | 227         | 214     | 243       |
| 13.0 Benefits for former personnel .....                        | 4           | .....   | .....     |
| 21.0 Travel and transportation of persons .....                 | 23          | 24      | 24        |
| 22.0 Transportation of things .....                             | 4           | 3       | 2         |
| 23.1 Rental payments to GSA .....                               | 87          | 92      | 97        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 28          | 26      | 31        |
| 24.0 Printing and reproduction .....                            | 2           | 1       | 2         |
| 25.2 Other services from non-Federal sources .....              | 197         | 180     | 143       |
| 26.0 Supplies and materials .....                               | 21          | 29      | 30        |
| 31.0 Equipment .....  | 34          | 52      | 81        |
| 32.0 Land and structures .....                                  | 7           | 7       | 9         |
| 99.0 Direct obligations .....                                   | 1,165       | 1,159   | 1,230     |
| 99.0 Reimbursable obligations .....                             | 104         | 125     | 125       |
| 99.9 Total new obligations .....                                | 1,269       | 1,284   | 1,355     |

## Employment Summary

| Identification code 15–0700–0–1–751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 4,772       | 4,748   | 4,876     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 57          | 55      | 55        |



## CONSTRUCTION

## Program and Financing (in millions of dollars)

| Identification code 15-0720-0-1-751                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 National Center for Explosives Training and Research .....   | 1           |         |           |
| 0900 Total new obligations (object class 32.0) .....              | 1           |         |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 1           |         |           |
| 1930 Total budgetary resources available .....                    | 1           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2           | 1       |           |
| 3010 Obligations incurred, unexpired accounts .....               | 1           |         |           |
| 3020 Outlays (gross) .....  | -1          | -1      |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 1           |         |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 2           | 1       |           |
| 3200 Obligated balance, end of year .....                         | 1           |         |           |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 1           | 1       |           |
| 4190 Outlays, net (total) .....                                   | 1           | 1       |           |

## 【VIOLENT CRIME REDUCTION PROGRAM】

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15-8528-0-1-751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1029 Other balances withdrawn .....                   |             |         | -1        |
| 1050 Unobligated balance (total) .....                | 1           | 1       |           |
| 1930 Total budgetary resources available .....        | 1           | 1       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       |           |

## FEDERAL PRISON SYSTEM

## Federal Funds

## SALARIES AND EXPENSES

## (INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Federal Prison System for the administration, operation, and maintenance of Federal penal and correctional institutions, and for the provision of technical assistance and advice on corrections related issues to foreign governments, \$6,831,150,000: Provided, That the Attorney General may transfer to the Health Resources and Services Administration such amounts as may be necessary for direct expenditures by that Administration for medical relief for inmates of Federal penal and correctional institutions: Provided further, That the Director of the Federal Prison System, where necessary, may enter into contracts with a fiscal agent or fiscal intermediary claims processor to determine the amounts payable to persons who, on behalf of the Federal Prison System, furnish health services to individuals committed to the custody

of the Federal Prison System: Provided further, That not to exceed \$5,400 shall be available for official reception and representation expenses: Provided further, That not to exceed \$50,000,000 shall remain available for necessary operations until September 30, 2015: Provided further, That, of the amounts provided for contract confinement, not to exceed \$20,000,000 shall remain available until expended to make payments in advance for grants, contracts and reimbursable agreements, and other expenses: Provided further, That the Director of the Federal Prison System may accept donated property and services relating to the operation of the prison card program from a not-for-profit entity which has operated such program in the past notwithstanding the fact that such not-for-profit entity furnishes services under contracts to the Federal Prison System relating to the operation of pre-release services, halfway houses, or other custodial facilities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15-1060-0-1-753   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Inmate Care and Programs .....   | 2,350       | 2,421   | 2,480     |
| 0002 Institution Security and Administration .....                              | 2,802       | 2,880   | 2,966     |
| 0003 Contract Confinement .....   | 1,014       | 1,040   | 1,114     |
| 0004 Management and Administration .....  | 202         | 210     | 210       |
| 0091 Total operating expenses .....   | 6,368       | 6,551   | 6,770     |
| 0101 Capital investment: Institutional improvements .....                       | 100         | 40      | 61        |
| 0192 Total direct program .....   | 6,468       | 6,591   | 6,831     |
| 0799 Total direct obligations .....   | 6,468       | 6,591   | 6,831     |
| 0801 Reimbursable program .....   | 50          | 50      | 52        |
| 0900 Total new obligations .....  | 6,518       | 6,641   | 6,883     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 3           | 5       | 5         |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 30          |         |           |
| 1050 Unobligated balance (total) .....  | 33          | 5       | 5         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 6,551       | 6,591   | 6,831     |
| 1120 Appropriations transferred to other accts [15-1003] .....                  | -9          |         |           |
| 1120 Appropriations transferred to other accts [15-1060] .....                  | -97         |         |           |
| 1121 Appropriations transferred from other accts [15-1060] .....                | 97          |         |           |
| 1121 Appropriations transferred from other accts [15-0401] .....                | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 6,543       | 6,591   | 6,831     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 45          | 50      | 52        |
| 1701 Change in uncollected payments, Federal sources .....                      | 6           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 51          | 50      | 52        |
| 1900 Budget authority (total) .....   | 6,594       | 6,641   | 6,883     |
| 1930 Total budgetary resources available .....                                  | 6,627       | 6,646   | 6,888     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | -104        |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 805         | 744     | 753       |
| 3010 Obligations incurred, unexpired accounts .....                             | 6,518       | 6,641   | 6,883     |
| 3011 Obligations incurred, expired accounts .....                               | 10          |         |           |
| 3020 Outlays (gross) .....  | -6,566      | -6,632  | -6,860    |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -23         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 744         | 753     | 776       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -10         | -9      | -9        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | -6          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 7           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -9          | -9      | -9        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 795         | 735     | 744       |
| 3200 Obligated balance, end of year .....                                       | 735         | 744     | 767       |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 15–1060–0–1–753                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 6,594       | 6,641   | 6,883     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 5,809       | 5,982   | 6,200     |
| 4011 Outlays from discretionary balances .....                       | 757         | 650     | 660       |
| 4020 Outlays, gross (total) .....                                    | 6,566       | 6,632   | 6,860     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   |             |         | –1        |
| 4033 Non-Federal sources .....                                       | –51         | –50     | –51       |
| 4040 Offsets against gross budget authority and outlays (total) .... | –51         | –50     | –52       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –6          |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 6           |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 6,543       | 6,591   | 6,831     |
| 4080 Outlays, net (discretionary) .....                              | 6,515       | 6,582   | 6,808     |
| 4180 Budget authority, net (total) .....                             | 6,543       | 6,591   | 6,831     |
| 4190 Outlays, net (total) .....                                      | 6,515       | 6,582   | 6,808     |

This appropriation will provide for the custody and care of an average daily population of over 222,400 offenders and for the maintenance and operation of 122 penal institutions, regional offices, and a central office located in Washington, D.C. The appropriation also finances the incarceration of sentenced Federal prisoners in State and local jails and other facilities for short periods of time. An average daily population of about 41,900 sentenced prisoners will be in contract facilities in 2014. The Federal Prison System (FPS) also receives reimbursements for the daily care and maintenance of state and local offenders, for utilities used by Federal Prison Industries, Inc., for staff housing, and for meals purchased by FPS staff at institutions.

**Inmate Care and Programs.**—This activity covers the costs of all food, medical supplies, clothing, welfare services, release clothing, transportation, gratuities, staff salaries (including salaries of Health Resources and Services Administration commissioned officers), and operational costs of functions directly related to providing inmate care. This decision unit also finances the costs of GED classes and other educational programs, vocational training, drug treatment, religious programs, psychological services, and other inmate programs such as Life Connections.

**Institution Security and Administration.**—This activity covers costs associated with the maintenance of facilities and institution security. This activity finances institution maintenance, motor pool operations, powerhouse operations, institution security, and other administrative functions.

**Contract Confinement.**—This activity provides for the confinement of sentenced Federal offenders in a Government-owned, contractor-operated facility, and State, local, and private contract facilities. It also provides for the care of Federal prisoners in contract community residential centers and covers the costs associated with management and oversight of contract confinement functions. This activity also funds assistance by the National Institute of Corrections to State and local corrections.

**Management and Administration.**—This activity covers all costs associated with general administration and provides funding for the central office, regional offices, and staff training centers. Also included are oversight functions of the executive staff and regional and central office program managers in the areas of: budget development and execution; financial management; procurement and property management; human resource management; inmate systems management; safety; legal counsel; research and evaluation; and systems support.

For 2014, a net increase of \$65.6 million in program changes are proposed. The request includes \$166.3 million in program enhancements to begin the activation process for three institutions (Federal Correctional Institution at Hazelton, West Virginia, United States Penitentiary at Yazoo City, Mississippi, and ADX United States Penitentiary at Thomson, Illinois), to acquire 1,000 private contract beds, and to expand the Reentry and Recidivism Reducing Programs. Finally, \$100.7 million in offsets are included for: a proposed legislative initiative that would allow additional Good Conduct Time for inmates, information technology savings, realignment of administrative operations, and to cap payments for BOP Medical Services Contracts.

## Object Classification (in millions of dollars)

| Identification code 15–1060–0–1–753                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 2,250       | 2,295   | 2,400     |
| 11.3 Other than full-time permanent .....                       | 6           | 6       | 6         |
| 11.5 Other personnel compensation .....                         | 216         | 220     | 223       |
| 11.9 Total personnel compensation .....                         | 2,472       | 2,521   | 2,629     |
| 12.1 Civilian personnel benefits .....                          | 1,233       | 1,258   | 1,318     |
| 13.0 Benefits for former personnel .....                        | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 | 34          | 35      | 35        |
| 22.0 Transportation of things .....                             | 9           | 9       | 9         |
| 23.1 Rental payments to GSA .....                               | 23          | 23      | 25        |
| 23.2 Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 307         | 313     | 338       |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1,575       | 1,607   | 1,676     |
| 26.0 Supplies and materials .....                               | 593         | 605     | 619       |
| 31.0 Equipment .....  | 106         | 101     | 61        |
| 41.0 Grants, subsidies, and contributions .....                 | 7           | 7       | 7         |
| 42.0 Insurance claims and indemnities .....                     | 8           | 8       | 8         |
| 99.0 Direct obligations .....                                   | 6,372       | 6,492   | 6,730     |
| 99.0 Reimbursable obligations .....                             | 50          | 50      | 52        |
| Allocation Account - direct:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 67          | 69      | 70        |
| 12.1 Civilian personnel benefits .....                          | 29          | 30      | 31        |
| 99.0 Allocation account - direct .....                          | 96          | 99      | 101       |
| 99.9 Total new obligations .....                                | 6,518       | 6,641   | 6,883     |

## Employment Summary

| Identification code 15–1060–0–1–753                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 35,307      | 35,988  | 36,873    |

## BUILDINGS AND FACILITIES

*For planning, acquisition of sites and construction of new facilities; purchase and acquisition of facilities and remodeling, and equipping of such facilities for penal and correctional use, including all necessary expenses incident thereto, by contract or force account; and constructing, remodeling, and equipping necessary buildings and facilities at existing penal and correctional institutions, including all necessary expenses incident thereto, by contract or force account, \$105,244,000, to remain available until expended, of which not less than \$67,148,000 shall be available only for modernization, maintenance and repair, and of which not to exceed \$14,000,000 shall be available to construct areas for inmate work programs: Provided, That labor of United States prisoners may be used for work performed under this appropriation.*

(CANCELLATION)

*Of the unobligated balances from prior year appropriations available under this heading, \$30,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

### Program and Financing (in millions of dollars)

| Identification code 15–1003–0–1–753                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 New construction .....  | 56          | 38      | 43        |
| 0002 Modernization and Repair .....                                  | 72          | 77      | 67        |
| 0799 Total direct obligations .....                                  | 128         | 115     | 110       |
| 0801 Reimbursable program activity .....                             | 151         |         |           |
| 0900 Total new obligations .....                                     | 279         | 115     | 110       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 197         | 123     | 63        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 90          | 90      | 105       |
| 1100 Appropriation .....   |             | 10      |           |
| 1121 Appropriations transferred from other accts [15–1060] ....      | 9           |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –45         | –45     | –30       |
| 1160 Appropriation, discretionary (total) .....                      | 54          | 55      | 75        |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 151         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 151         |         |           |
| 1900 Budget authority (total) .....                                  | 205         | 55      | 75        |
| 1930 Total budgetary resources available .....                       | 402         | 178     | 138       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 123         | 63      | 28        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 222         | 96      | 69        |
| 3010 Obligations incurred, unexpired accounts .....                  | 279         | 115     | 110       |
| 3020 Outlays (gross) .....   | –405        | –142    | –92       |
| 3050 Unpaid obligations, end of year .....                           | 96          | 69      | 87        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 222         | 96      | 69        |
| 3200 Obligated balance, end of year .....                            | 96          | 69      | 87        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 205         | 55      | 75        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  |             | 1       | 5         |
| 4011 Outlays from discretionary balances .....                       | 405         | 141     | 87        |
| 4020 Outlays, gross (total) .....                                    | 405         | 142     | 92        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4033 Non-Federal sources .....                                       | –151        |         |           |
| 4180 Budget authority, net (total) .....                             | 54          | 55      | 75        |
| 4190 Outlays, net (total) .....                                      | 254         | 142     | 92        |

**New Construction.**—This activity includes the costs associated with land and building acquisition, new prison construction, and leasing the Oklahoma Airport Trust Facility, which serves as a Bureau-wide transfer and processing center.

**Modernization and repair of existing facilities.**—This activity includes costs associated with rehabilitation, modernization and renovation of Bureau-owned buildings and other structures in order to meet legal requirements and accommodate correctional programs.

In 2014, the Administration proposes a cancellation of \$30 million in prior year unobligated new construction balances.

### Object Classification (in millions of dollars)

| Identification code 15–1003–0–1–753                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 13          | 14      | 12        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 5         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 9           | 9       | 9         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 1       | 3         |
| 25.2 Other services from non-Federal sources .....              | 69          | 61      | 57        |
| 26.0 Supplies and materials .....                               | 16          | 15      | 15        |
| 31.0 Equipment .....  | 11          | 8       | 7         |
| 32.0 Land and structures .....                                  |             | 1       | 1         |
| 99.0 Direct obligations .....                                   | 128         | 115     | 110       |
| 99.0 Reimbursable obligations .....                             | 151         |         |           |
| 99.9 Total new obligations .....                                | 279         | 115     | 110       |

### Employment Summary

| Identification code 15–1003–0–1–753                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 136         | 124     | 126       |

### FEDERAL PRISON INDUSTRIES, INCORPORATED

*The Federal Prison Industries, Incorporated, is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available, and in accord with the law, and to make such contracts and commitments, without regard to fiscal year limitations as provided by section 9104 of title 31, United States Code, as may be necessary in carrying out the program set forth in the budget for the current fiscal year for such corporation.*

### LIMITATION ON ADMINISTRATIVE EXPENSES, FEDERAL PRISON INDUSTRIES, INCORPORATED

*Not to exceed \$2,700,000 of the funds of the Federal Prison Industries, Incorporated shall be available for its administrative expenses, and for services as authorized by section 3109 of title 5, United States Code, to be computed on an accrual basis to be determined in accordance with the corporation's current prescribed accounting system, and such amounts shall be exclusive of depreciation, payment of claims, and expenditures which such accounting system requires to be capitalized or charged to cost of commodities acquired or produced, including selling and shipping expenses, and expenses in connection with acquisition, construction, operation, maintenance, improvement, protection, or disposition of facilities and other property belonging to the corporation or in which it has an interest.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 15–4500–0–4–753                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0804 Federal Prison Industries .....                               | 698         | 672     | 684       |
| 0809 Reimbursable program activities, subtotal .....               | 698         | 672     | 684       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 155         | 158     | 158       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | 3       | 3         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           | 3       | 3         |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 711         | 669     | 681       |
| 1801 Change in uncollected payments, Federal sources .....         | –13         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 698         | 669     | 681       |
| 1900 Budget authority (total) .....                                | 701         | 672     | 684       |
| 1930 Total budgetary resources available .....                     | 856         | 830     | 842       |

FEDERAL PRISON INDUSTRIES, INCORPORATED—Continued  
Program and Financing—Continued

| Identification code 15-4500-0-4-753                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 158         | 158     | 158       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 171         | 164     | 164       |
| 3010 Obligations incurred, unexpired accounts .....               | 698         | 672     | 684       |
| 3020 Outlays (gross) .....  | -705        | -672    | -684      |
| 3050 Unpaid obligations, end of year .....                        | 164         | 164     | 164       |
| <b>Uncollected payments:</b>                                      |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -34         | -21     | -21       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 13          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -21         | -21     | -21       |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 3100 Obligated balance, start of year .....                       | 137         | 143     | 143       |
| 3200 Obligated balance, end of year .....                         | 143         | 143     | 143       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| <b>Discretionary:</b>   |             |         |           |
| 4000 Budget authority, gross .....                                | 3           | 3       | 3         |
| <b>Outlays, gross:</b>  |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 3           | 3       | 3         |
| <b>Mandatory:</b>   |             |         |           |
| 4090 Budget authority, gross .....                                | 698         | 669     | 681       |
| <b>Outlays, gross:</b>  |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 695         | 519     | 671       |
| 4101 Outlays from mandatory balances .....                        | 7           | 150     | 10        |
| 4110 Outlays, gross (total) .....                                 | 702         | 669     | 681       |
| <b>Offsets against gross budget authority and outlays:</b>        |             |         |           |
| <b>Offsetting collections (collected) from:</b>                   |             |         |           |
| 4120 Federal sources .....  | -714        | -669    | -684      |
| <b>Additional offsets against gross budget authority only:</b>    |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....    | 13          |         |           |
| 4160 Budget authority, net (mandatory) .....                      | -3          |         | -3        |
| 4170 Outlays, net (mandatory) .....                               | -12         |         | -3        |
| 4180 Budget authority, net (total) .....                          |             | 3       |           |
| 4190 Outlays, net (total) .....                                   | -9          | 3       |           |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....  | 271         | 272     | 270       |
| 5001 Total investments, EOY: Federal securities: Par value .....  | 272         | 270     | 268       |

Federal Prison Industries, Inc. (FPI), was created by Congress in 1934 and is a wholly-owned Government corporation. Its mission is to employ and train Federal inmates through a diversified work program providing products and services to other Federal agencies. These operations are conducted in such a manner as to maximize meaningful inmate employment opportunities and minimize the effects of competition on private industry and labor. Employment provides inmates with work, occupational knowledge and skills, plus money for personal expenses and family assistance.

FPI strives to provide additional industrial employment opportunities at existing and planned institutions.

**Budget program.**—Federal Prison Industries, Inc. operations are entirely self-sustaining and no appropriations are required for its operations. The amounts used by the Corporation for administrative expenses are subject to a congressional limitation. Information regarding this limitation is provided separately following this account.

**Financing program.**—Revenues are derived entirely from the sale of products and services to other Federal agencies. Operating expenses are applied against these revenues resulting in operating income or loss. Earnings surplus to the needs of the manufacturing operations, capital improvements, and cash reserves are used to pay accident compensation.

**Operating results.**—To date, Federal Prison Industries, Inc. has returned to the Treasury a total of \$82 million of retained income

in excess of the Corporation's needs. No contributions from budget authority have been made to offset deficits for non-revenue producing outlays since the inception of the fund.

## Object Classification (in millions of dollars)

| Identification code 15-4500-0-4-753                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 94          | 94      | 92        |
| 11.5 Other personnel compensation .....                         | 2           | 1       | 1         |
| 11.8 Special personal services payments .....                   | 32          | 38      | 38        |
| 11.9 Total personnel compensation .....                         | 128         | 133     | 131       |
| 12.1 Civilian personnel benefits .....                          | 48          | 49      | 45        |
| 21.0 Travel and transportation of persons .....                 | 4           | 4       | 4         |
| 22.0 Transportation of things .....                             | 3           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 15          | 15      | 15        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 13          | 13      | 13        |
| 26.0 Supplies and materials .....                               | 484         | 449     | 467       |
| 31.0 Equipment .....  | 2           | 5       | 5         |
| 99.9 Total new obligations .....                                | 698         | 672     | 684       |

## Employment Summary

| Identification code 15-4500-0-4-753                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,213       | 1,147   | 1,147     |

## Trust Funds

## COMMISSARY FUNDS, FEDERAL PRISONS (TRUST REVOLVING FUND)

## Program and Financing (in millions of dollars)

| Identification code 15-8408-0-8-753                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 357         | 368     | 379       |
| <b>Budgetary Resources:</b>  |             |         |           |
| <b>Unobligated balance:</b>  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 56          | 60      | 60        |
| <b>Budget authority:</b>   |             |         |           |
| <b>Spending authority from offsetting collections, mandatory:</b>  |             |         |           |
| 1800 Collected .....   | 361         | 368     | 379       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 361         | 368     | 379       |
| 1930 Total budgetary resources available .....                     | 417         | 428     | 439       |
| <b>Memorandum (non-add) entries:</b>                               |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 60          | 60      | 60        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| <b>Unpaid obligations:</b>   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 25          | 28      | 28        |
| 3010 Obligations incurred, unexpired accounts .....                | 357         | 368     | 379       |
| 3020 Outlays (gross) .....   | -354        | -368    | -379      |
| 3050 Unpaid obligations, end of year .....                         | 28          | 28      | 28        |
| <b>Uncollected payments:</b>                                       |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -1          | -1      | -1        |
| <b>Memorandum (non-add) entries:</b>                               |             |         |           |
| 3100 Obligated balance, start of year .....                        | 24          | 27      | 27        |
| 3200 Obligated balance, end of year .....                          | 27          | 27      | 27        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| <b>Mandatory:</b>  |             |         |           |
| 4090 Budget authority, gross .....                                 | 361         | 368     | 379       |
| <b>Outlays, gross:</b>   |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 352         | 330     | 379       |
| 4101 Outlays from mandatory balances .....                         | 2           | 38      |           |
| 4110 Outlays, gross (total) .....                                  | 354         | 368     | 379       |
| <b>Offsets against gross budget authority and outlays:</b>         |             |         |           |
| <b>Offsetting collections (collected) from:</b>                    |             |         |           |
| 4123 Non-Federal sources .....                                     | -361        | -368    | -379      |
| 4190 Outlays, net (total) .....                                    | -7          |         |           |

**Budget program.**—The commissary fund consists of the operation of commissaries for the inmates as an earned privilege.

**Financing.**—Profits are derived from the sale of goods and services to inmates. Sales for 2014 are estimated at \$379 million. Adequate working capital is assured from retained earnings.

**Operating results.**—Profits received are used for programs, goods, and services for the benefit of inmates.

#### Object Classification (in millions of dollars)

| Identification code 15–8408–0–8–753                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 43          | 45      | 46        |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.8 Special personal services payments .....      | 41          | 42      | 43        |
| 11.9 Total personnel compensation .....            | 85          | 88      | 90        |
| 12.1 Civilian personnel benefits .....             | 22          | 23      | 24        |
| 21.0 Travel and transportation of persons .....    | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources ..... | 14          | 14      | 14        |
| 26.0 Supplies and materials .....                  | 229         | 238     | 245       |
| 31.0 Equipment .....                               | 6           | 4       | 5         |
| 99.9 Total new obligations .....                   | 357         | 368     | 379       |

#### Employment Summary

| Identification code 15–8408–0–8–753                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 674         | 675     | 729       |

## OFFICE OF JUSTICE PROGRAMS

### Federal Funds

#### RESEARCH, EVALUATION, AND STATISTICS

For grants, contracts, cooperative agreements, and other assistance authorized by title I of the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); the Juvenile Justice and Delinquency Prevention Act of 1974 ("the 1974 Act"); the Missing Children's Assistance Act (42 U.S.C. 5771 et seq.); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108–21); the Justice for All Act of 2004 (Public Law 108–405); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109–162) ("the 2005 Act"); the Victims of Child Abuse Act of 1990 (Public Law 101–647); the Second Chance Act of 2007 (Public Law 110–199); the Victims of Crime Act of 1984 (Public Law 98–473); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109–248) ("the Adam Walsh Act"); the PROTECT Our Children Act of 2008 (Public Law 110–401); subtitle D of title II of the Homeland Security Act of 2002 (Public Law 107–296) ("the 2002 Act"); the NICS Improvement Amendments Act of 2007 (Public Law 110–180); and other programs; \$134,400,000, to remain available until expended, of which—

(1) \$52,900,000 is for criminal justice statistics programs, and other activities, as authorized by part C of title I of the 1968 Act;

(2) \$44,500,000 is for research, development, and evaluation programs, and other activities as authorized by part B of title I of the 1968 Act and subtitle D of title II of the 2002 Act;

(3) \$3,000,000 is for an evaluation clearinghouse program;

(4) \$25,000,000 is for regional information sharing activities, as authorized by part M of title I of the 1968 Act; and

(5) \$9,000,000 is for activities to strengthen and enhance the practice of forensic sciences, of which \$1,000,000 is for the support of a Forensic Science Advisory Committee to be chaired by the Attorney General and the Director of the National Institute of Standards and Technology, \$3,000,000 is for transfer to the National Institute of Standards and Technology under the heading "Scientific and Technical Research and Services" for measurement science and standards in support of forensic science, and \$5,000,000 is for transfer to the National Science Foundation under the heading "Research and Related Activities" for a forensic science grant program to establish forensic science research centers.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 15–0401–0–1–754                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0001 National Institute of Justice .....                              | 29          | 32      | 40        |
| 0002 Bureau of Justice Statistics .....                               | 8           | 42      | 49        |
| 0003 Forensic Sciences .....  |             |         | 1         |
| 0004 Regional Information Sharing System .....                        | 24          | 25      | 23        |
| 0010 National Crime Victimization Survey .....                        | 24          |         |           |
| 0011 Management and Administration .....                              | 9           | 9       | 10        |
| 0012 Evaluation Clearinghouse .....                                   | 1           | 1       | 3         |
| 0013 Redesign of National Crime Victimization Survey .....            | 9           |         |           |
| 0014 2% Research, Evaluation, and Statistics Set-a-Side .....         | 24          |         |           |
| 0799 Total direct obligations .....                                   | 128         | 109     | 126       |
| 0801 Reimbursable program .....                                       | 175         | 175     | 175       |
| 0900 Total new obligations .....                                      | 303         | 284     | 301       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 21          | 28      | 27        |
| 1021 Recoveries of prior year unpaid obligations .....                | 6           | 4       | 4         |
| 1050 Unobligated balance (total) .....                                | 27          | 32      | 31        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 113         | 113     | 134       |
| 1120 Appropriations transferred to other accts [13–0500] .....        | –5          | –5      | –3        |
| 1120 Appropriations transferred to other accts [15–1060] .....        | –1          |         |           |
| 1120 Appropriations transferred to other accts [49–0100] .....        |             |         | –5        |
| 1121 Appropriations transferred from other accts [15–0404] .....      | 21          |         |           |
| 1121 Appropriations transferred from other accts [15–0405] .....      | 5           |         |           |
| 1131 Unobligated balance of appropriations permanently reduced .....  | –4          | –4      | –3        |
| 1160 Appropriation, discretionary (total) .....                       | 129         | 104     | 123       |
| Spending authority from offsetting collections, discretionary:        |             |         |           |
| 1700 Collected .....  | 170         | 175     | 175       |
| 1701 Change in uncollected payments, Federal sources .....            | 5           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....    | 175         | 175     | 175       |
| 1900 Budget authority (total) .....                                   | 304         | 279     | 298       |
| 1930 Total budgetary resources available .....                        | 331         | 311     | 329       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                 | 28          | 27      | 28        |
| <b>Change in obligated balance:</b>                                   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                 | 334         | 303     | 206       |
| 3010 Obligations incurred, unexpired accounts .....                   | 303         | 284     | 301       |
| 3020 Outlays (gross) .....  | –328        | –377    | –309      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....     | –6          | –4      | –4        |
| 3050 Unpaid obligations, end of year .....                            | 303         | 206     | 194       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....     | –14         | –19     | –19       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....        | –5          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                | –19         | –19     | –19       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                           | 320         | 284     | 187       |
| 3200 Obligated balance, end of year .....                             | 284         | 187     | 175       |
| <b>Budget authority and outlays, net:</b>                             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                    | 304         | 279     | 298       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                   | 158         | 195     | 200       |
| 4011 Outlays from discretionary balances .....                        | 170         | 182     | 109       |
| 4020 Outlays, gross (total) .....                                     | 328         | 377     | 309       |
| Offsets against gross budget authority and outlays:                   |             |         |           |
| Offsetting collections (collected) from:                              |             |         |           |
| 4030 Federal sources .....  | –169        | –175    | –175      |
| 4033 Non-Federal sources .....  | –1          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) ..... | –170        | –175    | –175      |
| Additional offsets against gross budget authority only:               |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....        | –5          |         |           |
| 4070 Budget authority, net (discretionary) .....                      | 129         | 104     | 123       |

RESEARCH, EVALUATION, AND STATISTICS—Continued  
Program and Financing—Continued

| Identification code 15–0401–0–1–754      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4080 Outlays, net (discretionary) .....  | 158         | 202     | 134       |
| 4180 Budget authority, net (total) ..... | 129         | 104     | 123       |
| 4190 Outlays, net (total) .....          | 158         | 202     | 134       |

The 2014 Budget requests \$134,400,000 for the Office of Justice Programs' (OJP) Research, Evaluation, and Statistics appropriation. This appropriation includes programs that provide grants, contracts, and cooperative agreements for research, development and evaluation; development and dissemination of quality statistical and scientific information; and nationwide support for law enforcement agencies.

Through leadership, funding, and technical support, OJP plays a significant role in the research and evaluation of new technologies to assist law enforcement, corrections personnel, and courts in protecting the public, and guides the development of new techniques and technologies in the areas of crime prevention, forensic science, and violence and victimization research. The research and statistical data compiled by OJP staff are used at all levels of government to guide decision making and planning efforts related to law enforcement, courts, corrections, and other criminal justice issues. Grants, technical assistance, and national leadership provided by OJP have supported efforts to provide and improve assistance to the Nation's Federal, state, local, and tribal law enforcement and criminal justice agencies.

*Research, Development, and Evaluation Program.*—The National Institute of Justice (NIJ) serves as the research and development agency of the Department of Justice, as authorized by 42 U.S.C. 3721–3723. The mission of NIJ is to advance scientific research, development, and evaluation to enhance the administration of justice and public safety by providing objective, independent, evidence-based knowledge, and tools to meet the challenges of crime and justice, particularly at the state and local levels. NIJ research, development, and evaluation (RD&E) efforts support practitioners and policy makers at all levels of government.

NIJ focuses its resources on crime control and related justice issues to provide objective, independent, evidence-based knowledge, and tools to meet the challenges of crime and justice, particularly at the state and local levels. Planned activities include but are not limited to: (1) Social science research addressing, among other topics: human trafficking; evaluation of anti-gang programs; policing; crime and justice; children exposed to violence; sentencing alternatives to incarceration; elder abuse; as well as demonstration field experiments in reentry and probation; (2) Provision of criminal justice-focused technology assistance to units of state, local and tribal government; (3) Criminal justice research infrastructure investments including funding for the National Criminal Justice Reference Service and the National Archive of Criminal Justice Data; (4) Development of equipment performance standards and conduct of compliance testing to help ensure the safety and effectiveness of the equipment used by criminal justice agencies, such as body armor, restraints, holsters, and video systems; and (5) Development of new tools and technologies for law enforcement, corrections, and forensic applications, including those aimed at improving officer safety, knowledge management, and community supervision.

In 2014, NIJ will continue to pursue research and evaluation projects to encourage the development and adoption of new crime-fighting tools, improve understanding of what works (and what does not) in criminal justice programs and policy, and expand understanding of complex criminal justice issues. The 2014

Budget proposes \$44,500,000 for the Research, Development, and Evaluation Program.

*Forensic Science Improvement.*—Continuing improvement is needed in the forensic sciences, which are crucial to ensuring the accuracy of evidence presented in criminal justice trials. The 2014 Budget proposes \$9,000,000 for this program. Of this amount, \$1,000,000 will be used by NIJ for the support of a Forensic Science Advisory Committee to be chaired by the Attorney General and the Director of the National Institute of Standards and Technology (NIST), \$3,000,000 will be transferred by NIJ to NIST for measurement science and standards in support of forensic science, and \$5,000,000 will be transferred by NIJ to the National Science Foundation for a forensic science grant program, to be developed and administered in consultation with NIJ, to establish forensic science research centers.

*Criminal Justice Statistics Program.*—The Bureau of Justice Statistics (BJS) serves as the principal statistical agency within the Department of Justice, as authorized by 42 U.S.C. 3721–3735, and assists state, local, and tribal governments in enhancing their statistical capabilities. It disseminates high quality information and statistics to inform policy makers, researchers, criminal justice practitioners, and the general public. The Criminal Justice Statistics Program encompasses a wide range of criminal justice topics, including: (1) victimization; (2) law enforcement; (3) prosecution; (4) courts and sentencing; (5) corrections; (6) recidivism and reentry; (7) tribal justice statistics; (8) justice expenditures and employment; (9) international justice systems; and (10) drugs, alcohol, and crime. In addition to collecting and analyzing statistical data, BJS administers the State Justice Statistics Program for the Statistical Analysis Centers (SACs). SACs have been established in all states and most territories to centralize and integrate criminal justice statistical functions. Through financial and technical assistance to the state SACs, BJS promotes efforts to coordinate statistical activities within the states and conducts the research as needed to estimate the impact of legislative and policy changes. The SACs also serve in a liaison role, assisting BJS with data gathering from respondent agencies within their states. Further, the Criminal Justice Statistics Program will expand on its base of work in 2014 by enhancing the quality and availability of statistics in a number of areas including: (1) criminal victimization via the redesign the National Criminal Victimization Survey (NCVS), which is the sole continuous source of national information for many topics related to crime and victimization rates for the Administration and Congress, state and local law enforcement, and the research community; (2) law enforcement administration; (3) state & Federal adjudication and sentencing; (4) jail and prison statistics; (5) recidivism and reentry data; (6) white collar crime and (7) statistics on American Indians in the criminal justice system. The 2014 Budget proposes \$52,900,000 for the Criminal Justice Statistics program.

*Regional Information Sharing System (RISS).*—RISS is a national criminal intelligence system operated by and for state and local law enforcement agencies. The RISS regional centers facilitate information sharing and communications to support member agency investigative and prosecution efforts by providing state-of-the-art investigative support and training, analytical services, specialized equipment, secure information-sharing technology, and secure encrypted email and communications capabilities to over 6,000 municipal, county, state, and Federal law enforcement agencies nationwide. The 2014 Budget proposes \$25,000,000 for this program.

*Evaluation Clearinghouse.*—The 2014 Budget requests \$3,000,000 for the continued development and deployment of CrimeSolutions.gov, which uses rigorous research to inform

practitioners and policy makers about what works in criminal justice, juvenile justice, and crime victim services.

**Object Classification** (in millions of dollars)

| Identification code 15-0401-0-1-754                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 12.1 Civilian personnel benefits .....                   | 1           |         |           |
| 21.0 Travel and transportation of persons .....          | 1           |         |           |
| 22.0 Transportation of things .....                      | 1           |         |           |
| 23.1 Rental payments to GSA .....                        | 1           |         |           |
| 24.0 Printing and reproduction .....                     |             | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 8           | 14      | 9         |
| 25.2 Other services from non-Federal sources .....       | 2           |         |           |
| 25.3 Other goods and services from Federal sources ..... | 34          | 29      | 45        |
| 41.0 Grants, subsidies, and contributions .....          | 80          | 64      | 71        |
| 99.0 Direct obligations .....                            | 128         | 108     | 126       |
| 99.0 Reimbursable obligations .....                      | 175         | 176     | 175       |
| 99.9 Total new obligations .....                         | 303         | 284     | 301       |

**Employment Summary**

| Identification code 15-0401-0-1-754                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 628         | 601     | 611       |

**SALARIES AND EXPENSES, OFFICE OF JUSTICE PROGRAMS**

**Program and Financing** (in millions of dollars)

| Identification code 15-0420-0-1-754                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Salaries and Expenses - Office of Justice Programs .....     | 2           | 1       |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 3           | 1       |           |
| 1930 Total budgetary resources available .....                    | 3           | 1       |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 1           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 106         | 16      | 1         |
| 3010 Obligations incurred, unexpired accounts .....               | 2           | 1       |           |
| 3011 Obligations incurred, expired accounts .....                 | 1           |         |           |
| 3020 Outlays (gross) .....  | -90         | -16     | -1        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -3          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 16          | 1       |           |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -2          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 2           |         |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 104         | 16      | 1         |
| 3200 Obligated balance, end of year .....                         | 16          | 1       |           |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 90          | 16      | 1         |
| Offsets against gross budget authority and outlays:               |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4030 Federal sources .....  | -2          |         |           |
| Additional offsets against gross budget authority only:           |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....    | 2           |         |           |
| 4080 Outlays, net (discretionary) .....                           | 88          | 16      | 1         |
| 4190 Outlays, net (total) .....                                   | 88          | 16      | 1         |

**Object Classification** (in millions of dollars)

| Identification code 15-0420-0-1-754                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        | 1           |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       |           |

99.9 Total new obligations ..... 2 1 .....

**STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE**

For grants, contracts, cooperative agreements, and other assistance authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); the Justice for All Act of 2004 (Public Law 108-405); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Trafficking Victims Protection Reauthorization Act of 2005 (Public Law 109-164); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); the Adam Walsh Child Protection and Safety Act of 2006 (Public Law 109-248) ("the Adam Walsh Act"); the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386); the NICS Improvement Amendments Act of 2007 (Public Law 110-180); subtitle D of title II of the Homeland Security Act of 2002 (Public Law 107-296) ("the 2002 Act"); the Second Chance Act of 2007 (Public Law 110-199); the Prioritizing Resources and Organization for Intellectual Property Act of 2008 (Public Law 110-403); the Victims of Crime Act of 1984 (Public Law 98-473); the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Public Law 110-416); and other programs, \$1,005,000,000, to remain available until expended as follows—

(1) \$395,000,000 for the Edward Byrne Memorial Justice Assistance Grant program as authorized by subpart 1 of part E of title I of the 1968 Act (except that section 1001(c), and the special rules for Puerto Rico under section 505(g), of title I of the 1968 Act shall not apply for purposes of this Act), of which, notwithstanding such subpart 1, \$2,000,000 is for a program to improve State and local law enforcement intelligence capabilities including antiterrorism training and training to ensure that constitutional rights, civil liberties, civil rights, and privacy interests are protected throughout the intelligence process, \$2,000,000 is for a State, local, and tribal assistance help desk and diagnostic center program, \$15,000,000 is for a Preventing Violence Against Law Enforcement Officer Resilience and Survivability Initiative (VALOR), \$10,000,000 is for an initiative to support evidence-based policing, \$5,000,000 is for an initiative to enhance prosecutorial decision-making, and \$2,500,000 for objective, nonpartisan voter education about, and a plebiscite on, options that would resolve Puerto Rico's future political status, which shall be provided to the State Elections Commission of Puerto Rico: Provided, That funds provided for the plebiscite under the previous proviso shall not be obligated until 45 days after the Attorney General notifies the Committees on Appropriations that he approves of an expenditure plan from the Commission for voter education and plebiscite administration, including approval of the plebiscite ballot; Provided further, That the notification shall include a finding that the voter education materials, plebiscite ballot, and related materials are not incompatible with the Constitution and laws and policies of the United States: Provided further, That no Edward Byrne Memorial Justice Assistance Grant may be made by the Attorney General to any unit of local government (other than an Indian tribe) if the allocation thereto, pursuant to section 505(d)(2)(A) of title I of the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3755(d)(2)(A)), is less than \$25,000;

(2) \$40,000,000 for an Edward Byrne Memorial incentive grant program, of which \$15,000,000 is for incentive grants to states and localities that use a portion of Edward Byrne Memorial Justice Assistance Grant program funding for evidence-based strategies and interventions and \$25,000,000 is for incentive grants for states and localities using a portion of Edward Byrne Memorial Justice Assistance Grant program funding for justice system realignment efforts;

(3) \$15,000,000 for competitive grants to improve the functioning of the criminal justice system, to prevent or combat juvenile delinquency, and to assist victims of crime (other than compensation);

(4) \$44,000,000 for Drug Courts, as authorized by section 1001(a)(25)(A) of title I of the 1968 Act, mental health courts and adult and juvenile collaboration program grants, as authorized by parts V and HH of title I of the 1968 Act and the Mentally Ill Offender Treatment and Crime Reduction Reauthorization and Improvement Act of 2008 (Public Law 110-416), and other criminal justice system problem-solving grants;

## STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued

(5) \$19,000,000 for grants for Residential Substance Abuse Treatment for State Prisoners, as authorized by part S of title I of the 1968 Act;

(6) \$2,000,000 for the Capital Litigation Improvement Grant Program, as authorized by section 426 of Public Law 108–405, and for grants for wrongful conviction review;

(7) \$9,000,000 for economic, high technology and Internet crime prevention grants, including as authorized by section 401 of Public Law 110–403; of which \$2,500,000 for intellectual property enforcement grants, including as authorized by section 401 of Public Law 110–403;

(8) \$35,000,000 for an Edward Byrne Memorial criminal justice innovation program;

(9) \$1,000,000 for the National Sex Offender Public Web site;

(10) \$5,000,000 for competitive and evidence-based programs to reduce gun crime and gang violence;

(11) \$5,000,000 for grants to assist State and tribal governments and related activities as authorized by the NICS Improvement Amendments Act of 2007 (Public Law 110–180);

(12) \$50,000,000 for the National Criminal History Improvement Program for grants to upgrade criminal and mental health records necessary for the functioning of the National Instant Criminal Background Check System;

(13) \$7,000,000 for a program to monitor prescription drugs and scheduled listed chemical products;

(14) \$10,500,000 for prison rape prevention and prosecution grants to States and units of local government, and other programs, as authorized by the Prison Rape Elimination Act of 2003 (Public Law 108–79), including statistics, data, and research, of which not more than \$150,000 of these funds shall be available for the direct federal costs of facilitating an auditing process: Provided, That, upon the Attorney General's initial receipt of submissions pursuant to section 8(c)(2) of Public Law 108–79—(a) the statistical review and related analysis provided for in section 4 thereof shall next be required in the calendar year next following, and every fifth year thereafter, and (b) the review panel established under section 4(b) of Public Law 108–79 shall be terminated;

(15) \$100,000,000 for DNA-related and forensic programs and activities (including related research and development, training and education, and technical assistance), of which \$20,000,000 is for programs and activities (including grants, technical assistance, and technology) to reduce the rape kit backlog; Provided, That the certification requirements of 42 U.S.C. 3797k(1), 3797k(2), and 3797k(4) shall apply to any DNA-related and forensic program grants made to forensic crime laboratories;

(16) \$10,500,000 for victim services programs for victims of trafficking, as authorized by section 107(b)(2) of Public Law 106–386 and for programs authorized under Public Law 109–164;

(17) \$23,000,000 for an initiative relating to children exposed to violence;

(18) \$20,000,000 sex offender management assistance, as authorized by the Adam Walsh Act and the 1994 Act, and related activities; and

(19) \$119,000,000 for offender reentry programs and research, as authorized by the Second Chance Act of 2007 (Public Law 110–199), without regard to the time limitations specified at section 6(1) of such Act, of which \$10,000,000 is for a program to improve State, local, and tribal probation or parole supervision efforts and strategies; and \$5,000,000 is for Children of Incarcerated Parents Demonstrations to enhance and maintain parental and family relationships for incarcerated parents as a reentry or recidivism reduction strategy: Provided, That up to \$40,000,000 of funds made available in this paragraph may be used for performance-based awards for Pay for Success projects, of which up to \$10,000,000 shall be for Pay for Success programs implementing the Permanent Supportive Housing Model: Provided further, That, with respect to the previous proviso, any funds obligated for such projects shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a): Provided further, That, with respect to the first proviso, any deobligated funds from such projects shall immediately be available for activities authorized under the Second Chance Act of 2007 (Public Law 110–199);

(20) \$85,000,000 for a Justice Reinvestment Initiative program, for activities related to criminal justice reform and recidivism reduction;

(21) \$10,000,000 for additional replication sites employing Hawaii's Opportunity Probation with Enforcement (HOPE) model implementing

swift and certain sanctions in probation, and for a research project on the effectiveness of the model;

Provided further, That, if a unit of local government uses any of the funds made available under this heading to increase the number of law enforcement officers, the unit of local government will achieve a net gain in the number of law enforcement officers who perform non-administrative public sector safety service.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15–0404–0–1–754                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| 0001 State Criminal Alien Assistance Program .....                        | 216         | 220     | .....     |
| 0002 Adam Walsh Act Implementation .....                                  | 17          | 18      | 19        |
| 0003 Presidential Nominating Conventions .....                            | 100         | 101     | .....     |
| 0004 NJ for Domestic Radicalization .....                                 | 4           | .....   | .....     |
| 0005 Byrne Competitive Grants .....                                       | 13          | 14      | 14        |
| 0006 Comprehensive Criminal Justice Reform and Recidivism Reduction ..... | 5           | .....   | 79        |
| 0007 Justice Assistance Grants .....                                      | 316         | 339     | 331       |
| 0008 Byrne Incentive Grants .....   | .....       | .....   | 37        |
| 0009 Residential Substance Abuse Treatment .....                          | 9           | 9       | 18        |
| 0010 Drug Court Program .....   | 33          | 32      | .....     |
| 0012 Victims of Trafficking .....   | 10          | 11      | 10        |
| 0013 Prescription Drug Monitoring Program .....                           | 6           | 6       | 7         |
| 0014 Prison Rape Prevention and Prosecution Program .....                 | 11          | 12      | 10        |
| 0015 Capital Litigation Improvement Grant Program .....                   | 3           | 3       | 2         |
| 0016 Mentally-Ill Offender Act .....                                      | 8           | 8       | .....     |
| 0017 National Sex Offender Public Website .....                           | 1           | 1       | 1         |
| 0018 Project Hope Opportunity Probation with Enforcement (HOPE) .....     | .....       | .....   | 9         |
| 0019 Bulletproof Vest Partnership .....                                   | 20          | 22      | .....     |
| 0020 State and Local Anti-Terrorism Training .....                        | 2           | .....   | 2         |
| 0021 Smart Policing Initiative .....                                      | .....       | .....   | 9         |
| 0022 National Criminal History Improvement Program (NCHIP) .....          | 6           | 6       | 46        |
| 0023 Smart Prosecution Initiative .....                                   | .....       | .....   | 5         |
| 0026 Research and Evaluation, Violence Against Women (NU) .....           | 1           | 3       | .....     |
| 0029 Court Appointed Special Advocate .....                               | 4           | 4       | .....     |
| 0031 National Instant Criminal Background Check System .....              | 10          | 5       | 5         |
| 0035 Post-conviction DNA Testing grants .....                             | 4           | .....   | .....     |
| 0038 Sexual Assault Forensic Exam Program grants .....                    | 4           | .....   | .....     |
| 0043 S&L Gun Crime Prosecution Assistance/Gun Violence Reduction .....    | 4           | 5       | 5         |
| 0044 DNA Initiative .....   | 106         | 113     | 91        |
| 0045 Coverdell Forensic Science Grants .....                              | 11          | 11      | .....     |
| 0050 Second Chance Act/Offender Reentry .....                             | 59          | 58      | 108       |
| 0053 Missing Alzheimer's Program .....                                    | 1           | 1       | .....     |
| 0056 Economic, High Tech, and Cybercrime Prevention .....                 | 6           | .....   | 6         |
| 0069 Problem Solving Justice .....  | .....       | .....   | 41        |
| 0076 State and Local Assistance Help Desk and Diagnostic Center ...       | 4           | .....   | 4         |
| 0077 VALOR Initiative .....   | 2           | .....   | 14        |
| 0080 Children Exposed to Violence .....                                   | 9           | 9       | 21        |
| 0081 Byrne Criminal Justice Innovation Program .....                      | 14          | 14      | 33        |
| 0082 Indian Assistance .....  | 34          | 35      | .....     |
| 0084 John R. Justice Student Loan Repayment Program .....                 | 4           | 4       | .....     |
| 0087 Border Prosecution Initiatives .....                                 | 9           | 9       | .....     |
| 0088 Intellectual Property Enforcement Program .....                      | .....       | 6       | 2         |
| 0089 Management and Administration .....                                  | 86          | 89      | 76        |
| 0799 Total direct obligations .....                                       | 1,152       | 1,168   | 1,005     |
| 0801 Reimbursable program .....   | 14          | 13      | 13        |
| 0900 Total new obligations .....  | 1,166       | 1,181   | 1,018     |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| <b>Unobligated balance:</b>  |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 85    | 65    | 65    |
| 1010 Unobligated balance transfer to other accts [15–0409] .....     | –1    | ..... | ..... |
| 1011 Unobligated balance transfer from other accts [11–1460] .....   | 1     | ..... | ..... |
| 1021 Recoveries of prior year unpaid obligations .....               | 30    | 42    | 36    |
| 1050 Unobligated balance (total) .....                               | 115   | 107   | 101   |
| <b>Budget authority:</b>   |       |       |       |
| <b>Appropriations, discretionary:</b>                                |       |       |       |
| 1100 Appropriation .....   | 1,163 | 1,170 | 1,005 |
| 1120 Appropriations transferred to other accts [13–0500] .....       | –2    | –2    | ..... |
| 1120 Appropriations transferred to other accts [15–0401] .....       | –21   | ..... | ..... |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –38   | –42   | –36   |
| 1160 Appropriation, discretionary (total) .....                      | 1,102 | 1,126 | 969   |



|  |   |        |        |        |
|--|---|--------|--------|--------|
| Spending authority from offsetting collections, discretionary: |   |        |        |        |
| 1700   | Collected .....   | 13     | 13     | .....  |
| 1701   | Change in uncollected payments, Federal sources .....           | 1      | .....  | .....  |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 14     | 13     | .....  |
| 1900   | Budget authority (total) .....                                  | 1,116  | 1,139  | 969    |
| 1930   | Total budgetary resources available .....                       | 1,231  | 1,246  | 1,070  |
| Memorandum (non-add) entries:                                  |   |        |        |        |
| 1941   | Unexpired unobligated balance, end of year .....                | 65     | 65     | 52     |
| <b>Change in obligated balance:</b>                            |   |        |        |        |
| Unpaid obligations:  |   |        |        |        |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 2,777  | 2,250  | 1,711  |
| 3010   | Obligations incurred, unexpired accounts .....                  | 1,166  | 1,181  | 1,018  |
| 3020   | Outlays (gross) .....   | -1,660 | -1,678 | -1,255 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | -30    | -42    | -36    |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | -3     | .....  | .....  |
| 3050   | Unpaid obligations, end of year .....                           | 2,250  | 1,711  | 1,438  |
| Uncollected payments:  |   |        |        |        |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -4     | -5     | -5     |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | -1     | .....  | .....  |
| 3090   | Uncollected pymts, Fed sources, end of year .....               | -5     | -5     | -5     |
| Memorandum (non-add) entries:                                  |   |        |        |        |
| 3100   | Obligated balance, start of year .....                          | 2,773  | 2,245  | 1,706  |
| 3200   | Obligated balance, end of year .....                            | 2,245  | 1,706  | 1,433  |
| <b>Budget authority and outlays, net:</b>                      |   |        |        |        |
| Discretionary:   |   |        |        |        |
| 4000   | Budget authority, gross .....                                   | 1,116  | 1,139  | 969    |
| Outlays, gross:  |   |        |        |        |
| 4010   | Outlays from new discretionary authority .....                  | 208    | 228    | 185    |
| 4011   | Outlays from discretionary balances .....                       | 1,452  | 1,450  | 1,070  |
| 4020   | Outlays, gross (total) .....                                    | 1,660  | 1,678  | 1,255  |
| Offsets against gross budget authority and outlays:            |   |        |        |        |
| Offsetting collections (collected) from:                       |   |        |        |        |
| 4030   | Federal sources .....   | -11    | -13    | .....  |
| 4033   | Non-Federal sources .....                                       | -2     | .....  | .....  |
| 4040   | Offsets against gross budget authority and outlays (total) .... | -13    | -13    | .....  |
| Additional offsets against gross budget authority only:        |   |        |        |        |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....       | -1     | .....  | .....  |
| 4070   | Budget authority, net (discretionary) .....                     | 1,102  | 1,126  | 969    |
| 4080   | Outlays, net (discretionary) .....                              | 1,647  | 1,665  | 1,255  |
| 4180   | Budget authority, net (total) .....                             | 1,102  | 1,126  | 969    |
| 4190   | Outlays, net (total) .....                                      | 1,647  | 1,665  | 1,255  |

The 2014 Budget requests \$1,005,000,000 for the Office of Justice Programs' (OJP) State and Local Law Enforcement Assistance. This appropriation account includes programs that establish and build on partnerships with state, local, and tribal governments, and faith-based and community organizations. These programs provide Federal leadership on high-priority criminal justice concerns such as violent crime, criminal gang activity, illegal drugs, information sharing, and related justice system issues. OJP's formula and discretionary grant programs, coupled with training and technical assistance activities, assist law enforcement agencies, courts, local community partners, and other components of the criminal justice system in preventing and addressing violent crime, protecting the public, and ensuring that offenders are held accountable for their actions. This funding will be used to support the following initiatives:

**Byrne Justice Assistance Grants (JAG).**—The Byrne Justice Assistance Grants program awards grants to state and local governments to support a broad range of activities that prevent and control crime based on local needs and conditions, including: law enforcement programs; prosecution and court programs; prevention and education programs; corrections and community corrections programs; drug treatment programs; and planning, evaluation, and technology improvement programs. The 2014 Budget proposes \$395,000,000 for this program. Of this total, \$2,000,000 is for the State and Local Anti-Terrorism Training program, \$2,000,000 is for a state and local assistance help desk and diagnostic center, \$15,000,000 for VALOR, a program that supports officer safety, \$10,000,000

is for an initiative to assist and support evidence-based policing, \$5,000,000 will fund Smart Prosecution Initiatives, and \$2,500,000 will be used to fund non-partisan voter education about, and a plebiscite on, options that would resolve Puerto Rico's future political status.

**Byrne Incentive Grants.**—This program will provide supplementary grants to states and localities using Byrne JAG formula grant funds for evidence-based purposes. These incentive grants will serve as inducements for states and localities to use formula funds (as well as state and local funds) to implement proven public safety strategies. The 2014 Budget proposes \$40,000,000 for this new initiative.

**Byrne Competitive Grants.**—The Byrne Competitive Grants program awards grants to state, local, and tribal government agencies, for-profit and non-profit organizations, and faith-based and community organizations to improve the functioning of the criminal justice system and assist victims of crime. The 2014 Budget proposes \$15,000,000 for this program.

**Residential Substance Abuse Treatment (RSAT).**—The Residential Substance Abuse Treatment program for state prisoners helps states and units of local government develop, implement, and improve residential substance abuse treatment programs in correctional facilities, and establish and maintain community-based aftercare services for probationers and parolees. Ultimately, the program's goal is to help offenders become drug-free and learn the skills needed to sustain themselves upon return to the community. The 2014 Budget proposes \$19,000,000 for this program.

**Prison Rape Prevention and Prosecution Program.**—This program supports the Prison Rape Elimination Act (PREA) Grant Program, including training and technical assistance to the grantees in meeting their PREA goals and objectives, training and technical assistance to the field at large in implementing PREA standards, and the development of a national set of measures by the Bureau of Justice Statistics (BJS) describing the circumstances surrounding incidents of sexual assault in correctional institutions. The data collections provide facility-level estimates of sexual assault for a 12-month period. The 2014 Budget proposes \$10,500,000 for this program.

**Capital Litigation Improvement Program.**—The Capital Litigation Improvement Program provides grants for the training of defense counsel, state and local prosecutors, and state trial judges, with the goal of improving the quality of representation and the reliability of verdicts in state capital cases. The training focuses on investigation techniques; pre-trial and trial procedures, including the use of expert testimony and forensic science evidence; advocacy in capital cases; and capital case sentencing-phase procedures. The 2014 Budget proposes \$2,000,000 for this program.

**Drug, Mental Health, and Problem Solving Courts and Strategies.**—This initiative will assist state, local, and tribal governments in developing and implementing problem solving strategies, including specialized courts, that can serve as successful alternatives to the prosecution and incarceration of offenders with drug, mental health, and special needs. The initiative will provide grants, training, and technical assistance to help state, local, and tribal grantees develop and implement drug, mental health, and other problem solving courts and approaches. The 2014 Budget proposes \$44,000,000 for this program.

**Prescription Drug Monitoring Program.**—The purpose of the Harold Rogers Prescription Drug Monitoring Program (PDMP) is to enhance the capacity of regulatory and law enforcement

STATE AND LOCAL LAW ENFORCEMENT ASSISTANCE—Continued agencies to collect and analyze controlled substance prescription data. The 2014 Budget proposes \$7,000,000 for this program.

*National Public Sex Offender Registry.*—This program supports the maintenance and continued development of the Dru Sjodin National Sex Offender Public Website, which links the state, territory, and tribal sex offender registries. The 2014 Budget proposes \$1,000,000 for this program.

*Justice Reinvestment Initiative.*—Justice reinvestment is a data-driven approach to improve public safety, reduce corrections and related criminal justice spending, and reinvest savings in effective strategies that can decrease crime and strengthen neighborhoods. The initiative provides technical assistance and competitive financial support to states, counties, cities, and tribal authorities that are either currently engaged in justice reinvestment activities or are preparing to undertake such work. The 2014 Budget proposes \$85,000,000 for this program.

*Second Chance Act Program.*—The Second Chance Act Program provides grants to establish and expand various adult and juvenile offender reentry programs and funds reentry-related research. The 2014 Budget proposes \$119,000,000 for this program. Of this total, \$10,000,000 is for the Smart Probation Program to help states, localities, and tribes develop comprehensive, innovative probation and parole supervision programs and \$5,000,000 is for a new Children of Incarcerated Parents Demonstration Grant Program. In addition, up to \$40,000,000 may be used for performance-based awards for Pay-for-Success projects, including those implementing the Permanent Supportive Housing Model.

*Hawaii Opportunity Probation with Enforcement (HOPE).*—The HOPE program uses "swift and certain sanctions" in supervising probationers, especially drug offenders and others at high risk of recidivism in the criminal justice system. While evaluation results have been promising, the program needs to be replicated and evaluated elsewhere. For 2014, OJP will fund replication sites and a randomized controlled trial to determine the effectiveness of this promising intervention. The 2014 Budget proposes \$10,000,000 for this effort.

*National Criminal History Improvement (NCHIP).*—The National Criminal History Improvement (NCHIP) provides grants and technical assistance to help states and territories improve the quality, timeliness, and immediate accessibility of their criminal history, mental health, and related records needed to support the National Instant Background Check System. The 2014 Budget proposes \$50,000,000 for this program.

*National Instant Background Check System (NICS).*—This National Instant Background Check System (NICS) program provides grants to assist state and tribal governments in updating NICS with the criminal history and mental health records of individuals who are precluded from purchasing or possessing guns. The 2014 Budget proposes \$5,000,000 for this program.

*Byrne Criminal Justice Innovation Program.*—This program is a central component of the Administration's new Promise Zones: high-poverty communities where the Federal government will work with local leadership to invest and engage more intensely to create jobs, leverage private investment, increase economic activity, reduce violence and expand educational opportunities. In addition to the \$35,000,000 proposed for the Byrne Criminal Justice Innovation Program, the 2014

Budget includes companion investments of \$200,000,000 and \$10,000,000, respectively, in HUD's Neighborhood Stabilization and Rental Assistance Demonstration programs, and \$300,000,000 in the Department of Education's Promise Neighborhoods program, as well as tax incentives to promote investment and economic growth.

*State and Local Gun Crime and Gang Violence Reduction Program.*—This program supports state, local, and tribal efforts to reduce violent crime resulting from gang activity and the criminal misuse of firearms. The 2014 Budget proposes \$5,000,000 for this program.

*Economic, High-technology, and Cybercrime Prevention Program.*—This program provides grants, training, and technical assistance to support efforts to combat economic, high-technology, and Internet crimes, including intellectual property crimes of counterfeiting and piracy. The 2014 Budget proposes \$9,000,000 for this program, including \$2,500,000 for intellectual property enforcement, including prosecution, prevention, training, and technical assistance.

*Victims of Trafficking.*—The primary goal of the Victims of Trafficking program is to empower local law enforcement to better identify and rescue trafficking victims. An important secondary goal is the interdiction of trafficking in its various forms, whether it is forced prostitution, indentured servitude, peonage, or other forms of forced labor. The 2014 Budget proposes \$10,500,000 for this program.

*DNA Initiative.*—The DNA Initiative is a comprehensive strategy to maximize the use of forensic DNA technology in the criminal justice system. The program provides capacity building grants, training, and technical assistance to state and local governments, and supports innovative research on DNA analysis and use of forensic evidence. The 2014 Budget proposes \$100,000,000 for this program, including \$20,000,000 to address backlogs of rape kit-related DNA evidence.

*Children Exposed to Violence.*—The Attorney General's Initiative on Children Exposed to Violence will support research and provide demonstration grants, training and technical assistance, in partnership with the Department of Health and Human Services, to encourage the development of comprehensive intervention and treatment programs to assist children who are victims of, or witnesses to, violence. The 2014 Budget proposes \$23,000,000 for this program.

*Implementation of the Adam Walsh Act.*—This program will help state, local, and tribal jurisdictions to develop and enhance sex offender registration and notification systems that are in compliance with the Sex Offender Registration and Notification Act through discretionary grants and technical assistance. The 2014 Budget proposes \$20,000,000 for this program.

#### Object Classification (in millions of dollars)

| Identification code 15-0404-0-1-754 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.1                                | Advisory and assistance services .....              | 17          | 17      | 17        |
| 25.3                                | Other goods and services from Federal sources ..... | 87          | 88      | 88        |
| 41.0                                | Grants, subsidies, and contributions .....          | 1,048       | 1,063   | 900       |
| 99.0                                | Direct obligations .....                            | 1,152       | 1,168   | 1,005     |
| 99.0                                | Reimbursable obligations .....                      | 14          | 13      | 13        |
| 99.9                                | Total new obligations .....                         | 1,166       | 1,181   | 1,018     |

## WEED AND SEED PROGRAM FUND

## Program and Financing (in millions of dollars)

| Identification code 15–0334–0–1–751       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Unobligated balance:                      |   |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....                | 2           |         |           |
| 1021                                      | Recoveries of prior year unpaid obligations .....               | 1           |         |           |
| 1050                                      | Unobligated balance (total) .....                               | 3           |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, discretionary:            |   |             |         |           |
| 1131                                      | Unobligated balance of appropriations permanently reduced ..... | –3          |         |           |
| 1160                                      | Appropriation, discretionary (total) .....                      | –3          |         |           |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 13          | 3       |           |
| 3020                                      | Outlays (gross) .....   | –9          | –3      |           |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | –1          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                           | 3           |         |           |
| Memorandum (non-add) entries:             |   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                          | 13          | 3       |           |
| 3200                                      | Obligated balance, end of year .....                            | 3           |         |           |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| 4000                                      | Budget authority, gross .....                                   | –3          |         |           |
| Outlays, gross:                           |   |             |         |           |
| 4011                                      | Outlays from discretionary balances .....                       | 9           | 3       |           |
| 4180                                      | Budget authority, net (total) .....                             | –3          |         |           |
| 4190                                      | Outlays, net (total) .....                                      | 9           | 3       |           |

## COMMUNITY ORIENTED POLICING SERVICES PROGRAMS

For activities authorized by the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103–322); the Omnibus Crime Control and Safe Streets Act of 1968 ("the 1968 Act"); and the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109–162) ("the 2005 Act"), \$439,500,000, to remain available until expended: Provided, That, in addition to any amounts that are otherwise available (or authorized to be made available) for training and technical assistance, up to 5 percent of funds made available to the Office of Community Oriented Policing Services for grants may be used to provide training and technical assistance: Provided further, That, in addition to any amounts that are otherwise available (or authorized to be made available) for research, evaluation or statistical purposes, up to 2 percent of funds made available to the Office of Community Oriented Policing Services (COPS) for grants may be used for such purposes, including an evaluation administered with assistance from the Department of Education on the effectiveness of COPS-funded school resource officers and any other studies evaluating the impact of advancing public safety through community policing.

Of the amount provided under this heading:

(1) \$12,500,000 is for anti-methamphetamine-related activities, which shall be available to reimburse the Drug Enforcement Administration;

(2) \$20,000,000 is for improving tribal law enforcement, including hiring, equipment, training, and anti-methamphetamine activities; and

(3) \$257,000,000 is for grants under section 1701 of title I of the 1968 Act (42 U.S.C. 3796dd) for the hiring and rehiring of additional career law enforcement officers under part Q of such title notwithstanding subsections (i) and (h) of such section: Provided, That, notwithstanding subsection (g) of the 1968 Act (42 U.S.C. 3796dd), the Federal share of the costs of a project funded by such grants may not exceed 75 percent unless the Director of the Office of Community Oriented Policing Services waives, wholly or in part, the requirement of a non-Federal contribution to the costs of a project: Provided further, That, notwithstanding 42 U.S.C. 3796dd–3(c), funding for hiring or rehiring a career law enforcement officer may not exceed \$125,000, unless the Director of the Office of Community Oriented Policing Services grants a waiver from this limitation: Provided further, That in addition to the purposes set out in subsection 1701(b)(1) and (2) of the 1968 Act (42 U.S.C. 3796dd(b)(1)

and (2)), grants made with funds provided in this paragraph may be used for the hiring of non-sworn law enforcement personnel in amounts not to exceed \$50,000,000: Provided further, That, within the amounts appropriated, \$15,000,000 shall be transferred to the Tribal Resources Grant Program to be used for the hiring and rehiring of tribal law enforcement officers: Provided further, That, of the amounts appropriated under this paragraph, \$15,000,000 is for community policing development activities in furtherance of the purposes in section 1701: Provided further, That within the amounts appropriated under this paragraph, \$10,000,000 is for the collaborative reform model of technical assistance in furtherance of the purposes in Section 1701: Provided further, That, of the amounts appropriated under this paragraph, notwithstanding subsections (f) and (h) of section 1701 of title I of the 1968 Act, 5 percent may be awarded at the discretion of the Attorney General to address special needs, contingencies, and requirements; and

(4) \$150,000,000 is for a comprehensive school safety program of grants and technical assistance to improve school safety through hiring, equipment, training and responding to other critical needs as authorized by sections 1701 and 2701 of the 1968 Act (42 U.S.C. 3796dd and 42 U.S.C. 3797a): Provided, That in addition to the hiring of sworn school resource officers under 42 U.S.C. 3796dd(b)(12), grants made with funds under this paragraph may be used for the hiring of non-sworn school safety personnel, including civilian public safety personnel, school counselors, school psychologists, other qualified psychologists, school social workers, and child and adolescent psychiatrists: Provided further, That the terms school counselor, school psychologist, other qualified psychologist, school social worker, and child and adolescent psychiatrist are as defined by Section 5421(e) of the Elementary and Secondary Education Act of 1965, as amended: Provided further, That notwithstanding 42 U.S.C. 3796dd–3(c), funding for the hiring of a school safety position may not exceed \$125,000, unless the Director of the Office of Community Oriented Policing Services grants a waiver from this limitation: Provided further, That notwithstanding 42 U.S.C. 3797a(d)(1), the matching funds requirement set forth in 42 U.S.C. 3796dd(g) shall apply to this program: Provided further, That notwithstanding 42 U.S.C. 3797d(1), for the purposes of this program, "school" means any elementary or secondary school as set forth in 42 U.S.C. 3796dd(b)(12): Provided further, That grants may be awarded and technical assistance may be provided under this program to the entities set forth in 42 U.S.C. 3796dd(a): Provided further, That this program shall be administered with assistance from the Department of Education: Provided further, That the Attorney General may transfer such amounts to the Department of Education, from the amounts appropriated under this paragraph, as may be necessary to administer this program.

## (CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$14,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 15–0406–0–1–754     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Public safety and community policing grants ..... | 150         |         |           |
| 0007                                    | Management and administration .....               | 35          | 37      | 37        |
| 0008                                    | Tribal Law Enforcement .....                      |             | 28      | 18        |
| 0009                                    | COPS Hiring Program .....                         |             | 122     | 235       |
| 0010                                    | Methamphetamine Enforcement and Cleanup .....     |             |         | 13        |
| 0011                                    | Comprehensive School Safety .....                 |             |         | 137       |
| 0900                                    | Total new obligations .....                       | 185         | 187     | 440       |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....  | 22          | 5       | 5         |
| 1021                                    | Recoveries of prior year unpaid obligations ..... | 6           | 24      | 14        |

COMMUNITY ORIENTED POLICING SERVICES PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 15-0406-0-1-754       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1050                                      | Unobligated balance (total) .....                               | 28          | 29      | 19        |
|   | Budget authority:   |             |         |           |
|   | Appropriations, discretionary:                                  |             |         |           |
| 1100                                      | Appropriation .....   | 199         | 200     | 440       |
| 1120                                      | Appropriations transferred to other accts [15-1100] .....       | -13         | -13     |           |
| 1131                                      | Unobligated balance of appropriations permanently reduced ..... | -24         | -24     | -14       |
| 1160                                      | Appropriation, discretionary (total) .....                      | 162         | 163     | 426       |
|   | Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700                                      | Collected .....   | 36          |         |           |
| 1701                                      | Change in uncollected payments, Federal sources .....           | -36         |         |           |
| 1900                                      | Budget authority (total) .....                                  | 162         | 163     | 426       |
| 1930                                      | Total budgetary resources available .....                       | 190         | 192     | 445       |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>       |   |             |         |           |
|   | Unpaid obligations:   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 1,606       | 1,131   | 639       |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 185         | 187     | 440       |
| 3020                                      | Outlays (gross) .....   | -647        | -655    | -367      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | -6          | -24     | -14       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....      | -7          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                           | 1,131       | 639     | 698       |
|   | Uncollected payments:   |             |         |           |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -39         | -3      | -3        |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 36          |         |           |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | -3          | -3      | -3        |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                          | 1,567       | 1,128   | 636       |
| 3200                                      | Obligated balance, end of year .....                            | 1,128       | 636     | 695       |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
|   | Discretionary:  |             |         |           |
| 4000                                      | Budget authority, gross .....                                   | 162         | 163     | 426       |
|   | Outlays, gross:   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                  | 33          | 12      | 81        |
| 4011                                      | Outlays from discretionary balances .....                       | 614         | 643     | 286       |
| 4020                                      | Outlays, gross (total) .....                                    | 647         | 655     | 367       |
|   | Offsets against gross budget authority and outlays:             |             |         |           |
|   | Offsetting collections (collected) from:                        |             |         |           |
| 4030                                      | Federal sources .....   | -36         |         |           |
|   | Additional offsets against gross budget authority only:         |             |         |           |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 36          |         |           |
| 4070                                      | Budget authority, net (discretionary) .....                     | 162         | 163     | 426       |
| 4080                                      | Outlays, net (discretionary) .....                              | 611         | 655     | 367       |
| 4180                                      | Budget authority, net (total) .....                             | 162         | 163     | 426       |
| 4190                                      | Outlays, net (total) .....                                      | 611         | 655     | 367       |

The Office of Community Oriented Policing Services (COPS) administers grant programs to assist law enforcement agencies in advancing public safety through the implementation of community policing strategies in jurisdictions of all sizes across the country. Community policing represents a shift from more traditional law enforcement and focuses on proactive collaborative efforts and the use of problem-solving techniques to prevent and respond to crime, social disorder, and fear of crime. COPS provides funding to state, local, and tribal law enforcement agencies and other public and private entities to hire and train community policing professionals, acquire and deploy cutting-edge crime-fighting technologies, and develop, test, and implement innovative policing strategies. COPS funding also provides training and technical assistance to community members, local government leaders, and all levels of state, local, and tribal law enforcement.

The 2014 Budget requests \$439,500,000 for COPS programs, including these initiatives:

**Hiring Grants.**—The hiring program provides funding to state, local and tribal law enforcement agencies to hire additional sworn law enforcement officers to be deployed in community

policing activities. The 2014 Budget proposes \$257,000,000 for this program. Within this amount, up to \$50,000,000 may be used for non-sworn law enforcement positions to redeploy sworn law enforcement officers who are currently filling these positions to community policing activities. Within this amount, \$15,000,000 also will be dedicated toward the hiring of tribal law enforcement officers and \$15,000,000 will be used to fund training and technical assistance that supports the integration of community policing strategies throughout the law enforcement community so that it can more effectively address emerging law enforcement and community issues. Also within this amount, \$10,000,000 will be used to fund the collaborative reform model of technical assistance.

**Indian Country.**—This program provides funding and resources to meet the public safety needs of law enforcement and advance community policing in Native American communities. The 2014 Budget proposes \$20,000,000 for this program.

**Methamphetamine.**—This program provides assistance to help stem clandestine methamphetamine manufacture and its consequences. The 2014 Budget proposes \$12,500,000 for this program.

**Comprehensive School Safety Program.**—This program provides funding to support the hiring of school safety personnel, including but not limited to sworn school resource officers, civilian public safety positions, school psychologists, social workers, and counselors. The program will be administered by COPS in collaboration with the Department of Education to ensure a comprehensive approach to promoting school safety. The 2014 Budget proposes \$150,000,000 for this program.

## Object Classification (in millions of dollars)

| Identification code 15-0406-0-1-754 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
|                                     | Direct obligations:  |             |         |           |
|                                     | Personnel compensation:                                    |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 13          | 14      | 14        |
| 11.5                                | Other personnel compensation .....                         |             | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 13          | 15      | 15        |
| 12.1                                | Civilian personnel benefits .....                          | 4           | 4       | 4         |
| 21.0                                | Travel and transportation of persons .....                 |             | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 4           | 4       | 4         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 4           | 3       | 3         |
| 25.3                                | Other goods and services from Federal sources .....        | 9           | 9       | 11        |
| 41.0                                | Grants, subsidies, and contributions .....                 | 149         | 149     | 400       |
| 99.9                                | Total new obligations .....                                | 185         | 187     | 440       |

## Employment Summary

| Identification code 15-0406-0-1-754 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 128         | 128     | 128       |

## OFFICE ON VIOLENCE AGAINST WOMEN

## VIOLENCE AGAINST WOMEN PREVENTION AND PROSECUTION PROGRAMS

## (INCLUDING TRANSFER OF FUNDS)

For grants, contracts, cooperative agreements, and other assistance for the prevention and prosecution of violence against women, as authorized by the Omnibus Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3711 et seq.) ("the 1968 Act"); the Violent Crime Control and Law Enforcement Act of 1994 (Public Law 103-322) ("the 1994 Act"); the Victims of Child Abuse Act of 1990 (Public Law 101-647) ("the 1990 Act"); the Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003 (Public Law 108-21); the Juvenile Justice and Delinquency Prevention Act of 1974 (42 U.S.C. 5601 et seq.) ("the 1974 Act");

the Victims of Trafficking and Violence Protection Act of 2000 (Public Law 106-386) ("the 2000 Act"); the Violence Against Women and Department of Justice Reauthorization Act of 2005 (Public Law 109-162) ("the 2005 Act"); and the Violence Against Women Reauthorization Act of 2013 (Public Law 113-4); and for related victims services, \$412,500,000, to remain available until expended: Provided, That except as otherwise provided by law, not to exceed 8 percent of funds made available under this heading may be used for expenses related to evaluation, training, and technical assistance: Provided further, That, in addition to any amounts that are otherwise available (or authorized to be made available) for the research and evaluation purposes set forth in section 40002(b)(7) of the 1994 Act, up to 2 percent of funds made available under this heading may be used for such purposes, except that this proviso shall not apply to funds provided for grants to combat violence against women, as authorized by part T of the 1968 Act, and grants for sexual assault victims assistance, as authorized by section 41601(b) of the 1994 Act: Provided further, That of the amount provided—

(1) \$189,000,000 is for grants to combat violence against women, as authorized by part T of the 1968 Act;

(2) \$22,000,000 is for transitional housing assistance grants for victims of domestic violence, dating violence, stalking or sexual assault as authorized by section 40299 of the 1994 Act;

(3) \$3,000,000 is for the National Institute of Justice for research and evaluation of violence against women and related issues addressed by grant programs of the Office on Violence Against Women, which shall be transferred to "Research, Evaluation, and Statistics" for administration by the Office of Justice Programs;

(4) \$10,000,000 is for a grant program to provide services to advocate for and respond to youth victims of domestic violence, dating violence, sexual assault, and stalking; assistance to children and youth exposed to such violence; programs to engage men and youth in preventing such violence; and assistance to middle and high school students through education and other services related to such violence: Provided, That unobligated balances available for the programs authorized by sections 41201, 41204, 41303 and 41305 of the 1994 Act shall be available for this program: Provided further, That 10 percent of the total amount available for this grant program shall be available for grants under the program authorized by section 2015 of the 1968 Act: Provided further, That the definitions and grant conditions in section 40002 of the 1994 Act shall apply to this program;

(5) \$50,000,000 is for grants to encourage arrest policies as authorized by part U of the 1968 Act, of which \$4,000,000 is for a homicide reduction initiative;

(6) \$23,000,000 is for sexual assault victims assistance, as authorized by section 41601 of the 1994 Act;

(7) \$37,500,000 is for rural domestic violence and child abuse enforcement assistance grants, as authorized by section 40295 of the 1994 Act;

(8) \$9,000,000 is for grants to reduce violent crimes against women on campus, as authorized by section 304 of the 2005 Act;

(9) \$41,000,000 is for legal assistance for victims, as authorized by section 1201 of the 2000 Act;

(10) \$4,250,000 is for enhanced training and services to end violence against and abuse of women in later life, as authorized by section 40802 of the 1994 Act;

(11) \$16,000,000 is for a grant program to provide comprehensive support to victims of domestic violence and child sexual abuse and their families in family law matters in the civil justice system, including safe visitation and exchange services, improved court responses, and legal assistance to victims, protective parents, and their children: Provided, That unobligated balances available for the programs authorized by section 1301 of the 2000 Act and section 41002 of the 1994 Act shall be available for this program: Provided further, That 10 percent of the total amount available for this grant program shall be available for grants under the program authorized by section 2015 of the 1968 Act: Provided further, That the definitions and grant conditions in section 40002 of the 1994 Act shall apply to this program;

(12) \$5,750,000 is for education and training to end violence against and abuse of women with disabilities, as authorized by section 1402 of the 2000 Act;

(13) \$500,000 is for the National Resource Center on Workplace Responses to assist victims of domestic violence, as authorized by section 41501 of the 1994 Act;

(14) \$1,000,000 is for analysis and research on violence against Indian women, including as authorized by section 904 of the 2005 Act: Provided, That such funds may be transferred to "Research, Evaluation, and Statistics" for administration by the Office of Justice Programs; and

(15) \$500,000 is for the Office on Violence Against Women to establish a national clearinghouse that provides training and technical assistance on issues relating to sexual assault of American Indian and Alaska Native women.

#### (CANCELLATION)

Of the unobligated balances from prior year appropriations available under this heading, \$6,200,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 15-0409-0-1-754                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| 0002 Grants to Combat Violence Against Women (STOP) .....                 | 169         | 182     | 182       |
| 0003 Research and Evaluation of Violence Against Women (NIJ) .....        | 3           | 3       | 3         |
| 0004 Management and administration .....                                  | 18          | 18      | 18        |
| 0005 Transitional Housing .....   | 28          | 24      | 21        |
| 0006 Consolidated Youth Oriented Program .....                            | 4           | 10      | 10        |
| 0007 Grants to Encourage Arrest Policies .....                            | 47          | 48      | 48        |
| 0008 Rural Domestic Violence and Child Abuse Enforcement Assistance ..... | 40          | 32      | 35        |
| 0009 Legal Assistance Program .....                                       | 36          | 40      | 40        |
| 0010 Safe Haven Program .....   | 20          | 11      | .....     |
| 0011 Campus Violence .....  | 10          | 9       | 9         |
| 0012 Disabilities Program .....   | 6           | 5       | 5         |
| 0013 Elder Program .....  | 5           | 4       | 4         |
| 0014 Sexual Assault Services .....  | 24          | 22      | 22        |
| 0015 Court Training Program .....   | 10          | 4       | .....     |
| 0016 Indian Country - Sexual Assault Clearinghouse .....                  | 1           | 1       | .....     |
| 0017 National Resource Center on Workplace Responses .....                | 2           | 1       | .....     |
| 0018 Research on Violence Against Indian Women .....                      | 1           | 1       | .....     |
| 0019 Safe Havens Court Training Consolidation .....                       | .....       | .....   | 16        |
| 0900 Total new obligations .....  | 424         | 415     | 413       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 50          | 36      | 36        |
| 1011 Unobligated balance transfer from other accts [15-0404] ....         | 1           | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                    | 10          | 15      | 6         |
| 1050 Unobligated balance (total) .....                                    | 61          | 51      | 42        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 413         | 415     | 413       |
| 1131 Unobligated balance of appropriations permanently reduced .....      | -15         | -15     | -6        |
| 1160 Appropriation, discretionary (total) .....                           | 398         | 400     | 407       |
| Spending authority from offsetting collections, discretionary:            |             |         |           |
| 1700 Collected .....  | 3           | .....   | .....     |
| 1701 Change in uncollected payments, Federal sources .....                | -2          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....        | 1           | .....   | .....     |
| 1900 Budget authority (total) .....                                       | 399         | 400     | 407       |
| 1930 Total budgetary resources available .....                            | 460         | 451     | 449       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 36          | 36      | 36        |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 946         | 950     | 859       |
| 3010 Obligations incurred, unexpired accounts .....                       | 424         | 415     | 413       |
| 3020 Outlays (gross) .....  | -408        | -491    | -539      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....         | -10         | -15     | -6        |
| 3041 Recoveries of prior year unpaid obligations, expired .....           | -2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                | 950         | 859     | 727       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | -2          | .....   | .....     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | 2           | .....   | .....     |

OFFICE ON VIOLENCE AGAINST WOMEN—Continued  
Program and Financing—Continued

| Identification code 15–0409–0–1–754                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                    | 944         | 950     | 859       |
| 3200  | Obligated balance, end of year .....                      | 950         | 859     | 727       |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                             | 399         | 400     | 407       |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....            | 14          | 76      | 85        |
| 4011  | Outlays from discretionary balances .....                 | 394         | 415     | 454       |
| 4020  | Outlays, gross (total) .....                              | 408         | 491     | 539       |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....                                     | –3          |         |           |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired ..... | 2           |         |           |
| 4070  | Budget authority, net (discretionary) .....               | 398         | 400     | 407       |
| 4080  | Outlays, net (discretionary) .....                        | 405         | 491     | 539       |
| 4180  | Budget authority, net (total) .....                       | 398         | 400     | 407       |
| 4190  | Outlays, net (total) .....                                | 405         | 491     | 539       |

The Budget requests \$412,500,000 for programs administered by the Office on Violence Against Women (OVW) to prevent and respond to violence against women and related victims. OVW provides national leadership against domestic violence, dating violence, sexual assault, and stalking, and supports a multifaceted approach to responding to these crimes. Funding will support the Prevention and Prosecution of Violence Against Women and Related Victim Services Program. For 2014, funding requested for this account will support the following initiatives:

**STOP Violence Against Women Formula Grant Program.**—The STOP Program is designed to encourage the development and strengthening of effective law enforcement and prosecution strategies to combat violent crimes against women and the development and strengthening of victim services in cases involving violent crimes against women. The 2014 Budget proposes \$189,000,000 for this program.

**Transitional Housing Assistance Program.**—Transitional Housing grants support programs that provide assistance to victims of domestic violence, dating violence, sexual assault, and stalking who are in need of transitional housing, short-term housing assistance, and related support services. The 2014 Budget proposes \$22,000,000 for this program.

**Research on Violence Against Women (National Institute of Justice).**—This program supports research on violence against women. The 2014 Budget proposes \$3,000,000 for this program.

**Grants to Encourage Arrest Policies.**—This discretionary grant program is designed to encourage state, local, and tribal governments and state, local, and tribal courts to treat domestic violence, dating violence, sexual assault, and stalking as serious violations of criminal law requiring the coordinated involvement of the entire criminal justice system. The 2014 Budget proposes \$50,000,000 for this program.

**Homicide Reduction Initiative.**—This initiative is designed to address the urgent problem of homicide of the abused, especially those in escalating domestic violence situations. For 2014, \$4,000,000 will be made available from the Grants to Encourage Arrest Policies Program.

**Sexual Assault Services Program.**—This program provides funding for states and territories, tribes, state sexual assault coalitions, tribal coalitions, and culturally specific organizations. Overall, the purpose of this program is to provide intervention, advocacy, accompaniment, support services, and related assistance for adult, youth, and child victims of sexual assault, family

and household members of victims, and those collaterally affected by sexual assault. The 2014 Budget proposes \$23,000,000 for this program.

**Rural Domestic Violence Program.**—This program enhances the safety of child, youth and adult victims of domestic violence, dating violence, sexual assault, and stalking by supporting projects uniquely designed to address and prevent these crimes in rural jurisdictions. The 2014 Budget proposes \$37,500,000 for this program.

**Grants to Reduce Violence Crimes Against Women on Campus.**—The Campus Program develops and strengthens victim services in cases involving violent crimes against women on campuses, and aims to strengthen security and investigative strategies to prevent and prosecute violent crimes against women on campuses. The 2014 Budget proposes \$9,000,000 for this program.

**Legal Assistance for Victims Grant Program.**—The Legal Assistance Program increases the availability of civil and criminal legal assistance in order to provide effective aid to victims who are seeking relief in legal matters arising as a consequence of abuse or violence. The 2014 Budget proposes \$41,000,000 for this program.

**Enhanced Training and Services to End Violence Against and Abuse of Women Later in Life.**—This program provides or enhances training and services to address elder abuse, neglect, and exploitation, including domestic violence, dating violence, sexual assault, or stalking, involving victims who are 50 years of age or older. The 2014 Budget proposes \$4,250,000 for this program.

**Education and Training to End Violence Against and Abuse of Women with Disabilities Grant Program.**—The Disabilities Grant Program builds the capacity of jurisdictions to address domestic violence, dating violence, stalking and sexual assault against individuals with disabilities through the creation of multi-disciplinary teams. The 2014 Budget proposes \$5,750,000 for this program.

**Consolidation of Youth-Oriented Programming.**—This consolidated grant program consolidates the purpose areas of four programs under one competitive program. The four programs included in the consolidation include: Services to Advocate for and Respond to Youth, Grants to Assist Children and Youth Exposed to Violence, Engaging Men and Youth in Preventing Domestic Violence, and Supporting Teens through Education. This consolidation will allow OVW to leverage resources for maximum impact in communities by funding comprehensive projects that include both youth service and prevention components. The 2014 Budget proposes \$10,000,000 for this program.

**Indian Country—Sexual Assault Clearinghouse.**—This funding will support the establishment and maintenance of a national clearinghouse on the sexual assault of American Indian and Alaska Native women. This project will offer a one-stop shop for tribes to request free on-site training and technical assistance. The 2014 Budget proposes \$500,000 for this program.

**National Resource Center on Workplace Response.**—The Violence Against Women Act of 2005 provided for an award to establish and operate a national resource center on workplace responses to assist victims of domestic and sexual violence. The center will provide information and assistance to employers and labor organizations to better equip them to respond to victims. The 2014 Budget proposes \$500,000 for this program.

**Enhancing Safety for Victims and their Children in a Family Matter.**—This funding will provide comprehensive support to victims of domestic violence and child sexual abuse and their families in family law matters in the civil justice system, includ-

ing safe visitation and exchange services, improved court responses, and legal assistance to victims, protective parents, and their children. This program represents a consolidation of the Safe Havens and Court Training and Improvements program. The 2014 Budget proposes \$16,000,000 for this program.

For 2014, funding requested for this account also will support Analysis and Research on Violence Against Indian Women, a program that is administered by the Office of Justice Programs and supports comprehensive research on violence against Native American women. The 2014 Budget proposes \$1,000,000 for this program.

#### Object Classification (in millions of dollars)

| Identification code 15-0409-0-1-754                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 5           | 9       | 9         |
| 11.5 Other personnel compensation .....                         | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 6           | 9       | 9         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 |             | 1       | 1         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources .....        | 10          | 5       | 5         |
| 41.0 Grants, subsidies, and contributions .....                 | 400         | 392     | 390       |
| 99.9 Total new obligations .....                                | 424         | 415     | 413       |

#### Employment Summary

| Identification code 15-0409-0-1-754                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 58          | 58      | 58        |

#### JUVENILE JUSTICE PROGRAMS

For grants, contracts, cooperative agreements, and other assistance authorized by the *Juvenile Justice and Delinquency Prevention Act of 1974* ("the 1974 Act"); the *Omnibus Crime Control and Safe Streets Act of 1968* ("the 1968 Act"); the *Violence Against Women and Department of Justice Reauthorization Act of 2005* (Public Law 109-162) ("the 2005 Act"); the *Missing Children's Assistance Act* (42 U.S.C. 5771 et seq.); the *Prosecutorial Remedies and Other Tools to end the Exploitation of Children Today Act of 2003* (Public Law 108-21); the *Victims of Child Abuse Act of 1990* (Public Law 101-647) ("the 1990 Act"); the *Adam Walsh Child Protection and Safety Act of 2006* (Public Law 109-248) ("the Adam Walsh Act"); the *PROTECT Our Children Act of 2008* (Public Law 110-401); and other juvenile justice programs, \$332,500,000, to remain available until expended as follows:—

(1) \$70,000,000 for programs authorized by section 221 of the 1974 Act, and for training and technical assistance to assist small, non-profit organizations with the Federal grants process: Provided, That notwithstanding sections 103(26) and 223(a)(11)(A) of the 1974 Act, for purposes of funds appropriated in this Act—(a) the term "adult inmate" shall be understood to mean an individual who has been arrested and is in custody as the result of being charged as an adult with a crime, but shall not be understood to include anyone under the care and custody of a juvenile detention or correctional agency, or anyone who is in custody as the result of being charged with or having committed an offense described in Section 223(a)(11)(A) of the 1974 Act; (b) the juveniles described in Section 223(a)(11)(A) of the 1974 Act who have been charged with or who have committed an offense that would not be criminal if committed by an adult shall be understood to include individuals under 18 who are charged with or who have committed an offense of purchase, consumption, or possession of any alcoholic beverage or tobacco product; and (c) Section 223(a)(11)(A)(ii) of the 1974 Act shall apply only to those individuals described in Section 223(a)(11)(A) who, while remaining under the jurisdiction of the court on the basis of the offense described therein, are charged with or commit a violation of a valid court order thereof;

- (2) \$58,000,000 for youth mentoring grants;
- (3) \$56,000,000 for delinquency prevention, as authorized by sections 261 and 262 of the 1974 Act; of which \$20,000,000 is for competitive grants to police and juvenile justice authorities in communities that have been awarded Department of Education School Climate Transformation Grants to collaborate on use of evidence-based positive behavior strategies to increase school safety and reduce juvenile arrests;
- (4) \$30,000,000 for the Juvenile Accountability Block Grants program as authorized by part R of title I of the 1968 Act; Provided, That Guam shall be considered a State for purposes thereof;
- (5) \$20,000,000 for incentive grants to assist states that use Juvenile Accountability Block Grants program funds for evidence-based juvenile justice system realignment to foster better outcomes for affected juveniles;
- (6) \$25,000,000 for community-based violence prevention initiatives, of which no less than \$12,500,000 is for public health approaches to reducing shootings and violence;
- (7) \$4,000,000 for grants and technical assistance in support of the National Forum on Youth Violence Prevention;
- (8) \$67,000,000 for missing and exploited children programs, including as authorized by sections 404(b) and 405(a) of the 1974 Act, of which \$22,000,000 is for grants and activities concerning Internet crimes against children, including as authorized by the PROTECT Our Children Act of 2008 (Public Law 110-401);
- (9) \$500,000 for an Internet site providing information and resources on children of incarcerated parents;
- (10) \$2,000,000 for competitive grants focusing on girls in the juvenile justice system:

Provided, That not more than 10 percent of each amount may be used for research, evaluation, and statistics activities designed to benefit the programs or activities authorized: Provided further, That not more than 5 percent of each amount may be used for training and technical assistance: Provided further, That the previous two provisos shall not apply to grants and projects authorized by sections 261 and 262 of the 1974 Act and to missing and exploited children programs.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 15-0405-0-1-754                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                |             |         |           |
| 0001 Part B: Formula Grants .....                                      | 36          | 37      | 64        |
| 0002 Youth Mentoring .....   | 70          | 73      | 53        |
| 0003 Title V - Local Delinquency Prevention Incentive Grants .....     | 18          | 18      | 51        |
| 0004 Victims of Child Abuse .....                                      | 16          | 17      |           |
| 0007 Juvenile Accountability Block Grant Program .....                 | 28          | 28      | 28        |
| 0008 Community-Based Violence Prevention Initiatives .....             | 7           | 7       | 23        |
| 0012 National Forum on Youth Violence Prevention .....                 | 2           | 2       | 4         |
| 0013 Missing and Exploited Children .....                              | 58          | 60      | 62        |
| 0014 Child Abuse Training for Judicial Personnel .....                 | 1           | 1       |           |
| 0015 Management and Administration .....                               | 22          | 21      | 27        |
| 0016 Juvenile Justice Realignment Incentive Grants .....               |             |         | 18        |
| 0017 Competitive Grants for Girls in the Juvenile Justice System ..... |             |         | 2         |
| 0018 Children of Incarcerated Parents Web Portal .....                 |             |         | 1         |
| 0799 Total direct obligations .....                                    | 258         | 264     | 333       |
| 0801 Reimbursable program .....  | 6           | 2       | 6         |
| 0900 Total new obligations .....                                       | 264         | 266     | 339       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                  | 7           | 5       | 5         |
| 1021 Recoveries of prior year unpaid obligations .....                 | 7           | 9       | 9         |
| 1050 Unobligated balance (total) .....                                 | 14          | 14      | 14        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 263         | 264     | 333       |
| 1120 Appropriations transferred to other accts [15-0401] .....         | -5          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced .....   | -10         | -9      | -8        |
| 1160 Appropriation, discretionary (total) .....                        | 248         | 255     | 325       |
| Spending authority from offsetting collections, discretionary:         |             |         |           |
| 1700 Collected .....   | 8           | 2       |           |



JUVENILE JUSTICE PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 15-0405-0-1-754                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1701  | Change in uncollected payments, Federal sources .....         | -1          |         |           |
| 1750  | Spending auth from offsetting collections, disc (total) ..... | 7           | 2       |           |
| 1900  | Budget authority (total) .....                                | 255         | 257     | 325       |
| 1930  | Total budgetary resources available .....                     | 269         | 271     | 339       |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 1941  | Unexpired unobligated balance, end of year .....              | 5           | 5       |           |
| <b>Change in obligated balance:</b>                     |   |             |         |           |
| Unpaid obligations:                                     |   |             |         |           |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....              | 675         | 567     | 452       |
| 3010  | Obligations incurred, unexpired accounts .....                | 264         | 266     | 339       |
| 3020  | Outlays (gross) .....   | -365        | -372    | -352      |
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....  | -7          | -9      | -9        |
| 3050  | Unpaid obligations, end of year .....                         | 567         | 452     | 430       |
| Uncollected payments:                                   |   |             |         |           |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -5          | -4      | -4        |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....     | 1           |         |           |
| 3090  | Uncollected pymts, Fed sources, end of year .....             | -4          | -4      | -4        |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                        | 670         | 563     | 448       |
| 3200  | Obligated balance, end of year .....                          | 563         | 448     | 426       |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                                 | 255         | 257     | 325       |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....                | 41          | 51      | 65        |
| 4011  | Outlays from discretionary balances .....                     | 324         | 321     | 287       |
| 4020  | Outlays, gross (total) .....                                  | 365         | 372     | 352       |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....   | -8          | -2      |           |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....     | 1           |         |           |
| 4070  | Budget authority, net (discretionary) .....                   | 248         | 255     | 325       |
| 4080  | Outlays, net (discretionary) .....                            | 357         | 370     | 352       |
| 4180  | Budget authority, net (total) .....                           | 248         | 255     | 325       |
| 4190  | Outlays, net (total) .....                                    | 357         | 370     | 352       |

The 2014 Budget requests \$332,500,000 for the Office of Justice Programs' (OJP) Juvenile Justice Programs. This appropriation account includes programs that support state, local and tribal community efforts to develop and implement effective and coordinated prevention and intervention juvenile programs. Such programs are designed to reduce juvenile delinquency and crime, and improve the juvenile justice system so that it protects public safety, holds offenders accountable, and provides treatment and rehabilitative services tailored to the needs of juveniles and their families.

The Nation's youth face an ever changing set of problems and barriers to successful lives. As a result, OJP is constantly challenged to develop enlightened policies and programs to address the needs and risks of those youth who enter the juvenile justice system. OJP remains committed to leading the nation in efforts addressing these challenges, which include: preparing juvenile offenders to return to their communities following release from secure correctional facilities; dealing with the small percentage of serious, violent, and chronic juvenile offenders; helping states address the disproportionate confinement of minority youth; and helping children who have been victimized by crime and child abuse. This request includes the following programs:

**Part B: Formula Grants.**—This program supports state, local, and tribal efforts to develop and implement comprehensive state juvenile justice plans. Funds also may be used for research, evaluation, statistics, other informational activities, and training and technical assistance. Funding also is available to help small, nonprofit organizations, including faith-based organizations,

with the federal grants process. The 2014 Budget proposes \$70,000,000 for this program.

**Youth Mentoring.**—The Youth Mentoring program supports faith and community-based, nonprofit, and for-profit agencies in the enhancement and expansion of existing mentoring strategies and programs, as well as assistance to develop, implement, and pilot test mentoring strategies and programs designed for youth in the juvenile justice, reentry, and foster care systems. In addition, OJP supports training and technical assistance to the sites to assist with adapting existing mentoring approaches to meet the needs of the target populations and to identify and maintain partnerships. The 2014 Budget proposes \$58,000,000 for this program.

**Title V Local Delinquency Prevention Incentive Grants Program.**—The Title V program provides resources through state advisory groups to units of local government for a broad range of delinquency prevention programs and activities to benefit youth who are at risk of having contact with the juvenile justice system. The 2014 Budget proposes \$56,000,000 for this program. Of this total, \$20,000,000 will be used for Juvenile Justice and Education Collaboration Assistance, a new initiative aimed at reducing the use of arrest and juvenile justice courts as a response to non-serious youth misbehaving in and around schools. This program will operate in concert with Department of Education School Climate Transformation Grants and other initiatives focused on improving school safety and climate.

**Community-Based Violence Prevention Initiatives.**—Based on the violence reduction work of several cities and public health research of recent years, OJP will provide funding for community-based strategies that focus on street-level outreach, conflict mediation, and the changing of community norms to reduce violence, particularly shootings. The 2014 Budget proposes \$25,000,000 for this program.

**National Forum on Youth Violence Prevention Initiative.**—This forum was created for participating localities to share challenges and promising strategies with each other and to explore how federal agencies can better support local efforts to curb youth and gang violence. The 2014 Budget proposes \$4,000,000 for this program.

**Juvenile Accountability Block Grant (JABG).**—The JABG program funds block grants to states to support a variety of accountability-based juvenile justice programs. The 2014 Budget proposes \$30,000,000 for this program.

**Juvenile Justice Realignment Incentive Grants.**—This new initiative will provide supplementary incentive grant awards to assist states that use JABG funds for evidence-based juvenile justice system realignment to foster better outcomes for system-involved youth, less costly use of incarceration, and increased public safety. The 2014 Budget proposes \$20,000,000 for this program.

**Missing and Exploited Children Program.**—This program supports efforts to prevent the abduction and exploitation of children, including funding for the Internet Crimes Against Children and AMBER Alert Programs. The 2014 Budget proposes \$67,000,000 for this program and of this amount, \$22,000,000 will be set aside to support assistance to combat internet crime against children, as provided for by the PROTECT our Children Act of 2008.

**Competitive Grants Focusing on Girls in the Juvenile Justice System.**—The 2014 Budget proposes \$2,000,000 for a new program that will provide competitive demonstration grants focusing on girls in the juvenile justice system through responses and strategies that consider gender and the special needs of girls.



*Children of Incarcerated Parents (COIP) Web Portal.*—The 2014 Budget proposes \$500,000 for the development and implementation of a web portal that would consolidate information regarding federal resources, grant opportunities, best and promising practices, and ongoing government initiatives that address and support children of incarcerated parents and their caregivers.

**Object Classification** (in millions of dollars)

| Identification code 15–0405–0–1–754                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 7           | 7       | .....     |
| 25.2 Other services from non-Federal sources .....       | .....       | .....   | 9         |
| 25.3 Other goods and services from Federal sources ..... | 23          | 23      | 29        |
| 41.0 Grants, subsidies, and contributions .....          | 228         | 234     | 295       |
| 99.0 Direct obligations .....                            | 258         | 264     | 333       |
| 99.0 Reimbursable obligations .....                      | 6           | 2       | 6         |
| 99.9 Total new obligations .....                         | 264         | 266     | 339       |

**PUBLIC SAFETY OFFICER BENEFITS**

(INCLUDING TRANSFER OF FUNDS)

*For payments and expenses authorized under section 1001(a)(4) of title I of the Omnibus Crime Control and Safe Streets Act of 1968, such sums as are necessary (including amounts for administrative costs), to remain available until expended; and \$16,300,000 for payments authorized by section 1201(b) of such Act and for educational assistance authorized by section 1218 of such Act, to remain available until expended: Provided, That notwithstanding section 205 of this Act, upon a determination by the Attorney General that emergent circumstances require additional funding for such disability and education payments, the Attorney General may transfer such amounts to "Public Safety Officers Benefits" from available appropriations for the Department of Justice as may be necessary to respond to such circumstances: Provided further, That any transfer pursuant to the previous proviso shall be treated as a reprogramming under section 504 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 15–0403–0–1–754                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Public safety officers benefit payments .....              | 92          | 90      | 81        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 4           | 12      | .....     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 2           | 6       | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 16          | 16      | 16        |
| 1160 Appropriation, discretionary (total) .....                 | 16          | 16      | 16        |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 84          | 62      | 65        |
| 1260 Appropriations, mandatory (total) .....                    | 84          | 62      | 65        |
| 1900 Budget authority (total) .....                             | 100         | 78      | 81        |
| 1930 Total budgetary resources available .....                  | 104         | 90      | 81        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 12          | .....   | .....     |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 9           | 12      | 7         |
| 3010 Obligations incurred, unexpired accounts .....             | 92          | 90      | 81        |

|   |     |     |       |
|---|-----|-----|-------|
| 3020 Outlays (gross) .....                  | –89 | –95 | –88   |
| 3050 Unpaid obligations, end of year .....  | 12  | 7   | ..... |
| Memorandum (non-add) entries:               |     |     |       |
| 3100 Obligated balance, start of year ..... | 9   | 12  | 7     |
| 3200 Obligated balance, end of year .....   | 12  | 7   | ..... |

**Budget authority and outlays, net:**

|   |     |    |       |
|---|-----|----|-------|
| Discretionary:                                      |     |    |       |
| 4000 Budget authority, gross .....                  | 16  | 16 | 16    |
| Outlays, gross:                                     |     |    |       |
| 4010 Outlays from new discretionary authority ..... | 10  | 16 | 16    |
| 4011 Outlays from discretionary balances .....      | 2   | 11 | ..... |
| 4020 Outlays, gross (total) .....                   | 12  | 27 | 16    |
| Mandatory:  |     |    |       |
| 4090 Budget authority, gross .....                  | 84  | 62 | 65    |
| Outlays, gross:                                     |     |    |       |
| 4100 Outlays from new mandatory authority .....     | 70  | 62 | 65    |
| 4101 Outlays from mandatory balances .....          | 7   | 6  | 7     |
| 4110 Outlays, gross (total) .....                   | 77  | 68 | 72    |
| 4180 Budget authority, net (total) .....            | 100 | 78 | 81    |
| 4190 Outlays, net (total) .....                     | 89  | 95 | 88    |

The 2014 Budget is requesting \$81,300,000 for the Office of Justice Programs' Public Safety Officers Benefits (PSOB) appropriation, of which \$65,000,000 is a permanent indefinite (mandatory) appropriation for death benefits and \$16,300,000 is a discretionary appropriation for disability and education benefits. This appropriation account supports programs that provide benefits to public safety officers who are severely injured in the line of duty and to the families and survivors of public safety officers killed or mortally injured in the line of duty. These programs represent the continuation of a forty-year partnership among the Department of Justice, national public safety organizations, and state, local, and tribal public safety agencies. Created in 1976, the PSOB program oversees three types of benefits:

*Death Benefits.*—The Death Benefits program provides a one-time financial benefit to survivors of public safety officers whose deaths resulted from injuries sustained in the line of duty.

*Disability Benefits.*—The Disability Benefits program offers a one-time financial benefit to public safety officers permanently disabled by catastrophic injuries sustained in the line of duty.

*Education Benefits.*—This program provides financial support for higher education expenses to the eligible spouses and children of public safety officers killed or permanently disabled in the line of duty.

**Object Classification** (in millions of dollars)

| Identification code 15–0403–0–1–754                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 3           | .....   | .....     |
| 25.2 Other services from non-Federal sources .....       | .....       | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 3           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....          | 6           | 5       | 5         |
| 42.0 Insurance claims and indemnities .....              | 80          | 81      | 72        |
| 99.9 Total new obligations .....                         | 92          | 90      | 81        |

**CRIME VICTIMS FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 15–5041–0–2–754                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                | 6,099       | 8,186   | 9,531     |
| Receipts:  |             |         |           |
| 0200 Fines, Penalties, and Forfeitures, Crime Victims Fund ..... | 2,796       | 2,000   | 1,900     |
| 0400 Total: Balances and collections .....                       | 8,895       | 10,186  | 11,431    |
| Appropriations:  |             |         |           |
| 0500 Crime Victims Fund .....                                    | .....       | 9,531   | 10,631    |
| 0501 Crime Victims Fund .....                                    | –2,795      | –2,000  | –1,850    |
| 0502 Crime Victims Fund .....                                    | –6,100      | –8,186  | –9,531    |

**CRIME VICTIMS FUND—Continued**  
**Special and Trust Fund Receipts—Continued**

| Identification code 15-5041-0-2-754 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0503 Crime Victims Fund .....       | 8,186       | .....   | .....     |
| 0599 Total appropriations .....     | -709        | -655    | -750      |
| 0799 Balance, end of year .....     | 8,186       | 9,531   | 10,681    |

**Program and Financing (in millions of dollars)**

| Identification code 15-5041-0-2-754  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Crime victims grants and assistance .....                                   | 656         | 649     | 740       |
| 0002 Management and administration .....   | 56          | 56      | 60        |
| 0900 Total new obligations .....   | 712         | 705     | 800       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                            | 50          | 50      | 50        |
| 1021 Recoveries of prior year unpaid obligations .....                           | 3           | .....   | .....     |
| 1050 Unobligated balance (total) .....   | 53          | 50      | 50        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1134 Appropriations Precluded from Obligation[-5041] .....                       | .....       | -9,531  | -10,631   |
| 1160 Appropriation, discretionary (total) .....                                  | .....       | -9,531  | -10,631   |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | .....       | 50      | 50        |
| 1201 [-5041] .....   | 2,795       | 2,000   | 1,850     |
| 1203 Appropriation (unavailable balances) .....                                  | 6,100       | 8,186   | 9,531     |
| 1235 Portion precluded from balances .....                                       | -8,186      | .....   | .....     |
| 1260 Appropriations, mandatory (total) .....                                     | 709         | 10,236  | 11,431    |
| 1900 Budget authority (total) .....  | 709         | 705     | 800       |
| 1930 Total budgetary resources available .....                                   | 762         | 755     | 850       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balances, EOY (Antiterrorism Emergency Reserve) ..... | 50          | 50      | 50        |

**Change in obligated balance:**

|   |       |       |       |
|---|-------|-------|-------|
| Unpaid obligations:   |       |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,110 | 1,123 | 1,068 |
| 3010 Obligations incurred, unexpired accounts .....               | 712   | 705   | 800   |
| 3020 Outlays (gross) .....  | -696  | -760  | -965  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -3    | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 1,123 | 1,068 | 903   |
| Memorandum (non-add) entries:                                     |       |       |       |
| 3100 Obligated balance, start of year .....                       | 1,110 | 1,123 | 1,068 |
| 3200 Obligated balance, end of year .....                         | 1,123 | 1,068 | 903   |

**Budget authority and outlays, net:**

|   |       |        |         |
|---|-------|--------|---------|
| Discretionary:                                      |       |        |         |
| 4000 Budget authority, gross .....                  | ..... | -9,531 | -10,631 |
| Outlays, gross:                                     |       |        |         |
| 4010 Outlays from new discretionary authority ..... | ..... | -5,719 | -6,379  |
| 4011 Outlays from discretionary balances .....      | ..... | .....  | -2,859  |
| 4020 Outlays, gross (total) .....                   | ..... | -5,719 | -9,238  |
| Mandatory:  |       |        |         |
| 4090 Budget authority, gross .....                  | 709   | 10,236 | 11,431  |
| Outlays, gross:                                     |       |        |         |
| 4100 Outlays from new mandatory authority .....     | 100   | 6,142  | 6,859   |
| 4101 Outlays from mandatory balances .....          | 596   | 337    | 3,344   |
| 4110 Outlays, gross (total) .....                   | 696   | 6,479  | 10,203  |
| 4180 Budget authority, net (total) .....            | 709   | 705    | 800     |
| 4190 Outlays, net (total) .....                     | 696   | 760    | 965     |

Programs supported by the Crime Victims Fund focus on providing compensation to victims of crime and survivors; supporting appropriate victims' services programs and victimization prevention strategies; and building capacity to improve response to crime victims' needs and increase offender accountability. The Fund was established to address the need for victim services programs, and to assist state, local, and tribal governments in providing appropriate services to their communities. The Fund is financed by collections of fines, penalty assessments, and bond

forfeitures from defendants convicted of Federal crimes. The 2014 Budget proposes to provide \$800,000,000 from collections and balances for crime victim compensation, services, and related needs. Of this amount, the Budget also proposes to use \$45,000,000 for Vision 21, which provides supplemental victims services and other victim-related programs and initiatives in areas like: research, legal services, capacity building, national and international victim assistance, and tribal assistance. Also included is \$10,000,000 for domestic trafficking victims grants.

**Object Classification (in millions of dollars)**

| Identification code 15-5041-0-2-754                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 24.0 Printing and reproduction .....                     | .....       | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 50          | 34      | 34        |
| 25.2 Other services from non-Federal sources .....       | 7           | 17      | 17        |
| 25.3 Other goods and services from Federal sources ..... | 56          | 56      | 56        |
| 41.0 Grants, subsidies, and contributions .....          | 598         | 596     | 691       |
| 42.0 Insurance claims and indemnities .....              | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 712         | 705     | 800       |

**COMMUNITY ORIENTED POLICING STABILIZATION FUND**

This account provides \$4 billion in immediate assistance for the retention, rehiring, and hiring of police officers in 2013, as requested by the President in the American Jobs Act. In addition, states and localities will gain a preference for implementing programs and policies that focus on the recruitment of post-9/11 veterans for law enforcement positions.

**COMMUNITY ORIENTED POLICING STABILIZATION FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing (in millions of dollars)**

| Identification code 15-0413-4-1-754                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Grants .....  | .....       | .....   | 3,992     |
| 0002 Administrative costs .....                                | .....       | 1       | 7         |
| 0900 Total new obligations .....                               | .....       | 1       | 3,999     |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | .....       | .....   | 3,999     |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                     |             |         |           |
| 1200 Appropriation .....                                       | .....       | 5,000   | .....     |
| 1220 Appropriations transferred to other accts [70-0721] ..... | .....       | -1,000  | .....     |
| 1260 Appropriations, mandatory (total) .....                   | .....       | 4,000   | .....     |
| 1930 Total budgetary resources available .....                 | .....       | 4,000   | 3,999     |
| Memorandum (non-add) entries:                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....          | .....       | 3,999   | .....     |

**Change in obligated balance:**

|   |       |       |        |
|---|-------|-------|--------|
| Unpaid obligations:                                   |       |       |        |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | ..... | ..... | 1      |
| 3010 Obligations incurred, unexpired accounts .....   | ..... | 1     | 3,999  |
| 3020 Outlays (gross) .....                            | ..... | ..... | -2,400 |
| 3050 Unpaid obligations, end of year .....            | ..... | 1     | 1,600  |
| Memorandum (non-add) entries:                         |       |       |        |
| 3100 Obligated balance, start of year .....           | ..... | ..... | 1      |
| 3200 Obligated balance, end of year .....             | ..... | 1     | 1,600  |

**Budget authority and outlays, net:**

|  |       |       |       |
|--|-------|-------|-------|
| Mandatory:                                 |       |       |       |
| 4090 Budget authority, gross .....         | ..... | 4,000 | ..... |
| Outlays, gross:                            |       |       |       |
| 4101 Outlays from mandatory balances ..... | ..... | ..... | 2,400 |
| 4180 Budget authority, net (total) .....   | ..... | 4,000 | ..... |
| 4190 Outlays, net (total) .....            | ..... | ..... | 2,400 |

**Object Classification** (in millions of dollars)

| Identification code 15-0413-4-1-754             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 25.1 Advisory and assistance services .....     |             | 1       | 7         |
| 41.0 Grants, subsidies, and contributions ..... |             |         | 3,992     |
| 99.9 Total new obligations .....                |             | 1       | 3,999     |

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Governmental receipts:   |             |         |           |
| 15-085400 Registration Fees, DEA .....   | 15          | 15      | 15        |
| General Fund Governmental receipts .....   | 15          | 15      | 15        |
| Offsetting receipts from the public:   |             |         |           |
| 15-143600 General Fund Proprietary Interest Receipts, not Otherwise Classified .....             | 1           | 1       | 1         |
| 15-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 267         | 77      | 77        |
| General Fund Offsetting receipts from the public .....   | 268         | 78      | 78        |
| Intragovernmental payments:  |             |         |           |
| 15-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... | 568         |         |           |
| General Fund Intragovernmental payments .....  | 568         |         |           |

**GENERAL PROVISIONS—DEPARTMENT OF JUSTICE**

(INCLUDING CANCELLATION OF FUNDS)

**SEC. 201.** *In addition to amounts otherwise made available in this title for official reception and representation expenses, a total of not to exceed \$50,000 from funds appropriated to the Department of Justice in this title shall be available to the Attorney General for official reception and representation expenses.*

**SEC. 202.** *None of the funds appropriated by this title shall be available to pay for an abortion, except where the life of the mother would be endangered if the fetus were carried to term, or in the case of rape: Provided, That should this prohibition be declared unconstitutional by a court of competent jurisdiction, this section shall be null and void.*

**SEC. 203.** *None of the funds appropriated under this title shall be used to require any person to perform, or facilitate in any way the performance of, any abortion.*

**SEC. 204.** *Nothing in the preceding section shall remove the obligation of the Director of the Bureau of Prisons to provide escort services necessary for a female inmate to receive such service outside the Federal facility: Provided, That nothing in this section in any way diminishes the effect of section 203 intended to address the philosophical beliefs of individual employees of the Bureau of Prisons.*

**SEC. 205.** *Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of Justice in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.*

**SEC. 206.** *Funds appropriated by this or any other Act under the heading Bureau of Alcohol, Tobacco, Firearms and Explosives, Salaries and Expenses shall be available for retention pay for any employee who would otherwise be subject to a reduction in pay upon the termination of the Bureau's Personnel Management Demonstration Project (as transferred to the Attorney General by section 1115 of the Homeland Security Act of 2002, Public Law 107-296 (28 U.S.C. 599B)). Such retention pay shall comply with section 5363 of title 5, United States Code, and related Office of Personnel Management regulations, except as provided in this section. Such retention pay shall be paid at the employee's rate of pay immediately*

*prior to the termination of the demonstration project and shall not be subject to the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations. The rate of pay of any employee receiving retention pay pursuant to this provision shall be increased at the time of any increase in the maximum rate of basic pay payable for the grade of the employee's position by 50 percent of the dollar amount of each such increase, except that an employee's retained rate of basic pay shall not be so increased if both (a) the employee's retained rate of basic pay immediately prior to the time of such increase exceeds the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations, and (b) the employee's increased rate of pay would exceed the maximum rate of basic pay payable for the employee's position.*

**SEC. 207.** *None of the funds made available to the Department of Justice in this Act may be used for the purpose of transporting an individual who is a prisoner pursuant to conviction for crime under State or Federal law and is classified as a maximum or high security prisoner, other than to a prison or other facility certified by the Federal Bureau of Prisons as appropriately secure for housing such a prisoner.*

**SEC. 208.** (a) *None of the funds appropriated by this Act may be used by Federal prisons to purchase cable television services, to rent or purchase videocassettes, videocassette recorders, or other audiovisual or electronic equipment used primarily for recreational purposes.*

(b) *The preceding sentence does not preclude the renting, maintenance, or purchase of audiovisual or electronic equipment for inmate training, religious, or educational programs.*

**SEC. 209.** *None of the funds made available under this title shall be obligated or expended for any new or enhanced information technology program having total estimated development costs in excess of \$100,000,000, unless the Deputy Attorney General and the investment review board certify to the Committees on Appropriations that the information technology program has appropriate program management controls and contractor oversight mechanisms in place, and that the program is compatible with the enterprise architecture of the Department of Justice.*

**SEC. 210.** *The notification thresholds and procedures set forth in section 504 of this Act shall apply to deviations from the amounts designated for specific activities in this Act and accompanying statement, and to any use of deobligated balances of funds provided under this title in previous years.*

**SEC. 211.** *None of the funds appropriated by this Act may be used to plan for, begin, continue, finish, process, or approve a public-private competition under the Office of Management and Budget Circular A-76 or any successor administrative regulation, directive, or policy for work performed by employees of the Bureau of Prisons or of Federal Prison Industries, Incorporated.*

**SEC. 212.** *At the discretion of the Attorney General, and in addition to any amounts that otherwise may be available (or authorized to be made available) by law, with respect to funds appropriated by this title under the headings "Research, Evaluation, and Statistics", "State and Local Law Enforcement Assistance", and "Juvenile Justice Programs"—*

(1) *Up to 5 percent of funds made available to the Office of Justice Programs for grant or reimbursement programs may be used by such Office to provide training and technical assistance;*

(2) *Up to 2 percent of funds made available for grant or reimbursement programs under such headings, except for amounts appropriated specifically for research, evaluation, or statistical programs administered by the National Institute of Justice and the Bureau of Justice Statistics, shall be transferred to and merged with funds provided to the National Institute of Justice and the Bureau of Justice Statistics, to be used by them for research, evaluation or statistical purposes, without regard to the authorizations for such grant or reimbursement programs; and*

(3) *7 percent of funds made available for grant or reimbursement programs: (1) under the heading "State and Local Law Enforcement Assistance"; or (2) under the headings "Research, Evaluation, and Statistics" and "Juvenile Justice Programs", to be transferred to and merged with funds made available under the heading "State and Local Law Enforcement Assistance", shall be available for tribal criminal justice assistance without regard to the authorizations for such grant or reimbursement programs.*

**SEC. 213.** *The Attorney General may, upon request by a grantee and based upon a determination of fiscal hardship, waive the requirements of sections 2976(g)(1), 2978(e)(1) and (2), and 2904 of title I of the Omnibus*

Crime Control and Safe Streets Act of 1968 (42 U.S.C. 3797w(g)(1), 3797w-2(e)(1) and (2), 3797q-3) and section 6(c)(3) of the Prison Rape Elimination Act of 2003 (42 U.S.C. 15605(c)(3)) with respect to funds appropriated in this or any other Act making appropriations for fiscal years 2010 through 2013 for Adult and Juvenile Offender State and Local Reentry Demonstration Projects and State, Tribal, and Local Reentry Courts authorized under part FF of title I of such Act of 1968, and the Prosecution Drug Treatment Alternatives to Prison Program authorized under part CC of such Act of 1968, and Grants to Protect Inmates and Safeguard Communities under such Act of 2003.

SEC. 214. Notwithstanding any other provision of law, section 20109(a), in subtitle A of title II of the Violent Crime Control and Law Enforcement Act of 1994 (42 U.S.C. 13709(a)), shall not apply to amounts made available by this or any other Act.

SEC. 215. Of the unobligated balances from prior year appropriations for the Office of Justice Programs, \$47,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 216. Notwithstanding any other provision of law, amounts deposited or available in the Fund established under section 1402 of the Victims of Crime Act of 1984 (42 U.S.C. 10601) in any fiscal year in excess of \$800,000,000 shall not be available for obligation in this fiscal year: Provided, That, notwithstanding section 1402(d) of such Act of 1984, of the amounts available from the Fund for obligation, the following amounts shall be available without fiscal year limitation to the Director of the Office for Victims of Crime: \$25,000,000 for supplemental victims' services and other victim-related programs and initiatives, \$20,000,000 for tribal assistance for victims of violence, and \$10,000,000 for victims of trafficking grants focused on domestic victims: Provided, That up to 2 percent of funds may be made available to the National Institute of Justice and the Bureau of Justice Statistics, to be used by them for research, evaluation or statistical purposes related to crime victims and related programs

SEC. 217. None of the funds made available under this Act, other than for the national instant criminal background check system established under section 103 of the Brady Handgun Violence Prevention Act, may be used by a Federal law enforcement officer to facilitate the transfer of an operable firearm to an individual if the Federal law enforcement officer knows or suspects that the individual is an agent of a drug cartel, unless law enforcement personnel of the United States continuously monitor or control the firearm at all times.

SEC. 218. Of the unobligated balances from prior year appropriations available under the heading "Working Capital Fund", \$30,000,000 are hereby permanently cancelled: Provided, That no amounts may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 219. PERFORMANCE PARTNERSHIP PILOTS.—

(a) DEFINITIONS.—In this section,

(1) "Performance Partnership Pilot" (or "Pilot") is a project that seeks to identify, through a demonstration, cost-effective strategies for providing services at the state, regional, or local level that—

(A) involve two or more Federal programs (administered by one or more Federal agencies)—

(i) which have related policy goals, and

(ii) at least one of which is administered (in whole or in part) by a state, local, or tribal government; and

(B) achieve better results for regions, communities, or specific at risk populations through making better use of the budgetary resources that are available for supporting such programs.

(2) "To improve outcomes for disconnected youth" means to increase the rate at which individuals between the ages of 14 and 24 (who are homeless, in foster care, involved in the juvenile justice system, or are neither employed nor enrolled in an educational institution) achieve success in meeting educational, employment or other key goals.

(3) The "lead Federal administering agency" is the Federal agency, to be designated by the Director of the Office of Management and Budget (from among the participating Federal agencies that have statutory responsibility for the Federal discretionary funds that will be used in a Performance Partnership Pilot) that will enter into and administer the

particular Performance Partnership Agreement on behalf of that agency and the other participating Federal agencies.

(b) USE OF DISCRETIONARY FUNDS IN FISCAL YEAR 2014 APPROPRIATIONS ACT.—Federal agencies may use Federal discretionary funds, that are made available in this act or any other appropriations act providing funds for Fiscal Year 2014 and corresponding authority to enter into Performance Partnership Pilots, to carry out up to a total of 13 Performance Partnership Pilots involving up to a total of \$130,000,000 in aggregate Federal discretionary budget authority. Such Pilots shall:

(1) be designed to improve outcomes for disconnected youth, and

(2) involve Federal programs targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training and employment, and other related social services; and

(c) PERFORMANCE PARTNERSHIP AGREEMENTS.—Federal agencies may use Federal discretionary funds, as authorized in subsection (b), to participate in a Performance Partnership Pilot only in accordance with the terms of a Performance Partnership Agreement that—

(1) is entered into between—

(A) the head of the lead Federal administering agency, on behalf of all of the participating Federal agencies (subject to the head of the lead Federal administering agency having received from the heads of each of the other participating agencies their written concurrence for entering into the Agreement), and

(B) the respective representatives of all of the state, local or tribal governments that are participating in the Agreement; and

(2) specifies, at a minimum, the following information:

(A) the length of the Agreement (which shall not extend beyond September 30, 2018);

(B) the Federal programs and federally-funded services that are involved in the Pilot;

(C) the Federal discretionary funds that are being used in the Pilot (by the respective Federal account identifier, and the total amount from such account that is being used in the Pilot), and the period (or periods) of availability for obligation (by the Federal Government) of such funds;

(D) the non-Federal funds that are involved in the Pilot, by source (which can include private funds as well as governmental funds) and by amount;

(E) the state, local, or tribal programs that are involved in the Pilot;

(F) the populations to be served by the Pilot;

(G) the cost-effective Federal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;

(H) the cost-effective State, local or tribal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;

(I) the outcome (or outcomes) that the Pilot is designed to achieve;

(J) the appropriate, reliable, and objective outcome-measurement methodology that the Federal Government and the participating state, local, or tribal governments will use, in carrying out the Pilot, to determine whether the Pilot is achieving, and has achieved, the specified outcomes that the Pilot is designed to achieve; and

(K) in cases where, during the course of the Pilot, it is determined that the Pilot is not achieving the specified outcomes that it is designed to achieve,

(i) the consequences that will result from such deficiencies with respect to the Federal discretionary funds that are being used in the Pilot, and

(ii) the corrective actions that will be taken in order to increase the likelihood that the Pilot, upon completion, will have achieved such specified outcomes.

(d) AGENCY HEAD DETERMINATIONS.—A Federal agency may participate in a Performance Partnership Pilot (including by providing Federal discretionary funds that have been appropriated to such agency) only upon the written determination by the head of such agency that the agency's participation in such Pilot—

(1) will not result in denying or restricting the eligibility of any individual for any of the services that (in whole or in part) are funded by the agency's programs and Federal discretionary funds that are involved in the Pilot, and

(2) based on the best available information, will not otherwise adversely affect vulnerable populations that are the recipients of such services. In making this determination, the head of the agency may take into consid-

eration the other Federal discretionary funds that will be used in the Pilot as well as any non-Federal funds (including from private sources as well as governmental sources) that will be used in the Pilot.

(e) **TRANSFER AUTHORITY.**—For the purpose of carrying out the Pilot in accordance with the Performance Partnership Agreement, and subject to the written approval of the Director of the Office of Management and Budget, the head of each participating Federal agency may transfer Federal discretionary funds that are being used in the Pilot to an account of the lead Federal administering agency that includes Federal discretionary funds that are being used in the Pilot. Subject to the waiver authority under subsection (g), such transferred funds shall remain available for the same purposes for which such funds were originally appropriated: Provided, That such transferred funds shall remain available for obligation by the Federal Government until the expiration of those Federal discretionary funds (which are being used in the Pilot) that have the longest period of availability, except that any such transferred funds shall not remain available beyond September 30, 2018.

(f) **WAIVER AUTHORITY.**—In connection with a Federal agency's participation in a Performance Partnership Pilot, and subject to the other provisions of this section (including subsection (e)), the head of the Federal agency to which the Federal discretionary funds were appropriated may waive (in whole or in part) the application, solely to such discretionary funds that are being used in the Pilot, of any statutory, regulatory, or administrative requirement that such agency head—

(1) is otherwise authorized to waive (in accordance with the terms and conditions of such other authority), and

(2) is not otherwise authorized to waive, provided that in such case the agency head, prior to granting the waiver, shall—

(A) not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and substate levels;

(B) issue a written determination with respect to such discretionary funds that the granting of such waiver for purposes of the Pilot—

(i) is consistent with both—

(I) the statutory purposes of the Federal program for which such discretionary funds were appropriated, and

(II) the other provisions of this section, including the written determination by the agency head issued under subsection (e);

(ii) is necessary to achieve the outcomes of the Pilot as specified in the Partnership Performance Agreement, and is no broader in scope than is necessary to achieve such outcomes; and

(iii) will result in either—

(I) realizing efficiencies by simplifying reporting burdens or reducing administrative barriers with respect to such discretionary funds, or

(II) increasing the ability of individuals to obtain access to services that are provided by such discretionary funds; and

(C) provide at least 60 days advance written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.



# DEPARTMENT OF LABOR

## EMPLOYMENT AND TRAINING ADMINISTRATION

### Federal Funds

#### TRAINING AND EMPLOYMENT SERVICES

##### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Workforce Investment Act of 1998 (referred to in this Act as "WIA"), the Second Chance Act of 2007, and the Workforce Innovation Fund, as established by this Act, \$3,387,405,000, plus reimbursements, shall be available. Of the amounts provided:

(1) for grants to States for adult employment and training activities, youth activities, and dislocated worker employment and training activities, \$2,683,766,000 as follows:

(A) \$791,644,000 for adult employment and training activities, of which \$79,644,000 shall be available for the period July 1, 2014, through June 30, 2015, and of which \$712,000,000 shall be available for the period October 1, 2014 through June 30, 2015;

(B) \$846,632,000 for youth activities, which shall be available for the period April 1, 2014 through June 30, 2015; and

(C) \$1,045,490,000 for dislocated worker employment and training activities, of which \$185,490,000 shall be available for the period July 1, 2014 through June 30, 2015, and of which \$860,000,000 shall be available for the period October 1, 2014 through June 30, 2015:

Provided, That notwithstanding the transfer limitation under section 133(b)(4) of the WIA, up to 30 percent of such funds may be transferred by a local board if approved by the Governor: Provided further, That a local board may award a contract to an institution of higher education or other eligible training provider if the local board determines that it would facilitate the training of multiple individuals in high-demand occupations, if such contract does not limit customer choice: Provided further, That notwithstanding section 128(a)(1) of the WIA, the amount available to the Governor for statewide workforce investment activities shall not exceed 7.5 percent of the amount allotted to the State from each of the appropriations under the preceding subparagraphs;

(2) for federally administered programs, \$582,401,000 as follows:

(A) \$220,859,000 for the dislocated workers assistance national reserve, of which \$20,859,000 shall be available for the period July 1, 2014 through June 30, 2015, and of which \$200,000,000 shall be available for the period October 1, 2014 through June 30, 2015: Provided, That funds provided to carry out section 132(a)(2)(A) of the WIA may be used to provide assistance to a State for statewide or local use in order to address cases where there have been worker dislocations across multiple sectors or across multiple local areas and such workers remain dislocated; coordinate the State workforce development plan with emerging economic development needs; and train such eligible dislocated workers: Provided further, That funds provided to carry out section 171(d) of the WIA may be used for demonstration projects that provide assistance to new entrants in the workforce and incumbent workers: Provided further, That none of the funds shall be obligated to carry out section 173(e) of the WIA;

(B) \$47,562,000 for Native American programs, which shall be available for the period July 1, 2014 through June 30, 2015;

(C) \$84,291,000 for migrant and seasonal farmworker programs under section 167 of the WIA, including \$78,105,000 for formula grants (of which not less than 70 percent shall be for employment and training services), \$5,678,000 for migrant and seasonal housing (of which not less than 70 percent shall be for permanent housing), and \$508,000 for other discretionary purposes, which shall be available for the period July 1, 2014 through June 30, 2015: Provided, That notwithstanding any other provision of law or related regulation, the Department of Labor shall take no action limiting the number or proportion of eligible participants receiving related assistance services or discouraging grantees from providing such services;

(D) \$79,689,000 for YouthBuild activities as described in section 173A of the WIA, which shall be available for the period April 1, 2014 through June 30, 2015; and

(E) \$150,000,000 to be available to the Secretary of Labor (referred to in this title as "Secretary") for the Workforce Innovation Fund to

carry out projects that demonstrate innovative strategies or replicate effective evidence-based strategies that align and strengthen the workforce investment system in order to improve program delivery and education and employment outcomes for beneficiaries, which shall be for the period July 1, 2014 through September 30, 2015: Provided, That amounts shall be available for awards to States or State agencies that are eligible for assistance under any program authorized under the WIA, consortia of States, or partnerships, including regional partnerships: Provided further, That not more than 5 percent of the funds available for workforce innovation activities shall be for technical assistance and evaluations related to the projects carried out with these funds: Provided further, That the Secretary may authorize awardees to use a portion of awarded funds for evaluation, upon the Chief Evaluation Officer's approval of an evaluation plan: Provided further, That \$10,000,000 of the funds provided for the Workforce Innovation Fund shall be used for innovative and evidence-based approaches to improving outcomes for disconnected youth, which may include Pay for Success projects: Provided further, That up to \$20,000,000 of the funds provided for the Workforce Innovation Fund (in addition to any funds for disconnected youth) may be used for performance-based awards or other agreements under the Pay for Success program: Provided further, That any funds obligated for Pay for Success projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any funds deobligated from such projects or agreements shall immediately be available for Workforce Innovation Fund activities: Provided further, That \$50,000,000 of the funds provided for the Workforce Innovation Fund shall be for projects that demonstrate innovative strategies or replicate effective evidence-based strategies that address the employment needs of veterans (including recently separated veterans), family members of active duty military personnel, or members of the National Guard and Reserves.

(3) for national activities, \$121,238,000, as follows:

(A) \$25,000,000, in addition to any amounts available under paragraph (1) for Pilots, Demonstrations, and Research, which shall be available for the period April 1, 2014 through June 30, 2015;

(B) \$90,238,000 for ex-offender activities, under the authority of section 171 of the WIA and section 212 of the Second Chance Act of 2007, which shall be available for the period April 1, 2014 through June 30, 2015, notwithstanding the requirements of section 171(b)(2)(B) or 171(c)(4)(D) of the WIA: Provided, That of this amount, \$20,000,000 shall be for competitive grants to national and regional intermediaries for activities that prepare young ex-offenders and school dropouts for employment, with a priority for projects serving high-crime, high-poverty areas; and \$10,000,000 shall be used for performance-based awards or other agreements under the Pay for Success program relating to ex-offender activities: Provided further, That, with respect to the preceding proviso, any funds obligated for Pay for Success projects or agreements shall remain available for disbursement until expended, notwithstanding 31 U.S.C. 1552(a), and that any deobligated funds from such projects or agreements shall immediately be available for ex-offender activities;

(C) \$6,000,000 for the Workforce Data Quality Initiative, under the authority of section 171(c)(2) of the WIA, which shall be available for the period July 1, 2013 through June 30, 2014, and which shall not be subject to the requirements of section 171(c)(4)(D).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

| Identification code 16–0174–0–1–504                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Adult Employment and Training Activities .....             | 773         | 771     | 791       |
| 0003 Dislocated Worker Employment and Training Activities ..... | 1,242       | 1,257   | 1,268     |
| 0005 Youth Activities .....                                     | 902         | 906     | 922       |
| 0008 Reintegration of Ex-Offenders .....                        | 85          | 80      | 81        |

**TRAINING AND EMPLOYMENT SERVICES—Continued**  
**Program and Financing—Continued**

| Identification code 16–0174–0–1–504                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 0010   | Native Americans .....  | 53          | 53      | 51        |
| 0011   | Migrant and Seasonal Farmworkers .....                        | 84          | 85      | 84        |
| 0013   | National programs .....                                       | 18          | 17      | 17        |
| 0015   | H-1B Job Training Grants .....                                | 343         | 134     | 150       |
| 0017   | Data Quality Initiative .....                                 | 13          | 6       | 7         |
| 0028   | Recovery Act - NEGs Health Insurance Assistance .....         | 2           | 4       | 3         |
| 0029   | Workforce Innovation Fund .....                               | 152         | 22      | 100       |
| 0799   | Total direct obligations .....                                | 3,667       | 3,335   | 3,474     |
| 0801   | Reimbursable program .....                                    | 11          | 13      | 11        |
| 0900   | Total new obligations .....                                   | 3,678       | 3,348   | 3,485     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 657         | 334     | 349       |
| 1001   | Discretionary unobligated balance brought fwd, Oct 1 .....    | 273         | 133     | .....     |
| 1010   | Unobligated balance transfer to other accts [16–0181] .....   | –2          | .....   | .....     |
| 1010   | Unobligated balance transfer to other accts [16–0179] .....   | –4          | .....   | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....             | 4           | .....   | .....     |
| 1029   | Other balances withdrawn .....                                | –3          | .....   | .....     |
| 1050   | Unobligated balance (total) .....                             | 652         | 334     | 349       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 1,423       | 1,457   | 1,616     |
| 1120   | Appropriations transferred to other accts [16–0400] .....     | .....       | –1      | .....     |
| 1120   | Appropriations transferred to other accts [16–0143] .....     | .....       | –1      | .....     |
| 1130   | Appropriations permanently reduced .....                      | –3          | .....   | .....     |
| 1160   | Appropriation, discretionary (total) .....                    | 1,420       | 1,455   | 1,616     |
| Advance appropriations, discretionary:                         |   |             |         |           |
| 1170   | Advance appropriation .....                                   | 1,772       | 1,772   | 1,772     |
| 1173   | Advance appropriations permanently reduced .....              | –3          | .....   | .....     |
| 1180   | Advanced appropriation, discretionary (total) .....           | 1,769       | 1,772   | 1,772     |
| Appropriations, mandatory:                                     |   |             |         |           |
| 1201   | Appropriation (H-1B Skills Training) .....                    | 161         | 125     | 125       |
| 1260   | Appropriations, mandatory (total) .....                       | 161         | 125     | 125       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 11          | 11      | 11        |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 11          | 11      | 11        |
| 1900   | Budget authority (total) .....                                | 3,361       | 3,363   | 3,524     |
| 1930   | Total budgetary resources available .....                     | 4,013       | 3,697   | 3,873     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                            | –1          | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....              | 334         | 349     | 388       |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 3,592       | 3,446   | 3,220     |
| 3010   | Obligations incurred, unexpired accounts .....                | 3,678       | 3,348   | 3,485     |
| 3011   | Obligations incurred, expired accounts .....                  | 4           | .....   | .....     |
| 3020   | Outlays (gross) .....   | –3,750      | –3,574  | –3,452    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –4          | .....   | .....     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | –74         | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                         | 3,446       | 3,220   | 3,253     |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –1          | –1      | –1        |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | –1          | –1      | –1        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 3,591       | 3,445   | 3,219     |
| 3200   | Obligated balance, end of year .....                          | 3,445       | 3,219   | 3,252     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 3,200       | 3,238   | 3,399     |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 1,202       | 1,175   | 1,187     |
| 4011   | Outlays from discretionary balances .....                     | 2,517       | 2,349   | 2,111     |
| 4020   | Outlays, gross (total) .....                                  | 3,719       | 3,524   | 3,298     |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –11         | –11     | –11       |
| Mandatory:   |   |             |         |           |
| 4090   | Budget authority, gross .....                                 | 161         | 125     | 125       |
| Outlays, gross:  |   |             |         |           |
| 4100   | Outlays from new mandatory authority .....                    | 16          | 1       | 1         |

|      |                                       |       |       |       |
|------|---------------------------------------|-------|-------|-------|
| 4101 | Outlays from mandatory balances ..... | 15    | 49    | 153   |
| 4110 | Outlays, gross (total) .....          | 31    | 50    | 154   |
| 4180 | Budget authority, net (total) .....   | 3,350 | 3,352 | 3,513 |
| 4190 | Outlays, net (total) .....            | 3,739 | 3,563 | 3,441 |

Enacted in 1998, the Workforce Investment Act (WIA) is the primary authorization for this appropriation account. WIA expired on September 30, 2003. The Act is intended to provide workers with the information, advice, job search assistance, and training they need to get and keep good jobs; and to provide employers with skilled workers. Funds appropriated for this account generally are available on a July to June program year basis, and include substantial advance appropriation amounts. This account includes:

*Adult employment and training activities.*—Grants to provide financial assistance to States and territories to design and operate training and employment assistance programs for adults, including low-income individuals and public assistance recipients.

*Dislocated worker employment and training activities.*—Grants to provide reemployment services and retraining assistance to individuals dislocated from their employment.

*Youth activities.*—Grants to support a wide range of activities and services to prepare low-income youth for academic and employment success, including summer and year-round jobs. The program links academic and occupational learning with youth development activities.

*Workforce Innovation Fund.*—Provides \$150 million to support competitive grants to test innovative strategies and replicate evidence-based practices in the workforce system. The Fund will support cross-program collaboration and bold systemic reforms to improve education and employment outcomes for participants. The Administration intends to set aside \$50 million of the funds for veterans (including recently separated veterans), members of military families, and members of the National Guard and Reserves. In addition, at least \$10 million of the funds for programmatic innovations targeting disconnected youth, with a particular focus on youth under age 20. This effort to serve disconnected youth will be coordinated with the Departments of Education and Health and Human Services. A portion of the Fund may also be used for Pay for Success financing to engage social investors, the Federal government, and a State or local community to collaboratively support effective interventions.

*Reintegration of Ex-Offenders.*—Supports activities authorized under the Second Chance Act to help individuals exiting prison make a successful transition to community life and long-term employment through mentoring, job training, and other services. The Administration intends to devote funds to test and replicate evidence-based strategies for young ex-offenders. The Department of Labor will continue to coordinate closely with the Department of Justice and other relevant Agencies in carrying out this program.

*Native Americans.*—Grants to Indian tribes and other Native American groups to provide training, work experience, and other employment-related services to Native Americans.

*Migrant and Seasonal Farmworkers.*—Grants to public agencies and nonprofit groups to provide training and other employability development services to economically disadvantaged youth and families whose principal livelihood is gained in migratory and other forms of seasonal farmwork.

*National programs.*—Provides evaluation and demonstration resources for WIA activities. In 2014, evaluation activities will be funded via a set-aside of program funds provided by Sec. 107 of the Labor General Provisions. The funds in Pilots, Demonstrations, and Research will be used to pilot and rigorously evaluate



potential low-cost structural changes to the WIA system that would substantially improve services to seniors.

**Workforce Data Quality Initiative.**—Competitive grants to support the development of longitudinal data systems that integrate education and workforce data to provide timely and accessible information to consumers, policymakers, and others.

**Object Classification** (in millions of dollars)

| Identification code 16-0174-0-1-504                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 25.1 Advisory and assistance services .....              | 6           | 6       | 6         |
| 25.2 Other services from non-Federal sources .....       | 25          | 33      | 29        |
| 25.3 Other goods and services from Federal sources ..... | 4           |         |           |
| 25.7 Operation and maintenance of equipment .....        | 1           |         |           |
| 41.0 Grants, subsidies, and contributions .....          | 3,631       | 3,296   | 3,437     |
| 99.0 Direct obligations .....                            | 3,667       | 3,335   | 3,472     |
| 99.0 Reimbursable obligations .....                      | 11          | 13      | 13        |
| 99.9 Total new obligations .....                         | 3,678       | 3,348   | 3,485     |

**UNIVERSAL DISPLACED WORKERS PROGRAM**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 16-0188-4-1-999                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Training .....                                  |             |         | 1,255     |
| 0002 Reemployment services .....                     |             |         | 727       |
| 0003 Rapid response .....                            |             |         | 200       |
| 0004 Income support .....                            |             |         | 1,157     |
| 0005 Wage insurance .....                            |             |         | 686       |
| 0006 Relocation allowance .....                      |             |         | 20        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 4,045     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| <b>Budget authority:</b>                             |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 4,045     |
| 1260 Appropriations, mandatory (total) .....         |             |         | 4,045     |
| 1930 Total budgetary resources available .....       |             |         | 4,045     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| <b>Unpaid obligations:</b>                           |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 4,045     |
| 3020 Outlays (gross) .....                           |             |         | -4,045    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| <b>Mandatory:</b>                                    |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 4,045     |
| <b>Outlays, gross:</b>                               |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 4,045     |
| 4180 Budget authority, net (total) .....             |             |         | 4,045     |
| 4190 Outlays, net (total) .....                      |             |         | 4,045     |

The 2014 Budget proposes legislation to establish a Universal Displaced Workers program. This program would make employment services and training available to a broader number of dislocated workers by consolidating and improving the Federal Government's two major dislocated worker programs: the Trade Adjustment Assistance for Workers program and the Workforce Investment Act's Dislocated Worker State grants program. Under the new Universal Displaced Workers program, all dislocated workers would receive high-quality job-search assistance, and those workers who had worked with their previous employer for three years or more would have access to income support and up to two years of skills training for high-growth and in-demand

industries. Older workers would also have the option of wage insurance, designed to get people back to work more quickly.

**OFFICE OF JOB CORPS**

To carry out subtitle C of title I of the WIA, including Federal administrative expenses, the purchase and hire of passenger motor vehicles, the construction, alteration, and repairs of buildings and other facilities, and the purchase of real property for training centers as authorized by the WIA, \$1,691,923,000, plus reimbursements, as follows:

(1) \$1,586,776,000 for Job Corps Operations, which shall be available for the period July 1, 2014 through June 30, 2015;

(2) \$75,000,000 for construction, rehabilitation and acquisition of Job Corps Centers, which shall be available for the period July 1, 2014 through June 30, 2017: Provided, That the Secretary may transfer up to 15 percent of such funds to meet the operational needs of such centers or to achieve administrative efficiencies: Provided further, That any funds transferred pursuant to the preceding proviso shall not be available for obligation after June 30, 2015; and

(3) \$30,147,000 for necessary expenses of the Office of Job Corps, which shall be available for obligation for the period October 1, 2013 through September 30, 2014:

Provided further, That no funds from any other appropriation shall be used to provide meal services at or for Job Corps centers.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 16-0181-0-1-504   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Operations .....   | 1,565       | 1,583   | 1,581     |
| 0002 Construction, Rehabilitation, and Acquisition (CRA) .....                  | 140         | 81      | 88        |
| 0003 Administration .....   | 29          | 29      | 30        |
| 0799 Total direct obligations .....   | 1,734       | 1,693   | 1,699     |
| 0801 Reimbursable program activity .....  | 1           | 1       | 1         |
| 0900 Total new obligations .....  | 1,735       | 1,694   | 1,700     |
| <b>Budgetary Resources:</b>   |             |         |           |
| <b>Unobligated balance:</b>   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 611         | 1,288   | 1,308     |
| 1011 Unobligated balance transfer from other accts [16-0174] ....               | 2           |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 1           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 24          |         |           |
| 1050 Unobligated balance (total) .....  | 638         | 1,288   | 1,308     |
| <b>Budget authority:</b>  |             |         |           |
| <b>Appropriations, discretionary:</b>   |             |         |           |
| 1100 Appropriation .....  | 1,706       | 1,713   | 1,692     |
| 1130 Appropriations permanently reduced .....                                   | -3          |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 1,703       | 1,713   | 1,692     |
| <b>Advance appropriations, discretionary:</b>                                   |             |         |           |
| 1170 Advance appropriation .....  | 691         |         |           |
| 1173 Advance appropriations permanently reduced .....                           | -1          |         |           |
| 1180 Advanced appropriation, discretionary (total) .....                        | 690         |         |           |
| <b>Spending authority from offsetting collections, discretionary:</b>           |             |         |           |
| 1700 Collected .....  |             | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) .....              |             | 1       | 1         |
| 1900 Budget authority (total) .....   | 2,393       | 1,714   | 1,693     |
| 1930 Total budgetary resources available .....                                  | 3,031       | 3,002   | 3,001     |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 1940 Unobligated balance expiring .....   | -8          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 1,288       | 1,308   | 1,301     |
| <b>Change in obligated balance:</b>   |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 518         | 447     | 367       |
| 3010 Obligations incurred, unexpired accounts .....                             | 1,735       | 1,694   | 1,700     |
| 3011 Obligations incurred, expired accounts .....                               | 40          |         |           |
| 3020 Outlays (gross) .....  | -1,774      | -1,774  | -1,787    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -24         |         |           |

OFFICE OF JOB CORPS—Continued  
Program and Financing—Continued

| Identification code 16-0181-0-1-504                 |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3041  | Recoveries of prior year unpaid obligations, expired ..... | -48         | .....   | .....     |
| 3050  | Unpaid obligations, end of year .....                      | 447         | 367     | 280       |
| Memorandum (non-add) entries:                       |  |             |         |           |
| 3100  | Obligated balance, start of year .....                     | 518         | 447     | 367       |
| 3200  | Obligated balance, end of year .....                       | 447         | 367     | 280       |
| <b>Budget authority and outlays, net:</b>           |  |             |         |           |
| Discretionary:                                      |  |             |         |           |
| 4000  | Budget authority, gross .....                              | 2,393       | 1,714   | 1,693     |
| Outlays, gross:                                     |  |             |         |           |
| 4010  | Outlays from new discretionary authority .....             | 871         | 346     | 348       |
| 4011  | Outlays from discretionary balances .....                  | 903         | 1,428   | 1,439     |
| 4020  | Outlays, gross (total) .....                               | 1,774       | 1,774   | 1,787     |
| Offsets against gross budget authority and outlays: |  |             |         |           |
| Offsetting collections (collected) from:            |  |             |         |           |
| 4030  | Federal sources .....                                      | .....       | -1      | -1        |
| 4180  | Budget authority, net (total) .....                        | 2,393       | 1,713   | 1,692     |
| 4190  | Outlays, net (total) .....                                 | 1,774       | 1,773   | 1,786     |

The Office of Job Corps supports the administration and management of the Job Corps program. Established in 1964 as part of the Economic Opportunity Act and authorized by the Workforce Investment Act of 1998 (P.L. 105-220, Title 1, Subtitle C, section 141), Job Corps is the nation's largest federally-funded, primarily residential, training program for at-risk youth, ages 16-24. With 125 centers currently in 48 states, Puerto Rico, and the District of Columbia, Job Corps provides economically disadvantaged youth with academic, career technical and employability skills to enter the workforce, enroll in post-secondary education, or enlist in the military.

Serving approximately 60,000 participants each year, Job Corps emphasizes the attainment of academic credentials, including a High School Diploma (HSD) and/or General Educational Development (GED) and career technical credentials, including industry-recognized certifications, state licensures, and pre-apprenticeship credentials. These portable credentials provide for long-term attachment to the workforce and economic mobility as Job Corps graduates advance through their careers. They ensure that program graduates have gained the skills and knowledge necessary to compete in today's workforce.

Large and small businesses, nonprofit organizations, and American Indian tribes manage and operate 97 of the Job Corps centers through contractual agreements with the Department of Labor, while the remaining 28 centers are operated through an interagency agreement with the U.S. Department of Agriculture. Job Corps participants must be economically disadvantaged youth, ages 16-24, and meet one or more of the following criteria: basic skills deficient; a school dropout; homeless, a runaway, or a foster child; a parent; or in need of additional education, vocational training, or intensive counseling and related assistance in order to participate successfully in regular schoolwork or to secure and hold employment.

The 2014 Budget continues the Administration's commitment to strengthening and reforming the Job Corps program and improving students' outcomes. These reforms include closing the small number of Job Corps centers that are chronically low-performing; identifying and seeking to replicate the practices of high-performing centers; and adopting cost-saving reforms. The Administration will continue to shift the program's focus and approach based on evaluation findings, and will continue to provide information to the public about each Job Corps center's performance in a transparent way. In addition, the Budget proposes steps to strengthen financial and contract oversight, so the program

can continue to provide valuable services to disadvantaged youth while maintaining strong internal controls and ensuring that its contracts are procured at the lowest risk and the best value to the Federal government.

## Object Classification (in millions of dollars)

| Identification code 16-0181-0-1-504 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....          | 16          | 17      | 17        |
| 12.1                                | Civilian personnel benefits .....                          | 5           | 5       | 5         |
| 21.0                                | Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.2                                | Rental payments to others .....                            | 8           | 8       | 9         |
| 25.1                                | Advisory and assistance services .....                     | 2           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 1,423       | 1,405   | 1,404     |
| 25.3                                | Other goods and services from Federal sources .....        | 7           | 8       | 9         |
| 25.4                                | Operation and maintenance of facilities .....              | 22          | 29      | 30        |
| 25.7                                | Operation and maintenance of equipment .....               | 1           | 2       | 2         |
| 31.0                                | Equipment .....  | 3           | 1       | 1         |
| 32.0                                | Land and structures .....                                  | 60          | 30      | 33        |
| 99.0                                | Direct obligations .....                                   | 1,550       | 1,509   | 1,514     |
| 99.0                                | Reimbursable obligations .....                             | 1           | 1       | 1         |
| Allocation Account - direct:        |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 71          | 80      | 84        |
| 11.3                                | Other than full-time permanent .....                       | 3           | 2       | 2         |
| 11.5                                | Other personnel compensation .....                         | 6           | 7       | 7         |
| 11.9                                | Total personnel compensation .....                         | 80          | 89      | 93        |
| 12.1                                | Civilian personnel benefits .....                          | 33          | 32      | 34        |
| 21.0                                | Travel and transportation of persons .....                 | 4           | 3       | 3         |
| 22.0                                | Transportation of things .....                             | 1           | 2       | 2         |
| 23.1                                | Rental payments to GSA .....                               | .....       | 1       | 1         |
| 23.2                                | Rental payments to others .....                            | 8           | .....   | .....     |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 8           | 9       | 9         |
| 25.2                                | Other services from non-Federal sources .....              | .....       | 11      | 11        |
| 25.3                                | Other goods and services from Federal sources .....        | 10          | 5       | 5         |
| 25.4                                | Operation and maintenance of facilities .....              | 7           | 4       | 4         |
| 25.6                                | Medical care .....   | .....       | 2       | 2         |
| 25.7                                | Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0                                | Supplies and materials .....                               | 30          | 22      | 17        |
| 31.0                                | Equipment .....  | 2           | 3       | 3         |
| 99.0                                | Allocation account - direct .....                          | 184         | 184     | 185       |
| 99.9                                | Total new obligations .....                                | 1,735       | 1,694   | 1,700     |

## Employment Summary

| Identification code 16-0181-0-1-504 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 163         | 163     | 168       |

## COMMUNITY SERVICE EMPLOYMENT FOR OLDER AMERICANS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16-0175-0-1-504     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | National programs .....  | 353         | 355     | .....     |
| 0002                                    | State programs .....   | 95          | 96      | .....     |
| 0900                                    | Total new obligations (object class 41.0) .....                            | 448         | 451     | .....     |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....                           | .....       | 2       | 2         |
| 1012                                    | Unobligated balance transfers between expired and unexpired accounts ..... | 2           | .....   | .....     |
| 1050                                    | Unobligated balance (total) .....  | 2           | 2       | 2         |

|   |  |      |      |
|---|--|------|------|
| Budget authority:                         |  |      |      |
| Appropriations, discretionary:            |  |      |      |
| 1100                                      | Appropriation .....  | 449  | 451  |
| 1130                                      | Appropriations permanently reduced .....                   | -1   |      |
| 1160                                      | Appropriation, discretionary (total) .....                 | 448  | 451  |
| 1900                                      | Budget authority (total) .....                             | 448  | 451  |
| 1930                                      | Total budgetary resources available .....                  | 450  | 453  |
| Memorandum (non-add) entries:             |  |      |      |
| 1941                                      | Unexpired unobligated balance, end of year .....           | 2    | 2    |
| <b>Change in obligated balance:</b>       |  |      |      |
| Unpaid obligations:                       |  |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 423  | 378  |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 448  | 451  |
| 3020                                      | Outlays (gross) .....                                      | -488 | -448 |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -5   |      |
| 3050                                      | Unpaid obligations, end of year .....                      | 378  | 381  |
| Memorandum (non-add) entries:             |  |      |      |
| 3100                                      | Obligated balance, start of year .....                     | 423  | 378  |
| 3200                                      | Obligated balance, end of year .....                       | 378  | 381  |
| <b>Budget authority and outlays, net:</b> |  |      |      |
| Discretionary:                            |  |      |      |
| 4000                                      | Budget authority, gross .....                              | 448  | 451  |
| Outlays, gross:                           |  |      |      |
| 4010                                      | Outlays from new discretionary authority .....             | 81   | 86   |
| 4011                                      | Outlays from discretionary balances .....                  | 407  | 362  |
| 4020                                      | Outlays, gross (total) .....                               | 488  | 448  |
| 4180                                      | Budget authority, net (total) .....                        | 448  | 451  |
| 4190                                      | Outlays, net (total) .....                                 | 488  | 448  |

Community Service Employment for Older Americans (CSEOA), authorized by Title V of the Older Americans Act as amended in 2006 (P.L. 109–365), is a federally-sponsored community service employment and training program for unemployed low-income individuals, ages 55 and older. The program, known as the Senior Community Service Employment Program (SCSEP), offers participants work-based community service training at non-profit or governmental agencies, so that they can gain on-the-job experience and prepare to enter or re-enter the workforce. The 2014 Budget proposes transferring SCSEP to the Department of Health and Human Services to improve coordination between SCSEP and other senior-serving programs administered by the Administration for Community Living. The dual goals of the program are to foster individual economic self-sufficiency and to provide useful opportunities in community service activities.

## TAA COMMUNITY COLLEGE AND CAREER TRAINING GRANT FUND

## Program and Financing (in millions of dollars)

| Identification code 16–0187–0–1–504     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Direct program activity .....                              | 500         | 500     | 500       |
| 0100                                    | Direct program activities, subtotal .....                  | 500         | 500     | 500       |
| 0900                                    | Total new obligations (object class 41.0) .....            | 500         | 500     | 500       |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Budget authority:                       |  |             |         |           |
| Appropriations, mandatory:              |  |             |         |           |
| 1200                                    | Appropriation .....  | 500         | 500     | 500       |
| 1260                                    | Appropriations, mandatory (total) .....                    | 500         | 500     | 500       |
| 1930                                    | Total budgetary resources available .....                  | 500         | 500     | 500       |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....           | 500         | 960     | 1,241     |
| 3010                                    | Obligations incurred, unexpired accounts .....             | 500         | 500     | 500       |
| 3011                                    | Obligations incurred, expired accounts .....               | 17          |         |           |
| 3020                                    | Outlays (gross) .....                                      | -40         | -219    | -832      |
| 3041                                    | Recoveries of prior year unpaid obligations, expired ..... | -17         |         |           |
| 3050                                    | Unpaid obligations, end of year .....                      | 960         | 1,241   | 909       |

|   |  |     |       |
|---|--|-----|-------|
| Memorandum (non-add) entries:             |  |     |       |
| 3100                                      | Obligated balance, start of year .....     | 500 | 960   |
| 3200                                      | Obligated balance, end of year .....       | 960 | 1,241 |
| <b>Budget authority and outlays, net:</b> |  |     |       |
| Mandatory:                                |  |     |       |
| 4090                                      | Budget authority, gross .....              | 500 | 500   |
| Outlays, gross:                           |  |     |       |
| 4100                                      | Outlays from new mandatory authority ..... |     | 25    |
| 4101                                      | Outlays from mandatory balances .....      | 40  | 194   |
| 4110                                      | Outlays, gross (total) .....               | 40  | 219   |
| 4180                                      | Budget authority, net (total) .....        | 500 | 500   |
| 4190                                      | Outlays, net (total) .....                 | 40  | 219   |

The Trade Adjustment Assistance (TAA) Community College and Career Training program, which received appropriations in the Health Care and Education Reconciliation Act of 2010 (Section 1501 of P.L. 111–152, 124 Stat.1070), provides \$500 million annually in fiscal years 2011–2014 for competitive grants to eligible institutions of higher education. The program aims to improve education and employment outcomes for community college and other students, helping more Americans prepare to succeed in growing occupations. Funding will allow expansion and improvement of education and training programs that can be completed in 2 years or less, result in skills and credentials necessary for high-wage, in-demand jobs, and are suited for workers who are eligible for training under the TAA for Workers program. Grants will support institutions that use evidence to design program strategies, are committed to using data for continuous improvement, and facilitate evaluation that can build evidence about effective practices. The Department is implementing this program in cooperation with the Department of Education.

## FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

*For payments during fiscal year 2014 of trade adjustment benefit payments and allowances under part I of subchapter B of chapter 2 of title II of the Trade Act of 1974, and section 246 of that Act; and for training, employment and case management services, allowances for job search and relocation, and related State administrative expenses under part II of subchapter B of chapter 2 of title II of the Trade Act of 1974, including benefit payments, allowances, training, employment and case management services, and related State administration provided pursuant to section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, \$656,000,000, together with such amounts as may be necessary to be charged to the subsequent appropriation for payments for any period subsequent to September 15, 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16–0326–0–1–999                        |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |  |             |         |           |
| 0001   | Trade Adjustment Assistance benefits ..... | 239         | 189     | 322       |
| 0002   | Trade Adjustment Assistance training ..... | 575         | 575     | 235       |
| 0005   | Wage Insurance Payments .....              | 41          | 33      | 25        |
| 0799   | Total direct obligations .....             | 855         | 797     | 582       |
| 0801   | Disaster Unemployment Assistance .....     | 10          | 40      | 40        |
| 0900   | Total new obligations .....                | 865         | 837     | 622       |
| <b>Budgetary Resources:</b>                                |  |             |         |           |
| Budget authority:  |  |             |         |           |
| Appropriations, mandatory:                                 |  |             |         |           |
| 1200   | Appropriation .....                        | 855         | 797     | 656       |
| 1260   | Appropriations, mandatory (total) .....    | 855         | 797     | 656       |
| Spending authority from offsetting collections, mandatory: |  |             |         |           |
| 1800   | Offsetting collections (DUA) .....         | 10          | 40      | 40        |

FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES—Continued  
Program and Financing—Continued

| Identification code 16–0326–0–1–999                                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1850  | Spending auth from offsetting collections, mand (total) ..... | 10          | 40      | 40        |
| 1900  | Budget authority (total) .....                                | 865         | 837     | 696       |
| 1930  | Total budgetary resources available .....                     | 865         | 837     | 696       |
| Memorandum (non-add) entries:   |   |             |         |           |
| 1941  | Unexpired unobligated balance, end of year .....              |             |         | 74        |
| <b>Change in obligated balance:</b>                                     |   |             |         |           |
| Unpaid obligations:   |   |             |         |           |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....              | 1,030       | 1,195   | 1,198     |
| 3010  | Obligations incurred, unexpired accounts .....                | 865         | 837     | 622       |
| 3020  | Outlays (gross) .....   | –618        | –597    | –704      |
| 3041  | Recoveries of prior year unpaid obligations, expired .....    | –82         | –237    | –394      |
| 3050  | Unpaid obligations, end of year .....                         | 1,195       | 1,198   | 722       |
| Memorandum (non-add) entries:   |   |             |         |           |
| 3100  | Obligated balance, start of year .....                        | 1,030       | 1,195   | 1,198     |
| 3200  | Obligated balance, end of year .....                          | 1,195       | 1,198   | 722       |
| <b>Budget authority and outlays, net:</b>                               |   |             |         |           |
| Mandatory:  |   |             |         |           |
| 4090  | Budget authority, gross .....                                 | 865         | 837     | 696       |
| Outlays, gross:   |   |             |         |           |
| 4100  | Outlays from new mandatory authority .....                    | 278         | 297     | 419       |
| 4101  | Outlays from mandatory balances .....                         | 340         | 300     | 285       |
| 4110  | Outlays, gross (total) .....                                  | 618         | 597     | 704       |
| Offsets against gross budget authority and outlays:                     |   |             |         |           |
| Offsetting collections (collected) from:                                |   |             |         |           |
| 4120  | Federal sources .....   | –10         | –40     | –40       |
| 4180  | Budget authority, net (total) .....                           | 855         | 797     | 656       |
| 4190  | Outlays, net (total) .....                                    | 608         | 557     | 664       |
| <b>Summary of Budget Authority and Outlays (in millions of dollars)</b> |   |             |         |           |
|   |   | 2012 actual | 2013 CR | 2014 est. |
| Enacted/requested:  |   |             |         |           |
| Budget Authority .....  |   | 855         | 797     | 656       |
| Outlays .....   |   | 608         | 557     | 664       |
| Legislative proposal, subject to PAYGO:                                 |   |             |         |           |
| Budget Authority .....  |   |             |         | –127      |
| Outlays .....   |   |             |         | –31       |
| Total:  |   |             |         |           |
| Budget Authority .....  |   | 855         | 797     | 529       |
| Outlays .....   |   | 608         | 557     | 633       |

This account funds the Trade Adjustment Assistance (TAA) for Workers program, which provides weekly cash benefits, training, job search and relocation allowances, and employment and case management services to certain workers displaced by international trade. The account also funds the Alternative Trade Adjustment Assistance (ATAA) and the Reemployment Trade Adjustment Assistance (RTAA) programs that provide wage insurance payments for certain older workers who become reemployed at lower wages than the wages paid in their pre-layoff employment.

The TAA for Workers program was reauthorized through December 31, 2010, under the Trade and Globalization Adjustment Assistance Act of 2009 (TGAAA) extended through February 12, 2011 under the Omnibus Trade Act of 2010, and extended again through December 31, 2013 under the Trade Adjustment Assistance Extension Act (TAAEA) of 2011. Under these laws, workers covered by petitions for the TAA program filed between May 18, 2009 and February 13, 2011, were considered under expanded group eligibility provisions (e.g., workers in the service sector were eligible), and the covered workers could be eligible for enhanced services and benefits, including additional weeks of cash benefits, while in training and for the RTAA program. Applications filed between February 13, 2011 and October 21, 2011, were administered under prior law, as if the amendments made under the TGAAA and the Omnibus Trade Act of 2010 had never been enacted. Applications filed on or after October 21,

2011 were administered under expanded eligibility provisions of the TAAEA of 2011, which restored most of the provision of the 2009 program. In addition, the TAAEA of 2011 provided a limited window for trade affected workers who began receiving benefits and services during the reversion period (February 13, 2011 to October 21, 2011) to make a one-time election to be served under the TAAEA of 2011.

## Object Classification (in millions of dollars)

| Identification code 16–0326–0–1–999 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 41.0                                | Direct obligations: Grants, subsidies, and contributions ..... | 855         | 797     | 582       |
| 99.0                                | Reimbursable obligations .....                                 | 10          | 40      | 40        |
| 99.9                                | Total new obligations .....                                    | 865         | 837     | 622       |

## FEDERAL UNEMPLOYMENT BENEFITS AND ALLOWANCES

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 16–0326–4–1–999       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Trade Adjustment Assistance benefits .....      |             |         | –13       |
| 0002                                      | Trade Adjustment Assistance training .....      |             |         | –113      |
| 0005                                      | Wage Insurance Payments .....                   |             |         | –1        |
| 0900                                      | Total new obligations (object class 41.0) ..... |             |         | –127      |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, mandatory:                |   |             |         |           |
| 1200                                      | Appropriation .....                             |             |         | –127      |
| 1260                                      | Appropriations, mandatory (total) .....         |             |         | –127      |
| 1900                                      | Budget authority (total) .....                  |             |         | –127      |
| 1930                                      | Total budgetary resources available .....       |             |         | –127      |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  |             |         | –127      |
| 3020                                      | Outlays (gross) .....                           |             |         | 31        |
| 3050                                      | Unpaid obligations, end of year .....           |             |         | –96       |
| Memorandum (non-add) entries:             |   |             |         |           |
| 3200                                      | Obligated balance, end of year .....            |             |         | –96       |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Mandatory:                                |   |             |         |           |
| 4090                                      | Budget authority, gross .....                   |             |         | –127      |
| Outlays, gross:                           |   |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....      |             |         | –31       |
| 4180                                      | Budget authority, net (total) .....             |             |         | –127      |
| 4190                                      | Outlays, net (total) .....                      |             |         | –31       |

The 2014 Budget proposes legislation to establish a Universal Displaced Worker program. This new program will consolidate and improve the Federal Government's two major dislocated worker programs—the existing Trade Adjustment Assistance for workers program and the Workforce Investment Act's Dislocated Worker State grants program. Please see the Universal Displaced Workers Program account for additional detail.

## STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE OPERATIONS

For authorized administrative expenses, \$113,068,000, together with not to exceed \$3,692,631,000 which may be expended from the Employment Administration Account in the Unemployment Trust Fund ("the Trust Fund"), of which:

(1) \$2,861,575,000 from the Trust Fund is for grants to States for the administration of State unemployment insurance laws as authorized under title III of the Social Security Act (including not less than \$60,000,000 to conduct in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews as specified for purposes of Section 251(b)(2) of the Balanced Budget and

Emergency Deficit Control Act of 1985, as amended, and \$10,000,000 for activities to address the misclassification of workers), the administration of unemployment insurance for Federal employees and for ex-service members as authorized under 5 U.S.C. 8501–8523, and the administration of trade readjustment allowances, reemployment trade adjustment assistance, and alternative trade adjustment assistance under the Trade Act of 1974 and under section 231(a) of the Trade Adjustment Assistance Extension Act of 2011, and shall be available for obligation by the States through December 31, 2013, except that funds used for automation acquisitions or competitive grants awarded to States for improved operations, reemployment and eligibility assessments and improper payments, or activities to address misclassification of workers shall be available for Federal obligation through December 31, 2014, and for obligation by the States through September 30, 2016, and funds used for unemployment insurance workloads experienced by the States through September 30, 2014 shall be available for Federal obligation through December 31, 2014;

(2) \$11,297,000 from the Trust Fund is for national activities necessary to support the administration of the Federal-State unemployment insurance system;

(3) \$708,247,000 from the Trust Fund, together with \$22,595,000 from the General Fund of the Treasury, is for grants to States in accordance with section 6 of the Wagner-Peyser Act, of which not less than \$30,000,000 shall be used to provide reemployment services to beneficiaries of unemployment insurance, and shall be available for Federal obligation for the period July 1, 2014 through June 30, 2015;

(4) \$20,912,000 from the Trust Fund is for national activities of the Employment Service, including administration of the work opportunity tax credit under section 51 of the Internal Revenue Code of 1986, and the provision of technical assistance and staff training under the Wagner-Peyser Act, including not to exceed \$1,166,000 that may be used for amortization payments to States which had independent retirement plans in their State employment service agencies prior to 1980;

(5) \$65,600,000 from the Trust Fund is for the administration of foreign labor certifications and related activities under the Immigration and Nationality Act and related laws, of which \$50,501,000 shall be available for the Federal administration of such activities, and \$15,099,000 shall be available for grants to States for the administration of such activities;

(6) \$90,473,000 from the General Fund is to provide workforce information, national electronic tools, and one-stop system building under the Wagner-Peyser Act and section 171(e)(2)(C) of the WIA and shall be available for Federal obligation for the period July 1, 2014 through June 30, 2015; and

(7) \$25,000,000 from the Trust Fund is for competitive grants to States for the administration and evaluation of demonstration projects under section 305 of the Social Security Act (as added by section 2102 of the Middle Class Tax Relief Act and Job Creation Act of 2012), except that section 305(d)(3) shall be applied by substituting "2017" for "2015", and these funds shall be available for Federal obligation through December 31, 2017:

Provided, That to the extent that the Average Weekly Insured Unemployment ("AWIU") for fiscal year 2014 is projected by the Department of Labor to exceed 3,357,000, an additional \$28,600,000 from the Trust Fund shall be available for obligation for every 100,000 increase in the AWIU level (including a pro rata amount for any increment less than 100,000) to carry out title III of the Social Security Act: Provided further, That funds appropriated in this Act that are allotted to a State to carry out activities under title III of the Social Security Act may be used by such State to assist other States in carrying out activities under such title III if the other States include areas that have suffered a major disaster declared by the President under the Robert T. Stafford Disaster Relief and Emergency Assistance Act: Provided further, That the Secretary may use funds appropriated for grants to States under title III of the Social Security Act to make payments on behalf of States for the use of the National Directory of New Hires under section 453(j)(8) of such Act: Provided further, That funds appropriated in this Act which are used to establish a national one-stop career center system, or which are used to support the national activities of the Federal-State unemployment insurance or immigration programs, may be obligated in contracts, grants, or agreements with non-State entities: Provided further, That States awarded competitive grants for improved operations under title III of the Social Security Act, or

awarded grants to support the national activities of the Federal-State unemployment insurance system, may award subgrants to other States under such grants, subject to the conditions applicable to the grants; Provided further, That funds appropriated under this Act for activities authorized under title III of the Social Security Act and the Wagner-Peyser Act may be used by States to fund integrated Unemployment Insurance and Employment Service automation efforts, notwithstanding cost allocation principles prescribed under the Office of Management and Budget Circular A–87: Provided further, That the Secretary, at the request of a State participating in a consortium with other States, may reallocate funds allotted to such State under title III of the Social Security Act to other States participating in the consortium in order to carry out activities that benefit the administration of the unemployment compensation law of the State making the request: Provided further, That the Secretary may collect fees for the costs associated with additional data collection, analyses, and reporting services relating to the National Agricultural Workers Survey requested by State and local governments, public and private institutions of higher education, and non-profit organizations and may utilize such sums, in accordance with the provisions of 29 U.S.C. 9a, for the National Agricultural Workers Survey infrastructure, methodology, and data to meet the information collection and reporting needs of such entities, which shall be credited to this appropriation and shall remain available until September 30, 2015, for such purposes.

In addition, \$20,000,000 from the Employment Security Administration Account of the Unemployment Trust Fund shall be available for the amount of the additional appropriation for in-person reemployment and eligibility assessments and unemployment insurance improper payment reviews, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 16–0179–0–1–999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 State UI admin .....   | 3,861       | 2,990   | 2,875     |
| 0002 UI national activities .....   | 11          | 11      | 11        |
| 0010 ES grants to States .....  | 701         | 708     | 708       |
| 0011 ES national activities .....   | 21          | 21      | 21        |
| 0012 One-stop career centers .....  | 29          | 63      | 93        |
| 0014 Foreign labor certification .....  | 65          | 66      | 66        |
| 0015 H-1B fees .....  | 19          | 13      | 13        |
| 0799 Total direct obligations .....   | 4,707       | 3,872   | 3,787     |
| 0801 Reimbursable program DUA administration .....  | 10          | 10      | 10        |
| 0803 Reimbursable program NAWs surveys .....  |             |         | 1         |
| 0899 Total reimbursable obligations .....   | 10          | 10      | 11        |
| 0900 Total new obligations .....  | 4,717       | 3,882   | 3,798     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 66          | 228     | 871       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                                   | 50          | 215     |           |
| 1011 Unobligated balance transfer from other accts [16–0174] .....                                | 4           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....  | 10          |         |           |
| 1050 Unobligated balance (total) .....  | 80          | 228     | 871       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 86          | 87      | 113       |
| 1160 Appropriation, discretionary (total) .....   | 86          | 87      | 113       |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....  | 17          | 13      | 13        |
| 1260 Appropriations, mandatory (total) .....  | 17          | 13      | 13        |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1700 Collected .....  | 3,624       | 4,047   | 3,736     |
| 1701 Change in uncollected payments, Federal sources .....  | 635         |         |           |
| 1710 Spending authority from offsetting collections transferred to other accounts [16–0165] ..... | –16         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                                | 4,243       | 4,047   | 3,736     |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1800 Offsetting collections (EUC08) .....   | 705         | 378     | 159       |

STATE UNEMPLOYMENT INSURANCE AND EMPLOYMENT SERVICE  
OPERATIONS—Continued  
Program and Financing—Continued

| Identification code 16–0179–0–1–999                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1801  | Change in uncollected payments, Federal sources .....           | –186        | .....   | .....     |
| 1850  | Spending auth from offsetting collections, mand (total) .....   | 519         | 378     | 159       |
| 1900  | Budget authority (total) .....                                  | 4,865       | 4,525   | 4,021     |
| 1930  | Total budgetary resources available .....                       | 4,945       | 4,753   | 4,892     |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 1941  | Unexpired unobligated balance, end of year .....                | 228         | 871     | 1,094     |
| <b>Change in obligated balance:</b>                     |   |             |         |           |
| Unpaid obligations:                                     |   |             |         |           |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....                | 2,337       | 2,513   | 1,400     |
| 3010  | Obligations incurred, unexpired accounts .....                  | 4,717       | 3,882   | 3,798     |
| 3011  | Obligations incurred, expired accounts .....                    | 4           | .....   | .....     |
| 3020  | Outlays (gross) .....   | –4,525      | –4,995  | –4,730    |
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....    | –10         | .....   | .....     |
| 3041  | Recoveries of prior year unpaid obligations, expired .....      | –10         | .....   | .....     |
| 3050  | Unpaid obligations, end of year .....                           | 2,513       | 1,400   | 468       |
| Uncollected payments:                                   |   |             |         |           |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –2,021      | –2,292  | –2,292    |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....       | –449        | .....   | .....     |
| 3071  | Change in uncollected pymts, Fed sources, expired .....         | 178         | .....   | .....     |
| 3090  | Uncollected pymts, Fed sources, end of year .....               | –2,292      | –2,292  | –2,292    |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                          | 316         | 221     | –892      |
| 3200  | Obligated balance, end of year .....                            | 221         | –892    | –1,824    |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                                   | 4,329       | 4,134   | 3,849     |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....                  | 2,495       | 3,009   | 2,727     |
| 4011  | Outlays from discretionary balances .....                       | 1,463       | 1,614   | 1,631     |
| 4020  | Outlays, gross (total) .....                                    | 3,958       | 4,623   | 4,358     |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....   | –678        | –682    | –708      |
| 4030  | Federal sources .....   | –21         | –21     | –21       |
| 4030  | Federal sources .....   | –50         | –50     | –51       |
| 4030  | Federal sources .....   | –15         | –15     | –15       |
| 4030  | Federal sources .....   | –2,955      | –3,196  | –2,838    |
| 4030  | Federal sources .....   | –10         | –12     | –60       |
| 4030  | Federal sources .....   | –50         | –51     | –20       |
| 4030  | Federal sources .....   | –10         | –10     | –12       |
| 4030  | Federal sources .....   | –10         | –10     | –10       |
| 4030  | Federal sources .....   | –1          | .....   | .....     |
| 4033  | Non-Federal sources .....                                       | .....       | .....   | –1        |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –3,800      | –4,047  | –3,736    |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | –635        | .....   | .....     |
| 4052  | Offsetting collections credited to expired accounts .....       | 176         | .....   | .....     |
| 4060  | Additional offsets against budget authority only (total) .....  | –459        | .....   | .....     |
| 4070  | Budget authority, net (discretionary) .....                     | 70          | 87      | 113       |
| 4080  | Outlays, net (discretionary) .....                              | 158         | 576     | 622       |
| Mandatory:  |   |             |         |           |
| 4090  | Budget authority, gross .....                                   | 536         | 391     | 172       |
| Outlays, gross:   |   |             |         |           |
| 4100  | Outlays from new mandatory authority .....                      | 336         | 247     | 172       |
| 4101  | Outlays from mandatory balances .....                           | 231         | 125     | 200       |
| 4110  | Outlays, gross (total) .....                                    | 567         | 372     | 372       |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4120  | Federal sources .....   | –705        | –378    | –159      |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4140  | Change in uncollected pymts, Fed sources, unexpired .....       | 186         | .....   | .....     |
| 4160  | Budget authority, net (mandatory) .....                         | 17          | 13      | 13        |
| 4170  | Outlays, net (mandatory) .....                                  | –138        | –6      | 213       |
| 4180  | Budget authority, net (total) .....                             | 87          | 100     | 126       |
| 4190  | Outlays, net (total) .....                                      | 20          | 570     | 835       |

**Unemployment compensation.**—State administration amounts provide administrative grants to State agencies that pay unemployment compensation to eligible workers and collect State unemployment taxes from employers. These agencies also pay un-

employment benefits to former Federal personnel and ex-service-members as well as trade readjustment allowances to eligible individuals. State administration amounts also provide administrative grants to State agencies to improve the integrity and financial stability of the unemployment compensation program through a comprehensive performance management system, UI Performs. The purpose is to effect continuous improvement in State performance and related activities designed to assess and reduce errors and prevent fraud, waste, and abuse in the payment of unemployment compensation benefits and the collection of unemployment taxes. National activities relating to the Federal-State unemployment insurance programs are conducted through contracts or agreements with the State agencies or with non-State entities. A workload contingency reserve is included in State administration to meet increases in the costs of administration resulting from increases in the number of claims filed and claims paid. The appropriation automatically provides additional funds whenever unemployment claims workload increases above levels specified in the appropriations language.

The request for additional funding for in-person reemployment and eligibility assessments of claimants of unemployment compensation builds upon the success of a number of States in reducing improper payments and speeding reemployment using these assessments. Because most unemployment claims are now filed by telephone or Internet, in-person assessments conducted in the One-Stop Career Centers can help determine continued eligibility for benefits and adequacy of work search, verify the identity of beneficiaries where there is suspicion of possible identity theft, and provide referral to reemployment assistance to those who need additional help. The \$80 million requested for reemployment and eligibility assessments is estimated to provide benefit savings of \$315 million. It is important that this integrity initiative and other new enforcement investments be fully funded. To ensure full funding of reemployment and eligibility assessments, the Administration proposes to protect the dollars requested for these activities in the appropriations process through cap adjustments, a mechanism that has been used by past Administrations and Congresses. Cap adjustments are increases in the ceiling or allocation for annual appropriations, but these increases would be granted only if the base level for reemployment and eligibility assessments was funded at \$60 million and if the use of the funds was clearly restricted to the specified purpose. The 2014 Budget proposes to amend the Balanced Budget and Emergency Deficit Control Act of 1985, as amended by the Budget Control Act of 2011, to adjust the discretionary spending limits in the Act for administrative program integrity activities at DOL. These adjustments would be similar in nature to those enacted for the Social Security Administration and the Department of Health and Human Services for Medicare and Medicaid. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

**UNEMPLOYMENT COMPENSATION PROGRAM STATISTICS**

|                                    | 2011 actual | 2012 actual | 2013 est. | 2014 est. |
|------------------------------------|-------------|-------------|-----------|-----------|
| Staff years .....                  | 34,118      | 32,344      | 30,519    | 30,523    |
| Basic workload (in thousands):     |             |             |           |           |
| Employer tax accounts .....        | 7,540       | 7,608       | 7,733     | 7,843     |
| Employee wage items recorded ..... | 602,185     | 610,908     | 623,967   | 635,846   |
| Initial claims taken .....         | 22,093      | 21,185      | 20,257    | 20,896    |
| Weeks claimed .....                | 202,672     | 197,654     | 175,971   | 173,136   |
| Nonmonetary determinations .....   | 8,517       | 8,223       | 7,950     | 7,893     |
| Appeals .....                      | 1,992       | 1,864       | 1,690     | 1,615     |
| Covered employment .....           | 126,408     | 128,342     | 130,537   | 132,468   |

**Employment service.**—The public employment service is a nationwide system providing no-fee employment services to job-seekers and employers. State employment service activities are financed by grants provided by formula to States. Funding allot-

ments are provided annually on a Program Year basis beginning July 1 and ending June 30 of the following year.

Employment service activities serving national needs are conducted through specific reimbursable agreements between the States and the Federal Government under the Wagner-Peyser Act, as amended, and other legislation. States also receive funding under this activity for administration of the Work Opportunity Tax Credit, as well for amortization payments for those States that had independent retirement plans prior to 1980 in their State employment service agencies.

#### EMPLOYMENT SERVICE PROGRAM STATISTICS

|                                      | 2011 actual | 2012 actual | 2013 est. | 2014 est. |
|--------------------------------------|-------------|-------------|-----------|-----------|
| Total participants (thousands) ..... | 17,074      | 16,546      | 16,732    | 15,842    |
| Entered employment (thousands) ..... | 5,686       | 5,510       | 5,572     | 4,935     |
| Cost per participant .....           | 42.35       | 43.62       | 44.93     | 46.28     |

Years are program years running from July 1 of the year indicated through June 30 of the following year.

**Foreign Labor Certification.**—This activity provides for the administration of the foreign labor certification programs within the Employment and Training Administration. Under these programs, U.S. employers that can demonstrate a shortage of qualified, available U.S. workers and that there would be no adverse impact on similarly situated U.S. workers may seek the Secretary of Labor's certification as a first step in the multi-agency process required to hire a foreign worker to fill critical permanent or temporary vacancies. Major programs include the permanent, H-2A temporary agricultural, H-2B temporary non-agricultural and temporary highly skilled worker visas. The account is divided into Federal and State activities.

**Federal Administration.**—Federal Administration provides leadership, policy, and operational direction to Federal activities supporting the effective and efficient administration of foreign labor certification programs.

**State grants.**—Provides grants to State labor agencies in 54 States and U.S. territories funding employment-related activities required for the administration of Federal foreign labor certification programs. Includes State Workforce Agency posting and circulation of job orders and other assistance to employers in the recruitment of U.S. workers, processing of employer requests for prevailing wage determinations for the permanent and temporary programs, state processing of H-2A agricultural and H-2B non-agricultural temporary labor certification applications, State safety inspection of housing provided by employers to workers, and State development of prevailing wage and prevailing practice surveys used to set wages and standards in a defined geographic area.

**One-stop career centers.**—These funds are used to support the joint Federal-State efforts to improve the comprehensive One-Stop system created under WIA. This system provides workers and employers with quick and easy access to a wide array of enhanced career development and labor market information services. A portion of these funds supports a joint initiative between the Employment and Training Administration and the Office of Disability Employment Policy to improve the accessibility and accountability of the public workforce development system for individuals with disabilities.

**National Agricultural Workers Survey fee.**—The Department of Labor conducts the National Agricultural Workers Survey (NAWS), which collects information annually about the demographic, employment, and health characteristics of the U.S. crop labor force. The information is obtained directly from farm workers through face-to-face interviews. The Administration proposes to charge non-Federal entities on a case-by-case basis the cost of conducting specifically requested data collection or analysis. For example, State and local governments, educational

institutions, or non-profit organizations may pay a fee to fund the addition of a question to the standard survey.

#### Object Classification (in millions of dollars)

| Identification code 16-0179-0-1-999                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| <b>Personnel compensation:</b>                           |             |         |           |
| 11.1 Full-time permanent .....                           | 16          | 17      | 17        |
| 11.5 Other personnel compensation .....                  | 1           | .....   | .....     |
| 11.9 Total personnel compensation .....                  | 17          | 17      | 17        |
| 12.1 Civilian personnel benefits .....                   | 5           | 5       | 5         |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 26          | 26      | 26        |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 4           | 4       | 4         |
| 25.7 Operation and maintenance of equipment .....        | 5           | 5       | 5         |
| 41.0 Grants, subsidies, and contributions .....          | 4,646       | 3,811   | 3,726     |
| 99.0 Direct obligations .....                            | 4,707       | 3,872   | 3,787     |
| 99.0 Reimbursable obligations .....                      | 10          | 10      | 11        |
| 99.9 Total new obligations .....                         | 4,717       | 3,882   | 3,798     |

#### Employment Summary

| Identification code 16-0179-0-1-999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 181         | 181     | 183       |
| 1001 Direct civilian full-time equivalent employment ..... | 30          | 30      | 30        |

#### AMERICAN JOBS ACT AND COMMUNITY COLLEGE TO CAREER FUND

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 16-0171-4-1-504                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Reemployment NOW .....                           | .....       | 4,000   | .....     |
| 0002 Pathways Back to Work - Youth .....              | .....       | 2,500   | .....     |
| 0003 Pathways Back to Work - Adults .....             | .....       | 10,000  | .....     |
| 0900 Total new obligations (object class 41.0) .....  | .....       | 16,500  | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| <b>Budget authority:</b>                              |             |         |           |
| <b>Appropriations, mandatory:</b>                     |             |         |           |
| 1200 Appropriation .....                              | .....       | 16,500  | .....     |
| 1260 Appropriations, mandatory (total) .....          | .....       | 16,500  | .....     |
| 1930 Total budgetary resources available .....        | .....       | 16,500  | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| <b>Unpaid obligations:</b>                            |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | .....       | .....   | 15,675    |
| 3010 Obligations incurred, unexpired accounts .....   | .....       | 16,500  | .....     |
| 3020 Outlays (gross) .....                            | .....       | -825    | -13,750   |
| 3050 Unpaid obligations, end of year .....            | .....       | 15,675  | 1,925     |
| <b>Memorandum (non-add) entries:</b>                  |             |         |           |
| 3100 Obligated balance, start of year .....           | .....       | .....   | 15,675    |
| 3200 Obligated balance, end of year .....             | .....       | 15,675  | 1,925     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| <b>Mandatory:</b>                                     |             |         |           |
| 4090 Budget authority, gross .....                    | .....       | 16,500  | .....     |
| <b>Outlays, gross:</b>                                |             |         |           |
| 4100 Outlays from new mandatory authority .....       | .....       | 825     | .....     |
| 4101 Outlays from mandatory balances .....            | .....       | .....   | 13,750    |
| 4110 Outlays, gross (total) .....                     | .....       | 825     | 13,750    |
| 4180 Budget authority, net (total) .....              | .....       | 16,500  | .....     |
| 4190 Outlays, net (total) .....                       | .....       | 825     | 13,750    |

The 2014 Budget proposes initiatives that aggressively address long-term unemployment and provide new employment opportunities for low-income and unemployed workers, and build the skills of American workers. This proposal includes:

## AMERICAN JOBS ACT AND COMMUNITY COLLEGE TO CAREER FUND—Continued

**Reemployment NOW.**—Provides \$4 billion for the Reemployment NOW fund, which gives the States flexibility to institute innovative approaches to better connect Emergency Unemployment Compensation (EUC) claimants and other long-term unemployed workers with job opportunities. With Reemployment NOW, States will be able to implement Bridge to Work programs to give EUC claimants valuable on-the-job experience and will also be able to offer claimants wage insurance and other intensive reemployment services.

**Pathways Back to Work.**—Provides \$12.5 billion to support subsidized employment and training opportunities for low-income and long-term unemployed adults, summer and year-round employment opportunities for low-income youth, and competitive grants to support innovative training and employment programs for these populations.

**Community College to Career Fund.**—Beginning in 2015, provides \$8 billion (\$4 billion each in the Departments of Labor and Education) over three years to support and evaluate community college-based training programs that build the skills of American workers, with a particular emphasis on initiatives with strong State and community college partnerships with businesses. The Fund will be jointly administered by the Departments of Labor and Education and is the successor to the Trade Adjustment Assistance Community College and Career Training program, for which 2014 is the final year of funding.

## PAYMENTS TO THE UNEMPLOYMENT TRUST FUND

## Program and Financing (in millions of dollars)

| Identification code 16-0178-0-1-603                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0010 Payments to EUCA .....                                     | 39,902      | 30,137  | 6,887     |
| 0012 Payments to ESAA .....                                     | 653         | 630     | 270       |
| 0900 Total new obligations (object class 41.0) .....            | 40,555      | 30,767  | 7,157     |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 35      |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... |             | -35     |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation (indefinite) .....                           | 40,555      | 30,767  | 7,157     |
| 1200 Appropriation (definite) .....                             | 35          |         |           |
| 1260 Appropriations, mandatory (total) .....                    | 40,590      | 30,767  | 7,157     |
| 1930 Total budgetary resources available .....                  | 40,590      | 30,767  | 7,157     |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 35          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....             | 40,555      | 30,767  | 7,157     |
| 3020 Outlays (gross) .....                                      | -40,555     | -30,767 | -7,157    |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 40,590      | 30,767  | 7,157     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 40,555      | 30,767  | 7,157     |
| 4180 Budget authority, net (total) .....                        | 40,590      | 30,767  | 7,157     |
| 4190 Outlays, net (total) .....                                 | 40,555      | 30,767  | 7,157     |

This account provides for general fund financing of extended unemployment benefit programs under certain statutes. Under the Emergency Unemployment Compensation law enacted in Public Law (P.L.) 102-164, as amended, there continues to be general fund financing for administrative costs related to any extended benefits paid under the optional, total unemployment rate trigger created in that law. This account is also used to make

general fund reimbursements for some or all of the benefits and administrative costs incurred under the new Emergency Unemployment Compensation program (first enacted in P.L. 110-252 and expanded and extended several times, most recently in P.L. 112-240). These funds are transferred to a receipt account in the Unemployment Trust Fund (UTF) so that resources may be transferred to the Employment Security Administration Account in the UTF for administrative costs or to the Extended Unemployment Compensation Account in the UTF for benefit costs.

## SHORT TIME COMPENSATION PROGRAMS

## Program and Financing (in millions of dollars)

| Identification code 16-0168-0-1-603                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Grants .....                                     |             | 50      | 10        |
| 0002 Benefits .....                                   | 52          | 182     | 215       |
| 0900 Total new obligations (object class 41.0) .....  | 52          | 232     | 225       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 101     | 51        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 153         | 182     | 215       |
| 1260 Appropriations, mandatory (total) .....          | 153         | 182     | 215       |
| 1930 Total budgetary resources available .....        | 153         | 283     | 266       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 101         | 51      | 41        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 52      |           |
| 3010 Obligations incurred, unexpired accounts .....   | 52          | 232     | 225       |
| 3020 Outlays (gross) .....                            |             | -284    | -225      |
| 3050 Unpaid obligations, end of year .....            | 52          |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 52      |           |
| 3200 Obligated balance, end of year .....             | 52          |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 153         | 182     | 215       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 182     | 215       |
| 4101 Outlays from mandatory balances .....            |             | 102     | 10        |
| 4110 Outlays, gross (total) .....                     |             | 284     | 225       |
| 4180 Budget authority, net (total) .....              | 153         | 182     | 215       |
| 4190 Outlays, net (total) .....                       |             | 284     | 225       |

## FEDERAL ADDITIONAL UNEMPLOYMENT COMPENSATION PROGRAM, RECOVERY

## Program and Financing (in millions of dollars)

| Identification code 16-1800-0-1-603                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              | 2           |         |           |
| 0900 Total new obligations (object class 42.0) .....            | 2           |         |           |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | -13         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....          |             | 32      |           |
| 1029 Other balances withdrawn .....                             |             | -32     |           |
| 1050 Unobligated balance (total) .....                          | -13         |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 2           |         |           |
| 1260 Appropriations, mandatory (total) .....                    | 2           |         |           |



|      |   |    |       |       |
|------|---|----|-------|-------|
| 1800 | Spending authority from offsetting collections, mandatory:<br>Collected ..... | 13 | ..... | ..... |
| 1850 | Spending auth from offsetting collections, mand (total) .....                 | 13 | ..... | ..... |
| 1900 | Budget authority (total) .....  | 15 | ..... | ..... |
| 1930 | Total budgetary resources available .....                                     | 2  | ..... | ..... |

**Change in obligated balance:**

|      |  |     |       |       |
|------|--|-----|-------|-------|
| 3000 | Unpaid obligations, brought forward, Oct 1 .....                   | 20  | 32    | ..... |
| 3001 | Adjustments to unpaid obligations, brought forward, Oct<br>1 ..... | 12  | ..... | ..... |
| 3010 | Obligations incurred, unexpired accounts .....                     | 2   | ..... | ..... |
| 3020 | Outlays (gross) .....  | -2  | ..... | ..... |
| 3040 | Recoveries of prior year unpaid obligations, unexpired .....       | -32 | ..... | ..... |
| 3050 | Unpaid obligations, end of year .....                              | 32  | ..... | ..... |
|      | Memorandum (non-add) entries:                                      |     |       |       |
| 3100 | Obligated balance, start of year .....                             | 32  | 32    | ..... |
| 3200 | Obligated balance, end of year .....                               | 32  | ..... | ..... |

**Budget authority and outlays, net:**

|      |   |     |       |       |
|------|---|-----|-------|-------|
| 4090 | Mandatory:<br>Budget authority, gross .....   | 15  | ..... | ..... |
| 4100 | Outlays, gross:<br>Outlays from new mandatory authority .....                                   | 2   | ..... | ..... |
|      | Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |     |       |       |
| 4120 | Federal sources .....   | -13 | ..... | ..... |
| 4180 | Budget authority, net (total) .....   | 2   | ..... | ..... |
| 4190 | Outlays, net (total) .....  | -11 | ..... | ..... |

This account provides mandatory general revenue funding for a temporary program established under the American Recovery and Reinvestment Act of 2009 (Public Law 111-5) and subsequently extended. This program paid a supplement of \$25 on every week of unemployment compensation. It was last extended in Public Law 111-157 and paid benefits through its December 7, 2010, phaseout period.

**ADVANCES TO THE UNEMPLOYMENT TRUST FUND AND OTHER FUNDS**

For repayable advances to the Unemployment Trust Fund as authorized by sections 905(d) and 1203 of the Social Security Act, and to the Black Lung Disability Trust Fund as authorized by section 9501(c)(1) of the Internal Revenue Code of 1986; and for nonrepayable advances to the revolving fund established by section 901(e) of the Social Security Act, to the Unemployment Trust Fund as authorized by 5 U.S.C. 8509, and to the "Federal Unemployment Benefits and Allowances" account, such sums as may be necessary, which shall be available for obligation through September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 16-0327-0-1-600                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0010 FECA Costs .....                                | 140         | .....   | .....     |
| 0011 Advance to ESAA revolving fund .....            | .....       | .....   | 600       |
| 0900 Total new obligations (object class 41.0) ..... | 140         | .....   | 600       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 140         | .....   | 600       |
| 1260 Appropriations, mandatory (total) .....         | 140         | .....   | 600       |
| 1930 Total budgetary resources available .....       | 140         | .....   | 600       |

**Change in obligated balance:**

|      |   |      |       |      |
|------|---|------|-------|------|
| 3010 | Unpaid obligations:<br>Obligations incurred, unexpired accounts ..... | 140  | ..... | 600  |
| 3020 | Outlays (gross) .....   | -140 | ..... | -600 |

**Budget authority and outlays, net:**

|      |   |     |       |     |
|------|---|-----|-------|-----|
| 4090 | Mandatory:<br>Budget authority, gross .....                   | 140 | ..... | 600 |
| 4100 | Outlays, gross:<br>Outlays from new mandatory authority ..... | 140 | ..... | 600 |
| 4180 | Budget authority, net (total) .....                           | 140 | ..... | 600 |
| 4190 | Outlays, net (total) .....                                    | 140 | ..... | 600 |

This account makes available funding for repayable advances (loans) to two accounts in the Unemployment Trust Fund (UTF): the Extended Unemployment Compensation Account (EUCA) which pays the Federal share of extended unemployment benefits, and the Federal Unemployment Account (FUA) which makes loans to States to fund unemployment benefits. In addition, the account has provided repayable advances to the Black Lung Disability Trust Fund (BLDTF) when its balances proved insufficient to make payments from that account. The BLDTF now has authority to borrow directly from the Treasury under the trust fund debt restructuring provisions of Public Law 110-343. Repayable advances are shown as borrowing authority within the UTF or the BLDTF, and they do not appear as budget authority or outlays in the Advances to the Unemployment Trust Fund and Other Funds account.

This account also makes available funding as needed for nonrepayable advances to the Federal Employees Compensation Account (FECA) to pay the costs of unemployment compensation for former Federal employees and ex-servicemembers, and to the Federal Unemployment and Benefits and Allowances (FUBA) account to pay the costs of benefits and services under the Trade Adjustment Assistance for Workers (TAA) program. These advances are shown as budget authority and outlays in the Advances account. The 2014 appropriations language for this account includes new authority for nonrepayable advances to the revolving fund for the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund. In turn, this revolving fund may provide repayable, interest-bearing advances to the ESAA account if it runs short of funds, and the borrowing authority will enable ESAA to cover its obligations despite seasonal variations in the account's receipts.

Advances were needed for the FUA, EUCA, and FECA accounts in fiscal year 2012, and the need is expected to continue. Detail on the nonrepayable advances is provided above; detail on the repayable advances is shown separately in the UTF account.

To address the potential need for significant, and somewhat unpredictable advances to various accounts, Congress appropriates such sums as necessary for advances to all of the potential recipient accounts. The fiscal year 2014 request continues this authority.

**PROGRAM ADMINISTRATION**

For expenses of administering employment and training programs, \$99,009,065, together with not to exceed \$50,608,425 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 16-0172-0-1-504     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Adult services .....               | 55          | 56      | .....     |
| 0002 Youth services .....               | 12          | 12      | .....     |
| 0003 Workforce security .....           | 43          | 43      | 43        |

PROGRAM ADMINISTRATION—Continued  
Program and Financing—Continued

| Identification code 16-0172-0-1-504                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 0004   | Apprenticeship training, employer and labor services .....    | 28          | 28      | 28        |
| 0005   | Executive direction .....                                     | 9           | 9       | 9         |
| 0006   | Training & Employment Services .....                          |             |         | 69        |
| 0799   | Total direct obligations .....                                | 147         | 148     | 149       |
| 0803   | Reimbursable programs (DUA & E-grants) .....                  | 1           | 1       | 1         |
| 0900   | Total new obligations .....                                   | 148         | 149     | 150       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 97          | 98      | 99        |
| 1160   | Appropriation, discretionary (total) .....                    | 97          | 98      | 99        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Offsetting collections (UTF) .....                            | 51          | 51      | 51        |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 51          | 51      | 51        |
| 1900   | Budget authority (total) .....                                | 148         | 149     | 150       |
| 1930   | Total budgetary resources available .....                     | 148         | 149     | 150       |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 45          | 39      | 38        |
| 3010   | Obligations incurred, unexpired accounts .....                | 148         | 149     | 150       |
| 3011   | Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020   | Outlays (gross) .....   | -151        | -150    | -168      |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -4          |         |           |
| 3050   | Unpaid obligations, end of year .....                         | 39          | 38      | 20        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 45          | 39      | 38        |
| 3200   | Obligated balance, end of year .....                          | 39          | 38      | 20        |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 148         | 149     | 150       |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 128         | 130     | 131       |
| 4011   | Outlays from discretionary balances .....                     | 23          | 20      | 37        |
| 4020   | Outlays, gross (total) .....                                  | 151         | 150     | 168       |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | -51         | -51     | -51       |
| 4180   | Budget authority, net (total) .....                           | 97          | 98      | 99        |
| 4190   | Outlays, net (total) .....                                    | 100         | 99      | 117       |

This account provides for the Federal administration of Employment and Training Administration programs.

**Training and Employment services.**—In 2014, the Department proposes to combine the Adult services and Youth services activities into one budget activity, Training and Employment services. This restructuring will more accurately align with how work is performed in the field and allow greater flexibility for staff to perform functions related to adults and youth.

**Adult services.**—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for low income adults and dislocated workers; provides for training and employment services to special targeted groups; provides for the settlement of trade adjustment petitions; and includes related program operations support activities.

**Youth services.**—Provides leadership, policy direction and administration for a decentralized system of grants to State and local governments as well as federally administered programs for job training and employment assistance for youth.

**Workforce security.**—Provides leadership and policy direction for the administration of the comprehensive nationwide public employment service system; oversees unemployment insurance programs in each State; supports a one-stop career center net-

work, including a comprehensive system of collecting, analyzing and disseminating labor market information; and includes related program operations support activities.

**Office of Apprenticeship.**—Oversees the administration of a Federal-State apprenticeship structure that registers apprenticeship training programs meeting national standards, and provides outreach to employers and labor organizations to promote and develop high-quality apprenticeship programs.

**Executive direction.**—Provides leadership and policy direction for all training and employment services programs and activities and provides for related program operations support, including research, evaluations, and demonstrations.

## Object Classification (in millions of dollars)

| Identification code 16-0172-0-1-504 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 75          | 77      | 77        |
| 11.5                                | Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 76          | 78      | 78        |
| 12.1                                | Civilian personnel benefits .....                          | 22          | 23      | 23        |
| 21.0                                | Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 9           | 9       | 10        |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....                     | 5           | 4       | 4         |
| 25.2                                | Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 25.3                                | Other goods and services from Federal sources .....        | 19          | 19      | 19        |
| 25.7                                | Operation and maintenance of equipment .....               | 10          | 9       | 9         |
| 26.0                                | Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0                                | Equipment .....  | 1           | 1       | 1         |
| 99.0                                | Direct obligations .....                                   | 147         | 148     | 149       |
| 99.0                                | Reimbursable obligations .....                             | 1           | 1       | 1         |
| 99.9                                | Total new obligations .....                                | 148         | 149     | 150       |

## Employment Summary

| Identification code 16-0172-0-1-504 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 778         | 778     | 780       |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 4           | 4       | 4         |

## WORKERS COMPENSATION PROGRAMS

## Program and Financing (in millions of dollars)

| Identification code 16-0170-0-1-806       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 31          | 25      | 14        |
| 3020                                      | Outlays (gross) .....                            | -6          | -11     | -9        |
| 3050                                      | Unpaid obligations, end of year .....            | 25          | 14      | 5         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 31          | 25      | 14        |
| 3200                                      | Obligated balance, end of year .....             | 25          | 14      | 5         |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| Outlays, gross:                           |  |             |         |           |
| 4011                                      | Outlays from discretionary balances .....        | 6           | 11      | 9         |
| 4190                                      | Outlays, net (total) .....                       | 6           | 11      | 9         |

**Workers Compensation Programs.**—Section 5011 of Public Law 109-148 made \$50,000,000 available to the New York State Uninsured Employers Fund for reimbursement of claims related to the September 11, 2001, terrorist attacks on the United States and for reimbursement of claims related to the first response

emergency services personnel who were injured, were disabled, or died due to such terrorist attacks.

#### STATE PAID LEAVE FUND

*For grants and contracts to assist in the start-up of new paid leave programs in the States, \$5,000,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 16–0185–0–1–505                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 States paid leave fund .....                    |             |         | 5         |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 5         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 5         |
| 1160 Appropriation, discretionary (total) .....      |             |         | 5         |
| 1930 Total budgetary resources available .....       |             |         | 5         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 5         |
| 3020 Outlays (gross) .....                           |             |         | –1        |
| 3050 Unpaid obligations, end of year .....           |             |         | 4         |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 4         |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 5         |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | 1         |
| 4180 Budget authority, net (total) .....             |             |         | 5         |
| 4190 Outlays, net (total) .....                      |             |         | 1         |

The 2014 Budget requests \$5 million for the State paid leave fund in the Department of Labor to assist States in setting up paid leave programs by providing technical assistance and other support.

#### ADVANCES TO THE EMPLOYMENT SECURITY ADMINISTRATION ACCOUNT OF THE UNEMPLOYMENT TRUST FUND

#### Program and Financing (in millions of dollars)

| Identification code 16–4510–0–4–603                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             |         | 600       |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             |         | 600       |
| 1930 Total budgetary resources available .....                     |             |         | 600       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             |         | 600       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 |             |         | 600       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   |             |         | –600      |
| 4190 Outlays, net (total) .....                                    |             |         | –600      |

This account is a revolving fund that is available to make advances to the Employment Security Administration Account (ESAA) in the Unemployment Trust Fund under the provisions of section 901(e) of the Social Security Act. These repayable, interest-bearing advances permit financing of the Federal and State administrative costs of employment security programs when the balance in ESAA is insufficient. The borrowing authority also enables ESAA to cover its obligations despite seasonal variations in the account's receipts.

#### Trust Funds

#### UNEMPLOYMENT TRUST FUND

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 16–8042–0–7–999  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 9,757       | 15,123  | 21,808    |
| Adjustments:   |             |         |           |
| 0190 Adjustment - prior year accounting adjustment .....   | 38          |         |           |
| 0191 Adjustment - FY12 definite appropriation .....  |             | –35     |           |
| 0199 Balance, start of year .....  | 9,795       | 15,088  | 21,808    |
| Receipts:  |             |         |           |
| 0200 General Taxes, FUTA, Unemployment Trust Fund .....  | 7,059       | 7,862   | 8,442     |
| 0201 General Taxes, FUTA, Unemployment Trust Fund .....  |             |         | 1,305     |
| 0202 General Taxes, FUTA, Unemployment Trust Fund .....  |             |         | –3,083    |
| 0203 Unemployment Trust Fund, State Accounts, Deposits by States .....   | 59,378      | 52,586  | 51,494    |
| 0204 Unemployment Trust Fund, State Accounts, Deposits by States .....   |             |         | 7         |
| 0205 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....  | 210         | 107     | 39        |
| 0220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....         | 1,129       | 606     | 455       |
| 0221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....         |             | –606    | –455      |
| 0240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....               | 1,387       | 1,162   | 1,082     |
| 0241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund .....                                     | 140         |         |           |
| 0242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund ..... | 40,590      | 30,767  | 7,157     |
| 0243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....                            | 472         | 559     | 703       |
| 0299 Total receipts and collections .....  | 110,365     | 93,043  | 67,146    |
| 0400 Total: Balances and collections .....   | 120,160     | 108,131 | 88,954    |
| Appropriations:  |             |         |           |
| 0500 Unemployment Trust Fund .....   | –4,336      | –4,362  | –4,100    |
| 0501 Unemployment Trust Fund .....   | –105,797    | –89,387 | –65,138   |
| 0502 Unemployment Trust Fund .....   | 5,171       | 7,537   | 5,436     |
| 0503 Unemployment Trust Fund .....   |             |         | 33        |
| 0504 Unemployment Trust Fund .....   |             |         | 15        |
| 0505 Railroad Unemployment Insurance Trust Fund .....  | –15         | –26     | –26       |
| 0506 Railroad Unemployment Insurance Trust Fund .....  |             | 11      | 11        |
| 0507 Railroad Unemployment Insurance Trust Fund .....  | –199        | –86     | –16       |
| 0508 Railroad Unemployment Insurance Trust Fund .....  | –40         | –162    | –152      |
| 0509 Railroad Unemployment Insurance Trust Fund .....  | 162         | 152     | 67        |
| 0599 Total appropriations .....  | –105,054    | –86,323 | –63,870   |
| 0795 Adjustment - reconcile to actual unappropriated balances .....  | 17          |         |           |
| 0799 Balance, end of year .....  | 15,123      | 21,808  | 25,084    |

#### Program and Financing (in millions of dollars)

| Identification code 16–8042–0–7–999                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                     |             |         |           |
| 0001 Benefit payments by States .....                       | 87,108      | 75,441  | 51,344    |
| 0002 Federal employees' unemployment compensation .....     | 1,412       | 1,100   | 1,076     |
| 0003 State administrative expenses .....                    | 4,673       | 3,811   | 3,811     |
| 0007 UI Mod Benefits/Administration .....                   | 261         | 200     | 200       |
| 0010 Direct expenses .....                                  | 124         | 123     | 123       |
| 0011 Reimbursements to the Department of the Treasury ..... | 82          | 88      | 90        |
| 0020 Veterans employment and training .....                 | 212         | 213     | 221       |
| 0021 Interest on FUTA refunds .....                         | 1           | 1       | 1         |
| 0022 Interest on General Fund Advances .....                | 1,247       | 830     | 670       |

UNEMPLOYMENT TRUST FUND—Continued  
Program and Financing—Continued

| Identification code 16–8042–0–7–999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0900 Total new obligations .....                                 | 95,120      | 81,807  | 57,536    |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            |             | 1       | 241       |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....  |             | 35      |           |
| 1050 Unobligated balance (total) .....                           |             | 36      | 241       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1101 Appropriation (special or trust fund) .....                 | 4,336       | 4,362   | 4,100     |
| 1160 Appropriation, discretionary (total) .....                  | 4,336       | 4,362   | 4,100     |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 105,797     | 89,387  | 65,138    |
| 1235 Appropriations precluded from obligation .....              | –5,171      | –7,537  | –5,436    |
| 1236 Appropriations applied to repay debt .....                  | –22,005     | –9,100  | –10,600   |
| 1260 Appropriations, mandatory (total) .....                     | 78,621      | 72,750  | 49,102    |
| Borrowing authority, mandatory:                                  |             |         |           |
| 1400 Borrowing authority .....                                   | 12,860      | 4,900   | 4,200     |
| 1421 Borrowing authority applied to repay debt .....             | –696        |         |           |
| 1440 Borrowing authority, mandatory (total) .....                | 12,164      | 4,900   | 4,200     |
| 1900 Budget authority (total) .....                              | 95,121      | 82,012  | 57,402    |
| 1930 Total budgetary resources available .....                   | 95,121      | 82,048  | 57,643    |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 1           | 241     | 107       |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 6,225       | 5,236   | 3,058     |
| 3010 Obligations incurred, unexpired accounts .....              | 95,120      | 81,807  | 57,536    |
| 3020 Outlays (gross) .....                                       | –96,109     | –83,985 | –58,734   |
| 3050 Unpaid obligations, end of year .....                       | 5,236       | 3,058   | 1,860     |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 6,225       | 5,236   | 3,058     |
| 3200 Obligated balance, end of year .....                        | 5,236       | 3,058   | 1,860     |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 4,336       | 4,362   | 4,100     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              | 2,774       | 3,294   | 3,059     |
| 4011 Outlays from discretionary balances .....                   | 1,370       | 1,541   | 1,073     |
| 4020 Outlays, gross (total) .....                                | 4,144       | 4,835   | 4,132     |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 90,785      | 77,650  | 53,302    |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 90,293      | 77,650  | 53,302    |
| 4101 Outlays from mandatory balances .....                       | 1,672       | 1,500   | 1,300     |
| 4110 Outlays, gross (total) .....                                | 91,965      | 79,150  | 54,602    |
| 4180 Budget authority, net (total) .....                         | 95,121      | 82,012  | 57,402    |
| 4190 Outlays, net (total) .....                                  | 96,109      | 83,985  | 58,734    |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 16,030      | 20,673  | 25,000    |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 20,673      | 25,000  | 27,000    |
| 5080 Outstanding debt, SOY: Repayable advances .....             | –42,773     | –32,932 | –28,732   |
| 5081 Outstanding debt, EOY: Repayable advances .....             | –32,932     | –28,732 | –22,332   |
| 5082 Borrowing: Repayable advances .....                         | –12,860     | –4,900  | –4,200    |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 95,121      | 82,012  | 57,402    |
| Outlays .....                               | 96,109      | 83,985  | 58,734    |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | –33       |
| Outlays .....                               |             |         | –33       |
| Legislative proposal, subject to PAYGO:     |             |         |           |
| Budget Authority .....                      |             |         | –15       |
| Outlays .....                               |             |         | –15       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 95,121      | 82,012  | 57,354    |
| Outlays .....                               | 96,109      | 83,985  | 58,686    |

The financial transactions of the Federal-State and railroad unemployment insurance systems are made through the Unemployment Trust Fund (UTF). All State and Federal unemployment tax receipts are deposited into the UTF and invested in Government securities until needed for benefit payments or administrative expenses. State payroll taxes pay for all regular State unemployment benefits. The Federal unemployment tax (FUTA) pays the costs of Federal and State administration of the unemployment insurance system, veterans' employment services, surveys of wages and employment, and about 97 percent of the costs of the Employment Service. In addition, the Federal tax pays for certain extended benefit payments. During periods of high State unemployment, there is a stand-by program of extended benefits (EB), financed one-half by State unemployment taxes and one-half by the FUTA payroll tax, which are also paid out of the UTF. The American Recovery and Reinvestment Act (Public Law 111–5), and subsequent legislation, has temporarily made EB 100 percent federally financed. Temporary Federal extended benefit programs, including the current Emergency Unemployment Compensation program, are also funded from the Unemployment Trust Fund, either by the Federal tax or by reimbursement from Federal general revenues. The UTF also provides repayable advances (loans) to the States when the balances in their individual State accounts are insufficient to pay benefits. Federal accounts in the UTF may receive repayable advances from the general fund when they have insufficient balances to make advances to States, pay the Federal share of extended unemployment benefits, or pay for State and Federal administrative costs.

The Federal Employees Compensation Account (FECA) in the Trust Fund provides funds to States for unemployment compensation benefits paid to eligible former Federal civilian personnel, Postal Service employees, and ex-servicemembers. In turn, the various Federal agencies reimburse FECA for benefits paid to their former employees. FECA is not funded out of Federal unemployment taxes. Any additional resources necessary to assure that the FECA account can make the required payments to States are provided from the Advances to the Unemployment Trust Fund and Other Funds account.

Both the benefit payments and administrative expenses of the separate unemployment insurance program for railroad employees are paid from the Unemployment Trust Fund, and receipts from a tax on railroad payrolls are deposited into the Trust Fund to meet expenses.

*Legislative proposals to strengthen the unemployment insurance safety net.*—The economic downturn continues to severely test the adequacy of States' unemployment insurance (UI) systems, forcing States to borrow to continue paying benefits. These debts are now being repaid through additional taxes on employers, which undermine much-needed job creation. To provide short-term relief to employers in these States, the 2014 Budget will propose a suspension of interest on State UI borrowing in 2013 and 2014 along with a suspension of the FUTA credit reduction, which is an automatic debt repayment mechanism for those years. To address the need for States to return their unemployment trust funds to solvency, the Budget will also propose to increase the FUTA taxable wage base to \$15,000 in 2016 and to index it to average wages thereafter. States with lower wage bases will need to adjust their UI tax structures. The FUTA tax rate will be returned to 0.8% in 2014, to strengthen the solvency of the Federal trust fund accounts, then lowered in 2016 in a revenue-neutral way. This package will encourage States to put their UI systems on a firmer financial footing for the future, while preventing unnecessary burden on employers in the short term as the economy recovers. The impact of this proposal is on several receipt

accounts that feed into the UTF, including FUTA deposits, deposits of State unemployment taxes into the UTF, and interest on loans.

Section 908 of the Social Security Act currently requires the Secretary of Labor to establish an Advisory Council on Unemployment Compensation every fourth year. This requirement would be replaced with language that would allow the Secretary of Labor to periodically establish an Advisory Council.

**Status of Funds** (in millions of dollars)

| Identification code 16-8042-0-7-999  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:   |             |         |           |
| 0100 Balance, start of year .....  | -26,748     | -12,573 | -3,626    |
| 0199 Total balance, start of year .....  | -26,748     | -12,573 | -3,626    |
| Cash income during the year:   |             |         |           |
| Current law:   |             |         |           |
| Receipts:  |             |         |           |
| 1200 General Taxes, FUTA, Unemployment Trust Fund .....  | 7,059       | 7,862   | 8,442     |
| 1203 Unemployment Trust Fund, State Accounts, Deposits by States .....   | 59,378      | 52,586  | 51,494    |
| 1205 Unemployment Trust Fund, Deposits by Railroad Retirement Board .....  | 210         | 107     | 39        |
| Offsetting receipts (proprietary):   |             |         |           |
| 1220 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....         | 1,129       | 606     | 455       |
| Offsetting receipts (intragovernmental):   |             |         |           |
| 1240 Deposits by Federal Agencies to the Federal Employees Compensation Account, Unemployment Trust Fund .....               | 1,387       | 1,162   | 1,082     |
| 1241 Non-repayable Advances for Unemployment Compensation, Unemployment Trust Fund .....                                     | 140         |         |           |
| 1242 Payments from the General Fund for Administrative Cost for Extended Unemployment Benefit, Unemployment Trust Fund ..... | 40,590      | 30,767  | 7,157     |
| 1243 Unemployment Trust Fund, Interest and Profits on Investments in Public Debt Securities .....                            | 472         | 559     | 703       |
| Offsetting collections:  |             |         |           |
| 1280 Railroad Unemployment Insurance Trust Fund .....  | 21          | 22      | 23        |
| 1299 Income under present law .....  | 110,386     | 93,671  | 69,395    |
| Proposed legislation:  |             |         |           |
| Receipts:  |             |         |           |
| 2201 General Taxes, FUTA, Unemployment Trust Fund .....  |             |         | 1,305     |
| 2202 General Taxes, FUTA, Unemployment Trust Fund .....  |             |         | -3,083    |
| 2204 Unemployment Trust Fund, State Accounts, Deposits by States .....   |             |         | 7         |
| Offsetting receipts (proprietary receipts):  |             |         |           |
| 2221 Interest on Unemployment Insurance Loans to States, Federal Unemployment Account, Unemployment Trust Fund .....         |             | -606    | -455      |
| 2299 Income under proposed legislation .....   |             | -606    | -2,226    |
| 3299 Total cash income .....   | 110,386     | 93,065  | 67,169    |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 Unemployment Trust Fund .....   | -96,109     | -83,985 | -58,734   |
| 4500 Railroad Unemployment Insurance Trust Fund .....  | -118        | -133    | -139      |
| 4599 Outgo under current law (-) .....   | -96,227     | -84,118 | -58,873   |
| Proposed legislation:  |             |         |           |
| 5500 Unemployment Trust Fund .....   |             |         | 15        |
| 5500 Unemployment Trust Fund .....   |             |         | 33        |
| 5599 Outgo under proposed legislation (-) .....  |             |         | 48        |
| 6599 Total cash outgo (-) .....  | -96,227     | -84,118 | -58,825   |
| Manual Adjustments:  |             |         |           |
| 7690 Adjustment - reconcile to actual unappropriated balances .....  | 17          |         |           |
| 7691 Rounding adjustment .....   | -1          |         |           |
| 7699 Total adjustments .....   | 16          |         |           |
| Unexpended balance, end of year:   |             |         |           |
| 8700 Uninvested balance (net), end of year .....   | -33,246     | -28,626 | -22,282   |
| 8701 Unemployment Trust Fund .....   | 20,673      | 25,000  | 27,000    |
| 8799 Total balance, end of year .....  | -12,573     | -3,626  | 4,718     |

**Object Classification** (in millions of dollars)

| Identification code 16-8042-0-7-999                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                                     |             |         |           |
| 25.3 Reimbursements to Department of the Treasury ..... | 82          | 88      | 90        |
| 42.0 FECA (Federal Employee) Benefits .....             | 1,412       | 1,100   | 1,076     |
| 42.0 State unemployment benefits .....                  | 87,108      | 74,895  | 50,638    |
| 43.0 Interest and dividends .....                       | 1,248       | 1,311   | 1,311     |

|      |  |        |        |        |
|------|--|--------|--------|--------|
| 94.0 | ETA-PA, BLS, FLC .....                               | 124    | 183    | 183    |
| 94.0 | Veterans employment and training .....               | 212    | 213    | 221    |
| 94.0 | Payments to States for administrative expenses ..... | 4,667  | 3,811  | 3,811  |
| 94.0 | Departmental management .....                        | 6      | 6      | 6      |
| 94.0 | UI Mod Benefits/Admin .....                          | 261    | 200    | 200    |
| 99.9 | Total new obligations .....                          | 95,120 | 81,807 | 57,536 |

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 16-8042-2-7-999                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Benefit payments by States .....                |             |         | -33       |
| 0900 Total new obligations (object class 42.0) ..... |             |         | -33       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     |             |         | -33       |
| 1260 Appropriations, mandatory (total) .....         |             |         | -33       |
| 1930 Total budgetary resources available .....       |             |         | -33       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | -33       |
| 3020 Outlays (gross) .....                           |             |         | 33        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | -33       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | -33       |
| 4180 Budget authority, net (total) .....             |             |         | -33       |
| 4190 Outlays, net (total) .....                      |             |         | -33       |

The savings reflected in the legislative proposal above are from a cap adjustment for Reemployment and Eligibility Assessments funded in the Unemployment Insurance administrative grants for the States. Please see the narrative in the "*State Unemployment Insurance and Employment Service Operations*" account for additional detail on this program integrity proposal.

**UNEMPLOYMENT TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 16-8042-4-7-999                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Benefit payments by States .....                |             |         | -15       |
| 0900 Total new obligations (object class 42.0) ..... |             |         | -15       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     |             |         | -15       |
| 1260 Appropriations, mandatory (total) .....         |             |         | -15       |
| 1930 Total budgetary resources available .....       |             |         | -15       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | -15       |
| 3020 Outlays (gross) .....                           |             |         | 15        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | -15       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | -15       |
| 4180 Budget authority, net (total) .....             |             |         | -15       |
| 4190 Outlays, net (total) .....                      |             |         | -15       |

## UNEMPLOYMENT TRUST FUND—Continued

The savings reflected in the legislative proposal shown above are for three Unemployment Insurance (UI) program integrity proposals that would expand State use of programs that help them to prevent and recover improper payments.

The State Information Data Exchange System (SIDES) is designed to help employers provide the information required to determine a claimant's UI eligibility to States more quickly by providing a secure electronic data exchange between States and employers or their third party administrators. SIDES is currently used by about 35 States. This provision would require all State UI agencies to use SIDES. The improvements in speed and accuracy resulting from use of such a system will help avoid overpayments or underpayments and provide for more efficient and effective administration of the UI program.

States have gained access to the Treasury Offset Program (TOP) to recover certain UI debts (stemming from overpayments due to fraud or failure to report earnings). A number of States already use TOP, and they have found it an effective debt recovery tool when other attempts to collect legally enforceable UI debts have failed. The legislative proposal will expand State use of TOP, which will increase recovery of overpayments.

To avoid paying UI benefits to incarcerated individuals (with an exception for those on work release), legislation will be proposed to require States to cross-match UI claimants with the Prisoner Update Processing System (PUPS) database housed at the Social Security Administration. The proposal will also add new data elements to PUPS on prospective and actual release dates, which should make the system more valuable to agency users as an indicator of potential ineligibility for UI benefits.

## EMPLOYEE BENEFITS SECURITY ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the Employee Benefits Security Administration, \$179,104,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 16–1700–0–1–601   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Enforcement and participant assistance .....   | 145         | 144     | 147       |
| 0002 Policy and compliance assistance .....   | 30          | 34      | 26        |
| 0003 Executive leadership, program oversight and administration ....                              | 7           | 7       | 7         |
| 0799 Total direct obligations .....   | 182         | 185     | 180       |
| 0801 Reimbursable program .....   | 6           | 9       | 9         |
| 0900 Total new obligations .....  | 188         | 194     | 189       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   |             | 1       |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 184         | 184     | 180       |
| 1160 Appropriation, discretionary (total) .....   | 184         | 184     | 180       |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1700 Collected: Federal Sources .....   | 6           | 9       | 9         |
| 1710 Spending authority from offsetting collections transferred to other accounts [16–0165] ..... | –1          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                                | 5           | 9       | 9         |
| 1900 Budget authority (total) .....   | 189         | 193     | 189       |

|   |     |     |     |
|---|-----|-----|-----|
| 1930 Total budgetary resources available .....        | 189 | 194 | 189 |
| Memorandum (non-add) entries:                         |     |     |     |
| 1941 Unexpired unobligated balance, end of year ..... | 1   |     |     |

#### Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 43   | 60   | 52   |
| 3010 Obligations incurred, unexpired accounts .....             | 188  | 194  | 189  |
| 3011 Obligations incurred, expired accounts .....               | 5    |      |      |
| 3020 Outlays (gross) .....                                      | –170 | –202 | –190 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –6   |      |      |
| 3050 Unpaid obligations, end of year .....                      | 60   | 52   | 51   |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 43   | 60   | 52   |
| 3200 Obligated balance, end of year .....                       | 60   | 52   | 51   |

#### Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 189 | 193 | 189 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 137 | 145 | 142 |
| 4011 Outlays from discretionary balances .....      | 33  | 57  | 48  |
| 4020 Outlays, gross (total) .....                   | 170 | 202 | 190 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Baseline Program [Reimbursable] .....          | –6  | –9  | –9  |
| 4180 Budget authority, net (total) .....            | 183 | 184 | 180 |
| 4190 Outlays, net (total) .....                     | 164 | 193 | 181 |

**Enforcement and participant assistance.**—Conducts criminal and civil investigations to ensure compliance with the fiduciary provisions of the Employee Retirement Income Security Act (ERISA) and the Federal Employees' Retirement System Act. Assures compliance with applicable reporting, disclosure, and other requirements of ERISA as well as accounting, auditing, and actuarial standards. Discloses required plan filings to the public. Provides information, technical, and compliance assistance to benefit plan professionals and participants and to the general public.

**Policy and compliance assistance.**—Conducts policy, research, and legislative analyses on pension, health, and other employee benefit issues. Provides compliance assistance to employers and plan officials. Develops regulations and interpretations. Issues individual and class exemptions from regulations.

|   | 2012 actual              | 2013 est.   | 2014 est.            |
|---|--------------------------|-------------|----------------------|
| <b>ENFORCEMENT AND PARTICIPANT ASSISTANCE</b>                             |                          |             |                      |
| Investigation conducted .....   | 3,884                    | 3,994       | 3,994                |
| Investigations closed that restored or protected assets .....             | 2,570                    | 2,676       | 2,676                |
| Benefit recoveries from customer assistance .....                         | 289,830,000 <sup>1</sup> | 173,000,000 | 173,000,000          |
| Inquiries received .....  | 239,520 <sup>2</sup>     | 250,000     | 250,000 <sup>3</sup> |
| Reporting compliance reviews .....  | 4,609                    | 4,330       | 4,330                |
| <b>POLICY AND COMPLIANCE ASSISTANCE</b>                                   |                          |             |                      |
| Exemptions, determinations, interpretations, and regulations issues ..... | 4,565                    | 4,876       | 4,965 <sup>4</sup>   |
| Average days to process exemption requests .....                          | 238                      | 300         | 300                  |

<sup>1</sup> Of 4,881 inquiries that produced benefit recoveries, 3 inquiries resulted in over \$78 million (30%) of recoveries from customer assistance.

<sup>2</sup> Includes 3,240 American Recovery and Reinvestment Act (ARRA) related inquiries.

<sup>3</sup> ARRA inquiries not included in 2013 or 2014 projections because eligibility for the COBRA subsidy expired May 31, 2010.

<sup>4</sup> Includes Multiple Employer Welfare Arrangement (MEWA) registration.

**Executive leadership, program oversight, and administration.**—Provides leadership, policy direction, strategic planning, and administrative guidance in the support of the Department's ERISA responsibilities. Provides analytical and administrative support for the financial, human capital management, and other administrative functions. Manages the Agency's technical program training and employee development activities.

**Object Classification** (in millions of dollars)

| Identification code 16-1700-0-1-601                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 82          | 94      | 93        |
| 11.5 Other personnel compensation .....                         | 2           | 3       | 3         |
| 11.9 Total personnel compensation .....                         | 84          | 97      | 96        |
| 12.1 Civilian personnel benefits .....                          | 24          | 27      | 27        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 11          | 11      | 11        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           |         |           |
| 25.2 Other services from non-Federal sources .....              | 8           | 4       | 4         |
| 25.3 Other goods and services from Federal sources .....        | 17          | 16      | 16        |
| 25.5 Research and development contracts .....                   | 12          | 9       | 5         |
| 25.7 Operation and maintenance of equipment .....               | 15          | 14      | 14        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 4           | 1       | 2         |
| 99.0 Direct obligations .....                                   | 182         | 185     | 181       |
| 99.0 Reimbursable obligations .....                             | 6           | 9       | 8         |
| 99.9 Total new obligations .....                                | 188         | 194     | 189       |

**Employment Summary**

| Identification code 16-1700-0-1-601                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 931         | 1,003   | 1,003     |

|   |       |       |       |
|---|-------|-------|-------|
| 0803 Pension insurance activities ..... | 83    | 76    | 80    |
| 0804 Pension plan termination .....     | 225   | 241   | 270   |
| 0805 Operational support .....          | 138   | 162   | 155   |
| 0900 Total new obligations .....        | 5,860 | 6,219 | 6,978 |

**Budgetary Resources:**

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....                                      | 15,312 | 15,582 | 16,784 |
| Budget authority:  |        |        |        |
| Appropriations, mandatory:   |        |        |        |
| 1230 Appropriations and/or unobligated balance of appropriations permanently reduced ..... | -100   |        |        |
| 1260 Appropriations, mandatory (total) .....   | -100   |        |        |
| 1800 Spending authority from offsetting collections, mandatory: Collected .....            | 6,230  | 7,421  | 8,598  |
| 1850 Spending auth from offsetting collections, mand (total) .....                         | 6,230  | 7,421  | 8,598  |
| 1900 Budget authority (total) .....  | 6,130  | 7,421  | 8,598  |
| 1930 Total budgetary resources available .....   | 21,442 | 23,003 | 25,382 |
| Memorandum (non-add) entries:  |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....                                      | 15,582 | 16,784 | 18,404 |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:                                   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 240    | 238    |        |
| 3010 Obligations incurred, unexpired accounts .....   | 5,860  | 6,219  | 6,978  |
| 3020 Outlays (gross) .....                            | -5,862 | -6,457 | -6,978 |
| 3050 Unpaid obligations, end of year .....            | 238    |        |        |
| Memorandum (non-add) entries:                         |        |        |        |
| 3100 Obligated balance, start of year .....           | 240    | 238    |        |
| 3200 Obligated balance, end of year .....             | 238    |        |        |

**Budget authority and outlays, net:**

|  |        |        |        |
|--|--------|--------|--------|
| Mandatory:   |        |        |        |
| 4090 Budget authority, gross .....                                   | 6,130  | 7,421  | 8,598  |
| Outlays, gross:  |        |        |        |
| 4100 Outlays from new mandatory authority .....                      | 5,694  | 6,219  | 6,978  |
| 4101 Outlays from mandatory balances .....                           | 168    | 238    |        |
| 4110 Outlays, gross (total) .....                                    | 5,862  | 6,457  | 6,978  |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4121 Interest on Federal securities .....                            | -734   | -656   | -705   |
| 4123 Non-Federal sources .....                                       | -5,496 | -6,765 | -7,893 |
| 4130 Offsets against gross budget authority and outlays (total) .... | -6,230 | -7,421 | -8,598 |
| 4160 Budget authority, net (mandatory) .....                         | -100   |        |        |
| 4170 Outlays, net (mandatory) .....                                  | -368   | -964   | -1,620 |
| 4180 Budget authority, net (total) .....                             | -100   |        |        |
| 4190 Outlays, net (total) .....                                      | -368   | -964   | -1,620 |

**Memorandum (non-add) entries:**

|  |        |        |        |
|--|--------|--------|--------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 15,731 | 16,076 | 17,300 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 16,076 | 17,300 | 18,902 |

**PENSION BENEFIT GUARANTY CORPORATION**  
**Federal Funds****PENSION BENEFIT GUARANTY CORPORATION FUND**

*The Pension Benefit Guaranty Corporation ("Corporation") is authorized to make such expenditures, including financial assistance authorized by subtitle E of title IV of the Employee Retirement Income Security Act of 1974, within limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, as may be necessary in carrying out the program, including associated administrative expenses, through September 30, 2014, for the Corporation: Provided, That none of the funds available to the Corporation for fiscal year 2014 shall be available for obligations for administrative expenses in excess of \$505,441,000: Provided further, That to the extent that the number of new plan participants in plans terminated by the Corporation exceeds 100,000 in fiscal year 2014, an amount not to exceed an additional \$9,200,000 shall be available through September 30, 2015, for obligation for administrative expenses for every 20,000 additional terminated participants: Provided further, That additional funds shall be available for obligation for investment management fees incurred in carrying out the Corporation's Board-approved Investment Policy, after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That obligations in excess of the amounts provided in this paragraph may be incurred for unforeseen and extraordinary pretermination expenses or extraordinary multiemployer program related expenses after approval by the Office of Management and Budget and notification of the Committees on Appropriations of the House of Representatives and the Senate.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 16-4204-0-3-601            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:               |             |         |           |
| 0801 Single-employer benefit payment .....     | 5,319       | 5,634   | 6,358     |
| 0802 Multi-employer financial assistance ..... | 95          | 106     | 115       |

The Pension Benefit Guaranty Corporation is a federal corporation established under the Employee Retirement Income Security Act of 1974, as amended. It guarantees payment of basic pension benefits earned by more than 43 million of America's workers and retirees participating in more than 26,000 private sector defined pension plans. The Corporation receives no funds from general tax revenues. Operations are financed by insurance premiums paid by companies that sponsor defined benefit pension plans, investment income, and assets from terminated plans.

PBGC is requesting \$505,441,000 in spending authority for administrative purposes in 2014. The increase from 2013 supports increasing costs for investments fees and the multiemployer program, as well as improving the benefit payment and processing function.

The 2014 Budget proposes to give the PBGC Board the authority, beginning in 2015, to make future premium rate adjustments that take into account the risks that different sponsors pose to their retirees and PBGC. The Budget requires the Board to undertake a one-year study and public comment period, as well as a gradual phase-in of any increases. This proposal will both en-

## PENSION BENEFIT GUARANTY CORPORATION FUND—Continued

courage companies to fully fund their pension benefits and ensure the agency's continued financial soundness.

PBGC is currently benchmarking its investment management practices against those of other large pension and/or trust fund administrators. This process may lead to modifications in 2015 on how PBGC accounts for investment management activities to bring PBGC more in line with standard investment industry practices. To facilitate this process, the Administration is proposing a modification to the PBGC appropriations language to make it easier for the Corporation to implement its Board-approved Investment Policy.

**Plan Preservation Efforts:** PBGC tries, first, to preserve plans and keep pension promises in the hands of the employers who make them. When companies undertake major transactions that might threaten their ability to pay pensions, PBGC negotiates protections for their pension plans. Last year PBGC negotiated with dozens of companies, both in bankruptcy and otherwise, to preserve their plans. Similarly, when major layoffs or plant closures threaten a plan's viability, PBGC steps in to negotiate protection for the plan. In 2012, PBGC:

- Helped to protect 130,000 people in American Airlines plans, and tens of thousands more in other plans in ongoing bankruptcies,

- Helped to protect 37,000 people in plans sponsored by companies that emerged from bankruptcy without terminating their plans,

- Negotiated \$31 million in financial assurance to protect more than 9,000 people in plans at risk from corporate transactions,

- Negotiated \$471 million in financial assurance to protect 50,000 people whose companies downsized, and

- Worked with media, Congressional staff, retiree groups, unions, and pension advocacy groups to help thousands to understand the lifetime consequences of accepting one-time cash payments instead of their pensions.

**Stepping in to Insure Pensions When Plans Fail:** When plans do fail, PBGC steps in to ensure that a portion of benefits continue to be paid. Over the years, PBGC has become responsible for almost 1.5 million people in 4,300 failed plans. In 2012, PBGC:

- Assumed responsibility for more than 47,000 people in 155 newly failed single-employer plans,

- Started paying benefits to the 17,000 retirees in those plans, on time and without missing a single payment,

- Paid \$5.5 billion to nearly 887,000 retirees in more than 4,500 failed plans (an additional 614,000 workers will receive benefits when they retire), and

- Re-evaluated the benefits of more than 223,000 pensioners at United Airlines, National Steel, and in other plans to correct possible errors in the original work over the past decade, and started changing procedures and organization to avoid errors in the future.

#### Budget activities:

**Single-employer benefit payments.**—The single-employer program protects about 33 million workers and retirees in about 24,000 pension plans. Under this program, a company may voluntarily seek to terminate its plan, or PBGC may seek termination. The PBGC must seek termination when a plan cannot pay current benefits. A plan that cannot pay all benefits may be ended by a "distress" termination, but only if the employer meets tests proving severe financial distress, such as proving that continuing the plan would force the company to go out of business. If a terminated plan cannot pay at least the PBGC-guaranteed level of benefits, PBGC uses its funds to ensure that guaranteed benefits are paid. A sponsor may terminate a plan in a "standard" termin-

ation only if plan assets are sufficient to pay all benefits. In a standard termination, the sponsor closes out the plan by purchasing annuities from an insurance company or by paying benefits in a lump sum. After a standard termination, the PBGC guarantee ends.

**Multiemployer financial assistance.**—The multiemployer insurance program protects about 10 million workers and retirees in about 1,500 pension plans. Multiemployer pension plans are maintained under collectively bargained agreements involving unrelated employers, generally of the same industry. If a PBGC-insured multiemployer plan is unable to pay guaranteed benefits when due, the PBGC will provide the plan with financial assistance (a loan to the plan) to continue paying guaranteed benefits.

**Pension insurance activities.**—This part of the administrative budget includes premium collections, purchase of U.S. Treasury securities using premium receipts, pre-trusteeship work, efforts to preserve pension plans, recovery of assets from former plan sponsors, and pension insurance program protection activities.

**Pension plan termination.**—This part of the administrative budget includes all activities related to trusteeship; plan asset management, investment and accounting; as well as benefit payments and administration services.

**Operational support.**—This part of the administrative budget includes the administrative, information technology infrastructure, and other shared program support for both PBGC's insurance and plan termination activities. The operational support activity includes the operations of the Inspector General and a request for funding in the amount of \$6,127,000 to support the required functions and efforts of the office, including training and CIGIE.

#### Balance Sheet (in millions of dollars)

| Identification code 16-4204-0-3-601                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| Investments in US securities:   |             |             |
| 1102 Treasury securities, par .....                                     | 15,731      | 19,222      |
| 1102 Treasury securities, unamortized discount .....                    | 3,107       | .....       |
| 1106 Receivables, net .....   | 92          | 82          |
| 1206 Non-Federal assets: Receivables, net .....                         | 563         | 1,088       |
| 1601 Direct loans, gross .....  | 599         | 693         |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -599        | -693        |
| 1699 Value of assets related to direct loans .....                      | .....       | .....       |
| Other Federal assets:   |             |             |
| 1801 Cash and other monetary assets .....                               | 45          | 273         |
| 1803 Property, plant and equipment, net .....                           | 33          | 42          |
| 1901 Other assets .....   | 132         | 122         |
| 1999 Total assets .....   | 19,703      | 20,829      |
| <b>LIABILITIES:</b>   |             |             |
| Non-Federal liabilities:  |             |             |
| 2201 Accounts payable .....   | 463         | 430         |
| 2206 Pension and other actuarial liabilities .....                      | 45,276      | 54,778      |
| 2999 Total liabilities .....  | 45,739      | 55,208      |
| <b>NET POSITION:</b>  |             |             |
| 3300 Cumulative results of operations .....                             | -26,036     | -34,379     |
| 4999 Total liabilities and net position .....                           | 19,703      | 20,829      |

#### Object Classification (in millions of dollars)

| Identification code 16-4204-0-3-601                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 98          | 113     | 115       |
| 11.3 Other than full-time permanent .....                       | 1           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 3           | 4       | 5         |
| 11.9 Total personnel compensation .....                         | 102         | 119     | 122       |
| 12.1 Civilian personnel benefits .....                          | 29          | 33      | 33        |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 2         |
| 23.2 Rental payments to others .....                            | 28          | 28      | 28        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 6       | 6         |



|      |   |       |       |       |
|------|---|-------|-------|-------|
| 24.0 | Printing and reproduction .....                     | 1     |       |       |
| 25.1 | Advisory and assistance services .....              | 76    | 73    | 96    |
| 25.2 | Other services from non-Federal sources .....       | 193   | 208   | 203   |
| 25.3 | Other goods and services from Federal sources ..... | 4     | 1     | 4     |
| 26.0 | Supplies and materials .....                        | 2     | 3     | 3     |
| 31.0 | Equipment .....                                     | 5     | 8     | 8     |
| 33.0 | Investments and loans .....                         | 95    | 116   | 115   |
| 42.0 | Insurance claims and indemnities .....              | 5,319 | 5,622 | 6,358 |
| 99.9 | Total new obligations .....                         | 5,860 | 6,219 | 6,978 |

**Employment Summary**

| Identification code 16-4204-0-3-601                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 956         | 1,017   | 1,018     |

**EMPLOYMENT STANDARDS ADMINISTRATION****Federal Funds****SALARIES AND EXPENSES****Program and Financing** (in millions of dollars)

| Identification code 16-0105-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 98          | 21      |           |
| 3011 Obligations incurred, expired accounts .....               | 6           |         |           |
| 3020 Outlays (gross) .....                                      | -74         | -21     |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -9          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 21          |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 98          | 21      |           |
| 3200 Obligated balance, end of year .....                       | 21          |         |           |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                  | 74          | 21      |           |
| Offsets against gross budget authority and outlays:             |             |         |           |
| Offsetting collections (collected) from:                        |             |         |           |
| 4190 Outlays, net (total) .....                                 | 74          | 21      |           |

In 2010, the Department of Labor abolished the Employment Standards Administration (ESA) to streamline administration of the programs. As the Department is reinvigorating its enforcement of worker protection laws, this reorganization supports the Administration's Worker Protection efforts by eliminating redundant management efforts by elevating program issues directly to the Secretarial level. It also reflects the importance of these programs and increased enforcement supporting the Secretary's Worker Protection goals. The Consolidated Appropriations Act, 2012 (P.L. 112-74) accepted the Administration's proposal to replace the appropriation for the Employment and Standards Administration by four individual appropriations for the component agencies and offices previously under the heading "Employment Standards Administration Salaries and Expenses." In the 2014 Budget, funding is requested separately for the Office of Workers' Compensation Programs, Wage and Hour Division, Office of Federal Contract Compliance Programs, and Office of Labor-Management Standards.

**OFFICE OF WORKERS' COMPENSATION PROGRAMS****Federal Funds****SALARIES AND EXPENSES**

For necessary expenses for the Office of Workers' Compensation Programs, \$118,458,000, together with \$2,142,000 which may be expended

from the Special Fund in accordance with sections 39(c), 44(d), and 44(j) of the Longshore and Harbor Workers' Compensation Act.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 16-0163-0-1-505                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0003 Federal programs for workers' compensation .....              | 151         | 151     | 153       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 116         | 116     | 118       |
| 1160 Appropriation, discretionary (total) .....                    | 116         | 116     | 118       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 35          | 35      | 35        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 35          | 35      | 35        |
| 1900 Budget authority (total) .....                                | 151         | 151     | 153       |
| 1930 Total budgetary resources available .....                     | 151         | 151     | 153       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              |             | 16      | 17        |
| 3010 Obligations incurred, unexpired accounts .....                | 151         | 151     | 153       |
| 3020 Outlays (gross) .....   | -135        | -150    | -151      |
| 3050 Unpaid obligations, end of year .....                         | 16          | 17      | 19        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        |             | 16      | 17        |
| 3200 Obligated balance, end of year .....                          | 16          | 17      | 19        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 151         | 151     | 153       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 135         | 140     | 142       |
| 4011 Outlays from discretionary balances .....                     |             | 10      | 9         |
| 4020 Outlays, gross (total) .....                                  | 135         | 150     | 151       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -35         | -35     | -35       |
| 4180 Budget authority, net (total) .....                           | 116         | 116     | 118       |
| 4190 Outlays, net (total) .....                                    | 100         | 115     | 116       |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Enacted/requested:</b>                          |             |         |           |
| Budget Authority .....                             | 116         | 116     | 118       |
| Outlays .....                                      | 100         | 115     | 116       |
| <b>Legislative proposal, not subject to PAYGO:</b> |             |         |           |
| Budget Authority .....                             |             |         | 2         |
| Outlays .....                                      |             |         | 2         |
| <b>Total:</b>                                      |             |         |           |
| Budget Authority .....                             | 116         | 116     | 120       |
| Outlays .....                                      | 100         | 115     | 118       |

The Office of Workers' Compensation Programs (OWCP) administers the Federal Employees' Compensation Act, the Longshore and Harbor Workers' Compensation Act, the Energy Employees Occupational Illness Compensation Program Act, and the Black Lung Benefits Act. These programs ensure that eligible disabled and injured workers or their survivors receive compensation and medical benefits and a range of services, including vocational rehabilitation, supervision of medical care, and technical and advisory counseling, to which they are entitled.

## SALARIES AND EXPENSES—Continued

## Object Classification (in millions of dollars)

| Identification code 16–0163–0–1–505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 83          | 83      | 84        |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 84          | 84      | 85        |
| 12.1 Civilian personnel benefits .....                          | 27          | 25      | 28        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 9           | 10      | 9         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 5           | 5       | 5         |
| 25.3 Other goods and services from Federal sources .....        | 11          | 12      | 11        |
| 25.7 Operation and maintenance of equipment .....               | 9           | 9       | 9         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 151         | 151     | 153       |

## Employment Summary

| Identification code 16–0163–0–1–505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 990         | 1,014   | 1,014     |

SALARIES AND EXPENSES  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 16–0163–2–1–505                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0003 Federal programs for workers' compensation ..... |             |         | 2         |
| 0900 Total new obligations (object class 25.2) .....  |             |         | 2         |
| Budgetary Resources:                                  |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              |             |         | 2         |
| 1160 Appropriation, discretionary (total) .....       |             |         | 2         |
| 1930 Total budgetary resources available .....        |             |         | 2         |
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 2         |
| 3020 Outlays (gross) .....                            |             |         | –2        |
| Budget authority and outlays, net:                    |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    |             |         | 2         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             |         | 2         |
| 4180 Budget authority, net (total) .....              |             |         | 2         |
| 4190 Outlays, net (total) .....                       |             |         | 2         |

The Budget proposes to replace the current Defense Base Act program with a new Government-wide benefit program the Overseas Contractors Compensation Act (OCCA). This account contains discretionary funding for OCCA, contingent on its enactment.

## SPECIAL BENEFITS

## (INCLUDING TRANSFER OF FUNDS)

For the payment of compensation, benefits, and expenses (except administrative expenses) accruing during the current or any prior fiscal year authorized by 5 U.S.C. 81; continuation of benefits as provided for under the heading "Civilian War Benefits" in the Federal Security Agency Appropriation Act, 1947; the Employees' Compensation Commission Appropriation Act, 1944; sections 4(c) and 5(f) of the War Claims Act of 1948; and 50 percent of the additional compensation and benefits required by

section 10(h) of the Longshore and Harbor Workers' Compensation Act, \$396,000,000, together with such amounts as may be necessary to be charged to the subsequent year appropriation for the payment of compensation and other benefits for any period subsequent to August 15 of the current year: Provided, That amounts appropriated may be used under 5 U.S.C. 8104 by the Secretary to reimburse an employer, who is not the employer at the time of injury, for portions of the salary of a re-employed, disabled beneficiary: further, That balances of reimbursements unobligated on September 30, 2013, shall remain available until expended for the payment of compensation, benefits, and expenses: Provided further, That in addition there shall be transferred to this appropriation from the Postal Service and from any other corporation or instrumentality required under 5 U.S.C. 8147(c) to pay an amount for its fair share of the cost of administration, such sums as the Secretary determines to be the cost of administration for employees of such fair share entities through September 30, 2014: Provided further, That of those funds transferred to this account from the fair share entities to pay the cost of administration of the Federal Employees' Compensation Act, \$60,017,000 shall be made available to the Secretary as follows:

(1) For enhancement and maintenance of automated data processing systems and telecommunications systems, \$19,499,000;

(2) For automated workload processing operations, including document imaging, centralized mail intake, and medical bill processing, \$22,968,000;

(3) For periodic roll and disability management and medical review, \$16,190,000;

(4) For program integrity, \$1,360,000; and

(5) The remaining funds shall be paid into the Treasury as miscellaneous receipts:

Provided further, That the Secretary may require that any person filing a notice of injury or a claim for benefits under 5 U.S.C. 81, or the Longshore and Harbor Workers' Compensation Act, provide as part of such notice and claim, such identifying information (including Social Security account number) as such regulations may prescribe.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16–1521–0–1–600                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                   |             |         |           |
| 0001 Longshore and harbor workers' compensation benefits .....     | 3           | 3       | 3         |
| 0002 Federal Employees' Compensation Act benefits .....            | 347         | 393     | 393       |
| 0799 Total direct obligations .....                                | 350         | 396     | 396       |
| 0801 Federal Employees' Compensation Act benefits .....            | 2,677       | 2,891   | 2,891     |
| 0802 FECA Fair Share (administrative expenses) .....               | 62          | 59      | 60        |
| 0899 Total reimbursable obligations .....                          | 2,739       | 2,950   | 2,951     |
| 0900 Total new obligations .....                                   | 3,089       | 3,346   | 3,347     |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 482         | 644     | 680       |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           |         |           |
| 1050 Unobligated balance (total) .....                             | 483         | 644     | 680       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 350         | 396     | 396       |
| 1260 Appropriations, mandatory (total) .....                       | 350         | 396     | 396       |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 2,899       | 2,986   | 3,002     |
| 1801 Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2,900       | 2,986   | 3,002     |
| 1900 Budget authority (total) .....                                | 3,250       | 3,382   | 3,398     |
| 1930 Total budgetary resources available .....                     | 3,733       | 4,026   | 4,078     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 644         | 680     | 731       |
| Change in obligated balance:                                       |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 118         | 185     |           |
| 3010 Obligations incurred, unexpired accounts .....                | 3,089       | 3,346   | 3,347     |

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| 3020                          | Outlays (gross) .....  | -3,021 | -3,531 | -3,334 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -1     |        |        |
| 3050                          | Unpaid obligations, end of year .....                        | 185    |        | 13     |
| Uncollected payments:         |  |        |        |        |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -3     | -4     | -4     |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | -1     |        |        |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -4     | -4     | -4     |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 115    | 181    | -4     |
| 3200                          | Obligated balance, end of year .....                         | 181    | -4     | 9      |

**Budget authority and outlays, net:**

|   |   |        |        |        |
|---|---|--------|--------|--------|
| Mandatory:  |   |        |        |        |
| 4090  | Budget authority, gross .....                             | 3,250  | 3,382  | 3,398  |
| Outlays, gross:   |   |        |        |        |
| 4100  | Outlays from new mandatory authority .....                | 3,008  | 2,839  | 2,499  |
| 4101  | Outlays from mandatory balances .....                     | 13     | 692    | 835    |
| 4110  | Outlays, gross (total) .....                              | 3,021  | 3,531  | 3,334  |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4120  | Federal sources .....                                     | -2,899 | -2,986 | -3,002 |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4140  | Change in uncollected pymts, Fed sources, unexpired ..... | -1     |        |        |
| 4160  | Budget authority, net (mandatory) .....                   | 350    | 396    | 396    |
| 4170  | Outlays, net (mandatory) .....                            | 122    | 545    | 332    |
| 4180  | Budget authority, net (total) .....                       | 350    | 396    | 396    |
| 4190  | Outlays, net (total) .....                                | 122    | 545    | 332    |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 350         | 396     | 396       |
| Outlays .....                           | 122         | 545     | 332       |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | -9        |
| Outlays .....                           |             |         | -9        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 350         | 396     | 387       |
| Outlays .....                           | 122         | 545     | 323       |

**Federal Employees' Compensation Act benefits.**—The Federal Employees' Compensation Act program provides monetary and medical benefits to Federal workers who sustain work-related injury or disease. Not all benefits are paid by the program, since the first 45 days of disability are usually covered by keeping injured workers in pay status with their employing agencies (the continuation-of-pay period). In 2014, 115,000 injured Federal workers or their survivors are projected to file claims; 49,000 are projected to receive long-term wage replacement benefits for job-related injuries, diseases, or deaths. Most of the costs of this account are charged back to the beneficiaries' employing agencies.

**FEDERAL EMPLOYEES' COMPENSATION WORKLOAD**

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Wage-loss claims received .....                             | 19,806      | 19,000    | 19,000    |
| Number of compensation and medical payments processed ..... | 4,700,000   | 4,700,000 | 5,000,000 |
| Cases received .....  | 115,697     | 115,000   | 115,000   |
| Periodic payment cases .....                                | 49,436      | 49,000    | 48,500    |

**Longshore and harbor workers' compensation benefits.**—Under the Longshore and Harbor Workers' Compensation Act, as amended, the Federal Government pays from direct appropriations one-half of the increased benefits provided by the amendments for persons on the rolls prior to 1972. The remainder is provided from the special fund which is financed by private employers, and is assessed at the beginning of each calendar year for their proportionate share of these payments.

**Object Classification** (in millions of dollars)

| Identification code 16-1521-0-1-600                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 42.0 Direct obligations: Insurance claims and indemnities ..... | 350         | 396     | 396       |
| 99.0 Reimbursable obligations .....                             | 2,739       | 2,950   | 2,951     |

|      |                             |       |       |       |
|------|-----------------------------|-------|-------|-------|
| 99.9 | Total new obligations ..... | 3,089 | 3,346 | 3,347 |
|------|-----------------------------|-------|-------|-------|

**Employment Summary**

| Identification code 16-1521-0-1-600                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 119         | 109     | 114       |

**SPECIAL BENEFITS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 16-1521-4-1-600                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0002 Federal Employees' Compensation Act benefits ..... |             |         | -9        |
| 0900 Total new obligations (object class 42.0) .....    |             |         | -9        |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, mandatory:                              |             |         |           |
| 1200 Appropriation .....                                |             |         | -9        |
| 1260 Appropriations, mandatory (total) .....            |             |         | -9        |
| 1900 Budget authority (total) .....                     |             |         | -9        |
| 1930 Total budgetary resources available .....          |             |         | -9        |

**Change in obligated balance:**

|   |  |  |    |
|---|--|--|----|
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | -9 |
| 3020 Outlays (gross) .....                          |  |  | 9  |

**Budget authority and outlays, net:**

|   |  |  |    |
|---|--|--|----|
| Mandatory:                                      |  |  |    |
| 4090 Budget authority, gross .....              |  |  | -9 |
| Outlays, gross:                                 |  |  |    |
| 4100 Outlays from new mandatory authority ..... |  |  | -9 |
| 4180 Budget authority, net (total) .....        |  |  | -9 |
| 4190 Outlays, net (total) .....                 |  |  | -9 |

The 2014 Budget incorporates longstanding Government Accountability Office, Congressional Budget Office, and Labor Inspector General recommendations, amending FECA to convert prospectively retirement-age beneficiaries to a retirement annuity-level benefit, establish an up-front waiting period for benefits for all beneficiaries, permit the Department of Labor to recapture compensation costs from responsible third parties, authorize the Department to cross-match FECA records with Social Security records to reduce improper payments, and make other changes to improve and update FECA. The 2014 reform legislation will also include a provision to allow the Department to add an administrative surcharge to the amount billed to Federal agencies for their FECA compensation costs, thereby shifting FECA administrative costs from the Department to Federal agencies in proportion to their usage. If enacted, the surcharge would not be applied until 2015 to give agencies an opportunity to plan for the change. The legislation would produce 10-year savings of more than \$460 million in the Special Benefits Fund, and more than \$500 million on a Government-wide basis over the same period.

**ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION FUND****Program and Financing** (in millions of dollars)

| Identification code 16-1523-0-1-053            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>        |             |         |           |
| 0001 Part B benefits .....                     | 644         | 644     | 601       |
| 0002 Part E benefits .....                     | 481         | 524     | 531       |
| 0003 RECA section 5 benefits .....             | 25          | 29      | 28        |
| 0004 RECA supplemental benefits (Part B) ..... | 17          | 15      | 14        |

**ENERGY EMPLOYEES OCCUPATIONAL ILLNESS COMPENSATION  
FUND—Continued  
Program and Financing—Continued**

| Identification code 16–1523–0–1–053                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0900 Total new obligations (object class 42.0) .....             | 1,167       | 1,212   | 1,174     |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1200 Appropriation .....   | 1,167       | 1,212   | 1,174     |
| 1260 Appropriations, mandatory (total) .....                     | 1,167       | 1,212   | 1,174     |
| 1900 Budget authority (total) .....                              | 1,167       | 1,212   | 1,174     |
| 1930 Total budgetary resources available .....                   | 1,167       | 1,212   | 1,174     |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 22          | 26      | 1         |
| 3010 Obligations incurred, unexpired accounts .....              | 1,167       | 1,212   | 1,174     |
| 3020 Outlays (gross) .....                                       | -1,163      | -1,237  | -1,174    |
| 3050 Unpaid obligations, end of year .....                       | 26          | 1       | 1         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 22          | 26      | 1         |
| 3200 Obligated balance, end of year .....                        | 26          | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 1,167       | 1,212   | 1,174     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 1,163       | 1,212   | 1,174     |
| 4101 Outlays from mandatory balances .....                       |             | 25      |           |
| 4110 Outlays, gross (total) .....                                | 1,163       | 1,237   | 1,174     |
| 4180 Budget authority, net (total) .....                         | 1,167       | 1,212   | 1,174     |
| 4190 Outlays, net (total) .....                                  | 1,163       | 1,237   | 1,174     |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... |             |         | 1         |
| 5001 Total investments, EOY: Federal securities: Par value ..... |             | 1       | 1         |

**Energy Employees' Compensation Act benefits.**—The Department of Labor is delegated responsibility to adjudicate and administer claims for benefits under the Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA). In July 2001, the program began accepting claims from employees or survivors of employees of the Department of Energy (DOE) and of private companies under contract with DOE who suffer from a radiation-related cancer, beryllium-related disease, or chronic silicosis as a result of their work in producing or testing nuclear weapons. The Act authorizes a lump-sum payment of \$150,000 and reimbursement of medical expenses.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108–767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered under section 5 of the Radiation Exposure Compensation Act. Benefit payments under Part E began in 2005.

**EEOICPA Workload Summary**

|                                | 2012 actual | 2013 est. | 2014 est. |
|--------------------------------|-------------|-----------|-----------|
| <b>Part B</b>                  |             |           |           |
| Initial Claims Received .....  | 7,526       | 7,267     | 6,540     |
| Initial Claims Processed ..... | 8,777       | 8,787     | 7,908     |
| Final Decisions Issued .....   | 15,774      | 14,529    | 13,076    |
| Payments Issued .....          | 5,501       | 5,689     | 5,120     |
| <b>Part E</b>                  |             |           |           |
|                                | 2012 actual | 2013 est. | 2014 est. |
| Initial Claims Received .....  | 6,520       | 6,387     | 5,940     |
| Initial Claims Processed ..... | 7,417       | 7,647     | 7,418     |
| Final Decisions Issued .....   | 15,927      | 12,904    | 11,614    |

Payments Issued ..... 968 3,919 3,801

**OVERSEAS CONTRACTORS COMPENSATION ACT (OCCA)**

(Legislative proposal, subject to PAYGO)

The growth in Federal contractors working overseas has brought into sharp focus the need for a more efficient approach to the Defense Base Act (DBA), which provides workers compensation coverage to Federal contract employees working overseas on defense bases and public works projects. The Budget proposes a new Government-wide fund to replace the patchwork of contract coverage now in effect under the DBA. Since 2002, the DBA caseload has increased by almost 2,600 percent, from 430 in 2002 to over 11,600 in 2011. DOL has experienced a number of administrative challenges in the wake of the increased workload, including difficulties in obtaining necessary documentation from foreign workers and delays in processing cases originating from war zones. In addition, under the program's current structure, the cost of DBA insurance which agencies pay through individual contracts exceed actual benefit by a significant margin. Over the past several years, DOL, the Department of Defense, the Department of State, and the U.S. Agency for International Development have been working closely together to reform and improve the operation of the program, and the Budget reflects the culmination of those collaborative efforts. The proposal would replace the current DBA program with a new Government-wide benefit program called the Overseas Contractors Compensation Act (OCCA), under which benefits would be paid directly from a Federal fund administered by DOL and agencies would be billed only for their share of benefits and administrative costs.

**ADMINISTRATIVE EXPENSES, ENERGY EMPLOYEES OCCUPATIONAL ILLNESS  
COMPENSATION FUND**

*For necessary expenses to administer the Energy Employees Occupational Illness Compensation Program Act, \$55,176,000, to remain available until expended: Provided, That the Secretary may require that any person filing a claim for benefits under the Act provide as part of such claim such identifying information (including Social Security account number) as may be prescribed.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 16–1524–0–1–053                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0002 Department of Labor .....                         | 51          | 55      | 55        |
| 0004 Department of Labor (Part E) .....                | 73          | 74      | 74        |
| 0900 Total new obligations .....                       | 124         | 129     | 129       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2           | 6       | 6         |
| 1021 Recoveries of prior year unpaid obligations ..... | 2           |         |           |
| 1050 Unobligated balance (total) .....                 | 4           | 6       | 6         |
| Budget authority:                                      |             |         |           |
| Appropriations, mandatory:                             |             |         |           |
| 1200 Appropriation (Part B) .....                      | 53          | 55      | 55        |
| 1200 Appropriation (Part E) .....                      | 73          | 74      | 74        |
| 1260 Appropriations, mandatory (total) .....           | 126         | 129     | 129       |
| 1930 Total budgetary resources available .....         | 130         | 135     | 135       |
| Memorandum (non-add) entries:                          |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 6           | 6       | 6         |

| Change in obligated balance:       |  |      |      |
|------------------------------------|--|------|------|
| Unpaid obligations:                |  |      |      |
| 3000                               | Unpaid obligations, brought forward, Oct 1 .....             | 32   | 41   |
| 3010                               | Obligations incurred, unexpired accounts .....               | 124  | 129  |
| 3020                               | Outlays (gross) .....  | -113 | -170 |
| 3040                               | Recoveries of prior year unpaid obligations, unexpired ..... | -2   |      |
| 3050                               | Unpaid obligations, end of year .....                        | 41   |      |
| Memorandum (non-add) entries:      |  |      |      |
| 3100                               | Obligated balance, start of year .....                       | 32   | 41   |
| 3200                               | Obligated balance, end of year .....                         | 41   |      |
| Budget authority and outlays, net: |  |      |      |
| Mandatory:                         |  |      |      |
| 4090                               | Budget authority, gross .....                                | 126  | 129  |
| Outlays, gross:                    |  |      |      |
| 4100                               | Outlays from new mandatory authority .....                   | 89   | 129  |
| 4101                               | Outlays from mandatory balances .....                        | 24   | 41   |
| 4110                               | Outlays, gross (total) .....                                 | 113  | 170  |
| 4180                               | Budget authority, net (total) .....                          | 126  | 129  |
| 4190                               | Outlays, net (total) .....                                   | 113  | 170  |

**Energy Employees Occupational Illness Compensation Program Act of 2000 (EEOICPA) administration.**—Under Executive Order 13179 the Secretary of Labor is assigned primary responsibility for administering the EEOICPA program, while other responsibilities have been delegated to the Departments of Health and Human Services (HHS), Energy (DOE), and Justice (DOJ). The Office of Workers' Compensation Programs (OWCP) in the Department of Labor (DOL) is responsible for claims adjudication, and award and payment of compensation and medical benefits. DOL's Office of the Solicitor provides legal support and represents the Department in claimant appeals of OWCP decisions. HHS is responsible for developing individual dose reconstructions to estimate occupational radiation exposure, and developing regulations to guide DOL's determination of whether an individual's cancer was caused by radiation exposure at a DOE or atomic weapons facility. DOE is responsible for providing exposure histories at employment facilities covered under the Act, and other employment information. DOJ assists claimants who have been awarded compensation under the Radiation Exposure Compensation Act to file for additional compensation, including medical benefits, under EEOICPA.

The Ronald Reagan National Defense Authorization Act of 2005 (P.L. 108-767) amended EEOICPA, giving DOL responsibility for a new program (Part E) to pay workers' compensation benefits to DOE contractors and their families for illness and death arising from toxic exposures in DOE's nuclear weapons complex. This law also provides compensation for uranium workers covered by the Radiation Exposure Compensation Act. Administrative expenses for Part E are covered through indefinite, mandatory appropriations provided in P.L. 108-767.

#### Object Classification (in millions of dollars)

| Identification code 16-1524-0-1-053 | 2012 actual  | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| Personnel compensation:             |  |         |           |
| 11.1                                | Full-time permanent .....                                  | 42      | 43        |
| 11.5                                | Other personnel compensation .....                         | 1       |           |
| 11.9                                | Total personnel compensation .....                         | 43      | 43        |
| 12.1                                | Civilian personnel benefits .....                          | 12      | 14        |
| 21.0                                | Travel and transportation of persons .....                 | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 9       | 6         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1       | 1         |
| 25.1                                | Advisory and assistance services .....                     | 1       |           |
| 25.2                                | Other services from non-Federal sources .....              | 24      | 23        |
| 25.3                                | Other goods and services from Federal sources .....        | 17      | 19        |
| 25.7                                | Operation and maintenance of equipment .....               | 13      | 21        |
| 26.0                                | Supplies and materials .....                               | 1       |           |
| 31.0                                | Equipment .....  | 2       | 1         |
| 99.9                                | Total new obligations .....                                | 124     | 129       |

#### Employment Summary

| Identification code 16-1524-0-1-053 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 471     | 514       |

#### SPECIAL BENEFITS FOR DISABLED COAL MINERS

*For carrying out title IV of the Federal Mine Safety and Health Act of 1977, as amended by Public Law 107-275, \$98,235,000, to remain available until expended.*

*For making after July 31 of the current fiscal year, benefit payments to individuals under title IV of such Act, for costs incurred in the current fiscal year, such amounts as may be necessary.*

*For making benefit payments under title IV for the first quarter of fiscal year 2015, \$24,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 16-0169-0-1-601       | 2012 actual  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b>   |  |         |           |
| 0001                                      | Benefits .....   | 161     | 158       |
| 0002                                      | Administration .....   | 5       | 5         |
| 0900                                      | Total new obligations .....                                  | 166     | 163       |
| <b>Budgetary Resources:</b>               |  |         |           |
| Unobligated balance:                      |  |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 89      | 106       |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 1       |           |
| 1050                                      | Unobligated balance (total) .....                            | 90      | 106       |
| Budget authority:                         |  |         |           |
| Appropriations, mandatory:                |  |         |           |
| 1200                                      | Appropriation .....  | 141     | 123       |
| 1260                                      | Appropriations, mandatory (total) .....                      | 141     | 123       |
| Advance appropriations, mandatory:        |  |         |           |
| 1270                                      | Advance appropriation .....                                  | 41      | 40        |
| 1280                                      | Advanced appropriation, mandatory (total) .....              | 41      | 40        |
| 1900                                      | Budget authority (total) .....                               | 182     | 163       |
| 1930                                      | Total budgetary resources available .....                    | 272     | 269       |
| Memorandum (non-add) entries:             |  |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 106     | 106       |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 17      | 14        |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 166     | 163       |
| 3020                                      | Outlays (gross) .....  | -168    | -172      |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -1      |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 14      | 5         |
| Memorandum (non-add) entries:             |  |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 17      | 14        |
| 3200                                      | Obligated balance, end of year .....                         | 14      | 5         |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Mandatory:                                |  |         |           |
| 4090                                      | Budget authority, gross .....                                | 182     | 163       |
| Outlays, gross:                           |  |         |           |
| 4100                                      | Outlays from new mandatory authority .....                   | 166     | 163       |
| 4101                                      | Outlays from mandatory balances .....                        | 2       | 9         |
| 4110                                      | Outlays, gross (total) .....                                 | 168     | 172       |
| 4180                                      | Budget authority, net (total) .....                          | 182     | 163       |
| 4190                                      | Outlays, net (total) .....                                   | 168     | 172       |

Title IV of the Federal Mine Safety and Health Act authorizes monthly benefits to coal miners disabled due to coal workers' pneumoconiosis (black lung), and to their widows and certain other dependents. Part B of the Act assigned the processing and paying of claims filed between December 30, 1969 (when the

## SPECIAL BENEFITS FOR DISABLED COAL MINERS—Continued

program originated) and June 30, 1973 to the Social Security Administration (SSA). P.L. 107–275 transferred Part B claims processing and payment operations from SSA to the Department of Labor's Office of Workers' Compensation Programs. This change was implemented on October 1, 2003.

## Object Classification (in millions of dollars)

| Identification code 16–0169–0–1–601                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....     | 3           | 3       | 3         |
| 42.0 Insurance claims and indemnities .....            | 161         | 158     | 128       |
| 99.9 Total new obligations .....                       | 166         | 163     | 133       |

## Employment Summary

| Identification code 16–0169–0–1–601                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 17          | 16      | 16        |

## PANAMA CANAL COMMISSION COMPENSATION FUND

## Program and Financing (in millions of dollars)

| Identification code 16–5155–0–2–602                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Benefits .....  | 5           | 5       | 5         |
| 0900 Total new obligations (object class 42.0) .....             | 5           | 5       | 5         |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 62          | 57      | 52        |
| 1930 Total budgetary resources available .....                   | 62          | 57      | 52        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 57          | 52      | 47        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              | 5           | 5       | 5         |
| 3020 Outlays (gross) .....                                       | –5          | –5      | –5        |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....                       | 5           | 5       | 5         |
| 4190 Outlays, net (total) .....                                  | 5           | 5       | 5         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 63          | 57      | 52        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 57          | 52      | 47        |

This fund was established to provide for the accumulation of funds to meet the Panama Canal Commission's obligations to defray costs of workers' compensation which will accrue pursuant to the Federal Employees' Compensation Act (FECA). On December 31, 1999, the Commission was dissolved as set forth in the Panama Canal Treaty of 1977; however, the liability of the Commission for payments beyond that date did not end with its termination. The establishment of this fund, into which funds were deposited on a regular basis by the Commission, was in conjunction with the transfer of the administration of the Federal Employees' Compensation Act (FECA) program from the Commission to the Department of Labor, effective January 1, 1989.

## Trust Funds

## BLACK LUNG DISABILITY TRUST FUND

## (INCLUDING TRANSFER OF FUNDS)

*Such sums as may be necessary from the Black Lung Disability Trust Fund ("Fund"), to remain available until expended, for payment of all benefits authorized by section 9501(d)(1), (2), (6), and (7) of the Internal Revenue Code of 1986; and repayment of, and payment of interest on advances, as authorized by section 9501(d)(4) of that Act. In addition, the following amounts may be expended from the Fund for fiscal year 2014 for expenses of operation and administration of the Black Lung Benefits program, as authorized by section 9501(d)(5): not to exceed \$32,906,000 for transfer to the Office of Workers' Compensation Programs, "Salaries and Expenses"; not to exceed \$25,217,000 for transfer to Departmental Management, "Salaries and Expenses"; not to exceed \$327,000 for transfer to Departmental Management, "Office of Inspector General"; and not to exceed \$356,000 for payments into miscellaneous receipts for the expenses of the Department of the Treasury.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 16–8144–0–7–601                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                   | 50          | 97      | 97        |
| Receipts:   |             |         |           |
| 0200 Transfer from General Fund, Black Lung Benefits Revenue Act    |             |         |           |
| Taxes .....   | 629         | 580     | 566       |
| 0220 Miscellaneous Interest, Black Lung Disability Trust Fund ..... | 1           | 2       | 2         |
| 0299 Total receipts and collections .....                           | 630         | 582     | 568       |
| 0400 Total: Balances and collections .....                          | 680         | 679     | 665       |
| Appropriations:   |             |         |           |
| 0500 Black Lung Disability Trust Fund .....                         | –630        | –582    | –568      |
| 0501 Black Lung Disability Trust Fund .....                         | 16          |         |           |
| Adjustments:  |             |         |           |
| 0590 Adjustment - current year accounting adjustment .....          | 31          |         |           |
| 0599 Total appropriations .....                                     | –583        | –582    | –568      |
| 0799 Balance, end of year .....                                     | 97          | 97      | 97        |

## Program and Financing (in millions of dollars)

| Identification code 16–8144–0–7–601                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Disabled coal miners benefits .....                           | 215         | 189     | 181       |
| 0002 Administrative expenses .....                                 | 59          | 59      | 59        |
| 0003 Interest on zero coupon bonds .....                           | 37          | 56      | 75        |
| 0004 Interest on short term advances .....                         |             |         | 1         |
| 0900 Total new obligations .....                                   | 311         | 304     | 316       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 630         | 582     | 568       |
| 1235 Appropriations precluded from obligation .....                | –16         |         |           |
| 1236 Repay principal on zero coupon bonds .....                    | –319        | –278    | –252      |
| 1260 Appropriations, mandatory (total) .....                       | 295         | 304     | 316       |
| Borrowing authority, mandatory:                                    |             |         |           |
| 1400 Borrowing authority .....                                     | 183         | 332     | 478       |
| 1421 Borrowing authority applied to repay advances .....           | –108        | –214    | –333      |
| 1421 Repay principal on zero coupon bonds .....                    | –75         | –118    | –145      |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1801 Change in uncollected payments, Federal sources .....         | 15          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 15          |         |           |
| 1900 Budget authority (total) .....                                | 310         | 304     | 316       |
| 1930 Total budgetary resources available .....                     | 311         | 304     | 316       |

## Change in obligated balance:

|   |  |    |  |
|---|--|----|--|
| Unpaid obligations:                                   |  |    |  |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |  | 15 |  |

|   |  |        |        |        |
|---|--|--------|--------|--------|
| 3010                                      | Obligations incurred, unexpired accounts .....               | 311    | 304    | 316    |
| 3020                                      | Outlays (gross) .....  | -296   | -319   | -316   |
| 3050                                      | Unpaid obligations, end of year .....                        | 15     |        |        |
|   | Uncollected payments:  |        |        |        |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |        | -15    | -15    |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....    | -15    |        |        |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....            | -15    | -15    | -15    |
|   | Memorandum (non-add) entries:                                |        |        |        |
| 3100                                      | Obligated balance, start of year .....                       |        |        | -15    |
| 3200                                      | Obligated balance, end of year .....                         |        | -15    | -15    |
| <b>Budget authority and outlays, net:</b> |  |        |        |        |
|   | Mandatory:   |        |        |        |
| 4090                                      | Budget authority, gross .....                                | 310    | 304    | 316    |
|   | Outlays, gross:  |        |        |        |
| 4100                                      | Outlays from new mandatory authority .....                   | 295    | 304    | 316    |
| 4101                                      | Outlays from mandatory balances .....                        | 1      | 15     |        |
| 4110                                      | Outlays, gross (total) .....                                 | 296    | 319    | 316    |
|   | Additional offsets against gross budget authority only:      |        |        |        |
| 4140                                      | Change in uncollected pymts, Fed sources, unexpired .....    | -15    |        |        |
| 4180                                      | Budget authority, net (total) .....                          | 295    | 304    | 316    |
| 4190                                      | Outlays, net (total) .....                                   | 296    | 319    | 316    |
| <b>Memorandum (non-add) entries:</b>      |  |        |        |        |
| 5080                                      | Outstanding debt, SOY: Repayable advances .....              | -5,533 | -5,245 | -4,967 |
| 5081                                      | Outstanding debt, EOY: Repayable advances .....              | -5,245 | -4,967 | -4,715 |
| 5082                                      | Borrowing: Repayable advances .....                          | -214   | -332   | -478   |

The trust fund consists of all monies collected from the coal mine industry under the provisions of the Black Lung Benefits Revenue Act of 1981, as amended by the Consolidated Omnibus Budget Reconciliation Act of 1985, in the form of an excise tax on mined coal. These moneys are expended to pay compensation, medical, and survivor benefits to eligible miners and their survivors, where mine employment terminated prior to 1970 or where no mine operator can be assigned liability. In addition, the fund pays all administrative costs incurred in the operation of Part C of the Black Lung program. The fund is administered jointly by the Secretaries of Labor, Treasury, and Health and Human Services. The Emergency Economic Stabilization Act of 2008, enacted on October 3, 2008, authorized restructuring of the Black Lung Disability Trust Fund (BLDTF) debt by (1) extending the current coal excise tax rates of \$1.10 per ton on underground-mined coal and \$0.55 per ton on surface-mined coal until December 31, 2018; (2) providing a one-time appropriation for the BLDTF to repay the market value of parts of the outstanding repayable advances and accrued interest; and (3) refinancing the remainder of the outstanding debt through the issuance of zero-coupon bonds, to be retired using the BLDTF's annual operating surplus until all of its remaining obligations have been paid.

The Patient Protection and Affordable Care Act (PPACA) of 2010 reinstated two provisions of the Black Lung Benefits Act that had been removed in 1981 for claims filed on or after January 1, 1982. These provisions include: automatic entitlement to benefits for survivors of miners who had been awarded benefits at the time of their death and a presumption that a miner who has at least 15 years of qualifying coal mine employment and has a totally disabling lung condition has pneumoconiosis even in the absence of a negative x-ray.

**BLACK LUNG DISABILITY TRUST FUND WORKLOAD**

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Claims received .....                  | 5,368       | 6,000     | 5,500     |
| Claims in payment status .....         | 27,335      | 25,420    | 23,640    |
| Medical benefits only recipients ..... | 1,375       | 1,200     | 1,100     |

**Status of Funds (in millions of dollars)**

| Identification code 16-8144-0-7-601 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Unexpended balance, start of year:  |             |         |           |
| 0100 Balance, start of year .....   | -5,482      | -5,148  | -4,885    |

|      |   |        |        |        |
|------|---|--------|--------|--------|
| 0199 | Total balance, start of year .....                                      | -5,482 | -5,148 | -4,885 |
|      | Cash income during the year:  |        |        |        |
|      | Current law:  |        |        |        |
|      | Receipts:   |        |        |        |
| 1200 | Transfer from General Fund, Black Lung Benefits Revenue Act Taxes ..... | 629    | 580    | 566    |
|      | Offsetting receipts (proprietary):                                      |        |        |        |
| 1220 | Miscellaneous Interest, Black Lung Disability Trust Fund .....          | 1      | 2      | 2      |
| 1299 | Income under present law .....  | 630    | 582    | 568    |
| 3299 | Total cash income .....   | 630    | 582    | 568    |
|      | Cash outgo during year:   |        |        |        |
|      | Current law:  |        |        |        |
| 4500 | Black Lung Disability Trust Fund .....                                  | -296   | -319   | -316   |
| 4599 | Outgo under current law (-) .....                                       | -296   | -319   | -316   |
| 6599 | Total cash outgo (-) .....  | -296   | -319   | -316   |
|      | Unexpended balance, end of year:  |        |        |        |
| 8700 | Uninvested balance (net), end of year .....                             | -5,148 | -4,885 | -4,633 |
| 8799 | Total balance, end of year .....  | -5,148 | -4,885 | -4,633 |

**Object Classification (in millions of dollars)**

| Identification code 16-8144-0-7-601                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 59          | 59      | 59        |
| 42.0 Insurance claims and indemnities .....              | 215         | 189     | 181       |
| 43.0 Interest and dividends .....                        | 37          | 56      | 76        |
| 99.9 Total new obligations .....                         | 311         | 304     | 316       |

**SPECIAL WORKERS' COMPENSATION EXPENSES****Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 16-9971-0-7-601 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 0100                                | Balance, start of year .....   |             |         |           |
|                                     | Receipts:  |             |         |           |
| 0200                                | Longshoremen's and Harbor Workers Compensation Act, Receipts, Special Workers' .....     | 124         | 127     | 126       |
| 0201                                | Workmen's Compensation Act within District of Columbia, Receipts, Special Workers' ..... | 8           | 10      | 9         |
|                                     | Adjustments:   |             |         |           |
| 0290                                | Adjustment - rounding .....  | -1          |         |           |
| 0299                                | Total receipts and collections .....   | 131         | 137     | 135       |
| 0400                                | Total: Balances and collections .....  | 131         | 137     | 135       |
|                                     | Appropriations:  |             |         |           |
| 0500                                | Special Workers' Compensation Expenses .....   | -2          | -2      | -2        |
| 0501                                | Special Workers' Compensation Expenses .....   | -129        | -135    | -133      |
| 0599                                | Total appropriations .....   | -131        | -137    | -135      |
| 0799                                | Balance, end of year .....   |             |         |           |

**Program and Financing (in millions of dollars)**

| Identification code 16-9971-0-7-601                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0001 Longshore and Harbor Workers' Compensation Act, as amended ..... | 127         | 127     | 126       |
| 0002 District of Columbia Compensation Act .....                      | 9           | 10      | 9         |
| 0900 Total new obligations .....                                      | 136         | 137     | 135       |

**Budgetary Resources:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 1000 | Unobligated balance:                             |     |     |     |
|      | Unobligated balance brought forward, Oct 1 ..... | 63  | 58  | 58  |
|      | Budget authority:                                |     |     |     |
|      | Appropriations, discretionary:                   |     |     |     |
| 1101 | Appropriation (special or trust fund) .....      | 2   | 2   | 2   |
| 1160 | Appropriation, discretionary (total) .....       | 2   | 2   | 2   |
|      | Appropriations, mandatory:                       |     |     |     |
| 1201 | Appropriation (special or trust fund) .....      | 129 | 135 | 133 |
| 1260 | Appropriations, mandatory (total) .....          | 129 | 135 | 133 |
| 1900 | Budget authority (total) .....                   | 131 | 137 | 135 |
| 1930 | Total budgetary resources available .....        | 194 | 195 | 193 |

SPECIAL WORKERS' COMPENSATION EXPENSES—Continued  
Program and Financing—Continued

| Identification code 16-9971-0-7-601                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 58          | 58      | 58        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            |             | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....              | 136         | 137     | 135       |
| 3020 Outlays (gross) .....                                       | -135        | -137    | -135      |
| 3050 Unpaid obligations, end of year .....                       | 1           | 1       | 1         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 3100 Obligated balance, start of year .....                      |             | 1       | 1         |
| 3200 Obligated balance, end of year .....                        | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 2           | 2       | 2         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              | 2           | 2       | 2         |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 129         | 135     | 133       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 129         | 76      | 120       |
| 4101 Outlays from mandatory balances .....                       | 4           | 59      | 13        |
| 4110 Outlays, gross (total) .....                                | 133         | 135     | 133       |
| 4180 Budget authority, net (total) .....                         | 131         | 137     | 135       |
| 4190 Outlays, net (total) .....                                  | 135         | 137     | 135       |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 62          | 59      | 92        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 59          | 92      | 101       |

The trust funds consist of amounts received from employers for the death of an employee where no person is entitled to compensation for such death, for fines and penalty payments, and—pursuant to an annual assessment of the industry—for the general expenses of the fund under the Longshore and Harbor Workers' Compensation Act (LHWCA), as amended.

These trust funds are available for payments of additional compensation for second injuries. When a second injury is combined with a previous disability and results in increased permanent partial disability, permanent total disability, or death, the employer's liability for benefits is limited to a specified period of compensation payments, after which the fund provides continuing compensation benefits. In addition, the fund pays one-half of the increased benefits provided under the LHWCA for persons on the rolls prior to 1972. Maintenance payments are made to disabled employees undergoing vocational rehabilitation to enable them to return to remunerative occupations, and the costs of necessary rehabilitation services not otherwise available to disabled workers are defrayed. Payments are made in cases where other circumstances preclude payment by an employer and to provide medical, surgical, and other treatment in disability cases where there has been a default by the insolvency of an uninsured employer.

## Object Classification (in millions of dollars)

| Identification code 16-9971-0-7-601                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 42.0 Insurance claims and indemnities .....              | 134         | 135     | 133       |
| 99.9 Total new obligations .....                         | 136         | 137     | 135       |

## WAGE AND HOUR DIVISION

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses for the Wage and Hour Division, including reimbursement to State, Federal, and local agencies and their employees for inspection services rendered, \$243,254,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16-0143-0-1-505   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Wage and Hour (Direct and H-1B) .....  | 226         | 229     | 243       |
| 0801 Reimbursable program activity .....  | 2           | 3       | 3         |
| 0900 Total new obligations .....  | 228         | 232     | 246       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 227         | 228     | 243       |
| 1121 Appropriations transferred from other accts [16-0174] .....                                  |             | 1       |           |
| 1130 Appropriations permanently reduced .....   | -1          |         |           |
| 1160 Appropriation, discretionary (total) .....   | 226         | 229     | 243       |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1700 Collected .....  | 3           | 3       | 3         |
| 1710 Spending authority from offsetting collections transferred to other accounts [16-0165] ..... | -1          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                                | 2           | 3       | 3         |
| 1900 Budget authority (total) .....   | 228         | 232     | 246       |
| 1930 Total budgetary resources available .....  | 228         | 232     | 246       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   |             | 31      | 30        |
| 3010 Obligations incurred, unexpired accounts .....   | 228         | 232     | 246       |
| 3020 Outlays (gross) .....  | -197        | -233    | -246      |
| 3050 Unpaid obligations, end of year .....  | 31          | 30      | 30        |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 3100 Obligated balance, start of year .....   |             | 31      | 30        |
| 3200 Obligated balance, end of year .....   | 31          | 30      | 30        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 228         | 232     | 246       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 197         | 214     | 227       |
| 4011 Outlays from discretionary balances .....  |             | 19      | 19        |
| 4020 Outlays, gross (total) .....   | 197         | 233     | 246       |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | -3          |         |           |
| 4033 Non-Federal sources .....  |             | -3      | -3        |
| 4040 Offsets against gross budget authority and outlays (total) .....                             | -3          | -3      | -3        |
| 4070 Budget authority, net (discretionary) .....  | 225         | 229     | 243       |
| 4080 Outlays, net (discretionary) .....   | 194         | 230     | 243       |
| 4180 Budget authority, net (total) .....  | 225         | 229     | 243       |
| 4190 Outlays, net (total) .....   | 194         | 230     | 243       |

The Wage and Hour Division enforces the minimum wage, overtime, child labor, and other employment standards under the Fair Labor Standards Act (FLSA), the Migrant and Seasonal Agricultural Worker Protection Act (MSPA), the Family and Medical Leave Act (FMLA), certain provisions of the Immigration and Nationality Act (INA), the wage garnishment provisions in Title III of the Consumer Credit Protection Act (CCPA), and the Employee Polygraph Protection Act (EPPA). The Division also determines prevailing wages and enforces employment standards under various Government contract wage standards. In 2014, approximately 265,000 persons are expected to be aided under



the FLSA through securing agreements with firms to pay back wages owed to their workers. In government contract compliance actions, about 25,000 persons will be aided through securing agreements to pay wages owed to workers. Under MSPA, approximately 1,400 investigations will be completed. While in the course of all on-site investigations investigators routinely check for employer compliance with child labor standards, approximately 1,000 investigations with the objective of detecting child labor violations will be conducted.

**Object Classification** (in millions of dollars)

| Identification code 16-0143-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 103         | 107     | 111       |
| 11.3 Other than full-time permanent .....                       | 2           |         |           |
| 11.5 Other personnel compensation .....                         | 1           | 3       | 4         |
| 11.9 Total personnel compensation .....                         | 106         | 110     | 115       |
| 12.1 Civilian personnel benefits .....                          | 34          | 33      | 34        |
| 21.0 Travel and transportation of persons .....                 | 7           | 9       | 8         |
| 23.1 Rental payments to GSA .....                               | 11          | 10      | 11        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 4       | 4         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 6           | 7       | 7         |
| 25.3 Other goods and services from Federal sources .....        | 23          | 24      | 26        |
| 25.7 Operation and maintenance of equipment .....               | 24          | 24      | 30        |
| 26.0 Supplies and materials .....                               | 2           | 1       | 1         |
| 31.0 Equipment .....  | 3           | 2       | 2         |
| 99.0 Direct obligations .....                                   | 226         | 229     | 243       |
| 99.0 Reimbursable obligations .....                             | 2           | 3       | 3         |
| 99.9 Total new obligations .....                                | 228         | 232     | 246       |

**Employment Summary**

| Identification code 16-0143-0-1-505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,510       | 1,510   | 1,573     |

**WAGE AND HOUR DIVISION H-2B****Program and Financing** (in millions of dollars)

| Identification code 16-0142-0-1-505                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 1           | 2       |           |
| 0900 Total new obligations (object class 21.0) .....  | 1           | 2       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 2       |           |
| 1930 Total budgetary resources available .....        | 3           | 2       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 2       |           |
| 3020 Outlays (gross) .....                            | -1          |         |           |
| 3050 Unpaid obligations, end of year .....            |             | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 2         |
| 3200 Obligated balance, end of year .....             |             | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            | 1           |         |           |

|                                 |   |  |  |
|---------------------------------|---|--|--|
| 4190 Outlays, net (total) ..... | 1 |  |  |
|---------------------------------|---|--|--|

**H-1 B AND L FRAUD PREVENTION AND DETECTION****Program and Financing** (in millions of dollars)

| Identification code 16-5393-0-2-505                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 H-1 B and L Fraud Prevention and Detection ..... | 43          | 35      | 35        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 50          | 52      | 52        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 45          | 35      | 35        |
| 1260 Appropriations, mandatory (total) .....          | 45          | 35      | 35        |
| 1930 Total budgetary resources available .....        | 95          | 87      | 87        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 52          | 52      | 52        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 43          | 35      | 35        |
| 3020 Outlays (gross) .....                            | -41         | -35     | -35       |
| 3050 Unpaid obligations, end of year .....            | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 2       | 2         |
| 3200 Obligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 45          | 35      | 35        |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 41          | 35      | 35        |
| 4180 Budget authority, net (total) .....              | 45          | 35      | 35        |
| 4190 Outlays, net (total) .....                       | 41          | 35      | 35        |

The Wage and Hour Division has traditionally had responsibility for enforcing certain worker protections provisions of the Immigration and Nationality Act, specifically the H-2A and H-1B temporary non-immigrant foreign worker programs. Pursuant to an Interagency Agreement (IAA) between the U.S. Department of Homeland Security (DHS) and the U.S. Department of Labor (DOL) and section 214(c)(14)(B) of the Immigration and Nationality Act (INA), 8 U.S.C. 1184(c)(14)(B), DOL and WHD have been delegated the enforcement authority located at section 214(c)(14)(A)(i) of the INA, 8 U.S.C. 1184(c)(14)(A)(i) for enforcing the H-2B temporary non-immigrant foreign worker program. Under section 524 of H.R. 3288, the Secretary of Labor may use one-third of the H-1B and L Fraud Protection and Detection fee account for enforcement of these temporary worker program provisions and for related enforcement activities.

**Object Classification** (in millions of dollars)

| Identification code 16-5393-0-2-505                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 32          | 28      | 28        |
| 12.1 Civilian personnel benefits .....                 | 8           | 7       | 7         |
| 21.0 Travel and transportation of persons .....        | 2           |         |           |
| 23.1 Rental payments to GSA .....                      | 1           |         |           |
| 99.9 Total new obligations .....                       | 43          | 35      | 35        |

H-1 B AND L FRAUD PREVENTION AND DETECTION—Continued  
Employment Summary

| Identification code 16-5393-0-2-505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 299         | 299     | 299       |

OFFICE OF FEDERAL CONTRACT COMPLIANCE  
PROGRAMS

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses for the Office of Federal Contract Compliance Programs, \$108,467,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16-0148-0-1-505                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0002 Federal contractor EEO standards enforcement ..... | 105         | 106     | 108       |

## Budgetary Resources:

|   |     |     |     |
|---|-----|-----|-----|
| Budget authority:                               |     |     |     |
| Appropriations, discretionary:                  |     |     |     |
| 1100 Appropriation .....                        | 105 | 106 | 108 |
| 1160 Appropriation, discretionary (total) ..... | 105 | 106 | 108 |
| 1930 Total budgetary resources available .....  | 105 | 106 | 108 |

## Change in obligated balance:

|   |     |      |      |
|---|-----|------|------|
| Unpaid obligations:                                   |     |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |     | 12   | 17   |
| 3010 Obligations incurred, unexpired accounts .....   | 105 | 106  | 108  |
| 3020 Outlays (gross) .....                            | -93 | -101 | -107 |
| 3050 Unpaid obligations, end of year .....            | 12  | 17   | 18   |
| Memorandum (non-add) entries:                         |     |      |      |
| 3100 Obligated balance, start of year .....           |     | 12   | 17   |
| 3200 Obligated balance, end of year .....             | 12  | 17   | 18   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 105 | 106 | 108 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 93  | 96  | 98  |
| 4011 Outlays from discretionary balances .....      |     | 5   | 9   |
| 4020 Outlays, gross (total) .....                   | 93  | 101 | 107 |
| 4180 Budget authority, net (total) .....            | 105 | 106 | 108 |
| 4190 Outlays, net (total) .....                     | 93  | 101 | 107 |

The Office of Federal Contract Compliance Programs (OFCCP) enforces equal employment opportunity and nondiscrimination requirements of Federal contractors and subcontractors. In particular, OFCCP enforces: Executive Order 11246, which prohibits employment discrimination on the basis of race, sex, religion, color, and national origin; Section 503 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990 (through a memorandum of understanding with the Equal Employment Opportunity Commission), which prohibit employment discrimination against individuals with disabilities; and the Vietnam Era Veterans Readjustment Assistance Act of 1974, as amended, which prohibits employment discrimination against certain protected veterans. OFCCP programs cover close to 100,000 work-sites and a total workforce of 12 million persons. OFCCP monitors contractors' compliance through compliance evaluations and reporting requirements. Specifically, OFCCP will complete 4,650 compliance evaluations in 2014, with a focus on both supply and

service construction reviews. OFCCP will continue to shift its outreach strategy from being contractor-centric to worker-focused, which will strengthen its enforcement capacity in the process. In addition, the agency will also ensure that contractors and subcontractors are provided linkages to recruitment sources for hiring and advancement of minorities, women, protected veterans, and individuals with disabilities.

## Object Classification (in millions of dollars)

| Identification code 16-0148-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 57          | 59      | 60        |
| 11.3 Other than full-time permanent .....                       |             | 1       |           |
| 11.5 Other personnel compensation .....                         | 1           |         | 1         |
| 11.9 Total personnel compensation .....                         | 58          | 60      | 61        |
| 12.1 Civilian personnel benefits .....                          | 17          | 17      | 18        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 2         |
| 23.1 Rental payments to GSA .....                               | 6           | 6       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       |           |
| 25.2 Other services from non-Federal sources .....              | 1           | 2       | 2         |
| 25.3 Other goods and services from Federal sources .....        | 12          | 10      | 10        |
| 25.7 Operation and maintenance of equipment .....               | 6           | 6       | 6         |
| 26.0 Supplies and materials .....                               | 1           | 1       |           |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 105         | 106     | 108       |

## Employment Summary

| Identification code 16-0148-0-1-505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 743         | 743     | 753       |

## OFFICE OF LABOR MANAGEMENT STANDARDS

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses for the Office of Labor Management Standards, \$46,891,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16-0150-0-1-505     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0002 Labor-management standards .....   | 41          | 42      | 47        |

## Budgetary Resources:

|   |    |    |    |
|---|----|----|----|
| Budget authority:                               |    |    |    |
| Appropriations, discretionary:                  |    |    |    |
| 1100 Appropriation .....                        | 41 | 42 | 47 |
| 1160 Appropriation, discretionary (total) ..... | 41 | 42 | 47 |
| 1930 Total budgetary resources available .....  | 41 | 42 | 47 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |     | 4   | 6   |
| 3010 Obligations incurred, unexpired accounts .....   | 41  | 42  | 47  |
| 3020 Outlays (gross) .....                            | -37 | -40 | -46 |
| 3050 Unpaid obligations, end of year .....            | 4   | 6   | 7   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           |     | 4   | 6   |
| 3200 Obligated balance, end of year .....             | 4   | 6   | 7   |

## Budget authority and outlays, net:

|                                    |    |    |    |
|------------------------------------|----|----|----|
| Discretionary:                     |    |    |    |
| 4000 Budget authority, gross ..... | 41 | 42 | 47 |

|                 |  |                   |          |          |
|-----------------|--|-------------------|----------|----------|
| Outlays, gross: |  |                   |          |          |
| 4010            | Outlays from new discretionary authority ..... | 37                | 38       | 43       |
| 4011            | Outlays from discretionary balances .....      | <u>          </u> | <u>2</u> | <u>3</u> |
| 4020            | Outlays, gross (total) .....                   | 37                | 40       | 46       |
| 4180            | Budget authority, net (total) .....            | 41                | 42       | 47       |
| 4190            | Outlays, net (total) .....                     | 37                | 40       | 46       |

The Office of Labor-Management Standards (OLMS) receives and discloses reports of unions, union officers and employees, employers, labor consultants and others in accordance with the Labor Management Reporting and Disclosure Act (LMRDA), including union financial reports and employer and consultant activity reports; audits union financial records and investigates possible embezzlements of union funds; conducts union officer election investigations; supervises reruns of union officer elections pursuant to voluntary settlements or after court determinations that elections were not conducted in accordance with the LMRDA; and administers the statutory program to certify employee protection provisions under various Federally-sponsored transportation programs. In 2014, OLMS plans continued efforts to advance transparency and financial integrity protections, primarily through audits, investigations and compliance assistance efforts. OLMS will ensure that Federally sponsored transportation grants are processed in a timely manner providing requisite protection to employees against adverse impacts as a result of federal assistance.

#### Object Classification (in millions of dollars)

| Identification code 16-0150-0-1-505 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                           | 22          | 22      | 23        |
| 11.5                                | Other personnel compensation .....                  | 1           | .....   | .....     |
| 11.9                                | Total personnel compensation .....                  | 23          | 22      | 23        |
| 12.1                                | Civilian personnel benefits .....                   | 7           | 7       | 7         |
| 21.0                                | Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                        | 3           | 3       | 3         |
| 25.2                                | Other services from non-Federal sources .....       | .....       | 1       | 1         |
| 25.3                                | Other goods and services from Federal sources ..... | 4           | 4       | 5         |
| 25.7                                | Operation and maintenance of equipment .....        | 3           | 3       | 7         |
| 31.0                                | Equipment .....                                     | .....       | 1       | .....     |
| 99.9                                | Total new obligations .....                         | 41          | 42      | 47        |

#### Employment Summary

| Identification code 16-0150-0-1-505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 228         | 228     | 229       |

## OCCUPATIONAL SAFETY AND HEALTH ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the Occupational Safety and Health Administration, \$570,519,000, including not to exceed \$104,196,000 which shall be the maximum amount available for grants to States under section 23(g) of the Occupational Safety and Health Act ("Act"), which grants shall be no less than 50 percent of the costs of State occupational safety and health programs required to be incurred under plans approved by the Secretary under section 18 of the Act; and, in addition, notwithstanding 31 U.S.C. 3302, the Occupational Safety and Health Administration may retain up to \$200,000 per fiscal year of training institute course tuition fees, otherwise authorized by law to be collected, and may utilize such sums for occupational safety and health training and education: Provided, That notwithstanding 31 U.S.C. 3302, the Secretary is authorized, during the fiscal year ending September 30, 2014, to collect and retain fees for services provided to Nationally Recognized Testing Laboratories, and may utilize

such sums, in accordance with the provisions of 29 U.S.C. 9a, to administer national and international laboratory recognition programs that ensure the safety of equipment and products used by workers in the workplace: Provided further, That none of the funds appropriated under this paragraph shall be obligated or expended to prescribe, issue, administer, or enforce any standard, rule, regulation, or order under the Act which is applicable to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: Provided further, That no funds appropriated under this paragraph shall be obligated or expended to administer or enforce any standard, rule, regulation, or order under the Act with respect to any employer of 10 or fewer employees who is included within a category having a Days Away, Restricted, or Transferred (DART) occupational injury and illness rate, at the most precise industrial classification code for which such data are published, less than the national average rate as such rates are most recently published by the Secretary, acting through the Bureau of Labor Statistics, in accordance with section 24 of the Act, except—

(1) to provide, as authorized by the Act, consultation, technical assistance, educational and training services, and to conduct surveys and studies;

(2) to conduct an inspection or investigation in response to an employee complaint, to issue a citation for violations found during such inspection, and to assess a penalty for violations which are not corrected within a reasonable abatement period and for any willful violations found;

(3) to take any action authorized by the Act with respect to imminent dangers;

(4) to take any action authorized by the Act with respect to health hazards;

(5) to take any action authorized by the Act with respect to a report of an employment accident which is fatal to one or more employees or which results in hospitalization of two or more employees, and to take any action pursuant to such investigation authorized by the Act; and

(6) to take any action authorized by the Act with respect to complaints of discrimination against employees for exercising rights under the Act:

Provided further, That the foregoing proviso shall not apply to any person who is engaged in a farming operation which does not maintain a temporary labor camp and employs 10 or fewer employees: Provided further, That \$10,709,000 shall be available for Susan Harwood training grants.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 16-0400-0-1-554     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Safety and health standards .....            | 20          | 20      | 22        |
| 0002                                    | Federal enforcement .....                    | 208         | 210     | 208       |
| 0003                                    | Whistleblower protection .....               | 16          | 16      | 22        |
| 0004                                    | State programs .....                         | 104         | 105     | 104       |
| 0005                                    | Technical support .....                      | 26          | 26      | 25        |
| 0006                                    | Federal compliance assistance .....          | 76          | 77      | 75        |
| 0007                                    | State consultation grants .....              | 58          | 58      | 58        |
| 0008                                    | Training grants .....                        | 11          | 11      | 11        |
| 0009                                    | Safety and health statistics .....           | 35          | 35      | 34        |
| 0010                                    | Executive direction and administration ..... | 11          | 11      | 12        |
|   |  |             |         |           |
| 0799                                    | Total direct obligations .....               | 565         | 569     | 571       |
| 0801                                    | Reimbursable program .....                   | 2           | 2       | 2         |
|   |  |             |         |           |
| 0900                                    | Total new obligations .....                  | 567         | 571     | 573       |

#### Budgetary Resources:

|  |   |       |       |       |
|--|---|-------|-------|-------|
| Budget authority:  |   |       |       |       |
| Appropriations, discretionary:                                 |   |       |       |       |
| 1100   | Appropriation .....   | 566   | 568   | 571   |
| 1121   | Appropriations transferred from other accts [16-0174] ....    | ..... | 1     | ..... |
| 1130   | Appropriations permanently reduced .....                      | -1    | ..... | ..... |
| 1160   | Appropriation, discretionary (total) .....                    | 565   | 569   | 571   |
| Spending authority from offsetting collections, discretionary: |   |       |       |       |
| 1700   | Collected .....   | 2     | 2     | 2     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 2     | 2     | 2     |
| 1900   | Budget authority (total) .....                                | 567   | 571   | 573   |
| 1930   | Total budgetary resources available .....                     | 567   | 571   | 573   |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 16–0400–0–1–554                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 102         | 105     | 101       |
| 3010 Obligations incurred, unexpired accounts .....                  | 567         | 571     | 573       |
| 3011 Obligations incurred, expired accounts .....                    | 3           |         |           |
| 3020 Outlays (gross) .....   | –558        | –575    | –578      |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –9          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 105         | 101     | 96        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –2          | –2      | –2        |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –2          | –2      | –2        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 100         | 103     | 99        |
| 3200 Obligated balance, end of year .....                            | 103         | 99      | 94        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 567         | 571     | 573       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 482         | 497     | 499       |
| 4011 Outlays from discretionary balances .....                       | 76          | 78      | 79        |
| 4020 Outlays, gross (total) .....                                    | 558         | 575     | 578       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –1          | –1      | –1        |
| 4033 Non-Federal sources .....                                       | –1          | –1      | –1        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –2          | –2      | –2        |
| 4070 Budget authority, net (discretionary) .....                     | 565         | 569     | 571       |
| 4080 Outlays, net (discretionary) .....                              | 556         | 573     | 576       |
| 4180 Budget authority, net (total) .....                             | 565         | 569     | 571       |
| 4190 Outlays, net (total) .....                                      | 556         | 573     | 576       |

**Safety and Health Standards.**—This activity provides for the protection of workers' safety and health through development, promulgation, review, and evaluation of occupational safety and health standards and guidance, as specified under the Occupational Safety and Health (OSH) Act of 1970. Before any standard is proposed or promulgated, a determination is made that: (1) a significant risk of serious injury or health impairment exists; (2) the standard will reduce this risk; (3) the standard is economically and technologically feasible; and (4) the standard is economically and technologically feasible when compared with alternative regulatory proposals providing equal levels of protection. This activity also ensures, through the SBREFA process, that small business concerns are taken into account in the process of developing standards.

**Federal Enforcement.**—This activity provides for ensuring the protection of employees through the enforcement of workplace standards promulgated under the Occupational Safety and Health (OSH) Act of 1970, through the physical inspection of worksites, and by providing guidance on how to comply with the requirements of OSHA standards. Enforcement programs are targeted to the investigation of imminent danger situations and employee complaints, investigation of fatal and catastrophic accidents, programmed inspections of firms with injury-illness rates that are above the national average, and special emphasis inspections for serious safety and health hazards. OSHA's enforcement strategy ranges from a selective targeting of inspections and related compliance activities to specific high hazard industries and worksites.

**Whistleblower Programs.**—This activity provides for the enforcement of Section 11(c) of the OSH Act, which prohibits any person from discharging or in any manner retaliating against any employee because the employee has exercised rights under the Act, including complaining to OSHA and seeking an OSHA inspection,

participating in an OSHA inspection, and participating or testifying in any proceeding related to an OSHA inspection. In addition, OSHA is responsible for enforcing more than 21 other whistleblower protection statutes that protect employees who report violations of various airline, commercial motor carrier, consumer product, environmental, financial reform, food safety, health care reform, nuclear, pipeline, public transportation agency, railroad, maritime, automotive manufacturing, and securities laws.

**State Programs.**—This activity supports states in assuming responsibility for administering occupational safety and health programs under State OSHA plans approved by the Secretary. Under section 23 of the OSH Act, grants matching up to fifty percent of total program costs are made to States that meet the Act's criteria for establishing and implementing State OSHA programs that are at least as effective as the Federal program. State programs, like their Federal counterparts, provide a mix of enforcement, outreach, training, and compliance assistance activities.

**Technical Support.**—This activity provides specialized technical expertise and advice in support of a wide range of program areas, including construction, standards setting, variance determinations, compliance assistance, and enforcement. Areas of expertise include laboratory accreditation, industrial hygiene, occupational health nursing, occupational medicine, chemical analysis, equipment calibration, safety engineering, environmental impact statements, technical and scientific databases, computer-based outreach products, and emergency preparedness. This activity also provides support for OSHA's emergency response activities, including responses to oil spills, hurricanes, tornados, and other natural or man-made disasters.

**Federal Compliance Assistance.**—This activity supports a range of training, outreach, and cooperative programs that provide compliance assistance for employers and employees in protecting workers' safety and health, with particular emphasis on small business, immigrant, and other high risk and hard-to-reach workers. OSHA works with employers and employees through Voluntary Protection Programs that recognize and promote effective safety and health management partnerships that focus on the development of extended cooperative relationships and alliances that commit organizations to collaborative efforts with OSHA. This activity also provides assistance to federal agencies in implementing and improving their job safety and health programs. Occupational safety and health training is provided at the OSHA Training Institute and affiliated Education Centers throughout the country. Compliance and technical assistance materials are prepared and disseminated to the public through various means, including the Internet.

**State Compliance Assistance: Consultation Grants.**—This activity supports 90 percent Federally funded cooperative agreements with designated State agencies to provide free on-site consultation to small and medium-sized employers upon request. State agencies tailor workplans to specific needs in each State while maximizing their impact on injury and illness rates in smaller establishments. These projects offer a variety of services, including safety and health program assessment and assistance, hazard identification and control, and training of employers and their employees.

**Compliance Assistance: Training Grants.**—This activity supports safety and health grants to organizations that provide face-to-face training, education, technical assistance, and develop educational materials for employers and employees. These grants address safety and health education needs related to hard-to-reach workers and specific high-risk topics and industries identified by the agency.

**Safety and Health Statistics.**—This activity supports information technology infrastructure, management of information, OSHA's webpage and web-based compliance assistance services, and the statistical basis for OSHA's programs and field operations. These are provided through an integrated data network and statistical analysis and review. OSHA administers and maintains the recordkeeping system that serves as the foundation for the BLS survey on occupational injuries and illnesses and provides guidance on recordkeeping requirements to both the public and private sectors.

**Executive direction and administration.**—This activity supports executive direction, planning and evaluation, management support, legislative liaison, interagency affairs, federal agency liaison, administrative services, and budgeting and financial control.

**PROGRAM STATISTICS**

|                                     | 2012 actual | 2013 est. | 2014 est. |
|-------------------------------------|-------------|-----------|-----------|
| Standards promulgated .....         | 3           | 4         | 4         |
| Inspections:                        |             |           |           |
| Federal inspections .....           | 40,961      | 41,000    | 39,250    |
| State program inspections .....     | 51,133      | 52,100    | 50,350    |
| Whistleblower cases .....           | 2,787       | 2,654     | 2,877     |
| Training and consultations:         |             |           |           |
| Consultation visits .....           | 29,310      | 28,500    | 28,500    |
| Susan Harwood Training Grants ..... | 72          | 67        | 67        |
| New strategic partnerships .....    | 17          | 18        | 17        |
| Outreach Training .....             | 689,814     | 700,000   | 700,000   |

**Object Classification (in millions of dollars)**

| Identification code 16-0400-0-1-554                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 194         | 200     | 202       |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 5           | 6       | 6         |
| Total personnel compensation .....                              | 200         | 207     | 209       |
| 12.1 Civilian personnel benefits .....                          | 58          | 62      | 62        |
| 21.0 Travel and transportation of persons .....                 | 12          | 13      | 11        |
| 23.1 Rental payments to GSA .....                               | 23          | 23      | 24        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 3       | 3         |
| 24.0 Printing and reproduction .....                            | 2           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 84          | 80      | 81        |
| 25.3 Other goods and services from Federal sources .....        | 40          | 37      | 37        |
| 25.4 Operation and maintenance of facilities .....              | 1           |         |           |
| 25.7 Operation and maintenance of equipment .....               | 17          | 17      | 17        |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 4           | 4       | 5         |
| 41.0 Grants, subsidies, and contributions .....                 | 115         | 116     | 115       |
| 99.0 Direct obligations .....                                   | 565         | 569     | 571       |
| 99.0 Reimbursable obligations .....                             | 2           | 2       | 2         |
| 99.9 Total new obligations .....                                | 567         | 571     | 573       |

**Employment Summary**

| Identification code 16-0400-0-1-554                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 2,239       | 2,239   | 2,255     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3           | 3       | 3         |

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

**Note.**—Obligations incurred under allocations from other accounts are included in the schedules of the parent appropriations as follows:

Environmental Protection Agency: Hazardous Substance Response Trust Fund.

**MINE SAFETY AND HEALTH ADMINISTRATION****Federal Funds****SALARIES AND EXPENSES**

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses for the Mine Safety and Health Administration, \$380,721,000, including purchase and bestowal of certificates and trophies in connection with mine rescue and first-aid work, and the hire of passenger motor vehicles, including up to \$2,000,000 for mine rescue and recovery activities; in addition, not to exceed \$750,000 may be collected by the National Mine Health and Safety Academy for room, board, tuition, and the sale of training materials, otherwise authorized by law to be collected, to be available for mine safety and health education and training activities, notwithstanding 31 U.S.C. 3302; in addition, the Mine Safety and Health Administration is authorized to collect and retain up to \$2,499,000 from fees collected for the approval and certification of equipment, materials, and explosives for use in mines, and may utilize such sums for such activities, notwithstanding 31 U.S.C. 3302; and, in addition, the Mine Safety and Health Administration is authorized to collect and retain fees for services related to the analysis of rock dust samples, and may utilize such sums to administer such activities, notwithstanding 31 U.S.C. 3302; the Secretary is authorized to accept lands, buildings, equipment, and other contributions from public and private sources and to prosecute projects in cooperation with other agencies, Federal, State, or private; the Mine Safety and Health Administration is authorized to promote health and safety education and training in the mining community through cooperative programs with States, industry, and safety associations; the Secretary is authorized to recognize the Joseph A. Holmes Safety Association as a principal safety association and, notwithstanding any other provision of law, may provide funds and, with or without reimbursement, personnel, including service of Mine Safety and Health Administration officials as officers in local chapters or in the national organization; any funds available to the Department of Labor may be used, with the approval of the Secretary, to provide for the costs of mine rescue and survival operations in the event of a major disaster; and the Secretary may reallocate among the items funded under this heading up to \$3,000,000 to support inspections or investigations pursuant to section 103 of the Federal Mine Safety and Health Act of 1977: Provided, That the Secretary of Labor may transfer such sums as may be necessary to "Departmental Management" for the Office of the Solicitor move related to the relocation of the Mine Safety and Health Administration headquarters.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 16-1200-0-1-554                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Coal .....                                       | 164         | 166     | 169       |
| 0002 Metal/non-metal .....                            | 89          | 90      | 93        |
| 0003 Standards development .....                      | 5           | 5       | 6         |
| 0004 Assessments .....                                | 7           | 7       | 8         |
| 0005 Educational policy and development .....         | 38          | 38      | 29        |
| 0006 Technical support .....                          | 34          | 34      | 34        |
| 0007 Program administration .....                     | 17          | 18      | 20        |
| 0008 Program evaluation & information resources ..... | 18          | 18      | 22        |
| 0799 Total direct obligations .....                   | 372         | 376     | 381       |
| 0801 Reimbursable program .....                       | 1           | 2       | 3         |
| 0900 Total new obligations .....                      | 373         | 378     | 384       |

**Budgetary Resources:**

|  |     |     |     |
|--|-----|-----|-----|
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 374 | 376 | 381 |
| 1120 Appropriations transferred to other accts [16-0165] .....     | -1  |     |     |
| 1130 Appropriations permanently reduced .....                      | -1  |     |     |
| 1160 Appropriation, discretionary (total) .....                    | 372 | 376 | 381 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 1   | 2   | 3   |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1   | 2   | 3   |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 16–1200–0–1–554                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1900 Budget authority (total) .....                             | 373         | 378     | 384       |
| 1930 Total budgetary resources available .....                  | 373         | 378     | 384       |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 47          | 50      | 49        |
| 3010 Obligations incurred, unexpired accounts .....             | 373         | 378     | 384       |
| 3011 Obligations incurred, expired accounts .....               | 6           |         |           |
| 3020 Outlays (gross) .....                                      | –371        | –379    | –388      |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –5          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 50          | 49      | 45        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 47          | 50      | 49        |
| 3200 Obligated balance, end of year .....                       | 50          | 49      | 45        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 373         | 378     | 384       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 330         | 345     | 350       |
| 4011 Outlays from discretionary balances .....                  | 41          | 34      | 38        |
| 4020 Outlays, gross (total) .....                               | 371         | 379     | 388       |
| Offsets against gross budget authority and outlays:             |             |         |           |
| Offsetting collections (collected) from:                        |             |         |           |
| 4033 Non-Federal sources .....                                  | –1          | –2      | –3        |
| 4180 Budget authority, net (total) .....                        | 372         | 376     | 381       |
| 4190 Outlays, net (total) .....                                 | 370         | 377     | 385       |

**Enforcement.**—The enforcement strategy in 2014 will be an integrated approach toward the prevention of mining accidents, injuries, and occupational illnesses. This includes inspection of mines and other activities as mandated by the Federal Mine Safety and Health Act of 1977 (Mine Act), as amended by the Mine Improvement and New Emergency Response Act of 2006 (MINER Act), special emphasis initiatives that focus on persistent safety and health hazards, promulgation of federal mine safety and health standards, investigation of serious accidents, and on-site education and training. The desired outcome of these enforcement efforts is to prevent death, disease, and injury from mining and promote safe and healthful workplaces for the Nation's miners. In 2014, MSHA is proposing appropriations language that would provide the agency with additional flexibility to internally reallocate funding to ensure the enforcement programs have the necessary resources to effectively conduct mandated inspections or investigations.

**Office of Assessments, Accountability, Special Enforcement and Investigations.**—This activity assesses and collects civil monetary penalties for violations of safety and health standards and manages MSHA's accountability, special enforcement, and investigation functions.

**Educational Policy and Development.**—This activity develops and coordinates MSHA's mine safety and health education and training policies, and provides classroom instruction at the National Mine Health and Safety Academy for MSHA personnel, other governmental personnel, and the mining industry.

**Technical Support.**—This activity applies engineering and scientific expertise through field and laboratory forensic investigations to resolve technical problems associated with implementing the Mine Act and the MINER Act. Technical Support administers a fee program to approve equipment, materials, and explosives for use in mines and performs field and laboratory audits of equipment previously approved by MSHA. It also collects and analyzes data relative to the cause, frequency, and circumstances of mine accidents. In 2014, MSHA is proposing appropriations language that would authorize the agency to charge a fee for the analysis of rock dust samples for determination of compliance.

As full implementation of this authority will require rulemaking that will not commence until the authority has been provided, MSHA does not anticipate beginning to collect fees until 2015.

**Program Evaluation and Information Resources (PEIR).**—This activity provides program evaluation and information technology resource management services for the agency.

**Program Administration.**—This activity performs general administrative functions and is responsible for meeting performance requirements and developing MSHA's performance plan and Annual Performance Report.

## PROGRAM STATISTICS

|  | 2012 Actual | 2013 Est. | 2014 Est. |
|--|-------------|-----------|-----------|
| Enforcement per 200,000 hours worked by employees: |             |           |           |
| Fatality Rates .....                               |             |           |           |
| All-MSHA fatality rates .....                      | 0.0150      | TBD       | TBD       |
| Coal Mines .....                                   | 0.0223      | TBD       | TBD       |
| Metal/non-metal mines .....                        | 0.0103      | TBD       | TBD       |
| Regulations promulgated .....                      | 1           | 3         | TBD       |
| Assessments:                                       |             |           |           |
| Violations assessed .....                          | 143,152     | 143,000   | TBD       |
| Educational policy and development:                |             |           |           |
| Course days .....                                  | 1,544       | 1,350     | 1,350     |
| Technical support:                                 |             |           |           |
| Equipment approvals .....                          | 899         | 800       | 750       |
| Laboratory samples analyzed .....                  | 282,618     | 275,000   | 288,100   |

## Object Classification (in millions of dollars)

| Identification code 16–1200–0–1–554                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 180         | 184     | 189       |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 11          | 6       | 6         |
| 11.9 Total personnel compensation .....                         | 193         | 192     | 197       |
| 12.1 Civilian personnel benefits .....                          | 66          | 67      | 69        |
| 21.0 Travel and transportation of things .....                  | 13          | 14      | 14        |
| 22.0 Transportation of things .....                             | 8           | 7       | 8         |
| 23.1 Rental payments to GSA .....                               | 17          | 18      | 19        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 5       | 5         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           |         |           |
| 25.2 Other services from non-Federal sources .....              | 8           | 9       | 8         |
| 25.3 Other goods and services from Federal sources .....        | 26          | 27      | 28        |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 10          | 11      | 14        |
| 26.0 Supplies and materials .....                               | 5           | 5       | 4         |
| 31.0 Equipment .....  | 9           | 10      | 12        |
| 41.0 Grants, subsidies, and contributions .....                 | 9           | 9       | 1         |
| 99.0 Direct obligations .....                                   | 372         | 376     | 381       |
| 99.0 Reimbursable obligations .....                             | 1           | 2       | 3         |
| 99.9 Total new obligations .....                                | 373         | 378     | 384       |

## Employment Summary

| Identification code 16–1200–0–1–554                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,357       | 2,357   | 2,338     |

BUREAU OF LABOR STATISTICS  
Federal Funds

## SALARIES AND EXPENSES

For necessary expenses for the Bureau of Labor Statistics, including advances or reimbursements to State, Federal, and local agencies and their employees for services rendered, \$546,618,000, together with not to exceed \$67,176,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 16-0200-0-1-505                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Labor force statistics .....                                    | 276         | 278     | 277       |
| 0002 Prices and cost of living .....                                 | 206         | 207     | 210       |
| 0003 Compensation and working conditions .....                       | 80          | 81      | 82        |
| 0004 Productivity and technology .....                               | 12          | 12      | 10        |
| 0006 Executive direction and staff services .....                    | 34          | 35      | 35        |
| 0799 Total direct obligations .....                                  | 608         | 613     | 614       |
| 0801 Reimbursable program .....                                      | 10          | 18      | 15        |
| 0900 Total new obligations .....                                     | 618         | 631     | 629       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                |             | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 543         | 545     | 547       |
| 1130 Appropriations permanently reduced .....                        | -1          |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 542         | 545     | 547       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 78          | 86      | 82        |
| 1701 Change in uncollected payments, Federal sources .....           | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 79          | 86      | 82        |
| 1900 Budget authority (total) .....                                  | 621         | 631     | 629       |
| 1930 Total budgetary resources available .....                       | 621         | 632     | 630       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 86          | 92      | 81        |
| 3010 Obligations incurred, unexpired accounts .....                  | 618         | 631     | 629       |
| 3011 Obligations incurred, expired accounts .....                    | 6           |         |           |
| 3020 Outlays (gross) .....   | -610        | -642    | -628      |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -8          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 92          | 81      | 82        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -1          | -2      | -2        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -1          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -2          | -2      | -2        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 85          | 90      | 79        |
| 3200 Obligated balance, end of year .....                            | 90          | 79      | 80        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 621         | 631     | 629       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 506         | 555     | 553       |
| 4011 Outlays from discretionary balances .....                       | 104         | 87      | 75        |
| 4020 Outlays, gross (total) .....                                    | 610         | 642     | 628       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -76         | -68     | -67       |
| 4033 Non-Federal sources .....                                       | -1          | -18     | -15       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -77         | -86     | -82       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -1          |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | -1          |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | -2          |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 542         | 545     | 547       |
| 4080 Outlays, net (discretionary) .....                              | 533         | 556     | 546       |
| 4180 Budget authority, net (total) .....                             | 542         | 545     | 547       |
| 4190 Outlays, net (total) .....                                      | 533         | 556     | 546       |

**Labor Force Statistics.**—Publishes monthly estimates of the labor force, employment, unemployment, and earnings for the Nation, States, and local areas. Makes studies of the labor force. Publishes data on employment and wages, by industry. Provides economic projections, including changes in the level and structure

of the economy, as well as employment projections by industry and by occupational category.

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Labor force statistics (selected items):   |             |           |           |
| Employment and wages for NAICS industries (quarterly series) .....                                 | 3,542,000   | 3,500,000 | 3,500,000 |
| Employment and unemployment estimates for States and local areas (monthly and annual series) ..... | 101,381     | 101,445   | 101,509   |
| Occupational employment statistics (annual series) .....   | 90,234      | 90,000    | 90,000    |
| Industry projections (2 yr. cycle) .....   | 195         | N/A       | 195       |
| Detailed occupations covered in the Occupational Outlook Handbook (2 yr. cycle) .....              | 536         | N/A       | 530       |

**Prices and Cost of Living.**—Publishes the Consumer Price Index (CPI), the Producer Price Index, U.S. Import and Export Price Indexes, estimates of consumers' expenditures, and studies of price change.

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Consumer price indexes published (monthly) .....               | 6,409       | 6,400     | 6,400     |
| Percentage of CPI statistics released on schedule .....        | 100%        | 100%      | 100%      |
| Producer price indexes published (monthly) .....               | 9,622       | 9,400     | 9,800     |
| U.S. Import and Export Price Indexes published (monthly) ..... | 856         | 1,050     | 1,050     |

**Compensation and Working Conditions.**—Publishes data on employee compensation, including information on wages, salaries, and employer-provided benefits, by occupation for major labor markets and industries. Publishes information on work stoppages. Compiles annual information to estimate the number and incidence rate of work-related injuries, illnesses, and fatalities.

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Compensation and working conditions (major items):             |             |           |           |
| Employment cost index: number of establishments .....          | 12,200      | 11,400    | 11,400    |
| Occupational safety and health: number of establishments ..... | 241,081     | 240,000   | 240,000   |

**Productivity and Technology.**—Publishes trends in productivity and costs for major economic sectors and detailed industries. Also analyzes trends in order to examine the factors underlying productivity change. Publishes international comparisons of productivity, labor force and unemployment, and hourly compensation costs.

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Studies, articles, and special reports ..... | 21          | 21        | 21        |
| Series updated .....                         | 4,411       | 4,411     | 4,344     |

**Executive Direction and Staff Services.**—Provides planning and policy for the Bureau of Labor Statistics, operates the information technology, coordinates research, and publishes data and reports for government and public use.

### Object Classification (in millions of dollars)

| Identification code 16-0200-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 186         | 195     | 193       |
| 11.3 Other than full-time permanent .....                       | 14          | 12      | 12        |
| 11.5 Other personnel compensation .....                         | 3           | 2       | 3         |
| 11.9 Total personnel compensation .....                         | 203         | 209     | 208       |
| 12.1 Civilian personnel benefits .....                          | 59          | 61      | 60        |
| 21.0 Travel and transportation of persons .....                 | 6           | 6       | 6         |
| 23.1 Rental payments to GSA .....                               | 33          | 36      | 37        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 6           | 6       | 6         |
| 24.0 Printing and reproduction .....                            | 3           | 3       | 2         |
| 25.2 Other services from non-Federal sources .....              | 20          | 17      | 19        |
| 25.3 Other goods and services from Federal sources .....        | 134         | 119     | 123       |
| 25.5 Research and development contracts .....                   | 11          | 12      | 12        |
| 25.7 Operation and maintenance of equipment .....               | 51          | 62      | 60        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 7           | 6       | 6         |
| 41.0 Grants, subsidies, and contributions .....                 | 74          | 75      | 74        |
| 99.0 Direct obligations .....                                   | 608         | 613     | 614       |
| 99.0 Reimbursable obligations .....                             | 10          | 18      | 15        |
| 99.9 Total new obligations .....                                | 618         | 631     | 629       |

SALARIES AND EXPENSES—Continued  
Employment Summary

| Identification code 16-0200-0-1-505                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 2,304       | 2,304   | 2,271     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 4           | 59      | 59        |

## DEPARTMENTAL MANAGEMENT

## Federal Funds

## SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

For necessary expenses for Departmental Management, including the hire of three passenger motor vehicles, \$347,630,000, together with not to exceed \$326,000, which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund: Provided, That \$66,375,000 for the Bureau of International Labor Affairs shall be available for obligation through December 31, 2014: Provided further, That funds available to the Bureau of International Labor Affairs may be used to administer or operate international labor activities, bilateral and multilateral technical assistance, and microfinance programs, by or through contracts, grants, subgrants and other arrangements: Provided further, That not less than \$49,887,000 shall be used for programs to combat exploitative child labor internationally and not less than \$16,488,000 shall be used to implement model programs that address worker rights issues through technical assistance in countries with which the United States has free trade agreements or trade preference programs: Provided further, That \$9,000,000 shall be used for program evaluation and shall be available for obligation through September 30, 2015: Provided further, That funds available for program evaluation may be transferred to any other appropriate account in the Department for such purpose: Provided further, That the funds available to the Women's Bureau may be used for grants to serve and promote the interests of women in the workforce.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16-0165-0-1-505                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Program direction and support .....                           | 33          | 33      | 32        |
| 0002 Legal services .....  | 137         | 137     | 137       |
| 0003 International labor affairs .....                             | 64          | 93      | 95        |
| 0004 Administration and management .....                           | 30          | 30      | 30        |
| 0005 Adjudication .....  | 47          | 47      | 48        |
| 0007 Women's bureau .....  | 12          | 12      | 9         |
| 0008 Civil rights .....  | 7           | 7       | 8         |
| 0009 Chief Financial Officer .....                                 | 5           | 5       | 5         |
| 0011 Departmental Program Evaluation .....                         | 8           | 25      | 9         |
| 0192 Total Direct Program - Subtotal .....                         | 343         | 389     | 373       |
| 0799 Total direct obligations .....                                | 343         | 389     | 373       |
| 0801 Reimbursable - SOL .....                                      | 8           | 9       | 9         |
| 0802 Reimbursable - ILAB .....                                     | .....       | 2       | 2         |
| 0803 Reimbursable - OSEC .....                                     | 8           | 35      | 35        |
| 0899 Total reimbursable obligations .....                          | 16          | 46      | 46        |
| 0900 Total new obligations .....                                   | 359         | 435     | 419       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 30          | 78      | 62        |
| 1011 Unobligated balance transfer from other accts [72-1037] ..... | 3           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 33          | 78      | 62        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation (Regular) .....                                 | 347         | 348     | 348       |
| 1121 Appropriations transferred from MSHA [16-1200] .....          | 1           | .....   | .....     |
| 1130 Appropriations permanently reduced .....                      | -1          | .....   | .....     |

|   |     |       |       |
|---|-----|-------|-------|
| 1160 Appropriation, discretionary (total) .....   | 347 | 348   | 348   |
| Spending authority from offsetting collections, discretionary:                                      |     |       |       |
| 1700 Collected .....  | 41  | 71    | 71    |
| 1711 Spending authority from offsetting collections transferred from other accounts [16-0179] ..... | 16  | ..... | ..... |
| 1711 Spending authority from offsetting collections transferred from other accounts [16-1700] ..... | 1   | ..... | ..... |
| 1711 Spending authority from offsetting collections transferred from other accounts [16-0143] ..... | 1   | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....                                  | 59  | 71    | 71    |
| 1900 Budget authority (total) .....   | 406 | 419   | 419   |
| 1930 Total budgetary resources available .....  | 439 | 497   | 481   |
| Memorandum (non-add) entries:   |     |       |       |
| 1940 Unobligated balance expiring .....   | -2  | ..... | ..... |
| 1941 Unexpired unobligated balance, end of year .....   | 78  | 62    | 62    |

## Change in obligated balance:

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 296  | 264   | 271   |
| 3010 Obligations incurred, unexpired accounts .....               | 359  | 435   | 419   |
| 3011 Obligations incurred, expired accounts .....                 | 9    | ..... | ..... |
| 3020 Outlays (gross) .....  | -389 | -428  | -427  |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -11  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 264  | 271   | 263   |
| Uncollected payments:   |      |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -7   | -7    | -7    |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -7   | -7    | -7    |
| Memorandum (non-add) entries:                                     |      |       |       |
| 3100 Obligated balance, start of year .....                       | 289  | 257   | 264   |
| 3200 Obligated balance, end of year .....                         | 257  | 264   | 256   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 406 | 419 | 419 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 265 | 295 | 295 |
| 4011 Outlays from discretionary balances .....      | 124 | 133 | 132 |
| 4020 Outlays, gross (total) .....                   | 389 | 428 | 427 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | -41 | -71 | -71 |
| 4180 Budget authority, net (total) .....            | 365 | 348 | 348 |
| 4190 Outlays, net (total) .....                     | 348 | 357 | 356 |

**Program Direction and Support.**—Provides leadership and direction for all programs and functions assigned to the Department of Labor (DOL). Provides guidance for the development and implementation of governmental policy to protect and promote the interests of the American worker, achieving better employment and earnings, promoting productivity and economic growth, safety, equity and affirmative action in employment, and collecting and analyzing statistics on the labor force.

**Legal Services.**—Provides the Secretary of Labor and departmental program officials with the legal services required to accomplish the Department's mission. The major services include litigating cases; providing assistance to the Department of Justice in case preparation and trials; reviewing rules, orders and written interpretations and opinions for DOL program agencies and the public; assisting in the development and defense of rules and regulations and opinions for DOL program agencies and the public; assisting in the development and defense of rules and regulations; providing opinions and advice to all agencies of the Department; and coordinating the Department's legislative program.

**International Labor Affairs.**—Supports the President's international labor agenda and coordinates the international activities for the Department of Labor. Activities include promotion of good labor policies and labor rights through intergovernmental organizations and bilateral relationships with other countries, as well as implementation of projects in developing countries to improve workers' rights and living standards and to protect vulnerable workers including women and children.



**Administration and Management.**—Exercises leadership in all departmental administrative and management programs and services and ensures efficient and effective operation of Departmental programs; provides policy guidance on matters of personnel management, information resource management and procurement; and provides for consistent and constructive internal labor-management relations throughout the Department.

**Adjudication.**—Conducts formal hearings and renders timely decisions on appeals of claims filed under the Black Lung Benefits Act; the Longshore and Harbor Workers' Compensation Act and its extensions, including the Defense Base Act, and Permanent and Temporary Labor Certifications; the Federal Employees' Compensation Act; and other acts involving complaints to determine violations of minimum wage requirements, overtime payments, health and safety regulations, and unfair labor practices.

**Women's Bureau.**—Develops policies and standards, and conducts inquiries to safeguard the interests of working women; to advocate for equality and economic security for working women and their families; and to promote quality work environments.

**Civil Rights.**—Ensures compliance with certain Federal civil rights statutes and Executive Orders, and their implementing regulations, including Titles VI and VII of the Civil Rights Act of 1964, Sections 504 and 508 of the Rehabilitation Act of 1973, Title II of the Americans with Disabilities Act of 1990, and Section 188 of the Workforce Investment Act of 1998. These laws apply to and protect Department of Labor (DOL) employees, DOL applicants for employment, and individuals who interact with DOL programs and activities.

**Chief Financial Officer.**—Created as a result of the CFO Act of 1990, provides financial management leadership and direction to all DOL program agencies on financial matters arising from legislative and regulatory mandates such as the CFO Act, GMRA, FFMIA, FMFIA, Clinger-Cohen, The Reports Consolidation Act, IPIA, Treasury Financial Manual guidance and OMB Circulars.

**Program Evaluation.**—The Office of the Chief Evaluation Officer is charged with coordinating and overseeing rigorous evaluations of the Department of Labor's programs, and ensuring high standards in evaluations undertaken and funded by the Department of Labor. Provides for the centralization of evaluation activities; builds evaluation capacity and expertise within the Department; ensures the independence of the evaluation and research functions; and makes sure that evaluation and research findings are available and accessible in a timely and user-friendly way.

#### Object Classification (in millions of dollars)

| Identification code 16-0165-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 148         | 151     | 151       |
| 11.3 Other than full-time permanent .....                       | 3           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 2           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 153         | 157     | 157       |
| 12.1 Civilian personnel benefits .....                          | 40          | 41      | 41        |
| 21.0 Travel and transportation of persons .....                 | 4           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 19          | 20      | 20        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 10          | 22      | 6         |
| 25.2 Other services from non-Federal sources .....              | 12          | 14      | 14        |
| 25.3 Other goods and services from Federal sources .....        | 45          | 45      | 45        |
| 25.5 Research and development contracts .....                   | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 11          | 11      | 11        |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 2           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....                 | 40          | 66      | 66        |
| 99.0 Direct obligations .....                                   | 343         | 389     | 373       |
| 99.0 Reimbursable obligations .....                             | 16          | 46      | 46        |

|      |                             |     |     |     |
|------|-----------------------------|-----|-----|-----|
| 99.9 | Total new obligations ..... | 359 | 435 | 419 |
|------|-----------------------------|-----|-----|-----|

#### Employment Summary

| Identification code 16-0165-0-1-505                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,380       | 1,380   | 1,367     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 44          | 44      | 44        |

#### OFFICE OF DISABILITY EMPLOYMENT POLICY

##### SALARIES AND EXPENSES

*For necessary expenses for the Office of Disability Employment Policy to provide leadership, develop policy and initiatives, and award grants furthering the objective of eliminating barriers to the training and employment of people with disabilities, \$42,432,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 16-0166-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Office of Disability Employment Policy .....               | 39          | 39      | 42        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 39          | 39      | 42        |
| 1160 Appropriation, discretionary (total) .....                 | 39          | 39      | 42        |
| 1930 Total budgetary resources available .....                  | 39          | 39      | 42        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 25          | 25      | 26        |
| 3010 Obligations incurred, unexpired accounts .....             | 39          | 39      | 42        |
| 3020 Outlays (gross) .....                                      | -38         | -38     | -38       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 25          | 26      | 30        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 25          | 25      | 26        |
| 3200 Obligated balance, end of year .....                       | 25          | 26      | 30        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 39          | 39      | 42        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 22          | 16      | 17        |
| 4011 Outlays from discretionary balances .....                  | 16          | 22      | 21        |
| 4020 Outlays, gross (total) .....                               | 38          | 38      | 38        |
| 4180 Budget authority, net (total) .....                        | 39          | 39      | 42        |
| 4190 Outlays, net (total) .....                                 | 38          | 38      | 38        |

**Office of Disability Employment Policy.**—This agency provides national leadership in developing policy to eliminate barriers to employment faced by people with disabilities. ODEP works within the Department of Labor and in collaboration with other Federal, state and local agencies, private-sector employers, and employer associations to provide technical assistance and to develop and disseminate evidence-based policy strategies and effective practices. ODEP works in three broad areas of inquiry: workforce systems; employers and the workplace; and employment-related supports. The goal of these efforts is to increase employment opportunities and the workforce participation rate of people with disabilities.

## OFFICE OF DISABILITY EMPLOYMENT POLICY—Continued

## Object Classification (in millions of dollars)

| Identification code 16-0166-0-1-505                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 5           | 6       | 6         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 1           | .....   | 1         |
| 25.1 Advisory and assistance services .....              | 8           | 9       | 8         |
| 25.2 Other services from non-Federal sources .....       | 1           | .....   | .....     |
| 25.3 Other goods and services from Federal sources ..... | 3           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....          | 19          | 20      | 23        |
| 99.9 Total new obligations .....                         | 39          | 39      | 42        |

## Employment Summary

| Identification code 16-0166-0-1-505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 51          | 51      | 51        |

## OFFICE OF INSPECTOR GENERAL

*For salaries and expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$79,805,000, together with not to exceed \$5,909,000 which may be expended from the Employment Security Administration Account in the Unemployment Trust Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16-0106-0-1-505                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Program and Trust Funds .....                                 | 84          | 85      | 86        |
| 0002 Recovery Act .....  | 2           | .....   | .....     |
| 0799 Total direct obligations .....                                | 86          | 85      | 86        |
| 0801 Reimbursable program .....                                    | .....       | .....   | 1         |
| 0900 Total new obligations .....                                   | 86          | 85      | 87        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | .....   | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation (Program Activities) .....                      | 78          | 78      | 80        |
| 1160 Appropriation, discretionary (total) .....                    | 78          | 78      | 80        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 6           | 7       | 7         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 6           | 7       | 7         |
| 1900 Budget authority (total) .....                                | 84          | 85      | 87        |
| 1930 Total budgetary resources available .....                     | 86          | 85      | 87        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 15          | 13      | 11        |
| 3010 Obligations incurred, unexpired accounts .....                | 86          | 85      | 87        |
| 3011 Obligations incurred, expired accounts .....                  | 2           | .....   | .....     |
| 3020 Outlays (gross) .....   | -86         | -87     | -86       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 13          | 11      | 12        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -1          | -1      | -1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 14          | 12      | 10        |
| 3200 Obligated balance, end of year .....                          | 12          | 10      | 11        |

## Budget authority and outlays, net:

|  |       |       |    |
|--|-------|-------|----|
| Discretionary:   |       |       |    |
| 4000 Budget authority, gross .....                                   | 84    | 85    | 87 |
| Outlays, gross:  |       |       |    |
| 4010 Outlays from new discretionary authority .....                  | 74    | 73    | 74 |
| 4011 Outlays from discretionary balances .....                       | 12    | 14    | 12 |
| 4020 Outlays, gross (total) .....                                    | 86    | 87    | 86 |
| Offsets against gross budget authority and outlays:                  |       |       |    |
| Offsetting collections (collected) from:                             |       |       |    |
| 4030 Federal sources .....   | -6    | -7    | -6 |
| 4033 Non-Federal sources .....                                       | ..... | ..... | -1 |
| 4040 Offsets against gross budget authority and outlays (total) .... | -6    | -7    | -7 |
| 4070 Budget authority, net (discretionary) .....                     | 78    | 78    | 80 |
| 4080 Outlays, net (discretionary) .....                              | 80    | 80    | 79 |
| 4180 Budget authority, net (total) .....                             | 78    | 78    | 80 |
| 4190 Outlays, net (total) .....                                      | 80    | 80    | 79 |

The Office of Inspector General (OIG) conducts audits, investigations, and evaluations that improve the effectiveness, efficiency, and economy of departmental programs and operations. It addresses DOL program fraud and labor racketeering in the American workplace, provides technical assistance to DOL program agencies, and advice to the Secretary and the Congress on how to attain the highest possible program performance. The Office of Audit performs audits of the Department's financial statements, programs, activities, and systems to determine whether information is reliable, controls are effective, and resources are safeguarded. It also ensures funds are expended in a manner consistent with laws and regulations, and with achieving the desired program results. The Office of Labor Racketeering and Fraud Investigations conducts investigations to detect and deter fraud, waste, and abuse in departmental programs. It also identifies and reduces labor racketeering and corruption in employee benefit plans, labor management relations, and internal union affairs.

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Number of Audits .....                   | 58          | 58        | 58        |
| Number of Investigations Completed ..... | 445         | 431       | 431       |

## Object Classification (in millions of dollars)

| Identification code 16-0106-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 40          | 41      | 44        |
| 11.5 Other personnel compensation .....                         | 4           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 44          | 45      | 48        |
| 12.1 Civilian personnel benefits .....                          | 16          | 16      | 16        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 4         |
| 23.1 Rental payments to GSA .....                               | 5           | 5       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 8           | 5       | 4         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 6           | 6       | 6         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | .....       | 1       | .....     |
| 31.0 Equipment .....  | 1           | 1       | .....     |
| 99.0 Direct obligations .....                                   | 86          | 85      | 86        |
| 99.0 Reimbursable obligations .....                             | .....       | .....   | 1         |
| 99.9 Total new obligations .....                                | 86          | 85      | 87        |

## Employment Summary

| Identification code 16-0106-0-1-505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 409         | 409     | 407       |

## VETERANS EMPLOYMENT AND TRAINING

*Not to exceed \$262,333,000 may be derived from the Employment Security Administration Account in the Unemployment Trust Fund to carry*

out the provisions of chapters 41, 42, and 43 of title 38, United States Code, of which:

(1) \$203,081,000 is for Jobs for Veterans State grants under 38 U.S.C. 4102A(b)(5) to support disabled veterans' outreach program specialists under section 4103A of such title and local veterans' employment representatives under section 4104(b) of such title, and for the expenses described in section 4102A(b)(5)(C), which shall be available for obligation by the States through December 31, 2014: Provided, That, in addition, such funds may be used to support such specialists and representatives in the provision of services to transitioning members of the Armed Forces who have participated in the Transition Assistance Program and have been identified as in need of intensive services, to members of the Armed Forces who are wounded, ill, or injured and receiving treatment in military treatment facilities or warrior transition units, and to the spouses or other family caregivers of such wounded, ill, or injured members;

(2) \$14,000,000 is for carrying out the Transition Assistance Program under 38 U.S.C. 4113 and 10 U.S.C. 1144;

(3) \$41,838,000 is for Federal administration of chapters 41, 42, and 43 of title 38, United States Code; and

(4) \$3,414,000 is for the National Veterans' Employment and Training Services Institute under 38 U.S.C. 4109:

Provided further, That the Secretary of Labor may reallocate among the appropriations provided under paragraphs (1) through (4) above an amount not to exceed 3 percent of the appropriation from which such reallocation is made.

In addition, from the General Fund of the Treasury, \$38,185,000 is for carrying out the Homeless Veterans Reintegration Programs under 38 U.S.C. 2021.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 16–0164–0–1–702                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0003 Jobs for Veterans State grants .....                          | 160         | 166     | 203       |
| 0004 Transition Assistance Program .....                           | 13          | 9       | 14        |
| 0005 Federal Management .....                                      | 35          | 35      | 42        |
| 0006 National Veterans' Training Institute .....                   | 3           | 3       | 3         |
| 0007 Homeless veterans program .....                               | 38          | 38      | 38        |
| 0008 Veterans' workforce investment program .....                  | 14          | 15      | .....     |
| 0900 Total new obligations .....                                   | 263         | 266     | 300       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | .....       | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 53          | 53      | 38        |
| 1160 Appropriation, discretionary (total) .....                    | 53          | 53      | 38        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 212         | 213     | 262       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 212         | 213     | 262       |
| 1900 Budget authority (total) .....                                | 265         | 266     | 300       |
| 1930 Total budgetary resources available .....                     | 265         | 267     | 301       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 89          | 102     | 89        |
| 3010 Obligations incurred, unexpired accounts .....                | 263         | 266     | 300       |
| 3011 Obligations incurred, expired accounts .....                  | 2           | .....   | .....     |
| 3020 Outlays (gross) .....   | –250        | –279    | –336      |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 102         | 89      | 53        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –1          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 1           | .....   | .....     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 88          | 102     | 89        |

|   |   |      |      |       |
|---|---|------|------|-------|
| 3200  | Obligated balance, end of year .....                            | 102  | 89   | 53    |
| <b>Budget authority and outlays, net:</b>           |   |      |      |       |
| Discretionary:                                      |   |      |      |       |
| 4000  | Budget authority, gross .....                                   | 265  | 266  | 300   |
| Outlays, gross:                                     |   |      |      |       |
| 4010  | Outlays from new discretionary authority .....                  | 175  | 217  | 266   |
| 4011  | Outlays from discretionary balances .....                       | 75   | 62   | 70    |
| 4020  | Outlays, gross (total) .....                                    | 250  | 279  | 336   |
| Offsets against gross budget authority and outlays: |   |      |      |       |
| Offsetting collections (collected) from:            |   |      |      |       |
| 4030  | Federal sources .....   | –162 | –167 | –262  |
| 4030  | Federal sources .....   | –35  | –35  | ..... |
| 4030  | Federal sources .....   | –2   | –2   | ..... |
| 4030  | Federal sources .....   | –13  | –9   | ..... |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –212 | –213 | –262  |
| 4070  | Budget authority, net (discretionary) .....                     | 53   | 53   | 38    |
| 4080  | Outlays, net (discretionary) .....                              | 38   | 66   | 74    |
| 4180  | Budget authority, net (total) .....                             | 53   | 53   | 38    |
| 4190  | Outlays, net (total) .....                                      | 38   | 66   | 74    |

**Jobs for Veterans State grants.**—The Jobs for Veterans Act (JVA) of 2002 provides the foundation for this budget activity. The JVA requires the Veterans Employment and Training Service (VETS) to act on behalf of the Secretary in the promulgation of policies and regulations that ensure maximum employment and training opportunities for veterans and priority of service for veterans within the State workforce delivery system for employment and training programs funded in whole or in part by the U.S. Department of Labor. Under the JVA, resources are allocated to States to support Disabled Veterans Outreach Program (DVOP) specialists and Local Veterans' Employment Representatives (LVERs).

Disabled Veterans Outreach Program specialists (38 U.S.C. 4103A) provide intensive services to meet the employment needs of eligible veterans. DVOPs place maximum emphasis on helping economically or educationally disadvantaged veterans. Priority of service (38 U.S.C. 4215) is given to special disabled veterans, other disabled veterans, and other eligible veterans.

Local Veterans' Employment Representatives (38 U.S.C. 4104) conduct outreach to employers as well as assist veterans in gaining employment by conducting job search workshops and establishing job search support groups. LVERs also facilitate employment, training, and placement services provided to veterans under the applicable State employment service delivery system, including One-Stop Career Centers. In addition, each LVER provides reports to the manager of the State employment service delivery system and to the State Director for Veterans Employment and Training (38 U.S.C. 4103) regarding the State's compliance with Federal law and regulations with respect to special services and priorities for eligible veterans.

**Transition Assistance Program.**—This program provides employment workshops for departing service members in the continental U.S. and at major overseas installations. VETS coordinates with the Departments of Defense, Veterans Affairs, and Homeland Security to provide transition services to military service members separating from active duty. TAP is implemented worldwide and provides labor-market and employment-related information and other services to separating service members and their spouses. The goal of TAP is to expedite and facilitate the transition from military to civilian employment.

**Federal management.**—VETS' Federal management budget activity carries out programs and develops policies to provide veterans the maximum employment and training opportunities (38 U.S.C. 4102–4103A) and to investigate complaints received under the Uniformed Services Employment and Reemployment Rights Act (USERRA) (38 USC 4322). Veterans' Preference activities, which are intended to assist veterans in obtaining

## VETERANS EMPLOYMENT AND TRAINING—Continued

Federal employment (39 U.S.C. 4214), are also supported under this activity.

Resources under this activity are also used to evaluate the job training and employment assistance services provided to veterans under the Jobs for Veterans State Grants (38 USC 4102A), the Homeless Veterans Reintegration Program (Section 738 of the Stewart B. McKinney Homeless Assistance Act (MHAA) of July 1987, and amended by Section 5 of the Homeless Veterans Comprehensive Assistance Act (HVCAA of 2001), and the Veterans Workforce Investment Program (Section 168, Workforce Investment Act, P.L. 105–220). This budget activity supports field activities and personnel who provide technical assistance to grantees to ensure they meet negotiated and mandated performance goals and other grant provisions.

This budget activity also supports the oversight and development of policies for the Transition Assistance Program (10 USC 1144 and 38 USC 4113). The activity funds outreach and education efforts, such as job fairs, that raise the awareness of employers about the benefits of hiring veterans. The activities of the Advisory Committee for Veterans Employment, Training, and Employer Outreach (38 USC 4110) also are supported. The REALifeLines initiative facilitates timely and comprehensive employment services to our Nation's severely wounded and injured veterans.

*National Veterans' Employment and Training Services Institute.*—The National Veterans' Training Institute (NVTI) supplies competency-based training to Federal and State providers of services to veterans (38 U.S.C. 4109). NVTI also provides training for Veterans Employment and Training Service personnel. NVTI is administered through a contract and supported by dedicated funds. NVTI ensures that these service providers receive a comprehensive foundation so they can effectively assist job-seeking veterans.

*Homeless Veterans' Reintegration Program.*—The Homeless Veterans' Reintegration Program (HVRP) (38 U.S.C. 2021) provides grants to States or other public entities, as well as to non-profits, including faith-based organizations. Grant awards enable grantees to operate employment programs to reach out to homeless veterans and help them become employed. VETS partners with the Departments of Veterans Affairs and Housing and Urban Development to promote multi-agency-funded programs that integrate the different services needed by homeless veterans. HVRP grants are provided for both urban and rural areas.

*Veterans' Workforce Investment Program.*—The Veterans' Workforce Investment Program (VWIP) (Section 168 of P.L. 105–220) provides competitive grants geared toward training and retraining to create employment opportunities for veterans in high-skill occupations, and to meet employer demands. The fiscal year 2014 Budget will end funding for this program and instead support service delivery innovations through the Workforce Innovation Fund.

## Object Classification (in millions of dollars)

| Identification code 16–0164–0–1–702                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 18          | 20      | 22        |
| 12.1 Civilian personnel benefits .....                          | 6           | 5       | 6         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 1           |         |           |
| 25.2 Other services from non-Federal sources .....              | 13          | 13      | 20        |
| 25.3 Other goods and services from Federal sources .....        | 6           | 5       | 6         |
| 41.0 Grants, subsidies, and contributions .....                 | 214         | 218     | 241       |

|      |                                 |     |     |     |
|------|---------------------------------|-----|-----|-----|
| 99.0 | Direct obligations .....        | 262 | 265 | 299 |
| 99.5 | Below reporting threshold ..... | 1   | 1   | 1   |
| 99.9 | Total new obligations .....     | 263 | 266 | 300 |

## Employment Summary

| Identification code 16–0164–0–1–702                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 210         | 210     | 227       |

## INFORMATION TECHNOLOGY MODERNIZATION

*For necessary expenses for Department of Labor centralized infrastructure technology investment activities related to support systems and modernization, \$20,587,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 16–0162–0–1–505                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Departmental Support Systems .....               | 12          | 8       | 8         |
| 0002 IT Infrastructure Modernization .....            | 8           | 12      | 13        |
| 0100 Direct program activities, subtotal .....        | 20          | 20      | 21        |
| 0900 Total new obligations .....                      | 20          | 20      | 21        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 20          | 20      | 21        |
| 1160 Appropriation, discretionary (total) .....       | 20          | 20      | 21        |
| 1930 Total budgetary resources available .....        | 20          | 20      | 21        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 19      | 21        |
| 3010 Obligations incurred, unexpired accounts .....   | 20          | 20      | 21        |
| 3020 Outlays (gross) .....                            | –1          | –18     | –20       |
| 3050 Unpaid obligations, end of year .....            | 19          | 21      | 22        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 19      | 21        |
| 3200 Obligated balance, end of year .....             | 19          | 21      | 22        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 20          | 20      | 21        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 1           | 5       | 5         |
| 4011 Outlays from discretionary balances .....        |             | 13      | 15        |
| 4020 Outlays, gross (total) .....                     | 1           | 18      | 20        |
| 4180 Budget authority, net (total) .....              | 20          | 20      | 21        |
| 4190 Outlays, net (total) .....                       | 1           | 18      | 20        |

*Departmental Support Systems.*—This activity represents a permanent, centralized IT investment fund for the Department of Labor managed by the Chief Information Officer. The fund is used to support process improvements, modernization, and enhancements to Departmental common universal support processes and systems, as well as enterprise-wide programs for effective IT management and decision making.

*IT Infrastructure Modernization.*—This Chief Information Officer-managed activity funds the effort to transform nine major independently funded and managed IT infrastructure silos at the sub-agency level into a unified IT infrastructure. The unified infrastructure will be centrally managed and provide all agencies with general purpose business productivity tools, a shared enviro-

onment for common data sources, and the underlying IT services to support it.

**Object Classification** (in millions of dollars)

| Identification code 16-0162-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 11          | 4       | 4         |
| 25.3 Other goods and services from Federal sources .....        | 3           | 3       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 6           | 9       | 10        |
| 31.0 Equipment .....  |             | 1       | 1         |
| 99.9 Total new obligations .....                                | 20          | 20      | 21        |

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

| Identification code 16-4601-0-4-505   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Financial and administrative services (includes Core Financial) .....          | 156         | 157     | 163       |
| 0802 Field services .....   | 43          | 44      | 44        |
| 0804 Human resources services .....   | 22          | 21      | 21        |
| 0805 Telecommunications .....   | 16          | 17      | 17        |
| 0806 Non-DOL Reimbursables .....  | 2           | 2       | 2         |
| 0900 Total new obligations .....  | 239         | 241     | 247       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                               | 13          | 17      | 11        |
| 1012 Unobligated balance transfers between expired and unexpired accounts .....     | 3           | 3       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....                              | 6           | 3       | 3         |
| 1050 Unobligated balance (total) .....  | 22          | 23      | 17        |
| Budget authority:   |             |         |           |
| 1700 Spending authority from offsetting collections, discretionary: Collected ..... | 234         | 229     | 235       |
| 1750 Spending auth from offsetting collections, disc (total) .....                  | 234         | 229     | 235       |
| 1900 Budget authority (total) .....   | 234         | 229     | 235       |
| 1930 Total budgetary resources available .....                                      | 256         | 252     | 252       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                               | 17          | 11      | 5         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                               | 87          | 98      | 102       |
| 3010 Obligations incurred, unexpired accounts .....                                 | 239         | 241     | 247       |
| 3020 Outlays (gross) .....  | -222        | -234    | -253      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                   | -6          | -3      | -3        |
| 3050 Unpaid obligations, end of year .....  | 98          | 102     | 93        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                   | -2          | -2      | -2        |
| 3090 Uncollected pymts, Fed sources, end of year .....                              | -2          | -2      | -2        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 85          | 96      | 100       |
| 3200 Obligated balance, end of year .....   | 96          | 100     | 91        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 234         | 229     | 235       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                                 | 173         | 213     | 218       |
| 4011 Outlays from discretionary balances .....                                      | 49          | 21      | 35        |
| 4020 Outlays, gross (total) .....   | 222         | 234     | 253       |
| Offsets against gross budget authority and outlays:                                 |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | -234        | -229    | -235      |
| 4190 Outlays, net (total) .....   | -12         | 5       | 18        |

**Financial and Administrative Services.**—Provides a program of centralized services at both the national and regional levels supporting financial systems on a Department-wide basis, financial services primarily for DOL national office staff, cost deter-

mination activities, maintenance of departmental host computer systems, procurement and contract services, safety and health services, maintenance and operation of the Frances Perkins Building and general administrative support in the following areas: space and telecommunications, property and supplies, printing and reproduction and energy management. In addition, support is provided for the operation and maintenance of the New Core Financial Management System.

**Field Services.**—Provides a full range of administrative and technical services to all agencies of the Department located in its regional and field offices. These services are primarily in the personnel, financial, information technology and general administrative areas.

**Human Resources Services.**—Provides leadership, guidance, and technical expertise in all areas related to the management of the Department's human resources, including recruitment, development, and retention of staff, and leadership in labor-management cooperation. This activity's focus is on a strategic planning process that will result in sustained leadership and assistance to DOL agencies in recruiting, developing and retaining a high quality, diverse workforce that effectively meets the changing mission requirements and program priorities of the Department.

**Telecommunications.**—Provides for departmental telecommunications payments to the General Services Administration.

**Non-DOL Reimbursements.**—Provides for services rendered to any entity or person for use of Departmental facilities and services, including associated utilities and security services and support for regional consolidated administrative support unit activities. The income received from non-DOL agencies and organizations funds in full the costs of all services provided. This income is credited to and merged with other income received by the Working Capital Fund.

**Financing.**—The Working Capital Fund is funded by the agencies and organizations for which centralized services are performed at rates that return in full all expenses of operation, including reserves for accrued annual leave.

**Object Classification** (in millions of dollars)

| Identification code 16-4601-0-4-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 68          | 71      | 71        |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 70          | 73      | 73        |
| 12.1 Civilian personnel benefits .....                          | 30          | 30      | 30        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 8           | 8       | 8         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 26          | 22      | 25        |
| 25.1 Advisory and assistance services .....                     | 3           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 32          | 33      | 33        |
| 25.3 Other goods and services from Federal sources .....        | 12          | 12      | 15        |
| 25.4 Operation and maintenance of facilities .....              | 26          | 26      | 26        |
| 25.7 Operation and maintenance of equipment .....               | 26          | 28      | 28        |
| 26.0 Supplies and materials .....                               | 1           | 2       | 2         |
| 31.0 Equipment .....  | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 239         | 241     | 247       |

WORKING CAPITAL FUND—Continued  
Employment Summary

| Identification code 16-4601-0-4-505                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 730         | 730     | 730       |

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 16-143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....           | 1           | 1       | 1         |
| 16-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 22          | 28      | 27        |
| General Fund Offsetting receipts from the public .....   | 23          | 29      | 28        |

## GENERAL PROVISIONS

SEC. 101. None of the funds appropriated by this Act for the Job Corps shall be used to pay the salary and bonuses of an individual, either as direct costs or any proration as an indirect cost, at a rate in excess of Executive Level II.

## (TRANSFER OF FUNDS)

SEC. 102. Not to exceed 1 percent of any discretionary funds (pursuant to the Balanced Budget and Emergency Deficit Control Act of 1985) which are appropriated for the current fiscal year for the Department of Labor in this Act may be transferred between appropriations, but no such appropriation shall be increased by more than 3 percent by any such transfer: Provided, That the transfer authority granted by this section shall not be used to create any new program or to fund any project or activity for which no funds are provided in this Act: Provided further, That the Committees on Appropriations of the House of Representatives and the Senate are notified at least 15 days in advance of any transfer.

SEC. 103. In accordance with Executive Order No. 13126, none of the funds appropriated or otherwise made available pursuant to this Act shall be obligated or expended for the procurement of goods mined, produced, manufactured, or harvested or services rendered, in whole or in part, by forced or indentured child labor in industries and host countries already identified by the United States Department of Labor prior to enactment of this Act.

SEC. 104. None of the funds made available to the Department of Labor for grants under section 414(c) of the American Competitiveness and Workforce Improvement Act of 1998 may be used for any purpose other than competitive grants for training in the occupations and industries for which employers are using H-1B visas to hire foreign workers, and the related activities necessary to support such training.

SEC. 105. None of the funds made available by this Act under the heading "Employment and Training Administration" shall be used by a recipient or subrecipient of such funds to pay the salary and bonuses of an individual, either as direct costs or indirect costs, at a rate in excess of Executive Level II. This limitation shall not apply to vendors providing goods and services as defined in Office of Management and Budget Circular A-133. Where States are recipients of such funds, States may establish a lower limit for salaries and bonuses of those receiving salaries and bonuses from subrecipients of such funds, taking into account factors including the relative cost-of-living in the State, the compensation levels for comparable State or local government employees, and the size of the organizations that administer Federal programs involved including Employment and Training Administration programs. Notwithstanding this section, the limitation on salaries for the Job Corps shall continue to be governed by section 101.

SEC. 106. Notwithstanding section 102, the Secretary may transfer funds made available to the Employment and Training Administration by this Act, either directly or through a set-aside, for technical assistance services to grantees to "Program Administration" when it is determined that those services will be more efficiently performed by Federal employees.

SEC. 107. (a) The Secretary may reserve not more than 1 percent from each appropriation made available in this Act identified in subsection (b) in order to carry out evaluations of any of the programs or activities that are funded under such accounts. Any funds reserved under this section shall be transferred to "Departmental Management" for use by the Office of the Chief Evaluation Officer within the Department of Labor, and shall be available for obligation through September 30, 2015: Provided, That such funds shall only be available if the Chief Evaluation Officer of the Department of Labor submits a plan to the Committees on Appropriations of the House of Representatives and the Senate describing the evaluations to be carried out 15 days in advance of any transfer.

(b) The accounts referred to in subsection (a) are: "Training and Employment Services", "Office of Job Corps", "State Unemployment Insurance and Employment Service Operations", "Employee Benefits Security Administration", "Office of Workers' Compensation Programs", "Wage and Hour Division", "Office of Federal Contract Compliance Programs", "Office of Labor Management Standards", "Occupational Safety and Health Administration", "Mine Safety and Health Administration", funding made available to the "Bureau of International Affairs" and "Women's Bureau" within the "Departmental Management Salaries and Expenses" account, and "Veterans Employment and Training".

SEC. 108. (a) Of the funds appropriated under section 272(b) of the Trade Act of 1974 for fiscal year 2014, the Secretary of Labor may reserve no more than 3 percent of such funds to conduct evaluations and provide technical assistance relating to the activities carried out under section 271 of such Act, including activities carried out under such section supported by the appropriations provided for fiscal years 2011 through 2013.

(b) Institutions of higher education awarded grants under section 271 of the Trade Act of 1974 may award subgrants to other institutions of higher education that meet the definition of "eligible institution" under section 271(b)(1)(A) of such Act, subject to the conditions applicable to such grants.

SEC. 109. (a) Section 5315 of title 5, United States Code, is amended after the item relating to the Assistant Secretaries of Labor by inserting "Administrator, Wage and Hour Division, Department of Labor." (b) Section 5316, title 5, United States Code, is amended by striking "Administrator, Wage and Hour and Public Contracts Division, Department of Labor."

## TITLE V—GENERAL PROVISIONS

## (TRANSFER OF FUNDS)

SEC. 501. The Secretaries of Labor, Health and Human Services, and Education are authorized to transfer unexpended balances of prior appropriations to accounts corresponding to current appropriations provided in this Act. Such transferred balances shall be used for the same purpose, and for the same periods of time, for which they were originally appropriated.

SEC. 502. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

## SEC. 503.

(a) No part of any appropriation contained in this Act or transferred to section 4002 of Public Law 111-148 shall be used, other than for normal and recognized executive-legislative relationships, for publicity or propaganda purposes, for the preparation, distribution, or use of any kit, pamphlet, booklet, publication, electronic communication, radio, television, or video presentation designed to support or defeat the enactment of legislation before the Congress or any State or local legislature or legislative body, except in presentation to the Congress or any State or local legislature itself, or designed to support or defeat any proposed or pending regulation, administrative action, or order issued by the executive branch of any State or local government, except in presentation to the executive branch of any State or local government itself.

(b) No part of any appropriation contained in this Act or transferred pursuant to section 4002 of Public Law 111-148 shall be used to pay the salary or expenses of any grant or contract recipient, or agent acting for such recipient, related to any activity designed to influence the enactment of legislation, or appropriations, regulation, administrative action, or Executive order proposed or pending before the Congress or any State government, State legislature or local legislature or legislative body,

other than for normal and recognized executive-legislative and State-local relationships, for presentation to any State or local legislature or legislative body itself, or for participation by an agency or officer of a State, local or tribal government in policymaking and administrative processes within the executive branch of that government.

SEC. 504. The Secretaries of Labor and Education are authorized to make available not to exceed \$28,000 and \$20,000, respectively, from funds available for salaries and expenses under titles I and III, respectively, for official reception and representation expenses; the Director of the Federal Mediation and Conciliation Service is authorized to make available for official reception and representation expenses not to exceed \$5,000 from the funds available for "Federal Mediation and Conciliation Service, Salaries and Expenses"; and the Chairman of the National Mediation Board is authorized to make available for official reception and representation expenses not to exceed \$5,000 from funds available for "National Mediation Board, Salaries and Expenses".

SEC. 505. None of the funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 506. When issuing statements, press releases, requests for proposals, bid solicitations and other documents describing projects or programs funded in whole or in part with Federal money, all grantees receiving Federal funds included in this Act, including but not limited to State and local governments and recipients of Federal research grants, shall clearly state—

- (1) the percentage of the total costs of the program or project which will be financed with Federal money;
- (2) the dollar amount of Federal funds for the project or program; and
- (3) percentage and dollar amount of the total costs of the project or program that will be financed by non-governmental sources.

SEC. 507. (a) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for any abortion.

(b) None of the funds appropriated in this Act, and none of the funds in any trust fund to which funds are appropriated in this Act, shall be expended for health benefits coverage that includes coverage of abortion.

(c) The term "health benefits coverage" means the package of services covered by a managed care provider or organization pursuant to a contract or other arrangement.

SEC. 508. (a) The limitations established in the preceding section shall not apply to an abortion—

- (1) if the pregnancy is the result of an act of rape or incest; or
- (2) in the case where a woman suffers from a physical disorder, physical injury, or physical illness, including a life-endangering physical condition caused by or arising from the pregnancy itself, that would, as certified by a physician, place the woman in danger of death unless an abortion is performed.

(b) Nothing in the preceding section shall be construed as prohibiting the expenditure by a State, locality, entity, or private person of State, local, or private funds (other than a State's or locality's contribution of Medicaid matching funds).

(c) Nothing in the preceding section shall be construed as restricting the ability of any managed care provider from offering abortion coverage or the ability of a State or locality to contract separately with such a provider for such coverage with State funds (other than a State's or locality's contribution of Medicaid matching funds).

(d)(1) None of the funds made available in this Act may be made available to a Federal agency or program, or to a State or local government, if such agency, program, or government subjects any institutional or individual health care entity to discrimination on the basis that the health care entity does not provide, pay for, provide coverage of, or refer for abortions.

(2) In this subsection, the term "health care entity" includes an individual physician or other health care professional, a hospital, a provider-sponsored organization, a health maintenance organization, a health insurance plan, or any other kind of health care facility, organization, or plan.

SEC. 509. (a) None of the funds made available in this Act may be used for—

(1) the creation of a human embryo or embryos for research purposes; or

(2) research in which a human embryo or embryos are destroyed, discarded, or knowingly subjected to risk of injury or death greater than that allowed for research on fetuses in utero under 45 CFR 46.204(b) and section 498(b) of the Public Health Service Act (42 U.S.C. 289g(b)).

(b) For purposes of this section, the term "human embryo or embryos" includes any organism, not protected as a human subject under 45 CFR 46 as of the date of the enactment of this Act, that is derived by fertilization, parthenogenesis, cloning, or any other means from one or more human gametes or human diploid cells.

SEC. 510. (a) None of the funds made available in this Act may be used for any activity that promotes the legalization of any drug or other substance included in schedule I of the schedules of controlled substances established under section 202 of the Controlled Substances Act except for normal and recognized executive-congressional communications.

(b) The limitation in subsection (a) shall not apply when there is significant medical evidence of a therapeutic advantage to the use of such drug or other substance or that federally sponsored clinical trials are being conducted to determine therapeutic advantage.

SEC. 511. None of the funds made available in this Act may be used to promulgate or adopt any final standard under section 1173(b) of the Social Security Act providing for, or providing for the assignment of, a unique health identifier for an individual (except in an individual's capacity as an employer or a health care provider), until legislation is enacted specifically approving the standard.

SEC. 512. None of the funds made available in this Act may be obligated or expended to enter into or renew a contract with an entity if—

- (1) such entity is otherwise a contractor with the United States and is subject to the requirement in 38 U.S.C. 4212(d) regarding submission of an annual report to the Secretary of Labor concerning employment of certain veterans; and
- (2) such entity has not submitted a report as required by that section for the most recent year for which such requirement was applicable to such entity.

SEC. 513. None of the funds made available by this Act to carry out the Library Services and Technology Act may be made available to any library covered by paragraph (1) of section 224(f) of such Act, as amended by the Children's Internet Protection Act, unless such library has made the certifications required by paragraph (4) of such section.

SEC. 514. None of the funds made available by this Act to carry out part D of title II of the Elementary and Secondary Education Act of 1965 may be made available to any elementary or secondary school covered by paragraph (1) of section 2441(a) of such Act, as amended by the Children's Internet Protection Act and the No Child Left Behind Act, unless the local educational agency with responsibility for such covered school has made the certifications required by paragraph (2) of such section.

#### (INCLUDING TRANSFERS OF FUNDS AND CANCELLATION)

SEC. 515. None of the funds appropriated in this Act shall be expended or obligated by the Commissioner of Social Security, for purposes of administering Social Security benefit payments under title II of the Social Security Act, to process any claim for credit for a quarter of coverage based on work performed under a social security account number that is not the claimant's number and the performance of such work under such number has formed the basis for a conviction of the claimant of a violation of section 208(a)(6) or (7) of the Social Security Act.

SEC. 516. TRANSFER OF OLDER AMERICAN COMMUNITY SERVICE EMPLOYMENT PROGRAM TO DEPARTMENT OF HEALTH AND HUMAN SERVICES.

(a) IN GENERAL.—Notwithstanding any other provision of law, the Older American Community Service Employment (OACSE) program under title V of the Older Americans Act of 1965 (42 U.S.C. 3056), and the authority to administer such program, shall be permanently transferred from the Secretary of Labor to the Secretary of Health and Human Services, acting through the Assistant Secretary for Aging.

(b) TRANSFER OF FUNCTIONS, ASSETS, AND LIABILITIES.—The functions, assets, and liabilities of the Secretary of Labor relating to the OACSE program shall be transferred to the Secretary of Health and Human Services.

(c) EFFECTIVE DATE OF TRANSFER.—The transfer under this section shall be effective no later than the last day of the second full fiscal quarter following the quarter in which this section is enacted.

SEC. 517. *Of the funds made available for performance bonus payments under section 2105(a)(3)(E) of the Social Security Act, \$3,779,000,000 shall be permanently cancelled as of January 20, 2014.*

**SEC. 518. WORKFORCE INNOVATION FUND.**

(a) *From funds appropriated under this Act for the Workforce Innovation Fund—*

(1) *amounts shall be available to support innovative new strategies and activities, or the replication and expansion of effective evidence-based strategies and activities, that are designed to align programs and strengthen the workforce development system in a State or region, in order to substantially improve education and employment outcomes for adults and youth served by such system, cost effectiveness, and the services provided to employers under such system; and*

(2) *amounts shall be available for awards to States or State agencies that are eligible for assistance under any program authorized under the Workforce Investment Act; consortia of States; or partnerships, including regional partnerships, which may include workforce investment boards, public agencies, or other entities, pursuant to criteria established by the Secretary of Labor.*

(b) *Amounts appropriated for the Workforce Innovation Fund shall be administered by the Secretary of Labor in consultation with the Secretary of Education and other heads of departments and agencies, as appropriate.*

(c) *Funds obligated for Workforce Innovation Fund projects may remain available until expended for disbursement, notwithstanding 31 U.S.C. 1552(a).*

(d)(1) *In the case of any innovation or replication project which, in the judgment of the Secretary of Labor and the Secretary of Education, is likely to substantially improve the education and employment outcomes for adults and youth served by such system and the services provided to employers under such system and requires waiver of statutory or regulatory requirements to achieve those improvements, the Secretary of Labor, with respect to title I of the Workforce Investment Act of 1998 and the Wagner-Peyser Act, and the Secretary of Education, with respect to title II of the Workforce Investment Act of 1998 and title I of the Rehabilitation Act of 1973—*

(A) *may waive compliance with statutory or regulatory requirements under such Acts to the extent and for the period the respective Secretary determines necessary to carry out such project;*

(B) *may not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and substate levels.*

(2) *Waivers may only be provided to projects which include—*

(A) *a plan, approved by the relevant Secretary, to effectively evaluate the impact of the strategies being tested on outcomes for program participants, including target populations identified by the Secretaries;*

(B) *a strong accountability system, including performance measures which show outcomes for program participants and demonstrate that vulnerable populations, including individuals with disabilities, are being appropriately served by the workforce system; and*

(C) *other required elements, as established by the Secretaries in regulation or grant solicitation.*

(3) *Prior to granting a waiver, the Secretaries of Education and Labor will provide at least 60 days written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.*

**SEC. 519. PERFORMANCE PARTNERSHIP PILOTS**

(a) **DEFINITIONS** *In this section —*

(1) *"Performance Partnership Pilot" (or "Pilot") is a project that seeks to identify, through a demonstration, cost-effective strategies for providing services at the state, regional, or local level that—*

(A) *involve two or more Federal programs (administered by one or more Federal agencies)—*

(i) *which have related policy goals, and*

(ii) *at least one of which is administered (in whole or in part) by a state, local, or tribal government; and*

(B) *achieve better results for regions, communities, or specific at risk populations through making better use of the budgetary resources that are available for supporting such programs.*

(2) *"To improve outcomes for disconnected youth" means to increase the rate at which individuals between the ages of 14 and 24 (who are homeless, in foster care, involved in the juvenile justice system, or are*

*neither employed nor enrolled in an educational institution) achieve success in meeting educational, employment or other key goals.*

(3) *The "lead Federal administering agency" is the Federal agency, to be designated by the Director of the Office of Management and Budget (from among the participating Federal agencies that have statutory responsibility for the Federal discretionary funds that will be used in a Performance Partnership Pilot) that will enter into and administer the particular Performance Partnership Agreement on behalf of that agency and the other participating Federal agencies.*

(b) **USE OF DISCRETIONARY FUNDS IN FISCAL YEAR 2014 APPROPRIATIONS ACT.** *Federal agencies may use Federal discretionary funds, that are made available in this act or any other appropriations act providing funds for Fiscal Year 2014 and corresponding authority to enter into Performance Partnership Pilots, to carry out up to a total of 13 Performance Partnership Pilots involving up to a total of \$130,000,000 in aggregate Federal discretionary budget authority. Such Pilots shall:*

(1) *be designed to improve outcomes for disconnected youth, and*

(2) *involve Federal programs targeted on disconnected youth, or designed to prevent youth from disconnecting from school or work, that provide education, training and employment, and other related social services; and*

(c) **PERFORMANCE PARTNERSHIP AGREEMENTS.** *Federal agencies may use Federal discretionary funds, as authorized in subsection (b), to participate in a Performance Partnership Pilot only in accordance with the terms of a Performance Partnership Agreement that—*

(1) *is entered into between—*

(A) *the head of the lead Federal administering agency, on behalf of all of the participating Federal agencies (subject to the head of the lead Federal administering agency having received from the heads of each of the other participating agencies their written concurrence for entering into the Agreement), and*

(B) *the respective representatives of all of the state, local or tribal governments that are participating in the Agreement; and*

(2) *specifies, at a minimum, the following information:*

(A) *the length of the Agreement (which shall not extend beyond September 30, 2018);*

(B) *the Federal programs and federally-funded services that are involved in the Pilot;*

(C) *the Federal discretionary funds that are being used in the Pilot (by the respective Federal account identifier, and the total amount from such account that is being used in the Pilot), and the period (or periods) of availability for obligation (by the Federal Government) of such funds;*

(D) *the non-Federal funds that are involved in the Pilot, by source (which can include private funds as well as governmental funds) and by amount;*

(E) *the state, local, or tribal programs that are involved in the Pilot;*

(F) *the populations to be served by the Pilot;*

(G) *the cost-effective Federal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;*

(H) *the cost-effective State, local or tribal oversight procedures that will be used for the purpose of maintaining the necessary level of accountability for the use of the Federal discretionary funds;*

(I) *the outcome (or outcomes) that the Pilot is designed to achieve;*

(J) *the appropriate, reliable, and objective outcome-measurement methodology that the Federal Government and the participating state, local, or tribal governments will use, in carrying out the Pilot, to determine whether the Pilot is achieving, and has achieved, the specified outcomes that the Pilot is designed to achieve; and*

(K) *in cases where, during the course of the Pilot, it is determined that the Pilot is not achieving the specified outcomes that it is designed to achieve,*

(i) *the consequences that will result from such deficiencies with respect to the Federal discretionary funds that are being used in the Pilot, and*

(ii) *the corrective actions that will be taken in order to increase the likelihood that the Pilot, upon completion, will have achieved such specified outcomes.*

(d) **AGENCY HEAD DETERMINATIONS.** *A Federal agency may participate in a Performance Partnership Pilot (including by providing Federal discretionary funds that have been appropriated to such agency) only upon the written determination by the head of such agency that the agency's participation in such Pilot—*



(1) will not result in denying or restricting the eligibility of any individual for any of the services that (in whole or in part) are funded by the agency's programs and Federal discretionary funds that are involved in the Pilot, and

(2) based on the best available information, will not otherwise adversely affect vulnerable populations that are the recipients of such services. In making this determination, the head of the agency may take into consideration the other Federal discretionary funds that will be used in the Pilot as well as any non-Federal funds (including from private sources as well as governmental sources) that will be used in the Pilot.

(e) **TRANSFER AUTHORITY.** For the purpose of carrying out the Pilot in accordance with the Performance Partnership Agreement, and subject to the written approval of the Director of the Office of Management and Budget, the head of each participating Federal agency may transfer Federal discretionary funds that are being used in the Pilot to an account of the lead Federal administering agency that includes Federal discretionary funds that are being used in the Pilot. Subject to the waiver authority under subsection (g), such transferred funds shall remain available for the same purposes for which such funds were originally appropriated: Provided, That such transferred funds shall remain available for obligation by the Federal Government until the expiration of those Federal discretionary funds (which are being used in the Pilot) that have the longest period of availability, except that any such transferred funds shall not remain available beyond September 30, 2018.

(f) **WAIVER AUTHORITY.** In connection with a Federal agency's participation in a Performance Partnership Pilot, and subject to the other provisions of this section (including subsection (e)), the head of the Federal agency to which the Federal discretionary funds were appropriated may waive (in whole or in part) the application, solely to such discretionary funds that are being used in the Pilot, of any statutory, regulatory, or administrative requirement that such agency head—

(1) is otherwise authorized to waive (in accordance with the terms and conditions of such other authority), and

(2) is not otherwise authorized to waive, provided that in such case the agency head, prior to granting the waiver, shall—

(A) not waive any requirement related to nondiscrimination, wage and labor standards, or allocation of funds to State and sub-state levels;

(B) issue a written determination with respect to such discretionary funds that the granting of such waiver for purposes of the Pilot—

(i) is consistent with both—

(I) the statutory purposes of the Federal program for which such discretionary funds were appropriated, and

(II) the other provisions of this section, including the written determination by the agency head issued under subsection (e);

(ii) is necessary to achieve the outcomes of the Pilot as specified in the Performance Partnership Agreement, and is no broader in scope than is necessary to achieve such outcomes; and

(iii) will result in either—

(I) realizing efficiencies by simplifying reporting burdens or reducing administrative barriers with respect to such discretionary funds, or

(II) increasing the ability of individuals to obtain access to services that are provided by such discretionary funds; and

(C) provide at least 60 days advance written notice to the Committees on Appropriations and other committees of jurisdiction in the House of Representatives and the Senate.

**SEC. 520. (a) IN GENERAL.** The Health Education Assistance Loan (HEAL) program under title VII, part A, subpart I of the Public Health Service Act (42 U.S.C. 292–292p), and the authority to administer such program, including servicing, collecting, and enforcing any loans that were made under such program that remain outstanding, shall be permanently transferred from the Secretary of Health and Human Services to the Secretary of Education no later than the end of the first fiscal quarter that begins after the date of enactment of this act.

(b) **TRANSFER OF FUNCTIONS, ASSETS, AND LIABILITIES.** The functions, assets, and liabilities of the Secretary of Health and Human Services relating to such program shall be transferred to the Secretary of Education.

(c) **INTERDEPARTMENTAL COORDINATION OF TRANSFER.** The Secretary of Health and Human Services and the Secretary of Education shall carry out the transfer of the HEAL program described in subsection (a), including the transfer of the functions, assets, and liabilities specified in subsection (b), in the manner that they determine is most appropriate.

(d) **USE OF AUTHORITIES UNDER HIGHER EDUCATION ACT OF 1965.** In servicing, collecting, and enforcing the loans described in subsection (a), the Secretary of Education shall have available any and all authorities available to such Secretary in servicing, collecting, or enforcing a loan made, insured, or guaranteed under part B of title IV of the Higher Education Act of 1965.

(e) **CONFORMING AMENDMENTS.** Effective as of the date on which the transfer of the HEAL program under subsection (a) takes effect, section 719 of the Public Health Service Act (42 U.S.C. 292o) is amended by adding at the end the following new paragraph: "(6) The term 'Secretary' means the Secretary of Education."



## DEPARTMENT OF STATE AND OTHER INTERNATIONAL PROGRAMS

The Department of State, the U.S. Agency for International Development (USAID), and other international programs advance the national security interests of the United States by helping to build and sustain a more democratic, secure, and prosperous world. Investing in civilian diplomacy and development fosters stability around the world, supports the goals of the President's Policy Directive on Global Development, reduces poverty, and promotes universal values, which in turn helps to protect our national security. International programs also support economic development and job creation in the U.S. by increasing trade and expanding access for U.S. businesses to international markets. The 2014 Budget for the Department of State and Other International Programs includes funding for the necessary base resources to maintain critical diplomatic and development efforts around the world as well as for Overseas Contingency Operations (OCO) resources, which sustain current and future extraordinary civilian efforts in the frontline states of Afghanistan, Pakistan, and Iraq. The costs associated with OCO are temporary in nature, will diminish as the missions are normalized, and will vary over time commensurate with the pace of civilian activity and the security environment in each country.

(B) not to exceed \$15,000, which shall be derived from reimbursements, surcharges and fees for use of Blair House facilities.  
(6) Transfer, reprogramming, and other matters.—

(A) Notwithstanding any provision of this Act, funds may be reprogrammed within and between subsections under this heading subject to section 7012 of this Act;

(B) Of the amount made available under this heading, not to exceed \$10,000,000 may be transferred to, and merged with, funds made available by this Act under the heading "Emergencies in the Diplomatic and Consular Service", to be available only for emergency evacuations and rewards, as authorized; and

(C) Funds appropriated under this heading are available for acquisition by exchange or purchase of passenger motor vehicles as authorized by law and, pursuant to 31 U.S.C. 1108(g), for the field examination of programs and activities in the United States funded from any account contained in this title.

(D) Of the amount made available under this heading, not to exceed \$1,000,000 may be transferred to, and merged with, funds made available by this Act under the heading Representation Allowances, to be available for official representation activities, as authorized.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### ADMINISTRATION OF FOREIGN AFFAIRS

#### Federal Funds

##### DIPLOMATIC AND CONSULAR PROGRAMS

##### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses of the Department of State and the Foreign Service not otherwise provided for, \$7,282,363,000, of which \$1,791,174,000 is for Worldwide Security Protection (to remain available until expended): Provided, That funds made available under this heading shall be allocated as follows:

(1) Human resources.—For necessary expenses for training, human resources management, and salaries, including employment without regard to civil service and classification laws of persons on a temporary basis (not to exceed \$700,000), as authorized by section 801 of the United States Information and Educational Exchange Act of 1948, \$2,585,999,000, to remain available until September 30, 2015, of which not less than \$131,713,000 shall be available only for public diplomacy American salaries, and up to \$255,866,000 is for Worldwide Security Protection and shall remain available until expended.

(2) Overseas programs.—For necessary expenses for the regional bureaus of the Department of State and overseas activities as authorized by law, \$2,243,836,000, to remain available until September 30, 2015, of which not less than \$369,589,000 shall be available only for public diplomacy international information programs.

(3) Diplomatic policy and support.—For necessary expenses for the functional bureaus of the Department of State including representation to certain international organizations in which the United States participates pursuant to treaties ratified pursuant to the advice and consent of the Senate or specific Acts of Congress, general administration, and arms control, nonproliferation and disarmament activities as authorized, \$813,005,000, to remain available until September 30, 2015.

(4) Security programs.—For necessary expenses for security activities, \$1,639,523,000, to remain available until September 30, 2015, of which \$1,535,308,000 is for Worldwide Security Protection and shall remain available until expended.

(5) Fees and payments collected.—In addition to amounts otherwise made available under this heading—

(A) as authorized by section 810 of the United States Information and Educational Exchange Act, not to exceed \$5,000,000, to remain available until expended, may be credited to this appropriation from fees or other payments received from English teaching, library, motion pictures, and publication programs and from fees from educational advising and counseling and exchange visitor programs; and

#### Program and Financing (in millions of dollars)

| Identification code 19–0113–0–1–153                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Executive direction and policy formulation .....             | 974         | 1,315   | 1,375     |
| 0002 Conduct of diplomatic relations .....                        | 1,909       | 2,631   | 2,315     |
| 0003 Conduct of public diplomacy .....                            | 583         | 608     | 572       |
| 0005 Conduct of consular relations .....                          | 141         | 190     | 213       |
| 0006 Professional development and training .....                  | 320         | 432     | 335       |
| 0007 Information management .....                                 | 1,458       | 1,506   | 1,373     |
| 0008 Security .....   | 1,634       | 2,206   | 1,361     |
| 0009 Medical .....  | 78          | 102     | 90        |
| 0010 Administration and staff activities .....                    | 1,753       | 2,021   | 1,273     |
| 0011 Iraq Operations .....  | 209         | 1,641   | 306       |
| 0799 Total direct obligations .....                               | 9,059       | 12,652  | 9,213     |
| 0801 Reimbursable program .....                                   | 5,332       | 5,792   | 6,026     |
| 0900 Total new obligations .....                                  | 14,391      | 18,444  | 15,239    |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 2,574       | 4,421   | 2,775     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 2,550       | 4,414   | .....     |
| 1010 Unobligated balance transfer to other accts [70–0100] .....  | –3          | .....   | .....     |
| 1010 Unobligated balance transfer to other accts [70–0530] .....  | –1          | .....   | .....     |
| 1010 Unobligated balance transfer to other accts [70–0540] .....  | –3          | .....   | .....     |
| 1010 Unobligated balance transfer to other accts [19–0535] .....  | –147        | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [19–0523] .... | 31          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 292         | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 2,743       | 4,421   | 2,775     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 6,551       | 6,591   | 7,282     |
| 1100 Appropriation - OCO .....                                    | 4,389       | 4,389   | .....     |
| 1120 Appropriations transferred to other accts [19–0535] .....    | –173        | .....   | .....     |
| 1120 Appropriations transferred to other accts [19–0113] .....    | –1,380      | .....   | .....     |
| 1120 Appropriations transferred to other accts [19–0545] .....    | –1          | .....   | .....     |
| 1120 Appropriations transferred to other accts [19–0523] .....    | –1          | .....   | .....     |
| 1120 Appropriations transferred to other accts [19–0520] .....    | –1          | .....   | .....     |
| 1120 Appropriations transferred to other accts [19–0121] .....    | –22         | .....   | .....     |
| 1121 Appropriations transferred from other accts [19–0113] ....   | 1,380       | .....   | .....     |
| 1130 Appropriations permanently reduced .....                     | –14         | –14     | .....     |
| 1160 Appropriation, discretionary (total) .....                   | 10,728      | 10,966  | 7,282     |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 45          | 40      | 41        |
| 1260 Appropriations, mandatory (total) .....                      | 45          | 40      | 41        |
| Spending authority from offsetting collections, discretionary:    |             |         |           |
| 1700 Collected .....  | 5,332       | 5,792   | 6,061     |
| 1701 Change in uncollected payments, Federal sources .....        | 46          | .....   | .....     |

DIPLOMATIC AND CONSULAR PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 19–0113–0–1–153                     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 1750  | Spending auth from offsetting collections, disc (total) .....   | 5,378       | 5,792   | 6,061     |
| 1900  | Budget authority (total) .....                                  | 16,151      | 16,798  | 13,384    |
| 1930  | Total budgetary resources available .....                       | 18,894      | 21,219  | 16,159    |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 1940  | Unobligated balance expiring .....                              | –82         | .....   | .....     |
| 1941  | Unexpired unobligated balance, end of year .....                | 4,421       | 2,775   | 920       |
| <b>Change in obligated balance:</b>                     |   |             |         |           |
| Unpaid obligations:                                     |   |             |         |           |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....                | 5,439       | 6,109   | 8,977     |
| 3010  | Obligations incurred, unexpired accounts .....                  | 14,391      | 18,444  | 15,239    |
| 3011  | Obligations incurred, expired accounts .....                    | 68          | .....   | .....     |
| 3020  | Outlays (gross) .....   | –13,324     | –15,576 | –14,466   |
| 3040  | Recoveries of prior year unpaid obligations, unexpired .....    | –292        | .....   | .....     |
| 3041  | Recoveries of prior year unpaid obligations, expired .....      | –173        | .....   | .....     |
| 3050  | Unpaid obligations, end of year .....                           | 6,109       | 8,977   | 9,750     |
| Uncollected payments:                                   |   |             |         |           |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –173        | –199    | –199      |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....       | –46         | .....   | .....     |
| 3071  | Change in uncollected pymts, Fed sources, expired .....         | 20          | .....   | .....     |
| 3090  | Uncollected pymts, Fed sources, end of year .....               | –199        | –199    | –199      |
| Memorandum (non-add) entries:                           |   |             |         |           |
| 3100  | Obligated balance, start of year .....                          | 5,266       | 5,910   | 8,778     |
| 3200  | Obligated balance, end of year .....                            | 5,910       | 8,778   | 9,551     |
| <b>Budget authority and outlays, net:</b>               |   |             |         |           |
| Discretionary:  |   |             |         |           |
| 4000  | Budget authority, gross .....                                   | 16,106      | 16,758  | 13,343    |
| Outlays, gross:   |   |             |         |           |
| 4010  | Outlays from new discretionary authority .....                  | 9,090       | 8,178   | 6,415     |
| 4011  | Outlays from discretionary balances .....                       | 4,196       | 7,362   | 8,006     |
| 4020  | Outlays, gross (total) .....                                    | 13,286      | 15,540  | 14,421    |
| Offsets against gross budget authority and outlays:     |   |             |         |           |
| Offsetting collections (collected) from:                |   |             |         |           |
| 4030  | Federal sources .....   | –2,842      | –3,177  | –3,237    |
| 4033  | Non-Federal sources .....                                       | –2,505      | –2,615  | –2,824    |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –5,347      | –5,792  | –6,061    |
| Additional offsets against gross budget authority only: |   |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | –46         | .....   | .....     |
| 4052  | Offsetting collections credited to expired accounts .....       | 15          | .....   | .....     |
| 4060  | Additional offsets against budget authority only (total) .....  | –31         | .....   | .....     |
| 4070  | Budget authority, net (discretionary) .....                     | 10,728      | 10,966  | 7,282     |
| 4080  | Outlays, net (discretionary) .....                              | 7,939       | 9,748   | 8,360     |
| Mandatory:  |   |             |         |           |
| 4090  | Budget authority, gross .....                                   | 45          | 40      | 41        |
| Outlays, gross:   |   |             |         |           |
| 4100  | Outlays from new mandatory authority .....                      | 30          | 20      | 21        |
| 4101  | Outlays from mandatory balances .....                           | 8           | 16      | 24        |
| 4110  | Outlays, gross (total) .....                                    | 38          | 36      | 45        |
| 4180  | Budget authority, net (total) .....                             | 10,773      | 11,006  | 7,323     |
| 4190  | Outlays, net (total) .....                                      | 7,977       | 9,784   | 8,405     |

## Summary of Budget Authority and Outlays (in millions of dollars)

|                                  |  | 2012 actual | 2013 CR | 2014 est. |
|----------------------------------|--|-------------|---------|-----------|
| Enacted/requested:               |  |             |         |           |
| Budget Authority .....           |  | 10,773      | 11,006  | 7,323     |
| Outlays .....                    |  | 7,977       | 9,784   | 8,405     |
| Overseas contingency operations: |  |             |         |           |
| Budget Authority .....           |  | .....       | .....   | 1,199     |
| Outlays .....                    |  | .....       | .....   | 421       |
| Total:                           |  |             |         |           |
| Budget Authority .....           |  | 10,773      | 11,006  | 8,522     |
| Outlays .....                    |  | 7,977       | 9,784   | 8,826     |

Diplomatic and Consular Programs are financed by this appropriation, fees for services, and reimbursements from other agencies (including for administrative and other services provided by the Department of State). As in previous years, two-year funding is requested for this account, except for funds requested for Worldwide Security Protection (WSP), which is to remain available until expended. This account is the Department of

State's primary operating account and funds a broad range of activities from policy setting, planning and design, to implementation and operations and maintenance. The 2014 request includes base funding for the State Department operations in Iraq, Afghanistan, and Pakistan that are supported by the Diplomatic and Consular Programs (D&CP) account. The balance of the funding requested for operations in Iraq, Afghanistan, and Pakistan is included in the Overseas Contingency Operations (OCO) account request for the D&CP account.

Funds are requested in the following categories:

**Human Resources.**—This activity supports American salaries at overseas and domestic United States diplomatic missions, including Department of State employees carrying out security protection activities. The professional development and training activity is a continuous process by which the Department ensures that its professionals have the skills, experience and judgment to fulfill its functions at all levels. Training programs are designed to provide employees with the specific functional area and language skills needed for the conduct of foreign relations in the Department and abroad. This activity also supports the management, recruitment, and performance evaluation of Foreign and Civil Service employees (including efforts to attract a diverse applicant pool) and locally employed staff.

**Overseas Programs.**—This activity provides funding for the operational programs of all the regional bureaus of the Department of State, which are responsible for managing United States foreign policy through bilateral and multilateral relationships. Funds made available for 2014 will support 274 United States embassies, consulates, and other diplomatic posts worldwide. Resources for this activity are used to provide for: the political and economic reporting and analysis of interests to the United States; the representation of U.S. diplomatic and national interests to countries abroad; and the bilateral and multilateral negotiation of U.S. foreign policy objectives, including the hosting of and participation in various international conferences, meetings and other multilateral activities in the United States and abroad. Resources in this appropriation support the conduct of international informational programs of the United States. The resources in this activity are used to define, explain and advocate U.S. policies abroad and to seek to increase knowledge and understanding among foreign audiences of U.S. society and its values. This activity also encompasses medical programs for the Department of State, the Foreign Service and other U.S. Government departments and agencies overseas. Centralized funding for travel and transportation of effects associated with the assignment, transfer, home leave and separation of the Department's personnel and dependents is also included in this activity.

**Diplomatic Policy and Support.**—This activity supports the operational programs of the functional bureaus of the Department of State, which includes providing overall policy direction, coordination, and program management among United States missions abroad in pursuit of regional and global foreign policy objectives, including the hosting of various international conferences and meetings in the United States and abroad. Resources also fund the management of U.S. participation in arms control, nonproliferation, and disarmament negotiations and other verification and compliance activities, in addition to funds otherwise available for such purposes. This activity, supported by fees for consular services, also encompasses overseas American citizen services; the issuance of passports to U.S. citizens both here and abroad; visa adjudication and prevention and detection of visa fraud; and implementation of a coordinated strategy to improve consular systems and processes in support of U.S.

border security, including sharing data with the Department of Homeland Security, the Department of Justice, the Intelligence Community, the Treasury Department, and the law enforcement community. The information management activity in D&CP includes resources that are used for the effective and efficient creation, collection, processing, transmission, dissemination, use, storage, and disposition of information required for the formulation and execution of foreign policy and for the conduct of daily business. Its requirements are driven by the informational needs of the President, the Secretary of State, the Department and its 274 missions, and other Government agencies overseas. Components of the information management activity include: telecommunications; classified information handling; unclassified data and word processing; pouch, mail, and publishing services; administration of an electronic and archival records management program; document classification and declassification; information security; information technology capital planning; and provision of information management services. Administration and staff activities are also included in this area. These activities include domestic and overseas administrative services directly related to Department programs, such as:

—The direction and control of administration and management operations, and reviewing and setting resource levels and priorities for various programs and bureaus financed by this appropriation.

—The budgeting, financial planning, and fiscal operations for bureaus and offices financed by this appropriation.

—The contracting and procurement of services and supplies, maintenance and repair of equipment and property (including the operation and routine maintenance of property directly leased or owned by the Department), vehicle operation, and shipping and customs services.

—Rental payments to the General Services Administration for domestic space occupied by the Department.

**Security Programs.**—This activity provides for the operation of security programs, including for Worldwide Security Protection (WSP) and the Bureau of Diplomatic Security, to protect diplomatic personnel, overseas diplomatic missions, residences, domestic facilities and information. The salaries paid to Department employees who carry out the security protection function worldwide are included in the Human Resources program activity. This activity identifies resources that are used in meeting security and counterterrorism responsibilities, both foreign and domestic. Programs covered in this activity include but are not limited to: security operations; engineering services, which are related to the technical defense of U.S. Government personnel and establishments abroad against electronic and physical attack; homeland security related activities; protection of Department personnel and foreign dignitaries; and physical security operations.

#### Object Classification (in millions of dollars)

| Identification code 19–0113–0–1–153                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 2,381       | 2,632   | 2,658     |
| 11.3 Other than full-time permanent .....                       | 139         | 140     | 155       |
| 11.5 Other personnel compensation .....                         | 186         | 187     | 240       |
| 11.8 Special personal services payments .....                   | 5           | 5       | 5         |
| 11.9 Total personnel compensation .....                         | 2,711       | 2,964   | 3,058     |
| 12.1 Civilian personnel benefits .....                          | 948         | 962     | 989       |
| 13.0 Benefits for former personnel .....                        | 7           | 10      | 11        |
| 21.0 Travel and transportation of persons .....                 | 235         | 350     | 176       |
| 22.0 Transportation of things .....                             | 156         | 232     | 175       |
| 23.1 Rental payments to GSA .....                               | 131         | 196     | 194       |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 359         | 535     | 332       |

|   |        |        |        |
|---|--------|--------|--------|
| 24.0 Printing and reproduction .....  | 21     | 31     | 19     |
| 25.1 Advisory and assistance services .....                                 | 42     | 63     | 69     |
| 25.2 Other services from non-Federal sources .....                          | 2,070  | 3,203  | 1,153  |
| 25.3 Other goods and services from Federal sources .....                    | 127    | 641    | 382    |
| 25.3 Purchases of goods and services from Government accounts (ICASS) ..... | 1,213  | 1,863  | 1,456  |
| 25.4 Operation and maintenance of facilities .....                          | 68     | 165    | 109    |
| 25.6 Medical care .....   | 5      | 8      | 9      |
| 25.7 Operation and maintenance of equipment .....                           | 8      | 12     | 13     |
| 26.0 Supplies and materials .....   | 190    | 275    | 215    |
| 31.0 Equipment .....  | 676    | 1,008  | 735    |
| 41.0 Grants, subsidies, and contributions .....                             | 90     | 132    | 116    |
| 42.0 Insurance claims and indemnities .....                                 | 2      | 2      | 2      |
| 99.0 Direct obligations .....   | 9,059  | 12,652 | 9,213  |
| 99.0 Reimbursable obligations .....   | 5,332  | 5,792  | 6,026  |
| 99.9 Total new obligations .....  | 14,391 | 18,444 | 15,239 |

#### Employment Summary

| Identification code 19–0113–0–1–153                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 19,181      | 19,302  | 19,328    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 4,044       | 4,044   | 4,105     |

#### DIPLOMATIC AND CONSULAR PROGRAMS

##### (Overseas contingency operations)

##### (INCLUDING TRANSFER OF FUNDS)

*For an additional amount for "Diplomatic and Consular Programs", \$1,199,491,000, to remain available until September 30, 2015; of which \$390,961,000, to remain available until expended, is for Worldwide Security Protection: Provided, That the Secretary of State may transfer up to \$100,000,000 of the total funds made available under this heading to any other appropriation of any department or agency of the United States, upon the concurrence of the head of such department or agency, to support operations in and assistance for Afghanistan and to carry out the provisions of the Foreign Assistance Act of 1961: Provided further, That any such transfer shall be treated as a reprogramming of funds under section 7012 (a) and (b) of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section: Provided further, That such amount is designated by the Congress for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 19–0113–8–1–153                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0002 Conduct of diplomatic relations .....            |             |         | 411       |
| 0003 Conduct of public diplomacy .....                |             |         | 27        |
| 0006 Professional development and training .....      |             |         | 50        |
| 0007 Information management .....                     |             |         | 7         |
| 0008 Security .....                                   |             |         | 350       |
| 0011 Iraq Operations .....                            |             |         | 425       |
| 0799 Total direct obligations .....                   |             |         | 1,270     |
| 0801 Reimbursable program activity .....              |             |         | 491       |
| 0900 Total new obligations .....                      |             |         | 1,761     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              |             |         | 1,199     |
| 1160 Appropriation, discretionary (total) .....       |             |         | 1,199     |
| 1930 Total budgetary resources available .....        |             |         | 1,199     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | –562      |

DIPLOMATIC AND CONSULAR PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 19–0113–8–1–153                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 1,761     |
| 3020 Outlays (gross) .....                          |             |         | –421      |
| 3050 Unpaid obligations, end of year .....          |             |         | 1,340     |
| Memorandum (non-add) entries:                       |             |         |           |
| 3200 Obligated balance, end of year .....           |             |         | 1,340     |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  |             |         | 1,199     |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | 421       |
| 4180 Budget authority, net (total) .....            |             |         | 1,199     |
| 4190 Outlays, net (total) .....                     |             |         | 421       |

The Overseas Contingency Operations funding requested for Diplomatic and Consular Programs (D&CP) will address the extraordinary and temporary costs associated with deploying, securing and supplying the Department's civilian presence in Iraq, Afghanistan, and Pakistan. The request for Iraq supports the diplomatic presence in Baghdad and the provinces, including the full-year costs for security and logistical support. The embassy and consulates play a vital role in building relationships with the Iraqi people, managing ongoing programs and mitigating potential conflict. The requests for Afghanistan and Pakistan support implementation of a comprehensive diplomatic and development strategy to defeat Al Qaida and support the Afghan people. D&CP funding for both countries enables a civilian presence, including diplomats, development specialists, and civilian expertise from across the U.S. Government, along with critical security and logistical support. In all locations, these temporary funds are decreasing as the civilian presence becomes more normalized.

## Object Classification (in millions of dollars)

| Identification code 19–0113–8–1–153                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 21.0 Travel and transportation of persons .....                 |             |         | 17        |
| 22.0 Transportation of things .....                             |             |         | 15        |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             |         | 3         |
| 24.0 Printing and reproduction .....                            |             |         | 2         |
| 25.3 Purchase of goods and svcs from govt (ICASS) .....         |             |         | 815       |
| 25.3 Purchase of goods and svcs from govt (ICASS) .....         |             |         | 276       |
| 26.0 Supplies and materials .....                               |             |         | 16        |
| 31.0 Equipment .....  |             |         | 99        |
| 41.0 Grants, subsidies, and contributions .....                 |             |         | 27        |
| 99.0 Direct obligations .....                                   |             |         | 1,270     |
| 99.0 Reimbursable obligations .....                             |             |         | 491       |
| 99.9 Total new obligations .....                                |             |         | 1,761     |

## INTERNATIONAL INFORMATION PROGRAMS

## Program and Financing (in millions of dollars)

| Identification code 19–0201–0–1–154                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

The appropriation for overseas information and cultural programs previously provided to the U.S. Information Agency and

designed to inform and influence foreign audiences has been administered by the Department of State and funded from the Diplomatic and Consular programs and other accounts within the Department of State since 2000, except those activities as are associated with international broadcasting functions which are funded from the Broadcasting Board of Governors account. This schedule reflects the spend-out of prior year funds.

## CONFLICT STABILIZATION OPERATIONS

*For necessary expenses to support, maintain, mobilize, and deploy a civilian response corps and for related reconstruction and stabilization assistance and contributions to prevent or respond to conflict or civil strife in foreign countries or regions, or to enable transition from such strife, \$45,207,000, to remain available until expended: Provided, That funds made available under this heading may be made available to provide administrative expenses for the coordination of reconstruction and stabilization activities: Provided further, That the Secretary of State may transfer and merge funds made available under any other heading in Titles I, II, III and IV of this Act with funds made available under this heading to maintain and deploy a Civilian Response Corps and to provide reconstruction and stabilization assistance: Provided further, That the Secretary may appoint, on a time-limited basis solely to carry out reconstruction and stabilization activities, employees without regard to the provisions of title 5 governing appointment in the competitive service and may fix the basic compensation of such employees without regard to chapter 51 and subchapter III of chapter 53 of title 5.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 19–0121–0–1–153                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 60          | 25      | 45        |
| 0100 Direct program activities, subtotal .....                     | 60          | 25      | 45        |
| 0801 Reimbursable program activity .....                           | 1           | 1       | 1         |
| 0809 Reimbursable program activities, subtotal .....               | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 61          | 26      | 46        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 29          | 17      |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 15          |         |           |
| 1050 Unobligated balance (total) .....                             | 44          | 17      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   |             |         | 45        |
| 1100 Appropriation - OCO .....                                     | 9           | 8       |           |
| 1121 Appropriations transferred from other accts [19–0113] ....    | 22          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 31          | 8       | 45        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 34          | 9       | 46        |
| 1930 Total budgetary resources available .....                     | 78          | 26      | 46        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 17          |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 34          | 27      | 21        |
| 3010 Obligations incurred, unexpired accounts .....                | 61          | 26      | 46        |
| 3020 Outlays (gross) .....   | –53         | –32     | –60       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –15         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 27          | 21      | 7         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 34          | 27      | 21        |

|   |  |    |    |    |
|---|--|----|----|----|
| 3200  | Obligated balance, end of year .....           | 27 | 21 | 7  |
| <b>Budget authority and outlays, net:</b>           |  |    |    |    |
| Discretionary:                                      |  |    |    |    |
| 4000  | Budget authority, gross .....                  | 34 | 9  | 46 |
| Outlays, gross:                                     |  |    |    |    |
| 4010  | Outlays from new discretionary authority ..... | 34 | 7  | 37 |
| 4011  | Outlays from discretionary balances .....      | 19 | 25 | 23 |
| 4020  | Outlays, gross (total) .....                   | 53 | 32 | 60 |
| Offsets against gross budget authority and outlays: |  |    |    |    |
| Offsetting collections (collected) from:            |  |    |    |    |
| 4030  | Federal sources .....                          | -3 | -1 | -1 |
| 4180  | Budget authority, net (total) .....            | 31 | 8  | 45 |
| 4190  | Outlays, net (total) .....                     | 50 | 31 | 59 |

The appropriation provides authorization and appropriations for supporting an interagency Civilian Response Corps (CRC) and related reconstruction and stabilization activities. Conflict Stabilization Operations (CSO) applies technical expertise and innovative approaches to prevent conflict, break cycles of violence, harness beneficial drivers of change, and stabilize post-conflict countries and regions. CSO collaborates with U.S. embassies, U.S. interagency partners, local and international organizations, and host nations to develop local solutions to conflict. This appropriation provides funding for personnel and operating expenses to support conflict analysis and strategy, interagency planning, and deployment of expeditionary CRC teams.

**Object Classification** (in millions of dollars)

| Identification code 19-0121-0-1-153                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 11          | 11      | 11        |
| 12.1 Civilian personnel benefits .....                          | 3           | 4       | 7         |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 3       | 4         |
| 25.2 Other services from non-Federal sources .....              | 38          | 3       | 19        |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 58          | 25      | 45        |
| 99.0 Reimbursable obligations .....                             | 3           | 1       | 1         |
| 99.9 Total new obligations .....                                | 61          | 26      | 46        |

**Employment Summary**

| Identification code 19-0121-0-1-153                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 124         | 124     | 124       |

**CAPITAL INVESTMENT FUND**

*For necessary expenses of the Capital Investment Fund, \$76,900,000, to remain available until expended, as authorized: Provided, That section 135(e) of Public Law 103-236 shall not apply to funds available under this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19-0120-0-1-153                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Direct Obligations .....                          | 64          | 64      | 77        |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 6           | 5       | 1         |
| 1021 Recoveries of prior year unpaid obligations ..... | 4           |         |           |
| 1050 Unobligated balance (total) .....                 | 10          | 5       | 1         |

|   |    |    |    |
|---|----|----|----|
| <b>Budget authority:</b>                              |    |    |    |
| Appropriations, discretionary:                        |    |    |    |
| 1100 Appropriation .....                              | 59 | 60 | 77 |
| 1160 Appropriation, discretionary (total) .....       | 59 | 60 | 77 |
| 1930 Total budgetary resources available .....        | 69 | 65 | 78 |
| <b>Memorandum (non-add) entries:</b>                  |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 5  | 1  | 1  |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 83  | 59  | 52  |
| 3010 Obligations incurred, unexpired accounts .....               | 64  | 64  | 77  |
| 3020 Outlays (gross) .....  | -83 | -71 | -67 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -4  |     |     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 59  | 52  | 62  |
| <b>Memorandum (non-add) entries:</b>                              |     |     |     |
| 3100 Obligated balance, start of year .....                       | 83  | 59  | 52  |
| 3200 Obligated balance, end of year .....                         | 59  | 52  | 62  |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 59 | 60 | 77 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 31 | 30 | 39 |
| 4011 Outlays from discretionary balances .....      | 52 | 41 | 28 |
| 4020 Outlays, gross (total) .....                   | 83 | 71 | 67 |
| 4180 Budget authority, net (total) .....            | 59 | 60 | 77 |
| 4190 Outlays, net (total) .....                     | 83 | 71 | 67 |

The Capital Investment Fund provides for the procurement of information technology and other related capital investments for the Department of State and is designed to ensure the efficient management, coordination, operation, and utilization of such resources. The fund is used to acquire and maintain information technology and other related capital investments necessary to improve operational performance in a continually evolving technological environment.

**Object Classification** (in millions of dollars)

| Identification code 19-0120-0-1-153                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 44          | 44      | 46        |
| 31.0 Equipment .....                               | 20          | 20      | 31        |
| 99.9 Total new obligations .....                   | 64          | 64      | 77        |

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General, \$69,406,000, notwithstanding section 209(a)(1) of the Foreign Service Act of 1980 (Public Law 96-465), as it relates to post inspections.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19-0529-0-1-153   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                     |             |         |           |
| 0002 Inspections and audits .....   | 46          | 47      | 47        |
| 0003 Administration and staff activities .....                              | 16          | 16      | 22        |
| 0004 Policy Formulation .....   | 3           | 3       | 3         |
| 0005 Special Inspector General for Afghanistan Reconstruction (SIGAR) ..... | 44          | 44      |           |
| 0006 Special Inspector General for Iraq Reconstruction (SIGIR/MERO) .....   | 20          | 19      |           |
| 0799 Total direct obligations .....   | 129         | 129     | 72        |
| 0801 Reimbursable program activity .....                                    | 3           | 2       | 2         |
| 0900 Total new obligations .....  | 132         | 131     | 74        |

OFFICE OF THE INSPECTOR GENERAL—Continued  
Program and Financing—Continued

| Identification code 19–0529–0–1–153                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 8           | 8       | 8         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 129         | 62      | 69        |
| 1100 Appropriation - OCO .....                                     | .....       | 67      | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 129         | 129     | 69        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | 2       | 2         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           | 2       | 2         |
| 1900 Budget authority (total) .....                                | 132         | 131     | 71        |
| 1930 Total budgetary resources available .....                     | 140         | 139     | 79        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 8           | 8       | 5         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 49          | 65      | 38        |
| 3010 Obligations incurred, unexpired accounts .....                | 132         | 131     | 74        |
| 3011 Obligations incurred, expired accounts .....                  | 1           | .....   | .....     |
| 3020 Outlays (gross) .....   | -114        | -158    | -101      |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 65          | 38      | 11        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 49          | 65      | 38        |
| 3200 Obligated balance, end of year .....                          | 65          | 38      | 11        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 132         | 131     | 71        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 76          | 103     | 54        |
| 4011 Outlays from discretionary balances .....                     | 38          | 55      | 47        |
| 4020 Outlays, gross (total) .....                                  | 114         | 158     | 101       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -3          | -2      | -2        |
| 4180 Budget authority, net (total) .....                           | 129         | 129     | 69        |
| 4190 Outlays, net (total) .....                                    | 111         | 156     | 99        |

## Summary of Budget Authority and Outlays (in millions of dollars)

|                                  | 2012 actual | 2013 CR | 2014 est. |
|----------------------------------|-------------|---------|-----------|
| Enacted/requested:               |             |         |           |
| Budget Authority .....           | 129         | 129     | 69        |
| Outlays .....                    | 111         | 156     | 99        |
| Overseas contingency operations: |             |         |           |
| Budget Authority .....           | .....       | .....   | 50        |
| Outlays .....                    | .....       | .....   | 40        |
| Total:                           |             |         |           |
| Budget Authority .....           | 129         | 129     | 119       |
| Outlays .....                    | 111         | 156     | 139       |

This appropriation provides for the conduct or supervision of all audits, investigations, and inspections of the Department's programs and operations as mandated by the Inspector General Act of 1978, as amended, and the Foreign Service Act of 1980, as amended. The objectives of the Office of the Inspector General are to: improve the economy, efficiency, and effectiveness of the Department's operations; detect and prevent fraud, waste, abuse, and mismanagement; and evaluate independently the formulation, applicability, and implementation of security standards at all U.S. diplomatic and consular posts. The Office also assesses the implementation of U.S. foreign policy, primarily through its inspection of all overseas posts and domestic offices on a cyclical basis. The State Department's Inspector General also serves as Inspector General of the Broadcasting Board of Governors, as mandated by law.

## Object Classification (in millions of dollars)

| Identification code 19–0529–0–1–153                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 88          | 87      | 51        |
| 11.5 Other personnel compensation .....                         | .....       | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 88          | 88      | 52        |
| 12.1 Civilian personnel benefits .....                          | 12          | 12      | 8         |
| 21.0 Travel and transportation of persons .....                 | 8           | 8       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 8           | 8       | 2         |
| 25.2 Other services from non-Federal sources .....              | 13          | 13      | 3         |
| 99.0 Direct obligations .....                                   | 129         | 129     | 72        |
| 99.0 Reimbursable obligations .....                             | 3           | 2       | 2         |
| 99.9 Total new obligations .....                                | 132         | 131     | 74        |

## Employment Summary

| Identification code 19–0529–0–1–153                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 298         | 298     | 148       |

OFFICE OF INSPECTOR GENERAL  
(Overseas contingency operations)

*For an additional amount for "Office of Inspector General", \$49,650,000, to remain available until September 30, 2015, for the Special Inspector General for Afghanistan Reconstruction for reconstruction oversight: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 19–0529–8–1–153   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                     |             |         |           |
| 0005 Special Inspector General for Afghanistan Reconstruction (SIGAR) ..... | .....       | .....   | 50        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | .....       | .....   | 50        |
| 1160 Appropriation, discretionary (total) .....                             | .....       | .....   | 50        |
| 1900 Budget authority (total) .....   | .....       | .....   | 50        |
| 1930 Total budgetary resources available .....                              | .....       | .....   | 50        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                         | .....       | .....   | 50        |
| 3020 Outlays (gross) .....  | .....       | .....   | -40       |
| 3050 Unpaid obligations, end of year .....                                  | .....       | .....   | 10        |
| Memorandum (non-add) entries:   |             |         |           |
| 3200 Obligated balance, end of year .....                                   | .....       | .....   | 10        |
| <b>Budget authority and outlays, net:</b>                                   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | .....       | .....   | 50        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                         | .....       | .....   | 40        |
| 4180 Budget authority, net (total) .....                                    | .....       | .....   | 50        |
| 4190 Outlays, net (total) .....   | .....       | .....   | 40        |

This appropriation funds the Office of the Special Inspector General for Afghanistan Reconstruction (SIGAR). SIGAR provides oversight of programs and operations funded with amounts made



available for the reconstruction of Afghanistan. SIGAR performs this oversight through audits, field inspections and investigations of potential waste, fraud and abuse in coordination with, and receiving the cooperation of, the Inspectors General of the Department of State, Department of Defense and the United States Agency for International Development. SIGAR reports directly to, and are under the general supervision of, the Secretaries of State and Defense. In addition, it provides mandated quarterly reports directly to the U.S. Congress.

**Object Classification** (in millions of dollars)

| Identification code 19–0529–8–1–153                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          |             |         | 37        |
| 21.0 Travel and transportation of persons .....                 |             |         | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             |         | 2         |
| 25.2 Other services from non-Federal sources .....              |             |         | 8         |
| 26.0 Supplies and materials .....                               |             |         | 1         |
| 99.9 Total new obligations .....                                |             |         | 50        |

**Employment Summary**

| Identification code 19–0529–8–1–153                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             |         | 150       |

**EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS**

*For expenses of educational and cultural exchange programs, as authorized, \$562,659,000, to remain available until expended: Provided, That any fees or other payments received from or in connection with English teaching, educational advising and counseling programs, and exchange visitor programs as authorized may be credited to this account to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19–0209–0–1–154                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Academic Programs .....                                       | 351         | 326     | 320       |
| 0002 Professional/Cultural Exchanges .....                         | 234         | 207     | 207       |
| 0003 Exchanges Support .....                                       | 71          | 64      | 60        |
| 0004 Program and Performance .....                                 | 7           | 6       | 2         |
| 0006 AECA .....  | 2           |         |           |
| 0100 Subtotal, Direct Obligations .....                            | 665         | 603     | 589       |
| 0799 Total direct obligations .....                                | 665         | 603     | 589       |
| 0880 Reimbursable Program .....                                    | 3           | 3       | 3         |
| 0900 Total new obligations .....                                   | 668         | 606     | 592       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 24          | 25      | 26        |
| 1011 Unobligated balance transfer from other accts [72–1037] ..... | 26          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 10          |         |           |
| 1050 Unobligated balance (total) .....                             | 60          | 25      | 26        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 583         | 587     | 563       |
| 1100 Appropriation – OCO .....                                     | 16          | 16      |           |
| 1121 Appropriations transferred from other accts [72–1037] .....   | 30          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 629         | 603     | 563       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | 4       | 4         |
| 1701 Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4           | 4       | 4         |

|   |     |     |     |
|---|-----|-----|-----|
| 1900 Budget authority (total) .....                   | 633 | 607 | 567 |
| 1930 Total budgetary resources available .....        | 693 | 632 | 593 |
| Memorandum (non-add) entries:                         |     |     |     |
| 1941 Unexpired unobligated balance, end of year ..... | 25  | 26  | 1   |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 571  | 578  | 571  |
| 3010 Obligations incurred, unexpired accounts .....               | 668  | 606  | 592  |
| 3020 Outlays (gross) .....  | –649 | –613 | –769 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –10  |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –2   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 578  | 571  | 394  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |      | –1   | –1   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –1   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –1   | –1   | –1   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 571  | 577  | 570  |
| 3200 Obligated balance, end of year .....                         | 577  | 570  | 393  |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 633 | 607 | 567 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 294 | 306 | 286 |
| 4011 Outlays from discretionary balances .....                 | 355 | 307 | 483 |
| 4020 Outlays, gross (total) .....                              | 649 | 613 | 769 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | –3  | –4  | –4  |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | –1  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 629 | 603 | 563 |
| 4080 Outlays, net (discretionary) .....                        | 646 | 609 | 765 |
| 4180 Budget authority, net (total) .....                       | 629 | 603 | 563 |
| 4190 Outlays, net (total) .....                                | 646 | 609 | 765 |

This appropriation provides funding for international exchange programs authorized by the Mutual Educational and Cultural Exchange Act of 1961, as amended, to support U.S. foreign, economic, and security policy objectives and to assist in the development of friendly, sympathetic, and peaceful relations between the United States and other countries. These goals are addressed by building increased mutual understanding through international exchange and professional development activities. Programs under this appropriation include:

**Academic Exchanges.**—Includes exchanges for foreign participants and U.S. citizens: the J. William Fulbright Educational Exchange Program for the exchange of students, teachers, and scholars; the Hubert H. Humphrey Fellowships for the exchange of mid-career professionals from developing nations; exchanges involving specially targeted undergraduates, teachers, graduate students, young professionals, and postdoctoral scholars as well as strategic critical foreign language education programs; the Benjamin Gilman program for American undergraduates with financial need to study abroad and similar programs to bring participants to the United States; English language programming abroad; promoting U.S. higher education overseas through educational advising centers and marketing activities; and U.S. studies programs designed to promote better foreign understanding of the United States.

**Professional/Cultural Exchanges.**—Includes exchanges for foreign participants and U.S. citizens: the International Visitor Leadership Program supports professional exchanges to the U.S. by current and emerging foreign leaders as well as key influencers to obtain firsthand knowledge about the U.S., its people, government, culture and values; and the Citizen Exchanges Program partners with the U.S. private sector to conduct professional, cultural, sports, and youth programs that establish linkages between the U.S. and other countries around the world.

## EDUCATIONAL AND CULTURAL EXCHANGE PROGRAMS—Continued

**Program and Performance.**—Includes special crosscutting programs directed at establishing and maintaining alumni networks, and determining the effectiveness of programs through a comprehensive schema of evaluations. This includes the performance measurement of programs in accordance with the Government Performance and Results Act of 1993.

**Exchanges Support.**—Includes all domestic staff and Regional English Language Officers overseas and support costs related to exchanges managed by the Bureau of Educational and Cultural Affairs; government-wide exchanges coordination; and the Convention on Cultural Property Implementation Act.

## Object Classification (in millions of dollars)

| Identification code 19–0209–0–1–154                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 40          | 40      | 40        |
| 12.1 Civilian personnel benefits .....                          | 10          | 10      | 10        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 21          | 21      | 21        |
| 41.0 Grants, subsidies, and contributions .....                 | 589         | 527     | 513       |
| 99.0 Direct obligations .....                                   | 665         | 603     | 589       |
| 99.0 Reimbursable obligations .....                             | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 668         | 606     | 592       |

## Employment Summary

| Identification code 19–0209–0–1–154                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 449         | 449     | 449       |

## EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE

*For necessary expenses for carrying out the Foreign Service Buildings Act of 1926 (22 U.S.C. 292–303), preserving, maintaining, repairing, and planning for buildings that are owned or directly leased by the Department of State, renovating, in addition to funds otherwise available, the Harry S. Truman Building, and carrying out the Diplomatic Security Construction Program as authorized, \$785,351,000, to remain available until expended as authorized, of which not to exceed \$25,000 may be used for domestic and overseas representation as authorized: Provided, That none of the funds appropriated in this paragraph shall be available for acquisition of furniture, furnishings, or generators for other departments and agencies.*

*In addition, for the costs of worldwide security upgrades, acquisition, and construction as authorized, \$1,614,000,000, to remain available until expended.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 19–0535–0–1–153      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:         |             |         |           |
| 0001 Capital Security Construction ..... | 1,112       | 950     | 1,100     |
| 0002 Compound Security .....             | 83          | 85      | 95        |
| 0003 Repair and Construction .....       | 339         | 550     | 200       |
| 0004 Operations .....                    | 727         | 850     | 800       |
| 0005 Supplemental Appropriations .....   | 91          | 30      | 30        |
| 0006 OCO .....                           | 110         | 33      | .....     |
| 0100 Total direct program .....          | 2,462       | 2,498   | 2,225     |
| 0799 Total direct obligations .....      | 2,462       | 2,498   | 2,225     |
| 0801 Asset Management .....              | 126         | 100     | 50        |
| 0802 Other Reimbursable .....            | 169         | 250     | 350       |

|  |       |       |       |
|--|-------|-------|-------|
| 0803 Capital Security Cost Sharing .....             | 528   | 450   | 525   |
| 0809 Reimbursable program activities, subtotal ..... | 823   | 800   | 925   |
| 0899 Total reimbursable obligations .....            | 823   | 800   | 925   |
| 0900 Total new obligations .....                     | 3,285 | 3,298 | 3,150 |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                    | 2,653 | 2,728 | 2,714 |
| 1011 Unobligated balance transfer from other accts [19–0113] .....       | 147   | ..... | ..... |
| 1021 Recoveries of prior year unpaid obligations .....                   | 399   | 250   | 250   |
| 1050 Unobligated balance (total) .....                                   | 3,199 | 2,978 | 2,964 |
| Budget authority:  |       |       |       |
| Appropriations, discretionary:   |       |       |       |
| 1100 Appropriation .....   | 1,537 | 1,546 | 2,399 |
| 1100 Appropriation – OCO .....   | 33    | 33    | ..... |
| 1121 Appropriations transferred from other accts [19–0113] .....         | 173   | ..... | ..... |
| 1160 Appropriation, discretionary (total) .....                          | 1,743 | 1,579 | 2,399 |
| Spending authority from offsetting collections, discretionary:           |       |       |       |
| 1700 Offsetting collections (cash) – Capital Security Cost Sharing ..... | 509   | 500   | 604   |
| 1700 Offsetting collections (cash) – Other Collections .....             | 374   | 375   | 375   |
| 1700 Offsetting collections (cash) – Asset Mgt .....                     | 92    | 580   | 50    |
| 1701 Change in uncollected payments, Federal sources .....               | 96    | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....       | 1,071 | 1,455 | 1,029 |
| 1900 Budget authority (total) .....                                      | 2,814 | 3,034 | 3,428 |
| 1930 Total budgetary resources available .....                           | 6,013 | 6,012 | 6,392 |
| Memorandum (non-add) entries:  |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....                    | 2,728 | 2,714 | 3,242 |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 4,502  | 4,723  | 4,251  |
| 3010 Obligations incurred, unexpired accounts .....               | 3,285  | 3,298  | 3,150  |
| 3020 Outlays (gross) .....  | –2,665 | –3,520 | –3,582 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –399   | –250   | –250   |
| 3050 Unpaid obligations, end of year .....                        | 4,723  | 4,251  | 3,569  |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –20    | –116   | –116   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –96    | .....  | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –116   | –116   | –116   |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 4,482  | 4,607  | 4,135  |
| 3200 Obligated balance, end of year .....                         | 4,607  | 4,135  | 3,453  |

## Budget authority and outlays, net:

|  |       |        |        |
|--|-------|--------|--------|
| Discretionary:   |       |        |        |
| 4000 Budget authority, gross .....                                   | 2,814 | 3,034  | 3,428  |
| Outlays, gross:  |       |        |        |
| 4010 Outlays from new discretionary authority .....                  | 1,090 | 1,160  | 1,233  |
| 4011 Outlays from discretionary balances .....                       | 1,575 | 2,360  | 2,349  |
| 4020 Outlays, gross (total) .....                                    | 2,665 | 3,520  | 3,582  |
| Offsets against gross budget authority and outlays:                  |       |        |        |
| Offsetting collections (collected) from:                             |       |        |        |
| 4030 Federal sources .....   | –883  | –875   | –979   |
| 4033 Non-Federal sources .....                                       | –92   | –580   | –50    |
| 4040 Offsets against gross budget authority and outlays (total) .... | –975  | –1,455 | –1,029 |
| Additional offsets against gross budget authority only:              |       |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –96   | .....  | .....  |
| 4070 Budget authority, net (discretionary) .....                     | 1,743 | 1,579  | 2,399  |
| 4080 Outlays, net (discretionary) .....                              | 1,690 | 2,065  | 2,553  |
| 4180 Budget authority, net (total) .....                             | 1,743 | 1,579  | 2,399  |
| 4190 Outlays, net (total) .....                                      | 1,690 | 2,065  | 2,553  |

## Summary of Budget Authority and Outlays (in millions of dollars)

|                                  | 2012 actual | 2013 CR | 2014 est. |
|----------------------------------|-------------|---------|-----------|
| Enacted/requested:               |             |         |           |
| Budget Authority .....           | 1,743       | 1,579   | 2,399     |
| Outlays .....                    | 1,690       | 2,065   | 2,553     |
| Overseas contingency operations: |             |         |           |
| Budget Authority .....           | .....       | .....   | 250       |
| Outlays .....                    | .....       | .....   | 38        |
| Total:                           |             |         |           |
| Budget Authority .....           | 1,743       | 1,579   | 2,649     |
| Outlays .....                    | 1,690       | 2,065   | 2,591     |

Under the direction of the Secretary of State, the overall mission of the Bureau of Overseas Buildings Operations (OBO) is to provide U.S. diplomatic and consular missions abroad with safe, secure, and functional facilities that support the foreign policy objectives of the United States. Specific program functions include: providing guidance to posts, the regional bureaus and other foreign affairs agencies on the renovation, construction and operations of facilities; providing expert space and facilities planning; managing and overseeing the design, construction, and renovation of mission facilities; incorporating security features into overseas and domestic facilities; and ensuring the security of facilities during construction or renovation. In addition, OBO is responsible for establishing standards and policies for overseas housing, developing, in conjunction with posts, effective maintenance programs for post facilities, and monitoring and reporting the inventory of maintenance and backlog requirements. OBO also ensures the safety of the building occupants through the development of fire/life safety and accessibility compliance programs.

In 2014, the Department will manage the tenth year of the Capital Security Cost Sharing (CSCS) Program. This program has two main goals: accelerating the construction of new safe, secure and functional embassy and consulate compounds, and providing an incentive for all United States Government agencies to right-size their presence overseas through the use of cost-sharing. The total requested program level of \$2.2 billion reflects the Benghazi Accountability Review Board recommended funding level and restores the program's lost purchasing power since its initial authorization.

The 2014 request continues the Maintenance Cost Sharing (MCS) Program to provide critically needed renovation, construction and repair of overseas facilities, to provide adequate working conditions for multi-agency staffs, and protect the U.S. taxpayer investment. Including cost sharing from other agencies, MCS will be funded at \$167 million to maintain overseas facilities in 2014.

In this transition year, this account includes additional amounts for both the CSCS and MCS programs that will not be cost-shared with other agencies.

The objective of the Asset Management Program is to obtain the best use of diplomatic and consular properties overseas through sale of surplus or underutilized properties and reinvestment of the proceeds in properties that provide a greater return to the U.S. Government and/or improve the safety of mission personnel. In lieu of appropriated resources, OBO uses asset sales proceeds for long-term capital investment to minimize the growth of U.S. Government leasehold requirements (through property acquisition) or to address a high-priority need for new construction or fit-out of leased space.

This appropriation also provides for capital expenditures necessary to preserve, maintain, repair, and plan for buildings owned or leased by the Department of State overseas or in the United States, including the renovation of the Harry S. Truman building where required.

#### Object Classification (in millions of dollars)

| Identification code 19-0535-0-1-153             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 90          | 90      | 91        |
| 11.3 Other than full-time permanent .....       | 2           | 8       | 8         |
| 11.5 Other personnel compensation .....         | 8           | 4       | 4         |
| 11.9 Total personnel compensation .....         | 100         | 102     | 103       |
| 12.1 Civilian personnel benefits .....          | 54          | 52      | 53        |
| 21.0 Travel and transportation of persons ..... | 30          | 28      | 28        |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 22.0 | Transportation of objects .....                            | 8     | 9     | 10    |
| 23.2 | Rental payments to other entities .....                    | 343   | 356   | 320   |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 7     | 7     | 7     |
| 24.0 | Printing and reproduction .....                            | 1     | 1     | 1     |
| 25.2 | Other services from non-Federal sources .....              | 301   | 400   | 400   |
| 25.4 | Operation and maintenance of facilities .....              | 70    |       |       |
| 26.0 | Supplies and materials .....                               | 68    | 65    | 65    |
| 31.0 | Equipment .....  | 48    | 55    | 55    |
| 32.0 | Land and structures .....                                  | 1,377 | 1,373 | 1,153 |
| 41.0 | Grants, subsidies, and contributions .....                 | 55    | 50    | 30    |
| 99.0 | Direct obligations .....                                   | 2,462 | 2,498 | 2,225 |
| 99.0 | Reimbursable obligations .....                             | 823   | 800   | 925   |
| 99.9 | Total new obligations .....                                | 3,285 | 3,298 | 3,150 |

#### Employment Summary

| Identification code 19-0535-0-1-153                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 815         | 815     | 821       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

#### EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE

##### (Overseas contingency operations)

*For an additional amount for "Embassy Security, Construction and Maintenance", \$250,000,000, to remain available until expended: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 19-0535-8-1-153                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0006 OCO .....  |             |         | 163       |
| 0100 Total direct program .....                       |             |         | 163       |
| 0900 Total new obligations (object class 32.0) .....  |             |         | 163       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              |             |         | 250       |
| 1160 Appropriation, discretionary (total) .....       |             |         | 250       |
| 1900 Budget authority (total) .....                   |             |         | 250       |
| 1930 Total budgetary resources available .....        |             |         | 250       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 87        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 163       |
| 3020 Outlays (gross) .....                            |             |         | -38       |
| 3050 Unpaid obligations, end of year .....            |             |         | 125       |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 125       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    |             |         | 250       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             |         | 38        |
| 4180 Budget authority, net (total) .....              |             |         | 250       |
| 4190 Outlays, net (total) .....                       |             |         | 38        |

The Overseas Contingency Operations funding requested in the Embassy Security, Construction, and Maintenance account

EMBASSY SECURITY, CONSTRUCTION, AND MAINTENANCE—Continued  
will support urgently needed construction of new secure diplomatic facilities in the frontline states.

#### REPRESENTATION ALLOWANCES

*For representation allowances as authorized, \$7,679,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 19–0545–0–1–153                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program .....                                       | 8           | 7       | 8         |
| 0900 Total new obligations (object class 26.0) .....            | 8           | 7       | 8         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 7           | 7       | 8         |
| 1121 Appropriations transferred from other accts [19–0113] .... | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 8           | 7       | 8         |
| 1930 Total budgetary resources available .....                  | 8           | 7       | 8         |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 1           | 1       |           |
| 3010 Obligations incurred, unexpired accounts .....             | 8           | 7       | 8         |
| 3020 Outlays (gross) .....                                      | –8          | –8      | –8        |
| 3050 Unpaid obligations, end of year .....                      | 1           |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 1           | 1       |           |
| 3200 Obligated balance, end of year .....                       | 1           |         |           |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 8           | 7       | 8         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 6           | 6       | 7         |
| 4011 Outlays from discretionary balances .....                  | 2           | 2       | 1         |
| 4020 Outlays, gross (total) .....                               | 8           | 8       | 8         |
| 4180 Budget authority, net (total) .....                        | 8           | 7       | 8         |
| 4190 Outlays, net (total) .....                                 | 8           | 8       | 8         |

Amounts in this fund are used for expenses incurred by, including to reimburse in part, State Department personnel for official representation activities abroad and at missions to international organizations in the United States.

#### PROTECTION OF FOREIGN MISSIONS AND OFFICIALS

*For expenses, not otherwise provided, to enable the Secretary of State to provide for extraordinary protective services, as authorized, \$28,200,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 19–0520–0–1–153                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Missions and officials to United Nations .....  | 25          | 43      | 23        |
| 0002 Missions and officials in United States .....   | 5           | 5       | 5         |
| 0900 Total new obligations (object class 41.0) ..... | 30          | 48      | 28        |

#### Budgetary Resources:

|      |  |    |    |    |
|------|--|----|----|----|
| 1000 | Unobligated balance brought forward, Oct 1 .....           | 23 | 21 |    |
|      | Budget authority:  |    |    |    |
|      | Appropriations, discretionary:                             |    |    |    |
| 1100 | Appropriation .....  | 27 | 27 | 28 |
| 1121 | Appropriations transferred from other accts [19–0113] .... | 1  |    |    |
| 1160 | Appropriation, discretionary (total) .....                 | 28 | 27 | 28 |
| 1900 | Budget authority (total) .....                             | 28 | 27 | 28 |
| 1930 | Total budgetary resources available .....                  | 51 | 48 | 28 |
|      | Memorandum (non-add) entries:                              |    |    |    |
| 1941 | Unexpired unobligated balance, end of year .....           | 21 |    |    |

#### Change in obligated balance:

|      |  |     |     |     |
|------|--|-----|-----|-----|
|      | Unpaid obligations:                              |     |     |     |
| 3000 | Unpaid obligations, brought forward, Oct 1 ..... | 2   | 5   | 31  |
| 3010 | Obligations incurred, unexpired accounts .....   | 30  | 48  | 28  |
| 3020 | Outlays (gross) .....                            | –27 | –22 | –31 |
| 3050 | Unpaid obligations, end of year .....            | 5   | 31  | 28  |
|      | Memorandum (non-add) entries:                    |     |     |     |
| 3100 | Obligated balance, start of year .....           | 2   | 5   | 31  |
| 3200 | Obligated balance, end of year .....             | 5   | 31  | 28  |

#### Budget authority and outlays, net:

|      |  |    |    |    |
|------|--|----|----|----|
|      | Discretionary:                                 |    |    |    |
| 4000 | Budget authority, gross .....                  | 28 | 27 | 28 |
|      | Outlays, gross:                                |    |    |    |
| 4010 | Outlays from new discretionary authority ..... | 2  | 8  | 8  |
| 4011 | Outlays from discretionary balances .....      | 25 | 14 | 23 |
| 4020 | Outlays, gross (total) .....                   | 27 | 22 | 31 |
| 4180 | Budget authority, net (total) .....            | 28 | 27 | 28 |
| 4190 | Outlays, net (total) .....                     | 27 | 22 | 31 |

This appropriation provides for extraordinary protection of: 1) foreign missions and officials, including those accredited to the United Nations and other international organizations, and visiting foreign dignitaries (under certain circumstances) in New York; and 2) international organizations, foreign missions and officials, and visiting foreign dignitaries (under certain circumstances) in other cities. Funds may be used to reimburse state or local authorities, contract for private security firm services, or reimburse Federal agencies for extraordinary protective services.

#### EMERGENCIES IN THE DIPLOMATIC AND CONSULAR SERVICE

##### (INCLUDING TRANSFER OF FUNDS)

*For necessary expenses to enable the Secretary of State to meet unforeseen emergencies arising in the Diplomatic and Consular Service, \$9,652,000, to remain available until expended as authorized, of which not to exceed \$1,000,000 may be transferred to, and merged with, funds appropriated by this Act under the heading "Repatriation Loans Program Account", subject to the same terms and conditions.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 19–0522–0–1–153     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Rewards .....  | 14          | 8       | .....     |
| 0002                                    | Other activities .....   | 11          | 7       | 10        |
|   |  |             |         |           |
| 0900                                    | Total new obligations .....  | 25          | 15      | 10        |
|   |  |             |         |           |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....                           | 18          | 15      | 9         |
| 1012                                    | Unobligated balance transfers between expired and unexpired accounts ..... | 10          | .....   | .....     |
| 1021                                    | Recoveries of prior year unpaid obligations .....                          | 2           | .....   | .....     |

|      |  |    |    |    |
|------|--|----|----|----|
| 1050 | Unobligated balance (total) .....                              | 30 | 15 | 9  |
|      | Budget authority:  |    |    |    |
|      | Appropriations, discretionary:                                 |    |    |    |
| 1100 | Appropriation .....  | 9  | 9  | 10 |
| 1160 | Appropriation, discretionary (total) .....                     | 9  | 9  | 10 |
|      | Spending authority from offsetting collections, discretionary: |    |    |    |
| 1700 | Collected .....  | 1  |    |    |
| 1750 | Spending auth from offsetting collections, disc (total) .....  | 1  |    |    |
| 1900 | Budget authority (total) .....                                 | 10 | 9  | 10 |
| 1930 | Total budgetary resources available .....                      | 40 | 24 | 19 |
|      | Memorandum (non-add) entries:                                  |    |    |    |
| 1941 | Unexpired unobligated balance, end of year .....               | 15 | 9  | 9  |

**Change in obligated balance:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
|      | Unpaid obligations:  |     |     |     |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....             | 19  | 16  | 10  |
| 3010 | Obligations incurred, unexpired accounts .....               | 25  | 15  | 10  |
| 3020 | Outlays (gross) .....  | -26 | -21 | -14 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -2  |     |     |
| 3050 | Unpaid obligations, end of year .....                        | 16  | 10  | 6   |
|      | Memorandum (non-add) entries:                                |     |     |     |
| 3100 | Obligated balance, start of year .....                       | 19  | 16  | 10  |
| 3200 | Obligated balance, end of year .....                         | 16  | 10  | 6   |

**Budget authority and outlays, net:**

|      |   |    |    |    |
|------|---|----|----|----|
|      | Discretionary:                                      |    |    |    |
| 4000 | Budget authority, gross .....                       | 10 | 9  | 10 |
|      | Outlays, gross:                                     |    |    |    |
| 4010 | Outlays from new discretionary authority .....      | 10 | 6  | 7  |
| 4011 | Outlays from discretionary balances .....           | 16 | 15 | 7  |
| 4020 | Outlays, gross (total) .....                        | 26 | 21 | 14 |
|      | Offsets against gross budget authority and outlays: |    |    |    |
|      | Offsetting collections (collected) from:            |    |    |    |
| 4030 | Federal sources .....                               | -1 |    |    |
| 4180 | Budget authority, net (total) .....                 | 9  | 9  | 10 |
| 4190 | Outlays, net (total) .....                          | 25 | 21 | 14 |

These funds are used primarily for purposes authorized by section 4 of the State Department Basic Authorities Act of 1956, as amended (22 U.S.C. 2671), for rewards authorized by section 36 of that Act, as amended (22 U.S.C. 2708), and for purposes authorized by section 804(3) of the United States Information and Educational Exchange Act of 1948, as amended (22 U.S.C. 1474(3)).

**Object Classification (in millions of dollars)**

| Identification code 19-0522-0-1-153                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 21.0 Travel and transportation of persons .....    | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources ..... | 6           | 6       | 6         |
| 91.0 Unvouchered .....                             | 15          | 6       | 1         |
| 99.0 Direct obligations .....                      | 24          | 15      | 10        |
| 99.0 Reimbursable obligations .....                | 1           |         |           |
| 99.9 Total new obligations .....                   | 25          | 15      | 10        |

**BUYING POWER MAINTENANCE****Program and Financing (in millions of dollars)**

| Identification code 19-0524-0-1-153   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1           | 1       | 1         |
| 1010 Unobligated balance transfer to other accts [19-0113] .....                | -31         |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 31          |         |           |
| 1050 Unobligated balance (total) .....  | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....                                  | 1           | 1       | 1         |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 1           | 1       | 1         |

This account is available to offset adverse exchange rate and overseas wage and price fluctuations unanticipated in the budget as authorized by section 24(b) of the State Department Basic Authorities Act of 1956 (22 U.S.C 2696(b)).

**PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN**

*For necessary expenses to carry out the Taiwan Relations Act (Public Law 96-8), \$36,221,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 19-0523-0-1-153                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Payment to the American Institute in Taiwan .....             | 22          | 21      | 36        |
| 0801 Reimbursable program .....                                    | 3           | 4       | 4         |
| 0900 Total new obligations .....                                   | 25          | 25      | 40        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 21          | 21      | 36        |
| 1121 Appropriations transferred from other accts [19-0113] .....   | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 22          | 21      | 36        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 2           | 4       | 4         |
| 1701 Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           | 4       | 4         |
| 1900 Budget authority (total) .....                                | 25          | 25      | 40        |
| 1930 Total budgetary resources available .....                     | 25          | 25      | 40        |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             |     | 1   | 1   |
| 3010 Obligations incurred, unexpired accounts .....               | 25  | 25  | 40  |
| 3020 Outlays (gross) .....  | -24 | -25 | -40 |
| 3050 Unpaid obligations, end of year .....                        | 1   | 1   | 1   |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1  | -1  | -1  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -1  |     |     |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1  | -1  | -1  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | -1  |     |     |

**Budget authority and outlays, net:**

|  |    |    |    |
|--|----|----|----|
| Discretionary:   |    |    |    |
| 4000 Budget authority, gross .....                             | 25 | 25 | 40 |
| Outlays, gross:  |    |    |    |
| 4010 Outlays from new discretionary authority .....            | 24 | 25 | 40 |
| Offsets against gross budget authority and outlays:            |    |    |    |
| Offsetting collections (collected) from:                       |    |    |    |
| 4030 Federal sources .....                                     | -3 | -4 | -4 |
| Additional offsets against gross budget authority only:        |    |    |    |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -1 |    |    |
| 4052 Offsetting collections credited to expired accounts ..... | 1  |    |    |
| 4070 Budget authority, net (discretionary) .....               | 22 | 21 | 36 |
| 4080 Outlays, net (discretionary) .....                        | 21 | 21 | 36 |
| 4180 Budget authority, net (total) .....                       | 22 | 21 | 36 |
| 4190 Outlays, net (total) .....                                | 21 | 21 | 36 |

The Taiwan Relations Act (Public Law 96-8) requires programs with respect to Taiwan to be carried out by or through the American Institute in Taiwan (AIT). AIT supports U.S. interests by promoting U.S. exports, economic and commercial services, and cultural and information exchange; facilitating military sales; providing consular related services for Americans and the people on Taiwan; and on behalf of the Department of State and various

PAYMENT TO THE AMERICAN INSTITUTE IN TAIWAN—Continued  
U.S. Government agencies, carrying out liaison with Taiwan's counterpart organizations.

The Department contracts with AIT to conduct commercial, cultural, and other relations with the people of Taiwan. The 2014 request includes additional funding for the American Institute in Taiwan in light of Taiwan's entry into the visa waiver program.

**Object Classification** (in millions of dollars)

| Identification code 19–0523–0–1–153                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.8 Personnel compensation: Special personal services payments ..... | 15          | 15      | 30        |
| 12.1 Civilian personnel benefits .....                                | 4           | 4       | 4         |
| 23.2 Rental payments to others .....                                  | 2           | 2       | 2         |
| 99.0 Direct obligations .....   | 21          | 21      | 36        |
| 99.0 Reimbursable obligations .....                                   | 4           | 4       | 4         |
| 99.9 Total new obligations .....                                      | 25          | 25      | 40        |

**PAYMENT TO THE FOREIGN SERVICE RETIREMENT AND DISABILITY FUND**

*For payment to the Foreign Service Retirement and Disability Fund, as authorized, \$158,900,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19–0540–0–1–153                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Payment to Foreign Service Retirement and Disability Fund ..... | 297         | 300     | 300       |
| 0900 Total new obligations (object class 42.0) .....                 | 297         | 300     | 300       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 297         | 300     | 300       |
| 1260 Appropriations, mandatory (total) .....                         | 297         | 300     | 300       |
| 1930 Total budgetary resources available .....                       | 297         | 300     | 300       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                  | 297         | 300     | 300       |
| 3020 Outlays (gross) .....   | –297        | –300    | –300      |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 297         | 300     | 300       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 297         | 300     | 300       |
| 4180 Budget authority, net (total) .....                             | 297         | 300     | 300       |
| 4190 Outlays, net (total) .....                                      | 297         | 300     | 300       |

The current appropriation finances any unfunded liability created by new or liberalized benefits, new groups of beneficiaries, and salary increases. In addition, the appropriation also finances the annual balance of the Foreign Service normal cost not met by employee and employer contributions.

The 2014 permanent appropriation provides a payment to the fund for disbursements attributable to the Foreign Service Pension System; and unfunded interest along with liability from military service for the Foreign Service Retirement and Disability System.

**FOREIGN SERVICE NATIONAL DEFINED CONTRIBUTIONS RETIREMENT FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 19–5497–0–2–602   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         | 2         |
| Receipts:   |             |         |           |
| 0240 Employing Agency Contributions, Foreign Service National Defined Contributions Retirement Fund ..... | 6           | 1       | 1         |
| 0241 Interest on Investments, Foreign Service National Defined Contributions Retirement Fund .....        |             | 2       | 2         |
| 0299 Total receipts and collections .....   | 6           | 3       | 3         |
| 0400 Total: Balances and collections .....  | 6           | 3       | 5         |
| Appropriations:   |             |         |           |
| 0500 Foreign Service National Defined Contributions Retirement Fund .....                                 | –6          | –1      | –1        |
| 0799 Balance, end of year .....   |             | 2       | 4         |

**Program and Financing** (in millions of dollars)

| Identification code 19–5497–0–2–602                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Retiree payments .....                          | 6           | 1       | 1         |
| 0900 Total new obligations (object class 42.0) ..... | 6           | 1       | 1         |

**Budgetary Resources:**

|  |   |   |   |
|--|---|---|---|
| Budget authority:                                |   |   |   |
| Appropriations, mandatory:                       |   |   |   |
| 1201 Appropriation (special or trust fund) ..... | 6 | 1 | 1 |
| 1260 Appropriations, mandatory (total) .....     | 6 | 1 | 1 |
| 1900 Budget authority (total) .....              | 6 | 1 | 1 |
| 1930 Total budgetary resources available .....   | 6 | 1 | 1 |

**Change in obligated balance:**

|   |    |   |   |
|---|----|---|---|
| Unpaid obligations:                                   |    |   |   |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |    |   | 1 |
| 3010 Obligations incurred, unexpired accounts .....   | 6  | 1 | 1 |
| 3020 Outlays (gross) .....                            | –6 |   |   |
| 3050 Unpaid obligations, end of year .....            |    | 1 | 2 |
| Memorandum (non-add) entries:                         |    |   |   |
| 3100 Obligated balance, start of year .....           |    |   | 1 |
| 3200 Obligated balance, end of year .....             |    | 1 | 2 |

**Budget authority and outlays, net:**

|  |   |   |   |
|--|---|---|---|
| Mandatory:                                 |   |   |   |
| 4090 Budget authority, gross .....         | 6 | 1 | 1 |
| Outlays, gross:                            |   |   |   |
| 4101 Outlays from mandatory balances ..... | 6 |   |   |
| 4180 Budget authority, net (total) .....   | 6 | 1 | 1 |
| 4190 Outlays, net (total) .....            | 6 |   |   |

This is a retirement fund for Locally Employed Staff (LES) employed by the Department of State and other Foreign Affairs agencies. The purpose of the fund is to accumulate and distribute U.S. Government contributions for end-of-service benefits for LES at overseas U.S. missions where it has been determined that participation in the local social security system is not in the public interest. The State Department determines which countries are eligible to participate in the fund. Upon separation, payments will be made from the fund as a lump sum paid directly to the employee.

**WORKING CAPITAL FUND**

**Program and Financing** (in millions of dollars)

| Identification code 19–4519–0–4–153     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0801 Publishing services .....          | 23          | 29      | 23        |
| 0802 Supply services .....              | 117         | 117     | 125       |
| 0803 Central support services .....     | 378         | 404     | 413       |
| 0804 Post Assignment Travel .....       | 311         | 321     | 326       |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 0805 | Medical Services .....  | 23    | 25    | 25    |
| 0806 | International cooperative administrative support services (ICASS) ..... | 2,455 | 3,064 | 2,764 |
| 0807 | Aviation central support services .....                                 | 164   | 397   | 404   |
| 0900 | Total new obligations .....   | 3,471 | 4,357 | 4,080 |

|  |   |       |       |       |
|--|---|-------|-------|-------|
| <b>Budgetary Resources:</b>                                    |   |       |       |       |
| Unobligated balance:   |   |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 204   | 432   | ..... |
| 1021   | Recoveries of prior year unpaid obligations .....             | 212   | 200   | 250   |
| 1050   | Unobligated balance (total) .....                             | 416   | 632   | 250   |
| Budget authority:  |   |       |       |       |
| Spending authority from offsetting collections, discretionary: |   |       |       |       |
| 1700   | Collected .....   | 3,263 | 3,725 | 4,280 |
| 1701   | Change in uncollected payments, Federal sources .....         | 224   | ..... | ..... |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 3,487 | 3,725 | 4,280 |
| 1930   | Total budgetary resources available .....                     | 3,903 | 4,357 | 4,530 |
| Memorandum (non-add) entries:                                  |   |       |       |       |
| 1941   | Unexpired unobligated balance, end of year .....              | 432   | ..... | 450   |

|                                     |  |        |        |        |
|-------------------------------------|--|--------|--------|--------|
| <b>Change in obligated balance:</b> |  |        |        |        |
| Unpaid obligations:                 |  |        |        |        |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 1,083  | 1,196  | 1,747  |
| 3010                                | Obligations incurred, unexpired accounts .....               | 3,471  | 4,357  | 4,080  |
| 3020                                | Outlays (gross) .....  | -3,146 | -3,606 | -4,495 |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | -212   | -200   | -250   |
| 3050                                | Unpaid obligations, end of year .....                        | 1,196  | 1,747  | 1,082  |
| Uncollected payments:               |  |        |        |        |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -138   | -362   | -362   |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | -224   | .....  | .....  |
| 3090                                | Uncollected pymts, Fed sources, end of year .....            | -362   | -362   | -362   |
| Memorandum (non-add) entries:       |  |        |        |        |
| 3100                                | Obligated balance, start of year .....                       | 945    | 834    | 1,385  |
| 3200                                | Obligated balance, end of year .....                         | 834    | 1,385  | 720    |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| <b>Budget authority and outlays, net:</b>               |   |        |        |        |
| Discretionary:  |   |        |        |        |
| 4000  | Budget authority, gross .....                                   | 3,487  | 3,725  | 4,280  |
| Outlays, gross:   |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 2,488  | 2,850  | 3,274  |
| 4011  | Outlays from discretionary balances .....                       | 658    | 756    | 1,221  |
| 4020  | Outlays, gross (total) .....                                    | 3,146  | 3,606  | 4,495  |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4030  | Federal sources .....   | -3,249 | -3,725 | -4,280 |
| 4033  | Non-Federal sources .....                                       | -14    | .....  | .....  |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -3,263 | -3,725 | -4,280 |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -224   | .....  | .....  |
| 4080  | Outlays, net (discretionary) .....                              | -117   | -119   | 215    |
| 4190  | Outlays, net (total) .....                                      | -117   | -119   | 215    |

This fund, authorized by sections 13 and 23 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2684), finances on a reimbursable basis certain administrative services, such as printing and reproduction, editorial material, motor pool operations and dispatch agencies operations, inter-agency cooperative administrative support services, acquisition services, information technology desktop support, aviation services, and expenses of carrying out the Foreign Missions Act, including any acquisitions of property under section 204(f) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 4304(f)).

Using the Working Capital Fund, the International Cooperative Administrative Support Services (ICASS) program was fully implemented in 1998. ICASS restructures overseas administrative support activities to allow more decision-making and managerial participation by all participating agencies, more equitable cost distribution, and incentives for efficient provision of services. Under ICASS, each agency represented at an overseas post chooses the services it wishes to receive and pays a proportional share of the cost of those services. Working through inter-agency councils at each overseas post, all agencies have a say in determining post administrative budgets and defining service standards, as well as reviewing costs and vendor performance.

**Object Classification** (in millions of dollars)

| Identification code 19-4519-0-4-153 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Reimbursable obligations:           |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 380         | 382     | 359       |
| 11.3                                | Other than full-time permanent .....                       | 371         | 371     | 349       |
| 11.5                                | Other personnel compensation .....                         | 112         | 112     | 105       |
|                                     |  |             |         |           |
| 11.9                                | Total personnel compensation .....                         | 863         | 865     | 813       |
| 12.1                                | Civilian personnel benefits .....                          | 321         | 436     | 410       |
| 13.0                                | Benefits for former personnel .....                        | 6           | 6       | 6         |
| 21.0                                | Travel and transportation of persons .....                 | 126         | 138     | 130       |
| 22.0                                | Transportation of things .....                             | 351         | 415     | 390       |
| 23.2                                | Rental payments to others .....                            | 159         | 209     | 196       |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 191         | 445     | 418       |
| 24.0                                | Printing and reproduction .....                            | 6           | 8       | 8         |
| 25.2                                | Other services from non-Federal sources .....              | 1,084       | 1,387   | 1,288     |
| 26.0                                | Supplies and materials .....                               | 227         | 220     | 207       |
| 31.0                                | Equipment .....  | 103         | 200     | 188       |
| 41.0                                | Grants, subsidies, and contributions .....                 | 34          | 28      | 26        |
|                                     |  |             |         |           |
| 99.9                                | Total new obligations .....                                | 3,471       | 4,357   | 4,080     |

**Employment Summary**

| Identification code 19-4519-0-4-153                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 7,288       | 7,288   | 7,288     |

**REPATRIATION LOANS PROGRAM ACCOUNT**

(INCLUDING TRANSFER OF FUNDS)

*For the cost of direct loans, \$1,700,000, as authorized, of which \$737,000 may be made available for administrative expenses necessary to carry out the direct loan program and may be paid to "Diplomatic and Consular Programs": Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19-0601-0-1-153       | 2012 actual                                     | 2013 CR | 2014 est. |    |
|---|---|---------|-----------|----|
| <b>Obligations by program activity:</b>   |   |         |           |    |
| Credit program obligations:               |   |         |           |    |
| 0701                                      | Direct loan subsidy .....                       | 1       | 1         | 1  |
| 0709                                      | Administrative expenses .....                   | 1       | 1         | 1  |
| 0900                                      | Total new obligations (object class 41.0) ..... | 2       | 2         | 2  |
| <b>Budgetary Resources:</b>               |   |         |           |    |
| Budget authority:                         |   |         |           |    |
| Appropriations, discretionary:            |   |         |           |    |
| 1100                                      | Appropriation .....                             | 2       | 2         | 2  |
| 1160                                      | Appropriation, discretionary (total) .....      | 2       | 2         | 2  |
| 1930                                      | Total budgetary resources available .....       | 2       | 2         | 2  |
| <b>Change in obligated balance:</b>       |   |         |           |    |
| Unpaid obligations:                       |   |         |           |    |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 2       | 2         | 2  |
| 3020                                      | Outlays (gross) .....                           | -2      | -2        | -2 |
| <b>Budget authority and outlays, net:</b> |   |         |           |    |
| Discretionary:                            |   |         |           |    |
| 4000                                      | Budget authority, gross .....                   | 2       | 2         | 2  |
| Outlays, gross:                           |   |         |           |    |
| 4010                                      | Outlays from new discretionary authority .....  | 2       | 2         | 2  |
| 4180                                      | Budget authority, net (total) .....             | 2       | 2         | 2  |
| 4190                                      | Outlays, net (total) .....                      | 2       | 2         | 2  |

## REPATRIATION LOANS PROGRAM ACCOUNT—Continued

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 19-0601-0-1-153                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 Repatriation Loans .....                             | 2           | 2       | 2         |
| 115999 Total direct loan levels .....                       | 2           | 2       | 2         |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 Repatriation Loans .....                             | 57.85       | 57.67   | 63.06     |
| 132999 Weighted average subsidy rate .....                  | 57.85       | 57.67   | 63.06     |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 Repatriation Loans .....                             | 1           | 1       | 1         |
| 133999 Total subsidy budget authority .....                 | 1           | 1       | 1         |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 Repatriation Loans .....                             | 1           | 1       | 1         |
| 134999 Total subsidy outlays .....                          | 1           | 1       | 1         |
| Direct loan downward reestimates:                           |             |         |           |
| 137001 Repatriation Loans .....                             | -11         | -1      | .....     |
| 137999 Total downward reestimate budget authority .....     | -11         | -1      | .....     |
| Administrative expense data:                                |             |         |           |
| 3510 Budget authority .....                                 |             | 1       | 1         |
| 3590 Outlays from new authority .....                       |             | 1       | 1         |

As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs and administrative expenses associated with direct loans for this program. The subsidy amounts are estimated on a net present value basis; the administrative expenses are estimated on a cash basis.

## REPATRIATION LOANS FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 19-4107-0-3-153                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| Credit program obligations:  |             |         |           |
| 0710 Direct loan obligations .....                                   | 2           | 2       | 2         |
| 0742 Downward reestimate paid to receipt account .....               | 7           | 1       | .....     |
| 0743 Interest on downward reestimates .....                          | 3           | .....   | .....     |
| 0900 Total new obligations .....                                     | 12          | 3       | 2         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 10          | 3       | 4         |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....      | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 11          | 3       | 4         |
| Financing authority:   |             |         |           |
| Borrowing authority, mandatory:                                      |             |         |           |
| 1400 Borrowing authority .....                                       | 1           | 1       | 1         |
| 1440 Borrowing authority, mandatory (total) .....                    | 1           | 1       | 1         |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 3           | 3       | 3         |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 3           | 3       | 3         |
| 1900 Financing authority (total) .....                               | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....                       | 15          | 7       | 8         |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 3           | 4       | 6         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 2           | 1       | 3         |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | -1          | .....   | .....     |
| 3010 Obligations incurred, unexpired accounts .....                  | 12          | 3       | 2         |
| 3020 Financing disbursements (gross) .....                           | -12         | -1      | -1        |
| 3050 Unpaid obligations, end of year .....                           | 1           | 3       | 4         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1           | 1       | 3         |
| 3200 Obligated balance, end of year .....                            | 1           | 3       | 4         |

## Financing authority and disbursements, net:

|   |    |    |    |
|---|----|----|----|
| Mandatory:  |    |    |    |
| 4090 Financing authority, gross .....                                     | 4  | 4  | 4  |
| Financing disbursements:  |    |    |    |
| 4110 Financing disbursements, gross .....                                 | 12 | 1  | 1  |
| Offsets against gross financing authority and disbursements:              |    |    |    |
| Offsetting collections (collected) from:                                  |    |    |    |
| 4120 Payments from program account .....                                  | -2 | -1 | -1 |
| 4123 Non-Federal sources .....  | -1 | -2 | -2 |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -3 | -3 | -3 |
| 4160 Financing authority, net (mandatory) .....                           | 1  | 1  | 1  |
| 4170 Financing disbursements, net (mandatory) .....                       | 9  | -2 | -2 |
| 4180 Financing authority, net (total) .....                               | 1  | 1  | 1  |
| 4190 Financing disbursements, net (total) .....                           | 9  | -2 | -2 |

## Status of Direct Loans (in millions of dollars)

| Identification code 19-4107-0-3-153                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 2           | 2       | 2         |
| 1150 Total direct loan obligations .....                               | 2           | 2       | 2         |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 6           | 8       | 7         |
| 1231 Disbursements: Direct loan disbursements .....                    | 2           | 1       | 1         |
| 1251 Repayments: Repayments and prepayments .....                      | .....       | -2      | -2        |
| 1290 Outstanding, end of year .....                                    | 8           | 7       | 6         |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans starting with obligations made in 1992 (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 19-4107-0-3-153                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 6           | 8           |
| 1405 Allowance for subsidy cost (-) .....                         | -3          | -5          |
| 1499 Net present value of assets related to direct loans .....    | 3           | 3           |
| 1999 Total assets .....   | 3           | 3           |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....     | 3           | 3           |
| 4999 Total liabilities and net position .....                     | 3           | 3           |

## Trust Funds

## FOREIGN SERVICE RETIREMENT AND DISABILITY FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 19-8186-0-7-602   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 16,395      | 16,892  | 17,385    |
| Adjustments:  |             |         |           |
| 0190 Adjustment - prior years rounding issues .....   | 2           | .....   | .....     |
| 0199 Balance, start of year .....   | 16,397      | 16,892  | 17,385    |
| Receipts:   |             |         |           |
| 0200 Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund .....                         | 27          | 27      | 27        |
| 0240 Interest on Investments, Foreign Service Retirement and Disability Fund .....                                    | 726         | 736     | 746       |
| 0241 Employing Agency Contributions, Foreign Service Retirement and Disability Fund .....                             | 315         | 322     | 332       |
| 0242 Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund ..... | 1           | 1       | 1         |
| 0243 Federal Contributions, Foreign Service Retirement and Disability Fund .....                                      | 297         | 300     | 300       |



|                 |  |        |        |        |
|-----------------|--|--------|--------|--------|
| 0299            | Total receipts and collections .....                 | 1,366  | 1,386  | 1,406  |
| 0400            | Total: Balances and collections .....                | 17,763 | 18,278 | 18,791 |
| Appropriations: |  |        |        |        |
| 0500            | Foreign Service Retirement and Disability Fund ..... | -1,367 | -1,421 | -1,476 |
| 0501            | Foreign Service Retirement and Disability Fund ..... | 496    | 528    | 561    |
| 0599            | Total appropriations .....                           | -871   | -893   | -915   |
| 0799            | Balance, end of year .....                           | 16,892 | 17,385 | 17,876 |

**Program and Financing** (in millions of dollars)

| Identification code 19-8186-0-7-602 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

**Obligations by program activity:**

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 0001 | Payments to beneficiaries .....                 | 871 | 893 | 915 |
| 0900 | Total new obligations (object class 42.0) ..... | 871 | 893 | 915 |

**Budgetary Resources:**

## Budget authority:

## Appropriations, mandatory:

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 1201 | Appropriation (special or trust fund) ..... | 1,367 | 1,421 | 1,476 |
| 1235 | Portion precluded from balances .....       | -496  | -528  | -561  |
| 1260 | Appropriations, mandatory (total) .....     | 871   | 893   | 915   |
| 1930 | Total budgetary resources available .....   | 871   | 893   | 915   |

**Change in obligated balance:**

## Unpaid obligations:

|      |  |      |      |      |
|------|--|------|------|------|
| 3010 | Obligations incurred, unexpired accounts ..... | 871  | 893  | 915  |
| 3020 | Outlays (gross) .....                          | -871 | -893 | -915 |

**Budget authority and outlays, net:**

## Mandatory:

|                 |  |     |     |     |
|-----------------|--|-----|-----|-----|
| 4090            | Budget authority, gross .....              | 871 | 893 | 915 |
| Outlays, gross: |  |     |     |     |
| 4100            | Outlays from new mandatory authority ..... | 871 | 893 | 915 |
| 4180            | Budget authority, net (total) .....        | 871 | 893 | 915 |
| 4190            | Outlays, net (total) .....                 | 871 | 893 | 915 |

**Memorandum (non-add) entries:**

|      |   |        |        |        |
|------|---|--------|--------|--------|
| 5000 | Total investments, SOY: Federal securities: Par value ..... | 16,397 | 16,893 | 17,409 |
| 5001 | Total investments, EOY: Federal securities: Par value ..... | 16,893 | 17,409 | 17,931 |

This mandatory fund is maintained through: a) contributions by participants, consisting of all Foreign Service Officers, Foreign Service information officers, Foreign Service reserve officers with unlimited tenure, and all Foreign Service staff officers and employees with unlimited appointments; b) matching Government contributions; c) special Government contributions from the Payment to the Foreign Service Retirement and Disability Fund; d) interest on investments (22 U.S.C. 4042); and e) voluntary contributions.

Approximately 15,886 annuitants will be paid retirement benefits from this fund in 2014, compared with an estimated 15,761 to be paid in 2013 and 15,636 paid in 2012. Gratuities and refunds represent payments to eligible former participants leaving the retirement system.

**Status of Funds** (in millions of dollars)

| Identification code 19-8186-0-7-602 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

|  |  |        |        |        |
|--|--|--------|--------|--------|
| Unexpended balance, start of year:       |  |        |        |        |
| 0100                                     | Balance, start of year .....   | 16,397 | 16,892 | 17,385 |
| 0199                                     | Total balance, start of year .....   | 16,397 | 16,892 | 17,385 |
| Cash income during the year:             |  |        |        |        |
| Current law:                             |  |        |        |        |
| Receipts:                                |  |        |        |        |
| 1200                                     | Deductions from Employees Salaries, Foreign Service Retirement and Disability Fund .....                         | 27     | 27     | 27     |
| Offsetting receipts (intragovernmental): |  |        |        |        |
| 1240                                     | Interest on Investments, Foreign Service Retirement and Disability Fund .....                                    | 726    | 736    | 746    |
| 1241                                     | Employing Agency Contributions, Foreign Service Retirement and Disability Fund .....                             | 315    | 322    | 332    |
| 1242                                     | Receipts from Civil Service Retirement and Disability Fund, Foreign Service Retirement and Disability Fund ..... | 1      | 1      | 1      |

|                                  |   |        |        |        |
|----------------------------------|---|--------|--------|--------|
| 1243                             | Federal Contributions, Foreign Service Retirement and Disability Fund ..... | 297    | 300    | 300    |
| 1299                             | Income under present law .....  | 1,366  | 1,386  | 1,406  |
| 3299                             | Total cash income .....   | 1,366  | 1,386  | 1,406  |
| Cash outgo during year:          |   |        |        |        |
| Current law:                     |   |        |        |        |
| 4500                             | Foreign Service Retirement and Disability Fund .....                        | -871   | -893   | -915   |
| 4599                             | Outgo under current law (-) .....   | -871   | -893   | -915   |
| 6599                             | Total cash outgo (-) .....  | -871   | -893   | -915   |
| Unexpended balance, end of year: |   |        |        |        |
| 8700                             | Uninvested balance (net), end of year .....                                 | -1     | -24    | -55    |
| 8701                             | Foreign Service Retirement and Disability Fund .....                        | 16,893 | 17,409 | 17,931 |
| 8799                             | Total balance, end of year .....  | 16,892 | 17,385 | 17,876 |

**FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 19-8340-0-7-602 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 0100                                | Balance, start of year .....                                   |             |         |           |
| Receipts:                           |  |             |         |           |
| 0240                                | Foreign Service National Separation Liability Trust Fund ..... | 48          | 14      | 15        |
| 0400                                | Total: Balances and collections .....                          | 48          | 14      | 15        |
| Appropriations:                     |  |             |         |           |
| 0500                                | Foreign Service National Separation Liability Trust Fund ..... | -48         | -14     | -15       |
| 0799                                | Balance, end of year .....                                     |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 19-8340-0-7-602 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

**Obligations by program activity:**

|      |   |    |    |
|------|---|----|----|
| 0001 | Direct program activity .....                   | 23 | 23 |
| 0900 | Total new obligations (object class 42.0) ..... | 23 | 23 |

**Budgetary Resources:**

## Unobligated balance:

|                               |   |     |     |     |
|-------------------------------|---|-----|-----|-----|
| 1000                          | Unobligated balance brought forward, Oct 1 .....  | 142 | 288 | 279 |
| 1021                          | Recoveries of prior year unpaid obligations ..... | 98  |     |     |
| 1050                          | Unobligated balance (total) .....                 | 240 | 288 | 279 |
| Budget authority:             |   |     |     |     |
| Appropriations, mandatory:    |   |     |     |     |
| 1201                          | Appropriation (special or trust fund) .....       | 48  | 14  | 15  |
| 1260                          | Appropriations, mandatory (total) .....           | 48  | 14  | 15  |
| 1900                          | Budget authority (total) .....                    | 48  | 14  | 15  |
| 1930                          | Total budgetary resources available .....         | 288 | 302 | 294 |
| Memorandum (non-add) entries: |   |     |     |     |
| 1941                          | Unexpired unobligated balance, end of year .....  | 288 | 279 | 271 |

**Change in obligated balance:**

## Unpaid obligations:

|                               |  |     |     |     |
|-------------------------------|--|-----|-----|-----|
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 121 | 3   | 9   |
| 3010                          | Obligations incurred, unexpired accounts .....               |     | 23  | 23  |
| 3020                          | Outlays (gross) .....  | -20 | -17 | -15 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -98 |     |     |
| 3050                          | Unpaid obligations, end of year .....                        | 3   | 9   | 17  |
| Memorandum (non-add) entries: |  |     |     |     |
| 3100                          | Obligated balance, start of year .....                       | 121 | 3   | 9   |
| 3200                          | Obligated balance, end of year .....                         | 3   | 9   | 17  |

**Budget authority and outlays, net:**

## Mandatory:

|                 |  |    |    |    |
|-----------------|--|----|----|----|
| 4090            | Budget authority, gross .....              | 48 | 14 | 15 |
| Outlays, gross: |  |    |    |    |
| 4100            | Outlays from new mandatory authority ..... |    | 14 | 15 |
| 4101            | Outlays from mandatory balances .....      | 20 | 3  |    |
| 4110            | Outlays, gross (total) .....               | 20 | 17 | 15 |
| 4180            | Budget authority, net (total) .....        | 48 | 14 | 15 |
| 4190            | Outlays, net (total) .....                 | 20 | 17 | 15 |

This fund is maintained to pay separation costs for Foreign Service National direct hire (FSN) employees, Personal Service Contractors (PSC), and Personal Service Agreements (PSA) of

FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND—Continued the Department of State in those countries in which such pay is legally authorized. The fund, as authorized by section 151 of Public Law 102–138 (22 U.S.C. 4012a), is maintained by annual government contributions from the Department's Diplomatic and Consular Programs (D&CP) account, Consular Affairs (CA), the International Narcotics Control and Law Enforcement (INCLE) account and International Cooperative Administrative Support Services (ICASS). The separation costs of FSN employees of selected USAID missions participating in ICASS are also covered by this fund.

### MISCELLANEOUS TRUST FUNDS

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 19–9971–0–7–153                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                 | 6           | 7       | 11        |
| Receipts:   |             |         |           |
| 0220 Contributions, Educational and Cultural Exchange, USIA ..... |             | 1       | 1         |
| 0221 Unconditional Gift Fund .....                                | 15          | 2       | 2         |
| 0222 Deposits, Conditional Gift Fund .....                        | 3           | 2       | 2         |
| 0240 Earnings on Investments, Unconditional Gift Fund .....       |             | 1       | 1         |
| 0241 Interest, Miscellaneous Trust Funds, USIA .....              |             | 1       | 1         |
| 0299 Total receipts and collections .....                         | 18          | 7       | 7         |
| 0400 Total: Balances and collections .....                        | 24          | 14      | 18        |
| Appropriations:   |             |         |           |
| 0500 Miscellaneous Trust Funds .....                              | –18         | –3      | –3        |
| 0501 Miscellaneous Trust Funds .....                              | 1           |         |           |
| 0599 Total appropriations .....                                   | –17         | –3      | –3        |
| 0799 Balance, end of year .....                                   | 7           | 11      | 15        |

#### Program and Financing (in millions of dollars)

| Identification code 19–9971–0–7–153   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Conditional gift fund .....  | 15          | 3       | 3         |
| 0801 Reimbursable program activity .....  | 5           |         | 1         |
| 0900 Total new obligations .....  | 20          | 3       | 4         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 17          | 24      | 24        |
| 1021 Recoveries of prior year unpaid obligations .....                          | 1           |         |           |
| 1050 Unobligated balance (total) .....  | 18          | 24      | 24        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                                | 18          | 3       | 3         |
| 1235 Appropriations precluded from obligation .....                             | –1          |         |           |
| 1260 Appropriations, mandatory (total) .....                                    | 17          | 3       | 3         |
| 1800 Spending authority from offsetting collections, mandatory: Collected ..... | 9           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 9           |         |           |
| 1900 Budget authority (total) .....   | 26          | 3       | 3         |
| 1930 Total budgetary resources available .....                                  | 44          | 27      | 27        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 24          | 24      | 23        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 11          | 9       | 7         |
| 3010 Obligations incurred, unexpired accounts .....                             | 20          | 3       | 4         |
| 3020 Outlays (gross) .....  | –21         | –5      | –5        |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 9           | 7       | 6         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 11          | 9       | 7         |
| 3200 Obligated balance, end of year .....                                       | 9           | 7       | 6         |

#### Budget authority and outlays, net:

|   |    |   |   |
|---|----|---|---|
| Mandatory:  |    |   |   |
| 4090 Budget authority, gross .....                  | 26 | 3 | 3 |
| Outlays, gross:                                     |    |   |   |
| 4100 Outlays from new mandatory authority .....     | 16 | 1 | 1 |
| 4101 Outlays from mandatory balances .....          | 5  | 4 | 4 |
| 4110 Outlays, gross (total) .....                   | 21 | 5 | 5 |
| Offsets against gross budget authority and outlays: |    |   |   |
| Offsetting collections (collected) from:            |    |   |   |
| 4123 Non-Federal sources .....                      | –9 |   |   |
| 4180 Budget authority, net (total) .....            | 17 | 3 | 3 |
| 4190 Outlays, net (total) .....                     | 12 | 5 | 5 |

#### Memorandum (non-add) entries:

|  |   |   |   |
|--|---|---|---|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 8 | 8 | 8 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 8 | 8 | 5 |

**Gift funds.**—The Department has authority to accept gifts for use in carrying out the Department's functions, pursuant to statutes including section 25 of the State Department Basic Authorities Act (22 U.S.C. 2697). Among other purposes, funds are used to renovate, furnish, and maintain the Department's diplomatic reception rooms and embassy properties overseas.

#### Object Classification (in millions of dollars)

| Identification code 19–9971–0–7–153                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 33.0 Direct obligations: Investments and loans ..... | 15          | 3       | 3         |
| 99.0 Reimbursable obligations .....                  | 5           |         | 1         |
| 99.9 Total new obligations .....                     | 20          | 3       | 4         |

## INTERNATIONAL ORGANIZATIONS AND CONFERENCES

### Federal Funds

#### CONTRIBUTIONS TO INTERNATIONAL ORGANIZATIONS

*For necessary expenses, not otherwise provided for, to meet annual obligations of membership in international multilateral organizations, pursuant to treaties ratified pursuant to the advice and consent of the Senate, conventions or specific Acts of Congress, \$1,573,454,000: Provided, That any payment of arrearages under this heading shall be directed toward activities that are mutually agreed upon by the United States and the respective international organization: Provided further, That none of the funds appropriated under this heading shall be available for a United States contribution to an international organization for the United States share of interest costs made known to the United States Government by such organization for loans incurred on or after October 1, 1984, through external borrowings.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 19–1126–0–1–153                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Program Obligations .....                        | 1,551       | 1,560   | 1,573     |
| 0900 Total new obligations (object class 41.0) .....  | 1,551       | 1,560   | 1,573     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 6           | 6       | 6         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 1,450       | 1,459   | 1,573     |
| 1100 Appropriation – OCO .....                        | 101         | 101     |           |
| 1160 Appropriation, discretionary (total) .....       | 1,551       | 1,560   | 1,573     |
| 1930 Total budgetary resources available .....        | 1,557       | 1,566   | 1,579     |

|   |  |        |        |
|---|--|--------|--------|
| Memorandum (non-add) entries:                           |  |        |        |
| 1941  | Unexpired unobligated balance, end of year .....           | 6      | 6      |
| <b>Change in obligated balance:</b>                     |  |        |        |
| Unpaid obligations:                                     |  |        |        |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....           | 123    | 157    |
| 3010  | Obligations incurred, unexpired accounts .....             | 1,551  | 1,560  |
| 3011  | Obligations incurred, expired accounts .....               | 21     |        |
| 3020  | Outlays (gross) .....                                      | -1,522 | -1,636 |
| 3041  | Recoveries of prior year unpaid obligations, expired ..... | -16    |        |
| 3050  | Unpaid obligations, end of year .....                      | 157    | 81     |
| Memorandum (non-add) entries:                           |  |        |        |
| 3100  | Obligated balance, start of year .....                     | 123    | 157    |
| 3200  | Obligated balance, end of year .....                       | 157    | 81     |
| <b>Budget authority and outlays, net:</b>               |  |        |        |
| Discretionary:  |  |        |        |
| 4000  | Budget authority, gross .....                              | 1,551  | 1,560  |
| Outlays, gross:   |  |        |        |
| 4010  | Outlays from new discretionary authority .....             | 1,442  | 1,485  |
| 4011  | Outlays from discretionary balances .....                  | 80     | 151    |
| 4020  | Outlays, gross (total) .....                               | 1,522  | 1,636  |
| Offsets against gross budget authority and outlays:     |  |        |        |
| Offsetting collections (collected) from:                |  |        |        |
| 4030  | Federal sources .....                                      | -2     |        |
| Additional offsets against gross budget authority only: |  |        |        |
| 4052  | Offsetting collections credited to expired accounts .....  | 2      |        |
| 4070  | Budget authority, net (discretionary) .....                | 1,551  | 1,560  |
| 4080  | Outlays, net (discretionary) .....                         | 1,520  | 1,636  |
| 4180  | Budget authority, net (total) .....                        | 1,551  | 1,560  |
| 4190  | Outlays, net (total) .....                                 | 1,520  | 1,636  |

As a member of the United Nations and other international organizations, the United States contributes an assessed share of the budgets of those organizations net of certain withholdings. The purpose of this appropriation is to ensure continued American leadership within those organizations that serve important U.S. interests.

#### CONTRIBUTIONS FOR INTERNATIONAL PEACEKEEPING ACTIVITIES

*For necessary expenses to pay assessed and other expenses of international peacekeeping activities directed to the maintenance or restoration of international peace and security, \$2,094,661,000, to remain available until September 30, 2015: Provided, That at least 15 days in advance of voting for a new or expanded mission in the United Nations Security Council (or in an emergency as soon as is practicable), the Committees on Appropriations should be notified: (1) of the estimated cost and duration of the mission, the national interest that will be served, and the exit strategy; (2) that the United Nations has taken necessary measures to prevent United Nations employees, contractor personnel, and peacekeeping troops serving in the mission from trafficking in persons, exploiting victims of trafficking, or committing acts of illegal sexual exploitation or other violations of human rights, and to bring to justice individuals who engage in such acts while participating in the peacekeeping mission, including prosecution in their home countries of such individuals in connection with such acts; and (3) pursuant to section 7012 of this Act, and the procedures therein followed, of the source of funds that will be used to pay the cost of the new or expanded mission; Provided further, That notwithstanding any other provision of law, funds appropriated or otherwise made available under this heading shall be available for United States assessed contributions up to the amount specified in the Annex accompanying United Nations General Assembly document A/67/224/Add.1.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 19–1124–0–1–153     | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |             |         |           |
| 0020 Peacekeeping Activities .....      | 2,032       | 1,839   | 2,095     |

|   |  |        |        |        |
|---|--|--------|--------|--------|
| 0900                                      | Total new obligations (object class 41.0) .....  | 2,032  | 1,839  | 2,095  |
| <b>Budgetary Resources:</b>               |  |        |        |        |
| Unobligated balance:                      |  |        |        |        |
| 1000                                      | Unobligated balance brought forward, Oct 1 ..... | 262    | 58     | 58     |
| Budget authority:                         |  |        |        |        |
| Appropriations, discretionary:            |  |        |        |        |
| 1100                                      | Appropriation .....                              | 1,828  | 1,839  | 2,095  |
| 1160                                      | Appropriation, discretionary (total) .....       | 1,828  | 1,839  | 2,095  |
| 1900                                      | Budget authority (total) .....                   | 1,828  | 1,839  | 2,095  |
| 1930                                      | Total budgetary resources available .....        | 2,090  | 1,897  | 2,153  |
| Memorandum (non-add) entries:             |  |        |        |        |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 58     | 58     | 58     |
| <b>Change in obligated balance:</b>       |  |        |        |        |
| Unpaid obligations:                       |  |        |        |        |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 16     |        | 34     |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 2,032  | 1,839  | 2,095  |
| 3020                                      | Outlays (gross) .....                            | -2,048 | -1,805 | -1,873 |
| 3050                                      | Unpaid obligations, end of year .....            |        | 34     | 256    |
| Memorandum (non-add) entries:             |  |        |        |        |
| 3100                                      | Obligated balance, start of year .....           | 16     |        | 34     |
| 3200                                      | Obligated balance, end of year .....             |        | 34     | 256    |
| <b>Budget authority and outlays, net:</b> |  |        |        |        |
| Discretionary:                            |  |        |        |        |
| 4000                                      | Budget authority, gross .....                    | 1,828  | 1,839  | 2,095  |
| Outlays, gross:                           |  |        |        |        |
| 4010                                      | Outlays from new discretionary authority .....   | 1,770  | 1,747  | 1,781  |
| 4011                                      | Outlays from discretionary balances .....        | 278    | 58     | 92     |
| 4020                                      | Outlays, gross (total) .....                     | 2,048  | 1,805  | 1,873  |
| 4180                                      | Budget authority, net (total) .....              | 1,828  | 1,839  | 2,095  |
| 4190                                      | Outlays, net (total) .....                       | 2,048  | 1,805  | 1,873  |

This appropriation provides funds for the United States' share of the expenses associated with United Nations (UN) peacekeeping operations for which costs are distributed among UN members based on a scale of assessments. The purpose of this appropriation is to ensure continued American leadership in support of UN peacekeeping activities that serve U.S. interests in promoting international security, stability, and democracy.

## INTERNATIONAL COMMISSIONS

### Federal Funds

#### INTERNATIONAL COMMISSIONS

*For necessary expenses, not otherwise provided for, to meet obligations of the United States arising under treaties, or specific Acts of Congress, as follows:*

#### INTERNATIONAL BOUNDARY AND WATER COMMISSION, UNITED STATES AND MEXICO

*For necessary expenses for the United States Section of the International Boundary and Water Commission, United States and Mexico, and to comply with laws applicable to the United States Section, including not to exceed \$6,000 for representation; as follows:*

#### SALARIES AND EXPENSES

*For salaries and expenses, not otherwise provided for, \$45,618,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 19–1069–0–1–301     | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Administration .....               | 8           | 8       | 8         |
| 0002 Engineering .....                  | 4           | 4       | 4         |
| 0003 Operation and maintenance .....    | 33          | 33      | 34        |
| 0799 Total direct obligations .....     | 45          | 45      | 46        |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 19–1069–0–1–301                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0801 Reimbursable program .....                                    | 7           | 5       | 5         |
| 0900 Total new obligations .....                                   | 52          | 50      | 51        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 45          | 45      | 46        |
| 1160 Appropriation, discretionary (total) .....                    | 45          | 45      | 46        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 6           | 5       | 5         |
| 1701 Change in uncollected payments, Federal sources .....         | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 7           | 5       | 5         |
| 1900 Budget authority (total) .....                                | 52          | 50      | 51        |
| 1930 Total budgetary resources available .....                     | 52          | 50      | 51        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 15          | 15      | 15        |
| 3010 Obligations incurred, unexpired accounts .....                | 52          | 50      | 51        |
| 3020 Outlays (gross) .....   | –51         | –50     | –58       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 15          | 15      | 8         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –1          | –1      | –1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –1          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 1           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –1          | –1      | –1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 14          | 14      | 14        |
| 3200 Obligated balance, end of year .....                          | 14          | 14      | 7         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 52          | 50      | 51        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 39          | 43      | 44        |
| 4011 Outlays from discretionary balances .....                     | 12          | 7       | 14        |
| 4020 Outlays, gross (total) .....                                  | 51          | 50      | 58        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –7          | –5      | –5        |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | –1          | .....   | .....     |
| 4052 Offsetting collections credited to expired accounts .....     | 1           | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                   | 45          | 45      | 46        |
| 4080 Outlays, net (discretionary) .....                            | 44          | 45      | 53        |
| 4180 Budget authority, net (total) .....                           | 45          | 45      | 46        |
| 4190 Outlays, net (total) .....                                    | 44          | 45      | 53        |

Pursuant to treaties between the United States and Mexico and U.S. law, the U.S. Section of the International Boundary and Water Commission is charged with the identification and solution of boundary and water problems arising along the 1,952-mile common border, including the southern borders of Texas, New Mexico, Arizona, and California. Administration, Engineering, and Operations and Maintenance activities are also funded by the Salaries and Expenses appropriation.

**Administration.**—Resources under this heading provide for: negotiations and supervision of joint projects with Mexico to solve international boundary, water, and environmental problems; overall control of the operation of the U.S. section of the Commission; formulation of operating policies and procedures; and financial management and administrative services to carry out international obligations of the United States, pursuant to treaty and congressional authorization.

**Engineering.**—Resources under this heading provide for: a) technical engineering guidance and supervision of planning, construction, operation and maintenance, and environmental

monitoring and compliance of international projects; b) studies relating to international problems of a continuing nature; and c) preliminary surveys and investigations to determine the need for and feasibility of projects for the solution of international problems arising along the boundary.

**Operation and Maintenance (O&M).**—This activity finances the measurement and determination of the national ownership of boundary waters and the distribution thereof, as well as the U.S. part of the operations and maintenance of sanitation facilities, river channel and levee projects, flood control dams and hydroelectric power, gauging stations, water quality control projects and boundary demarcation, monuments, and markers. Reimbursements are received from Mexico for O&M costs of the South Bay and Nogales International Wastewater Treatment Plants as well as from the City of Nogales for O&M at Nogales. Other reimbursements are received from the Western Area Power Administration, U.S. Department of Energy, for O&M and capital costs of hydroelectric generation at Falcon and Amistad International Dams.

## Object Classification (in millions of dollars)

| Identification code 19–1069–0–1–301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 15          | 15      | 16        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 5         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 13          | 13      | 13        |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....                 | 4           | 4       | 4         |
| 99.0 Direct obligations .....                                   | 45          | 45      | 46        |
| 99.0 Reimbursable obligations .....                             | 7           | 5       | 5         |
| 99.9 Total new obligations .....                                | 52          | 50      | 51        |

## Employment Summary

| Identification code 19–1069–0–1–301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 225         | 225     | 225       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 28          | 28      | 28        |

## CONSTRUCTION

*For detailed plan preparation and construction of authorized projects, \$31,400,000, to remain available until expended, as authorized.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 19–1078–0–1–301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                       |             |         |           |
| 0003 Flood Control & Rehabilitation (Including Rio Grande Canalization) ..... | 10          | 30      | 30        |
| 0004 Safety of Dams (Rehabilitation) .....                                    | 5           | 15      | 15        |
| 0005 Reconstruction of the American Canal .....                               | 1           | 3       | 3         |
| 0008 Resource Management Program .....  | 1           | 5       | 5         |
| 0009 Nogales International Outfall Interceptor .....                          | 1           | 2       | 2         |
| 0100 Total, Direct Program .....  | 18          | 55      | 55        |
| 0600 Heavy Equipment Replacement .....  | 18          | 55      | 55        |
| 0799 Total direct obligations .....   | 18          | 55      | 55        |
| 0801 Reimbursable program .....   | .....       | 1       | 1         |
| 0900 Total new obligations .....  | 18          | 56      | 56        |

|  |   |     |     |     |
|--|---|-----|-----|-----|
| <b>Budgetary Resources:</b>                                    |   |     |     |     |
| Unobligated balance:   |   |     |     |     |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 50  | 86  | 63  |
| 1021   | Recoveries of prior year unpaid obligations .....             | 1   |     |     |
| 1050   | Unobligated balance (total) .....                             | 51  | 86  | 63  |
| Budget authority:  |   |     |     |     |
| Appropriations, discretionary:                                 |   |     |     |     |
| 1100   | Appropriation .....   | 31  | 32  | 31  |
| 1160   | Appropriation, discretionary (total) .....                    | 31  | 32  | 31  |
| Spending authority from offsetting collections, discretionary: |   |     |     |     |
| 1700   | Collected .....   | 22  | 1   | 1   |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 22  | 1   | 1   |
| 1900   | Budget authority (total) .....                                | 53  | 33  | 32  |
| 1930   | Total budgetary resources available .....                     | 104 | 119 | 95  |
| Memorandum (non-add) entries:                                  |   |     |     |     |
| 1941   | Unexpired unobligated balance, end of year .....              | 86  | 63  | 39  |
| <b>Change in obligated balance:</b>                            |   |     |     |     |
| Unpaid obligations:  |   |     |     |     |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 84  | 49  | 71  |
| 3010   | Obligations incurred, unexpired accounts .....                | 18  | 56  | 56  |
| 3011   | Obligations incurred, expired accounts .....                  | 2   |     |     |
| 3020   | Outlays (gross) .....   | -51 | -34 | -31 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | -1  |     |     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -3  |     |     |
| 3050   | Unpaid obligations, end of year .....                         | 49  | 71  | 96  |
| Uncollected payments:  |   |     |     |     |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -2  | -2  | -2  |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -2  | -2  | -2  |
| Memorandum (non-add) entries:                                  |   |     |     |     |
| 3100   | Obligated balance, start of year .....                        | 82  | 47  | 69  |
| 3200   | Obligated balance, end of year .....                          | 47  | 69  | 94  |
| <b>Budget authority and outlays, net:</b>                      |   |     |     |     |
| Discretionary:   |   |     |     |     |
| 4000   | Budget authority, gross .....                                 | 53  | 33  | 32  |
| Outlays, gross:  |   |     |     |     |
| 4010   | Outlays from new discretionary authority .....                | 3   | 8   | 8   |
| 4011   | Outlays from discretionary balances .....                     | 48  | 26  | 23  |
| 4020   | Outlays, gross (total) .....                                  | 51  | 34  | 31  |
| Offsets against gross budget authority and outlays:            |   |     |     |     |
| Offsetting collections (collected) from:                       |   |     |     |     |
| 4030   | Federal sources .....   | -22 | -1  | -1  |
| 4180   | Budget authority, net (total) .....                           | 31  | 32  | 31  |
| 4190   | Outlays, net (total) .....                                    | 29  | 33  | 30  |

**Construction.**—This activity provides for the construction of projects to solve international problems of water supply, water quality, sewage treatment, and flood damage reduction. Projects are normally constructed jointly with Mexico. This account also receives reimbursement for such projects.

#### Object Classification (in millions of dollars)

| Identification code 19-1078-0-1-301 | 2012 actual                                       | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....     | 17      | 54        |
| 99.0                                | Direct obligations .....                          | 18      | 55        |
| 99.0                                | Reimbursable obligations .....                    | 1       | 1         |
| 99.9                                | Total new obligations .....                       | 18      | 56        |

#### Employment Summary

| Identification code 19-1078-0-1-301 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 7       | 7         |

#### AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS

*For necessary expenses, not otherwise provided, for the International Joint Commission and the International Boundary Commission, United States and Canada, as authorized by treaties between the United States*

*and Canada or Great Britain, and the Border Environment Cooperation Commission as authorized by Public Law 103-182, \$12,499,000: Provided, That of the amount provided under this heading for the International Joint Commission, \$9,000 may be made available for representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 19-1082-0-1-301       | 2012 actual  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b>   |  |         |           |
| 0001                                      | International Boundary Commission .....                    | 3       | 3         |
| 0002                                      | International Joint Commission .....                       | 7       | 7         |
| 0005                                      | Border Environment Cooperation Commission .....            | 2       | 2         |
| 0900                                      | Total new obligations .....                                | 12      | 12        |
| <b>Budgetary Resources:</b>               |  |         |           |
| Budget authority:                         |  |         |           |
| Appropriations, discretionary:            |  |         |           |
| 1100                                      | Appropriation .....  | 12      | 12        |
| 1160                                      | Appropriation, discretionary (total) .....                 | 12      | 12        |
| 1930                                      | Total budgetary resources available .....                  | 12      | 12        |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 6       | 4         |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 12      | 12        |
| 3020                                      | Outlays (gross) .....                                      | -13     | -12       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -1      |           |
| 3050                                      | Unpaid obligations, end of year .....                      | 4       | 4         |
| Memorandum (non-add) entries:             |  |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 6       | 4         |
| 3200                                      | Obligated balance, end of year .....                       | 4       | 4         |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Discretionary:                            |  |         |           |
| 4000                                      | Budget authority, gross .....                              | 12      | 12        |
| Outlays, gross:                           |  |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 9       | 8         |
| 4011                                      | Outlays from discretionary balances .....                  | 4       | 4         |
| 4020                                      | Outlays, gross (total) .....                               | 13      | 12        |
| 4180                                      | Budget authority, net (total) .....                        | 12      | 12        |
| 4190                                      | Outlays, net (total) .....                                 | 13      | 12        |

These funds are used for payment of the U.S. share of the expenses of:

**International Boundary Commission.**—The Commission, in accordance with existing treaties, maintains the integrity of a well-delineated boundary between the United States and Canada by: surveying, inspecting, and clearing the boundary; repairing or replacing monuments; regulating construction crossing the boundary; and serving as the official U.S. Government source for boundary-specific positional/cartographic data.

**International Joint Commission.**—Pursuant to the Boundary Waters Treaty of 1909 and related Treaties and agreements, the Commission approves, regulates, and monitors structures in boundary waters and transboundary streams, apportions waters between the United States and Canada in selected rivers, and investigates matters referred to it by the United States and Canada that principally include transboundary environmental issues.

**Border Environment Cooperation Commission.**—This bilateral Commission works with States and local communities to provide technical and financial planning assistance and to review and certify project proposals for the purpose of developing effective solutions to environmental problems in the U.S.-Mexico border region.

## AMERICAN SECTIONS, INTERNATIONAL COMMISSIONS—Continued

## Object Classification (in millions of dollars)

| Identification code 19–1082–0–1–301                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 3           | 3       | 3         |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources ..... | 8           | 8       | 8         |
| 99.9 Total new obligations .....                   | 12          | 12      | 12        |

## Employment Summary

| Identification code 19–1082–0–1–301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 18          | 18      | 18        |

## INTERNATIONAL FISHERIES COMMISSIONS

*For necessary expenses for international fisheries commissions, not otherwise provided for, as authorized by law, \$31,445,000: Provided, That the United States share of such expenses may be advanced to the respective commissions pursuant to 31 U.S.C. 3324.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 19–1087–0–1–302                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                       |             |         |           |
| 0002 Inter-American Tropical Tuna Commission .....            | 2           | 2       | 2         |
| 0006 Great Lakes Fishery Commission .....                     | 24          | 25      | 19        |
| 0008 Inter-Pacific Halibut Commission .....                   | 4           | 4       | 4         |
| 0009 Pacific Salmon Commission .....                          | 3           | 3       | 3         |
| 0010 Other Commissions and Marine Science Organizations ..... | 3           | 3       | 3         |
| 0900 Total new obligations .....                              | 36          | 37      | 31        |
| <b>Budgetary Resources:</b>                                   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                |             |         |           |
| 1100 Appropriation .....                                      | 36          | 37      | 31        |
| 1160 Appropriation, discretionary (total) .....               | 36          | 37      | 31        |
| 1930 Total budgetary resources available .....                | 36          | 37      | 31        |
| <b>Change in obligated balance:</b>                           |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....           | 36          | 37      | 31        |
| 3020 Outlays (gross) .....                                    | –36         | –37     | –31       |
| <b>Budget authority and outlays, net:</b>                     |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                            | 36          | 37      | 31        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....           | 36          | 37      | 31        |
| 4180 Budget authority, net (total) .....                      | 36          | 37      | 31        |
| 4190 Outlays, net (total) .....                               | 36          | 37      | 31        |

This appropriation provides the U.S. share of operating expenses for ten treaty-based international fisheries commissions and organizations, two international marine science organizations, one whaling commission, the Arctic Council and the Antarctic Treaty Secretariat, as well as funding regional sea turtle and shark conservation, and travel expenses of non-government U.S. commissioners and their advisors. These commissions and organizations coordinate scientific studies of shared fish stocks and other living marine resources and their habitats and establish common management measures to be implemented by member govern-

ments based on their results. Many also oversee the allocation of fishing rights to their members. In addition, the Great Lakes Fishery Commission carries out a program to eradicate the invasive, parasitic sea lamprey. The marine science organizations coordinate international research on valuable fisheries, oceanography, and marine ecosystems and the results are publicly disseminated and used to advise member governments on fisheries and marine science policy.

## Object Classification (in millions of dollars)

| Identification code 19–1087–0–1–302                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 4           | 4       | 4         |
| 41.0 Grants, subsidies, and contributions .....    | 32          | 33      | 27        |
| 99.9 Total new obligations .....                   | 36          | 37      | 31        |

## OTHER

## Federal Funds

## GLOBAL HIV/AIDS INITIATIVE

## Program and Financing (in millions of dollars)

| Identification code 19–1030–0–1–151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Global HIV/AIDS Initiative .....                             | 20          | 141     | 142       |
| 0900 Total new obligations (object class 41.0) .....              | 20          | 141     | 142       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 38          | 28      | 37        |
| 1021 Recoveries of prior year unpaid obligations .....            | 10          | 150     | 132       |
| 1050 Unobligated balance (total) .....                            | 48          | 178     | 169       |
| 1930 Total budgetary resources available .....                    | 48          | 178     | 169       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 28          | 37      | 27        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 84          | 51      | 20        |
| 3010 Obligations incurred, unexpired accounts .....               | 20          | 141     | 142       |
| 3020 Outlays (gross) .....  | –43         | –22     | –22       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –10         | –150    | –132      |
| 3050 Unpaid obligations, end of year .....                        | 51          | 20      | 8         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 84          | 51      | 20        |
| 3200 Obligated balance, end of year .....                         | 51          | 20      | 8         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 43          | 22      | 22        |
| Offsets against gross budget authority and outlays:               |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4190 Outlays, net (total) .....                                   | 43          | 22      | 22        |

The first phase of the President's Emergency Plan for AIDS Relief (PEPFAR), from 2004 to 2008, was the largest ever global public health initiative by a single country to fight the HIV/AIDS epidemic. Funding was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

## FUNDS APPROPRIATED TO THE PRESIDENT

*For necessary expenses to enable the President to carry out the provisions of the Foreign Assistance Act of 1961, and for other purposes, as follows:*

**GLOBAL HEALTH PROGRAMS**  
(INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the provisions of chapters 1 and 10 of part I of the Foreign Assistance Act of 1961, for global health activities, in addition to funds otherwise available for such purposes, \$2,645,000,000, to remain available until September 30, 2015, and which shall be apportioned directly to the United States Agency for International Development (USAID): Provided, That this amount shall be made available for training, equipment, and technical assistance to build the capacity of public health institutions and organizations in developing countries, and for such activities as: (1) child survival and maternal health programs; (2) immunization and oral rehydration programs; (3) other health, nutrition, water and sanitation programs which directly address the needs of mothers and children, and related education programs; (4) assistance for children displaced or orphaned by causes other than AIDS; (5) programs for the prevention, treatment, control of, and research on HIV/AIDS, tuberculosis, polio, malaria, and other infectious diseases including neglected tropical diseases, and for assistance to communities severely affected by HIV/AIDS, including children infected or affected by AIDS; and (6) family planning/reproductive health: Provided further, That funds appropriated under this paragraph may be made available for a United States contribution to the GAVI Alliance: Provided further, That none of the funds made available in this Act nor any unobligated balances from prior appropriations Acts may be made available to any organization or program which, as determined by the President of the United States, supports or participates in the management of a program of coercive abortion or involuntary sterilization: Provided further, That none of the funds made available under this Act may be used to pay for the performance of abortion as a method of family planning or to motivate or coerce any person to practice abortions: Provided further, That nothing in this paragraph shall be construed to alter any existing statutory prohibitions against abortion under section 104 of the Foreign Assistance Act of 1961: Provided further, That none of the funds made available under this Act may be used to lobby for or against abortion: Provided further, That in order to reduce reliance on abortion in developing nations, funds shall be available only to voluntary family planning projects which offer, either directly or through referral to, or information about access to, a broad range of family planning methods and services, and that any such voluntary family planning project shall meet the following requirements: (1) service providers or referral agents in the project shall not implement or be subject to quotas, or other numerical targets, of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning (this provision shall not be construed to include the use of quantitative estimates or indicators for budgeting and planning purposes); (2) the project shall not include payment of incentives, bribes, gratuities, or financial reward to: (A) an individual in exchange for becoming a family planning acceptor; or (B) program personnel for achieving a numerical target or quota of total number of births, number of family planning acceptors, or acceptors of a particular method of family planning; (3) the project shall not deny any right or benefit, including the right of access to participate in any program of general welfare or the right of access to health care, as a consequence of any individual's decision not to accept family planning services; (4) the project shall provide family planning acceptors comprehensible information on the health benefits and risks of the method chosen, including those conditions that might render the use of the method inadvisable and those adverse side effects known to be consequent to the use of the method; and (5) the project shall ensure that experimental contraceptive drugs and devices and medical procedures are provided only in the context of a scientific study in which participants are advised of potential risks and benefits; and, not less than 60 days after the date on which the USAID Administrator determines that there has been a violation of the requirements contained in paragraph (1), (2), (3), or (5) of this proviso, or a pattern or practice of violations of the requirements contained in paragraph (4) of this proviso, the Administrator shall submit to the Committees on Appropriations a report containing a description of such violation and the corrective action taken by the Agency: Provided further, That in awarding grants for natural family planning under section 104 of the Foreign Assistance Act of 1961 no applicant shall be discriminated against because of such applicant's religious or conscientious commitment to offer only natural family planning; and, additionally, all such applicants shall comply with the requirements of the previous proviso: Provided further, That for purposes

of this or any other Act authorizing or appropriating funds for the Department of State, foreign operations, and related programs, the term "motivate", as it relates to family planning assistance, shall not be construed to prohibit the provision, consistent with local law, of information or counseling about all pregnancy options: Provided further, That information provided about the use of condoms as part of projects or activities that are funded from amounts appropriated by this Act shall be medically accurate and shall include the public health benefits and failure rates of such use.

In addition, for necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 for the prevention, treatment, and control of, and research on, HIV/AIDS, \$5,670,000,000, to remain available until expended, which shall be apportioned directly to the Department of State: Provided, That funds appropriated under this paragraph may be made available, notwithstanding any other provision of law, except for the United States Leadership Against HIV/AIDS, Tuberculosis and Malaria Act of 2003 (Public Law 108-25), as amended, for a United States contribution to the Global Fund to Fight AIDS, Tuberculosis and Malaria (Global Fund), and shall be expended at the minimum rate necessary to make timely payment for projects and activities: Provided further, That up to 5 percent of the aggregate amount of funds made available to the Global Fund in fiscal year 2014 may be made available to USAID for technical assistance related to the activities of the Global Fund: Provided further, That of the funds appropriated under this paragraph, up to \$14,250,000 may be made available, in addition to amounts otherwise available for such purposes, for administrative expenses of the Office of the United States Global AIDS Coordinator.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19-1031-0-1-151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program activity .....  | 6,535       | 7,361   | 7,920     |
| 0002 Administrative Expenses .....  | 7           | 14      | 21        |
| 0799 Total direct obligations .....   | 6,542       | 7,375   | 7,941     |
| 0801 Reimbursable program activity - WCF .....                                  | 440         | 440     | 440       |
| 0900 Total new obligations .....  | 6,982       | 7,815   | 8,381     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 3,880       | 5,134   | 5,572     |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 33          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 28          | 30      | 30        |
| 1050 Unobligated balance (total) .....  | 3,941       | 5,164   | 5,602     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 8,168       | 8,218   | 8,315     |
| 1120 Appropriations transferred to other accts [72-1264] .....                  | -1          |         |           |
| 1121 Appropriations transferred from other accts [72-1005] .....                | 5           |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 8,172       | 8,218   | 8,315     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 4           | 5       | 5         |
| 1701 Change in uncollected payments, Federal sources .....                      | -1          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 3           | 5       | 5         |
| 1900 Budget authority (total) .....   | 8,175       | 8,223   | 8,320     |
| 1930 Total budgetary resources available .....                                  | 12,116      | 13,387  | 13,922    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 5,134       | 5,572   | 5,541     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 9,238       | 8,486   | 8,171     |
| 3010 Obligations incurred, unexpired accounts .....                             | 6,982       | 7,815   | 8,381     |
| 3011 Obligations incurred, expired accounts .....                               | 3           |         |           |
| 3020 Outlays (gross) .....  | -7,686      | -8,100  | -8,567    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -28         | -30     | -30       |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -23         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 8,486       | 8,171   | 7,955     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -1          |         |           |

GLOBAL HEALTH PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 19–1031–0–1–151                     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....      | 1           |         |           |
| Memorandum (non-add) entries:                           |  |             |         |           |
| 3100  | Obligated balance, start of year .....                         | 9,237       | 8,486   | 8,171     |
| 3200  | Obligated balance, end of year .....                           | 8,486       | 8,171   | 7,955     |
| <b>Budget authority and outlays, net:</b>               |  |             |         |           |
| Discretionary:  |  |             |         |           |
| 4000  | Budget authority, gross .....                                  | 8,175       | 8,223   | 8,320     |
| Outlays, gross:   |  |             |         |           |
| 4010  | Outlays from new discretionary authority .....                 | 1,385       | 2,213   | 2,490     |
| 4011  | Outlays from discretionary balances .....                      | 6,301       | 5,887   | 6,077     |
| 4020  | Outlays, gross (total) .....                                   | 7,686       | 8,100   | 8,567     |
| Offsets against gross budget authority and outlays:     |  |             |         |           |
| Offsetting collections (collected) from:                |  |             |         |           |
| 4030  | Federal sources .....  | –25         | –5      | –5        |
| Additional offsets against gross budget authority only: |  |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | 1           |         |           |
| 4052  | Offsetting collections credited to expired accounts .....      | 21          |         |           |
| 4060  | Additional offsets against budget authority only (total) ..... | 22          |         |           |
| 4070  | Budget authority, net (discretionary) .....                    | 8,172       | 8,218   | 8,315     |
| 4080  | Outlays, net (discretionary) .....                             | 7,661       | 8,095   | 8,562     |
| 4180  | Budget authority, net (total) .....                            | 8,172       | 8,218   | 8,315     |
| 4190  | Outlays, net (total) .....                                     | 7,661       | 8,095   | 8,562     |

The Global Health Programs account funds health-related foreign assistance for the Department of State (DOS) and the U.S. Agency for International Development (USAID), representing the majority of funds provided for the President's Global Health Initiative (GHI). GHI seeks to improve health outcomes by adopting a women, girls, and gender-equity approach to health; increasing impact through strategic integration and coordination; strengthening and leveraging multilateral institutions; encouraging country ownership and investing in country-led plans; building sustainability through health systems strengthening; improving metrics, monitoring and evaluation; and promoting research, development and innovation.

**Global Health Programs-State.**—Within GHI, the Global Health Programs (GHP-State) account supports the goal of creating an AIDS-free generation through the President's Emergency Plan for AIDS Relief (PEPFAR). The 2014 Budget requests \$5.7 billion in the GHP-State account, representing the bulk of PEPFAR funding. PEPFAR is led by the Office of the Global AIDS Coordinator in DOS, which draws upon the expertise and experience of other USG partners such as the U.S. Agency for International Development (USAID), the Department of Health and Human Services, the Department of Defense, and the Peace Corps to bring the full force of our government's capacity to the fight against global AIDS. Programs work through expanded partnerships to build capacity for effective, innovative, country-led, and sustainable services, and to create a supportive and enabling policy environment for combating HIV/AIDS, including as part of the broader USG and country-level health and development approach. In addition, PEPFAR supports implementation of strong monitoring and evaluation systems to set benchmarks for outcomes and programmatic efficiencies through regularly assessed planning and reporting processes to ensure goals are being met. PEPFAR programs support strategic, scientifically sound investments to rapidly scale up core HIV/AIDS prevention, care, and treatment interventions within the context of strengthened health systems, particularly in terms of human resources in nations with severe health worker shortages and lack of service delivery capacity. As part of GHI, PEPFAR integrates its efforts with important programs in other areas of global health as well as other areas of development, including the areas of education,

gender equity, and economic development. A contribution of \$1.65 billion to the Global Fund to Fight AIDS, Tuberculosis and Malaria is included in the GHP-State request.

**Global Health Programs-USAID.**—The 2014 Budget requests \$2.6 billion in the GHP-USAID account for a comprehensive and integrated approach to improve global health outcomes as outlined in GHI. USAID, working in partnership with foreign governments, local private sector and non-governmental organizations, and public-private partnerships, will build capacity, strengthen health systems, and promote sustainable integrated health care for vulnerable populations. Funding includes activities that support the goal of ending preventable child deaths, and—in synergy with the Feed the Future Initiative—support nutrition activities, addressing such issues as micronutrient and iodine deficiencies. GHP-USAID funding will also promote voluntary family planning/reproductive health, pursue polio eradication, support activities directed at vulnerable children, reduce HIV transmission and the impact of the global HIV/AIDS epidemic in high-burden countries, and address the threat of other infectious diseases such as tuberculosis and multi-drug resistant tuberculosis, malaria, influenza and other pandemic diseases, and neglected tropical diseases in developing countries.

Health programs formerly funded through the Assistance for Europe, Eurasia and Central Asia account are now being requested in the GHP account.

## Object Classification (in millions of dollars)

| Identification code 19–1031–0–1–151 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....   | 6           | 6       | 6         |
| 21.0                                | Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....       | 6           | 6       | 6         |
| 99.0                                | Direct obligations .....                            | 14          | 14      | 14        |
| 99.0                                | Reimbursable obligations .....                      | 440         | 440     | 440       |
| Allocation Account - direct:        |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....   | 8           | 8       | 8         |
| 12.1                                | Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 21.0                                | Travel and transportation of persons .....          | 10          | 10      | 10        |
| 25.2                                | Other services from non-Federal sources .....       | 135         | 135     | 135       |
| 25.3                                | Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....          | 6,373       | 7,206   | 7,772     |
| 99.0                                | Allocation account - direct .....                   | 6,528       | 7,361   | 7,927     |
| 99.9                                | Total new obligations .....                         | 6,982       | 7,815   | 8,381     |

## Employment Summary

| Identification code 19–1031–0–1–151 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 38          | 38      | 38        |

## MIDDLE EAST AND NORTH AFRICA INCENTIVE FUND

*For necessary expenses for a Middle East and North Africa Incentive Fund to carry out the provisions of the Foreign Assistance Act of 1961, \$580,000,000, to remain available until September 30, 2018, which shall be available, notwithstanding any other provision of law, for assistance and for contributions to promote regional peace and security, political and economic reform, and stability in the Middle East and North Africa: Provided, That funds appropriated under this heading and the heading "Economic Support Fund" in this Act and prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, may be made available for the costs of direct and guaranteed loans for countries in the Middle East and North Africa: Provided further, That such costs, including the cost of modifying such loans and loan guarantees,*



shall be as defined in section 502 of the Congressional Budget Act of 1974, and may include the costs of selling, reducing, or cancelling any amounts owed to the United States or any agency of the United States by any country in the Middle East and North Africa: Provided further, That, during fiscal years 2014 through 2018, these funds are available to subsidize gross obligations for the principal amount of direct loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$4,000,000,000: Provided further, That the Government of the United States may charge fees for loans and loan guarantees under this heading, which shall be collected from borrowers or third parties on behalf of such borrowers in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided further, That amounts that are made available under this heading for the costs of direct loans, loan guarantees, and modifications shall not be considered assistance for the purposes of provisions of law limiting assistance to a country: Provided further, That funds appropriated under this heading may be transferred to and merged with funds appropriated under the heading "Contributions to International Peacekeeping" for peacekeeping operations in the Middle East and North Africa: Provided further, That funds appropriated under this heading may be transferred to any institution, fund, or program for which funds were made available under the heading "Multilateral Assistance, Funds Appropriated to the President, International Financial Institutions" for the purposes of this heading: Provided further, That up to 5 percent of funds appropriated under this heading may be made available for administrative expenses of agencies implementing and managing programs funded under this heading, in addition to funds otherwise available for such purposes, and such funds may be transferred to and merged with funds under the headings "Diplomatic and Consular Programs" and "Operating Expenses".

**Program and Financing** (in millions of dollars)

| Identification code 19–1156–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    |             |         | 290       |
| 0900 Total new obligations (object class 41.0) .....  |             |         | 290       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              |             |         | 580       |
| 1160 Appropriation, discretionary (total) .....       |             |         | 580       |
| 1930 Total budgetary resources available .....        |             |         | 580       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 290       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 290       |
| 3020 Outlays (gross) .....                            |             |         | –145      |
| 3050 Unpaid obligations, end of year .....            |             |         | 145       |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 145       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    |             |         | 580       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             |         | 145       |
| 4180 Budget authority, net (total) .....              |             |         | 580       |
| 4190 Outlays, net (total) .....                       |             |         | 145       |

The Middle East and North Africa Incentive Fund will be established to provide assistance to countries undergoing transitions in the Middle East and North Africa (MENA) region. The Fund will provide incentives for long-term political and economic reform, and may supplement contingency funds as needed to respond to emerging opportunities and crises in the region. The MENA Incentive Fund may provide assistance bilaterally, regionally, or through contributions to multilateral initiatives with other donors, to promote effective, democratic governance, vibrant

civil societies, and inclusive, market-based economic growth in transitioning MENA countries.

**MIGRATION AND REFUGEE ASSISTANCE**

For necessary expenses not otherwise provided for, to enable the Secretary of State to carry out the provisions of section 2(a) and (b) of the Migration and Refugee Assistance Act of 1962, and other activities to meet refugee and migration needs; salaries and expenses of personnel and dependents as authorized by the Foreign Service Act of 1980; allowances as authorized by sections 5921 through 5925 of title 5, United States Code; purchase and hire of passenger motor vehicles; and services as authorized by section 3109 of title 5, United States Code, \$1,760,960,000, to remain available until expended, of which \$15,000,000 shall be made available for refugees resettling in Israel.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19–1143–0–1–151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Overseas assistance .....                                     | 1,444       | 1,637   | 1,353     |
| 0002 U.S. refugee admissions program .....                         | 329         | 375     | 403       |
| 0003 Refugees to Israel .....                                      | 20          | 15      | 15        |
| 0005 Administrative expenses .....                                 | 31          | 34      | 35        |
| 0799 Total direct obligations .....                                | 1,824       | 2,061   | 1,806     |
| 0801 Reimbursable program .....                                    | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 1,825       | 2,062   | 1,807     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 139         | 202     | 36        |
| 1021 Recoveries of prior year unpaid obligations .....             | 12          | 10      | 10        |
| 1050 Unobligated balance (total) .....                             | 151         | 212     | 46        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1,868       | 1,649   | 1,761     |
| 1100 Appropriation-OCO .....                                       |             | 229     |           |
| 1121 Appropriations transferred from other accts [72–1037] .....   | 7           | 7       |           |
| 1160 Appropriation, discretionary (total) .....                    | 1,875       | 1,885   | 1,761     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 1,876       | 1,886   | 1,762     |
| 1930 Total budgetary resources available .....                     | 2,027       | 2,098   | 1,808     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 202         | 36      | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 610         | 699     | 836       |
| 3010 Obligations incurred, unexpired accounts .....                | 1,825       | 2,062   | 1,807     |
| 3020 Outlays (gross) .....   | –1,724      | –1,915  | –1,856    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –12         | –10     | –10       |
| 3050 Unpaid obligations, end of year .....                         | 699         | 836     | 777       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 610         | 699     | 836       |
| 3200 Obligated balance, end of year .....                          | 699         | 836     | 777       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 1,876       | 1,886   | 1,762     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 1,184       | 1,532   | 1,410     |
| 4011 Outlays from discretionary balances .....                     | 540         | 383     | 446       |
| 4020 Outlays, gross (total) .....                                  | 1,724       | 1,915   | 1,856     |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –1          | –1      | –1        |
| 4180 Budget authority, net (total) .....                           | 1,875       | 1,885   | 1,761     |
| 4190 Outlays, net (total) .....                                    | 1,723       | 1,914   | 1,855     |

## MIGRATION AND REFUGEE ASSISTANCE—Continued

**Overseas Assistance.**—The majority of the Migration and Refugee Assistance (MRA) account addresses the protection and assistance needs of refugees, conflict victims, stateless persons, and vulnerable migrants worldwide. Funds primarily support the programs of international organizations, including the United Nations High Commissioner for Refugees (UNHCR), the International Committee of the Red Cross (ICRC), the United Nations Relief and Works Agency for Palestine Refugees in the Near East (UNRWA), and the International Organization for Migration (IOM), as well as non-governmental organizations (NGOs).

**Humanitarian Migrants to Israel.**—These funds assist humanitarian migrants resettling in Israel.

**U.S. Refugee Admissions.**—MRA funds overseas processing, transportation, and initial placement for refugees and certain other categories of special immigrants resettling in the United States. These activities are carried out primarily by NGO partners and IOM.

**Administrative Expenses.**—These funds finance the salaries and operating expenses in Washington, D.C. and overseas for the Bureau of Population, Refugees, and Migration. (Note: Funds for the salaries and support costs of the positions dedicated to international population policy and coordination are requested under the Department of State's Diplomatic and Consular Programs appropriation.)

## Object Classification (in millions of dollars)

| Identification code 19–1143–0–1–151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 15          | 16      | 17        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 6         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 29          | 31      | 30        |
| 41.0 Grants, subsidies, and contributions .....                 | 1,773       | 2,006   | 1,750     |
| 99.0 Direct obligations .....                                   | 1,825       | 2,061   | 1,806     |
| 99.0 Reimbursable obligations .....                             |             | 1       | 1         |
| 99.9 Total new obligations .....                                | 1,825       | 2,062   | 1,807     |

## Employment Summary

| Identification code 19–1143–0–1–151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 130         | 130     | 130       |

## Program and Financing (in millions of dollars)

| Identification code 11–0040–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 36          | 40      | 254       |
| 0900 Total new obligations (object class 41.0) .....  | 36          | 40      | 254       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| 1000 Unobligated balance:                             |             |         |           |
| Unobligated balance brought forward, Oct 1 .....      | 26          | 17      | 4         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 27          | 27      | 250       |
| 1160 Appropriation, discretionary (total) .....       | 27          | 27      | 250       |
| 1930 Total budgetary resources available .....        | 53          | 44      | 254       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 17          | 4       |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 14          | 8       | 9         |
| 3010 Obligations incurred, unexpired accounts .....   | 36          | 40      | 254       |
| 3020 Outlays (gross) .....                            | –42         | –39     | –206      |
| 3050 Unpaid obligations, end of year .....            | 8           | 9       | 57        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 14          | 8       | 9         |
| 3200 Obligated balance, end of year .....             | 8           | 9       | 57        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 27          | 27      | 250       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 29          | 22      | 200       |
| 4011 Outlays from discretionary balances .....        | 13          | 17      | 6         |
| 4020 Outlays, gross (total) .....                     | 42          | 39      | 206       |
| 4180 Budget authority, net (total) .....              | 27          | 27      | 250       |
| 4190 Outlays, net (total) .....                       | 42          | 39      | 206       |

The Emergency Refugee and Migration Assistance Fund enables the President to provide humanitarian assistance for unexpected and urgent refugee and migration needs worldwide. The 2014 request of \$250 million will allow the United States to respond quickly to urgent and unexpected needs of refugees and other populations of concern, including, but not limited to, emergency humanitarian needs in Syria. The ability to use the funds for either refugees or for other populations inside a country is crucial to respond effectively to evolving needs during crises. To meet these needs, the appropriations language provides that these funds can be transferred to International Disaster Assistance as appropriate, after the President has made the requisite determination under section 2(c)(1) of the Migration and Refugee Assistance Act of 1962.

## UNITED STATES EMERGENCY REFUGEE AND MIGRATION ASSISTANCE FUND

*For necessary expenses to carry out the provisions of section 2(c) of the Migration and Refugee Assistance Act of 1962, as amended (22 U.S.C. 2601(c)), \$250,000,000, to remain available until expended: Provided, That funds made available under this heading shall not be counted toward the limitation established by section 2(c)(2) of the Migration and Refugee Assistance Act of 1962, as amended, on amounts that may be appropriated to the United States Emergency Refugee and Migration Assistance Fund: Provided further, That the funds made available under this heading may be transferred to, and merged with, the funds made available under the heading International Disaster Assistance, after the President has made the determination under section 2(c)(1) of the Migration and Refugee Assistance Act of 1962, as amended, with respect to such funds.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## COMPLEX CRISES FUND

*For necessary expenses to carry out the provisions of the Foreign Assistance Act of 1961 to support programs and activities to prevent or respond to emerging or unforeseen complex crises overseas, \$40,000,000, to remain available until expended: Provided, That funds appropriated under this heading may be made available for the purposes of preventing or responding to such crises, except that no funds shall be made available to respond to natural disasters: Provided further, That funds appropriated under this heading may be made available notwithstanding any other provision of law: Provided further, That a report shall be submitted to the Committees on Appropriations at least 5 days in advance of the obligation of funds: Provided further, That up to \$7,000,000 of the funds appropriated under this heading may be transferred to, and merged with, funds appropriated under the heading "Conflict Stabilization Operations".*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 72–1015–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 43          | 70      | 40        |
| 0900 Total new obligations (object class 41.0) .....  | 43          | 70      | 40        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 41          | 38      | 8         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 40          | 40      | 40        |
| 1160 Appropriation, discretionary (total) .....       | 40          | 40      | 40        |
| 1930 Total budgetary resources available .....        | 81          | 78      | 48        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 38          | 8       | 8         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 27          | 49      | 55        |
| 3010 Obligations incurred, unexpired accounts .....   | 43          | 70      | 40        |
| 3020 Outlays (gross) .....                            | –21         | –64     | –49       |
| 3050 Unpaid obligations, end of year .....            | 49          | 55      | 46        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 27          | 49      | 55        |
| 3200 Obligated balance, end of year .....             | 49          | 55      | 46        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 40          | 40      | 40        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 1           | 11      | 10        |
| 4011 Outlays from discretionary balances .....        | 20          | 53      | 39        |
| 4020 Outlays, gross (total) .....                     | 21          | 64      | 49        |
| 4180 Budget authority, net (total) .....              | 40          | 40      | 40        |
| 4190 Outlays, net (total) .....                       | 21          | 64      | 49        |

The Complex Crises Fund provides funding to support the State Department and U.S. Agency for International Development's rapid response capabilities for assistance activities to prevent or respond to emerging or unforeseen complex crises. Managed by USAID, the funds will target countries or regions that demonstrate a high or escalating risk of conflict or instability, or present an unanticipated opportunity for progress in a newly-emerging or fragile democracy. Projects aim to address and prevent root causes of conflict and instability through a whole-of-government approach and can include the participation of host governments and other partners. The request includes authority to transfer funds to the Civilian Stabilization Operations account to provide program funding for the Civilian Response Corps.

**INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT**

*For necessary expenses to carry out section 481 of the Foreign Assistance Act of 1961, \$1,129,727,000 to remain available until September 30, 2015: Provided, That during fiscal year 2014, the Department of State may also use the authority of section 608 of the Foreign Assistance Act of 1961, without regard to its restrictions, to receive excess property from an agency of the United States Government for the purpose of providing it to a foreign country or international organization under chapter 8 of part I of that Act: Provided further, That section 482(b) of the Foreign Assistance Act of 1961 shall not apply to funds appropriated under this heading: Provided further, That the provision of assistance which is comparable to assistance made available under this heading but which is provided under any other provision of law, shall be provided in accordance with the provisions of sections 481(b) and 622(c) of the Foreign Assistance Act of 1961: Provided further, That notwithstanding any provision of this or any prior Act, funds appropriated in prior years under the headings "Andean Counterdrug*

*Initiative" and "Andean Counterdrug Program" shall be available for use in any country for which funds may be made available under this heading without regard to the geographic or purpose limitations under which such funds were originally appropriated.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19–1022–0–1–151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Counterdrug and Anti-Crime Programs .....                                  | 2,081       | 2,083   | 1,983     |
| 0801 Reimbursable program .....   | 138         | 284     | 284       |
| 0900 Total new obligations .....  | 2,219       | 2,367   | 2,267     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1,618       | 1,422   | 1,390     |
| 1010 Unobligated balance transfer to other accts [72–1037] .....                | –15         | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [72–0306] .....              | 5           | .....   | .....     |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 68          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 78          | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 1,754       | 1,422   | 1,390     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation (regular) .....  | 2,045       | 1,067   | 1,130     |
| 1100 Appropriation – OCO .....  | .....       | 984     | .....     |
| 1120 Appropriations transferred to other accts [72–1037] .....                  | –270        | .....   | .....     |
| 1120 Appropriations transferred to other accts [72–1032] .....                  | –45         | .....   | .....     |
| 1121 Appropriations transferred from other accts [72–0306] .....                | 74          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                                 | 1,804       | 2,051   | 1,130     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 137         | 284     | 284       |
| 1701 Change in uncollected payments, Federal sources .....                      | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 138         | 284     | 284       |
| 1900 Budget authority (total) .....   | 1,942       | 2,335   | 1,414     |
| 1930 Total budgetary resources available .....                                  | 3,696       | 3,757   | 2,804     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –55         | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                           | 1,422       | 1,390   | 537       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 3,518       | 3,627   | 2,961     |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....            | 108         | .....   | .....     |
| 3010 Obligations incurred, unexpired accounts .....                             | 2,219       | 2,367   | 2,267     |
| 3011 Obligations incurred, expired accounts .....                               | 16          | .....   | .....     |
| 3020 Outlays (gross) .....  | –2,068      | –3,033  | –2,574    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –78         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –88         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                      | 3,627       | 2,961   | 2,654     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –29         | –1      | –1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | –1          | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 29          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | –1          | –1      | –1        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 3,597       | 3,626   | 2,960     |
| 3200 Obligated balance, end of year .....                                       | 3,626       | 2,960   | 2,653     |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 1,942       | 2,335   | 1,414     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 97          | 267     | 175       |
| 4011 Outlays from discretionary balances .....                                  | 1,971       | 2,766   | 2,399     |
| 4020 Outlays, gross (total) .....   | 2,068       | 3,033   | 2,574     |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | –174        | –284    | –284      |
| 4033 Non-Federal sources .....  | –1          | .....   | .....     |
| 4040 Offsets against gross budget authority and outlays (total) ....            | –175        | –284    | –284      |
| Additional offsets against gross budget authority only:                         |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                  | –1          | .....   | .....     |

INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT—Continued  
Program and Financing—Continued

| Identification code 19–1022–0–1–151                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4052 Offsetting collections credited to expired accounts .....      | 38          | .....   | .....     |
| 4060 Additional offsets against budget authority only (total) ..... | 37          | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                    | 1,804       | 2,051   | 1,130     |
| 4080 Outlays, net (discretionary) .....                             | 1,893       | 2,749   | 2,290     |
| 4180 Budget authority, net (total) .....                            | 1,804       | 2,051   | 1,130     |
| 4190 Outlays, net (total) .....                                     | 1,893       | 2,749   | 2,290     |

## Summary of Budget Authority and Outlays (in millions of dollars)

|                                  | 2012 actual | 2013 CR | 2014 est. |
|----------------------------------|-------------|---------|-----------|
| Enacted/requested:               |             |         |           |
| Budget Authority .....           | 1,804       | 2,051   | 1,130     |
| Outlays .....                    | 1,893       | 2,749   | 2,290     |
| Overseas contingency operations: |             |         |           |
| Budget Authority .....           | .....       | .....   | 344       |
| Outlays .....                    | .....       | .....   | 34        |
| Total:                           |             |         |           |
| Budget Authority .....           | 1,804       | 2,051   | 1,474     |
| Outlays .....                    | 1,893       | 2,749   | 2,324     |

This appropriation provides assistance to foreign countries and international organizations to assist them in developing and implementing policies and programs that strengthen institutional law enforcement and judicial capabilities, countering drug flows, and combating transnational crime, and establish and maintain the rule of law. This appropriation also provides assistance for regional security initiatives such as the Central Asia Counternarcotics Initiative, the Central America Regional Security Initiative, the Caribbean Basin Security Initiative, and provides capacity building to nations enduring transnational crime and stabilization problems, including Mexico and Afghanistan. The 2014 budget normalizes foreign assistance resources for the countries of Europe, Eurasia, and Central Asia. Appropriations for the programs formerly funded through the Assistance for Europe, Eurasia and Central Asia account are now being requested in the Economic Support Fund, the International Narcotics Control and Law Enforcement, and Global Health Programs accounts.

## Object Classification (in millions of dollars)

| Identification code 19–1022–0–1–151                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 60          | 60      | 60        |
| 11.3 Other than full-time permanent .....          | 39          | 39      | 39        |
| 11.9 Total personnel compensation .....            | 99          | 99      | 99        |
| 12.1 Civilian personnel benefits .....             | 17          | 17      | 17        |
| 21.0 Travel and transportation of persons .....    | 23          | 23      | 23        |
| 23.2 Rental payments to others .....               | 7           | 8       | 8         |
| 25.2 Other services from non-Federal sources ..... | 1,516       | 1,520   | 1,420     |
| 26.0 Supplies and materials .....                  | 19          | 18      | 18        |
| 31.0 Equipment .....                               | 72          | 70      | 70        |
| 41.0 Grants, subsidies, and contributions .....    | 328         | 328     | 328       |
| 99.0 Direct obligations .....                      | 2,081       | 2,083   | 1,983     |
| 99.0 Reimbursable obligations .....                | 138         | 284     | 284       |
| 99.9 Total new obligations .....                   | 2,219       | 2,367   | 2,267     |

## Employment Summary

| Identification code 19–1022–0–1–151                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 283         | 283     | 283       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 49          | 49      | 49        |

## INTERNATIONAL NARCOTICS CONTROL AND LAW ENFORCEMENT

## (Overseas contingency operations)

For an additional amount for "International Narcotics Control and Law Enforcement", \$344,000,000, to remain available until September 30, 2015: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 19–1022–8–1–151                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Counterdrug and Anti-Crime Programs .....       | .....       | .....   | 344       |
| 0900 Total new obligations (object class 25.2) ..... | .....       | .....   | 344       |
| Budgetary Resources:                                 |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation (regular) .....                   | .....       | .....   | 344       |
| 1160 Appropriation, discretionary (total) .....      | .....       | .....   | 344       |
| 1930 Total budgetary resources available .....       | .....       | .....   | 344       |
| Change in obligated balance:                         |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | .....       | .....   | 344       |
| 3020 Outlays (gross) .....                           | .....       | .....   | –34       |
| 3050 Unpaid obligations, end of year .....           | .....       | .....   | 310       |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            | .....       | .....   | 310       |
| Budget authority and outlays, net:                   |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | .....       | .....   | 344       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | .....       | .....   | 34        |
| 4180 Budget authority, net (total) .....             | .....       | .....   | 344       |
| 4190 Outlays, net (total) .....                      | .....       | .....   | 34        |

As part of the Overseas Operations Contingency budget, a total of \$344 million is requested; of which, \$315 million is for the temporary and extraordinary costs of the Afghanistan program to support counternarcotics, justice, corrections, and various support programs in Afghanistan; and, \$29 million is requested for Pakistan to support law enforcement and border security efforts that strengthen the presence and operational capabilities of Pakistani law enforcement, particularly in the challenging terrain bordering Afghanistan.

## ANDEAN COUNTERDRUG PROGRAMS

## Program and Financing (in millions of dollars)

| Identification code 19–1154–0–1–151                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                       |             |         |           |
| 0001 Total: Program Activity .....                     | 6           | 2       | .....     |
| 0900 Total new obligations (object class 25.2) .....   | 6           | 2       | .....     |
| Budgetary Resources:                                   |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 7           | 2       | .....     |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           | .....   | .....     |

|                               |  |   |   |       |
|-------------------------------|--|---|---|-------|
| 1050                          | Unobligated balance (total) .....                | 8 | 2 | ..... |
| 1930                          | Total budgetary resources available .....        | 8 | 2 | ..... |
| Memorandum (non-add) entries: |  |   |   |       |
| 1941                          | Unexpired unobligated balance, end of year ..... | 2 |   | ..... |

**Change in obligated balance:**

|                               |  |      |     |       |
|-------------------------------|--|------|-----|-------|
| Unpaid obligations:           |  |      |     |       |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 260  | 130 | 46    |
| 3010                          | Obligations incurred, unexpired accounts .....               | 6    | 2   | ..... |
| 3020                          | Outlays (gross) .....  | -117 | -86 | -30   |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -1   |     | ..... |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -18  |     | ..... |
| 3050                          | Unpaid obligations, end of year .....                        | 130  | 46  | 16    |
| Memorandum (non-add) entries: |  |      |     |       |
| 3100                          | Obligated balance, start of year .....                       | 260  | 130 | 46    |
| 3200                          | Obligated balance, end of year .....                         | 130  | 46  | 16    |

**Budget authority and outlays, net:**

|   |   |     |    |       |
|---|---|-----|----|-------|
| Discretionary:  |   |     |    |       |
| Outlays, gross:   |   |     |    |       |
| 4011  | Outlays from discretionary balances .....                       | 117 | 86 | 30    |
| Offsets against gross budget authority and outlays:     |   |     |    |       |
| Offsetting collections (collected) from:                |   |     |    |       |
| 4030  | Federal sources .....   | -4  |    | ..... |
| 4033  | Non-Federal sources .....                                       | -1  |    | ..... |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -5  |    | ..... |
| Additional offsets against gross budget authority only: |   |     |    |       |
| 4052  | Offsetting collections credited to expired accounts .....       | 5   |    | ..... |
| 4080  | Outlays, net (discretionary) .....                              | 112 | 86 | 30    |
| 4190  | Outlays, net (total) .....                                      | 112 | 86 | 30    |

This account funded U.S. assistance to Plan Colombia and follow-on activities from 2000 to 2010. These funds supported the Colombian Army's push into southern Colombia in support of the Colombian National Police, enhanced drug interdiction in Colombia and the region, increased support to the Colombian National Police, provided for economic development in Colombia and the Andean region, and boosted Colombia's local and national government capacity. Beginning in 2010, funds for these programs is requested and appropriated in the International Narcotics Control and Law Enforcement account.

**DEMOCRACY FUND**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19-1121-0-1-151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program activity .....  | 118         | 113     | 113       |
| 0900 Total new obligations (object class 41.0) .....                            | 118         | 113     | 113       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 113         | 113     | 115       |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 3           |         | .....     |
| 1050 Unobligated balance (total) .....  | 116         | 113     | 115       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 115         | 115     | .....     |
| 1160 Appropriation, discretionary (total) .....                                 | 115         | 115     | .....     |
| 1930 Total budgetary resources available .....                                  | 231         | 228     | 115       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 113         | 115     | 2         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 189         | 188     | 148       |
| 3010 Obligations incurred, unexpired accounts .....                             | 118         | 113     | 113       |

|   |      |      |       |
|---|------|------|-------|
| 3011 Obligations incurred, expired accounts .....               | 1    |      | ..... |
| 3020 Outlays (gross) .....                                      | -114 | -153 | -145  |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -6   |      | ..... |
| 3050 Unpaid obligations, end of year .....                      | 188  | 148  | 116   |
| Memorandum (non-add) entries:                                   |      |      |       |
| 3100 Obligated balance, start of year .....                     | 189  | 188  | 148   |
| 3200 Obligated balance, end of year .....                       | 188  | 148  | 116   |

**Budget authority and outlays, net:**

|   |     |     |       |
|---|-----|-----|-------|
| Discretionary:                                      |     |     |       |
| 4000 Budget authority, gross .....                  | 115 | 115 | ..... |
| Outlays, gross:                                     |     |     |       |
| 4010 Outlays from new discretionary authority ..... |     | 38  | ..... |
| 4011 Outlays from discretionary balances .....      | 114 | 115 | 145   |
| 4020 Outlays, gross (total) .....                   | 114 | 153 | 145   |
| 4180 Budget authority, net (total) .....            | 115 | 115 | ..... |
| 4190 Outlays, net (total) .....                     | 114 | 153 | 145   |

This appropriation funds some democracy promotion activities of the Department of State and the U.S. Agency for International Development. 2014 funding for these activities is requested in the Economic Support Fund and Development Assistance accounts.

**THE ASIA FOUNDATION**

*For a grant to The Asia Foundation, as authorized by The Asia Foundation Act (22 U.S.C. 4402), \$17,000,000, to remain available until expended, as authorized.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 19-0525-0-1-154                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Program activities and operations .....          | 17          | 17      | 17        |
| 0900 Total new obligations (object class 41.0) .....  | 17          | 17      | 17        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 17          | 17      | 17        |
| 1160 Appropriation, discretionary (total) .....       | 17          | 17      | 17        |
| 1930 Total budgetary resources available .....        | 17          | 17      | 17        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 7           | 8       | .....     |
| 3010 Obligations incurred, unexpired accounts .....   | 17          | 17      | 17        |
| 3020 Outlays (gross) .....                            | -16         | -25     | -17       |
| 3050 Unpaid obligations, end of year .....            | 8           |         | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 7           | 8       | .....     |
| 3200 Obligated balance, end of year .....             | 8           |         | .....     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 17          | 17      | 17        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 9           | 17      | 17        |
| 4011 Outlays from discretionary balances .....        | 7           | 8       | .....     |
| 4020 Outlays, gross (total) .....                     | 16          | 25      | 17        |
| 4180 Budget authority, net (total) .....              | 17          | 17      | 17        |
| 4190 Outlays, net (total) .....                       | 16          | 25      | 17        |

The Asia Foundation is a private, nonprofit organization incorporated and headquartered in California. The Asia Foundation operates programs through 17 offices in Asia to support democratic initiatives, governance and economic reform, rule of law,

## THE ASIA FOUNDATION—Continued

women's empowerment programs, and closer U.S.-Asian relations by providing grants to institutions in Asia.

## NATIONAL ENDOWMENT FOR DEMOCRACY

*For grants made by the Department of State to the National Endowment for Democracy, as authorized by the National Endowment for Democracy Act, \$103,450,000, to remain available until expended: Provided, That the President of the National Endowment for Democracy shall submit to the Committees on Appropriations not later than 45 days after the date of enactment of this Act a report on the proposed uses of funds under this heading on a regional and country basis.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 19–0210–0–1–154                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Base program activities .....                    | 118         | 118     | 103       |
| 0900 Total new obligations (object class 41.0) .....  | 118         | 118     | 103       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 118         | 118     | 103       |
| 1160 Appropriation, discretionary (total) .....       | 118         | 118     | 103       |
| 1930 Total budgetary resources available .....        | 118         | 118     | 103       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 84          | 79      | 61        |
| 3010 Obligations incurred, unexpired accounts .....   | 118         | 118     | 103       |
| 3020 Outlays (gross) .....                            | –123        | –136    | –132      |
| 3050 Unpaid obligations, end of year .....            | 79          | 61      | 32        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 84          | 79      | 61        |
| 3200 Obligated balance, end of year .....             | 79          | 61      | 32        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 118         | 118     | 103       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 56          | 81      | 71        |
| 4011 Outlays from discretionary balances .....        | 67          | 55      | 61        |
| 4020 Outlays, gross (total) .....                     | 123         | 136     | 132       |
| 4180 Budget authority, net (total) .....              | 118         | 118     | 103       |
| 4190 Outlays, net (total) .....                       | 123         | 136     | 132       |

The National Endowment for Democracy (NED) is a private, nonprofit corporation established in Washington, D.C. to encourage and strengthen the development of democratic institutions and processes internationally. NED supports democratic initiatives in six regions of the world: Africa, Asia, Central and Eastern Europe, Latin America, the Middle East, and Eurasia. Working with civil society organizations, NED will continue efforts to strengthen democracy and tolerance in the Middle East through the Broader Middle East and North Africa Initiative.

The National Endowment for Democracy Act (Public Law 98–164), as amended, provides for an annual grant to the Endowment to fulfill the purposes of the Act. NED does not carry out programs directly but its Board approves annual grants to organizations such as the American Center for International Labor Solidarity, the Center for International Private Enterprise, the International Republican Institute, the National Democratic Institute for International Affairs, and indigenous organizations

working to promote civic education, human rights, independent media, and other democratic processes and values.

## EAST-WEST CENTER

*To enable the Secretary of State to provide for carrying out the provisions of the Center for Cultural and Technical Interchange Between East and West Act of 1960, by grant to the Center for Cultural and Technical Interchange Between East and West in the State of Hawaii, \$10,800,000: Provided, That none of the funds appropriated herein shall be used to pay any salary, or enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 19–0202–0–1–154                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Program activities and operations .....          | 17          | 17      | 11        |
| 0900 Total new obligations (object class 41.0) .....  | 17          | 17      | 11        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 17          | 17      | 11        |
| 1160 Appropriation, discretionary (total) .....       | 17          | 17      | 11        |
| 1930 Total budgetary resources available .....        | 17          | 17      | 11        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 17          | 17      | 11        |
| 3020 Outlays (gross) .....                            | –17         | –17     | –11       |
| 3050 Unpaid obligations, end of year .....            | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 2       | 2         |
| 3200 Obligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 17          | 17      | 11        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 16          | 17      | 11        |
| 4011 Outlays from discretionary balances .....        | 1           | .....   | .....     |
| 4020 Outlays, gross (total) .....                     | 17          | 17      | 11        |
| 4180 Budget authority, net (total) .....              | 17          | 17      | 11        |
| 4190 Outlays, net (total) .....                       | 17          | 17      | 11        |

The Center for Cultural and Technical Interchange Between East and West (East-West Center) is a national educational institution administered by a public, nonprofit educational corporation. The East-West Center contributes to a peaceful, prosperous, and just Asia Pacific community by serving as a vigorous hub for cooperative research, education, and dialogue on critical issues of common concern to the Asia Pacific region and the United States.

## INTERNATIONAL LITIGATION FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 19–5177–0–2–153        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          | .....       | .....   | .....     |
| Receipts:                                  |             |         |           |
| 0240 International Litigation Fund .....   | 2           | 1       | 1         |
| 0400 Total: Balances and collections ..... | 2           | 1       | 1         |

|                 |                                     |    |    |
|-----------------|-------------------------------------|----|----|
| Appropriations: |                                     |    |    |
| 0500            | International Litigation Fund ..... | -2 | -1 |
| 0799            | Balance, end of year .....          |    |    |

**Program and Financing** (in millions of dollars)

| Identification code 19-5177-0-2-153                            | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |         |           |
| 0801   | Reimbursable program .....                                    | 11      | 5         |
| 0900   | Total new obligations (object class 25.2) .....               | 11      | 5         |
| <b>Budgetary Resources:</b>                                    |   |         |           |
| Unobligated balance:   |   |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 22      | 16        |
| Budget authority:  |   |         |           |
| Appropriations, mandatory:                                     |   |         |           |
| 1201   | Appropriation (special or trust fund) .....                   | 2       | 1         |
| 1260   | Appropriations, mandatory (total) .....                       | 2       | 1         |
| Spending authority from offsetting collections, discretionary: |   |         |           |
| 1700   | Collected .....   | 1       | 1         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 1       | 1         |
| Spending authority from offsetting collections, mandatory:     |   |         |           |
| 1800   | Collected .....   | 4       | 3         |
| 1801   | Change in uncollected payments, Federal sources .....         | -1      |           |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 3       | 3         |
| 1900   | Budget authority (total) .....                                | 5       | 5         |
| 1930   | Total budgetary resources available .....                     | 27      | 21        |
| Memorandum (non-add) entries:                                  |   |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 16      | 16        |
| <b>Change in obligated balance:</b>                            |   |         |           |
| Unpaid obligations:  |   |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 5       | 9         |
| 3010   | Obligations incurred, unexpired accounts .....                | 11      | 5         |
| 3020   | Outlays (gross) .....   | -7      | -6        |
| 3050   | Unpaid obligations, end of year .....                         | 9       | 8         |
| Uncollected payments:  |   |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1      |           |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | 1       |           |
| Memorandum (non-add) entries:                                  |   |         |           |
| 3100   | Obligated balance, start of year .....                        | 4       | 9         |
| 3200   | Obligated balance, end of year .....                          | 9       | 8         |

**Budget authority and outlays, net:**

|   |   |    |    |
|---|---|----|----|
| Discretionary:  |   |    |    |
| 4000  | Budget authority, gross .....                             | 1  | 1  |
| Outlays, gross:   |   |    |    |
| 4010  | Outlays from new discretionary authority .....            | 1  | 1  |
| Offsets against gross budget authority and outlays:     |   |    |    |
| Offsetting collections (collected) from:                |   |    |    |
| 4030  | Federal sources .....                                     | -1 | -1 |
| Mandatory:  |   |    |    |
| 4090  | Budget authority, gross .....                             | 5  | 4  |
| Outlays, gross:   |   |    |    |
| 4100  | Outlays from new mandatory authority .....                | 4  | 4  |
| 4101  | Outlays from mandatory balances .....                     | 3  | 1  |
| 4110  | Outlays, gross (total) .....                              | 7  | 5  |
| Offsets against gross budget authority and outlays:     |   |    |    |
| Offsetting collections (collected) from:                |   |    |    |
| 4120  | Federal sources .....                                     | -4 | -3 |
| Additional offsets against gross budget authority only: |   |    |    |
| 4140  | Change in uncollected pymts, Fed sources, unexpired ..... | 1  |    |
| 4160  | Budget authority, net (mandatory) .....                   | 2  | 1  |
| 4170  | Outlays, net (mandatory) .....                            | 3  | 2  |
| 4180  | Budget authority, net (total) .....                       | 2  | 1  |
| 4190  | Outlays, net (total) .....                                | 3  | 2  |

The International Litigation Fund (ILF) is authorized by section 38(d) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2710(d)) to pay for expenses incurred by the Department of State relative to preparing or prosecuting a proceeding before an international tribunal or a claim by or against a foreign government or other foreign entity. Monies otherwise available for such purposes are authorized to be deposited in ILF. Funds received by the Department from other U.S. Government agencies

or from private parties for these purposes are also deposited in ILF.

In addition, section 38(e) authorizes the Secretary to retain 1.5 percent of any amount between \$100,000 and \$5,000,000, and one percent of any amount over \$5,000,000, received per claim under chapter 34 of the Act of February 1896 (22 U.S.C. 2668a; 29 Stat. 32).

**Object Classification** (in millions of dollars)

| Identification code 19-5177-0-2-153 | 2012 actual                    | 2013 CR | 2014 est. |
|-------------------------------------|--------------------------------|---------|-----------|
| 99.0                                | Reimbursable obligations ..... | 11      | 5         |

**INTERNATIONAL CENTER, WASHINGTON, D.C.**

*Not to exceed \$1,806,600 shall be derived from fees collected from other executive agencies for lease or use of facilities at the International Center in accordance with section 4 of the International Center Act, and, in addition, as authorized by section 5 of such Act, \$5,970,150, to be derived from the reserve authorized by that section, to be used for the purposes set out in that section and for development, maintenance and security of additional properties for use as foreign missions by foreign governments or international organizations.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 19-5151-0-2-153 | 2012 actual  | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| 0100                                | Balance, start of year .....   |         |           |
| Receipts:                           |  |         |           |
| 0220                                | International Center, Washington, D.C., Sale and Rent of Real Property ..... | 1       | 1         |
| 0400                                | Total: Balances and collections .....  | 1       | 1         |
| Appropriations:                     |  |         |           |
| 0500                                | International Center, Washington, D.C. ....                                  | -1      | -1        |
| 0799                                | Balance, end of year .....   |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 19-5151-0-2-153                            | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |         |           |
| 0001   | Direct program activity .....                                 | 2       | 6         |
| 0801   | Reimbursable program .....                                    | 2       | 2         |
| 0900   | Total new obligations .....                                   | 2       | 8         |
| <b>Budgetary Resources:</b>                                    |   |         |           |
| Unobligated balance:   |   |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 2       | 3         |
| Budget authority:  |   |         |           |
| Appropriations, discretionary:                                 |   |         |           |
| 1101   | Appropriation (special or trust fund) .....                   | 1       | 1         |
| 1160   | Appropriation, discretionary (total) .....                    | 1       | 1         |
| Spending authority from offsetting collections, discretionary: |   |         |           |
| 1700   | Collected .....   | 2       | 2         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 2       | 2         |
| 1900   | Budget authority (total) .....                                | 3       | 3         |
| 1930   | Total budgetary resources available .....                     | 5       | 6         |
| Memorandum (non-add) entries:                                  |   |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 3       | 2         |

**Change in obligated balance:**

|                     |  |    |    |
|---------------------|--|----|----|
| Unpaid obligations: |  |    |    |
| 3000                | Unpaid obligations, brought forward, Oct 1 ..... | 2  | 2  |
| 3010                | Obligations incurred, unexpired accounts .....   | 2  | 4  |
| 3020                | Outlays (gross) .....                            | -2 | -4 |
| 3050                | Unpaid obligations, end of year .....            | 2  | 2  |

INTERNATIONAL CENTER, WASHINGTON, D.C.—Continued  
Program and Financing—Continued

| Identification code 19–5151–0–2–153                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                       |             |         |           |
| 3100 Obligated balance, start of year .....         | 2           | 2       | 2         |
| 3200 Obligated balance, end of year .....           | 2           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 3           | 3       | 8         |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 2           | 3       | 8         |
| 4011 Outlays from discretionary balances .....      |             | 1       | 1         |
| 4020 Outlays, gross (total) .....                   | 2           | 4       | 9         |
| Offsets against gross budget authority and outlays: |             |         |           |
| Offsetting collections (collected) from:            |             |         |           |
| 4030 Federal sources .....                          | –2          | –2      | –2        |
| 4180 Budget authority, net (total) .....            | 1           | 1       | 6         |
| 4190 Outlays, net (total) .....                     |             | 2       | 7         |

These funds provide for the development, lease, or exchange of property owned by the United States at the International Center located in Washington, D.C. to foreign governments or international organizations. Funds also provide for operation of the Federal facility located at the International Center, for maintenance and security of those public improvements that have not been conveyed to a government or international organization, and for surveys and plans related to development of additional areas within the Nation's Capital for chancery and diplomatic purposes. This language was previously included under the heading for Diplomatic and Consular Programs.

## Object Classification (in millions of dollars)

| Identification code 19–5151–0–2–153                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 32.0 Direct obligations: Land and structures ..... |             | 2       | 6         |
| 99.0 Reimbursable obligations .....                | 2           | 2       | 2         |
| 99.9 Total new obligations .....                   | 2           | 4       | 8         |

## FISHERMEN'S PROTECTIVE FUND

## Program and Financing (in millions of dollars)

| Identification code 19–5116–0–2–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

The Fishermen's Protective Fund provides for reimbursement to owners of vessels for amounts of fines, fees, and other direct charges that were paid by owners to a foreign country to secure the release of their vessels and crews and for other specified charges. No new budget authority is requested in 2014.

## FISHERMEN'S GUARANTY FUND

## Program and Financing (in millions of dollars)

| Identification code 19–5121–0–2–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 3         |

|   |   |   |   |
|---|---|---|---|
| Memorandum (non-add) entries:                         |   |   |   |
| 1941 Unexpired unobligated balance, end of year ..... | 3 | 3 | 3 |

This fund provides for payment to vessel owners to compensate for certain financial losses sustained as a result of foreign seizures of American fishing vessels on the basis of claims to jurisdiction not recognized by the United States. No new budget authority is requested for 2014.

## Trust Funds

## EISENHOWER EXCHANGE FELLOWSHIP PROGRAM

*For necessary expenses of Eisenhower Exchange Fellowships, Incorporated, as authorized by sections 4 and 5 of the Eisenhower Exchange Fellowship Act of 1990 (20 U.S.C. 5204–5205), all interest and earnings accruing to the Eisenhower Exchange Fellowship Program Trust Fund on or before September 30, 2014, to remain available until expended: Provided, That none of the funds appropriated herein shall be used to pay any salary or other compensation, or to enter into any contract providing for the payment thereof, in excess of the rate authorized by 5 U.S.C. 5376; or for purposes which are not in accordance with OMB Circulars A-110 (Uniform Administrative Requirements) and A-122 (Cost Principles for Non-profit Organizations), including the restrictions on compensation for personal services.*

## ISRAELI ARAB SCHOLARSHIP PROGRAM

*For necessary expenses of the Israeli Arab Scholarship Program, as authorized by section 214 of the Foreign Relations Authorization Act, Fiscal Years 1992 and 1993 (22 U.S.C. 2452), all interest and earnings accruing to the Israeli Arab Scholarship Fund on or before September 30, 2014, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95–8276–0–7–154   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 12          | 7       | 6         |
| Adjustments:  |             |         |           |
| 0190 Adjustment—legal opinion that all 19X 8271 receipt balances are available for obligation ..... | –4          |         |           |
| 0199 Balance, start of year .....   | 8           | 7       | 6         |
| Appropriations:   |             |         |           |
| 0500 Israeli Arab and Eisenhower Exchange Fellowship Programs .....                                 | –1          | –1      |           |
| 0799 Balance, end of year .....   | 7           | 6       | 6         |

## Program and Financing (in millions of dollars)

| Identification code 95–8276–0–7–154                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              |             | 2       |           |
| 0900 Total new obligations (object class 41.0) .....            |             | 2       |           |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 5       |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | 4           | –4      |           |
| 1050 Unobligated balance (total) .....                          | 4           | 1       |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1101 Appropriation (special or trust fund) .....                | 1           | 1       |           |
| 1160 Appropriation, discretionary (total) .....                 | 1           | 1       |           |
| 1930 Total budgetary resources available .....                  | 5           | 2       |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 5           |         |           |

## Change in obligated balance:

|   |   |  |   |
|---|---|--|---|
| Unpaid obligations:                                   |   |  |   |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1 |  | 1 |



|   |   |    |       |       |
|---|---|----|-------|-------|
| 3010                                      | Obligations incurred, unexpired accounts .....              | 2  | ..... | ..... |
| 3020                                      | Outlays (gross) .....                                       | -1 | -1    | ..... |
| 3050                                      | Unpaid obligations, end of year .....                       | 1  | 1     | ..... |
|   | Memorandum (non-add) entries:                               |    |       |       |
| 3100                                      | Obligated balance, start of year .....                      | 1  | ..... | 1     |
| 3200                                      | Obligated balance, end of year .....                        | 1  | 1     | ..... |
| <b>Budget authority and outlays, net:</b> |   |    |       |       |
|   | Discretionary:  |    |       |       |
| 4000                                      | Budget authority, gross .....                               | 1  | 1     | ..... |
|   | Outlays, gross:   |    |       |       |
| 4010                                      | Outlays from new discretionary authority .....              | 1  | ..... | ..... |
| 4011                                      | Outlays from discretionary balances .....                   | 1  | ..... | ..... |
| 4020                                      | Outlays, gross (total) .....                                | 1  | 1     | ..... |
| 4180                                      | Budget authority, net (total) .....                         | 1  | 1     | ..... |
| 4190                                      | Outlays, net (total) .....                                  | 1  | 1     | ..... |
| <b>Memorandum (non-add) entries:</b>      |   |    |       |       |
| 5000                                      | Total investments, SOY: Federal securities: Par value ..... | 12 | 12    | 11    |
| 5001                                      | Total investments, EOY: Federal securities: Par value ..... | 12 | 11    | 11    |

This presentation includes interest and earnings from the Eisenhower Exchange Fellowship Trust Fund and the Israeli Arab Scholarship Trust Fund.

The Eisenhower Exchange Fellowship Trust Fund (EEF Trust Fund) was created in 1992 with an appropriation of \$5,000,000. In 1995, an additional payment of \$2,500,000 was made to the EEF Trust Fund. This exchange program honors the late president and increases educational opportunities for young leaders in preparation for and enhancement of their professional careers and advancement of peace through international understanding.

The Israeli Arab Scholarship Trust Fund was created in 1992 with an appropriation of \$4,978,500 to provide scholarships for Israeli Arabs to attend institutions of higher learning in the United States.

#### CENTER FOR MIDDLE EASTERN-WESTERN DIALOGUE TRUST FUND

*For necessary expenses of the Center for Middle Eastern-Western Dialogue Trust Fund (Hollings Center), as authorized by section 633 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2004 (22 U.S.C. 2078), the total amount of the interest and earnings accruing to such Fund on or before September 30, 2014, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 19–8813–0–7–153   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 15          | .....   | .....     |
| Adjustments:  |             |         |           |
| 0190 Adjustment- legal opinion that all receipt balances are available for obligation ..... | -15         | .....   | .....     |
| 0199 Balance, start of year .....   | .....       | .....   | .....     |
| 0799 Balance, end of year .....   | .....       | .....   | .....     |

#### Program and Financing (in millions of dollars)

| Identification code 19–8813–0–7–153                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 2           | 17      | 17        |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | 15          | .....   | .....     |
| 1050 Unobligated balance (total) .....                          | 17          | 17      | 17        |
| 1930 Total budgetary resources available .....                  | 17          | 17      | 17        |

|   |   |    |       |       |
|---|---|----|-------|-------|
| 1941                                      | Memorandum (non-add) entries:                               |    |       |       |
|   | Unexpired unobligated balance, end of year .....            | 17 | 17    | 17    |
| <b>Change in obligated balance:</b>       |   |    |       |       |
|   | Unpaid obligations:   |    |       |       |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....            | 1  | ..... | ..... |
| 3020                                      | Outlays (gross) .....                                       | -1 | ..... | ..... |
|   | Memorandum (non-add) entries:                               |    |       |       |
| 3100                                      | Obligated balance, start of year .....                      | 1  | ..... | ..... |
| <b>Budget authority and outlays, net:</b> |   |    |       |       |
|   | Discretionary:  |    |       |       |
|   | Outlays, gross:   |    |       |       |
| 4011                                      | Outlays from discretionary balances .....                   | 1  | ..... | ..... |
| 4190                                      | Outlays, net (total) .....                                  | 1  | ..... | ..... |
| <b>Memorandum (non-add) entries:</b>      |   |    |       |       |
| 5000                                      | Total investments, SOY: Federal securities: Par value ..... | 16 | 16    | 16    |
| 5001                                      | Total investments, EOY: Federal securities: Par value ..... | 16 | 16    | 16    |

This account provides funding for the International Center for Middle Eastern-Western Dialogue (Hollings Center) in Istanbul, Turkey. Appropriated funds have been deposited in the International Center for Middle Eastern-Western Dialogue Trust Fund (Trust Fund). Funding authority is also provided to enable the Hollings Center to use interest and earnings accruing to the Trust Fund on an annual basis for operations.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Governmental receipts:</b>  |             |         |           |
| 20-08000 Immigration, Passport, and Consular Fees .....  | 688         | 700     | 711       |
| General Fund Governmental receipts .....   | 688         | 700     | 711       |
| <b>Offsetting receipts from the public:</b>  |             |         |           |
| 19-277630 Repatriation Loans, Downward Reestimate of Subsidies ....                              | 11          | 1       | .....     |
| 19-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 5           | 5       | 5         |
| General Fund Offsetting receipts from the public .....   | 16          | 6       | 5         |
| <b>Intragovernmental payments:</b>   |             |         |           |
| 19-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... | -147        | 33      | 33        |
| General Fund Intragovernmental payments .....  | -147        | 33      | 33        |

## MILLENNIUM CHALLENGE CORPORATION

### Federal Funds

#### MILLENNIUM CHALLENGE CORPORATION

*For necessary expenses to carry out the provisions of the Millennium Challenge Act of 2003, \$898,200,000 to remain available until expended: Provided, That of the funds appropriated under this heading, up to \$105,000,000 may be available for administrative expenses of the Millennium Challenge Corporation (the Corporation): Provided further, That up to 10 percent of the funds appropriated under this heading may be made available to carry out the purposes of section 616 of the Millennium Challenge Act of 2003 for this fiscal year: Provided further, That section 605(e)(4) of the Millennium Challenge Act of 2003 shall apply to funds appropriated under this heading: Provided further, That funds appropriated under this heading may be made available for a Millennium Challenge Compact entered into pursuant to section 609 of the Millennium Challenge Act of 2003 only if such Compact obligates, or contains a commitment to obligate subject to the availability of funds and the mutual agreement of the parties to the Compact to proceed, the entire amount of the United States Government funding anticipated for the duration of the Compact: Provided further, That the Chief Executive Officer of the Corporation should notify the Committees on Appropriations not later than 15 days prior to signing any new country compact or new threshold country program; terminating or suspending any country compact or threshold*

## MILLENNIUM CHALLENGE CORPORATION—Continued

country program; or commencing negotiations for any new compact or threshold country program: Provided further, That any funds that are deobligated from a Millennium Challenge Compact shall be subject to the regular notification procedures of the Committees on Appropriations prior to re-obligation: Provided further, That of the funds appropriated under this heading, not to exceed \$100,000 may be available for representation and entertainment allowances, of which not to exceed \$5,000 may be available for entertainment allowances.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–2750–0–1–151                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Country Programs Assistance (Compacts) .....                    | 242         | 684     | 676       |
| 0002 Threshold Programs .....  |             | 15      | 20        |
| 0003 Monitoring and Evaluation (Due Diligence) .....                 | 52          | 72      | 72        |
| 0004 609(g) Compact Assistance .....                                 | 11          | 23      | 20        |
| 0005 Administrative Expenses .....                                   | 102         | 105     | 105       |
| 0006 USAID Inspector General .....                                   | 5           | 5       | 5         |
| 0900 Total new obligations .....                                     | 412         | 904     | 898       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 1,265       | 1,761   | 1,761     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....      | –1          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 11          |         |           |
| 1050 Unobligated balance (total) .....                               | 1,275       | 1,761   | 1,761     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 898         | 904     | 898       |
| 1160 Appropriation, discretionary (total) .....                      | 898         | 904     | 898       |
| 1930 Total budgetary resources available .....                       | 2,173       | 2,665   | 2,659     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1,761       | 1,761   | 1,761     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 4,609       | 3,560   | 2,638     |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... |             | 1       |           |
| 3010 Obligations incurred, unexpired accounts .....                  | 412         | 904     | 898       |
| 3020 Outlays (gross) .....   | –1,451      | –1,826  | –723      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –11         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 3,560       | 2,638   | 2,813     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 4,610       | 3,560   | 2,638     |
| 3200 Obligated balance, end of year .....                            | 3,560       | 2,638   | 2,813     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 898         | 904     | 898       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 100         | 113     | 113       |
| 4011 Outlays from discretionary balances .....                       | 1,351       | 1,713   | 610       |
| 4020 Outlays, gross (total) .....                                    | 1,451       | 1,826   | 723       |
| 4180 Budget authority, net (total) .....                             | 898         | 904     | 898       |
| 4190 Outlays, net (total) .....                                      | 1,451       | 1,826   | 723       |

Established by the Millennium Challenge Act of 2003, the Millennium Challenge Corporation (MCC) has the statutory goal of providing assistance to the poorest countries in the world to promote economic growth, eliminate extreme poverty, and strengthen good governance, economic freedom, and investments in people. Since its inception, MCC has signed compacts with 25 countries totaling over \$9.1 billion. These investments help foster stability through economic growth and poverty reduction in partner countries. MCC encourages policy reforms by working with only those countries that have created the conditions for growth by ruling justly, investing in their people, and committing

to economic freedom, with a particular emphasis on fighting corruption and maintaining democratic rights. Countries develop their poverty reduction proposals in broad consultation with their own civil society and MCC. MCC compacts specifically define the implementation responsibilities of partner countries, including financial accountability and transparent and fair procurement practices, and require measurable results to ensure that MCC assistance is used responsibly and effectively.

## Object Classification (in millions of dollars)

| Identification code 95–2750–0–1–151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 25          | 27      | 27        |
| 11.3 Other than full-time permanent .....                       | 11          | 11      | 11        |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.8 Special personal services payments .....                   | 3           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 40          | 43      | 43        |
| 12.1 Civilian personnel benefits .....                          | 11          | 11      | 11        |
| 21.0 Travel and transportation of persons .....                 | 5           | 5       | 5         |
| 23.2 Rental payments to others .....                            | 9           | 7       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 5           | 6       | 6         |
| 25.1 Due Diligence .....  | 52          | 72      | 72        |
| 25.2 Other services from non-Federal sources .....              | 7           | 8       | 8         |
| 25.3 Other goods and services from Federal sources .....        | 23          | 24      | 24        |
| 25.7 Operation and maintenance of equipment .....               | 4           | 4       | 4         |
| 31.0 Equipment .....  | 1           |         |           |
| 41.0 Country Program Assistance (Compacts) .....                | 242         | 684     | 676       |
| 41.0 609(g) Compact Assistance .....                            | 11          | 23      | 20        |
| 41.0 Threshold Programs .....                                   |             | 15      | 20        |
| 99.0 Direct obligations .....                                   | 411         | 903     | 897       |
| 99.5 Below reporting threshold .....                            | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 412         | 904     | 898       |

## Employment Summary

| Identification code 95–2750–0–1–151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 289         | 304     | 308       |

## INTERNATIONAL SECURITY ASSISTANCE

## Federal Funds

## ECONOMIC SUPPORT FUND

## (INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the provisions of chapter 4 of part II of the Foreign Assistance Act of 1961, \$4,076,054,000, to remain available until September 30, 2015: Provided, That funds appropriated under this heading may be made available, notwithstanding any other provision of law, for assistance and related programs for the countries identified in section 3(c) of the Support for East European Democracy (SEED) Act of 1989 (P.L. 101–179) and section 3 of the FREEDOM Support Act (P.L. 102–511) and may be used to carry out the provisions of those Acts: Provided further, That funds appropriated under this heading, or under the "Assistance for Europe, Eurasia and Central Asia" heading in prior year Acts, may be made available as a contribution to memorialize sites of genocide, subject to the regular notification procedures of the Committees on Appropriations: Provided further, That up to \$300,000,000 of the funds appropriated for "Bilateral Economic Assistance—Funds Appropriated to the President—Economic Support Fund" in this and prior acts making appropriations for the Department of State, Foreign Operations, and Related Programs, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, may be transferred to, and merged with, funds available under the heading "Department of the Treasury—Debt Restructuring" in title III of prior acts making appropriations for the Department of State, foreign operations, and related programs for the cost, as defined in section 502 of the Congressional Budget Act of 1974, of modifying loans and loan

guarantees, as the President may determine, or for the cost of selling, reducing, or canceling amounts owed to the United States as a result of loans made to Sudan: Provided further, That such funds may be made available only if the Secretary of State determines and reports to the Committees on Appropriations that Sudan is implementing the agreement reached by the Governments of Sudan and South Sudan under the Comprehensive Peace Agreement, including a political resolution of the conflict in Southern Kordofan and Blue Nile, and other legislative requirements related to Heavily Indebted Poor Countries debt relief, including determinations on human rights and state sponsorship of terrorism.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 72–1037–0–1–152   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program activity .....  | 5,639       | 7,000   | 5,700     |
| 0881 Reimbursable program activity .....  | 90          |         |           |
| 0900 Total new obligations .....  | 5,729       | 7,000   | 5,700     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 4,841       | 5,221   | 3,895     |
| 1010 Unobligated balance transfer to other accts [11–0072] .....                | –6          |         |           |
| 1010 Unobligated balance transfer to other accts [11–0073] .....                | –5          |         |           |
| 1010 Unobligated balance transfer to other accts [13–0120] .....                | –1          |         |           |
| 1010 Unobligated balance transfer to other accts [69–0142] .....                | –1          |         |           |
| 1010 Unobligated balance transfer to other accts [19–0209] .....                | –26         |         |           |
| 1010 Unobligated balance transfer to other accts [72–0409] .....                | –30         |         |           |
| 1010 Unobligated balance transfer to other accts [72–1000] .....                | –4          |         |           |
| 1010 Unobligated balance transfer to other accts [11–1001] .....                | –4          |         |           |
| 1010 Unobligated balance transfer to other accts [11–1045] .....                | –1          |         |           |
| 1010 Unobligated balance transfer to other accts [13–1250] .....                | –8          |         |           |
| 1010 Unobligated balance transfer to other accts [72–1264] .....                | –2          |         |           |
| 1010 Unobligated balance transfer to other accts [69–1301] .....                | –5          |         |           |
| 1010 Unobligated balance transfer to other accts [12–2900] .....                | –14         |         |           |
| 1010 Unobligated balance transfer to other accts [71–4184] .....                | –1          |         |           |
| 1010 Unobligated balance transfer to other accts [16–0165] .....                | –3          |         |           |
| 1011 Unobligated balance transfer from other accts [19–1022] .....              | 15          |         |           |
| 1011 Unobligated balance transfer from other accts [11–1075] .....              | 12          |         |           |
| 1011 Unobligated balance transfer from other accts [21–2096] .....              | 101         |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 11          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 150         |         |           |
| 1050 Unobligated balance (total) .....  | 5,019       | 5,221   | 3,895     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 3,002       | 5,781   | 4,076     |
| 1100 Appropriation-OCO .....  | 2,761       |         |           |
| 1120 Appropriations transferred to other accts [11–0077] .....                  | –30         |         |           |
| 1120 Appropriations transferred to other accts [11–0071] .....                  | –25         |         |           |
| 1120 Appropriations transferred to other accts [11–0080] .....                  | –45         |         |           |
| 1120 Appropriations transferred to other accts [19–0209] .....                  | –30         |         |           |
| 1120 Appropriations transferred to other accts [19–1143] .....                  | –7          | –7      |           |
| 1121 Appropriations transferred from other accts [19–1022] .....                | 270         |         |           |
| 1121 Appropriations transferred from other accts [11–1083] .....                | 50          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced .....            | –100        | –100    |           |
| 1160 Appropriation, discretionary (total) .....                                 | 5,846       | 5,674   | 4,076     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 90          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 90          |         |           |
| 1900 Budget authority (total) .....   | 5,936       | 5,674   | 4,076     |
| 1930 Total budgetary resources available .....                                  | 10,955      | 10,895  | 7,971     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –5          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 5,221       | 3,895   | 2,271     |

|  |        |        |        |
|--|--------|--------|--------|
| <b>Change in obligated balance:</b>                                  |        |        |        |
| Unpaid obligations:  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 9,508  | 9,787  | 11,059 |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | 36     |        |        |
| 3010 Obligations incurred, unexpired accounts .....                  | 5,729  | 7,000  | 5,700  |
| 3011 Obligations incurred, expired accounts .....                    | 22     |        |        |
| 3020 Outlays (gross) .....   | –5,337 | –5,728 | –6,262 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –150   |        |        |

|  |       |        |        |
|--|-------|--------|--------|
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –21   |        |        |
| 3050 Unpaid obligations, end of year .....                           | 9,787 | 11,059 | 10,497 |
| Memorandum (non-add) entries:  |       |        |        |
| 3100 Obligated balance, start of year .....                          | 9,544 | 9,787  | 11,059 |
| 3200 Obligated balance, end of year .....                            | 9,787 | 11,059 | 10,497 |
| <b>Budget authority and outlays, net:</b>                            |       |        |        |
| Discretionary:   |       |        |        |
| 4000 Budget authority, gross .....                                   | 5,936 | 5,674  | 4,076  |
| Outlays, gross:  |       |        |        |
| 4010 Outlays from new discretionary authority .....                  | 235   | 668    | 489    |
| 4011 Outlays from discretionary balances .....                       | 5,102 | 5,060  | 5,773  |
| 4020 Outlays, gross (total) .....                                    | 5,337 | 5,728  | 6,262  |
| Offsets against gross budget authority and outlays:                  |       |        |        |
| Offsetting collections (collected) from:                             |       |        |        |
| 4030 Federal sources .....   | –95   |        |        |
| 4033 Non-Federal sources .....                                       | –1    |        |        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –96   |        |        |
| Additional offsets against gross budget authority only:              |       |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 6     |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 5,846 | 5,674  | 4,076  |
| 4080 Outlays, net (discretionary) .....                              | 5,241 | 5,728  | 6,262  |
| 4180 Budget authority, net (total) .....                             | 5,846 | 5,674  | 4,076  |
| 4190 Outlays, net (total) .....                                      | 5,241 | 5,728  | 6,262  |

#### Summary of Budget Authority and Outlays (in millions of dollars)

|                                  | 2012 actual | 2013 CR | 2014 est. |
|----------------------------------|-------------|---------|-----------|
| Enacted/requested:               |             |         |           |
| Budget Authority .....           | 5,846       | 5,674   | 4,076     |
| Outlays .....                    | 5,241       | 5,728   | 6,262     |
| Overseas contingency operations: |             |         |           |
| Budget Authority .....           |             |         | 1,382     |
| Outlays .....                    |             |         | 278       |
| Total:                           |             |         |           |
| Budget Authority .....           | 5,846       | 5,674   | 5,458     |
| Outlays .....                    | 5,241       | 5,728   | 6,540     |

This account supports U.S. foreign policy objectives by providing economic assistance to allies and countries in transition to democracy, supporting Middle East peace efforts, increasing stability in conflict/post-conflict environments, and financing economic stabilization programs, frequently in a multi-donor context. Key objectives include:

1) Supporting strategically significant friends and allies through assistance designed to increase the role of the private sector in the economy, reduce government controls over markets, enhance job creation, and improve economic growth.

2) Developing and strengthening institutions necessary for sustainable democracy. Typical areas of assistance include technical assistance to administer and monitor elections, capacity-building for non-governmental organizations, judicial training, and women's participation in politics. Assistance is also provided to support the transformation of the public sector to encourage democratic development, including training to improve public administration; to promote decentralization; and to strengthen local governments, parliaments, independent media and non-governmental organizations.

3) Strengthening the capacity of partner governments to manage the human dimension of transitions out of instability and to help sustain the neediest sectors of the population during the transition period.

This account also includes funding for alternative development programs.

**AECA Normalization:** In order to support the Administration's highest priorities globally in a constrained budget environment, and in recognition of the achievement of a number of assistance goals in the Europe, Eurasia, and Central Asia region over time, the 2014 Budget normalizes foreign assistance resources for the countries of this region. Appropriations for programs formerly funded through the Assistance for Europe, Eurasia and Central

## ECONOMIC SUPPORT FUND—Continued

Asia account (AEECA) are now being requested in the Economic Support Fund, International Narcotics Control and Law Enforcement, and Global Health Programs accounts.

## Object Classification (in millions of dollars)

| Identification code 72–1037–0–1–152                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.3 Other than full-time permanent .....                       | 13          | 16      | 14        |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 14          | 17      | 15        |
| 12.1 Civilian personnel benefits .....                          | 3           | 4       | 3         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.2 Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 28          | 32      | 28        |
| 25.2 Other services from non-Federal sources .....              | 40          | 46      | 40        |
| 25.3 Other goods and services from Federal sources .....        | 7           | 9       | 7         |
| 25.5 Research and development contracts .....                   | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 5,538       | 6,883   | 5,598     |
| 99.0 Direct obligations .....                                   | 5,639       | 7,000   | 5,700     |
| 99.0 Reimbursable obligations .....                             | 90          |         |           |
| 99.9 Total new obligations .....                                | 5,729       | 7,000   | 5,700     |

## Employment Summary

| Identification code 72–1037–0–1–152                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 17          | 17      | 17        |

## ECONOMIC SUPPORT FUND

## (Overseas contingency operations)

For an additional amount for "Economic Support Fund", \$1,382,200,000, to remain available until September 30, 2015: Provided, That such amount is designated by the Congress for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 72–1037–8–1–152                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    |             |         | 160       |
| 0900 Total new obligations (object class 41.0) .....  |             |         | 160       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation-OCO .....                          |             |         | 1,382     |
| 1160 Appropriation, discretionary (total) .....       |             |         | 1,382     |
| 1930 Total budgetary resources available .....        |             |         | 1,382     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 1,222     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 160       |
| 3020 Outlays (gross) .....                            |             |         | –278      |
| 3050 Unpaid obligations, end of year .....            |             |         | –118      |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | –118      |

## Budget authority and outlays, net:

|   |  |  |       |
|---|--|--|-------|
| Discretionary:                                      |  |  |       |
| 4000 Budget authority, gross .....                  |  |  | 1,382 |
| Outlays, gross:                                     |  |  |       |
| 4010 Outlays from new discretionary authority ..... |  |  | 278   |
| 4180 Budget authority, net (total) .....            |  |  | 1,382 |
| 4190 Outlays, net (total) .....                     |  |  | 278   |

The Economic Support Fund (ESF) Overseas Contingency Operations (OCO) account includes the extraordinary costs of our involvement in Afghanistan and Pakistan.

OCO funds will be used in Afghanistan for limited-term priorities linked to remaining stabilization needs, as well as to support the foundational investments in critical sectors and capacity building that are essential to economic stability and the long-term sustainability of the transition of security and governance to the Government of Afghanistan.

Non-Overseas Contingency Operations (OCO) funding for Afghanistan in the ESF account supports core development programs that must endure to secure Afghanistan's medium- and long-term development and strengthen its resiliency to the economic, security, and governance challenges associated with transition.

For Pakistan, OCO assistance will support development in areas of conflict and instability in order to help make these areas less hospitable to insurgents, while enabling the civilian government and Pakistani law enforcement to operate more effectively.

Non-OCO resources for Pakistan will support development assistance programs that will further the foundation for a stable economic and a strong democratic government that is responsive to its people.

## CENTRAL AMERICA AND CARIBBEAN EMERGENCY DISASTER RECOVERY FUND

## Program and Financing (in millions of dollars)

| Identification code 72–1096–0–1–151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 1           | 4       |           |
| 0900 Total new obligations (object class 41.0) .....               | 1           | 4       |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3           | 4       |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           |         |           |
| 1050 Unobligated balance (total) .....                             | 4           | 4       |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           |         |           |
| 1900 Budget authority (total) .....                                | 1           |         |           |
| 1930 Total budgetary resources available .....                     | 5           | 4       |           |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 4           |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           | 1       | 3         |
| 3010 Obligations incurred, unexpired accounts .....                | 1           | 4       |           |
| 3020 Outlays (gross) .....   |             | –2      | –2        |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 1           | 3       | 1         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           | 1       | 3         |
| 3200 Obligated balance, end of year .....                          | 1           | 3       | 1         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 1           |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                     |             | 2       | 2         |

|   |                            |    |   |   |
|---|----------------------------|----|---|---|
| Offsets against gross budget authority and outlays: |                            |    |   |   |
| Offsetting collections (collected) from:            |                            |    |   |   |
| 4030  | Federal sources .....      | -1 |   |   |
| 4190  | Outlays, net (total) ..... | -1 | 2 | 2 |

## FOREIGN MILITARY FINANCING PROGRAM

For necessary expenses for grants to enable the President to carry out the provisions of section 23 of the Arms Export Control Act, \$5,445,959,000: Provided, That to expedite the provision of assistance to foreign countries and international organizations, the Secretary of State may use the funds appropriated under this heading to procure defense articles and services to enhance the capacity of foreign security forces: Provided further, That the funds appropriated under this heading for assistance for Israel may be disbursed within 30 days of enactment of this Act or by October 31, 2013, whichever is later: Provided further, That funds appropriated under this heading estimated to be outlaid for Egypt during fiscal year 2014 may be transferred to an interest bearing account for Egypt in the Federal Reserve Bank of New York: Provided further, That funds appropriated or otherwise made available under this heading shall be nonrepayable notwithstanding any requirement in section 23 of the Arms Export Control Act: Provided further, That funds made available under this heading shall be obligated upon apportionment in accordance with paragraph (5)(C) of title 31, United States Code, section 1501(a).

None of the funds made available under this heading shall be available to finance the procurement of defense articles, defense services, or design and construction services that are not sold by the United States Government under the Arms Export Control Act unless the foreign country proposing to make such procurement has first signed an agreement with the United States Government specifying the conditions under which such procurement may be financed with such funds: Provided, That funds made available under this heading may be used, notwithstanding any other provision of law, for demining, the clearance of unexploded ordnance, and related activities, and may include activities implemented through nongovernmental and international organizations: Provided further, That only those countries for which assistance was justified for the "Foreign Military Sales Financing Program" in the fiscal year 1989 congressional presentation for security assistance programs may utilize funds made available under this heading for procurement of defense articles, defense services or design and construction services that are not sold by the United States Government under the Arms Export Control Act: Provided further, That funds appropriated under this heading shall be expended at the minimum rate necessary to make timely payment for defense articles and services: Provided further, That not more than \$60,000,000 of the funds appropriated under this heading may be obligated for necessary expenses, including the purchase of passenger motor vehicles for replacement only for use outside of the United States, for the general costs of administering military assistance and sales, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations: Provided further, That of the funds appropriated under this heading for general costs of administering military assistance and sales, not to exceed \$4,000 may be available for entertainment expenses and not to exceed \$130,000 may be available for representation allowances: Provided further, That not more than \$885,000,000 of funds realized pursuant to section 21(e)(1)(A) of the Arms Export Control Act may be obligated for expenses incurred by the Department of Defense during fiscal year 2014 pursuant to section 43(b) of the Arms Export Control Act, except that this limitation may be exceeded only through the regular notification procedures of the Committees on Appropriations.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–1082–0–1–152     |                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------------------------|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |                               |             |         |           |
| 0001                                    | Country grants .....          | 6,250       | 6,281   | 5,386     |
| 0009                                    | Administrative Expenses ..... | 62          | 63      | 60        |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 0192 | Total Direct Obligations .....                  | 6,312 | 6,344 | 5,446 |
| 0900 | Total new obligations (object class 41.0) ..... | 6,312 | 6,344 | 5,446 |

## Budgetary Resources:

|                                |  |       |       |       |
|--------------------------------|--|-------|-------|-------|
| Budget authority:              |  |       |       |       |
| Appropriations, discretionary: |  |       |       |       |
| 1100                           | Appropriation .....                        | 6,312 | 6,344 | 5,446 |
| 1160                           | Appropriation, discretionary (total) ..... | 6,312 | 6,344 | 5,446 |
| 1930                           | Total budgetary resources available .....  | 6,312 | 6,344 | 5,446 |

## Change in obligated balance:

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations:           |  |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....   | 2,512  | 3,684  | 3,342  |
| 3010                          | Obligations incurred, unexpired accounts .....   | 6,312  | 6,344  | 5,446  |
| 3020                          | Outlays (gross) .....  | -5,140 | -6,686 | -5,748 |
| 3030                          | Unpaid obligations transferred from expired accounts to unexpired accounts [11–1082] ..... | -1,743 |        |        |
| 3031                          | Unpaid obligations transferred to unexpired accounts from expired accounts [11–1082] ..... | 1,743  |        |        |
| 3050                          | Unpaid obligations, end of year .....  | 3,684  | 3,342  | 3,040  |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....   | 2,512  | 3,684  | 3,342  |
| 3200                          | Obligated balance, end of year .....   | 3,684  | 3,342  | 3,040  |

## Budget authority and outlays, net:

|                 |  |       |       |       |
|-----------------|--|-------|-------|-------|
| Discretionary:  |  |       |       |       |
| 4000            | Budget authority, gross .....                  | 6,312 | 6,344 | 5,446 |
| Outlays, gross: |  |       |       |       |
| 4010            | Outlays from new discretionary authority ..... | 4,435 | 5,252 | 4,455 |
| 4011            | Outlays from discretionary balances .....      | 705   | 1,434 | 1,293 |
| 4020            | Outlays, gross (total) .....                   | 5,140 | 6,686 | 5,748 |
| 4180            | Budget authority, net (total) .....            | 6,312 | 6,344 | 5,446 |
| 4190            | Outlays, net (total) .....                     | 5,140 | 6,686 | 5,748 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|                                  | 2012 actual | 2013 CR | 2014 est. |
|----------------------------------|-------------|---------|-----------|
| Enacted/requested:               |             |         |           |
| Budget Authority .....           | 6,312       | 6,344   | 5,446     |
| Outlays .....                    | 5,140       | 6,686   | 5,748     |
| Overseas contingency operations: |             |         |           |
| Budget Authority .....           |             |         | 511       |
| Outlays .....                    |             |         | 385       |
| Total:                           |             |         |           |
| Budget Authority .....           | 6,312       | 6,344   | 5,957     |
| Outlays .....                    | 5,140       | 6,686   | 6,133     |

The Foreign Military Financing (FMF) program enables selected friendly and allied countries to improve their ability to defend themselves by financing their acquisition of U.S. military articles, services, and training. This account provides the grant financing portion of the FMF program. Credit financing, in the form of direct loans, is provided in the FMF loan program account.

## FOREIGN MILITARY FINANCING PROGRAM

## (Overseas contingency operations)

For an additional amount for "Foreign Military Financing Program", \$511,000,000, to remain available until September 30, 2015: Provided, That such amount is designated by the Congress for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–1082–8–1–152     |                      | 2012 actual | 2013 CR | 2014 est. |
|---|----------------------|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |                      |             |         |           |
| 0001                                    | Country grants ..... |             |         | 500       |

FOREIGN MILITARY FINANCING PROGRAM—Continued  
Program and Financing—Continued

| Identification code 11–1082–8–1–152                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0009 Administrative Expenses .....                   |             |         | 11        |
| 0192 Total Direct Obligations .....                  |             |         | 511       |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 511       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 511       |
| 1160 Appropriation, discretionary (total) .....      |             |         | 511       |
| 1930 Total budgetary resources available .....       |             |         | 511       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 511       |
| 3020 Outlays (gross) .....                           |             |         | –385      |
| 3050 Unpaid obligations, end of year .....           |             |         | 126       |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 126       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 511       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | 385       |
| 4180 Budget authority, net (total) .....             |             |         | 511       |
| 4190 Outlays, net (total) .....                      |             |         | 385       |

The request includes \$511 million of Foreign Military Financing as part of the Overseas Operations Contingency budget for the temporary and extraordinary costs of the Iraq program. \$500 million in funding will support the continued development and professionalization of the Iraqi military, which is critical to Iraq's full assumption of security responsibilities. This funding, in synchronization with Iraqi investments in key defense articles, will target programs that build lasting logistics, sustainment, training, and education capabilities. \$11 million will support the operations of the Office of Security Cooperation-Iraq so that security assistance can be properly delivered to the Iraqi military while adequately protecting and providing life support for U.S. personnel operating in Iraq.

## PAKISTAN COUNTERINSURGENCY CAPABILITY FUND

## Program and Financing (in millions of dollars)

| Identification code 11–1083–0–1–152                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Direct program activity .....                             |             | 752     | 755       |
| 0900 Total new obligations (object class 41.0) .....           |             | 752     | 755       |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          |             | 777     | 875       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation-OCO .....                                   | 850         | 850     |           |
| 1120 Appropriations transferred to other accts [72–1037] ..... | –50         |         |           |
| 1120 Appropriations transferred to other accts [11–1041] ..... | –23         |         |           |
| 1160 Appropriation, discretionary (total) .....                | 777         | 850     |           |
| 1930 Total budgetary resources available .....                 | 777         | 1,627   | 875       |
| Memorandum (non-add) entries:                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....          | 777         | 875     | 120       |
| <b>Change in obligated balance:</b>                            |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....          | 49          | 49      | 231       |
| 3010 Obligations incurred, unexpired accounts .....            |             | 752     | 755       |

|   |     |      |      |
|---|-----|------|------|
| 3020 Outlays (gross) .....                          |     | –570 | –662 |
| 3050 Unpaid obligations, end of year .....          | 49  | 231  | 324  |
| Memorandum (non-add) entries:                       |     |      |      |
| 3100 Obligated balance, start of year .....         | 49  | 49   | 231  |
| 3200 Obligated balance, end of year .....           | 49  | 231  | 324  |
| <b>Budget authority and outlays, net:</b>           |     |      |      |
| Discretionary:                                      |     |      |      |
| 4000 Budget authority, gross .....                  | 777 | 850  |      |
| Outlays, gross:                                     |     |      |      |
| 4010 Outlays from new discretionary authority ..... |     | 85   |      |
| 4011 Outlays from discretionary balances .....      |     | 485  | 662  |
| 4020 Outlays, gross (total) .....                   |     | 570  | 662  |
| 4180 Budget authority, net (total) .....            | 777 | 850  |      |
| 4190 Outlays, net (total) .....                     |     | 570  | 662  |

The Administration is not requesting funding in 2014 for the Pakistan Counterinsurgency Capability Fund (PCCF). The Fund was designed to build the counterinsurgency capabilities of Pakistan's security forces engaged in operations against militant extremists in the Federally Administered Tribal Areas (FATA) and Khyber-Pakhtunkhwa. While the counterinsurgency purpose underlying the PCCF account and the maintenance of close U.S. Pakistani military ties remain important Administration priorities, these needs will be met through other accounts, including Foreign Military Financing (FMF) and International Military Education and Training (IMET).

## INTERNATIONAL MILITARY EDUCATION AND TRAINING

*For necessary expenses to carry out the provisions of section 541 of the Foreign Assistance Act of 1961, \$105,573,000, of which up to \$4,000,000 may remain available until expended: Provided, That the civilian personnel for whom military education and training may be provided under this heading may include civilians who are not members of a government whose participation would contribute to improved civil-military relations, civilian control of the military, or respect for human rights: Provided further, That of the funds appropriated under this heading, not to exceed \$55,000 may be available for entertainment allowances.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–1081–0–1–152   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program activity .....  | 104         | 108     | 108       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 7           | 9       | 9         |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... |             | 2       | 4         |
| 1021 Recoveries of prior year unpaid obligations .....                          | 1           |         |           |
| 1050 Unobligated balance (total) .....  | 8           | 11      | 13        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 106         | 106     | 106       |
| 1160 Appropriation, discretionary (total) .....                                 | 106         | 106     | 106       |
| 1930 Total budgetary resources available .....                                  | 114         | 117     | 119       |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 9           | 9       | 11        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 94          | 90      | 93        |
| 3010 Obligations incurred, unexpired accounts .....                             | 104         | 108     | 108       |
| 3011 Obligations incurred, expired accounts .....                               | 19          |         |           |
| 3020 Outlays (gross) .....  | –94         | –105    | –112      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –1          |         |           |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -32 |     |     |
| 3050                                      | Unpaid obligations, end of year .....                      | 90  | 93  | 89  |
| Memorandum (non-add) entries:             |  |     |     |     |
| 3100                                      | Obligated balance, start of year .....                     | 94  | 90  | 93  |
| 3200                                      | Obligated balance, end of year .....                       | 90  | 93  | 89  |
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Discretionary:                            |  |     |     |     |
| 4000                                      | Budget authority, gross .....                              | 106 | 106 | 106 |
| Outlays, gross:                           |  |     |     |     |
| 4010                                      | Outlays from new discretionary authority .....             | 43  | 42  | 42  |
| 4011                                      | Outlays from discretionary balances .....                  | 51  | 63  | 70  |
| 4020                                      | Outlays, gross (total) .....                               | 94  | 105 | 112 |
| 4180                                      | Budget authority, net (total) .....                        | 106 | 106 | 106 |
| 4190                                      | Outlays, net (total) .....                                 | 94  | 105 | 112 |

This assistance provides grants for foreign military and civilian personnel to attend military education and training provided by the United States Government either at U.S. military schools or by trainers in country. In addition to helping these countries professionalize their militaries, this program also exposes foreign students to American democratic values, particularly respect for civilian control of the military and for internationally recognized standards of individual and human rights.

#### Object Classification (in millions of dollars)

| Identification code 11-1081-0-1-152 | 2012 actual                                | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| 26.0                                | Supplies and materials .....               | 8       | 8         |
| 41.0                                | Grants, subsidies, and contributions ..... | 96      | 100       |
| 99.9                                | Total new obligations .....                | 104     | 108       |

#### PEACEKEEPING OPERATIONS

*For necessary expenses to carry out the provisions of section 551 of the Foreign Assistance Act of 1961, \$347,000,000: Provided, That funds appropriated under this heading may be used, notwithstanding section 660 of such Act, to provide assistance to enhance the capacity of foreign civilian security forces, including gendarmes, to participate in peacekeeping operations: Provided further, That funds transferred to, or funds appropriated under this heading in this Act, may be used to pay assessed expenses of international peacekeeping activities in Somalia.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 72-1032-0-1-152                            | 2012 actual  | 2013 CR | 2014 est. |
|--|--|---------|-----------|
| <b>Obligations by program activity:</b>                        |  |         |           |
| 0001   | Direct program activity .....  | 435     | 386       |
| 0801   | Reimbursable program activity .....  | 8       |           |
| 0900   | Total new obligations .....  | 443     | 386       |
| <b>Budgetary Resources:</b>                                    |  |         |           |
| Unobligated balance:   |  |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 18      | 54        |
| 1012   | Unobligated balance transfers between expired and unexpired accounts ..... | 27      |           |
| 1050   | Unobligated balance (total) .....  | 45      | 54        |
| Budget authority:  |  |         |           |
| Appropriations, discretionary:                                 |  |         |           |
| 1100   | Appropriation .....  | 302     | 386       |
| 1100   | Appropriation - OCO .....  | 81      |           |
| 1121   | Appropriations transferred from other accts [19-1022] ....                 | 45      |           |
| 1160   | Appropriation, discretionary (total) .....                                 | 428     | 386       |
| Spending authority from offsetting collections, discretionary: |  |         |           |
| 1700   | Collected .....  | 32      |           |

|                                     |   |      |      |      |
|-------------------------------------|---|------|------|------|
| 1750                                | Spending auth from offsetting collections, disc (total) ..... | 32   |      |      |
| 1900                                | Budget authority (total) .....                                | 460  | 386  | 347  |
| 1930                                | Total budgetary resources available .....                     | 505  | 440  | 401  |
| Memorandum (non-add) entries:       |   |      |      |      |
| 1940                                | Unobligated balance expiring .....                            | -8   |      |      |
| 1941                                | Unexpired unobligated balance, end of year .....              | 54   | 54   | 54   |
| <b>Change in obligated balance:</b> |   |      |      |      |
| Unpaid obligations:                 |   |      |      |      |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....              | 428  | 377  | 207  |
| 3010                                | Obligations incurred, unexpired accounts .....                | 443  | 386  | 347  |
| 3011                                | Obligations incurred, expired accounts .....                  | 14   |      |      |
| 3020                                | Outlays (gross) .....   | -487 | -556 | -463 |
| 3041                                | Recoveries of prior year unpaid obligations, expired .....    | -21  |      |      |
| 3050                                | Unpaid obligations, end of year .....                         | 377  | 207  | 91   |
| Memorandum (non-add) entries:       |   |      |      |      |
| 3100                                | Obligated balance, start of year .....                        | 428  | 377  | 207  |
| 3200                                | Obligated balance, end of year .....                          | 377  | 207  | 91   |

#### Budget authority and outlays, net:

|   |   |     |     |
|---|---|-----|-----|
| Discretionary:  |   |     |     |
| 4000  | Budget authority, gross .....                                   | 460 | 386 |
| Outlays, gross:   |   |     |     |
| 4010  | Outlays from new discretionary authority .....                  | 173 | 267 |
| 4011  | Outlays from discretionary balances .....                       | 314 | 289 |
| 4020  | Outlays, gross (total) .....                                    | 487 | 556 |
| Offsets against gross budget authority and outlays:     |   |     |     |
| Offsetting collections (collected) from:                |   |     |     |
| 4030  | Federal sources .....   | -51 |     |
| 4033  | Non-Federal sources .....                                       | -1  |     |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -52 |     |
| Additional offsets against gross budget authority only: |   |     |     |
| 4052  | Offsetting collections credited to expired accounts .....       | 20  |     |
| 4070  | Budget authority, net (discretionary) .....                     | 428 | 386 |
| 4080  | Outlays, net (discretionary) .....                              | 435 | 556 |
| 4180  | Budget authority, net (total) .....                             | 428 | 386 |
| 4190  | Outlays, net (total) .....                                      | 435 | 556 |

This account funds U.S. assistance to international efforts to monitor and maintain the peace in areas of special concern to the United States, and provides funds to other related programs carried out in furtherance of the national security interests of the United States. In 2014, support is planned for programs in Africa, the Multinational Force and Observers Mission in the Sinai, the Global Peace Operations Initiative, and other activities.

#### Object Classification (in millions of dollars)

| Identification code 72-1032-0-1-152 | 2012 actual  | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| 41.0                                | Direct obligations: Grants, subsidies, and contributions ..... | 435     | 386       |
| 99.0                                | Reimbursable obligations .....                                 | 8       |           |
| 99.9                                | Total new obligations .....                                    | 443     | 386       |

#### NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED PROGRAMS

*For necessary expenses for nonproliferation, anti-terrorism, demining and related programs and activities, \$616,125,000, to carry out the provisions of chapter 8 of part II of the Foreign Assistance Act of 1961 for anti-terrorism assistance, chapter 9 of part II of the Foreign Assistance Act of 1961, section 504 of the FREEDOM Support Act, section 23 of the Arms Export Control Act or the Foreign Assistance Act of 1961 for demining activities, the clearance of unexploded ordnance, the destruction of small arms, and related activities, notwithstanding any other provision of law, including activities implemented through nongovernmental and international organizations, and section 301 of the Foreign Assistance Act of 1961 for a voluntary contribution to the International Atomic Energy Agency (IAEA), and for a United States contribution to the Comprehensive Nuclear Test Ban Treaty Preparatory Commission: Provided, That funds made available for the Nonproliferation and Disarmament Fund shall remain available until expended, notwithstanding any other provision of law, to promote bilateral and multilateral activities relating to nonproliferation, disarmament and weapons destruction: Provided further, That such funds may also be used for such countries other than the Independent*

NONPROLIFERATION, ANTI-TERRORISM, DEMINING AND RELATED  
PROGRAMS—Continued

*States of the former Soviet Union and international organizations when it is in the national security interest of the United States to do so: Provided further, That funds appropriated under this heading may be made available for public-private partnerships for conventional weapons and mine action by grant, cooperative agreement or contract: Provided further, That funds made available for demining, conventional weapons destruction, and related activities, in addition to funds otherwise available for such purposes, may be used for administrative expenses related to the operation and management of demining, conventional weapons destruction, and related programs: Provided further, That funds appropriated under this heading that are available for "Anti-terrorism Assistance" and "Export Control and Border Security" shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–1075–0–1–152   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program activity .....  | 743         | 685     | 645       |
| 0801 Reimbursable program .....   | 28          |         |           |
| 0900 Total new obligations .....  | 771         | 685     | 645       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 497         | 463     | 493       |
| 1010 Unobligated balance transfer to other accts [72–1037] .....                | –12         |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 4           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 11          |         |           |
| 1050 Unobligated balance (total) .....  | 500         | 463     | 493       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 711         | 715     | 616       |
| 1160 Appropriation, discretionary (total) .....                                 | 711         | 715     | 616       |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 28          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 28          |         |           |
| 1900 Budget authority (total) .....   | 739         | 715     | 616       |
| 1930 Total budgetary resources available .....                                  | 1,239       | 1,178   | 1,109     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –5          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 463         | 493     | 464       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 576         | 640     | 596       |
| 3010 Obligations incurred, unexpired accounts .....                             | 771         | 685     | 645       |
| 3011 Obligations incurred, expired accounts .....                               | 9           |         |           |
| 3020 Outlays (gross) .....  | –687        | –729    | –839      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –11         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –18         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 640         | 596     | 402       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –1          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 1           |         |           |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 575         | 640     | 596       |
| 3200 Obligated balance, end of year .....                                       | 640         | 596     | 402       |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 739         | 715     | 616       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 270         | 286     | 246       |
| 4011 Outlays from discretionary balances .....                                  | 417         | 443     | 593       |
| 4020 Outlays, gross (total) .....   | 687         | 729     | 839       |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | –32         |         |           |

|  |     |     |     |
|--|-----|-----|-----|
| Additional offsets against gross budget authority only:        |     |     |     |
| 4052 Offsetting collections credited to expired accounts ..... | 4   |     |     |
| 4070 Budget authority, net (discretionary) .....               | 711 | 715 | 616 |
| 4080 Outlays, net (discretionary) .....                        | 655 | 729 | 839 |
| 4180 Budget authority, net (total) .....                       | 711 | 715 | 616 |
| 4190 Outlays, net (total) .....                                | 655 | 729 | 839 |

This account funds contributions to certain organizations supporting nonproliferation, and provides assistance for nonproliferation, demining, anti-terrorism, export control assistance, and other related activities.

## Object Classification (in millions of dollars)

| Identification code 11–1075–0–1–152                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 21.0 Travel and transportation of persons .....    | 24          | 10      | 10        |
| 25.2 Other services from non-Federal sources ..... | 415         | 424     | 404       |
| 31.0 Equipment .....                               | 54          | 44      | 44        |
| 41.0 Grants, subsidies, and contributions .....    | 250         | 207     | 187       |
| 99.0 Direct obligations .....                      | 743         | 685     | 645       |
| 99.0 Reimbursable obligations .....                | 28          |         |           |
| 99.9 Total new obligations .....                   | 771         | 685     | 645       |

## GLOBAL SECURITY CONTINGENCY FUND

*For necessary expenses of the Global Security Contingency Fund, \$25,000,000, to remain available until expended, notwithstanding any other provision of law: Provided, That notwithstanding any other provision of law, not to exceed \$50,000,000, from funds appropriated in this or prior Acts, except for funds designated for Overseas Contingency Operations/Global War on Terrorism, may be transferred to the Global Security Contingency Fund: Provided further, That this transfer authority is in addition to any other transfer authority available to the Department of State: Provided further, That any transfer pursuant to this section shall be subject to the regular notification procedures of the Committees on Appropriations.*

## Program and Financing (in millions of dollars)

| Identification code 11–1041–0–1–152                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Direct program activity .....                              |             | 45      | 12        |
| 0900 Total new obligations (object class 41.0) .....            |             | 45      | 12        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 45      |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  |             |         | 25        |
| 1121 Appropriations transferred from other accts [11–1083] .... | 23          |         |           |
| 1121 Appropriations transferred from other accts [97–0100] .... | 22          |         |           |
| 1160 Appropriation, discretionary (total) .....                 | 45          |         | 25        |
| 1930 Total budgetary resources available .....                  | 45          | 45      | 25        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 45          |         | 13        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           |             |         | 22        |
| 3010 Obligations incurred, unexpired accounts .....             |             | 45      | 12        |
| 3020 Outlays (gross) .....                                      |             | –23     | –33       |
| 3050 Unpaid obligations, end of year .....                      |             | 22      | 1         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     |             |         | 22        |
| 3200 Obligated balance, end of year .....                       |             | 22      | 1         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 45          |         | 25        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             |             |         | 11        |



|      |   |    |    |
|------|---|----|----|
| 4011 | Outlays from discretionary balances ..... | 23 | 22 |
| 4020 | Outlays, gross (total) .....              | 23 | 33 |
| 4180 | Budget authority, net (total) .....       | 45 | 25 |
| 4190 | Outlays, net (total) .....                | 23 | 33 |

The Global Security Contingency Fund will provide military and other security sector assistance, as well as assistance to the justice sector (including law enforcement and prisons), rule of law programs, and stabilization efforts in cases where civilian providers are challenged in their ability to operate. Assistance programs under this account would be collaboratively developed by the Department of State and the Department of Defense. The fund also allows direct contributions from each Department. The fund would allow for implementation by the most appropriate agency in a given situation, be it State, Defense, the U.S. Agency for International Development, or others.

## FOREIGN MILITARY FINANCING LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 11-1085-0-1-152                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| Credit program obligations:                               |             |         |           |
| 0705 Reestimates of direct loan subsidy .....             | 37          | 121     | .....     |
| 0706 Interest on reestimates of direct loan subsidy ..... | .....       | 60      | .....     |
| 0900 Total new obligations (object class 25.3) .....      | 37          | 181     | .....     |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                |             |         |           |
| 1200 Appropriation .....                                  | 37          | 181     | .....     |
| 1260 Appropriations, mandatory (total) .....              | 37          | 181     | .....     |
| 1930 Total budgetary resources available .....            | 37          | 181     | .....     |
| <b>Change in obligated balance:</b>                       |             |         |           |
| Unpaid obligations:                                       |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....       | 37          | 181     | .....     |
| 3020 Outlays (gross) .....                                | -37         | -181    | .....     |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                        | 37          | 181     | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....           | 37          | 181     | .....     |
| 4180 Budget authority, net (total) .....                  | 37          | 181     | .....     |
| 4190 Outlays, net (total) .....                           | 37          | 181     | .....     |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 11-1085-0-1-152                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan upward reestimates:                         |             |         |           |
| 135001 DSCA Loan Program .....                          | 38          | 180     | .....     |
| 135999 Total upward reestimate budget authority .....   | 38          | 180     | .....     |
| Direct loan downward reestimates:                       |             |         |           |
| 137001 DSCA Loan Program .....                          | .....       | -5      | .....     |
| 137999 Total downward reestimate budget authority ..... | .....       | -5      | .....     |

## FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 11-4122-0-3-152                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| Credit program obligations:                            |             |         |           |
| 0742 Downward reestimate paid to receipt account ..... | .....       | 5       | .....     |
| 0900 Total new obligations .....                       | .....       | 5       | .....     |

## Budgetary Resources:

|   |     |     |       |
|---|-----|-----|-------|
| Unobligated balance:  |     |     |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 27  | 236 | ..... |
| Financing authority:  |     |     |       |
| Spending authority from offsetting collections, mandatory:                      |     |     |       |
| 1800 Collected .....  | 38  | 241 | 61    |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -11 | -27 | -27   |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 27  | 214 | 34    |
| 1930 Total budgetary resources available .....                                  | 27  | 241 | 270   |
| Memorandum (non-add) entries:   |     |     |       |
| 1941 Unexpired unobligated balance, end of year .....                           | 27  | 236 | 270   |

## Change in obligated balance:

|   |       |       |       |
|---|-------|-------|-------|
| Unpaid obligations:                                   |       |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2,462 | 2,462 | 2,433 |
| 3010 Obligations incurred, unexpired accounts .....   | ..... | 5     | ..... |
| 3020 Financing disbursements (gross) .....            | ..... | -34   | -34   |
| 3050 Unpaid obligations, end of year .....            | 2,462 | 2,433 | 2,399 |
| Memorandum (non-add) entries:                         |       |       |       |
| 3100 Obligated balance, start of year .....           | 2,462 | 2,462 | 2,433 |
| 3200 Obligated balance, end of year .....             | 2,462 | 2,433 | 2,399 |

## Financing authority and disbursements, net:

|   |       |       |       |
|---|-------|-------|-------|
| Mandatory:  |       |       |       |
| 4090 Financing authority, gross .....                                     | 27    | 214   | 34    |
| Financing disbursements:  |       |       |       |
| 4110 Financing disbursements, gross .....                                 | ..... | 34    | 34    |
| Offsets against gross financing authority and disbursements:              |       |       |       |
| Offsetting collections (collected) from:                                  |       |       |       |
| 4120 Federal sources .....  | -37   | -180  | ..... |
| 4122 Interest on uninvested funds .....                                   | -1    | ..... | ..... |
| 4123 Non-Federal sources - principal .....                                | ..... | -50   | -50   |
| 4123 Non-Federal sources - interest .....                                 | ..... | -11   | -11   |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -38   | -241  | -61   |
| 4160 Financing authority, net (mandatory) .....                           | -11   | -27   | -27   |
| 4170 Financing disbursements, net (mandatory) .....                       | -38   | -207  | -27   |
| 4180 Financing authority, net (total) .....                               | -11   | -27   | -27   |
| 4190 Financing disbursements, net (total) .....                           | -38   | -207  | -27   |

## Status of Direct Loans (in millions of dollars)

| Identification code 11-4122-0-3-152                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:     |             |         |           |
| 1210 Outstanding, start of year .....               | 11          | 11      | 11        |
| 1231 Disbursements: Direct loan disbursements ..... | .....       | 34      | 34        |
| 1251 Repayments: Repayments and prepayments .....   | .....       | -34     | -34       |
| 1290 Outstanding, end of year .....                 | 11          | 11      | 11        |

The Foreign Military Financing Direct Loan Program (FMFDLP) Account is a program account established pursuant to the Federal Credit Reform Act (FCRA) of 1990, as amended, to provide the funds necessary for the subsidy element of loans. As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans for foreign military financing obligated in 1992 and after. The foreign military financing credit program provides loans that finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. The amounts in this account are a means of financing and are not included in budget totals. Expenditures from this account finance the subsidy element of direct loan disbursements and are transferred into the Foreign Military Financing Direct Loan Financing (FMFDLF) Account to make required loan disbursements for approved FMS or commercial sales. The FMFDLF is a financing account used to make disbursements of Foreign Military Loan funds for approved procurements and for subsequent collections for loans after September 30, 1991. The account uses permanent borrowing authority from the U.S. Treasury combined with transfers of appropriated funds from the Foreign Military Financing Direct Loan Program (FMFDLP) Account to make required disbursements to loan recipient country

FOREIGN MILITARY FINANCING DIRECT LOAN FINANCING  
ACCOUNT—Continued

borrowers for approved procurements. Receipts of debt service collections from borrowers are used to repay borrowings from U.S. Treasury.

**Balance Sheet** (in millions of dollars)

| Identification code 11-4122-0-3-152                                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1401 Net value of assets related to post-1991 direct loans receivable: |             |             |
| Direct loans receivable, gross .....                                   | 11          | 11          |
| 1999 Total assets .....  | 11          | 11          |
| <b>LIABILITIES:</b>  |             |             |
| 2103 Federal liabilities: Debt .....                                   | 11          | 11          |
| 4999 Total liabilities and net position .....                          | 11          | 11          |

## FOREIGN MILITARY LOAN LIQUIDATING ACCOUNT

**Program and Financing** (in millions of dollars)

| Identification code 11-4121-0-3-152   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....  | 2           | 1       | .....     |
| 0900 Total new obligations (object class 42.0) .....  | 2           | 1       | .....     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 2           | 1       | .....     |
| 1260 Appropriations, mandatory (total) .....  | 2           | 1       | .....     |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Offsetting collections (cash)-from country loans .....                                   | 140         | 120     | 100       |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -34         | -30     | -25       |
| 1825 Spending authority from offsetting collections applied to repay debt .....               | -106        | -90     | -75       |
| 1900 Budget authority (total) .....   | 2           | 1       | .....     |
| 1930 Total budgetary resources available .....  | 2           | 1       | .....     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 2           | 1       | .....     |
| 3020 Outlays (gross) .....  | -2          | -1      | .....     |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 2           | 1       | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 2           | 1       | .....     |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Baseline Program [Loan collections-Non FFB] .....  | -34         | -30     | -25       |
| 4123 Baseline Program [FFB Loan collections] .....  | -106        | -90     | -75       |
| 4130 Offsets against gross budget authority and outlays (total) ....                          | -140        | -120    | -100      |
| 4160 Budget authority, net (mandatory) .....  | -138        | -119    | -100      |
| 4170 Outlays, net (mandatory) .....   | -138        | -119    | -100      |
| 4180 Budget authority, net (total) .....  | -138        | -119    | -100      |
| 4190 Outlays, net (total) .....   | -138        | -119    | -100      |

**Status of Direct Loans** (in millions of dollars)

| Identification code 11-4121-0-3-152                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Cumulative balance of direct loans outstanding:</b>         |             |         |           |
| 1210 Outstanding, start of year .....                          | 571         | 446     | 327       |
| 1231 Disbursements: Direct loan disbursements .....            | 3           | 1       | .....     |
| 1251 Repayments: Repayments and prepayments from country ..... | -128        | -120    | -100      |
| 1290 Outstanding, end of year .....                            | 446         | 327     | 227       |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 11-4121-0-3-152  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Cumulative balance of guaranteed loans outstanding:</b>                     |             |         |           |
| 2210 Outstanding, start of year .....  | 439         | 297     | 171       |
| 2251 Repayments and prepayments .....  | -140        | -125    | -171      |
| 2263 Adjustments: Terminations for default that result in claim payments ..... | -2          | -1      | .....     |
| 2290 Outstanding, end of year .....  | 297         | 171     | .....     |
| <b>Memorandum:</b>   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....      | 196         | 150     | .....     |

The Foreign Military Loan Liquidating Account (FMLLA) is a liquidating account that records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees for foreign military financing committed prior to 1992. This account is shown on a cash basis and reflects the transactions resulting from loans provided to finance sales of defense articles, defense services, and design and construction services to foreign countries and international organizations. No new loan disbursements are made from this account. Certain collections made into this account are made available for default claim payments. The Federal Credit Reform Act (FCRA) provides permanent indefinite authority to cover obligations for default payments if the liquidating account funds are otherwise insufficient. All new foreign military financing credit activity in 1992 and after (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

| Identification code 11-4121-0-3-152                | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>                                     |             |             |
| 1601 Direct loans, gross .....                     | 571         | 446         |
| 1602 Interest receivable .....                     | 387         | 401         |
| 1699 Value of assets related to direct loans ..... | 958         | 847         |
| 1999 Total assets .....                            | 958         | 847         |
| <b>LIABILITIES:</b>                                |             |             |
| Federal liabilities:                               |             |             |
| 2102 Accrued Interest Payable to FFB .....         | 3           | 2           |
| 2103 Debt - Principal owed to FFB .....            | 294         | 188         |
| 2104 Resources payable to Treasury .....           | 661         | 657         |
| 2999 Total liabilities .....                       | 958         | 847         |
| 4999 Total liabilities and net position .....      | 958         | 847         |

## MILITARY DEBT REDUCTION FINANCING ACCOUNT

**Program and Financing** (in millions of dollars)

| Identification code 11-4174-0-3-152                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| Credit program obligations:                         |             |         |           |
| 0713 Payment of interest to Treasury .....          | 2           | .....   | .....     |
| 0900 Total new obligations .....                    | 2           | .....   | .....     |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Financing authority:                                |             |         |           |
| Borrowing authority, mandatory:                     |             |         |           |
| 1400 Borrowing authority .....                      | 2           | .....   | .....     |
| 1440 Borrowing authority, mandatory (total) .....   | 2           | .....   | .....     |
| 1930 Total budgetary resources available .....      | 2           | .....   | .....     |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 2           | .....   | .....     |

|  |  |    |  |  |
|--|--|----|--|--|
| 3020   | Financing disbursements (gross) .....      | -2 |  |  |
| <b>Financing authority and disbursements, net:</b> |  |    |  |  |
| Mandatory:   |  |    |  |  |
| 4090   | Financing authority, gross .....           | 2  |  |  |
| Financing disbursements:                           |  |    |  |  |
| 4110   | Financing disbursements, gross .....       | 2  |  |  |
| 4180   | Financing authority, net (total) .....     | 2  |  |  |
| 4190   | Financing disbursements, net (total) ..... | 2  |  |  |

**Status of Direct Loans** (in millions of dollars)

| Identification code 11-4174-0-3-152             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year .....           | 191         | 191     | 191       |
| 1290 Outstanding, end of year .....             | 191         | 191     | 191       |

As required by the Federal Credit Reform Act of 1990, the Military Debt Reduction Financing (MDRF) Account is a non-budgetary financing account that records all cash flows to and from the Government resulting from restructuring foreign military loans. The amounts in this account are a means of financing and are not included in budget totals. It is an account established for the debt relief of certain countries as established by Public Law 103-87, Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1994, Section 11, Special Debt Relief for the Poorest, Most Heavily Indebted Countries. The MDRF buys a portfolio of loans from the FMLLA, thus transferring the loans from the FMLLA Account to the MDRF Account.

**Balance Sheet** (in millions of dollars)

| Identification code 11-4174-0-3-152                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 191         | 191         |
| 1402 Interest receivable .....                                    | 55          | 55          |
| 1405 Allowance for subsidy cost (-) .....                         | -234        | -234        |
| 1499 Net present value of assets related to direct loans .....    | 12          | 12          |
| 1999 Total assets .....   | 12          | 12          |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 12          | 12          |
| 4999 Total liabilities and net position .....                     | 12          | 12          |

**MULTILATERAL ASSISTANCE****Federal Funds****CONTRIBUTION TO THE CLEAN TECHNOLOGY FUND**

*For payment to the International Bank for Reconstruction and Development as trustee for the Clean Technology Fund by the Secretary of the Treasury, \$215,700,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 11-0080-0-1-151                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 230         | 186     | 216       |
| 0900 Total new obligations (object class 33.0) ..... | 230         | 186     | 216       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 185         | 186     | 216       |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 1121 | Appropriations transferred from other accts [72-1037] .... | 45  |     |     |
| 1160 | Appropriation, discretionary (total) .....                 | 230 | 186 | 216 |
| 1930 | Total budgetary resources available .....                  | 230 | 186 | 216 |

**Change in obligated balance:**

|                               |  |      |      |      |
|-------------------------------|--|------|------|------|
| Unpaid obligations:           |  |      |      |      |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... |      | 45   |      |
| 3010                          | Obligations incurred, unexpired accounts .....   | 230  | 186  | 216  |
| 3020                          | Outlays (gross) .....                            | -185 | -231 | -216 |
| 3050                          | Unpaid obligations, end of year .....            | 45   |      |      |
| Memorandum (non-add) entries: |  |      |      |      |
| 3100                          | Obligated balance, start of year .....           |      | 45   |      |
| 3200                          | Obligated balance, end of year .....             | 45   |      |      |

**Budget authority and outlays, net:**

|                 |  |     |     |     |
|-----------------|--|-----|-----|-----|
| Discretionary:  |  |     |     |     |
| 4000            | Budget authority, gross .....                  | 230 | 186 | 216 |
| Outlays, gross: |  |     |     |     |
| 4010            | Outlays from new discretionary authority ..... |     | 186 | 216 |
| 4011            | Outlays from discretionary balances .....      | 185 | 45  |     |
| 4020            | Outlays, gross (total) .....                   | 185 | 231 | 216 |
| 4180            | Budget authority, net (total) .....            | 230 | 186 | 216 |
| 4190            | Outlays, net (total) .....                     | 185 | 231 | 216 |

The Clean Technology Fund (CTF) is an ongoing multibillion dollar effort to reduce the growth of greenhouse gas emissions in developing countries by catalyzing large-scale private and public investments through financing the additional costs of commercially available cleaner technologies over dirtier, conventional alternatives. By funding the extra cost of the cleaner technology, the CTF incentivizes cleaner projects that leverage development bank financing and attract new investor capital into low-carbon sectors. The CTF, one of the two multilateral Climate Investment Funds (CIFs), leverages the capital bases and country program expertise of the multilateral development banks (MDBs). To receive funding, eligible countries must first develop credible national investment plans that identify key high-emissions sectors where targeted projects could stimulate low-carbon growth and the scalable uptake of clean technologies. Since 2009, the CTF's governing committee has endorsed 16 such plans with a combined CTF funding envelope of \$5.5 billion and total planned investments of over \$43 billion. As of September 2012, the CTF has approved 38 individual projects using \$2.2 billion in funding. The 38 approved projects have attracted co-financing of \$18.2 billion from recipient governments, the private sector, and the MDBs. The 2014 Budget includes \$215.7 million to meet a portion of the remaining U.S. commitment to the CTF.

**CONTRIBUTION TO THE STRATEGIC CLIMATE FUND**

*For payment to the International Bank for Reconstruction and Development as trustee for the Strategic Climate Fund by the Secretary of the Treasury, \$68,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 11-0071-0-1-151                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct Program Activity .....                   | 75          | 50      | 68        |
| 0900 Total new obligations (object class 33.0) ..... | 75          | 50      | 68        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 50          | 50      | 68        |

CONTRIBUTION TO THE STRATEGIC CLIMATE FUND—Continued  
Program and Financing—Continued

| Identification code 11–0071–0–1–151       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 1121                                      | Appropriations transferred from other accts [72–1037] .... | 25          | .....   | .....     |
| 1160                                      | Appropriation, discretionary (total) .....                 | 75          | 50      | 68        |
| 1930                                      | Total budgetary resources available .....                  | 75          | 50      | 68        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | .....       | 25      | .....     |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 75          | 50      | 68        |
| 3020                                      | Outlays (gross) .....                                      | –50         | –75     | –68       |
| 3050                                      | Unpaid obligations, end of year .....                      | 25          | .....   | .....     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | .....       | 25      | .....     |
| 3200                                      | Obligated balance, end of year .....                       | 25          | .....   | .....     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                              | 75          | 50      | 68        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 50          | 50      | 68        |
| 4011                                      | Outlays from discretionary balances .....                  | .....       | 25      | .....     |
| 4020                                      | Outlays, gross (total) .....                               | 50          | 75      | 68        |
| 4180                                      | Budget authority, net (total) .....                        | 75          | 50      | 68        |
| 4190                                      | Outlays, net (total) .....                                 | 50          | 75      | 68        |

The Strategic Climate Fund (SCF) is a suite of three programs to pilot innovative approaches and scaled-up activities aimed at specific climate change-related challenges in developing countries. The Pilot Program for Climate Resilience (PPCR) helps the most vulnerable populations in very poor countries better prepare for and respond to the effects of climate change through innovative development plans, strategies, and projects. The PPCR pilot programs are for Bangladesh, Bolivia, Cambodia, Mozambique, Nepal, Niger, Tajikistan, Yemen, Zambia, the Caribbean region (Dominica, Grenada, Haiti, Jamaica, Saint Lucia, Saint Vincent and the Grenadines), and the Pacific region (Papua New Guinea, Samoa, and Tonga). The value of planned PPCR investments is over \$925 million. The Forest Investment Program (FIP) helps protect our global forests by reducing deforestation in developing countries through improved governance and forest management, and by addressing the drivers of deforestation. The FIP pilot programs are Brazil, Burkina Faso, Democratic Republic of Congo, Ghana, Indonesia, Laos, Mexico, and Peru. The value of planned FIP investments is \$400 million. The Program for Scaling-Up Renewable Energy in Low Income Countries (SREP) will demonstrate the economic, social, and environmental viability of low-carbon development pathways in very poor countries. The SREP pilot programs are for Ethiopia, Honduras, Kenya, Maldives, Mali, Nepal, and Tanzania. The value of planned SREP investments is \$210 million. The 2014 Budget includes \$68 million to meet a portion of the remaining U.S. commitment to the SCF.

## GLOBAL AGRICULTURE AND FOOD SECURITY PROGRAM

*For payment to the Global Agriculture and Food Security Program by the Secretary of the Treasury, \$135,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–1475–0–1–151       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Direct program activity .....                                | 160         | 151     | 135       |
| 0900                                      | Total new obligations (object class 33.0) .....              | 160         | 151     | 135       |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1011                                      | Unobligated balance transfer from other accts [72–1021] .... | 25          | 15      | .....     |
| 1050                                      | Unobligated balance (total) .....                            | 25          | 15      | .....     |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 135         | 136     | 135       |
| 1160                                      | Appropriation, discretionary (total) .....                   | 135         | 136     | 135       |
| 1930                                      | Total budgetary resources available .....                    | 160         | 151     | 135       |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 160         | 151     | 135       |
| 3020                                      | Outlays (gross) .....  | –160        | –151    | –135      |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 135         | 136     | 135       |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               | 135         | 136     | 135       |
| 4011                                      | Outlays from discretionary balances .....                    | 25          | 15      | .....     |
| 4020                                      | Outlays, gross (total) .....                                 | 160         | 151     | 135       |
| 4180                                      | Budget authority, net (total) .....                          | 135         | 136     | 135       |
| 4190                                      | Outlays, net (total) .....                                   | 160         | 151     | 135       |

The Global Agriculture and Food Security Program (GAFSP) is a multilateral mechanism that funds projects supporting the agricultural investment plans of poor countries. The GAFSP, which is administered by the World Bank, leverages the expertise and implementing structures of other multilateral institutions such as the International Fund for Agricultural Development, the World Bank, and the regional development banks. Since its inception in 2010 through December 2012, the GAFSP has awarded grants totaling \$658 million for investments in 18 countries. These investments are expected to help 8.2 million smallholder farmers and their families increase their income and strengthen their nutritional outcomes. These grants were funded from contributions from the United States, Canada, Ireland, South Korea, Australia, Spain, the United Kingdom, and the Bill and Melinda Gates Foundation. The private sector window, which provides financing to small and medium-sized agribusinesses and small-holder farmers, has awarded \$30 million, funded from contributions from the United States, Canada, and the Netherlands. The 2014 Budget includes \$135 million as the first payment under the new U.S. pledge to commit \$1 for every \$2 contributed by other donors up to a total U.S. contribution of \$475 million.

## INTERNATIONAL FINANCIAL INSTITUTIONS

## CONTRIBUTION TO THE INTERNATIONAL BANK FOR RECONSTRUCTION AND DEVELOPMENT

*For payment to the International Bank for Reconstruction and Development by the Secretary of the Treasury, for the United States share of the paid-in portion of the increases in capital stock, \$186,956,866, to remain available until expended.*

## LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

*The United States Governor of the International Bank for Reconstruction and Development may subscribe without fiscal year limitation to the callable capital portion of the United States share of increases in capital stock in an amount not to exceed \$2,928,990,899.*

## GLOBAL ENVIRONMENT FACILITY

*For payment to the International Bank for Reconstruction and Development as trustee for the Global Environment Facility by the Secretary of the Treasury, \$143,750,000, to remain available until expended.*

## TRANSITION FUND

*For payment to the International Bank for Reconstruction and Development as trustee for the Transition Fund by the Secretary of the Treasury, \$5,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–0077–0–1–151                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Global Environment Facility .....                           | 120         | 90      | 144       |
| 0002 International Bank for Reconstruction and Development ..... | 117         | 118     | 187       |
| 0003 Transition Fund .....                                       |             |         | 5         |
| 0900 Total new obligations (object class 33.0) .....             | 237         | 208     | 336       |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 7,663       | 7,663   | 7,663     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 207         | 208     | 336       |
| 1121 Appropriations transferred from other accts [72–1037] ....  | 30          |         |           |
| 1160 Appropriation, discretionary (total) .....                  | 237         | 208     | 336       |
| 1930 Total budgetary resources available .....                   | 7,900       | 7,871   | 7,999     |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 7,663       | 7,663   | 7,663     |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            |             | 30      |           |
| 3010 Obligations incurred, unexpired accounts .....              | 237         | 208     | 336       |
| 3020 Outlays (gross) .....                                       | –207        | –238    | –336      |
| 3050 Unpaid obligations, end of year .....                       | 30          |         |           |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      |             | 30      |           |
| 3200 Obligated balance, end of year .....                        | 30          |         |           |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 237         | 208     | 336       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              | 207         | 208     | 336       |
| 4011 Outlays from discretionary balances .....                   |             | 30      |           |
| 4020 Outlays, gross (total) .....                                | 207         | 238     | 336       |
| 4180 Budget authority, net (total) .....                         | 237         | 208     | 336       |
| 4190 Outlays, net (total) .....                                  | 207         | 238     | 336       |

The International Bank for Reconstruction and Development (IBRD or World Bank) provides financing and technical assistance to support infrastructure investment and policy reform. IBRD operations are designed to promote sustainable economic growth, reduce poverty, and raise living standards, including through targeted investments in infrastructure, basic human needs, private-sector development, and core policy reforms. The IBRD is the "hard loan" window of the World Bank Group, while the International Development Association (IDA) is the "soft loan", or concessional, window of the World Bank Group. In 2012, the IBRD made new commitments of \$20.6 billion, including 93 operations. Since its establishment in 1945, the IBRD has made loans totaling almost \$573 billion. The 2014 Budget provides \$117.4 million for the third of five installments for the IBRD's general capital increase. In addition, the 2014 Budget includes the second installment of \$70 million for the IBRD's selective capital increase (SCI). Treasury requested and obtained author-

ization to subscribe to the SCI in 2012, and Treasury requested an authorization for appropriations for the SCI in 2013.

## Global Environment Facility

The Global Environment Facility (GEF) is the largest funder of projects to improve the global environment, providing grants to address issues related to biodiversity, clean energy and sustainable landscapes, oceans, land degradation, and chemical pollution. The GEF supports innovative, cost-effective investments that can be replicated and scaled up by the public and private sectors. In 2012, the GEF made new commitments of \$1.25 billion. Since its establishment in 1991, the GEF has allocated \$10.5 billion, supplemented by more than \$51 billion in co-financing, to fund more than 2,900 projects in 168 developing countries. In 2010, donor governments agreed on the fifth replenishment of the GEF (GEF-5) for 2011–2014. The U.S. pledged \$575 million over four years. Cumulative unpaid commitments to the GEF totaled \$229 million at the end of 2012, the largest of any donor. The 2014 Budget includes \$143.8 million for the fourth of four scheduled installments of GEF-5.

## Transition Fund

The Deauville Partnership Transition Fund is a new multi-donor trust fund established to assist members of the Deauville Partnership with Arab Countries in Transition—currently Egypt, Tunisia, Jordan, Morocco, Libya, and Yemen. As these countries work to address their diverse economic challenges, the fund will help promote a broad reform agenda and support inclusive development. The Transition Fund provides grants to countries for diagnostic analyses, technical advice, and initial implementation of targeted policy initiatives and reforms. A wide range of countries have already provided or committed to provide funding to the Transition Fund, including the United Kingdom, Saudi Arabia, Canada, France, Japan, Russia, Kuwait, and Qatar. Treasury requests \$5 million for a contribution to the Transition Fund in 2014.

## CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT ASSOCIATION

*For payment to the International Development Association by the Secretary of the Treasury, \$1,358,500,000, to remain available until expended.*

*For payment to the International Development Association by the Secretary of the Treasury to satisfy commitments made by the United States to support the Multilateral Debt Relief Initiative, including through generation of early encashment credits, \$145,300,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–0073–0–1–151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 International Development Association .....                  | 1,325       | 1,333   | 1,359     |
| 0002 MDRI .....   | 167         | 168     | 145       |
| 0003 Haiti Reconstruction Fund .....                              |             | 5       |           |
| 0900 Total new obligations (object class 33.0) .....              | 1,492       | 1,506   | 1,504     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             |             | 5       |           |
| 1011 Unobligated balance transfer from other accts [72–1037] .... | 5           |         |           |
| 1050 Unobligated balance (total) .....                            | 5           | 5       |           |

CONTRIBUTION TO THE INTERNATIONAL DEVELOPMENT  
ASSOCIATION—Continued  
Program and Financing—Continued

| Identification code 11–0073–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation - IDA .....                        | 1,325       | 1,333   | 1,359     |
| 1100 Appropriation - MDRI .....                       | 167         | 168     | 145       |
| 1160 Appropriation, discretionary (total) .....       | 1,492       | 1,501   | 1,504     |
| 1930 Total budgetary resources available .....        | 1,497       | 1,506   | 1,504     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 5           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 1,492       | 1,506   | 1,504     |
| 3020 Outlays (gross) .....                            | -1,492      | -1,506  | -1,504    |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 1,492       | 1,501   | 1,504     |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 1,492       | 1,501   | 1,504     |
| 4011 Outlays from discretionary balances .....        |             | 5       |           |
| 4020 Outlays, gross (total) .....                     | 1,492       | 1,506   | 1,504     |
| 4180 Budget authority, net (total) .....              | 1,492       | 1,501   | 1,504     |
| 4190 Outlays, net (total) .....                       | 1,492       | 1,506   | 1,504     |

The International Development Association (IDA) is a member of the World Bank Group and provides concessional development financing and grants to the world's poorest nations. IDA is the single largest source of multilateral lending extended on concessional terms to developing countries. Since its establishment in 1960, IDA has made commitments totaling \$250 billion. IDA resources for new lending are funded primarily by donor contributions coordinated through a cycle of three-year replenishments, and are augmented by earnings, repayments of existing loans, and transfers of income from the non-concessional lending windows of the World Bank.

Under the sixteenth replenishment of resources (IDA-16), IDA will provide \$49.2 billion in resources over the period from mid-2011 through mid-2014. During its fiscal year 2012, IDA made new commitments of \$14.8 billion. The largest regional share of 2012 IDA resources was committed to Africa, which received \$7.4 billion.

The 2014 Budget includes \$1,358.5 million for the third of three scheduled installments under IDA-16. The U.S. pledge for IDA-16 is \$4,075.5 billion over three years.

**Multilateral Debt Relief Initiative**

The Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the International Development Association (IDA) and the African Development Fund (AfDF) for countries that reach completion point under the Heavily Indebted Poor Countries (HIPC) initiative. The HIPC initiative entails coordinated action by governments and international financial institutions to reduce the external debt burdens of HIPC countries to sustainable levels. The MDRI goes further by providing irrevocable debt stock reduction in order to free up additional resources for poverty reducing expenditures. Donors have committed to compensate IDA and the AfDF "dollar for dollar" for the roughly \$50 billion in MDRI-related foregone reflows over the 50-year MDRI period (2004 to 2054). The 2014 Budget includes \$145.3 million to help cover the U.S. share of the cost of MDRI at IDA under the IDA-16 replenishment.

A portion of the funds requested for MDRI will be used as a contribution to the outstanding U.S. commitment to the IDA-16 replenishment. This payment will simultaneously generate early

encashment credits used to satisfy the U.S. commitment to MDRI (the U.S. receives early encashment credits when it contributes to the IDA replenishment on an accelerated schedule). This approach is consistent with the use of resources appropriated for MDRI in 2012. The 2014 Budget includes revised MDRI appropriations language to clarify the manner in which this payment will be executed without expanding existing authorities.

CONTRIBUTION TO MULTILATERAL INVESTMENT GUARANTEE AGENCY

Program and Financing (in millions of dollars)

| Identification code 11–0084–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 22          | 22      | 22        |
| 3050 Unpaid obligations, end of year .....            | 22          | 22      | 22        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 22          | 22      | 22        |
| 3200 Obligated balance, end of year .....             | 22          | 22      | 22        |

The Multilateral Investment Guarantee Agency (MIGA) is a member of the World Bank Group. MIGA is designed to encourage the flow of foreign private investment to and among developing countries by issuing guarantees against non-commercial risks and carrying out investment promotion activities. In 2012, MIGA issued 50 guaranteed contracts, with a net exposure of \$6.3 billion. Negotiations on MIGA's first general capital increase (GCI) were completed in 1998. The United States committed to contribute a total of \$30 million in paid-in capital and nearly \$140 million in callable capital over three years. The GCI decision included commitments from MIGA on a range of policy issues of substantial importance to the United States, including environment, information disclosure, labor, and creation of an inspection function for greater accountability and transparency. In 2000, the Administration sought and received congressional authorization for the United States' full participation in the MIGA GCI. No request is being made for MIGA for 2014.

CONTRIBUTION TO THE INTER-AMERICAN DEVELOPMENT BANK

*For payment to the Inter-American Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of the increase in capital stock, \$102,020,448, to remain available until expended.*

LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

*The United States Governor of the Inter-American Development Bank may subscribe without fiscal year limitation to the callable capital portion of the United States share of such capital stock in an amount not to exceed \$4,098,794,833.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 11–0072–0–1–151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 Inter-American Development Bank .....                        | 81          | 75      | 102       |
| 0003 International Investment Corp .....                          | 5           | 5       |           |
| 0900 Total new obligations (object class 33.0) .....              | 86          | 80      | 102       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 3,798       | 3,798   | 3,798     |
| 1011 Unobligated balance transfer from other accts [72–1037] .... | 6           |         |           |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| 1050                                      | Unobligated balance (total) .....                | 3,804 | 3,798 | 3,798 |
|   | Budget authority:                                |       |       |       |
|   | Appropriations, discretionary:                   |       |       |       |
| 1100                                      | Appropriation .....                              | 80    | 80    | 102   |
| 1160                                      | Appropriation, discretionary (total) .....       | 80    | 80    | 102   |
| 1930                                      | Total budgetary resources available .....        | 3,884 | 3,878 | 3,900 |
|   | Memorandum (non-add) entries:                    |       |       |       |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 3,798 | 3,798 | 3,798 |
| <b>Change in obligated balance:</b>       |  |       |       |       |
|   | Unpaid obligations:                              |       |       |       |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 86    | 80    | 102   |
| 3020                                      | Outlays (gross) .....                            | -86   | -80   | -102  |
| <b>Budget authority and outlays, net:</b> |  |       |       |       |
|   | Discretionary:                                   |       |       |       |
| 4000                                      | Budget authority, gross .....                    | 80    | 80    | 102   |
|   | Outlays, gross:                                  |       |       |       |
| 4010                                      | Outlays from new discretionary authority .....   | 80    | 80    | 102   |
| 4011                                      | Outlays from discretionary balances .....        | 6     |       |       |
| 4020                                      | Outlays, gross (total) .....                     | 86    | 80    | 102   |
| 4180                                      | Budget authority, net (total) .....              | 80    | 80    | 102   |
| 4190                                      | Outlays, net (total) .....                       | 86    | 80    | 102   |

The Inter-American Development Bank (IDB) promotes sustainable economic growth, poverty reduction, private sector development, and good governance in Latin America and the Caribbean through targeted loans and technical assistance. In 2012, the IDB approved 196 operations worth \$11.4 billion. Since its inception, the IDB has approved a total of \$220 billion in loans. The IDB provides financing through: 1) the Ordinary Capital (OC) window that lends at market-based rates, and 2) the Fund for Special Operations (FSO), which provides financing on concessional terms to the region's poorest nations.

The 2014 Budget provides \$102.0 million for the third of five installments for the IDB's Ninth General Capital Increase (GCI). In addition to providing resources needed to meet key development challenges in the Western Hemisphere, the capital increase negotiations provided the United States and other shareholders the opportunity to consolidate key institutional reforms and improve the strategic direction of the IDB in assuring sound finances, effective management and governance, safeguards, transparency and accountability, disclosure, and continued focus on core missions including the needs of the poorest populations.

#### Inter-American Investment Corporation

The Inter-American Investment Corporation (IIC), a member of the Inter-American Development Bank Group established in 1984, promotes development of private small- and medium- sized enterprises (SMEs) in Latin America and the Caribbean. It is a legally autonomous entity whose resources and management are separate from those of the Inter-American Development Bank itself. Through direct loans and equity investments in SMEs, as well as through lending to private financial intermediaries, the IIC helps SMEs in the region access the medium- and long-term capital necessary to start up, expand, or modernize operations. In 2012, the IIC approved 62 projects totaling \$301.7 million. Since its inception, the IIC has approved a total of \$4.72 billion in commitments.

#### CONTRIBUTION TO THE ASIAN DEVELOPMENT BANK

*For payment to the Asian Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of increase in capital stock, \$106,586,000, to remain available until expended.*

#### LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

*The United States Governor of the Asian Development Bank may subscribe without fiscal year limitation to the callable capital portion of the*

*United States share of such capital stock in an amount not to exceed \$2,558,048,769.*

#### CONTRIBUTION TO THE ASIAN DEVELOPMENT FUND

*For payment to the Asian Development Bank's Asian Development Fund by the Secretary of the Treasury, \$115,250,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 11–0076–0–1–151                   | 2012 actual                    | 2013 CR | 2014 est. |
|---|--------------------------------|---------|-----------|
| <b>Obligations by program activity:</b>               |                                |         |           |
| 0002 Asian Development Fund .....                     | 100                            | 101     | 115       |
| 0003 Asian Development Bank .....                     | 107                            | 107     | 107       |
| 0900 Total new obligations (object class 33.0) .....  | 207                            | 208     | 222       |
| <b>Budgetary Resources:</b>                           |                                |         |           |
|   | Unobligated balance:           |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 748                            | 748     | 748       |
|   | Budget authority:              |         |           |
|   | Appropriations, discretionary: |         |           |
| 1100   Appropriation - Fund .....                     | 100                            | 101     | 115       |
| 1100   Appropriation - Bank .....                     | 107                            | 107     | 107       |
| 1160   Appropriation, discretionary (total) .....     | 207                            | 208     | 222       |
| 1930 Total budgetary resources available .....        | 955                            | 956     | 970       |
|   | Memorandum (non-add) entries:  |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 748                            | 748     | 748       |
| <b>Change in obligated balance:</b>                   |                                |         |           |
|   | Unpaid obligations:            |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 120                            | 37      | 65        |
| 3010 Obligations incurred, unexpired accounts .....   | 207                            | 208     | 222       |
| 3020 Outlays (gross) .....                            | -290                           | -180    | -287      |
| 3050 Unpaid obligations, end of year .....            | 37                             | 65      |           |
|   | Memorandum (non-add) entries:  |         |           |
| 3100 Obligated balance, start of year .....           | 120                            | 37      | 65        |
| 3200 Obligated balance, end of year .....             | 37                             | 65      |           |
| <b>Budget authority and outlays, net:</b>             |                                |         |           |
|   | Discretionary:                 |         |           |
| 4000 Budget authority, gross .....                    | 207                            | 208     | 222       |
|   | Outlays, gross:                |         |           |
| 4010 Outlays from new discretionary authority .....   | 170                            | 143     | 222       |
| 4011 Outlays from discretionary balances .....        | 120                            | 37      | 65        |
| 4020 Outlays, gross (total) .....                     | 290                            | 180     | 287       |
| 4180 Budget authority, net (total) .....              | 207                            | 208     | 222       |
| 4190 Outlays, net (total) .....                       | 290                            | 180     | 287       |

The Asian Development Bank (AsDB) Group promotes broad-based sustainable economic growth and development, poverty alleviation, and regional cooperation and integration in the Asia-Pacific region. It has two main financing windows: 1) the Asian Development Bank's "hard-loan" window (known as the Ordinary Capital Resources (OCR) window); and 2) the Asian Development Fund's (AsDF) "soft-loan" window, which lends at concessional rates to the region's poorest nations.

#### Asian Development Bank

AsDB OCR operations provide loans, technical assistance, and policy advice to 24 creditworthy developing economies in Asia. Through its operations, which include construction of schools, bridges, health clinics, and roads, the AsDB supports U.S. economic, security, and humanitarian interests by strengthening new sources of global growth, providing opportunities for people to lift themselves out of poverty, and increasing opportunities for U.S. suppliers of goods and services. The AsDB's comparative advantage is in financing infrastructure projects in core sectors such as energy, transport, and water. In 2012, the AsDB made

## CONTRIBUTION TO THE ASIAN DEVELOPMENT BANK—Continued

\$10 billion in commitments for operations in these and other areas. The 2014 Budget includes \$106.6 million for the fourth of five scheduled paid-in capital contributions to the AsDB's fifth general capital increase. This capital increase was necessary to avoid a precipitous decline in lending during the global financial crisis in a region where 1.7 billion people—nearly a quarter of the world's population—still live on less than two dollars per day.

## Asian Development Fund

The AsDF is a key source of concessional financing for development in the 20 poorest countries of the Asia-Pacific region. The AsDF focuses on the construction of critical infrastructure such as roads, water and sanitation, electricity grids, and schools. In 2012, AsDF commitments totaled over \$3.5 billion. The AsDF is also a major U.S. partner in Afghanistan, committing over \$2.8 billion in the country since 2002. The AsDF's work has been recognized by the U.S. national security community as critical to the success of our security and political objectives there. The 2014 Budget includes \$115.3 million for the AsDF. Of this total, \$89.9 million will serve as the first of four contributions under the tenth replenishment of the Asian Development Fund (AsDF11). This amount is consistent with the outcome of the AsDF11 negotiations in early 2012, when the U.S. pledged \$359.6 million over four years (2014–2017), a 22 percent reduction from the amount pledged during the previous AsDF replenishment. The remaining \$25.4 million for AsDF in the 2014 Budget will be used for partial clearance of outstanding U.S. commitments to the AsDF, which currently total over \$326 million. This payment is part of a multi-year plan to address these outstanding U.S. commitments.

## CONTRIBUTION TO THE AFRICAN DEVELOPMENT BANK

*For payment to the African Development Bank by the Secretary of the Treasury for the United States share of the paid-in portion of the increase in capital stock, \$32,417,720, to remain available until expended.*

## LIMITATION ON CALLABLE CAPITAL SUBSCRIPTIONS

*The United States Governor of the African Development Bank may subscribe without fiscal year limitation to the callable capital portion of the United States share of such capital stock in an amount not to exceed \$507,860,808.*

## CONTRIBUTION TO THE AFRICAN DEVELOPMENT FUND

*For payment to the African Development Fund by the Secretary of the Treasury, \$195,000,000, to remain available until expended.*

*For payment to the African Development Fund by the Secretary of the Treasury to satisfy commitments made by the United States to support the Multilateral Debt Relief Initiative, including through generation of early encashment credits, \$30,000,000, to remain available until expended.*

## Program and Financing (in millions of dollars)

| Identification code 11–0082–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Bank .....                                       | 32          | 33      | 32        |
| 0002 Fund .....                                       | 216         | 174     | 195       |
| 0003 MDRI .....                                       | 8           | 7       | 30        |
| 0900 Total new obligations (object class 33.0) .....  | 256         | 214     | 257       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 44          | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation - Bank .....                       | 32          | 33      | 32        |
| 1100 Appropriation - Fund .....                       | 173         | 174     | 195       |
| 1100 Appropriation - MDRI .....                       | 8           | 7       | 30        |
| 1160 Appropriation, discretionary (total) .....       | 213         | 214     | 257       |
| 1930 Total budgetary resources available .....        | 257         | 215     | 258       |

|   |      |      |      |
|---|------|------|------|
| Memorandum (non-add) entries:                         |      |      |      |
| 1941 Unexpired unobligated balance, end of year ..... | 1    | 1    | 1    |
| <b>Change in obligated balance:</b>                   |      |      |      |
| Unpaid obligations:                                   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 15   |      |      |
| 3010 Obligations incurred, unexpired accounts .....   | 256  | 214  | 257  |
| 3020 Outlays (gross) .....                            | -271 | -214 | -257 |
| Memorandum (non-add) entries:                         |      |      |      |
| 3100 Obligated balance, start of year .....           | 15   |      |      |
| <b>Budget authority and outlays, net:</b>             |      |      |      |
| Discretionary:  |      |      |      |
| 4000 Budget authority, gross .....                    | 213  | 214  | 257  |
| Outlays, gross:                                       |      |      |      |
| 4010 Outlays from new discretionary authority .....   | 40   | 214  | 257  |
| 4011 Outlays from discretionary balances .....        | 231  |      |      |
| 4020 Outlays, gross (total) .....                     | 271  | 214  | 257  |
| 4180 Budget authority, net (total) .....              | 213  | 214  | 257  |
| 4190 Outlays, net (total) .....                       | 271  | 214  | 257  |

The African Development Bank Group is composed of 1) the African Development Bank (AfDB), which lends at prevailing rates to middle-income countries and private-sector borrowers in middle- and low-income countries; and 2) the African Development Fund (AfDF), which provides grants and concessional loans to the poorest African countries.

## African Development Bank

In 2012, the AfDB approved new projects, programs, and equity investments amounting to about \$2.8 billion. Since operations began in 1967, the AfDB has financed projects, programs, and equity investments amounting to about \$59 billion. The 2014 Budget includes \$32.4 million for the third of eight payments for the sixth general capital increase of the AfDB (GCI-6). In May 2010, AfDB Governors agreed to a general capital increase to support an increase in the AfDB's sustainable lending capacity from \$1.8 billion per year to \$5 billion per year.

## African Development Fund

The AfDF approved \$2.26 billion for new projects and programs in 2012. Since operations began in 1974, AfDF financing has totaled \$40 billion. In September 2010, the United States and other donor countries reached agreement on the twelfth replenishment of the African Development Fund (AfDF-12), which is providing approximately \$3 billion per year in development financing for low-income countries in Africa. The 2014 Budget provides \$195 million for the third of three installments of the U.S. contribution to AfDF-12, which covers the period from 2012 to 2014.

## Multilateral Debt Relief Initiative

The Multilateral Debt Relief Initiative (MDRI) provides 100 percent cancellation of eligible debt to the International Development Association (IDA) and the African Development Fund (AfDF) for countries that reach completion point under the Heavily Indebted Poor countries (HIPC) initiative. The HIPC initiative entails coordinated action by governments and international financial institutions to reduce the external debt burdens of HIPC countries to sustainable levels. The MDRI goes further by providing irrevocable debt stock reduction in order to free up additional resources for poverty reducing expenditures. Donors have committed to compensate IDA and the AfDF "dollar for dollar" for the roughly \$50 billion in MDRI-related foregone reflows over the 50-year MDRI period (2004 to 2054). The 2014 Budget includes \$30 million to help cover the U.S. share of the cost of MDRI at AfDF under the AfDF-12 replenishment. The 2014 Budget includes revised MDRI appropriations language to



clarify the manner in which this payment will be executed without expanding existing authorities.

#### CONTRIBUTION TO THE EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT

The European Bank for Reconstruction and Development (EBRD) supports market-oriented economic reform and democratic pluralism predominately through private-sector lending and investments. Its original field of operation in the countries of Central and Eastern Europe and the former Soviet Union was expanded in 2012 to aid in the transitions of key countries in the Middle East and North Africa. The United States and other shareholders signed the articles of agreement of the EBRD on May 29, 1990, and the Bank officially began operating on April 15, 1991. In April 1996, shareholders approved a doubling of the EBRD's capital base from EUR 10 billion to EUR 20 billion (approximately \$24 billion), which went into effect in April 1997. In 2012, the United States provided \$1.25 billion in temporary callable capital to support increased resource demands that resulted from the 2008 financial crisis.

#### NORTH AMERICAN DEVELOPMENT BANK

The North American Development Bank (NADBank) provides financing for environmental infrastructure projects along the U.S.-Mexico border region. A portion of its capital also finances North American Free Trade Agreement (NAFTA)-related community adjustments and investment projects in both countries. Under NADBank's charter, the United States and Mexico contributed equally to NADBank's capital, a total contribution of \$450 million in paid-in capital and \$2.6 billion in callable capital.

NADBank finances environmental infrastructure projects that have been certified by the U.S.-Mexico Border Environmental Cooperation Commission (BECC), the sister institution designed to assist border states and local communities in identifying, designing, and coordinating border projects on both sides of the U.S.-Mexico border.

As of December 2012, NADBank had approved \$1,469 million in loans for 78 projects and \$89.78 million in grants for 40 projects. The Bank has also administered \$592.7 million in EPA-funded grants to 100 projects in Mexico and the United States. The total investment value of all the projects to which it provides or administers funding is approximately \$5.45 billion.

#### CONTRIBUTION TO THE ENTERPRISE FOR THE AMERICAS MULTILATERAL INVESTMENT FUND

*For payment to the Enterprise for the Americas Multilateral Investment Fund by the Secretary of the Treasury, \$6,298,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

| Identification code 11–0089–0–1–151                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 25          | 25      | 6         |
| 0900 Total new obligations (object class 33.0) ..... | 25          | 25      | 6         |

|   |     |     |    |
|---|-----|-----|----|
| <b>Budgetary Resources:</b>                           |     |     |    |
| Budget authority:                                     |     |     |    |
| Appropriations, discretionary:                        |     |     |    |
| 1100 Appropriation .....                              | 25  | 25  | 6  |
| 1160 Appropriation, discretionary (total) .....       | 25  | 25  | 6  |
| 1930 Total budgetary resources available .....        | 25  | 25  | 6  |
| <b>Change in obligated balance:</b>                   |     |     |    |
| Unpaid obligations:                                   |     |     |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 45  | 20  | 21 |
| 3010 Obligations incurred, unexpired accounts .....   | 25  | 25  | 6  |
| 3020 Outlays (gross) .....                            | –50 | –24 | –5 |
| 3050 Unpaid obligations, end of year .....            | 20  | 21  | 22 |
| Memorandum (non-add) entries:                         |     |     |    |
| 3100 Obligated balance, start of year .....           | 45  | 20  | 21 |
| 3200 Obligated balance, end of year .....             | 20  | 21  | 22 |
| <b>Budget authority and outlays, net:</b>             |     |     |    |
| Discretionary:  |     |     |    |
| 4000 Budget authority, gross .....                    | 25  | 25  | 6  |
| Outlays, gross:                                       |     |     |    |
| 4010 Outlays from new discretionary authority .....   |     | 4   | 1  |
| 4011 Outlays from discretionary balances .....        | 50  | 20  | 4  |
| 4020 Outlays, gross (total) .....                     | 50  | 24  | 5  |
| 4180 Budget authority, net (total) .....              | 25  | 25  | 6  |
| 4190 Outlays, net (total) .....                       | 50  | 24  | 5  |

The Multilateral Investment Fund (MIF), administered by the Inter-American Development Bank, provides grants and loans to support private-sector development, as well as financial and labor sector reforms, in Latin America and the Caribbean. Special consideration is given to reforms that promote privatization and encourage private foreign direct investment. Grants and loans are used for technical assistance to identify and resolve investment constraints, for investment in human capital, and for business infrastructure and development. In 2012, the MIF approved 85 projects totaling \$104.8 million. Since its inception in 1992, the MIF has approved over 1,600 projects, for which the MIF contribution totaled \$1.9 billion.

The United States made a \$500 million commitment to the MIF in 1992. Negotiations were completed in early 2005 for the first replenishment of the MIF (MIF-II), with a United States commitment of \$150 million to be paid in six equal annual installments. The United States achieved its key objectives in these negotiations: strengthening the commitment to measurable results, increasing efficiency, maintaining a focus on grants, allocating resources to maximize innovation, and reforming Inter-American Development Bank procurement. Treasury requests \$6.3 million in 2014 to clear a portion of U.S. arrears to the MIF.

#### CONTRIBUTION TO THE INTERNATIONAL FUND FOR AGRICULTURAL DEVELOPMENT

*For payment to the International Fund for Agricultural Development by the Secretary of the Treasury, \$30,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

| Identification code 11–1039–0–1–151                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 30          | 30      | 30        |
| 0900 Total new obligations (object class 33.0) ..... | 30          | 30      | 30        |

**CONTRIBUTION TO THE INTERNATIONAL FUND FOR AGRICULTURAL  
DEVELOPMENT—Continued  
Program and Financing—Continued**

| Identification code 11–1039–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 30          | 30      | 30        |
| 1160 Appropriation, discretionary (total) .....       | 30          | 30      | 30        |
| 1930 Total budgetary resources available .....        | 30          | 30      | 30        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 36          | 66      | 51        |
| 3010 Obligations incurred, unexpired accounts .....   | 30          | 30      | 30        |
| 3020 Outlays (gross) .....                            | .....       | –45     | –24       |
| 3050 Unpaid obligations, end of year .....            | 66          | 51      | 57        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 36          | 66      | 51        |
| 3200 Obligated balance, end of year .....             | 66          | 51      | 57        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 30          | 30      | 30        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | .....       | 6       | 6         |
| 4011 Outlays from discretionary balances .....        | .....       | 39      | 18        |
| 4020 Outlays, gross (total) .....                     | .....       | 45      | 24        |
| 4180 Budget authority, net (total) .....              | 30          | 30      | 30        |
| 4190 Outlays, net (total) .....                       | .....       | 45      | 24        |

The International Fund for Agricultural Development (IFAD) was established in 1977 as a multilateral financial institution focused on promoting rural agricultural development and food security in poorer countries. IFAD's specific mandate is to help rural small-scale producers and subsistence farmers increase their productivity and incomes, improve food security, and to integrate them into larger markets.

In December 2011, negotiations were concluded on IFAD's ninth replenishment (IFAD-9). The U.S. pledged a total of \$90 million. This pledge will leverage resources to support a work program of almost \$1 billion per year over the three-year replenishment period of 2013–2015. The 2014 Budget includes \$30 million for the second of three scheduled contributions under IFAD-9.

**INTERNATIONAL AFFAIRS TECHNICAL ASSISTANCE**

*For necessary expenses to carry out the provisions of section 129 of the Foreign Assistance Act of 1961, \$23,500,000, to remain available until September 30, 2016, which shall be available notwithstanding any other provision of law.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 11–1045–0–1–151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Obligations by program activity .....                        | 37          | 27      | 24        |
| 0801 Reimbursable program .....                                   | 13          | 4       | 4         |
| 0900 Total new obligations .....                                  | 50          | 31      | 28        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 45          | 47      | 43        |
| 1011 Unobligated balance transfer from other accts [72–0306] .... | 4           | .....   | .....     |
| 1011 Unobligated balance transfer from other accts [72–1037] .... | 1           | .....   | .....     |

|  |     |       |       |
|--|-----|-------|-------|
| 1021 Recoveries of prior year unpaid obligations .....             | 6   | ..... | ..... |
| 1050 Unobligated balance (total) .....                             | 56  | 47    | 43    |
| Budget authority:  |     |       |       |
| Appropriations, discretionary:                                     |     |       |       |
| 1100 New budget authority (gross), detail .....                    | 25  | 25    | 25    |
| 1100 Appropriations - OCO .....                                    | 2   | 2     | ..... |
| 1121 Appropriations transferred from other accts [72–0306] ....    | 1   | ..... | ..... |
| 1160 Appropriation, discretionary (total) .....                    | 28  | 27    | 25    |
| Spending authority from offsetting collections, discretionary:     |     |       |       |
| 1700 Collected .....   | 14  | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 14  | ..... | ..... |
| 1900 Budget authority (total) .....                                | 42  | 27    | 25    |
| 1930 Total budgetary resources available .....                     | 98  | 74    | 68    |
| Memorandum (non-add) entries:                                      |     |       |       |
| 1940 Unobligated balance expiring .....                            | –1  | ..... | ..... |
| 1941 Unexpired unobligated balance, end of year .....              | 47  | 43    | 40    |
| <b>Change in obligated balance:</b>                                |     |       |       |
| Unpaid obligations:  |     |       |       |
| 3000 Change in obligated balances .....                            | 37  | 24    | 27    |
| 3010 Obligations incurred, unexpired accounts .....                | 50  | 31    | 28    |
| 3011 Obligations incurred, expired accounts .....                  | 10  | ..... | ..... |
| 3020 Outlays (gross) .....   | –51 | –28   | –29   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –6  | ..... | ..... |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –16 | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                         | 24  | 27    | 26    |
| Memorandum (non-add) entries:                                      |     |       |       |
| 3100 Obligated balance, start of year .....                        | 37  | 24    | 27    |
| 3200 Obligated balance, end of year .....                          | 24  | 27    | 26    |
| <b>Budget authority and outlays, net:</b>                          |     |       |       |
| Discretionary:   |     |       |       |
| 4000 Budget authority, gross .....                                 | 42  | 27    | 25    |
| Outlays, gross:  |     |       |       |
| 4010 Outlays (gross), detail .....                                 | 5   | 3     | 2     |
| 4011 Outlays from discretionary balances .....                     | 46  | 25    | 27    |
| 4020 Outlays, gross (total) .....                                  | 51  | 28    | 29    |
| Offsets against gross budget authority and outlays:                |     |       |       |
| Offsetting collections (collected) from:                           |     |       |       |
| 4030 Federal sources .....   | –14 | ..... | ..... |
| 4180 Budget authority, net (total) .....                           | 28  | 27    | 25    |
| 4190 Outlays, net (total) .....                                    | 37  | 28    | 29    |

Pursuant to OTA's authorizing statute, OTA provides technical assistance to facilitate the implementation of policy, management, and administrative reforms in the areas of budget, revenue, government debt, financial institutions and financial enforcement to developing and transition countries. This assistance supports U.S. foreign policy and national security objectives.

The 2014 Budget includes \$23.5 million to fund full-time resident technical assistance advisors, intermittent advisors, and program-related administrative costs. The appropriation will support technical assistance programs in Asia, the Middle East, Africa, Latin America, and the Caribbean. It will enable the provision of technical assistance to developing and transition countries to strengthen the capacity of finance ministries, central banks, and other government institutions to manage public finances and oversee the financial sector. Technical assistance projects support efficient revenue collection, well-planned and executed budgets, judicious debt management, sound banking systems, and strong controls to combat corruption and economic crimes, including terrorist financing. The appropriation will also support Treasury's work to strengthen the financial underpinnings for infrastructure development. OTA will continue to coordinate its activities with international financial institutions, USAID, the Department of State, and other relevant U.S. Government agencies when determining where its technical assistance program can have the greatest positive impact.

## Object Classification (in millions of dollars)

| Identification code 11–1045–0–1–151                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 3           | 2       | 2         |
| 11.3 Other than full-time permanent .....                | 13          | 10      | 9         |
| 11.5 Other personnel compensation .....                  | 1           |         |           |
| 11.9 Total personnel compensation .....                  | 17          | 12      | 11        |
| 12.1 Civilian personnel benefits .....                   | 3           | 1       | 1         |
| 21.0 Travel and transportation of persons .....          | 3           | 4       | 3         |
| 25.2 Other services from non-Federal sources .....       | 12          | 10      | 9         |
| 25.3 Other goods and services from Federal sources ..... | 2           |         |           |
| 99.0 Direct obligations .....                            | 37          | 27      | 24        |
| 99.0 Reimbursable obligations .....                      | 13          | 4       | 4         |
| 99.9 Total new obligations .....                         | 50          | 31      | 28        |

## Employment Summary

| Identification code 11–1045–0–1–151                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 21          | 20      | 20        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           |         | 1         |

## GLOBAL FUND TO FIGHT AIDS, TUBERCULOSIS AND MALARIA

## Program and Financing (in millions of dollars)

| Identification code 72–1028–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 297         |         |           |
| 3020 Outlays (gross) .....                            | –297        |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 297         |         |           |
| Budget authority and outlays, net:                    |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 297         |         |           |
| 4190 Outlays, net (total) .....                       | 297         |         |           |

The Global Fund to Fight AIDS, Tuberculosis, and Malaria (Global Fund) account exists to obligate and disburse U.S. contributions to the Global Fund which come from funds appropriated to the Department of Health and Human Services prior to 2012. Starting 2012, all appropriations for the Global Fund have been made directly to the Department of State's Global Health Programs account.

Founded in January 2002, and operating as an independent, non-profit foundation under Swiss law, the Global Fund functions as a financing instrument—not as an implementing entity—to attract and disburse resources to prevent and treat HIV/AIDS, tuberculosis, and malaria. The U.S. Government, as a founding member of the Global Fund and its first and largest donor, continues to play a leadership role in ensuring the success of this important international effort.

The Fund reflects a unique model that relies on partnerships among governments; civil society, including community and faith-based organizations; international organizations; bilateral and multilateral donors; the private sector; and affected communities in the fight against these three diseases. This model is intended to support improved health outcomes, increased country ownership, sustainability, and accountability by financing country-driven responses, supporting programs that evolve from national plans and priorities, and disbursing funds based on performance. The U.S. Government is committed to the ongoing effort to in-

crease the efficiency, effectiveness, accountability, and transparency of the Global Fund's management of its resources.

The 2014 request includes \$1.65 billion for the Global Fund from the Global Health Programs account.

## FUNDS APPROPRIATED TO THE PRESIDENT

## INTERNATIONAL ORGANIZATIONS AND PROGRAMS

*For necessary expenses to carry out the provisions of section 301 of the Foreign Assistance Act of 1961, and of section 2 of the United Nations Environment Program Participation Act of 1973, \$320,645,000: Provided, That section 307(a) of the Foreign Assistance Act of 1961 shall not apply to contributions to the United Nations Democracy Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 72–1005–0–1–151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:  |             |         |           |
| 0102 International Civil Aviation Organization .....                                    | 1           | 1       | 1         |
| 0103 International Conservation Programs .....  | 8           | 8       | 7         |
| 0104 International Contributions for Scientific, Educational .....                      |             |         | 1         |
| 0105 International Panel on Climate Change/UN Framework .....                           | 10          | 10      | 13        |
| 0106 Montreal Protocol Multilateral Fund .....  | 27          | 27      | 26        |
| 0108 UN Children's Fund .....   | 132         | 132     | 125       |
| 0109 UN Development Fund for Women .....  | 8           | 8       | 7         |
| 0110 UN Development Program .....   | 82          | 82      | 67        |
| 0111 UN Environment Program .....   | 8           | 8       | 8         |
| 0113 UN Voluntary Fund for the Technical Cooperation in the Field of Human Rights ..... | 1           | 1       | 1         |
| 0114 UN Voluntary Fund for Victims of Torture .....                                     | 6           | 6       | 3         |
| 0115 World Meteorological Organization .....  | 2           | 2       | 1         |
| 0116 World Trade Organization .....   | 1           | 1       | 1         |
| 0117 OAS Development Assistance Programs .....  | 4           | 4       | 3         |
| 0118 OAS Fund for Strengthening Democracy .....   | 5           | 5       | 3         |
| 0119 UN Office for the Coordinator for Humanitarian Affairs .....                       | 3           | 3       | 3         |
| 0122 UN Democracy Fund .....  | 5           | 5       | 4         |
| 0123 International Chemicals and Toxins Programs .....                                  | 4           | 4       | 4         |
| 0124 UNFPA .....  | 30          | 35      | 37        |
| 0125 UN-Habitat UN Human Settlements Program .....                                      | 2           | 2       | 1         |
| 0126 UN Capital Development Fund .....  | 1           | 1       | 1         |
| 0127 International Development Law Organization .....                                   | 1           | 1       | 1         |
| 0129 UN High Commissioner for Human Rights .....  | 5           | 5       | 2         |
| 0130 Community of Democracies .....   | 1           | 1       |           |
| 0131 Multilateral Action Initiatives .....  |             |         | 1         |
| 0900 Total new obligations (object class 41.0) .....                                    | 347         | 352     | 321       |

## Budgetary Resources:

|   |     |     |     |
|---|-----|-----|-----|
| Unobligated balance:  |     |     |     |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 3   |     |     |
| 1050 Unobligated balance (total) .....  | 3   |     |     |
| Budget authority:   |     |     |     |
| Appropriations, discretionary:  |     |     |     |
| 1100 Appropriation .....  | 349 | 352 | 321 |
| 1120 Appropriations transferred to other accts [19–1031] .....                  | –5  |     |     |
| 1160 Appropriation, discretionary (total) .....                                 | 344 | 352 | 321 |
| 1930 Total budgetary resources available .....                                  | 347 | 352 | 321 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 154  | 170  | 263  |
| 3010 Obligations incurred, unexpired accounts .....             | 347  | 352  | 321  |
| 3011 Obligations incurred, expired accounts .....               | 1    |      |      |
| 3020 Outlays (gross) .....                                      | –329 | –259 | –375 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –3   |      |      |
| 3050 Unpaid obligations, end of year .....                      | 170  | 263  | 209  |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 154  | 170  | 263  |
| 3200 Obligated balance, end of year .....                       | 170  | 263  | 209  |

FUNDS APPROPRIATED TO THE PRESIDENT—Continued  
Program and Financing—Continued

| Identification code 72–1005–0–1–151                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 344         | 352     | 321       |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 179         | 194     | 177       |
| 4011 Outlays from discretionary balances .....      | 150         | 65      | 198       |
| 4020 Outlays, gross (total) .....                   | 329         | 259     | 375       |
| 4180 Budget authority, net (total) .....            | 344         | 352     | 321       |
| 4190 Outlays, net (total) .....                     | 329         | 259     | 375       |

In addition to its assessed payments, the United States contributes to voluntary funds of many UN-affiliated and other international organizations and programs involved in a wide range of sustainable development, humanitarian, scientific, environmental and security activities. The 2014 request includes funding that reflects the Administration's continued support for the UN Funds and Programs, including the UN Children's Fund (UNICEF), the UN Development Program (UNDP), and the United Nations Population Fund (UNFPA), as well as international climate change activities and the recently established UN Women program.

## DEBT RESTRUCTURING

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–0091–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0101 HIPC Bilateral Debt Reduction .....              | 33          | .....   | .....     |
| 0103 Tropical Forest Conservation Initiative .....    | .....       | 42      | .....     |
| 0900 Total new obligations (object class 41.0) .....  | 33          | 42      | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 51          | 30      | .....     |
| Budget authority:                                     |             |         |           |
| 1100 Appropriations, discretionary:                   |             |         |           |
| Appropriation .....                                   | 12          | 12      | .....     |
| 1160 Appropriation, discretionary (total) .....       | 12          | 12      | .....     |
| 1930 Total budgetary resources available .....        | 63          | 42      | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 30          | .....   | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 157         | 116     | 42        |
| 3010 Obligations incurred, unexpired accounts .....   | 33          | 42      | .....     |
| 3020 Outlays (gross) .....                            | –74         | –116    | –12       |
| 3050 Unpaid obligations, end of year .....            | 116         | 42      | 30        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 157         | 116     | 42        |
| 3200 Obligated balance, end of year .....             | 116         | 42      | 30        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 12          | 12      | .....     |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 74          | 116     | 12        |
| 4180 Budget authority, net (total) .....              | 12          | 12      | .....     |
| 4190 Outlays, net (total) .....                       | 74          | 116     | 12        |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 11–0091–0–1–151            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct loan subsidy outlays:                   |             |         |           |
| 134002 U.S. Agency for Int'l Development ..... | 20          | 25      | .....     |
| 134003 Department of Agriculture .....         | 21          | .....   | .....     |
| 134999 Total subsidy outlays .....             | 41          | 25      | .....     |

Funds for debt restructuring are periodically needed to help countries remove the burden of unsustainable debts, thereby establishing a sounder footing for economic growth. Debt relief and restructuring can be fundamental to helping countries stabilize their economies, restart economic growth, and reduce poverty and instability. Through programs such as the Heavily Indebted Poor Countries (HIPC) Initiative, the Multilateral Debt Relief Initiative (MDRI), as well as through the Paris Club, countries that have demonstrated a commitment to economic reforms and poverty reduction can benefit from debt restructurings. These programs reschedule and/or reduce the debt repayments to multilateral institutions and/or the U.S. Government, allowing beneficiary countries to increase poverty reduction expenditures in areas such as health, education, and rural development. Debt relief can also be used to promote other USG priorities. Under the Tropical Forest Conservation Act (TFCA), for example, the United States reduces some of the official debt owed to the U.S. Government by a developing country with tropical forests and "redirects" those debt payments toward tropical forest conservation in the beneficiary country. No funding is requested for the Debt Restructuring account in 2014, though the request for the Economic Support Fund includes authorization to transfer up to \$300 million to cover the cost of HIPC debt relief for Sudan, should the Secretary of State determine that Sudan has made sufficient progress along the various fronts the U.S. has identified as pre-conditions for any U.S. support, including implementing the agreement reached by the Governments of Sudan and South Sudan under the Comprehensive Peace Agreement, and other legislative requirements related to HIPC debt relief, including determinations on human rights and state sponsorship of terrorism.

## AGENCY FOR INTERNATIONAL DEVELOPMENT

## Federal Funds

## DEVELOPMENT ASSISTANCE

For necessary expenses to carry out the provisions of sections 103, 105, 106, 214, and sections 251 through 255, and chapter 10 of part I of the Foreign Assistance Act of 1961, \$2,837,812,000, to remain available until September 30, 2015: Provided, That relevant bureaus and offices of the United States Agency for International Development (USAID) that support cross-cutting development programs shall coordinate such programs on a regular basis: Provided further, That in addition to funds otherwise available for such purposes, up to \$15,000,000 of the funds appropriated under this heading that are used for grants focused on science, technology, or innovation and designed to improve development outcomes in any sector may be made available pursuant to chapter 1 of part I of the Foreign Assistance Act of 1961: Provided further, That funds appropriated by title III of this Act for basic education may be made available for a contribution to multilateral partnerships that support education.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 72–1021–0–1–151                            |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |  |             |         |           |
| 0001   | Direct program activity .....                                  | 2,651       | 2,650   | 2,650     |
| <b>Budgetary Resources:</b>                                    |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....               | 1,032       | 882     | 752       |
| 1010   | Unobligated balance transfer to other accts [72–1264] .....    | –6          | .....   | .....     |
| 1010   | Unobligated balance transfer to other accts [11–1475] .....    | –25         | –15     | .....     |
| 1010   | Unobligated balance transfer to other accts [14–1611] .....    | –5          | .....   | .....     |
| 1010   | Unobligated balance transfer to other accts [12–2900] .....    | –1          | .....   | .....     |
| 1010   | Unobligated balance transfer to other accts [71–4184] .....    | –1          | .....   | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....              | 34          | .....   | .....     |
| 1050   | Unobligated balance (total) .....                              | 1,028       | 867     | 752       |
| Budget authority:  |  |             |         |           |
| Appropriations, discretionary:                                 |  |             |         |           |
| 1100   | Appropriation .....  | 2,520       | 2,535   | 2,838     |
| 1120   | Appropriations transferred to other accts [72–1264] .....      | –4          | .....   | –40       |
| 1120   | Appropriations transferred to other accts [14–0102] .....      | –1          | .....   | .....     |
| 1120   | Appropriations transferred to other accts [14–1611] .....      | –9          | .....   | .....     |
| 1160   | Appropriation, discretionary (total) .....                     | 2,506       | 2,535   | 2,798     |
| Spending authority from offsetting collections, discretionary: |  |             |         |           |
| 1700   | Collected .....  | 2           | .....   | .....     |
| 1701   | Change in uncollected payments, Federal sources .....          | –2          | .....   | .....     |
| 1900   | Budget authority (total) .....                                 | 2,506       | 2,535   | 2,798     |
| 1930   | Total budgetary resources available .....                      | 3,534       | 3,402   | 3,550     |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 1940   | Unobligated balance expiring .....                             | –1          | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....               | 882         | 752     | 900       |
| <b>Change in obligated balance:</b>                            |  |             |         |           |
| Unpaid obligations:  |  |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....               | 4,122       | 5,029   | 5,121     |
| 3010   | Obligations incurred, unexpired accounts .....                 | 2,651       | 2,650   | 2,650     |
| 3011   | Obligations incurred, expired accounts .....                   | 3           | .....   | .....     |
| 3020   | Outlays (gross) .....  | –1,698      | –2,558  | –2,799    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....   | –34         | .....   | .....     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....     | –15         | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                          | 5,029       | 5,121   | 4,972     |
| Uncollected payments:  |  |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –2          | .....   | .....     |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....      | 2           | .....   | .....     |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 3100   | Obligated balance, start of year .....                         | 4,120       | 5,029   | 5,121     |
| 3200   | Obligated balance, end of year .....                           | 5,029       | 5,121   | 4,972     |
| <b>Budget authority and outlays, net:</b>                      |  |             |         |           |
| Discretionary:   |  |             |         |           |
| 4000   | Budget authority, gross .....                                  | 2,506       | 2,535   | 2,798     |
| Outlays, gross:  |  |             |         |           |
| 4010   | Outlays from new discretionary authority .....                 | 3           | 254     | 280       |
| 4011   | Outlays from discretionary balances .....                      | 1,695       | 2,304   | 2,519     |
| 4020   | Outlays, gross (total) .....                                   | 1,698       | 2,558   | 2,799     |
| Offsets against gross budget authority and outlays:            |  |             |         |           |
| Offsetting collections (collected) from:                       |  |             |         |           |
| 4030   | Federal sources .....  | –7          | .....   | .....     |
| Additional offsets against gross budget authority only:        |  |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....      | 2           | .....   | .....     |
| 4052   | Offsetting collections credited to expired accounts .....      | 5           | .....   | .....     |
| 4060   | Additional offsets against budget authority only (total) ..... | 7           | .....   | .....     |
| 4070   | Budget authority, net (discretionary) .....                    | 2,506       | 2,535   | 2,798     |
| 4080   | Outlays, net (discretionary) .....                             | 1,691       | 2,558   | 2,799     |
| 4180   | Budget authority, net (total) .....                            | 2,506       | 2,535   | 2,798     |
| 4190   | Outlays, net (total) .....                                     | 1,691       | 2,558   | 2,799     |

**Development Assistance Programs.**—The U.S. Agency for International Development (USAID) uses Development Assistance funds to promote transformational development in developing countries working in partnership with foreign governments, local private sector and non-governmental organizations, and through public-private partnerships. These programs enable our host government partners to implement the often difficult political, economic and other systemic changes that must occur to achieve sustainable development, helping them become more self-reliant by sustaining economic and social progress.

**Promoting economic growth.**—Funding supports trade and investment programs to increase the capacity of developing countries to participate effectively in the global trading system, comply with trade agreements, improve business environments, and increase productivity. Development Assistance programs also support economic reforms, help create new job opportunities, expand access to markets, improve the knowledge and skills of entrepreneurs and workers, and support robust agricultural and natural resource management programs.

**Feed the Future and Global Climate Change.** Development Assistance provides the majority of the funding for two critical Presidential initiatives: Feed the Future (FTF) and Global Climate Change (GCC). Nearly 870 million people in developing countries suffer from chronic hunger and more than 3.5 million children die directly or indirectly from undernutrition each year. FTF aims to raise incomes of the poor, increase the availability of food, and reduce undernutrition, including by supporting the President's G8 commitment to the "New Alliance for Food Security and Nutrition", and reduce vulnerability to food insecurity, including through robust resilience efforts to enable countries in the Sahel and Horn of Africa to adapt to and help avoid recurrent food crises. The GCC initiative provides strategic investments to help vulnerable populations adapt to the impacts of climate change and reduce net greenhouse gas emissions. Global climate change threatens the livelihoods of millions in developing countries, especially the poorest.

**Governing justly and democratically.**—Funding supports evidence-based programming in countries to strengthen rule of law and respect for human rights, encourage open and competitive political processes, promote the development of a politically active civil society, and encourage more inclusive, transparent, and accountable government institutions. Funds also support a rigorous evaluation and thought leadership agenda.

**Investing in people.**—Funding helps to develop human capital through programs such as improved and expanded access to basic education, especially for girls and women, and higher education and training to expand the skilled human capital base that is needed for development.

**Peace and security.**—Funding for conflict mitigation and reconciliation activities addresses the unique needs of fragile or crisis prone countries, helps them establish a foundation for longer-term development, by promoting reconciliation, supporting peace processes, and providing support for addressing the root causes of violence through peace building programs.

**USAID Forward Initiatives.**—Funding will support initiatives on innovation, science and technology, and evaluation, changing the way USAID and other global development partners develop and bring innovations to scale, use scientific advancements, and evaluate development programs. The Development Innovation Ventures (DIV) program invests resources in testing and scaling-up innovative and high-return development projects. Science and technology funding supports partnerships with universities and scientists, and focuses on specific Grand Challenges for Development to bring the power of science to bear on major development problems. Evaluation funds support a rebuilding of USAID's capacity for performance monitoring and rigorous evaluation to help improve the effectiveness of our assistance.

**Food Aid Reform:** The FY 2014 Food Aid Reform will ensure that the U.S. Government can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need. It includes a shift of funding previously requested in P.L. 480 Title II to three other assistance accounts: International Disaster Assistance (IDA) for emergency food response; Development Assistance (DA)

## DEVELOPMENT ASSISTANCE—Continued

for the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. The CDRF will be composed of \$330 million, replacing Title II non-emergency resources, including \$80 million in DA from the Bureau for Food Security resources and \$250 million in additional DA, to be implemented by partners that receive Title II funding. These jointly-funded CDRF programs will be managed by USAID's Office of Food for Peace and are a critical component of food security, strengthening the ability to address chronic poverty, build resilience, and help prevent food crises. The goal is to make food aid more timely and cost-effective and to improve program efficiencies and performance by shifting resources to programs that will allow the use of the right tool at the right time for responding to emergencies and chronic food insecurity. The range of tools and programs include interventions such as local and regional purchase, purchase of U.S. agricultural commodities and products, cash vouchers and transfers, and cash for work programs. Provided that the proposed food aid reforms are enacted and all the funding previously requested in P.L. 480 Title II is appropriated as described above, at least fifty-five percent of the requested (and appropriated) IDA funding of \$1,416 million for emergency food assistance programs administered by USAID's Office of Food for Peace will be used for the purchase and transport of agricultural commodities produced in the United States. The reform will facilitate robust emergency and development programming. (The Budget also shifts \$25 million of the efficiency savings to the Department of Transportation's Maritime Administration for additional targeted operating subsidies for militarily-useful vessels and incentives to facilitate the retention of mariners.)

## Object Classification (in millions of dollars)

| Identification code 72–1021–0–1–151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 5           | 5       | 5         |
| 11.3 Other than full-time permanent .....                       | 9           | 9       | 9         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 15          | 15      | 15        |
| 12.1 Civilian personnel benefits .....                          | 4           | 4       | 4         |
| 21.0 Travel and transportation of persons .....                 | 5           | 5       | 5         |
| 22.0 Transportation of things .....                             | 6           | 6       | 6         |
| 23.1 Rental payments to GSA .....                               | 3           | 3       | 3         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 115         | 115     | 115       |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 2           | 2       | 2         |
| 25.5 Research and development contracts .....                   | 6           | 6       | 6         |
| 41.0 Grants, subsidies, and contributions .....                 | 2,491       | 2,490   | 2,490     |
| 99.9 Total new obligations .....                                | 2,651       | 2,650   | 2,650     |

## Employment Summary

| Identification code 72–1021–0–1–151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 51          | 51      | 51        |

## CHILD SURVIVAL AND HEALTH PROGRAMS

## Program and Financing (in millions of dollars)

| Identification code 72–1095–0–1–151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 16          | 10      | 4         |
| 0900 Total new obligations (object class 41.0) .....              | 16          | 10      | 4         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 23          | 14      | 4         |
| 1021 Recoveries of prior year unpaid obligations .....            | 7           |         |           |
| 1050 Unobligated balance (total) .....                            | 30          | 14      | 4         |
| 1930 Total budgetary resources available .....                    | 30          | 14      | 4         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 14          | 4       |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 82          | 39      | 18        |
| 3010 Obligations incurred, unexpired accounts .....               | 16          | 10      | 4         |
| 3020 Outlays (gross) .....  | –45         | –31     | –11       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –7          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –7          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 39          | 18      | 11        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1          | –1      | –1        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –1          | –1      | –1        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 81          | 38      | 17        |
| 3200 Obligated balance, end of year .....                         | 38          | 17      | 10        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 45          | 31      | 11        |
| Offsets against gross budget authority and outlays:               |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4030 Federal sources .....  | –4          |         |           |
| Additional offsets against gross budget authority only:           |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....    | 4           |         |           |
| 4080 Outlays, net (discretionary) .....                           | 41          | 31      | 11        |
| 4190 Outlays, net (total) .....                                   | 41          | 31      | 11        |

Prior to 2008, funds were appropriated to the Child Survival and Health Programs account to support activities that address family planning/reproductive health; child survival and maternal health, including activities directed at vulnerable children and the primary causes of morbidity and mortality, polio, micronutrients and iodine deficiency; preventing and treating infectious diseases such as malaria and tuberculosis; and reducing HIV transmission and the impact of the HIV/AIDS pandemic in developing countries. Funding for HIV/AIDS was appropriated in the Global HIV/AIDS Initiative account for this purpose through 2007. Beginning in 2008, funds were appropriated in the Global Health and Child Survival (now Global Health Programs) account, and will continue to be requested in that account.

## HIV/AIDS WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

| Identification code 72–1033–0–1–151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0801 Reimbursable program .....                       | 402         | 450     | 450       |
| 0900 Total new obligations (object class 41.0) .....  | 402         | 450     | 450       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 334         | 414     | 379       |

|  |   |      |      |
|--|---|------|------|
| Budget authority:  |   |      |      |
| Spending authority from offsetting collections, discretionary: |   |      |      |
| 1700   | Collected .....   | 479  | 415  |
| 1701   | Change in uncollected payments, Federal sources .....         | 3    |      |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 482  | 415  |
| 1930   | Total budgetary resources available .....                     | 816  | 829  |
| Memorandum (non-add) entries:                                  |   |      |      |
| 1941   | Unexpired unobligated balance, end of year .....              | 414  | 379  |
| <b>Change in obligated balance:</b>                            |   |      |      |
| Unpaid obligations:  |   |      |      |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 360  | 291  |
| 3010   | Obligations incurred, unexpired accounts .....                | 402  | 450  |
| 3020   | Outlays (gross) .....   | -471 | -475 |
| 3050   | Unpaid obligations, end of year .....                         | 291  | 266  |
| Uncollected payments:  |   |      |      |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -10  | -13  |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | -3   |      |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -13  | -13  |
| Memorandum (non-add) entries:                                  |   |      |      |
| 3100   | Obligated balance, start of year .....                        | 350  | 278  |
| 3200   | Obligated balance, end of year .....                          | 278  | 253  |
| <b>Budget authority and outlays, net:</b>                      |   |      |      |
| Discretionary:   |   |      |      |
| 4000   | Budget authority, gross .....                                 | 482  | 415  |
| Outlays, gross:  |   |      |      |
| 4010   | Outlays from new discretionary authority .....                |      | 270  |
| 4011   | Outlays from discretionary balances .....                     | 471  | 205  |
| 4020   | Outlays, gross (total) .....                                  | 471  | 475  |
| Offsets against gross budget authority and outlays:            |   |      |      |
| Offsetting collections (collected) from:                       |   |      |      |
| 4030   | Federal sources .....   | -479 | -415 |
| Additional offsets against gross budget authority only:        |   |      |      |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | -3   |      |
| 4080   | Outlays, net (discretionary) .....                            | -8   | 60   |
| 4190   | Outlays, net (total) .....                                    | -8   | 60   |

The HIV/AIDS Working Capital Fund was established to assist in providing a safe, secure, reliable, and sustainable supply chain of pharmaceuticals and other products needed to provide care to and treatment for persons with HIV/AIDS and related infections. These include anti-retroviral drugs; other pharmaceuticals and medical items; laboratory and other supplies for performing tests; other medical supplies needed for the operation of HIV/AIDS treatment and care centers, including products needed in programs for the prevention of mother-to-child transmission; pharmaceuticals and health commodities needed for the provision of palliative care; and laboratory and clinical equipment, equipment needed for the transportation and care of HIV/AIDS supplies, and other equipment and technical assistance needed to provide prevention, care and treatment of HIV/AIDS described above.

**Object Classification** (in millions of dollars)

|                                     |             |         |           |
|-------------------------------------|-------------|---------|-----------|
| Identification code 72-1033-0-1-151 | 2012 actual | 2013 CR | 2014 est. |
| 99.0 Reimbursable obligations ..... | 402         | 450     | 450       |

**DEVELOPMENT FUND FOR AFRICA****Program and Financing** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 72-1014-0-1-151                    | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                |             |         |           |
| 0801 Reimbursable program activity .....               | 5           | 6       | 6         |
| 0900 Total new obligations (object class 41.0) .....   | 5           | 6       | 6         |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 11          | 12      | 6         |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           |         |           |

|  |    |     |    |
|--|----|-----|----|
| 1050 Unobligated balance (total) .....                             | 12 | 12  | 6  |
| Budget authority:  |    |     |    |
| Spending authority from offsetting collections, discretionary:     |    |     |    |
| 1700 Collected .....   | 5  |     |    |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 5  |     |    |
| 1900 Budget authority (total) .....                                | 5  |     |    |
| 1930 Total budgetary resources available .....                     | 17 | 12  | 6  |
| Memorandum (non-add) entries:                                      |    |     |    |
| 1941 Unexpired unobligated balance, end of year .....              | 12 | 6   |    |
| <b>Change in obligated balance:</b>                                |    |     |    |
| Unpaid obligations:  |    |     |    |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 5  | 9   | 3  |
| 3010 Obligations incurred, unexpired accounts .....                | 5  | 6   | 6  |
| 3020 Outlays (gross) .....   |    | -12 | -9 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -1 |     |    |
| 3050 Unpaid obligations, end of year .....                         | 9  | 3   |    |
| Memorandum (non-add) entries:                                      |    |     |    |
| 3100 Obligated balance, start of year .....                        | 5  | 9   | 3  |
| 3200 Obligated balance, end of year .....                          | 9  | 3   |    |
| <b>Budget authority and outlays, net:</b>                          |    |     |    |
| Discretionary:   |    |     |    |
| 4000 Budget authority, gross .....                                 | 5  |     |    |
| Outlays, gross:  |    |     |    |
| 4011 Outlays from discretionary balances .....                     |    | 12  | 9  |
| Offsets against gross budget authority and outlays:                |    |     |    |
| Offsetting collections (collected) from:                           |    |     |    |
| 4030 Federal sources .....   | -5 |     |    |
| 4190 Outlays, net (total) .....                                    | -5 | 12  | 9  |

For 2014, assistance to Africa is requested in other assistance accounts.

**Object Classification** (in millions of dollars)

|                                     |             |         |           |
|-------------------------------------|-------------|---------|-----------|
| Identification code 72-1014-0-1-151 | 2012 actual | 2013 CR | 2014 est. |
| 99.0 Reimbursable obligations ..... | 5           | 6       | 6         |

**ASSISTANCE FOR EUROPE, EURASIA AND CENTRAL ASIA**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 72-0306-0-1-151                              | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Direct program activity .....                               | 565         | 214     | 47        |
| 0801 Reimbursable program activity .....                         | 12          |         |           |
| 0900 Total new obligations .....                                 | 577         | 214     | 47        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 304         | 261     | 678       |
| 1010 Unobligated balance transfer to other accts [13-0120] ..... | -3          |         |           |
| 1010 Unobligated balance transfer to other accts [89-0319] ..... | -4          |         |           |
| 1010 Unobligated balance transfer to other accts [14-0804] ..... | -1          |         |           |
| 1010 Unobligated balance transfer to other accts [11-1001] ..... | -2          |         |           |
| 1010 Unobligated balance transfer to other accts [19-1022] ..... | -5          |         |           |
| 1010 Unobligated balance transfer to other accts [11-1045] ..... | -4          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....           | 6           |         |           |
| 1050 Unobligated balance (total) .....                           | 291         | 261     | 678       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 627         | 631     |           |
| 1120 Appropriations transferred to other accts [89-0319] .....   | -4          |         |           |
| 1120 Appropriations transferred to other accts [19-1022] .....   | -74         |         |           |
| 1120 Appropriations transferred to other accts [11-1045] .....   | -1          |         |           |
| 1120 Appropriations transferred to other accts [13-1250] .....   | -2          |         |           |
| 1120 Appropriations transferred to other accts [72-1264] .....   | -1          |         |           |
| 1120 Appropriations transferred to other accts [12-2900] .....   | -9          |         |           |
| 1160 Appropriation, discretionary (total) .....                  | 536         | 631     |           |

ASSISTANCE FOR EUROPE, EURASIA AND CENTRAL ASIA—Continued  
Program and Financing—Continued

| Identification code 72-0306-0-1-151  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1700 Spending authority from offsetting collections, discretionary:<br>Collected ..... | 12          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                     | 12          |         |           |
| 1900 Budget authority (total) .....  | 548         | 631     |           |
| 1930 Total budgetary resources available .....   | 839         | 892     | 678       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....  | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                  | 261         | 678     | 631       |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                  | 934         | 913     | 545       |
| 3010 Obligations incurred, unexpired accounts .....                                    | 577         | 214     | 47        |
| 3020 Outlays (gross) .....   | -591        | -582    | -556      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                      | -6          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....                        | -1          |         |           |
| 3050 Unpaid obligations, end of year .....   | 913         | 545     | 36        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 934         | 913     | 545       |
| 3200 Obligated balance, end of year .....  | 913         | 545     | 36        |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   | 548         | 631     |           |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                                    | 24          | 32      |           |
| 4011 Outlays from discretionary balances .....   | 567         | 550     | 556       |
| 4020 Outlays, gross (total) .....  | 591         | 582     | 556       |
| Offsets against gross budget authority and outlays:                                    |             |         |           |
| Offsetting collections (collected) from:   |             |         |           |
| 4030 Federal sources .....   | -12         |         |           |
| 4180 Budget authority, net (total) .....   | 536         | 631     |           |
| 4190 Outlays, net (total) .....  | 579         | 582     | 556       |

The purpose of the Assistance for Europe, Eurasia and Central Asia (AEECA) account was to support programs to foster the democratic and economic transitions of the countries of South-eastern Europe and the independent states that emerged from the dissolution of the Soviet Union as well as related efforts to address social sector reform and combat transnational threats in these countries. In order to support the highest priorities globally in a constrained budget environment, and in recognition of the achievement of a number of assistance goals in this region over time, the Administration requested the normalization of foreign assistance resources for the countries of Europe, Eurasia, and Central Asia beginning with the 2013 Budget. Appropriations for the programs formerly funded through AEECA are now requested in the Economic Support Fund, International Narcotics Control and Law Enforcement, and Global Health Programs accounts.

## Object Classification (in millions of dollars)

| Identification code 72-0306-0-1-151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.5 Personnel compensation: Other personnel compensation ..... | 14          |         |           |
| 12.1 Civilian personnel benefits .....                          | 3           |         |           |
| 21.0 Travel and transportation of persons .....                 | 3           | 1       | 1         |
| 22.0 Transportation of things .....                             | 1           |         |           |
| 23.2 Rental payments to others .....                            | 1           |         |           |
| 25.1 Advisory and assistance services .....                     | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 8           | 2       |           |
| 41.0 Grants, subsidies, and contributions .....                 | 532         | 209     | 44        |
| 99.0 Direct obligations .....                                   | 565         | 214     | 47        |
| 99.0 Reimbursable obligations .....                             | 12          |         |           |
| 99.9 Total new obligations .....                                | 577         | 214     | 47        |

ASSISTANCE FOR EASTERN EUROPE AND THE BALTIC STATES  
Program and Financing (in millions of dollars)

| Identification code 72-1010-0-1-151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program activity .....  | 6           | 1       | 1         |
| 0900 Total new obligations (object class 41.0) .....                            | 6           | 1       | 1         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 2           | 2       | 1         |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 5           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 1           |         |           |
| 1050 Unobligated balance (total) .....  | 8           | 2       | 1         |
| 1930 Total budgetary resources available .....                                  | 8           | 2       | 1         |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 2           | 1       |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 57          | 25      | 9         |
| 3010 Obligations incurred, unexpired accounts .....                             | 6           | 1       | 1         |
| 3020 Outlays (gross) .....  | -30         | -17     | -8        |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -7          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 25          | 9       | 2         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 57          | 25      | 9         |
| 3200 Obligated balance, end of year .....                                       | 25          | 9       | 2         |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                                  | 30          | 17      | 8         |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | -1          |         |           |
| Additional offsets against gross budget authority only:                         |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....                  | 1           |         |           |
| 4080 Outlays, net (discretionary) .....   | 29          | 17      | 8         |
| 4190 Outlays, net (total) .....   | 29          | 17      | 8         |

This account provided funds for assistance programs that fostered the democratic and economic transitions of Eastern Europe and the Baltic states as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

## ASSISTANCE FOR THE INDEPENDENT STATES OF THE FORMER SOVIET UNION

## Program and Financing (in millions of dollars)

| Identification code 72-1093-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 5           | 5       | 3         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 8           | 8       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....            | 5           |         |           |
| 1050 Unobligated balance (total) .....                            | 13          | 8       | 3         |
| 1930 Total budgetary resources available .....                    | 13          | 8       | 3         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 8           | 3       |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 77          | 36      | 18        |
| 3010 Obligations incurred, unexpired accounts .....               | 5           | 5       | 3         |
| 3020 Outlays (gross) .....  | -38         | -23     | -19       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -5          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -3          |         |           |



|   |   |    |    |    |
|---|---|----|----|----|
| 3050                                      | Unpaid obligations, end of year .....                     | 36 | 18 | 2  |
|   | Memorandum (non-add) entries:                             |    |    |    |
| 3100                                      | Obligated balance, start of year .....                    | 77 | 36 | 18 |
| 3200                                      | Obligated balance, end of year .....                      | 36 | 18 | 2  |
| <b>Budget authority and outlays, net:</b> |   |    |    |    |
|   | Discretionary:  |    |    |    |
|   | Outlays, gross:   |    |    |    |
| 4011                                      | Outlays from discretionary balances .....                 | 38 | 23 | 19 |
|   | Offsets against gross budget authority and outlays:       |    |    |    |
|   | Offsetting collections (collected) from:                  |    |    |    |
| 4030                                      | Federal sources .....                                     | -1 |    |    |
|   | Additional offsets against gross budget authority only:   |    |    |    |
| 4052                                      | Offsetting collections credited to expired accounts ..... | 1  |    |    |
| 4080                                      | Outlays, net (discretionary) .....                        | 37 | 23 | 19 |
| 4190                                      | Outlays, net (total) .....                                | 37 | 23 | 19 |

This account provided funds for assistance programs that fostered the democratic and economic transitions of the independent states that emerged from the former Soviet Union, as well as related efforts to address social sector reform and combat transnational threats. Beginning in 2009, funds for these activities have been appropriated and requested in other assistance accounts.

#### Object Classification (in millions of dollars)

| Identification code 72-1093-0-1-151                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 4           | 5       | 3         |
| 99.0 Reimbursable obligations .....                                 | 1           |         |           |
| 99.9 Total new obligations .....                                    | 5           | 5       | 3         |

#### INTERNATIONAL DISASTER ASSISTANCE

*For necessary expenses to carry out the provisions of section 491 of the Foreign Assistance Act of 1961 for international disaster relief, rehabilitation, and reconstruction assistance, \$2,045,000,000, to remain available until expended: Provided, That not less than 55 percent of such amounts made available and obligated in fiscal year 2014 by the United States Agency for International Development's Office of Food for Peace shall be used for the purchase, storage, transport and related costs of agricultural commodities produced in the United States, including the costs of determining the need for, and monitoring and evaluation of programs involving the use of, these commodities: Provided further, That funds appropriated under this heading may be used by the Office of Food for Peace for administrative costs associated with administering international disaster assistance programs.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 72-1035-0-1-151                    | 2012 actual                    | 2013 CR | 2014 est. |
|--|--------------------------------|---------|-----------|
| <b>Obligations by program activity:</b>                |                                |         |           |
| 0001 Direct program activity .....                     | 1,123                          | 1,050   | 2,114     |
| <b>Budgetary Resources:</b>                            |                                |         |           |
|  | Unobligated balance:           |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 237                            | 139     | 69        |
| 1021 Recoveries of prior year unpaid obligations ..... | 50                             |         |           |
| 1050 Unobligated balance (total) .....                 | 287                            | 139     | 69        |
|  | Budget authority:              |         |           |
|  | Appropriations, discretionary: |         |           |
| 1100 Appropriation .....                               | 975                            | 980     | 2,045     |
| 1160 Appropriation, discretionary (total) .....        | 975                            | 980     | 2,045     |
| 1930 Total budgetary resources available .....         | 1,262                          | 1,119   | 2,114     |
|  | Memorandum (non-add) entries:  |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 139                            | 69      |           |

#### Change in obligated balance:

|   |                               |        |        |
|---|-------------------------------|--------|--------|
|   | Unpaid obligations:           |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 913                           | 1,041  | 1,062  |
| 3010 Obligations incurred, unexpired accounts .....               | 1,123                         | 1,050  | 2,114  |
| 3020 Outlays (gross) .....  | -945                          | -1,029 | -1,570 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -50                           |        |        |
| 3050 Unpaid obligations, end of year .....                        | 1,041                         | 1,062  | 1,606  |
|   | Memorandum (non-add) entries: |        |        |
| 3100 Obligated balance, start of year .....                       | 913                           | 1,041  | 1,062  |
| 3200 Obligated balance, end of year .....                         | 1,041                         | 1,062  | 1,606  |

#### Budget authority and outlays, net:

|   |                 |       |       |
|---|-----------------|-------|-------|
|   | Discretionary:  |       |       |
| 4000 Budget authority, gross .....                  | 975             | 980   | 2,045 |
|   | Outlays, gross: |       |       |
| 4010 Outlays from new discretionary authority ..... | 36              | 329   | 900   |
| 4011 Outlays from discretionary balances .....      | 909             | 700   | 670   |
| 4020 Outlays, gross (total) .....                   | 945             | 1,029 | 1,570 |
| 4180 Budget authority, net (total) .....            | 975             | 980   | 2,045 |
| 4190 Outlays, net (total) .....                     | 945             | 1,029 | 1,570 |

The International Disaster Assistance (IDA) account provides funds to save lives, reduce human suffering, and mitigate and prepare for natural and complex emergencies overseas. Specifically, these funds provide for the management of humanitarian assistance, rehabilitation, disaster risk reduction, and transition to development assistance programs. Humanitarian relief interventions include, but are not limited to, shelter, emergency health and nutrition, and the provision of safe drinking water.

IDA programs target the most vulnerable populations who are affected by the shock of a disaster, including those who are internally displaced.

In addition, \$1,416 million, including funding that was previously requested for PL 480 Title II, is being requested in IDA to support all emergency food assistance programs administered by USAID's Office of Food for Peace (FFP) as described below. This will provide more opportunities to use the right tool for the right need at the right time, providing more flexibility for more timely, effective, efficient, and cost-effective responses. In addition to the purchase of U.S. commodities described below, assistance options include interventions such as local and regional procurement of food, cash transfers and cash voucher programs to facilitate access to food.

**Food Aid Reform:** The FY 2014 Food Aid Reform will ensure that the U.S. Government can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need. It includes a shift of funding previously requested in P.L. 480 Title II to three other assistance accounts: International Disaster Assistance (IDA) for emergency food response; Development Assistance (DA) for the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. The CDRF will be composed of \$330 million, replacing Title II non-emergency resources, including \$80 million in DA from the Bureau for Food Security resources and \$250 million in additional DA, to be implemented by partners that receive Title II funding. These jointly-funded CDRF programs will be managed by FFP and are a critical component of food security, strengthening the ability to address chronic poverty, build resilience, and help prevent food crises. The goal is to make food aid more timely and cost-effective and to improve program efficiencies and performance by shifting resources to programs that will allow the use of the right tool at the right time for responding to emergencies and chronic food insecurity. The range of tools and programs include interventions such as local and regional purchase, purchase of U.S. agricultural commodities and products, cash vouchers and transfers, and cash for work programs. Provided that the proposed

## INTERNATIONAL DISASTER ASSISTANCE—Continued

food aid reforms are enacted and all the funding previously requested in P.L. 480 Title II is appropriated as described above, at least fifty-five percent of the requested (and appropriated) IDA funding of \$1,416 million for emergency food assistance programs administered by FFP will be used for the purchase and transport of agricultural commodities produced in the United States. The reform will facilitate robust emergency and development programming. (The Budget also shifts \$25 million of the efficiency savings to the Department of Transportation's Maritime Administration for additional targeted operating subsidies for militarily-useful vessels and incentives to facilitate the retention of mariners.)

The request includes authority for FFP to cover administrative costs that were available under P.L. 480 Title II. These authorities will facilitate the purchase and delivery of U.S. commodities under IDA.

## Object Classification (in millions of dollars)

| Identification code 72–1035–0–1–151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.3 Personnel compensation: Other than full-time permanent ..... | 14          | 14      | 14        |
| 12.1 Civilian personnel benefits .....                            | 4           | 4       | 4         |
| 21.0 Travel and transportation of persons .....                   | 8           | 7       | 7         |
| 23.2 Rental payments to others .....                              | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges .....   | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                       | 13          | 12      | 12        |
| 25.2 Other services from non-Federal sources .....                | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources .....          | 3           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....                   | 1,076       | 1,005   | 2,069     |
| 99.9 Total new obligations .....                                  | 1,123       | 1,050   | 2,114     |

## Employment Summary

| Identification code 72–1035–0–1–151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 3           | 3       | 3         |

## EMERGENCY FOOD ASSISTANCE CONTINGENCY FUND

*For necessary expenses to carry out the provisions of Section 491 of the Foreign Assistance Act of 1961, to respond to urgent and unanticipated food needs abroad, \$75,000,000, to remain available until expended: Provided, That this amount is in addition to funds otherwise available for such purposes: Provided further, That this assistance shall be furnished on such terms and conditions as the President may determine pursuant to section 491(b) of the Act.*

## Program and Financing (in millions of dollars)

| Identification code 11–0049–0–1–151                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 75        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 75        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 75        |
| 1160 Appropriation, discretionary (total) .....      |             |         | 75        |
| 1930 Total budgetary resources available .....       |             |         | 75        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 75        |
| 3020 Outlays (gross) .....                           |             |         | –39       |
| 3050 Unpaid obligations, end of year .....           |             |         | 36        |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 36        |

## Budget authority and outlays, net:

|   |  |  |    |
|---|--|--|----|
| Discretionary:                                      |  |  |    |
| 4000 Budget authority, gross .....                  |  |  | 75 |
| Outlays, gross:                                     |  |  |    |
| 4010 Outlays from new discretionary authority ..... |  |  | 39 |
| 4180 Budget authority, net (total) .....            |  |  | 75 |
| 4190 Outlays, net (total) .....                     |  |  | 39 |

The Emergency Food Assistance Contingency Fund (EFAC) will enable the President to provide emergency food assistance for unexpected and urgent food needs worldwide.

Following a Presidential determination, funds released from EFAC will be managed by USAID's Office of Food for Peace. The account will have the same flexibility as the International Disaster Assistance account to provide timely and cost-effective food emergency responses through interventions such as local and regional procurement of food, cash transfers or vouchers to facilitate access to food, or the purchase and shipment of U.S. commodities as appropriate.

This funding is a reallocation from funding previously requested for PL 480 Title II.

**Food Aid Reform:** The FY 2014 Food Aid Reform will ensure that the U.S. Government can respond most effectively to humanitarian crises and chronic food insecurity within current budget constraints, while reaching more people in need. It includes a shift of funding previously requested in P.L. 480 Title II to three other assistance accounts: International Disaster Assistance (IDA) for emergency food response; Development Assistance (DA) for the Community Development and Resilience Fund (CDRF) to address chronic food insecurity in areas of recurrent crises; and a new Emergency Food Assistance Contingency Fund. The CDRF will be composed of \$330 million, replacing Title II non-emergency resources, including \$80 million in DA from the Bureau for Food Security resources and \$250 million in additional DA, to be implemented by partners that receive Title II funding. These jointly-funded CDRF programs will be managed by USAID's Office of Food for Peace and are a critical component of food security, strengthening the ability to address chronic poverty, build resilience, and help prevent food crises. The goal is to make food aid more timely and cost-effective and to improve program efficiencies and performance by shifting resources to programs that will allow the use of the right tool at the right time for responding to emergencies and chronic food insecurity. The range of tools and programs include interventions such as local and regional purchase, purchase of U.S. agricultural commodities and products, cash vouchers and transfers, and cash for work programs. Provided that the proposed food aid reforms are enacted and all the funding previously requested in P.L. 480 Title II is appropriated as described above, at least fifty-five percent of the requested (and appropriated) IDA funding of \$1,416 million for emergency food assistance programs administered by USAID's Office of Food for Peace will be used for the purchase and transport of agricultural commodities produced in the United States. The reform will facilitate robust emergency and development programming. (The Budget also shifts \$25 million of the efficiency savings to the Department of Transportation's Maritime Administration for additional targeted operating subsidies for militarily-useful vessels and incentives to facilitate the retention of mariners.)

## FUNDS APPROPRIATED TO THE PRESIDENT

## OPERATING EXPENSES

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, \$1,328,200,000, to remain available until September 30, 2015: Provided, That contracts or agreements entered into with funds appropriated under this heading during fiscal year 2015 may entail commitments for the expenditure of such funds through the following fiscal year: Provided further, That the authority of sections 610 and 109 of the Foreign Assistance Act of 1961 may be exercised by the Secretary of State to transfer funds appropriated to carry out chapter 1 of part I of such Act to "Operating Expenses" in accordance with the provisions of those sections: Provided further, That of the funds appropriated or made available under this heading, not to exceed \$250,000 may be available for representation and entertainment allowances, of which not to exceed \$5,000 may be available for entertainment allowances, for the United States Agency for International Development during the current fiscal year: Provided further, That no such entertainment funds may be used for the purposes listed in section 7015 of this Act: Provided further, That appropriate steps shall be taken to assure that, to the maximum extent possible, United States-owned foreign currencies are utilized in lieu of dollars.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 72–1000–0–1–151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program .....   | 1,360       | 1,545   | 1,399     |
| 0002 Foreign national separation fund .....                                     | 1           | 2       | 2         |
| 0799 Total direct obligations .....   | 1,361       | 1,547   | 1,401     |
| 0801 Reimbursable program activity .....  | 33          | 25      | 33        |
| 0900 Total new obligations .....  | 1,394       | 1,572   | 1,434     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 413         | 485     | 313       |
| 1011 Unobligated balance transfer from other accts [72–1037] ....               | 4           |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | –41         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 117         | 13      | 13        |
| 1050 Unobligated balance (total) .....  | 493         | 498     | 326       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 1,092       | 1,099   | 1,328     |
| 1100 Appropriation - OCO .....  | 255         | 255     |           |
| 1160 Appropriation, discretionary (total) .....                                 | 1,347       | 1,354   | 1,328     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 34          | 33      | 33        |
| 1701 Change in uncollected payments, Federal sources .....                      | 6           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 40          | 33      | 33        |
| 1900 Budget authority (total) .....   | 1,387       | 1,387   | 1,361     |
| 1930 Total budgetary resources available .....                                  | 1,880       | 1,885   | 1,687     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 485         | 313     | 253       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 800         | 751     | 989       |
| 3010 Obligations incurred, unexpired accounts .....                             | 1,394       | 1,572   | 1,434     |
| 3020 Outlays (gross) .....  | –1,323      | –1,321  | –1,410    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –117        | –13     | –13       |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 751         | 989     | 1,000     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –10         | –11     | –11       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | –6          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 5           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | –11         | –11     | –11       |

|   |     |     |     |
|---|-----|-----|-----|
| Memorandum (non-add) entries:               |     |     |     |
| 3100 Obligated balance, start of year ..... | 790 | 740 | 978 |
| 3200 Obligated balance, end of year .....   | 740 | 978 | 989 |

## Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 1,387 | 1,387 | 1,361 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 747   | 909   | 892   |
| 4011 Outlays from discretionary balances .....                       | 576   | 412   | 518   |
| 4020 Outlays, gross (total) .....                                    | 1,323 | 1,321 | 1,410 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | –48   | –33   | –33   |
| 4033 Non-Federal sources .....                                       | –3    |       |       |
| 4040 Offsets against gross budget authority and outlays (total) .... | –51   | –33   | –33   |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –6    |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 17    |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | 11    |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 1,347 | 1,354 | 1,328 |
| 4080 Outlays, net (discretionary) .....                              | 1,272 | 1,288 | 1,377 |
| 4180 Budget authority, net (total) .....                             | 1,347 | 1,354 | 1,328 |
| 4190 Outlays, net (total) .....                                      | 1,272 | 1,288 | 1,377 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|                                  | 2012 actual | 2013 CR | 2014 est. |
|----------------------------------|-------------|---------|-----------|
| Enacted/requested:               |             |         |           |
| Budget Authority .....           | 1,347       | 1,354   | 1,328     |
| Outlays .....                    | 1,272       | 1,288   | 1,377     |
| Overseas contingency operations: |             |         |           |
| Budget Authority .....           |             |         | 71        |
| Outlays .....                    |             |         | 46        |
| Total:                           |             |         |           |
| Budget Authority .....           | 1,347       | 1,354   | 1,399     |
| Outlays .....                    | 1,272       | 1,288   | 1,423     |

This account supports the cost of managing U.S. Agency for International Development (USAID) programs, including salaries and other expenses of direct-hire personnel as well as costs associated with physical security of Agency personnel. USAID currently maintains resident staff in more than 70 foreign countries as well as a headquarters in Washington, D.C., which supports field programs and manages regional and worldwide activities.

## Object Classification (in millions of dollars)

| Identification code 72–1000–0–1–151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 357         | 365     | 384       |
| 11.3 Other than full-time permanent .....                       | 61          | 82      | 61        |
| 11.5 Other personnel compensation .....                         | 65          | 58      | 58        |
| 11.8 Special personal services payments .....                   | 6           | 4       | 4         |
| 11.9 Total personnel compensation .....                         | 489         | 509     | 507       |
| 12.1 Civilian personnel benefits .....                          | 171         | 156     | 171       |
| 21.0 Travel and transportation of persons .....                 | 78          | 65      | 65        |
| 22.0 Transportation of things .....                             | 32          | 30      | 30        |
| 23.1 Rental payments to GSA .....                               | 45          | 55      | 47        |
| 23.2 Rental payments to others .....                            | 52          | 50      | 52        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 21          | 22      | 21        |
| 24.0 Printing and reproduction .....                            | 1           | 2       | 1         |
| 25.1 Advisory and assistance services .....                     | 90          | 90      | 80        |
| 25.2 Other services from non-Federal sources .....              | 83          | 94      | 80        |
| 25.3 Other goods and services from Federal sources .....        | 189         | 190     | 208       |
| 25.4 Operation and maintenance of facilities .....              | 6           | 8       | 6         |
| 25.6 Medical care .....   | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 15          | 24      | 14        |
| 26.0 Supplies and materials .....                               | 10          | 20      | 10        |
| 31.0 Equipment .....  | 48          | 68      | 46        |
| 32.0 Land and structures .....                                  | 11          | 157     | 60        |
| 41.0 Grants, subsidies, and contributions .....                 | 17          | 5       | 1         |
| 42.0 Insurance claims and indemnities .....                     | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 1,360       | 1,547   | 1,401     |
| 99.0 Reimbursable obligations .....                             | 32          | 24      | 32        |
| 99.5 Below reporting threshold .....                            | 2           | 1       | 1         |

**OPERATING EXPENSES OF THE AGENCY FOR INTERNATIONAL  
DEVELOPMENT—Continued**  
**Object Classification—Continued**

| Identification code 72–1000–0–1–151 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 1,394       | 1,572   | 1,434     |

**Employment Summary**

| Identification code 72–1000–0–1–151                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 3,540       | 3,540   | 3,562     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5           | 5       | 5         |

**FUNDS APPROPRIATED TO THE PRESIDENT**  
**(Overseas contingency operations)**

**OPERATING EXPENSES**

*For an additional amount for "Operating Expenses", \$71,000,000, to remain available until September 30, 2015: Provided, That such amount is designated by the Congress for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended: Provided further, That such amount shall be available only if the President designates such amount for Overseas Contingency Operations / Global War on Terrorism pursuant to section 251(b)(2)(A).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 72–1000–8–1–151                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Direct program .....                           |             |         | 71        |
| <b>Budgetary Resources:</b>                         |             |         |           |
| <b>Budget authority:</b>                            |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            |             |         | 71        |
| 1160 Appropriation, discretionary (total) .....     |             |         | 71        |
| 1930 Total budgetary resources available .....      |             |         | 71        |
| <b>Change in obligated balance:</b>                 |             |         |           |
| <b>Unpaid obligations:</b>                          |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             |         | 71        |
| 3020 Outlays (gross) .....                          |             |         | –46       |
| 3050 Unpaid obligations, end of year .....          |             |         | 25        |
| <b>Memorandum (non-add) entries:</b>                |             |         |           |
| 3200 Obligated balance, end of year .....           |             |         | 25        |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| <b>Discretionary:</b>                               |             |         |           |
| 4000 Budget authority, gross .....                  |             |         | 71        |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... |             |         | 46        |
| 4180 Budget authority, net (total) .....            |             |         | 71        |
| 4190 Outlays, net (total) .....                     |             |         | 46        |

**Object Classification (in millions of dollars)**

| Identification code 72–1000–8–1–151                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| <b>Personnel compensation:</b>                     |             |         |           |
| 11.1 Full-time permanent .....                     |             |         | 29        |
| 11.3 Other than full-time permanent .....          |             |         | 2         |
| 11.5 Other personnel compensation .....            |             |         | 1         |
| 11.9 Total personnel compensation .....            |             |         | 32        |
| 12.1 Civilian personnel benefits .....             |             |         | 1         |
| 21.0 Travel and transportation of persons .....    |             |         | 7         |
| 22.0 Transportation of things .....                |             |         | 2         |
| 25.1 Advisory and assistance services .....        |             |         | 1         |
| 25.2 Other services from non-Federal sources ..... |             |         | 16        |

|  |  |  |    |
|--|--|--|----|
| 25.3 Other goods and services from Federal sources ..... |  |  | 12 |
| 99.9 Total new obligations .....                         |  |  | 71 |

**Employment Summary**

| Identification code 72–1000–8–1–151                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       |             |         | 112       |
| 2001 Reimbursable civilian full-time equivalent employment ..... |             |         |           |

**CAPITAL INVESTMENT FUND**

*For necessary expenses for overseas construction and related costs, and for the procurement and enhancement of information technology and related capital investments, pursuant to section 667 of the Foreign Assistance Act of 1961, \$117,940,000, to remain available until expended: Provided, That this amount is in addition to funds otherwise available for such purposes.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 72–0300–0–1–151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 IT/New Construction .....                                    | 133         | 149     | 118       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| <b>Unobligated balance:</b>                                       |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 11          | 19      |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 11          |         |           |
| 1050 Unobligated balance (total) .....                            | 22          | 19      |           |
| <b>Budget authority:</b>  |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation - IT/New Construction .....                    | 130         | 130     | 118       |
| 1160 Appropriation, discretionary (total) .....                   | 130         | 130     | 118       |
| 1930 Total budgetary resources available .....                    | 152         | 149     | 118       |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 19          |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 37          | 22      | 34        |
| 3010 Obligations incurred, unexpired accounts .....               | 133         | 149     | 118       |
| 3020 Outlays (gross) .....  | –137        | –137    | –122      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –11         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 22          | 34      | 30        |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 3100 Obligated balance, start of year .....                       | 37          | 22      | 34        |
| 3200 Obligated balance, end of year .....                         | 22          | 34      | 30        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| <b>Discretionary:</b>   |             |         |           |
| 4000 Budget authority, gross .....                                | 130         | 130     | 118       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               |             | 127     | 116       |
| 4011 Outlays from discretionary balances .....                    | 137         | 10      | 6         |
| 4020 Outlays, gross (total) .....                                 | 137         | 137     | 122       |
| 4180 Budget authority, net (total) .....                          | 130         | 130     | 118       |
| 4190 Outlays, net (total) .....                                   | 137         | 137     | 122       |

\$117.9 million is requested for this account, which funds both capital IT investments for USAID and USAID's contribution to the Capital Security Cost Sharing (CSCS) Program. The Administration requests \$27.4 for information technology (IT) capital projects in 2014. Funds from the Capital Investment Fund will only be made available after USAID has demonstrated a successful business case for its IT investments.

The Administration also requests funds for USAID's per capita contribution to the CSCS Program administered by the Depart-

ment of State Overseas Building Operations. The CSCS program is designed to accelerate the construction of secure, safe, functional facilities for all U.S. Government Personnel overseas.

**Object Classification** (in millions of dollars)

| Identification code 72-0300-0-1-151               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                               |             |         |           |
| 25.1 Advisory and assistance services .....       | 3           | 13      | 8         |
| 25.7 Operation and maintenance of equipment ..... | 7           | 18      | 19        |
| 31.0 Equipment .....                              | 3           | 13      | 8         |
| 32.0 Land and structures .....                    | 118         | 105     | 83        |
| 41.0 Grants, subsidies, and contributions .....   | 2           |         |           |
| 99.9 Total new obligations .....                  | 133         | 149     | 118       |

**TRANSITION INITIATIVES**

*For necessary expenses for international disaster rehabilitation and reconstruction assistance pursuant to section 491 of the Foreign Assistance Act of 1961, \$57,600,000, to remain available until expended, to support transition to democracy and to long-term development of countries in crisis: Provided, That such support may include assistance to develop, strengthen, or preserve democratic institutions and processes, revitalize basic infrastructure, and foster the peaceful resolution of conflict: Provided further, That the United States Agency for International Development shall submit a report to the Committees on Appropriations at least 5 days prior to beginning a new program of assistance: Provided further, That if the Secretary of State determines that it is important to the national interests of the United States to provide transition assistance in excess of the amount appropriated under this heading, up to \$15,000,000 of the funds appropriated by this Act to carry out the provisions of part I of the Foreign Assistance Act of 1961 may be used for purposes of this heading and under the authorities applicable to funds appropriated under this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 72-1027-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 61          | 60      | 60        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 6           | 8       | 6         |
| 1021 Recoveries of prior year unpaid obligations .....            | 6           |         |           |
| 1050 Unobligated balance (total) .....                            | 12          | 8       | 6         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 57          | 58      | 58        |
| 1160 Appropriation, discretionary (total) .....                   | 57          | 58      | 58        |
| 1930 Total budgetary resources available .....                    | 69          | 66      | 64        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 8           | 6       | 4         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 61          | 77      | 77        |
| 3010 Obligations incurred, unexpired accounts .....               | 61          | 60      | 60        |
| 3020 Outlays (gross) .....  | -39         | -60     | -60       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -6          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 77          | 77      | 77        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 61          | 77      | 77        |
| 3200 Obligated balance, end of year .....                         | 77          | 77      | 77        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 57          | 58      | 58        |

|   |    |    |    |
|---|----|----|----|
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 1  | 15 | 15 |
| 4011 Outlays from discretionary balances .....      | 38 | 45 | 45 |
| 4020 Outlays, gross (total) .....                   | 39 | 60 | 60 |
| 4180 Budget authority, net (total) .....            | 57 | 58 | 58 |
| 4190 Outlays, net (total) .....                     | 39 | 60 | 60 |

The Transition Initiatives (TI) account addresses opportunities and challenges facing conflict-prone countries and those countries making the transition from the initial crisis stage of a complex emergency to sustainable development and democracy. Programs are focused on advancing peace and stability, including promoting responsiveness of central governments to local needs, civic participation programs, media programs raising awareness of national issues, addressing underlying causes of instability, and conflict resolution measures. Recent country examples where TI funds were used include Afghanistan, Pakistan, Haiti, Honduras, Kenya, Lebanon, Libya, Sri Lanka, Syria, Tunisia, Yemen, Kyrgyzstan, Burma, Mali, and Cote d'Ivoire.

TI funding provides core operational funds for the Office of Transition Initiatives within the U.S. Agency for International Development Bureau for Democracy, Conflict, and Humanitarian Assistance.

**Object Classification** (in millions of dollars)

| Identification code 72-1027-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.3 Personnel compensation: Other than full-time permanent ..... | 10          | 10      | 10        |
| 12.1 Civilian personnel benefits .....                            | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                   | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges .....   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....                | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....          | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                   | 46          | 43      | 43        |
| 99.9 Total new obligations .....                                  | 61          | 60      | 60        |

**Employment Summary**

| Identification code 72-1027-0-1-151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 1       | 1         |

**CONFLICT STABILIZATION OPERATIONS**

**Program and Financing** (in millions of dollars)

| Identification code 72-0305-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 4           | 6       |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 8           | 6       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           |         |           |
| 1050 Unobligated balance (total) .....                            | 10          | 6       |           |
| 1930 Total budgetary resources available .....                    | 10          | 6       |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 6           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 6           | 4       | 7         |
| 3010 Obligations incurred, unexpired accounts .....               | 4           | 6       |           |
| 3020 Outlays (gross) .....  | -4          | -3      |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 4           | 7       | 7         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 6           | 4       | 7         |
| 3200 Obligated balance, end of year .....                         | 4           | 7       | 7         |

**CONFLICT STABILIZATION OPERATIONS—Continued**  
**Program and Financing—Continued**

| Identification code 72-0305-0-1-151            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>      |             |         |           |
| Discretionary:                                 |             |         |           |
| Outlays, gross:                                |             |         |           |
| 4011 Outlays from discretionary balances ..... | 4           | 3       | .....     |
| 4190 Outlays, net (total) .....                | 4           | 3       | .....     |

**Object Classification (in millions of dollars)**

| Identification code 72-0305-0-1-151                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 3       | .....     |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | .....     |
| 99.0 Direct obligations .....                          | 3           | 4       | .....     |
| 99.5 Below reporting threshold .....                   | 1           | 2       | .....     |
| 99.9 Total new obligations .....                       | 4           | 6       | .....     |

**Employment Summary**

| Identification code 72-0305-0-1-151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 20          | 20      | .....     |

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses to carry out the provisions of section 667 of the Foreign Assistance Act of 1961, \$54,200,000, to remain available until September 30, 2015, which sum shall be available for the Office of Inspector General of the United States Agency for International Development.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 72-1007-0-1-151     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program .....               | 52          | 57      | 59        |
| 0801 Reimbursable program .....         | 5           | 5       | 5         |
| 0900 Total new obligations .....        | 57          | 62      | 64        |

**Budgetary Resources:**

|   |    |       |       |
|---|----|-------|-------|
| <b>Unobligated balance:</b>   |    |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 18 | 19    | 14    |
| 1021 Recoveries of prior year unpaid obligations .....                | 2  | 1     | 1     |
| 1050 Unobligated balance (total) .....                                | 20 | 20    | 15    |
| <b>Budget authority:</b>  |    |       |       |
| <b>Appropriations, discretionary:</b>                                 |    |       |       |
| 1100 Appropriation .....  | 46 | 46    | 54    |
| 1100 Appropriation-OCO .....  | 5  | 5     | ..... |
| 1160 Appropriation, discretionary (total) .....                       | 51 | 51    | 54    |
| <b>Spending authority from offsetting collections, discretionary:</b> |    |       |       |
| 1700 Collected .....  | 5  | 5     | 5     |
| 1701 Change in uncollected payments, Federal sources .....            | 2  | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) .....    | 7  | 5     | 5     |
| 1900 Budget authority (total) .....                                   | 58 | 56    | 59    |
| 1930 Total budgetary resources available .....                        | 78 | 76    | 74    |
| <b>Memorandum (non-add) entries:</b>                                  |    |       |       |
| 1940 Unobligated balance expiring .....                               | -2 | ..... | ..... |
| 1941 Unexpired unobligated balance, end of year .....                 | 19 | 14    | 10    |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| <b>Unpaid obligations:</b>                            |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 19  | 21  | 20  |
| 3010 Obligations incurred, unexpired accounts .....   | 57  | 62  | 64  |
| 3020 Outlays (gross) .....                            | -53 | -62 | -67 |

|   |    |       |       |
|---|----|-------|-------|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2 | -1    | -1    |
| 3050 Unpaid obligations, end of year .....                        | 21 | 20    | 16    |
| <b>Uncollected payments:</b>                                      |    |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1 | -3    | -3    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -2 | ..... | ..... |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -3 | -3    | -3    |
| <b>Memorandum (non-add) entries:</b>                              |    |       |       |
| 3100 Obligated balance, start of year .....                       | 18 | 18    | 17    |
| 3200 Obligated balance, end of year .....                         | 18 | 17    | 13    |

**Budget authority and outlays, net:**

|  |    |       |       |
|--|----|-------|-------|
| <b>Discretionary:</b>  |    |       |       |
| 4000 Budget authority, gross .....                             | 58 | 56    | 59    |
| <b>Outlays, gross:</b>   |    |       |       |
| 4010 Outlays from new discretionary authority .....            | 35 | 47    | 48    |
| 4011 Outlays from discretionary balances .....                 | 18 | 15    | 19    |
| 4020 Outlays, gross (total) .....                              | 53 | 62    | 67    |
| <b>Offsets against gross budget authority and outlays:</b>     |    |       |       |
| <b>Offsetting collections (collected) from:</b>                |    |       |       |
| 4030 Federal sources .....                                     | -5 | -5    | -5    |
| <b>Additional offsets against gross budget authority only:</b> |    |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -2 | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....               | 51 | 51    | 54    |
| 4080 Outlays, net (discretionary) .....                        | 48 | 57    | 62    |
| 4180 Budget authority, net (total) .....                       | 51 | 51    | 54    |
| 4190 Outlays, net (total) .....                                | 48 | 57    | 62    |

The funds cover the costs of operations of the Office of the Inspector General, U.S. Agency for International Development, and include salaries, expenses, and support costs of the Inspector General's personnel.

**Object Classification (in millions of dollars)**

| Identification code 72-1007-0-1-151                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| <b>Personnel compensation:</b>                           |             |         |           |
| 11.1 Full-time permanent .....                           | 17          | 20      | 21        |
| 11.3 Other than full-time permanent .....                | 2           | 5       | 5         |
| 11.5 Other personnel compensation .....                  | 3           | 2       | 2         |
| 11.9 Total personnel compensation .....                  | 22          | 27      | 28        |
| 12.1 Civilian personnel benefits .....                   | 7           | 7       | 7         |
| 21.0 Travel and transportation of persons .....          | 3           | 4       | 4         |
| 22.0 Transportation of things .....                      | 1           | 3       | 3         |
| 23.1 Rental payments to GSA .....                        | 3           | 3       | 3         |
| 23.2 Rental payments to others .....                     | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 3           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 9           | 7       | 8         |
| 31.0 Equipment .....                                     | 1           | 1       | 1         |
| 99.0 Direct obligations .....                            | 52          | 57      | 59        |
| 99.0 Reimbursable obligations .....                      | 5           | 5       | 5         |
| 99.9 Total new obligations .....                         | 57          | 62      | 64        |

**Employment Summary**

| Identification code 72-1007-0-1-151                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 185         | 192     | 192       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 15          | 15      | 15        |

**PROPERTY MANAGEMENT FUND****Program and Financing (in millions of dollars)**

| Identification code 72-4175-0-3-151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0801 Reimbursable program .....                       | 2           | 12      | .....     |
| 0900 Total new obligations (object class 32.0) .....  | 2           | 12      | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| <b>Unobligated balance:</b>                           |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 24          | 28      | 16        |

|  |   |    |     |
|--|---|----|-----|
| Budget authority:  |   |    |     |
| Spending authority from offsetting collections, mandatory: |   |    |     |
| 1800   | Collected .....   | 6  |     |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 6  |     |
| 1930   | Total budgetary resources available .....                     | 30 | 16  |
| Memorandum (non-add) entries:                              |   |    |     |
| 1941   | Unexpired unobligated balance, end of year .....              | 28 | 16  |
| <b>Change in obligated balance:</b>                        |   |    |     |
| Unpaid obligations:  |   |    |     |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 2  | 2   |
| 3010   | Obligations incurred, unexpired accounts .....                | 2  | 12  |
| 3020   | Outlays (gross) .....   |    | -12 |
| 3050   | Unpaid obligations, end of year .....                         | 2  | 2   |
| Memorandum (non-add) entries:                              |   |    |     |
| 3100   | Obligated balance, start of year .....                        | 2  | 2   |
| 3200   | Obligated balance, end of year .....                          | 2  | 2   |
| <b>Budget authority and outlays, net:</b>                  |   |    |     |
| Mandatory:   |   |    |     |
| 4090   | Budget authority, gross .....                                 | 6  |     |
| Outlays, gross:  |   |    |     |
| 4101   | Outlays from mandatory balances .....                         |    | 12  |
| Offsets against gross budget authority and outlays:        |   |    |     |
| Offsetting collections (collected) from:                   |   |    |     |
| 4120   | Federal sources .....   | -6 |     |
| 4190   | Outlays, net (total) .....                                    | -6 | 12  |

This Fund, as authorized by Public Law 101-513, is maintained for the deposit of proceeds from the sale of overseas property acquired by the U.S. Agency for International Development (USAID). The proceeds are available to construct or otherwise acquire outside the United States: 1) essential living quarters, office space, and necessary supporting facilities for use of USAID personnel; and 2) schools (including dormitories and boarding facilities) and hospitals for use of USAID and other U.S. Government personnel and their dependents. In addition, the proceeds may be used to equip, staff, operate, and maintain such schools and hospitals.

#### Object Classification (in millions of dollars)

| Identification code 72-4175-0-3-151 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 32.0 Land and structures .....      | 2           | 12      |           |
| 99.0 Reimbursable obligations ..... | 2           | 12      |           |

#### WORKING CAPITAL FUND

#### Program and Financing (in millions of dollars)

| Identification code 72-4513-0-4-151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 23          | 24      | 25        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 6       | 6         |
| 1021 Recoveries of prior year unpaid obligations .....             | 2           |         |           |
| 1050 Unobligated balance (total) .....                             | 7           | 6       | 6         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 19          | 24      | 25        |
| 1701 Change in uncollected payments, Federal sources .....         | 3           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 22          | 24      | 25        |
| 1930 Total budgetary resources available .....                     | 29          | 30      | 31        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 6           | 6       | 6         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 11          | 20      | 10        |
| 3010 Obligations incurred, unexpired accounts .....                | 23          | 24      | 25        |
| 3020 Outlays (gross) .....   | -12         | -34     | -33       |

|   |     |     |     |
|---|-----|-----|-----|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 20  | 10  | 2   |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -9  | -12 | -12 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -3  |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -12 | -12 | -12 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 2   | 8   | -2  |
| 3200 Obligated balance, end of year .....                         | 8   | -2  | -10 |
| <b>Budget authority and outlays, net:</b>                         |     |     |     |
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                                | 22  | 24  | 25  |
| Outlays, gross:   |     |     |     |
| 4010 Outlays from new discretionary authority .....               |     | 24  | 25  |
| 4011 Outlays from discretionary balances .....                    | 12  | 10  | 8   |
| 4020 Outlays, gross (total) .....                                 | 12  | 34  | 33  |
| Offsets against gross budget authority and outlays:               |     |     |     |
| Offsetting collections (collected) from:                          |     |     |     |
| 4030 Federal sources .....  | -19 | -24 | -25 |
| Additional offsets against gross budget authority only:           |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....    | -3  |     |     |
| 4080 Outlays, net (discretionary) .....                           | -7  | 10  | 8   |
| 4190 Outlays, net (total) .....                                   | -7  | 10  | 8   |

The Fund, authorized by section 635(m) of the Foreign Assistance Act of 1961, finances on a reimbursable basis the costs associated with providing administrative support to other agencies under the International Cooperative Administrative Support Services (ICASS) program overseas. Under ICASS, each agency pays a proportional share of the cost of those services they have agreed to receive. Working through inter-agency councils at post, all agencies have a say in determining which services the USAID mission will provide, defining service standards, reviewing costs, and determining funding levels. The Fund is also used for deposit of rebates from the use of Federal credit cards, the deposits then being made available for start-up costs at new ICASS service provider missions and for technical support to missions currently providing services.

#### Object Classification (in millions of dollars)

| Identification code 72-4513-0-4-151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.8 Special personal services payments .....                   | 5           | 5       | 5         |
| 11.9 Total personnel compensation .....                         | 6           | 6       | 6         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 3           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 2           | 2       | 2         |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 2           | 2       | 3         |
| 99.0 Reimbursable obligations .....                             | 22          | 23      | 24        |
| 99.5 Below reporting threshold .....                            | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 23          | 24      | 25        |

#### DEBT REDUCTION FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 72-4137-0-3-151           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>       |             |         |           |
| 0605 Debt Forgiveness Adjusting Payment ..... | 36          | 25      |           |
| Credit program obligations:                   |             |         |           |
| 0713 Payment of interest to Treasury .....    | 25          | 9       | 7         |
| 0900 Total new obligations .....              | 61          | 34      | 7         |

DEBT REDUCTION FINANCING ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 72-4137-0-3-151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 337         | 340     | 33        |
| 1023 Unobligated balances applied to repay debt .....              |             | -340    | -33       |
| 1050 Unobligated balance (total) .....                             | 337         |         |           |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Offsetting collections-non-federal .....                      | 24          | 34      | 33        |
| 1800 Offsetting collections-federal .....                          | 20          | 8       | 1         |
| 1800 Offsetting collections (Debt Restructuring) .....             | 20          | 25      |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 64          | 67      | 34        |
| 1900 Financing authority (total) .....                             | 64          | 67      | 34        |
| 1930 Total budgetary resources available .....                     | 401         | 67      | 34        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 340         | 33      | 27        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 61          | 34      | 7         |
| 3020 Financing disbursements (gross) .....                         | -61         | -34     | -7        |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              | 64          | 67      | 34        |
| Financing disbursements:   |             |         |           |
| 4110 Financing disbursements, gross .....                          | 61          | 34      | 7         |
| Offsets against gross financing authority and disbursements:       |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources - subsidy received from debt reduction        |             |         |           |
| account .....  | -20         | -25     |           |
| 4122 Interest on uninvested funds .....                            | -20         | -8      | -1        |
| 4123 Non-federal sources (Loan Repayments-Principal) .....         | -7          | -13     | -13       |
| 4123 Non-Federal sources (Loan Payments-Interest) .....            | -17         | -21     | -20       |
| 4130 Offsets against gross financing auth and disbursements        |             |         |           |
| (total) .....  | -64         | -67     | -34       |
| 4170 Financing disbursements, net (mandatory) .....                | -3          | -33     | -27       |
| 4190 Financing disbursements, net (total) .....                    | -3          | -33     | -27       |

## Status of Direct Loans (in millions of dollars)

| Identification code 72-4137-0-3-151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:                 |             |         |           |
| 1210 Outstanding, start of year .....                           | 793         | 771     | 758       |
| 1233 Disbursements: Purchase of loans assets from a liquidating |             |         |           |
| account .....   | 36          | 25      |           |
| 1251 Repayments: Repayments and prepayments .....               | -17         | -13     | -13       |
| Write-offs for default:   |             |         |           |
| 1263 Direct loans .....   | -36         |         |           |
| 1264 Other adjustments, net .....                               | -5          | -25     |           |
| 1290 Outstanding, end of year .....                             | 771         | 758     | 745       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the restructuring of direct loans and loan guarantees administered by the U.S. Agency for International Development (including modifications of these restructured loans). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 72-4137-0-3-151                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 337         | 340         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 793         | 771         |
| 1402 Interest receivable .....                                    | 17          | 17          |
| 1405 Allowance for subsidy cost (-) .....                         | -640        | -622        |
| 1499 Net present value of assets related to direct loans .....    | 170         | 166         |
| 1999 Total assets .....   | 507         | 506         |

## LIABILITIES:

|   |     |  |     |
|---|-----|--|-----|
| Federal liabilities:                          |     |  |     |
| 2101 Accounts payable .....                   | 29  |  | 28  |
| 2103 Debt - Prin Payable to BPD .....         | 478 |  | 478 |
| 2999 Total liabilities .....                  | 507 |  | 506 |
| 4999 Total liabilities and net position ..... | 507 |  | 506 |

## LOAN GUARANTEES TO ISRAEL PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 72-0301-0-1-151                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0707 Reestimates of loan guarantee subsidy .....             | 6           | 3       |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... |             | 1       |           |
| 0900 Total new obligations (object class 41.0) .....         | 6           | 4       |           |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                   |             |         |           |
| 1200 Appropriation .....                                     | 6           | 4       |           |
| 1260 Appropriations, mandatory (total) .....                 | 6           | 4       |           |
| 1930 Total budgetary resources available .....               | 6           | 4       |           |
| <b>Change in obligated balance:</b>                          |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....          | 6           | 4       |           |
| 3020 Outlays (gross) .....                                   | -6          | -4      |           |
| <b>Budget authority and outlays, net:</b>                    |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                           | 6           | 4       |           |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....              | 6           | 4       |           |
| 4180 Budget authority, net (total) .....                     | 6           | 4       |           |
| 4190 Outlays, net (total) .....                              | 6           | 4       |           |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 72-0301-0-1-151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Loan Guarantees to Israel .....                          |             | 1,270   | 1,274     |
| 215999 Total loan guarantee levels .....                        |             | 1,270   | 1,274     |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Loan Guarantees to Israel .....                          |             | 0.00    | 0.00      |
| 232999 Weighted average subsidy rate .....                      |             | 0.00    | 0.00      |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 Loan Guarantees to Israel .....                          | 6           | 4       |           |
| 235999 Total upward reestimate budget authority .....           | 6           | 4       |           |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 Loan Guarantees to Israel .....                          | -663        | -103    |           |
| 237999 Total downward reestimate subsidy budget authority ..... | -663        | -103    |           |

## LOAN GUARANTEES TO ISRAEL FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 72-4119-0-3-151                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| Credit program obligations:                            |             |         |           |
| 0742 Downward reestimate paid to receipt account ..... | 322         | 38      |           |
| 0743 Interest on downward reestimates .....            | 341         | 66      |           |
| 0900 Total new obligations .....                       | 663         | 104     |           |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 1,972       | 1,397   | 1,444     |



|  |  |       |       |
|--|--|-------|-------|
| Financing authority:   |  |       |       |
| Spending authority from offsetting collections, mandatory:   |  |       |       |
| 1800   | Collected .....  | 88    | 151   |
| 1850   | Spending auth from offsetting collections, mand (total) .....        | 88    | 151   |
| 1930   | Total budgetary resources available .....                            | 2,060 | 1,548 |
| Memorandum (non-add) entries:                                |  |       |       |
| 1941   | Unexpired unobligated balance, end of year .....                     | 1,397 | 1,444 |
| <b>Change in obligated balance:</b>                          |  |       |       |
| Unpaid obligations:  |  |       |       |
| 3010   | Obligations incurred, unexpired accounts .....                       | 663   | 104   |
| 3020   | Financing disbursements (gross) .....                                | -663  | -104  |
| <b>Financing authority and disbursements, net:</b>           |  |       |       |
| Mandatory:   |  |       |       |
| 4090   | Financing authority, gross .....                                     | 88    | 151   |
| Financing disbursements:                                     |  |       |       |
| 4110   | Financing disbursements, gross .....                                 | 663   | 104   |
| Offsets against gross financing authority and disbursements: |  |       |       |
| Offsetting collections (collected) from:                     |  |       |       |
| 4120   | Federal sources (Upward reestimate of subsidy) .....                 | -6    | -4    |
| 4122   | Interest on uninvested funds .....                                   | -82   | -85   |
| 4123   | Non-Federal sources - Fees .....                                     | -62   | -62   |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -88   | -151  |
| 4170   | Financing disbursements, net (mandatory) .....                       | 575   | -47   |
| 4190   | Financing disbursements, net (total) .....                           | 575   | -47   |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 72-4119-0-3-151                                    | 2012 actual  | 2013 CR | 2014 est. |
|--|--|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |  |         |           |
| 2121   | Limitation available from carry-forward .....                        | 3,814   | 2,544     |
| 2143   | Uncommitted limitation carried forward .....                         | -3,814  | -1,270    |
| 2150   | Total guaranteed loan commitments .....                              | 1,270   | 1,274     |
| Cumulative balance of guaranteed loans outstanding:                    |  |         |           |
| 2210   | Outstanding, start of year .....                                     | 11,616  | 12,186    |
| 2231   | Disbursements of new guaranteed loans .....                          | 1,270   | 1,274     |
| 2251   | Repayments and prepayments .....                                     | -338    | -362      |
| 2290   | Outstanding, end of year .....                                       | 11,278  | 13,098    |
| Memorandum:  |  |         |           |
| 2299   | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 11,278  | 13,098    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 72-4119-0-3-151 | 2011 actual  | 2012 actual |
|-------------------------------------|--|-------------|
| <b>ASSETS:</b>                      |  |             |
| 1101                                | Federal assets: Fund balances with Treasury .....              | 1,972       |
| 1999                                | Total assets .....   | 1,972       |
| <b>LIABILITIES:</b>                 |  |             |
| 2204                                | Non-Federal liabilities: Liabilities for loan guarantees ..... | 1,972       |
| 4999                                | Total upward reestimate subsidy BA [72-0301] .....             | 1,972       |

**LOAN GUARANTEES TO EGYPT PROGRAM ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 72-0304-0-1-151     | 2012 actual                                 | 2013 CR | 2014 est. |
|---|---|---------|-----------|
| <b>Obligations by program activity:</b> |   |         |           |
| Credit program obligations:             |   |         |           |
| 0707                                    | Reestimates of loan guarantee subsidy ..... | 213     |           |

|      |   |     |  |
|------|---|-----|--|
| 0708 | Interest on reestimates of loan guarantee subsidy ..... | 89  |  |
| 0900 | Total new obligations (object class 41.0) .....         | 302 |  |

**Budgetary Resources:**

|                            |   |     |  |
|----------------------------|---|-----|--|
| Budget authority:          |   |     |  |
| Appropriations, mandatory: |   |     |  |
| 1200                       | Appropriation .....                       | 302 |  |
| 1260                       | Appropriations, mandatory (total) .....   | 302 |  |
| 1930                       | Total budgetary resources available ..... | 302 |  |

**Change in obligated balance:**

|                     |  |      |  |
|---------------------|--|------|--|
| Unpaid obligations: |  |      |  |
| 3010                | Obligations incurred, unexpired accounts ..... | 302  |  |
| 3020                | Outlays (gross) .....                          | -302 |  |

**Budget authority and outlays, net:**

|                 |  |     |  |
|-----------------|--|-----|--|
| Mandatory:      |  |     |  |
| 4090            | Budget authority, gross .....              | 302 |  |
| Outlays, gross: |  |     |  |
| 4100            | Outlays from new mandatory authority ..... | 302 |  |
| 4180            | Budget authority, net (total) .....        | 302 |  |
| 4190            | Outlays, net (total) .....                 | 302 |  |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 72-0304-0-1-151   | 2012 actual  | 2013 CR | 2014 est. |
|---------------------------------------|--|---------|-----------|
| Guaranteed loan upward reestimates:   |  |         |           |
| 235001                                | Loan Guarantees to Egypt .....                           | 301     |           |
| 235999                                | Total upward reestimate budget authority .....           | 301     |           |
| Guaranteed loan downward reestimates: |  |         |           |
| 237001                                | Loan Guarantees to Egypt .....                           | -69     |           |
| 237999                                | Total downward reestimate subsidy budget authority ..... | -69     |           |

**LOAN GUARANTEES TO EGYPT FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 72-4491-0-3-151                          | 2012 actual  | 2013 CR | 2014 est. |
|--|--|---------|-----------|
| <b>Obligations by program activity:</b>                      |  |         |           |
| Credit program obligations:                                  |  |         |           |
| 0742   | Downward reestimate paid to receipt account .....                    | 54      |           |
| 0743   | Interest on downward reestimates .....                               | 15      |           |
| 0900   | Total new obligations .....  | 69      |           |
| <b>Budgetary Resources:</b>                                  |  |         |           |
| Unobligated balance:   |  |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                     | 201     | 445       |
| Financing authority:   |  |         |           |
| Spending authority from offsetting collections, mandatory:   |  |         |           |
| 1800   | Collected .....  | 5       | 308       |
| 1850   | Spending auth from offsetting collections, mand (total) .....        | 5       | 308       |
| 1930   | Total budgetary resources available .....                            | 206     | 445       |
| Memorandum (non-add) entries:                                |  |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                     | 137     | 445       |
| <b>Change in obligated balance:</b>                          |  |         |           |
| Unpaid obligations:  |  |         |           |
| 3010   | Obligations incurred, unexpired accounts .....                       | 69      |           |
| 3020   | Financing disbursements (gross) .....                                | -69     |           |
| <b>Financing authority and disbursements, net:</b>           |  |         |           |
| Mandatory:   |  |         |           |
| 4090   | Financing authority, gross .....                                     | 5       | 308       |
| Financing disbursements:                                     |  |         |           |
| 4110   | Financing disbursements, gross .....                                 | 69      |           |
| Offsets against gross financing authority and disbursements: |  |         |           |
| Offsetting collections (collected) from:                     |  |         |           |
| 4120   | Federal sources - upward reestimate of subsidy .....                 |         | -302      |
| 4122   | Interest on uninvested funds .....                                   | -5      | -6        |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -5      | -308      |
| 4170   | Financing disbursements, net (mandatory) .....                       | 64      | -308      |
| 4190   | Financing disbursements, net (total) .....                           | 64      | -308      |

## LOAN GUARANTEES TO EGYPT FINANCING ACCOUNT—Continued

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 72-4491-0-3-151                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                 |             |         |           |
| 2210 Outstanding, start of year                                     | 1,250       | 1,250   | 1,250     |
| 2251 Repayments and prepayments                                     |             |         |           |
| 2290 Outstanding, end of year                                       | 1,250       | 1,250   | 1,250     |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year | 1,250       | 1,250   | 1,250     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 72-4491-0-3-151                           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury              | 202         | 137         |
| 1999 Total assets   | 202         | 137         |
| LIABILITIES:  |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees | 202         | 137         |
| 4999 Total liabilities and net position                       | 202         | 137         |

## TUNISIA LOAN GUARANTEE PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 72-0409-0-1-151                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0702 Loan guarantee subsidy                                  | 30          |         |           |
| 0900 Total new obligations (object class 41.0)               | 30          |         |           |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1011 Unobligated balance transfer from other accts [72-1037] | 30          |         |           |
| 1930 Total budgetary resources available                     | 30          |         |           |
| <b>Change in obligated balance:</b>                          |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts                | 30          |         |           |
| 3020 Outlays (gross)   | -30         |         |           |
| <b>Budget authority and outlays, net:</b>                    |             |         |           |
| Discretionary:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances                     | 30          |         |           |
| 4190 Outlays, net (total)                                    | 30          |         |           |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 72-0409-0-1-151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Loan Guarantees to Tunisia                               | 485         |         |           |
| 215999 Total loan guarantee levels                              | 485         |         |           |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Loan Guarantees to Tunisia                               | 6.16        |         |           |
| 232999 Weighted average subsidy rate                            | 6.16        |         |           |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Loan Guarantees to Tunisia                               | 30          |         |           |
| 233999 Total subsidy budget authority                           | 30          |         |           |

|   |     |  |  |
|---|-----|--|--|
| Guaranteed loan subsidy outlays:                          |     |  |  |
| 234001 Loan Guarantees to Tunisia                         | 30  |  |  |
| 234999 Total subsidy outlays                              | 30  |  |  |
| Guaranteed loan downward reestimates:                     |     |  |  |
| 237001 Loan Guarantees to Tunisia                         | -18 |  |  |
| 237999 Total downward reestimate subsidy budget authority | -18 |  |  |

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year). The subsidy amounts are estimated on a net present value basis.

## TUNISIA LOAN GUARANTEE FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 72-4493-0-3-151                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| Credit program obligations:   |             |         |           |
| 0742 Downward reestimate paid to receipt account                    |             | 18      |           |
| 0900 Total new obligations  |             | 18      |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1                     |             | 30      | 13        |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:          |             |         |           |
| 1800 Collected  | 30          | 1       | 1         |
| 1850 Spending auth from offsetting collections, mand (total)        | 30          | 1       | 1         |
| 1930 Total budgetary resources available                            | 30          | 31      | 14        |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year                     | 30          | 13      | 14        |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts                       |             | 18      |           |
| 3020 Financing disbursements (gross)                                |             | -18     |           |
| <b>Financing authority and disbursements, net:</b>                  |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross                                     | 30          | 1       | 1         |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross                                 |             | 18      |           |
| Offsets against gross financing authority and disbursements:        |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4120 Federal sources - subsidy payments from program account        | -30         |         |           |
| 4122 Interest on uninvested funds                                   |             | -1      | -1        |
| 4130 Offsets against gross financing auth and disbursements (total) | -30         | -1      | -1        |
| 4170 Financing disbursements, net (mandatory)                       | -30         | 17      | -1        |
| 4190 Financing disbursements, net (total)                           | -30         | 17      | -1        |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 72-4493-0-3-151                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation                | 485         |         |           |
| 2150 Total guaranteed loan commitments                                 | 485         |         |           |
| Cumulative balance of guaranteed loans outstanding:                    |             |         |           |
| 2210 Outstanding, start of year  |             | 485     | 485       |
| 2231 Disbursements of new guaranteed loans                             | 485         |         |           |
| 2251 Repayments and prepayments  |             |         |           |
| 2290 Outstanding, end of year  | 485         | 485     | 485       |
| Memorandum:  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year    | 485         | 485     | 485       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 72-4493-0-3-151                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              |             | 30          |
| 1999 Total assets .....   |             | 30          |
| <b>LIABILITIES:</b>   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... |             | 30          |
| 4999 Total liabilities and net position .....                       |             | 30          |

**URBAN AND ENVIRONMENTAL CREDIT PROGRAM ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 72-0401-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| Credit program obligations:                                       |             |         |           |
| 0707 Reestimates of loan guarantee subsidy .....                  | 2           |         |           |
| 0708 Interest on reestimates of loan guarantee subsidy .....      | 2           |         |           |
| 0900 Total new obligations (object class 41.0) .....              | 4           |         |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 2           | 2       | 2         |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 3           | 2       | 2         |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 3           |         |           |
| 1260 Appropriations, mandatory (total) .....                      | 3           |         |           |
| 1930 Total budgetary resources available .....                    | 6           | 2       | 2         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....               | 4           |         |           |
| 3020 Outlays (gross) .....  | -3          |         |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....                         | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 3           |         |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 3           |         |           |
| 4180 Budget authority, net (total) .....                          | 3           |         |           |
| 4190 Outlays, net (total) .....                                   | 3           |         |           |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 72-0401-0-1-151                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan upward reestimates:                   |             |         |           |
| 235001 Urban and Environmental Loan Guarantees .....  | 3           |         |           |
| 235999 Total upward reestimate budget authority ..... | 3           |         |           |
| Guaranteed loan downward reestimates:                 |             |         |           |
| 237001 Urban and Environmental Loan Guarantees .....  | -16         | -8      |           |

|        |  |     |    |  |
|--------|--|-----|----|--|
| 237999 | Total downward reestimate subsidy budget authority ..... | -16 | -8 |  |
|--------|--|-----|----|--|

**URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 72-4344-0-3-151                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....                            | 4           | 3       | 3         |
| 0712 Default claim payments on interest .....                             |             | 1       | 1         |
| 0742 Downward reestimate paid to receipt account .....                    | 8           | 3       |           |
| 0743 Interest on downward reestimates .....                               | 8           | 6       |           |
| 0900 Total new obligations .....  | 20          | 13      | 4         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 74          | 59      | 55        |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....           |             | 3       |           |
| 1050 Unobligated balance (total) .....                                    | 74          | 62      | 55        |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  | 5           | 6       | 5         |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 5           | 6       | 5         |
| 1930 Total budgetary resources available .....                            | 79          | 68      | 60        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 59          | 55      | 56        |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                       | 20          | 13      | 4         |
| 3020 Financing disbursements (gross) .....                                | -20         | -13     | -4        |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 5           | 6       | 5         |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 20          | 13      | 4         |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4122 Interest on uninvested funds .....                                   | -3          | -4      | -3        |
| 4123 Non-Federal sources .....  | -2          | -2      | -2        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -5          | -6      | -5        |
| 4170 Financing disbursements, net (mandatory) .....                       | 15          | 7       | -1        |
| 4190 Financing disbursements, net (total) .....                           | 15          | 7       | -1        |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 72-4344-0-3-151  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:         |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....              |             |         |           |
| 2150 Total guaranteed loan commitments .....                                   |             |         |           |
| Cumulative balance of guaranteed loans outstanding:                            |             |         |           |
| 2210 Outstanding, start of year .....  | 247         | 234     | 212       |
| 2251 Repayments and prepayments .....  | -9          | -18     | -18       |
| 2263 Adjustments: Terminations for default that result in claim payments ..... | -4          | -4      | -4        |
| 2290 Outstanding, end of year .....  | 234         | 212     | 190       |
| Memorandum:  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....      | 234         | 212     | 190       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

URBAN AND ENVIRONMENTAL CREDIT GUARANTEED LOAN FINANCING  
ACCOUNT—Continued

## Balance Sheet (in millions of dollars)

| Identification code 72-4344-0-3-151                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....  | 74          | 60          |
| 1206 Non-Federal assets: Receivables, net .....         |             | 94          |
| 1999 Total assets .....                                 | 74          | 154         |
| <b>LIABILITIES:</b>                                     |             |             |
| Non-Federal liabilities:                                |             |             |
| 2204 Liabilities for loan guarantees .....              | 74          | 60          |
| 2207 Other .....  |             | 94          |
| 2999 Total liabilities .....                            | 74          | 154         |
| 4999 Total upward reestimate subsidy BA [72-0401] ..... | 74          | 154         |

## HOUSING AND OTHER CREDIT GUARANTY PROGRAMS LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 72-4340-0-3-151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....  | 8           | 7       | 8         |
| 0712 Default claim payments on interest .....   | 5           | 2       | 2         |
| 0900 Total new obligations (object class 33.0) .....  | 13          | 9       | 10        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | -1          |         |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                               | 1           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....  | 2           |         |           |
| 1029 Other balances withdrawn .....   | -2          |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 13          | 9       | 10        |
| 1260 Appropriations, mandatory (total) .....  | 13          | 9       | 10        |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 13          | 13      | 13        |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -13         | -13     | -13       |
| 1900 Budget authority (total) .....   | 13          | 9       | 10        |
| 1930 Total budgetary resources available .....  | 13          | 9       | 10        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   |             | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 13          | 9       | 10        |
| 3020 Outlays (gross) .....  | -10         | -9      | -10       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                             | -2          |         |           |
| 3050 Unpaid obligations, end of year .....  | 1           | 1       | 1         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   |             | 1       | 1         |
| 3200 Obligated balance, end of year .....   | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 13          | 9       | 10        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   |             | 9       | 10        |
| 4101 Outlays from mandatory balances .....  | 10          |         |           |
| 4110 Outlays, gross (total) .....   | 10          | 9       | 10        |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources - Debt Restructuring .....   | -2          |         |           |
| 4123 Non-Federal sources .....  | -11         | -13     | -13       |
| 4130 Offsets against gross budget authority and outlays (total) ....                          | -13         | -13     | -13       |
| 4160 Budget authority, net (mandatory) .....  |             | -4      | -3        |
| 4170 Outlays, net (mandatory) .....   | -3          | -4      | -3        |
| 4180 Budget authority, net (total) .....  |             | -4      | -3        |
| 4190 Outlays, net (total) .....   | -3          | -4      | -3        |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 72-4340-0-3-151  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Cumulative balance of guaranteed loans outstanding:</b>                               |             |         |           |
| 2210 Outstanding, start of year .....  | 583         | 514     | 450       |
| 2251 Repayments and prepayments .....  | -61         | -57     | -54       |
| 2261 Adjustments: Terminations for default that result in loans receivable .....         | -8          | -7      | -8        |
| 2290 Outstanding, end of year .....  | 514         | 450     | 388       |
| <b>Memorandum:</b>   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....                | 514         | 450     | 388       |
| <b>Addendum:</b>   |             |         |           |
| <b>Cumulative balance of defaulted guaranteed loans that result in loans receivable:</b> |             |         |           |
| 2310 Outstanding, start of year .....  | 245         | 154     | 156       |
| 2310 Outstanding, start of year .....  |             | 154     | 156       |
| 2331 Disbursements for guaranteed loan claims .....                                      | 13          | 9       | 10        |
| 2351 Repayments of loans receivable .....  | -2          | -7      | -8        |
| 2351 Repayments of unrescheduled claims receivable .....                                 | -3          |         |           |
| 2351 Repayments of loans receivable-debt restructuring .....                             | -2          |         |           |
| 2364 Other adjustments, net .....  | -97         |         |           |
| 2390 Outstanding, end of year .....  | 154         | 156     | 158       |

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from loan guarantees committed prior to 1992. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in the appropriate corresponding program accounts and financing accounts.

## Balance Sheet (in millions of dollars)

| Identification code 72-4340-0-3-151                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1206 Non-Federal assets: Receivables, net .....                         | 11          | 11          |
| 1701 Defaulted guaranteed loans, gross .....                            | 245         | 154         |
| 1702 Interest receivable .....  | 88          | 116         |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -127        | -126        |
| 1799 Value of assets related to loan guarantees .....                   | 206         | 144         |
| 1999 Total assets .....   | 217         | 155         |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 160         | 115         |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees .....     | 57          | 40          |
| 2999 Total liabilities .....  | 217         | 155         |
| 4999 Total liabilities and net position .....                           | 217         | 155         |

## MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 72-0400-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....                    | 3           | 3       | 3         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1          | -1      | -1        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | -1          | -1      | -1        |
| 3200 Obligated balance, end of year .....                         | -1          | -1      | -1        |

MICROENTERPRISE AND SMALL ENTERPRISE DEVELOPMENT GUARANTEED LOAN  
FINANCING ACCOUNT

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

DEVELOPMENT CREDIT AUTHORITY  
(INCLUDING TRANSFER OF FUNDS)

*For the cost of direct loans and loan guarantees provided by the United States Agency for International Development, as authorized by sections 256 and 635 of the Foreign Assistance Act of 1961, up to \$40,000,000 may be derived by transfer from funds appropriated by this Act to carry out part I of such Act: Provided, That funds provided under this paragraph and funds provided as a gift pursuant to section 635(d) of the Foreign Assistance Act of 1961 shall be made available only for micro and small enterprise programs, urban programs, and other programs which further the purposes of part I of such Act: Provided further, That such costs, including the cost of modifying such direct and guaranteed loans, shall be as defined in section 502 of the Congressional Budget Act of 1974, as amended: Provided further, That funds made available by this paragraph may be used for the cost of modifying any such guaranteed loans under this Act or prior Acts: Provided further, That the provisions of section 107A(d) (relating to general provisions applicable to the Development Credit Authority) of the Foreign Assistance Act of 1961, as contained in section 306 of H.R. 1486 as reported by the House Committee on International Relations on May 9, 1997, shall be applicable to direct loans and loan guarantees provided under this heading, except that the principal amount of loans made or guaranteed under this heading with respect to any single country shall not exceed \$300,000,000: Provided further, That these funds are available to subsidize total loan principal, any portion of which is to be guaranteed, of up to \$2,000,000,000.*

*In addition, for administrative expenses to carry out credit programs administered by the United States Agency for International Development, \$8,200,000, which may be transferred to, and merged with, funds made available under the heading "Operating Expenses" in title II of this Act: Provided, That funds made available under this heading shall remain available until September 30, 2016.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 72–1264–0–1–151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0701 Direct loan subsidy .....  |             | 3       | 3         |
| 0702 Loan guarantee subsidy .....   | 26          | 10      | 25        |
| 0707 Reestimates of loan guarantee subsidy .....                                | 7           | 6       |           |
| 0708 Interest on reestimates of loan guarantee subsidy .....                    | 3           | 3       |           |
| 0709 Administrative expenses .....  | 9           | 9       | 9         |
| 0900 Total new obligations .....  | 45          | 31      | 37        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 18          | 14      |           |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                 | 14          |         |           |
| 1011 Unobligated balance transfer from other accts [72–1021] ....               | 6           |         |           |
| 1011 Unobligated balance transfer from other accts [72–1037] ....               | 2           |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 1           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 5           |         |           |
| 1050 Unobligated balance (total) .....  | 32          | 14      |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 8           | 8       | 8         |
| 1121 Appropriations transferred from other accts [19–1031] ....                 | 1           |         |           |

|  |    |    |    |
|--|----|----|----|
| 1121 Appropriations transferred from other accts [72–1021] ....    | 4  |    | 40 |
| 1121 Appropriations transferred from other accts [72–0306] ....    | 1  |    |    |
| 1160 Appropriation, discretionary (total) .....                    | 14 | 8  | 48 |
| Appropriations, mandatory:   |    |    |    |
| 1200 Appropriation .....   | 10 | 9  |    |
| 1260 Appropriations, mandatory (total) .....                       | 10 | 9  |    |
| Spending authority from offsetting collections, mandatory:         |    |    |    |
| 1800 Collected .....   | 3  |    |    |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 3  |    |    |
| 1900 Budget authority (total) .....                                | 27 | 17 | 48 |
| 1930 Total budgetary resources available .....                     | 59 | 31 | 48 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....              | 14 |    | 11 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 62  | 75  | 60  |
| 3010 Obligations incurred, unexpired accounts .....               | 45  | 31  | 37  |
| 3020 Outlays (gross) .....  | –27 | –46 | –57 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –5  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 75  | 60  | 40  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 62  | 75  | 60  |
| 3200 Obligated balance, end of year .....                         | 75  | 60  | 40  |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 14 | 8  | 48 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 3  | 7  | 29 |
| 4011 Outlays from discretionary balances .....      | 14 | 30 | 28 |
| 4020 Outlays, gross (total) .....                   | 17 | 37 | 57 |
| Mandatory:  |    |    |    |
| 4090 Budget authority, gross .....                  | 13 | 9  |    |
| Outlays, gross:                                     |    |    |    |
| 4100 Outlays from new mandatory authority .....     | 10 | 9  |    |
| Offsets against gross budget authority and outlays: |    |    |    |
| Offsetting collections (collected) from:            |    |    |    |
| 4123 Non-Federal sources .....                      | –3 |    |    |
| 4180 Budget authority, net (total) .....            | 24 | 17 | 48 |
| 4190 Outlays, net (total) .....                     | 24 | 46 | 57 |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 72–1264–0–1–151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:     |             |         |           |
| 115001 DCA—Direct Loan Program .....                            |             | 10      | 10        |
| 115999 Total direct loan levels .....                           |             | 10      | 10        |
| Direct loan subsidy (in percent):                               |             |         |           |
| 132001 DCA—Direct Loan Program .....                            |             | 27.42   | 27.14     |
| 132999 Weighted average subsidy rate .....                      |             | 27.42   | 27.14     |
| Direct loan subsidy budget authority:                           |             |         |           |
| 133001 DCA—Direct Loan Program .....                            |             | 3       | 3         |
| 133999 Total subsidy budget authority .....                     |             | 3       | 3         |
| Direct loan subsidy outlays:                                    |             |         |           |
| 134001 DCA—Direct Loan Program .....                            |             | 3       | 3         |
| 134999 Total subsidy outlays .....                              |             | 3       | 3         |
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 DCA—Loan Guarantees .....                                | 524         | 679     | 618       |
| 215002 DCA—Line of Credit Guarantees .....                      |             | 50      |           |
| 215999 Total loan guarantee levels .....                        | 524         | 729     | 618       |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 DCA—Loan Guarantees .....                                | 5.04        | 6.19    | 4.07      |
| 232002 DCA—Line of Credit Guarantees .....                      |             | 9.96    |           |
| 232999 Weighted average subsidy rate .....                      | 5.04        | 6.45    | 4.07      |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 DCA—Loan Guarantees .....                                | 26          | 42      | 25        |
| 233002 DCA—Line of Credit Guarantees .....                      |             | 5       |           |
| 233999 Total subsidy budget authority .....                     | 26          | 47      | 25        |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 DCA—Loan Guarantees .....                                | 6           | 20      | 22        |
| 234002 DCA—Line of Credit Guarantees .....                      | 3           | 2       |           |
| 234999 Total subsidy outlays .....                              | 9           | 22      | 22        |

## DEVELOPMENT CREDIT AUTHORITY—Continued

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

| Identification code 72-1264-0-1-151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 DCA—Loan Guarantees .....                                | 10          | 8       | .....     |
| 235999 Total upward reestimate budget authority .....           | 10          | 8       | .....     |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 DCA—Loan Guarantees .....                                | -6          | -12     | .....     |
| 237999 Total downward reestimate subsidy budget authority ..... | -6          | -12     | .....     |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 8           | 8       | 8         |
| 3580 Outlays from balances .....                                | 5           | 3       | 1         |
| 3590 Outlays from new authority .....                           | 3           | 7       | 7         |

As required by the Federal Credit Reform Act of 1990, this account records, for the Development Credit Authority, the subsidy costs associated with direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program and legacy USAID credit programs. The subsidy amounts are estimated on a net present value basis; the administrative expenses are estimated on a cash basis.

In 2014, the U.S. Agency for International Development (USAID) will use the Development Credit Authority (DCA) transfer authority to support DCA projects in every region of the globe and every economic sector targeted by USAID. DCA augments grant assistance by mobilizing private capital in developing countries for sustainable development projects. Credit assistance under DCA is principally intended for use where a development activity is financially viable, where borrowers are creditworthy, and where there is true risk sharing with private lenders.

In 2014, the request for \$40 million in DCA transfer authority will continue to support the flow of credit to microfinance institutions, small and medium enterprises, and agribusinesses. In addition, USAID will develop new partnerships with diaspora groups, leasing companies, pension funds and other guarantors, both public and private. DCA loan guarantees also will be used to increase investments in climate change activities including sustainable forestry, adaptation and mitigation. The request for \$8.2 million in credit program administrative expenses will fund the total cost of development, implementation, and financial management of the DCA program, as well as the continued administration of USAID's legacy credit portfolios.

## Object Classification (in millions of dollars)

| Identification code 72-1264-0-1-151                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 21.0 Travel and transportation of persons .....        | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....            | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....     | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....        | 36          | 22      | 28        |
| 99.9 Total new obligations .....                       | 45          | 31      | 37        |

## Employment Summary

| Identification code 72-1264-0-1-151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 27          | 27      | 27        |

## DEVELOPMENT CREDIT AUTHORITY GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 72-4266-0-3-151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0711 Default claim payments on principal .....                     | 2           | 3       | 3         |
| 0742 Downward reestimate paid to receipt account .....             | 3           | 11      | .....     |
| 0743 Interest on downward reestimates .....                        | 3           | 1       | .....     |
| 0900 Total new obligations .....                                   | 8           | 15      | 3         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 36          | 50      | 70        |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | .....       | -3      | .....     |
| 1050 Unobligated balance (total) .....                             | 36          | 47      | 70        |
| Financing authority:   |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 22          | 38      | 29        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 22          | 38      | 29        |
| 1930 Total budgetary resources available .....                     | 58          | 85      | 99        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 50          | 70      | 96        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           | 2       | .....     |
| 3010 Obligations incurred, unexpired accounts .....                | 8           | 15      | 3         |
| 3020 Financing disbursements (gross) .....                         | -7          | -17     | -3        |
| 3050 Unpaid obligations, end of year .....                         | 2           | .....   | .....     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           | 2       | .....     |
| 3200 Obligated balance, end of year .....                          | 2           | .....   | .....     |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              | 22          | 38      | 29        |
| Financing disbursements:   |             |         |           |
| 4110 Financing disbursements, gross .....                          | 7           | 17      | 3         |
| Offsets against gross financing authority and disbursements:       |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources: Subsidy payments from program                |             |         |           |
| account .....  | -9          | -22     | -22       |
| 4120 Federal sources - Upward Reestimate of Subsidy .....          | -10         | -9      | .....     |
| 4122 Interest on uninvested funds .....                            | -2          | -3      | -3        |
| 4123 Non-Federal sources .....                                     | -1          | -4      | -4        |
| 4130 Offsets against gross financing auth and disbursements        |             |         |           |
| (total) .....  | -22         | -38     | -29       |
| 4170 Financing disbursements, net (mandatory) .....                | -15         | -21     | -26       |
| 4190 Financing disbursements, net (total) .....                    | -15         | -21     | -26       |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 72-4266-0-3-151  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:         |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....              | 740         | 740     | 740       |
| 2121 Limitation available from carry-forward .....                             | 4,793       | 4,512   | 4,523     |
| 2142 Uncommitted loan guarantee limitation .....                               | -497        | .....   | .....     |
| 2143 Uncommitted limitation carried forward .....                              | -4,512      | -4,523  | -4,645    |
| 2150 Total guaranteed loan commitments .....                                   | 524         | 729     | 618       |
| 2199 Guaranteed amount of guaranteed loan commitments .....                    | 210         | 365     | 310       |
| Cumulative balance of guaranteed loans outstanding:                            |             |         |           |
| 2210 Outstanding, start of year .....  | 331         | 263     | 325       |
| 2231 Disbursements of new guaranteed loans .....                               | 97          | 275     | 300       |
| 2251 Repayments and prepayments .....  | -163        | -210    | -210      |
| 2263 Adjustments: Terminations for default that result in claim payments ..... | -2          | -3      | -3        |
| 2290 Outstanding, end of year .....  | 263         | 325     | 412       |
| Memorandum:  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....      | 128         | 165     | 210       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 72-4266-0-3-151                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....  | 37          | 50          |
| 1206 Non-Federal assets: Receivables, net .....         | 9           | 17          |
| 1999 Total assets .....                                 | 46          | 67          |
| <b>LIABILITIES:</b>                                     |             |             |
| Non-Federal liabilities:                                |             |             |
| 2204 Liabilities for loan guarantees .....              | 30          | 59          |
| 2207 Other Liabilities .....                            | 16          | 8           |
| 2999 Total liabilities .....                            | 46          | 67          |
| 4999 Total Liabilities and Net Position [72-1264] ..... | 46          | 67          |

**DEVELOPMENT CREDIT AUTHORITY DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 72-4492-0-3-151                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 10          | 10      |           |
| 0900 Total new obligations .....  | 10          | 10      |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 7           | 7       |           |
| 1440 Borrowing authority, mandatory (total) .....                         | 7           | 7       |           |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  | 3           | 4       |           |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 3           | 4       |           |
| 1900 Financing authority (total) .....                                    | 10          | 11      |           |
| 1930 Total budgetary resources available .....                            | 10          | 11      |           |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     |             |         | 1         |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                       | 10          | 10      |           |
| 3020 Financing disbursements (gross) .....                                | -10         | -10     |           |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Discretionary:  |             |         |           |
| 4020 Financing disbursements, gross .....                                 | 10          | 10      |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 10          | 11      |           |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources - DCA Subsidy .....                                  | -3          | -3      |           |
| 4123 Non-Federal sources .....  | -1          | -1      |           |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -3          | -4      |           |
| 4160 Financing authority, net (mandatory) .....                           | 7           | 7       |           |
| 4170 Financing disbursements, net (mandatory) .....                       | -3          | -4      |           |
| 4180 Financing authority, net (total) .....                               | 7           | 7       |           |
| 4190 Financing disbursements, net (total) .....                           | 7           | 6       |           |

**Status of Direct Loans** (in millions of dollars)

| Identification code 72-4492-0-3-151                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 10          | 10      | 10        |

|   |     |    |    |
|---|-----|----|----|
| 1142 Unobligated direct loan limitation (-) .....   | -10 |    |    |
| 1150 Total direct loan obligations .....            | 10  | 10 |    |
| Cumulative balance of direct loans outstanding:     |     |    |    |
| 1210 Outstanding, start of year .....               |     |    | 10 |
| 1231 Disbursements: Direct loan disbursements ..... | 10  | 10 |    |
| 1251 Repayments: Repayments and prepayments .....   |     |    | -1 |
| 1290 Outstanding, end of year .....                 | 10  | 19 |    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans committed in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 72-4103-0-3-151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Liquidating Fund Payments to VEF .....   | 11          | 10      | 10        |
| 0900 Total new obligations (object class 41.0) .....  | 11          | 10      | 10        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 64          | 50      |           |
| 1022 Capital transfer of unobligated balances to general fund .....                           | -64         | -50     |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 417         | 365     | 322       |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -356        | -355    | -312      |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 61          | 10      | 10        |
| 1930 Total budgetary resources available .....  | 61          | 10      | 10        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 50          |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 11          | 10      | 10        |
| 3020 Outlays (gross) .....  | -11         | -10     | -10       |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 61          | 10      | 10        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 11          | 10      | 10        |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources .....  | -34         | -25     |           |
| 4123 Non-Federal sources .....  | -301        | -268    | -261      |
| 4123 Non-Federal sources .....  | -82         | -72     | -61       |
| 4130 Offsets against gross budget authority and outlays (total) ....                          | -417        | -365    | -322      |
| 4160 Budget authority, net (mandatory) .....  | -356        | -355    | -312      |
| 4170 Outlays, net (mandatory) .....   | -406        | -355    | -312      |
| 4180 Budget authority, net (total) .....  | -356        | -355    | -312      |
| 4190 Outlays, net (total) .....   | -406        | -355    | -312      |

**Status of Direct Loans** (in millions of dollars)

| Identification code 72-4103-0-3-151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....   | 3,240       | 2,738   | 2,442     |
| 1251 Repayments: Repayments and prepayments .....   | -301        | -268    | -261      |
| Write-offs for default:   |             |         |           |
| 1264 Other adjustments — purchase of debt by debt reduction finance account (72-4137) ..... | -34         | -25     |           |
| 1264 Other adjustments .....  | -167        | -3      |           |
| 1290 Outstanding, end of year .....   | 2,738       | 2,442   | 2,181     |

## ECONOMIC ASSISTANCE LOANS LIQUIDATING ACCOUNT—Continued

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated prior to 1992. This account consolidates direct loan activity from legacy credit programs funded under various accounts, including the Economic Support Fund, Functional Development Assistance Program, and the Development Loan Fund. All new activity in this program (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in the appropriate program accounts and financing accounts.

## Balance Sheet (in millions of dollars)

| Identification code 72-4103-0-3-151                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 63          | 50          |
| 1601 Direct loans, gross .....  | 3,240       | 2,738       |
| 1602 Interest receivable .....  | 360         | 377         |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -618        | -600        |
| 1699 Value of assets related to direct loans .....                      | 2,982       | 2,515       |
| 1999 Total assets .....   | 3,045       | 2,565       |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 3,045       | 2,565       |
| 4999 Total liabilities and net position .....                           | 3,045       | 2,565       |

## Trust Funds

## FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 72-8342-0-7-602                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                   |             |         |           |
| Receipts:   |             |         |           |
| 0240 Foreign Service National Separation Liability Trust Fund ..... | 3           | 3       | 3         |
| 0400 Total: Balances and collections .....                          | 3           | 3       | 3         |
| Appropriations:   |             |         |           |
| 0500 Foreign Service National Separation Liability Trust Fund ..... | -3          | -3      | -3        |
| 0799 Balance, end of year .....                                     |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 72-8342-0-7-602                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 8           | 3       | 3         |
| 0900 Total new obligations (object class 13.0) .....  | 8           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 6           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 3           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....          | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 9           | 4       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 28 | 35 | 35 |
| 3010 Obligations incurred, unexpired accounts .....   | 8  | 3  | 3  |
| 3020 Outlays (gross) .....                            | -1 | -3 | -3 |
| 3050 Unpaid obligations, end of year .....            | 35 | 35 | 35 |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           | 28 | 35 | 35 |
| 3200 Obligated balance, end of year .....             | 35 | 35 | 35 |

## Budget authority and outlays, net:

|  |   |   |   |
|--|---|---|---|
| Mandatory:                                 |   |   |   |
| 4090 Budget authority, gross .....         | 3 | 3 | 3 |
| Outlays, gross:                            |   |   |   |
| 4101 Outlays from mandatory balances ..... | 1 | 3 | 3 |
| 4180 Budget authority, net (total) .....   | 3 | 3 | 3 |
| 4190 Outlays, net (total) .....            | 1 | 3 | 3 |

This Fund is maintained to pay separation costs for Foreign Service National employees of the U.S. Agency for International Development in those countries in which such pay is legally required. The Fund, as authorized by Public Law 102-138, is maintained by annual Government contributions which are appropriated in several Agency accounts.

## MISCELLANEOUS TRUST FUNDS, AID

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 72-9971-0-7-151                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                    |             |         | 50        |
| Receipts:  |             |         |           |
| 0220 Gifts and Donations, Agency for International Development ..... | 122         | 100     | 100       |
| 0400 Total: Balances and collections .....                           | 122         | 100     | 150       |
| Appropriations:  |             |         |           |
| 0500 Miscellaneous Trust Funds, AID .....                            | -122        | -50     | -50       |
| 0799 Balance, end of year .....                                      |             | 50      | 100       |

## Program and Financing (in millions of dollars)

| Identification code 72-9971-0-7-151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 81          | 50      | 50        |
| 0900 Total new obligations (object class 41.0) .....               | 81          | 50      | 50        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 24          | 68      | 68        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           |         |           |
| 1050 Unobligated balance (total) .....                             | 25          | 68      | 68        |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 122         | 50      | 50        |
| 1260 Appropriations, mandatory (total) .....                       | 122         | 50      | 50        |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 2           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2           |         |           |
| 1900 Budget authority (total) .....                                | 124         | 50      | 50        |
| 1930 Total budgetary resources available .....                     | 149         | 118     | 118       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 68          | 68      | 68        |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 85  | 102 | 112 |
| 3010 Obligations incurred, unexpired accounts .....               | 81  | 50  | 50  |
| 3020 Outlays (gross) .....  | -63 | -40 | -30 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 102 | 112 | 132 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 85  | 102 | 112 |
| 3200 Obligated balance, end of year .....                         | 102 | 112 | 132 |

## Budget authority and outlays, net:

|   |     |    |    |
|---|-----|----|----|
| Discretionary:                                      |     |    |    |
| Offsets against gross budget authority and outlays: |     |    |    |
| Offsetting collections (collected) from:            |     |    |    |
| Mandatory:  |     |    |    |
| 4090 Budget authority, gross .....                  | 124 | 50 | 50 |
| Outlays, gross:                                     |     |    |    |
| 4100 Outlays from new mandatory authority .....     | 1   | 10 | 10 |
| 4101 Outlays from mandatory balances .....          | 62  | 30 | 20 |
| 4110 Outlays, gross (total) .....                   | 63  | 40 | 30 |



|   |                                     |     |    |
|---|-------------------------------------|-----|----|
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |                                     |     |    |
| 4123  | Non-Federal sources .....           | -2  |    |
| 4180  | Budget authority, net (total) ..... | 122 | 50 |
| 4190  | Outlays, net (total) .....          | 61  | 40 |

The Miscellaneous Trust Funds account includes gifts and donations that the U.S. Agency for International Development (USAID) receives from other governments, non-governmental organizations, or private citizens. USAID has authority to spend these gifts and donations for development purposes under Section 635(d) of the Foreign Assistance Act.

## OVERSEAS PRIVATE INVESTMENT CORPORATION

### Federal Funds

#### OVERSEAS PRIVATE INVESTMENT CORPORATION

#### NONCREDIT ACCOUNT

*The Overseas Private Investment Corporation is authorized to make, without regard to fiscal year limitations, as provided by 31 U.S.C. 9104, such expenditures and commitments within the limits of funds available to it and in accordance with law as may be necessary: Provided, That the amount available for administrative expenses to carry out the credit and insurance programs (including an amount for official reception and representation expenses shall not exceed \$35,000) shall not exceed \$71,800,000: Provided further, That project-specific transaction costs, including direct and indirect costs incurred in claims settlements, and other direct costs associated with services provided to specific investors or potential investors pursuant to section 234 of the Foreign Assistance Act of 1961, shall not be considered administrative expenses for the purposes of this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|  |  |             |         |           |
|--|--|-------------|---------|-----------|
| Identification code 71-4184-0-3-151                            |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                        |  |             |         |           |
| 0001   | Non credit administrative expenses .....                                   | 22          | 22      | 29        |
| 0003   | Credit administrative expenses .....                                       | 33          | 33      | 43        |
| 0005   | Insurance claims and provisions .....                                      | 3           | 3       | 3         |
| 0006   | Investment Encouragement and Special Activities .....                      | 1           | 1       | 1         |
| 0008   | Project and non-project specific working capital .....                     | 2           | 4       | 6         |
| 0009   | Transfers from USAID and State Department .....                            | 2           |         |           |
| 0900   | Total new obligations .....  | 63          | 63      | 82        |
| <b>Budgetary Resources:</b>                                    |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 4,838       | 4,991   | 5,095     |
| 1011   | Unobligated balance transfer from other accts [72-1037] ....               | 1           |         |           |
| 1011   | Unobligated balance transfer from other accts [72-1021] ....               | 1           |         |           |
| 1012   | Unobligated balance transfers between expired and unexpired accounts ..... | 12          |         |           |
| 1021   | Recoveries of prior year unpaid obligations .....                          | 1           |         |           |
| 1050   | Unobligated balance (total) .....  | 4,853       | 4,991   | 5,095     |
| Budget authority:  |  |             |         |           |
| Spending authority from offsetting collections, discretionary: |  |             |         |           |
| 1700   | Collected .....  | 112         | 126     | 161       |
| 1701   | Change in uncollected payments, Federal sources .....                      | 7           | -5      | -5        |
| 1710   | Transferred to other accounts [71-0100] .....                              | -58         | -58     | -74       |
| 1750   | Spending auth from offsetting collections, disc (total) .....              | 61          | 63      | 82        |
| Spending authority from offsetting collections, mandatory:     |  |             |         |           |
| 1800   | Collected .....  | 140         | 104     | 61        |
| 1850   | Spending auth from offsetting collections, mand (total) .....              | 140         | 104     | 61        |
| 1900   | Budget authority (total) .....   | 201         | 167     | 143       |
| 1930   | Total budgetary resources available .....                                  | 5,054       | 5,158   | 5,238     |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                           | 4,991       | 5,095   | 5,156     |

#### Change in obligated balance:

|                               |  |     |     |
|-------------------------------|--|-----|-----|
| Unpaid obligations:           |  |     |     |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 285 | 289 |
| 3010                          | Obligations incurred, unexpired accounts .....               | 63  | 63  |
| 3020                          | Outlays (gross) .....  | -58 | -63 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -1  |     |
| 3050                          | Unpaid obligations, end of year .....                        | 289 | 289 |
| Uncollected payments:         |  |     |     |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -37 | -44 |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | -7  | 5   |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -44 | -39 |
| Memorandum (non-add) entries: |  |     |     |
| 3100                          | Obligated balance, start of year .....                       | 248 | 245 |
| 3200                          | Obligated balance, end of year .....                         | 245 | 250 |

#### Budget authority and outlays, net:

|   |   |      |      |
|---|---|------|------|
| Discretionary:  |   |      |      |
| 4000  | Budget authority, gross .....                                   | 61   | 63   |
| Outlays, gross:   |   |      |      |
| 4010  | Outlays from new discretionary authority .....                  | 44   | 63   |
| 4011  | Outlays from discretionary balances .....                       | 14   |      |
| 4020  | Outlays, gross (total) .....                                    | 58   | 63   |
| Offsets against gross budget authority and outlays:     |   |      |      |
| Offsetting collections (collected) from:                |   |      |      |
| 4030  | Federal sources - credit administrative expenses .....          | -33  | -33  |
| 4031  | Interest on Federal securities .....                            | -160 | -158 |
| 4033  | Non-Federal sources .....                                       | -59  | -39  |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -252 | -230 |
| Additional offsets against gross budget authority only: |   |      |      |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -7   | 5    |
| 4070  | Budget authority, net (discretionary) .....                     | -198 | -162 |
| 4080  | Outlays, net (discretionary) .....                              | -194 | -167 |
| Mandatory:  |   |      |      |
| 4090  | Budget authority, gross .....                                   | 140  | 104  |
| 4180  | Budget authority, net (total) .....                             | -58  | -58  |
| 4190  | Outlays, net (total) .....                                      | -194 | -167 |

#### Memorandum (non-add) entries:

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 5000 | Total investments, SOY: Federal securities: Par value ..... | 5,111 | 5,242 | 5,319 |
| 5001 | Total investments, EOY: Federal securities: Par value ..... | 5,242 | 5,319 | 5,353 |

The Overseas Private Investment Corporation encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its primary noncredit program is political risk insurance against losses due to expropriation, convertibility, and damage due to political violence.

Balances in this account are reserves held for potential claims and are not expected to be obligated.

#### INSURANCE PROGRAM ACTIVITY

(in millions of dollars)

|   | 2011 Actual | 2012 Actual | 2013 Projected | 2014 Projected |
|---|-------------|-------------|----------------|----------------|
| Aggregate insurance outstanding, start of year .....      | \$4,078     | \$4,652     | \$6,167        | \$6,867        |
| Aggregate insurance issued during year .....              | 728         | \$1,760     | 800            | 800            |
| Aggregate insurance reductions and cancellations .....    | -154        | -245        | -100           | -100           |
| Aggregate insurance outstanding, end of year .....        | \$4,652     | \$6,167     | \$6,867        | \$7,567        |
| Net growth/(decline) of portfolio .....                   | 574         | 1,515       | 700            | 700            |
| Net growth rate of insurance portfolio (in percent) ..... | 14.1%       | 32.6%       | 11.4%          | 10.2%          |

#### STATUS OF INSURANCE AUTHORITY

(in millions of dollars)

|   | 2011 Actual | 2012 Actual | 2013 Projected | 2014 Projected |
|---|-------------|-------------|----------------|----------------|
| Statutory authority limitation <sup>1</sup> .....         | \$29,000    | \$29,000    | \$29,000       | \$29,000       |
| Maximum contingent liability, end of year .....           | 2,595       | 3,134       | 2,600          | 2,600          |
| Estimated potential exposure to claims, end of year ..... | 1,662       | 2,354       | 1,600          | 1,600          |

<sup>1</sup> This is a combined insurance and finance limitation. OPIC will monitor issuance and runoff to stay within the limitation.

OVERSEAS PRIVATE INVESTMENT CORPORATION—Continued  
Status of Funds (in millions of dollars)

| Identification code 71-4184-0-3-151                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:                                   |             |         |           |
| 0100 Balance, start of year .....                                    | 5,088       | 5,237   | 5,346     |
| 0199 Total balance, start of year .....                              | 5,088       | 5,237   | 5,346     |
| Cash income during the year:   |             |         |           |
| Current law:   |             |         |           |
| Offsetting collections:  |             |         |           |
| 1280 Overseas Private Investment Corporation Noncredit Account ..... | 33          | 33      | 43        |
| 1281 Overseas Private Investment Corporation Noncredit Account ..... | 59          | 39      | 46        |
| 1282 Overseas Private Investment Corporation Noncredit Account ..... | 160         | 158     | 133       |
| 1299 Income under present law .....                                  | 252         | 230     | 222       |
| 3299 Total cash income .....   | 252         | 230     | 222       |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 Overseas Private Investment Corporation Noncredit Account ..... | -58         | -63     | -85       |
| 4599 Outgo under current law (-) .....                               | -58         | -63     | -85       |
| 6599 Total cash outgo (-) .....                                      | -58         | -63     | -85       |
| 7645 Overseas Private Investment Corporation Noncredit Account ..... | -58         | -58     | -74       |
| 7645 Overseas Private Investment Corporation Noncredit Account ..... | 1           |         |           |
| 7645 Overseas Private Investment Corporation Noncredit Account ..... | 12          |         |           |
| 7645 Overseas Private Investment Corporation Noncredit Account ..... | 1           |         |           |
| 7699 Total adjustments .....   | -45         | -58     | -74       |
| Unexpended balance, end of year:                                     |             |         |           |
| 8700 Uninvested balance (net), end of year .....                     | -5          | 27      | 56        |
| 8701 Overseas Private Investment Corporation Noncredit Account ..... | 5,242       | 5,319   | 5,353     |
| 8799 Total balance, end of year .....                                | 5,237       | 5,346   | 5,409     |

## Object Classification (in millions of dollars)

| Identification code 71-4184-0-3-151                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 26          | 27      | 36        |
| 12.1 Civilian personnel benefits .....                 | 7           | 8       | 9         |
| 23.2 Rental payments to others .....                   | 7           | 8       | 9         |
| 25.2 Other services from non-Federal sources .....     | 12          | 11      | 15        |
| 25.2 Other services (working capital) .....            | 7           | 4       | 6         |
| 26.0 Supplies and materials .....                      | 2           | 1       | 2         |
| 31.0 Equipment .....                                   | 1           | 1       | 2         |
| 41.0 Grants, subsidies, and contributions .....        | 1           | 3       | 3         |
| 99.9 Total new obligations .....                       | 63          | 63      | 82        |

## Employment Summary

| Identification code 71-4184-0-3-151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 220         | 235     | 280       |

## PROGRAM ACCOUNT

*For the cost of direct and guaranteed loans, \$31,000,000, as authorized by section 234 of the Foreign Assistance Act of 1961, to be derived by transfer from the Overseas Private Investment Corporation Noncredit Account: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That such sums shall be available for direct loan obligations and loan guaranty commitments incurred or made during fiscal years 2014, 2015, and 2016: Provided further, That funds so obligated in fiscal year 2014 remain available for disbursement through 2022; funds obligated in fiscal year 2015 remain available for disbursement through 2023; and funds obligated in fiscal year 2016 remain available for disbursement through 2024: Provided further, That notwithstanding any other provision of law, the Overseas Private Investment Corporation is authorized to undertake any program authorized by title IV of chapter 2 of part I of the Foreign Assistance Act of 1961 in Iraq: Provided further, That funds made available pursuant to the authority of the previous*

*proviso shall be subject to the regular notification procedures of the Committees on Appropriations.*

*In addition, such sums as may be necessary for administrative expenses to carry out the credit program may be derived from amounts available for administrative expenses to carry out the credit and insurance programs in the Overseas Private Investment Corporation Noncredit Account and merged with said account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 71-0100-0-1-151   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0701 Direct loan subsidy .....  | 12          | 20      | 20        |
| 0702 Loan guarantee subsidy .....   | 10          | 5       | 11        |
| 0705 Reestimates of direct loan subsidy .....                                   | 18          | 45      |           |
| 0706 Interest on reestimates of direct loan subsidy .....                       | 15          | 39      |           |
| 0707 Reestimates of loan guarantee subsidy .....                                | 66          | 98      |           |
| 0708 Interest on reestimates of loan guarantee subsidy .....                    | 19          | 35      |           |
| 0709 Administrative expenses .....  | 33          | 33      | 43        |
| 0900 Total new obligations .....  | 173         | 275     | 74        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 18          | 23      | 25        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                 | 18          | 23      |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 3           | 3       | 3         |
| 1050 Unobligated balance (total) .....  | 21          | 26      | 28        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation - Direct and guaranteed loan upward subsidy reestimate ..... | 118         | 216     |           |
| 1260 Appropriations, mandatory (total) .....                                    | 118         | 216     |           |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1711 Transferred from other accounts [71-4184] .....                            | 58          | 58      | 74        |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 58          | 58      | 74        |
| 1900 Budget authority (total) .....   | 176         | 274     | 74        |
| 1930 Total budgetary resources available .....                                  | 197         | 300     | 102       |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 23          | 25      | 28        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 69          | 70      | 70        |
| 3010 Obligations incurred, unexpired accounts .....                             | 173         | 275     | 74        |
| 3020 Outlays (gross) .....  | -163        | -272    | -69       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -3          | -3      | -3        |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -6          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 70          | 70      | 72        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 69          | 70      | 70        |
| 3200 Obligated balance, end of year .....                                       | 70          | 70      | 72        |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 58          | 58      | 74        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 33          | 37      | 48        |
| 4011 Outlays from discretionary balances .....                                  | 12          | 19      | 21        |
| 4020 Outlays, gross (total) .....   | 45          | 56      | 69        |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 118         | 216     |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                                 | 118         | 216     |           |
| 4180 Budget authority, net (total) .....  | 176         | 274     | 74        |
| 4190 Outlays, net (total) .....   | 163         | 272     | 69        |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 71-0100-0-1-151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:     |             |         |           |
| 115001 OPIC Direct Loans .....                                  | 422         | 750     | 1,200     |
| 115999 Total direct loan levels .....                           | 422         | 750     | 1,200     |
| Direct loan subsidy (in percent):                               |             |         |           |
| 132001 OPIC Direct Loans .....                                  | -1.64       | -3.10   | -4.28     |
| 132999 Weighted average subsidy rate .....                      | -1.64       | -3.10   | -4.28     |
| Direct loan subsidy budget authority:                           |             |         |           |
| 133001 OPIC Direct Loans .....                                  | -5          | -23     | -51       |
| 133999 Total subsidy budget authority .....                     | -5          | -23     | -51       |
| Direct loan subsidy outlays:                                    |             |         |           |
| 134001 OPIC Direct Loans .....                                  |             | -6      | -15       |
| 134999 Total subsidy outlays .....                              |             | -6      | -15       |
| Direct loan upward reestimates:                                 |             |         |           |
| 135001 OPIC Direct Loans .....                                  | 33          | 77      | .....     |
| 135003 NIS Direct Loans .....                                   |             | 6       | .....     |
| 135999 Total upward reestimate budget authority .....           | 33          | 83      | .....     |
| Direct loan downward reestimates:                               |             |         |           |
| 137001 OPIC Direct Loans .....                                  | -42         | -51     | .....     |
| 137999 Total downward reestimate budget authority .....         | -42         | -51     | .....     |
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 OPIC Loan Guarantees .....                               | 2,234       | 1,800   | 3,000     |
| 215002 OPIC Investment Funds .....                              | 288         | 400     | 700       |
| 215003 NIS — Guaranteed Loans .....                             | 14          | .....   | .....     |
| 215005 Limited Arbitral Award Coverage .....                    | 300         | .....   | .....     |
| 215999 Total loan guarantee levels .....                        | 2,836       | 2,200   | 3,700     |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 OPIC Loan Guarantees .....                               | -10.90      | -5.45   | -6.02     |
| 232002 OPIC Investment Funds .....                              | -0.60       | -8.44   | -8.95     |
| 232003 NIS — Guaranteed Loans .....                             | 7.25        | .....   | .....     |
| 232005 Limited Arbitral Award Coverage .....                    | -2.13       | .....   | .....     |
| 232999 Weighted average subsidy rate .....                      | -8.84       | -5.99   | -6.57     |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 OPIC Loan Guarantees .....                               | -243        | -98     | -181      |
| 233002 OPIC Investment Funds .....                              | -2          | -34     | -62       |
| 233003 NIS — Guaranteed Loans .....                             | 1           | .....   | .....     |
| 233005 Limited Arbitral Award Coverage .....                    | -6          | .....   | .....     |
| 233999 Total subsidy budget authority .....                     | -250        | -132    | -243      |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 OPIC Loan Guarantees .....                               | -106        | -53     | -68       |
| 234002 OPIC Investment Funds .....                              | -9          | -18     | -27       |
| 234003 NIS — Guaranteed Loans .....                             | 1           | .....   | .....     |
| 234999 Total subsidy outlays .....                              | -114        | -71     | -95       |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 OPIC Loan Guarantees .....                               | 85          | 132     | .....     |
| 235003 NIS — Guaranteed Loans .....                             |             | 1       | .....     |
| 235999 Total upward reestimate budget authority .....           | 85          | 133     | .....     |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 OPIC Loan Guarantees .....                               | -56         | -233    | .....     |
| 237003 NIS — Guaranteed Loans .....                             |             | -22     | .....     |
| 237999 Total downward reestimate subsidy budget authority ..... | -56         | -255    | .....     |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 33          | 33      | 36        |
| 3590 Outlays from new authority .....                           | 33          | 33      | 36        |

The Overseas Private Investment Corporation encourages the participation of United States private sector capital and skills in the economic and social development of developing countries and emerging market economies. Its credit program is investment financing through loans and guaranteed loans.

As required by the Federal Credit Reform Act of 1990, the Program Account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

## Object Classification (in millions of dollars)

| Identification code 71-0100-0-1-151             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 25.2 Other services (contracts) .....           | 33          | 33      | 43        |
| 41.0 Grants, subsidies, and contributions ..... | 140         | 242     | 31        |
| 99.9 Total new obligations .....                | 173         | 275     | 74        |

OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING  
ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 71-4074-0-3-151                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| 0003 Working Capital costs .....  | 4           | 4       | 4         |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 422         | 750     | 1,200     |
| 0713 Recoveries of prior year unpaid obligations .....                    | 73          | 73      | 73        |
| 0740 Payment of interest to Treasury .....                                | 17          | 43      | 73        |
| 0742 Negative subsidy obligations .....                                   | 30          | 34      | .....     |
| 0742 Downward reestimate paid to receipt account .....                    | 13          | 18      | .....     |
| 0743 Interest on downward reestimates .....                               |             |         |           |
| 0791 Direct program activities, subtotal .....                            | 555         | 918     | 1,346     |
| 0900 Total new obligations .....  | 559         | 922     | 1,350     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 225         | 402     | 745       |
| 1021 Recoveries of prior year unpaid obligations .....                    | 489         | 200     | 200       |
| 1023 Unobligated balances applied to repay debt .....                     | -5          | -5      | -5        |
| 1024 Unobligated balance of borrowing authority withdrawn .....           | -480        | -100    | -100      |
| 1050 Unobligated balance (total) .....                                    | 229         | 497     | 840       |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 430         | 750     | 1,246     |
| 1440 Borrowing authority, mandatory (total) .....                         | 430         | 750     | 1,246     |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  | 306         | 424     | 446       |
| 1801 Change in uncollected payments, Federal sources .....                | -4          | -4      | -4        |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 302         | 420     | 442       |
| 1900 Financing authority (total) .....                                    | 732         | 1,170   | 1,688     |
| 1930 Total budgetary resources available .....                            | 961         | 1,667   | 2,528     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 402         | 745     | 1,178     |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 2,211       | 1,891   | 1,872     |
| 3010 Obligations incurred, unexpired accounts .....                       | 559         | 922     | 1,350     |
| 3020 Financing disbursements (gross) .....                                | -390        | -741    | -1,092    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....         | -489        | -200    | -200      |
| 3050 Unpaid obligations, end of year .....                                | 1,891       | 1,872   | 1,930     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | -49         | -45     | -41       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | 4           | 4       | 4         |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -45         | -41     | -37       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 2,162       | 1,846   | 1,831     |
| 3200 Obligated balance, end of year .....                                 | 1,846       | 1,831   | 1,893     |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 732         | 1,170   | 1,688     |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 390         | 741     | 1,092     |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources, Credit Reform subsidy .....                         | -41         | -97     | -15       |
| 4122 Interest on uninvested funds .....                                   | -17         | -14     | -14       |
| 4123 Repayments of Principal .....  | -248        | -146    | -232      |
| 4123 Interest received on loans .....                                     |             | -167    | -185      |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -306        | -424    | -446      |

OVERSEAS PRIVATE INVESTMENT CORPORATION DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 71-4074-0-3-151                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Additional offsets against financing authority only (total):           |             |         |           |
| Change in uncollected pymts, Fed sources, unexpired .....              | 4           | 4       | 4         |
| 4140   |             |         |           |
| Financing authority, net (mandatory) .....                             | 430         | 750     | 1,246     |
| 4170 Financing disbursements, net (mandatory) .....                    | 84          | 317     | 646       |
| 4180 Financing authority, net (total) .....                            | 430         | 750     | 1,246     |
| 4190 Financing disbursements, net (total) .....                        | 84          | 317     | 646       |
| <b>Status of Direct Loans</b> (in millions of dollars)                 |             |         |           |
| Identification code 71-4074-0-3-151                                    | 2012 actual | 2013 CR | 2014 est. |
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 422         | 750     | 1,200     |
| 1150 Total direct loan obligations .....                               | 422         | 750     | 1,200     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 1,488       | 1,453   | 1,961     |
| 1231 Disbursements: Direct loan disbursements .....                    | 134         | 658     | 1,042     |
| 1251 Repayments: Repayments and prepayments .....                      | -165        | -146    | -232      |
| 1263 Write-offs for default: Direct loans .....                        | -4          | -4      | -5        |
| 1290 Outstanding, end of year .....                                    | 1,453       | 1,961   | 2,766     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 71-4074-0-3-151                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 230         | 230         |
| 1206 Non-Federal assets: Receivables, net .....                   | 2           | 2           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 1,488       | 1,453       |
| 1402 Interest receivable .....                                    | 39          | 39          |
| 1405 Allowance for subsidy cost (-) .....                         | -140        | -140        |
| 1499 Net present value of assets related to direct loans .....    | 1,387       | 1,352       |
| 1999 Total assets .....   | 1,619       | 1,584       |
| LIABILITIES:  |             |             |
| 2103 Federal liabilities: Debt .....                              | 1,564       | 1,548       |
| NET POSITION:   |             |             |
| 3300 Cumulative results of operations .....                       | 55          | 36          |
| 4999 Total liabilities and net position .....                     | 1,619       | 1,584       |

**Budgetary Resources:**

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 355 | 531 | 453 |
| 1021 Recoveries of prior year unpaid obligations .....             | 15  | 15  | 20  |
| 1023 Unobligated balances applied to repay debt .....              | -8  | -10 | -10 |
| 1024 Unobligated balance of borrowing authority withdrawn .....    | -15 | -15 | -15 |
| 1050 Unobligated balance (total) .....                             | 347 | 521 | 448 |
| Financing authority:   |     |     |     |
| Borrowing authority, mandatory:                                    |     |     |     |
| 1400 Borrowing authority .....                                     | 304 | 137 | 254 |
| 1440 Borrowing authority, mandatory (total) .....                  | 304 | 137 | 254 |
| Spending authority from offsetting collections, mandatory:         |     |     |     |
| 1800 Collected .....   | 239 | 285 | 282 |
| 1801 Change in uncollected payments, Federal sources .....         | 5   | 5   | 5   |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 244 | 290 | 287 |
| 1900 Financing authority (total) .....                             | 548 | 427 | 541 |
| 1930 Total budgetary resources available .....                     | 895 | 948 | 989 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 531 | 453 | 656 |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 235  | 363  | 428  |
| 3010 Obligations incurred, unexpired accounts .....               | 364  | 495  | 333  |
| 3020 Financing disbursements (gross) .....                        | -221 | -415 | -163 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -15  | -15  | -20  |
| 3050 Unpaid obligations, end of year .....                        | 363  | 428  | 578  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -19  | -24  | -29  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -5   | -5   | -5   |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -24  | -29  | -34  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 216  | 339  | 399  |
| 3200 Obligated balance, end of year .....                         | 339  | 399  | 544  |

**Financing authority and disbursements, net:**

|   |      |      |      |
|---|------|------|------|
| Mandatory:  |      |      |      |
| 4090 Financing authority, gross .....                                     | 548  | 427  | 541  |
| Financing disbursements:  |      |      |      |
| 4110 Financing disbursements, gross .....                                 | 221  | 415  | 163  |
| Offsets against gross financing authority and disbursements:              |      |      |      |
| Offsetting collections (collected) from:                                  |      |      |      |
| 4120 Federal sources: Payments from program account .....                 | -89  | -142 | -12  |
| 4122 Interest on uninvested funds .....                                   | -19  | -1   | -1   |
| 4123 Claim recoveries .....   | -131 | -51  | -52  |
| 4123 Fees .....   |      | -91  | -217 |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -239 | -285 | -282 |
| Additional offsets against financing authority only (total):              |      |      |      |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -5   | -5   | -5   |
| 4160 Financing authority, net (mandatory) .....                           | 304  | 137  | 254  |
| 4170 Financing disbursements, net (mandatory) .....                       | -18  | 130  | -119 |
| 4180 Financing authority, net (total) .....                               | 304  | 137  | 254  |
| 4190 Financing disbursements, net (total) .....                           | -18  | 130  | -119 |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 71-4075-0-3-151  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:           |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....                    | 2,548       | 1,800   | 3,000     |
| 2131 Guaranteed loan commitments exempt from limitation .....                    | 288         | 400     | 700       |
| 2150 Total guaranteed loan commitments .....                                     | 2,548       | 1,800   | 3,000     |
| 2150 Total guaranteed loan commitments .....                                     | 288         | 400     | 700       |
| 2199 Guaranteed amount of guaranteed loan commitments .....                      | 2,836       | 1,800   | 3,000     |
| 2199 Guaranteed amount of guaranteed loan commitments .....                      | 400         | 700     | 700       |
| Cumulative balance of guaranteed loans outstanding:                              |             |         |           |
| 2210 Outstanding, start of year .....  | 5,815       | 6,361   | 9,186     |
| 2231 Disbursements of new guaranteed loans .....                                 | 1,314       | 3,375   | 4,018     |
| 2251 Repayments and prepayments .....  | -745        | -471    | -690      |
| 2261 Adjustments: Terminations for default that result in loans receivable ..... | -23         | -79     | -55       |
| 2290 Outstanding, end of year .....  | 6,361       | 9,186   | 12,459    |

OVERSEAS PRIVATE INVESTMENT CORPORATION GUARANTEED LOAN FINANCING  
ACCOUNT

**Program and Financing** (in millions of dollars)

| Identification code 71-4075-0-3-151                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                       |             |         |           |
| 0003 Working Capital Costs .....                       | 7           | 6       | 6         |
| Credit program obligations:                            |             |         |           |
| 0711 Default claim payments on principal .....         | 23          | 79      | 55        |
| 0713 Payment of interest to Treasury .....             | 18          | 18      | 18        |
| 0740 Negative subsidy obligations .....                | 260         | 137     | 254       |
| 0742 Downward reestimate paid to receipt account ..... | 27          | 125     |           |
| 0743 Interest on downward reestimates .....            | 29          | 130     |           |
| 0791 Direct program activities, subtotal .....         | 357         | 489     | 327       |
| 0900 Total new obligations .....                       | 364         | 495     | 333       |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| Memorandum:   |  |       |       |       |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 6,284 | 7,031 | 7,647 |
| Addendum:   |  |       |       |       |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |       |       |       |
| 2310  | Outstanding, start of year .....                                     | 162   | 150   | 173   |
| 2331  | Disbursements for guaranteed loan claims .....                       | 23    | 83    | 77    |
| 2351  | Repayments of loans receivable .....                                 | -26   | -40   | -40   |
| 2361  | Write-offs of loans receivable .....                                 | -9    | -20   | -10   |
| 2390  | Outstanding, end of year .....                                       | 150   | 173   | 200   |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 71-4075-0-3-151  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....   | 347         | 519         |
| 1501 Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: Defaulted guaranteed loans receivable, gross ..... | 162         | 150         |
| 1999 Total assets .....  | 509         | 669         |
| <b>LIABILITIES:</b>  |             |             |
| 2103 Federal liabilities: Debt .....   | 264         | 428         |
| <b>Non-Federal liabilities:</b>  |             |             |
| 2204 Liabilities for loan guarantees .....   | 178         | 176         |
| 2207 Other .....   | 8           | 8           |
| 2999 Total liabilities .....   | 450         | 612         |
| <b>NET POSITION:</b>   |             |             |
| 3300 Cumulative results of operations .....  | 59          | 57          |
| 4999 Total liabilities and net position .....  | 509         | 669         |

**OVERSEAS PRIVATE INVESTMENT CORPORATION LIQUIDATING ACCOUNT**

As required by the Federal Credit Reform Act of 1990, this account records, for this program, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year) is recorded in corresponding program, financing, and noncredit accounts.

**TRADE AND DEVELOPMENT AGENCY****Federal Funds****TRADE AND DEVELOPMENT AGENCY**

*For necessary expenses to carry out the provisions of section 661 of the Foreign Assistance Act of 1961, \$62,662,000, to remain available until September 30, 2014: Provided, That, of the funds appropriated under this heading, not more than \$6,000 may be available for representation and entertainment allowances.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 11-1001-0-1-151  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                    |             |         |           |
| 0001 Feasibility studies, technical assistance, and other activities ..... | 57          | 37      | 49        |

|      |                             |    |    |
|------|-----------------------------|----|----|
| 0002 | Operating expenses .....    | 13 | 14 |
| 0900 | Total new obligations ..... | 57 | 63 |

**Budgetary Resources:**

|                                       |  |    |    |
|---------------------------------------|--|----|----|
| <b>Unobligated balance:</b>           |  |    |    |
| 1000                                  | Unobligated balance brought forward, Oct 1 .....                           | 4  | 7  |
| 1011                                  | Unobligated balance transfer from other accts [72-1037] .....              | 4  |    |
| 1011                                  | Unobligated balance transfer from other accts [72-0306] .....              | 2  |    |
| 1012                                  | Unobligated balance transfers between expired and unexpired accounts ..... | 3  |    |
| 1021                                  | Recoveries of prior year unpaid obligations .....                          | 1  | 2  |
| 1050                                  | Unobligated balance (total) .....  | 14 | 9  |
| <b>Budget authority:</b>              |  |    |    |
| <b>Appropriations, discretionary:</b> |  |    |    |
| 1100                                  | Appropriation .....  | 50 | 50 |
| 1160                                  | Appropriation, discretionary (total) .....                                 | 50 | 50 |
| 1930                                  | Total budgetary resources available .....                                  | 64 | 59 |
| <b>Memorandum (non-add) entries:</b>  |  |    |    |
| 1941                                  | Unexpired unobligated balance, end of year .....                           | 7  | 9  |

**Change in obligated balance:**

|                                      |  |     |     |
|--------------------------------------|--|-----|-----|
| <b>Unpaid obligations:</b>           |  |     |     |
| 3000                                 | Unpaid obligations, brought forward, Oct 1 .....             | 99  | 94  |
| 3010                                 | Obligations incurred, unexpired accounts .....               | 57  | 50  |
| 3020                                 | Outlays (gross) .....  | -55 | -56 |
| 3040                                 | Recoveries of prior year unpaid obligations, unexpired ..... | -1  | -2  |
| 3041                                 | Recoveries of prior year unpaid obligations, expired .....   | -6  |     |
| 3050                                 | Unpaid obligations, end of year .....                        | 94  | 86  |
| <b>Memorandum (non-add) entries:</b> |  |     |     |
| 3100                                 | Obligated balance, start of year .....                       | 99  | 94  |
| 3200                                 | Obligated balance, end of year .....                         | 94  | 86  |

**Budget authority and outlays, net:**

|                        |  |    |    |
|------------------------|--|----|----|
| <b>Discretionary:</b>  |  |    |    |
| 4000                   | Budget authority, gross .....                  | 50 | 50 |
| <b>Outlays, gross:</b> |  |    |    |
| 4010                   | Outlays from new discretionary authority ..... | 11 | 18 |
| 4011                   | Outlays from discretionary balances .....      | 44 | 38 |
| 4020                   | Outlays, gross (total) .....                   | 55 | 56 |
| 4180                   | Budget authority, net (total) .....            | 50 | 50 |
| 4190                   | Outlays, net (total) .....                     | 55 | 56 |

The U.S. Trade and Development Agency (USTDA) helps companies create U.S. jobs through export of U.S. goods and services for priority development projects in emerging economies. USTDA links U.S. businesses to export opportunities by funding project planning activities, pilot projects, and reverse trade missions. USTDA will continue to support the promotion of U.S. exports for projects in priority sectors such as energy, transportation, telecommunications, and water and environment.

**Object Classification** (in millions of dollars)

| Identification code 11-1001-0-1-151 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| <b>Direct obligations:</b>          |   |         |           |
| <b>Personnel compensation:</b>      |   |         |           |
| 11.1                                | Full-time permanent .....                           | 4       | 5         |
| 11.3                                | Other than full-time permanent .....                | 1       | 1         |
| 11.9                                | Total personnel compensation .....                  | 5       | 6         |
| 12.1                                | Civilian personnel benefits .....                   | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                        | 2       | 2         |
| 25.1                                | Advisory and assistance services .....              | 3       | 2         |
| 25.3                                | Other goods and services from Federal sources ..... | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....          | 45      | 38        |
| 99.9                                | Total new obligations .....                         | 57      | 50        |

**Employment Summary**

| Identification code 11-1001-0-1-151 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 45      | 50        |

**PEACE CORPS****Federal Funds****PEACE CORPS**

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses to carry out the provisions of the Peace Corps Act (22 U.S.C. 2501–2523), including the purchase of not to exceed five passenger motor vehicles for administrative purposes for use outside of the United States, \$378,800,000, of which \$5,000,000 is for the Office of Inspector General, to remain available until September 30, 2015: Provided, That the Director of the Peace Corps may transfer to the Foreign Currency Fluctuations Account, as authorized by 22 U.S.C. 2515, an amount not to exceed \$5,000,000: Provided further, That funds transferred pursuant to the previous proviso may not be derived from amounts made available for Peace Corps overseas operations: Provided further, That of the funds appropriated under this heading, not to exceed \$4,000 may be made available for entertainment expenses: Provided further, That none of the funds appropriated under this heading shall be used to pay for abortions: Provided further, That notwithstanding the previous proviso, section 614 of division C of Public Law 112–74 shall apply to funds appropriated under this heading.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 11–0100–0–1–151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Peace Corps .....   | 373         | 411     | 427       |
| 0002 Peace Corps OIG .....   | 4           | 5       | 5         |
| 0799 Total direct obligations .....                                | 377         | 416     | 432       |
| 0801 Reimbursable program activity .....                           | 9           | 9       | 9         |
| 0900 Total new obligations .....                                   | 386         | 425     | 441       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 47          | 58      | 36        |
| 1010 Unobligated balance transfer to other accts [11–0101] .....   | –4          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 8           | 8       | 8         |
| 1050 Unobligated balance (total) .....                             | 51          | 66      | 44        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 375         | 377     | 379       |
| 1160 Appropriation, discretionary (total) .....                    | 375         | 377     | 379       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 9           | 9       | 9         |
| 1701 Change in uncollected payments, Federal sources .....         | 9           | 9       | 9         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 18          | 18      | 18        |
| 1900 Budget authority (total) .....                                | 393         | 395     | 397       |
| 1930 Total budgetary resources available .....                     | 444         | 461     | 441       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 58          | 36      |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 71          | 68      | 87        |
| 3010 Obligations incurred, unexpired accounts .....                | 386         | 425     | 441       |
| 3020 Outlays (gross) .....   | –380        | –397    | –396      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –8          | –8      | –8        |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –1          | –1      | –1        |
| 3050 Unpaid obligations, end of year .....                         | 68          | 87      | 123       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –1          | –10     | –19       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –9          | –9      | –9        |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –10         | –19     | –28       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 70          | 58      | 68        |
| 3200 Obligated balance, end of year .....                          | 58          | 68      | 95        |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                                   | 393 | 395 | 397 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....                  | 268 | 277 | 278 |
| 4011 Outlays from discretionary balances .....                       | 112 | 120 | 118 |
| 4020 Outlays, gross (total) .....                                    | 380 | 397 | 396 |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Policy Program [Text] .....                                     | –5  | –5  | –5  |
| 4033 Policy Program [Text] .....                                     | –4  | –4  | –4  |
| 4040 Offsets against gross budget authority and outlays (total) .... | –9  | –9  | –9  |
| Additional offsets against gross budget authority only:              |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –9  | –9  | –9  |
| 4070 Budget authority, net (discretionary) .....                     | 375 | 377 | 379 |
| 4080 Outlays, net (discretionary) .....                              | 371 | 388 | 387 |
| 4180 Budget authority, net (total) .....                             | 375 | 377 | 379 |
| 4190 Outlays, net (total) .....                                      | 371 | 388 | 387 |

The Peace Corps will provide direct and indirect support to Americans serving as Volunteers in approximately 70 countries worldwide in 2014, including the necessary safety and security provisions for Volunteers, trainees, and staff. The 2014 budget supports recruitment, screening, and placement of Peace Corps trainees and sustains new and existing Volunteers to have approximately 7,300 Americans enrolled in the Peace Corps by the end of 2014. The Volunteers help fill the trained manpower needs of developing countries and encourage self-sustaining development of skilled manpower. The Peace Corps also promotes mutual understanding between the peoples of the developing world and the United States and focuses the attention of the American people on the benefits of community service. Peace Corps Volunteers work primarily in the areas of agriculture, business development, education, environment, health and HIV/AIDS, and youth.

The Peace Corps Office of Inspector General provides independent oversight in accordance with the Inspector General Act of 1978, as amended. Through audits, evaluations and investigations the office prevents and detects waste, fraud, abuse and mismanagement; provides advice and assistance to agency management; and promotes efficiency, effectiveness and economy in agency programs and operations.

**Object Classification** (in millions of dollars)

| Identification code 11–0100–0–1–151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 75          | 82      | 85        |
| 11.3 Other than full-time permanent .....                       | 6           | 7       | 7         |
| 11.5 Other personnel compensation .....                         | 1           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 82          | 91      | 94        |
| 12.1 Civilian personnel benefits .....                          | 97          | 106     | 111       |
| 21.0 Travel and transportation of persons .....                 | 32          | 32      | 32        |
| 22.0 Transportation of things .....                             | 2           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 8           | 9       | 10        |
| 23.2 Rental payments to others .....                            | 14          | 15      | 16        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 8           | 9       | 9         |
| 25.1 Advisory and assistance services .....                     | 6           | 9       | 9         |
| 25.2 Other services from non-Federal sources .....              | 70          | 74      | 76        |
| 25.3 Other goods and services from Federal sources .....        | 9           | 12      | 12        |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.6 Medical care .....   | 25          | 28      | 30        |
| 25.7 Operation and maintenance of equipment .....               | 3           | 3       | 3         |
| 26.0 Supplies and materials .....                               | 10          | 12      | 13        |
| 31.0 Equipment .....  | 9           | 11      | 12        |
| 32.0 Land and structures .....                                  | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 377         | 416     | 432       |
| 99.0 Reimbursable obligations .....                             | 9           | 9       | 9         |
| 99.9 Total new obligations .....                                | 386         | 425     | 441       |

**Employment Summary**

| Identification code 11-0100-0-1-151                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,042       | 1,042   | 1,042     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3           | 3       | 3         |

**FOREIGN CURRENCY FLUCTUATIONS****Program and Financing** (in millions of dollars)

| Identification code 11-0101-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 1           | 5       | 5         |
| 1011 Unobligated balance transfer from other accts [11-0100] .... | 4           |         |           |
| 1050 Unobligated balance (total) .....                            | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....                    | 5           | 5       | 5         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 5           | 5       | 5         |

This account transfers funds to the operating expense account for the Peace Corps to finance upward adjustments of recorded obligations because of foreign currency fluctuations. Transfers are made as needed to meet disbursement requirements in excess of funds otherwise available for obligation adjustment. Net gains resulting from favorable exchange rates are returned to this account and are available for subsequent transfer when needed. The account is replenished through the utilization of a special transfer authority that allows the Peace Corps to withdraw unobligated balances from the operating expenses account from prior years as long as the authorized limit of \$5 million is not exceeded at the time of the transfer.

**HOST COUNTRY RESIDENT CONTRACTORS SEPARATION LIABILITY FUND****Program and Financing** (in millions of dollars)

| Identification code 11-5395-0-2-151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 4           | 2       | 2         |
| 0900 Total new obligations (object class 25.2) .....               | 4           | 2       | 2         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 18          | 22      | 22        |
| Budget authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 8           | 2       | 2         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 8           | 2       | 2         |
| 1930 Total budgetary resources available .....                     | 26          | 24      | 24        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 22          | 22      | 22        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 4           | 2       | 2         |
| 3020 Outlays (gross) .....   | -4          | -2      | -2        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 8           | 2       | 2         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 3           | 2       | 2         |
| 4101 Outlays from mandatory balances .....                         | 1           |         |           |
| 4110 Outlays, gross (total) .....                                  | 4           | 2       | 2         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -8          | -2      | -2        |
| 4190 Outlays, net (total) .....                                    | -4          |         |           |

This fund is maintained to pay separation costs for Host Country Resident Personal Services Contractors of the Peace Corps in those countries in which such pay is legally authorized. The fund will be maintained by annual government contributions which are appropriated in the Peace Corps' operating account.

**Object Classification** (in millions of dollars)

| Identification code 11-5395-0-2-151 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 4           | 2       | 2         |

**Trust Funds****PEACE CORPS MISCELLANEOUS TRUST FUND****Program and Financing** (in millions of dollars)

| Identification code 11-9972-0-7-151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0881 Reimbursable program activity .....                           | 4           | 2       | 2         |
| 0900 Total new obligations (object class 25.2) .....               | 4           | 2       | 2         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 8           | 7       | 7         |
| Budget authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 3           | 2       | 2         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 3           | 2       | 2         |
| 1930 Total budgetary resources available .....                     | 11          | 9       | 9         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 7           | 7       | 7         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 4           | 2       | 2         |
| 3020 Outlays (gross) .....   | -4          | -2      | -2        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 3           | 2       | 2         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 2           | 2       | 2         |
| 4101 Outlays from mandatory balances .....                         | 2           |         |           |
| 4110 Outlays, gross (total) .....                                  | 4           | 2       | 2         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -3          | -2      | -2        |
| 4190 Outlays, net (total) .....                                    | 1           |         |           |

Miscellaneous contributions received by gift, devise, bequest, or from foreign governments are used for the furtherance of the program, as authorized by 22 U.S.C. 2509(a)(4) (75 Stat. 612, as amended). Trust funds also include a fund to pay separation costs for Foreign Service National employees of the Peace Corps in those countries in which such pay is legally authorized. The fund, as authorized by Section 151 of Public Law 102-138, is maintained by annual Government contributions which are appropriated in the Peace Corps salaries and expenses account.

**Object Classification** (in millions of dollars)

| Identification code 11-9972-0-7-151 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 4           | 2       | 2         |

**INTER-AMERICAN FOUNDATION****Federal Funds****INTER-AMERICAN FOUNDATION**

*For necessary expenses to carry out the functions of the Inter-American Foundation in accordance with the provisions of section 401 of the Foreign Assistance Act of 1969, \$18,100,000, to remain available until September 30, 2015: Provided, That of the funds appropriated under this heading, not to exceed \$2,000 may be available for entertainment and representation allowances.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 11-3100-0-1-151                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Development grants .....                                      | 10          | 11      | 6         |
| 0002 Evaluations and other activities .....                        | 4           | 5       | 5         |
| 0004 Program management and operations .....                       | 9           | 9       | 9         |
| 0799 Total direct obligations .....                                | 23          | 25      | 20        |
| 0801 Development Grants (SPTF) .....                               | 8           | 4       | 6         |
| 0900 Total new obligations .....                                   | 31          | 29      | 26        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 8           | 5       | 6         |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | 1       | 1         |
| 1050 Unobligated balance (total) .....                             | 9           | 6       | 7         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 23          | 23      | 18        |
| 1160 Appropriation, discretionary (total) .....                    | 23          | 23      | 18        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 4           | 6       | 6         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4           | 6       | 6         |
| 1900 Budget authority (total) .....                                | 27          | 29      | 24        |
| 1930 Total budgetary resources available .....                     | 36          | 35      | 31        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 5           | 6       | 5         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 28          | 29      | 29        |
| 3010 Obligations incurred, unexpired accounts .....                | 31          | 29      | 26        |
| 3020 Outlays (gross) .....   | -29         | -28     | -27       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -1          | -1      | -1        |
| 3050 Unpaid obligations, end of year .....                         | 29          | 29      | 27        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 28          | 29      | 29        |
| 3200 Obligated balance, end of year .....                          | 29          | 29      | 27        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 27          | 29      | 24        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 12          | 13      | 11        |
| 4011 Outlays from discretionary balances .....                     | 17          | 15      | 16        |
| 4020 Outlays, gross (total) .....                                  | 29          | 28      | 27        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | -4          | -6      | -6        |
| 4180 Budget authority, net (total) .....                           | 23          | 23      | 18        |
| 4190 Outlays, net (total) .....                                    | 25          | 22      | 21        |

The Inter-American Foundation's (IAF) mission is to promote and invest in grassroots development in Latin America and the Caribbean to help communities thrive. It funds self-help ideas and priorities articulated by poor communities in the region. Grantee partners invest their own resources and mobilize contributions from others. This approach is cost-efficient and results

in effective, community-led development that is consistent with U.S. foreign policy goals in the region: to expand access to economic opportunities, enhance social inclusion, build citizen engagement in democratic processes at the grassroots, and strengthen resilience to crime and violence. The IAF has specialized expertise in citizen-led development, an extensive network with Latin American civil society organizations, and a robust evaluation system that complement the assets of other U.S. government agencies. It uses these tools in collaborating and sharing lessons in development with public and private sector partners.

**Development Grants:** IAF works in 21 countries in Latin America and the Caribbean and has a portfolio of approximately 270 active projects. It funds a variety of activities, including agriculture and food production, enterprise development, education and training, corporate social investment, cultural expression, environmental stewardship, health programs and legal assistance. Advancing the inclusion of women, children and youth, indigenous peoples and African descendants in economic and civic life is of high priority to the IAF. In 2014, the IAF plans to award approximately 100 new and supplemental grants.

**Leveraging of Resources:** Grantee partners invest their own resources and mobilize contributions from others in the local public and private sector; on average over the last five years, counterpart commitments have represented \$131,000 for every \$100,000 invested by the IAF. U.S. based migrant associations are also supporting the IAF-funded grassroots development projects in their home communities. In addition, members of ReDEAmerica, an IAF-initiated business sector alliance, have committed to match IAF grant funds for self-help projects at a three-to-one ratio. The IAF also manages resources from other public or private sources to fund its development grant program.

**Evaluations and Other Activities:** The IAF tracks and independently verifies the progress of its investments at six month intervals using a distinctive evaluation system known as the Grassroots Development framework (GDF). Grants are audited annually by an independent audit firm. The IAF also convenes learning exchanges among grantee partners and conducts end-of-project assessments and a five-year ex-post assessment of a sample of projects. The IAF also supports field research on relevant development topics by Ph.D. candidates in U.S. universities. These investments contribute to the cadre of specialists in the field and add to the growing body of knowledge about grassroots development.

**Object Classification** (in millions of dollars)

| Identification code 11-3100-0-1-151                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 4           | 4       | 4         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                     | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 5           | 6       | 6         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 31.0 Equipment .....                                     | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 10          | 11      | 6         |
| 99.0 Direct obligations .....                            | 23          | 25      | 20        |
| 99.0 Reimbursable obligations .....                      | 8           | 4       | 6         |
| 99.9 Total new obligations .....                         | 31          | 29      | 26        |

**Employment Summary**

| Identification code 11-3100-0-1-151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 42          | 45      | 45        |



**AFRICAN DEVELOPMENT FOUNDATION****Federal Funds****AFRICAN DEVELOPMENT FOUNDATION**

*For necessary expenses to carry out title V of the International Security and Development Cooperation Act of 1980 (Public Law 96-533), \$24,000,000, to remain available until September 30, 2015: Provided, That funds made available to grantees may be invested pending expenditure for project purposes when authorized by the Board of Directors of the Foundation: Provided further, That interest earned shall be used only for the purposes for which the grant was made: Provided further, That notwithstanding section 505(a)(2) of the African Development Foundation Act, in exceptional circumstances the Board of Directors of the Foundation may waive the \$250,000 limitation contained in that section with respect to a project and a project may exceed the limitation by up to 10 percent if the increase is due solely to foreign currency fluctuation: Provided further, That the Foundation shall provide a report to the Committees on Appropriations after each time such waiver authority is exercised: Provided further, That section 503(a) of the African Development Foundation Act (Public Law 96-533; 22 U.S.C. 290h-1(a)) is hereby amended by inserting "United States" before "African Development": Provided further, That the African Development Foundation may rent or lease in Africa for periods less than ten years such offices, buildings, grounds, and quarters as may be necessary to carry out its functions, and make payments therefor in advance from appropriations available for such purpose: Provided further, That the African Development Foundation may maintain bank accounts outside the United States Treasury and retain any interest earned on such accounts, in furtherance of the purposes of the African Development Foundation Act, provided that the Foundation may not withdraw any appropriations from the Treasury prior to the need for spending such funds for program purposes.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 11-0700-0-1-151                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Administrative expenses .....                                | 31          | 10      | 9         |
| 0002 Development grants .....                                     |             | 19      | 14        |
| 0004 Other program costs .....                                    |             | 1       | 1         |
| 0900 Total new obligations .....                                  | 31          | 30      | 24        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 4           | 3       | 4         |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           | 1       | 1         |
| 1050 Unobligated balance (total) .....                            | 5           | 4       | 5         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 30          | 30      | 24        |
| 1160 Appropriation, discretionary (total) .....                   | 30          | 30      | 24        |
| 1900 Budget authority (total) .....                               | 30          | 30      | 24        |
| 1930 Total budgetary resources available .....                    | 35          | 34      | 29        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1940 Unobligated balance expiring .....                           | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 3           | 4       | 5         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 24          | 27      | 26        |
| 3010 Obligations incurred, unexpired accounts .....               | 31          | 30      | 24        |
| 3011 Obligations incurred, expired accounts .....                 | 4           |         |           |
| 3020 Outlays (gross) .....  | -29         | -30     | -25       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          | -1      | -1        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 27          | 26      | 24        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 24          | 27      | 26        |
| 3200 Obligated balance, end of year .....                         | 27          | 26      | 24        |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 30 | 30 | 24 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 13 | 14 | 11 |
| 4011 Outlays from discretionary balances .....      | 16 | 16 | 14 |
| 4020 Outlays, gross (total) .....                   | 29 | 30 | 25 |
| 4180 Budget authority, net (total) .....            | 30 | 30 | 24 |
| 4190 Outlays, net (total) .....                     | 29 | 30 | 25 |

The African Development Foundation (ADF), is a public corporation and an independent agency of the U.S. Government established to support African-designed and African-driven initiatives to address grassroots economic and social problems and promote sustainable development. ADF provides grants of up to \$250,000 directly to community groups, agricultural cooperatives, and small enterprises that operate in under-served communities in conflict and post-conflict areas across Africa. Grant activities typically focus on food production and associated activities that improve food security and generate new economic opportunities. Each grant helps organizations create and sustain jobs, improve income levels, and address other social needs. ADF also provides grants to African NGOs to establish sustainable in-country development expertise and to provide technical assistance to project grantees.

ADF leverages additional matching program funds through strategic partnerships with several African governments and with other donor entities. In FY 2014, ADF will be expanding these outreach efforts. Leveraged funds and a lower-cost operating model help make ADF an efficient foreign assistance provider to Africa.

In FY 2014, funding for ADF programs will provide resources to establish new grants in 23 African countries and resources to monitor and maintain an active portfolio of 400 grants. ADF programs support three important strategic goals:

1) ADF programs support important security interests across Africa, such as the Sahel corridor (including Mali, Mauritania, and Niger, among others) and the Horn of Africa (including Somalia).

2) ADF programs support the Administration's development priorities that include Feed the Future and the Young African Leaders Initiative.

3) ADF programs are results and evidence based.

**Object Classification** (in millions of dollars)

| Identification code 11-0700-0-1-151                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 3           | 3       | 2         |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 4           | 4       | 3         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                     | 1           | 1       | 1         |
| 25.1 Other administrative costs .....                    | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.2 Program non-development grants .....                | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 41.0 Development grants .....                            | 21          | 20      | 15        |
| 99.9 Total new obligations .....                         | 31          | 30      | 24        |

**Employment Summary**

| Identification code 11-0700-0-1-151                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 29          | 34      | 34        |

**Trust Funds**

## GIFTS AND DONATIONS, AFRICAN DEVELOPMENT FOUNDATION

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 11–8239–0–7–151                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                              | 3           | .....   | .....     |
| Adjustments:   |             |         |           |
| 0190 Adjustment - rounding issue over several years. ....      | –3          | .....   | .....     |
| 0199 Balance, start of year .....                              | .....       | .....   | .....     |
| Receipts:  |             |         |           |
| 0220 Gifts and Donations, African Development Foundation ..... | 2           | 2       | 2         |
| 0400 Total: Balances and collections .....                     | 2           | 2       | 2         |
| Appropriations:  |             |         |           |
| 0500 Gifts and Donations, African Development Foundation ..... | –2          | –2      | –2        |
| 0799 Balance, end of year .....                                | .....       | .....   | .....     |

**Program and Financing** (in millions of dollars)

| Identification code 11–8239–0–7–151                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Project Grants .....                            | 3           | 2       | 2         |
| 0900 Total new obligations (object class 41.0) ..... | 3           | 2       | 2         |

**Budgetary Resources:**  
Unobligated balance:

|  |   |   |   |
|--|---|---|---|
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2 | 2 | 4 |
| 1021 Recoveries of prior year unpaid obligations ..... | 1 | 2 | 2 |
| 1050 Unobligated balance (total) .....                 | 3 | 4 | 6 |
| Budget authority:                                      |   |   |   |
| Appropriations, mandatory:                             |   |   |   |
| 1201 Appropriation (special or trust fund) .....       | 2 | 2 | 2 |
| 1260 Appropriations, mandatory (total) .....           | 2 | 2 | 2 |
| 1930 Total budgetary resources available .....         | 5 | 6 | 8 |
| Memorandum (non-add) entries:                          |   |   |   |
| 1941 Unexpired unobligated balance, end of year .....  | 2 | 4 | 6 |

**Change in obligated balance:**

## Unpaid obligations:

|   |    |    |       |
|---|----|----|-------|
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 4  | 3  | 1     |
| 3010 Obligations incurred, unexpired accounts .....               | 3  | 2  | 2     |
| 3020 Outlays (gross) .....  | –3 | –2 | –1    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1 | –2 | –2    |
| 3050 Unpaid obligations, end of year .....                        | 3  | 1  | ..... |
| Memorandum (non-add) entries:                                     |    |    |       |
| 3100 Obligated balance, start of year .....                       | 4  | 3  | 1     |
| 3200 Obligated balance, end of year .....                         | 3  | 1  | ..... |

**Budget authority and outlays, net:**

## Mandatory:

|   |       |   |       |
|---|-------|---|-------|
| 4090 Budget authority, gross .....              | 2     | 2 | 2     |
| Outlays, gross:                                 |       |   |       |
| 4100 Outlays from new mandatory authority ..... | ..... | 1 | 1     |
| 4101 Outlays from mandatory balances .....      | 3     | 1 | ..... |
| 4110 Outlays, gross (total) .....               | 3     | 2 | 1     |
| 4180 Budget authority, net (total) .....        | 2     | 2 | 2     |
| 4190 Outlays, net (total) .....                 | 3     | 2 | 1     |

**INTERNATIONAL MONETARY PROGRAMS****Federal Funds**

## UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND

**Program and Financing** (in millions of dollars)

| Identification code 11–0003–0–1–155                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Change in valuation .....                       | 1,592       | .....   | .....     |
| 0900 Total new obligations (object class 33.0) ..... | 1,592       | .....   | .....     |

**Budgetary Resources:**

## Unobligated balance:

|  |        |        |        |
|--|--------|--------|--------|
| 1000 Unobligated balance brought forward, Oct 1 .....              | 22,266 | 21,921 | 21,921 |
| 1021 Recoveries of prior year unpaid obligations .....             | 1,627  | .....  | .....  |
| 1026 Adjustment for dollar equivalent .....                        | –1,749 | .....  | .....  |
| 1050 Unobligated balance (total) .....                             | 22,144 | 21,921 | 21,921 |
| Budget authority:  |        |        |        |
| Spending authority from offsetting collections, mandatory:         |        |        |        |
| 1800 Collected .....   | 1,369  | .....  | .....  |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 1,369  | .....  | .....  |
| 1930 Total budgetary resources available .....                     | 23,513 | 21,921 | 21,921 |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 21,921 | 21,921 | 21,921 |

**Change in obligated balance:**

## Unpaid obligations:

|   |        |        |        |
|---|--------|--------|--------|
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 37,198 | 35,571 | 35,571 |
| 3010 Obligations incurred, unexpired accounts .....               | 1,592  | .....  | .....  |
| 3020 Outlays (gross) .....  | –1,592 | .....  | .....  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1,627 | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                        | 35,571 | 35,571 | 35,571 |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 37,198 | 35,571 | 35,571 |
| 3200 Obligated balance, end of year .....                         | 35,571 | 35,571 | 35,571 |

**Budget authority and outlays, net:**

## Mandatory:

|   |        |       |       |
|---|--------|-------|-------|
| 4090 Budget authority, gross .....                  | 1,369  | ..... | ..... |
| Outlays, gross:                                     |        |       |       |
| 4100 Outlays from new mandatory authority .....     | 1,369  | ..... | ..... |
| 4101 Outlays from mandatory balances .....          | 223    | ..... | ..... |
| 4110 Outlays, gross (total) .....                   | 1,592  | ..... | ..... |
| Offsets against gross budget authority and outlays: |        |       |       |
| Offsetting collections (collected) from:            |        |       |       |
| 4123 Non-Federal sources .....                      | –1,369 | ..... | ..... |
| 4190 Outlays, net (total) .....                     | 223    | ..... | ..... |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Outlays .....                               | 223         | .....   | .....     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Outlays .....                               | .....       | –1,995  | .....     |
| Legislative proposal, subject to PAYGO:     |             |         |           |
| Budget Authority .....                      | .....       | 63,032  | .....     |
| Total:                                      |             |         |           |
| Budget Authority .....                      | .....       | 63,032  | .....     |
| Outlays .....                               | 223         | –1,995  | .....     |

The United States participates in the International Monetary Fund (IMF) through a quota subscription, denominated in Special Drawing Rights (SDRs). The total U.S. quota in the IMF is presently SDR 42,122,400,000 (about \$64.7 billion as of December 28, 2012). Quotas are the main metric used by the Fund to assign voting shares and to determine countries' contributions to the IMF's general resources and access to IMF financing.

The use of the U.S. quota by the IMF under this account constitutes an exchange of monetary assets and does not result in net budget outlays. When the United States transfers dollars or other reserve assets to the IMF under the U.S. quota subscription, the United States receives an equal, offsetting, and interest-

ADF has the authority to accept contributions from any legitimate source, such as foreign governments, private businesses, non-governmental organizations, international donors, and other strategic partners committed to promoting grassroots-based economic growth and development in Africa. These funds are used in coordination with appropriated amounts to further expand the reach and impact of ADF's programs.

bearing claim on the IMF, which is reflected as an increase in U.S. international monetary reserves. The U.S. reserve position in the IMF is readily available to meet a U.S. balance-of-payments financing need.

In 2010, G-20 Leaders and the IMF membership decided on a set of quota and governance reforms designed to enhance IMF effectiveness. The United States successfully achieved its negotiating priorities during this process: (1) a U.S. quota increase with a corresponding equivalent rollback in U.S. participation in the IMF's New Arrangements to Borrow (NAB) for no change in overall U.S. financial participation in the IMF; and (2) preservation of U.S. veto power in the IMF.

To implement the terms of the 2010 agreement, the Administration is seeking to increase the U.S. quota by SDR 40,871,800,000 (approximately \$63 billion as of December 28, 2012) and simultaneously reduce U.S. participation in the NAB by an equal amount. Under the Administration proposal, which has an assumed enactment date in fiscal year 2013, the increases to the quota and NAB provided in the 2009 Supplemental Appropriations Act would be restated to reflect the pre-2009 agreement on budgetary treatment for the IMF and would be consolidated respectively into this account and the "Loans to International Monetary Fund" account. The Administration also seeks authorization for the United States to accept an amendment to the IMF Articles of Agreement that will facilitate changes in the composition of the IMF Executive Board while preserving the U.S. seat on the Board. The required authorization requests, including for mandatory funding for the quota increase and NAB rollback, will be submitted separately.

UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND  
(Legislative proposal, not subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 11–0003–2–1–155                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                |             |         | 1,995     |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   |             | 1,995   |           |
| 1850 Spending auth from offsetting collections, mand (total) .....   |             | 1,995   |           |
| 1930 Total budgetary resources available .....                       |             | 1,995   | 1,995     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                |             | 1,995   | 1,995     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                |             |         | 5,755     |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... |             | 5,755   |           |
| 3050 Unpaid obligations, end of year .....                           |             | 5,755   | 5,755     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          |             | 5,755   | 5,755     |
| 3200 Obligated balance, end of year .....                            |             | 5,755   | 5,755     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   |             | 1,995   |           |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   |             | –1,995  |           |
| 4190 Outlays, net (total) .....                                      |             | –1,995  |           |

The table above shows the restatement and consolidation into this account of the 2009 appropriation to reflect the pre-2009

agreement on budgetary treatment for the IMF quota included in the Budget proposal described above.

UNITED STATES QUOTA, INTERNATIONAL MONETARY FUND  
(Legislative proposal, subject to PAYGO)

Program and Financing (in millions of dollars)

| Identification code 11–0003–4–1–155                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0003 December 2010 Agreement .....                    |             | 47,275  |           |
| 0900 Total new obligations (object class 33.0) .....  |             | 47,275  |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             |         | 15,757    |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             | 63,032  |           |
| 1260 Appropriations, mandatory (total) .....          |             | 63,032  |           |
| 1900 Budget authority (total) .....                   |             | 63,032  |           |
| 1930 Total budgetary resources available .....        |             | 63,032  | 15,757    |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             | 15,757  | 15,757    |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 47,275    |
| 3010 Obligations incurred, unexpired accounts .....   |             | 47,275  |           |
| 3050 Unpaid obligations, end of year .....            |             | 47,275  | 47,275    |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 47,275    |
| 3200 Obligated balance, end of year .....             |             | 47,275  | 47,275    |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             | 63,032  |           |
| 4180 Budget authority, net (total) .....              |             | 63,032  |           |

The table above shows the Budget proposal described above to increase the IMF quota (which will be accompanied by a simultaneous and equivalent reduction in the New Arrangements to Borrow).

UNITED STATES QUOTA IMF DIRECT LOAN PROGRAM ACCOUNT

Program and Financing (in millions of dollars)

| Identification code 11–0006–0–1–155                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| Credit program obligations:                            |             |         |           |
| 0705 Reestimates of direct loan subsidy .....          | 17          | 24      |           |
| 0900 Total new obligations (object class 41.0) .....   | 17          | 24      |           |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  |             | 7       |           |
| 1021 Recoveries of prior year unpaid obligations ..... | 7           |         |           |
| 1029 Other balances withdrawn .....                    |             | –7      |           |
| 1050 Unobligated balance (total) .....                 | 7           |         |           |
| Budget authority:                                      |             |         |           |
| Appropriations, mandatory:                             |             |         |           |
| 1200 Appropriation .....                               | 17          | 24      |           |
| 1260 Appropriations, mandatory (total) .....           | 17          | 24      |           |
| 1900 Budget authority (total) .....                    | 17          | 24      |           |
| 1930 Total budgetary resources available .....         | 24          | 24      |           |
| Memorandum (non-add) entries:                          |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 7           |         |           |
| <b>Change in obligated balance:</b>                    |             |         |           |
| Unpaid obligations:                                    |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 141         | 134     | 134       |
| 3010 Obligations incurred, unexpired accounts .....    | 17          | 24      |           |
| 3020 Outlays (gross) .....                             | –17         | –24     |           |

UNITED STATES QUOTA IMF DIRECT LOAN PROGRAM ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 11–0006–0–1–155                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –7          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 134         | 134     | 134       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 141         | 134     | 134       |
| 3200 Obligated balance, end of year .....                         | 134         | 134     | 134       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 17          | 24      | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 17          | 24      | .....     |
| 4180 Budget authority, net (total) .....                          | 17          | 24      | .....     |
| 4190 Outlays, net (total) .....                                   | 17          | 24      | .....     |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 17          | 24      | .....     |
| Outlays .....                               | 17          | 24      | .....     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      | .....       | –24     | .....     |
| Outlays .....                               | .....       | –24     | .....     |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 17          | .....   | .....     |
| Outlays .....                               | 17          | .....   | .....     |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 11–0006–0–1–155                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan upward reestimates:                       |             |         |           |
| 135001 Quota .....                                    | 17          | 24      | .....     |
| 135999 Total upward reestimate budget authority ..... | 17          | 24      | .....     |

The Supplemental Appropriations Act of 2009 (Public Law 111–32), enacted June 24, 2009, provided authorization and appropriations for an increase in the U.S. quota to the IMF by the dollar equivalent of SDR 4,973,100,000 (about \$7.6 billion as of December 28, 2012). This increase in the U.S. quota entered into effect on March 25, 2011.

For quota resources authorized by the Supplemental Appropriations Act of 2009, just as with the quota resources appropriated to the IMF prior to 2009, when the United States transfers dollars or other reserve assets to the IMF under the U.S. quota subscription, the United States receives an equal, offsetting, and interest-bearing claim on the IMF, which is reflected as an increase in U.S. international monetary reserves.

While the U.S. quota in the IMF is not a credit program, the Supplemental Appropriations Act of 2009 (Public Law 111–32) directed that the 2009 appropriation to increase the U.S. quota in the IMF be scored on a credit reform basis, per the Federal Credit Reform Act of 1990, as amended (FCRA), with an additional adjustment to the discount rate for market risk. The application of FCRA by operation of law to the 2009 quota appropriation was a significant change in the budgetary treatment of the U.S. quota to the IMF and does not apply to appropriations for the U.S. quota to the IMF prior to 2009.

As directed by the Supplemental Appropriations Act of 2009, this account records the subsidy costs associated with the increase in the U.S. quota to the IMF on a FCRA basis, including an adjustment to the discount rate for market risk.

For additional information, including about the Budget proposal, see the account entitled "United States Quota, International Monetary Fund".

UNITED STATES QUOTA IMF DIRECT LOAN PROGRAM ACCOUNT  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 11–0006–2–1–155                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| Credit program obligations:                          |             |         |           |
| 0705 Reestimates of direct loan subsidy .....        | .....       | –24     | .....     |
| 0900 Total new obligations (object class 41.0) ..... | .....       | –24     | .....     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | .....       | –24     | .....     |
| 1260 Appropriations, mandatory (total) .....         | .....       | –24     | .....     |
| 1930 Total budgetary resources available .....       | .....       | –24     | .....     |

## Change in obligated balance:

|  |       |       |       |
|--|-------|-------|-------|
| Unpaid obligations:  |       |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | ..... | ..... | –134  |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | ..... | –134  | ..... |
| 3010 Obligations incurred, unexpired accounts .....                  | ..... | –24   | ..... |
| 3020 Outlays (gross) .....   | ..... | 24    | ..... |
| 3050 Unpaid obligations, end of year .....                           | ..... | –134  | –134  |
| Memorandum (non-add) entries:  |       |       |       |
| 3100 Obligated balance, start of year .....                          | ..... | –134  | –134  |
| 3200 Obligated balance, end of year .....                            | ..... | –134  | –134  |

## Budget authority and outlays, net:

|   |       |     |       |
|---|-------|-----|-------|
| Mandatory:                                      |       |     |       |
| 4090 Budget authority, gross .....              | ..... | –24 | ..... |
| Outlays, gross:                                 |       |     |       |
| 4100 Outlays from new mandatory authority ..... | ..... | –24 | ..... |
| 4180 Budget authority, net (total) .....        | ..... | –24 | ..... |
| 4190 Outlays, net (total) .....                 | ..... | –24 | ..... |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 11–0006–2–1–155                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan upward reestimates:                       |             |         |           |
| 135001 Quota .....                                    | .....       | –24     | .....     |
| 135999 Total upward reestimate budget authority ..... | .....       | –24     | .....     |

The table above shows the restatement of the 2009 appropriation that is consolidated into the "United States Quota, International Monetary Fund" account to reflect the pre-2009 agreement on budgetary treatment for the IMF included in the Budget proposal described under that account.

## UNITED STATES IMF QUOTA, DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 11–4383–0–3–155                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0713 Payment of interest to Treasury .....                      | 1           | 2       | 1         |
| 0900 Total new obligations .....                                | 1           | 2       | 1         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | .....       | 23      | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | .....       | –23     | .....     |
| 1021 Recoveries of prior year unpaid obligations .....          | 297         | .....   | .....     |
| 1024 Unobligated balance of borrowing authority withdrawn ..... | –287        | .....   | .....     |

|      |   |    |   |   |
|------|---|----|---|---|
| 1050 | Unobligated balance (total) .....                             | 10 |   |   |
|      | Financing authority:  |    |   |   |
|      | Spending authority from offsetting collections, mandatory:    |    |   |   |
| 1800 | Collected .....   | 21 | 2 | 2 |
| 1801 | Change in uncollected payments, Federal sources .....         | -7 |   |   |
| 1850 | Spending auth from offsetting collections, mand (total) ..... | 14 | 2 | 2 |
| 1900 | Financing authority (total) .....                             | 14 | 2 | 2 |
| 1930 | Total budgetary resources available .....                     | 24 | 2 | 2 |
|      | Memorandum (non-add) entries:                                 |    |   |   |
| 1941 | Unexpired unobligated balance, end of year .....              | 23 |   | 1 |

**Change in obligated balance:**

|      |   |       |       |       |
|------|---|-------|-------|-------|
|      | Unpaid obligations:   |       |       |       |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....                | 6,029 | 5,732 | 5,755 |
| 3001 | Adjustments to unpaid obligations, brought forward, Oct 1 ..... |       | 23    |       |
| 3010 | Obligations incurred, unexpired accounts .....                  | 1     | 2     | 1     |
| 3020 | Financing disbursements (gross) .....                           | -1    | -2    | -290  |
| 3040 | Recoveries of prior year unpaid obligations, unexpired .....    | -297  |       |       |
| 3050 | Unpaid obligations, end of year .....                           | 5,732 | 5,755 | 5,466 |
|      | Uncollected payments:   |       |       |       |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -141  | -134  | -134  |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....       | 7     |       |       |
| 3090 | Uncollected pymts, Fed sources, end of year .....               | -134  | -134  | -134  |
|      | Memorandum (non-add) entries:                                   |       |       |       |
| 3100 | Obligated balance, start of year .....                          | 5,888 | 5,621 | 5,621 |
| 3200 | Obligated balance, end of year .....                            | 5,598 | 5,621 | 5,332 |

**Financing authority and disbursements, net:**

|      |  |     |    |     |
|------|--|-----|----|-----|
|      | Mandatory:   |     |    |     |
| 4090 | Financing authority, gross .....                                     | 14  | 2  | 2   |
|      | Financing disbursements:   |     |    |     |
| 4110 | Financing disbursements, gross .....                                 | 1   | 2  | 290 |
|      | Offsets against gross financing authority and disbursements:         |     |    |     |
|      | Offsetting collections (collected) from:                             |     |    |     |
| 4120 | Federal sources .....  | -21 |    |     |
| 4122 | Interest on uninvested funds .....                                   |     | -1 | -1  |
| 4123 | Non-Federal sources .....  |     | -1 | -1  |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -21 | -2 | -2  |
|      | Additional offsets against financing authority only (total):         |     |    |     |
| 4140 | Change in uncollected pymts, Fed sources, unexpired .....            | 7   |    |     |
| 4170 | Financing disbursements, net (mandatory) .....                       | -20 |    | 288 |
| 4190 | Financing disbursements, net (total) .....                           | -20 |    | 288 |

**Status of Direct Loans (in millions of dollars)**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 11-4383-0-3-155                                    | 2012 actual | 2013 CR | 2014 est. |
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     |             |         |           |
| 1143 Unobligated limitation carried forward (P.L. xx) (-) .....        |             |         |           |
| 1150 Total direct loan obligations .....                               |             |         |           |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 1,995       | 1,995   | 1,995     |
| 1231 Disbursements: Direct loan disbursements .....                    |             |         | 287       |
| 1251 Repayments: Repayments and prepayments .....                      |             |         |           |
| 1290 Outstanding, end of year .....                                    | 1,995       | 1,995   | 2,282     |

As directed by the Supplemental Appropriations Act of 2009 (Public Law 111-32), this non-budgetary account records all cash flows to and from the Government resulting from the 2009 increase in the U.S. quota in the IMF, consistent with FCRA rules. The amounts in this account are a means of financing and do not affect the deficit and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

|   |             |             |
|---|-------------|-------------|
| Identification code 11-4383-0-3-155                               | 2011 actual | 2012 actual |
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 23          | 23          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 1,995       | 1,995       |
| 1405 Allowance for subsidy cost (-) .....                         | -136        | -136        |
| 1499 Net present value of assets related to direct loans .....    | 1,859       | 1,859       |
| 1999 Total assets .....   | 1,882       | 1,882       |

## LIABILITIES:

|   |       |       |
|---|-------|-------|
| 2103 Federal liabilities: Debt .....          | 1,882 | 1,882 |
| 4999 Total liabilities and net position ..... | 1,882 | 1,882 |

**UNITED STATES IMF QUOTA, DIRECT LOAN FINANCING ACCOUNT**

(Legislative proposal, not subject to PAYGO)

**Program and Financing (in millions of dollars)**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 11-4383-2-3-155                                | 2012 actual | 2013 CR | 2014 est. |
| Obligations by program activity:                                   |             |         |           |
| 0001 Reclassification of 2009 Agreement .....                      |             | 1,995   |           |
| Credit program obligations:  |             |         |           |
| 0713 Payment of interest to Treasury .....                         |             | -2      | -1        |
| 0900 Total new obligations .....                                   |             | 1,993   | -1        |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             |         | -1,995    |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    |             | -1,995  |           |
| 1050 Unobligated balance (total) .....                             |             | -1,995  | -1,995    |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             | -2      | -2        |
| 1800 Collected .....   |             | 1,995   |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             | 1,993   | -2        |
| 1930 Total budgetary resources available .....                     |             | -2      | -1,997    |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             | -1,995  | -1,996    |

**Change in obligated balance:**

|      |   |  |        |        |
|------|---|--|--------|--------|
|      | Unpaid obligations:   |  |        |        |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....                            |  |        | -5,755 |
| 3001 | Adjustments to unpaid obligations, brought forward, Oct 1 .....             |  | -5,755 |        |
| 3010 | Obligations incurred, unexpired accounts .....                              |  | 1,993  | -1     |
| 3020 | Financing disbursements (gross) .....                                       |  | -1,993 | 290    |
| 3050 | Unpaid obligations, end of year .....                                       |  | -5,755 | -5,466 |
|      | Uncollected payments:   |  |        |        |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 .....                |  |        | 134    |
| 3061 | Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 ..... |  | 134    |        |
| 3090 | Uncollected pymts, Fed sources, end of year .....                           |  | 134    | 134    |
|      | Memorandum (non-add) entries:   |  |        |        |
| 3100 | Obligated balance, start of year .....                                      |  | -5,621 | -5,621 |
| 3200 | Obligated balance, end of year .....  |  | -5,621 | -5,332 |

**Financing authority and disbursements, net:**

|      |  |  |        |      |
|------|--|--|--------|------|
|      | Mandatory:   |  |        |      |
| 4090 | Budget authority, gross .....  |  | 1,993  | -2   |
|      | Financing disbursements:   |  |        |      |
| 4110 | Financing disbursements, gross .....                                 |  | 1,993  | -290 |
|      | Offsets against gross financing authority and disbursements:         |  |        |      |
|      | Offsetting collections (collected) from:                             |  |        |      |
| 4122 | Interest on uninvested funds .....                                   |  | 1      | 1    |
| 4123 | Non-Federal sources .....  |  | 1      | 1    |
| 4123 | Non-Federal sources .....  |  | -1,995 |      |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... |  | -1,993 | 2    |
| 4170 | Financing disbursements, net (mandatory) .....                       |  |        | -288 |
| 4190 | Financing disbursements, net (total) .....                           |  |        | -288 |

**Status of Direct Loans (in millions of dollars)**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 11-4383-2-3-155                                    | 2012 actual | 2013 CR | 2014 est. |
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     |             |         |           |
| 1143 Unobligated limitation carried forward (P.L. xx) (-) .....        |             |         |           |
| 1150 Total direct loan obligations .....                               |             |         |           |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  |             |         | -1,995    |
| 1231 Disbursements: Direct loan disbursements .....                    |             |         | -287      |
| 1251 Repayments: Repayments and prepayments .....                      |             | -1,995  |           |

UNITED STATES IMF QUOTA, DIRECT LOAN FINANCING ACCOUNT—Continued  
Status of Direct Loans—Continued

| Identification code 11-4383-2-3-155 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 1290 Outstanding, end of year ..... |             | -1,995  | -2,282    |

## LOANS TO INTERNATIONAL MONETARY FUND

## Program and Financing (in millions of dollars)

| Identification code 11-0074-0-1-155                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 10,563      | 10,563  | 10,563    |
| 3050 Unpaid obligations, end of year .....            | 10,563      | 10,563  | 10,563    |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 10,563      | 10,563  | 10,563    |
| 3200 Obligated balance, end of year .....             | 10,563      | 10,563  | 10,563    |

The General Arrangements to Borrow (GAB) were established in 1962 by 10 industrial countries, including the United States, as a means of supplementing the IMF's quota resources to forestall or cope with an impairment of the international monetary system. GAB participants agreed in early 1983 to increase their financial commitments to the GAB from approximately SDR 6.3 billion to SDR 17 billion (about \$17.9 billion at that time), with the U.S. share rising from SDR 1.9 billion to approximately SDR 4.25 billion (about \$6.5 billion as of December 28, 2012).

In January 1997, the Executive Board of the IMF approved the creation of the New Arrangements to Borrow (NAB), which is a standing arrangement among certain IMF members to supplement the IMF's quota resources as needed to the IMF to forestall or cope with an impairment of the international monetary system or to deal with an exceptional situation that poses a threat to the stability of the system. The NAB became effective on November 17, 1998, and was activated for the first time in December 1998 to finance an IMF arrangement for Brazil. The IMF repaid the NAB participants in March 1999. From 1999 through March 2011 the NAB was not activated.

In 2012, forty countries and institutions participated in the NAB for a total of SDR 370 billion (about \$568 billion as of December 28, 2012), of which the U.S. share is approximately SDR 69 billion (about \$106 billion as of December 28, 2012). In 2012, the NAB was activated for two six-month periods, commencing on April 1 and October 1. As of end 2012, the IMF had accessed SDR 7.6 billion (about \$11.7 billion) of the U.S. arrangement under the NAB.

The sum of U.S. resources made available to the IMF under the NAB and GAB cannot exceed total U.S. NAB participation of SDR 69 billion.

With respect to this account, resources provided by the United States under the GAB and NAB constitute an exchange of monetary assets and do not result in any net budgetary outlays because such transactions result in an equivalent increase in U.S. international reserve assets in the form of an equal, offsetting, interest-bearing claim on the IMF. U.S. claims on the IMF under the GAB and NAB are readily available to meet a U.S. balance-of-payments financing need.

In 2010, G-20 Leaders and the IMF membership decided on a set of quota and governance reforms designed to enhance IMF effectiveness. To implement the terms of the 2010 agreement, the Administration is seeking to increase the U.S. quota and reduce U.S. participation in the NAB by equal amounts, SDR 40,871,800,000 (approximately \$63 billion as of December 28,

2012). Under the Administration proposal, which has an assumed enactment date in fiscal year 2013, the increases to the NAB and quota provided in the 2009 Supplemental Appropriations Act would be restated to reflect the pre-2009 agreement on budgetary treatment for the IMF. The changes would be consolidated respectively into this account and the "United States Quota, International Monetary Fund" account. The required authorization requests, including for mandatory funding for the quota increase and NAB rollback agreed to in 2010, will be submitted separately.

For additional information about the Budget proposal, see the account entitled "United States Quota, International Monetary Fund". For additional information on the NAB, see also "Loans to the IMF Direct Loan Program".

## LOANS TO INTERNATIONAL MONETARY FUND

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 11-0074-2-1-155                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                |             |         | 96,264    |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... |             | 96,264  |           |
| 3050 Unpaid obligations, end of year .....                           |             | 96,264  | 96,264    |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          |             | 96,264  | 96,264    |
| 3200 Obligated balance, end of year .....                            |             | 96,264  | 96,264    |

The table above shows the restatement and consolidation into this account of the 2009 NAB appropriation to reflect the pre-2009 agreement on budgetary treatment for the IMF included in the Budget proposal described above.

## LOANS TO INTERNATIONAL MONETARY FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 11-0074-4-1-155  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                                     |             | 63,032  |           |
| 1050 Unobligated balance (total) .....   |             | 63,032  |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1230 Appropriations and/or unobligated balance of appropriations permanently reduced ..... |             | -63,032 |           |
| 1260 Appropriations, mandatory (total) .....   |             | -63,032 |           |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                      |             |         | -63,032   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                          |             | -63,032 |           |
| 3050 Unpaid obligations, end of year .....   |             | -63,032 | -63,032   |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  |             |         | -63,032   |
| 3200 Obligated balance, end of year .....  |             | -63,032 | -63,032   |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   |             | -63,032 |           |
| 4180 Budget authority, net (total) .....   |             | -63,032 |           |

The table above shows the Budget proposal described above to roll back the NAB (which will be accompanied by a simultaneous and equivalent increase in the IMF quota).

## LOANS TO THE IMF DIRECT LOAN PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 11–0085–0–1–155                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 9           | 13      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 4           | .....   | .....     |
| 1029 Other balances withdrawn .....                               | .....       | –13     | .....     |
| 1050 Unobligated balance (total) .....                            | 13          | .....   | .....     |
| 1930 Total budgetary resources available .....                    | 13          | .....   | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 13          | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 331         | 322     | 322       |
| 3020 Outlays (gross) .....  | –5          | .....   | .....     |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 322         | 322     | 322       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 331         | 322     | 322       |
| 3200 Obligated balance, end of year .....                         | 322         | 322     | 322       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 5           | .....   | .....     |
| 4190 Outlays, net (total) .....                                   | 5           | .....   | .....     |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 11–0085–0–1–155                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan subsidy outlays:                            |             |         |           |
| 134001 NAB .....  | 5           | .....   | .....     |
| 134999 Total subsidy outlays .....                      | 5           | .....   | .....     |
| Direct loan downward reestimates:                       |             |         |           |
| 137001 NAB .....  | .....       | –25     | .....     |
| 137999 Total downward reestimate budget authority ..... | .....       | –25     | .....     |

At the G-20 Leaders' Summit in London in April 2009, the President secured agreement to expand participation and increase the size of the NAB by up to \$500 billion to restore global confidence and ensure the IMF has adequate resources to play its central role in resolving and preventing the spread of international economic and financial crises. As part of this agreement, the United States committed to increase its participation in the NAB by up to \$100 billion, which required congressional action. The Supplemental Appropriations Act of 2009 (Public Law 111–32) enacted on June 24, 2009, provided authorization and appropriations for an increase in the United States participation in the NAB by up to SDR 75 billion. This SDR amount was subject, as a practical matter, to the public commitment to an increase by up to \$100 billion. This increase in the U.S. participation in the NAB, equivalent to SDR 62.4 billion, entered into effect on March 11, 2011.

For all NAB resources, including those provided in the Supplemental Appropriations Act of 2009, when the IMF accesses NAB resources, the transaction constitutes an exchange of monetary assets resulting in an equivalent increase in U.S. international reserve assets in the form of an equal, offsetting, interest-bearing claim on the IMF. U.S. claims on the IMF under the GAB and NAB are readily available to meet a U.S. balance-of-payments financing need.

While U.S. participation in the NAB is not a credit program, the Supplemental Appropriations Act of 2009 directed that the 2009 appropriation for the increase in the U.S. participation in the NAB be scored on a credit reform basis, per the Federal Credit Reform Act of 1990, as amended (FCRA), with an adjust-

ment to the discount rate for market risk. The application of FCRA by operation of law to the 2009 NAB appropriation was a significant change in the budgetary treatment of appropriations for the NAB and does not apply to appropriations for the U.S. participation in the NAB prior to 2009.

As directed by the Supplemental Appropriations Act of 2009, this account records the subsidy costs associated with the increase in the U.S. participation in the NAB on a FCRA basis, including an adjustment to the discount rate for market risk.

For additional information about the Budget proposal and about the NAB, see the accounts entitled "United States Quota, International Monetary Fund" and "Loans to International Monetary Fund".

## LOANS TO THE IMF DIRECT LOAN PROGRAM ACCOUNT

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 11–0085–2–1–155                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | .....       | .....   | –322      |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | .....       | –322    | .....     |
| 3050 Unpaid obligations, end of year .....                           | .....       | –322    | –322      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | .....       | –322    | –322      |
| 3200 Obligated balance, end of year .....                            | .....       | –322    | –322      |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 11–0085–2–1–155                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan downward reestimates:                       |             |         |           |
| 137001 NAB .....  | .....       | 25      | .....     |
| 137999 Total downward reestimate budget authority ..... | .....       | 25      | .....     |

The table above shows the restatement of the 2009 appropriation that is consolidated into the "Loans to International Monetary Fund" account to reflect the pre-2009 agreement on budgetary treatment for the IMF included in the Budget proposal described under that account.

## LOANS TO IMF DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 11–4384–0–3–155                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0713 Payment of interest to Treasury .....                         | 3           | .....   | .....     |
| 0742 Downward reestimate paid to receipt account .....             | .....       | 25      | .....     |
| 0900 Total new obligations .....                                   | 3           | 25      | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 1,235       | .....   | .....     |
| 1024 Unobligated balance of borrowing authority withdrawn .....    | –1,231      | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 4           | .....   | .....     |
| Financing authority:   |             |         |           |
| Borrowing authority, mandatory:                                    |             |         |           |
| 1400 Borrowing authority .....                                     | 1           | .....   | .....     |
| 1440 Borrowing authority, mandatory (total) .....                  | 1           | .....   | .....     |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 7           | .....   | .....     |
| 1801 Change in uncollected payments, Federal sources .....         | –9          | 25      | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | –2          | 25      | .....     |
| 1900 Financing authority (total) .....                             | –1          | 25      | .....     |

**LOANS TO IMF DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 11–4384–0–3–155                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1930 Total budgetary resources available .....                            | 3           | 25      | .....     |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 97,499      | 94,774  | 89,985    |
| 3010 Obligations incurred, unexpired accounts .....                       | 3           | 25      | .....     |
| 3020 Financing disbursements (gross) .....                                | –1,493      | –4,814  | .....     |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....         | –1,235      | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                                | 94,774      | 89,985  | 89,985    |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | –331        | –322    | –347      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | 9           | –25     | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | –322        | –347    | –347      |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 97,168      | 94,452  | 89,638    |
| 3200 Obligated balance, end of year .....                                 | 94,452      | 89,638  | 89,638    |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | –1          | 25      | .....     |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 1,493       | 4,814   | .....     |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources .....  | –5          | .....   | .....     |
| 4122 Interest on uninvested funds .....                                   | –2          | .....   | .....     |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –7          | .....   | .....     |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 9           | –25     | .....     |
| 4160 Financing authority, net (mandatory) .....                           | 1           | .....   | .....     |
| 4170 Financing disbursements, net (mandatory) .....                       | 1,486       | 4,814   | .....     |
| 4180 Financing authority, net (total) .....                               | 1           | .....   | .....     |
| 4190 Financing disbursements, net (total) .....                           | 1,486       | 4,814   | .....     |

**Status of Direct Loans (in millions of dollars)**

| Identification code 11–4384–0–3–155                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     | .....       | .....   | .....     |
| 1143 Unobligated limitation carried forward (P.L. xx) (-) .....        | .....       | .....   | .....     |
| 1150 Total direct loan obligations .....                               | .....       | .....   | .....     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | .....       | 1,490   | 6,304     |
| 1231 Disbursements: Direct loan disbursements .....                    | 1,490       | 4,814   | .....     |
| 1251 Repayments: Repayments and prepayments .....                      | .....       | .....   | .....     |
| 1290 Outstanding, end of year .....                                    | 1,490       | 6,304   | 6,304     |

As authorized by the Supplemental Appropriations Act of 2009 (Public Law 111–32), this non-budgetary account records all cash flows to and from the Government resulting from the 2009 increased participation by the U.S. in the New Arrangements to Borrow, consistent with FCRA rules. The amounts in this account are a means of financing and do not affect the deficit and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 11–4384–0–3–155                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | .....       | 2,587       |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | .....       | 1,490       |
| 1405 Allowance for subsidy cost (-) .....                         | .....       | 21          |
| 1499 Net present value of assets related to direct loans .....    | .....       | 1,511       |
| 1999 Total upward reestimate subsidy BA [11–0085] .....           | .....       | 4,098       |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | .....       | 4,098       |

4999 Total liabilities and net position ..... 4,098

**LOANS TO IMF DIRECT LOAN FINANCING ACCOUNT**  
**(Legislative proposal, not subject to PAYGO)**

**Program and Financing (in millions of dollars)**

| Identification code 11–4384–2–3–155                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0742 Downward reestimate paid to receipt account .....             | .....       | –25     | .....     |
| 0900 Total new obligations .....                                   | .....       | –25     | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | .....       | –1,490  | .....     |
| 1050 Unobligated balance (total) .....                             | .....       | –1,490  | .....     |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | .....       | 1,490   | .....     |
| 1801 Change in uncollected payments, Federal sources .....         | .....       | –25     | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | .....       | 1,465   | .....     |
| 1930 Total budgetary resources available .....                     | .....       | –25     | .....     |

|  |       |         |         |
|--|-------|---------|---------|
| <b>Change in obligated balance:</b>  |       |         |         |
| Unpaid obligations:  |       |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                            | ..... | .....   | –89,985 |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....             | ..... | –96,264 | .....   |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....             | ..... | 1,490   | .....   |
| 3010 Obligations incurred, unexpired accounts .....                              | ..... | –25     | .....   |
| 3020 Outlays (gross) .....   | ..... | 4,814   | .....   |
| 3050 Unpaid obligations, end of year .....                                       | ..... | –89,985 | –89,985 |
| Uncollected payments:  |       |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                | ..... | .....   | 347     |
| 3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 ..... | ..... | 322     | .....   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                   | ..... | 25      | .....   |
| 3090 Uncollected pymts, Fed sources, end of year .....                           | ..... | 347     | 347     |
| Memorandum (non-add) entries:  |       |         |         |
| 3100 Obligated balance, start of year .....                                      | ..... | –94,452 | –89,638 |
| 3200 Obligated balance, end of year .....  | ..... | –89,638 | –89,638 |

|  |       |        |       |
|--|-------|--------|-------|
| <b>Financing authority and disbursements, net:</b>             |       |        |       |
| Mandatory:   |       |        |       |
| 4090 Budget authority, gross .....                             | ..... | 1,465  | ..... |
| Financing disbursements:                                       |       |        |       |
| 4110 Financing disbursements, gross .....                      | ..... | –4,814 | ..... |
| Offsets against gross financing authority and disbursements:   |       |        |       |
| Offsetting collections (collected) from:                       |       |        |       |
| 4123 Non-Federal sources .....                                 | ..... | –1,490 | ..... |
| Additional offsets against financing authority only (total):   |       |        |       |
| 4140 Change in uncollected pymts, Fed sources, unexpired ..... | ..... | 25     | ..... |
| 4170 Financing disbursements, net (mandatory) .....            | ..... | –6,304 | ..... |
| 4190 Financing disbursements, net (total) .....                | ..... | –6,304 | ..... |

**Status of Direct Loans (in millions of dollars)**

| Identification code 11–4384–2–3–155                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     | .....       | .....   | .....     |
| 1143 Unobligated limitation carried forward (P.L. xx) (-) .....        | .....       | .....   | .....     |
| 1150 Total direct loan obligations .....                               | .....       | .....   | .....     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | .....       | .....   | –6,304    |
| 1231 Disbursements: Direct loan disbursements .....                    | .....       | –4,814  | .....     |
| 1251 Repayments: Repayments and prepayments .....                      | .....       | –1,490  | .....     |
| 1290 Outstanding, end of year .....                                    | .....       | –6,304  | –6,304    |



**MILITARY SALES PROGRAM*****Federal Funds*****SPECIAL DEFENSE ACQUISITION FUND****Program and Financing** (in millions of dollars)

| Identification code 11-4116-0-3-155                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 17          | 100     | 100       |
| 0900 Total new obligations (object class 25.3) .....               | 17          | 100     | 100       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             | 107     | 109       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 124         | 102     | 102       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 124         | 102     | 102       |
| 1900 Budget authority (total) .....                                | 124         | 102     | 102       |
| 1930 Total budgetary resources available .....                     | 124         | 209     | 211       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 107         | 109     | 111       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              |             | 15      | 24        |
| 3010 Obligations incurred, unexpired accounts .....                | 17          | 100     | 100       |
| 3020 Outlays (gross) .....   | -2          | -91     | -103      |
| 3050 Unpaid obligations, end of year .....                         | 15          | 24      | 21        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        |             | 15      | 24        |
| 3200 Obligated balance, end of year .....                          | 15          | 24      | 21        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 124         | 102     | 102       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             | 77      | 77        |
| 4011 Outlays from discretionary balances .....                     | 2           | 14      | 26        |
| 4020 Outlays, gross (total) .....                                  | 2           | 91      | 103       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -124        | -102    | -102      |
| 4190 Outlays, net (total) .....                                    | -122        | -11     | 1         |

The Special Defense Acquisition Fund (SDAF) will help to better support coalition and other U.S. partners participating in U.S. overseas contingency and other operations and expedite the procurement of defense articles for provision to foreign nations and international organizations. Advance purchases will focus initially on high-demand warfighter support equipment that has long procurement lead times. Long procurement lead times are often the main limiting factor in our ability to provide coalition partners with critical equipment to make them operationally effective. Improving the mechanism for supporting U.S. partners is a high priority for both the Departments of State and Defense.

**Object Classification** (in millions of dollars)

| Identification code 11-4116-0-3-155                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                                |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 17          | 100     | 100       |
| 99.0 Reimbursable obligations .....                      | 17          | 100     | 100       |

***Trust Funds*****FOREIGN MILITARY SALES TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 11-8242-0-7-155 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         | 3,656     |

|  |         |         |         |
|--|---------|---------|---------|
| Receipts:  |         |         |         |
| 0220 Deposits, Advances, Foreign Military Sales Trust Fund ..... | 26,310  | 31,399  | 33,035  |
| 0400 Total: Balances and collections .....                       | 26,310  | 31,399  | 36,691  |
| Appropriations:  |         |         |         |
| 0500 Foreign Military Sales Trust Fund .....                     | -26,310 | -27,743 | -27,743 |
| 0799 Balance, end of year .....                                  |         | 3,656   | 8,948   |

**Program and Financing** (in millions of dollars)

| Identification code 11-8242-0-7-155                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 Collections from current law sales AECA Sec. 51(b) .....     | 46          | 100     | 100       |
| 0003 Aircraft .....   | 30,187      | 15,384  | 12,113    |
| 0004 Missiles .....   | 14,453      | 7,153   | 6,088     |
| 0005 Communication Equipment .....                                | 2,167       | 1,072   | 912       |
| 0006 Maintenance and Support Equipment .....                      | 2,044       | 1,012   | 861       |
| 0007 Special Activities/R&D .....                                 | 2,546       | 1,260   | 1,072     |
| 0008 Tactical/Support/Combat Vehicles .....                       | 1,499       | 742     | 631       |
| 0009 Ammunition .....   | 10,105      | 5,001   | 4,256     |
| 0010 Supplies & Supply Operations .....                           | 871         | 431     | 367       |
| 0011 Construction .....   | 595         | 294     | 250       |
| 0012 Weapons .....  | 143         | 70      | 60        |
| 0013 Training .....   | 826         | 409     | 348       |
| 0014 Ships .....  | 136         | 67      | 57        |
| 0015 Administration .....   | 855         | 885     | 885       |
| 0900 Total new obligations .....                                  | 66,473      | 33,880  | 28,000    |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 26,310      | 27,743  | 27,743    |
| 1238 Appropriations applied to liquidate contract authority ..... | -26,310     | -27,743 | -27,743   |
| Contract authority, mandatory:                                    |             |         |           |
| 1600 Contract authority .....                                     | 66,473      | 33,880  | 28,000    |
| 1640 Contract authority, mandatory (total) .....                  | 66,473      | 33,880  | 28,000    |
| 1900 Budget authority (total) .....                               | 66,473      | 33,880  | 28,000    |
| 1930 Total budgetary resources available .....                    | 66,473      | 33,880  | 28,000    |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 94,387      | 134,940 | 136,659   |
| 3010 Obligations incurred, unexpired accounts .....               | 66,473      | 33,880  | 28,000    |
| 3020 Outlays (gross) .....  | -25,920     | -32,161 | -33,313   |
| 3050 Unpaid obligations, end of year .....                        | 134,940     | 136,659 | 131,346   |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 94,387      | 134,940 | 136,659   |
| 3200 Obligated balance, end of year .....                         | 134,940     | 136,659 | 131,346   |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 66,473      | 33,880  | 28,000    |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 1,183       | 1,400   | 1,400     |
| 4101 Outlays from mandatory balances .....                        | 24,737      | 30,761  | 31,913    |
| 4110 Outlays, gross (total) .....                                 | 25,920      | 32,161  | 33,313    |
| 4180 Budget authority, net (total) .....                          | 66,473      | 33,880  | 28,000    |
| 4190 Outlays, net (total) .....                                   | 25,920      | 32,161  | 33,313    |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 5052 Obligated balance, SOY: Contract authority .....             | 75,926      | 116,089 | 122,226   |
| 5053 Obligated balance, EOY: Contract authority .....             | 116,089     | 122,226 | 122,483   |

This trust fund facilitates government-to-government sales of defense articles, defense services, and design and construction services. Estimates of sales used in this budget are in millions of dollars:

**ESTIMATES OF NEW SALES**

|                                       | 2012 actual | 2013 est. | 2014 est. |
|---------------------------------------|-------------|-----------|-----------|
| Estimates of new orders (sales) ..... | 69100       | 32900     | 28000     |

**Object Classification** (in millions of dollars)

| Identification code 11-8242-0-7-155                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Allocation Account - direct:                           |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 355         | 363     | 372       |
| 12.1 Civilian personnel benefits .....                 | 82          | 84      | 86        |

FOREIGN MILITARY SALES TRUST FUND—Continued  
Object Classification—Continued

| Identification code 11–8242–0–7–155                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 21.0 Travel and transportation of persons .....                 | 22          | 23      | 23        |
| 23.2 Rental payments to others .....                            | 6           | 6       | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 9           | 9       | 9         |
| 25.1 Advisory and assistance services .....                     | 147         | 150     | 154       |
| 25.2 Other services from non-Federal sources .....              | 17          | 17      | 18        |
| 25.3 Other goods and services from Federal sources .....        | 65,673      | 33,164  | 27,267    |
| 25.5 Research and development contracts .....                   | 18          | 18      | 19        |
| 25.7 Operation and maintenance of equipment .....               | 11          | 12      | 12        |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 30          | 31      | 31        |
| 94.0 Financial transfers .....                                  | 100         | .....   | .....     |
| 99.9 Total new obligations .....                                | 66,473      | 33,880  | 28,000    |

## SPECIAL ASSISTANCE INITIATIVES

## Federal Funds

## CENTRAL AMERICAN RECONCILIATION ASSISTANCE

## Program and Financing (in millions of dollars)

| Identification code 72–1038–0–1–152                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | .....   | .....     |
| 1029 Other balances withdrawn .....                   | –1          | .....   | .....     |

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 11–267130 New Arrangements to Borrow (IMF), Downward Reestimates of Subsidies .....                   |             | 25      | .....     |
| Legislative proposal, not subject to PAYGO .....  |             | –25     | .....     |
| 11–272430 Foreign Military Financing, Downward Reestimates of Subsidies .....                         |             | 5       | .....     |
| 71–274910 Overseas Private Investment Corporation Loans, Negative Subsidies .....                     | 126         | 100     | 137       |
| 71–274930 Overseas Private Investment Corporation Loans, Downward Reestimates of Subsidy .....        | 100         | 306     | .....     |
| 72–143600 General Fund Proprietary Interest Receipts, not Otherwise Classified .....                  | 5           | 1       | 1         |
| 72–267600 Tunisia Loan Guarantee, Downward Reestimates of Subsidies .....                             |             | 18      | .....     |
| 72–272530 Loan Guarantees to Israel, Downward Reestimates of Subsidies .....                          | 663         | 103     | .....     |
| 72–274430 Urban and Environmental Credit Program, Downward Reestimates of Subsidies .....             | 16          | 8       | .....     |
| 72–275230 Development Credit Authority Program Account, Downward Reestimates of Loan Guarantees ..... | 6           | 12      | .....     |
| 72–278630 Loan Guarantees to Egypt, Downward Reestimates of Subsidies .....                           | 69          | .....   | .....     |
| 72–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....        | 17          | .....   | .....     |
| General Fund Offsetting receipts from the public .....  | 1,002       | 553     | 138       |
| Intragovernmental payments:   |             |         |           |
| 72–388600 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....      | –2          | .....   | .....     |
| General Fund Intragovernmental payments .....   | –2          | .....   | .....     |

## GENERAL PROVISIONS

## DIFFERENTIALS

SEC. 7001. Funds appropriated under title I of this Act shall be available, except as otherwise provided, for allowances and differentials as authorized by subchapter 59 of title 5, United States Code; for services as

authorized by 5 U.S.C. 3109; and for hire of passenger transportation pursuant to 31 U.S.C. 1343(b).

## CONSULTING SERVICES

SEC. 7002. The expenditure of any appropriation under title I of this Act for any consulting service through procurement contract, pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

## EMBASSY CONSTRUCTION

SEC. 7003. (a) Of funds provided under title I of this Act, except as provided in subsection (b), a project to construct a diplomatic facility of the United States may not include office space or other accommodations for an employee of a Federal agency or department if the Secretary of State determines that such department or agency has not provided to the Department of State the full amount of funding required by subsection (e) of section 604 of the Secure Embassy Construction and Counterterrorism Act of 1999 (as enacted into law by section 1000(a)(7) of Public Law 106–113 and contained in appendix G of that Act; 113 Stat. 1501A-453), as amended by section 629 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 2005.

(b) Notwithstanding the prohibition in subsection (a), a project to construct a diplomatic facility of the United States may include office space or other accommodations for members of the United States Marine Corps.

## PERSONNEL ACTIONS

SEC. 7004. Any costs incurred by a department or agency funded under title I of this Act resulting from personnel actions taken in response to funding reductions included in this Act shall be absorbed within the total budgetary resources available under title I to such department or agency: Provided, That the authority to transfer funds between appropriations accounts as may be necessary to carry out this section is provided in addition to authorities included elsewhere in this Act.

## LOCAL GUARD CONTRACTS

SEC. 7005. In evaluating proposals for local guard contracts, the Secretary of State shall award contracts in accordance with section 136 of the Foreign Relations Authorization Act, Fiscal Years 1990 and 1991 (22 U.S.C. 4864), except that the Secretary may grant authorization to award such contracts on the basis of best value as determined by a cost-technical tradeoff analysis (as described in Federal Acquisition Regulation part 15.101), notwithstanding subsection (c)(3) of such section: Provided, That the authority in this section shall apply to any options for renewal that may be exercised under such contracts.

## PROHIBITION AGAINST DIRECT FUNDING FOR CERTAIN COUNTRIES

SEC. 7006. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated or expended to finance directly any assistance or reparations for the governments of Cuba, North Korea, Iran, or Syria: Provided, That for purposes of this section, the prohibition on obligations or expenditures shall include direct loans, credits, insurance and guarantees of the Export-Import Bank or its agents.

## COUPS D'ETAT

SEC. 7007. None of the funds appropriated or otherwise made available pursuant to titles III through VI of this Act shall be obligated or expended to finance directly any assistance to the government of any country whose duly elected head of government is deposed by military coup d'etat or decree: Provided, That assistance may be resumed to such government if the President determines and certifies to the Committees on Appropriations that subsequent to the termination of assistance a democratically elected government has taken office or that provision of assistance is in the national security interest of the United States: Provided further, That the provisions of this section shall not apply to assistance to promote democratic elections or public participation in democratic processes.

## TRANSFER AUTHORITY

SEC. 7008. (a) DEPARTMENT OF STATE AND BROADCASTING BOARD OF GOVERNORS.—

(1) Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Department of State under title I of this Act may be transferred between and merged with such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers.

(2) Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Broadcasting Board of Governors under title I of this Act may be transferred between and merged with such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers.

(3) Any transfer pursuant to this section shall be treated as a reprogramming of funds under section 7012(a) and (b) of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.

(b) **EXPORT FINANCING TRANSFER AUTHORITIES.**—Not to exceed 5 percent of any appropriation other than for administrative expenses made available for fiscal year 2014, for programs under title VI of this Act may be transferred between such appropriations for use for any of the purposes, programs, and activities for which the funds in such receiving account may be used, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 25 percent by any such transfer: Provided, That the exercise of such authority shall be subject to the regular notification procedures of the Committees on Appropriations.

(c) **TRANSFERS BETWEEN ACCOUNTS.**—None of the funds made available under titles II through V of this Act may be obligated under an appropriation account to which they were not appropriated, except for transfers specifically provided for in this Act, unless the President, not less than 5 days prior to the exercise of any authority contained in the Foreign Assistance Act of 1961 to transfer funds, consults with and provides a written policy justification to the Committees on Appropriations.

(d) **AUDIT OF INTER-AGENCY TRANSFERS.**—Any agreement for the transfer or allocation of funds appropriated by this Act, or prior Acts, entered into between the Department of State or USAID and another agency of the United States Government under the authority of section 632(a) of the Foreign Assistance Act of 1961 or any comparable provision of law, shall expressly provide that the Inspector General (IG) for the agency receiving the transfer or allocation of such funds, or other entity with audit responsibility if the receiving agency does not have an IG, shall perform periodic program and financial audits of the use of such funds: Provided, That funds transferred under such authority may be made available for the cost of such audits.

#### AVAILABILITY OF FUNDS

**SEC. 7009.** No part of any appropriation contained in this Act shall remain available for obligation after the expiration of the current fiscal year unless expressly so provided in this Act: Provided, That funds appropriated for the purposes of chapters 1 and 8 of part I, sections 661 and 667, chapters 4, 5, 6, 8, and 9 of part II of the Foreign Assistance Act of 1961, section 23 of the Arms Export Control Act, and funds provided under the headings "Middle East and North Africa Incentive Fund" and "Development Credit Authority", shall remain available for an additional 4 years from the date on which the availability of such funds would otherwise have expired, if such funds are initially obligated before the expiration of their respective periods of availability contained in this Act: Provided further, That notwithstanding any other provision of this Act, any funds made available for the purposes of chapter 1 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961 which are allocated or obligated for cash disbursements in order to address balance of payments or economic policy reform objectives, shall remain available until expended, if such funds are initially allocated or obligated before the expiration of their respective periods of availability contained in this Act.

#### LIMITATION ON ASSISTANCE TO COUNTRIES IN DEFAULT

**SEC. 7010.** No part of any appropriation provided under titles III through VI in this Act shall be used to furnish assistance to the government of any country which is in default during a period in excess of one calendar year in payment to the United States of principal or interest on any loan made to the government of such country by the United States pursuant to a program for which funds are appropriated under this Act unless the President determines that assistance for such country is in the national interest of the United States.

#### RESERVATIONS OF FUNDS

**SEC. 7011.** (a) Funds appropriated under titles II through VI of this Act which are specifically designated may be reprogrammed for other programs within the same account notwithstanding the designation if compliance with the designation is made impossible by operation of any provision of this or any other Act: Provided, That any such reprogramming shall be

subject to the regular notification procedures of the Committees on Appropriations: Provided further, That assistance that is reprogrammed pursuant to this subsection shall be made available under the same terms and conditions as originally provided.

(b) In addition to the authority contained in subsection (a), the original period of availability of funds appropriated by this Act that are specifically designated for particular programs or activities by this or any other Act shall be extended for an additional fiscal year if the termination of assistance to a country or a significant change in circumstances makes it unlikely that such designated funds can be obligated during the original period of availability: Provided, That such designated funds that continue to be available for an additional fiscal year shall be obligated only for the purpose of such designation.

(c) Ceilings and specifically designated funding levels contained in this Act shall not be applicable to funds or authorities appropriated or otherwise made available by any subsequent Act unless such Act specifically so directs: Provided, That specifically designated funding levels or minimum funding requirements contained in any other Act shall not be applicable to funds appropriated by this Act.

#### NOTIFICATION REQUIREMENTS

**SEC. 7012.** (a) None of the funds made available in title I of this Act, or in prior appropriations Acts to the agencies and departments funded by this Act that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees or of currency reflows or other offsetting collections, or made available by transfer, to the agencies and departments funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that:

- (1) creates new programs;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel by any means for any project or activity for which funds have been denied or restricted;
- (4) relocates an office or employees;
- (5) closes or opens a mission or post;
- (6) reorganizes offices;
- (7) reorganizes programs or activities; or
- (8) contracts out or privatizes any functions or activities presently performed by Federal employees; unless the Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

(b) None of the funds provided under title I of this Act, or provided under previous appropriations Acts to the agency or department funded under title I of this Act that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agency or department funded under title I of this Act, shall be available for obligation or expenditure for activities, programs, or projects through a reprogramming of funds in excess of \$1,000,000 or 10 percent, whichever is less, that:

- (1) augments existing programs, projects, or activities;
- (2) reduces by 10 percent funding for any existing program, project, or activity, or numbers of personnel by 10 percent as previously justified to the Congress; or
- (3) results from any general savings, including savings from a reduction in personnel, which would result in a change in existing programs, activities, or projects as previously justified to the Congress; unless the Committees on Appropriations are notified 15 days in advance of such reprogramming of funds.

(c) None of the funds made available under titles II through VI in this Act under the headings "Global Health Programs", "Development Assistance", "International Organizations and Programs", "Trade and Development Agency", "International Narcotics Control and Law Enforcement", "Economic Support Fund", "Peacekeeping Operations", "Capital Investment Fund", "Operating Expenses", "Office of Inspector General", "Nonproliferation, Anti-terrorism, Demining and Related Programs", "Millennium Challenge Corporation", "Foreign Military Financing Program", "International Military Education and Training", and "Peace Corps", shall be available for obligation for activities, programs, projects, type of materiel assistance, countries, or other operations not justified or in excess of the amount justified to the Committees on Appropriations for obligation under any of these specific headings unless the Committees on Appropriations are notified 15 days in advance: Provided, That the President shall not enter into any commitment of funds appropriated

for the purposes of section 23 of the Arms Export Control Act for the provision of major defense equipment, other than conventional ammunition, or other major defense items defined to be aircraft, ships, missiles, or combat vehicles, not previously justified to Congress or 20 percent in excess of the quantities justified to Congress unless the Committees on Appropriations are notified 15 days in advance of such commitment: Provided further, That requirements of this subsection or any similar provision of this or any other Act shall not apply to any reprogramming for an activity, program, or project for which funds are appropriated under titles II through VI of this Act of less than 10 percent of the amount previously justified to the Congress for obligation for such activity, program, or project for the current fiscal year.

(d) The requirements of this section or any similar provision of this Act or any other Act, including any prior Act requiring notification in accordance with the regular notification procedures of the Committees on Appropriations, may be waived if failure to do so would pose a substantial risk to human health or welfare: Provided, That in case of any such waiver, notification to the Committees on Appropriations shall be provided as early as practicable, but in no event later than 3 days after taking the action to which such notification requirement was applicable, in the context of the circumstances necessitating such waiver: Provided further, That any notification provided pursuant to such a waiver shall contain an explanation of the emergency circumstances.

#### LIMITATION ON AVAILABILITY OF FUNDS FOR INTERNATIONAL ORGANIZATIONS AND PROGRAMS

SEC. 7013. Subject to the regular notification procedures of the Committees on Appropriations, funds appropriated under titles III through VI of this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs, which are returned or not made available for organizations and programs because of the implementation of section 307(a) of the Foreign Assistance Act of 1961, shall remain available for obligation until September 30, 2015: Provided, That section 307(a) of the Foreign Assistance Act of 1961 is amended by striking "Burma,".

#### PROHIBITION ON FUNDING FOR ABORTIONS AND INVOLUNTARY STERILIZATION

SEC. 7014. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of abortions as a method of family planning or to motivate or coerce any person to practice abortions. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for the performance of involuntary sterilization as a method of family planning or to coerce or provide any financial incentive to any person to undergo sterilizations. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be used to pay for any biomedical research which relates in whole or in part, to methods of, or the performance of, abortions or involuntary sterilization as a means of family planning. None of the funds made available to carry out part I of the Foreign Assistance Act of 1961, as amended, may be obligated or expended for any country or organization if the President certifies that the use of these funds by any such country or organization would violate any of the above provisions related to abortions and involuntary sterilizations.

#### PROHIBITION OF PAYMENT OF CERTAIN EXPENSES

SEC. 7015. None of the funds appropriated or otherwise made available by this Act under the headings "International Military Education and Training" or "Foreign Military Financing Program" for Informational Program activities or under the headings "Global Health Programs", "Development Assistance", and "Economic Support Fund" may be obligated or expended to pay for—

(1) alcoholic beverages; or

(2) entertainment expenses for activities that are substantially of a recreational character, including but not limited to entrance fees at sporting events, theatrical and musical productions, and amusement parks.

#### AUTHORIZATION REQUIREMENTS

SEC. 7016. Funds appropriated by this Act, except funds appropriated under the heading "Trade and Development Agency", may be obligated and expended notwithstanding section 10 of Public Law 91-672, section 15 of the State Department Basic Authorities Act of 1956, section 313 of the Foreign Relations Authorization Act, Fiscal Years 1994 and 1995 (Public Law 103-236), and section 504(a)(1) of the National Security Act of 1947 (50 U.S.C. 414(a)(1)).

#### DEFINITION OF PROGRAM, PROJECT, AND ACTIVITY

SEC. 7017. For the purpose of titles II through VI of this Act "program, project, and activity" shall be defined at the appropriations Act account level and shall include all appropriations and authorizations Acts funding directives, ceilings, and limitations with the exception that for the following accounts: "Economic Support Fund" and "Foreign Military Financing Program", "program, project, and activity" shall also be considered to include country, regional, and central program level funding within each such account; for the development assistance accounts of the United States Agency for International Development "program, project, and activity" shall also be considered to include central, country, regional, and program level funding, either as:

(1) justified to the Congress; or

(2) allocated by the executive branch in accordance with a report, to be provided to the Committees on Appropriations within 30 days of the enactment of this Act, as required by section 653(a) of the Foreign Assistance Act of 1961.

#### AUTHORITIES FOR THE PEACE CORPS, INTER-AMERICAN FOUNDATION AND AFRICAN DEVELOPMENT FOUNDATION

SEC. 7018. Unless expressly provided to the contrary, provisions of this or any other Act, including provisions contained in prior Acts authorizing or making appropriations for the Department of State, foreign operations, and related programs, shall not be construed to prohibit activities authorized by or conducted under the Peace Corps Act, the Inter-American Foundation Act or the African Development Foundation Act: Provided, That prior to conducting activities in a country for which assistance is prohibited, the agency shall consult with the Committees on Appropriations and report to such Committees within 15 days of taking such action.

#### ELIGIBILITY FOR ASSISTANCE

SEC. 7019. (a) ASSISTANCE THROUGH NONGOVERNMENTAL ORGANIZATIONS.—Restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance in support of programs of nongovernmental organizations from funds appropriated by this Act to carry out the provisions of chapters 1, 10, 11, and 12 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961, and from funds appropriated under the heading "Middle East and North Africa Incentive Fund": Provided, That nothing in this subsection shall be construed to alter any existing statutory prohibitions against abortion or involuntary sterilizations contained in this or any other Act.

(b) PUBLIC LAW 480.—During fiscal year 2014, restrictions contained in this or any other Act with respect to assistance for a country shall not be construed to restrict assistance under the Food for Peace Act (Public Law 83-480), as amended: Provided, That none of the funds appropriated to carry out title I of such Act and made available pursuant to this subsection may be obligated or expended except as provided through the regular notification procedures of the Committees on Appropriations.

#### IMPACT ON JOBS IN THE UNITED STATES

SEC. 7020. None of the funds appropriated under titles III through VI of this Act may be obligated or expended to provide—

(1) any financial incentive to a business enterprise currently located in the United States for the purpose of inducing such an enterprise to relocate outside the United States if such incentive or inducement is likely to reduce the number of employees of such business enterprise in the United States because United States production is being replaced by such enterprise outside the United States; or

(2) assistance for any program, project, or activity that contributes to the violation of internationally recognized workers' rights, as defined in section 507(4) of the Trade Act of 1974, of workers in the recipient country, including any designated zone or area in that country: Provided, That the application of section 507(4) (D) and (E) of such Act should be commensurate with the level of development of the recipient country and sector, and shall not preclude assistance for the informal sector in such country, micro and small-scale enterprise, and smallholder agriculture.

#### INTERNATIONAL FINANCIAL INSTITUTIONS

SEC. 7021. (a) None of the funds appropriated under title V of this Act may be made as payment to any international financial institution while the United States executive director to such institution is compensated by the institution at a rate which, together with whatever compensation such executive director receives from the United States, is in excess of the rate provided for an individual occupying a position at level IV of the Executive Schedule under section 5315 of title 5, United States Code, or while any

alternate United States executive director to such institution is compensated by the institution at a rate in excess of the rate provided for an individual occupying a position at level V of the Executive Schedule under section 5316 of title 5, United States Code.

(b) For the purposes of this Act "international financial institutions" shall mean the International Bank for Reconstruction and Development, the International Development Association, the International Finance Corporation, the Inter-American Development Bank, the International Monetary Fund, the Asian Development Bank, the Asian Development Fund, the Inter-American Investment Corporation, the North American Development Bank, the European Bank for Reconstruction and Development, the African Development Bank and the African Development Fund.

#### DEBT-FOR-DEVELOPMENT

SEC. 7022. In order to enhance the continued participation of nongovernmental organizations in economic assistance activities under the Foreign Assistance Act of 1961, debt-for-development, and debt-for-nature exchanges, a nongovernmental organization which is a grantee or contractor of the United States Agency for International Development or the Department of State may place in interest bearing accounts funds made available by this Act and prior Acts or local currencies which accrue to that organization as a result of economic assistance provided under title III of this Act and any interest earned on such investment shall be used for the purpose for which the assistance was provided to that organization.

#### AUTHORITY TO ENGAGE IN DEBT BUYBACKS OR SALES

SEC. 7023. (a) LOANS ELIGIBLE FOR SALE, REDUCTION, OR CANCELLATION.—

(1) AUTHORITY TO SELL, REDUCE, OR CANCEL CERTAIN LOANS.—Notwithstanding any other provision of law, the President may, in accordance with this section, sell to any eligible purchaser any concessional loan or portion thereof made before January 1, 1995, pursuant to the Foreign Assistance Act of 1961, to the government of any eligible country as defined in section 702(6) of that Act or on receipt of payment from an eligible purchaser, reduce or cancel such loan or portion thereof, only for the purpose of facilitating—

(A) debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps; or

(B) a debt buyback by an eligible country of its own qualified debt, only if the eligible country uses an additional amount of the local currency of the eligible country, equal to not less than 40 percent of the price paid for such debt by such eligible country, or the difference between the price paid for such debt and the face value of such debt, to support activities that link conservation and sustainable use of natural resources with local community development, and child survival and other child development, in a manner consistent with sections 707 through 710 of the Foreign Assistance Act of 1961, if the sale, reduction, or cancellation would not contravene any term or condition of any prior agreement relating to such loan.

(2) TERMS AND CONDITIONS.—Notwithstanding any other provision of law, the President shall, in accordance with this section, establish the terms and conditions under which loans may be sold, reduced, or canceled pursuant to this section.

(3) ADMINISTRATION.—The Facility, as defined in section 702(8) of the Foreign Assistance Act of 1961, shall notify the administrator of the agency primarily responsible for administering part I of the Foreign Assistance Act of 1961 of purchasers that the President has determined to be eligible, and shall direct such agency to carry out the sale, reduction, or cancellation of a loan pursuant to this section: Provided, That such agency shall make adjustment in its accounts to reflect the sale, reduction, or cancellation.

(4) LIMITATION.—The authorities of this subsection shall be available only to the extent that appropriations for the cost of the modification, as defined in section 502 of the Congressional Budget Act of 1974, are made in advance.

(b) DEPOSIT OF PROCEEDS.—The proceeds from the sale, reduction, or cancellation of any loan sold, reduced, or canceled pursuant to this section shall be deposited in the United States Government account or accounts established for the repayment of such loan.

(c) ELIGIBLE PURCHASERS.—A loan may be sold pursuant to subsection (a)(1)(A) only to a purchaser who presents plans satisfactory to the President for using the loan for the purpose of engaging in debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps.

(d) DEBTOR CONSULTATIONS.—Before the sale to any eligible purchaser, or any reduction or cancellation pursuant to this section, of any loan

made to an eligible country, the President should consult with the country concerning the amount of loans to be sold, reduced, or canceled and their uses for debt-for-equity swaps, debt-for-development swaps, or debt-for-nature swaps.

(e) AVAILABILITY OF FUNDS.—The authority provided by subsection (a) may be used only with regard to funds appropriated by this Act under the heading "Debt Restructuring".

#### SPECIAL PROVISIONS

SEC. 7024. (a) AFGHANISTAN, BURMA, SUDAN, IRAQ, LEBANON, PAKISTAN, VICTIMS OF WAR, DISPLACED CHILDREN, AND DISPLACED BURMESE.—Funds appropriated in titles III and VI of this Act that are made available for assistance for Afghanistan, Burma, Sudan, Iraq, Lebanon, Pakistan, and for victims of war, displaced children, and displaced Burmese, and to assist victims of trafficking in persons and to combat such trafficking, may be made available notwithstanding any other provision of law.

(b) RECONSTITUTING CIVILIAN POLICE AUTHORITY.—In providing assistance with funds appropriated by this Act under section 660(b)(6) of the Foreign Assistance Act of 1961, support for a nation emerging from instability may be deemed to mean support for regional, district, municipal, or other sub-national entity emerging from instability, as well as a nation emerging from instability.

(c) WORLD FOOD PROGRAM.—Funds managed by the Bureau for Democracy, Conflict, and Humanitarian Assistance, United States Agency for International Development (USAID), from this or any other Act, may be made available as a general contribution to the World Food Program, notwithstanding any other provision of law.

(d) DISARMAMENT, DEMOBILIZATION AND REINTEGRATION.—Notwithstanding any other provision of law, regulation or Executive order, funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Economic Support Fund", "Peacekeeping Operations", "Middle East and North Africa Incentive Fund", "International Disaster Assistance", and "Transition Initiatives" may be made available to support programs to disarm, demobilize, and reintegrate into civilian society former members of foreign terrorist organizations: Provided, That for the purposes of this subsection the term "foreign terrorist organization" means an organization designated as a terrorist organization under section 219 of the Immigration and Nationality Act.

(e) CONTINGENCIES.—During fiscal year 2014, the President may use up to \$100,000,000 under the authority of section 451 of the Foreign Assistance Act of 1961, notwithstanding any other provision of law.

#### (f) PROMOTION OF DEMOCRACY.—

(1) Funds made available by this Act that are made available for the promotion of democracy may be made available notwithstanding any other provision of law, and with regard to the National Endowment for Democracy, any regulation.

(2) For the purposes of funds appropriated by this Act, the term "promotion of democracy" means programs that support good governance, human rights, independent media, and the rule of law, and otherwise strengthen the capacity of democratic political parties, governments, nongovernmental organizations and institutions, and citizens to support the development of democratic states, institutions, and practices that are responsive and accountable to citizens.

(3) With respect to the provision of assistance for democracy, human rights and governance activities in this Act, the organizations implementing such assistance and the specific nature of that assistance shall not be subject to the prior approval by the government of any foreign country.

(4) Not to exceed \$4,000,000 in funds made available for democracy promotion assistance programs of the Bureau of Democracy, Human Rights and Labor, Department of State, may be transferred to and merged with funds made available under the heading Diplomatic and Consular Affairs for the administrative costs of democracy promotion assistance programs.

#### (g) EXTENSION OF AUTHORITIES.—

(1) Section 1(b)(2) of the Passport Act of June 4, 1920 (22 U.S.C. 214(b)(2)) shall be applied by substituting "September 30, 2014" for "September 30, 2010".

(2) The authority provided by section 301(a)(3) of the Omnibus Diplomatic Security and Antiterrorism Act of 1986 (22 U.S.C. 4831(a)(3)) shall remain in effect through September 30, 2014.

(3) The authority contained in section 1115(d) of Public Law 111–32 shall remain in effect through September 30, 2014.

(4) Section 824(g) of the Foreign Service Act of 1980 (22 U.S.C. 4064(g)) shall be applied by substituting "September 30, 2014" for "October 1, 2010" in paragraph (2)(A) and "September 30, 2009" in paragraph (2)(B).

(5) Section 61(a) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2733(a)) shall be applied by substituting "September 30, 2014" for "October 1, 2010" in paragraph (2).

(6) Section 625(j)(1) of the Foreign Assistance Act of 1961 (22 U.S.C. 2385(j)(1)) shall be applied by substituting "September 30, 2014" for "October 1, 2010" in subparagraph (B).

(7) The authority provided by section 1113 of Public Law 111–32 shall remain in effect through September 30, 2014.

(h) **REPORTS REPEALED.**—Sections 51(a)(2) and 404(e) of Public Law 84–885; Section 304(f) of Public Law 107–173; Section 1213 of Public Law 106–398; Section 804(b) of Public Law 101–246; Section 721(c) of Appendix G, Public Law 106–113; Section 1012(c) of Public Law 103–337; Section 702(c) and subsections (c)(4) and (c)(5) of section 601 of Public Law 96–465; Sections 570(d) and 585 in the matter under section 101(c) of Division A of Public Law 104–208; Sections 613(b) and 702 of Public Law 107–228; Sections 549, 620C(c), 620F(c), 655, and 656 of Public Law 87–195; Sections 8 and 11(b) of Public Law 107–245; Section 4(b) of Public Law 79–264; Sections 181 and 404(c) of Public Law 102–138; Section 527(f) of Public Law 103–236; Sections 12(a) and 12(b) of Public Law 108–19; Subsections (e) and (f) of section 539 of the Foreign Assistance and Related Programs Appropriations Act, 1987 (title V of Public Law 99–591, as enacted by section 101(f) of such public law; 22 U.S.C. 262l); Subsection (b) of section 533 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1990 (Public Law 101–167; 22 U.S.C. 262l note); Section 2 of Public Law 108–215 (22 U.S.C. 290m–6); Section 501(j) of title V of H.R. 3425 as enacted into law by section 1000(a)(5) of Public Law 106–113; subsection (b) of section 1621 of the International Financial Institutions Act, as amended (22 U.S.C. 262p–4p); Subsection (c) of section 701 of the International Financial Institutions Act, as amended (22 U.S.C. 262d); Subsections (a), (b), and (d) of section 1701 of the International Financial Institutions Act, as amended (22 U.S.C. 262r); Section 583 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1999 (Public Law 105–277); Section 605(d) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 1999 (as enacted by Section 101(d), Division A, Public Law 105–277); Subsection (b) of section 803 of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2001 (as enacted by Public Law 106–429; 22 U.S.C. 262r–6); Subsection (g)(3) of section 7081 of the Department of State, Foreign Operations, and Related Programs Appropriations Act, 2010 (Division F of Public Law 111–117); and Subsection (a) of section 1705 of the International Financial Institutions Act, as amended (22 U.S.C. 262r–4) are hereby repealed.

(i) **REPORT LANGUAGE AMENDED.**—

(A) Subsection (b) of section 504 of Appendix E of Public Law 106–113 (as enacted by section 1000(a)(5) of such Public Law) is amended by striking "a quarterly" and inserting in its place "an annual".

(B) Section 1621 of the International Financial Institutions Act, as amended (22 U.S.C. 262p–4p), is amended by striking "(a)";

(C) Section 129(h)(1) of the Foreign Assistance Act of 1961, as amended (22 U.S.C. 2151aa(h)(1)), is amended by striking the language at the beginning of the subsection "Not later than 3 months after the date of the enactment of this section, and every 6 months thereafter," replacing the word "the" before "Secretary" with "The", replacing the word "a" with "an annual", and replacing the phrase "6-month period" with "year."

(D) Subsection (b) of section 1705 of the International Financial Institutions Act, as amended (22 U.S.C. 262r–4) is amended by striking "(b) After submitting the report required by subsection (a) but not later than March 1 of each year, the Secretary of the Treasury shall appear before the Committee on Banking and" and inserting in its place "The Secretary of the Treasury shall appear annually before the Committee on".

(j) **WAIVER.**—

(1)(A) The President may waive the provisions of section 1003(1) and (2) of Public Law 100–204 if the President determines and certifies in writing to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations that it is important to the national security interests of the United States.

(B) **PERIOD OF APPLICATION OF WAIVER.**—Any waiver pursuant to paragraph (1)(A) shall be effective for no more than a period of 6 months at a time and shall not apply beyond 12 months after the enactment of this Act.

(2) Upon written certification to the Speaker of the House of Representatives, the President pro tempore of the Senate, and the Committees on Appropriations, the President may waive the provisions of section 1003(3) of Public Law 100–204.

(k) **ENTERPRISE FUNDS.**—Funds appropriated by this Act may be made available to finance one or more enterprise funds for countries in the Middle East and North Africa: Provided, That section 201 of the Support for East European Democracy (SEED) Act of 1989, excluding subsections (b), (c), and (f), shall be deemed to apply to any such fund or funds, and to funds made available to such fund or funds, in order to enable such fund or funds to provide assistance: Provided further, That the authority of any such fund or funds to provide assistance shall cease to be effective on December 31, 2024.

(l) **NEAR EAST REGIONAL DEMOCRACY.**—Funds appropriated in this or prior appropriations Acts for assistance to fund fellowships and scholarships and exchanges for foreign academic professionals and foreign university students may be made available for such purposes for Near East countries notwithstanding any other provision of law.

(m) **PROPERTY MANAGEMENT.**—Section 585(a) of Public Law 101–513 is amended by inserting "and for maintenance" after "of that Act".

(n) **MICROENTERPRISE AND MICROFINANCE.**—

(1) Notwithstanding the requirements of sections 254(a)(1) and (2) of the Foreign Assistance Act of 1961, the USAID Administrator may certify, pursuant to section 254(a)(3) of such Act, poverty assessment tools developed by an organization other than USAID.

(2) Section 258(b) of the Foreign Assistance Act of 1961 is amended as follows:

(A) by striking paragraph (1) and paragraphs (6) through (11); and

(B) by redesignating paragraphs (2) through (5) as paragraphs (1) through (4), respectively.

(o) **WOMEN'S PEACE AND SECURITY.**—In furtherance of the Presidential Memorandum of January 30, 2013, there is hereby established an Office of Global Women's Issues headed by a Coordinator for Global Women's Issues designated by the Secretary of State, who may also be appointed as an Ambassador-at-Large, and who shall, to the extent the Secretary may direct, provide guidance and direction on assistance provided through this Fund.

(p) **SPECIALIZED AGENCY WAIVERS.**—The President may waive section 414 of Public Law 101–246 and section 410 of Public Law 103–236 on a case-by-case basis, if the President determines and certifies in writing to the Speaker of the House of Representatives, the President Pro Tempore of the Senate, and the Committees on Appropriations that to do so is important to the national interest of the United States.

(q) **EXTENSION.**—Section 1244 of P.L. 110–181, as amended, is further amended by adding at the end of subsection (c)(3)(B) the following new subparagraph: "(C) **ADDITIONAL FISCAL YEARS.** Any unused balance of the total number of principal aliens who may be provided special immigrant status under this subsection in fiscal years 2008 through 2012 may be carried forward and provided through the end of Fiscal Year 2018, notwithstanding the provisions of subparagraph (A) and (B), and consistent with relevant terms of subsection (b), except that the one year period during which an alien must have been employed in accordance with subsection (b)(1) shall be the period from March 20, 2003 through September 30, 2013, and except that the principal alien seeking special immigrant status under this subparagraph shall apply to the Chief of Mission in accordance with subsection (b)(4) no later than September 30, 2017".

(r) **EXTENSION.**—Section 602(b) of Public Law 111–8 is amended by adding at the end of subsection 602(b)(3)(C): "(D) **ADDITIONAL FISCAL YEARS.** For each of the Fiscal Years 2014 through 2018, the total number of principal aliens who may be provided special immigrant status under this section may not exceed 3,000 per year, except that any

unused balance of the total number of principal aliens who may be provided special immigrant status in fiscal years 2014 through 2018, in addition to any unused balance of the total number of principal aliens who may be provided special immigrant status under paragraph (A) of this subsection in fiscal years 2009 through 2013, may be carried forward and provided through the end of Fiscal Year 2019, notwithstanding the provisions of paragraph (C), except that the one year period during which an alien must have been employed in accordance with subsection (b)(2)(A)(ii) shall be the period from October 7, 2001 through December 31, 2014, and except that the principal alien seeking special immigrant status under this subparagraph shall apply to the Chief of Mission in accordance with subsection (b)(2)(D) no later than September 30, 2015.

#### RESTRICTIONS CONCERNING THE PALESTINIAN AUTHORITY

SEC. 7025. None of the funds appropriated under titles II through VI of this Act should be obligated or expended to create in any part of Jerusalem a new office of any department or agency of the United States Government for the purpose of conducting official United States Government business with the Palestinian Authority over Gaza and Jericho or any successor Palestinian governing entity provided for in the Israel-PLO Declaration of Principles: Provided, That this restriction shall not apply to the acquisition of additional space for the existing Consulate General in Jerusalem: Provided further, That meetings between officers and employees of the United States and officials of the Palestinian Authority, or any successor Palestinian governing entity provided for in the Israel-PLO Declaration of Principles, for the purpose of conducting official United States Government business with such authority should continue to take place in locations other than Jerusalem: Provided further, That as has been true in the past, officers and employees of the United States Government may continue to meet in Jerusalem on other subjects with Palestinians (including those who now occupy positions in the Palestinian Authority), have social contacts, and have incidental discussions.

#### PROHIBITION ON ASSISTANCE TO THE PALESTINIAN BROADCASTING CORPORATION

SEC. 7026. None of the funds appropriated or otherwise made available by this Act may be used to provide equipment, technical support, consulting services, or any other form of assistance to the Palestinian Broadcasting Corporation.

#### EGYPT

SEC. 7027. EGYPT.—The authority contained in section 7041(a)(3) of division I of Public Law 112–74 shall continue in effect during fiscal year 2014, except that the fourth and fifth provisos shall not apply: Provided, That such initiative may be funded using funds appropriated under the headings "Economic Support Fund" and "Middle East and North Africa Incentive Fund".

#### ASIA

##### SEC. 7028. (a) TIBET.—

Notwithstanding any other provision of law, funds appropriated by this Act under the heading "Economic Support Fund" may be made available to nongovernmental organizations to support activities which preserve cultural traditions and promote sustainable development and environmental conservation in Tibetan communities in the Tibetan Autonomous Region and in other Tibetan communities in China.

(b) NORTH KOREA. Funds appropriated under the heading "Economic Support Fund" may be made available for programs to support the goals of the Six Party Talks, including nuclear security initiatives relating to North Korea, notwithstanding any other provision of law.

(c) PEOPLE'S REPUBLIC OF CHINA.—Notwithstanding any other provision of law, funds appropriated by this Act may be made available for activities with the People's Republic of China designed to leverage assistance programs and improve aid effectiveness.

(d) VIETNAM.—Funds appropriated under the heading "Economic Support Fund" may be made available for remediation of dioxin contaminated sites in Vietnam and may be made available for assistance for the Government of Vietnam, including the military, for such purposes.

(e) Funds appropriated in this Act under the heading "Economic Support Fund" may be made available for Asian regional programs that include countries or governments otherwise ineligible for United States assistance, notwithstanding any other provision of law.

#### WESTERN HEMISPHERE

##### SEC. 7029. (a) COLOMBIA.—

(1) Funds appropriated by this Act and made available to the Department of State for assistance to the Government of Colombia may be used to support a unified campaign against narcotics trafficking, illegal

armed groups, and organizations designated as Foreign Terrorist Organizations and successor organizations, and to take actions to protect human health and welfare in emergency circumstances, including undertaking rescue operations: Provided, That rotary and fixed wing aircraft supported with funds appropriated under the heading "International Narcotics Control and Law Enforcement" for assistance for Colombia may be used for aerial or manual drug eradication and interdiction including to transport personnel and supplies and to provide security for such operations: Provided further, That such aircraft may also be used to provide transport in support of alternative development programs and investigations by civilian judicial authorities.

(b) HAITI.—The Government of Haiti shall be eligible to purchase defense articles and services under the Arms Export Control Act (22 U.S.C. 2751 et seq.) for the Coast Guard.

#### SOUTH ASIA

##### SEC. 7030. (a) AFGHANISTAN.—

###### (1) Authorities.—

(A) Funds appropriated or otherwise made available for assistance for Afghanistan may be made available as a United States contribution to the Afghanistan Reconstruction Trust Fund (ARTF), and to an internationally managed fund to support the reconciliation with and disarmament, demobilization and reintegration into Afghan society of former combatants who have renounced violence against the Government of Afghanistan. Funds appropriated or otherwise made available in this and prior year Acts for assistance for Afghanistan may be made available as a United States contribution to other multi-donor trust funds.

(B) The authority contained in section 1102(c) of Public Law 111–32 shall continue in effect during fiscal year 2014 and shall apply as if part of this Act.

(b) REGIONAL CROSS BORDER PROGRAMS.—Funds appropriated by this Act under the heading "Economic Support Fund" for assistance for Afghanistan and Pakistan may be provided, notwithstanding any other provision of law that restricts assistance to foreign countries, for cross border stabilization and development programs between Afghanistan and Pakistan or between either country and the Central Asian republics.

#### WAR CRIMES TRIBUNALS DRAWDOWN

SEC. 7031. If the President determines that doing so will contribute to a just resolution of charges regarding genocide or other violations of international humanitarian law, the President may direct a drawdown pursuant to section 552(c) of the Foreign Assistance Act of 1961 of up to \$30,000,000 of commodities and services for the United Nations War Crimes Tribunal established with regard to the former Yugoslavia by the United Nations Security Council or such other tribunals or commissions as the Council may establish or authorize to deal with such violations, without regard to the ceiling limitation contained in paragraph (2) thereof: Provided, That the determination required under this section shall be in lieu of any determinations otherwise required under section 552(c): Provided further, That funds made available pursuant to this section shall be made available subject to the regular notification procedures of the Committees on Appropriations.

#### COMMUNITY-BASED POLICE ASSISTANCE

SEC. 7032. AUTHORITY.—Funds made available by titles III and IV of this Act to carry out the provisions of chapter 1 of part I and chapters 4 and 6 of part II of the Foreign Assistance Act of 1961, may be used, notwithstanding section 660 of that Act, to enhance the effectiveness and accountability of civilian police authority through training and technical assistance in human rights, the rule of law, anti-corruption, strategic planning, and through assistance to foster civilian police roles that support democratic governance including assistance for programs to prevent conflict, respond to disasters, address gender-based violence, and foster improved police relations with the communities they serve.

#### AIRCRAFT TRANSFER AND COORDINATION

SEC. 7033. (a) TRANSFER AUTHORITY.—Notwithstanding any other provision of law or regulation, aircraft procured with funds appropriated by this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs under the headings "Diplomatic and Consular Programs", "International Narcotics Control and Law Enforcement", "Andean Counterdrug Initiative" and "Andean Counterdrug Programs" may be used for any other program and in any region, including for the transportation of active and standby Civilian Response Corps personnel and equipment during a deployment.



**(b) AIRCRAFT COORDINATION.—**

(1) *The uses of aircraft purchased or leased by the Department of State and the United States Agency for International Development (USAID) with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs should be coordinated under the authority of the appropriate Chief of Mission: Provided, That such aircraft may be used to transport, on a reimbursable or non-reimbursable basis, Federal and non-Federal personnel supporting Department of State and USAID programs and activities: Provided further, That official travel for other agencies for other purposes may be supported on a reimbursable basis, or without reimbursement when traveling on a space available basis: Provided further, That in fiscal year 2014, funds received by the Department of State in connection with the use of vehicles or aircraft owned, leased, or chartered by the Department of State may be credited to the applicable account of the Department of State and shall be available for expenses related to the purchase, lease, maintenance, chartering, or operation of such vehicles or aircraft.*

(2) *The requirement and authorities of this subsection should only apply to aircraft, the primary purpose of which is the transportation of personnel.*

**LANDMINES**

**SEC. 7034. LANDMINES.**—Notwithstanding any other provision of law, demining equipment available to the United States Agency for International Development and the Department of State and used in support of the clearance of landmines and unexploded ordnance for humanitarian purposes may be disposed of on a grant basis in foreign countries, subject to such terms and conditions as the Secretary of State may prescribe.

**PROHIBITION ON PUBLICITY OR PROPAGANDA**

**SEC. 7035.** *No part of any appropriation contained in this Act shall be used for publicity or propaganda purposes within the United States not authorized before the date of the enactment of this Act by the Congress.*

**UNITED STATES AGENCY FOR INTERNATIONAL DEVELOPMENT MANAGEMENT (INCLUDING TRANSFER OF FUNDS)**

**SEC. 7036. (a) AUTHORITY.**—Up to \$93,000,000 of the funds made available in title III of this Act to carry out the provisions of part I of the Foreign Assistance Act of 1961 may be used by the United States Agency for International Development (USAID) to hire and employ individuals in the United States and overseas on a limited appointment basis pursuant to the authority of sections 308 and 309 of the Foreign Service Act of 1980.

**(b) RESTRICTIONS.—**

(1) *The number of individuals hired in any fiscal year pursuant to the authority contained in subsection (a) may not exceed 175.*

(2) *The authority to hire individuals contained in subsection (a) shall expire on September 30, 2015.*

(c) **CONDITIONS.**—The authority of subsection (a) should only be used to the extent that an equivalent number of positions that are filled by personal services contractors or other non-direct hire employees of USAID, who are compensated with funds appropriated to carry out part I of the Foreign Assistance Act of 1961 are eliminated.

(d) **PROGRAM ACCOUNT CHARGED.**—The account charged for the cost of an individual hired and employed under the authority of this section shall be the account to which such individual's responsibilities primarily relate: *Provided, That funds made available to carry out this section may be transferred to, and merged with, funds appropriated by this Act in title II under the heading "Operating Expenses".*

(e) **FOREIGN SERVICE LIMITED EXTENSIONS.**—Individuals hired and employed by USAID, with funds made available in this Act or prior Acts making appropriations for the Department of State, foreign operations, and related programs, pursuant to the authority of section 309 of the Foreign Service Act of 1980, may be extended for a period of up to 4 years notwithstanding the limitation set forth in such section.

(f) **DISASTER SURGE CAPACITY.**—Funds appropriated under title III of this Act to carry out part I of the Foreign Assistance Act of 1961 may be used, in addition to funds otherwise available for such purposes, for the cost (including the support costs) of individuals detailed to or employed by USAID whose primary responsibility is to carry out programs in response to natural or man-made disasters.

(g) **PERSONAL SERVICES CONTRACTORS.**—Funds appropriated by this Act to carry out chapter 1 of part I, chapter 4 of part II, and section 667 of the Foreign Assistance Act of 1961, and title II of the Agricultural Trade Development and Assistance Act of 1954, may be used by USAID

to employ up to 40 personal services contractors in the United States, notwithstanding any other provision of law, for the purpose of providing direct, interim support for new or expanded overseas programs and activities managed by the agency until permanent direct hire personnel are hired and trained: *Provided, That such funds appropriated to carry out title II of the Agricultural Trade Development and Assistance Act of 1954, may be made available only for personal services contractors assigned to the Office of Food for Peace.*

(h) **SMALL BUSINESS.**—In entering into multiple award indefinite-quantity contracts with funds appropriated by this Act, USAID may provide an exception to the fair opportunity process for placing task orders under such contracts when the order is placed with any category of small or small disadvantaged business.

(i) **SENIOR FOREIGN SERVICE LIMITED APPOINTMENTS.**—Individuals hired pursuant to the authority provided by section 7059(o) of division F of Public Law 111–117 may be assigned to or support programs in Iraq, Afghanistan, or Pakistan with funds made available in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs.

**GLOBAL HEALTH ACTIVITIES**

**SEC. 7037. IN GENERAL.**—Funds appropriated by titles III and IV of this Act that are made available for global health activities including activities relating to research on, and the prevention, treatment and control of, HIV/AIDS may be made available notwithstanding any other provision of law except for provisions under the heading "Global Health Programs" and the United States Leadership Against HIV/AIDS, Tuberculosis, and Malaria Act of 2003 (117 Stat. 711; 22 U.S.C. 7601 et seq.), as amended.

**ENVIRONMENT AND FOOD SECURITY**

**SEC. 7038. (a) ENVIRONMENT PROGRAMS.—**

(1) *Funds appropriated by this Act may be made available for United States contributions to the Least Developed Countries Fund, the Special Climate Change Fund, the Forest Carbon Partnership facility, and the Partnership for Market Readiness to support adaptation and mitigation programs and activities.*

(2) **AUTHORITY.**—Funds appropriated by this Act to carry out the provisions of sections 103 through 106, and chapter 4 of part II, of the Foreign Assistance Act of 1961 may be used, notwithstanding any other provision of law, to support environment programs.

(b) **FOOD SECURITY AND AGRICULTURE DEVELOPMENT.** Funds appropriated by title III of this Act may be made available for food security and agriculture development programs notwithstanding any other provision of law.

**REQUESTS FOR DOCUMENTS**

**SEC. 7039.** None of the funds appropriated or made available pursuant to titles III through VI of this Act shall be available to a nongovernmental organization, including any contractor, which fails to provide upon timely request any document, file, or record necessary to the auditing requirements of the United States Agency for International Development.

**OVERSEAS PRIVATE INVESTMENT CORPORATION (INCLUDING TRANSFER OF FUNDS)**

**SEC. 7040. (a)** Whenever the President determines that it is in furtherance of the purposes of the Foreign Assistance Act of 1961, up to a total of \$20,000,000 of the funds appropriated under title III of this Act may be transferred to, and merged with, funds appropriated by this Act for the Overseas Private Investment Corporation Program Account, to be subject to the terms and conditions of that account: *Provided, That such funds shall not be available for administrative expenses of the Overseas Private Investment Corporation: Provided further, That designated funding levels in this Act shall not be transferred pursuant to this section: Provided further, That the exercise of such authority shall be subject to the regular notification procedures of the Committees on Appropriations.*

(b) Notwithstanding section 235(a)(2) of the Foreign Assistance Act of 1961, the authority of subsections (a) through (c) of section 234 of such Act shall remain in effect until September 30, 2014.

**INTERNATIONAL PRISON CONDITIONS**

**SEC. 7041. (a)** Funds appropriated by this Act to carry out the provisions of chapters 1 and 11 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961, and the Support for East European Democracy (SEED) Act of 1989, may be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961, for assistance to eliminate inhumane conditions in foreign prisons and other detention facilities.



## PROHIBITION ON USE OF TORTURE

SEC. 7042. (a) *None of the funds made available in this Act may be used to support or justify the use of torture, cruel or inhumane treatment by any official or contract employee of the United States Government.*

(b) *Funds appropriated by this Act to carry out the provisions of chapters 1, 10, 11, and 12 of part I and chapter 4 of part II of the Foreign Assistance Act of 1961, and the Support for East European Democracy (SEED) Act of 1989, may be made available, notwithstanding section 660 of the Foreign Assistance Act of 1961, for assistance to eliminate torture by foreign police, military or other security forces in countries receiving assistance from funds appropriated by this Act.*

## COMMERCIAL LEASING OF DEFENSE ARTICLES

SEC. 7043. *Notwithstanding any other provision of law, the authority of section 23(a) of the Arms Export Control Act may be used to provide financing to Israel, Egypt and NATO and major non-NATO allies for the procurement by leasing (including leasing with an option to purchase) of defense articles from United States commercial suppliers, not including Major Defense Equipment (other than helicopters and other types of aircraft having possible civilian application), if the President determines that there are compelling foreign policy or national security reasons for those defense articles being provided by commercial lease rather than by government-to-government sale under such Act.*

## INDEPENDENT STATES OF THE FORMER SOVIET UNION

SEC. 7044. (a) *Section 907 of the FREEDOM Support Act shall not apply to—*

(1) *activities to support democracy or assistance under title V of the FREEDOM Support Act and section 1424 of Public Law 104–201 or non-proliferation assistance;*

(2) *any assistance provided by the Trade and Development Agency under section 661 of the Foreign Assistance Act of 1961 (22 U.S.C. 2421);*

(3) *any activity carried out by a member of the United States and Foreign Commercial Service while acting within his or her official capacity;*

(4) *any insurance, reinsurance, guarantee or other assistance provided by the Overseas Private Investment Corporation under title IV of chapter 2 of part I of the Foreign Assistance Act of 1961 (22 U.S.C. 2191 et seq.);*

(5) *any financing provided under the Export-Import Bank Act of 1945; or*

(6) *humanitarian assistance.*

## PROCUREMENT REFORM

SEC. 7045. (a) **LOCAL COMPETITION.**—*Notwithstanding any other provision of law, the Administrator of the United States Agency for International Development (USAID) may, with funds made available in this Act and prior Acts making appropriations for the Department of State, foreign operations, and related programs, award contracts and other acquisition instruments in which competition is limited to local entities if doing so would result in cost savings, develop local capacity, or enable the USAID Administrator to initiate a program or activity in appreciably less time than if competition were not so limited: Provided, That the authority provided in this section may not be used to make awards in excess of \$5,000,000 and shall not exceed more than 10 percent of the funds made available to USAID under this Act for assistance programs.*

(b) *For the purposes of this section, local entity means an individual, a corporation, a nonprofit organization, or another body of persons that—*

(1) *is legally organized under the laws of;*

(2) *has as its principal place of business or operations in; and*

(3) *either is—*

(A) *a for-profit entity majority owned and operated by individuals who are citizens or lawful permanent residents of; or*

(B) *a non-profit entity majority operated and managed by individuals who are citizens or lawful permanent residents of; a country receiving assistance from funds appropriated under title III of this Act.*

(c) *For purposes of this section, "majority owned" and "managed by" include, without limitation, beneficiary interests and the power, either directly or indirectly, whether exercised or exercisable, to control the election, appointment, or tenure of the organization's managers or a majority of the organization's governing body by any means.*

## SPECIAL DEFENSE ACQUISITION FUND

SEC. 7046.

**AUTHORIZATION OF OBLIGATIONS.**—*Not to exceed \$100,000,000 may be obligated pursuant to section 51(c)(2) of the Arms Export Control Act for the purposes of the Special Defense Acquisition Fund (Fund), to remain available for obligation until September 30, 2016: Provided, That the provision of defense articles and defense services to foreign countries or international organizations from the Fund shall be subject to the concurrence of the Secretary of State.*

## AUTHORIZATION FOR SELECTIVE CAPITAL INCREASE

SEC. 7047. *Section 70 of the Bretton Woods Agreements Act (22 U.S.C. 286 et seq.), is amended in subsection (b) by adding at the end the following: "(3) In order to pay for the increase in the United States subscription to the Bank under subsection (a)(1)(B), there are authorized to be appropriated, without fiscal year limitation, \$4,639,501,466 for payment by the Secretary of the Treasury. (4) Of the amount authorized to be appropriated under paragraph (1)(A)(i), \$278,370,088 shall be for paid in shares of the Bank; and (ii) \$4,361,131,378 shall be for callable shares of the Bank."*

## AUTHORITY FOR REPLENISHMENTS

SEC. 7048. *The Asian Development Bank Act, Public Law 89–369, as amended (22 U.S.C. 285 et seq.), is further amended by adding at the end thereof the following new sections: "Sec. 35. Tenth Replenishment.*

(a) *The United States Governor of the Bank is authorized to contribute, on behalf of the United States, \$359,600,000 to the tenth replenishment of the resources of the Fund, subject to obtaining the necessary appropriations.*

(b) *In order to pay for the United States contribution provided for in subsection (a), there are authorized to be appropriated, without fiscal year limitation, \$359,600,000 for payment by the Secretary of the Treasury."*

## SCIENCE AND TECHNOLOGY

SEC. 7049. *Of the amounts made available by this or any other Act under the heading "Diplomatic and Consular Programs", up to \$1,000,000 may be made available for grants pursuant to section 504 of Public Law 95–426 (22 U.S.C. 2656d), including to facilitate collaboration with indigenous communities.*

## FRAUD PREVENTION AND DETECTION FEES

SEC. 7050. *In addition to the uses permitted pursuant to Section 286(v)(2)(A) of the Immigration and Nationality Act (8 U.S.C. 1356(v)(2)(A)), the Secretary of State may also use fees deposited into the Fraud Prevention and Detection Account for programs and activities: (i) to increase the number of personnel assigned to the function of preventing and detecting visa fraud; and (ii) to purchase, lease, construct, and staff facilities used for the processing of the class of visas described in subparagraphs (H)(i), (H)(ii), or (L) of section 101(a)(15) of that Act.*

## HIV/AIDS WORKING CAPITAL FUND

SEC. 7051. *Funds available in the HIV/AIDS Working Capital Fund (in this section referred to as the "Fund") established pursuant to Section 525(b)(1) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–477) may be made available for pharmaceuticals and other products for other global health and child survival activities to the same extent as HIV/AIDS pharmaceuticals and other products, subject to the terms and conditions in such section: Provided, That the authority in Section 525(b)(5) of the Foreign Operations, Export Financing, and Related Programs Appropriations Act, 2005 (Public Law 108–477) shall not be exercised by the Coordinator of the United States Activities to Combat HIV/AIDS Globally with respect to funds deposited for non-HIV/AIDS pharmaceuticals and other products.*

## WORKING CAPITAL FUND

SEC. 7052. (a) *The Administrator of the United States Agency for International Development (the Administrator) is authorized to establish a Working Capital Fund (in this section referred to as the "Fund").*

(b) *Funds deposited in the Fund during any fiscal year shall be available without fiscal year limitation and used, in addition to other funds available for such purposes, for administrative costs resulting from agency implementation and procurement reform efforts, the administration of this Fund, and administrative contingencies designated by the Administrator. Such expenses may include — (1) personal and non-personal services; (2) training; (3) supplies; and (4) other administrative costs related to implementation and procurement reform and administrative contingencies.*

(c) *There may be deposited during any fiscal year in the Fund up to 1 percent of the total value of obligations entered into by the United States Agency for International Development (USAID) from appropriations*

available to USAID and any appropriation made available for the purpose of providing capital. Receipts from the disposal of, or repayments for the loss or damage to, property held in the Fund, rebates, reimbursements, refunds and other credits applicable to the operation of the Fund may be deposited into the Fund.

(d) At the close of each fiscal year the Administrator shall transfer to the general fund of the Treasury amounts in excess of \$100,000,000, and such other amounts as the Administrator determines to be in excess of the needs of the Fund.

#### PRIZE AUTHORITY

SEC. 7053. Funds appropriated in this Act may be made available for prizes in accordance with section 24 of the Stevenson-Wydler Technology Innovation Act of 1980, except that foreign citizens and foreign private entities may be eligible for such prizes notwithstanding section 24(g)(3) of such Act.

#### CONFLICT STABILIZATION OPERATIONS

SEC. 7054. (a) Funds appropriated or made available under this or any other Act for reconstruction and stabilization assistance, including funds that are reprogrammed or transferred to be made available for such purposes, may be made available for such purposes, notwithstanding any other provision of law: Provided, That the administrative authorities of the Foreign Assistance Act of 1961 may be utilized for assistance furnished with such funds: Provided further, That the President may furnish additional assistance by executing the authorities provided in sections 552(c) and 610 of the Foreign Assistance Act, notwithstanding the percentage and dollar limitations in such sections: Provided further, That funds allocated or reprogrammed for purposes of this section shall remain available until expended.

(b) The authority provided by section 618 of the Foreign Assistance Act of 1961 shall remain in effect through 2014.

#### BORDER CROSSING CARD FEE FOR MINORS

SEC. 7055. Section 410(a)(1)(A) of the Department of State and Related Agencies Appropriations Act, 1999 (Public Law 105-277) is amended by striking "a fee of \$13" and inserting instead "a fee equal to one half the fee that would otherwise apply for processing a machine readable combined border crossing identification card and non-immigrant visa".

#### BUYING POWER MAINTENANCE, INTERNATIONAL ORGANIZATIONS

SEC. 7056. (a) There may be established in the Treasury of the United States a "Buying Power Maintenance, International Organizations" account.

(b) At the end of each fiscal year, the Secretary of State may transfer to and merge with "Buying Power Maintenance, International Organizations" such amounts from "Contributions to International Organizations" as the Secretary determines are in excess of the needs of activities funded from "Contributions to International Organizations" because of fluctuations in foreign currency exchange rates.

(c) In order to offset adverse fluctuations in foreign currency exchange rates, the Secretary of State may transfer to and merge with "Contributions to International Organizations" such amounts from "Buying Power Maintenance, International Organizations" as the Secretary determines are necessary to provide for the activities funded from "Contributions to International Organizations".

(d)(1) Subject to the limitations contained in this section, not later than the end of the fifth fiscal year after the fiscal year for which funds are appropriated or otherwise made available for "Contributions to International Organizations", the Secretary of State may transfer any unobligated balance of such funds to the "Buying Power Maintenance, International Organizations" account.

(2) The balance of the Buying Power Maintenance, International Organizations account may not exceed \$100,000,000 as a result of any transfer under this subsection.

(3) Any transfer pursuant to this subsection shall be treated as a reprogramming of funds under section 34 of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2706) and shall be available for obligation or expenditure only in accordance with the procedures under such section.

(e)(1) Funds transferred to the "Buying Power Maintenance, International Organizations" account pursuant to this section shall remain available until expended.

(2) The transfer authorities in this section shall be available for funds appropriated for fiscal year 2014 and for each fiscal year thereafter,

and are in addition to any transfer authority otherwise available to the Department of State under other provisions of law.

#### IT INNOVATION FUND

SEC. 7057. Of the funds appropriated or otherwise made available for "Diplomatic and Consular Programs" or "Capital Investment Fund" under Title I of this Act, up to \$2,000,000 may be made available, including through grants and cooperative agreements, to support training, workshops, conferences, or other programs to enhance the capacity of foreign governments, nongovernmental organizations, and civil society in foreign countries to use technology in support of economic development, education, and health objectives.

#### DEPARTMENT OF STATE ORGANIZATION

SEC. 7058. (a) Section 1 of the State Department Basic Authorities Act of 1956 (22 U.S.C 2651a) is amended—

(a) in subsection (c)(1), by striking "24" and inserting "25"; and

(b) by revising subsection (e) to read as follows: "The Secretary of State may designate a senior official to be responsible for the overall supervision (including policy oversight of resources) of international counterterrorism activities and to serve as the principal adviser to the Secretary of State on international counterterrorism matters and the principal counterterrorism official within the senior management of the Department of State."

(b) Section 62(a) of the State Department Basic Authorities Act of 1956 (22 U.S.C. 2734(a)) is amended —

(1) by revising the heading to read as follows: "Coordination of Reconstruction and Stabilization Activities";

(2) by revising paragraph (1) to read as follows: "The Secretary of State may designate a senior official to be responsible for the coordination of reconstruction and stabilization activities";

(3) by striking paragraph (2) and redesignating paragraph (3) as paragraph (2); and

(4) in paragraph (2), as redesignated, by striking "Office of the Coordinator for Reconstruction and Stabilization" and inserting in lieu thereof "senior official designated pursuant to paragraph (1)".

#### TRANSFER OF EXPIRED BALANCES TO THE PROTECTION OF FOREIGN MISSIONS AND OFFICIALS ACCOUNT

SEC. 7059. The Secretary of State may transfer to and merge with "Protection of Foreign Missions and Officials" unobligated balances of expired, discretionary funds appropriated under the "Diplomatic and Consular Programs" heading for fiscal year 2014 and for each fiscal year thereafter, except for funds designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, at no later than the end of the fifth fiscal year after the last fiscal year for which such funds are available for the purposes for which appropriated: Provided, That no more than \$50,000,000 may be transferred in any single fiscal year.

#### AUTHORITY TO ISSUE ADMINISTRATIVE SUBPOENAS

SEC. 7060. Section 3486 of Title 18, United States Code, is amended—

(a) In subsection (a)(1)(A)—

(1) in clause (ii), by striking "or"; and

(2) by adding new clauses (iv) and (v) immediately prior to "may issue in writing and cause to be served a subpoena", as follows: "(iv) an offense under section 878, or a threat against a person, foreign mission or organization authorized to receive protection by special agents of the Department of State and the Foreign Service under paragraph (3) of section 2709 of title 22, if the Assistant Secretary for Diplomatic Security or the Director of the Diplomatic Security Service determines that the threat constituting the offense or threat against the person or place protected is imminent, the Secretary of State; or "(v) an offense under chapter 75, Passports and Visas, the Secretary of State,";

(b) in subsection (a)(9), by striking "(1)(A)(i)(II) or (1)(A)(iii)" and inserting "(1)(A)(i)(II), (1)(A)(iii), (1)(A)(iv), or (1)(A)(v)";

(c) in subsection (a)(10), by inserting before the period, ", and as soon as practicable following issuance of a subpoena under paragraph (1)(A)(iv) the Secretary of State shall notify the Attorney General of its issuance."; and

(d) in subsection (e)(1) by replacing the existing language with the following:

"(1) Health information about an individual that is disclosed under this section may not be used in, or disclosed to any person for use in,

any administrative, civil, or criminal action or investigation directed against the individual who is the subject of the information unless the action or investigation arises out of and is directly related to receipt of health care or payment for health care or action involving a fraudulent claim related to health; directly relates to the purpose for which the subpoena was authorized under paragraph (a)(1); or if authorized by an appropriate order of a court of competent jurisdiction, granted after application showing good cause therefor."

MILLENNIUM CHALLENGE CORPORATION

SEC. 7061. *The Millennium Challenge Act of 2003, 22 U.S.C. 7701, is amended as follows:*

(a) **DURATION OF COMPACTS.**—Section 609(j) of the Act is amended to read as follows:

"(j) **DURATION OF COMPACT.**—

"(1) **IN GENERAL.**—Except as provided under paragraph (2), the duration of a Compact shall not exceed 5 years.

"(2) **EXCEPTION.**—The duration of a Compact may be extended beyond 5 years if the Board—

"(A) determines that a project included in the Compact cannot be completed in 5 years or less due to exceptional circumstances; and

"(B) approves an extension of the Compact that does not extend the total duration of the Compact beyond 6 years.

"(3) **CONGRESSIONAL NOTIFICATION.**—Not later than 15 days before the date on which the Board is scheduled to vote on the extension of a Compact beyond 5 years pursuant to paragraph (2), the Board, acting through the Chief Executive Officer,—

"(A) shall notify the Committee on Foreign Relations of the Senate and the Committee on Foreign Affairs of the House of Representatives of its intent to approve such extension; and

"(B) shall provide the committees referred to in subparagraph (A) with a detailed explanation for the determination and approval described in paragraph (2)."

(b) **MAINTAINING CANDIDATE STATUS FOR PURPOSES OF INCOME CATEGORY.**—Section 606 of the Act is amended—

(1) in subsection (a)—

(A) in paragraph (1)—

(i) by amending the paragraph heading to read as follows:

"(1) **IN GENERAL.**—";

(ii) in the matter preceding subparagraph (A), by striking "fiscal year 2004" and inserting "any fiscal year";

(iii) by amending subparagraph (A) to read as follows:

"(A) the country—

"(i) has a per capita income equal or below the World Bank's lower middle income country threshold for such fiscal year; and

"(ii) is among the 75 lowest per capita income countries, as identified by the World Bank; and"; and

(iv) in subparagraph (B), by striking "subject to paragraph (3)" and inserting "subject to paragraph (2)";

(B) by striking paragraph (2); and

(C) by redesignating paragraph (3) as paragraph (2);

(2) in subsection (b)—

(A) in paragraph (1)—

(i) in the matter preceding subparagraph (A), by striking "for fiscal year 2006 or a subsequent fiscal year" and inserting "for any fiscal year"; and

(ii) by striking subparagraphs (A) and (B) and inserting the following:

"(A) has a per capita income that is equal to or below the World Bank's lower middle income country threshold for such fiscal year;

"(B) is not among the 75 lowest per capita income countries as identified by the World Bank; and

"(C) meets the requirements under subsection (a)(1)(B)."; and

(B) in paragraph (2)—

(i) by striking "for fiscal year 2006 or any subsequent fiscal year" and inserting "for any fiscal year"; and

(ii) by striking "for fiscal year 2006 or the subsequent fiscal year, as the case may be" and inserting "for such fiscal year";

(3) by re-designating subsection (c) as subsection (d); and

(4) by inserting after subsection (b) the following:

"(c) **MAINTAINING CANDIDATE STATUS.**—A candidate country with a per capita income that changes in the fiscal year such that the country would be reclassified from a low income country to a lower middle income country or from a lower middle income country to a low

income country shall retain its candidacy status in its former income classification for the fiscal year and the 2 subsequent fiscal years."

(c) **PROVIDING HOLDOVER AUTHORITY FOR CERTAIN BOARD MEMBERS.**—Section 604 of the Act is amended—

(1) in subsection (c)—

(A) in paragraph (4)(B)—

(i) by striking the word "and" between "3 years" and "may be" and inserting a comma; and

(ii) by adding the words "and may continue in each appointment to serve until his or her successor is appointed, but in no case more than one year." after the words "an additional 2 years".

(d) **ELIMINATING THE PROVISION FOR AN INTERIM CEO.**—Section 604 of the Act is amended—

(1) In subsection (b)—

(A) by striking all of the provision in paragraph (2)(B) (relating to an Interim CEO).

(e) **ELIMINATING THE REQUIREMENT TO PUBLISH COMPACTS IN THE FEDERAL REGISTER.**—Section 610 of the Act is amended—

(1) In subsection (b)—

(A) In paragraph (2) by striking the words "in the Federal Register and".

CONSULAR NOTIFICATION COMPLIANCE

SEC. 7062.

(a) **Petition for Review.**—

(1) **Jurisdiction.**—Notwithstanding any other provision of law, a Federal court shall have jurisdiction to review the merits of a petition claiming violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bilateral international agreement addressing consular notification and access, filed by an individual convicted and sentenced to death by any Federal or State court before the date of enactment of this Act.

(2) **Standard.**—To obtain relief, an individual described in paragraph (1) must make a showing of actual prejudice to the criminal conviction or sentence as a result of the violation. The court may conduct an evidentiary hearing if necessary to supplement the record and, upon a finding of actual prejudice, shall order a new trial or sentencing proceeding.

(3) **Limitations.**—

(A) **Initial Showing.**—To qualify for review under this subsection, a petition must make an initial showing that—

(i) a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or a comparable provision of a bi-lateral international agreement addressing consular notification and access, occurred with respect to the individual described in paragraph (1); and

(ii) if such violation had not occurred, the consulate would have provided assistance to the individual.

(B) **Effect of Prior Adjudication.**—A petition for review under this subsection shall not be granted if the claimed violation described in paragraph (1) has previously been adjudicated on the merits by a Federal or State court of competent jurisdiction in a proceeding in which no Federal or State procedural bars were raised with respect to such violation and in which the court provided review equivalent to the review provided in this subsection, unless the adjudication of the claim resulted in a decision that was based on an unreasonable determination of the facts in light of the evidence presented in the prior Federal or State court proceeding.

(C) **Filing Deadline.**—A petition for review under this subsection shall be filed within 1 year of the later of—

(i) the date of enactment of this Act;

(ii) the date on which the Federal or State court judgment against the individual described in paragraph (1) became final by the conclusion of direct review or the expiration of the time for seeking such review; or

(iii) the date on which the impediment to filing a petition created by Federal or State action in violation of the Constitution or laws of the United States is removed, if the individual described in paragraph (1) was prevented from filing by such Federal or State action.

(D) **Tolling.**—The time during which a properly filed application for State post-conviction or other collateral review with respect to the

pertinent judgment or claim is pending shall not be counted toward the 1-year period of limitation.

(E) *Time Limit for Review.*—A Federal court shall give priority to a petition for review filed under this subsection over all noncapital matters. With respect to a petition for review filed under this subsection and claiming only a violation described in paragraph (1), a Federal court shall render a final determination and enter a final judgment not later than one year after the date on which the petition is filed.

(4) *Habeas Petition.*—A petition for review under this subsection shall be part of the first Federal habeas corpus application or motion for Federal collateral relief under chapter 153 of title 28, United States Code, filed by an individual, except that if an individual filed a Federal habeas corpus application or motion for Federal collateral relief before the date of enactment of this Act or if such application is required to be filed before the date that is 1 year after the date of enactment of this Act, such petition for review under this subsection shall be filed not later than 1 year after the enactment date or within the period prescribed by paragraph (3)(C)(iii), whichever is later. No petition filed in conformity with the requirements of the preceding sentence shall be considered a second or successive habeas corpus application or subjected to any bars to relief based on preenactment proceedings other than as specified in paragraph (2).

(5) *Referral to Magistrate.*—A Federal court acting under this subsection may refer the petition for review to a Federal magistrate for proposed findings and recommendations pursuant to 28 U.S.C. 636(b)(1)(B).

(6) *Appeal.*—

(A) *In General.*—A final order on a petition for review under paragraph (1) shall be subject to review on appeal by the court of appeals for the circuit in which the proceeding is held.

(B) *Appeal by Petitioner.*—An individual described in paragraph (1) may appeal a final order on a petition for review under paragraph (1) only if a district or circuit judge issues a certificate of appealability. A district or circuit court judge shall issue or deny a certificate of appealability not later than 30 days after an application for a certificate of appealability is filed. A district judge or circuit judge may issue a certificate of appealability under this subparagraph if the individual has made a substantial showing of actual prejudice to the criminal conviction or sentence of the individual as a result of a violation described in paragraph (1).

(b) *Violation.*—

(1) *In General.*—An individual not covered by subsection (a) who is arrested, detained, or held for trial on a charge that would expose the individual to a capital sentence if convicted may raise a claim of a violation of Article 36(1)(b) or (c) of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or of a comparable provision of a bilateral international agreement addressing consular notification and access, at a reasonable time after the individual becomes aware of the violation, before the court with jurisdiction over the charge. Upon a finding of such a violation—

(A) the consulate of the foreign state of which the individual is a national shall be notified immediately by the detaining authority, and consular access to the individual shall be afforded in accordance with the provisions of the Vienna Convention on Consular Relations, done at Vienna April 24, 1963, or the comparable provisions of a bilateral international agreement addressing consular notification and access; and

(B) the court—

(i) shall postpone any proceedings to the extent the court determines necessary to allow for adequate opportunity for consular access and assistance; and

(ii) may enter necessary orders to facilitate consular access and assistance.

(2) *Evidentiary Hearings.*—The court may conduct evidentiary hearings if necessary to resolve factual issues.

(3) *Rule of Construction.*—Nothing in this subsection shall be construed to create any additional remedy.

(c) *Definitions.*—In this section—

(1) the term "State" means any State of the United States, the District of Columbia, the Commonwealth of Puerto Rico, and any territory or possession of the United States.

(d) *Applicability.*—The provisions of this section shall apply during the current fiscal year and hereafter.

#### OVERSEAS CONTINGENCY OPERATIONS

SEC. 8001. Unless otherwise provided for in this Act, the additional amounts appropriated by this Act and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, to appropriations accounts in this Act shall be available under the authorities and conditions applicable to such appropriations accounts.

SEC. 8002. Funds appropriated by this Act and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, under the headings "Economic Support Fund", "International Narcotics Control and Law Enforcement", and "Foreign Military Financing Program", may be transferred to, and merged with, funds appropriated by this Act under such headings: Provided, That such transfers shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That the transfer authority in this section is in addition to any transfer authority otherwise available under any other provision of law, including section 610 of the Foreign Assistance Act, which may be exercised by the Secretary of State for the purposes of this Act.

SEC. 8003. Funds appropriated by this Act and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, under the heading "Administration of Foreign Affairs" may be transferred to, and merged with, funds appropriated by this Act under such heading: Provided, That such transfers shall be subject to the regular notification procedures of the Committees on Appropriations: Provided further, That the transfer authority in this section is in addition to any transfer authority otherwise available under any other provision of law.

SEC. 8004. Funds appropriated in prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs, and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, under the heading "Economic Support Fund" may be made available for the costs of direct and guaranteed loans for countries in the Middle East and North Africa: Provided, That such costs, including the cost of modifying such loans and loan guarantees, shall be as defined in section 502 of the Congressional Budget Act of 1974, and may include the costs of selling, reducing, or cancelling any amounts owed to the United States or any agency of the United States by any country in the Middle East and North Africa: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans, and total loan principal, any part of which is to be guaranteed, not to exceed \$2,000,000,000: Provided further, That the Government of the United States may charge fees for loans and loan guarantees under this section, which shall be collected from borrowers or third parties on behalf of such borrowers in accordance with section 502(7) of the Congressional Budget Act of 1974: Provided further, That amounts that are made available in this section for the costs of direct loans, loan guarantees, and modifications shall not be considered assistance for the purposes of provisions of law limiting assistance to a country.

SEC. 8005. Funds transferred to, or funds appropriated under, the heading "Peacekeeping Operations" in prior Acts making appropriations for the Department of State, Foreign Operations, and Related Programs and designated for Overseas Contingency Operations/Global War on Terrorism pursuant to section 251(b)(2)(A) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended, may be used to pay assessed expenses of international peacekeeping activities in Somalia.

# DEPARTMENT OF TRANSPORTATION

## Federal Funds

### IMMEDIATE TRANSPORTATION INVESTMENTS

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 69-0160-4-1-400                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Immediate Investments .....                      |             |         | 25,000    |
| 0900 Total new obligations (object class 41.0) .....  |             |         | 25,000    |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             |         | 50,000    |
| 1260 Appropriations, mandatory (total) .....          |             |         | 50,000    |
| 1930 Total budgetary resources available .....        |             |         | 50,000    |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 25,000    |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 25,000    |
| 3020 Outlays (gross) .....                            |             |         | -5,600    |
| 3050 Unpaid obligations, end of year .....            |             |         | 19,400    |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 19,400    |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             |         | 50,000    |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             |         | 5,600     |
| 4180 Budget authority, net (total) .....              |             |         | 50,000    |
| 4190 Outlays, net (total) .....                       |             |         | 5,600     |

This account provides \$50 billion in immediate transportation investments to support critical infrastructure projects, improving Americas roads, bridges, transit systems, border crossings, railways, and runways.

#### Budget Authority

(\$ millions)

|  | 2014 request |
|--|--------------|
| Federal Highway Administration                           |              |
| Federal-aid Highways - National Highway Program .....    | 27,000       |
| Federal Transit Administration                           |              |
| Transit Capital Assistance .....                         | 2,500        |
| Transit Core Capacity Improvement .....                  | 500          |
| State of Good Repair .....                               | 6,000        |
| Federal Railroad Administration                          |              |
| Rail Service Improvement Program .....                   | 3,000        |
| Current Passenger Rail Service .....                     | 2,000        |
| Office of the Secretary of Transportation                |              |
| Transportation Infrastructure Grants and Financing ..... | 4,000        |
| Transportation Leadership Awards .....                   | 2,000        |
| Federal Aviation Administration                          |              |
| Grants-in-Aid .....                                      | 2,000        |
| Facilities and Equipment .....                           | 1,000        |
| TOTAL .....  | 50,000       |

— \$27 billion would be provided for highway restoration, repair, and construction projects. This investment of \$25 billion for critical highway and bridge projects and \$2 billion for border crossing infrastructure improvements will preserve and improve the condition on Federal-aid, Federal Lands, and territorial highway facilities and support necessary improvements at land ports of entry facilities that link directly to the transportation infrastructure at border crossing locations. The \$25 billion in highway infrastructure investment will be distributed among the following FHWA programs: \$16.6 billion for the National

Highway Performance Program (NHPP); \$7.7 billion for the Surface Transportation Program (STP); \$240 million for the Federal Lands Transportation Program and Federal Lands Access Programs; \$310 million for the Tribal Transportation Program; and \$150 million for the Territorial and Puerto Rico Highway Program. This approach will enable FHWA to target infrastructure investment in the most critical areas on all Federal-aid highways, bridges on any public road, as well as other Federal, tribal, and territorial roads and bridges. In order to speed project delivery, the Federal share for these projects will be up to 100 percent.

— \$2 billion would be provided for airport development grants. Grants made available under the section would have a 100 percent Federal share.

— \$1 billion would be provided to conduct research and development and demonstrations and to acquire, establish, and improve Federal Aviation Administration air navigation facilities, systems, and procedures to advance the Next Generation Air Transportation System.

— \$3 billion would be provided for capital projects to significantly improve existing intercity passenger rail services, or to develop new intercity passenger rail corridors. Grants made available under the section would have a 100 percent Federal share.

— \$2 billion would be provided to Amtrak for the repair, rehabilitation, and upgrade of the National Railroad Passenger Corporation's (Amtrak) assets and infrastructure, including rolling stock.

— \$2.5 billion would be provided for formula-based transit capital assistance grants for capital maintenance of the nation's \$663 billion in transit capital infrastructure in both urbanized and rural areas. To speed project delivery, the Federal share of project costs would be 100 percent. Of the funds provided, \$2 billion shall be apportioned to urbanized areas with a population of at least 50,000, \$200 million shall be apportioned to "Growing States and High Density States" as provided in Section 5340 of title 49, and \$300 million shall be apportioned to rural areas with populations below 50,000. Funds apportioned to urbanized areas with a population of at least 50,000, but not more than 200,000, are eligible for both capital and operating assistance. Funds apportioned to rural areas are also eligible for operating assistance.

— \$500 million would be provided for transit capital investment grants in Core Capacity improvement projects that enhance the capacity of an existing fixed guideway system that is at or above capacity, or projected to be at capacity within five years, by at least ten percent. To speed project delivery, the Federal share of project costs would be 100 percent. Grant awards will be merit-based using additional criteria established through rulemaking and applied through project review.

— \$6 billion would be provided to modernize existing fixed guideway systems and to replace and rehabilitate buses and bus facilities through formula-based capital investments. To speed project delivery, the Federal share of project costs would be 100 percent. To target fixed guideway modernization funding to the transit systems with the highest need for state of good repair upgrades, 75 percent of the funds provided will be apportioned based on fixed guideway revenue vehicle miles and passenger miles, as provided in Section 5336(b) of Title 49. The remaining 25 percent shall be available for bus and bus facilities and shall be apportioned based on formula in Section 5336 other than subsection (b).

## IMMEDIATE TRANSPORTATION INVESTMENTS—Continued

—\$4 billion would be provided for credit assistance and grants on a competitive basis for projects across all surface transportation modes that will have a significant impact on the Nation, a metropolitan area or a region. Provisions require the Secretary to establish competition criteria with priority for distribution of funds given to projects expected to be completed within three years of the date of enactment of the Act.

—\$2 billion would be provided for a competitive grant program that will incentivize State departments of transportation, metropolitan planning organizations, tribal governments, and other transportation agencies to make the reforms necessary to institutionalize best practices and innovations in transportation policy. The program will reform the way transportation investments and decisions are made and implemented to realize better performance outcomes and to integrate performance management into the budget and project selection process.

## OFFICE OF THE SECRETARY

## Federal Funds

## RESEARCH AND DEVELOPMENT

*For necessary expenses related to the Office of the Assistant Secretary for Research and Technology, \$14,765,000, of which \$8,218,000 shall remain available until September 30, 2016: Provided, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training: Provided further, That notwithstanding any other provision of law, the powers and duties, functions, authorities and personnel of the Research and Innovative Technology Administration are hereby transferred to the Office of the Assistant Secretary for Research and Technology in the Office of the Secretary, including the authority to accept funding from modal administrations for support of Global Positioning System activities pursuant to reimbursable agreements with the Assistant Secretary for Research and Technology in the Office of the Secretary; Provided further, That notwithstanding 49 U.S.C. 102 and 5 U.S.C. 5315, there shall be an Assistant Secretary for Research and Technology within the Office of the Secretary, appointed by the President with the advice and consent of the Senate, to lead such office; Provided further, That any reference in law, regulation, judicial proceedings, or elsewhere to the Research and Innovative Technology Administration shall be deemed to be a reference to the Office of the Assistant Secretary for Research and Technology of the Department of Transportation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–1730–0–1–407                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0001 Salaries and administrative expenses .....              | 7           | 7       | 7         |
| 0002 Alternative fuels research & development .....          |             | 1       |           |
| 0003 Research development & technology coordination .....    |             | 1       |           |
| 0004 Nationwide differential global positioning system ..... | 7           | 8       | 6         |
| 0005 Positioning navigation & timing .....                   | 1           | 1       | 2         |
| 0100 Direct program by activities, subtotal .....            | 15          | 18      | 15        |
| 0799 Total direct obligations .....                          | 15          | 18      | 15        |
| 0801 University transportation centers .....                 | 1           | 11      |           |
| 0802 Transportation safety institute .....                   | 15          | 27      | 20        |
| 0803 Other programs .....                                    | 1           | 10      | 10        |
| 0809 Reimbursable program by activities, subtotal .....      | 17          | 48      | 30        |
| 0899 Total reimbursable obligations .....                    | 17          | 48      | 30        |
| 0900 Total new obligations .....                             | 32          | 66      | 45        |

## Budgetary Resources:

|  |    |    |    |
|--|----|----|----|
| Unobligated balance:   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 12 | 20 |    |
| 1021 Recoveries of prior year unpaid obligations .....             | 4  |    |    |
| 1050 Unobligated balance (total) .....                             | 16 | 20 |    |
| Budget authority:  |    |    |    |
| Appropriations, discretionary:                                     |    |    |    |
| 1100 Appropriation .....   | 16 | 16 | 15 |
| 1160 Appropriation, discretionary (total) .....                    | 16 | 16 | 15 |
| Spending authority from offsetting collections, discretionary:     |    |    |    |
| 1700 Collected .....   | 15 | 30 | 30 |
| 1701 Change in uncollected payments, Federal sources .....         | 5  |    |    |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 20 | 30 | 30 |
| 1900 Budget authority (total) .....                                | 36 | 46 | 45 |
| 1930 Total budgetary resources available .....                     | 52 | 66 | 45 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....              | 20 |    |    |

## Change in obligated balance:

|  |     |     |     |
|--|-----|-----|-----|
| Unpaid obligations:  |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                            | 57  | 45  | 14  |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....             | 7   |     |     |
| 3010 Obligations incurred, unexpired accounts .....                              | 32  | 66  | 45  |
| 3011 Obligations incurred, expired accounts .....                                | 1   |     |     |
| 3020 Outlays (gross) .....   | –47 | –97 | –46 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                | –4  |     |     |
| 3041 Recoveries of prior year unpaid obligations, expired .....                  | –1  |     |     |
| 3050 Unpaid obligations, end of year .....                                       | 45  | 14  | 13  |
| Uncollected payments:  |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                | –20 | –31 | –31 |
| 3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –7  |     |     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                   | –5  |     |     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                     | 1   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....                           | –31 | –31 | –31 |
| Memorandum (non-add) entries:  |     |     |     |
| 3100 Obligated balance, start of year .....                                      | 37  | 14  | –17 |
| 3200 Obligated balance, end of year .....  | 14  | –17 | –18 |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                                  | 36  | 46  | 45  |
| Outlays, gross:   |     |     |     |
| 4010 Outlays from new discretionary authority .....                 | 18  | 44  | 44  |
| 4011 Outlays from discretionary balances .....                      | 29  | 53  | 2   |
| 4020 Outlays, gross (total) .....                                   | 47  | 97  | 46  |
| Offsets against gross budget authority and outlays:                 |     |     |     |
| Offsetting collections (collected) from:                            |     |     |     |
| 4030 Federal sources .....  | –16 | –30 | –30 |
| Additional offsets against gross budget authority only:             |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –5  |     |     |
| 4052 Offsetting collections credited to expired accounts .....      | 1   |     |     |
| 4060 Additional offsets against budget authority only (total) ..... | –4  |     |     |
| 4070 Budget authority, net (discretionary) .....                    | 16  | 16  | 15  |
| 4080 Outlays, net (discretionary) .....                             | 31  | 67  | 16  |
| 4180 Budget authority, net (total) .....                            | 16  | 16  | 15  |
| 4190 Outlays, net (total) .....                                     | 31  | 67  | 16  |

The 2014 Budget elevates the Research and Innovative Technology Administration into the Office of the Assistant Secretary for Research and Technology within the Office of the Secretary. This proposal will strengthen research functions across the Department by providing a prominent centralized focus on research and technology, which will improve collaboration and coordination between operating administrations. The Office of the Assistant Secretary for Research and Technology is responsible for facilitating and reviewing the Department's research, development, and technology portfolio as well as enhancing the data collection and statistical analysis programs to support data-driven decision-making. The Office of the Assistant Secretary for Research and Technology is also responsible for Positioning, Navigation, and Timing (PNT) technology, PNT policy coordination, and spectrum management, and it is the program manager for the Nationwide Differential Global Positioning System.

The Office of the Assistant Secretary for Research and Technology oversees and provides direction to the following programs and activities:

The Bureau of Transportation Statistics (BTS) manages and shares statistical knowledge and information on the Nation's transportation systems, including statistics on freight movement, geospatial transportation information, and transportation economics. BTS is funded by an allocation from the Federal Highway Administration's Federal-Aid Highways account.

The Intelligent Transportation Systems (ITS) Joint Program Office facilitates the deployment of technology to enhance the safety, efficiency, convenience, and environmental sustainability of surface transportation. The ITS program carries out its goals through research and development, operational testing, technology transfer, training, and technical guidance. The ITS Research Program is currently funded through the Federal Highway Administration.

The University Transportation Centers (UTC) advance U.S. technology and expertise in many transportation-related disciplines through grants for transportation education, research, and technology transfer at university-based centers of excellence. The UTC Program funding is provided to the Office of the Assistant Secretary for Research and Technology through an allocation from the Federal Highway Administration.

The John A. Volpe National Transportation Systems Center (Cambridge, MA) provides expertise in research, analysis, technology deployment, and other technical knowledge to the Department of Transportation (DOT) and non-DOT customers on specific transportation system projects or issues on a fee-for-service basis.

The Transportation Safety Institute develops and conducts safety, security, and environmental training, products, and services for both the public and private sector on a fee-for-service and tuition basis.

#### Object Classification (in millions of dollars)

| Identification code 69-1730-0-1-407                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 3           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 10          | 13      | 10        |
| 99.0 Direct obligations .....                            | 15          | 18      | 15        |
| 99.0 Reimbursable obligations .....                      | 17          | 48      | 30        |
| 99.9 Total new obligations .....                         | 32          | 66      | 45        |

#### Employment Summary

| Identification code 69-1730-0-1-407                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 25          | 26      | 26        |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 42          | 50      | 50        |
| 3001 Allocation account civilian full-time equivalent employment ..... | 68          | 70      | 70        |

#### SALARIES AND EXPENSES

*For necessary expenses of the Office of the Secretary, \$113,108,000, Provided, That not to exceed \$60,000 shall be for allocation within the Department for official reception and representation expenses as the Secretary may determine: Provided further, That notwithstanding any other provision of law, excluding fees authorized in Public Law 107-71, there may be credited to this appropriation up to \$2,500,000 in funds received in user fees.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 69-0102-0-1-407                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 General administration .....                                    | 98          | 106     | 115       |
| 0002 SCASDP grants .....   | 6           | 5       | .....     |
| 0100 Subtotal Direct Obligations .....                               | 104         | 111     | 115       |
| 0799 Total direct obligations .....                                  | 104         | 111     | 115       |
| 0801 Reimbursable program .....                                      | 9           | 7       | 8         |
| 0900 Total new obligations .....                                     | 113         | 118     | 123       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 4           | 8       | 8         |
| 1010 Unobligated balance transfer to other accts [69-1301] .....     | -1          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....               | 5           | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 8           | 8       | 8         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 102         | 103     | 113       |
| 1160 Appropriation, discretionary (total) .....                      | 102         | 103     | 113       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 16          | 15      | 9         |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 16          | 15      | 9         |
| 1900 Budget authority (total) .....                                  | 118         | 118     | 122       |
| 1930 Total budgetary resources available .....                       | 126         | 126     | 130       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -5          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                | 8           | 8       | 7         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 48          | 42      | 2         |
| 3010 Obligations incurred, unexpired accounts .....                  | 113         | 118     | 123       |
| 3011 Obligations incurred, expired accounts .....                    | 2           | .....   | .....     |
| 3020 Outlays (gross) .....   | -112        | -158    | -121      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -5          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -4          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 42          | 2       | 4         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 48          | 42      | 2         |
| 3200 Obligated balance, end of year .....                            | 42          | 2       | 4         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 118         | 118     | 122       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 94          | 108     | 111       |
| 4011 Outlays from discretionary balances .....                       | 18          | 50      | 10        |
| 4020 Outlays, gross (total) .....                                    | 112         | 158     | 121       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -16         | -14     | -8        |
| 4033 Non-Federal sources .....                                       | -1          | -1      | -1        |
| 4040 Offsets against gross budget authority and outlays (total) .... | -17         | -15     | -9        |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 1           | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                     | 102         | 103     | 113       |
| 4080 Outlays, net (discretionary) .....                              | 95          | 143     | 112       |
| 4180 Budget authority, net (total) .....                             | 102         | 103     | 113       |
| 4190 Outlays, net (total) .....                                      | 95          | 143     | 112       |

The Office of the Secretary is responsible for the overall planning, coordination, and administration of the Department's programs. Funding supports the Secretary, Deputy Secretary, Under Secretary for Policy, Secretarial Officers, and their immediate staffs, who provide federal transportation policy development and guidance, institutional and public liaison activities, and other program support to ensure effective management and operation of the Department.



## SALARIES AND EXPENSES—Continued

## Object Classification (in millions of dollars)

| Identification code 69-0102-0-1-407                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 41          | 49      | 51        |
| 11.3 Other than full-time permanent .....          | 5           | 3       | 4         |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 47          | 53      | 56        |
| 12.1 Civilian personnel benefits .....             | 12          | 15      | 15        |
| 21.0 Travel and transportation of persons .....    | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                  | 9           | 9       | 9         |
| 25.2 Other services from non-Federal sources ..... | 30          | 28      | 34        |
| 41.0 Grants, subsidies, and contributions .....    | 5           | 5       | .....     |
| 99.0 Direct obligations .....                      | 104         | 111     | 115       |
| 99.0 Reimbursable obligations .....                | 9           | 7       | 8         |
| 99.9 Total new obligations .....                   | 113         | 118     | 123       |

## Employment Summary

| Identification code 69-0102-0-1-407                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 424         | 451     | 467       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 18          | 17      | 17        |

## AVIATION CONSUMER CALL CENTER

For necessary expenses of establishing and operating an Aviation Consumer Call Center and other related activities pursuant to Section 42302(a) of Title 49, including providing the required notice to the public, \$7,500,000, to remain available until expended.

## Program and Financing (in millions of dollars)

| Identification code 69-0126-0-1-402                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | .....       | .....   | 8         |
| 0900 Total new obligations (object class 25.2) ..... | .....       | .....   | 8         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | .....       | .....   | 8         |
| 1160 Appropriation, discretionary (total) .....      | .....       | .....   | 8         |
| 1930 Total budgetary resources available .....       | .....       | .....   | 8         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | .....       | .....   | 8         |
| 3020 Outlays (gross) .....                           | .....       | .....   | -7        |
| 3050 Unpaid obligations, end of year .....           | .....       | .....   | 1         |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            | .....       | .....   | 1         |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | .....       | .....   | 8         |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | .....       | .....   | 7         |
| 4180 Budget authority, net (total) .....             | .....       | .....   | 8         |
| 4190 Outlays, net (total) .....                      | .....       | .....   | 7         |

This appropriation provides funds to establish and operate an Aviation Consumer Call Center and other related activities pursuant to Section 42302(a) of Title 49, including notifying the public of the call center telephone number as required.

## Employment Summary

| Identification code 69-0126-0-1-402                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | .....       | .....   | 1         |

## NATIONAL INFRASTRUCTURE INVESTMENTS

For capital investments in surface transportation infrastructure, \$500,000,000, to remain available through September 30, 2016: Provided, That the Secretary of Transportation shall distribute funds provided under this heading as discretionary grants to be awarded to a State, local government, transit agency, or a collaboration among such entities on a competitive basis for projects that will have a significant impact on the Nation, a metropolitan area, or a region: Provided further, That projects eligible for funding provided under this heading shall include, but not be limited to, highway or bridge projects eligible under title 23, United States Code; public transportation projects eligible under chapter 53 of title 49, United States Code; passenger and freight rail transportation projects; and port infrastructure investments: Provided further, That the Secretary shall give priority to projects which demonstrate transportation benefits for existing systems or improve interconnectivity between modes: Provided further, That the Secretary may use up to 35 percent of the funds made available under this heading for the purpose of paying the subsidy and administrative costs of projects eligible for Federal credit assistance under chapter 6 of title 23, United States Code, if the Secretary finds that such use of the funds would advance the purposes of this paragraph: Provided further, That in distributing funds provided under this heading, the Secretary shall take such measures so as to ensure an equitable geographic distribution of funds, an appropriate balance in addressing the needs of urban and rural areas, and the investment in a variety of transportation modes: Provided further, That a grant funded under this heading shall be not less than \$10,000,000 and not greater than \$200,000,000: Provided further, That not more than 25 percent of the funds made available under this heading may be awarded to projects in a single State: Provided further, That the Federal share of the costs for which an expenditure is made under this heading shall be, at the option of the recipient, up to 80 percent: Provided further, That not less than 20 percent of the funds provided under this heading shall be for projects located in rural areas: Provided further, That for projects located in rural areas, the minimum grant size shall be \$1,000,000 and the Secretary may increase the Federal share of costs above 80 percent: Provided further, That projects conducted using funds provided under this heading must comply with the requirements of subchapter IV of chapter 31 of title 40, United States Code: Provided further, That of the amount made available under this heading, the Secretary may use an amount not to exceed \$35,000,000 for the planning, preparation, or design of projects eligible for funding under this heading: Provided further, That the Secretary shall conduct a new competition to select the grants and credit assistance awarded under this heading: Provided further, That the Secretary may retain up to \$20,000,000 of the funds provided for this program to remain available through September 30, 2019, and may transfer portions of those funds to the Administrators of the Federal Highway Administration, the Federal Transit Administration, the Federal Railroad Administration and the Federal Maritime Administration, to fund the award and oversight of grants and credit assistance made under the National Infrastructure Investments program: Provided further, That the Secretary shall give priority to projects that require a contribution of Federal funds in order to complete an overall financing package.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69-0143-0-1-401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 National Infrastructure Investments Grants ..... | 275         | 1,305   | 480       |
| 0002 Award & Oversight .....                          | 12          | 15      | 23        |



|      |                                   |     |       |       |
|------|-----------------------------------|-----|-------|-------|
| 0003 | TIFIA Subsidy and Admin Exp ..... | 45  | 5     | ..... |
| 0900 | Total new obligations .....       | 332 | 1,325 | 503   |

**Budgetary Resources:**

|   |   |       |       |       |
|---|---|-------|-------|-------|
| 1000  | Unobligated balance brought forward, Oct 1 .....              | 653   | 835   | 13    |
| 1010  | Unobligated balance transfer to other accts [69–0143] .....   | –492  | ..... | ..... |
| 1011  | Unobligated balance transfer from other accts [69–0143] ..... | 492   | ..... | ..... |
| 1021  | Recoveries of prior year unpaid obligations .....             | 1     | ..... | ..... |
| 1050  | Unobligated balance (total) .....                             | 654   | 835   | 13    |
| <b>Budget authority:</b>  |   |       |       |       |
| <b>Appropriations, discretionary:</b>                                 |   |       |       |       |
| 1100  | Appropriation .....   | 500   | 503   | 500   |
| 1120  | Appropriations transferred to other accts [69–0143] .....     | –471  | ..... | ..... |
| 1121  | Appropriations transferred from other accts [69–0143] .....   | 471   | ..... | ..... |
| 1160  | Appropriation, discretionary (total) .....                    | 500   | 503   | 500   |
| <b>Spending authority from offsetting collections, discretionary:</b> |   |       |       |       |
| 1700  | Collected .....   | 14    | ..... | ..... |
| 1750  | Spending auth from offsetting collections, disc (total) ..... | 14    | ..... | ..... |
| 1900  | Budget authority (total) .....                                | 514   | 503   | 500   |
| 1930  | Total budgetary resources available .....                     | 1,168 | 1,338 | 513   |
| <b>Memorandum (non-add) entries:</b>                                  |   |       |       |       |
| 1940  | Unobligated balance expiring .....                            | –1    | ..... | ..... |
| 1941  | Unexpired unobligated balance, end of year .....              | 835   | 13    | 10    |

**Change in obligated balance:**

|                                      |  |      |       |       |
|--------------------------------------|--|------|-------|-------|
| <b>Unpaid obligations:</b>           |  |      |       |       |
| 3000                                 | Unpaid obligations, brought forward, Oct 1 .....             | 440  | 540   | 1,533 |
| 3010                                 | Obligations incurred, unexpired accounts .....               | 332  | 1,325 | 503   |
| 3020                                 | Outlays (gross) .....  | –231 | –332  | –427  |
| 3040                                 | Recoveries of prior year unpaid obligations, unexpired ..... | –1   | ..... | ..... |
| 3050                                 | Unpaid obligations, end of year .....                        | 540  | 1,533 | 1,609 |
| <b>Memorandum (non-add) entries:</b> |  |      |       |       |
| 3100                                 | Obligated balance, start of year .....                       | 440  | 540   | 1,533 |
| 3200                                 | Obligated balance, end of year .....                         | 540  | 1,533 | 1,609 |

**Budget authority and outlays, net:**

|  |   |     |       |       |
|--|---|-----|-------|-------|
| <b>Discretionary:</b>                                      |   |     |       |       |
| 4000   | Budget authority, gross .....             | 514 | 503   | 500   |
| <b>Outlays, gross:</b>                                     |   |     |       |       |
| 4011   | Outlays from discretionary balances ..... | 231 | 332   | 427   |
| <b>Offsets against gross budget authority and outlays:</b> |   |     |       |       |
| <b>Offsetting collections (collected) from:</b>            |   |     |       |       |
| 4030   | Federal sources .....                     | –14 | ..... | ..... |
| 4180   | Budget authority, net (total) .....       | 500 | 503   | 500   |
| 4190   | Outlays, net (total) .....                | 217 | 332   | 427   |

This program provides funding for grant awards or credit assistance on a competitive basis for capital investments in surface transportation infrastructure that will have a significant impact on the Nation, a metropolitan area or a region.

**Object Classification (in millions of dollars)**

| Identification code 69-0143-0-1-401 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 1           | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....     | 2           | 4       | 16        |
| 41.0                                | Grants, subsidies, and contributions .....        | 45          | 5       | .....     |
| 99.0                                | Direct obligations .....                          | 48          | 11      | 18        |
| Allocation Account - direct:        |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....     | 8           | 8       | 4         |
| 41.0                                | Grants, subsidies, and contributions .....        | 275         | 1,305   | 480       |
| 99.0                                | Allocation account - direct .....                 | 284         | 1,314   | 485       |
| 99.9                                | Total new obligations .....                       | 332         | 1,325   | 503       |

**Employment Summary**

| Identification code 69–0143–0–1–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 7           | 10      | 10        |

**WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS CENTER****Program and Financing (in millions of dollars)**

| Identification code 69–4522–0–4–407                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Reimbursable program activity .....                             | 275         | 260     | 260       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 245         | 303     | 303       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 359         | 260     | 260       |
| 1701 Change in uncollected payments, Federal sources .....           | –26         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 333         | 260     | 260       |
| 1930 Total budgetary resources available .....                       | 578         | 563     | 563       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 303         | 303     | 303       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 131         | 130     | 7         |
| 3010 Obligations incurred, unexpired accounts .....                  | 275         | 260     | 260       |
| 3020 Outlays (gross) .....   | –276        | –383    | –260      |
| 3050 Unpaid obligations, end of year .....                           | 130         | 7       | 7         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –111        | –85     | –85       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 26          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –85         | –85     | –85       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 20          | 45      | –78       |
| 3200 Obligated balance, end of year .....                            | 45          | –78     | –78       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 333         | 260     | 260       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 172         | 260     | 260       |
| 4011 Outlays from discretionary balances .....                       | 104         | 123     |           |
| 4020 Outlays, gross (total) .....                                    | 276         | 383     | 260       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –358        | –260    | –260      |
| 4033 Non-Federal sources .....                                       | –1          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | –359        | –260    | –260      |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 26          |         |           |
| 4080 Outlays, net (discretionary) .....                              | –83         | 123     |           |
| 4190 Outlays, net (total) .....                                      | –83         | 123     |           |

The Working Capital Fund finances multidisciplinary research, evaluation, analytical and related activities undertaken at the VolpeTransportation Systems Center (Volpe Center) in Cambridge, MA. The fund is financed through negotiated agreements with the Office of the Secretary, Departmental operating administrations, and other governmental elements requiring the Center's capabilities. These agreements also define the activities undertaken at the Volpe Center.

**Object Classification (in millions of dollars)**

| Identification code 69–4522–0–4–407 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Reimbursable obligations:           |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 69          | 52      | 52        |
| 11.3                                | Other than full-time permanent .....                       | 3           | 3       | 3         |
| 11.5                                | Other personnel compensation .....                         | 1           | 1       | 1         |
|                                     |  | <hr/>       | <hr/>   | <hr/>     |
| 11.9                                | Total personnel compensation .....                         | 73          | 56      | 56        |
| 12.1                                | Civilian personnel benefits .....                          | 13          | 14      | 14        |
| 21.0                                | Travel and transportation of persons .....                 | 4           | 4       | 4         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2           | 6       | 6         |
| 25.2                                | Other services from non-Federal sources .....              | 77          | 63      | 63        |
| 25.3                                | Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.4                                | Operation and maintenance of facilities .....              | 5           | 5       | 5         |
| 25.5                                | Research and development contracts .....                   | 88          | 98      | 98        |
| 25.7                                | Operation and maintenance of equipment .....               | 1           | 1       | 1         |

WORKING CAPITAL FUND, VOLPE NATIONAL TRANSPORTATION SYSTEMS  
CENTER—Continued  
Object Classification—Continued

| Identification code 69-4522-0-4-407 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 26.0 Supplies and materials .....   | 1           | 1       | 1         |
| 31.0 Equipment .....                | 7           | 8       | 8         |
| 32.0 Land and structures .....      | 3           | 3       | 3         |
| 99.9 Total new obligations .....    | 275         | 260     | 260       |

Employment Summary

| Identification code 69-4522-0-4-407                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 525         | 532     | 532       |

SUPPLEMENTAL DISCRETIONARY GRANTS FOR A NATIONAL SURFACE  
TRANSPORTATION SYSTEM, RECOVERY ACT

Program and Financing (in millions of dollars)

| Identification code 69-0106-0-1-401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1,254       | 690     | 270       |
| 3020 Outlays (gross) .....                            | -564        | -420    | -225      |
| 3050 Unpaid obligations, end of year .....            | 690         | 270     | 45        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1,254       | 690     | 270       |
| 3200 Obligated balance, end of year .....             | 690         | 270     | 45        |

Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:                                 |     |     |     |
| Outlays, gross:                                |     |     |     |
| 4011 Outlays from discretionary balances ..... | 564 | 420 | 225 |
| 4190 Outlays, net (total) .....                | 564 | 420 | 225 |

This American Recovery and Reinvestment Act of 2009 program provided funding for grant awards to State and local governments or transit agencies on a competitive basis for capital investments in surface transportation infrastructure resulting in a significant impact on the Nation, a metropolitan area or a region. Of the amount appropriated, amount not to exceed \$200,000,000 could be used to pay the subsidy and administrative costs of projects eligible for federal credit assistance under U.S.C. 23 Chapter 6, the Transportation Infrastructure Finance and Innovation Act. No funding is requested for this program in 2014.

FINANCIAL MANAGEMENT CAPITAL

For necessary expenses for upgrading and enhancing the Department of Transportation's financial systems and re-engineering business processes, \$10,000,000, to remain available through September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 69-0116-0-1-407                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Financial management capital .....               | 3           | 17      | 10        |
| 0900 Total new obligations (object class 25.2) .....  | 3           | 17      | 10        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 10          | 12      |           |

|   |    |    |    |
|---|----|----|----|
| Budget authority:                                     |    |    |    |
| Appropriations, discretionary:                        |    |    |    |
| 1100 Appropriation .....                              | 5  | 5  | 10 |
| 1160 Appropriation, discretionary (total) .....       | 5  | 5  | 10 |
| 1930 Total budgetary resources available .....        | 15 | 17 | 10 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 12 |    |    |

Change in obligated balance:

|   |    |     |    |
|---|----|-----|----|
| Unpaid obligations:                                 |    |     |    |
| 3010 Obligations incurred, unexpired accounts ..... | 3  | 17  | 10 |
| 3020 Outlays (gross) .....                          | -3 | -17 | -9 |
| 3050 Unpaid obligations, end of year .....          |    |     | 1  |
| Memorandum (non-add) entries:                       |    |     |    |
| 3200 Obligated balance, end of year .....           |    |     | 1  |

Budget authority and outlays, net:

|   |   |    |    |
|---|---|----|----|
| Discretionary:                                      |   |    |    |
| 4000 Budget authority, gross .....                  | 5 | 5  | 10 |
| Outlays, gross:                                     |   |    |    |
| 4010 Outlays from new discretionary authority ..... | 2 | 4  | 8  |
| 4011 Outlays from discretionary balances .....      | 1 | 13 | 1  |
| 4020 Outlays, gross (total) .....                   | 3 | 17 | 9  |
| 4180 Budget authority, net (total) .....            | 5 | 5  | 10 |
| 4190 Outlays, net (total) .....                     | 3 | 17 | 9  |

This appropriation provides funds to upgrade the commercial software used for DOT's core financial system. This effort will improve system security, enhance financial reporting capabilities, and position DOT to provide shared services across the Government.

CYBER SECURITY INITIATIVES

For necessary expenses for cyber security initiatives, including necessary upgrades to wide area network and information technology infrastructure, improvement of network perimeter controls and identity management, testing and assessment of information technology against business, security, and other requirements, implementation of Federal cyber security initiatives and information infrastructure enhancements, implementation of enhanced security controls on network devices, and enhancement of cyber security workforce training tools, \$6,000,000, to remain available through September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 69-0159-0-1-407                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 4           | 16      | 6         |
| 0100 Direct program activities, subtotal .....        | 4           | 16      | 6         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 6       |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 10          | 10      | 6         |
| 1160 Appropriation, discretionary (total) .....       | 10          | 10      | 6         |
| 1930 Total budgetary resources available .....        | 10          | 16      | 6         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 6           |         |           |

Change in obligated balance:

|   |    |     |    |
|---|----|-----|----|
| Unpaid obligations:                                   |    |     |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |    | 1   | 1  |
| 3010 Obligations incurred, unexpired accounts .....   | 4  | 16  | 6  |
| 3020 Outlays (gross) .....                            | -3 | -16 | -6 |
| 3050 Unpaid obligations, end of year .....            | 1  | 1   | 1  |
| Memorandum (non-add) entries:                         |    |     |    |
| 3100 Obligated balance, start of year .....           |    | 1   | 1  |

|   |  |    |    |   |
|---|--|----|----|---|
| 3200                                      | Obligated balance, end of year .....           | 1  | 1  | 1 |
| <b>Budget authority and outlays, net:</b> |  |    |    |   |
| Discretionary:                            |  |    |    |   |
| 4000                                      | Budget authority, gross .....                  | 10 | 10 | 6 |
| Outlays, gross:                           |  |    |    |   |
| 4010                                      | Outlays from new discretionary authority ..... | 3  | 9  | 5 |
| 4011                                      | Outlays from discretionary balances .....      | 7  | 7  | 1 |
| 4020                                      | Outlays, gross (total) .....                   | 3  | 16 | 6 |
| 4180                                      | Budget authority, net (total) .....            | 10 | 10 | 6 |
| 4190                                      | Outlays, net (total) .....                     | 3  | 16 | 6 |

This appropriation will fund cyber security initiatives, including necessary upgrades to the wide area network and information technology infrastructure. The funding will support key program enhancements, infrastructure improvements, and contractual resources to enhance the security of the Department of Transportation network and reduce the risk of security breaches.

**Object Classification** (in millions of dollars)

| Identification code 69-0159-0-1-407 | 2012 actual  | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| Direct obligations:                 |  |         |           |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2       | 1         |
| 25.3                                | Other goods and services from Federal sources .....        | 1       | 6         |
| 31.0                                | Equipment .....  | 3       | 8         |
| 99.9                                | Total new obligations .....                                | 4       | 16        |

**OFFICE OF CIVIL RIGHTS**

*For necessary expenses of the Office of Civil Rights, \$9,551,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69-0118-0-1-407       | 2012 actual                                      | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b>   |  |         |           |
| 0001                                      | Office of Civil Rights .....                     | 9       | 9         |
| <b>Budgetary Resources:</b>               |  |         |           |
| Budget authority:                         |  |         |           |
| Appropriations, discretionary:            |  |         |           |
| 1100                                      | Appropriation .....                              | 9       | 9         |
| 1160                                      | Appropriation, discretionary (total) .....       | 9       | 9         |
| 1930                                      | Total budgetary resources available .....        | 9       | 9         |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 2       | 3         |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 9       | 9         |
| 3020                                      | Outlays (gross) .....                            | -8      | -11       |
| 3050                                      | Unpaid obligations, end of year .....            | 3       | 1         |
| Memorandum (non-add) entries:             |  |         |           |
| 3100                                      | Obligated balance, start of year .....           | 2       | 3         |
| 3200                                      | Obligated balance, end of year .....             | 3       | 1         |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Discretionary:                            |  |         |           |
| 4000                                      | Budget authority, gross .....                    | 9       | 9         |
| Outlays, gross:                           |  |         |           |
| 4010                                      | Outlays from new discretionary authority .....   | 7       | 8         |
| 4011                                      | Outlays from discretionary balances .....        | 1       | 3         |
| 4020                                      | Outlays, gross (total) .....                     | 8       | 11        |
| 4180                                      | Budget authority, net (total) .....              | 9       | 9         |
| 4190                                      | Outlays, net (total) .....                       | 8       | 11        |

The Office of Civil Rights provides Department-wide leadership for all civil rights activities, including employment opportunity and enforcement of laws and regulations that prohibit discrimin-

ation in the financing and operation of transportation programs with Federal resources. The office also is responsible for non-discrimination policy development, analysis, coordination and compliance, promotes an organizational culture that values workforce diversity, and handles all civil rights cases related to Department of Transportation employees.

**Object Classification** (in millions of dollars)

| Identification code 69-0118-0-1-407 | 2012 actual                                       | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 4       | 5         |
| 12.1                                | Civilian personnel benefits .....                 | 1       | 2         |
| 25.2                                | Other services from non-Federal sources .....     | 4       | 2         |
| 99.9                                | Total new obligations .....                       | 9       | 9         |

**Employment Summary**

| Identification code 69-0118-0-1-407 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 41      | 53        |

**MINORITY BUSINESS OUTREACH**

*For necessary expenses of Minority Business Resource Center outreach activities, \$3,088,000, to remain available until September 30, 2015: Provided, That notwithstanding 49 U.S.C. 332, these funds may be used for business opportunities related to any mode of transportation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69-0119-0-1-407       | 2012 actual  | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b>   |  |         |           |
| 0001                                      | Minority business outreach .....                           | 3       | 4         |
| 0002                                      | Bonding Assistance Program .....                           | 6       | 6         |
| 0900                                      | Total new obligations .....                                | 3       | 10        |
| <b>Budgetary Resources:</b>               |  |         |           |
| Unobligated balance:                      |  |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....           | 7       | 7         |
| Budget authority:                         |  |         |           |
| Appropriations, discretionary:            |  |         |           |
| 1100                                      | Appropriation .....  | 3       | 3         |
| 1160                                      | Appropriation, discretionary (total) .....                 | 3       | 3         |
| 1930                                      | Total budgetary resources available .....                  | 10      | 10        |
| Memorandum (non-add) entries:             |  |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....           | 7       | 7         |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 6       | 4         |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 3       | 10        |
| 3020                                      | Outlays (gross) .....                                      | -4      | -14       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -1      | 1         |
| 3050                                      | Unpaid obligations, end of year .....                      | 4       | 1         |
| Memorandum (non-add) entries:             |  |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 6       | 4         |
| 3200                                      | Obligated balance, end of year .....                       | 4       | 1         |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Discretionary:                            |  |         |           |
| 4000                                      | Budget authority, gross .....                              | 3       | 3         |
| Outlays, gross:                           |  |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 1       | 3         |
| 4011                                      | Outlays from discretionary balances .....                  | 3       | 11        |
| 4020                                      | Outlays, gross (total) .....                               | 4       | 14        |
| 4180                                      | Budget authority, net (total) .....                        | 3       | 3         |
| 4190                                      | Outlays, net (total) .....                                 | 4       | 14        |

## MINORITY BUSINESS OUTREACH—Continued

This activity provides contractual support to assist small, women-owned, Native American, and other disadvantaged business firms in securing contracts and subcontracts resulting from transportation-related Federal support.

## Object Classification (in millions of dollars)

| Identification code 69–0119–0–1–407                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   |             | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       |           |
| 41.0 Grants, subsidies, and contributions .....          | 1           | 8       | 2         |
| 99.0 Direct obligations .....                            | 2           | 10      | 3         |
| 99.5 Below reporting threshold .....                     | 1           |         |           |
| 99.9 Total new obligations .....                         | 3           | 10      | 3         |

## Employment Summary

| Identification code 69–0119–0–1–407                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 4       | 4         |

## NEW HEADQUARTERS BUILDING

## Program and Financing (in millions of dollars)

| Identification code 69–0147–0–1–407                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 New Headquarters Building .....                  |             | 1       |           |
| 0900 Total new obligations (object class 31.0) .....  |             | 1       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       |           |
| 1930 Total budgetary resources available .....        | 1           | 1       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   |             | 1       |           |
| 3020 Outlays (gross) .....                            |             | –2      |           |
| 3050 Unpaid obligations, end of year .....            | 2           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 2       | 1         |
| 3200 Obligated balance, end of year .....             | 2           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        |             | 2       |           |
| 4190 Outlays, net (total) .....                       |             | 2       |           |

This appropriation financed the costs for the new Department of Transportation headquarters, which consolidated all operating administrations headquarters functions (except FAA) from various locations into a single state-of-the-art, efficient leased building in the District of Columbia. No funding is requested for this program in 2014.

## COMPENSATION FOR AIR CARRIERS

## Program and Financing (in millions of dollars)

| Identification code 69–0111–0–1–402                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 7           | 7       | 7         |

|   |   |   |   |
|---|---|---|---|
| 1930 Total budgetary resources available .....        | 7 | 7 | 7 |
| Memorandum (non-add) entries:                         |   |   |   |
| 1941 Unexpired unobligated balance, end of year ..... | 7 | 7 | 7 |

The Air Transportation Safety and System Stabilization Act of 2001 (P.L. 107–42) provided \$5 billion to compensate air carriers for direct losses incurred during the Federal ground stop of civil aviation after the September 11, 2001 terrorist attacks, and for incremental losses incurred between September 11 and December 31, 2001. The remaining balance in this account is not needed for the purpose originally enacted. If needed, the remaining balance will be transferred to Payments to Air Carriers pursuant to the transfer authority included in that appropriation.

## COMPENSATION FOR GENERAL AVIATION OPERATIONS

## Program and Financing (in millions of dollars)

| Identification code 69–0156–0–1–402                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 3           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –3          |         |           |
| 1160 Appropriation, discretionary (total) .....                      | –3          |         |           |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | –3          |         |           |
| 4180 Budget authority, net (total) .....                             | –3          |         |           |

The Transportation, Treasury and Housing and Urban Development, The Judiciary, District of Columbia and Independent Agencies Appropriations Act of 2006 (P.L. 109–115) provided \$17 million to reimburse fixed-based general aviation operators and providers of general aviation ground support services at five facilities for the financial losses they incurred when the Federal Government closed the facilities due to the September 11, 2001 terrorist attacks. The Consolidated and Further Continuing Appropriations Act of 2012 (P.L. 112–55) rescinded the remaining balances in this account.

## TRANSPORTATION PLANNING, RESEARCH, AND DEVELOPMENT

## (INCLUDING CANCELLATION OF FUNDS)

For necessary expenses for conducting transportation planning, research, systems development, development activities, and making grants, to remain available until expended, \$9,750,000: Provided, That of the unobligated balances made available by Public Law 111–117 and designated for a single project in the accompanying conference report, \$750,000 are hereby permanently cancelled: Provided further, That of the unobligated balances made available by Section 195 of Public Law 111–117, \$2,000,000 are hereby permanently cancelled.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–0142–0–1–407           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>       |             |         |           |
| 0001 Transportation policy and planning ..... | 9           | 17      | 10        |
| 0002 Safe skies .....                         | 1           |         |           |
| 0100 Total direct program .....               | 10          | 17      | 10        |

|   |   |     |     |    |
|---|---|-----|-----|----|
| 0900                                      | Total new obligations .....                                     | 10  | 17  | 10 |
| <b>Budgetary Resources:</b>               |   |     |     |    |
| Unobligated balance:                      |   |     |     |    |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....                | 7   | 9   | 3  |
| 1011                                      | Unobligated balance transfer from other accts [72–1037] ....    | 1   |     |    |
| 1021                                      | Recoveries of prior year unpaid obligations .....               | 2   | 2   |    |
| 1050                                      | Unobligated balance (total) .....                               | 10  | 11  | 3  |
| Budget authority:                         |   |     |     |    |
| Appropriations, discretionary:            |   |     |     |    |
| 1100                                      | Appropriation .....   | 9   | 9   | 10 |
| 1131                                      | Unobligated balance of appropriations permanently reduced ..... |     |     | –3 |
| 1160                                      | Appropriation, discretionary (total) .....                      | 9   | 9   | 7  |
| 1930                                      | Total budgetary resources available .....                       | 19  | 20  | 10 |
| Memorandum (non-add) entries:             |   |     |     |    |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 9   | 3   |    |
| <b>Change in obligated balance:</b>       |   |     |     |    |
| Unpaid obligations:                       |   |     |     |    |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 22  | 16  | 4  |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 10  | 17  | 10 |
| 3020                                      | Outlays (gross) .....   | –14 | –27 | –9 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....    | –2  | –2  |    |
| 3050                                      | Unpaid obligations, end of year .....                           | 16  | 4   | 5  |
| Uncollected payments:                     |   |     |     |    |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –1  | –1  | –1 |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | –1  | –1  | –1 |
| Memorandum (non-add) entries:             |   |     |     |    |
| 3100                                      | Obligated balance, start of year .....                          | 21  | 15  | 3  |
| 3200                                      | Obligated balance, end of year .....                            | 15  | 3   | 4  |
| <b>Budget authority and outlays, net:</b> |   |     |     |    |
| Discretionary:                            |   |     |     |    |
| 4000                                      | Budget authority, gross .....                                   | 9   | 9   | 7  |
| Outlays, gross:                           |   |     |     |    |
| 4010                                      | Outlays from new discretionary authority .....                  | 7   | 4   | 4  |
| 4011                                      | Outlays from discretionary balances .....                       | 7   | 23  | 5  |
| 4020                                      | Outlays, gross (total) .....                                    | 14  | 27  | 9  |
| 4180                                      | Budget authority, net (total) .....                             | 9   | 9   | 7  |
| 4190                                      | Outlays, net (total) .....                                      | 14  | 27  | 9  |

This appropriation finances research and studies concerned with planning, analysis, and information development needed to support the Secretary's responsibilities in the formulation of national transportation policies and the coordination of national-level transportation planning. Funding also supports departmental leadership in areas such as regulatory modernization, energy conservation, environmental and safety impacts of transportation, aviation economic policy and international transportation issues. The program activities include contracts with other Federal agencies, educational institutions, non-profit research organizations, and private firms.

**Object Classification** (in millions of dollars)

|                                     |   |         |           |
|-------------------------------------|---|---------|-----------|
| Identification code 69–0142–0–1–407 | 2012 actual   | 2013 CR | 2014 est. |
| Direct obligations:                 |   |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....   | 4       | 5         |
| 12.1                                | Civilian personnel benefits .....                   | 1       | 1         |
| 25.1                                | Advisory and assistance services .....              | 2       |           |
| 25.2                                | Other services from non-Federal sources .....       | 11      | 3         |
| 25.3                                | Other goods and services from Federal sources ..... | 3       | 1         |
| 99.9                                | Total new obligations .....                         | 10      | 17        |

**Employment Summary**

|                                     |   |         |           |
|-------------------------------------|---|---------|-----------|
| Identification code 69–0142–0–1–407 | 2012 actual   | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... | 28      | 32        |

**ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND****Program and Financing** (in millions of dollars)

|   |  |         |           |
|---|--|---------|-----------|
| Identification code 69–5423–0–2–402       | 2012 actual  | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |  |         |           |
| 0001                                      | Essential air service and rural airport improvement .....    | 50      | 50        |
| <b>Budgetary Resources:</b>               |  |         |           |
| Unobligated balance:                      |  |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 1       |           |
| 1010                                      | Unobligated balance transfer to other accts [69–5422] .....  | –16     |           |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 15      |           |
| Budget authority:                         |  |         |           |
| Appropriations, mandatory:                |  |         |           |
| 1221                                      | Appropriations transferred from other accts [69–5422] ....   | 50      | 50        |
| 1260                                      | Appropriations, mandatory (total) .....                      | 50      | 50        |
| 1930                                      | Total budgetary resources available .....                    | 50      | 50        |
| Memorandum (non-add) entries:             |  |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             |         | 16        |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 24      | 8         |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 50      | 50        |
| 3020                                      | Outlays (gross) .....  | –51     | –38       |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | –15     |           |
| 3050                                      | Unpaid obligations, end of year .....                        | 8       | 20        |
| Memorandum (non-add) entries:             |  |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 24      | 8         |
| 3200                                      | Obligated balance, end of year .....                         | 8       | 20        |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Mandatory:                                |  |         |           |
| 4090                                      | Budget authority, gross .....                                | 50      | 50        |
| Outlays, gross:                           |  |         |           |
| 4100                                      | Outlays from new mandatory authority .....                   | 42      | 30        |
| 4101                                      | Outlays from mandatory balances .....                        | 9       | 8         |
| 4110                                      | Outlays, gross (total) .....                                 | 51      | 38        |
| 4180                                      | Budget authority, net (total) .....                          | 50      | 50        |
| 4190                                      | Outlays, net (total) .....                                   | 51      | 38        |

The Federal Aviation Reauthorization Act of 1996 (P.L. 104–264) authorized the collection of user fees for services provided by the Federal Aviation Administration (FAA) to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Act permanently appropriated the first \$50 million of such fees for the Essential Air Service (EAS) program and rural airport improvements. In addition, the recently enacted FAA Modernization and Reauthorization Act (P.L. 112–95) requires that, in any fiscal year, overflight fees collected in excess of \$50 million will be available to carry out the EAS program. A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 112–175). The continuing resolution extended as a term and condition a proviso of Section 112 of the 2012 FAA Administrative Provisions (P.L. 112–55) that the Department of Transportation interprets as restricting the availability of overflight fees for the Essential Air Service program provided in section 428 of the FAA Modernization and Reform Act of 2012 (P.L. 112–95). This restriction is reflected as a -\$76 million discretionary change in a mandatory program in FY 2013 in the Aviation Overflight Fee account, and results in only \$50 million being transferred to the Essential Air Service program. It is expected that a full year appropriation will amend this proviso of Section 112 so that the additional overflight fees will be available to the EAS program.

## ESSENTIAL AIR SERVICE AND RURAL AIRPORT IMPROVEMENT FUND—Continued

## Object Classification (in millions of dollars)

| Identification code 69–5423–0–2–402                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....        | 48          | 48      | 98        |
| 99.9 Total new obligations .....                       | 50          | 50      | 100       |

## Employment Summary

| Identification code 69–5423–0–2–402                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 10          | 13      | 13        |

## WORKING CAPITAL FUND

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–4520–0–4–407          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>      |             |         |           |
| 0801 DOT service center activities .....     | 159         | 173     | 183       |
| 0802 Non-DOT service center activities ..... | 258         | 319     | 340       |
| 0900 Total new obligations .....             | 417         | 492     | 523       |

**Budgetary Resources:**  
Unobligated balance:

|  |     |    |    |
|--|-----|----|----|
| 1000 Unobligated balance brought forward, Oct 1 .....  | 102 | 92 | 92 |
| 1021 Recoveries of prior year unpaid obligations ..... | 30  |    |    |
| 1050 Unobligated balance (total) .....                 | 132 | 92 | 92 |

## Budget authority:

|  |     |     |     |
|--|-----|-----|-----|
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 397 | 492 | 523 |
| 1701 Change in uncollected payments, Federal sources .....         | –20 |     |     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 377 | 492 | 523 |
| 1930 Total budgetary resources available .....                     | 509 | 584 | 615 |

## Memorandum (non-add) entries:

|   |    |    |    |
|---|----|----|----|
| 1941 Unexpired unobligated balance, end of year ..... | 92 | 92 | 92 |
|---|----|----|----|

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 126  | 93   | 43   |
| 3010 Obligations incurred, unexpired accounts .....               | 417  | 492  | 523  |
| 3020 Outlays (gross) .....  | –420 | –542 | –565 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –30  |      |      |
| 3050 Unpaid obligations, end of year .....                        | 93   | 43   | 1    |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –96  | –76  | –76  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 20   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –76  | –76  | –76  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 30   | 17   | –33  |
| 3200 Obligated balance, end of year .....                         | 17   | –33  | –75  |

**Budget authority and outlays, net:**

|  |      |      |      |
|--|------|------|------|
| Discretionary:   |      |      |      |
| 4000 Budget authority, gross .....                                   | 377  | 492  | 523  |
| Outlays, gross:  |      |      |      |
| 4010 Outlays from new discretionary authority .....                  | 334  | 487  | 518  |
| 4011 Outlays from discretionary balances .....                       | 86   | 55   | 47   |
| 4020 Outlays, gross (total) .....                                    | 420  | 542  | 565  |
| Offsets against gross budget authority and outlays:                  |      |      |      |
| Offsetting collections (collected) from:                             |      |      |      |
| 4030 Federal sources .....   | –395 | –490 | –521 |
| 4033 Non-Federal sources .....                                       | –2   | –2   | –2   |
| 4040 Offsets against gross budget authority and outlays (total) .... | –397 | –492 | –523 |
| Additional offsets against gross budget authority only:              |      |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 20   |      |      |

|   |    |    |    |
|---|----|----|----|
| 4080 Outlays, net (discretionary) ..... | 23 | 50 | 42 |
| 4190 Outlays, net (total) .....         | 23 | 50 | 42 |

The Working Capital Fund finances common administrative services and other services that are centrally performed in the interest of economy and efficiency. The fund is financed through agreements with the Department of Transportation operating administrations and other customers.

## Object Classification (in millions of dollars)

| Identification code 69–4520–0–4–407                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 19          | 23      | 24        |
| 11.3 Other than full-time permanent .....                       | 2           |         |           |
| 11.5 Other personnel compensation .....                         |             | 2       | 1         |
| 11.9 Total personnel compensation .....                         | 21          | 25      | 25        |
| 12.1 Civilian personnel benefits .....                          | 6           | 7       | 7         |
| 13.0 Benefits for former personnel .....                        | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....                 |             | 1       | 1         |
| 22.0 Transportation of things .....                             |             | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 7           | 9       | 10        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 16          | 14      | 14        |
| 25.2 Other services from non-Federal sources .....              |             | 1       |           |
| 25.3 Other goods and services from Federal sources .....        | 103         | 105     | 109       |
| 25.7 Operation and maintenance of equipment .....               | 7           | 13      | 14        |
| 26.0 Supplies and materials .....                               | 249         | 304     | 330       |
| 31.0 Equipment .....  | 6           | 9       | 9         |
| 99.0 Reimbursable obligations .....                             | 417         | 491     | 522       |
| 99.5 Below reporting threshold .....                            |             | 1       | 1         |
| 99.9 Total new obligations .....                                | 417         | 492     | 523       |

## Employment Summary

| Identification code 69–4520–0–4–407                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 222         | 246     | 248       |

## MINORITY BUSINESS RESOURCE CENTER PROGRAM

*For the cost of guaranteed loans, \$333,000, as authorized by 49 U.S.C. 332: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize total loan principal, any part of which is to be guaranteed, not to exceed \$18,367,000.*

*In addition, for administrative expenses to carry out the guaranteed loan program, \$592,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–0155–0–1–407                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| Credit program obligations:                                    |             |         |           |
| 0709 Administrative expenses .....                             |             | 1       | 1         |
| 0733 Guaranteed loan subsidy and administrative expenses ..... | 1           |         |           |
| 0900 Total new obligations (object class 99.5) .....           | 1           | 1       | 1         |

**Budgetary Resources:**

|   |   |   |   |
|---|---|---|---|
| Budget authority:                               |   |   |   |
| Appropriations, discretionary:                  |   |   |   |
| 1100 Appropriation .....                        | 1 | 1 | 1 |
| 1160 Appropriation, discretionary (total) ..... | 1 | 1 | 1 |
| 1930 Total budgetary resources available .....  | 1 | 1 | 1 |

**Change in obligated balance:**

|   |   |   |   |
|---|---|---|---|
| Unpaid obligations:                                 |   |   |   |
| 3010 Obligations incurred, unexpired accounts ..... | 1 | 1 | 1 |

|   |  |    |    |    |
|---|--|----|----|----|
| 3020                                      | Outlays (gross) .....                          | -1 | -1 | -1 |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| 4000                                      | Budget authority, gross .....                  | 1  | 1  | 1  |
| Outlays, gross:                           |  |    |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 1  | 1  | 1  |
| 4180                                      | Budget authority, net (total) .....            | 1  | 1  | 1  |
| 4190                                      | Outlays, net (total) .....                     | 1  | 1  | 1  |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 69-0155-0-1-407                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Minority Business Resource Center Loan Guarantees .....  | 5           | 18      | 18        |
| 215999 Total loan guarantee levels .....                        | 5           | 18      | 18        |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Minority Business Resource Center Loan Guarantees .....  | 1.81        | 1.73    | 1.76      |
| 232999 Weighted average subsidy rate .....                      | 1.81        | 1.73    | 1.76      |
| Guaranteed loan downward reestimates:                           |             |         |           |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 1           | 1       | 1         |
| 3590 Outlays from new authority .....                           |             | 1       | 1         |

This program provides assistance in obtaining short-term working capital for minority, women-owned and other disadvantaged businesses and Small Business Administration 8(a) firms. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs for this program associated with guaranteed loans, as well as administrative expenses of this program.

**Employment Summary**

| Identification code 69-0155-0-1-407                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 1       | 1         |

**MINORITY BUSINESS RESOURCE CENTER GUARANTEED LOAN FINANCING ACCOUNT****Status of Guaranteed Loans** (in millions of dollars)

| Identification code 69-4082-0-3-407                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:    |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....         | 18          | 18      | 18        |
| 2142 Uncommitted loan guarantee limitation .....                          | -13         |         |           |
| 2150 Total guaranteed loan commitments .....                              | 5           | 18      | 18        |
| 2199 Guaranteed amount of guaranteed loan commitments .....               | 4           | 14      | 14        |
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 4           | 5       | 18        |
| 2231 Disbursements of new guaranteed loans .....                          | 5           | 18      | 18        |
| 2251 Repayments and prepayments .....                                     | -4          | -5      | -18       |
| 2290 Outstanding, end of year .....                                       | 5           | 18      | 18        |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 4           | 14      | 14        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all the cash flows to and from the Government resulting from guaranteed loan commitments. The amounts in this account are a means of financing and are not included in the budget totals.

**Trust Funds****PAYMENTS TO AIR CARRIERS**

(AIRPORT AND AIRWAY TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

*In addition to funds made available from any other source to carry out the essential air service program under 49 U.S.C. 41731 through 41742, \$146,000,000, to be derived from the Airport and Airway Trust Fund, to remain available until expended: Provided, That in determining between or among carriers competing to provide service to a community, the Secretary may consider the relative subsidy requirements of the carriers: Provided further, That no funds made available under section 41742 of title 49, United States Code, and no funds made available in this Act or any other Act in any fiscal year, shall be available to carry out the essential air service program under sections 41731 through 41742 of such title 49 in communities in the 48 contiguous States unless the community received subsidized essential air service or received a 90-day notice of intent to terminate service and the Secretary required the air carrier to continue to provide service to the community at any time between September 30, 2010, and September 30, 2011, inclusive: Provided further, That basic essential air service minimum requirements shall not include the 15-passenger capacity requirement under subsection 41732(b)(3) of title 49, United States Code: Provided further, That if the funds under this heading are insufficient to meet the costs of the essential air service program in the current fiscal year, the Secretary shall transfer such sums as may be necessary to carry out the essential air service program from any available amounts appropriated to or directly administered by the Department of Transportation for such fiscal year: Provided further, That thereafter the Administrator of the Federal Aviation Administration shall make available, as requested by the Secretary, fees to replenish such transferred amounts from fees credited to the account established under section 45303, including the funds derived from fees imposed under the authority contained in section 45301(a).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69-8304-0-7-402                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Payments to air carriers .....                               | 174         | 154     | 146       |
| 0900 Total new obligations (object class 41.0) .....              | 174         | 154     | 146       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 23          | 11      | 1         |
| 1021 Recoveries of prior year unpaid obligations .....            | 19          |         |           |
| 1050 Unobligated balance (total) .....                            | 42          | 11      | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1101 Appropriation (special or trust fund) .....                  | 143         | 144     | 146       |
| 1160 Appropriation, discretionary (total) .....                   | 143         | 144     | 146       |
| 1930 Total budgetary resources available .....                    | 185         | 155     | 147       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 11          | 1       | 1         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 41          | 47      | 58        |
| 3010 Obligations incurred, unexpired accounts .....               | 174         | 154     | 146       |
| 3020 Outlays (gross) .....  | -149        | -143    | -146      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -19         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 47          | 58      | 58        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 41          | 47      | 58        |
| 3200 Obligated balance, end of year .....                         | 47          | 58      | 58        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 143         | 144     | 146       |

**PAYMENTS TO AIR CARRIERS—Continued**  
**Program and Financing—Continued**

| Identification code 69–8304–0–7–402                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 127         | 86      | 88        |
| 4011 Outlays from discretionary balances .....      | 22          | 57      | 58        |
| 4020 Outlays, gross (total) .....                   | 149         | 143     | 146       |
| 4180 Budget authority, net (total) .....            | 143         | 144     | 146       |
| 4190 Outlays, net (total) .....                     | 149         | 143     | 146       |

Through 1997, the Essential Air Service program was funded from the Airport and Airway Trust Fund. Starting in 1998, the Federal Aviation Administration reauthorization funded it as a mandatory program supported by overflight fees under the Essential Air Service and Rural Airport Improvement Fund. In addition to mandatory funding supported by overflight fees, direct appropriations from the Airport and Airway Trust Fund to Payments to Air Carriers have been enacted every year beginning in 2002 to meet the needs of the essential air service program. For 2014, \$146 million is requested from the Airport and Airway Trust Fund for Payments to Air Carriers.

**ADMINISTRATIVE PROVISIONS—OFFICE OF THE SECRETARY OF TRANSPORTATION**

*SEC. 101. The Secretary or his designee may engage in activities with States and State legislators to consider proposals related to the reduction of motorcycle fatalities.*

*SEC. 102. Notwithstanding section 3324 of title 31, United States Code, in addition to authority provided by section 327 of title 49, United States Code, the Department's Working Capital Fund is hereby authorized to provide payments in advance to vendors that are necessary to carry out the Federal transit pass transportation fringe benefit program under Executive Order 13150 and section 3049 of Public Law 109–59: Provided, That the Department shall include adequate safeguards in the contract with the vendors to ensure timely and high-quality performance under the contract.*

*SEC. 103. The Secretary shall post on the Web site of the Department of Transportation a schedule of all meetings of the Credit Council, including the agenda for each meeting, and require the Credit Council to record the decisions and actions of each meeting.*

*SEC. 104. No funds appropriated in this Act to an agency of the Department shall be transferred to the Working Capital Fund without majority approval of the Working Capital Fund Steering Committee and approval of the Secretary.*

**FEDERAL AVIATION ADMINISTRATION**

The following table depicts the total funding for all Federal Aviation Administration (FAA) programs, for which more detail is furnished in the budget schedules:

[In millions of dollars]

|  | 2012 actual   | 2013 est.     | 2014 est.     |
|--|---------------|---------------|---------------|
| <b>Budget Authority:</b>                                 |               |               |               |
| Operations .....   | 9,653         | 9,713         | 9,707         |
| General Fund .....                                       | [4,593]       | [4,621]       | [3,223]       |
| Facilities and Equipment (Trust Fund) .....              | 2,731         | 2,777         | 2,778         |
| Research, Engineering and Development (Trust Fund) ..... | 168           | 169           | 166           |
| Grants-in-Aid for Airports (Trust Fund) .....            | 3,350         | 3,350         | 2,900         |
| <b>Total net .....</b>                                   | <b>15,902</b> | <b>16,009</b> | <b>15,551</b> |
| <b>Obligations:</b>                                      |               |               |               |
| Operations .....   | 9,691         | 9,713         | 9,707         |
| Facilities and Equipment (Trust Fund) .....              | 2,966         | 3,167         | 2,326         |
| Research, Engineering and Development (Trust Fund) ..... | 172           | 169           | 167           |
| Grants-in-Aid for Airports (Trust Fund) .....            | 3,494         | 3,363         | 2,900         |
| Aviation Insurance Revolving Fund .....                  | 3             | 31            | 58            |
| <b>Total net .....</b>                                   | <b>16,326</b> | <b>16,443</b> | <b>15,158</b> |
| <b>Outlays:</b>  |               |               |               |

|  |               |               |               |
|--|---------------|---------------|---------------|
| Operations .....   | 9,731         | 9,822         | 9,824         |
| Facilities and Equipment (Trust Fund) .....              | 2,918         | 2,863         | 2,841         |
| Facilities and Equipment (General Fund - ARRA) .....     | 31            | 2             | —             |
| Research, Engineering and Development (Trust Fund) ..... | 184           | 182           | 180           |
| Grants-in-Aid for Airports (Trust Fund) .....            | 3,144         | 3,946         | 3,670         |
| Grants-in-Aid for Airports (General Fund - ARRA) .....   | 5             | 3             | —             |
| Aviation Insurance Revolving Fund .....                  | –159          | –137          | –102          |
| Administrative Services Franchise Fund .....             | –14           | 15            | –6            |
| <b>Total net .....</b>                                   | <b>15,840</b> | <b>16,696</b> | <b>16,407</b> |

**Federal Funds**

**OPERATIONS**

**(AIRPORT AND AIRWAY TRUST FUND)**

*For necessary expenses of the Federal Aviation Administration, not otherwise provided for, including operations and research activities related to commercial space transportation, administrative expenses for research and development, establishment of air navigation facilities, the operation (including leasing) and maintenance of aircraft, subsidizing the cost of aeronautical charts and maps sold to the public, lease or purchase of passenger motor vehicles for replacement only, in addition to amounts made available by Public Law 108–176, \$9,707,000,000, of which \$6,484,000,000 shall be derived from the Airport and Airway Trust Fund: Provided, That not to exceed 2 percent of any budget activity, except for aviation safety budget activity, may be transferred to any budget activity under this heading: Provided further, That no transfer may increase or decrease any appropriation by more than 2 percent: Provided further, That funds may be used to enter into a grant agreement with a nonprofit standard-setting organization to assist in the development of aviation safety standards: Provided further, That none of the funds in this Act shall be available for new applicants for the second career training program: Provided further, That there may be credited to this appropriation as offsetting collections funds received from States, counties, municipalities, foreign authorities, other public authorities, and private sources, including funds from fees authorized under Chapter 453 of title 49, United States Code, other than those authorized by section 45301(a)(1) of that title, which shall be available for expenses incurred in the provision of agency services, including receipts for the maintenance and operation of air navigation facilities; and for issuance, renewal or modification of certificates, including airman, aircraft, and repair station certificates, or for tests related thereto, or for processing major repair or alteration forms.*

*Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.*

**Program and Financing (in millions of dollars)**

| Identification code 69–1301–0–1–402   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Air Traffic Organization (ATO) .....                                       | 7,475       | 7,489   | 7,304     |
| 0002 NextGen .....  | 61          | 61      | 60        |
| 0003 Finance & Management .....   | 581         | 585     | 815       |
| 0004 Regulation and certification .....   | 1,259       | 1,261   | 1,205     |
| 0005 Commercial space transportation .....                                      | 16          | 16      | 16        |
| 0006 Human Resources .....  | 99          | 99      | 107       |
| 0007 Staff offices .....  | 200         | 201     | 200       |
| 0100 Direct Program Activities Subtotal .....                                   | 9,691       | 9,712   | 9,707     |
| 0799 Total direct obligations .....   | 9,691       | 9,712   | 9,707     |
| 0801 Reimbursable program .....   | 183         | 184     | 193       |
| 0900 Total new obligations .....  | 9,874       | 9,896   | 9,900     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 63          | 48      | 55        |
| 1011 Unobligated balance transfer from other accts [72–1037] .....              | 5           | —       | —         |
| 1011 Unobligated balance transfer from other accts [69–0102] .....              | 1           | —       | —         |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 5           | —       | —         |
| 1021 Recoveries of prior year unpaid obligations .....                          | 6           | —       | —         |



|      |  |       |       |       |
|------|--|-------|-------|-------|
| 1050 | Unobligated balance (total) .....                              | 80    | 48    | 55    |
|      | Budget authority:  |       |       |       |
|      | Appropriations, discretionary:                                 |       |       |       |
| 1100 | Appropriation .....  | 4,593 | 4,621 | 3,223 |
| 1160 | Appropriation, discretionary (total) .....                     | 4,593 | 4,621 | 3,223 |
|      | Spending authority from offsetting collections, discretionary: |       |       |       |
| 1700 | Collected .....  | 5,167 | 5,282 | 6,677 |
| 1701 | Change in uncollected payments, Federal sources .....          | 99    |       |       |
| 1750 | Spending auth from offsetting collections, disc (total) .....  | 5,266 | 5,282 | 6,677 |
| 1900 | Budget authority (total) .....                                 | 9,859 | 9,903 | 9,900 |
| 1930 | Total budgetary resources available .....                      | 9,939 | 9,951 | 9,955 |
|      | Memorandum (non-add) entries:                                  |       |       |       |
| 1940 | Unobligated balance expiring .....                             | -17   |       |       |
| 1941 | Unexpired unobligated balance, end of year .....               | 48    | 55    | 55    |

**Change in obligated balance:**

|      |  |        |         |         |
|------|--|--------|---------|---------|
|      | Unpaid obligations:  |        |         |         |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....             | 1,686  | 1,579   | 1,463   |
| 3010 | Obligations incurred, unexpired accounts .....               | 9,874  | 9,896   | 9,900   |
| 3011 | Obligations incurred, expired accounts .....                 | 55     |         |         |
| 3020 | Outlays (gross) .....  | -9,923 | -10,012 | -10,017 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -6     |         |         |
| 3041 | Recoveries of prior year unpaid obligations, expired .....   | -107   |         |         |
| 3050 | Unpaid obligations, end of year .....                        | 1,579  | 1,463   | 1,346   |
|      | Uncollected payments:  |        |         |         |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -195   | -236    | -236    |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | -99    |         |         |
| 3071 | Change in uncollected pymts, Fed sources, expired .....      | 58     |         |         |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | -236   | -236    | -236    |
|      | Memorandum (non-add) entries:                                |        |         |         |
| 3100 | Obligated balance, start of year .....                       | 1,491  | 1,343   | 1,227   |
| 3200 | Obligated balance, end of year .....                         | 1,343  | 1,227   | 1,110   |

**Budget authority and outlays, net:**

|      |   |        |        |        |
|------|---|--------|--------|--------|
|      | Discretionary:  |        |        |        |
| 4000 | Budget authority, gross .....                                   | 9,859  | 9,903  | 9,900  |
|      | Outlays, gross:   |        |        |        |
| 4010 | Outlays from new discretionary authority .....                  | 8,526  | 8,737  | 8,735  |
| 4011 | Outlays from discretionary balances .....                       | 1,397  | 1,275  | 1,282  |
| 4020 | Outlays, gross (total) .....                                    | 9,923  | 10,012 | 10,017 |
|      | Offsets against gross budget authority and outlays:             |        |        |        |
|      | Offsetting collections (collected) from:                        |        |        |        |
| 4030 | Federal sources .....   | -5,233 | -5,250 | -6,645 |
| 4033 | Non-Federal sources .....                                       | -20    | -32    | -32    |
| 4040 | Offsets against gross budget authority and outlays (total) .... | -5,253 | -5,282 | -6,677 |
|      | Additional offsets against gross budget authority only:         |        |        |        |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | -99    |        |        |
| 4052 | Offsetting collections credited to expired accounts .....       | 85     |        |        |
| 4060 | Additional offsets against budget authority only (total) .....  | -14    |        |        |
| 4070 | Budget authority, net (discretionary) .....                     | 4,592  | 4,621  | 3,223  |
| 4080 | Outlays, net (discretionary) .....                              | 4,670  | 4,730  | 3,340  |
| 4180 | Budget authority, net (total) .....                             | 4,592  | 4,621  | 3,223  |
| 4190 | Outlays, net (total) .....                                      | 4,670  | 4,730  | 3,340  |

For 2014, the Budget requests \$9,707 million for Federal Aviation Administration (FAA) operations. These funds will be used to continue to promote aviation safety and efficiency. The Budget provides funding for the Air Traffic Organization (ATO) which is responsible for managing the air traffic control system. As a performance-based organization, the ATO is designed to provide cost-effective, efficient, and, above all, safe air traffic services. The Budget also funds the Aviation Safety Organization which ensures the safe operation of the airlines and certifies new aviation products. In addition, the request also funds regulation of the commercial space transportation industry, as well as FAA policy oversight and overall management functions.

**Object Classification** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 69-1301-0-1-402       | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                       |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 4,622       | 4,714   | 4,752     |
| 11.3 Other than full-time permanent ..... | 42          | 42      | 42        |
| 11.5 Other personnel compensation .....   | 399         | 389     | 392       |

|   |       |       |       |
|---|-------|-------|-------|
| 11.9 Total personnel compensation .....                         | 5,063 | 5,145 | 5,186 |
| 12.1 Civilian personnel benefits .....                          | 1,736 | 1,754 | 1,766 |
| 13.0 Benefits for former personnel .....                        | 1     | 1     | 1     |
| 21.0 Travel and transportation of persons .....                 | 149   | 156   | 154   |
| 22.0 Transportation of things .....                             | 28    | 26    | 26    |
| 23.1 Rental payments to GSA .....                               | 121   | 129   | 134   |
| 23.2 Rental payments to others .....                            | 61    | 58    | 58    |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 240   | 260   | 259   |
| 24.0 Printing and reproduction .....                            | 8     | 4     | 4     |
| 25.1 Advisory and assistance services .....                     | 487   | 500   | 532   |
| 25.2 Other services from non-Federal sources .....              | 1,584 | 1,487 | 1,395 |
| 26.0 Supplies and materials .....                               | 149   | 133   | 133   |
| 31.0 Equipment .....  | 58    | 53    | 53    |
| 32.0 Land and structures .....                                  | 3     | 2     | 2     |
| 41.0 Grants, subsidies, and contributions .....                 | 2     | 2     | 2     |
| 42.0 Insurance claims and indemnities .....                     | 1     | 2     | 2     |
| 99.0 Direct obligations .....                                   | 9,691 | 9,712 | 9,707 |
| 99.0 Reimbursable obligations .....                             | 183   | 184   | 193   |
| 99.9 Total new obligations .....                                | 9,874 | 9,896 | 9,900 |

**Employment Summary**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 69-1301-0-1-402                              | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment .....       | 41,972      | 41,964  | 41,967    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 216         | 220     | 222       |

**FACILITIES AND EQUIPMENT, RECOVERY ACT****Program and Financing** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 69-1304-0-1-402                   | 2012 actual | 2013 CR | 2014 est. |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 33          | 2       |           |
| 3020 Outlays (gross) .....                            | -31         | -2      |           |
| 3050 Unpaid obligations, end of year .....            | 2           |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 33          | 2       |           |
| 3200 Obligated balance, end of year .....             | 2           |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 31          | 2       |           |
| 4190 Outlays, net (total) .....                       | 31          | 2       |           |

The American Recovery and Reinvestment Act of 2009 provided \$200 million to Federal Aviation Administration's (FAA) Facilities & Equipment account, which finances major capital investments related to modernizing and improving air traffic control and airway facilities, equipment, and systems. Funds were appropriated from the General Fund of the U.S. Treasury and available for obligation through 2010. The funding is being used to upgrade, modernize, and improve FAA power systems, air route traffic control centers, air traffic control towers, terminal radar approach control facilities, and navigation and landing equipment.

**GRANTS-IN-AID FOR AIRPORTS, RECOVERY ACT****Program and Financing** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 69-1306-0-1-402                             | 2012 actual | 2013 CR | 2014 est. |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 15          | 3       |           |
| 3020 Outlays (gross) .....                                      | -5          | -3      |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -7          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 3           |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 15          | 3       |           |

GRANTS-IN-AID FOR AIRPORTS, RECOVERY ACT—Continued  
Program and Financing—Continued

| Identification code 69–1306–0–1–402       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 3200                                      | Obligated balance, end of year .....      | 3           |         |           |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| Outlays, gross:                           |   |             |         |           |
| 4011                                      | Outlays from discretionary balances ..... | 5           | 3       |           |
| 4190                                      | Outlays, net (total) .....                | 5           | 3       |           |

The American Recovery and Reinvestment Act of 2009 provided \$1.1 billion for Grants-in-Aid for Airports. Funds were appropriated from the General Fund of the U.S. Treasury and were available for obligation through 2010. Discretionary grants were allocated to qualified airports based on a project priority system that addresses airport safety and security, runway safety, increased capacity, and mitigation of environmental impacts.

## AVIATION USER FEES

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 69–5422–0–2–402 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 0100                                | Balance, start of year .....                                 | 51          | 65      | 91        |
| Receipts:                           |  |             |         |           |
| 0200                                | Aviation User Fees, Overflight Fees .....                    | 64          | 76      | 88        |
| 0220                                | Property Disposal or Lease Proceeds, Aviation User Fee ..... | 1           |         |           |
| 0299                                | Total receipts and collections .....                         | 65          | 76      | 88        |
| 0400                                | Total: Balances and collections .....                        | 116         | 141     | 179       |
| Appropriations:                     |  |             |         |           |
| 0500                                | Aviation User Fees .....                                     |             | 76      |           |
| 0501                                | Aviation User Fees .....                                     | –51         | –126    | –116      |
| 0599                                | Total appropriations .....                                   | –51         | –50     | –116      |
| 0799                                | Balance, end of year .....                                   | 65          | 91      | 63        |

## Program and Financing (in millions of dollars)

| Identification code 69–5422–0–2–402       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             |             | 17      | 17        |
| 1011                                      | Unobligated balance transfer from other accts [69–5423] .... | 16          |         |           |
| 1050                                      | Unobligated balance (total) .....                            | 16          | 17      | 17        |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1132                                      | Appropriations temporarily reduced .....                     |             | –76     |           |
| 1160                                      | Appropriation, discretionary (total) .....                   |             | –76     |           |
| Appropriations, mandatory:                |  |             |         |           |
| 1201                                      | Appropriation (special or trust fund) .....                  | 51          | 126     | 116       |
| 1220                                      | Appropriations transferred to other accts [69–5423] .....    | –50         | –50     | –116      |
| 1260                                      | Appropriations, mandatory (total) .....                      | 1           | 76      |           |
| 1900                                      | Budget authority (total) .....                               | 1           |         |           |
| 1930                                      | Total budgetary resources available .....                    | 17          | 17      | 17        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 17          | 17      | 17        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                |             | –76     |           |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               |             | –76     |           |
| Mandatory:                                |  |             |         |           |
| 4090                                      | Budget authority, gross .....                                | 1           | 76      |           |
| Outlays, gross:                           |  |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....                   |             | 76      |           |
| 4180                                      | Budget authority, net (total) .....                          | 1           |         |           |

The Federal Aviation Reauthorization Act of 1996 (P.L. 104–264) authorized the collection of user fees for air traffic control and

related services provided by the Federal Aviation Administration to aircraft that neither take off nor land in the United States, commonly known as overflight fees. The Budget estimates that \$88 million in overflight fees will be collected in 2014. A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, this account is operating under a continuing resolution (P.L. 112–175). The continuing resolution extended as a term and condition a proviso of Section 112 of the 2012 FAA Administrative Provisions (P.L. 112–55) that the Department of Transportation interprets as restricting the availability of overflight fees for the Essential Air Service program provided in section 428 of the FAA Modernization and Reform Act of 2012 (P.L. 112–95). This restriction, which limits the availability of overflight fees to \$50 million, is reflected as a –\$76 million discretionary change in a mandatory program in FY 2013. It is expected that a full year appropriation will amend this proviso of Section 112 so that the additional overflight fees will be available.

## AVIATION INSURANCE REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 69–4120–0–3–402                        |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |   |             |         |           |
| 0801   | Program Administration .....                                    | 3           | 7       | 7         |
| 0802   | Projected Insurance Claims .....                                |             | 24      | 51        |
| 0900   | Total new obligations .....                                     | 3           | 31      | 58        |
| <b>Budgetary Resources:</b>                                |   |             |         |           |
| Unobligated balance:                                       |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 1,676       | 1,835   | 1,972     |
| Budget authority:  |   |             |         |           |
| Spending authority from offsetting collections, mandatory: |   |             |         |           |
| 1800   | Collected .....   | 162         | 168     | 50        |
| 1850   | Spending auth from offsetting collections, mand (total) .....   | 162         | 168     | 50        |
| 1930   | Total budgetary resources available .....                       | 1,838       | 2,003   | 2,022     |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 1,835       | 1,972   | 1,964     |
| <b>Change in obligated balance:</b>                        |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 2           | 2       | 2         |
| 3010   | Obligations incurred, unexpired accounts .....                  | 3           | 31      | 58        |
| 3020   | Outlays (gross) .....   | –3          | –31     | –58       |
| 3050   | Unpaid obligations, end of year .....                           | 2           | 2       | 2         |
| Memorandum (non-add) entries:                              |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 2           | 2       | 2         |
| 3200   | Obligated balance, end of year .....                            | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                  |   |             |         |           |
| Mandatory:   |   |             |         |           |
| 4090   | Budget authority, gross .....                                   | 162         | 168     | 50        |
| Outlays, gross:  |   |             |         |           |
| 4100   | Outlays from new mandatory authority .....                      | 3           | 31      | 48        |
| 4101   | Outlays from mandatory balances .....                           |             |         | 10        |
| 4110   | Outlays, gross (total) .....                                    | 3           | 31      | 58        |
| Offsets against gross budget authority and outlays:        |   |             |         |           |
| Offsetting collections (collected) from:                   |   |             |         |           |
| 4121   | Interest on Federal securities .....                            | –1          | –5      | –8        |
| 4123   | Non-Federal sources .....                                       | –161        | –163    | –42       |
| 4130   | Offsets against gross budget authority and outlays (total) .... | –162        | –168    | –50       |
| 4170   | Outlays, net (mandatory) .....                                  | –159        | –137    | 8         |
| 4190   | Outlays, net (total) .....                                      | –159        | –137    | 8         |
| <b>Memorandum (non-add) entries:</b>                       |   |             |         |           |
| 5000   | Total investments, SOY: Federal securities: Par value .....     | 1,631       | 1,818   | 1,784     |
| 5001   | Total investments, EOY: Federal securities: Par value .....     | 1,818       | 1,784   | 1,783     |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Outlays .....                           | -159        | -137    | 8         |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Outlays .....                           |             |         | -110      |
| Total:                                  |             |         |           |
| Outlays .....                           | -159        | -137    | -102      |

The fund provides direct support for the aviation insurance program (chapter 443 of title 49, U.S. Code). Income to the fund is derived from premium collections for premium insurance coverage issued, income from authorized investments, and filing fees for non-premium coverage issued. The non-premium program provides aviation insurance coverage for aircraft used in connection with certain Government contract operations by a Department or Agency that agrees to indemnify the Secretary of Transportation for any losses covered by the insurance. The premium program provides war risk insurance coverage at a premium based on activity.

The Homeland Security Act of 2002 (P.L. 107-296) added a provision to require the Secretary to provide additional premium war risk insurance coverage (hull loss or damage and passenger and crew liability) to air carriers insured for third-party war risk liability on November 25, 2002.

The premium war risk insurance policy covers: (i) hull losses at agreed value; (ii) death, injury or property loss to passengers or crew, the limit being the same as the air carrier's commercial coverage as of November 25, 2002; and (iii) third-party liability.

The Budget now includes outlays reflecting probabilistic estimates of losses for the aviation war risk insurance program.

The authority to provide aviation war risk insurance expires on December 31, 2013. With the goal of building private capacity to manage aviation war risk, the Administration proposes to transform the program into a co-insurance arrangement in which DOT and a private insurer would jointly underwrite a common policy. In the case of a claim, DOT would pay an established fraction of the losses, and the private partner would pay the remainder. The Federal share would be slightly reduced each year as private capacity expands. The proposal would extend the existing program through 2014, during which time DOT would propose changes to its underlying statutory authority and work with the private insurance industry to develop co-insurance policies. The Budget proposes that a co-insurance arrangement would begin to reduce the governments share of any losses, starting in 2015.

## Object Classification (in millions of dollars)

| Identification code 69-4120-0-3-402                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 42.0 Projected Insurance claims and indemnities .....  |             | 24      | 51        |
| 44.0 Refunds .....                                     | 2           | 6       | 6         |
| 99.9 Total new obligations .....                       | 3           | 31      | 58        |

## Employment Summary

| Identification code 69-4120-0-3-402                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 4           | 5       | 6         |

**AVIATION INSURANCE REVOLVING FUND**  
(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 69-4120-4-3-402                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Program Administration .....                                  |             |         | 2         |
| 0802 Projected Insurance Claims .....                              |             |         | 16        |
| 0900 Total new obligations .....                                   |             |         | 18        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             |         | 128       |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             |         | 128       |
| 1930 Total budgetary resources available .....                     |             |         | 128       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             |         | 110       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                |             |         | 18        |
| 3020 Outlays (gross) .....   |             |         | -18       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 |             |         | 128       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    |             |         | 18        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     |             |         | -128      |
| 4190 Outlays, net (total) .....                                    |             |         | -110      |
| <b>Memorandum (non-add) entries:</b>                               |             |         |           |
| 5001 Total investments, EOY: Federal securities: Par value .....   |             |         | 110       |

## Object Classification (in millions of dollars)

| Identification code 69-4120-4-3-402                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             |         | 2         |
| 42.0 Projected insurance claims and indemnities .....  |             |         | 16        |
| 99.9 Total new obligations .....                       |             |         | 18        |

## Employment Summary

| Identification code 69-4120-4-3-402                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... |             |         | 6         |

## ADMINISTRATIVE SERVICES FRANCHISE FUND

## Program and Financing (in millions of dollars)

| Identification code 69-4562-0-4-402     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0801 Accounting Services .....          | 54          | 58      | 59        |
| 0804 Information Services .....         | 102         | 106     | 107       |
| 0805 Duplicating Services .....         | 3           | 4       | 4         |
| 0806 Multi Media .....                  | 2           | 2       | 2         |
| 0807 CMEL/Training .....                | 11          | 12      | 12        |
| 0808 International Training .....       | 5           | 4       | 4         |
| 0810 Logistics .....                    | 249         | 233     | 235       |
| 0811 Aircraft Maintenance .....         | 54          | 56      | 57        |
| 0812 Acquisition .....                  | 9           | 9       | 10        |

ADMINISTRATIVE SERVICES FRANCHISE FUND—Continued  
Program and Financing—Continued

| Identification code 69-4562-0-4-402                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0900 Total new obligations .....                                   | 489         | 484     | 490       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 128         | 110     | 111       |
| 1021 Recoveries of prior year unpaid obligations .....             | 19          |         |           |
| 1050 Unobligated balance (total) .....                             | 147         | 110     | 111       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 458         | 485     | 500       |
| 1701 Change in uncollected payments, Federal sources .....         | -6          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 452         | 485     | 500       |
| 1930 Total budgetary resources available .....                     | 599         | 595     | 611       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 110         | 111     | 121       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 143         | 169     | 153       |
| 3010 Obligations incurred, unexpired accounts .....                | 489         | 484     | 490       |
| 3020 Outlays (gross) .....   | -444        | -500    | -494      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -19         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 169         | 153     | 149       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -13         | -7      | -7        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 6           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -7          | -7      | -7        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 130         | 162     | 146       |
| 3200 Obligated balance, end of year .....                          | 162         | 146     | 142       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 452         | 485     | 500       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 337         | 330     | 340       |
| 4011 Outlays from discretionary balances .....                     | 107         | 170     | 154       |
| 4020 Outlays, gross (total) .....                                  | 444         | 500     | 494       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -458        | -485    | -500      |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | 6           |         |           |
| 4080 Outlays, net (discretionary) .....                            | -14         | 15      | -6        |
| 4190 Outlays, net (total) .....                                    | -14         | 15      | -6        |

In 1997, the Federal Aviation Administration (FAA) established a franchise fund to finance operations where the costs for goods and services provided are charged to the users on a fee-for-service basis. The fund improves organizational efficiency and provides better support to FAA's internal and external customers. The activities included in this franchise fund are: training, accounting, travel, duplicating services, multi-media services, information technology, materiel management (logistics), and aircraft maintenance.

## Object Classification (in millions of dollars)

| Identification code 69-4562-0-4-402                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 129         | 134     | 137       |
| 12.1 Civilian personnel benefits .....                          | 40          | 42      | 43        |
| 21.0 Travel and transportation of persons .....                 | 5           | 7       | 7         |
| 22.0 Transportation of things .....                             | 5           | 5       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 17          | 15      | 16        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 208         | 192     | 193       |
| 26.0 Supplies and materials .....                               | 73          | 74      | 74        |
| 31.0 Equipment .....  | 11          | 14      | 14        |
| 99.9 Total new obligations .....                                | 489         | 484     | 490       |

## Employment Summary

| Identification code 69-4562-0-4-402                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,736       | 1,760   | 1,791     |

## Trust Funds

## AIRPORT AND AIRWAY TRUST FUND

## Program and Financing (in millions of dollars)

| Identification code 69-8103-0-7-402                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 8,641       | 10,425  | 10,399    |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 10,425      | 10,399  | 10,676    |

Section 9502 of Title 26, U.S. Code, provides for amounts equivalent to the funds received in the U.S. Treasury for the passenger ticket tax and certain other taxes paid by airport and airway users to be transferred to the Airport and Airway Trust Fund. In turn, appropriations are authorized from this fund to meet obligations for airport improvement grants, Federal Aviation Administration facilities and equipment, research, operations, payment to air carriers, and for the Bureau of Transportation Statistics Office of Airline Information.

To more equitably distribute the cost of air traffic services across the aviation user community, the Administration proposes to establish a new surcharge for air traffic services of \$100 per flight. Military aircraft, public aircraft, piston aircraft, air ambulances, aircraft operating outside of controlled airspace, and Canada-to-Canada flights would be exempt. The revenues generated by the surcharge would be deposited into the Airport and Airway Trust Fund. The surcharge would be effective for flights beginning after September 30, 2013.

The status of the fund is as follows:

## Status of Funds (in millions of dollars)

| Identification code 69-8103-0-7-402  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:   |             |         |           |
| 0100 Balance, start of year .....  | 10,326      | 11,623  | 11,550    |
| 0199 Total balance, start of year .....  | 10,326      | 11,623  | 11,550    |
| Cash income during the year:   |             |         |           |
| Current law:   |             |         |           |
| Receipts:  |             |         |           |
| 1200 Excise Taxes, Airport and Airway Trust Fund .....                           | 12,532      | 11,921  | 12,199    |
| Offsetting receipts (intragovernmental):   |             |         |           |
| 1240 Interest, Airport and Airway Trust Fund .....                               | 221         | 232     | 217       |
| Offsetting collections:  |             |         |           |
| 1280 Grants-in-aid for Airports (Airport and Airway Trust Fund) .....            |             | 1       | 1         |
| 1281 Research, Engineering and Development (Airport and Airway Trust Fund) ..... | 4           | 13      | 13        |
| 1282 Facilities and Equipment (Airport and Airway Trust Fund) .....              |             | 40      | 40        |
| 1283 Facilities and Equipment (Airport and Airway Trust Fund) .....              | 50          | 49      | 30        |
| 1299 Income under present law .....  | 12,807      | 12,256  | 12,500    |
| Proposed legislation:  |             |         |           |
| Receipts:  |             |         |           |
| 2201 Excise Taxes, Airport and Airway Trust Fund .....                           |             |         | 807       |
| 2299 Income under proposed legislation .....                                     |             |         | 807       |
| 3299 Total cash income .....   | 12,807      | 12,256  | 13,307    |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 Trust Fund Share of FAA Activities (Airport and Airway Trust Fund) .....    | -5,061      | -5,092  | -6,484    |
| 4500 Grants-in-aid for Airports (Airport and Airway Trust Fund) .....            | -3,144      | -3,947  | -3,671    |
| 4500 Facilities and Equipment (Airport and Airway Trust Fund) .....              | -2,968      | -2,952  | -2,911    |
| 4500 Research, Engineering and Development (Airport and Airway Trust Fund) ..... | -188        | -195    | -193      |
| 4500 Payments to Air Carriers .....  | -149        | -143    | -146      |

|      |  |         |         |         |
|------|--|---------|---------|---------|
| 4599 | Outgo under current law (-) .....                              | -11,510 | -12,329 | -13,405 |
| 6599 | Total cash outgo (-) .....                                     | -11,510 | -12,329 | -13,405 |
| 7645 | Facilities and Equipment (Airport and Airway Trust Fund) ..... | 1       |         |         |
|      | Manual Adjustments:  |         |         |         |
| 7690 | Rounding adjustment .....                                      | -1      |         |         |
| 7699 | Total adjustments .....  |         |         |         |
|      | Unexpended balance, end of year:                               |         |         |         |
| 8700 | Uninvested balance (net), end of year .....                    | 1,198   | 1,151   | 776     |
| 8701 | Airport and Airway Trust Fund .....                            | 10,425  | 10,399  | 10,676  |
| 8799 | Total balance, end of year .....                               | 11,623  | 11,550  | 11,452  |

## GRANTS-IN-AID FOR AIRPORTS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(AIRPORT AND AIRWAY TRUST FUND)

(INCLUDING TRANSFER OF FUNDS)

*For liquidation of obligations incurred for grants-in-aid for airport planning and development, and noise compatibility planning and programs as authorized under subchapter I of chapter 471 and subchapter I of chapter 475 of title 49, United States Code, and under other law authorizing such obligations; for procurement, installation, and commissioning of runway incursion prevention devices and systems at airports of such title; for grants authorized under section 41743 of title 49, United States Code; and for inspection activities and administration of airport safety programs, including those related to airport operating certificates under section 44706 of title 49, United States Code, \$3,200,000,000 to be derived from the Airport and Airway Trust Fund and to remain available until expended: Provided, That none of the funds under this heading shall be available for the planning or execution of programs the obligations for which are in excess of \$2,900,000,000 in fiscal year 2014, notwithstanding section 47117(g) of title 49, United States Code: Provided further, That none of the funds under this heading shall be available for the replacement of baggage conveyor systems, reconfiguration of terminal baggage areas, or other airport improvements that are necessary to install bulk explosive detection systems: Provided further, That notwithstanding any other provision of law, of funds limited under this heading, not more than \$106,600,000 shall be obligated for administration, not less than \$15,000,000 shall be available for the Airport Cooperative Research Program, and not less than \$29,500,000 shall be available for Airport Technology Research.*

(CANCELLATION)

*Of the amounts authorized under sections 48103 and 48112 of Title 49, United States Code, \$450,000,000 are hereby permanently cancelled from amounts authorized for the fiscal year ending September 30, 2014 .*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–8106–0–7–402                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Grants-in-aid for airports .....                 | 3,335       | 3,211   | 2,749     |
| 0002 Personnel and related expenses .....             | 100         | 102     | 107       |
| 0003 Airport technology research .....                | 29          | 29      | 29        |
| 0005 Small community air service .....                | 15          | 6       |           |
| 0006 Airport Cooperative Research .....               | 15          | 15      | 15        |
| 0100 Total direct program .....                       | 3,494       | 3,363   | 2,900     |
| 0799 Total direct obligations .....                   | 3,494       | 3,363   | 2,900     |
| 0801 Reimbursable program .....                       |             | 1       | 1         |
| 0900 Total new obligations .....                      | 3,494       | 3,364   | 2,901     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 13          | 15      | 2         |

|  |        |        |        |
|--|--------|--------|--------|
| 1021 Recoveries of prior year unpaid obligations .....   | 146    |        |        |
| 1050 Unobligated balance (total) .....   | 159    | 15     | 2      |
| Budget authority:  |        |        |        |
| Appropriations, discretionary:   |        |        |        |
| 1101 Appropriation (special or trust fund) .....   | 3,435  | 3,456  | 3,200  |
| 1137 Appropriations applied to liquidate contract authority .....                                  | -3,435 | -3,456 | -3,200 |
| Contract authority, discretionary:   |        |        |        |
| 1520 Contract authority and/or unobligated balance of contract authority permanently reduced ..... |        |        | -450   |
| 1540 Contract authority, discretionary (total) .....   |        |        | -450   |
| Contract authority, mandatory:   |        |        |        |
| 1600 Contract authority .....  | 3,350  | 3,350  | 3,350  |
| 1640 Contract authority, mandatory (total) .....   | 3,350  | 3,350  | 3,350  |
| Spending authority from offsetting collections, discretionary:                                     |        |        |        |
| 1700 Collected .....   |        | 1      | 1      |
| 1750 Spending auth from offsetting collections, disc (total) .....                                 |        | 1      | 1      |
| 1900 Budget authority (total) .....  | 3,350  | 3,351  | 2,901  |
| 1930 Total budgetary resources available .....   | 3,509  | 3,366  | 2,903  |
| Memorandum (non-add) entries:  |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....  | 15     | 2      | 2      |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 5,223  | 5,427  | 4,844  |
| 3010 Obligations incurred, unexpired accounts .....               | 3,494  | 3,364  | 2,901  |
| 3020 Outlays (gross) .....  | -3,144 | -3,947 | -3,671 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -146   |        |        |
| 3050 Unpaid obligations, end of year .....                        | 5,427  | 4,844  | 4,074  |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 5,223  | 5,427  | 4,844  |
| 3200 Obligated balance, end of year .....                         | 5,427  | 4,844  | 4,074  |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  |       | 1     | -449  |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 316   | 673   | 592   |
| 4011 Outlays from discretionary balances .....      | 2,828 | 3,274 | 3,079 |
| 4020 Outlays, gross (total) .....                   | 3,144 | 3,947 | 3,671 |
| Offsets against gross budget authority and outlays: |       |       |       |
| Offsetting collections (collected) from:            |       |       |       |
| 4033 Non-Federal sources .....                      |       | -1    | -1    |
| Mandatory:  |       |       |       |
| 4090 Budget authority, gross .....                  | 3,350 | 3,350 | 3,350 |
| 4180 Budget authority, net (total) .....            | 3,350 | 3,350 | 2,900 |
| 4190 Outlays, net (total) .....                     | 3,144 | 3,946 | 3,670 |

## Memorandum (non-add) entries:

|   |       |       |       |
|---|-------|-------|-------|
| 5052 Obligated balance, SOY: Contract authority .....             | 3,641 | 3,556 | 3,450 |
| 5053 Obligated balance, EOY: Contract authority .....             | 3,556 | 3,450 | 3,150 |
| 5061 Limitation on obligations (Transportation Trust Funds) ..... | 3,350 | 3,371 | 2,900 |

Subchapter I of chapter 471, title 49, U.S. Code provides for airport improvement grants, including those emphasizing capacity development, safety and security needs; and chapter 475 of title 49 provides for grants for aircraft noise compatibility planning and programs. The FY 2014 budget request proposes to lower funding for the airport grants program to \$2.9 billion, offset in part by eliminating passenger and cargo entitlement funding for large hub airports. To assist those airports that need the most help, the Administration proposes to focus Federal grants to support smaller commercial and general aviation airports that do not have access to additional revenue or other outside sources of capital. The Budget also proposes to allow all commercial service airports to increase the non-Federal Passenger Facility Charge, thereby giving airports greater flexibility to generate their own revenue. Eligible airports in all size categories will be able to compete for an additional \$2.0 billion in one-time funding that will be made available under the President's Immediate Transportation Investments proposal targeting investments in roads, railways, and runways. The combination of these changes to the AIP and PFC programs will allow airports to effectively transition to a reduced AIP level without hindering their ability to meet existing capital needs of the national airport system.

## GRANTS-IN-AID FOR AIRPORTS—Continued

## Object Classification (in millions of dollars)

| Identification code 69-8106-0-7-402                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 59          | 63      | 65        |
| 11.3 Other than full-time permanent .....          | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....            | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 61          | 65      | 67        |
| 12.1 Civilian personnel benefits .....             | 17          | 18      | 19        |
| 21.0 Travel and transportation of persons .....    | 3           | 3       | 3         |
| 23.2 Rental payments to others .....               | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....        | 25          | 25      | 27        |
| 25.2 Other services from non-Federal sources ..... | 28          | 24      | 25        |
| 25.4 Operation and maintenance of facilities ..... | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....  | 5           | 5       | 5         |
| 26.0 Supplies and materials .....                  | 1           | 1       | 1         |
| 31.0 Equipment .....                               | 1           | 1       | 1         |
| 32.0 Land and structures .....                     | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....    | 3,344       | 3,212   | 2,749     |
| 94.0 Financial transfers .....                     | 6           | 6       | .....     |
| 99.0 Direct obligations .....                      | 3,494       | 3,363   | 2,900     |
| 99.0 Reimbursable obligations .....                | .....       | 1       | 1         |
| 99.9 Total new obligations .....                   | 3,494       | 3,364   | 2,901     |

## Employment Summary

| Identification code 69-8106-0-7-402                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 547         | 589     | 605       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

## FACILITIES AND EQUIPMENT

## (AIRPORT AND AIRWAY TRUST FUND)

For necessary expenses, not otherwise provided for, for acquisition, establishment, technical support services, improvement by contract or purchase, and hire of national airspace systems and experimental facilities and equipment, as authorized under part A of subtitle VII of title 49, United States Code, including initial acquisition of necessary sites by lease or grant; engineering and service testing, including construction of test facilities and acquisition of necessary sites by lease or grant; construction and furnishing of quarters and related accommodations for officers and employees of the Federal Aviation Administration stationed at remote localities where such accommodations are not available; and the purchase, lease, or transfer of aircraft from funds available under this heading, including aircraft for aviation regulation and certification; to be derived from the Airport and Airway Trust Fund, \$2,777,798,000, of which \$482,000,000 shall remain available until September 30, 2014, and of which \$2,295,798,000 shall remain available until September 30, 2016: Provided, That there may be credited to this appropriation funds received from States, counties, municipalities, other public authorities, and private sources, for expenses incurred in the establishment, improvement, and modernization of national airspace systems: Provided further, That upon initial submission to the Congress of the fiscal year 2015 President's budget, the Secretary of Transportation shall transmit to the Congress a comprehensive capital investment plan for the Federal Aviation Administration which includes funding for each budget line item for fiscal years 2015 through 2019, with total funding for each year of the plan constrained to the funding targets for those years as estimated and approved by the Office of Management and Budget.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 69-8107-0-7-402  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Engineering, development, test and evaluation .....                                       | 455         | 583     | 335       |
| 0002 Procurement and modernization of air traffic control (ATC) facilities and equipment ..... | 1,627       | 1,652   | 1,200     |
| 0003 Procurement and modernization of non-ATC facilities and equipment .....                   | 180         | 202     | 126       |
| 0004 Mission support .....   | 232         | 252     | 183       |
| 0005 Personnel and related expenses .....  | 472         | 478     | 482       |
| 0100 Subtotal, direct program .....  | 2,966       | 3,167   | 2,326     |
| 0799 Total direct obligations .....  | 2,966       | 3,167   | 2,326     |
| 0801 Reimbursable program .....  | 74          | 75      | 75        |
| 0900 Total new obligations .....   | 3,040       | 3,242   | 2,401     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 1,355       | 1,163   | 787       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                                | 1,352       | 1,163   | .....     |
| 1012 Unobligated balance transfers between expired and unexpired accounts .....                | 1           | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....   | 61          | .....   | .....     |
| 1050 Unobligated balance (total) .....   | 1,417       | 1,163   | 787       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1101 Appropriation (special or trust fund) .....   | 2,731       | 2,777   | 2,778     |
| 1160 Appropriation, discretionary (total) .....  | 2,731       | 2,777   | 2,778     |
| Spending authority from offsetting collections, discretionary:                                 |             |         |           |
| 1700 Collected .....   | 40          | 89      | 70        |
| 1701 Change in uncollected payments, Federal sources .....                                     | 24          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....                             | 64          | 89      | 70        |
| 1900 Budget authority (total) .....  | 2,795       | 2,866   | 2,848     |
| 1930 Total budgetary resources available .....   | 4,212       | 4,029   | 3,635     |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....  | -9          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....  | 1,163       | 787     | 1,234     |
| Special and non-revolving trust funds:   |             |         |           |
| 1951 Unobligated balance expiring .....  | 9           | .....   | .....     |
| 1952 Expired unobligated balance, start of year .....  | 125         | 103     | 103       |
| 1953 Expired unobligated balance, end of year .....  | 94          | 103     | 103       |
| 1954 Unobligated balance canceling .....   | 70          | .....   | .....     |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 1,980       | 1,943   | 2,233     |
| 3010 Obligations incurred, unexpired accounts .....  | 3,040       | 3,242   | 2,401     |
| 3011 Obligations incurred, expired accounts .....  | 14          | .....   | .....     |
| 3020 Outlays (gross) .....   | -2,968      | -2,952  | -2,911    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                              | -61         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....                                | -62         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....   | 1,943       | 2,233   | 1,723     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                              | -74         | -80     | -80       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                                 | -24         | .....   | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                                   | 18          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....   | -80         | -80     | -80       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 1,906       | 1,863   | 2,153     |
| 3200 Obligated balance, end of year .....  | 1,863       | 2,153   | 1,643     |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   | 2,795       | 2,866   | 2,848     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 1,107       | 1,262   | 1,229     |
| 4011 Outlays from discretionary balances .....   | 1,859       | 1,687   | 1,682     |
| 4020 Outlays, gross (total) .....  | 2,966       | 2,949   | 2,911     |
| Offsets against gross budget authority and outlays:  |             |         |           |
| Offsetting collections (collected) from:   |             |         |           |
| 4030 Federal sources .....   | -50         | -49     | -30       |
| 4033 Non-Federal sources .....   | .....       | -40     | -40       |
| 4040 Offsets against gross budget authority and outlays (total) .....                          | -50         | -89     | -70       |
| Additional offsets against gross budget authority only:  |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                                 | -24         | .....   | .....     |
| 4052 Offsetting collections credited to expired accounts .....                                 | 10          | .....   | .....     |
| 4060 Additional offsets against budget authority only (total) .....                            | -14         | .....   | .....     |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 4070 | Budget authority, net (discretionary) ..... | 2,731 | 2,777 | 2,778 |
| 4080 | Outlays, net (discretionary) .....          | 2,916 | 2,860 | 2,841 |
|      | Mandatory:                                  |       |       |       |
|      | Outlays, gross:                             |       |       |       |
| 4101 | Outlays from mandatory balances .....       | 2     | 3     | ..... |
| 4180 | Budget authority, net (total) .....         | 2,731 | 2,777 | 2,778 |
| 4190 | Outlays, net (total) .....                  | 2,918 | 2,863 | 2,841 |

Funding in this account provides for the deployment of communications, navigation, surveillance, and related capabilities within the National Airspace System (NAS). This includes funding for several activities of the Next Generation Air Transportation System, a joint effort between the Department of Transportation, the National Aeronautics and Space Administration, and the Departments of Defense, Homeland Security, and Commerce to improve the safety, capacity, security, and environmental performance of the NAS. The funding request supports the Federal Aviation Administration's comprehensive plan for modernizing, maintaining, and improving air traffic control and airway facilities services.

#### Object Classification (in millions of dollars)

| Identification code 69-8107-0-7-402                             | 2012 actual        | 2013 CR | 2014 est. |
|---|--------------------|---------|-----------|
| Direct obligations:   |                    |         |           |
| Personnel compensation:   |                    |         |           |
| 11.1 Full-time permanent .....                                  | 312                | 318     | 321       |
| 11.3 Other than full-time permanent .....                       | 2                  | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 9                  | 9       | 9         |
| 11.9 Total personnel compensation .....                         | 323                | 329     | 332       |
| 12.1 Civilian personnel benefits .....                          | 88                 | 89      | 90        |
| 21.0 Travel and transportation of persons .....                 | 41                 | 34      | 34        |
| 22.0 Transportation of things .....                             | 2                  | 3       | 2         |
| 23.1 Rental payments to GSA .....                               | .....              | 1       | .....     |
| 23.2 Rental payments to others .....                            | .....              | 44      | 27        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 54                 | 18      | 26        |
| 25.1 Advisory and assistance services .....                     | .....              | 138     | 90        |
| 25.2 Other services from non-Federal sources .....              | 2,136              | 1,890   | 1,263     |
| 25.3 Other goods and services from Federal sources .....        | .....              | 112     | 65        |
| 25.4 Operation and maintenance of facilities .....              | .....              | 95      | 37        |
| 25.5 Research and development contracts .....                   | .....              | 3       | 5         |
| 25.7 Operation and maintenance of equipment .....               | .....              | 69      | 41        |
| 26.0 Supplies and materials .....                               | 21                 | 21      | 19        |
| 31.0 Equipment .....  | 189                | 215     | 192       |
| 32.0 Land and structures .....                                  | 107                | 99      | 97        |
| 41.0 Grants, subsidies, and contributions .....                 | 5                  | 7       | 6         |
| 99.0 Direct obligations .....                                   | 2,966 <sup>1</sup> | 3,167   | 2,326     |
| 99.0 Reimbursable obligations .....                             | 74                 | 75      | 75        |
| 99.9 Total new obligations .....                                | 3,040              | 3,242   | 2,401     |

<sup>1</sup>Details about the above object class breakout is available upon request.

#### Employment Summary

| Identification code 69-8107-0-7-402                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 2,793       | 2,833   | 2,833     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 39          | 61      | 62        |

#### RESEARCH, ENGINEERING, AND DEVELOPMENT

(INCLUDING CANCELLATION OF FUNDS)

(AIRPORT AND AIRWAY TRUST FUND)

*For necessary expenses, not otherwise provided for, for research, engineering, and development, as authorized under part A of subtitle VII of title 49, United States Code, including construction of experimental facilities and acquisition of necessary sites by lease or grant, \$166,000,000, to be derived from the Airport and Airway Trust Fund and to remain available until September 30, 2016: Provided, That there may be credited to this appropriation as offsetting collections, funds received from States, counties, municipalities, other public authorities, and private sources, which shall be available for expenses incurred for research, engineering, and development: Provided further, That no amounts may be cancelled*

*from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 69-8108-0-7-402                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0011 Improve aviation safety .....                              | 88          | 82      | 87        |
| 0012 Improve efficiency of the air traffic control system ..... | 38          | 29      | 31        |
| 0013 Reduce environmental impact of aviation .....              | 40          | 32      | 29        |
| 0014 Improve the efficiency of mission support .....            | 6           | 26      | 20        |
| 0100 Subtotal, direct program .....                             | 172         | 169     | 167       |
| 0799 Total direct obligations .....                             | 172         | 169     | 167       |
| 0801 Reimbursable program .....                                 | 7           | 13      | 13        |
| 0900 Total new obligations .....                                | 179         | 182     | 180       |

#### Budgetary Resources:

|  |     |       |       |
|--|-----|-------|-------|
| Unobligated balance:   |     |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 77  | 76    | 76    |
| 1021 Recoveries of prior year unpaid obligations .....             | 5   | ..... | ..... |
| 1050 Unobligated balance (total) .....                             | 82  | 76    | 76    |
| Budget authority:  |     |       |       |
| Appropriations, discretionary:                                     |     |       |       |
| 1101 Appropriation (special or trust fund) .....                   | 168 | 169   | 166   |
| 1160 Appropriation, discretionary (total) .....                    | 168 | 169   | 166   |
| Spending authority from offsetting collections, discretionary:     |     |       |       |
| 1700 Collected .....   | 2   | 13    | 13    |
| 1701 Change in uncollected payments, Federal sources .....         | 4   | ..... | ..... |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 6   | 13    | 13    |
| 1900 Budget authority (total) .....                                | 174 | 182   | 179   |
| 1930 Total budgetary resources available .....                     | 256 | 258   | 255   |
| Memorandum (non-add) entries:                                      |     |       |       |
| 1940 Unobligated balance expiring .....                            | -1  | ..... | ..... |
| 1941 Unexpired unobligated balance, end of year .....              | 76  | 76    | 75    |
| Special and non-revolving trust funds:                             |     |       |       |
| 1951 Unobligated balance expiring .....                            | 1   | ..... | ..... |
| 1952 Expired unobligated balance, start of year .....              | 6   | 6     | 6     |
| 1953 Expired unobligated balance, end of year .....                | 5   | 6     | 6     |
| 1954 Unobligated balance canceling[-8108] .....                    | 2   | ..... | ..... |

#### Change in obligated balance:

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 158  | 143   | 130   |
| 3010 Obligations incurred, unexpired accounts .....               | 179  | 182   | 180   |
| 3011 Obligations incurred, expired accounts .....                 | 1    | ..... | ..... |
| 3020 Outlays (gross) .....  | -188 | -195  | -193  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -5   | ..... | ..... |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -2   | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                        | 143  | 130   | 117   |
| Uncollected payments:   |      |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -6   | -8    | -8    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -4   | ..... | ..... |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 2    | ..... | ..... |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -8   | -8    | -8    |
| Memorandum (non-add) entries:                                     |      |       |       |
| 3100 Obligated balance, start of year .....                       | 152  | 135   | 122   |
| 3200 Obligated balance, end of year .....                         | 135  | 122   | 109   |

#### Budget authority and outlays, net:

|  |     |       |       |
|--|-----|-------|-------|
| Discretionary:   |     |       |       |
| 4000 Budget authority, gross .....                             | 174 | 182   | 179   |
| Outlays, gross:  |     |       |       |
| 4010 Outlays from new discretionary authority .....            | 60  | 87    | 86    |
| 4011 Outlays from discretionary balances .....                 | 128 | 108   | 107   |
| 4020 Outlays, gross (total) .....                              | 188 | 195   | 193   |
| Offsets against gross budget authority and outlays:            |     |       |       |
| Offsetting collections (collected) from:                       |     |       |       |
| 4030 Federal sources .....                                     | -4  | -13   | -13   |
| Additional offsets against gross budget authority only:        |     |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -4  | ..... | ..... |
| 4052 Offsetting collections credited to expired accounts ..... | 2   | ..... | ..... |

RESEARCH, ENGINEERING, AND DEVELOPMENT—Continued  
Program and Financing—Continued

| Identification code 69–8108–0–7–402                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4060 Additional offsets against budget authority only (total) ..... | –2          |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 168         | 169     | 166       |
| 4080 Outlays, net (discretionary) .....                             | 184         | 182     | 180       |
| 4180 Budget authority, net (total) .....                            | 168         | 169     | 166       |
| 4190 Outlays, net (total) .....                                     | 184         | 182     | 180       |

This account provides funding to conduct research, engineering, and development to improve the national airspace system's capacity and safety, as well as the ability to meet environmental needs. The proposed funding is allocated to the following performance goal areas of the Federal Aviation Administration: improve safety, economic competitiveness, and environmental performance of the National Airspace System. The request includes funding for several research and development activities of the Next Generation Air Transportation System (NextGen), as well as the Joint Planning and Development Office which coordinates the interagency NextGen efforts, including activities related to unmanned aircraft systems.

## Object Classification (in millions of dollars)

| Identification code 69–8108–0–7–402                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 28          | 28      | 28        |
| 11.3 Other than full-time permanent .....                |             | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 28          | 29      | 29        |
| 12.1 Civilian personnel benefits .....                   | 8           | 8       | 8         |
| 21.0 Travel and transportation of persons .....          | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 35          | 36      | 37        |
| 25.2 Other services from non-Federal sources .....       | 53          | 51      | 45        |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 25.4 Operation and maintenance of facilities .....       | 1           |         |           |
| 25.5 Research and development contracts .....            | 22          | 21      | 23        |
| 25.7 Operation and maintenance of equipment .....        | 2           | 2       | 2         |
| 26.0 Supplies and materials .....                        | 2           | 2       | 3         |
| 31.0 Equipment .....                                     | 2           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 15          | 15      | 15        |
| 99.0 Direct obligations .....                            | 172         | 169     | 167       |
| 99.0 Reimbursable obligations .....                      | 7           | 13      | 13        |
| 99.9 Total new obligations .....                         | 179         | 182     | 180       |

## Employment Summary

| Identification code 69–8108–0–7–402                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 255         | 260     | 260       |

## TRUST FUND SHARE OF FAA ACTIVITIES (AIRPORT AND AIRWAY TRUST FUND)

## Program and Financing (in millions of dollars)

| Identification code 69–8104–0–7–402                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Payment to Operations .....                     | 5,061       | 5,092   | 6,484     |
| 0900 Total new obligations (object class 94.0) ..... | 5,061       | 5,092   | 6,484     |
| Budgetary Resources:                                 |             |         |           |
| Budget authority:                                    |             |         |           |
| 1101 Appropriations, discretionary:                  |             |         |           |
| Appropriation (special or trust fund) .....          | 5,061       | 5,092   | 6,484     |
| 1160 Appropriation, discretionary (total) .....      | 5,061       | 5,092   | 6,484     |
| 1930 Total budgetary resources available .....       | 5,061       | 5,092   | 6,484     |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| 3010 Unpaid obligations:                            |        |        |        |
| 3020 Obligations incurred, unexpired accounts ..... | 5,061  | 5,092  | 6,484  |
| Outlays (gross) .....                               | –5,061 | –5,092 | –6,484 |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | 5,061 | 5,092 | 6,484 |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 5,061 | 5,092 | 6,484 |
| 4180 Budget authority, net (total) .....            | 5,061 | 5,092 | 6,484 |
| 4190 Outlays, net (total) .....                     | 5,061 | 5,092 | 6,484 |

For 2014, the Budget proposes \$9,707 million for Federal Aviation Administration Operations, of which \$6,484 million would be provided from the Airport and Airway Trust Fund.

## ADMINISTRATIVE PROVISIONS—FEDERAL AVIATION ADMINISTRATION

*SEC. 110. The Administrator of the Federal Aviation Administration may reimburse amounts made available to satisfy 49 U.S.C. 41742(a)(1) from fees credited under 49 U.S.C. 45303, and any amount remaining in such account at the close of that fiscal year may be made available to satisfy section 41742(a)(1) for the subsequent fiscal year.*

*SEC. 111. Amounts collected under section 40113(e) of title 49, United States Code, shall be credited to the appropriation current at the time of collection, to be merged with and available for the same purposes of such appropriation.*

*SEC. 112. None of the funds limited by this Act for grants under the Airport Improvement Program shall be made available to the sponsor of a commercial service airport if such sponsor fails to agree to a request from the Secretary of Transportation for cost-free space in a non-revenue producing, public use area of the airport terminal or other airport facilities for the purpose of carrying out a public service air passenger rights and consumer outreach campaign.*

*SEC. 113. None of the funds in this Act shall be available for paying premium pay under subsection 5546(a) of title 5, United States Code, to any Federal Aviation Administration employee unless such employee actually performed work during the time corresponding to such premium pay.*

*SEC. 114. None of the funds in this Act may be obligated or expended for an employee of the Federal Aviation Administration to purchase a store gift card or gift certificate through use of a Government-issued credit card.*

*SEC. 115. None of the funds in this Act may be obligated or expended for retention bonuses for an employee of the Federal Aviation Administration without the prior written approval of the Deputy Assistant Secretary for Administration of the Department of Transportation.*

*SEC. 116. Subparagraph (D) of section 47124(b)(3) of title 49, United States Code, is amended by striking "20 percent." and inserting "50 percent."*

## FEDERAL HIGHWAY ADMINISTRATION

The Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, provides for increased transportation infrastructure investment, strengthens transportation safety programs and environmental programs, and continues core research activities. MAP-21, along with Title 23, United States Code (Highways) and other supporting legislation, provides authority for the various programs of the Federal-aid Program.

The Act is the first long-term highway authorization enacted since 2005. MAP-21 represents a milestone for the United States economy. It provides needed funds, and, more importantly, it transforms the policy and programmatic framework for investments to guide the growth and development of the country's vital transportation system. The 2014 Budget request reflects the program restructuring and performance-based investment approach laid out by MAP-21. The complex array of highway programs from previous years is substantially consolidated into a



smaller number of broader core programs, thus simplifying the transportation investment process and providing funding flexibility to States. In addition, each program requires that performance-based goals are monitored and achieved which will lead to more efficient investment of Federal funds by focusing on National transportation priorities, increasing the accountability and transparency of the Federal highway programs, and improving transportation investment decision-making.

In summary, the 2014 Budget consists of \$40,995 million in new budget authority and \$42,640 million in outlays (with both totals excluding transfers from the General Fund provided in MAP-21).

The following table reflects the total funding for all FHWA programs.

|   | [In millions of dollars] |               |               |
|---|--------------------------|---------------|---------------|
|   | 2012 actual              | 2013 est.     | 2014 est.     |
| <b>Budget Authority:</b>                              |                          |               |               |
| Federal-aid highways (TIF) .....                      | 40,193                   | 40,438        | 40,995        |
| Federal-aid subject to limitation .....               | 39,447                   | 39,699        | 40,256        |
| Federal-aid highways exempt from the limitation ..... | 739                      | 739           | 739           |
| TIFIA Re-estimate .....                               | 7                        | 0             | 0             |
| Miscellaneous appropriations (GF) .....               | 5                        | 63            | 0             |
| Miscellaneous trust funds (TF) .....                  | 24                       | 24            | 24            |
| Emergency Relief (GF) .....                           | 1,662                    | 2,022         | 0             |
| ROW Revolving Fund Liq Acct (TF) .....                | -14                      | -19           | 0             |
| <b>Total Budget Authority .....</b>                   | <b>41,871</b>            | <b>42,528</b> | <b>41,019</b> |
| <b>Total Discretionary .....</b>                      | <b>1,662</b>             | <b>2,022</b>  | <b>0</b>      |
| <b>Total Mandatory .....</b>                          | <b>40,208</b>            | <b>40,506</b> | <b>41,019</b> |
| <b>Obligation Limitation:</b>                         |                          |               |               |
| Federal-aid highways (HTF) .....                      | 39,144                   | 39,144        | 40,256        |

Note: Numbers may not add due to rounding. Totals do not include transfers with the Federal Transit Administration.

### Federal Funds

#### MISCELLANEOUS APPROPRIATIONS

#### Program and Financing (in millions of dollars)

| Identification code 69-9911-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 69-X-0538 STP .....  | 64          | 44      | 44        |
| 0003 69-X-991 All Others .....                                    | 22          | 16      | 16        |
| 0083 69-X-0505 TIFIA .....  | 5           | 63      | .....     |
| 0900 Total new obligations (object class 41.0) .....              | 91          | 123     | 60        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| <b>Unobligated balance:</b>                                       |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 332         | 260     | 193       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 332         | 260     | .....     |
| 1010 Unobligated balance transfer to other accts [69-1129] .....  | -1          | -7      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 15          | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 346         | 253     | 193       |
| <b>Budget authority:</b>  |             |         |           |
| <b>Appropriations, mandatory:</b>                                 |             |         |           |
| 1200 Appropriation .....  | 5           | 63      | .....     |
| 1260 Appropriations, mandatory (total) .....                      | 5           | 63      | .....     |
| 1900 Budget authority (total) .....                               | 5           | 63      | .....     |
| 1930 Total budgetary resources available .....                    | 351         | 316     | 193       |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 260         | 193     | 133       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 159         | 143     | 119       |
| 3010 Obligations incurred, unexpired accounts .....               | 91          | 123     | 60        |
| 3020 Outlays (gross) .....  | -92         | -147    | -69       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -15         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 143         | 119     | 110       |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 3100 Obligated balance, start of year .....                       | 159         | 143     | 119       |
| 3200 Obligated balance, end of year .....                         | 143         | 119     | 110       |

#### Budget authority and outlays, net:

|   |    |     |       |
|---|----|-----|-------|
| <b>Discretionary:</b>                           |    |     |       |
| <b>Outlays, gross:</b>                          |    |     |       |
| 4011 Outlays from discretionary balances .....  | 87 | 84  | 69    |
| <b>Mandatory:</b>                               |    |     |       |
| 4090 Budget authority, gross .....              | 5  | 63  | ..... |
| <b>Outlays, gross:</b>                          |    |     |       |
| 4100 Outlays from new mandatory authority ..... | 5  | 63  | ..... |
| 4180 Budget authority, net (total) .....        | 5  | 63  | ..... |
| 4190 Outlays, net (total) .....                 | 92 | 147 | 69    |

This consolidated schedule shows the obligation and outlay of amounts appropriated from the General Fund for miscellaneous programs. The schedule reflects a Transportation Infrastructure Finance and Innovation (TIFIA) Act program upward interest re-estimate of \$5 million for 2012 and \$63 million for 2013. The Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, includes the TIFIA Act program upward subsidy re-estimate with this account instead of its previous inclusion in the Federal-aid highways account.

No further discretionary appropriations are requested for 2014.

### EMERGENCY RELIEF

#### Program and Financing (in millions of dollars)

| Identification code 69-0500-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 1,393       | 1,107   | 1,555     |
| 0900 Total new obligations (object class 41.0) .....              | 1,393       | 1,107   | 1,555     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| <b>Unobligated balance:</b>                                       |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 266         | 640     | 1,555     |
| 1021 Recoveries of prior year unpaid obligations .....            | 105         | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 371         | 640     | 1,555     |
| <b>Budget authority:</b>  |             |         |           |
| <b>Appropriations, discretionary:</b>                             |             |         |           |
| 1100 Appropriation .....  | 1,662       | 2,022   | .....     |
| 1160 Appropriation, discretionary (total) .....                   | 1,662       | 2,022   | .....     |
| 1930 Total budgetary resources available .....                    | 2,033       | 2,662   | 1,555     |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 640         | 1,555   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 549         | 811     | 1,044     |
| 3010 Obligations incurred, unexpired accounts .....               | 1,393       | 1,107   | 1,555     |
| 3020 Outlays (gross) .....  | -1,026      | -874    | -1,048    |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -105        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 811         | 1,044   | 1,551     |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 3100 Obligated balance, start of year .....                       | 549         | 811     | 1,044     |
| 3200 Obligated balance, end of year .....                         | 811         | 1,044   | 1,551     |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| <b>Discretionary:</b>   |             |         |           |
| 4000 Budget authority, gross .....                                | 1,662       | 2,022   | .....     |
| <b>Outlays, gross:</b>  |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 689         | 126     | .....     |
| 4011 Outlays from discretionary balances .....                    | 337         | 748     | 1,048     |
| 4020 Outlays, gross (total) .....                                 | 1,026       | 874     | 1,048     |
| 4180 Budget authority, net (total) .....                          | 1,662       | 2,022   | .....     |
| 4190 Outlays, net (total) .....                                   | 1,026       | 874     | 1,048     |

The Emergency Relief program receives \$100 million annually in mandatory funds in the Federal-aid Highways account. The Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users (SAFETEA-LU); and Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, authorized the program to receive additional General Fund discretionary funding as needed. In 2012, \$1,662 million was

## EMERGENCY RELIEF—Continued

enacted to remain available until expended, and in 2013, \$2,022 million was enacted to remain available until expended, both for necessary expenses resulting from major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.).

No further appropriations are requested for this account in 2014.

## APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM

## Program and Financing (in millions of dollars)

| Identification code 69-0640-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Appalachian Development Highway System .....                 | 10          | 57      | .....     |
| 0900 Total new obligations (object class 41.0) .....              | 10          | 57      | .....     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 67          | 59      | 2         |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 69          | 59      | 2         |
| 1930 Total budgetary resources available .....                    | 69          | 59      | 2         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 59          | 2       | 2         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 31          | 23      | 53        |
| 3010 Obligations incurred, unexpired accounts .....               | 10          | 57      | .....     |
| 3020 Outlays (gross) .....  | -16         | -27     | -30       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 23          | 53      | 23        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 31          | 23      | 53        |
| 3200 Obligated balance, end of year .....                         | 23          | 53      | 23        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 16          | 27      | 30        |
| 4190 Outlays, net (total) .....                                   | 16          | 27      | 30        |

Funding for this program is used for the necessary expenses relating to construction of, and improvements to, corridors of the Appalachian Development Highway System. This schedule shows the obligation and outlay of amounts made available in prior years.

No funding is requested for 2014.

## STATE INFRASTRUCTURE BANKS

## Program and Financing (in millions of dollars)

| Identification code 69-0549-0-1-401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |

This schedule shows the obligation and outlay of amounts made available in prior years.

No further appropriations are requested.

## HIGHWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

## Program and Financing (in millions of dollars)

| Identification code 69-0504-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0080 Projects and Activities Oversight .....                      | 9           | .....   | .....     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 14          | .....   | .....     |
| 1930 Total budgetary resources available .....                    | 14          | .....   | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1940 Unobligated balance expiring .....                           | -5          | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 4,712       | 1,562   | 277       |
| 3010 Obligations incurred, unexpired accounts .....               | 9           | .....   | .....     |
| 3011 Obligations incurred, expired accounts .....                 | 20          | .....   | .....     |
| 3020 Outlays (gross) .....  | -3,037      | -1,285  | -277      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -142        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 1,562       | 277     | .....     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -14         | -5      | .....     |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 9           | 5       | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -5          | .....   | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 4,698       | 1,557   | 277       |
| 3200 Obligated balance, end of year .....                         | 1,557       | 277     | .....     |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 3,037       | 1,285   | 277       |
| Offsets against gross budget authority and outlays:               |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4030 Federal sources .....  | -9          | .....   | .....     |
| Additional offsets against gross budget authority only:           |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....    | 9           | .....   | .....     |
| 4080 Outlays, net (discretionary) .....                           | 3,028       | 1,285   | 277       |
| 4190 Outlays, net (total) .....                                   | 3,028       | 1,285   | 277       |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 69-0504-0-1-401          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct loan subsidy outlays:</b>          |             |         |           |
| 134001 Tiger TIFIA Direct Loans (ARRA) ..... | .....       | 8       | 1         |
| 134999 Total subsidy outlays .....           | .....       | 8       | 1         |

Enacted on February 17, 2009, the American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$27.5 billion from the General Fund to the Federal Highway Administration (FHWA), of which \$26.6 billion was apportioned to States based on formulas described in the Recovery Act and \$0.9 billion was allocated to programs identified in the Recovery Act, including the Indian Reservation Roads Program, Park Roads and Parkway Program, Forest Highway Program, Refuge Roads Program, Disadvantaged Business Enterprise Bonding Assistance, Territorial Highway Program, Puerto Rico Highway Program, and the Ferry Boat Discretionary Program. Administrative oversight funds were available through September 30, 2012 and all other funds were available through September 30, 2010.

The FHWA Recovery Act funds have been used to invest in transportation, environmental protection, and other infrastructure that will provide longer term economic benefits to the Nation. The Recovery Act funds augmented existing investments, authorized by the Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, enabled States, regional, and local governments to accelerate to completion a number of highway infrastructure projects planned or underway. Since the Recovery Act was enacted in February 2009, more than 42,000

miles of pavement across the United States have been improved. Of the 13,129 highway projects for which Recovery Act funds were obligated, 1,835 projects are under construction and 11,294 projects have been completed.

**Object Classification** (in millions of dollars)

| Identification code 69–0504–0–1–401             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 6           |         |           |
| 11.3 Other than full-time permanent .....       | 1           |         |           |
| 11.9 Total personnel compensation .....         | 7           |         |           |
| 21.0 Travel and transportation of persons ..... | 1           |         |           |
| 99.0 Direct obligations .....                   | 8           |         |           |
| 99.5 Below reporting threshold .....            | 1           |         |           |
| 99.9 Total new obligations .....                | 9           |         |           |

**Employment Summary**

| Identification code 69–0504–0–1–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 20          |         |           |

**PAYMENT TO THE TRANSPORTATION TRUST FUND**

**Program and Financing** (in millions of dollars)

| Identification code 69–0534–0–1–401                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 6,200       | 12,600  |           |
| 0900 Total new obligations (object class 41.0) ..... | 6,200       | 12,600  |           |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 6,200       | 12,600  |           |
| 1260 Appropriations, mandatory (total) .....         | 6,200       | 12,600  |           |
| 1930 Total budgetary resources available .....       | 6,200       | 12,600  |           |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 6,200       | 12,600  |           |
| 3020 Outlays (gross) .....                           | –6,200      | –12,600 |           |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 6,200       | 12,600  |           |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 6,200       | 12,600  |           |
| 4180 Budget authority, net (total) .....             | 6,200       | 12,600  |           |
| 4190 Outlays, net (total) .....                      | 6,200       | 12,600  |           |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 6,200       | 12,600  |           |
| Outlays .....                           | 6,200       | 12,600  |           |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             | 2,552   |           |
| Outlays .....                           |             | 2,552   |           |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 6,200       | 15,152  |           |
| Outlays .....                           | 6,200       | 15,152  |           |

Section 40251 of Public Law 112–141, Moving Ahead for Progress in the 21st Century Act (MAP-21), enacted July 6, 2012, authorized additional appropriations from the General Fund of the Treasury to the Highway Account in the Highway Trust Fund

in the amount of \$6.2 billion and \$12.6 billion in 2013 and 2014, respectively.

**PAYMENT TO THE TRANSPORTATION TRUST FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 69–0534–4–1–401                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 2,552     |
| 0900 Total new obligations (object class 94.0) ..... |             |         | 2,552     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 2,552     |
| 1260 Appropriations, mandatory (total) .....         |             |         | 2,552     |
| 1930 Total budgetary resources available .....       |             |         | 2,552     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 2,552     |
| 3020 Outlays (gross) .....                           |             |         | –2,552    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 2,552     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 2,552     |
| 4180 Budget authority, net (total) .....             |             |         | 2,552     |
| 4190 Outlays, net (total) .....                      |             |         | 2,552     |

The Administration proposes to pay for the proposed rail reauthorization and the surface transportation reauthorization reserve by utilizing savings from ramping down overseas military operations. Specifically, the Budget proposes transfers from the General Fund to the Transportation Trust Fund (TTF) to maintain TTF solvency through the both reauthorization periods, which are fully offset by reduced overseas military expenditures. These transfers will cover both the existing structural trust fund structural deficit for current law surface transportation programs and new outlays associated with both reauthorization proposals for the ten year window. In 2014, the Budget proposes to transfer \$2.552 billion into the TTF.

**TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM  
DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 69–4123–0–3–401   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 852         | 7,619   | 9,793     |
| 0713 Payment of interest to Treasury .....                                      | 180         | 166     | 239       |
| 0742 Downward reestimate paid to receipt account .....                          | 71          | 100     |           |
| 0743 Interest on downward reestimates .....                                     | 28          | 35      |           |
| 0900 Total new obligations .....  | 1,131       | 7,920   | 10,032    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 30          | 29      | 3,230     |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 1,033       | 10,240  | 9,037     |
| 1440 Borrowing authority, mandatory (total) .....                               | 1,033       | 10,240  | 9,037     |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 148         | 313     | 441       |
| 1801 Change in uncollected payments, Federal sources .....                      | –22         | 568     | 692       |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –29         |         |           |

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM  
DIRECT LOAN FINANCING ACCOUNT—Continued

## Program and Financing—Continued

| Identification code 69-4123-0-3-401                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1850 Spending auth from offsetting collections, mand (total) .....        | 97          | 881     | 1,133     |
| 1900 Financing authority (total) .....                                    | 1,130       | 11,121  | 10,170    |
| 1930 Total budgetary resources available .....                            | 1,160       | 11,150  | 13,400    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 29          | 3,230   | 3,368     |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 2,682       | 2,890   | 8,361     |
| 3010 Obligations incurred, unexpired accounts .....                       | 1,131       | 7,920   | 10,032    |
| 3020 Financing disbursements (gross) .....                                | -923        | -2,449  | -3,574    |
| 3050 Unpaid obligations, end of year .....                                | 2,890       | 8,361   | 14,819    |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | -226        | -204    | -772      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | 22          | -568    | -692      |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -204        | -772    | -1,464    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 2,456       | 2,686   | 7,589     |
| 3200 Obligated balance, end of year .....                                 | 2,686       | 7,589   | 13,355    |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 1,130       | 11,121  | 10,170    |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 923         | 2,449   | 3,574     |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources: subsidy from program account .....                  | -68         | -159    | -303      |
| 4120 Federal sources: Upward Reestimate .....                             | -7          | -45     | .....     |
| 4120 Federal sources: Interest on upward reestimate .....                 | -5          | -18     | .....     |
| 4122 Interest on uninvested funds .....                                   | -11         | -21     | -34       |
| 4123 Non-Federal sources - Interest payments .....                        | -41         | -54     | -88       |
| 4123 Non-Federal sources - Principal payments .....                       | -16         | -16     | -16       |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -148        | -313    | -441      |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 22          | -568    | -692      |
| 4160 Financing authority, net (mandatory) .....                           | 1,004       | 10,240  | 9,037     |
| 4170 Financing disbursements, net (mandatory) .....                       | 775         | 2,136   | 3,133     |
| 4180 Financing authority, net (total) .....                               | 1,004       | 10,240  | 9,037     |
| 4190 Financing disbursements, net (total) .....                           | 775         | 2,136   | 3,133     |

## Status of Direct Loans (in millions of dollars)

| Identification code 69-4123-0-3-401                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 852         | 7,619   | 9,793     |
| 1150 Total direct loan obligations .....                               | 852         | 7,619   | 9,793     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 3,932       | 4,697   | 6,942     |
| 1231 Disbursements: Direct loan disbursements .....                    | 644         | 2,078   | 3,235     |
| 1251 Repayments: Repayments and prepayments .....                      | -16         | -16     | -16       |
| 1261 Adjustments: Capitalized interest .....                           | 137         | 183     | 294       |
| 1290 Outstanding, end of year .....                                    | 4,697       | 6,942   | 10,455    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans made under the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 69-4123-0-3-401                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 32          | 32          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 3,932       | 4,697       |

|  |       |       |
|--|-------|-------|
| 1402 Interest receivable .....                                 | 16    | 11    |
| 1405 Allowance for subsidy cost (-) .....                      | -346  | -335  |
| 1499 Net present value of assets related to direct loans ..... | 3,602 | 4,373 |
| 1999 Total assets .....  | 3,634 | 4,405 |
| LIABILITIES:   |       |       |
| 2103 Federal liabilities: Debt .....                           | 3,634 | 4,405 |
| 4999 Total liabilities and net position .....                  | 3,634 | 4,405 |

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM LOAN  
GUARANTEE FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 69-4145-0-3-401                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | .....       | .....   | 10        |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | .....       | 10      | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | .....       | 10      | .....     |
| 1930 Total budgetary resources available .....                     | .....       | 10      | 10        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | .....       | 10      | 10        |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              | .....       | 10      | .....     |
| Offsets against gross financing authority and disbursements:       |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | .....       | -10     | .....     |
| 4190 Financing disbursements, net (total) .....                    | .....       | -10     | .....     |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 69-4145-0-3-401                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:    |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....             | .....       | 132     | .....     |
| 2150 Total guaranteed loan commitments .....                              | .....       | 132     | .....     |
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | .....       | .....   | 132       |
| 2231 Disbursements of new guaranteed loans .....                          | .....       | 132     | .....     |
| 2290 Outstanding, end of year .....                                       | .....       | 132     | 132       |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | .....       | 132     | .....     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees made under the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

TRANSPORTATION INFRASTRUCTURE FINANCE AND INNOVATION PROGRAM LINE  
OF CREDIT FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 69-4173-0-3-401        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>    |             |         |           |
| Credit program obligations:                |             |         |           |
| 0710 Direct loan obligations .....         | .....       | 104     | .....     |
| 0713 Payment of interest to Treasury ..... | .....       | 1       | .....     |
| 0900 Total new obligations .....           | .....       | 105     | .....     |

|  |   |     |     |  |
|--|---|-----|-----|--|
| <b>Budgetary Resources:</b>                                  |   |     |     |  |
| Financing authority:   |   |     |     |  |
| Borrowing authority, mandatory:                              |   |     |     |  |
| 1400   | Borrowing authority .....                                     | 95  |     |  |
| 1440   | Borrowing authority, mandatory (total) .....                  | 95  |     |  |
| Spending authority from offsetting collections, mandatory:   |   |     |     |  |
| 1800   | Collected .....   | 1   |     |  |
| 1801   | Change in uncollected payments, Federal sources .....         | 9   |     |  |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 10  |     |  |
| 1900   | Financing authority (total) .....                             | 105 |     |  |
| 1930   | Total budgetary resources available .....                     | 105 |     |  |
| <b>Change in obligated balance:</b>                          |   |     |     |  |
| Unpaid obligations:  |   |     |     |  |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              |     | 95  |  |
| 3010   | Obligations incurred, unexpired accounts .....                | 105 |     |  |
| 3020   | Financing disbursements (gross) .....                         | -10 | -21 |  |
| 3050   | Unpaid obligations, end of year .....                         | 95  | 74  |  |
| Uncollected payments:  |   |     |     |  |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  |     | -9  |  |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | -9  |     |  |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -9  | -9  |  |
| Memorandum (non-add) entries:                                |   |     |     |  |
| 3100   | Obligated balance, start of year .....                        |     | 86  |  |
| 3200   | Obligated balance, end of year .....                          | 86  | 65  |  |
| <b>Financing authority and disbursements, net:</b>           |   |     |     |  |
| Mandatory:   |   |     |     |  |
| 4090   | Financing authority, gross .....                              | 105 |     |  |
| Financing disbursements:                                     |   |     |     |  |
| 4110   | Financing disbursements, gross .....                          | 10  | 21  |  |
| Offsets against gross financing authority and disbursements: |   |     |     |  |
| Offsetting collections (collected) from:                     |   |     |     |  |
| 4120   | Federal sources .....   | -1  |     |  |
| Additional offsets against financing authority only (total): |   |     |     |  |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....     | -9  |     |  |
| 4160   | Financing authority, net (mandatory) .....                    | 95  |     |  |
| 4170   | Financing disbursements, net (mandatory) .....                | 9   | 21  |  |
| 4180   | Financing authority, net (total) .....                        | 95  |     |  |
| 4190   | Financing disbursements, net (total) .....                    | 9   | 21  |  |

**Status of Direct Loans (in millions of dollars)**

| Identification code 69-4173-0-3-401                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              |             | 104     |           |
| 1150 Total direct loan obligations .....                               |             | 104     |           |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  |             |         | 10        |
| 1231 Disbursements: Direct loan disbursements .....                    |             | 10      | 21        |
| 1290 Outstanding, end of year .....                                    |             | 10      | 31        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from lines of credit made under the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

**TIFIA GENERAL FUND PROGRAM ACCOUNT, FEDERAL HIGHWAY  
ADMINISTRATION, TRANSPORTATION**

**Program and Financing (in millions of dollars)**

| Identification code 69-0542-0-1-401     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| Credit program obligations:             |             |         |           |
| 0701 Direct loan subsidy .....          | 6           | 39      |           |
| 0709 Administrative expenses .....      |             | 1       |           |
| 0900 Total new obligations .....        | 6           | 40      |           |

|  |    |    |    |
|--|----|----|----|
| <b>Budgetary Resources:</b>  |    |    |    |
| Unobligated balance:   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 20 | 45 | 10 |
| Budget authority:  |    |    |    |
| Spending authority from offsetting collections, discretionary:     |    |    |    |
| 1700 Collected .....   | 31 | 5  |    |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 31 | 5  |    |
| 1930 Total budgetary resources available .....                     | 51 | 50 | 10 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....              | 45 | 10 | 10 |

|   |   |     |     |
|---|---|-----|-----|
| <b>Change in obligated balance:</b>                   |   |     |     |
| Unpaid obligations:                                   |   |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |   | 6   | 32  |
| 3010 Obligations incurred, unexpired accounts .....   | 6 | 40  |     |
| 3020 Outlays (gross) .....                            |   | -14 | -16 |
| 3050 Unpaid obligations, end of year .....            | 6 | 32  | 16  |
| Memorandum (non-add) entries:                         |   |     |     |
| 3100 Obligated balance, start of year .....           |   | 6   | 32  |
| 3200 Obligated balance, end of year .....             | 6 | 32  | 16  |

|   |     |    |    |
|---|-----|----|----|
| <b>Budget authority and outlays, net:</b>           |     |    |    |
| Discretionary:                                      |     |    |    |
| 4000 Budget authority, gross .....                  | 31  | 5  |    |
| Outlays, gross:                                     |     |    |    |
| 4010 Outlays from new discretionary authority ..... |     | 1  |    |
| 4011 Outlays from discretionary balances .....      |     | 13 | 16 |
| 4020 Outlays, gross (total) .....                   |     | 14 | 16 |
| Offsets against gross budget authority and outlays: |     |    |    |
| Offsetting collections (collected) from:            |     |    |    |
| 4030 Federal sources .....                          | -31 | -5 |    |
| 4190 Outlays, net (total) .....                     | -31 | 9  | 16 |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

| Identification code 69-0542-0-1-401                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 TIFIA TIGER Direct Loans .....                       | 546         | 466     |           |
| 115999 Total direct loan levels .....                       | 546         | 466     |           |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 TIFIA TIGER Direct Loans .....                       | 1.05        | 8.28    |           |
| 132999 Weighted average subsidy rate .....                  | 1.05        | 8.28    |           |
| Direct loan subsidy budget authority:                       |             |         |           |
| 133001 TIFIA TIGER Direct Loans .....                       | 6           | 39      |           |
| 133999 Total subsidy budget authority .....                 | 6           | 39      |           |
| Direct loan subsidy outlays:                                |             |         |           |
| 134001 TIFIA TIGER Direct Loans .....                       |             | 12      | 15        |
| 134999 Total subsidy outlays .....                          |             | 12      | 15        |
| Direct loan downward reestimates:                           |             |         |           |
| Administrative expense data:                                |             |         |           |
| 3510 Budget authority .....                                 | 1           | 1       |           |
| 3590 Outlays from new authority .....                       |             | 1       |           |

The Office of the Secretary of Transportation (OST) received appropriations totaling \$1,127 million for TIGER discretionary grants as part of the 2010 and 2011 Department of Transportation (DOT) appropriations acts. The appropriations authorized DOT to pay subsidy and administrative costs, not to exceed \$300 million, of projects eligible for Federal credit assistance under Chapter 6 of Title 23 United States Code. In 2012, \$45 million was provided for TIGER discretionary grants as part of the 2012 DOT appropriation act to pay subsidy and administrative costs. OST has delegated the authority to negotiate and administer Transportation Infrastructure Finance Innovation Act of 1998 loans under this program to the Federal Highway Administration. No further amounts are requested for 2014.

**Object Classification (in millions of dollars)**

| Identification code 69-0542-0-1-401         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                         |             |         |           |
| 25.1 Advisory and assistance services ..... |             | 1       |           |

TIFIA GENERAL FUND PROGRAM ACCOUNT, FEDERAL HIGHWAY  
ADMINISTRATION, TRANSPORTATION—Continued

## Object Classification—Continued

| Identification code 69-0542-0-1-401             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Grants, subsidies, and contributions ..... | 6           | 39      | .....     |
| 99.9 Total new obligations .....                | 6           | 40      | .....     |

TIFIA GENERAL FUND DIRECT LOAN FINANCING ACCOUNT, FEDERAL HIGHWAY  
ADMINISTRATION, TRANSPORTATION

## Program and Financing (in millions of dollars)

| Identification code 69-4348-0-3-401                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 546         | 466     | .....     |
| 0713 Payment of interest to Treasury .....                                | .....       | 8       | 10        |
| 0900 Total new obligations .....  | 546         | 474     | 10        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 540         | 432     | 8         |
| 1440 Borrowing authority, mandatory (total) .....                         | 540         | 432     | 8         |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Collected .....  | .....       | 14      | 17        |
| 1801 Change in uncollected payments, Federal sources .....                | 6           | 28      | -15       |
| 1850 Spending auth from offsetting collections, mand (total) .....        | 6           | 42      | 2         |
| 1900 Financing authority (total) .....                                    | 546         | 474     | 10        |
| 1930 Total budgetary resources available .....                            | 546         | 474     | 10        |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | .....       | 546     | 872       |
| 3010 Obligations incurred, unexpired accounts .....                       | 546         | 474     | 10        |
| 3020 Financing disbursements (gross) .....                                | .....       | -148    | -196      |
| 3050 Unpaid obligations, end of year .....                                | 546         | 872     | 686       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....         | .....       | -6      | -34       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....            | -6          | -28     | 15        |
| 3090 Uncollected pymts, Fed sources, end of year .....                    | -6          | -34     | -19       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | .....       | 540     | 838       |
| 3200 Obligated balance, end of year .....                                 | 540         | 838     | 667       |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 546         | 474     | 10        |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | .....       | 148     | 196       |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources .....  | .....       | -12     | -15       |
| 4122 Interest on uninvested funds .....                                   | .....       | -2      | -2        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | .....       | -14     | -17       |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -6          | -28     | 15        |
| 4160 Financing authority, net (mandatory) .....                           | 540         | 432     | 8         |
| 4170 Financing disbursements, net (mandatory) .....                       | .....       | 134     | 179       |
| 4180 Financing authority, net (total) .....                               | 540         | 432     | 8         |
| 4190 Financing disbursements, net (total) .....                           | .....       | 134     | 179       |

## Status of Direct Loans (in millions of dollars)

| Identification code 69-4348-0-3-401                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 546         | 466     | .....     |
| 1150 Total direct loan obligations .....                               | 546         | 466     | .....     |

|   |       |       |     |
|---|-------|-------|-----|
| Cumulative balance of direct loans outstanding:     |       |       |     |
| 1210 Outstanding, start of year .....               | ..... | ..... | 148 |
| 1231 Disbursements: Direct loan disbursements ..... | ..... | 140   | 186 |
| 1261 Adjustments: Capitalized interest .....        | ..... | 8     | 10  |
| 1290 Outstanding, end of year .....                 | ..... | 148   | 344 |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from direct loans made as National Infrastructure Investment awards and administered by the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

## TIGER TIFIA DIRECT LOAN FINANCING ACCOUNT, RECOVERY ACT

## Program and Financing (in millions of dollars)

| Identification code 69-4347-0-3-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:        |             |         |           |
| 1800 Collected .....  | .....       | 8       | 1         |
| 1801 Change in uncollected payments, Federal sources .....        | .....       | -8      | -1        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 472         | 472     | 54        |
| 3020 Financing disbursements (gross) .....                        | .....       | -418    | -17       |
| 3050 Unpaid obligations, end of year .....                        | 472         | 54      | 37        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -12         | -12     | -4        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | .....       | 8       | 1         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -12         | -4      | -3        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 460         | 460     | 50        |
| 3200 Obligated balance, end of year .....                         | 460         | 50      | 34        |
| <b>Financing authority and disbursements, net:</b>                |             |         |           |
| Mandatory:  |             |         |           |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                         | .....       | 418     | 17        |
| Offsets against gross financing authority and disbursements:      |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4120 Federal sources .....  | .....       | -8      | -1        |
| Additional offsets against financing authority only (total):      |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....    | .....       | 8       | 1         |
| 4170 Financing disbursements, net (mandatory) .....               | .....       | 410     | 16        |
| 4190 Financing disbursements, net (total) .....                   | .....       | 410     | 16        |

## Status of Direct Loans (in millions of dollars)

| Identification code 69-4347-0-3-401                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:     |             |         |           |
| 1210 Outstanding, start of year .....               | .....       | .....   | 439       |
| 1231 Disbursements: Direct loan disbursements ..... | .....       | 418     | 17        |
| 1261 Adjustments: Capitalized interest .....        | .....       | 21      | 23        |
| 1290 Outstanding, end of year .....                 | .....       | 439     | 479       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from direct loans made as Supplemental Discretionary Grants for National Surface Transportation System awards and administered by the Transportation Infrastructure Finance and Innovation Act Program. The amounts in this account are a means of financing and are not included in the budget totals.

## HIGHWAY INFRASTRUCTURE PROGRAMS

## Program and Financing (in millions of dollars)

| Identification code 69-0548-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 223         |         |           |
| 0900 Total new obligations (object class 41.0) .....              | 223         |         |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 213         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 10          |         |           |
| 1050 Unobligated balance (total) .....                            | 223         |         |           |
| 1930 Total budgetary resources available .....                    | 223         |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 215         | 242     | 107       |
| 3010 Obligations incurred, unexpired accounts .....               | 223         |         |           |
| 3020 Outlays (gross) .....  | -186        | -135    | -80       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -10         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 242         | 107     | 27        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 215         | 242     | 107       |
| 3200 Obligated balance, end of year .....                         | 242         | 107     | 27        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 186         | 135     | 80        |
| 4190 Outlays, net (total) .....                                   | 186         | 135     | 80        |

In 2010, the Congress appropriated \$650 million for the restoration, repair, and construction of highway infrastructure, and other activities eligible under paragraph (b) of section 133 of title 23, United States Code.

No further appropriations are requested in 2014.

**Trust Funds**

## RIGHT-OF-WAY REVOLVING FUND LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 69-8402-0-8-401   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 14          | 19      |           |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -14         | -19     |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 6           | 6       | 6         |
| 3050 Unpaid obligations, end of year .....  | 6           | 6       | 6         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 6           | 6       | 6         |
| 3200 Obligated balance, end of year .....   | 6           | 6       | 6         |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | -14         | -19     |           |
| 4180 Budget authority, net (total) .....  | -14         | -19     |           |
| 4190 Outlays, net (total) .....   | -14         | -19     |           |

## Status of Direct Loans (in millions of dollars)

| Identification code 69-8402-0-8-401               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 44          | 19      |           |
| 1251 Repayments: Repayments and prepayments ..... | -14         | -19     |           |

|      |  |     |  |  |
|------|--|-----|--|--|
| 1264 | Write-offs for default: Loan Forgiveness (P.L. 109-59) ..... | -11 |  |  |
| 1290 | Outstanding, end of year .....                               | 19  |  |  |

The Federal-Aid Highway Act of 1968 authorized the establishment of a right-of-way revolving fund. This fund was used to make cash advances to States for the purpose of purchasing right-of-way parcels in advance of highway construction and thereby preventing the inflation of land prices from significantly increasing construction costs.

This program was terminated by the Transportation Equity Act for the 21st Century of 1998 but will continue to be shown for reporting purposes as loan balances remain outstanding. The purchase of right-of-way is an eligible expense of the Federal-Aid Highway program.

## FEDERAL-AID HIGHWAYS

## TRANSPORTATION TRUST FUND

*Whenever in this fiscal year the Secretary of Transportation (after consultation with the Secretary of the Treasury) determines that the amount in Highway Trust Fund (other than the Mass Transit Account) or the Mass Transit Account is insufficient to timely meet the anticipated payments from the account, and the amount in the other account exceeds the amount necessary to timely meet the anticipated payments from that account, the Secretary of the Treasury may transfer to the insufficient account from such other account referred to in this paragraph an amount up to the estimated insufficiency or the excess in such other account, whichever is less: Provided, That any amount transferred to the insufficient account shall be treated as a non-interest bearing repayable advance: Provided further, That whenever the Secretary of Transportation (after consultation with the Secretary of the Treasury) determines that the amount in the account to which an advance is made exceeds the amount necessary to timely meet the anticipated payments from the account, the Secretary shall transfer from that account to the account from which the advance was made an amount equal to the amount so advanced or such excess, whichever is less.*

## Program and Financing (in millions of dollars)

| Identification code 69-8102-0-7-401                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 16,302      | 9,970   | 7,100     |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 9,970       | 7,100   | 6,800     |

The Highway Revenue Act of 1956, as amended, provides for the transfer from the General Fund to the Highway Trust Fund of revenue from the motor fuel tax and certain other taxes paid by highway users. The Secretary of the Treasury estimates the amounts to be transferred. In turn, appropriations are authorized from this fund to meet expenditures for Federal-aid highways and other programs as specified by law. The Administration proposes to rename the Highway Trust Fund as the Transportation Trust Fund, and create a new Rail Account to finance inter-city passenger and freight rail investments.

The following Status of Funds table presents the status of the proposed Transportation Trust Fund.

**Cash balances.**—The Status of Funds table begins with the unexpended balance on a "cash basis" at the start of the year. The table shows the amount of cash invested in Federal securities at par value and the amount of cash on hand, i.e., uninvested balance. Next, the table provides the amounts of cash income and cash outlays during each year to show the cash balance at the end of each year.

**Revenues.**—The Budget presentation includes estimated receipts from existing Highway Trust Fund excise taxes, which would continue to be deposited into the Highway and Mass Transit

## FEDERAL-AID HIGHWAYS—Continued

Accounts of the expanded Transportation Trust Fund in the same manner as current law.

**General Fund Transfers.**—The Moving Ahead for Progress in the 21st Century Act (Public Law 112–141) authorized transfers into the Transportation Trust Fund of \$2.4 billion from the Leaking Underground Storage Tank (LUST) Trust Fund in 2013, \$6.2 billion from the General Fund in 2013, and \$12.6 billion from the General Fund in 2014. The Budget reflects these transfers, and also proposes to transfer an additional \$214 billion over six years into the Transportation Trust Fund to maintain trust fund solvency and pay for increased outlays associated with the Administration's rail and surface transportation reauthorization proposals. The proposed General Fund transfers are fully offset by savings derived from reductions in overseas military operations.

## Status of Funds (in millions of dollars)

| Identification code 69–8102–0–7–401   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Unexpended balance, start of year:  |             |         |           |
| 0100 Balance, start of year .....   | 21,620      | 15,598  | 9,478     |
| 0111 Motor Carrier Safety Operations and Programs [021–17–8055–0] .....   | 16          |         |           |
| 0111 Motor Carrier Safety [021–17–8159–0] .....   | 1           |         |           |
| 0111 Motor Carrier Safety [021–17–8055–0] .....   | 2           |         |           |
| Adjustments:  |             |         |           |
| 0190 Adjustment - reconcile to actual cash balance .....  | 691         |         |           |
| 0199 Total balance, start of year .....   | 22,330      | 15,598  | 9,478     |
| Cash income during the year:  |             |         |           |
| Current law:  |             |         |           |
| Receipts:   |             |         |           |
| 1200 Transportation Trust Fund, Deposits (highway Account) .....  | 35,112      | 33,746  | 34,094    |
| 1201 Transportation Trust Fund, Deposits (Mass Transit Account) .....   | 5,057       | 4,906   | 4,915     |
| Offsetting receipts (proprietary):  |             |         |           |
| 1220 Transportation Infrastructure Finance and Innovation Program, Downward Reestimates of Subsidies (FHWA) .....     | 71          |         |           |
| Offsetting receipts (intragovernmental):  |             |         |           |
| 1240 Payment from the General Fund, Transportation Trust Fund (Mass Transit) .....                                    |             |         | 2,200     |
| 1241 Transfer from the Leaking Underground Storage Tank Trust Fund, Transportation Trust Fund (Highway Account) ..... | 2,400       |         |           |
| 1242 Earnings on Investments, Transportation Trust Fund .....   | 7           | 10      |           |
| 1243 Payment from the General Fund, Transportation Trust Fund (Highway) .....   |             | 6,200   | 10,400    |
| Offsetting collections:   |             |         |           |
| 1280 Federal-aid Highways .....   | 1           |         |           |
| 1281 Miscellaneous Transportation Trust Funds .....   | 2           |         |           |
| 1282 Right-of-way Revolving Fund Liquidating Account .....  | 16          | 15      | 15        |
| 1283 Motor Carrier Safety Operations and Programs .....   | 14          | 19      |           |
| 1284 Operations and Research (Transportation Trust Fund) .....  | 20          | 30      | 30        |
| 1285 Federal-aid Highways .....   | 101         | 320     | 320       |
| 1299 Income under present law .....   | 42,801      | 45,246  | 51,974    |
| Proposed legislation:   |             |         |           |
| Offsetting receipts (intragovernmental):  |             |         |           |
| 2244 Payment from the General Fund, Transportation Trust Fund (Rail Account) .....                                    |             |         | 2,552     |
| 2299 Income under proposed legislation .....  |             |         | 2,552     |
| 3299 Total cash income .....  | 42,801      | 45,246  | 54,526    |
| Cash outgo during year:   |             |         |           |
| Current law:  |             |         |           |
| 4500 Federal-aid Highways .....   | –40,120     | –40,927 | –41,351   |
| 4500 Transit Formula Grants .....   | –8,197      | –9,252  | –9,887    |
| 4500 Highway Traffic Safety Grants .....  | –515        | –428    | –461      |
| 4500 Motor Carrier Safety Grants .....  | –274        | –283    | –311      |
| 4500 Motor Carrier Safety Operations and Programs .....   | –259        | –258    | –251      |
| 4500 Operations and Research (Transportation Trust Fund) .....  | –120        | –145    | –147      |
| 4500 Miscellaneous Transportation Trust Funds .....   | –13         | –35     | –36       |
| 4500 Discretionary Grants (Transportation Trust Fund, Mass Transit Account) .....                                     | –13         | –9      | –9        |
| 4500 Appalachian Development Highway System (Transportation Trust Fund) .....   | –1          | –1      | –1        |
| 4500 Motor Carrier Safety .....   | –1          | –4      |           |
| 4500 National Motor Carrier Safety Program .....  |             | –5      |           |
| 4599 Outgo under current law (-) .....  | –49,513     | –51,347 | –52,454   |
| Proposed legislation:   |             |         |           |
| 5500 Current Passenger Rail Service .....   |             |         | –1,555    |
| 5500 Rail Service Improvement Program .....   |             |         | –225      |

|  |         |         |         |
|--|---------|---------|---------|
| 5500 Railroad Research, Development, and Technology .....  |         |         | –7      |
| 5599 Outgo under proposed legislation (-) .....            |         |         | –1,787  |
| 6599 Total cash outgo (-) .....                            | –49,513 | –51,347 | –54,241 |
| 7645 Federal-aid Highways .....                            | –1,103  | –1,386  | –1,367  |
| 7645 Transit Formula Grants .....                          | –20     |         |         |
| 7645 Federal-aid Highways .....                            | 20      |         |         |
| 7645 Transit Formula Grants .....                          | 1,103   | 1,386   | 1,367   |
| 7650 Right-of-way Revolving Fund Liquidating Account ..... | –14     | –19     |         |
| Manual Adjustments:  |         |         |         |
| 7690 Rounding adjustment .....                             | –6      |         |         |
| 7699 Total adjustments .....                               | –20     | –19     |         |
| Unexpended balance, end of year:                           |         |         |         |
| 8700 Uninvested balance (net), end of year .....           | 5,628   | 2,378   | 2,963   |
| 8701 Transportation Trust Fund .....                       | 9,970   | 7,100   | 6,800   |
| 8799 Total balance, end of year .....                      | 15,598  | 9,478   | 9,763   |

## FEDERAL-AID HIGHWAYS

## (LIMITATION ON OBLIGATIONS)

## (TRANSPORTATION TRUST FUND)

*Funds available for the implementation or execution of programs of Federal-aid highways and highway safety construction programs authorized under titles 23 and 49, United States Code, and the provisions of Public Law 112–141 shall not exceed total obligations of \$40,256,000,000 for fiscal year 2014: Provided, That the Secretary may collect and spend fees, as authorized by title 23, United States Code, to cover the costs of services of expert firms, including counsel, in the field of municipal and project finance to assist in the underwriting and servicing of Federal credit instruments and all or a portion of the costs to the Federal Government of servicing such credit instruments: Provided further, That such fees are available until expended to pay for such costs: Provided further, That such amounts are in addition to administrative expenses that are also available for such purpose, and are not subject to any obligation limitation or the limitation on administrative expenses under 23 U.S.C. 608.*

## (LIQUIDATION OF CONTRACT AUTHORIZATION)

## (TRANSPORTATION TRUST FUND)

*For the payment of obligations incurred in carrying out Federal-aid highways and highway safety construction programs authorized under title 23, United States Code, \$40,995,000,000 derived from the Highway account of the Transportation Trust Fund (other than the Mass Transit Account), to remain available until expended.*

## LIMITATION ON ADMINISTRATIVE EXPENSES

## (TRANSPORTATION TRUST FUND)

## (INCLUDING TRANSFER OF FUNDS)

*Not to exceed \$429,855,000, together with advances and reimbursements received by the Federal Highway Administration, shall be paid in accordance with law from appropriations made available by this Act to the Federal Highway Administration for necessary expenses for administration and operation. In addition, not to exceed \$3,248,000 shall be paid from appropriations made available by this Act and transferred to the Appalachian Regional Commission in accordance with 23 U.S.C. 104.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–8083–0–7–401                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0010 Surface transportation program .....                            | 9,300       | 9,605   | 9,686     |
| 0011 National highway system .....                                   | 8,335       | 538     | 538       |
| 0012 Interstate maintenance .....                                    | 5,390       | 416     | 416       |
| 0013 Bridge program .....  | 4,885       | 1,061   | 1,061     |
| 0014 National highway performance program .....                      |             | 17,423  | 17,600    |
| 0015 Congestion mitigation and air quality improvement program ..... | 1,048       | 2,121   | 2,139     |
| 0016 Highway safety improvement program .....                        | 1,436       | 2,294   | 2,315     |



|      |   |         |         |      |   |        |        |        |
|------|---|---------|---------|------|---|--------|--------|--------|
| 0017 | Metropolitan transportation planning .....                      | 300     | 301     | 4020 | Outlays, gross (total) .....                                    | 39,518 | 40,331 | 40,735 |
| 0018 | Transportation alternatives .....                               | 777     | 787     |      | Offsets against gross budget authority and outlays:             |        |        |        |
| 0021 | Equity programs .....   | 1,426   | 1,444   |      | Offsetting collections (collected) from:                        |        |        |        |
| 0023 | Federal lands highways .....                                    | 454     |         | 4030 | Federal sources .....   | -101   | -320   | -320   |
| 0024 | Federal lands and tribal programs .....                         |         | 960     | 4033 | Non-Federal sources .....                                       | -1     |        |        |
| 0025 | Appalachian development highway system .....                    | 66      | 66      |      |   |        |        |        |
| 0026 | High priority projects .....                                    | 790     | 670     | 4040 | Offsets against gross budget authority and outlays (total) .... | -102   | -320   | -320   |
| 0027 | Projects of national and regional significance .....            | 101     | 101     |      | Additional offsets against gross budget authority only:         |        |        |        |
| 0028 | Research, development, and technology .....                     | 242     |         | 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | -90    |        |        |
| 0029 | Research, technology and education program .....                |         | 384     | 4080 | Outlays, net (discretionary) .....                              | 39,416 | 40,011 | 40,415 |
| 0032 | Administration - LAE .....                                      | 402     | 415     |      | Mandatory:  |        |        |        |
| 0033 | Administration - other .....                                    |         | 34      | 4090 | Budget authority, gross .....                                   | 38,665 | 39,138 | 39,695 |
| 0056 | Construction of ferry boats and ferry terminal facilities ..... |         | 64      |      | Outlays, gross:   |        |        |        |
| 0057 | Territorial and Puerto Rico highway programs .....              |         | 182     | 4100 | Outlays from new mandatory authority .....                      | 215    | 200    | 200    |
| 0058 | Other programs .....  | 3,621   | 464     | 4101 | Outlays from mandatory balances .....                           | 387    | 396    | 416    |
|      |   |         |         |      |   |        |        |        |
| 0091 | Programs subject to obligation limitation .....                 | 37,496  | 39,319  | 4110 | Outlays, gross (total) .....                                    | 602    | 596    | 616    |
| 0211 | Exempt Programs .....   | 490     | 583     | 4180 | Budget authority, net (total) .....                             | 38,665 | 39,138 | 39,695 |
|      |   |         |         | 4190 | Outlays, net (total) .....                                      | 40,018 | 40,607 | 41,031 |
| 0500 | Total direct program .....                                      | 37,986  | 39,902  |      |   |        |        |        |
|      | Credit program obligations:                                     |         |         |      |   |        |        |        |
| 0701 | Direct loan subsidy .....                                       | 47      | 746     |      |   |        |        |        |
| 0702 | Loan guarantee subsidy .....                                    |         | 10      |      |   |        |        |        |
| 0705 | Reestimates of direct loan subsidy .....                        | 7       |         |      |   |        |        |        |
| 0709 | Administrative expenses .....                                   | 2       | 4       |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
| 0791 | Direct program activities, subtotal .....                       | 56      | 760     |      |   |        |        |        |
| 0799 | Total direct obligations .....                                  | 38,042  | 40,662  |      |   |        |        |        |
| 0801 | Reimbursable program .....                                      | 125     | 320     |      |   |        |        |        |
| 0900 | Total new obligations .....                                     | 38,167  | 40,982  |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
|      | <b>Budgetary Resources:</b>                                     |         |         |      |   |        |        |        |
|      | Unobligated balance:  |         |         |      |   |        |        |        |
| 1000 | Unobligated balance brought forward, Oct 1 .....                | 29,328  | 30,018  |      |   |        |        |        |
| 1001 | Discretionary unobligated balance brought fwd, Oct 1 .....      | 405     | 472     |      |   |        |        |        |
| 1013 | Unobligated balance of contract authority transferred to or     |         |         |      |   |        |        |        |
|      | from other accounts [69-8350] .....                             | 6       |         |      |   |        |        |        |
| 1020 | Adjustment of unobligated bal brought forward, Oct 1 .....      | -6      |         |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
| 1050 | Unobligated balance (total) .....                               | 29,328  | 30,018  |      |   |        |        |        |
|      | Budget authority:   |         |         |      |   |        |        |        |
|      | Appropriations, discretionary:                                  |         |         |      |   |        |        |        |
| 1101 | Appropriation (special or trust fund) .....                     | 39,883  | 40,438  |      |   |        |        |        |
| 1120 | Appropriations transferred to other accts [69-8350] .....       | -1,103  | -1,366  |      |   |        |        |        |
| 1121 | Appropriations transferred from other accts [69-8350] ....      | 20      |         |      |   |        |        |        |
| 1137 | Appropriations applied to liquidate contract authority .....    | -38,800 | -39,052 |      |   |        |        |        |
|      | Appropriations, mandatory:                                      |         |         |      |   |        |        |        |
| 1201 | Appropriation (special or trust fund) .....                     | 7       |         |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
| 1260 | Appropriations, mandatory (total) .....                         | 7       |         |      |   |        |        |        |
|      | Contract authority, mandatory:                                  |         |         |      |   |        |        |        |
| 1600 | Contract authority .....  | 40,186  | 40,438  |      |   |        |        |        |
| 1610 | Transferred to other accounts [69-8350] .....                   | -1,543  | -1,300  |      |   |        |        |        |
| 1611 | Transferred from other accounts [69-8350] .....                 | 15      |         |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
| 1640 | Contract authority, mandatory (total) .....                     | 38,658  | 39,138  |      |   |        |        |        |
|      | Spending authority from offsetting collections, discretionary:  |         |         |      |   |        |        |        |
| 1700 | Collected .....   | 102     | 320     |      |   |        |        |        |
| 1701 | Change in uncollected payments, Federal sources .....           | 90      |         |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
| 1750 | Spending auth from offsetting collections, disc (total) .....   | 192     | 320     |      |   |        |        |        |
| 1900 | Budget authority (total) .....                                  | 38,857  | 39,458  |      |   |        |        |        |
| 1930 | Total budgetary resources available .....                       | 68,185  | 69,476  |      |   |        |        |        |
|      | Memorandum (non-add) entries:                                   |         |         |      |   |        |        |        |
| 1941 | Unexpired unobligated balance, end of year .....                | 30,018  | 28,494  |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
|      | <b>Change in obligated balance:</b>                             |         |         |      |   |        |        |        |
|      | Unpaid obligations:   |         |         |      |   |        |        |        |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....                | 69,414  | 67,461  |      |   |        |        |        |
| 3010 | Obligations incurred, unexpired accounts .....                  | 38,167  | 40,982  |      |   |        |        |        |
| 3020 | Outlays (gross) .....   | -40,120 | -40,927 |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
| 3050 | Unpaid obligations, end of year .....                           | 67,461  | 67,516  |      |   |        |        |        |
|      | Uncollected payments:   |         |         |      |   |        |        |        |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -431    | -521    |      |   |        |        |        |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....       | -90     |         |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
| 3090 | Uncollected pymts, Fed sources, end of year .....               | -521    | -521    |      |   |        |        |        |
|      | Memorandum (non-add) entries:                                   |         |         |      |   |        |        |        |
| 3100 | Obligated balance, start of year .....                          | 68,983  | 66,940  |      |   |        |        |        |
| 3200 | Obligated balance, end of year .....                            | 66,940  | 66,995  |      |   |        |        |        |
|      |   |         |         |      |   |        |        |        |
|      | <b>Budget authority and outlays, net:</b>                       |         |         |      |   |        |        |        |
|      | Discretionary:  |         |         |      |   |        |        |        |
| 4000 | Budget authority, gross .....                                   | 192     | 320     |      |   |        |        |        |
|      | Outlays, gross:   |         |         |      |   |        |        |        |
| 4010 | Outlays from new discretionary authority .....                  | 10,670  | 10,538  |      |   |        |        |        |
| 4011 | Outlays from discretionary balances .....                       | 28,848  | 29,793  |      |   |        |        |        |

**Memorandum (non-add) entries:**

|      |  |        |        |        |
|------|--|--------|--------|--------|
| 5052 | Obligated balance, SOY: Contract authority .....             | 60,004 | 59,862 | 59,948 |
| 5053 | Obligated balance, EOY: Contract authority .....             | 59,862 | 59,948 | 60,015 |
| 5061 | Limitation on obligations (Transportation Trust Funds) ..... | 39,144 | 37,844 | 38,956 |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 69-8083-0-7-401                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:     |             |         |           |
| 115001 TIFIA Lines of Credit .....                              |             | 104     |           |
| 115002 TIFIA Direct Loans .....                                 | 852         | 7,619   | 9,793     |
| 115999 Total direct loan levels .....                           | 852         | 7,723   | 9,793     |
| Direct loan subsidy (in percent):                               |             |         |           |
| 132001 TIFIA Lines of Credit .....                              |             | 9.66    |           |
| 132002 TIFIA Direct Loans .....                                 | 5.50        | 9.66    | 10.16     |
| 132999 Weighted average subsidy rate .....                      | 5.50        | 9.66    | 10.16     |
| Direct loan subsidy budget authority:                           |             |         |           |
| 133001 TIFIA Lines of Credit .....                              |             | 10      |           |
| 133002 TIFIA Direct Loans .....                                 | 47          | 736     | 995       |
| 133999 Total subsidy budget authority .....                     | 47          | 746     | 995       |
| Direct loan subsidy outlays:                                    |             |         |           |
| 134001 TIFIA Lines of Credit .....                              |             | 1       | 1         |
| 134002 TIFIA Direct Loans .....                                 | 68          | 159     | 303       |
| 134999 Total subsidy outlays .....                              | 68          | 160     | 304       |
| Direct loan upward reestimates:                                 |             |         |           |
| 135002 TIFIA Direct Loans .....                                 | 12          | 63      |           |
| 135999 Total upward reestimate budget authority .....           | 12          | 63      |           |
| Direct loan downward reestimates:                               |             |         |           |
| 137002 TIFIA Direct Loans .....                                 | -99         | -135    |           |
| 137999 Total downward reestimate budget authority .....         | -99         | -135    |           |
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Loan guarantee .....                                     |             | 132     |           |
| 215999 Total loan guarantee levels .....                        |             | 132     |           |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Loan guarantee .....                                     |             | 7.60    |           |
| 232999 Weighted average subsidy rate .....                      |             | 7.60    |           |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Loan guarantee .....                                     |             | 10      |           |
| 233999 Total subsidy budget authority .....                     |             | 10      |           |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 Loan guarantee .....                                     |             | 10      |           |
| 234999 Total subsidy outlays .....                              |             | 10      |           |
| Guaranteed loan downward reestimates:                           |             |         |           |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 2           | 4       | 5         |
| 3590 Outlays from new authority .....                           | 2           | 4       | 5         |

The Federal-Aid Highways (FAH) program is designed to aid in the development, operations, and management of an intermodal transportation system that is economically efficient, environmentally sound, provides the foundation for the Nation to compete in the global economy, and moves people and goods safely. Typically, all programs included within FAH are financed from the Highway Account of the proposed Transportation Trust Fund

## FEDERAL-AID HIGHWAYS—Continued

(formerly to be the Highway Trust Fund), and most are distributed via apportionments and allocations to States. Liquidating cash appropriations are subsequently requested to fund outlays resulting from obligations incurred under contract authority.

The authorization for Federal surface transportation programs (the Moving Ahead for Progress in the 21st Century Act, or MAP-21) was enacted on July 6, 2012.

The Federal Highway Administration's (FHWA) 2014 budget requests the programs and funding levels included in the MAP-21 authorization. Built on past successes, the new structure strives to enhance the safety, performance, condition, and efficiency of the Nation's highway system. The new construct consists of eight core programs and a handful of key activities: Highway Safety Improvement Program; National Highway Performance Program; Surface Transportation Program; Congestion Mitigation and Air Quality Improvement Program; Metropolitan Transportation Planning Program; Transportation Alternatives Program; Federal Lands and Tribal Programs; Transportation Infrastructure Finance and Innovation Act (TIFIA) Program; Research, Technology and Education Program; and, Other Programs.

*Highway Safety Improvement Program.*—The performance-based Highway Safety Improvement Program (\$2.4 billion) provides funding to significantly reduce traffic fatalities and serious injuries on all public roads, including non-State-owned public roads and roads on tribal land, directly tied to the Department's safety goal and Roadway Safety Plan principles. The request represents a slight increase over the MAP-21 2013 safety program, consistent with MAP-21 2014 funding levels. Improving roadway safety is a top priority of the Department, and has been designated one of DOT's Agency Priority Goals. FHWA, through National leadership and innovation, focuses on improving the safety of roadway infrastructure on all public roads. The program provides a data-and performance-driven, strategic approach to improving traffic safety to reduce fatalities and serious injuries. It strengthens coordination among all highway safety modes, including National Highway Traffic Safety Administration (NHTSA) and Federal Motor Carrier Safety Administration (FMCSA) safety programs in conjunction with all Department safety initiatives. It continues the requirement that each State utilize a Strategic Highway Safety Plan. This statewide, coordinated safety plan in each State will provide a comprehensive framework for establishing statewide goals, objectives, and performance targets. And it provides eligibility and flexibility for the effective use of safety-focused funding.

*National Highway Performance Program.*—The new performance-based National Highway Performance Program (\$21.9 billion) focuses significant Federal resources for the following purposes: to support the condition and performance of the National Highway System (NHS); to support the construction of new facilities on the NHS; and to ensure that investments of Federal-aid funds in highway construction support progress toward the achievement of performance targets for the NHS. The program includes performance management features, holds States accountable for achieving performance targets, and provides flexibility to States for making transportation investment decisions. It is a formula-based program that provides funding to maintain and improve the NHS. It streamlines and consolidates portions of several former SAFETEA-LU programs. MAP-21 redefined the NHS as a network composed of the Interstate System, all principal arterials, intermodal connectors, and roads important to national defense. The redefined NHS now totals approximately 220,000 miles. The NHS provides mobility to the vast majority of the Nation's population and almost all of its commerce. It

supports national defense and promotes intermodal connectivity. While NHS mileage accounts for a small portion of the Nation's public road mileage, it carries 55 percent of all vehicular traffic and 97 percent of truck-borne freight. While it comprises 53 percent of U.S. highway border crossings, it handles 98 percent of the value of total truck trade with Canada and Mexico.

*Surface Transportation Program.*—The new Surface Transportation Program (\$10.1 billion) provides flexible funding that may be used by States and localities for projects to preserve and improve the condition and performance on any federal-aid highway, bridges on any public road, and transit capital projects, including intercity bus terminals. An efficient transportation system is critical to maintaining the competitiveness of our economy. The highly developed U.S. transportation system played a key role in allowing GDP per capita to grow faster in the U.S. than comparable rates abroad. Additional transportation infrastructure investment is needed. This program will give transportation agencies the ability to target funding to State and local priorities. States will identify projects for STP funding in consultation with local transportation officials in rural areas and in cooperation with the Metropolitan Planning Organization (MPO) in metropolitan areas.

*Congestion Mitigation and Air Quality Improvement Program.*—The Congestion Mitigation and Air Quality (CMAQ) Improvement Program (\$2.3 billion) will help States, local governments, and private-sector sponsors reduce highway congestion and harmful emissions, and also assist many areas in reaching attainment of the National Ambient Air Quality Standards (NAAQS)—a strong environmental priority. The CMAQ program provides a flexible funding source for State and local governments to fund transportation projects and programs that help meet the requirements of the Clean Air Act and its amendments, and that help reduce regional congestion on transportation networks. CMAQ investments support transportation projects that reduce the mobile source emissions for which an area has been designated nonattainment or maintenance of the NAAQS by the Environmental Protection Agency. MAP-21 also places considerable emphasis on projects that reduce highway congestion, which in many metropolitan areas impedes economic development.

*Metropolitan Transportation Planning Program.*—The Metropolitan Transportation Planning Program (\$314 million) provides funds used by Metropolitan Planning Organizations (MPOs) for multimodal transportation planning and programming in metropolitan areas. Metropolitan planning activities include: the collection and analysis of data on demographics, trends, and system performance; travel demand and system performance forecasting; identification and prioritization of transportation system improvement needs; and coordination of the planning process and decision-making with the public, elected officials, and stakeholder groups.

*Transportation Alternatives Program.*—The Transportation Alternatives Program (\$820 million) supports the U.S. Department of Transportation Livable Communities strategic goal which aims to foster livable communities through policies and investments that increase transportation choices and access to transportation services. The program creates safe, accessible, attractive, and environmentally-sensitive communities where people want to live, work, and recreate. This program provides resources to expand transportation choices and enhance the transportation experience. Eligible projects include, but are not limited to pedestrian and bicycle infrastructure and safety programs, scenic and historic highway programs, landscaping and scenic beautification, historic preservation, and environmental mitigation.

**Federal Lands and Tribal Transportation Programs.**—The new Federal Lands and Tribal Transportation Programs (\$1.0 billion) provide funding for transportation projects on Federal and Tribal lands for construction and engineering projects that will provide multi-modal access to basic community services including safer all-weather access to schools and healthcare facilities for 565 federally-recognized sovereign tribal governments, improve multimodal access to recreational areas on public lands/national treasures, and expand economic development in and around Federal and tribal lands while preserving the environment and reducing congestion.

**Transportation Infrastructure Finance and Innovation Act (TIFIA) Program.**—The TIFIA Program (\$1.0 billion) provides contract authority for grant loan subsidies and administrative costs to assist with funding nationally or regionally significant transportation projects. The TIFIA Program leverages Federal dollars in a time of scarce budgetary resources, facilitating private participation in transportation projects and encouraging innovative financing mechanisms that help advance projects sooner. This program offers flexible repayment terms and attracts private capital to facilitate transportation projects that would otherwise go unfunded.

**Research, Technology, and Education Program.**—The Research, Technology, and Education (RT&E) Program (\$400 million) provides for a comprehensive, nationally-coordinated research, technology, and education program that will advance the Department of Transportation's organizational goals, while accelerating innovation delivery and technology implementation. The proposal restructures existing FHWA research, development and technology activities into three programs: a highway research and development program, a technology and innovation deployment program, and a training and education activities program. The Research Program also supports activities in the areas of safety, infrastructure preservation, operations, environmental sustainability, and policy. FHWA is in a unique leadership position to identify and address issues that require high-risk, long-term research, and research on emerging issues of National significance. FHWA's leadership role is necessary to build effective partnerships to maximize the investment in the transportation system. The entire innovation lifecycle is covered under the RT&E program umbrella from agenda setting to the deployment of technologies and innovations.

**Other Programs.**—This categorization consists of MAP-21 funding (\$357 million) authorized for three key programs: Emergency Relief; Territorial and Puerto Rico Highways Program; and Construction of Ferry Boats and Ferry Terminal Facilities. Emergency Relief funding assists Federal, State, tribal, and local governments with the expense of repairing serious damage to Federal-aid, tribal, and Federal Lands highways resulting from natural disasters or catastrophic failures. The Territorial and Puerto Rico Highways Program funds highway programs specifically in United States territories and Puerto Rico. The Construction of Ferry Boats and Ferry Terminal Facilities funding is used to construct ferry boats and ferry boat terminal facilities which will improve connectivity between NHS segments, provide travel mode options, and reduce congestion.

#### Object Classification (in millions of dollars)

| Identification code 69–8083–0–7–401       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                       |             |         |           |
| Personnel compensation:                   |             |         |           |
| 11.1 Full-time permanent .....            | 250         | 293     | 298       |
| 11.3 Other than full-time permanent ..... | 3           | 6       | 6         |
| 11.5 Other personnel compensation .....   | 4           | 4       | 4         |
| 11.9 Total personnel compensation .....   | 257         | 303     | 308       |

|   |        |        |        |
|---|--------|--------|--------|
| 12.1 Civilian personnel benefits .....                          | 76     | 86     | 87     |
| 21.0 Travel and transportation of persons .....                 | 18     | 15     | 15     |
| 22.0 Transportation of things .....                             | 1      | 1      | 1      |
| 23.1 Rental payments to GSA .....                               | 27     | 27     | 27     |
| 23.2 Rental payments to others .....                            | 1      | .....  | .....  |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2      | 3      | 3      |
| 24.0 Printing and reproduction .....                            | 2      | 1      | 1      |
| 25.1 Advisory and assistance services .....                     | 44     | 43     | 43     |
| 25.2 Other services from non-Federal sources .....              | 278    | 353    | 363    |
| 25.3 Other goods and services from Federal sources .....        | 140    | 425    | 435    |
| 25.4 Operation and maintenance of facilities .....              | .....  | 4      | 4      |
| 25.7 Operation and maintenance of equipment .....               | 44     | 34     | 34     |
| 26.0 Supplies and materials .....                               | 3      | 4      | 4      |
| 31.0 Equipment .....  | 2      | 6      | 6      |
| 32.0 Land and structures .....                                  | 1      | 8      | 8      |
| 33.0 Investments and loans .....                                | 54     | .....  | .....  |
| 41.0 Grants, subsidies, and contributions .....                 | 36,148 | 38,405 | 39,046 |
| 99.0 Direct obligations .....                                   | 37,098 | 39,718 | 40,385 |
| 99.0 Reimbursable obligations .....                             | 125    | 320    | 320    |
| Allocation Account - direct:                                    |        |        |        |
| Personnel compensation:   |        |        |        |
| 11.1 Full-time permanent .....                                  | 12     | 12     | 12     |
| 11.5 Other personnel compensation .....                         | 51     | 51     | 51     |
| 11.9 Total personnel compensation .....                         | 63     | 63     | 63     |
| 12.1 Civilian personnel benefits .....                          | 16     | 16     | 16     |
| 21.0 Travel and transportation of persons .....                 | 3      | 3      | 3      |
| 23.1 Rental payments to GSA .....                               | 7      | 7      | 7      |
| 25.1 Advisory and assistance services .....                     | 26     | 26     | 26     |
| 25.2 Other services from non-Federal sources .....              | 186    | 186    | 186    |
| 25.3 Other goods and services from Federal sources .....        | 43     | 43     | 43     |
| 25.4 Operation and maintenance of facilities .....              | 9      | 9      | 9      |
| 25.5 Research and development contracts .....                   | 1      | 1      | 1      |
| 25.7 Operation and maintenance of equipment .....               | 2      | 2      | 2      |
| 26.0 Supplies and materials .....                               | 5      | 5      | 5      |
| 31.0 Equipment .....  | 2      | 2      | 2      |
| 32.0 Land and structures .....                                  | 23     | 23     | 23     |
| 41.0 Grants, subsidies, and contributions .....                 | 557    | 558    | 558    |
| 99.0 Allocation account - direct .....                          | 943    | 944    | 944    |
| 99.5 Below reporting threshold .....                            | 1      | .....  | .....  |
| 99.9 Total new obligations .....                                | 38,167 | 40,982 | 41,649 |

#### Employment Summary

| Identification code 69–8083–0–7–401                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 2,638       | 2,709   | 2,727     |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 217         | 217     | 217       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 3           | 3       | 3         |

#### APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM (TRANSPORTATION TRUST FUND)

##### Program and Financing (in millions of dollars)

| Identification code 69–8072–0–7–401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4           | 3       | 2         |
| 3020 Outlays (gross) .....                            | –1          | –1      | –1        |
| 3050 Unpaid obligations, end of year .....            | 3           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 4           | 3       | 2         |
| 3200 Obligated balance, end of year .....             | 3           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 1           | 1       | 1         |
| 4190 Outlays, net (total) .....                       | 1           | 1       | 1         |

## APPALACHIAN DEVELOPMENT HIGHWAY SYSTEM (TRANSPORTATION TRUST FUND)—Continued

Funding for this program is used for the necessary expenses for the Appalachian Development Highway System as distributed to the following States: Alabama, Georgia, Kentucky, Maryland, Mississippi, New York, North Carolina, Ohio, Pennsylvania, South Carolina, Tennessee, Virginia, and West Virginia. This schedule shows the obligation and outlay of amounts made available in prior years.

## MISCELLANEOUS TRUST FUNDS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 69-9971-0-7-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0220 Advances from State Cooperating Agencies and Foreign Governments, FHA Miscellaneous Trust .....    | 17          | 17      | 17        |
| 0221 Contributions from States, Etc., Cooperative Work, Forest Highways, FHA, Miscellaneous Trust ..... | 5           | 5       | 5         |
| 0222 Deposits for Cooperative Work, International Highway Transportation Outreach Program .....         | 2           | 2       | 2         |
| 0299 Total receipts and collections .....   | 24          | 24      | 24        |
| 0400 Total: Balances and collections .....  | 24          | 24      | 24        |
| Appropriations:   |             |         |           |
| 0500 Miscellaneous Trust Funds .....  | -24         | -24     | -24       |
| 0799 Balance, end of year .....   |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 69-9971-0-7-999                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                       |             |         |           |
| 0001 Cooperative work, forest highways 69-X-8265 .....        | 8           | 10      | 10        |
| 0002 International Outreach Program 69-X-8371 .....           | 2           | 2       | 2         |
| 0003 Advances from State cooperating agencies 69-X-8054 ..... | 26          | 32      | 32        |
| 0004 Other Programs .....                                     | 1           | 1       | 1         |
| 0900 Total new obligations .....                              | 37          | 45      | 45        |

## Budgetary Resources:

|  |    |    |    |
|--|----|----|----|
| Unobligated balance:                                   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 57 | 45 | 24 |
| 1021 Recoveries of prior year unpaid obligations ..... | 1  |    |    |
| 1050 Unobligated balance (total) .....                 | 58 | 45 | 24 |
| Budget authority:                                      |    |    |    |
| Appropriations, mandatory:                             |    |    |    |
| 1201 Appropriation (special or trust fund) .....       | 24 | 24 | 24 |
| 1260 Appropriations, mandatory (total) .....           | 24 | 24 | 24 |
| 1900 Budget authority (total) .....                    | 24 | 24 | 24 |
| 1930 Total budgetary resources available .....         | 82 | 69 | 48 |
| Memorandum (non-add) entries:                          |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....  | 45 | 24 | 3  |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 28  | 27  | 22  |
| 3010 Obligations incurred, unexpired accounts .....               | 37  | 45  | 45  |
| 3020 Outlays (gross) .....  | -37 | -50 | -52 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 27  | 22  | 15  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 28  | 27  | 22  |
| 3200 Obligated balance, end of year .....                         | 27  | 22  | 15  |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Mandatory:                                      |    |    |    |
| 4090 Budget authority, gross .....              | 24 | 24 | 24 |
| Outlays, gross:                                 |    |    |    |
| 4100 Outlays from new mandatory authority ..... | 11 | 19 | 19 |
| 4101 Outlays from mandatory balances .....      | 26 | 31 | 33 |
| 4110 Outlays, gross (total) .....               | 37 | 50 | 52 |
| 4180 Budget authority, net (total) .....        | 24 | 24 | 24 |

|                                 |    |    |    |
|---------------------------------|----|----|----|
| 4190 Outlays, net (total) ..... | 37 | 50 | 52 |
|---------------------------------|----|----|----|

The Miscellaneous Trust Funds account reflects work performed by Federal Highway Administration (FHWA) for other parties. FHWA performs the work on a reimbursable basis.

*Cooperative work, forest highways.*—Contributions are received from States and counties in connection with cooperative engineering, survey, maintenance, and construction projects for forest highways.

*International Outreach Program.*—Funds are collected to inform the domestic highway community of technological innovations, promote highway transportation expertise internationally, and increase transfers of transportation technology to foreign countries.

*Advances from State cooperating agencies.*—Funds are contributed by the State highway departments or local subdivisions thereof for construction and/or maintenance of roads or bridges. The work is performed under the supervision of the FHWA.

*Other Programs-Contributions for highway research programs.*—Contributions are received from various sources in support of the FHWA Research, Development, and Technology Program. The funds are used primarily in support of pooled-funds projects.

*Technical assistance, U.S. dollars advance from foreign governments.*—The FHWA renders technical assistance and acts as agent for the purchase of equipment and materials for carrying out highway programs in foreign countries.

## Object Classification (in millions of dollars)

| Identification code 69-9971-0-7-999                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 6           | 7       | 7         |
| 25.2 Other services from non-Federal sources .....       | 21          | 26      | 26        |
| 25.3 Other goods and services from Federal sources ..... | 5           | 6       | 6         |
| 44.0 Refunds .....                                       | 3           | 4       | 4         |
| 99.9 Total new obligations .....                         | 37          | 45      | 45        |

## Employment Summary

| Identification code 69-9971-0-7-999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 20          | 20      | 20        |

## MISCELLANEOUS TRANSPORTATION TRUST FUNDS

## Program and Financing (in millions of dollars)

| Identification code 69-9972-0-7-401                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| 0027 Obligations by program activity Miscellaneous highway projects ..... | 16          | 37      | 26        |
| 0100 Direct Program by Activities - Subtotal (running) .....              | 16          | 37      | 26        |
| 0900 Total new obligations (object class 41.0) .....                      | 16          | 37      | 26        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 96          | 86      | 49        |
| 1021 Recoveries of prior year unpaid obligations .....                    | 4           |         |           |
| 1050 Unobligated balance (total) .....                                    | 100         | 86      | 49        |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:            |             |         |           |
| 1700 Collected .....  | 2           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....        | 2           |         |           |
| 1900 Budget authority (total) .....                                       | 2           |         |           |
| 1930 Total budgetary resources available .....                            | 102         | 86      | 49        |

|   |  |     |         |
|---|--|-----|---------|
| Memorandum (non-add) entries:                       |  |     |         |
| 1941  | Unexpired unobligated balance, end of year .....             | 86  | 49 23   |
| <b>Change in obligated balance:</b>                 |  |     |         |
| Unpaid obligations:                                 |  |     |         |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....             | 57  | 56 58   |
| 3010  | Obligations incurred, unexpired accounts .....               | 16  | 37 26   |
| 3020  | Outlays (gross) .....  | -13 | -35 -36 |
| 3040  | Recoveries of prior year unpaid obligations, unexpired ..... | -4  | .....   |
| 3050  | Unpaid obligations, end of year .....                        | 56  | 58 48   |
| Memorandum (non-add) entries:                       |  |     |         |
| 3100  | Obligated balance, start of year .....                       | 57  | 56 58   |
| 3200  | Obligated balance, end of year .....                         | 56  | 58 48   |
| <b>Budget authority and outlays, net:</b>           |  |     |         |
| Discretionary:                                      |  |     |         |
| 4000  | Budget authority, gross .....                                | 2   | .....   |
| Outlays, gross:                                     |  |     |         |
| 4011  | Outlays from discretionary balances .....                    | 13  | 35 36   |
| Offsets against gross budget authority and outlays: |  |     |         |
| Offsetting collections (collected) from:            |  |     |         |
| 4030  | Federal sources .....  | -2  | .....   |
| 4190  | Outlays, net (total) .....                                   | 11  | 35 36   |

Accounts in this consolidated schedule show the obligation and outlay amounts made available in prior years.

#### ADMINISTRATIVE PROVISIONS—FEDERAL HIGHWAY ADMINISTRATION

##### SEC. 120.

(a) For fiscal year 2014, the Secretary of Transportation shall—

(1) not distribute from the obligation limitation for Federal-aid highways—

(A) amounts authorized for administrative expenses and programs by section 104(a) of title 23, United States Code; and

(B) amounts authorized for the Bureau of Transportation Statistics;

(2) not distribute an amount from the obligation limitation for Federal-aid highways that is equal to the unobligated balance of amounts—

(A) made available from the Highway Trust Fund (other than the Mass Transit Account) for Federal-aid highway and highway safety construction programs for previous fiscal years the funds for which are allocated by the Secretary (or apportioned by the Secretary under sections 202 or 204 of title 23, United States Code); and

(B) for which obligation limitation was provided in a previous fiscal year;

(3) determine the proportion that—

(A) the obligation limitation for Federal-aid highways, less the aggregate of amounts not distributed under paragraphs (1) and (2) of this subsection; bears to

(B) the total of the sums authorized to be appropriated for the Federal-aid highway and highway safety construction programs (other than sums authorized to be appropriated for provisions of law described in paragraphs (1) through (11) of subsection (b) and sums authorized to be appropriated for section 119 of title 23, United States Code, equal to the amount referred to in subsection (b)(12) for such fiscal years), less the aggregate of the amounts not distributed under paragraphs (1) and (2) of this subsection;

(4) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2), for each of the programs (other than programs to which paragraph (1) applies) that are allocated by the Secretary under the Moving Ahead for Progress in the 21st Century Act and title 23, United States Code, or apportioned by the Secretary under sections 202 or 204 of that title, by multiplying—

(A) the proportion determined under paragraph (3); by

(B) the amounts authorized to be appropriated for each such program for such fiscal year; and

(5) distribute the obligation limitation for Federal-aid highways, less the aggregate amounts not distributed under paragraphs (1) and (2) and the amounts distributed under paragraph (4), for Federal-aid highway and highway safety construction programs that are apportioned by the Secretary under title 23, United States Code (other than the amounts apportioned for the national highway performance program in section 119 of title 23, United States Code, that are exempt

from the limitation under subsection (b)(12) and the amounts apportioned under sections 202 and 204 of that title) in the proportion that—

(A) amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to each State for such fiscal year; bears to

(B) the total of the amounts authorized to be appropriated for the programs that are apportioned under title 23, United States Code, to all States for such fiscal year.

(b) **EXCEPTIONS FROM OBLIGATION LIMITATION-** The obligation limitation for Federal-aid highways shall not apply to obligations under or for—

(1) section 125 of title 23, United States Code;

(2) section 147 of the Surface Transportation Assistance Act of 1978 (23 U.S.C. 144 note; 92 Stat. 2714);

(3) section 9 of the Federal-Aid Highway Act of 1981 (95 Stat. 1701);

(4) subsections (b) and (j) of section 131 of the Surface Transportation Assistance Act of 1982 (96 Stat. 2119);

(5) subsections (b) and (c) of section 149 of the Surface Transportation and Uniform Relocation Assistance Act of 1987 (101 Stat. 198);

(6) sections 1103 through 1108 of the Intermodal Surface Transportation Efficiency Act of 1991 (105 Stat. 2027);

(7) section 157 of title 23, United States Code (as in effect on June 8, 1998);

(8) section 105 of title 23, United States Code (as in effect for fiscal years 1998 through 2004, but only in an amount equal to \$639,000,000 for each of those fiscal years);

(9) Federal-aid highway programs for which obligation authority was made available under the Transportation Equity Act for the 21st Century (112 Stat. 107) or subsequent Acts for multiple years or to remain available until expended, but only to the extent that the obligation authority has not lapsed or been used;

(10) section 105 of title 23, United States Code (but, for each of fiscal years 2005 through 2012, only in an amount equal to \$639,000,000 for each of those fiscal years);

(11) section 1603 of SAFETEA-LU (23 U.S.C. 118 note; 119 Stat. 1248), to the extent that funds obligated in accordance with that section were not subject to a limitation on obligations at the time at which the funds were initially made available for obligation; and

(12) section 119 of title 23, United States Code (but, for each of fiscal years 2013 and 2014, only in an amount equal to \$639,000,000 for each of those fiscal years).

(c) **REDISTRIBUTION OF UNUSED OBLIGATION AUTHORITY** - Notwithstanding subsection (a), the Secretary shall, after August 1 of such fiscal year—

(1) revise a distribution of the obligation limitation made available under subsection (a) if an amount distributed cannot be obligated during that fiscal year; and

(2) redistribute sufficient amounts to those States able to obligate amounts in addition to those previously distributed during that fiscal year, giving priority to those States having large unobligated balances of funds apportioned under sections 144 (as in effect on the day before the date of enactment of the Moving Ahead for Progress in the 21st Century Act) and 104 of title 23, United States Code.

(d) **APPLICABILITY OF OBLIGATION LIMITATIONS TO TRANSPORTATION RESEARCH PROGRAMS-**

(1) **IN GENERAL-** Except as provided in paragraph (2), the obligation limitation for Federal-aid highways shall apply to contract authority for transportation research programs carried out under—

(A) chapter 5 of title 23, United States Code; and

(B) division E of the Moving Ahead for Progress in the 21st Century Act.

(2) **EXCEPTION-** Obligation authority made available under paragraph (1) shall—

(A) remain available for a period of 4 fiscal years; and

(B) be in addition to the amount of any limitation imposed on obligations for Federal-aid highway and highway safety construction programs for future fiscal years.

(e) **REDISTRIBUTION OF CERTAIN AUTHORIZED FUNDS-**

(1) **IN GENERAL-** Not later than 30 days after the date of distribution of obligation limitation under subsection (a), the Secretary shall distribute to the States any funds (excluding funds authorized for the program under section 202 of title 23, United States Code) that—

(A) are authorized to be appropriated for such fiscal year for Federal-aid highway programs; and

(B) the Secretary determines will not be allocated to the States (or will not be apportioned to the States under section 204 of title 23, United States Code), and will not be available for obligation, for such fiscal year because of the imposition of any obligation limitation for such fiscal year.

(2) **RATIO-** Funds shall be distributed under paragraph (1) in the same proportion as the distribution of obligation authority under subsection (a)(5).

(3) **AVAILABILITY-** Funds distributed to each State under paragraph (1) shall be available for any purpose described in section 133(b) of title 23, United States Code.

**SEC. 121.** Notwithstanding 31 U.S.C. 3302, funds received by the Bureau of Transportation Statistics from the sale of data products, for necessary expenses incurred pursuant to chapter 63 of title 49, United States Code, may be credited to the Federal-aid Highways account for the purpose of reimbursing the Bureau for such expenses: Provided, That such funds shall be subject to the obligation limitation for Federal-aid Highways and highway safety construction programs.

**SEC. 122.** Not less than 15 days prior to waiving, under his statutory authority, any Buy America requirement for Federal-aid highway projects, the Secretary of Transportation shall make an informal public notice and comment opportunity on the intent to issue such waiver and the reasons therefor: Provided, That the Secretary shall provide an annual report to the House and Senate Committees on Appropriations on any waivers granted under the Buy America requirements.

**SEC. 123.** From the unobligated balances of funds apportioned among the States prior to October 1, 2012, under sections 104(b) and 144 of title 23, United States Code (as in effect on the day before the date of enactment of Public Law 112–141), the amount of \$26,103,000 shall be made available in fiscal year 2014 for the administrative expenses of the Federal Highway Administration: Provided further, That this provision shall not apply to funds distributed in accordance with section 104(b)(5) of title 23, United States Code (as in effect on the day before the date of enactment of Public Law 112–141); section 133(d)(1) of such title (as in effect on the day before the date of enactment of Public Law 109–59); and the first sentence of section 133(d)(3)(A) of such title (as in effect on the day before the date of enactment of Public Law 112–141): Provided further, That such amount shall be derived on a proportional basis from the unobligated balances of apportioned funds to which this provision applies: Provided further, That the amount made available by this provision in fiscal year 2014 for the administrative expenses of the Federal Highway Administration shall be in addition to the amount made available in fiscal year 2014 for such purposes under section 104(a) of title 23, United States Code: Provided further, That the amount made available by this provision in fiscal year 2014 for the administrative expenses of the Federal Highway Administration shall have the same period of availability and characteristics of the contract authority made available under section 104(a) of title 23, United States Code.

## FEDERAL MOTOR CARRIER SAFETY ADMINISTRATION

The Federal Motor Carrier Safety Administration (FMCSA) was established within the Department of Transportation by the Motor Carrier Safety Improvement Act of 1999 (P.L. 106–159). Prior to this legislation, motor carrier safety responsibilities were under the jurisdiction of the Federal Highway Administration.

FMCSA's mission is to promote safe commercial motor vehicle operation and reduce truck and bus crashes. The agency accomplishes this mission by reducing fatalities and property losses associated with commercial motor vehicles through education, regulation, enforcement, and research and innovative technology, thereby achieving a safer and more secure transportation environment. FMCSA is also responsible for enforcing Federal motor carrier safety and hazardous materials regulations for all com-

mercial vehicles entering the United States along its southern and northern borders.

### Trust Funds

#### MOTOR CARRIER SAFETY

##### Program and Financing (in millions of dollars)

| Identification code 69–8055–0–7–401  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                  | 18          | 1       | 1         |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                        | –18         |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                                 | 1           |         |           |
| 1050 Unobligated balance (total) .....   | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....   | 1           | 1       | 1         |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                  | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                  | –10         | 5       |           |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 .....                   | 16          |         |           |
| 3020 Outlays (gross) .....   |             | –5      |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                      | –1          |         |           |
| 3050 Unpaid obligations, end of year .....   | 5           |         |           |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                      | –2          |         |           |
| 3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 .....       | 2           |         |           |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 6           | 5       |           |
| 3200 Obligated balance, end of year .....  | 5           |         |           |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....   |             | 5       |           |
| 4190 Outlays, net (total) .....  |             | 5       |           |
| <b>Memorandum (non-add) entries:</b>   |             |         |           |
| 5054 Fund balance in excess of liquidating requirements, SOY: Contract authority ..... | 41          | 41      | 41        |
| 5055 Fund balance in excess of liquidating requirements, EOY: Contract authority ..... | 41          | 41      | 41        |

Activities have not been funded in this account since 2005. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006.

#### NATIONAL MOTOR CARRIER SAFETY PROGRAM

##### Program and Financing (in millions of dollars)

| Identification code 69–8048–0–7–401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 16          | 19      | 19        |
| 1021 Recoveries of prior year unpaid obligations .....            | 3           |         |           |
| 1050 Unobligated balance (total) .....                            | 19          | 19      | 19        |
| 1930 Total budgetary resources available .....                    | 19          | 19      | 19        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 19          | 19      | 19        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 8           | 4       |           |
| 3020 Outlays (gross) .....  | –1          | –4      |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 4           |         |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 8           | 4       |           |

|   |  |   |    |    |
|---|--|---|----|----|
| 3200                                      | Obligated balance, end of year .....               | 4 |    |    |
| <b>Budget authority and outlays, net:</b> |  |   |    |    |
| Discretionary:                            |  |   |    |    |
| Outlays, gross:                           |  |   |    |    |
| 4011                                      | Outlays from discretionary balances .....          | 1 | 4  |    |
| 4190                                      | Outlays, net (total) .....                         | 1 | 4  |    |
| <b>Memorandum (non-add) entries:</b>      |  |   |    |    |
| 5050                                      | Unobligated balance, SOY: Contract authority ..... | 3 | 7  | 11 |
| 5051                                      | Unobligated balance, EOY: Contract authority ..... | 7 | 11 | 11 |
| 5052                                      | Obligated balance, SOY: Contract authority .....   | 8 | 4  |    |
| 5053                                      | Obligated balance, EOY: Contract authority .....   | 4 |    |    |

Activities have not been funded in this account since 2005. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006.

### MOTOR CARRIER SAFETY GRANTS

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

*For payment of obligations incurred in carrying out sections 31102, 31104(a), 31106, 31107, 31109, 31309, 31313 of title 49, United States Code, and sections 4126 and 4128 of Public Law 109–59, as amended by Public Law 112–41, \$313,000,000, to be derived from the Transportation Trust Fund (Highway Account) and to remain available until expended: Provided, That funds available for the implementation or execution of motor carrier safety programs shall not exceed total obligations of \$313,000,000 in fiscal year 2014 for "Motor Carrier Safety Grants"; of which \$218,000,000 shall be available for the motor carrier safety assistance program, \$30,000,000 shall be available for the commercial driver's license improvements program, \$32,000,000 shall be available for border enforcement grants, \$5,000,000 shall be available for the performance and registration information system management program, \$25,000,000 shall be available for the commercial vehicle information systems and networks deployment program, and \$3,000,000 shall be available for the safety data improvement program: Provided further, That, of the funds made available herein for the motor carrier safety assistance program, \$32,000,000 shall be available for audits of new entrant motor carriers.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 69–8158–0–7–401  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Motor Carrier Safety Assistance Program .....   | 212         | 214     | 218       |
| 0002 Border Enforcement Grants .....   | 32          | 32      | 32        |
| 0003 Safety Data Improvement Grants .....  | 3           | 3       | 3         |
| 0004 Commercial Driver's License (CDL) Program Improvement Grants .....                            | 29          | 30      | 30        |
| 0005 Commercial Vehicle Information Systems .....  | 17          | 25      | 25        |
| 0006 Performance and Registration Information System .....   | 4           | 5       | 5         |
| 0900 Total new obligations .....   | 297         | 309     | 313       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 53          | 72      | 72        |
| 1021 Recoveries of prior year unpaid obligations .....   | 10          |         |           |
| 1050 Unobligated balance (total) .....   | 63          | 72      | 72        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1101 Appropriation (special or trust fund) .....   | 307         | 310     | 313       |
| 1137 Portion applied to liquidate contract authority, Motor Carrier Safety Grants .....            | –307        | –310    | –313      |
| Contract authority, discretionary:   |             |         |           |
| 1520 Contract authority and/or unobligated balance of contract authority permanently reduced ..... |             | –1      |           |
| 1540 Contract authority, discretionary (total) .....   |             | –1      |           |

|  |      |      |      |
|--|------|------|------|
| Contract authority, mandatory:   |      |      |      |
| 1600 Contract authority, Motor Carrier Safety Grants .....   | 307  | 310  | 313  |
| 1620 Contract authority and/or unobligated balance of contract authority permanently reduced ..... | –1   |      |      |
| 1640 Contract authority, mandatory (total) .....   | 306  | 310  | 313  |
| 1900 Budget authority (total) .....  | 306  | 309  | 313  |
| 1930 Total budgetary resources available .....   | 369  | 381  | 385  |
| Memorandum (non-add) entries:  |      |      |      |
| 1941 Unexpired unobligated balance, end of year .....  | 72   | 72   | 72   |
| <b>Change in obligated balance:</b>  |      |      |      |
| Unpaid obligations:  |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 399  | 412  | 438  |
| 3010 Obligations incurred, unexpired accounts .....  | 297  | 309  | 313  |
| 3020 Outlays (gross) .....   | –274 | –283 | –311 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                  | –10  |      |      |
| 3050 Unpaid obligations, end of year .....   | 412  | 438  | 440  |
| Memorandum (non-add) entries:  |      |      |      |
| 3100 Obligated balance, start of year .....  | 399  | 412  | 438  |
| 3200 Obligated balance, end of year .....  | 412  | 438  | 440  |

### Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  |     | –1  |     |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 62  | 87  | 88  |
| 4011 Outlays from discretionary balances .....      | 212 | 196 | 223 |
| 4020 Outlays, gross (total) .....                   | 274 | 283 | 311 |
| Mandatory:  |     |     |     |
| 4090 Budget authority, gross .....                  | 306 | 310 | 313 |
| 4180 Budget authority, net (total) .....            | 306 | 309 | 313 |
| 4190 Outlays, net (total) .....                     | 274 | 283 | 311 |

### Memorandum (non-add) entries:

|  |     |     |     |
|--|-----|-----|-----|
| 5054 Fund balance in excess of liquidating requirements, SOY: Contract authority ..... | 28  | 29  | 30  |
| 5055 Fund balance in excess of liquidating requirements, EOY: Contract authority ..... | 29  | 30  | 30  |
| 5061 Limitation on obligations (Transportation Trust Funds) .....                      | 307 | 309 | 313 |

Motor Carrier Safety Grants support States to conduct compliance reviews, identify and apprehend traffic violators, conduct roadside inspections, and support safety audits on new entrant carriers. State safety enforcement efforts at the southern and northern borders ensure that all points of entry into the U.S. are fortified with comprehensive commercial vehicle safety measures. In addition, the Federal Motor Carrier Safety Administration (FMCSA) oversees State commercial driver's license (CDL) oversight activities to prevent unqualified drivers from being issued CDLs. The Performance and Registration Information Systems and Management program links State motor vehicle registration systems with carrier safety data in order to identify unsafe commercial motor carriers. FMCSA is also deploying Commercial Vehicle Information Systems and Networks to improve safety and productivity of commercial vehicles and drivers.

Under Moving Ahead for Progress in the 21st Century (MAP-21) (P.L. 112–141), the Motor Carriers Safety Grants account maintains the Agency's individual grants under the Compliance, Safety and Accountability Program.

### Object Classification (in millions of dollars)

| Identification code 69–8158–0–7–401                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 21.0 Travel and transportation of persons .....    | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources ..... | 4           | 24      | 24        |
| 41.0 Grants, subsidies, and contributions .....    | 292         | 284     | 288       |
| 99.9 Total new obligations .....                   | 297         | 309     | 313       |

## MOTOR CARRIER SAFETY OPERATIONS AND PROGRAMS

## (LIQUIDATION OF CONTRACT AUTHORIZATION)

## (LIMITATION ON OBLIGATIONS)

## (TRANSPORTATION TRUST FUND)

For payment of obligations incurred in the implementation, execution and administration of motor carrier safety operations and programs pursuant to section 31104(i) of title 49, United States Code, and sections 4127 and 4134 of Public Law 109–59, as amended by Public Law 112–141, \$259,000,000, to be derived from the Transportation Trust Fund (Highway Account) together with advances and reimbursements received by the Federal Motor Carrier Safety Administration, and to remain available until expended: Provided, That funds available for implementation, execution, or administration of motor carrier safety operations and programs authorized under title 49, United States Code, shall not exceed total obligations of \$259,000,000 for "Motor Carrier Safety Operations and Programs" for fiscal year 2014, of which \$9,000,000, to remain available for obligation until September 30, 2016, is for the Research and Technology program, and of which \$1,000,000 shall be available for grants to carry out section 4134 of Public Law 109–59: Provided further, That notwithstanding section 4127(e) of Public Law 109–59, none of the funds under this heading for outreach and education shall be available for transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–8159–0–7–401                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Operating Expenses .....  | 192         | 193     | 207       |
| 0002 Research and Technology .....                                   | 9           | 9       | 9         |
| 0003 Information Management .....                                    | 35          | 34      | 29        |
| 0004 Regulatory Development .....                                    | 9           | 9       | 9         |
| 0005 Outreach and Education .....                                    | 3           | 3       | 4         |
| 0006 Commercial Motor Vehicle Operating Grants .....                 | 1           | 1       | 1         |
| 0100 Subtotal, direct program .....                                  | 249         | 249     | 259       |
| 0799 Total direct obligations .....                                  | 249         | 249     | 259       |
| 0801 Reimbursable program .....                                      | 16          | 15      | 15        |
| 0900 Total new obligations .....                                     | 265         | 264     | 274       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 18          | 17      | 19        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....      | 4           | 5       | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....      | –1          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....               | 5           | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 22          | 17      | 19        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1101 Appropriation (special or trust fund) .....                     | 248         | 249     | 259       |
| 1137 Appropriations applied to liquidate contract authority .....    | –248        | –249    | –259      |
| Contract authority, mandatory:                                       |             |         |           |
| 1600 Contract authority .....  | 244         | 251     | 259       |
| 1640 Contract authority, mandatory (total) .....                     | 244         | 251     | 259       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 16          | 15      | 15        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 16          | 15      | 15        |
| 1900 Budget authority (total) .....                                  | 260         | 266     | 274       |
| 1930 Total budgetary resources available .....                       | 282         | 283     | 293       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 17          | 19      | 19        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 85          | 87      | 93        |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | 1           | .....   | .....     |
| 3010 Obligations incurred, unexpired accounts .....                  | 265         | 264     | 274       |
| 3020 Outlays (gross) .....   | –259        | –258    | –251      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –5          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 87          | 93      | 116       |

## Memorandum (non-add) entries:

|   |    |    |     |
|---|----|----|-----|
| 3100 Obligated balance, start of year ..... | 86 | 87 | 93  |
| 3200 Obligated balance, end of year .....   | 87 | 93 | 116 |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 16  | 15  | 15  |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 199 | 202 | 209 |
| 4011 Outlays from discretionary balances .....      | 60  | 56  | 42  |
| 4020 Outlays, gross (total) .....                   | 259 | 258 | 251 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4034 Offsetting governmental collections .....      | –16 | –15 | –15 |
| Mandatory:  |     |     |     |
| 4090 Budget authority, gross .....                  | 244 | 251 | 259 |
| 4180 Budget authority, net (total) .....            | 244 | 251 | 259 |
| 4190 Outlays, net (total) .....                     | 243 | 243 | 236 |

## Memorandum (non-add) entries:

|   |     |     |     |
|---|-----|-----|-----|
| 5054 Fund balance in excess of liquidating requirements, SOY:     |     |     |     |
| Contract authority .....  | 11  | 15  | 14  |
| 5055 Fund balance in excess of liquidating requirements, EOY:     |     |     |     |
| Contract authority .....  | 15  | 14  | 14  |
| 5061 Limitation on obligations (Transportation Trust Funds) ..... | 248 | 250 | 259 |

The Operations and Programs account provides the necessary resources to support program and administrative activities for motor carrier safety. Under Moving Ahead for Progress in the 21st Century (MAP-21) (P.L. 112–141), the Federal Motor Carrier Safety Administration (FMCSA) will continue to improve safety and reduce severe and fatal commercial motor vehicles crashes by raising the bar to entry into the commercial motor vehicle industry, by requiring operators to maintain standards to remain in the industry, and by removing high-risk carriers, vehicles, drivers and service providers from operation.

Funding supports nationwide motor carrier safety and consumer enforcement efforts, including the continuation of the Compliance, Safety and Accountability Program; Household goods regulation and enforcement, and Federal safety enforcement activities at the borders to ensure that foreign-domiciled carriers entering the U.S. are in compliance with FMCSA Regulations. Resources are also provided to fund regulatory development and implementation, information management, research and technology, grants to State and local partners, safety outreach and education, and the safety and consumer telephone hotline.

## Object Classification (in millions of dollars)

| Identification code 69–8159–0–7–401                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 87          | 86      | 88        |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 3         |
| 11.9 Total personnel compensation .....                         | 89          | 88      | 91        |
| 12.1 Civilian personnel benefits .....                          | 28          | 26      | 27        |
| 21.0 Travel and transportation of persons .....                 | 9           | 9       | 9         |
| 23.1 Rental payments to GSA .....                               | 11          | 13      | 14        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 6       | 6         |
| 24.0 Printing and reproduction .....                            | .....       | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 100         | 90      | 97        |
| 25.5 Research and development contracts .....                   | 9           | 10      | 10        |
| 26.0 Supplies and materials .....                               | 1           | 4       | 1         |
| 31.0 Equipment .....  | .....       | 1       | 2         |
| 41.0 Grants, subsidies, and contributions .....                 | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 249         | 249     | 259       |
| 99.0 Reimbursable obligations .....                             | 16          | 15      | 15        |
| 99.9 Total new obligations .....                                | 265         | 264     | 274       |

## Employment Summary

| Identification code 69–8159–0–7–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,031       | 1,062   | 1,088     |



|      |   |    |    |    |
|------|---|----|----|----|
| 2001 | Reimbursable civilian full-time equivalent employment ..... | 61 | 61 | 61 |
|------|---|----|----|----|

## NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

The National Highway Traffic Safety Administration (NHTSA) is responsible for motor vehicle safety, highway safety behavioral programs, motor vehicle information, and automobile fuel economy programs. NHTSA is charged with reducing traffic crashes and deaths and injuries resulting from traffic crashes; establishing motor vehicle safety standards for motor vehicles and motor vehicle equipment in interstate commerce; carrying out needed safety research and development; and the operation of the National Driver Register.

### Federal Funds

#### CONSUMER ASSISTANCE TO RECYCLE AND SAVE PROGRAM

##### Program and Financing (in millions of dollars)

| Identification code 69-0654-0-1-376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 20          | 20      | 20        |
| 1930 Total budgetary resources available .....        | 20          | 20      | 20        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 20          | 20      | 20        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |

The schedules above illustrate the remaining activity associated with the completed Consumer Assistance to Recycle and Save (Cash for Clunkers) program.

No new funds are requested for this program in 2014.

### NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

#### OPERATIONS AND RESEARCH

*For expenses necessary to discharge the functions of the Secretary, with respect to traffic and highway safety authorized under chapter 301 and part C of subtitle VI of title 49, United States Code, \$148,343,000, of which \$20,000,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

| Identification code 69-0650-0-1-401                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Research and Analysis .....                       | 37          | 36      | 38        |
| 0002 Rulemaking .....                                  | 23          | 21      | 25        |
| 0003 Enforcement .....                                 | 18          | 19      | 20        |
| 0004 Administrative Expenses .....                     | 65          | 65      | 65        |
| 0900 Total new obligations .....                       | 143         | 141     | 148       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2           | 1       | 4         |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           | 3       | 1         |

|  |     |     |     |
|--|-----|-----|-----|
| 1050 Unobligated balance (total) .....                             | 3   | 4   | 5   |
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 140 | 141 | 148 |
| 1160 Appropriation, discretionary (total) .....                    | 140 | 141 | 148 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 1   |     |     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1   |     |     |
| 1900 Budget authority (total) .....                                | 141 | 141 | 148 |
| 1930 Total budgetary resources available .....                     | 144 | 145 | 153 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 1   | 4   | 5   |

##### Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 80   | 79   | 76   |
| 3010 Obligations incurred, unexpired accounts .....               | 143  | 141  | 148  |
| 3020 Outlays (gross) .....  | -140 | -141 | -144 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1   | -3   | -1   |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -3   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 79   | 76   | 79   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 80   | 79   | 76   |
| 3200 Obligated balance, end of year .....                         | 79   | 76   | 79   |

##### Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 141 | 141 | 148 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 86  | 82  | 86  |
| 4011 Outlays from discretionary balances .....      | 54  | 59  | 58  |
| 4020 Outlays, gross (total) .....                   | 140 | 141 | 144 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | -1  |     |     |
| 4180 Budget authority, net (total) .....            | 140 | 141 | 148 |
| 4190 Outlays, net (total) .....                     | 139 | 141 | 144 |

These programs support vehicle safety activities to reduce highway fatalities, prevent injuries, and reduce their associated economic toll by research into, and implementation of, Federal motor vehicle safety standards. NHTSA's research areas include biomechanics, crash avoidance and mitigation technologies, and vehicle safety issues related to fuel efficiency and alternative fuels. NHTSA's Operation and Research programs fund a broad range of initiatives, including promulgation of Federal motor vehicle safety standards for motor vehicles and safety related equipment; automotive fuel economy standards required by the Energy Policy and Conservation Act, as amended by the Energy Independence and Security Act of 2007; international harmonization of vehicle standards; and consumer information on motor vehicle safety, including the New Car Assessment Program. NHTSA conducts compliance programs for motor vehicle safety and automotive fuel economy standards; investigations of safety-related motor vehicle defects; enforcement of Federal odometer law; support of enforcement of State odometer law; and safety recalls when warranted. Motor vehicle safety research and development supports all NHTSA programs, including the collection and analysis of crash data to identify safety problems; development of alternative solutions; and assessments of costs, benefits, and effectiveness. Research continues on standards and technologies to improve vehicle crashworthiness and crash avoidance, with emphasis on decreasing fatalities from rollover crashes and improving vehicle-to-vehicle crash compatibility.

##### Object Classification (in millions of dollars)

| Identification code 69-0650-0-1-401     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                     |             |         |           |
| Personnel compensation:                 |             |         |           |
| 11.1 Full-time permanent .....          | 38          | 37      | 40        |
| 11.5 Other personnel compensation ..... | 1           | 1       | 1         |
| 11.9 Total personnel compensation ..... | 39          | 38      | 41        |

NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION—Continued  
Object Classification—Continued

| Identification code 69–0650–0–1–401                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 12.1 Civilian personnel benefits .....                          | 10          | 10      | 11        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 55          | 53      | 51        |
| 25.5 Research and development contracts .....                   | 33          | 33      | 38        |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 143         | 141     | 148       |

## Employment Summary

| Identification code 69–0650–0–1–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 328         | 340     | 369       |

## NATIONAL DRIVER REGISTER MODERNIZATION

## Program and Financing (in millions of dollars)

| Identification code 69–0660–0–1–401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           |         |           |
| 3020 Outlays (gross) .....                            | –2          |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 2           |         |           |
| 4190 Outlays, net (total) .....                       | 2           |         |           |

The mission of the National Driver Register (NDR) is to improve traffic and transportation safety by providing a nationwide database of problem drivers that assists State driver licensing agencies in identifying these individuals and assists employers in making hiring and certification decisions. NDR is a computerized database of information about drivers who have had their licenses revoked or suspended, or who have been convicted of serious traffic violations such as driving while impaired by alcohol or drugs. State motor vehicle agencies provide NDR with the names of individuals who have lost their privileges or who have been convicted of a serious traffic violation.

The funds in this account supported the modernization of this program. The schedules above illustrate the remaining activity associated with the completed National Driver Register Modernization.

No new funds are requested for this program in 2014.

## Trust Funds

OPERATIONS AND RESEARCH  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(LIMITATION ON OBLIGATIONS)  
(TRANSPORTATION TRUST FUND)

For payment of obligations incurred in carrying out the provisions of 23 U.S.C. 403, and chapter 303 of title 49, United States Code, \$118,500,000, to be derived from the Transportation Trust Fund (other than the Mass Transit Account) and to remain available until expended: Provided, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year 2014, are in excess of \$118,500,000, of which \$113,500,000

shall be for programs authorized under 23 U.S.C. 403, and of which \$5,000,000 shall be for the National Driver Register authorized under chapter 303 of title 49, United States Code: Provided further, That within the \$113,500,000 obligation limitation for operations and research, \$20,000,000 shall remain available until September 30, 2015 and shall be in addition to the amount of any limitation imposed on obligations for future years.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–8016–0–7–401     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Highway safety programs .....      | 39          | 42      | 42        |
| 0002 Research and analysis .....        | 27          | 27      | 32        |
| 0007 National driver register .....     | 3           | 4       | 5         |
| 0008 Administrative Expenses .....      | 35          | 37      | 40        |
| 0100 Total Direct Obligations .....     | 104         | 110     | 119       |
| 0799 Total direct obligations .....     | 104         | 110     | 119       |
| 0801 Reimbursable program .....         | 20          | 30      | 30        |
| 0900 Total new obligations .....        | 124         | 140     | 149       |

## Budgetary Resources:

|  |      |      |      |
|--|------|------|------|
| Unobligated balance:   |      |      |      |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 18   | 31   | 37   |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 3    | 3    |      |
| 1021 Recoveries of prior year unpaid obligations .....             | 5    |      |      |
| 1050 Unobligated balance (total) .....                             | 23   | 31   | 37   |
| Budget authority:  |      |      |      |
| Appropriations, discretionary:                                     |      |      |      |
| 1101 Appropriation (special or trust fund) .....                   | 110  | 110  | 119  |
| 1137 Appropriations applied to liquidate contract authority .....  | –110 | –110 | –119 |
| Contract authority, mandatory:                                     |      |      |      |
| 1600 Contract authority .....                                      | 112  | 116  | 119  |
| 1640 Contract authority, mandatory (total) .....                   | 112  | 116  | 119  |
| Spending authority from offsetting collections, discretionary:     |      |      |      |
| 1700 Collected .....   | 20   | 30   | 30   |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 20   | 30   | 30   |
| 1900 Budget authority (total) .....                                | 132  | 146  | 149  |
| 1930 Total budgetary resources available .....                     | 155  | 177  | 186  |
| Memorandum (non-add) entries:                                      |      |      |      |
| 1941 Unexpired unobligated balance, end of year .....              | 31   | 37   | 37   |
| Special and non-revolving trust funds:                             |      |      |      |
| 1952 Expired unobligated balance, start of year .....              | 1    | 1    |      |
| 1953 Expired unobligated balance, end of year .....                | 1    |      |      |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 100  | 99   | 94   |
| 3010 Obligations incurred, unexpired accounts .....               | 124  | 140  | 149  |
| 3020 Outlays (gross) .....  | –120 | –145 | –147 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –5   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 99   | 94   | 96   |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 100  | 99   | 94   |
| 3200 Obligated balance, end of year .....                         | 99   | 94   | 96   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 20  | 30  | 30  |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 61  | 81  | 86  |
| 4011 Outlays from discretionary balances .....      | 59  | 64  | 61  |
| 4020 Outlays, gross (total) .....                   | 120 | 145 | 147 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Baseline Program [Text] .....                  | –20 | –30 | –30 |
| Mandatory:  |     |     |     |
| 4090 Budget authority, gross .....                  | 112 | 116 | 119 |
| 4180 Budget authority, net (total) .....            | 112 | 116 | 119 |
| 4190 Outlays, net (total) .....                     | 100 | 115 | 117 |

**Memorandum (non-add) entries:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 5054 | Fund balance in excess of liquidating requirements, SOY:     |     |     |     |
|      | Contract authority .....                                     | 25  | 21  | 16  |
| 5055 | Fund balance in excess of liquidating requirements, EOY:     |     |     |     |
|      | Contract authority .....                                     | 21  | 16  | 16  |
| 5061 | Limitation on obligations (Transportation Trust Funds) ..... | 110 | 110 | 119 |

The NHTSA provides research, demonstrations, technical assistance, and national leadership for highway safety programs conducted by State and local governments, and various safety associations and organizations. This program emphasizes alcohol and drug countermeasures, driver and passenger occupant protection, traffic enforcement and justice services, emergency medical and trauma care systems, traffic records and licensing, State and community evaluation, motorcycle rider safety, pedestrian and bicycle safety, pupil transportation, young and older driver safety programs, and development of improved accident investigation procedures.

NHTSA will continue its efforts to further quantify the magnitude and nature of the emerging problem of distracted driving, assess the impact of distraction on driver behavior and driving performance, and inform public attitudes and opinions about distraction. In addition, NHTSA will continue to analyze the impact of product design on distraction potential, and assess how to effectively manage driver workload due to distraction.

NHTSA will continue to operate the National Driver Register's Problem Driver Pointer System, which helps to identify drivers who have been suspended for or convicted of serious traffic offenses, such as driving under the influence of alcohol or other drugs. Finally, NHTSA will improve its vital data collection and analysis which form the basis of its research, rulemaking, and performance measurement activities.

**Object Classification (in millions of dollars)**

| Identification code 69-8016-0-7-401                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 17          | 19      | 20        |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 18          | 20      | 21        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 5         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 6           | 6       | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 45          | 44      | 47        |
| 25.5 Research and development contracts .....                   | 27          | 32      | 37        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 104         | 110     | 119       |
| 99.0 Reimbursable obligations .....                             | 20          | 30      | 30        |
| 99.9 Total new obligations .....                                | 124         | 140     | 149       |

**Employment Summary**

| Identification code 69-8016-0-7-401                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 174         | 178     | 189       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3           | 4       | 4         |

**HIGHWAY TRAFFIC SAFETY GRANTS**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

For payment of obligations incurred in carrying out provisions of 23 U.S.C. 402 and 405, section 2009 of Public Law 109-59, as amended by Public Law 112-141, and section 31101(a)(6) of Public Law 112-141, to remain available until expended, \$561,500,000, to be derived from the

Transportation Trust Fund (other than the Mass Transit Account): Provided, That none of the funds in this Act shall be available for the planning or execution of programs the total obligations for which, in fiscal year 2014, are in excess of \$561,500,000 for programs authorized under 23 U.S.C. 402 and 405, section 2009 of Public Law 109-59, as amended by Public Law 112-141, and section 31101(a)(6) of Public Law 112-141, of which \$235,000,000 shall be for "Highway Safety Programs" under 23 U.S.C. 402; \$272,000,000 shall be for "National Priority Safety Programs" under 23 U.S.C. 405; \$29,000,000 shall be for "High Visibility Enforcement Program" under section 2009 of Public Law 109-59, as amended by Public Law 112-141; \$25,500,000 shall be for "Administrative Expenses" under section 31101(a)(6) of Public Law 112-141: Provided further, That none of these funds shall be used for construction, rehabilitation, or remodeling costs, or for office furnishings and fixtures for State, local or private buildings or structures: Provided further, That not to exceed \$500,000 of the funds made available for "National Priority Safety Programs" under 23 U.S.C. 405 for "Impaired Driving Countermeasures" (as described in subsection (d) of that section) shall be available for technical assistance to the States.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 69-8020-0-7-401  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Section 402 formula grants .....  | 235         | 236     | 235       |
| 0002 Section 405 Combined occupant protection grants .....                             | 25          | 25      |           |
| 0004 Section 408 State Traffic Information System Improvements .....                   | 33          |         |           |
| 0005 Section 410 Impaired Driving Countermeasures .....                                | 139         |         |           |
| 0006 Section 3010 High Visibility Enforcement .....                                    | 29          | 29      | 29        |
| 0007 Section 3011 Motorcyclist Safety .....  | 7           |         |           |
| 0008 Section 2011 Child Safety and Booster Seat Grants .....                           | 7           |         |           |
| 0011 Administrative Expenses - Chapter 4 of Title 23 .....                             | 25          | 26      | 26        |
| 0012 Section 406 Safety Belt Performance NASS Modernization (no-year limitation) ..... | 2           |         |           |
| 0014 Section 405A Occupant Protection Grants .....                                     |             |         | 44        |
| 0015 Section 405B State Traffic Information System Improvements .....                  |             |         | 39        |
| 0016 Section 405C Impaired Driving Countermeasures .....                               |             |         | 143       |
| 0017 Section 405D Distracted Driving .....   |             |         | 23        |
| 0018 Section 405E Motorcyclist Safety .....  |             |         | 4         |
| 0019 Section 405F State Graduated Driver Licensing Laws .....                          |             |         | 14        |
| 0020 Section 403H In-Vehicle Alcohol Detection Device Research .....                   |             |         | 5         |
| 0900 Total new obligations .....   | 502         | 316     | 562       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                  | 135         | 185     | 433       |
| 1021 Recoveries of prior year unpaid obligations .....                                 | 2           | 10      | 1         |
| 1050 Unobligated balance (total) .....   | 137         | 195     | 434       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1101 Appropriation (special or trust fund) .....                                       | 550         | 554     | 562       |
| 1137 Appropriations applied to liquidate contract authority .....                      | -550        | -554    | -562      |
| Contract authority, mandatory:   |             |         |           |
| 1600 Contract authority .....  | 550         | 554     | 562       |
| 1640 Contract authority, mandatory (total) .....                                       | 550         | 554     | 562       |
| 1900 Budget authority (total) .....  | 550         | 554     | 562       |
| 1930 Total budgetary resources available .....   | 687         | 749     | 996       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                  | 185         | 433     | 434       |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 728  | 713  | 591  |
| 3010 Obligations incurred, unexpired accounts .....               | 502  | 316  | 562  |
| 3020 Outlays (gross) .....  | -515 | -428 | -461 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2   | -10  | -1   |
| 3050 Unpaid obligations, end of year .....                        | 713  | 591  | 691  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 728  | 713  | 591  |
| 3200 Obligated balance, end of year .....                         | 713  | 591  | 691  |

### HIGHWAY TRAFFIC SAFETY GRANTS—Continued

#### Program and Financing—Continued

| Identification code 69–8020–0–7–401   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                                       | 122         | 227     | 230       |
| 4011 Outlays from discretionary balances .....  | 393         | 201     | 231       |
| 4020 Outlays, gross (total) .....   | 515         | 428     | 461       |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 550         | 554     | 562       |
| 4180 Budget authority, net (total) .....  | 550         | 554     | 562       |
| 4190 Outlays, net (total) .....   | 515         | 428     | 461       |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5054 Fund balance in excess of liquidating requirements, SOY:<br>Contract authority ..... | 52          | 52      | 52        |
| 5055 Fund balance in excess of liquidating requirements, EOY:<br>Contract authority ..... | 52          | 52      | 52        |
| 5061 Limitation on obligations (Transportation Trust Funds) .....                         | 550         | 554     | 562       |

The NHTSA provides grants for several activities related to highway traffic safety. MAP-21 streamlines the highway safety grant process and also establishes a new distracted driving grant for States that enact and enforce laws to prevent distracted driving, such as prohibiting texting while driving. States would be able to use up to \$23,120,000 for any safety activity authorized under title 23, of which up to \$5,000,000 is reserved for media campaigns.

NHTSA will also promote State adoption and implementation of effective graduated driver licensing laws, which require novice drivers to comply with a 2-stage licensing process and outlines minimum standards a State graduated licensing program must implement to receive grant funds.

The grant program will also support discretionary research on in-vehicle technologies that prevent alcohol-impaired driving.

NHTSA also strengthens its major highway safety grant programs that support the following efforts:

State highway safety programs designed to reduce traffic crashes and resulting deaths, injuries, and property damage.

Programs to reduce deaths and injuries of children and adults from riding unrestrained or improperly restrained in motor vehicles including the enforcement of laws or requirements regarding the use of safety belts and child restraints in passenger motor vehicles.

Adoption and implementation of effective programs to improve the timeliness, accuracy, completeness, uniformity, integration, and accessibility of State data that is needed to identify priorities for National, State, and local highway and traffic safety programs.

Adoption and implementation of effective programs to reduce traffic safety problems resulting from individuals driving while under the influence of alcohol.

Reduction of the number of single and multi-vehicle crashes involving motorcyclists through motorcyclist safety training and motorcyclist awareness programs, including improvements to training curricula, delivery of training, recruitment or retention of motorcyclist safety instructors, and public awareness and outreach programs.

MAP-21 also requires the implementation of high-visibility traffic safety law enforcement campaigns to achieve one or both of the following objectives: (1) reduce alcohol-impaired or drug-impaired operation of motor vehicles; and/or (2) increase the use of safety belts by occupants of motor vehicles.

### Object Classification (in millions of dollars)

| Identification code 69–8020–0–7–401                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 9           | 10      | 11        |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           |         |           |
| 25.2 Other services from non-Federal sources .....              | 42          | 42      | 41        |
| 41.0 Grants, subsidies, and contributions .....                 | 448         | 262     | 507       |
| 99.9 Total new obligations .....                                | 502         | 316     | 562       |

### Employment Summary

| Identification code 69–8020–0–7–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 87          | 88      | 95        |

### ADMINISTRATIVE PROVISIONS—NATIONAL HIGHWAY TRAFFIC SAFETY ADMINISTRATION

*SEC. 140. An additional \$130,000 shall be made available to the National Highway Traffic Safety Administration, out of the amount limited for section 402 of title 23, United States Code, to pay for travel and related expenses for State management reviews and to pay for core competency development training and related expenses for highway safety staff.*

*SEC. 141. None of the funds in this Act shall be used to implement section 404 of title 23, United States Code.*

### FEDERAL RAILROAD ADMINISTRATION

The following tables show the funding for all Federal Railroad Administration programs:

|  | [In millions of dollars] |                  |                  |
|--|--------------------------|------------------|------------------|
|  | 2012<br>Enacted          | 2013<br>Estimate | 2014<br>Estimate |
| <b>Budget Authority:</b>   |                          |                  |                  |
| Safety and Operations .....  | 179                      | 180              | 185              |
| Railroad Safety Technology Program .....                                       | 0                        | 0                | 0                |
| Railroad Research and Development .....  | 35                       | 35               | 35               |
| Grants to Amtrak .....   | 0                        | 118              | 0                |
| Current Passenger Rail Service (CA) (TF) (M) .....                             | 0                        | 0                | 2,700            |
| Research, Development, and Technology (CA) (TF) (M) .....                      | 0                        | 0                | 55               |
| Rail Service Improvement Program (CA) (TF) (M) .....                           | 0                        | 0                | 3,660            |
| Rail Line Relocation .....   | 0                        | 0                | 0                |
| Intercity Passenger Rail Grant Program .....                                   | 0                        | 0                | 0                |
| Capital and Debt Service Grants to Amtrak (Rebased) (M) .....                  | 952                      | 958              | 0                |
| Operating Subsidy Grants to Amtrak (Rebased) (M) .....                         | 466                      | 469              | 0                |
| Capital Grants to Amtrak (Recovery Act) .....                                  | 0                        | 0                | 0                |
| Capital Assistance for High Speed Rail and Intercity Passenger<br>Grants ..... | 0                        | 0                | 0                |
| Northeast Corridor Improvement Program .....                                   | 0                        | 0                | 0                |
| Railroad Rehabilitation and Repair Program .....                               | 0                        | 0                | 0                |
| Pennsylvania Station Redevelopment Project .....                               | 0                        | 0                | 0                |
| Railroad Rehabilitation and Improvement Program (M) .....                      | 17                       | 33               | 0                |
| Next Generation High Speed Rail .....  | 0                        | 0                | 0                |
| Total Budget Authority-Discretionary .....                                     | 214                      | 333              | 220              |
| Total Budget Authority-Mandatory .....   | 1,435                    | 1,460            | 6,415            |
| Total Budget Authority-Net .....   | 1,649                    | 1,793            | 6,635            |
| <b>Outlays:</b>  |                          |                  |                  |
| Safety and Operations .....  | 257                      | 243              | 185              |
| Railroad Safety Technology Program .....                                       | 17                       | 13               | 12               |
| Railroad Research and Development .....  | 41                       | 36               | 37               |
| Current Passenger Rail Service (CA) (TF) (M) .....                             | 0                        | 0                | 1,555            |
| Research, Development, and Technology (CA) (TF) (M) .....                      | 0                        | 0                | 7                |
| Rail Service Improvement Program (CA) (TF) (M) .....                           | 0                        | 0                | 225              |
| Rail Line Relocation .....   | 12                       | 20               | 20               |
| Intercity Passenger Rail Grant Program .....                                   | 8                        | 13               | 20               |
| Capital and Debt Service Grants to Amtrak (Rebased) (M) .....                  | 951                      | 992              | 0                |
| Operating Subsidy Grants to Amtrak (Rebased) (M) .....                         | 466                      | 469              | 0                |
| Capital Assistance for High Speed Rail and Intercity Passenger<br>Grants ..... | 513                      | 1,097            | 2,256            |
| Grants to Amtrak .....   | 1                        | 89               | 30               |
| Grants to Amtrak (ARRA) .....  | 3                        | 2                | 0                |
| Northeast Corridor Improvement Program .....                                   | 0                        | 1                | 1                |
| Railroad Rehabilitation and Repair Program .....                               | 4                        | 5                | 0                |
| Pennsylvania Station Redevelopment Project .....                               | 4                        | 13               | 13               |

|   |       |       |       |
|---|-------|-------|-------|
| Railroad Rehabilitation and Improvement Program (M) ..... | 17    | 33    | 0     |
| Next Generation High-Speed Rail .....                     | 1     | 3     | 3     |
| Total Outlays-Discretionary .....                         | 861   | 1,535 | 2,577 |
| Total Outlays-Mandatory .....                             | 1,434 | 1,494 | 1,787 |
| Total Outlays-Net .....                                   | 2,295 | 3,029 | 4,364 |

|  |     |     |     |
|--|-----|-----|-----|
| 4033 Non-Federal sources .....                                       | -2  | -4  | -4  |
| 4040 Offsets against gross budget authority and outlays (total) .... | -3  | -5  | -5  |
| 4070 Budget authority, net (discretionary) .....                     | 179 | 180 | 185 |
| 4080 Outlays, net (discretionary) .....                              | 257 | 243 | 185 |
| 4180 Budget authority, net (total) .....                             | 179 | 180 | 185 |
| 4190 Outlays, net (total) .....                                      | 257 | 243 | 185 |

**Federal Funds**

## FEDERAL RAILROAD ADMINISTRATION

## SAFETY AND OPERATIONS

*For necessary expenses of the Federal Railroad Administration, not otherwise provided for, \$184,500,000, of which \$12,400,000 shall remain available until expended .*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69–0700–0–1–401                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Salaries and expenses .....                                   | 173         | 191     | 188       |
| 0006 Alaska railroad liabilities .....                             | 2           | 2       | 2         |
| 0100 Total direct program .....                                    | 175         | 193     | 190       |
| 0799 Total direct obligations .....                                | 175         | 193     | 190       |
| 0801 Reimbursable services .....                                   | 3           | 3       | 3         |
| 0900 Total new obligations .....                                   | 175         | 196     | 193       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 17      | 7         |
| 1021 Recoveries of prior year unpaid obligations .....             | 6           | 1       | 1         |
| 1050 Unobligated balance (total) .....                             | 11          | 18      | 8         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 179         | 180     | 185       |
| 1160 Appropriation, discretionary (total) .....                    | 179         | 180     | 185       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | 5       | 5         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           | 5       | 5         |
| 1900 Budget authority (total) .....                                | 182         | 185     | 190       |
| 1930 Total budgetary resources available .....                     | 193         | 203     | 198       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 17          | 7       | 5         |

**Change in obligated balance:**

|  |      |       |       |
|--|------|-------|-------|
| Unpaid obligations:  |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 222  | 139   | 86    |
| 3010 Obligations incurred, unexpired accounts .....                  | 175  | 196   | 193   |
| 3011 Obligations incurred, expired accounts .....                    | 7    | ..... | ..... |
| 3020 Outlays (gross) .....   | -260 | -248  | -190  |
| 3031 Unpaid obligations transferred from other accts [70–0560] ..... | 10   | ..... | ..... |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -6   | -1    | -1    |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -9   | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                           | 139  | 86    | 88    |
| Memorandum (non-add) entries:  |      |       |       |
| 3100 Obligated balance, start of year .....                          | 222  | 139   | 86    |
| 3200 Obligated balance, end of year .....                            | 139  | 86    | 88    |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 182 | 185 | 190 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 149 | 137 | 141 |
| 4011 Outlays from discretionary balances .....      | 111 | 111 | 49  |
| 4020 Outlays, gross (total) .....                   | 260 | 248 | 190 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | -1  | -1  | -1  |

Funds requested in the Safety and Operations account support the Federal Railroad Administration's (FRA) personnel and administrative expenses, the cost of rail safety inspectors, and other safety-related program activities including contracts.

**Object Classification** (in millions of dollars)

| Identification code 69–0700–0–1–401   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>  |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....  | 83          | 87      | 88        |
| 11.3 Other than full-time permanent .....   | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....   | 1           | 3       | 2         |
| 11.9 Total personnel compensation .....   | 85          | 91      | 91        |
| 12.1 Civilian personnel benefits .....  | 27          | 28      | 29        |
| 21.0 Travel and transportation of persons .....                                       | 9           | 11      | 11        |
| 23.1 Rental payments to GSA .....   | 6           | 7       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges .....                       | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....   | 2           | 10      | 10        |
| 25.2 Other services from non-Federal sources .....                                    | 3           | 3       | 2         |
| 25.3 Other goods and services from Federal sources .....                              | 30          | 31      | 28        |
| 25.4 Operation and maintenance of facilities .....                                    | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....                                     | 4           | 5       | 5         |
| 31.0 Equipment .....  | 2           | 2       | 1         |
| 41.0 Grants, subsidies, and contributions .....                                       | 1           | 1       | 2         |
| 42.0 Insurance claims and indemnities .....   | 1           | 1       | 1         |
| 99.0 Direct obligations .....   | 173         | 193     | 190       |
| 99.0 Reimbursable obligations .....   | 3           | 3       | 3         |
| 25.2 Allocation Account - reimbursable: Other services from non-Federal sources ..... | 2           | .....   | .....     |
| 99.9 Total new obligations .....  | 175         | 196     | 193       |

**Employment Summary**

| Identification code 69–0700–0–1–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 859         | 859     | 882       |

## RAILROAD RESEARCH AND DEVELOPMENT

*For necessary expenses for railroad research and development, \$35,250,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69–0745–0–1–401           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>       |             |         |           |
| 0001 Railroad system issues .....             | 3           | 3       | 4         |
| 0002 Human factors .....                      | 3           | 4       | 4         |
| 0003 Rolling stock and components .....       | 2           | 4       | 3         |
| 0004 Track and structures .....               | 4           | 6       | 5         |
| 0005 Track and train interaction .....        | 4           | 4       | 3         |
| 0006 Train control .....                      | 10          | 8       | 6         |
| 0007 Grade crossings .....                    | 5           | 3       | 2         |
| 0008 Hazardous materials transportation ..... | 1           | 2       | 1         |
| 0009 Train occupant protection .....          | 5           | 4       | 4         |
| 0010 R&D facilities and test equipment .....  | 3           | 3       | 3         |
| 0100 Total direct program .....               | 40          | 41      | 35        |
| 0799 Total direct obligations .....           | 40          | 41      | 35        |
| 0801 Reimbursable program activity .....      | 1           | 1       | 1         |

RAILROAD RESEARCH AND DEVELOPMENT—Continued  
Program and Financing—Continued

| Identification code 69-0745-0-1-401                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 0900   | Total new obligations .....                                   | 41          | 42      | 36        |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 14          | 12      | 6         |
| 1021   | Recoveries of prior year unpaid obligations .....             | 3           |         |           |
| 1050   | Unobligated balance (total) .....                             | 17          | 12      | 6         |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 35          | 35      | 35        |
| 1160   | Appropriation, discretionary (total) .....                    | 35          | 35      | 35        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 1           | 1       | 1         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900   | Budget authority (total) .....                                | 36          | 36      | 36        |
| 1930   | Total budgetary resources available .....                     | 53          | 48      | 42        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 12          | 6       | 6         |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 51          | 47      | 52        |
| 3010   | Obligations incurred, unexpired accounts .....                | 41          | 42      | 36        |
| 3020   | Outlays (gross) .....   | -42         | -37     | -38       |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | -3          |         |           |
| 3050   | Unpaid obligations, end of year .....                         | 47          | 52      | 50        |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -1      | -1        |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -1          | -1      | -1        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 50          | 46      | 51        |
| 3200   | Obligated balance, end of year .....                          | 46          | 51      | 49        |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 36          | 36      | 36        |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 15          | 6       | 6         |
| 4011   | Outlays from discretionary balances .....                     | 27          | 31      | 32        |
| 4020   | Outlays, gross (total) .....                                  | 42          | 37      | 38        |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | -1          | -1      | -1        |
| 4180   | Budget authority, net (total) .....                           | 35          | 35      | 35        |
| 4190   | Outlays, net (total) .....                                    | 41          | 36      | 37        |

Funding requested in the Railroad Research and Development Program provides science and technology support for Federal Railroad Administration's rail safety rulemaking and enforcement efforts. In addition to improving safety, the program makes significant contributions towards the Department of Transportation's (DOT) state of good repair, economic competitiveness, and environmental sustainability goals. The program focuses on the following areas of research:

**Track and Structures Research.**—To reduce derailments due to track related causes.

**Rolling Stock Research.**—To reduce derailments caused by equipment failures and to reduce consequences of derailments should they occur.

**Train Control and Communications Research.**—To reduce train collisions by facilitating the implementation of Positive Train Control and to reduce highway-rail grade crossing and trespass accidents.

**Human Factors Research.**—To reduce accidents caused by human error.

**Railroad System Issues.**—Studies include the prioritization of research and development (R&D) projects and addressing DOT goals other than safety.

**Rail Cooperative Research Program.**—To engage railroads, States, technology providers, and university researchers in the R&D program.

## Object Classification (in millions of dollars)

| Identification code 69-0745-0-1-401 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.3                                | Other goods and services from Federal sources ..... | 7           | 8       | 3         |
| 25.4                                | Operation and maintenance of facilities .....       | 2           | 2       | 4         |
| 25.5                                | Research and development contracts .....            | 27          | 27      | 27        |
| 41.0                                | Grants, subsidies, and contributions .....          | 4           | 4       | 1         |
| 99.0                                | Direct obligations .....                            | 40          | 41      | 35        |
| 99.0                                | Reimbursable obligations .....                      | 1           | 1       | 1         |
| 99.9                                | Total new obligations .....                         | 41          | 42      | 36        |

## PENNSYLVANIA STATION REDEVELOPMENT PROJECT

## Program and Financing (in millions of dollars)

| Identification code 69-0723-0-1-401       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 55          | 51      | 38        |
| 3020                                      | Outlays (gross) .....                            | -4          | -13     | -13       |
| 3050                                      | Unpaid obligations, end of year .....            | 51          | 38      | 25        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 55          | 51      | 38        |
| 3200                                      | Obligated balance, end of year .....             | 51          | 38      | 25        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| Outlays, gross:                           |  |             |         |           |
| 4011                                      | Outlays from discretionary balances .....        | 4           | 13      | 13        |
| 4190                                      | Outlays, net (total) .....                       | 4           | 13      | 13        |

Funds are used to redevelop the Pennsylvania Station in New York City, which involves renovating the James A. Farley Post Office building. Funding for this project was included in the Grants to the National Railroad Passenger Corporation appropriation in 1995 through 1997, and the Northeast Corridor Improvement Program in 1998. In 2000, FRA received an advance appropriation of \$20 million for 2001, 2002, and 2003. In 2001, the Congress specified that the \$20 million advance appropriation for the Farley Building be used exclusively for fire and life safety initiatives. No new funds are requested for this program in 2014.

## GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

## Program and Financing (in millions of dollars)

| Identification code 69-0704-0-1-401     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0004                                    | Amtrak Asset Valuation .....                     | 1           |         |           |
| 0005                                    | System Eng / Program Mgmt .....                  |             | 1       |           |
| 0006                                    | Operating Grant Sandy Recovery .....             |             | 32      |           |
| 0007                                    | Capital And Debt Grant Sandy Mitigation .....    |             | 86      |           |
| 0900                                    | Total new obligations .....                      | 1           | 119     |           |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 2           | 1       |           |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1100                                    | Appropriation .....                              |             | 118     |           |
| 1160                                    | Appropriation, discretionary (total) .....       |             | 118     |           |
| 1930                                    | Total budgetary resources available .....        | 2           | 119     |           |

|   |  |    |     |     |
|---|--|----|-----|-----|
| Memorandum (non-add) entries:             |  |    |     |     |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 1  |     |     |
| <b>Change in obligated balance:</b>       |  |    |     |     |
| Unpaid obligations:                       |  |    |     |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 5  | 2   | 30  |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 1  | 119 |     |
| 3020                                      | Outlays (gross) .....                            | -4 | -91 | -30 |
| 3050                                      | Unpaid obligations, end of year .....            | 2  | 30  |     |
| Memorandum (non-add) entries:             |  |    |     |     |
| 3100                                      | Obligated balance, start of year .....           | 5  | 2   | 30  |
| 3200                                      | Obligated balance, end of year .....             | 2  | 30  |     |
| <b>Budget authority and outlays, net:</b> |  |    |     |     |
| Discretionary:                            |  |    |     |     |
| 4000                                      | Budget authority, gross .....                    |    | 118 |     |
| Outlays, gross:                           |  |    |     |     |
| 4010                                      | Outlays from new discretionary authority .....   |    | 89  |     |
| 4011                                      | Outlays from discretionary balances .....        | 4  | 2   | 30  |
| 4020                                      | Outlays, gross (total) .....                     | 4  | 91  | 30  |
| 4180                                      | Budget authority, net (total) .....              |    | 118 |     |
| 4190                                      | Outlays, net (total) .....                       | 4  | 91  | 30  |

The National Railroad Passenger Corporation (Amtrak) was established in 1970 through the Rail Passenger Service Act. Amtrak is operated and managed as a for-profit corporation with all Board members appointed by the President, with the advice and consent of the Senate. Amtrak is not an agency or instrument of the U.S. Government, though since the railroad's creation FRA has provided it annual grants for operating and capital costs.

Prior to 2006, FRA received annual appropriations in this account for grants to Amtrak. Since that time, FRA has received individual appropriations for capital, operating, and efficiency incentive grants.

In addition, the American Recovery and Reinvestment Act of 2009 (Recovery Act) provided \$1.3 billion to Amtrak for capital grants, of which \$450 million was for capital security grants and \$850 million was for improving infrastructure.

FRA received \$118 million in this account from the Disaster Relief Appropriations Act of FY 2013 (PL 113-2) to fund Amtrak's recovery from Superstorm Sandy, including \$32 million for repair work and \$86 million for disaster mitigation projects.

#### Object Classification (in millions of dollars)

| Identification code 69-0704-0-1-401                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       |           |
| 41.0 Grants, subsidies, and contributions .....          |             | 118     |           |
| 99.9 Total new obligations .....                         | 1           | 119     |           |

#### OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 69-0121-0-1-401                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Operating subsidy grants .....                  | 466         | 469     |           |
| 0900 Total new obligations (object class 41.0) ..... | 466         | 469     |           |

|   |  |      |      |  |
|---|--|------|------|--|
| <b>Budgetary Resources:</b>               |  |      |      |  |
| Budget authority:                         |  |      |      |  |
| Appropriations, discretionary:            |  |      |      |  |
| 1100                                      | Appropriation .....                            | 466  | 469  |  |
| 1160                                      | Appropriation, discretionary (total) .....     | 466  | 469  |  |
| 1930                                      | Total budgetary resources available .....      | 466  | 469  |  |
| <b>Change in obligated balance:</b>       |  |      |      |  |
| Unpaid obligations:                       |  |      |      |  |
| 3010                                      | Obligations incurred, unexpired accounts ..... | 466  | 469  |  |
| 3020                                      | Outlays (gross) .....                          | -466 | -469 |  |
| <b>Budget authority and outlays, net:</b> |  |      |      |  |
| Discretionary:                            |  |      |      |  |
| 4000                                      | Budget authority, gross .....                  | 466  | 469  |  |
| Outlays, gross:                           |  |      |      |  |
| 4010                                      | Outlays from new discretionary authority ..... | 466  | 469  |  |
| 4180                                      | Budget authority, net (total) .....            | 466  | 469  |  |
| 4190                                      | Outlays, net (total) .....                     | 466  | 469  |  |

#### Summary of Budget Authority and Outlays (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Enacted/requested:                         |             |         |           |
| Budget Authority .....                     | 466         | 469     |           |
| Outlays .....                              | 466         | 469     |           |
| Amounts included in the adjusted baseline: |             |         |           |
| Budget Authority .....                     |             |         | 478       |
| Outlays .....                              |             |         | 478       |
| Legislative proposal, subject to PAYGO:    |             |         |           |
| Budget Authority .....                     |             |         | -478      |
| Outlays .....                              |             |         | -478      |
| Total:                                     |             |         |           |
| Budget Authority .....                     | 466         | 469     |           |
| Outlays .....                              | 466         | 469     |           |

Under the Administration's rail authorization proposal, FRA will support the National Railroad Passenger Corporation (Amtrak) operations through the Current Passenger Rail Service program of the new National High Performance Rail System, funded within the Rail Account of the Transportation Trust Fund.

#### OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION

(Amounts included in the adjusted baseline)

#### Program and Financing (in millions of dollars)

| Identification code 69-0121-7-1-401 | 2012 actual                                      | 2013 CR | 2014 est. |
|-------------------------------------|--|---------|-----------|
| <b>Budgetary Resources:</b>         |  |         |           |
| Budget authority:                   |  |         |           |
| Appropriations, discretionary:      |  |         |           |
| 1100                                | Appropriation .....                              | -469    |           |
| 1160                                | Appropriation, discretionary (total) .....       | -469    |           |
| Appropriations, mandatory:          |  |         |           |
| 1200                                | Appropriation .....                              | 469     | 478       |
| 1260                                | Appropriations, mandatory (total) .....          | 469     | 478       |
| 1900                                | Budget authority (total) .....                   |         | 478       |
| 1930                                | Total budgetary resources available .....        |         | 478       |
| Memorandum (non-add) entries:       |  |         |           |
| 1941                                | Unexpired unobligated balance, end of year ..... |         | 478       |
| <b>Change in obligated balance:</b> |  |         |           |
| Unpaid obligations:                 |  |         |           |
| 3020                                | Outlays (gross) .....                            |         | -478      |
| 3050                                | Unpaid obligations, end of year .....            |         | -478      |
| Memorandum (non-add) entries:       |  |         |           |
| 3200                                | Obligated balance, end of year .....             |         | -478      |

|   |  |      |     |
|---|--|------|-----|
| <b>Budget authority and outlays, net:</b> |  |      |     |
| Discretionary:                            |  |      |     |
| 4000                                      | Budget authority, gross .....                  | -469 |     |
| Outlays, gross:                           |  |      |     |
| 4010                                      | Outlays from new discretionary authority ..... | -469 |     |
| Mandatory:                                |  |      |     |
| 4090                                      | Budget authority, gross .....                  | 469  | 478 |

OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER  
CORPORATION—Continued

## Program and Financing—Continued

| Identification code 69-0121-7-1-401             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... |             | 469     | 478       |
| 4180 Budget authority, net (total) .....        |             |         | 478       |
| 4190 Outlays, net (total) .....                 |             |         | 478       |

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. This schedule reclassifies 2013 estimated and baseline budget authority and outlays as mandatory, for comparability purposes, in order to calculate the spending increase above the baseline subject to PAYGO.

OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER  
CORPORATION

(Adjustments for year-to-year comparability)

## Program and Financing (in millions of dollars)

| Identification code 69-0121-9-1-401                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            | -466        |         |           |
| 1160 Appropriation, discretionary (total) .....     | -466        |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1200 Appropriation .....                            | 466         |         |           |
| 1260 Appropriations, mandatory (total) .....        | 466         |         |           |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | -466        |         |           |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | -466        |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  | 466         |         |           |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     | 466         |         |           |

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. This schedule reclassifies 2012 enacted budget authority and outlays as mandatory, for comparability purposes.

OPERATING SUBSIDY GRANTS TO THE NATIONAL RAILROAD PASSENGER  
CORPORATION

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 69-0121-4-1-401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             |         | -478      |
| 1260 Appropriations, mandatory (total) .....          |             |         | -478      |
| 1900 Budget authority (total) .....                   |             |         | -478      |
| 1930 Total budgetary resources available .....        |             |         | -478      |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | -478      |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3020 Outlays (gross) .....                            |             |         | 478       |
| 3050 Unpaid obligations, end of year .....            |             |         | 478       |

|   |  |  |     |
|---|--|--|-----|
| Memorandum (non-add) entries:             |  |  |     |
| 3200 Obligated balance, end of year ..... |  |  | 478 |

## Budget authority and outlays, net:

|   |  |  |      |
|---|--|--|------|
| Mandatory:                                      |  |  |      |
| 4090 Budget authority, gross .....              |  |  | -478 |
| Outlays, gross:                                 |  |  |      |
| 4100 Outlays from new mandatory authority ..... |  |  | -478 |
| 4180 Budget authority, net (total) .....        |  |  | -478 |
| 4190 Outlays, net (total) .....                 |  |  | -478 |

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER  
CORPORATION

## Program and Financing (in millions of dollars)

| Identification code 69-0125-0-1-401                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 General Capital Improvements .....                            | 622         | 625     |           |
| 0002 Debt Service Grants .....                                     | 271         | 273     |           |
| 0005 Contract Oversight .....                                      | 1           | 19      |           |
| 0006 Northeast Corridor Operations and Improvement Program .....   | 9           | 10      |           |
| 0007 American Disability Act (ADA) .....                           | 50          | 50      |           |
| 0799 Total direct obligations .....                                | 953         | 977     |           |
| 0881 Early Buy Outs (EBO) .....                                    | 310         | 59      |           |
| 0889 Reimbursable program activities, subtotal .....               | 310         | 59      |           |
| 0900 Total new obligations .....                                   | 1,263       | 1,036   |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 20          | 19      |           |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 20          | 19      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 952         | 958     |           |
| 1160 Appropriation, discretionary (total) .....                    | 952         | 958     |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 308         | 59      |           |
| 1801 Change in uncollected payments, Federal sources .....         | 2           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 310         | 59      |           |
| 1900 Budget authority (total) .....                                | 1,262       | 1,017   |           |
| 1930 Total budgetary resources available .....                     | 1,282       | 1,036   |           |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 19          |         |           |

## Change in obligated balance:

|   |        |        |  |
|---|--------|--------|--|
| Unpaid obligations:   |        |        |  |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 11     | 15     |  |
| 3010 Obligations incurred, unexpired accounts .....               | 1,263  | 1,036  |  |
| 3020 Outlays (gross) .....  | -1,259 | -1,051 |  |
| 3050 Unpaid obligations, end of year .....                        | 15     |        |  |
| Uncollected payments:   |        |        |  |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |        | -2     |  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -2     |        |  |
| 3071 Change in uncollected pymts, Fed sources, expired .....      |        | 2      |  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -2     |        |  |
| Memorandum (non-add) entries:                                     |        |        |  |
| 3100 Obligated balance, start of year .....                       | 11     | 13     |  |
| 3200 Obligated balance, end of year .....                         | 13     |        |  |

## Budget authority and outlays, net:

|   |     |     |  |
|---|-----|-----|--|
| Discretionary:                                      |     |     |  |
| 4000 Budget authority, gross .....                  | 952 | 958 |  |
| Outlays, gross:                                     |     |     |  |
| 4010 Outlays from new discretionary authority ..... | 941 | 958 |  |
| 4011 Outlays from discretionary balances .....      | 10  | 34  |  |
| 4020 Outlays, gross (total) .....                   | 951 | 992 |  |



|   |   |      |     |       |
|---|---|------|-----|-------|
| Mandatory:  |   |      |     |       |
| 4090  | Budget authority, gross .....                             | 310  | 59  | ..... |
| Outlays, gross:   |   |      |     |       |
| 4100  | Outlays from new mandatory authority .....                | 308  | 59  | ..... |
| Offsets against gross budget authority and outlays:     |   |      |     |       |
| Offsetting collections (collected) from:                |   |      |     |       |
| 4120  | Federal sources .....                                     | -308 | -59 | ..... |
| Additional offsets against gross budget authority only: |   |      |     |       |
| 4140  | Change in uncollected pymts, Fed sources, unexpired ..... | -2   |     | ..... |
| 4180  | Budget authority, net (total) .....                       | 952  | 958 | ..... |
| 4190  | Outlays, net (total) .....                                | 951  | 992 | ..... |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Enacted/requested:                         |             |         |           |
| Budget Authority .....                     | 952         | 958     | .....     |
| Outlays .....                              | 951         | 992     | .....     |
| Amounts included in the adjusted baseline: |             |         |           |
| Budget Authority .....                     |             |         | 976       |
| Outlays .....                              |             |         | 976       |
| Legislative proposal, subject to PAYGO:    |             |         |           |
| Budget Authority .....                     |             |         | -976      |
| Outlays .....                              |             |         | -976      |
| Total:                                     |             |         |           |
| Budget Authority .....                     | 952         | 958     | .....     |
| Outlays .....                              | 951         | 992     | .....     |

Under the Administration's rail authorization proposal, FRA will support capital and debt service activities of the National Railroad Passenger Corporation (Amtrak) through the Current Passenger Rail Service program of the new National High Performance Rail System, funded within the Rail account of the Transportation Trust Fund.

**Object Classification** (in millions of dollars)

| Identification code 69-0125-0-1-401  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:  |             |         |           |
| 25.3 Other goods and services from Federal sources .....                           |             | 1       | .....     |
| 41.0 Grants, subsidies, and contributions .....                                    | 953         | 976     | .....     |
| 99.0 Direct obligations .....  | 953         | 977     | .....     |
| 41.0 Allocation Account - reimbursable: Grants, subsidies, and contributions ..... | 310         | 59      | .....     |
| 99.9 Total new obligations .....   | 1,263       | 1,036   | .....     |

**CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

| Identification code 69-0125-7-1-401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              |             | -958    | .....     |
| 1160 Appropriation, discretionary (total) .....       |             | -958    | .....     |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             | 958     | 976       |
| 1260 Appropriations, mandatory (total) .....          |             | 958     | 976       |
| 1900 Budget authority (total) .....                   |             |         | 976       |
| 1930 Total budgetary resources available .....        |             |         | 976       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 976       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3020 Outlays (gross) .....                            |             |         | -976      |
| 3050 Unpaid obligations, end of year .....            |             |         | -976      |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | -976      |

**Budget authority and outlays, net:**

|   |  |      |       |
|---|--|------|-------|
| Discretionary:                                      |  |      |       |
| 4000 Budget authority, gross .....                  |  | -958 | ..... |
| Outlays, gross:                                     |  |      |       |
| 4010 Outlays from new discretionary authority ..... |  | -958 | ..... |
| 4011 Outlays from discretionary balances .....      |  | -34  | ..... |
| 4020 Outlays, gross (total) .....                   |  | -992 | ..... |
| Mandatory:  |  |      |       |
| 4090 Budget authority, gross .....                  |  | 958  | 976   |
| Outlays, gross:                                     |  |      |       |
| 4100 Outlays from new mandatory authority .....     |  | 958  | 976   |
| 4101 Outlays from mandatory balances .....          |  | 34   | ..... |
| 4110 Outlays, gross (total) .....                   |  | 992  | 976   |
| 4180 Budget authority, net (total) .....            |  |      | 976   |
| 4190 Outlays, net (total) .....                     |  |      | 976   |

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. This schedule reclassifies 2013 estimated and baseline budget authority and outlays as mandatory, for comparability purposes, in order to calculate the spending increase above the baseline subject to PAYGO.

**CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

(Adjustments for year-to-year comparability)

**Program and Financing** (in millions of dollars)

| Identification code 69-0125-9-1-401                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            | -952        |         | .....     |
| 1160 Appropriation, discretionary (total) .....     | -952        |         | .....     |
| Appropriations, mandatory:                          |             |         |           |
| 1200 Appropriation .....                            | 952         |         | .....     |
| 1260 Appropriations, mandatory (total) .....        | 952         |         | .....     |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | -952        |         | .....     |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | -941        |         | .....     |
| 4011 Outlays from discretionary balances .....      | -10         |         | .....     |
| 4020 Outlays, gross (total) .....                   | -951        |         | .....     |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  | 952         |         | .....     |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     | 941         |         | .....     |
| 4101 Outlays from mandatory balances .....          | 10          |         | .....     |
| 4110 Outlays, gross (total) .....                   | 951         |         | .....     |

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. This schedule reclassifies 2012 enacted budget authority and outlays as mandatory, for comparability purposes.

**CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 69-0125-4-1-401            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                    |             |         |           |
| Budget authority:                              |             |         |           |
| Appropriations, mandatory:                     |             |         |           |
| 1200 Appropriation .....                       |             |         | -976      |
| 1260 Appropriations, mandatory (total) .....   |             |         | -976      |
| 1930 Total budgetary resources available ..... |             |         | -976      |

CAPITAL AND DEBT SERVICE GRANTS TO THE NATIONAL RAILROAD PASSENGER CORPORATION—Continued  
Program and Financing—Continued

| Identification code 69-0125-4-1-401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | -976      |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3020 Outlays (gross) .....                            |             |         | 976       |
| 3050 Unpaid obligations, end of year .....            |             |         | 976       |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 976       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             |         | -976      |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             |         | -976      |
| 4180 Budget authority, net (total) .....              |             |         | -976      |
| 4190 Outlays, net (total) .....                       |             |         | -976      |

The Administration proposes to move this General Fund account into the Transportation Trust Fund, and finance intercity passenger rail programs with mandatory resources. The negative figures in this schedule are necessary to adjust the mandatory budget authority downward so that the proposal properly accounts for requested program growth in the new trust fund accounts.

EMERGENCY RAILROAD REHABILITATION AND REPAIR

Program and Financing (in millions of dollars)

| Identification code 69-0124-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Emergency Railroad Rehabilitation and Repair .....           | 3           | 1       |           |
| 0900 Total new obligations (object class 41.0) .....              | 3           | 1       |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 3           | 1       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 4           | 1       |           |
| 1930 Total budgetary resources available .....                    | 4           | 1       |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 1           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 6           | 4       |           |
| 3010 Obligations incurred, unexpired accounts .....               | 3           | 1       |           |
| 3020 Outlays (gross) .....  | -4          | -5      |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 4           |         |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 6           | 4       |           |
| 3200 Obligated balance, end of year .....                         | 4           |         |           |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 4           | 5       |           |
| 4190 Outlays, net (total) .....                                   | 4           | 5       |           |

Funding for this program was provided in a supplemental appropriation in 2008. This program provides discretionary grants to States to repair and rehabilitate Class II and Class III railroad infrastructure damaged by hurricanes, floods, and other natural disasters in areas for which the President declared a major disaster under title IV of the Robert T. Stafford Disaster Relief and

Emergency Assistance Act of 1974. No new funding is requested in fiscal year 2014 for this program.

INTERCITY PASSENGER RAIL GRANT PROGRAM

Program and Financing (in millions of dollars)

| Identification code 69-0715-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Intercity passenger rail grants .....                        | 17          | 20      |           |
| 0900 Total new obligations (object class 41.0) .....              | 17          | 20      |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 34          | 20      |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 3           |         |           |
| 1050 Unobligated balance (total) .....                            | 37          | 20      |           |
| 1930 Total budgetary resources available .....                    | 37          | 20      |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 20          |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 66          | 72      | 79        |
| 3010 Obligations incurred, unexpired accounts .....               | 17          | 20      |           |
| 3020 Outlays (gross) .....  | -8          | -13     | -20       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -3          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 72          | 79      | 59        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 66          | 72      | 79        |
| 3200 Obligated balance, end of year .....                         | 72          | 79      | 59        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 8           | 13      | 20        |
| 4190 Outlays, net (total) .....                                   | 8           | 13      | 20        |

This competitive grant program encourages state participation in its passenger rail service. Under this program, a State or States may apply for grants for up to 50 percent of the cost of capital investments necessary to support improved intercity passenger rail service that either requires no operating subsidy or for which the State or States agree to provide any needed operating subsidy. To qualify for funding, States must include intercity passenger rail service as an integral part of statewide transportation planning as required under 23 U.S.C. 135. Additionally, the specific project must be on the Statewide Transportation Improvement Plan at the time of application.

No new funds are requested for this program in 2014.

CAPITAL ASSISTANCE FOR HIGH SPEED RAIL CORRIDORS AND INTERCITY PASSENGER RAIL SERVICE

Program and Financing (in millions of dollars)

| Identification code 69-0719-0-1-401   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Capital Assistance High-Speed Rail (ARRA) Grants .....   | 214         |         |           |
| 0002 Capital Assistance High-Speed Rail (ARRA) Oversight .....  | 51          |         |           |
| 0003 Capital Assistance High-Speed Rail Corridors and IPR Service Grants .....                                  | 1,658       | 75      |           |
| 0004 Capital Assistance High-Speed Rail Corridors and IPR Service Oversight .....                               | 7           | 8       | 5         |
| 0005 Capital Assistance High-Speed Rail Corridors and IPR Service Research and Demonstrating Technologies ..... | 7           | 6       |           |
| 0006 Capital Assistance High-Speed Rail Corridors and IPR Service Planning Activities .....                     | 23          | 8       |           |
| 0900 Total new obligations .....  | 1,960       | 97      | 5         |

|   |  |       |        |        |
|---|--|-------|--------|--------|
| <b>Budgetary Resources:</b>               |  |       |        |        |
| Unobligated balance:                      |  |       |        |        |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 2,000 | 119    | 22     |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 79    |        |        |
| 1050                                      | Unobligated balance (total) .....                            | 2,079 | 119    | 22     |
| 1930                                      | Total budgetary resources available .....                    | 2,079 | 119    | 22     |
| Memorandum (non-add) entries:             |  |       |        |        |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 119   | 22     | 17     |
| <b>Change in obligated balance:</b>       |  |       |        |        |
| Unpaid obligations:                       |  |       |        |        |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 7,777 | 9,145  | 8,145  |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 1,960 | 97     | 5      |
| 3020                                      | Outlays (gross) .....  | -513  | -1,097 | -2,256 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -79   |        |        |
| 3050                                      | Unpaid obligations, end of year .....                        | 9,145 | 8,145  | 5,894  |
| Memorandum (non-add) entries:             |  |       |        |        |
| 3100                                      | Obligated balance, start of year .....                       | 7,777 | 9,145  | 8,145  |
| 3200                                      | Obligated balance, end of year .....                         | 9,145 | 8,145  | 5,894  |
| <b>Budget authority and outlays, net:</b> |  |       |        |        |
| Discretionary:                            |  |       |        |        |
| Outlays, gross:                           |  |       |        |        |
| 4011                                      | Outlays from discretionary balances .....                    | 513   | 1,097  | 2,256  |
| 4190                                      | Outlays, net (total) .....                                   | 513   | 1,097  | 2,256  |

Through this program, FRA provides capital grants to States to invest and improve intercity passenger rail service, including the development of new high-speed rail capacity. Activity in this account includes the \$8 billion provided by the American Recovery and Reinvestment Act of 2009 and an additional \$2.1 billion provided in subsequent enacted appropriations. No funds are requested in this account for 2014, as the Administration is proposing to include passenger rail (including high speed rail) within a multi-year rail authorization proposal. As part of that proposal, a new National High Performance Rail System program would be created, funded out a dedicated Rail Account of the Transportation Trust Fund. Activities currently carried out in this account would be continued in 2014 within a new Rail Service Improvement Program account.

**Object Classification** (in millions of dollars)

| Identification code 69-0719-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.3 Personnel compensation: Other than full-time permanent ..... |             | 1       | 1         |
| 25.1 Advisory and assistance services .....                       | 4           | 7       | 4         |
| 25.3 Other goods and services from Federal sources .....          | 70          | 6       |           |
| 41.0 Grants, subsidies, and contributions .....                   | 1,886       | 83      |           |
| 99.9 Total new obligations .....                                  | 1,960       | 97      | 5         |

**Employment Summary**

| Identification code 69-0719-0-1-401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 5       | 7         |

**NEXT GENERATION HIGH-SPEED RAIL****Program and Financing** (in millions of dollars)

| Identification code 69-0722-0-1-401  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                      |             |         |           |
| 0003 Grade crossing hazard mitigation/low-cost innovative technologies ..... | 1           | 5       |           |
| 0005 Corridor planning .....   | 2           | 2       |           |
| 0900 Total new obligations .....   | 3           | 7       |           |

|   |  |    |    |    |
|---|--|----|----|----|
| <b>Budgetary Resources:</b>               |  |    |    |    |
| Unobligated balance:                      |  |    |    |    |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 9  | 9  | 2  |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 3  |    |    |
| 1050                                      | Unobligated balance (total) .....                            | 12 | 9  | 2  |
| 1930                                      | Total budgetary resources available .....                    | 12 | 9  | 2  |
| Memorandum (non-add) entries:             |  |    |    |    |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 9  | 2  | 2  |
| <b>Change in obligated balance:</b>       |  |    |    |    |
| Unpaid obligations:                       |  |    |    |    |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 7  | 6  | 10 |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 3  | 7  |    |
| 3020                                      | Outlays (gross) .....  | -1 | -3 | -3 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -3 |    |    |
| 3050                                      | Unpaid obligations, end of year .....                        | 6  | 10 | 7  |
| Memorandum (non-add) entries:             |  |    |    |    |
| 3100                                      | Obligated balance, start of year .....                       | 7  | 6  | 10 |
| 3200                                      | Obligated balance, end of year .....                         | 6  | 10 | 7  |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| Outlays, gross:                           |  |    |    |    |
| 4011                                      | Outlays from discretionary balances .....                    | 1  | 3  | 3  |
| 4190                                      | Outlays, net (total) .....                                   | 1  | 3  | 3  |

The Next Generation High-Speed Rail Program funds: research, development, and technology demonstration programs and the planning and analysis required to evaluate high speed rail technology proposals. No new funds are requested for this program in 2014.

**Object Classification** (in millions of dollars)

| Identification code 69-0722-0-1-401             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 25.5 Research and development contracts .....   | 1           | 5       |           |
| 41.0 Grants, subsidies, and contributions ..... | 2           | 2       |           |
| 99.9 Total new obligations .....                | 3           | 7       |           |

**NORTHEAST CORRIDOR IMPROVEMENT PROGRAM**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69-0123-0-1-401     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Northeast Corridor Improvement Program .....     | .....       | 2       | .....     |
| 0900                                    | Total new obligations (object class 41.0) .....  | .....       | 2       | .....     |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 6           | 6       | 4         |
| 1930                                    | Total budgetary resources available .....        | 6           | 6       | 4         |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year ..... | 6           | 4       | 4         |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 ..... | .....       | .....   | 1         |
| 3010                                    | Obligations incurred, unexpired accounts .....   | .....       | 2       | .....     |
| 3020                                    | Outlays (gross) .....                            | .....       | -1      | -1        |
| 3050                                    | Unpaid obligations, end of year .....            | .....       | 1       | .....     |
| Memorandum (non-add) entries:           |  |             |         |           |
| 3100                                    | Obligated balance, start of year .....           | .....       | .....   | 1         |
| 3200                                    | Obligated balance, end of year .....             | .....       | 1       | .....     |

### NORTHEAST CORRIDOR IMPROVEMENT PROGRAM—Continued

#### Program and Financing—Continued

| Identification code 69-0123-0-1-401            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>      |             |         |           |
| Discretionary:                                 |             |         |           |
| Outlays, gross:                                |             |         |           |
| 4011 Outlays from discretionary balances ..... |             | 1       | 1         |
| 4190 Outlays, net (total) .....                |             | 1       | 1         |

This program provided funds to continue the upgrade of passenger rail service in the corridor between Washington, District of Columbia and Boston, Massachusetts. Since 2001, capital funding has been provided in the National Railroad Passenger Corporation (Amtrak) appropriation. Under the Administration's rail transportation reauthorization proposal, Federal resources for capital improvements to the Northeast Corridor will be an eligible activity under the new National High Performance Rail System, funded within the Rail Account of the Transportation Trust Fund.

### RAIL LINE RELOCATION AND IMPROVEMENT PROGRAM

#### Program and Financing (in millions of dollars)

| Identification code 69-0716-0-1-401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Rail line relocation .....                       | 13          | 38      | .....     |
| 0900 Total new obligations (object class 41.0) .....  | 13          | 38      | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 51          | 38      | .....     |
| 1930 Total budgetary resources available .....        | 51          | 38      | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 38          | .....   | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 34          | 35      | 53        |
| 3010 Obligations incurred, unexpired accounts .....   | 13          | 38      | .....     |
| 3020 Outlays (gross) .....                            | -12         | -20     | -20       |
| 3050 Unpaid obligations, end of year .....            | 35          | 53      | 33        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 34          | 35      | 53        |
| 3200 Obligated balance, end of year .....             | 35          | 53      | 33        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 12          | 20      | 20        |
| 4190 Outlays, net (total) .....                       | 12          | 20      | 20        |

This program provides Federal assistance to States for relocating or making necessary improvements to local rail lines. No new funds are requested for this program in 2014.

### RAILROAD SAFETY TECHNOLOGY PROGRAM

#### Program and Financing (in millions of dollars)

| Identification code 69-0701-0-1-401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 42          | 25      | 12        |
| 3020 Outlays (gross) .....                            | -17         | -13     | -12       |
| 3050 Unpaid obligations, end of year .....            | 25          | 12      | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 42          | 25      | 12        |
| 3200 Obligated balance, end of year .....             | 25          | 12      | .....     |

#### Budget authority and outlays, net:

|  |    |    |    |
|--|----|----|----|
| Discretionary:                                 |    |    |    |
| Outlays, gross:                                |    |    |    |
| 4011 Outlays from discretionary balances ..... | 17 | 13 | 12 |
| 4190 Outlays, net (total) .....                | 17 | 13 | 12 |

The Railroad Safety Technology Program is a competitive grant program for the deployment of train control technologies to passenger and freight rail carriers, railroad suppliers, and State and local governments. Projects may include the deployment of train control technologies, train control component technologies, processor-based technologies, electronically controlled pneumatic brakes, rail integrity inspection systems, rail integrity warning systems, switch position indicators and monitors, remote control power switch technologies, track integrity circuit technologies, and other new technologies that improve the safety of railroad systems.

FRA gives priority to projects that make technologies interoperable between railroad systems; accelerate the deployment of train control technology on high risk corridors, such as those that have high volumes of hazardous materials shipments, or over which commuter or passenger trains operate; or benefit both passenger and freight safety and efficiency.

No new funds are requested in this account for fiscal year 2014.

### RAILROAD REHABILITATION AND IMPROVEMENT FINANCING PROGRAM

*The Secretary of Transportation is authorized to issue direct loans and loan guarantees pursuant to sections 501 through 504 of the Railroad Revitalization and Regulatory Reform Act of 1976 (Public Law 94-210), as amended, such authority to exist as long as any such direct loan or loan guarantee is outstanding: Provided, That, pursuant to section 502 of such Act, as amended, no new direct loans or loan guarantee commitments shall be made using Federal funds for the credit risk premium during fiscal year 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 69-0750-0-1-401                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| Credit program obligations:                               |             |         |           |
| 0705 Reestimates of direct loan subsidy .....             |             | 12      | .....     |
| 0706 Interest on reestimates of direct loan subsidy ..... | 17          | 21      | .....     |
| 0900 Total new obligations (object class 43.0) .....      | 17          | 33      | .....     |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                |             |         |           |
| 1200 Appropriation .....                                  | 17          | 33      | .....     |
| 1260 Appropriations, mandatory (total) .....              | 17          | 33      | .....     |
| 1930 Total budgetary resources available .....            | 17          | 33      | .....     |
| <b>Change in obligated balance:</b>                       |             |         |           |
| Unpaid obligations:                                       |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....       | 17          | 33      | .....     |
| 3020 Outlays (gross) .....                                | -17         | -33     | .....     |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                        | 17          | 33      | .....     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....           | 17          | 33      | .....     |
| 4180 Budget authority, net (total) .....                  | 17          | 33      | .....     |
| 4190 Outlays, net (total) .....                           | 17          | 33      | .....     |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 69-0750-0-1-401                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:           |             |         |           |
| 115001 Railroad Rehabilitation and Improvement Financing Direct Loans | 139         | 600     | 600       |
| 115999 Total direct loan levels                                       | 139         | 600     | 600       |
| Direct loan subsidy (in percent):                                     |             |         |           |
| 132001 Railroad Rehabilitation and Improvement Financing Direct Loans | -2.12       | 0.00    | 0.00      |
| 132999 Weighted average subsidy rate                                  | -2.12       | 0.00    | 0.00      |
| Direct loan subsidy budget authority:                                 |             |         |           |
| 133001 Railroad Rehabilitation and Improvement Financing Direct Loans | -3          |         |           |
| 133999 Total subsidy budget authority                                 | -3          |         |           |
| Direct loan subsidy outlays:  |             |         |           |
| 134001 Railroad Rehabilitation and Improvement Financing Direct Loans | -3          |         |           |
| 134999 Total subsidy outlays  | -3          |         |           |
| Direct loan upward reestimates:                                       |             |         |           |
| 135001 Railroad Rehabilitation and Improvement Financing Direct Loans | 17          | 33      |           |
| 135999 Total upward reestimate budget authority                       | 17          | 33      |           |
| Direct loan downward reestimates:                                     |             |         |           |
| 137001 Railroad Rehabilitation and Improvement Financing Direct Loans | -16         | -20     |           |
| 137999 Total downward reestimate budget authority                     | -16         | -20     |           |
| Guaranteed loan levels supportable by subsidy budget authority:       |             |         |           |
| 215002 Railroad Rehabilitation and Improvement Financing Guarantees   |             | 100     | 100       |
| 215999 Total loan guarantee levels                                    |             | 100     | 100       |
| Guaranteed loan subsidy (in percent):                                 |             |         |           |
| 232002 Railroad Rehabilitation and Improvement Financing Guarantees   |             | 0.00    | 0.00      |
| 232999 Weighted average subsidy rate                                  |             | 0.00    | 0.00      |

The Transportation Equity Act of the 21st Century of 1998 established the Railroad Rehabilitation and Improvement Financing loan and loan guarantee program. The Safe, Accountable, Flexible, Efficient Transportation Equity Act of 2005: A Legacy for Users, changed the program to allow FRA to issue direct loan and loan guarantees up to \$35,000,000,000, and it required that no less than \$7,000,000,000 be reserved for projects primarily benefiting freight railroads other than class I carriers. The funding may be used: (1) to acquire, improve, or rehabilitate intermodal or rail equipment or facilities, including track, components of track, bridges, yards, buildings, or shops; (2) to refinance debt; or (3) to develop and establish new intermodal or railroad facilities.

The program does not require a subsidy appropriation to make loans since borrowers contribute the subsidy amount in the form of a credit risk premium.

**RRIF GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 69-4288-0-3-401                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1              |             |         | 3         |
| <b>Financing authority:</b>                                  |             |         |           |
| Spending authority from offsetting collections, mandatory:   |             |         |           |
| 1800 Offsetting collections (credit risk premium)            |             | 3       | 3         |
| 1850 Spending auth from offsetting collections, mand (total) |             | 3       | 3         |
| 1930 Total budgetary resources available                     |             | 3       | 6         |
| <b>Memorandum (non-add) entries:</b>                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year              |             | 3       | 6         |

**Financing authority and disbursements, net:**

|  |    |  |    |
|--|----|--|----|
| Mandatory:   |    |  |    |
| 4090 Financing authority, gross                              | 3  |  | 3  |
| Offsets against gross financing authority and disbursements: |    |  |    |
| Offsetting collections (collected) from:                     |    |  |    |
| 4123 Non-Federal sources                                     | -3 |  | -3 |
| 4190 Financing disbursements, net (total)                    | -3 |  | -3 |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 69-4288-0-3-401   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Position with respect to appropriations act limitation on commitments:</b> |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation                       |             | 100     | 100       |
| 2150 Total guaranteed loan commitments  |             | 100     | 100       |
| <b>Cumulative balance of guaranteed loans outstanding:</b>                    |             |         |           |
| 2210 Outstanding, start of year   |             |         | 95        |
| 2231 Disbursements of new guaranteed loans                                    |             | 100     | 100       |
| 2251 Repayments and prepayments   |             | -5      | -5        |
| 2290 Outstanding, end of year   |             | 95      | 190       |
| <b>Memorandum:</b>  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year           |             | 95      | 190       |

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 69-4420-0-3-401                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations  | 139         | 600     | 600       |
| 0713 Payment of interest to Treasury                                      | 32          | 38      | 38        |
| 0740 Negative subsidy obligations   | 3           |         |           |
| 0742 Downward reestimate paid to receipt account                          | 16          | 19      |           |
| 0743 Interest on downward reestimates                                     |             | 1       |           |
| 0900 Total new obligations  | 190         | 658     | 638       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1                           | 17          | 5       | 21        |
| <b>Financing authority:</b>   |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority  | 143         | 600     | 600       |
| 1440 Borrowing authority, mandatory (total)                               | 143         | 600     | 600       |
| Spending authority from offsetting collections, mandatory:                |             |         |           |
| 1800 Offsetting collections (interest on uninvested funds)                | 7           | 3       | 3         |
| 1800 Offsetting collections (principal-borrowers)                         | 81          | 60      | 60        |
| 1800 Offsetting collections (upward reestimate)                           | 17          | 33      |           |
| 1800 Offsetting collections (interest-borrowers)                          | 19          | 27      | 27        |
| 1800 Collected  | 14          | 9       | 10        |
| 1825 Spending authority from offsetting collections applied to repay debt | -103        | -58     | -58       |
| 1850 Spending auth from offsetting collections, mand (total)              | 35          | 74      | 42        |
| 1900 Financing authority (total)  | 178         | 674     | 642       |
| 1930 Total budgetary resources available                                  | 195         | 679     | 663       |
| <b>Memorandum (non-add) entries:</b>                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year                           | 5           | 21      | 25        |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1                           | 659         | 512     | 534       |
| 3010 Obligations incurred, unexpired accounts                             | 190         | 658     | 638       |

RAILROAD REHABILITATION AND IMPROVEMENT DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 69-4420-0-3-401                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3020 Financing disbursements (gross) .....                                | -337        | -636    | -636      |
| 3050 Unpaid obligations, end of year .....                                | 512         | 534     | 536       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 659         | 512     | 534       |
| 3200 Obligated balance, end of year .....                                 | 512         | 534     | 536       |
| <b>Financing authority and disbursements, net:</b>                        |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....                                     | 178         | 674     | 642       |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                 | 337         | 636     | 636       |
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources .....  | -17         | -33     | .....     |
| 4122 Interest on uninvested funds .....                                   | -7          | -3      | -3        |
| 4123 Credit Risk Premium .....  | -14         | -9      | -10       |
| 4123 Principal Repayment .....  | -81         | -60     | -60       |
| 4123 Interest Repayment .....   | -19         | -27     | -27       |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -138        | -132    | -100      |
| 4160 Financing authority, net (mandatory) .....                           | 40          | 542     | 542       |
| 4170 Financing disbursements, net (mandatory) .....                       | 199         | 504     | 536       |
| 4180 Financing authority, net (total) .....                               | 40          | 542     | 542       |
| 4190 Financing disbursements, net (total) .....                           | 199         | 504     | 536       |

## Status of Direct Loans (in millions of dollars)

| Identification code 69-4420-0-3-401                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 139         | 600     | 600       |
| 1150 Total direct loan obligations .....                               | 139         | 600     | 600       |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 505         | 710     | 1,106     |
| 1231 Disbursements: Direct loan disbursements .....                    | 286         | 457     | 515       |
| 1251 Repayments: Repayments and prepayments .....                      | -81         | -60     | -60       |
| 1263 Write-offs for default: Direct loans .....                        | .....       | -1      | -1        |
| 1290 Outstanding, end of year .....                                    | 710         | 1,106   | 1,560     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 69-4420-0-3-401                                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1401 Net value of assets related to post-1991 direct loans receivable: |             |             |
| Direct loans receivable, gross .....                                   | 505         | 710         |
| 1999 Total assets .....  | 505         | 710         |
| <b>LIABILITIES:</b>  |             |             |
| 2105 Federal liabilities: Other .....                                  | 505         | 710         |
| 4999 Total liabilities and net position .....                          | 505         | 710         |

## Trust Funds

NATIONAL HIGH PERFORMANCE RAIL SYSTEM  
(Legislative proposal, not subject to PAYGO)

## CURRENT PASSENGER RAIL SERVICE

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

Funds available for the Current Passenger Rail Service Program authorized under title 49, United States Code, shall not exceed total obligations

of \$2,700,000,000: Provided, That within the \$2,700,000,000 obligation limitation for the Current Passenger Rail Service Program, not more than \$675,000,000 shall be for the Northeast Corridor; \$300,000,000 shall be for State Corridors; \$800,000,000 shall be for Long-Distance Routes; and \$925,000,000 shall be for National Assets: Provided further, That the Secretary may retain up to one-half of one percent of the funds limited under this heading to fund program administration and oversight of the National High Performance Rail System.

## CURRENT PASSENGER RAIL SERVICE

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(TRANSPORTATION TRUST FUND)

\$2,700,000,000 to be derived from the Rail Account of the Transportation Trust Fund and to remain available until expended, for payment of obligations incurred in carrying out the Current Passenger Rail Service Program authorized under title 49, United States Code.

## CURRENT PASSENGER RAIL SERVICE

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 69-8320-4-7-401     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Northeast Corridor .....           | .....       | .....   | 675       |
| 0002 State Corridors .....              | .....       | .....   | 300       |
| 0003 Long-Distance Routes .....         | .....       | .....   | 800       |
| 0004 National Assets .....              | .....       | .....   | 925       |
| 0900 Total new obligations .....        | .....       | .....   | 2,700     |

## Budgetary Resources:

|   |       |       |        |
|---|-------|-------|--------|
| Budget authority:   |       |       |        |
| Appropriations, discretionary:                                    |       |       |        |
| 1101 Appropriation (special or trust fund) .....                  | ..... | ..... | 2,700  |
| 1137 Appropriations applied to liquidate contract authority ..... | ..... | ..... | -2,700 |
| Contract authority, mandatory:                                    |       |       |        |
| 1600 Contract authority .....                                     | ..... | ..... | 2,700  |
| 1640 Contract authority, mandatory (total) .....                  | ..... | ..... | 2,700  |
| 1900 Budget authority (total) .....                               | ..... | ..... | 2,700  |
| 1930 Total budgetary resources available .....                    | ..... | ..... | 2,700  |

## Change in obligated balance:

|   |       |       |        |
|---|-------|-------|--------|
| Unpaid obligations:                                 |       |       |        |
| 3010 Obligations incurred, unexpired accounts ..... | ..... | ..... | 2,700  |
| 3020 Outlays (gross) .....                          | ..... | ..... | -1,555 |
| 3050 Unpaid obligations, end of year .....          | ..... | ..... | 1,145  |
| Memorandum (non-add) entries:                       |       |       |        |
| 3200 Obligated balance, end of year .....           | ..... | ..... | 1,145  |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Mandatory:                                      |       |       |       |
| 4090 Budget authority, gross .....              | ..... | ..... | 2,700 |
| Outlays, gross:                                 |       |       |       |
| 4100 Outlays from new mandatory authority ..... | ..... | ..... | 1,555 |
| 4180 Budget authority, net (total) .....        | ..... | ..... | 2,700 |
| 4190 Outlays, net (total) .....                 | ..... | ..... | 1,555 |

The Administration proposes to reauthorize FRA's passenger rail programs in FY 2014. Specifically, it proposes creating a new National High Performance Rail System Program including a Current Passenger Rail Service program that will be funded from the Rail Account of the Transportation Trust Fund. Through the Current Passenger Rail Service program account, FRA will make grants to ensure passenger rail assets are maintained to provide safe and reliable life-cycle service, as well as to continue operating long-distance train services. The 2014 budget request includes \$2.7 billion for this account, and over five years, the Administration proposes to invest \$13.2 billion. This account consists of four program areas:

**Northeast Corridor.**—To bring Northeast Corridor infrastructure and equipment into a state of good repair to enable future growth and service improvements.

**State Corridors.**—To facilitate efficient transition to full State financial control over State-supported corridors. This program area is transitional, and will be eliminated by the end of the 5-year period described in this budget proposal.

**Long-Distance Routes.**—To continue operations of the Nations important long-distance routes.

**National Assets.**—To improve efficiency of the Nations backbone rail facilities, implement positive train control (PTC) on Amtrak routes, and bring stations into compliance with requirements of the Americans with Disabilities Act (ADA).

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of a rail transportation reauthorization. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

**Object Classification** (in millions of dollars)

| Identification code 69–8320–4–7–401             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 25.1 Advisory and assistance services .....     |             |         | 13        |
| 41.0 Grants, subsidies, and contributions ..... |             |         | 2,687     |
| 99.9 Total new obligations .....                |             |         | 2,700     |

**NATIONAL HIGH PERFORMANCE RAIL SYSTEM**

(Legislative proposal, not subject to PAYGO)

**RAILROAD RESEARCH, DEVELOPMENT, AND TECHNOLOGY**

(LIMITATION ON OBLIGATIONS)

(TRANSPORTATION TRUST FUND)

*Funds available for the Railroad Research, Development, and Technology Program authorized under title 49, United States Code, shall not exceed total obligations of \$54,750,000; Provided, that the Secretary may retain up to one percent of the funds limited under this heading to fund program administration and oversight of the National High Performance Rail System.*

**RAILROAD RESEARCH, DEVELOPMENT, AND TECHNOLOGY**

(LIQUIDATION OF CONTRACT AUTHORIZATION)

(TRANSPORTATION TRUST FUND)

*\$54,750,000, to be derived from the Rail Account of the Transportation Trust Fund and to remain available until expended, for payment of obligations incurred in carrying out the Railroad Research, Development, and Technology Program authorized under title 49, United States Code.*

**RAILROAD RESEARCH, DEVELOPMENT, AND TECHNOLOGY**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 69–8633–4–7–401              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                 |             |         |           |
| 0001 High Performance Rail R&D .....             |             |         | 12        |
| 0002 National Cooperative Research Program ..... |             |         | 5         |
| 0003 Workforce Development .....                 |             |         | 12        |
| 0004 Program Oversight .....                     |             |         | 1         |
| 0900 Total new obligations .....                 |             |         | 30        |

**Budgetary Resources:**

|   |  |  |     |
|---|--|--|-----|
| Budget authority:   |  |  |     |
| Appropriations, discretionary:                                    |  |  |     |
| 1101 Appropriation (special or trust fund) .....                  |  |  | 55  |
| 1137 Appropriations applied to liquidate contract authority ..... |  |  | –55 |
| Contract authority, mandatory:                                    |  |  |     |
| 1600 Contract authority .....                                     |  |  | 55  |

|   |    |
|---|----|
| 1640 Contract authority, mandatory (total) .....      | 55 |
| 1900 Budget authority (total) .....                   | 55 |
| 1930 Total budgetary resources available .....        | 55 |
| Memorandum (non-add) entries:                         |    |
| 1941 Unexpired unobligated balance, end of year ..... | 25 |

**Change in obligated balance:**

|   |  |  |    |
|---|--|--|----|
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 30 |
| 3020 Outlays (gross) .....                          |  |  | –7 |
| 3050 Unpaid obligations, end of year .....          |  |  | 23 |
| Memorandum (non-add) entries:                       |  |  |    |
| 3200 Obligated balance, end of year .....           |  |  | 23 |

**Budget authority and outlays, net:**

|   |  |  |    |
|---|--|--|----|
| Mandatory:                                      |  |  |    |
| 4090 Budget authority, gross .....              |  |  | 55 |
| Outlays, gross:                                 |  |  |    |
| 4100 Outlays from new mandatory authority ..... |  |  | 7  |
| 4180 Budget authority, net (total) .....        |  |  | 55 |
| 4190 Outlays, net (total) .....                 |  |  | 7  |

The Administration proposes to reauthorize FRA's rail programs in FY 2014, including creating a new Railroad Research, Development, and Technology Program that will be funded from the Rail Account of the Transportation Trust Fund. The program provide science and technology support for the Federal Railroad Administration's high-speed efforts. In addition to improving safety, the program will make significant contributions towards the Department of Transportation's (DOT) state of good repair, economic competitiveness, and environmental sustainability goals. The program will be focused on the following areas of research:

**High-Performance Rail Research and Development.**—Focuses on advancing safe, state-of-the-art infrastructure and equipment to ensure that the United States is at the forefront of passenger rail technology.

**National Cooperative Rail Research Program.**—Focuses on developing the intellectual infrastructure needed to advance long-term effective rail policy, in conjunction with the National Academy of Sciences Transportation Research Board.

**Rail-based University Transportation Center.**—Provides basic and applied research into railroad safety and performance and educates the next generation of railroad professionals.

**Buy America Support.**—Focuses on advancing U.S. rail manufacturing through collaborative initiatives with the National Institute of Standards and Technologies Manufacturing Extension Partnership.

**Technical Assistance and Training.**—Develops and deploys training and technical assistance to build public and private institutional capacity and ensure successful rail project development and delivery.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

**Object Classification** (in millions of dollars)

| Identification code 69–8633–4–7–401                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.3 Other goods and services from Federal sources ..... |             |         | 2         |
| 25.5 Research and development contracts .....            |             |         | 16        |
| 41.0 Grants, subsidies, and contributions .....          |             |         | 12        |

RAILROAD RESEARCH, DEVELOPMENT, AND TECHNOLOGY—Continued  
Object Classification—Continued

| Identification code 69–8633–4–7–401 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    |             |         | 30        |

**NATIONAL HIGH PERFORMANCE RAIL SYSTEM**  
(Legislative proposal, not subject to PAYGO)  
**RAIL SERVICE IMPROVEMENT PROGRAM**  
(LIMITATION ON OBLIGATIONS)  
(TRANSPORTATION TRUST FUND)

*Funds available for the Rail Service Improvement Program authorized under title 49, United States Code, shall not exceed total obligations of \$3,660,000,000: Provided, That within the \$3,660,000,000 obligation limitation for the Rail Service Improvement Program, not more than \$3,250,000,000 shall be for Passenger Corridors; \$150,000,000 shall be for Congestion Mitigation (Freight and Passenger); \$190,000,000 shall be for Freight Capacity; and \$70,000,000 shall be for Planning: Provided further, That the Secretary may retain up to one percent of the funds limited under this heading to fund program administration and oversight of the National High Performance Rail System.*

**RAIL SERVICE IMPROVEMENT PROGRAM**  
(LIQUIDATION OF CONTRACT AUTHORIZATION)  
(TRANSPORTATION TRUST FUND)

*\$3,660,000,000, to be derived from the Rail Account of the Transportation Trust Fund and to remain available until expended, for payment of obligations incurred in carrying out the Rail Service Improvement Program authorized under title 49, United States Code.*

**RAIL SERVICE IMPROVEMENT PROGRAM**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 69–8310–4–7–401     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Passenger Corridors .....          |             |         | 1,000     |
| 0002 Congestion Mitigation .....        |             |         | 120       |
| 0003 Freight Capacity .....             |             |         | 150       |
| 0004 Planning .....                     |             |         | 70        |
| 0005 Direct program activity .....      |             |         | 30        |
| 0900 Total new obligations .....        |             |         | 1,370     |

**Budgetary Resources:**

|   |  |  |        |
|---|--|--|--------|
| <b>Budget authority:</b>  |  |  |        |
| Appropriations, discretionary:                                    |  |  |        |
| 1101 Appropriation (special or trust fund) .....                  |  |  | 3,660  |
| 1137 Appropriations applied to liquidate contract authority ..... |  |  | –3,660 |
| <b>Contract authority, mandatory:</b>                             |  |  |        |
| 1600 Contract authority .....                                     |  |  | 3,660  |
| 1640 Contract authority, mandatory (total) .....                  |  |  | 3,660  |
| 1900 Budget authority (total) .....                               |  |  | 3,660  |
| 1930 Total budgetary resources available .....                    |  |  | 3,660  |
| <b>Memorandum (non-add) entries:</b>                              |  |  |        |
| 1941 Unexpired unobligated balance, end of year .....             |  |  | 2,290  |

**Change in obligated balance:**

|   |  |  |       |
|---|--|--|-------|
| <b>Unpaid obligations:</b>                          |  |  |       |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 1,370 |
| 3020 Outlays (gross) .....                          |  |  | –225  |
| 3050 Unpaid obligations, end of year .....          |  |  | 1,145 |
| <b>Memorandum (non-add) entries:</b>                |  |  |       |
| 3200 Obligated balance, end of year .....           |  |  | 1,145 |

**Budget authority and outlays, net:**

|   |  |  |       |
|---|--|--|-------|
| <b>Mandatory:</b>                               |  |  |       |
| 4090 Budget authority, gross .....              |  |  | 3,660 |
| <b>Outlays, gross:</b>                          |  |  |       |
| 4100 Outlays from new mandatory authority ..... |  |  | 225   |

|  |       |
|--|-------|
| 4180 Budget authority, net (total) ..... | 3,660 |
| 4190 Outlays, net (total) .....          | 225   |

The Administration proposes to reauthorize FRA's passenger rail programs in FY 2014. Specifically, it proposes creating a new National High Performance Rail System program, including a Rail Service Improvement Program that would be funded from a dedicated Rail Account of the Transportation Trust Fund. Through the Rail Service Improvement Program, FRA will make grants to States and local governments to develop infrastructure, stations, equipment, and capacity needed to initiate new passenger rail services and substantially upgrade existing corridors. The budget request includes \$3.66 billion for this account for 2014, and over five years, the Administration proposes to invest \$26.40 billion. This account consists of four program areas:

**Passenger Corridors.**—To build high Performance passenger rail corridors, through construction of new corridors or substantial improvements to existing corridors, and to implement positive train control systems on commuter railroads.

**Congestion Mitigation.**—To address major bottlenecks and congestion issues that reduce freight and passenger train reliability on shared-use infrastructure.

**Freight Capacity.**—To improve the competitiveness of the Nation's intermodal freight rail system by upgrading facilities and adding capacity.

**Planning.**—To develop comprehensive plans that will guide future investments in the Nation's passenger and freight rail systems.

The Administration proposes to move a number of current General Fund programs into the Transportation Trust Fund, as part of a rail transportation reauthorization. Amounts reflected in this schedule represent the new mandatory contract authority and outlays supporting these programs. PAYGO costs will be calculated as the change between these amounts and reclassified baseline amounts in the existing General Fund accounts.

**Object Classification** (in millions of dollars)

| Identification code 69–8310–4–7–401             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                      |             |         |           |
| 25.1 Advisory and assistance services .....     |             |         | 30        |
| 41.0 Grants, subsidies, and contributions ..... |             |         | 1,340     |
| 99.9 Total new obligations .....                |             |         | 1,370     |

**ADMINISTRATIVE PROVISIONS—FEDERAL RAILROAD ADMINISTRATION**

**SEC. 150.** Hereafter, notwithstanding any other provision of law, funds provided in this Act for the National Railroad Passenger Corporation shall immediately cease to be available to said Corporation in the event that the Corporation contracts to have services provided at or from any location outside the United States. For purposes of this section, the word "services" shall mean any service that was, as of July 1, 2006, performed by a full-time or part-time Amtrak employee whose base of employment is located within the United States.

**SEC. 151.** The Secretary of Transportation may receive and expend cash, or receive and utilize spare parts and similar items, from non-United States Government sources to repair damages to or replace United States Government owned automated track inspection cars and equipment as a result of third-party liability for such damages, and any amounts collected under this section shall be credited directly to the Safety and Operations account of the Federal Railroad Administration, and shall remain available until expended for the repair, operation and maintenance of automated track inspection cars and equipment in connection with the automated track inspection program.

**SEC. 152.** None of the funds provided to the National Railroad Passenger Corporation may be used to fund any overtime costs in excess of \$35,000



for any individual employee: Provided, That the president of Amtrak may waive the cap set in the previous proviso for specific employees when the president of Amtrak determines such a cap poses a risk to the safety and operational efficiency of the system: Provided further, That Amtrak shall notify House and Senate Committees on Appropriations within 30 days of waiving such cap and delineate the reasons for such waiver.

## FEDERAL TRANSIT ADMINISTRATION

The Federal Transit Administration (FTA) provides grant funding to State and local governments, public and private transit operators and other recipients to enhance public transportation across the United States. FTA programs fund the construction of new public transit systems, purchase and maintain transit vehicles and equipment, subsidize public transit operations, support regional transportation planning efforts, and improve technology and service methods critical to the delivery of public transportation services. In 2013, FTA's programs were significantly changed by passage of a new surface transportation authorization law - Moving Ahead for Progress in the 21st Century (MAP-21). MAP-21 provides new authority to strengthen public transportation safety. MAP-21 also provides a renewed focus on reinvesting in and modernizing transit assets to help bring transit systems throughout the country into a state of good repair.

FTA's budget proposal restructures agency programs and accounts in accordance with MAP-21. The Administration proposes \$10.9 billion for FTA in 2014. This proposal includes \$8.6 billion to support FTA's base formula programs that provide assistance to transit agencies in both urban and rural areas. The Administration also proposes \$1.98 billion in new budget authority for Capital Investment Grants, the impact of which will be maximized through FTAs newly-streamlined New Starts program under MAP-21. The Capital Investment Grant budget request also will support newly eligible projects to improve or restore the core capacity of existing fixed guideway systems.

The Administration's proposal demonstrates a strong commitment to effective implementation of MAP-21. This includes a focus on improving the state of good repair of bus and rail transit infrastructure, strengthening the safety oversight of public transportation operators, providing affordable access to employment centers and social services, and enhancing economic opportunities and quality of life for all Americans. The table below presents actual funding enacted for 2012, estimated 2013 funding based on a full year Continuing Resolution and the Emergency Supplemental for Hurricane Sandy Relief, and the requested 2014 funding under the MAP-21 account structure. Note that the 2014 Budget proposes renaming the Highway Trust Fund the Transportation Trust Fund. Additional detail is provided in the program budget schedules that follow.

| [In millions of dollars]  |             |                    |              |
|---|-------------|--------------------|--------------|
|   | 2012 Actual | 2013 Annualized CR | 2014 Request |
| Budget Authority:   |             |                    |              |
| Formula Grants (TF) .....   | 8361        | 8412               | 8595         |
| Capital Investment Grants (GF) .....                                      | 1955        | 1967               | 1981         |
| Capital Investment Grants Unobligated Balance Rescission .....            | -59         | -44                | 0            |
| Transfers Out .....   | -10         | 0                  | 0            |
| Research, Development, Demonstration, and Deployment (renamed) (GF) ..... | 44          | 44                 | 30           |
| Transit Cooperative Research (GF) .....                                   | 0           | 0                  | 7            |
| Technical Assistance and Standards Development (GF) .....                 | 0           | 0                  | 7            |
| Human Resources and Training (GF) .....                                   | 0           | 0                  | 5            |
| Public Transportation Emergency Relief Program (GF) <sup>1/</sup> .....   | 0           | 10900              | 25           |
| Transfers Out .....   | 0           | -6                 | 0            |
| Washington Metropolitan Area Transit Authority .....                      | 150         | 151                | 150          |
| Administrative Expenses (GF) .....  | 99          | 99                 | 110          |

|                              |       |       |       |
|------------------------------|-------|-------|-------|
| Total Budget Authority ..... | 10540 | 21523 | 10910 |
| Total Discretionary .....    | 2179  | 13111 | 2315  |
| Total Mandatory .....        | 8361  | 8412  | 8595  |

Note: totals may not add due to rounding differences and do not include flex fund transfers with the Federal Highway Administration.

1/ In FY 2013, the Public Transportation Emergency Relief Program includes \$10.9 billion in supplemental relief following Hurricane Sandy.

## Federal Funds

### FEDERAL TRANSIT ADMINISTRATION

#### ADMINISTRATIVE EXPENSES

For necessary administrative expenses of the programs of the Federal Transit Administration authorized by chapter 53 of title 49, United States Code, \$109,888,000, to remain available until expended, of which not less than \$5,000,000 shall be available to carry out the provisions of 49 U.S.C. 5329 and not less than \$1,000,000 shall be available to carry out the provisions of 49 U.S.C. 5326.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 69–1120–0–1–401                 |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |  |             |         |           |
| 0001  | Administrative expenses .....                              | 98          | 99      | 104       |
| 0002  | Transit Safety Oversight .....                             |             |         | 5         |
| 0003  | Transit Asset Management .....                             |             |         | 1         |
| 0900  | Total new obligations .....                                | 98          | 99      | 110       |
| <b>Budgetary Resources:</b>                         |  |             |         |           |
| Budget authority:                                   |  |             |         |           |
| Appropriations, discretionary:                      |  |             |         |           |
| 1100  | Appropriation .....  | 99          | 99      | 110       |
| 1160  | Appropriation, discretionary (total) .....                 | 99          | 99      | 110       |
| 1930  | Total budgetary resources available .....                  | 99          | 99      | 110       |
| Memorandum (non-add) entries:                       |  |             |         |           |
| 1940  | Unobligated balance expiring .....                         | -1          |         |           |
| <b>Change in obligated balance:</b>                 |  |             |         |           |
| Unpaid obligations:                                 |  |             |         |           |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....           | 13          | 13      | 11        |
| 3010  | Obligations incurred, unexpired accounts .....             | 98          | 99      | 110       |
| 3011  | Obligations incurred, expired accounts .....               | 1           |         |           |
| 3020  | Outlays (gross) .....                                      | -98         | -101    | -109      |
| 3041  | Recoveries of prior year unpaid obligations, expired ..... | -1          |         |           |
| 3050  | Unpaid obligations, end of year .....                      | 13          | 11      | 12        |
| Memorandum (non-add) entries:                       |  |             |         |           |
| 3100  | Obligated balance, start of year .....                     | 13          | 13      | 11        |
| 3200  | Obligated balance, end of year .....                       | 13          | 11      | 12        |
| <b>Budget authority and outlays, net:</b>           |  |             |         |           |
| Discretionary:                                      |  |             |         |           |
| 4000  | Budget authority, gross .....                              | 99          | 99      | 110       |
| Outlays, gross:                                     |  |             |         |           |
| 4010  | Outlays from new discretionary authority .....             | 87          | 89      | 99        |
| 4011  | Outlays from discretionary balances .....                  | 11          | 12      | 10        |
| 4020  | Outlays, gross (total) .....                               | 98          | 101     | 109       |
| Offsets against gross budget authority and outlays: |  |             |         |           |
| Offsetting collections (collected) from:            |  |             |         |           |
| 4180  | Budget authority, net (total) .....                        | 99          | 99      | 110       |
| 4190  | Outlays, net (total) .....                                 | 98          | 101     | 109       |

The Federal Transit Administration (FTA) is requesting a total of \$109.9 million for its Administrative Expenses account. These funds will provide financial resources for three distinct activities within the account: salaries, benefits and administrative expenses to carry out the Agency's stewardship of Federal funds, technical assistance to grantees during project development and program implementation, capital project oversight and grantee compliance;

## FEDERAL TRANSIT ADMINISTRATION—Continued

the Office of Safety and Oversight for staffing and related activities associated with FTA transit safety oversight including setting policies and standards, and developing and administering a State Safety Oversight program funded through the Formula Grant account; and, Transit Asset Management to support asset management activities required under MAP-21, which include defining state of good repair, developing objective standards to measure capital asset condition and collecting data on the asset condition of FTA's grantees.

## Object Classification (in millions of dollars)

| Identification code 69–1120–0–1–401                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 54          | 54      | 57        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 55          | 55      | 58        |
| 12.1 Civilian personnel benefits .....                          | 15          | 15      | 16        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 7           | 7       | 8         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 12          | 12      | 14        |
| 25.3 Other goods and services from Federal sources .....        | 5           | 6       | 10        |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 98          | 99      | 110       |

## Employment Summary

| Identification code 69–1120–0–1–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 523         | 523     | 560       |

## RESEARCH, TRAINING, AND HUMAN RESOURCES

## Program and Financing (in millions of dollars)

| Identification code 69–1121–0–1–401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       |           |
| 3020 Outlays (gross) .....                            |             | –1      |           |
| 3050 Unpaid obligations, end of year .....            | 1           |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       |           |
| 3200 Obligated balance, end of year .....             | 1           |         |           |
| Budget authority and outlays, net:                    |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        |             | 1       |           |
| 4190 Outlays, net (total) .....                       |             | 1       |           |

Activities have not been funded in the Research, Training and Human Resources account since 2006. This schedule shows the obligation and outlay of amounts made available in fiscal years prior to 2006.

## JOB ACCESS AND REVERSE COMMUTE GRANTS

## Program and Financing (in millions of dollars)

| Identification code 69–1125–0–1–401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 14          | 15      | 15        |

|  |    |    |    |
|--|----|----|----|
| 1021 Recoveries of prior year unpaid obligations ..... | 1  |    |    |
| 1050 Unobligated balance (total) .....                 | 15 | 15 | 15 |
| 1930 Total budgetary resources available .....         | 15 | 15 | 15 |
| Memorandum (non-add) entries:                          |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....  | 15 | 15 | 15 |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 21 | 15 | 8  |
| 3020 Outlays (gross) .....  | –5 | –7 | –7 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1 |    |    |
| 3050 Unpaid obligations, end of year .....                        | 15 | 8  | 1  |
| Memorandum (non-add) entries:                                     |    |    |    |
| 3100 Obligated balance, start of year .....                       | 21 | 15 | 8  |
| 3200 Obligated balance, end of year .....                         | 15 | 8  | 1  |

## Budget authority and outlays, net:

|  |   |   |   |
|--|---|---|---|
| Discretionary:                                 |   |   |   |
| Outlays, gross:                                |   |   |   |
| 4011 Outlays from discretionary balances ..... | 5 | 7 | 7 |
| 4190 Outlays, net (total) .....                | 5 | 7 | 7 |

Activities have not been funded in the Job Access and Reverse Commute Grants account since 2006. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2006. Under MAP-21, Urbanized Area formula grants may be used to support job access and reverse commute activities in fiscal year 2014.

## INTERSTATE TRANSFER GRANTS-TRANSIT

## Program and Financing (in millions of dollars)

| Identification code 69–1127–0–1–401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 3           | 3       | 3         |

This account funds transit capital projects substituted for previously withdrawn segments of the Interstate Highway System under the provisions of 23 U.S.C. 103(e)(4).

## GRANTS TO THE WASHINGTON METROPOLITAN AREA TRANSIT AUTHORITY

*For grants to the Washington Metropolitan Area Transit Authority as authorized under section 601 of division B of Public Law 110–432, \$150,000,000, to remain available until expended: Provided, That the Secretary shall approve grants for capital and preventive maintenance expenditures for the Washington Metropolitan Area Transit Authority only after receiving and reviewing a request for each specific project: Provided further, That prior to approving such grants, the Secretary shall determine that the Washington Metropolitan Area Transit Authority has placed the highest priority on those investments that will improve the safety of the system: Provided further, That funds appropriated by this Act, or any other act, to carry out section 601 of Public Law 110–432 may be obligated and expended notwithstanding section 601(e)(1)(B) of such Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69–1128–0–1–401                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| 0001 Washington Metropolitan Area Transit Authority ..... | 150         | 225     | 151       |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Unobligated balance:                                      |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....     | 150         | 150     | 76        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                            |             |         |           |
| 1100 Appropriation .....                                  | 150         | 151     | 150       |
| 1160 Appropriation, discretionary (total) .....           | 150         | 151     | 150       |
| 1930 Total budgetary resources available .....            | 300         | 301     | 226       |
| Memorandum (non-add) entries:                             |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....     | 150         | 76      | 75        |
| <b>Change in obligated balance:</b>                       |             |         |           |
| Unpaid obligations:                                       |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....     | 40          | 99      | 136       |
| 3010 Obligations incurred, unexpired accounts .....       | 150         | 225     | 151       |
| 3020 Outlays (gross) .....                                | –91         | –188    | –232      |
| 3050 Unpaid obligations, end of year .....                | 99          | 136     | 55        |
| Memorandum (non-add) entries:                             |             |         |           |
| 3100 Obligated balance, start of year .....               | 40          | 99      | 136       |
| 3200 Obligated balance, end of year .....                 | 99          | 136     | 55        |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                        | 150         | 151     | 150       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....       |             | 38      | 38        |
| 4011 Outlays from discretionary balances .....            | 91          | 150     | 194       |
| 4020 Outlays, gross (total) .....                         | 91          | 188     | 232       |
| 4180 Budget authority, net (total) .....                  | 150         | 151     | 150       |
| 4190 Outlays, net (total) .....                           | 91          | 188     | 232       |

The Federal Rail Safety Improvements Act, 2008, (P.L. 110–432, Title VI, Sec. 601), provided authorization for capital and preventive maintenance projects for the Washington Metropolitan Area Transit Authority (WMATA). Funding will help WMATA address its reinvestment and maintenance backlog to improve the safety and reliability of service and to expand existing system capacity to meet growing demand. The Secretary will use his authority to approve grants under this program to ensure that available funds first address WMATA's most critical safety needs.

**Object Classification** (in millions of dollars)

| Identification code 69–1128–0–1–401                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... |             |         | 1         |
| 41.0 Grants, subsidies, and contributions .....    | 150         | 225     | 150       |
| 99.9 Total new obligations .....                   | 150         | 225     | 151       |

**FORMULA GRANTS**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69–1129–0–1–401     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Urban formula - capital .....      | 25          | 8       | 6         |
| 0002 Elderly and Disabled .....         | 1           | 1       | 1         |
| 0003 Nonurban formula .....             | 1           | 1       | 1         |
| 0004 Other Programs .....               |             | 1       | 1         |

|  |    |    |   |
|--|----|----|---|
| 0799 Total direct obligations .....                  | 27 | 11 | 9 |
| 0801 FEMA Reimbursable .....                         |    | 4  |   |
| 0809 Reimbursable program activities, subtotal ..... |    | 4  |   |
| 0900 Total new obligations .....                     | 27 | 15 | 9 |

**Budgetary Resources:**

|   |     |    |    |
|---|-----|----|----|
| Unobligated balance:  |     |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 90  | 86 | 80 |
| 1011 Unobligated balance transfer from other accts [69–9911] .... | 1   | 7  |    |
| 1021 Recoveries of prior year unpaid obligations .....            | 22  | 2  | 2  |
| 1050 Unobligated balance (total) .....                            | 113 | 95 | 82 |
| 1930 Total budgetary resources available .....                    | 113 | 95 | 82 |
| Memorandum (non-add) entries:                                     |     |    |    |
| 1941 Unexpired unobligated balance, end of year .....             | 86  | 80 | 73 |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 597  | 431  | 220  |
| 3010 Obligations incurred, unexpired accounts .....               | 27   | 15   | 9    |
| 3020 Outlays (gross) .....  | –171 | –224 | –144 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –22  | –2   | –2   |
| 3050 Unpaid obligations, end of year .....                        | 431  | 220  | 83   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –14  | –14  | –14  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –14  | –14  | –14  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 583  | 417  | 206  |
| 3200 Obligated balance, end of year .....                         | 417  | 206  | 69   |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:                                 |     |     |     |
| Outlays, gross:                                |     |     |     |
| 4011 Outlays from discretionary balances ..... | 171 | 224 | 144 |
| 4190 Outlays, net (total) .....                | 171 | 224 | 144 |

This schedule shows the obligation and outlay of formula grant program funding made available in fiscal years prior to 2006. In 2014, funds requested for transit formula grant programs are included in the Transit Formula Grants account and funded exclusively by the Mass Transit Account of the Transportation Trust Fund.

**Object Classification** (in millions of dollars)

| Identification code 69–1129–0–1–401                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 27          | 11      | 9         |
| 99.0 Reimbursable obligations .....                                 |             | 4       |           |
| 99.9 Total new obligations .....                                    | 27          | 15      | 9         |

**GRANTS FOR ENERGY EFFICIENCY AND GREENHOUSE GAS REDUCTIONS****Program and Financing** (in millions of dollars)

| Identification code 69–1131–0–1–401                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Energy and Greenhouse Gas Reductions .....      | 51          | 13      | 1         |
| 0900 Total new obligations (object class 41.0) ..... | 51          | 13      | 1         |

**Budgetary Resources:**

|   |    |    |   |
|---|----|----|---|
| Unobligated balance:                                  |    |    |   |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 65 | 14 | 1 |
| 1930 Total budgetary resources available .....        | 65 | 14 | 1 |
| Memorandum (non-add) entries:                         |    |    |   |
| 1941 Unexpired unobligated balance, end of year ..... | 14 | 1  |   |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 59  | 99  | 87  |
| 3010 Obligations incurred, unexpired accounts .....   | 51  | 13  | 1   |
| 3020 Outlays (gross) .....                            | –11 | –25 | –25 |
| 3050 Unpaid obligations, end of year .....            | 99  | 87  | 63  |

**GRANTS FOR ENERGY EFFICIENCY AND GREENHOUSE GAS  
REDUCTIONS—Continued  
Program and Financing—Continued**

| Identification code 69–1131–0–1–401            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Memorandum (non-add) entries:                  |             |         |           |
| 3100 Obligated balance, start of year .....    | 59          | 99      | 87        |
| 3200 Obligated balance, end of year .....      | 99          | 87      | 63        |
| <b>Budget authority and outlays, net:</b>      |             |         |           |
| Discretionary:                                 |             |         |           |
| Outlays, gross:                                |             |         |           |
| 4011 Outlays from discretionary balances ..... | 11          | 25      | 25        |
| 4190 Outlays, net (total) .....                | 11          | 25      | 25        |

Initiated within the American Recovery & Reinvestment Act (ARRA) of 2009, the program provided grants to public transit agencies for capital investments to reduce the energy consumption or greenhouse gas emissions of their public transportation operations. Activities have not been funded in this account since 2011. This schedule shows the obligations and outlays of funding made available for this program in fiscal years prior to 2012. Under MAP-21, projects for energy efficiency and greenhouse gas reduction can be funded with Urbanized Area Formula grants and Rural Area Formula grants in 2014.

**CAPITAL INVESTMENT GRANTS**

*For necessary expenses to carry out 49 U.S.C. 5309, \$1,981,472,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 69–1134–0–1–401                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Capital investment grants .....                                 | 2,334       | 2,141   | 2,674     |
| 0003 Lower Manhattan recovery FTA Direct P.L. 107–206 .....          | 72          | 10      | 10        |
| 0004 Capital Investment Grants Recovery Act .....                    | 1           |         |           |
| 0799 Total direct obligations .....                                  | 2,407       | 2,151   | 2,684     |
| 0801 FEMA Reimbursable LMRO PL 107–206 .....                         | 617         | 2       | 2         |
| 0900 Total new obligations .....                                     | 3,024       | 2,153   | 2,686     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 2,377       | 1,318   | 1,107     |
| 1021 Recoveries of prior year unpaid obligations .....               | 60          |         |           |
| 1050 Unobligated balance (total) .....                               | 2,437       | 1,318   | 1,107     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 1,955       | 1,967   | 1,981     |
| 1120 Appropriations transferred to other accts [95–1200] .....       | –5          |         |           |
| 1120 Appropriations transferred to other accts [69–1750] .....       | –5          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –59         | –44     |           |
| 1160 Appropriation, discretionary (total) .....                      | 1,886       | 1,923   | 1,981     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 19          | 19      | 14        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 19          | 19      | 14        |
| 1900 Budget authority (total) .....                                  | 1,905       | 1,942   | 1,995     |
| 1930 Total budgetary resources available .....                       | 4,342       | 3,260   | 3,102     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1,318       | 1,107   | 416       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 3,398       | 3,900   | 3,582     |
| 3010 Obligations incurred, unexpired accounts .....                  | 3,024       | 2,153   | 2,686     |
| 3020 Outlays (gross) .....   | –2,462      | –2,471  | –2,583    |

|   |       |       |       |
|---|-------|-------|-------|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –60   |       |       |
| 3050 Unpaid obligations, end of year .....                        | 3,900 | 3,582 | 3,685 |
| Memorandum (non-add) entries:                                     |       |       |       |
| 3100 Obligated balance, start of year .....                       | 3,398 | 3,900 | 3,582 |
| 3200 Obligated balance, end of year .....                         | 3,900 | 3,582 | 3,685 |
| <b>Budget authority and outlays, net:</b>                         |       |       |       |
| Discretionary:  |       |       |       |
| 4000 Budget authority, gross .....                                | 1,905 | 1,942 | 1,995 |
| Outlays, gross:   |       |       |       |
| 4010 Outlays from new discretionary authority .....               | 511   | 562   | 577   |
| 4011 Outlays from discretionary balances .....                    | 1,951 | 1,909 | 2,006 |
| 4020 Outlays, gross (total) .....                                 | 2,462 | 2,471 | 2,583 |
| Offsets against gross budget authority and outlays:               |       |       |       |
| Offsetting collections (collected) from:                          |       |       |       |
| 4033 Non-Federal sources .....                                    | –19   | –19   | –14   |
| 4180 Budget authority, net (total) .....                          | 1,886 | 1,923 | 1,981 |
| 4190 Outlays, net (total) .....                                   | 2,443 | 2,452 | 2,569 |

The Federal Transit Administration's (FTA) Capital Investment Grant (or New Starts) program is the Federal Government's primary source for capital investment in transit infrastructure projects that are planned, constructed and operated by State and local government entities. For 2014, the Budget requests a total of \$2.132 billion for transit New Starts projects, including \$1.981 billion in new budget authority and \$151 million in unobligated balances, for construction of new fixed guideway systems, extensions to existing fixed guideway systems, new corridor-based bus systems, and core capacity improvement projects. These investments include heavy rail, light rail, commuter rail, bus rapid transit, ferries, and streetcar systems. FTA awards these discretionary resources to grantees under provisions within existing multi-year full funding grant agreements for projects in the construction phase, or through a competitive process using project rating criteria established in MAP-21, to new projects projected to be ready to initiate construction.

**Object Classification (in millions of dollars)**

| Identification code 69–1134–0–1–401                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 5           | 22      | 22        |
| 41.0 Grants, subsidies, and contributions .....        | 2,401       | 2,128   | 2,661     |
| 99.0 Direct obligations .....                          | 2,407       | 2,151   | 2,684     |
| 99.0 Reimbursable obligations .....                    | 617         | 2       | 2         |
| 99.9 Total new obligations .....                       | 3,024       | 2,153   | 2,686     |

**Employment Summary**

| Identification code 69–1134–0–1–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 5           | 5       | 5         |

**RESEARCH, DEVELOPMENT, DEMONSTRATION, AND DEPLOYMENT [UNIVERSITY  
RESEARCH CENTERS]**

*For necessary expenses to carry out 49 U.S.C. 5312, \$30,000,000, to remain available until expended: Provided, That of the amount provided under this heading, up to 50 percent shall be available to carry out the low or no emissions vehicle deployment program under 49 U.S.C. 5312(d)(5): Provided further, That the federal share for contracts and cooperative agreements under the program referred to in the previous proviso may be up to 100 percent.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69–1137–0–1–401                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Research and University Research Centers .....                | 41          | 42      | 42        |
| 0801   | Reimbursable program .....                                    | 2           | 2       | 2         |
| 0900   | Total new obligations .....                                   | 43          | 44      | 44        |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 103         | 108     | 113       |
| 1021   | Recoveries of prior year unpaid obligations .....             | 2           | 5       | 5         |
| 1050   | Unobligated balance (total) .....                             | 105         | 113     | 118       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 44          | 44      | 30        |
| 1160   | Appropriation, discretionary (total) .....                    | 44          | 44      | 30        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 3           |         |           |
| 1701   | Change in uncollected payments, Federal sources .....         | –1          |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 2           |         |           |
| 1900   | Budget authority (total) .....                                | 46          | 44      | 30        |
| 1930   | Total budgetary resources available .....                     | 151         | 157     | 148       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 108         | 113     | 104       |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 108         | 91      | 77        |
| 3010   | Obligations incurred, unexpired accounts .....                | 43          | 44      | 44        |
| 3020   | Outlays (gross) .....   | –58         | –53     | –64       |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –2          | –5      | –5        |
| 3050   | Unpaid obligations, end of year .....                         | 91          | 77      | 52        |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –45         | –44     | –44       |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | 1           |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | –44         | –44     | –44       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 63          | 47      | 33        |
| 3200   | Obligated balance, end of year .....                          | 47          | 33      | 8         |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 46          | 44      | 30        |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 5           | 7       | 5         |
| 4011   | Outlays from discretionary balances .....                     | 53          | 46      | 59        |
| 4020   | Outlays, gross (total) .....                                  | 58          | 53      | 64        |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –3          |         |           |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | 1           |         |           |
| 4070   | Budget authority, net (discretionary) .....                   | 44          | 44      | 30        |
| 4080   | Outlays, net (discretionary) .....                            | 55          | 53      | 64        |
| 4180   | Budget authority, net (total) .....                           | 44          | 44      | 30        |
| 4190   | Outlays, net (total) .....                                    | 55          | 53      | 64        |

The 2014 budget presents FTA's new program authorization and account structure under MAP-21. MAP-21 authorizes FTA to conduct research activities that improve the safety, reliability, efficiency, and sustainability of public transportation by investing in the development, testing, and deployment of innovative technologies, materials, and processes. FTA is also authorized to award grants to demonstrate and deploy new technologies that promote clean energy and improve air quality in low-emission and no-emission vehicles. The 2014 budget includes \$30 million for Research, Development, Demonstration, and Deployment.

**Object Classification** (in millions of dollars)

| Identification code 69–1137–0–1–401 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.2                                | Other services from non-Federal sources ..... | 14          | 17      | 17        |

|      |  |    |    |    |
|------|--|----|----|----|
| 41.0 | Grants, subsidies, and contributions ..... | 27 | 25 | 25 |
| 99.0 | Direct obligations .....                   | 41 | 42 | 42 |
| 99.0 | Reimbursable obligations .....             | 2  | 2  | 2  |
| 99.9 | Total new obligations .....                | 43 | 44 | 44 |

**PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM**

*For necessary expenses to carry out 49 U.S.C. 5324, United States Code, \$25,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 69–1140–0–1–401       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | 2013 Hurricane Sandy Emergency Supplemental (P.L. 113–2) .....                              |             | 1,998   | 3,698     |
| 0002                                      | Public Transportation Emergency Relief Program .....  |             |         | 13        |
| 0003                                      | 2013 Hurricane Sandy Emergency Supplemental (P.L. 113–2 Administration and Oversight) ..... |             | 2       | 6         |
| 0900                                      | Total new obligations .....   |             | 2,000   | 3,717     |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Unobligated balance:                      |   |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....  |             |         | 8,894     |
| Budget authority:                         |   |             |         |           |
| Appropriations, discretionary:            |   |             |         |           |
| 1100                                      | Appropriation .....   |             | 10,900  | 25        |
| 1120                                      | Appropriations transferred to other accts [69–0130] .....                                   |             | –6      |           |
| 1160                                      | Appropriation, discretionary (total) .....  |             | 10,894  | 25        |
| 1930                                      | Total budgetary resources available .....   |             | 10,894  | 8,919     |
| Memorandum (non-add) entries:             |   |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....  |             | 8,894   | 5,202     |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....  |             |         | 911       |
| 3010                                      | Obligations incurred, unexpired accounts .....  |             | 2,000   | 3,717     |
| 3020                                      | Outlays (gross) .....   |             | –1,089  | –2,731    |
| 3050                                      | Unpaid obligations, end of year .....   |             | 911     | 1,897     |
| Memorandum (non-add) entries:             |   |             |         |           |
| 3100                                      | Obligated balance, start of year .....  |             |         | 911       |
| 3200                                      | Obligated balance, end of year .....  |             | 911     | 1,897     |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| 4000                                      | Budget authority, gross .....   |             | 10,894  | 25        |
| Outlays, gross:                           |   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....  |             | 1,089   | 7         |
| 4011                                      | Outlays from discretionary balances .....   |             |         | 2,724     |
| 4020                                      | Outlays, gross (total) .....  |             | 1,089   | 2,731     |
| 4180                                      | Budget authority, net (total) .....   |             | 10,894  | 25        |
| 4190                                      | Outlays, net (total) .....  |             | 1,089   | 2,731     |

The 2014 Budget presents FTA's new program authorization and account structure under MAP-21. This program helps transit agencies restore needed transportation services immediately following disaster events. Both capital and operating costs are eligible for funding following an emergency; however, this program does not replace the Federal Emergency Management Agency's capital assistance program. FTA administers the 2013 \$10.9 billion provided by the Disaster Relief Appropriations Act, 2013 (Public Law 113–2) following Hurricane Sandy through this account.

## PUBLIC TRANSPORTATION EMERGENCY RELIEF PROGRAM—Continued

## Object Classification (in millions of dollars)

| Identification code 69–1140–0–1–401                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             | 1       | 3         |
| 12.1 Civilian personnel benefits .....                 |             |         | 1         |
| 41.0 Grants, subsidies, and contributions .....        |             | 1,998   | 3,712     |
| 99.0 Direct obligations .....                          |             | 1,999   | 3,716     |
| 99.5 Below reporting threshold .....                   |             | 1       | 1         |
| 99.9 Total new obligations .....                       |             | 2,000   | 3,717     |

## Employment Summary

| Identification code 69–1140–0–1–401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 6       | 31        |

## TRANSIT COOPERATIVE RESEARCH PROGRAM

*For necessary expenses to carry out 49 U.S.C. 5313, \$7,000,000, to remain available until expended.*

## Program and Financing (in millions of dollars)

| Identification code 69–1141–0–1–401                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Transit Cooperative Research Program .....      |             |         | 7         |
| 0900 Total new obligations (object class 25.5) ..... |             |         | 7         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| 1100 Appropriations, discretionary:                  |             |         |           |
| Appropriation .....                                  |             |         | 7         |
| 1160 Appropriation, discretionary (total) .....      |             |         | 7         |
| 1930 Total budgetary resources available .....       |             |         | 7         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 7         |
| 3020 Outlays (gross) .....                           |             |         | –7        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 7         |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | 7         |
| 4180 Budget authority, net (total) .....             |             |         | 7         |
| 4190 Outlays, net (total) .....                      |             |         | 7         |

The 2014 Budget presents FTA's new program authorization and account structure under MAP-21. This new account structure separates the Transit Cooperative Research Program from the Research Technology and Deployment program. This account provides funds to the National Academy of Sciences to conduct investigative research on subjects related to public transportation. The 2014 Budget request includes \$7 million for this account, which is funded through the General Fund.

## TECHNICAL ASSISTANCE AND STANDARDS DEVELOPMENT

*For necessary expenses to carry out 49 U.S.C. 5314, \$7,000,000, to remain available until expended: Provided, That the federal share for contracts and cooperative agreements may be up to 100 percent.*

## Program and Financing (in millions of dollars)

| Identification code 69–1142–0–1–401                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| 0001 Technical Assistance and Standards Development ..... |             |         | 7         |
| 0900 Total new obligations (object class 25.5) .....      |             |         | 7         |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Budget authority:   |             |         |           |
| 1100 Appropriations, discretionary:                       |             |         |           |
| Appropriation .....                                       |             |         | 7         |
| 1160 Appropriation, discretionary (total) .....           |             |         | 7         |
| 1930 Total budgetary resources available .....            |             |         | 7         |
| <b>Change in obligated balance:</b>                       |             |         |           |
| Unpaid obligations:                                       |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....       |             |         | 7         |
| 3020 Outlays (gross) .....                                |             |         | –5        |
| 3050 Unpaid obligations, end of year .....                |             |         | 2         |
| Memorandum (non-add) entries:                             |             |         |           |
| 3200 Obligated balance, end of year .....                 |             |         | 2         |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                        |             |         | 7         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....       |             |         | 5         |
| 4180 Budget authority, net (total) .....                  |             |         | 7         |
| 4190 Outlays, net (total) .....                           |             |         | 5         |

The 2014 Budget presents FTA's new program authorization and account structure under MAP-21. This program enables FTA to provide technical assistance to the public transportation industry and to develop standards for transit service provision, with an emphasis on improving access for all individuals and transportation equity. Through this program, FTA is able to assist recipients to more effectively and efficiently provide public transportation and administer Federal funding in compliance with the law.

## HUMAN RESOURCES AND TRAINING

*For necessary expenses to carry out 49 U.S.C. 5322(a), (b), and (e), \$5,000,000, to remain available until expended.*

## Program and Financing (in millions of dollars)

| Identification code 69–1143–0–1–401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Human Resources and Training .....               |             |         | 4         |
| 0900 Total new obligations (object class 25.5) .....  |             |         | 4         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| 1100 Appropriations, discretionary:                   |             |         |           |
| Appropriation .....                                   |             |         | 5         |
| 1160 Appropriation, discretionary (total) .....       |             |         | 5         |
| 1930 Total budgetary resources available .....        |             |         | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 4         |
| 3020 Outlays (gross) .....                            |             |         | –4        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    |             |         | 5         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             |         | 4         |
| 4180 Budget authority, net (total) .....              |             |         | 5         |

4190 Outlays, net (total) ..... 4

The 2014 Budget presents the FTA's new program authorization and account structure under MAP-21. This program enables FTA to carry out human resource and training activities and to establish a competitive workforce development grant program. FTA's goal is to improve the skill and capability of the transit industry workforce to operate increasingly complex transit vehicles and fixed guideway systems.

#### TRANSIT CAPITAL ASSISTANCE, RECOVERY ACT

##### Program and Financing (in millions of dollars)

| Identification code 69-1101-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0005 Administration/Oversight .....                               | 12          |         |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 11          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 12          |         |           |
| 1930 Total budgetary resources available .....                    | 12          |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,140       | 1,055   | 385       |
| 3010 Obligations incurred, unexpired accounts .....               | 12          |         |           |
| 3020 Outlays (gross) .....  | -1,057      | -670    | -346      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -39         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 1,055       | 385     | 39        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 2,140       | 1,055   | 385       |
| 3200 Obligated balance, end of year .....                         | 1,055       | 385     | 39        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 1,057       | 670     | 346       |
| Offsets against gross budget authority and outlays:               |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4033 Non-Federal sources (NJ ARC Repayment) .....                 |             |         | -5        |
| Additional offsets against gross budget authority only:           |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....    |             |         | 5         |
| 4080 Outlays, net (discretionary) .....                           | 1,057       | 670     | 341       |
| 4190 Outlays, net (total) .....                                   | 1,057       | 670     | 341       |

The American Recovery and Reinvestment Act of 2009 provided \$6.9 billion to fund transit capital assistance to create jobs to bolster the American economy. Transit capital assistance was provided through urbanized area formula grants, non-urbanized area formula grants, and discretionary Tribal Transit grants. Funds were used for eligible capital projects, preventive maintenance, and to purchase buses and rail rolling stock. Funds were also used for a new discretionary grant program, Transportation Investments in Greenhouse Gas and Energy Reduction, to increase the use of environmentally sustainable operations in the public transportation sector. This schedule shows the obligation and outlay of remaining amounts made available for administration and oversight of these transit capital assistance formula apportionments, discretionary grant awards and associated capital and preventive maintenance projects and vehicle procurements.

##### Object Classification (in millions of dollars)

| Identification code 69-1101-0-1-401                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 5           |         |           |

25.2 Other services from non-Federal sources ..... 7

99.9 Total new obligations ..... 12

##### Employment Summary

| Identification code 69-1101-0-1-401                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 34          |         |           |

#### FIXED GUIDEWAY INFRASTRUCTURE INVESTMENT, RECOVERY ACT

##### Program and Financing (in millions of dollars)

| Identification code 69-1102-0-1-401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 3           |         |           |
| 0900 Total new obligations (object class 25.2) .....              | 3           |         |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 3           |         |           |
| 1050 Unobligated balance (total) .....                            | 3           |         |           |
| 1930 Total budgetary resources available .....                    | 3           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 221         | 93      | 3         |
| 3010 Obligations incurred, unexpired accounts .....               | 3           |         |           |
| 3020 Outlays (gross) .....  | -128        | -90     | -3        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -3          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 93          | 3       |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 221         | 93      | 3         |
| 3200 Obligated balance, end of year .....                         | 93          | 3       |           |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 128         | 90      | 3         |
| 4190 Outlays, net (total) .....                                   | 128         | 90      | 3         |

The American Recovery and Reinvestment Act of 2009 provided \$750 million to fund fixed guideway modernization grants to create jobs to bolster the American economy. The funds were apportioned consistent with the allocation formula authorized by SAFETEA-LU. Eligible capital projects included the purchase or rehabilitation of rail rolling stock and the construction or rehabilitation of transit guideway systems, passenger facilities, maintenance facilities and security systems.

#### Trust Funds

##### DISCRETIONARY GRANTS (TRANSPORTATION TRUST FUND, MASS TRANSIT ACCOUNT)

##### Program and Financing (in millions of dollars)

| Identification code 69-8191-0-7-401                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Discretionary grants .....                        |             | 10      | 10        |
| 0900 Total new obligations (object class 41.0) .....   |             | 10      | 10        |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 5           | 20      | 10        |
| 1021 Recoveries of prior year unpaid obligations ..... | 15          |         |           |
| 1050 Unobligated balance (total) .....                 | 20          | 20      | 10        |
| 1930 Total budgetary resources available .....         | 20          | 20      | 10        |

**DISCRETIONARY GRANTS (TRANSPORTATION TRUST FUND, MASS TRANSIT ACCOUNT)—Continued**  
**Program and Financing—Continued**

| Identification code 69–8191–0–7–401                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                                     |             |         |           |
| Unexpired unobligated balance, end of year .....                  | 20          | 10      | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 36          | 8       | 9         |
| 3010 Obligations incurred, unexpired accounts .....               | .....       | 10      | 10        |
| 3020 Outlays (gross) .....  | -13         | -9      | -9        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -15         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 8           | 9       | 10        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 36          | 8       | 9         |
| 3200 Obligated balance, end of year .....                         | 8           | 9       | 10        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 13          | 9       | 9         |
| 4190 Outlays, net (total) .....                                   | 13          | 9       | 9         |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 5054 Fund balance in excess of liquidating requirements, SOY:     |             |         |           |
| Contract authority .....  | 38          | 38      | 38        |
| 5055 Fund balance in excess of liquidating requirements, EOY:     |             |         |           |
| Contract authority .....  | 38          | 38      | 38        |

In 2014, no additional liquidating cash is requested to pay previously incurred obligations in the Discretionary Grants account.

**TRANSIT FORMULA GRANTS**  
(LIQUIDATION OF CONTRACT AUTHORITY)  
(LIMITATION ON OBLIGATIONS)  
(TRANSPORTATION TRUST FUND)

*For payment of obligations incurred in carrying out the provisions of 49 U.S.C. 5305, 5307, 5310, 5311, 5318, 5322(d), 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by Public Law 112–141; and section 20005(b) of Public Law 112–141, \$9,500,000,000, to be derived from the Mass Transit Account of the Transportation Trust Fund and to remain available until expended: Provided, That funds available for the implementation or execution of programs authorized under 49 U.S.C. 5305, 5307, 5310, 5311, 5318, 5322(d), 5329(e)(6), 5335, 5337, 5339, and 5340, as amended by Public Law 112–141, and section 20005(b) of Public Law 112–141, shall not exceed total obligations of \$8,595,000,000 in fiscal year 2013.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 69–8350–0–7–401                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Urbanized area programs .....                              | 5,638       | 5,338   | 6,045     |
| 0002 Fixed guideway modernization .....                         | 1,680       | 852     | 243       |
| 0003 Bus and bus facility grants .....                          | 990         | 1,039   | 299       |
| 0004 Over-the-road bus .....                                    | 10          | 10      | 3         |
| 0005 Clean Fuels Program .....                                  | 51          | 48      | 14        |
| 0006 Planning Programs .....                                    | 101         | 142     | 181       |
| 0007 Job Access & Reverse Commute .....                         | 182         | 168     | 48        |
| 0008 Alternatives analysis program .....                        | 33          | 28      | 8         |
| 0009 Alternative transportation in parks and public Lands ..... | 30          | 22      | 6         |
| 0011 Seniors and persons with disabilities .....                | 214         | 227     | 300       |
| 0012 Non-urbanized area programs .....                          | 520         | 504     | 696       |
| 0013 New Freedom .....  | 102         | 97      | 28        |
| 0014 National Transit Database .....                            | 3           | 4       | 4         |
| 0015 Oversight .....  | 48          | 81      | 62        |
| 0016 Transit Oriented Development .....                         | .....       | .....   | 4         |
| 0017 Bus and Bus Facilities Formula Grants .....                | .....       | 165     | 361       |

|  |       |       |        |
|--|-------|-------|--------|
| 0018 Bus Testing Facility .....        | ..... | 1     | 3      |
| 0019 National Transit Institute .....  | ..... | 2     | 4      |
| 0020 State of Good Repair Grants ..... | ..... | 828   | 1,816  |
| 0900 Total new obligations .....       | 9,602 | 9,556 | 10,125 |

**Budgetary Resources:**

|  |         |         |         |
|--|---------|---------|---------|
| Unobligated balance:   |         |         |         |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 7,954   | 8,296   | 8,518   |
| 1013 Unobligated balance of contract authority transferred to or from other accounts [69–8083] ..... | -6      | .....   | .....   |
| 1021 Recoveries of prior year unpaid obligations .....   | 61      | .....   | .....   |
| 1050 Unobligated balance (total) .....   | 8,009   | 8,296   | 8,518   |
| Budget authority:  |         |         |         |
| Appropriations, discretionary:   |         |         |         |
| 1101 Appropriation (special or trust fund) .....   | 9,400   | 9,400   | 9,600   |
| 1120 Appropriations transferred to other accts [69–8083] .....                                       | -20     | .....   | .....   |
| 1121 Appropriations transferred from other accts [69–8083] .....                                     | 1,103   | 1,386   | 1,367   |
| 1137 Portion applied to liquidate contract authority used .....                                      | -10,483 | -10,786 | -10,967 |
| Contract authority, mandatory:   |         |         |         |
| 1600 Contract authority .....  | 8,361   | 8,478   | 8,595   |
| 1610 Transferred to other accounts [69–8083] .....   | -15     | .....   | .....   |
| 1611 Transferred from other accounts [69–8083] .....   | 1,543   | 1,300   | 1,300   |
| 1640 Contract authority, mandatory (total) .....   | 9,889   | 9,778   | 9,895   |
| 1900 Budget authority (total) .....  | 9,889   | 9,778   | 9,895   |
| 1930 Total budgetary resources available .....   | 17,898  | 18,074  | 18,413  |
| Memorandum (non-add) entries:  |         |         |         |
| 1941 Unexpired unobligated balance, end of year .....  | 8,296   | 8,518   | 8,288   |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 12,082 | 13,426 | 13,730 |
| 3010 Obligations incurred, unexpired accounts .....               | 9,602  | 9,556  | 10,125 |
| 3020 Outlays (gross) .....  | -8,197 | -9,252 | -9,887 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -61    | .....  | .....  |
| 3050 Unpaid obligations, end of year .....                        | 13,426 | 13,730 | 13,968 |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | 12,082 | 13,426 | 13,730 |
| 3200 Obligated balance, end of year .....                         | 13,426 | 13,730 | 13,968 |

**Budget authority and outlays, net:**

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 1,430 | 1,793 | 1,829 |
| 4011 Outlays from discretionary balances .....      | 6,767 | 7,459 | 8,058 |
| 4020 Outlays, gross (total) .....                   | 8,197 | 9,252 | 9,887 |
| Mandatory:  |       |       |       |
| 4090 Budget authority, gross .....                  | 9,889 | 9,778 | 9,895 |
| 4180 Budget authority, net (total) .....            | 9,889 | 9,778 | 9,895 |
| 4190 Outlays, net (total) .....                     | 8,197 | 9,252 | 9,887 |

**Memorandum (non-add) entries:**

|   |       |       |       |
|---|-------|-------|-------|
| 5052 Obligated balance, SOY: Contract authority .....             | 6,437 | 5,838 | 4,829 |
| 5053 Obligated balance, EOY: Contract authority .....             | 5,838 | 4,829 | 3,757 |
| 5061 Limitation on obligations (Transportation Trust Funds) ..... | 9,904 | 9,712 | 9,895 |

FTA's 2014 budget request is presented in accordance with the MAP-21 program and has significant changes to the account structure in comparison to FTA's funding under its previous authorization (SAFETEA-LU). Funded from the Mass Transit Account of the Highway Trust Fund, the Formula and Bus Grants account has been renamed the Formula Grants account and certain programs have been reorganized. Some programs previously funded here have been consolidated with others or moved to other accounts.

Formula Grants funds can be used for transit capital purposes including bus and railcar purchases, facility repair and construction, as well as maintenance, and where eligible, planning and operating expenses. These funds help existing transit systems provide safe and reliable transportation options, and promote economically vibrant communities. The 2014 Budget request includes \$8.595 billion for Formula Grants. The 2014 formula grant program structure includes:

**Urbanized Area Formula.**—\$4.437 billion. For formula grants to urbanized areas with populations of 50,000 or more. Funds may be used for any transit capital purpose. Operating costs



continue to be eligible expenses for all urban areas under 200,000 in population; and, in certain circumstances, operating costs may be eligible expenses in urban areas with populations over 200,000. Additionally, under MAP-21, Urbanized Area grants may be used to support Job Access and Reverse Commute activities.

**State Safety Oversight Program.**—\$22 million. Under MAP-21, each State with rail systems not regulated by the Federal Railroad Administration (FRA) will meet requirements for a State Safety Oversight (SSO) program. To aid grantees in meeting new requirements, funding will be provided by a formula developed by FTA based on revenue miles, route miles, and passenger miles. The maximum Federal share for these grants is 80 percent.

**State of Good Repair Grants.**—\$2.166 billion. For a formula-based capital maintenance program to restore and replace aging transportation infrastructure through reinvestment in existing fixed guideway systems and buses on high occupancy vehicle (HOV) lanes.

**Rural Area Formula.**—\$608 million. For formula grants to provide funds for capital, planning and operating assistance grants for transit service implemented by States in rural areas with populations of less than 50,000. Funding may also be used to support intercity bus service. Additionally, under MAP-21, Rural Area grants may be used to support Job Access and Reverse Commute activities. Within this amount, MAP-21 also includes \$25 million in formula funds and \$5 million in discretionary grant funds to support the Public Transportation on Indian Reservations program and \$20 million to support the Appalachian Development Public Transportation Assistance Formula Program.

**Growing States and High Density States.**—\$526 million. For funds that are divided between the Urban and Rural Area programs based on the legislative funding formula for this program.

**Enhanced Mobility of Seniors and Individuals with Disabilities.**—\$258 million. Supports local governments and public and private transportation providers that serve special needs of these specific transit-dependent populations beyond traditional public transportation services, including complementary paratransit service. This program is a consolidation of the New Freedom and Elderly and Disabled grant programs authorized by SAFETEA-LU.

**Bus and Bus Facility Formula Grants.**—\$428 million. For formula funding to replace, rehabilitate, and purchase buses and related equipment, and to construct bus-related facilities. This program replaces the Section 5309 Bus and Bus Facilities discretionary grant program authorized by SAFETEA-LU. Under MAP-21, States may use these funds to supplement Urbanized Area and Rural Area formula grant programs.

**Bus Testing Facility.**—\$3 million. Funding supports a facility where all new bus models purchased using FTA capital assistance will be tested for compliance with performance standards for safety, structural integrity, reliability, performance (including braking performance) maintainability, emissions, noise and fuel economy. Under Map-21, FTA must develop a Pass/Fail rating system for buses. FTA grantees will not be able use Federal funds to purchase buses that do not receive a "pass" rating.

**Planning Programs.**—\$129 million. Funding supports cooperative, continuous, and comprehensive transportation infrastructure investment planning. The program requires that all Metropolitan Planning Organizations (MPOs) and States develop performance-driven, outcome-based transportation plans.

**Transit Oriented Development Pilot.**—\$10 million. This new pilot program funds planning for projects that support transit-oriented development associated with new fixed-guideway and core capacity improvement projects.

**National Transit Institute.**—\$5 million. To fund projects that enable FTA to partner with higher education to develop and provide training and educational programs to transit employees and others engaged in providing public transit services.

**National Transit Data Base (NTD).**—\$4 million. For operation and maintenance of the NTD, a database of nationwide statistics on the transit industry, which FTA is legally required to maintain under 49 U.S.C. 5335(a)(1)(2). NTD data serves as the basis for FTA formula grant apportionments and is used to track the condition and performance of our Nation's transit network.

#### Object Classification (in millions of dollars)

| Identification code 69–8350–0–7–401 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.2                                | Other services from non-Federal sources ..... | 69          | 81      | 62        |
| 41.0                                | Grants, subsidies, and contributions .....    | 9,533       | 9,475   | 10,063    |
| 99.9                                | Total new obligations .....                   | 9,602       | 9,556   | 10,125    |

#### ADMINISTRATIVE PROVISIONS—FEDERAL TRANSIT ADMINISTRATION

**SEC. 160.** *The limitations on obligations for the programs of the Federal Transit Administration shall not apply to any authority under 49 U.S.C. 5338, previously made available for obligation, or to any other authority previously made available for obligation.*

**SEC. 161.** *Funds appropriated or limited by this Act under the heading "Fixed Guideway Capital Investment" of the Federal Transit Administration for projects specified in this Act or identified in reports accompanying this Act not obligated by September 30, 2018, and other recoveries, may be directed to any project eligible under 49 U.S.C. 5309.*

**SEC. 162.** *Any funds appropriated before October 1, 2012, under any section of chapter 53 of title 49, United States Code, that remain available for expenditure, may be transferred to and administered under the most recent appropriation heading for any such section.*

**SEC. 163.** *Unobligated and recovered fiscal year 2006 through 2012 funds that were made available to carry out 49 U.S.C. 5339 shall be available to carry out 49 U.S.C. 5309, as amended by Public Law 112–141, subject to the terms and conditions required under such section.*

**SEC. 164.** *For purposes of applying the project justification and local financial commitment criteria of 49 U.S.C. 5309(d) to a New Starts project, the Secretary may consider the costs and ridership of any connected project in an instance in which private parties are making significant financial contributions to the construction of the connected project; additionally, the Secretary may consider the significant financial contributions of private parties to the connected project in calculating the non-Federal share of net capital project costs for the New Starts project.*

**SEC. 165.** *New fixed guideway capital bus projects recommended in the President's Budget submission to the Congress of the United States for funds appropriated under the heading "Capital Investment Grants" in this Act or any other Act shall be funded from amounts made available under that heading or unobligated and recovered fiscal year 2012 and prior year funds that were made available to carry out the discretionary bus and bus facilities program of the Federal Transit Administrations: Provided, That all such projects shall remain subject to the Capital Investment Grants Program requirements of 49 U.S.C. 5309 for New Starts, Small Starts or Core Capacity projects as applicable.*

## SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

### Federal Funds

#### SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION

*The Saint Lawrence Seaway Development Corporation is hereby authorized to make such expenditures, within the limits of funds and borrowing authority available to the Corporation, and in accord with law, and to make such contracts and commitments without regard to fiscal year limitations as provided by section 104 of the Government Corporation Control*

## SAINT LAWRENCE SEAWAY DEVELOPMENT CORPORATION—Continued

*Act, as amended, as may be necessary in carrying out the programs set forth in the Corporation's budget for the current fiscal year.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–4089–0–3–403                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Operations and maintenance .....                                | 16          | 17      | 18        |
| 0802 Replacements and improvements .....                             | 17          | 16      | 16        |
| 0900 Total new obligations .....                                     | 33          | 33      | 34        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 15          | 15      | 15        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 33          | 33      | 34        |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 33          | 33      | 34        |
| 1930 Total budgetary resources available .....                       | 48          | 48      | 49        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 15          | 15      | 15        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 23          | 27      | 24        |
| 3010 Obligations incurred, unexpired accounts .....                  | 33          | 33      | 34        |
| 3020 Outlays (gross) .....   | –29         | –36     | –36       |
| 3050 Unpaid obligations, end of year .....                           | 27          | 24      | 22        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 23          | 27      | 24        |
| 3200 Obligated balance, end of year .....                            | 27          | 24      | 22        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 33          | 33      | 34        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 17          | 33      | 34        |
| 4101 Outlays from mandatory balances .....                           | 12          | 3       | 2         |
| 4110 Outlays, gross (total) .....                                    | 29          | 36      | 36        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   | –32         | –32     | –33       |
| 4123 Non-Federal sources .....                                       | –1          | –1      | –1        |
| 4130 Offsets against gross budget authority and outlays (total) .... | –33         | –33     | –34       |
| 4170 Outlays, net (mandatory) .....                                  | –4          | 3       | 2         |
| 4190 Outlays, net (total) .....                                      | –4          | 3       | 2         |

The Saint Lawrence Seaway Development Corporation (SLSDC) is a wholly-owned U.S. Government corporation responsible for the operation, maintenance, and development of the U.S. portion of the St. Lawrence Seaway between Montreal and mid Lake Erie. The St. Lawrence Seaway is a binational waterway and lock transportation system for the efficient and economic movement of commercial cargoes to and from the Great Lakes Region of North America. SLSDC works with its Canadian counterpart agency (the St. Lawrence Seaway Management Corporation) to ensure the reliability, safety, and security of the locks and waterway and the uninterrupted flow of maritime commerce through the system.

Appropriations from the Harbor Maintenance Trust Fund, and revenues from other non-Federal sources, are used to finance operational and capital asset renewal needs for the U.S. portion of the St. Lawrence Seaway.

## Balance Sheet (in millions of dollars)

| Identification code 69–4089–0–3–403                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1101 Federal assets: Fund balances with Treasury ..... | 24          | 29          |
| Other Federal assets:                                  |             |             |
| 1801 Cash and other monetary assets .....              | 12          | 11          |
| 1803 Property, plant and equipment, net .....          | 85          | 91          |
| 1901 Other assets .....                                | 4           | 5           |
| 1999 Total assets .....                                | 125         | 136         |
| <b>LIABILITIES:</b>                                    |             |             |
| Non-Federal liabilities:                               |             |             |
| 2201 Accounts payable .....                            | 7           | 5           |
| 2206 Pension and other actuarial liabilities .....     | 4           | 4           |
| 2999 Total liabilities .....                           | 11          | 9           |
| <b>NET POSITION:</b>                                   |             |             |
| 3100 Invested Capital .....                            | 100         | 106         |
| 3300 Cumulative results of operations .....            | 14          | 21          |
| 3999 Total net position .....                          | 114         | 127         |
| 4999 Total liabilities and net position .....          | 125         | 136         |

## Object Classification (in millions of dollars)

| Identification code 69–4089–0–3–403                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                         |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 10          | 11      | 11        |
| 12.1 Civilian personnel benefits .....                   | 3           | 3       | 4         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                        | 1           | 1       | 1         |
| 31.0 Equipment .....                                     | 2           | 1       | 1         |
| 32.0 Land and structures .....                           | 13          | 15      | 15        |
| 99.0 Reimbursable obligations .....                      | 31          | 33      | 34        |
| 99.5 Below reporting threshold .....                     | 2           |         |           |
| 99.9 Total new obligations .....                         | 33          | 33      | 34        |

## Employment Summary

| Identification code 69–4089–0–3–403                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 127         | 144     | 144       |

## Trust Funds

## OPERATIONS AND MAINTENANCE

## (HARBOR MAINTENANCE TRUST FUND)

*For necessary expenses to conduct the operations, maintenance, and capital asset renewal activities of those portions of the St. Lawrence Seaway owned, operated, and maintained by the Saint Lawrence Seaway Development Corporation, \$32,855,000, to be derived from the Harbor Maintenance Trust Fund, pursuant to Public Law 99–662.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–8003–0–7–403                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Operations and maintenance .....                | 32          | 32      | 33        |
| 0900 Total new obligations (object class 25.3) ..... | 32          | 32      | 33        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1101 Appropriation (special or trust fund) .....     | 32          | 32      | 33        |
| 1160 Appropriation, discretionary (total) .....      | 32          | 32      | 33        |
| 1930 Total budgetary resources available .....       | 32          | 32      | 33        |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| <b>Change in obligated balance:</b>       |  |     |     |     |
| Unpaid obligations:                       |  |     |     |     |
| 3010                                      | Obligations incurred, unexpired accounts ..... | 32  | 32  | 33  |
| 3020                                      | Outlays (gross) .....                          | -32 | -32 | -33 |
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Discretionary:                            |  |     |     |     |
| 4000                                      | Budget authority, gross .....                  | 32  | 32  | 33  |
| Outlays, gross:                           |  |     |     |     |
| 4010                                      | Outlays from new discretionary authority ..... | 32  | 32  | 33  |
| 4180                                      | Budget authority, net (total) .....            | 32  | 32  | 33  |
| 4190                                      | Outlays, net (total) .....                     | 32  | 32  | 33  |

The Water Resources Development Act of 1986 authorizes use of the Harbor Maintenance Trust Fund as an appropriation source for the Saint Lawrence Seaway Development Corporation's operating and capital asset renewal programs.

## PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION

The following table depicts funding for all the Pipeline and Hazardous Materials Safety Administration programs.

[In millions of dollars]

|   | 2012 Actual | 2013 Annual CR | 2014 Est.  |
|---|-------------|----------------|------------|
| <b>Budget authority:</b>                                      |             |                |            |
| Operational Expenses .....                                    | 20          | 20             | 20         |
| Hazardous Materials Safety .....                              | 42          | 43             | 52         |
| Research and Special Programs .....                           | 0           | 0              | 0          |
| Emergency Preparedness Grants .....                           | 18          | 28             | 28         |
| Pipeline Safety .....   | 92          | 92             | 136        |
| Pipeline Safety Share of Oil Spill Liability Trust Fund ..... | 19          | 19             | 19         |
| <b>Total budget authority .....</b>                           | <b>191</b>  | <b>202</b>     | <b>255</b> |
| <b>Program level (obligations):</b>                           |             |                |            |
| Operational Expenses .....                                    | 20          | 20             | 20         |
| Hazardous Materials Safety .....                              | 43          | 46             | 52         |
| Research and Special Programs .....                           | 0           | 0              | 0          |
| Emergency Preparedness Grants .....                           | 22          | 28             | 28         |
| Pipeline Safety .....   | 101         | 115            | 136        |
| Pipeline Safety Share of Oil Spill Liability Trust Fund ..... | 19          | 19             | 19         |
| <b>Total program level .....</b>                              | <b>205</b>  | <b>228</b>     | <b>255</b> |
| <b>Outlays:</b>   |             |                |            |
| Operational Expenses .....                                    | 18          | 21             | 20         |
| Hazardous Materials Safety .....                              | 43          | 45             | 49         |
| Research and Special Programs .....                           | 0           | 0              | 0          |
| Emergency Preparedness Grants .....                           | 22          | 46             | 25         |
| Pipeline Safety .....   | 71          | 105            | 114        |
| Pipeline Safety Share of Oil Spill Liability Trust Fund ..... | 20          | 20             | 19         |
| <b>Total outlays .....</b>                                    | <b>174</b>  | <b>237</b>     | <b>227</b> |

### Federal Funds

#### HAZARDOUS MATERIALS SAFETY

##### (HAZARDOUS MATERIALS APPROVALS AND PERMITS FUND)

For expenses necessary to discharge the hazardous materials safety functions of the Pipeline and Hazardous Materials Safety Administration, \$51,801,000, of which \$2,300,000 shall remain available until September 30, 2016: Provided, That amounts collected from special permits and approval fees established in this Act (estimated to be \$12,000,000 in fiscal year 2014), shall be retained and used for necessary expenses in this appropriation, and shall remain available until expended: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting receipts are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at \$39,801,000: Provided further, That during fiscal year 2014, should the total amount of offsetting receipts be less than \$12,000,000, this amount shall be reduced accordingly: Provided further, That any amount received in excess of \$12,000,000 in fiscal year 2014 shall remain available until expended: Provided further, That up to \$800,000 in fees

collected under 49 U.S.C. 5108(g) shall be deposited in the general fund of the Treasury as offsetting receipts: Provided further, That there may be credited to this appropriation, to be available until expended, funds received from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training, for reports publication and dissemination, and for travel expenses incurred in performance of hazardous materials exemptions and approvals functions.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 69-1401-0-1-407                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                         | .....       | .....   | .....     |
| <b>Receipts:</b>  |             |         |           |
| 0260 Hazardous Materials Approvals and Permits Fund ..... | .....       | .....   | 12        |
| 0400 Total: Balances and collections .....                | .....       | .....   | 12        |
| 0799 Balance, end of year .....                           | .....       | .....   | 12        |

#### Program and Financing (in millions of dollars)

| Identification code 69-1401-0-1-407                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Hazardous materials safety .....                              | 42          | 46      | 52        |
| 0801 Reimbursable program .....                                    | 1           | .....   | .....     |
| 0900 Total new obligations .....                                   | 43          | 46      | 52        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3           | 4       | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 4           | 4       | .....     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 42          | 42      | 52        |
| 1160 Appropriation, discretionary (total) .....                    | 42          | 42      | 52        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | .....   | .....     |
| 1900 Budget authority (total) .....                                | 43          | 42      | 52        |
| 1930 Total budgetary resources available .....                     | 47          | 46      | 52        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 4           | .....   | .....     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 13          | 12      | 14        |
| 3010 Obligations incurred, unexpired accounts .....                | 43          | 46      | 52        |
| 3011 Obligations incurred, expired accounts .....                  | 3           | .....   | .....     |
| 3020 Outlays (gross) .....   | -45         | -44     | -49       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 12          | 14      | 17        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 13          | 12      | 14        |
| 3200 Obligated balance, end of year .....                          | 12          | 14      | 17        |

#### Budget authority and outlays, net:

|   |    |       |       |
|---|----|-------|-------|
| Discretionary:                                      |    |       |       |
| 4000 Budget authority, gross .....                  | 43 | 42    | 52    |
| Outlays, gross:                                     |    |       |       |
| 4010 Outlays from new discretionary authority ..... | 32 | 29    | 35    |
| 4011 Outlays from discretionary balances .....      | 13 | 15    | 14    |
| 4020 Outlays, gross (total) .....                   | 45 | 44    | 49    |
| Offsets against gross budget authority and outlays: |    |       |       |
| Offsetting collections (collected) from:            |    |       |       |
| 4030 Federal sources .....                          | -1 | ..... | ..... |
| 4180 Budget authority, net (total) .....            | 42 | 42    | 52    |
| 4190 Outlays, net (total) .....                     | 44 | 44    | 49    |

The Pipeline and Hazardous Materials Safety Administration (PHMSA) is responsible for advancing the safe transportation of

## HAZARDOUS MATERIALS SAFETY—Continued

hazardous materials, based on a comprehensive technical and analytical foundation to ensure that resources are effectively applied to minimize fatalities and injuries, mitigate the consequences of incidents that occur, and enhance safety. The program carries out its mission based on a foundation of five Cs: Classifying hazardous materials to ensure they are packaged and handled safely during transportation; Containing hazardous materials properly in accordance with the Hazardous Materials Regulations for shippers; Communicating to transportation workers and emergency responders regarding the hazards of the materials being transported; ensuring Compliance with the Hazardous Materials Regulations by enforcing safety standards, investigating incidents/failures and educating stakeholders; and Crisis Management to mitigate the consequences of hazardous materials incidents through grant and outreach programs.

## Object Classification (in millions of dollars)

| Identification code 69–1401–0–1–407                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 17          | 18      | 19        |
| 11.3 Other than full-time permanent .....                       | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 18          | 18      | 19        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 6         |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 1       |           |
| 24.0 Printing and reproduction .....                            | 1           |         |           |
| 25.1 Advisory and assistance services .....                     | 7           |         | 10        |
| 25.2 Other services from non-Federal sources .....              |             | 9       |           |
| 25.3 Other goods and services from Federal sources .....        | 4           | 3       | 6         |
| 25.5 Research and development contracts .....                   | 2           | 6       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 2           |         | 2         |
| 31.0 Equipment .....  |             |         | 1         |
| 99.0 Direct obligations .....                                   | 42          | 46      | 50        |
| 99.0 Reimbursable obligations .....                             | 1           |         |           |
| 99.5 Below reporting threshold .....                            |             |         | 2         |
| 99.9 Total new obligations .....                                | 43          | 46      | 52        |

## Employment Summary

| Identification code 69–1401–0–1–407                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 174         | 190     | 190       |

## PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION

## OPERATIONAL EXPENSES

## (PIPELINE SAFETY FUND)

## (INCLUDING TRANSFER OF FUNDS)

*For necessary operational expenses of the Pipeline and Hazardous Materials Safety Administration, \$21,654,000, of which \$639,000 shall be derived from the Pipeline Safety Fund: Provided, That \$1,500,000 shall be transferred to "Pipeline Safety" in order to fund "Pipeline Safety Information Grants to Communities" as authorized under section 60130 of title 49, United States Code.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–1400–0–1–407 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0001 Direct program activity .....  | 20          | 20      | 20        |

## Budgetary Resources:

|  |    |    |    |
|--|----|----|----|
| Budget authority:  |    |    |    |
| Appropriations, discretionary:                                   |    |    |    |
| 1100 Appropriation .....   | 20 | 20 | 21 |
| 1120 Appropriations transferred to other accts [69–5172] .....   | –1 | –1 | –2 |
| 1121 Appropriations transferred from other accts [69–5172] ..... | 1  | 1  | 1  |
| 1160 Appropriation, discretionary (total) .....                  | 20 | 20 | 20 |
| 1900 Budget authority (total) .....                              | 20 | 20 | 20 |
| 1930 Total budgetary resources available .....                   | 20 | 20 | 20 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 5   | 7   | 6   |
| 3010 Obligations incurred, unexpired accounts .....             | 20  | 20  | 20  |
| 3011 Obligations incurred, expired accounts .....               | 1   |     |     |
| 3020 Outlays (gross) .....                                      | –18 | –21 | –20 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 7   | 6   | 6   |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 5   | 7   | 6   |
| 3200 Obligated balance, end of year .....                       | 7   | 6   | 6   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 20 | 20 | 20 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 14 | 14 | 14 |
| 4011 Outlays from discretionary balances .....      | 4  | 7  | 6  |
| 4020 Outlays, gross (total) .....                   | 18 | 21 | 20 |
| 4180 Budget authority, net (total) .....            | 20 | 20 | 20 |
| 4190 Outlays, net (total) .....                     | 18 | 21 | 20 |

The success of the Pipeline and Hazardous Materials Safety Administration (PHMSA) safety programs depends on the performance of support organizations that empower the program offices to meet their safety mandate. These support organizations within PHMSA include the Administrator, Deputy Administrator, Assistant Administrator/Chief Safety Officer, Chief Counsel, Governmental, International and Public Affairs, Associate Administrator for Administration/Chief Financial Officer, Information Technology Services, Administrative Services, Budget and Finance, Contracts and Procurement, Human Resources and Civil Rights.

## Object Classification (in millions of dollars)

| Identification code 69–1400–0–1–407                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 7           | 8       | 8         |
| 11.3 Other than full-time permanent .....                | 1           |         |           |
| 11.9 Total personnel compensation .....                  | 8           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....        | 5           | 5       | 5         |
| 31.0 Equipment .....                                     | 1           | 1       |           |
| 99.0 Direct obligations .....                            | 20          | 20      | 19        |
| 99.5 Below reporting threshold .....                     |             |         | 1         |
| 99.9 Total new obligations .....                         | 20          | 20      | 20        |

## Employment Summary

| Identification code 69–1400–0–1–407                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 67          | 70      | 70        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 2           | 11      | 11        |

**PIPELINE SAFETY**  
(PIPELINE SAFETY FUND)

(OIL SPILL LIABILITY TRUST FUND)

(PIPELINE SAFETY DESIGN REVIEW FUND)

*For expenses necessary to conduct the functions of the pipeline safety program, for grants-in-aid to carry out a pipeline safety program, as authorized by 49 U.S.C. 60107, and to discharge the pipeline program responsibilities of the Oil Pollution Act of 1990, \$153,573,000, of which \$18,573,000 shall be derived from the Oil Spill Liability Trust Fund and shall remain available until September 30, 2016; and of which \$133,000,000 shall be derived from the Pipeline Safety Fund, of which \$82,569,000 shall remain available until September 30, 2016; and of which \$2,000,000, to remain available until expended, shall be derived from the Pipeline Safety Design Review Fund, as established in the Pipeline Safety, Regulatory Certainty, and Job Creation Act of 2011 (Public Law 112–90).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 69–5172–0–2–407           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....             | 41          | 40      | 39        |
| Receipts:                                     |             |         |           |
| 0260 Pipeline Safety Fund .....               | 90          | 91      | 134       |
| 0261 Pipeline Safety Design Review Fund ..... |             |         | 2         |
| 0299 Total receipts and collections .....     | 90          | 91      | 136       |
| 0400 Total: Balances and collections .....    | 131         | 131     | 175       |
| Appropriations:                               |             |         |           |
| 0500 Pipeline Safety .....                    | –91         | –92     | –136      |
| 0799 Balance, end of year .....               | 40          | 39      | 39        |

**Program and Financing** (in millions of dollars)

| Identification code 69–5172–0–2–407                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Operations .....  | 63          | 77      | 82        |
| 0002 Research and development .....                                | 8           | 11      | 12        |
| 0003 Grants .....  | 49          | 46      | 61        |
| 0900 Total new obligations .....                                   | 120         | 134     | 155       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 24          | 23      |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 9           |         |           |
| 1050 Unobligated balance (total) .....                             | 33          | 23      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1101 Appropriation (special or trust fund) .....                   | 91          | 92      | 136       |
| 1120 Appropriations transferred to other accts [69–1400] .....     | –1          | –1      | –1        |
| 1121 Appropriations transferred from other accts [69–1400] .....   | 1           | 1       | 2         |
| 1160 Appropriation, discretionary (total) .....                    | 91          | 92      | 137       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 20          | 19      | 19        |
| 1701 Change in uncollected payments, Federal sources .....         | –1          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 19          | 19      | 19        |
| 1900 Budget authority (total) .....                                | 110         | 111     | 156       |
| 1930 Total budgetary resources available .....                     | 143         | 134     | 156       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 23          |         | 1         |
| Special and non-revolving trust funds:                             |             |         |           |
| 1952 Expired unobligated balance, start of year .....              | 3           | 2       | 3         |
| 1953 Expired unobligated balance, end of year .....                | 2           | 3       | 2         |

**Change in obligated balance:**

|   |     |      |      |
|---|-----|------|------|
| Unpaid obligations:                                   |     |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 61  | 80   | 90   |
| 3010 Obligations incurred, unexpired accounts .....   | 120 | 134  | 155  |
| 3011 Obligations incurred, expired accounts .....     | 2   |      |      |
| 3020 Outlays (gross) .....                            | –91 | –124 | –132 |

|   |     |     |     |
|---|-----|-----|-----|
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –9  |     |     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –3  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 80  | 90  | 113 |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –15 | –14 | –14 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 1   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –14 | –14 | –14 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 46  | 66  | 76  |
| 3200 Obligated balance, end of year .....                         | 66  | 76  | 99  |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 110 | 111 | 156 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 39  | 55  | 76  |
| 4011 Outlays from discretionary balances .....                 | 52  | 69  | 56  |
| 4020 Outlays, gross (total) .....                              | 91  | 124 | 132 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | –20 | –19 | –19 |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 1   |     |     |
| 4070 Budget authority, net (discretionary) .....               | 91  | 92  | 137 |
| 4080 Outlays, net (discretionary) .....                        | 71  | 105 | 113 |
| 4180 Budget authority, net (total) .....                       | 91  | 92  | 137 |
| 4190 Outlays, net (total) .....                                | 71  | 105 | 113 |

The Pipeline and Hazardous Materials Safety Administration (PHMSA) is responsible for the Department's Pipeline Safety program. PHMSA provides safety oversight of the Nation's 2.6 million miles of gas and hazardous liquid pipelines, which are owned and operated by private industry. PHMSA, and its state pipeline safety partners, use a data-driven process to address the risks pipelines pose to the public and the environment. This includes data analysis, damage prevention, education and training, enforcement of regulations and standards, research and development, grants for States' pipeline safety programs and emergency planning for response to accidents.

**Object Classification** (in millions of dollars)

| Identification code 69–5172–0–2–407                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....               | 21          | 21      | 24        |
| 12.1 Civilian personnel benefits .....                               | 6           | 6       | 7         |
| 21.0 Travel and transportation .....                                 | 3           | 3       | 4         |
| 23.1 Rental payments to GSA .....                                    | 2           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges - wcf .... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                          | 14          | 17      | 31        |
| 25.2 Other services from non-Federal sources .....                   |             | 6       | 2         |
| 25.3 Other goods and services from Federal sources .....             | 6           | 6       | 6         |
| 25.4 Operation and maintenance of facilities .....                   | 1           |         |           |
| 25.5 Research and development contracts .....                        | 8           | 12      | 12        |
| 25.7 Operation and maintenance of equipment .....                    | 8           | 10      |           |
| 31.0 Equipment .....   | 1           | 1       | 3         |
| 41.0 Grants, subsidies, and contributions .....                      | 48          | 46      | 61        |
| 99.0 Direct obligations .....  | 119         | 133     | 155       |
| 99.5 Below reporting threshold .....                                 | 1           | 1       |           |
| 99.9 Total new obligations .....                                     | 120         | 134     | 155       |

**Employment Summary**

| Identification code 69–5172–0–2–407                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 195         | 215     | 242       |

**EMERGENCY PREPAREDNESS GRANTS**

(EMERGENCY PREPAREDNESS FUND)

*For necessary expenses to carry out 49 U.S.C. 5128(b), \$188,000, to be derived from the Emergency Preparedness Fund, to remain available until September 30, 2015: Provided, That not more than \$28,318,000 shall*

## EMERGENCY PREPAREDNESS GRANTS—Continued

be made available for obligation in fiscal year 2014 from amounts made available by 49 U.S.C. 5116(i) and 5128(b)-(c): Provided further, That none of the funds made available by 49 U.S.C. 5116(i), 5128(b), or 5128(c) shall be made available for obligation by individuals other than the Secretary of Transportation, or his designee.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 69–5282–0–2–407  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 20          | 27      | 27        |
| Adjustments:   |             |         |           |
| 0190 Adjustment - add'l receipts needed for prior year adjustment of obligations .....                             | -5          |         |           |
| 0199 Balance, start of year .....  | 15          | 27      | 27        |
| Receipts:  |             |         |           |
| 0220 Hazardous Materials Transportation Registration, Filing, and Permit Fees, Emergency Preparedness Grants ..... | 30          | 28      | 28        |
| 0400 Total: Balances and collections .....   | 45          | 55      | 55        |
| Appropriations:  |             |         |           |
| 0500 Emergency Preparedness Grants .....   | -30         | -28     | -28       |
| 0501 Emergency Preparedness Grants .....   | 12          |         |           |
| 0599 Total appropriations .....  | -18         | -28     | -28       |
| 0799 Balance, end of year .....  | 27          | 27      | 27        |

## Program and Financing (in millions of dollars)

| Identification code 69–5282–0–2–407                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Grants .....  | 17          | 22      | 22        |
| 0002 Competitive Training Grants .....                               | 3           | 4       | 3         |
| 0003 Supplemental Training Grants .....                              | 1           | 1       | 1         |
| 0004 Operations .....  | 1           | 1       | 2         |
| 0900 Total new obligations .....                                     | 22          | 28      | 28        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 4           |         |           |
| 1050 Unobligated balance (total) .....                               | 4           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                     | 30          | 28      | 28        |
| 1235 Appropriations precluded from obligation .....                  | -12         |         |           |
| 1260 Appropriations, mandatory (total) .....                         | 18          | 28      | 28        |
| 1930 Total budgetary resources available .....                       | 22          | 28      | 28        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 39          | 40      | 23        |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | 5           |         |           |
| 3010 Obligations incurred, unexpired accounts .....                  | 22          | 28      | 28        |
| 3020 Outlays (gross) .....   | -22         | -45     | -25       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -4          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 40          | 23      | 26        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 44          | 40      | 23        |
| 3200 Obligated balance, end of year .....                            | 40          | 23      | 26        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 18          | 28      | 28        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 2           | 10      | 10        |
| 4101 Outlays from mandatory balances .....                           | 20          | 35      | 15        |
| 4110 Outlays, gross (total) .....                                    | 22          | 45      | 25        |
| 4180 Budget authority, net (total) .....                             | 18          | 28      | 28        |
| 4190 Outlays, net (total) .....                                      | 22          | 45      | 25        |

## Object Classification (in millions of dollars)

| Identification code 69–5282–0–2–407                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 21          | 27      | 26        |
| 99.5 Below reporting threshold .....                                | 1           | 1       | 2         |
| 99.9 Total new obligations .....                                    | 22          | 28      | 28        |

## Trust Funds

## TRUST FUND SHARE OF PIPELINE SAFETY

## Program and Financing (in millions of dollars)

| Identification code 69–8121–0–7–407                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Trust fund share of pipeline safety .....        | 19          | 19      | 19        |
| 0900 Total new obligations (object class 94.0) .....  | 19          | 19      | 19        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 19          | 19      | 19        |
| 1160 Appropriation, discretionary (total) .....       | 19          | 19      | 19        |
| 1930 Total budgetary resources available .....        | 19          | 19      | 19        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 16          | 15      | 13        |
| 3010 Obligations incurred, unexpired accounts .....   | 19          | 19      | 19        |
| 3020 Outlays (gross) .....                            | -20         | -21     | -19       |
| 3050 Unpaid obligations, end of year .....            | 15          | 13      | 13        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 16          | 15      | 13        |
| 3200 Obligated balance, end of year .....             | 15          | 13      | 13        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 19          | 19      | 19        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 5           | 9       | 9         |
| 4011 Outlays from discretionary balances .....        | 15          | 12      | 10        |
| 4020 Outlays, gross (total) .....                     | 20          | 21      | 19        |
| 4180 Budget authority, net (total) .....              | 19          | 19      | 19        |
| 4190 Outlays, net (total) .....                       | 20          | 21      | 19        |

The Oil Pollution Act of 1990 requires the preparation of spill response plans by operators that store, handle, or transport oil to minimize the environmental impact of oil spills and to improve public and private sector response. The Pipeline and Hazardous Materials Safety Administration (PHMSA) reviews response plans submitted by operators of onshore oil pipelines to ensure the plans comply with PHMSA regulations. These plans also must be regularly updated by the operator and submitted for subsequent review by PHMSA. PHMSA also seeks to improve oil spill preparedness and response through data analysis, spill monitoring, mapping pipelines in areas unusually sensitive to environmental damage, and advanced technologies to detect and

prevent leaks from hazardous liquid pipelines. These and related activities are funded in part by the Oil Spill Liability Trust Fund.

#### ADMINISTRATIVE PROVISIONS—PIPELINE AND HAZARDOUS MATERIALS SAFETY ADMINISTRATION

**SEC. 1. Establishment.** (a) *There is established a Hazardous Materials Approvals and Permits fund for the administration of special permits and approvals.* (b) *The Secretary of Transportation shall collect a reasonable fee, to the extent and in such amounts as provided in advance in appropriations acts, for the administration of special permits and approvals, as specified in paragraph (c) below, which shall be deposited in the fund established in paragraph (a).* (c) *For 2014, fees for permits and approvals shall be as follows: (1) New Special Permits: \$3,000 per application, under 49 C.F.R. 107.105. (2) Modification of a Special Permit: \$3,000 per application modification, under 49 C.F.R. 107.121. (3) Renewal Special Permit: \$1,000 per application, under 49 C.F.R. 107.109. (4) Party Status Special Permit: \$1,000 per application, under 49 C.F.R. 107.107. (5) Cylinder Manufacturer Approvals: \$3,000 per application for approval, under 49 C.F.R. 107.805. (6) All Other Approvals: \$700 per application, under 49 C.F.R. 107 Subpart H and Subpart I.*

**SEC. 2. Subsection(i)(4) of section 5116 of title 49, United States Code, is amended to read as follows: "(4) to pay administrative costs of carrying out this section and sections 5108 (g)(2) and 5115 of this title, except that not more than 4 percent of the amounts made available from the account in a fiscal year may be used to pay those costs".**

## OFFICE OF INSPECTOR GENERAL

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Office of the Inspector General to carry out the provisions of the Inspector General Act of 1978, as amended, \$85,605,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the Department: Provided further, That the funds made available under this heading may be used to investigate, pursuant to section 41712 of title 49, United States Code: (1) unfair or deceptive practices and unfair methods of competition by domestic and foreign air carriers and ticket agents; and (2) the compliance of domestic and foreign air carriers with respect to item (1) of this proviso.*

**Note.**—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

| Identification code 69–0130–0–1–407                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0101 General administration .....                               | 80          | 80      | 86        |
| 0102 ARRA oversight administration .....                        | 4           | 4       |           |
| 0103 Disaster Relief and Oversight FY 2013 .....                |             | 1       | 2         |
| 0900 Total new obligations .....                                | 84          | 85      | 88        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 8           | 4       | 5         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 80          | 80      | 86        |
| 1121 Appropriations transferred from other accts [69–1140] .... |             | 6       |           |
| 1160 Appropriation, discretionary (total) .....                 | 80          | 86      | 86        |
| 1900 Budget authority (total) .....                             | 80          | 86      | 86        |
| 1930 Total budgetary resources available .....                  | 88          | 90      | 91        |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 1941 | Memorandum (non-add) entries:                              |     |     |     |
|      | Unexpired unobligated balance, end of year .....           | 4   | 5   | 3   |
|      | <b>Change in obligated balance:</b>                        |     |     |     |
|      | Unpaid obligations:  |     |     |     |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....           | 11  | 8   | 8   |
| 3010 | Obligations incurred, unexpired accounts .....             | 84  | 85  | 88  |
| 3020 | Outlays (gross) .....                                      | –86 | –85 | –88 |
| 3041 | Recoveries of prior year unpaid obligations, expired ..... | –1  |     |     |
| 3050 | Unpaid obligations, end of year .....                      | 8   | 8   | 8   |
|      | Memorandum (non-add) entries:                              |     |     |     |
| 3100 | Obligated balance, start of year .....                     | 11  | 8   | 8   |
| 3200 | Obligated balance, end of year .....                       | 8   | 8   | 8   |
|      | <b>Budget authority and outlays, net:</b>                  |     |     |     |
|      | Discretionary:   |     |     |     |
| 4000 | Budget authority, gross .....                              | 80  | 86  | 86  |
|      | Outlays, gross:  |     |     |     |
| 4010 | Outlays from new discretionary authority .....             | 73  | 73  | 77  |
| 4011 | Outlays from discretionary balances .....                  | 13  | 12  | 11  |
| 4020 | Outlays, gross (total) .....                               | 86  | 85  | 88  |
| 4180 | Budget authority, net (total) .....                        | 80  | 86  | 86  |
| 4190 | Outlays, net (total) .....                                 | 86  | 85  | 88  |

The Department of Transportation (DOT) Inspector General conducts independent audits, investigations and evaluations to promote economy, efficiency and effectiveness in the administration of DOT programs and operations, including contracts, grants, and financial management; and, to prevent and detect fraud, waste, and abuse in such activities. This appropriation provides funds to enable the Office of the Inspector General to perform these oversight responsibilities in accordance with the Inspector General Act of 1978, as Amended (5 U.S.C. App. 3).

#### Object Classification (in millions of dollars)

| Identification code 69–0130–0–1–407                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 44          | 44      | 45        |
| 11.3 Other than full-time permanent .....                       | 2           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 3           | 3       | 3         |
| 11.9 Total personnel compensation .....                         | 49          | 48      | 49        |
| 12.1 Civilian personnel benefits .....                          | 16          | 16      | 16        |
| 21.0 Travel and transportation of persons .....                 | 2           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 5           | 5       | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 3           | 4       | 4         |
| 25.3 Other goods and services from Federal sources .....        | 5           | 6       | 6         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 83          | 85      | 87        |
| 99.5 Below reporting threshold .....                            | 1           |         | 1         |
| 99.9 Total new obligations .....                                | 84          | 85      | 88        |

#### Employment Summary

| Identification code 69–0130–0–1–407                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 443         | 420     | 422       |

## SURFACE TRANSPORTATION BOARD

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Surface Transportation Board, including services authorized by 5 U.S.C. 3109, \$30,775,000: Provided, That notwithstanding any other provision of law, not to exceed \$1,250,000 from fees established by the Chairman of the Surface Transportation Board shall be credited to this appropriation as offsetting collections and used for necessary and authorized expenses under this heading: Provided further, That the sum herein appropriated from the general fund shall be*

## SALARIES AND EXPENSES—Continued

reduced on a dollar-for-dollar basis as such offsetting collections are received during fiscal year 2014, to result in a final appropriation from the general fund estimated at no more than \$29,525,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–0301–0–1–401                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Rail carriers .....   | 27          | 27      | 29        |
| 0002 Other surface transportation carriers .....                     | 1           | 1       | 1         |
| 0100 Total direct obligations .....                                  | 28          | 28      | 30        |
| 0799 Total direct obligations .....                                  | 28          | 28      | 30        |
| 0812 Reimbursable rail carriers .....                                | 1           | 1       | 1         |
| 0900 Total new obligations .....                                     | 29          | 29      | 31        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 1           | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 29          | 28      | 30        |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –1          |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 28          | 28      | 30        |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                  | 29          | 29      | 31        |
| 1930 Total budgetary resources available .....                       | 30          | 30      | 32        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 3           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....                  | 29          | 29      | 31        |
| 3020 Outlays (gross) .....   | –29         | –29     | –31       |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 2           | 2       | 2         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 3           | 2       | 2         |
| 3200 Obligated balance, end of year .....                            | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 29          | 29      | 31        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 26          | 26      | 28        |
| 4011 Outlays from discretionary balances .....                       | 3           | 3       | 3         |
| 4020 Outlays, gross (total) .....                                    | 29          | 29      | 31        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4033 Non-Federal sources .....                                       | –1          | –1      | –1        |
| 4180 Budget authority, net (total) .....                             | 28          | 28      | 30        |
| 4190 Outlays, net (total) .....                                      | 28          | 28      | 30        |

The Surface Transportation Board (the Board) was created on January 1, 1996, by P.L. 104–88, the Interstate Commerce Commission Termination Act of 1995 (ICCTA). The Board is specifically responsible for the regulation of the rail and pipeline industries and certain non-licensing regulation of motor carriers and water carriers.

**Rail Carriers.**—This regulatory oversight encompasses the regulation of rates, mergers and acquisitions, construction, and abandonment of railroad lines, as well as the planning, analysis, and policy development associated with these activities.

**Other Surface Transportation Carriers.**—This regulatory oversight includes certain regulation of the intercity bus industry

and surface pipeline carriers as well as the rate regulation of water transportation in the non-contiguous domestic trade, household-good carriers, and collectively determined motor rates.

**Fiscal Year 2014 Program.**—\$30,775,000 is requested to implement rulemakings and adjudicate the ongoing caseload within the directives and deadlines set forth by the ICCTA. This includes a request for \$1,250,00 from offsetting collections of user fees.

The following paragraph is presented in compliance with Section 703 of the ICCTA. It is presented without change or correction.

**The Board's Request to the Office of Management and Budget (OMB).**—The Board had submitted to the Secretary of Transportation and the OMB a 2014 appropriation request of \$34,284,000 and a request that \$1,250,000 from the offsetting collection of user fees be made available to the Board to operate at 170 full time equivalents. The offsetting collection of user fees is based on the costs incurred by the Board for fee-related activities and is commensurate with the costs of processing parties' submissions. In past fiscal years, the Board received both an appropriation and authorization for offsetting collections to be made available to the appropriation for the Board's expenses. The 2014 Budget request reflects offsetting collections as a credit to the appropriation received, to the extent that they are collected.

This level of funding is necessary to implement rulemakings and adjudicate the ongoing caseload within the deadlines imposed by ICCTA. The Board requires adequate resources to perform key functions under the ICCTA, including rail rate reasonableness oversight; the processing of rail consolidations, abandonments, and other restructuring proposals; and the resolution of non-rail matters. This request also includes staffing and resources required to implement the Board's expanded jurisdiction with respect to regulation of passenger rail service under the Passenger Rail Investment and Improvement Act of 2008 (P.L. No. 110–432) and the enhancement of the Board's audit program to monitor the financial condition of the Nation's railroads.

## Object Classification (in millions of dollars)

| Identification code 69–0301–0–1–401                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| <b>Personnel compensation:</b>                           |             |         |           |
| 11.1 Full-time permanent .....                           | 16          | 16      | 17        |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 17          | 17      | 18        |
| 12.1 Civilian personnel benefits .....                   | 4           | 4       | 5         |
| 23.1 Rental payments to GSA .....                        | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 99.0 Direct obligations .....                            | 28          | 28      | 30        |
| 99.0 Reimbursable obligations .....                      | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 29          | 29      | 31        |

## Employment Summary

| Identification code 69–0301–0–1–401                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 129         | 140     | 144       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5           | 9       | 9         |

## MARITIME ADMINISTRATION

## Federal Funds

## OPERATIONS AND TRAINING

For necessary expenses of operations and training activities authorized by law, \$152,168,000, of which \$11,100,000 shall remain available until expended for maintenance and repair of training ships at State Maritime Academies, and of which \$2,400,000 shall remain available through



September 30, 2015 for Student Incentive Program payments at State Maritime Academies, and of which \$4,000,000 shall remain available through September 30, 2015 for facilities maintenance, repairs, and equipment at the United States Merchant Marine Academy, and of which \$14,000,000 shall remain available until expended for capital improvements at the United States Merchant Marine Academy, and of which \$1,700,000 shall remain available until expended for port planning grants, and of which \$2,800,000 shall remain available until expended for custodial care and historic preservation of the N.S. Savannah: Provided, That amounts apportioned for the United States Merchant Marine Academy shall be available only upon allotments made personally by the Secretary of Transportation or the Assistant Secretary for Budget and Programs: Provided further, That the Superintendent, Deputy Superintendent and the Director of the Office of Resource Management of the United States Merchant Marine Academy may not be allotment holders for the United States Merchant Marine Academy, and the Administrator of the Maritime Administration shall hold all allotments made by the Secretary of Transportation or the Assistant Secretary for Budget and Programs under the previous proviso: Provided further, That 50 percent of the funding made available for the United States Merchant Marine Academy under this heading shall be available only after the Secretary, in consultation with the Superintendent and the Maritime Administrator, completes a plan detailing by program or activity how such funding will be expended at the Academy, and this plan is submitted to the House and Senate Committees on Appropriations.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 69–1750–0–1–403                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Merchant Marine Academy .....                                   | 101         | 109     | 81        |
| 0002   | State marine schools .....                                      | 17          | 21      | 17        |
| 0003   | MARAD operations .....  | 54          | 54      | 54        |
| 0004   | Other Maritime Programs .....                                   | 2           | 11      | .....     |
| 0100   | Subtotal, Direct program .....                                  | 174         | 195     | 152       |
| 0799   | Total direct obligations .....                                  | 174         | 195     | 152       |
| 0801   | Reimbursable program .....                                      | 11          | 43      | 28        |
| 0900   | Total new obligations .....                                     | 185         | 238     | 180       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 49          | 53      | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....               | 21          | .....   | .....     |
| 1050   | Unobligated balance (total) .....                               | 70          | 53      | .....     |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 156         | 157     | 152       |
| 1121   | Appropriations transferred from other accts [69–1134] ....      | 5           | .....   | .....     |
| 1131   | Unobligated balance of appropriations permanently reduced ..... | –1          | .....   | .....     |
| 1160   | Appropriation, discretionary (total) .....                      | 160         | 157     | 152       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 7           | 28      | 28        |
| 1701   | Change in uncollected payments, Federal sources .....           | 3           | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 10          | 28      | 28        |
| 1900   | Budget authority (total) .....                                  | 170         | 185     | 180       |
| 1930   | Total budgetary resources available .....                       | 240         | 238     | 180       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                              | –2          | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....                | 53          | .....   | .....     |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 94          | 96      | 68        |
| 3010   | Obligations incurred, unexpired accounts .....                  | 185         | 238     | 180       |
| 3011   | Obligations incurred, expired accounts .....                    | 7           | .....   | .....     |
| 3020   | Outlays (gross) .....   | –161        | –266    | –190      |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | –21         | .....   | .....     |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | –8          | .....   | .....     |
| 3050   | Unpaid obligations, end of year .....                           | 96          | 68      | 58        |

|      |   |       |       |       |
|------|---|-------|-------|-------|
|      | Uncollected payments:   |       |       |       |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –24   | –25   | –25   |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....       | –3    | ..... | ..... |
| 3071 | Change in uncollected pymts, Fed sources, expired .....         | 2     | ..... | ..... |
|      |   | <hr/> | <hr/> | <hr/> |
| 3090 | Uncollected pymts, Fed sources, end of year .....               | –25   | –25   | –25   |
|      | Memorandum (non-add) entries:                                   |       |       |       |
| 3100 | Obligated balance, start of year .....                          | 70    | 71    | 43    |
| 3200 | Obligated balance, end of year .....                            | 71    | 43    | 33    |
|      |   | <hr/> | <hr/> | <hr/> |
|      | <b>Budget authority and outlays, net:</b>                       |       |       |       |
|      | Discretionary:  |       |       |       |
| 4000 | Budget authority, gross .....                                   | 170   | 185   | 180   |
|      | Outlays, gross:   |       |       |       |
| 4010 | Outlays from new discretionary authority .....                  | 118   | 161   | 157   |
| 4011 | Outlays from discretionary balances .....                       | 43    | 105   | 33    |
|      |   | <hr/> | <hr/> | <hr/> |
| 4020 | Outlays, gross (total) .....                                    | 161   | 266   | 190   |
|      | Offsets against gross budget authority and outlays:             |       |       |       |
|      | Offsetting collections (collected) from:                        |       |       |       |
| 4030 | Federal sources .....   | –8    | –28   | –28   |
| 4033 | Non-Federal sources .....                                       | –3    | ..... | ..... |
|      |   | <hr/> | <hr/> | <hr/> |
| 4040 | Offsets against gross budget authority and outlays (total) .... | –11   | –28   | –28   |
|      | Additional offsets against gross budget authority only:         |       |       |       |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | –3    | ..... | ..... |
| 4052 | Offsetting collections credited to expired accounts .....       | 4     | ..... | ..... |
|      |   | <hr/> | <hr/> | <hr/> |
| 4060 | Additional offsets against budget authority only (total) .....  | 1     | ..... | ..... |
|      |   | <hr/> | <hr/> | <hr/> |
| 4070 | Budget authority, net (discretionary) .....                     | 160   | 157   | 152   |
| 4080 | Outlays, net (discretionary) .....                              | 150   | 238   | 162   |
| 4180 | Budget authority, net (total) .....                             | 160   | 157   | 152   |
| 4190 | Outlays, net (total) .....                                      | 150   | 238   | 162   |

The appropriation for Operations and Training provides funding for staff at headquarters and gateway offices to administer and direct Maritime Administration operations and training programs. Maritime Administration operations include planning for coordination of U.S. maritime industry activities under emergency conditions; technology assessments calculated to achieve advancements in ship design, construction and operation; and port and intermodal development to increase capacity and mitigate congestion in freight movements. Maritime training programs include the operation of the U.S. Merchant Marine Academy and financial assistance to the six State maritime academies.

The Operations and Training Budget request of \$152 million includes \$81 million for the United States Merchant Marine Academy, \$17 million for the State Maritime Academies, and \$54 million for maritime operations and programs at headquarters, storage of the obsolete nuclear-powered cargo-passenger ship *NS Savannah*, and MARAD gateway offices.

#### Object Classification (in millions of dollars)

| Identification code 69–1750–0–1–403 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 40          | 38      | 39        |
| 11.3                                | Other than full-time permanent .....                       | 6           | 4       | 4         |
| 11.5                                | Other personnel compensation .....                         | 1           | 2       | 2         |
| 11.9                                | Total personnel compensation .....                         | 47          | 44      | 45        |
| 12.1                                | Civilian personnel benefits .....                          | 12          | 16      | 17        |
| 21.0                                | Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.1                                | Rental payments to GSA .....                               | 3           | 4       | 4         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 4         |
| 25.2                                | Other services from non-Federal sources .....              | 65          | 63      | 55        |
| 26.0                                | Supplies and materials .....                               | 6           | 5       | 5         |
| 31.0                                | Equipment .....  | 5           | 3       | 4         |
| 32.0                                | Land and structures .....                                  | 31          | 45      | 14        |
| 41.0                                | Grants, subsidies, and contributions .....                 | .....       | 9       | 2         |
| 99.0                                | Direct obligations .....                                   | 175         | 195     | 152       |
| 99.0                                | Reimbursable obligations .....                             | 10          | 43      | 28        |
| 99.9                                | Total new obligations .....                                | 185         | 238     | 180       |

OPERATIONS AND TRAINING—Continued  
Employment Summary

| Identification code 69–1750–0–1–403                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 464         | 496     | 499       |

## ASSISTANCE TO SMALL SHIPYARDS

## Program and Financing (in millions of dollars)

| Identification code 69–1770–0–1–403                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                       |             |         |           |
| 0001 Grants for Capital Improvement for Small Shipyards ..... | 10          | 11      | .....     |
| 0900 Total new obligations (object class 41.0) .....          | 10          | 11      | .....     |
| <b>Budgetary Resources:</b>                                   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....         | 1           | 1       | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                |             |         |           |
| 1100 Appropriation .....                                      | 10          | 10      | .....     |
| 1160 Appropriation, discretionary (total) .....               | 10          | 10      | .....     |
| 1930 Total budgetary resources available .....                | 11          | 11      | .....     |
| Memorandum (non-add) entries:                                 |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....         | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>                           |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....         | 26          | 23      | .....     |
| 3010 Obligations incurred, unexpired accounts .....           | 10          | 11      | .....     |
| 3020 Outlays (gross) .....                                    | –13         | –34     | .....     |
| 3050 Unpaid obligations, end of year .....                    | 23          | .....   | .....     |
| Memorandum (non-add) entries:                                 |             |         |           |
| 3100 Obligated balance, start of year .....                   | 26          | 23      | .....     |
| 3200 Obligated balance, end of year .....                     | 23          | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                     |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                            | 10          | 10      | .....     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....           | .....       | 10      | .....     |
| 4011 Outlays from discretionary balances .....                | 13          | 24      | .....     |
| 4020 Outlays, gross (total) .....                             | 13          | 34      | .....     |
| 4180 Budget authority, net (total) .....                      | 10          | 10      | .....     |
| 4190 Outlays, net (total) .....                               | 13          | 34      | .....     |

The National Defense Authorization Act of 2006 authorized the Maritime Administration to make grants for capital and related improvements at eligible shipyard facilities that will foster efficiency, competitive operations, and quality ship construction, repair, and reconfiguration. Grant funds may also be used for maritime training programs to enhance technical skills and operational productivity in communities whose economies are related to or dependent upon the maritime industry.

No new funds are requested for 2014.

## SHIP DISPOSAL

*For necessary expenses related to the disposal of obsolete vessels in the National Defense Reserve Fleet of the Maritime Administration, \$2,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–1768–0–1–403                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Ship disposal .....  | 10          | 12      | 8         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 16          | 13      | 7         |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 17          | 13      | 7         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 6           | 6       | 2         |
| 1160 Appropriation, discretionary (total) .....                   | 6           | 6       | 2         |
| 1930 Total budgetary resources available .....                    | 23          | 19      | 9         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 13          | 7       | 1         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 8           | 4       | 5         |
| 3010 Obligations incurred, unexpired accounts .....               | 10          | 12      | 8         |
| 3020 Outlays (gross) .....  | –13         | –11     | –4        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 4           | 5       | 9         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 8           | 4       | 5         |
| 3200 Obligated balance, end of year .....                         | 4           | 5       | 9         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 6           | 6       | 2         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 6           | 3       | 1         |
| 4011 Outlays from discretionary balances .....                    | 7           | 8       | 3         |
| 4020 Outlays, gross (total) .....                                 | 13          | 11      | 4         |
| 4180 Budget authority, net (total) .....                          | 6           | 6       | 2         |
| 4190 Outlays, net (total) .....                                   | 13          | 11      | 4         |

The Ship Disposal program provides resources to properly dispose of obsolete government-owned merchant ships maintained by the Maritime Administration in the National Defense Reserve Fleet. The Maritime Administration contracts with domestic shipbreaking firms to dismantle these vessels in accordance with guidelines set forth by the Environmental Protection Agency.

## Object Classification (in millions of dollars)

| Identification code 69–1768–0–1–403                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 2           | 2       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 2       | 1         |
| 25.4 Operation and maintenance of facilities .....       | 7           | 8       | 6         |
| 99.9 Total new obligations .....                         | 10          | 12      | 8         |

## Employment Summary

| Identification code 69–1768–0–1–403                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 11          | 11      | 8         |

## MARITIME SECURITY PROGRAM

*For necessary expenses to maintain and preserve a U.S.-flag merchant fleet to serve the national security needs of the United States, \$208,000,000, to remain available until expended, of which \$25,000,000 is to mitigate the impact on sealift capacity available to the Department of Defense under 46 U.S.C. 53102 of any fiscal year 2014 legislation that appropriates international food assistance funding for the headings "International Disaster Assistance", "Development Assistance", and the "Emergency Food Assistance Contingency Fund" in lieu of any new appro-*

provision under Title II of the Food For Peace Act (Public Law 83–480), as amended: Provided, That these funds shall be used to address potential mariner losses due to reductions in the transportation of food assistance cargo on U.S.-flag vessels below the levels resulting from section 100124 of Public Law 112–141: Provided further, that for any funds used to preserve mariner employment on militarily-useful vessels not enrolled in the Maritime Security Program fleet, priority shall be given, in consultation with the Department of Defense, to eligible vessels under 46 U.S.C. 53102(b): Provided further, That these funds may be used to reimburse eligible costs for mariners to retain and or renew active United States Coast Guard issued merchant mariner credentials for unlimited oceans service on government or commercial ocean-going sealift vessels: Provided further, That such eligible costs shall be limited to mariner certifications to retain or renew active unlimited oceans credential status: Provided further, That these funds shall be made available to vessel owners, vessel operators, and mariners under terms and conditions established in regulations promulgated by the Secretary of Transportation in consultation with the Secretary of Defense.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 69–1711–0–1–054                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Maritime security program .....                  | 186         | 175     | 212       |
| 0900 Total new obligations (object class 41.0) .....  | 186         | 175     | 212       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 16          | 4       | 4         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 174         | 175     | 208       |
| 1160 Appropriation, discretionary (total) .....       | 174         | 175     | 208       |
| 1930 Total budgetary resources available .....        | 190         | 179     | 212       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 4           | 4       |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 15          | 19      | 8         |
| 3010 Obligations incurred, unexpired accounts .....   | 186         | 175     | 212       |
| 3020 Outlays (gross) .....                            | –182        | –186    | –206      |
| 3050 Unpaid obligations, end of year .....            | 19          | 8       | 14        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 15          | 19      | 8         |
| 3200 Obligated balance, end of year .....             | 19          | 8       | 14        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 174         | 175     | 208       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 168         | 163     | 193       |
| 4011 Outlays from discretionary balances .....        | 14          | 23      | 13        |
| 4020 Outlays, gross (total) .....                     | 182         | 186     | 206       |
| 4180 Budget authority, net (total) .....              | 174         | 175     | 208       |
| 4190 Outlays, net (total) .....                       | 182         | 186     | 206       |

The Maritime Security Program provides direct payments to U.S. flag ship operators engaged in foreign commerce to partially offset the higher operating costs of U.S. registry. The purpose of the program is to establish and sustain a fleet of active ships that are privately owned, commercially viable, and militarily useful to meet national defense and other emergency sealift requirements. Participating operators are required to make their ships and commercial transportation resources available upon request by the Secretary of Defense during times of war or national emergency. Commercial transportation resources include ships, logistics management services, port terminal facilities, and U.S.

citizen merchant mariners to crew both commercial and government-owned merchant ships. The 2014 Budget requests \$183 million, to combine with the expected account balance, to fully fund the authorized per vessel stipends of \$3.1 million. The 2014 Budget proposes international food aid reform that is expected, over time, to reduce overall volumes of preference cargoes for agricultural commodities. Therefore, the Budget requests an additional \$25 million for supplemental stipends to ensure that the current number of militarily useful ships remain in the Maritime Security Program and to incentivize mariners to maintain their unlimited deep ocean credentials.

#### OPERATING-DIFFERENTIAL SUBSIDIES

##### Program and Financing (in millions of dollars)

| Identification code 69–1709–0–1–403                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 1           | 11      |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 10          |         |           |
| 1029 Other balances withdrawn .....                               |             | –11     |           |
| 1050 Unobligated balance (total) .....                            | 11          |         |           |
| 1930 Total budgetary resources available .....                    | 11          |         |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 11          |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 10          |         |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –10         |         |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 10          |         |           |

This program has been replaced by the Maritime Security Program. Final settlement of open contracts to close financial accounts was accomplished in 2013 and the remaining balance in the account was returned to the Treasury.

#### OCEAN FREIGHT DIFFERENTIAL

##### Program and Financing (in millions of dollars)

| Identification code 69–1751–0–1–403                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0001 Ocean freight differential - 20% Excess Freight .....   | 38          | 128     | 100       |
| 0002 Ocean Freight Differential - Incremental .....          | 9           | 6       |           |
| 0003 Ocean freight differential - Interest to Treasury ..... |             | 1       |           |
| 0900 Total new obligations (object class 22.0) .....         | 47          | 135     | 100       |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....        | 80          | 33      |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                   |             |         |           |
| 1200 Appropriation .....                                     | 143         | 135     | 100       |
| 1236 Appropriations applied to repay debt .....              | –143        | –135    | –100      |
| Borrowing authority, mandatory:                              |             |         |           |
| 1400 Borrowing authority .....                               |             | 102     | 100       |
| 1440 Borrowing authority, mandatory (total) .....            |             | 102     | 100       |
| 1900 Budget authority (total) .....                          |             | 102     | 100       |
| 1930 Total budgetary resources available .....               | 80          | 135     | 100       |
| Memorandum (non-add) entries:                                |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....        | 33          |         |           |
| <b>Change in obligated balance:</b>                          |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....          | 47          | 135     | 100       |
| 3020 Outlays (gross) .....                                   | –47         | –135    | –100      |

OCEAN FREIGHT DIFFERENTIAL—Continued  
Program and Financing—Continued

| Identification code 69–1751–0–1–403             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>       |             |         |           |
| Mandatory:                                      |             |         |           |
| 4090 Budget authority, gross .....              |             | 102     | 100       |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... |             | 102     | 100       |
| 4101 Outlays from mandatory balances .....      | 47          | 33      |           |
| 4110 Outlays, gross (total) .....               | 47          | 135     | 100       |
| 4180 Budget authority, net (total) .....        |             | 102     | 100       |
| 4190 Outlays, net (total) .....                 | 47          | 135     | 100       |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      |             | 102     | 100       |
| Outlays .....                               | 47          | 135     | 100       |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | –50       |
| Outlays .....                               |             |         | –50       |
| Total:                                      |             |         |           |
| Budget Authority .....                      |             | 102     | 50        |
| Outlays .....                               | 47          | 135     | 50        |

Ocean freight differential (OFD) is the amount reimbursed when the difference in ocean freight cost between U.S. flag vessels and foreign flag vessels exceeds 20 percent of specified program costs. When the Department of Agriculture (USDA) and the U.S. Agency for International Development (USAID) transport certain government-sponsored food aid shipments to international beneficiaries, the cargo preference provisions in 46 U.S.C. 55305 require that at least 50 percent of this cargo be shipped on U.S. flag vessels. The Maritime Administration (MARAD) is required to reimburse USDA and USAID for certain ocean freight costs associated with this U.S. flag vessel preference (46 U.S.C. 55316). The payment of this differential by the shipping agencies expands cargo opportunities, thereby encouraging ship operators to retain U.S. flag registry.

USDA and USAID pay all ocean shipping costs for international food assistance through the Commodity Credit Corporation. In any fiscal year in which shipping costs exceed 20 percent of total program costs (total shipping costs plus total value of commodities shipped), MARAD is required to reimburse shipping costs to the extent that they exceed 20 percent of the total program costs. The Commodity Credit Corporation receives these reimbursements from MARAD and returns them to USDA and USAID to fund additional food assistance procurements. MARAD is funded by mandatory appropriation of new borrowing authority commensurate with estimates for freight differential reimbursement in the budget year.

OCEAN FREIGHT DIFFERENTIAL  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 69–1751–2–1–403                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |             |         |           |
| 0001 Ocean freight differential - 20% Excess Freight ..... |             |         | –50       |
| 0900 Total new obligations (object class 22.0) .....       |             |         | –50       |
| <b>Budgetary Resources:</b>                                |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                 |             |         |           |
| 1200 Appropriation .....                                   |             |         | –50       |
| 1236 Appropriations applied to repay debt .....            |             |         | 50        |

|   |  |  |     |
|---|--|--|-----|
| Borrowing authority, mandatory:                   |  |  |     |
| 1400 Borrowing authority .....                    |  |  | –50 |
| 1440 Borrowing authority, mandatory (total) ..... |  |  | –50 |
| 1900 Budget authority (total) .....               |  |  | –50 |
| 1930 Total budgetary resources available .....    |  |  | –50 |

## Change in obligated balance:

|   |  |  |     |
|---|--|--|-----|
| Unpaid obligations:                                 |  |  |     |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | –50 |
| 3020 Outlays (gross) .....                          |  |  | 50  |

## Budget authority and outlays, net:

|   |  |  |     |
|---|--|--|-----|
| Mandatory:                                      |  |  |     |
| 4090 Budget authority, gross .....              |  |  | –50 |
| Outlays, gross:                                 |  |  |     |
| 4100 Outlays from new mandatory authority ..... |  |  | –50 |
| 4180 Budget authority, net (total) .....        |  |  | –50 |
| 4190 Outlays, net (total) .....                 |  |  | –50 |

Funding estimates for new borrowing authority are reduced to an appropriation commensurate with estimated freight differential reimbursement declines that will result from the food aid program reform requested for P.L. 480 Title II food aid. The reduction shown is an upper bound estimate based on full implementation of the reform. The reform shifts P.L. 480 Title II food aid funding to accounts that, while subject to a 50 percent cargo preference rate on goods shipped from the U.S., are not subject to the provisions of 46 U.S.C. 5316(b)(1)(B), which provides for the reimbursement by the Maritime Administration to the United States Agency for International Development (USAID) of any amount by which freight is more than 20 percent of the combined commodity and freight costs on all P.L. 480 Title II shipments. While substantial levels of U.S. food will still be shipped by both the United States Department of Agriculture and by the USAID on U.S. flag vessels under the reform, when the reform is enacted, USAID will no longer receive freight differential reimbursements.

## READY RESERVE FORCE

## Program and Financing (in millions of dollars)

| Identification code 69–1710–0–1–054                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 395         | 345     | 345       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 43          | 21      | 36        |
| 1021 Recoveries of prior year unpaid obligations .....             | 9           |         |           |
| 1050 Unobligated balance (total) .....                             | 52          | 21      | 36        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 343         | 360     | 360       |
| 1701 Change in uncollected payments, Federal sources .....         | 21          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 364         | 360     | 360       |
| 1930 Total budgetary resources available .....                     | 416         | 381     | 396       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 21          | 36      | 51        |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 136  | 198  | 83   |
| 3010 Obligations incurred, unexpired accounts .....               | 395  | 345  | 345  |
| 3020 Outlays (gross) .....  | –323 | –460 | –412 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –9   |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 198  | 83   | 16   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –70  | –82  | –82  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –21  |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 9    |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –82  | –82  | –82  |

|   |  |      |      |      |
|---|--|------|------|------|
| Memorandum (non-add) entries:                           |  |      |      |      |
| 3100  | Obligated balance, start of year .....                         | 66   | 116  | 1    |
| 3200  | Obligated balance, end of year .....                           | 116  | 1    | -66  |
| <b>Budget authority and outlays, net:</b>               |  |      |      |      |
| Discretionary:  |  |      |      |      |
| 4000  | Budget authority, gross .....                                  | 364  | 360  | 360  |
| Outlays, gross:   |  |      |      |      |
| 4010  | Outlays from new discretionary authority .....                 | 213  | 324  | 324  |
| 4011  | Outlays from discretionary balances .....                      | 110  | 136  | 88   |
| 4020  | Outlays, gross (total) .....                                   | 323  | 460  | 412  |
| Offsets against gross budget authority and outlays:     |  |      |      |      |
| Offsetting collections (collected) from:                |  |      |      |      |
| 4030  | Federal sources .....  | -351 | -360 | -360 |
| Additional offsets against gross budget authority only: |  |      |      |      |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -21  |      |      |
| 4052  | Offsetting collections credited to expired accounts .....      | 8    |      |      |
| 4060  | Additional offsets against budget authority only (total) ..... | -13  |      |      |
| 4080  | Outlays, net (discretionary) .....                             | -28  | 100  | 52   |
| 4190  | Outlays, net (total) .....                                     | -28  | 100  | 52   |

The Ready Reserve Force fleet (RRF) is comprised of government-owned merchant ships within the National Defense Reserve Fleet that are maintained in an advanced state of surge sealift readiness for the transport of cargo to a given area of operation to satisfy combatant commanders' critical war fighting requirements. Resources for RRF vessel maintenance, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the National Defense Sealift Fund.

**Object Classification** (in millions of dollars)

| Identification code 69-1710-0-1-054 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 395         | 345     | 345       |

**Employment Summary**

| Identification code 69-1710-0-1-054                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 321         | 333     | 333       |

**VESSEL OPERATIONS REVOLVING FUND****Program and Financing** (in millions of dollars)

| Identification code 69-4303-0-3-403     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0801 Vessel operations .....            | 22          | 25      | 25        |

**Budgetary Resources:**

|  |   |    |       |       |
|--|---|----|-------|-------|
| Unobligated balance:   |   |    |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 52 | 59    | 54    |
| 1021   | Recoveries of prior year unpaid obligations .....             | 7  | ..... | ..... |
| 1050   | Unobligated balance (total) .....                             | 59 | 59    | 54    |
| Budget authority:  |   |    |       |       |
| Spending authority from offsetting collections, discretionary: |   |    |       |       |
| 1700   | Collected .....   | 26 | 20    | 11    |
| 1701   | Change in uncollected payments, Federal sources .....         | -4 | ..... | ..... |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 22 | 20    | 11    |
| 1930   | Total budgetary resources available .....                     | 81 | 79    | 65    |
| Memorandum (non-add) entries:                                  |   |    |       |       |
| 1941   | Unexpired unobligated balance, end of year .....              | 59 | 54    | 40    |

**Change in obligated balance:**

|                     |  |     |     |     |
|---------------------|--|-----|-----|-----|
| Unpaid obligations: |  |     |     |     |
| 3000                | Unpaid obligations, brought forward, Oct 1 .....             | 19  | 15  | 21  |
| 3010                | Obligations incurred, unexpired accounts .....               | 22  | 25  | 25  |
| 3020                | Outlays (gross) .....  | -19 | -19 | -12 |
| 3040                | Recoveries of prior year unpaid obligations, unexpired ..... | -7  |     |     |
|                     |  |     |     |     |
| 3050                | Unpaid obligations, end of year .....                        | 15  | 21  | 34  |

## Uncollected payments:

|                               |  |     |     |     |
|-------------------------------|--|-----|-----|-----|
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -20 | -16 | -16 |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 4   |     |     |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -16 | -16 | -16 |
| Memorandum (non-add) entries: |  |     |     |     |
| 3100                          | Obligated balance, start of year .....                       | -1  | -1  | 5   |
| 3200                          | Obligated balance, end of year .....                         | -1  | 5   | 18  |

**Budget authority and outlays, net:**

|   |   |     |     |     |
|---|---|-----|-----|-----|
| Discretionary:  |   |     |     |     |
| 4000  | Budget authority, gross .....                                   | 22  | 20  | 11  |
| Outlays, gross:   |   |     |     |     |
| 4010  | Outlays from new discretionary authority .....                  | 1   | 18  | 10  |
| 4011  | Outlays from discretionary balances .....                       | 18  | 1   | 2   |
| 4020  | Outlays, gross (total) .....                                    | 19  | 19  | 12  |
| Offsets against gross budget authority and outlays:     |   |     |     |     |
| Offsetting collections (collected) from:                |   |     |     |     |
| 4030  | Federal sources .....   | -4  | -20 | -11 |
| 4033  | Non-Federal sources .....                                       | -22 |     |     |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -26 | -20 | -11 |
| Additional offsets against gross budget authority only: |   |     |     |     |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | 4   |     |     |
| 4080  | Outlays, net (discretionary) .....                              | -7  | -1  | 1   |
| 4190  | Outlays, net (total) .....                                      | -7  | -1  | 1   |

This fund is authorized for the receipt of sales proceeds from the disposition of obsolete government-owned merchant vessels. Direct appropriations for the disposal of obsolete government-owned merchant vessels are provided to the Ship Disposal account.

The Maritime Administration is authorized to reactivate, maintain, operate, and deactivate government-owned merchant vessels comprising the National Defense Reserve Fleet (NDRF) and the Ready Reserve Force (RRF), a subset of the NDRF. Resources for RRF vessel maintenance, preservation, activation and operation costs, as well as RRF infrastructure support costs and additional Department of Defense/Navy-sponsored sealift activities and special projects, are provided by reimbursement from the Department of Defense National Defense Sealift Fund. Through fiscal year 2010, interagency agreement transactions to fund and administer these programs were reflected in this fund. Beginning in fiscal year 2011, these interagency agreement transactions are instead reflected in the RRF account.

**Object Classification** (in millions of dollars)

| Identification code 69-4303-0-3-403 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Reimbursable obligations:           |   |             |         |           |
| 25.4                                | Operation and maintenance of facilities ..... | 15          | 18      | 18        |
| 25.7                                | Operation and maintenance of equipment .....  | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....    | 6           | 6       | 6         |
| 99.9                                | Total new obligations .....                   | 22          | 25      | 25        |

**WAR RISK INSURANCE REVOLVING FUND****Program and Financing** (in millions of dollars)

| Identification code 69-4302-0-3-403 | 2012 actual                                      | 2013 CR | 2014 est. |    |
|-------------------------------------|--|---------|-----------|----|
| <b>Budgetary Resources:</b>         |  |         |           |    |
| Unobligated balance:                |  |         |           |    |
| 1000                                | Unobligated balance brought forward, Oct 1 ..... | 47      | 47        | 47 |
| 1930                                | Total budgetary resources available .....        | 47      | 47        | 47 |
| Memorandum (non-add) entries:       |  |         |           |    |
| 1941                                | Unexpired unobligated balance, end of year ..... | 47      | 47        | 47 |

**Memorandum (non-add) entries:**

|      |   |    |    |    |
|------|---|----|----|----|
| 5000 | Total investments, SOY: Federal securities: Par value ..... | 44 | 29 | 45 |
| 5001 | Total investments, EOY: Federal securities: Par value ..... | 29 | 45 | 45 |

The Maritime Administration is authorized to insure against war risk loss or damage to maritime operators until commercial

WAR RISK INSURANCE REVOLVING FUND—Continued  
insurance can be obtained on reasonable terms and conditions. This insurance includes war risk hull and disbursements interim insurance, war risk protection and indemnity interim insurance, second seamen's war risk interim insurance, and the war risk cargo insurance standby program.

## PORT OF GUAM IMPROVEMENT ENTERPRISE FUND

## Program and Financing (in millions of dollars)

| Identification code 69–5560–0–2–403                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Port of Guam Improvement Enterprise Program .....            | 2           | 48      |           |
| 0100 Direct program activities, subtotal .....                    | 2           | 48      |           |
| 0900 Total new obligations (object class 25.3) .....              | 2           | 48      |           |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 50          | 48      |           |
| 1930 Total budgetary resources available .....                    | 50          | 48      |           |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 48          |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1           | 2       |           |
| 3010 Obligations incurred, unexpired accounts .....               | 2           | 48      |           |
| 3020 Outlays (gross) .....  | –1          | –50     |           |
| 3050 Unpaid obligations, end of year .....                        | 2           |         |           |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1          | –1      | –1        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –1          | –1      | –1        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       |             | 1       | –1        |
| 3200 Obligated balance, end of year .....                         | 1           | –1      | –1        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 1           | 50      |           |
| Offsets against gross budget authority and outlays:               |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4190 Outlays, net (total) .....                                   | 1           | 50      |           |

## MARITIME GUARANTEED LOAN (TITLE XII) PROGRAM ACCOUNT

## (INCLUDING TRANSFER OF FUNDS)

For necessary administrative expenses of the maritime guaranteed loan program, \$2,655,000 shall be paid to the appropriation for "Operations and Training", Maritime Administration.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 69–1752–0–1–403                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0702 Loan guarantee subsidy .....                            |             | 38      |           |
| 0707 Reestimates of loan guarantee subsidy .....             | 31          | 5       |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... | 12          | 7       |           |
| 0709 Administrative expenses .....                           | 4           | 4       | 3         |
| 0900 Total new obligations .....                             | 47          | 54      | 3         |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....        | 62          | 28      |           |

|  |     |     |    |
|--|-----|-----|----|
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....      | 62  | 28  |    |
| 1021 Recoveries of prior year unpaid obligations .....               | 1   | 10  |    |
| 1050 Unobligated balance (total) .....                               | 63  | 38  |    |
| Budget authority:  |     |     |    |
| Appropriations, discretionary:                                       |     |     |    |
| 1100 Appropriation .....   | 4   | 4   | 3  |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –35 |     |    |
| 1160 Appropriation, discretionary (total) .....                      | –31 | 4   | 3  |
| Appropriations, mandatory:   |     |     |    |
| 1200 Appropriation .....   | 43  | 12  |    |
| 1260 Appropriations, mandatory (total) .....                         | 43  | 12  |    |
| Spending authority from offsetting collections, discretionary:       |     |     |    |
| 1700 Collected .....   | 40  |     |    |
| 1701 Change in uncollected payments, Federal sources .....           | –40 |     |    |
| 1900 Budget authority (total) .....                                  | 12  | 16  | 3  |
| 1930 Total budgetary resources available .....                       | 75  | 54  | 3  |
| Memorandum (non-add) entries:  |     |     |    |
| 1941 Unexpired unobligated balance, end of year .....                | 28  |     |    |
| <b>Change in obligated balance:</b>                                  |     |     |    |
| Unpaid obligations:  |     |     |    |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 59  | 10  |    |
| 3010 Obligations incurred, unexpired accounts .....                  | 47  | 54  | 3  |
| 3020 Outlays (gross) .....   | –95 | –54 | –3 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –1  | –10 |    |
| 3050 Unpaid obligations, end of year .....                           | 10  |     |    |
| Uncollected payments:  |     |     |    |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –40 |     |    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 40  |     |    |
| Memorandum (non-add) entries:  |     |     |    |
| 3100 Obligated balance, start of year .....                          | 19  | 10  |    |
| 3200 Obligated balance, end of year .....                            | 10  |     |    |
| <b>Budget authority and outlays, net:</b>                            |     |     |    |
| Discretionary:   |     |     |    |
| 4000 Budget authority, gross .....                                   | –31 | 4   | 3  |
| Outlays, gross:  |     |     |    |
| 4010 Outlays from new discretionary authority .....                  | 4   | 4   | 3  |
| 4011 Outlays from discretionary balances .....                       | 48  | 38  |    |
| 4020 Outlays, gross (total) .....                                    | 52  | 42  | 3  |
| Offsets against gross budget authority and outlays:                  |     |     |    |
| Offsetting collections (collected) from:                             |     |     |    |
| 4030 Federal sources .....   | –40 |     |    |
| Additional offsets against gross budget authority only:              |     |     |    |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 40  |     |    |
| 4070 Budget authority, net (discretionary) .....                     | –31 | 4   | 3  |
| 4080 Outlays, net (discretionary) .....                              | 12  | 42  | 3  |
| Mandatory:   |     |     |    |
| 4090 Budget authority, gross .....                                   | 43  | 12  |    |
| Outlays, gross:  |     |     |    |
| 4100 Outlays from new mandatory authority .....                      | 43  | 12  |    |
| 4180 Budget authority, net (total) .....                             | 12  | 16  | 3  |
| 4190 Outlays, net (total) .....                                      | 55  | 54  | 3  |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 69–1752–0–1–403                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215010 Risk Category 3 .....                                    |             | 138     |           |
| 215011 Risk Category 4 .....                                    |             | 208     |           |
| 215012 Risk Category 5 .....                                    |             | 75      |           |
| 215999 Total loan guarantee levels .....                        |             | 421     |           |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232010 Risk Category 3 .....                                    |             | 6.90    |           |
| 232011 Risk Category 4 .....                                    |             | 9.14    |           |
| 232012 Risk Category 5 .....                                    |             | 12.61   |           |
| 232999 Weighted average subsidy rate .....                      |             | 9.02    |           |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233010 Risk Category 3 .....                                    |             | 10      |           |
| 233011 Risk Category 4 .....                                    |             | 19      |           |
| 233012 Risk Category 5 .....                                    |             | 9       |           |
| 233999 Total subsidy budget authority .....                     |             | 38      |           |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234010 Risk Category 3 .....                                    |             | 10      |           |
| 234011 Risk Category 4 .....                                    |             | 19      |           |
| 234012 Risk Category 5 .....                                    | 48          | 9       |           |
| 234999 Total subsidy outlays .....                              | 48          | 38      |           |

|                                       |  |     |     |       |
|---------------------------------------|--|-----|-----|-------|
| Guaranteed loan upward reestimates:   |  |     |     |       |
| 235014                                | Weighted Average Reestimates .....                       | 43  | 11  | ..... |
| 235999                                | Total upward reestimate budget authority .....           | 43  | 11  | ..... |
| Guaranteed loan downward reestimates: |  |     |     |       |
| 237014                                | Weighted Average Reestimates .....                       | -55 | -37 | ..... |
| 237999                                | Total downward reestimate subsidy budget authority ..... | -55 | -37 | ..... |
| Administrative expense data:          |  |     |     |       |
| 3510                                  | Budget authority .....                                   | 4   | 4   | 2     |
| 3590                                  | Outlays from new authority .....                         | 4   | 4   | 2     |

The Maritime Guaranteed Loan (Title XI) program provides for a full faith and credit guarantee of debt obligations issued by U.S. or foreign shipowners to finance or refinance the construction, reconstruction, or reconditioning of U.S.-flag vessels or eligible export vessels in U.S. shipyards; or for a full faith and credit guarantee of debt obligations issued by U.S. shipyard owners to finance the modernization of shipbuilding technology at shipyards located in the United States. As required by the Federal Credit Reform Act of 1990, this account also includes the subsidy costs associated with loan guarantee commitments made in 1992 and subsequent years which are estimated on a present value basis. The account also reflects the administrative expenses of the program which are estimated on a cash basis. Funds for administrative expenses are appropriated to this account, then paid to the Maritime Administration's Operations and Training account. This appropriation will provide resources for the administrative expenses of the program, including management of the loan portfolio which has \$2 billion in loan guarantees and 46 guarantee contracts. No new subsidy funds for loan guarantees are requested for 2014.

**Object Classification** (in millions of dollars)

| Identification code 69-1752-0-1-403 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.2                                | Other services from non-Federal sources ..... | 4           | 4       | 3         |
| 41.0                                | Grants, subsidies, and contributions .....    | 43          | 50      | .....     |
| 99.9                                | Total new obligations .....                   | 47          | 54      | 3         |

**MARITIME GUARANTEED LOAN (TITLE XI) FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 69-4304-0-3-999                        | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| <b>Obligations by program activity:</b>                    |   |         |           |
| Credit program obligations:                                |   |         |           |
| 0711   | Default claim payments on principal .....                                     | 31      | 36        |
| 0712   | Default claim payments on interest .....                                      | 1       | 1         |
| 0713   | Payment of interest to Treasury .....   | 4       | 5         |
| 0715   | Default related activity .....  | 1       | 10        |
| 0742   | Downward reestimate paid to receipt account .....                             | 28      | 20        |
| 0743   | Interest on downward reestimates .....  | 27      | 18        |
| 0900   | Total new obligations .....   | 60      | 85        |
| <b>Budgetary Resources:</b>                                |   |         |           |
| Unobligated balance:                                       |   |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                              | 195     | 249       |
| Financing authority:                                       |   |         |           |
| Borrowing authority, mandatory:                            |   |         |           |
| 1400   | Borrowing authority .....   | 18      | 20        |
| 1440   | Borrowing authority, mandatory (total) .....                                  | 18      | 20        |
| Spending authority from offsetting collections, mandatory: |   |         |           |
| 1800   | Collected .....   | 181     | 49        |
| 1825   | Spending authority from offsetting collections applied to<br>repay debt ..... | -85     |           |
| 1850   | Spending auth from offsetting collections, mand (total) .....                 | 96      | 49        |
| 1900   | Financing authority (total) .....   | 114     | 69        |
| 1930   | Total budgetary resources available .....                                     | 309     | 318       |

|                                     |  |       |       |       |
|-------------------------------------|--|-------|-------|-------|
| Memorandum (non-add) entries:       |  |       |       |       |
| 1941                                | Unexpired unobligated balance, end of year ..... | 249   | 233   | 174   |
| <b>Change in obligated balance:</b> |  |       |       |       |
| Unpaid obligations:                 |  |       |       |       |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 1     | ..... | 56    |
| 3010                                | Obligations incurred, unexpired accounts .....   | 60    | 85    | 59    |
| 3020                                | Financing disbursements (gross) .....            | -61   | -29   | ..... |
| 3050                                | Unpaid obligations, end of year .....            | ..... | 56    | 115   |
| Memorandum (non-add) entries:       |  |       |       |       |
| 3100                                | Obligated balance, start of year .....           | 1     | ..... | 56    |
| 3200                                | Obligated balance, end of year .....             | ..... | 56    | 115   |

**Financing authority and disbursements, net:**

|  |  |      |       |       |
|--|--|------|-------|-------|
| Mandatory:   |  |      |       |       |
| 4090   | Financing authority, gross .....                                     | 114  | 69    | ..... |
| Financing disbursements:                                     |  |      |       |       |
| 4110   | Financing disbursements, gross .....                                 | 61   | 29    | ..... |
| Offsets against gross financing authority and disbursements: |  |      |       |       |
| Offsetting collections (collected) from:                     |  |      |       |       |
| 4120   | Payments from program account - Upward Reestimate .....              | -91  | -49   | ..... |
| 4122   | Interest on uninvested funds .....                                   | -11  | ..... | ..... |
| 4123   | Loan Repayment .....   | -79  | ..... | ..... |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -181 | -49   | ..... |
| 4160   | Financing authority, net (mandatory) .....                           | -67  | 20    | ..... |
| 4170   | Financing disbursements, net (mandatory) .....                       | -120 | -20   | ..... |
| 4180   | Financing authority, net (total) .....                               | -67  | 20    | ..... |
| 4190   | Financing disbursements, net (total) .....                           | -120 | -20   | ..... |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 69-4304-0-3-999                                    |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |  |             |         |           |
| 2131   | Guaranteed loan commitments exempt from limitation .....                           | .....       | 421     | .....     |
| 2150   | Total guaranteed loan commitments .....  | .....       | 421     | .....     |
| Cumulative balance of guaranteed loans outstanding:                    |  |             |         |           |
| 2210   | Outstanding, start of year .....   | 1,789       | 1,966   | 1,952     |
| 2231   | Disbursements of new guaranteed loans .....  | 594         | 421     | .....     |
| 2251   | Repayments and prepayments .....   | -417        | -391    | -261      |
| 2262   | Adjustments: Terminations for default that result in acquisition of property ..... | .....       | -44     | -45       |
| 2290   | Outstanding, end of year .....   | 1,966       | 1,952   | 1,646     |
| Memorandum:  |  |             |         |           |
| 2299   | Guaranteed amount of guaranteed loans outstanding, end of year .....               | 1,966       | 1,952   | 1,646     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from Maritime Guaranteed Loan (Title XI) program loan guarantee commitments in 1992 and subsequent years. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 69-4304-0-3-999 |  | 2011 actual | 2012 actual |
|-------------------------------------|--|-------------|-------------|
| ASSETS:                             |  |             |             |
| Federal assets:                     |  |             |             |
| 1101                                | Fund balances with Treasury .....                              | 197         | 250         |
| Investments in US securities:       |  |             |             |
| 1106                                | Receivables, net .....   | 71          | 5           |
| 1999                                | Total assets .....   | 268         | 255         |
| LIABILITIES:                        |  |             |             |
| 2204                                | Non-Federal liabilities: Liabilities for loan guarantees ..... | 268         | 255         |
| 4999                                | Total liabilities and net position .....                       | 268         | 255         |

**Trust Funds****MISCELLANEOUS TRUST FUNDS, MARITIME ADMINISTRATION****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 69-8547-0-7-403                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0220 Gifts and Bequests, Maritime Administration,<br>Transportation ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....                                | 1           | 1       | 1         |
| Appropriations:   |             |         |           |
| 0500 Miscellaneous Trust Funds, Maritime Administration .....             | -1          | -1      | -1        |
| 0799 Balance, end of year .....   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 69-8547-0-7-403                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Special Studies .....                            | 12          | 1       | 1         |
| 0002 Gifts and Bequests .....                         |             | 1       | 1         |
| 0100 Total direct program - Subtotal (running) .....  | 12          | 2       | 2         |
| 0900 Total new obligations (object class 25.3) .....  | 12          | 2       | 2         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 15          | 4       | 3         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....          | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 16          | 5       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 4           | 3       | 2         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 14          | 10      | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 12          | 2       | 2         |
| 3020 Outlays (gross) .....                            | -16         | -8      | -2        |
| 3050 Unpaid obligations, end of year .....            | 10          | 4       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 14          | 10      | 4         |
| 3200 Obligated balance, end of year .....             | 10          | 4       | 4         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 1           | 1       | 1         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 1       | 1         |
| 4101 Outlays from mandatory balances .....            | 16          | 7       | 1         |
| 4110 Outlays, gross (total) .....                     | 16          | 8       | 2         |
| 4180 Budget authority, net (total) .....              | 1           | 1       | 1         |
| 4190 Outlays, net (total) .....                       | 16          | 8       | 2         |

**ADMINISTRATIVE PROVISIONS—MARITIME ADMINISTRATION**

**SEC. 170.** *Notwithstanding any other provision of this Act, the Maritime Administration is authorized to furnish utilities and services and make necessary repairs in connection with any lease, contract, or occupancy involving Government property under control of the Maritime Administration: Provided, That payments received therefor shall be credited to the appropriation charged with the cost thereof and shall be available until expended: Provided further, That rental payments under any such lease, contract, or occupancy for items other than such utilities, services, or repairs shall be covered into the Treasury as miscellaneous receipts.*

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 69-08500 Hazardous Materials Transportation Registration, Filing,<br>and Permit Fees, Administrative Costs ..... | 1           | 1       | 1         |
| 69-27830 Maritime (title XI) Loan Program, Downward Reestimates of<br>Subsidies .....                            | 55          | 37      |           |
| 69-27610 Railroad Rehabilitation and Improvement Financing,<br>Negative Subsidies .....                          | 3           |         |           |
| 69-27600 Downward Reestimates, Railroad Rehabilitation and<br>Improvement Program .....                          | 16          | 20      |           |
| 69-27680 Transportation Infrastructure Finance and Innovation<br>Program, Interest on Downward Reestimates ..... | 28          | 135     |           |
| 69-32000 All Other General Fund Proprietary Receipts Including Budget<br>Clearing Accounts .....                 | 16          |         |           |
| General Fund Offsetting receipts from the public .....   | 119         | 193     | 1         |
| Intragovernmental payments:  |             |         |           |
| 69-38850 Undistributed Intragovernmental Payments and Receivables<br>from Cancelled Accounts .....               | 2           |         |           |
| General Fund Intragovernmental payments .....  | 2           |         |           |

**GENERAL PROVISIONS—DEPARTMENT OF  
TRANSPORTATION**

**SEC. 180.** *During the current fiscal year, applicable appropriations to the Department of Transportation shall be available for maintenance and operation of aircraft; hire of passenger motor vehicles and aircraft; purchase of liability insurance for motor vehicles operating in foreign countries on official department business; and uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901-5902).*

**SEC. 181.** *Appropriations contained in this Act for the Department of Transportation shall be available for services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for an Executive Level IV.*

**SEC. 182.** *None of the funds in this Act shall be available for salaries and expenses of more than 110 political and Presidential appointees in the Department of Transportation: Provided, That none of the personnel covered by this provision may be assigned on temporary detail outside the Department of Transportation.*

**SEC. 183.** (a) *No recipient of funds made available in this Act shall disseminate personal information (as defined in 18 U.S.C. 2725(3)) obtained by a State department of motor vehicles in connection with a motor vehicle record as defined in 18 U.S.C. 2725(1), except as provided in 18 U.S.C. 2721 for a use permitted under 18 U.S.C. 2721.*

(b) *Notwithstanding subsection (a), the Secretary shall not withhold funds provided in this Act for any grantee if a State is in noncompliance with this provision.*

**SEC. 184.** *Funds received by the Federal Highway Administration, Federal Transit Administration, and Federal Railroad Administration from States, counties, municipalities, other public authorities, and private sources for expenses incurred for training may be credited respectively to the Federal Highway Administration's "Federal-Aid Highways" account, the Federal Transit Administration's "Research and University Research Centers" account, and to the Federal Railroad Administration's "Safety and Operations" account, except for State rail safety inspectors participating in training pursuant to 49 U.S.C. 20105.*

**SEC. 185.** *None of the funds in this Act to the Department of Transportation may be used to make a grant unless the Secretary of Transportation notifies the House and Senate Committees on Appropriations not less than 3 full business days before any project competitively selected to receive a discretionary grant award, any discretionary grant award, letter of intent, or full funding grant agreement totaling \$1,000,000 or more is announced by the department or its modal administrations from:*

- (1) *any discretionary grant program of the Federal Highway Administration including the emergency relief program;*
- (2) *the airport improvement program of the Federal Aviation Administration;*
- (3) *any program of the Federal Railroad Administration;*
- (4) *any program of the Federal Transit Administration other than the formula grants and fixed guideway modernization programs; or*



(5) any funding provided under the headings "National Infrastructure Investments" and "Assistance to Small Shipyards" in this Act: Provided, That the Secretary gives concurrent notification to the House and Senate Committees on Appropriations for any "quick release" of funds from the emergency relief program: Provided further, That no notification shall involve funds that are not available for obligation.

SEC. 186. Rebates, refunds, incentive payments, minor fees and other funds received by the Department of Transportation from travel management centers, charge card programs, the subleasing of building space, and miscellaneous sources are to be credited to appropriations of the Department of Transportation and allocated to elements of the Department of Transportation using fair and equitable criteria and such funds shall be available until expended.

SEC. 187. Amounts made available in this or any other Act that the Secretary determines represent improper payments by the Department of Transportation to a third-party contractor under a financial assistance award, which are recovered pursuant to law, shall be available—

(1) to reimburse the actual expenses incurred by the Department of Transportation in recovering improper payments; and

(2) to pay contractors for services provided in recovering improper payments or contractor support in the implementation of the Improper Payments Information Act of 2002: Provided, That amounts in excess of that required for paragraphs (1) and (2)—

(A) shall be credited to and merged with the appropriation from which the improper payments were made, and shall be available for the purposes and period for which such appropriations are available: Provided further, That where specific project or accounting information associated with the improper payment or payments is not readily available the Secretary may credit an appropriate account, which shall be available for the purposes and period associated with the account so credited or

(B) if no such appropriation remains available, shall be deposited in the Treasury as miscellaneous receipts: Provided further, That prior to the transfer of any such recovery to an appropriations account, the Secretary shall notify to the House and Senate Committees on Appropriations of the amount and reasons for such transfer: Provided further, That for purposes of this section, the term "improper payments", has the same meaning as that provided in section 2(d)(2) of Public Law 107-300.

SEC. 188. Notwithstanding any other provision of law, if any funds provided in or limited by this Act are subject to a reprogramming action that requires notice to be provided to the House and Senate Committees on Appropriations, transmission of notice of said reprogramming action shall be provided solely to the Committees on Appropriations: Provided, That the Secretary may provide notice to other congressional committees of the action of the Committees on Appropriations on such reprogramming but not sooner than 30 days following the date on which the reprogramming action has been transmitted to the House and Senate Committees on Appropriations.

SEC. 189. None of the funds appropriated or otherwise made available under this Act may be used by the Surface Transportation Board of the Department of Transportation to charge or collect any filing fee for rate complaints filed with the Board in an amount in excess of the amount authorized for district court civil suit filing fees under section 1914 of title 28, United States Code.

SEC. 190. Funds appropriated in this Act to the modal administrations may be obligated for the Office of the Secretary for the costs related to assessments or reimbursable agreements only when such amounts are for the costs of goods and services that are purchased to provide a direct benefit to the applicable modal administration or administrations.

SEC. 191. The Secretary of Transportation is authorized to carry out a program that establishes uniform standards for developing and supporting agency transit pass and transit benefits authorized under section 7905 of title 5, United States Code, including distribution of transit benefits by various paper and electronic media.

## GENERAL PROVISIONS—THIS ACT

SEC. 402. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 403. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year, nor may any be transferred to other appropriations, unless expressly so provided herein.

SEC. 404. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to section 3109 of title 5, United States Code, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive order issued pursuant to existing law.

SEC. 405. Except as otherwise provided in this Act, none of the funds provided in this Act, provided by previous appropriations Acts to the agencies or entities funded in this Act that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury derived by the collection of fees and available to the agencies funded by this Act, shall be available for obligation or expenditure through a reprogramming of funds that:

(1) creates a new program;

(2) eliminates a program, project, or activity;

(3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by the Congress;

(4) proposes to use funds directed for a specific activity by either the House or Senate Committees on Appropriations for a different purpose;

(5) augments existing programs, projects, or activities in excess of \$5,000,000 or 10 percent, whichever is less;

(6) reduces existing programs, projects, or activities by \$5,000,000 or 10 percent, whichever is less; or

(7) creates, reorganizes, or restructures a branch, division, office, bureau, board, commission, agency, administration, or department different from the budget justifications submitted to the Committees on Appropriations or the table accompanying the explanatory statement accompanying this Act, whichever is more detailed, unless prior notice is transmitted to the House and Senate Committees on Appropriations: Provided, That not later than 60 days after the date of enactment of this Act, each agency funded by this Act shall submit a report to the Committees on Appropriations of the Senate and of the House of Representatives to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: Provided further, That the report shall include:

(A) a table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level;

(B) a delineation in the table for each appropriation both by object class and program, project, and activity as detailed in the budget appendix for the respective appropriation; and

(C) an identification of items of special congressional interest: Provided further, That the amount appropriated or limited for salaries and expenses for an agency shall be reduced by \$100,000 per day for each day after the required date that the report has not been submitted to the Congress.

SEC. 406. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2014 from appropriations made available for salaries and expenses for fiscal year 2014 in this Act, shall remain available through September 30, 2015, for each such account for the purposes authorized: Provided, That a request shall be submitted to the House and Senate Committees on Appropriations prior to the expenditure of such funds: Provided further, That these requests shall be made in compliance with reprogramming guidelines under section 405 of this Act.

SEC. 407. No funds in this Act may be used to support any Federal, State, or local projects that seek to use the power of eminent domain, unless eminent domain is employed only for a public use: Provided, That for purposes of this section, public use shall not be construed to include economic development that primarily benefits private entities: Provided further, That any use of funds for mass transit, railroad, airport, seaport or highway projects as well as utility projects which benefit or serve the general public (including energy-related, communication-related, water-related and wastewater-related infrastructure), other structures designated for use by the general public or which have other common-carrier or public-utility functions that serve the general public and are subject to regulation and oversight by the government, and projects for the removal of an immediate threat to public health and safety or brownfields as

defined in the *Small Business Liability Relief and Brownfields Revitalization Act* (Public Law 107–118) shall be considered a public use for purposes of eminent domain.

SEC. 408. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government, except pursuant to a transfer made by, or transfer authority provided in, this Act or any other appropriations Act.

SEC. 409. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with chapter 83 of title 41, United States Code.

SEC. 410. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating chapter 83 of title 41, United States Code.

SEC. 411. None of the funds made available in this Act may be used for first-class airline accommodations in contravention of sections 301–10.122 and 301–10.123 of title 41, Code of Federal Regulations.

SEC. 412. None of the funds made available under this Act or any prior Act may be provided to the Association of Community Organizations for Reform Now (ACORN), or any of its affiliates, subsidiaries, or allied organizations.

SEC. 413. All agencies and departments funded by this Act shall send to Congress at the end of the fiscal year a report containing a complete inventory of the total number of vehicles owned, permanently retired, and purchased during fiscal year 2014 as well as the total cost of the vehicle fleet, including maintenance, fuel, storage, purchasing, and leasing.

SEC. 414. None of the funds made available in this Act may be used to purchase a light bulb for an office building unless the light bulb has, to the extent practicable, an Energy Star or Federal Energy Management Program designation.

SEC. 415. The Secretaries of the Departments of Housing and Urban Development and Transportation may jointly distribute and obligate amounts made available under this Act for the Partnership for Sustainable Communities, for the planning, preparation, or design of such projects eligible for funding under this Act: Provided, That the Department contributing the majority of funding for a grant shall determine the terms and conditions of such grant: Provided further, That each Secretary may accept services from the other on a non-reimbursable basis to carry out the purposes of this section.

# DEPARTMENT OF THE TREASURY

## DEPARTMENTAL OFFICES

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Departmental Offices including operation and maintenance of the Treasury Building and Annex; hire of passenger motor vehicles; maintenance, repairs, and improvements of, and purchase of commercial insurance policies for, real properties leased or owned overseas, when necessary for the performance of official business; terrorism and financial intelligence activities; executive direction program activities; international affairs and economic policy activities; domestic finance and tax policy activities; and Treasury-wide management policies and programs activities, \$311,775,000: Provided, That of the amount appropriated under this heading, not to exceed \$3,000,000, to remain available until September 30, 2015, is for information technology modernization requirements; not to exceed \$350,000 is for official reception and representation expenses; and not to exceed \$258,000 is for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Secretary of the Treasury and to be accounted for solely on his certificate: Provided further, That of the amount appropriated under this heading, \$8,287,000, to remain available until September 30, 2015, is for the Treasury-wide Financial Statement Audit and Internal Control Program: Provided further, That of the amount appropriated under this heading, \$500,000, to remain available until September 30, 2015, is for secure space requirements: Provided further, That of the amount appropriated under this heading, up to \$2,000,000, to remain available until September 30, 2015, is for State Small Business Credit Initiative technical assistance and shall be in addition to any other amounts available for this purpose: Provided further, That of the amount appropriated under this heading, up to \$7,400,000, to remain available until September 30, 2015, is for audit, oversight, and administration of the Gulf Coast Restoration Trust Fund: Provided further, That of the amount appropriated under this heading, up to \$3,400,000, to remain available until September 30, 2016, is to develop and implement programs within the Office of Critical Infrastructure Protection and Compliance Policy, including entering into co-operative agreements: Provided further, That notwithstanding any other provision of law, of the amount appropriated under this heading, up to \$1,000,000 may be contributed to the Organization for Economic Cooperation and Development for the Department's participation in programs related to global tax administration.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 20–0101–0–1–803                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Executive Direction .....                         | 35          | 37      | 36        |
| 0002 International Affairs and Economic Policy .....   | 62          | 60      | 56        |
| 0003 Domestic Finance and Tax Policy .....             | 71          | 72      | 86        |
| 0004 Terrorism and Financial Intelligence .....        | 99          | 100     | 98        |
| 0005 Treasury-wide Management and Programs .....       | 44          | 41      | 36        |
| 0100 Subtotal, Direct programs .....                   | 311         | 310     | 312       |
| 0799 Total direct obligations .....                    | 311         | 310     | 312       |
| 0811 Reimbursable program .....                        | 66          | 70      | 70        |
| 0900 Total new obligations .....                       | 377         | 380     | 382       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 19          | 15      | 21        |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....                 | 20          | 15      | 21        |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1100 Appropriation .....                               | 308         | 310     | 312       |
| 1160 Appropriation, discretionary (total) .....        | 308         | 310     | 312       |

|   |      |      |      |
|---|------|------|------|
| Spending authority from offsetting collections, discretionary:      |      |      |      |
| 1700 Collected .....  | 51   | 76   | 77   |
| 1701 Change in uncollected payments, Federal sources .....          | 15   |      |      |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 66   | 76   | 77   |
| 1900 Budget authority (total) .....                                 | 374  | 386  | 389  |
| 1930 Total budgetary resources available .....                      | 394  | 401  | 410  |
| Memorandum (non-add) entries:                                       |      |      |      |
| 1940 Unobligated balance expiring .....                             | –2   |      |      |
| 1941 Unexpired unobligated balance, end of year .....               | 15   | 21   | 28   |
| <b>Change in obligated balance:</b>                                 |      |      |      |
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 105  | 95   | 47   |
| 3010 Obligations incurred, unexpired accounts .....                 | 377  | 380  | 382  |
| 3011 Obligations incurred, expired accounts .....                   | 6    |      |      |
| 3020 Outlays (gross) .....  | –373 | –428 | –390 |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | –1   |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –19  |      |      |
| 3050 Unpaid obligations, end of year .....                          | 95   | 47   | 39   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –28  | –21  | –21  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –15  |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 22   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –21  | –21  | –21  |
| Memorandum (non-add) entries:                                       |      |      |      |
| 3100 Obligated balance, start of year .....                         | 77   | 74   | 26   |
| 3200 Obligated balance, end of year .....                           | 74   | 26   | 18   |
| <b>Budget authority and outlays, net:</b>                           |      |      |      |
| Discretionary:  |      |      |      |
| 4000 Budget authority, gross .....                                  | 374  | 386  | 389  |
| Outlays, gross:   |      |      |      |
| 4010 Outlays from new discretionary authority .....                 | 298  | 346  | 349  |
| 4011 Outlays from discretionary balances .....                      | 75   | 82   | 41   |
| 4020 Outlays, gross (total) .....                                   | 373  | 428  | 390  |
| Offsets against gross budget authority and outlays:                 |      |      |      |
| Offsetting collections (collected) from:                            |      |      |      |
| 4030 Federal sources .....  | –70  | –76  | –77  |
| Additional offsets against gross budget authority only:             |      |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –15  |      |      |
| 4052 Offsetting collections credited to expired accounts .....      | 19   |      |      |
| 4060 Additional offsets against budget authority only (total) ..... | 4    |      |      |
| 4070 Budget authority, net (discretionary) .....                    | 308  | 310  | 312  |
| 4080 Outlays, net (discretionary) .....                             | 303  | 352  | 313  |
| 4180 Budget authority, net (total) .....                            | 308  | 310  | 312  |
| 4190 Outlays, net (total) .....                                     | 303  | 352  | 313  |

Departmental Offices (DO), as the headquarters bureau for the Department of the Treasury, provides leadership in economic and financial policy, terrorism and financial intelligence, financial crimes, and general management. The Secretary of the Treasury has the primary role of formulating and managing the domestic and international tax and financial policies of the Federal government. Through effective management, policies and leadership, the Treasury Department protects our national security through targeted financial actions, promotes the stability of the nation's financial markets, and ensures the government's ability to collect revenue and fund its operations. In FY 2014, the Department also proposes an initiative to promote greater access to financial services among low- and moderate-income families.

#### Object Classification (in millions of dollars)

| Identification code 20–0101–0–1–803             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 132         | 134     | 135       |
| 11.3 Other than full-time permanent .....       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....         | 4           | 4       | 4         |
| 11.9 Total personnel compensation .....         | 138         | 140     | 141       |
| 12.1 Civilian personnel benefits .....          | 39          | 40      | 40        |
| 13.0 Benefits for former personnel .....        | 1           |         | 1         |
| 21.0 Travel and transportation of persons ..... | 6           | 6       | 6         |

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

| Identification code 20-0101-0-1-803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 23.1 Rental payments to GSA .....                               | 4           | 5       | 5         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....                     | 17          | 17      | 17        |
| 25.2 Other services from non-Federal sources .....              | 27          | 28      | 27        |
| 25.3 Other goods and services from Federal sources .....        | 48          | 48      | 49        |
| 25.4 Operation and maintenance of facilities .....              | 1           | .....   | .....     |
| 25.5 Research and development contracts .....                   | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 2           | 2       | 2         |
| 26.0 Supplies and materials .....                               | 6           | 6       | 6         |
| 31.0 Equipment .....  | 12          | 8       | 8         |
| 32.0 Land and structures .....                                  | 4           | 4       | 4         |
| 99.0 Direct obligations .....                                   | 311         | 310     | 312       |
| 99.0 Reimbursable obligations .....                             | 66          | 70      | 70        |
| 99.9 Total new obligations .....                                | 377         | 380     | 382       |

## Employment Summary

| Identification code 20-0101-0-1-803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,181       | 1,199   | 1,171     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 129         | 172     | 132       |

## DEPARTMENT-WIDE SYSTEMS AND CAPITAL INVESTMENTS PROGRAMS

*For development and acquisition of automatic data processing equipment, software, and services and for repairs and renovations to buildings owned by the Department of the Treasury, \$2,725,000, to remain available until September 30, 2016: Provided, That funds shall be transferred to accounts and in amounts as necessary to satisfy the requirements of the Department's offices, bureaus, and other organizations: Provided further, That this transfer authority shall be in addition to any other transfer authority provided in this Act.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 20-0115-0-1-803                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Direct program activity .....                                | 6           | 3       | 3         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 8           | 2       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | .....       | 1       | 1         |
| 1050 Unobligated balance (total) .....                            | 8           | 3       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | .....       | .....   | 3         |
| 1160 Appropriation, discretionary (total) .....                   | .....       | .....   | 3         |
| 1900 Budget authority (total) .....                               | .....       | .....   | 3         |
| 1930 Total budgetary resources available .....                    | 8           | 3       | 4         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 2           | .....   | 1         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 21          | 7       | 4         |
| 3010 Obligations incurred, unexpired accounts .....               | 6           | 3       | 3         |
| 3020 Outlays (gross) .....  | -17         | -5      | -1        |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | .....       | -1      | -1        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 7           | 4       | 5         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 21          | 7       | 4         |

|      |                                      |   |   |   |
|------|--------------------------------------|---|---|---|
| 3200 | Obligated balance, end of year ..... | 7 | 4 | 5 |
|------|--------------------------------------|---|---|---|

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | ..... | ..... | 3     |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | ..... | ..... | 1     |
| 4011 Outlays from discretionary balances .....      | 17    | 5     | ..... |
| 4020 Outlays, gross (total) .....                   | 17    | 5     | 1     |
| 4180 Budget authority, net (total) .....            | ..... | ..... | 3     |
| 4190 Outlays, net (total) .....                     | 17    | 5     | 1     |

This account is authorized to be used by Treasury's offices and bureaus to modernize business processes and increase efficiency through technology and infrastructure investments. Current investments include implementation of cybersecurity program initiatives, which will help prevent computer security breaches that could result in disclosure of sensitive information, and repairs and renovations to buildings owned and maintained by the Department of the Treasury.

## Object Classification (in millions of dollars)

| Identification code 20-0115-0-1-803                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.1 Advisory and assistance services .....        | 1           | .....   | .....     |
| 25.2 Other services from non-Federal sources ..... | 1           | 3       | 2         |
| 31.0 Equipment .....                               | 1           | .....   | .....     |
| 32.0 Land and structures .....                     | 3           | .....   | 1         |
| 99.9 Total new obligations .....                   | 6           | 3       | 3         |

## OFFICE OF INSPECTOR GENERAL

## SALARIES AND EXPENSES

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, including hire of passenger motor vehicles, \$31,351,000; of which not to exceed \$100,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General of the Treasury.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 20-0106-0-1-803                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Audits .....  | 22          | 23      | 24        |
| 0002 Investigations .....  | 7           | 7       | 7         |
| 0799 Total direct obligations .....                                | 29          | 30      | 31        |
| 0801 Reimbursable program .....                                    | 11          | 15      | 15        |
| 0900 Total new obligations .....                                   | 40          | 45      | 46        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 30          | 30      | 31        |
| 1160 Appropriation, discretionary (total) .....                    | 30          | 30      | 31        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 4           | 15      | 15        |
| 1701 Change in uncollected payments, Federal sources .....         | 7           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 11          | 15      | 15        |
| 1900 Budget authority (total) .....                                | 41          | 45      | 46        |
| 1930 Total budgetary resources available .....                     | 41          | 45      | 46        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          | .....   | .....     |

| Change in obligated balance:  |  |     |     |     |
|-------------------------------|--|-----|-----|-----|
| Unpaid obligations:           |  |     |     |     |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 12  | 12  | 16  |
| 3010                          | Obligations incurred, unexpired accounts .....               | 40  | 45  | 46  |
| 3020                          | Outlays (gross) .....  | -39 | -41 | -45 |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -1  |     |     |
| 3050                          | Unpaid obligations, end of year .....                        | 12  | 16  | 17  |
| Uncollected payments:         |  |     |     |     |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -6  | -7  | -7  |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | -7  |     |     |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | 6   |     |     |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -7  | -7  | -7  |
| Memorandum (non-add) entries: |  |     |     |     |
| 3100                          | Obligated balance, start of year .....                       | 6   | 5   | 9   |
| 3200                          | Obligated balance, end of year .....                         | 5   | 9   | 10  |

| Budget authority and outlays, net:                      |  |     |     |     |
|---|--|-----|-----|-----|
| Discretionary:  |  |     |     |     |
| 4000  | Budget authority, gross .....                                  | 41  | 45  | 46  |
| Outlays, gross:   |  |     |     |     |
| 4010  | Outlays from new discretionary authority .....                 | 29  | 30  | 31  |
| 4011  | Outlays from discretionary balances .....                      | 10  | 11  | 14  |
| 4020  | Outlays, gross (total) .....                                   | 39  | 41  | 45  |
| Offsets against gross budget authority and outlays:     |  |     |     |     |
| Offsetting collections (collected) from:                |  |     |     |     |
| 4030  | Federal sources .....  | -10 | -15 | -15 |
| Additional offsets against gross budget authority only: |  |     |     |     |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....      | -7  |     |     |
| 4052  | Offsetting collections credited to expired accounts .....      | 6   |     |     |
| 4060  | Additional offsets against budget authority only (total) ..... | -1  |     |     |
| 4070  | Budget authority, net (discretionary) .....                    | 30  | 30  | 31  |
| 4080  | Outlays, net (discretionary) .....                             | 29  | 26  | 30  |
| 4180  | Budget authority, net (total) .....                            | 30  | 30  | 31  |
| 4190  | Outlays, net (total) .....                                     | 29  | 26  | 30  |

The Office of Inspector General (OIG) conducts audits, evaluations, and investigations designed to: (1) promote economy, efficiency, and effectiveness and prevent and detect fraud, waste, and abuse in Departmental programs and operations; and (2) keep the Secretary and the Congress fully and currently informed of problems and deficiencies in the administration of Departmental programs and operations. The OIG conducts audits and investigations of all Treasury programs and operations except those under jurisdictional oversight of the Treasury Inspector General for Tax Administration and the Special Inspector General for the Troubled Assets Relief Program. Additionally, the Treasury Inspector General functions as the Chair of the Council of Inspectors General on Financial Oversight and the Moving Ahead for Progress in the 21st Century Act (MAP-21) has tasked Treasury OIG with providing oversight of all projects, programs, and operations of the Gulf Coast Restoration Trust Fund.

The 2014 resources for the OIG will be used to provide critical audit oversight to ensure the effectiveness and integrity of Treasury's programs and operations. The OIG will continue to address mandated requirements related to audits of the Department's financial statements, information security, improper payments prevention, and failed Treasury-regulated financial institutions. The OIG will also conduct mandated requirements related to provisions of the Dodd-Frank Wall Street Reform and Consumer Protection Act to include monitoring and periodic reporting on the transfer of functions of the Office of Thrift Supervision. In addition, the OIG will conduct audits of the Department's highest risk programs and operations. The Office of Audit expects to complete 100 percent of statutory audits by the required deadline, and to complete 70 audit products in 2014.

In 2014, OIG will continue to provide oversight on a reimbursable basis, of the Small Business Lending Fund (SBLF) and the State Small Business Credit Initiative (SSBCI). The programs were created by the Small Business Jobs Act of 2010, and as-

signed to the Department of the Treasury for management and execution.

In 2014, OIG Office of Investigations will continue to investigate all reports of fraud, waste and abuse and other criminal activity, such as financial programs where fraud and other crimes are involved in the issuance of licenses or benefits to citizens and will conduct proactive efforts to detect, investigate and deter electronic crimes and other threats to the Treasury's physical and cyber critical infrastructure. The Office of Investigations will continue current efforts to aggressively investigate, close, and refer cases for criminal prosecution, civil litigation or corrective administrative action in a timely manner.

#### Object Classification (in millions of dollars)

| Identification code 20-0106-0-1-803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 15          | 16      | 18        |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 16          | 17      | 19        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 5         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 3           | 3       | 2         |
| 31.0 Equipment .....  | 1           |         |           |
| 99.0 Direct obligations .....                                   | 29          | 30      | 31        |
| 99.0 Reimbursable obligations .....                             | 10          | 15      | 15        |
| 99.5 Below reporting threshold .....                            | 1           |         |           |
| 99.9 Total new obligations .....                                | 40          | 45      | 46        |

#### Employment Summary

| Identification code 20-0106-0-1-803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 173         | 172     | 216       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 19          | 19      | 19        |

#### TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION

##### SALARIES AND EXPENSES

*For necessary expenses of the Treasury Inspector General for Tax Administration in carrying out the Inspector General Act of 1978, as amended, including purchase (not to exceed 150 for replacement only for police-type use) and hire of passenger motor vehicles (31 U.S.C. 1343(b)); services authorized by 5 U.S.C. 3109, at such rates as may be determined by the Inspector General for Tax Administration; \$149,538,000, of which not to exceed \$6,000,000 shall be available for official travel expenses; of which not to exceed \$500,000 shall be available for unforeseen emergencies of a confidential nature, to be allocated and expended under the direction of the Inspector General for Tax Administration.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 20-0119-0-1-803 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0001 Audit .....                    | 56          | 58      | 58        |
| 0002 Investigations .....           | 96          | 95      | 92        |
| 0799 Total direct obligations ..... | 152         | 153     | 150       |
| 0801 Reimbursable program .....     | 1           | 1       | 1         |
| 0900 Total new obligations .....    | 153         | 154     | 151       |

TREASURY INSPECTOR GENERAL FOR TAX ADMINISTRATION—Continued  
Program and Financing—Continued

| Identification code 20-0119-0-1-803                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 New budget authority (gross), detail .....                    | 152         | 153     | 150       |
| 1160 Appropriation, discretionary (total) .....                    | 152         | 153     | 150       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 153         | 154     | 151       |
| 1930 Total budgetary resources available .....                     | 155         | 155     | 152       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Change in obligated balances .....                            | 16          | 15      | 13        |
| 3010 Obligations incurred, unexpired accounts .....                | 153         | 154     | 151       |
| 3011 Obligations incurred, expired accounts .....                  | 1           | .....   | .....     |
| 3020 Outlays (gross) .....   | -153        | -156    | -151      |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 15          | 13      | 13        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 16          | 15      | 13        |
| 3200 Obligated balance, end of year .....                          | 15          | 13      | 13        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 153         | 154     | 151       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays (gross), detail .....                                 | 141         | 142     | 139       |
| 4011 Outlays from discretionary balances .....                     | 12          | 14      | 12        |
| 4020 Outlays, gross (total) .....                                  | 153         | 156     | 151       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -1          | -1      | -1        |
| 4180 Budget authority, net (total) .....                           | 152         | 153     | 150       |
| 4190 Outlays, net (total) .....                                    | 152         | 155     | 150       |

The Treasury Inspector General for Tax Administration (TIGTA) conducts independent audits, investigations, and inspections and evaluations of Treasury Department matters relating to the Internal Revenue Service (IRS), the IRS Oversight Board, and the IRS Office of Chief Counsel. TIGTA's oversight helps ensure that the IRS accomplishes its mission; improves its programs and operations; promotes economy, efficiency and effectiveness; and prevents and detects fraud, waste and abuse. TIGTA also continues to play a key role in ensuring the provisions of the Affordable Care Act are implemented and administered in accordance with the law and the intent of Congress.

In 2014, TIGTA's Office of Investigations will concentrate on three core areas: (1) employee integrity; (2) employee and infrastructure security; and (3) external attempts to corrupt tax administration. As the principal law enforcement agency responsible for protecting the integrity of tax administration, TIGTA will focus its investigative efforts on identifying vulnerabilities and emerging threats to electronic tax administration.

In 2014, TIGTA's Office of Audit will strike a balance between statutory audit coverage and high-risk audit work. The statutory coverage will include audits mandated by the IRS Restructuring and Reform Act of 1998 and other statutory authorities and standards involving computer security, taxpayer privacy and rights, and financial management. The remaining balance of TIGTA's audit work will focus on high-risk tax administration areas and major management and performance challenges facing the IRS, including strategic goals progress and eliminating

identified material weaknesses. Audits will address areas of concern to Congress, Secretary of the Treasury, the IRS Oversight Board and the IRS Commissioner. TIGTA's 2012 highlights include issuing 117 audit reports, and identifying more than \$22.7 billion in potential financial benefits.

In 2014, TIGTA's Office of Inspections and Evaluations will conduct strategic reviews targeting specific tax administration problems.

## Object Classification (in millions of dollars)

| Identification code 20-0119-0-1-803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 83          | 83      | 82        |
| 11.5 Other personnel compensation .....                         | 9           | 9       | 9         |
| 11.9 Total personnel compensation .....                         | 92          | 92      | 91        |
| 12.1 Civilian personnel benefits .....                          | 30          | 30      | 30        |
| 21.0 Travel and transportation of persons .....                 | 4           | 4       | 3         |
| 23.1 Rental payments to GSA .....                               | 9           | 9       | 9         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 7           | 8       | 8         |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 4           | 4       | 3         |
| 99.0 Direct obligations .....                                   | 152         | 153     | 150       |
| 99.0 Reimbursable obligations .....                             | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 153         | 154     | 151       |

## Employment Summary

| Identification code 20-0119-0-1-803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 805         | 835     | 835       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 2           | 2       | 2         |

## EXPANDED ACCESS TO FINANCIAL SERVICES

## Program and Financing (in millions of dollars)

| Identification code 20-0121-0-1-808                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | .....   | .....     |
| 3020 Outlays (gross) .....                            | -1          | .....   | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | .....   | .....     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 1           | .....   | .....     |
| 4190 Outlays, net (total) .....                       | 1           | .....   | .....     |

This account supports the Department's activities to expand access to basic financial services for low- and moderate-income individuals. Funds have been used to implement a grant program (the First Accounts Program), gather information on community needs and best practices, and implement the Community Financial Access Pilot. Funding for this account was last appropriated in FY 2000 (P.L. 106-346).

## COUNTERTERRORISM FUND

## Program and Financing (in millions of dollars)

| Identification code 20–0117–0–1–751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |

Most of the balances in this account were transferred to the Department of Homeland Security in accordance with the Homeland Security Act of 2002 (P.L. 107–296). The remaining resources were used to fund projects related to domestic and international terrorism. This schedule reflects remaining balances in the account.

## TERRORISM INSURANCE PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 20–0123–0–1–376                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Base Administrative Expenses .....                           | 2           | 3       | 3         |
| 0003 Projected Payments to Insurers .....                         |             | 105     | 250       |
| 0900 Total new obligations .....                                  | 2           | 108     | 253       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 1           |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 1           | 108     | 253       |
| 1260 Appropriations, mandatory (total) .....                      | 1           | 108     | 253       |
| 1900 Budget authority (total) .....                               | 1           | 108     | 253       |
| 1930 Total budgetary resources available .....                    | 2           | 108     | 253       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....               | 2           | 108     | 253       |
| 3020 Outlays (gross) .....  | –2          | –108    | –253      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 2           | 1       | 1         |
| 3200 Obligated balance, end of year .....                         | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 1           | 108     | 253       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   |             | 108     | 253       |
| 4101 Outlays from mandatory balances .....                        | 2           |         |           |
| 4110 Outlays, gross (total) .....                                 | 2           | 108     | 253       |
| 4180 Budget authority, net (total) .....                          | 1           | 108     | 253       |
| 4190 Outlays, net (total) .....                                   | 2           | 108     | 253       |

The Terrorism Risk Insurance Extension Act of 2007 (P.L. 110–160) reauthorized and revised the program established by the Terrorism Risk Insurance Act (TRIA) of 2002 (P.L. 107–297) and administered by the Treasury Department. The 2007 Act extended the Terrorism Insurance Program for seven years, through December 31, 2014. This extension of TRIA added a requirement for commercial property and casualty insurers to make available coverage for losses from domestic, as well as foreign, acts of terrorism, and extended TRIA coverage for those losses.

The Budget baseline includes the estimated Federal cost of providing terrorism risk insurance, reflecting the 2007 TRIA extension. While the Budget does not forecast any specific act of terrorism, on a probabilistic basis and using market-driven data, the Budget projects annual outlays and recoupment for TRIA. On this basis, the Budget baseline projects net spending of \$435 million over the 2014–2018 period and \$555 million over the 2014–2023 period.

## Object Classification (in millions of dollars)

| Identification code 20–0123–0–1–376                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....     | 1           | 1       | 1         |
| 42.0 Projected Insurance claims and indemnities .....  |             | 105     | 250       |
| 99.9 Total new obligations .....                       | 2           | 108     | 253       |

## Employment Summary

| Identification code 20–0123–0–1–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 6           | 10      | 10        |

## TREASURY FORFEITURE FUND

## (CANCELLATION )

*Of the unobligated balances available under this heading, \$950,000,000, are hereby permanently cancelled.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20–5697–0–2–751  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 423         | 951     | 952       |
| <b>Receipts:</b>   |             |         |           |
| 0200 Forfeited Cash and Proceeds from Sale of Forfeited Property, Treasury Forfeiture Fund ..... | 523         | 1,883   | 594       |
| 0240 Earnings on Investments, Treasury Forfeiture Fund .....                                     | 1           | 1       | 1         |
| 0299 Total receipts and collections .....  | 524         | 1,884   | 595       |
| 0400 Total: Balances and collections .....   | 947         | 2,835   | 1,547     |
| <b>Appropriations:</b>   |             |         |           |
| 0500 Treasury Forfeiture Fund .....  |             | 950     |           |
| 0501 Treasury Forfeiture Fund .....  | –946        | –1,883  | –594      |
| 0502 Treasury Forfeiture Fund .....  |             | –950    | –950      |
| 0503 Treasury Forfeiture Fund .....  | 950         |         |           |
| 0599 Total appropriations .....  | 4           | –1,883  | –1,544    |
| 0799 Balance, end of year .....  | 951         | 952     | 3         |

## Program and Financing (in millions of dollars)

| Identification code 20–5697–0–2–751                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Asset forfeiture fund .....                       | 527         | 1,536   | 716       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 646         | 145     | 492       |
| 1021 Recoveries of prior year unpaid obligations ..... | 30          |         |           |
| 1050 Unobligated balance (total) .....                 | 676         | 145     | 492       |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1130 Appropriations permanently reduced .....          |             |         | –950      |
| 1134 Appropriations precluded from obligation .....    |             | –950    |           |
| 1160 Appropriation, discretionary (total) .....        |             | –950    | –950      |

TREASURY FORFEITURE FUND—Continued  
Program and Financing—Continued

| Identification code 20–5697–0–2–751  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....   | 946         | 1,883   | 594       |
| 1203 Appropriation (previously unavailable) .....  |             | 950     | 950       |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced ..... | –950        |         |           |
| 1260 Appropriations, mandatory (total) .....   | –4          | 2,833   | 1,544     |
| 1900 Budget authority (total) .....  | –4          | 1,883   | 594       |
| 1930 Total budgetary resources available .....   | 672         | 2,028   | 1,086     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                      | 145         | 492     | 370       |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                      | 539         | 550     | 734       |
| 3010 Obligations incurred, unexpired accounts .....  | 527         | 1,536   | 716       |
| 3020 Outlays (gross) .....   | –486        | –1,352  | –824      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                          | –30         |         |           |
| 3050 Unpaid obligations, end of year .....   | 550         | 734     | 626       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 539         | 550     | 734       |
| 3200 Obligated balance, end of year .....  | 550         | 734     | 626       |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   |             | –950    | –950      |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             | –475    | –475      |
| 4011 Outlays from discretionary balances .....   |             |         | –237      |
| 4020 Outlays, gross (total) .....  |             | –475    | –712      |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | –4          | 2,833   | 1,544     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....  | 2           | 1,417   | 772       |
| 4101 Outlays from mandatory balances .....   | 484         | 410     | 764       |
| 4110 Outlays, gross (total) .....  | 486         | 1,827   | 1,536     |
| 4180 Budget authority, net (total) .....   | –4          | 1,883   | 594       |
| 4190 Outlays, net (total) .....  | 486         | 1,352   | 824       |
| <b>Memorandum (non-add) entries:</b>   |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....                           | 1,585       | 1,631   | 1,816     |
| 5001 Total investments, EOY: Federal securities: Par value .....                           | 1,631       | 1,816   | 1,960     |

The Treasury Forfeiture Fund supports Federal, state, and local law enforcement's use of asset forfeiture as a powerful tool to punish and deter criminal activity. Non-tax forfeitures made by participating bureaus of the Department of the Treasury and the Department of Homeland Security are deposited into the Fund. This revenue is available to pay or reimburse certain costs and expenses related to seizures and forfeitures that occur pursuant to laws enforced by the bureaus and other expenses authorized by 31 U.S.C. 9703. Revenue can also be used to fund Federal law enforcement related activities based on requests from Federal agencies and evaluation by the Secretary of the Treasury. The Budget proposes to permanently cancel \$950 million of unobligated balances.

## Object Classification (in millions of dollars)

| Identification code 20–5697–0–2–751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.2 Other services from non-Federal sources .....       | 162         | 246     | 115       |
| 25.3 Other goods and services from Federal sources ..... | 226         | 307     | 143       |
| 41.0 Grants, subsidies, and contributions .....          | 139         | 983     | 458       |
| 99.9 Total new obligations .....                         | 527         | 1,536   | 716       |

## FINANCIAL RESEARCH FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20–5590–0–2–376                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                     |             |         | 51        |
| Receipts:   |             |         |           |
| 0200 Fees and Assessments, Financial Research Fund .....              | 137         | 51      | 113       |
| 0201 Transfer from the Federal Reserve, Financial Research Fund ..... | 31          |         |           |
| 0299 Total receipts and collections .....                             | 168         | 51      | 113       |
| 0400 Total: Balances and collections .....                            | 168         | 51      | 164       |
| Appropriations:   |             |         |           |
| 0500 Financial Research Fund .....                                    | –168        |         |           |
| 0799 Balance, end of year .....                                       |             | 51      | 164       |

## Program and Financing (in millions of dollars)

| Identification code 20–5590–0–2–376                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0002 FSOC .....   | 5           | 9       | 9         |
| 0003 FDIC Payments .....  | 5           | 7       | 11        |
| 0091 FSOC subtotal .....  | 10          | 16      | 20        |
| 0101 OFR .....  | 40          | 78      | 89        |
| 0900 Total new obligations .....                                  | 50          | 94      | 109       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 6           | 125     | 82        |
| 1021 Recoveries of prior year unpaid obligations .....            | 1           |         |           |
| 1050 Unobligated balance (total) .....                            | 7           | 125     | 82        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  |             | 51      | 113       |
| 1201 Appropriation (special or trust fund) .....                  | 168         |         |           |
| 1260 Appropriations, mandatory (total) .....                      | 168         | 51      | 113       |
| 1900 Budget authority (total) .....                               | 168         | 51      | 113       |
| 1930 Total budgetary resources available .....                    | 175         | 176     | 195       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 125         | 82      | 86        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 10          | 17      | 19        |
| 3010 Obligations incurred, unexpired accounts .....               | 50          | 94      | 109       |
| 3020 Outlays (gross) .....  | –42         | –92     | –126      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 17          | 19      | 2         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 10          | 17      | 19        |
| 3200 Obligated balance, end of year .....                         | 17          | 19      | 2         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 168         | 51      | 113       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 34          |         | 43        |
| 4101 Outlays from mandatory balances .....                        | 8           | 92      | 83        |
| 4110 Outlays, gross (total) .....                                 | 42          | 92      | 126       |
| 4180 Budget authority, net (total) .....                          | 168         | 51      | 113       |
| 4190 Outlays, net (total) .....                                   | 42          | 92      | 126       |

The Office of Financial Research (OFR) and the Financial Stability Oversight Council (Council) were established under the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 (the Act) (P.L. 111–203).

The OFR was established to serve the Council, its member agencies, and the public by improving the quality, transparency, and accessibility of financial data and information, by conducting and sponsoring research related to financial stability, and by promoting best practices in risk management. OFR is an office within the Department of the Treasury.



The Council is an executive agency, and is comprised of ten voting members, including all Federal financial regulators, and five non-voting members. The Secretary of the Treasury serves as Chairperson of the Council. The Council's purpose is to identify risks to the financial stability of the United States, promote market discipline, and respond to emerging threats to the stability of the U.S. financial system.

As required under Section 210(n)(10) of the Act, the Council's expenses also include reimbursements of certain reasonable implementation expenses incurred by the Federal Deposit Insurance Corporation (FDIC) in the development of policies, procedures, rules, and regulations and other planning activities consistent with carrying out Orderly Liquidation Authority provided by Title II of the Act. These expenses are to be treated as expenses of the Council, and are estimated at \$11 million in 2014.

OFR and the Council were funded through transfers from the Board of Governors of the Federal Reserve System until July 20, 2012. Subsequently, OFR and the Council have been funded through assessments on certain bank holding companies with total consolidated assets of \$50 billion or more and non-bank financial companies supervised by the Board of Governors. Administrative expenses of the Council are considered expenses of, and are paid by, OFR. OFR expenses are paid for out of the Financial Research Fund, which was established by the Act and which is managed by the Department of the Treasury. Projected fees and assessments are estimates and may change.

#### Object Classification (in millions of dollars)

| Identification code 20–5590–0–2–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 8           | 21      | 31        |
| 12.1 Civilian personnel benefits .....                          | 2           | 7       | 10        |
| 21.0 Travel and transportation of persons .....                 |             | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 3           | 5       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 4       | 2         |
| 25.1 Advisory and assistance services .....                     | 9           |         |           |
| 25.2 Other services from non-Federal sources .....              | 7           | 18      | 14        |
| 25.3 Other goods and services from Federal sources .....        | 13          | 16      | 21        |
| 26.0 Supplies and materials .....                               | 3           | 6       | 8         |
| 31.0 Equipment .....  | 5           | 16      | 17        |
| 99.9 Total new obligations .....                                | 50          | 94      | 109       |

#### Employment Summary

| Identification code 20–5590–0–2–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 60          | 163     | 244       |

### PRESIDENTIAL ELECTION CAMPAIGN FUND

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20–5081–0–2–808            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....              |             |         | 15        |
| Receipts:                                      |             |         |           |
| 0200 Presidential Election Campaign Fund ..... | 37          | 50      | 50        |
| 0400 Total: Balances and collections .....     | 37          | 50      | 65        |
| Appropriations:                                |             |         |           |
| 0500 Presidential Election Campaign Fund ..... | –38         | –35     | –33       |
| Adjustments:                                   |             |         |           |
| 0591 Adjustment - rounding issue .....         | 1           |         |           |
| 0599 Total appropriations .....                | –37         | –35     | –33       |
| 0799 Balance, end of year .....                |             | 15      | 32        |

#### Program and Financing (in millions of dollars)

| Identification code 20–5081–0–2–808                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0004 Presidential Primary Matching Fund Candidates .....           | 2           | 1       |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 197         | 235     | 269       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 38          | 35      | 33        |
| 1260 Appropriations, mandatory (total) .....                       | 38          | 35      | 33        |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 2           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2           |         |           |
| 1900 Budget authority (total) .....                                | 40          | 35      | 33        |
| 1930 Total budgetary resources available .....                     | 237         | 270     | 302       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 235         | 269     | 302       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 2           | 1       |           |
| 3020 Outlays (gross) .....   | –2          | –1      |           |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 40          | 35      | 33        |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....                         | 2           | 1       |           |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | –2          |         |           |
| 4180 Budget authority, net (total) .....                           | 38          | 35      | 33        |
| 4190 Outlays, net (total) .....                                    |             | 1       |           |

Individual Federal income tax returns include an optional Federal income tax designation of \$3 that an individual may elect to be paid to the Presidential Election Campaign Fund (PECF). In recent years, less than 10% of individuals have elected to make this designation, resulting in less than \$40 million paid into the Fund annually. Approximately every four years, the Department of the Treasury makes distributions from the PECF (referred to as public funds, matching funds, or Federal funds) to qualified Presidential candidates and national party committees for use in the Presidential elections.

Money for the public funding of Presidential elections can only come from the PECF. When the PECF runs short of funds, no other general Treasury funds may be used.

The Federal Election Commission administers the public funding program, determining which candidates are eligible, the amount to which they are entitled, and auditing their use of funds. The Department of the Treasury collects the income tax designations and makes payouts to the campaigns.

**Matching Funds for Presidential Primary Candidates.**—Upon certification by the Federal Election Commission-based on demonstrating broad national support, adhering to spending limits, and other qualifications—every eligible Presidential primary candidate is entitled to receive \$250 in Federal matching funds for the first eligible \$250 of private contributions per individual received after the beginning of the calendar year immediately preceding the election year through the end of the calendar year of the election.

**Candidates for General Elections.**—By statute, eligible candidates of each major party in a Presidential election are entitled to equal payments in an amount which, in the aggregate, shall not exceed \$20 million each, plus an inflation adjustment. In 2012, this amounted to \$91.2 million for each candidate, but neither major party candidate accepted general election funding. Eligibility for this funding depends on meeting several criteria

## PRESIDENTIAL ELECTION CAMPAIGN FUND—Continued

such as agreeing to limit spending to amounts specified by campaign finance laws. In addition, provision is made for new parties, minor parties, and non-major party candidates who may receive in excess of 5 percent of the popular vote and therefore be entitled to a pro rata portion of the major party grant in the general election.

*Nominating Party Conventions.*—Upon certification by the Commission, payments may be made to the national committee of a major or minor political party that chooses to receive its entitlement. The total of such payments will be limited to the amount in the account at the time of payment. The national committee of each party may receive payments beginning on July 1 of the year immediately preceding the calendar year in which a presidential nominating convention of the political party is held. By statute, the two major parties receive \$4 million each, plus an inflation adjustment (over 1974). In 2011, the Republican and Democratic parties each received \$17.6 million for their nominating conventions. In 2012, \$558,500 was paid to each party to reflect the fully adjusted grant for 2012.

When there are insufficient funds to meet the demand for public funding, payments to the national parties for their nominating conventions have first priority with the general election candidates second and the primary candidates last.

## Object Classification (in millions of dollars)

| Identification code 20–5081–0–2–808                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... |             | 1       |           |
| 99.0 Reimbursable obligations .....                                 | 2           |         |           |
| 99.9 Total new obligations .....                                    | 2           | 1       |           |

## PAY FOR SUCCESS

The Budget proposes a \$300 million one-time mandatory appropriation for a new Pay for Success (PFS) program in the Department of the Treasury. This fund will support nonprofit and other investors who finance preventive social programs when those programs prove that they can post savings to the Federal government and achieve the goal for their target population. The Pay for Success Incentive Fund will ensure that taxpayers get the best possible returns for funds expended, protect government assets, and minimize losses in relation to social benefits provided. It will accomplish this by offering credit enhancements or direct grants to support investors; intermediaries will receive a proportion of the funds saved by the government only when projects have demonstrated measurable outcomes that result in greater federal savings and programmatic efficiency. In order to qualify, these programs will be required to utilize evidence-based approaches and provide data for program and policy evaluation. If successful, the PFS Incentive Fund should help to strengthen intermediaries and support the evolution of this nascent field into a more robust and sustainable public and private market.

## PAY FOR SUCCESS

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 20–0113–4–1–808            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>        |             |         |           |
| 0001 Pay For Success Programs .....            |             |         | 41        |
| 0002 Administrative Functions .....            |             |         | 1         |
| 0100 Direct program activities, subtotal ..... |             |         | 42        |

|   |  |  |     |
|---|--|--|-----|
| 0900 Total new obligations .....                      |  |  | 42  |
| <b>Budgetary Resources:</b>                           |  |  |     |
| Budget authority:                                     |  |  |     |
| Appropriations, mandatory:                            |  |  |     |
| 1200 Appropriation .....                              |  |  | 300 |
| 1260 Appropriations, mandatory (total) .....          |  |  | 300 |
| 1900 Budget authority (total) .....                   |  |  | 300 |
| 1930 Total budgetary resources available .....        |  |  | 300 |
| Memorandum (non-add) entries:                         |  |  |     |
| 1941 Unexpired unobligated balance, end of year ..... |  |  | 258 |
| <b>Change in obligated balance:</b>                   |  |  |     |
| Unpaid obligations:                                   |  |  |     |
| 3010 Obligations incurred, unexpired accounts .....   |  |  | 42  |
| 3020 Outlays (gross) .....                            |  |  | –1  |
| 3050 Unpaid obligations, end of year .....            |  |  | 41  |
| Memorandum (non-add) entries:                         |  |  |     |
| 3200 Obligated balance, end of year .....             |  |  | 41  |
| <b>Budget authority and outlays, net:</b>             |  |  |     |
| Mandatory:  |  |  |     |
| 4090 Budget authority, gross .....                    |  |  | 300 |
| Outlays, gross:                                       |  |  |     |
| 4100 Outlays from new mandatory authority .....       |  |  | 1   |
| 4180 Budget authority, net (total) .....              |  |  | 300 |
| 4190 Outlays, net (total) .....                       |  |  | 1   |

## Object Classification (in millions of dollars)

| Identification code 20–0113–4–1–808                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             |         | 1         |
| 41.0 Grants, subsidies, and contributions .....        |             |         | 41        |
| 99.9 Total new obligations .....                       |             |         | 42        |

## Employment Summary

| Identification code 20–0113–4–1–808                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             |         | 4         |

## EXCHANGE STABILIZATION FUND

## Program and Financing (in millions of dollars)

| Identification code 20–4444–0–3–155   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 44,641      | 44,092  | 44,304    |
| 1021 Recoveries of prior year unpaid obligations .....  | 714         |         |           |
| 1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation ..... | –1,460      |         |           |
| 1050 Unobligated balance (total) .....  | 43,895      | 44,092  | 44,304    |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1800 Collected .....  | 197         | 212     | 221       |
| 1850 Spending auth from offsetting collections, mand (total) .....                                    | 197         | 212     | 221       |
| 1930 Total budgetary resources available .....  | 44,092      | 44,304  | 44,525    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 44,092      | 44,304  | 44,525    |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 60,385      | 59,671  | 59,671    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                     | –714        |         |           |
| 3050 Unpaid obligations, end of year .....  | 59,671      | 59,671  | 59,671    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 60,385      | 59,671  | 59,671    |
| 3200 Obligated balance, end of year .....   | 59,671      | 59,671  | 59,671    |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 197         | 212     | 221       |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| Offsets against gross budget authority and outlays: |   |        |        |        |
| Offsetting collections (collected) from:            |   |        |        |        |
| 4121  | Interest on Federal securities .....                            | -11    | -17    | -21    |
| 4123  | Non-Federal sources .....                                       | -186   | -195   | -200   |
| 4130  | Offsets against gross budget authority and outlays (total) .... | -197   | -212   | -221   |
| 4170  | Outlays, net (mandatory) .....                                  | -197   | -212   | -221   |
| 4190  | Outlays, net (total) .....                                      | -197   | -212   | -221   |
| <b>Memorandum (non-add) entries:</b>                |   |        |        |        |
| 5000  | Total investments, SOY: Federal securities: Par value .....     | 22,721 | 22,680 | 22,750 |
| 5001  | Total investments, EOY: Federal securities: Par value .....     | 22,680 | 22,750 | 23,000 |
| 5010  | Total investments, SOY: non-Fed securities: Market value .....  | 15,671 | 15,326 | 16,000 |
| 5011  | Total investments, EOY: non-Fed securities: Market value .....  | 15,326 | 16,000 | 16,500 |

Under the law creating the Exchange Stabilization Fund (ESF), section 10 of the Gold Reserve Act of 1934, as amended, codified at 31 U.S.C. 5302, the Secretary of the Treasury, with the approval of the President, is authorized to deal in gold, foreign exchange, and other instruments of credit and securities, as the Secretary considers necessary, consistent with U.S. obligations in the International Monetary Fund (IMF) regarding orderly exchange arrangements and a stable system of exchange rates. All earnings and interest accruing to the ESF are available for the purposes thereof. Transactions in Special Drawing Rights (SDRs) and U.S. holdings of SDRs are administered by the fund. By law, the fund is not available to pay administrative expenses.

Since 1934, the principal sources of the fund's income have been earnings on investments held by the fund, including interest earned on fund holdings of U.S. Government securities.

The amounts reflected in the 2013 and 2014 estimates entail only projected net interest earnings on ESF assets. The estimates are subject to considerable variance, depending on changes in the amount and composition of assets and the interest rates applied to investments. In addition, these estimates make no attempt to forecast gains or losses on SDR valuation or foreign currency valuation.

**Balance Sheet** (in millions of dollars)

|   |  |             |             |
|---|--|-------------|-------------|
| Identification code 20-4444-0-3-155           |  | 2011 actual | 2012 actual |
| <b>ASSETS:</b>                                |  |             |             |
| Federal assets: Investments in US securities: |  |             |             |
| 1102  | Treasury securities, par .....                         | 20,436      | 22,680      |
| 1201  | Non-Federal assets: Foreign Currency Investments ..... | 26,055      | 25,940      |
| 1801  | Other Federal assets: Special Drawing Rights .....     | 57,439      | 55,240      |
| 1999  | Total assets .....                                     | 103,930     | 103,860     |
| <b>LIABILITIES:</b>                           |  |             |             |
| 2207  | Non-Federal liabilities: Other .....                   | 60,186      | 59,671      |
| <b>NET POSITION:</b>                          |  |             |             |
| 3100  | Unexpended appropriations .....                        | 200         | 200         |
| 3300  | Cumulative results of operations .....                 | 43,544      | 43,989      |
| 3999  | Total net position .....                               | 43,744      | 44,189      |
| 4999  | Total liabilities and net position .....               | 103,930     | 103,860     |

**WORKING CAPITAL FUND****Program and Financing** (in millions of dollars)

|   |   |             |         |           |
|---|---|-------------|---------|-----------|
| Identification code 20-4501-0-4-803     |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0810                                    | Working capital fund .....                                  | 175         | 184     | .....     |
| 0811                                    | Administrative overhead .....                               | .....       | 7       | .....     |
| 0900                                    | Total new obligations .....                                 | 175         | 191     | .....     |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....            | 45          | 53      | .....     |
| 1010                                    | Unobligated balance transfer to other accts [20-4560] ..... | .....       | -34     | .....     |
| 1021                                    | Recoveries of prior year unpaid obligations .....           | 36          | 36      | .....     |

|  |   |      |       |       |
|--|---|------|-------|-------|
| 1050   | Unobligated balance (total) .....                             | 81   | 55    | ..... |
| Budget authority:  |   |      |       |       |
| Spending authority from offsetting collections, discretionary: |   |      |       |       |
| 1700   | Collected .....   | 148  | 136   | ..... |
| 1701   | Change in uncollected payments, Federal sources .....         | -1   | ..... | ..... |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 147  | 136   | ..... |
| 1930   | Total budgetary resources available .....                     | 228  | 191   | ..... |
| Memorandum (non-add) entries:                                  |   |      |       |       |
| 1941   | Unexpired unobligated balance, end of year .....              | 53   | ..... | ..... |
| <b>Change in obligated balance:</b>                            |   |      |       |       |
| Unpaid obligations:  |   |      |       |       |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 95   | 85    | 64    |
| 3010   | Obligations incurred, unexpired accounts .....                | 175  | 191   | ..... |
| 3020   | Outlays (gross) .....   | -149 | -176  | -7    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | -36  | -36   | ..... |
| 3050   | Unpaid obligations, end of year .....                         | 85   | 64    | 57    |
| Uncollected payments:  |   |      |       |       |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -6   | -5    | -5    |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | 1    | ..... | ..... |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -5   | -5    | -5    |
| Memorandum (non-add) entries:                                  |   |      |       |       |
| 3100   | Obligated balance, start of year .....                        | 89   | 80    | 59    |
| 3200   | Obligated balance, end of year .....                          | 80   | 59    | 52    |
| <b>Budget authority and outlays, net:</b>                      |   |      |       |       |
| Discretionary:   |   |      |       |       |
| 4000   | Budget authority, gross .....                                 | 147  | 136   | ..... |
| Outlays, gross:  |   |      |       |       |
| 4010   | Outlays from new discretionary authority .....                | 1    | 129   | ..... |
| 4011   | Outlays from discretionary balances .....                     | 148  | 47    | 7     |
| 4020   | Outlays, gross (total) .....                                  | 149  | 176   | 7     |
| Offsets against gross budget authority and outlays:            |   |      |       |       |
| Offsetting collections (collected) from:                       |   |      |       |       |
| 4030   | Federal sources .....   | -148 | -136  | ..... |
| Additional offsets against gross budget authority only:        |   |      |       |       |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | 1    | ..... | ..... |
| 4080   | Outlays, net (discretionary) .....                            | 1    | 40    | 7     |
| 4190   | Outlays, net (total) .....                                    | 1    | 40    | 7     |

The shared services for Treasury Department bureaus funded through the Department of the Treasury Working Capital Fund include: telecommunications, printing, duplicating, graphics, computer support/usage, personnel/payroll, automated financial management systems, training, short-term management assistance, procurement, information technology services, equal employment opportunity services, and environmental health and safety services. These services are provided on a reimbursable basis at rates which will recover the Fund's operating expenses, including accrual of annual leave and depreciation of equipment.

Starting in FY 2014, Treasury Working Capital Fund functions will be moving to the Treasury Franchise Fund.

**Object Classification** (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 20-4501-0-4-803 |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Reimbursable obligations:</b>    |  |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....          | 23          | 29      | .....     |
| 12.1                                | Civilian personnel benefits .....                          | 6           | 5       | .....     |
| 13.0                                | Benefits for former personnel .....                        | .....       | 1       | .....     |
| 23.1                                | Rental payments to GSA .....                               | 3           | 3       | .....     |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2           | 2       | .....     |
| 25.1                                | Advisory and assistance services .....                     | 18          | 23      | .....     |
| 25.2                                | Other services from non-Federal sources .....              | 46          | 55      | .....     |
| 25.3                                | Other goods and services from Federal sources .....        | 73          | 67      | .....     |
| 25.4                                | Operation and maintenance of facilities .....              | .....       | 1       | .....     |
| 25.7                                | Operation and maintenance of equipment .....               | 2           | 2       | .....     |
| 31.0                                | Equipment .....  | 2           | 3       | .....     |
| 99.9                                | Total new obligations .....                                | 175         | 191     | .....     |

**WORKING CAPITAL FUND—Continued**  
**Employment Summary**

| Identification code 20–4501–0–4–803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 187         | 192     | .....     |

**TREASURY FRANCHISE FUND**

**Program and Financing** (in millions of dollars)

| Identification code 20–4560–0–4–803                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0802 Financial Management Administrative Support Service .....     | 117         | 115     | 117       |
| 0804 Information Technology Services .....                         | 151         | 133     | 131       |
| 0805 Shared Services Division .....                                | .....       | .....   | 7         |
| 0806 Shared Services Program .....                                 | .....       | .....   | 183       |
| 0900 Total new obligations .....                                   | 268         | 248     | 438       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 72          | 65      | 110       |
| 1011 Unobligated balance transfer from other accts [20–4501] ..... | .....       | 34      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 4           | 10      | 46        |
| 1050 Unobligated balance (total) .....                             | 76          | 109     | 156       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 269         | 249     | 432       |
| 1701 Change in uncollected payments, Federal sources .....         | –12         | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 257         | 249     | 432       |
| 1930 Total budgetary resources available .....                     | 333         | 358     | 588       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 65          | 110     | 150       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 42          | 55      | 44        |
| 3010 Obligations incurred, unexpired accounts .....                | 268         | 248     | 438       |
| 3020 Outlays (gross) .....   | –251        | –249    | –376      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –4          | –10     | –46       |
| 3050 Unpaid obligations, end of year .....                         | 55          | 44      | 60        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –19         | –7      | –7        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 12          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –7          | –7      | –7        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 23          | 48      | 37        |
| 3200 Obligated balance, end of year .....                          | 48          | 37      | 53        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 257         | 249     | 432       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 224         | 159     | 276       |
| 4011 Outlays from discretionary balances .....                     | 27          | 90      | 100       |
| 4020 Outlays, gross (total) .....                                  | 251         | 249     | 376       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –269        | –249    | –432      |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | 12          | .....   | .....     |
| 4080 Outlays, net (discretionary) .....                            | –18         | .....   | –56       |
| 4190 Outlays, net (total) .....                                    | –18         | .....   | –56       |

The Department of the Treasury was authorized to pilot a franchise fund under P.L. 103–356, the Government Management and Reform Act of 1994. The purpose of the franchise fund pilot was to lower costs while providing high quality administrative services through a competitive environment. The Treasury Franchise Fund (the Fund) was established by P.L. 104–208, made permanent by P.L. 108–447 and codified as 31 U.S.C. 322, note.

The Fund is revolving in nature and provides accounting, procurement, travel, human resources, and information technology services through the Fiscal Service, Administrative Resource Center (ARC). Services are provided to Federal customers, on a reimbursable, fee-for-service basis. ARC has provided effective administrative support services since joining the Fund in 1998 and has been designated a Center of Excellence as a Federal shared service provider under both the Financial Management and Information Systems Security Lines of Business. In addition, ARC has critical supporting roles in the Human Resources and Public Key Infrastructure Shared Service Provider designations of the Department of the Treasury.

Starting in FY 2014, Treasury Working Capital Fund functions will be brought into the Treasury Franchise Fund.

**Object Classification** (in millions of dollars)

| Identification code 20–4560–0–4–803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 87          | 94      | 124       |
| 11.3 Other than full-time permanent .....                       | 1           | .....   | .....     |
| 11.5 Other personnel compensation .....                         | 4           | 4       | 5         |
| 11.9 Total personnel compensation .....                         | 92          | 98      | 129       |
| 12.1 Civilian personnel benefits .....                          | 28          | 31      | 37        |
| 13.0 Benefits for former personnel .....                        | .....       | .....   | 1         |
| 21.0 Travel and transportation of persons .....                 | 2           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | .....       | .....   | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 3       | 4         |
| 25.1 Advisory and assistance services .....                     | 15          | 8       | 31        |
| 25.2 Other services from non-Federal sources .....              | 16          | 8       | 63        |
| 25.3 Other goods and services from Federal sources .....        | 48          | 43      | 112       |
| 25.4 Operation and maintenance of facilities .....              | .....       | .....   | 1         |
| 25.7 Operation and maintenance of equipment .....               | 35          | 38      | 39        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 27          | 17      | 16        |
| 99.9 Total new obligations .....                                | 268         | 248     | 438       |

**Employment Summary**

| Identification code 20–4560–0–4–803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,160       | 1,293   | 1,516     |

**ADMINISTRATIVE EXPENSES, RECOVERY ACT**

**Program and Financing** (in millions of dollars)

| Identification code 20–0129–0–1–803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 1           | .....   | .....     |
| 3011 Obligations incurred, expired accounts .....               | 1           | .....   | .....     |
| 3020 Outlays (gross) .....                                      | –1          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1          | .....   | .....     |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 1           | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                  | 1           | .....   | .....     |
| Offsets against gross budget authority and outlays:             |             |         |           |
| Offsetting collections (collected) from:                        |             |         |           |
| 4030 Federal sources .....                                      | –1          | .....   | .....     |
| Additional offsets against gross budget authority only:         |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....  | 1           | .....   | .....     |

The funding appropriated to this account supports the implementation and administration of a number of American Recovery

and Reinvestment Act tax, bond and cash assistance programs across the Department of the Treasury.

#### GRANTS FOR SPECIFIED ENERGY PROPERTY IN LIEU OF TAX CREDITS, RECOVERY ACT

##### Program and Financing (in millions of dollars)

| Identification code 20–0140–0–1–271                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct Program Activity .....                    | 5,375       | 8,080   | 4,710     |
| 0900 Total new obligations (object class 41.0) .....  | 5,375       | 8,080   | 4,710     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 5,376       | 8,080   | 4,710     |
| 1260 Appropriations, mandatory (total) .....          | 5,376       | 8,080   | 4,710     |
| 1930 Total budgetary resources available .....        | 5,376       | 8,081   | 4,711     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 294     | 294       |
| 3010 Obligations incurred, unexpired accounts .....   | 5,375       | 8,080   | 4,710     |
| 3020 Outlays (gross) .....                            | –5,082      | –8,080  | –4,710    |
| 3050 Unpaid obligations, end of year .....            | 294         | 294     | 294       |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 294     | 294       |
| 3200 Obligated balance, end of year .....             | 294         | 294     | 294       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 5,376       | 8,080   | 4,710     |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 7,786   | 4,710     |
| 4101 Outlays from mandatory balances .....            | 5,082       | 294     |           |
| 4110 Outlays, gross (total) .....                     | 5,082       | 8,080   | 4,710     |
| 4180 Budget authority, net (total) .....              | 5,376       | 8,080   | 4,710     |
| 4190 Outlays, net (total) .....                       | 5,082       | 8,080   | 4,710     |

Section 1603 of the American Recovery and Reinvestment Act of 2009 authorized and directed the Secretary of the Treasury to establish payments in lieu of tax credits for taxpayers that place in service qualifying renewable energy facilities. This account presents the estimated disbursements for this program.

This program provides payments for specified energy property (including qualified facilities that produce electricity from wind and certain other renewable resources; qualified fuel cell property; solar property; qualified small wind energy property; geothermal property; qualified microturbine property; combined heat and power system property; and geothermal heat pump property). Payments are available for property placed in service in 2009, 2010 or 2011. In some cases, if construction begins in 2009, 2010, or 2011, the payment can be claimed for property placed in service before 2013, 2014 or 2017 (depending on the type of property). In general, projects that meet eligibility criteria for the energy property investment tax credit (ITC) (including qualified renewable energy facilities for which an election to claim the ITC can be made) are eligible for the payments. A person or entity receiving a payment for specified energy property may not claim either the investment tax credit or the renewable energy production tax credit with respect to the same property. The Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (Public Law 111–312), Section 707(a), extended for one

year, through 2011, the time within which certain eligible property must be placed in service or start construction.

#### GRANTS TO STATES FOR LOW-INCOME HOUSING PROJECTS IN LIEU OF LOW-INCOME HOUSING CREDIT ALLOCATIONS

##### Program and Financing (in millions of dollars)

| Identification code 20–0139–0–1–604                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 7           |         |           |
| 1029 Other balances withdrawn .....                               | –7          |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 635         | 1       | 1         |
| 3020 Outlays (gross) .....  | –627        |         |           |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –7          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 635         | 1       | 1         |
| 3200 Obligated balance, end of year .....                         | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                        | 627         |         |           |
| 4190 Outlays, net (total) .....                                   | 627         |         |           |

Section 1602 of the American Recovery and Reinvestment Act of 2009 (Recovery Act) authorized and directed the Secretary of the Treasury to establish payments to States for low-income housing projects in lieu of low-income housing tax credits (LIHTC). This account presents the estimated disbursements for this program.

The program provides payments to State housing credit agencies to make sub-awards to finance the construction or acquisition and rehabilitation of qualified low-income housing in the same manner and generally subject to the same limitations as LIHTCs allocated under section 42 of the Internal Revenue Code (IRC) through December 31, 2011. The Recovery Act specifies that the exchange of credits for cash payments applies only to the 2009 LIHTC ceiling under IRC 42(h)(3)(C), and that states may elect to exchange credits for cash payments subject to the requirements and limitations provided in Division B, sections 1404 & 1602 of the Recovery Act.

#### COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM ACCOUNT

To carry out the Community Development Banking and Financial Institutions Act of 1994 (Public Law 103–325), including services authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for EX-3, \$224,936,000, to remain available until September 30, 2015; of which \$12,000,000 shall be for financial assistance, technical assistance, training and outreach programs, designed to benefit Native American, Native Hawaiian, and Alaskan Native communities and provided primarily through qualified community development lender organizations with experience and expertise in community development banking and lending in Indian country, Native American organizations, tribes and tribal organizations and other suitable providers; of which, notwithstanding section 108(d) of such Act, up to \$35,000,000 shall be for a Healthy Food Financing Initiative to provide financial assistance, technical assistance, training, and outreach to community development financial institutions for the purpose of offering affordable financing and technical assistance to expand the availability of healthy food options in distressed communities; of which \$10,000,000 shall be for the Bank Enterprise Awards program; and of which up to \$23,636,000 may be used for administrative expenses, including administration of the New Markets Tax Credit Program and the CDFI Bond Guarantee Program,

COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND PROGRAM  
ACCOUNT—Continued

and up to \$300,000 for administrative expenses to carry out the direct loan program; and of which up to \$2,222,500 may be used for the cost of direct loans: Provided, That the cost of direct and guaranteed loans, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That these funds are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$25,000,000: Provided further, That up to \$10,000,000 of the amounts provided under this heading shall be available for the cost of guarantees pursuant to and as authorized by section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4701 et seq.): Provided further, That funds for the cost of guarantees are available to subsidize total loan principal not to exceed \$1,000,000,000: Provided further, That, pursuant to such section 114A, up to \$1,000,000 collected from administration fees may be used for administrative expenses of the CDFI Bond Guarantee Program, and shall be in addition to funds otherwise provided for administrative expenses of the CDFI Bond Guarantee Program.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 20–1881–0–1–451                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0009 General Administrative Expenses .....                         | 23          | 23      | 24        |
| 0012 Financial Assistance .....                                    | 142         | 141     | 144       |
| 0014 Native American/Hawaiian Program .....                        | 13          | 12      | 12        |
| 0026 Healthy Food Initiative .....                                 | 21          | 20      | 35        |
| 0028 Bank Enterprise Award .....                                   | 22          | 18      | 10        |
| 0030 Small Business Bond Guarantee .....                           | 6           |         |           |
| 0091 Direct program activities, subtotal .....                     | 227         | 214     | 225       |
| Credit program obligations:  |             |         |           |
| 0701 Direct loan subsidy .....                                     | 6           | 8       | 2         |
| 0705 Reestimates of direct loan subsidy .....                      | 1           | 1       |           |
| 0706 Interest on reestimates of direct loan subsidy .....          | 1           |         |           |
| 0791 Direct program activities, subtotal .....                     | 8           | 9       | 2         |
| 0900 Total new obligations .....                                   | 235         | 223     | 227       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 53          | 38      | 46        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 52          | 37      |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 3           | 7       | 5         |
| 1050 Unobligated balance (total) .....                             | 56          | 45      | 51        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 221         | 222     | 225       |
| 1160 Appropriation, discretionary (total) .....                    | 221         | 222     | 225       |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 1           | 1       |           |
| 1260 Appropriations, mandatory (total) .....                       | 1           | 1       |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 223         | 224     | 226       |
| 1930 Total budgetary resources available .....                     | 279         | 269     | 277       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –6          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 38          | 46      | 50        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 130         | 176     | 95        |
| 3010 Obligations incurred, unexpired accounts .....                | 235         | 223     | 227       |
| 3020 Outlays (gross) .....   | –184        | –297    | –210      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –3          | –7      | –5        |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 176         | 95      | 107       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 130         | 176     | 95        |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| 3200  | Obligated balance, end of year .....                           | 176 | 95  | 107 |
| <b>Budget authority and outlays, net:</b>           |  |     |     |     |
| Discretionary:                                      |  |     |     |     |
| 4000  | Budget authority, gross .....                                  | 222 | 223 | 226 |
| Outlays, gross:                                     |  |     |     |     |
| 4010  | Outlays from new discretionary authority .....                 | 28  | 139 | 141 |
| 4011  | Outlays from discretionary balances .....                      | 156 | 157 | 69  |
| 4020  | Outlays, gross (total) .....                                   | 184 | 296 | 210 |
| Offsets against gross budget authority and outlays: |  |     |     |     |
| Offsetting collections (collected) from:            |  |     |     |     |
| 4033  | Non-Federal sources .....                                      | –1  | –1  | –1  |
| Mandatory:  |  |     |     |     |
| 4090  | Budget authority, gross .....                                  | 1   | 1   |     |
| Outlays, gross:                                     |  |     |     |     |
| 4101  | Outlays from mandatory balances .....                          |     | 1   |     |
| 4180  | Budget authority, net (total) .....                            | 222 | 223 | 225 |
| 4190  | Outlays, net (total) .....                                     | 183 | 296 | 209 |
| <b>Memorandum (non-add) entries:</b>                |  |     |     |     |
| 5010  | Total investments, SOY: non-Fed securities: Market value ..... | 21  | 21  | 25  |
| 5011  | Total investments, EOY: non-Fed securities: Market value ..... | 21  | 25  | 25  |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 20–1881–0–1–451                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:               |             |         |           |
| 115001 Community Development Financial Institutions Prog Fin Assist. .... | 15          | 25      | 25        |
| 115002 Bond Guarantee Program .....                                       |             |         | 1,000     |
| 115999 Total direct loan levels .....                                     | 15          | 25      | 1,025     |
| Direct loan subsidy (in percent):   |             |         |           |
| 132001 Community Development Financial Institutions Prog Fin Assist. .... | 40.26       | 32.15   | 8.89      |
| 132002 Bond Guarantee Program .....                                       |             |         | 0.00      |
| 132999 Weighted average subsidy rate .....                                | 40.26       | 32.15   | 0.22      |
| Direct loan subsidy budget authority:                                     |             |         |           |
| 133001 Community Development Financial Institutions Prog Fin Assist. .... | 6           | 8       | 2         |
| 133999 Total subsidy budget authority .....                               | 6           | 8       | 2         |
| Direct loan subsidy outlays:  |             |         |           |
| 134001 Community Development Financial Institutions Prog Fin Assist. .... |             | 7       | 4         |
| 134999 Total subsidy outlays .....  |             | 7       | 4         |
| Direct loan upward reestimates:   |             |         |           |
| 135001 Community Development Financial Institutions Prog Fin Assist. .... | 1           | 1       |           |
| 135999 Total upward reestimate budget authority .....                     | 1           | 1       |           |
| Direct loan downward reestimates:   |             |         |           |
| 137001 Community Development Financial Institutions Prog Fin Assist. .... | –4          | –1      |           |
| 137999 Total downward reestimate budget authority .....                   | –4          | –1      |           |

The Community Development Financial Institutions (CDFI) Fund promotes economic and community development through investment in and assistance to CDFIs, which include community development banks, credit unions, loan funds, and venture capital funds, in order to expand the availability of financial services and affordable credit for underserved populations, including distressed urban, rural, Native American, Native Hawaiian, and Alaska Native communities. The CDFI Fund's role in promoting community and economic development was expanded in FY 2001 when the Secretary of the Treasury delegated to the CDFI Fund the responsibility of administering the New Markets Tax Credit (NMTC) Program, which spurs investment of new private sector capital into low-income communities.

The FY 2014 Budget provides funding for the CDFI Fund's merit-based financial and technical assistance programs, including the Healthy Food Financing Initiative, which provides financial and technical assistance to CDFIs in order to expand the offering of affordable financing for healthy food retail options in distressed communities, and the Bank Enterprise Awards Program, which provides grants to FDIC-insured banks and thrifts

that invest in CDFIs and increase their lending and financial services in economically distressed communities. In addition, the Budget proposes to permanently reauthorize the NMTC in 2014, and requests \$5 billion of allocation authority per year, as well as authority to offset Alternative Minimum Tax liability. The Budget also proposes a new Manufacturing Communities Tax Credit (MCTC), with \$2 billion in tax credit authority in each of three years through 2016. The NMTC allocations will expand the availability of affordable financing for operating businesses and real estate projects in low-income communities (such as renewable energy projects, charter schools, health care centers, manufacturing facilities, and retail centers), and the MCTC will support investments in communities affected by military base closures or mass layoffs.

The CDFI Fund's Bond Guarantee Program, established in the Small Business Jobs Act of 2010 (Public Law 111-240), will support CDFI lending and investment activity by providing a source of long-term capital in low-income and underserved communities. The proceeds of these bonds will help spur job creation among small businesses and entrepreneurs, and provide needed financing for infrastructure development projects such as charter schools and affordable housing. Consistent with the program's authorization, the FY 2014 Budget supports up to \$1 billion in aggregate guarantee authority in FY 2014; the Budget also proposes to extend the program's authorization by one year, through FY 2015, at the current total annual guarantee level.

#### Object Classification (in millions of dollars)

| Identification code 20-1881-0-1-451                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 7           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 15          | 6       | 11        |
| 25.3 Other goods and services from Federal sources ..... | 8           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....          | 202         | 204     | 203       |
| 99.9 Total new obligations .....                         | 235         | 223     | 227       |

#### Employment Summary

| Identification code 20-1881-0-1-451                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 69          | 79      | 76        |

### COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 20-4088-0-3-451                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |             |         |           |
| Credit program obligations:                                |             |         |           |
| 0710 Direct loan obligations .....                         | 15          | 25      | 1,025     |
| 0713 Payment of interest to Treasury .....                 | 2           | 1       | 1         |
| 0742 Downward reestimate paid to receipt account .....     | 2           | 1       | .....     |
| 0743 Interest on downward reestimates .....                | 1           | .....   | .....     |
| 0900 Total new obligations .....                           | 20          | 27      | 1,026     |
| <b>Budgetary Resources:</b>                                |             |         |           |
| Unobligated balance:                                       |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....      | .....       | .....   | 7         |
| Financing authority:                                       |             |         |           |
| Borrowing authority, mandatory:                            |             |         |           |
| 1400 Borrowing authority .....                             | 13          | 23      | 1,023     |
| 1440 Borrowing authority, mandatory (total) .....          | 13          | 23      | 1,023     |
| Spending authority from offsetting collections, mandatory: |             |         |           |
| 1800 Collected .....                                       | 8           | 16      | 12        |
| 1801 Change in uncollected payments, Federal sources ..... | 6           | .....   | .....     |

|                                     |  |       |       |       |
|-------------------------------------|--|-------|-------|-------|
| 1825                                | Spending authority from offsetting collections applied to repay debt ..... | -7    | -5    | -6    |
| 1850                                | Spending auth from offsetting collections, mand (total) .....              | 7     | 11    | 6     |
| 1900                                | Financing authority (total) .....  | 20    | 34    | 1,029 |
| 1930                                | Total budgetary resources available .....                                  | 20    | 34    | 1,036 |
|                                     | Memorandum (non-add) entries:  |       |       |       |
| 1941                                | Unexpired unobligated balance, end of year .....                           | ..... | 7     | 10    |
| <b>Change in obligated balance:</b> |  |       |       |       |
|                                     | Unpaid obligations:  |       |       |       |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....                           | ..... | 15    | 32    |
| 3010                                | Obligations incurred, unexpired accounts .....                             | 20    | 27    | 1,026 |
| 3020                                | Financing disbursements (gross) .....                                      | -5    | -10   | -80   |
| 3050                                | Unpaid obligations, end of year .....                                      | 15    | 32    | 978   |
|                                     | Uncollected payments:  |       |       |       |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | ..... | -6    | -6    |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....                  | -6    | ..... | ..... |
| 3090                                | Uncollected pymts, Fed sources, end of year .....                          | -6    | -6    | -6    |
|                                     | Memorandum (non-add) entries:  |       |       |       |
| 3100                                | Obligated balance, start of year .....                                     | ..... | 9     | 26    |
| 3200                                | Obligated balance, end of year .....                                       | 9     | 26    | 972   |

#### Financing authority and disbursements, net:

|      |  |       |       |       |
|------|--|-------|-------|-------|
|      | Mandatory:   |       |       |       |
| 4090 | Financing authority, gross .....                                     | 20    | 34    | 1,029 |
|      | Financing disbursements:   |       |       |       |
| 4110 | Financing disbursements, gross .....                                 | 5     | 10    | 80    |
|      | Offsets against gross financing authority and disbursements:         |       |       |       |
|      | Offsetting collections (collected) from:                             |       |       |       |
| 4120 | Federal sources .....  | -1    | -8    | -4    |
| 4123 | Non-Federal sources - Interest repayments .....                      | -7    | -1    | -1    |
| 4123 | Non-Federal sources - Principal Repayments .....                     | ..... | -7    | -7    |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -8    | -16   | -12   |
|      | Additional offsets against financing authority only (total):         |       |       |       |
| 4140 | Change in uncollected pymts, Fed sources, unexpired .....            | -6    | ..... | ..... |
| 4160 | Financing authority, net (mandatory) .....                           | 6     | 18    | 1,017 |
| 4170 | Financing disbursements, net (mandatory) .....                       | -3    | -6    | 68    |
| 4180 | Financing authority, net (total) .....                               | 6     | 18    | 1,017 |
| 4190 | Financing disbursements, net (total) .....                           | -3    | -6    | 68    |

#### Status of Direct Loans (in millions of dollars)

| Identification code 20-4088-0-3-451                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 25          | 25      | 1,025     |
| 1142 Unobligated direct loan limitation (-) .....                      | -10         | .....   | .....     |
| 1150 Total direct loan obligations .....                               | 15          | 25      | 1,025     |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 52          | 46      | 50        |
| 1231 Disbursements: Direct loan disbursements .....                    | .....       | 10      | 68        |
| 1251 Repayments: Repayments and prepayments .....                      | -6          | -4      | -8        |
| 1263 Write-offs for default: Direct loans .....                        | .....       | -2      | -2        |
| 1290 Outstanding, end of year .....                                    | 46          | 50      | 108       |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

#### Balance Sheet (in millions of dollars)

| Identification code 20-4088-0-3-451                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 52          | 46          |
| 1405 Allowance for subsidy cost (-) .....                         | -16         | -13         |
| 1499 Net present value of assets related to direct loans .....    | 36          | 33          |
| 1999 Total assets .....   | 36          | 33          |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 36          | 33          |

**COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS FUND DIRECT LOAN  
FINANCING ACCOUNT—Continued  
Balance Sheet—Continued**

| Identification code 20–4088–0–3–451           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 4999 Total liabilities and net position ..... | 36          | 33          |

**OFFICE OF FINANCIAL STABILITY**

**Program and Financing (in millions of dollars)**

| Identification code 20–0128–0–1–376                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                 |             |         |           |
| 0001 Direct program activity .....                                      | 262         | 289     | 186       |
| 0811 Reimbursable program (to GAO) .....                                | 2           | 2       | 2         |
| 0812 Reimbursable program (to Treasury and Non-Treasury agencies) ..... | 18          | 15      | 13        |
| 0899 Total reimbursable obligations .....                               | 20          | 17      | 15        |
| 0900 Total new obligations .....  | 282         | 306     | 201       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 323         | 306     | 201       |
| 1260 Appropriations, mandatory (total) .....                            | 323         | 306     | 201       |
| 1930 Total budgetary resources available .....                          | 323         | 306     | 201       |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....                                 | –41         |         |           |
| <b>Change in obligated balance:</b>                                     |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                   | 196         | 164     | 61        |
| 3010 Obligations incurred, unexpired accounts .....                     | 282         | 306     | 201       |
| 3011 Obligations incurred, expired accounts .....                       | 2           |         |           |
| 3020 Outlays (gross) .....  | –274        | –389    | –222      |
| 3041 Recoveries of prior year unpaid obligations, expired .....         | –42         | –20     |           |
| 3050 Unpaid obligations, end of year .....                              | 164         | 61      | 40        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                             | 196         | 164     | 61        |
| 3200 Obligated balance, end of year .....                               | 164         | 61      | 40        |
| <b>Budget authority and outlays, net:</b>                               |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                      | 323         | 306     | 201       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                         | 161         | 245     | 161       |
| 4101 Outlays from mandatory balances .....                              | 113         | 144     | 61        |
| 4110 Outlays, gross (total) .....                                       | 274         | 389     | 222       |
| 4180 Budget authority, net (total) .....                                | 323         | 306     | 201       |
| 4190 Outlays, net (total) .....   | 274         | 389     | 222       |

The Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110–343) authorized the establishment of the Troubled Asset Relief Program (TARP) and the Office of Financial Stability (OFS) to purchase and insure certain types of troubled assets for the purpose of providing stability to and preventing disruption in the economy and financial systems and protecting taxpayers. The Act gives the Treasury Secretary broad and flexible authority to purchase and insure mortgages and other troubled assets, as well as inject capital by taking limited equity positions, as needed to stabilize the financial markets. This account provides for the administrative costs for the OFS, which oversees and manages the TARP.

**Object Classification (in millions of dollars)**

| Identification code 20–0128–0–1–376                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 20          | 20      | 15        |
| 12.1 Civilian personnel benefits .....                 | 6           | 5       | 5         |
| 21.0 Travel and transportation of persons .....        | 1           | 1       | 1         |

|  |     |     |     |
|--|-----|-----|-----|
| 25.2 Other services from non-Federal sources ..... | 236 | 263 | 165 |
| 99.0 Direct obligations .....                      | 263 | 289 | 186 |
| 99.0 Reimbursable obligations .....                | 19  | 17  | 15  |
| 99.9 Total new obligations .....                   | 282 | 306 | 201 |

**Employment Summary**

| Identification code 20–0128–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 172         | 161     | 126       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 2           | 2       | 2         |

**TROUBLED ASSET RELIEF PROGRAM ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 20–0132–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0705 Reestimates of direct loan subsidy .....                   | 4,890       |         |           |
| 0706 Interest on reestimates of direct loan subsidy .....       | 2,932       | 43      |           |
| 0707 Reestimates of loan guarantee subsidy .....                | 28          |         |           |
| 0708 Interest on reestimates of loan guarantee subsidy .....    | 8           |         |           |
| 0900 Total new obligations (object class 41.0) .....            | 7,858       | 43      |           |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 7,858       | 43      |           |
| 1260 Appropriations, mandatory (total) .....                    | 7,858       | 43      |           |
| 1930 Total budgetary resources available .....                  | 7,858       | 43      |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 134         | 43      |           |
| 3010 Obligations incurred, unexpired accounts .....             | 7,858       | 43      |           |
| 3020 Outlays (gross) .....                                      | –7,858      | –43     |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –91         | –43     |           |
| 3050 Unpaid obligations, end of year .....                      | 43          |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 134         | 43      |           |
| 3200 Obligated balance, end of year .....                       | 43          |         |           |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 7,858       | 43      |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 7,858       | 43      |           |
| 4180 Budget authority, net (total) .....                        | 7,858       | 43      |           |
| 4190 Outlays, net (total) .....                                 | 7,858       | 43      |           |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

| Identification code 20–0132–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct loan subsidy outlays:                                     |             |         |           |
| 134004 Legacy Securities Public-Private Investment Program ..... | –87         |         |           |
| 134999 Total subsidy outlays .....                               | –87         |         |           |
| Direct loan upward reestimates:                                  |             |         |           |
| 135001 Automotive Industry Financing Program .....               | 7,590       |         |           |
| 135003 Small Business Lending Initiative—7(a) purchases .....    |             | 1       |           |
| 135004 Legacy Securities Public-Private Investment Program ..... | 232         | 42      |           |
| 135999 Total upward reestimate budget authority .....            | 7,822       | 43      |           |
| Direct loan downward reestimates:                                |             |         |           |
| 137001 Automotive Industry Financing Program .....               | –1,433      | –3,036  |           |
| 137002 Term-Asset Backed Securities Loan Facility (TALF) .....   | –131        | –109    |           |
| 137003 Small Business Lending Initiative—7(a) purchases .....    | –4          | –2      |           |
| 137004 Legacy Securities Public-Private Investment Program ..... | –70         | –192    |           |
| 137999 Total downward reestimate budget authority .....          | –1,638      | –3,339  |           |
| Guaranteed loan upward reestimates:                              |             |         |           |
| 235001 Asset Guarantee Program .....                             | 36          |         |           |
| 235999 Total upward reestimate budget authority .....            | 36          |         |           |



|                                       |  |      |       |
|---------------------------------------|--|------|-------|
| Guaranteed loan downward reestimates: |  |      |       |
| 237001                                | Asset Guarantee Program .....                            | -204 | ..... |
| 237999                                | Total downward reestimate subsidy budget authority ..... | -204 | ..... |

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110–343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with the TARP direct loans obligated and loan guarantees (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year). The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA. The direct loan programs serviced by this account include the Automotive Industry Financing Program (AIFP), Term-Asset Backed Securities Loan Facility (TALF), Public-Private Investment Program (PPIP) and the Small Business Lending Initiative (SBLI). The AIFP was developed to prevent a significant disruption to the American automotive industry, which would have resulted in widespread damage to the U.S. economy. The TALF was developed to stimulate investor demand for certain types of eligible asset-backed securities, specifically those backed by loans to consumers and small businesses, and ultimately, bring down the cost and increase the availability of new credit to consumers and businesses. The PPIP was developed to improve the condition of financial institutions by facilitating the removal of legacy assets from their balance sheets. The SBLI was developed to provide additional liquidity to the Small Business Administration's 7(a) market so that banks are able to make more small business loans. The guaranteed loan commitments that were serviced by this account include the Asset Guarantee Program (AGP). The AGP provided guarantees for assets held by systemically significant financial institutions (Bank of America and Citigroup) that faced a risk of losing market confidence due in large part to a portfolio of distressed or illiquid assets.

The Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203), enacted on July 21, 2010, reduced TARP authority to purchase troubled assets from \$700 billion to \$475 billion; required that repayments of amounts invested under TARP cannot be used to increase purchase authority and are dedicated to reducing the Federal debt; and prohibited new obligations for any program or initiative that had not been initiated by June 25, 2010.

The authority to make new financial commitments via the TARP expired on October 3, 2010 under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

## TROUBLED ASSET RELIEF PROGRAM DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 20–4277–0–3–376     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| Credit program obligations:             |   |             |         |           |
| 0713                                    | Payment of interest to Treasury .....             | 790         | 2,362   | 1,247     |
| 0739                                    | Disposition Fees .....                            |             | 7       | .....     |
| 0742                                    | Downward reestimate paid to receipt account ..... | 1,556       | 1,862   | .....     |
| 0743                                    | Interest on downward reestimates .....            | 83          | 1,477   | .....     |
| 0900                                    | Total new obligations .....                       | 2,429       | 5,708   | 1,247     |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....  | 7,682       | 1,376   | .....     |
| 1021                                    | Recoveries of prior year unpaid obligations ..... | 6,114       | 4,650   | .....     |

|  |  |         |         |        |
|--|--|---------|---------|--------|
| 1023   | Unobligated balances applied to repay debt .....                           | -6,440  | -3,415  | .....  |
| 1024   | Unobligated balance of borrowing authority withdrawn .....                 | -5,832  | -2,611  | .....  |
| 1050   | Unobligated balance (total) .....  | 1,524   | .....   | .....  |
| <b>Financing authority:</b>                                  |  |         |         |        |
| Borrowing authority, mandatory:                              |  |         |         |        |
| 1400   | Borrowing authority .....  | 156     | 3,564   | 35     |
| 1440   | Borrowing authority, mandatory (total) .....                               | 156     | 3,564   | 35     |
| Spending authority from offsetting collections, mandatory:   |  |         |         |        |
| 1800   | Offsetting collections .....   | 13,883  | 15,847  | 7,406  |
| 1801   | Change in uncollected payments, Federal sources .....                      | -91     | -43     | .....  |
| 1825   | Spending authority from offsetting collections applied to repay debt ..... | -11,667 | -13,660 | -6,194 |
| 1850   | Spending auth from offsetting collections, mand (total) .....              | 2,125   | 2,144   | 1,212  |
| 1900   | Financing authority (total) .....  | 2,281   | 5,708   | 1,247  |
| 1930   | Total budgetary resources available .....                                  | 3,805   | 5,708   | 1,247  |
| <b>Memorandum (non-add) entries:</b>                         |  |         |         |        |
| 1941   | Unexpired unobligated balance, end of year .....                           | 1,376   | .....   | .....  |
| <b>Change in obligated balance:</b>                          |  |         |         |        |
| Unpaid obligations:  |  |         |         |        |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                           | 11,654  | 4,650   | .....  |
| 3010   | Obligations incurred, unexpired accounts .....                             | 2,429   | 5,708   | 1,247  |
| 3020   | Financing disbursements (gross) .....                                      | -3,319  | -5,708  | -1,247 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....               | -6,114  | -4,650  | .....  |
| 3050   | Unpaid obligations, end of year .....                                      | 4,650   | .....   | .....  |
| <b>Uncollected payments:</b>                                 |  |         |         |        |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -134    | -43     | .....  |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                  | 91      | 43      | .....  |
| 3090   | Uncollected pymts, Fed sources, end of year .....                          | -43     | .....   | .....  |
| <b>Memorandum (non-add) entries:</b>                         |  |         |         |        |
| 3100   | Obligated balance, start of year .....                                     | 11,520  | 4,607   | .....  |
| 3200   | Obligated balance, end of year .....                                       | 4,607   | .....   | .....  |
| <b>Financing authority and disbursements, net:</b>           |  |         |         |        |
| Mandatory:   |  |         |         |        |
| 4090   | Financing authority, gross .....   | 2,281   | 5,708   | 1,247  |
| Financing disbursements:                                     |  |         |         |        |
| 4110   | Financing disbursements, gross .....                                       | 3,319   | 5,708   | 1,247  |
| Offsets against gross financing authority and disbursements: |  |         |         |        |
| Offsetting collections (collected) from:                     |  |         |         |        |
| 4120   | Federal sources .....  | -7,822  | -43     | .....  |
| 4122   | Interest on uninvested funds .....   | -223    | -424    | -623   |
| 4123   | Principal .....  | -5,704  | -5,535  | -150   |
| 4123   | Interest .....   | -125    | -20     | .....  |
| 4123   | Warrants .....   | .....   | -9,825  | -6,633 |
| 4123   | Sale of Stock .....  | -9      | .....   | .....  |
| 4130   | Offsets against gross financing auth and disbursements (total) .....       | -13,883 | -15,847 | -7,406 |
| Additional offsets against financing authority only (total): |  |         |         |        |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....                  | 91      | 43      | .....  |
| 4160   | Financing authority, net (mandatory) .....                                 | -11,511 | -10,096 | -6,159 |
| 4170   | Financing disbursements, net (mandatory) .....                             | -10,564 | -10,139 | -6,159 |
| 4180   | Financing authority, net (total) .....                                     | -11,511 | -10,096 | -6,159 |
| 4190   | Financing disbursements, net (total) .....                                 | -10,564 | -10,139 | -6,159 |

## Status of Direct Loans (in millions of dollars)

| Identification code 20–4277–0–3–376                    |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Cumulative balance of direct loans outstanding:</b> |   |             |         |           |
| 1210   | Outstanding, start of year .....                              | 11,538      | 6,634   | 1,099     |
| 1231   | Disbursements: Direct loan disbursements .....                | 803         | .....   | .....     |
| 1251   | Repayments: Repayments and prepayments .....                  | -5,704      | -5,535  | -150      |
| 1264   | Write-offs for default: Other adjustments, net (+ or -) ..... | -3          | .....   | .....     |
| 1290   | Outstanding, end of year .....                                | 6,634       | 1,099   | 949       |

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110–343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 2008 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

**TROUBLED ASSET RELIEF PROGRAM DIRECT LOAN FINANCING  
ACCOUNT—Continued**  
**Balance Sheet** (in millions of dollars)

| Identification code 20–4277–0–3–376                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 10,759      | 3,372       |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 8,043       |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 22,653      | 22,653      |
| 1401 Direct loans receivable, gross .....                         | 11,538      | 6,634       |
| 1405 Allowance for subsidy cost (-) .....                         | -2,964      | -7,115      |
| 1405 Allowance for subsidy cost (-) .....                         | -9,150      | -4,252      |
| 1499 Net present value of assets related to direct loans .....    | 22,077      | 17,920      |
| 1999 Total assets .....   | 40,879      | 21,292      |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2104 Resources payable to Treasury .....                          | 39,243      | 21,292      |
| 2105 Other .....  | 1,636       |             |
| 2999 Total upward reestimate subsidy BA [20–0132] .....           | 40,879      | 21,292      |
| 4999 Total liabilities and net position .....                     | 40,879      | 21,292      |

**TROUBLED ASSETS INSURANCE FINANCING FUND GUARANTEED LOAN  
FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 20–4276–0–3–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 23          | 50      |           |
| 0742 Downward reestimate paid to receipt account .....                          |             | 159     |           |
| 0743 Interest on downward reestimates .....                                     |             | 45      |           |
| 0900 Total new obligations .....  | 23          | 254     |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 60          | 2       |           |
| 1023 Unobligated balances applied to repay debt .....                           | -60         |         |           |
| 1050 Unobligated balance (total) .....  |             | 2       |           |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 38          | 1,012   |           |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -13         | -760    |           |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 25          | 252     |           |
| 1900 Financing authority (total) .....  | 25          | 252     |           |
| 1930 Total budgetary resources available .....                                  | 25          | 254     |           |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 2           |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                             | 23          | 254     |           |
| 3020 Financing disbursements (gross) .....                                      | -23         | -254    |           |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 25          | 252     |           |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 23          | 254     |           |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources .....  | -36         |         |           |
| 4122 Interest on uninvested funds .....   | -2          | -29     |           |
| 4123 Dividends .....  |             | -983    |           |
| 4130 Offsets against gross financing auth and disbursements (total) .....       | -38         | -1,012  |           |
| 4160 Financing authority, net (mandatory) .....                                 | -13         | -760    |           |
| 4170 Financing disbursements, net (mandatory) .....                             | -15         | -758    |           |
| 4180 Financing authority, net (total) .....                                     | -13         | -760    |           |

4190 Financing disbursements, net (total) ..... -15 -758 .....

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110–343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 2008 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the budget totals. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives Volume.

**Balance Sheet** (in millions of dollars)

| Identification code 20–4276–0–3–376                                       | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                    | 60          | 60          |
| 1201 Non-Federal assets: Investments in non-Federal securities, net ..... | 773         | 773         |
| 1999 Total assets .....   | 833         | 833         |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                                      | 833         | 833         |
| 4999 Total liabilities and net position .....                             | 833         | 833         |

**TROUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE PROGRAM**

**Program and Financing** (in millions of dollars)

| Identification code 20–0134–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| Credit program obligations:                                     |             |         |           |
| 0703 Subsidy for modifications of direct loans .....            | 974         |         |           |
| 0705 Reestimates of direct loan subsidy .....                   | 14,724      | 340     |           |
| 0706 Interest on reestimates of direct loan subsidy .....       | 3,714       | 101     |           |
| 0900 Total new obligations (object class 41.0) .....            | 19,412      | 441     |           |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 19,412      | 441     |           |
| 1260 Appropriations, mandatory (total) .....                    | 19,412      | 441     |           |
| 1930 Total budgetary resources available .....                  | 19,412      | 441     |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 362         | 306     |           |
| 3010 Obligations incurred, unexpired accounts .....             | 19,412      | 441     |           |
| 3020 Outlays (gross) .....                                      | -19,468     | -441    |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... |             | -306    |           |
| 3050 Unpaid obligations, end of year .....                      | 306         |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 362         | 306     |           |
| 3200 Obligated balance, end of year .....                       | 306         |         |           |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 19,412      | 441     |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 19,412      | 441     |           |
| 4101 Outlays from mandatory balances .....                      | 56          |         |           |
| 4110 Outlays, gross (total) .....                               | 19,468      | 441     |           |
| 4180 Budget authority, net (total) .....                        | 19,412      | 441     |           |
| 4190 Outlays, net (total) .....                                 | 19,468      | 441     |           |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 20–0134–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct loan subsidy outlays:                                     |             |         |           |
| 134001 Capital Purchase Program .....                            | 973         |         |           |
| 134005 Legacy Securities Public-Private Investment Program ..... | 56          |         |           |

|        |   |        |        |       |
|--------|---|--------|--------|-------|
| 134999 | Total subsidy outlays .....                               | 1,029  | .....  | ..... |
|        | Direct loan upward reestimates:                           |        |        |       |
| 135002 | AIG Investments .....                                     | 14,644 | .....  | ..... |
| 135004 | Automotive Industry Financing Program (Equity) .....      | 3,794  | .....  | ..... |
| 135005 | Legacy Securities Public-Private Investment Program ..... | 441    | .....  | ..... |
| 135999 | Total upward reestimate budget authority .....            | 18,438 | 441    | ..... |
|        | Direct loan downward reestimates:                         |        |        |       |
| 137001 | Capital Purchase Program .....                            | -1,825 | -1,846 | ..... |
| 137002 | AIG Investments .....                                     |        | -7,125 | ..... |
| 137004 | Automotive Industry Financing Program (Equity) .....      |        | -468   | ..... |
| 137005 | Legacy Securities Public-Private Investment Program ..... | -2,375 |        | ..... |
| 137006 | Community Development Capital Initiative .....            | -137   | -13    | ..... |
| 137999 | Total downward reestimate budget authority .....          | -4,337 | -9,452 | ..... |

As authorized by the Emergency Economic Stabilization Act of 2008 (EESA) (P.L. 110–343) and required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with TARP equity purchase obligations (including modifications of equity purchases that resulted from obligations in any year). The subsidy amounts are estimated on a present value basis using a risk-adjusted discount rate, as required by EESA. The equity purchase programs serviced by this account include the American International Group Investment Program (AIGP), Targeted Investment Program (TIP), Automotive Industry Financing Program (AIFP), Public-Private Investment Program (PPIP), Community Development Capital Initiative (CDCI), and the Capital Purchase Program (CPP). The AIGP was intended to provide stability and prevent disruptions to financial markets from the failure of a systemically significant institution. The TIP was developed to prevent a loss of confidence in critical financial institutions, which could result in significant financial market disruptions, threaten the financial strength of similarly situated financial institutions, impair broader financial markets, and undermine the overall economy. The AIFP was developed to prevent a significant disruption to the American automotive industry, which would have resulted in widespread damage to the U.S. economy. The PPIP was developed to improve the condition of financial institutions by facilitating the removal of legacy assets from their balance sheets. The CDCI was designed to increase lending to small businesses in the country's hardest-hit communities by investing lower-cost capital in Community Development Financial Institutions. The purpose of the CPP was to stabilize the financial system by building the capital base of healthy, viable U.S. financial institutions, which in turn would increase the capacity of those institutions to lend to businesses and consumers and support the economy.

The Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203), enacted on July 21, 2010, reduced TARP authority to purchase troubled assets from \$700 billion to \$475 billion; required that repayments of amounts invested under TARP cannot be used to increase purchase authority and are dedicated to reducing the Federal debt; and prohibited new obligations for any program or initiative that had not been initiated by June 25, 2010.

The authority to make new financial commitments via the TARP expired on October 3, 2010 under the terms of EESA. However, Treasury can continue to execute commitments entered into before October 3, 2010. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

## TROUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 20–4278–0–3–376                          |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |  |             |         |           |
| Credit program obligations:                                  |  |             |         |           |
| 0713   | Payment of interest to Treasury .....                                      | 1,439       | 2,493   | 1,529     |
| 0739   | Disposition Fees .....   | 20          | 20      | .....     |
| 0742   | Downward reestimate paid to receipt account .....                          | 3,504       | 7,453   | .....     |
| 0743   | Interest on downward reestimates .....                                     | 833         | 1,999   | .....     |
| 0900   | Total new obligations .....  | 5,796       | 11,965  | 1,529     |
| <b>Budgetary Resources:</b>                                  |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 13,400      | 16,242  | .....     |
| 1021   | Recoveries of prior year unpaid obligations .....                          | .....       | 1,276   | .....     |
| 1023   | Unobligated balances applied to repay debt .....                           | –13,400     | –15,386 | .....     |
| 1050   | Unobligated balance (total) .....  | .....       | 2,132   | .....     |
| Financing authority:   |  |             |         |           |
| Borrowing authority, mandatory:                              |  |             |         |           |
| 1400   | Borrowing authority .....  | 2,502       | 3,694   | .....     |
| 1440   | Borrowing authority, mandatory (total) .....                               | 2,502       | 3,694   | .....     |
| Spending authority from offsetting collections, mandatory:   |  |             |         |           |
| 1800   | Collected .....  | 67,339      | 15,185  | 6,906     |
| 1801   | Change in uncollected payments, Federal sources .....                      | –56         | –306    | .....     |
| 1825   | Spending authority from offsetting collections applied to repay debt ..... | –47,747     | –8,740  | –5,377    |
| 1850   | Spending auth from offsetting collections, mand (total) .....              | 19,536      | 6,139   | 1,529     |
| 1900   | Financing authority (total) .....  | 22,038      | 9,833   | 1,529     |
| 1930   | Total budgetary resources available .....                                  | 22,038      | 11,965  | 1,529     |
| Memorandum (non-add) entries:                                |  |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                           | 16,242      | .....   | .....     |
| <b>Change in obligated balance:</b>                          |  |             |         |           |
| Unpaid obligations:  |  |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                           | 1,504       | 1,276   | .....     |
| 3010   | Obligations incurred, unexpired accounts .....                             | 5,796       | 11,965  | 1,529     |
| 3020   | Financing disbursements (gross) .....                                      | –6,024      | –11,965 | –1,529    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....               | .....       | –1,276  | .....     |
| 3050   | Unpaid obligations, end of year .....                                      | 1,276       | .....   | .....     |
| Uncollected payments:  |  |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –362        | –306    | .....     |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....                  | 56          | 306     | .....     |
| 3090   | Uncollected pymts, Fed sources, end of year .....                          | –306        | .....   | .....     |
| Memorandum (non-add) entries:                                |  |             |         |           |
| 3100   | Obligated balance, start of year .....                                     | 1,142       | 970     | .....     |
| 3200   | Obligated balance, end of year .....                                       | 970         | .....   | .....     |
| <b>Financing authority and disbursements, net:</b>           |  |             |         |           |
| Mandatory:   |  |             |         |           |
| 4090   | Financing authority, gross .....   | 22,038      | 9,833   | 1,529     |
| Financing disbursements:                                     |  |             |         |           |
| 4110   | Financing disbursements, gross .....                                       | 6,024       | 11,965  | 1,529     |
| Offsets against gross financing authority and disbursements: |  |             |         |           |
| Offsetting collections (collected) from:                     |  |             |         |           |
| 4120   | Federal sources .....  | –19,468     | –441    | .....     |
| 4122   | Interest on uninvested funds .....   | –380        | –615    | –435      |
| 4123   | Dividends .....  | –2,816      | –271    | –142      |
| 4123   | Warrants .....   | –481        | –1,529  | –1,073    |
| 4123   | Redemption .....   | –44,194     | –12,329 | –5,256    |
| 4130   | Offsets against gross financing auth and disbursements (total) .....       | –67,339     | –15,185 | –6,906    |
| Additional offsets against financing authority only (total): |  |             |         |           |
| 4140   | Change in uncollected pymts, Fed sources, unexpired .....                  | 56          | 306     | .....     |
| 4160   | Financing authority, net (mandatory) .....                                 | –45,245     | –5,046  | –5,377    |
| 4170   | Financing disbursements, net (mandatory) .....                             | –61,315     | –3,220  | –5,377    |
| 4180   | Financing authority, net (total) .....                                     | –45,245     | –5,046  | –5,377    |
| 4190   | Financing disbursements, net (total) .....                                 | –61,315     | –3,220  | –5,377    |

## Status of Direct Loans (in millions of dollars)

| Identification code 20–4278–0–3–376             |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |  |             |         |           |
| 1210  | Outstanding, start of year .....               | 88,214      | 33,786  | 18,444    |
| 1231  | Disbursements: Direct loan disbursements ..... | 245         |         |           |
| 1251  | Repayments: Repayments and prepayments .....   | -44,194     | -12,329 | -5,256    |

**TROUBLED ASSET RELIEF PROGRAM EQUITY PURCHASE FINANCING  
ACCOUNT—Continued**  
**Status of Direct Loans—Continued**

| Identification code 20–4278–0–3–376        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Write-offs for default:                    |             |         |           |
| 1263 Direct loans .....                    |             | –3,013  | –3,930    |
| 1264 Other adjustments, net (+ or –) ..... | –10,479     |         |           |
| 1290 Outstanding, end of year .....        | 33,786      | 18,444  | 9,258     |

As authorized by the Emergency Economic Stabilization Act of 2008 (P.L. 110–343) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from equity purchases obligated in 2008 and beyond (including modifications of equity purchases that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

**Balance Sheet** (in millions of dollars)

| Identification code 20–4278–0–3–376                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 14,542      | 17,212      |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 19,808      |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 43,416      | 33,786      |
| 1401 Direct loans receivable, gross .....                         | 44,798      |             |
| 1405 Allowance for subsidy cost (–) .....                         | –9,461      |             |
| 1405 Allowance for subsidy cost (–) .....                         | –20,726     | –20,221     |
| 1499 Net present value of assets related to direct loans .....    | 22,690      | 13,565      |
| 1999 Total assets .....   | 57,040      | 30,777      |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Debt .....   | 89,421      | 30,776      |
| 2105 Other .....  | 2,956       | 1           |
| 2999 Total liabilities .....                                      | 92,377      | 30,777      |

**TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS**

**Program and Financing** (in millions of dollars)

| Identification code 20–0136–0–1–604                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 43,122      | 40,035  | 19,988    |
| 3020 Outlays (gross) .....                                      | –3,074      | –13,146 | –7,770    |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –13         | –6,901  |           |
| 3050 Unpaid obligations, end of year .....                      | 40,035      | 19,988  | 12,218    |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 43,122      | 40,035  | 19,988    |
| 3200 Obligated balance, end of year .....                       | 40,035      | 19,988  | 12,218    |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                      | 3,074       | 13,146  | 7,770     |
| 4190 Outlays, net (total) .....                                 | 3,074       | 13,146  | 7,770     |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 20–0136–0–1–604                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 FHA Refi Letter of Credit .....                          | 234         | 5,229   |           |
| 215999 Total loan guarantee levels .....                        | 234         | 5,229   |           |

|   |      |      |  |
|---|------|------|--|
| Guaranteed loan subsidy (in percent):       |      |      |  |
| 232001 FHA Refi Letter of Credit .....      | 4.00 | 2.48 |  |
| 232999 Weighted average subsidy rate .....  | 4.00 | 2.48 |  |
| Guaranteed loan subsidy budget authority:   |      |      |  |
| 233001 FHA Refi Letter of Credit .....      | 9    | 129  |  |
| 233999 Total subsidy budget authority ..... | 9    | 129  |  |
| Guaranteed loan subsidy outlays:            |      |      |  |
| 234001 FHA Refi Letter of Credit .....      | 9    | 129  |  |
| 234999 Total subsidy outlays .....          | 9    | 129  |  |

The Making Home Affordable (MHA) Program was launched in March 2009 under the authority of sections 101 and 109 of the Emergency Economic Stabilization Act of 2008, as amended (EESA) (P.L. 110–343). The centerpiece of MHA is its first lien modification program, the Home Affordable Modification Program (HAMP), which offers affordable and sustainable mortgage modifications to responsible homeowners at risk of losing their homes to foreclosure. Other MHA programs provide temporary mortgage payment relief to unemployed borrowers; increase affordability by modifying second mortgages when a corresponding first mortgage is modified under HAMP; assist borrowers whose loans are highly overleveraged by encouraging servicers to reduce principal; and for borrowers who are unable to retain homeownership, provide a dignified transition to more affordable housing through a short sale or deed-in-lieu of foreclosure. To date, more than 1.9 million borrowers have been offered trial modifications under MHA, and more than 1.1 million homeowners have had their mortgage payments permanently reduced by over \$500 per month. Additionally, state Housing Finance Agencies in eighteen States and the District of Columbia that have been most heavily impacted by the housing crisis, have been allocated a total of \$7.6 billion under EESA to initiate locally-tailored foreclosure prevention programs, including mortgage payment assistance for unemployed borrowers and principal reduction of overleveraged loans. Funds under EESA also support a Federal Housing Administration (FHA) refinance program that allows overleveraged homeowners to refinance into a new FHA-insured loan if their existing mortgage holders agree to a short refinance and to write down principal. For 2014, no costs are ascribed to new FHA guarantees made under this program due to sufficient estimated fees charged by FHA to cover expected losses. For more details, please see the Financial Stabilization Efforts and Their Budgetary Effects chapter in the Analytical Perspectives volume.

**TROUBLED ASSET RELIEF PROGRAM, HOUSING PROGRAMS, LETTER OF CREDIT  
FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 20–4329–0–3–371                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0711 Default claim payments on principal .....                     |             | 1       | 6         |
| 0713 Payment of interest to Treasury .....                         |             |         | 1         |
| 0900 Total new obligations .....                                   |             | 1       | 7         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1           | 11      | 139       |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 10          | 129     |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 10          | 129     |           |
| 1930 Total budgetary resources available .....                     | 11          | 140     | 139       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 11          | 139     | 132       |

|  |  |     |      |
|--|--|-----|------|
| <b>Change in obligated balance:</b>                          |  |     |      |
| Unpaid obligations:  |  |     |      |
| 3010   | Obligations incurred, unexpired accounts .....                       | 1   | 7    |
| 3020   | Financing disbursements (gross) .....                                | -1  | -7   |
| <b>Financing authority and disbursements, net:</b>           |  |     |      |
| Mandatory:   |  |     |      |
| 4090   | Financing authority, gross .....                                     | 10  | 129  |
| Financing disbursements:                                     |  |     |      |
| 4110   | Financing disbursements, gross .....                                 | 1   | 7    |
| Offsets against gross financing authority and disbursements: |  |     |      |
| Offsetting collections (collected) from:                     |  |     |      |
| 4120   | Federal sources .....  | -9  | -129 |
| 4122   | Interest on uninvested funds .....                                   | -1  |      |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -10 | -129 |
| 4170   | Financing disbursements, net (mandatory) .....                       | -10 | -128 |
| 4190   | Financing disbursements, net (total) .....                           | -10 | -128 |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 20-4329-0-3-371                                    | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |   |         |           |
| 2131   | Guaranteed loan commitments exempt from limitation .....                  | 234     | 5,229     |
| 2150   | Total guaranteed loan commitments .....                                   | 234     | 5,229     |
| Cumulative balance of guaranteed loans outstanding:                    |   |         |           |
| 2210   | Outstanding, start of year .....  | 73      | 307       |
| 2231   | Disbursements of new guaranteed loans .....                               | 234     | 5,229     |
| 2263   | Adjustments: Terminations for default that result in claim payments ..... | -1      | -6        |
| 2290   | Outstanding, end of year .....  | 307     | 5,535     |
| Memorandum:  |   |         |           |
| 2299   | Guaranteed amount of guaranteed loans outstanding, end of year .....      | 41      |           |

**Balance Sheet** (in millions of dollars)

| Identification code 20-4329-0-3-371 | 2011 actual  | 2012 actual |
|-------------------------------------|--|-------------|
| <b>ASSETS:</b>                      |  |             |
| 1101                                | Federal assets: Fund balances with Treasury .....              | 1           |
| 1999                                | Total assets .....   | 1           |
| <b>LIABILITIES:</b>                 |  |             |
| 2204                                | Non-Federal liabilities: Liabilities for loan guarantees ..... | 1           |
| 4999                                | Total liabilities and net position .....                       | 1           |

SPECIAL INSPECTOR GENERAL FOR THE TROUBLED ASSET RELIEF PROGRAM  
SALARIES AND EXPENSES

*For necessary expenses of the Office of the Special Inspector General in carrying out the provisions of the Emergency Economic Stabilization Act of 2008 (Public Law 110-343), \$34,923,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 20-0133-0-1-376     | 2012 actual                                       | 2013 CR | 2014 est. |
|---|---|---------|-----------|
| <b>Obligations by program activity:</b> |   |         |           |
| 0001                                    | Direct program activity .....                     | 40      | 44        |
| <b>Budgetary Resources:</b>             |   |         |           |
| Unobligated balance:                    |   |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....  | 34      | 35        |
| 1021                                    | Recoveries of prior year unpaid obligations ..... | 1       |           |
| 1050                                    | Unobligated balance (total) .....                 | 35      | 35        |

|                                |  |    |    |
|--------------------------------|--|----|----|
| Budget authority:              |  |    |    |
| Appropriations, discretionary: |  |    |    |
| 1100                           | Appropriation .....                              | 42 | 42 |
| 1160                           | Appropriation, discretionary (total) .....       | 42 | 42 |
| 1900                           | Budget authority (total) .....                   | 42 | 42 |
| 1930                           | Total budgetary resources available .....        | 77 | 77 |
| Memorandum (non-add) entries:  |  |    |    |
| 1940                           | Unobligated balance expiring .....               | -2 |    |
| 1941                           | Unexpired unobligated balance, end of year ..... | 35 | 33 |

**Change in obligated balance:**

|                               |  |     |     |
|-------------------------------|--|-----|-----|
| Unpaid obligations:           |  |     |     |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 10  | 10  |
| 3010                          | Obligations incurred, unexpired accounts .....               | 40  | 44  |
| 3020                          | Outlays (gross) .....  | -39 | -45 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -1  |     |
| 3050                          | Unpaid obligations, end of year .....                        | 10  | 9   |
| Memorandum (non-add) entries: |  |     |     |
| 3100                          | Obligated balance, start of year .....                       | 10  | 10  |
| 3200                          | Obligated balance, end of year .....                         | 10  | 9   |

**Budget authority and outlays, net:**

|                 |  |    |    |
|-----------------|--|----|----|
| Discretionary:  |  |    |    |
| 4000            | Budget authority, gross .....                  | 42 | 42 |
| Outlays, gross: |  |    |    |
| 4010            | Outlays from new discretionary authority ..... | 33 | 34 |
| 4011            | Outlays from discretionary balances .....      | 5  | 7  |
| 4020            | Outlays, gross (total) .....                   | 38 | 41 |
| Mandatory:      |  |    |    |
| Outlays, gross: |  |    |    |
| 4101            | Outlays from mandatory balances .....          | 1  | 4  |
| 4180            | Budget authority, net (total) .....            | 42 | 42 |
| 4190            | Outlays, net (total) .....                     | 39 | 45 |

The Office of the Special Inspector General for the Troubled Asset Relief Program (SIGTARP) was created by the Emergency Economic Stabilization Act of 2008 (EESA). SIGTARP is the only agency solely charged with the mission of transparency, oversight, and enforcement related to the taxpayer's investments to stabilize financial markets through EESA. In order to fulfill its mission, SIGTARP investigates fraud, waste, and abuse related to the Troubled Asset Relief Program (TARP), thereby being a voice for, and protecting the interests of taxpayers.

In 2014, SIGTARP will continue to design and conduct programmatic audits of TARP operations, as well as recipients' compliance with their obligations under relevant law and contract. SIGTARP will also continue to conduct and supervise criminal and civil investigations into any parties suspected of TARP-related fraud, waste, or abuse.

SIGTARP received an initial appropriation of \$50 million in permanent, indefinite budget authority in EESA, in addition to \$15 million directed supplemental funding from the Helping Families Save Their Homes Act of 2009 (P.L. 111-22). Beginning in 2010, SIGTARP has received annual appropriations to fund its operations.

**Object Classification** (in millions of dollars)

| Identification code 20-0133-0-1-376 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| Personnel compensation:             |   |         |           |
| 11.1                                | Full-time permanent .....                           | 19      | 20        |
| 11.5                                | Other personnel compensation .....                  | 1       | 2         |
| 11.9                                | Total personnel compensation .....                  | 20      | 22        |
| 12.1                                | Civilian personnel benefits .....                   | 5       | 6         |
| 21.0                                | Travel and transportation of persons .....          | 1       | 1         |
| 25.1                                | Advisory and assistance services .....              | 3       | 4         |
| 25.2                                | Other services from non-Federal sources .....       | 1       | 1         |
| 25.3                                | Other goods and services from Federal sources ..... | 8       | 8         |
| 26.0                                | Supplies and materials .....                        | 1       | 1         |
| 31.0                                | Equipment .....                                     | 1       | 1         |
| 99.9                                | Total new obligations .....                         | 40      | 44        |

**SPECIAL INSPECTOR GENERAL FOR THE TROUBLED ASSET RELIEF  
PROGRAM—Continued  
Employment Summary**

| Identification code 20–0133–0–1–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 164         | 192     | 192       |

**SMALL BUSINESS LENDING FUND PROGRAM ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 20–0141–0–1–376                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| Credit program obligations:                               |             |         |           |
| 0705 Reestimates of direct loan subsidy .....             |             | 32      |           |
| 0706 Interest on reestimates of direct loan subsidy ..... |             | 1       |           |
| 0709 Administrative expenses .....                        | 22          | 25      | 20        |
| 0900 Total new obligations .....                          | 22          | 58      | 20        |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                |             |         |           |
| 1200 Appropriation .....                                  | 22          | 58      | 20        |
| 1260 Appropriations, mandatory (total) .....              | 22          | 58      | 20        |
| 1930 Total budgetary resources available .....            | 22          | 58      | 20        |
| <b>Change in obligated balance:</b>                       |             |         |           |
| Unpaid obligations:                                       |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....     | 18          | 17      | 1         |
| 3010 Obligations incurred, unexpired accounts .....       | 22          | 58      | 20        |
| 3020 Outlays (gross) .....                                | –23         | –74     | –20       |
| 3050 Unpaid obligations, end of year .....                | 17          | 1       | 1         |
| Memorandum (non-add) entries:                             |             |         |           |
| 3100 Obligated balance, start of year .....               | 18          | 17      | 1         |
| 3200 Obligated balance, end of year .....                 | 17          | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                        | 22          | 58      | 20        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....           | 14          | 58      | 20        |
| 4101 Outlays from mandatory balances .....                | 9           | 16      |           |
| 4110 Outlays, gross (total) .....                         | 23          | 74      | 20        |
| 4180 Budget authority, net (total) .....                  | 22          | 58      | 20        |
| 4190 Outlays, net (total) .....                           | 23          | 74      | 20        |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)**

| Identification code 20–0141–0–1–376                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan upward reestimates:                         |             |         |           |
| 135001 Small Business Lending Fund Investments .....    |             | 34      |           |
| 135999 Total upward reestimate budget authority .....   |             | 34      |           |
| Direct loan downward reestimates:                       |             |         |           |
| 137001 Small Business Lending Fund Investments .....    | –376        |         |           |
| 137999 Total downward reestimate budget authority ..... | –376        |         |           |
| Administrative expense data:                            |             |         |           |
| 3510 Budget authority .....                             | 26          | 25      | 20        |
| 3580 Outlays from balances .....                        | 9           | 14      |           |
| 3590 Outlays from new authority .....                   | 14          | 25      | 20        |

Enacted into law as part of the Small Business Jobs Act of 2010 (P.L. 111–240), the Small Business Lending Fund (SBLF) is a dedicated investment fund that encourages lending to small businesses by providing capital to qualified community banks and community development loan funds (CDLFs) with assets of less than \$10 billion. Through the SBLF, participating Main Street lenders and small businesses can work together to help create jobs and promote economic growth in local communities across the Nation.

In total, the SBLF provided \$4.03 billion to 332 community banks and CDLFs in 2011. Since these institutions leverage their capital, the SBLF could help increase lending to small businesses in an amount that is multiples of the total capital provided.

The account totals also include the costs of administering the program, estimated at \$20 million for 2014.

**Object Classification (in millions of dollars)**

| Identification code 20–0141–0–1–376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 3           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 1           |         |           |
| 25.2 Other services from non-Federal sources .....       | 12          | 18      | 13        |
| 25.3 Other goods and services from Federal sources ..... | 5           | 3       | 3         |
| 41.0 Grants, subsidies, and contributions .....          |             | 32      |           |
| 43.0 Interest and dividends .....                        |             | 1       |           |
| 99.9 Total new obligations .....                         | 22          | 58      | 20        |

**Employment Summary**

| Identification code 20–0141–0–1–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 27          | 28      | 25        |

**SMALL BUSINESS LENDING FUND FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 20–4349–0–3–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 86          | 86      | 76        |
| 0742 Downward reestimate paid to receipt account .....                          | 368         |         |           |
| 0743 Interest on downward reestimates .....                                     | 8           |         |           |
| 0900 Total new obligations .....  | 462         | 86      | 76        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           |             | 78      |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 376         |         |           |
| 1440 Borrowing authority, mandatory (total) .....                               | 376         |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 164         | 975     | 457       |
| 1825 Spending authority from offsetting collections applied to repay debt ..... |             | –967    | –381      |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 164         | 8       | 76        |
| 1900 Financing authority (total) .....  | 540         | 8       | 76        |
| 1930 Total budgetary resources available .....                                  | 540         | 86      | 76        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 78          |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                             | 462         | 86      | 76        |
| 3020 Financing disbursements (gross) .....                                      | –462        | –86     | –76       |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 540         | 8       | 76        |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 462         | 86      | 76        |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources - Upward Reestimates .....                                 |             | –34     |           |
| 4122 Interest on uninvested funds .....   | –2          | –11     | –1        |
| 4123 Non-Federal sources - Principal .....                                      | –48         | –842    | –387      |
| 4123 Non-Federal sources - Dividends .....                                      | –114        | –88     | –69       |
| 4130 Offsets against gross financing auth and disbursements (total) .....       | –164        | –975    | –457      |
| 4160 Financing authority, net (mandatory) .....                                 | 376         | –967    | –381      |

|      |  |     |      |      |
|------|--|-----|------|------|
| 4170 | Financing disbursements, net (mandatory) ..... | 298 | -889 | -381 |
| 4180 | Financing authority, net (total) .....         | 376 | -967 | -381 |
| 4190 | Financing disbursements, net (total) .....     | 298 | -889 | -381 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 20-4349-0-3-376               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 4,028       | 3,980   | 3,132     |
| 1251 Repayments: Repayments and prepayments ..... | -48         | -842    | -387      |
| 1263 Write-offs for default: Direct loans .....   |             | -6      | -13       |
| 1290 Outstanding, end of year .....               | 3,980       | 3,132   | 2,732     |

As authorized by the Small Business Jobs Act of 2010 (P.L. 111-240) and required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct capital obligated in 2011 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 20-4349-0-3-376                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            |             | 78          |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 4,028       | 3,980       |
| 1405 Allowance for subsidy cost (-) .....                         | 80          | 54          |
| 1499 Net present value of assets related to direct loans .....    | 4,108       | 4,034       |
| 1999 Total assets .....   | 4,108       | 4,112       |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Debt .....   | 3,737       | 4,112       |
| 2105 Other .....  | 371         |             |
| 2999 Total liabilities .....                                      | 4,108       | 4,112       |
| 4999 Total liabilities and net position .....                     | 4,108       | 4,112       |

**STATE SMALL BUSINESS CREDIT INITIATIVE****Program and Financing** (in millions of dollars)

| Identification code 20-0142-0-1-376                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Administrative Costs .....                                   | 5           | 7       | 8         |
| 0002 Direct program activity .....                                | 188         | 13      |           |
| 0900 Total new obligations .....                                  | 193         | 20      | 8         |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 236         | 43      | 26        |
| 1021 Recoveries of prior year unpaid obligations .....            |             | 3       |           |
| 1050 Unobligated balance (total) .....                            | 236         | 46      | 26        |
| 1930 Total budgetary resources available .....                    | 236         | 46      | 26        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 43          | 26      | 18        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 899         | 920     | 386       |
| 3010 Obligations incurred, unexpired accounts .....               | 193         | 20      | 8         |
| 3020 Outlays (gross) .....  | -172        | -551    | -380      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... |             | -3      |           |
| 3050 Unpaid obligations, end of year .....                        | 920         | 386     | 14        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 899         | 920     | 386       |
| 3200 Obligated balance, end of year .....                         | 920         | 386     | 14        |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Mandatory:                                 |     |     |     |
| Outlays, gross:                            |     |     |     |
| 4101 Outlays from mandatory balances ..... | 172 | 551 | 380 |

|                                 |     |     |     |
|---------------------------------|-----|-----|-----|
| 4190 Outlays, net (total) ..... | 172 | 551 | 380 |
|---------------------------------|-----|-----|-----|

The Small Business Jobs Act of 2010 (P.L. 111-240) created the State Small Business Credit Initiative (SSBCI), which was funded with \$1.5 billion, inclusive of administrative costs, to strengthen State programs that support lending to small businesses and small manufacturers. The SSBCI is expected to help spur up to \$15 billion in lending to small businesses. Under the SSBCI, participating States have access to Federal funds for programs that leverage private lending and investing to help finance small businesses and manufacturers that are credit-worthy, but are having difficulty securing the loans or investments they need to expand and create jobs. The SSBCI will allow States to build on successful models for State small business programs, including collateral support programs, capital access programs (CAPs), and loan guarantee programs. Existing and new state programs are eligible for support under the SSBCI.

In 2012, Treasury approved \$137 million for disbursement to approved applicants and cumulatively through September 30, 2012, SSBCI approved disbursements of \$553 million of the \$1.46 billion apportioned to States. SSBCI estimates disbursing cumulative totals of approximately \$1.1 billion by the end of fiscal year 2013 and the remaining \$360 million by the end of fiscal year 2014. In addition, in order to maximize participation in and the effectiveness of the program, SSBCI expects to spend approximately \$2 million in 2013 and 2014 on dedicated technical assistance to States as they implement these programs and deploy funds to eligible small business.

**Object Classification** (in millions of dollars)

| Identification code 20-0142-0-1-376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 2           | 2       | 3         |
| 25.1 Advisory and assistance services .....              | 1           | 3       | 3         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....          | 188         | 13      |           |
| 99.9 Total new obligations .....                         | 193         | 20      | 8         |

**Employment Summary**

| Identification code 20-0142-0-1-376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 9           | 12      | 12        |

**GSE PREFERRED STOCK PURCHASE AGREEMENTS****Program and Financing** (in millions of dollars)

| Identification code 20-0125-0-1-371                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 18,519      |         |           |
| 0900 Total new obligations (object class 33.0) .....  | 18,519      |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 231,034     | 212,515 | 265,881   |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             | 53,366  |           |
| 1260 Appropriations, mandatory (total) .....          |             | 53,366  |           |
| 1930 Total budgetary resources available .....        | 231,034     | 265,881 | 265,881   |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 212,515     | 265,881 | 265,881   |

**Change in obligated balance:**

|   |        |  |  |
|---|--------|--|--|
| Unpaid obligations:                                 |        |  |  |
| 3010 Obligations incurred, unexpired accounts ..... | 18,519 |  |  |

GSE PREFERRED STOCK PURCHASE AGREEMENTS—Continued  
Program and Financing—Continued

| Identification code 20–0125–0–1–371        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 3020 Outlays (gross) .....                 | –18,519     | .....   | .....     |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:                                 |             |         |           |
| 4090 Budget authority, gross .....         | .....       | 53,366  | .....     |
| Outlays, gross:                            |             |         |           |
| 4101 Outlays from mandatory balances ..... | 18,519      | .....   | .....     |
| 4180 Budget authority, net (total) .....   | .....       | 53,366  | .....     |
| 4190 Outlays, net (total) .....            | 18,519      | .....   | .....     |

In 2008, under temporary authority granted by Section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110–289), Treasury entered into agreements with Fannie Mae and Freddie Mac (the "GSEs") to purchase senior preferred stock of each GSE and to transfer up to \$100 billion in funds when needed to ensure that each company maintains a positive net worth. In May 2009, Treasury increased the Preferred Stock Purchase Agreement (PSPA) funding commitment caps to \$200 billion for each GSE, and in December 2009 Treasury modified the funding commitment caps in the PSPAs to be the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. Treasury's authority to purchase obligations or other securities of the GSEs or to increase the funding commitment expired on December 31, 2009. As of December 31, 2012, Treasury had made payments of \$187.5 billion under the PSPAs and received \$55.2 billion in scheduled dividend payments.

## GSE MORTGAGE-BACKED SECURITIES PURCHASE PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 20–0126–0–1–371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0010 Financial Agent Services .....                             | 21          | 11      | 10        |
| Credit program obligations:                                     |             |         |           |
| 0705 Reestimates of direct loan subsidy .....                   | 105         | 432     | .....     |
| 0706 Interest on reestimates of direct loan subsidy .....       | 32          | 105     | .....     |
| 0791 Direct program activities, subtotal .....                  | 137         | 537     | .....     |
| 0900 Total new obligations .....                                | 158         | 548     | 10        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 143         | 537     | .....     |
| 1221 Appropriations transferred from other accts [20–1802] .... | 15          | 11      | 10        |
| 1260 Appropriations, mandatory (total) .....                    | 158         | 548     | 10        |
| 1930 Total budgetary resources available .....                  | 158         | 548     | 10        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 4           | 10      | 12        |
| 3010 Obligations incurred, unexpired accounts .....             | 158         | 548     | 10        |
| 3020 Outlays (gross) .....                                      | –152        | –546    | –10       |
| 3050 Unpaid obligations, end of year .....                      | 10          | 12      | 12        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 4           | 10      | 12        |
| 3200 Obligated balance, end of year .....                       | 10          | 12      | 12        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 158         | 548     | 10        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 148         | 537     | 10        |
| 4101 Outlays from mandatory balances .....                      | 4           | 9       | .....     |
| 4110 Outlays, gross (total) .....                               | 152         | 546     | 10        |
| 4180 Budget authority, net (total) .....                        | 158         | 548     | 10        |

|                                 |     |     |    |
|---------------------------------|-----|-----|----|
| 4190 Outlays, net (total) ..... | 152 | 546 | 10 |
|---------------------------------|-----|-----|----|

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 20–0126–0–1–371                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan subsidy outlays:                            |             |         |           |
| 134002 New Issue Bond Program SF .....                  | –172        | .....   | .....     |
| 134003 New Issue Bond Program MF .....                  | –14         | .....   | .....     |
| 134999 Total subsidy outlays .....                      | –186        | .....   | .....     |
| Direct loan upward reestimates:                         |             |         |           |
| 135001 GSE MBS Purchases .....                          | .....       | 55      | .....     |
| 135002 New Issue Bond Program SF .....                  | 24          | 461     | .....     |
| 135003 New Issue Bond Program MF .....                  | 113         | 21      | .....     |
| 135999 Total upward reestimate budget authority .....   | 137         | 537     | .....     |
| Direct loan downward reestimates:                       |             |         |           |
| 137001 GSE MBS Purchases .....                          | –7,457      | –760    | .....     |
| 137002 New Issue Bond Program SF .....                  | –141        | .....   | .....     |
| 137999 Total downward reestimate budget authority ..... | –7,598      | –760    | .....     |

In September 2008, Treasury initiated a temporary program to purchase mortgage-backed securities (MBS) issued by Fannie Mae and Freddie Mac, which carry the GSEs' standard guarantee against default. The purpose of the program was to promote liquidity in the mortgage market and, thereby, affordable homeownership by stabilizing the interest rate spreads between mortgage rates and Treasury issuances. Treasury purchased \$226 billion in MBS through December 31, 2009. In March of 2011, Treasury announced that it would begin selling off up to \$10 billion of its MBS holdings per month, subject to market conditions. Treasury completed the orderly disposition of its MBS portfolio on March 19, 2012.

In December 2009, Treasury initiated two additional purchase programs to support State and local Housing Financing Agencies (HFAs). The Temporary Credit and Liquidity Program (TCLP) provides HFAs with credit and liquidity facilities supporting up to \$8.2 billion in existing HFA bonds, temporally replacing private market facilities that are expiring or imposing unusually high costs to the HFAs due to current market conditions. Under the New Issuance Bond Program (NIBP) Treasury purchased \$15.3 billion in securities of Fannie Mae and Freddie Mac to be backed by new HFA housing bonds, supporting up to several hundred thousand new affordable mortgages and tens of thousands of new affordable rental housing units for working families. In November 2011, Treasury announced a one-year extension, to December 31, 2012, of the contractual deadline for HFAs to use existing NIBP funds. The authority for all of the programs displayed in this account was provided in Section 1117 of the Housing and Economic Recovery Act of 2008 (P.L. 110–289) and expired on December 31, 2009. As required by the Federal Credit Reform Act of 1990, this account records the subsidy costs associated with the GSE MBS and State HFA purchase programs, which are treated as direct loans for budget execution. The subsidy amounts are estimated on a present value basis.

## Object Classification (in millions of dollars)

| Identification code 20–0126–0–1–371             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 25.1 Advisory and assistance services .....     | 21          | 11      | 10        |
| 41.0 Grants, subsidies, and contributions ..... | 137         | 537     | .....     |
| 99.9 Total new obligations .....                | 158         | 548     | 10        |



GSE MORTGAGE-BACKED SECURITIES PURCHASE DIRECT LOAN FINANCING  
ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 20-4272-0-3-371   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 1,055       |         |           |
| 0742 Downward reestimate paid to receipt account .....                          | 7,039       | 752     |           |
| 0743 Interest on downward reestimates .....                                     | 418         | 8       |           |
| 0900 Total new obligations .....  | 8,512       | 760     |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 7,397       | 705     |           |
| 1023 Unobligated balances applied to repay debt .....                           | -7,397      |         |           |
| 1050 Unobligated balance (total) .....  |             | 705     |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 7,457       |         |           |
| 1440 Borrowing authority, mandatory (total) .....                               | 7,457       |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 73,710      | 55      |           |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -71,950     |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 1,760       | 55      |           |
| 1900 Financing authority (total) .....  | 9,217       | 55      |           |
| 1930 Total budgetary resources available .....                                  | 9,217       | 760     |           |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 705         |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           |             |         | 760       |
| 3010 Obligations incurred, unexpired accounts .....                             | 8,512       | 760     |           |
| 3020 Financing disbursements (gross) .....                                      | -8,512      |         |           |
| 3050 Unpaid obligations, end of year .....                                      |             | 760     | 760       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     |             |         | 760       |
| 3200 Obligated balance, end of year .....                                       |             | 760     | 760       |
| <b>Financing authority and disbursements, net:</b>                              |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Financing authority, gross .....   | 9,217       | 55      |           |
| Financing disbursements:  |             |         |           |
| 4110 Financing disbursements, gross .....                                       | 8,512       |         |           |
| Offsets against gross financing authority and disbursements:                    |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources .....  |             | -55     |           |
| 4122 Interest on uninvested funds .....   | -524        |         |           |
| 4123 Non-Federal sources- Interest .....  | -2,601      |         |           |
| 4123 Non-Federal sources - Principal .....                                      | -70,585     |         |           |
| 4130 Offsets against gross financing auth and disbursements (total) .....       | -73,710     | -55     |           |
| 4160 Financing authority, net (mandatory) .....                                 | -64,493     |         |           |
| 4170 Financing disbursements, net (mandatory) .....                             | -65,198     | -55     |           |
| 4180 Financing authority, net (total) .....                                     | -64,493     |         |           |
| 4190 Financing disbursements, net (total) .....                                 | -65,198     | -55     |           |

## Status of Direct Loans (in millions of dollars)

| Identification code 20-4272-0-3-371               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 70,586      |         |           |
| 1251 Repayments: Repayments and prepayments ..... | -70,586     |         |           |
| 1290 Outstanding, end of year .....               |             |         |           |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from GSE MBS Purchase Program purchases. The amounts in the account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 20-4272-0-3-371                                | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....             | 7,397       | 705         |
| Net value of assets related to post-1991 direct loans receivable:  |             |             |
| 1401 Direct loans receivable, gross .....                          | 70,586      |             |
| 1405 Allowance for subsidy cost (-) .....                          | 1,831       |             |
| 1499 Net present value of assets related to direct loans .....     | 72,417      |             |
| 1999 Total assets .....  | 79,814      | 705         |
| <b>LIABILITIES:</b>  |             |             |
| Federal liabilities:   |             |             |
| 2103 Debt .....  | 71,890      |             |
| 2105 Other Liabilities without Related Budgetary Obligations ..... | 7,924       | 705         |
| 2999 Total liabilities .....                                       | 79,814      | 705         |
| 4999 Total liabilities and net position .....                      | 79,814      | 705         |

## STATE HFA DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 20-4298-0-3-371   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 564         | 477     | 419       |
| 0741 Modification savings .....   | 373         |         |           |
| 0742 Downward reestimate paid to receipt account .....                          | 141         |         |           |
| 0900 Total new obligations .....  | 1,078       | 477     | 419       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 31          | 377     | 425       |
| 1021 Recoveries of prior year unpaid obligations .....                          | 2,884       |         |           |
| 1023 Unobligated balances applied to repay debt .....                           | -150        |         |           |
| 1024 Unobligated balance of borrowing authority withdrawn .....                 | -2,688      |         |           |
| 1050 Unobligated balance (total) .....  | 77          | 377     | 425       |
| Financing authority:  |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 113         | 16      |           |
| 1236 Appropriations applied to repay debt .....                                 | -113        | -16     |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 514         | 47      |           |
| 1440 Borrowing authority, mandatory (total) .....                               | 514         | 47      |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 1,972       | 2,567   | 1,216     |
| 1801 Change in uncollected payments, Federal sources .....                      | 6           |         |           |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -1,114      | -2,089  | -797      |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 864         | 478     | 419       |
| 1900 Financing authority (total) .....  | 1,378       | 525     | 419       |
| 1930 Total budgetary resources available .....                                  | 1,455       | 902     | 844       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 377         | 425     | 425       |

## Change in obligated balance:

|   |        |       |       |
|---|--------|-------|-------|
| Unpaid obligations:   |        |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 7,118  | 4,421 | 4,421 |
| 3010 Obligations incurred, unexpired accounts .....               | 1,078  | 477   | 419   |
| 3020 Financing disbursements (gross) .....                        | -891   | -477  | -419  |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2,884 |       |       |
| 3050 Unpaid obligations, end of year .....                        | 4,421  | 4,421 | 4,421 |
| Uncollected payments:   |        |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |        | -6    | -6    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -6     |       |       |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -6     | -6    | -6    |
| Memorandum (non-add) entries:                                     |        |       |       |
| 3100 Obligated balance, start of year .....                       | 7,118  | 4,415 | 4,415 |
| 3200 Obligated balance, end of year .....                         | 4,415  | 4,415 | 4,415 |

## Financing authority and disbursements, net:

|   |       |     |     |
|---|-------|-----|-----|
| Mandatory:                                |       |     |     |
| 4090 Financing authority, gross .....     | 1,378 | 525 | 419 |
| Financing disbursements:                  |       |     |     |
| 4110 Financing disbursements, gross ..... | 891   | 477 | 419 |

STATE HFA DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 20–4298–0–3–371                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsets against gross financing authority and disbursements:              |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4120 Federal sources .....  | –137        | –482    | .....     |
| 4122 Interest on uninvested funds .....                                   | –45         | –35     | –17       |
| 4123 Non-Federal sources - Interest .....                                 | –1,790      | –359    | –348      |
| 4123 Non-Federal sources - Principal .....                                | .....       | –1,664  | –833      |
| 4123 Non-Federal sources - Other .....                                    | .....       | –27     | –18       |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –1,972      | –2,567  | –1,216    |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | –6          | .....   | .....     |
| 4160 Financing authority, net (mandatory) .....                           | –600        | –2,042  | –797      |
| 4170 Financing disbursements, net (mandatory) .....                       | –1,081      | –2,090  | –797      |
| 4180 Financing authority, net (total) .....                               | –600        | –2,042  | –797      |
| 4190 Financing disbursements, net (total) .....                           | –1,081      | –2,090  | –797      |

## Status of Direct Loans (in millions of dollars)

| Identification code 20–4298–0–3–371                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:     |             |         |           |
| 1210 Outstanding, start of year .....               | 15,143      | 13,683  | 12,019    |
| 1231 Disbursements: Direct loan disbursements ..... | .....       | .....   | .....     |
| 1251 Repayments: Repayments and prepayments .....   | –1,460      | –1,664  | –833      |
| 1290 Outstanding, end of year .....                 | 13,683      | 12,019  | 11,186    |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from the Treasury state HFA programs. The amounts in the account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 20–4298–0–3–371                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 515         | 658         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 15,143      | 13,683      |
| 1405 Allowance for subsidy cost (-) .....                         | –670        | –539        |
| 1499 Net present value of assets related to direct loans .....    | 14,473      | 13,144      |
| 1999 Total assets .....   | 14,988      | 13,802      |
| LIABILITIES:  |             |             |
| 2103 Federal liabilities: Debt .....                              | 14,988      | 13,802      |
| 4999 Total liabilities and net position .....                     | 14,988      | 13,802      |

## Trust Funds

## CAPITAL MAGNET FUND, COMMUNITY DEVELOPMENT FINANCIAL INSTITUTIONS

## Program and Financing (in millions of dollars)

| Identification code 20–8524–0–7–451                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 5           | .....   | .....     |
| 3020 Outlays (gross) .....                            | –5          | .....   | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 5           | .....   | .....     |
| Budget authority and outlays, net:                    |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 5           | .....   | .....     |
| 4190 Outlays, net (total) .....                       | 5           | .....   | .....     |

The Housing and Economic Recovery Act (HERA) of 2008 (P.L. 110–289) established the Capital Magnet Fund (CMF) to assist

Community Development Financial Institutions (CDFIs) and other non-profits to expand financing for the development, rehabilitation and purchase of affordable housing and economic development projects in distressed communities. As authorized in HERA, CMF was to receive funding via a set-aside from Government Sponsored Enterprises; however, such contributions have been suspended indefinitely. The amounts in this account were transferred from the CDFI Fund program account.

All CMF funds were disbursed in FY 2012, and the program has not received additional appropriations or deposits since its inception in FY 2010. In FY 2013, the CDFI Fund will baseline awardee performance reporting. Pursuant to the program's assistance agreements, awardees are required in the first five years to report on leveraging and use of CMF dollars, and once the funds are fully deployed, are required to report annually the number of affordable housing units developed, the number and percentage of low-income renters or owners, and the number and percentage of very low-income renters or owners.

## GIFTS AND BEQUESTS

## Program and Financing (in millions of dollars)

| Identification code 20–8790–0–7–803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....                   | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1           | 1       | .....     |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 1           | .....   | .....     |

This account was established pursuant to 31 U.S.C. 321 to receive gifts and bequests to the Department. These funds support the restoration of the Treasury building and historical collection of art, furniture, and artifacts owned by the Department. Recent Treasury building gifts have funded the restoration of the trompe l'oeil wall decoration, the Cash Room ceiling, the monumental West Dome, and the West Lobby finishes and chandelier. The fund is also used as an endowment for Treasury's restored rooms.

## FINANCIAL CRIMES ENFORCEMENT NETWORK

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses of the Financial Crimes Enforcement Network, including hire of passenger motor vehicles; travel and training expenses of non-Federal and foreign government personnel to attend meetings and training concerned with domestic and foreign financial intelligence activities, law enforcement, and financial regulation; not to exceed \$14,000 for official reception and representation expenses; and for assistance to Federal law enforcement agencies, with or without reimbursement, \$103,909,000, of which not to exceed \$34,335,000 shall remain available until September 30, 2016: Provided, That funds appropriated in this account may be used to procure personal services contracts.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 20-0173-0-1-751   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                     |             |         |           |
| 0001 BSA administration and Analysis .....                                  | 109         | 111     | 104       |
| 0002 Regulatory support programs, including money services businesses ..... | 1           |         |           |
| 0799 Total direct obligations .....   | 110         | 111     | 104       |
| 0801 Reimbursable program .....   | 8           | 3       | 3         |
| 0900 Total new obligations .....  | 118         | 114     | 107       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                       | 31          | 31      | 31        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 111         | 111     | 104       |
| 1160 Appropriation, discretionary (total) .....                             | 111         | 111     | 104       |
| Spending authority from offsetting collections, discretionary:              |             |         |           |
| 1700 Collected .....  | 2           | 3       | 3         |
| 1701 Change in uncollected payments, Federal sources .....                  | 6           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....          | 8           | 3       | 3         |
| 1900 Budget authority (total) .....   | 119         | 114     | 107       |
| 1930 Total budgetary resources available .....                              | 150         | 145     | 138       |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....                                     | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                       | 31          | 31      | 31        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                       | 27          | 35      | 25        |
| 3010 Obligations incurred, unexpired accounts .....                         | 118         | 114     | 107       |
| 3020 Outlays (gross) .....  | -109        | -124    | -109      |
| 3041 Recoveries of prior year unpaid obligations, expired .....             | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                                  | 35          | 25      | 23        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....           | -9          | -7      | -7        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....              | -6          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....                | 8           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                      | -7          | -7      | -7        |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                 | 18          | 28      | 18        |
| 3200 Obligated balance, end of year .....                                   | 28          | 18      | 16        |
| <b>Budget authority and outlays, net:</b>                                   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 119         | 114     | 107       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                         | 73          | 87      | 81        |
| 4011 Outlays from discretionary balances .....                              | 36          | 37      | 28        |
| 4020 Outlays, gross (total) .....   | 109         | 124     | 109       |
| Offsets against gross budget authority and outlays:                         |             |         |           |
| Offsetting collections (collected) from:                                    |             |         |           |
| 4030 Federal sources .....  | -10         | -3      | -3        |
| Additional offsets against gross budget authority only:                     |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....              | -6          |         |           |
| 4052 Offsetting collections credited to expired accounts .....              | 8           |         |           |
| 4060 Additional offsets against budget authority only (total) .....         | 2           |         |           |
| 4070 Budget authority, net (discretionary) .....                            | 111         | 111     | 104       |
| 4080 Outlays, net (discretionary) .....                                     | 99          | 121     | 106       |
| 4180 Budget authority, net (total) .....                                    | 111         | 111     | 104       |
| 4190 Outlays, net (total) .....   | 99          | 121     | 106       |

The mission of FinCEN is to safeguard the financial system from illicit activity, combat money laundering, and promote national security through the collection, analysis, and dissemination of financial intelligence and strategic use of financial authorities. FinCEN carries out its mission by exercising regulatory functions under the Bank Secrecy Act; targeting examination and enforcement efforts in high risk areas; receiving and maintaining financial transaction data; analyzing and disseminating the data for law enforcement purposes; and serving as the financial intelligence unit of the United States, which involves building global

cooperation with counterpart organizations in foreign countries and international groups.

**Object Classification** (in millions of dollars)

| Identification code 20-0173-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 34          | 40      | 40        |
| 12.1 Civilian personnel benefits .....                          | 10          | 11      | 11        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 5           | 6       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 2         |
| 25.1 Advisory and assistance services .....                     | 2           | 2       | 1         |
| 25.2 Other services from non-Federal sources .....              | 8           | 13      | 9         |
| 25.3 Other goods and services from Federal sources .....        | 12          | 7       | 7         |
| 25.4 Operation and maintenance of facilities .....              |             | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 12          | 19      | 18        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 24          | 9       | 6         |
| 99.0 Direct obligations .....                                   | 110         | 111     | 104       |
| 99.0 Reimbursable obligations .....                             | 8           | 3       | 3         |
| 99.9 Total new obligations .....                                | 118         | 114     | 107       |

**Employment Summary**

| Identification code 20-0173-0-1-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 299         | 345     | 340       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 2           | 1       | 1         |

## FISCAL SERVICE

### Federal Funds

**SALARIES AND EXPENSES, FISCAL SERVICE**

*For necessary expenses of operations of the Bureau of the Fiscal Service, \$360,165,000; of which not to exceed \$4,210,000, to remain available until September 30, 2016, is for information systems modernization initiatives; and of which \$8,740,000 shall remain available until September 30, 2016 for expenses related to the consolidation of Financial Management Service and the Bureau of the Public Debt; and of which \$5,000 shall be available for official reception and representation expenses.*

*In addition, \$165,000, to be derived from the Oil Spill Liability Trust Fund to reimburse administrative and personnel expenses for financial management of the Fund, as authorized by section 1012 of Public Law 101-380.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 20-0520-0-1-800              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                | 3           | 3       | 26        |
| Receipts:  |             |         |           |
| 0220 Debt Collection .....                       | 97          | 97      | 97        |
| 0400 Total: Balances and collections .....       | 100         | 100     | 123       |
| Appropriations:                                  |             |         |           |
| 0500 Salaries and Expenses, Fiscal Service ..... | -97         | -74     | -89       |
| 0799 Balance, end of year .....                  | 3           | 26      | 34        |

**Program and Financing** (in millions of dollars)

| Identification code 20-0520-0-1-800                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Collections .....                              | 23          | 21      | 22        |
| 0002 Debt Collection .....                          | 87          | 74      | 89        |
| 0003 DoNOT Pay Business Center .....                | 5           | 10      | 5         |
| 0004 Government Agency Investment Services .....    | 16          | 16      | 14        |
| 0005 Government-wide Accounting and Reporting ..... | 72          | 65      | 65        |
| 0006 Payments .....                                 | 121         | 133     | 126       |

SALARIES AND EXPENSES, FISCAL SERVICE—Continued  
Program and Financing—Continued

| Identification code 20–0520–0–1–800   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0007 Retail Securities Services .....   | 108         | 117     | 101       |
| 0008 Summary Debt Accounting .....  | 19          | 9       | 5         |
| 0009 Wholesale Securities Services .....  | 18          | 24      | 22        |
| 0799 Total direct obligations .....   | 469         | 469     | 449       |
| 0801 Reimbursable program activity .....  | 172         | 174     | 150       |
| 0900 Total new obligations .....  | 641         | 643     | 599       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 99          | 114     | 117       |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 4           | 3       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....                          | 2           | 2       | 2         |
| 1050 Unobligated balance (total) .....  | 105         | 119     | 122       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 389         | 393     | 360       |
| 1120 Appropriations transferred to other accts [20–0520] .....                  | –15         | –5      | –14       |
| 1121 Appropriations transferred from other accts [20–0520] .....                | 15          | 5       | 14        |
| 1160 Appropriation, discretionary (total) .....                                 | 389         | 393     | 360       |
| Appropriations, mandatory:  |             |         |           |
| 1201 Special Fund 20–5445 .....   | 97          | 74      | 89        |
| 1260 Appropriations, mandatory (total) .....                                    | 97          | 74      | 89        |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 150         | 173     | 150       |
| 1700 Offsetting collections (user fees) .....                                   | 2           | 1       |           |
| 1701 Change in uncollected payments, Federal sources .....                      | 20          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 172         | 174     | 150       |
| 1900 Budget authority (total) .....   | 658         | 641     | 599       |
| 1930 Total budgetary resources available .....                                  | 763         | 760     | 721       |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –8          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 114         | 117     | 122       |
| Special and non-revolving trust funds:  |             |         |           |
| 1951 Unobligated balance expiring .....   | 2           | 2       | 2         |
| 1952 Expired unobligated balance, start of year .....                           | 4           | 4       | 4         |
| 1953 Expired unobligated balance, end of year .....                             | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 126         | 108     | 54        |
| 3010 Obligations incurred, unexpired accounts .....                             | 641         | 643     | 599       |
| 3011 Obligations incurred, expired accounts .....                               | 5           |         |           |
| 3020 Outlays (gross) .....  | –648        | –695    | –589      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | –2          | –2      | –2        |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –14         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 108         | 54      | 62        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | –24         | –26     | –26       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | –20         |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....                    | 18          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | –26         | –26     | –26       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 102         | 82      | 28        |
| 3200 Obligated balance, end of year .....                                       | 82          | 28      | 36        |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 561         | 567     | 510       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 489         | 488     | 439       |
| 4011 Outlays from discretionary balances .....                                  | 71          | 52      | 61        |
| 4020 Outlays, gross (total) .....   | 560         | 540     | 500       |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Baseline Program [Text] .....  | –165        | –173    | –150      |
| 4033 Baseline Program [Text] .....  | –3          | –1      |           |
| 4040 Offsets against gross budget authority and outlays (total) .....           | –168        | –174    | –150      |
| Additional offsets against gross budget authority only:                         |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                  | –20         |         |           |
| 4052 Offsetting collections credited to expired accounts .....                  | 16          |         |           |
| 4060 Additional offsets against budget authority only (total) .....             | –4          |         |           |

|  |     |     |     |
|--|-----|-----|-----|
| 4070 Budget authority, net (discretionary) ..... | 389 | 393 | 360 |
| 4080 Outlays, net (discretionary) .....          | 392 | 366 | 350 |
| Mandatory:                                       |     |     |     |
| 4090 Budget authority, gross .....               | 97  | 74  | 89  |
| Outlays, gross:                                  |     |     |     |
| 4100 Outlays from new mandatory authority .....  |     | 62  | 78  |
| 4101 Outlays from mandatory balances .....       | 88  | 93  | 11  |
| 4110 Outlays, gross (total) .....                | 88  | 155 | 89  |
| 4180 Budget authority, net (total) .....         | 486 | 467 | 449 |
| 4190 Outlays, net (total) .....                  | 480 | 521 | 439 |

On October 7, 2012, the administrative operations provided under the Bureau of the Public Debt and the Financial Management Service were consolidated into the Bureau of the Fiscal Service. This consolidation eliminates duplicative functions and improves the Department's ability to provide financial management leadership across the Federal Government while maintaining existing core federal financial management operations. This includes providing the disbursement of federal government payments and receipts; collecting delinquent debt; providing government-wide accounting and reporting services; borrowing the money needed to operate the federal government; accounting for the debt; and providing accounting and other reimbursable services to government agencies.

The Budget provides resources to support the core operational activities of the Bureau of the Fiscal Service, with a focus on increasing the number of electronic transactions with the public; reducing improper payments; improving the effectiveness of debt collection activities; and developing new solutions for streamlining government-wide accounting.

## Object Classification (in millions of dollars)

| Identification code 20–0520–0–1–800                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 151         | 201     | 194       |
| 11.1 Full-time permanent .....                                  | 34          |         |           |
| 11.3 Other than full-time permanent .....                       | 3           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 2           | 7       | 7         |
| 11.8 Special personal services payments .....                   | 4           | 32      | 37        |
| 11.9 Total personnel compensation .....                         | 194         | 242     | 240       |
| 12.1 Civilian personnel benefits .....                          | 45          | 55      | 50        |
| 12.1 Civilian personnel benefits .....                          | 9           |         |           |
| 13.0 Benefits for former personnel .....                        | 2           |         | 1         |
| 21.0 Travel and transportation of persons .....                 | 2           | 4       | 4         |
| 21.0 Travel and transportation of persons .....                 | 1           |         |           |
| 23.1 Rental payments to GSA .....                               | 21          | 26      | 26        |
| 23.1 Rental payments to GSA .....                               | 1           |         |           |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 10          | 13      | 13        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           |         |           |
| 24.0 Printing and reproduction .....                            |             | 1       |           |
| 25.1 Advisory and assistance services .....                     | 4           | 10      | 15        |
| 25.1 Advisory and assistance services .....                     | 7           |         |           |
| 25.2 Other services from non-Federal sources .....              | 39          | 25      | 26        |
| 25.2 Other services from non-Federal sources .....              | 20          |         |           |
| 25.3 Other goods and services from Federal sources .....        | 85          | 60      | 43        |
| 25.3 Other goods and services from Federal sources .....        | 4           |         |           |
| 25.4 Operation and maintenance of facilities .....              | 2           | 2       | 1         |
| 25.7 Operation and maintenance of equipment .....               | 3           | 13      | 9         |
| 25.7 Operation and maintenance of equipment .....               | 1           |         |           |
| 26.0 Supplies and materials .....                               | 3           | 4       | 4         |
| 26.0 Supplies and materials .....                               | 1           |         |           |
| 31.0 Equipment .....  | 3           | 11      | 12        |
| 31.0 Equipment .....  | 3           |         |           |
| 32.0 Land and structures .....                                  | 2           | 2       | 4         |
| 32.0 Land and structures .....                                  | 1           |         |           |
| 99.0 Direct obligations .....                                   | 469         | 469     | 449       |
| 99.0 Reimbursable obligations .....                             | 172         | 174     | 150       |
| 99.9 Total new obligations .....                                | 641         | 643     | 599       |

## Employment Summary

| Identification code 20–0520–0–1–800                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 2,171       | 2,310   | 2,118     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 201         | 263     | 254       |

## PAYMENT TO THE YANKTON SIOUX TRIBE DEVELOPMENT TRUST FUND

## Program and Financing (in millions of dollars)

| Identification code 20–1888–0–1–452                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 32        |
| 0900 Total new obligations (object class 94.0) ..... |             |         | 32        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 32        |
| 1260 Appropriations, mandatory (total) .....         |             |         | 32        |
| 1930 Total budgetary resources available .....       |             |         | 32        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 32        |
| 3020 Outlays (gross) .....                           |             |         | –32       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 32        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 32        |
| 4180 Budget authority, net (total) .....             |             |         | 32        |
| 4190 Outlays, net (total) .....                      |             |         | 32        |

The Yankton Sioux Tribe Development Trust Fund was established by P.L. 107–331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation requires principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the fund on October 1, 2013.

## PAYMENT TO THE SANTEE SIOUX TRIBE DEVELOPMENT TRUST FUND

## Program and Financing (in millions of dollars)

| Identification code 20–1887–0–1–452                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 7         |
| 0900 Total new obligations (object class 94.0) ..... |             |         | 7         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 7         |
| 1260 Appropriations, mandatory (total) .....         |             |         | 7         |
| 1930 Total budgetary resources available .....       |             |         | 7         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 7         |
| 3020 Outlays (gross) .....                           |             |         | –7        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 7         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 7         |
| 4180 Budget authority, net (total) .....             |             |         | 7         |
| 4190 Outlays, net (total) .....                      |             |         | 7         |

The Santee Sioux Tribe Development Trust Fund was established by P.L. 107–331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation requires principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the fund on October 1, 2013.

## REIMBURSEMENTS TO FEDERAL RESERVE BANKS

## Program and Financing (in millions of dollars)

| Identification code 20–0562–0–1–803                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 117         | 113     | 110       |
| 0900 Total new obligations (object class 25.3) .....  | 117         | 113     | 110       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 117         | 113     | 110       |
| 1260 Appropriations, mandatory (total) .....          | 117         | 113     | 110       |
| 1930 Total budgetary resources available .....        | 117         | 113     | 110       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 29          | 28      | 27        |
| 3010 Obligations incurred, unexpired accounts .....   | 117         | 113     | 110       |
| 3020 Outlays (gross) .....                            | –118        | –114    | –111      |
| 3050 Unpaid obligations, end of year .....            | 28          | 27      | 26        |
| <b>Memorandum (non-add) entries:</b>                  |             |         |           |
| 3100 Obligated balance, start of year .....           | 29          | 28      | 27        |
| 3200 Obligated balance, end of year .....             | 28          | 27      | 26        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 117         | 113     | 110       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 88          | 85      | 83        |
| 4101 Outlays from mandatory balances .....            | 30          | 29      | 28        |
| 4110 Outlays, gross (total) .....                     | 118         | 114     | 111       |
| 4180 Budget authority, net (total) .....              | 117         | 113     | 110       |
| 4190 Outlays, net (total) .....                       | 118         | 114     | 111       |

This fund was established by the Treasury, Postal Service and General Government Appropriations Act of 1991 (P.L. 101–509, 104 Stat. 1394) as a permanent, indefinite appropriation to reimburse the Federal Reserve Banks for acting as fiscal agents of the Federal Government in support of financing the public debt.

## PAYMENT TO THE RESOLUTION FUNDING CORPORATION

## Program and Financing (in millions of dollars)

| Identification code 20–1851–0–1–908                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 2,628       | 2,628   | 2,628     |
| 0900 Total new obligations (object class 41.0) ..... | 2,628       | 2,628   | 2,628     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 2,628       | 2,628   | 2,628     |
| 1260 Appropriations, mandatory (total) .....         | 2,628       | 2,628   | 2,628     |
| 1930 Total budgetary resources available .....       | 2,628       | 2,628   | 2,628     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 2,628       | 2,628   | 2,628     |
| 3020 Outlays (gross) .....                           | –2,628      | –2,628  | –2,628    |

**PAYMENT TO THE RESOLUTION FUNDING CORPORATION—Continued**  
**Program and Financing—Continued**

| Identification code 20–1851–0–1–908             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>       |             |         |           |
| Mandatory:                                      |             |         |           |
| 4090 Budget authority, gross .....              | 2,628       | 2,628   | 2,628     |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... | 2,628       | 2,628   | 2,628     |
| 4180 Budget authority, net (total) .....        | 2,628       | 2,628   | 2,628     |
| 4190 Outlays, net (total) .....                 | 2,628       | 2,628   | 2,628     |

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 authorized and appropriated to the Secretary of the Treasury, such sums as may be necessary to cover interest payments on obligations issued by the Resolution Funding Corporation (REFCORP). REFCORP was established under the Act to raise \$31.2 billion for the Resolution Trust Corporation (RTC) in order to resolve savings institution insolvencies.

Sources of payment for interest due on REFCORP obligations include REFCORP investment income, proceeds from the sale of assets or warrants acquired by the RTC, and annual contributions by the Federal Home Loan Banks. If these payment sources are insufficient to cover all interest costs, indefinite, mandatory funds appropriated to the Treasury shall be used to meet the shortfall.

**PAYMENT TO THE CHEYENNE RIVER SIOUX TRIBAL RECOVERY TRUST FUND**  
**Program and Financing** (in millions of dollars)

| Identification code 20–1805–0–1–452                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001 Expenditure transfer to Tribal Trust Accounts ..... | 436         |         |           |
| 0900 Total new obligations (object class 94.0) .....     | 436         |         |           |
| <b>Budgetary Resources:</b>                              |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                               |             |         |           |
| 1200 Appropriation .....                                 | 436         |         |           |
| 1260 Appropriations, mandatory (total) .....             | 436         |         |           |
| 1900 Budget authority (total) .....                      | 436         |         |           |
| 1930 Total budgetary resources available .....           | 436         |         |           |
| <b>Change in obligated balance:</b>                      |             |         |           |
| Unpaid obligations:                                      |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....      | 436         |         |           |
| 3020 Outlays (gross) .....                               | –436        |         |           |
| <b>Budget authority and outlays, net:</b>                |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                       | 436         |         |           |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....          | 436         |         |           |
| 4180 Budget authority, net (total) .....                 | 436         |         |           |
| 4190 Outlays, net (total) .....                          | 436         |         |           |

The Cheyenne River Sioux Tribal Recovery Trust Fund was established by P.L. 106–511 to carry out projects and programs described in the act for economic and infrastructure development projects. In FY 2012 the balance was transferred to an account in the Department of Interior; there will be no further activity.

**FEDERAL RESERVE BANK REIMBURSEMENT FUND**  
**Program and Financing** (in millions of dollars)

| Identification code 20–1884–0–1–803                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Federal Reserve Bank services .....              | 352         | 331     | 395       |
| 0900 Total new obligations (object class 25.2) .....  | 352         | 331     | 395       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 352         | 331     | 395       |
| 1260 Appropriations, mandatory (total) .....          | 352         | 331     | 395       |
| 1900 Budget authority (total) .....                   | 352         | 331     | 395       |
| 1930 Total budgetary resources available .....        | 352         | 331     | 395       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 80          | 88      | 88        |
| 3010 Obligations incurred, unexpired accounts .....   | 352         | 331     | 395       |
| 3020 Outlays (gross) .....                            | –344        | –331    | –394      |
| 3050 Unpaid obligations, end of year .....            | 88          | 88      | 89        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 80          | 88      | 88        |
| 3200 Obligated balance, end of year .....             | 88          | 88      | 89        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 352         | 331     | 395       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 263         | 243     | 306       |
| 4101 Outlays from mandatory balances .....            | 81          | 88      | 88        |
| 4110 Outlays, gross (total) .....                     | 344         | 331     | 394       |
| 4180 Budget authority, net (total) .....              | 352         | 331     | 395       |
| 4190 Outlays, net (total) .....                       | 344         | 331     | 394       |

This Fund was established by the Treasury and General Government Appropriations Act, 1998, Title I, (P.L. 105–61, 111 Stat. 1276) as a permanent, indefinite appropriation to reimburse Federal Reserve Banks for services provided in their capacity as depositaries and fiscal agents for the United States.

**PAYMENT OF GOVERNMENT LOSSES IN SHIPMENT**  
**Program and Financing** (in millions of dollars)

| Identification code 20–1710–0–1–803                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 1           | 1       | 1         |
| 0900 Total new obligations (object class 42.0) ..... | 1           | 1       | 1         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....         | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....       | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 1           | 1       | 1         |
| 3020 Outlays (gross) .....                           | –1          | –1      | –1        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 1           | 1       | 1         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 1           | 1       | 1         |
| 4180 Budget authority, net (total) .....             | 1           | 1       | 1         |
| 4190 Outlays, net (total) .....                      | 1           | 1       | 1         |

This account was created as self-insurance to cover losses in shipment of Government property such as coins, currency, securities, certain losses incurred by the Postal Service, and losses in connection with the redemption of savings bonds. Approximately 1,100 claims are paid annually.

## FINANCIAL AGENT SERVICES

## Program and Financing (in millions of dollars)

| Identification code 20–1802–0–1–803                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Financial agent services .....                               | 652         | 655     | 636       |
| 0900 Total new obligations (object class 25.1) .....              | 652         | 655     | 636       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 7           |         |           |
| 1050 Unobligated balance (total) .....                            | 7           |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 660         | 666     | 646       |
| 1220 Appropriations transferred to other accts [20–0126] .....    | –15         | –11     | –10       |
| 1260 Appropriations, mandatory (total) .....                      | 645         | 655     | 636       |
| 1930 Total budgetary resources available .....                    | 652         | 655     | 636       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 56          | 66      | 66        |
| 3010 Obligations incurred, unexpired accounts .....               | 652         | 655     | 636       |
| 3020 Outlays (gross) .....  | –635        | –655    | –636      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –7          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 66          | 66      | 66        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 56          | 66      | 66        |
| 3200 Obligated balance, end of year .....                         | 66          | 66      | 66        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 645         | 655     | 636       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 579         | 589     | 570       |
| 4101 Outlays from mandatory balances .....                        | 56          | 66      | 66        |
| 4110 Outlays, gross (total) .....                                 | 635         | 655     | 636       |
| 4180 Budget authority, net (total) .....                          | 645         | 655     | 636       |
| 4190 Outlays, net (total) .....                                   | 635         | 655     | 636       |

This permanent, indefinite appropriation was established to reimburse financial institutions for the services they provide as depositaries and financial agents of the Federal government. The services include the acceptance and processing of deposits of public money, as well as services essential to the disbursement of and accounting for public monies. The services provided are authorized under numerous statutes including, but not limited to, 12 U.S.C. 90 and 265. This permanent, indefinite appropriation is authorized by P.L. 108–100, the "Check Clearing for the 21st Century Act," and permanently appropriated by P.L. 108–199, the "Consolidated Appropriations Act of 2004." Additionally, financial agent administrative and financial analysis costs for the Government Sponsored Enterprise Mortgage Backed Securities Purchase Program and State Housing Finance Agency program are reimbursed from this account.

## INTEREST ON UNINVESTED FUNDS

## Program and Financing (in millions of dollars)

| Identification code 20–1860–0–1–908                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Interest of uninvested funds .....               | 21          | 24      | 24        |
| 0900 Total new obligations (object class 43.0) .....  | 21          | 24      | 24        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 21          | 24      | 24        |
| 1260 Appropriations, mandatory (total) .....          | 21          | 24      | 24        |
| 1930 Total budgetary resources available .....        | 21          | 24      | 24        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 25          | 35      | 35        |
| 3010 Obligations incurred, unexpired accounts .....   | 21          | 24      | 24        |
| 3020 Outlays (gross) .....                            | –11         | –24     | –24       |
| 3050 Unpaid obligations, end of year .....            | 35          | 35      | 35        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 25          | 35      | 35        |
| 3200 Obligated balance, end of year .....             | 35          | 35      | 35        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 21          | 24      | 24        |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            | 11          | 24      | 24        |
| 4180 Budget authority, net (total) .....              | 21          | 24      | 24        |
| 4190 Outlays, net (total) .....                       | 11          | 24      | 24        |

This account was established for the purpose of paying interest on certain uninvested funds placed in trust in the Treasury in accordance with various statutes (31 U.S.C. 1321; 2 U.S.C. 158 (P.L. 94–289); 20 U.S.C. 74a (P.L. 94–418) and 101; 24 U.S.C. 46 (P.L. 94–290; and 69 Stat. 533).

## FEDERAL INTEREST LIABILITIES TO STATES

## Program and Financing (in millions of dollars)

| Identification code 20–1877–0–1–908                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Federal interest liabilities to States .....    | 1           | 2       | 2         |
| 0900 Total new obligations (object class 25.2) ..... | 1           | 2       | 2         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 1           | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....         | 1           | 2       | 2         |
| 1930 Total budgetary resources available .....       | 1           | 2       | 2         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 1           | 2       | 2         |
| 3020 Outlays (gross) .....                           | –1          | –2      | –2        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 1           | 2       | 2         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 1           | 2       | 2         |
| 4180 Budget authority, net (total) .....             | 1           | 2       | 2         |
| 4190 Outlays, net (total) .....                      | 1           | 2       | 2         |

Pursuant to the Cash Management Improvement Act (P.L. 101–453, 104 Stat. 1058) as amended (P.L. 102–589, 106 Stat. 5133), and Treasury implementing regulations codified at 31 CFR Part 205, under certain circumstances, interest is paid to

FEDERAL INTEREST LIABILITIES TO STATES—Continued  
states when Federal funds are not transferred to states in a timely manner.

### INTEREST PAID TO CREDIT FINANCING ACCOUNTS

#### Program and Financing (in millions of dollars)

| Identification code 20–1880–0–1–908                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Interest paid to credit financing accounts ..... | 9,929       | 11,902  | 13,317    |
| 0900 Total new obligations (object class 43.0) .....  | 9,929       | 11,902  | 13,317    |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 9,929       | 11,902  | 13,317    |
| 1260 Appropriations, mandatory (total) .....          | 9,929       | 11,902  | 13,317    |
| 1900 Budget authority (total) .....                   | 9,929       | 11,902  | 13,317    |
| 1930 Total budgetary resources available .....        | 9,929       | 11,902  | 13,317    |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 9,929       | 11,902  | 13,317    |
| 3020 Outlays (gross) .....                            | –9,930      | –11,902 | –13,317   |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 9,929       | 11,902  | 13,317    |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 9,929       | 11,902  | 13,317    |
| 4101 Outlays from mandatory balances .....            | 1           |         |           |
| 4110 Outlays, gross (total) .....                     | 9,930       | 11,902  | 13,317    |
| 4180 Budget authority, net (total) .....              | 9,929       | 11,902  | 13,317    |
| 4190 Outlays, net (total) .....                       | 9,930       | 11,902  | 13,317    |

This account pays interest on the invested balances of guaranteed and direct loan financing accounts. For guaranteed loan financing accounts, balances result when the accounts receive up-front payments and fees to be held in reserve to make payments on defaults. Direct loan financing accounts normally borrow from Treasury to disburse loans and receive interest and principal payments and other payments from borrowers. Because direct loan financing accounts generally repay borrowing from Treasury at the end of the year, they can build up balances of payments received during the year. Interest on invested balances is paid to the financing accounts from the general fund of the Treasury, in accordance with section 505(c) of the Federal Credit Reform Act of 1990.

### CLAIMS, JUDGMENTS, AND RELIEF ACTS

#### Program and Financing (in millions of dollars)

| Identification code 20–1895–0–1–808                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Claims for damages .....                        | 2           | 8       | 8         |
| 0003 Claims for contract disputes .....              | 185         | 76      | 76        |
| 0091 Total claims adjudicated administratively ..... | 187         | 84      | 84        |
| 0101 Judgments, Court of Claims .....                | 2,821       | 728     | 555       |
| 0102 Judgments, U.S. courts .....                    | 472         | 3,456   | 1,701     |
| 0191 Total court judgments .....                     | 3,293       | 4,184   | 2,256     |
| 0900 Total new obligations (object class 42.0) ..... | 3,480       | 4,268   | 2,340     |

#### Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Budget authority:                              |       |       |       |
| Appropriations, mandatory:                     |       |       |       |
| 1200 Appropriation .....                       | 3,480 | 4,268 | 2,340 |
| 1260 Appropriations, mandatory (total) .....   | 3,480 | 4,268 | 2,340 |
| 1900 Budget authority (total) .....            | 3,480 | 4,268 | 2,340 |
| 1930 Total budgetary resources available ..... | 3,480 | 4,268 | 2,340 |

#### Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:                                   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 20     | 495    | 95     |
| 3010 Obligations incurred, unexpired accounts .....   | 3,480  | 4,268  | 2,340  |
| 3020 Outlays (gross) .....                            | –3,005 | –4,668 | –2,390 |
| 3050 Unpaid obligations, end of year .....            | 495    | 95     | 45     |
| Memorandum (non-add) entries:                         |        |        |        |
| 3100 Obligated balance, start of year .....           | 20     | 495    | 95     |
| 3200 Obligated balance, end of year .....             | 495    | 95     | 45     |

#### Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Mandatory:                                      |       |       |       |
| 4090 Budget authority, gross .....              | 3,480 | 4,268 | 2,340 |
| Outlays, gross:                                 |       |       |       |
| 4100 Outlays from new mandatory authority ..... | 2,985 | 4,173 | 2,295 |
| 4101 Outlays from mandatory balances .....      | 20    | 495   | 95    |
| 4110 Outlays, gross (total) .....               | 3,005 | 4,668 | 2,390 |
| 4180 Budget authority, net (total) .....        | 3,480 | 4,268 | 2,340 |
| 4190 Outlays, net (total) .....                 | 3,005 | 4,668 | 2,390 |

Appropriations are made for cases in which the Federal government is found by courts to be liable for payment of claims and interest for damages not chargeable to appropriations of individual agencies, and for payment of private and public relief acts. Public Law 95–26 authorized a permanent, indefinite appropriation to pay certain judgments from the General Fund of the Treasury.

### RESTITUTION OF FORGONE INTEREST

#### Program and Financing (in millions of dollars)

| Identification code 20–1875–0–1–908                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 59          | 20      |           |
| 0900 Total new obligations (object class 43.0) .....  | 59          | 20      |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 59          | 20      |           |
| 1260 Appropriations, mandatory (total) .....          | 59          | 20      |           |
| 1930 Total budgetary resources available .....        | 59          | 20      |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 496         |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 59          | 20      |           |
| 3020 Outlays (gross) .....                            | –555        | –20     |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 496         |         |           |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 59          | 20      |           |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 59          | 20      |           |
| 4101 Outlays from mandatory balances .....            | 496         |         |           |
| 4110 Outlays, gross (total) .....                     | 555         | 20      |           |
| 4180 Budget authority, net (total) .....              | 59          | 20      |           |
| 4190 Outlays, net (total) .....                       | 555         | 20      |           |

This account provides funds for the payment of interest on investments in Treasury securities that the Secretary of the Treasury has suspended or redeemed. The Secretary is permitted



to take such action when Treasury is constrained by the statutory debt limit and must take extraordinary measures to avoid defaulting. The Treasury is required to restore all due interest and principal to the respective investments.

#### PAYMENT TO FRA FOR AMTRAK DEBT RESTRUCTURING

##### Program and Financing (in millions of dollars)

| Identification code 20–1825–0–1–401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 310         | 57      | .....     |
| 0900 Total new obligations (object class 43.0) .....  | 310         | 57      | .....     |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 310         | 57      | .....     |
| 1260 Appropriations, mandatory (total) .....          | 310         | 57      | .....     |
| 1930 Total budgetary resources available .....        | 310         | 57      | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 2       | .....     |
| 3010 Obligations incurred, unexpired accounts .....   | 310         | 57      | .....     |
| 3020 Outlays (gross) .....                            | –308        | –59     | .....     |
| 3050 Unpaid obligations, end of year .....            | 2           | .....   | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             | 2       | .....     |
| 3200 Obligated balance, end of year .....             | 2           | .....   | .....     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 310         | 57      | .....     |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 308         | 57      | .....     |
| 4101 Outlays from mandatory balances .....            |             | 2       | .....     |
| 4110 Outlays, gross (total) .....                     | 308         | 59      | .....     |
| 4180 Budget authority, net (total) .....              | 310         | 57      | .....     |
| 4190 Outlays, net (total) .....                       | 308         | 59      | .....     |

This current, indefinite appropriation was established pursuant to Public Law 110–432 STAT 4914 Sec. 205(d). The Passenger Rail Investment and Improvement Act (PRIIA) of 2008 (Section 205), enacted October 16, 2008, provides that the Secretary of the Treasury, in consultation with the Secretary of Transportation and the National Railroad Passenger Corporation (Amtrak), may make agreements to restructure (including repay) Amtrak's indebtedness, including leases, outstanding as of the date of enactment of PRIIA. This authorization expires two years after the date of enactment of PRIIA. Treasury and Transportation, acting through the Federal Railroad Administration (FRA) in consultation with each other and Amtrak, will advance payments reflecting the early buy-out options (EBO's) on select leases entered into by Amtrak.

#### BIOMASS ENERGY DEVELOPMENT

##### Program and Financing (in millions of dollars)

| Identification code 20–0114–0–1–271   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 1           | .....   | .....     |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | –1          | .....   | .....     |

##### Budget authority and outlays, net:

|   |    |       |       |
|---|----|-------|-------|
| Mandatory:  |    |       |       |
| Offsets against gross budget authority and outlays: |    |       |       |
| Offsetting collections (collected) from:            |    |       |       |
| 4120 Federal sources .....                          | –1 | ..... | ..... |
| 4180 Budget authority, net (total) .....            | –1 | ..... | ..... |
| 4190 Outlays, net (total) .....                     | –1 | ..... | ..... |

##### Status of Guaranteed Loans (in millions of dollars)

| Identification code 20–0114–0–1–271   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Addendum:</b>  |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 27          | 27      | 27        |
| 2351 Repayments of loans receivable .....   | .....       | .....   | .....     |
| 2361 Write-offs of loans receivable .....   | .....       | .....   | .....     |
| 2390 Outstanding, end of year .....   | 27          | 27      | 27        |

This account was created to provide loan guarantees for the construction of biomass-to-ethanol facilities, as authorized under Title II of the Energy Security Act of 1980. The three loans guaranteed by this account went into default. The guarantees have been paid off, and the assets of all but one of the projects have been liquidated. The one remaining project, the New Energy Corporation (formerly the New Energy Company of Indiana), entered into a Forbearance agreement with DOE in April 2009 due to financial issues and is now in bankruptcy. The remaining assets will be liquidated. Further recoveries are anticipated, but the amount and timing of those recoveries has not yet been determined.

##### Balance Sheet (in millions of dollars)

| Identification code 20–0114–0–1–271                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1701 Defaulted guaranteed loans, gross .....                            | 27          | 27          |
| 1702 Interest receivable .....  | 5           | 5           |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | –26         | –26         |
| 1799 Value of assets related to loan guarantees .....                   | 6           | 6           |
| 1999 Total assets .....   | 6           | 6           |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 6           | 6           |
| 4999 Total liabilities and net position .....                           | 6           | 6           |

#### CONTINUED DUMPING AND SUBSIDY OFFSET

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20–5688–0–2–376  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | .....       | .....   | 25        |
| Receipts:  |             |         |           |
| 0200 Antidumping and Countervailing Duties, Continued Dumping and Subsidy Offset ..... | 125         | 125     | 125       |
| 0400 Total: Balances and collections .....   | 125         | 125     | 150       |
| Appropriations:  |             |         |           |
| 0500 Continued Dumping and Subsidy Offset .....  | –125        | –100    | –100      |
| 0799 Balance, end of year .....  | .....       | 25      | 50        |

##### Program and Financing (in millions of dollars)

| Identification code 20–5688–0–2–376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Continued dumping and subsidy offset .....      | 418         | 75      | 47        |
| 0900 Total new obligations (object class 41.0) ..... | 418         | 75      | 47        |

**CONTINUED DUMPING AND SUBSIDY OFFSET—Continued**  
**Program and Financing—Continued**

| Identification code 20–5688–0–2–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 513         | 220     | 245       |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 125         | 100     | 100       |
| 1260 Appropriations, mandatory (total) .....          | 125         | 100     | 100       |
| 1930 Total budgetary resources available .....        | 638         | 320     | 345       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 220         | 245     | 298       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 418         | 75      | 47        |
| 3020 Outlays (gross) .....                            | –418        | –75     | –47       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 125         | 100     | 100       |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            | 418         | 75      | 47        |
| 4180 Budget authority, net (total) .....              | 125         | 100     | 100       |
| 4190 Outlays, net (total) .....                       | 418         | 75      | 47        |

The Bureau of Customs and Border Protection, Department of Homeland Security, collects duties assessed pursuant to a countervailing duty order, an antidumping duty order, or a finding under the Antidumping Act of 1921. Under a provision enacted in 2000, the Bureau of Customs and Border Protection, through the Treasury, distributes these duties to affected domestic producers. These distributions provide a significant additional subsidy to producers that already gain protection from the increased import prices provided by the tariffs. The authority to distribute assessments collected after October 1, 2007 has been repealed. Assessments collected before October 1, 2007 will be disbursed as if the authority had not been repealed.

**CHECK FORGERY INSURANCE FUND**

**Program and Financing** (in millions of dollars)

| Identification code 20–4109–0–3–803   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Reimbursable program .....   | 23          | 21      | 19        |
| 0900 Total new obligations (object class 42.0) .....                            | 23          | 21      | 19        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 6           | 5       | 4         |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 2           | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....                                    | 2           | 2       | 2         |
| 1800 Spending authority from offsetting collections, mandatory: Collected ..... | 22          | 18      | 18        |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 22          | 18      | 18        |
| 1900 Budget authority (total) .....   | 22          | 20      | 20        |
| 1930 Total budgetary resources available .....                                  | 28          | 25      | 24        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 5           | 4       | 5         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                             | 23          | 21      | 19        |
| 3020 Outlays (gross) .....  | –23         | –21     | –19       |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 22          | 20      | 20        |

|   |     |     |     |
|---|-----|-----|-----|
| Outlays, gross:                                     |     |     |     |
| 4100 Outlays from new mandatory authority .....     | 16  | 15  | 14  |
| 4101 Outlays from mandatory balances .....          | 7   | 6   | 5   |
| 4110 Outlays, gross (total) .....                   | 23  | 21  | 19  |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4123 Non-Federal sources .....                      | –22 | –18 | –18 |
| 4180 Budget authority, net (total) .....            | 2   | 2   | 2   |
| 4190 Outlays, net (total) .....                     | 1   | 3   | 1   |

This Fund was established as a permanent, indefinite appropriation in order to maintain adequate funding of the Check Forgery Insurance Fund. The Fund facilitates timely payments for replacement Treasury checks necessitated due to a claim of forgery. The Fund recoups disbursements through reclamations made against banks negotiating forged checks.

To reduce hardships sustained by payees of government checks that have been stolen and forged, settlement is made in advance of the receipt of funds from the endorser of the checks. If the U.S. Treasury is unable to recover funds through reclamation procedures, the Fund sustains the loss.

Public Law 108–447 expanded the use of the Fund to include payments made via electronic funds transfer. A technical correction to the Fund's statutes to ensure and clarify that the Fund can be utilized as a funding source for relief of administrative disbursing errors was enacted by section 119 of Division D of Public Law 110–161.

**Object Classification** (in millions of dollars)

| Identification code 20–4109–0–3–803         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                   |             |         |           |
| 42.0 Insurance claims and indemnities ..... | 23          | 21      | 19        |
| 99.0 Reimbursable obligations .....         | 23          | 21      | 19        |

**Trust Funds**

**CHEYENNE RIVER SIOUX TRIBAL RECOVERY TRUST FUND**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 20–8620–0–7–452                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0240 Payment to the Cheyenne River Sioux Tribal Recovery Trust Fund ..... | 436         |         |           |
| 0400 Total: Balances and collections .....                                | 436         |         |           |
| Appropriations:   |             |         |           |
| 0500 Cheyenne River Sioux Tribal Recovery Trust Fund .....                | –436        |         |           |
| 0799 Balance, end of year .....   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 20–8620–0–7–452                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 436         |         |           |
| 0900 Total new obligations (object class 94.0) ..... | 436         |         |           |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 436         |         |           |
| 1260 Appropriations, mandatory (total) .....         | 436         |         |           |
| 1930 Total budgetary resources available .....       | 436         |         |           |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 436         |         |           |
| 3020 Outlays (gross) .....                           | –436        |         |           |

| Budget authority and outlays, net: |  |     |  |
|------------------------------------|--|-----|--|
| Mandatory:                         |  |     |  |
| 4090                               | Budget authority, gross .....              | 436 |  |
| Outlays, gross:                    |  |     |  |
| 4100                               | Outlays from new mandatory authority ..... | 436 |  |
| 4180                               | Budget authority, net (total) .....        | 436 |  |
| 4190                               | Outlays, net (total) .....                 | 436 |  |

This fund was established by P.L. 106–511 to carry out projects and programs described in the act for economic and infrastructure development projects. In FY 2012 the balance was transferred to an account in the Department of Interior; there will be no further activity.

## YANKTON SIOUX TRIBE DEVELOPMENT TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20–8627–0–7–452                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                    |             |         |           |
| Receipts:  |             |         |           |
| 0240 Payment to the Yankton Sioux Tribe Development Trust Fund ..... |             |         | 32        |
| 0400 Total: Balances and collections .....                           |             |         | 32        |
| Appropriations:  |             |         |           |
| 0500 Yankton Sioux Tribe Development Trust Fund .....                |             |         | –9        |
| 0799 Balance, end of year .....                                      |             |         | 23        |

## Program and Financing (in millions of dollars)

| Identification code 20–8627–0–7–452                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Direct program activity .....                   |             |         | 9         |
| 0900 Total new obligations (object class 94.0) ..... |             |         | 9         |
| Budgetary Resources:                                 |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     |             |         | 9         |
| 1260 Appropriations, mandatory (total) .....         |             |         | 9         |
| 1930 Total budgetary resources available .....       |             |         | 9         |
| Change in obligated balance:                         |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 9         |
| 3020 Outlays (gross) .....                           |             |         | –9        |

| Budget authority and outlays, net: |  |  |   |
|------------------------------------|--|--|---|
| Mandatory:                         |  |  |   |
| 4090                               | Budget authority, gross .....              |  | 9 |
| Outlays, gross:                    |  |  |   |
| 4100                               | Outlays from new mandatory authority ..... |  | 9 |
| 4180                               | Budget authority, net (total) .....        |  | 9 |
| 4190                               | Outlays, net (total) .....                 |  | 9 |

The Yankton Sioux Tribe Development Trust Fund was established by P.L. 107–331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation requires principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the fund on October 1, 2013. The fund's holdings will be transferred to the Department of the Interior/Office of Special Trustee for management of its investments.

## CHEYENNE RIVER SIOUX TRIBE TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20–8209–0–7–306 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             | 59      | 58        |

|                 |   |    |    |
|-----------------|---|----|----|
| Adjustments:    |   |    |    |
| 0190            | Adjustment - data did not pull in from prior year budget. ....  | 60 |    |
| 0199            | Balance, start of year .....  | 60 | 59 |
| Receipts:       |   |    |    |
| 0240            | Earnings on Investments, Lower Brule Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....    |    | 1  |
| 0241            | Earnings on Investments, Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund ..... | 1  | 1  |
| 0299            | Total receipts and collections .....  | 1  | 1  |
| 0400            | Total: Balances and collections .....   | 61 | 60 |
| Appropriations: |   |    |    |
| 0500            | Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration Trust Fund .....                          | –2 | –2 |
| 0799            | Balance, end of year .....  | 59 | 58 |

## Program and Financing (in millions of dollars)

| Identification code 20–8209–0–7–306                              | 2012 actual                                | 2013 CR | 2014 est. |
|--|--|---------|-----------|
| Obligations by program activity:                                 |  |         |           |
| 0001 Direct program activity .....                               | 1  | 2       | 2         |
| 0900 Total new obligations (object class 41.0) .....             | 1  | 2       | 2         |
| Budgetary Resources:   |  |         |           |
| Unobligated balance:   |  |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 7  | 8       | 8         |
| Budget authority:  |  |         |           |
| Appropriations, mandatory:                                       |  |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 2  | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....                     | 2  | 2       | 2         |
| 1930 Total budgetary resources available .....                   | 9  | 10      | 10        |
| Memorandum (non-add) entries:                                    |  |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 8  | 8       | 8         |
| Change in obligated balance:                                     |  |         |           |
| Unpaid obligations:  |  |         |           |
| 3010 Obligations incurred, unexpired accounts .....              | 1  | 2       | 2         |
| 3020 Outlays (gross) .....                                       | –1   | –2      | –2        |
| Budget authority and outlays, net:                               |  |         |           |
| Mandatory:   |  |         |           |
| 4090   | Budget authority, gross .....              | 2       | 2         |
| Outlays, gross:  |  |         |           |
| 4100   | Outlays from new mandatory authority ..... | 1       | 2         |
| 4180   | Budget authority, net (total) .....        | 2       | 2         |
| 4190   | Outlays, net (total) .....                 | 1       | 2         |
| Memorandum (non-add) entries:                                    |  |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 67   | 68      | 67        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 68   | 67      | 67        |

This schedule reflects the payments made to the Cheyenne River Sioux Tribe Terrestrial Wildlife Restoration Trust Fund and the Lower Brule Sioux Tribe Terrestrial Wildlife Restoration Trust Fund. Pursuant to section 604(b) of the Water Resources Development Act of 1999 (P.L. 106–53), after the funds are fully capitalized by deposits from the General Fund of the Treasury, interest earned will be available to the Tribes to carry out the purposes of the funds. Full capitalization occurred in FY 2010; therefore no additional deposits will be provided by the General Fund of the Treasury. Tribes are now able to draw down on the interest earned from these investments.

## SANTEE SIOUX TRIBE DEVELOPMENT TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20–8626–0–7–452                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                   |             |         |           |
| Receipts:   |             |         |           |
| 0240 Payment to the Santee Sioux Tribe Development Trust Fund ..... |             |         | 7         |
| 0400 Total: Balances and collections .....                          |             |         | 7         |

**SANTEE SIOUX TRIBE DEVELOPMENT TRUST FUND—Continued**  
**Special and Trust Fund Receipts—Continued**

| Identification code 20–8626–0–7–452                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Appropriations:                                      |             |         |           |
| 0500 Santee Sioux Tribe Development Trust Fund ..... |             |         | –2        |
| 0799 Balance, end of year .....                      |             |         | 5         |

**Program and Financing (in millions of dollars)**

| Identification code 20–8626–0–7–452                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 2         |
| 0900 Total new obligations (object class 94.0) ..... |             |         | 2         |

|  |  |  |   |
|--|--|--|---|
| <b>Budgetary Resources:</b>                      |  |  |   |
| Budget authority:                                |  |  |   |
| Appropriations, mandatory:                       |  |  |   |
| 1201 Appropriation (special or trust fund) ..... |  |  | 2 |
| 1260 Appropriations, mandatory (total) .....     |  |  | 2 |
| 1930 Total budgetary resources available .....   |  |  | 2 |

|   |  |  |    |
|---|--|--|----|
| <b>Change in obligated balance:</b>                 |  |  |    |
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 2  |
| 3020 Outlays (gross) .....                          |  |  | –2 |

|   |  |  |   |
|---|--|--|---|
| <b>Budget authority and outlays, net:</b>       |  |  |   |
| Mandatory:                                      |  |  |   |
| 4090 Budget authority, gross .....              |  |  | 2 |
| Outlays, gross:                                 |  |  |   |
| 4100 Outlays from new mandatory authority ..... |  |  | 2 |
| 4180 Budget authority, net (total) .....        |  |  | 2 |
| 4190 Outlays, net (total) .....                 |  |  | 2 |

The Santee Sioux Tribe Development Trust Fund was established by P.L. 107–331 to carry out projects and programs under section 206 of the act for economic and infrastructure development projects. The legislation requires principal and a past interest amount to be calculated by the Department of the Treasury and transferred into the fund on October 1, 2013. The fund's holdings will then be transferred to the Department of the Interior/Office of Special Trustee for management of its investments.

**GULF COAST RESTORATION TRUST FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 20–8625–0–7–452  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0200 Administrative and Civil Penalties, Gulf Coast Restoration Trust Fund ..... |             | 320     | 320       |
| 0400 Total: Balances and collections .....                                       |             | 320     | 320       |
| Appropriations:  |             |         |           |
| 0500 Gulf Coast Restoration Trust Fund .....                                     |             | –320    | –320      |
| 0799 Balance, end of year .....  |             |         |           |

**Program and Financing (in millions of dollars)**

| Identification code 20–8625–0–7–452                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Payments to States (35%) .....                                 |             | 56      | 168       |
| 0002 Payments to Council (30%) .....                                |             | 48      | 144       |
| 0003 Payments to States for Oil Spill Restoration Impact (30) ..... |             | 48      | 144       |
| 0004 NOAA Science Project (2.5) .....                               |             | 4       | 12        |
| 0005 Centers of Excellence Research Grants (2.5%) .....             |             | 4       | 12        |
| 0900 Total new obligations (object class 41.0) .....                |             | 160     | 480       |

**Budgetary Resources:**

|   |     |  |     |
|---|-----|--|-----|
| Unobligated balance:                                  |     |  |     |
| 1000 Unobligated balance brought forward, Oct 1 ..... |     |  | 160 |
| Budget authority:                                     |     |  |     |
| Appropriations, mandatory:                            |     |  |     |
| 1201 Appropriation (special or trust fund) .....      | 320 |  | 320 |
| 1260 Appropriations, mandatory (total) .....          | 320 |  | 320 |
| 1930 Total budgetary resources available .....        | 320 |  | 480 |
| Memorandum (non-add) entries:                         |     |  |     |
| 1941 Unexpired unobligated balance, end of year ..... | 160 |  |     |

**Change in obligated balance:**

|   |      |  |      |
|---|------|--|------|
| Unpaid obligations:                                 |      |  |      |
| 3010 Obligations incurred, unexpired accounts ..... | 160  |  | 480  |
| 3020 Outlays (gross) .....                          | –160 |  | –480 |

**Budget authority and outlays, net:**

|   |     |  |     |
|---|-----|--|-----|
| Mandatory:                                      |     |  |     |
| 4090 Budget authority, gross .....              | 320 |  | 320 |
| Outlays, gross:                                 |     |  |     |
| 4100 Outlays from new mandatory authority ..... | 160 |  | 320 |
| 4101 Outlays from mandatory balances .....      |     |  | 160 |
| 4110 Outlays, gross (total) .....               | 160 |  | 480 |
| 4180 Budget authority, net (total) .....        | 320 |  | 320 |
| 4190 Outlays, net (total) .....                 | 160 |  | 480 |

This fund was established by the Resources and Ecosystems Sustainability, Tourist Opportunities, and Revived Economies of the Gulf Coast States Act of 2012 (RESTORE Act). It will receive administrative and civil penalties collected from parties responsible for the Deepwater Horizon offshore drilling unit explosion and resulting oil spill that occurred in 2010. Funding will be used for economic and ecological restoration projects within the states impacted by the spill, activities of the Gulf Coast Ecosystem Restoration Council, and related government and research activities. The fund will receive amounts collected through a court judgment or a settlement negotiated by the Department of Justice and approved by the court. Currently the estimates represent known settlement amounts; additional funds may become available through future court judgments or settlements.

**FEDERAL FINANCING BANK**

*Federal Funds*

FEDERAL FINANCING BANK

**Program and Financing (in millions of dollars)**

| Identification code 20–4521–0–4–803   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Administrative expenses .....  | 6           | 8       | 8         |
| 0802 Interest on borrowings from Treasury .....                                     | 1,671       | 1,244   | 1,817     |
| 0803 Interest on borrowings from civil service retirement and disability fund ..... | 391         | 329     | 263       |
| 0900 Total new obligations .....  | 2,068       | 1,581   | 2,088     |

**Budgetary Resources:**

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,335 | 1,209 | 1,977 |
| 1023 Unobligated balances applied to repay debt .....              | –172  |       |       |
| 1050 Unobligated balance (total) .....                             | 1,163 | 1,209 | 1,977 |
| Budget authority:  |       |       |       |
| Spending authority from offsetting collections, mandatory:         |       |       |       |
| 1800 Collected .....   | 2,114 | 2,349 | 2,479 |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2,114 | 2,349 | 2,479 |
| 1930 Total budgetary resources available .....                     | 3,277 | 3,558 | 4,456 |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 1,209 | 1,977 | 2,368 |

**Change in obligated balance:**

|   |       |       |       |
|---|-------|-------|-------|
| Unpaid obligations:                                 |       |       |       |
| 3010 Obligations incurred, unexpired accounts ..... | 2,068 | 1,581 | 2,088 |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| 3020  | Outlays (gross) .....                                       | -2,068 | -1,581 | -2,088 |
| <b>Budget authority and outlays, net:</b>           |   |        |        |        |
| Mandatory:  |   |        |        |        |
| 4090  | Budget authority, gross .....                               | 2,114  | 2,349  | 2,479  |
| Outlays, gross:                                     |   |        |        |        |
| 4100  | Outlays from new mandatory authority .....                  | 2,068  | 1,581  | 2,088  |
| Offsets against gross budget authority and outlays: |   |        |        |        |
| Offsetting collections (collected) from:            |   |        |        |        |
| 4120  | Federal sources .....                                       | -2,114 | -2,349 | -2,479 |
| 4190  | Outlays, net (total) .....                                  | -46    | -768   | -391   |
| <b>Memorandum (non-add) entries:</b>                |   |        |        |        |
| 5000  | Total investments, SOY: Federal securities: Par value ..... | 493    | 493    | 45     |
| 5001  | Total investments, EOY: Federal securities: Par value ..... | 493    | 45     | 45     |

The Federal Financing Bank (FFB) was created in 1973 to reduce the costs of certain Federal and federally-assisted borrowing and to ensure the coordination of such borrowing from the public in a manner least disruptive to private financial markets and institutions. Prior to that time, many agencies borrowed directly from the private market to finance credit programs involving lending to the public at higher rates than on comparable Treasury securities. With the implementation of the Federal Credit Reform Act in 1992, however, agencies finance such loan programs through direct loan financing accounts that borrow directly from the Treasury. In certain cases, the FFB finances Federal direct loans to the public that would otherwise be made by private lenders and fully guaranteed by a Federal agency. FFB loans are also used to finance direct agency activities such as construction of Federal buildings by the General Services Administration and activities of the U.S. Postal Service.

Lending by the FFB may take one of three forms, depending on the authorizing statutes pertaining to a particular agency or program: (1) the FFB may purchase agency financial assets; (2) the FFB may acquire debt securities that the agency is otherwise authorized to issue to the public; and (3) the FFB may originate direct loans on behalf of an agency by disbursing loans directly to private borrowers and receiving repayments from the private borrower on behalf of the agency. Because law requires that transactions by the FFB be treated as a means of financing agency obligations, the budgetary effect of the third type of transaction is reflected in the budget in the following sequence: a loan by the FFB to the agency, a loan by the agency to a private borrower, a repayment by a private borrower to the agency, and a repayment by the agency to the FFB.

By law, the FFB receives substantially less interest each year on certain Department of Agriculture loans that it holds than it is contractually entitled to receive. For example, during 2012, as a result of this provision, the FFB received \$159 million less than it was contractually entitled to receive.

In 2011, net inflows of \$206 million increased the FFB's net position from \$3.8 billion to \$4.0 billion. In 2012, the FFB's net inflows were \$303 million, further increasing the net position to \$4.3 billion.

In addition to its authority to borrow from the Treasury, the FFB has the statutory authority to borrow up to \$15 billion from other sources. Any such borrowing is exempt from the statutory ceiling on Federal debt. FFB exercised this authority most recently in November 2004. In order to prolong Treasury's ability to operate under the then \$7.4 trillion debt ceiling, the FFB issued \$14 billion of its own debt securities to the Civil Service Retirement and Disability Fund (CSRDF) in exchange for \$14 billion in special issue Treasury securities held by CSRDF. The FFB simultaneously redeemed these special issue Treasury securities with Treasury. This transaction extinguished \$14 billion in securities that Treasury had issued to Government accounts (the CSRDF). An equivalent amount of the FFB's own debt to Treasury

was reduced. Since 2009, FFB redeemed \$6.9 billion of the debt securities held by CSRDF, resulting in \$7.1 billion outstanding.

The following table shows the annual net lending by the FFB by agency and program and the amount outstanding at the end of each year.

## NET LENDING AND LOANS OUTSTANDING, END OF YEAR

|   |                         | (in millions of dollars) |         |           |
|---|-------------------------|--------------------------|---------|-----------|
|   |                         | 2012 Actual              | 2013 CR | 2013 Est. |
| A. Department of Agriculture:                         |                         |                          |         |           |
| 1. Rural Utilities Service:                           |                         |                          |         |           |
|   | Lending, net .....      | 3,572                    | 4,675   | 4,702     |
|   | Loans outstanding ..... | 37,750                   | 42,425  | 47,127    |
| B. Department of Education:                           |                         |                          |         |           |
| 1. Historically black colleges and universities:      |                         |                          |         |           |
|   | Lending, net .....      | 144                      | 102     | 242       |
|   | Loans outstanding ..... | 922                      | 1,024   | 1,266     |
| C. Department of Energy:                              |                         |                          |         |           |
| 1. Title 17 innovative technology loans:              |                         |                          |         |           |
|   | Lending, net .....      | 3,160                    | 5,126   | 4,834     |
|   | Loans outstanding ..... | 5,168                    | 10,294  | 15,128    |
| 2. Advanced technology vehicles manufacturing loans:  |                         |                          |         |           |
|   | Lending, net .....      | 2,028                    | 3,553   | 5,793     |
|   | Loans outstanding ..... | 6,940                    | 10,493  | 16,286    |
| D. Department of Transportation:                      |                         |                          |         |           |
| 1. Railroad Revitalization and Regulatory Reform Act: |                         |                          |         |           |
|   | Lending, net .....      | —*                       | —*      | —*        |
|   | Loans outstanding ..... | 1                        | 1       | 1         |
| E. Department of Treasury:                            |                         |                          |         |           |
| 1. CDFI Fund Bond Guarantee Program:                  |                         |                          |         |           |
|   | Lending, net .....      | —                        | —       | 48        |
|   | Loans outstanding ..... | —                        | —       | 48        |
| F. Department of Veterans Affairs:                    |                         |                          |         |           |
| 1. Transitional housing for homeless veterans:        |                         |                          |         |           |
|   | Lending, net .....      | —*                       | —*      | —*        |
|   | Loans outstanding ..... | 5                        | 5       | 5         |
| F. General Services Administration:                   |                         |                          |         |           |
| 1. Federal buildings fund:                            |                         |                          |         |           |
|   | Lending, net .....      | -78                      | -1,819  | —         |
|   | Loans outstanding ..... | 1,819                    | —       | —         |
| G. International Assistance Programs:                 |                         |                          |         |           |
| 1. Foreign military sales credit:                     |                         |                          |         |           |
|   | Lending, net .....      | -106                     | -128    | -60       |
|   | Loans outstanding ..... | 188                      | 60      | —         |
| H. Small Business Administration:                     |                         |                          |         |           |
| 1. Section 503 guaranteed loans:                      |                         |                          |         |           |
|   | Lending, net .....      | —*                       | —*      | —         |
|   | Loans outstanding ..... | *                        | —       | —         |
| J. Postal Service:                                    |                         |                          |         |           |
| 1. Postal Service fund:                               |                         |                          |         |           |
|   | Lending, net .....      | 2,000                    | —       | —         |
|   | Loans outstanding ..... | 15,000                   | 15,000  | 15,000    |
| Total lending:  |                         |                          |         |           |
|   | Lending, net .....      | 10,719                   | 11,509  | 15,559    |
|   | Loans outstanding ..... | 67,792                   | 79,301  | 94,860    |

\*\$500,000 or less.

## Balance Sheet (in millions of dollars)

| Identification code 20-4521-0-4-803 |   | 2011 actual | 2012 actual |
|-------------------------------------|---|-------------|-------------|
| ASSETS:                             |   |             |             |
| Federal assets:                     |   |             |             |
| 1101                                | Fund balances with Treasury .....                               | 842         | 716         |
| Investments in US securities:       |   |             |             |
| 1102                                | Treasury securities, par (HOPE Bonds) .....                     | 493         | 493         |
| 1104                                | Agency securities, par .....                                    | 57,088      | 67,863      |
| 1106                                | Receivables, net .....  | 151         | 466         |
| 1999                                | Total assets .....  | 58,574      | 69,538      |
| LIABILITIES:                        |   |             |             |
| Federal liabilities:                |   |             |             |
| 2101                                | Accounts payable .....  | 187         | 357         |
| 2103                                | Borrowing from Treasury .....                                   | 45,809      | 57,666      |
| 2103                                | Borrowing from Civil Service Retirement & Disability Fund ..... | 8,441       | 7,111       |
| 2105                                | Unamortized Premium .....                                       | 138         | 102         |
| 2999                                | Total liabilities .....   | 54,575      | 65,236      |
| NET POSITION:                       |   |             |             |
| 3300                                | Cumulative results of operations .....                          | 3,999       | 4,302       |
| 4999                                | Total liabilities and net position .....                        | 58,574      | 69,538      |

## FEDERAL FINANCING BANK—Continued

## Object Classification (in millions of dollars)

| Identification code 20–4521–0–4–803                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 5           | 8       | 8         |
| 43.0 Interest and dividends .....                  | 2,063       | 1,573   | 2,080     |
| 99.9 Total new obligations .....                   | 2,068       | 1,581   | 2,088     |

ALCOHOL AND TOBACCO TAX AND TRADE  
BUREAU

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of carrying out section 1111 of the Homeland Security Act of 2002, including hire of passenger motor vehicles, \$96,211,000; of which not to exceed \$6,000 for official reception and representation expenses; not to exceed \$50,000 for cooperative research and development programs for laboratory services; and provision of laboratory assistance to State and local agencies with or without reimbursement: Provided, That, of the amounts provided under this heading, such sums as are necessary shall be available to fully support tax enforcement and compliance activities including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 20–1008–0–1–803       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Protect the Public .....             | 49          | 48      | 47        |
| 0002 Collect revenue .....                | 51          | 52      | 54        |
| 0192 Total direct program .....           | 100         | 100     | 101       |
| 0799 Total direct obligations .....       | 100         | 100     | 101       |
| 0801 Protect the Public .....             | 3           | 2       | 2         |
| 0802 Collect Revenue .....                | 4           | 3       | 3         |
| 0899 Total reimbursable obligations ..... | 7           | 5       | 5         |
| 0900 Total new obligations .....          | 107         | 105     | 106       |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 100 | 100 | 96  |
| 1121 Appropriations transferred from other accts [20–0913] ....    |     |     | 5   |
| 1160 Appropriation, discretionary (total) .....                    | 100 | 100 | 101 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 4   | 5   | 5   |
| 1701 Change in uncollected payments, Federal sources .....         | 3   |     |     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 7   | 5   | 5   |
| 1900 Budget authority (total) .....                                | 107 | 105 | 106 |
| 1930 Total budgetary resources available .....                     | 107 | 105 | 106 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 23   | 22   | 23   |
| 3010 Obligations incurred, unexpired accounts .....               | 107  | 105  | 106  |
| 3011 Obligations incurred, expired accounts .....                 | 1    |      |      |
| 3020 Outlays (gross) .....  | –108 | –104 | –106 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 22   | 23   | 23   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –2   | –4   | –4   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –3   |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1    |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –4   | –4   | –4   |

## Memorandum (non-add) entries:

|   |    |    |    |
|---|----|----|----|
| 3100 Obligated balance, start of year ..... | 21 | 18 | 19 |
| 3200 Obligated balance, end of year .....   | 18 | 19 | 19 |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                                   | 107 | 105 | 106 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....                  | 87  | 86  | 88  |
| 4011 Outlays from discretionary balances .....                       | 21  | 18  | 18  |
| 4020 Outlays, gross (total) .....                                    | 108 | 104 | 106 |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Baseline Program [Text] .....                                   | –2  | –1  | –1  |
| 4033 Baseline Program [Text] .....                                   | –3  | –4  | –4  |
| 4040 Offsets against gross budget authority and outlays (total) .... | –5  | –5  | –5  |
| Additional offsets against gross budget authority only:              |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –3  |     |     |
| 4052 Offsetting collections credited to expired accounts .....       | 1   |     |     |
| 4060 Additional offsets against budget authority only (total) .....  | –2  |     |     |
| 4070 Budget authority, net (discretionary) .....                     | 100 | 100 | 101 |
| 4080 Outlays, net (discretionary) .....                              | 103 | 99  | 101 |
| 4180 Budget authority, net (total) .....                             | 100 | 100 | 101 |
| 4190 Outlays, net (total) .....                                      | 103 | 99  | 101 |

The Alcohol and Tobacco Tax and Trade Bureau (TTB) enforces various Federal laws and regulations relating to alcohol and tobacco by working directly and in cooperation with other agencies to: (1) provide the most effective and efficient system for the collection of all revenue that is rightfully due, eliminate or prevent tax evasion and other criminal conduct, (2) prevent consumer deception relating to alcohol beverages, ensure that regulated alcohol and tobacco products comply with various Federal commodity, product integrity, and distribution requirements, and (3) provide high quality customer service while imposing the least regulatory burden.

The President's Budget proposes an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, to provide a statutory change that will allow adjustments to the discretionary caps for additional IRS appropriations, including \$5 million to be transferred to TTB to improve alcohol and tobacco enforcement and compliance in 2014. The cap adjustment is premised on fully funding the 2014 Budget request for TTB base resources. The new tax enforcement and compliance initiatives for TTB are to be funded via transfers from the IRS cap adjustments through 2023. The program integrity cap proposal entails 10 years of cap adjustments for TTB costing \$202 million while generating additional tax revenue of \$406 million, for a net savings of \$204 million. These estimates do not include the revenue effect from the deterrence component of these investments and other TTB enforcements programs, which is conservatively estimated to be three times the direct revenue impact. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

## Object Classification (in millions of dollars)

| Identification code 20–1008–0–1–803  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:  |             |         |           |
| Personnel compensation:  |             |         |           |
| 11.1 Full-time permanent .....   | 43          | 45      | 45        |
| 11.1 Full-time permanent (from IRS program integrity transfer) .....         |             |         | 2         |
| 11.5 Other personnel compensation .....                                      | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                                      | 44          | 46      | 48        |
| 12.1 Civilian personnel benefits .....                                       | 13          | 12      | 12        |
| 12.1 Civilian personnel benefits (from IRS program integrity transfer) ..... |             |         | 1         |
| 21.0 Travel and transportation of persons .....                              | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....  | 5           | 5       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges .....              | 1           | 2       | 1         |
| 25.1 Advisory and assistance services .....                                  | 7           |         |           |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 25.2 | Other services from non-Federal sources .....  | 12  | 23  | 22  |
| 25.3 | Other goods and services from Federal sources .....  | 8   | 8   | 6   |
| 25.3 | Other goods and services from Federal sources (from IRS<br>program integrity transfer) ..... |     |     | 2   |
| 25.7 | Operation and maintenance of equipment .....   | 3   |     |     |
| 26.0 | Supplies and materials .....   | 1   | 1   | 1   |
| 31.0 | Equipment .....  | 3   | 1   | 1   |
| 32.0 | Land and structures .....  | 1   |     |     |
| 99.0 | Direct obligations .....   | 100 | 100 | 101 |
| 99.0 | Reimbursable obligations .....   | 7   | 5   | 5   |
| 99.9 | Total new obligations .....  | 107 | 105 | 106 |

**Employment Summary**

| Identification code 20-1008-0-1-803                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....              | 471         | 476     | 473       |
| 1001 Direct civilian full-time equivalent employment <sup>1</sup> ..... |             |         | 35        |
| 2001 Reimbursable civilian full-time equivalent employment .....        | 10          | 15      | 9         |

<sup>1</sup>From IRS program integrity transfer**INTERNAL REVENUE COLLECTIONS FOR PUERTO RICO****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 20-5737-0-2-806                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                 |             |         |           |
| Receipts:   |             |         |           |
| 0200 Deposits, Internal Revenue Collections for Puerto Rico ..... | 376         | 616     | 433       |
| 0400 Total: Balances and collections .....                        | 376         | 616     | 433       |
| Appropriations:   |             |         |           |
| 0500 Internal Revenue Collections for Puerto Rico .....           | -376        | -616    | -433      |
| 0799 Balance, end of year .....                                   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 20-5737-0-2-806                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Internal revenue collections for Puerto Rico ..... | 376         | 616     | 433       |
| 0900 Total new obligations (object class 41.0) .....    | 376         | 616     | 433       |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, mandatory:                              |             |         |           |
| 1201 Appropriation (special or trust fund) .....        | 376         | 616     | 433       |
| 1260 Appropriations, mandatory (total) .....            | 376         | 616     | 433       |
| 1930 Total budgetary resources available .....          | 376         | 616     | 433       |
| <b>Change in obligated balance:</b>                     |             |         |           |
| Unpaid obligations:                                     |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....     | 376         | 616     | 433       |
| 3020 Outlays (gross) .....                              | -376        | -616    | -433      |
| <b>Budget authority and outlays, net:</b>               |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                      | 376         | 616     | 433       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....         | 376         | 616     | 433       |
| 4180 Budget authority, net (total) .....                | 376         | 616     | 433       |
| 4190 Outlays, net (total) .....                         | 376         | 616     | 433       |

Excise taxes collected under the Internal Revenue laws of the United States on articles produced in Puerto Rico and either transported to the United States or consumed on the island are covered-over (paid) to Puerto Rico. Excise taxes collected on articles produced in the U.S. Virgin Islands and transported to the United States are covered-over to the U.S. Virgin Islands. (26 U.S.C. 7652).

Excise taxes are imposed on rum at the generally applicable distilled spirits rate of \$13.50 per proof gallon. These excise tax

collections less estimated refunds, drawbacks, and certain administrative expenses are covered-over to Puerto Rico and the U.S. Virgin Islands under a permanent legislative provision at the lesser of a rate of \$10.50 per proof gallon or the current rate of tax imposed on a proof gallon (26 U.S.C. 7652(F)).

**BUREAU OF ENGRAVING AND PRINTING****Federal Funds****BUREAU OF ENGRAVING AND PRINTING FUND****Program and Financing** (in millions of dollars)

| Identification code 20-4502-0-4-803                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0801 Currency program .....   | 690         | 630     | 646       |
| 0803 Other programs .....   | 15          | 15      | 15        |
| 0900 Total new obligations .....                                    | 705         | 645     | 661       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 26          | 52      | 52        |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:      |             |         |           |
| 1700 Collected .....  | 716         | 645     | 661       |
| 1701 Change in uncollected payments, Federal sources .....          | 15          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 731         | 645     | 661       |
| 1900 Budget authority (total) .....                                 | 731         | 645     | 661       |
| 1930 Total budgetary resources available .....                      | 757         | 697     | 713       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 52          | 52      | 52        |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 140         | 116     |           |
| 3010 Obligations incurred, unexpired accounts .....                 | 705         | 645     | 661       |
| 3020 Outlays (gross) .....  | -729        | -761    | -661      |
| 3050 Unpaid obligations, end of year .....                          | 116         |         |           |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -40         | -55     | -55       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | -15         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | -55         | -55     | -55       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 100         | 61      | -55       |
| 3200 Obligated balance, end of year .....                           | 61          | -55     | -55       |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 731         | 645     | 661       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 702         | 645     | 661       |
| 4011 Outlays from discretionary balances .....                      | 27          | 116     |           |
| 4020 Outlays, gross (total) .....                                   | 729         | 761     | 661       |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  |             | -15     |           |
| 4033 Non-Federal sources .....                                      | -716        | -630    | -661      |
| 4040 Offsets against gross budget authority and outlays (total) ... | -716        | -645    | -661      |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -15         |         |           |
| 4080 Outlays, net (discretionary) .....                             | 13          | 116     |           |
| 4190 Outlays, net (total) .....                                     | 13          | 116     |           |

The Bureau of Engraving and Printing (BEP) designs, manufactures, and supplies Federal Reserve notes and other security instruments for various Federal agencies. In 2005, the BEP was given legal authority to print currency for foreign countries upon approval of the State Department. The operations of the Bureau are financed by means of a revolving fund established in accordance with the provisions of Public Law 81-656, August 4, 1950 (31 U.S.C. 181), which requires the Bureau to be reimbursed by customer agencies for all costs of manufacturing products and services performed. In 1977, Public Law 95-81 authorized the

## BUREAU OF ENGRAVING AND PRINTING FUND—Continued

Bureau to assess amounts to acquire capital equipment and provide for working capital needs.

BEP's strategic goals are to produce U.S. currency that functions flawlessly in commerce; create innovative currency designs to provide effective counterfeit deterrence and meaningful access to currency note usage for all; and achieve organizational excellence and customer satisfaction through balanced investment in people, processes, facilities, and technology. Other activities at the Bureau include engraving plates and dyes; manufacturing inks used to print security products; purchasing materials, supplies and equipment; and storing and delivering products in accordance with the requirements of customers. In addition, the Bureau provides technical assistance and advice to other Federal agencies in the design and production of documents, which, because of their innate value or other characteristics, require counterfeit deterrence.

During FY 2014, BEP expects to produce and deliver 8.0 billion notes to the Federal Reserve Board to meet currency demand. This represents a small increase in the number of notes produced from the 7.8 billion note program expected to be delivered in the FY 2013 program. In order to meet continued international demand, the anticipated denominations ordered will be the more costly higher denominated notes.

Over the last decade, the research and development of new technologies for possible use in currency production has become a priority at the Bureau as more sophisticated counterfeit deterrent features are needed to protect future generations of currency notes. Via its website, *www.bep.gov*, BEP seeks information on technologies that would enhance the longevity and durability of currency notes in circulation, as well as new technologies or materials that could be developed for future use in counterfeit deterrence. In addition, because aggressive law enforcement, effective note design, and public education are all essential components in an effective anti-counterfeiting program, the Bureau will continue its work in FY 2014 with the Advanced Counterfeit Deterrent (ACD) Steering Committee to research and develop future currency designs that will enhance and protect U.S. currency notes. The ACD Committee includes representatives from BEP, the Department of the Treasury, the U.S. Secret Service, and the Federal Reserve Board.

**Balance Sheet** (in millions of dollars)

| Identification code 20-4502-0-4-803                | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>                                     |             |             |
| 1206 Non-Federal assets: Receivables, net .....    | 169         | 169         |
| Other Federal assets:                              |             |             |
| 1802 Inventories and related properties .....      | 383         | 383         |
| 1803 Property, plant and equipment, net .....      | 160         | 160         |
| 1901 Other assets - Machinery repair parts .....   | 8           | 8           |
| 1999 Total assets .....                            | 720         | 720         |
| <b>LIABILITIES:</b>                                |             |             |
| 2101 Federal liabilities: Accounts payable .....   | 31          | 31          |
| Non-Federal liabilities:                           |             |             |
| 2201 Accounts payable .....                        | 24          | 24          |
| 2206 Pension and other actuarial liabilities ..... | 88          | 88          |
| 2999 Total liabilities .....                       | 143         | 143         |
| <b>NET POSITION:</b>                               |             |             |
| 3100 Unexpended appropriations .....               | 32          | 32          |
| 3300 Cumulative results of operations .....        | 545         | 545         |
| 3999 Total net position .....                      | 577         | 577         |
| 4999 Total liabilities and net position .....      | 720         | 720         |

**Object Classification** (in millions of dollars)

| Identification code 20-4502-0-4-803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 187         | 163     | 167       |
| 11.3 Other than full-time permanent .....                       | 2           | 1       | 2         |
| 11.5 Other personnel compensation .....                         | 11          | 20      | 17        |
| 11.9 Total personnel compensation .....                         | 200         | 184     | 186       |
| 12.1 Civilian personnel benefits .....                          | 54          | 48      | 48        |
| 21.0 Travel and transportation of persons .....                 | 3           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 6           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 19          | 14      | 14        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 81          | 69      | 73        |
| 26.0 Supplies and materials .....                               | 298         | 266     | 276       |
| 31.0 Equipment .....  | 43          | 60      | 60        |
| 99.9 Total new obligations .....                                | 705         | 645     | 661       |

**Employment Summary**

| Identification code 20-4502-0-4-803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,872       | 1,880   | 1,880     |

**UNITED STATES MINT****Federal Funds****UNITED STATES MINT PUBLIC ENTERPRISE FUND**

*Pursuant to section 5136 of title 31, United States Code, the United States Mint is provided funding through the United States Mint Public Enterprise Fund for costs associated with the production of circulating coins, numismatic coins, and protective services, including both operating expenses and capital investments: Provided, That the aggregate amount of new liabilities and obligations incurred during fiscal year 2014 under such section 5136 for circulating coinage and protective service capital investments of the United States Mint shall not exceed \$19,000,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 20-4159-0-3-803                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0806 Total Operating .....  | 3,075       | 3,495   | 2,907     |
| 0807 Circulating and Protection Capital .....                         | 20          | 19      | 19        |
| 0808 Numismatic Capital .....   | 11          | 11      | 11        |
| 0900 Total new obligations .....                                      | 3,106       | 3,525   | 2,937     |
| <b>Budgetary Resources:</b>   |             |         |           |
| <b>Unobligated balance:</b>   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 413         | 694     | 724       |
| 1021 Recoveries of prior year unpaid obligations .....                | 51          | 60      | 60        |
| 1022 Capital transfer of unobligated balances to general fund .....   | -77         | -30     | -30       |
| 1050 Unobligated balance (total) .....                                | 387         | 724     | 754       |
| <b>Budget authority:</b>  |             |         |           |
| <b>Spending authority from offsetting collections, discretionary:</b> |             |         |           |
| 1700 Collected .....  | 3,414       | 3,525   | 2,937     |
| 1701 Change in uncollected payments, Federal sources .....            | -1          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....    | 3,413       | 3,525   | 2,937     |
| 1930 Total budgetary resources available .....                        | 3,800       | 4,249   | 3,691     |
| <b>Memorandum (non-add) entries:</b>                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                 | 694         | 724     | 754       |
| <b>Change in obligated balance:</b>                                   |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                 | 346         | 276     | 225       |
| 3010 Obligations incurred, unexpired accounts .....                   | 3,106       | 3,525   | 2,937     |
| 3020 Outlays (gross) .....  | -3,125      | -3,516  | -2,997    |
| 3040 Total outlays (Gross) .....                                      | -51         | -60     | -60       |



|   |   |        |        |        |
|---|---|--------|--------|--------|
| 3050                                      | Unpaid obligations, end of year .....                           | 276    | 225    | 105    |
|   | Uncollected payments:   |        |        |        |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -7     | -6     | -6     |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 1      |        |        |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | -6     | -6     | -6     |
|   | Memorandum (non-add) entries:                                   |        |        |        |
| 3100                                      | Obligated balance, start of year .....                          | 339    | 270    | 219    |
| 3200                                      | Obligated balance, end of year .....                            | 270    | 219    | 99     |
| <b>Budget authority and outlays, net:</b> |   |        |        |        |
|   | Discretionary:  |        |        |        |
| 4000                                      | Budget authority, gross .....                                   | 3,413  | 3,525  | 2,937  |
|   | Outlays, gross:   |        |        |        |
| 4010                                      | Outlays from new discretionary authority .....                  | 3,066  | 3,166  | 2,638  |
| 4011                                      | Outlays from discretionary balances .....                       | 59     | 350    | 359    |
| 4020                                      | Outlays, gross (total) .....                                    | 3,125  | 3,516  | 2,997  |
|   | Offsets against gross budget authority and outlays:             |        |        |        |
|   | Offsetting collections (collected) from:                        |        |        |        |
| 4030                                      | Federal sources .....   | -4     |        |        |
| 4033                                      | Baseline Program [Non-Federal sources] .....                    | -3,281 | -3,525 | -2,937 |
| 4034                                      | Offsetting governmental collections .....                       | -129   |        |        |
| 4040                                      | Offsets against gross budget authority and outlays (total) .... | -3,414 | -3,525 | -2,937 |
|   | Additional offsets against gross budget authority only:         |        |        |        |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 1      |        |        |
| 4080                                      | Outlays, net (discretionary) .....                              | -289   | -9     | 60     |
| 4190                                      | Outlays, net (total) .....                                      | -289   | -9     | 60     |

The United States Mint mints and issues circulating coins, prepares and distributes numismatic items, and provides security and asset protection. Since 1996, the United States Mint operations have been funded through the Public Enterprise Fund (PEF), established by section 522 of Public Law 104-52 (codified at section 5136 of Title 31, United States Code). The United States Mint generates revenue through the sale of circulating coins to the Federal Reserve Banks (FRB), numismatic products to the public and bullion coins to authorized purchasers. The United States Mint submits annual audited financial statements to the Secretary of the Treasury and to Congress in support of the operations of the PEF. In FY 2012, the United States Mint transferred \$77 million to the General Fund.

The operations of the United States Mint are divided into two major components: circulating coinage and numismatic items. The sales of products from these two major components provide the financing source for the PEF; however, finances for the two components are accounted for separately. Receipts from circulating coinage operations may not be used to fund numismatic operations, nor may receipts from numismatic operations be used to fund circulating coinage operations.

**Circulating Coinage.**—This activity funds the manufacturing and distribution of circulating coins for sale to the Federal Reserve System in amounts necessary to meet the needs of the United States. In FY 2014, this activity is expected to manufacture 9.5 billion coins for sale to the Federal Reserve System. The FY 2014 Budget reflects production volumes that correspond to demand, as well as raw materials costs driven by commodity prices. In FY 2013 and FY 2014, the cost to mint and issue the one-cent and 5-cent denominations is expected to exceed their face values as has been the case for the past seven fiscal years.

The United States Mint receives funds from the Federal Reserve equal to face value of the circulating coins minted and issued, which is proprietarily reported as revenue. However for budgetary purposes, the United States Mint is credited with revenues equal to the full cost of producing and distributing the coins that are put into circulation, including the depreciation of plant and equipment. The difference between the face value receipts of the coins and the full costs of the coins is called seigniorage, which is considered an "other financing source." Seigniorage is deposited periodically to the General Fund. Any amounts used to finance the United States Mint's capital acquisitions would be recorded

as budget authority in the year that funds are obligated for this purpose and as receipts over the life of the asset.

The FY 2014 Budget includes a legislative proposal to limit the requirement that the number of \$1 coins minted and issued in a year with the Sacagawea-design on the obverse be not less than 20-percent of the total number of \$1 coins minted and issued in a year to \$1 coins minted and issued for circulation. The limitation of the 20-percent requirement to circulating coins avoids the need to mint and issue Native American \$1 Coins in excess of the amounts that numismatic customers demand. FRBs hold excessive inventories of \$1 coins because depository institutions' are re-depositing significant amounts of the coins with the FRBs. To address the excessive \$1 coin inventory, the United States Mint suspended the production of all Presidential \$1 Coins for circulation, and will mint and issue \$1 coins solely for numismatic purposes. The Budget also includes a proposal to allow the Secretary flexibility to determine the composition of coinage materials, which could reduce costs of production by millions of dollars annually and result in increased seigniorage transferred to the General Fund.

**Numismatic Items.**—This activity funds the manufacturing of numismatic items, which include collectible coins and sets, medals, bullion coins, and other products and accessories for sale to collectors and other members of the public who desire high-quality or investment-grade versions of the Nation's coinage. These products include annual proof and uncirculated sets; investment-grade silver and gold bullion coins; uncirculated silver and gold coins; proof silver, gold, and platinum coins; and commemorative coins and medals which are legislated to commemorate events or individuals. In FY 2014, the United States Mint will commence the National Baseball Hall of Fame Commemorative Coin Program (Public Law 112-152).

Prices for numismatic products are based on the estimated product cost plus a reasonable margin to assure that the numismatic program operates at no net cost to the taxpayer. Similarly, bullion coins are priced based on the market price of the precious metals plus a premium to cover manufacturing, marketing and distribution costs. Making numismatic products accessible, available, and affordable to Americans who choose to purchase them is the highest priority of the United States Mint's numismatic operations.

#### Balance Sheet (in millions of dollars)

| Identification code 20-4159-0-3-803              | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>                                   |             |             |
| Federal assets:                                  |             |             |
| 1101 Fund balances with Treasury .....           | 753         | 965         |
| Investments in US securities:                    |             |             |
| 1106 Receivables, net .....                      | 1           |             |
| 1107 Advances and prepayments .....              | 2           | 3           |
| 1206 Non-Federal assets: Receivables, net .....  | 18          | 12          |
| Other Federal assets:                            |             |             |
| 1802 Inventories and related properties .....    | 518         | 362         |
| 1803 Property, plant and equipment, net .....    | 186         | 183         |
| 1901 Other assets .....                          | 10,494      | 10,510      |
| 1999 Total assets .....                          | 11,972      | 12,035      |
| <b>LIABILITIES:</b>                              |             |             |
| 2101 Federal liabilities: Accounts payable ..... | 15          | 6           |
| Non-Federal liabilities:                         |             |             |
| 2201 Accounts payable .....                      | 45          | 22          |
| 2207 Other .....                                 | 10,568      | 10,562      |
| 2999 Total liabilities .....                     | 10,628      | 10,590      |
| <b>NET POSITION:</b>                             |             |             |
| 3300 Cumulative results of operations .....      | 1,344       | 1,445       |
| 4999 Total liabilities and net position .....    | 11,972      | 12,035      |

## UNITED STATES MINT PUBLIC ENTERPRISE FUND—Continued

## Object Classification (in millions of dollars)

| Identification code 20-4159-0-3-803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 141         | 142     | 143       |
| 11.3 Other than full-time permanent .....                       | 2           |         |           |
| 11.5 Other personnel compensation .....                         | 8           | 9       | 9         |
| 11.9 Total personnel compensation .....                         | 151         | 151     | 152       |
| 12.1 Civilian personnel benefits .....                          | 46          | 46      | 46        |
| 13.0 Benefits for former personnel .....                        |             | 2       | 1         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0 Transportation of things .....                             | 30          | 26      | 27        |
| 23.1 Rental payments to GSA .....                               | 1           |         |           |
| 23.2 Rental payments to others .....                            | 12          | 14      | 13        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 12          | 13      | 13        |
| 24.0 Printing and reproduction .....                            | 1           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 48          | 49      | 49        |
| 25.2 Other services from non-Federal sources .....              | 21          | 22      | 22        |
| 25.3 Other goods and services from Federal sources .....        | 22          | 21      | 22        |
| 25.4 Operation and maintenance of facilities .....              | 3           |         |           |
| 25.5 Research and development contracts .....                   | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 6           | 7       | 7         |
| 26.0 Supplies and materials .....                               | 2,715       | 3,136   | 2,548     |
| 31.0 Equipment .....  | 24          | 24      | 20        |
| 32.0 Land and structures .....                                  | 10          | 8       | 11        |
| 99.9 Total new obligations .....                                | 3,106       | 3,525   | 2,937     |

## Employment Summary

| Identification code 20-4159-0-3-803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,788       | 1,844   | 1,874     |

## INTERNAL REVENUE SERVICE

The Internal Revenue Service (IRS) collects the revenue that funds the government and administers the nation's tax laws. During FY 2012, the IRS processed 239 million tax returns and collected \$2.524 trillion in taxes (gross receipts before tax refunds), totaling 92 percent of Federal Government receipts.

The IRS taxpayer service program helps millions of taxpayers understand and meet their tax obligations. The IRS tax enforcement and compliance program deters taxpayers inclined to evade their responsibilities while vigorously pursuing those who violate tax laws.

The IRS Strategic Plan guides program and budget decisions and supports the Department of the Treasury Strategic Plan and Agency Priority Goals, including Increase Voluntary Tax Compliance. The IRS Strategic Plan recognizes the increasing complexity of tax laws, changing business models, expanding use of electronic data and related security risks, accelerating growth in international tax activities, and growing human capital challenges.

The IRS strategic goals are: (1) *Improve Service to Make Voluntary Compliance Easier* and (2) *Enforce the Law to Ensure Everyone Meets Their Obligations to Pay Taxes*.

To improve service to make voluntary compliance easier, the IRS must incorporate taxpayer perspectives to improve all service interactions; expedite and improve issue resolution across all interactions with taxpayers, making it easier to navigate the IRS; provide taxpayers with targeted, timely guidance and outreach; and strengthen partnerships with tax practitioners, tax preparers, and other third parties to ensure effective tax administration.

To enforce the law to ensure everyone meets their obligation to pay taxes, the IRS must proactively enforce the law in a timely manner while respecting taxpayer rights and minimizing taxpayer burden; expand enforcement approaches and tools; meet the

challenges of international tax administration; allocate compliance resources using a data-driven approach to address existing and emerging high-risk areas; continue focused oversight of the tax-exempt sector; and ensure that all tax practitioners, tax preparers, and other third parties in the tax system adhere to professional standards and follow the law.

To achieve its service and enforcement goals and be the best place to work in government, the IRS must build and deploy advanced information technology systems, processes, and tools that further improve IRS efficiency and productivity; ensure the privacy and security of data and the safety and security of employees; and use data and research across the organization to make more informed decisions and allocate resources.

The FY 2014 President's Budget provides \$12,861 million for the IRS to implement key strategic priorities.

**Enforcement Program.**—The 2014 Budget includes an Enforcement account increase of more than \$360 million from the 2012 enacted level to implement enacted legislation; protect revenue by identifying fraud and preventing issuance of questionable refunds including tax-related identity theft; increase compliance by addressing offshore tax evasion; make use of new information reporting requirements to reduce underreporting; strengthen examination and collection programs; expand enforcement efforts on noncompliance among corporate and high-wealth taxpayers; and strengthen return preparer compliance. This increase is supported by a program integrity cap adjustment totaling \$412 million, which includes funding for both the Enforcement (\$246 million) and the Operations Support accounts (\$166 million), including \$5 million in the Enforcement account to transfer to the Alcohol and Tobacco Tax and Trade Bureau (TTB) for high return on investment tax enforcement activities. The Budget proposes an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended, to provide a statutory change that will allow adjustments to the discretionary caps for additional IRS appropriations. To ensure full funding of the cost increases, this cap adjustment is permissible in 2014 only if the base level for the IRS Enforcement and Operations Support accounts are funded at \$9,736 million. The new FY 2014 enforcement initiatives funded out of this cap adjustment will generate roughly \$1.6 billion in additional annual enforcement revenue once the new hires reach full potential in FY 2016. The Budget also proposes new tax enforcement and compliance initiatives for IRS and TTB funded via cap adjustments through 2023. The proposal entails 10 years of cap adjustments costing \$13.8 billion while saving \$46.5 billion, for a net savings of \$32.7 billion. These estimates do not include the revenue effect from the deterrence component of these investments and other IRS enforcement programs, which is conservatively estimated to be at least three times the direct revenue impact. See additional discussion in the Budget Process chapter in the Analytical Perspectives volume.

**Taxpayer Service Program.**—The 2014 Budget includes a Taxpayer Services account increase of more than \$172 million from the FY 2012 enacted level. The 2014 Budget will allow the IRS to further improve customer service to meet taxpayer demand and continue delivering services to taxpayers using a variety of in-person, telephone, and web-based methods to help taxpayers understand their obligations, correctly file their returns, and pay taxes due in a timely manner. The IRS is committed to increasing the service options available through the IRS web site, allowing more taxpayers to reach the IRS through the Internet. Notably, in 2012, there were 372 million visits to [www.irs.gov](http://www.irs.gov), and more than 132 million taxpayers checked their refund status by accessing *Where's My Refund?* in English or Spanish on the IRS website.

Taxpayers also can use automated features found at 1-800-829-1040.

**Modernization Program.**—IRS modernization efforts focus on building and deploying advanced information technology systems, processes, and tools to improve efficiency and productivity. The FY 2014 Budget provides \$300.8 million for the Business Systems Modernization Program to build on the momentum of implementing new daily processing during the calendar year 2012 filing season and the delivery of a new database for individual taxpayer account data by investing in state-of-the-art capabilities, such as online services, that leverage the database infrastructure that is now in place. IRS processing systems are now accepting all 1040 forms electronically and, for the first time, feeding those returns through a single, consolidated taxpayer account database. The IRS also will focus effort on the second phase of the CADE 2 initiative, which addresses the risks associated with the continued legacy of antiquated technologies and programming languages in the current IRS environment. This next phase, known as Transition State 2, will ensure the long-term viability of the IRS tax processing systems.

### Federal Funds

#### TAXPAYER SERVICES

*For necessary expenses of the Internal Revenue Service to provide taxpayer services, including pre-filing assistance and education, filing and account services, taxpayer advocacy services, and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, and to administer the tax credit in title II of division A of the Trade Act of 2002 (Public Law 107-210), \$2,412,576,000, of which not less than \$5,600,000 shall be for the Tax Counseling for the Elderly Program, of which not less than \$9,750,000 shall be available for low-income taxpayer clinic grants, of which not less than \$18,000,000, to remain available until September 30, 2015, shall be available for a Community Volunteer Income Tax Assistance matching grants program for tax return preparation assistance.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 20-0912-0-1-803   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Pre-filing taxpayer assistance and education .....                         | 652         | 642     | 670       |
| 0002 Filing and account services .....  | 1,763       | 1,810   | 1,894     |
| 0100 Subtotal, direct programs .....  | 2,415       | 2,452   | 2,564     |
| 0799 Total direct obligations .....   | 2,415       | 2,452   | 2,564     |
| 0801 Reimbursable program .....   | 21          | 21      | 21        |
| 0900 Total new obligations .....  | 2,436       | 2,473   | 2,585     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 11          | 7       | 7         |
| 1011 Unobligated balance transfer from other accts [20-5432] ....               | 174         | 198     | 151       |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 8           |         |           |
| 1029 Other balances withdrawn .....   | -1          |         |           |
| 1050 Unobligated balance (total) .....  | 192         | 205     | 158       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 2,240       | 2,254   | 2,412     |
| 1160 Appropriation, discretionary (total) .....                                 | 2,240       | 2,254   | 2,412     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 21          | 21      | 21        |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 21          | 21      | 21        |
| 1900 Budget authority (total) .....   | 2,261       | 2,275   | 2,433     |

|   |       |       |       |
|---|-------|-------|-------|
| 1930 Total budgetary resources available .....        | 2,453 | 2,480 | 2,591 |
| Memorandum (non-add) entries:                         |       |       |       |
| 1940 Unobligated balance expiring .....               | -10   |       |       |
| 1941 Unexpired unobligated balance, end of year ..... | 7     | 7     | 6     |

#### Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 190    | 200    | 260    |
| 3010 Obligations incurred, unexpired accounts .....             | 2,436  | 2,473  | 2,585  |
| 3011 Obligations incurred, expired accounts .....               | 7      |        |        |
| 3020 Outlays (gross) .....                                      | -2,426 | -2,413 | -2,551 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -7     |        |        |
| 3050 Unpaid obligations, end of year .....                      | 200    | 260    | 294    |
| Memorandum (non-add) entries:                                   |        |        |        |
| 3100 Obligated balance, start of year .....                     | 190    | 200    | 260    |
| 3200 Obligated balance, end of year .....                       | 200    | 260    | 294    |

#### Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 2,261 | 2,275 | 2,433 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 2,052 | 2,064 | 2,207 |
| 4011 Outlays from discretionary balances .....                       | 374   | 349   | 344   |
| 4020 Outlays, gross (total) .....                                    | 2,426 | 2,413 | 2,551 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | -26   | -21   | -21   |
| 4033 Non-Federal sources .....                                       | -2    |       |       |
| 4040 Offsets against gross budget authority and outlays (total) .... | -28   | -21   | -21   |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 7     |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 2,240 | 2,254 | 2,412 |
| 4080 Outlays, net (discretionary) .....                              | 2,398 | 2,392 | 2,530 |
| 4180 Budget authority, net (total) .....                             | 2,240 | 2,254 | 2,412 |
| 4190 Outlays, net (total) .....                                      | 2,398 | 2,392 | 2,530 |

This appropriation provides resources for taxpayer service programs, which collectively focus on helping taxpayers understand their tax obligations, correctly file their returns, and pay taxes due in a timely manner. The appropriation also supports a number of other activities, including forms and publications; processing of tax returns and related documents; filing and account services; and taxpayer advocacy services.

#### Object Classification (in millions of dollars)

| Identification code 20-0912-0-1-803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,544       | 1,577   | 1,649     |
| 11.3 Other than full-time permanent .....                       | 47          | 45      | 45        |
| 11.5 Other personnel compensation .....                         | 97          | 90      | 99        |
| 11.9 Total personnel compensation .....                         | 1,688       | 1,712   | 1,793     |
| 12.1 Civilian personnel benefits .....                          | 536         | 544     | 556       |
| 13.0 Benefits for former personnel .....                        | 5           |         |           |
| 21.0 Travel and transportation of persons .....                 | 18          | 25      | 28        |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 24.0 Printing and reproduction .....                            | 8           | 9       | 9         |
| 25.1 Advisory and assistance services .....                     | 32          | 15      | 15        |
| 25.2 Other services from non-Federal sources .....              | 25          | 43      | 47        |
| 25.3 Other goods and services from Federal sources .....        | 61          | 62      | 66        |
| 25.8 Subsistence and support of persons .....                   |             | 2       | 2         |
| 26.0 Supplies and materials .....                               | 7           | 7       | 8         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                 | 30          | 28      | 34        |
| 42.0 Insurance claims and indemnities .....                     |             | 1       | 1         |
| 99.0 Direct obligations .....                                   | 2,414       | 2,452   | 2,563     |
| 99.0 Reimbursable obligations .....                             | 21          | 21      | 21        |
| 99.5 Below reporting threshold .....                            | 1           |         | 1         |
| 99.9 Total new obligations .....                                | 2,436       | 2,473   | 2,585     |

#### Employment Summary

| Identification code 20-0912-0-1-803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 30,855      | 31,083  | 32,781    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 456         | 453     | 453       |

TAXPAYER SERVICES—Continued  
Employment Summary—Continued

| Identification code 20–0912–0–1–803                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 3001 Allocation account civilian full-time equivalent employment ..... | 34          |         |           |

## ENFORCEMENT

*For necessary expenses for tax enforcement activities of the Internal Revenue Service to determine and collect owed taxes, to provide legal and litigation support, to conduct criminal investigations, to enforce criminal statutes related to violations of internal revenue laws and other financial crimes, to purchase (for police-type use, not to exceed 850) and hire passenger motor vehicles (31 U.S.C. 1343(b)), and to provide other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, \$5,666,787,000, of which not less than \$60,257,000 shall be for the Interagency Crime and Drug Enforcement program: Provided, That, of the amounts provided under this heading, not less than \$245,904,000, of which \$5,000,000 shall be transferred to the Alcohol and Tobacco Tax and Trade Bureau, shall be for an additional appropriation for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 20–0913–0–1–999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Investigations .....   | 646         | 623     | 657       |
| 0002 Exam and Collections .....   | 4,493       | 4,578   | 4,842     |
| 0003 Regulatory .....   | 163         | 173     | 181       |
| 0100 Subtotal, Direct program .....   | 5,302       | 5,374   | 5,680     |
| 0799 Total direct obligations .....   | 5,302       | 5,374   | 5,680     |
| 0801 Reimbursable program .....   | 64          | 65      | 65        |
| 0900 Total new obligations .....  | 5,366       | 5,439   | 5,745     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 3           | 2       |           |
| 1011 Unobligated balance transfer from other accts [20–5432] .....              | 17          | 41      | 18        |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 10          |         |           |
| 1050 Unobligated balance (total) .....  | 30          | 43      | 18        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 5,299       | 5,331   | 5,667     |
| 1120 Appropriations transferred to other accts [20–1008] .....                  |             |         | –5        |
| 1121 Appropriations transferred from other accts [20–5432] .....                | 3           |         |           |
| 1160 Appropriation, discretionary (total) .....                                 | 5,302       | 5,331   | 5,662     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 32          | 65      | 65        |
| 1701 Change in uncollected payments, Federal sources .....                      | 32          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 64          | 65      | 65        |
| 1900 Budget authority (total) .....   | 5,366       | 5,396   | 5,727     |
| 1930 Total budgetary resources available .....                                  | 5,396       | 5,439   | 5,745     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –28         |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 2           |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 482         | 447     | 472       |
| 3010 Obligations incurred, unexpired accounts .....                             | 5,366       | 5,439   | 5,745     |
| 3011 Obligations incurred, expired accounts .....                               | 11          |         |           |
| 3020 Outlays (gross) .....  | –5,391      | –5,414  | –5,684    |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | –21         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 447         | 472     | 533       |

|   |     |     |     |
|---|-----|-----|-----|
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –43 | –32 | –32 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –32 |     |     |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 43  |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –32 | –32 | –32 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 439 | 415 | 440 |
| 3200 Obligated balance, end of year .....                         | 415 | 440 | 501 |

## Budget authority and outlays, net:

|  |       |       |       |
|--|-------|-------|-------|
| Discretionary:   |       |       |       |
| 4000 Budget authority, gross .....                                   | 5,366 | 5,396 | 5,727 |
| Outlays, gross:  |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 4,931 | 4,952 | 5,257 |
| 4011 Outlays from discretionary balances .....                       | 460   | 462   | 427   |
| 4020 Outlays, gross (total) .....                                    | 5,391 | 5,414 | 5,684 |
| Offsets against gross budget authority and outlays:                  |       |       |       |
| Offsetting collections (collected) from:                             |       |       |       |
| 4030 Federal sources .....   | –74   | –64   | –64   |
| 4033 Non-Federal sources .....                                       | –10   | –1    | –1    |
| 4040 Offsets against gross budget authority and outlays (total) .... | –84   | –65   | –65   |
| Additional offsets against gross budget authority only:              |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –32   |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 52    |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | 20    |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 5,302 | 5,331 | 5,662 |
| 4080 Outlays, net (discretionary) .....                              | 5,307 | 5,349 | 5,619 |
| 4180 Budget authority, net (total) .....                             | 5,302 | 5,331 | 5,662 |
| 4190 Outlays, net (total) .....                                      | 5,307 | 5,349 | 5,619 |

This appropriation provides resources for the examination of tax returns, both domestic and international; the administrative and judicial settlement of taxpayer appeals of examination findings; technical rulings; monitoring employee pension plans; determining qualifications of organizations seeking tax-exempt status; examining the tax returns of exempt organizations; enforcing statutes relating to detection and investigation of criminal violations of the internal revenue laws and other financial crimes; identifying underreporting of tax obligations; securing unfiled tax returns; and collecting unpaid accounts. Further, the 2014 Budget protects revenue by identifying fraud and preventing the issuance of erroneous refund payments, including tax-related identity theft, and strengthens return preparer compliance. A portion of the appropriation (\$246 million) is requested as part of the \$412 million total program integrity cap adjustment that will reduce the deficit through above-base funding for high return on investment (ROI) tax enforcement and compliance initiatives, including \$5 million to transfer to the Alcohol and Tobacco Tax and Trade Bureau (TTB). In conjunction with specified funds provided to the IRS Operations Support account, this increment will support tax compliance initiatives expected to generate high ROI in the form of increased tax revenues, with the 10-year policy generating over \$46 billion in additional revenues over 10 years, or nearly \$33 billion when costs are taken into account. Language presented in this account, the Operations Support account, and Section 125 of the Department of the Treasury's Administrative Provisions is provided to effectuate the cap adjustment in conjunction with an amendment to section 251 of the Balanced Budget and Emergency Deficit Control Act (BBEDCA) of 1985, as amended.

## Object Classification (in millions of dollars)

| Identification code 20–0913–0–1–999           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                           |             |         |           |
| Personnel compensation:                       |             |         |           |
| 11.1 Full-time permanent .....                | 3,590       | 3,648   | 3,830     |
| 11.3 Other than full-time permanent .....     | 40          | 45      | 45        |
| 11.5 Other personnel compensation .....       | 164         | 161     | 167       |
| 11.8 Special personal services payments ..... | 16          | 18      | 19        |
| 11.9 Total personnel compensation .....       | 3,810       | 3,872   | 4,061     |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 12.1 | Civilian personnel benefits .....                          | 1,159 | 1,193 | 1,273 |
| 13.0 | Benefits for former personnel .....                        | 15    | ..... | ..... |
| 21.0 | Travel and transportation of persons .....                 | 90    | 130   | 158   |
| 22.0 | Transportation of things .....                             | 2     | 3     | 5     |
| 23.2 | Rental payments to others .....                            | ..... | 1     | 1     |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 5     | 6     | 6     |
| 24.0 | Printing and reproduction .....                            | 5     | 4     | 4     |
| 25.1 | Advisory and assistance services .....                     | 75    | 21    | 24    |
| 25.2 | Other services from non-Federal sources .....              | 44    | 51    | 55    |
| 25.3 | Other goods and services from Federal sources .....        | 43    | 47    | 48    |
| 25.5 | Research and development contracts .....                   | 4     | 3     | 3     |
| 25.7 | Operation and maintenance of equipment .....               | 2     | 1     | 2     |
| 25.8 | Subsistence and support of persons .....                   | 1     | 2     | 3     |
| 26.0 | Supplies and materials .....                               | 27    | 22    | 25    |
| 31.0 | Equipment .....  | 9     | 4     | 9     |
| 32.0 | Land and structures .....                                  | ..... | 1     | ..... |
| 42.0 | Insurance claims and indemnities .....                     | 2     | 2     | 2     |
| 91.0 | Unvouchered .....  | 9     | 11    | 1     |
| 99.0 | Direct obligations .....                                   | 5,302 | 5,374 | 5,680 |
| 99.0 | Reimbursable obligations .....                             | 64    | 64    | 65    |
| 99.5 | Below reporting threshold .....                            | ..... | 1     | ..... |
| 99.9 | Total new obligations .....                                | 5,366 | 5,439 | 5,745 |

**Employment Summary**

| Identification code 20–0913–0–1–999                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 47,313      | 46,986  | 50,141    |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 143         | 143     | 143       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 179         | 5       | 5         |

**HEALTH INSURANCE TAX CREDIT ADMINISTRATION****Program and Financing** (in millions of dollars)

| Identification code 20–0928–0–1–803                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 6           | 1       | .....     |
| 3020 Outlays (gross) .....                            | –5          | –1      | .....     |
| 3050 Unpaid obligations, end of year .....            | 1           | .....   | .....     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 6           | 1       | .....     |
| 3200 Obligated balance, end of year .....             | 1           | .....   | .....     |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 5           | 1       | .....     |
| 4190 Outlays, net (total) .....                       | 5           | 1       | .....     |

This appropriation provided operating resources to administer the advance payment feature of the Trade Adjustment Assistance health coverage tax credit (HCTC) program, which assists dislocated workers with their health insurance premiums. The tax credit program was enacted by the Trade Act of 2002 (Public Law 107–210) and became effective in August of 2003. In FY 2012, administrative resources for the program were moved to the Taxpayer Services appropriation under the Consolidated Appropriations Act of 2012 (Public Law 112–74). This consolidation was made in advance of the program's termination effective January 1, 2014 as provided by the Trade Adjustment Assistance Extension Act of 2011 (Public Law 112–40). Beginning January 1, 2014, health care premium tax credits will be available to qualified individuals under the Patient Protection and Affordable Care Act (Public Law 111–148).

**OPERATIONS SUPPORT**

For necessary expenses of the Internal Revenue Service to support taxpayer services and enforcement programs, including rent payments; facilities services; printing; postage; physical security; headquarters and other

IRS-wide administration activities; research and statistics of income; telecommunications; information technology development, enhancement, operations, maintenance, and security; the hire of passenger motor vehicles (31 U.S.C. 1343(b)); and other services as authorized by 5 U.S.C. 3109, at such rates as may be determined by the Commissioner, \$4,480,843,000, of which up to \$250,000,000 shall remain available until September 30, 2015, for information technology support; of which up to \$65,000,000 shall remain available until expended for acquisition of real property, equipment, construction and renovation of facilities; of which not to exceed \$1,000,000 shall remain available until September 30, 2016, for research; of which not less than \$2,000,000 shall be for the Internal Revenue Service Oversight Board; of which not to exceed \$25,000 shall be for official reception and representation expenses: Provided, That not later than 30 days after the end of each quarter of each fiscal year, the Internal Revenue Service shall submit a report to the House and Senate Committees on Appropriations and the Comptroller General of the United States detailing the cost and schedule performance for its major information technology investments, including the purpose and life-cycle stages of the investments; the reasons for any cost and schedule variances; the risks of such investments and strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter: Provided further, That the Internal Revenue Service shall include, in its budget justification for fiscal year 2015, a summary of cost and schedule performance information for its major information technology systems: Provided, That, of the amounts provided under this heading, such sums as are necessary shall be available to fully support tax enforcement and compliance activities, including not less than \$166,086,000 for an additional appropriation for tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 20–0919–0–1–803   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0002 Infrastructure .....   | 952         | 971     | 933       |
| 0003 Shared Services and Support .....  | 1,204       | 1,273   | 1,333     |
| 0004 Information Services .....   | 1,835       | 2,174   | 2,192     |
| 0100 Subtotal, direct programs .....  | 3,991       | 4,418   | 4,458     |
| 0799 Total direct obligations .....   | 3,991       | 4,418   | 4,458     |
| 0801 Reimbursable program .....   | 38          | 23      | 24        |
| 0900 Total new obligations .....  | 4,029       | 4,441   | 4,482     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 81          | 177     | 2         |
| 1011 Unobligated balance transfer from other accts [20–5432] .....              | 81          | 114     | 20        |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 22          | .....   | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                 | –1          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                          | 2           | .....   | .....     |
| 1029 Other balances withdrawn .....   | –7          | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 178         | 291     | 22        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 3,947       | 3,971   | 4,481     |
| 1121 Appropriations transferred from other accts [20–5432] .....                | 51          | 158     | 88        |
| 1160 Appropriation, discretionary (total) .....                                 | 3,998       | 4,129   | 4,569     |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 30          | 23      | 24        |
| 1701 Change in uncollected payments, Federal sources .....                      | 8           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 38          | 23      | 24        |
| 1900 Budget authority (total) .....   | 4,036       | 4,152   | 4,593     |
| 1930 Total budgetary resources available .....                                  | 4,214       | 4,443   | 4,615     |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | –8          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....                           | 177         | 2       | 133       |

OPERATIONS SUPPORT—Continued  
Program and Financing—Continued

| Identification code 20-0919-0-1-803                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 949         | 886     | 1,318     |
| 3010 Obligations incurred, unexpired accounts .....                  | 4,029       | 4,441   | 4,482     |
| 3011 Obligations incurred, expired accounts .....                    | 20          |         |           |
| 3020 Outlays (gross) .....   | -4,042      | -4,009  | -4,361    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -2          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -68         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 886         | 1,318   | 1,439     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -9          | -9      | -9        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -8          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 8           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -9          | -9      | -9        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 940         | 877     | 1,309     |
| 3200 Obligated balance, end of year .....                            | 877         | 1,309   | 1,430     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 4,036       | 4,152   | 4,593     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 3,177       | 3,264   | 3,612     |
| 4011 Outlays from discretionary balances .....                       | 865         | 745     | 749       |
| 4020 Outlays, gross (total) .....                                    | 4,042       | 4,009   | 4,361     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -37         | -22     | -23       |
| 4033 Non-Federal sources .....                                       | -4          | -1      | -1        |
| 4040 Offsets against gross budget authority and outlays (total) .... | -41         | -23     | -24       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -8          |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 11          |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | 3           |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 3,998       | 4,129   | 4,569     |
| 4080 Outlays, net (discretionary) .....                              | 4,001       | 3,986   | 4,337     |
| 4180 Budget authority, net (total) .....                             | 3,998       | 4,129   | 4,569     |
| 4190 Outlays, net (total) .....                                      | 4,001       | 3,986   | 4,337     |

This appropriation provides resources for support functions that are essential to the successful operation of IRS programs. These functions include: overall planning and direction of the IRS; shared service support related to facilities maintenance, rent payments, printing, postage and security; resources for headquarters management activities such as communications and liaison, finance, human resources, equity, diversity and inclusion; research and statistics of income; and necessary expenses for telecommunications support and the development and maintenance of IRS operational information systems. This appropriation also includes specific funds to support multi-year facility and real estate planning to improve the IRS investment process, as well as funds needed to implement an array of significant new tax legislation. A portion of the appropriation (\$166 million) is requested as part of the \$412 million program integrity cap adjustment that will reduce the deficit through above-base funding for high return on investment (ROI) tax enforcement and compliance programs. In conjunction with specified funds provided to the IRS Enforcement account, this increment will support new tax compliance initiatives that are expected to generate high returns on investment in the form of increased tax revenues, with the 10-year cap adjustment proposal through 2023 generating more than \$46 billion in additional revenue over the budget window, or nearly \$33 billion in net savings when the costs of the adjustments are taken into account.

## Object Classification (in millions of dollars)

| Identification code 20-0919-0-1-803                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,063       | 1,118   | 1,218     |
| 11.3 Other than full-time permanent .....                       | 8           | 10      | 10        |
| 11.5 Other personnel compensation .....                         | 27          | 31      | 31        |
| 11.9 Total personnel compensation .....                         | 1,098       | 1,159   | 1,259     |
| 12.1 Civilian personnel benefits .....                          | 366         | 380     | 419       |
| 13.0 Benefits for former personnel .....                        | 73          | 49      | 49        |
| 21.0 Travel and transportation of persons .....                 | 24          | 34      | 36        |
| 22.0 Transportation of things .....                             | 18          | 21      | 20        |
| 23.1 Rental payments to GSA .....                               | 662         | 649     | 645       |
| 23.2 Rental payments to others .....                            | 13          | 13      | 14        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 382         | 381     | 365       |
| 24.0 Printing and reproduction .....                            | 22          | 23      | 26        |
| 25.1 Advisory and assistance services .....                     | 519         | 724     | 589       |
| 25.2 Other services from non-Federal sources .....              | 74          | 130     | 173       |
| 25.3 Other goods and services from Federal sources .....        | 65          | 54      | 61        |
| 25.4 Operation and maintenance of facilities .....              | 168         | 177     | 179       |
| 25.6 Medical care .....   | 14          | 14      | 16        |
| 25.7 Operation and maintenance of equipment .....               | 83          | 119     | 116       |
| 26.0 Supplies and materials .....                               | 28          | 39      | 41        |
| 31.0 Equipment .....  | 341         | 394     | 402       |
| 32.0 Land and structures .....                                  | 39          | 58      | 48        |
| 42.0 Insurance claims and indemnities .....                     | 2           |         |           |
| 99.0 Direct obligations .....                                   | 3,991       | 4,418   | 4,458     |
| 99.0 Reimbursable obligations .....                             | 37          | 23      | 23        |
| 99.5 Below reporting threshold .....                            | 1           |         | 1         |
| 99.9 Total new obligations .....                                | 4,029       | 4,441   | 4,482     |

## Employment Summary

| Identification code 20-0919-0-1-803                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 11,516      | 12,240  | 13,143    |
| 2001 Reimbursable civilian full-time equivalent employment .....       | 124         | 116     | 116       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 455         |         |           |

## BUSINESS SYSTEMS MODERNIZATION

*For necessary expenses of the Internal Revenue Service's business systems modernization program, \$300,827,000, to remain available until September 30, 2016, for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including related Internal Revenue Service labor costs, and contractual costs associated with operations authorized by 5 U.S.C. 3109: Provided, That not later than 30 days after the end of each quarter of each fiscal year, the Internal Revenue Service shall submit a report to the House and Senate Committees on Appropriations and the Comptroller General of the United States detailing the cost and schedule performance for CADE 2 and Modernized e-File information technology investments, including the purposes and life-cycle stages of the investments; the reasons for any cost and schedule variances; the risks of such investments and the strategies the Internal Revenue Service is using to mitigate such risks; and the expected developmental milestones to be achieved and costs to be incurred in the next quarter.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 20-0921-0-1-803                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Business Systems Modernization .....              | 351         | 325     | 307       |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 118         | 97      | 104       |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           |         |           |

|   |  |      |      |      |
|---|--|------|------|------|
| 1050                                      | Unobligated balance (total) .....                            | 119  | 97   | 104  |
|   | Budget authority:  |      |      |      |
|   | Appropriations, discretionary:                               |      |      |      |
| 1100                                      | Appropriation .....  | 330  | 332  | 301  |
| 1160                                      | Appropriation, discretionary (total) .....                   | 330  | 332  | 301  |
| 1930                                      | Total budgetary resources available .....                    | 449  | 429  | 405  |
|   | Memorandum (non-add) entries:                                |      |      |      |
| 1940                                      | Unobligated balance expiring .....                           | -1   |      |      |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 97   | 104  | 98   |
| <b>Change in obligated balance:</b>       |  |      |      |      |
|   | Unpaid obligations:  |      |      |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 141  | 132  | 110  |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 351  | 325  | 307  |
| 3020                                      | Outlays (gross) .....  | -357 | -347 | -326 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -1   |      |      |
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....   | -2   |      |      |
| 3050                                      | Unpaid obligations, end of year .....                        | 132  | 110  | 91   |
|   | Memorandum (non-add) entries:                                |      |      |      |
| 3100                                      | Obligated balance, start of year .....                       | 141  | 132  | 110  |
| 3200                                      | Obligated balance, end of year .....                         | 132  | 110  | 91   |
| <b>Budget authority and outlays, net:</b> |  |      |      |      |
|   | Discretionary:   |      |      |      |
| 4000                                      | Budget authority, gross .....                                | 330  | 332  | 301  |
|   | Outlays, gross:  |      |      |      |
| 4010                                      | Outlays from new discretionary authority .....               | 158  | 159  | 144  |
| 4011                                      | Outlays from discretionary balances .....                    | 199  | 188  | 182  |
| 4020                                      | Outlays, gross (total) .....                                 | 357  | 347  | 326  |
| 4180                                      | Budget authority, net (total) .....                          | 330  | 332  | 301  |
| 4190                                      | Outlays, net (total) .....                                   | 357  | 347  | 326  |

This appropriation provides resources for the planning and capital asset acquisition of information technology to modernize the IRS business systems, including labor and related contractual costs. The IRS uses a formal methodology to evaluate, prioritize, approve, and fund its portfolio of Business Systems Modernization investments. This methodology provides a documented, repeatable, and measurable process for managing investments throughout their life cycle. The Government Accountability Office on a regular basis reviews the status of the key Business Systems Modernization investments and the IRS submits quarterly information technology investment reports to the House and Senate Committees on Appropriations.

IRS modernization efforts focus on building and deploying advanced information technology systems, processes, and tools to improve efficiency and productivity. In 2012, the IRS delivered the most significant update to its core tax processing system in decades. Since the 1960s, the IRS has processed individual taxpayer returns on a weekly batch cycle, which starting in 2012 was reduced to a daily processing cycle. The daily processing capability enhances IRS tax administration and improves customer service by allowing faster refunds for more taxpayers, more timely account updates, and faster issuance of taxpayer notices. In addition, IRS processing systems are accepting all 1040-family forms and schedules electronically through a modernized e-filing capability.

**Object Classification** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 20-0921-0-1-803                             | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 66          | 57      | 56        |
| 11.3 Other than full-time permanent .....                       | 1           | 2       | 1         |
| 11.5 Other personnel compensation .....                         | 3           | 4       | 3         |
| 11.9 Total personnel compensation .....                         | 70          | 63      | 60        |
| 12.1 Civilian personnel benefits .....                          | 18          | 16      | 16        |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             | 2       | 1         |
| 25.1 Advisory and assistance services .....                     | 213         | 178     | 184       |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       |           |
| 25.7 Operation and maintenance of equipment .....               | 3           | 4       | 2         |

|      |                                 |     |     |     |
|------|---------------------------------|-----|-----|-----|
| 31.0 | Equipment .....                 | 45  | 59  | 42  |
| 99.0 | Direct obligations .....        | 351 | 325 | 306 |
| 99.5 | Below reporting threshold ..... |     |     | 1   |
| 99.9 | Total new obligations .....     | 351 | 325 | 307 |

**Employment Summary**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 20-0921-0-1-803                        | 2012 actual | 2013 CR | 2014 est. |
| 1001 Direct civilian full-time equivalent employment ..... | 596         | 513     | 513       |

**BUILD AMERICA BOND PAYMENTS, RECOVERY ACT****Program and Financing** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 20-0935-0-1-806                  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 3,749       | 4,334   | 4,334     |
| 0900 Total new obligations (object class 41.0) ..... | 3,749       | 4,334   | 4,334     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 3,749       | 4,334   | 4,334     |
| 1260 Appropriations, mandatory (total) .....         | 3,749       | 4,334   | 4,334     |
| 1930 Total budgetary resources available .....       | 3,749       | 4,334   | 4,334     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 3,749       | 4,334   | 4,334     |
| 3020 Outlays (gross) .....                           | -3,749      | -4,334  | -4,334    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 3,749       | 4,334   | 4,334     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 3,749       | 4,334   | 4,334     |
| 4180 Budget authority, net (total) .....             | 3,749       | 4,334   | 4,334     |
| 4190 Outlays, net (total) .....                      | 3,749       | 4,334   | 4,334     |

The American Recovery and Reinvestment Act of 2009 (Public Law 111-5), Section 1531, allows State and local governments to issue Build America Bonds through December 31, 2010. These tax credit bonds, which include Recovery Zone Bonds, differ from tax-exempt governmental obligation bonds in two principal ways: (1) interest paid on tax credit bonds is taxable; and (2) a portion of the interest paid on tax credit bonds takes the form of a federal tax credit. The bond issuer may elect to receive a direct payment in the amount of the tax credit for obligations issued before January 1, 2011.

**AMERICA FAST FORWARD BONDS**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 20-0953-4-1-806                  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>              |             |         |           |
| 0101 Direct program activity .....                   |             |         | 686       |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 686       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 686       |
| 1260 Appropriations, mandatory (total) .....         |             |         | 686       |
| 1930 Total budgetary resources available .....       |             |         | 686       |

AMERICA FAST FORWARD BONDS—Continued  
Program and Financing—Continued

| Identification code 20–0953–4–1–806       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts ..... |             |         | 686       |
| 3020                                      | Outlays (gross) .....                          |             |         | –686      |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Mandatory:                                |  |             |         |           |
| 4090                                      | Budget authority, gross .....                  |             |         | 686       |
| Outlays, gross:                           |  |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....     |             |         | 686       |
| 4180                                      | Budget authority, net (total) .....            |             |         | 686       |
| 4190                                      | Outlays, net (total) .....                     |             |         | 686       |

The FY 2014 President's Budget proposes a new permanent America Fast Forward Bond program that will be an optional alternative to traditional tax-exempt bonds. This program will be similar to the expired Build America Bond program. America Fast Forward Bonds would be conventional taxable bonds issued by State and local governments in which the Federal government makes direct subsidy payments to State and local governmental issuers (refundable tax credits). Eligible uses would include financing of governmental capital projects, current refundings, or refinancing, of prior capital project financings, short-term governmental working capital financings for governmental operating expenses, and financing for the types of projects and programs that can be financed with qualified private activity bonds, subject to applicable State bond volume caps. The subsidy rate for America Fast Forward Bonds is proposed at 28 percent, which is revenue neutral relative to the estimated future Federal tax expenditures for tax-exempt bonds. The America Fast Forward Bond program will be effective for bonds issued after the date of enactment. For America Fast Forward Bonds for Education issued for original financings of governmental capital projects for public schools and state universities and original financings for Section 501(c)(3) nonprofit educational entities, the subsidy rate would increase to 50 percent. The increased 50 percent subsidy rate would not apply to current refundings. The increased subsidy rate for the America Fast Forward Bonds for Education would be effective for bonds issued in 2014 and 2015.

## PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX

## Program and Financing (in millions of dollars)

| Identification code 20–0906–0–1–609       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Direct program activity .....                   | 54,890      | 55,123  | 55,577    |
| 0900                                      | Total new obligations (object class 41.0) ..... | 54,890      | 55,123  | 55,577    |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, mandatory:                |   |             |         |           |
| 1200                                      | Appropriation .....                             | 54,890      | 55,123  | 55,577    |
| 1260                                      | Appropriations, mandatory (total) .....         | 54,890      | 55,123  | 55,577    |
| 1930                                      | Total budgetary resources available .....       | 54,890      | 55,123  | 55,577    |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 54,890      | 55,123  | 55,577    |
| 3020                                      | Outlays (gross) .....                           | –54,890     | –55,123 | –55,577   |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Mandatory:                                |   |             |         |           |
| 4090                                      | Budget authority, gross .....                   | 54,890      | 55,123  | 55,577    |

|                 |  |        |        |        |
|-----------------|--|--------|--------|--------|
| Outlays, gross: |  |        |        |        |
| 4100            | Outlays from new mandatory authority ..... | 54,890 | 55,123 | 55,577 |
| 4180            | Budget authority, net (total) .....        | 54,890 | 55,123 | 55,577 |
| 4190            | Outlays, net (total) .....                 | 54,890 | 55,123 | 55,577 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 54,890      | 55,123  | 55,577    |
| Outlays .....                           | 54,890      | 55,123  | 55,577    |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 25        |
| Outlays .....                           |             |         | 25        |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 54,890      | 55,123  | 55,602    |
| Outlays .....                           | 54,890      | 55,123  | 55,602    |

As provided by law, there are instances wherein the earned income tax credit (EITC) exceeds the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the taxpayer. Congress originally authorized the EITC in the Tax Reduction Act of 1975 (Public Law 94–12) and made it permanent in the Revenue Adjustment Act of 1978 (Public Law 95–600). The Tax Reform Act of 1986 and the Omnibus Budget Reconciliation Acts of 1990 and 1993 increased the credit amount and expanded eligibility for the EITC.

The Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTRRA) (Public Law 107–16) increased the income level at which the credit begins to phase out for married taxpayers filing joint returns, and made other changes to simplify the credit and improve compliance.

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1002, temporarily increased the EITC for working families with three or more children, and increased the threshold for the phase-out range for all married couples filing a joint return for 2009 and 2010 tax returns. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 103(c), extended EGTRRA and ARRA benefits through tax year 2012.

The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 103(c), extended the EGTRRA and ARRA benefits through tax year 2017 (a five-year extension). The Budget proposes permanent extension (beyond 2017) of the increased EITC for families with three or more children and the increased threshold for the phase-out for married couples.

## PAYMENT WHERE EARNED INCOME CREDIT EXCEEDS LIABILITY FOR TAX

## (Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 20–0906–4–1–609     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Direct program activity .....                   |             |         | 25        |
| 0900                                    | Total new obligations (object class 41.0) ..... |             |         | 25        |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Budget authority:                       |   |             |         |           |
| Appropriations, mandatory:              |   |             |         |           |
| 1200                                    | Appropriation .....                             |             |         | 25        |
| 1260                                    | Appropriations, mandatory (total) .....         |             |         | 25        |
| 1930                                    | Total budgetary resources available .....       |             |         | 25        |
| <b>Change in obligated balance:</b>     |   |             |         |           |
| Unpaid obligations:                     |   |             |         |           |
| 3010                                    | Obligations incurred, unexpired accounts .....  |             |         | 25        |
| 3020                                    | Outlays (gross) .....                           |             |         | –25       |



|   |  |  |  |    |
|---|--|--|--|----|
| <b>Budget authority and outlays, net:</b> |  |  |  |    |
| Mandatory:                                |  |  |  |    |
| 4090                                      | Budget authority, gross .....              |  |  | 25 |
| Outlays, gross:                           |  |  |  |    |
| 4100                                      | Outlays from new mandatory authority ..... |  |  | 25 |
| 4180                                      | Budget authority, net (total) .....        |  |  | 25 |
| 4190                                      | Outlays, net (total) .....                 |  |  | 25 |

The Budget proposes to simplify the rules for claiming the EITC for workers without qualifying children. The account also reflects the interaction effect with the proposal to restrict access to the Death Master File.

#### PAYMENT WHERE CHILD TAX CREDIT EXCEEDS LIABILITY FOR TAX

##### Program and Financing (in millions of dollars)

| Identification code 20–0922–0–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 22,106      | 23,061  | 25,119    |
| 0900 Total new obligations (object class 41.0) ..... | 22,106      | 23,061  | 25,119    |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 22,106      | 23,061  | 25,119    |
| 1260 Appropriations, mandatory (total) .....         | 22,106      | 23,061  | 25,119    |
| 1930 Total budgetary resources available .....       | 22,106      | 23,061  | 25,119    |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 22,106      | 23,061  | 25,119    |
| 3020 Outlays (gross) .....                           | –22,106     | –23,061 | –25,119   |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 22,106      | 23,061  | 25,119    |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 22,106      | 23,061  | 25,119    |
| 4180 Budget authority, net (total) .....             | 22,106      | 23,061  | 25,119    |
| 4190 Outlays, net (total) .....                      | 22,106      | 23,061  | 25,119    |

As provided by law, there are instances where the child tax credit exceeds the amount of tax liability owed through the individual income tax system, resulting in an additional payment to the taxpayer.

The Congress originally authorized the child credit in the Taxpayer Relief Act of 1997 (Public Law 105–34). The credit amount and extent to which the credit is refundable were increased by EGTRRA. The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1003, further expanded the extent to which the credit is refundable. The credit was refundable to the extent of 15 percent of an individual's earned income in excess of \$3,000 for 2010 and 2011. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 103(b), extended this temporary benefit for 2011 and 2012. The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 103(b), extended the ARRA benefits through tax year 2017 (a five-year extension). The Budget proposes permanent extension (beyond 2017) of the Child Tax Credit.

#### PAYMENT WHERE HEALTH COVERAGE TAX CREDIT EXCEEDS LIABILITY FOR TAX

##### Program and Financing (in millions of dollars)

| Identification code 20–0923–0–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 131         | 120     | 32        |
| 0900 Total new obligations (object class 41.0) ..... | 131         | 120     | 32        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 131         | 120     | 32        |
| 1260 Appropriations, mandatory (total) .....         | 131         | 120     | 32        |
| 1930 Total budgetary resources available .....       | 131         | 120     | 32        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 131         | 120     | 32        |
| 3020 Outlays (gross) .....                           | –131        | –120    | –32       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 131         | 120     | 32        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 131         | 120     | 32        |
| 4180 Budget authority, net (total) .....             | 131         | 120     | 32        |
| 4190 Outlays, net (total) .....                      | 131         | 120     | 32        |

The Trade Act of 2002 established the Health Coverage Tax Credit (HCTC), a refundable tax credit for a portion of the cost of qualified insurance, which may be paid in advance. This credit is available to certain recipients of Trade Adjustment Assistance (TAA) and Pension Benefit Guaranty Corporation pension beneficiaries who are aged 55–64.

The Congress expanded the HCTC program in the American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Sections 1899A–1899J. These increased benefits for certain HCTC eligible individuals include payment of 80 percent (up from 65 percent) of health insurance premiums, up to 24 months of coverage for qualified family members, and extension of COBRA benefits. The Omnibus Trade Act of 2010 (Public Law 111–344), Sections 111–118, extended these benefits until February 13, 2011. The bill to extend the Generalization System of Preference (Public Law 112–040), Section 241, extended the credit through December 31, 2013 and reduced the credit percentage to 72.5 percent, and eliminated the credit entirely beginning January 1, 2014. Beginning 2014, the Patient Protection and Affordable Care Act (Public Law 111–148) provides health care premium tax credits to eligible individuals to help purchase health coverage. This schedule reflects the effects of HCTC in cases where the credit exceeds the tax liability resulting in payment to the taxpayer.

#### PAYMENT WHERE COBRA CREDIT EXCEEDS LIABILITY FOR TAX

##### Program and Financing (in millions of dollars)

| Identification code 20–0936–0–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 192         | 10      | .....     |
| 0900 Total new obligations (object class 41.0) ..... | 192         | 10      | .....     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 192         | 10      | .....     |
| 1260 Appropriations, mandatory (total) .....         | 192         | 10      | .....     |

PAYMENT WHERE COBRA CREDIT EXCEEDS LIABILITY FOR TAX—Continued  
Program and Financing—Continued

| Identification code 20–0936–0–1–551                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1930 Total budgetary resources available .....      | 192         | 10      | .....     |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 192         | 10      | .....     |
| 3020 Outlays (gross) .....                          | –192        | –10     | .....     |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  | 192         | 10      | .....     |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     | 192         | 10      | .....     |
| 4180 Budget authority, net (total) .....            | 192         | 10      | .....     |
| 4190 Outlays, net (total) .....                     | 192         | 10      | .....     |

COBRA gives workers who lose their jobs, and thus their health benefits, the right to purchase group health coverage provided by the plan under certain circumstances. The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 3001, treated assistance eligible individuals who pay 35 percent of their COBRA premium as having paid the full amount. The remaining 65 percent is reimbursed to the employer, insurer or health plan as a credit against certain employment taxes. The Department of Defense Appropriation Act of 2010 (Public Law 111–118), Section 1010, extended the eligibility period for the COBRA Premium Assistance program from the original ending date of December 31, 2009 to February 28, 2010. The Continuing Extension Act of 2010 (Public Law 111–157), Section 3, amended the American Recovery and Reinvestment Act of 2009 to extend the premium assistance for COBRA benefits to employees involuntarily terminated through May 31, 2010. This credit has expired. However, a small number of FY 2013 outlays are expected from this account, due to amended returns.

PAYMENT WHERE SMALL BUSINESS HEALTH INSURANCE TAX CREDIT EXCEEDS  
LIABILITY FOR TAX

## Program and Financing (in millions of dollars)

| Identification code 20–0951–0–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 67          | 56      | 140       |
| 0900 Total new obligations (object class 41.0) ..... | 67          | 56      | 140       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 67          | 56      | 140       |
| 1260 Appropriations, mandatory (total) .....         | 67          | 56      | 140       |
| 1930 Total budgetary resources available .....       | 67          | 56      | 140       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 67          | 56      | 140       |
| 3020 Outlays (gross) .....                           | –67         | –56     | –140      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 67          | 56      | 140       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 67          | 56      | 140       |
| 4180 Budget authority, net (total) .....             | 67          | 56      | 140       |
| 4190 Outlays, net (total) .....                      | 67          | 56      | 140       |

The Patient Protection and Affordable Care Act (P.L. 111–148), Section 1421, allows certain small businesses to claim a credit when they pay at least half of the health care premiums for single

health insurance coverage for their employees. Small businesses can claim the credit for 2010 through 2013 and for any two years after that. Generally, employers that have fewer than 25 full-time equivalent employees and pay wages averaging less than \$50,000 per employee per year may qualify for the credit.

PAYMENT WHERE ALTERNATIVE MINIMUM TAX CREDIT EXCEEDS LIABILITY  
FOR TAX

## Program and Financing (in millions of dollars)

| Identification code 20–0929–0–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 205         | 90      | 30        |
| 0900 Total new obligations (object class 41.0) ..... | 205         | 90      | 30        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 205         | 90      | 30        |
| 1260 Appropriations, mandatory (total) .....         | 205         | 90      | 30        |
| 1930 Total budgetary resources available .....       | 205         | 90      | 30        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 205         | 90      | 30        |
| 3020 Outlays (gross) .....                           | –205        | –90     | –30       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 205         | 90      | 30        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 205         | 90      | 30        |
| 4180 Budget authority, net (total) .....             | 205         | 90      | 30        |
| 4190 Outlays, net (total) .....                      | 205         | 90      | 30        |

The Tax Relief and Health Care Act of 2006 (Public Law 109–432) allows certain taxpayers to claim a refundable credit for a portion of their unused long-term alternative minimum tax (AMT) credits each year. The Emergency Economic Stabilization Act of 2008 (Public Law 110–343), Division C, Section 103, increased the AMT refundable credit portion from 20 percent to 50 percent of unused long-term minimum tax credits for the taxable year in question. This provision is effective for any taxable year beginning before January 1, 2013. An extension was not addressed in the American Taxpayer Relief Act of 2012.

PAYMENT WHERE TAX CREDIT TO AID FIRST-TIME HOMEBUYERS EXCEEDS  
LIABILITY FOR TAX

## Program and Financing (in millions of dollars)

| Identification code 20–0930–0–1–604                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 51          | 10      | .....     |
| 0900 Total new obligations (object class 41.0) ..... | 51          | 10      | .....     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 51          | 10      | .....     |
| 1260 Appropriations, mandatory (total) .....         | 51          | 10      | .....     |
| 1930 Total budgetary resources available .....       | 51          | 10      | .....     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 51          | 10      | .....     |
| 3020 Outlays (gross) .....                           | –51         | –10     | .....     |

| Budget authority and outlays, net: |  |    |          |
|------------------------------------|--|----|----------|
| Mandatory:                         |  |    |          |
| 4090                               | Budget authority, gross .....              | 51 | 10 ..... |
|                                    | Outlays, gross:                            |    |          |
| 4100                               | Outlays from new mandatory authority ..... | 51 | 10 ..... |
| 4180                               | Budget authority, net (total) .....        | 51 | 10 ..... |
| 4190                               | Outlays, net (total) .....                 | 51 | 10 ..... |

The Housing and Economic Recovery Act of 2008 (Public Law 110–289), Section 3011, provided a refundable tax credit of up to \$7,500 for first-time homebuyers. They must repay the credit over a 15-year period. The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1006, expanded and extended the credit, and also eliminated the repayment requirement. The Worker, Homeownership, and Business Assistance Act of 2009 (Public Law 111–92), Section 12, extended the application period for the first-time homebuyer credit from November 30, 2009 to April 30, 2010. The Homebuyer Assistance and Improvement Act of 2010 (Public Law 111–198), Section 2, extended eligibility for the credit to any taxpayer who entered into a written binding contract before May 1, 2010, to close on the purchase of a principal residence before October 1, 2010.

This account provides resources for the portion, if any, of the refundable tax credit amount that exceeds the taxpayer's tax liability. No outlays are expected from this account in 2014, as the credit has expired.

#### PAYMENT WHERE CERTAIN TAX CREDITS EXCEED LIABILITY FOR CORPORATE TAX

##### Program and Financing (in millions of dollars)

| Identification code 20–0931–0–1–376       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Direct program activity .....                   | 101         | 15      | .....     |
| 0900                                      | Total new obligations (object class 41.0) ..... | 101         | 15      | .....     |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, mandatory:                |   |             |         |           |
| 1200                                      | Appropriation .....                             | 101         | 15      | .....     |
| 1260                                      | Appropriations, mandatory (total) .....         | 101         | 15      | .....     |
| 1930                                      | Total budgetary resources available .....       | 101         | 15      | .....     |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 101         | 15      | .....     |
| 3020                                      | Outlays (gross) .....                           | –101        | –15     | .....     |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Mandatory:                                |   |             |         |           |
| 4090                                      | Budget authority, gross .....                   | 101         | 15      | .....     |
|   | Outlays, gross:                                 |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....      | 101         | 15      | .....     |
| 4180                                      | Budget authority, net (total) .....             | 101         | 15      | .....     |
| 4190                                      | Outlays, net (total) .....                      | 101         | 15      | .....     |

The Housing and Economic Recovery Act of 2008 (Public Law 110–289), Section 3081, allowed certain businesses to accelerate the recognition of a portion of their unused pre-2006 AMT or research and development (R&D) credits in lieu of taking bonus depreciation. The maximum increase amount is capped at the lesser of \$30 million or 6 percent of eligible AMT and R&D credits. The accelerated credit amount is refundable. The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1201(b), extended this temporary benefit through 2009. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 401(c), extended this temporary benefit through the end of 2012, but only with

respect to AMT credits. The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 331(c), extended this temporary benefit through 2013 only with respect to AMT credits.

#### PAYMENT WHERE TAX CREDIT FOR CERTAIN GOVERNMENT RETIREES EXCEEDS LIABILITY FOR TAX

##### Program and Financing (in millions of dollars)

| Identification code 20–0942–0–1–602                        |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                |   |             |         |           |
| Unobligated balance:                                       |   |             |         |           |
| 1029   | Other balances withdrawn .....                                | –1          | .....   | .....     |
| Budget authority:  |   |             |         |           |
| Spending authority from offsetting collections, mandatory: |   |             |         |           |
| 1800   | Collected .....   | 1           | .....   | .....     |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 1           | .....   | .....     |
| 1900   | Budget authority (total) .....                                | 1           | .....   | .....     |
| <b>Budget authority and outlays, net:</b>                  |   |             |         |           |
| Mandatory:   |   |             |         |           |
| 4090   | Budget authority, gross .....                                 | 1           | .....   | .....     |
| Offsets against gross budget authority and outlays:        |   |             |         |           |
| Offsetting collections (collected) from:                   |   |             |         |           |
| 4123   | Non-Federal sources .....                                     | –1          | .....   | .....     |
| 4190   | Outlays, net (total) .....                                    | –1          | .....   | .....     |

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 2202, allowed certain federal and state retirees to claim a one-time refundable credit of up to \$250 (\$500 in the case of a joint return where both spouses are eligible individuals). No outlays are expected from this account in 2013 or 2014, as the credit has expired.

#### PAYMENT IN LIEU OF TAX CREDITS FOR PROMISE ZONES

The Administration proposes to designate 20 Promise Zones (14 in urban areas and 6 in rural areas). The zones would be designated in four rounds of five zones each, which would become effective at the beginning of 2015, 2016, 2017, and 2018. Zone designations would last for 10 years. The zones will be chosen through a competitive application process based on the strength of the applicant's competitiveness plan and other criteria. The proposal includes tax incentives for employers who employ zone residents and for certain property placed in service by businesses in Promise Zones.

#### PAYMENT IN LIEU OF TAX CREDIT FOR NEW JOBS AND WAGE INCREASES

(Legislative proposal, subject to PAYGO)

##### Program and Financing (in millions of dollars)

| Identification code 20–0956–4–1–504     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Direct program activity .....                   | .....       | .....   | 133       |
| 0900                                    | Total new obligations (object class 41.0) ..... | .....       | .....   | 133       |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Budget authority:                       |   |             |         |           |
| Appropriations, mandatory:              |   |             |         |           |
| 1200                                    | Appropriation .....                             | .....       | .....   | 133       |
| 1260                                    | Appropriations, mandatory (total) .....         | .....       | .....   | 133       |
| 1930                                    | Total budgetary resources available .....       | .....       | .....   | 133       |
| <b>Change in obligated balance:</b>     |   |             |         |           |
| Unpaid obligations:                     |   |             |         |           |
| 3010                                    | Obligations incurred, unexpired accounts .....  | .....       | .....   | 133       |

PAYMENT IN LIEU OF TAX CREDIT FOR NEW JOBS AND WAGE  
INCREASES—Continued  
Program and Financing—Continued

| Identification code 20–0956–4–1–504       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3020                                      | Outlays (gross) .....                      |             |         | –133      |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Mandatory:                                |  |             |         |           |
| 4090                                      | Budget authority, gross .....              |             |         | 133       |
| Outlays, gross:                           |  |             |         |           |
| 4100                                      | Outlays from new mandatory authority ..... |             |         | 133       |
| 4180                                      | Budget authority, net (total) .....        |             |         | 133       |
| 4190                                      | Outlays, net (total) .....                 |             |         | 133       |

Under current law, there is no generally available income tax credit for job creation or increasing employees' wages. The 2014 Budget proposes to provide a temporary, one year income tax credit for small employers for increases in wage expense, whether driven by job creation, increased wages or both. The credit would be equal to 10 percent of the increase in the employers eligible wages paid over the eligible wages paid in the comparable period. Eligible wages are the employer's Old Age, Survivors, and Disability Insurance (OASDI) wages paid in the relevant period. The maximum amount of the increase in eligible wages would be \$5 million per employer, for a maximum credit of \$500,000. For employers with no OASDI wages in the comparable period, eligible wages would be deemed to be 80 percent of their OASDI wages. The credit also would be available to tax exempt organizations and public institutions of higher education. This credit will be available to small employers with eligible wages in of less than \$20 million.

PAYMENT WHERE MAKING WORK PAY CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

| Identification code 20–0933–0–1–609       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Direct program activity .....                   | 253         | 30      |           |
| 0900                                      | Total new obligations (object class 41.0) ..... | 253         | 30      |           |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, mandatory:                |   |             |         |           |
| 1200                                      | Appropriation .....                             | 253         | 30      |           |
| 1260                                      | Appropriations, mandatory (total) .....         | 253         | 30      |           |
| 1930                                      | Total budgetary resources available .....       | 253         | 30      |           |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 253         | 30      |           |
| 3020                                      | Outlays (gross) .....                           | –253        | –30     |           |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Mandatory:                                |   |             |         |           |
| 4090                                      | Budget authority, gross .....                   | 253         | 30      |           |
| Outlays, gross:                           |   |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....      | 253         | 30      |           |
| 4180                                      | Budget authority, net (total) .....             | 253         | 30      |           |
| 4190                                      | Outlays, net (total) .....                      | 253         | 30      |           |

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1001, allowed certain taxpayers to claim a refundable Making Work Pay tax credit of 6.2 percent of earned income, up to \$400 for single taxpayers and up to \$800 for married couples filing joint returns. The refundable credit was claimed

on 2009 and 2010 tax returns. No outlays are expected from this account in 2013, as the credit has expired.

PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

| Identification code 20–0932–0–1–502       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Direct program activity .....                   | 5,549       | 7,236   | 7,456     |
| 0900                                      | Total new obligations (object class 41.0) ..... | 5,549       | 7,236   | 7,456     |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, mandatory:                |   |             |         |           |
| 1200                                      | Appropriation .....                             | 5,549       | 7,236   | 7,456     |
| 1260                                      | Appropriations, mandatory (total) .....         | 5,549       | 7,236   | 7,456     |
| 1930                                      | Total budgetary resources available .....       | 5,549       | 7,236   | 7,456     |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 5,549       | 7,236   | 7,456     |
| 3020                                      | Outlays (gross) .....                           | –5,549      | –7,236  | –7,456    |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Mandatory:                                |   |             |         |           |
| 4090                                      | Budget authority, gross .....                   | 5,549       | 7,236   | 7,456     |
| Outlays, gross:                           |   |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....      | 5,549       | 7,236   | 7,456     |
| 4180                                      | Budget authority, net (total) .....             | 5,549       | 7,236   | 7,456     |
| 4190                                      | Outlays, net (total) .....                      | 5,549       | 7,236   | 7,456     |

Summary of Budget Authority and Outlays (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Enacted/requested:</b>                      |             |         |           |
| Budget Authority .....                         | 5,549       | 7,236   | 7,456     |
| Outlays .....                                  | 5,549       | 7,236   | 7,456     |
| <b>Legislative proposal, subject to PAYGO:</b> |             |         |           |
| Budget Authority .....                         |             |         | –7        |
| Outlays .....                                  |             |         | –7        |
| <b>Total:</b>                                  |             |         |           |
| Budget Authority .....                         | 5,549       | 7,236   | 7,449     |
| Outlays .....                                  | 5,549       | 7,236   | 7,449     |

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1004, allows certain taxpayers to claim a refundable American Opportunity Tax Credit (AOTC) for qualifying higher education expenses, for tax years 2009 and 2010. Up to 40 percent of the credit is refundable. The credit applies dollar-for-dollar to the first \$2,000 of qualified tuition, fees and course materials paid by the taxpayer, and applies at a rate of 25 percent to the next \$2,000 in qualified tuition, fees and course materials for a total credit of up to \$2,500. This tax credit is subject to a phase-out for higher-income taxpayers. The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 103(a), extended this credit to tax years 2011 and 2012. The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 103(a), extended the

credit through tax year 2017 (a five-year extension). The Budget proposes permanent extension (beyond 2017) of the AOTC.

**PAYMENT WHERE AMERICAN OPPORTUNITY CREDIT EXCEEDS LIABILITY FOR TAX**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 20–0932–4–1–502                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | –7        |
| 0900 Total new obligations (object class 41.0) ..... |             |         | –7        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | –7        |
| 1260 Appropriations, mandatory (total) .....         |             |         | –7        |
| 1930 Total budgetary resources available .....       |             |         | –7        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | –7        |
| 3020 Outlays (gross) .....                           |             |         | 7         |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | –7        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | –7        |
| 4180 Budget authority, net (total) .....             |             |         | –7        |
| 4190 Outlays, net (total) .....                      |             |         | –7        |

The account reflects the interaction effect with the proposals to extend IRS math error authority and to modify Form 1098-T for reporting tuition expenses.

**PAYMENT TO ISSUER OF QUALIFIED ENERGY CONSERVATION BONDS**

**Program and Financing** (in millions of dollars)

| Identification code 20–0948–0–1–272                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 23          | 32      | 32        |
| 0900 Total new obligations (object class 41.0) ..... | 23          | 32      | 32        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 23          | 32      | 32        |
| 1260 Appropriations, mandatory (total) .....         | 23          | 32      | 32        |
| 1930 Total budgetary resources available .....       | 23          | 32      | 32        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 23          | 32      | 32        |
| 3020 Outlays (gross) .....                           | –23         | –32     | –32       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 23          | 32      | 32        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 23          | 32      | 32        |
| 4180 Budget authority, net (total) .....             | 23          | 32      | 32        |
| 4190 Outlays, net (total) .....                      | 23          | 32      | 32        |

The Emergency Economic Stabilization Act of 2008 (Public Law 110–343), Section 301, created Qualified Energy Conservation Bonds; and the American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1112, increased the limitation on

issuance of qualified energy conservation bonds from \$800,000,000 to \$3,200,000,000.

The Hiring Incentives to Restore Employment Act (Public Law 111–147), Section 301, amends Section 6431 of the Internal Revenue Code of 1986 by allowing issuers of Qualified Energy Conservation Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds will receive a direct interest payment subsidy from the Federal government. Bondholders will receive a taxable interest payment from the issuer in lieu of a tax credit.

**PAYMENT TO ISSUER OF NEW CLEAN RENEWABLE ENERGY BONDS**

**Program and Financing** (in millions of dollars)

| Identification code 20–0947–0–1–271                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 20          | 24      | 24        |
| 0900 Total new obligations (object class 41.0) ..... | 20          | 24      | 24        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 20          | 24      | 24        |
| 1260 Appropriations, mandatory (total) .....         | 20          | 24      | 24        |
| 1930 Total budgetary resources available .....       | 20          | 24      | 24        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 20          | 24      | 24        |
| 3020 Outlays (gross) .....                           | –20         | –24     | –24       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 20          | 24      | 24        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 20          | 24      | 24        |
| 4180 Budget authority, net (total) .....             | 20          | 24      | 24        |
| 4190 Outlays, net (total) .....                      | 20          | 24      | 24        |

The Emergency Economic Stabilization Act of 2008 (Public Law 110–343), Section 107, created New Clean Renewable Energy Bonds; and the American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1111, increased the limitation on issuance of New Clean Renewable Energy Bonds by \$1,600,000,000.

The Hiring Incentives to Restore Employment Act (Public Law 111–147), Section 301, amended Section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of New Clean Renewable Energy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds will receive a direct interest payment subsidy from the Federal government. Bondholders will receive a taxable interest payment from the issuer in lieu of a tax credit.

**PAYMENT TO ISSUER OF QUALIFIED SCHOOL CONSTRUCTION BONDS**

**Program and Financing** (in millions of dollars)

| Identification code 20–0946–0–1–501                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 634         | 820     | 820       |
| 0900 Total new obligations (object class 41.0) ..... | 634         | 820     | 820       |

PAYMENT TO ISSUER OF QUALIFIED SCHOOL CONSTRUCTION BONDS—Continued  
Program and Financing—Continued

| Identification code 20–0946–0–1–501                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1200 Appropriation .....                            | 634         | 820     | 820       |
| 1260 Appropriations, mandatory (total) .....        | 634         | 820     | 820       |
| 1930 Total budgetary resources available .....      | 634         | 820     | 820       |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 634         | 820     | 820       |
| 3020 Outlays (gross) .....                          | –634        | –820    | –820      |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  | 634         | 820     | 820       |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     | 634         | 820     | 820       |
| 4180 Budget authority, net (total) .....            | 634         | 820     | 820       |
| 4190 Outlays, net (total) .....                     | 634         | 820     | 820       |

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1521, created Qualified School Construction Bonds with a calendar year limitation of \$11,000,000,000 for 2009 and 2010 and zero after 2010.

The Hiring Incentives to Restore Employment Act (Public Law 111–147), Section 301, amends Section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified School Construction Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds will receive a direct interest payment subsidy from the Federal government. Bondholders will receive a taxable interest payment from the issuer in lieu of a tax credit.

PAYMENT TO ISSUER OF QUALIFIED ZONE ACADEMY BONDS

Program and Financing (in millions of dollars)

| Identification code 20–0945–0–1–501                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 40          | 38      | 38        |
| 0900 Total new obligations (object class 41.0) ..... | 40          | 38      | 38        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 40          | 38      | 38        |
| 1260 Appropriations, mandatory (total) .....         | 40          | 38      | 38        |
| 1930 Total budgetary resources available .....       | 40          | 38      | 38        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 40          | 38      | 38        |
| 3020 Outlays (gross) .....                           | –40         | –38     | –38       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 40          | 38      | 38        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 40          | 38      | 38        |
| 4180 Budget authority, net (total) .....             | 40          | 38      | 38        |
| 4190 Outlays, net (total) .....                      | 40          | 38      | 38        |

The American Recovery and Reinvestment Act of 2009 (Public Law 111–5), Section 1522, extended and expanded the calendar year limitation for Qualified Zone Academy Bonds to \$1,400,000,000 for 2009 and 2010. The Tax Relief, Unemployment

Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 758, extended the Qualified Zone Academy Bonds for 2011 and reduced the calendar year limitation to \$400,000,000. The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 310, extended the calendar year limitation of \$400,000,000 through tax year 2013 (a two-year extension).

The Hiring Incentives to Restore Employment Act (Public Law 111–147), Section 301, amends Section 6431 of the Internal Revenue Code of 1986 by adding a new subsection (f) allowing issuers of Qualified Zone Academy Bonds to irrevocably elect to issue the bonds as specified tax credit bonds with a direct-pay subsidy. The issuer of such qualifying bonds will receive a direct interest payment subsidy from the Federal government. Bondholders will receive a taxable interest payment from the issuer in lieu of a tax credit.

The Tax Relief, Unemployment Insurance Reauthorization and Job Creation Act of 2010 (Public Law 111–312) amended section 6431(f)(3)(A)(iii) to provide that direct pay treatment for Qualified Zone Academy Bonds is not available for Qualified Zone Academy Bond allocations from the 2011 national limitation or any carry forward of the 2011 allocation.

PAYMENT WHERE ADOPTION CREDIT EXCEEDS LIABILITY FOR TAX

Program and Financing (in millions of dollars)

| Identification code 20–0950–0–1–609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 777         | 50      | .....     |
| 0900 Total new obligations (object class 41.0) ..... | 777         | 50      | .....     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 777         | 50      | .....     |
| 1260 Appropriations, mandatory (total) .....         | 777         | 50      | .....     |
| 1930 Total budgetary resources available .....       | 777         | 50      | .....     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 777         | 50      | .....     |
| 3020 Outlays (gross) .....                           | –777        | –50     | .....     |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 777         | 50      | .....     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 777         | 50      | .....     |
| 4180 Budget authority, net (total) .....             | 777         | 50      | .....     |
| 4190 Outlays, net (total) .....                      | 777         | 50      | .....     |

The Economic Growth and Tax Relief Reconciliation Act of 2001 (EGTRRA) (Public Law 107–16), Section 202, increased the maximum credit and exclusion to \$10,000 (indexed for inflation after 2002) for both non-special needs and special needs adoptions; increased the phase-out starting point to \$150,000 (indexed for inflation after 2002); and allowed the credit against the AMT.

The Patient Protection and Affordable Care Act (PPACA) (Public Law 111–148), Section 10909, extended the EGTRRA expansion of the adoption credit and exclusion from income for employer-provided adoption assistance for one year (for 2011); increased by \$1,000 to \$13,170 per child (indexed for inflation) the maximum adoption credit and exclusion from income for employer-provided adoption assistance for two years (2010 and 2011); and made the credit refundable for two years (2010 and 2011), meaning that eligible taxpayers can get it even if they do not owe tax for that year. In general, the credit is based on the reasonable and necessary expenses related to a legal adoption,

including adoption fees, court costs, attorney's fees and travel expenses.

The Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (Public Law 111–312), Section 101(b), extended the EGTRRA provisions through 2012. The American Taxpayer Relief Act of 2012 (Public Law 112–240), Section 101(a), made the adoption credit provisions enacted in EGTRRA permanent. The PPACA adoption credit provisions were not extended.

#### THERAPEUTIC DISCOVERY PROGRAM GRANTS AND ADMINISTRATION

##### Program and Financing (in millions of dollars)

| Identification code 20–0952–0–1–552                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Direct program activity .....                  | 7           | 3       | 2         |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1200 Appropriation .....                            | 7           | 3       | 2         |
| 1260 Appropriations, mandatory (total) .....        | 7           | 3       | 2         |
| 1930 Total budgetary resources available .....      | 7           | 3       | 2         |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 7           | 3       | 2         |
| 3020 Outlays (gross) .....                          | –7          | –3      | –2        |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  | 7           | 3       | 2         |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     | 7           | 3       | 2         |
| 4180 Budget authority, net (total) .....            | 7           | 3       | 2         |
| 4190 Outlays, net (total) .....                     | 7           | 3       | 2         |

The Affordable Care Act (Public Law 111–148), Section 9023, provided tax credits and grants to qualifying entities that show significant potential to produce new and cost-saving therapies, support U.S. jobs, and increase U.S. competitiveness. Credits and grants are for qualifying investments made during a taxable year beginning in 2009 or 2010. The total amount of credits and grants that may be allocated under the program shall not exceed \$1,000,000,000 for the 2-year period beginning with 2009. This account also includes the administrative costs of carrying out the program, which constitute the projected account activity in 2014.

##### Object Classification (in millions of dollars)

| Identification code 20–0952–0–1–552                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....        | 7           | 1       |           |
| 99.9 Total new obligations .....                       | 7           | 3       | 2         |

##### Employment Summary

| Identification code 20–0952–0–1–552                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 16      | 16        |

#### REFUNDING INTERNAL REVENUE COLLECTIONS, INTEREST

##### Program and Financing (in millions of dollars)

| Identification code 20–0904–0–1–908                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 2,680       | 3,088   | 3,345     |
| 0900 Total new obligations (object class 43.0) ..... | 2,680       | 3,088   | 3,345     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 2,680       | 3,088   | 3,345     |
| 1260 Appropriations, mandatory (total) .....         | 2,680       | 3,088   | 3,345     |
| 1930 Total budgetary resources available .....       | 2,680       | 3,088   | 3,345     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 2,680       | 3,088   | 3,345     |
| 3020 Outlays (gross) .....                           | –2,680      | –3,088  | –3,345    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 2,680       | 3,088   | 3,345     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 2,680       | 3,088   | 3,345     |
| 4180 Budget authority, net (total) .....             | 2,680       | 3,088   | 3,345     |
| 4190 Outlays, net (total) .....                      | 2,680       | 3,088   | 3,345     |

Under certain circumstances, as provided in 26 U.S.C. 6611, interest is paid on Internal Revenue collections that must be refunded. The Tax Equity and Fiscal Responsibility Act of 1982 (Public Law 97–248) provides for daily compounding of interest. Under the Tax Reform Act of 1986 (Public Law 99–514), interest paid on Internal Revenue collections will equal the Federal short-term rate plus two percentage points, with such rate to be adjusted quarterly.

#### REFUNDABLE PREMIUM ASSISTANCE TAX CREDIT

##### Program and Financing (in millions of dollars)

| Identification code 20–0949–0–1–551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             |         | 32,269    |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 32,269    |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | 32,269    |
| 1260 Appropriations, mandatory (total) .....         |             |         | 32,269    |
| 1930 Total budgetary resources available .....       |             |         | 32,269    |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 32,269    |
| 3020 Outlays (gross) .....                           |             |         | –32,269   |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 32,269    |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 32,269    |
| 4180 Budget authority, net (total) .....             |             |         | 32,269    |
| 4190 Outlays, net (total) .....                      |             |         | 32,269    |

The Patient Protection and Affordable Care Act (ACA) of 2010, Public Law 111–148, established the Refundable Premium Assistance Tax Credit, available to any eligible taxpayer for any qualified health insurance purchased through a Health Insurance Exchange. In general, an eligible taxpayer is defined as a taxpayer

## REFUNDABLE PREMIUM ASSISTANCE TAX CREDIT—Continued

with annual household income between 100 and 400 percent of the federal poverty level for a family of the taxpayers size and that does not have access to minimum essential health care coverage. The amount of the credit varies, but cannot exceed the cost of coverage the taxpayer would otherwise pay in premiums under the Health Insurance Exchange. The credit also can be paid in advance to a taxpayer's insurance company to help cover the cost of premiums.

## IRS MISCELLANEOUS RETAINED FEES

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20-5432-0-2-803   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0200 Enrolled Agent Fee Increase, IRS Miscellaneous Retained Fees .....         | 5           | 5       | 6         |
| 0201 Tax Preparer Registration Fees, IRS Miscellaneous Retained Fees .....      | 38          | 42      | 40        |
| 0220 New Installment Agreements, IRS Miscellaneous Retained Fees .....          | 162         | 158     | 166       |
| 0221 Restructured Installment Agreements, IRS Miscellaneous Retained Fees ..... | 38          | 40      | 42        |
| 0222 General User Fees, IRS Miscellaneous Retained Fees .....                   | 102         | 96      | 91        |
| 0223 Photocopying Fees, IRS Miscellaneous Retained Fees .....                   | 5           | 6       | 5         |
| Adjustments:  |             |         |           |
| 0290 Adjustment - receipts rounding issue .....                                 | 2           |         |           |
| 0299 Total receipts and collections .....                                       | 352         | 347     | 350       |
| 0400 Total: Balances and collections .....                                      | 352         | 347     | 350       |
| Appropriations:   |             |         |           |
| 0500 IRS Miscellaneous Retained Fees .....                                      | -352        | -347    | -350      |
| 0799 Balance, end of year .....   |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 20-5432-0-2-803                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 327         | 353     | 189       |
| 1010 Unobligated balance transfer to other accts [20-0912] ..... | -174        | -198    | -151      |
| 1010 Unobligated balance transfer to other accts [20-0919] ..... | -81         | -114    | -20       |
| 1010 Unobligated balance transfer to other accts [20-0913] ..... | -17         | -41     | -18       |
| 1050 Unobligated balance (total) .....                           | 55          |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 [-5432] .....   | 352         | 347     | 350       |
| 1220 Appropriations transferred to other accts [20-0919] .....   | -51         | -158    | -88       |
| 1220 Appropriations transferred to other accts [20-0913] .....   | -3          |         |           |
| 1260 Appropriations, mandatory (total) .....                     | 298         | 189     | 262       |
| 1930 Total budgetary resources available .....                   | 353         | 189     | 262       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 353         | 189     | 262       |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 298         | 189     | 262       |
| 4180 Budget authority, net (total) .....                         | 298         | 189     | 262       |

As provided by law (26 U.S.C. 7801) the Secretary of the Treasury may establish new fees or raise existing fees for services provided by the Internal Revenue Service to increase receipts, where such fees are authorized by another law, and may spend the new or increased fee receipts to supplement appropriations made available to the IRS appropriations accounts. Funds in this account are transferred to other IRS appropriations accounts for expenditure.

## GIFTS TO THE UNITED STATES FOR REDUCTION OF THE PUBLIC DEBT

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20-5080-0-2-808                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                      |             |         | 5         |
| Receipts:  |             |         |           |
| 0220 Gifts to the United States for Reduction of the Public Debt ..... | 8           | 8       | 8         |
| 0400 Total: Balances and collections .....                             | 8           | 8       | 13        |
| Appropriations:  |             |         |           |
| 0500 Gifts to the United States for Reduction of the Public Debt ..... | -8          | -3      | -3        |
| 0799 Balance, end of year .....  |             | 5       | 10        |

## Program and Financing (in millions of dollars)

| Identification code 20-5080-0-2-808              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                      |             |         |           |
| Budget authority:                                |             |         |           |
| Appropriations, mandatory:                       |             |         |           |
| 1201 Appropriation (special or trust fund) ..... | 8           | 3       | 3         |
| 1236 Appropriations applied to repay debt .....  | -8          | -3      | -3        |

As provided by law (31 U.S.C. 3113), the Secretary of the Treasury is authorized to accept conditional gifts to the United States for the purpose of reducing the public debt.

## PRIVATE COLLECTION AGENT PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 20-5510-0-2-803                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Collection Enforcement Activities .....          |             | 9       |           |
| 0900 Total new obligations (object class 25.2) .....  |             | 9       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 10          | 10      | 1         |
| 1930 Total budgetary resources available .....        | 10          | 10      | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 10          | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4           | 1       | 3         |
| 3010 Obligations incurred, unexpired accounts .....   |             | 9       |           |
| 3020 Outlays (gross) .....                            | -3          | -7      | -2        |
| 3050 Unpaid obligations, end of year .....            | 1           | 3       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 4           | 1       | 3         |
| 3200 Obligated balance, end of year .....             | 1           | 3       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            | 3           | 7       | 2         |
| 4190 Outlays, net (total) .....                       | 3           | 7       | 2         |

The American Jobs Creation Act of 2004 (Public Law 108-357) allows the IRS to use private collection contractors to supplement its own collection staff efforts to ensure that all taxpayers pay what they owe. The IRS used this authority to contract with several private debt collection agencies starting in 2006. In March 2009, the IRS allowed its private debt collection contracts to expire, thereby administratively terminating the program.



## INFORMANT PAYMENTS

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20–5433–0–2–803          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....            |             |         |           |
| Receipts:                                    |             |         |           |
| 0240 Underpayment and Fraud Collection ..... | 91          | 125     | 125       |
| 0400 Total: Balances and collections .....   | 91          | 125     | 125       |
| Appropriations:                              |             |         |           |
| 0500 Informant Payments .....                | –91         | –125    | –125      |
| 0799 Balance, end of year .....              |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 20–5433–0–2–803                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Informant Payments .....                         | 93          | 125     | 125       |
| 0900 Total new obligations (object class 91.0) .....  | 93          | 125     | 125       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 91          | 125     | 125       |
| 1260 Appropriations, mandatory (total) .....          | 91          | 125     | 125       |
| 1930 Total budgetary resources available .....        | 94          | 126     | 126       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 93          | 125     | 125       |
| 3020 Outlays (gross) .....                            | –93         | –125    | –125      |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 91          | 125     | 125       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 90          | 125     | 125       |
| 4101 Outlays from mandatory balances .....            | 3           |         |           |
| 4110 Outlays, gross (total) .....                     | 93          | 125     | 125       |
| 4180 Budget authority, net (total) .....              | 91          | 125     | 125       |
| 4190 Outlays, net (total) .....                       | 93          | 125     | 125       |

As provided by law (26 U.S.C. 7623), the Secretary of the Treasury may make payments to individuals who provide information that leads to the collection of Internal Revenue taxes. The Taxpayer Bill of Rights of 1996 (Public Law 104–168) provides for payments of such sums to individuals from the proceeds of amounts collected by reason of the information provided, and any amount collected shall be available for such payments. This information must lead to the detection of underpayments of taxes, or detection and bringing to trial and punishment of persons guilty of violating the Internal Revenue laws. This provision was further amended by the Tax Relief and Health Care Act of 2006 (Public Law 109–432) to provide for mandatory payments in certain circumstances and to encourage use of the program. A reward payment typically ranges between 15 and 30 percent of the collected proceeds for cases where the amount of collected proceeds exceeds \$2,000,000. Lower payments are allowed in certain circumstances, including cases in which information is provided that was already available from another source.

## FEDERAL TAX LIEN REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 20–4413–0–3–803                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 2           | 2       | 2         |
| 0900 Total new obligations (object class 32.0) .....               | 2           | 2       | 2         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 4           | 6       | 6         |
| 1021 Recoveries of prior year unpaid obligations .....             | 2           |         |           |
| 1050 Unobligated balance (total) .....                             | 6           | 6       | 6         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 2           | 2       | 2         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2           | 2       | 2         |
| 1930 Total budgetary resources available .....                     | 8           | 8       | 8         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 6           | 6       | 6         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 2           |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 2           | 2       | 2         |
| 3020 Outlays (gross) .....   | –2          | –2      | –2        |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –2          |         |           |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 2           |         |           |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 2           | 2       | 2         |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....                         | 2           | 2       | 2         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | –2          | –2      | –2        |

This revolving fund was established pursuant to Section 112(a) of the Federal Tax Lien Act of 1966, to serve as the source of financing the redemption of real property by the United States. During the process of collecting unpaid taxes, the government places a tax lien on real estate in order to protect the government's interest. Situations arise where property of this nature is collateral for other indebtedness and the tax lien is subordinate to the original indebtedness. In this circumstance, it is often in the government's interest to purchase the property during the foreclosure sale. The advantage arises when the property is worth substantially more than the first lien-holder's equity but is being sold for an amount that barely covers that equity, thereby leaving no proceeds to apply against delinquent taxes. Under these circumstances, if the government buys the property and subsequently puts it up for sale under more advantageous conditions, it is possible to realize sufficient profit on the transaction to fully or partially collect the amount of taxes due. The revolving fund is reimbursed from the proceeds of the sale in an amount equal to the amount expended from the fund for the redemption. The balance of the proceeds is applied against the amount of the tax, interest, penalties, and additions thereto, and for the costs of sale. The remainder, if any, would revert to the parties legally entitled to it.

## Object Classification (in millions of dollars)

| Identification code 20–4413–0–3–803 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>    |             |         |           |
| 32.0 Land and structures .....      | 2           | 2       | 2         |

## FEDERAL TAX LIEN REVOLVING FUND—Continued

## Object Classification—Continued

| Identification code 20–4413–0–3–803 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 2           | 2       | 2         |

## INTERNAL REVENUE SERVICE OVERSIGHT BOARD

As directed by the Internal Revenue Service Restructuring and Reform Act of 1998 (Section 7802(d) 26 U.S.C.), the Internal Revenue Service Oversight Board shall provide an annual budget request for the Internal Revenue Service. The Oversight Board's request shall be submitted to the President by the Secretary without revision, and the President shall submit the request, without revision, to Congress together with the President's Budget request for the Internal Revenue Service. The 2014 Oversight Board budget recommendation for the Internal Revenue Service is \$13,074 million.

## ADMINISTRATIVE PROVISIONS—INTERNAL REVENUE SERVICE

## (INCLUDING TRANSFER OF FUNDS)

*SEC. 101. Not to exceed 5 percent of any appropriation made available in this Act to the Internal Revenue Service or not to exceed 3 percent of appropriations under the heading "Enforcement" may be transferred to any other Internal Revenue Service appropriation upon the advance notification of the Committees on Appropriations.*

*SEC. 102. The Internal Revenue Service shall maintain a training program to ensure that Internal Revenue Service employees are trained in taxpayers' rights, in dealing courteously with taxpayers, and in cross-cultural relations.*

*SEC. 103. The Internal Revenue Service shall institute and enforce policies and procedures that will safeguard the confidentiality of taxpayer information and protect taxpayers against identity theft.*

*SEC. 104. Funds made available by this or any other Act to the Internal Revenue Service shall be available for improved facilities and increased staffing to provide sufficient and effective 1–800 help line service for taxpayers. The Commissioner shall continue to make the improvement of the Internal Revenue Service 1–800 help line service a priority and allocate resources necessary to improve the Internal Revenue Service 1–800 help line service.*

*SEC. 105. Section 9503(a) of title 5, United States Code, is amended by striking "before July 23, 2013" and inserting "before September 30, 2018".*

*SEC. 106. Section 9503(a)(5) of title 5, United States Code, is amended by inserting before the semicolon the following: "renewable for an additional two years, based on a critical organizational need".*

*SEC. 107. Section 9505(a) of title 5, United States Code, is amended by striking "Before July 23, 2013" and inserting "Before September 30, 2018".*

## COMPTROLLER OF THE CURRENCY

## Federal Funds

## PUBLIC ENTERPRISE FUND, COMPTROLLER OF THE CURRENCY

## Program and Financing (in millions of dollars)

| Identification code 20–4264–0–3–373                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0881 Bank Supervision .....                           | 142         |         |           |
| 0900 Total new obligations (object class 94.0) .....  | 142         |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 142         |         |           |
| 1930 Total budgetary resources available .....        | 142         |         |           |

## Change in obligated balance:

|   |      |   |   |
|---|------|---|---|
| Unpaid obligations:                                   |      |   |   |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 85   | 1 | 1 |
| 3010 Obligations incurred, unexpired accounts .....   | 142  |   |   |
| 3020 Outlays (gross) .....                            | –226 |   |   |
| 3050 Unpaid obligations, end of year .....            | 1    | 1 | 1 |
| Memorandum (non-add) entries:                         |      |   |   |
| 3100 Obligated balance, start of year .....           | 85   | 1 | 1 |
| 3200 Obligated balance, end of year .....             | 1    | 1 | 1 |

## Budget authority and outlays, net:

|  |     |  |  |
|--|-----|--|--|
| Mandatory:                                 |     |  |  |
| Outlays, gross:                            |     |  |  |
| 4101 Outlays from mandatory balances ..... | 226 |  |  |
| 4190 Outlays, net (total) .....            | 226 |  |  |

Pursuant to Title III of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), on July 21, 2011, the OCC assumed responsibility for the supervision and regulation of Federal savings associations (thrifts) from the Office of Thrift Supervision (OTS), which was dissolved by the Act. Implementation of the Act required the transfer of certain supervisory authorities and personnel associated with consumer compliance activities to the Consumer Financial Protection Bureau (CFPB) and the integration of OTS functions and personnel into the OCC. To transfer the OTS Fund balance with Treasury to the OCC in accordance with the Act, this temporary OCC Public Enterprise Fund was established. The Public Enterprise Fund reflects spending related to the shutting down of OTS in 2012; the Budget projects that the Public Enterprise Fund will disburse all remaining funds and cease to exist in 2013.

## Object Classification (in millions of dollars)

| Identification code 20–4264–0–3–373 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 94.0 Financial transfers .....      | 142         |         |           |
| 99.0 Reimbursable obligations ..... | 142         |         |           |

## Trust Funds

## ASSESSMENT FUNDS

## Program and Financing (in millions of dollars)

| Identification code 20–8413–0–8–373                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0881 Bank Supervision .....  | 935         | 1,023   | 1,081     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,021       | 1,087   | 1,087     |
| Budget authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 1,003       | 1,023   | 1,081     |
| 1801 Change in uncollected payments, Federal sources .....         | –2          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 1,001       | 1,023   | 1,081     |
| 1930 Total budgetary resources available .....                     | 2,022       | 2,110   | 2,168     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1,087       | 1,087   | 1,087     |

## Change in obligated balance:

|   |      |       |        |
|---|------|-------|--------|
| Unpaid obligations:   |      |       |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 167  | 285   | 473    |
| 3010 Obligations incurred, unexpired accounts .....               | 935  | 1,023 | 1,081  |
| 3020 Outlays (gross) .....  | –817 | –835  | –1,203 |
| 3050 Unpaid obligations, end of year .....                        | 285  | 473   | 351    |
| Uncollected payments:   |      |       |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –7   | –5    | –5     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 2    |       |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –5   | –5    | –5     |
| Memorandum (non-add) entries:                                     |      |       |        |
| 3100 Obligated balance, start of year .....                       | 160  | 280   | 468    |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| 3200  | Obligated balance, end of year .....                            | 280    | 468    | 346    |
| <b>Budget authority and outlays, net:</b>               |   |        |        |        |
| Mandatory:  |   |        |        |        |
| 4090  | Budget authority, gross .....                                   | 1,001  | 1,023  | 1,081  |
| Outlays, gross:   |   |        |        |        |
| 4100  | Outlays from new mandatory authority .....                      | 751    | 767    | 1,000  |
| 4101  | Outlays from mandatory balances .....                           | 66     | 68     | 203    |
| 4110  | Outlays, gross (total) .....                                    | 817    | 835    | 1,203  |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4120  | Federal sources .....   | -13    | -19    | -19    |
| 4121  | Interest on Federal securities .....                            | -15    | -17    | -19    |
| 4123  | Non-Federal sources .....                                       | -975   | -987   | -1,043 |
| 4130  | Offsets against gross budget authority and outlays (total) .... | -1,003 | -1,023 | -1,081 |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4140  | Change in uncollected pymts, Fed sources, unexpired .....       | 2      | .....  | .....  |
| 4170  | Outlays, net (mandatory) .....                                  | -186   | -188   | 122    |
| 4190  | Outlays, net (total) .....                                      | -186   | -188   | 122    |
| <b>Memorandum (non-add) entries:</b>                    |   |        |        |        |
| 5000  | Total investments, SOY: Federal securities: Par value .....     | 1,171  | 1,359  | 1,300  |
| 5001  | Total investments, EOY: Federal securities: Par value .....     | 1,359  | 1,300  | 1,300  |

The Office of the Comptroller of the Currency (OCC) was created by Congress to charter national banks, oversee a nationwide system of banking institutions, and ensure national banks are safe and sound, competitive and profitable, and capable of serving in the best possible manner the banking needs of their customers. The National Currency Act of 1863 (12 U.S.C. 1 et seq., 12 Stat. 665), rewritten and reenacted as the National Bank Act of 1864, provided for the chartering and supervising functions of the OCC. The income of OCC is derived principally from assessments paid by national banks and interest on investments in U.S. Government securities. OCC receives no appropriated funds from Congress.

Pursuant to Title III of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), on July 21, 2011, the OCC assumed responsibility for the supervision and regulation of federal savings associations (thrifts). Implementation of the Act required the transfer of certain supervisory responsibilities and personnel associated with consumer compliance activities to the Consumer Financial Protection Bureau (CFPB) and the integration of functions and personnel from the Office of Thrift Supervision (OTS) into the OCC.

The OCC supervises approximately 1,340 national bank charters and 47 Federal branches of foreign banks and 565 federal savings associations (including approximately 200 mutual institutions) in the United States with total assets of approximately \$10 trillion as of September 30, 2012.

#### Object Classification (in millions of dollars)

| Identification code 20-8413-0-8-373 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Reimbursable obligations:           |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 331         | 490     | 542       |
| 11.3                                | Other than full-time permanent .....                       | 12          | 12      | 13        |
| 11.5                                | Other personnel compensation .....                         | 3           | 3       | 3         |
| 11.9                                | Total personnel compensation .....                         | 346         | 505     | 558       |
| 12.1                                | Civilian personnel benefits .....                          | 153         | 168     | 168       |
| 21.0                                | Travel and transportation of persons .....                 | 65          | 61      | 61        |
| 22.0                                | Transportation of things .....                             | 4           | 3       | 3         |
| 23.1                                | Rental payments to GSA .....                               | 3           | 4       | 4         |
| 23.2                                | Rental payments to others .....                            | 50          | 77      | 77        |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 12          | 17      | 17        |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 170         | 145     | 150       |
| 26.0                                | Supplies and materials .....                               | 9           | 7       | 7         |
| 31.0                                | Equipment .....  | 33          | 23      | 23        |
| 32.0                                | Land and structures .....                                  | 89          | 12      | 12        |
| 99.9                                | Total new obligations .....                                | 935         | 1,023   | 1,081     |

#### Employment Summary

| Identification code 20-8413-0-8-373                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3,656       | 3,823   | 3,823     |

## INTEREST ON THE PUBLIC DEBT

### Federal Funds

#### INTEREST ON TREASURY DEBT SECURITIES (GROSS)

#### Program and Financing (in millions of dollars)

| Identification code 20-0550-0-1-901                  | 2012 actual | 2013 CR  | 2014 est. |
|--|-------------|----------|-----------|
| <b>Obligations by program activity:</b>              |             |          |           |
| 0001 Interest on Treasury Securities .....           | 359,241     | 420,611  | 418,122   |
| 0900 Total new obligations (object class 43.0) ..... | 359,241     | 420,611  | 418,122   |
| <b>Budgetary Resources:</b>                          |             |          |           |
| Budget authority:                                    |             |          |           |
| Appropriations, mandatory:                           |             |          |           |
| 1200 Appropriation .....                             | 359,241     | 420,611  | 418,122   |
| 1260 Appropriations, mandatory (total) .....         | 359,241     | 420,611  | 418,122   |
| 1930 Total budgetary resources available .....       | 359,241     | 420,611  | 418,122   |
| <b>Change in obligated balance:</b>                  |             |          |           |
| Unpaid obligations:                                  |             |          |           |
| 3010 Obligations incurred, unexpired accounts .....  | 359,241     | 420,611  | 418,122   |
| 3020 Outlays (gross) .....                           | -359,241    | -420,611 | -418,122  |
| <b>Budget authority and outlays, net:</b>            |             |          |           |
| Mandatory:   |             |          |           |
| 4090 Budget authority, gross .....                   | 359,241     | 420,611  | 418,122   |
| Outlays, gross:                                      |             |          |           |
| 4100 Outlays from new mandatory authority .....      | 359,241     | 420,611  | 418,122   |
| 4180 Budget authority, net (total) .....             | 359,241     | 420,611  | 418,122   |
| 4190 Outlays, net (total) .....                      | 359,241     | 420,611  | 418,122   |

Such amounts are appropriated as may be necessary to pay the interest each year on the public debt (31 U.S.C. 1305, 3123). Interest on Government account series securities is generally computed on a cash basis. Interest is generally computed on an accrual basis for all other types of securities.

#### INTEREST ON TREASURY DEBT SECURITIES (GROSS)

#### (Amounts included in the adjusted baseline)

#### Program and Financing (in millions of dollars)

| Identification code 20-0550-7-1-901       | 2012 actual                                    | 2013 CR | 2014 est. |
|---|--|---------|-----------|
| <b>Obligations by program activity:</b>   |  |         |           |
| 0001                                      | Direct program activity .....                  | .....   | -123      |
| 0900                                      | Total new obligations .....                    | .....   | -123      |
| <b>Budgetary Resources:</b>               |  |         |           |
| Budget authority:                         |  |         |           |
| Appropriations, mandatory:                |  |         |           |
| 1200                                      | Appropriation .....                            | .....   | -123      |
| 1260                                      | Appropriations, mandatory (total) .....        | .....   | -123      |
| 1930                                      | Total budgetary resources available .....      | .....   | -123      |
| <b>Change in obligated balance:</b>       |  |         |           |
| Unpaid obligations:                       |  |         |           |
| 3010                                      | Obligations incurred, unexpired accounts ..... | .....   | -123      |
| 3020                                      | Outlays (gross) .....                          | .....   | 123       |
| <b>Budget authority and outlays, net:</b> |  |         |           |
| Mandatory:                                |  |         |           |
| 4090                                      | Budget authority, gross .....                  | .....   | -123      |
| Outlays, gross:                           |  |         |           |
| 4100                                      | Outlays from new mandatory authority .....     | .....   | -123      |
| 4180                                      | Budget authority, net (total) .....            | .....   | -123      |

### INTEREST ON TREASURY DEBT SECURITIES (GROSS)—Continued

#### Program and Financing—Continued

| Identification code 20–0550–7–1–901 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 4190 Outlays, net (total)           |             |         | –123      |

### INTEREST ON TREASURY DEBT SECURITIES (GROSS)

#### (Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 20–0550–2–1–901     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program activity            |             |         | –40       |
| 0900 Total new obligations              |             |         | –40       |

|  |  |  |     |
|--|--|--|-----|
| <b>Budgetary Resources:</b>              |  |  |     |
| Budget authority:                        |  |  |     |
| Appropriations, mandatory:               |  |  |     |
| 1200 Appropriation                       |  |  | –40 |
| 1260 Appropriations, mandatory (total)   |  |  | –40 |
| 1930 Total budgetary resources available |  |  | –40 |

|   |  |  |     |
|---|--|--|-----|
| <b>Change in obligated balance:</b>           |  |  |     |
| Unpaid obligations:                           |  |  |     |
| 3010 Obligations incurred, unexpired accounts |  |  | –40 |
| 3020 Outlays (gross)                          |  |  | 40  |

|   |  |  |     |
|---|--|--|-----|
| <b>Budget authority and outlays, net:</b> |  |  |     |
| Mandatory:                                |  |  |     |
| 4090 Budget authority, gross              |  |  | –40 |
| Outlays, gross:                           |  |  |     |
| 4100 Outlays from new mandatory authority |  |  | –40 |
| 4180 Budget authority, net (total)        |  |  | –40 |
| 4190 Outlays, net (total)                 |  |  | –40 |

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Governmental receipts:</b>   |             |         |           |
| 10–086400 Filing Fees, P.L. 109–171, Title X: Enacted/requested   | 68          | 68      | 68        |
| 20–015800 Transportation Fuels Tax: Enacted/requested   | –5,751      | –3,044  | –1,810    |
| 20–065000 Deposit of Earnings, Federal Reserve System: Enacted/requested  | 81,957      | 82,853  | 92,037    |
| 20–085000 Registration, Filing, and Transaction Fees: Enacted/requested   | 5           |         |           |
| 20–086900 Fees for Legal and Judicial Services, not Otherwise Classified: Enacted/requested                                 | 65          | 65      | 65        |
| 20–089100 Miscellaneous Fees for Regulatory and Judicial Services, not Otherwise Classified: Enacted/requested              | 12          | 12      | 12        |
| 20–101000 Fines, Penalties, and Forfeitures, Agricultural Laws: Enacted/requested   | 4           | 4       | 4         |
| 20–103000 Fines, Penalties, and Forfeitures, Immigration and Labor Laws: Enacted/requested                                  | 145         | 145     | 145       |
| 20–104000 Fines, Penalties, and Forfeitures, Customs, Commerce, and Antitrust Laws: Enacted/requested                       | 140         | 153     | 153       |
| 20–105000 Fines, Penalties, and Forfeitures, Narcotic Prohibition and Alcohol Laws: Enacted/requested                       | 11          | 11      | 11        |
| 20–106000 Forfeitures of Unclaimed Money and Property: Enacted/requested  | 25          | 25      | 25        |
| 20–108000 Fines, Penalties, and Forfeitures, Federal Coal Mine Health and Safety Laws: Enacted/requested                    | 134         | 134     | 134       |
| 20–109600 Penalties on Employers Who Do not Offer Health Coverage or Delay Eligibility for New Employees: Enacted/requested |             |         | 14,571    |
| 20–109700 Penalties on Individuals Who Do not Have Health Coverage: Enacted/requested                                       |             |         | 646       |
| 20–241100 User Fees for IRS: Enacted/requested  | 46          | 20      | 20        |
| 20–309400 Recovery from Airport and Airway Trust Fund for Refunds of Taxes: Enacted/requested                               | 22          | 21      | 22        |
| 20–309500 Recovery from Leaking Underground Storage Tank Trust Fund for Refunds of Taxes, EPA: Enacted/requested            |             | 6       | 6         |
| 20–309990 Refunds of Moneys Erroneously Received and Recovered (20X1807): Enacted/requested                                 | –47         | –51     | –33       |

|  |           |           |           |
|--|-----------|-----------|-----------|
| 95–085015 Registration, Filing, and Transaction Fees, SEC: Enacted/requested             | 325       | 495       | 495       |
| 95–109900 Fines, Penalties, and Forfeitures, not Otherwise Classified: Enacted/requested | 1,323     | 1,323     | 1,323     |
| Legislative proposal, subject to PAYGO   |           |           | 11        |
| 99–011050 Individual Income Taxes: Enacted/requested                                     | 1,132,169 | 1,234,053 | 1,358,115 |
| Legislative proposal, not subject to PAYGO   |           |           | 458       |
| Legislative proposal, subject to PAYGO   |           | –91       | 24,549    |
| 99–011100 Corporation Income and Excess Profits Taxes: Enacted/requested                 | 242,289   | 287,740   | 335,119   |
| Legislative proposal, subject to PAYGO   |           | –24       | –3,066    |
| 99–015250 Other Federal Fund Excise Taxes: Enacted/requested                             | –1,884    | 477       | 522       |
| Legislative proposal, subject to PAYGO   |           |           | –3        |
| 99–015300 Estate and Gift Taxes: Enacted/requested                                       | 13,973    | 12,932    | 12,967    |
| Legislative proposal, subject to PAYGO   |           |           | 47        |
| 99–015500 Tobacco Excise Tax: Enacted/requested  | 16,351    | 15,928    | 15,525    |
| Legislative proposal, subject to PAYGO   |           |           | 10,299    |
| 99–015600 Alcohol Excise Tax: Enacted/requested  | 9,765     | 9,713     | 9,920     |
| 99–015700 Telephone Excise Tax: Enacted/requested  | 757       | 645       | 547       |
| 99–015913 Fee on Health Insurance Providers: Enacted/requested                           |           |           | 6,400     |
| 99–015914 Tax on Indoor Tanning Services: Enacted/requested                              | 102       | 111       | 121       |
| 99–015915 Excise Tax on Medical Device Manufacturers: Enacted/requested                  |           | 2,124     | 2,955     |
| 99–031050 Other Federal Fund Customs Duties: Enacted/requested                           | 19,464    | 23,028    | 25,606    |
| Legislative proposal, subject to PAYGO   |           |           | –526      |
| General Fund Governmental receipts   | 1,511,470 | 1,668,876 | 1,907,460 |

|   |        |        |        |
|---|--------|--------|--------|
| <b>Offsetting receipts from the public:</b>   |        |        |        |
| 20–129900 Gifts to the United States, not Otherwise Classified: Enacted/requested                                 | 3      | 3      | 3      |
| 20–143500 General Fund Proprietary Interest Receipts, not Otherwise Classified: Enacted/requested                 | 3      | 3      | 3      |
| 20–145000 Interest Payments from States, Cash Management Improvement: Enacted/requested                           | 1      | 3      | 5      |
| 20–146310 Interest on Quota in International Monetary Fund: Enacted/requested                                     | 35     | 35     | 35     |
| 20–146320 Interest on Loans to International Monetary Fund: Enacted/requested                                     | 13     | 13     | 13     |
| 20–149900 Interest Received from Credit Financing Accounts: Enacted/requested                                     | 35,243 | 48,218 | 53,015 |
| 20–168200 Gain by Exchange on Foreign Currency Denominated Public Debt Securities: Enacted/requested              | 22     |        |        |
| 20–248500 GSE Fees Pursuant to P.L. 112–78 Sec. 401: Enacted/requested  | 35     | 786    | 1,178  |
| 20–261400 Proceeds from Sale of Securities from the AIG Credit Facility Trust: Enacted/requested                  | 12,992 | 2,588  |        |
| 20–276330 Community Development Financial Institutions Fund, Downward Re-estimate of Subsidies: Enacted/requested | 4      | 1      |        |
| 20–278430 Small Business Lending Fund Direct Loans, Downward Reestimates of Subsidies: Enacted/requested          | 376    |        |        |
| 20–279010 GSE Mortgage-Backed Securities Direct Loans, Negative Subsidies: Enacted/requested                      | 186    |        |        |
| 20–279030 GSE Mortgage-Backed Securities Direct Loans, Downward Reestimates of Subsidies: Enacted/requested       | 7,598  | 760    |        |
| 20–279210 Troubled Asset Relief Program, Negative Subsidies: Enacted/requested                                    | 87     |        |        |
| 20–279230 Troubled Asset Relief Program, Downward Reestimates of Subsidies: Enacted/requested                     | 5,976  | 12,995 |        |
| 20–289400 Proceeds, GSE Equity Related Transactions: Enacted/requested  | 18,379 | 15,419 | 29,662 |
| 20–322000 All Other General Fund Proprietary Receipts: Enacted/requested  | 487    | 487    | 487    |
| 20–387500 Budget Clearing Account (suspense): Enacted/requested   | 135    |        |        |
| General Fund Offsetting receipts from the public  | 81,575 | 81,311 | 84,401 |

|   |       |       |       |
|---|-------|-------|-------|
| <b>Intragovernmental payments:</b>  |       |       |       |
| 14–142400 Interest on Investment, Colorado River Projects: Enacted/requested                                  | 3     | 4     | 4     |
| 14–142700 Interest on Advances to Colorado River Dam Fund, Boulder Canyon Project: Enacted/requested          | 11    | 7     | 6     |
| 20–113000 Unclaimed Assets Recovery Account: Legislative proposal, subject to PAYGO                           |       |       | 3     |
| 20–138800 Interest on Loans to the Presidio: Enacted/requested  | 3     | 3     | 3     |
| 20–135000 Interest on Loans to the Secretary of Transportation, Ocean Freight Differential: Enacted/requested |       | 1     | 1     |
| 20–135100 Interest on Loans to BPA: Enacted/requested   | 375   | 292   | 365   |
| 20–136300 Interest on Loans for College Housing and Academic Facilities Loans, Education: Enacted/requested   | 4     | 3     | 3     |
| 20–140100 Interest on Loans to Commodity Credit Corporation: Enacted/requested                                | 3     | 8     | 15    |
| 20–141300 Interest on Loans to Temporary Corporate Credit Union Stabilization Fund, NCUA: Enacted/requested   | 5     | 8     | 10    |
| 20–141500 Interest on Loans to Federal Deposit Insurance Corporation: Enacted/requested                       |       | 3     | 17    |
| 20–141800 Interest on Loans to Federal Financing Bank: Enacted/requested                                      | 1,671 | 1,244 | 1,817 |
| 20–143300 Interest on Loans to National Flood Insurance Fund, DHS: Enacted/requested                          | 89    | 89    | 147   |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| 20-149500                                     | Interest Payments on Repayable Advances to the Black Lung Disability Trust Fund: Enacted/requested .....             | 37    | 56    | 76    |
| 20-149700                                     | Payment of Interest on Advances to the Railroad Retirement Board: Enacted/requested .....                            | 130   | 110   | 122   |
| 20-150110                                     | Interest on Loans or Advances to the Extended Unemployment Compensation Account: Enacted/requested .....             | 496   | 480   | 460   |
| 20-150120                                     | Interest on Loans and Repayable Advances to the Federal Unemployment Account: Enacted/requested .....                | 750   | 350   | 210   |
| 20-241600                                     | Charges for Administrative Expenses of Social Security Act As Amended: Enacted/requested .....                       | 871   | 906   | 920   |
| 20-310100                                     | Recoveries from Federal Agencies for Settlement of Claims for Contract Disputes: Enacted/requested .....             | 83    |       |       |
| 20-311200                                     | Reimbursement from Federal Agencies for Payments Made As a Result of Discriminatory Conduct: Enacted/requested ..... | 14    | 14    | 14    |
| 20-388500                                     | Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts: Enacted/requested .....            | -7    |       |       |
| 73-142800                                     | Interest on Advances to Small Business Administration: Enacted/requested .....                                       | 1     | 1     |       |
| General Fund Intragovernmental payments ..... |  | 4,539 | 3,579 | 4,193 |

## ADMINISTRATIVE PROVISIONS—DEPARTMENT OF THE TREASURY

## (INCLUDING TRANSFERS OF FUNDS)

SEC. 108. Appropriations to the Department of the Treasury in this Act shall be available for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning; purchase of insurance for official motor vehicles operated in foreign countries; purchase of motor vehicles without regard to the general purchase price limitations for vehicles purchased and used overseas for the current fiscal year; entering into contracts with the Department of State for the furnishing of health and medical services to employees and their dependents serving in foreign countries; and services authorized by 5 U.S.C. 3109.

SEC. 109. Not to exceed 2 percent of any appropriations in this Act made available within the headings—Departmental Offices—Salaries and Expenses, Office of Inspector General, Special Inspector General for the Troubled Asset Relief Program, the Bureau of the Fiscal Service, Alcohol and Tobacco Tax and Trade Bureau, and Financial Crimes Enforcement Network, may be transferred between appropriations upon the advance notification of the Committees on Appropriations: Provided, That no transfer may increase or decrease any such appropriation by more than 2 percent.

SEC. 110. Not to exceed 2 percent of any appropriation made available in this Act to the Internal Revenue Service may be transferred to the Treasury Inspector General for Tax Administration's appropriation upon the advance notification of the Committees on Appropriations: Provided, That no transfer may increase or decrease any such appropriation by more than 2 percent.

SEC. 111. Of the funds available for the purchase of law enforcement vehicles, no funds may be obligated until the Secretary of the Treasury certifies that the purchase by the respective Treasury bureau is consistent with departmental vehicle management principles: Provided, That the Secretary may delegate this authority to the Assistant Secretary for Management.

SEC. 112. None of the funds appropriated in this Act or otherwise available to the Department of the Treasury or the Bureau of Engraving and Printing may be used to redesign the \$1 Federal Reserve note.

SEC. 113. The Secretary of the Treasury may transfer funds from the Bureau of the Fiscal Service, Salaries and Expenses to the Debt Collection Fund as necessary to cover the costs of debt collection: Provided, That such amounts shall be reimbursed to such salaries and expenses account from debt collections received in the Debt Collection Fund.

SEC. 114. Funds appropriated by this or any other Act under the heading "Alcohol and Tobacco Tax and Trade Bureau—Salaries and Expenses" shall be available for retention pay for any employee who would otherwise be subject to a reduction in pay upon the termination of the Bureau's Personnel Management Demonstration Project (as transferred to the Secretary of the Treasury by section 1115 of the Homeland Security Act of 2002, Public Law 107-296 (28 U.S.C. 599B)). Such retention pay shall comply with section 5363 of title 5, United States Code, and related Office of Personnel Management regulations, except as provided in this section. Such retention pay shall be paid at the employee's rate of pay immediately prior to the termination of the demonstration project and shall not be

subject to the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations. The rate of pay of any employee receiving retention pay pursuant to this provision shall be increased at the time of any increase in the maximum rate of basic pay payable for the grade of the employee's position by 50 percent of the dollar amount of each such increase, except that an employee's retained rate of basic pay shall not be so increased if both (a) the employee's retained rate of basic pay immediately prior to the time of such increase exceeds the limitation set forth in section 5304(g)(1) of title 5, United States Code, and related regulations, and (b) the employee's increased rate of pay would exceed the maximum rate of basic pay payable for the employee's position.

SEC. 115. Funds appropriated by this Act, or made available by the transfer of funds in this Act, for the Department of the Treasury's intelligence or intelligence related activities are deemed to be specifically authorized by the Congress for purposes of section 504 of the National Security Act of 1947 (50 U.S.C. 414) during fiscal year 2014 until the enactment of the Intelligence Authorization Act for Fiscal Year 2014.

SEC. 116. Not to exceed \$5,000 shall be made available from the Bureau of Engraving and Printing's Industrial Revolving Fund for necessary official reception and representation expenses.

SEC. 117. The Secretary of the Treasury shall submit a Capital Investment Plan to the Committees on Appropriations of the Senate and the House of Representatives not later than 30 days following the submission of the annual budget for the Administration submitted by the President: Provided, That such Capital Investment Plan shall include capital investment spending from all accounts within the Department of the Treasury, including but not limited to the Department-wide Systems and Capital Investment Programs account, the Working Capital Fund account, and the Treasury Forfeiture Fund account: Provided further, That such Capital Investment Plan shall include expenditures occurring in previous fiscal years for each capital investment project that has not been fully completed.

SEC. 118. Section 1324 of title 31, United States Code, is amended by adding at the end thereof the following new subsection: "(c) Amounts appropriated under subsection (a) of this section shall be administered, as appropriate, as if they were made available through separate appropriations to the Secretary of the Treasury, the Secretary of Homeland Security, and the Attorney General. Funds so appropriated shall be available to the Secretary of the Treasury for refunds by the Internal Revenue Service of taxes collected pursuant to the Internal Revenue Code and related interest; separately to the Secretary of the Treasury for refunds and drawbacks of alcohol, tobacco, firearms and ammunition taxes and refunds of other taxes which may arise and any interest on such refunds, including payment of claims for prior fiscal years; to the Secretary of Homeland Security for refunds and drawbacks of receipts collected pursuant to the customs revenue functions administered by the Department of Homeland Security pursuant to delegation by the Secretary of the Treasury and any interest on such refunds, including payment of claims for prior fiscal years; and to the Attorney General for refunds of firearms taxes and refunds of other taxes which may arise and any interest on such refunds, including payment of claims for prior fiscal years."

SEC. 119. Section 5318(a)(1) of title 31, United States Code (relating to compliance, exemptions, and summons authority), is amended by—(1) Inserting after "appropriate" the following: "federal or (in the case of financial institutions without a federal supervisor) state"; and (2) Inserting after "Service," the following: "In lieu of delegating such authority to a state supervisory agency, the Secretary is also authorized to rely on examinations conducted by a state supervisory agency of a category of financial institution. The Secretary may only rely on such state examinations if the Secretary determines that under the laws of the state, the category of financial institution is required to comply with this subchapter and regulations prescribed under this subchapter, or the state supervisory agency is authorized to ensure that the category of financial institution complies with this subchapter and regulations prescribed under this subchapter."

SEC. 120. Public Law 91-508, as amended (12 U.S.C. 1958 et seq.) is amended in section 128, by (1) Striking "sections 1730d (1) and" and inserting in lieu thereof "section"; (2) Striking "bank supervisory agency, or other"; (3) Inserting after "appropriate" the following: "federal or (in the case of financial institutions without a federal supervisor) state"; and (4) Inserting after "agency," the following: "In lieu of delegating such responsibility to a state supervisory agency, the Secretary is also authorized to rely on examinations conducted by a state supervisory agency of a category

of financial institution. The Secretary may only rely on such state examinations if the Secretary determines that under the laws of the state, the category of financial institution is required to comply with this chapter and section 1829b (and regulations prescribed under this chapter and section 1829b), or the state supervisory agency is authorized to ensure that the category of financial institution complies with this chapter and section 1829b (and regulations prescribed under this chapter and section 1829b)."

SEC. 121. Section 310(b)(2)(E) of title 31, United States Code (relating to the Financial Crimes Enforcement Network), is amended by inserting after "Federal" the first time that it appears, the following: "and foreign".

SEC. 122. Sections 2 and 3 of Public Law 111-302 are hereby repealed.

SEC. 123. Section 5112 of title 31, United States Code, is amended as follows: (1) Subsection (a)(2) is amended by striking "and weighs 11.34 grams"; (2) Subsection (a)(3) is amended by striking "and weighs 5.67 grams"; (3) Subsection (a)(4) is amended by striking "and weighs 2.268 grams"; (4) Subsection (a)(5) is amended by striking "and weighs 5 grams"; (5) Subsection (a)(6) is amended by (A) striking "except as provided under subsection (c) of this section," and (B) striking "and weighs 3.11 grams"; (6) Subsection (b) is amended by striking the first, second, third, fourth, sixth, seventh, and eighth sentences, and striking "metallic,"; and (7) Subsection (c) is amended to read as follows: "The Secretary shall prescribe the weight and the composition of the dollar, half-dollar, quarter-dollar, dime, 5-cent, and one-cent coins. In prescribing the weight and the composition of the dollar, half-dollar, quarter-dollar, dime, 5-cent and one-cent coins, the Secretary shall consider such factors that the Secretary considers, in the Secretary's sole discretion, to be appropriate." Section 5113(a) of title 31, United States Code, is amended by (1) striking the word "and" after "quarter dollar" and inserting after the word "dime", "5-cent, and one-cent"; and (2) striking the second and third sentences.

SEC. 124. Section 5112(r) of title 31, United States Code, is amended by inserting "for circulation" after both instances of "minted and issued".

SEC. 125. Of the funds made available by this Act to the Internal Revenue Service and Alcohol Tobacco Tax and Trade Bureau, not less than \$9,831,851,000 shall be specified to pay for the costs of tax activities, including tax compliance to address the Federal tax gap, as specified for purposes of Section 251(b)(2) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

SEC. 126. Section 114A of the Riegle Community Development and Regulatory Improvement Act of 1994 (12 U.S.C. 4713a) is amended as follows: (1) by amending subsection (h) to read as follows: (h) **FEDERAL CREDIT REFORM ACT.**—The provisions of this section satisfy the requirements of subsections (b) and (e) of section 504 of the Congressional Budget Act of 1974; (2) in subsection (k), by striking "2014" and inserting "2015".

## TITLE VI—GENERAL PROVISIONS—THIS ACT

SEC. 601. None of the funds in this Act shall be used for the planning or execution of any program to pay the expenses of, or otherwise compensate, non-Federal parties intervening in regulatory or adjudicatory proceedings funded in this Act.

SEC. 602. None of the funds appropriated in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 603. The expenditure of any appropriation under this Act for any consulting service through procurement contract pursuant to 5 U.S.C. 3109, shall be limited to those contracts where such expenditures are a matter of public record and available for public inspection, except where otherwise provided under existing law, or under existing Executive Order issued pursuant to existing law.

SEC. 604. None of the funds made available by this Act shall be available for any activity or for paying the salary of any Government employee where funding an activity or paying a salary to a Government employee would result in a decision, determination, rule, regulation, or policy that would prohibit the enforcement of section 307 of the Tariff Act of 1930 (19 U.S.C. 1307).

SEC. 605. No funds appropriated pursuant to this Act may be expended by an entity unless the entity agrees that in expending the assistance the entity will comply with chapter 83 of title 41, United States Code.

SEC. 606. No funds appropriated or otherwise made available under this Act shall be made available to any person or entity that has been convicted of violating chapter 83 of title 41, United States Code.

SEC. 607. Except as otherwise specifically provided by law, not to exceed 50 percent of unobligated balances remaining available at the end of fiscal year 2014 from appropriations made available for salaries and expenses for fiscal year 2014 in this Act, shall remain available through September 30, 2015, for each such account for the purposes authorized: Provided, That notice thereof shall be submitted to the Committees on Appropriations of the House of Representatives and the Senate prior to the expenditure of such funds.

SEC. 608. None of the funds made available in this Act may be used by the Executive Office of the President to request from the Federal Bureau of Investigation any official background investigation report on any individual, except when—

(1) such individual has given his or her express written consent for such request not more than 6 months prior to the date of such request and during the same presidential administration; or

(2) such request is required due to extraordinary circumstances involving national security.

SEC. 609. The cost accounting standards promulgated under chapter 15 of title 41, United States Code shall not apply with respect to a contract under the Federal Employees Health Benefits Program established under chapter 89 of title 5, United States Code.

SEC. 610. For the purpose of resolving litigation and implementing any settlement agreements regarding the nonforeign area cost-of-living allowance program, the Office of Personnel Management may accept and utilize (without regard to any restriction on unanticipated travel expenses imposed in an Appropriations Act) funds made available to the Office of Personnel Management pursuant to court approval.

SEC. 611. No funds appropriated by this Act shall be available to pay for an abortion, or the administrative expenses in connection with any health plan under the Federal Employees Health Benefits Program which provides any benefits or coverage for abortions.

SEC. 612. The provision of section 611 shall not apply where the life of the mother would be endangered if the fetus were carried to term, or the pregnancy is the result of an act of rape or incest.

SEC. 613. In order to promote Government access to commercial information technology, the restriction on purchasing nondomestic articles, materials, and supplies set forth in chapter 83 of title 41, United States Code (popularly known as the Buy American Act), shall not apply to the acquisition by the Federal Government of information technology (as defined in section 11101 of title 40, United States Code), that is a commercial item (as defined in section 103 of title 41, United States Code).

SEC. 614. Notwithstanding section 1353 of title 31, United States Code, no officer or employee of any regulatory agency or commission funded by this Act may accept on behalf of that agency, nor may such agency or commission accept, payment or reimbursement from a non-Federal entity for travel, subsistence, or related expenses for the purpose of enabling an officer or employee to attend and participate in any meeting or similar function relating to the official duties of the officer or employee when the entity offering payment or reimbursement is a person or entity subject to regulation by such agency or commission, or represents a person or entity subject to regulation by such agency or commission, unless the person or entity is an organization described in section 501(c)(3) of the Internal Revenue Code of 1986 and exempt from tax under section 501(a) of such Code.

SEC. 615. The Public Company Accounting Oversight Board shall have authority to obligate funds for the scholarship program established by section 109(c)(2) of the Sarbanes-Oxley Act of 2002 (Public Law 107-204) in an aggregate amount not exceeding the amount of funds collected by the Board as of December 31, 2013, including accrued interest, as a result of the assessment of monetary penalties. Funds available for obligation in fiscal year 2014 shall remain available until expended.

SEC. 616. Notwithstanding section 708 of this Act, funds made available to the Commodity Futures Trading Commission and the Securities and Exchange Commission by this or any other Act may be used for the inter-agency funding and sponsorship of a joint advisory committee to advise on emerging regulatory issues.

SEC. 617. (a)(1) Notwithstanding any other provision of law, an Executive agency covered by this Act otherwise authorized to enter into contracts for either leases or the construction or alteration of real property for office, meeting, storage, or other space must consult with the General Services Administration before issuing a solicitation for offers of new leases or

construction contracts, and in the case of succeeding leases, before entering into negotiations with the current lessor.

(2) Any such agency with authority to enter into an emergency lease may do so during any period declared by the President to require emergency leasing authority with respect to such agency.

(b) For purposes of this section, the term "Executive agency covered by this Act" means any Executive agency provided funds by this Act, but does not include the General Services Administration or the United States Postal Service.

SEC. 618. None of the funds made available in this Act may be used by the Federal Communications Commission to remove the conditions imposed on commercial terrestrial operations in the Order and Authorization adopted by the Commission on January 26, 2011 (DA 11–133), or otherwise permit such operations, until the Commission has resolved concerns of potential widespread harmful interference by such commercial terrestrial operations to commercially available Global Positioning System devices.

SEC. 619. The title of subsection (g) of section 302 of the Federal Election Commission Act of 1971 (2 U.S.C. 432) is amended to read as follows: "(g) Filing of designations, statements, and reports with the Commission". The text of such subsection (g) is amended to read as follows: "All designations, statements, and reports required to be filed under this Act shall be filed with the Commission."

SEC. 620. (a) Section 605 of the Departments of Commerce, Justice, and State, the Judiciary, and Related Agencies Appropriations Act, 1990 (Pub. L. No. 101–162, Section 605, as amended (15 U.S.C. sec. 18a note)) is amended—

(1) in subsection (b)—

(A) in the matter preceding paragraph (1), by striking "The filing fees" and inserting "Subject to subsection (c), the filing fees";

(B) in paragraph (1), by striking "\$45,000" and inserting "\$60,000";

(C) in paragraph (2)—

(i) by striking "\$125,000" and inserting "\$170,000"; and

(ii) by striking "and" at the end;

(D) in paragraph (3)—

(i) by striking "\$280,000" and inserting "\$375,000"; and

(ii) by striking the period at the end and inserting "but less than \$1,000,000,000 (as so adjusted and published); and"; and

(E) by adding at the end the following: "(4) \$500,000 if the aggregate total amount determined under section 7A(a)(2) of the Clayton Act (15 U.S.C. 18a(a)(2)) is not less than \$1,000,000,000 (as so adjusted and published)"; and

(2) by adding at the end the following: "(c) For fiscal year 2016, and each fiscal year thereafter, the Federal Trade Commission shall publish in the Federal Register and increase the amount of each filing fee under subsection (b) in the same manner and on the same dates as provided under section 8(a)(5) of the Clayton Act (15 U.S.C. 19(a)(5)) to reflect the percentage change in the gross national product for the fiscal year as compared to the gross national product for fiscal year 2013 except that the Federal Trade Commission—(1) shall round any increase in

a filing fee under this subsection to the nearest \$5,000; (2) shall not increase filing fees under this subsection if the increase in the gross national product is less than 1 percent; and (3) shall not decrease filing fees under this subsection."

(b) This Section shall take effect on October 1, 2014.

SEC. 621. (a) Subsection (e) of Section 1304 of Title 5, United States Code, is amended—

(1) in paragraph (1), by adding before the period at the end of the first sentence: ", and for the cost of audits, investigations, and oversight activities of the fund and the functions financed by the fund, conducted by the Office's Office of the Inspector General"; and

(2) in paragraph (5), by adding at the end the following: "Such budget shall include an estimate from the Office's Office of the Inspector General of the amount required to pay the reasonable expenses to adequately audit, investigate, and provide other oversight activities of the fund and the functions financed by the fund. This amount shall not exceed .33 percent of the total budgetary authority requested in the budget estimates submitted to Congress by the Office."

SEC. 622. (a) Section 1511 of title XV of division A of the American Recovery and Reinvestment Act of 2009 (Public Law 111–5) ("Act") is amended by striking, "and linked to the website established by section 1526".

(b)(1) Subsection (c) and subsections (e) through (h) of section 1512 of the Act are repealed.

(2) Subsection (d) of section 1512 of the Act is amended to read as follows: "(d) AGENCY REPORTS. Starting October 1, 2013, each agency that made recovery funds available to any recipient shall make available to the public detailed spending data as prescribed by the Office of Management and Budget and pursuant to the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109–282)."

(c) Subsection (a) of section 1514 of the Act is amended by striking "and linked to the website established by section 1526".

(d) Subparagraph (A) of section 1523(b)(4) of the Act is amended by striking "the website established by section 1526" and inserting "a public website".

(e) Sections 1526 and 1554 of the Act are repealed.

(f) Section 1530 of the Act is amended by striking "2013" and inserting "2015".

SEC. 623. Section 408 of the Transportation, Treasury, and Independent Agencies Appropriations Act, 2004 (Public Law 108–199, 118 Stat. 334) is repealed. Any remaining unobligated funds that were made available for the purposes of such section shall remain available within the Federal Buildings Fund for any allowable purposes of the Fund, and shall continue to be subject to such escalation, reprogramming, or transfer authorities available to the Administrator of General Services within the Fund.

SEC. 624. Section 1105(a) of Title 31, United States Code, is amended by striking paragraph (35) and renumbering the following paragraphs accordingly.





# DEPARTMENT OF VETERANS AFFAIRS

## VETERANS HEALTH ADMINISTRATION

### Federal Funds

#### MEDICAL SERVICES

For necessary expenses for furnishing, as authorized by law, inpatient and outpatient care and treatment to beneficiaries of the Department of Veterans Affairs and veterans described in section 1705(a) of title 38, United States Code, including care and treatment in facilities not under the jurisdiction of the Department, and including medical supplies and equipment, bioengineering services, food services, and salaries and expenses of health care employees hired under title 38, United States Code, aid to State homes as authorized by section 1741 of title 38, United States Code, assistance and support services for caregivers as authorized by section 1720G of title 38, United States Code, and loan repayments authorized by section 604 of the Caregivers and Veterans Omnibus Health Services Act of 2010 (Public Law 111–163; 124 Stat. 1174; 38 U.S.C. 7681 note); \$157,500,000, which shall be in addition to funds previously appropriated under this heading that became available on October 1, 2013; and, in addition, \$45,015,527,000, plus reimbursements, shall become available on October 1, 2014, and shall remain available until September 30, 2015: Provided, That, of the amount made available on October 1, 2014, under this heading, \$1,400,000,000 shall remain available until September 30, 2016: Provided further, That notwithstanding any other provision of law, the Secretary of Veterans Affairs shall establish a priority for the provision of medical treatment for veterans who have service-connected disabilities, lower income, or have special needs: Provided further, That notwithstanding any other provision of law, the Secretary of Veterans Affairs shall give priority funding for the provision of basic medical benefits to veterans in enrollment priority groups 1 through 6: Provided further, That notwithstanding any other provision of law, the Secretary of Veterans Affairs may authorize the dispensing of prescription drugs from Veterans Health Administration facilities to enrolled veterans with privately written prescriptions based on requirements established by the Secretary: Provided further, That the implementation of the program described in the previous proviso shall incur no additional cost to the Department of Veterans Affairs.

Note.—This account receives advance appropriations; a full-year 2013 appropriation for this account was enacted in the Consolidated Appropriations Act, 2012 (P.L. 112–74). The amounts included for 2013 also reflect the annualized level provided by the Continuing Appropriations Resolution, 2013 (P.L. 112–175) as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 36–0160–0–1–703                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 233         | 217     | 217       |
| Receipts:   |             |         |           |
| 0220 Pharmaceutical Co-payments, MCCF .....                               | 707         | 724     | 799       |
| 0221 Medical Care Collections Fund, Third Party Prescription Claims ..... | 102         | 100     | 100       |
| 0222 Enhanced-use Lease Proceeds, MCCF .....                              | 10          | 2       | 2         |
| 0223 First Party Collections, MCCF .....                                  | 184         | 188     | 189       |
| 0224 Third Party Collections, MCCF .....                                  | 1,746       | 1,760   | 1,778     |
| 0225 Third Party Collections, MCCF .....                                  |             |         | 129       |
| 0226 Parking Fees, MCCF .....   | 4           | 4       | 4         |
| 0227 Compensated Work Therapy, MCCF .....                                 | 59          | 57      | 57        |
| 0228 MCCF, Long-term Care Copayments .....                                | 3           | 4       | 4         |
| 0240 Payments from Compensation and Pension, MCCF .....                   | 2           | 2       | 2         |
| Adjustments:  |             |         |           |
| 0290 Adjustment - rounding .....  | –3          |         |           |
| 0299 Total receipts and collections .....                                 | 2,814       | 2,841   | 3,064     |
| 0400 Total: Balances and collections .....                                | 3,047       | 3,058   | 3,281     |
| Appropriations:   |             |         |           |
| 0500 Medical Care Collections Fund .....                                  | –2,830      | –2,841  | –2,935    |
| 0501 Medical Care Collections Fund .....                                  |             |         | –129      |
| 0599 Total appropriations .....   | –2,830      | –2,841  | –3,064    |
| 0799 Balance, end of year .....   | 217         | 217     | 217       |

#### Program and Financing (in millions of dollars)

| Identification code 36–0160–0–1–703                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Ambulatory care .....   | 19,646      | 20,164  | 20,340    |
| 0002 Inpatient care .....  | 7,672       | 8,031   | 8,459     |
| 0003 Rehabilitation Care .....                                     | 413         | 461     | 514       |
| 0004 Mental health care .....                                      | 4,202       | 4,788   | 5,431     |
| 0005 Long-term care .....  | 4,867       | 5,557   | 6,415     |
| 0006 Prosthetics care .....  | 1,768       | 1,973   | 2,180     |
| 0007 Dental care .....   | 474         | 513     | 559       |
| 0008 CHAMPVA and Other dependent programs .....                    | 1,233       | 1,340   | 1,460     |
| 0009 Readjustment counseling .....                                 | 170         | 189     | 200       |
| 0091 Total operating expenses .....                                | 40,445      | 43,016  | 45,558    |
| 0101 Ambulatory care .....   | 1,135       | 782     | 505       |
| 0102 Inpatient care .....  | 580         | 406     | 260       |
| 0103 Rehabilitation care .....                                     | 46          | 32      | 20        |
| 0104 Mental health care .....                                      | 341         | 239     | 153       |
| 0105 Long-term care .....  | 238         | 167     | 107       |
| 0106 Prosthetics care .....  | 23          | 16      | 10        |
| 0107 Dental care .....   | 41          | 29      | 19        |
| 0109 Readjustment counseling .....                                 | 7           | 5       | 3         |
| 0110 Provided by the continuing resolution (P.L. 112–175) .....    |             | 1,409   |           |
| 0191 Total capital investment .....                                | 2,411       | 3,085   | 1,077     |
| 0799 Total direct obligations .....                                | 42,856      | 46,101  | 46,635    |
| 0801 Reimbursable program .....                                    | 176         | 287     | 200       |
| 0900 Total new obligations .....                                   | 43,032      | 46,388  | 46,835    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,016       | 491     |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1,400       | 1,430   | 1,558     |
| 1120 Appropriations transferred to other accts [36–0169] .....     | –173        |         |           |
| 1120 Appropriations transferred to other accts [36–0165] .....     | –15         | –15     | –15       |
| 1121 Appropriations transferred from other accts [36–5287] .....   | 2,814       | 2,841   | 2,935     |
| 1121 Appropriations transferred from other accts [36–0152] .....   | 56          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 4,082       | 4,256   | 4,478     |
| Advance appropriations, discretionary:                             |             |         |           |
| 1170 Advance appropriation .....                                   | 39,650      | 41,354  | 43,557    |
| 1173 Advance appropriations permanently reduced .....              | –1,400      |         | –1,400    |
| 1180 Advanced appropriation, discretionary (total) .....           | 38,250      | 41,354  | 42,157    |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 171         | 287     | 200       |
| 1701 Change in uncollected payments, Federal sources .....         | 4           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 175         | 287     | 200       |
| 1900 Budget authority (total) .....                                | 42,507      | 45,897  | 46,835    |
| 1930 Total budgetary resources available .....                     | 43,523      | 46,388  | 46,835    |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 491         |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 5,151       | 7,484   | 10,217    |
| 3010 Obligations incurred, unexpired accounts .....                | 43,032      | 46,388  | 46,835    |
| 3011 Obligations incurred, expired accounts .....                  | –316        |         |           |
| 3020 Outlays (gross) .....   | –40,383     | –43,655 | –45,922   |
| 3050 Unpaid obligations, end of year .....                         | 7,484       | 10,217  | 11,130    |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –12         | –7      | –7        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –4          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 9           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –7          | –7      | –7        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 5,139       | 7,477   | 10,210    |
| 3200 Obligated balance, end of year .....                          | 7,477       | 10,210  | 11,123    |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 42,507      | 45,897  | 46,835    |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 35,398      | 38,690  | 40,394    |
| 4011 Outlays from discretionary balances .....                     | 4,985       | 4,965   | 5,528     |

MEDICAL SERVICES—Continued  
Program and Financing—Continued

| Identification code 36–0160–0–1–703 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 4020                                | Outlays, gross (total) .....                                    | 40,383      | 43,655  | 45,922    |
|                                     | Offsets against gross budget authority and outlays:             |             |         |           |
|                                     | Offsetting collections (collected) from:                        |             |         |           |
| 4030                                | Baseline Program (Medical services) .....                       | –36         | –63     | –63       |
| 4033                                | Non-Federal sources .....                                       | –148        | –224    | –137      |
| 4040                                | Offsets against gross budget authority and outlays (total) .... | –184        | –287    | –200      |
|                                     | Additional offsets against gross budget authority only:         |             |         |           |
| 4050                                | Change in uncollected pymts, Fed sources, unexpired .....       | –4          | .....   | .....     |
| 4052                                | Offsetting collections credited to expired accounts .....       | 13          | .....   | .....     |
| 4060                                | Additional offsets against budget authority only (total) .....  | 9           | .....   | .....     |
| 4070                                | Budget authority, net (discretionary) .....                     | 42,332      | 45,610  | 46,635    |
| 4080                                | Outlays, net (discretionary) .....                              | 40,199      | 43,368  | 45,722    |
| 4180                                | Budget authority, net (total) .....                             | 42,332      | 45,610  | 46,635    |
| 4190                                | Outlays, net (total) .....                                      | 40,199      | 43,368  | 45,722    |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 42,332      | 45,610  | 46,635    |
| Outlays .....                               | 40,199      | 43,368  | 45,722    |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      | .....       | .....   | 129       |
| Outlays .....                               | .....       | .....   | 71        |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 42,332      | 45,610  | 46,764    |
| Outlays .....                               | 40,199      | 43,368  | 45,793    |

For 2015, the Budget requests \$55.6 billion in advance appropriations for the three medical care appropriations: Medical Services, Medical Support and Compliance, and Medical Facilities. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans. Advance appropriations require a multi-year approach to budget planning whereby one year builds off the previous year. This funding enables timely and predictable funding for VA's medical care to prevent our Nation's veterans from being adversely affected by budget delays, and provides opportunities to more effectively use resources in a constrained fiscal environment. For example, estimated savings from management improvements to be achieved in 2013, 2014 and 2015 will reduce the requirements for the 2014 and 2015 appropriations.

For 2014, Medical Care appropriations are increased by \$157.5 million over the 2014 advance appropriations request of \$54.5 billion. Each year, VA updates its budget estimates to incorporate the most recent data on health care utilization rates, actual program experience, and other factors, such as economic trends in unemployment and inflation. As a result of these updates, the adjusted budget estimates more accurately reflect the projected medical demands of veterans enrolled in the VA health care system.

With the resources requested for 2014 and 2015, VA will provide the highest quality health care services for veterans. VA estimates it will treat 6.5 million patients in 2014 and 6.6 million patients in 2015. Operation Enduring Freedom, Operation Iraqi Freedom and Operation New Dawn (OEF/OIF/OND) veterans are expected to reach 674,754 in 2014 (10.4 percent of the total) and 742,146 in 2015 (11.3 percent of the total).

**Medical Services.**—For Medical Services, the Budget reflects the following appropriation funding: the 2013 enacted advance appropriation of \$41.4 billion, augmented by supplemental funding (as provided in P.L. 113–2) and the annualized level

provided by the continuing resolution (P.L. 112–175) (see Note below); the 2014 advance appropriation request of \$43.7 billion; and the 2015 advance appropriation request of \$45.0 billion. This appropriation provides for a comprehensive, integrated health care delivery system that addresses the needs of eligible veterans and beneficiaries in VA medical centers, outpatient clinic facilities, contract hospitals, State homes, and outpatient programs on a fee basis. Hospital and outpatient care is also provided by the private sector for certain dependents and survivors of veterans under the Civilian Health and Medical Programs for the Department of Veterans Affairs (CHAMPVA).

**Note.**—The funding level displayed for 2013 shows the enacted advance appropriation augmented by supplemental funding (as provided in P.L. 113–2) and the annualized level provided by the continuing resolution (P.L. 112–175). The annualized level reflects an additional \$1,409 million in funding. Most of this additional funding is an unintended result of the mechanism by which Congress rescinded a portion of the enacted 2012 advance appropriation and appropriated the same amount with two-year availability. The rescission does not recur as a term and condition under the continuing resolution, but the appropriation does. The additional funding of \$1,409 million is anticipated to be cancelled upon enactment of either a 2013 full-year continuing resolution or regular appropriation.

**Medical Care Collections Fund (MCCF).**—VA estimates collections of nearly \$3.1 billion in 2014 and nearly \$3.2 billion in 2015, representing five percent of available resources in both years. VA has the authority to collect inpatient and outpatient co-payments, medication co-payments, and nursing home co-payments; authority for certain income verification; authority to recover third-party insurance payments from veterans for nonservice-connected conditions; and authority to collect revenue from enhanced use leases. These collections also include those collected from the Compensated Work Therapy Program, Compensation and Living Expenses Program, and the Parking Program.

## WORKLOAD

**Ambulatory care.**—Obligations for 2014 are estimated to be \$20,974 million for this health service category which includes funding for ambulatory care in VA hospital-based and community-based clinics. Contract fee care is provided to eligible beneficiaries when VA facilities are not geographically accessible, services are not available at a particular facility, or when care cannot be provided in a timely manner.

Estimated operating levels are:

|                    | 2012 actual | 2013 est.  | 2014 est.  |
|--------------------|-------------|------------|------------|
| Outpatient Visits: |             |            |            |
| Staff .....        | 74,309,650  | 77,271,844 | 79,949,871 |
| Fee .....          | 12,874,997  | 13,366,815 | 13,939,209 |
| Total .....        | 87,184,647  | 90,638,659 | 93,889,080 |

**Inpatient care.**—Obligations for 2014 are estimated to be \$8,719 million. VA delivers inpatient acute care in its hospitals and through inpatient contract care.

Estimated operating levels are:

|                        | 2012 actual | 2013 est. | 2014 est. |
|------------------------|-------------|-----------|-----------|
| Patients Treated ..... | 630,952     | 639,502   | 644,801   |

**Rehabilitative care.**—Obligations for 2014 are estimated to be \$534 million for the provision of rehabilitative care, including Blind Rehabilitation and Spinal Cord Injury programs. These services include inpatient and outpatient blind and vision rehabilitation programs, adjustment to blindness counseling, patient and family education, and assistive technology. The mission of Spinal Cord Injury and Disorders (SCI/D) Services is to promote the health, independence, quality of life and productivity of individuals with spinal cord injury and disorders through efficient delivery of acute rehabilitation, psychological, social, vocational,

medical and surgical care, professional training, as well as patient and family education.

Estimated operating levels are:

|                        | 2012 actual | 2013 est. | 2014 est. |
|------------------------|-------------|-----------|-----------|
| Patients treated ..... | 16,091      | 16,465    | 16,764    |

**Mental health care.**—Obligations for 2014 are estimated to be \$5,584 million for the inpatient, residential, and outpatient care of veterans with conditions related to mental illness, including alcohol and drug problems. Mental health services and operations ensure the availability of a range of services, from treatment of a variety of common mental health conditions in primary care to more intensive interventions in specialty mental health programs for more severe and persisting mental health conditions. Specialty services such as evidence-based psychotherapies, intensive outpatient programs, residential rehabilitation treatment, and inpatient care are available to meet the range of veterans' needs.

Estimated operating levels are:

|                                    | 2012 actual | 2013 est.  | 2014 est.  |
|------------------------------------|-------------|------------|------------|
| Average daily census .....         | 9,212       | 9,154      | 8,994      |
| Outpatient Visits/Encounters ..... | 11,556,003  | 12,023,995 | 12,467,700 |

**Long-term care.**—Obligations for 2014 are estimated to be \$6,522 million for the care of veteran residents in long-term care programs. VA offers a spectrum of geriatric and extended care services to veterans enrolled in its health care system. The spectrum of long-term care services includes non-institutional and institutional services. All VA medical centers provide home- and community-based long-term care programs. The patient-focused approach supports veterans who wish to live safely at home in their own communities for as long as possible. In addition, veterans receive institutional long-term care through one of four venues: VA Community Living Centers (CLCs); Community Nursing Homes; State Veterans Nursing Homes; and State Veterans Home Domiciliaries.

Estimated operating levels are:

|   | 2012 actual | 2013 est.  | 2014 est.  |
|---|-------------|------------|------------|
| Institutional (ADC) .....                 | 40,847      | 41,580     | 42,466     |
| Non-Institutional Visits/Procedures ..... | 11,458,105  | 13,222,722 | 14,205,014 |

**Prosthetics care.**—Obligations for 2014 are estimated to be \$2,190 million for veterans. Prosthetic and Sensory Aids Service is an integrated delivery system designed to provide medically prescribed prosthetic and sensory aids, medical devices, assistive aids, repairs and services to eligible disabled veterans to maximize the independence and enhance their quality of life. This includes, but is not limited to, artificial limbs, hearing aids, and home oxygen; items that improve accessibility such as ramps and vehicle modifications, wheelchairs and mobility aids; and devices surgically placed in the veteran, such as stents.

**Dental care.**—Obligations for 2014 are estimated to be \$578 million for the treatment of veterans who require dental care. Dental care services are provided to eligible veterans with a "medical condition negatively impacted by poor dentition." These patients may include poorly controlled diabetic patients, patients with head or neck cancer, organ transplant patients and others. Veterans with a 100 percent service-connected disability are eligible for comprehensive dental care as needed. In addition, homeless veterans enrolled in certain residential treatment programs are also eligible for dental treatment.

Estimated operating levels are:

|                         | 2012 actual | 2013 est. | 2014 est. |
|-------------------------|-------------|-----------|-----------|
| Dental Procedures ..... | 4,089,217   | 4,267,492 | 4,436,598 |

**Civilian Health and Medical Program of the Department of Veterans Affairs (CHAMPVA) and Other Dependent Programs.**—Obligations for 2014 are estimated to be \$1,460 million for the care of veterans and beneficiaries of these programs. The

Veterans Health Care Expansion Act of 1973, Public Law 93–82, authorized VA to provide a health benefits program that shares the cost of medical supplies and services with eligible beneficiaries. The Veterans' Survivor Benefits Improvements Act of 2001, Public Law 107–14, extended CHAMPVA benefits, as a secondary payer to Medicare, to CHAMPVA beneficiaries over age 6. CHAMPVA programs also include Foreign Medical Program (FMP), Spina Bifida Health Care Program, and Children of Women Vietnam Veterans Health Care Program (CWVV). The Veterans Caregivers and Veterans Omnibus Health Services Act of 2010, Public Law 111–163, further expanded CHAMPVA to include primary family caregivers of certain seriously injured Veterans. Eligible primary family caregivers are authorized to receive health care benefits through the existing CHAMPVA Program when the primary family caregiver has no other health care coverage (including Medicare and Medicaid).

Estimated operating levels are:

|                            | 2012 actual | 2013 est.  | 2014 est.  |
|----------------------------|-------------|------------|------------|
| Outpatient Workloads ..... | 12,691,000  | 13,706,000 | 14,802,000 |

**Readjustment Counseling.**—Obligations for 2014 are estimated to be \$203 million. This program provides readjustment counseling services at VA Vet Centers. Vet Centers are community-based counseling centers that provide a wide range of social and psychological services to include: professional readjustment counseling to veterans who have served in a combat zone, military sexual trauma counseling, bereavement counseling for families who experience an active duty death, substance abuse assessments and referral, medical referral, VBA benefits explanation and referral, and employment counseling. Services are also extended to the family members of eligible veterans for issues related to military service and the readjustment of those veterans.

Estimated operating levels are:

|              | 2012 actual | 2013 est. | 2014 est. |
|--------------|-------------|-----------|-----------|
| Visits ..... | 1,505,000   | 1,540,000 | 1,574,000 |

## PERFORMANCE MEASURES

**Quality and timeliness of care.**—VA's budget request focuses on the Department's priority of providing timely, accessible, and high-quality health care. To achieve this priority, VA has several key measures that provide detail into quality of care. VA measures its impact on population health using the Clinical Practice Guidelines IV and the Prevention Index V to ensure health system actions improve the health of the veteran community. Clinical Practice Guidelines Index IV assesses the progress and results associated with the management of common chronic diseases that impact the health trajectories of Veterans. The Clinical Practice Guidelines Index IV is expected to reach 93% in 2014, with a strategic target of 94%. Prevention Index V measures VA's efforts in preventing illness through measures such as immunization and screening. VA expects the Prevention Index V to reach 94% in 2014, with a strategic target of 95%.

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Prevention Index V .....                    | 94%         | 93%       | 94%       |
| Clinical Practice Guidelines Index IV ..... | 94%         | 92%       | 93%       |

**Access to medical care.**—VA examines wait times for completed appointments with the ultimate goal of delivering high quality service at the time wanted and needed by each veteran. In 2014, VA will measure wait times for primary care, specialty care, and mental health appointments for new and established patients. In 2013, VHA updated the methodologies to measure wait times for new and established patient appointments to improve reliability and consistency. Therefore, no targets are set in 2013 and 2014 so that baseline performance can be established.

## MEDICAL SERVICES—Continued

Appointment performance measures are provided below:

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Percent of new primary care appointments completed within 14 days of the create date for the appointment <sup>1</sup> .....                           | N/Av        | TBD       | TBD       |
| Percent of established primary care patients with a scheduled appointment within 14 days of the desired date for the appointment <sup>2</sup> .....   | N/Av        | TBD       | TBD       |
| Percent of new specialty care appointments completed within 14 days of the create date of the appointment <sup>1</sup> .....                          | N/Av        | TBD       | TBD       |
| Percent of established specialty care patients with a scheduled appointment within 14 days of the desired date for the appointment <sup>2</sup> ..... | N/Av        | TBD       | TBD       |
| Percent of new mental health appointments completed within 14 days of the create date for the appointment <sup>1</sup> .....                          | N/Av        | TBD       | TBD       |
| Percent of established mental health patients with a scheduled appointment within 14 days of the desired date for the appointment <sup>2</sup> .....  | N/Av        | TBD       | TBD       |

<sup>1</sup> In 2013, VHA updated the methodology to measure wait times for new patient appointments to improve reliability and consistency. Appointments for new patients will use the create date, defined as when the appointment was made and automatically captured by the scheduling system. Therefore, no targets are set in 2013 and 2014 so baseline performance can be established.

<sup>2</sup> In 2013, VHA updated the methodology to measure wait times for established patient appointments to improve reliability and consistency. Appointments for established patients will use the desired date, defined as the agreed upon date determined together by provider and patient. Desired date is measured prospectively to better represent patient satisfaction. Therefore, no targets are set in 2013 and 2014 so baseline performance can be established.

## Object Classification (in millions of dollars)

| Identification code 36–0160–0–1–703  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:  |             |         |           |
| Personnel compensation:  |             |         |           |
| 11.1 Full-time permanent .....   | 13,831      | 14,381  | 14,992    |
| 11.3 Other than full-time permanent .....  | 287         | 292     | 311       |
| 11.5 Other personnel compensation .....  | 1,595       | 1,663   | 1,729     |
| Total personnel compensation .....   | 15,713      | 16,336  | 17,032    |
| 12.1 Civilian personnel benefits .....   | 4,676       | 4,922   | 5,310     |
| 13.0 Benefits for former personnel .....   | 14          | 14      | 14        |
| 21.0 Employee travel .....   | 53          | 53      | 53        |
| 21.0 Beneficiary travel .....  | 860         | 903     | 937       |
| 21.0 Interagency motor pool payments .....   | 19          | 19      | 19        |
| 21.0 All other .....   | 6           | 6       | 6         |
| 22.0 Transportation of things .....  | 11          | 12      | 14        |
| 23.2 Rental payments to others .....   | 3           |         |           |
| 23.3 Communications, utilities, and miscellaneous charges .....                                | 316         | 352     | 394       |
| 24.0 Printing and reproduction .....   | 18          | 18      | 18        |
| 25.2 Other contractual services .....  | 4,435       | 4,823   | 5,025     |
| 25.6 Outpatient dental fees .....  | 98          | 102     | 107       |
| 25.6 Medical and nursing fees .....  | 1,604       | 1,738   | 1,884     |
| 25.6 Community nursing homes .....   | 617         | 662     | 725       |
| 25.6 Contract hospitalization .....  | 1,587       | 1,753   | 1,937     |
| 25.6 Civilian Health and Medical Program of the Department of Veterans Affairs (CHAMPVA) ..... | 929         | 1,019   | 1,113     |
| 26.0 Medical supplies and materials .....  | 8,325       | 8,806   | 9,368     |
| 31.0 Equipment .....   | 2,404       | 1,676   | 1,077     |
| 32.0 Land and structures .....   | 6           |         |           |
| 41.0 Medical grants, subsidies, and contributions .....  | 852         | 975     | 1,066     |
| 41.0 Medical grants to private organizations .....   | 310         | 503     | 536       |
| 92.0 Undistributed - provided by the continuing resolution (P.L.112–175) .....                 |             | 1,409   |           |
| 99.0 Direct obligations .....  | 42,856      | 46,101  | 46,635    |
| 99.0 Reimbursable obligations .....  | 176         | 287     | 200       |
| 99.9 Total new obligations .....   | 43,032      | 46,388  | 46,835    |

## Employment Summary

| Identification code 36–0160–0–1–703                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 185,531     | 192,880 | 199,793   |

|  |       |       |       |
|--|-------|-------|-------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,782 | 1,872 | 1,872 |
|--|-------|-------|-------|

## MEDICAL SERVICES

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 36–0160–2–1–703                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Ambulatory care .....                                      |             |         | 129       |
| 0900 Total new obligations (object class 25.2) .....            |             |         | 129       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1121 Appropriations transferred from other accts [36–5287] .... |             |         | 129       |
| 1160 Appropriation, discretionary (total) .....                 |             |         | 129       |
| 1930 Total budgetary resources available .....                  |             |         | 129       |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....             |             |         | 129       |
| 3020 Outlays (gross) .....                                      |             |         | –71       |
| 3050 Unpaid obligations, end of year .....                      |             |         | 58        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3200 Obligated balance, end of year .....                       |             |         | 58        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              |             |         | 129       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             |             |         | 71        |
| 4180 Budget authority, net (total) .....                        |             |         | 129       |
| 4190 Outlays, net (total) .....                                 |             |         | 71        |

VA is proposing to increase the Department's ability to bill private insurers for care provided to treat nonservice-connected conditions. The proposals would allow VA to be considered as a participating provider for purposes of reimbursement and would allow for disclosure of health care information for billing purposes to be consistent with the use of this information for treatment purposes (subject to all applicable privacy laws and regulations).

## MEDICAL SUPPORT AND COMPLIANCE

*For necessary expenses in the administration of the medical, hospital, nursing home, domiciliary, construction, supply, and research activities, as authorized by law; administrative expenses in support of capital policy activities; and administrative and legal expenses of the Department for collecting and recovering amounts owed the Department as authorized under chapter 17 of title 38, United States Code, and the Federal Medical Care Recovery Act (42 U.S.C. 2651 et seq.); \$5,879,700,000, plus reimbursements, shall become available on October 1, 2014, and shall remain available until September 30, 2015: Provided, That, of the amount available under this heading, \$100,000,000 shall remain available until September 30, 2016.*

Note.—This account receives advance appropriations; a full-year 2013 appropriation for this account was enacted in the Consolidated Appropriations Act, 2012 (P.L. 112–74).

## Program and Financing (in millions of dollars)

| Identification code 36–0152–0–1–703             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>         |             |         |           |
| 0001 Ambulatory care .....                      | 2,584       | 3,029   | 3,197     |
| 0002 Inpatient care .....                       | 1,037       | 1,037   | 1,037     |
| 0003 Rehabilitation care .....                  | 75          | 75      | 75        |
| 0004 Mental health care .....                   | 707         | 707     | 707       |
| 0005 Long-term care .....                       | 580         | 580     | 580       |
| 0006 Prosthetics care .....                     | 155         | 155     | 155       |
| 0007 Dental care .....                          | 84          | 84      | 84        |
| 0008 CHAMPVA and other dependent programs ..... | 77          | 96      | 108       |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 0091 | Total operating expenses .....                             | 5,299 | 5,763 | 5,943 |
| 0101 | Ambulatory care .....                                      | 44    | 42    | 43    |
| 0102 | Inpatient care .....                                       | 17    | 17    | 17    |
| 0103 | Rehabilitation care .....                                  | 1     | 1     | 1     |
| 0104 | Mental health care .....                                   | 13    | 13    | 13    |
| 0105 | Long-term care .....                                       | 11    | 11    | 11    |
| 0106 | Prosthetics care .....                                     | 4     | 4     | 4     |
| 0107 | Dental care .....  | 1     | 1     | 1     |
| 0110 | Provided by the continuing resolution (P.L. 112–175) ..... |       | 101   |       |
| 0191 | Total capital investment .....                             | 91    | 190   | 90    |
| 0293 | Total direct program .....                                 | 5,390 | 5,953 | 6,033 |
| 0799 | Total direct obligations .....                             | 5,390 | 5,953 | 6,033 |
| 0801 | Reimbursable program activity .....                        | 37    | 78    | 40    |
| 0900 | Total new obligations .....                                | 5,427 | 6,031 | 6,073 |

**Budgetary Resources:**

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 1000 | Unobligated balance:   |       |       |       |
|      | Unobligated balance brought forward, Oct 1 .....               | 103   | 106   |       |
|      | Budget authority:  |       |       |       |
|      | Appropriations, discretionary:                                 |       |       |       |
| 1100 | Appropriation .....  | 100   | 101   | 100   |
| 1120 | Appropriations transferred to other accts [36–0169] .....      | –24   |       |       |
| 1120 | Appropriations transferred to other accts [36–0160] .....      | –56   |       |       |
| 1120 | Appropriations transferred to other accts [36–0165] .....      | –50   |       |       |
| 1160 | Appropriation, discretionary (total) .....                     | –30   | 101   | 100   |
|      | Advance appropriations, discretionary:                         |       |       |       |
| 1170 | Advance appropriation .....                                    | 5,535 | 5,746 | 6,033 |
| 1173 | Advance appropriations permanently reduced .....               | –100  |       | –100  |
| 1180 | Advanced appropriation, discretionary (total) .....            | 5,435 | 5,746 | 5,933 |
|      | Spending authority from offsetting collections, discretionary: |       |       |       |
| 1700 | Collected .....  | 35    | 78    | 40    |
| 1701 | Change in uncollected payments, Federal sources .....          | 1     |       |       |
| 1750 | Spending auth from offsetting collections, disc (total) .....  | 36    | 78    | 40    |
| 1900 | Budget authority (total) .....                                 | 5,441 | 5,925 | 6,073 |
| 1930 | Total budgetary resources available .....                      | 5,544 | 6,031 | 6,073 |
|      | Memorandum (non-add) entries:                                  |       |       |       |
| 1940 | Unobligated balance expiring .....                             | –11   |       |       |
| 1941 | Unexpired unobligated balance, end of year .....               | 106   |       |       |

**Change in obligated balance:**

|      |  |        |        |        |
|------|--|--------|--------|--------|
| 3000 | Unpaid obligations:  |        |        |        |
|      | Unpaid obligations, brought forward, Oct 1 .....             | 897    | 897    | 1,222  |
| 3010 | Obligations incurred, unexpired accounts .....               | 5,427  | 6,031  | 6,073  |
| 3011 | Obligations incurred, expired accounts .....                 | –101   |        |        |
| 3020 | Outlays (gross) .....  | –5,326 | –5,706 | –5,955 |
| 3050 | Unpaid obligations, end of year .....                        | 897    | 1,222  | 1,340  |
|      | Uncollected payments:  |        |        |        |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –3     | –1     | –1     |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | –1     |        |        |
| 3071 | Change in uncollected pymts, Fed sources, expired .....      | 3      |        |        |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | –1     | –1     | –1     |
|      | Memorandum (non-add) entries:                                |        |        |        |
| 3100 | Obligated balance, start of year .....                       | 894    | 896    | 1,221  |
| 3200 | Obligated balance, end of year .....                         | 896    | 1,221  | 1,339  |

**Budget authority and outlays, net:**

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 4000 | Discretionary:  |       |       |       |
|      | Budget authority, gross .....                                   | 5,441 | 5,925 | 6,073 |
|      | Outlays, gross:   |       |       |       |
| 4010 | Outlays from new discretionary authority .....                  | 4,642 | 5,192 | 5,384 |
| 4011 | Outlays from discretionary balances .....                       | 684   | 514   | 571   |
| 4020 | Outlays, gross (total) .....                                    | 5,326 | 5,706 | 5,955 |
|      | Offsets against gross budget authority and outlays:             |       |       |       |
|      | Offsetting collections (collected) from:                        |       |       |       |
| 4030 | Federal sources .....   | –34   | –78   | –40   |
| 4033 | Non-Federal sources .....                                       | –4    |       |       |
| 4040 | Offsets against gross budget authority and outlays (total) .... | –38   | –78   | –40   |
|      | Additional offsets against gross budget authority only:         |       |       |       |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | –1    |       |       |
| 4052 | Offsetting collections credited to expired accounts .....       | 3     |       |       |
| 4060 | Additional offsets against budget authority only (total) .....  | 2     |       |       |
| 4070 | Budget authority, net (discretionary) .....                     | 5,405 | 5,847 | 6,033 |
| 4080 | Outlays, net (discretionary) .....                              | 5,288 | 5,628 | 5,915 |
| 4180 | Budget authority, net (total) .....                             | 5,405 | 5,847 | 6,033 |
| 4190 | Outlays, net (total) .....                                      | 5,288 | 5,628 | 5,915 |

For 2015, the Budget requests \$5.9 billion in advance appropriations for Medical Support and Compliance. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

For Medical Support and Compliance, the Budget reflects the following appropriation funding: the 2013 enacted advance appropriation of \$5.7 billion, augmented by the annualized level provided by the continuing resolution (P.L. 112–175) (see *Note* below); the 2014 appropriation request of \$6.0 billion; and the 2015 advance appropriation request of \$5.9 billion. The Medical Support and Compliance appropriation finances the expenses of management, security, and administration of the VA health care system through the operation of VA medical centers, other facilities, Veterans Integrated Service Network offices and facility director offices, chief of staff operations, quality of care oversight, legal services, billing and coding activities, procurement, financial management, and human resource management.

*Note.*—The funding level displayed for 2013 shows the enacted advance appropriation augmented by the annualized level provided by the continuing resolution (P.L. 112–175). The annualized level reflects an additional \$101 million in funding. Most of this additional funding is an unintended result of the mechanism by which Congress rescinded a portion of the enacted 2012 advance appropriation and appropriated the same amount with two-year availability. The rescission does not recur as a term and condition under the continuing resolution, but the appropriation does. The additional funding of \$101 million is anticipated to be cancelled upon enactment of either a 2013 full-year continuing resolution or regular appropriation.

**Object Classification** (in millions of dollars)

| Identification code 36–0152–0–1–703 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....  | 2,669       | 2,743   | 2,856     |
| 11.3                                | Other than full-time permanent .....                                       | 55          | 54      | 59        |
| 11.5                                | Other personnel compensation .....   | 308         | 318     | 330       |
| 11.9                                | Total personnel compensation .....   | 3,032       | 3,115   | 3,245     |
| 12.1                                | Civilian personnel benefits .....  | 938         | 981     | 1,033     |
| 13.0                                | Benefits for former personnel .....  | 5           | 5       | 5         |
| 21.0                                | Employee travel .....  | 63          | 63      | 63        |
| 21.0                                | All other .....  | 5           | 5       | 5         |
| 22.0                                | Transportation of things .....   | 11          | 12      | 12        |
| 23.3                                | Communications, utilities, and miscellaneous charges .....                 | 118         | 125     | 135       |
| 24.0                                | Printing and reproduction .....  | 14          | 15      | 15        |
| 25.2                                | Other contractual services .....   | 1,010       | 1,351   | 1,328     |
| 25.6                                | Medical and nursing fees .....   | 5           |         |           |
| 26.0                                | Medical supplies and materials .....                                       | 97          | 90      | 102       |
| 26.0                                | Provisions .....   | 2           |         |           |
| 31.0                                | Equipment .....  | 89          | 90      | 90        |
| 32.0                                | Land and structures .....  | 1           |         |           |
| 92.0                                | Undistributed - provided by the continuing resolution (P.L. 112–175) ..... |             | 101     |           |
| 99.0                                | Direct obligations .....   | 5,390       | 5,953   | 6,033     |
| 99.0                                | Reimbursable obligations .....   | 37          | 78      | 40        |
| 99.9                                | Total new obligations .....  | 5,427       | 6,031   | 6,073     |

**Employment Summary**

| Identification code 36–0152–0–1–703 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 46,190      | 47,735  | 49,060    |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 831         | 869     | 869       |

## DOD-VA HEALTH CARE SHARING INCENTIVE FUND

## Program and Financing (in millions of dollars)

| Identification code 36-0165-0-1-703                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 DOD-VA health care sharing incentive fund .....              | 58          | 94      | 94        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 189         | 263     | 199       |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           |         |           |
| 1050 Unobligated balance (total) .....                            | 191         | 263     | 199       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1121 Transferred from VA account [36-0160] .....                  | 15          | 15      | 15        |
| 1121 Transferred from DOD account [97-0130] .....                 | 65          | 15      | 15        |
| 1121 Transferred from VA account [36-0152] .....                  | 50          |         |           |
| 1160 Appropriation, discretionary (total) .....                   | 130         | 30      | 30        |
| 1930 Total budgetary resources available .....                    | 321         | 293     | 229       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 263         | 199     | 135       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 42          | 37      | 1         |
| 3010 Obligations incurred, unexpired accounts .....               | 58          | 94      | 94        |
| 3020 Outlays (gross) .....  | -61         | -130    | -80       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 37          | 1       | 15        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 42          | 37      | 1         |
| 3200 Obligated balance, end of year .....                         | 37          | 1       | 15        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 130         | 30      | 30        |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 61          | 130     | 80        |
| 4180 Budget authority, net (total) .....                          | 130         | 30      | 30        |
| 4190 Outlays, net (total) .....                                   | 61          | 130     | 80        |

The purpose of the Department of Defense-Veterans Affairs Health Care Sharing Incentive Fund, often referred to as the Joint Incentive Fund (JIF), is to enable the Departments to carry out a program to identify and provide incentives to implement creative sharing initiatives at the facility, intra-regional and nationwide levels. The JIF promotes collaboration and new approaches to problem solving to enable the Departments to improve the coordination of health care services. The Departments have established the fund and developed processes and criteria to solicit and select projects. Section 721 of the 2003 National Defense Authorization Act, Public Law 107-314, established the fund and requires VA and Department of Defense to establish a joint incentive program. In 2014, each Secretary shall contribute a minimum of \$15 million to the fund after the appropriation is enacted.

## Object Classification (in millions of dollars)

| Identification code 36-0165-0-1-703                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 10          | 15      | 15        |
| 12.1 Civilian personnel benefits .....                 |             | 3       | 3         |
| 25.1 Advisory and assistance services .....            | 43          | 58      | 58        |
| 26.0 Supplies and materials .....                      | 1           | 6       | 6         |
| 31.0 Equipment .....                                   | 2           | 9       | 9         |
| 32.0 Land and structures .....                         | 2           | 3       | 3         |
| 99.9 Total new obligations .....                       | 58          | 94      | 94        |

## Employment Summary

| Identification code 36-0165-0-1-703                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 151         | 225     | 250       |

## MEDICAL FACILITIES

*For necessary expenses for the maintenance and operation of hospitals, nursing homes, domiciliary facilities, and other necessary facilities of the Veterans Health Administration; for administrative expenses in support of planning, design, project management, real property acquisition and disposition, construction, and renovation of any facility under the jurisdiction or for the use of the Department; for oversight, engineering, and architectural activities not charged to project costs; for repairing, altering, improving, or providing facilities in the several hospitals and homes under the jurisdiction of the Department, not otherwise provided for, either by contract or by the hire of temporary employees and purchase of materials; for leases of facilities; and for laundry services, \$4,739,000,000, plus reimbursements, shall become available on October 1, 2014, and shall remain available until September 30, 2015: Provided, That, of the amount made available under this heading, \$250,000,000 shall remain available until September 30, 2016.*

Note.—This account receives advance appropriations; a full-year 2013 appropriation for this account was enacted in the Consolidated Appropriations Act, 2012 (P.L. 112-74). The amounts included for 2013 also reflect the annualized level provided by the Continuing Appropriations Resolution, 2013 (P.L. 112-175) as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013.

## Program and Financing (in millions of dollars)

| Identification code 36-0162-0-1-703                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Ambulatory care .....   | 1,701       | 1,942   | 1,900     |
| 0002 Inpatient care .....  | 712         | 712     | 712       |
| 0003 Rehabilitation care .....                                     | 52          | 52      | 52        |
| 0004 Mental health care .....                                      | 499         | 499     | 499       |
| 0005 Long-term care .....  | 390         | 390     | 390       |
| 0006 Prosthetics care .....  | 123         | 123     | 123       |
| 0007 Dental care .....   | 59          | 59      | 59        |
| 0008 CHAMPVA and other dependent programs .....                    | 5           | 6       | 6         |
| 0009 Readjustment counseling .....                                 | 25          | 25      | 25        |
| 0091 Total operating expenses .....                                | 3,566       | 3,808   | 3,766     |
| 0101 Ambulatory care .....   | 850         | 781     | 514       |
| 0102 Inpatient care .....  | 402         | 370     | 244       |
| 0103 Rehabilitation care .....                                     | 27          | 25      | 17        |
| 0104 Mental health care .....                                      | 282         | 259     | 171       |
| 0105 Long-term care .....  | 220         | 202     | 133       |
| 0106 Prosthetics care .....  | 10          | 9       | 6         |
| 0107 Dental care .....   | 32          | 29      | 19        |
| 0109 Readjustment counseling .....                                 | 3           | 3       | 2         |
| 0110 Provided by the continuing resolution (P.L. 112-175) .....    |             | 252     |           |
| 0191 Total capital investment .....                                | 1,826       | 1,930   | 1,106     |
| 0799 Total direct obligations .....                                | 5,392       | 5,738   | 4,872     |
| 0801 Reimbursable program .....                                    | 17          | 43      | 25        |
| 0900 Total new obligations .....                                   | 5,409       | 5,781   | 4,897     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 43          | 39      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 250         | 258     | 250       |
| 1120 Appropriations transferred to other accts [36-0169] .....     | -37         |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 213         | 258     | 250       |
| Advance appropriations, discretionary:                             |             |         |           |
| 1170 Advance appropriation .....                                   | 5,426       | 5,441   | 4,872     |
| 1173 Advance appropriations permanently reduced .....              | -250        |         | -250      |
| 1180 Advanced appropriation, discretionary (total) .....           | 5,176       | 5,441   | 4,622     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 18          | 43      | 25        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 18          | 43      | 25        |
| 1900 Budget authority (total) .....                                | 5,407       | 5,742   | 4,897     |
| 1930 Total budgetary resources available .....                     | 5,450       | 5,781   | 4,897     |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| Memorandum (non-add) entries:                       |   |        |        |        |
| 1940  | Unobligated balance expiring .....                              | -2     |        |        |
| 1941  | Unexpired unobligated balance, end of year .....                | 39     |        |        |
| <b>Change in obligated balance:</b>                 |   |        |        |        |
| Unpaid obligations:                                 |   |        |        |        |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....                | 3,318  | 3,247  | 3,702  |
| 3010  | Obligations incurred, unexpired accounts .....                  | 5,409  | 5,781  | 4,897  |
| 3011  | Obligations incurred, expired accounts .....                    | -20    |        |        |
| 3020  | Outlays (gross) .....   | -5,460 | -5,326 | -4,899 |
| 3050  | Unpaid obligations, end of year .....                           | 3,247  | 3,702  | 3,700  |
| Memorandum (non-add) entries:                       |   |        |        |        |
| 3100  | Obligated balance, start of year .....                          | 3,318  | 3,247  | 3,702  |
| 3200  | Obligated balance, end of year .....                            | 3,247  | 3,702  | 3,700  |
| <b>Budget authority and outlays, net:</b>           |   |        |        |        |
| Discretionary:                                      |   |        |        |        |
| 4000  | Budget authority, gross .....                                   | 5,407  | 5,742  | 4,897  |
| Outlays, gross:                                     |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 3,358  | 4,165  | 3,666  |
| 4011  | Outlays from discretionary balances .....                       | 2,102  | 1,161  | 1,233  |
| 4020  | Outlays, gross (total) .....                                    | 5,460  | 5,326  | 4,899  |
| Offsets against gross budget authority and outlays: |   |        |        |        |
| Offsetting collections (collected) from:            |   |        |        |        |
| 4030  | Federal sources .....   | -6     | -16    | -16    |
| 4033  | Non-Federal sources .....                                       | -12    | -27    | -9     |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -18    | -43    | -25    |
| 4070  | Budget authority, net (discretionary) .....                     | 5,389  | 5,699  | 4,872  |
| 4080  | Outlays, net (discretionary) .....                              | 5,442  | 5,283  | 4,874  |
| 4180  | Budget authority, net (total) .....                             | 5,389  | 5,699  | 4,872  |
| 4190  | Outlays, net (total) .....                                      | 5,442  | 5,283  | 4,874  |

For 2015, the Budget requests advance appropriations of \$4.7 billion for Medical Facilities. This request for advance appropriations fulfills the Administration's commitment to provide reliable and timely resources to support the delivery of accessible and high-quality medical services for veterans.

For Medical Facilities, the Budget reflects the following appropriation funding: the 2013 enacted advance appropriation of \$5.4 billion, augmented by supplemental funding (as provided in P.L. 113-2) and the annualized level provided by the continuing resolution (P.L. 112-175) (see *Note* below); the 2014 appropriation request of \$4.9 billion; and the 2015 advance appropriation request of \$4.7 billion. Medical Facilities provides for the operations and maintenance of the capital infrastructure required to provide health care to the Nation's veterans. These costs include utilities, engineering, capital planning, leases, laundry services, grounds maintenance, trash removal, housekeeping, fire protection, pest management, facility repair, and property disposition and acquisition.

*Note.*—The funding level displayed for 2013 shows the enacted advance appropriation augmented by supplemental funding (as provided in P.L. 113-2) and the annualized level provided by the continuing resolution (P.L. 112-175). The annualized level reflects an additional \$252 million in funding. Most of this additional funding is an unintended result of the mechanism by which Congress rescinded a portion of the enacted 2012 advance appropriation and appropriated the same amount with two-year availability. The rescission does not recur as a term and condition under the continuing resolution, but the appropriation does. The additional funding of \$252 million is anticipated to be cancelled upon enactment of either a 2013 full-year continuing resolution or regular appropriation.

**Object Classification** (in millions of dollars)

| Identification code 36-0162-0-1-703 | 2012 actual                          | 2013 CR | 2014 est. |     |
|-------------------------------------|--------------------------------------|---------|-----------|-----|
| Direct obligations:                 |                                      |         |           |     |
| Personnel compensation:             |                                      |         |           |     |
| 11.1                                | Full-time permanent .....            | 1,052   | 1,081     | 931 |
| 11.3                                | Other than full-time permanent ..... | 22      | 21        | 22  |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 11.5 | Other personnel compensation .....   | 122   | 126   | 132   |
| 11.9 | Total personnel compensation .....   | 1,196 | 1,228 | 1,085 |
| 12.1 | Civilian personnel benefits .....  | 390   | 408   | 389   |
| 13.0 | Benefits for former personnel .....  | 2     | 2     | 2     |
| 21.0 | Employee travel .....  | 6     | 6     | 8     |
| 21.0 | All other .....  | 27    | 27    | 26    |
| 22.0 | Transportation of things .....   | 15    | 16    | 17    |
| 23.1 | Rental payments to GSA .....   | 26    | 27    | 26    |
| 23.2 | Rental payments to others .....  | 414   | 516   | 509   |
| 23.3 | Communications, utilities, and miscellaneous charges .....                 | 527   | 536   | 573   |
| 25.2 | Other contractual services .....   | 648   | 717   | 888   |
| 26.0 | Medical supplies and materials .....                                       | 315   | 325   | 372   |
| 31.0 | Equipment .....  | 127   | 135   | 175   |
| 32.0 | Medical land and structures .....  | 1,699 | 1,543 | 802   |
| 92.0 | Undistributed - provided by the continuing resolution (P.L. 112-175) ..... |       | 252   |       |
| 99.0 | Direct obligations .....   | 5,392 | 5,738 | 4,872 |
| 99.0 | Reimbursable obligations .....   | 17    | 43    | 25    |
| 99.9 | Total new obligations .....  | 5,409 | 5,781 | 4,897 |

**Employment Summary**

| Identification code 36-0162-0-1-703 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 22,843      | 23,678  | 23,224    |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 478         | 490     | 490       |

**MEDICAL AND PROSTHETIC RESEARCH**

*For necessary expenses in carrying out programs of medical and prosthetic research and development as authorized by chapter 73 of title 38, United States Code, \$585,664,000, plus reimbursements, shall remain available until September 30, 2015.*

*Note.*—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 36-0161-0-1-703                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Bio-medical laboratory science research .....                 | 270         | 286     | 271       |
| 0002 Rehabilitation research .....                                 | 85          | 101     | 95        |
| 0003 Health services research .....                                | 80          | 96      | 90        |
| 0004 Clinical science research .....                               | 96          | 127     | 116       |
| 0091 Total operating expenses .....                                | 531         | 610     | 572       |
| 0101 Bio-medical laboratory science research .....                 | 12          | 15      | 12        |
| 0102 Rehabilitation research .....                                 | 3           | 3       | 3         |
| 0103 Health services research .....                                | 1           | 1       | 1         |
| 0104 Clinical science research .....                               | 3           | 3       | 3         |
| 0191 Total capital investment .....                                | 19          | 22      | 19        |
| 0799 Total direct obligations .....                                | 550         | 632     | 591       |
| 0801 Reimbursable program .....                                    | 30          | 35      | 35        |
| 0900 Total new obligations .....                                   | 580         | 667     | 626       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 72          | 103     | 56        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 581         | 585     | 586       |
| 1160 Appropriation, discretionary (total) .....                    | 581         | 585     | 586       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 31          | 35      | 35        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 31          | 35      | 35        |
| 1900 Budget authority (total) .....                                | 612         | 620     | 621       |
| 1930 Total budgetary resources available .....                     | 684         | 723     | 677       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 103         | 56      | 51        |

MEDICAL AND PROSTHETIC RESEARCH—Continued  
Program and Financing—Continued

| Identification code 36-0161-0-1-703                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 253         | 211     | 268       |
| 3010 Obligations incurred, unexpired accounts .....                  | 580         | 667     | 626       |
| 3011 Obligations incurred, expired accounts .....                    | -7          |         |           |
| 3020 Outlays (gross) .....   | -615        | -610    | -611      |
| 3050 Unpaid obligations, end of year .....                           | 211         | 268     | 283       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 253         | 211     | 268       |
| 3200 Obligated balance, end of year .....                            | 211         | 268     | 283       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 612         | 620     | 621       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 363         | 441     | 442       |
| 4011 Outlays from discretionary balances .....                       | 252         | 169     | 169       |
| 4020 Outlays, gross (total) .....                                    | 615         | 610     | 611       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -19         | -35     | -35       |
| 4033 Non-Federal sources .....                                       | -12         |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | -31         | -35     | -35       |
| 4070 Budget authority, net (discretionary) .....                     | 581         | 585     | 586       |
| 4080 Outlays, net (discretionary) .....                              | 584         | 575     | 576       |
| 4180 Budget authority, net (total) .....                             | 581         | 585     | 586       |
| 4190 Outlays, net (total) .....                                      | 584         | 575     | 576       |

For 2014, the total budgetary resources of \$1.9 billion is comprised of \$586 million in direct appropriations, \$586 million in medical care support, and \$710 million in Federal and private sector grants. The research program will support 3,491 full time equivalents through direct appropriation.

This account is an intramural program that has had outstanding success performing research that has led to critical clinical achievements that improve the health and quality of life for veterans and the Nation. VA is at the forefront of producing new transformational approaches and technologies for preventing, diagnosing, and treating disease. VA research transforms medicine by engaging veterans as research volunteers and utilizing the results of that research in clinical care. Through technology, advancements, innovations, and information, research helps transform VA's health care into a leading example of medicine in the 21st Century.

High-priority research programs in 2014 will emphasize the critical needs of our newest veterans, specifically those from Operation Enduring Freedom, Operation Iraqi Freedom, and Operation New Dawn (OEF)/(OIF)/(OND), while continuing to address the special and unique health care needs of all veterans. In reflecting VA's commitment to maintain a research program supporting the world-class medical care our veterans deserve, VA research will increase its emphasis on critical areas that will impact VA for years to come: pain, traumatic brain injury, military occupational exposures, post-traumatic stress disorder (PTSD), suicide, patient centered care, complementary/alternative medicine, health care efficiency, women veterans, and homelessness.

Homelessness is a top priority for VA, and research will focus on interventions, risk factors and health care usage patterns in an effort to eliminate homelessness. Access to care is closely related to homelessness, and one of the critical missions of VA research is to identify system-wide gaps in veterans' health care. VA research has demonstrated an explicit focus on access to care as a component of validating the quality of care in all VA health care services, organizational structures, and mechanisms for

delivering care. Current studies address new telemedicine and telehealth initiatives, community based outpatient clinics (CBOCs), collaborative care models, access for OEF/OIF/OND veterans, and access to specialized care such as VA rehabilitation services.

Emphasis will also be placed on personalized medicine where using information on a patients' genetic make-up can lead to a more tailored, precise, and effective level of care. The Million Veteran Program (MVP), with the goal of collecting one million genetic samples, will continue to be a significant undertaking in 2014 as VA investigates whether genetic influences in disease and/or responses to medications can be used to further advance personalized care with the ultimate goal to develop an evidence base for treatments that are optimized to each veteran's genetic makeup. Protocols are under development to use information from MVP to clarify biological pathways associated with PTSD; other efforts are targeting schizophrenia, bipolar disorder, and amyotrophic lateral sclerosis (Lou Gehrig's disease).

The reach and scope of VA research is further expanded by collaborations with other Federal agencies, academic medical centers, nonprofit organizations, and commercial entities nationwide. Through VA's academic affiliations, as well as collaborations with other Federal agencies, VA research is fully integrated with the larger biomedical research community.

Veterans' health issues are addressed comprehensively in the following four program divisions and the medical care research support required for these programs:

**Biomedical laboratory.**—Supports preclinical research to understand life processes from a molecular, genomic, and physiological level in regard to diseases affecting veterans.

**Clinical science.**—Administers investigations (i.e., human subject research such as drug, surgical, single subject, pilot, and multi-center cooperative studies, as well as feasibility trials) aimed at instituting new, more effective clinical care.

**Health services.**—Supports studies to identify and promote effective and efficient strategies to improve the delivery of health care to veterans.

**Rehabilitation.**—Develops novel approaches to restoring veterans with traumatic amputation, central nervous system injuries, loss of sight and/or hearing, or other physical and cognitive impairments to full and productive lives.

VA's Medical and Prosthetic Research programs are included in the Federal Research and Development (R&D) budget.

## SUMMARY OF PROGRAM RESOURCES

|  | [in millions of dollars] |           |           |
|--|--------------------------|-----------|-----------|
|  | 2012 actual              | 2013 est. | 2014 est. |
| Medical and prosthetic research appropriation .....                | 581                      | 585       | 586       |
| Federal resources (includes VA Medical Care support funding) ..... | 1,096                    | 1,100     | 1,101     |
| Other non-federal resources .....                                  | 195                      | 195       | 195       |
| Total program resources .....                                      | 1,872                    | 1,880     | 1,882     |

## Object Classification (in millions of dollars)

| Identification code 36-0161-0-1-703                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 171         | 187     | 186       |
| 11.3 Other than full-time permanent .....                       | 11          | 15      | 15        |
| 11.5 Other personnel compensation .....                         | 33          | 40      | 40        |
| 11.9 Total personnel compensation .....                         | 215         | 242     | 241       |
| 12.1 Civilian personnel benefits .....                          | 80          | 85      | 86        |
| 21.0 Employee travel .....                                      | 6           | 6       | 5         |
| 23.1 Rental payments to GSA .....                               | 2           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 3       | 3         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 189         | 206     | 181       |
| 26.0 Supplies and materials .....                               | 40          | 36      | 34        |



|      |                                |     |     |     |
|------|--------------------------------|-----|-----|-----|
| 31.0 | Equipment .....                | 16  | 50  | 37  |
| 99.0 | Direct obligations .....       | 550 | 632 | 591 |
| 99.0 | Reimbursable obligations ..... | 30  | 35  | 35  |
| 99.9 | Total new obligations .....    | 580 | 667 | 626 |

**Employment Summary**

| Identification code 36-0161-0-1-703                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 3,015       | 3,045   | 3,010     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 481         | 481     | 481       |

**JOINT DEPARTMENT OF DEFENSE-DEPARTMENT OF VETERANS AFFAIRS MEDICAL FACILITY DEMONSTRATION FUND****Program and Financing** (in millions of dollars)

| Identification code 36-0169-0-1-703                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 370         | .....   | .....     |
| 0801 Reimbursable program activity .....                           | 9           | .....   | .....     |
| 0900 Total new obligations .....                                   | 379         | .....   | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | 8       | 8         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1121 Appropriations transferred from other accts [36-0162] ....    | 37          | .....   | .....     |
| 1121 Appropriations transferred from other accts [36-0152] ....    | 24          | .....   | .....     |
| 1121 Appropriations transferred from other accts [36-0160] ....    | 173         | .....   | .....     |
| 1121 Appropriations transferred from other accts [36-0167] ....    | 7           | .....   | .....     |
| 1121 Appropriations transferred from other accts [97-0130] ....    | 119         | .....   | .....     |
| 1121 Appropriations transferred from other accts [36-5287] ....    | 16          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 376         | .....   | .....     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 9           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 9           | .....   | .....     |
| 1900 Budget authority (total) .....                                | 385         | .....   | .....     |
| 1930 Total budgetary resources available .....                     | 387         | 8       | 8         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 8           | 8       | 8         |

**Change in obligated balance:**

|   |      |       |       |
|---|------|-------|-------|
| Unpaid obligations:                                   |      |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 37   | 65    | 30    |
| 3010 Obligations incurred, unexpired accounts .....   | 379  | ..... | ..... |
| 3020 Outlays (gross) .....                            | -351 | -35   | -4    |
| 3050 Unpaid obligations, end of year .....            | 65   | 30    | 26    |
| Memorandum (non-add) entries:                         |      |       |       |
| 3100 Obligated balance, start of year .....           | 37   | 65    | 30    |
| 3200 Obligated balance, end of year .....             | 65   | 30    | 26    |

**Budget authority and outlays, net:**

|  |     |       |       |
|--|-----|-------|-------|
| Discretionary:   |     |       |       |
| 4000 Budget authority, gross .....                                   | 385 | ..... | ..... |
| Outlays, gross:  |     |       |       |
| 4010 Outlays from new discretionary authority .....                  | 317 | ..... | ..... |
| 4011 Outlays from discretionary balances .....                       | 34  | 35    | 4     |
| 4020 Outlays, gross (total) .....                                    | 351 | 35    | 4     |
| Offsets against gross budget authority and outlays:                  |     |       |       |
| Offsetting collections (collected) from:                             |     |       |       |
| 4030 Federal sources .....   | -7  | ..... | ..... |
| 4033 Non-Federal sources .....                                       | -2  | ..... | ..... |
| 4040 Offsets against gross budget authority and outlays (total) .... | -9  | ..... | ..... |
| 4070 Budget authority, net (discretionary) .....                     | 376 | ..... | ..... |
| 4080 Outlays, net (discretionary) .....                              | 342 | 35    | 4     |
| 4180 Budget authority, net (total) .....                             | 376 | ..... | ..... |
| 4190 Outlays, net (total) .....                                      | 342 | 35    | 4     |

The Department of Veterans Affairs (VA) and the Department of Defense (DOD) intend to contribute 2013 and 2014 funding to the Joint Department of Defense-Department of Veterans Affairs

Medical Facility Demonstration Fund, established by section 1704 of Public Law 111-84, the National Defense Authorization Act for Fiscal Year 2010. This funding will support the continuing operations of the Captain James A. Lovell Federal Health Care Center (FHCC), which opened on December 20, 2010. Specifically, VA and DOD anticipate transferring a total of \$383 million (\$247.4 million from VA and \$135.6 million from DOD) to the Joint Fund for 2013, as authorized by the Continuing Appropriations Resolution, 2013 (P.L. 112-175). In 2013, VA expects to transfer funds from the Medical Services, Medical Support and Compliance, Medical Facilities, and Information Technology Systems accounts, while DOD expects to transfer funds from the Defense Health Program account. VA and DOD also expect to transfer 2014 funds from these accounts to support FHCC operations. VA and DOD anticipate supporting over 2,000 full time equivalent employees in both 2013 and 2014.

**Object Classification** (in millions of dollars)

| Identification code 36-0169-0-1-703                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 160         | .....   | .....     |
| 12.1 Civilian personnel benefits .....                 | 45          | .....   | .....     |
| 25.1 Advisory and assistance services .....            | 53          | .....   | .....     |
| 26.0 Supplies and materials .....                      | 71          | .....   | .....     |
| 31.0 Equipment .....                                   | 13          | .....   | .....     |
| 32.0 Land and structures .....                         | 28          | .....   | .....     |
| 99.0 Direct obligations .....                          | 370         | .....   | .....     |
| 99.0 Reimbursable obligations .....                    | 9           | .....   | .....     |
| 99.9 Total new obligations .....                       | 379         | .....   | .....     |

**Employment Summary**

| Identification code 36-0169-0-1-703                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,957       | .....   | .....     |

**MEDICAL CARE COLLECTIONS FUND****Program and Financing** (in millions of dollars)

| Identification code 36-5287-0-2-703                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1101 Appropriation (special or trust fund) .....               | 2,830       | 2,841   | 2,935     |
| 1120 Appropriations transferred to other accts [36-0160] ..... | -2,814      | -2,841  | -2,935    |
| 1120 Appropriations transferred to other accts [36-0169] ..... | -16         | .....   | .....     |

VA has the authority to collect co-payments which are deposited into the Medical Care Collections Fund (MCCF) receipt account. As allowed by the provisions of the appropriations Act, these receipts are transferred to the Medical Services appropriation and the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund (Joint Demonstration Fund) where they remain available until expended for the purposes of this account. In 2012, \$2.8 billion was collected in the MCCF receipt account and transferred to the Medical Services appropriation and Joint Demonstration Fund to provide health care to our veterans. These collections consist of co-payments from veterans for inpatient, outpatient, and nursing home care, and prescribed medications; third-party insurance payments from veterans for nonservice-connected conditions; and collections from enhanced-use leases, the Compensated Work Therapy Program,

## MEDICAL CARE COLLECTIONS FUND—Continued

## Compensation and Living Expensed Program, and the Parking Program.

## MEDICAL CARE COLLECTIONS FUND

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 36-5287-2-2-703                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1101 Appropriation (special or trust fund) .....               |             |         | 129       |
| 1120 Appropriations transferred to other accts [36-0160] ..... |             |         | -129      |

VA is proposing to increase the Department's ability to bill private insurers for care provided to treat nonservice-connected conditions. The proposals would allow VA to be considered as a participating provider for purposes of reimbursement and would allow for disclosure of health care information for billing purposes to be consistent with the use of this information for treatment purposes (subject to all applicable privacy laws and regulations).

## CANTEN SERVICE REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 36-4014-0-3-705  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0801 Reimbursable operating expenses .....   | 219         | 245     | 273       |
| 0802 Reimbursable direct operations .....  | 114         | 167     | 171       |
| 0810 Reimbursable capital investment: Sales program: Purchase of equipment and leasehold ..... | 18          | 21      | 1         |
| 0900 Total new obligations .....   | 351         | 433     | 445       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 2           | 16      | 21        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                                     |             |         |           |
| 1800 Collected .....   | 365         | 435     | 447       |
| 1801 Change in uncollected payments, Federal sources .....                                     |             | 3       | 3         |
| 1850 Spending auth from offsetting collections, mand (total) .....                             | 365         | 438     | 450       |
| 1930 Total budgetary resources available .....   | 367         | 454     | 471       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 16          | 21      | 26        |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 4           | 11      | 6         |
| 3010 Obligations incurred, unexpired accounts .....  | 351         | 433     | 445       |
| 3020 Outlays (gross) .....   | -344        | -438    | -450      |
| 3050 Unpaid obligations, end of year .....   | 11          | 6       | 1         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                              | -3          | -3      | -6        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                                 |             | -3      | -3        |
| 3090 Uncollected pymts, Fed sources, end of year .....   | -3          | -6      | -9        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 1           | 8       |           |
| 3200 Obligated balance, end of year .....  | 8           |         | -8        |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | 365         | 438     | 450       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....  | 338         | 433     | 445       |
| 4101 Outlays from mandatory balances .....   | 6           | 5       | 5         |
| 4110 Outlays, gross (total) .....  | 344         | 438     | 450       |
| Offsets against gross budget authority and outlays:  |             |         |           |
| Offsetting collections (collected) from:   |             |         |           |
| 4120 Federal sources .....   |             | -1      | -1        |
| 4123 Non-Federal sources .....   | -365        | -434    | -446      |

|  |      |      |      |
|--|------|------|------|
| 4130 Offsets against gross budget authority and outlays (total) .... | -365 | -435 | -447 |
| Additional offsets against gross budget authority only:              |      |      |      |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       |      | -3   | -3   |
| 4170 Outlays, net (mandatory) .....                                  | -21  | 3    | 3    |
| 4190 Outlays, net (total) .....                                      | -21  | 3    | 3    |

The Veterans Canteen Service was established to furnish, at reasonable prices, meals, merchandise, and services necessary for the comfort and well-being of veterans in VA medical facilities.

**Financing.**—Operations will be financed from current revenues.

## Object Classification (in millions of dollars)

| Identification code 36-4014-0-3-705                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                   |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 136         | 139     | 143       |
| 11.3 Other than full-time permanent .....          | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 137         | 140     | 144       |
| 12.1 Civilian personnel benefits .....             | 3           | 4       | 5         |
| 21.0 Travel and transportation of persons .....    | 4           | 5       | 4         |
| 25.2 Other services from non-Federal sources ..... | 6           | 6       | 6         |
| 26.0 Supplies and materials .....                  | 200         | 257     | 285       |
| 31.0 Equipment .....                               | 1           | 21      | 1         |
| 99.9 Total new obligations .....                   | 351         | 433     | 445       |

## Employment Summary

| Identification code 36-4014-0-3-705                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3,294       | 3,500   | 3,550     |

## MEDICAL CENTER RESEARCH ORGANIZATIONS

## Program and Financing (in millions of dollars)

| Identification code 36-4026-0-3-703                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Operating expenses .....                                      | 244         | 285     | 298       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             | 6       | 6         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 250         | 285     | 298       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 250         | 285     | 298       |
| 1930 Total budgetary resources available .....                     | 250         | 291     | 304       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 6           | 6       | 6         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 6           |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 244         | 285     | 298       |
| 3020 Outlays (gross) .....   | -250        | -285    | -298      |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 6           |         |           |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 250         | 285     | 298       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 244         | 285     | 298       |
| 4101 Outlays from mandatory balances .....                         | 6           |         |           |
| 4110 Outlays, gross (total) .....                                  | 250         | 285     | 298       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -250        | -285    | -298      |

These nonprofit corporations provide a flexible funding mechanism for the conduct of approved research at Department of Veterans Affairs medical centers. These organizations will derive funds to operate various research activities from Federal and

non-Federal sources. No appropriation is required to support these activities.

**Object Classification** (in millions of dollars)

| Identification code 36-4026-0-3-703                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 21.0 Travel and transportation of persons .....    | 7           | 9       | 9         |
| 25.2 Other services from non-Federal sources ..... | 211         | 245     | 256       |
| 26.0 Supplies and materials .....                  | 18          | 22      | 24        |
| 31.0 Equipment .....                               | 8           | 9       | 9         |
| 99.9 Total new obligations .....                   | 244         | 285     | 298       |

**Trust Funds**

**GENERAL POST FUND, NATIONAL HOMES**

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 36-8180-0-7-705                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                    |             |         | 3         |
| Receipts:  |             |         |           |
| 0220 General Post Fund, National Homes, Deposits .....               | 24          | 28      | 29        |
| 0240 General Post Fund, National Homes, Interest on Investments .... | 2           | 2       | 2         |
| 0299 Total receipts and collections .....                            | 26          | 30      | 31        |
| 0400 Total: Balances and collections .....                           | 26          | 30      | 34        |
| Appropriations:  |             |         |           |
| 0500 General Post Fund, National Homes .....                         | -26         | -27     | -28       |
| 0799 Balance, end of year .....                                      |             | 3       | 6         |

**Program and Financing** (in millions of dollars)

| Identification code 36-8180-0-7-705                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Religious, recreational, and entertainment activities ..... | 23          | 23      | 24        |
| 0003 Therapeutic residence maintenance .....                     |             | 1       | 1         |
| 0900 Total new obligations .....                                 | 23          | 24      | 25        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 80          | 83      | 86        |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 26          | 27      | 28        |
| 1260 Appropriations, mandatory (total) .....                     | 26          | 27      | 28        |
| 1930 Total budgetary resources available .....                   | 106         | 110     | 114       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 83          | 86      | 89        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 4           | 3       | 2         |
| 3010 Obligations incurred, unexpired accounts .....              | 23          | 24      | 25        |
| 3020 Outlays (gross) .....                                       | -24         | -25     | -26       |
| 3050 Unpaid obligations, end of year .....                       | 3           | 2       | 1         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 4           | 3       | 2         |
| 3200 Obligated balance, end of year .....                        | 3           | 2       | 1         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 26          | 27      | 28        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  |             | 24      | 25        |
| 4101 Outlays from mandatory balances .....                       | 24          | 1       | 1         |
| 4110 Outlays, gross (total) .....                                | 24          | 25      | 26        |
| 4180 Budget authority, net (total) .....                         | 26          | 27      | 28        |
| 4190 Outlays, net (total) .....                                  | 24          | 25      | 26        |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 81          | 63      | 45        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 63          | 45      | 25        |

This fund consists of gifts, bequests, and proceeds from the sale of property left in the care of the facilities by former beneficiaries; patients' fund balances; and proceeds from the sale of effects of beneficiaries who die leaving no heirs or without having otherwise disposed of their estate. Such funds are used to promote the comfort and welfare of veterans at hospitals, nursing homes, and domiciliarys where no general appropriation is available. Public Law 102-54 authorizes compensation work therapy and therapeutic transitional housing and loan programs to be funded from the General Post Fund. (38 U.S.C. chs. 83 and 85.)

**Object Classification** (in millions of dollars)

| Identification code 36-8180-0-7-705                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 9           | 9       | 9         |
| 26.0 Supplies and materials .....                  | 12          | 13      | 13        |
| 31.0 Equipment .....                               | 2           | 2       | 2         |
| 32.0 Land and structures .....                     |             |         | 1         |
| 99.9 Total new obligations .....                   | 23          | 24      | 25        |

**BENEFITS PROGRAMS**

**Federal Funds**

**COMPENSATION AND PENSIONS**

(INCLUDING TRANSFER OF FUNDS)

*For the payment of compensation benefits to or on behalf of veterans and a pilot program for disability examinations as authorized by section 107 and chapters 11, 13, 18, 51, 53, 55, and 61 of title 38, United States Code; pension benefits to or on behalf of veterans as authorized by chapters 15, 51, 53, 55, and 61 of title 38, United States Code; and burial benefits, the Reinstated Entitlement Program for Survivors, emergency and other of-ficers' retirement pay, adjusted-service credits and certificates, payment of premiums due on commercial life insurance policies guaranteed under the provisions of title IV of the Servicemembers Civil Relief Act (50 U.S.C. App. 541 et seq.) and for other benefits as authorized by sections 107, 1312, 1977, and 2106, and chapters 23, 51, 53, 55, and 61 of title 38, United States Code, \$71,248,171,000, to remain available until expended: Provided, That not to exceed \$9,232,000 of the amount appropriated under this heading shall be reimbursed to "General operating expenses, Veterans Benefits Administration", "Medical support and compliance", and "In-formation technology systems" for necessary expenses in implementing the provisions of chapters 51, 53, and 55 of title 38, United States Code, the funding source for which is specifically provided as the "Compensation and pensions" appropriation: Provided further, That such sums as may be earned on an actual qualifying patient basis, shall be reimbursed to "Medical care collections fund" to augment the funding of individual medical facilities for nursing home care provided to pensioners as author-ized.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is oper-ating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 36-0102-0-1-701     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0101 Veterans .....                     | 48,002      | 54,536  | 58,641    |
| 0102 Survivors .....                    | 5,755       | 6,064   | 6,432     |
| 0191 Compensation sub-total .....       | 53,757      | 60,600  | 65,073    |
| 0200 Other compensation expenses .....  | 53,757      | 60,600  | 65,073    |
| 0201 Chapter 18 .....                   | 21          | 22      | 22        |
| 0202 Clothing allowance .....           | 82          | 92      | 99        |
| 0203 Misc assistance (EAI, SAFD) .....  | 11          | 12      | 12        |
| 0204 Medical exam pilot program .....   | 206         | 248     | 229       |
| 0205 OBRA payment to VBA and IT .....   | 2           | 2       | 2         |

COMPENSATION AND PENSIONS—Continued  
Program and Financing—Continued

| Identification code 36–0102–0–1–701                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0206 Reinstated entitlement program for survivors ..... | 4           | 6       | 4         |
| 0291 Total other compensation expenses .....            | 326         | 382     | 368       |
| 0293 Total compensation .....                           | 54,083      | 60,982  | 65,441    |
| 0302 Veterans .....                                     | 3,478       | 3,671   | 3,849     |
| 0303 Survivors .....                                    | 1,414       | 1,557   | 1,725     |
| 0391 Pensions sub total .....                           | 4,892       | 5,228   | 5,574     |
| 0401 Reimbursements to GOE, IT and VHA .....            | 25          | 10      | 10        |
| 0492 Total pensions .....                               | 4,917       | 5,238   | 5,584     |
| 0502 Burial allowance .....                             | 22          | 30      | 31        |
| 0503 Burial plots .....                                 | 9           | 17      | 18        |
| 0504 Service-connected deaths .....                     | 41          | 49      | 52        |
| 0505 Burial flags .....                                 | 18          | 20      | 21        |
| 0506 Headstones and markers .....                       | 62          | 72      | 77        |
| 0508 Graveliners .....                                  | 4           | 9       | 9         |
| 0509 Pre-Placed Crypts .....                            | 11          | 9       | 14        |
| 0591 Total burial program .....                         | 167         | 206     | 222       |
| 0900 Total new obligations (object class 42.0) .....    | 59,167      | 66,426  | 71,247    |

|   |        |        |        |
|---|--------|--------|--------|
| <b>Budgetary Resources:</b>                                     |        |        |        |
| Unobligated balance:  |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 12,930 | 5,001  | .....  |
| Budget authority:   |        |        |        |
| Appropriations, mandatory:                                      |        |        |        |
| 1200 Appropriation .....  | 51,238 | 60,600 | 71,247 |
| 1221 Appropriations transferred from other accts [36–0137] .... | .....  | 825    | .....  |
| 1260 Appropriations, mandatory (total) .....                    | 51,238 | 61,425 | 71,247 |
| 1930 Total budgetary resources available .....                  | 64,168 | 66,426 | 71,247 |
| Memorandum (non-add) entries:                                   |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....           | 5,001  | .....  | .....  |

|   |         |         |         |
|---|---------|---------|---------|
| <b>Change in obligated balance:</b>                   |         |         |         |
| Unpaid obligations:                                   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 278     | 4,691   | 4,921   |
| 3010 Obligations incurred, unexpired accounts .....   | 59,167  | 66,426  | 71,247  |
| 3020 Outlays (gross) .....                            | –54,754 | –66,196 | –71,003 |
| 3050 Unpaid obligations, end of year .....            | 4,691   | 4,921   | 5,165   |
| Memorandum (non-add) entries:                         |         |         |         |
| 3100 Obligated balance, start of year .....           | 278     | 4,691   | 4,921   |
| 3200 Obligated balance, end of year .....             | 4,691   | 4,921   | 5,165   |

|   |        |        |        |
|---|--------|--------|--------|
| <b>Budget authority and outlays, net:</b>       |        |        |        |
| Mandatory:                                      |        |        |        |
| 4090 Budget authority, gross .....              | 51,238 | 61,425 | 71,247 |
| Outlays, gross:                                 |        |        |        |
| 4100 Outlays from new mandatory authority ..... | 41,545 | 56,503 | 66,083 |
| 4101 Outlays from mandatory balances .....      | 13,209 | 9,693  | 4,920  |
| 4110 Outlays, gross (total) .....               | 54,754 | 66,196 | 71,003 |
| 4180 Budget authority, net (total) .....        | 51,238 | 61,425 | 71,247 |
| 4190 Outlays, net (total) .....                 | 54,754 | 66,196 | 71,003 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 51,238      | 61,425  | 71,247    |
| Outlays .....                           | 54,754      | 66,196  | 71,003    |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  | .....       | .....   | –39       |
| Outlays .....                           | .....       | .....   | –39       |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 51,238      | 61,425  | 71,208    |
| Outlays .....                           | 54,754      | 66,196  | 70,964    |

## WORKLOAD

|                              | 2012 actual | 2013 est  | 2014 est. |
|------------------------------|-------------|-----------|-----------|
| Compensation:                |             |           |           |
| Rating-Related Actions ..... | 895,000     | 1,131,469 | 1,323,461 |
| Non Rating Actions .....     | 332,438     | 389,349   | 427,024   |
| Pension:                     |             |           |           |
| Rating-Related Actions ..... | 100,841     | 105,548   | 110,403   |
| Non Rating Actions .....     | 489,887     | 487,041   | 490,464   |

This appropriation provides for the payment of compensation, pension, and burial benefits to veterans and survivors.

Compensation is paid to veterans for disabilities incurred in or aggravated during active military service. Dependency and Indemnity Compensation is paid to survivors of servicepersons or veterans whose death occurred while on active duty or as a result of service-connected disabilities. Compensation and vocational rehabilitation is provided to the children of Vietnam veterans who were born with certain birth defects. The Secretary may pay a clothing allowance to each veteran who uses a prescribed medication for a service-connected skin condition or wears a prosthetic or orthopedic appliance (including a wheelchair) which, in the judgment of the Secretary, tends to damage or tear the clothing of such veteran.

Miscellaneous benefits provided for are:

(a) payments for claims made pursuant to the provision of the World War Adjusted Compensation Act of 1924, as amended;

(b) a special allowance (38 U.S.C. 1312) to dependents of certain Veterans who died after December 31, 1956, but who were not fully and currently insured under the Social Security Act; and

(c) payments authorized by the Equal Access to Justice Act.

The appropriation also provides for a program to allow VA to perform income matches for certain compensation recipients.

In accordance with Public Law 97–377, the Reinstated Entitlement Program for Survivors (REPS) program restores Social Security benefits to certain surviving spouses or children of veterans who died of service-connected causes.

Legislation is proposed to provide a cost-of-living adjustment comparable to the annual social security increase to recipients of disability compensation, dependency and indemnity compensation, and clothing allowances. The increase, effective with payments made on January 1, 2014, is expected to be 2.2 percent.

## AVERAGE NUMBER OF COMPENSATION CASES AND PAYMENTS

|  | 2012 actual | 2013 est  | 2014 est. |
|--|-------------|-----------|-----------|
| Veterans:                                |             |           |           |
| Cases .....                              | 3,440,255   | 3,648,314 | 3,846,018 |
| Average payment per case, per year ..... | \$13,953    | \$14,949  | \$15,247  |
| Total obligations (in millions) .....    | \$48,002    | \$54,537  | \$58,641  |
| Survivors:                               |             |           |           |
| Cases .....                              | 348,499     | 355,226   | 364,564   |
| Average payment per case, per year ..... | \$16,515    | \$17,070  | \$17,643  |
| Total obligations (in millions) .....    | \$5,755     | \$6,064   | \$6,432   |
| Chapter 18:                              |             |           |           |
| Children .....                           | 1,184       | 1,195     | 1,206     |
| Average payment per case, per year ..... | \$17,736    | \$18,037  | \$18,434  |
| Total obligations (in millions) .....    | \$21        | \$22      | \$22      |
| Clothing allowance:                      |             |           |           |
| Number of veterans .....                 | 110,414     | 117,092   | 123,437   |
| Average payment per case, per year ..... | \$741       | \$784     | \$800     |
| Total obligations (in millions) .....    | \$82        | \$92      | \$99      |
| Other compensation caseload:             |             |           |           |
| Other compensation caseload: .....       | 50          | 50        | 50        |
| Equal Access to Justice payments .....   | 2,140       | 2,140     | 2,140     |
| REPS:                                    |             |           |           |
| Cases .....                              | 214         | 183       | 181       |
| Average benefit .....                    | \$20,125    | \$32,679  | \$23,085  |
| Obligations (in millions) .....          | \$4         | \$6       | \$4       |

Pension benefits may be paid to veterans or their survivors. A veteran's entitlement is based on active duty service of a specific length (normally 90 days or more) during a designated war period, disabilities considered permanent and total, and countable

income below established levels. There is no disability requirement for survivor cases or veterans age 65 or older. Income support is provided at established benefit levels.

An automatic annual cost-of-living increase comparable to the annual social security increase is provided for those pensioners in the improved program and to parents receiving dependency and indemnity compensation. The increase, effective with payments made on January 1, 2014, is expected to be 2.2 percent.

## AVERAGE NUMBER OF PENSION CASES AND PAYMENTS

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| <b>Veterans:</b>                         |             |           |           |
| Cases .....                              | 314,072     | 313,794   | 314,706   |
| Average payment per case, per year ..... | \$11,077    | \$11,700  | \$12,232  |
| Total obligations (in millions) .....    | \$3,479     | \$3,671   | \$3,849   |
| <b>Survivors:</b>                        |             |           |           |
| Cases .....                              | 203,996     | 202,448   | 202,226   |
| Average payment per case, per year ..... | \$6,930     | \$7,689   | \$8,532   |
| Total obligations (in millions) .....    | \$1,414     | \$1,557   | \$1,725   |

Burial benefits provide for: (a) the payment of an allowance of \$724 (plus transportation charges where death occurs under VA care) to reimburse, in part, the burial and funeral expense of an eligible deceased veteran; (b) the payment of \$724 for a plot allowance where an eligible veteran is not buried in a national cemetery or other cemetery under the jurisdiction of the United States; (c) the payment of a burial allowance up to \$2,000 when a veteran dies as a result of a service-connected disability; (d) furnishing a flag to drape the casket of each deceased veteran entitled thereto; (e) furnishing a headstone or marker for the grave of a veteran and, in certain cases, eligible dependents; and (f) authority to provide outer burial receptacles in the National Cemetery Administration.

## NUMBER OF BURIAL BENEFITS

|                                | 2012 actual | 2013 est. | 2014 est. |
|--------------------------------|-------------|-----------|-----------|
| Burial allowance .....         | 43,994      | 47,294    | 49,221    |
| Burial plot .....              | 22,826      | 24,538    | 25,538    |
| Service-connected deaths ..... | 22,295      | 24,639    | 26,091    |
| Burial flags .....             | 477,942     | 500,002   | 500,002   |
| Headstones and markers .....   | 352,365     | 349,171   | 344,826   |
| Graveliners .....              | 26,732      | 27,814    | 27,428    |
| Preplaced crypts .....         | 16,791      | 24,000    | 36,080    |

## COMPENSATION AND PENSIONS

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 36-0102-4-1-701                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0101 Extend round-down of COLA .....                 |             |         | -42       |
| 0506 Expand burial benefits .....                    |             |         | 3         |
| 0900 Total new obligations (object class 42.0) ..... |             |         | -39       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             |             |         | -39       |
| 1260 Appropriations, mandatory (total) .....         |             |         | -39       |
| 1930 Total budgetary resources available .....       |             |         | -39       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | -39       |
| 3020 Outlays (gross) .....                           |             |         | 39        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | -39       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | -39       |

|  |     |
|--|-----|
| 4180 Budget authority, net (total) ..... | -39 |
| 4190 Outlays, net (total) .....          | -39 |

Legislation will be proposed to extend the rounding-down of the Compensation and Dependency and Indemnification Compensation (DIC) cost of living adjustment, expand eligibility for veterans medallion for headstones, allow for Government-furnished headstones, expand authority to provide headstones and markers at tribal veterans cemeteries, provide burial receptacles for certain new casketed gravesites, and cover burial expenses for remains of unclaimed veterans.

## READJUSTMENT BENEFITS

*For the payment of readjustment and rehabilitation benefits to or on behalf of veterans as authorized by chapters 21, 30, 31, 33, 34, 35, 36, 39, 41, 51, 53, 55, and 61 of title 38, United States Code, and for the payment of benefits under the Veterans Retraining Assistance Program, \$13,135,898,000, to remain available until expended: Provided, That expenses for rehabilitation program services and assistance which the Secretary is authorized to provide under subsection (a) of section 3104 of title 38, United States Code, other than under paragraphs (1), (2), (5), and (11) of that subsection, shall be charged to this account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 36-0137-0-1-702                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0101 Sons and daughters .....                                      | 393         | 421     | 454       |
| 0102 Spouses .....   | 62          | 66      | 70        |
| 0191 Total education and training .....                            | 455         | 487     | 524       |
| 0201 Vocational rehabilitation training .....                      | 489         | 535     | 574       |
| 0202 Subsistence allowance .....                                   | 302         | 386     | 439       |
| 0203 Automobiles and adaptive equipment .....                      | 110         | 117     | 123       |
| 0204 Housing grants .....  | 59          | 128     | 78        |
| 0205 Housing Technology Grants .....                               |             | 1       | 1         |
| 0291 Total special assistance to disabled veterans .....           | 960         | 1,167   | 1,215     |
| 0301 Work study .....  | 35          | 39      | 48        |
| 0302 Payments to States .....                                      | 19          | 19      | 19        |
| 0303 All-volunteer assistance: Basic benefits and all other .....  | 9,277       | 10,337  | 11,384    |
| 0304 Veterans Retraining Assistance Program .....                  | 6           | 1,101   | 498       |
| 0305 Tuition Assistance .....                                      | 10          | 8       | 7         |
| 0306 Licensing and Certification .....                             | 2           | 2       | 2         |
| 0307 Reporting fees .....  | 11          | 13      | 13        |
| 0308 Reimbursement to GOE .....                                    |             | 5       | 1         |
| 0391 Total All-volunteer assistance and other .....                | 9,360       | 11,524  | 11,972    |
| 0799 Total direct obligations .....                                | 10,775      | 13,178  | 13,711    |
| 0801 Veterans and servicepersons basic benefits .....              | 1           | 2       | 1         |
| 0802 Veterans and servicepersons supplementary benefits .....      | 130         | 105     | 106       |
| 0803 Chapter 1606 reservists benefits .....                        | 97          | 100     | 97        |
| 0804 Chapter 1606 reservists supplementary benefits .....          | 60          | 61      | 60        |
| 0805 Chapter 1607 reservists benefits .....                        | 77          | 54      | 40        |
| 0899 Total reimbursable obligations .....                          | 365         | 322     | 304       |
| 0900 Total new obligations .....                                   | 11,140      | 13,500  | 14,015    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,221       | 2,554   | 575       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 12,108      | 12,024  | 13,136    |
| 1220 Appropriations transferred to other accts [36-0102] .....     |             | -825    |           |
| 1260 Appropriations, mandatory (total) .....                       | 12,108      | 11,199  | 13,136    |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 365         | 322     | 304       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 365         | 322     | 304       |
| 1900 Budget authority (total) .....                                | 12,473      | 11,521  | 13,440    |
| 1930 Total budgetary resources available .....                     | 13,694      | 14,075  | 14,015    |

**READJUSTMENT BENEFITS—Continued**  
**Program and Financing—Continued**

| Identification code 36–0137–0–1–702                 |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Memorandum (non-add) entries:                       |  |             |         |           |
| 1941  | Unexpired unobligated balance, end of year ..... | 2,554       | 575     | .....     |
| <b>Change in obligated balance:</b>                 |  |             |         |           |
| Unpaid obligations:                                 |  |             |         |           |
| 3000  | Unpaid obligations, brought forward, Oct 1 ..... | 101         | 546     | 585       |
| 3010  | Obligations incurred, unexpired accounts .....   | 11,140      | 13,500  | 14,015    |
| 3020  | Outlays (gross) .....                            | –10,695     | –13,461 | –13,978   |
| 3050  | Unpaid obligations, end of year .....            | 546         | 585     | 622       |
| Memorandum (non-add) entries:                       |  |             |         |           |
| 3100  | Obligated balance, start of year .....           | 101         | 546     | 585       |
| 3200  | Obligated balance, end of year .....             | 546         | 585     | 622       |
| <b>Budget authority and outlays, net:</b>           |  |             |         |           |
| Mandatory:  |  |             |         |           |
| 4090  | Budget authority, gross .....                    | 12,473      | 11,521  | 13,440    |
| Outlays, gross:                                     |  |             |         |           |
| 4100  | Outlays from new mandatory authority .....       | 9,374       | 10,361  | 12,819    |
| 4101  | Outlays from mandatory balances .....            | 1,321       | 3,100   | 1,159     |
| 4110  | Outlays, gross (total) .....                     | 10,695      | 13,461  | 13,978    |
| Offsets against gross budget authority and outlays: |  |             |         |           |
| Offsetting collections (collected) from:            |  |             |         |           |
| 4120  | Federal sources .....                            | –365        | –322    | –304      |
| 4180  | Budget authority, net (total) .....              | 12,108      | 11,199  | 13,136    |
| 4190  | Outlays, net (total) .....                       | 10,330      | 13,139  | 13,674    |

**Summary of Budget Authority and Outlays (in millions of dollars)**

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 12,108      | 11,199  | 13,136    |
| Outlays .....                           | 10,330      | 13,139  | 13,674    |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  | .....       | .....   | 12        |
| Outlays .....                           | .....       | .....   | 12        |
| <b>Total:</b>                           |             |         |           |
| Budget Authority .....                  | 12,108      | 11,199  | 13,148    |
| Outlays .....                           | 10,330      | 13,139  | 13,686    |

**WORKLOAD - Vocational Rehabilitation and Employment**

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Evaluation and planning .....           | 77,944      | 78,723    | 79,511    |
| Rehabilitation services .....           | 97,471      | 98,446    | 99,430    |
| Employment services status .....        | 19,470      | 19,665    | 19,861    |
| Vocational/educational counseling ..... | 24,077      | 24,318    | 24,561    |

**WORKLOAD - Education**

|                                       | 2012 actual | 2013 est. | 2014 est. |
|---------------------------------------|-------------|-----------|-----------|
| Original claims .....                 | 493,696     | 562,800   | 599,382   |
| Adjustments/supplemental claims ..... | 3,028,396   | 3,457,200 | 3,681,918 |

This appropriation finances educational assistance allowances for certain service persons, veterans, and for eligible dependents of those: (a) veterans who died from service-connected causes or have a total and permanent rated service-connected disability; and (b) servicepersons who were captured or missing in action. In addition, certain disabled veterans are provided with vocational rehabilitation, specially adapted housing grants, and automobile grants with the associated approved adaptive equipment. Voluntary contributions by eligible servicepersons and matching contributions provided by the Department of Defense are included in the Post-Vietnam Era Veterans Education Account.

*The Post 9–11 GI Bill (Chapter 33).*—Public Law 110–252 greatly expanded education benefits beginning on August 1, 2009. Based on length of active duty service and training rate, trainees may be entitled to benefits including: tuition and fees, housing allowance, books and supplies stipend, kickers, and Yellow Ribbon matching payments. Certain active duty members of the Armed Forces may transfer benefits to a spouse or children.

*All volunteer force educational assistance (Montgomery GI Bill).*—Public Law 98–525, enacted October 19, 1984, established two new educational programs: an assistance program for veterans who enter active duty during the period beginning July 1, 1985; and an assistance program for certain members of the Selected Reserve. Public Law 108–375 established a program to provide educational assistance to members of the reserve components called or ordered to active service in response to a war or national emergency declared by the President or the Congress, in recognition of the sacrifices that those members make in answering the call to duty. The Readjustment Benefit appropriation pays the basic benefit allowance for veterans, except for certain Post-Vietnam Era Veterans Education participants who transferred to the Montgomery GI Bill program. Supplementary educational assistance, Post-Vietnam Era Veterans Education converters, reservists, and the National Call to Service Program are financed by payments from Department of Defense.

*Survivors and Dependents Educational Assistance (Chapter 35).*—Benefits are provided to children and spouses of veterans who died of a service-connected disability or whose service-connected disability is rated permanent and total. In addition, dependents of servicepersons missing in action or interred by a hostile foreign government for more than 90 days are also eligible. The following table provides a comparison of trainees and costs for the Dependents Educational Assistance program.

*The Veterans Retraining Assistance Program,* established under Public Law 112–56, is a program that, from July 1, 2012 through March 31, 2014, provides up to 12 months of retraining assistance to veterans at least 35 years of age but not more than 60 years of age, who are unemployed, received an honorable discharge and have no eligibility remaining for other education benefits. Veterans participating in this program would receive monthly payments equal to the three-year payment rate under the Montgomery GI Bill (MGIB) chapter 30 program.

The following table shows a caseload and cost comparison for these beneficiaries under existing legislation.

**CASELOAD AND AVERAGE COST DATA**

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Chapter 33:                                 |             |           |           |
| Number of trainees .....                    | 646,302     | 710,932   | 764,252   |
| Average cost per trainee .....              | \$13,080    | \$13,628  | \$14,249  |
| Total cost (in millions) .....              | \$8,453     | \$9,689   | \$10,890  |
| Chapter 30:                                 |             |           |           |
| Number of trainees .....                    | 128,432     | 96,727    | 72,870    |
| Average cost per trainee .....              | \$7,860     | \$8,170   | \$8,866   |
| Total cost (in millions) .....              | \$932       | \$727     | \$592     |
| Chapter 1606:                               |             |           |           |
| Number of trainees .....                    | 60,393      | 59,292    | 54,934    |
| Average cost per trainee .....              | \$2,564     | \$2,682   | \$2,824   |
| Total cost (in millions) .....              | \$155       | \$159     | \$155     |
| Chapter 1607:                               |             |           |           |
| Number of trainees .....                    | 19,774      | 13,336    | 9,222     |
| Average cost per trainee .....              | \$3,893     | \$4,073   | \$4,288   |
| Total cost (in millions) .....              | \$77        | \$54      | \$40      |
| Chapter 35 Sons and Daughters:              |             |           |           |
| Number of trainees .....                    | 73,102      | 74,801    | 76,540    |
| Average cost per trainee (in dollars) ..... | \$5,380     | \$5,628   | \$5,925   |
| Total cost (in millions) .....              | \$393       | \$421     | \$454     |
| Chapter 35 Wives and Widow(ers):            |             |           |           |
| Chapter 35 Wives and Widow(ers) .....       | 14,605      | 14,818    | 15,034    |
| Average cost per trainee (in dollars) ..... | \$4,245     | \$4,438   | \$4,672   |
| Total cost (in millions) .....              | \$62        | \$66      | \$70      |

|   |        |          |         |
|---|--------|----------|---------|
| Veterans Retraining Assistance Program: |        |          |         |
| Number of trainees .....                | 12,251 | 99,000   | 54,000  |
| Average cost per trainee .....          | \$501  | \$11,118 | \$9,231 |
| Total cost (in millions) .....          | \$6    | \$1,101  | \$498   |

**Vocational Rehabilitation and Employment (Chapter 31).**—Servicemembers and veterans with service-connected disabilities receive the assistance necessary to help them prepare for, obtain, and maintain suitable employment. Comprehensive assessments may include interest and aptitude testing as well as specialized assessments such as functional capacity examinations. During the training phase of the program, eligible servicemembers and veterans are provided assistance for necessary training such as tuition, fees, books and supplies at colleges, technical schools and other training programs. A veteran enrolled in training receives a monthly subsistence allowance. Eligible veterans may also receive specialized or adaptive equipment to help them overcome a disability or enable them to compete with non-disabled individuals. At the completion of training, veterans are provided with employment and placement services, including supplies and equipment needed to enter employment, adaptive equipment and workplace accommodations, incentives to employers to reimburse them for hiring and training veterans with disabilities, and two final months of subsistence allowance.

## CASELOAD AND AVERAGE COST DATA

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Chapter 31:  |             |           |           |
| Rehabilitation, Evaluation, Planning and Service cases ..... | 28,850      | 30,287    | 31,195    |
| Number of trainees .....                                     | 85,431      | 89,708    | 92,399    |
| Average cost per trainee (in dollars) .....                  | \$9,255     | \$10,268  | \$10,960  |
| Total cost (in millions) .....                               | \$791       | \$921     | \$1,013   |

**Specially Adapted Housing Grants.**—Specially adapted housing grants are provided to certain severely disabled veterans. In 2012, the maximum grant amount was \$63,870 and is projected to increase, based on a cost of construction index, to \$66,538 in 2014. Veterans who suffer service-connected blindness or who have lost the use of both upper extremities can receive up to \$12,756 in 2012. The maximum grant amount is projected to increase to \$13,308 in 2014.

**Specially Adapted Housing Assistive Technology Grants.**—Under the Veterans Benefits Act of 2010, (Public Law 111–275), VA may provide grants of up to \$200,000 per fiscal year to individuals or entities for the development of specially adapted housing assistive technologies and limits to \$1 million the aggregate amount of such grants VA may award in any fiscal year.

**Automobile Grants and Adaptive Equipment.**—Certain disabled veterans are provided with automobile grants with the associated approved adaptive equipment. An allowance is provided to certain service-disabled veterans and servicepersons toward the purchase price of an automobile. The maximum allowance increased to \$18,900 in 2012, under The Veterans Benefits Act of 2010, (Public Law 111–275) and will continue to increase based on the CPI-U. The maximum grant amount is projected to increase to \$19,664 in 2014. Adaptive equipment and the maintenance and replacement of such equipment is also provided.

## CASELOAD AND AVERAGE COST DATA

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Housing grants:                           |             |           |           |
| Number of housing grants .....            | 1,501       | 3,617     | 2,469     |
| Average cost per grant .....              | \$39,321    | \$35,336  | \$31,601  |
| Total cost (in millions) .....            | \$59        | \$128     | \$78      |
| Number of housing technology grants ..... | 0           | 5         | 5         |

|   |          |           |           |
|---|----------|-----------|-----------|
| Average cost per grant .....  | \$0      | \$200,000 | \$200,000 |
| Total cost (in millions) .....  | \$0      | \$1       | \$1       |
| Automobiles or other conveyances:   |          |           |           |
| Number of conveyances .....   | 2,511    | 2,531     | 2,531     |
| Average benefit .....   | \$16,732 | \$17,067  | \$17,408  |
| Obligations (in millions) .....   | \$42     | \$43      | \$44      |
| Adaptive equipment (including maintenance, repair, and installation for automobiles): |          |           |           |
| Number of items .....   | 7,816    | 7,816     | 7,816     |
| Average benefit .....   | \$8,732  | \$9,386   | \$10,088  |
| Obligations (in millions) .....   | \$68     | \$73      | \$79      |

**Tuition Assistance.**—Public Law 106–398, enacted October 30, 2000, allows the military services to pay up to 100 percent of tuition and expenses charged by a school for service members. If a service department pays less than 100 percent, a service member eligible for the Montgomery GI Bill Active-duty (MGIB) or the Post 9–11 GI Bill (Chapter 33) can elect to receive VA benefits for all or a portion of the remaining expenses. Public Law 108–454 established a program that provides availability of education benefits for payment for national admissions exams and national exams for credit at institutions of higher education.

**The National Exams.**—The benefit allows VA to reimburse for the fee charged for national tests for admission to institutions of higher learning and national tests providing an opportunity for course credit at institutions of higher learning.

**Licensing and Certification Test Payments.**—Under Public Law 106–419, veterans and other eligible persons may receive up to \$2,000 to pay fees required for civilian occupational licensing and certification examinations needed to enter, maintain, or advance in employment in a vocation or profession, effective March 1, 2001.

**National Call to Service.**—The 2003 National Defense Authorization Act directs the Department of Defense to offer an active duty enlistment option of 15 months plus training time to facilitate interest in National Service. Program participants will be given the opportunity to select one of the following incentives: a \$5,000 enlistment bonus, repayment of student loans up to \$18,000, or one of two education allowances.

**Work-Study.**—Certain veterans, reservists, and dependents pursuing a program of rehabilitation, education or training, who are enrolled as full-time students, can work up to 250 hours per semester, receiving the Federal (\$7.25 as of July 24, 2009) or state minimum wage rate, whichever is higher.

**Payments to States.**—State approving agencies are reimbursed for the costs of inspecting, approving, and supervising programs of education and training offered by educational institutions and training establishments in which veterans, dependents, and reservists are enrolled or are about to enter.

**Reporting Fees.**—Reporting fees are paid to education and training institutions to help defray the costs of certifying education enrollment for veterans enrolled in training during a calendar year.

## Object Classification (in millions of dollars)

| Identification code 36–0137–0–1–702                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 10,775      | 13,178  | 13,711    |
| 99.0 Reimbursable obligations .....                                 | 365         | 322     | 304       |

**READJUSTMENT BENEFITS—Continued**  
**Object Classification—Continued**

| Identification code 36–0137–0–1–702 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 11,140      | 13,500  | 14,015    |

**READJUSTMENT BENEFITS**  
**(Legislative proposal, subject to PAYGO)**

**Program and Financing (in millions of dollars)**

| Identification code 36–0137–4–1–702                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0201 Increase funding limitation for contracted counseling ..... |             |         | 1         |
| 0202 Extend round-down of COLA .....                             |             |         | –1        |
| 0203 Extend authorization for work-study activities .....        |             |         | 1         |
| 0204 Improve Housing Grant Program .....                         |             |         | 11        |
| 0393 Total Readjustment benefits direct program .....            |             |         | 12        |
| 0900 Total new obligations (object class 41.0) .....             |             |         | 12        |

|  |  |  |    |
|--|--|--|----|
| <b>Budgetary Resources:</b>                    |  |  |    |
| Budget authority:                              |  |  |    |
| Appropriations, mandatory:                     |  |  |    |
| 1200 Appropriation .....                       |  |  | 12 |
| 1260 Appropriations, mandatory (total) .....   |  |  | 12 |
| 1900 Budget authority (total) .....            |  |  | 12 |
| 1930 Total budgetary resources available ..... |  |  | 12 |

|   |  |  |     |
|---|--|--|-----|
| <b>Change in obligated balance:</b>                 |  |  |     |
| Unpaid obligations:                                 |  |  |     |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 12  |
| 3020 Outlays (gross) .....                          |  |  | –12 |

|   |  |  |    |
|---|--|--|----|
| <b>Budget authority and outlays, net:</b>       |  |  |    |
| Mandatory:                                      |  |  |    |
| 4090 Budget authority, gross .....              |  |  | 12 |
| Outlays, gross:                                 |  |  |    |
| 4100 Outlays from new mandatory authority ..... |  |  | 12 |
| 4180 Budget authority, net (total) .....        |  |  | 12 |
| 4190 Outlays, net (total) .....                 |  |  | 12 |

Legislation will be proposed to: extend rounding-down of education COLA through 2018; extend the pilot expansion of certain Work-study activities; increase the cap on contract vocational rehabilitation counseling; increase the limitation on new independent living cases; exclude temporary residence adaptation (TRA) grants from the specially adapted housing (SAH) grant limit; replace the SAH program's grant limit with limits to grant type; restore eligibility for housing adaptation; provide SAH grants to veterans living with family; provide refunds for the Montgomery GI Bill buy-up program.

**VETERANS INSURANCE AND INDEMNITIES**

*For military and naval insurance, national service life insurance, servicemen's indemnities, service-disabled veterans insurance, and veterans mortgage life insurance as authorized by chapters 19 and 21, title 38, United States Code, \$77,567,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 36–0120–0–1–701                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| 0010 VMLI death claims .....                              | 23          | 23      | 23        |
| 0012 Payment to service-disabled veterans insurance ..... | 81          | 86      | 58        |

|                                  |     |     |    |
|----------------------------------|-----|-----|----|
| 0100 Total direct expenses ..... | 104 | 109 | 81 |
| 0900 Total new obligations ..... | 104 | 109 | 81 |

|  |     |     |    |
|--|-----|-----|----|
| <b>Budgetary Resources:</b>  |     |     |    |
| Unobligated balance:   |     |     |    |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1   | 1   | 1  |
| Budget authority:  |     |     |    |
| Appropriations, mandatory:   |     |     |    |
| 1200 Appropriation .....   | 100 | 105 | 77 |
| 1260 Appropriations, mandatory (total) .....                       | 100 | 105 | 77 |
| Spending authority from offsetting collections, mandatory:         |     |     |    |
| 1800 Collected .....   | 4   | 4   | 4  |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 4   | 4   | 4  |
| 1900 Budget authority (total) .....                                | 104 | 109 | 81 |
| 1930 Total budgetary resources available .....                     | 105 | 110 | 82 |
| Memorandum (non-add) entries:                                      |     |     |    |
| 1941 Unexpired unobligated balance, end of year .....              | 1   | 1   | 1  |

|   |      |      |     |
|---|------|------|-----|
| <b>Change in obligated balance:</b>                   |      |      |     |
| Unpaid obligations:                                   |      |      |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1    | 1    |     |
| 3010 Obligations incurred, unexpired accounts .....   | 104  | 109  | 81  |
| 3020 Outlays (gross) .....                            | –104 | –110 | –81 |
| 3050 Unpaid obligations, end of year .....            | 1    |      |     |
| Memorandum (non-add) entries:                         |      |      |     |
| 3100 Obligated balance, start of year .....           | 1    | 1    |     |
| 3200 Obligated balance, end of year .....             | 1    |      |     |

|   |     |     |    |
|---|-----|-----|----|
| <b>Budget authority and outlays, net:</b>           |     |     |    |
| Mandatory:  |     |     |    |
| 4090 Budget authority, gross .....                  | 104 | 109 | 81 |
| Outlays, gross:                                     |     |     |    |
| 4100 Outlays from new mandatory authority .....     | 104 | 109 | 81 |
| 4101 Outlays from mandatory balances .....          |     | 1   |    |
| 4110 Outlays, gross (total) .....                   | 104 | 110 | 81 |
| Offsets against gross budget authority and outlays: |     |     |    |
| Offsetting collections (collected) from:            |     |     |    |
| 4123 Non-Federal sources .....                      | –4  | –4  | –4 |
| 4180 Budget authority, net (total) .....            | 100 | 105 | 77 |
| 4190 Outlays, net (total) .....                     | 100 | 106 | 77 |

**WORKLOAD**

|                              | 2012 actual | 2013 est. | 2014 est. |
|------------------------------|-------------|-----------|-----------|
| Policy service actions ..... | 941,142     | 908,140   | 874,246   |
| Collections .....            | 919,415     | 844,200   | 770,600   |
| Disability claims .....      | 44,695      | 58,380    | 58,310    |
| Insurance awards .....       | 247,510     | 250,260   | 237,200   |

*Note.*—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

The insurance business line administers six life insurance programs, including two trust funds, two public enterprise funds, a trust revolving fund, and Veterans' Mortgage Life Insurance (VMLI), and supervises four additional programs for the benefit of servicepersons, veterans, and their beneficiaries through contracts with a commercial company. All programs are operated on a commercial basis, to the extent possible, consistent with all applicable statutes. The insurance appropriation is the supplemental funding mechanism for the following Government life insurance activities: National Service Life Insurance (NSLI); Service-Disabled Veterans Insurance Fund (S-DVI); and Veterans' Mortgage Life Insurance.

*National Service Life Insurance.*—Payments are made to the NSLI fund for certain World War II veterans for: (a) extra hazards of service; (b) gratuitous insurance granted to certain persons unable to apply for National Service Life Insurance; and (c) death claims on policies under the waiver of a premium while the insured was on active duty.

*Payment to Service-Disabled Veterans Insurance Fund.*—Payments are made to the S-DVI fund to supplement the premiums and other receipts of the fund in amounts necessary to pay claims



on insurance policies issued to veterans with service-connected disabilities.

**Veterans' Mortgage Life Insurance.**—Payments are made to mortgage holders under this program, which provides mortgage protection life insurance to veterans who have received a grant for specially adapted housing due to severe disabilities. The trend in the number and amount of insurance policies in force appears in the following table.

#### POLICIES AND INSURANCE IN FORCE

| VMLI Policies                                   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Number of Policies .....                        | 2,466       | 2,390     | 2,390     |
| Amount of Insurance (dollars in millions) ..... | \$299       | \$313     | \$327     |

#### Object Classification (in millions of dollars)

| Identification code 36-0120-0-1-701                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 42.0 Direct obligations: Insurance claims and indemnities ..... | 100         | 105     | 77        |
| 99.0 Reimbursable obligations .....                             | 4           | 4       | 4         |
| 99.9 Total new obligations .....                                | 104         | 109     | 81        |

#### FILIPINO VETERANS EQUITY COMPENSATION FUND

##### Program and Financing (in millions of dollars)

| Identification code 36-1121-0-1-701                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Filipino veterans equity compensation fund ..... | 2           | 6       | 6         |
| 0900 Total new obligations (object class 42.0) .....  | 2           | 6       | 6         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 59          | 57      | 51        |
| 1930 Total budgetary resources available .....        | 59          | 57      | 51        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 57          | 51      | 45        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 2           | 6       | 6         |
| 3020 Outlays (gross) .....                            | -2          | -6      | -6        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 2           | 6       | 6         |
| 4190 Outlays, net (total) .....                       | 2           | 6       | 6         |

This fund was established under the Consolidated Security, Disaster Assistance, and Continuing Appropriations Act of 2009 (P.L. 110-329), to make payments to eligible persons who served in the Philippines during World War II. Payments were subsequently authorized by Congress in the American Recovery and Reinvestment Act of 2009 (P.L. 111-5). Original funding of \$198,000,000 was supplemented by a transfer of \$67,000,000 authorized by P.L. 111-212 that remains available until expended. Payments to citizens of the United States are \$15,000. Payments to non-U.S. citizens are \$9,000.

#### SERVICE-DISABLED VETERANS INSURANCE FUND

##### Program and Financing (in millions of dollars)

| Identification code 36-4012-0-3-701     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0801 Capital investment .....           | 20          | 22      | 23        |
| 0802 Death claims .....                 | 94          | 112     | 124       |
| 0803 All other .....                    | 8           | 9       | 9         |

|                                   |     |     |     |
|-----------------------------------|-----|-----|-----|
| 0804 Payments to GOE and IT ..... | 7   | 7   | 8   |
| 0900 Total new obligations .....  | 129 | 150 | 164 |

#### Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 20  | 44  | 56  |
| Budget authority:  |     |     |     |
| 1800 Spending authority from offsetting collections, mandatory:    |     |     |     |
| Collected .....  | 153 | 162 | 137 |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 153 | 162 | 137 |
| 1900 Budget authority (total) .....                                | 153 | 162 | 137 |
| 1930 Total budgetary resources available .....                     | 173 | 206 | 193 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 44  | 56  | 29  |

#### Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 14   | 13   | 13   |
| 3010 Obligations incurred, unexpired accounts .....   | 129  | 150  | 164  |
| 3020 Outlays (gross) .....                            | -130 | -150 | -164 |
| 3050 Unpaid obligations, end of year .....            | 13   | 13   | 13   |
| Memorandum (non-add) entries:                         |      |      |      |
| 3100 Obligated balance, start of year .....           | 14   | 13   | 13   |
| 3200 Obligated balance, end of year .....             | 13   | 13   | 13   |

#### Budget authority and outlays, net:

|  |      |      |      |
|--|------|------|------|
| Mandatory:   |      |      |      |
| 4090 Budget authority, gross .....                                   | 153  | 162  | 137  |
| Outlays, gross:  |      |      |      |
| 4100 Outlays from new mandatory authority .....                      | 96   | 93   | 95   |
| 4101 Outlays from mandatory balances .....                           | 34   | 57   | 69   |
| 4110 Outlays, gross (total) .....                                    | 130  | 150  | 164  |
| Offsets against gross budget authority and outlays:                  |      |      |      |
| Offsetting collections (collected) from:                             |      |      |      |
| 4120 Federal sources .....   | -81  | -86  | -58  |
| 4123 Baseline Program [Interest on loans] .....                      | -4   | -4   | -4   |
| 4123 Baseline Program [Premiums Earned] .....                        | -51  | -55  | -58  |
| 4123 Baseline Program [Repayments of Loan/Liens] .....               | -17  | -17  | -17  |
| 4130 Offsets against gross budget authority and outlays (total) .... | -153 | -162 | -137 |
| 4170 Outlays, net (mandatory) .....                                  | -23  | -12  | 27   |
| 4190 Outlays, net (total) .....                                      | -23  | -12  | 27   |

The Insurance Act of 1951 established the Service-Disabled Veterans Insurance (S-DVI) program for veterans with service-connected disabilities. S-DVI is open to veterans who separated from the service on or after April 25, 1951. This fund finances the payment of claims on existing life insurance policies and remains open for new issues at standard rates to veterans having service-connected disabilities.

#### OPERATING COSTS

**Death claims.**—Represents payments to designated beneficiaries.

**All other.**—Represents payments to policyholders who surrender their policies for their cash value and hold endowment policies which have matured.

**Capital investment.**—A policyholder may borrow up to 94 percent of the value of his or her policy.

**Payments to General Operating Expenses (GOE), Veterans Benefits Administration.**—Represents the administrative costs of claims processing and account maintenance.

The trend in the number and amount of policies in force is indicated in the following table.

#### POLICIES AND INSURANCE IN FORCE

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Number of policies (EOY) .....                       | 241,224     | 255,460   | 268,376   |
| Insurance in force (dollars in millions) (EOY) ..... | \$2,499     | \$2,660   | \$2,808   |

**Financing.**—Operations are financed from premiums and other receipts. Additional funds are received by transfer from the Veterans Insurance and Indemnities appropriation, instead of direct appropriations to this fund.

## SERVICE-DISABLED VETERANS INSURANCE FUND—Continued

*Operating results and financial condition.*—Since premium and other receipts are insufficient to cover operations, the fund continues to project liabilities in excess of assets. The deficit is expected to reach an estimated \$1,240 million by September 30, 2014.

## Object Classification (in millions of dollars)

| Identification code 36-4012-0-3-701         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                   |             |         |           |
| 33.0 Investments and loans .....            | 20          | 21      | 23        |
| 42.0 Insurance claims and indemnities ..... | 109         | 129     | 141       |
| 99.9 Total new obligations .....            | 129         | 150     | 164       |

## VETERANS REOPENED INSURANCE FUND

## Program and Financing (in millions of dollars)

| Identification code 36-4010-0-3-701         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>     |             |         |           |
| 0801 Death claims .....                     | 32          | 34      | 32        |
| 0802 Dividends .....                        | 5           | 4       | 3         |
| 0803 All other .....                        | 5           | 5       | 5         |
| 0804 Capital investment: policy loans ..... | 1           | 1       | 1         |
| 0900 Total new obligations .....            | 43          | 44      | 41        |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| 1000 Unobligated balance:  |     |     |     |
| Unobligated balance brought forward, Oct 1 .....                   | 237 | 212 | 184 |
| Budget authority:  |     |     |     |
| Spending authority from offsetting collections, mandatory:         |     |     |     |
| 1800 Collected .....   | 19  | 16  | 14  |
| 1801 Change in uncollected payments, Federal sources .....         | -1  |     |     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 18  | 16  | 14  |
| 1930 Total budgetary resources available .....                     | 255 | 228 | 198 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 212 | 184 | 157 |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 48  | 44  | 41  |
| 3010 Obligations incurred, unexpired accounts .....               | 43  | 44  | 41  |
| 3020 Outlays (gross) .....  | -47 | -47 | -45 |
| 3050 Unpaid obligations, end of year .....                        | 44  | 41  | 37  |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -4  | -3  | -3  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 1   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -3  | -3  | -3  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 44  | 41  | 38  |
| 3200 Obligated balance, end of year .....                         | 41  | 38  | 34  |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                                   | 18  | 16  | 14  |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                      | 18  | 16  | 14  |
| 4101 Outlays from mandatory balances .....                           | 29  | 31  | 31  |
| 4110 Outlays, gross (total) .....                                    | 47  | 47  | 45  |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4121 Baseline Program [Fund Earnings] .....                          | -14 | -12 | -10 |
| 4123 Baseline Program [Fund Premiums] .....                          | -2  | -2  | -2  |
| 4123 Baseline Program [Cash Collections] .....                       | -3  | -2  | -2  |
| 4130 Offsets against gross budget authority and outlays (total) .... | -19 | -16 | -14 |
| Additional offsets against gross budget authority only:              |     |     |     |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | 1   |     |     |
| 4170 Outlays, net (mandatory) .....                                  | 28  | 31  | 31  |
| 4190 Outlays, net (total) .....                                      | 28  | 31  | 31  |

## Memorandum (non-add) entries:

|  |     |     |     |
|--|-----|-----|-----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 281 | 253 | 222 |
|--|-----|-----|-----|

|  |     |     |     |
|--|-----|-----|-----|
| 5001 Total investments, EOY: Federal securities: Par value ..... | 253 | 222 | 191 |
|--|-----|-----|-----|

*Note.*—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund pays claims and administrative costs on participating life insurance policies issued during the period May 1, 1965, through May 2, 1966, under three life insurance programs: (1) service-disabled standard insurance; (2) service-disabled rated insurance; and (3) nonservice-disabled insurance availing disabled World War II and Korean conflict veterans an opportunity to acquire life insurance coverage who were no longer eligible for other government insurance.

*Budget program:*

*Death claims.*—Represents payments to designated beneficiaries.

*Dividends.*—Policyholders participate in the distribution of annual dividends.

*All other.*—This represents resources for the administrative costs of processing claims and maintaining the accounts, and to those policyholders who: (a) surrender their policies for cash value; (b) hold endowment policies which have matured; and (c) have purchased total disability income coverage and subsequently become disabled.

*Policy loans made.*—A policyholder may borrow up to 94 percent of the cash value of his policy at an interest rate adjusted to reflect private sector borrowing costs.

The following table reflects the decrease in the number of policies and the amount of insurance in force:

## POLICIES AND INSURANCE IN FORCE

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Number of policies .....                       | 23,983      | 20,673    | 17,603    |
| Insurance in force (dollars in millions) ..... | \$248       | \$217     | \$186     |

*Financing.*—Operations are financed from premiums collected from policyholders and interest on investments. Excess earnings of the fund are distributed to the policyholders in the form of an annual dividend.

## Object Classification (in millions of dollars)

| Identification code 36-4010-0-3-701         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                   |             |         |           |
| 33.0 Investments and loans .....            | 1           | 1       | 1         |
| 42.0 Insurance claims and indemnities ..... | 35          | 37      | 35        |
| 43.0 Interest and dividends .....           | 7           | 6       | 5         |
| 99.9 Total new obligations .....            | 43          | 44      | 41        |

## SERVICEMEMBERS' GROUP LIFE INSURANCE FUND

## Program and Financing (in millions of dollars)

| Identification code 36-4009-0-3-701                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0801 Premium payments .....                          | 803         | 822     | 833       |
| 0802 Payments to carrier .....                       | 276         | 247     |           |
| 0803 Payment to GOE .....                            | 3           | 3       | 3         |
| 0900 Total new obligations (object class 41.0) ..... | 1,082       | 1,072   | 836       |

## Budgetary Resources:

|  |       |       |     |
|--|-------|-------|-----|
| Unobligated balance:   |       |       |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1     | 1     | 1   |
| Budget authority:  |       |       |     |
| Spending authority from offsetting collections, mandatory:         |       |       |     |
| 1800 Collected .....   | 1,082 | 1,072 | 836 |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 1,082 | 1,072 | 836 |
| 1930 Total budgetary resources available .....                     | 1,083 | 1,073 | 837 |

|   |   |        |        |      |
|---|---|--------|--------|------|
| Memorandum (non-add) entries:                       |   |        |        |      |
| 1941  | Unexpired unobligated balance, end of year .....            | 1      | 1      | 1    |
| <b>Change in obligated balance:</b>                 |   |        |        |      |
| Unpaid obligations:                                 |   |        |        |      |
| 3010  | Obligations incurred, unexpired accounts .....              | 1,082  | 1,072  | 836  |
| 3020  | Outlays (gross) .....                                       | -1,082 | -1,072 | -836 |
| <b>Budget authority and outlays, net:</b>           |   |        |        |      |
| Mandatory:  |   |        |        |      |
| 4090  | Budget authority, gross .....                               | 1,082  | 1,072  | 836  |
| Outlays, gross:                                     |   |        |        |      |
| 4100  | Outlays from new mandatory authority .....                  | 1,082  | 1,072  | 836  |
| Offsets against gross budget authority and outlays: |   |        |        |      |
| Offsetting collections (collected) from:            |   |        |        |      |
| 4123  | Non-Federal sources .....                                   | -1,082 | -1,072 | -836 |
| <b>Memorandum (non-add) entries:</b>                |   |        |        |      |
| 5000  | Total investments, SOY: Federal securities: Par value ..... | 1      | 1      | 1    |
| 5001  | Total investments, EOY: Federal securities: Par value ..... | 1      | 1      | 1    |

This fund finances the payment of group life insurance premiums to private insurance companies under the Servicemembers' Group Life Insurance (SGLI) Act of 1965, as amended. SGLI is a program for Servicemembers on active duty, ready reservists, members of the National Guard, members of the Commissioned Corps of the National Oceanic and Atmospheric Administration and the Public Health Service, cadets and midshipmen of the four service academies, and members of the Reserve Officer Training Corps. SGLI coverage is available in \$50,000 increments up to the maximum of \$400,000. Veterans' Group Life Insurance (VGLI) is a program of post-separation insurance which allows Servicemembers to convert their SGLI coverage to renewable term insurance. Family Servicemembers' Group Life Insurance (FSGLI) is a program extended to the spouses and dependent children of members insured under the SGLI program. FSGLI provides up to a maximum of \$100,000 of insurance coverage for spouses, not to exceed the amount of SGLI the insured member has in force, and \$10,000 of free coverage for dependent children. Spousal coverage is issued in increments of \$10,000.

The Servicemembers' Group Life Insurance Traumatic Injury Protection Program (TSGLI) became effective December 1, 2005. TSGLI provides for payment between \$25,000 and \$100,000 (depending on the type of injury) to any member of the uniformed services covered by SGLI who sustains a traumatic injury that results in certain serious losses.

**Object Classification** (in millions of dollars)

|                                     |  |       |       |     |
|-------------------------------------|--|-------|-------|-----|
| Identification code 36-4009-0-3-701 |  |       |       |     |
| Reimbursable obligations:           |  |       |       |     |
| 41.0                                | Grants, subsidies, and contributions ..... | 1,082 | 1,072 | 836 |
| 99.0                                | Reimbursable obligations .....             | 1,082 | 1,072 | 836 |

**VETERANS HOUSING BENEFIT PROGRAM FUND**

*For the cost of direct and guaranteed loans, such sums as may be necessary to carry out the program, as authorized by subchapters I through III of chapter 37 of title 38, United States Code: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That during fiscal year 2014, within the resources available, not to exceed \$500,000 in gross obligations for direct loans are authorized for specially adapted housing loans.*

*In addition, for administrative expenses to carry out the direct and guaranteed loan programs, \$158,430,000.*

**Program and Financing** (in millions of dollars)

|   |   |        |        |      |
|---|---|--------|--------|------|
| Identification code 36-1119-0-1-704       |   |        |        |      |
| 2012 actual                               |   |        |        |      |
| 2013 CR                                   |   |        |        |      |
| 2014 est.                                 |   |        |        |      |
| <b>Obligations by program activity:</b>   |   |        |        |      |
| Credit program obligations:               |   |        |        |      |
| 0702                                      | Loan guarantee subsidy .....                            | 66     |        |      |
| 0705                                      | Reestimates of direct loan subsidy .....                | 402    | 35     |      |
| 0706                                      | Interest on reestimates of direct loan subsidy .....    | 327    | 16     |      |
| 0707                                      | Reestimates of loan guarantee subsidy .....             | 689    | 1,187  |      |
| 0708                                      | Interest on reestimates of loan guarantee subsidy ..... | 91     | 142    |      |
| 0709                                      | Administrative expenses .....                           | 142    | 156    | 158  |
| 0900                                      | Total new obligations .....                             | 1,717  | 1,536  | 158  |
| <b>Budgetary Resources:</b>               |   |        |        |      |
| Unobligated balance:                      |   |        |        |      |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....        | 59     | 1      |      |
| 1029                                      | Other balances withdrawn .....                          | -43    |        |      |
| 1050                                      | Unobligated balance (total) .....                       | 16     | 1      |      |
| Budget authority:                         |   |        |        |      |
| Appropriations, discretionary:            |   |        |        |      |
| 1100                                      | Appropriation .....                                     | 155    | 156    | 158  |
| 1160                                      | Appropriation, discretionary (total) .....              | 155    | 156    | 158  |
| Appropriations, mandatory:                |   |        |        |      |
| 1200                                      | Appropriation .....                                     | 1,560  | 1,379  |      |
| 1260                                      | Appropriations, mandatory (total) .....                 | 1,560  | 1,379  |      |
| 1900                                      | Budget authority (total) .....                          | 1,715  | 1,535  | 158  |
| 1930                                      | Total budgetary resources available .....               | 1,731  | 1,536  | 158  |
| Memorandum (non-add) entries:             |   |        |        |      |
| 1940                                      | Unobligated balance expiring .....                      | -13    |        |      |
| 1941                                      | Unexpired unobligated balance, end of year .....        | 1      |        |      |
| <b>Change in obligated balance:</b>       |   |        |        |      |
| Unpaid obligations:                       |   |        |        |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....        |        |        | 1    |
| 3010                                      | Obligations incurred, unexpired accounts .....          | 1,717  | 1,536  | 158  |
| 3020                                      | Outlays (gross) .....                                   | -1,717 | -1,535 | -158 |
| 3050                                      | Unpaid obligations, end of year .....                   |        | 1      | 1    |
| Memorandum (non-add) entries:             |   |        |        |      |
| 3100                                      | Obligated balance, start of year .....                  |        |        | 1    |
| 3200                                      | Obligated balance, end of year .....                    |        | 1      | 1    |
| <b>Budget authority and outlays, net:</b> |   |        |        |      |
| Discretionary:                            |   |        |        |      |
| 4000                                      | Budget authority, gross .....                           | 155    | 156    | 158  |
| Outlays, gross:                           |   |        |        |      |
| 4010                                      | Outlays from new discretionary authority .....          | 142    | 156    | 158  |
| Mandatory:                                |   |        |        |      |
| 4090                                      | Budget authority, gross .....                           | 1,560  | 1,379  |      |
| Outlays, gross:                           |   |        |        |      |
| 4100                                      | Outlays from new mandatory authority .....              | 1,560  | 1,379  |      |
| 4101                                      | Outlays from mandatory balances .....                   | 15     |        |      |
| 4110                                      | Outlays, gross (total) .....                            | 1,575  | 1,379  |      |
| 4180                                      | Budget authority, net (total) .....                     | 1,715  | 1,535  | 158  |
| 4190                                      | Outlays, net (total) .....                              | 1,717  | 1,535  | 158  |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

|   |                                      |       |        |        |
|---|--------------------------------------|-------|--------|--------|
| Identification code 36-1119-0-1-704                         |                                      |       |        |        |
| 2012 actual   |                                      |       |        |        |
| 2013 CR   |                                      |       |        |        |
| 2014 est.   |                                      |       |        |        |
| Direct loan levels supportable by subsidy budget authority: |                                      |       |        |        |
| 115001  | Acquired Direct Loans .....          | 5     | 46     | 55     |
| 115004  | Vendee Direct Loans .....            | 158   | 222    | 358    |
| 115999  | Total direct loan levels .....       | 163   | 268    | 413    |
| Direct loan subsidy (in percent):                           |                                      |       |        |        |
| 132001  | Acquired Direct Loans .....          | 4.09  | -2.29  | -5.00  |
| 132004  | Vendee Direct Loans .....            | -2.12 | -14.25 | -24.13 |
| 132999  | Weighted average subsidy rate .....  | -1.93 | -12.20 | -21.58 |
| Direct loan subsidy budget authority:                       |                                      |       |        |        |
| 133001  | Acquired Direct Loans .....          |       | -1     | -3     |
| 133004  | Vendee Direct Loans .....            | -3    | -32    | -86    |
| 133999  | Total subsidy budget authority ..... | -3    | -33    | -89    |
| Direct loan subsidy outlays:                                |                                      |       |        |        |
| 134001  | Acquired Direct Loans .....          |       | -1     | -3     |
| 134004  | Vendee Direct Loans .....            | -3    | -32    | -86    |
| 134999  | Total subsidy outlays .....          | -3    | -33    | -89    |

**VETERANS HOUSING BENEFIT PROGRAM FUND—Continued**  
**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued**

| Identification code 36-1119-0-1-704                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan upward reestimates:                                 |             |         |           |
| 135001 Acquired Direct Loans .....                              |             | 12      |           |
| 135004 Vendee Direct Loans .....                                | 13          | 9       |           |
| 135005 Acquired and Vendee Loan Reestimates .....               | 717         | 29      |           |
| 135999 Total upward reestimate budget authority .....           | 730         | 50      |           |
| Direct loan downward reestimates:                               |             |         |           |
| 137001 Acquired Direct Loans .....                              | -12         |         |           |
| 137004 Vendee Direct Loans .....                                | -2          | -15     |           |
| 137005 Acquired and Vendee Loan Reestimates .....               |             | -1      |           |
| 137999 Total downward reestimate budget authority .....         | -14         | -16     |           |
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215001 Housing Guaranteed Loans .....                           | 120,062     | 108,211 | 65,533    |
| 215002 Guaranteed Loan Sale Securities—Vendee .....             | 190         |         |           |
| 215999 Total loan guarantee levels .....                        | 120,252     | 108,211 | 65,533    |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232001 Housing Guaranteed Loans .....                           | -0.14       | -0.10   | -0.02     |
| 232002 Guaranteed Loan Sale Securities—Vendee .....             | 10.75       |         |           |
| 232999 Weighted average subsidy rate .....                      | -0.12       | -0.10   | -0.02     |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233001 Housing Guaranteed Loans .....                           | -163        | -108    | -13       |
| 233002 Guaranteed Loan Sale Securities—Vendee .....             | 20          |         |           |
| 233999 Total subsidy budget authority .....                     | -143        | -108    | -13       |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 Housing Guaranteed Loans .....                           | -163        | -108    | -13       |
| 234002 Guaranteed Loan Sale Securities—Vendee .....             | 20          |         |           |
| 234999 Total subsidy outlays .....                              | -143        | -108    | -13       |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235001 Housing Guaranteed Loans .....                           | 707         | 1,294   |           |
| 235002 Guaranteed Loan Sale Securities—Vendee .....             | 74          | 34      |           |
| 235999 Total upward reestimate budget authority .....           | 781         | 1,328   |           |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237001 Housing Guaranteed Loans .....                           | -78         | -18     |           |
| 237002 Guaranteed Loan Sale Securities—Vendee .....             |             | -19     |           |
| 237999 Total downward reestimate subsidy budget authority ..... | -78         | -37     |           |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 155         | 156     | 158       |
| 3590 Outlays from new authority .....                           | 140         | 156     | 158       |

As required by the Federal Credit Reform Act of 1990, this account records, for these programs, the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond, (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as for the administrative expenses of these programs. The subsidy amounts are estimated on a net present value basis.

**Veterans Affairs (VA) housing program account.**—The housing program helps eligible veterans, active duty personnel, surviving spouses, and members of the Reserves and National Guard purchase, retain, and adapt homes in recognition of their service to the Nation. When a borrower purchases a home, the program operates by substituting the Federal Government's guaranty for a down payment that might otherwise be required. Under 38 U.S.C. 3703, the guaranty amount for a borrower with full entitlement is as follows:

- (a) 50 percent for loans of \$45,000 or less;
- (b) \$22,500 for loans greater than \$45,000, but no more than \$56,250;
- (c) The lesser of \$36,000 or 40 percent of the loan amount for loans greater than \$56,250, but not more than \$144,000;
- (d) 25 percent of the loan amount for loans of \$144,001 to \$417,000; or

(e) For certain loans in excess of \$417,000, the guaranty will be the lesser of: 25 percent of the county loan limit, or 25 percent of the loan.

This appropriation provides for the corporate leadership and operational support to VA's housing business line.

The housing program facilitates the extension of private capital, on more liberal terms than generally available to nonveterans, to assist veterans and servicepersons in obtaining housing credit, and assist veterans in retaining their homes during periods of temporary economic difficulty through intensive supplemental mortgage loan servicing.

**Guaranteed transitional housing loans for homeless veterans.**—Established as a pilot project by the Veterans Benefits Improvement Act of 1998, Public Law 105-368, this program will not execute any new loans. The existing loan will continue to be serviced within the financing account and no further funds will be required from the program account.

**WORKLOAD**

[In thousands]

|                                  | 2012 actual | 2013 est. | 2014 est. |
|----------------------------------|-------------|-----------|-----------|
| Construction and valuation ..... | 395         | 346       | 202       |
| Loan processing .....            | 1074        | 941       | 548       |
| Loan service and claims .....    | 108         | 115       | 115       |

**Object Classification (in millions of dollars)**

| Identification code 36-1119-0-1-704                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 142         | 156     | 158       |
| 41.0 Grants, subsidies, and contributions .....    | 1,575       | 1,380   |           |
| 99.9 Total new obligations .....                   | 1,717       | 1,536   | 158       |

**HOUSING DIRECT LOAN FINANCING ACCOUNT**

**Program and Financing (in millions of dollars)**

| Identification code 36-4127-0-3-704   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0003 Property sales expense .....   |             | 1       | 3         |
| 0004 Property management/other expense .....                                    | 8           | 5       | 14        |
| 0091 Direct program activities, subtotal .....                                  | 8           | 6       | 17        |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 163         | 268     | 413       |
| 0713 Payment of interest to Treasury .....                                      | 112         | 97      | 105       |
| 0740 Negative subsidy obligations .....   | 3           | 33      | 89        |
| 0742 Downward reestimate paid to receipt account .....                          | 13          | 15      |           |
| 0743 Interest on downward reestimates .....                                     | 1           | 1       |           |
| 0791 Direct program activities, subtotal .....                                  | 292         | 414     | 607       |
| 0900 Total new obligations .....  | 300         | 420     | 624       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 169         | 89      |           |
| 1023 Unobligated balances applied to repay debt .....                           | -157        | -89     |           |
| 1050 Unobligated balance (total) .....  | 12          |         |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 1,278       | 420     | 624       |
| 1421 Borrowing authority applied to repay debt .....                            | -1,008      |         |           |
| 1440 Borrowing authority, mandatory (total) .....                               | 270         | 420     | 624       |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 1,070       | 120     | 114       |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -963        | -120    | -114      |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 107         |         |           |
| 1900 Financing authority (total) .....  | 377         | 420     | 624       |
| 1930 Total budgetary resources available .....                                  | 389         | 420     | 624       |

|                                     |  |       |       |       |
|-------------------------------------|--|-------|-------|-------|
| Memorandum (non-add) entries:       |  |       |       |       |
| 1941                                | Unexpired unobligated balance, end of year ..... | 89    | ..... | ..... |
| <b>Change in obligated balance:</b> |  |       |       |       |
| Unpaid obligations:                 |  |       |       |       |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 8     | ..... | 5     |
| 3010                                | Obligations incurred, unexpired accounts .....   | 300   | 420   | 624   |
| 3020                                | Financing disbursements (gross) .....            | -308  | -415  | -621  |
| 3050                                | Unpaid obligations, end of year .....            | ..... | 5     | 8     |
| Memorandum (non-add) entries:       |  |       |       |       |
| 3100                                | Obligated balance, start of year .....           | 8     | ..... | 5     |
| 3200                                | Obligated balance, end of year .....             | ..... | 5     | 8     |

|  |  |        |       |       |
|--|--|--------|-------|-------|
| <b>Financing authority and disbursements, net:</b>           |  |        |       |       |
| Mandatory:   |  |        |       |       |
| 4090   | Financing authority, gross .....                                     | 377    | 420   | 624   |
| Financing disbursements:                                     |  |        |       |       |
| 4110   | Financing disbursements, gross .....                                 | 308    | 415   | 621   |
| Offsets against gross financing authority and disbursements: |  |        |       |       |
| Offsetting collections (collected) from:                     |  |        |       |       |
| 4120   | Federal sources: Payments from program account .....                 | -729   | -50   | ..... |
| 4120   | Reimbursements from DLFA .....                                       | -1     | -1    | -5    |
| 4120   | Transfer of loan sales from loan sale security .....                 | -190   | ..... | ..... |
| 4122   | Interest on uninvested funds .....                                   | -81    | ..... | ..... |
| 4123   | Interest and principal received on loans .....                       | -59    | -58   | -76   |
| 4123   | Fees .....   | -3     | -3    | -5    |
| 4123   | Cash sale of properties .....  | -6     | -8    | -28   |
| 4123   | Other .....  | -1     | ..... | ..... |
| 4130   | Offsets against gross financing auth and disbursements (total) ..... | -1,070 | -120  | -114  |
| 4160   | Financing authority, net (mandatory) .....                           | -693   | 300   | 510   |
| 4170   | Financing disbursements, net (mandatory) .....                       | -762   | 295   | 507   |
| 4180   | Financing authority, net (total) .....                               | -693   | 300   | 510   |
| 4190   | Financing disbursements, net (total) .....                           | -762   | 295   | 507   |

**Status of Direct Loans** (in millions of dollars)

|  |  |      |       |       |
|--|--|------|-------|-------|
| Identification code 36-4127-0-3-704                                    |  |      |       |       |
| Position with respect to appropriations act limitation on obligations: |  |      |       |       |
| 1131   | Direct loan obligations exempt from limitation .....             | 163  | 268   | 413   |
| 1150   | Total direct loan obligations .....                              | 163  | 268   | 413   |
| Cumulative balance of direct loans outstanding:                        |  |      |       |       |
| 1210   | Outstanding, start of year .....                                 | 670  | 598   | 809   |
| 1231   | Disbursements: Direct loan disbursements .....                   | 163  | 268   | 413   |
| Repayments:  |  |      |       |       |
| 1251   | Repayments and prepayments .....                                 | -38  | -25   | -32   |
| 1253   | Proceeds from loan asset sales to the public with recourse ..... | -190 | ..... | ..... |
| Write-offs for default:  |  |      |       |       |
| 1263   | Direct loans .....   | -12  | -32   | -14   |
| 1264   | Other adjustments, Data Reconciliation .....                     | 5    | ..... | ..... |
| 1290   | Outstanding, end of year .....                                   | 598  | 809   | 1,176 |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

|   |   |       |       |       |
|---|---|-------|-------|-------|
| Identification code 36-4127-0-3-704                               |   |       |       |       |
| ASSETS:   |   |       |       |       |
| Federal assets:   |   |       |       |       |
| 1101  | Fund balances with Treasury .....                         | 177   | ..... | 88    |
| Investments in US securities:                                     |   |       |       |       |
| 1106  | Receivables, net .....                                    | 761   | 28    | ..... |
| Net value of assets related to post-1991 direct loans receivable: |   |       |       |       |
| 1401  | Direct loans receivable, gross .....                      | 670   | 598   | ..... |
| 1402  | Interest receivable .....                                 | 12    | 14    | ..... |
| 1404  | Foreclosed property .....                                 | 8     | 7     | ..... |
| 1405  | Allowance for subsidy cost .....                          | -6    | 21    | ..... |
| 1499  | Net present value of assets related to direct loans ..... | 684   | 640   | ..... |
| 1901  | Other Federal assets: Other assets .....                  | ..... | 1     | ..... |
| 1999  | Total assets .....  | 1,622 | 757   | ..... |

|                      |  |       |       |       |
|----------------------|--|-------|-------|-------|
| LIABILITIES:         |  |       |       |       |
| Federal liabilities: |  |       |       |       |
| 2103                 | Debt .....                               | 1,599 | 749   | ..... |
| 2105                 | Other .....                              | 17    | 8     | ..... |
| 2207                 | Non-Federal liabilities: Other .....     | 6     | ..... | ..... |
| 2999                 | Total liabilities .....                  | 1,622 | 757   | ..... |
| 4999                 | Total liabilities and net position ..... | 1,622 | 757   | ..... |

**HOUSING GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

|   |   |       |       |       |
|---|---|-------|-------|-------|
| Identification code 36-4129-0-3-704     |   |       |       |       |
| 2012 actual                             |   |       |       |       |
| 2013 CR                                 |   |       |       |       |
| 2014 est.                               |   |       |       |       |
| <b>Obligations by program activity:</b> |   |       |       |       |
| 0002                                    | Losses on defaulted loans .....                   | 811   | 718   | 750   |
| 0004                                    | Reimburse DLFA for loan sales .....               | 190   | ..... | ..... |
| 0005                                    | Payment to trustee reserve .....                  | 29    | 19    | 19    |
| 0009                                    | Property sales expense .....                      | 72    | 97    | 115   |
| 0010                                    | Property management expense .....                 | 107   | 86    | 100   |
| 0011                                    | Property improvement expense .....                | 1     | 9     | 10    |
| 0012                                    | Loans acquired .....                              | 5     | 55    | 64    |
| 0091                                    | Direct program activities, subtotal .....         | 1,215 | 984   | 1,058 |
| Credit program obligations:             |   |       |       |       |
| 0711                                    | Default claim payments on principal .....         | 1,209 | 1,379 | 1,638 |
| 0713                                    | Payment of interest to Treasury .....             | 1     | ..... | ..... |
| 0740                                    | Negative subsidy obligations .....                | 208   | 108   | 13    |
| 0741                                    | Modification savings .....                        | 4     | ..... | ..... |
| 0742                                    | Downward reestimate paid to receipt account ..... | 35    | 25    | ..... |
| 0743                                    | Interest on downward reestimates .....            | 43    | 12    | ..... |
| 0791                                    | Direct program activities, subtotal .....         | 1,500 | 1,524 | 1,651 |
| 0900                                    | Total new obligations .....                       | 2,715 | 2,508 | 2,709 |

|  |  |       |       |       |
|--|--|-------|-------|-------|
| <b>Budgetary Resources:</b>                                |  |       |       |       |
| Unobligated balance:                                       |  |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....                           | 3,248 | 3,909 | 5,253 |
| Financing authority:                                       |  |       |       |       |
| Borrowing authority, mandatory:                            |  |       |       |       |
| 1400   | Borrowing authority .....  | 24    | 108   | 13    |
| 1440   | Borrowing authority, mandatory (total) .....                               | 24    | 108   | 13    |
| Spending authority from offsetting collections, mandatory: |  |       |       |       |
| 1800   | Collected .....  | 3,357 | 3,852 | 3,098 |
| 1801   | Change in uncollected payments, Federal sources .....                      | -5    | ..... | ..... |
| 1825   | Spending authority from offsetting collections applied to repay debt ..... | ..... | -108  | -13   |
| 1850   | Spending auth from offsetting collections, mand (total) .....              | 3,352 | 3,744 | 3,085 |
| 1900   | Financing authority (total) .....  | 3,376 | 3,852 | 3,098 |
| 1930   | Total budgetary resources available .....                                  | 6,624 | 7,761 | 8,351 |
| Memorandum (non-add) entries:                              |  |       |       |       |
| 1941   | Unexpired unobligated balance, end of year .....                           | 3,909 | 5,253 | 5,642 |

|                                     |  |        |        |        |
|-------------------------------------|--|--------|--------|--------|
| <b>Change in obligated balance:</b> |  |        |        |        |
| Unpaid obligations:                 |  |        |        |        |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 284    | 307    | 48     |
| 3010                                | Obligations incurred, unexpired accounts .....               | 2,715  | 2,508  | 2,709  |
| 3020                                | Financing disbursements (gross) .....                        | -2,692 | -2,767 | -2,702 |
| 3050                                | Unpaid obligations, end of year .....                        | 307    | 48     | 55     |
| Uncollected payments:               |  |        |        |        |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -5     | .....  | .....  |
| 3070                                | Change in uncollected pymts, Fed sources, unexpired .....    | 5      | .....  | .....  |
| Memorandum (non-add) entries:       |  |        |        |        |
| 3100                                | Obligated balance, start of year .....                       | 279    | 307    | 48     |
| 3200                                | Obligated balance, end of year .....                         | 307    | 48     | 55     |

|  |  |        |        |        |
|--|--|--------|--------|--------|
| <b>Financing authority and disbursements, net:</b>           |  |        |        |        |
| Mandatory:   |  |        |        |        |
| 4090   | Financing authority, gross .....         | 3,376  | 3,852  | 3,098  |
| Financing disbursements:                                     |  |        |        |        |
| 4110   | Financing disbursements, gross .....     | 2,692  | 2,767  | 2,702  |
| Offsets against gross financing authority and disbursements: |  |        |        |        |
| Offsetting collections (collected) from:                     |  |        |        |        |
| 4120   | Payments from program account .....      | -846   | -1,329 | .....  |
| 4120   | Recoveries from DLFA .....               | -167   | -210   | -313   |
| 4122   | Interest on uninvested funds .....       | -133   | -383   | -426   |
| 4123   | Funding fees .....                       | -1,179 | -1,051 | -944   |
| 4123   | Cash sale of properties .....            | -791   | -879   | -1,415 |
| 4123   | Redemption of properties and other ..... | -43    | .....  | .....  |

HOUSING GUARANTEED LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 36–4129–0–3–704                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4123 Loan sale proceeds .....   | –198        |         |           |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –3,357      | –3,852  | –3,098    |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 5           |         |           |
| 4160 Financing authority, net (mandatory) .....                           | 24          |         |           |
| 4170 Financing disbursements, net (mandatory) .....                       | –665        | –1,085  | –396      |
| 4180 Financing authority, net (total) .....                               | 24          |         |           |
| 4190 Financing disbursements, net (total) .....                           | –665        | –1,085  | –396      |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 36–4129–0–3–704  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:     |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....              | 120,062     | 108,211 | 65,533    |
| 2132 Guaranteed loan commitments for loan asset sales with recourse .....  | 190         |         |           |
| 2150 Total guaranteed loan commitments .....                               | 120,252     | 108,211 | 65,533    |
| 2199 Guaranteed amount of guaranteed loan commitments .....                | 33,327      | 29,866  | 18,087    |
| Cumulative balance of guaranteed loans outstanding:                        |             |         |           |
| 2210 Outstanding, start of year .....                                      | 257,727     | 295,996 | 394,154   |
| 2231 Disbursements of new guaranteed loans .....                           | 120,061     | 108,211 | 65,533    |
| 2232 Guarantees of loans sold to the public with recourse .....            | 190         |         |           |
| 2251 Repayments and prepayments .....                                      | –79,928     | –7,882  | –9,092    |
| Adjustments:   |             |         |           |
| 2262 Terminations for default that result in acquisition of property ..... | –1,209      | –1,379  | –1,638    |
| 2263 Terminations for default that result in claim payments .....          | –845        | –792    | –833      |
| 2290 Outstanding, end of year .....  | 295,996     | 394,154 | 448,124   |
| Memorandum:  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....  | 85,772      | 111,467 | 125,395   |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond, including modifications of guaranteed loans that resulted from commitments in any year, and from the guarantee of loans sold through the securitization programs. The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 36–4129–0–3–704  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| Federal assets:  |             |             |
| 1101 Fund balances with Treasury .....   | 3,527       | 4,216       |
| Investments in US securities:  |             |             |
| 1106 Receivables, net .....  | 969         | 895         |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1504 Accounts receivable from foreclosed property .....                                  | 11          | 6           |
| 1504 Foreclosed property .....   | 852         | 813         |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             | 863         | 819         |
| 1999 Total assets .....  | 5,359       | 5,930       |
| LIABILITIES:   |             |             |
| Federal liabilities:   |             |             |
| 2103 Debt .....  |             | 24          |
| 2105 Other liabilities .....   | 15          | 42          |
| Non-Federal liabilities:   |             |             |
| 2201 Accounts payable .....  | 286         | 308         |
| 2204 Non-federal liabilities for loan guarantees .....                                   | 5,058       | 5,556       |
| 2999 Total liabilities .....   | 5,359       | 5,930       |

|      |  |       |       |
|------|--|-------|-------|
| 4999 | Total liabilities and net position ..... | 5,359 | 5,930 |
|------|--|-------|-------|

## HOUSING LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 36–4025–0–3–704            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>        |             |         |           |
| 0105 Other operating expenses .....            | 2           | 2       | 2         |
| Credit program obligations:                    |             |         |           |
| 0711 Default claim payments on principal ..... | 3           | 2       | 2         |
| 0900 Total new obligations .....               | 5           | 4       | 4         |

## Budgetary Resources:

|   |    |    |    |
|---|----|----|----|
| Unobligated balance:  |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 1  | 3  |    |
| 1022 Capital transfer of unobligated balances to general fund .....                           |    | –3 |    |
| 1050 Unobligated balance (total) .....  | 1  |    |    |
| Budget authority:   |    |    |    |
| Spending authority from offsetting collections, mandatory:                                    |    |    |    |
| 1800 Collected .....  | 14 | 12 | 11 |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | –7 | –8 | –7 |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 7  | 4  | 4  |
| 1930 Total budgetary resources available .....  | 8  | 4  | 4  |
| Memorandum (non-add) entries:   |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....   | 3  |    |    |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3  | 3  |    |
| 3010 Obligations incurred, unexpired accounts .....   | 5  | 4  | 4  |
| 3020 Outlays (gross) .....                            | –5 | –7 | –4 |
| 3050 Unpaid obligations, end of year .....            | 3  |    |    |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           | 3  | 3  |    |
| 3200 Obligated balance, end of year .....             | 3  |    |    |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Mandatory:   |     |     |     |
| 4090 Budget authority, gross .....                                   | 7   | 4   | 4   |
| Outlays, gross:  |     |     |     |
| 4100 Outlays from new mandatory authority .....                      | 2   | 4   | 4   |
| 4101 Outlays from mandatory balances .....                           | 3   | 3   |     |
| 4110 Outlays, gross (total) .....                                    | 5   | 7   | 4   |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4120 Federal sources .....   | –1  |     |     |
| 4123 Loan repayments and prepayments .....                           | –3  | –2  | –2  |
| 4123 Sale of homes, cash .....                                       | –2  | –2  | –1  |
| 4123 Interest on loans .....   | –1  | –1  | –1  |
| 4123 Interest on subordinate certificates .....                      | –1  | –1  | –1  |
| 4123 Interest collection on Veteran liability debts .....            | –3  | –2  | –2  |
| 4123 Principal collection on Veteran liability debts .....           | –3  | –4  | –4  |
| 4130 Offsets against gross budget authority and outlays (total) .... | –14 | –12 | –11 |
| 4160 Budget authority, net (mandatory) .....                         | –7  | –8  | –7  |
| 4170 Outlays, net (mandatory) .....                                  | –9  | –5  | –7  |
| 4180 Budget authority, net (total) .....                             | –7  | –8  | –7  |
| 4190 Outlays, net (total) .....                                      | –9  | –5  | –7  |

## Memorandum (non-add) entries:

|   |     |     |     |
|---|-----|-----|-----|
| 5010 Total investments, SOY: non-Fed securities: Market value ..... | 140 | 140 | 140 |
| 5011 Total investments, EOY: non-Fed securities: Market value ..... | 140 | 140 | 140 |

## Status of Direct Loans (in millions of dollars)

| Identification code 36–4025–0–3–704               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 14          | 11      | 9         |
| 1251 Repayments: Repayments and prepayments ..... | –3          | –2      | –2        |
| 1290 Outstanding, end of year .....               | 11          | 9       | 7         |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 36-4025-0-3-704   |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                               |  |             |         |           |
| 2210  | Outstanding, start of year .....   | 587         | 461     | 362       |
| 2251  | Repayments and prepayments .....   | -123        | -97     | -76       |
| 2262  | Adjustments: Terminations for default that result in acquisition of property ..... | -3          | -2      | -2        |
| 2290  | Outstanding, end of year .....   | 461         | 362     | 284       |
| Memorandum:   |  |             |         |           |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year .....               | 196         | 153     | 120       |
| Addendum:   |  |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |             |         |           |
| 2310  | Outstanding, start of year .....   | 15          | 31      | 19        |
| 2351  | Repayments of loans receivable .....   | -4          | -8      | -6        |
| 2361  | Write-offs of loans receivable .....   | -2          | -4      | -2        |
| 2364  | Other adjustments, net .....   | 22          |         |           |
| 2390  | Outstanding, end of year .....   | 31          | 19      | 11        |

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from direct loans obligated and loan guarantees committed prior to 1992. All new activity in this program in 1992 and beyond is recorded in the corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

| Identification code 36-4025-0-3-704 |  | 2011 actual | 2012 actual |
|-------------------------------------|--|-------------|-------------|
| ASSETS:                             |  |             |             |
| 1101                                | Federal assets: Fund balances with Treasury .....                    | 4           | 5           |
| 1201                                | Non-Federal assets: Investments in non-Federal securities, net ..... | 140         | 140         |
| 1601                                | Direct loans, gross .....  | 14          | 11          |
| 1603                                | Allowance for estimated uncollectible loans and interest (-) .....   | -2          |             |
| 1604                                | Direct loans and interest receivable, net .....                      | 12          | 11          |
| 1606                                | Foreclosed property direct loans .....                               | 10          | 10          |
| 1699                                | Value of assets related to direct loans .....                        | 22          | 21          |
| 1701                                | Defaulted guaranteed loans, gross .....                              | 15          | 31          |
| 1703                                | Allowance for estimated uncollectible loans and interest (-) .....   | -14         | -10         |
| 1704                                | Defaulted guaranteed loans and interest receivable, net .....        | 1           | 21          |
| 1706                                | Foreclosed property .....  | 4           | 4           |
| 1799                                | Value of assets related to loan guarantees .....                     | 5           | 25          |
| 1999                                | Total assets .....   | 171         | 191         |
| LIABILITIES:                        |  |             |             |
| 2103                                | Federal liabilities: Debt .....                                      | 169         | 189         |
| 2207                                | Non-Federal liabilities: Other .....                                 | 2           | 2           |
| 2999                                | Total liabilities .....  | 171         | 191         |
| 4999                                | Total liabilities and net position .....                             | 171         | 191         |

**Object Classification** (in millions of dollars)

| Identification code 36-4025-0-3-704 |                             | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-----------------------------|-------------|---------|-----------|
| Direct obligations:                 |                             |             |         |           |
| 32.0                                | Land and structures .....   | 3           | 2       | 2         |
| 33.0                                | Investments and loans ..... | 2           | 2       | 2         |
| 99.9                                | Total new obligations ..... | 5           | 4       | 4         |

**NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT**

For administrative expenses to carry out the direct loan program authorized by subchapter V of chapter 37 of title 38, United States Code, \$1,109,000.

**VOCATIONAL REHABILITATION LOANS PROGRAM ACCOUNT**

For the cost of direct loans, \$5,000, as authorized by chapter 31 of title 38, United States Code: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congress-

sional Budget Act of 1974: Provided further, That funds made available under this heading are available to subsidize gross obligations for the principal amount of direct loans not to exceed \$2,500,000.

In addition, for administrative expenses necessary to carry out the direct loan program, \$354,000, which may be paid to the appropriation for "General operating expenses, Veterans Benefits Administration".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 36-1120-0-1-704       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| Credit program obligations:               |  |             |         |           |
| 0705                                      | Reestimates of direct loan subsidy .....             | 10          | 5       |           |
| 0706                                      | Interest on reestimates of direct loan subsidy ..... | 3           | 1       |           |
| 0709                                      | Administrative expenses .....                        | 1           | 1       | 1         |
| 0900                                      | Total new obligations .....                          | 14          | 7       | 1         |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....     | 2           | 3       | 3         |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                                  | 1           | 1       | 1         |
| 1160                                      | Appropriation, discretionary (total) .....           | 1           | 1       | 1         |
| Appropriations, mandatory:                |  |             |         |           |
| 1200                                      | Appropriation .....                                  | 14          | 6       |           |
| 1260                                      | Appropriations, mandatory (total) .....              | 14          | 6       |           |
| 1900                                      | Budget authority (total) .....                       | 15          | 7       | 1         |
| 1930                                      | Total budgetary resources available .....            | 17          | 10      | 4         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....     | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....       | 14          | 7       | 1         |
| 3020                                      | Outlays (gross) .....                                | -14         | -7      | -1        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                        | 1           | 1       | 1         |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....       | 1           | 1       | 1         |
| Mandatory:                                |  |             |         |           |
| 4090                                      | Budget authority, gross .....                        | 14          | 6       |           |
| Outlays, gross:                           |  |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....           | 13          | 6       |           |
| 4180                                      | Budget authority, net (total) .....                  | 15          | 7       | 1         |
| 4190                                      | Outlays, net (total) .....                           | 14          | 7       | 1         |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 36-1120-0-1-704                         |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |  |             |         |           |
| 115002  | Native American Direct Loans .....             | 6           | 11      | 11        |
| 115003  | Vocational Rehabilitation .....                | 2           | 3       | 3         |
| 115999  | Total direct loan levels .....                 | 8           | 14      | 14        |
| Direct loan subsidy (in percent):                           |  |             |         |           |
| 132002  | Native American Direct Loans .....             | -11.97      | -17.84  | -16.75    |
| 132003  | Vocational Rehabilitation .....                | 0.63        | 0.68    | 0.20      |
| 132999  | Weighted average subsidy rate .....            | -8.82       | -13.87  | -13.12    |
| Direct loan subsidy budget authority:                       |  |             |         |           |
| 133002  | Native American Direct Loans .....             | -1          | -2      | -2        |
| 133999  | Total subsidy budget authority .....           | -1          | -2      | -2        |
| Direct loan subsidy outlays:                                |  |             |         |           |
| 134002  | Native American Direct Loans .....             | -1          | -2      | -2        |
| 134999  | Total subsidy outlays .....                    | -1          | -2      | -2        |
| Direct loan upward reestimates:                             |  |             |         |           |
| 135002  | Native American Direct Loans .....             | 13          | 6       |           |
| 135999  | Total upward reestimate budget authority ..... | 13          | 6       |           |

NATIVE AMERICAN VETERAN HOUSING LOAN PROGRAM ACCOUNT—Continued  
Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program—Continued

| Identification code 36–1120–0–1–704                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan downward reestimates:                       |             |         |           |
| 137002 Native American Direct Loans .....               | –5          | –3      | .....     |
| 137999 Total downward reestimate budget authority ..... | –5          | –3      | .....     |

The Native American Veterans Housing Loan program provides direct loans to veterans living on trust lands under 38 U.S.C. chapter 37, section 3761. These loans are available to purchase, construct, or improve homes to be occupied as the veteran's residence. This program began as a pilot in 1993 and was made permanent on June 15, 2006 through Public Law 109–233.

The Vocational Rehabilitation Loan Program provides additional funding assistance to cover the costs of subsistence, tuition, books, supplies, and equipment in conjunction with service connected disability benefits provided to Veterans participating in VA's Vocational Rehabilitation and Counseling Program as authorized by chapter 31 of title 38, United States Code. Repayment of these loans is made in monthly installments, without interest, through deductions from future payments of compensation, pension, subsistence allowance, educational assistance allowance, or retired pay.

## Object Classification (in millions of dollars)

| Identification code 36–1120–0–1–704                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....    | 13          | 6       | .....     |
| 99.9 Total new obligations .....                   | 14          | 7       | 1         |

## NATIVE AMERICAN DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 36–4130–0–3–704   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 6           | 11      | 11        |
| 0713 Payment of interest to Treasury .....                                      | 3           | 3       | 3         |
| 0740 Negative subsidy obligations .....   | 1           | 2       | 2         |
| 0742 Downward reestimate paid to receipt account .....                          | 4           | 2       | .....     |
| 0743 Interest on downward reestimates .....                                     | 1           | 1       | .....     |
| 0900 Total new obligations .....  | 15          | 19      | 16        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 7           | 4       | .....     |
| 1023 Unobligated balances applied to repay debt .....                           | –7          | .....   | .....     |
| 1050 Unobligated balance (total) .....  | .....       | 4       | .....     |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 22          | 15      | 17        |
| 1421 Borrowing authority applied to repay debt .....                            | –5          | .....   | .....     |
| 1440 Borrowing authority, mandatory (total) .....                               | 17          | 15      | 17        |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 19          | 15      | 10        |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –17         | –15     | –10       |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 2           | .....   | .....     |
| 1900 Financing authority (total) .....  | 19          | 15      | 17        |
| 1930 Total budgetary resources available .....                                  | 19          | 19      | 17        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 4           | .....   | 1         |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1   | 3   | 1   |
| 3010 Obligations incurred, unexpired accounts .....   | 15  | 19  | 16  |
| 3020 Financing disbursements (gross) .....            | –13 | –21 | –16 |
| 3050 Unpaid obligations, end of year .....            | 3   | 1   | 1   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 1   | 3   | 1   |
| 3200 Obligated balance, end of year .....             | 3   | 1   | 1   |

## Financing authority and disbursements, net:

|   |       |       |       |
|---|-------|-------|-------|
| Mandatory:  |       |       |       |
| 4090 Financing authority, gross .....                                     | 19    | 15    | 17    |
| Financing disbursements:  |       |       |       |
| 4110 Financing disbursements, gross .....                                 | 13    | 21    | 16    |
| Offsets against gross financing authority and disbursements:              |       |       |       |
| Offsetting collections (collected) from:                                  |       |       |       |
| 4120 Federal sources .....  | –13   | –6    | ..... |
| 4122 Interest on uninvested funds .....                                   | –1    | ..... | ..... |
| 4123 Non-federal sources - Repayments and prepayments of principal .....  | –5    | –6    | –7    |
| 4123 Non-Federal sources - Interest received on loans .....               | ..... | –3    | –3    |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –19   | –15   | –10   |
| 4160 Financing authority, net (mandatory) .....                           | ..... | ..... | 7     |
| 4170 Financing disbursements, net (mandatory) .....                       | –6    | 6     | 6     |
| 4180 Financing authority, net (total) .....                               | ..... | ..... | 7     |
| 4190 Financing disbursements, net (total) .....                           | –6    | 6     | 6     |

## Status of Direct Loans (in millions of dollars)

| Identification code 36–4130–0–3–704  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations:   |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....  | 6           | 11      | 11        |
| 1150 Total direct loan obligations .....   | 6           | 11      | 11        |
| Cumulative balance of direct loans outstanding:  |             |         |           |
| 1210 Outstanding, start of year .....  | 61          | 56      | 61        |
| 1231 Disbursements: Direct loan disbursements .....  | 4           | 11      | 11        |
| 1251 Repayments: Repayments and prepayments .....  | –3          | –6      | –7        |
| 1264 Write-offs for default: Adjustment - reduction in subsidy allowance to account for reestimates analysis ..... | –6          | .....   | .....     |
| 1290 Outstanding, end of year .....  | 56          | 61      | 65        |

As required by the Federal Credit Reform Act of 1990, these non-budgetary accounts record all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in the accounts are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 36–4130–0–3–704                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 9           | 6           |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 3           | 5           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 61          | 56          |
| 1402 Interest receivable .....                                    | 1           | 1           |
| 1499 Net present value of assets related to direct loans .....    | 62          | 57          |
| 1901 Other Federal assets: Other assets .....                     | .....       | 2           |
| 1999 Total assets .....   | 74          | 70          |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Federal liabilities debt .....                               | 73          | 64          |
| 2105 Other liabilities .....                                      | 1           | 6           |
| 2999 Total liabilities .....                                      | 74          | 70          |
| 4999 Total liabilities and net position .....                     | 74          | 70          |



## TRANSITIONAL HOUSING DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 36-4258-0-3-704                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....        | 5           | 5       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 5           | 5       | 5         |

## Status of Direct Loans (in millions of dollars)

| Identification code 36-4258-0-3-704                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     | 95          | 95      | 95        |
| 1143 Unobligated limitation carried forward .....                      | -95         | -95     | -95       |
| 1150 Total direct loan obligations .....                               |             |         |           |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 5           | 5       | 5         |
| 1290 Outstanding, end of year .....                                    | 5           | 5       | 5         |

## Balance Sheet (in millions of dollars)

| Identification code 36-4258-0-3-704                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 5           | 5           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 5           | 5           |
| 1405 Allowance for subsidy cost (-) .....                         | -5          | -5          |
| 1499 Net present value of assets related to direct loans .....    |             |             |
| 1999 Total assets .....   | 5           | 5           |
| <b>LIABILITIES:</b>   |             |             |
| 2103 Federal liabilities: Debt .....                              | 5           | 5           |
| 4999 Total liabilities and net position .....                     | 5           | 5           |

## VOCATIONAL REHABILITATION DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 36-4112-0-3-702     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| Credit program obligations:             |             |         |           |
| 0710 Direct loan obligations .....      | 3           | 3       | 3         |
| 0900 Total new obligations .....        | 3           | 3       | 3         |

|   |    |    |    |
|---|----|----|----|
| <b>Budgetary Resources:</b>   |    |    |    |
| Unobligated balance:  |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 1  | 1  | 1  |
| Financing authority:  |    |    |    |
| Borrowing authority, mandatory:   |    |    |    |
| 1400 Authority to borrow (indefinite) .....                                     | 3  | 3  | 3  |
| 1421 Borrowing authority applied to repay debt .....                            | -2 |    |    |
| 1440 Borrowing authority, mandatory (total) .....                               | 1  | 3  | 3  |
| Spending authority from offsetting collections, mandatory:                      |    |    |    |
| 1800 Collected .....  | 3  | 3  | 3  |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -1 | -3 | -3 |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 2  |    |    |
| 1900 Financing authority (total) .....  | 3  | 3  | 3  |
| 1930 Total budgetary resources available .....                                  | 4  | 4  | 4  |
| Memorandum (non-add) entries:   |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....                           | 1  | 1  | 1  |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                 |    |    |    |
| 3010 Obligations incurred, unexpired accounts ..... | 3  | 3  | 3  |
| 3020 Financing disbursements (gross) .....          | -3 | -3 | -3 |

## Financing authority and disbursements, net:

|  |    |    |    |
|--|----|----|----|
| Mandatory:   |    |    |    |
| 4090 Financing authority, gross .....                        | 3  | 3  | 3  |
| Financing disbursements:                                     |    |    |    |
| 4110 Financing disbursements, gross .....                    | 3  | 3  | 3  |
| Offsets against gross financing authority and disbursements: |    |    |    |
| Offsetting collections (collected) from:                     |    |    |    |
| 4123 Repayments and prepayments of principal .....           | -3 | -3 | -3 |

## Status of Direct Loans (in millions of dollars)

| Identification code 36-4112-0-3-702                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1111 Limitation on direct loans .....                                  | 3           | 3       | 3         |
| 1150 Total direct loan obligations .....                               | 3           | 3       | 3         |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 1           | 2       | 2         |
| 1231 Disbursements: Direct loan disbursements .....                    | 3           | 3       | 3         |
| 1251 Repayments: Repayments and prepayments .....                      | -2          | -3      | -3        |
| 1290 Outstanding, end of year .....                                    | 2           | 2       | 2         |

## Balance Sheet (in millions of dollars)

| Identification code 36-4112-0-3-702                                    | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets: Investments in US securities:                          |             |             |
| 1104 Investments US Securities .....                                   | 1           | 1           |
| 1401 Net value of assets related to post-1991 direct loans receivable: |             |             |
| Direct loans receivable, gross .....                                   | 1           | 2           |
| 1999 Total assets .....  | 2           | 3           |
| <b>LIABILITIES:</b>  |             |             |
| 2103 Federal liabilities: Debt .....                                   | 2           | 3           |
| 4999 Total liabilities and net position .....                          | 2           | 3           |

## Trust Funds

## POST-VIETNAM ERA VETERANS EDUCATION ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 36-8133-0-7-702                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0003 Participant disenrollments .....                | 1           | 1       | 1         |
| 0900 Total new obligations (object class 41.0) ..... | 1           | 1       | 1         |

|  |    |    |    |
|--|----|----|----|
| <b>Budgetary Resources:</b>  |    |    |    |
| Unobligated balance:   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 64 | 64 | 64 |
| Budget authority:  |    |    |    |
| Spending authority from offsetting collections, mandatory:         |    |    |    |
| 1800 Collected .....   | 1  | 1  | 1  |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 1  | 1  | 1  |
| 1900 Budget authority (total) .....                                | 1  | 1  | 1  |
| 1930 Total budgetary resources available .....                     | 65 | 65 | 65 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....              | 64 | 64 | 64 |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1  | 1  | 1  |
| 3010 Obligations incurred, unexpired accounts .....   | 1  | 1  | 1  |
| 3020 Outlays (gross) .....                            | -1 | -1 | -1 |
| 3050 Unpaid obligations, end of year .....            | 1  | 1  | 1  |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           | 1  | 1  | 1  |
| 3200 Obligated balance, end of year .....             | 1  | 1  | 1  |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 1 | 1 | 1 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... |   | 1 | 1 |
| 4101 Outlays from mandatory balances .....      | 1 |   |   |

**POST-VIETNAM ERA VETERANS EDUCATION ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 36–8133–0–7–702                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4110 Outlays, gross (total) .....                   | 1           | 1       | 1         |
| Offsets against gross budget authority and outlays: |             |         |           |
| Offsetting collections (collected) from:            |             |         |           |
| 4120 Federal sources .....                          | –1          | –1      | –1        |

This account consists of voluntary contributions by eligible servicepersons and matching contributions provided by the Department of Defense. The fund provides educational assistance payments to participants who entered the service after December 31, 1976, and are pursuing training under chapter 32, title 38, U.S.C. Section 901 is a non-contributory program with educational assistance provided by the Department of Defense. Public Law 99–576, enacted October 28, 1986, closed the program permanently for new enrollments effective March 31, 1987. Public Law 106–419, enacted November 1, 2000, provides qualified participants in this program another opportunity (through October 31, 2001) to convert to the All-Volunteer Force Educational Assistance program (Montgomery GI Bill). The estimated activity in the fund follows:

**CONTRIBUTIONS, PARTICIPANTS, DISENROLLMENTS, REFUNDS AND TRAINEES**

|  | [dollars in millions] |             |           |           |
|--|-----------------------|-------------|-----------|-----------|
|  |                       | 2012 actual | 2013 est. | 2014 est. |
| Total budget authority .....                               |                       | \$1         | \$1       | \$1       |
| Servicepersons contributions .....                         |                       | \$0         | \$0       | \$0       |
| Transferred from Department of Defense (bonus) .....       |                       | \$0         | \$0       | \$0       |
| Transferred from Department of Defense (matching) .....    |                       | \$0         | \$0       | \$0       |
| Transferred from Department of Defense (section 901) ..... |                       | \$0         | \$0       | \$0       |
| Total participants (end of year) .....                     |                       | 192,778     | 192,318   | 191,912   |
| Number of disenrollments .....                             |                       | 446         | 396       | 346       |
| Total refunds .....  |                       | \$1         | \$1       | \$1       |
| Total trainees .....                                       |                       | 72          | 68        | 65        |
| Total trainee cost .....                                   |                       | \$1         | \$1       | \$1       |
| Total trainee cost .....                                   |                       | \$1,092     | \$1,092   | \$1,092   |
| Section 901 trainees .....                                 |                       | 24          | 23        | 22        |

**NATIONAL SERVICE LIFE INSURANCE FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 36–8132–0–7–701              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                | 6,367       | 5,825   | 5,214     |
| Receipts:  |             |         |           |
| 0220 NSLI Fund, Premium and Other Receipts ..... | 71          | 65      | 55        |
| 0240 NSLI Fund, Interest .....                   | 366         | 305     | 259       |
| 0299 Total receipts and collections .....        | 437         | 370     | 314       |
| 0400 Total: Balances and collections .....       | 6,804       | 6,195   | 5,528     |
| Appropriations:                                  |             |         |           |
| 0500 National Service Life Insurance Fund .....  | –436        | –370    | –315      |
| 0501 National Service Life Insurance Fund .....  | –543        | –611    | –613      |
| 0599 Total appropriations .....                  | –979        | –981    | –928      |
| 0799 Balance, end of year .....                  | 5,825       | 5,214   | 4,600     |

**Program and Financing (in millions of dollars)**

| Identification code 36–8132–0–7–701                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| 0001 Death claims .....                                   | 696         | 714     | 680       |
| 0002 Disability claims .....                              | 5           | 4       | 4         |
| 0003 Matured endowments .....                             | 37          | 48      | 59        |
| 0004 Cash surrenders .....                                | 27          | 28      | 28        |
| 0005 Dividends .....                                      | 125         | 100     | 76        |
| 0006 Interest paid on dividend credits and deposits ..... | 42          | 37      | 35        |
| 0007 Payment to general operating expenses .....          | 18          | 23      | 21        |
| 0091 Total operating expenses .....                       | 950         | 954     | 903       |
| 0201 Capital investment: Policy loans .....               | 29          | 27      | 25        |

|   |       |       |       |
|---|-------|-------|-------|
| 0799 Total direct obligations .....                       | 979   | 981   | 928   |
| 0801 Death claims .....                                   | 130   | 108   | 93    |
| 0802 Disability claims .....                              | 1     | 1     | 1     |
| 0803 Matured endowments .....                             | 7     | 7     | 8     |
| 0804 Cash surrenders .....                                | 5     | 4     | 4     |
| 0805 Dividends .....                                      | 23    | 15    | 10    |
| 0806 Interest paid on dividend credits and deposits ..... | 8     | 6     | 4     |
| 0807 Payment to general operating expenses .....          | 3     | 3     | 3     |
| 0899 Total reimbursable obligations .....                 | 177   | 144   | 123   |
| 0900 Total new obligations .....                          | 1,156 | 1,125 | 1,051 |

**Budgetary Resources:**

|  |       |       |       |
|--|-------|-------|-------|
| Unobligated balance:   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....              |       | 1     | 1     |
| Budget authority:  |       |       |       |
| Appropriations, mandatory:   |       |       |       |
| 1201 Appropriation (special or trust fund) .....                   | 436   | 370   | 315   |
| 1203 Appropriation (previously unavailable) .....                  | 543   | 611   | 613   |
| 1260 Appropriations, mandatory (total) .....                       | 979   | 981   | 928   |
| Spending authority from offsetting collections, mandatory:         |       |       |       |
| 1800 Collected .....   | 178   | 144   | 123   |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 178   | 144   | 123   |
| 1900 Budget authority (total) .....                                | 1,157 | 1,125 | 1,051 |
| 1930 Total budgetary resources available .....                     | 1,157 | 1,126 | 1,052 |
| Memorandum (non-add) entries:                                      |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....              | 1     | 1     | 1     |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:                                   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1,182  | 1,089  | 1,003  |
| 3010 Obligations incurred, unexpired accounts .....   | 1,156  | 1,125  | 1,051  |
| 3020 Outlays (gross) .....                            | –1,249 | –1,211 | –1,143 |
| 3050 Unpaid obligations, end of year .....            | 1,089  | 1,003  | 911    |
| Memorandum (non-add) entries:                         |        |        |        |
| 3100 Obligated balance, start of year .....           | 1,182  | 1,089  | 1,003  |
| 3200 Obligated balance, end of year .....             | 1,089  | 1,003  | 911    |

**Budget authority and outlays, net:**

|   |       |       |       |
|---|-------|-------|-------|
| Mandatory:  |       |       |       |
| 4090 Budget authority, gross .....                  | 1,157 | 1,125 | 1,051 |
| Outlays, gross:                                     |       |       |       |
| 4100 Outlays from new mandatory authority .....     |       | 514   | 438   |
| 4101 Outlays from mandatory balances .....          | 1,249 | 697   | 705   |
| 4110 Outlays, gross (total) .....                   | 1,249 | 1,211 | 1,143 |
| Offsets against gross budget authority and outlays: |       |       |       |
| Offsetting collections (collected) from:            |       |       |       |
| 4123 Non-Federal sources .....                      | –178  | –144  | –123  |
| 4180 Budget authority, net (total) .....            | 979   | 981   | 928   |
| 4190 Outlays, net (total) .....                     | 1,071 | 1,067 | 1,020 |

**Memorandum (non-add) entries:**

|  |       |       |       |
|--|-------|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 7,541 | 6,912 | 6,215 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 6,912 | 6,215 | 5,509 |

*Note.*—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1940. It is for the World War II servicemen's and veterans' insurance program. Over 22 million policies were issued under this program. Activity of the fund reflects a declining claim workload. The trend in the number and amount of policies in force is shown as follows:

**POLICIES AND INSURANCE IN FORCE**

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Number of policies .....                       | 586,450     | 511,660   | 440,940   |
| Insurance in force (dollars in millions) ..... | \$7,174     | \$6,299   | \$5,453   |

This fund is operated on a commercial basis to the extent possible. The income of the fund is derived from premium receipts, interest on investments, and payments which are made to the fund from the Veterans Insurance and Indemnities appropriation.

Assets of the fund, which are largely invested in special interest-bearing Treasury securities and in policy loans, are expected to decrease from an estimated \$6,507 million as of September 30, 2013 to \$5,761 million as of September 30, 2014. The actuarial

estimate of policy obligations as of September 30, 2014, totals \$5,497 million, leaving a balance of \$264 million for contingency reserves.

**Status of Funds** (in millions of dollars)

| Identification code 36-8132-0-7-701              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:               |             |         |           |
| 0100 Balance, start of year .....                | 7,549       | 6,915   | 6,218     |
| 0199 Total balance, start of year .....          | 7,549       | 6,915   | 6,218     |
| Cash income during the year:                     |             |         |           |
| Current law:                                     |             |         |           |
| Offsetting receipts (proprietary):               |             |         |           |
| 1220 NSLI Fund, Premium and Other Receipts ..... | 71          | 65      | 55        |
| Offsetting receipts (intragovernmental):         |             |         |           |
| 1240 NSLI Fund, Interest .....                   | 366         | 305     | 259       |
| Offsetting collections:                          |             |         |           |
| 1280 National Service Life Insurance Fund .....  | 178         | 144     | 123       |
| 1299 Income under present law .....              | 615         | 514     | 437       |
| 3299 Total cash income .....                     | 615         | 514     | 437       |
| Cash outgo during year:                          |             |         |           |
| Current law:                                     |             |         |           |
| 4500 National Service Life Insurance Fund .....  | -1,249      | -1,211  | -1,143    |
| 4599 Outgo under current law (-) .....           | -1,249      | -1,211  | -1,143    |
| 6599 Total cash outgo (-) .....                  | -1,249      | -1,211  | -1,143    |
| Unexpended balance, end of year:                 |             |         |           |
| 8700 Uninvested balance (net), end of year ..... | 3           | 3       | 3         |
| 8701 National Service Life Insurance Fund .....  | 6,912       | 6,215   | 5,509     |
| 8799 Total balance, end of year .....            | 6,915       | 6,218   | 5,512     |

**Object Classification** (in millions of dollars)

| Identification code 36-8132-0-7-701         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                         |             |         |           |
| 33.0 Investments and loans .....            | 29          | 27      | 25        |
| 42.0 Insurance claims and indemnities ..... | 766         | 794     | 771       |
| 43.0 Interest and dividends .....           | 184         | 160     | 132       |
| 99.0 Direct obligations .....               | 979         | 981     | 928       |
| 99.0 Reimbursable obligations .....         | 177         | 144     | 123       |
| 99.9 Total new obligations .....            | 1,156       | 1,125   | 1,051     |

**UNITED STATES GOVERNMENT LIFE INSURANCE FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 36-8150-0-7-701   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 10          | 7       | 5         |
| Receipts:   |             |         |           |
| 0240 Interest and Profits on Investments in Public Debt Securities, USGLI ..... | 1           |         |           |
| 0400 Total: Balances and collections .....                                      | 11          | 7       | 5         |
| Appropriations:   |             |         |           |
| 0500 United States Government Life Insurance Fund .....                         | -1          |         |           |
| 0501 United States Government Life Insurance Fund .....                         | -3          | -2      | -2        |
| 0599 Total appropriations .....   | -4          | -2      | -2        |
| 0799 Balance, end of year .....   | 7           | 5       | 3         |

**Program and Financing** (in millions of dollars)

| Identification code 36-8150-0-7-701                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Death claims .....                               | 2           | 2       | 1         |
| 0007 Other costs .....                                | 1           | 1       | 1         |
| 0900 Total new obligations .....                      | 3           | 3       | 2         |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       |           |

## Budget authority:

|   |   |   |   |
|---|---|---|---|
| Appropriations, mandatory:                            |   |   |   |
| 1201 Appropriation (special or trust fund) .....      | 1 |   |   |
| 1203 Appropriation (previously unavailable) .....     | 3 | 2 | 2 |
| 1260 Appropriations, mandatory (total) .....          | 4 | 2 | 2 |
| 1930 Total budgetary resources available .....        | 4 | 3 | 2 |
| Memorandum (non-add) entries:                         |   |   |   |
| 1941 Unexpired unobligated balance, end of year ..... | 1 |   |   |

**Change in obligated balance:**

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 7  | 5  | 4  |
| 3010 Obligations incurred, unexpired accounts .....   | 3  | 3  | 2  |
| 3020 Outlays (gross) .....                            | -5 | -4 | -2 |
| 3050 Unpaid obligations, end of year .....            | 5  | 4  | 4  |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           | 7  | 5  | 4  |
| 3200 Obligated balance, end of year .....             | 5  | 4  | 4  |

**Budget authority and outlays, net:**

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 4 | 2 | 2 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... | 1 |   |   |
| 4101 Outlays from mandatory balances .....      | 4 | 4 | 2 |
| 4110 Outlays, gross (total) .....               | 5 | 4 | 2 |
| 4180 Budget authority, net (total) .....        | 4 | 2 | 2 |
| 4190 Outlays, net (total) .....                 | 5 | 4 | 2 |

**Memorandum (non-add) entries:**

|  |    |    |   |
|--|----|----|---|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 17 | 13 | 9 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 13 | 9  | 6 |

**Note.**—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund was established in 1919 to receive premiums and pay claims on insurance issued under the provisions of the War Risk Insurance Act. The general decline in the activity of the fund is indicated in the following table:

**POLICIES AND INSURANCE IN FORCE**

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Number of policies .....                       | 2,165       | 1,470     | 950       |
| Insurance in force (dollars in millions) ..... | \$6         | \$4       | \$2       |

The fund is operated on a commercial basis to the extent possible. The income of the fund is derived from interest on investments. Effective January 1, 1983, premiums were discontinued because reserves held in the fund were adequate to meet future liabilities of the program.

Assets of the fund, which are largely invested in interest-bearing securities and policy loans, are estimated to decrease from \$9.8 million as of September 30, 2013, to \$6.9 million as of September 30, 2014, as an increasing number of policies mature through death or disability. The actuarial evaluation of policy obligations as of September 30, 2014, totals \$6.5 million, leaving a balance of \$0.4 million for contingency reserves.

**Status of Funds** (in millions of dollars)

| Identification code 36-8150-0-7-701   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Unexpended balance, start of year:  |             |         |           |
| 0100 Balance, start of year .....   | 17          | 13      | 9         |
| 0199 Total balance, start of year .....   | 17          | 13      | 9         |
| Cash income during the year:  |             |         |           |
| Current law:  |             |         |           |
| Offsetting receipts (intragovernmental):  |             |         |           |
| 1240 Interest and Profits on Investments in Public Debt Securities, USGLI ..... | 1           |         |           |
| 1299 Income under present law .....   | 1           |         |           |
| 3299 Total cash income .....  | 1           |         |           |
| Cash outgo during year:   |             |         |           |
| Current law:  |             |         |           |
| 4500 United States Government Life Insurance Fund .....                         | -5          | -4      | -2        |

UNITED STATES GOVERNMENT LIFE INSURANCE FUND—Continued  
Status of Funds—Continued

| Identification code 36–8150–0–7–701                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4599 Outgo under current law (-) .....                  | -5          | -4      | -2        |
| 6599 Total cash outgo (-) .....                         | -5          | -4      | -2        |
| Unexpended balance, end of year:                        |             |         |           |
| 8700 Uninvested balance (net), end of year .....        |             |         | 1         |
| 8701 United States Government Life Insurance Fund ..... | 13          | 9       | 6         |
| 8799 Total balance, end of year .....                   | 13          | 9       | 7         |

## Object Classification (in millions of dollars)

| Identification code 36–8150–0–7–701         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                         |             |         |           |
| 42.0 Insurance claims and indemnities ..... | 2           | 2       | 1         |
| 43.0 Interest and dividends .....           | 1           | 1       | 1         |
| 99.9 Total new obligations .....            | 3           | 3       | 2         |

## VETERANS SPECIAL LIFE INSURANCE FUND

## Program and Financing (in millions of dollars)

| Identification code 36–8455–0–8–701                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Death claims .....  | 103         | 114     | 120       |
| 0802 Cash surrenders .....   | 5           | 6       | 5         |
| 0803 Dividends .....   | 54          | 49      | 43        |
| 0804 All other .....   | 26          | 25      | 24        |
| 0805 Payments to insurance account .....                           | 6           | 8       | 8         |
| 0806 Capital investment .....                                      | 10          | 9       | 9         |
| 0900 Total new obligations .....                                   | 204         | 211     | 209       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,549       | 1,518   | 1,467     |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 174         | 160     | 149       |
| 1801 Change in uncollected payments, Federal sources .....         | -1          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 173         | 160     | 149       |
| 1930 Total budgetary resources available .....                     | 1,722       | 1,678   | 1,616     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1,518       | 1,467   | 1,407     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 461         | 464     | 460       |
| 3010 Obligations incurred, unexpired accounts .....                | 204         | 211     | 209       |
| 3020 Outlays (gross) .....   | -201        | -215    | -217      |
| 3050 Unpaid obligations, end of year .....                         | 464         | 460     | 452       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -29         | -28     | -28       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -28         | -28     | -28       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 432         | 436     | 432       |
| 3200 Obligated balance, end of year .....                          | 436         | 432     | 424       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 173         | 160     | 149       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 173         | 160     | 149       |
| 4101 Outlays from mandatory balances .....                         | 28          | 55      | 68        |
| 4110 Outlays, gross (total) .....                                  | 201         | 215     | 217       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4121 Baseline Program [Fund Earnings] .....                        | -115        | -105    | -98       |
| 4123 Baseline Program [Repayment of loans] .....                   | -15         | -14     | -13       |
| 4123 Baseline Program [Fund Premiums] .....                        | -40         | -38     | -35       |
| 4123 Baseline Program [Interest on Loans] .....                    | -4          | -3      | -3        |

|  |      |      |      |
|--|------|------|------|
| 4130 Offsets against gross budget authority and outlays (total) .... | -174 | -160 | -149 |
| Additional offsets against gross budget authority only:              |      |      |      |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | 1    |      |      |
| 4170 Outlays, net (mandatory) .....                                  | 27   | 55   | 68   |
| 4190 Outlays, net (total) .....                                      | 27   | 55   | 68   |

## Memorandum (non-add) entries:

|  |       |       |       |
|--|-------|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1,981 | 1,953 | 1,898 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 1,953 | 1,898 | 1,831 |

**Note.**—Department of Veterans Affairs insurance policy loans are not an extension of Federal credit. Credit schedules previously shown for this account have been discontinued.

This fund finances the payment of claims on life insurance policies issued before January 3, 1957, to veterans who served in the Armed Forces subsequent to April 1, 1951. No new policies can be issued. Policyholders may elect to purchase total disability income coverage with the payment of additional premiums.

**Benefit program:**

**Death claims.**—Represents payments to designated beneficiaries.

**Cash surrenders.**—A policyholder may terminate his or her insurance by cashing in the policy for its cash value.

**Dividends.**—Policyholders participate in the distribution of annual dividends.

**All other.**—Classified in this category are payments to policyholders who: (a) hold endowment policies which have matured; (b) have purchased total disability income coverage and subsequently become disabled; and (c) are paid interest on dividend credits and deposits.

The following table reflects the decrease in the number of policies and the amounts of insurance in force:

## POLICIES AND INSURANCE IN FORCE

|  | 2012 actual | 2013 est. | 2013 est. |
|--|-------------|-----------|-----------|
| Number of policies .....                       | 149,947     | 141,018   | 131,839   |
| Insurance in force (dollars in millions) ..... | \$2,055     | \$1,958   | \$1,853   |

**Financing.**—Payments from this fund are financed primarily from premium receipts and interest on investments.

## Object Classification (in millions of dollars)

| Identification code 36–8455–0–8–701         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                   |             |         |           |
| 33.0 Investments and loans .....            | 10          | 9       | 9         |
| 42.0 Insurance claims and indemnities ..... | 117         | 131     | 136       |
| 43.0 Interest and dividends .....           | 77          | 71      | 64        |
| 99.9 Total new obligations .....            | 204         | 211     | 209       |

## DEPARTMENTAL ADMINISTRATION

## Federal Funds

## CONSTRUCTION, MAJOR PROJECTS

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, or for any of the purposes set forth in sections 316, 2404, 2406, and chapter 81 of title 38, United States Code, not otherwise provided for, including planning, architectural and engineering services, construction management services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, where the estimated cost of a project is more than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, or where funds for a project were made available in a previous major project appropriation, \$342,130,000, to remain available until expended: Provided, That except for advance planning activities, including needs assessments which may or may not lead to capital investments, and other capital asset manage-

ment related activities, including portfolio development and management activities, and investment strategy studies funded through the advance planning fund and the planning and design activities funded through the design fund, including needs assessments which may or may not lead to capital investments, and salaries and associated costs of the resident engineers who oversee those capital investments funded through this account, and funds provided for the purchase of land for the National Cemetery Administration through the land acquisition line item, none of the funds made available under this heading shall be used for any project which has not been approved by the Congress in the budgetary process: Provided further, That funds made available under this heading for fiscal year 2014, for each approved project shall be obligated: (1) by the awarding of a construction documents contract by September 30, 2014; and (2) by the awarding of a construction contract by September 30, 2015: Provided further, That the Secretary of Veterans Affairs shall promptly submit to the Committees on Appropriations of both Houses of Congress a written report on any approved major construction project for which obligations are not incurred within the time limitations established above.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

| Identification code 36–0110–0–1–703       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Medical programs .....                           | 1,055       | 1,149   | 665       |
| 0002                                      | National cemeteries .....                        | 42          | 57      | 149       |
| 0005                                      | Staff offices .....                              | 2           | 5       | 4         |
| 0006                                      | Veterans Benefit Administration .....            |             |         | 1         |
| 0900                                      | Total new obligations .....                      | 1,099       | 1,211   | 819       |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 ..... | 3,034       | 2,525   | 2,115     |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                              | 590         | 801     | 342       |
| 1160                                      | Appropriation, discretionary (total) .....       | 590         | 801     | 342       |
| 1900                                      | Budget authority (total) .....                   | 590         | 801     | 342       |
| 1930                                      | Total budgetary resources available .....        | 3,624       | 3,326   | 2,457     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 2,525       | 2,115   | 1,638     |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 1,218       | 1,579   | 1,863     |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 1,099       | 1,211   | 819       |
| 3020                                      | Outlays (gross) .....                            | –738        | –927    | –832      |
| 3050                                      | Unpaid obligations, end of year .....            | 1,579       | 1,863   | 1,850     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 1,218       | 1,579   | 1,863     |
| 3200                                      | Obligated balance, end of year .....             | 1,579       | 1,863   | 1,850     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                    | 590         | 801     | 342       |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....   | 21          | 5       | 2         |
| 4011                                      | Outlays from discretionary balances .....        | 717         | 922     | 830       |
| 4020                                      | Outlays, gross (total) .....                     | 738         | 927     | 832       |
| 4180                                      | Budget authority, net (total) .....              | 590         | 801     | 342       |
| 4190                                      | Outlays, net (total) .....                       | 738         | 927     | 832       |

The Construction, Major Projects appropriation funds construction projects costing more than \$10 million. Funding is included for the completion of a mental health building at Seattle, WA. The request also includes funding for 3 new national cemeteries in the areas of Central East Florida; Tallahassee, FL; and Omaha, NE. Additional funds are provided to fund salaries and associated costs of resident engineers who oversee the Department's capital

investments and to support advance planning and design activities.

#### Object Classification (in millions of dollars)

| Identification code 36–0110–0–1–703 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.2                                | Other services from non-Federal sources .....       | 2           | 6       | 6         |
| 25.3                                | Other goods and services from Federal sources ..... | 15          | 24      | 24        |
| 26.0                                | Supplies and materials .....                        | 1           | 3       | 3         |
| 31.0                                | Equipment .....                                     | 4           | 6       | 6         |
| 32.0                                | Land and structures .....                           | 1,077       | 1,172   | 780       |
| 99.9                                | Total new obligations .....                         | 1,099       | 1,211   | 819       |

#### CONSTRUCTION, MINOR PROJECTS

For constructing, altering, extending, and improving any of the facilities, including parking projects, under the jurisdiction or for the use of the Department of Veterans Affairs, including planning and assessments of needs which may lead to capital investments, architectural and engineering services, maintenance or guarantee period services costs associated with equipment guarantees provided under the project, services of claims analysts, offsite utility and storm drainage system construction costs, and site acquisition, or for any of the purposes set forth in sections 316, 2404, 2406, and chapter 81 of title 38, United States Code, not otherwise provided for, where the estimated cost of a project is equal to or less than the amount set forth in section 8104(a)(3)(A) of title 38, United States Code, \$714,870,000, to remain available until expended, along with unobligated balances of previous "Construction, minor projects" appropriations which are hereby made available for any project where the estimated cost is equal to or less than the amount set forth in such section: Provided, That funds made available under this heading shall be for: (1) repairs to any of the nonmedical facilities under the jurisdiction or for the use of the Department which are necessary because of loss or damage caused by any natural disaster or catastrophe; and (2) temporary measures necessary to prevent or to minimize further loss by such causes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 36–0111–0–1–703     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Medical programs .....                           | 435         | 506     | 506       |
| 0002                                    | National cemeteries .....                        | 48          | 71      | 97        |
| 0003                                    | Regional offices .....                           | 12          | 35      | 52        |
| 0004                                    | Staff offices .....                              | 13          | 28      | 38        |
| 0900                                    | Total new obligations .....                      | 508         | 640     | 693       |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 277         | 251     | 96        |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1100                                    | Appropriation .....                              | 482         | 485     | 715       |
| 1160                                    | Appropriation, discretionary (total) .....       | 482         | 485     | 715       |
| 1900                                    | Budget authority (total) .....                   | 482         | 485     | 715       |
| 1930                                    | Total budgetary resources available .....        | 759         | 736     | 811       |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year ..... | 251         | 96      | 118       |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 ..... | 924         | 882     | 1,106     |
| 3010                                    | Obligations incurred, unexpired accounts .....   | 508         | 640     | 693       |
| 3020                                    | Outlays (gross) .....                            | –550        | –416    | –428      |
| 3050                                    | Unpaid obligations, end of year .....            | 882         | 1,106   | 1,371     |
| Memorandum (non-add) entries:           |  |             |         |           |
| 3100                                    | Obligated balance, start of year .....           | 924         | 882     | 1,106     |

CONSTRUCTION, MINOR PROJECTS—Continued  
Program and Financing—Continued

| Identification code 36–0111–0–1–703       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3200                                      | Obligated balance, end of year .....           | 882         | 1,106   | 1,371     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                  | 482         | 485     | 715       |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority ..... | 23          | 88      | 129       |
| 4011                                      | Outlays from discretionary balances .....      | 527         | 328     | 299       |
| 4020                                      | Outlays, gross (total) .....                   | 550         | 416     | 428       |
| 4180                                      | Budget authority, net (total) .....            | 482         | 485     | 715       |
| 4190                                      | Outlays, net (total) .....                     | 550         | 416     | 428       |

The Construction, Minor Projects appropriation, which funds construction projects costing equal to or less than \$10 million, is used to improve the infrastructure of medical facilities and other Department-owned facilities to reduce the risk to patient life and safety, correct code deficiencies, and improve national cemeteries and regional and staff offices.

## Object Classification (in millions of dollars)

| Identification code 36–0111–0–1–703 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 25.2                                | Other services from non-Federal sources ..... | 16          | 37      | 37        |
| 26.0                                | Supplies and materials .....                  |             | 5       | 5         |
| 31.0                                | Equipment .....                               |             | 3       | 3         |
| 32.0                                | Land and structures .....                     | 492         | 595     | 648       |
| 99.9                                | Total new obligations .....                   | 508         | 640     | 693       |

## GRANTS FOR CONSTRUCTION OF STATE EXTENDED CARE FACILITIES

For grants to assist States to acquire or construct State nursing home and domiciliary facilities and to remodel, modify, or alter existing hospital, nursing home, and domiciliary facilities in State homes, for furnishing care to veterans as authorized by sections 8131 through 8137 of title 38, United States Code, \$82,650,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 36–0181–0–1–703     |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Grants for construction of state extended care facilities ..... | 67          | 188     | 103       |
| 0900                                    | Total new obligations (object class 41.0) .....                 | 67          | 188     | 103       |
| <b>Budgetary Resources:</b>             |   |             |         |           |
| Unobligated balance:                    |   |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....                | 114         | 132     | 30        |
| Budget authority:                       |   |             |         |           |
| Appropriations, discretionary:          |   |             |         |           |
| 1100                                    | Appropriation .....   | 85          | 86      | 83        |
| 1160                                    | Appropriation, discretionary (total) .....                      | 85          | 86      | 83        |
| 1930                                    | Total budgetary resources available .....                       | 199         | 218     | 113       |
| Memorandum (non-add) entries:           |   |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year .....                | 132         | 30      | 10        |
| <b>Change in obligated balance:</b>     |   |             |         |           |
| Unpaid obligations:                     |   |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....                | 313         | 179     | 262       |
| 3010                                    | Obligations incurred, unexpired accounts .....                  | 67          | 188     | 103       |
| 3020                                    | Outlays (gross) .....   | –201        | –105    | –93       |
| 3050                                    | Unpaid obligations, end of year .....                           | 179         | 262     | 272       |
| Memorandum (non-add) entries:           |   |             |         |           |
| 3100                                    | Obligated balance, start of year .....                          | 313         | 179     | 262       |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| 3200                                      | Obligated balance, end of year .....           | 179 | 262 | 272 |
| <b>Budget authority and outlays, net:</b> |  |     |     |     |
| Discretionary:                            |  |     |     |     |
| 4000                                      | Budget authority, gross .....                  | 85  | 86  | 83  |
| Outlays, gross:                           |  |     |     |     |
| 4010                                      | Outlays from new discretionary authority ..... |     | 2   | 2   |
| 4011                                      | Outlays from discretionary balances .....      | 201 | 103 | 91  |
| 4020                                      | Outlays, gross (total) .....                   | 201 | 105 | 93  |
| 4180                                      | Budget authority, net (total) .....            | 85  | 86  | 83  |
| 4190                                      | Outlays, net (total) .....                     | 201 | 105 | 93  |

## GRANTS FOR CONSTRUCTION OF VETERANS CEMETERIES

For grants to assist States and tribal organizations in establishing, expanding, or improving veterans cemeteries as authorized by section 2408 of title 38, United States Code, \$44,650,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 36–0183–0–1–705       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Grants for construction of state veterans cemeteries ..... | 47          | 51      | 45        |
| 0900                                      | Total new obligations (object class 41.0) .....            | 47          | 51      | 45        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....           | 6           | 5       |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 46          | 46      | 45        |
| 1160                                      | Appropriation, discretionary (total) .....                 | 46          | 46      | 45        |
| 1930                                      | Total budgetary resources available .....                  | 52          | 51      | 45        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....           | 5           |         |           |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 68          | 87      | 105       |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 47          | 51      | 45        |
| 3020                                      | Outlays (gross) .....                                      | –28         | –33     | –32       |
| 3050                                      | Unpaid obligations, end of year .....                      | 87          | 105     | 118       |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 68          | 87      | 105       |
| 3200                                      | Obligated balance, end of year .....                       | 87          | 105     | 118       |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                              | 46          | 46      | 45        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....             |             | 25      | 24        |
| 4011                                      | Outlays from discretionary balances .....                  | 28          | 8       | 8         |
| 4020                                      | Outlays, gross (total) .....                               | 28          | 33      | 32        |
| 4180                                      | Budget authority, net (total) .....                        | 46          | 46      | 45        |
| 4190                                      | Outlays, net (total) .....                                 | 28          | 33      | 32        |

VETERANS EMPLOYMENT AND INFRASTRUCTURE ENHANCEMENT TRANSFER  
FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 36-0157-4-1-702                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0101 Obligations by program activity .....            |             |         | 75        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             |         | 1,000     |
| 1260 Appropriations, mandatory (total) .....          |             |         | 1,000     |
| 1930 Total budgetary resources available .....        |             |         | 1,000     |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 925       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 75        |
| 3020 Outlays (gross) .....                            |             |         | -50       |
| 3050 Unpaid obligations, end of year .....            |             |         | 25        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 25        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             |         | 1,000     |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             |         | 50        |
| 4180 Budget authority, net (total) .....              |             |         | 1,000     |
| 4190 Outlays, net (total) .....                       |             |         | 50        |

This legislative proposal provides funding to the Department of Veterans Affairs to coordinate an interagency process and transfer up to \$1 billion in mandatory funding over five years to establish the Veterans Job Corps. Funding will enable veterans to leverage skills developed in the military in jobs on the country's public lands and in its communities, ranging from conservation and infrastructure projects to law enforcement and first responder jobs, such as park rangers, police officers, and firefighters.

## Object Classification (in millions of dollars)

| Identification code 36-0157-4-1-702  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... |             |         | 1         |
| Allocation Account - direct:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....                     |             |         | 4         |
| 12.1 Civilian personnel benefits .....                                     |             |         | 1         |
| 25.2 Other services from non-Federal sources .....                         |             |         | 59        |
| 31.0 Equipment .....   |             |         | 10        |
| 99.0 Allocation account - direct .....                                     |             |         | 74        |
| 99.9 Total new obligations .....   |             |         | 75        |

## Employment Summary

| Identification code 36-0157-4-1-702                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             |         | 5         |

## GENERAL ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

For necessary operating expenses of the Department of Veterans Affairs, not otherwise provided for, including administrative expenses in support of Department-Wide capital planning, management and policy activities, uniforms, or allowances therefor; not to exceed \$25,000 for official reception and representation expenses; hire of passenger motor vehicles; and reim-

bursement of the General Services Administration for security guard services, \$403,023,000, of which not to exceed \$20,151,150 shall remain available until September 30, 2015: Provided, That funds provided under this heading may be transferred to "General operating expenses, Veterans Benefits Administration".

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 36-0142-0-1-705                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0014 General administration .....                                  | 416         | 435     | 403       |
| 0806 General administration, reimbursable program .....            | 405         | 424     | 523       |
| 0900 Total new obligations .....                                   | 821         | 859     | 926       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1           | 16      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 417         | 419     | 403       |
| 1160 Appropriation, discretionary (total) .....                    | 417         | 419     | 403       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 426         | 424     | 523       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 426         | 424     | 523       |
| 1900 Budget authority (total) .....                                | 843         | 843     | 926       |
| 1930 Total budgetary resources available .....                     | 844         | 859     | 926       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -7          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 16          |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           | 112     | 137       |
| 3010 Obligations incurred, unexpired accounts .....                | 821         | 859     | 926       |
| 3020 Outlays (gross) .....   | -710        | -834    | -920      |
| 3050 Unpaid obligations, end of year .....                         | 112         | 137     | 143       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           | 112     | 137       |
| 3200 Obligated balance, end of year .....                          | 112         | 137     | 143       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 843         | 843     | 926       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 710         | 761     | 846       |
| 4011 Outlays from discretionary balances .....                     |             | 73      | 74        |
| 4020 Outlays, gross (total) .....                                  | 710         | 834     | 920       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -426        | -424    | -523      |
| 4180 Budget authority, net (total) .....                           | 417         | 419     | 403       |
| 4190 Outlays, net (total) .....                                    | 284         | 410     | 397       |

**General Administration.**— Includes departmental executive direction, departmental support offices, the General Counsel, and the Board of Veterans' Appeals. The Pershing Hall Revolving Fund was created to operate and manage Pershing Hall, an asset of the United States, located in Paris, France. All operating expenses for Pershing Hall are borne by the revolving fund and all receipts generated by the operation of Pershing Hall are deposited in the revolving fund.

## Object Classification (in millions of dollars)

| Identification code 36-0142-0-1-705     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                     |             |         |           |
| Personnel compensation:                 |             |         |           |
| 11.1 Full-time permanent .....          | 215         | 238     | 242       |
| 11.5 Other personnel compensation ..... | 5           | 7       | 7         |
| 11.9 Total personnel compensation ..... | 220         | 245     | 249       |

GENERAL ADMINISTRATION—Continued  
Object Classification—Continued

| Identification code 36-0142-0-1-705 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 12.1                                | Civilian personnel benefits .....                          | 64          | 68      | 69        |
| 13.0                                | Benefits for former personnel .....                        |             | 1       | 1         |
| 21.0                                | Travel and transportation of persons .....                 | 6           | 7       | 6         |
| 22.0                                | Transportation of things .....                             | 1           | 1       | 1         |
| 23.1                                | Rent .....   | 27          | 30      | 27        |
| 23.2                                | Rental payments to others .....                            | 2           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2           | 4       | 4         |
| 24.0                                | Printing and reproduction .....                            | 1           | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....              | 80          | 62      | 29        |
| 26.0                                | Supplies and materials .....                               | 2           | 3       | 3         |
| 31.0                                | Equipment .....  | 2           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....                 | 9           | 10      | 10        |
| 99.0                                | Direct obligations .....                                   | 416         | 435     | 403       |
| 99.0                                | Reimbursable obligations .....                             | 405         | 424     | 523       |
| 99.9                                | Total new obligations .....                                | 821         | 859     | 926       |

## Employment Summary

| Identification code 36-0142-0-1-705 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 2,108       | 2,253   | 2,267     |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 864         | 975     | 1,067     |

## GENERAL OPERATING EXPENSES, VETERANS BENEFITS ADMINISTRATION

*For necessary operating expenses of the Veterans Benefits Administration, not otherwise provided for, including hire of passenger motor vehicles, reimbursement of the General Services Administration for security guard services, and reimbursement of the Department of Defense for the cost of overseas employee mail, \$2,455,490,000: Provided, That expenses for services and assistance authorized under paragraphs (1), (2), (5), and (11) of section 3104(a) of title 38, United States Code, that the Secretary of Veterans Affairs determines are necessary to enable entitled veterans: (1) to the maximum extent feasible, to become employable and to obtain and maintain suitable employment; or (2) to achieve maximum independence in daily living, shall be charged to this account: Provided further, That of the funds made available under this heading, not to exceed \$123,000,000 shall remain available until September 30, 2015: Provided further, That from the funds made available under this heading, the Veterans Benefits Administration may purchase (on a one-for-one replacement basis only) up to two passenger motor vehicles for use in operations of that Administration in Manila, Philippines.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 36-0151-0-1-705     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0010                                    | Compensation and pensions .....                  | 1,672       | 1,776   | 1,943     |
| 0011                                    | Education .....                                  | 196         | 198     | 202       |
| 0012                                    | Vocational rehabilitation and counseling .....   | 168         | 210     | 309       |
| 0013                                    | Insurance .....                                  | 1           | 1       | 1         |
| 0014                                    | General Administration .....                     | 16          |         |           |
| 0799                                    | Total direct obligations .....                   | 2,053       | 2,185   | 2,455     |
| 0801                                    | Compensation and pensions .....                  | 217         | 261     | 242       |
| 0802                                    | Education .....                                  | 1           | 1       | 1         |
| 0804                                    | Insurance .....                                  | 30          | 34      | 34        |
| 0805                                    | Housing .....                                    | 119         | 132     | 123       |
| 0899                                    | Total reimbursable obligations .....             | 367         | 428     | 400       |
| 0900                                    | Total new obligations .....                      | 2,420       | 2,613   | 2,855     |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 12          |         |           |

|  |  |       |       |       |
|--|--|-------|-------|-------|
| 1012   | Unobligated balance transfers between expired and unexpired accounts ..... | 32    | 21    |       |
| 1050   | Unobligated balance (total) .....  | 44    | 21    |       |
| Budget authority:  |  |       |       |       |
| Appropriations, discretionary:                                 |  |       |       |       |
| 1100   | Appropriation .....  | 2,019 | 2,164 | 2,455 |
| 1160   | Appropriation, discretionary (total) .....                                 | 2,019 | 2,164 | 2,455 |
| Spending authority from offsetting collections, discretionary: |  |       |       |       |
| 1700   | Collected .....  | 368   | 428   | 400   |
| 1750   | Spending auth from offsetting collections, disc (total) .....              | 368   | 428   | 400   |
| 1900   | Budget authority (total) .....   | 2,387 | 2,592 | 2,855 |
| 1930   | Total budgetary resources available .....                                  | 2,431 | 2,613 | 2,855 |
| Memorandum (non-add) entries:                                  |  |       |       |       |
| 1940   | Unobligated balance expiring .....   | -11   |       |       |

## Change in obligated balance:

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations:           |  |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 543    | 403    | 478    |
| 3010                          | Obligations incurred, unexpired accounts .....               | 2,420  | 2,613  | 2,855  |
| 3011                          | Obligations incurred, expired accounts .....                 | -39    |        |        |
| 3020                          | Outlays (gross) .....  | -2,512 | -2,538 | -2,698 |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -9     |        |        |
| 3050                          | Unpaid obligations, end of year .....                        | 403    | 478    | 635    |
| Uncollected payments:         |  |        |        |        |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1     |        |        |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | 1      |        |        |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 542    | 403    | 478    |
| 3200                          | Obligated balance, end of year .....                         | 403    | 478    | 635    |

## Budget authority and outlays, net:

|   |  |       |       |       |
|---|--|-------|-------|-------|
| Discretionary:                                      |  |       |       |       |
| 4000  | Budget authority, gross .....                  | 2,387 | 2,592 | 2,855 |
| Outlays, gross:                                     |  |       |       |       |
| 4010  | Outlays from new discretionary authority ..... | 2,207 | 2,157 | 2,359 |
| 4011  | Outlays from discretionary balances .....      | 305   | 381   | 339   |
| 4020  | Outlays, gross (total) .....                   | 2,512 | 2,538 | 2,698 |
| Offsets against gross budget authority and outlays: |  |       |       |       |
| Offsetting collections (collected) from:            |  |       |       |       |
| 4030  | Federal sources .....                          | -368  | -428  | -400  |
| 4180  | Budget authority, net (total) .....            | 2,019 | 2,164 | 2,455 |
| 4190  | Outlays, net (total) .....                     | 2,144 | 2,110 | 2,298 |

*General Operating Expenses, Veterans Benefits Administration.*—The total cost of administering veterans insurance programs is funded through direct appropriations to this account and through reimbursements from the insurance trust fund. This appropriation provides for the Department's top management direction and administrative support, including fiscal, personnel, and legal services, as well as for the administration of veteran benefits.

*Note.*—Reflects FTE treated as reimbursements in all years and the effects of Credit Reform, per P.L. 101-508.

## Object Classification (in millions of dollars)

| Identification code 36-0151-0-1-705 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 1,188       | 1,229   | 1,260     |
| 11.5                                | Other personnel compensation .....                         | 72          | 74      | 76        |
| 11.9                                | Total personnel compensation .....                         | 1,260       | 1,303   | 1,336     |
| 12.1                                | Civilian personnel benefits .....                          | 393         | 408     | 423       |
| 13.0                                | Benefits for former personnel .....                        | 2           | 2       | 2         |
| 21.0                                | Travel and transportation of persons .....                 | 29          | 29      | 32        |
| 22.0                                | Transportation of things .....                             | 2           | 2       | 2         |
| 23.1                                | Rent .....   | 117         | 120     | 112       |
| 23.2                                | Rental payments to others .....                            | 19          | 22      | 28        |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 22          | 30      | 37        |
| 24.0                                | Printing and reproduction .....                            | 2           | 4       | 3         |
| 25.2                                | Other services from non-Federal sources .....              | 187         | 236     | 453       |
| 26.0                                | Supplies and materials .....                               | 11          | 9       | 10        |
| 31.0                                | Equipment .....  | 9           | 19      | 16        |
| 42.0                                | Insurance claims and indemnities .....                     |             | 1       | 1         |
| 99.0                                | Direct obligations .....                                   | 2,053       | 2,185   | 2,455     |
| 99.0                                | Reimbursable obligations .....                             | 367         | 428     | 400       |



|      |                             |       |       |       |
|------|-----------------------------|-------|-------|-------|
| 99.9 | Total new obligations ..... | 2,420 | 2,613 | 2,855 |
|------|-----------------------------|-------|-------|-------|

**Employment Summary**

| Identification code 36-0151-0-1-705                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 18,964      | 19,336  | 19,437    |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,361       | 1,421   | 1,414     |

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General, to include information technology, in carrying out the provisions of the Inspector General Act of 1978 (5 U.S.C. App.), \$116,411,000, of which \$6,000,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 36-0170-0-1-705     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0101 Direct program .....               | 112         | 113     | 116       |
| 0192 Total direct program .....         | 112         | 113     | 116       |
| 0801 Reimbursable program .....         | 4           | 5       | 5         |
| 0900 Total new obligations .....        | 116         | 118     | 121       |

**Budgetary Resources:**

|  |     |     |     |
|--|-----|-----|-----|
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 112 | 113 | 116 |
| 1160 Appropriation, discretionary (total) .....                    | 112 | 113 | 116 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 4   | 5   | 5   |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 4   | 5   | 5   |
| 1900 Budget authority (total) .....                                | 116 | 118 | 121 |
| 1930 Total budgetary resources available .....                     | 116 | 118 | 121 |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 28   | 28   | 26   |
| 3010 Obligations incurred, unexpired accounts .....   | 116  | 118  | 121  |
| 3020 Outlays (gross) .....                            | -116 | -120 | -118 |
| 3050 Unpaid obligations, end of year .....            | 28   | 26   | 29   |
| Memorandum (non-add) entries:                         |      |      |      |
| 3100 Obligated balance, start of year .....           | 28   | 28   | 26   |
| 3200 Obligated balance, end of year .....             | 28   | 26   | 29   |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 116 | 118 | 121 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 97  | 96  | 96  |
| 4011 Outlays from discretionary balances .....      | 19  | 24  | 22  |
| 4020 Outlays, gross (total) .....                   | 116 | 120 | 118 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | -4  | -5  | -5  |
| 4180 Budget authority, net (total) .....            | 112 | 113 | 116 |
| 4190 Outlays, net (total) .....                     | 112 | 115 | 113 |

This appropriation provides for carrying out the independent oversight responsibilities of the *Inspector General Act of 1978*. This oversight includes VA-wide audit, investigation, health care inspection, and management support functions to identify and report weaknesses and deficiencies that create conditions for actual or potential fraud and other criminal activity, mismanagement, and waste in VA programs and operations. The audit function plans and conducts internal programmatic and financial

audits and evaluations of all facets of VA operations. The health care inspection function performs legislatively mandated medical care quality assurance reviews and oversight of VA health care programs. The investigative function performs criminal and administrative investigations of improper and illegal activities involving VA operations, personnel, beneficiaries, and other parties.

**Object Classification (in millions of dollars)**

| Identification code 36-0170-0-1-705                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 63          | 63      | 65        |
| 11.5 Other personnel compensation .....                         | 5           | 5       | 5         |
| 11.9 Total personnel compensation .....                         | 68          | 68      | 70        |
| 12.1 Civilian personnel benefits .....                          | 22          | 22      | 22        |
| 21.0 Employee Travel .....                                      | 5           | 5       | 5         |
| 23.1 Rental payments to GSA .....                               | 5           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 9           | 12      | 12        |
| 31.0 Equipment .....  | 2           | 1       | 2         |
| 99.0 Direct obligations .....                                   | 112         | 113     | 116       |
| 99.0 Reimbursable obligations .....                             | 4           | 5       | 5         |
| 99.9 Total new obligations .....                                | 116         | 118     | 121       |

**Employment Summary**

| Identification code 36-0170-0-1-705                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 612         | 610     | 610       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 25          | 29      | 29        |

**INFORMATION TECHNOLOGY SYSTEMS**

*For necessary expenses for information technology systems and telecommunications support, including developmental information systems and operational information systems; for pay and associated costs; and for the capital asset acquisition of information technology systems, including management and related contractual costs of said acquisitions, including contractual costs associated with operations authorized by section 3109 of title 5, United States Code, \$3,683,344,000, plus reimbursements: Provided, That \$1,026,400,000 shall be for pay and associated costs, of which not to exceed five percent of this amount shall remain available until September 30, 2015: Provided further, That \$2,161,653,000 shall be for operations and maintenance, of which not to exceed ten percent of this amount shall remain available until September 30, 2015: Provided further, That \$495,291,000 shall be for information technology systems development, modernization, and enhancement, and shall remain available until September 30, 2015: Provided further, That amounts made available for salaries and expenses, operations and maintenance, and information technology systems development, modernization, and enhancement may be transferred among the three subaccounts after the Secretary of Veterans Affairs submits notice thereof to the Committees on Appropriations of both Houses of Congress.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing (in millions of dollars)**

| Identification code 36-0167-0-1-705     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Development .....                  | 590         | 547     | 495       |
| 0002 Operations and maintenance .....   | 1,632       | 1,638   | 2,162     |
| 0003 Administrative and salaries .....  | 955         | 979     | 1,026     |
| 0799 Total direct obligations .....     | 3,177       | 3,164   | 3,683     |
| 0801 Development .....                  | 28          | 20      | 130       |
| 0802 Operations and maintenance .....   | 30          | 78      | 44        |

INFORMATION TECHNOLOGY SYSTEMS—Continued  
Program and Financing—Continued

| Identification code 36-0167-0-1-705                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| 0803   | Administrative and salaries .....                             | 14          | 12      | 13        |
| 0899   | Total reimbursable obligations .....                          | 72          | 110     | 187       |
| 0900   | Total new obligations .....                                   | 3,249       | 3,274   | 3,870     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 112         | 33      | .....     |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 3,111       | 3,131   | 3,683     |
| 1120   | Appropriations transferred to other accts [36-0169] .....     | -7          | .....   | .....     |
| 1160   | Appropriation, discretionary (total) .....                    | 3,104       | 3,131   | 3,683     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 72          | 110     | 187       |
| 1701   | Change in uncollected payments, Federal sources .....         | -5          | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 67          | 110     | 187       |
| 1900   | Budget authority (total) .....                                | 3,171       | 3,241   | 3,870     |
| 1930   | Total budgetary resources available .....                     | 3,283       | 3,274   | 3,870     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                            | -1          | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....              | 33          | .....   | .....     |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 1,791       | 1,634   | 1,906     |
| 3010   | Obligations incurred, unexpired accounts .....                | 3,249       | 3,274   | 3,870     |
| 3011   | Obligations incurred, expired accounts .....                  | -68         | .....   | .....     |
| 3020   | Outlays (gross) .....   | -3,338      | -3,002  | -3,689    |
| 3050   | Unpaid obligations, end of year .....                         | 1,634       | 1,906   | 2,087     |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -5          | .....   | .....     |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | 5           | .....   | .....     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 1,786       | 1,634   | 1,906     |
| 3200   | Obligated balance, end of year .....                          | 1,634       | 1,906   | 2,087     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 3,171       | 3,241   | 3,870     |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 1,879       | 1,920   | 2,351     |
| 4011   | Outlays from discretionary balances .....                     | 1,459       | 1,082   | 1,338     |
| 4020   | Outlays, gross (total) .....                                  | 3,338       | 3,002   | 3,689     |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | -72         | -110    | -187      |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | 5           | .....   | .....     |
| 4070   | Budget authority, net (discretionary) .....                   | 3,104       | 3,131   | 3,683     |
| 4080   | Outlays, net (discretionary) .....                            | 3,266       | 2,892   | 3,502     |
| 4180   | Budget authority, net (total) .....                           | 3,104       | 3,131   | 3,683     |
| 4190   | Outlays, net (total) .....                                    | 3,266       | 2,892   | 3,502     |

The Information Technology (IT) Systems appropriation funds IT services such as systems development and performance, operations and maintenance, information protection, and customer support. This appropriation enables the effective and efficient delivery of services to the nation's largest healthcare network as well as the veterans benefits and corporate business lines within the Department of Veterans Affairs (VA).

**Development.**—The Office of Information & Technology invests in projects designed to improve the delivery of VA services and benefits for veterans and their families. The Veterans Benefits Management System's development continues to progress and has seen successes in the early phase deliverables to date. This account also supports improvements to VA's financial and management systems as well as inter-agency efforts such as the development of the Virtual Lifetime Electronic Record and the In-

tegrated Electronic Health Record with the Department of Defense.

**Operations and Maintenance.**—The Office of Information & Technology purchases, maintains, manages, and supports all the computer, phone, telecommunication, and data systems equipment for all VA facilities.

## Object Classification (in millions of dollars)

| Identification code 36-0167-0-1-705 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....          | 621         | 640     | 662       |
| 12.1                                | Civilian personnel benefits .....                          | 180         | 187     | 194       |
| 13.0                                | Benefits for former personnel .....                        | 1           | 1       | 1         |
| 21.0                                | Travel and transportation of persons .....                 | 13          | 12      | 15        |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 577         | 403     | 403       |
| 25.2                                | Other services from non-Federal sources .....              | 1,285       | 1,581   | 2,068     |
| 25.3                                | Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 26.0                                | Supplies and materials .....                               | 7           | 10      | 10        |
| 31.0                                | Equipment .....  | 486         | 327     | 327       |
| 32.0                                | Land and structures .....                                  | 6           | 2       | 2         |
| 99.0                                | Direct obligations .....                                   | 3,177       | 3,164   | 3,683     |
| 99.0                                | Reimbursable obligations .....                             | 72          | 110     | 187       |
| 99.9                                | Total new obligations .....                                | 3,249       | 3,274   | 3,870     |

## Employment Summary

| Identification code 36-0167-0-1-705 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 7,210       | 7,435   | 7,355     |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 101         | 101     | 104       |

## NATIONAL CEMETERY ADMINISTRATION

*For necessary expenses of the National Cemetery Administration for operations and maintenance, not otherwise provided for, including uniforms or allowances therefor; cemeterial expenses as authorized by law; purchase of one passenger motor vehicle for use in cemeterial operations; hire of passenger motor vehicles; and repair, alteration or improvement of facilities under the jurisdiction of the National Cemetery Administration, \$250,000,000, of which not to exceed \$25,000,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 36-0129-0-1-705     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0201                                    | Administrative expenses .....  | 253         | 256     | 250       |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....                           | 1           | 1       | .....     |
| 1012                                    | Unobligated balance transfers between expired and unexpired accounts ..... | 6           | .....   | .....     |
| 1050                                    | Unobligated balance (total) .....  | 7           | 1       | .....     |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1100                                    | Appropriation .....  | 251         | 255     | 250       |
| 1160                                    | Appropriation, discretionary (total) .....                                 | 251         | 255     | 250       |
| 1930                                    | Total budgetary resources available .....                                  | 258         | 256     | 250       |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1940                                    | Unobligated balance expiring .....   | -4          | .....   | .....     |
| 1941                                    | Unexpired unobligated balance, end of year .....                           | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 .....                           | 111         | 108     | 116       |
| 3010                                    | Obligations incurred, unexpired accounts .....                             | 253         | 256     | 250       |

|   |  |      |      |      |
|---|--|------|------|------|
| 3011                                      | Obligations incurred, expired accounts .....               | 2    |      |      |
| 3020                                      | Outlays (gross) .....                                      | -255 | -248 | -248 |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | -3   |      |      |
| 3050                                      | Unpaid obligations, end of year .....                      | 108  | 116  | 118  |
|   | Memorandum (non-add) entries:                              |      |      |      |
| 3100                                      | Obligated balance, start of year .....                     | 111  | 108  | 116  |
| 3200                                      | Obligated balance, end of year .....                       | 108  | 116  | 118  |
| <b>Budget authority and outlays, net:</b> |  |      |      |      |
| Discretionary:                            |  |      |      |      |
| 4000                                      | Budget authority, gross .....                              | 251  | 255  | 250  |
|   | Outlays, gross:  |      |      |      |
| 4010                                      | Outlays from new discretionary authority .....             | 178  | 199  | 193  |
| 4011                                      | Outlays from discretionary balances .....                  | 77   | 49   | 55   |
| 4020                                      | Outlays, gross (total) .....                               | 255  | 248  | 248  |
| 4180                                      | Budget authority, net (total) .....                        | 251  | 255  | 250  |
| 4190                                      | Outlays, net (total) .....                                 | 255  | 248  | 248  |

The mission of the National Cemetery Administration is to honor veterans with final resting places in national shrines and with lasting tributes that commemorate their service to our Nation. The National Cemetery Administration's vision is to serve all veterans and their families with the utmost dignity, respect, and compassion. Every national cemetery will be a place that inspires visitors to understand and appreciate the service and sacrifice of our Nation's veterans. There are six related programs managed by the National Cemetery Administration including: (1) burying eligible veterans and their family members in national cemeteries and maintaining the graves and their environs as national shrines; (2) administering grants to States and Tribal organizations in establishing, expanding, improving, or operating veterans cemeteries; (3) providing headstones and markers for the graves of eligible veterans; (4) providing presidential memorial certificates to family and friends of deceased veterans, recognizing the veterans' contribution and service to the Nation; (5) providing graveliners or partial reimbursement for a privately purchased outer burial receptacle for each new grave in open national cemeteries administered by the National Cemetery Administration; and (6) recording First Notice of Veteran Deaths into VA electronic files to ensure timely termination of benefits and next-of-kin notification of possible entitlement to survivor benefits.

The National Cemetery Administration also reflects budget information for the National Cemetery Gift Fund and the National Cemetery Administration Facilities Operation Fund. Through the Gift Fund, the Secretary is authorized to accept gifts and bequests which are made for the purpose of beautifying national cemeteries or are determined to be beneficial to such cemeteries, or are made for the purpose of the operation, maintenance, or improvement of the National Memorial Cemetery of Arizona. Through the Facilities Operation Fund, the Secretary is authorized to lease any undeveloped land and unused or underutilized buildings of the National Cemetery Administration, or parts or parcels thereof, for a term not to exceed 10 years. Proceeds from such leases are deposited in the Facilities Operation Fund, and are available to cover costs incurred by the National Cemetery Administration in the operation and maintenance of property of the Administration.

*Note.*—Total obligations reported include the net of recoveries of prior year paid and unpaid obligations while VA is in the process of modifying its financial system to record recoveries consistent with OMB Circular No. A-11.

**Object Classification** (in millions of dollars)

| Identification code 36-0129-0-1-705 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Direct obligations:                 |             |         |           |
| Personnel compensation:             |             |         |           |
| 11.1 Full-time permanent .....      | 93          | 98      | 101       |

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 11.3 | Other than full-time permanent .....                       | 4   | 4   | 4   |
| 11.9 | Total personnel compensation .....                         | 97  | 102 | 105 |
| 12.1 | Civilian personnel benefits .....                          | 32  | 34  | 35  |
| 21.0 | Travel and transportation of persons .....                 | 3   | 3   | 3   |
| 22.0 | Transportation of things .....                             | 2   | 2   | 2   |
| 23.1 | Rent .....   | 3   | 2   | 2   |
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 7   | 7   | 7   |
| 24.0 | Printing and reproduction .....                            | 1   | 2   | 2   |
| 25.2 | Other services from non-Federal sources .....              | 89  | 85  | 74  |
| 26.0 | Supplies and materials .....                               | 9   | 10  | 10  |
| 31.0 | Equipment .....  | 8   | 6   | 7   |
| 32.0 | Land and structures .....                                  | 2   | 3   | 3   |
| 99.9 | Total new obligations .....                                | 253 | 256 | 250 |

**Employment Summary**

| Identification code 36-0129-0-1-705                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,652       | 1,700   | 1,719     |

**SUPPLY FUND****Program and Financing** (in millions of dollars)

| Identification code 36-4537-0-4-705   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Reimbursable program-COGS-Merchandizing .....                              | 883         | 879     | 883       |
| 0802 Reimbursable program-Other-Operations .....                                | 234         | 387     | 407       |
| 0803 Reimbursable program-COGS-Printing and publications .....                  | 10          | 30      | 50        |
| 0804 Reimbursable program-Other .....   |             | 200     | 210       |
| 0805 Reimbursable program-Equipment-Procurement services and distribution ..... |             | 494     | 550       |
| 0900 Total new obligations .....  | 1,127       | 1,990   | 2,100     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 653         | 822     | 822       |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 1,077       | 1,990   | 2,100     |
| 1801 Change in uncollected payments, Federal sources .....                      | 219         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 1,296       | 1,990   | 2,100     |
| 1930 Total budgetary resources available .....                                  | 1,949       | 2,812   | 2,922     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 822         | 822     | 822       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 931         | 916     | 1,706     |
| 3010 Obligations incurred, unexpired accounts .....                             | 1,127       | 1,990   | 2,100     |
| 3020 Outlays (gross) .....  | -1,142      | -1,200  | -2,100    |
| 3050 Unpaid obligations, end of year .....                                      | 916         | 1,706   | 1,706     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -1,210      | -1,429  | -1,429    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | -219        |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -1,429      | -1,429  | -1,429    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | -279        | -513    | 277       |
| 3200 Obligated balance, end of year .....                                       | -513        | 277     | 277       |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 1,296       | 1,990   | 2,100     |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                                      | 1,142       | 1,200   | 2,100     |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources .....  | -925        | -1,990  | -2,100    |
| 4123 Non-Federal sources .....  | -152        |         |           |
| 4130 Offsets against gross budget authority and outlays (total) .....           | -1,077      | -1,990  | -2,100    |
| Additional offsets against gross budget authority only:                         |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....                  | -219        |         |           |
| 4170 Outlays, net (mandatory) .....   | 65          | -790    |           |
| 4190 Outlays, net (total) .....   | 65          | -790    |           |

## SUPPLY FUND—Continued

Under the provisions of 38 U.S.C. 8121, the Supply Fund is responsible for the operation and maintenance of a supply system for VA. In this capacity, it provides policy and oversight to VA's acquisition and logistics programs, and provides best value acquisition of goods and services through its National Acquisition Center, Denver Acquisition and Logistics Center, Service and Distribution Center, Technology Acquisition Center and Strategic Acquisition Center. Operating as an intra-governmental revolving fund without fiscal year limitations, the Supply Fund is financed by revenue from fees on acquisitions of supplies, equipment, and services for both VA and other Government agency (OGA) customers.

## Object Classification (in millions of dollars)

| Identification code 36-4537-0-4-705                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 79          | 92      | 109       |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 80          | 93      | 110       |
| 12.1 Civilian personnel benefits .....                          | 23          | 31      | 31        |
| 21.0 Travel and transportation of persons .....                 | 9           | 25      | 12        |
| 23.1 Rental payments to GSA .....                               | 5           | 4       | 13        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 7           | 7       | 4         |
| 24.0 Printing and reproduction .....                            | 10          | 27      | 50        |
| 25.2 Other services from non-Federal sources .....              | 241         | 476     | 600       |
| 26.0 Supplies and materials .....                               | 484         | 504     | 730       |
| 31.0 Equipment .....  | 268         | 823     | 550       |
| 99.9 Total new obligations .....                                | 1,127       | 1,990   | 2,100     |

## Employment Summary

| Identification code 36-4537-0-4-705                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 896         | 1,177   | 1,341     |

## FRANCHISE FUND

## Program and Financing (in millions of dollars)

| Identification code 36-4539-0-4-705                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 445         | 546     | 539       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 103         | 112     | 112       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 424         | 546     | 539       |
| 1701 Change in uncollected payments, Federal sources .....         | 30          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 454         | 546     | 539       |
| 1930 Total budgetary resources available .....                     | 557         | 658     | 651       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 112         | 112     | 112       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 158         | 166     | 166       |
| 3010 Obligations incurred, unexpired accounts .....                | 445         | 546     | 539       |
| 3020 Outlays (gross) .....   | -437        | -546    | -541      |
| 3050 Unpaid obligations, end of year .....                         | 166         | 166     | 164       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -88         | -118    | -118      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -30         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -118        | -118    | -118      |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 70          | 48      | 48        |
| 3200 Obligated balance, end of year .....                          | 48          | 48      | 46        |

## Budget authority and outlays, net:

|  |      |      |      |
|--|------|------|------|
| Discretionary:   |      |      |      |
| 4000 Budget authority, gross .....                             | 454  | 546  | 539  |
| Outlays, gross:  |      |      |      |
| 4010 Outlays from new discretionary authority .....            | 176  | 410  | 404  |
| 4011 Outlays from discretionary balances .....                 | 261  | 136  | 137  |
| 4020 Outlays, gross (total) .....                              | 437  | 546  | 541  |
| Offsets against gross budget authority and outlays:            |      |      |      |
| Offsetting collections (collected) from:                       |      |      |      |
| 4030 Federal sources .....                                     | -424 | -546 | -539 |
| Additional offsets against gross budget authority only:        |      |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -30  |      |      |
| 4080 Outlays, net (discretionary) .....                        | 13   |      | 2    |
| 4190 Outlays, net (total) .....                                | 13   |      | 2    |

The VA Franchise Fund was established under the authority of the Government Management Reform Act of 1994 and the VA and Housing and Urban Development and Independent Agencies Act of 1997. VA was selected by the Office of Management and Budget (OMB) in 1996 as one of the six executive branch agencies to establish a franchise fund pilot program. Created as a revolving fund, the VA Franchise Fund began providing common administrative support services to the VA and other government agencies in 1997 on a fee-for-service basis. In 2006, under the Military Quality of Life and Veterans Affairs Appropriations Act, Public Law 109-114, permanent status was conferred upon the VA Franchise Fund. VA Enterprise Centers are the lines of business within the VA Franchise Fund and are expected to have net billings of about \$539 million and employ 1,305 in 2014. The Franchise Fund concept is intended to increase competition for government administrative services, resulting in lower costs and higher quality.

## Object Classification (in millions of dollars)

| Identification code 36-4539-0-4-705                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 79          | 122     | 115       |
| 12.1 Civilian personnel benefits .....                          | 23          | 31      | 31        |
| 21.0 Travel and transportation of persons .....                 | 1           | 5       | 5         |
| 23.1 Rental payments to GSA .....                               |             | 13      | 13        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 58          | 57      | 57        |
| 24.0 Printing and reproduction .....                            | 6           | 7       | 7         |
| 25.2 Other services from non-Federal sources .....              | 232         | 270     | 270       |
| 26.0 Supplies and materials .....                               | 2           | 7       | 7         |
| 31.0 Equipment .....  | 44          | 34      | 34        |
| 99.9 Total new obligations .....                                | 445         | 546     | 539       |

## Employment Summary

| Identification code 36-4539-0-4-705                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,020       | 1,268   | 1,305     |

## ADMINISTRATIVE PROVISIONS

## (INCLUDING TRANSFER OF FUNDS)

SEC. 201. Any appropriation for fiscal year 2014 for "Compensation and pensions", "Readjustment benefits", and "Veterans insurance and indemnities" may be transferred as necessary to any other of the mentioned appropriations: Provided, That before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress.

## (INCLUDING TRANSFER OF FUNDS)

SEC. 202. Amounts made available for the Department of Veterans Affairs for fiscal year 2014, in this Act or any other Act, under the "Medical services", "Medical support and compliance", and "Medical facilities" accounts may be transferred among the accounts: Provided, That before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress.

SEC. 203. Appropriations available in this title for salaries and expenses shall be available for services authorized by section 3109 of title 5, United States Code, hire of passenger motor vehicles; lease of a facility or land or both; and uniforms or allowances therefore, as authorized by sections 5901 through 5902 of title 5, United States Code.

SEC. 204. No appropriations in this title (except the appropriations for "Construction, major projects", and "Construction, minor projects") shall be available for the purchase of any site for or toward the construction of any new hospital or home.

SEC. 205. No appropriations in this title shall be available for hospitalization or examination of any persons (except beneficiaries entitled to such hospitalization or examination under the laws providing such benefits to veterans, and persons receiving such treatment under sections 7901 through 7904 of title 5, United States Code, or the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5121 et seq.)), unless reimbursement of the cost of such hospitalization or examination is made to the "Medical services" account at such rates as may be fixed by the Secretary of Veterans Affairs.

SEC. 206. Appropriations available in this title for "Compensation and pensions", "Readjustment benefits", and "Veterans insurance and indemnities" shall be available for payment of prior year accrued obligations required to be recorded by law against the corresponding prior year accounts within the last quarter of fiscal year 2013.

SEC. 207. Appropriations available in this title shall be available to pay prior year obligations of corresponding prior year appropriations accounts resulting from sections 3328(a), 3334, and 3712(a) of title 31, United States Code, except that if such obligations are from trust fund accounts they shall be payable only from "Compensation and pensions".

(INCLUDING TRANSFER OF FUNDS)

SEC. 208. Notwithstanding any other provision of law, during fiscal year 2014, the Secretary of Veterans Affairs shall, from the National Service Life Insurance Fund under section 1920 of title 38, United States Code, the Veterans' Special Life Insurance Fund under section 1923 of title 38, United States Code, and the United States Government Life Insurance Fund under section 1955 of title 38, United States Code, reimburse the "General operating expenses, Veterans Benefits Administration" and "Information technology systems" accounts for the cost of administration of the insurance programs financed through those accounts: Provided, That reimbursement shall be made only from the surplus earnings accumulated in such an insurance program during fiscal year 2014 that are available for dividends in that program after claims have been paid and actuarially determined reserves have been set aside: Provided further, That if the cost of administration of such an insurance program exceeds the amount of surplus earnings accumulated in that program, reimbursement shall be made only to the extent of such surplus earnings: Provided further, That the Secretary shall determine the cost of administration for fiscal year 2014 which is properly allocable to the provision of each such insurance program and to the provision of any total disability income insurance included in that insurance program.

SEC. 209. Amounts deducted from enhanced-use lease proceeds to reimburse an account for expenses incurred by that account during a prior fiscal year for providing enhanced-use lease services, may be obligated during the fiscal year in which the proceeds are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 210. Funds available in this title or funds for salaries and other administrative expenses shall also be available to reimburse the Office of Resolution Management of the Department of Veterans Affairs and the Office of Employment Discrimination Complaint Adjudication under section 319 of title 38, United States Code, for all services provided at rates which will recover actual costs but not exceed \$42,904,000 for the Office of Resolution Management and \$3,360,000 for the Office of Employment and Discrimination Complaint Adjudication: Provided, That payments may be made in advance for services to be furnished based on estimated costs: Provided further, That amounts received shall be credited to the "General administration" and "Information technology systems" accounts for use by the office that provided the service.

SEC. 211. No appropriations in this title shall be available to enter into any new lease of real property if the estimated annual rental cost is more than \$1,000,000, unless the Secretary submits a report to the Committees on Appropriations of both Houses of Congress.

SEC. 212. No funds of the Department of Veterans Affairs shall be available for hospital care, nursing home care, or medical services provided

to any person under chapter 17 of title 38, United States Code, for a non-service-connected disability described in section 1729(a)(2) of such title, unless that person has disclosed to the Secretary of Veterans Affairs, in such form as the Secretary may require, current, accurate third-party reimbursement information for purposes of section 1729 of such title: Provided, That the Secretary may recover, in the same manner as any other debt due the United States, the reasonable charges for such care or services from any person who does not make such disclosure as required: Provided further, That any amounts so recovered for care or services provided in a prior fiscal year may be obligated by the Secretary during the fiscal year in which amounts are received.

(INCLUDING TRANSFER OF FUNDS)

SEC. 213. Notwithstanding any other provision of law, proceeds or revenues derived from enhanced-use leasing activities (including disposal) may be deposited into the "Construction, major projects" and "Construction, minor projects" accounts and be used for construction (including site acquisition and disposition), alterations, and improvements of any medical facility under the jurisdiction or for the use of the Department of Veterans Affairs. Such sums as realized are in addition to the amount provided for in "Construction, major projects" and "Construction, minor projects".

SEC. 214. Amounts made available under "Medical services" are available—

- (1) for furnishing recreational facilities, supplies, and equipment; and
- (2) for funeral expenses, burial expenses, and other expenses incidental to funerals and burials for beneficiaries receiving care in the Department.

(INCLUDING TRANSFER OF FUNDS)

SEC. 215. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, may be transferred to "Medical services", to remain available until expended for the purposes of that account.

SEC. 216. The Secretary of Veterans Affairs may enter into agreements with Indian tribes and tribal organizations which are party to the Alaska Native Health Compact with the Indian Health Service, and Indian tribes and tribal organizations serving rural Alaska which have entered into contracts with the Indian Health Service under the Indian Self Determination and Educational Assistance Act, to provide healthcare, including behavioral health and dental care. The Secretary shall require participating veterans and facilities to comply with all appropriate rules and regulations, as established by the Secretary. The term "rural Alaska" shall mean those lands sited within the external boundaries of the Alaska Native regions specified in sections 7(a)(1)–(4) and (7)–(12) of the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1606), and those lands within the Alaska Native regions specified in sections 7(a)(5) and 7(a)(6) of the Alaska Native Claims Settlement Act, as amended (43 U.S.C. 1606), which are not within the boundaries of the Municipality of Anchorage, the Fairbanks North Star Borough, the Kenai Peninsula Borough or the Matanuska Susitna Borough.

(INCLUDING TRANSFER OF FUNDS)

SEC. 217. Such sums as may be deposited to the Department of Veterans Affairs Capital Asset Fund pursuant to section 8118 of title 38, United States Code, may be transferred to the "Construction, major projects" and "Construction, minor projects" accounts, to remain available until expended for the purposes of these accounts.

(INCLUDING TRANSFER OF FUNDS)

SEC. 218. Amounts made available under the "Medical services", "Medical support and compliance", "Medical facilities", "General operating expenses, Veterans Benefits Administration", "General administration", and "National Cemetery Administration" accounts for fiscal year 2014, may be transferred to or from the "Information technology systems" account: Provided, That before a transfer may take place, the Secretary of Veterans Affairs shall submit notice thereof to the Committees on Appropriations of both Houses of Congress.

(INCLUDING TRANSFER OF FUNDS)

SEC. 219. Amounts made available for the "Information technology systems" account for development, modernization, and enhancement may be transferred between projects or to newly defined projects: Provided, That no project may be increased or decreased by more than \$3,000,000 of cost prior to submitting notice thereof to the Committees on Appropriations of both Houses of Congress.

SEC. 220. None of the funds appropriated or otherwise made available by this Act or any other Act for the Department of Veterans Affairs may be used in a manner that is inconsistent with: (1) section 842 of the Transportation, Treasury, Housing and Urban Development, the Judiciary, the District of Columbia, and Independent Agencies Appropriations Act, 2006 (Public Law 109–115; 119 Stat. 2506); or (2) section 8110(a)(5) of title 38, United States Code.

(INCLUDING TRANSFER OF FUNDS)

SEC. 221. Of the amounts appropriated to the Department of Veterans Affairs for fiscal year 2014 for "Medical services", "Medical support and compliance", "Medical facilities", "Construction, minor projects", and "Information technology systems", up to \$254,257,000, plus reimbursements, may be transferred to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571) and may be used for operation of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500): Provided, That additional funds may be transferred from accounts designated in this section to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund upon written notification by the Secretary of Veterans Affairs to the Committees on Appropriations of both Houses of Congress.

(INCLUDING TRANSFER OF FUNDS)

SEC. 222. Such sums as may be deposited to the Medical Care Collections Fund pursuant to section 1729A of title 38, United States Code, for health care provided at facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500) shall also be available: (1) for transfer to the Joint Department of Defense-Department of Veterans Affairs Medical Facility Demonstration Fund, established by section 1704 of the National Defense Authorization Act for Fiscal Year 2010 (Public Law 111–84; 123 Stat. 3571); and (2) for operations of the facilities designated as combined Federal medical facilities as described by section 706 of the Duncan Hunter National Defense Authorization Act for Fiscal Year 2009 (Public Law 110–417; 122 Stat. 4500).

(INCLUDING TRANSFER OF FUNDS)

SEC. 223. Of the amounts available in this title for "Medical services", "Medical support and compliance", and "Medical facilities", a minimum of \$15,000,000, shall be transferred to the DOD-VA Health Care Sharing Incentive Fund, as authorized by section 8111(d) of title 38, United States Code, to remain available until expended, for any purpose authorized by section 8111 of title 38, United States Code.

(INCLUDING CANCELLATIONS OF FUNDS)

SEC. 224. (a) Of the discretionary funds made available to the Department of Veterans Affairs for fiscal year 2014, the following amounts which became available on October 1, 2013, are hereby permanently cancelled from the following accounts in the amounts specified:

- (1) "Department of Veterans Affairs, Medical services", \$1,400,000,000.
  - (2) "Department of Veterans Affairs, Medical support and compliance", \$100,000,000.
  - (3) "Department of Veterans Affairs, Medical facilities", \$250,000,000.
- (b) In addition to amounts provided elsewhere in this Act, an additional amount is appropriated to the following accounts in the amounts specified to remain available until September 30, 2015:
- (1) "Department of Veterans Affairs, Medical services", \$1,400,000,000.
  - (2) "Department of Veterans Affairs, Medical support and compliance", \$100,000,000.
  - (3) "Department of Veterans Affairs, Medical facilities", \$250,000,000.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 36–14500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....                | 5           | 6       | 7         |
| 36–24700 Contributions from Military Personnel, Veteran's Educational Assistance Act of 1984 ..... | 149         | 128     | 107       |
| 36–27330 Housing Downward Reestimates .....  | 92          | 53      | .....     |
| 36–27510 Native American Veteran Housing Loans, Negative Subsidies .....                           | 1           | 2       | 2         |
| 36–27530 Native American Direct Loans, Downward Reestimate of Subsidies .....                      | 5           | 3       | .....     |
| 36–27560 Housing Negative Subsidies .....  | 211         | 141     | 102       |
| 36–32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....      | 87          | 40      | 40        |
| General Fund Offsetting receipts from the public .....   | 550         | 373     | 258       |
| Intragovernmental payments:  |             |         |           |
| 36–38500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts .....    | 5           | 5       | 5         |
| General Fund Intragovernmental payments .....  | 5           | 5       | 5         |

## TITLE V—GENERAL PROVISIONS

SEC. 501. No part of any appropriation contained in this Act shall remain available for obligation beyond the current fiscal year unless expressly so provided herein.

SEC. 502. None of the funds made available in this Act may be used for any program, project, or activity, when it is made known to the Federal entity or official to which the funds are made available that the program, project, or activity is not in compliance with any Federal law relating to risk assessment, the protection of private property rights, or unfunded mandates.

SEC. 503. Such sums as may be necessary for fiscal year 2013 for pay raises for programs funded by this Act shall be absorbed within the levels appropriated in this Act.

SEC. 504. All departments and agencies funded under this Act are encouraged, within the limits of the existing statutory authorities and funding, to expand their use of "E-Commerce" technologies and procedures in the conduct of their business practices and public service activities.

SEC. 505. Unless stated otherwise, all reports and notifications required by this Act shall be submitted to the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the House of Representatives and the Subcommittee on Military Construction and Veterans Affairs, and Related Agencies of the Committee on Appropriations of the Senate.

SEC. 506. None of the funds made available in this Act may be transferred to any department, agency, or instrumentality of the United States Government except pursuant to a transfer made by, or transfer authority provided in, this or any other appropriations Act.

SEC. 507. None of the funds made available in this Act may be used for a project or program named for an individual serving as a Member, Delegate, or Resident Commissioner of the United States House of Representatives.

SEC. 508. (a) Any agency receiving funds made available in this Act, shall, subject to subsections (b) and (c), post on the public website of that agency any report required to be submitted by the Congress in this or any other Act, upon the determination by the head of the agency that it shall serve the national interest.

(b) Subsection (a) shall not apply to a report if—

- (1) the public posting of the report compromises national security; or
- (2) the report contains confidential or proprietary information.

(c) The head of the agency posting such report shall do so only after such report has been made available to the requesting Committee or Committees of Congress for no less than 45 days.

SEC. 509. (a) None of the funds made available in this Act may be used to maintain or establish a computer network unless such network blocks the viewing, downloading, and exchanging of pornography.

(b) Nothing in subsection (a) shall limit the use of funds necessary for any Federal, State, tribal, or local law enforcement agency or any other

*entity carrying out criminal investigations, prosecution, or adjudication activities.*

*SEC. 510. None of the funds appropriated or otherwise made available in this Act may be used by an agency of the executive branch to pay for*

*first-class travel by an employee of the agency in contravention of sections 301–10.122 through 301–10.124 of title 41, Code of Federal Regulations.*

*SEC. 511. None of the funds provided in this Act may be used to execute a contract for goods or services, including construction services, where the contractor has not complied with Executive Order No. 12989.*





# CORPS OF ENGINEERS—CIVIL WORKS

*The following appropriations shall be expended under the direction of the Secretary of the Army and the supervision of the Chief of Engineers for authorized civil functions of the Department of the Army pertaining to commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts.*

## Federal Funds

### OFFICE OF THE ASSISTANT SECRETARY OF THE ARMY FOR CIVIL WORKS

*For the Office of the Assistant Secretary of the Army for Civil Works, \$5,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 96–3132–0–1–054                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Office of Assistant Secretary of the Army (Civil Works) ..... | 5           | 5       | 5         |
| 0900 Total new obligations (object class 25.3) .....               | 5           | 5       | 5         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 5           | 5       | 5         |
| 1160 Appropriation, discretionary (total) .....                    | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....                     | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 3           | 5       | 4         |
| 3010 Obligations incurred, unexpired accounts .....                | 5           | 5       | 5         |
| 3020 Outlays (gross) .....   | –3          | –6      | –6        |
| 3050 Unpaid obligations, end of year .....                         | 5           | 4       | 3         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 3           | 5       | 4         |
| 3200 Obligated balance, end of year .....                          | 5           | 4       | 3         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 5           | 5       | 5         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 1           | 5       | 5         |
| 4011 Outlays from discretionary balances .....                     | 2           | 1       | 1         |
| 4020 Outlays, gross (total) .....                                  | 3           | 6       | 6         |
| 4180 Budget authority, net (total) .....                           | 5           | 5       | 5         |
| 4190 Outlays, net (total) .....                                    | 3           | 6       | 6         |

## CONSTRUCTION

*For expenses necessary for the construction of commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts, \$1,350,000,000, to remain available until expended; of which such sums as are necessary to cover the Federal share of construction costs for facilities under the Dredged Material Disposal Facilities program shall be derived from the Harbor Maintenance Trust Fund; and of which such sums as are necessary to cover one-half of the costs of construction, replacement, rehabilitation, and expansion of inland waterways projects shall be derived from the Inland Waterways Trust Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

### Program and Financing (in millions of dollars)

| Identification code 96–3122–0–1–301  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0004 Projects specifically authorized by Congress (Channels and Harbors) .....     | 164         | 220     | 200       |
| 0005 Projects not specifically authorized by Congress (Channels and Harbors) ..... | 6           | 8       | 7         |
| 0009 Locks and dams .....  | 86          | 115     | 105       |
| 0012 Projects specifically authorized by Congress (Beach erosion) ....             | 121         | 162     | 147       |
| 0013 Projects not specifically authorized by Congress (Beach Erosion) .....        | 4           | 5       | 4         |
| 0016 Projects specifically authorized by Congress (Flood Control) .....            | 578         | 776     | 706       |
| 0017 Projects not specifically authorized by Congress (Flood Control) .....        | 19          | 26      | 24        |
| 0018 Emergency streambank and shoreline protection .....                           | 9           | 12      | 11        |
| 0022 Reservoirs .....  | 4           | 5       | 4         |
| 0025 Multiple-purpose power projects .....   | 86          | 115     | 105       |
| 0027 Navigation .....  | 67          | 90      | 82        |
| 0028 Flood control .....   | 91          | 122     | 111       |
| 0029 Multiple-purpose power projects (Rehab.) .....                                | 19          | 26      | 24        |
| 0033 Employees' compensation .....   | 18          | 24      | 22        |
| 0034 Environmental projects .....  | 505         | 678     | 617       |
| 0036 Aquatic plant control .....   | 4           | 5       | 4         |
| 0037 Aquatic ecosystems .....  | 34          | 46      | 42        |
| 0039 Beneficial uses of dredged material .....                                     | 4           | 5       | 4         |
| 0100 Direct program subtotal .....   | 1,819       | 2,440   | 2,219     |
| 0799 Total direct obligations .....  | 1,819       | 2,440   | 2,219     |
| 0811 Department of Homeland Security .....   | 275         | 275     | 256       |
| 0812 Department of Veteran Affairs .....   | 205         | 205     | 191       |
| 0813 Environmental Protection Agency .....   | 111         | 111     | 103       |
| 0814 National Aeronautics and Space Administration .....                           | 93          | 93      | 87        |
| 0815 Department of Energy .....  | 69          | 69      | 64        |
| 0816 Other Federal Agencies .....  | 116         | 116     | 108       |
| 0817 Non-Federal Sources .....   | 32          | 32      | 30        |
| 0818 Intra-Corps .....   | 311         | 631     | 588       |
| 0899 Total reimbursable obligations .....  | 1,212       | 1,532   | 1,427     |
| 0900 Total new obligations .....   | 3,031       | 3,972   | 3,646     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                              | 3,857       | 3,345   | 5,166     |
| 1010 Unobligated balance transfer to other accts [96–3125] .....                   | –31         | .....   | .....     |
| 1010 Unobligated balance transfer to other accts [14–2100] .....                   | –2          | .....   | .....     |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....                    | –3          | .....   | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                             | 38          | .....   | .....     |
| 1050 Unobligated balance (total) .....   | 3,859       | 3,345   | 5,166     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 1,532       | 1,546   | 1,202     |
| 1100 Appropriation .....   | .....       | 3,461   | .....     |
| 1120 Appropriations transferred to other accts [96–3125] .....                     | –77         | .....   | .....     |
| 1131 Unobligated balance of appropriations permanently reduced .....               | .....       | .....   | –81       |
| 1160 Appropriation, discretionary (total) .....                                    | 1,455       | 5,007   | 1,121     |
| Spending authority from offsetting collections, discretionary:                     |             |         |           |
| 1700 Collected (Inland Waterways Trust Fund) .....                                 | 1,637       | 95      | 94        |
| 1700 Collected (Harbor Maintenance Trust Fund) .....                               | .....       | 68      | 54        |
| 1700 Collected (Other sources) .....   | .....       | 624     | 639       |
| 1701 Change in uncollected payments, Federal sources .....                         | –575        | –1      | –1        |
| 1750 Spending auth from offsetting collections, disc (total) .....                 | 1,062       | 786     | 786       |
| 1900 Budget authority (total) .....  | 2,517       | 5,793   | 1,907     |
| 1930 Total budgetary resources available .....                                     | 6,376       | 9,138   | 7,073     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                              | 3,345       | 5,166   | 3,427     |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                              | 4,613       | 3,501   | 4,935     |
| 3010 Obligations incurred, unexpired accounts .....                                | 3,031       | 3,972   | 3,646     |
| 3011 Obligations incurred, expired accounts .....                                  | 23          | .....   | .....     |
| 3020 Outlays (gross) .....   | –4,128      | –2,538  | –3,170    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                  | –38         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....   | 3,501       | 4,935   | 5,411     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                  | –2,378      | –1,751  | –1,750    |

CONSTRUCTION—Continued  
Program and Financing—Continued

| Identification code 96-3122-0-1-301       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 575         | 1       | 1         |
| 3071                                      | Change in uncollected pymts, Fed sources, expired .....         | 52          |         |           |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | -1,751      | -1,750  | -1,749    |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                          | 2,235       | 1,750   | 3,185     |
| 3200                                      | Obligated balance, end of year .....                            | 1,750       | 3,185   | 3,662     |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| 4000                                      | Budget authority, gross .....                                   | 2,517       | 5,793   | 1,907     |
|   | Outlays, gross:   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                  |             | 1,651   | 1,190     |
| 4011                                      | Outlays from discretionary balances .....                       | 4,128       | 887     | 1,980     |
| 4020                                      | Outlays, gross (total) .....                                    | 4,128       | 2,538   | 3,170     |
|   | Offsets against gross budget authority and outlays:             |             |         |           |
|   | Offsetting collections (collected) from:                        |             |         |           |
| 4030                                      | Federal sources .....   | -1,643      | -491    | -491      |
| 4033                                      | Non-Federal sources .....                                       | -45         | -296    | -296      |
| 4040                                      | Offsets against gross budget authority and outlays (total) .... | -1,688      | -787    | -787      |
|   | Additional offsets against gross budget authority only:         |             |         |           |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 575         | 1       | 1         |
| 4052                                      | Offsetting collections credited to expired accounts .....       | 51          |         |           |
| 4060                                      | Additional offsets against budget authority only (total) .....  | 626         | 1       | 1         |
| 4070                                      | Budget authority, net (discretionary) .....                     | 1,455       | 5,007   | 1,121     |
| 4080                                      | Outlays, net (discretionary) .....                              | 2,440       | 1,751   | 2,383     |
| 4180                                      | Budget authority, net (total) .....                             | 1,455       | 5,007   | 1,121     |
| 4190                                      | Outlays, net (total) .....                                      | 2,440       | 1,751   | 2,383     |

This appropriation funds the construction, replacement, rehabilitation, and expansion of water resources projects whose principal purpose is to provide commercial navigation, flood and storm damage reduction, or aquatic ecosystem restoration benefits to the Nation, and related efforts. The Budget shows all funding provided through the Inland Waterways Trust Fund as well as a portion of the funding from the Harbor Maintenance Trust Fund as transferred to and executed in the Construction account.

This account allocates funds on a performance basis to high-performing projects, which improves the construction program's overall performance. The Budget funds those investments within the Corps' three main mission areas—commercial navigation, flood and storm damage reduction, and aquatic ecosystem restoration—as well as hydropower that provide the best economic, environmental, and public safety returns to the Nation.

This account includes \$57 million for work under the Comprehensive Everglades Restoration Plan (CERP), which represents approximately 4 percent of the total amount in this account and 1 percent of the total amount in the civil works program. Funding CERP at this level would not have a significant impact on the overall civil works program in 2014. Construction account funding for CERP in future years will depend on the availability of funds, so the impact of such future funding on the overall civil works program cannot be determined at this time. Funding for the Department of the Interior (DOI) includes an additional \$8 million for work under CERP.

This account also includes \$31 million for other ecosystem restoration work in South Florida, including the Everglades ecosystem. Funding for DOI includes \$87 million for such non-CERP work.

The Budget for the two agencies includes a total of \$183 million for ecosystem restoration work in South Florida, of which \$65 million is for CERP and \$118 million is for non-CERP work.

This appropriation also funds the Corps continuing authorities programs, which involve the planning, design, and construction

of smaller projects that do not require specific authorizing legislation.

## Object Classification (in millions of dollars)

| Identification code 96-3122-0-1-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                                     | 234         | 227     | 224       |
| 11.3                                | Other than full-time permanent .....                          | 16          | 16      | 16        |
| 11.5                                | Other personnel compensation .....                            | 9           | 10      | 10        |
| 11.8                                | Special personal services payments .....                      | 18          | 18      | 18        |
| 11.9                                | Total personnel compensation .....                            | 277         | 271     | 268       |
| 12.1                                | Civilian personnel benefits .....                             | 70          | 69      | 67        |
| 12.1                                | Accrued retirement .....                                      | 5           | 7       | 6         |
| 12.1                                | Other personnel .....   | 2           | 3       | 3         |
| 21.0                                | Travel and transportation of persons .....                    | 5           | 7       | 6         |
| 23.3                                | Communications, utilities, and miscellaneous .....            | 2           | 3       | 3         |
| 25.2                                | Other services from non-Federal sources .....                 | 29          | 41      | 37        |
| 25.3                                | Purchase of goods and services from Government accounts ..... | 110         | 157     | 140       |
| 25.4                                | Operation and maintenance of facilities .....                 | 2           | 3       | 3         |
| 26.0                                | Supplies and materials .....                                  | 2           | 3       | 3         |
| 31.0                                | Equipment .....   | 1           | 1       | 1         |
| 32.0                                | Land and structures .....                                     | 1,314       | 1,875   | 1,682     |
| 99.0                                | Direct obligations .....                                      | 1,819       | 2,440   | 2,219     |
| 99.0                                | Reimbursable obligations .....                                | 1,212       | 1,532   | 1,427     |
| 99.9                                | Total new obligations .....                                   | 3,031       | 3,972   | 3,646     |

## Employment Summary

| Identification code 96-3122-0-1-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 3,144       | 2,985   | 2,935     |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 1,272       | 1,300   | 1,300     |

## OPERATION AND MAINTENANCE

*For expenses necessary for the operation, maintenance, and care of existing commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts; providing security for infrastructure owned or operated by the Corps, including administrative buildings and laboratories; maintaining harbor channels provided by a State, municipality, or other public agency that serve essential navigation needs of general commerce, where authorized by law; surveying and charting northern and northwestern lakes and connecting waters; clearing and straightening channels; and removing obstructions to navigation, \$2,588,000,000, to remain available until expended, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for coastal harbors and channels, and for inland harbors shall be derived from the Harbor Maintenance Trust Fund; of which such sums as become available from the special account for the Corps established by the Land and Water Conservation Fund Act of 1965, as amended, shall be derived from that account for resource protection, research, interpretation, and maintenance activities related to resource protection in areas managed by the Corps at which outdoor recreation is available; and of which such sums as become available from fees collected under section 217 of Public Law 104-303 shall be used to cover the cost of operation and maintenance of the dredged material disposal facilities for which such fees have been collected.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 96-3123-0-1-301 |                            | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|----------------------------|-------------|---------|-----------|
| Obligations by program activity:    |                            |             |         |           |
| 0001                                | Channels and harbors ..... | 1,157       | 790     | 816       |
| 0002                                | Locks and dams .....       | 515         | 351     | 363       |

|      |  |       |       |       |
|------|--|-------|-------|-------|
| 0005 | Reservoirs .....   | 482   | 329   | 340   |
| 0006 | Channel improvements, inspections, and miscellaneous maintenance ..... | 81    | 56    | 57    |
| 0009 | Multiple-purpose power projects .....                                  | 690   | 471   | 486   |
| 0091 | Total operation and maintenance projects .....                         | 2,925 | 1,997 | 2,062 |
| 0101 | Protection of navigation .....   | 59    | 40    | 41    |
| 0102 | National emergency preparedness .....                                  | 5     | 3     | 4     |
| 0105 | Special programs to improve operation and maintenance .....            | 92    | 63    | 65    |
| 0191 | Total miscellaneous items .....  | 156   | 106   | 110   |
| 0192 | Total direct program .....   | 3,081 | 2,103 | 2,172 |
| 0799 | Total direct obligations .....   | 3,081 | 2,103 | 2,172 |
| 0801 | Other Federal Agencies .....   | 24    | 24    | 24    |
| 0802 | Non-Federal Agencies .....   | 20    | 20    | 20    |
| 0803 | Intra-Corps .....  | 172   | 181   | 189   |
| 0899 | Total reimbursable obligations .....                                   | 216   | 225   | 233   |
| 0900 | Total new obligations .....  | 3,297 | 2,328 | 2,405 |

**Budgetary Resources:**

|  |  |       |       |       |
|--|--|-------|-------|-------|
| Unobligated balance:   |  |       |       |       |
| 1000   | Unobligated balance brought forward, Oct 1 .....   | 380   | 397   | 1,317 |
| 1020   | Adjustment of unobligated bal brought forward, Oct 1 .....                                     | -2    |       |       |
| 1021   | Recoveries of prior year unpaid obligations .....  | 39    |       |       |
| 1050   | Unobligated balance (total) .....  | 417   | 397   | 1,317 |
| Budget authority:  |  |       |       |       |
| Appropriations, discretionary:                                 |  |       |       |       |
| 1100   | Appropriation .....  | 2,108 | 1,583 | 1,710 |
| 1100   | Appropriation .....  |       | 821   |       |
| 1121   | Appropriations transferred from other accts [96-5383] .....                                    | 44    | 44    | 44    |
| 1131   | Unobligated balance of appropriations permanently reduced .....                                |       |       | -1    |
| 1160   | Appropriation, discretionary (total) .....   | 2,152 | 2,448 | 1,753 |
| Spending authority from offsetting collections, discretionary: |  |       |       |       |
| 1700   | Collected .....  | 1,040 | 800   | 834   |
| 1701   | Change in uncollected customer payments from Federal sources (HMTF) .....                      | -8    |       |       |
| 1711   | Spending authority from offsetting collections transferred from other accounts [89-4045] ..... | 93    |       |       |
| 1750   | Spending auth from offsetting collections, disc (total) .....                                  | 1,125 | 800   | 834   |
| 1900   | Budget authority (total) .....   | 3,277 | 3,248 | 2,587 |
| 1930   | Total budgetary resources available .....  | 3,694 | 3,645 | 3,904 |
| Memorandum (non-add) entries:                                  |  |       |       |       |
| 1941   | Unexpired unobligated balance, end of year .....   | 397   | 1,317 | 1,499 |

**Change in obligated balance:**

|                               |  |        |        |        |
|-------------------------------|--|--------|--------|--------|
| Unpaid obligations:           |  |        |        |        |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 1,169  | 1,304  | 906    |
| 3010                          | Obligations incurred, unexpired accounts .....               | 3,297  | 2,328  | 2,405  |
| 3011                          | Obligations incurred, expired accounts .....                 | 10     |        |        |
| 3020                          | Outlays (gross) .....  | -3,133 | -2,726 | -3,178 |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -39    |        |        |
| 3050                          | Unpaid obligations, end of year .....                        | 1,304  | 906    | 133    |
| Uncollected payments:         |  |        |        |        |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -144   | -128   | -128   |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 8      |        |        |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | 8      |        |        |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -128   | -128   | -128   |
| Memorandum (non-add) entries: |  |        |        |        |
| 3100                          | Obligated balance, start of year .....                       | 1,025  | 1,176  | 778    |
| 3200                          | Obligated balance, end of year .....                         | 1,176  | 778    | 5      |

**Budget authority and outlays, net:**

|   |   |        |       |       |
|---|---|--------|-------|-------|
| Discretionary:  |   |        |       |       |
| 4000  | Budget authority, gross .....                                   | 3,277  | 3,248 | 2,587 |
| Outlays, gross:   |   |        |       |       |
| 4010  | Outlays from new discretionary authority .....                  | 1,631  | 1,797 | 1,579 |
| 4011  | Outlays from discretionary balances .....                       | 1,502  | 929   | 1,599 |
| 4020  | Outlays, gross (total) .....                                    | 3,133  | 2,726 | 3,178 |
| Offsets against gross budget authority and outlays:     |   |        |       |       |
| Offsetting collections (collected) from:                |   |        |       |       |
| 4030  | Federal sources .....   | -1,009 | -800  | -834  |
| 4033  | Non-Federal sources .....                                       | -39    |       |       |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -1,048 | -800  | -834  |
| Additional offsets against gross budget authority only: |   |        |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | 8      |       |       |
| 4052  | Offsetting collections credited to expired accounts .....       | 8      |       |       |
| 4060  | Additional offsets against budget authority only (total) .....  | 16     |       |       |

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 4070 | Budget authority, net (discretionary) ..... | 2,245 | 2,448 | 1,753 |
| 4080 | Outlays, net (discretionary) .....          | 2,085 | 1,926 | 2,344 |
| 4180 | Budget authority, net (total) .....         | 2,245 | 2,448 | 1,753 |
| 4190 | Outlays, net (total) .....                  | 2,085 | 1,926 | 2,344 |

This appropriation funds inspection, operation, maintenance, and related activities for water resources projects operated and maintained by the Corps. These projects include navigation channels, navigation locks and dams, flood and storm damage risk reduction structures such as levees, and multi-purpose projects, as authorized in various River and Harbor, Flood Control, and Water Resources Development Acts. Related activities include aquatic plant control, monitoring of completed projects where appropriate, removal of sunken vessels, and the collection of domestic water and related resources statistics and data. Key infrastructure that is of central importance to the Nation and the continued safety of the public is given highest priority for funding in this account. Funding provided through the Harbor Maintenance Trust Fund is transferred primarily to and executed in the Operation and Maintenance account.

This appropriation funds all of the costs associated with protecting Corps facilities from potential security threats. It also funds the national emergency preparedness program under Executive Order 11490.

This account provides \$9.1 million for ecosystem restoration work in South Florida, including \$2.0 million for CERP. The operation and maintenance funding demands of CERP and non-CERP work are expected to slowly increase over time as construction projects are completed. The future impact of this on the overall civil works program is therefore linked in part to the pace of construction, which depends on the availability of funding and cannot be determined at this time.

**Object Classification (in millions of dollars)**

| Identification code 96-3123-0-1-301 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 697         | 716     | 720       |
| 11.3                                | Other than full-time permanent .....                       | 31          | 30      | 30        |
| 11.5                                | Other personnel compensation .....                         | 36          | 37      | 37        |
| 11.8                                | Special personal services payments .....                   | 7           | 7       | 7         |
| 11.9                                | Total personnel compensation .....                         | 771         | 790     | 794       |
| 12.1                                | Civilian personnel benefits .....                          | 221         | 227     | 228       |
| 21.0                                | Travel and transportation of persons .....                 | 19          | 10      | 10        |
| 22.0                                | Transportation of things .....                             | 13          | 7       | 8         |
| 23.1                                | Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 26          | 14      | 14        |
| 25.1                                | Advisory and assistance services .....                     | 11          | 6       | 6         |
| 25.2                                | Other services from non-Federal sources .....              | 489         | 254     | 270       |
| 25.3                                | Other goods and services from Federal sources .....        | 549         | 285     | 302       |
| 25.4                                | Operation and maintenance of facilities .....              | 99          | 51      | 54        |
| 25.7                                | Operation and maintenance of equipment .....               | 8           | 4       | 4         |
| 26.0                                | Supplies and materials .....                               | 55          | 28      | 28        |
| 31.0                                | Equipment .....  | 21          | 11      | 14        |
| 32.0                                | Land and structures .....                                  | 798         | 415     | 439       |
| 99.0                                | Direct obligations .....                                   | 3,081       | 2,103   | 2,172     |
| 99.0                                | Reimbursable obligations .....                             | 216         | 225     | 233       |
| 99.9                                | Total new obligations .....                                | 3,297       | 2,328   | 2,405     |

**Employment Summary**

| Identification code 96-3123-0-1-301 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 11,775      | 11,807  | 11,857    |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 814         | 818     | 818       |

## SPECIAL RECREATION USER FEE

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 96-5383-0-2-301                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                     | 44          | 48      | 52        |
| Receipts:   |             |         |           |
| 0220 Special Recreation Use Fees, Corps of Engineers .....            | 44          | 44      | 44        |
| 0221 User Fees, Fund for Non-Federal Use of Disposal Facilities ..... | 4           | 4       | 4         |
| 0299 Total receipts and collections .....                             | 48          | 48      | 48        |
| 0400 Total: Balances and collections .....                            | 92          | 96      | 100       |
| Appropriations:   |             |         |           |
| 0500 Special Recreation User Fee .....                                | -44         | -44     | -44       |
| 0799 Balance, end of year .....                                       | 48          | 52      | 56        |

## Program and Financing (in millions of dollars)

| Identification code 96-5383-0-2-301                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budgetary Resources:   |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1101 Appropriation (special or trust fund) .....               | 44          | 44      | 44        |
| 1120 Appropriations transferred to other accts [96-3123] ..... | -44         | -44     | -44       |

## MISSISSIPPI RIVER AND TRIBUTARIES

For expenses necessary for flood damage reduction and related efforts in the Mississippi River alluvial valley below Cape Girardeau, Missouri, \$279,000,000, to remain available until expended, of which such sums as are necessary to cover the Federal share of eligible operation and maintenance costs for inland harbors shall be derived from the Harbor Maintenance Trust Fund.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 96-3112-0-1-301                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                     |             |         |           |
| 0001 General investigations .....                                    |             |         |           |
| 0003 Construction .....  | 4           | 2       | 2         |
| 0005 Operation and Maintenance .....                                 | 122         | 66      | 52        |
| 0799 Total direct obligations .....                                  | 478         | 257     | 202       |
| 0801 Intra-Corps .....   | 604         | 325     | 256       |
| 0900 Total new obligations .....                                     | 94          | 52      | 61        |
| 0900 Total new obligations .....                                     | 698         | 377     | 317       |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 30          | 502     | 379       |
| 1010 Unobligated balance transfer to other accts [96-3125] .....     | -1          |         |           |
| 1050 Unobligated balance (total) .....                               | 29          | 502     | 379       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 1,052       | 252     | 277       |
| 1131 Unobligated balance of appropriations permanently reduced ..... |             |         | -6        |
| 1160 Appropriation, discretionary (total) .....                      | 1,052       | 252     | 271       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 99          | 2       | 2         |
| 1701 Change in uncollected payments, Federal sources .....           | 20          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 119         | 2       | 2         |
| 1900 Budget authority (total) .....                                  | 1,171       | 254     | 273       |
| 1930 Total budgetary resources available .....                       | 1,200       | 756     | 652       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 502         | 379     | 335       |
| Change in obligated balance:   |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 218         | 298     | 110       |

|   |      |      |      |
|---|------|------|------|
| 3010 Obligations incurred, unexpired accounts .....               | 698  | 377  | 317  |
| 3011 Obligations incurred, expired accounts .....                 | 3    |      |      |
| 3020 Outlays (gross) .....  | -621 | -565 | -354 |
| 3050 Unpaid obligations, end of year .....                        | 298  | 110  | 73   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -14  | -30  | -30  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -20  |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 4    |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -30  | -30  | -30  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 204  | 268  | 80   |
| 3200 Obligated balance, end of year .....                         | 268  | 80   | 43   |

## Budget authority and outlays, net:

|  |       |     |     |
|--|-------|-----|-----|
| Discretionary:   |       |     |     |
| 4000 Budget authority, gross .....                                   | 1,171 | 254 | 273 |
| Outlays, gross:  |       |     |     |
| 4010 Outlays from new discretionary authority .....                  | 388   | 103 | 107 |
| 4011 Outlays from discretionary balances .....                       | 233   | 462 | 247 |
| 4020 Outlays, gross (total) .....                                    | 621   | 565 | 354 |
| Offsets against gross budget authority and outlays:                  |       |     |     |
| Offsetting collections (collected) from:                             |       |     |     |
| 4030 Federal sources .....   | -101  | -2  | -2  |
| 4033 Non-Federal sources .....                                       | -2    |     |     |
| 4040 Offsets against gross budget authority and outlays (total) .... | -103  | -2  | -2  |
| Additional offsets against gross budget authority only:              |       |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -20   |     |     |
| 4052 Offsetting collections credited to expired accounts .....       | 4     |     |     |
| 4060 Additional offsets against budget authority only (total) .....  | -16   |     |     |
| 4070 Budget authority, net (discretionary) .....                     | 1,052 | 252 | 271 |
| 4080 Outlays, net (discretionary) .....                              | 518   | 563 | 352 |
| 4180 Budget authority, net (total) .....                             | 1,052 | 252 | 271 |
| 4190 Outlays, net (total) .....                                      | 518   | 563 | 352 |

This appropriation funds planning, construction, and operation and maintenance activities associated with projects to reduce the risk of flood damage in the lower Mississippi River alluvial valley below Cape Girardeau, Missouri.

## Object Classification (in millions of dollars)

| Identification code 96-3112-0-1-301                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                                     |             |         |           |
| Personnel compensation:                                 |             |         |           |
| 11.1 Full-time permanent .....                          | 66          | 67      | 67        |
| 11.3 Other than full-time permanent .....               | 5           | 4       | 4         |
| 11.5 Other personnel compensation .....                 | 9           | 9       | 9         |
| 11.8 Special personal services payments .....           | 1           |         |           |
| 11.9 Total personnel compensation .....                 | 81          | 80      | 80        |
| 12.1 Civilian personnel benefits .....                  | 22          | 22      | 22        |
| 21.0 Travel and transportation of persons .....         | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous ..... | 2           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....      | 28          | 12      | 8         |
| 25.3 Purchase goods & svcs. fm Government .....         | 210         | 93      | 64        |
| 25.4 Operation and maintenance of facilities .....      | 6           | 3       | 2         |
| 26.0 Supplies and materials .....                       | 37          | 16      | 11        |
| 32.0 Land and structures .....                          | 217         | 97      | 67        |
| 99.0 Direct obligations .....                           | 604         | 325     | 256       |
| 99.0 Reimbursable obligations .....                     | 94          | 52      | 61        |
| 99.9 Total new obligations .....                        | 698         | 377     | 317       |

## Employment Summary

| Identification code 96-3112-0-1-301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,084       | 1,077   | 1,077     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 22          | 23      | 23        |

## FLOOD CONTROL AND COASTAL EMERGENCIES

For expenses necessary to prepare for flood, hurricane, and other natural disasters and support emergency operations, repairs, and other activities in response to such disasters, \$28,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 96–3125–0–1–301                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Disaster preparedness .....                                   | 30          | 56      | 54        |
| 0002 Emergency operations .....                                    | 7           | 13      | 13        |
| 0003 Rehabilitation .....  | 613         | 1,146   | 1,107     |
| 0004 Advance measures .....  | 22          | 41      | 39        |
| 0799 Total direct obligations .....                                | 672         | 1,256   | 1,213     |
| 0811 Reimbursable program activity .....                           | 12          | 175     | 246       |
| 0900 Total new obligations .....                                   | 684         | 1,431   | 1,459     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3,045       | 2,852   | 2,747     |
| 1011 Unobligated balance transfer from other accts [96–3112] ....  | 1           |         |           |
| 1011 Unobligated balance transfer from other accts [96–3121] ....  | 2           |         |           |
| 1011 Unobligated balance transfer from other accts [96–3122] ....  | 31          |         |           |
| 1050 Unobligated balance (total) .....                             | 3,079       | 2,852   | 2,747     |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 415         | 27      | 28        |
| 1100 Appropriation .....   |             | 1,008   |           |
| 1121 Appropriations transferred from other accts [96–3121] ....    | 8           |         |           |
| 1121 Appropriations transferred from other accts [96–3122] ....    | 77          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 500         | 1,035   | 28        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 182         | 291     | 289       |
| 1701 Change in uncollected payments, Federal sources .....         | –225        |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | –43         | 291     | 289       |
| 1900 Budget authority (total) .....                                | 457         | 1,326   | 317       |
| 1930 Total budgetary resources available .....                     | 3,536       | 4,178   | 3,064     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 2,852       | 2,747   | 1,605     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1,941       | 1,241   | 1,159     |
| 3010 Obligations incurred, unexpired accounts .....                | 684         | 1,431   | 1,459     |
| 3020 Outlays (gross) .....   | –1,384      | –1,513  | –1,370    |
| 3050 Unpaid obligations, end of year .....                         | 1,241       | 1,159   | 1,248     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –283        | –58     | –58       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 225         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –58         | –58     | –58       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1,658       | 1,183   | 1,101     |
| 3200 Obligated balance, end of year .....                          | 1,183       | 1,101   | 1,190     |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 457         | 1,326   | 317       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             | 708     | 303       |
| 4011 Outlays from discretionary balances .....                     | 1,384       | 805     | 1,067     |
| 4020 Outlays, gross (total) .....                                  | 1,384       | 1,513   | 1,370     |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –182        | –291    | –289      |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | 225         |         |           |
| 4070 Budget authority, net (discretionary) .....                   | 500         | 1,035   | 28        |
| 4080 Outlays, net (discretionary) .....                            | 1,202       | 1,222   | 1,081     |
| 4180 Budget authority, net (total) .....                           | 500         | 1,035   | 28        |
| 4190 Outlays, net (total) .....                                    | 1,202       | 1,222   | 1,081     |

This appropriation funds the planning, training, exercises, and other preparedness measures that help the Corps respond to floods, hurricanes, and other natural disasters, and to support emergency operations in response to such natural disasters, in-

cluding advance measures, flood fighting, emergency operations, providing potable water on an emergency basis, and the repair of certain flood and storm damage reduction projects. The funding in the Budget is for preparedness and training activities.

**Object Classification** (in millions of dollars)

| Identification code 96–3125–0–1–301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 76          | 77      | 95        |
| 11.3 Other than full-time permanent .....                       | 20          | 20      |           |
| 11.5 Other personnel compensation .....                         | 11          | 11      | 11        |
| 11.9 Total personnel compensation .....                         | 107         | 108     | 106       |
| 12.1 Civilian personnel benefits .....                          | 30          | 31      | 30        |
| 21.0 Travel and transportation of persons .....                 | 3           | 6       | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 10      | 10        |
| 25.3 Other goods and services from Federal sources .....        | 141         | 294     | 283       |
| 25.4 Operation and maintenance of facilities .....              | 14          | 29      | 28        |
| 26.0 Supplies and materials .....                               | 1           | 2       | 2         |
| 31.0 Equipment .....  | 1           | 2       | 2         |
| 32.0 Land and structures .....                                  | 370         | 774     | 746       |
| 99.0 Direct obligations .....                                   | 672         | 1,256   | 1,213     |
| 99.0 Reimbursable obligations .....                             | 12          | 175     | 246       |
| 99.9 Total new obligations .....                                | 684         | 1,431   | 1,459     |

**Employment Summary**

| Identification code 96–3125–0–1–301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,110       | 1,100   | 1,100     |

**INVESTIGATIONS**

*For expenses necessary for the collection and study of basic information pertaining to commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts; for surveys and detailed studies, and plans and specifications of proposed commercial navigation, flood and storm damage reduction, aquatic ecosystem restoration, and related efforts prior to construction; and for miscellaneous investigations, \$90,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 96–3121–0–1–301  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Navigation, flood damage prevention, and shoreline protection studies ..... | 26          | 32      | 26        |
| 0002 Comprehensive basin studies .....   | 3           | 4       | 3         |
| 0003 Special studies .....   | 15          | 18      | 15        |
| 0005 Cooperation with other Federal agencies and non-Federal interests .....     | 9           | 11      | 9         |
| 0007 Preconstruction engineering and design .....                                | 20          | 24      | 19        |
| 0008 Flood plain management services .....                                       | 29          | 35      | 28        |
| 0009 Other programs .....  | 9           | 11      | 9         |
| 0010 Research and development .....  | 18          | 22      | 18        |
| 0799 Total direct obligations .....  | 129         | 157     | 127       |
| 0801 Other Federal Agencies .....  | 15          | 15      | 15        |
| 0802 Non-Federal Agencies .....  | 5           | 5       | 5         |
| 0803 Intra-Corps .....   | 18          | 23      | 25        |
| 0899 Total reimbursable obligations .....  | 38          | 43      | 45        |
| 0900 Total new obligations .....   | 167         | 200     | 172       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                            | 108         | 90      | 112       |
| 1010 Unobligated balance transfer to other accts [96–3125] .....                 | –2          |         |           |

INVESTIGATIONS—Continued  
Program and Financing—Continued

| Identification code 96-3121-0-1-301                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1021 Recoveries of prior year unpaid obligations .....               | 1           |         |           |
| 1050 Unobligated balance (total) .....                               | 107         | 90      | 112       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 125         | 126     | 90        |
| 1100 Appropriation .....   |             | 50      |           |
| 1120 Appropriations transferred to other accts [96-3125] .....       | -8          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... |             |         | -12       |
| 1160 Appropriation, discretionary (total) .....                      | 117         | 176     | 78        |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 40          | 46      | 33        |
| 1701 Change in uncollected payments, Federal sources .....           | -7          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 33          | 46      | 33        |
| 1900 Budget authority (total) .....                                  | 150         | 222     | 111       |
| 1930 Total budgetary resources available .....                       | 257         | 312     | 223       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 90          | 112     | 51        |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 79   | 67   | 114  |
| 3010 Obligations incurred, unexpired accounts .....               | 167  | 200  | 172  |
| 3020 Outlays (gross) .....  | -178 | -153 | -157 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 67   | 114  | 129  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -36  | -29  | -29  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 7    |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -29  | -29  | -29  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 43   | 38   | 85   |
| 3200 Obligated balance, end of year .....                         | 38   | 85   | 100  |

## Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                                   | 150 | 222 | 111 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....                  |     | 117 | 62  |
| 4011 Outlays from discretionary balances .....                       | 178 | 36  | 95  |
| 4020 Outlays, gross (total) .....                                    | 178 | 153 | 157 |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Federal sources .....   | -34 | -44 | -31 |
| 4033 Non-Federal sources .....                                       | -6  | -17 | -17 |
| 4040 Offsets against gross budget authority and outlays (total) .... | -40 | -61 | -48 |
| Additional offsets against gross budget authority only:              |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 7   |     |     |
| 4052 Offsetting collections credited to expired accounts .....       |     | 15  | 15  |
| 4060 Additional offsets against budget authority only (total) .....  | 7   | 15  | 15  |
| 4070 Budget authority, net (discretionary) .....                     | 117 | 176 | 78  |
| 4080 Outlays, net (discretionary) .....                              | 138 | 92  | 109 |
| 4180 Budget authority, net (total) .....                             | 117 | 176 | 78  |
| 4190 Outlays, net (total) .....                                      | 138 | 92  | 109 |

This appropriation funds studies to determine the need, engineering feasibility, economic and environmental return to the Nation, and public safety impacts of potential solutions to water and related land resource problems; preconstruction engineering and design; and related data collection, interagency coordination, and research.

## Object Classification (in millions of dollars)

| Identification code 96-3121-0-1-301           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                           |             |         |           |
| Personnel compensation:                       |             |         |           |
| 11.1 Full-time permanent .....                | 35          | 36      | 36        |
| 11.3 Other than full-time permanent .....     | 4           | 4       | 4         |
| 11.5 Other personnel compensation .....       | 1           | 1       | 1         |
| 11.8 Special personal services payments ..... | 3           |         |           |

|  |     |     |     |
|--|-----|-----|-----|
| 11.9 Total personnel compensation .....                            | 43  | 41  | 41  |
| 12.1 Civilian personnel benefits .....                             | 16  | 16  | 16  |
| 21.0 Travel and transportation of persons .....                    | 3   | 4   | 3   |
| 23.1 Rental payments to GSA .....                                  | 4   | 6   | 4   |
| 23.3 Communications, utilities, and miscellaneous .....            | 1   | 1   | 1   |
| 24.0 Printing and reproduction .....                               | 1   | 1   | 1   |
| 25.1 Advisory and assistance services .....                        | 4   | 6   | 4   |
| 25.2 Other services from non-Federal sources .....                 | 8   | 11  | 8   |
| 25.3 Purchase of goods and services from Government accounts ..... | 26  | 38  | 26  |
| 25.5 Research and development contracts .....                      | 7   | 10  | 7   |
| 26.0 Supplies and materials .....                                  | 1   | 1   | 1   |
| 32.0 Land and structures .....                                     | 15  | 22  | 15  |
| 99.0 Direct obligations .....                                      | 129 | 157 | 127 |
| 99.0 Reimbursable obligations .....                                | 38  | 43  | 45  |
| 99.9 Total new obligations .....                                   | 167 | 200 | 172 |

## Employment Summary

| Identification code 96-3121-0-1-301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 463         | 464     | 464       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 236         | 236     | 236       |

## REGULATORY PROGRAM

*For expenses necessary for administration of laws pertaining to regulation of navigable waters and wetlands, \$200,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 96-3126-0-1-301       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:          |             |         |           |
| 0003 Permit evaluation .....              | 166         | 164     | 179       |
| 0005 Enforcement and compliance .....     | 19          | 19      | 21        |
| 0006 Studies .....                        | 4           | 4       | 4         |
| 0007 Administrative appeals .....         | 1           | 1       | 1         |
| 0192 Total direct obligations .....       | 190         | 188     | 205       |
| 0799 Total direct obligations .....       | 190         | 188     | 205       |
| 0811 Non-Federal Sources .....            | 5           | 4       | 4         |
| 0812 Intra-Corps .....                    | 3           | 1       | 1         |
| 0899 Total reimbursable obligations ..... | 8           | 5       | 5         |
| 0900 Total new obligations .....          | 198         | 193     | 210       |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 12  | 16  | 18  |
| 1021 Recoveries of prior year unpaid obligations .....             | 1   |     |     |
| 1050 Unobligated balance (total) .....                             | 13  | 16  | 18  |
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 193 | 194 | 200 |
| 1160 Appropriation, discretionary (total) .....                    | 193 | 194 | 200 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 8   | 1   | 1   |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 8   | 1   | 1   |
| 1900 Budget authority (total) .....                                | 201 | 195 | 201 |
| 1930 Total budgetary resources available .....                     | 214 | 211 | 219 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 16  | 18  | 9   |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 8    | 6    | 7    |
| 3010 Obligations incurred, unexpired accounts .....               | 198  | 193  | 210  |
| 3020 Outlays (gross) .....  | -199 | -192 | -205 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 6    | 7    | 12   |

|                               |  |    |    |    |
|-------------------------------|--|----|----|----|
| Uncollected payments:         |  |    |    |    |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -2 | -2 | -2 |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -2 | -2 | -2 |
| Memorandum (non-add) entries: |  |    |    |    |
| 3100                          | Obligated balance, start of year .....                       | 6  | 4  | 5  |
| 3200                          | Obligated balance, end of year .....                         | 4  | 5  | 10 |

**Budget authority and outlays, net:**

|   |   |     |     |     |
|---|---|-----|-----|-----|
| Discretionary:                                      |   |     |     |     |
| 4000  | Budget authority, gross .....                                   | 201 | 195 | 201 |
| Outlays, gross:                                     |   |     |     |     |
| 4010  | Outlays from new discretionary authority .....                  | 185 | 185 | 191 |
| 4011  | Outlays from discretionary balances .....                       | 14  | 7   | 14  |
| 4020  | Outlays, gross (total) .....                                    | 199 | 192 | 205 |
| Offsets against gross budget authority and outlays: |   |     |     |     |
| Offsetting collections (collected) from:            |   |     |     |     |
| 4030  | Federal sources .....   | -1  | -1  | -1  |
| 4033  | Non-Federal sources .....                                       | -7  |     |     |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -8  | -1  | -1  |
| 4070  | Budget authority, net (discretionary) .....                     | 193 | 194 | 200 |
| 4080  | Outlays, net (discretionary) .....                              | 191 | 191 | 204 |
| 4180  | Budget authority, net (total) .....                             | 193 | 194 | 200 |
| 4190  | Outlays, net (total) .....                                      | 191 | 191 | 204 |

This appropriation provides funds to administer the laws and regulations pertaining to activities affecting U.S. waters, including wetlands, in accordance with the Rivers and Harbors Appropriation Act of 1899, the Clean Water Act of 1972, and the Marine Protection, Research and Sanctuaries Act of 1972.

The requested funds are needed to review and process permit applications, ensure compliance on permitted sites, and protect important aquatic resources.

**Object Classification (in millions of dollars)**

|   |  |     |     |     |
|---|--|-----|-----|-----|
| Identification code 96-3126-0-1-301     |  |     |     |     |
| 2012 actual      2013 CR      2014 est. |  |     |     |     |
| Direct obligations:                     |  |     |     |     |
| Personnel compensation:                 |  |     |     |     |
| 11.1                                    | Full-time permanent .....                          | 103 | 101 | 101 |
| 11.3                                    | Other than full-time permanent .....               | 2   | 2   | 2   |
| 11.5                                    | Other personnel compensation .....                 | 1   | 1   | 1   |
| 11.9                                    | Total personnel compensation .....                 | 106 | 104 | 104 |
| 12.1                                    | Civilian personnel benefits .....                  | 31  | 31  | 31  |
| 21.0                                    | Travel and transportation of persons .....         | 2   | 2   | 3   |
| 22.0                                    | Transportation of things .....                     | 1   | 1   | 1   |
| 23.1                                    | Rental payments to GSA .....                       | 1   | 1   | 1   |
| 23.3                                    | Communications, utilities, and miscellaneous ..... | 1   | 1   | 1   |
| 24.0                                    | Printing and reproduction .....                    | 1   | 1   | 1   |
| 25.1                                    | Advisory and assistance services .....             | 1   | 1   | 1   |
| 25.2                                    | Other services from non-Federal sources .....      | 14  | 14  | 18  |
| 25.3                                    | Purchase goods & svcs. fm Government accts. ....   | 16  | 16  | 22  |
| 25.7                                    | Operation and maintenance of equipment .....       | 12  | 12  | 17  |
| 26.0                                    | Supplies and materials .....                       | 1   | 1   | 1   |
| 31.0                                    | Equipment .....                                    | 1   | 1   | 1   |
| 32.0                                    | Land and structures .....                          | 2   | 2   | 3   |
| 99.0                                    | Direct obligations .....                           | 190 | 188 | 205 |
| 99.0                                    | Reimbursable obligations .....                     | 8   | 5   | 5   |
| 99.9                                    | Total new obligations .....                        | 198 | 193 | 210 |

**Employment Summary**

|   |   |       |       |       |
|---|---|-------|-------|-------|
| Identification code 96-3126-0-1-301     |   |       |       |       |
| 2012 actual      2013 CR      2014 est. |   |       |       |       |
| 1001                                    | Direct civilian full-time equivalent employment .....       | 1,379 | 1,355 | 1,355 |
| 2001                                    | Reimbursable civilian full-time equivalent employment ..... | 77    | 75    | 75    |

**FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM**

*For expenses necessary to clean up contamination from sites in the United States resulting from work performed as part of the Nation's early atomic energy program, \$104,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

|  |   |     |     |     |
|--|---|-----|-----|-----|
| Identification code 96-3130-0-1-053                            |   |     |     |     |
| 2012 actual      2013 CR      2014 est.                        |   |     |     |     |
| <b>Obligations by program activity:</b>                        |   |     |     |     |
| 0001   | Formerly utilized site remedial action program .....          | 110 | 89  | 107 |
| 0801   | Intra-Corps .....   | 4   | 14  | 12  |
| 0900   | Total new obligations .....                                   | 114 | 103 | 119 |
| <b>Budgetary Resources:</b>                                    |   |     |     |     |
| Unobligated balance:   |   |     |     |     |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 5   | 5   | 21  |
| Budget authority:  |   |     |     |     |
| Appropriations, discretionary:                                 |   |     |     |     |
| 1100   | Appropriation .....   | 109 | 110 | 104 |
| 1160   | Appropriation, discretionary (total) .....                    | 109 | 110 | 104 |
| Spending authority from offsetting collections, discretionary: |   |     |     |     |
| 1700   | Collected .....   | 12  | 9   | 9   |
| 1701   | Change in uncollected payments, Federal sources .....         | -7  |     |     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 5   | 9   | 9   |
| 1900   | Budget authority (total) .....                                | 114 | 119 | 113 |
| 1930   | Total budgetary resources available .....                     | 119 | 124 | 134 |
| Memorandum (non-add) entries:                                  |   |     |     |     |
| 1941   | Unexpired unobligated balance, end of year .....              | 5   | 21  | 15  |

**Change in obligated balance:**

|                               |  |      |      |      |
|-------------------------------|--|------|------|------|
| Unpaid obligations:           |  |      |      |      |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 99   | 48   | 28   |
| 3010                          | Obligations incurred, unexpired accounts .....               | 114  | 103  | 119  |
| 3020                          | Outlays (gross) .....  | -165 | -123 | -115 |
| 3050                          | Unpaid obligations, end of year .....                        | 48   | 28   | 32   |
| Uncollected payments:         |  |      |      |      |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -11  | -4   | -4   |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | 7    |      |      |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | -4   | -4   | -4   |
| Memorandum (non-add) entries: |  |      |      |      |
| 3100                          | Obligated balance, start of year .....                       | 88   | 44   | 24   |
| 3200                          | Obligated balance, end of year .....                         | 44   | 24   | 28   |

**Budget authority and outlays, net:**

|   |   |     |     |     |
|---|---|-----|-----|-----|
| Discretionary:  |   |     |     |     |
| 4000  | Budget authority, gross .....                             | 114 | 119 | 113 |
| Outlays, gross:   |   |     |     |     |
| 4010  | Outlays from new discretionary authority .....            | 61  | 75  | 71  |
| 4011  | Outlays from discretionary balances .....                 | 104 | 48  | 44  |
| 4020  | Outlays, gross (total) .....                              | 165 | 123 | 115 |
| Offsets against gross budget authority and outlays:     |   |     |     |     |
| Offsetting collections (collected) from:                |   |     |     |     |
| 4030  | Federal sources .....                                     | -12 | -9  | -9  |
| Additional offsets against gross budget authority only: |   |     |     |     |
| 4050  | Change in uncollected pymts, Fed sources, unexpired ..... | 7   |     |     |
| 4070  | Budget authority, net (discretionary) .....               | 109 | 110 | 104 |
| 4080  | Outlays, net (discretionary) .....                        | 153 | 114 | 106 |
| 4180  | Budget authority, net (total) .....                       | 109 | 110 | 104 |
| 4190  | Outlays, net (total) .....                                | 153 | 114 | 106 |

This appropriation funds the clean-up of certain low-level radioactive materials and mixed wastes, located mostly at sites contaminated as a result of the Nation's early efforts to develop atomic weapons.

**Object Classification (in millions of dollars)**

|   |   |     |     |     |
|---|---|-----|-----|-----|
| Identification code 96-3130-0-1-053     |   |     |     |     |
| 2012 actual      2013 CR      2014 est. |   |     |     |     |
| Direct obligations:                     |   |     |     |     |
| 11.1                                    | Personnel compensation: Full-time permanent .....   | 8   | 8   | 8   |
| 12.1                                    | Civilian personnel benefits .....                   | 2   | 2   | 2   |
| 25.2                                    | Other services from non-Federal sources .....       | 75  | 60  | 74  |
| 25.3                                    | Other goods and services from Federal sources ..... | 12  | 9   | 11  |
| 32.0                                    | Land and structures .....                           | 13  | 10  | 12  |
| 99.0                                    | Direct obligations .....                            | 110 | 89  | 107 |
| 99.0                                    | Reimbursable obligations .....                      | 4   | 14  | 12  |
| 99.9                                    | Total new obligations .....                         | 114 | 103 | 119 |

**FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM—Continued**  
**Employment Summary**

| Identification code 96–3130–0–1–053                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 97          | 97      | 97        |
| 2001 Reimbursable civilian full-time equivalent employment ..... |             | 3       | 3         |

**EXPENSES**

*For expenses necessary for the supervision and general administration of the civil works program in Corps headquarters and division offices; and for costs of management and operation of the Humphreys Engineer Center Support Activity, the Institute for Water Resources, the United States Army Engineer Research and Development Center, and the United States Army Corps of Engineers Finance Center allocable to the civil works program, \$182,000,000, to remain available until expended, of which not to exceed \$5,000 may be used for official reception and representation purposes and only during the current fiscal year: Provided, That no part of any other appropriation provided in this Act shall be available to fund such activities in Corps headquarters and division offices: Provided further, That any Flood Control and Coastal Emergencies appropriation may be used to fund the supervision and general administration of emergency operations, repairs, and other activities in response to any flood, hurricane, or other natural disaster.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 96–3124–0–1–301  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Office, Chief of Engineers .....  | 84          | 83      | 84        |
| 0002 Division offices .....  | 80          | 82      | 80        |
| 0004 ULA .....   | 3           | 3       | 3         |
| 0005 ACE-IT .....  | 2           | 3       | 2         |
| 0009 Humphreys Engineer Center support activity .....                              | 7           | 7       | 6         |
| 0010 ERDC .....  |             | 1       | 1         |
| 0011 Institute for Water Resources .....   | 7           | 5       | 5         |
| 0012 USACE finance center .....  | 1           | 1       | 1         |
| 0013 GE program accounts .....   | 8           | 4       | 5         |
| 0799 Total direct obligations .....  | 192         | 189     | 187       |
| 0801 Department of Homeland Security .....   | 6           | 6       | 6         |
| 0802 Intra-Corps .....   | 6           | 4       | 4         |
| 0899 Total reimbursable obligations .....  | 12          | 10      | 10        |
| 0900 Total new obligations .....   | 204         | 199     | 197       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                              | 4           | 12      | 19        |
| 1021 Recoveries of prior year unpaid obligations .....                             | 15          |         |           |
| 1050 Unobligated balance (total) .....   | 19          | 12      | 19        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 185         | 186     | 182       |
| 1100 Appropriation .....   |             | 10      |           |
| 1160 Appropriation, discretionary (total) .....                                    | 185         | 196     | 182       |
| Spending authority from offsetting collections, discretionary:                     |             |         |           |
| 1700 Collected .....   | 24          | 10      | 10        |
| 1701 Change in uncollected payments, Federal sources .....                         | –12         |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                 | 12          | 10      | 10        |
| 1900 Budget authority (total) .....  | 197         | 206     | 192       |
| 1930 Total budgetary resources available .....                                     | 216         | 218     | 211       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unobligated balance carried forward, end of year (Internal Corps MIPRs) ..... | 12          | 19      | 14        |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                              | 73          | 50      | 43        |

|   |      |      |      |
|---|------|------|------|
| 3010 Obligations incurred, unexpired accounts .....               | 204  | 199  | 197  |
| 3020 Outlays (gross) .....  | –212 | –206 | –204 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –15  |      |      |
| 3050 Unpaid obligations, end of year .....                        | 50   | 43   | 36   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –47  | –35  | –35  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 12   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –35  | –35  | –35  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 26   | 15   | 8    |
| 3200 Obligated balance, end of year .....                         | 15   | 8    | 1    |

**Budget authority and outlays, net:**

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 197 | 206 | 192 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 172 | 158 | 153 |
| 4011 Outlays from discretionary balances .....                 | 40  | 48  | 51  |
| 4020 Outlays, gross (total) .....                              | 212 | 206 | 204 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | –24 | –10 | –10 |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 12  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 185 | 196 | 182 |
| 4080 Outlays, net (discretionary) .....                        | 188 | 196 | 194 |
| 4180 Budget authority, net (total) .....                       | 185 | 196 | 182 |
| 4190 Outlays, net (total) .....                                | 188 | 196 | 194 |

This appropriation funds the command and control, policy and guidance, program management, national and regional coordination, and quality assurance for the civil works program. These activities are carried out by Corps headquarters and eight division offices:

*Corps Headquarters.*—This office provides executive direction and management for the civil works program.

*Division Offices.*—Eight of the nine Corps division offices provide quality assurance for and supervise work of the 38 district offices that have civil works responsibilities.

This appropriation also funds certain costs allocable to the civil works program of these Corps-wide support facilities:

*Institute for Water Resources.*—This institute performs studies and analyses on a wide range of water resources issues and develops project planning techniques.

*Engineering Research and Development Center.*—This center operates seven labs and conducts research and development for the Corps and other agencies.

*Finance Center.*—This center supports all Corps finance and accounting activities.

*Humphreys Engineer Center Support Activity.*—This field operating activity of the Corps provides day-to-day operational support services to the Corps.

**Object Classification** (in millions of dollars)

| Identification code 96–3124–0–1–301                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 103         | 103     | 103       |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                  | 1           | 1       | 1         |
| 11.8 Special personal services payments .....            | 5           | 5       | 5         |
| 11.9 Total personnel compensation .....                  | 110         | 110     | 110       |
| 12.1 Civilian personnel benefits .....                   | 30          | 31      | 31        |
| 21.0 Travel and transportation of persons .....          | 5           | 4       | 4         |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous .....  | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 3           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 39          | 37      | 35        |
| 99.0 Direct obligations .....                            | 192         | 189     | 187       |
| 99.0 Reimbursable obligations .....                      | 12          | 10      | 10        |



|      |                             |     |     |     |
|------|-----------------------------|-----|-----|-----|
| 99.9 | Total new obligations ..... | 204 | 199 | 197 |
|------|-----------------------------|-----|-----|-----|

**Employment Summary**

| Identification code 96-3124-0-1-301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 910         | 895     | 895       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 13          |         |           |

**WASHINGTON AQUEDUCT****Program and Financing** (in millions of dollars)

| Identification code 96-3128-0-1-301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 1           | 1       | 1         |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -1          | -1      | -1        |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Mandatory:  |             |         |           |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | -1          | -1      | -1        |
| 4180 Budget authority, net (total) .....  | -1          | -1      | -1        |
| 4190 Outlays, net (total) .....   | -1          | -1      | -1        |

The Washington Aqueduct supplies drinking water to customers in three jurisdictions: the District of Columbia; Arlington County, Virginia; and the city of Falls Church, Virginia. Although the Aqueduct is owned and operated by the Corps, the customers finance the operation, maintenance, and capital improvement of Aqueduct facilities. The Aqueduct's customers also pay in advance the full cost of capital improvements.

**PERMANENT APPROPRIATIONS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 96-9921-0-2-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 24          | 23      | 23        |
| Receipts:   |             |         |           |
| 0200 Licenses under Federal Power Act, Improvements of Navigable Waters, Maintenance and Operation of Dams, Etc. .... | 8           | 8       | 8         |
| 0220 Receipts from Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes .....                  | 12          | 12      | 12        |
| 0299 Total receipts and collections .....   | 20          | 20      | 20        |
| 0400 Total: Balances and collections .....  | 44          | 43      | 43        |
| Appropriations:   |             |         |           |
| 0500 Permanent Appropriations .....   | -21         | -20     | -20       |
| 0799 Balance, end of year .....   | 23          | 23      | 23        |

**Program and Financing** (in millions of dollars)

| Identification code 96-9921-0-2-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0002 Maintenance and operation of dams and other improvements of navigable waters ..... | 22          | 20      | 20        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                   | 4           | 3       | 3         |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....  | 21          | 20      | 20        |
| 1260 Appropriations, mandatory (total) .....  | 21          | 20      | 20        |
| 1930 Total budgetary resources available .....  | 25          | 23      | 23        |

|      |  |   |   |   |
|------|--|---|---|---|
| 1941 | Memorandum (non-add) entries:                    |   |   |   |
|      | Unexpired unobligated balance, end of year ..... | 3 | 3 | 3 |

**Change in obligated balance:**

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 3000 | Unpaid obligations, brought forward, Oct 1 ..... | 2   | 6   | 4   |
| 3010 | Obligations incurred, unexpired accounts .....   | 22  | 20  | 20  |
| 3020 | Outlays (gross) .....                            | -18 | -22 | -22 |
| 3050 | Unpaid obligations, end of year .....            | 6   | 4   | 2   |
|      | Memorandum (non-add) entries:                    |     |     |     |
| 3100 | Obligated balance, start of year .....           | 2   | 6   | 4   |
| 3200 | Obligated balance, end of year .....             | 6   | 4   | 2   |

**Budget authority and outlays, net:**

|      |  |    |    |    |
|------|--|----|----|----|
| 4090 | Mandatory:                                 |    |    |    |
|      | Budget authority, gross .....              | 21 | 20 | 20 |
| 4100 | Outlays, gross:                            |    |    |    |
|      | Outlays from new mandatory authority ..... | 11 | 20 | 20 |
| 4101 | Outlays from mandatory balances .....      | 7  | 2  | 2  |
| 4110 | Outlays, gross (total) .....               | 18 | 22 | 22 |
| 4180 | Budget authority, net (total) .....        | 21 | 20 | 20 |
| 4190 | Outlays, net (total) .....                 | 18 | 22 | 22 |

This account covers three permanent appropriations:

**Hydraulic mining debris reservoir.**—The Corps uses fees collected from Pacific Gas and Electric Company to help maintain the Eglebright Dam, Yuba River, California, mine debris restraining works and associated hydropower generation facilities. (33 U.S.C. 683)

**Maintenance and operation of dams and other improvements of navigable waters.**—The Corps uses its share of certain fees levied by the Federal Energy Regulatory Commission (on the private use of Federal property, including facilities and land; private construction and operation of water management and appurtenant facilities; and private benefit from headwater improvement by others) for construction, operation, and maintenance of Federal water management facilities. (16 U.S.C. 810(a))

**Payments to States.**—In lieu of taxes, the Corps pays to States three-fourths of the rent received from the lease of Federal lands acquired for flood control, navigation, and allied purposes. (33 U.S.C. 701c-3)

**Object Classification** (in millions of dollars)

| Identification code 96-9921-0-2-999                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 21          | 19      | 19        |
| 99.9 Total new obligations .....                       | 22          | 20      | 20        |

**Employment Summary**

| Identification code 96-9921-0-2-999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 7           | 10      | 10        |

**REVOLVING FUND****Program and Financing** (in millions of dollars)

| Identification code 96-4902-0-4-301                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0801 Plant and equipment services .....              | 1,123       | 1,179   | 1,238     |
| 0802 Warehousing (GPRA) .....                        | 141         | 38      | 40        |
| 0803 Shop and facility services .....                | 4,909       | 5,153   | 5,411     |
| 0804 General administrative services .....           | 2,241       | 2,354   | 2,472     |
| 0809 Reimbursable program activities, subtotal ..... | 8,414       | 8,724   | 9,161     |
| 0820 Land and structures .....                       | 23          | 11      | 4         |
| 0821 Dredges .....                                   | 23          | 5       | 1         |
| 0822 Other floating plant .....                      | 4           | 36      | 46        |
| 0823 Land-based equipment .....                      | 1           | 7       | 11        |

**REVOLVING FUND—Continued**  
**Program and Financing—Continued**

| Identification code 96-4902-0-4-301                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0824 Tools, office furniture, and equipment .....                    | 16          | 20      | 12        |
| 0829 Reimbursable program activities, subtotal .....                 | 67          | 79      | 74        |
| 0900 Total new obligations .....                                     | 8,481       | 8,803   | 9,235     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 236         | 502     | 291       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 8,790       | 8,592   | 8,944     |
| 1801 Change in uncollected payments, Federal sources .....           | -43         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 8,747       | 8,592   | 8,944     |
| 1930 Total budgetary resources available .....                       | 8,983       | 9,094   | 9,235     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 502         | 291     |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1,432       | 1,216   | 1,427     |
| 3010 Obligations incurred, unexpired accounts .....                  | 8,481       | 8,803   | 9,235     |
| 3020 Outlays (gross) .....   | -8,697      | -8,592  | -8,873    |
| 3050 Unpaid obligations, end of year .....                           | 1,216       | 1,427   | 1,789     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -183        | -140    | -140      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 43          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -140        | -140    | -140      |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1,249       | 1,076   | 1,287     |
| 3200 Obligated balance, end of year .....                            | 1,076       | 1,287   | 1,649     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 8,747       | 8,592   | 8,944     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 7,021       | 6,874   | 7,155     |
| 4101 Outlays from mandatory balances .....                           | 1,676       | 1,718   | 1,718     |
| 4110 Outlays, gross (total) .....                                    | 8,697       | 8,592   | 8,873     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   | -8,754      | -8,556  | -8,908    |
| 4123 Non-Federal sources .....                                       | -36         | -36     | -36       |
| 4130 Offsets against gross budget authority and outlays (total) .... | -8,790      | -8,592  | -8,944    |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | 43          |         |           |
| 4170 Outlays, net (mandatory) .....                                  | -93         |         | -71       |
| 4190 Outlays, net (total) .....                                      | -93         |         | -71       |

This revolving fund provides for the acquisition, operation, and maintenance of plant and equipment used by the civil works program and for temporary financing of services chargeable to the civil works program. The fund also initially finances district operating expenses which the districts later reimburse with project-specific funds. In addition, payments are made into the fund when other agencies or entities use plant and equipment acquired by the fund.

**Object Classification (in millions of dollars)**

| Identification code 96-4902-0-4-301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 21.0 Travel and transportation of persons .....                 | 13          | 13      | 13        |
| 22.0 Transportation of things .....                             | 13          | 13      | 14        |
| 23.1 Rental payments to GSA .....                               | 14          | 15      | 16        |
| 23.2 Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 352         | 366     | 384       |
| 24.0 Printing and reproduction .....                            | 17          | 18      | 18        |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 5,390       | 5,596   | 5,870     |
| 25.3 Other goods and services from Federal sources .....        | 986         | 1,023   | 1,073     |
| 25.7 Operation and maintenance of equipment .....               | 547         | 568     | 596       |
| 26.0 Supplies and materials .....                               | 515         | 534     | 561       |
| 31.0 Equipment .....  | 328         | 340     | 357       |

|   |       |       |       |
|---|-------|-------|-------|
| 32.0 Land and structures .....              | 299   | 310   | 326   |
| 42.0 Insurance claims and indemnities ..... | 2     | 2     | 2     |
| 44.0 Refunds .....                          | 2     | 2     | 2     |
| 99.9 Total new obligations .....            | 8,481 | 8,803 | 9,235 |

**Trust Funds**

**HARBOR MAINTENANCE TRUST FUND**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 96-8863-0-7-301                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                 | 6,280       | 6,958   | 7,891     |
| Receipts:   |             |         |           |
| 0200 User Fees, Harbor Maintenance Trust Fund .....               | 1,540       | 1,660   | 1,758     |
| 0240 Earnings on Investments, Harbor Maintenance Trust Fund ..... | 47          | 187     | 247       |
| 0299 Total receipts and collections .....                         | 1,587       | 1,847   | 2,005     |
| 0400 Total: Balances and collections .....                        | 7,867       | 8,805   | 9,896     |
| Appropriations:   |             |         |           |
| 0500 Operations and Maintenance .....                             | -32         | -32     | -33       |
| 0501 Harbor Maintenance Trust Fund .....                          | -794        | -800    | -834      |
| 0502 Harbor Maintenance Trust Fund .....                          | -80         | -80     | -54       |
| 0503 Harbor Maintenance Trust Fund .....                          | -3          | -2      | -2        |
| 0599 Total appropriations .....                                   | -909        | -914    | -923      |
| 0799 Balance, end of year .....                                   | 6,958       | 7,891   | 8,973     |

**Program and Financing (in millions of dollars)**

| Identification code 96-8863-0-7-301                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Harbor maintenance trust fund .....                         | 877         | 882     | 890       |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1101 Appropriation (96-3123) .....                               | 794         | 800     | 834       |
| 1101 Appropriation (96-3122) .....                               | 80          | 80      | 54        |
| 1101 Appropriation (96-3112) .....                               | 3           | 2       | 2         |
| 1160 Appropriation, discretionary (total) .....                  | 877         | 882     | 890       |
| 1930 Total budgetary resources available .....                   | 877         | 882     | 890       |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              | 877         | 882     | 890       |
| 3020 Outlays (gross) .....                                       | -877        | -882    | -890      |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 877         | 882     | 890       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....              | 877         | 882     | 890       |
| 4180 Budget authority, net (total) .....                         | 877         | 882     | 890       |
| 4190 Outlays, net (total) .....                                  | 877         | 882     | 890       |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 6,203       | 6,886   | 7,319     |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 6,886       | 7,319   | 7,569     |

The Harbor Maintenance Trust Fund is authorized under the Harbor Maintenance Revenue Act of 1986 (P.L. 99-662, Title XIV), as amended. Revenue is derived from a 0.125 percent ad valorem tax imposed upon commercial users of specified U.S. ports, Saint Lawrence Seaway tolls, and investment interest. The Budget shows all funding provided through the Harbor Maintenance Trust Fund as transferred to and executed in the Construction, Operation and Maintenance, and Mississippi River and Tributaries accounts.

The Harbor Maintenance Revenue Act authorizes expenditures from this fund to finance up to 100 percent of eligible Corps harbor operation and maintenance costs, including the operation and maintenance of Great Lakes navigation projects. The fund

fully finances eligible operation and maintenance costs of the Saint Lawrence Seaway Development Corporation. Section 201 of the Water Resources Development Act of 1996 (P.L. 104–303) authorizes the fund to pay the Federal share of the costs for the construction of dredged material disposal facilities that are necessary for the operation and maintenance of coastal or inland harbors, the dredging and disposal of contaminated sediments that are in or affect the operation and maintenance of Federal navigation channels, the mitigation of impacts resulting from Federal navigation operation and maintenance activities, and the operation and maintenance of dredged material disposal facilities.

The North American Free Trade Agreement Implementation Act (P.L. 103–182, section 683) authorizes payment from the fund of administrative expenses incurred by the Department of the Treasury, the Corps, and the Department of Commerce related to administration of the harbor maintenance tax, but not to exceed \$5 million in any fiscal year.

In 1998, the U.S. Supreme Court excluded all U.S. exports from the harbor maintenance tax. The Court found that the tax violated Article I, section 9, clause 5 of the constitution because the value of the cargo, which is the basis for calculating this tax, does not fairly match the use of port services and facilities by exporters.

#### Object Classification (in millions of dollars)

| Identification code 96–8863–0–7–301                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 94.0 Financial transfers (Operation & Maintenance) ..... | 794         | 799     | 834       |
| 94.0 Financial Transfers (Construction) .....            | 80          | 80      | 54        |
| 94.0 Financial transfers (MR&T) .....                    | 3           | 3       | 2         |
| 99.9 Total new obligations .....                         | 877         | 882     | 890       |

#### INLAND WATERWAYS TRUST FUND

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 96–8861–0–7–301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 32          | 46      | 64        |
| Receipts:   |             |         |           |
| 0200 Transfer from General Fund, Inland Waterways Revenue Act Taxes .....                             | 90          | 87      | 90        |
| 0201 Transfer from General Fund, Inland Waterways Revenue Act Taxes .....                             |             |         | 2         |
| 0202 Users Fees, Inland Waterways Trust Fund .....  |             |         | 80        |
| 0240 Interest and Profits on Investments in Public Debt Securities, Inland Waterways Trust Fund ..... |             | 8       | 10        |
| 0299 Total receipts and collections .....   | 90          | 95      | 182       |
| 0400 Total: Balances and collections .....  | 122         | 141     | 246       |
| Appropriations:   |             |         |           |
| 0500 Inland Waterways Trust Fund .....  | –76         | –77     | –94       |
| 0799 Balance, end of year .....   | 46          | 64      | 152       |

##### Program and Financing (in millions of dollars)

| Identification code 96–8861–0–7–301                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Inland waterways trust fund .....                | 75          | 77      | 85        |
| 0900 Total new obligations (object class 94.0) .....  | 75          | 77      | 85        |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (96–3122) .....                    | 76          | 77      | 94        |

|   |    |    |    |
|---|----|----|----|
| 1160 Appropriation, discretionary (total) .....       | 76 | 77 | 94 |
| 1930 Total budgetary resources available .....        | 76 | 78 | 95 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 1  | 1  | 10 |

##### Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                               | 13  | 16  | 14  |
| 3001 Adj to unpaid obligations, SOY to Construction for 2011 budget authority ..... | 9   |     |     |
| 3010 Obligations incurred, unexpired accounts .....                                 | 75  | 77  | 85  |
| 3020 Outlays (gross) .....  | –81 | –79 | –71 |
| 3050 Unpaid obligations, end of year .....  | 16  | 14  | 28  |
| Memorandum (non-add) entries:   |     |     |     |
| 3100 Obligated balance, start of year .....   | 22  | 16  | 14  |
| 3200 Obligated balance, end of year .....   | 16  | 14  | 28  |

##### Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 76 | 77 | 94 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... |    | 62 | 56 |
| 4011 Outlays from discretionary balances .....      | 81 | 17 | 15 |
| 4020 Outlays, gross (total) .....                   | 81 | 79 | 71 |
| 4180 Budget authority, net (total) .....            | 76 | 77 | 94 |
| 4190 Outlays, net (total) .....                     | 81 | 79 | 71 |

##### Memorandum (non-add) entries:

|  |    |    |    |
|--|----|----|----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 42 | 49 | 45 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 49 | 45 | 50 |

The Inland Waterways Trust Fund is authorized under the Inland Waterways Revenue Act of 1978 (P.L. 95–502), as amended by the Water Resources Development Act of 1986 (P.L. 99–662). The fund is used to pay one half of the costs associated with the construction, replacement, rehabilitation, and expansion of Federal inland waterways projects.

Revenue currently is derived from an excise tax imposed on diesel fuel for commercial vessels on most of the inland waterways, plus investment interest. Funding provided through the Inland Waterways Trust Fund is transferred to and executed in the Construction account.

#### RIVERS AND HARBORS CONTRIBUTED FUNDS

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 96–8862–0–7–301  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| Receipts:  |             |         |           |
| 0220 Contributions, Rivers and Harbors, Other Than Port and Harbor User Fees ..... | 400         | 300     | 300       |
| 0400 Total: Balances and collections .....   | 400         | 300     | 300       |
| Appropriations:  |             |         |           |
| 0500 Rivers and Harbors Contributed Funds .....                                    | –400        | –300    | –300      |
| 0799 Balance, end of year .....  |             |         |           |

##### Program and Financing (in millions of dollars)

| Identification code 96–8862–0–7–301   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:  |             |         |           |
| 0001 Investigations: where required for an authorized Federal project .....     | 19          | 19      | 19        |
| 0002 Investigations: where not required for an authorized Federal project ..... | 8           | 8       | 8         |
| 0003 Construction: where required for an authorized Federal project .....       | 203         | 203     | 203       |
| 0004 Construction: where not required for an authorized Federal project .....   | 18          | 18      | 18        |
| 0005 O&M: where required for an authorized Federal project .....                | 20          | 20      | 20        |
| 0006 O&M: where not required for an authorized Federal project .....            | 5           | 5       | 5         |
| 0007 MR&T: where required for an authorized Federal project .....               | 25          | 25      | 25        |
| 0008 MR&T: where not required for an authorized Federal project .....           | 9           | 9       | 9         |
| 0009 Other .....  | 22          | 22      | 22        |

RIVERS AND HARBORS CONTRIBUTED FUNDS—Continued  
Program and Financing—Continued

| Identification code 96-8862-0-7-301                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0799 Total direct obligations .....                                | 329         | 329     | 329       |
| 0801 Non-Federal Agencies .....                                    | 3           | 3       | 3         |
| 0900 Total new obligations .....                                   | 332         | 332     | 332       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 593         | 663     | 631       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 400         | 300     | 300       |
| 1260 Appropriations, mandatory (total) .....                       | 400         | 300     | 300       |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 2           |         |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2           |         |           |
| 1900 Budget authority (total) .....                                | 402         | 300     | 300       |
| 1930 Total budgetary resources available .....                     | 995         | 963     | 931       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 663         | 631     | 599       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 474         | 316     | 156       |
| 3010 Obligations incurred, unexpired accounts .....                | 332         | 332     | 332       |
| 3020 Outlays (gross) .....   | -490        | -492    | -409      |
| 3050 Unpaid obligations, end of year .....                         | 316         | 156     | 79        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 474         | 316     | 156       |
| 3200 Obligated balance, end of year .....                          | 316         | 156     | 79        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 402         | 300     | 300       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    |             | 90      | 90        |
| 4101 Outlays from mandatory balances .....                         | 490         | 402     | 319       |
| 4110 Outlays, gross (total) .....                                  | 490         | 492     | 409       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -2          |         |           |
| 4180 Budget authority, net (total) .....                           | 400         | 300     | 300       |
| 4190 Outlays, net (total) .....                                    | 488         | 492     | 409       |

Funds are contributed by non-Federal interests for use on improvements of rivers and harbors. This includes cost-sharing contributions for the study, design, construction, and operation and maintenance of authorized Federal projects, as well as contributions of 100 percent of the costs of certain other work.

## Object Classification (in millions of dollars)

| Identification code 96-8862-0-7-301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 51          | 35      | 35        |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 53          | 37      | 37        |
| 12.1 Civilian personnel benefits .....                          | 15          | 10      | 10        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 13          | 14      | 14        |
| 25.3 Other goods and services from Federal sources .....        | 35          | 37      | 37        |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 32.0 Land and structures .....                                  | 207         | 225     | 225       |
| 99.0 Direct obligations .....                                   | 329         | 329     | 329       |
| 99.0 Reimbursable obligations .....                             | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 332         | 332     | 332       |

## Employment Summary

| Identification code 96-8862-0-7-301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 685         | 475     | 475       |

## COASTAL WETLANDS RESTORATION TRUST FUND

## Program and Financing (in millions of dollars)

| Identification code 96-8333-0-7-301                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Coastal wetlands restoration trust fund .....              | 71          | 85      | 75        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 166         | 174     | 174       |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1221 Appropriations transferred from other accts [14-8151] .... | 79          | 85      | 77        |
| 1260 Appropriations, mandatory (total) .....                    | 79          | 85      | 77        |
| 1930 Total budgetary resources available .....                  | 245         | 259     | 251       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 174         | 174     | 176       |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 259         | 296     | 258       |
| 3010 Obligations incurred, unexpired accounts .....             | 71          | 85      | 75        |
| 3020 Outlays (gross) .....                                      | -34         | -123    | -119      |
| 3050 Unpaid obligations, end of year .....                      | 296         | 258     | 214       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 259         | 296     | 258       |
| 3200 Obligated balance, end of year .....                       | 296         | 258     | 214       |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 79          | 85      | 77        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 |             | 60      | 54        |
| 4101 Outlays from mandatory balances .....                      | 34          | 63      | 65        |
| 4110 Outlays, gross (total) .....                               | 34          | 123     | 119       |
| 4180 Budget authority, net (total) .....                        | 79          | 85      | 77        |
| 4190 Outlays, net (total) .....                                 | 34          | 123     | 119       |

The Coastal Wetlands Planning, Protection and Restoration Act (P.L. 101-646, Title III, as amended) directs the Secretary of the Interior to distribute to the Coastal Wetlands Restoration Trust Fund a portion of the amounts appropriated each fiscal year from the Sport Fish Restoration Account. The Louisiana Coastal Wetlands Conservation and Restoration Task Force, which is an interagency task force consisting of the Corps, Environmental Protection Agency, Fish and Wildlife Service, Natural Resources Conservation Service, National Marine Fisheries Service, and the State of Louisiana, uses these funds to plan, set priorities, and carry out projects for the creation, protection, and restoration of coastal wetlands in the State of Louisiana.

## Object Classification (in millions of dollars)

| Identification code 96-8333-0-7-301                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 8           | 9       | 9         |
| 25.3 Other goods and services from Federal sources ..... | 62          | 75      | 65        |
| 99.9 Total new obligations .....                         | 71          | 85      | 75        |

**Employment Summary**

| Identification code 96–8333–0–7–301                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 9           | 10      | 10        |

**SOUTH DAKOTA TERRESTRIAL WILDLIFE HABITAT RESTORATION TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 96–8217–0–7–306  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 110         | 110     | 109       |
| Receipts:  |             |         |           |
| 0240 Earnings on Investments, South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund ..... | 3           | 3       | 3         |
| 0400 Total: Balances and collections .....   | 113         | 113     | 112       |
| Appropriations:  |             |         |           |
| 0500 South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund .....                          | –3          | –4      | –4        |
| 0799 Balance, end of year .....  | 110         | 109     | 108       |

**Program and Financing** (in millions of dollars)

| Identification code 96–8217–0–7–306                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Wildlife habitat restoration .....                          | 8           | 7       | 5         |
| 0900 Total new obligations (object class 25.2) .....             | 8           | 7       | 5         |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 21          | 16      | 13        |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 3           | 4       | 4         |
| 1260 Appropriations, mandatory (total) .....                     | 3           | 4       | 4         |
| 1930 Total budgetary resources available .....                   | 24          | 20      | 17        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 16          | 13      | 12        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              | 8           | 7       | 5         |
| 3020 Outlays (gross) .....                                       | –8          | –7      | –5        |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 3           | 4       | 4         |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....                       | 8           | 7       | 5         |
| 4180 Budget authority, net (total) .....                         | 3           | 4       | 4         |
| 4190 Outlays, net (total) .....                                  | 8           | 7       | 5         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 132         | 127     | 123       |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 127         | 123     | 122       |

This fund, authorized in the Omnibus Appropriations Act of 1999 (P.L. 105–277) as amended by the Water Resources Development Act of 1999 (P.L. 106–53), supports wildlife habitat restoration efforts undertaken by the State of South Dakota. The establishment of this fund satisfies the Federal obligation under the Fish and Wildlife Coordination Act (16 U.S.C. 1661 et seq.) to mitigate for the loss of habitat due to flooding from the Oahe

and Big Bend projects, which the Corps constructed under the Pick-Sloan Missouri River Basin program.

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 96–143500 General Fund Proprietary Interest Receipts, not Otherwise Classified .....             | 20          | 20      | 20        |
| 96–322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 72          | 90      | 90        |
| General Fund Offsetting receipts from the public .....   | 92          | 110     | 110       |
| Intragovernmental payments:  |             |         |           |
| 96–388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... | 1           | 1       | 1         |
| General Fund Intragovernmental payments .....  | 1           | 1       | 1         |

**ALLOCATIONS RECEIVED FROM OTHER ACCOUNTS**

Note.—Obligations incurred under allocations from other accounts are shown in the schedules of the parent appropriation as follows:

State and Private Forestry, Forest Service, Department of Agriculture.  
Construction, National Park Service, Department of the Interior.  
Permit Processing Fund, Bureau of Land Management, Department of the Interior.  
Emergency Relief Program, Federal Highway Administration, Department of Transportation.  
Surface Transportation Priorities, Federal Highway Administration, Department of Transportation.  
Federal Aid to Highways (Liquidation of Contract Authorization), Federal Highway Administration, Department of Transportation.  
Bonneville Power Administration Fund, Power Marketing Administration, Department of Energy.

**ADMINISTRATIVE PROVISION**

*The Revolving Fund, Corps of Engineers, shall be available during the current fiscal year for purchase (not to exceed 100 for replacement only) and hire of passenger motor vehicles for the civil works program.*

**GENERAL PROVISIONS—CORPS OF ENGINEERS—CIVIL**

(INCLUDING CANCELLATIONS AND TRANSFERS OF FUNDS)

**SEC. 101. (a) None of the funds provided in this title shall be available for obligation or expenditure through a reprogramming of funds that:**

- (1) creates or initiates a new program, project, or activity;
- (2) eliminates a program, project, or activity;
- (3) increases funds or personnel for any program, project, or activity for which funds have been denied or restricted by this Act, unless prior notice is transmitted to the House and Senate Committees on Appropriations;

(4) proposes to use funds directed for a specific activity for a different purpose, unless prior notice is transmitted to the House and Senate Committees on Appropriations;

(5) augments or reduces existing programs, projects or activities in excess of the amounts contained in subsections 6 through 10, unless prior notice is transmitted to the House and Senate Committees on Appropriations;

(6) **INVESTIGATIONS.**—For a base level over \$100,000, reprogramming of 25 percent of the base amount up to a limit of \$150,000 per project, study or activity is allowed: Provided, That for a base level less than \$100,000, the reprogramming limit is \$25,000: Provided further, That up to \$25,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(7) **CONSTRUCTION.**— For a base level over \$2,000,000, reprogramming of 15 percent of the base amount up to a limit of \$3,000,000 per project, study or activity is allowed: Provided, That for a base level less than \$2,000,000, the reprogramming limit is \$300,000: Provided

further, That up to \$3,000,000 may be reprogrammed for settled contractor claims, changed conditions, or real estate deficiency judgments: Provided further, That up to \$300,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation for existing obligations and concomitant administrative expenses;

(8) OPERATION AND MAINTENANCE.—Unlimited reprogramming authority is granted in order for the Corps to be able to respond to emergencies: Provided, That the Chief of Engineers must notify the House and Senate Committees on Appropriations of these emergency actions as soon thereafter as practicable: Provided further, That for a base level over \$1,000,000, reprogramming of 15 percent of the base amount a limit of \$5,000,000 per project, study or activity is allowed: Provided further, That for a base level less than \$1,000,000, the reprogramming limit is \$150,000: Provided further, That \$150,000 may be reprogrammed into any continuing study or activity that did not receive an appropriation;

(9) MISSISSIPPI RIVER AND TRIBUTARIES.—The same reprogramming guidelines for the Investigations, Construction, and Operation and Maintenance portions of the Mississippi River and Tributaries Account as listed above; and

(10) FORMERLY UTILIZED SITES REMEDIAL ACTION PROGRAM.—Reprogramming of up to 15 percent of the base of the receiving project is permitted.

(b) DE MINIMIS REPROGRAMMINGS.—In no case should a reprogramming for less than \$50,000 be submitted to the House and Senate Committees on Appropriations.

(c) CONTINUING AUTHORITIES PROGRAM.—Subsection (a)(1) shall not apply to any project or activity funded under the continuing authorities program.

(d) Not later than 60 days after the date of enactment of this Act, the Corps of Engineers shall submit a report to the House and Senate Committees on Appropriations to establish the baseline for application of reprogramming and transfer authorities for the current fiscal year: Provided, That the report shall include:

(1) A table for each appropriation with a separate column to display the President's budget request, adjustments made by Congress, adjustments due to enacted rescissions, if appropriate, and the fiscal year enacted level; and

(2) A delineation in the table for each appropriation both by object class and program, project and activity as detailed in the budget appendix for the respective appropriations.

SEC. 102. None of the funds made available in this title may be used to award or modify any contract that commits funds beyond the amounts appropriated for that program, project, or activity that remain unobligated, except that such amounts may include any funds that have been made available through reprogramming pursuant to section 101.

SEC. 103. None of the funds in this Act, or previous Acts, making funds available for Energy and Water Development, shall be used to award any continuing contract that commits additional funding from the Inland Waterways Trust Fund unless or until such time that a long-term mechanism to enhance revenues in this Fund sufficient to meet the cost-sharing authorized in the Water Resources Development Act of 1986 (Public Law 99-662) is enacted.

SEC. 104. During the fiscal year period covered by this Act, the Secretary of the Army is authorized to implement measures recommended in the efficacy study authorized under section 3061 of the Water Resources Development Act of 2007 (Public Law 110-114; 121 Stat. 1121) or in interim reports, with such modifications or emergency measures as the Secretary of the Army determines to be appropriate, to prevent aquatic nuisance species from dispersing into the Great Lakes by way of any hydrologic connection between the Great Lakes and the Mississippi River Basin.

SEC. 105. The Secretary of the Army may transfer to the Fish and Wildlife Service, and the Fish and Wildlife Service may accept and expend,

such funds as the Secretary and Director of the Fish and Wildlife Service determine, after consultation, are necessary to mitigate for fisheries lost due to Corps of Engineers projects.

SEC. 106. Section 3(a)(6) of Public Law 100-676 is amended by striking both occurrences of "\$775,000,000" and inserting in lieu thereof, "\$2,918,000,000".

SEC. 107. (a) Section 1001(17)(A) of Public Law 110-114 is amended—

(1) by striking "\$125,270,000" and inserting in lieu thereof, "\$152,510,000";

(2) by striking "\$75,140,000" and inserting in lieu thereof, "\$92,007,000"; and

(3) by striking "\$50,130,000" and inserting in lieu thereof, "\$60,503,000".

(b) The amendments made by subsection (a) shall take effect as of November 8, 2007.

SEC. 108. Section 401(a) of Public Law 99-662 under the heading "Little Calumet River Basin (Cady Marsh Ditch), Indiana", as amended by section 127 of Public Law 109-103, is further amended—

(a) by striking "\$198,000,000" and inserting in lieu thereof, "\$269,988,000";

(b) by striking "\$148,500,000" and inserting in lieu thereof, "\$202,800,000"; and

(c) by striking "\$49,500,000" and inserting in lieu thereof, "\$67,188,000".

SEC. 109. The language under the heading "Construction" in chapter 4 of title X of division A of Public Law 113-2 is amended by striking the fifth and sixth provisos and inserting in lieu thereof, "Provided further, That local interests shall provide all lands, easements, rights-of-way, relocations and disposal areas (LERRDs) necessary for projects using these funds at no cost to the Government: Provided further, That cost sharing for implementation of any projects using these funds shall be 90 percent Federal and 10 percent non-Federal exclusive of LERRDs."

SEC. 110.

(a) Of the funds previously made available for "Corps of Engineers—Civil, Department of the Army" that remain unobligated as of the effective date of this Act, \$100,000,000 is hereby cancelled, to be derived from—

(1) all such funds specified in law under the "Construction, General" heading for Geneva, Alabama; technical studies of individual ditch systems identified by the State of Hawaii; Missouri River Restoration Project; and Bowie County Local Flood Protection, Red River, Texas;

(2) all such funds specified in law under the "Operation and Maintenance, General" heading to design and construct a landing at Gunterville, Alabama; and for Hansen Dam Recreation Area, California;

(3) all such funds specified in law under the "Construction" heading for Hawaii Water Management Project; rural health care facility on the Fort Berthold Reservation of the Three Affiliated Tribes, North Dakota; Dallas Floodway Extension, Texas; Greenbrier Basin, Marlinton, West Virginia, Local Protection Project; and Waterbury Dam, Vermont;

(4) all such funds specified in law under the "Operation and Maintenance" heading to reimburse the City of Glen Cove, New York for costs associated with maintenance dredging of Glen Cove Creek; and

(5) such funds provided under the "Construction, General", "Flood Control, Mississippi River and Tributaries, Arkansas, Illinois, Kentucky, Louisiana, Mississippi, Missouri, and Tennessee", "General Investigations", "Construction", "Investigations", and "Mississippi River and Tributaries" headings.

(b) None of the funds under subsection (a) may be cancelled from amounts that were designated by the Congress as an emergency requirement pursuant to the Concurrent Resolution on the Budget or the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

## OTHER DEFENSE—CIVIL PROGRAMS

### MILITARY RETIREMENT

#### *Federal Funds*

#### PAYMENT TO MILITARY RETIREMENT FUND

##### Program and Financing (in millions of dollars)

| Identification code 97-0040-0-1-054       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Treasury payment to Military Retirement Fund ..... | 64,751      | 67,733  | 70,272    |
| 0900                                      | Total new obligations (object class 13.0) .....    | 64,751      | 67,733  | 70,272    |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, mandatory:                |  |             |         |           |
| 1200                                      | Appropriation .....                                | 64,751      | 67,733  | 70,272    |
| 1260                                      | Appropriations, mandatory (total) .....            | 64,751      | 67,733  | 70,272    |
| 1930                                      | Total budgetary resources available .....          | 64,751      | 67,733  | 70,272    |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....     | 64,751      | 67,733  | 70,272    |
| 3020                                      | Outlays (gross) .....                              | -64,751     | -67,733 | -70,272   |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Mandatory:                                |  |             |         |           |
| 4090                                      | Budget authority, gross .....                      | 64,751      | 67,733  | 70,272    |
| Outlays, gross:                           |  |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....         | 64,751      | 67,733  | 70,272    |
| 4180                                      | Budget authority, net (total) .....                | 64,751      | 67,733  | 70,272    |
| 4190                                      | Outlays, net (total) .....                         | 64,751      | 67,733  | 70,272    |

The 2014 payment to the Military Retirement Fund includes funds for the amortization of the unfunded liability for all retirement benefits earned by military personnel for service prior to 1985. The amortization schedule for the unfunded liability is determined by the Department of Defense Retirement Board of Actuaries. Included in the unfunded liability are the consolidated requirements of the military departments to cover retired officers and enlisted personnel of the Army, Navy, Marine Corps, and Air Force; retainer pay of enlisted personnel of the Fleet Reserve of the Navy and Marine Corps; and survivors' benefits.

The 2004 National Defense Authorization Act (P.L. 108-136) created additional benefits for certain retirees who receive disability compensation from the Department of Veterans Affairs and moved the responsibility for payments under the Combat Related Special Compensation program to the Military Retirement Fund. Any additional funding requirements for retirees with service prior to 1985 will be included in this payment.

#### *Trust Funds*

#### MILITARY RETIREMENT FUND

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97-8097-0-7-602 |   | 2012 actual | 2013 CR  | 2014 est. |
|-------------------------------------|---|-------------|----------|-----------|
| 0100                                | Balance, start of year .....  | 368,236     | 371,658  | 418,069   |
| Receipts:                           |   |             |          |           |
| 0240                                | Employing Agency Contributions, Military Retirement Fund .....                      | 22,050      | 20,876   | 20,763    |
| 0241                                | Earnings on Investments, Military Retirement Fund .....                             | -36,260     | 4,862    | 5,756     |
| 0242                                | Federal Contributions, Military Retirement Fund .....                               | 64,751      | 67,733   | 70,272    |
| 0243                                | Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund ..... | 5,376       | 6,791    | 6,970     |
| 0299                                | Total receipts and collections .....  | 55,917      | 100,262  | 103,761   |
| 0400                                | Total: Balances and collections .....   | 424,153     | 471,920  | 521,830   |
| Appropriations:                     |   |             |          |           |
| 0500                                | Military Retirement Fund .....  | -55,917     | -101,755 | -103,800  |
| 0501                                | Military Retirement Fund .....  | -42,923     | .....    | .....     |

|      |                                |         |         |         |
|------|--------------------------------|---------|---------|---------|
| 0502 | Military Retirement Fund ..... | 46,345  | 47,904  | 48,228  |
| 0599 | Total appropriations .....     | -52,495 | -53,851 | -55,572 |
| 0799 | Balance, end of year .....     | 371,658 | 418,069 | 466,258 |

##### Program and Financing (in millions of dollars)

| Identification code 97-8097-0-7-602       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Nondisability .....   | 45,270      | 46,275  | 47,744    |
| 0002                                      | Temporary disability .....                                  | 109         | 121     | 122       |
| 0003                                      | Permanent disability .....                                  | 1,282       | 1,268   | 1,279     |
| 0004                                      | Fleet reserve .....   | 1,942       | 2,141   | 2,209     |
| 0005                                      | Survivors' benefits .....                                   | 3,892       | 4,046   | 4,218     |
| 0900                                      | Total new obligations (object class 42.0) .....             | 52,495      | 53,851  | 55,572    |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, mandatory:                |   |             |         |           |
| 1201                                      | Appropriation (special or trust fund) .....                 | 55,917      | 101,755 | 103,800   |
| 1203                                      | Appropriation (previously unavailable) .....                | 42,923      | .....   | .....     |
| 1235                                      | Appropriations precluded from obligation .....              | -46,345     | -47,904 | -48,228   |
| 1260                                      | Appropriations, mandatory (total) .....                     | 52,495      | 53,851  | 55,572    |
| 1930                                      | Total budgetary resources available .....                   | 52,495      | 53,851  | 55,572    |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....            | 345         | 4,052   | 4,245     |
| 3010                                      | Obligations incurred, unexpired accounts .....              | 52,495      | 53,851  | 55,572    |
| 3020                                      | Outlays (gross) .....                                       | -48,788     | -53,658 | -55,373   |
| 3050                                      | Unpaid obligations, end of year .....                       | 4,052       | 4,245   | 4,444     |
| Memorandum (non-add) entries:             |   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                      | 345         | 4,052   | 4,245     |
| 3200                                      | Obligated balance, end of year .....                        | 4,052       | 4,245   | 4,444     |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Mandatory:                                |   |             |         |           |
| 4090                                      | Budget authority, gross .....                               | 52,495      | 53,851  | 55,572    |
| Outlays, gross:                           |   |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....                  | 48,098      | 49,606  | 51,306    |
| 4101                                      | Outlays from mandatory balances .....                       | 690         | 4,052   | 4,067     |
| 4110                                      | Outlays, gross (total) .....                                | 48,788      | 53,658  | 55,373    |
| 4180                                      | Budget authority, net (total) .....                         | 52,495      | 53,851  | 55,572    |
| 4190                                      | Outlays, net (total) .....                                  | 48,788      | 53,658  | 55,373    |
| <b>Memorandum (non-add) entries:</b>      |   |             |         |           |
| 5000                                      | Total investments, SOY: Federal securities: Par value ..... | 326,040     | 376,439 | 423,808   |
| 5001                                      | Total investments, EOY: Federal securities: Par value ..... | 376,439     | 423,808 | 472,411   |

Public Law 98-94 provided for accrual funding of the military retirement system and for the establishment of a Department of Defense Military Retirement Fund in 1985. The fund has three sources of income. The first is payments from the military personnel accounts, which cover the accruing costs of the future retirement benefits being earned by today's service members. The second source is interest on investments of the fund. The third source is made up of two payments from the general fund of the Treasury. The first Treasury payment covers a portion of the accrued unfunded liability for all the retirees and current members who had earned benefits before the accrual funding system was set up. The second Treasury payment covers the liability for concurrent receipt of military retired pay and disability compensation paid by the Department of Veterans Affairs. This benefit was added in the 2004 National Defense Authorization Act.

The status of the fund is as follows:

## MILITARY RETIREMENT FUND—Continued

## Status of Funds (in millions of dollars)

| Identification code 97–8097–0–7–602  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:   |             |         |           |
| 0100 Balance, start of year .....  | 368,581     | 375,710 | 422,314   |
| 0199 Total balance, start of year .....  | 368,581     | 375,710 | 422,314   |
| Cash income during the year:   |             |         |           |
| Current law:   |             |         |           |
| Offsetting receipts (intragovernmental):   |             |         |           |
| 1240 Employing Agency Contributions, Military Retirement Fund .....                      | 22,050      | 20,876  | 20,763    |
| 1241 Earnings on Investments, Military Retirement Fund .....                             | –36,260     | 4,862   | 5,756     |
| 1242 Federal Contributions, Military Retirement Fund .....                               | 64,751      | 67,733  | 70,272    |
| 1243 Federal Contributions (concurrent Receipt Accruals), Military Retirement Fund ..... | 5,376       | 6,791   | 6,970     |
| 1299 Income under present law .....  | 55,917      | 100,262 | 103,761   |
| 3299 Total cash income .....   | 55,917      | 100,262 | 103,761   |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 Military Retirement Fund .....  | –48,788     | –53,658 | –55,373   |
| 4599 Outgo under current law (–) .....   | –48,788     | –53,658 | –55,373   |
| 6599 Total cash outgo (–) .....  | –48,788     | –53,658 | –55,373   |
| Unexpended balance, end of year:   |             |         |           |
| 8700 Uninvested balance (net), end of year .....   | –729        | –1,494  | –1,709    |
| 8701 Military Retirement Fund .....  | 376,439     | 423,808 | 472,411   |
| 8799 Total balance, end of year .....  | 375,710     | 422,314 | 470,702   |

## RETIREE HEALTH CARE

## Federal Funds

## PAYMENT TO DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND

## Program and Financing (in millions of dollars)

| Identification code 97–0850–0–1–054                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0001 Payment to the Uniformed Retiree Health Care Fund ..... | 6,716       | 6,142   | 5,474     |
| 0900 Total new obligations (object class 13.0) .....         | 6,716       | 6,142   | 5,474     |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                   |             |         |           |
| 1200 Appropriation .....                                     | 6,716       | 6,142   | 5,474     |
| 1260 Appropriations, mandatory (total) .....                 | 6,716       | 6,142   | 5,474     |
| 1930 Total budgetary resources available .....               | 6,716       | 6,142   | 5,474     |
| <b>Change in obligated balance:</b>                          |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....          | 6,716       | 6,142   | 5,474     |
| 3020 Outlays (gross) .....                                   | –6,716      | –6,142  | –5,474    |
| <b>Budget authority and outlays, net:</b>                    |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                           | 6,716       | 6,142   | 5,474     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....              | 6,716       | 6,142   | 5,474     |
| 4180 Budget authority, net (total) .....                     | 6,716       | 6,142   | 5,474     |
| 4190 Outlays, net (total) .....                              | 6,716       | 6,142   | 5,474     |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 6,716       | 6,142   | 5,474     |
| Outlays .....                               | 6,716       | 6,142   | 5,474     |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | –1,818    |
| Outlays .....                               |             |         | –1,818    |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 6,716       | 6,142   | 3,656     |

|               |       |       |       |
|---------------|-------|-------|-------|
| Outlays ..... | 6,716 | 6,142 | 3,656 |
|---------------|-------|-------|-------|

## PAYMENT TO DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND

(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 97–0850–2–1–054                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| 0001 Payment to the Uniformed Retiree Health Care Fund ..... |             |         | –1,529    |
| 0002 Payment to the Uniformed Retiree Health Care Fund ..... |             |         | –289      |
| 0900 Total new obligations .....                             |             |         | –1,818    |
| <b>Budgetary Resources:</b>                                  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                   |             |         |           |
| 1200 Appropriation .....                                     |             |         | –1,529    |
| 1200 Appropriation .....                                     |             |         | –289      |
| 1260 Appropriations, mandatory (total) .....                 |             |         | –1,818    |
| 1930 Total budgetary resources available .....               |             |         | –1,818    |
| <b>Change in obligated balance:</b>                          |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....          |             |         | –1,818    |
| 3020 Outlays (gross) .....                                   |             |         | 1,818     |

## Budget authority and outlays, net:

|   |  |  |        |
|---|--|--|--------|
| Mandatory:                                      |  |  |        |
| 4090 Budget authority, gross .....              |  |  | –1,818 |
| Outlays, gross:                                 |  |  |        |
| 4100 Outlays from new mandatory authority ..... |  |  | –1,818 |
| 4180 Budget authority, net (total) .....        |  |  | –1,818 |
| 4190 Outlays, net (total) .....                 |  |  | –1,818 |

## Object Classification (in millions of dollars)

| Identification code 97–0850–2–1–054      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>               |             |         |           |
| 13.0 Benefits for former personnel ..... |             |         | –1,529    |
| 13.0 Benefits for former personnel ..... |             |         | –289      |
| 99.9 Total new obligations .....         |             |         | –1,818    |

## DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97–5472–0–2–551   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 185,626     | 175,315 | 184,859   |
| Receipts:   |             |         |           |
| 0240 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund ..... | 300         | 232     | 214       |
| 0241 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund ..... |             |         | –14       |
| 0242 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund ..... |             |         | –2        |
| 0243 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....                | –19,436     | 4,156   | 4,115     |
| 0244 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....                |             |         | –25       |
| 0245 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....                |             |         | –15       |
| 0246 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....                  | 6,716       | 6,142   | 5,474     |
| 0247 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....                  |             |         | –1,529    |
| 0248 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....                  |             |         | –289      |
| 0249 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....    | 10,845      | 8,297   | 7,258     |
| 0250 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....    |             |         | –514      |
| 0251 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....    |             |         | –64       |



|                 |  |         |         |         |
|-----------------|--|---------|---------|---------|
| 0299            | Total receipts and collections .....                                   | -1,575  | 18,827  | 14,609  |
| 0400            | Total: Balances and collections .....                                  | 184,051 | 194,142 | 199,468 |
| Appropriations: |  |         |         |         |
| 0500            | Department of Defense Medicare-Eligible Retiree Health Care Fund ..... | 1,575   | -20,317 | -20,288 |
| 0501            | Department of Defense Medicare-Eligible Retiree Health Care Fund ..... | -24,549 |         |         |
| 0502            | Department of Defense Medicare-Eligible Retiree Health Care Fund ..... | 14,238  | 11,034  | 10,789  |
| 0503            | Department of Defense Medicare-Eligible Retiree Health Care Fund ..... |         |         | 2,083   |
| 0504            | Department of Defense Medicare-Eligible Retiree Health Care Fund ..... |         |         | 370     |
| 0505            | Department of Defense Medicare-Eligible Retiree Health Care Fund ..... |         |         | -2,083  |
| 0506            | Department of Defense Medicare-Eligible Retiree Health Care Fund ..... |         |         | -370    |
| 0599            | Total appropriations .....   | -8,736  | -9,283  | -9,499  |
| 0799            | Balance, end of year .....   | 175,315 | 184,859 | 189,969 |

**Program and Financing** (in millions of dollars)

| Identification code 97-5472-0-2-551                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 DoD Medicare-eligible retiree health care payments .....    | 8,736       | 9,283   | 9,499     |
| 0900 Total new obligations (object class 13.0) .....             | 8,736       | 9,283   | 9,499     |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | -1,575      | 20,317  | 20,288    |
| 1203 Appropriation (previously unavailable) .....                | 24,549      |         |           |
| 1235 Appropriations precluded from obligation .....              | -14,238     | -11,034 | -10,789   |
| 1260 Appropriations, mandatory (total) .....                     | 8,736       | 9,283   | 9,499     |
| 1930 Total budgetary resources available .....                   | 8,736       | 9,283   | 9,499     |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 523         | 591     |           |
| 3010 Obligations incurred, unexpired accounts .....              | 8,736       | 9,283   | 9,499     |
| 3020 Outlays (gross) .....                                       | -8,668      | -9,874  | -9,499    |
| 3050 Unpaid obligations, end of year .....                       | 591         |         |           |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 523         | 591     |           |
| 3200 Obligated balance, end of year .....                        | 591         |         |           |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 8,736       | 9,283   | 9,499     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 8,145       | 9,283   | 9,499     |
| 4101 Outlays from mandatory balances .....                       | 523         | 591     |           |
| 4110 Outlays, gross (total) .....                                | 8,668       | 9,874   | 9,499     |
| 4180 Budget authority, net (total) .....                         | 8,736       | 9,283   | 9,499     |
| 4190 Outlays, net (total) .....                                  | 8,668       | 9,874   | 9,499     |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 161,741     | 176,113 | 185,288   |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 176,113     | 185,288 | 193,054   |

Public Law 106-398 provides for accrual funding for health care to Medicare-eligible retirees. The statute establishes an accrual health care fund which has three sources of funding. The first is contributions from employing agencies, which cover the liability for future benefits accruing to current service members. The second is an annual payment from the general fund of the Treasury on the accrued unfunded liability, and the third source is income from the investment of fund balances.

The Budget includes a proposal to implement a modest annual enrollment fee for TRICARE-for-Life coverage for retirees and their family members age 65 and older (with full grandfathering of those Medicare-eligible retirees who are already receiving TRICARE benefits at the time of enactment). Also included is a proposal for increases to pharmacy prescription co-payments for

active duty families and all retirees to incentivize usage of mail order and generic drugs. In addition to discretionary savings in the Defense Health Program, the proposals reduce future accrual costs, resulting in reduced discretionary contributions to the Medicare Eligible Retiree Health Care Fund by the Services, Coast Guard, Public Health Service, National Oceanic and Atmospheric Administration and the Department of the Treasury.

**Status of Funds** (in millions of dollars)

| Identification code 97-5472-0-2-551   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Unexpended balance, start of year:  |             |         |           |
| 0100 Balance, start of year .....   | 186,149     | 175,906 | 184,859   |
| 0199 Total balance, start of year .....   | 186,149     | 175,906 | 184,859   |
| Cash income during the year:  |             |         |           |
| Current law:  |             |         |           |
| Offsetting receipts (intragovernmental):  |             |         |           |
| 1240 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund ..... | 300         | 232     | 214       |
| 1243 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....                | -19,436     | 4,156   | 4,115     |
| 1246 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....                  | 6,716       | 6,142   | 5,474     |
| 1249 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....    | 10,845      | 8,297   | 7,258     |
| 1299 Income under present law .....   | -1,575      | 18,827  | 17,061    |
| Proposed legislation:   |             |         |           |
| Offsetting receipts (intragovernmental):  |             |         |           |
| 2241 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund ..... |             |         | -14       |
| 2242 Non-DoD Employing Agency Contributions, DoD Medicare-Eligible Retiree Health Care Fund ..... |             |         | -2        |
| 2244 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....                |             |         | -25       |
| 2245 Earnings on Investments, DoD Medicare-Eligible Retiree Health Care Fund .....                |             |         | -15       |
| 2247 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....                  |             |         | -1,529    |
| 2248 Federal Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....                  |             |         | -289      |
| 2250 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....    |             |         | -514      |
| 2251 Department of Defense Contributions, DoD Medicare-Eligible Retiree Health Care Fund .....    |             |         | -64       |
| 2299 Income under proposed legislation .....  |             |         | -2,452    |
| 3299 Total cash income .....  | -1,575      | 18,827  | 14,609    |
| Cash outgo during year:   |             |         |           |
| Current law:  |             |         |           |
| 4500 Department of Defense Medicare-Eligible Retiree Health Care Fund .....                       | -8,668      | -9,874  | -9,499    |
| 4599 Outgo under current law (-) .....  | -8,668      | -9,874  | -9,499    |
| 6599 Total cash outgo (-) .....   | -8,668      | -9,874  | -9,499    |
| Unexpended balance, end of year:  |             |         |           |
| 8700 Uninvested balance (net), end of year .....  | -207        | -429    | -1,003    |
| 8701 Department of Defense Medicare-Eligible Retiree Health Care Fund .....                       |             |         | -2,082    |
| 8701 Department of Defense Medicare-Eligible Retiree Health Care Fund .....                       | 176,113     | 185,288 | 193,054   |
| 8799 Total balance, end of year .....   | 175,906     | 184,859 | 189,969   |

DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE FUND  
(Legislative proposal, subject to PAYGO)**Program and Financing** (in millions of dollars)

| Identification code 97-5472-4-2-551                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1201 Appropriation (special or trust fund) .....    |             |         | -2,083    |
| 1201 Appropriation (special or trust fund) .....    |             |         | -370      |
| 1235 Appropriations precluded from obligation ..... |             |         | 2,083     |
| 1235 Appropriations precluded from obligation ..... |             |         | 370       |

DEPARTMENT OF DEFENSE MEDICARE-ELIGIBLE RETIREE HEALTH CARE  
FUND—Continued  
Program and Financing—Continued

| Identification code 97–5472–4–2–551                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5001 Total investments, EOY: Federal securities: Par value ..... |             |         | –2,082    |

## EDUCATIONAL BENEFITS

### Trust Funds

#### EDUCATION BENEFITS FUND

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97–8098–0–7–702                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                  | 2,159       | 1,882   | 1,904     |
| Receipts:  |             |         |           |
| 0240 Employing Agency Contributions, Education Benefits Fund ..... | 183         | 238     | 96        |
| 0241 Interest on Investments, Education Benefits Fund .....        | –94         | 91      | 74        |
| 0299 Total receipts and collections .....                          | 89          | 329     | 170       |
| 0400 Total: Balances and collections .....                         | 2,248       | 2,211   | 2,074     |
| Appropriations:  |             |         |           |
| 0500 Education Benefits Fund .....                                 | –89         | –329    | –171      |
| 0501 Education Benefits Fund .....                                 | –308        |         | –132      |
| 0502 Education Benefits Fund .....                                 | 31          | 22      |           |
| 0599 Total appropriations .....                                    | –366        | –307    | –303      |
| 0799 Balance, end of year .....                                    | 1,882       | 1,904   | 1,771     |

#### Program and Financing (in millions of dollars)

| Identification code 97–8098–0–7–702                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Active duty program .....                                   | 105         | 92      | 106       |
| 0002 Selected Reserve program .....                              | 261         | 215     | 197       |
| 0900 Total new obligations (object class 13.0) .....             | 366         | 307     | 303       |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 89          | 329     | 171       |
| 1203 Appropriation (previously unavailable) .....                | 308         |         | 132       |
| 1235 Appropriations precluded from obligation .....              | –31         | –22     |           |
| 1260 Appropriations, mandatory (total) .....                     | 366         | 307     | 303       |
| 1930 Total budgetary resources available .....                   | 366         | 307     | 303       |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              | 366         | 307     | 303       |
| 3020 Outlays (gross) .....                                       | –366        | –307    | –303      |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 366         | 307     | 303       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 366         | 307     | 303       |
| 4180 Budget authority, net (total) .....                         | 366         | 307     | 303       |
| 4190 Outlays, net (total) .....                                  | 366         | 307     | 303       |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 2,008       | 1,891   | 1,913     |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 1,891       | 1,913   | 1,781     |

The 1985 Department of Defense Authorization Act, Public Law 98–525, as amended by Public Laws 100–48 and 108–375, and the Post 9/11 Veterans Educational Assistance Improvements Act of 2010, Public Law 111–377, provide for the accrual funding of certain education benefits for active duty military personnel under the authority of Chapters 30 and 33, Title 38 U.S.C., and to selected Reserve personnel under the authority of Chapters

1606 and 1607, Title 10 U.S.C. The fund is financed through actuarially determined Government contributions from the Department of Defense military personnel appropriations and interest on investments. Funds are transferred to the Department of Veterans Affairs to make benefit payments to eligible personnel. The status of the fund is as follows:

#### Status of Funds (in millions of dollars)

| Identification code 97–8098–0–7–702                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:                                 |             |         |           |
| 0100 Balance, start of year .....                                  | 2,161       | 1,884   | 1,906     |
| 0199 Total balance, start of year .....                            | 2,161       | 1,884   | 1,906     |
| Cash income during the year:                                       |             |         |           |
| Current law:   |             |         |           |
| Offsetting receipts (intragovernmental):                           |             |         |           |
| 1240 Employing Agency Contributions, Education Benefits Fund ..... | 183         | 238     | 96        |
| 1241 Interest on Investments, Education Benefits Fund .....        | –94         | 91      | 74        |
| 1299 Income under present law .....                                | 89          | 329     | 170       |
| 3299 Total cash income .....                                       | 89          | 329     | 170       |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 Education Benefits Fund .....                                 | –366        | –307    | –303      |
| 4599 Outgo under current law (-) .....                             | –366        | –307    | –303      |
| 6599 Total cash outgo (-) .....                                    | –366        | –307    | –303      |
| Unexpended balance, end of year:                                   |             |         |           |
| 8700 Uninvested balance (net), end of year .....                   | –7          | –7      | –8        |
| 8701 Education Benefits Fund .....                                 | 1,891       | 1,913   | 1,781     |
| 8799 Total balance, end of year .....                              | 1,884       | 1,906   | 1,773     |

## AMERICAN BATTLE MONUMENTS COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, including the acquisition of land or interest in land in foreign countries; purchases and repair of uniforms for caretakers of national cemeteries and monuments outside of the United States and its territories and possessions; rent of office and garage space in foreign countries; purchase (one-for-one replacement basis only) and hire of passenger motor vehicles; not to exceed \$7,500 for official reception and representation expenses; and insurance of official motor vehicles in foreign countries, when required by law of such countries, \$58,200,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 74–0100–0–1–705                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Administration and U.S. memorials .....                      | 12          | 17      | 14        |
| 0002 Overseas memorials and cemeteries .....                      | 62          | 60      | 58        |
| 0900 Total new obligations .....                                  | 74          | 77      | 72        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 16          | 27      | 37        |
| 1011 Unobligated balance transfer from other accts [74–0101] .... | 8           | 10      | 10        |
| 1021 Recoveries of prior year unpaid obligations .....            | 5           |         |           |
| 1050 Unobligated balance (total) .....                            | 29          | 37      | 47        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 61          | 61      | 58        |
| 1121 Appropriations transferred from other accts [74–0101] ....   | 11          | 16      | 14        |
| 1160 Appropriation, discretionary (total) .....                   | 72          | 77      | 72        |
| 1930 Total budgetary resources available .....                    | 101         | 114     | 119       |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| Memorandum (non-add) entries:             |  |       |       |       |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 27    | 37    | 47    |
| <b>Change in obligated balance:</b>       |  |       |       |       |
| Unpaid obligations:                       |  |       |       |       |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 31    | 36    | 57    |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 74    | 77    | 72    |
| 3020                                      | Outlays (gross) .....  | -64   | -56   | -56   |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -5    | ..... | ..... |
| 3050                                      | Unpaid obligations, end of year .....                        | 36    | 57    | 73    |
| Memorandum (non-add) entries:             |  |       |       |       |
| 3100                                      | Obligated balance, start of year .....                       | 31    | 36    | 57    |
| 3200                                      | Obligated balance, end of year .....                         | 36    | 57    | 73    |
| <b>Budget authority and outlays, net:</b> |  |       |       |       |
| Discretionary:                            |  |       |       |       |
| 4000                                      | Budget authority, gross .....                                | 72    | 77    | 72    |
| Outlays, gross:                           |  |       |       |       |
| 4010                                      | Outlays from new discretionary authority .....               | ..... | 46    | 43    |
| 4011                                      | Outlays from discretionary balances .....                    | 64    | 10    | 13    |
| 4020                                      | Outlays, gross (total) .....                                 | 64    | 56    | 56    |
| 4180                                      | Budget authority, net (total) .....                          | 72    | 77    | 72    |
| 4190                                      | Outlays, net (total) .....                                   | 64    | 56    | 56    |

The American Battle Monuments Commission is responsible for: the maintenance and construction of U.S. monuments and memorials commemorating the achievements in battle of our Armed Forces since 1917; controlling erection of monuments and markers by U.S. citizens and organizations in foreign countries; and the design, construction, and maintenance of permanent military cemetery memorials in foreign countries. The Commission requests 400 full-time equivalent (FTE) civilian employees to manage and support the annual investment in maintenance, infrastructure, and interpretive projects.

#### Object Classification (in millions of dollars)

| Identification code 74-0100-0-1-705 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 20          | 23      | 20        |
| 11.3                                | Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5                                | Other personnel compensation .....                         | 1           | 1       | 1         |
|                                     |  |             |         |           |
| 11.9                                | Total personnel compensation .....                         | 22          | 25      | 22        |
| 12.1                                | Civilian personnel benefits .....                          | 11          | 12      | 11        |
| 21.0                                | Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 22.0                                | Transportation of things .....                             | 1           |         |           |
| 23.1                                | Rental payments to GSA .....                               | 1           |         |           |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 3         |
| 25.1                                | Advisory and assistance services .....                     | 2           | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....              | 1           | 2       | 1         |
| 25.3                                | Other goods and services from Federal sources .....        | 3           | 3       | 3         |
| 25.4                                | Operation and maintenance of facilities .....              | 10          | 18      | 17        |
| 26.0                                | Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0                                | Equipment .....  | 1           | 2       | 2         |
| 32.0                                | Land and structures .....                                  | 16          | 7       | 7         |
|                                     |  |             |         |           |
| 99.9                                | Total new obligations .....                                | 74          | 77      | 72        |

#### Employment Summary

| Identification code 74-0100-0-1-705                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 393         | 400     | 400       |

#### FOREIGN CURRENCY FLUCTUATIONS ACCOUNT

For necessary expenses, not otherwise provided for, of the American Battle Monuments Commission, such sums as may be necessary, to remain available until expended, for purposes authorized by section 2109 of title 36, United States Code.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 74-0101-0-1-705       | 2012 actual   | 2013 CR | 2014 est. |       |
|---|---|---------|-----------|-------|
| <b>Budgetary Resources:</b>               |   |         |           |       |
| Unobligated balance:                      |   |         |           |       |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....            | 23      | 20        | 10    |
| 1010                                      | Unobligated balance transfer to other accts [74-0100] ..... | -8      | -10       | -10   |
| 1050                                      | Unobligated balance (total) .....                           | 15      | 10        | ..... |
| Budget authority:                         |   |         |           |       |
| Appropriations, discretionary:            |   |         |           |       |
| 1100                                      | Appropriation .....   | 16      | 16        | 14    |
| 1120                                      | Appropriations transferred to other accts [74-0100] .....   | -11     | -16       | -14   |
| 1160                                      | Appropriation, discretionary (total) .....                  | 5       | .....     | ..... |
| 1930                                      | Total budgetary resources available .....                   | 20      | 10        | ..... |
| Memorandum (non-add) entries:             |   |         |           |       |
| 1941                                      | Unexpired unobligated balance, end of year .....            | 20      | 10        | ..... |
| <b>Budget authority and outlays, net:</b> |   |         |           |       |
| Discretionary:                            |   |         |           |       |
| 4000                                      | Budget authority, gross .....                               | 5       | .....     | ..... |
| 4180                                      | Budget authority, net (total) .....                         | 5       | .....     | ..... |

The agency has a currency fluctuation account that insulates its appropriation's buying power from changes in exchange rates. Under "such sums as may be necessary" language, the Commission estimates \$14.1 million will be required in 2014 to address exchange rate imbalances. The Commission will continue to estimate and report its Foreign Currency Fluctuation Account requirements.

#### Trust Funds

#### CONTRIBUTIONS

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 74–8569–0–7–705 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 0100                                | Balance, start of year .....   | .....       | .....   | 1         |
|                                     | Receipts:  |             |         |           |
| 0220                                | Contributions, American Battle Monuments Commission .....              | 1           | 1       | 1         |
| 0240                                | Earnings on Investments, American Battle Monuments<br>Commission ..... | .....       | 1       | 1         |
| 0299                                | Total receipts and collections .....                                   | 1           | 2       | 2         |
| 0400                                | Total: Balances and collections .....                                  | 1           | 2       | 3         |
|                                     | Appropriations:  |             |         |           |
| 0500                                | Contributions .....  | –1          | –1      | –1        |
| 0799                                | Balance, end of year .....   | .....       | 1       | 2         |

#### Program and Financing (in millions of dollars)

| Identification code 74-8569-0-7-705     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0004                                    | World War II Memorial .....                      | 3           | 2       | 2         |
| 0900                                    | Total new obligations (object class 25.4) .....  | 3           | 2       | 2         |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 10          | 8       | 7         |
| Budget authority:                       |  |             |         |           |
| Appropriations, mandatory:              |  |             |         |           |
| 1201                                    | Appropriation (special or trust fund) .....      | 1           | 1       | 1         |
| 1260                                    | Appropriations, mandatory (total) .....          | 1           | 1       | 1         |
| 1930                                    | Total budgetary resources available .....        | 11          | 9       | 8         |
| Memorandum (non-add) entries:           |  |             |         |           |
| 1941                                    | Unexpired unobligated balance, end of year ..... | 8           | 7       | 6         |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 ..... |             | 3       | 2         |

CONTRIBUTIONS—Continued  
Program and Financing—Continued

| Identification code 74–8569–0–7–705       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 3010                                      | Obligations incurred, unexpired accounts .....              | 3           | 2       | 2         |
| 3020                                      | Outlays (gross) .....                                       |             | –3      |           |
| 3050                                      | Unpaid obligations, end of year .....                       | 3           | 2       | 4         |
| Memorandum (non-add) entries:             |   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                      |             | 3       | 2         |
| 3200                                      | Obligated balance, end of year .....                        | 3           | 2       | 4         |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Mandatory:                                |   |             |         |           |
| 4090                                      | Budget authority, gross .....                               | 1           | 1       | 1         |
| Outlays, gross:                           |   |             |         |           |
| 4101                                      | Outlays from mandatory balances .....                       |             | 3       |           |
| 4180                                      | Budget authority, net (total) .....                         | 1           | 1       | 1         |
| 4190                                      | Outlays, net (total) .....                                  |             | 3       |           |
| <b>Memorandum (non-add) entries:</b>      |   |             |         |           |
| 5000                                      | Total investments, SOY: Federal securities: Par value ..... | 4           | 4       | 4         |
| 5001                                      | Total investments, EOY: Federal securities: Par value ..... | 4           | 4       | 4         |

*Purchase of flowers.*—Private citizens contribute funds for the purchase of flowers to decorate graves and tablets of the missing at the cemeteries and memorials administered by the Commission.

*Repair of non-Federal war memorials.*—When requested to do so and upon receipt of the necessary funds, the Commission arranges for and oversees the repair of war memorials to U.S. Forces erected in foreign countries by American citizens, States, municipalities, or associations.

## ARMED FORCES RETIREMENT HOME

## Federal Funds

## Program and Financing (in millions of dollars)

| Identification code 84–0100–0–1–602       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Direct program activity .....                   | 15          | 15      |           |
| 0900                                      | Total new obligations (object class 32.0) ..... | 15          | 15      |           |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, discretionary:            |   |             |         |           |
| 1100                                      | Appropriation .....                             | 15          | 15      |           |
| 1160                                      | Appropriation, discretionary (total) .....      | 15          | 15      |           |
| 1930                                      | Total budgetary resources available .....       | 15          | 15      |           |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 15          | 15      |           |
| 3020                                      | Outlays (gross) .....                           | –15         | –15     |           |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| 4000                                      | Budget authority, gross .....                   | 15          | 15      |           |
| Outlays, gross:                           |   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....  | 15          | 15      |           |
| 4180                                      | Budget authority, net (total) .....             | 15          | 15      |           |
| 4190                                      | Outlays, net (total) .....                      | 15          | 15      |           |

## Trust Funds

## ARMED FORCES RETIREMENT HOME

## TRUST FUND

*For expenses necessary for the Armed Forces Retirement Home to operate and maintain the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Missis-*

*issippi, to be paid from funds available in the Armed Forces Retirement Home Trust Fund, \$67,800,000, of which \$1,000,000 shall remain available until expended for construction and renovation of the physical plants at the Armed Forces Retirement Home—Washington, District of Columbia, and the Armed Forces Retirement Home—Gulfport, Mississippi.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 84–8522–0–7–602 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 0100                                | Balance, start of year .....                                   | 37          | 29      | 21        |
| Receipts:                           |  |             |         |           |
| 0200                                | Deductions, Armed Forces Retirement Home .....                 | 7           | 7       | 7         |
| 0201                                | Fines and Forfeitures, Armed Forces Retirement Home .....      | 35          | 36      | 37        |
| 0220                                | Other Receipts, Armed Forces Retirement Home .....             | 12          | 13      | 14        |
| 0221                                | Gifts, Armed Forces Retirement Home .....                      |             |         | 1         |
| 0222                                | Property Sales/Leases, Armed Forces Retirement Home .....      |             |         | 1         |
| 0240                                | Interest from Investments, Armed Forces Retirement Home .....  | 5           | 5       | 4         |
| 0241                                | General Fund Payment to the Armed Forces Retirement Home ..... | 15          | 15      |           |
| 0299                                | Total receipts and collections .....                           | 74          | 76      | 64        |
| 0400                                | Total: Balances and collections .....                          | 111         | 105     | 85        |
| Appropriations:                     |  |             |         |           |
| 0500                                | Armed Forces Retirement Home .....                             | –82         | –84     | –68       |
| 0799                                | Balance, end of year .....                                     | 29          | 21      | 17        |

## Program and Financing (in millions of dollars)

| Identification code 84–8522–0–7–602       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Operations and maintenance .....                             | 66          | 67      | 67        |
| 0002                                      | Construction .....   | 19          | 17      | 1         |
| 0900                                      | Total new obligations .....                                  | 85          | 84      | 68        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....             | 29          | 33      | 34        |
| 1021                                      | Recoveries of prior year unpaid obligations .....            | 7           | 1       | 1         |
| 1050                                      | Unobligated balance (total) .....                            | 36          | 34      | 35        |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1101                                      | Appropriation (special or trust fund) .....                  | 82          | 84      | 68        |
| 1160                                      | Appropriation, discretionary (total) .....                   | 82          | 84      | 68        |
| 1930                                      | Total budgetary resources available .....                    | 118         | 118     | 103       |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....             | 33          | 34      | 35        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 97          | 67      | 25        |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 85          | 84      | 68        |
| 3020                                      | Outlays (gross) .....  | –108        | –125    | –66       |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | –7          | –1      | –1        |
| 3050                                      | Unpaid obligations, end of year .....                        | 67          | 25      | 26        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                       | 97          | 67      | 25        |
| 3200                                      | Obligated balance, end of year .....                         | 67          | 25      | 26        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                                | 82          | 84      | 68        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....               | 51          | 49      | 50        |
| 4011                                      | Outlays from discretionary balances .....                    | 57          | 76      | 16        |
| 4020                                      | Outlays, gross (total) .....                                 | 108         | 125     | 66        |
| 4180                                      | Budget authority, net (total) .....                          | 82          | 84      | 68        |
| 4190                                      | Outlays, net (total) .....                                   | 108         | 125     | 66        |
| <b>Memorandum (non-add) entries:</b>      |  |             |         |           |
| 5000                                      | Total investments, SOY: Federal securities: Par value .....  | 158         | 112     | 42        |
| 5001                                      | Total investments, EOY: Federal securities: Par value .....  | 112         | 42      | 44        |

Public Law 101–510 created an Armed Forces Retirement Home (AFRH) Trust Fund to finance the AFRH-Gulfport and the AFRH-Washington Homes. The Homes are financed by appropriations drawn from the Trust Fund. The AFRH provides, through the Armed Forces Retirement Home—Gulfport (AFRH-G) and Armed Forces Retirement Home—Washington (AFRH-W), residences and related services for certain retired and former members of the Armed Forces and the Coast Guard. The members receiving domiciliary and hospital care are:

|                        | 2012 actual | 2013 est. | 2014 est. |
|------------------------|-------------|-----------|-----------|
| Domiciliary care ..... | 859         | 924       | 924       |
| Hospital care .....    | 150         | 221       | 221       |
| Total members .....    | 1009        | 1145      | 1145      |

During 2012, AFRH was able to effectively complete the first full year of operations at both Homes (since Hurricane Katrina); utilize the \$14.6M funding provided for the damages sustained during the earthquake and obtain the eighth consecutive unqualified audit opinion. In 2013, AFRH plans to align operations to coincide with a reduced footprint. 2013 will mark the opening of an energy efficient healthcare and commons facility called the Scott dormitory that houses long-term care and memory support residents along with many healthcare and common programs for residents. The opening of the Scott dormitory will eliminate the need to operate the Power Plant which supplies heat to the current long-term care facility. In 2014, AFRH will continue to align costs to realize efficiencies while maintaining acceptable service.

#### Object Classification (in millions of dollars)

| Identification code 84–8522–0–7–602                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 16          | 19      | 21        |
| 11.5 Other personnel compensation .....                         | 2           |         |           |
| 11.9 Total personnel compensation .....                         | 18          | 19      | 21        |
| 12.1 Civilian personnel benefits .....                          | 7           | 7       | 7         |
| 13.0 Benefits for former personnel .....                        |             | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 5       | 6         |
| 25.1 Advisory and assistance services .....                     | 4           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 5           | 21      | 19        |
| 25.3 Other goods and services from Federal sources .....        | 4           |         |           |
| 25.4 Operation and maintenance of facilities .....              | 6           | 3       | 3         |
| 25.6 Medical care .....   | 5           | 4       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 3           |         |           |
| 25.8 Subsistence and support of persons .....                   | 4           |         |           |
| 26.0 Supplies and materials .....                               | 5           | 5       | 5         |
| 31.0 Equipment .....  | 1           |         |           |
| 32.0 Land and structures .....                                  | 19          | 17      | 1         |
| 99.9 Total new obligations .....                                | 85          | 84      | 68        |

#### Employment Summary

| Identification code 84–8522–0–7–602                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 278         | 336     | 336       |

## CEMETERIAL EXPENSES

### Federal Funds

#### CEMETERIAL EXPENSES, ARMY

##### SALARIES AND EXPENSES

For necessary expenses, as authorized by law, for maintenance, operation, and improvement of Arlington National Cemetery and Soldiers' and Airmen's Home National Cemetery, including the purchase or lease of passenger motor vehicles for replacement on a one-for-one basis only, and not to exceed \$1,000 for official reception and representation expenses, \$45,800,000, to remain available until expended. In addition, such sums as may be necessary for parking maintenance, repairs and replacement,

to be derived from the "Lease of Department of Defense Real Property for Defense Agencies" account. Funds appropriated under this Act may be provided to Arlington County, Virginia, for the relocation of the federally owned water main at Arlington National Cemetery making additional land available for ground burials.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 21–1805–0–1–705                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0008 Army National Cemeteries .....                               | 62          | 46      | 46        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 15          | 10      | 10        |
| 1021 Recoveries of prior year unpaid obligations .....            | 11          |         |           |
| 1050 Unobligated balance (total) .....                            | 26          | 10      | 10        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 46          | 46      | 46        |
| 1160 Appropriation, discretionary (total) .....                   | 46          | 46      | 46        |
| 1930 Total budgetary resources available .....                    | 72          | 56      | 56        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 10          | 10      | 10        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 49          | 44      | 43        |
| 3010 Obligations incurred, unexpired accounts .....               | 62          | 46      | 46        |
| 3020 Outlays (gross) .....  | –56         | –47     | –55       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –11         |         |           |
| 3050 Unpaid obligations, end of year .....                        | 44          | 43      | 34        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 49          | 44      | 43        |
| 3200 Obligated balance, end of year .....                         | 44          | 43      | 34        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 46          | 46      | 46        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 46          | 23      | 23        |
| 4011 Outlays from discretionary balances .....                    | 10          | 24      | 32        |
| 4020 Outlays, gross (total) .....                                 | 56          | 47      | 55        |
| 4180 Budget authority, net (total) .....                          | 46          | 46      | 46        |
| 4190 Outlays, net (total) .....                                   | 56          | 47      | 55        |

**Operation and maintenance.**—Funding supports day-to-day operations of the Army National Cemeteries Program, including planning and execution for more than 7,000 interments and inurnments annually, as well as routine repairs made to facilities, contracted services, and horticultural work at Arlington National Cemetery and the Soldiers' and Airmen's Home National Cemetery.

In addition to incurring \$45.8 million in obligations in this Cemeterial Expenses, Army account, the Army will also support Arlington National Cemetery by providing at least an additional \$25 million in its operation and maintenance account in 2014. These funds are to assist in the repair and restoration of the aging infrastructure at Arlington National Cemetery.

**Construction.**—A ten-year capital investment plan has been developed to manage all construction, major rehabilitation, major maintenance, automation and study efforts. Funding supports long-term planning and capital investments made in construction of facilities, land improvements, and other major infrastructure sustainment, restoration, and maintenance.

The work contemplated includes converting the Millennium land to burial sites and planning and design for future expansion efforts. The military construction project is explicitly identified

## CEMETERIAL EXPENSES, ARMY—Continued

and included in the budget justification materials submitted to Congress. The Army is addressing the Navy Annex project and plans to request those resources in future budget submissions.

**Administration.**—Funding provides administrative support for the Army National Cemetery Program, including work conducted or supported by other agencies.

## Object Classification (in millions of dollars)

| Identification code 21–1805–0–1–705                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 10          | 12      | 13        |
| 11.5 Other personnel compensation .....                         | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 11          | 12      | 13        |
| 12.1 Civilian personnel benefits .....                          | 3           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 2       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           |         |           |
| 25.2 Other services from non-Federal sources .....              | 13          | 19      | 22        |
| 25.4 Operation and maintenance of facilities .....              | 4           | 2       | 2         |
| 26.0 Supplies and materials .....                               | 2           | 1       | 1         |
| 31.0 Equipment .....  | 6           | 1       |           |
| 32.0 Land and structures .....                                  | 21          | 5       | 3         |
| 99.9 Total new obligations .....                                | 62          | 46      | 46        |

## Employment Summary

| Identification code 21–1805–0–1–705                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 133         | 201     | 201       |

FOREST AND WILDLIFE CONSERVATION,  
MILITARY RESERVATIONS

## Federal Funds

## WILDLIFE CONSERVATION

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 97–5095–0–2–303                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                      |             |         |           |
| Receipts:  |             |         |           |
| 0220 Sales of Hunting and Fishing Permits, Military Reservations ..... | 2           | 3       | 3         |
| 0400 Total: Balances and collections .....                             | 2           | 3       | 3         |
| Appropriations:  |             |         |           |
| 0500 Wildlife Conservation .....                                       | –2          | –3      | –3        |
| 0799 Balance, end of year .....  |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 97–5095–0–2–303                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                       |             |         |           |
| 0001 Department of the Army .....                      | 5           | 4       | 3         |
| 0900 Total new obligations (object class 26.0) .....   | 5           | 4       | 3         |
| Budgetary Resources:                                   |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 10          | 8       | 7         |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....                 | 11          | 8       | 7         |
| Budget authority:                                      |             |         |           |
| Appropriations, mandatory:                             |             |         |           |
| 1201 Appropriation (special or trust fund) .....       | 2           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....           | 2           | 3       | 3         |
| 1930 Total budgetary resources available .....         | 13          | 11      | 10        |
| Memorandum (non-add) entries:                          |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 8           | 7       | 7         |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 4  | 6  | 1  |
| 3010 Obligations incurred, unexpired accounts .....               | 5  | 4  | 3  |
| 3020 Outlays (gross) .....  | –2 | –9 | –3 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1 |    |    |
| 3050 Unpaid obligations, end of year .....                        | 6  | 1  | 1  |
| Memorandum (non-add) entries:                                     |    |    |    |
| 3100 Obligated balance, start of year .....                       | 4  | 6  | 1  |
| 3200 Obligated balance, end of year .....                         | 6  | 1  | 1  |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 2 | 3 | 3 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... |   | 3 | 3 |
| 4101 Outlays from mandatory balances .....      | 2 | 6 |   |
| 4110 Outlays, gross (total) .....               | 2 | 9 | 3 |
| 4180 Budget authority, net (total) .....        | 2 | 3 | 3 |
| 4190 Outlays, net (total) .....                 | 2 | 9 | 3 |

These appropriations provide for development and conservation of fish and wildlife and recreational facilities on military installations. Proceeds from the sale of fishing and hunting permits are used for these programs at Army, Navy, Marine Corps, and Air Force installations charging such user fees. These programs are carried out through cooperative plans agreed upon by the local representatives of the Secretary of Defense, the Secretary of the Interior, and the appropriate agency of the State in which the installation is located.

## SELECTIVE SERVICE SYSTEM

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses of the Selective Service System, including expenses of attendance at meetings, and of training for uniformed personnel assigned to the Selective Service System, as authorized by 5 U.S.C. 4101–4118 for civilian employees; hire of passenger motor vehicles; services as authorized by 5 U.S.C. 3109; and not to exceed \$750 for official reception and representation expenses; \$24,134,000: Provided, That during the current fiscal year, the President may exempt this appropriation from the provisions of 31 U.S.C. 1341, whenever the President deems such action to be necessary in the interest of national defense: Provided further, That none of the funds appropriated by this Act may be expended for or in connection with the induction of any person into the Armed Forces of the United States.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 90–0400–0–1–054                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Selective Service System .....                   | 24          | 24      | 24        |
| Budgetary Resources:                                  |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 24          | 24      | 24        |
| 1160 Appropriation, discretionary (total) .....       | 24          | 24      | 24        |
| 1930 Total budgetary resources available .....        | 24          | 24      | 24        |
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3           | 4       | 5         |
| 3010 Obligations incurred, unexpired accounts .....   | 24          | 24      | 24        |
| 3020 Outlays (gross) .....                            | –23         | –23     | –23       |
| 3050 Unpaid obligations, end of year .....            | 4           | 5       | 6         |

|   |  |    |    |    |
|---|--|----|----|----|
| Memorandum (non-add) entries:             |  |    |    |    |
| 3100                                      | Obligated balance, start of year .....         | 3  | 4  | 5  |
| 3200                                      | Obligated balance, end of year .....           | 4  | 5  | 6  |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| 4000                                      | Budget authority, gross .....                  | 24 | 24 | 24 |
| Outlays, gross:                           |  |    |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 20 | 19 | 19 |
| 4011                                      | Outlays from discretionary balances .....      | 3  | 4  | 4  |
| 4020                                      | Outlays, gross (total) .....                   | 23 | 23 | 23 |
| 4180                                      | Budget authority, net (total) .....            | 24 | 24 | 24 |
| 4190                                      | Outlays, net (total) .....                     | 23 | 23 | 23 |

The Selective Service System (SSS) continues to register men as they reach age 18, as required by law, and maintain an active database of registrant records. Should the Nation return to conscription for a national emergency, the agency would have the first draftees at military processing centers according to the mobilization plan. The agency also manages a program for the Nation's conscientious objectors in cooperation with the Department of Defense. All Reserve Force Officers participating in the Selective Service System program will remain at 175 in 2013 and 2014 to reflect requirements.

The SSS will continue to strengthen its partnership with the Armed Services. The Agency will continue its national initiative to offer every young man that receives a registration acknowledgment, almost two million annually, the opportunity to volunteer for the military services.

SSS will maintain a modernized information technology system to improve business processes, while helping to sustain an all volunteer military by aiding recruiting with its agency mailings. Relevant technology will ensure faster, more accurate registration processing, as well as more secure storage of personally identifiable information. It will also foster better customer service via the Internet.

**Object Classification** (in millions of dollars)

| Identification code 90-0400-0-1-054 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 12          | 12      | 12        |
| 11.8                                | Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 13          | 13      | 13        |
| 12.1                                | Civilian personnel benefits .....                          | 3           | 3       | 3         |
| 23.1                                | Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 4           | 4       | 4         |
| 99.9                                | Total new obligations .....                                | 24          | 24      | 24        |

**Employment Summary**

| Identification code 90-0400-0-1-054 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 118         | 124     | 124       |





# ENVIRONMENTAL PROTECTION AGENCY

## Federal Funds

### OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$45,227,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 68–0112–0–1–304                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0011 Clean Air and Global Climate Change .....                     | 4           | 4       | 4         |
| 0012 Clean and Safe Water .....                                    | 21          | 19      | 19        |
| 0013 Land Preservation and Restoration .....                       | 20          | 19      | 18        |
| 0014 Healthy Communities and Ecosystems .....                      | 3           | 3       | 3         |
| 0015 Compliance and Environmental Stewardship .....                | 2           | 2       | 2         |
| 0016 Recovery Act .....  | 6           |         |           |
| 0799 Total direct obligations .....                                | 56          | 47      | 46        |
| 0801 Reimbursable from Superfund Trust Fund .....                  | 12          | 10      | 11        |
| 0900 Total new obligations .....                                   | 68          | 57      | 57        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 21          | 8       | 3         |
| 1021 Recoveries of prior year unpaid obligations .....             | 5           |         |           |
| 1050 Unobligated balance (total) .....                             | 26          | 8       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 42          | 42      | 45        |
| 1160 Appropriation, discretionary (total) .....                    | 42          | 42      | 45        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 11          | 10      | 11        |
| 1701 Change in uncollected payments, Federal sources .....         | –1          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 10          | 10      | 11        |
| 1900 Budget authority (total) .....                                | 52          | 52      | 56        |
| 1930 Total budgetary resources available .....                     | 78          | 60      | 59        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 8           | 3       | 2         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 9           | 13      | 11        |
| 3010 Obligations incurred, unexpired accounts .....                | 68          | 57      | 57        |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020 Outlays (gross) .....   | –60         | –59     | –51       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –5          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 13          | 11      | 17        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –7          | –5      | –5        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 1           |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –5          | –5      | –5        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 2           | 8       | 6         |
| 3200 Obligated balance, end of year .....                          | 8           | 6       | 12        |

|  |     |     |     |
|--|-----|-----|-----|
| <b>Budget authority and outlays, net:</b>                      |     |     |     |
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 52  | 52  | 56  |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 36  | 40  | 43  |
| 4011 Outlays from discretionary balances .....                 | 24  | 19  | 8   |
| 4020 Outlays, gross (total) .....                              | 60  | 59  | 51  |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | –12 | –10 | –11 |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | 1   |     |     |

|   |    |    |    |
|---|----|----|----|
| 4052 Offsetting collections credited to expired accounts .....      | 1  |    |    |
| 4060 Additional offsets against budget authority only (total) ..... | 2  |    |    |
| 4070 Budget authority, net (discretionary) .....                    | 42 | 42 | 45 |
| 4080 Outlays, net (discretionary) .....                             | 48 | 49 | 40 |
| 4180 Budget authority, net (total) .....                            | 42 | 42 | 45 |
| 4190 Outlays, net (total) .....                                     | 48 | 49 | 40 |

This appropriation supports the Environmental Protection Agency's (EPA) core programs by providing funds for Office of Inspector General (OIG) audit, evaluation, and investigative products and advisory services. These products and services contribute substantially to improved environmental quality and human health, as well as improved business practices and accountability. Specifically, the OIG performs contract audits and investigations that focus on costs claimed by contractors and assess the effectiveness of contract management. Assistance agreement audits and investigations evaluate the award, administration, and costs of assistance agreements. Program audits, evaluations, and investigations determine the extent to which the desired results or benefits envisioned by the Administration and the Congress are being achieved, and identify activities that could undermine the integrity, efficiency, and effectiveness of EPA programs. Financial statement audits review financial systems and statements to ensure that adequate controls are in place and EPA's accounting information is timely, accurate, reliable and useful, and complies with applicable laws and regulations. Efficiency, risk assessment, and program performance audits review the economy, efficiency, and effectiveness of operations by examining EPA's structure and processes for achieving environmental goals, including assessing risk, setting priorities, developing implementation strategies, and measuring performance. Information resource management audits review EPA information technology and systems to test the integrity of data and systems controls, as well as compliance with a variety of Federal information security laws and requirements. Investigations prevent, detect, and seek prosecution for criminal activity and serious misconduct in EPA programs and operations. Major areas of investigative focus include: financial fraud, infrastructure/terrorist threat, program integrity, employee integrity, cyber crimes, and theft of intellectual or sensitive data. In addition, the EPA Inspector General serves as the IG for the U.S. Chemical Safety and Hazard Investigation Board providing the full range of audit, evaluation, and investigative services specified by the Inspector General Act, as amended. Additional funds for audit, evaluation, and investigative activities associated with the Superfund Trust Fund are appropriated under that account and transferred to the Inspector General account. This appropriation also supports activities under the Working Capital Fund.

### Object Classification (in millions of dollars)

| Identification code 68–0112–0–1–304                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                                 |             |         |           |
| Personnel compensation:                                    |             |         |           |
| 11.1 Full-time permanent .....                             | 27          | 27      | 27        |
| 11.1 Full-time permanent - Recovery Act .....              | 2           |         |           |
| 11.3 Other than full-time permanent - Recovery Act .....   | 2           |         |           |
| 11.5 Other personnel compensation .....                    | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                    | 33          | 29      | 29        |
| 12.1 Civilian personnel benefits .....                     | 9           | 9       | 8         |
| 21.0 Travel and transportation of persons .....            | 2           | 2       | 2         |
| 25.1 Advisory and assistance services - Recovery Act ..... | 3           |         |           |
| 25.2 Other services from non-Federal sources .....         | 5           | 4       | 4         |
| 25.3 Other goods and services from Federal sources .....   | 1           | 1       | 1         |
| 25.7 Operation and maintenance of equipment .....          | 1           | 1       | 1         |
| 31.0 Equipment .....                                       | 2           | 1       | 1         |

OFFICE OF INSPECTOR GENERAL—Continued  
Object Classification—Continued

| Identification code 68–0112–0–1–304 |                                | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--------------------------------|-------------|---------|-----------|
| 99.0                                | Direct obligations .....       | 56          | 47      | 46        |
| 99.0                                | Reimbursable obligations ..... | 12          | 10      | 11        |
| 99.9                                | Total new obligations .....    | 68          | 57      | 57        |

## Employment Summary

| Identification code 68–0112–0–1–304 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 289         | 293     | 300       |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 63          | 65      | 66        |

## SCIENCE AND TECHNOLOGY

*For science and technology, including research and development activities, which shall include research and development activities under the Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended; necessary expenses for personnel and related costs and travel expenses; procurement of laboratory equipment and supplies; and other operating expenses in support of research and development, \$783,926,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 68–0107–0–1–304                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0011   | Clean Air and Global Climate Change .....                     | 267         | 258     | 254       |
| 0012   | Clean and Safe Water .....                                    | 149         | 144     | 142       |
| 0013   | Land Preservation and Restoration .....                       | 200         | 193     | 191       |
| 0014   | Healthy Communities and Ecosystems .....                      | 190         | 184     | 181       |
| 0015   | Compliance and Environmental Stewardship .....                | 20          | 19      | 19        |
| 0799   | Total direct obligations .....                                | 826         | 798     | 787       |
| 0801   | Reimbursements from Superfund Trust Fund .....                | 25          | 25      | 25        |
| 0802   | Other Reimbursements .....                                    | 7           | 6       | 6         |
| 0899   | Total reimbursable obligations .....                          | 32          | 31      | 31        |
| 0900   | Total new obligations .....                                   | 858         | 829     | 818       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 144         | 141     | 174       |
| 1021   | Recoveries of prior year unpaid obligations .....             | 30          | 32      | 30        |
| 1050   | Unobligated balance (total) .....                             | 174         | 173     | 204       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 795         | 799     | 784       |
| 1130   | Appropriations permanently reduced .....                      | –1          | .....   | .....     |
| 1160   | Appropriation, discretionary (total) .....                    | 794         | 799     | 784       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 32          | 31      | 31        |
| 1701   | Change in uncollected payments, Federal sources .....         | 4           | .....   | .....     |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 36          | 31      | 31        |
| 1900   | Budget authority (total) .....                                | 830         | 830     | 815       |
| 1930   | Total budgetary resources available .....                     | 1,004       | 1,003   | 1,019     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                            | –5          | .....   | .....     |
| 1941   | Unexpired unobligated balance, end of year .....              | 141         | 174     | 201       |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 422         | 388     | 350       |
| 3010   | Obligations incurred, unexpired accounts .....                | 858         | 829     | 818       |
| 3011   | Obligations incurred, expired accounts .....                  | 13          | .....   | .....     |
| 3020   | Outlays (gross) .....   | –864        | –835    | –824      |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –30         | –32     | –30       |

|                               |  |     |       |       |
|-------------------------------|--|-----|-------|-------|
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | –11 | ..... | ..... |
| 3050                          | Unpaid obligations, end of year .....                        | 388 | 350   | 314   |
| Uncollected payments:         |  |     |       |       |
| 3060                          | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –39 | –32   | –32   |
| 3070                          | Change in uncollected pymts, Fed sources, unexpired .....    | –4  | ..... | ..... |
| 3071                          | Change in uncollected pymts, Fed sources, expired .....      | 11  | ..... | ..... |
| 3090                          | Uncollected pymts, Fed sources, end of year .....            | –32 | –32   | –32   |
| Memorandum (non-add) entries: |  |     |       |       |
| 3100                          | Obligated balance, start of year .....                       | 383 | 356   | 318   |
| 3200                          | Obligated balance, end of year .....                         | 356 | 318   | 282   |

## Budget authority and outlays, net:

|   |   |     |       |       |
|---|---|-----|-------|-------|
| Discretionary:  |   |     |       |       |
| 4000  | Budget authority, gross .....                                   | 830 | 830   | 815   |
| Outlays, gross:   |   |     |       |       |
| 4010  | Outlays from new discretionary authority .....                  | 484 | 488   | 498   |
| 4011  | Outlays from discretionary balances .....                       | 380 | 347   | 326   |
| 4020  | Outlays, gross (total) .....                                    | 864 | 835   | 824   |
| Offsets against gross budget authority and outlays:     |   |     |       |       |
| Offsetting collections (collected) from:                |   |     |       |       |
| 4030  | Federal sources .....   | –37 | –31   | –31   |
| 4033  | Non-Federal sources .....                                       | –5  | ..... | ..... |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –42 | –31   | –31   |
| Additional offsets against gross budget authority only: |   |     |       |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | –4  | ..... | ..... |
| 4052  | Offsetting collections credited to expired accounts .....       | 10  | ..... | ..... |
| 4060  | Additional offsets against budget authority only (total) .....  | 6   | ..... | ..... |
| 4070  | Budget authority, net (discretionary) .....                     | 794 | 799   | 784   |
| 4080  | Outlays, net (discretionary) .....                              | 822 | 804   | 793   |
| 4180  | Budget authority, net (total) .....                             | 794 | 799   | 784   |
| 4190  | Outlays, net (total) .....                                      | 822 | 804   | 793   |

This appropriation finances salary, travel, science, technology, environmental monitoring, research, and development activities including laboratory and center supplies, certain operating expenses (including activities under the Working Capital Fund), contracts, grants, intergovernmental agreements, and purchases of scientific equipment. In addition, for fiscal years 2006–2015, the Administrator may employ persons in the Office of Research and Development under the authority provided in 42 U.S.C. 209. These activities provide the scientific and technology basis for the Environmental Protection Agency (EPA) policy and regulatory development actions. This appropriation supports core Agency programs and each of the Agency's five goals. Specifically in 2014, its emphasis will be placed on the following:

*Taking Action on Climate Change and Improving Air Quality.*—To develop adaptation strategies to address climate change and improve air quality, EPA will conduct a range of science and technology activities. These include research to inform the review of the national ambient air quality standards that builds upon new science and work already performed to improve understanding of ozone, particulate matter, lead, sulfur dioxide, carbon monoxide, and nitrogen dioxide. In 2014, the Air, Climate and Energy (ACE) research program will identify air quality benefits associated with climate mitigation and adaptation choices to inform national and regional climate decisions. The program also will conduct systems research and life cycle analysis to understand the production, operation, and impacts of energy systems on health and the environment. Research on the generation, fate, transport, and chemical transformation of air emissions will identify individual and population health risks to inform clean air management decisions in the context of a changing climate and evolving energy use. The ACE research program will also develop and evaluate new approaches for monitoring levels of air pollutants—including air toxics—that may be used to enhance and reduce the cost and/or burden of monitoring for communities and industrial facilities. The integration of these program elements recognizes the relationship between climate and air quality, and aims to promote sustainable energy production with

minimal unintended public health and ecosystem consequences. Separate from the ACE research program, EPA will continue collecting air data to inform implementation of the Clean Air Interstate Rule (CAIR), targeting power plant emissions that drift across the borders of Eastern States and the District of Columbia. EPA will also develop, implement, and ensure compliance with regulatory programs that will significantly reduce emissions from highway and non-road sources, including the implementation of greenhouse gas emission standards for light-duty vehicles. EPA will continue to implement the renewable fuels provisions of the Energy Policy Act of 2005 (P.L. 109–58) and the Energy Independence and Security Act of 2007 (P.L. 110–140). In addition, EPA will develop tools for State and local governments and Tribes to use in developing clean air plans to achieve air quality standards. EPA also aims to improve indoor environments through the provision of technical support and analysis to understand indoor air effects and the identification of potential health risks.

*Protecting America's Waters.*—In 2014 through the Safe and Sustainable Water Research (SSWR) program, EPA will conduct research to meet the following science needs articulated by EPA's water program: evaluating groups of contaminants for the protection of human health and the environment; developing innovative tools, technologies, and strategies for managing water resources (including stormwater); and supporting a systems approach for protecting and restoring aquatic systems. The systems approach includes research to inform setting water quality criteria, establishing measures to assess and manage watersheds, and developing effective source control and management methods, especially for urban uses. A major component of the research program is working to support EPA's Drinking Water Strategy.

The SSWR program will address potential water supply endangerments associated with hydraulic fracturing. In addition to continuing work associated with its ongoing study, EPA will coordinate with the Department of Energy and the U.S. Geological Survey to understand and address potential impacts of natural gas development using hydraulic fracturing.

Within the SSWR program, green infrastructure research will continue to assess, develop, and compile scientifically rigorous tools and models that will be used by EPA's Office of Water, States and municipalities. In addition, SSWR and the other research programs are demonstrating innovative water technologies that will help the Army meet its goals of Net Zero energy, water and waste by 2020 across the country and overseas. Currently, there are demonstration sites at Fort Riley, in Kansas, and at Joint Base Lewis-McChord in Washington State.

*Cleaning Up Communities and Advancing Sustainable Development.*—In 2014, the Sustainable and Healthy Communities (SHC) research program will develop decision support tools to enable communities' decision makers to solve complex human health and environmental problems. The program will identify health risks and stressors, especially those that disproportionately impact vulnerable populations such as children and the elderly.

The land preservation and restoration research has evolved from waste treatment to focus on beneficial reuse, avoidance of more toxic materials, and operation of waste management facilities to conserve capacity and produce energy. Research will address resource conservation and material reuse issues, as well as the application of alternative landfill covers and the benefits of landfill bioreactors. Superfund research costs are appropriated to the Hazardous Substance Superfund Trust Fund appropriation and transferred to this account to allow for proper accounting.

The SHC research program will incorporate the principles of sustainability into its research in many capacities to promote

sustainable environmental technologies with a focus on developing a new generation of smart technologies. Research on decision support tools will also be a component of EPA's emphasis on sustainability.

Examples of the application of SHC's decision support tools include: integrating research on pesticide and toxic substances with research on human health and ecosystems; mapping, monitoring, and modeling pollutants such as nitrogen; conducting ecosystem type assessments, and assessing risk management decisions for human health. A component of this research will focus on decreasing risks to vulnerable communities and groups that are disproportionately affected by existing problems. The program will conduct a long-term assessment of EPA's laboratory network, reflecting EPA's efforts to collaborate across traditional program boundaries to support national and regional decision making, thereby strengthening the Agency's ability to respond to environmental and public health issues as "one EPA."

In 2014, EPA's Human Health Risk Assessment (HHRA) program continue efforts to develop assessments and scientific products that are used extensively by EPA Program and Regional offices, and other parties to estimate the potential risk to public health from exposure to environmental contaminants to develop regulatory standards, and to manage environmental clean-ups. The HHRA research program provides the scientific foundation for Agency actions to protect public health and the environment with four specific components:

- (1) Integrated Risk Information System health hazard and dose-response assessments;
- (2) Integrated Science Assessments of criteria air pollutants;
- (3) Community Risk and Technical Support for exposure and health assessments; and
- (4) Methods, models, and approaches to modernize risk assessment for the 21st century.

The Homeland Security research program will continue to support research efforts on evaluating chemical, biological, and radiological analytical methods; event detection software; and calibration of contaminant warning systems. The Homeland Security research program will conduct research on decontamination and management of its consequences for public health, as well as methods for protecting water infrastructures and assessing both threats, and their consequences. In 2014, Water Infrastructure Protection Research will focus on developing and testing decontamination approaches for water infrastructure and on treating CBR contaminated water caused by terrorist attacks, natural disasters, and/or accidents. Research on real time distribution system models and methods to isolate and treat contaminated water, clean distribution systems, redirect water, and return water systems to service quickly and affordably is in progress. In 2014, as part of the Water Security Initiative (WSI), EPA will focus on completing software tools that provide practical, tailored guidance for the water sector on deploying drinking water contamination warning systems, along with conducting outreach and training on those tools. The EPA will also continue to support water sector-specific agency responsibilities, including the Water Alliance for Threat Reduction, to protect the nation's critical water infrastructure. EPA will continue to oversee the regional laboratory networks that form the Water Laboratory Alliance, a nationally consistent program that enables the water sector to rapidly analyze a surge of laboratory samples during a significant contamination event.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—Impacts to people or the environment from chemicals can occur at any point from the extraction of raw materials to the chemical's end of life when it is disposed of or recycled. The Chemical Safety

## SCIENCE AND TECHNOLOGY—Continued

and Sustainability (CSS) research program is building upon existing EPA research in chemical management and extending efforts to develop innovative, transdisciplinary approaches and tools that better inform sustainable design solutions. In 2014, the CSS program will conduct research on the environmental impacts of chemicals from a life cycle perspective. In addition, the CSS program will conduct research on integrated chemical evaluation strategies. CSS will support the development and application of improved and new computational systems models of pathways and tissues, rapid cost-efficient exposure models, and user-friendly web based tools for analysis and decision support. In 2014, EPA will continue its collaboration with the National Institutes of Health (NIH) and the Food and Drug Administration (FDA), under the auspices of the Tox21 consortium. Tox21's high-speed robot screening system will continue testing over 8,000 different chemicals, to include nanomaterials and other chemicals found in industrial and consumer products, food additives, and drugs, for potential toxicity. Additionally, the CSS research program plans to:

- (1) Improve dashboards in order to provide partners and decision makers with intuitive and user-friendly tools and graphical depictions of chemical data that will be useful for addressing specific regulatory and environmental questions;
- (2) Develop methods to translate the research findings of the CSS program into useful decision support tools for the other Agency Research Programs;
- (3) Produce chemical structure files that cover the EPA's ecotoxicological databases, high throughput testing programs like ToxCast and Tox21, and the FDA's food additive database. These files support predictive modeling efforts and will be incorporated into structure-searching tools and CSS Dashboards for ongoing use; and
- (4) Provide and demonstrate solutions for the sustainable design, production, and use of new chemicals using life cycle chemical assessments in order to encourage the use of green engineering principles by manufacturers.

In 2014, the Agency will protect human health by ensuring the availability of appropriate analytical methods for detecting pesticide residues in food and feed, ensuring suitability for monitoring pesticide residues, and enforcing tolerances. This will be accomplished by developing and validating multi-residue pesticide analytical methods for food, feed, and water for use by other Federal and State laboratories, and subsequently the program office. Laboratories further support the estimation of human health risks from pesticide use by operating the National Pesticide Standard Repository (NPSR). The NPSR will also protect the environment by supporting Federal and State laboratories involved in enforcement activities.

**Enforcing Environmental Laws.**—In 2014, EPA's Forensics Support program will continue to provide specialized scientific and technical support for the Nation's most complex civil and criminal enforcement cases, as well as technical expertise for Agency compliance efforts. This work is critical to determining non-compliance and building viable enforcement cases. The EPA's National Enforcement Investigations Center (NEIC) is a fully accredited environmental forensics center under International Standards Organization 17025, the main standard used by testing and calibration laboratories, as recommended by the National Academy of Sciences. NEIC works closely with the EPA Criminal Investigation Division to provide technical support (e.g., sampling, analysis, consultation and testimony) to criminal investigations. NEIC also works closely with the Regional Offices to provide technical assistance, consultation, on-site inspection, investiga-

tion, and case resolution services in support of the EPA's Civil Enforcement program.

NEIC will continue to apply its technical resources in support of EPA's national enforcement priorities, and support the technical aspects of criminal investigations. Efforts to stay at the forefront of environmental enforcement in 2014 include focused refinement of single and multi-media compliance monitoring investigation approaches, customized laboratory methods to solve unusual enforcement case challenges, and applied research and development in both laboratory and field applications.

**Enabling and Support Programs.**—Enabling and Support Programs provide the people, facilities, and systems necessary to operate the programs funded by the Science and Technology appropriations. The offices and the functions they perform are: Administration and Resources Management (facilities infrastructure and operations) and Environmental Information (information technology/data management).

## Object Classification (in millions of dollars)

| Identification code 68-0107-0-1-304 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 242         | 241     | 240       |
| 11.3                                | Other than full-time permanent .....                       | 14          | 14      | 13        |
| 11.5                                | Other personnel compensation .....                         | 5           | 5       | 5         |
| 11.7                                | Military personnel .....                                   | 2           | 2       | 2         |
| 11.9                                | Total personnel compensation .....                         | 263         | 262     | 260       |
| 12.1                                | Civilian personnel benefits .....                          | 74          | 74      | 73        |
| 21.0                                | Travel and transportation of persons .....                 | 5           | 5       | 5         |
| 22.0                                | Transportation of things .....                             | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                               | 30          | 30      | 29        |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 20          | 19      | 19        |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....                     | 46          | 43      | 42        |
| 25.2                                | Other services from non-Federal sources .....              | 103         | 96      | 96        |
| 25.3                                | Other goods and services from Federal sources .....        | 30          | 28      | 27        |
| 25.4                                | Operation and maintenance of facilities .....              | 36          | 35      | 34        |
| 25.5                                | Research and development contracts .....                   | 85          | 79      | 78        |
| 25.7                                | Operation and maintenance of equipment .....               | 12          | 12      | 11        |
| 26.0                                | Supplies and materials .....                               | 11          | 11      | 10        |
| 31.0                                | Equipment .....  | 14          | 14      | 13        |
| 41.0                                | Grants, subsidies, and contributions .....                 | 95          | 88      | 88        |
| 99.0                                | Direct obligations .....                                   | 826         | 798     | 787       |
| 99.0                                | Reimbursable obligations .....                             | 32          | 31      | 31        |
| 99.9                                | Total new obligations .....                                | 858         | 829     | 818       |

## Employment Summary

| Identification code 68-0107-0-1-304 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 2,418       | 2,414   | 2,418     |
| 1101                                | Direct military average strength employment .....           | 19          | 19      | 19        |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 110         | 105     | 106       |
| 2101                                | Reimbursable military average strength employment .....     | 1           | 1       | 1         |

## ENVIRONMENTAL PROGRAMS AND MANAGEMENT

*For environmental programs and management, including necessary expenses, not otherwise provided for, for personnel and related costs and travel expenses; hire of passenger motor vehicles; hire, maintenance, and operation of aircraft; purchase of reprints; library memberships in societies or associations which issue publications to members only or at a price to members lower than to subscribers who are not members; administrative costs of the brownfields program under the Small Business Liability Relief and Brownfields Revitalization Act of 2002; and not to exceed \$9,000 for official reception and representation expenses, \$2,812,757,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing

resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 68–0108–0–1–304                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0011   | Clean Air and Global Climate Change .....                       | 476         | 460     | 477       |
| 0012   | Clean and Safe Water .....                                      | 988         | 954     | 988       |
| 0013   | Land Preservation and Restoration .....                         | 371         | 358     | 371       |
| 0014   | Healthy Communities and Ecosystems .....                        | 437         | 422     | 437       |
| 0015   | Compliance and Environmental Stewardship .....                  | 517         | 499     | 517       |
| 0799   | Total direct obligations .....                                  | 2,789       | 2,693   | 2,790     |
| 0801   | Reimbursable program .....                                      | 70          | 58      | 57        |
| 0900   | Total new obligations .....                                     | 2,859       | 2,751   | 2,847     |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 156         | 171     | 298       |
| 1021   | Recoveries of prior year unpaid obligations .....               | 141         | 140     | 140       |
| 1050   | Unobligated balance (total) .....                               | 297         | 311     | 438       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 2,683       | 2,695   | 2,813     |
| 1100   | Appropriation .....   |             | 1       |           |
| 1130   | Appropriations permanently reduced .....                        | –4          |         |           |
| 1160   | Appropriation, discretionary (total) .....                      | 2,679       | 2,696   | 2,813     |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 54          | 29      | 44        |
| 1701   | Change in uncollected payments, Federal sources .....           | 13          | 13      |           |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 67          | 42      | 44        |
| 1900   | Budget authority (total) .....                                  | 2,746       | 2,738   | 2,857     |
| 1930   | Total budgetary resources available .....                       | 3,043       | 3,049   | 3,295     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                              | –13         |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 171         | 298     | 448       |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 1,408       | 1,301   | 1,183     |
| 3010   | Obligations incurred, unexpired accounts .....                  | 2,859       | 2,751   | 2,847     |
| 3011   | Obligations incurred, expired accounts .....                    | 18          |         |           |
| 3020   | Outlays (gross) .....   | –2,814      | –2,700  | –2,766    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | –141        | –140    | –140      |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | –29         | –29     |           |
| 3050   | Unpaid obligations, end of year .....                           | 1,301       | 1,183   | 1,124     |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –123        | –110    | –110      |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....       | –13         | –13     |           |
| 3071   | Change in uncollected pymts, Fed sources, expired .....         | 26          | 13      |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....               | –110        | –110    | –110      |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 1,285       | 1,191   | 1,073     |
| 3200   | Obligated balance, end of year .....                            | 1,191       | 1,073   | 1,014     |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                   | 2,746       | 2,738   | 2,857     |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                  | 1,879       | 1,825   | 1,908     |
| 4011   | Outlays from discretionary balances .....                       | 935         | 875     | 858       |
| 4020   | Outlays, gross (total) .....                                    | 2,814       | 2,700   | 2,766     |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | –30         | –29     | –44       |
| 4033   | Non-Federal sources .....                                       | –34         |         |           |
| 4040   | Offsets against gross budget authority and outlays (total) .... | –64         | –29     | –44       |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....       | –13         | –13     |           |
| 4052   | Offsetting collections credited to expired accounts .....       | 10          |         |           |
| 4060   | Additional offsets against budget authority only (total) .....  | –3          | –13     |           |
| 4070   | Budget authority, net (discretionary) .....                     | 2,679       | 2,696   | 2,813     |
| 4080   | Outlays, net (discretionary) .....                              | 2,750       | 2,671   | 2,722     |
| 4180   | Budget authority, net (total) .....                             | 2,679       | 2,696   | 2,813     |
| 4190   | Outlays, net (total) .....                                      | 2,750       | 2,671   | 2,722     |

This appropriation includes funds for salaries, travel, contracts, grants, and cooperative agreements for pollution abatement, control, and compliance activities and administrative activities of the operating programs, including activities under the Working Capital Fund. This appropriation supports core Agency programs and each of the Agency's five goals. Specifically in 2014, the Environmental Protection Agency (EPA) will emphasize the following:

*Taking Action on Climate Change and Improving Air Quality.*—To protect and improve air quality and reduce greenhouse gas (GHG) emissions, EPA will apply a variety of approaches and appropriate tools. EPA will develop and implement strategies to attain ambient air quality standards for the six criteria pollutants: ozone, particulate matter, sulfur dioxide, nitrogen dioxide, carbon monoxide, and lead. EPA will reduce regional haze through regional approaches where significant transport of pollutants occurs. EPA will also develop control measures for sources that are best regulated at the Federal level. EPA will continue to develop and issue national technology-based and risk-based standards using a sector-based approach to reduce the quantity of toxic air pollutants emitted from industrial and manufacturing processes, as well as from urban sources. EPA will proceed with performing analyses to develop New Source Performance Standards, consistent with Clean Air Act requirements. The Acid Rain program will continue its market-based approach to achieving reduced emissions of sulfur dioxide primarily from electric utilities. The market-based approach will also be used in other programs, where permitted under the Clean Air Act, to reduce emissions of air pollutants. EPA will use its authority under the Clean Air Act to continue efforts to reduce GHGs domestically and internationally through cost-effective, non-regulatory programs while also pursuing regulatory options. Through implementation of the mandatory Greenhouse Gas Reporting Rule, EPA will work with sources to obtain high quality data in a cost-effective manner. EPA will continue to provide support and oversight for local, State, and Tribal permitting authorities to efficiently process GHG permits, as well as issue permits directly to sources where there is no delegated permitting authority. EPA will continue partnerships with businesses and other sectors to help reduce GHGs through the greater use of energy efficient technologies and products that contribute to cleaner air. The voluntary government-industry partnership programs are designed to capitalize on the opportunities that consumers, businesses, and organizations have for making sound investments in efficient equipment, policies, practices, and transportation choices. EPA will continue to coordinate the implementation of the Global Methane Initiative to enhance global cooperation and expand efforts to capture and use methane as a clean alternative energy source. EPA will also participate with other agencies to help global efforts to increase energy efficiency and reduce GHG emissions. EPA will implement voluntary outreach and partnership programs with State, Tribal, and local governments to improve indoor air quality and reduce potential risks to the public (including radon) in homes, schools, and workplaces. In addition, EPA will develop and use voluntary and regulatory programs, public information, and training to reduce public exposure to radiation. EPA will focus its domestic and international efforts to ensure that ozone-depleting substance production and import caps under the Montreal Protocol and Clean Air Act continue to be met.

*Protecting America's Waters.*—To protect and restore our waters to ensure that drinking water is safe, and that aquatic ecosystems sustain fish, plants and wildlife, as well as support economic, recreational, and subsistence activities, EPA will focus on several

## ENVIRONMENTAL PROGRAMS AND MANAGEMENT—Continued

key strategies. EPA's strategy for helping systems provide safe drinking water over the next several years includes developing or revising drinking water standards, supporting States, Tribes, and water systems in implementing standards, promoting sustainable management of water infrastructure, and protecting sources of drinking water from contamination. EPA will facilitate the ecosystem-scale protection and restoration of natural areas by supporting continuing efforts of all 28 National Estuary Program estuaries to implement their Comprehensive Conservation and Management Plans to protect and restore estuarine resources. To maximize the impact of each dollar, EPA will continue to strengthen its vital partnerships with States, Tribes, local governments, and other parties that are also working toward the common goal of improving the Nation's waters. EPA will implement the President's Executive Order on the Chesapeake Bay, implementing a strategy to restore Bay water quality. EPA will continue to lead the implementation of the Great Lakes Restoration Initiative. The initiative provides \$300 million for programs and projects strategically chosen to target the most significant environmental problems in the Great Lakes ecosystem. This investment will allow for implementation of important restoration activities emphasizing on-the-ground work to achieve the goals, objectives and targets of the Initiative. EPA expects continued progress within each of the Initiative's focus areas: Toxic Substances and Areas of Concerns, Invasive Species, Nearshore Health and Nonpoint Source, Habitat and Wildlife Protection and Restoration, and Accountability, Education, Monitoring, Evaluation, Communication, and Partnerships. Appropriations language for this proposal can be found in EPA's Administrative Provisions. EPA will help States implement nationally consistent water quality monitoring programs which will eventually allow EPA to make a credible national assessment of water quality. High quality, current monitoring data is critical for EPA, States, Tribes and others to make watershed-based decisions, develop necessary water quality standards and total maximum daily loads, and accurately and consistently portray conditions and trends. In addition, EPA will continue work with its Federal partners to support the safe deployment of carbon dioxide capture and storage technologies as a climate mitigation strategy.

*Cleaning Up Communities and Advancing Sustainable Development.*—EPA will work to preserve land by ensuring proper management of waste, reducing waste generation and increasing recycling. EPA will continue to assist States in putting in place and maintaining permits at facilities that treat, store or dispose of hazardous waste. Although States are the primary implementers of the Corrective Action program which requires facilities managing hazardous waste to clean up past releases, EPA directly implements the program in 13 States and provides technical support and oversight for State-led activities. To achieve EPA's waste management goals, EPA will: (1) maintain partnerships with businesses, industries, Tribes, States, communities, and consumers; (2) promote environmentally responsible behavior by product manufacturers, users and disposers; and (3) encourage businesses, government, institutions and consumers to reduce waste generation and increase recycling through education, outreach, training, and technical assistance. EPA will also continue to work with States in order to bring all underground storage tank systems into compliance and keep them in compliance with the release detection and release prevention requirements outlined in the Energy Policy Act of 2005. EPA works with State, local, and Tribal partners to help protect the public and the environment from releases of hazardous substances from chemical handling facilities by helping them develop area-wide emergency

response and contingency plans. EPA conducts audits and inspections of those facilities handling more than a threshold quantity of certain extremely hazardous chemicals that are required to implement a Risk Management Program to prevent releases. EPA will also support the operations and management of the Brownfields program, including training and technical support to assist communities to address general issues associated with properties of which redevelopment or reuse may be complicated by the presence of contamination. EPA is committed to ensuring environmental justice regardless of race, color, national origin, or income. Recognizing that minority and/or low-income communities may be exposed disproportionately to environmental harm and risks, EPA works to protect these communities from adverse health and environmental effects and to ensure they are given the opportunity to participate meaningfully in environmental clean-up decisions. EPA's Environmental Justice program will continue to incorporate environmental justice considerations in the rulemaking process. EPA will apply effective methods suitable for determining whether disproportionate environmental health impacts on minority, low-income, and tribal populations exist. Funds will also provide resources and FTE support for developing the new Hazardous Waste Electronic Manifest System.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—To ensure that the foods the American public eats will be free from unsafe levels of pesticide residues, EPA will apply strict health-based standards to the registration of pesticides for use on food or animal feed and ensure that older pesticides meet current health standards. EPA will also work to expedite and increase the registration of safer pesticides and to decrease the use of pesticides with the highest potential to cause adverse effects. EPA intends to reduce potential human and environmental risks from commercial and residential exposure to pesticides through programs that focus on farmworker protection, endangered species protection, environmental stewardship, and integrated pest management. EPA's toxics program will continue to make substantial progress in protecting public health and the environment from harmful industrial chemicals by assessing the safety of new and existing chemicals, reducing gaps in the data available, strengthening chemical information management, and promoting greater transparency. EPA will also continue its efforts to alleviate health risks from exposure to lead-based paint and other sources of lead in the environment. EPA's Pollution Prevention (P2) program will continue to provide technical assistance, information and supporting assessments to encourage the use of greener chemicals, technologies, processes and products through eight programs: Environmentally Preferable Purchasing, Design for the Environment, Green Suppliers Network, Regional Grants, Pollution Prevention Resource Exchange, Partnership for Sustainable Healthcare, Green Chemistry, and Green Engineering. In addition, EPA's P2 program will continue to support the new Economy, Energy and Environment partnership among Federal agencies, local governments and manufactures to promote energy efficiency, job creation and environmental improvement.

The United States will coordinate with other nations in multilateral efforts to protect the environment and human health. EPA will continue to promote formal bilateral and multilateral environmental agreements with key countries, execute environmental components of the Administration's key foreign policy initiatives, and engage in regional and global negotiations aimed at reducing potential environmental risks via formal and informal agreements. EPA will continue to cooperate with other countries to ensure that domestic and international environmental laws, policies, and priorities are recognized and implemented and,

where appropriate, promoted within the multilateral development assistance and trading system.

Combined with public demand for information, unprecedented changes in information technology are altering the way EPA, States, and Tribes collect, manage, analyze, use, secure, and provide access to quality environmental information. EPA is working with the States and Tribes to strengthen our information quality, leverage information maintained by other government organizations, and develop new tools that provide the public with simultaneous access to multiple data sets, allowing users to understand local, Tribal, State, regional, and national environmental conditions. Key to achieving information quality will be the further development of the National Environmental Information Exchange Network, which is primarily an affiliation between EPA and the States and Tribes. EPA will continue to reduce reporting burden, improve data quality, and accelerate data publications by accelerating the replacement of paper-based submissions with electronic reporting under the Toxic Release Inventory and other programs.

**Enforcing Environmental Laws.**—EPA will implement a strong enforcement and compliance program focused on identifying and reducing non-compliant actions and deterring future non-compliant actions that violate the law. To improve compliance with environmental laws, EPA works to provide easy access to tools that help regulated entities, Federal agencies, and the public understand these laws and find efficient, cost-effective means for putting them into practice. EPA's enforcement program targets inspections and other compliance monitoring activities according to the degree of health and environmental risk. The program collaborates with the Department of Justice, States, local government agencies, and Tribal governments to ensure consistent and fair enforcement of all environmental laws and regulations. The program seeks to aggressively pursue violations that threaten communities, ensure a level economic playing field by ensuring that violators do not realize an economic benefit from noncompliance, and deter future violations. The Civil Enforcement program develops, litigates, and settles administrative and civil judicial cases against serious violators of environmental laws. The Criminal Enforcement program punishes violators of environmental laws by holding them accountable through jail sentences and criminal fines. Bringing criminal cases sends a strong deterrence message to potential violators, enhances aggregate compliance with laws and regulations and protects our communities. In 2014, EPA is continuing efforts to improve efficiencies by streamlining monitoring and reporting, improving transparency, more accurately gauging compliance, and better engaging the public.

**Enabling and Support Programs.**—EPA's Enabling and Support Programs (ESPs) provide centralized management services and support to environmental programs. The offices and the functions they perform within the Environmental Programs and Management appropriation are: the Offices of Administration and Resources Management (facilities infrastructure and operations, acquisition management, human resources management services, and management of financial assistance grants/institutional assistance grants); Environmental Information (exchange network, information security, information technology/data management); the Administrator (administrative law, civil rights/Title VI compliance, congressional, intergovernmental and external relations, regional science and technology, Science Advisory Board); the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability); and General Counsel (alternative dispute resolution and legal advice). Since these centralized services

provide support across EPA, resources for the ESPs are allocated across EPA's appropriations, goals, and objectives.

#### Object Classification (in millions of dollars)

| Identification code 68-0108-0-1-304                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 1,106       | 1,090   | 1,107     |
| 11.3 Other than full-time permanent .....                       | 30          | 29      | 30        |
| 11.5 Other personnel compensation .....                         | 18          | 17      | 18        |
| 11.7 Military personnel .....                                   | 5           | 5       | 5         |
| 11.8 Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 1,160       | 1,142   | 1,161     |
| 12.1 Civilian personnel benefits .....                          | 326         | 325     | 326       |
| 13.0 Benefits for former personnel .....                        | 4           | 4       | 4         |
| 21.0 Travel and transportation of persons .....                 | 20          | 19      | 20        |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 159         | 154     | 159       |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 10          | 10      | 10        |
| 24.0 Printing and reproduction .....                            | 7           | 7       | 7         |
| 25.1 Advisory and assistance services .....                     | 125         | 121     | 125       |
| 25.2 Other services from non-Federal sources .....              | 480         | 440     | 480       |
| 25.3 Other goods and services from Federal sources .....        | 192         | 185     | 192       |
| 25.4 Operation and maintenance of facilities .....              | 25          | 24      | 25        |
| 25.7 Operation and maintenance of equipment .....               | 27          | 26      | 27        |
| 26.0 Supplies and materials .....                               | 7           | 7       | 7         |
| 31.0 Equipment .....  | 13          | 13      | 13        |
| 41.0 Grants, subsidies, and contributions .....                 | 232         | 214     | 232       |
| 99.0 Direct obligations .....                                   | 2,789       | 2,693   | 2,790     |
| 99.0 Reimbursable obligations .....                             | 70          | 58      | 57        |
| 99.9 Total new obligations .....                                | 2,859       | 2,751   | 2,847     |

#### Employment Summary

| Identification code 68-0108-0-1-304                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 10,604      | 10,648  | 10,550    |
| 1101 Direct military average strength employment .....           | 37          | 37      | 37        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 32          | 32      | 32        |
| 2101 Reimbursable military average strength employment .....     | 2           | 2       | 2         |

#### BUILDINGS AND FACILITIES

*For construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities of, or for use by, the Environmental Protection Agency, \$54,364,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 68-0110-0-1-304                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0011 Clean Air and Global Climate Change .....         | 9           | 9       | 14        |
| 0012 Clean and Safe Water .....                        | 6           | 6       | 9         |
| 0013 Land Preservation and Restoration .....           | 7           | 7       | 11        |
| 0014 Healthy Communities and Ecosystems .....          | 11          | 10      | 16        |
| 0015 Compliance and Environmental Stewardship .....    | 5           | 5       | 7         |
| 0900 Total new obligations .....                       | 38          | 37      | 57        |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 4           | 3       | 4         |
| 1021 Recoveries of prior year unpaid obligations ..... | 1           | 1       | 1         |
| 1050 Unobligated balance (total) .....                 | 5           | 4       | 5         |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1100 Appropriation .....                               | 36          | 37      | 54        |
| 1160 Appropriation, discretionary (total) .....        | 36          | 37      | 54        |
| 1930 Total budgetary resources available .....         | 41          | 41      | 59        |

BUILDINGS AND FACILITIES—Continued  
Program and Financing—Continued

| Identification code 68-0110-0-1-304                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 3           | 4       | 2         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 35          | 40      | 39        |
| 3010 Obligations incurred, unexpired accounts .....               | 38          | 37      | 57        |
| 3020 Outlays (gross) .....  | -32         | -37     | -41       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1          | -1      | -1        |
| 3050 Unpaid obligations, end of year .....                        | 40          | 39      | 54        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 35          | 40      | 39        |
| 3200 Obligated balance, end of year .....                         | 40          | 39      | 54        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 36          | 37      | 54        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 4           | 6       | 10        |
| 4011 Outlays from discretionary balances .....                    | 28          | 31      | 31        |
| 4020 Outlays, gross (total) .....                                 | 32          | 37      | 41        |
| 4180 Budget authority, net (total) .....                          | 36          | 37      | 54        |
| 4190 Outlays, net (total) .....                                   | 32          | 37      | 41        |

This appropriation provides for the construction, repair, improvement, extension, alteration, and purchase of fixed equipment or facilities that are owned or used by the Environmental Protection Agency (EPA). This appropriation supports the EPA-wide goals through Enabling and Support Programs that provide centralized management services and support to the EPA's various environmental programs. EPA's management infrastructure will set and implement the highest quality standards for effective internal management and fiscal responsibility. The facilities funded by this account will provide quality work environments and state-of-the-art laboratories that address employee safety and security and pollution prevention.

This appropriation also funds the design of a new, consolidated Federally owned multi-use facility, including a lab, to replace the multiple EPA leased locations in Las Vegas, Nevada, several of which have leases that are expiring in the next few years. The new facility will have a smaller overall footprint than the current leased locations and will be designed to be energy efficient with lower anticipated operating cost.

## Object Classification (in millions of dollars)

| Identification code 68-0110-0-1-304                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities ..... | 5           | 5       | 8         |
| 32.0 Land and structures .....                     | 32          | 31      | 48        |
| 99.9 Total new obligations .....                   | 38          | 37      | 57        |

## STATE AND TRIBAL ASSISTANCE GRANTS

For environmental programs and infrastructure assistance, including capitalization grants for State revolving funds and performance partnership grants, \$3,153,842,000, to remain available until expended, of which:

(1) \$1,095,000,000 shall be for making capitalization grants for the Clean Water State Revolving Funds under title VI of the Federal Water Pollution Control Act, as amended (the "Act"); and of which \$817,000,000 shall be for making capitalization grants for the Drinking Water State Revolving Funds under section 1452 of the Safe Drinking Water Act, as amended: Provided, That for fiscal year 2014, to the extent there are sufficient project applications, not less than 20 percent of the funds made

available under this title to each State for Clean Water State Revolving Fund capitalization grants shall be used by the State for green infrastructure projects: Provided further, That for fiscal year 2014, not less than 10 percent of the funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants shall be used for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities: Provided further, That notwithstanding section 603(d)(7) of the Act, the limitation on the amounts in a State water pollution control revolving fund that may be used by a State to administer the fund shall not apply to amounts included as principal in loans made by such fund in fiscal year 2014 and prior years where such amounts represent costs of administering the fund to the extent that such amounts are or were deemed reasonable by the Administrator, accounted for separately from other assets in the fund, and used for eligible purposes of the fund, including administration: Provided further, That for fiscal year 2014, notwithstanding the limitation on amounts in section 518(c) of the Act and section 1452(i) of the Safe Drinking Water Act, up to a total of 2 percent of the funds appropriated for State Revolving Funds under such Acts may be reserved by the Administrator for grants under section 518(c) and section 1452(i) of such Acts: Provided further, That for fiscal year 2014, notwithstanding the amounts specified in section 205(c) of the Act, up to 1.5 percent of the aggregate funds appropriated for the Clean Water State Revolving Fund program under the Act less any sums reserved under section 518(c) of the Act, may be reserved by the Administrator for grants made under title II of the Clean Water Act for American Samoa, Guam, the Commonwealth of the Northern Marianas, and United States Virgin Islands: Provided further, That for fiscal year 2014, notwithstanding the limitations on amounts specified in section 1452(j) of the Safe Drinking Water Act, up to 1.5 percent of the funds appropriated for the Drinking Water State Revolving Fund programs under the Safe Drinking Water Act may be reserved by the Administrator for grants made under section 1452(j) of the Safe Drinking Water Act: Provided further, That not less than 20 percent but not more than 30 percent of the funds made available under this title to each State for Clean Water State Revolving Fund capitalization grants and not less than 20 percent but not more than 30 percent of the funds made available under this title to each State for Drinking Water State Revolving Fund capitalization grants shall be used by the State to provide additional subsidy to eligible recipients in the form of forgiveness of principal, negative interest loans, or grants (or any combination of these), and shall be so used by the State only where such funds are provided as initial financing for an eligible recipient or to buy, refinance, or restructure the debt obligations of eligible recipients only where such debt was incurred on or after the date of enactment of this Act;

(2) \$5,000,000 shall be for architectural, engineering, planning, design, construction and related activities in connection with the construction of high priority water and wastewater facilities in the area of the United States-Mexico Border, after consultation with the appropriate border commission; Provided, That no funds provided by this appropriations Act to address the water, wastewater and other critical infrastructure needs of the colonias in the United States along the United States-Mexico border shall be made available to a county or municipal government unless that government has established an enforceable local ordinance, or other zoning rule, which prevents in that jurisdiction the development or construction of any additional colonia areas, or the development within an existing colonia the construction of any new home, business, or other structure which lacks water, wastewater, or other necessary infrastructure;

(3) \$10,000,000 shall be for grants to the State of Alaska to address drinking water and wastewater infrastructure needs of rural and Alaska Native Villages: Provided, That, of these funds: (1) the State of Alaska shall provide a match of 25 percent; and (2) no more than 5 percent of the funds may be used for administrative and overhead expenses;

(4) \$85,000,000 shall be to carry out section 104(k) of the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended, including grants, interagency agreements, and associated program support costs: Provided, That not more than 25 percent of the amount appropriated to carry out section 104(k) of CERCLA shall be used for site characterization, assessment, and remediation of facilities described in section 101(39)(D)(ii)(II) of CERCLA;

(5) \$6,000,000 shall be for grants under title VII, subtitle G of the Energy Policy Act of 2005, as amended; and



(6) \$1,135,842,000 shall be for grants, including associated program support costs, to States, federally recognized tribes, interstate agencies, tribal consortia, and air pollution control agencies for multi-media or single media pollution prevention, control and abatement and related activities, including activities pursuant to the provisions set forth under this heading in Public Law 104–134, and for making grants under section 103 of the Clean Air Act for particulate matter monitoring and data collection activities subject to terms and conditions specified by the Administrator, of which: \$47,572,000 shall be for carrying out section 128 of CERCLA, as amended; \$21,564,000 shall be for Environmental Information Exchange Network grants, including associated program support costs; \$1,490,000 shall be for grants to States under section 2007(f)(2) of the Solid Waste Disposal Act, as amended, which shall be in addition to funds appropriated under the heading "Leaking Underground Storage Tank Trust Fund Program" to carry out the provisions of the Solid Waste Disposal Act specified in section 9508(c) of the Internal Revenue Code other than section 9003(h) of the Solid Waste Disposal Act, as amended; \$4,000,000 shall be for a competitive grant program for states to develop and collect innovative measures for assessing the performance of the enforcement and compliance program or to design and implement innovative enforcement and compliance tools and approaches and measure the impact of such; \$18,500,000 of the funds available for grants under section 106 of the Federal Water Pollution Control Act shall be for State participation in national- and State-level statistical surveys of water resources and enhancements to State monitoring programs; and \$15,000,000 of the funds available for grants under section 106 of the Federal Water Pollution Control Act shall be awarded to States to achieve nutrient reductions.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

#### Program and Financing (in millions of dollars)

| Identification code 68–0103–0–1–304                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                 |             |         |           |
| 0011 Clean Air and Global Climate Change .....                          | 304         | 271     | 256       |
| 0012 Clean and Safe Water .....   | 3,571       | 3,177   | 3,080     |
| 0013 Land Preservation and Restoration .....                            | 328         | 292     | 276       |
| 0014 Healthy Communities and Ecosystems .....                           | 37          | 33      | 31        |
| 0015 Compliance and Environmental Stewardship .....                     | 28          | 25      | 24        |
| 0900 Total new obligations .....  | 4,268       | 3,798   | 3,667     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                   | 856         | 306     | 762       |
| 1021 Recoveries of prior year unpaid obligations .....                  | 150         | 64      | 64        |
| 1050 Unobligated balance (total) .....                                  | 1,006       | 370     | 826       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 3,619       | 3,635   | 3,154     |
| 1100 Discretionary Emergency pursuant to 2011 Budget Control Act .....  |             | 600     |           |
| 1130 Appropriations permanently reduced .....                           | –6          |         |           |
| 1131 Unobligated balance permanently reduced (balances cancelled) ..... | –45         | –45     |           |
| 1160 Appropriation, discretionary (total) .....                         | 3,568       | 4,190   | 3,154     |
| 1900 Budget authority (total) .....                                     | 3,568       | 4,190   | 3,154     |
| 1930 Total budgetary resources available .....                          | 4,574       | 4,560   | 3,980     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                   | 306         | 762     | 313       |
| <b>Change in obligated balance:</b>                                     |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                   | 9,011       | 7,890   | 7,135     |
| 3010 Obligations incurred, unexpired accounts .....                     | 4,268       | 3,798   | 3,667     |
| 3020 Outlays (gross) .....  | –5,223      | –4,489  | –3,893    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....       | –150        | –64     | –64       |
| 3041 Recoveries of prior year unpaid obligations, expired .....         | –16         |         |           |
| 3050 Unpaid obligations, end of year .....                              | 7,890       | 7,135   | 6,845     |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                             | 9,011       | 7,890   | 7,135     |
| 3200 Obligated balance, end of year .....                               | 7,890       | 7,135   | 6,845     |

#### Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Discretionary:                                      |       |       |       |
| 4000 Budget authority, gross .....                  | 3,568 | 4,190 | 3,154 |
| Outlays, gross:                                     |       |       |       |
| 4010 Outlays from new discretionary authority ..... | 490   | 459   | 447   |
| 4011 Outlays from discretionary balances .....      | 4,733 | 4,030 | 3,446 |
| 4020 Outlays, gross (total) .....                   | 5,223 | 4,489 | 3,893 |
| 4180 Budget authority, net (total) .....            | 3,568 | 4,190 | 3,154 |
| 4190 Outlays, net (total) .....                     | 5,223 | 4,489 | 3,893 |

This appropriation supports core Environmental Protection Agency (EPA) programs and each of the EPA's five goals through grants to States, Tribes and other partners. EPA is using common elements for State and Tribal grant agreements, including Performance Partnerships. The elements clearly link to EPA's strategic plan to relate State workplan information into EPA's strategic and annual goals.

**Taking Action on Climate Change and Improving Air Quality.**—To reduce greenhouse gas (GHG) emissions and address climate change and protect and improve air quality for every American community, EPA will offer media-specific and multi-media Performance Partnership grants, and technical assistance to States and Tribes. This financial and technical aid will assist them in the development of their Clean Air Plans, support solutions that address local air needs, and provide support for development of state programs that address GHG emissions. EPA will provide funds to States and Tribes under section 105 of the Clean Air Act to improve air monitoring networks to obtain better data on emissions of criteria pollutants and air toxics. EPA issued air quality standards for a new pollutant, fine particulate matter, in the late 1990s. Since that time, EPA has funded State and local fine particulate monitoring networks using the requirements of section 103 of the Clean Air Act, as authorized in annual appropriation bills. Section 103 provides full funding for pilot programs, demonstrations, research, and other one-time activities; section 105 requires State and local agencies to provide matching funds of at least 40 percent of the amount required for the entire continuing State or local clean air program. EPA is committed to continuing a transition to incorporate funding for fine particulate monitoring into the funding authorized by section 105 of the Clean Air Act for continuing State and local clean air programs. Using funds provided by EPA under sections 103 and 105, States and Tribes will prepare State Implementation Plans and Tribal Implementation Plans to achieve the revised, more protective National Ambient Air Quality Standards; implement new monitoring requirements, including technological upgrades and additional monitoring stations; and support the National Air Toxics Trends Stations monitoring network. Additionally, EPA will provide funds to States to support the Greenhouse Gas Reporting Rule, facilitating States' collection, review, and use of GHG emissions data. Additionally, funds will support GHG permitting to provide state and local agencies the resources to review permit applications and issue permits to large sources of greenhouse gas emissions.

**Protecting America's Waters.**—This Agency goal is to reduce human exposure to contaminants in drinking water, fish and shellfish, and recreational waters and to protect and restore watersheds and aquatic ecosystems. In 2014, EPA will continue to work with State and local partners to develop policies that promote the use of water resources in ways that are both ecologically and economically sustainable. In support of this goal, EPA will provide \$1.1 billion for the Clean Water State Revolving Fund (SRF). The Clean Water SRF makes low interest loans to communities and includes a set-aside for Tribes and U.S. Territories to construct wastewater treatment infrastructure, in addition to other projects that enhance water quality. The Federal Govern-

## STATE AND TRIBAL ASSISTANCE GRANTS—Continued

ment has invested over \$35 billion in grants to help capitalize the 51 Clean Water SRFs. With the required State match, additional State contributions and funds from program leveraging, funds made available for such Clean Water loans total over \$97 billion. The SRFs also will support cost-effective, sustainable green infrastructure projects, as not less than 20 percent of the Clean Water SRF grants shall be used by the State for such activities. For 2014, not less than 10 percent of funds provided to States under the Drinking Water State Revolving Funds may be used for projects to address green infrastructure, water or energy efficiency improvements, or other environmentally innovative activities. The Budget also requests \$817 million for the Drinking Water SRF, which makes low interest loans to public water systems and to Tribes and U.S. Territories to upgrade drinking water infrastructure to help them provide safe drinking water.

Direct grants are also provided to help address the significant water and wastewater infrastructure needs of Alaska Native Villages. EPA has implemented a management plan that optimizes the pace of the program. EPA will strengthen State core water quality protection and water enforcement programs. EPA will provide direct grant assistance for water and wastewater infrastructure projects on the U.S.-Mexico border. EPA has met its NAFTA commitment to provide a total of \$700 million for drinking water and wastewater infrastructure needs in the area. However, in recognition of the continuing environmental and public health needs in the border area, the Budget continues funding for these activities.

EPA will support its partnerships with States, Tribes, and partners through media-specific and multi-media, and/or Performance Partnership grants to: (1) increase the number of community drinking water systems that meet all existing health-based standards, (2) protect watersheds by reducing point and nonpoint source pollution, (3) increase the acreage and improve the condition of wetlands, and (4) address agricultural and urban runoff and storm water. EPA will work with its State and Tribal partners to develop and implement broad-based and integrated monitoring and assessment programs that strengthen their water quality standards, improve decision-making, target restoration within the watershed, address significant stressors, and report on conditions.

Furthermore, EPA will enhance efforts to address nutrient pollution through working collaboratively with U.S. Department of Agriculture in high priority, focused watersheds and providing funding to States to undertake nutrient pollution reductions, including \$15 million in Clean Water Act Section 106 grants.

*Cleaning Up Communities and Advancing Sustainable Development.*—Land is one of America's most valuable resources and cleaning up our communities to create a safe environment for all Americans while encouraging more sustainable development is critical to the future of our country. Hazardous and non-hazardous wastes on the land can migrate to the air, groundwater and surface water, contaminating drinking water supplies, causing acute illnesses or chronic diseases, and threatening healthy ecosystems in urban, rural, and suburban areas.

Under the Resource Conservation and Recovery Act of 1976, as amended, EPA provides grants to States to strengthen their ability to implement hazardous waste programs. EPA also provides financial and technical assistance to eligible Tribal governments and inter-tribal consortia. In 2014, EPA will provide grants or cooperative agreements to States for underground storage tank release prevention and detection programs. There will also be direct assistance through media-specific, and multi-

media and/or Performance Partnership grants to enable Tribes to implement hazardous waste programs. EPA will also fund brownfields projects resulting in assessments and clean-up activities that assist communities in paving the way for the productive reuse of contaminated properties and abandoned sites.

In addition, EPA provides grants to assist States, Tribes, and partners with worker safety activities, protection of endangered species and water sources, and promotion of environmental stewardship. To protect, sustain or restore the health of people, communities and ecosystems, EPA will focus on the geographic areas with human and ecological communities at most risk. EPA is working to protect, sustain, and restore the health of natural habitats and ecosystems by identifying and evaluating problem areas, developing tools, and improving community capacity to address problems.

Under Federal environmental statutes, EPA is responsible for protecting human health and the environment in Tribal country. EPA works with over 500 Federally recognized Tribes located across the United States to improve environmental and human health outcomes. Tribal country totals more than 70 million acres with reservations ranging from less than 10 acres to more than 14 million acres. Difficult environmental and health challenges remain in many of these areas, including lack of access to safe drinking water, sanitation, adequate waste facilities, and other environmental safeguards taken for granted elsewhere.

EPA plans to provide \$21.6 million to States, U.S. Territories, Tribes, and inter-tribal consortia to help them develop their information management and technology capabilities. The purpose of this support is two-fold: to assist the Agency in providing ready access to real-time environmental information and to allow States and Tribes to better integrate and share their environmental information.

*Ensuring the Safety of Chemicals and Preventing Pollution.*—EPA will continue to provide grant assistance to States, U.S. Territories, the District of Columbia, and Tribes to develop and implement authorized programs for lead paint remediation, including programs to train and certify individuals engaged in remediation activities. Another priority for 2014 will be the implementation of a new regulation to address lead-safe work practices for renovation, repair, and painting activities.

In 2014, EPA will continue the Pesticide Program Implementation State and Tribal Assistance Grants activities which cover worker protection, endangered species, coordination with States, Tribes, and other Federal agencies, certification and training of pesticide applicators, coordination with other agencies, pesticides environmental stewardship, and Integrated Pest Management (IPM). EPA will also continue the grant program for States and Tribes, to test innovative pollution prevention (P2) approaches and to provide P2 technical assistance to companies.

*Enforcing Environmental Laws.*—To promote compliance with laws intended to protect human health and the environment, EPA will continue to award State and Tribal grants to assist in the implementation of compliance and enforcement provisions of environmental laws. EPA will provide funding to States and Tribes for compliance assurance activities including inspections and enforcement case support activities. Through grants for pesticide enforcement, EPA will support State and Tribal compliance and enforcement activities designed to protect the environment from harmful chemicals and pesticides. These grants will also help States and Tribes protect underserved and vulnerable populations by conducting compliance (inspections) and enforcement activities, including those related to worker protection standards and those at pesticide producing establishments. Toxic Substance compliance grants help protect the public and

the environment from polychlorinated biphenyls, asbestos, and lead-based paint. Funds are used to train inspectors, including the train-the-trainer program; provide inspection equipment including sampling and personal protective equipment; and fund travel and salary costs associated with conducting inspections. In 2014, grants to States for compliance and enforcement evaluations will allow States to test the impact of different compliance assurance approaches and enforcement tools to determine what is most effective and could be expanded or replicated.

**Object Classification** (in millions of dollars)

| Identification code 68–0103–0–1–304                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 24          | 21      | 20        |
| 25.3 Other goods and services from Federal sources ..... | 63          | 56      | 53        |
| 41.0 Grants, subsidies, and contributions .....          | 4,180       | 3,720   | 3,593     |
| 99.9 Total new obligations .....                         | 4,268       | 3,798   | 3,667     |

**PAYMENT TO THE HAZARDOUS SUBSTANCE SUPERFUND****Program and Financing** (in millions of dollars)

| Identification code 68–0250–0–1–304                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                 |             |         |           |
| 0001 Payment to the hazardous substance superfund ..... | 1,075       | 1,144   | 1,042     |
| 0900 Total new obligations (object class 94.0) .....    | 1,075       | 1,144   | 1,042     |
| <b>Budgetary Resources:</b>                             |             |         |           |
| Budget authority:                                       |             |         |           |
| Appropriations, discretionary:                          |             |         |           |
| 1100 Appropriation .....                                | 1,077       | 1,142   | 1,042     |
| 1100 Appropriation .....                                |             | 2       |           |
| 1130 Appropriations permanently reduced .....           | –2          |         |           |
| 1160 Appropriation, discretionary (total) .....         | 1,075       | 1,144   | 1,042     |
| 1930 Total budgetary resources available .....          | 1,075       | 1,144   | 1,042     |
| <b>Change in obligated balance:</b>                     |             |         |           |
| Unpaid obligations:                                     |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....     | 1,075       | 1,144   | 1,042     |
| 3020 Outlays (gross) .....                              | –1,075      | –1,144  | –1,042    |
| <b>Budget authority and outlays, net:</b>               |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                      | 1,075       | 1,144   | 1,042     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....     | 1,075       | 1,144   | 1,042     |
| 4180 Budget authority, net (total) .....                | 1,075       | 1,144   | 1,042     |
| 4190 Outlays, net (total) .....                         | 1,075       | 1,144   | 1,042     |

The Comprehensive Environmental Response, Compensation, and Liability Act of 1980, as amended, authorizes appropriations from the general fund to finance activities conducted through the Hazardous Substance Superfund. The Administration proposes to continue the payment from the general fund up to the appropriated amount in 2014 less sums available in the Trust Fund on September 30, 2013.

**ENVIRONMENTAL SERVICES****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 68–5295–0–2–304        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          | 303         | 326     | 353       |
| Receipts:                                  |             |         |           |
| 0260 Environmental Services .....          | 23          | 27      | 28        |
| 0400 Total: Balances and collections ..... | 326         | 353     | 381       |

|                                 |     |     |     |
|---------------------------------|-----|-----|-----|
| 0799 Balance, end of year ..... | 326 | 353 | 381 |
|---------------------------------|-----|-----|-----|

The Environmental Services special fund was established for the deposit of fee receipts associated with environmental programs that may, by statute, be deposited into the fund.

**PESTICIDE REGISTRATION FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 68–5374–0–2–304                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                 |             |         |           |
| Receipts:   |             |         |           |
| 0220 Registration Service Fees, Pesticide Registration Fund ..... | 16          | 11      | 11        |
| 0400 Total: Balances and collections .....                        | 16          | 11      | 11        |
| Appropriations:   |             |         |           |
| 0500 Pesticide Registration Fund .....                            | –16         | –11     | –11       |
| 0799 Balance, end of year .....                                   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 68–5374–0–2–304                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 13          | 11      | 11        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 5           | 8       | 8         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 16          | 11      | 11        |
| 1160 Appropriation, discretionary (total) .....       | 16          | 11      | 11        |
| 1930 Total budgetary resources available .....        | 21          | 19      | 19        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 8           | 8       | 8         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 6           | 5       | 8         |
| 3010 Obligations incurred, unexpired accounts .....   | 13          | 11      | 11        |
| 3020 Outlays (gross) .....                            | –14         | –8      | –11       |
| 3050 Unpaid obligations, end of year .....            | 5           | 8       | 8         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 6           | 5       | 8         |
| 3200 Obligated balance, end of year .....             | 5           | 8       | 8         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 16          | 11      | 11        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 5           | 8       | 8         |
| 4011 Outlays from discretionary balances .....        | 9           |         | 3         |
| 4020 Outlays, gross (total) .....                     | 14          | 8       | 11        |
| 4180 Budget authority, net (total) .....              | 16          | 11      | 11        |
| 4190 Outlays, net (total) .....                       | 14          | 8       | 11        |

Fees deposited in this account are paid by industry for expedited processing of certain registration petitions and the associated establishment of tolerances for pesticides to be used in or on food and animal feed. These Pesticide Registration Service fees are authorized by Section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act, as amended by Public Law 112–177, the Pesticide Registration Improvement Extension Act of 2012.

**Object Classification** (in millions of dollars)

| Identification code 68–5374–0–2–304                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 6           | 5       | 5         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |

## PESTICIDE REGISTRATION FUND—Continued

## Object Classification—Continued

| Identification code 68–5374–0–2–304             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Grants, subsidies, and contributions ..... | 2           | 1       | 1         |
| 99.9 Total new obligations .....                | 13          | 11      | 11        |

## Employment Summary

| Identification code 68–5374–0–2–304                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 53          | 53      | 53        |

## REREGISTRATION AND EXPEDITED PROCESSING REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 68–4310–0–3–304                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reregistration and expedited processing .....                 | 22          | 28      | 28        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | 2       | 2         |
| Budget authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:    |             |         |           |
| Collected .....  | 22          | 28      | 28        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 22          | 28      | 28        |
| 1930 Total budgetary resources available .....                     | 24          | 30      | 30        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....                | 22          | 28      | 28        |
| 3020 Outlays (gross) .....   | –21         | –28     | –28       |
| 3050 Unpaid obligations, end of year .....                         | 2           | 2       | 2         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           | 2       | 2         |
| 3200 Obligated balance, end of year .....                          | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 22          | 28      | 28        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 17          | 28      | 28        |
| 4101 Outlays from mandatory balances .....                         | 4           |         |           |
| 4110 Outlays, gross (total) .....                                  | 21          | 28      | 28        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | –22         | –28     | –28       |
| 4190 Outlays, net (total) .....                                    | –1          |         |           |

Pesticide Maintenance fees are paid by industry to offset the costs of pesticide reregistration, registration review, and reassessment of tolerances for pesticides used in or on food and animal feed as required by law. This fee is authorized in Section 4 of the Federal Insecticide, Fungicide, and Rodenticide Act of 1972, as amended by Public Law 112–177. Authorization to collect the fee will expire on September 30, 2017.

## Object Classification (in millions of dollars)

| Identification code 68–4310–0–3–304                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 15          | 19      | 18        |
| 12.1 Civilian personnel benefits .....                 | 4           | 6       | 6         |
| 23.1 Rental payments to GSA .....                      | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 1           | 1       | 2         |

|      |                             |    |    |    |
|------|-----------------------------|----|----|----|
| 99.9 | Total new obligations ..... | 22 | 28 | 28 |
|------|-----------------------------|----|----|----|

## Employment Summary

| Identification code 68–4310–0–3–304                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 121         | 150     | 145       |

## HAZARDOUS WASTE ELECTRONIC MANIFEST SYSTEM FUND

*In addition to amounts provided under the heading "Environmental Programs and Management", \$2,000,000, to remain available until September 30, 2016, shall be available to carry out section 3024 of the Solid Waste Disposal Act (42 U.S.C. 6939g), including the development, operation, maintenance, and upgrading of the hazardous waste electronic manifest system established by such section.*

## Program and Financing (in millions of dollars)

| Identification code 68–4330–0–3–304                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0013 Land Preservation and Restoration .....         |             |         | 2         |
| 0900 Total new obligations (object class 25.1) ..... |             |         | 2         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             |             |         | 2         |
| 1160 Appropriation, discretionary (total) .....      |             |         | 2         |
| 1930 Total budgetary resources available .....       |             |         | 2         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 2         |
| 3020 Outlays (gross) .....                           |             |         | –1        |
| 3050 Unpaid obligations, end of year .....           |             |         | 1         |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 1         |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   |             |         | 2         |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             |         | 1         |
| 4180 Budget authority, net (total) .....             |             |         | 2         |
| 4190 Outlays, net (total) .....                      |             |         | 1         |

This appropriation supports all activities necessary for the development of the system established by the Hazardous Waste Electronic Manifest Establishment Act (Public Law 112–195).

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

| Identification code 68–4565–0–4–304                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0801 ETSD Operations .....                                     | 194         | 190     | 190       |
| 0802 Postage .....   | 2           | 5       | 5         |
| 0803 IFMS .....  | 6           | 5       | 5         |
| 0804 eRelocation .....   | 21          | 25      | 25        |
| 0900 Total new obligations .....                               | 223         | 225     | 225       |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 54          | 46      | 47        |
| 1021 Recoveries of prior year unpaid obligations .....         | 9           | 1       | 1         |
| 1050 Unobligated balance (total) .....                         | 63          | 47      | 48        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary: |             |         |           |
| 1700 Collected .....   | 202         | 210     | 210       |

|      |   |     |     |     |
|------|---|-----|-----|-----|
| 1701 | Change in uncollected payments, Federal sources .....         | 4   | 15  | 15  |
| 1750 | Spending auth from offsetting collections, disc (total) ..... | 206 | 225 | 225 |
| 1930 | Total budgetary resources available .....                     | 269 | 272 | 273 |
|      | Memorandum (non-add) entries:                                 |     |     |     |
| 1941 | Unexpired unobligated balance, end of year .....              | 46  | 47  | 48  |

**Change in obligated balance:**

|      |  |      |      |      |
|------|--|------|------|------|
|      | Unpaid obligations:  |      |      |      |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....             | 108  | 120  | 121  |
| 3010 | Obligations incurred, unexpired accounts .....               | 223  | 225  | 225  |
| 3020 | Outlays (gross) .....  | -202 | -223 | -271 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -9   | -1   | -1   |
| 3050 | Unpaid obligations, end of year .....                        | 120  | 121  | 74   |
|      | Uncollected payments:  |      |      |      |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -93  | -97  | -112 |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | -4   | -15  | -15  |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | -97  | -112 | -127 |
|      | Memorandum (non-add) entries:                                |      |      |      |
| 3100 | Obligated balance, start of year .....                       | 15   | 23   | 9    |
| 3200 | Obligated balance, end of year .....                         | 23   | 9    | -53  |

**Budget authority and outlays, net:**

|      |   |      |      |      |
|------|---|------|------|------|
|      | Discretionary:  |      |      |      |
| 4000 | Budget authority, gross .....                                   | 206  | 225  | 225  |
|      | Outlays, gross:   |      |      |      |
| 4010 | Outlays from new discretionary authority .....                  | 111  | 158  | 158  |
| 4011 | Outlays from discretionary balances .....                       | 91   | 65   | 113  |
| 4020 | Outlays, gross (total) .....                                    | 202  | 223  | 271  |
|      | Offsets against gross budget authority and outlays:             |      |      |      |
|      | Offsetting collections (collected) from:                        |      |      |      |
| 4030 | Federal sources .....   | -198 | -210 | -210 |
| 4033 | Non-Federal sources .....                                       | -4   |      |      |
| 4040 | Offsets against gross budget authority and outlays (total) .... | -202 | -210 | -210 |
|      | Additional offsets against gross budget authority only:         |      |      |      |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | -4   | -15  | -15  |
| 4080 | Outlays, net (discretionary) .....                              |      | 13   | 61   |
| 4190 | Outlays, net (total) .....                                      |      | 13   | 61   |

The Environmental Protection Agency (EPA) received authority to establish a Working Capital Fund (WCF) and was designated a pilot franchise fund under Public Law 103-356, the Government Management and Reform Act of 1994. EPA received permanent authority for the WCF in P.L. 105-65, as part of an effort to increase competition for governmental administrative services. EPA's WCF became operational in 1997 and funds seven main activities: information technology and telecommunications operations, managed by the Office of Environmental Information; Agency postage costs, managed by the Office of Administration; and the core accounting system, employee relocations, travel and conference planning, managed by the Office of the Chief Financial Officer. The 2014 amount reflects only base resources and may change during the year in accordance with programmatic needs.

**Object Classification** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 68-4565-0-4-304                             | 2012 actual | 2013 CR | 2014 est. |
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 16          | 15      | 15        |
| 12.1 Civilian personnel benefits .....                          | 23          | 25      | 25        |
| 21.0 Travel and transportation of persons .....                 |             | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 6           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 23          | 15      | 15        |
| 25.3 Other goods and services from Federal sources .....        | 50          | 65      | 65        |
| 25.4 Operation and maintenance of facilities .....              | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 88          | 90      | 90        |
| 26.0 Supplies and materials .....                               | 1           |         |           |
| 31.0 Equipment .....  | 11          | 5       | 5         |
| 99.9 Total new obligations .....                                | 223         | 225     | 225       |

**Employment Summary**

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 68-4565-0-4-304                              | 2012 actual | 2013 CR | 2014 est. |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 144         | 137     | 144       |

**ABATEMENT, CONTROL, AND COMPLIANCE DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

|   |             |         |           |
|---|-------------|---------|-----------|
| Identification code 68-4322-0-3-304   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0716 Finance Account/Credit Reform .....  |             | 1       |           |
| 0900 Total new obligations .....  |             | 1       |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 2           | 2       |           |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -2          | -1      |           |
| 1850 Spending auth from offsetting collections, mand (total) .....              |             | 1       |           |
| 1900 Financing authority (total) .....  |             | 1       |           |
| 1930 Total budgetary resources available .....                                  |             | 1       |           |

**Change in obligated balance:**

|   |                     |    |  |
|---|---------------------|----|--|
|   | Unpaid obligations: |    |  |
| 3010 Obligations incurred, unexpired accounts ..... |                     | 1  |  |
| 3020 Outlays (gross) .....                          |                     | -1 |  |

**Financing authority and disbursements, net:**

|   |  |    |  |
|---|--|----|--|
|   | Mandatory:   |    |  |
| 4090 Budget authority, gross .....                          |  | 1  |  |
|   | Financing disbursements:                                     |    |  |
| 4110 Financing disbursements, gross .....                   |  | 1  |  |
|   | Offsets against gross financing authority and disbursements: |    |  |
|   | Offsetting collections (collected) from:                     |    |  |
| 4123 Non-Federal sources-Repayments of principal, net ..... | -2   | -2 |  |
| 4180 Financing authority, net (total) .....                 | -2   | -1 |  |
| 4190 Financing disbursements, net (total) .....             | -2   | -1 |  |

**Status of Direct Loans** (in millions of dollars)

|  |             |         |           |
|--|-------------|---------|-----------|
| Identification code 68-4322-0-3-304                                    | 2012 actual | 2013 CR | 2014 est. |
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     |             |         |           |
| 1143 Unobligated limitation carried forward .....                      |             |         |           |
| 1150 Total direct loan obligations .....                               |             |         |           |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 2           | 2       | 2         |
| 1290 Outstanding, end of year .....                                    | 2           | 2       | 2         |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet** (in millions of dollars)

|  |             |             |
|--|-------------|-------------|
| Identification code 68-4322-0-3-304                                    | 2011 actual | 2012 actual |
| <b>ASSETS:</b>   |             |             |
| 1401 Net value of assets related to post-1991 direct loans receivable: |             |             |
| Direct loans receivable, gross .....                                   | 2           | 2           |
| 1999 Total assets .....  | 2           | 2           |
| <b>LIABILITIES:</b>  |             |             |
| 2103 Federal liabilities: Debt .....                                   | 2           | 2           |

**ABATEMENT, CONTROL, AND COMPLIANCE DIRECT LOAN FINANCING  
ACCOUNT—Continued  
Balance Sheet—Continued**

| Identification code 68–4322–0–3–304           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| 4999 Total liabilities and net position ..... | 2           | 2           |

**Trust Funds**

**HAZARDOUS SUBSTANCE SUPERFUND  
(INCLUDING TRANSFERS OF FUNDS)**

*For necessary expenses to carry out the Comprehensive Environmental Response, Compensation, and Liability Act of 1980 (CERCLA), as amended, including sections 111(c)(3), (c)(5), (c)(6), and (e)(4) (42 U.S.C. 9611) \$1,180,374,000, to remain available until expended, consisting of such sums as are available in the Trust Fund on September 30, 2013, as authorized by section 517(a) of the Superfund Amendments and Reauthorization Act of 1986 (SARA) and up to \$1,180,374,000 as a payment from general revenues to the Hazardous Substance Superfund for purposes as authorized by section 517(b) of SARA, as amended: Provided, That funds appropriated under this heading may be allocated to other Federal agencies in accordance with section 111(a) of CERCLA: Provided further, That of the funds appropriated under this heading, \$11,054,000 shall be paid to the "Office of Inspector General" appropriation to remain available until September 30, 2015, and \$23,549,000 shall be paid to the "Science and Technology" appropriation to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 68–8145–0–7–304  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 137         | 79      | 138       |
| Adjustments:   |             |         |           |
| 0190 Adjustment - rounding issues over several years .....                       | 3           |         |           |
| 0199 Balance, start of year .....  | 140         | 79      | 138       |
| Receipts:  |             |         |           |
| 0200 Excise Taxes, Hazardous Substance Superfund .....                           |             |         | 803       |
| 0201 Corporation Income Taxes, Hazardous Substance Superfund .....               |             |         | 766       |
| 0202 Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund ..... | 1           | 2       | 2         |
| 0220 Recoveries, Hazardous Substance Superfund .....                             | 45          | 100     | 98        |
| 0240 Interest and Profits on Investments, Hazardous Substance Superfund .....    | 40          | 48      | 64        |
| 0241 Interfund Transactions, Hazardous Substance Superfund .....                 | 1,075       | 1,142   | 1,042     |
| 0242 Interfund Transactions, Hazardous Substance Superfund .....                 |             | 2       |           |
| 0299 Total receipts and collections .....  | 1,161       | 1,294   | 2,775     |
| 0400 Total: Balances and collections .....                                       | 1,301       | 1,373   | 2,913     |
| Appropriations:  |             |         |           |
| 0500 Hazardous Substance Superfund .....   | –1,181      | –1,188  | –1,145    |
| 0501 Hazardous Substance Superfund .....   | –10         | –10     | –11       |
| 0502 Hazardous Substance Superfund .....   | –23         | –23     | –24       |
| 0503 Hazardous Substance Superfund .....   | –8          | –14     | –19       |
| 0599 Total appropriations .....  | –1,222      | –1,235  | –1,199    |
| 0799 Balance, end of year .....  | 79          | 138     | 1,714     |

**Program and Financing (in millions of dollars)**

| Identification code 68–8145–0–7–304                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0011 Clean Air and Global Climate Change .....      | 3           | 3       | 3         |
| 0013 Land Preservation and Restoration .....        | 1,135       | 1,087   | 1,022     |
| 0015 Compliance and Environmental Stewardship ..... | 240         | 224     | 215       |
| 0100 Subtotal direct program .....                  | 1,378       | 1,314   | 1,240     |
| 0799 Total direct obligations .....                 | 1,378       | 1,314   | 1,240     |

|                                  |       |       |       |
|----------------------------------|-------|-------|-------|
| 0801 Reimbursable program .....  | 369   | 306   | 255   |
| 0900 Total new obligations ..... | 1,747 | 1,620 | 1,495 |

**Budgetary Resources:**

|   |       |       |       |
|---|-------|-------|-------|
| <b>Unobligated balance:</b>   |       |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 2,034 | 1,876 | 2,299 |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                                     | 49    | 45    |       |
| 1021 Recoveries of prior year unpaid obligations .....  | 166   | 200   | 200   |
| 1050 Unobligated balance (total) .....  | 2,200 | 2,076 | 2,499 |
| <b>Budget authority:</b>  |       |       |       |
| <b>Appropriations, discretionary:</b>   |       |       |       |
| 1100 Appropriation .....  |       | 2     |       |
| 1101 Appropriation (special or trust fund) .....  | 1,181 | 1,188 | 1,145 |
| 1101 Appropriation (special or trust fund) IG Transfer .....  | 10    | 10    | 11    |
| 1101 Appropriation (special or trust fund) S&T Transfer .....                                       | 23    | 23    | 24    |
| 1120 Appropriations transferred to other accts [68–8221] .....                                      | –5    |       |       |
| 1131 Unobligated balance of appropriations permanently reduced .....                                | –5    | –5    |       |
| 1160 Appropriation, discretionary (total) .....   | 1,204 | 1,218 | 1,180 |
| <b>Appropriations, mandatory:</b>   |       |       |       |
| 1201 Appropriation (special or trust fund) .....  | 8     | 14    | 19    |
| 1260 Appropriations, mandatory (total) .....  | 8     | 14    | 19    |
| <b>Spending authority from offsetting collections, discretionary:</b>                               |       |       |       |
| 1700 Collected .....  | 98    | 386   | 255   |
| 1701 Change in uncollected payments, Federal sources .....  | 4     |       |       |
| 1711 Spending authority from offsetting collections transferred from other accounts [68–8221] ..... |       | 5     |       |
| 1750 Spending auth from offsetting collections, disc (total) .....                                  | 102   | 391   | 255   |
| <b>Spending authority from offsetting collections, mandatory:</b>                                   |       |       |       |
| 1800 Collected .....  | 220   | 220   | 220   |
| 1801 Change in uncollected payments, Federal sources .....  | –111  |       |       |
| 1850 Spending auth from offsetting collections, mand (total) .....                                  | 109   | 220   | 220   |
| 1900 Budget authority (total) .....   | 1,423 | 1,843 | 1,674 |
| 1930 Total budgetary resources available .....  | 3,623 | 3,919 | 4,173 |
| <b>Memorandum (non-add) entries:</b>  |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....   | 1,876 | 2,299 | 2,678 |
| <b>Special and non-revolving trust funds:</b>   |       |       |       |
| 1952 Expired unobligated balance, start of year .....   | 2     | 4     | 4     |
| 1953 Expired unobligated balance, end of year .....   | 4     | 4     | 4     |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| <b>Unpaid obligations:</b>  |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 1,570  | 1,401  | 1,009  |
| 3010 Obligations incurred, unexpired accounts .....               | 1,747  | 1,620  | 1,495  |
| 3011 Obligations incurred, expired accounts .....                 | 21     |        |        |
| 3020 Outlays (gross) .....  | –1,768 | –1,812 | –1,617 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –166   | –200   | –200   |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –3     |        |        |
| 3050 Unpaid obligations, end of year .....                        | 1,401  | 1,009  | 687    |
| <b>Uncollected payments:</b>                                      |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –122   | –15    | –15    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 107    |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –15    | –15    | –15    |
| <b>Memorandum (non-add) entries:</b>                              |        |        |        |
| 3100 Obligated balance, start of year .....                       | 1,448  | 1,386  | 994    |
| 3200 Obligated balance, end of year .....                         | 1,386  | 994    | 672    |

**Budget authority and outlays, net:**

|  |       |       |       |
|--|-------|-------|-------|
| <b>Discretionary:</b>  |       |       |       |
| 4000 Budget authority, gross .....                                   | 1,306 | 1,609 | 1,435 |
| <b>Outlays, gross:</b>   |       |       |       |
| 4010 Outlays from new discretionary authority .....                  | 619   | 757   | 683   |
| 4011 Outlays from discretionary balances .....                       | 854   | 911   | 789   |
| 4020 Outlays, gross (total) .....                                    | 1,473 | 1,668 | 1,472 |
| <b>Offsets against gross budget authority and outlays:</b>           |       |       |       |
| <b>Offsetting collections (collected) from:</b>                      |       |       |       |
| 4030 Offsetting collections, Federal Sources [Federal Sources] ..... | –11   | –10   | –10   |
| 4033 Baseline Program [Non-Federal Sources] .....                    | –107  | –376  | –245  |
| 4040 Offsets against gross budget authority and outlays (total) .... | –118  | –386  | –255  |
| <b>Additional offsets against gross budget authority only:</b>       |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –4    |       |       |
| 4052 Offsetting collections credited to expired accounts .....       | 20    |       |       |
| 4060 Additional offsets against budget authority only (total) .....  | 16    |       |       |
| 4070 Budget authority, net (discretionary) .....                     | 1,204 | 1,223 | 1,180 |
| 4080 Outlays, net (discretionary) .....                              | 1,355 | 1,282 | 1,217 |
| <b>Mandatory:</b>  |       |       |       |
| 4090 Budget authority, gross .....                                   | 117   | 234   | 239   |

|   |   |       |       |       |
|---|---|-------|-------|-------|
| Outlays, gross:   |   |       |       |       |
| 4100  | Outlays from new mandatory authority .....                      | 6     | 5     | 5     |
| 4101  | Outlays from mandatory balances .....                           | 289   | 139   | 140   |
| 4110  | Outlays, gross (total) .....                                    | 295   | 144   | 145   |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4120  | Federal sources .....   | -23   | -23   | -23   |
| 4123  | Non-Federal sources .....                                       | -197  | -197  | -197  |
| 4130  | Offsets against gross budget authority and outlays (total) .... | -220  | -220  | -220  |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4140  | Change in uncollected pymts, Fed sources, unexpired .....       | 111   |       |       |
| 4160  | Budget authority, net (mandatory) .....                         | 8     | 14    | 19    |
| 4170  | Outlays, net (mandatory) .....                                  | 75    | -76   | -75   |
| 4180  | Budget authority, net (total) .....                             | 1,212 | 1,237 | 1,199 |
| 4190  | Outlays, net (total) .....                                      | 1,430 | 1,206 | 1,142 |
| <b>Memorandum (non-add) entries:</b>                    |   |       |       |       |
| 5000  | Total investments, SOY: Federal securities: Par value .....     | 3,509 | 3,250 | 3,789 |
| 5001  | Total investments, EOY: Federal securities: Par value .....     | 3,250 | 3,789 | 3,495 |

This appropriation provides funds for the implementation of the Comprehensive Environmental Response, Compensation and Liability Act of 1980, as amended (CERCLA) including activities under the Working Capital Fund. This appropriation supports core Environmental Protection Agency (EPA) programs in four of EPA's five goals. Specifically in 2014, emphasis will be placed on the following:

**Cleaning Up Communities and Advancing Sustainable Development.**—To preserve and restore land and to protect human health and the environment, EPA will reduce the risks posed by releases of harmful substances and protect against exposure to those substances by cleaning up and restoring contaminated sites to beneficial use. EPA will apply the most effective methods to control the risks associated with the presence of harmful substances, improve response capabilities, and maximize the effectiveness of response and clean-up actions. EPA's clean-up and response activity at contaminated sites will address environmental concerns, such as the removal of contaminated soil and treatment of contaminated groundwater, in order to reduce human exposures to hazardous pollutants and provide long-term human health protection. EPA will ensure that all releases of harmful substances to the environment are appropriately addressed by responding to incidents and providing technical support. To effectively prepare for and respond to incidents of national significance, EPA will improve decontamination readiness, continue to support a nationwide environmental laboratory network, and maintain a highly skilled, trained, and equipped response workforce. EPA will conduct research to improve methods and models and provide technical support to accelerate scientifically defensible and cost-effective decisions for clean-up at complex contaminated sites in accordance with CERCLA. EPA will also work to maximize responsible parties' participation in site clean-ups and pursue greater recovery of EPA's clean-up costs.

**Enforcing Environmental Laws.**—EPA's Superfund Enforcement program protects communities by requiring responsible parties to pay for and/or conduct clean-ups. The program uses an enforcement first approach that maximizes the participation of liable and viable parties in performing and paying for clean-ups in both the remedial and removal programs. To further carry out the responsibilities of CERCLA, EPA will allocate funds from its appropriation to Federal agency partners including to the Department of Justice (DOJ). DOJ supports EPA's Superfund Enforcement program through negotiations and judicial actions to compel Potentially Responsible Parties to clean up and through litigation to recover Trust Fund monies. EPA will investigate and refer for prosecution criminal and civil violations of CERCLA.

**Enabling and Support Programs (ESP).**—ESPs provide centralized management services and support to the Agency's various

environmental programs. The offices and the functions they perform within the Superfund appropriation are: the Offices of Administration and Resources Management (facilities infrastructure and operations, acquisition management, human resources management services, and management of financial assistance grants/institutional assistance grants); Environmental Information (exchange network, information security, information technology/data management); the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability), and General Counsel (alternative dispute resolution, legal advice). Because these centralized services provide support across EPA, resources for the ESPs are allocated across EPA's appropriations, goals, and objectives.

**Status of Funds** (in millions of dollars)

| Identification code 68-8145-0-7-304  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:   |             |         |           |
| 0100 Balance, start of year .....  | 3,624       | 3,345   | 3,433     |
| 0199 Total balance, start of year .....  | 3,624       | 3,345   | 3,433     |
| Cash income during the year:   |             |         |           |
| Current law:   |             |         |           |
| Receipts:  |             |         |           |
| 1202 Fines and Penalties, and Miscellaneous, Hazardous Substance Superfund .....                                       | 1           | 2       | 2         |
| 1220 Offsetting receipts (proprietary): Recoveries, Hazardous Substance Superfund .....                                | 45          | 100     | 98        |
| 1240 Offsetting receipts (intragovernmental): Interest and Profits on Investments, Hazardous Substance Superfund ..... | 40          | 48      | 64        |
| 1241 Interfund Transactions, Hazardous Substance Superfund .....   | 1,075       | 1,142   | 1,042     |
| 1242 Interfund Transactions, Hazardous Substance Superfund .....   |             | 2       |           |
| Offsetting collections:  |             |         |           |
| 1280 Hazardous Substance Superfund .....   | 11          | 10      | 10        |
| 1281 Hazardous Substance Superfund .....   | 23          | 23      | 23        |
| 1282 Hazardous Substance Superfund .....   | 197         | 197     | 197       |
| 1283 Hazardous Substance Superfund .....   | 107         | 376     | 245       |
| 1299 Income under present law .....  | 1,499       | 1,900   | 1,681     |
| Proposed legislation:  |             |         |           |
| Receipts:  |             |         |           |
| 2200 Excise Taxes, Hazardous Substance Superfund .....   |             |         | 803       |
| 2201 Corporation Income Taxes, Hazardous Substance Superfund .....   |             |         | 766       |
| 2299 Income under proposed legislation .....   |             |         | 1,569     |
| 3299 Total cash income .....   | 1,499       | 1,900   | 3,250     |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 Hazardous Substance Superfund .....   | -1,768      | -1,812  | -1,617    |
| 4599 Outgo under current law (-) .....   | -1,768      | -1,812  | -1,617    |
| 6599 Total cash outgo (-) .....  | -1,768      | -1,812  | -1,617    |
| 7625 Hazardous Substance Superfund .....   | -5          | -5      |           |
| 7645 Hazardous Substance Superfund .....   | -5          |         |           |
| 7645 Hazardous Substance Superfund .....   |             | 5       |           |
| 7699 Total adjustments .....   | -10         |         |           |
| Unexpended balance, end of year:   |             |         |           |
| 8700 Uninvested balance (net), end of year .....   | 95          | -356    | 1,571     |
| 8701 Hazardous Substance Superfund .....   | 3,250       | 3,789   | 3,495     |
| 8799 Total balance, end of year .....  | 3,345       | 3,433   | 5,066     |

**Object Classification** (in millions of dollars)

| Identification code 68-8145-0-7-304             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| Personnel compensation:                         |             |         |           |
| 11.1 Full-time permanent .....                  | 284         | 271     | 261       |
| 11.3 Other than full-time permanent .....       | 8           | 8       | 7         |
| 11.5 Other personnel compensation .....         | 6           | 6       | 6         |
| 11.7 Military personnel .....                   | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....         | 300         | 287     | 276       |
| 12.1 Civilian personnel benefits .....          | 89          | 85      | 82        |
| 21.0 Travel and transportation of persons ..... | 9           | 9       | 8         |
| 23.1 Rental payments to GSA .....               | 43          | 41      | 41        |
| 23.2 Rental payments to others .....            | 1           | 1       | 1         |

HAZARDOUS SUBSTANCE SUPERFUND—Continued  
Object Classification—Continued

| Identification code 68-8145-0-7-304                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....                     | 35          | 33      | 32        |
| 25.2 Other services from non-Federal sources .....              | 612         | 586     | 536       |
| 25.3 Other goods and services (Recovery Act) .....              | 175         | 167     | 161       |
| 25.4 Operation and maintenance of facilities .....              | 9           | 9       | 8         |
| 25.7 Operation and maintenance of equipment .....               | 9           | 9       | 8         |
| 26.0 Supplies and materials .....                               | 4           | 4       | 4         |
| 31.0 Equipment .....  | 9           | 9       | 8         |
| 41.0 Grants, subsidies, and contributions .....                 | 47          | 45      | 43        |
| 42.0 Insurance claims and indemnities .....                     |             |         | 3         |
| 99.0 Direct obligations .....                                   | 1,346       | 1,289   | 1,215     |
| 99.0 Reimbursable obligations .....                             | 369         | 306     | 255       |
| Allocation Account - direct:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 6           | 5       | 5         |
| 25.2 Other services from non-Federal sources .....              | 26          | 20      | 20        |
| 99.0 Allocation account - direct .....                          | 32          | 25      | 25        |
| 99.9 Total new obligations .....                                | 1,747       | 1,620   | 1,495     |

## Employment Summary

| Identification code 68-8145-0-7-304                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 2,899       | 2,918   | 2,840     |
| 1101 Direct military average strength employment .....           | 12          | 12      | 12        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 131         | 51      | 23        |

## LEAKING UNDERGROUND STORAGE TANK TRUST FUND PROGRAM

*For necessary expenses to carry out leaking underground storage tank cleanup activities authorized by subtitle I of the Solid Waste Disposal Act, as amended, \$99,242,000, to remain available until expended, of which \$70,316,000 shall be for carrying out leaking underground storage tank cleanup activities authorized by section 9003(h) of the Solid Waste Disposal Act, as amended; \$28,926,000 shall be for carrying out the other provisions of the Solid Waste Disposal Act specified in section 9508(c) of the Internal Revenue Code, as amended: Provided, That the Administrator is authorized to use appropriations made available under this heading to implement section 9013 of the Solid Waste Disposal Act to provide financial assistance to federally recognized Indian tribes for the development and implementation of programs to manage underground storage tanks.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 68-8153-0-7-999  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 3,333       | 1,157   | 1,248     |
| Receipts:  |             |         |           |
| 0200 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund ..... | 170         | 181     | 180       |
| 0240 Earnings on Investments, Leaking Underground Storage Tank Trust Fund .....                                    | 158         | 20      | 27        |
| 0299 Total receipts and collections .....  | 328         | 201     | 207       |
| 0400 Total: Balances and collections .....   | 3,661       | 1,358   | 1,455     |
| Appropriations:  |             |         |           |
| 0500 Leaking Underground Storage Tank Trust Fund .....   | -104        | -105    | -99       |
| 0501 Leaking Underground Storage Tank Trust Fund .....   |             | -5      |           |
| 0502 Leaking Underground Storage Tank Trust Fund .....   | -2,400      |         |           |
| 0599 Total appropriations .....  | -2,504      | -110    | -99       |
| 0799 Balance, end of year .....  | 1,157       | 1,248   | 1,356     |

## Program and Financing (in millions of dollars)

| Identification code 68-8153-0-7-999                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0013 Land Preservation and Restoration .....                      | 2,508       | 106     | 108       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 4           | 4       | 11        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....   | 4           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 4           | 3       | 3         |
| 1050 Unobligated balance (total) .....                            | 8           | 7       | 14        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1101 Appropriation (special or trust fund) .....                  | 104         | 105     | 99        |
| 1101 Appropriation (special or trust fund) .....                  |             | 5       |           |
| 1160 Appropriation, discretionary (total) .....                   | 104         | 110     | 99        |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 2,400       |         |           |
| 1260 Appropriations, mandatory (total) .....                      | 2,400       |         |           |
| 1900 Budget authority (total) .....                               | 2,504       | 110     | 99        |
| 1930 Total budgetary resources available .....                    | 2,512       | 117     | 113       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 4           | 11      | 5         |
| Special and non-revolving trust funds:                            |             |         |           |
| 1952 Expired unobligated balance, start of year .....             | 3           | 4       | 4         |
| 1953 Expired unobligated balance, end of year .....               | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 168         | 127     | 119       |
| 3010 Obligations incurred, unexpired accounts .....               | 2,508       | 106     | 108       |
| 3020 Outlays (gross) .....  | -2,544      | -111    | -103      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -4          | -3      | -3        |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 127         | 119     | 121       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 168         | 127     | 119       |
| 3200 Obligated balance, end of year .....                         | 127         | 119     | 121       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 104         | 110     | 99        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 20          | 23      | 22        |
| 4011 Outlays from discretionary balances .....                    | 124         | 88      | 81        |
| 4020 Outlays, gross (total) .....                                 | 144         | 111     | 103       |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                | 2,400       |         |           |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   | 2,400       |         |           |
| 4180 Budget authority, net (total) .....                          | 2,504       | 110     | 99        |
| 4190 Outlays, net (total) .....                                   | 2,544       | 111     | 103       |
| <b>Memorandum (non-add) entries:</b>                              |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....  | 3,450       | 1,259   | 1,335     |
| 5001 Total investments, EOY: Federal securities: Par value .....  | 1,259       | 1,335   | 1,415     |

The Leaking Underground Storage Tank (LUST) Trust Fund, authorized by the Superfund Amendments and Reauthorization Act of 1986, as amended by the Omnibus Budget Reconciliation Act of 1990, the Taxpayer Relief Act of 1997, and the Energy Policy Act (EPAct) of 2005, and the Moving Ahead for Progress in the 21st Century Act (MAP-21, Public Law 112-141) provides funds for preventing and responding to releases from underground storage tanks, including activities under the Working Capital Fund. The Trust Fund is financed by a 0.1 cent per gallon tax on motor fuels through September 30, 2016.

LUST funds are allocated to States through cooperative agreements to clean up sites posing the greatest threat to human health and the environment as authorized under Section 9003(h) of the Solid Waste Disposal Act of 1965, as amended, and also to implement the activities authorized by Title XV, Subtitle B of EPAct. Funds are also used for grants to non-State entities under Section 8001 of the Resource Conservation and Recovery Act of



1976, as amended. Federally recognized Tribes receive grant funding under P.L. 105–276. EPA supports oversight, clean-up, and enforcement programs which are implemented by the States. LUST Trust Fund dollars can be used for State-led clean-ups and for State oversight of responsible party clean-ups. In addition, EPAAct expanded the authorized activities for the underground storage tank program. This appropriation supports core Agency programs and two of EPA's five goals. Specifically in 2014, emphasis will be placed on the following:

**Cleaning Up Communities and Advancing Sustainable Development.**—The LUST program promotes rapid and effective responses to releases from Federally regulated underground storage tanks containing petroleum by enhancing State, local, and Tribal enforcement and response capability. EPA's LUST program priorities include: increasing the efficiency of LUST clean-ups; addressing contaminants of concern; and promoting the continued use, reuse, and long-term management of LUST sites. EPA will help States and Tribes improve LUST clean-up performance by performing analyses and developing strategies to reduce the backlog of open releases, continuing to develop and promote the use of innovative tools such as multi-site and geographical clean-up approaches, providing guidance and technical support regarding clean-up approaches and technologies, and streamlining clean-up decisions and processes. EPA will also continue efforts to monitor the soundness of State clean-up funds. EPA's release prevention program priorities will assist States and Tribes to ensure continued compliance with the three year inspection requirement, implement the various release prevention provisions of EPAAct such as operator training and delivery prohibition, enforce violations discovered during the inspections, and continue building tribal implementation capacity.

**Enforcing Environmental Laws.**—To protect the Nation's groundwater and drinking water from petroleum releases from Underground Storage Tanks (UST), this program provides compliance assistance tools, technical assistance and training to promote and enforce UST systems compliance and clean-ups.

**Enabling and Support Programs.**—Enabling and Support Programs provide the infrastructure of people, facilities, and systems necessary to operate the programs funded by the LUST appropriation. The offices and the functions they perform are: Administration and Resources Management (facilities infrastructure and operations, acquisition management, and human resources management services); Environmental Information (information technology/data management); and the Chief Financial Officer (strategic planning, annual planning and budgeting, financial services, financial management, analysis, and accountability).

#### Status of Funds (in millions of dollars)

| Identification code 68–8153–0–7–999  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:   |             |         |           |
| 0100 Balance, start of year .....  | 3,508       | 1,292   | 1,382     |
| 0199 Total balance, start of year .....  | 3,508       | 1,292   | 1,382     |
| Cash income during the year:   |             |         |           |
| Current law:   |             |         |           |
| Receipts:  |             |         |           |
| 1200 Transfer from the General Fund Amounts Equivalent to Taxes, Leaking Underground Storage Tank Trust Fund ..... | 170         | 181     | 180       |
| Offsetting receipts (intragovernmental):   |             |         |           |
| 1240 Earnings on Investments, Leaking Underground Storage Tank Trust Fund .....                                    | 158         | 20      | 27        |
| 1299 Income under present law .....  | 328         | 201     | 207       |
| 3299 Total cash income .....   | 328         | 201     | 207       |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 Leaking Underground Storage Tank Trust Fund .....   | –2,544      | –111    | –103      |
| 4599 Outgo under current law (–) .....   | –2,544      | –111    | –103      |

|  |        |       |       |
|--|--------|-------|-------|
| 6599 Total cash outgo (–) .....                        | –2,544 | –111  | –103  |
| Unexpended balance, end of year:                       |        |       |       |
| 8700 Uninvested balance (net), end of year .....       | 33     | 47    | 71    |
| 8701 Leaking Underground Storage Tank Trust Fund ..... | 1,259  | 1,335 | 1,415 |
| 8799 Total balance, end of year .....                  | 1,292  | 1,382 | 1,486 |

#### Object Classification (in millions of dollars)

| Identification code 68–8153–0–7–999                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 7           | 7       | 7         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                      | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....            | 3           | 1       | 2         |
| 25.2 Other services from non-Federal sources .....     | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....        | 93          | 93      | 94        |
| 94.0 Financial transfers .....                         | 2,400       |         |           |
| 99.9 Total new obligations .....                       | 2,508       | 106     | 108       |

#### Employment Summary

| Identification code 68–8153–0–7–999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 66          | 70      | 63        |

#### INLAND OIL SPILL PROGRAMS

*For expenses necessary to carry out the Environmental Protection Agency's responsibilities under the Oil Pollution Act of 1990, \$21,268,000, to be derived from the Oil Spill Liability trust fund, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 68–8221–0–7–304   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0013 Land preservation and restoration .....  | 20          | 18      | 22        |
| 0100 Direct Program .....   | 20          | 18      | 22        |
| 0801 Reimbursable program .....   | 31          | 31      | 31        |
| 0900 Total new obligations .....  | 51          | 49      | 53        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 23          | 29      | 26        |
| 1021 Recoveries of prior year unpaid obligations .....  | 8           | 2       | 2         |
| 1050 Unobligated balance (total) .....  | 31          | 31      | 28        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1101 Appropriation (special or trust fund) .....  | 18          | 18      | 21        |
| 1121 Appropriations transferred from other accts [68–8145] .....                                  | 5           |         |           |
| 1160 Appropriation, discretionary (total) .....   | 23          | 18      | 21        |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1700 Collected .....  | 33          | 31      | 31        |
| 1701 Change in uncollected payments, Federal sources .....  | –7          |         |           |
| 1710 Spending authority from offsetting collections transferred to other accounts [68–8145] ..... |             | –5      |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                                | 26          | 26      | 31        |
| 1900 Budget authority (total) .....   | 49          | 44      | 52        |
| 1930 Total budgetary resources available .....  | 80          | 75      | 80        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 29          | 26      | 27        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 36          | 23      | 25        |
| 3010 Obligations incurred, unexpired accounts .....   | 51          | 49      | 53        |
| 3020 Outlays (gross) .....  | –56         | –45     | –53       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                 | –8          | –2      | –2        |

INLAND OIL SPILL PROGRAMS—Continued  
Program and Financing—Continued

| Identification code 68–8221–0–7–304       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| 3050                                      | Unpaid obligations, end of year .....                           | 23          | 25      | 23        |
|   | Uncollected payments:   |             |         |           |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –55         | –48     | –48       |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 7           |         |           |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....               | –48         | –48     | –48       |
|   | Memorandum (non-add) entries:                                   |             |         |           |
| 3100                                      | Obligated balance, start of year .....                          | –19         | –25     | –23       |
| 3200                                      | Obligated balance, end of year .....                            | –25         | –23     | –25       |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
|   | Discretionary:  |             |         |           |
| 4000                                      | Budget authority, gross .....                                   | 49          | 44      | 52        |
|   | Outlays, gross:   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....                  | 29          | 36      | 41        |
| 4011                                      | Outlays from discretionary balances .....                       | 27          | 9       | 12        |
| 4020                                      | Outlays, gross (total) .....                                    | 56          | 45      | 53        |
|   | Offsets against gross budget authority and outlays:             |             |         |           |
|   | Offsetting collections (collected) from:                        |             |         |           |
| 4030                                      | Federal sources .....   | –31         | –31     | –31       |
| 4033                                      | Non-Federal sources .....                                       | –2          |         |           |
| 4040                                      | Offsets against gross budget authority and outlays (total) .... | –33         | –31     | –31       |
|   | Additional offsets against gross budget authority only:         |             |         |           |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....       | 7           |         |           |
| 4070                                      | Budget authority, net (discretionary) .....                     | 23          | 13      | 21        |
| 4080                                      | Outlays, net (discretionary) .....                              | 23          | 14      | 22        |
| 4180                                      | Budget authority, net (total) .....                             | 23          | 13      | 21        |
| 4190                                      | Outlays, net (total) .....                                      | 23          | 14      | 22        |

This appropriation provides for the Environmental Protection Agency's (EPA) responsibilities for prevention, preparedness, response, and enforcement activities authorized under the Federal Water Pollution Control Act, as amended by the Oil Pollution Act of 1990 (OPA), including activities under the Working Capital Fund. This appropriation supports core Agency programs and two of the Agency's five goals. Specifically in 2014, emphasis will be placed on the following:

**Cleaning Up Communities and Advancing Sustainable Development.**—The Oil Spill program protects U.S. waters by preventing, preparing for, responding to, and monitoring oil spills. Under the regulatory framework established by the Spill Prevention, Control and Countermeasure (SPCC) and Federal Response Plan (FRP) regulations, EPA conducts oil spill prevention, preparedness, inspection, and enforcement activities associated with more than 600,000 non-transportation-related oil storage facilities. The National Oil and Hazardous Substances Pollution Contingency Plan (NCP) then establishes EPA's jurisdiction over inland oil spills and sets forth the framework for response. EPA accesses the Oil Spill Liability Trust Fund, administered by the U.S. Coast Guard, to obtain reimbursement for site-specific spill response activities. More than 30,000 oil and hazardous substance releases occur in the United States every year, with a significant portion of these spills occurring in the inland zone over which EPA has jurisdiction.

EPA develops and manages the regulations and protocols under Subpart J of the NCP which require manufacturers of various oil spill response products to test their products prior to listing on a Product Schedule. The Product Schedule identifies those oil spill remediation agents, such as dispersants and surface washing agents, which could be authorized for use by an On-Scene Coordinator (OSC) on an oil spill. Product testing ensures their effectiveness and provides toxicity information used by OSCs and Regional Response Teams in making informed decisions regarding the use of certain products in response to specific spills. EPA will be focusing its oil spill research efforts on ecological effects and assessment of shoreline and coastal impacts from oil spills and use of

dispersants and other chemical agents, human health impacts, and spill remediation alternatives and innovative technology development and evaluation, including green technologies. Spill response is a priority for the Agency, and EPA has been instrumental in providing guidance for various response technologies. A key factor in providing guidance on spill response technologies is developing a firm understanding of the science behind spill behavior in the environment.

**Enforcing Environmental Laws.**—The Inland Oil Spill Programs appropriation portion of the Civil Enforcement program is designed to prevent oil spills using civil enforcement and compliance assistance approaches, as well as to prepare for and respond to any oil spills affecting the inland waters of the United States. Pursuant to Clean Water Act Section 311 (Oil Spill and Hazardous Substances Liability) requirements, EPA's Civil Enforcement program develops policies; issues administrative clean-up and removal orders and orders protecting public health; pursues administrative remedies and/or refers civil judicial actions to the Department of Justice; assesses civil penalties for spills into the environment or violations of administrative orders or oil pollution prevention regulations; assists regulated entities in understanding their legal requirements under the Clean Water Act; and assists in the recovery of clean-up costs expended by the government.

**Enabling and Support Programs.**—Enabling and Support Programs provide the infrastructure of people, facilities and systems necessary to operate the programs funded by the Inland Oil Spill Programs appropriation. The office and function performed is Administration and Resources Management (facilities infrastructure and operations).

## Object Classification (in millions of dollars)

| Identification code 68–8221–0–7–304 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
|                                     | Direct obligations:                               |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 10          | 10      | 12        |
| 12.1                                | Civilian personnel benefits .....                 | 4           | 4       | 5         |
| 25.2                                | Other services from non-Federal sources .....     | 4           | 3       | 3         |
| 25.5                                | Research and development contracts .....          | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....        | 1           |         | 1         |
| 99.0                                | Direct obligations .....                          | 20          | 18      | 22        |
| 99.0                                | Reimbursable obligations .....                    | 31          | 31      | 31        |
| 99.9                                | Total new obligations .....                       | 51          | 49      | 53        |

## Employment Summary

| Identification code 68–8221–0–7–304 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 94          | 101     | 113       |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 9           |         |           |

## ADMINISTRATIVE PROVISIONS—ENVIRONMENTAL PROTECTION AGENCY

## (INCLUDING TRANSFER OF FUNDS)

*For fiscal year 2014, notwithstanding 31 U.S.C. 6303(1) and 6305(1), the Administrator of the Environmental Protection Agency, in carrying out the Agency's function to implement directly Federal environmental programs required or authorized by law in the absence of an acceptable tribal program, may award cooperative agreements to federally recognized Indian tribes or Intertribal consortia, if authorized by their member tribes, to assist the Administrator in implementing Federal environmental programs for Indian tribes required or authorized by law, except that no such cooperative agreements may be awarded from funds designated for State financial assistance agreements.*

*The Administrator of the Environmental Protection Agency is authorized to collect and obligate pesticide registration service fees in accordance with section 33 of the Federal Insecticide, Fungicide, and Rodenticide Act,*

as amended by Public Law 112-177, the Pesticide Registration Improvement Extension Act of 2012.

The Administrator is authorized to transfer up to \$300,000,000 of the funds appropriated for the Great Lakes Restoration Initiative under the heading "Environmental Programs and Management" to the head of any Federal department or agency, with the concurrence of such head, to carry out activities that would support the Great Lakes Restoration Initiative and Great Lakes Water Quality Agreement programs, projects, or activities; to enter into an interagency agreement with the head of such Federal department or agency to carry out these activities; and to make grants to governmental entities, nonprofit organizations, institutions, and individuals for planning, research, monitoring, outreach, and implementation in furtherance of the Great Lakes Restoration Initiative and the Great Lakes Water Quality Agreement.

Under terms established by the Administrator, and in addition to funds otherwise available in other appropriation accounts for specific grant programs, the Agency may expend funds appropriated in the Environmental Program and Management account for competitive grants to communities to implement Community Action for a Renewed Environment (CARE) projects.

The Science and Technology, Environmental Programs and Management, Office of Inspector General, Hazardous Substance Superfund, and Leaking Underground Storage Tank Trust Fund Program Accounts, are available for the construction, alteration, repair, rehabilitation, and renovation of facilities provided that the cost does not exceed \$150,000 per project.

The fourth paragraph under the heading Administrative Provisions of title II of Public Law 109-54, as amended by the fifth paragraph under

such heading of title II of division E of Public Law 111-8 and the third paragraph under such heading of the title II of Public Law 111-88, is further amended by striking "up to thirty persons at any one time" and inserting "persons".

For fiscal year 2014, and notwithstanding section 518(f) of the Water Pollution Control Act, the Administrator is authorized to use the amounts appropriated for any fiscal year under Section 319 of the Act to make grants to federally recognized Indian tribes pursuant to sections 319(h) and 518(e) of that Act.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Governmental receipts:   |             |         |           |
| 68-089500 Registration, PMN, Other Services .....  | 1           | 2       | 2         |
| General Fund Governmental receipts .....   | 1           | 2       | 2         |
| Offsetting receipts from the public:   |             |         |           |
| 68-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... |             | 2       | 2         |
| 68-322900 Cellulosic Biofuel Waiver Credits, Renewal Fuel Program .....                        | 5           | 10      | 10        |
| General Fund Offsetting receipts from the public .....   | 5           | 12      | 12        |



# EXECUTIVE OFFICE OF THE PRESIDENT

## THE WHITE HOUSE

### Federal Funds

#### COMPENSATION OF THE PRESIDENT

*For compensation of the President, including an expense allowance at the rate of \$50,000 per annum as authorized by 3 U.S.C. 102, \$450,000: Provided, That none of the funds made available for official expenses shall be expended for any other purpose and any unused amount shall revert to the Treasury pursuant to 31 U.S.C. 1552.*

#### SALARIES AND EXPENSES

*For necessary expenses for the White House as authorized by law, including not to exceed \$3,850,000 for services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 105; subsistence expenses as authorized by 3 U.S.C. 105, which shall be expended and accounted for as provided in that section; hire of passenger motor vehicles, newspapers, periodicals, and travel (not to exceed \$100,000 to be expended and accounted for as provided by 3 U.S.C. 103); and not to exceed \$19,000 for official entertainment expenses, to be available for allocation within the Executive Office of the President; and for necessary expenses of the Office of Policy Development, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, \$55,110,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 11–0209–0–1–802                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Salaries and expenses .....                                   | 57          | 57      | 56        |
| 0801 Reimbursable program .....                                    | 2           | 4       | 4         |
| 0900 Total new obligations .....                                   | 59          | 61      | 60        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 57          | 57      | 56        |
| 1160 Appropriation, discretionary (total) .....                    | 57          | 57      | 56        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 2           | 4       | 4         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2           | 4       | 4         |
| 1900 Budget authority (total) .....                                | 59          | 61      | 60        |
| 1930 Total budgetary resources available .....                     | 59          | 61      | 60        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 10          | 6       | 4         |
| 3010 Obligations incurred, unexpired accounts .....                | 59          | 61      | 60        |
| 3011 Obligations incurred, expired accounts .....                  | 2           |         |           |
| 3020 Outlays (gross) .....   | –62         | –63     | –63       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –3          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 6           | 4       | 1         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 10          | 6       | 4         |
| 3200 Obligated balance, end of year .....                          | 6           | 4       | 1         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 59          | 61      | 60        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 54          | 58      | 57        |
| 4011 Outlays from discretionary balances .....                     | 8           | 5       | 6         |
| 4020 Outlays, gross (total) .....                                  | 62          | 63      | 63        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | –2          | –4      | –4        |
| 4180 Budget authority, net (total) .....                           | 57          | 57      | 56        |
| 4190 Outlays, net (total) .....                                    | 60          | 59      | 59        |

These funds provide for the compensation of the President and official expenses. These funds also provide the President with

staff assistance and provide administrative services for the direct support of the President, to include support for the offices and councils in the White House as directed by the President.

#### Object Classification (in millions of dollars)

| Identification code 11–0209–0–1–802                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 36          | 36      | 36        |
| 12.1 Civilian personnel benefits .....                          | 10          | 10      | 10        |
| 21.0 Travel and transportation of persons .....                 | 3           | 2       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 5           | 6       | 4         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 57          | 57      | 56        |
| 99.0 Reimbursable obligations .....                             | 2           | 4       | 4         |
| 99.9 Total new obligations .....                                | 59          | 61      | 60        |

#### Employment Summary

| Identification code 11–0209–0–1–802                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 438         | 450     | 450       |

## EXECUTIVE RESIDENCE AT THE WHITE HOUSE

### Federal Funds

#### OPERATING EXPENSES

*For the care, maintenance, repair and alteration, refurnishing, improvement, heating, and lighting, including electric power and fixtures, of the Executive Residence at the White House and official entertainment expenses of the President, \$12,768,000, to be expended and accounted for as provided by 3 U.S.C. 105, 109, 110, and 112–114.*

#### REIMBURSABLE EXPENSES

*For the reimbursable expenses of the Executive Residence at the White House, such sums as may be necessary: Provided, That all reimbursable operating expenses of the Executive Residence shall be made in accordance with the provisions of this paragraph: Provided further, That, notwithstanding any other provision of law, such amount for reimbursable operating expenses shall be the exclusive authority of the Executive Residence to incur obligations and to receive offsetting collections, for such expenses: Provided further, That the Executive Residence shall require each person sponsoring a reimbursable political event to pay in advance an amount equal to the estimated cost of the event, and all such advance payments shall be credited to this account and remain available until expended: Provided further, That the Executive Residence shall require the national committee of the political party of the President to maintain on deposit \$25,000, to be separately accounted for and available for expenses relating to reimbursable political events sponsored by such committee during such fiscal year: Provided further, That the Executive Residence shall ensure that a written notice of any amount owed for a reimbursable operating expense under this paragraph is submitted to the person owing such amount within 60 days after such expense is incurred, and that such amount is collected within 30 days after the submission of such notice: Provided further, That the Executive Residence shall charge interest and assess penalties and other charges on any such amount that is not reimbursed within such 30 days, in accordance with the interest and penalty provisions applicable to an outstanding debt on a United States Government claim under 31 U.S.C. 3717: Provided further, That each such amount that is reimbursed, and any accompanying interest and charges, shall be deposited in the Treasury as miscellaneous receipts: Provided further, That the Executive Residence shall prepare and submit to the Committees on Appropriations, by not later than 90 days after the end of the fiscal year covered by this Act, a report setting forth the reimbursable operating expenses of the Executive Residence during the preceding fiscal year, including the total amount of such expenses, the amount of such*

## OPERATING EXPENSES—Continued

*total that consists of reimbursable official and ceremonial events, the amount of such total that consists of reimbursable political events, and the portion of each such amount that has been reimbursed as of the date of the report: Provided further, That the Executive Residence shall maintain a system for the tracking of expenses related to reimbursable events within the Executive Residence that includes a standard for the classification of any such expense as political or nonpolitical: Provided further, That no provision of this paragraph may be construed to exempt the Executive Residence from any other applicable requirement of subchapter I or II of chapter 37 of title 31, United States Code.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–0210–0–1–802                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Direct program activity .....                                   | 13          | 14      | 13        |
| 0831 Reimbursable program activity .....                             | 4           | 5       | 5         |
| 0900 Total new obligations .....                                     | 17          | 19      | 18        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 13          | 14      | 13        |
| 1160 Appropriation, discretionary (total) .....                      | 13          | 14      | 13        |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 4           | 5       | 5         |
| 1701 Change in uncollected payments, Federal sources .....           | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 5           | 5       | 5         |
| 1900 Budget authority (total) .....                                  | 18          | 19      | 18        |
| 1930 Total budgetary resources available .....                       | 18          | 19      | 18        |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –1          |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 2           | 1       | 4         |
| 3010 Obligations incurred, unexpired accounts .....                  | 17          | 19      | 18        |
| 3020 Outlays (gross) .....   | –17         | –16     | –18       |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 1           | 4       | 4         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –1          | –1      | –1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –1          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –1          | –1      | –1        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1           |         | 3         |
| 3200 Obligated balance, end of year .....                            |             | 3       | 3         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 18          | 19      | 18        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 16          | 16      | 15        |
| 4011 Outlays from discretionary balances .....                       | 1           |         | 3         |
| 4020 Outlays, gross (total) .....                                    | 17          | 16      | 18        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   |             | –1      | –1        |
| 4033 Non-Federal sources .....                                       | –4          | –4      | –4        |
| 4040 Offsets against gross budget authority and outlays (total) .... | –4          | –5      | –5        |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –1          |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 13          | 14      | 13        |
| 4080 Outlays, net (discretionary) .....                              | 13          | 11      | 13        |
| 4180 Budget authority, net (total) .....                             | 13          | 14      | 13        |
| 4190 Outlays, net (total) .....                                      | 13          | 11      | 13        |

These funds provide for the care, maintenance, and operation of the Executive Residence.

## Object Classification (in millions of dollars)

| Identification code 11–0210–0–1–802                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 8           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                          | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 13          | 13      | 13        |
| 99.0 Reimbursable obligations .....                             | 4           | 5       | 5         |
| 99.5 Below reporting threshold .....                            |             | 1       |           |
| 99.9 Total new obligations .....                                | 17          | 19      | 18        |

## Employment Summary

| Identification code 11–0210–0–1–802                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 89          | 96      | 96        |

## WHITE HOUSE REPAIR AND RESTORATION

*For the repair, alteration, and improvement of the Executive Residence at the White House, \$750,000, to remain available until expended, for required maintenance, resolution of safety and health issues, and continued preventative maintenance.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–0109–0–1–802                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 3           | 1       | 1         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 7           | 7       | 7         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1           | 1       | 1         |
| 1160 Appropriation, discretionary (total) .....                    | 1           | 1       | 1         |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 2           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2           |         |           |
| 1900 Budget authority (total) .....                                | 3           | 1       | 1         |
| 1930 Total budgetary resources available .....                     | 10          | 8       | 8         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 7           | 7       | 7         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....                | 3           | 1       | 1         |
| 3020 Outlays (gross) .....   | –2          | –1      | –1        |
| 3050 Unpaid obligations, end of year .....                         | 2           | 2       | 2         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           | 2       | 2         |
| 3200 Obligated balance, end of year .....                          | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 3           | 1       | 1         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             | 1       | 1         |
| 4011 Outlays from discretionary balances .....                     | 2           |         |           |
| 4020 Outlays, gross (total) .....                                  | 2           | 1       | 1         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | –2          |         |           |
| 4180 Budget authority, net (total) .....                           | 1           | 1       | 1         |

|      |                            |   |   |
|------|----------------------------|---|---|
| 4190 | Outlays, net (total) ..... | 1 | 1 |
|------|----------------------------|---|---|

These funds provide for the repair, alteration, and improvement of the Executive Residence at the White House.

**Object Classification** (in millions of dollars)

| Identification code 11-0109-0-1-802                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.2 Direct obligations: Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 99.0 Reimbursable obligations .....                                    | 2           |         |           |
| 99.9 Total new obligations .....                                       | 3           | 1       | 1         |

## SPECIAL ASSISTANCE TO THE PRESIDENT AND THE OFFICIAL RESIDENCE OF THE VICE PRESIDENT

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses to enable the Vice President to provide assistance to the President in connection with specially assigned functions; services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 106, including subsistence expenses as authorized by 3 U.S.C. 106, which shall be expended and accounted for as provided in that section; and hire of passenger motor vehicles, \$4,328,000.*

#### OFFICIAL RESIDENCE OF THE VICE PRESIDENT

##### OPERATING EXPENSES

##### (INCLUDING TRANSFER OF FUNDS)

*For the care, operation, refurbishing, improvement, and to the extent not otherwise provided for, heating and lighting, including electric power and fixtures, of the official residence of the Vice President; the hire of passenger motor vehicles; and not to exceed \$90,000 for official entertainment expenses of the Vice President, to be accounted for solely on his certificate, \$307,000: Provided, That advances or repayments or transfers from this appropriation may be made to any department or agency for expenses of carrying out such activities.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 11-1454-0-1-802                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 4           | 5       | 5         |
| 0801 Reimbursable program activity .....                           | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 5           | 6       | 6         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 5           | 5       | 5         |
| 1160 Appropriation, discretionary (total) .....                    | 5           | 5       | 5         |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 6           | 6       | 6         |
| 1930 Total budgetary resources available .....                     | 6           | 7       | 7         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 5           | 6       | 6         |
| 3020 Outlays (gross) .....   | -6          | -6      | -6        |

| Identification code 11-1454-0-1-802                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3100 Memorandum (non-add) entries:                  |             |         |           |
| Obligated balance, start of year .....              | 1           |         |           |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 6           | 6       | 6         |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 5           | 6       | 6         |
| 4011 Outlays from discretionary balances .....      | 1           |         |           |
| 4020 Outlays, gross (total) .....                   | 6           | 6       | 6         |
| Offsets against gross budget authority and outlays: |             |         |           |
| Offsetting collections (collected) from:            |             |         |           |
| 4030 Federal sources .....                          | -1          | -1      | -1        |
| 4180 Budget authority, net (total) .....            | 5           | 5       | 5         |
| 4190 Outlays, net (total) .....                     | 5           | 5       | 5         |

These funds are used by the Vice President to carry out responsibilities assigned to the Vice President by the President and by various statutes. These funds also provide for the care and operation of the Vice President's official residence.

#### Object Classification (in millions of dollars)

| Identification code 11-1454-0-1-802                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 99.0 Direct obligations .....                          | 4           | 4       | 4         |
| 99.0 Reimbursable obligations .....                    | 1           | 1       | 1         |
| 99.5 Below reporting threshold .....                   |             | 1       | 1         |
| 99.9 Total new obligations .....                       | 5           | 6       | 6         |

#### Employment Summary

| Identification code 11-1454-0-1-802                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 25          | 24      | 24        |

## COUNCIL OF ECONOMIC ADVISERS

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Council of Economic Advisers in carrying out its functions under the Employment Act of 1946 (15 U.S.C. 1021 et seq.), \$4,192,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 11-1900-0-1-802                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....       | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....        | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 4           | 4       | 4         |
| 3020 Outlays (gross) .....                            | -4          | -4      | -4        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 11–1900–0–1–802       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 3200 Obligated balance, end of year ..... | 1           | 1       | 1         |

|   |   |   |   |
|---|---|---|---|
| <b>Budget authority and outlays, net:</b>           |   |   |   |
| Discretionary:                                      |   |   |   |
| 4000 Budget authority, gross .....                  | 4 | 4 | 4 |
| Outlays, gross:                                     |   |   |   |
| 4010 Outlays from new discretionary authority ..... | 4 | 3 | 3 |
| 4011 Outlays from discretionary balances .....      |   | 1 | 1 |
| 4020 Outlays, gross (total) .....                   | 4 | 4 | 4 |
| 4180 Budget authority, net (total) .....            | 4 | 4 | 4 |
| 4190 Outlays, net (total) .....                     | 4 | 4 | 4 |

The Council of Economic Advisers analyzes the national economy and its various segments, advises the President on economic developments, recommends policies for economic growth and stability, appraises economic programs and policies of the Federal Government, and assists in preparation of the annual Economic Report of the President to the Congress.

## Object Classification (in millions of dollars)

| Identification code 11–1900–0–1–802                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 4           | 4       | 4         |

## Employment Summary

| Identification code 11–1900–0–1–802                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 25          | 26      | 26        |

COUNCIL ON ENVIRONMENTAL QUALITY AND  
OFFICE OF ENVIRONMENTAL QUALITY

## Federal Funds

COUNCIL ON ENVIRONMENTAL QUALITY AND OFFICE OF ENVIRONMENTAL  
QUALITY

*For necessary expenses to continue functions assigned to the Council on Environmental Quality and Office of Environmental Quality pursuant to the National Environmental Policy Act of 1969, the Environmental Quality Improvement Act of 1970, and Reorganization Plan No. 1 of 1977, and not to exceed \$750 for official reception and representation expenses, \$3,009,000: Provided, That, notwithstanding section 202 of the National Environmental Policy Act of 1970, the Council shall consist of one member, appointed by the President, by and with the advice and consent of the Senate, serving as chairman and exercising all powers, functions, and duties of the Council.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–1453–0–1–802   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Council on Environmental Quality and Office of Environmental Quality ..... | 3           | 3       | 3         |
| 0900 Total new obligations .....  | 3           | 3       | 3         |

## Budgetary Resources:

|   |   |   |   |
|---|---|---|---|
| Budget authority:                               |   |   |   |
| Appropriations, discretionary:                  |   |   |   |
| 1100 Appropriation .....                        | 3 | 3 | 3 |
| 1160 Appropriation, discretionary (total) ..... | 3 | 3 | 3 |
| 1930 Total budgetary resources available .....  | 3 | 3 | 3 |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                 |    |    |    |
| 3010 Obligations incurred, unexpired accounts ..... | 3  | 3  | 3  |
| 3020 Outlays (gross) .....                          | –3 | –3 | –3 |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Discretionary:                                      |   |   |   |
| 4000 Budget authority, gross .....                  | 3 | 3 | 3 |
| Outlays, gross:                                     |   |   |   |
| 4010 Outlays from new discretionary authority ..... | 3 | 3 | 3 |
| 4180 Budget authority, net (total) .....            | 3 | 3 | 3 |
| 4190 Outlays, net (total) .....                     | 3 | 3 | 3 |

This appropriation provides funds for the Council on Environmental Quality and the Office of Environmental Quality to serve as the focal point for environmental policy development within the Administration and conduct compliance oversight activities under the National Environmental Policy Act (NEPA).

## Object Classification (in millions of dollars)

| Identification code 11–1453–0–1–802  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 99.5 Below reporting threshold .....                                       | 1           | 1       | 1         |
| 99.9 Total new obligations .....   | 3           | 3       | 3         |

## Employment Summary

| Identification code 11–1453–0–1–802                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 22          | 24      | 24        |

## MANAGEMENT FUND, OFFICE OF ENVIRONMENTAL QUALITY

## Program and Financing (in millions of dollars)

| Identification code 11–3963–0–4–802                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0801 Reimbursable program activity .....             | 1           |         |           |
| 0809 Reimbursable program activities, subtotal ..... | 1           |         |           |
| 0900 Total new obligations (object class 25.2) ..... | 1           |         |           |

## Budgetary Resources:

|   |   |  |  |
|---|---|--|--|
| Unobligated balance:                                  |   |  |  |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1 |  |  |
| 1930 Total budgetary resources available .....        | 1 |  |  |

## Change in obligated balance:

|   |   |   |   |
|---|---|---|---|
| Unpaid obligations:                                   |   |   |   |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |   | 1 | 1 |
| 3010 Obligations incurred, unexpired accounts .....   | 1 |   |   |
| 3050 Unpaid obligations, end of year .....            | 1 | 1 | 1 |
| Memorandum (non-add) entries:                         |   |   |   |
| 3100 Obligated balance, start of year .....           |   | 1 | 1 |
| 3200 Obligated balance, end of year .....             | 1 | 1 | 1 |

The Office of Environmental Quality Management Fund finances study contracts that are jointly sponsored by the Office of Environmental Quality and one or more other Federal agencies. The Management Fund also finances Federal interagency environmental projects (including task forces) in which the Office participates.



## Object Classification (in millions of dollars)

| Identification code 11-3963-0-4-802                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           |         |           |
| 99.0 Reimbursable obligations .....                | 1           |         |           |

## NATIONAL SECURITY COUNCIL AND HOMELAND SECURITY COUNCIL

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the National Security Council and the Homeland Security Council, including services as authorized by 5 U.S.C. 3109, \$12,621,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11-2000-0-1-802                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 National Security Council .....                               | 14          | 13      | 13        |
| 0801 Reimbursable program activity .....                           |             | 1       | 1         |
| 0900 Total new obligations .....                                   | 14          | 14      | 14        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 13          | 13      | 13        |
| 1160 Appropriation, discretionary (total) .....                    | 13          | 13      | 13        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 14          | 14      | 14        |
| 1930 Total budgetary resources available .....                     | 14          | 14      | 14        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 2           | 1       |           |
| 3010 Obligations incurred, unexpired accounts .....                | 14          | 14      | 14        |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |
| 3020 Outlays (gross) .....   | -15         | -15     | -14       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 1           |         |           |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -1          | -1      | -1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           |         | -1        |
| 3200 Obligated balance, end of year .....                          |             | -1      | -1        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 14          | 14      | 14        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 13          | 13      | 13        |
| 4011 Outlays from discretionary balances .....                     | 2           | 2       | 1         |
| 4020 Outlays, gross (total) .....                                  | 15          | 15      | 14        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -1          | -1      | -1        |
| 4180 Budget authority, net (total) .....                           | 13          | 13      | 13        |
| 4190 Outlays, net (total) .....                                    | 14          | 14      | 13        |

The National Security Council and Homeland Security Council advise the President on the integration of domestic, foreign, and military policies relating to national security.

## Object Classification (in millions of dollars)

| Identification code 11-2000-0-1-802                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 10          | 9       | 9         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 21.0 Travel and transportation of persons .....        | 1           | 1       | 1         |
| 99.0 Direct obligations .....                          | 13          | 12      | 12        |
| 99.0 Reimbursable obligations .....                    |             | 1       | 1         |
| 99.5 Below reporting threshold .....                   | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 14          | 14      | 14        |

### Employment Summary

| Identification code 11-2000-0-1-802                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 68          | 77      | 77        |

## OFFICE OF ADMINISTRATION

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Office of Administration, including services as authorized by 5 U.S.C. 3109 and 3 U.S.C. 107, and hire of passenger motor vehicles, \$113,135,000, of which \$12,006,000 shall remain available until expended for continued modernization of the information technology infrastructure within the Executive Office of the President.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11-0038-0-1-802                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0009 General Services .....  | 10          | 10      | 10        |
| 0010 Facilities Management .....                                   | 26          | 26      | 24        |
| 0011 Information Systems and Technology .....                      | 34          | 36      | 35        |
| 0012 Library and Research Services .....                           | 2           | 2       | 2         |
| 0013 Capital Investment Plan .....                                 | 6           | 10      | 12        |
| 0014 Personnel .....   | 29          | 29      | 29        |
| 0016 Below Reporting Threshold .....                               | 1           | 1       | 1         |
| 0100 Direct program activities, subtotal .....                     | 108         | 114     | 113       |
| 0799 Total direct obligations .....                                | 108         | 114     | 113       |
| 0880 Reimbursable program activity .....                           | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 109         | 115     | 114       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 11      | 11        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           |         |           |
| 1050 Unobligated balance (total) .....                             | 6           | 11      | 11        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 113         | 114     | 113       |
| 1160 Appropriation, discretionary (total) .....                    | 113         | 114     | 113       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 1       | 1         |
| 1701 Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 114         | 115     | 114       |
| 1930 Total budgetary resources available .....                     | 120         | 126     | 125       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 11          | 11      | 11        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 43          | 35      | 28        |
| 3010 Obligations incurred, unexpired accounts .....                | 109         | 115     | 114       |
| 3011 Obligations incurred, expired accounts .....                  | 1           |         |           |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 11–0038–0–1–802                     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3020  | Outlays (gross) .....  | –114        | –122    | –114      |
| 3040  | Recoveries of prior year unpaid obligations, unexpired ..... | –1          | .....   | .....     |
| 3041  | Recoveries of prior year unpaid obligations, expired .....   | –3          | .....   | .....     |
| 3050  | Unpaid obligations, end of year .....                        | 35          | 28      | 28        |
| Uncollected payments:                                   |  |             |         |           |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –1          | –1      | –1        |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....    | –1          | .....   | .....     |
| 3071  | Change in uncollected pymts, Fed sources, expired .....      | 1           | .....   | .....     |
| 3090  | Uncollected pymts, Fed sources, end of year .....            | –1          | –1      | –1        |
| Memorandum (non-add) entries:                           |  |             |         |           |
| 3100  | Obligated balance, start of year .....                       | 42          | 34      | 27        |
| 3200  | Obligated balance, end of year .....                         | 34          | 27      | 27        |
| <b>Budget authority and outlays, net:</b>               |  |             |         |           |
| Discretionary:  |  |             |         |           |
| 4000  | Budget authority, gross .....                                | 114         | 115     | 114       |
| Outlays, gross:   |  |             |         |           |
| 4010  | Outlays from new discretionary authority .....               | 79          | 89      | 88        |
| 4011  | Outlays from discretionary balances .....                    | 35          | 33      | 26        |
| 4020  | Outlays, gross (total) .....                                 | 114         | 122     | 114       |
| Offsets against gross budget authority and outlays:     |  |             |         |           |
| Offsetting collections (collected) from:                |  |             |         |           |
| 4030  | Federal sources .....  | .....       | –1      | –1        |
| Additional offsets against gross budget authority only: |  |             |         |           |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....    | –1          | .....   | .....     |
| 4070  | Budget authority, net (discretionary) .....                  | 113         | 114     | 113       |
| 4080  | Outlays, net (discretionary) .....                           | 114         | 121     | 113       |
| 4180  | Budget authority, net (total) .....                          | 113         | 114     | 113       |
| 4190  | Outlays, net (total) .....                                   | 114         | 121     | 113       |

The Office of Administration's mission is to provide a full array of customer-based administrative services to all entities of the Executive Office of the President. These services, defined by Executive Order 12028 of 1977, include financial, personnel, library, information management systems, security and emergency preparedness, and general office administrative services.

## Object Classification (in millions of dollars)

| Identification code 11–0038–0–1–802 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....          | 22          | 23      | 23        |
| 12.1                                | Civilian personnel benefits .....                          | 7           | 8       | 8         |
| 23.1                                | Rental payments to GSA .....                               | 24          | 23      | 21        |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 5           | 6       | 6         |
| 25.2                                | Other services from non-Federal sources .....              | 43          | 43      | 43        |
| 26.0                                | Supplies and materials .....                               | 2           | 2       | 2         |
| 31.0                                | Equipment .....  | 5           | 9       | 10        |
| 99.0                                | Direct obligations .....                                   | 108         | 114     | 113       |
| 99.0                                | Reimbursable obligations .....                             | 1           | 1       | 1         |
| 99.9                                | Total new obligations .....                                | 109         | 115     | 114       |

## Employment Summary

| Identification code 11–0038–0–1–802 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 219         | 230     | 230       |

## OFFICE OF MANAGEMENT AND BUDGET

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of the Office of Management and Budget, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109 and to carry out the provisions of chapter 35 of title 44, United States Code, \$93,397,000, of which not to exceed \$3,000 shall be available for official representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–0300–0–1–802       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | National Security programs .....                           | 11          | 11      | 12        |
| 0002                                      | General Government programs .....                          | 10          | 10      | 10        |
| 0003                                      | Natural Resource programs .....                            | 10          | 10      | 10        |
| 0005                                      | Health programs .....                                      | 7           | 7       | 8         |
| 0006                                      | Education, Income Maintenance, and Labor programs .....    | 5           | 5       | 6         |
| 0007                                      | Office of Federal Financial Management .....               | 3           | 3       | 3         |
| 0008                                      | Information and Regulatory Affairs .....                   | 8           | 8       | 8         |
| 0009                                      | Office of Federal Procurement Policy .....                 | 3           | 3       | 3         |
| 0010                                      | OMB-wide Offices .....                                     | 32          | 33      | 33        |
| 0100                                      | Direct program activities, subtotal .....                  | 89          | 90      | 93        |
| 0900                                      | Total new obligations .....                                | 89          | 90      | 93        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 89          | 90      | 93        |
| 1160                                      | Appropriation, discretionary (total) .....                 | 89          | 90      | 93        |
| 1930                                      | Total budgetary resources available .....                  | 89          | 90      | 93        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 10          | 6       | 6         |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 89          | 90      | 93        |
| 3011                                      | Obligations incurred, expired accounts .....               | 2           | .....   | .....     |
| 3020                                      | Outlays (gross) .....                                      | –92         | –90     | –93       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | –3          | .....   | .....     |
| 3050                                      | Unpaid obligations, end of year .....                      | 6           | 6       | 6         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 10          | 6       | 6         |
| 3200                                      | Obligated balance, end of year .....                       | 6           | 6       | 6         |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                              | 89          | 90      | 93        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 83          | 82      | 85        |
| 4011                                      | Outlays from discretionary balances .....                  | 9           | 8       | 8         |
| 4020                                      | Outlays, gross (total) .....                               | 92          | 90      | 93        |
| 4180                                      | Budget authority, net (total) .....                        | 89          | 90      | 93        |
| 4190                                      | Outlays, net (total) .....                                 | 92          | 90      | 93        |

This Office assists the President in the discharge of budgetary, management, and other executive responsibilities.

**National Security Programs; General Government Programs; Natural Resource Programs; Health Programs; and Education, Income Maintenance, and Labor Programs.**—These offices examine Federal agency programs, budget requests, and management activities, analyze legislation, apportion appropriations, study proposed changes in agency functions, and conduct special studies aimed at establishing goals and objectives that would result in long- and short-range improvements in the agencies' financial, administrative, and operational management.

**Financial Management.**—The OMB Office of Federal Financial Management prepares the Government-wide financial management status report and 5-year plan, monitors execution of the plan; provides policy guidance on preparation and audit of financial statements, financial systems requirements, management controls, and cost accounting and audit requirements for the non-Federal grantee community. This office also provides policy guidance on Federal grants management. To improve financial performance, this office leverages its resources by working closely with the Chief Financial Officers Council and the Department and Agency Inspectors General community.

**Information and Regulatory Affairs.**—The OMB Office of Information and Regulatory Affairs reviews and coordinates agency proposals to implement or revise Federal regulations and information collection requirements. In addition, it analyses, develops, coordinates, and maintains information resources management and statistical policies and practices.

**Procurement Policy.**—The OMB Office of Federal Procurement Policy provides overall direction of Government-wide procurement policies, regulations, and procedures for executive agencies.

**OMB-wide Offices.**—These offices provide executive direction and coordination for all Office of Management and Budget activities. This includes the Director's Office; the Deputy Director, the Deputy Director for Management, the Executive Associate Director, and the Intellectual Property Enforcement Coordinator; Communications; General Counsel; Legislative Affairs; Economic Policy; Management and Operations Division; the Legislative Reference Division; the Budget Review Division; the Performance and Personnel Management Division; and the Office of E-Government and Information Technology. In addition, these offices provide overall leadership for OMB's activities; develop instructions and procedures on a wide range of management, legislative, legal, economic, budgetary, administrative, and IT-related issues; coordinate OMB review of agency activities; and prepare the budget document.

#### Object Classification (in millions of dollars)

| Identification code 11–0300–0–1–802                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 59          | 59      | 61        |
| 12.1 Civilian personnel benefits .....                 | 15          | 16      | 17        |
| 23.1 Rental payments to GSA .....                      | 7           | 7       | 7         |
| 23.2 Rental payments to others .....                   | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 6           | 6       | 6         |
| 31.0 Equipment .....                                   | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 89          | 90      | 93        |

#### Employment Summary

| Identification code 11–0300–0–1–802                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....             | 511         | 494     | 506       |
| 3001 Allocation account civilian full-time equivalent employment ..... | 1           | 1       | .....     |

## OFFICE OF NATIONAL DRUG CONTROL POLICY

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Office of National Drug Control Policy; for research activities pursuant to the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109–469); not to exceed \$10,000 for official reception and representation expenses; and for participation in joint projects or in the provision of services on matters of mutual interest with nonprofit, research, or public organizations or agencies, with or without reimbursement, \$22,647,000: Provided, That the Office is authorized to accept, hold, administer, and utilize gifts, both real and personal, public and private, without fiscal year limitation, for the purpose of aiding or facilitating the work of the Office.*

**Note.**—A full-year appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 11–1457–0–1–802                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Operations .....  | 24          | 25      | 23        |
| 0002 Policy Research .....   | 2           | .....   | .....     |
| 0900 Total new obligations .....                                   | 26          | 25      | 23        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 2           | 2       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 25          | 25      | 23        |
| 1160 Appropriation, discretionary (total) .....                    | 25          | 25      | 23        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | .....       | 1       | .....     |
| 1701 Change in uncollected payments, Federal sources .....         | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | .....     |
| 1900 Budget authority (total) .....                                | 26          | 26      | 23        |
| 1930 Total budgetary resources available .....                     | 28          | 28      | 26        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 2           | 3       | 3         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 9           | 6       | 8         |
| 3010 Obligations incurred, unexpired accounts .....                | 26          | 25      | 23        |
| 3011 Obligations incurred, expired accounts .....                  | 1           | .....   | .....     |
| 3020 Outlays (gross) .....   | –27         | –23     | –26       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –3          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 6           | 8       | 5         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –1          | –2      | –2        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –1          | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –2          | –2      | –2        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 8           | 4       | 6         |
| 3200 Obligated balance, end of year .....                          | 4           | 6       | 3         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 26          | 26      | 23        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 21          | 21      | 18        |
| 4011 Outlays from discretionary balances .....                     | 6           | 2       | 8         |
| 4020 Outlays, gross (total) .....                                  | 27          | 23      | 26        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | .....       | –1      | .....     |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....     | –1          | .....   | .....     |
| 4070 Budget authority, net (discretionary) .....                   | 25          | 25      | 23        |
| 4080 Outlays, net (discretionary) .....                            | 27          | 22      | 26        |
| 4180 Budget authority, net (total) .....                           | 25          | 25      | 23        |
| 4190 Outlays, net (total) .....                                    | 27          | 22      | 26        |

The Office of National Drug Control Policy (ONDCP), established by the Anti-Drug Abuse Act of 1988, and reauthorized by the Office of National Drug Control Policy Reauthorization Act of 2006, is charged with developing policies, objectives and priorities for the National Drug Control Program. In addition, ONDCP administers the High Intensity Drug Trafficking Areas Program and the Drug Free Communities Support Program. (Descriptions of these programs are found in the Federal Drug Control Programs section of this Appendix.)

For 2014, the account provides funding for personnel compensation, travel, rent, and other basic operations of the Office.

#### Object Classification (in millions of dollars)

| Identification code 11–1457–0–1–802                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 12          | 13      | 13        |
| 12.1 Civilian personnel benefits .....                 | 3           | 3       | 3         |

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

| Identification code 11–1457–0–1–802 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 21.0                                | Travel and transportation of persons .....    | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                  | 3           | 3       | 3         |
| 25.2                                | Other services from non-Federal sources ..... | 5           | 5       | 3         |
| 26.0                                | Supplies and materials .....                  | 1           |         |           |
| 99.0                                | Direct obligations .....                      | 25          | 25      | 23        |
| 99.0                                | Reimbursable obligations .....                | 1           |         |           |
| 99.9                                | Total new obligations .....                   | 26          | 25      | 23        |

## Employment Summary

| Identification code 11–1457–0–1–802 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 94          | 98      | 96        |

## OFFICE OF SCIENCE AND TECHNOLOGY POLICY

## Federal Funds

## OFFICE OF SCIENCE AND TECHNOLOGY POLICY

*For necessary expenses of the Office of Science and Technology Policy, in carrying out the purposes of the National Science and Technology Policy, Organization, and Priorities Act of 1976 (42 U.S.C. 6601–6671), hire of passenger motor vehicles, and services as authorized by 5 U.S.C. 3109, not to exceed \$2,250 for official reception and representation expenses, and rental of conference rooms in the District of Columbia, \$5,658,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–2600–0–1–802       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Office of Science and Technology Policy .....    | 4           | 5       | 6         |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                              | 5           | 5       | 6         |
| 1160                                      | Appropriation, discretionary (total) .....       | 5           | 5       | 6         |
| 1930                                      | Total budgetary resources available .....        | 5           | 6       | 7         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 2           |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 4           | 5       | 6         |
| 3020                                      | Outlays (gross) .....                            | –6          | –5      | –6        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 2           |         |           |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                    | 5           | 5       | 6         |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....   | 4           | 4       | 5         |
| 4011                                      | Outlays from discretionary balances .....        | 2           | 1       | 1         |
| 4020                                      | Outlays, gross (total) .....                     | 6           | 5       | 6         |
| 4180                                      | Budget authority, net (total) .....              | 5           | 5       | 6         |
| 4190                                      | Outlays, net (total) .....                       | 6           | 5       | 6         |

The Office of Science and Technology Policy (OSTP) provides advice to the President concerning policies in science and technology and on the use of science and technology in addressing im-

portant national problems. The OSTP operations include support to other Executive Office of the President organizations on issues with science and technology considerations; with the Office of Management and Budget, review and analysis of and recommendations on research and development budgets for all Federal agencies; coordination of research and development programs of the Federal Government; coordination of the implementation of a number of important international science and technology agreements; and other activities necessary to carry out the duties, functions, and activities described in Public Law 94–282, the National Science and Technology Policy, Organization, and Priorities Act of 1976. OSTP also provides support for the National Science and Technology Council.

## Object Classification (in millions of dollars)

| Identification code 11–2600–0–1–802 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 3           | 3       | 4         |
| 12.1                                | Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 99.0                                | Direct obligations .....                          | 4           | 4       | 5         |
| 99.5                                | Below reporting threshold .....                   |             | 1       | 1         |
| 99.9                                | Total new obligations .....                       | 4           | 5       | 6         |

## Employment Summary

| Identification code 11–2600–0–1–802 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 27          | 32      | 32        |

OFFICE OF THE UNITED STATES TRADE  
REPRESENTATIVE

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses of the Office of the United States Trade Representative, including the hire of passenger motor vehicles and the employment of experts and consultants as authorized by 5 U.S.C. 3109, \$56,170,000, of which \$1,000,000 shall remain available until expended: Provided, That not to exceed \$124,000 shall be available for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11–0400–0–1–999                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Office of the United States Trade Representative .....        | 52          | 52      | 56        |
| 0801   | Reimbursable program activity .....                           | 1           | 1       | 1         |
| 0900   | Total new obligations .....                                   | 53          | 53      | 57        |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 2           | 1       | 1         |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 51          | 52      | 56        |
| 1160   | Appropriation, discretionary (total) .....                    | 51          | 52      | 56        |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 1           | 1       | 1         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900   | Budget authority (total) .....                                | 52          | 53      | 57        |
| 1930   | Total budgetary resources available .....                     | 54          | 54      | 58        |

|   |  |     |     |     |
|---|--|-----|-----|-----|
| Memorandum (non-add) entries:                       |  |     |     |     |
| 1941  | Unexpired unobligated balance, end of year .....           | 1   | 1   | 1   |
| <b>Change in obligated balance:</b>                 |  |     |     |     |
| Unpaid obligations:                                 |  |     |     |     |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....           | 4   | 4   | 4   |
| 3010  | Obligations incurred, unexpired accounts .....             | 53  | 53  | 57  |
| 3011  | Obligations incurred, expired accounts .....               | 2   |     |     |
| 3020  | Outlays (gross) .....                                      | -53 | -53 | -57 |
| 3041  | Recoveries of prior year unpaid obligations, expired ..... | -2  |     |     |
| 3050  | Unpaid obligations, end of year .....                      | 4   | 4   | 4   |
| Memorandum (non-add) entries:                       |  |     |     |     |
| 3100  | Obligated balance, start of year .....                     | 4   | 4   | 4   |
| 3200  | Obligated balance, end of year .....                       | 4   | 4   | 4   |
| <b>Budget authority and outlays, net:</b>           |  |     |     |     |
| Discretionary:                                      |  |     |     |     |
| 4000  | Budget authority, gross .....                              | 52  | 53  | 57  |
| Outlays, gross:                                     |  |     |     |     |
| 4010  | Outlays from new discretionary authority .....             | 48  | 48  | 52  |
| 4011  | Outlays from discretionary balances .....                  | 5   | 5   | 5   |
| 4020  | Outlays, gross (total) .....                               | 53  | 53  | 57  |
| Offsets against gross budget authority and outlays: |  |     |     |     |
| Offsetting collections (collected) from:            |  |     |     |     |
| 4030  | Federal sources .....                                      | -1  | -1  | -1  |
| 4180  | Budget authority, net (total) .....                        | 51  | 52  | 56  |
| 4190  | Outlays, net (total) .....                                 | 52  | 52  | 56  |

The United States Trade Representative is responsible for developing, coordinating, and advising the President on U.S. international trade policy. The Trade Representative is responsible for the conduct of international trade negotiations, including commodity and direct investment negotiations. The Trade Representative also conducts U.S. affairs relating to the World Trade Organization, in which the United States participates.

#### Object Classification (in millions of dollars)

|                                     |  |    |    |    |
|-------------------------------------|--|----|----|----|
| Identification code 11-0400-0-1-999 |  |    |    |    |
| Direct obligations:                 |  |    |    |    |
| 11.1                                | Personnel compensation: Full-time permanent .....          | 30 | 31 | 32 |
| 12.1                                | Civilian personnel benefits .....                          | 9  | 10 | 11 |
| 21.0                                | Travel and transportation of persons .....                 | 5  | 5  | 6  |
| 23.1                                | Rental payments to GSA .....                               |    | 1  | 1  |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 2  | 1  | 1  |
| 25.2                                | Other services from non-Federal sources .....              | 5  | 4  | 5  |
| 99.0                                | Direct obligations .....                                   | 51 | 52 | 56 |
| 99.0                                | Reimbursable obligations .....                             | 1  | 1  | 1  |
| 99.5                                | Below reporting threshold .....                            | 1  |    |    |
| 99.9                                | Total new obligations .....                                | 53 | 53 | 57 |

#### Employment Summary

|                                     |   |     |     |     |
|-------------------------------------|---|-----|-----|-----|
| Identification code 11-0400-0-1-999 |   |     |     |     |
| 1001                                | Direct civilian full-time equivalent employment ..... | 232 | 245 | 254 |

## UNANTICIPATED NEEDS

### Federal Funds

#### UNANTICIPATED NEEDS

*For expenses necessary to enable the President to meet unanticipated needs, in furtherance of the national interest, security, or defense which may arise at home or abroad during the current fiscal year, as authorized by 3 U.S.C. 108, \$1,000,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |  |    |    |    |
|---|--|----|----|----|
| Identification code 11-0037-0-1-802       |  |    |    |    |
| 2012 actual                               |  |    |    |    |
| 2013 CR                                   |  |    |    |    |
| 2014 est.                                 |  |    |    |    |
| <b>Budgetary Resources:</b>               |  |    |    |    |
| Unobligated balance:                      |  |    |    |    |
| 1000                                      | Unobligated balance brought forward, Oct 1 ..... | 1  | 1  | 1  |
| Budget authority:                         |  |    |    |    |
| Appropriations, discretionary:            |  |    |    |    |
| 1100                                      | Appropriation .....                              | 1  | 1  | 1  |
| 1160                                      | Appropriation, discretionary (total) .....       | 1  | 1  | 1  |
| 1930                                      | Total budgetary resources available .....        | 2  | 2  | 2  |
| Memorandum (non-add) entries:             |  |    |    |    |
| 1940                                      | Unobligated balance expiring .....               | -1 | -1 | -1 |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 1  | 1  | 1  |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| 4000                                      | Budget authority, gross .....                    | 1  | 1  | 1  |
| 4180                                      | Budget authority, net (total) .....              | 1  | 1  | 1  |

This account represents amounts appropriated to the President to meet unanticipated needs in furtherance of national interest, security, or defense.

#### DATA-DRIVEN INNOVATION

*For necessary expenses to improve the use of data and evidence to improve government effectiveness and efficiency, \$14,000,000, to remain available until expended: Provided, That, of the amount provided under this heading, not less than \$8,000,000 shall be available for projects that advance the use of integrated, efficient, secure, and effective uses of information technology in the Federal Government, and up to \$6,000,000 shall be available for projects that enable Federal agencies to increase the use of evidence and innovation in order to improve program results and cost-effectiveness: Provided further, That the Director of the Office of Management and Budget may transfer these funds to one or more other agencies to carry out projects to meet these purposes.*

#### Program and Financing (in millions of dollars)

|   |   |     |     |     |
|---|---|-----|-----|-----|
| Identification code 11-0030-0-1-802       |   |     |     |     |
| 2012 actual                               |   |     |     |     |
| 2013 CR                                   |   |     |     |     |
| 2014 est.                                 |   |     |     |     |
| <b>Obligations by program activity:</b>   |   |     |     |     |
| 0001                                      | IEEUIT IT management oversight .....                            | 2   | 4   | 4   |
| 0002                                      | IEEUIT Cybersecurity .....                                      |     |     | 2   |
| 0003                                      | Evidence-Based Innovation .....                                 |     |     | 6   |
| 0004                                      | Partnership Fund for Program Integrity Innovation .....         | 21  | 12  | 7   |
| 0900                                      | Total new obligations .....                                     | 23  | 16  | 19  |
| <b>Budgetary Resources:</b>               |   |     |     |     |
| Unobligated balance:                      |   |     |     |     |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....                | 30  | 12  | 11  |
| Budget authority:                         |   |     |     |     |
| Appropriations, discretionary:            |   |     |     |     |
| 1100                                      | Appropriation .....   | 15  | 15  | 14  |
| 1131                                      | Unobligated balance of appropriations permanently reduced ..... | -10 |     |     |
| 1160                                      | Appropriation, discretionary (total) .....                      | 5   | 15  | 14  |
| 1930                                      | Total budgetary resources available .....                       | 35  | 27  | 25  |
| Memorandum (non-add) entries:             |   |     |     |     |
| 1941                                      | Unexpired unobligated balance, end of year .....                | 12  | 11  | 6   |
| <b>Change in obligated balance:</b>       |   |     |     |     |
| Unpaid obligations:                       |   |     |     |     |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....                | 1   | 21  | 22  |
| 3010                                      | Obligations incurred, unexpired accounts .....                  | 23  | 16  | 19  |
| 3020                                      | Outlays (gross) .....   | -3  | -15 | -22 |
| 3050                                      | Unpaid obligations, end of year .....                           | 21  | 22  | 19  |
| Memorandum (non-add) entries:             |   |     |     |     |
| 3100                                      | Obligated balance, start of year .....                          | 1   | 21  | 22  |
| 3200                                      | Obligated balance, end of year .....                            | 21  | 22  | 19  |
| <b>Budget authority and outlays, net:</b> |   |     |     |     |
| Discretionary:                            |   |     |     |     |
| 4000                                      | Budget authority, gross .....                                   | 5   | 15  | 14  |

DATA-DRIVEN INNOVATION—Continued  
Program and Financing—Continued

| Identification code 11–0030–0–1–802                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 1           | 6       | 6         |
| 4011 Outlays from discretionary balances .....      | 2           | 9       | 16        |
| 4020 Outlays, gross (total) .....                   | 3           | 15      | 22        |
| 4180 Budget authority, net (total) .....            | 5           | 15      | 14        |
| 4190 Outlays, net (total) .....                     | 3           | 15      | 22        |

This funding will provide the Government with a strategic resource base controlled by the Director of the Office of Management and Budget (OMB) to harness data and evidence to improve results and efficiency of Government operations and programs. The funds will support strategic investments in cross-agency projects that: (1) reduce waste, duplication and mitigate cybersecurity threats in the management of Federal information technology (IT), and (2) get better results at lower cost across high-priority Government activities over the longer term by creating and institutionalizing new program models and evidence-building tools.

*Integrated, Efficient and Effective Uses of Information Technology (IEEUIT).*—Under the direction of the Federal Chief Information Officer (CIO), staff select and oversee projects that improve the results of Federal IT investments. The funding is used to support enhanced transparency, analytics, oversight and technical assistance aimed at achieving improved insights and oversight of information technology in the Federal Government. The funds will support continued operations of and enhancements to the Federal IT Dashboard, yielding better data analytics and oversight of the Government's \$80 billion investment in IT. Building on data and insights from the IT Dashboard, the Federal CIO would engage directly with agencies through the use of TechStat and PortfolioStat reviews, identifying underperforming and duplicative investments, and taking corrective actions. The funds will also continue to support projects to enhance the productivity of IT operations, such as the data center consolidation initiative.

*IEEUIT Cybersecurity.*—Additional IT oversight resources for cybersecurity would be used by OMB to: (1) drive efficiencies for Federal cybersecurity activities through expanded oversight of agencies' use of cybersecurity funding, providing leadership with data to inform cybersecurity strategy; (2) improve oversight of specific cybersecurity activities such as continuous monitoring, perimeter defense, and all email, Domain Name Service, and identity management initiatives; and (3) work to establish a unified information security framework across the Federal Government, spanning the civil, defense, and intelligence communities, building on inter-agency work initiated in 2009.

*Evidence-Based Innovation.*—This funding will increase cost-effectiveness and return on investment across Government programs by dedicating project funding and expert staff resources to three strategic objectives: (1) driving existing resources to evidence-based practices to achieve better results per dollar and generate long-term savings, including by redesigning grant programs to adopt data-driven, outcome-focused approaches and by spreading the adoption of rigorous evaluation and other evidence-building tools across the Federal Government; (2) catalyzing measurable agency performance improvements, including through rapid experimentation and testing and by improving access to and utilization of high quality data; and (3) accelerating learning and creating conditions for cost-effective change at all levels of Government, including by partnering with innovative leaders in States, localities, foundations, research organizations, and other private sector experts. This work leverages lessons learned from

the Partnership Fund for Program Integrity Innovation as well as evidence-based policy and initiatives across agencies.

## Object Classification (in millions of dollars)

| Identification code 11–0030–0–1–802                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.3 Personnel compensation: Other than full-time permanent ..... | 1           | 2       | 2         |
| 25.3 Other goods and services from Federal sources .....          | 2           | 3       | 5         |
| 41.0 Grants, subsidies, and contributions .....                   | 20          | 11      | 12        |
| 99.9 Total new obligations .....                                  | 23          | 16      | 19        |

## Employment Summary

| Identification code 11–0030–0–1–802                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 3           | 4       | 5         |
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 3       | 5         |

## UNANTICIPATED NEEDS FOR NATURAL DISASTERS

## Program and Financing (in millions of dollars)

| Identification code 11–0033–0–1–453                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 12          |         |           |
| 1029 Other balances withdrawn .....                   | –12         |         |           |

This schedule includes funding provided in Public Laws 101–130 and 103–211 to respond to various natural disasters. All available funds from this account were allocated to various agencies. However, certain agencies subsequently returned excess funds to this account. These balances are only available for specific natural disasters that occurred before 1995. During 2012, all available funds in this account were administratively withdrawn and returned to the General Fund of the Treasury in accordance with Section 1555 of Title 31 of the United States Code.

## SPECTRUM RELOCATION FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 11–5512–0–2–376 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 5,473       | 5,299   | 5,299     |
| Appropriations:                     |             |         |           |
| 0500 Spectrum Relocation Fund ..... | –174        |         |           |
| 0799 Balance, end of year .....     | 5,299       | 5,299   | 5,299     |

## Program and Financing (in millions of dollars)

| Identification code 11–5512–0–2–376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 51          | 69      | 69        |
| 1011 Unobligated balance transfer from other accts [17–1319] ..... | 15          |         |           |
| 1011 Unobligated balance transfer from other accts [14–0680] ..... | 3           |         |           |
| 1050 Unobligated balance (total) .....                             | 69          | 69      | 69        |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1203 Appropriation (previously unavailable) .....                  | 174         |         |           |
| 1220 Appropriations transferred to other accts [70–0540] .....     | –121        |         |           |
| 1220 Appropriations transferred to other accts [70–0400] .....     | –15         |         |           |
| 1220 Appropriations transferred to other accts [70–0102] .....     | –7          |         |           |
| 1220 Appropriations transferred to other accts [70–0530] .....     | –14         |         |           |
| 1220 Appropriations transferred to other accts [89–0303] .....     | –17         |         |           |
| 1930 Total budgetary resources available .....                     | 69          | 69      | 69        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 69          | 69      | 69        |

The Spectrum Relocation Fund, created by the Commercial Spectrum Enhancement Act of 2004, streamlines the process for reimbursing Federal agencies that must relocate wireless communications systems from Federal spectrum that has been reallocated to commercial use. Auction receipts associated with the reallocated spectrum from the Advanced Wireless Services spectrum license auction were deposited into the Fund in December 2006. To expedite clearing of the auctioned spectrum, the statute provides mandatory spending authority for approved relocation payments. The Office of Management and Budget (OMB) administers the Fund in consultation with the National Telecommunications and Information Administration (NTIA) of the Department of Commerce.

#### Transfers to Agencies for Spectrum Relocation Activities

(estimated budget authority in thousands of dollars)

| Account  | Account Number | 2007–12 est.     |
|--|----------------|------------------|
| Capital Improvement and Maintenance, Forest Service, USDA .....        | 12–1103        | 21,578           |
| RDT&E, Defense-wide, DOD .....   | 97–0400        | 76,500           |
| O&M, Defense-wide, DOD .....   | 97–0100        | 21,700           |
| Other Procurement, Army, DOD .....                                     | 21–2035        | 15,303           |
| O&M, Army, DOD .....   | 21–2020        | 630              |
| Aircraft Procurement, Air Force, DOD .....                             | 57–3010        | 40,000           |
| Missile Procurement, Air Force, DOD .....                              | 57–3020        | 60,000           |
| Other Procurement, Air Force, DOD .....                                | 57–3080        | 6,596            |
| O&M, Air Force, DOD .....  | 57–3400        | 157              |
| RDT&E, Navy, DOD .....   | 17–1319        | 72,873           |
| Weapons Procurement, Navy, DOD .....                                   | 17–1507        | 10,000           |
| Other Procurement, Navy, DOD .....                                     | 17–1810        | 900              |
| Bonneville Power Administration Fund, DOE .....                        | 89–4045        | 48,627           |
| O&M, Southwestern Power Administration, DOE .....                      | 89–0303        | 42,821           |
| O&M, Western Area Power Administration, DOE .....                      | 89–5068        | 108,202          |
| O&M, National Nuclear Security Administration, DOE .....               | 89–0313        | 10,900           |
| Office of the CIO, DOE .....   | 89–0228        | 1,650            |
| S&E, Customs and Border Protection, DHS .....                          | 70–0530        | 87,858           |
| S&E, Immigration and Customs Enforcement, DHS .....                    | 70–0540        | 160,116          |
| S&E, US Secret Service, DHS .....                                      | 70–0400        | 15,179           |
| Office of the CIO, DHS .....   | 70–0102        | 19,087           |
| Office of the Inspector General, HUD .....                             | 86–0189        | 21               |
| S&E, BATF&E, DOJ .....   | 15–0700        | 86,174           |
| S&E, Drug Enforcement Administration, DOJ .....                        | 15–1100        | 185,510          |
| S&E, Federal Bureau of Investigation, DOJ .....                        | 15–0200        | 283,940          |
| Law Enforcement Wireless Communications, DOJ .....                     | 15–0132        | 800              |
| Water and related resources, Bu Rec, DOI .....                         | 14–0680        | 5,495            |
| Construction and major maintenance, National Park Service, DOI .....   | 14–0139        | 20,282           |
| Surveys, investigations, and research, US Geological Survey, DOI ..... | 14–0804        | 6,159            |
| Processing, assistance, and management, IRS .....                      | 20–0913        | 4,409            |
| Treasury Inspector General for Tax Administration, Treasury .....      | 20–0119        | 892              |
| Facilities and equipment, Federal Aviation Administration, DOT .....   | 69–8107        | 58,062           |
| Exploration capabilities, NASA .....                                   | 80–0115        | 740              |
| Tennessee Valley Authority Fund .....                                  | 64–4110        | 15,751           |
| Payment to Postal Service Fund .....                                   | 18–1001        | 8,334            |
| <b>Total .....</b>   |                | <b>1,497,246</b> |

Reflects transfers and notifications through December 31, 2012

#### IRAQ RELIEF AND RECONSTRUCTION FUND

##### Program and Financing (in millions of dollars)

| Identification code 11–1096–0–1–151                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 5           | 1       | .....     |
| 3011 Obligations incurred, expired accounts .....               | 11          | .....   | .....     |
| 3020 Outlays (gross) .....                                      | –13         | –1      | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                      | 1           | .....   | .....     |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 5           | 1       | .....     |

|   |    |       |
|---|----|-------|
| 3200 Obligated balance, end of year .....           | 1  | ..... |
| <b>Budget authority and outlays, net:</b>           |    |       |
| Discretionary:                                      |    |       |
| Outlays, gross:                                     |    |       |
| 4011 Outlays from discretionary balances .....      | 13 | 1     |
| Offsets against gross budget authority and outlays: |    |       |
| Offsetting collections (collected) from:            |    |       |
| 4190 Outlays, net (total) .....                     | 13 | 1     |

The Iraq Relief and Reconstruction Fund (IRRF) consists of \$2.475 billion appropriated in the 2003 Emergency Wartime Supplemental Appropriations Act and \$18.649 billion appropriated in the 2004 Emergency Supplemental Appropriations Act for Defense and the Reconstruction of Iraq and Afghanistan. It funds the security, rehabilitation, and reconstruction efforts in Iraq.

## PRESIDENTIAL TRANSITION

### Federal Funds

#### ADMINISTRATIVE SUPPORT

##### Program and Financing (in millions of dollars)

| Identification code 11–0108–0–1–802             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                     |             |         |           |
| Unobligated balance:                            |             |         |           |
| 1029 Other balances withdrawn .....             | .....       | –8      | .....     |
| Budget authority:                               |             |         |           |
| Appropriations, discretionary:                  |             |         |           |
| 1100 Appropriation .....                        | .....       | 8       | .....     |
| 1160 Appropriation, discretionary (total) ..... | .....       | 8       | .....     |
| <b>Budget authority and outlays, net:</b>       |             |         |           |
| Discretionary:                                  |             |         |           |
| 4000 Budget authority, gross .....              | .....       | 8       | .....     |
| 4180 Budget authority, net (total) .....        | .....       | 8       | .....     |

Public Law 112–175, Continuing Appropriations Resolution of 2013, provides \$8,000,000 to the Office of Administration to carry out activities associated with the Presidential Act of 1963 (3. U.S.C. 102 note). Consistent with the requirement of the Presidential Act of 1963, these funds were returned to the General Funds of the Treasury.

#### ADMINISTRATIVE PROVISIONS—EXECUTIVE OFFICE OF THE PRESIDENT AND FUNDS APPROPRIATED TO THE PRESIDENT

##### (INCLUDING TRANSFERS OF FUNDS AND RESCISSIONS)

**SEC. 201.** From funds made available in this Act under the headings "The White House", "Executive Residence at the White House", "White House Repair and Restoration", "Council of Economic Advisers", "National Security Council and Homeland Security Council", "Office of Administration", "Special Assistance to the President", and "Official Residence of the Vice President", the Director of the Office of Management and Budget (or such other officer as the President may designate in writing), may, 15 days after giving notice to the Committees on Appropriations of the House of Representatives and the Senate, transfer not to exceed 10 percent of any such appropriation to any other such appropriation, to be merged with and available for the same time and for the same purposes as the appropriation to which transferred: Provided, That the amount of an appropriation shall not be increased by more than 50 percent by such transfers: Provided further, That no amount shall be transferred from "Special Assistance to the President" or "Official Residence of the Vice President" without the approval of the Vice President.





# GENERAL SERVICES ADMINISTRATION

## REAL PROPERTY ACTIVITIES

### Federal Funds

#### REAL PROPERTY ACTIVITIES

#### FEDERAL BUILDINGS FUND

#### LIMITATIONS ON AVAILABILITY OF REVENUE

Amounts in the Fund, including revenues and collections deposited into the Fund shall be available for necessary expenses of real property management and related activities not otherwise provided for, including operation, maintenance, and protection of federally owned and leased buildings; rental of buildings in the District of Columbia; restoration of leased premises; moving governmental agencies (including space adjustments and telecommunications relocation expenses) in connection with the assignment, allocation and transfer of space; contractual services incident to cleaning or servicing buildings, and moving; repair and alteration of federally owned buildings including grounds, approaches and appurtenances; care and safeguarding of sites; maintenance, preservation, demolition, and equipment; acquisition of buildings and sites by purchase, condemnation, or as otherwise authorized by law; acquisition of options to purchase buildings and sites; conversion and extension of federally owned buildings; preliminary planning and design of projects by contract or otherwise; construction of new buildings (including equipment for such buildings); and payment of principal, interest, and any other obligations for public buildings acquired by installment purchase and purchase contract; in the aggregate amount of \$9,950,560,000, of which: (1) \$816,167,000 shall remain available until expended for construction and acquisition (including funds for sites and expenses, associated design and construction services, and purchase of currently leased facilities) of additional projects at the following locations:

#### New Construction:

##### California:

San Ysidro, United States Land Port of Entry, \$226,000,000.

##### Colorado:

Lakewood, Denver Federal Center, \$13,938,000.

##### District of Columbia:

Washington, DHS Consolidation at St. Elizabeths, \$261,531,000.

##### Michigan:

Detroit, FBI Tactical Operations Support Facility, \$18,507,000.

##### New Jersey:

Newark, Frank R. Lautenberg United States Post Office and Courthouse, \$31,000,000.

##### Puerto Rico:

San Juan, Federal Bureau of Investigation, \$94,779,000.

##### Texas:

Laredo, United States Land Port of Entry, \$61,686,000.

##### Virginia:

Winchester, FBI Central Records Complex, \$108,726,000:

Provided, That each of the foregoing limits of costs on new construction and acquisition projects may be exceeded to the extent that savings are effected in other such projects, but not to exceed 10 percent of the amounts included in a transmitted prospectus, if required, unless advance notice is transmitted to the Committees on Appropriations of a greater amount: Provided further, That all funds for direct construction projects shall expire on September 30, 2015, and remain in the Federal Buildings Fund, except for funds for projects as to which funds for design or other funds have been obligated in whole or in part prior to such date; (2) \$1,302,382,000 shall remain available until expended for repairs and alterations, which includes associated design and construction services:

#### Repairs and Alterations:

##### Alabama:

Mobile, John A. Campbell United States Courthouse, \$41,000,000.

##### Alaska:

Fairbanks, Fairbanks Federal Building and United States Courthouse, \$12,357,000.

##### Arkansas:

Little Rock, Federal Building, \$9,249,000.

##### California:

Los Angeles, Edward R. Roybal Federal Building and United States Courthouse, \$19,383,000.

San Diego, Edward J. Schwartz Federal Building and United States Courthouse, \$61,136,000.

San Francisco, Phillip Burton Federal Building and United States Courthouse, \$32,125,000.

##### Colorado:

Denver, Byron White United States Courthouse, \$15,000,000.

##### Connecticut:

New Haven, Richard C. Lee United States Courthouse, \$4,799,000.

##### District of Columbia:

Washington, Harry S. Truman Building, \$58,908,000.

Washington, Herbert C. Hoover Building, \$77,356,000.

Washington, Lafayette Building, \$54,330,000.

Washington, Stuart Lee Udall Department of the Interior Building, \$60,110,000.

##### Illinois:

Chicago, Chicago Federal Center, \$15,000,000.

##### Indiana:

Indianapolis, Major General Emmett J. Bean Federal Center, \$19,074,000.

##### Maryland:

Baltimore, Edward A. Garmatz United States Courthouse, \$7,921,000.

Baltimore, George H. Fallon Federal Building, \$5,381,000.

##### Michigan:

Detroit, Theodore Levin United States Courthouse, \$31,000,000.

##### Missouri:

Overland, Charles F. Prevedel Federal Building, \$27,161,000.

St. Louis, Robert A. Young Federal Building, \$70,272,000.

##### New York:

New York, James L. Watson Court of International Trade, \$25,611,000.

New York, Jacob K. Javits Federal Office Building, \$6,520,000.

##### Pennsylvania:

Philadelphia, Robert N.C. Nix Federal Building and United States Courthouse, \$3,416,000.

Philadelphia, William J. Green Jr. Federal Building, \$6,500,000.

##### Texas:

Austin, J.J. Pickle Federal Building, \$40,261,000.

##### Utah:

Salt Lake City, Frank E. Moss United States Courthouse, \$15,000,000.

##### Virginia:

Richmond, Lewis F. Powell Jr. United States Courthouse and Annex, \$3,907,000.

##### Washington:

Auburn, Building 7 Auburn Federal Complex, \$17,000,000.

Richland, Federal Building and United States Post Office and Courthouse \$14,070,000.

#### Special Emphasis Programs:

Energy and Water Retrofit and Conservation Measures, \$35,000,000.

Fire and Life Safety Program, \$35,000,000.

Consolidation Activities, \$100,000,000.

Basic Repairs and Alterations, \$378,535,000:

Provided further, That funds made available in this or any previous Act in the Federal Buildings Fund for Repairs and Alterations shall, for prospectus projects, be limited to the amount identified for each project, except each project in this or any previous Act may be increased by an amount not to exceed 10 percent unless advance notice is transmitted to the Committees on Appropriations of a greater amount: Provided further, That additional projects may be funded under this category only if advance notice, including a prospectus, is transmitted to the Committees on Appropriations: Provided further, That the amounts provided in this or any prior Act for "Repairs and Alterations" may be used to fund costs associated with implementing security improvements to buildings necessary to meet the minimum standards for security in accordance with current law and in compliance with the reprogramming guidelines of the appropriate Committees of the House and Senate: Provided further, That the difference between the funds appropriated and expended on any projects in this or any prior Act, under the heading "Repairs and Alterations", may be transferred to Basic Repairs and Alterations or used to fund authorized increases in prospectus projects: Provided further, That all funds for repairs and alterations prospectus projects shall expire on September 30, 2015 and remain in the Federal Buildings Fund except funds for projects

## REAL PROPERTY ACTIVITIES—Continued

as to which funds for design or other funds have been obligated in whole or in part prior to such date: Provided further, That the amount provided in this or any prior Act for Basic Repairs and Alterations may be used to pay claims against the Government arising from any projects under the heading "Repairs and Alterations" or used to fund authorized increases in prospectus projects; (3) \$113,470,000 for installment acquisition payments including payments on purchase contracts which shall remain available until expended; (4) \$5,387,109,000 for rental of space which shall remain available until expended; and (5) \$2,331,432,000 for building operations which shall remain available until expended: Provided further, That funds available to the General Services Administration shall not be available for expenses of any construction, repair, alteration and acquisition project for which a prospectus, if required by 40 U.S.C. 3307(a), has not been transmitted to Congress, except that necessary funds may be expended for each project for required expenses for the development of a proposed prospectus: Provided further, That funds available in the Federal Buildings Fund may be expended for emergency repairs when advance notice is given to the Committees on Appropriations: Provided further, That amounts necessary to provide reimbursable special services to other agencies under 40 U.S.C. 592(b)(2) and amounts to provide such reimbursable fencing, lighting, guard booths, and other facilities on private or other property not in Government ownership or control as may be appropriate to enable the United States Secret Service to perform its protective functions pursuant to 18 U.S.C. 3056, shall be available from such revenues and collections: Provided further, That revenues and collections and any other sums accruing to this Fund during fiscal year 2014, excluding reimbursements under 40 U.S.C. 592(b)(2) in excess of the aggregate new obligational authority authorized for Real Property Activities of the Federal Buildings Fund in this Act shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 47–4542–0–4–804   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Construction and acquisition of facilities .....   | 303         | 707     | 495       |
| 0802 Repairs and alterations .....  | 397         | 499     | 749       |
| 0804 Installment acquisition payments .....   | 126         | 128     | 113       |
| 0805 Construction of lease purchase facilities .....  | 1           | .....   | .....     |
| 0807 Pennsylvania Avenue activities .....   | 2           | .....   | .....     |
| 0808 International Trade Center .....   | 28          | .....   | .....     |
| 0809 Reimbursable program activities, subtotal .....  | 857         | 1,334   | 1,357     |
| 0810 Rental of space .....  | 5,598       | 5,353   | 5,568     |
| 0811 Building operations .....  | 2,304       | 2,365   | 2,331     |
| 0819 Reimbursable program activities, subtotal .....  | 7,902       | 7,718   | 7,899     |
| 0820 Special services and improvements .....  | 2,189       | 2,399   | 2,422     |
| 0900 Total new obligations .....  | 10,948      | 11,451  | 11,678    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 5,242       | 4,680   | 3,704     |
| 1021 Recoveries of prior year unpaid obligations .....  | 237         | .....   | .....     |
| 1022 Capital transfer of unobligated balances to general fund .....   | –1          | .....   | .....     |
| 1023 Unobligated balances (previously unavailable) applied to repay debt .....                                  | –79         | –1,826  | .....     |
| 1050 Unobligated balance (total) .....  | 5,399       | 2,854   | 3,704     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | .....       | 7       | .....     |
| 1160 Appropriation, discretionary (total) .....   | .....       | 7       | .....     |
| Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700 Collected .....  | 11,536      | 12,177  | 12,373    |
| 1701 Change in uncollected payments, Federal sources .....  | –266        | .....   | .....     |
| 1702 Offsetting collections (previously unavailable) .....  | 2,239       | 3,280   | 3,163     |
| 1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) ..... | –3,280      | –3,163  | –3,163    |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 10,229      | 12,294  | 12,373    |
| 1900 Budget authority (total) .....   | 10,229      | 12,301  | 12,373    |

|      |   |         |         |         |
|------|---|---------|---------|---------|
| 1930 | Total budgetary resources available .....                       | 15,628  | 15,155  | 16,077  |
|      | Memorandum (non-add) entries:                                   |         |         |         |
| 1941 | Unexpired unobligated balance, end of year .....                | 4,680   | 3,704   | 4,399   |
|      | <b>Change in obligated balance:</b>                             |         |         |         |
|      | Unpaid obligations:   |         |         |         |
| 3000 | Unpaid obligations, brought forward, Oct 1 .....                | 4,309   | 3,435   | 2,799   |
| 3010 | Obligations incurred, unexpired accounts .....                  | 10,948  | 11,451  | 11,678  |
| 3020 | Outlays (gross) .....   | –11,585 | –12,087 | –12,175 |
| 3040 | Recoveries of prior year unpaid obligations, unexpired .....    | –237    | .....   | .....   |
| 3050 | Unpaid obligations, end of year .....                           | 3,435   | 2,799   | 2,302   |
|      | Uncollected payments:   |         |         |         |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –5,073  | –4,807  | –4,807  |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....       | 266     | .....   | .....   |
| 3090 | Uncollected pymts, Fed sources, end of year .....               | –4,807  | –4,807  | –4,807  |
|      | Memorandum (non-add) entries:                                   |         |         |         |
| 3100 | Obligated balance, start of year .....                          | –764    | –1,372  | –2,008  |
| 3200 | Obligated balance, end of year .....                            | –1,372  | –2,008  | –2,505  |
|      | <b>Budget authority and outlays, net:</b>                       |         |         |         |
|      | Discretionary:  |         |         |         |
| 4000 | Budget authority, gross .....                                   | 10,229  | 12,301  | 12,373  |
|      | Outlays, gross:   |         |         |         |
| 4010 | Outlays from new discretionary authority .....                  | 8,584   | 7,641   | 8,417   |
| 4011 | Outlays from discretionary balances .....                       | 3,001   | 4,446   | 3,758   |
| 4020 | Outlays, gross (total) .....                                    | 11,585  | 12,087  | 12,175  |
|      | Offsets against gross budget authority and outlays:             |         |         |         |
|      | Offsetting collections (collected) from:                        |         |         |         |
| 4030 | Federal sources .....   | –11,460 | –12,164 | –12,351 |
| 4033 | Non-Federal sources .....                                       | –76     | –13     | –22     |
| 4040 | Offsets against gross budget authority and outlays (total) .... | –11,536 | –12,177 | –12,373 |
|      | Additional offsets against gross budget authority only:         |         |         |         |
| 4050 | Change in uncollected pymts, Fed sources, unexpired .....       | 266     | .....   | .....   |
| 4070 | Budget authority, net (discretionary) .....                     | –1,041  | 124     | .....   |
| 4080 | Outlays, net (discretionary) .....                              | 49      | –90     | –198    |
| 4180 | Budget authority, net (total) .....                             | –1,041  | 124     | .....   |
| 4190 | Outlays, net (total) .....                                      | 49      | –90     | –198    |
|      | <b>Memorandum (non-add) entries:</b>                            |         |         |         |
| 5090 | Unavailable balance, SOY: Offsetting collections .....          | 2,239   | 3,280   | 3,163   |
| 5091 | Unavailable balance, EOY: Offsetting collections .....          | 3,280   | 3,163   | 3,163   |

This revolving fund provides for real property management and related activities, including operation, maintenance, repair of Federally owned buildings, and the construction of Federal buildings, courthouses and land ports of entry. Expenses of the Federal Buildings Fund (FBF) are financed from rental charges assessed to occupants of General Services Administration (GSA)-controlled space. Rent assessments, by law, approximate commercial rates for comparable space and services. Rental income is augmented by appropriations to the fund when new construction needs exceed the resources available for investment within the fund.

The Budget requests \$9,951 million in new obligational authority for the FBF, an increase of \$1,752 million over the level provided in 2012. The Administration's proposal ensures that GSA spends exactly the level of anticipated rent that it collects from Federal Departments and Agencies in order to provide services to those customers. Thus, the net spending requested for 2014 is zero.

Historically, the FBF has been permitted to spend at least what it collects from agencies to support leasing from the private sector, as well as maintenance, repairs, major renovations, and new construction to accommodate agency needs in buildings that GSA owns and operates. However, since 2011, the FBF has received appropriations significantly below the level of collections received from agencies, denying GSA the ability to pursue an appropriately-sized capital program relative to the size of its portfolio. By restoring the principle that the FBF should be allowed to spend what it collects and pursuing a capital program, the Administration hopes to accomplish a number of policy goals: avoiding larger, longer-term capital costs associated with deferring maintenance

of Federal facilities, improving energy efficiency at GSA-owned facilities, and realizing a smaller Federal footprint through improved building utilization.

The following table reports rent and other income to the fund.

| [In millions of dollars]                    |             |           |           |  |
|---|-------------|-----------|-----------|--|
|   | 2012 actual | 2013 est. | 2014 est. |  |
| Rental charges .....                        | 9,555       | 10,245    | 10,255    |  |
| Collections for:                            |             |           |           |  |
| (a) Special services and improvements ..... | 1,956       | 2,399     | 2,422     |  |
| (b) Miscellaneous income .....              | 27          | 13        | 22        |  |
| Total receipts and reimbursements .....     | 11,538      | 12,657    | 12,699    |  |

The following tables report the planned financing for the fund in 2013 and 2014.

| [In millions of dollars]                            |             |                                 |                        |        |                 |
|---|-------------|---------------------------------|------------------------|--------|-----------------|
|   |             |                                 | Obligational authority |        |                 |
|   | Obligations | End-of-year unobligated balance | Total                  | New    | From prior year |
| 2013 basic program:                                 |             |                                 |                        |        |                 |
| 1. Construction and Acquisition of Facilities ..... | 707         | 259                             | 966                    | 50     | 916             |
| 2. Repairs and Alterations .....                    | 498         | 208                             | 706                    | 289    | 417             |
| 3. Installment Acquisition Payments .....           | 128         | 4                               | 132                    | 128    | 4               |
| 4. Construction of Lease Purchase Facilities .....  | 0           | 20                              | 20                     | 0      | 20              |
| 5. Rental of Space .....                            | 5,353       | 25                              | 5,378                  | 5,242  | 136             |
| 6. Building Operations .....                        | 2,365       | 148                             | 2,513                  | 2,365  | 148             |
| 7. International Trade Center .....                 | 0           | 36                              | 36                     | 0      | 36              |
| 8. Pennsylvania Avenue Activities .....             | 0           | 34                              | 34                     | 0      | 34              |
| Total basic program .....                           | 9,077       | 734                             | 9,785                  | 8,074  | 1,711           |
| Other programs:                                     |             |                                 |                        |        |                 |
| Special services and improvements .....             | 2,399       | 3,444                           | 5,843                  | 2,399  | 3,444           |
| Total Federal Buildings Fund .....                  | 11,450      | 4,178                           | 15,628                 | 10,473 | 5,155           |

| [In millions of dollars]                            |             |                                 |                        |        |                 |
|---|-------------|---------------------------------|------------------------|--------|-----------------|
|   |             | End-of-year unobligated balance | Obligational authority |        |                 |
|   | Obligations |                                 | Total                  | New    | From prior year |
| 2014 basic program:                                 |             |                                 |                        |        |                 |
| 1. Construction and Acquisition of Facilities ..... | 495         | 580                             | 1,075                  | 816    | 259             |
| 2. Repairs and Alterations .....                    | 749         | 761                             | 1,510                  | 1,302  | 208             |
| 3. Installment Acquisition Payments .....           | 113         | 4                               | 117                    | 113    | 4               |
| 4. Construction of Lease Purchase Facilities .....  | 0           | 20                              | 20                     | 0      | 20              |
| 5. Rental of Space .....                            | 5,568       | —156                            | 5,412                  | 5,387  | 25              |
| 6. Building Operations .....                        | 2,331       | 148                             | 2,479                  | 2,331  | 148             |
| 7. International Trade Center .....                 | 0           | 36                              | 36                     | 0      | 36              |
| 8. Pennsylvania Avenue Activities .....             | 0           | 34                              | 34                     | 0      | 34              |
| Total basic program .....                           | 9,256       | 1,427                           | 10,683                 | 9,949  | 734             |
| Other programs:                                     |             |                                 |                        |        |                 |
| Special services and improvements .....             | 2,422       | 3,444                           | 5,866                  | 2,422  | 3,444           |
| Total Federal Buildings Fund .....                  | 11,678      | 4,871                           | 16,549                 | 12,371 | 4,178           |

The FBF consists of the following activities:

**Redemption of Debt.**—Redemption of Debt is the repayment of principal balances to the Federal Financing Bank (FFB). Various Public Laws in 1987, 1988, and 1990 authorized the acquisition of twelve buildings by installment payment. The payments are made by non-expenditure transfer from the otherwise unavailable balances of the fund to the FFB. By agreement, the non-expenditure transfers to the FFB score as budget authority but not outlays. GSA will repay all outstanding balances in 2013 from the balances in the Federal Buildings Fund as authorized by Public Law 101-136.

**Construction and acquisition of facilities.**—This activity provides for the construction or purchase of facilities and prospectus-level extensions to existing buildings. All costs directly attributable to site acquisition, construction, and the full range of design and

construction services, and management and inspection of construction projects are funded under this activity.

**Repairs and alterations.**—This activity provides for repairs and alterations of existing buildings as well as associated design and construction services. Protection of the Government's investment, health and safety of building occupants, transfer of agencies from leased space, and cost effectiveness are the principal criteria used in establishing priorities. Repairs to prevent deterioration and damage to buildings, their support systems, and operating equipment are given a priority.

**Installment Acquisition Payments.**—This activity provides for payments for liabilities incurred under purchase contract authority and lease purchase agreements. GSA makes periodic payments to cover interest and other requirements on the debt incurred for construction of Federal buildings.

**Rental of space.**—This activity provides for the leasing of privately-owned buildings. Including space occupied by Federal agencies in U.S. Postal Service facilities, the GSA provided 193 million square feet of rental space in 2012. GSA expects to provide 197 million square feet of rental space in 2013 and 194 million in 2014.

**Building operations.**—**Building Services:** This activity provides services for Government-owned and leased facilities, including cleaning, utilities and fuel, maintenance, and miscellaneous services (such as moving, evaluation of new materials and equipment, and field supervision).

**Salaries and Expenses.**—This activity provides general management and administration of all real property related programs including salaries and benefits paid from the FBF, administrative costs funded directly by the FBF, and contributions to the GSA Working Capital Fund. The following tables provide additional detail regarding the 2013 and 2014 building operations program (estimated obligations in millions). The decreases in projected obligations for personnel compensation and benefits is primarily due to the consolidation of administrative functions from the FBF to the GSA Working Capital Fund:

|   | 2013 Obligations | 2014 Obligations |
|---|------------------|------------------|
| Cleaning .....                          | 292              | 319              |
| Utilities .....                         | 343              | 353              |
| Maintenance .....                       | 319              | 303              |
| Security .....                          | 77               | 80               |
| Other Building Services .....           | 108              | 105              |
| IT .....                                | 194              | 169              |
| Salaries and Benefits .....             | 732              | 646              |
| GSA Working Capital Fund Payments ..... | 151              | 238              |
| Management Support .....                | 108              | 81               |
| Travel .....                            | 14               | 14               |
| Other Administrative Costs .....        | 27               | 24               |
| Total .....                             | 2,365            | 2,331            |

**Other Programs.**—When requested by other Federal agencies, the Public Buildings Service provides building services, such as tenant alterations, cleaning and other operations, and protection services which are in excess of those services provided under the commercial rental charges.

**Agency debt.**—The following table reports agency debt outstanding for the construction of Federal buildings under authorities previously provided:

| [In millions of dollars]           |             |           |           |
|------------------------------------|-------------|-----------|-----------|
|                                    | 2012 actual | 2013 est. | 2014 est. |
| FFB held debt:                     |             |           |           |
| Outstanding agency debt, SOY ..... | 1,896       | 1,819     | 0         |
| New agency borrowings .....        | 2           | 7         | 0         |
| Repayments and prepayments .....   | -79         | -1,826    | 0         |
| Outstanding agency debt, EOY ..... | 1,819       | 0         | 0         |

## REAL PROPERTY ACTIVITIES—Continued

## Object Classification (in millions of dollars)

| Identification code 47-4542-0-4-804                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 582         | 579     | 514       |
| 11.5 Other personnel compensation .....                         | 33          | 23      | 20        |
| 11.9 Total personnel compensation .....                         | 615         | 602     | 534       |
| 12.1 Civilian personnel benefits .....                          | 177         | 172     | 154       |
| 21.0 Travel and transportation of persons .....                 | 15          | 14      | 14        |
| 22.0 Transportation of things .....                             | 1           |         |           |
| 23.2 Rental payments to others .....                            | 5,584       | 5,354   | 5,570     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 432         | 467     | 477       |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1,461       | 1,484   | 1,419     |
| 25.2 Other services from non-Federal sources .....              | 39          | 30      | 30        |
| 25.3 Other goods and services from Federal sources .....        | 314         | 322     | 440       |
| 25.4 Operation and maintenance of facilities .....              | 1,340       | 1,468   | 1,497     |
| 25.7 Operation and maintenance of equipment .....               | 43          | 43      | 44        |
| 26.0 Supplies and materials .....                               | 11          | 9       | 8         |
| 31.0 Equipment .....  | 133         | 128     | 128       |
| 32.0 Land and structures .....                                  | 625         | 1,196   | 1,235     |
| 43.0 Interest and dividends .....                               | 157         | 161     | 127       |
| 99.9 Total new obligations .....                                | 10,948      | 11,451  | 11,678    |

## Employment Summary

| Identification code 47-4542-0-4-804                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 6,543       | 6,600   | 5,809     |

## FEDERAL BUILDINGS FUND, RECOVERY ACT

## Program and Financing (in millions of dollars)

| Identification code 47-4543-0-4-804   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Federal Buildings and Courthouses .....                                    | 14          | 5       |           |
| 0802 Land Ports of Entry .....  |             | 1       |           |
| 0803 High-Performance Green Buildings - Major R&A .....                         | 4           | 27      |           |
| 0804 High-Performance Green Buildings - Minor R&A .....                         | 1           |         |           |
| 0805 Building Operations .....  | 1           | 1       |           |
| 0900 Total new obligations .....  | 20          | 34      |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 22          | 34      |           |
| 1050 Unobligated balance (total) .....  | 22          | 34      |           |
| 1930 Total budgetary resources available .....                                  | 22          | 34      |           |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | -2          |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 2,759       | 1,217   | 491       |
| 3010 Obligations incurred, unexpired accounts .....                             | 20          | 34      |           |
| 3011 Obligations incurred, expired accounts .....                               | 18          |         |           |
| 3020 Outlays (gross) .....  | -1,511      | -760    | -353      |
| 3041 Recoveries of prior year unpaid obligations, expired .....                 | -69         |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 1,217       | 491     | 138       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 2,759       | 1,217   | 491       |
| 3200 Obligated balance, end of year .....                                       | 1,217       | 491     | 138       |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                                  | 1,511       | 760     | 353       |
| 4190 Outlays, net (total) .....   | 1,511       | 760     | 353       |

This appropriation provides funding for the construction and renovation of Federal buildings, courthouses, land ports of entry; the conversion of existing General Services Administration facil-

ities to High-Performance Green Buildings; and \$4,000,000 for transfer to the Office of Federal High-Performance Green Buildings. Of the available amounts, \$5,000,000,000 was available until September 30, 2010 and the remaining amounts were available until September 30, 2011.

## Object Classification (in millions of dollars)

| Identification code 47-4543-0-4-804                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                                |             |         |           |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       |           |
| 32.0 Land and structures .....                           | 19          | 33      |           |
| 99.9 Total new obligations .....                         | 20          | 34      |           |

## REAL PROPERTY RELOCATION

## Program and Financing (in millions of dollars)

| Identification code 47-0535-0-1-804                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    |             | 2       | 2         |
| 0900 Total new obligations (object class 25.1) .....               |             | 2       | 2         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 11          | 11      | 11        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 2       | 2         |
| 1750 Spending auth from offsetting collections, disc (total) ..... |             | 2       | 2         |
| 1930 Total budgetary resources available .....                     | 11          | 13      | 13        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 11          | 11      | 11        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                |             | 2       | 2         |
| 3020 Outlays (gross) .....   |             | -2      | -2        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 |             | 2       | 2         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             | 2       | 2         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   |             | -2      | -2        |

This appropriation covers relocation costs involved in moving agencies from valuable underutilized property, targeted for public sale, to facilities determined to be more economically suitable to their needs. Relocation and disposal is considered when the benefit/cost ratio is at least 2:1. The sale of these valuable underutilized properties would provide significant revenue to the Treasury and would far outweigh the relocation costs involved.

No appropriation is requested for this program in 2014. General Services Administration will solicit relocation proposals from agencies.

## Object Classification (in millions of dollars)

| Identification code 47-0535-0-1-804 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... |             | 2       | 2         |

## DISPOSAL OF SURPLUS REAL AND RELATED PERSONAL PROPERTY

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 47-5254-0-2-804  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 84          | 83      | 83        |
| Receipts:  |             |         |           |
| 0220 Receipts of Rent, Leases and Lease Payments for Government<br>Owned Real Property ..... |             | 3       | 3         |
| 0221 Other Receipts, Surplus Real and Related Personal Property .....                        | 2           | 12      | 12        |
| 0222 Transfers of Surplus Real and Related Personal Property<br>Receipts .....               | -2          | -6      | -6        |
| 0299 Total receipts and collections .....  |             | 9       | 9         |
| 0400 Total: Balances and collections .....   | 84          | 92      | 92        |
| Appropriations:  |             |         |           |
| 0500 Disposal of Surplus Real and Related Personal Property .....                            | -2          | -9      | -9        |
| 0610 Disposal of Surplus Real and Related Personal Property .....                            | 1           |         |           |
| 0799 Balance, end of year .....  | 83          | 83      | 83        |

## Program and Financing (in millions of dollars)

| Identification code 47-5254-0-2-804   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Appraisers' fees, auctioneers and broker fees and surveying .....  | 1           | 1       | 1         |
| 0002 Advertising .....  |             | 1       | 1         |
| 0003 Environmental services .....   |             | 1       | 1         |
| 0004 Historical preservation services .....   |             | 1       | 1         |
| 0005 Outleasing government-owned space: Auctioneers, brokers fees<br>and advertising .....                          |             | 1       | 1         |
| 0006 Highest and best use of property studies, utilization of property<br>studies, deed compliance inspection ..... |             | 2       | 2         |
| 0007 Relocation .....   |             | 2       | 2         |
| 0900 Total new obligations (object class 25.1) .....  | 1           | 9       | 9         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....  | 2           | 9       | 9         |
| 1260 Appropriations, mandatory (total) .....  | 2           | 9       | 9         |
| 1930 Total budgetary resources available .....  | 2           | 9       | 9         |
| Memorandum (non-add) entries:   |             |         |           |
| 1940 Unobligated balance expiring .....   | -1          |         |           |
| Special and non-revolving trust funds:  |             |         |           |
| 1951 Unobligated balance expiring .....   | 1           |         |           |
| 1952 Expired unobligated balance, start of year .....   | 4           | 4       | 5         |
| 1953 Expired unobligated balance, end of year .....   | 3           | 5       | 5         |
| 1954 Unobligated balance canceling .....  | 1           |         |           |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1  | 1  | 1  |
| 3010 Obligations incurred, unexpired accounts .....   | 1  | 9  | 9  |
| 3020 Outlays (gross) .....                            | -1 | -9 | -9 |
| 3050 Unpaid obligations, end of year .....            | 1  | 1  | 1  |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           | 1  | 1  | 1  |
| 3200 Obligated balance, end of year .....             | 1  | 1  | 1  |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 2 | 9 | 9 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... |   | 8 | 8 |
| 4101 Outlays from mandatory balances .....      | 1 | 1 | 1 |
| 4110 Outlays, gross (total) .....               | 1 | 9 | 9 |
| 4180 Budget authority, net (total) .....        | 2 | 9 | 9 |
| 4190 Outlays, net (total) .....                 | 1 | 9 | 9 |

Expenses incurred in the course of disposing of Federal surplus real and related personal property are financed through receipts from disposals, in accordance with 40 U.S.C. 572. Fees of auctioneers, brokers, appraisers, and environmental consultants; surveying costs; costs of advertising; costs of environmental and historical preservation services; highest and best use of property studies; property utilization studies; and deed compliance inspec-

tions are paid out of receipts from disposals in each year. Auctioneers and brokers familiar with local markets may be used to accelerate the disposal of surplus real and related personal property, including the outleasing of Government-owned buildings and space.

## SUPPLY AND TECHNOLOGY ACTIVITIES

## Federal Funds

## EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT ADMINISTRATION

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 47-5250-0-2-804  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 37          | 48      | 48        |
| Receipts:  |             |         |           |
| 0220 Recoveries of Transportation Charges .....                                      | 22          | 12      | 13        |
| 0400 Total: Balances and collections .....   | 59          | 60      | 61        |
| Appropriations:  |             |         |           |
| 0500 Expenses of Transportation Audit Contracts and Contract<br>Administration ..... | -13         | -13     | -12       |
| 0610 Expenses of Transportation Audit Contracts and Contract<br>Administration ..... | 2           | 1       | 1         |
| 0799 Balance, end of year .....  | 48          | 48      | 50        |

## Program and Financing (in millions of dollars)

| Identification code 47-5250-0-2-804     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Audit contracts .....              | 8           | 9       | 8         |
| 0002 Contract administration .....      | 3           | 4       | 4         |
| 0900 Total new obligations .....        | 11          | 13      | 12        |

## Budgetary Resources:

|   |    |    |    |
|---|----|----|----|
| Budget authority:                                     |    |    |    |
| Appropriations, mandatory:                            |    |    |    |
| 1201 Appropriation (special or trust fund) .....      | 13 | 13 | 12 |
| 1260 Appropriations, mandatory (total) .....          | 13 | 13 | 12 |
| 1930 Total budgetary resources available .....        | 13 | 13 | 12 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1940 Unobligated balance expiring .....               | -2 |    |    |
| Special and non-revolving trust funds:                |    |    |    |
| 1951 Unobligated balance expiring .....               | 2  |    |    |
| 1952 Expired unobligated balance, start of year ..... | 9  | 9  | 6  |
| 1953 Expired unobligated balance, end of year .....   | 7  | 6  | 6  |
| 1954 Unobligated balance canceling .....              | 2  | 1  | 1  |

## Change in obligated balance:

|   |     |     |    |
|---|-----|-----|----|
| Unpaid obligations:                                   |     |     |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4   | 5   | 8  |
| 3010 Obligations incurred, unexpired accounts .....   | 11  | 13  | 12 |
| 3020 Outlays (gross) .....                            | -10 | -10 | -9 |
| 3050 Unpaid obligations, end of year .....            | 5   | 8   | 11 |
| Memorandum (non-add) entries:                         |     |     |    |
| 3100 Obligated balance, start of year .....           | 4   | 5   | 8  |
| 3200 Obligated balance, end of year .....             | 5   | 8   | 11 |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Mandatory:                                      |    |    |    |
| 4090 Budget authority, gross .....              | 13 | 13 | 12 |
| Outlays, gross:                                 |    |    |    |
| 4100 Outlays from new mandatory authority ..... | 8  | 9  | 8  |
| 4101 Outlays from mandatory balances .....      | 2  | 1  | 1  |
| 4110 Outlays, gross (total) .....               | 10 | 10 | 9  |
| 4180 Budget authority, net (total) .....        | 13 | 13 | 12 |
| 4190 Outlays, net (total) .....                 | 10 | 10 | 9  |

The expenses of Transportation Audit Contracts and Contract Administration activities are financed from overcharges collected from carriers on transportation bills paid by the Government as a result of post payment audits in accordance with 31 U.S.C. 3726(e).

EXPENSES OF TRANSPORTATION AUDIT CONTRACTS AND CONTRACT  
ADMINISTRATION—Continued

In 2012, this program returned \$0.5 million to the U.S. Treasury after covering current year operating expenses of \$11 million.

## Object Classification (in millions of dollars)

| Identification code 47–5250–0–2–804                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 3           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 4           | 5       | 5         |
| 25.3 Other goods and services from Federal sources ..... | 3           | 4       | 3         |
| 99.9 Total new obligations .....                         | 11          | 13      | 12        |

## Employment Summary

| Identification code 47–5250–0–2–804                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 33          | 37      | 37        |

## ACQUISITION SERVICES FUND

## Program and Financing (in millions of dollars)

| Identification code 47–4534–0–4–804                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                     |             |         |           |
| 0850 Assisted Acquisition Services (AAS) .....              | 4,726       | 4,897   | 5,001     |
| 0851 Integrated Technology Services (ITS) .....             | 1,436       | 1,466   | 1,463     |
| 0852 General Supplies and Services (GSS) .....              | 1,339       | 1,450   | 1,431     |
| 0853 Travel, Motor Vehicles and Card Services (TMVCS) ..... | 2,033       | 2,149   | 2,198     |
| 0854 Acquisition Services Fund - Corporate .....            | 485         | 469     | 459       |
| 0855 Integrated Acquisition Environment .....               | 53          | 57      | 88        |
| 0859 Reimbursable program activities, subtotal .....        | 10,072      | 10,488  | 10,640    |
| 0862 TMVCS portfolio .....                                  | 798         | 821     | 861       |
| 0863 Acquisition Services Fund - Corporate .....            | 42          | 49      | 50        |
| 0869 Capital Investments, subtotal .....                    | 840         | 870     | 911       |
| 0900 Total new obligations .....                            | 10,912      | 11,358  | 11,551    |

## Budgetary Resources:

|  |        |        |        |
|--|--------|--------|--------|
| Unobligated balance:   |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1,781  | 1,899  | 1,672  |
| 1021 Recoveries of prior year unpaid obligations .....             | 400    | 267    | 267    |
| 1050 Unobligated balance (total) .....                             | 2,181  | 2,166  | 1,939  |
| Budget authority:  |        |        |        |
| Spending authority from offsetting collections, mandatory:         |        |        |        |
| 1800 Collected .....   | 10,957 | 10,864 | 10,929 |
| 1801 Change in uncollected payments, Federal sources .....         | -327   |        |        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 10,630 | 10,864 | 10,929 |
| 1930 Total budgetary resources available .....                     | 12,811 | 13,030 | 12,868 |
| Memorandum (non-add) entries:                                      |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 1,899  | 1,672  | 1,317  |

## Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 5,577   | 5,004   | 5,231   |
| 3010 Obligations incurred, unexpired accounts .....               | 10,912  | 11,358  | 11,551  |
| 3020 Outlays (gross) .....  | -11,085 | -10,864 | -10,928 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -400    | -267    | -267    |
| 3050 Unpaid obligations, end of year .....                        | 5,004   | 5,231   | 5,587   |
| Uncollected payments:   |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -6,134  | -5,807  | -5,807  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 327     |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -5,807  | -5,807  | -5,807  |
| Memorandum (non-add) entries:                                     |         |         |         |
| 3100 Obligated balance, start of year .....                       | -557    | -803    | -576    |
| 3200 Obligated balance, end of year .....                         | -803    | -576    | -220    |

## Budget authority and outlays, net:

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:                                      |        |        |        |
| 4090 Budget authority, gross .....              | 10,630 | 10,864 | 10,929 |
| Outlays, gross:                                 |        |        |        |
| 4100 Outlays from new mandatory authority ..... | 6,791  | 5,903  | 6,695  |

|  |         |         |         |
|--|---------|---------|---------|
| 4101 Outlays from mandatory balances .....                           | 4,294   | 4,961   | 4,233   |
| 4110 Outlays, gross (total) .....                                    | 11,085  | 10,864  | 10,928  |
| Offsets against gross budget authority and outlays:                  |         |         |         |
| Offsetting collections (collected) from:                             |         |         |         |
| 4120 Federal sources .....   | -10,200 | -10,864 | -10,929 |
| 4123 Non-Federal sources .....                                       | -757    |         |         |
| 4130 Offsets against gross budget authority and outlays (total) .... | -10,957 | -10,864 | -10,929 |
| Additional offsets against gross budget authority only:              |         |         |         |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | 327     |         |         |
| 4170 Outlays, net (mandatory) .....                                  | 128     |         | -1      |
| 4190 Outlays, net (total) .....                                      | 128     |         | -1      |

This full cost recovery revolving fund provides for the acquisition of information technology solutions, telecommunications products and services, professional services, travel and transportation services, motor vehicles, and supplies for Federal agencies. Expenses of the Acquisition Services Fund (ASF) are financed through receipts from customer Federal agencies, pursuant to 40 U.S.C. 321. The ASF is organized around four major business portfolios that deliver total solutions to customer agencies. These portfolios are: Integrated Technology Services (ITS), Assisted Acquisition Services (AAS), General Supplies and Services (GSS), and Travel, Motor Vehicle and Card Services (TMVCS).

The ITS Portfolio provides customer agencies with information technology and telecommunications products and services. Operations within this portfolio include Multiple Award Schedules (MAS) and Government-wide Acquisition Contracts for information technology and telecommunication. ITS operations leverage the Federal Government's buying power to provide innovative and strategic solutions, enabling customers to obtain a wide range of information technology and telecommunications products and services at significant savings.

The AAS Portfolio focuses on service delivery and assisting customers in making informed procurement decisions and serving as a center of excellence for the Federal community. AAS complements the programs of the Integrated Technology Services portfolio by providing acquisition, technical, and project management services that assist agencies in acquiring and deploying information technology and professional services solutions at the best value for taxpayer dollars.

The GSS Portfolio provides customer agencies a wide range of general products such as furniture, office supplies, and hardware products. GSS centralizes acquisitions on behalf of the Federal Government in order to strategically procure requirements and reduce cost to the Government, while ensuring regulatory compliance for customers procurements. This portfolio also provides personal property disposal services to customer agencies.

The TMVCS Portfolio provides customer agencies with a broad scope of services that include travel and relocation services, freight management, motor vehicle acquisition, fleet management, and charge card services. TMVCS operations also aggregate and leverage the Federal Government's buying power to obtain a wide range of products and services at significant savings for customer agencies.

## Object Classification (in millions of dollars)

| Identification code 47–4534–0–4–804                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 344         | 355     | 316       |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 2         |
| 11.5 Other personnel compensation .....                         | 11          | 10      | 9         |
| 11.9 Total personnel compensation .....                         | 357         | 367     | 327       |
| 12.1 Civilian personnel benefits .....                          | 99          | 98      | 87        |
| 21.0 Travel and transportation of persons .....                 | 8           | 11      | 9         |
| 22.0 Transportation of things .....                             | 57          | 26      | 20        |
| 23.1 Rental payments to GSA .....                               | 44          | 46      | 40        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1,099       | 1,200   | 1,220     |

|      |   |        |        |        |
|------|---|--------|--------|--------|
| 24.0 | Printing and reproduction .....                     | 6      | 3      | 4      |
| 25.1 | Advisory and assistance services .....              | 5,210  | 5,415  | 5,492  |
| 25.2 | Other services from non-Federal sources .....       | 10     | 10     | 10     |
| 25.3 | Other goods and services from Federal sources ..... | 222    | 172    | 270    |
| 25.7 | Operation and maintenance of equipment .....        | 205    | 207    | 209    |
| 26.0 | Supplies and materials .....                        | 2,755  | 2,982  | 3,002  |
| 31.0 | Equipment .....                                     | 840    | 821    | 861    |
| 99.9 | Total new obligations .....                         | 10,912 | 11,358 | 11,551 |

**Employment Summary**

| Identification code 47-4534-0-4-804                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3,772       | 3,835   | 3,417     |

**GENERAL ACTIVITIES****Federal Funds**

## GENERAL ACTIVITIES

## GOVERNMENT-WIDE POLICY

*For expenses authorized by law, not otherwise provided for, for Government-wide policy and evaluation activities associated with the management of real and personal property assets and certain administrative services; Government-wide policy support responsibilities relating to acquisition, telecommunications, information technology management, and related technology activities; the collection and evaluation of data from departments and agencies relating to activities described herein; and services as authorized by 5 U.S.C. 3109, \$62,548,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 47-0401-0-1-804                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Government-wide policy .....                                  | 61          | 61      | 63        |
| 0801 Reimbursable program .....                                    | 15          | 29      | 35        |
| 0900 Total new obligations .....                                   | 76          | 90      | 98        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             | 4       | 4         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 61          | 61      | 63        |
| 1160 Appropriation, discretionary (total) .....                    | 61          | 61      | 63        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 18          | 29      | 35        |
| 1701 Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 19          | 29      | 35        |
| 1900 Budget authority (total) .....                                | 80          | 90      | 98        |
| 1930 Total budgetary resources available .....                     | 80          | 94      | 102       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 4           | 4       | 4         |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 38  | 34  | 26  |
| 3010 Obligations incurred, unexpired accounts .....               | 76  | 90  | 98  |
| 3020 Outlays (gross) .....  | -79 | -98 | -92 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 34  | 26  | 32  |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1  | -1  | -1  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -1  |     |     |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1  | -1  | -1  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 37  | 33  | 25  |

|  |     |     |     |
|--|-----|-----|-----|
| 3200 Obligated balance, end of year .....                      | 33  | 25  | 31  |
| <b>Budget authority and outlays, net:</b>                      |     |     |     |
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 80  | 90  | 98  |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 47  | 67  | 75  |
| 4011 Outlays from discretionary balances .....                 | 32  | 31  | 17  |
| 4020 Outlays, gross (total) .....                              | 79  | 98  | 92  |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | -19 | -29 | -35 |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -1  |     |     |
| 4052 Offsetting collections credited to expired accounts ..... | 1   |     |     |
| 4070 Budget authority, net (discretionary) .....               | 61  | 61  | 63  |
| 4080 Outlays, net (discretionary) .....                        | 60  | 69  | 57  |
| 4180 Budget authority, net (total) .....                       | 61  | 61  | 63  |
| 4190 Outlays, net (total) .....                                | 60  | 69  | 57  |

This appropriation provides for the activities of the Office of Government-wide Policy (OGP). OGP works cooperatively with other agencies to provide the leadership needed to develop and evaluate policies associated with high-performing green buildings and real property, acquisition policy, personal property, travel and transportation management, vehicles and aircraft, committee and regulations management, and management of Federal spending data. OGP collaborates with partner agencies and other stakeholders to improve public access to policy information and support data, and improve transparency throughout the Government.

**Object Classification** (in millions of dollars)

| Identification code 47-0401-0-1-804                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 21          | 21      | 21        |
| 11.5 Other personnel compensation .....                  | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 22          | 22      | 22        |
| 12.1 Civilian personnel benefits .....                   | 5           | 5       | 5         |
| 23.1 Rental payments to GSA .....                        | 2           | 1       | 1         |
| 24.0 Printing and reproduction .....                     | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 21          | 20      | 23        |
| 25.3 Other goods and services from Federal sources ..... | 10          | 11      | 11        |
| 42.0 Insurance claims and indemnities .....              |             | 1       |           |
| 99.0 Direct obligations .....                            | 61          | 61      | 63        |
| 99.0 Reimbursable obligations .....                      | 15          | 29      | 35        |
| 99.9 Total new obligations .....                         | 76          | 90      | 98        |

**Employment Summary**

| Identification code 47-0401-0-1-804                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 165         | 174     | 163       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5           | 25      | 32        |

**OPERATING EXPENSES**

*For expenses authorized by law, not otherwise provided for, for Government-wide activities associated with utilization and donation of surplus personal property; disposal of real property; agency-wide policy direction, management, and communications; the Civilian Board of Contract Appeals; services as authorized by 5 U.S.C. 3109; and not to exceed \$7,500 for official reception and representation expenses; \$64,453,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

OPERATING EXPENSES—Continued  
Program and Financing (in millions of dollars)

| Identification code 47-0110-0-1-804                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Direct program .....  | 63          | 70      | 64        |
| 0801 Reimbursable program .....                                      | 4           | 17      | 17        |
| 0900 Total new obligations .....                                     | 67          | 87      | 81        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 5           | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 70          | 70      | 64        |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -5          |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 65          | 70      | 64        |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 3           | 17      | 17        |
| 1701 Change in uncollected payments, Federal sources .....           | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 4           | 17      | 17        |
| 1900 Budget authority (total) .....                                  | 69          | 87      | 81        |
| 1930 Total budgetary resources available .....                       | 74          | 88      | 82        |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -6          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           | 1       | 1         |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Change in obligated balance:</b>                               |     |     |     |
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 7   | 7   | 12  |
| 3010 Obligations incurred, unexpired accounts .....               | 67  | 87  | 81  |
| 3020 Outlays (gross) .....  | -66 | -82 | -81 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 7   | 12  | 12  |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1  | -1  | -1  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -1  |     |     |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -1  | -1  | -1  |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 6   | 6   | 11  |
| 3200 Obligated balance, end of year .....                         | 6   | 11  | 11  |

|  |    |     |     |
|--|----|-----|-----|
| <b>Budget authority and outlays, net:</b>                      |    |     |     |
| Discretionary:   |    |     |     |
| 4000 Budget authority, gross .....                             | 69 | 87  | 81  |
| Outlays, gross:  |    |     |     |
| 4010 Outlays from new discretionary authority .....            | 61 | 75  | 70  |
| 4011 Outlays from discretionary balances .....                 | 5  | 7   | 11  |
| 4020 Outlays, gross (total) .....                              | 66 | 82  | 81  |
| Offsets against total budget authority and outlays:            |    |     |     |
| Offsetting collections (collected) from:                       |    |     |     |
| 4030 Federal sources .....                                     | -4 | -17 | -17 |
| Additional offsets against gross budget authority only:        |    |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | -1 |     |     |
| 4052 Offsetting collections credited to expired accounts ..... | 1  |     |     |
| 4070 Budget authority, net (discretionary) .....               | 65 | 70  | 64  |
| 4080 Outlays, net (discretionary) .....                        | 62 | 65  | 64  |
| 4180 Budget authority, net (total) .....                       | 65 | 70  | 64  |
| 4190 Outlays, net (total) .....                                | 62 | 65  | 64  |

This appropriation supports a variety of operational activities which are not feasible or appropriate for a user fee arrangement. Major programs include the personal property utilization and donation activities of the Federal Acquisition Service; the real property utilization and disposal activities of the Public Buildings Service; the activities of the Civilian Board of Contract Appeals; and Management and Administration activities including support of government-wide emergency response and recovery activities and top-level agency-wide management, administration, and communications activities.

## Object Classification (in millions of dollars)

| Identification code 47-0110-0-1-804                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 29          | 32      | 35        |
| 11.3 Other than full-time permanent .....                | 3           | 2       |           |
| 11.5 Other personnel compensation .....                  | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 33          | 35      | 36        |
| 12.1 Civilian personnel benefits .....                   | 8           | 8       | 9         |
| 21.0 Travel and transportation of persons .....          | 1           | 2       | 1         |
| 23.1 Rental payments to GSA .....                        | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....              | 3           | 4       | 3         |
| 25.2 Other services from non-Federal sources .....       |             | 5       | 4         |
| 25.3 Other goods and services from Federal sources ..... | 13          | 11      | 7         |
| 31.0 Equipment .....                                     | 1           | 1       |           |
| 99.0 Direct obligations .....                            | 63          | 70      | 64        |
| 99.0 Reimbursable obligations .....                      | 4           | 17      | 17        |
| 99.9 Total new obligations .....                         | 67          | 87      | 81        |

## Employment Summary

| Identification code 47-0110-0-1-804                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 310         | 348     | 370       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 10          | 17      | 17        |

## OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General and service authorized by 5 U.S.C. 3109, \$62,908,000: Provided, That not to exceed \$50,000 shall be available for payment for information and detection of fraud against the Government, including payment for recovery of stolen Government property: Provided further, That not to exceed \$2,500 shall be available for awards to employees of other Federal agencies and private citizens in recognition of efforts and initiatives resulting in enhanced Office of Inspector General effectiveness.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 47-0108-0-1-804                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 60          | 59      | 63        |
| 0802 Reimbursable program activity .....                           |             | 1       | 1         |
| 0900 Total new obligations .....                                   | 60          | 60      | 64        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3           | 1       |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 58          | 58      | 63        |
| 1160 Appropriation, discretionary (total) .....                    | 58          | 58      | 63        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... |             | 1       | 1         |
| 1900 Budget authority (total) .....                                | 58          | 59      | 64        |
| 1930 Total budgetary resources available .....                     | 61          | 60      | 64        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1           |         |           |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Change in obligated balance:</b>                             |     |     |     |
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 8   | 7   | 10  |
| 3010 Obligations incurred, unexpired accounts .....             | 60  | 60  | 64  |
| 3020 Outlays (gross) .....                                      | -59 | -57 | -63 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -2  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 7   | 10  | 11  |



|   |  |    |    |    |
|---|--|----|----|----|
| Memorandum (non-add) entries:                       |  |    |    |    |
| 3100  | Obligated balance, start of year .....         | 8  | 7  | 10 |
| 3200  | Obligated balance, end of year .....           | 7  | 10 | 11 |
| <b>Budget authority and outlays, net:</b>           |  |    |    |    |
| Discretionary:                                      |  |    |    |    |
| 4000  | Budget authority, gross .....                  | 58 | 59 | 64 |
| Outlays, gross:                                     |  |    |    |    |
| 4010  | Outlays from new discretionary authority ..... | 53 | 49 | 53 |
| 4011  | Outlays from discretionary balances .....      | 6  | 8  | 10 |
| 4020  | Outlays, gross (total) .....                   | 59 | 57 | 63 |
| Offsets against gross budget authority and outlays: |  |    |    |    |
| Offsetting collections (collected) from:            |  |    |    |    |
| 4030  | Federal sources .....                          |    | -1 | -1 |
| 4180  | Budget authority, net (total) .....            | 58 | 58 | 63 |
| 4190  | Outlays, net (total) .....                     | 59 | 56 | 62 |

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies within the General Services Administration (GSA), including conditions for existing or potential instances of fraud, waste and mismanagement. This audit function provides internal audit and contract audit services. Contract audits provide professional advice to GSA contracting officials on accounting and financial matters relative to the negotiation, award, administration, repricing, and settlement of contracts. Internal audits review and evaluate all facets of GSA operations and programs, test internal control systems, and develop information to improve operating efficiencies and enhance customer services. The investigative function provides for the detection and investigation of improper and illegal activities involving GSA programs, personnel, and operations.

**Object Classification** (in millions of dollars)

|                                     |   |    |    |    |
|-------------------------------------|---|----|----|----|
| Identification code 47-0108-0-1-804 |   |    |    |    |
| Direct obligations:                 |   |    |    |    |
| Personnel compensation:             |   |    |    |    |
| 11.1                                | Full-time permanent .....                           | 30 | 30 | 32 |
| 11.3                                | Other than full-time permanent .....                | 1  | 1  | 1  |
| 11.5                                | Other personnel compensation .....                  | 2  | 2  | 2  |
| 11.9                                | Total personnel compensation .....                  | 33 | 33 | 35 |
| 12.1                                | Civilian personnel benefits .....                   | 10 | 10 | 11 |
| 21.0                                | Travel and transportation of persons .....          | 2  | 1  | 1  |
| 23.1                                | Rental payments to GSA .....                        | 4  | 4  | 4  |
| 25.1                                | Advisory and assistance services .....              | 3  | 2  | 3  |
| 25.3                                | Other goods and services from Federal sources ..... | 6  | 7  | 7  |
| 25.7                                | Operation and maintenance of equipment .....        | 1  | 1  | 1  |
| 31.0                                | Equipment .....                                     | 1  | 1  | 1  |
| 99.0                                | Direct obligations .....                            | 60 | 59 | 63 |
| 99.0                                | Reimbursable obligations .....                      |    | 1  | 1  |
| 99.9                                | Total new obligations .....                         | 60 | 60 | 64 |

**Employment Summary**

|                                     |   |     |     |     |
|-------------------------------------|---|-----|-----|-----|
| Identification code 47-0108-0-1-804 |   |     |     |     |
| 1001                                | Direct civilian full-time equivalent employment .....       | 295 | 316 | 316 |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 3   | 3   | 3   |

**ELECTRONIC GOVERNMENT FUND**  
 (INCLUDING TRANSFER OF FUNDS)

*For necessary expenses in support of interagency projects that enable the Federal Government to expand its ability to conduct activities electronically, through the development and implementation of innovative uses of the Internet and other electronic methods, \$20,150,000, to remain available until expended: Provided, That these funds may be transferred to Federal agencies to carry out the purpose of the Fund: Provided further, That this transfer authority shall be in addition to any other transfer authority provided in this Act: Provided further, That such transfers may*

*not be made until 10 days after a proposed spending plan and explanation for each project to be undertaken has been submitted to the Committees on Appropriations of the House of Representatives and the Senate.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

|   |  |    |     |     |
|---|--|----|-----|-----|
| Identification code 47-0600-0-1-804     |  |    |     |     |
| 2012 actual    2013 CR    2014 est.     |  |    |     |     |
| <b>Obligations by program activity:</b> |  |    |     |     |
| 0002                                    | Direct program .....                             | 9  | 17  | 20  |
| <b>Budgetary Resources:</b>             |  |    |     |     |
| Unobligated balance:                    |  |    |     |     |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 2  | 5   |     |
| Budget authority:                       |  |    |     |     |
| Appropriations, discretionary:          |  |    |     |     |
| 1100                                    | Appropriation .....                              | 12 | 12  | 20  |
| 1160                                    | Appropriation, discretionary (total) .....       | 12 | 12  | 20  |
| 1930                                    | Total budgetary resources available .....        | 14 | 17  | 20  |
| Memorandum (non-add) entries:           |  |    |     |     |
| 1941                                    | Unexpired unobligated balance, end of year ..... | 5  |     |     |
| <b>Change in obligated balance:</b>     |  |    |     |     |
| Unpaid obligations:                     |  |    |     |     |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 ..... | 5  | 6   | 8   |
| 3010                                    | Obligations incurred, unexpired accounts .....   | 9  | 17  | 20  |
| 3020                                    | Outlays (gross) .....                            | -8 | -15 | -20 |
| 3050                                    | Unpaid obligations, end of year .....            | 6  | 8   | 8   |
| Memorandum (non-add) entries:           |  |    |     |     |
| 3100                                    | Obligated balance, start of year .....           | 5  | 6   | 8   |
| 3200                                    | Obligated balance, end of year .....             | 6  | 8   | 8   |

|   |  |    |    |    |
|---|--|----|----|----|
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| 4000                                      | Budget authority, gross .....                  | 12 | 12 | 20 |
| Outlays, gross:                           |  |    |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 4  | 11 | 18 |
| 4011                                      | Outlays from discretionary balances .....      | 4  | 4  | 2  |
| 4020                                      | Outlays, gross (total) .....                   | 8  | 15 | 20 |
| 4180                                      | Budget authority, net (total) .....            | 12 | 12 | 20 |
| 4190                                      | Outlays, net (total) .....                     | 8  | 15 | 20 |

This appropriation, authorized by the E-Government Act of 2002, provides for inter-agency electronic government, or E-Gov, initiatives and projects, which use the Internet or other electronic methods to provide individuals, businesses, and other government agencies with simpler and more timely access to Federal information, benefits, services, and business opportunities. The appropriation also furthers the implementation of the Federal Financial Accountability and Transparency Act, the Government Performance and Results and Modernization Act of 2010, and the Government Paperwork Elimination Act of 1998, which calls upon agencies to provide the public with optional use and acceptance of electronic information, services, and signatures, when practicable. The E-Gov program is designed to establish an efficient set of service providers for civilian agencies to access key strategic information technology services, developing pilots and ultimately supporting the implementation of developed projects.

**Object Classification** (in millions of dollars)

|                                     |   |   |    |    |
|-------------------------------------|---|---|----|----|
| Identification code 47-0600-0-1-804 |   |   |    |    |
| 2012 actual    2013 CR    2014 est. |   |   |    |    |
| Direct obligations:                 |   |   |    |    |
| 25.1                                | Advisory and assistance services .....              | 8 | 13 | 19 |
| 25.3                                | Other goods and services from Federal sources ..... | 1 | 4  | 1  |
| 99.9                                | Total new obligations .....                         | 9 | 17 | 20 |

## ALLOWANCES AND OFFICE STAFF FOR FORMER PRESIDENTS

*For carrying out the provisions of the Act of August 25, 1958 (3 U.S.C. 102 note), and Public Law 95-138, \$3,550,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 47-0105-0-1-802                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Allowances and pensions .....                  | 2           | 2       | 2         |
| 0002 Office staff .....                             | 1           | 2       | 2         |
| 0900 Total new obligations .....                    | 3           | 4       | 4         |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....     | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....      | 4           | 4       | 4         |
| Memorandum (non-add) entries:                       |             |         |           |
| 1940 Unobligated balance expiring .....             | -1          |         |           |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 3           | 4       | 4         |
| 3020 Outlays (gross) .....                          | -3          | -4      | -4        |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 4           | 4       | 4         |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 3           | 4       | 4         |
| 4180 Budget authority, net (total) .....            | 4           | 4       | 4         |
| 4190 Outlays, net (total) .....                     | 3           | 4       | 4         |

This appropriation provides pensions, office staffs, and related expenses for former Presidents Jimmy Carter, George H.W. Bush, William Clinton, and George W. Bush, and for the postal franking privileges for the widow of former President Ronald Reagan.

## Object Classification (in millions of dollars)

| Identification code 47-0105-0-1-802                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>  |             |         |           |
| 11.8 Personnel compensation: Special personal services payments ..... | 1           | 1       | 1         |
| 13.0 Benefits for former Presidents .....                             | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....                    |             | 1       | 1         |
| 99.9 Total new obligations .....                                      | 3           | 4       | 4         |

## EXPENSES, PRESIDENTIAL TRANSITION

## Program and Financing (in millions of dollars)

| Identification code 47-0107-0-1-802             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                     |             |         |           |
| Unobligated balance:                            |             |         |           |
| 1029 Other balances withdrawn .....             |             | -9      |           |
| 1050 Unobligated balance (total) .....          |             | -9      |           |
| Budget authority:                               |             |         |           |
| Appropriations, discretionary:                  |             |         |           |
| 1100 Appropriation .....                        |             | 9       |           |
| 1160 Appropriation, discretionary (total) ..... |             | 9       |           |

## Budget authority and outlays, net:

|  |   |  |  |
|--|---|--|--|
| Discretionary:                           |   |  |  |
| 4000 Budget authority, gross .....       | 9 |  |  |
| 4180 Budget authority, net (total) ..... | 9 |  |  |

This appropriation provides for an orderly transfer of Executive leadership in accordance with the Presidential Transition Act of 1963, as amended. These expenses include costs of \$1,000,000 provided for briefing personnel associated with a potential incoming administration.

## ACQUISITION WORKFORCE TRAINING FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 47-5381-0-2-804            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....              | 4           | 4       | 4         |
| <b>Receipts:</b>                               |             |         |           |
| 0240 Acquisition Workforce Training Fund ..... | 9           | 12      | 13        |
| 0400 Total: Balances and collections .....     | 13          | 16      | 17        |
| <b>Appropriations:</b>                         |             |         |           |
| 0500 Acquisition Workforce Training Fund ..... | -9          | -12     | -13       |
| 0799 Balance, end of year .....                | 4           | 4       | 4         |

## Program and Financing (in millions of dollars)

| Identification code 47-5381-0-2-804                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0002 Acquisition Workforce Training .....             | 8           | 12      | 13        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 16          | 16      | 16        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 9           | 12      | 13        |
| 1260 Appropriations, mandatory (total) .....          | 9           | 12      | 13        |
| 1930 Total budgetary resources available .....        | 25          | 28      | 29        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1940 Unobligated balance expiring .....               | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 16          | 16      | 16        |
| <b>Special and non-revolving trust funds:</b>         |             |         |           |
| 1951 Unobligated balance expiring .....               | 1           |         |           |
| 1952 Expired unobligated balance, start of year ..... |             | 1       | 1         |
| 1953 Expired unobligated balance, end of year .....   |             | 1       |           |

## Change in obligated balance:

|   |    |    |     |
|---|----|----|-----|
| Unpaid obligations:                                   |    |    |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2  | 4  | 7   |
| 3010 Obligations incurred, unexpired accounts .....   | 8  | 12 | 13  |
| 3020 Outlays (gross) .....                            | -6 | -9 | -12 |
| 3050 Unpaid obligations, end of year .....            | 4  | 7  | 8   |
| Memorandum (non-add) entries:                         |    |    |     |
| 3100 Obligated balance, start of year .....           | 2  | 4  | 7   |
| 3200 Obligated balance, end of year .....             | 4  | 7  | 8   |

## Budget authority and outlays, net:

|   |   |    |    |
|---|---|----|----|
| Mandatory:                                      |   |    |    |
| 4090 Budget authority, gross .....              | 9 | 12 | 13 |
| Outlays, gross:                                 |   |    |    |
| 4100 Outlays from new mandatory authority ..... |   | 1  | 1  |
| 4101 Outlays from mandatory balances .....      | 6 | 8  | 11 |
| 4110 Outlays, gross (total) .....               | 6 | 9  | 12 |
| 4180 Budget authority, net (total) .....        | 9 | 12 | 13 |
| 4190 Outlays, net (total) .....                 | 6 | 9  | 12 |

The Acquisition Workforce Training Fund (AWTF) is a permanent, indefinite appropriation providing a stable source of funds to train the Federal civilian acquisition workforce. The AWTF is financed through a credit of five percent of the fees collected from non-Department of Defense activities by the General Services Administration (GSA) and other civilian agencies that manage Government-wide Acquisition Contracts (GWACs), Multiple

Award Schedules (MAS) contracts, and other multi-agency contracts. Receipts are available for expenditure in the fiscal year collected, as well as the two following fiscal years. The AWTF is managed by the Federal Acquisition Institute (FAI) at GSA, in consultation with the White House Office of Federal Procurement Policy and the FAI Board of Directors.

**Object Classification** (in millions of dollars)

| Identification code 47–5381–0–2–804                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 25.1 Advisory and assistance services .....              | 7           | 8       | 9         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 4       | 4         |
| 99.9 Total new obligations .....                         | 8           | 12      | 13        |

**FEDERAL CITIZEN SERVICES FUND**

*For necessary expenses of the Office of Citizen Services and Innovative Technologies, including services authorized by 40 U.S.C. 323, \$34,804,000, to be deposited into the Federal Citizen Services Fund: Provided, That the appropriations, revenues, and collections deposited into the Fund shall be available for necessary expenses of Federal Citizen Services activities, in the aggregate amount not to exceed \$90,000,000. Appropriations, revenues, and collections accruing to this Fund during fiscal year 2014 in excess of such amount shall remain in the Fund and shall not be available for expenditure except as authorized in appropriations Acts.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 47–4549–0–4–376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 37          | 34      | 35        |
| 0802 Reimbursable program .....                                    | 15          | 12      | 13        |
| 0900 Total new obligations .....                                   | 52          | 46      | 48        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 11          | 6       | 6         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 34          | 34      | 35        |
| 1160 Appropriation, discretionary (total) .....                    | 34          | 34      | 35        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 9           | 12      | 11        |
| 1701 Change in uncollected payments, Federal sources .....         | 4           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 13          | 12      | 11        |
| 1900 Budget authority (total) .....                                | 47          | 46      | 46        |
| 1930 Total budgetary resources available .....                     | 58          | 52      | 52        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 6           | 6       | 4         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 11          | 18      | 12        |
| 3010 Obligations incurred, unexpired accounts .....                | 52          | 46      | 48        |
| 3020 Outlays (gross) .....   | –45         | –52     | –52       |
| 3050 Unpaid obligations, end of year .....                         | 18          | 12      | 8         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –3          | –7      | –7        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –4          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –7          | –7      | –7        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 8           | 11      | 5         |
| 3200 Obligated balance, end of year .....                          | 11          | 5       | 1         |

**Budget authority and outlays, net:**

|  |    |     |     |
|--|----|-----|-----|
| Discretionary:   |    |     |     |
| 4000 Budget authority, gross .....                             | 47 | 46  | 46  |
| Outlays, gross:  |    |     |     |
| 4010 Outlays from new discretionary authority .....            | 34 | 46  | 46  |
| 4011 Outlays from discretionary balances .....                 | 11 | 6   | 6   |
| 4020 Outlays, gross (total) .....                              | 45 | 52  | 52  |
| Offsets against gross budget authority and outlays:            |    |     |     |
| Offsetting collections (collected) from:                       |    |     |     |
| 4030 Federal sources .....                                     | –9 | –12 | –11 |
| Additional offsets against gross budget authority only:        |    |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired ..... | –4 |     |     |
| 4070 Budget authority, net (discretionary) .....               | 34 | 34  | 35  |
| 4080 Outlays, net (discretionary) .....                        | 36 | 40  | 41  |
| 4180 Budget authority, net (total) .....                       | 34 | 34  | 35  |
| 4190 Outlays, net (total) .....                                | 36 | 40  | 41  |

The Federal Citizen Services Fund appropriation provides for the salaries and expenses of the Office of Citizen Services and Innovative Technologies (OCSIT). OCSIT provides the means for citizens, businesses, other governments, and the media to easily obtain information and services from the government via the web, e-mail, printed media, and telephone. OCSIT leads several interagency groups to share best practices and develop strategies for improving the way government provides services to the American public.

OCSIT provides information and services to the public primarily through *USA.gov* and *GobiernoUSA.gov*, the official web portal of the U.S. Government. OCSIT also operates *PublicationsUSA.gov*, *HowTo.gov*, and *Kids.gov* websites. OCSIT provides direct telephone (1–800–FED–INFO), e-mail, and on-line assistance to citizens through the National Contact Center (NCC) and offers simple and cost-effective contact center solutions to customer Federal agencies through the USA Contact program. OCSIT also coordinates the publication and distribution of information through the Government Printing Office (GPO) Public Documents Distribution Center in Pueblo, Colorado.

The Federal Citizen Services Fund is financed from annual appropriations to pay for the salaries and expenses of OCSIT staff and Citizen Services programs. Reimbursements from Federal agencies pay for the direct costs of information services OCSIT provides on behalf of the agencies. The Federal Citizen Services Fund also receives funding from user fees for publications ordered by the public, payments from private entities for services rendered, and gifts from the public. All income is available without regard to fiscal year limitations, but is subject to an annual aggregate expenditure limit as set forth in appropriation acts.

**Object Classification** (in millions of dollars)

| Identification code 47–4549–0–4–376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 10          | 10      | 11        |
| 12.1 Civilian personnel benefits .....                   | 3           | 3       | 3         |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 24.0 Printing and reproduction .....                     | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 18          | 15      | 15        |
| 25.3 Other goods and services from Federal sources ..... | 4           | 4       | 4         |
| 99.0 Direct obligations .....                            | 37          | 34      | 35        |
| Reimbursable obligations:                                |             |         |           |
| 23.1 Rental payments to GSA .....                        | 2           |         |           |
| 25.1 Advisory and assistance services .....              | 8           | 12      | 13        |
| 25.3 Other goods and services from Federal sources ..... | 5           |         |           |
| 99.0 Reimbursable obligations .....                      | 15          | 12      | 13        |
| 99.9 Total new obligations .....                         | 52          | 46      | 48        |

FEDERAL CITIZEN SERVICES FUND—Continued  
Employment Summary

| Identification code 47-4549-0-4-376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 85          | 86      | 94        |

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

| Identification code 47-4540-0-4-804   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Reimbursable program .....   | 506         | 546     | 706       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 134         | 128     | 66        |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 11          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 6           |         |           |
| 1050 Unobligated balance (total) .....  | 151         | 128     | 66        |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:                  |             |         |           |
| 1700 Collected .....  | 458         | 484     | 676       |
| 1701 Change in uncollected payments, Federal sources .....                      | 25          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 483         | 484     | 676       |
| 1930 Total budgetary resources available .....                                  | 634         | 612     | 742       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 128         | 66      | 36        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                           | 125         | 153     | 205       |
| 3010 Obligations incurred, unexpired accounts .....                             | 506         | 546     | 706       |
| 3020 Outlays (gross) .....  | -472        | -494    | -687      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....               | -6          |         |           |
| 3050 Unpaid obligations, end of year .....                                      | 153         | 205     | 224       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....               | -3          | -28     | -28       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                  | -25         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                          | -28         | -28     | -28       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                     | 122         | 125     | 177       |
| 3200 Obligated balance, end of year .....                                       | 125         | 177     | 196       |
| <b>Budget authority and outlays, net:</b>                                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 483         | 484     | 676       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                             | 371         | 395     | 552       |
| 4011 Outlays from discretionary balances .....                                  | 101         | 99      | 135       |
| 4020 Outlays, gross (total) .....   | 472         | 494     | 687       |
| Offsets against gross budget authority and outlays:                             |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4030 Federal sources .....  | -457        | -484    | -676      |
| 4033 Non-Federal sources .....  | -1          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) ....            | -458        | -484    | -676      |
| Additional offsets against gross budget authority only:                         |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                  | -25         |         |           |
| 4080 Outlays, net (discretionary) .....   | 14          | 10      | 11        |
| 4190 Outlays, net (total) .....   | 14          | 10      | 11        |

This full cost recovery revolving fund provides internal General Services Administration (GSA) customers with a full range of shared administrative services. Expenses of the Working Capital Fund are financed through reimbursable funding from GSA's benefiting accounts and from external sources, including small agencies and commissions, for services provided. Reimbursable services include information technology management, financial management, legal advice and services, equal employment opportunity services, liaison activities with the Congress and Office of Management and Budget, and oversight of GSA contracting

activities. This account also funds liaison activities with the Small Business Administration on small business proposals and contracts to ensure that small, disadvantaged businesses receive a fair share of the agency's business.

Beginning in 2014, GSA will consolidate support functions such as finance, information technology, and human resources across all organizations into the Working Capital Fund, resulting in a significant growth in resources flowing through the fund. The FTE increase to the Working Capital Fund will be accompanied by corresponding decreases to the other organizations, such as the Public Buildings Service and Federal Acquisition Service, as employees transfer between offices. This consolidation is designed to streamline reporting structures, resulting in increased efficiencies and accountability.

## Object Classification (in millions of dollars)

| Identification code 47-4540-0-4-804                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 125         | 129     | 216       |
| 11.3 Other than full-time permanent .....                       | 2           | 1       | 2         |
| 11.5 Other personnel compensation .....                         | 4           | 2       | 5         |
| 11.9 Total personnel compensation .....                         | 131         | 132     | 223       |
| 12.1 Civilian personnel benefits .....                          | 49          | 48      | 73        |
| 13.0 Benefits for former personnel .....                        | 2           | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 2           | 3       | 6         |
| 23.1 Rental payments to GSA .....                               | 18          | 27      | 41        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 26          | 30      | 31        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 130         | 187     | 189       |
| 25.2 Other services from non-Federal sources .....              | 4           | 4       | 14        |
| 25.3 Other goods and services from Federal sources .....        | 77          | 45      | 48        |
| 25.7 Operation and maintenance of equipment .....               | 40          | 53      | 53        |
| 26.0 Supplies and materials .....                               | 2           | 2       | 3         |
| 31.0 Equipment .....  | 24          | 11      | 23        |
| 42.0 Insurance claims and indemnities .....                     |             | 2       |           |
| 99.9 Total new obligations .....                                | 506         | 546     | 706       |

## Employment Summary

| Identification code 47-4540-0-4-804                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,284       | 1,347   | 2,285     |

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 47-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts .....   | 167         | 26      | 26        |
| 47-384000 Real Property Disposal, GSA .....  | -2          |         |           |
| 47-384200 Broker Rebates, GSA .....  | -4          |         |           |
| General Fund Offsetting receipts from the public .....   | 161         | 26      | 26        |
| Intragovernmental payments:  |             |         |           |
| 47-388500 Undistributed Intragovernmental Payments and Receivables from Cancelled Accounts ..... |             | 11      | 11        |
| General Fund Intragovernmental payments .....  |             | 11      | 11        |

## ADMINISTRATIVE PROVISIONS—GENERAL SERVICES ADMINISTRATION

(INCLUDING TRANSFERS OF FUNDS AND CANCELLATION)

SEC. 520. Funds available to the General Services Administration shall be available for the hire of passenger motor vehicles.

SEC. 521. Funds in the Federal Buildings Fund made available for fiscal year 2014 for Federal Buildings Fund activities may be transferred between such activities only to the extent necessary to meet program re-

quirements: *Provided, That notice of any proposed transfers shall be submitted in advance to the Committees on Appropriations of the House of Representatives and the Senate.*

SEC. 522. *Except as otherwise provided in this title, it is the sense of Congress that projects to be included in the 2015 request for United States Courthouse construction will: (1) meet the design guide standards for construction as established and approved by the General Services Administration, the Judicial Conference of the United States, and the Office of Management and Budget; (2) reflect the priorities of the Judicial Conference of the United States as set out in its approved 5-year construction plan; and (3) include a standardized courtroom utilization study of each facility to be constructed, replaced, or expanded.*

SEC. 523. *None of the funds provided in this Act may be used to increase the amount of occupiable square feet, provide cleaning services, security enhancements, or any other service usually provided through the Federal Buildings Fund, to any agency that does not pay the rate per square foot assessment for space and services as determined by the General Services Administration in consideration of the Public Buildings Amendments Act of 1972 (Public Law 92-313).*

SEC. 524. *From funds made available under the heading "Federal Buildings Fund, Limitations on Availability of Revenue", claims against the Government of less than \$250,000 arising from direct construction projects and acquisition of buildings may be liquidated from savings effected in other construction projects with prior notification to the Committees on Appropriations of the House of Representatives and the Senate.*



# NATIONAL AERONAUTICS AND SPACE ADMINISTRATION

## Federal Funds

### SCIENCE

For necessary expenses, not otherwise provided for, in the conduct and support of science research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$5,017,800,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 80–0120–0–1–252                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Direct program activity .....                                   | 5,140       | 5,116   | 5,019     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 79          | 64      | 64        |
| 1021 Recoveries of prior year unpaid obligations .....               | 51          |         |           |
| 1050 Unobligated balance (total) .....                               | 130         | 64      | 64        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 5,090       | 5,121   | 5,018     |
| 1120 Appropriations transferred to other accts CECR [80–0130] .....  | –11         |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –5          | –5      |           |
| 1160 Appropriation, discretionary (total) .....                      | 5,074       | 5,116   | 5,018     |
| 1930 Total budgetary resources available .....                       | 5,204       | 5,180   | 5,082     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 64          | 64      | 63        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 2,774       | 3,073   | 3,101     |
| 3010 Obligations incurred, unexpired accounts .....                  | 5,140       | 5,116   | 5,019     |
| 3011 Obligations incurred, expired accounts .....                    | 2           |         |           |
| 3020 Outlays (gross) .....   | –4,785      | –5,088  | –5,066    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | –51         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –7          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 3,073       | 3,101   | 3,054     |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 2,774       | 3,073   | 3,101     |
| 3200 Obligated balance, end of year .....                            | 3,073       | 3,101   | 3,054     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 5,074       | 5,116   | 5,018     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 2,236       | 2,507   | 2,460     |
| 4011 Outlays from discretionary balances .....                       | 2,549       | 2,581   | 2,606     |
| 4020 Outlays, gross (total) .....                                    | 4,785       | 5,088   | 5,066     |
| 4180 Budget authority, net (total) .....                             | 5,074       | 5,116   | 5,018     |
| 4190 Outlays, net (total) .....                                      | 4,785       | 5,088   | 5,066     |

The Science appropriation provides for NASA's science mission, which is comprised of the agency's Earth and space science programs: Earth Science, Planetary Science, Heliophysics, the James Webb Space Telescope, and Astrophysics. These programs seek to answer fundamental questions concerning the ways in which Earth's climate is changing; the comparison of Earth with other planets in the solar system and around other stars; the connections among the Sun, Earth, and heliosphere; and the origin and

evolution of planetary systems, the galaxy, and the universe, including the origin and distribution of life in the universe. Program objectives are pursued through robotic flight missions, ground-based scientific research and data analysis, and the development of new technologies for future missions.

The Science appropriation provides for all of the research, development, operations, salaries and related expenses, and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and test and fabrication costs. Detailed performance goals associated with these activities are addressed in NASA's detailed budget request.

#### Object Classification (in millions of dollars)

| Identification code 80–0120–0–1–252                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 232         | 224     | 224       |
| 11.3 Other than full-time permanent .....                       | 14          | 13      | 13        |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 248         | 239     | 239       |
| 12.1 Civilian personnel benefits .....                          | 68          | 65      | 66        |
| 21.0 Travel and transportation of persons .....                 | 20          | 20      | 20        |
| 22.0 Transportation of things .....                             | 3           | 3       | 3         |
| 23.2 Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 4         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 120         | 110     | 110       |
| 25.2 Other services from non-Federal sources .....              | 238         | 217     | 217       |
| 25.3 Other goods and services from Federal sources .....        | 196         | 194     | 194       |
| 25.4 Operation and maintenance of facilities .....              | 16          | 16      | 16        |
| 25.5 Research and development contracts .....                   | 3,480       | 3,467   | 3,413     |
| 25.7 Operation and maintenance of equipment .....               | 82          | 87      | 82        |
| 26.0 Supplies and materials .....                               | 31          | 28      | 28        |
| 31.0 Equipment .....  | 36          | 37      | 35        |
| 32.0 Land and structures .....                                  | 6           | 6       | 6         |
| 41.0 Grants, subsidies, and contributions .....                 | 589         | 620     | 583       |
| 99.9 Total new obligations .....                                | 5,140       | 5,116   | 5,019     |

#### Employment Summary

| Identification code 80–0120–0–1–252                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,072       | 1,973   | 1,936     |

### AERONAUTICS

For necessary expenses, not otherwise provided for, in the conduct and support of aeronautics research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$565,690,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 80–0126–0–1–402     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program activity .....      | 568         | 572     | 566       |

AERONAUTICS—Continued  
Program and Financing—Continued

| Identification code 80-0126-0-1-402                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 11          | 17      | 17        |
| 1021 Recoveries of prior year unpaid obligations .....               | 5           | .....   | .....     |
| 1050 Unobligated balance (total) .....                               | 16          | 17      | 17        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 570         | 573     | 566       |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -1          | -1      | .....     |
| 1160 Appropriation, discretionary (total) .....                      | 569         | 572     | 566       |
| 1930 Total budgetary resources available .....                       | 585         | 589     | 583       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 17          | 17      | 17        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 281         | 259     | 332       |
| 3010 Obligations incurred, unexpired accounts .....                  | 568         | 572     | 566       |
| 3020 Outlays (gross) .....   | -584        | -499    | -568      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -5          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 259         | 332     | 330       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 281         | 259     | 332       |
| 3200 Obligated balance, end of year .....                            | 259         | 332     | 330       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 569         | 572     | 566       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 334         | 280     | 277       |
| 4011 Outlays from discretionary balances .....                       | 250         | 219     | 291       |
| 4020 Outlays, gross (total) .....                                    | 584         | 499     | 568       |
| 4180 Budget authority, net (total) .....                             | 569         | 572     | 566       |
| 4190 Outlays, net (total) .....                                      | 584         | 499     | 568       |

This appropriation provides for the full costs associated with NASA's Aeronautics Research mission which aims to expand the boundaries of aeronautical knowledge for the benefit of the nation and the broad aeronautics community. The mission is managed by NASA's Aeronautics Research Mission Directorate, and consists of the following integrated research programs: Fundamental Aeronautics, Aviation Safety, Airspace Systems, Integrated Systems Research, Aeronautics Test, and Aeronautics Strategy and Management. Full costs of these programs cover all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs. Costs include labor, travel, procurement, test, and fabrication costs. Detailed performance goals associated with these activities are addressed in NASA's detailed budget request.

## Object Classification (in millions of dollars)

| Identification code 80-0126-0-1-402                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 146         | 143     | 142       |
| 11.3 Other than full-time permanent .....                       | 7           | 7       | 7         |
| 11.5 Other personnel compensation .....                         | 1           | .....   | .....     |
| 11.9 Total personnel compensation .....                         | 154         | 150     | 149       |
| 12.1 Civilian personnel benefits .....                          | 41          | 41      | 40        |
| 21.0 Travel and transportation of persons .....                 | 6           | 6       | 6         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 7           | 7       | 7         |
| 25.1 Advisory and assistance services .....                     | 11          | 10      | 10        |
| 25.2 Other services from non-Federal sources .....              | 27          | 23      | 23        |
| 25.3 Other goods and services from Federal sources .....        | 8           | 8       | 8         |
| 25.4 Operation and maintenance of facilities .....              | 31          | 32      | 32        |
| 25.5 Research and development contracts .....                   | 185         | 198     | 194       |

|   |     |     |     |
|---|-----|-----|-----|
| 25.7 Operation and maintenance of equipment ..... | 26  | 27  | 27  |
| 26.0 Supplies and materials .....                 | 12  | 10  | 10  |
| 31.0 Equipment .....                              | 24  | 24  | 24  |
| 32.0 Land and structures .....                    | 5   | 5   | 5   |
| 41.0 Grants, subsidies, and contributions .....   | 30  | 30  | 30  |
| 99.9 Total new obligations .....                  | 568 | 572 | 566 |

## Employment Summary

| Identification code 80-0126-0-1-402                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,367       | 1,319   | 1,282     |

## SPACE TECHNOLOGY

*For necessary expenses, not otherwise provided for, in the conduct and support of space research and technology development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$742,600,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 80-0131-0-1-252                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                 |             |         |           |
| 0001 Direct program activity .....                                      | 535         | 578     | 734       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                   | .....       | 13      | 14        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 575         | 579     | 743       |
| 1120 Appropriations transferred to Space Operations [80-0115] .....     | -10         | .....   | .....     |
| 1120 Appropriations transferred to Cross Agency Support [80-0122] ..... | -9          | .....   | .....     |
| 1120 Appropriations transferred to Exploration [80-0124] .....          | -8          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                         | 548         | 579     | 743       |
| 1930 Total budgetary resources available .....                          | 548         | 592     | 757       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                   | 13          | 14      | 23        |
| <b>Change in obligated balance:</b>                                     |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                   | .....       | 293     | 406       |
| 3010 Obligations incurred, unexpired accounts .....                     | 535         | 578     | 734       |
| 3020 Outlays (gross) .....  | -242        | -465    | -594      |
| 3050 Unpaid obligations, end of year .....                              | 293         | 406     | 546       |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                             | .....       | 293     | 406       |
| 3200 Obligated balance, end of year .....                               | 293         | 406     | 546       |
| <b>Budget authority and outlays, net:</b>                               |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                      | 548         | 579     | 743       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                     | 242         | 203     | 260       |
| 4011 Outlays from discretionary balances .....                          | .....       | 262     | 334       |
| 4020 Outlays, gross (total) .....                                       | 242         | 465     | 594       |
| 4180 Budget authority, net (total) .....                                | 548         | 579     | 743       |
| 4190 Outlays, net (total) .....   | 242         | 465     | 594       |

This appropriation provides for the full costs associated with program activities under the NASA Space Technology Mission



Directorate which conducts research and development in space technologies serving multiple customers within NASA, private industry, academia, and other government agencies. The full costs provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, and those associated with fabrication, tests and flight demonstrations. The Space Technology appropriation also supports the operations of the NASA Office of the Chief Technologist.

The programs within Space Technology enable new missions by drawing on talent from the NASA workforce, academia, small businesses and the broader space enterprise to deliver innovative solutions that dramatically improve technological capabilities for NASA and the Nation. The appropriation funds several programs, including Partnership Development & Strategic Integration, Small Business Innovative Research (SBIR), Small Business Technology Transfer (STTR), Crosscutting Space Technology Development, and Exploration Technology Development.

#### Object Classification (in millions of dollars)

| Identification code 80-0131-0-1-252                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 78          | 97      | 97        |
| 11.3 Other than full-time permanent .....                | 4           | 5       | 5         |
| 11.5 Other personnel compensation .....                  |             | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 82          | 103     | 103       |
| 12.1 Civilian personnel benefits .....                   | 23          | 29      | 29        |
| 21.0 Travel and transportation of persons .....          | 4           | 5       | 5         |
| 22.0 Transportation of things .....                      | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 29          | 26      | 26        |
| 25.2 Other services from non-Federal sources .....       | 24          | 21      | 21        |
| 25.3 Other goods and services from Federal sources ..... | 4           | 4       | 4         |
| 25.4 Operation and maintenance of facilities .....       | 5           | 5       | 5         |
| 25.5 Research and development contracts .....            | 322         | 344     | 496       |
| 25.7 Operation and maintenance of equipment .....        | 5           | 5       | 6         |
| 26.0 Supplies and materials .....                        | 5           | 5       | 5         |
| 31.0 Equipment .....                                     | 6           | 6       | 7         |
| 41.0 Grants, subsidies, and contributions .....          | 22          | 23      | 25        |
| 99.0 Direct obligations .....                            | 532         | 577     | 733       |
| 99.5 Below reporting threshold .....                     | 3           | 1       | 1         |
| 99.9 Total new obligations .....                         | 535         | 578     | 734       |

#### Employment Summary

| Identification code 80-0131-0-1-252                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 705         | 860     | 838       |

#### EXPLORATION

*For necessary expenses, not otherwise provided for, in the conduct and support of exploration research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$3,915,505,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 80-0124-0-1-252   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                     |             |         |           |
| 0001 Direct program activity .....  | 3,867       | 3,788   | 3,913     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                       | 187         | 91      | 93        |
| 1021 Recoveries of prior year unpaid obligations .....                      | 56          |         |           |
| 1050 Unobligated balance (total) .....                                      | 243         | 91      | 93        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 3,771       | 3,794   | 3,916     |
| 1120 Appropriations transferred to CECR [80-0130] .....                     | -58         |         |           |
| 1120 Appropriations transferred to Space Operations account [80-0115] ..... | -2          |         |           |
| 1121 Appropriations transferred from Space Technology [80-0131] .....       | 8           |         |           |
| 1131 Unobligated balance of appropriations permanently reduced .....        | -4          | -4      |           |
| 1160 Appropriation, discretionary (total) .....                             | 3,715       | 3,790   | 3,916     |
| 1900 Budget authority (total) .....   | 3,715       | 3,790   | 3,916     |
| 1930 Total budgetary resources available .....                              | 3,958       | 3,881   | 4,009     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                       | 91          | 93      | 96        |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                       | 1,731       | 1,951   | 1,966     |
| 3010 Obligations incurred, unexpired accounts .....                         | 3,867       | 3,788   | 3,913     |
| 3011 Obligations incurred, expired accounts .....                           | 2           |         |           |
| 3020 Outlays (gross) .....  | -3,589      | -3,773  | -4,034    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....           | -56         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....             | -4          |         |           |
| 3050 Unpaid obligations, end of year .....                                  | 1,951       | 1,966   | 1,845     |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                 | 1,731       | 1,951   | 1,966     |
| 3200 Obligated balance, end of year .....                                   | 1,951       | 1,966   | 1,845     |
| <b>Budget authority and outlays, net:</b>                                   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 3,715       | 3,790   | 3,916     |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                         | 1,803       | 2,274   | 2,350     |
| 4011 Outlays from discretionary balances .....                              | 1,786       | 1,499   | 1,684     |
| 4020 Outlays, gross (total) .....   | 3,589       | 3,773   | 4,034     |
| 4180 Budget authority, net (total) .....                                    | 3,715       | 3,790   | 3,916     |
| 4190 Outlays, net (total) .....   | 3,589       | 3,773   | 4,034     |

This appropriation provides for the full costs associated with NASA's development of systems and capabilities required for human exploration of space and including U.S. crew access to the International Space Station. The systems and capabilities include launch and crew vehicles for missions beyond low Earth Orbit, an affordable, competitive commercial crew capability that offers access to the Space Station, technologies and countermeasures to keep astronauts healthy and functional during deep space missions, and technologies to reduce launch mass and the cost of deep space missions. The full costs provide for the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, test and fabrication costs.

NASA's human space exploration programs include the Space Launch System, the Orion Multi-Purpose Crew Vehicle, Exploration Ground Systems, Commercial Crew, Advanced Exploration Systems, and Human Research. The programs are managed by NASA's Human Exploration and Operations Mission Directorate, which also manages programs in the Space Operations account.

EXPLORATION—Continued  
Object Classification (in millions of dollars)

| Identification code 80–0124–0–1–252                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 328         | 336     | 349       |
| 11.3 Other than full-time permanent .....                       | 6           | 7       | 7         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| Total personnel compensation .....                              | 335         | 344     | 357       |
| 12.1 Civilian personnel benefits .....                          | 96          | 98      | 102       |
| 13.0 Benefits for former personnel .....                        | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....                 | 14          | 13      | 13        |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 8           | 8       | 8         |
| 25.1 Advisory and assistance services .....                     | 245         | 221     | 221       |
| 25.2 Other services from non-Federal sources .....              | 28          | 26      | 26        |
| 25.3 Other goods and services from Federal sources .....        | 43          | 42      | 41        |
| 25.4 Operation and maintenance of facilities .....              | 149         | 144     | 143       |
| 25.5 Research and development contracts .....                   | 2,745       | 2,698   | 2,809     |
| 25.7 Operation and maintenance of equipment .....               | 77          | 75      | 74        |
| 26.0 Supplies and materials .....                               | 28          | 25      | 25        |
| 31.0 Equipment .....  | 19          | 18      | 18        |
| 32.0 Land and structures .....                                  | 5           | 5       | 5         |
| 41.0 Grants, subsidies, and contributions .....                 | 71          | 68      | 68        |
| 99.0 Direct obligations .....                                   | 3,865       | 3,787   | 3,912     |
| 99.5 Below reporting threshold .....                            | 2           | 1       | 1         |
| 99.9 Total new obligations .....                                | 3,867       | 3,788   | 3,913     |

## Employment Summary

| Identification code 80–0124–0–1–252                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,985       | 3,022   | 3,074     |

## EDUCATION

For necessary expenses, not otherwise provided for, in carrying out aerospace and aeronautical education research and development activities, including research, development, operations, support, and services; planning and interagency coordination of education activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$94,200,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 80–0128–0–1–252                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                     |             |         |           |
| 0001 Direct program activity .....                                   | 146         | 141     | 98        |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 27          | 18      | 14        |
| 1021 Recoveries of prior year unpaid obligations .....               | 1           |         |           |
| 1050 Unobligated balance (total) .....                               | 28          | 18      | 14        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 138         | 139     | 94        |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –2          | –2      |           |
| 1160 Appropriation, discretionary (total) .....                      | 136         | 137     | 94        |
| 1930 Total budgetary resources available .....                       | 164         | 155     | 108       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 18          | 14      | 10        |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 187  | 177  | 180  |
| 3010 Obligations incurred, unexpired accounts .....               | 146  | 141  | 98   |
| 3020 Outlays (gross) .....  | –153 | –138 | –138 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1   |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –2   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 177  | 180  | 140  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 187  | 177  | 180  |
| 3200 Obligated balance, end of year .....                         | 177  | 180  | 140  |
| Budget authority and outlays, net:                                |      |      |      |
| Discretionary:  |      |      |      |
| 4000 Budget authority, gross .....                                | 136  | 137  | 94   |
| Outlays, gross:   |      |      |      |
| 4010 Outlays from new discretionary authority .....               | 33   | 27   | 19   |
| 4011 Outlays from discretionary balances .....                    | 120  | 111  | 119  |
| 4020 Outlays, gross (total) .....                                 | 153  | 138  | 138  |
| 4180 Budget authority, net (total) .....                          | 136  | 137  | 94   |
| 4190 Outlays, net (total) .....                                   | 153  | 138  | 138  |

This appropriation provides for the full costs associated with NASA's education activities within the Office of Education. NASA's Education program works to educate and inspire students at all levels to pursue careers in the fields of science, technology, engineering, and mathematics (STEM). The full costs provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities required to execute the programs within this account. Costs include labor, travel, procurement, test and fabrication costs.

NASA's STEM education efforts will be fundamentally restructured within the Office of Education to support the Administration's STEM Consolidation initiative. This consolidation will utilize NASA's expertise and resources to reach a wider group of students and teachers through close cooperation with multiple federal agencies, and will be a key component of the Nation's STEM education portfolio.

NASA's Education appropriation funds a portfolio of activities that provides opportunities to a diverse audience of educators and learners, including women, minorities, and persons with disabilities. NASA will continue to improve STEM education through an internal competitive process that invests in NASA's most effective education programs, and will remain in alignment with the America COMPETES Reauthorization Act of 2010 and the Educate to Innovate initiative. NASA's education investments will also be aligned with the Federal strategic plans of the Office of Science and Technology Policy's Interagency Committee on STEM. NASA's investments will include support for Minority Serving Institutions and community colleges, which generally serve a high proportion of minority students, preparing them for study at a four-year institution.

## Object Classification (in millions of dollars)

| Identification code 80–0128–0–1–252                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 5           | 6       | 6         |
| 12.1 Civilian personnel benefits .....                 | 1           | 2       | 2         |
| 21.0 Travel and transportation of persons .....        | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....            | 4           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....     | 7           | 6       | 6         |
| 25.4 Operation and maintenance of facilities .....     | 1           | 1       | 1         |
| 25.5 Research and development contracts .....          | 9           | 8       | 6         |
| 25.7 Operation and maintenance of equipment .....      | 4           | 3       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 114         | 111     | 72        |
| 99.9 Total new obligations .....                       | 146         | 141     | 98        |

## Employment Summary

| Identification code 80-0128-0-1-252                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 52          | 59      | 59        |

## CROSS AGENCY SUPPORT

*For necessary expenses, not otherwise provided for, in the conduct and support of science, aeronautics, exploration, space operations and education research and development activities, including research, development, operations, support, and services; maintenance and repair, facility planning and design; space flight, spacecraft control, and communications activities; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; not to exceed \$63,000 for official reception and representation expenses; and purchase, lease, charter, maintenance, and operation of mission and administrative aircraft, \$2,850,300,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 80-0122-0-1-252   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                       |             |         |           |
| 0001 Direct program activity .....  | 2,992       | 3,012   | 2,851     |
| 0801 Reimbursable program .....   | 2,216       | 2,632   | 2,666     |
| 0900 Total new obligations .....  | 5,208       | 5,644   | 5,517     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                         | 8           | 369     | 378       |
| 1021 Recoveries of prior year unpaid obligations .....                        | 4           |         |           |
| 1050 Unobligated balance (total) .....  | 12          | 369     | 378       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 2,995       | 3,012   | 2,850     |
| 1120 Appropriations transferred to OIG [80-0109] .....                        | -1          |         |           |
| 1121 Appropriations transferred from Space Technology account [80-0131] ..... | 9           |         |           |
| 1160 Appropriation, discretionary (total) .....                               | 3,003       | 3,012   | 2,850     |
| Spending authority from offsetting collections, discretionary:                |             |         |           |
| 1700 Collected .....  | 1,346       | 2,641   | 2,670     |
| 1701 Change in uncollected payments, Federal sources .....                    | 1,216       |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....            | 2,562       | 2,641   | 2,670     |
| 1900 Budget authority (total) .....   | 5,565       | 5,653   | 5,520     |
| 1930 Total budgetary resources available .....                                | 5,577       | 6,022   | 5,898     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                         | 369         | 378     | 381       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                         | 1,923       | 2,086   | 1,876     |
| 3010 Obligations incurred, unexpired accounts .....                           | 5,208       | 5,644   | 5,517     |
| 3011 Obligations incurred, expired accounts .....                             | 40          | 40      |           |
| 3020 Outlays (gross) .....  | -5,056      | -5,839  | -5,661    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....             | -4          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....               | -25         | -55     |           |
| 3050 Unpaid obligations, end of year .....                                    | 2,086       | 1,876   | 1,732     |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....             | -833        | -1,314  | -1,314    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                | -1,216      |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....                  | 735         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....                        | -1,314      | -1,314  | -1,314    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                                   | 1,090       | 772     | 562       |
| 3200 Obligated balance, end of year .....                                     | 772         | 562     | 418       |

## Budget authority and outlays, net:

|  |        |        |        |
|--|--------|--------|--------|
| Discretionary:   |        |        |        |
| 4000 Budget authority, gross .....                                   | 5,565  | 5,653  | 5,520  |
| Outlays, gross:  |        |        |        |
| 4010 Outlays from new discretionary authority .....                  | 3,429  | 5,051  | 4,950  |
| 4011 Outlays from discretionary balances .....                       | 1,627  | 788    | 711    |
| 4020 Outlays, gross (total) .....                                    | 5,056  | 5,839  | 5,661  |
| Offsets against gross budget authority and outlays:                  |        |        |        |
| Offsetting collections (collected) from:                             |        |        |        |
| 4030 Federal sources .....   | -1,867 | -2,405 | -2,427 |
| 4033 Non-Federal sources .....                                       | -209   | -236   | -243   |
| 4040 Offsets against gross budget authority and outlays (total) .... | -2,076 | -2,641 | -2,670 |
| Additional offsets against gross budget authority only:              |        |        |        |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -1,216 |        |        |
| 4052 Offsetting collections credited to expired accounts .....       | 730    |        |        |
| 4060 Additional offsets against budget authority only (total) .....  | -486   |        |        |
| 4070 Budget authority, net (discretionary) .....                     | 3,003  | 3,012  | 2,850  |
| 4080 Outlays, net (discretionary) .....                              | 2,980  | 3,198  | 2,991  |
| 4180 Budget authority, net (total) .....                             | 3,003  | 3,012  | 2,850  |
| 4190 Outlays, net (total) .....                                      | 2,980  | 3,198  | 2,991  |

This appropriation provides for NASA's supporting functions associated with the science, aeronautics, space technology, education, space operations, exploration, and construction and environmental compliance and restoration activities of the agency. This account provides for the operations and maintenance; salaries and related expenses; and other general and administrative activities supporting the programs within the Agency's five mission accounts.

Cross Agency Support provides a strategic focus for managing agency mission support functions and some of NASA's unique research facilities. Center Management and Operations includes the basic costs to manage and operate each of the nine NASA field centers and to maintain the technical capabilities required to support the Agency's Mission. This Budget is not directly identified or aligned to a specific program or project requirement, but is necessary for efficient and effective administration and operation of the NASA Centers.

Agency Management and Operations provides for the management and oversight of Agency programs, the performance of Agency-wide activities and functions, and the maintenance of unique research capabilities and facilities. Responsibilities include the determination of programs and projects; establishment of management policies, procedures, and performance criteria; evaluation of progress; and the coordination and integration of all phases of the Agency's mission.

## Object Classification (in millions of dollars)

| Identification code 80-0122-0-1-252                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 851         | 879     | 893       |
| 11.3 Other than full-time permanent .....                       | 29          | 30      | 30        |
| 11.5 Other personnel compensation .....                         | 28          | 29      | 30        |
| 11.8 Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 909         | 939     | 954       |
| 12.1 Civilian personnel benefits .....                          | 241         | 249     | 253       |
| 13.0 Benefits for former personnel .....                        | 8           | 9       | 9         |
| 21.0 Travel and transportation of persons .....                 | 22          | 17      | 17        |
| 22.0 Transportation of things .....                             | 5           | 5       | 5         |
| 23.1 Rental payments to GSA .....                               | 35          | 34      | 34        |
| 23.2 Rental payments to others .....                            | 3           | 3       | 3         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 83          | 82      | 79        |
| 24.0 Printing and reproduction .....                            | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....                     | 220         | 194     | 194       |
| 25.2 Other services from non-Federal sources .....              | 338         | 299     | 299       |
| 25.3 Other goods and services from Federal sources .....        | 52          | 51      | 48        |
| 25.4 Operation and maintenance of facilities .....              | 263         | 276     | 251       |
| 25.5 Research and development contracts .....                   | 201         | 213     | 162       |
| 25.6 Medical care .....   | 7           | 7       | 7         |
| 25.7 Operation and maintenance of equipment .....               | 458         | 492     | 396       |
| 26.0 Supplies and materials .....                               | 21          | 19      | 19        |
| 31.0 Equipment .....  | 39          | 38      | 38        |

CROSS AGENCY SUPPORT—Continued  
Object Classification—Continued

| Identification code 80-0122-0-1-252             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 32.0 Land and structures .....                  | 61          | 60      | 58        |
| 41.0 Grants, subsidies, and contributions ..... | 22          | 21      | 21        |
| 99.0 Direct obligations .....                   | 2,992       | 3,012   | 2,851     |
| 99.0 Reimbursable obligations .....             | 2,216       | 2,632   | 2,666     |
| 99.9 Total new obligations .....                | 5,208       | 5,644   | 5,517     |

## Employment Summary

| Identification code 80-0122-0-1-252                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 7,771       | 7,921   | 7,879     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 353         | 317     | 321       |

## CONSTRUCTION AND ENVIRONMENTAL COMPLIANCE AND RESTORATION

*For necessary expenses for construction of facilities including repair, rehabilitation, revitalization, and modification of facilities, construction of new facilities and additions to existing facilities, facility planning and design, and restoration, and acquisition or condemnation of real property, as authorized by law, and environmental compliance and restoration, \$609,400,000, to remain available until September 30, 2019: Provided, That hereafter, notwithstanding section 315 of the National Aeronautics and Space Act of 1958 ( 51 U.S.C. 20145) and Public Law 112-55, all proceeds from leases entered into under that section shall be deposited into this account and shall be available for a period of 5 years: Provided further, That each annual budget request shall include an annual estimate of gross receipts and collections and proposed use of all funds collected pursuant to section 315 of the National Aeronautics and Space Act of 1958 ( 51 U.S.C. 20145).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 80-0130-0-1-252   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Direct program activity .....  | 434         | 436     | 535       |
| 0801 Reimbursable program .....   | 4           | 4       | 5         |
| 0900 Total new obligations .....  | 438         | 440     | 540       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                               | 109         | 172     | 139       |
| 1021 Recoveries of prior year unpaid obligations .....                              | 5           |         |           |
| 1050 Unobligated balance (total) .....  | 114         | 172     | 139       |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 390         | 407     | 609       |
| 1121 Appropriations transferred from Exploration account [80-0124] .....            | 58          |         |           |
| 1121 Appropriations transferred from Space Operations account [80-0115] .....       | 41          |         |           |
| 1121 Appropriations transferred from other accts Science [80-0120] .....            | 11          |         |           |
| 1131 Unobligated balance of appropriations permanently reduced .....                | -6          | -5      |           |
| 1160 Appropriation, discretionary (total) .....                                     | 494         | 402     | 609       |
| 1700 Spending authority from offsetting collections, discretionary: Collected ..... | 2           | 5       | 5         |
| 1750 Spending auth from offsetting collections, disc (total) .....                  | 2           | 5       | 5         |
| 1900 Budget authority (total) .....   | 496         | 407     | 614       |
| 1930 Total budgetary resources available .....                                      | 610         | 579     | 753       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                               | 172         | 139     | 213       |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 460  | 510  | 471  |
| 3010 Obligations incurred, unexpired accounts .....               | 438  | 440  | 540  |
| 3011 Obligations incurred, expired accounts .....                 | 1    |      |      |
| 3020 Outlays (gross) .....  | -383 | -479 | -449 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -5   |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 510  | 471  | 562  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 460  | 510  | 471  |
| 3200 Obligated balance, end of year .....                         | 510  | 471  | 562  |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 496 | 407 | 614 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 50  | 74  | 92  |
| 4011 Outlays from discretionary balances .....      | 333 | 405 | 357 |
| 4020 Outlays, gross (total) .....                   | 383 | 479 | 449 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4033 Non-Federal sources .....                      | -2  | -5  | -5  |
| 4180 Budget authority, net (total) .....            | 494 | 402 | 609 |
| 4190 Outlays, net (total) .....                     | 381 | 474 | 444 |

This appropriation provides for NASA's construction and environmental compliance and restoration activities, and allows the agency to use the net proceeds from Enhanced Use Leases for maintenance, capital revitalization, and improvement of real property assets and related personal property at NASA Centers under the authority of section 315 of the National Aeronautics Space Act of 1958 (51 U.S.C. 20145). The costs provide for all of the research; development; operations; design, repair, rehabilitation, modification of facilities, and construction of new facilities; and other general and administrative activities required to execute the programs within this account.

## Object Classification (in millions of dollars)

| Identification code 80-0130-0-1-252                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       |           |
| 25.1 Advisory and assistance services .....                     | 23          | 23      | 28        |
| 25.2 Other services from non-Federal sources .....              | 10          | 10      | 12        |
| 25.3 Other goods and services from Federal sources .....        | 12          | 12      | 15        |
| 25.4 Operation and maintenance of facilities .....              | 110         | 109     | 136       |
| 25.5 Research and development contracts .....                   | 32          | 32      | 40        |
| 25.7 Operation and maintenance of equipment .....               | 4           | 3       | 5         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 32.0 Land and structures .....                                  | 240         | 242     | 295       |
| 99.0 Direct obligations .....                                   | 433         | 433     | 532       |
| 99.0 Reimbursable obligations .....                             | 2           | 4       | 5         |
| 99.5 Below reporting threshold .....                            | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 438         | 440     | 540       |

## SPACE OPERATIONS

*For necessary expenses, not otherwise provided for, in the conduct and support of space operations research and development activities, including research, development, operations, support and services; space flight, spacecraft control and communications activities, including operations, production, and services; maintenance and repair, facility planning and design; program management; personnel and related costs, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901-5902; travel expenses; purchase and hire of passenger motor vehicles; and purchase, lease, charter, maintenance and operation of mission and administrative aircraft, \$3,882,900,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 80-0115-0-1-252                            |  | 2012 actual | 2013 CR | 2014 est. |
|--|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |  |             |         |           |
| 0001   | Direct program activity .....                                    | 4,392       | 4,247   | 3,888     |
| <b>Budgetary Resources:</b>                                    |  |             |         |           |
| Unobligated balance:   |  |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                 | 87          | 62      | 62        |
| 1021   | Recoveries of prior year unpaid obligations .....                | 168         |         |           |
| 1050   | Unobligated balance (total) .....                                | 255         | 62      | 62        |
| Budget authority:  |  |             |         |           |
| Appropriations, discretionary:                                 |  |             |         |           |
| 1100   | Appropriation .....  | 4,234       | 4,260   | 3,883     |
| 1120   | Appropriations transferred to CECR [80-0130] .....               | -41         |         |           |
| 1121   | Appropriations transferred from Space Technology [80-0131] ..... | 10          |         |           |
| 1121   | Appropriations transferred from Exploration [80-0124] ....       | 2           |         |           |
| 1131   | Unobligated balance of appropriations permanently reduced .....  | -12         | -13     |           |
| 1160   | Appropriation, discretionary (total) .....                       | 4,193       | 4,247   | 3,883     |
| Spending authority from offsetting collections, discretionary: |  |             |         |           |
| 1700   | Collected .....  | 8           |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) .....    | 8           |         |           |
| 1900   | Budget authority (total) .....                                   | 4,201       | 4,247   | 3,883     |
| 1930   | Total budgetary resources available .....                        | 4,456       | 4,309   | 3,945     |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 1940   | Unobligated balance expiring .....                               | -2          |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                 | 62          | 62      | 57        |
| <b>Change in obligated balance:</b>                            |  |             |         |           |
| Unpaid obligations:  |  |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                 | 2,018       | 1,757   | 1,745     |
| 3010   | Obligations incurred, unexpired accounts .....                   | 4,392       | 4,247   | 3,888     |
| 3011   | Obligations incurred, expired accounts .....                     | 13          |         |           |
| 3020   | Outlays (gross) .....  | -4,486      | -4,259  | -4,090    |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....     | -168        |         |           |
| 3041   | Recoveries of prior year unpaid obligations, expired .....       | -12         |         |           |
| 3050   | Unpaid obligations, end of year .....                            | 1,757       | 1,745   | 1,543     |
| Uncollected payments:  |  |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....     | -5          |         |           |
| 3071   | Change in uncollected pymts, Fed sources, expired .....          | 5           |         |           |
| Memorandum (non-add) entries:                                  |  |             |         |           |
| 3100   | Obligated balance, start of year .....                           | 2,013       | 1,757   | 1,745     |
| 3200   | Obligated balance, end of year .....                             | 1,757       | 1,745   | 1,543     |
| <b>Budget authority and outlays, net:</b>                      |  |             |         |           |
| Discretionary:   |  |             |         |           |
| 4000   | Budget authority, gross .....                                    | 4,201       | 4,247   | 3,883     |
| Outlays, gross:  |  |             |         |           |
| 4010   | Outlays from new discretionary authority .....                   | 2,647       | 3,185   | 2,912     |
| 4011   | Outlays from discretionary balances .....                        | 1,839       | 1,074   | 1,178     |
| 4020   | Outlays, gross (total) .....                                     | 4,486       | 4,259   | 4,090     |
| Offsets against gross budget authority and outlays:            |  |             |         |           |
| Offsetting collections (collected) from:                       |  |             |         |           |
| 4030   | Federal sources .....  | -3          |         |           |
| 4033   | Non-Federal sources .....  | -12         |         |           |
| 4040   | Offsets against gross budget authority and outlays (total) ....  | -15         |         |           |
| Additional offsets against gross budget authority only:        |  |             |         |           |
| 4052   | Offsetting collections credited to expired accounts .....        | 7           |         |           |
| 4070   | Budget authority, net (discretionary) .....                      | 4,193       | 4,247   | 3,883     |
| 4080   | Outlays, net (discretionary) .....                               | 4,471       | 4,259   | 4,090     |
| 4180   | Budget authority, net (total) .....                              | 4,193       | 4,247   | 3,883     |
| 4190   | Outlays, net (total) .....                                       | 4,471       | 4,259   | 4,090     |

This appropriation provides for the full costs associated with the space operations activities of the agency. The full costs include all labor, travel, procurement, test and fabrication costs to execute these programs, which provide for all of the research; development; operations; salaries and related expenses; and other general and administrative activities supporting the programs within this account. Major themes within the Space Operations account include the International Space Station and Space and

Flight Support. Detailed performance goals associated with these activities are addressed in NASA's detailed budget request.

The Space Shuttle has been retired after helping to build the International Space Station. The International Space Station is a complex of research laboratories in low Earth orbit in which America and its international partners, including Russia, Canada, Europe, and Japan, conduct unique scientific and technological investigations in a microgravity environment. The objective of the Space Station is to support human space exploration and conduct science experiments and technology development unique to the on-orbit attributes of the facility.

Space and Flight Support is comprised of multiple capabilities that provide on-going customer support for a wide range of services. The programs include Space Communications and Navigation, Human Space Flight Operations, Launch Services, Rocket Propulsion Testing, and the multi-user 21st Century Space Launch Complex. They are provided to a wide range of customers including NASA, other U.S. Federal agencies, foreign governments, and commercial customers.

**Object Classification** (in millions of dollars)

| Identification code 80-0115-0-1-252 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| <b>Direct obligations:</b>          |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 279         | 267     | 250       |
| 11.3                                | Other than full-time permanent .....                       | 5           | 5       | 4         |
| 11.5                                | Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9                                | Total personnel compensation .....                         | 285         | 273     | 255       |
| 12.1                                | Civilian personnel benefits .....                          | 80          | 77      | 72        |
| 13.0                                | Benefits for former personnel .....                        | 1           | 1       | 1         |
| 21.0                                | Travel and transportation of persons .....                 | 12          | 12      | 12        |
| 22.0                                | Transportation of things .....                             | 1,163       | 1,119   | 1,024     |
| 23.2                                | Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 5           | 5       | 5         |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....                     | 120         | 106     | 106       |
| 25.2                                | Other services from non-Federal sources .....              | 106         | 94      | 94        |
| 25.3                                | Other goods and services from Federal sources .....        | 46          | 45      | 44        |
| 25.4                                | Operation and maintenance of facilities .....              | 112         | 107     | 102       |
| 25.5                                | Research and development contracts .....                   | 2,133       | 2,094   | 1,869     |
| 25.7                                | Operation and maintenance of equipment .....               | 259         | 248     | 238       |
| 26.0                                | Supplies and materials .....                               | 25          | 22      | 22        |
| 31.0                                | Equipment .....  | 15          | 15      | 15        |
| 32.0                                | Land and structures .....                                  | 8           | 8       | 8         |
| 41.0                                | Grants, subsidies, and contributions .....                 | 19          | 18      | 18        |
| 99.9                                | Total new obligations .....                                | 4,392       | 4,247   | 3,888     |

**Employment Summary**

| Identification code 80-0115-0-1-252 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 2,490       | 2,354   | 2,163     |

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, \$37,000,000, of which \$500,000 shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 80-0109-0-1-252     |                                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------------------------------|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |                                     |             |         |           |
| 0001                                    | Direct program activity .....       | 38          | 39      | 37        |
| 0801                                    | Reimbursable program activity ..... | 1           | 1       | 1         |

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

| Identification code 80-0109-0-1-252                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0900 Total new obligations .....  | 39          | 40      | 38        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     | 1           | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 37          | 39      | 37        |
| 1121 Appropriations transferred from Cross Agency account [80-0122] ..... | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....                           | 38          | 39      | 37        |
| Spending authority from offsetting collections, discretionary:            |             |         |           |
| 1700 Collected .....  | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) .....        | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                       | 39          | 40      | 38        |
| 1930 Total budgetary resources available .....                            | 40          | 41      | 39        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 4           | 5       | 5         |
| 3010 Obligations incurred, unexpired accounts .....                       | 39          | 40      | 38        |
| 3020 Outlays (gross) .....  | -38         | -40     | -38       |
| 3050 Unpaid obligations, end of year .....                                | 5           | 5       | 5         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 4           | 5       | 5         |
| 3200 Obligated balance, end of year .....                                 | 5           | 5       | 5         |
| <b>Budget authority and outlays, net:</b>                                 |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 39          | 40      | 38        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                       | 34          | 36      | 34        |
| 4011 Outlays from discretionary balances .....                            | 4           | 4       | 4         |
| 4020 Outlays, gross (total) .....   | 38          | 40      | 38        |
| Offsets against gross budget authority and outlays:                       |             |         |           |
| Offsetting collections (collected) from:                                  |             |         |           |
| 4030 Federal sources .....  | -1          | -1      | -1        |
| 4180 Budget authority, net (total) .....                                  | 38          | 39      | 37        |
| 4190 Outlays, net (total) .....   | 37          | 39      | 37        |

This appropriation provides for the full costs associated with the operations of the NASA Office of Inspector General. The mission of the Office of Inspector General is to conduct audits and investigations of agency activities to prevent and detect fraud, waste, abuse, and mismanagement. The Inspector General keeps the Administrator and the Congress informed of problems and deficiencies in agency programs and operations.

## Object Classification (in millions of dollars)

| Identification code 80-0109-0-1-252                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 22          | 23      | 24        |
| 12.1 Civilian personnel benefits .....                   | 8           | 8       | 8         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 4           | 4       | 4         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       |           |
| 31.0 Equipment .....                                     | 1           | 1       |           |
| 99.0 Direct obligations .....                            | 37          | 38      | 37        |
| 99.0 Reimbursable obligations .....                      | 1           | 1       | 1         |
| 99.5 Below reporting threshold .....                     | 1           | 1       |           |
| 99.9 Total new obligations .....                         | 39          | 40      | 38        |

## Employment Summary

| Identification code 80-0109-0-1-252                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 198         | 207     | 207       |

|  |   |   |   |
|--|---|---|---|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 6 | 6 | 6 |
|--|---|---|---|

## SCIENCE, AERONAUTICS, AND EXPLORATION

## Program and Financing (in millions of dollars)

| Identification code 80-0114-0-1-999                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Direct program activity .....                                   | 1           | 1       |           |
| 0900 Total new obligations (object class 32.0) .....                 | 1           | 1       |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                |             | 1       |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 2           |         |           |
| 1050 Unobligated balance (total) .....                               | 2           | 1       |           |
| 1930 Total budgetary resources available .....                       | 2           | 1       |           |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 112         | 54      | 40        |
| 3010 Obligations incurred, unexpired accounts .....                  | 1           | 1       |           |
| 3011 Obligations incurred, expired accounts .....                    | 13          |         |           |
| 3020 Outlays (gross) .....   | -49         | -15     | -30       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -2          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | -21         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 54          | 40      | 10        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -13         | -4      | -4        |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 9           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -4          | -4      | -4        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 99          | 50      | 36        |
| 3200 Obligated balance, end of year .....                            | 50          | 36      | 6         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                       | 49          | 15      | 30        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -4          |         |           |
| 4033 Non-Federal sources .....                                       | -1          |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | -5          |         |           |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 5           |         |           |
| 4080 Outlays, net (discretionary) .....                              | 44          | 15      | 30        |
| 4190 Outlays, net (total) .....                                      | 44          | 15      | 30        |

NASA's Science, Aeronautics and Exploration account formerly included Science, Aeronautics Research, Exploration Systems and Cross Agency Support Programs. Beginning in 2009, Science, Aeronautics, Exploration, Education and Cross Agency Support were split into five separate appropriation accounts. The Science, Aeronautics, and Exploration account shows spending from balances prior to the account restructuring.

## HUMAN SPACE FLIGHT

## Program and Financing (in millions of dollars)

| Identification code 80-0111-0-1-252                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4           | 3       |           |
| 3011 Obligations incurred, expired accounts .....     | 4           |         |           |
| 3020 Outlays (gross) .....                            | -5          | -3      |           |
| 3050 Unpaid obligations, end of year .....            | 3           |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 4           | 3       |           |

|   |   |   |       |       |
|---|---|---|-------|-------|
| 3200                                      | Obligated balance, end of year .....      | 3 | ..... | ..... |
| <b>Budget authority and outlays, net:</b> |   |   |       |       |
| Discretionary:                            |   |   |       |       |
| Outlays, gross:                           |   |   |       |       |
| 4011                                      | Outlays from discretionary balances ..... | 5 | 3     | ..... |
| 4190                                      | Outlays, net (total) .....                | 5 | 3     | ..... |

NASA's Human Space Flight account formerly included the International Space Station; Space Shuttle Payload and Expensible Launch Vehicle Support; Human Exploration and Development of Space Investments and Support; Space Communications and Data Systems; and Safety, Mission Assurance and Engineering. Beginning in 2004, Safety, Mission Assurance, and Engineering was allocated as an indirect charge to all programs and all other programs (along with the Crosscutting Technologies portion of the Aerospace Technology Enterprise) were rolled into the Space Flight Capabilities account. In 2005, the Space Flight Capabilities account was renamed the Exploration Capabilities account. In 2009, the Exploration Capabilities account was renamed Space Operations. The Human Space Flight account shows spending from balances prior to the account restructuring.

## SCIENCE, AERONAUTICS AND TECHNOLOGY

## Program and Financing (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 80-0110-0-1-999 |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Budgetary Resources:</b>         |  |             |         |           |
| Unobligated balance:                |  |             |         |           |
| 1000                                | Unobligated balance brought forward, Oct 1 .....             |             | 1       | 1         |
| 1021                                | Recoveries of prior year unpaid obligations .....            | 1           | .....   | .....     |
| 1050                                | Unobligated balance (total) .....                            | 1           | 1       | 1         |
| 1930                                | Total budgetary resources available .....                    | 1           | 1       | 1         |
| Memorandum (non-add) entries:       |  |             |         |           |
| 1941                                | Unexpired unobligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Change in obligated balance:</b> |  |             |         |           |
| Unpaid obligations:                 |  |             |         |           |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....             | 2           | 1       | 1         |
| 3040                                | Recoveries of prior year unpaid obligations, unexpired ..... | -1          | .....   | .....     |
| 3050                                | Unpaid obligations, end of year .....                        | 1           | 1       | 1         |
| Memorandum (non-add) entries:       |  |             |         |           |
| 3100                                | Obligated balance, start of year .....                       | 2           | 1       | 1         |
| 3200                                | Obligated balance, end of year .....                         | 1           | 1       | 1         |

NASA's Science, Aeronautics and Technology account formerly included Space Science, Biological and Physical Research, Earth Science, Aerospace Technology, and Education. Beginning in 2004, Space Science, Biological and Physical Research, Earth Science, the Aeronautics portion of Aerospace Technology, and Education were rolled into the Science, Aeronautics and Exploration account. These programs are now funded by the Aeronautics, Education, Science, and Exploration accounts. The Science, Aeronautics, and Technology account shows spending from balances prior to the account restructuring.

## MISSION SUPPORT

## Program and Financing (in millions of dollars)

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 80-0112-0-1-999 |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Budgetary Resources:</b>         |   |             |         |           |
| Unobligated balance:                |   |             |         |           |
| 1000                                | Unobligated balance brought forward, Oct 1 .....                | 1           | .....   | .....     |
| Budget authority:                   |   |             |         |           |
| Appropriations, discretionary:      |   |             |         |           |
| 1131                                | Unobligated balance of appropriations permanently reduced ..... | -1          | .....   | .....     |

|                                     |  |    |       |       |
|-------------------------------------|--|----|-------|-------|
| 1160                                | Appropriation, discretionary (total) .....       | -1 | ..... | ..... |
| <b>Change in obligated balance:</b> |  |    |       |       |
| Unpaid obligations:                 |  |    |       |       |
| 3000                                | Unpaid obligations, brought forward, Oct 1 ..... | 1  | 1     | 1     |
| 3050                                | Unpaid obligations, end of year .....            | 1  | 1     | 1     |
| Memorandum (non-add) entries:       |  |    |       |       |
| 3100                                | Obligated balance, start of year .....           | 1  | 1     | 1     |
| 3200                                | Obligated balance, end of year .....             | 1  | 1     | 1     |

|   |                                     |    |       |       |
|---|-------------------------------------|----|-------|-------|
| <b>Budget authority and outlays, net:</b> |                                     |    |       |       |
| Discretionary:                            |                                     |    |       |       |
| 4000                                      | Budget authority, gross .....       | -1 | ..... | ..... |
| 4180                                      | Budget authority, net (total) ..... | -1 | ..... | ..... |

NASA's Mission Support account formerly included Research and Program Management and Construction of Facilities. The Mission Support account shows spending from residual construction of facilities balances from prior to 2004.

## WORKING CAPITAL FUND

## Program and Financing (in millions of dollars)

|  |   |             |         |           |
|--|---|-------------|---------|-----------|
| Identification code 80-4546-0-4-252                            |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0801   | Reimbursable program activity .....                             | 275         | 407     | 390       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....                | 5           | 8       | 25        |
| 1021   | Recoveries of prior year unpaid obligations .....               | 1           | 7       | .....     |
| 1050   | Unobligated balance (total) .....                               | 6           | 15      | 25        |
| Budget authority:  |   |             |         |           |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 277         | 417     | 388       |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 277         | 417     | 388       |
| 1930   | Total budgetary resources available .....                       | 283         | 432     | 413       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....                | 8           | 25      | 23        |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 27          | 110     | 131       |
| 3010   | Obligations incurred, unexpired accounts .....                  | 275         | 407     | 390       |
| 3020   | Outlays (gross) .....   | -191        | -379    | -401      |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....    | -1          | -7      | .....     |
| 3050   | Unpaid obligations, end of year .....                           | 110         | 131     | 120       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                          | 27          | 110     | 131       |
| 3200   | Obligated balance, end of year .....                            | 110         | 131     | 120       |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                   | 277         | 417     | 388       |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                  | 186         | 354     | 330       |
| 4011   | Outlays from discretionary balances .....                       | 5           | 25      | 71        |
| 4020   | Outlays, gross (total) .....                                    | 191         | 379     | 401       |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | -266        | -407    | -378      |
| 4033   | Reimbursable program .....                                      | -11         | -10     | -10       |
| 4040   | Offsets against gross budget authority and outlays (total) .... | -277        | -417    | -388      |
| 4080   | Outlays, net (discretionary) .....                              | -86         | -38     | 13        |
| 4190   | Outlays, net (total) .....                                      | -86         | -38     | 13        |

The Working Capital Fund provides goods and services on a reimbursable basis. The Fund consists of three program activities:

The Solutions for Enterprise-wide Procurement program finances, on an agency-wide basis, scientific and engineering workstation procurement.

The Information Technology Infrastructure Integration Program consolidates and centralizes management of NASA information

## WORKING CAPITAL FUND—Continued

technology services in the areas of Tier 1 service desk and ordering, web services and technologies, enterprise business and management applications, integrated network/communications services, end user services, and data center services. This program initiated operations in early FY 2012.

The NASA Shared Services Center performs selected financial management, human resources, information technology, and procurement services for NASA Headquarters and Centers.

Within the NASA Administrative Provisions, an amendment to 51 U.S.C. 30102(c) is proposed to clarify that rebates received by NASA pursuant to government-sponsored and contractor-issued credit card programs (for example, government travel cards and low-dollar procurement purchase cards) may be deposited in the Working Capital Fund at the NASA Shared Services Center, which administers these programs. In a recent audit of the credit card program, the NASA Inspector General concluded that in the absence of such statutory authority, rebates would have to be credited to the appropriation funding the travel or purchase. This conclusion was based on a similar finding and opinion by Department of Treasury's Inspector General for Tax Administration. Enactment of the provisions would eliminate a costly and time-intensive process that largely undercuts the modest financial gain received from the rebate program.

## Object Classification (in millions of dollars)

| Identification code 80-4546-0-4-252                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 13          | 14      | 13        |
| 12.1 Civilian personnel benefits .....                 | 4           | 4       | 4         |
| 21.0 Travel and transportation of persons .....        | 1           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....     | 59          | 89      | 87        |
| 25.4 Operation and maintenance of facilities .....     | 5           | 7       | 6         |
| 25.7 Operation and maintenance of equipment .....      | 191         | 288     | 275       |
| 99.0 Reimbursable obligations .....                    | 273         | 404     | 387       |
| 99.5 Below reporting threshold .....                   | 2           | 3       | 3         |
| 99.9 Total new obligations .....                       | 275         | 407     | 390       |

## Employment Summary

| Identification code 80-4546-0-4-252                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 146         | 150     | 146       |

## Trust Funds

## SCIENCE, SPACE, AND TECHNOLOGY EDUCATION TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 80-8978-0-7-503  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 15          | 15      | 15        |
| Receipts:  |             |         |           |
| 0240 Earnings on Investments, Science, Space and Technology Education Trust Fund ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....   | 16          | 16      | 16        |
| Appropriations:  |             |         |           |
| 0500 Science, Space, and Technology Education Trust Fund .....                         | -1          | -1      | -1        |
| 0799 Balance, end of year .....  | 15          | 15      | 15        |

## Program and Financing (in millions of dollars)

| Identification code 80-8978-0-7-503                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Direct program activity .....                   | 1           | 1       | 1         |
| 0900 Total new obligations (object class 41.0) ..... | 1           | 1       | 1         |

## Budgetary Resources:

|  |   |   |   |
|--|---|---|---|
| Budget authority:                                |   |   |   |
| Appropriations, mandatory:                       |   |   |   |
| 1201 Appropriation (special or trust fund) ..... | 1 | 1 | 1 |
| 1260 Appropriations, mandatory (total) .....     | 1 | 1 | 1 |
| 1930 Total budgetary resources available .....   | 1 | 1 | 1 |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                 |    |    |    |
| 3010 Obligations incurred, unexpired accounts ..... | 1  | 1  | 1  |
| 3020 Outlays (gross) .....                          | -1 | -1 | -1 |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 1 | 1 | 1 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... |   | 1 | 1 |
| 4101 Outlays from mandatory balances .....      | 1 |   |   |
| 4110 Outlays, gross (total) .....               | 1 | 1 | 1 |
| 4180 Budget authority, net (total) .....        | 1 | 1 | 1 |
| 4190 Outlays, net (total) .....                 | 1 | 1 | 1 |

## Memorandum (non-add) entries:

|  |    |    |    |
|--|----|----|----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 15 | 15 | 15 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 15 | 15 | 15 |

## NATIONAL SPACE GRANT PROGRAM

## Program and Financing (in millions of dollars)

| Identification code 80-8977-0-7-252                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Direct program activity .....                    |             | 1       |           |
| 0100 Direct program activities, subtotal .....        |             | 1       |           |
| 0900 Total new obligations (object class 41.0) .....  |             | 1       |           |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       |           |
| 1930 Total budgetary resources available .....        | 1           | 1       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           |         |           |

## Change in obligated balance:

|   |    |    |  |
|---|----|----|--|
| Unpaid obligations:                                   |    |    |  |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1  |    |  |
| 3010 Obligations incurred, unexpired accounts .....   |    | 1  |  |
| 3020 Outlays (gross) .....                            | -1 | -1 |  |
| Memorandum (non-add) entries:                         |    |    |  |
| 3100 Obligated balance, start of year .....           | 1  |    |  |

## Budget authority and outlays, net:

|  |   |   |  |
|--|---|---|--|
| Discretionary:                                 |   |   |  |
| Outlays, gross:                                |   |   |  |
| 4011 Outlays from discretionary balances ..... | 1 | 1 |  |
| 4190 Outlays, net (total) .....                | 1 | 1 |  |

## ADMINISTRATIVE PROVISIONS

*Funds for announced prizes otherwise authorized shall remain available, without fiscal year limitation, until a prize is claimed or the offer is withdrawn.*

*Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Aeronautics and Space Administration in this Act may be transferred between such appropriations, but no such appropriation, except as otherwise specifically provided, shall be increased by more than 10 percent by any such transfers: Provided, That any funds transferred to "Construction and Environmental Compliance and Restoration" for construction activities shall not increase that account by more than 20 percent: Provided further, That balances so transferred shall be*



merged with and available for the same purposes and the same time period as the appropriations to which transferred : Provided further, That any transfer pursuant to this provision shall be treated as a reprogramming of funds under section 505 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.

Section 30102(c) of title 51 of the United States Code, is amended by striking "and" at the end of paragraph (2) and inserting before the period at the end: "; and (4) refunds or rebates received on an on-going basis from a credit card services provider under the National Aeronautics and Space Administration's credit card programs."

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:                                   |             |         |           |
| 80-322000 All Other General Fund Proprietary Receipts Including Budget |             |         |           |
| Clearing Accounts .....  | 3           | 15      | 15        |
| General Fund Offsetting receipts from the public .....                 | 3           | 15      | 15        |
| Intragovernmental payments:  |             |         |           |
| 80-388500 Undistributed Intragovernmental Payments and Receivables     |             |         |           |
| from Cancelled Accounts .....  | -6          | .....   | .....     |
| General Fund Intragovernmental payments .....                          | -6          | .....   | .....     |



# NATIONAL SCIENCE FOUNDATION

## Federal Funds

### RESEARCH AND RELATED ACTIVITIES

*For necessary expenses in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875), and the Act to establish a National Medal of Science (42 U.S.C. 1880–1881); services as authorized by 5 U.S.C. 3109; maintenance and operation of aircraft and purchase of flight services for research support; acquisition of aircraft; and authorized travel; \$6,212,290,000, to remain available until September 30, 2015, of which not to exceed \$520,000,000 shall remain available until expended for polar research and operations support, and for reimbursement to other Federal agencies for operational and science support and logistical and other related activities for the United States Antarctic program: Provided, That receipts for scientific support services and materials furnished by the National Research Centers and other National Science Foundation supported research facilities may be credited to this appropriation.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 49–0100–0–1–999                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Biological Sciences .....                                     | 712         | 712     | 761       |
| 0002 Computer and Information Science and Engineering .....        | 653         | 865     | 950       |
| 0003 Engineering .....   | 825         | 832     | 911       |
| 0004 EPSCoR .....  | 151         |         |           |
| 0005 Geosciences .....   | 885         | 1,328   | 1,394     |
| 0006 Mathematical and Physical Sciences .....                      | 1,309       | 1,310   | 1,386     |
| 0007 Social, Behavioral and Economic Sciences .....                | 254         | 254     | 272       |
| 0008 Office of International Science and Engineering .....         | 50          |         |           |
| 0009 U.S. Polar Research Programs .....                            | 368         |         |           |
| 0010 U.S. Antarctic Logistical Support Activities .....            | 68          |         |           |
| 0011 International and Integrative Activities .....                | 198         | 400     | 542       |
| 0012 Office of Cyberinfrastructure .....                           | 284         |         |           |
| 0013 Arctic Research Commission .....                              | 1           | 1       | 1         |
| 0014 CR Increment .....  |             | 65      |           |
| 0799 Total direct obligations .....                                | 5,758       | 5,767   | 6,217     |
| 0801 Reimbursable programs .....                                   | 97          | 124     | 120       |
| 0900 Total new obligations .....                                   | 5,855       | 5,891   | 6,337     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 7           | 17      |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 85          |         |           |
| 1050 Unobligated balance (total) .....                             | 92          | 17      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 5,719       | 5,754   | 6,212     |
| 1120 Appropriations transferred to other accts [49–0551] .....     | –30         |         |           |
| 1121 Appropriations transferred from other accts [15–0401] ....    |             |         | 5         |
| 1160 Appropriation, discretionary (total) .....                    | 5,689       | 5,754   | 6,217     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 68          | 120     | 120       |
| 1701 Change in uncollected payments, Federal sources .....         | 25          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 93          | 120     | 120       |
| 1900 Budget authority (total) .....                                | 5,782       | 5,874   | 6,337     |
| 1930 Total budgetary resources available .....                     | 5,874       | 5,891   | 6,337     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | –2          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 17          |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 9,586       | 9,507   | 8,706     |
| 3010 Obligations incurred, unexpired accounts .....                | 5,855       | 5,891   | 6,337     |
| 3011 Obligations incurred, expired accounts .....                  | 2           |         |           |
| 3020 Outlays (gross) .....   | –5,813      | –6,692  | –6,026    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –85         |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | –38         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 9,507       | 8,706   | 9,017     |

|   |       |       |       |
|---|-------|-------|-------|
| Uncollected payments:   |       |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –127  | –125  | –125  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –25   |       |       |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 27    |       |       |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –125  | –125  | –125  |
| Memorandum (non-add) entries:                                     |       |       |       |
| 3100 Obligated balance, start of year .....                       | 9,459 | 9,382 | 8,581 |
| 3200 Obligated balance, end of year .....                         | 9,382 | 8,581 | 8,892 |
| <b>Budget authority and outlays, net:</b>                         |       |       |       |
| Discretionary:  |       |       |       |
| 4000 Budget authority, gross .....                                | 5,782 | 5,874 | 6,337 |
| Outlays, gross:   |       |       |       |
| 4010 Outlays from new discretionary authority .....               | 842   | 1,271 | 1,364 |
| 4011 Outlays from discretionary balances .....                    | 4,971 | 5,421 | 4,662 |
| 4020 Outlays, gross (total) .....                                 | 5,813 | 6,692 | 6,026 |
| Offsets against gross budget authority and outlays:               |       |       |       |
| Offsetting collections (collected) from:                          |       |       |       |
| 4030 Federal sources .....  | –93   | –120  | –120  |
| Additional offsets against gross budget authority only:           |       |       |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....    | –25   |       |       |
| 4052 Offsetting collections credited to expired accounts .....    | 25    |       |       |
| 4070 Budget authority, net (discretionary) .....                  | 5,689 | 5,754 | 6,217 |
| 4080 Outlays, net (discretionary) .....                           | 5,720 | 6,572 | 5,906 |
| 4180 Budget authority, net (total) .....                          | 5,689 | 5,754 | 6,217 |
| 4190 Outlays, net (total) .....                                   | 5,720 | 6,572 | 5,906 |

The Research and Related Activities appropriation enables the United States to provide leadership and promote progress across the expanding frontiers of scientific and engineering research and education.

The major research program activities of NSF are:

**Biological Sciences.**—This activity promotes scientific progress in biology through support of research on all levels, including molecules, cells, organisms, and ecosystems. This activity also supports a comprehensive research initiative on plant genomes, including research on economically significant crops.

**Computer and Information Science and Engineering.**—This activity supports investigator initiated research in all areas of computer and information science and engineering, helps develop and maintain cutting-edge national computational and information infrastructure for research and education generally, and contributes to the education and training of the next generation of computer and computational engineers.

**Engineering.**—Research supported by this activity aims to increase U.S. engineering capability and strength, and focus that capability and strength on areas that are relevant to national problems and long-term needs. This activity also includes small business innovation research.

**Geosciences.**—This activity supports research and associated infrastructure to advance knowledge of the properties and dynamics of the planet on which we live. Research includes understanding the causes and implications of climate change, as well as disruptive processes such as earthquakes and storms. Additionally, this activity supports Arctic and Antarctic research and operational science support and other related activities for United States polar research programs, including the funding to reimburse Federal agencies for logistical and other related activities supported by the United States Antarctic Program.

**Mathematical and Physical Sciences.**—Research in this activity is directed at increasing understanding of natural laws and phenomena across the astronomical sciences, chemistry, materials sciences, mathematical sciences, and physics. This fundamental research provides the long-term underpinnings for advances in areas such as sustainable energy and economic competitiveness.

## RESEARCH AND RELATED ACTIVITIES—Continued

*Social, Behavioral, and Economic Sciences.*—This activity supports research, education, and infrastructure in the social, behavioral, cognitive, and economic sciences and funds the collection and dissemination of statistics on the science and engineering enterprise.

*Office of International and Integrative Activities.*—This activity supports emerging cross disciplinary research efforts; major research instrumentation; and promotes an integrated strategy for international science and engineering that complements and enhances NSF's broader research and education goals and facilitates international collaboration. This activity also provides support for the Science and Technology Policy Institute. The Experimental Program to Stimulate Competitive Research broadens participation of States and regions in science and engineering by helping institutions expand their research capacity and competitiveness.

The *United States Arctic Research Commission* promotes Arctic research and recommends national Arctic research policies to guide Federal agencies in developing and implementing their research programs in the Arctic region.

## Object Classification (in millions of dollars)

| Identification code 49–0100–0–1–999                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 21.0 Travel and transportation of persons .....          | 18          | 17      | 17        |
| 25.1 Advisory and assistance services .....              | 99          | 99      | 95        |
| 25.2 Other services from non-Federal sources .....       | 7           | 7       | 7         |
| 25.3 Other goods and services from Federal sources ..... | 38          | 38      | 38        |
| 25.4 Operation and maintenance of facilities .....       | 338         | 339     | 343       |
| 25.5 Research and development contracts .....            | 6           | 6       | 6         |
| 26.0 Supplies and materials .....                        | 2           | 2       | 2         |
| 31.0 Equipment .....                                     | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 5,249       | 5,258   | 5,708     |
| 99.0 Direct obligations .....                            | 5,758       | 5,767   | 6,217     |
| 99.0 Reimbursable obligations .....                      | 97          | 124     | 120       |
| 99.9 Total new obligations .....                         | 5,855       | 5,891   | 6,337     |

## Employment Summary

| Identification code 49–0100–0–1–999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 3           | 3       | 3         |

## MAJOR RESEARCH EQUIPMENT AND FACILITIES CONSTRUCTION

For necessary expenses for the acquisition, construction, commissioning, and upgrading of major research equipment, facilities, and other such capital assets pursuant to the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875), including authorized travel, \$210,120,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 49–0551–0–1–251                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Major Research Equipment and Facilities Construction ..... | 198         | 169     | 210       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 1           | 1       |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 1           |         |           |
| 1050 Unobligated balance (total) .....                          | 2           | 1       |           |

## Budget authority:

|   |     |     |     |
|---|-----|-----|-----|
| 1100 Appropriations, discretionary:                             |     |     |     |
| 1121 Appropriation .....  | 167 | 168 | 210 |
| 1121 Appropriations transferred from other accts [49–0100] .... | 30  |     |     |
| 1160 Appropriation, discretionary (total) .....                 | 197 | 168 | 210 |
| 1930 Total budgetary resources available .....                  | 199 | 169 | 210 |
| <b>Memorandum (non-add) entries:</b>                            |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....           | 1   |     |     |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 494  | 415  | 232  |
| 3010 Obligations incurred, unexpired accounts .....               | 198  | 169  | 210  |
| 3020 Outlays (gross) .....  | –276 | –352 | –135 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –1   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 415  | 232  | 307  |
| <b>Memorandum (non-add) entries:</b>                              |      |      |      |
| 3100 Obligated balance, start of year .....                       | 494  | 415  | 232  |
| 3200 Obligated balance, end of year .....                         | 415  | 232  | 307  |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 197 | 168 | 210 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... |     | 10  | 13  |
| 4011 Outlays from discretionary balances .....      | 276 | 342 | 122 |
| 4020 Outlays, gross (total) .....                   | 276 | 352 | 135 |
| 4180 Budget authority, net (total) .....            | 197 | 168 | 210 |
| 4190 Outlays, net (total) .....                     | 276 | 352 | 135 |

The Major Research Equipment and Facilities Construction activity supports the acquisition, construction, and commissioning of unique national research platforms and major research facilities and equipment. Performance of each construction project is measured against an established baseline at regular intervals and at major milestones.

## Object Classification (in millions of dollars)

| Identification code 49–0551–0–1–251             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                             |             |         |           |
| 25.5 Research and development contracts .....   | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions ..... | 197         | 168     | 209       |
| 99.9 Total new obligations .....                | 198         | 169     | 210       |

## AGENCY OPERATIONS AND AWARD MANAGEMENT

For agency operations and award management necessary in carrying out the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875); services authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; not to exceed \$8,280 for official reception and representation expenses; uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; rental of conference rooms in the District of Columbia; and reimbursement of the Department of Homeland Security for security guard services; \$304,290,000: Provided, That contracts may be entered into under this heading in fiscal year 2014 for maintenance and operation of facilities, and for other services, to be provided during the next fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 49–0180–0–1–251               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>           |             |         |           |
| 0001 Agency Operations and Award Management ..... | 299         | 301     | 304       |
| 0801 Reimbursable programs .....                  | 6           | 10      | 10        |
| 0900 Total new obligations .....                  | 305         | 311     | 314       |

| <b>Budgetary Resources:</b>         |  |      |      |      |
|-------------------------------------|--|------|------|------|
| Budget authority:                   |  |      |      |      |
| 1100                                | Appropriations, discretionary:                                 |      |      |      |
|                                     | Appropriation .....  | 299  | 301  | 304  |
| 1160                                | Appropriation, discretionary (total) .....                     | 299  | 301  | 304  |
| 1700                                | Spending authority from offsetting collections, discretionary: |      |      |      |
|                                     | Collected .....  | 6    | 10   | 10   |
| 1750                                | Spending auth from offsetting collections, disc (total) .....  | 6    | 10   | 10   |
| 1900                                | Budget authority (total) .....                                 | 305  | 311  | 314  |
| 1930                                | Total budgetary resources available .....                      | 305  | 311  | 314  |
| <b>Change in obligated balance:</b> |  |      |      |      |
| Unpaid obligations:                 |  |      |      |      |
| 3000                                | Unpaid obligations, brought forward, Oct 1 .....               | 73   | 73   | 58   |
| 3010                                | Obligations incurred, unexpired accounts .....                 | 305  | 311  | 314  |
| 3020                                | Outlays (gross) .....  | -302 | -326 | -315 |
| 3041                                | Recoveries of prior year unpaid obligations, expired .....     | -3   |      |      |
| 3050                                | Unpaid obligations, end of year .....                          | 73   | 58   | 57   |
| Uncollected payments:               |  |      |      |      |
| 3060                                | Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -1   |      |      |
| 3071                                | Change in uncollected pymts, Fed sources, expired .....        | 1    |      |      |
| Memorandum (non-add) entries:       |  |      |      |      |
| 3100                                | Obligated balance, start of year .....                         | 72   | 73   | 58   |
| 3200                                | Obligated balance, end of year .....                           | 73   | 58   | 57   |

| <b>Budget authority and outlays, net:</b>               |   |     |     |     |
|---|---|-----|-----|-----|
| Discretionary:  |   |     |     |     |
| 4000  | Budget authority, gross .....                             | 305 | 311 | 314 |
| Outlays, gross:   |   |     |     |     |
| 4010  | Outlays from new discretionary authority .....            | 245 | 256 | 260 |
| 4011  | Outlays from discretionary balances .....                 | 57  | 70  | 55  |
| 4020  | Outlays, gross (total) .....                              | 302 | 326 | 315 |
| Offsets against gross budget authority and outlays:     |   |     |     |     |
| Offsetting collections (collected) from:                |   |     |     |     |
| 4030  | Federal sources .....                                     | -7  | -10 | -10 |
| Additional offsets against gross budget authority only: |   |     |     |     |
| 4052  | Offsetting collections credited to expired accounts ..... | 1   |     |     |
| 4070  | Budget authority, net (discretionary) .....               | 299 | 301 | 304 |
| 4080  | Outlays, net (discretionary) .....                        | 295 | 316 | 305 |
| 4180  | Budget authority, net (total) .....                       | 299 | 301 | 304 |
| 4190  | Outlays, net (total) .....                                | 295 | 316 | 305 |

This account funds NSF's scientific, professional, and administrative workforce, the physical and technological infrastructure necessary for a productive, safe and secure work environment, and the essential business operations critical to NSF's administrative processes.

#### Object Classification (in millions of dollars)

| Identification code 49-0180-0-1-251 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 143         | 146     | 150       |
| 11.3                                | Other than full-time permanent .....                       | 13          | 13      | 14        |
| 11.5                                | Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.8                                | Special personal services payments .....                   |             | 1       | 1         |
|                                     |  |             |         |           |
| 11.9                                | Total personnel compensation .....                         | 158         | 162     | 167       |
| 12.1                                | Civilian personnel benefits .....                          | 42          | 42      | 43        |
| 21.0                                | Travel and transportation of persons .....                 | 5           | 6       | 5         |
| 23.1                                | Rental payments .....                                      | 26          | 27      | 31        |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 3           | 2       | 2         |
| 25.1                                | Advisory and assistance services .....                     | 43          | 44      | 36        |
| 25.2                                | Other services from non-Federal sources .....              | 9           | 8       | 8         |
| 25.3                                | Other goods and services from Federal sources .....        | 7           | 2       | 6         |
| 26.0                                | Supplies and materials .....                               | 4           | 4       | 4         |
| 31.0                                | Equipment .....  | 2           | 4       | 2         |
|                                     |  |             |         |           |
| 99.0                                | Direct obligations .....                                   | 299         | 301     | 304       |
| 99.0                                | Reimbursable obligations .....                             | 6           | 10      | 10        |
|                                     |  |             |         |           |
| 99.9                                | Total new obligations .....                                | 305         | 311     | 314       |

#### Employment Summary

| Identification code 49-0180-0-1-251                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,320       | 1,323   | 1,352     |

#### OFFICE OF THE NATIONAL SCIENCE BOARD

*For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, and the employment of experts and consultants under section 3109 of title 5, United States Code) involved in carrying out section 4 of the National Science Foundation Act of 1950, as amended (42 U.S.C. 1863) and Public Law 86-209 (42 U.S.C. 1880 et seq.), \$4,470,000: Provided, That not to exceed \$2,500 shall be available for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 49-0350-0-1-251     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0001                                    | Direct program activity .....                    | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1100                                    | Appropriation .....                              | 4           | 4       | 4         |
| 1160                                    | Appropriation, discretionary (total) .....       | 4           | 4       | 4         |
| 1930                                    | Total budgetary resources available .....        | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>     |  |             |         |           |
| Unpaid obligations:                     |  |             |         |           |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 2         |
| 3010                                    | Obligations incurred, unexpired accounts .....   | 4           | 4       | 4         |
| 3020                                    | Outlays (gross) .....                            | -4          | -3      | -4        |
| 3050                                    | Unpaid obligations, end of year .....            | 1           | 2       | 2         |
| Memorandum (non-add) entries:           |  |             |         |           |
| 3100                                    | Obligated balance, start of year .....           | 1           | 1       | 2         |
| 3200                                    | Obligated balance, end of year .....             | 1           | 2       | 2         |

This appropriation provides policy-making and related responsibilities for NSF and provides guidance on significant national policy issues in science and engineering research and education, as required by law.

#### Object Classification (in millions of dollars)

| Identification code 49-0350-0-1-251 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 12.1                                | Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....            | 1           | 1       | 1         |
| 99.9                                | Total new obligations .....                       | 4           | 4       | 4         |

## OFFICE OF THE NATIONAL SCIENCE BOARD—Continued

## Employment Summary

| Identification code 49–0350–0–1–251                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 17          | 18      | 19        |

## OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General as authorized by the Inspector General Act of 1978, as amended, \$14,320,000, to remain available until September 30, 2014, of which not to exceed \$400,000, shall remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 49–0300–0–1–251                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 15          | 15      | 14        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           | 1       | .....     |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 14          | 14      | 14        |
| 1160 Appropriation, discretionary (total) .....       | 14          | 14      | 14        |
| 1930 Total budgetary resources available .....        | 16          | 15      | 14        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3           | 3       | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 15          | 15      | 14        |
| 3020 Outlays (gross) .....                            | –15         | –14     | –13       |
| 3050 Unpaid obligations, end of year .....            | 3           | 4       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 3           | 3       | 4         |
| 3200 Obligated balance, end of year .....             | 3           | 4       | 5         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 14          | 14      | 14        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 12          | 11      | 11        |
| 4011 Outlays from discretionary balances .....        | 3           | 3       | 2         |
| 4020 Outlays, gross (total) .....                     | 15          | 14      | 13        |
| 4180 Budget authority, net (total) .....              | 14          | 14      | 14        |
| 4190 Outlays, net (total) .....                       | 15          | 14      | 13        |

This appropriation provides agency-wide audit and investigative functions to identify and correct management and administrative deficiencies which create conditions for existing or potential instances of fraud, waste, and mismanagement consistent with the Inspector General Act of 1978, as amended (5 U.S.C. App. 3).

## Object Classification (in millions of dollars)

| Identification code 49–0300–0–1–251                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 9           | 9       | 9         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....     | 4           | 4       | 3         |
| 99.9 Total new obligations .....                       | 15          | 15      | 14        |

## Employment Summary

| Identification code 49–0300–0–1–251                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 78          | 78      | 78        |

## EDUCATION AND HUMAN RESOURCES

*For necessary expenses in carrying out science, mathematics and engineering education and human resources programs and activities pursuant to the National Science Foundation Act of 1950, as amended (42 U.S.C. 1861–1875), including services as authorized by 5 U.S.C. 3109, authorized travel, and rental of conference rooms in the District of Columbia, \$880,290,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 49–0106–0–1–251   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Undergraduate education .....  | 304         | .....   | .....     |
| 0002 Graduate education .....   | 168         | .....   | .....     |
| 0003 Human resource development .....   | 124         | .....   | .....     |
| 0004 Research on learning in formal and informal settings .....                     | 235         | .....   | .....     |
| 0005 Education and Human Resources .....  | .....       | 835     | 880       |
| 0091 Subtotal Appropriated Activities .....   | 831         | 835     | 880       |
| Mandatory H-1B Immigrant Petitioner Fees Activities:                                |             |         |           |
| 0100 Mandatory H-1B Immigrant Petitioner Activities .....                           | 831         | 835     | 880       |
| 0101 Low income scholarship program .....   | 73          | .....   | .....     |
| 0102 ITEST grants for mathematics, science, or engineering enrichment courses ..... | 21          | .....   | .....     |
| 0103 Mandatory H-1B Immigrant Petitioner Activities .....                           | .....       | 199     | 100       |
| 0191 Subtotal Mandatory H-1B Non-Immigrant Petitioner Fee Activities .....          | 94          | 199     | 100       |
| 0200 Total direct obligations .....   | 925         | 1,034   | 980       |
| 0799 Total direct obligations .....   | 925         | 1,034   | 980       |
| 0801 Reimbursable programs .....  | 4           | 19      | 15        |
| 0900 Total new obligations .....  | 929         | 1,053   | 995       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                               | 65          | 104     | .....     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                     | 4           | 5       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....                              | 6           | .....   | .....     |
| 1050 Unobligated balance (total) .....  | 71          | 104     | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 829         | 834     | 880       |
| 1120 Appropriations transferred to other accts [49–0106] .....                      | –55         | .....   | .....     |
| 1121 Appropriations transferred from other accts [49–0106] .....                    | 55          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                                     | 829         | 834     | 880       |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                                    | 129         | 100     | 100       |
| 1260 Appropriations, mandatory (total) .....  | 129         | 100     | 100       |
| Spending authority from offsetting collections, discretionary:                      |             |         |           |
| 1700 Collected .....  | 3           | 15      | 15        |
| 1701 Change in uncollected payments, Federal sources .....                          | 1           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) .....                  | 4           | 15      | 15        |
| 1900 Budget authority (total) .....   | 962         | 949     | 995       |
| 1930 Total budgetary resources available .....                                      | 1,033       | 1,053   | 995       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                               | 104         | .....   | .....     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                               | 1,934       | 1,897   | 1,856     |
| 3010 Obligations incurred, unexpired accounts .....                                 | 929         | 1,053   | 995       |
| 3011 Obligations incurred, expired accounts .....                                   | 1           | .....   | .....     |
| 3020 Outlays (gross) .....  | –948        | –1,094  | –1,012    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                   | –6          | .....   | .....     |

|   |  |       |       |       |
|---|--|-------|-------|-------|
| 3041                                      | Recoveries of prior year unpaid obligations, expired .....     | -13   |       |       |
| 3050                                      | Unpaid obligations, end of year .....                          | 1,897 | 1,856 | 1,839 |
|   | Uncollected payments:  |       |       |       |
| 3060                                      | Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -12   | -11   | -11   |
| 3070                                      | Change in uncollected pymts, Fed sources, unexpired .....      | -1    |       |       |
| 3071                                      | Change in uncollected pymts, Fed sources, expired .....        | 2     |       |       |
| 3090                                      | Uncollected pymts, Fed sources, end of year .....              | -11   | -11   | -11   |
|   | Memorandum (non-add) entries:                                  |       |       |       |
| 3100                                      | Obligated balance, start of year .....                         | 1,922 | 1,886 | 1,845 |
| 3200                                      | Obligated balance, end of year .....                           | 1,886 | 1,845 | 1,828 |
| <b>Budget authority and outlays, net:</b> |  |       |       |       |
|   | Discretionary:   |       |       |       |
| 4000                                      | Budget authority, gross .....                                  | 833   | 849   | 895   |
|   | Outlays, gross:  |       |       |       |
| 4010                                      | Outlays from new discretionary authority .....                 | 91    | 131   | 139   |
| 4011                                      | Outlays from discretionary balances .....                      | 748   | 828   | 752   |
| 4020                                      | Outlays, gross (total) .....                                   | 839   | 959   | 891   |
|   | Offsets against gross budget authority and outlays:            |       |       |       |
|   | Offsetting collections (collected) from:                       |       |       |       |
| 4030                                      | Federal sources .....  | -5    | -15   | -15   |
|   | Additional offsets against gross budget authority only:        |       |       |       |
| 4050                                      | Change in uncollected pymts, Fed sources, unexpired .....      | -1    |       |       |
| 4052                                      | Offsetting collections credited to expired accounts .....      | 2     |       |       |
| 4060                                      | Additional offsets against budget authority only (total) ..... | 1     |       |       |
| 4070                                      | Budget authority, net (discretionary) .....                    | 829   | 834   | 880   |
| 4080                                      | Outlays, net (discretionary) .....                             | 834   | 944   | 876   |
|   | Mandatory:   |       |       |       |
| 4090                                      | Budget authority, gross .....                                  | 129   | 100   | 100   |
|   | Outlays, gross:  |       |       |       |
| 4100                                      | Outlays from new mandatory authority .....                     |       | 11    | 11    |
| 4101                                      | Outlays from mandatory balances .....                          | 109   | 124   | 110   |
| 4110                                      | Outlays, gross (total) .....                                   | 109   | 135   | 121   |
| 4180                                      | Budget authority, net (total) .....                            | 958   | 934   | 980   |
| 4190                                      | Outlays, net (total) .....                                     | 943   | 1,079 | 997   |

The Education and Human Resources (EHR) appropriation funds and manages a comprehensive set of programs that further NSF's goals of ensuring a diverse, globally competitive U.S. science, technology, engineering, and mathematics (STEM) workforce, as well as a scientifically literate population. To advance those goals, EHR collaborates with other NSF research units, federal agencies, and promotes public-private partnerships. EHR supports research on STEM teaching and learning to provide the evidence base for improvements in education at all levels in the STEM disciplines. Supporting development and effective implementation of new learning technologies is also a priority. EHRs pre-K-12 education-research programs, for example, develop and test new instruction materials for students and teachers, which incorporate the latest advances in teaching, learning, and education technologies. STEM teacher-education opportunities occur throughout the full continuum, from pre-service and in-service, through life-long learning. Research programs at the undergraduate level improve curricula, strengthen laboratory courses, enhance faculty effectiveness and lead education reforms in STEM disciplines. Advanced technological education programs strengthen student preparation for the high-technology workforce. Support of graduate-level STEM education primarily includes fellowships and traineeships to sustain U.S. leadership in global science and technology. All EHR programs aim to broaden participation of groups underrepresented in STEM fields by, for example, improving infrastructure and academic programs at minority-serving institutions. STEM-education evaluation activities ensure accountability by developing indicators that measure program impact and informing the education community of best practices and other relevant findings. EHR activities also include programs supported by H-1B non-immigrant visa fees, which provide undergraduate and graduate scholarships in STEM disciplines, improve educational opportunities for students, and provide research opportunities for STEM teachers and students.

**Object Classification** (in millions of dollars)

| Identification code 49-0106-0-1-251                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 21.0 Travel and transportation of persons .....          | 4           | 4       | 4         |
| 25.1 Advisory and assistance services .....              | 27          | 27      | 27        |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 891         | 1,000   | 946       |
| 99.0 Direct obligations .....                            | 925         | 1,034   | 980       |
| 99.0 Reimbursable obligations .....                      | 4           | 19      | 15        |
| 99.9 Total new obligations .....                         | 929         | 1,053   | 995       |

**Trust Funds****DONATIONS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 49-8960-0-7-251               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                 |             |         |           |
| <b>Receipts:</b>                                  |             |         |           |
| 0220 Donations, National Science Foundation ..... | 47          | 25      | 25        |
| 0400 Total: Balances and collections .....        | 47          | 25      | 25        |
| <b>Appropriations:</b>                            |             |         |           |
| 0500 Donations .....                              | -47         | -25     | -25       |
| 0799 Balance, end of year .....                   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 49-8960-0-7-251                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Atacama Large Millimeter Array .....             | 19          | 19      | 10        |
| 0002 Gemini Telescope .....                           | 1           | 40      | 15        |
| 0003 B&M Gates Foundation .....                       | 5           | 7       |           |
| 0004 US Civilian Research .....                       | 32          |         |           |
| 0005 Direct program activity .....                    | 1           |         |           |
| 0900 Total new obligations .....                      | 58          | 66      | 25        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 52          | 41      |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 47          | 25      | 25        |
| 1260 Appropriations, mandatory (total) .....          | 47          | 25      | 25        |
| 1930 Total budgetary resources available .....        | 99          | 66      | 25        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 41          |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 46          | 53      | 64        |
| 3010 Obligations incurred, unexpired accounts .....   | 58          | 66      | 25        |
| 3020 Outlays (gross) .....                            | -51         | -55     | -31       |
| 3050 Unpaid obligations, end of year .....            | 53          | 64      | 58        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 46          | 53      | 64        |
| 3200 Obligated balance, end of year .....             | 53          | 64      | 58        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 47          | 25      | 25        |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 15      | 15        |
| 4101 Outlays from mandatory balances .....            | 51          | 40      | 16        |
| 4110 Outlays, gross (total) .....                     | 51          | 55      | 31        |
| 4180 Budget authority, net (total) .....              | 47          | 25      | 25        |
| 4190 Outlays, net (total) .....                       | 51          | 55      | 31        |

This account consists of contributions from foreign governments, organizations, and individuals to fund various cooperative efforts

DONATIONS—Continued

in science, research, and education. These efforts include major international projects, such as operation of the Gemini telescopes, and the NSF ocean drilling program. Other smaller activities supported include cooperative research, joint seminars, exchange of senior scientists, short-term research development visits, international conferences, and support for certain special functions of NSF and the National Science Board. These funds are not otherwise available.

| Object Classification (in millions of dollars)     |             |         |           |
|--|-------------|---------|-----------|
| Identification code 49–8960–0–7–251                | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                                |             |         |           |
| 25.4 Operation and maintenance of facilities ..... | 32          | 32      | 16        |
| 41.0 Grants, subsidies, and contributions .....    | 26          | 34      | 9         |
| 99.9 Total new obligations .....                   | 58          | 66      | 25        |

ADMINISTRATIVE PROVISION

*Not to exceed 5 percent of any appropriation made available for the current fiscal year for the National Science Foundation in this Act may be transferred between such appropriations, but no such appropriation shall be increased by more than 15 percent by any such transfers. Any transfer pursuant to this section shall be treated as a reprogramming of funds under section 504 of this Act and shall not be available for obligation except in compliance with the procedures set forth in that section.*

| GENERAL FUND RECEIPT ACCOUNTS   |             |         |           |
|---|-------------|---------|-----------|
| (in millions of dollars)  |             |         |           |
|   | 2012 actual | 2013 CR | 2014 est. |
| Offsetting receipts from the public:                                  |             |         |           |
| 49–32200 All Other General Fund Proprietary Receipts Including Budget |             |         |           |
| Clearing Accounts .....   | 2           | 2       | 2         |
| General Fund Offsetting receipts from the public .....                | 2           | 2       | 2         |



# OFFICE OF PERSONNEL MANAGEMENT

## Federal Funds

### SALARIES AND EXPENSES

(INCLUDING TRANSFER OF TRUST FUNDS)

For necessary expenses to carry out functions of the Office of Personnel Management (OPM) pursuant to Reorganization Plan Numbered 2 of 1978 and the Civil Service Reform Act of 1978, including services as authorized by 5 U.S.C. 3109; medical examinations performed for veterans by private physicians on a fee basis; rental of conference rooms in the District of Columbia and elsewhere; hire of passenger motor vehicles; not to exceed \$2,500 for official reception and representation expenses; advances for reimbursements to applicable funds of OPM and the Federal Bureau of Investigation for expenses incurred under Executive Order No. 10422 of January 9, 1953, as amended; and payment of per diem and/or subsistence allowances to employees where Voting Rights Act activities require an employee to remain overnight at his or her post of duty, \$95,757,000, of which \$5,704,000 shall remain available until expended for the Enterprise Human Resources Integration project, of which \$642,000 may be for strengthening the capacity and capabilities of the acquisition workforce (as defined by the Office of Federal Procurement Policy Act, as amended (41 U.S.C. 4001 et seq.)), including the recruitment, hiring, training, and retention of such workforce and information technology in support of acquisition workforce effectiveness or for management solutions to improve acquisition management, and of which \$1,345,000 shall remain available until expended for the Human Resources Line of Business project; and in addition, \$118,578,000 for administrative expenses, to be transferred from the appropriate trust funds of OPM without regard to other statutes, including direct procurement of printed materials, for the retirement and insurance programs, of which \$2,600,000 shall remain available until expended for a retirement case management system: Provided, That the provisions of this appropriation shall not affect the authority to use applicable trust funds as provided by sections 8348(a)(1)(B), and 9004(f)(2)(A) of title 5, United States Code: Provided further, That no part of this appropriation shall be available for salaries and expenses of the Legal Examining Unit of OPM established pursuant to Executive Order No. 9358 of July 1, 1943, or any successor unit of like purpose: Provided further, That the President's Commission on White House Fellows, established by Executive Order No. 11183 of October 3, 1964, may, during fiscal year 2014, accept donations of money, property, and personal services: Provided further, That such donations, including those from prior years, may be used for the development of publicity materials to provide information about the White House Fellows, except that no such donations shall be accepted for travel or reimbursement of travel expenses, or for the salaries of employees of such Commission.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 24–0100–0–1–805                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Employee Services .....                          | 30          | 31      | 29        |
| 0002 Merit System Audit & Compliance .....            | 13          | 14      | 13        |
| 0003 Office of the Chief Financial Officer .....      | 17          | 3       | 3         |
| 0004 Office of the Chief Information Officer .....    | 26          | 13      | 8         |
| 0005 Executive Services .....                         | 28          | 23      | 17        |
| 0006 Planning & Policy Analysis .....                 | 5           | 9       | 12        |
| 0007 Health and Insurance .....                       | 1           | 6       | 13        |
| 0100 Total direct program .....                       | 120         | 99      | 95        |
| 0799 Total direct obligations .....                   | 120         | 99      | 95        |
| 0801 Trust Fund activity .....                        | 235         | 114     | 119       |
| 0900 Total new obligations .....                      | 355         | 213     | 214       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 6           | 6       | 6         |

|   |      |      |      |
|---|------|------|------|
| Budget authority:   |      |      |      |
| Appropriations, discretionary:                                      |      |      |      |
| 1100 Appropriation .....  | 98   | 99   | 96   |
| 1160 Appropriation, discretionary (total) .....                     | 98   | 99   | 96   |
| Spending authority from offsetting collections, discretionary:      |      |      |      |
| 1700 Collected .....  | 228  | 114  | 119  |
| 1701 Change in uncollected payments, Federal sources .....          | 43   |      |      |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 271  | 114  | 119  |
| 1900 Budget authority (total) .....                                 | 369  | 213  | 215  |
| 1930 Total budgetary resources available .....                      | 375  | 219  | 221  |
| Memorandum (non-add) entries:                                       |      |      |      |
| 1940 Unobligated balance expiring .....                             | –14  |      |      |
| 1941 Unexpired unobligated balance, end of year .....               | 6    | 6    | 7    |
| <b>Change in obligated balance:</b>                                 |      |      |      |
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 167  | 137  | 129  |
| 3010 Obligations incurred, unexpired accounts .....                 | 355  | 213  | 214  |
| 3011 Obligations incurred, expired accounts .....                   | 2    |      |      |
| 3020 Outlays (gross) .....  | –372 | –221 | –236 |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | –15  |      |      |
| 3050 Unpaid obligations, end of year .....                          | 137  | 129  | 107  |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –110 | –116 | –116 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –43  |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 37   |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –116 | –116 | –116 |
| Memorandum (non-add) entries:                                       |      |      |      |
| 3100 Obligated balance, start of year .....                         | 57   | 21   | 13   |
| 3200 Obligated balance, end of year .....                           | 21   | 13   | –9   |
| <b>Budget authority and outlays, net:</b>                           |      |      |      |
| Discretionary:  |      |      |      |
| 4000 Budget authority, gross .....                                  | 369  | 213  | 215  |
| Outlays, gross:   |      |      |      |
| 4010 Outlays from new discretionary authority .....                 | 277  | 200  | 203  |
| 4011 Outlays from discretionary balances .....                      | 95   | 21   | 33   |
| 4020 Outlays, gross (total) .....                                   | 372  | 221  | 236  |
| Offsets against gross budget authority and outlays:                 |      |      |      |
| Offsetting collections (collected) from:                            |      |      |      |
| 4030 Federal sources .....  | –254 | –114 | –119 |
| Additional offsets against gross budget authority only:             |      |      |      |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | –43  |      |      |
| 4052 Offsetting collections credited to expired accounts .....      | 26   |      |      |
| 4060 Additional offsets against budget authority only (total) ..... | –17  |      |      |
| 4070 Budget authority, net (discretionary) .....                    | 98   | 99   | 96   |
| 4080 Outlays, net (discretionary) .....                             | 118  | 107  | 117  |
| 4180 Budget authority, net (total) .....                            | 98   | 99   | 96   |
| 4190 Outlays, net (total) .....                                     | 118  | 107  | 117  |

The Office of Personnel Management's (OPM) mission is to help agencies build an effective Federal civilian workforce based on merit system principles. OPM leads Federal agencies in the strategic management of their human resources, proposes and implements human resources management policy, and provides agencies with ongoing advice and technical assistance to implement these policies and initiatives. OPM also supports veterans' preference in Federal hiring and manages the process for personnel security and background checks for suitability and national security clearances. OPM continues to honor the Government's commitment to employees by managing the trust funds that support the retirement and insurance benefits they earn, and delivering excellent benefit services and support to civil servants both during and after their Federal careers. The 2014 Budget will permit OPM to pursue long-term human resources strategies that deliver results and enhances the values of the civil service.

The functions and objectives of the OPM major organizations are:

**Employee Services.**—Provides leadership and guidance to Federal agencies on Government-wide human resource policies. Specifically, ES provides direction and guidance on staffing,

## SALARIES AND EXPENSES—Continued

classification, pay, leave, performance management, training, executive resources, and employee and labor relations programs. Employee Services is responsible for issuing Government-wide policy on reciprocity of suitability investigations and suitability standards across government. ES also manages the operations of OPM's internal human resources program.

**Merit System Audit and Compliance.**—Ensures that Federal agency human resources programs are effective and meet merit system principles and related civil service requirements. Merit System Audit and Compliance also manages the Combined Federal Campaign and provides Federal observers to monitor the election process in areas designated by the U.S. Attorney General.

**Retirement Services.**—Administers the Civil Service Retirement System (CSRS) and the Federal Employee Retirement System (FERS), serving Federal retirees and survivors who receive monthly annuity payments. Retirement program activities will continue to focus on making initial eligibility determinations, adjudications, post retirement changes, and survivor processing based on applicable laws and regulations. The 2014 Budget permits increased staffing levels to process funding to maintain timely processing of retirement claims and provide services to Federal annuitants.

**Policy and Planning Analysis.**—Provides guidance on proposing and developing new program-related legislation, writing program regulations, and developing policy guidance for Federal agencies, insurance carriers and program beneficiaries.

**Healthcare and Insurance.**—Administers Federal Employees Health Benefit Program (FEHBP) health benefits contracts for the Federal government, and administer the Federal Employee Group Life Insurance (FEGLI) program covering employees, retirees and their families. Healthcare and Insurance is also responsible for implementing and overseeing Patient Protection and Affordable Care Act's Multi-State Plan Options.

**Federal Investigative Services.**—Provides investigative products and services for over one hundred Federal agencies to use as the basis for security clearance or suitability decisions as required by Executive Orders and other rules and regulations. Over ninety percent of the Government's background investigations are provided by OPM. This function is completely financed by payment for these services from other Federal agencies through OPM's Revolving Fund.

**Human Resources Solutions.**—Assists Federal agencies in achieving their missions by providing solutions that develop leaders, attract and build a high quality public sector workforce, and transform agencies into high performing organizations. This function is completely financed by payment for these services from other Federal agencies through OPM's revolving fund.

## Object Classification (in millions of dollars)

| Identification code 24-0100-0-1-805                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 70          | 44      | 50        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 5           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 76          | 46      | 52        |
| 12.1 Civilian personnel benefits .....                          | 14          | 12      | 14        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 11          | 14      | 12        |
| 24.0 Printing and reproduction .....                            | 4           | 1       | .....     |
| 25.2 Other services from non-Federal sources .....              | 12          | 24      | 15        |
| 26.0 Supplies and materials .....                               | 1           | .....   | .....     |
| 31.0 Equipment .....  | 1           | 1       | 1         |

|                                     |     |     |     |
|-------------------------------------|-----|-----|-----|
| 99.0 Direct obligations .....       | 120 | 99  | 95  |
| 99.0 Reimbursable obligations ..... | 235 | 114 | 119 |
| 99.9 Total new obligations .....    | 355 | 213 | 214 |

## Employment Summary

| Identification code 24-0100-0-1-805                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 844         | 932     | 885       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,068       | 1,167   | 1,188     |

## OFFICE OF INSPECTOR GENERAL

## SALARIES AND EXPENSES

(INCLUDING TRANSFER OF TRUST FUNDS)

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, including services as authorized by 5 U.S.C. 3109, hire of passenger motor vehicles, \$4,684,000, and in addition, not to exceed \$21,340,000 for administrative expenses to audit, investigate, and provide other oversight of the Office of Personnel Management's retirement and insurance programs, to be transferred from the appropriate trust funds of the Office of Personnel Management, as determined by the Inspector General: Provided, That the Inspector General is authorized to rent conference rooms in the District of Columbia and elsewhere.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 24-0400-0-1-805                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Program oversight (audits, investigations, etc.) .....        | 3           | 3       | 5         |
| 0801 Reimbursable program activity .....                           | 20          | 21      | 21        |
| 0900 Total new obligations .....                                   | 23          | 24      | 26        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | .....       | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 3           | 3       | 5         |
| 1160 Appropriation, discretionary (total) .....                    | 3           | 3       | 5         |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 19          | 21      | 21        |
| 1701 Change in uncollected payments, Federal sources .....         | 3           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 22          | 21      | 21        |
| 1900 Budget authority (total) .....                                | 25          | 24      | 26        |
| 1930 Total budgetary resources available .....                     | 25          | 25      | 27        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 1       | 1         |

## Change in obligated balance:

|   |     |       |       |
|---|-----|-------|-------|
| Unpaid obligations:   |     |       |       |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 7   | 5     | ..... |
| 3010 Obligations incurred, unexpired accounts .....               | 23  | 24    | 26    |
| 3011 Obligations incurred, expired accounts .....                 | 1   | ..... | ..... |
| 3020 Outlays (gross) .....  | -26 | -29   | -25   |
| 3050 Unpaid obligations, end of year .....                        | 5   | ..... | 1     |
| Uncollected payments:   |     |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -8  | -9    | -9    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -3  | ..... | ..... |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 2   | ..... | ..... |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -9  | -9    | -9    |
| Memorandum (non-add) entries:                                     |     |       |       |
| 3100 Obligated balance, start of year .....                       | -1  | -4    | -9    |
| 3200 Obligated balance, end of year .....                         | -4  | -9    | -8    |

| Budget authority and outlays, net: |  |       |       |       |
|------------------------------------|--|-------|-------|-------|
| Discretionary:                     |  |       |       |       |
| 4000                               | Budget authority, gross .....                                  | 25    | 24    | 26    |
|                                    | Outlays, gross:  |       |       |       |
| 4010                               | Outlays from new discretionary authority .....                 | 22    | 24    | 25    |
| 4011                               | Outlays from discretionary balances .....                      | 4     | 5     | ..... |
|                                    |  | <hr/> | <hr/> | <hr/> |
| 4020                               | Outlays, gross (total) .....                                   | 26    | 29    | 25    |
|                                    | Offsets against gross budget authority and outlays:            |       |       |       |
|                                    | Offsetting collections (collected) from:                       |       |       |       |
| 4030                               | Federal sources .....  | -21   | -21   | -21   |
|                                    | Additional offsets against gross budget authority only:        |       |       |       |
| 4050                               | Change in uncollected pymts, Fed sources, unexpired .....      | -3    | ..... | ..... |
| 4052                               | Offsetting collections credited to expired accounts .....      | 2     | ..... | ..... |
|                                    |  | <hr/> | <hr/> | <hr/> |
| 4060                               | Additional offsets against budget authority only (total) ..... | -1    | ..... | ..... |
|                                    |  | <hr/> | <hr/> | <hr/> |
| 4070                               | Budget authority, net (discretionary) .....                    | 3     | 3     | 5     |
| 4080                               | Outlays, net (discretionary) .....                             | 5     | 8     | 4     |
| 4180                               | Budget authority, net (total) .....                            | 3     | 3     | 5     |
| 4190                               | Outlays, net (total) .....                                     | 5     | 8     | 4     |

This appropriation provides agency-wide audit, investigation, administrative sanction, and debarment functions to identify program management, contractual, and administrative deficiencies that may create conditions for fraud, waste, abuse, and mismanagement. During 2012, the Office of Inspector General (OIG) activities resulted in positive financial impacts of over 220 million and led to 54 arrests, 63 indictments, 51 criminal convictions, and 827 suspensions or debarments within the Federal Employees Health Benefits Program (FEHBP).

The audits function provides audit services covering agency functions, the FEHBP, the Federal Employees Group Life Insurance (FGLI) program, the Federal retirement programs, revolving fund programs and operations, and information systems and security audits. Internal agency audits review all facets of agency operations, and include the oversight of the agency financial statement audit. Insurance audits review the operations of health and life insurance carriers, health care providers, pharmacy benefit managers, and insurance subscribers. Information systems audits review general controls, application controls and security within the agency's information systems and programs as well as for the information systems of insurance carriers within the FEHBP.

The investigations function detects and investigates improper and illegal activities involving agency programs, personnel, and operations. A large component of the investigative program involves criminal activities within the FEHBP, retirement and life insurance trust fund programs, as well as the OPM revolving fund programs. Our administrative sanctions program debars and suspends health care providers whose conduct may pose a financial threat to the FEHBP or health and safety risk to FEHBP enrollees and their families.

In 2014, the OIG will continue its audits and investigations of OPM programs, including the FEHBP and retirement trust fund programs, OPM revolving fund programs, and OPM financial statement oversight and other program areas. The OIG will continue to advance its prescription drug audit program, which includes audits of pharmacy benefit managers. Through these audits, the OIG helps the FEHBP recover inappropriate charges, negotiate more favorable contracts, control future cost growth, and improve benefits provided to program enrollees. The OIG will also continue its FEHBP claims data warehouse initiative in 2014. This project streamlines and enhances the various administrative and analytical procedures involved in the oversight of the FEHBP. The purpose of the project is to capture claims data from experience-rated insurance carriers in a data warehouse of health care information. The system's software tools support a variety of analytical procedures, including data mining, using the data in the warehouse. The project has facilitated more

efficient and effective oversight of the FEHBP by enhancing the ability of auditors and investigators to identify improper payments.

Another challenge facing the OIG is the oversight of the vast OPM revolving fund programs, most notably the Federal Investigative Services, responsible for the Federal background investigations which have significant national security implications. The revolving fund programs are projected to spend over 2.0 billion in 2014.

The 2014 Budget includes funds associated with OPM's implementation of the Patient Protection and Affordable Care Act (ACA), including the Indian Health Care Improvement Reauthorization and Extension Act of 2009 (IHCA), which was enacted as part of the ACA. The OIG is currently working with OPM on its implementation of the ACA. It has been proposed that the OIG will be permitted to audit and examine Multi-State Plan Program (MSPP) records and accounts that pertain to the MSPP. The OIG will work with MSPP issuers to carry out audit functions of their fraud detection systems. In addition, in keeping with our current oversight responsibilities, the OIG plans to review the business practices exhibited by the MSPPs and report its findings and recommendations to OPM for further action.

Finally, the Administration proposes a government-wide general provision to expand the authorization of OPM's revolving fund for use the by OIG to audit and provide necessary oversight of that fund.

#### Object Classification (in millions of dollars)

| Identification code 24-0400-0-1-805                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 99.0 Direct obligations .....                          | 3           | 3       | 5         |
| 99.0 Reimbursable obligations .....                    | 20          | 21      | 21        |
| 99.9 Total new obligations .....                       | 23          | 24      | 26        |

#### Employment Summary

| Identification code 24-0400-0-1-805                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 7           | 22      | 15        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 123         | 115     | 114       |

#### GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH BENEFITS

*For payment of Government contributions with respect to retired employees, as authorized by chapter 89 of title 5, United States Code, and the Retired Federal Employees Health Benefits Act (74 Stat. 849), such sums as may be necessary.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 24-0206-0-1-551                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                                      |             |         |           |
| 0001 Government contribution for annuitants benefits (1959 Act) ..... | 10,683      | 10,697  | 11,162    |
| 0002 Government contribution for annuitants benefits (1960 Act) ..... |             | 1       | 1         |
| 0900 Total new obligations (object class 13.0) .....                  | 10,683      | 10,698  | 11,163    |

**GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEES HEALTH  
BENEFITS—Continued  
Program and Financing—Continued**

| Identification code 24–0206–0–1–551                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                               |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                |             |         |           |
| 1200     Appropriation .....                              | 10,683      | 10,698  | 11,163    |
| 1260     Appropriations, mandatory (total) .....          | 10,683      | 10,698  | 11,163    |
| 1930     Total budgetary resources available .....        | 10,683      | 10,698  | 11,163    |
| <b>Change in obligated balance:</b>                       |             |         |           |
| Unpaid obligations:                                       |             |         |           |
| 3000     Unpaid obligations, brought forward, Oct 1 ..... | 1,176       | 1,100   | 1,115     |
| 3010     Obligations incurred, unexpired accounts .....   | 10,683      | 10,698  | 11,163    |
| 3020     Outlays (gross) .....                            | –10,759     | –10,683 | –11,238   |
| 3050     Unpaid obligations, end of year .....            | 1,100       | 1,115   | 1,040     |
| Memorandum (non-add) entries:                             |             |         |           |
| 3100     Obligated balance, start of year .....           | 1,176       | 1,100   | 1,115     |
| 3200     Obligated balance, end of year .....             | 1,100       | 1,115   | 1,040     |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| Mandatory:  |             |         |           |
| 4090     Budget authority, gross .....                    | 10,683      | 10,698  | 11,163    |
| Outlays, gross:   |             |         |           |
| 4100     Outlays from new mandatory authority .....       | 9,583       | 9,763   | 10,178    |
| 4101     Outlays from mandatory balances .....            | 1,176       | 920     | 1,060     |
| 4110     Outlays, gross (total) .....                     | 10,759      | 10,683  | 11,238    |
| 4180     Budget authority, net (total) .....              | 10,683      | 10,698  | 11,163    |
| 4190     Outlays, net (total) .....                       | 10,759      | 10,683  | 11,238    |

This appropriation covers: 1) the Government's share of the cost of health insurance for annuitants as defined in sections 8901 and 8906 of title 5, United States Code; 2) the Government's share of the cost of health insurance for annuitants (who were retired when the Federal employees health benefits law became effective), as defined in the Retired Federal Employees Health Benefits Act of 1960; and 3) the Government's contribution for payment of administrative expenses incurred by OPM in administration of the Act.

The budget authority for this account recognizes the amounts being remitted by the U.S. Postal Service to finance a portion of its post-1971 annuitants' health benefit costs.

|                                 | 2012 actual      | 2013 est.        | 2014 est.        |
|---------------------------------|------------------|------------------|------------------|
| <b>Annuityants:</b>             |                  |                  |                  |
| FEHB .....                      | 1,872,000        | 1,903,000        | 1,923,000        |
| (USPS non-add) .....            | 512,000          | 475,000          | 442,000          |
| REHB .....                      | 436              | 358              | 295              |
| <b>Total, annuityants .....</b> | <b>1,872,436</b> | <b>1,903,358</b> | <b>1,923,295</b> |

**GOVERNMENT PAYMENT FOR ANNUITANTS, EMPLOYEE LIFE INSURANCE**

*For payment of Government contributions with respect to employees retiring after December 31, 1989, as required by chapter 87 of title 5, United States Code, such sums as may be necessary.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 24–0500–0–1–602                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001     Direct program activity .....                   | 47          | 46      | 45        |
| 0900     Total new obligations (object class 25.2) ..... | 47          | 46      | 45        |

**Budgetary Resources:**

|  |    |    |    |
|--|----|----|----|
| Budget authority:                                  |    |    |    |
| Appropriations, mandatory:                         |    |    |    |
| 1200     Appropriation .....                       | 47 | 46 | 45 |
| 1260     Appropriations, mandatory (total) .....   | 47 | 46 | 45 |
| 1930     Total budgetary resources available ..... | 47 | 46 | 45 |

**Change in obligated balance:**

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                       |     |     |     |
| 3000     Unpaid obligations, brought forward, Oct 1 ..... | 6   | 6   |     |
| 3010     Obligations incurred, unexpired accounts .....   | 47  | 46  | 45  |
| 3020     Outlays (gross) .....                            | –47 | –52 | –45 |
| 3050     Unpaid obligations, end of year .....            | 6   |     |     |
| Memorandum (non-add) entries:                             |     |     |     |
| 3100     Obligated balance, start of year .....           | 6   | 6   |     |
| 3200     Obligated balance, end of year .....             | 6   |     |     |

**Budget authority and outlays, net:**

|   |    |    |    |
|---|----|----|----|
| Mandatory:  |    |    |    |
| 4090     Budget authority, gross .....              | 47 | 46 | 45 |
| Outlays, gross:                                     |    |    |    |
| 4100     Outlays from new mandatory authority ..... | 41 | 46 | 45 |
| 4101     Outlays from mandatory balances .....      | 6  | 6  |    |
| 4110     Outlays, gross (total) .....               | 47 | 52 | 45 |
| 4180     Budget authority, net (total) .....        | 47 | 46 | 45 |
| 4190     Outlays, net (total) .....                 | 47 | 52 | 45 |

Per P.L. 96–427, Federal Employees Group Life Insurance Act of 1980, enacted October 10, 1980, this appropriation finances the Government's share of premiums, which is one-third the cost, for Basic life insurance for annuitants retiring after December 31, 1989, and who are less than 65 years old.

**PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND**

*For financing the unfunded liability of new and increased annuity benefits becoming effective on or after October 20, 1969, as authorized by 5 U.S.C. 8348, and annuities under special Acts to be credited to the Civil Service Retirement and Disability Fund, such sums as may be necessary: Provided, That annuities authorized by the Act of May 29, 1944, and the Act of August 19, 1950 (33 U.S.C. 771–775), may hereafter be paid out of the Civil Service Retirement and Disability Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 24–0200–0–1–805   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0002     Payment of Government share of retirement costs .....  | 10,694      | 9,000   | 8,900     |
| 0003     Transfers for interest on unfunded liability and payment of military service annuities ..... | 22,255      | 23,300  | 24,200    |
| 0005     Spouse equity payment .....  | 74          | 74      | 74        |
| 0900     Total new obligations .....  | 33,023      | 32,374  | 33,174    |

**Budgetary Resources:**

|  |        |        |        |
|--|--------|--------|--------|
| Budget authority:                                  |        |        |        |
| Appropriations, mandatory:                         |        |        |        |
| 1200     Appropriation .....                       | 22,255 | 23,300 | 24,200 |
| 1200     Appropriation .....                       | 10,768 | 9,074  | 8,974  |
| 1260     Appropriations, mandatory (total) .....   | 33,023 | 32,374 | 33,174 |
| 1930     Total budgetary resources available ..... | 33,023 | 32,374 | 33,174 |

**Change in obligated balance:**

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:                                     |         |         |         |
| 3010     Obligations incurred, unexpired accounts ..... | 33,023  | 32,374  | 33,174  |
| 3020     Outlays (gross) .....                          | –33,023 | –32,374 | –33,174 |

**Budget authority and outlays, net:**

|  |        |        |        |
|--|--------|--------|--------|
| Mandatory:                             |        |        |        |
| 4090     Budget authority, gross ..... | 33,023 | 32,374 | 33,174 |

|                 |  |        |        |        |
|-----------------|--|--------|--------|--------|
| Outlays, gross: |  |        |        |        |
| 4100            | Outlays from new mandatory authority ..... | 33,023 | 32,374 | 33,174 |
| 4180            | Budget authority, net (total) .....        | 33,023 | 32,374 | 33,174 |
| 4190            | Outlays, net (total) .....                 | 33,023 | 32,374 | 33,174 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 33,023      | 32,374  | 33,174    |
| Outlays .....                               | 33,023      | 32,374  | 33,174    |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             |         | -34       |
| Outlays .....                               |             |         | -34       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 33,023      | 32,374  | 33,140    |
| Outlays .....                               | 33,023      | 32,374  | 33,140    |

The Payment to the Civil Service Retirement and Disability Fund consists of an appropriation and a permanent indefinite authorization to pay the Government's share of retirement costs as defined in the Civil Service Retirement Amendments of 1969 (P.L. 91-93), the Federal Employees Retirement Act of 1986 (P.L. 99-335), and the Civil Service Retirement Spouse Equity Act of 1985 (P.L. 98-615). The payment is made directly from the General Fund of the U.S. Treasury into the Civil Service Retirement and Disability Fund and is in addition to appropriated funds that will be contributed from agency budgets.

**Current Appropriation Payment of Government share of retirement costs.**—P.L. 91-93 provides for an annual appropriation to amortize, over a 30-year period, all increases in Civil Service Retirement System costs resulting from acts of Congress granting new or liberalized benefits, extensions of coverage, or pay raises, exclusive of the effects of cost-of-living adjustments (COLAs). OPM has notified the Secretary of the Treasury each year of such sums as may be necessary to carry out these provisions.

**Permanent Indefinite Authorization.**—Transfers for interest on static unfunded liability and payment of military service annuities.—P.L. 91-93 also provides permanent, indefinite authorization for the Secretary of the Treasury to transfer, on an annual basis, an amount equal to 5 percent interest on the Civil Service Retirement and Disability Funds current statutory unfunded liability, calculated based on static economic assumptions, and annuity disbursements attributable to credit for military service.

**Payments for Spouse Equity.**—The permanent, indefinite authorization also includes a payment in accordance with P.L. 98-615 which provides for the Secretary of the Treasury to transfer an amount equal to the annuities granted to eligible former spouses of annuitants who died between September 1978 and May 1985 who did not elect survivor coverage.

**Financing.**—The unfunded liability of new and increased annuity benefits becoming effective on or after October 20, 1969, as authorized by 5 U.S.C. 8348, and annuities under special Acts to be credited to the Civil Service Retirement and Disability Fund, such sums as may be necessary: *Provided*, That annuities authorized by the Act of May 29, 1944, and the Act of August 19, 1950 (33 U.S.C. 771-775), may hereafter be paid out of the Civil Service Retirement and Disability Fund. (Financial Services and General Government Appropriations Act, 2010.)

**Object Classification** (in millions of dollars)

| Identification code 24-0200-0-1-805      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                      |             |         |           |
| 12.1 Civilian personnel benefits .....   | 10,768      | 9,074   | 8,974     |
| 13.0 Benefits for former personnel ..... | 22,255      | 23,300  | 24,200    |

|      |                             |        |        |        |
|------|-----------------------------|--------|--------|--------|
| 99.9 | Total new obligations ..... | 33,023 | 32,374 | 33,174 |
|------|-----------------------------|--------|--------|--------|

**PAYMENT TO CIVIL SERVICE RETIREMENT AND DISABILITY FUND**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 24-0200-2-1-805   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0003 Transfers for interest on unfunded liability and payment of military service annuities ..... |             |         | -34       |
| 0900 Total new obligations (object class 13.0) .....  |             |         | -34       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  |             |         | -34       |
| 1260 Appropriations, mandatory (total) .....  |             |         | -34       |
| 1930 Total budgetary resources available .....  |             |         | -34       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | -34       |
| 3020 Outlays (gross) .....  |             |         | 34        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  |             |         | -34       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   |             |         | -34       |
| 4180 Budget authority, net (total) .....  |             |         | -34       |
| 4190 Outlays, net (total) .....   |             |         | -34       |

The 2014 Budget contains a legislative proposal that would reduce Treasury payments to the Civil Service Retirement and Disability Fund for benefits payable under the Civil Service Retirement System (CSRS) and the Federal Employee Retirement System (FERS). The Budget proposes to Increase Existing Employee Contributions to CSRS and FERS Retirement Systems by 1.2% of salaries, phased in over three years, and maintain the employer's share at its current contribution rate. Because Agency FERS Contributions will exceed the amount necessary to satisfy the FERS normal cost percentage, those funds will be credited to the assets of the Civil Service Retirement System in the CSRDF, which in turn will reduce the CSRS Unfunded Liability.

**FLEXIBLE BENEFITS PLAN RESERVE****Program and Financing** (in millions of dollars)

| Identification code 24-0800-0-1-805                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 FSA FEDS Risk Reserve .....                                   | 4           | 23      | 24        |
| 0900 Total new obligations (object class 25.6) .....               | 4           | 23      | 24        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 89          | 97      | 107       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 12          | 33      | 34        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 12          | 33      | 34        |
| 1930 Total budgetary resources available .....                     | 101         | 130     | 141       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 97          | 107     | 117       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 4           | 23      | 24        |
| 3020 Outlays (gross) .....   | -4          | -23     | -24       |

FLEXIBLE BENEFITS PLAN RESERVE—Continued  
Program and Financing—Continued

| Identification code 24-0800-0-1-805                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 12          | 33      | 34        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 4           | 23      | 24        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   | -4          | -4      | -4        |
| 4123 Non-Federal sources .....                                       | -8          | -29     | -30       |
| 4130 Offsets against gross budget authority and outlays (total) .... | -12         | -33     | -34       |
| 4170 Outlays, net (mandatory) .....                                  | -8          | -10     | -10       |
| 4190 Outlays, net (total) .....                                      | -8          | -10     | -10       |

This account contains reserve resources required under the Office of Personnel Management's contract with the administrator of the Flexible Benefits program. This account is funded by payments from Federal agencies based on the participation of their employees in the program and from net forfeitures, as authorized by the National Defense Authorization Act for Fiscal Year 2004 (P.L. 108-136). Account assets are available to indemnify the administrator when benefit payments exceed contributions, and for program enhancements.

## Object Classification (in millions of dollars)

| Identification code 24-0800-0-1-805 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 4           | 23      | 24        |

## POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 24-5391-0-2-551  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 43,707      | 45,347  | 47,347    |
| Receipts:  |             |         |           |
| 0240 Postal Service Contributions for Current Workers, Postal Service Retiree Health Benefits Fund .....           |             | 3,339   | 3,521     |
| 0241 Earnings on Investments, Postal Service Retiree Health Benefits Fund .....                                    | 1,640       | 1,573   | 1,528     |
| 0242 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund ..... |             | 5,600   | 5,700     |
| 0243 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund ..... |             |         | -5,700    |
| 0244 Postal Service Contributions for Benefits Paid to Retirees, Postal Service Retiree Health Benefits Fund ..... |             | -5,600  |           |
| 0299 Total receipts and collections .....  | 1,640       | 4,912   | 5,049     |
| 0400 Total: Balances and collections .....   | 45,347      | 50,259  | 52,396    |
| Appropriations:  |             |         |           |
| 0500 Postal Service Retiree Health Benefits Fund .....   | -1,640      | -7,173  | -7,228    |
| 0501 Postal Service Retiree Health Benefits Fund .....   | 1,640       | 7,173   | 7,228     |
| 0502 Postal Service Retiree Health Benefits Fund .....   |             | -2,912  | -3,199    |
| 0599 Total appropriations .....  |             | -2,912  | -3,199    |
| 0799 Balance, end of year .....  | 45,347      | 47,347  | 49,197    |

## Program and Financing (in millions of dollars)

| Identification code 24-5391-0-2-551                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 1,640       | 7,173   | 7,228     |
| 1235 Appropriations precluded from obligation .....              | -1,640      | -7,173  | -7,228    |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 43,708      | 45,347  | 52,670    |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 45,347      | 52,670  | 59,898    |

The Postal Accountability and Enhancement Act (P.L. 109-435) created the Postal Service Retiree Health Benefits Fund to help fully fund the Postal Service's retiree (annuitant) health benefits liabilities.

This account receives from the Postal Service: 1) the pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108-18) that were held in escrow during 2006; 2) payments defined within P.L. 109-435, and modified by P.L. 111-68, to begin the liquidation of the Postal Service's unfunded liability for post-retirement health benefits; and 3) beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees. This account also receives any surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under the Civil Service Retirement System to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service.

As a result of this health benefits financing system, beginning in 2017, the Postal Service will cease to pay annual premium costs for its post-1971 current annuitants directly to the Employees and Retired Employees Health Benefits Fund. Instead, these premium payments will be paid from amounts that the Postal Service remits to this fund. Payments for a proportion of the premium costs of Postal Service annuitants' pre-1971 service would continue to be paid by the General Fund of the Treasury through the Government Payment for Annuitants, Employees Health Benefits account.

## POSTAL SERVICE RETIREE HEALTH BENEFITS FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 24-5391-4-2-551                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   |             | 2,912   | 3,199     |
| 0900 Total new obligations (object class 12.1) ..... |             | 2,912   | 3,199     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1235 Appropriations precluded from obligation .....  |             | 2,912   | 3,199     |
| 1260 Appropriations, mandatory (total) .....         |             | 2,912   | 3,199     |
| 1930 Total budgetary resources available .....       |             | 2,912   | 3,199     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             | 2,912   | 3,199     |
| 3020 Outlays (gross) .....                           |             | -2,912  | -3,199    |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             | 2,912   | 3,199     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             | 2,912   | 3,199     |
| 4180 Budget authority, net (total) .....             |             | 2,912   | 3,199     |
| 4190 Outlays, net (total) .....                      |             | 2,912   | 3,199     |

Under the Postal Accountability and Enhancement Act of 2006 (P.L. 109-435), USPS must make a stream of payments set in statute through 2016 toward paying down retiree health benefit unfunded liabilities, as well as pay annual Federal Employees Health Benefits Program premiums for current retirees. Also under current law, starting in 2017, USPS must pay the per capita accruing costs (or normal cost) to fund future retiree health benefits of current employees and a 40-year amortization of the remaining unfunded liability (UFL) for current retirees. The Budget proposes to shift how the Postal Service (USPS) pre-funds

its retiree health benefits UFL. Under the proposal, starting in 2013, USPS would pay the normal costs for the future retiree health benefits of current employees and also a stream of payments associated with paying down the remaining UFL for current retirees. Further, the Budget would provide USPS temporary financial relief as the 2013 (\$5.6 billion) and 2014 (\$5.7 billion) UFL payments would be adjusted so that USPS would pay through 2014 a total of \$10.6 billion less than what it would have paid to this Fund under current law. USPS would make up this \$10.6 billion payment to the Fund by paying larger amounts in future years through the 40-year amortization of the remaining UFL that starts in 2017. The Budget also proposes to codify two statutory RHB prefunding payments that USPS defaulted on in FY 2012. These defaults, totaling \$11.1 billion, are factored into the 40-year amortization schedule starting in 2017, but currently remain on USPS's financial statements as outstanding liabilities.

This proposal provides the following benefits to USPS: 1) USPS would be provided temporary financial relief in the form of lower payments in 2013 and 2014; 2) The calculations of normal cost and UFL are based on actuarial assumptions (as of fall 2012) that reflect USPS's employee population change since 2006, when the prefunding mechanism was originally adopted (note, however, that the actual annual payments for the normal costs are reset each year based on the number of USPS employees); 3) This Fund would pay the premiums for current USPS retirees now, rather than starting in 2017—this accelerates what would have occurred anyway in 2017 under current law. See also the Postal Service section of this Appendix for information on this proposal.

### REVOLVING FUND

#### Program and Financing (in millions of dollars)

| Identification code 24–4571–0–4–805                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Talent services .....   | 998         | 851     | 831       |
| 0802 Investigation services .....                                  | 1,045       | 1,172   | 1,189     |
| 0803 Leadership capacity services .....                            | 36          | .....   | .....     |
| 0804 Enterprise human resources integration .....                  | 49          | 58      | 36        |
| 0805 USAJOBS/PMF .....   | 18          | 11      | 11        |
| 0806 Presidential Management Fellows .....                         | .....       | 4       | 4         |
| 0900 Total new obligations .....                                   | 2,146       | 2,096   | 2,071     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 413         | 404     | 294       |
| 1021 Recoveries of prior year unpaid obligations .....             | 135         | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 548         | 404     | 294       |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 2,166       | 1,986   | 1,991     |
| 1801 Change in uncollected payments, Federal sources .....         | -164        | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2,002       | 1,986   | 1,991     |
| 1900 Budget authority (total) .....                                | 2,002       | 1,986   | 1,991     |
| 1930 Total budgetary resources available .....                     | 2,550       | 2,390   | 2,285     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 404         | 294     | 214       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1,141       | 1,131   | 1,241     |
| 3010 Obligations incurred, unexpired accounts .....                | 2,146       | 2,096   | 2,071     |
| 3020 Outlays (gross) .....   | -2,021      | -1,986  | -1,991    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -135        | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 1,131       | 1,241   | 1,321     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -971        | -807    | -807      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 164         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -807        | -807    | -807      |

|  |        |        |        |  |
|--|--------|--------|--------|--|
| Memorandum (non-add) entries:                                  |        |        |        |  |
| 3100 Obligated balance, start of year .....                    | 170    | 324    | 434    |  |
| 3200 Obligated balance, end of year .....                      | 324    | 434    | 514    |  |
| <b>Budget authority and outlays, net:</b>                      |        |        |        |  |
| Mandatory:   |        |        |        |  |
| 4090 Budget authority, gross .....                             | 2,002  | 1,986  | 1,991  |  |
| Outlays, gross:  |        |        |        |  |
| 4100 Outlays from new mandatory authority .....                | 887    | 548    | 1,991  |  |
| 4101 Outlays from mandatory balances .....                     | 1,134  | 1,438  | .....  |  |
| 4110 Outlays, gross (total) .....                              | 2,021  | 1,986  | 1,991  |  |
| Offsets against gross budget authority and outlays:            |        |        |        |  |
| Offsetting collections (collected) from:                       |        |        |        |  |
| 4120 Federal sources .....                                     | -2,166 | -1,986 | -1,991 |  |
| Additional offsets against gross budget authority only:        |        |        |        |  |
| 4140 Change in uncollected pymts, Fed sources, unexpired ..... | 164    | .....  | .....  |  |
| 4170 Outlays, net (mandatory) .....                            | -145   | .....  | .....  |  |
| 4190 Outlays, net (total) .....                                | -145   | .....  | .....  |  |

**Budget Program.**—OPM's Revolving Fund provides financing for investigations, training, and other functions that OPM is authorized or required to perform on a reimbursable basis. OPM programs offer the following:

OPM's Human Resources Solutions (HRS) organization delivers human resources products and services to Federal agencies on a reimbursable basis. These products and services are designed to help Federal agency customers develop leaders committed to public service values, attract and build a top quality public sector workforce and aid in their transformation into high-performing organizations. As a revolving fund program, HRS recovers costs of operations by managing thousands of individual reimbursable agreements from more than 150 Federal Departments and agencies. HRS will continue to provide assisted acquisition services and project management to client agencies in the areas of HR Strategy, Learning Management, Recruitment & Branding, and Training. HRS will serve as the program office for USA Staffing and OPM's Talent Acquisition System for Federal agencies. Also, HRS will continue to partner with agencies to meet their missions by providing effective staff acquisition solutions that attract, assess, and build a high-quality public sector workforce and transform agencies into high performing organizations.

OPM's Office of the Chief Information Officer (CIO) will maintain USAJOBS, the official job site of the Federal government. It is the one-stop source for Federal jobs and employment information. The USAJOBS.gov website has emerged over the last decade as the face of Federal hiring. USAJOBS 3.0 yields alignment of Federal recruiting and hiring. A key element to this strategy is that the product is Government-hosted, with key enterprise components brought under full Government control, while the Federal Government leverages innovation from the private sector. CIO will provide a centralized secure platform that helps to streamline the Federal government's overall hiring process. USAJOBS will continue as a job board and a resume and document repository for candidate information. It will continue to provide extensive Federal employment information to the Public, coordinates recruitment information across agencies and interact with job seekers through the use of Social Media.

OPM's Presidents Management Fellows Program (PMF) is a leadership development program at the entry level for advanced degree candidates. The PMF Program attracts and selects candidates with the goal of developing future government leaders. PMF provides agencies with graduates from a variety of academic disciplines and career paths, who have a clear interest in, and commitment to, excellence in the leadership and management of public policies and programs.

OPM's Federal Investigative Services organization performs personnel background investigative services to determine indi-

## REVOLVING FUND—Continued

viduals suitability for Federal civilian, military, and contract employment and eligibility for access to classified national security information. Background investigations are performed for Federal agencies on a fee-for-service basis. Federal Investigative Services conducts over 90 percent of all background investigations for the Federal government. Investigations are a critical step in the Federal hiring process, and can affect hiring or removal decisions based on the individuals fitness and suitability for employment. Based on information gathered in background investigations, Federal agencies also issue security clearances and place individuals in sensitive positions involving national security or the public trust.

OPM revolving fund also partially supports the Human Resources Line of Business and Enterprise Human Resources Integration (EHRI). The Human Resources Line of Business (HR LoB) leads the government-wide transformation of HR Information Technology by focusing on modernization, integration, and performance assessment of shared service centers for HR and payroll. The HR LoB is a model for cross-agency collaboration which achieves HR service delivery improvements and cost savings results. The HR LoB has established public and private Shared Service Centers (SSCs) to provide technology solutions to support multiple agencies with HR information technology, HR management, and back office activities. Six Federal and four private sector SSCs have been selected and established to leverage economies of scale, reduce costs, and increase the quality and consistency of services provided. The EHRI program streamlines and automates the exchange of Federal Employee Human Resources (HR) information Government-wide. It has two primary components. First, the electronic Official Personnel Folder (eOPF) is a web-based application that is capable of storing, processing, and displaying the eOPFs of all current, separated, and retired Federal Employees. The system replaces the existing manual HR process by automating the Federal Government's HR processes and thereby creating a streamlined Federal HR system for all Federal employees. The eOPF will cover the entire Executive Branch with a total user population of more than 1.9M. The eOPF system is offered through a fee-for-service arrangement with implementing agencies. The second component is the Data Warehouse. It consolidates multiple HR data systems into a single corporate data repository in a secure environment, thereby eliminating redundancies across the Federal government. This single data source contains more than 500 data elements on 1.9M Federal employees, and serves as a powerful resource for HR managers, government executives, OMB, and Congress. The system also supports the collection of accurate retirement data through data feeds, and other sources, by creating data standards and reporting requirements contained in the Guide to Retirement Data Reporting. Through a suite of Analytic Tools offered to customer agencies, the Federal government is able to perform workforce analyses and forecasting on the data contained in the Data Warehouse.

**Financing.**—OPM's revolving fund account gains spending authority from agreements with other Federal agencies who are seeking the following services: Human Resources Solutions provides a multitude of HR services to other Federal agencies, which include consulting services, training, staffing programs, vendor management, and administrative law judge services. Individual pricing and fee structures for HR Solutions offerings differ because the business models for each of its products and services vary. USAJOBS is financed by an annual fee assessed to Federal agencies. The fee is based on the Federal agency's pro rata share of total Federal government FTE population supported,

as provided in the Central Personnel Data File (CPDF). PMF Program assesses a fixed fee for each fellow hired by a Federal agency. The Federal Investigative Services provides personnel background investigative services on a fixed price basis to determine individual's fitness or suitability for Federal civilian, military, and contract employment and/or eligibility for a security clearance. EHRI provides two primary service offerings on a fee-for-service basis: the electronic Official Personnel Folder (eOPF), including deployment and hosting services, and a suite of analytical tools enabling agencies to perform workforce analysis and forecasting. EHRI provides customized eOPF systems to other agencies at additional cost, in which the customer pays for ongoing eOPF maintenance. The pricing structure for eOPF maintenance is a fixed price per license (i.e., electronic folder) and is based on the number of active users at the customer agency. The HR LoB has established public and private Shared Service Centers (SSCs) to provide technology solutions to support multiple agencies with HR information technology and HR services and is financed in part by agency contributions from partner agencies.

**Operating Results.**—In fiscal year 2012, OPM's revolving fund businesses revenue total was \$1.994B and the expenses total was \$1.972B which provided a net gain on operations of \$22 million. The cumulative retained income was \$379M. The following revolving fund programs will utilize the resources as follows: The Federal Investigative Services will continue to improve the automation of the background investigation process, USAJOBS will continue the development of the enterprise integration components which will allow agencies the flexibility to connect relevant public and private assessment, on-boarding, and recruitment tools to enhance the functionality of the USAJOBS system, and Human Resources Solutions will invest in research and development for enhance product and services to meet customer demand.

## Object Classification (in millions of dollars)

| Identification code 24-4571-0-4-805                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 254         | 266     | 276       |
| 11.5 Other personnel compensation .....                         | 14          | 16      | 16        |
| 11.9 Total personnel compensation .....                         | 268         | 282     | 292       |
| 12.1 Civilian personnel benefits .....                          | 78          | 82      | 84        |
| 21.0 Travel and transportation of persons .....                 | 24          | 22      | 22        |
| 22.0 Transportation of things .....                             | 1           | .....   | 1         |
| 23.1 Rental payments to GSA .....                               | 19          | 19      | 20        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 37          | 37      | 38        |
| 24.0 Printing and reproduction .....                            | 2           | 1       | 2         |
| 25.2 Other services from non-Federal sources .....              | 1,693       | 1,616   | 1,573     |
| 26.0 Supplies and materials .....                               | 8           | 5       | 5         |
| 31.0 Equipment .....  | 16          | 32      | 34        |
| 99.9 Total new obligations .....                                | 2,146       | 2,096   | 2,071     |

## Employment Summary

| Identification code 24-4571-0-4-805                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3,304       | 3,278   | 3,471     |

## Trust Funds

## CIVIL SERVICE RETIREMENT AND DISABILITY FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 24-8135-0-7-602 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 797,479     | 819,753 | 832,609   |



|                 |   |         |         |         |
|-----------------|---|---------|---------|---------|
| Receipts:       |   |         |         |         |
| 0200            | Employee Contributions, Civil Service Retirement and Disability Fund .....                                | 3,012   | 3,023   | 3,003   |
| 0201            | Employee Contributions, Civil Service Retirement and Disability Fund .....                                |         |         | 800     |
| 0202            | District of Columbia Contributions, Civil Service Retirement and Disability Fund .....                    | 28      | 19      | 17      |
| 0203            | Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund ..... | 655     | 661     | 670     |
| 0240            | Agency Contributions, Civil Service Retirement and Disability Fund .....                                  | 21,484  | 21,824  | 22,190  |
| 0241            | Agency Contributions, Civil Service Retirement and Disability Fund .....                                  |         |         | -17     |
| 0242            | Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....                   | 3,879   | 3,600   | 3,524   |
| 0243            | FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund .....                           | 392     | 329     | 263     |
| 0244            | Treasury Interest, Civil Service Retirement and Disability Fund .....                                     | 34,257  | 31,302  | 29,697  |
| 0245            | General Fund Payment to the Civil Service Retirement and Disability Fund .....                            | 33,023  | 32,374  | 33,174  |
| 0246            | General Fund Payment to the Civil Service Retirement and Disability Fund .....                            |         |         | -34     |
| 0247            | Re-employed Annuitants Salary Offset, Civil Service Retirement and Disability Fund .....                  | 50      | 51      | 52      |
| 0299            | Total receipts and collections .....  | 96,780  | 93,183  | 93,339  |
| 0400            | Total: Balances and collections .....   | 894,259 | 912,936 | 925,948 |
| Appropriations: |   |         |         |         |
| 0500            | Civil Service Retirement and Disability Fund .....  | -102    | -91     | -98     |
| 0501            | Civil Service Retirement and Disability Fund .....  | -96,677 | -93,183 | -92,590 |
| 0502            | Civil Service Retirement and Disability Fund .....  | 22,273  | 15,547  | 11,381  |
| 0503            | Civil Service Retirement and Disability Fund .....  |         | -2,600  | -4,430  |
| 0599            | Total appropriations .....  | -74,506 | -80,327 | -85,737 |
| 0799            | Balance, end of year .....  | 819,753 | 832,609 | 840,211 |

**Program and Financing** (in millions of dollars)

|   |  |             |         |           |
|---|--|-------------|---------|-----------|
| Identification code 24-8135-0-7-602       |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Annuities .....                                  | 73,981      | 77,352  | 80,921    |
| 0002                                      | Refunds and death claims .....                   | 377         | 284     | 288       |
| 0003                                      | Administration - operations .....                | 142         | 85      | 92        |
| 0004                                      | Transfer to MSPB .....                           | 2           | 2       | 2         |
| 0005                                      | Administration - OIG .....                       | 4           | 4       | 4         |
| 0900                                      | Total new obligations .....                      | 74,506      | 77,727  | 81,307    |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1101                                      | Appropriation (special or trust fund) .....      | 102         | 91      | 98        |
| 1160                                      | Appropriation, discretionary (total) .....       | 102         | 91      | 98        |
| Appropriations, mandatory:                |  |             |         |           |
| 1201                                      | Appropriation (special or trust fund) .....      | 96,677      | 93,183  | 92,590    |
| 1235                                      | Portion precluded from balances .....            | -22,273     | -15,547 | -11,381   |
| 1260                                      | Appropriations, mandatory (total) .....          | 74,404      | 77,636  | 81,209    |
| 1900                                      | Budget authority (total) .....                   | 74,506      | 77,727  | 81,307    |
| 1930                                      | Total budgetary resources available .....        | 74,506      | 77,727  | 81,307    |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 6,368       | 6,817   | 7,123     |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 74,506      | 77,727  | 81,307    |
| 3020                                      | Outlays (gross) .....                            | -74,057     | -77,421 | -81,025   |
| 3050                                      | Unpaid obligations, end of year .....            | 6,817       | 7,123   | 7,405     |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 6,368       | 6,817   | 7,123     |
| 3200                                      | Obligated balance, end of year .....             | 6,817       | 7,123   | 7,405     |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                    | 102         | 91      | 98        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....   | 43          | 91      | 98        |
| 4011                                      | Outlays from discretionary balances .....        | 58          |         |           |
| 4020                                      | Outlays, gross (total) .....                     | 101         | 91      | 98        |
| Mandatory:                                |  |             |         |           |
| 4090                                      | Budget authority, gross .....                    | 74,404      | 77,636  | 81,209    |

|                 |  |        |        |        |
|-----------------|--|--------|--------|--------|
| Outlays, gross: |  |        |        |        |
| 4100            | Outlays from new mandatory authority ..... | 67,646 | 70,804 | 74,226 |
| 4101            | Outlays from mandatory balances .....      | 6,310  | 6,526  | 6,701  |
| 4110            | Outlays, gross (total) .....               | 73,956 | 77,330 | 80,927 |
| 4180            | Budget authority, net (total) .....        | 74,506 | 77,727 | 81,307 |
| 4190            | Outlays, net (total) .....                 | 74,057 | 77,421 | 81,025 |

**Memorandum (non-add) entries:**

|      |   |         |         |         |
|------|---|---------|---------|---------|
| 5000 | Total investments, SOY: Federal securities: Par value ..... | 803,813 | 826,555 | 842,276 |
| 5001 | Total investments, EOY: Federal securities: Par value ..... | 826,555 | 842,276 | 853,789 |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 74,506      | 77,727  | 81,307    |
| Outlays .....                           | 74,057      | 77,421  | 81,025    |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             | 2,600   | 4,430     |
| Outlays .....                           |             | 2,600   | 4,430     |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 74,506      | 80,327  | 85,737    |
| Outlays .....                           | 74,057      | 80,021  | 85,455    |

The Civil Service Retirement and Disability Fund is the oldest and largest of the four trust funds administered by the Compensation Group. The Fund is financed and structured very differently from the other three trust funds. It is characterized by permanent indefinite budget authority. Budget Authority is the authority to incur obligations and pay expenses which become available to an agency during any fiscal year. Once approved, permanent budget authority is permanently available for all future years. Indefinite budget authority is used when the precise amount of budget authority required cannot be forecast in advance and must thus be determined at some future point in time (e.g., when actual receipts and expenses become known).

The Civil Service Retirement and Disability Fund covers two Federal civilian retirement systems: the Civil Service Retirement System (CSRS) established on May 22, 1920, and the Federal Employees Retirement System (FERS) established on June 6, 1986. The Retirement Fund is a single plan even though there are two different benefit tiers and funding methods. CSRS is basically a defined benefit plan, covering Federal employees hired prior to 1984. CSRS participants do not participate in the Social Security system. FERS is a three-tiered pension program that uses Social Security as a base, provides an additional basic benefit, and includes a thrift savings plan. FERS covers employees hired after 1983 and formerly CSRS-covered employees who elected to join FERS.

The Budget proposes that the United States Patent and Trademark Office (PTO) continue to fund the full cost for retirement benefits for PTO's employees covered under the Civil Service Retirement System.

**Financing.**—The financing of the Retirement Fund is easily the most complex of the four trust funds. CSRS has been financed under a statutory funding method passed by Congress in 1969. This funding method is based on the static economic assumptions of no future inflation, no future general schedule salary increases, and a 5 percent interest rate. Under CSRS, regular employees contribute 7 percent of pay. Law Enforcement Officers, Firefighters, and Congressional employees contribute an extra 0.5 percent of pay, and Members of Congress an extra 1.0 percent of pay. Non-Postal Agencies match the employee contributions. Also under the static funding method for CSRS, the Treasury pays interest on any static unfunded liabilities that are not being financed by the Postal Service. The Treasury also makes payments to amortize, over a 30-year period, any increases in the static unfunded liability due to salary increases for Non-Postal employ-

## CIVIL SERVICE RETIREMENT AND DISABILITY FUND—Continued

ees that occurred during the year, and pays for the cost of any benefits attributable to military service for both Postal and Non-Postal employees that were paid out during the year.

FERS is funded under a dynamic entry age funding method as prescribed in Chapter 84 of Title 5, United States Code, and employees and agencies together contribute the full amount of the dynamic normal cost. During fiscal year 2010, the dynamic normal cost was 12.0 percent (employees share, 0.8% and employers share, 11.2%) of pay for regular employees. Effective fiscal year 2012, the normal cost will be 12.7 percent (employees share, 0.8% and employers share, 11.9). On February 22, 2012, President Obama signed into law Public Law (P.L.) 112–96, the Middle Class Tax Relief and Job Creation Act of 2012, which contains provisions related to Federal employee retirement contributions and benefits. P.L. 112–96 increased the FERS employee contribution rate by 2.3% for FERS employees hired (or rehired with less than five years of FERS service) after December 31, 2012. The total normal rate of cost for most newly hired/rehired FERS regular employees and agencies together is still 12.7% of pay (employee share, 3.1% and employer's share, 9.6%). Effective fiscal year 2013, a weighted average normal cost was utilized to correctly capture all other groups of employees and agencies normal cost rates.

This dynamic normal cost is for the defined payment plan only and does not include the cost of Social Security or the Thrift Savings Plan. FERS regular employees contribute a percentage of salary that is equal to the difference between the contribution rate for CSRS employees, as set forth above, and the tax rate under the Old Age, Survivors and Disability Insurance (OASDI) portion of Social Security, which until recently was 4.2 percent of pay. In December 2010, President Obama signed The Middle Class Tax Relief Act of 2010 (P.L. 111–312) which reduced the FERS employees' Social Security payroll tax rate from 6.2 percent to 4.2 percent for two years (2011 and 2012). The Social Security rate reduction was temporary and was not extended as part of the American taxpayer Relief Act. Therefore, the 2013 Social Security tax rate will revert back to the historical level of 6.2 percent.

The Budget proposes amendments to employer and employee FERS and CSRS contributions, as well as the introduction of a FERS phased retirement program and elimination of the FERS annuity Supplement for new federal employees, described in legislative proposal sections, below.

|                          | 2012 actual | 2013 est. | 2014 est. |
|--------------------------|-------------|-----------|-----------|
| Active employees .....   | 2,784,000   | 2,733,000 | 2,706,000 |
| Annuityants:             |             |           |           |
| Employees .....          | 1,950,514   | 1,982,388 | 2,033,580 |
| Survivors .....          | 593,770     | 581,605   | 569,971   |
| Total, annuityants ..... | 2,544,284   | 2,563,993 | 2,603,551 |

## Status of Funds (in millions of dollars)

| Identification code 24–8135–0–7–602  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:   |             |         |           |
| 0100 Balance, start of year .....  | 803,848     | 826,571 | 839,733   |
| 0199 Total balance, start of year .....  | 803,848     | 826,571 | 839,733   |
| Cash income during the year:   |             |         |           |
| Current law:   |             |         |           |
| Receipts:  |             |         |           |
| 1200 Employee Contributions, Civil Service Retirement and Disability Fund .....                                | 3,012       | 3,023   | 3,003     |
| 1202 District of Columbia Contributions, Civil Service Retirement and Disability Fund .....                    | 28          | 19      | 17        |
| 1203 Employee Deposits, Redeposits and Other Contributions, Civil Service Retirement and Disability Fund ..... | 655         | 661     | 670       |

|  |         |         |         |
|--|---------|---------|---------|
| 1240 Offsetting receipts (intragovernmental):  |         |         |         |
| Agency Contributions, Civil Service Retirement and Disability Fund .....                       | 21,484  | 21,824  | 22,190  |
| 1242 Postal Service Agency Contributions, Civil Service Retirement and Disability Fund .....   | 3,879   | 3,600   | 3,524   |
| 1243 FFB, TVA, and USPS Interest, Civil Service Retirement and Disability Fund .....           | 392     | 329     | 263     |
| 1244 Treasury Interest, Civil Service Retirement and Disability Fund .....                     | 34,257  | 31,302  | 29,697  |
| 1245 General Fund Payment to the Civil Service Retirement and Disability Fund .....            | 33,023  | 32,374  | 33,174  |
| 1247 Re-employed Annuityants Salary Offset, Civil Service Retirement and Disability Fund ..... | 50      | 51      | 52      |
| 1299 Income under present law .....  | 96,780  | 93,183  | 92,590  |
| Proposed legislation:  |         |         |         |
| Receipts:  |         |         |         |
| 2201 Employee Contributions, Civil Service Retirement and Disability Fund .....                |         |         | 800     |
| Offsetting receipts (intragovernmental):   |         |         |         |
| 2241 Agency Contributions, Civil Service Retirement and Disability Fund .....                  |         |         | –17     |
| 2246 General Fund Payment to the Civil Service Retirement and Disability Fund .....            |         |         | –34     |
| 2299 Income under proposed legislation .....   |         |         | 749     |
| 3299 Total cash income .....   | 96,780  | 93,183  | 93,339  |
| Cash outgo during year:  |         |         |         |
| Current law:   |         |         |         |
| 4500 Civil Service Retirement and Disability Fund .....  | –74,057 | –77,421 | –81,025 |
| 4599 Outgo under current law (-) .....   | –74,057 | –77,421 | –81,025 |
| Proposed legislation:  |         |         |         |
| 5500 Civil Service Retirement and Disability Fund .....  |         | –2,600  | –4,430  |
| 5599 Outgo under proposed legislation (-) .....  |         | –2,600  | –4,430  |
| 6599 Total cash outgo (-) .....  | –74,057 | –80,021 | –85,455 |
| Unexpended balance, end of year:   |         |         |         |
| 8700 Uninvested balance (net), end of year .....   | 16      | –2,543  | –6,172  |
| 8701 Civil Service Retirement and Disability Fund .....  | 826,555 | 842,276 | 853,789 |
| 8799 Total balance, end of year .....  | 826,571 | 839,733 | 847,617 |

## Object Classification (in millions of dollars)

| Identification code 24–8135–0–7–602                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 148         | 91      | 98        |
| 42.0 Insurance claims and indemnities .....        | 73,981      | 77,352  | 80,921    |
| 44.0 Refunds and death claims .....                | 377         | 284     | 288       |
| 99.9 Total new obligations .....                   | 74,506      | 77,727  | 81,307    |

## CIVIL SERVICE RETIREMENT AND DISABILITY FUND

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 24–8135–4–7–602                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0006 USPS Refund .....                               |             | 2,600   | 4,430     |
| 0900 Total new obligations (object class 44.0) ..... |             | 2,600   | 4,430     |
| Budgetary Resources:                                 |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1203 Appropriation (previously unavailable) .....    |             | 2,600   | 4,430     |
| 1260 Appropriations, mandatory (total) .....         |             | 2,600   | 4,430     |
| 1930 Total budgetary resources available .....       |             | 2,600   | 4,430     |
| Change in obligated balance:                         |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             | 2,600   | 4,430     |
| 3020 Outlays (gross) .....                           |             | –2,600  | –4,430    |
| Budget authority and outlays, net:                   |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             | 2,600   | 4,430     |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             | 2,600   | 4,430     |
| 4180 Budget authority, net (total) .....             |             | 2,600   | 4,430     |
| 4190 Outlays, net (total) .....                      |             | 2,600   | 4,430     |

The Budget proposes to return to the United States Postal Service (USPS) surplus amounts it has paid into its Office of Personnel Management (OPM) account for its share of Federal Employee Retirement System costs, and requires that OPM calculate these costs using factors specific to the demographics of the Postal Service workforce. OPM has determined this surplus, as of September 30, 2011, and based on government-wide demographic assumptions, is approximately \$2.6 billion. Given the amount of time necessary for OPM to re-calculate this surplus to account for Postal-specific factors, the Budget would provide the current OPM calculation in 2013, and the remainder of any recalculated surplus in 2014 and 2015. Until OPM has recalculated the surplus amount using Postal-specific factors, the Budget assumes as a placeholder a total surplus of \$11.5 billion, as estimated by the Postal Service Office of Inspector General in December 2012 (and based on USPS investment returns, salary growth rates, cost of living adjustments granted to Postal retirees, and Postal Service demographic trends). See also the Postal Service section of this Appendix.

The 2014 Budget also contains a legislative proposal that impacts the financing and benefits payable under the Civil Service Retirement System. The Budget proposes to Increase Existing Employee Contributions to CSRS and FERS Retirement Systems by 1.2% of salaries phased in over three years. This proposal would increase the normal cost for employees and maintain the employers share at its current contribution rate. This proposal is projected to save the Federal Government approximately \$20 Billion dollars over a ten-year period (2014 through 2023).

#### EMPLOYEES LIFE INSURANCE FUND

##### Program and Financing (in millions of dollars)

| Identification code 24–8424–0–8–602                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0801 Basic life insurance payments .....                            | 1,569       | 1,575   | 1,623     |
| 0802 Optional life insurance payments .....                         | 1,143       | 1,121   | 1,145     |
| 0803 Shenandoah life insurance payments .....                       | 1           | 1       | 1         |
| 0804 Administration—OPM & OIG .....                                 | 2           | 5       | 6         |
| 0805 Administration—long term care .....                            | 2           | 2       | 2         |
| 0900 Total new obligations (object class 25.2) .....                | 2,717       | 2,704   | 2,777     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 38,782      | 40,326  | 42,180    |
| Budget authority:   |             |         |           |
| 1700 Spending authority from offsetting collections, discretionary: |             |         |           |
| Collected .....   | 2           | 5       | 6         |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 2           | 5       | 6         |
| Spending authority from offsetting collections, mandatory:          |             |         |           |
| 1800 Collected .....  | 4,255       | 4,594   | 4,089     |
| 1801 Change in uncollected payments, Federal sources .....          | 4           | –41     | 3         |
| 1850 Spending auth from offsetting collections, mand (total) .....  | 4,259       | 4,553   | 4,092     |
| 1900 Budget authority (total) .....                                 | 4,261       | 4,558   | 4,098     |
| 1930 Total budgetary resources available .....                      | 43,043      | 44,884  | 46,278    |
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 40,326      | 42,180  | 43,501    |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 815         | 858     | 719       |
| 3010 Obligations incurred, unexpired accounts .....                 | 2,717       | 2,704   | 2,777     |
| 3020 Outlays (gross) .....  | –2,674      | –2,843  | –2,760    |
| 3050 Unpaid obligations, end of year .....                          | 858         | 719     | 736       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | –376        | –380    | –339      |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | –4          | 41      | –3        |
| 3090 Uncollected pymts, Fed sources, end of year .....              | –380        | –339    | –342      |
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 3100 Obligated balance, start of year .....                         | 439         | 478     | 380       |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| 3200  | Obligated balance, end of year .....                            | 478    | 380    | 394    |
| <b>Budget authority and outlays, net:</b>               |   |        |        |        |
| Discretionary:  |   |        |        |        |
| 4000  | Budget authority, gross .....                                   | 2      | 5      | 6      |
| Outlays, gross:   |   |        |        |        |
| 4010  | Outlays from new discretionary authority .....                  | 1      | 5      | 6      |
| 4011  | Outlays from discretionary balances .....                       | 1      | 1      | .....  |
| 4020  | Outlays, gross (total) .....                                    | 2      | 6      | 6      |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| Mandatory:  |   |        |        |        |
| 4090  | Budget authority, gross .....                                   | 4,259  | 4,553  | 4,092  |
| Outlays, gross:   |   |        |        |        |
| 4100  | Outlays from new mandatory authority .....                      | 1,858  | 2,022  | 2,077  |
| 4101  | Outlays from mandatory balances .....                           | 814    | 815    | 677    |
| 4110  | Outlays, gross (total) .....                                    | 2,672  | 2,837  | 2,754  |
| Offsets against gross budget authority and outlays:     |   |        |        |        |
| Offsetting collections (collected) from:                |   |        |        |        |
| 4120  | Federal sources .....   | –550   | –517   | –515   |
| 4121  | Interest on Federal securities .....                            | –1,028 | –1,474 | –950   |
| 4123  | Non-Federal sources .....                                       | –2,679 | –2,605 | –2,630 |
| 4130  | Offsets against gross budget authority and outlays (total) .... | –4,257 | –4,596 | –4,095 |
| Additional offsets against gross budget authority only: |   |        |        |        |
| 4140  | Change in uncollected pymts, Fed sources, unexpired .....       | –4     | 41     | –3     |
| 4160  | Budget authority, net (mandatory) .....                         | –2     | –2     | –6     |
| 4170  | Outlays, net (mandatory) .....                                  | –1,585 | –1,759 | –1,341 |
| 4180  | Budget authority, net (total) .....                             | .....  | 3      | .....  |
| 4190  | Outlays, net (total) .....                                      | –1,583 | –1,753 | –1,335 |
| <b>Memorandum (non-add) entries:</b>                    |   |        |        |        |
| 5000  | Total investments, SOY: Federal securities: Par value .....     | 39,678 | 41,250 | 41,522 |
| 5001  | Total investments, EOY: Federal securities: Par value .....     | 41,250 | 41,522 | 42,843 |

This fund finances payments to private insurance companies for Federal Employees' Group Life Insurance and expenses of the Office of Personnel Management in administering the program.

The Administration proposes that PTO will fund the accruing costs associated with post-retirement life insurance benefits for PTO's employees.

**Budget program.**—The status of the basic (regular and optional) life insurance program on September 30 is as follows:

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Life insurance in force (in billions of dollars): |             |           |           |
| On active employees .....                         | 741.6       | 735.7     | 729.9     |
| On retired employees .....                        | 84.6        | 86.8      | 89.0      |
| On tribal employees .....                         | 0           | 0.3       | 0.6       |
| Total .....                                       | 826.2       | 822.8     | 819.5     |
| Number of participants (in thousands):            |             |           |           |
| Active employees .....                            | 2,429       | 2,395     | 2,362     |
| Annuityants .....                                 | 1,639       | 1,655     | 1,671     |
| Tribal employees .....                            | 0           | 1         | 2         |
| Total .....                                       | 4,068       | 4,051     | 4,036     |

**Financing.**—Non-Postal Service employees, employees of Tribal organizations, and all retirees under 65 pay two-thirds of the premium costs for Basic coverage; agencies and tribal organizations pay the remaining third. Optional and certain post-retirement Basic coverages are paid entirely by enrollees. The status of the reserves at the end of the year is as follows:

|  | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-----------|-----------|
| Status of Reserves                           |             |           |           |
| Held in reserve (in millions of dollars):    |             |           |           |
| Contingency reserve .....                    | 305         | 305       | 305       |
| Beneficial association program reserve ..... | 0           | 0         | 0         |
| U.S. Treasury reserve .....                  | 40,770      | 42,624    | 42,965    |
| Total reserves .....                         | 41,075      | 42,929    | 43,270    |

## EMPLOYEES LIFE INSURANCE FUND—Continued

## Object Classification (in millions of dollars)

| Identification code 24–8424–0–8–602                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 2,717       | 2,704   | 2,777     |
| 99.0 Reimbursable obligations .....                | 2,717       | 2,704   | 2,777     |

## EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

## Program and Financing (in millions of dollars)

| Identification code 24–9981–0–8–551                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Benefit payments .....  | 42,602      | 45,051  | 47,492    |
| 0802 Payments from OPM contingency reserve .....                     | 155         | 300     | 300       |
| 0803 Government payment for annuitants (1960 Act) .....              | 1           | 1       | 1         |
| 0804 Administration - operations .....                               | 16          | 24      | 21        |
| 0805 Administration - OIG .....                                      | 17          | 17      | 17        |
| 0806 Administration - dental and vision program .....                | 11          | 17      | 17        |
| 0900 Total new obligations (object class 25.6) .....                 | 42,802      | 45,410  | 47,848    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 16,587      | 18,510  | 19,109    |
| Budget authority:  |             |         |           |
| 1700 Spending authority from offsetting collections, discretionary:  |             |         |           |
| Collected .....  | 33          | 41      | 38        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 33          | 41      | 38        |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 44,651      | 45,912  | 47,992    |
| 1801 Change in uncollected payments, Federal sources .....           | 41          | 56      | 92        |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 44,692      | 45,968  | 48,084    |
| 1900 Budget authority (total) .....                                  | 44,725      | 46,009  | 48,122    |
| 1930 Total budgetary resources available .....                       | 61,312      | 64,519  | 67,231    |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 18,510      | 19,109  | 19,383    |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 4,418       | 4,622   | 4,687     |
| 3010 Obligations incurred, unexpired accounts .....                  | 42,802      | 45,410  | 47,848    |
| 3020 Outlays (gross) .....   | -42,598     | -45,345 | -47,814   |
| 3050 Unpaid obligations, end of year .....                           | 4,622       | 4,687   | 4,721     |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -1,885      | -1,926  | -1,982    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -41         | -56     | -92       |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -1,926      | -1,982  | -2,074    |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 3100 Obligated balance, start of year .....                          | 2,533       | 2,696   | 2,705     |
| 3200 Obligated balance, end of year .....                            | 2,696       | 2,705   | 2,647     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 33          | 41      | 38        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 20          | 41      | 38        |
| 4011 Outlays from discretionary balances .....                       | 13          |         |           |
| 4020 Outlays, gross (total) .....                                    | 33          | 41      | 38        |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 44,692      | 45,968  | 48,084    |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 38,159      | 40,684  | 43,109    |
| 4101 Outlays from mandatory balances .....                           | 4,406       | 4,620   | 4,667     |
| 4110 Outlays, gross (total) .....                                    | 42,565      | 45,304  | 47,776    |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Policy Program [OIG] .....                                      | -31,452     | -32,185 | -33,597   |
| 4121 Interest on Federal securities .....                            | -163        | -321    | -239      |
| 4123 Non-Federal sources .....                                       | -13,069     | -13,447 | -14,194   |
| 4130 Offsets against gross budget authority and outlays (total) .... | -44,684     | -45,953 | -48,030   |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | -41         | -56     | -92       |
| 4160 Budget authority, net (mandatory) .....                         | -33         | -41     | -38       |

|                                     |        |      |      |
|-------------------------------------|--------|------|------|
| 4170 Outlays, net (mandatory) ..... | -2,119 | -649 | -254 |
| 4190 Outlays, net (total) .....     | -2,086 | -608 | -216 |

## Memorandum (non-add) entries:

|  |        |        |        |
|--|--------|--------|--------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 19,194 | 21,261 | 21,563 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 21,261 | 21,563 | 21,828 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Outlays .....                               | -2,086      | -608    | -216      |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Outlays .....                               |             |         | 7         |
| Total:                                      |             |         |           |
| Outlays .....                               | -2,086      | -608    | -209      |

This display combines FEHB fund and the Retired Employees Health Benefits (REHB) fund.

The FEHB fund provides for the cost of health benefits for: 1) active employees; 2) employees who retired after June 1960, or their survivors; 3) those annuitants transferred from the REHB program as authorized by Public Law 93–246; 4) tribal organizations; and 5) the related expenses of OPM in administering the program.

The REHB fund, created by the Retired Federal Employees Health Benefits Act of 1960, provides for: 1) the cost of health benefits for retired employees and survivors who enroll in a Government-sponsored uniform health benefits plan; 2) the contribution to retired employees and survivors who retain or purchase private health insurance; and 3) expenses of OPM in administering the program.

**Budget program.**—The balance of the FEHB fund is available for payments without fiscal year limitation. Numbers of participants at the end of each fiscal year are as follows:

|                            | 2012 actual | 2013 est. | 2014 est. |
|----------------------------|-------------|-----------|-----------|
| Active employees .....     | 1,723,000   | 1,719,000 | 1,719,000 |
| Annuityants .....          | 1,872,000   | 1,903,000 | 1,923,000 |
| Tribal Organizations ..... | 11,530      | 17,295    | 21,619    |
| Total .....                | 3,606,530   | 3,639,295 | 3,663,619 |

In determining a biweekly subscription rate to cover program costs, one percent is added for administrative expenses and three percent is added for a contingency reserve held by OPM for each carrier. OPM is authorized to transfer unused administrative reserve funds to the contingency reserve.

The REHB fund is available without fiscal year limitation. The amounts contributed by the Government are paid into the fund from annual appropriations. The number of participants at the end of each fiscal year are as follows:

|                     | 2012 actual | 2013 est. | 2014 est. |
|---------------------|-------------|-----------|-----------|
| Uniform plan .....  | 127         | 104       | 86        |
| Private plans ..... | 309         | 254       | 209       |
| Total .....         | 436         | 358       | 295       |

**Financing.**—The funds are financed by: 1) withholdings from active employees and annuitants; 2) agency contributions for active employees; 3) Government contributions for annuitants appropriated to OPM; and 4) contributions made by the United States Postal Service in accordance with the provisions of Public Law 101–508.

Funds made available to carriers but not used to pay claims in the current period are carried forward as special reserves for use in subsequent periods. OPM maintains a contingency reserve, funded by employee and Government contributions, which may be used to defray future cost increases or provide increased benefits. OPM makes payments to carriers from this reserve

whenever carrier-held reserves fall below levels prescribed by OPM regulations or when carriers can demonstrate good cause such as unexpected claims experience or variations from expected community rates.

The Budget proposes that the Patent and Trademark Office continue to fund the accruing costs associated with post-retirement health benefits for its employees. The Budget also proposes that the Office of Personnel Management (OPM) be given authority to streamline pharmacy benefit contracting within the FEHB program and leverage enrollees' purchasing power to reduce costs and obtain greater value for enrollees.

#### Status of Funds (in millions of dollars)

| Identification code 24-9981-0-8-551                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:                               |             |         |           |
| 0100 Balance, start of year .....                                | 19,121      | 21,206  | 21,814    |
| 0199 Total balance, start of year .....                          | 19,121      | 21,206  | 21,814    |
| Cash income during the year:                                     |             |         |           |
| Current law:   |             |         |           |
| Offsetting collections:  |             |         |           |
| 1280 Employees and Retired Employees Health Benefits Funds ..... | 163         | 321     | 239       |
| 1281 Employees and Retired Employees Health Benefits Funds ..... | 13,069      | 13,447  | 14,194    |
| 1282 Employees and Retired Employees Health Benefits Funds ..... | 31,452      | 32,185  | 33,597    |
| 1299 Income under present law .....                              | 44,684      | 45,953  | 48,030    |
| 3299 Total cash income .....                                     | 44,684      | 45,953  | 48,030    |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 Employees and Retired Employees Health Benefits Funds ..... | -42,598     | -45,345 | -47,814   |
| 4599 Outgo under current law (-) .....                           | -42,598     | -45,345 | -47,814   |
| Proposed legislation:  |             |         |           |
| 5500 Employees and Retired Employees Health Benefits Funds ..... |             |         | -7        |
| 5599 Outgo under proposed legislation (-) .....                  |             |         | -7        |
| 6599 Total cash outgo (-) .....                                  | -42,598     | -45,345 | -47,821   |
| Manual Adjustments:  |             |         |           |
| 7690 Rounding adjustment .....                                   | -1          |         |           |
| 7699 Total adjustments .....                                     | -1          |         |           |
| Unexpended balance, end of year:                                 |             |         |           |
| 8700 Uninvested balance (net), end of year .....                 | -55         | 251     | 195       |
| 8701 Employees and Retired Employees Health Benefits Funds ..... | 21,261      | 21,563  | 21,828    |
| 8799 Total balance, end of year .....                            | 21,206      | 21,814  | 22,023    |

#### Object Classification (in millions of dollars)

| Identification code 24-9981-0-8-551 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 25.6 Medical care .....             | 42,802      | 45,410  | 47,848    |
| 99.0 Reimbursable obligations ..... | 42,802      | 45,410  | 47,848    |

#### EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

(Legislative proposal, not subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 24-9981-2-8-551 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
|-------------------------------------|-------------|---------|-----------|

|   |  |  |    |
|---|--|--|----|
| <b>Obligations by program activity:</b>               |  |  |    |
| 0804 Administration - operations .....                |  |  | 7  |
| 0900 Total new obligations (object class 25.6) .....  |  |  | 7  |
| Memorandum (non-add) entries:                         |  |  |    |
| 1941 Unexpired unobligated balance, end of year ..... |  |  | -7 |

|   |  |  |    |
|---|--|--|----|
| <b>Change in obligated balance:</b>                 |  |  |    |
| Unpaid obligations:                                 |  |  |    |
| 3010 Obligations incurred, unexpired accounts ..... |  |  | 7  |
| 3020 Outlays (gross) .....                          |  |  | -7 |

|  |  |  |   |
|--|--|--|---|
| <b>Budget authority and outlays, net:</b>      |  |  |   |
| Discretionary:                                 |  |  |   |
| Outlays, gross:                                |  |  |   |
| 4011 Outlays from discretionary balances ..... |  |  | 7 |
| 4190 Outlays, net (total) .....                |  |  | 7 |

#### Object Classification (in millions of dollars)

| Identification code 24-9981-2-8-551 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 25.6 Medical care .....             |             |         | 7         |
| 99.0 Reimbursable obligations ..... |             |         | 7         |

#### EMPLOYEES AND RETIRED EMPLOYEES HEALTH BENEFITS FUNDS

(Legislative proposal, subject to PAYGO)

The health insurance marketplace has changed significantly since the FEHBP was enacted in 1959 and the current governing statute leaves little flexibility for the program to evolve with the changing market. The 2014 Budget proposes that beginning in 2015: employees would be given the option to enroll in a self plus one coverage option rather than being limited to just self or family options; domestic partners of Federal employees and new retirees would be eligible for health benefits; OPM would be authorized to contract with modern types of health plans rather than being limited to the current four statutorily-defined plans reflective of the 1950s insurance market; OPM would be authorized to contract separately for pharmacy benefit management services; and OPM would be given authority to make adjustments to premiums based on an enrollee's tobacco use and/or participation in a wellness program.

#### GENERAL FUND RECEIPT ACCOUNT

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 24-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts: Enacted/requested ..... | 17          | 2       | 2         |
| General Fund Offsetting receipts from the public .....   | 17          | 2       | 2         |

This account represents general miscellaneous receipts of the Office of Personnel Management and receipts that must be returned to the General Fund of the Treasury.



# SMALL BUSINESS ADMINISTRATION

## Federal Funds

### SALARIES AND EXPENSES

*For necessary expenses, not otherwise provided for, of the Small Business Administration as authorized by Public Law 108-447, including hire of passenger motor vehicles as authorized by 31 U.S.C. 1343 and 1344, and not to exceed \$3,500 for official reception and representation expenses, \$485,923,000: Provided, That the Administrator is authorized to charge fees to cover the cost of publications developed by the Small Business Administration, and certain loan program activities, including fees authorized by section 5(b) of the Small Business Act: Provided further, That, notwithstanding 31 U.S.C. 3302, revenues received from all such activities shall be credited to this account, to remain available until expended, for carrying out these purposes without further appropriations: Provided further, That the Small Business Administration may accept gifts in an amount not to exceed \$4,000,000 and may co-sponsor activities, each in accordance with section 132(a) of division K of Public Law 108-447, during fiscal year 2014: Provided further, That \$104,680,000 shall be available to fund grants for performance in fiscal year 2014 or fiscal year 2015 as authorized by section 21 of the Small Business Act, to remain available until September 30, 2015: Provided further, That \$19,850,000 shall remain available until September 30, 2015 for marketing, management, and technical assistance under section 7(m) of the Small Business Act (15 U.S.C. 636(m)(4)) by intermediaries that make microloans under the microloan program: Provided further, That \$6,100,000 shall be available for the Loan Modernization and Accounting System, to be available until September 30, 2015: Provided further, That \$58,050,000, shall be available to make grants to or cooperative agreements with organizations to provide technical assistance to small businesses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

### Program and Financing (in millions of dollars)

| Identification code 73-0100-0-1-376                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Executive direction .....                                     | 81          | 80      | 83        |
| 0002   | Capital Access .....  | 93          | 91      | 92        |
| 0003   | Gov. Contracting/ Bus. Development .....                      | 28          | 27      | 27        |
| 0004   | Entrepreneurial Development .....                             | 9           | 9       | 18        |
| 0005   | Management & Administration .....                             | 23          | 22      | 26        |
| 0006   | Office of Chief Information Officer .....                     | 41          | 41      | 46        |
| 0007   | Regional & district offices .....                             | 100         | 98      | 104       |
| 0008   | Agency wide costs .....                                       | 49          | 53      | 53        |
| 0009   | Non credit programs .....                                     | 213         | 192     | 211       |
| 0012   | Disaster .....  | 202         | 356     | 192       |
| 0900   | Total new obligations .....                                   | 839         | 969     | 852       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 175         | 83      | 161       |
| 1021   | Recoveries of prior year unpaid obligations .....             | 10          |         |           |
| 1050   | Unobligated balance (total) .....                             | 185         | 83      | 161       |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 417         | 420     | 486       |
| 1100   | Appropriation .....   |             | 20      |           |
| 1160   | Appropriation, discretionary (total) .....                    | 417         | 440     | 486       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 169         | 446     | 192       |
| 1700   | Collected .....   | 160         | 161     | 166       |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 329         | 607     | 358       |
| 1900   | Budget authority (total) .....                                | 746         | 1,047   | 844       |
| 1930   | Total budgetary resources available .....                     | 931         | 1,130   | 1,005     |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1940   | Unobligated balance expiring .....                            | -9          |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 83          | 161     | 153       |

### Change in obligated balance:

|                               |  |       |        |       |
|-------------------------------|--|-------|--------|-------|
| Unpaid obligations:           |  |       |        |       |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....             | 495   | 436    | 300   |
| 3010                          | Obligations incurred, unexpired accounts .....               | 839   | 969    | 852   |
| 3011                          | Obligations incurred, expired accounts .....                 | 11    | .....  | ..... |
| 3020                          | Outlays (gross) .....  | -884  | -1,105 | -860  |
| 3040                          | Recoveries of prior year unpaid obligations, unexpired ..... | -10   | .....  | ..... |
| 3041                          | Recoveries of prior year unpaid obligations, expired .....   | -15   | .....  | ..... |
|                               |  | <hr/> | <hr/>  | <hr/> |
| 3050                          | Unpaid obligations, end of year .....                        | 436   | 300    | 292   |
| Memorandum (non-add) entries: |  |       |        |       |
| 3100                          | Obligated balance, start of year .....                       | 495   | 436    | 300   |
| 3200                          | Obligated balance, end of year .....                         | 436   | 300    | 292   |

### Budget authority and outlays, net:

|                |   |      |       |       |
|----------------|---|------|-------|-------|
| Discretionary: |   |      |       |       |
| 4000           | Budget authority, gross .....                                   | 746  | 1,047 | 844   |
|                | Outlays, gross:   |      |       |       |
| 4010           | Outlays from new discretionary authority .....                  | 542  | 706   | 536   |
| 4011           | Outlays from discretionary balances .....                       | 342  | 399   | 324   |
|                |   |      |       |       |
| 4020           | Outlays, gross (total) .....                                    | 884  | 1,105 | 860   |
|                | Offsets against gross budget authority and outlays:             |      |       |       |
|                | Offsetting collections (collected) from:                        |      |       |       |
| 4030           | Baseline Program [Text] .....                                   | -317 | -595  | -344  |
| 4033           | Baseline Program [Text] .....                                   | -13  | -12   | -14   |
|                |   |      |       |       |
| 4040           | Offsets against gross budget authority and outlays (total) .... | -330 | -607  | -358  |
|                | Additional offsets against gross budget authority only:         |      |       |       |
| 4052           | Offsetting collections credited to expired accounts .....       | 1    | ..... | ..... |
|                |   |      |       |       |
| 4070           | Budget authority, net (discretionary) .....                     | 417  | 440   | 486   |
| 4080           | Outlays, net (discretionary) .....                              | 554  | 498   | 502   |
| 4180           | Budget authority, net (total) .....                             | 417  | 440   | 486   |
| 4190           | Outlays, net (total) .....                                      | 554  | 498   | 502   |

This account funds the administrative expenses of SBA headquarters and field office operations. Appropriations for the administration of the disaster and business loan programs are merged with this account. The 2014 Budget provides \$6.1 million in funding for the continued development of a revised loan management accounting system, which will improve oversight of SBA's more than \$100 billion portfolio of loans and loan guarantees. Funding is also requested for core agency activities, including information technology investments and human capital development. In addition, this account funds non-credit business assistance grant programs.

For 2014, the Budget supports a new entrepreneurial education initiative designed to train and develop small business owners that are poised for growth, as well as the Boots to Business program, which provides entrepreneurship training to America's veterans transitioning to civilian life. The Budget also supports place-based initiatives, such as the Regional Innovation Clusters program and growth accelerators. In addition, the 2014 Budget supports an increase in the number of SBA's Procurement Center Representatives, who will be strategically embedded across the Federal Government to try to increase Federal contracting opportunities for small businesses.

### Object Classification (in millions of dollars)

| Identification code 73-0100-0-1-376 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 189         | 201     | 206       |
| 11.3                                | Other than full-time permanent .....                       | 10          | 10      | 10        |
| 11.5                                | Other personnel compensation .....                         | 4           | 4       | 4         |
| 11.9                                | Total personnel compensation .....                         | 203         | 215     | 220       |
| 12.1                                | Civilian personnel benefits .....                          | 61          | 64      | 65        |
| 21.0                                | Travel and transportation of persons .....                 | 7           | 7       | 7         |
| 23.1                                | Rental payments to GSA .....                               | 36          | 37      | 37        |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 8           | 7       | 8         |
| 24.0                                | Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....              | 100         | 82      | 101       |

SALARIES AND EXPENSES—Continued  
Object Classification—Continued

| Identification code 73-0100-0-1-376  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.3 Other purchases of goods and services from Government accounts (Disaster Administrative Expenses) ..... | 202         | 356     | 192       |
| 26.0 Supplies and materials .....  | 5           | 4       | 5         |
| 31.0 Equipment .....   | 3           | 3       | 4         |
| 41.0 Grants, subsidies, and contributions .....  | 213         | 192     | 211       |
| 99.0 Direct obligations .....  | 839         | 968     | 851       |
| 99.0 Reimbursable obligations .....  | .....       | 1       | 1         |
| 99.9 Total new obligations .....   | 839         | 969     | 852       |

## Employment Summary

| Identification code 73-0100-0-1-376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 3,234       | 3,202   | 3,311     |

## OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$19,400,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113-2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 73-0200-0-1-376     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Audit .....                        | 7           | 7       | 9         |
| 0002 Investigations .....               | 10          | 10      | 10        |
| 0003 Management policy .....            | 1           | 1       | 1         |
| 0004 General Office/Legal Counsel ..... | 2           | 2       | 2         |
| 0900 Total new obligations .....        | 20          | 20      | 22        |

## Budgetary Resources:

|  |       |    |       |
|--|-------|----|-------|
| 1000 Unobligated balance:  |       |    |       |
| Unobligated balance brought forward, Oct 1 .....                                   | 10    | 7  | 9     |
| Budget authority:  |       |    |       |
| Appropriations, discretionary:   |       |    |       |
| 1100 Appropriation .....   | 16    | 16 | 19    |
| 1100 Emergency pursuant to 2011 Budget Control Act, Appropriations Committee. .... | ..... | 5  | ..... |
| 1160 Appropriation, discretionary (total) .....                                    | 16    | 21 | 19    |
| Spending authority from offsetting collections, discretionary:                     |       |    |       |
| 1700 Collected .....   | 1     | 1  | 1     |
| 1750 Spending auth from offsetting collections, disc (total) .....                 | 1     | 1  | 1     |
| 1900 Budget authority (total) .....  | 17    | 22 | 20    |
| 1930 Total budgetary resources available .....                                     | 27    | 29 | 29    |
| Memorandum (non-add) entries:  |       |    |       |
| 1941 Unexpired unobligated balance, end of year .....                              | 7     | 9  | 7     |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3   | 3   | 2   |
| 3010 Obligations incurred, unexpired accounts .....   | 20  | 20  | 22  |
| 3020 Outlays (gross) .....                            | -20 | -21 | -22 |
| 3050 Unpaid obligations, end of year .....            | 3   | 2   | 2   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 3   | 3   | 2   |
| 3200 Obligated balance, end of year .....             | 3   | 2   | 2   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 17 | 22 | 20 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 16 | 17 | 19 |
| 4011 Outlays from discretionary balances .....      | 4  | 4  | 3  |
| 4020 Outlays, gross (total) .....                   | 20 | 21 | 22 |

## Offsets against gross budget authority and outlays:

|   |    |    |    |
|---|----|----|----|
| Offsetting collections (collected) from:        |    |    |    |
| 4030 Baseline Program (Disaster Transfer) ..... | -1 | -1 | -1 |
| 4180 Budget authority, net (total) .....        | 16 | 21 | 19 |
| 4190 Outlays, net (total) .....                 | 19 | 20 | 21 |

The 2014 Budget proposes \$19.4 million in new budget authority and \$1 million transferred from the Disaster Loans Program account for a total of \$20.4 million for the Office of Inspector General (OIG). This appropriation provides funds for agency-wide audit, investigative, and related functions to promote economy and efficiency in SBA operations and to prevent and detect waste, fraud, and abuse.

## Object Classification (in millions of dollars)

| Identification code 73-0200-0-1-376     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>              |             |         |           |
| Personnel compensation:                 |             |         |           |
| 11.1 Full-time permanent .....          | 11          | 11      | 13        |
| 11.5 Other personnel compensation ..... | 1           | 1       | 1         |
| 11.9 Total personnel compensation ..... | 12          | 12      | 14        |
| 12.1 Civilian personnel benefits .....  | 4           | 4       | 4         |
| 25.2 Other services .....               | 3           | 3       | 3         |
| 99.0 Direct obligations .....           | 19          | 19      | 21        |
| 99.0 Reimbursable obligations .....     | 1           | 1       | 1         |
| 99.9 Total new obligations .....        | 20          | 20      | 22        |

## Employment Summary

| Identification code 73-0200-0-1-376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 110         | 112     | 119       |

## OFFICE OF ADVOCACY

*For necessary expenses of the Office of Advocacy in carrying out the provisions of title II of Public Law 94-305 (15 U.S.C. 634a et seq.) and the Regulatory Flexibility Act of 1980 (5 U.S.C. 601 et seq.), \$8,455,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 73-0300-0-1-376     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program activity .....      | 8           | 9       | 8         |

## Budgetary Resources:

|   |       |    |   |
|---|-------|----|---|
| Unobligated balance:                                  |       |    |   |
| 1000 Unobligated balance brought forward, Oct 1 ..... | ..... | 1  | 1 |
| Budget authority:                                     |       |    |   |
| Appropriations, discretionary:                        |       |    |   |
| 1100 Appropriation .....                              | 9     | 9  | 8 |
| 1160 Appropriation, discretionary (total) .....       | 9     | 9  | 8 |
| 1930 Total budgetary resources available .....        | 9     | 10 | 9 |
| Memorandum (non-add) entries:                         |       |    |   |
| 1941 Unexpired unobligated balance, end of year ..... | 1     | 1  | 1 |

## Change in obligated balance:

|   |       |    |    |
|---|-------|----|----|
| Unpaid obligations:                                   |       |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | ..... | 1  | 2  |
| 3010 Obligations incurred, unexpired accounts .....   | 8     | 9  | 8  |
| 3020 Outlays (gross) .....                            | -7    | -8 | -7 |
| 3050 Unpaid obligations, end of year .....            | 1     | 2  | 3  |
| Memorandum (non-add) entries:                         |       |    |    |
| 3100 Obligated balance, start of year .....           | ..... | 1  | 2  |
| 3200 Obligated balance, end of year .....             | 1     | 2  | 3  |



| Budget authority and outlays, net: |  |   |   |
|------------------------------------|--|---|---|
| Discretionary:                     |  |   |   |
| 4000                               | Budget authority, gross .....                  | 9 | 9 |
| Outlays, gross:                    |  |   |   |
| 4010                               | Outlays from new discretionary authority ..... | 7 | 8 |
| 4180                               | Budget authority, net (total) .....            | 9 | 9 |
| 4190                               | Outlays, net (total) .....                     | 7 | 8 |

The 2014 Budget proposes \$8.455 million in new budget authority. This appropriation provides funds for operations of the Office of Advocacy, in accordance with the Small Business Jobs Act of 2010 (P.L. 111–240). Pursuant to Section 1602 of the Jobs Act, SBA is requesting that the funds remain available until expended.

The Office of Advocacy's advice and small business research help the Federal Government take into account the concerns of small businesses when it develops policies and regulations. The Office's regional advocates support regulatory flexibility at the State level, work with the regional Regulatory Fairness Boards established by the Small Business Regulatory Enforcement Fairness Act, and promote the use of Advocacy research and data products in the curricula of universities and other schools in their respective regions.

#### Object Classification (in millions of dollars)

| Identification code 73–0300–0–1–376 | 2012 actual                                       | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| Direct obligations:                 |   |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 5       | 5         |
| 12.1                                | Civilian personnel benefits .....                 | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....     | 1       | 2         |
| 99.9                                | Total new obligations .....                       | 8       | 9         |

#### Employment Summary

| Identification code 73–0300–0–1–376 | 2012 actual   | 2013 CR | 2014 est. |
|-------------------------------------|---|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 46      | 46        |

#### SURETY BOND GUARANTEES REVOLVING FUND

##### Program and Financing (in millions of dollars)

| Identification code 73–4156–0–3–376                            | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |         |           |
| 0801   | Reimbursable obligations .....                                | 8       | 9         |
| 0900   | Total new obligations (object class 42.0) .....               | 8       | 9         |
| <b>Budgetary Resources:</b>                                    |   |         |           |
| Unobligated balance:   |   |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 59      | 61        |
| Budget authority:  |   |         |           |
| Spending authority from offsetting collections, discretionary: |   |         |           |
| 1700   | Collected .....   | 10      | 9         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 10      | 9         |
| 1900   | Budget authority (total) .....                                | 10      | 9         |
| 1930   | Total budgetary resources available .....                     | 69      | 70        |
| Memorandum (non-add) entries:                                  |   |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 61      | 61        |
| <b>Change in obligated balance:</b>                            |   |         |           |
| Unpaid obligations:  |   |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 1       | 1         |
| 3010   | Obligations incurred, unexpired accounts .....                | 8       | 9         |
| 3020   | Outlays (gross) .....   | –7      | –9        |
| 3050   | Unpaid obligations, end of year .....                         | 1       | 1         |
| Memorandum (non-add) entries:                                  |   |         |           |
| 3100   | Obligated balance, start of year .....                        | 1       | 1         |
| 3200   | Obligated balance, end of year .....                          | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                      |   |         |           |
| Discretionary:   |   |         |           |
| 4000   | Budget authority, gross .....                                 | 10      | 9         |

|   |  |     |       |
|---|--|-----|-------|
| Outlays, gross:                                     |  |     |       |
| 4010  | Outlays from new discretionary authority ..... | 5   | 9     |
| 4011  | Outlays from discretionary balances .....      | 2   | ..... |
| 4020  | Outlays, gross (total) .....                   | 7   | 9     |
| Offsets against gross budget authority and outlays: |  |     |       |
| Offsetting collections (collected) from:            |  |     |       |
| 4033  | Non-Federal sources .....                      | –10 | –9    |
| 4190  | Outlays, net (total) .....                     | –3  | ..... |

SBA is authorized to issue bond guarantees to surety companies for construction, service, and supply contracts or work orders, and to reimburse these sureties up to 90 percent of the losses sustained if the contractor defaults. SBA's guarantees provide an incentive for sureties to issue bonds to small contractors who could not otherwise secure them and compete in the contracting industry. It is estimated that there are sufficient funds in reserve to cover the cost of claim defaults in 2014. Therefore, no new appropriated funds are requested in the Budget.

#### Balance Sheet (in millions of dollars)

| Identification code 73–4156–0–3–376 | 2011 actual                                     | 2012 actual |
|-------------------------------------|---|-------------|
| <b>ASSETS:</b>                      |   |             |
| Federal assets:                     |   |             |
| 1101                                | Fund balances with Treasury .....               | 59          |
| Investments in US securities:       |   |             |
| 1106                                | Receivables, net .....                          | 1           |
| 1999                                | Total assets .....                              | 60          |
| <b>LIABILITIES:</b>                 |   |             |
| 2201                                | Non-Federal liabilities: Accounts payable ..... | 21          |
| <b>NET POSITION:</b>                |   |             |
| 3300                                | Cumulative results of operations .....          | 39          |
| 4999                                | Total liabilities and net position .....        | 60          |

#### BUSINESS LOANS PROGRAM ACCOUNT

##### (INCLUDING TRANSFER OF FUNDS)

For the cost of direct loans, \$4,600,000, to remain available until expended, and for the cost of guaranteed loans as authorized by section 503 of the Small Business Investment Act of 1958 (Public Law 85–699), \$107,000,000, to remain available until expended: Provided, That such costs, including the cost of modifying such loans, shall be as defined in section 502 of the Congressional Budget Act of 1974: Provided further, That subject to section 502 of the Congressional Budget Act of 1974, during fiscal year 2014 commitments to guarantee loans under section 503 of the Small Business Investment Act of 1958 shall not exceed \$7,500,000,000: Provided further, That during fiscal year 2014 commitments for general business loans authorized under section 7(a) of the Small Business Act shall not exceed \$17,500,000,000 for a combination of amortizing term loans and the aggregated maximum line of credit provided by revolving loans: Provided further, That during fiscal year 2014 commitments for loans authorized under subparagraph (C) of section 502(7) of the Small Business Investment Act of 1958 (15 U.S.C. 696(7)) shall not exceed \$7,500,000,000: Provided further, That during fiscal year 2014 commitments to guarantee loans for debentures under section 303(b) of the Small Business Investment Act of 1958 shall not exceed \$4,000,000,000: Provided further, That during fiscal year 2014, guarantees of trust certificates authorized by section 5(g) of the Small Business Act shall not exceed a principal amount of \$12,000,000,000. In addition, for administrative expenses to carry out the direct and guaranteed loan programs, \$151,560,000, which shall be paid to the appropriations for Salaries and Expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## BUSINESS LOANS PROGRAM ACCOUNT—Continued

## Program and Financing (in millions of dollars)

| Identification code 73-1154-0-1-376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0701 Direct loan subsidy .....                                     | 8           | 7       | 5         |
| 0702 Loan guarantee subsidy .....                                  | 196         | 428     | 128       |
| 0705 Reestimates of direct loan subsidy .....                      | 3           | 2       | .....     |
| 0706 Interest on reestimates of direct loan subsidy .....          | 2           | 2       | .....     |
| 0707 Reestimates of loan guarantee subsidy .....                   | 2,129       | 643     | .....     |
| 0708 Interest on reestimates of loan guarantee subsidy .....       | 375         | 207     | .....     |
| 0709 Administrative expenses .....                                 | 148         | 149     | 152       |
| 0900 Total new obligations .....                                   | 2,861       | 1,438   | 285       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 60          | 77      | .....     |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 60          | 60      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....             | 8           | 20      | 22        |
| 1050 Unobligated balance (total) .....                             | 68          | 97      | 22        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 359         | 487     | 263       |
| 1160 Appropriation, discretionary (total) .....                    | 359         | 487     | 263       |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 2,509       | 854     | .....     |
| 1260 Appropriations, mandatory (total) .....                       | 2,509       | 854     | .....     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           | .....   | .....     |
| 1900 Budget authority (total) .....                                | 2,871       | 1,341   | 263       |
| 1930 Total budgetary resources available .....                     | 2,939       | 1,438   | 285       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -1          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....              | 77          | .....   | .....     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 189         | 156     | 258       |
| 3010 Obligations incurred, unexpired accounts .....                | 2,861       | 1,438   | 285       |
| 3020 Outlays (gross) .....   | -2,861      | -1,316  | -303      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -8          | -20     | -22       |
| 3041 Recoveries of prior year unpaid obligations, expired .....    | -25         | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 156         | 258     | 218       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 189         | 156     | 258       |
| 3200 Obligated balance, end of year .....                          | 156         | 258     | 218       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 362         | 487     | 263       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 254         | 336     | 181       |
| 4011 Outlays from discretionary balances .....                     | 98          | 126     | 122       |
| 4020 Outlays, gross (total) .....                                  | 352         | 462     | 303       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | -3          | .....   | .....     |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 2,509       | 854     | .....     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 2,509       | 854     | .....     |
| 4180 Budget authority, net (total) .....                           | 2,868       | 1,341   | 263       |
| 4190 Outlays, net (total) .....                                    | 2,858       | 1,316   | 303       |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 73-1154-0-1-376                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority: |             |         |           |
| 115001 7(m) Direct Microloans .....                         | 24          | 43      | 25        |
| 115011 Intermediary Lending Program .....                   | 18          | .....   | .....     |
| 115999 Total direct loan levels .....                       | 42          | 43      | 25        |
| Direct loan subsidy (in percent):                           |             |         |           |
| 132001 7(m) Direct Microloans .....                         | 14.71       | 15.71   | 18.64     |
| 132011 Intermediary Lending Program .....                   | 25.73       | .....   | .....     |
| 132999 Weighted average subsidy rate .....                  | 19.43       | 15.71   | 18.64     |

|  |        |         |        |
|--|--------|---------|--------|
| Direct loan subsidy budget authority:  |        |         |        |
| 133001 7(m) Direct Microloans .....  | 4      | 7       | 5      |
| 133011 Intermediary Lending Program .....                                    | 5      | .....   | .....  |
| 133999 Total subsidy budget authority .....                                  | 9      | 7       | 5      |
| Direct loan subsidy outlays:   |        |         |        |
| 134001 7(m) Direct Microloans .....  | 3      | 3       | 4      |
| 134010 7(m) Direct Microloans — ARRA .....                                   | 1      | .....   | .....  |
| 134011 Intermediary Lending Program .....                                    | 2      | 2       | .....  |
| 134999 Total subsidy outlays .....   | 6      | 5       | 4      |
| Direct loan upward reestimates:  |        |         |        |
| 135001 7(m) Direct Microloans .....  | 1      | 3       | .....  |
| 135007 SBIC Direct Preferred Stock and Fee Loans .....                       | 3      | .....   | .....  |
| 135010 7(m) Direct Microloans — ARRA .....                                   | 1      | 1       | .....  |
| 135999 Total upward reestimate budget authority .....                        | 5      | 4       | .....  |
| Direct loan downward reestimates:  |        |         |        |
| 137001 7(m) Direct Microloans .....  | -3     | -5      | .....  |
| 137999 Total downward reestimate budget authority .....                      | -3     | -5      | .....  |
| Guaranteed loan levels supportable by subsidy budget authority:              |        |         |        |
| 215002 7(a) General Business Loan Guarantees .....                           | 12,371 | 14,993  | 15,653 |
| 215004 Section 504 Certified Development Companies Debentures .....          | 4,411  | 7,500   | 6,000  |
| 215006 SBIC Debentures .....   | 1,924  | 3,000   | 3,000  |
| 215010 Secondary Market Guarantee .....                                      | 3,926  | 12,000  | 12,000 |
| 215015 Secondary Market 504 First Mortgage Guarantees-ARRA .....             | 568    | .....   | .....  |
| 215020 7(a) Dealer Floor Plan .....  | 408    | 13,001  | .....  |
| 215024 7(a) Revolvers .....  | 32,381 | 50,237  | 41,274 |
| 215027 504 Commercial Real Estate (CRE) Refinance Program .....              | 2,246  | .....   | .....  |
| 215999 Total loan guarantee levels .....                                     | 58,235 | 100,731 | 77,927 |
| Guaranteed loan subsidy (in percent):  |        |         |        |
| 232002 7(a) General Business Loan Guarantees .....                           | 0.87   | 1.55    | -0.17  |
| 232004 Section 504 Certified Development Companies Debentures .....          | 1.09   | 2.02    | 1.71   |
| 232006 SBIC Debentures .....   | 0.00   | 0.00    | 0.00   |
| 232010 Secondary Market Guarantee .....                                      | 0.00   | 0.00    | 0.00   |
| 232015 Secondary Market 504 First Mortgage Guarantees-ARRA .....             | 0.00   | .....   | .....  |
| 232020 7(a) Dealer Floor Plan .....  | 0.02   | 0.03    | .....  |
| 232024 7(a) Revolvers .....  | 0.12   | 0.08    | 0.06   |
| 232027 504 Commercial Real Estate (CRE) Refinance Program .....              | 0.00   | .....   | .....  |
| 232999 Weighted average subsidy rate .....                                   | 0.33   | 0.42    | 0.13   |
| Guaranteed loan subsidy budget authority:                                    |        |         |        |
| 233002 7(a) General Business Loan Guarantees .....                           | 108    | 232     | -27    |
| 233004 Section 504 Certified Development Companies Debentures .....          | 48     | 152     | 103    |
| 233020 7(a) Dealer Floor Plan .....  | .....  | 4       | .....  |
| 233024 7(a) Revolvers .....  | 39     | 40      | 25     |
| 233999 Total subsidy budget authority .....                                  | 195    | 428     | 101    |
| Guaranteed loan subsidy outlays:   |        |         |        |
| 234002 7(a) General Business Loan Guarantees .....                           | 92     | 148     | 3      |
| 234004 Section 504 Certified Development Companies Debentures .....          | 13     | 65      | 86     |
| 234017 7(a) General Business Loan Guarantees—ARRA .....                      | 2      | 1       | 1      |
| 234018 Section 504 Certified Development Companies—ARRA .....                | 6      | 1       | 1      |
| 234020 7(a) Dealer Floor Plan .....  | .....  | .....   | 1      |
| 234021 7(a) Dealer Floor Plan—ARRA .....                                     | .....  | .....   | 1      |
| 234024 7(a) Revolvers .....  | 35     | 35      | 35     |
| 234026 Section 504 Certified Development Companies Debentures—ARRA Ext ..... | 13     | 4       | 4      |
| 234028 7(a) Business Loan Guarantees—ARRA Extension .....                    | 37     | 1       | 1      |
| 234999 Total subsidy outlays .....   | 198    | 255     | 133    |
| Guaranteed loan upward reestimates:  |        |         |        |
| 235002 7(a) General Business Loan Guarantees .....                           | 1,122  | 161     | .....  |
| 235003 7(a) General Business Loan Guarantees—STAR .....                      | 7      | 3       | .....  |
| 235004 Section 504 Certified Development Companies Debentures .....          | 880    | 121     | .....  |
| 235006 SBIC Debentures .....   | 38     | 22      | .....  |
| 235007 SBIC Participating Securities .....                                   | 1      | 470     | .....  |
| 235008 SBIC New Market Venture Capital .....                                 | .....  | 11      | .....  |
| 235010 Secondary Market Guarantee .....                                      | 11     | 18      | .....  |
| 235015 Secondary Market 504 First Mortgage Guarantees-ARRA .....             | 5      | 2       | .....  |
| 235017 7(a) General Business Loan Guarantees—ARRA .....                      | 53     | .....   | .....  |
| 235018 Section 504 Certified Development Companies—ARRA .....                | 149    | .....   | .....  |
| 235026 Section 504 Certified Development Companies Debentures—ARRA Ext ..... | 129    | 10      | .....  |
| 235027 504 Commercial Real Estate (CRE) Refinance Program .....              | 4      | 31      | .....  |
| 235028 7(a) Business Loan Guarantees—ARRA Extension .....                    | 105    | .....   | .....  |
| 235999 Total upward reestimate budget authority .....                        | 2,504  | 849     | .....  |
| Guaranteed loan downward reestimates:  |        |         |        |
| 237002 7(a) General Business Loan Guarantees .....                           | -3     | -472    | .....  |
| 237004 Section 504 Certified Development Companies Debentures .....          | -12    | -64     | .....  |
| 237006 SBIC Debentures .....   | -11    | -92     | .....  |
| 237007 SBIC Participating Securities .....                                   | -756   | -22     | .....  |
| 237008 SBIC New Market Venture Capital .....                                 | -3     | .....   | .....  |
| 237010 Secondary Market Guarantee .....                                      | -43    | -20     | .....  |
| 237016 ARC Loan Guarantees—ARRA .....  | -35    | -25     | .....  |
| 237017 7(a) General Business Loan Guarantees—ARRA .....                      | .....  | -291    | .....  |
| 237018 Section 504 Certified Development Companies—ARRA .....                | .....  | -87     | .....  |

|                              |  |      |        |     |
|------------------------------|--|------|--------|-----|
| 237021                       | 7(a) Dealer Floor Plan—ARRA .....  | -1   |        |     |
| 237026                       | Section 504 Certified Development Companies<br>Debentures—ARRA Ext ..... | -34  |        |     |
| 237028                       | 7(a) Business Loan Guarantees—ARRA Extension .....                       | -555 |        |     |
| 237999                       | Total downward reestimate subsidy budget authority .....                 | -863 | -1,663 |     |
| Administrative expense data: |  |      |        |     |
| 3510                         | Budget authority .....   | 148  | 149    | 146 |
| 3590                         | Outlays from new authority .....   | 148  | 149    | 146 |

As required by the Federal Credit Reform Act of 1990, as amended, this account records the subsidy costs associated with the direct loans obligated and loan guarantees committed in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of the business loan program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

For 2014, the Budget proposes \$263 million in new budget authority for the Business Loans Program account. This includes \$152 million in administrative expenses funding, \$107 million in credit subsidy for the Section 504 guaranteed loan program, and \$4.6 million in credit subsidy for the direct Microloan Program. Funding for business loan administrative expenses includes support for SBA ONE, a revamped lending platform that will use one set of forms for all 7(a) loans and serve as a one-stop shop for all steps of the lending process.

The Section 7(a) program provides general business credit assistance. The Budget supports \$15.65 billion in 7(a) term loans and \$1.85 billion for 7(a) revolving lines of credit, which are estimated to support \$41.27 billion in total economic activity through draws and repayments over the life of the guarantee. Based on the maximum draw activity at any one time, the base approval amounts are consistent with the authorized program level of \$17.5 billion. The 2014 Budget proposes to waive fees on all small loans under \$150,000 to spur lending in this market. In addition, as part of the Secondary Market Guarantee (SMG) Program, SBA's fiscal agent pools the guaranteed portion of 7(a) loans and sells the securities to investors. This mechanism provides liquidity to lenders participating in the 7(a) loan program. For 2014, the Budget proposes a program level of \$12 billion in such securities.

The Section 504 Certified Development Company program is for long-term, fixed-rate financing, and the requested guaranteed loan program level is \$6 billion in 2014. In addition, the 2014 Budget proposes to reauthorize SBA's 504 Loan Refinancing program, which will help small business lock in low, long-term interest rates on commercial mortgage and equipment debts and free up resources that can be reinvested in their businesses. The Small Business Investment Company (SBIC) program provides credit to support venture capital investments. The Budget proposes a program level of \$4 billion for SBIC Debentures and a \$25 million program level for direct Microloans.

#### Object Classification (in millions of dollars)

| Identification code 73-1154-0-1-376                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 148         | 149     | 152       |
| 41.0 Grants, subsidies, and contributions .....    | 2,713       | 1,289   | 133       |

|      |                             |       |       |     |
|------|-----------------------------|-------|-------|-----|
| 99.9 | Total new obligations ..... | 2,861 | 1,438 | 285 |
|------|-----------------------------|-------|-------|-----|

#### BUSINESS LOANS PROGRAM ACCOUNT (Legislative proposal, not subject to PAYGO)

#### Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 73-1154-2-1-376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215027 504 Commercial Real Estate (CRE) Refinance Program ..... |             |         | 7,500     |
| 215999 Total loan guarantee levels .....                        |             |         | 7,500     |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232027 504 Commercial Real Estate (CRE) Refinance Program ..... |             |         | 0.00      |
| 232999 Weighted average subsidy rate .....                      |             |         | 0.00      |

#### BUSINESS DIRECT LOAN FINANCING ACCOUNT

#### Program and Financing (in millions of dollars)

| Identification code 73-4148-0-3-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 42          | 43      | 25        |
| 0713 Payment of interest to Treasury .....                                      | 6           | 5       | 5         |
| 0740 Negative subsidy obligations .....   |             |         | 1         |
| 0742 Downward reestimate paid to receipt account .....                          | 2           | 4       |           |
| 0743 Interest on downward reestimates .....                                     | 1           | 1       |           |
| 0900 Total new obligations .....  | 51          | 53      | 31        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 27          | 7       |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 7           | 2       | 2         |
| 1023 Unobligated balances applied to repay debt .....                           | -33         | -9      | -2        |
| 1050 Unobligated balance (total) .....  | 1           |         |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 47          | 53      | 31        |
| 1440 Borrowing authority, mandatory (total) .....                               | 47          | 53      | 31        |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Collected .....  | 39          | 35      | 33        |
| 1801 Change in uncollected payments, Federal sources .....                      | 1           |         |           |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -30         | -35     | -33       |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 10          |         |           |
| 1900 Financing authority (total) .....  | 57          | 53      | 31        |
| 1930 Total budgetary resources available .....                                  | 58          | 53      | 31        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 7           |         |           |

#### Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 63  | 56  | 72  |
| 3010 Obligations incurred, unexpired accounts .....               | 51  | 53  | 31  |
| 3020 Financing disbursements (gross) .....                        | -51 | -35 | -35 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -7  | -2  | -2  |
| 3050 Unpaid obligations, end of year .....                        | 56  | 72  | 66  |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -11 | -12 | -12 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -1  |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -12 | -12 | -12 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 52  | 44  | 60  |
| 3200 Obligated balance, end of year .....                         | 44  | 60  | 54  |

#### Financing authority and disbursements, net:

|  |    |    |    |
|--|----|----|----|
| Mandatory:   |    |    |    |
| 4090 Financing authority, gross .....                        | 57 | 53 | 31 |
| Financing disbursements:                                     |    |    |    |
| 4110 Financing disbursements, gross .....                    | 51 | 35 | 35 |
| Offsets against gross financing authority and disbursements: |    |    |    |
| Offsetting collections (collected) from:                     |    |    |    |
| 4120 Federal sources: Payments from program account .....    | -7 | -5 | -4 |
| 4120 Upward reestimate .....                                 | -3 | -3 |    |

**BUSINESS DIRECT LOAN FINANCING ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 73–4148–0–3–376                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4120 Interest on reestimate .....   | –2          | –2      | .....     |
| 4122 Interest on uninvested funds .....                                   | –3          | –4      | –7        |
| 4123 Repayments of principal, net .....                                   | –24         | –19     | –20       |
| 4123 Other income .....   | .....       | –2      | –2        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –39         | –35     | –33       |
| Additional offsets against financing authority only (total):              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | –1          | .....   | .....     |
| 4160 Financing authority, net (mandatory) .....                           | 17          | 18      | –2        |
| 4170 Financing disbursements, net (mandatory) .....                       | 12          | .....   | 2         |
| 4180 Financing authority, net (total) .....                               | 17          | 18      | –2        |
| 4190 Financing disbursements, net (total) .....                           | 12          | .....   | 2         |

**Status of Direct Loans (in millions of dollars)**

| Identification code 73–4148–0–3–376                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     | 21          | .....   | .....     |
| 1131 Direct loan obligations exempt from limitation .....              | 23          | 43      | 25        |
| 1142 Unobligated direct loan limitation (-) .....                      | –2          | .....   | .....     |
| 1150 Total direct loan obligations .....                               | 42          | 43      | 25        |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 126         | 144     | 150       |
| 1231 Disbursements: Direct loan disbursements .....                    | 41          | 27      | 28        |
| 1251 Repayments: Repayments and prepayments .....                      | –23         | –21     | –22       |
| 1290 Outstanding, end of year .....                                    | 144         | 150     | 156       |

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

**Balance Sheet (in millions of dollars)**

| Identification code 73–4148–0–3–376                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 79          | 42          |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 7           | 3           |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 126         | 144         |
| 1405 Allowance for subsidy cost (-) .....                         | –32         | –34         |
| 1499 Net present value of assets related to direct loans .....    | 94          | 110         |
| 1999 Total assets .....   | 180         | 155         |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Debt .....   | 179         | 155         |
| 2105 Other .....  | 1           | .....       |
| 2999 Total liabilities .....                                      | 180         | 155         |
| 4999 Total liabilities and net position .....                     | 180         | 155         |

**BUSINESS GUARANTEED LOAN FINANCING ACCOUNT****Program and Financing (in millions of dollars)**

| Identification code 73–4149–0–3–376            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>        |             |         |           |
| 0005 Other Expenses .....                      | 120         | 75      | 75        |
| Credit program obligations:                    |             |         |           |
| 0711 Default claim payments on principal ..... | 2,565       | 2,789   | 2,600     |
| 0712 Default claim payments on interest .....  | 134         | 20      | 20        |

|  |       |       |       |
|--|-------|-------|-------|
| 0713 Payment of interest to Treasury .....             | 120   | 100   | 100   |
| 0740 Negative subsidy obligations .....                | ..... | ..... | 27    |
| 0742 Downward reestimate paid to receipt account ..... | 617   | 1,503 | ..... |
| 0743 Interest on downward reestimates .....            | 246   | 161   | ..... |
| 0791 Direct program activities, subtotal .....         | 3,682 | 4,573 | 2,747 |
| 0900 Total new obligations .....                       | 3,802 | 4,648 | 2,822 |

**Budgetary Resources:**

|   |        |       |       |
|---|--------|-------|-------|
| Unobligated balance:  |        |       |       |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 5,638  | 4,714 | 2,924 |
| 1023 Unobligated balances applied to repay debt .....                           | –1,233 | ..... | ..... |
| 1050 Unobligated balance (total) .....  | 4,405  | 4,714 | 2,924 |
| Financing authority:  |        |       |       |
| Borrowing authority, mandatory:   |        |       |       |
| 1400 Borrowing authority .....  | 337    | ..... | ..... |
| 1440 Borrowing authority, mandatory (total) .....                               | 337    | ..... | ..... |
| Spending authority from offsetting collections, mandatory:                      |        |       |       |
| 1800 Collected .....  | 4,827  | 2,858 | 2,172 |
| 1801 Change in uncollected payments, Federal sources .....                      | –34    | ..... | ..... |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –1,019 | ..... | ..... |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 3,774  | 2,858 | 2,172 |
| 1900 Financing authority (total) .....  | 4,111  | 2,858 | 2,172 |
| 1930 Total budgetary resources available .....                                  | 8,516  | 7,572 | 5,096 |
| Memorandum (non-add) entries:   |        |       |       |
| 1941 Unexpired unobligated balance, end of year .....                           | 4,714  | 2,924 | 2,274 |

**Change in obligated balance:**

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:   |        |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 92     | 39     | 1,206  |
| 3010 Obligations incurred, unexpired accounts .....               | 3,802  | 4,648  | 2,822  |
| 3020 Financing disbursements (gross) .....                        | –3,855 | –3,481 | –2,599 |
| 3050 Unpaid obligations, end of year .....                        | 39     | 1,206  | 1,429  |
| Uncollected payments:   |        |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –178   | –144   | –144   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 34     | .....  | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –144   | –144   | –144   |
| Memorandum (non-add) entries:                                     |        |        |        |
| 3100 Obligated balance, start of year .....                       | –86    | –105   | 1,062  |
| 3200 Obligated balance, end of year .....                         | –105   | 1,062  | 1,285  |

**Financing authority and disbursements, net:**

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:  |        |        |        |
| 4090 Financing authority, gross .....                                     | 4,111  | 2,858  | 2,172  |
| Financing disbursements:  |        |        |        |
| 4110 Financing disbursements, gross .....                                 | 3,855  | 3,481  | 2,599  |
| Offsets against gross financing authority and disbursements:              |        |        |        |
| Offsetting collections (collected) from:                                  |        |        |        |
| 4120 Payments from program account .....                                  | –197   | –255   | –149   |
| 4120 Upward reestimate .....  | –2,129 | –643   | .....  |
| 4120 Interest on reestimate .....   | –375   | –207   | .....  |
| 4122 Interest on uninvested funds .....                                   | –203   | –190   | –190   |
| 4123 Fees .....   | –823   | –835   | –835   |
| 4123 Principal .....  | –941   | –728   | –998   |
| 4123 Other .....  | –159   | .....  | .....  |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | –4,827 | –2,858 | –2,172 |
| Additional offsets against financing authority only (total):              |        |        |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 34     | .....  | .....  |
| 4160 Financing authority, net (mandatory) .....                           | –682   | .....  | .....  |
| 4170 Financing disbursements, net (mandatory) .....                       | –972   | 623    | 427    |
| 4180 Financing authority, net (total) .....                               | –682   | .....  | .....  |
| 4190 Financing disbursements, net (total) .....                           | –972   | 623    | 427    |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 73–4149–0–3–376                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....      | 36,993      | 37,493  | 38,153    |
| 2121 Limitation available from carry-forward .....                     | 10,071      | .....   | .....     |
| 2131 Guaranteed loan commitments exempt from limitation .....          | 48,059      | 63,238  | 41,274    |
| 2142 Uncommitted loan guarantee limitation .....                       | –36,888     | .....   | –1,500    |
| 2150 Total guaranteed loan commitments .....                           | 58,235      | 100,731 | 77,927    |
| 2199 Guaranteed amount of guaranteed loan commitments .....            | 23,193      | 35,286  | 33,744    |
| Cumulative balance of guaranteed loans outstanding:                    |             |         |           |
| 2210 Outstanding, start of year .....                                  | 82,197      | 87,410  | 93,613    |

|   |  |         |         |         |
|---|--|---------|---------|---------|
| 2231  | Disbursements of new guaranteed loans .....                          | 19,534  | 20,986  | 22,871  |
| 2251  | Repayments and prepayments .....                                     | -11,114 | -11,819 | -12,658 |
| Adjustments:  |  |         |         |         |
| 2261  | Terminations for default that result in loans receivable .....       | -3,095  | -2,789  | -2,600  |
| 2263  | Terminations for default that result in claim payments .....         | -183    | -175    | -163    |
| 2264  | Other adjustments, net .....   | 71      |         |         |
| 2290  | Outstanding, end of year .....                                       | 87,410  | 93,613  | 101,063 |
| Memorandum:   |  |         |         |         |
| 2299  | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 74,352  | 79,629  | 85,966  |
| Addendum:   |  |         |         |         |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |  |         |         |         |
| 2310  | Outstanding, start of year .....                                     | 8,859   | 8,611   | 8,360   |
| 2331  | Disbursements for guaranteed loan claims .....                       | 2,610   | 2,521   | 2,350   |
| 2351  | Repayments of loans receivable .....                                 | -835    | -676    | -729    |
| 2361  | Write-offs of loans receivable .....                                 | -2,159  | -2,096  | -2,016  |
| 2364  | Other adjustments, net .....   | 136     |         |         |
| 2390  | Outstanding, end of year .....                                       | 8,611   | 8,360   | 7,965   |

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the Budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 73-4149-0-3-376  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets:  |             |             |
| 1101 Fund balances with Treasury .....   | 5,552       | 4,562       |
| Investments in US securities:  |             |             |
| 1106 Receivables, net .....  | 1,651       | 843         |
| 1206 Non-Federal assets: Receivables, net .....  | 51          | 70          |
| Net value of assets related to post-1991 acquired defaulted guaranteed loans receivable: |             |             |
| 1501 Defaulted guaranteed loans receivable, gross .....                                  | 8,859       | 8,611       |
| 1504 Foreclosed property .....   | 33          | 38          |
| 1505 Allowance for subsidy cost (-) .....  | -6,688      | -6,940      |
| 1599 Net present value of assets related to defaulted guaranteed loans .....             | 2,204       | 1,709       |
| 1999 Total assets .....  | 9,458       | 7,184       |
| <b>LIABILITIES:</b>  |             |             |
| Federal liabilities:   |             |             |
| 2103 Debt .....  | 3,729       | 1,767       |
| 2105 Other .....   | 907         | 1,658       |
| Non-Federal liabilities:   |             |             |
| 2201 Accounts payable .....  | 81          | 33          |
| 2204 Liabilities for loan guarantees .....   | 4,741       | 3,726       |
| 2999 Total liabilities .....   | 9,458       | 7,184       |
| 4999 Total liabilities and net position .....  | 9,458       | 7,184       |

**BUSINESS GUARANTEED LOAN FINANCING ACCOUNT**

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 73-4149-2-3-376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0711 Default claim payments on principal .....                     |             |         | -3        |
| 0900 Total new obligations .....                                   |             |         | -3        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             |         | 48        |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             |         | 48        |
| 1900 Financing authority (total) .....                             |             |         | 48        |
| 1930 Total budgetary resources available .....                     |             |         | 48        |

|  |  |  |     |
|--|--|--|-----|
| 1941   | Memorandum (non-add) entries:                    |  |     |
|  | Unexpired unobligated balance, end of year ..... |  | 51  |
| <b>Change in obligated balance:</b>                          |  |  |     |
| Unpaid obligations:  |  |  |     |
| 3010   | Obligations incurred, unexpired accounts .....   |  | -3  |
| 3020   | Financing disbursements (gross) .....            |  | -3  |
| 3050   | Unpaid obligations, end of year .....            |  | -6  |
| Memorandum (non-add) entries:                                |  |  |     |
| 3200   | Obligated balance, end of year .....             |  | -6  |
| <b>Financing authority and disbursements, net:</b>           |  |  |     |
| Mandatory:   |  |  |     |
| 4090   | Financing authority, gross .....                 |  | 48  |
| Financing disbursements:                                     |  |  |     |
| 4110   | Financing disbursements, gross .....             |  | 3   |
| Offsets against gross financing authority and disbursements: |  |  |     |
| Offsetting collections (collected) from:                     |  |  |     |
| 4123   | Fees .....                                       |  | -48 |
| 4190   | Financing disbursements, net (total) .....       |  | -45 |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 73-4149-2-3-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:            |             |         |           |
| 2111 Limitation on guaranteed loans made by private lenders .....                 |             |         | 7,500     |
| 2150 Total guaranteed loan commitments .....                                      |             |         | 7,500     |
| 2199 Guaranteed amount of guaranteed loan commitments .....                       |             |         | 7,500     |
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   |             |         |           |
| 2231 Disbursements of new guaranteed loans .....                                  |             |         | 2,236     |
| 2251 Repayments and prepayments .....   |             |         |           |
| Adjustments:  |             |         |           |
| 2261 Terminations for default that result in loans receivable .....               |             |         | -3        |
| 2263 Terminations for default that result in claim payments .....                 |             |         |           |
| 2290 Outstanding, end of year .....   |             |         | 2,233     |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         |             |         | 1,900     |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   |             |         |           |
| 2331 Disbursements for guaranteed loan claims .....                               |             |         | 3         |
| 2351 Repayments of loans receivable .....   |             |         |           |
| 2361 Write-offs of loans receivable .....   |             |         | -1        |
| 2390 Outstanding, end of year .....   |             |         | 2         |

**BUSINESS LOAN FUND LIQUIDATING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 73-4154-0-3-376                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0005 Guaranteed loan default claims .....                           | 1           | 2       | 1         |
| 0006 Interest to UST .....  | 4           | 4       | 4         |
| 0007 Other Expenses .....   | 1           | 1       | 1         |
| 0600 Direct program activities, subtotal .....                      | 6           | 7       | 6         |
| 0900 Total new obligations .....                                    | 6           | 7       | 6         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 6           | 12      |           |
| 1022 Capital transfer of unobligated balances to general fund ..... | -6          | -12     |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 2           | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....                        | 2           | 2       | 2         |
| Spending authority from offsetting collections, mandatory:          |             |         |           |
| 1800 Collected .....  | 16          | 10      | 4         |

**BUSINESS LOAN FUND LIQUIDATING ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 73–4154–0–3–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... |             | –5      |           |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 16          | 5       | 4         |
| 1900 Budget authority (total) .....   | 18          | 7       | 6         |
| 1930 Total budgetary resources available .....  | 18          | 7       | 6         |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 12          |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 2           | 5       | 5         |
| 3010 Obligations incurred, unexpired accounts .....   | 6           | 7       | 6         |
| 3020 Outlays (gross) .....  | –3          | –7      | –6        |
| 3050 Unpaid obligations, end of year .....  | 5           | 5       | 5         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 2           | 5       | 5         |
| 3200 Obligated balance, end of year .....   | 5           | 5       | 5         |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 18          | 7       | 6         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 3           | 7       | 6         |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | –16         | –10     | –4        |
| 4180 Budget authority, net (total) .....  | 2           | –3      | 2         |
| 4190 Outlays, net (total) .....   | –13         | –3      | 2         |

**Status of Direct Loans (in millions of dollars)**

| Identification code 73–4154–0–3–376               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 17          | 11      | 8         |
| 1251 Repayments: Repayments and prepayments ..... | –1          | –1      | –1        |
| 1263 Write-offs for default: Direct loans .....   | –5          | –2      | –2        |
| 1290 Outstanding, end of year .....               | 11          | 8       | 5         |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 73–4154–0–3–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 37          | 24      | 13        |
| 2251 Repayments and prepayments .....   | –12         | –10     | –8        |
| 2261 Adjustments: Terminations for default that result in loans receivable .....  | –1          | –1      | –1        |
| 2290 Outstanding, end of year .....   | 24          | 13      | 4         |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....         | 19          | 10      | 3         |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 40          | 27      | 18        |
| 2331 Disbursements for guaranteed loan claims .....                               | 1           | 1       | 1         |
| 2351 Repayments of loans receivable .....   | –9          | –8      | –4        |
| 2361 Write-offs of loans receivable .....   | –7          | –2      | –2        |
| 2364 Other adjustments, net .....   | 2           |         |           |
| 2390 Outstanding, end of year .....   | 27          | 18      | 13        |

As required by the Federal Credit Reform Act of 1990, as amended, this account records all cash flows to and from the Government resulting from SBA direct loans obligated and loan guarantees committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees made in any year) is recorded in corresponding program and financing accounts.

**Balance Sheet (in millions of dollars)**

| Identification code 73–4154–0–3–376                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 8           | 16          |
| 1206 Non-Federal assets: Receivables, net .....                         | 3           | 6           |
| 1601 Direct loans, gross .....  | 17          | 11          |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | –2          | –1          |
| 1699 Value of assets related to direct loans .....                      | 15          | 10          |
| 1701 Defaulted guaranteed loans, gross .....                            | 40          | 27          |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | –21         | –20         |
| 1799 Value of assets related to loan guarantees .....                   | 19          | 7           |
| 1901 Other Federal assets: Other assets .....                           | 7           | 6           |
| 1999 Total assets .....   | 52          | 45          |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....   | 1           |             |
| 2102 Interest payable .....   |             | 4           |
| 2104 Resources payable to Treasury .....                                | 50          | 40          |
| 2201 Non-Federal liabilities: Accounts payable .....                    | 1           | 1           |
| 2999 Total liabilities .....  | 52          | 45          |
| 4999 Total liabilities and net position .....                           | 52          | 45          |

**Object Classification (in millions of dollars)**

| Identification code 73–4154–0–3–376 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| <b>Direct obligations:</b>          |             |         |           |
| 33.0 Investments and loans .....    | 2           | 3       | 2         |
| 43.0 Interest and dividends .....   | 4           | 4       | 4         |
| 99.9 Total new obligations .....    | 6           | 7       | 6         |

**DISASTER LOANS PROGRAM ACCOUNT**

**(INCLUDING TRANSFERS OF FUNDS)**

*For administrative expenses to carry out the direct loan program authorized by section 7(b) of the Small Business Act, \$191,900,000, to remain available until expended, of which \$1,000,000 is for the Office of Inspector General of the Small Business Administration for audits and reviews of disaster loans and the disaster loan programs and shall be paid to the appropriations for the Office of Inspector General; of which \$181,900,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program, which shall be paid to the appropriations for Salaries and Expenses; and of which \$9,000,000 is for indirect administrative expenses for the direct loan program, which shall be paid to the appropriations for Salaries and Expenses: Provided, That of the funds provided herein, \$158,650,000 shall be for major disasters declared pursuant to the Robert T. Stafford Disaster Relief and Emergency Assistance Act (42 U.S.C. 5122(2)), of which \$800,000 is for the Office of the Inspector General of the Small Business Administration, \$150,650,000 is for direct administrative expenses of loan making and servicing to carry out the direct loan program, and \$7,200,000 is for indirect administrative expenses for the direct loan program: Provided further, That the amount for major disasters under this heading is designated by Congress as being for disaster relief pursuant to section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985 (Public Law 99–177), as amended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing (in millions of dollars)**

| Identification code 73–1152–0–1–453                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| Credit program obligations:                               |             |         |           |
| 0701 Direct loan subsidy .....                            |             | 455     | 93        |
| 0705 Reestimates of direct loan subsidy .....             | 126         | 24      |           |
| 0706 Interest on reestimates of direct loan subsidy ..... | 32          | 12      |           |

|   |  |      |       |      |
|---|--|------|-------|------|
| 0709                                      | Administrative expenses .....  | 167  | 446   | 192  |
| 0900                                      | Total new obligations .....  | 377  | 937   | 285  |
| <b>Budgetary Resources:</b>               |  |      |       |      |
| Unobligated balance:                      |  |      |       |      |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....   | 515  | 445   | 471  |
| 1001                                      | Discretionary unobligated balance brought fwd, Oct 1 .....   | 515  | 445   |      |
| 1021                                      | Recoveries of prior year unpaid obligations .....  | 31   | 31    | 20   |
| 1050                                      | Unobligated balance (total) .....  | 546  | 476   | 491  |
| Budget authority:                         |  |      |       |      |
| Appropriations, discretionary:            |  |      |       |      |
| 1100                                      | Appropriation .....  | 117  | 117   | 33   |
| 1100                                      | Appropriation-Discretionary, Emergency pursuant to 2011 Budget Control Act, Appropriations Committee-ADMIN .....   |      | 259   |      |
| 1100                                      | Appropriation-Discretionary, Emergency pursuant to 2011 Budget Control Act, Appropriations Committee-SUBSIDY ..... |      | 520   |      |
| 1100                                      | Appropriation-Discretionary, Disaster Relief pursuant to 2011 Budget Control Act, Appropriations Committee .....   |      |       | 159  |
| 1160                                      | Appropriation, discretionary (total) .....   | 117  | 896   | 192  |
| Appropriations, mandatory:                |  |      |       |      |
| 1200                                      | Appropriation .....  | 159  | 36    |      |
| 1260                                      | Appropriations, mandatory (total) .....  | 159  | 36    |      |
| 1900                                      | Budget authority (total) .....   | 276  | 932   | 192  |
| 1930                                      | Total budgetary resources available .....  | 822  | 1,408 | 683  |
| Memorandum (non-add) entries:             |  |      |       |      |
| 1941                                      | Unexpired unobligated balance, end of year .....   | 445  | 471   | 398  |
| <b>Change in obligated balance:</b>       |  |      |       |      |
| Unpaid obligations:                       |  |      |       |      |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....   | 58   | 20    | 85   |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 377  | 937   | 285  |
| 3020                                      | Outlays (gross) .....  | -384 | -841  | -313 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired .....   | -31  | -31   | -20  |
| 3050                                      | Unpaid obligations, end of year .....  | 20   | 85    | 37   |
| Memorandum (non-add) entries:             |  |      |       |      |
| 3100                                      | Obligated balance, start of year .....   | 58   | 20    | 85   |
| 3200                                      | Obligated balance, end of year .....   | 20   | 85    | 37   |
| <b>Budget authority and outlays, net:</b> |  |      |       |      |
| Discretionary:                            |  |      |       |      |
| 4000                                      | Budget authority, gross .....  | 117  | 896   | 192  |
| Outlays, gross:                           |  |      |       |      |
| 4010                                      | Outlays from new discretionary authority .....   | 117  | 600   | 192  |
| 4011                                      | Outlays from discretionary balances .....  | 108  | 205   | 121  |
| 4020                                      | Outlays, gross (total) .....   | 225  | 805   | 313  |
| Mandatory:                                |  |      |       |      |
| 4090                                      | Budget authority, gross .....  | 159  | 36    |      |
| Outlays, gross:                           |  |      |       |      |
| 4100                                      | Outlays from new mandatory authority .....   | 159  | 36    |      |
| 4180                                      | Budget authority, net (total) .....  | 276  | 932   | 192  |
| 4190                                      | Outlays, net (total) .....   | 384  | 841   | 313  |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 73-1152-0-1-453                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:  |             |         |           |
| 115001 Disaster Assistance Loans .....                       | 463         | 4,100   | 1,100     |
| 115999 Total direct loan levels .....                        | 463         | 4,100   | 1,100     |
| Direct loan subsidy (in percent):                            |             |         |           |
| 132001 Disaster Assistance Loans .....                       | 11.03       | 11.11   | 8.48      |
| 132999 Weighted average subsidy rate .....                   | 11.03       | 11.11   | 8.48      |
| Direct loan subsidy budget authority:                        |             |         |           |
| 133001 Disaster Assistance Loans .....                       | 52          | 455     | 93        |
| 133999 Total subsidy budget authority .....                  | 52          | 455     | 93        |
| Direct loan subsidy outlays:                                 |             |         |           |
| 134001 Disaster Assistance Loans .....                       | 58          | 428     | 88        |
| 134999 Total subsidy outlays .....                           | 58          | 428     | 88        |
| Direct loan upward reestimates:                              |             |         |           |
| 135001 Disaster Assistance Loans .....                       | 156         | 36      |           |
| 135002 Economic Injury Disaster Loans—Terrorist Attack ..... | 2           | 1       |           |
| 135999 Total upward reestimate budget authority .....        | 158         | 37      |           |
| Direct loan downward reestimates:                            |             |         |           |
| 137001 Disaster Assistance Loans .....                       | -2          | -24     |           |
| 137002 Economic Injury Disaster Loans—Terrorist Attack ..... |             | -3      |           |

|   |  |     |      |     |
|---|--|-----|------|-----|
| 137999  | Total downward reestimate budget authority ..... | -2  | -27  |     |
| Guaranteed loan levels supportable by subsidy budget authority: |  |     |      |     |
| 215001  | Immediate Disaster Assistance .....              |     | 18   |     |
| 215999  | Total loan guarantee levels .....                |     | 18   |     |
| Guaranteed loan subsidy (in percent):                           |  |     |      |     |
| 232001  | Immediate Disaster Assistance .....              |     | 1.94 |     |
| 232999  | Weighted average subsidy rate .....              |     | 1.94 |     |
| Guaranteed loan downward reestimates:                           |  |     |      |     |
| Administrative expense data:                                    |  |     |      |     |
| 3510  | Budget authority .....                           | 117 | 117  | 167 |
| 3590  | Outlays from new authority .....                 | 117 | 117  | 167 |

As required by the Federal Credit Reform Act of 1990, as amended, this account records, for loans made pursuant to Section 7(b) of the Small Business Act, as amended, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated on a present value basis; the administrative expenses are estimated on a cash basis.

Disaster loans made pursuant to Section 7(b) of the Small Business Act provide Federal assistance for non-farm, private sector disaster losses. Through the disaster assistance program, SBA helps homeowners, renters, businesses of all sizes, and non-profit organizations pay for the cost of replacing, rebuilding or repairing property damaged by disasters. The program is the only form of SBA financial assistance not limited to small businesses. The program provides subsidized loans of up to 30 years to borrowers who have incurred uninsured physical losses or economic injury as the result of a disaster.

In 2014, the Budget supports \$1.1 billion in loans, the ten-year average for such loans, excluding outlying events. The Budget does not request new credit subsidy budget authority (BA), as SBA has sufficient unobligated balances to support estimated 2014 loan approvals. However, the Budget does request \$159 million in new BA for administrative expenses related to major disasters (pursuant to a determination under section 102(2) of the Robert T. Stafford Disaster Relief and Emergency Assistance Act) and \$33 million for administrative expenses related to non-major disasters. The funding for major disasters will be designated as being for disaster relief under the cap adjustment in section 251(b)(2)(D) of the Balanced Budget and Emergency Deficit Control Act of 1985, as amended.

**Object Classification** (in millions of dollars)

| Identification code 73-1152-0-1-453 | 2012 actual                                   | 2013 CR | 2014 est. |     |
|-------------------------------------|---|---------|-----------|-----|
| Direct obligations:                 |   |         |           |     |
| 25.2                                | Other services from non-Federal sources ..... | 167     | 446       | 192 |
| 41.0                                | Grants, subsidies, and contributions .....    | 210     | 491       | 93  |
| 99.9                                | Total new obligations .....                   | 377     | 937       | 285 |

**DISASTER DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 73-4150-0-3-453                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0003 Other .....                                       | 9           | 12      | 12        |
| Credit program obligations:                            |             |         |           |
| 0710 Direct loan obligations .....                     | 463         | 4,100   | 1,100     |
| 0713 Payment of interest to Treasury .....             | 309         | 350     | 500       |
| 0742 Downward reestimate paid to receipt account ..... |             | 23      |           |
| 0743 Interest on downward reestimates .....            | 2           | 4       |           |

## DISASTER DIRECT LOAN FINANCING ACCOUNT—Continued

## Program and Financing—Continued

| Identification code 73–4150–0–3–453            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0791 Direct program activities, subtotal ..... | 774         | 4,477   | 1,600     |
| 0900 Total new obligations .....               | 783         | 4,489   | 1,612     |

**Budgetary Resources:**  
Unobligated balance:

|   |        |       |       |
|---|--------|-------|-------|
| 1000 Unobligated balance brought forward, Oct 1 .....   | 750    | 52    | 1,859 |
| 1021 Recoveries of prior year unpaid obligations .....  | 228    | 150   | 99    |
| 1023 Unobligated balances applied to repay debt .....   | -976   |       |       |
| 1050 Unobligated balance (total) .....  | 2      | 202   | 1,958 |
| Financing authority:  |        |       |       |
| Borrowing authority, mandatory:   |        |       |       |
| 1400 Borrowing authority .....  | 746    | 3,672 | 1,007 |
| 1440 Borrowing authority, mandatory (total) .....   | 746    | 3,672 | 1,007 |
| Spending authority from offsetting collections, mandatory:                                    |        |       |       |
| 1800 Collected .....  | 1,151  | 2,652 | 1,046 |
| 1801 Change in uncollected payments, Federal sources .....                                    | -37    |       |       |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... |        | -178  | -500  |
| 1825 Spending authority from offsetting collections applied to repay debt .....               | -1,027 |       |       |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 87     | 2,474 | 546   |
| 1900 Financing authority (total) .....  | 833    | 6,146 | 1,553 |
| 1930 Total budgetary resources available .....  | 835    | 6,348 | 3,511 |
| Memorandum (non-add) entries:   |        |       |       |
| 1941 Unexpired unobligated balance, end of year .....   | 52     | 1,859 | 1,899 |

**Change in obligated balance:**

|   |      |        |        |
|---|------|--------|--------|
| Unpaid obligations:   |      |        |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 429  | 181    | 420    |
| 3010 Obligations incurred, unexpired accounts .....               | 783  | 4,489  | 1,612  |
| 3020 Financing disbursements (gross) .....                        | -803 | -4,100 | -1,933 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -228 | -150   | -99    |
| 3050 Unpaid obligations, end of year .....                        | 181  | 420    |        |
| Uncollected payments:   |      |        |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -58  | -21    | -21    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | 37   |        |        |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -21  | -21    | -21    |
| Memorandum (non-add) entries:                                     |      |        |        |
| 3100 Obligated balance, start of year .....                       | 371  | 160    | 399    |
| 3200 Obligated balance, end of year .....                         | 160  | 399    | -21    |

**Financing authority and disbursements, net:**

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:  |        |        |        |
| 4090 Financing authority, gross .....                                     | 833    | 6,146  | 1,553  |
| Financing disbursements:  |        |        |        |
| 4110 Financing disbursements, gross .....                                 | 803    | 4,100  | 1,933  |
| Offsets against gross financing authority and disbursements:              |        |        |        |
| Offsetting collections (collected) from:                                  |        |        |        |
| 4120 Payments from program account .....                                  | -58    | -428   | -88    |
| 4120 Upward reestimate .....  | -126   | -24    |        |
| 4120 Interest on upward reestimate .....                                  | -32    | -12    |        |
| 4122 Interest income from Treasury .....                                  | -53    | -230   | -230   |
| 4123 Repayments of principal, net .....                                   | -879   | -1,958 | -728   |
| 4123 Non-Federal sources-Other .....                                      | -3     |        |        |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -1,151 | -2,652 | -1,046 |
| Additional offsets against financing authority only (total):              |        |        |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | 37     |        |        |
| 4160 Financing authority, net (mandatory) .....                           | -281   | 3,494  | 507    |
| 4170 Financing disbursements, net (mandatory) .....                       | -348   | 1,448  | 887    |
| 4180 Financing authority, net (total) .....                               | -281   | 3,494  | 507    |
| 4190 Financing disbursements, net (total) .....                           | -348   | 1,448  | 887    |

**Status of Direct Loans** (in millions of dollars)

| Identification code 73–4150–0–3–453                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1121 Limitation available from carry-forward .....                     | 4,651       | 4,188   | 4,188     |
| 1131 Direct loan obligations exempt from limitation .....              |             | 4,100   | 1,100     |
| 1143 Unobligated limitation carried forward (P.L. xx) (-) .....        | -4,188      | -4,188  | -4,188    |
| 1150 Total direct loan obligations .....                               | 463         | 4,100   | 1,100     |

## Cumulative balance of direct loans outstanding:

|   |       |       |        |
|---|-------|-------|--------|
| 1210 Outstanding, start of year .....               | 7,493 | 7,210 | 9,109  |
| 1231 Disbursements: Direct loan disbursements ..... | 485   | 2,640 | 1,933  |
| 1251 Repayments: Repayments and prepayments .....   | -607  | -584  | -728   |
| Write-offs for default:                             |       |       |        |
| 1263 Direct loans .....                             | -163  | -157  | -198   |
| 1264 Other adjustments, net (+ or -) .....          | 2     |       |        |
| 1290 Outstanding, end of year .....                 | 7,210 | 9,109 | 10,116 |

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from disaster direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the Budget totals.

**Balance Sheet** (in millions of dollars)

| Identification code 73–4150–0–3–453                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 1,281       | 222         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 7,493       | 7,210       |
| 1405 Allowance for subsidy cost (-) .....                         | -1,485      | -1,402      |
| 1499 Net present value of assets related to direct loans .....    | 6,008       | 5,808       |
| 1999 Total assets .....   | 7,289       | 6,030       |
| LIABILITIES:  |             |             |
| Federal liabilities:  |             |             |
| 2103 Debt .....   | 7,287       | 6,028       |
| 2105 Other .....  | 2           | 2           |
| 2999 Total liabilities .....                                      | 7,289       | 6,030       |
| 4999 Total liabilities and net position .....                     | 7,289       | 6,030       |

## DISASTER LOANS GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 73–4293–0–3–453                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             |         | 1         |
| Financing authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             | 1       | 1         |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             | 1       | 1         |
| 1900 Financing authority (total) .....                             |             | 1       | 1         |
| 1930 Total budgetary resources available .....                     |             | 1       | 2         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             | 1       | 2         |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              |             | 1       | 1         |
| Offsets against gross financing authority and disbursements:       |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Payments from program account .....                           |             | -1      | -1        |
| 4190 Financing disbursements, net (total) .....                    |             | -1      | -1        |

**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 73–4293–0–3–453                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2121 Limitation available from carry-forward .....                     | 75          | 75      | 57        |
| 2143 Uncommitted limitation carried forward .....                      | -75         | -57     | -57       |
| 2150 Total guaranteed loan commitments .....                           |             | 18      |           |
| 2199 Guaranteed amount of guaranteed loan commitments .....            |             | 15      |           |
| Cumulative balance of guaranteed loans outstanding:                    |             |         |           |
| 2210 Outstanding, start of year .....                                  |             |         | 3         |
| 2231 Disbursements of new guaranteed loans .....                       |             | 18      |           |



|                    |  |     |    |
|--------------------|--|-----|----|
| 2251               | Repayments and prepayments .....                                     | —15 | —1 |
| 2290               | Outstanding, end of year .....                                       | 3   | 2  |
| <b>Memorandum:</b> |  |     |    |
| 2299               | Guaranteed amount of guaranteed loans outstanding, end of year ..... | 3   | 2  |

As required by the Federal Credit Reform Act of 1990, as amended, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond (including modifications of loan guarantees that resulted from commitments in any year). The amounts in this account are a means of financing and are not included in the Budget totals.

#### DISASTER LOAN FUND LIQUIDATING ACCOUNT

##### Program and Financing (in millions of dollars)

| Identification code 73-4153-0-3-453   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0101 Interest expense to Treasury .....   |             | 1       | 1         |
| 0103 Other Expenses .....   |             | 1       |           |
| 0900 Total new obligations (object class 25.2) .....  |             | 2       | 1         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 5           | 4       |           |
| 1022 Capital transfer of unobligated balances to general fund .....                           | —5          | —4      |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 2           | 2       | 1         |
| 1260 Appropriations, mandatory (total) .....  | 2           | 2       | 1         |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 2           | 4       | 4         |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... |             | —4      | —4        |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 2           |         |           |
| 1900 Budget authority (total) .....   | 4           | 2       | 1         |
| 1930 Total budgetary resources available .....  | 4           | 2       | 1         |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 4           |         |           |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   |             |         | 2         |
| 3010 Obligations incurred, unexpired accounts .....   |             | 2       | 1         |
| 3050 Unpaid obligations, end of year .....  |             | 2       | 3         |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 3100 Obligated balance, start of year .....   |             |         | 2         |
| 3200 Obligated balance, end of year .....   |             | 2       | 3         |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 4           | 2       | 1         |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | —2          | —4      | —4        |
| 4180 Budget authority, net (total) .....  | 2           | —2      | —3        |
| 4190 Outlays, net (total) .....   | —2          | —4      | —4        |

##### Status of Direct Loans (in millions of dollars)

| Identification code 73-4153-0-3-453               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 9           | 7       | 4         |
| 1251 Repayments: Repayments and prepayments ..... | —2          | —2      | —2        |
| 1263 Write-offs for default: Direct loans .....   |             | —1      |           |
| 1290 Outstanding, end of year .....               | 7           | 4       | 2         |

As required by the Federal Credit Reform Act of 1990, as amended, this account records all cash flows to and from the Government resulting from SBA disaster direct loans obligated

prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond (including modifications of direct loans or loan guarantees made in any year) is recorded in corresponding program and financing accounts.

##### Balance Sheet (in millions of dollars)

| Identification code 73-4153-0-3-453                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....                  | 5           | 4           |
| 1601 Direct loans, net .....  | 9           | 7           |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | —1          | —1          |
| 1699 Value of assets related to direct loans .....                      | 8           | 6           |
| 1999 Total assets .....   | 13          | 10          |
| <b>LIABILITIES:</b>   |             |             |
| 2104 Federal liabilities: Resources payable to Treasury .....           | 13          | 10          |
| 4999 Total liabilities and net position .....                           | 13          | 10          |

#### POLLUTION CONTROL EQUIPMENT FUND LIQUIDATING ACCOUNT

##### Program and Financing (in millions of dollars)

| Identification code 73-4147-0-3-376                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....                      |             | 1       |           |
| 0900 Total new obligations (object class 42.0) .....                |             | 1       |           |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 2           | 2       |           |
| 1022 Capital transfer of unobligated balances to general fund ..... | —2          | —2      |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  | 2           | 1       |           |
| 1260 Appropriations, mandatory (total) .....                        | 2           | 1       |           |
| 1900 Budget authority (total) .....                                 | 2           | 1       |           |
| 1930 Total budgetary resources available .....                      | 2           | 1       |           |
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....               | 2           |         |           |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               |             |         | 1         |
| 3010 Obligations incurred, unexpired accounts .....                 |             | 1       |           |
| 3050 Unpaid obligations, end of year .....                          |             | 1       | 1         |
| <b>Memorandum (non-add) entries:</b>                                |             |         |           |
| 3100 Obligated balance, start of year .....                         |             |         | 1         |
| 3200 Obligated balance, end of year .....                           |             | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                  | 2           | 1       |           |
| 4180 Budget authority, net (total) .....                            | 2           | 1       |           |

##### Status of Guaranteed Loans (in millions of dollars)

| Identification code 73-4147-0-3-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   |             |         |           |
| Adjustments:  |             |         |           |
| 2263 Terminations for default that result in claim payments .....                 |             | —1      |           |
| 2264 Other adjustments, net .....   |             | 1       |           |
| 2290 Outstanding, end of year .....   |             |         |           |
| <b>Addendum:</b>  |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   |             |         |           |
| 2390 Outstanding, end of year .....   |             |         |           |

POLLUTION CONTROL EQUIPMENT FUND LIQUIDATING ACCOUNT—Continued

Public Law 94–305 established this fund to alleviate the adverse impact of pollution regulations on small businesses. As a result of the elimination of tax-exempt financing associated with the Pollution Control Guaranteed loan program, no new activity is anticipated for this program.

| Balance Sheet (in millions of dollars) |  |             |             |
|--|--|-------------|-------------|
| Identification code 73–4147–0–3–376    |  | 2011 actual | 2012 actual |
| ASSETS:                                |  |             |             |
| 1101                                   | Federal assets: Fund balances with Treasury .....        | 3           | 2           |
| 1999                                   | Total assets .....                                       | 3           | 2           |
| LIABILITIES:                           |  |             |             |
| 2104                                   | Federal liabilities: Resources payable to Treasury ..... | 3           | 2           |
| 4999                                   | Total liabilities and net position .....                 | 3           | 2           |

| GENERAL FUND RECEIPT ACCOUNTS        |  |             |         |           |
|--------------------------------------|--|-------------|---------|-----------|
| (in millions of dollars)             |  |             |         |           |
|                                      |  | 2012 actual | 2013 CR | 2014 est. |
| Offsetting receipts from the public: |  |             |         |           |
| 73-272130                            | Disaster Loan Program, Downward Reestimates of Subsidies ..... | 2           | 27      | .....     |

|  |  |     |       |       |
|--|--|-----|-------|-------|
| 73–272210  | Business Loan Program, Negative Subsidies .....                |     |       | 16    |
| 73–272230  | Business Loan Program, Downward Reestimates of Subsidies ..... | 866 | 1,670 | ..... |
| General Fund Offsetting receipts from the public ..... |  | 868 | 1,697 | 16    |

ADMINISTRATIVE PROVISIONS—SMALL BUSINESS ADMINISTRATION

(INCLUDING TRANSFER OF FUNDS)

*SEC. 530. Not to exceed 5 percent of any appropriation made available for the current fiscal year for the Small Business Administration may be transferred between such appropriations, but no such appropriation shall be increased by more than 10 percent by any such transfers: Provided, That any transfer pursuant to this paragraph shall be treated as a reprogramming of funds under section 608 of this Act and shall not be available for obligation or expenditure except in compliance with the procedures set forth in that section.*

*SEC. 531. (a) Section 1122(b) of the Small Business Jobs Act of 2010 (15 U.S.C. 696 note) is repealed. (b) Subparagraph (C) of section 502(7) of the Small Business Investment Act of 1958 (15 U.S.C. 696(7)), as in effect on September 25, 2012, shall be in effect during the period beginning on the date of enactment of this Act and ending on September 30, 2014.*

# SOCIAL SECURITY ADMINISTRATION

## Federal Funds

### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

For payment to the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund, as provided under sections 201(m), 228(g), and 1131(b)(2) of the Social Security Act, \$16,400,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 28–0404–0–1–651                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Taxation of benefits .....                                 | 27,533      | 24,256  | 29,192    |
| 0002 Other .....  | 27          | 39      | 35        |
| 0003 Direct program activity .....                              | 112,795     | 31,781  | –837      |
| 0900 Total new obligations .....                                | 140,355     | 56,076  | 28,390    |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 13          | 13      | 13        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1200 Appropriation .....  | 140,363     | 56,076  | 28,390    |
| 1260 Appropriations, mandatory (total) .....                    | 140,363     | 56,076  | 28,390    |
| 1930 Total budgetary resources available .....                  | 140,376     | 56,089  | 28,403    |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | –8          |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 13          | 13      | 13        |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 6           | 4       | 3         |
| 3010 Obligations incurred, unexpired accounts .....             | 140,355     | 56,076  | 28,390    |
| 3020 Outlays (gross) .....                                      | –140,356    | –56,077 | –28,391   |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –1          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 4           | 3       | 2         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 6           | 4       | 3         |
| 3200 Obligated balance, end of year .....                       | 4           | 3       | 2         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 140,363     | 56,076  | 28,390    |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 140,351     | 56,076  | 28,390    |
| 4101 Outlays from mandatory balances .....                      | 5           | 1       | 1         |
| 4110 Outlays, gross (total) .....                               | 140,356     | 56,077  | 28,391    |
| 4180 Budget authority, net (total) .....                        | 140,363     | 56,076  | 28,390    |
| 4190 Outlays, net (total) .....                                 | 140,356     | 56,077  | 28,391    |

#### Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                      |             |         |           |
| Budget Authority .....                  | 140,363     | 56,076  | 28,390    |
| Outlays .....                           | 140,356     | 56,077  | 28,391    |
| Legislative proposal, subject to PAYGO: |             |         |           |
| Budget Authority .....                  |             |         | 235       |
| Outlays .....                           |             |         | 235       |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 140,363     | 56,076  | 28,625    |
| Outlays .....                           | 140,356     | 56,077  | 28,626    |

This general fund appropriation reimburses the Social Security trust funds annually for 1) Special Payments for Certain Uninsured Persons, 2) pension reform, and 3) interest on unnegotiated checks. Amounts appropriated to this account as permanent indefinite authority include receipts from Federal income taxation

of Social Security benefits. This account also includes transfers from the general fund equal to the reduction in revenues resulting from the 2011 and 2012 payroll tax holidays.

#### Object Classification (in millions of dollars)

| Identification code 28–0404–0–1–651                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 14          | 39      | 35        |
| 94.0 Financial transfers .....                     | 27,546      | 24,256  | 29,192    |
| 94.0 Financial transfers .....                     | 112,795     | 31,781  | –837      |
| 99.9 Total new obligations .....                   | 140,355     | 56,076  | 28,390    |

### PAYMENTS TO SOCIAL SECURITY TRUST FUNDS

(Legislative proposal, subject to PAYGO)

#### Program and Financing (in millions of dollars)

| Identification code 28–0404–4–1–651                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Quarterly Wage Reporting - Payment to the LAE .....          |             |         | 140       |
| 0002 Worker's Comp Admin Funds - Payment to the LAE .....         |             |         | 10        |
| 0003 WEP-GPO Admin. Funds - Payment to the LAE .....              |             |         | 70        |
| 0004 Prisoner update processing system - Payment to the LAE ..... |             |         | 15        |
| 0900 Total new obligations (object class 94.0) .....              |             |         | 235       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1200 Appropriation .....  |             |         | 235       |
| 1260 Appropriations, mandatory (total) .....                      |             |         | 235       |
| 1930 Total budgetary resources available .....                    |             |         | 235       |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....               |             |         | 235       |
| 3020 Outlays (gross) .....  |             |         | –235      |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                |             |         | 235       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                   |             |         | 235       |
| 4180 Budget authority, net (total) .....                          |             |         | 235       |
| 4190 Outlays, net (total) .....                                   |             |         | 235       |

Please see the narrative in the Limitation on Administrative Expenses account for a description of the Federal Wage Reporting, workers' compensation, prisoner data improvements and WEP/GPO proposals reflected here.

### ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT

#### Program and Financing (in millions of dollars)

| Identification code 28–0415–0–1–571                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 15          | 15      | 15        |
| 1930 Total budgetary resources available .....        | 15          | 15      | 15        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 15          | 15      | 15        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 13          | 12      | 6         |
| 3020 Outlays (gross) .....                            | –1          | –6      | –6        |
| 3050 Unpaid obligations, end of year .....            | 12          | 6       |           |

ADMINISTRATIVE COSTS, THE MEDICARE IMPROVEMENTS FOR PATIENTS AND PROVIDERS ACT—Continued  
Program and Financing—Continued

| Identification code 28-0415-0-1-571         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Memorandum (non-add) entries:               |             |         |           |
| 3100 Obligated balance, start of year ..... | 13          | 12      | 6         |
| 3200 Obligated balance, end of year .....   | 12          | 6       |           |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:                                  |             |         |           |
| Outlays, gross:                             |             |         |           |
| 4101 Outlays from mandatory balances .....  | 1           | 6       | 6         |
| 4190 Outlays, net (total) .....             | 1           | 6       | 6         |

Public Law 110-275 requires SSA to provide Medicare Part D Low-Income Subsidy applicants with material about Medicare Savings Programs assistance, including information about how to contact the State health insurance assistance program. In addition, SSA transmits Low-Income Subsidy application data to the States. As of 2011, new funding for this program comes from a reimbursable agreement with the Centers for Medicare and Medicaid Services and this funding is reflected within the Limitation on Administrative Expenses account.

ADMINISTRATIVE EXPENSES, CHILDREN'S HEALTH INSURANCE PROGRAM

Program and Financing (in millions of dollars)

| Identification code 28-0416-0-1-551                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 1           | 1       | 1         |
| 0100 Direct program activities, subtotal .....        | 1           | 1       | 1         |
| 0900 Total new obligations (object class 25.2) .....  | 1           | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 3           | 2       | 1         |
| 1930 Total budgetary resources available .....        | 3           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 1       |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 1       | 1         |
| 3020 Outlays (gross) .....                            | -1          | -1      | -1        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4101 Outlays from mandatory balances .....            | 1           | 1       | 1         |
| 4190 Outlays, net (total) .....                       | 1           | 1       | 1         |

Public Law 111-3 provides States the option to verify a declaration of citizenship or nationality for the purposes of establishing eligibility for Medicaid or the Children's Health Insurance Program through a comparison of the information provided by the individual with information in SSA records.

Employment Summary

| Identification code 28-0416-0-1-551                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 5       | 6         |

SUPPLEMENTAL SECURITY INCOME PROGRAM

For carrying out titles XI and XVI of the Social Security Act, section 401 of Public Law 92-603, section 212 of Public Law 93-66, as amended, and section 405 of Public Law 95-216, including payment to the Social Security

trust funds for administrative expenses incurred pursuant to section 201(g)(1) of the Social Security Act, \$40,737,000,000, to remain available until expended: Provided, That any portion of the funds provided to a State in the current fiscal year and not obligated by the State during that year shall be returned to the Treasury: Provided further, That not more than \$54,000,000 shall be available for research and demonstrations under sections 1110, 1115, and 1144 of the Social Security Act and remain available through September 30, 2015.

For making, after June 15 of the current fiscal year, benefit payments to individuals under title XVI of the Social Security Act, for unanticipated costs incurred for the current fiscal year, such sums as may be necessary.

For making benefit payments under title XVI of the Social Security Act for the first quarter of fiscal year 2015, \$19,700,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 28-0406-0-1-609                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program .....  | 50,709      | 57,251  | 59,267    |
| 0002 Program Integrity .....                                       |             | 489     | 246       |
| 0799 Total direct obligations .....                                | 50,709      | 57,740  | 59,513    |
| 0801 State supplementation payments .....                          | 3,064       | 3,310   | 3,435     |
| 0809 Reimbursable program activities, subtotal .....               | 3,064       | 3,310   | 3,435     |
| 0900 Total new obligations .....                                   | 53,773      | 61,050  | 62,948    |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 299         | 1,091   | 304       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....    | 130         | 40      |           |
| 1021 Recoveries of prior year unpaid obligations .....             | 7           |         |           |
| 1050 Unobligated balance (total) .....                             | 306         | 1,091   | 304       |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 3,619       | 3,633   | 3,860     |
| 1120 Appropriations transferred to other accts [28-0406] .....     | -1          |         |           |
| 1121 Appropriations transferred from other accts [28-0406] .....   | 1           |         |           |
| 1130 Appropriations permanently reduced .....                      | -7          |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 3,612       | 3,633   | 3,860     |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 34,208      | 35,110  | 36,405    |
| 1220 Appropriations transferred to other accts [28-0406] .....     | -7          |         |           |
| 1221 Appropriations transferred from other accts [28-0406] .....   | 7           |         |           |
| 1260 Appropriations, mandatory (total) .....                       | 34,208      | 35,110  | 36,405    |
| Advance appropriations, mandatory:                                 |             |         |           |
| 1270 Advance appropriation .....                                   | 13,400      | 18,200  | 19,300    |
| 1280 Advanced appropriation, mandatory (total) .....               | 13,400      | 18,200  | 19,300    |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 3,337       | 3,320   | 3,447     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 3,337       | 3,320   | 3,447     |
| 1900 Budget authority (total) .....                                | 54,558      | 60,263  | 63,012    |
| 1930 Total budgetary resources available .....                     | 54,864      | 61,354  | 63,316    |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1,091       | 304     | 368       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 2,073       | 1,827   | 2,578     |
| 3010 Obligations incurred, unexpired accounts .....                | 53,773      | 61,050  | 62,948    |
| 3020 Outlays (gross) .....   | -54,012     | -60,299 | -62,954   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -7          |         |           |
| 3050 Unpaid obligations, end of year .....                         | 1,827       | 2,578   | 2,572     |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 2,073       | 1,827   | 2,578     |
| 3200 Obligated balance, end of year .....                          | 1,827       | 2,578   | 2,572     |

| Budget authority and outlays, net:                  |  |        |        |        |
|---|--|--------|--------|--------|
| Discretionary:                                      |  |        |        |        |
| 4000  | Budget authority, gross .....                  | 3,613  | 3,633  | 3,860  |
| Outlays, gross:                                     |  |        |        |        |
| 4010  | Outlays from new discretionary authority ..... | 3,189  | 3,229  | 3,359  |
| 4011  | Outlays from discretionary balances .....      | 566    | 410    | 412    |
| 4020  | Outlays, gross (total) .....                   | 3,755  | 3,639  | 3,771  |
| Offsets against gross budget authority and outlays: |  |        |        |        |
| Offsetting collections (collected) from:            |  |        |        |        |
| 4033  | Non-Federal sources .....                      | -1     |        |        |
| Mandatory:  |  |        |        |        |
| 4090  | Budget authority, gross .....                  | 50,945 | 56,630 | 59,152 |
| Outlays, gross:                                     |  |        |        |        |
| 4100  | Outlays from new mandatory authority .....     | 50,126 | 56,345 | 58,852 |
| 4101  | Outlays from mandatory balances .....          | 131    | 315    | 331    |
| 4110  | Outlays, gross (total) .....                   | 50,257 | 56,660 | 59,183 |
| Offsets against gross budget authority and outlays: |  |        |        |        |
| Offsetting collections (collected) from:            |  |        |        |        |
| 4123  | Non-Federal sources .....                      | -3,337 | -3,320 | -3,447 |
| 4180  | Budget authority, net (total) .....            | 51,220 | 56,943 | 59,565 |
| 4190  | Outlays, net (total) .....                     | 50,674 | 56,979 | 59,507 |

## Summary of Budget Authority and Outlays (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 51,220      | 56,943  | 59,565    |
| Outlays .....                               | 50,674      | 56,979  | 59,507    |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      |             | -3      | -116      |
| Outlays .....                               |             | -3      | -116      |
| Legislative proposal, subject to PAYGO:     |             |         |           |
| Budget Authority .....                      |             | 106     | 633       |
| Outlays .....                               |             | 106     | 633       |
| Total:                                      |             |         |           |
| Budget Authority .....                      | 51,220      | 57,046  | 60,082    |
| Outlays .....                               | 50,674      | 57,082  | 60,024    |

Title XVI of the Social Security Act established a Supplemental Security Income (SSI) program to provide monthly cash benefits as a federally guaranteed minimum income for low-income individuals who are either aged, blind, or disabled. A portion of these funds may be used to fund evaluation of the Promoting Readiness of Minors in SSI (PROMISE) pilot, which would improve the outcomes of children receiving SSI and their families.

## Object Classification (in millions of dollars)

| Identification code 28-0406-0-1-609 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Direct obligations:                 |             |         |           |
| 25.3 Administrative Expenses .....  | 3,634       | 3,692   | 3,813     |
| 25.3 Beneficiary Services .....     | 34          | 42      | 48        |
| 41.0 Federal benefits .....         | 47,004      | 53,966  | 55,598    |
| 41.0 Research .....                 | 37          | 40      | 54        |
| 99.0 Direct obligations .....       | 50,709      | 57,740  | 59,513    |
| 99.0 Reimbursable obligations ..... | 3,064       | 3,310   | 3,435     |
| 99.9 Total new obligations .....    | 53,773      | 61,050  | 62,948    |

SUPPLEMENTAL SECURITY INCOME PROGRAM  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 28-0406-2-1-609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                     |             |         |           |
| 0001 Direct program activity .....                   |             | -3      | -116      |
| 0900 Total new obligations (object class 41.0) ..... |             | -3      | -116      |
| Budgetary Resources:                                 |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation (program integrity savings) ..... |             | -3      | -116      |
| 1260 Appropriations, mandatory (total) .....         |             | -3      | -116      |

|                                    |  |    |      |
|------------------------------------|--|----|------|
| 1930                               | Total budgetary resources available .....      | -3 | -116 |
| Change in obligated balance:       |  |    |      |
| Unpaid obligations:                |  |    |      |
| 3010                               | Obligations incurred, unexpired accounts ..... | -3 | -116 |
| 3020                               | Outlays (gross) .....                          | 3  | 116  |
| Budget authority and outlays, net: |  |    |      |
| Mandatory:                         |  |    |      |
| 4090                               | Budget authority, gross .....                  | -3 | -116 |
| Outlays, gross:                    |  |    |      |
| 4100                               | Outlays from new mandatory authority .....     | -3 | -116 |
| 4180                               | Budget authority, net (total) .....            | -3 | -116 |
| 4190                               | Outlays, net (total) .....                     | -3 | -116 |

## SUPPLEMENTAL SECURITY INCOME PROGRAM

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 28-0406-4-1-609                  | 2012 actual                                    | 2013 CR | 2014 est. |
|--|--|---------|-----------|
| Obligations by program activity:                     |  |         |           |
| 0001 Direct program activity .....                   |  | 106     | 633       |
| 0900 Total new obligations (object class 41.0) ..... |  | 106     | 633       |
| Budgetary Resources:                                 |  |         |           |
| Budget authority:                                    |  |         |           |
| Appropriations, mandatory:                           |  |         |           |
| 1200 Appropriation .....                             |  | 106     | 633       |
| 1260 Appropriations, mandatory (total) .....         |  | 106     | 633       |
| 1930 Total budgetary resources available .....       |  | 106     | 633       |
| Change in obligated balance:                         |  |         |           |
| Unpaid obligations:                                  |  |         |           |
| 3010   | Obligations incurred, unexpired accounts ..... | 106     | 633       |
| 3020   | Outlays (gross) .....                          | -106    | -633      |
| Budget authority and outlays, net:                   |  |         |           |
| Mandatory:   |  |         |           |
| 4090   | Budget authority, gross .....                  | 106     | 633       |
| Outlays, gross:                                      |  |         |           |
| 4100   | Outlays from new mandatory authority .....     | 106     | 633       |
| 4180   | Budget authority, net (total) .....            | 106     | 633       |
| 4190   | Outlays, net (total) .....                     | 106     | 633       |

The Budget includes a proposal to allow refugees and asylees to receive Supplemental Security Income (SSI) benefits an additional two years, in 2014 and 2015, for a total maximum of 9 years of eligibility. The time-limited SSI eligibility period was temporarily extended to 9 years for FY 2009–2011. However, effective October 2011, the SSI eligibility period for refugees and other humanitarian immigrants reverted to 7 years. For many participants, extended SSI eligibility will result in extended Medicaid eligibility and reduced SNAP benefit receipt.

The Budget also includes a proposal to conform the treatment of state and local government Earned Income Tax Credits (EITC) and Child Tax Credits (CTC) to be consistent with the treatment of the federal EITC and CTC for purposes of determining eligibility for SSI. Currently, the federal EITC and CTC credits are excluded from the SSI income and resource tests. However, state and local versions of these credits are not excluded, resulting in the reduction or loss of SSI benefits for those receiving the credits.

Please see the narrative in the Program Integrity Administrative Expenses account for a description of the program integrity funding proposal reflected here.

## SPECIAL BENEFITS FOR CERTAIN WORLD WAR II VETERANS

## Program and Financing (in millions of dollars)

| Identification code 28-0401-0-1-701                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 6           | 7       | 6         |
| 0801 State supplement payments .....                               | 2           | 2       | 2         |
| 0900 Total new obligations .....                                   | 8           | 9       | 8         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1           | 1       |           |
| 1160 Appropriation, discretionary (total) .....                    | 1           | 1       |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 6           | 6       | 5         |
| 1260 Appropriations, mandatory (total) .....                       | 6           | 6       | 5         |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 2           | 2       | 2         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2           | 2       | 2         |
| 1900 Budget authority (total) .....                                | 8           | 9       | 8         |
| 1930 Total budgetary resources available .....                     | 8           | 9       | 8         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 8           | 9       | 8         |
| 3020 Outlays (gross) .....   | -8          | -9      | -8        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 1           | 1       |           |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 1           | 1       |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 8           | 8       | 7         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 8           | 8       | 7         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -2          | -2      | -2        |
| 4180 Budget authority, net (total) .....                           | 6           | 7       | 6         |
| 4190 Outlays, net (total) .....                                    | 6           | 7       | 6         |

Public Law 106-169 established a benefit program for certain individuals who are at least 65 years old; were in the United States military forces, including veterans of the Filipino Army and Filipino Scouts, during World War II; and who were eligible for SSI for the month of December 1999. To receive this benefit, these individuals must reside outside the United States and meet other requirements for eligibility.

## Object Classification (in millions of dollars)

| Identification code 28-0401-0-1-701                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 42.0 Direct obligations: Insurance claims and indemnities ..... | 6           | 7       | 6         |
| 99.0 Reimbursable obligations .....                             | 2           | 2       | 2         |
| 99.9 Total new obligations .....                                | 8           | 9       | 8         |

## OFFICE OF INSPECTOR GENERAL

## (INCLUDING TRANSFER OF FUNDS)

For expenses necessary for the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$30,000,000, together with not to exceed \$75,733,000, to be transferred and expended as authorized by section 201(g)(1) of the Social Security Act from the Federal Old-Age and Survivors Insurance Trust Fund and the Federal Disability Insurance Trust Fund.

In addition, an amount not to exceed 3 percent of the total provided in this appropriation may be transferred from the "Limitation on Administrative Expenses", Social Security Administration, to be merged with this account, to be available for the time and purposes for which this account

is available: Provided, That notice of such transfers shall be transmitted promptly to the Committees on Appropriations of the House of Representatives and the Senate at least 15 days in advance of any transfer.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 28-0400-0-1-600                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Direct program activity .....                                  | 101         | 103     | 106       |
| 0002 Economic Recovery - Audits and Oversight .....                 | 1           |         |           |
| 0900 Total new obligations .....                                    | 102         | 103     | 106       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 1           |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 29          | 29      | 29        |
| 1160 Appropriation, discretionary (total) .....                     | 29          | 29      | 29        |
| Spending authority from offsetting collections, discretionary:      |             |         |           |
| 1700 Collected .....  | 64          | 74      | 77        |
| 1701 Change in uncollected payments, Federal sources .....          | 9           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 73          | 74      | 77        |
| 1900 Budget authority (total) .....                                 | 102         | 103     | 106       |
| 1930 Total budgetary resources available .....                      | 103         | 103     | 106       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1940 Unobligated balance expiring .....                             | -1          |         |           |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 16          | 7       | 8         |
| 3010 Obligations incurred, unexpired accounts .....                 | 102         | 103     | 106       |
| 3020 Outlays (gross) .....  | -109        | -102    | -106      |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | -2          |         |           |
| 3050 Unpaid obligations, end of year .....                          | 7           | 8       | 8         |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   | -19         | -16     | -16       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....      | -9          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....        | 12          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....              | -16         | -16     | -16       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | -3          | -9      | -8        |
| 3200 Obligated balance, end of year .....                           | -9          | -8      | -8        |
| <b>Budget authority and outlays, net:</b>                           |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                  | 102         | 103     | 106       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                 | 97          | 93      | 96        |
| 4011 Outlays from discretionary balances .....                      | 12          | 9       | 10        |
| 4020 Outlays, gross (total) .....                                   | 109         | 102     | 106       |
| Offsets against gross budget authority and outlays:                 |             |         |           |
| Offsetting collections (collected) from:                            |             |         |           |
| 4030 Federal sources .....  | -75         | -74     | -77       |
| Additional offsets against gross budget authority only:             |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | -9          |         |           |
| 4052 Offsetting collections credited to expired accounts .....      | 11          |         |           |
| 4060 Additional offsets against budget authority only (total) ..... | 2           |         |           |
| 4070 Budget authority, net (discretionary) .....                    | 29          | 29      | 29        |
| 4080 Outlays, net (discretionary) .....                             | 34          | 28      | 29        |
| 4180 Budget authority, net (total) .....                            | 29          | 29      | 29        |
| 4190 Outlays, net (total) .....                                     | 34          | 28      | 29        |

The Office of the Inspector General provides agency-wide audit and investigative functions to help find and correct operational and administrative deficiencies that create conditions for existing or potential instances of fraud, waste, and mismanagement.

**Object Classification** (in millions of dollars)

| Identification code 28-0400-0-1-600                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 65          | 64      | 68        |
| 12.1 Civilian personnel benefits .....                   | 24          | 24      | 25        |
| 21.0 Travel and transportation of persons .....          | 3           | 3       | 2         |
| 23.1 Rental payments to GSA .....                        | 6           | 6       | 5         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....       | 1           | 1       | 1         |
| 25.8 Subsistence and support of persons .....            | 1           | 1       | 1         |
| 31.0 Equipment .....                                     | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 102         | 103     | 106       |

**Employment Summary**

| Identification code 28-0400-0-1-600                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 569         | 570     | 575       |

**ADMINISTRATIVE EXPENSES, RECOVERY ACT****Program and Financing** (in millions of dollars)

| Identification code 28-0417-0-1-651                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 489         | 461     | 286       |
| 3020 Outlays (gross) .....                            | -28         | -175    | -214      |
| 3050 Unpaid obligations, end of year .....            | 461         | 286     | 72        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 489         | 461     | 286       |
| 3200 Obligated balance, end of year .....             | 461         | 286     | 72        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 28          | 175     | 214       |
| 4190 Outlays, net (total) .....                       | 28          | 175     | 214       |

Public Law 111-5 provided funding to process disability and retirement work, to replace the National Computer Center, and to administer \$250 economic recovery payments to eligible Social Security and Supplemental Security Income beneficiaries. The funds for administering the \$250 economic recovery payments were obligated by the end of the first quarter of 2011, as payments ended on December 31, 2010. All obligations since 2012 are for the replacement of the National Computer Center. SSA received a Presidential Waiver on December 28, 2012, allowing the agency to retain and continue to obligate funds appropriated for expenses of the replacement of the National Computer Center.

**STATE SUPPLEMENTAL FEES****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 28-5419-0-2-609                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                            | 8           |         | 4         |
| Adjustments:   |             |         |           |
| 0190 Adjustment - rounding issue from prior year entry ..... | 1           |         |           |
| 0199 Balance, start of year .....                            | 9           |         | 4         |
| Receipts:  |             |         |           |
| 0220 State Supplemental Fees, SSI .....                      | 151         | 166     | 173       |
| 0400 Total: Balances and collections .....                   | 160         | 166     | 177       |
| Appropriations:  |             |         |           |
| 0500 State Supplemental Fees .....                           | -160        | -162    | -173      |
| 0799 Balance, end of year .....                              |             | 4       | 4         |

**Program and Financing** (in millions of dollars)

| Identification code 28-5419-0-2-609                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 160         | 162     | 173       |
| 0900 Total new obligations (object class 25.3) ..... | 160         | 162     | 173       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1101 Appropriation (special or trust fund) .....     | 160         | 162     | 173       |
| 1160 Appropriation, discretionary (total) .....      | 160         | 162     | 173       |
| 1930 Total budgetary resources available .....       | 160         | 162     | 173       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 160         | 162     | 173       |
| 3020 Outlays (gross) .....                           | -160        | -162    | -173      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 160         | 162     | 173       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 160         | 162     | 173       |
| 4180 Budget authority, net (total) .....             | 160         | 162     | 173       |
| 4190 Outlays, net (total) .....                      | 160         | 162     | 173       |

The Social Security Administration (SSA) collects a fee from States for costs related to administering SSI State supplementary payments on behalf of States. A portion of these fees is used to fund some of SSA's administrative costs.

**Trust Funds****FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 28-8006-0-7-651  | 2012 actual | 2013 CR   | 2014 est. |
|--|-------------|-----------|-----------|
| 0100 Balance, start of year .....  | 2,434,118   | 2,524,592 | 2,587,104 |
| Receipts:  |             |           |           |
| 0200 FOASI, Transfers from General Fund (FICA Taxes) .....                   | 463,203     | 545,153   | 596,916   |
| 0201 FOASI, Transfers from General Fund (FICA Taxes) .....                   |             | 2         | -445      |
| 0202 FOASI, Transfers from General Fund (SECA Taxes) .....                   | 25,550      | 32,789    | 37,721    |
| 0203 FOASI, Refunds .....  | -1,970      | -2,216    | -2,343    |
| 0220 FOASI, Non-Attorney Fees .....  |             | 1         | 1         |
| 0221 FOASI, Attorney Fees .....  | 1           | 1         | 1         |
| 0222 FOASI, Tax Refund Offset .....  | 22          | 13        | 13        |
| 0240 FOASI, Federal Employer Contributions (FICA Taxes) .....                | 13,331      | 13,829    | 14,365    |
| 0241 FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312) ..... | 96,469      | 27,169    | -716      |
| 0242 FOASI, Interest Received by Trust Funds .....                           | 105,239     | 100,059   | 96,125    |
| 0243 FOASI, Federal Payments to the FOASI Trust Fund .....                   | 27,169      | 23,227    | 27,129    |
| 0299 Total receipts and collections .....                                    | 729,014     | 740,027   | 768,767   |
| 0400 Total: Balances and collections .....                                   | 3,163,132   | 3,264,619 | 3,355,871 |
| Appropriations:  |             |           |           |
| 0500 Federal Old-age and Survivors Insurance Trust Fund .....                | -2,809      | -2,746    | -2,664    |
| 0501 Federal Old-age and Survivors Insurance Trust Fund .....                | 5           |           |           |
| 0502 Federal Old-age and Survivors Insurance Trust Fund .....                | -726,280    | -737,279  | -766,548  |
| 0503 Federal Old-age and Survivors Insurance Trust Fund .....                | 90,538      | 62,510    | 49,223    |
| 0599 Total appropriations .....  | -638,546    | -677,515  | -719,989  |
| 0610 Federal Old-age and Survivors Insurance Trust Fund .....                | 3           |           |           |
| 0620 Federal Old-age and Survivors Insurance Trust Fund .....                | 3           |           |           |
| 0799 Balance, end of year .....  | 2,524,592   | 2,587,104 | 2,635,882 |

**Program and Financing** (in millions of dollars)

| Identification code 28-8006-0-7-651                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Direct program .....                              | 638,546     | 677,515 | 719,989   |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1021 Recoveries of prior year unpaid obligations ..... | 6           |         |           |

FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND—Continued  
Program and Financing—Continued

| Identification code 28-8006-0-7-651   | 2012 actual | 2013 CR   | 2014 est. |
|---|-------------|-----------|-----------|
| 1026 Adjustment for change in allocation of trust fund limitation or foreign exchange valuation ..... | -3          |           |           |
| 1029 Other balances withdrawn .....   | -3          |           |           |
| Budget authority:   |             |           |           |
| Appropriations, discretionary:  |             |           |           |
| 1101 Appropriation (special or trust fund) .....  | 2,809       | 2,746     | 2,664     |
| 1132 Appropriations temporarily reduced .....   | -5          |           |           |
| 1160 Appropriation, discretionary (total) .....   | 2,804       | 2,746     | 2,664     |
| Appropriations, mandatory:  |             |           |           |
| 1201 Appropriation (special or trust fund) .....  | 726,280     | 737,279   | 766,548   |
| 1235 Portion precluded from balances .....  | -90,538     | -62,510   | -49,223   |
| 1260 Appropriations, mandatory (total) .....  | 635,742     | 674,769   | 717,325   |
| 1900 Budget authority (total) .....   | 638,546     | 677,515   | 719,989   |
| 1930 Total budgetary resources available .....  | 638,546     | 677,515   | 719,989   |
| Memorandum (non-add) entries:   |             |           |           |
| Special and non-revolving trust funds:  |             |           |           |
| 1950 Other balances withdrawn .....   | 3           |           |           |
| <b>Change in obligated balance:</b>   |             |           |           |
| Unpaid obligations:   |             |           |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 57,808      | 61,616    | 64,910    |
| 3010 Obligations incurred, unexpired accounts .....   | 638,546     | 677,515   | 719,989   |
| 3020 Outlays (gross) .....  | -634,732    | -674,221  | -716,296  |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                                     | -6          |           |           |
| 3050 Unpaid obligations, end of year .....  | 61,616      | 64,910    | 68,603    |
| Memorandum (non-add) entries:   |             |           |           |
| 3100 Obligated balance, start of year .....   | 57,808      | 61,616    | 64,910    |
| 3200 Obligated balance, end of year .....   | 61,616      | 64,910    | 68,603    |
| <b>Budget authority and outlays, net:</b>   |             |           |           |
| Discretionary:  |             |           |           |
| 4000 Budget authority, gross .....  | 2,804       | 2,746     | 2,664     |
| Outlays, gross:   |             |           |           |
| 4010 Outlays from new discretionary authority .....   | 2,498       | 2,419     | 2,346     |
| 4011 Outlays from discretionary balances .....  | 268         | 306       | 263       |
| 4020 Outlays, gross (total) .....   | 2,766       | 2,725     | 2,609     |
| Mandatory:  |             |           |           |
| 4090 Budget authority, gross .....  | 635,742     | 674,769   | 717,325   |
| Outlays, gross:   |             |           |           |
| 4100 Outlays from new mandatory authority .....   | 578,796     | 671,496   | 713,687   |
| 4101 Outlays from mandatory balances .....  | 53,170      |           |           |
| 4110 Outlays, gross (total) .....   | 631,966     | 671,496   | 713,687   |
| 4180 Budget authority, net (total) .....  | 638,546     | 677,515   | 719,989   |
| 4190 Outlays, net (total) .....   | 634,732     | 674,221   | 716,296   |
| <b>Memorandum (non-add) entries:</b>  |             |           |           |
| 5000 Total investments, SOY: Federal securities: Par value .....                                      | 2,492,531   | 2,586,697 | 2,652,014 |
| 5001 Total investments, EOY: Federal securities: Par value .....                                      | 2,586,697   | 2,652,014 | 2,704,507 |

The Old-Age and Survivors Insurance (OASI) program provides monthly cash benefits to retired workers and their dependents, and to survivors of deceased workers.

## OASI Cash Outgo Detail

(in millions of dollars)

|   | 2012    | 2013    | 2014    |
|---|---------|---------|---------|
| Benefit Payments .....                      | 627,221 | 666,973 | 708,894 |
| Payments to the Railroad Board .....        | 4,139   | 3,898   | 4,175   |
| Administrative Expenses .....               | 2,766   | 2,725   | 2,609   |
| Treasury Administrative Expenses .....      | 604     | 622     | 615     |
| Beneficiary Services .....                  | 2       | 3       | 3       |
| Quinquennial Military Service Credits ..... | 0       | 0       | 0       |
| Total Outgo .....                           | 634,732 | 674,221 | 716,296 |

## Status of Funds (in millions of dollars)

| Identification code 28-8006-0-7-651     | 2012 actual | 2013 CR   | 2014 est. |
|---|-------------|-----------|-----------|
| Unexpended balance, start of year:      |             |           |           |
| 0100 Balance, start of year .....       | 2,491,926   | 2,586,208 | 2,652,014 |
| 0199 Total balance, start of year ..... | 2,491,926   | 2,586,208 | 2,652,014 |

## Cash income during the year:

|  |           |           |           |
|--|-----------|-----------|-----------|
| Current law:   |           |           |           |
| Receipts:  |           |           |           |
| 1200 FOASI, Transfers from General Fund (FICA Taxes) .....                   | 463,203   | 545,153   | 596,916   |
| 1202 FOASI, Transfers from General Fund (SECA Taxes) .....                   | 25,550    | 32,789    | 37,721    |
| 1203 FOASI, Refunds .....  | -1,970    | -2,216    | -2,343    |
| Offsetting receipts (proprietary):   |           |           |           |
| 1220 FOASI, Non-Attorney Fees .....  |           | 1         | 1         |
| 1221 FOASI, Attorney Fees .....  | 1         | 1         | 1         |
| 1222 FOASI, Tax Refund Offset .....  | 22        | 13        | 13        |
| Offsetting receipts (intragovernmental):                                     |           |           |           |
| 1240 FOASI, Federal Employer Contributions (FICA Taxes) .....                | 13,331    | 13,829    | 14,365    |
| 1241 FOASI, General Fund Payments for Payroll Tax Holiday (PL 111-312) ..... | 96,469    | 27,169    | -716      |
| 1242 FOASI, Interest Received by Trust Funds .....                           | 105,239   | 100,059   | 96,125    |
| 1243 FOASI, Federal Payments to the FOASI Trust Fund .....                   | 27,169    | 23,227    | 27,129    |
| 1299 Income under present law .....  | 729,014   | 740,025   | 769,212   |
| Proposed legislation:  |           |           |           |
| Receipts:  |           |           |           |
| 2201 FOASI, Transfers from General Fund (FICA Taxes) .....                   |           | 2         | -445      |
| 2299 Income under proposed legislation .....                                 |           | 2         | -445      |
| 3299 Total cash income .....   | 729,014   | 740,027   | 768,767   |
| Cash outgo during year:  |           |           |           |
| Current law:   |           |           |           |
| 4500 Federal Old-age and Survivors Insurance Trust Fund .....                | -634,732  | -674,221  | -716,296  |
| 4599 Outgo under current law (-) .....                                       | -634,732  | -674,221  | -716,296  |
| 6599 Total cash outgo (-) .....  | -634,732  | -674,221  | -716,296  |
| Unexpended balance, end of year:   |           |           |           |
| 8700 Uninvested balance (net), end of year .....                             | -489      |           | -22       |
| 8701 Federal Old-age and Survivors Insurance Trust Fund .....                | 2,586,697 | 2,652,014 | 2,704,507 |
| 8799 Total balance, end of year .....  | 2,586,208 | 2,652,014 | 2,704,485 |

## Object Classification (in millions of dollars)

| Identification code 28-8006-0-7-651  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:  |             |         |           |
| 25.2 Beneficiary Services (VR & Ticket) .....  | 2           | 3       | 3         |
| 25.3 Other purchases of goods and services from Government accounts (Treasury Admin) ..... | 604         | 622     | 615       |
| 25.3 Other purchases of goods and services from Government accounts (RRB) .....            | 4,139       | 3,898   | 4,175     |
| 42.0 Retirement and survivors insurance benefits .....                                     | 630,997     | 670,246 | 712,532   |
| 94.0 Financial transfers (OIG) .....   | 40          | 40      | 42        |
| 94.0 Financial transfers (LAE) .....   | 2,764       | 2,706   | 2,622     |
| 99.9 Total new obligations .....   | 638,546     | 677,515 | 719,989   |

## FEDERAL OLD-AGE AND SURVIVORS INSURANCE TRUST FUND

(Legislative proposal, not subject to PAYGO)

The Budget includes a proposal to terminate benefits for a stepchild in the same month as benefits are terminated for a parent upon the divorce of the parent. This change would correct a drafting error in the original legislation in order to conform the treatment of stepchild benefits to those of the parent's benefits.

## FEDERAL DISABILITY INSURANCE TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 28-8007-0-7-651  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 136,212     | 105,989 | 71,938    |
| Receipts:  |             |         |           |
| 0200 FDI, Transfers from General Fund (FICA Taxes) .....                   | 78,712      | 92,567  | 101,360   |
| 0201 FDI, Transfers from General Fund (FICA Taxes) .....                   |             |         | -76       |
| 0202 FDI, Transfers from General Fund (SECA Taxes) .....                   | 4,341       | 5,568   | 6,405     |
| 0203 FDI, Refunds .....  | -335        | -376    | -398      |
| 0220 Attorney Fees, Federal Disability Insurance Trust Fund .....          | 30          | 28      | 28        |
| 0221 FDI, Tax Refund Offset .....  | 52          | 47      | 47        |
| 0240 FDI, Federal Employer Contributions (FICA Taxes) .....                | 2,261       | 2,349   | 2,439     |
| 0241 FDI, General Fund Payments for Payroll Tax Holiday (PL 111-312) ..... | 16,326      | 4,612   | -121      |
| 0242 FDI, Interest Received by Trust Funds .....                           | 7,154       | 5,519   | 3,915     |
| 0243 FDI, Federal Payments to the FDI Trust Fund .....                     | 390         | 1,065   | 2,095     |
| 0299 Total receipts and collections .....                                  | 108,931     | 111,379 | 115,694   |
| 0400 Total: Balances and collections .....                                 | 245,143     | 217,368 | 187,632   |
| Appropriations:  |             |         |           |
| 0500 Federal Disability Insurance Trust Fund .....                         | -3,017      | -2,952  | -2,535    |



|      |   |          |          |          |
|------|---|----------|----------|----------|
| 0501 | Federal Disability Insurance Trust Fund ..... | 6        | .....    | .....    |
| 0502 | Federal Disability Insurance Trust Fund ..... | -105,989 | -108,426 | -112,693 |
| 0503 | Federal Disability Insurance Trust Fund ..... | -30,154  | -33,921  | -34,082  |
| 0504 | Federal Disability Insurance Trust Fund ..... | .....    | -131     | -317     |
| 0599 | Total appropriations .....                    | -139,154 | -145,430 | -149,627 |
| 0610 | Federal Disability Insurance Trust Fund ..... | 3        | .....    | .....    |
| 0620 | Federal Disability Insurance Trust Fund ..... | -3       | .....    | .....    |
| 0799 | Balance, end of year .....                    | 105,989  | 71,938   | 38,005   |

**Program and Financing** (in millions of dollars)

| Identification code 28-8007-0-7-651  | 2012 actual | 2013 CR  | 2014 est. |
|--|-------------|----------|-----------|
| <b>Obligations by program activity:</b>  |             |          |           |
| 0001 Direct program activity .....   | 139,157     | 145,299  | 149,310   |
| <b>Budgetary Resources:</b>  |             |          |           |
| Unobligated balance:   |             |          |           |
| 1021 Recoveries of prior year unpaid obligations .....   | 3           | .....    | .....     |
| 1026 Adjustment for change in allocation of trust fund limitation<br>or foreign exchange valuation ..... | 3           | .....    | .....     |
| 1029 Other balances withdrawn .....  | -3          | .....    | .....     |
| 1050 Unobligated balance (total) .....   | 3           | .....    | .....     |
| Budget authority:  |             |          |           |
| Appropriations, discretionary:   |             |          |           |
| 1101 Appropriation (special or trust fund) .....   | 3,017       | 2,952    | 2,535     |
| 1132 Appropriations temporarily reduced .....  | -6          | .....    | .....     |
| 1160 Appropriation, discretionary (total) .....  | 3,011       | 2,952    | 2,535     |
| Appropriations, mandatory:   |             |          |           |
| 1201 Appropriation (special or trust fund) .....   | 105,989     | 108,426  | 112,693   |
| 1203 Appropriation (previously unavailable) .....  | 30,154      | 33,921   | 34,082    |
| 1260 Appropriations, mandatory (total) .....   | 136,143     | 142,347  | 146,775   |
| 1900 Budget authority (total) .....  | 139,154     | 145,299  | 149,310   |
| 1930 Total budgetary resources available .....   | 139,157     | 145,299  | 149,310   |
| Memorandum (non-add) entries:  |             |          |           |
| Special and non-revolving trust funds:   |             |          |           |
| 1950 Other balances withdrawn .....  | 3           | .....    | .....     |
| <b>Change in obligated balance:</b>  |             |          |           |
| Unpaid obligations:  |             |          |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....  | 25,361      | 25,883   | 27,226    |
| 3010 Obligations incurred, unexpired accounts .....  | 139,157     | 145,299  | 149,310   |
| 3020 Outlays (gross) .....   | -138,632    | -143,956 | -148,831  |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -3          | .....    | .....     |
| 3050 Unpaid obligations, end of year .....   | 25,883      | 27,226   | 27,705    |
| Memorandum (non-add) entries:  |             |          |           |
| 3100 Obligated balance, start of year .....  | 25,361      | 25,883   | 27,226    |
| 3200 Obligated balance, end of year .....  | 25,883      | 27,226   | 27,705    |
| <b>Budget authority and outlays, net:</b>  |             |          |           |
| Discretionary:   |             |          |           |
| 4000 Budget authority, gross .....   | 3,011       | 2,952    | 2,535     |
| Outlays, gross:  |             |          |           |
| 4010 Outlays from new discretionary authority .....  | 2,470       | 2,629    | 2,233     |
| 4011 Outlays from discretionary balances .....   | 311         | 302      | 251       |
| 4020 Outlays, gross (total) .....  | 2,781       | 2,931    | 2,484     |
| Mandatory:   |             |          |           |
| 4090 Budget authority, gross .....   | 136,143     | 142,347  | 146,775   |
| Outlays, gross:  |             |          |           |
| 4100 Outlays from new mandatory authority .....  | 111,659     | 141,025  | 146,347   |
| 4101 Outlays from mandatory balances .....   | 24,192      | .....    | .....     |
| 4110 Outlays, gross (total) .....  | 135,851     | 141,025  | 146,347   |
| 4180 Budget authority, net (total) .....   | 139,154     | 145,299  | 149,310   |
| 4190 Outlays, net (total) .....  | 138,632     | 143,956  | 148,831   |
| <b>Memorandum (non-add) entries:</b>   |             |          |           |
| 5000 Total investments, SOY: Federal securities: Par value .....   | 161,965     | 132,345  | 99,443    |
| 5001 Total investments end of year: Federal securities: Par value .....                                  | 132,345     | 99,443   | 66,022    |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Budget Authority .....                      | 139,154     | 145,299 | 149,310   |
| Outlays .....                               | 138,632     | 143,956 | 148,831   |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Budget Authority .....                      | .....       | 131     | 317       |
| Outlays .....                               | .....       | 131     | 317       |

Total:

|                        |         |         |         |
|------------------------|---------|---------|---------|
| Budget Authority ..... | 139,154 | 145,430 | 149,627 |
| Outlays .....          | 138,632 | 144,087 | 149,148 |

The Disability Insurance program provides monthly cash benefits for disabled workers who have not yet attained their normal retirement age, and for their dependents.

**Disability Insurance Cash Outgo Detail**

(in millions of dollars)

|   | 2012    | 2013    | 2014    |
|---|---------|---------|---------|
| Benefit Payments .....                                | 135,125 | 140,493 | 145,986 |
| Payments to Railroad Board .....                      | 512     | 427     | 427     |
| Administrative Expenses (Subject to Limitation) ..... | 2,781   | 2,931   | 2,484   |
| Administrative Expenses (Treasury) .....              | 115     | 116     | 114     |
| Beneficiary Services .....                            | 69      | 101     | 119     |
| Demonstration Projects .....                          | 29      | 19      | 18      |
| Pre-1957 Military Credits .....                       | 0       | 0       | 0       |
| Total Outgo .....                                     | 138,631 | 144,087 | 149,148 |

**Status of Funds** (in millions of dollars)

| Identification code 28-8007-0-7-651   | 2012 actual | 2013 CR  | 2014 est. |
|---|-------------|----------|-----------|
| Unexpended balance, start of year:  |             |          |           |
| 0100 Balance, start of year .....   | 161,573     | 131,872  | 99,164    |
| 0199 Total balance, start of year .....                                       | 161,573     | 131,872  | 99,164    |
| Cash income during the year:  |             |          |           |
| Current law:  |             |          |           |
| Receipts:   |             |          |           |
| 1200 FDI, Transfers from General Fund (FICA Taxes) .....                      | 78,712      | 92,567   | 101,360   |
| 1202 FDI, Transfers from General Fund (SECA Taxes) .....                      | 4,341       | 5,568    | 6,405     |
| 1203 FDI, Refunds .....   | -335        | -376     | -398      |
| Offsetting receipts (proprietary):  |             |          |           |
| 1220 Attorney Fees, Federal Disability Insurance Trust Fund .....             | 30          | 28       | 28        |
| 1221 FDI, Tax Refund Offset .....   | 52          | 47       | 47        |
| Offsetting receipts (intragovernmental):                                      |             |          |           |
| 1240 FDI, Federal Employer Contributions (FICA Taxes) .....                   | 2,261       | 2,349    | 2,439     |
| 1241 FDI, General Fund Payments for Payroll Tax Holiday (PL<br>111-312) ..... | 16,326      | 4,612    | -121      |
| 1242 FDI, Interest Received by Trust Funds .....                              | 7,154       | 5,519    | 3,915     |
| 1243 FDI, Federal Payments to the FDI Trust Fund .....                        | 390         | 1,065    | 2,095     |
| 1299 Income under present law .....   | 108,931     | 111,379  | 115,770   |
| Proposed legislation:   |             |          |           |
| Receipts:   |             |          |           |
| 2201 FDI, Transfers from General Fund (FICA Taxes) .....                      | .....       | .....    | -76       |
| 2299 Income under proposed legislation .....                                  | .....       | .....    | -76       |
| 3299 Total cash income .....  | 108,931     | 111,379  | 115,694   |
| Cash outgo during year:   |             |          |           |
| Current law:  |             |          |           |
| 4500 Federal Disability Insurance Trust Fund .....                            | -138,632    | -143,956 | -148,831  |
| 4599 Outgo under current law (-) .....  | -138,632    | -143,956 | -148,831  |
| Proposed legislation:   |             |          |           |
| 5500 Federal Disability Insurance Trust Fund .....                            | .....       | -131     | -317      |
| 5599 Outgo under proposed legislation (-) .....                               | .....       | -131     | -317      |
| 6599 Total cash outgo (-) .....   | -138,632    | -144,087 | -149,148  |
| Unexpended balance, end of year:  |             |          |           |
| 8700 Uninvested balance (net), end of year .....                              | -473        | -279     | -312      |
| 8701 Federal Disability Insurance Trust Fund .....                            | 132,345     | 99,443   | 66,022    |
| 8799 Total balance, end of year .....   | 131,872     | 99,164   | 65,710    |

**Object Classification** (in millions of dollars)

| Identification code 28-8007-0-7-651   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 25.2 Beneficiary Services (VR & Tickets) .....  | 69          | 101     | 119       |
| 25.3 Other purchases of goods and services from Government accounts<br>(Treasury Admin) ..... | 114         | 116     | 114       |
| 25.3 Other purchases of goods and services from Government accounts<br>(RRB) .....            | 512         | 427     | 427       |
| 25.5 Research and development contracts .....   | 15          | 19      | 18        |
| 42.0 Disability insurance benefits .....  | 135,432     | 141,684 | 146,097   |
| 94.0 Financial transfers (OIG) .....  | 33          | 34      | 35        |
| 94.0 Financial transfers (LAE) .....  | 2,982       | 2,918   | 2,500     |

FEDERAL DISABILITY INSURANCE TRUST FUND—Continued  
Object Classification—Continued

| Identification code 28–8007–0–7–651 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 139,157     | 145,299 | 149,310   |

FEDERAL DISABILITY INSURANCE TRUST FUND  
(Legislative proposal, not subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 28–8007–2–7–651                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    |             | –29     | 317       |
| 0900 Total new obligations (object class 25.5) .....  |             | –29     | 317       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             |         | 160       |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1203 Appropriation (previously unavailable) .....     |             | 131     | 317       |
| 1260 Appropriations, mandatory (total) .....          |             | 131     | 317       |
| 1930 Total budgetary resources available .....        |             | 131     | 477       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             | 160     | 160       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | –160      |
| 3010 Obligations incurred, unexpired accounts .....   |             | –29     | 317       |
| 3020 Outlays (gross) .....                            |             | –131    | –317      |
| 3050 Unpaid obligations, end of year .....            |             | –160    | –160      |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | –160      |
| 3200 Obligated balance, end of year .....             |             | –160    | –160      |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             | 131     | 317       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 131     | 317       |
| 4180 Budget authority, net (total) .....              |             | 131     | 317       |
| 4190 Outlays, net (total) .....                       |             | 131     | 317       |

The Budget calls for providing SSA and partner agencies with authority to test innovative techniques to help people with disabilities remain in the workforce. In addition to providing new authority to test early interventions, the Budget also proposes reauthorization of SSA's demonstration authority for the Disability Insurance (DI) program, allowing SSA to continue to test effective ways to boost employment and support current DI and SSI beneficiaries who are seeking to return to work.

The Budget also includes a proposal to terminate benefits for a stepchild in the same month as benefits are terminated for a parent upon the divorce of the parent. This change would correct a drafting error in the original legislation in order to confirm the treatment of stepchild benefits to those of the parent's benefits.

The Budget also includes a proposal to reduce an individual's entitlement to a disability insurance benefit in any month in which the individual also receives a state or federal unemployment compensation benefit.

Please see the narrative in the Program Integrity Administrative Expenses account for a description of the program integrity funding proposal reflected here.

## LIMITATION ON ADMINISTRATIVE EXPENSES

*For necessary expenses, including the hire of two passenger motor vehicles, and not to exceed \$20,000 for official reception and representation*

*expenses, not more than \$10,622,846,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act, and including the cost of carrying out the Social Security Administration's obligations as required under section 1411 of Public Law 111–148, from any one or all of the trust funds referred to in such section: Provided, That not less than \$2,300,000 shall be for the Social Security Advisory Board: Provided further, That unobligated balances of funds provided under this paragraph at the end of fiscal year 2014 not needed for fiscal year 2014 shall remain available until expended to invest in the Social Security Administration information technology and telecommunications hardware and software infrastructure, including related equipment and non-payroll administrative expenses associated solely with this information technology and telecommunications infrastructure: Provided further, That the Commissioner of Social Security shall notify the Committees on Appropriations of the House of Representatives and the Senate prior to making unobligated balances available under the authority in the previous proviso: Provided further, That reimbursement to the trust funds under this heading for expenditures for official time for employees of the Social Security Administration pursuant to 5 U.S.C. 7131, and for facilities or support services for labor organizations pursuant to policies, regulations, or procedures referred to in section 7135(b) of such title shall be made by the Secretary of the Treasury, with interest, from amounts in the general fund not otherwise appropriated, as soon as possible after such expenditures are made.*

*In addition, for the cost associated with continuing disability reviews under titles II and XVI of the Social Security Act and for the cost associated with conducting redeterminations of eligibility under title XVI of the Social Security Act, \$273,000,000 may be expended, as authorized by section 201(g)(1) of the Social Security Act, from any one or all of the trust funds referred to therein: Provided, That the Commissioner shall provide to the Congress (at the conclusion of the fiscal year) a report on the obligation and expenditure of these funds, similar to the reports that were required by section 103(d)(2) of Public Law 104–121 for fiscal years 1996 through 2002.*

*In addition, \$173,000,000 to be derived from administration fees in excess of \$5.00 per supplementary payment collected pursuant to section 1616(d) of the Social Security Act or section 212(b)(3) of Public Law 93–66, which shall remain available until expended. To the extent that the amounts collected pursuant to such sections in fiscal year 2014 exceed \$173,000,000, the amounts shall be available in fiscal year 2015 only to the extent provided in advance in appropriations Acts.*

*In addition, up to \$1,000,000 to be derived from fees collected pursuant to section 303(c) of the Social Security Protection Act, which shall remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 28–8704–0–7–651   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 LAE Direct .....   | 10,754      | 10,956  | 10,797    |
| 0003 New National Support Center .....  | 28          | 58      | 78        |
| 0005 Program Integrity Base .....   | 273         | 273     | 273       |
| 0006 Program Integrity Cap Adjustment .....                                     | 483         | 485     |           |
| 0799 Total direct obligations .....   | 11,538      | 11,772  | 11,148    |
| 0801 Reimbursable program .....   | 60          | 61      | 64        |
| 0802 Low Income Subsidy .....   | 1           | 6       | 6         |
| 0809 Reimbursable program activities, subtotal .....                            | 61          | 67      | 70        |
| 0899 Total reimbursable obligations .....                                       | 61          | 67      | 70        |
| 0900 Total new obligations .....  | 11,599      | 11,839  | 11,218    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 167         | 301     | 174       |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                 | 167         | 301     |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 215         | 192     |           |
| 1021 Recoveries of prior year unpaid obligations .....                          | 62          |         |           |
| 1050 Unobligated balance (total) .....  | 444         | 493     | 174       |

|  |   |         |         |         |
|--|---|---------|---------|---------|
| Budget authority:  |   |         |         |         |
| Spending authority from offsetting collections, discretionary: |   |         |         |         |
| 1700   | Collected .....   | 9,383   | 10,855  | 10,735  |
| 1700   | Collected - Program Integrity Base .....                      | 273     | 273     | 273     |
| 1700   | Collected - Program Integrity Cap Adjustment .....            | 483     | 485     | .....   |
| 1701   | Change in uncollected payments, Federal sources .....         | 1,373   | -93     | 62      |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 11,512  | 11,520  | 11,070  |
| Spending authority from offsetting collections, mandatory:     |   |         |         |         |
| 1800   | Offsetting collections (LIS) .....                            | 1       | .....   | .....   |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 1       | .....   | .....   |
| 1900   | Budget authority (total) .....                                | 11,513  | 11,520  | 11,070  |
| 1930   | Total budgetary resources available .....                     | 11,957  | 12,013  | 11,244  |
| Memorandum (non-add) entries:                                  |   |         |         |         |
| 1940   | Unobligated balance expiring .....                            | -57     | .....   | .....   |
| 1941   | Unexpired unobligated balance, end of year .....              | 301     | 174     | 26      |
| Special and non-revolving trust funds:                         |   |         |         |         |
| 1951   | Unobligated balance expiring .....                            | 57      | .....   | .....   |
| 1952   | Expired unobligated balance, start of year .....              | 322     | 265     | .....   |
| 1953   | Expired unobligated balance, end of year .....                | 208     | .....   | .....   |
| <b>Change in obligated balance:</b>                            |   |         |         |         |
| Unpaid obligations:  |   |         |         |         |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 2,560   | 2,502   | 2,722   |
| 3010   | Obligations incurred, unexpired accounts .....                | 11,599  | 11,839  | 11,218  |
| 3011   | Obligations incurred, expired accounts .....                  | 39      | .....   | .....   |
| 3020   | Outlays (gross) .....   | -11,488 | -11,619 | -11,014 |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | -62     | .....   | .....   |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -146    | .....   | .....   |
| 3050   | Unpaid obligations, end of year .....                         | 2,502   | 2,722   | 2,926   |
| Uncollected payments:  |   |         |         |         |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -3,055  | -3,073  | -2,980  |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | -1,373  | 93      | -62     |
| 3071   | Change in uncollected pymts, Fed sources, expired .....       | 1,355   | .....   | .....   |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -3,073  | -2,980  | -3,042  |
| Memorandum (non-add) entries:                                  |   |         |         |         |
| 3100   | Obligated balance, start of year .....                        | -495    | -571    | -258    |
| 3200   | Obligated balance, end of year .....                          | -571    | -258    | -116    |

|   |   |         |         |         |
|---|---|---------|---------|---------|
| <b>Budget authority and outlays, net:</b>               |   |         |         |         |
| Discretionary:  |   |         |         |         |
| 4000  | Budget authority, gross .....                                   | 11,512  | 11,520  | 11,070  |
| Outlays, gross:   |   |         |         |         |
| 4010  | Outlays from new discretionary authority .....                  | 9,963   | 10,224  | 9,771   |
| 4011  | Outlays from discretionary balances .....                       | 1,524   | 1,389   | 1,237   |
| 4020  | Outlays, gross (total) .....                                    | 11,487  | 11,613  | 11,008  |
| Offsets against gross budget authority and outlays:     |   |         |         |         |
| Offsetting collections (collected) from:                |   |         |         |         |
| 4030  | Baseline Program .....  | -10,630 | -10,619 | -10,512 |
| 4030  | Baseline Program - RA Workload Processing .....                 | -7      | .....   | .....   |
| 4030  | Baseline Program - RA New NSC .....                             | -21     | -175    | -159    |
| 4030  | Baseline Program - PI Base .....                                | -273    | -273    | -273    |
| 4030  | Baseline Program - Reimbursable .....                           | -60     | -61     | -64     |
| 4030  | Baseline Program - PI Cap .....                                 | -483    | -485    | .....   |
| 4033  | Non-Federal sources .....                                       | -15     | .....   | .....   |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -11,489 | -11,613 | -11,008 |
| Additional offsets against gross budget authority only: |   |         |         |         |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -1,373  | 93      | -62     |
| 4052  | Offsetting collections credited to expired accounts .....       | 1,350   | .....   | .....   |
| 4060  | Additional offsets against budget authority only (total) .....  | -23     | 93      | -62     |
| 4080  | Outlays, net (discretionary) .....                              | -2      | .....   | .....   |
| Mandatory:  |   |         |         |         |
| 4090  | Budget authority, gross .....                                   | 1       | .....   | .....   |
| Outlays, gross:   |   |         |         |         |
| 4101  | Outlays from mandatory balances .....                           | 1       | 6       | 6       |
| Offsets against gross budget authority and outlays:     |   |         |         |         |
| Offsetting collections (collected) from:                |   |         |         |         |
| 4120  | Baseline Program [LIS] .....                                    | -1      | .....   | .....   |
| 4190  | Outlays, net (total) .....                                      | -2      | 6       | 6       |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Outlays .....                               | -2          | 6       | 6         |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Outlays .....                               | .....       | .....   | -177      |
| Total:                                      |             |         |           |
| Outlays .....                               | -2          | 6       | -171      |

The Limitation on Administrative Expenses account provides resources for Social Security to administer the Old-Age and Survivors Insurance and Disability Insurance programs, the Supplemental Security Income program, the Special Benefits for Certain World War II Veterans program, and certain health insurance functions for the aged and disabled.

**Object Classification** (in millions of dollars)

| Identification code 28-8704-0-7-651 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                                  | 4,573       | 4,618   | 4,158     |
| 11.3                                | Other than full-time permanent .....                       | 108         | 104     | 105       |
| 11.5                                | Other personnel compensation .....                         | 211         | 146     | 175       |
| 11.8                                | Special personal services payments .....                   | 3           | 3       | 6         |
| 11.9                                | Total personnel compensation .....                         | 4,895       | 4,871   | 4,444     |
| 12.1                                | Civilian personnel benefits .....                          | 1,432       | 1,522   | 1,475     |
| 13.0                                | Benefits for former personnel .....                        | 3           | 5       | 5         |
| 21.0                                | Travel and transportation of persons .....                 | 33          | 35      | 35        |
| 22.0                                | Transportation of things .....                             | 16          | 15      | 15        |
| 23.1                                | Rental payments to GSA .....                               | 694         | 718     | 740       |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 355         | 401     | 439       |
| 24.0                                | Printing and reproduction .....                            | 32          | 29      | 37        |
| 25.1                                | Advisory and assistance services .....                     | 58          | 56      | 55        |
| 25.2                                | Other services from non-Federal sources .....              | 2,534       | 2,454   | 2,348     |
| 25.3                                | Other goods and services from Federal sources .....        | 136         | 119     | 119       |
| 25.4                                | Operation and maintenance of facilities .....              | 312         | 336     | 321       |
| 25.5                                | Research and development contracts .....                   | 1           | 1       | 1         |
| 25.7                                | Operation and maintenance of equipment .....               | 488         | 644     | 586       |
| 26.0                                | Supplies and materials .....                               | 46          | 51      | 51        |
| 31.0                                | Equipment .....  | 278         | 310     | 274       |
| 32.0                                | Land and structures .....                                  | 199         | 181     | 180       |
| 42.0                                | Insurance claims and indemnities .....                     | 26          | 24      | 23        |
| 99.0                                | Direct obligations .....                                   | 11,538      | 11,772  | 11,148    |
| 99.0                                | Reimbursable obligations .....                             | 61          | 67      | 70        |
| 99.9                                | Total new obligations .....                                | 11,599      | 11,839  | 11,218    |

**Employment Summary**

| Identification code 28-8704-0-7-651 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment .....       | 63,751      | 62,103  | 55,060    |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 307         | 361     | 361       |

**LIMITATION ON ADMINISTRATIVE EXPENSES**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 28-8704-2-7-651                        | 2012 actual   | 2013 CR | 2014 est. |
|--|---|---------|-----------|
| <b>Obligations by program activity:</b>                    |   |         |           |
| 0007   | Direct program activity (quarterly wage reporting) .....      | .....   | 20        |
| 0008   | Workers' Comp Admin Fund .....                                | .....   | 5         |
| 0009   | WEP/GPO Admin Funds .....                                     | .....   | 18        |
| 0010   | Prisoner Update Processing System .....                       | .....   | 15        |
| 0900   | Total new obligations .....                                   | .....   | 58        |
| <b>Budgetary Resources:</b>                                |   |         |           |
| Budget authority:  |   |         |           |
| Spending authority from offsetting collections, mandatory: |   |         |           |
| 1800   | Offsetting Collections (Reimbursables) .....                  | .....   | 235       |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | .....   | 235       |
| 1930   | Total budgetary resources available .....                     | .....   | 235       |
| Memorandum (non-add) entries:                              |   |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | .....   | 177       |

**Change in obligated balance:**

|                     |  |       |     |
|---------------------|--|-------|-----|
| Unpaid obligations: |  |       |     |
| 3010                | Obligations incurred, unexpired accounts ..... | ..... | 58  |
| 3020                | Outlays (gross) .....                          | ..... | -58 |

**Budget authority and outlays, net:**

|            |                               |       |     |
|------------|-------------------------------|-------|-----|
| Mandatory: |                               |       |     |
| 4090       | Budget authority, gross ..... | ..... | 235 |

**LIMITATION ON ADMINISTRATIVE EXPENSES—Continued**  
**Program and Financing—Continued**

| Identification code 28–8704–2–7–651                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     |             |         | 58        |
| Offsets against gross budget authority and outlays: |             |         |           |
| Offsetting collections (collected) from:            |             |         |           |
| 4120 Federal sources .....                          |             |         | –235      |
| 4190 Outlays, net (total) .....                     |             |         | –177      |

The Budget includes four proposals that will strengthen the integrity and administration of several programs:

1. The Administration is including a request to restructure the Federal Wage Reporting process by moving from annual to quarterly wage reporting. This proposal will enhance tax administration and improve program integrity for a range of programs.

2. In addition, the Budget includes proposals to develop mechanisms for timely and accurate collection of workers' compensation and WEP/GPO data for use in payment calculations. These mechanisms will improve payment accuracy. See additional discussion in the Budget Process chapter in the *Analytical Perspectives* volume.

3. The Budget also includes a proposal to amend the Internal Revenue Code to lower the employer electronic wage reporting threshold from 250 to 50 employees. In 2012, the majority of employers this size reported electronically.

4. Finally, the Budget includes a multi-Agency proposal to improve SSA's Prisoner Update Processing System to identify, prevent and recover improper payments. SSA's PUPS data would be shared with Treasury for Government-wide access. Please see the narrative in the Department of Labor's Unemployment Trust Fund account for additional detail on this proposal.

**Object Classification** (in millions of dollars)

| Identification code 28–8704–2–7–651                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             |         | 50        |
| 12.1 Civilian personnel benefits .....                 |             |         | 8         |
| 99.9 Total new obligations .....                       |             |         | 58        |

**Employment Summary**

| Identification code 28–8704–2–7–651                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       |             |         | 29        |
| 2001 Reimbursable civilian full-time equivalent employment ..... |             |         |           |

**PROGRAM INTEGRITY ADMINISTRATIVE EXPENSES**  
(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 28–8183–2–7–651                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 |             | 266     | 1,227     |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             | 266     | 1,227     |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             | 266     | 1,227     |
| 1930 Total budgetary resources available .....                     |             | 266     | 1,227     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                |             | 266     | 1,227     |

|   |      |        |
|---|------|--------|
| 3020 Outlays (gross) .....                          | –266 | –1,227 |
| <b>Budget authority and outlays, net:</b>           |      |        |
| Mandatory:  |      |        |
| 4090 Budget authority, gross .....                  | 266  | 1,227  |
| Outlays, gross:                                     |      |        |
| 4100 Outlays from new mandatory authority .....     | 266  | 1,227  |
| Offsets against gross budget authority and outlays: |      |        |
| Offsetting collections (collected) from:            |      |        |
| 4120 Federal sources .....                          | –266 | –1,227 |

The Budget includes a proposal to repeal the discretionary cap adjustments enacted in the Balanced Budget and Emergency Deficit Control Act, as amended by the Budget Control Act of 2011, beginning in 2014 for SSA and instead provide a dedicated, dependable source of mandatory funding for the Social Security Administration to conduct Continuing Disability Reviews (CDRs) and Supplemental Security Income (SSI) program Redeterminations. CDRs and Redeterminations help to ensure that only those beneficiaries who remain eligible for SSI or Disability Insurance benefits continue to receive them. To date, the annual appropriations process has failed to provide SSA with the resources necessary to conduct scheduled CDRs and Redeterminations, leading to a current CDR backlog of 1.3 million. SSA estimates that every additional \$1 spent on CDRs would save the Federal Government \$9, and every \$1 spent on Redeterminations would save the Federal Government \$5.

The proposal includes the creation of a new limitation account entitled Program Integrity Administrative Expenses, which will reflect mandatory funding for SSA's program integrity activities in addition to amounts provided to SSA through the Limitation on Administrative Expenses account. See additional discussion in the Budget Process Chapter in the *Analytical Perspectives* volume.

**Object Classification** (in millions of dollars)

| Identification code 28–8183–2–7–651                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 150         |         | 692       |
| 12.1 Civilian personnel benefits .....                 | 50          |         | 228       |
| 25.2 Other services from non-Federal sources .....     | 66          |         | 307       |
| 99.9 Total new obligations .....                       | 266         |         | 1,227     |

**Employment Summary**

| Identification code 28–8183–2–7–651                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 1,995   | 9,203     |

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:                             |             |         |           |
| 28–241700 SSI, Attorney Fees: Enacted/requested .....            | 9           | 9       | 9         |
| 75–241800 Receipts from SSI Administrative Fee:                  |             |         |           |
| Enacted/requested .....  | 127         | 135     | 136       |
| 75–309600 Recovery of Beneficiary Overpayments from SSI Program: |             |         |           |
| Enacted/requested .....  | 2,732       | 2,899   | 3,025     |
| General Fund Offsetting receipts from the public .....           | 2,868       | 3,043   | 3,170     |

## COMMISSIONER'S BUDGET

As directed by Section 104 of Public Law 103–296, the Social Security Independence and Program Improvements Act of 1994, the Commissioner of Social Security shall prepare an annual budget for SSA, which shall be submitted by the President to the

Congress without revision, together with the President's request for SSA.

The Commissioner's budget includes \$12,333 million for total administrative discretionary resources in 2014. This represents \$12,228 million for SSA administrative expenses and \$105 million for the Office of the Inspector General.



## OTHER INDEPENDENT AGENCIES

### ACCESS BOARD

#### Federal Funds

##### SALARIES AND EXPENSES

*For expenses necessary for the Access Board, as authorized by section 502 of the Rehabilitation Act of 1973, as amended, \$7,448,000: Provided, That, notwithstanding any other provision of law, there may be credited to this appropriation funds received for publications and training expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

| Identification code 95–3200–0–1–751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Salaries and Expenses .....                      | 7           | 7       | 7         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 7           | 7       | 7         |
| 1160 Appropriation, discretionary (total) .....       | 7           | 7       | 7         |
| 1930 Total budgetary resources available .....        | 7           | 7       | 7         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 7           | 7       | 7         |
| 3020 Outlays (gross) .....                            | –7          | –7      | –7        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 7           | 7       | 7         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 6           | 6       | 6         |
| 4011 Outlays from discretionary balances .....        | 1           | 1       | 1         |
| 4020 Outlays, gross (total) .....                     | 7           | 7       | 7         |
| 4180 Budget authority, net (total) .....              | 7           | 7       | 7         |
| 4190 Outlays, net (total) .....                       | 7           | 7       | 7         |

The Architectural and Transportation Barriers Compliance Board (Access Board) was established by section 502 of the Rehabilitation Act of 1973. The Access Board is responsible for developing guidelines under the Americans with Disabilities Act, the Architectural Barriers Act, and the Telecommunications Act. These guidelines ensure that buildings and facilities, transportation vehicles, and telecommunications equipment covered by these laws are readily accessible to and usable by people with disabilities. The Board is also responsible for developing standards under section 508 of the Rehabilitation Act for accessible electronic and information technology used by Federal agencies and standards under section 510 of the Rehabilitation Act for accessible medical diagnostic equipment. In addition, the Access Board enforces the Architectural Barriers Act, and provides training and technical assistance on the guidelines and standards it develops.

The Board also has additional responsibilities under the Help America Vote Act. The Board serves on the Board of Advisors and the Technical Guidelines Development Committee, which helps the Election Assistance Commission develop voluntary guidelines and guidance for voting systems, including accessibility for people with disabilities.

##### Object Classification (in millions of dollars)

| Identification code 95–3200–0–1–751                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 3           | 3       | 4         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | .....     |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 7           | 7       | 7         |

##### Employment Summary

| Identification code 95–3200–0–1–751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 29          | 31      | 32        |

## ADMINISTRATIVE CONFERENCE OF THE UNITED STATES

#### Federal Funds

##### SALARIES AND EXPENSES

*For necessary expenses of the Administrative Conference of the United States, authorized by 5 U.S.C. 591 et seq., \$3,200,000, to remain available until September 30, 2015, of which not to exceed \$1,000 is for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

##### Program and Financing (in millions of dollars)

| Identification code 95–1700–0–1–751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 3           | 3       | 3         |
| 0900 Total new obligations (object class 99.5) .....  | 3           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 3           | 3       | 3         |
| 1160 Appropriation, discretionary (total) .....       | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 4           | 4       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 3       | 3         |
| 3020 Outlays (gross) .....                            | –3          | –3      | –3        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 3           | 3       | 3         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 2           | 2       | 2         |
| 4011 Outlays from discretionary balances .....        | 1           | 1       | 1         |
| 4020 Outlays, gross (total) .....                     | 3           | 3       | 3         |
| 4180 Budget authority, net (total) .....              | 3           | 3       | 3         |
| 4190 Outlays, net (total) .....                       | 3           | 3       | 3         |

The Administrative Conference of the United States (ACUS) is an independent agency that assists the President, the Congress, the Judicial Conference and Federal agencies in improving the

## SALARIES AND EXPENSES—Continued

regulatory and legal process through consensus-driven applied research. The Conference analyzes the administrative law process and, among its many activities, issues formal recommendations for improvements that reduce costs to government agencies promote effective public participation in the rulemaking process, and reduce unnecessary litigation. The Conference is a public-private partnership comprised of senior government officials and private sector leaders in law, business, and academia.

## ADVISORY COUNCIL ON HISTORIC PRESERVATION

### Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses of the Advisory Council on Historic Preservation (Public Law 89-665, as amended), \$6,531,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95-2300-0-1-303                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 6           | 6       | 7         |
| 0801 Reimbursable program .....                                    | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 7           | 7       | 8         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 1           | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 6           | 6       | 7         |
| 1160 Appropriation, discretionary (total) .....                    | 6           | 6       | 7         |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 7           | 7       | 8         |
| 1930 Total budgetary resources available .....                     | 8           | 8       | 9         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 7           | 7       | 8         |
| 3020 Outlays (gross) .....   | -7          | -7      | -8        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -2      | -2        |
| 3071 Change in uncollected pymts, Fed sources, expired .....       | -1          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -2          | -2      | -2        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | -1          | -2      | -2        |
| 3200 Obligated balance, end of year .....                          | -2          | -2      | -2        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 7           | 7       | 8         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 6           | 7       | 8         |
| 4011 Outlays from discretionary balances .....                     | 1           |         |           |
| 4020 Outlays, gross (total) .....                                  | 7           | 7       | 8         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -1          | -1      | -1        |
| 4180 Budget authority, net (total) .....                           | 6           | 6       | 7         |
| 4190 Outlays, net (total) .....                                    | 6           | 6       | 7         |

The Council advises the President and the Congress on national historic preservation policy and promotes the preservation, enhancement, and productive use of our Nation's historic resources.

## Object Classification (in millions of dollars)

| Identification code 95-2300-0-1-303                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 4           | 4       | 5         |
| 25.2 Other services from non-Federal sources .....     | 2           | 2       | 2         |
| 99.0 Direct obligations .....                          | 6           | 6       | 7         |
| 99.0 Reimbursable obligations .....                    | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 7           | 7       | 8         |

## Employment Summary

| Identification code 95-2300-0-1-303                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 32          | 32      | 33        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5           | 5       | 8         |

## AFFORDABLE HOUSING PROGRAM

## Federal Funds

## AFFORDABLE HOUSING PROGRAM

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-5528-0-2-604   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0200 Contributions, Federal Home Loan Banks, Affordable Housing Program ..... | 286         | 286     | 286       |
| 0400 Total: Balances and collections .....                                    | 286         | 286     | 286       |
| Appropriations:   |             |         |           |
| 0500 Affordable Housing Program .....   | -286        | -286    | -286      |
| 0799 Balance, end of year .....   |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 95-5528-0-2-604                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 286         | 286     | 286       |
| 0900 Total new obligations (object class 41.0) ..... | 286         | 286     | 286       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 286         | 286     | 286       |
| 1260 Appropriations, mandatory (total) .....         | 286         | 286     | 286       |
| 1930 Total budgetary resources available .....       | 286         | 286     | 286       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 286         | 286     | 286       |
| 3020 Outlays (gross) .....                           | -286        | -286    | -286      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 286         | 286     | 286       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 286         | 286     | 286       |
| 4180 Budget authority, net (total) .....             | 286         | 286     | 286       |
| 4190 Outlays, net (total) .....                      | 286         | 286     | 286       |

The Affordable Housing Program was created by the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). FIRREA requires each of the twelve Federal Home Loan Banks to contribute 10-percent of its previous year's net earnings to an Affordable Housing Program (AHP) to be used to



subsidize the cost of affordable homeownership and rental housing. The Federal Housing Finance Agency (FHFA) regulates the AHP and ensures that the AHP fulfills its mission.

## APPALACHIAN REGIONAL COMMISSION

### Federal Funds

#### APPALACHIAN REGIONAL COMMISSION

*For expenses necessary to carry out the programs authorized by the Appalachian Regional Development Act of 1965, as amended, notwithstanding 40 U.S.C. 14704, and for necessary expenses for the Federal Co-Chairman and the Alternate on the Appalachian Regional Commission, for payment of the Federal share of the administrative expenses of the Commission, including services as authorized by 5 U.S.C. 3109, and hire of passenger motor vehicles, \$64,618,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 46-0200-0-1-452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0101 Appalachian development highway system .....                  | 1           | 1       | 1         |
| 0102 Area development and technical assistance program .....       | 63          | 65      | 65        |
| 0103 Local development districts program .....                     | 7           | 7       | 7         |
| 0191 Total Appalachian regional development programs .....         | 71          | 73      | 73        |
| 0201 Federal co-chairman and staff .....                           | 2           | 2       | 2         |
| 0202 Administrative expenses .....                                 | 4           | 4       | 4         |
| 0291 Total salaries and expenses .....                             | 6           | 6       | 6         |
| 0900 Total new obligations .....                                   | 77          | 79      | 79        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 19          | 20      | 18        |
| 1021 Recoveries of prior year unpaid obligations .....             | 9           | 7       | 7         |
| 1050 Unobligated balance (total) .....                             | 28          | 27      | 25        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 68          | 69      | 65        |
| 1160 Appropriation, discretionary (total) .....                    | 68          | 69      | 65        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 69          | 70      | 66        |
| 1930 Total budgetary resources available .....                     | 97          | 97      | 91        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 20          | 18      | 12        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 147         | 130     | 120       |
| 3010 Obligations incurred, unexpired accounts .....                | 77          | 79      | 79        |
| 3020 Outlays (gross) .....   | -85         | -82     | -83       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -9          | -7      | -7        |
| 3050 Unpaid obligations, end of year .....                         | 130         | 120     | 109       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 147         | 130     | 120       |
| 3200 Obligated balance, end of year .....                          | 130         | 120     | 109       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 69          | 70      | 66        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 26          | 23      | 22        |
| 4011 Outlays from discretionary balances .....                     | 59          | 59      | 61        |
| 4020 Outlays, gross (total) .....                                  | 85          | 82      | 83        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   | -1          | -1      | -1        |

|  |    |    |    |
|--|----|----|----|
| 4180 Budget authority, net (total) ..... | 68 | 69 | 65 |
| 4190 Outlays, net (total) .....          | 84 | 81 | 82 |

The Appalachian Regional Commission (ARC) was established as a Federal-State partnership in 1965 to invest in sustainable economic development in the 420 county Appalachian Region. The Commission is comprised of 13 members representing the States in the Region and a Federal Co-Chairman, who represents the Federal Government. It is the mission of the ARC to help the Appalachian Region reach parity with the Nation by planning and coordinating regional investments and targeting resources to those communities with the greatest needs. ARC investments go toward area development and technical assistance goals, such as increasing job opportunities, improving employability, strengthening basic infrastructure and building the Appalachian Development Highway System. ARC also assists communities through support of 73 multi-county Local Development Districts (LDDs) that assist local governments in implementing economic development strategies. In 2014, ARC will devote \$10 million to work with partner agencies on the Administration's Appalachian Regional Development Initiative Memorandum of Understanding to promote diversified and sustainable economic growth and employment in the Region.

**Salaries and expenses.**—In this Federal-State partnership, the Federal Government contributes half of the expenses of a professional staff that works with the States and the Federal staff in operating the program. The other half of these non-Federal employee expenses are provided by member States.

**Performance.**—A detailed presentation of performance outcomes, measures, and targets can be found in the ARC 2014 Budget submission.

#### Object Classification (in millions of dollars)

| Identification code 46-0200-0-1-452  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:  |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....                       | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....                           | 4           | 4       | 4         |
| 41.0 Grants, subsidies, and contributions .....                              | 50          | 50      | 50        |
| 99.0 Direct obligations .....  | 55          | 55      | 55        |
| 99.0 Reimbursable obligations .....  | 1           | 1       | 1         |
| 41.0 Allocation Account - direct: Grants, subsidies, and contributions ..... | 21          | 23      | 23        |
| 99.9 Total new obligations .....   | 77          | 79      | 79        |

#### Employment Summary

| Identification code 46-0200-0-1-452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 7           | 8       | 8         |

### Trust Funds

#### MISCELLANEOUS TRUST FUNDS

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 46-9971-0-7-452                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                      |             |         |           |
| Receipts:  |             |         |           |
| 0220 Fees for Services, Appalachian Regional Commission .....          | 5           | 4       | 5         |
| 0240 General Fund Contributions, Appalachian Regional Commission ..... | 4           | 4       | 4         |
| 0299 Total receipts and collections .....                              | 9           | 8       | 9         |
| 0400 Total: Balances and collections .....                             | 9           | 8       | 9         |
| Appropriations:  |             |         |           |
| 0500 Miscellaneous Trust Funds .....                                   | -9          | -8      | -9        |

MISCELLANEOUS TRUST FUNDS—Continued  
Special and Trust Fund Receipts—Continued

| Identification code 46-9971-0-7-452 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0799 Balance, end of year .....     |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 46-9971-0-7-452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 8           | 9       | 9         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 2       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 9           | 8       | 9         |
| 1260 Appropriations, mandatory (total) .....          | 9           | 8       | 9         |
| 1930 Total budgetary resources available .....        | 10          | 10      | 10        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 8           | 9       | 9         |
| 3020 Outlays (gross) .....                            | -8          | -9      | -9        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 9           | 8       | 9         |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 8           | 8       | 9         |
| 4101 Outlays from mandatory balances .....            |             | 1       |           |
| 4110 Outlays, gross (total) .....                     | 8           | 9       | 9         |
| 4180 Budget authority, net (total) .....              | 9           | 8       | 9         |
| 4190 Outlays, net (total) .....                       | 8           | 9       | 9         |

Under the Appalachian Regional Development Act, administrative activities of the Commission are funded equally by Federal funds and State funds. Those funds are deposited into and paid out of a trust fund at the Treasury Department.

## Object Classification (in millions of dollars)

| Identification code 46-9971-0-7-452                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>  |             |         |           |
| 11.8 Personnel compensation: Special personal services payments ..... | 4           | 4       | 4         |
| 23.2 Rental payments to others .....                                  | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....                    | 3           | 4       | 4         |
| 99.9 Total new obligations .....                                      | 8           | 9       | 9         |

BARRY GOLDWATER SCHOLARSHIP AND  
EXCELLENCE IN EDUCATION FOUNDATION

## Trust Funds

BARRY GOLDWATER SCHOLARSHIP AND EXCELLENCE IN EDUCATION  
FOUNDATION

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-8281-0-7-502 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 40          | 40      | 38        |

|  |    |    |    |
|--|----|----|----|
| Receipts:  |    |    |    |
| 0240 Interest on Investments, Barry Goldwater Scholarship and Excellence in Education Foundation ..... | 2  | 3  | 4  |
| 0400 Total: Balances and collections .....   | 42 | 43 | 42 |
| Appropriations:  |    |    |    |
| 0500 Barry Goldwater Scholarship and Excellence in Education Foundation .....                          | -2 | -5 | -5 |
| 0799 Balance, end of year .....  | 40 | 38 | 37 |

## Program and Financing (in millions of dollars)

| Identification code 95-8281-0-7-502                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Direct program activity .....                               | 3           | 4       | 4         |
| 0900 Total new obligations (object class 41.0) .....             | 3           | 4       | 4         |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 27          | 26      | 27        |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 2           | 5       | 5         |
| 1260 Appropriations, mandatory (total) .....                     | 2           | 5       | 5         |
| 1930 Total budgetary resources available .....                   | 29          | 31      | 32        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 26          | 27      | 28        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            |             | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....              | 3           | 4       | 4         |
| 3020 Outlays (gross) .....                                       | -2          | -4      | -4        |
| 3050 Unpaid obligations, end of year .....                       | 1           | 1       | 1         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      |             | 1       | 1         |
| 3200 Obligated balance, end of year .....                        | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 2           | 5       | 5         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 2           | 4       | 4         |
| 4180 Budget authority, net (total) .....                         | 2           | 5       | 5         |
| 4190 Outlays, net (total) .....                                  | 2           | 4       | 4         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 65          | 67      | 66        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 67          | 66      | 66        |

Public Law 99-661 established the Barry Goldwater Scholarship and Excellence in Education Foundation to operate the scholarship program that is the sole permanent tribute to the former Senator from Arizona. The Foundation awards scholarships to outstanding undergraduate students who intend to pursue careers in mathematics, the natural sciences and engineering. The Foundation awards approximately 300 scholarships each year.

## Employment Summary

| Identification code 95-8281-0-7-502                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           | 2       | 2         |

## BROADCASTING BOARD OF GOVERNORS

## Federal Funds

INTERNATIONAL BROADCASTING OPERATIONS

For necessary expenses to enable the Broadcasting Board of Governors (BBG), as authorized, to carry out international communication activities, and to make and supervise grants for radio, television, and other digital broadcasting to the Middle East, \$722,580,000: Provided, That funds appropriated under this heading shall be made available to expand un-

restricted access to information on the Internet through the development and use of circumvention and secure communication technologies: Provided further, That the circumvention technologies and programs supported by such funds shall undergo a review, to include an assessment of protections against such technologies being used for illicit purposes: Provided further, That the BBG shall coordinate the development and use of such technologies with the Secretary of State, as appropriate: Provided further, That, of the total amount appropriated under this heading, not to exceed \$16,000 may be used for official receptions within the United States as authorized, not to exceed \$35,000 may be used for representation abroad as authorized, and not to exceed \$39,000 may be used for official reception and representation expenses of Radio Free Europe/Radio Liberty: Provided further, That the authority provided by section 504(c) of the Foreign Relations Authorization Act, Fiscal Year 2003 (Public Law 107-228; 22 U.S.C. 6206 note) shall remain in effect through September 30, 2014: Provided further, That the BBG shall notify the Committees on Appropriations within 15 days of any determination by the Board that any of its broadcast entities, including its grantee organizations, provides an open platform for international terrorists or those who support international terrorism, or is in violation of the principles and standards set forth in the United States International Broadcasting Act of 1994 (22 U.S.C. 6202(a) and (b)) or the entity's journalistic code of ethics: Provided further, That significant modifications to transmission platforms (shortwave, medium wave, satellite, Internet, and television) resulting in significant cost reallocations among programs, projects, or activities shall be reported annually to the Committees on Appropriations: Provided further, That, in addition to funds made available under this heading, and notwithstanding any other provision of law, up to \$5,000,000 in receipts from advertising and revenue from business ventures, up to \$500,000 in receipts from cooperating international organizations, and up to \$1,000,000 in receipts from privatization efforts of the Voice of America and the International Broadcasting Bureau shall remain available until expended for carrying out authorized purposes.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 95-0206-0-1-154                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Broadcasting Board of Governors .....                           | 751         | 712     | 718       |
| 0100 Subtotal, direct obligations .....                              | 751         | 712     | 718       |
| 0801 Reimbursable program .....                                      | 5           | 5       | 5         |
| 0900 Total new obligations .....                                     | 756         | 717     | 723       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 13          | 2       | 31        |
| 1011 Unobligated balance transfer from other accts [95-1147] ....    | 1           | 1       | .....     |
| 1050 Unobligated balance (total) .....                               | 14          | 3       | 31        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 745         | 749     | 723       |
| 1160 Appropriation, discretionary (total) .....                      | 745         | 749     | 723       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 3           | 6       | 6         |
| 1701 Change in uncollected payments, Federal sources .....           | -3          | -3      | -3        |
| 1750 Spending auth from offsetting collections, disc (total) .....   | .....       | 3       | 3         |
| 1900 Budget authority (total) .....                                  | 745         | 752     | 726       |
| 1930 Total budgetary resources available .....                       | 759         | 755     | 757       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | -1          | -7      | -7        |
| 1941 Unexpired unobligated balance, end of year .....                | 2           | 31      | 27        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 113         | 124     | 100       |
| 3001 Adjustments to unpaid obligations, brought forward, Oct 1 ..... | -1          | .....   | .....     |
| 3010 Obligations incurred, unexpired accounts .....                  | 756         | 717     | 723       |
| 3011 Obligations incurred, expired accounts .....                    | 2           | 2       | 2         |
| 3020 Outlays (gross) .....   | -739        | -743    | -725      |

|  |     |       |       |
|--|-----|-------|-------|
| 3041 Recoveries of prior year unpaid obligations, expired .....                  | -7  | ..... | ..... |
| 3050 Unpaid obligations, end of year .....                                       | 124 | 100   | 100   |
| Uncollected payments:  |     |       |       |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                | -8  | -2    | -1    |
| 3061 Adjustments to uncollected pymts, Fed sources, brought forward, Oct 1 ..... | 1   | ..... | ..... |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                   | 3   | 3     | 3     |
| 3071 Change in uncollected pymts, Fed sources, expired .....                     | 2   | -2    | -2    |
| 3090 Uncollected pymts, Fed sources, end of year .....                           | -2  | -1    | ..... |
| Memorandum (non-add) entries:  |     |       |       |
| 3100 Obligated balance, start of year .....                                      | 105 | 122   | 99    |
| 3200 Obligated balance, end of year .....  | 122 | 99    | 100   |

#### Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                                  | 745 | 752 | 726 |
| Outlays, gross:   |     |     |     |
| 4010 Outlays from new discretionary authority .....                 | 642 | 632 | 610 |
| 4011 Outlays from discretionary balances .....                      | 97  | 111 | 115 |
| 4020 Outlays, gross (total) .....                                   | 739 | 743 | 725 |
| Offsets against gross budget authority and outlays:                 |     |     |     |
| Offsetting collections (collected) from:                            |     |     |     |
| 4030 Federal sources .....  | -5  | -8  | -8  |
| Additional offsets against gross budget authority only:             |     |     |     |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....      | 3   | 3   | 3   |
| 4052 Offsetting collections credited to expired accounts .....      | 2   | 2   | 2   |
| 4060 Additional offsets against budget authority only (total) ..... | 5   | 5   | 5   |
| 4070 Budget authority, net (discretionary) .....                    | 745 | 749 | 723 |
| 4080 Outlays, net (discretionary) .....                             | 734 | 735 | 717 |
| 4180 Budget authority, net (total) .....                            | 745 | 749 | 723 |
| 4190 Outlays, net (total) .....                                     | 734 | 735 | 717 |

This appropriation provides operational funding for U.S. non-military, international broadcasting programs, including the Voice of America, Office of Cuba Broadcasting, Radio Free Europe/Radio Liberty, Radio Free Asia, and the Middle East Broadcasting Networks and the necessary engineering and technical, program, and administrative support activities.

In 2014, funding is included to support the Broadcasting Board of Governors global operations, investments in digital and new media efforts, enhanced programming in the Maghreb and trans-Saharan regions of Africa, and the evolution from shortwave to digital technologies (satellite and Internet radio, mobile phone, and social media).

#### Object Classification (in millions of dollars)

| Identification code 95-0206-0-1-154                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 173         | 163     | 163       |
| 11.3 Other than full-time permanent .....                       | 6           | 5       | 5         |
| 11.5 Other personnel compensation .....                         | 11          | 11      | 11        |
| 11.8 Special personal services payments .....                   | 3           | 3       | 3         |
| 11.9 Total personnel compensation .....                         | 193         | 182     | 182       |
| 12.1 Civilian personnel benefits .....                          | 54          | 51      | 51        |
| 21.0 Travel and transportation of persons .....                 | 4           | 3       | 5         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 30          | 31      | 33        |
| 23.2 Rental payments to others .....                            | 4           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 83          | 75      | 76        |
| 25.1 Advisory and assistance services .....                     | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 88          | 80      | 80        |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....              | 1           | 1       | 1         |
| 25.5 Research and development contracts .....                   | 11          | 10      | 10        |
| 25.7 Operation and maintenance of equipment .....               | 12          | 12      | 13        |
| 26.0 Supplies and materials .....                               | 10          | 9       | 9         |
| 31.0 Equipment .....  | 12          | 11      | 11        |
| 41.0 Grants, subsidies, and contributions .....                 | 245         | 237     | 237       |
| 99.0 Direct obligations .....                                   | 753         | 712     | 718       |
| 99.0 Reimbursable obligations .....                             | 3           | 5       | 5         |
| 99.9 Total new obligations .....                                | 756         | 717     | 723       |

INTERNATIONAL BROADCASTING OPERATIONS—Continued  
Employment Summary

| Identification code 95–0206–0–1–154                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,864       | 1,870   | 1,962     |

## BROADCASTING CAPITAL IMPROVEMENTS

*For the purchase, rent, construction, and improvement of facilities for radio, television, and digital transmission and reception, and purchase and installation of necessary equipment for radio, television, and digital transmission and reception, as authorized, \$8,500,000, to remain available until expended, as authorized.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–0204–0–1–154                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0002 Upgrade of existing relay station capabilities .....      | 6           | 2       | 3         |
| 0003 Maintenance, improvements, replacements and repairs ..... | 6           | 5       | 5         |
| 0005 Satellite and terrestrial feed systems .....              | 1           | 1       | 1         |
| 0192 Total direct obligations .....                            | 13          | 8       | 9         |
| 0900 Total new obligations .....                               | 13          | 8       | 9         |

## Budgetary Resources:

|  |    |    |    |
|--|----|----|----|
| Unobligated balance:   |    |    |    |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 6  | 9  | 11 |
| 1021 Recoveries of prior year unpaid obligations .....             | 3  |    | 3  |
| 1050 Unobligated balance (total) .....                             | 9  | 9  | 14 |
| Budget authority:  |    |    |    |
| Appropriations, discretionary:                                     |    |    |    |
| 1100 Appropriation .....   | 7  | 8  | 9  |
| 1160 Appropriation, discretionary (total) .....                    | 7  | 8  | 9  |
| Spending authority from offsetting collections, discretionary:     |    |    |    |
| 1700 Collected .....   | 6  | 2  | 5  |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 6  | 2  | 5  |
| 1900 Budget authority (total) .....                                | 13 | 10 | 14 |
| 1930 Total budgetary resources available .....                     | 22 | 19 | 28 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....              | 9  | 11 | 19 |

## Change in obligated balance:

|   |     |    |     |
|---|-----|----|-----|
| Unpaid obligations:   |     |    |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 14  | 14 | 13  |
| 3010 Obligations incurred, unexpired accounts .....               | 13  | 8  | 9   |
| 3020 Outlays (gross) .....  | –10 | –9 | –12 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –3  |    | –3  |
| 3050 Unpaid obligations, end of year .....                        | 14  | 13 | 7   |
| Memorandum (non-add) entries:                                     |     |    |     |
| 3100 Obligated balance, start of year .....                       | 14  | 14 | 13  |
| 3200 Obligated balance, end of year .....                         | 14  | 13 | 7   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 13 | 10 | 14 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 3  | 3  | 5  |
| 4011 Outlays from discretionary balances .....      | 7  | 6  | 7  |
| 4020 Outlays, gross (total) .....                   | 10 | 9  | 12 |
| Offsets against gross budget authority and outlays: |    |    |    |
| Offsetting collections (collected) from:            |    |    |    |
| 4033 Non-Federal sources .....                      | –6 | –2 | –5 |
| 4180 Budget authority, net (total) .....            | 7  | 8  | 9  |
| 4190 Outlays, net (total) .....                     | 4  | 7  | 7  |

This account provides funding for maintenance and improvement of the Broadcasting Board of Governors' worldwide transmission network. This activity funds the upgrade of transmission

facilities and equipment to improve transmission quality and includes digital media management, the conversion of program production and operations to a digital domain, broadcast disaster recovery, and infrastructure projects. Further activities include the continuing repairs and improvements required to maintain the global transmission and communications network, assessing and maintaining building and physical security requirements, the construction and maintenance of the Satellite Interconnect System (SIS), Television Receive Only (TVRO) earth stations, advanced data networks, and upgrading global satellite distribution and operations.

## Object Classification (in millions of dollars)

| Identification code 95–0204–0–1–154                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| 25.1 Advisory and assistance services .....        | 1           |         |           |
| 25.2 Other services from non-Federal sources ..... | 4           | 4       | 4         |
| 25.4 Operation and maintenance of facilities ..... | 2           | 1       | 2         |
| 25.7 Operation and maintenance of equipment .....  | 1           |         |           |
| 26.0 Supplies and materials .....                  | 1           | 1       | 1         |
| 31.0 Equipment .....                               | 4           | 2       | 2         |
| 99.9 Total new obligations .....                   | 13          | 8       | 9         |

## BUYING POWER MAINTENANCE

## Program and Financing (in millions of dollars)

| Identification code 95–1147–0–1–154   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           |             | 1       |           |
| 1010 Unobligated balance transfer to other accts [95–0206] .....                | –1          | –1      |           |
| 1012 Unobligated balance transfers between expired and unexpired accounts ..... | 2           |         |           |
| 1050 Unobligated balance (total) .....  | 1           |         |           |
| 1930 Total budgetary resources available .....                                  | 1           |         |           |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 1           |         |           |

This account provides funding to offset losses due to exchange rate and overseas wage and price fluctuations unanticipated in the President's Budget. As authorized, gains due to fluctuations are deposited into this account to be available to offset future losses.

## Trust Funds

## FOREIGN SERVICE NATIONAL SEPARATION LIABILITY TRUST FUND

## Program and Financing (in millions of dollars)

| Identification code 95–8285–0–7–602                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 6           | 7       | 7         |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | 1           |         |           |
| 1050 Unobligated balance (total) .....                          | 7           | 7       | 7         |
| 1930 Total budgetary resources available .....                  | 7           | 7       | 7         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 7           | 7       | 7         |

This fund is maintained to pay separation costs for Foreign Service National employees of the Broadcasting Board of Governors in those countries in which such pay is legally authorized. The fund, as authorized by Public Law 102–138, and amended by Division G of P.L. 105–277, the Foreign Affairs Reform and Restructuring Act of 1998, is maintained by annual government

contributions which are appropriated in the International Broadcasting Operations account.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 95-32068 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 2           | .....   | .....     |
| General Fund Offsetting receipts from the public .....  | 2           | .....   | .....     |

## GENERAL PROVISIONS

### CREATION OF THE POSITION OF CHIEF EXECUTIVE OFFICER OF UNITED STATES INTERNATIONAL BROADCASTING

SEC. 701. (a) Section 304(f) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204(f)) is amended to read as follows:

(f) **DECISIONS.**—Decisions of the Board shall be made by majority vote, a quorum being present. A quorum shall consist of a majority of Governors then serving (as determined under subsection (c) of this Section) at the time a decision of the Board is made.

(b) Sections 305(a)(2) and (11) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204(a)(2) and (11)) are amended to read as follows:

#### (a) AUTHORITIES

The entity known as the Broadcasting Board of Governors shall have the following authorities:

(2) To review and evaluate the mission and operation of, and to assess the quality, effectiveness, and professional integrity of, all such activities within the context of the broad foreign policy objectives of the United States, and to set the strategic direction for international broadcasting activities of the Federal and non-Federal entities granted funds under the Broadcasting Board of Governors.

(11) To appoint a Chief Executive Officer for a 5-year term that is renewable at the Board's discretion and such other staff personnel of the Board as the Board may determine to be necessary, subject to the provisions of Title 5 governing appointments, classification, and compensation. The Board shall appoint a Chief Executive Officer by no later than 180 days following the effective date hereof (and may appoint an interim Chief Executive Officer prior to such appointment) and, thereafter, within 180 days of the departure or removal of a Chief Executive Officer. The Chief Executive Officer may be removed by the Board by a 2/3 majority of Governors then serving.

(c) Sections 305(b), (c), and (d) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6204) are amended to read as follows:

(b) **DELEGATION OF AUTHORITY.**—The Chief Executive Officer shall have sole responsibility to carry out the authorities enumerated in 22 USC 6204(a)(1), (5), (6), (7), (8), (10), (11 (except the authority to appoint the Chief Executive Officer under paragraph (11) of subsection (a))), (12), (13), (14), (15), (16), (17), (18), and (19) subject to the ongoing supervision of the Board. The Board, at its discretion, may delegate the responsibilities enumerated in 22 USC 6204(a)(2), (3), (4), and (9) which may be delegated to the extent the Board determines to be appropriate. The Chief Executive Officer shall exercise any authority so delegated subject to the ongoing supervision of the Board, except the authority to appoint and remove the Chief Executive Officer under paragraph (11) of subsection (a), which shall be exercised solely by the Board.

(c) **BROADCASTING BUDGETS.**—The Chief Executive Officer shall submit proposed budgets to the Board for all activities authorized to be conducted under this title for review and approval. The Board shall forward its recommendations concerning the proposed budget for the Board and broadcasting activities under this title, the Radio Broadcasting to Cuba Act, and the Television Broadcasting to Cuba Act to the Office of Management and Budget.

(d) **PROFESSIONAL INDEPENDENCE OF BROADCASTERS.**—The Secretary of State, the Board, and the Chief Executive Officer, in carrying out their functions, shall respect the professional independence and integrity of the International Broadcasting Bureau, its broadcasting services, and the grantees of the Board.

(d) Section 307(b) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6206(b)) is amended to read as follow:

(b) **SELECTION OF DIRECTOR OF BUREAU.**—The Director of the Bureau shall be abolished immediately after the individual holding that office on the date of the enactment of this Act ceases to hold that office, and all responsibilities and authorities shall be transferred to the Chief Executive Officer.

(e) Section 310(a), (b), (c), and (d) of the United States International Broadcasting Act of 1994, as amended (22 U.S.C. 6209) is to read as follows:

#### Section 310-Establishment of the Chief Executive Officer

##### (a) FUNCTIONS AND DUTIES.

(1) The Chief Executive Officer shall have the following functions and duties:

(i) To exercise the authorities identified pursuant to Section 305(b);

(ii) To exercise the authorities delegated by the Board of Governors pursuant to Section 305(b);

(iii) To carry out all of the broadcasting activities conducted pursuant to this title, the Radio Broadcasting to Cuba Act, and the Television Broadcasting to Cuba Act.

(2) The Chief Executive Officer shall not assume any of the functions and duties of the Director of the International Broadcasting Bureau until the individual holding that office on the date of the enactment of this Act ceases to hold that office.

(b) **ORGANIZATION OF BROADCASTING ACTIVITIES SUBORDINATE TO THE CHIEF EXECUTIVE OFFICER.**—The position of the Director of the International Broadcasting Bureau shall be abolished immediately after the individual holding that office on the date of the enactment of this Act ceases to hold that office, and the functions and activities formerly organized under such Director shall be organized as directed by, and shall be subordinate to, the Chief Executive Officer. The Directors of the Voice of America and the Office of Cuba Broadcasting shall report, and are subordinate, to the Chief Executive Officer. RFE/RL, Incorporated; Radio Free Asia; and Middle East Broadcast Networks, Incorporated are independent organizations but shall communicate and report through the Chief Executive Officer to the Board.

(c) **CHIEF EXECUTIVE OFFICER AUTHORITY OVER GRANTS.**—For all grants made by the Broadcasting Board of Governors, a condition of the grant shall be that the Chief Executive Officer shall have authority to redirect funds within the scope of the grant as needed in order to maintain consistency with Board-approved agency priorities worldwide. Failure to comply with any redirection in accordance with this condition shall be a violation of the terms and conditions of the award and may result in corrective action taken by the Broadcasting Board of Governors, which may include suspension or termination of the grant until remedied.

(d) **CONGRESSIONAL LOBBYING.**—No grant funds provided by the Broadcasting Board of Governors may be used for any activity for the purpose of influencing the passage or defeat of legislation being considered by Congress.

## BUREAU OF CONSUMER FINANCIAL PROTECTION

### Federal Funds

#### BUREAU OF CONSUMER FINANCIAL PROTECTION FUND

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code | 95-5577-0-2-376  | 2012 actual | 2013 CR | 2014 est. |
|---------------------|--|-------------|---------|-----------|
| 0100                | Balance, start of year .....   | .....       | .....   | .....     |
|                     | Receipts:  |             |         |           |
| 0200                | Transfers from the Federal Reserve Board, Bureau of Consumer Financial Protection Fund ..... | 343         | 522     | 497       |
|                     | Adjustments:   |             |         |           |
| 0290                | Adjustment - receipts rounding issue .....   | 1           | .....   | .....     |

BUREAU OF CONSUMER FINANCIAL PROTECTION FUND—Continued  
Special and Trust Fund Receipts—Continued

| Identification code 95–5577–0–2–376                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0299 Total receipts and collections .....               | 344         | 522     | 497       |
| 0400 Total: Balances and collections .....              | 344         | 522     | 497       |
| Appropriations:   |             |         |           |
| 0500 Bureau of Consumer Financial Protection Fund ..... | –344        | –522    | –497      |
| 0799 Balance, end of year .....                         |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 95–5577–0–2–376             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>         |             |         |           |
| 0001 Consumer Financial Protection Bureau ..... | 300         | 541     | 497       |
| 0100 Direct program activities, subtotal .....  | 300         | 541     | 497       |

Budgetary Resources:  
Unobligated balance:

|  |     |     |     |
|--|-----|-----|-----|
| 1000 Unobligated balance brought forward, Oct 1 .....  | 48  | 100 | 81  |
| 1021 Recoveries of prior year unpaid obligations ..... | 8   |     |     |
| 1050 Unobligated balance (total) .....                 | 56  | 100 | 81  |
| Budget authority:                                      |     |     |     |
| Appropriations, mandatory:                             |     |     |     |
| 1201 Appropriation (special or trust fund) .....       | 344 | 522 | 497 |
| 1260 Appropriations, mandatory (total) .....           | 344 | 522 | 497 |
| 1930 Total budgetary resources available .....         | 400 | 622 | 578 |
| Memorandum (non-add) entries:                          |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....  | 100 | 81  | 81  |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 52   | 110  | 301  |
| 3010 Obligations incurred, unexpired accounts .....               | 300  | 541  | 497  |
| 3020 Outlays (gross) .....  | –234 | –350 | –508 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –8   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 110  | 301  | 290  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 52   | 110  | 301  |
| 3200 Obligated balance, end of year .....                         | 110  | 301  | 290  |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 344 | 522 | 497 |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 144 | 339 | 323 |
| 4101 Outlays from mandatory balances .....      | 90  | 11  | 185 |
| 4110 Outlays, gross (total) .....               | 234 | 350 | 508 |
| 4180 Budget authority, net (total) .....        | 344 | 522 | 497 |
| 4190 Outlays, net (total) .....                 | 234 | 350 | 508 |

## Memorandum (non-add) entries:

|  |     |     |     |
|--|-----|-----|-----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 80  | 187 | 281 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 187 | 281 | 308 |

The Consumer Financial Protection Bureau (CFPB) was established under Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) as an independent bureau in the Federal Reserve System. To create a single point of accountability in the Federal government for consumer financial protection, the Act consolidated authorities previously shared by seven Federal agencies under Federal consumer financial laws into the CFPB and provided the Bureau with additional authorities to:

- Conduct rulemaking, supervision, and enforcement with respect to Federal consumer financial laws;
- Handle consumer complaints and inquiries about financial products;
- Promote financial education, literacy, and access;
- Research consumer behavior; and,
- Monitor financial markets for new risks to consumers.

Funding required to support the CFPB's operations is obtained primarily through transfers from the Board of Governors of the Federal Reserve System. The Act provides that such funds "shall not be construed to be Government funds or appropriated monies..." Transfers to the Bureau in 2013 are capped at \$597.6 million. The transfer cap for 2014, as adjusted by an annual inflation indicator, is estimated to be \$608.4 million. The Bureau anticipates requesting less than the transfer cap to fund operations in 2013 and 2014 and the Budget reflects estimates of \$522 and \$497 million, respectively. The CFPB is also authorized to request up to \$200 million in discretionary appropriations in 2010–2014 if the amount transferred by the Federal Reserve is not sufficient. Any request to secure funding through discretionary appropriations under this provision would be subject to the Congressional appropriations process. The CFPB is not requesting a discretionary appropriation in 2013 or 2014.

Pursuant to the Act, the CFPB is also authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. These fees are maintained and displayed in a separate account titled "Consumer Financial Civil Penalty Fund."

For further discussion of the CFPB's activities, see the chapter on Financial Stabilization and Their Budgetary Effects in the *Analytical Perspectives* volume of the Budget.

## Object Classification (in millions of dollars)

| Identification code 95–5577–0–2–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 99          | 154     | 203       |
| 12.1 Civilian personnel benefits .....                          | 34          | 56      | 72        |
| 21.0 Travel and transportation of persons .....                 | 10          | 19      | 23        |
| 23.1 Rental payments to GSA .....                               |             | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 1       | 1         |
| 24.0 Printing and reproduction .....                            | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 135         | 183     | 174       |
| 26.0 Supplies and materials .....                               | 3           | 4       | 4         |
| 31.0 Equipment .....  | 15          | 26      | 17        |
| 32.0 Land and structures .....                                  |             | 95      |           |
| 99.9 Total new obligations .....                                | 300         | 541     | 497       |

## Employment Summary

| Identification code 95–5577–0–2–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 831         | 1,214   | 1,545     |

## CONSUMER FINANCIAL CIVIL PENALTY FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95–5578–0–2–376                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                             |             |         |           |
| Receipts:   |             |         |           |
| 0200 Penalties and Fines, Consumer Financial Protection ..... | 32          | 14      |           |
| 0400 Total: Balances and collections .....                    | 32          | 14      |           |
| Appropriations:   |             |         |           |
| 0500 Consumer Financial Civil Penalty Fund .....              | –32         | –14     |           |
| 0799 Balance, end of year .....                               |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 95–5578–0–2–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 32      | 46        |

|   |  |    |    |       |
|---|--|----|----|-------|
| Budget authority:                         |  |    |    |       |
| Appropriations, mandatory:                |  |    |    |       |
| 1201                                      | Appropriation (special or trust fund) .....      | 32 | 14 | ..... |
| 1260                                      | Appropriations, mandatory (total) .....          | 32 | 14 | ..... |
| 1930                                      | Total budgetary resources available .....        | 32 | 46 | 46    |
| Memorandum (non-add) entries:             |  |    |    |       |
| 1941                                      | Unexpired unobligated balance, end of year ..... | 32 | 46 | 46    |
| <b>Budget authority and outlays, net:</b> |  |    |    |       |
| Mandatory:                                |  |    |    |       |
| 4090                                      | Budget authority, gross .....                    | 32 | 14 | ..... |
| 4180                                      | Budget authority, net (total) .....              | 32 | 14 | ..... |

Pursuant to Title X of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203), the Consumer Financial Protection Bureau (CFPB) is authorized to collect civil penalties in any judicial or administrative action under Federal consumer financial laws. Per the Act, such funds will be available for payments to the victims of activities for which civil penalties have been imposed under the Federal consumer financial laws. To the extent that such victims cannot be located or payments are not practicable, the CFPB may use such funds for consumer education and financial literacy programs.

## CENTRAL INTELLIGENCE AGENCY

### Federal Funds

#### CENTRAL INTELLIGENCE AGENCY RETIREMENT AND DISABILITY SYSTEM FUND

*For payment to the Central Intelligence Agency Retirement and Disability System Fund, to maintain the proper funding level for continuing the operation of the Central Intelligence Agency Retirement and Disability System, \$514,000,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |   |             |         |           |
|---|---|-------------|---------|-----------|
| Identification code 56–3400–0–1–054       |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Personnel benefits .....                        | 514         | 514     | 514       |
| 0900                                      | Total new obligations (object class 13.0) ..... | 514         | 514     | 514       |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, mandatory:                |   |             |         |           |
| 1200                                      | Appropriation .....                             | 514         | 514     | 514       |
| 1260                                      | Appropriations, mandatory (total) .....         | 514         | 514     | 514       |
| 1930                                      | Total budgetary resources available .....       | 514         | 514     | 514       |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 514         | 514     | 514       |
| 3020                                      | Outlays (gross) .....                           | –514        | –514    | –514      |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Mandatory:                                |   |             |         |           |
| 4090                                      | Budget authority, gross .....                   | 514         | 514     | 514       |
| Outlays, gross:                           |   |             |         |           |
| 4100                                      | Outlays from new mandatory authority .....      | 514         | 514     | 514       |
| 4180                                      | Budget authority, net (total) .....             | 514         | 514     | 514       |
| 4190                                      | Outlays, net (total) .....                      | 514         | 514     | 514       |

Independent actuarial projections show the CIARDS Fund with an unfunded liability of \$6.2 billion. To ensure that the Fund remains solvent and authorized payments to beneficiaries continue, the Budget requests \$514 million in 2014. This amount re-

flects the amortized cost of recapitalizing the CIARDS Fund over twenty years.

## CHEMICAL SAFETY AND HAZARD INVESTIGATION BOARD

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses in carrying out activities pursuant to section 112(r)(6) of the Clean Air Act, as amended, including hire of passenger vehicles, uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902, and for services authorized by 5 U.S.C. 3109 but at rates for individuals not to exceed the per diem equivalent to the maximum rate payable for senior level positions under 5 U.S.C. 5376, \$11,484,000: Provided, That the Chemical Safety and Hazard Investigation Board (Board) shall have not more than three career Senior Executive Service positions: Provided further, That notwithstanding any other provision of law, the individual appointed to the position of Inspector General of the Environmental Protection Agency (EPA) shall, by virtue of such appointment, also hold the position of Inspector General of the Board: Provided further, That notwithstanding any other provision of law, the Inspector General of the Board shall utilize personnel of the Office of Inspector General of EPA in performing the duties of the Inspector General of the Board, and shall not appoint any individuals to positions within the Board.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |  |             |         |           |
|---|--|-------------|---------|-----------|
| Identification code 95–3850–0–1–304       |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Direct program activity .....                              | 11          | 11      | 11        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 .....           | 1           | 1       | 1         |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 11          | 11      | 11        |
| 1160                                      | Appropriation, discretionary (total) .....                 | 11          | 11      | 11        |
| 1930                                      | Total budgetary resources available .....                  | 12          | 12      | 12        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 1941                                      | Unexpired unobligated balance, end of year .....           | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 2           | 1       | 1         |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 11          | 11      | 11        |
| 3020                                      | Outlays (gross) .....                                      | –11         | –11     | –11       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | –1          | .....   | .....     |
| 3050                                      | Unpaid obligations, end of year .....                      | 1           | 1       | 1         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 2           | 1       | 1         |
| 3200                                      | Obligated balance, end of year .....                       | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                              | 11          | 11      | 11        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 10          | 10      | 10        |
| 4011                                      | Outlays from discretionary balances .....                  | 1           | 1       | 1         |
| 4020                                      | Outlays, gross (total) .....                               | 11          | 11      | 11        |
| 4180                                      | Budget authority, net (total) .....                        | 11          | 11      | 11        |
| 4190                                      | Outlays, net (total) .....                                 | 11          | 11      | 11        |

The Chemical Safety and Hazard Investigation Board, as authorized by the Clean Air Act Amendments of 1990, became operational in 1998. It is an independent, non-regulatory agency that promotes chemical safety and accident prevention through

## SALARIES AND EXPENSES—Continued

investigating chemical accidents; making recommendations for accident prevention; conducting special studies; broadly disseminating its findings to industry and labor organizations; and advising the President and the Congress on key issues relating to chemical safety and on actions taken by the Environmental Protection Agency, the Department of Labor, and other Federal agencies to implement Board recommendations. As authorized by law, the Board will submit a concurrent request for 2014 to the Congress and OMB.

## Object Classification (in millions of dollars)

| Identification code 95–3850–0–1–304                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 4           | 4       | 4         |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 5           | 5       | 5         |
| 12.1 Civilian personnel benefits .....                   | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                     | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....              | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 11          | 11      | 11        |

## Employment Summary

| Identification code 95–3850–0–1–304                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 43          | 51      | 51        |

CHRISTOPHER COLUMBUS FELLOWSHIP  
FOUNDATION

## Federal Funds

## Program and Financing (in millions of dollars)

| Identification code 76–0100–0–1–502                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 1           |         |           |
| 0900 Total new obligations (object class 41.0) ..... | 1           |         |           |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....      | 1           |         |           |
| 1930 Total budgetary resources available .....       | 1           |         |           |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 1           |         |           |
| 3020 Outlays (gross) .....                           | –1          |         |           |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 1           |         |           |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 1           |         |           |
| 4180 Budget authority, net (total) .....             | 1           |         |           |
| 4190 Outlays, net (total) .....                      | 1           |         |           |

## Employment Summary

| Identification code 76–0100–0–1–502                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 2       | 2         |

## Trust Funds

## CHRISTOPHER COLUMBUS FELLOWSHIP FOUNDATION

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 76–8187–0–7–502                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                      |             |         | 1         |
| Receipts:  |             |         |           |
| 0240 General Fund Payment, Christopher Columbus Scholarship Fund ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....                             | 1           | 1       | 2         |
| Appropriations:  |             |         |           |
| 0500 Christopher Columbus Fellowship Foundation .....                  | –1          |         |           |
| 0799 Balance, end of year .....  |             | 1       | 2         |

## Program and Financing (in millions of dollars)

| Identification code 76–8187–0–7–502                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 1           | 1       |           |
| 0900 Total new obligations (object class 99.5) .....  | 1           | 1       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 1           |         |           |
| 1260 Appropriations, mandatory (total) .....          | 1           |         |           |
| 1930 Total budgetary resources available .....        | 2           | 1       |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 1       |           |
| 3020 Outlays (gross) .....                            | –1          |         |           |
| 3050 Unpaid obligations, end of year .....            |             | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 1         |
| 3200 Obligated balance, end of year .....             |             | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 1           |         |           |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 1           |         |           |
| 4180 Budget authority, net (total) .....              | 1           |         |           |
| 4190 Outlays, net (total) .....                       | 1           |         |           |

Public Law 102–281 established the Christopher Columbus Fellowship Foundation "to encourage and support research, study, and labor designed to produce new discoveries in all fields of endeavor for the benefit of mankind." Surcharges from the sale of Christopher Columbus Quincentenary coins were placed in the Foundation's trust fund to operate the Foundation's programs.

The Foundation supports competitive programs rewarding American scientist/researchers, companies, educators and students who develop new innovations and innovative approaches to homeland security, life sciences, agriscience and solving community issues through science and education.

The Foundation will continue its programs until its funds are expended.



**Employment Summary**

| Identification code 76-8187-0-7-502                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           | .....   | .....     |

**CIVILIAN PROPERTY REALIGNMENT BOARD****Federal Funds****SALARIES AND EXPENSES**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 95-3753-4-1-804                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Salaries and Expenses .....                    | .....       | .....   | 17        |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1200 Appropriation .....                            | .....       | .....   | 17        |
| 1260 Appropriations, mandatory (total) .....        | .....       | .....   | 17        |
| 1930 Total budgetary resources available .....      | .....       | .....   | 17        |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | .....       | .....   | 17        |
| 3020 Outlays (gross) .....                          | .....       | .....   | -17       |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  | .....       | .....   | 17        |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     | .....       | .....   | 17        |
| 4180 Budget authority, net (total) .....            | .....       | .....   | 17        |
| 4190 Outlays, net (total) .....                     | .....       | .....   | 17        |

The Civilian Property Realignment Board, as envisioned by the Administration's Civilian Property Realignment Act proposal, is an independent agency that assists the President and Congress in identifying ways the Government can eliminate unneeded assets and downsize its real property inventory. This independent structure, which was modeled off of the successful Base Realignment and Closure (BRAC) process, would enable the Federal Government to cut through the challenging competing stakeholder interests that slow the disposal and consolidation of unneeded properties. Though the Federal Government has made real progress on reforming the management of its real property, through actions such as issuing the "Freeze the Footprint" policy, this independent Board would allow us to achieve long-desired opportunities for reform and deficit reduction within the inventory with far greater scope, speed, and efficiency. The goals of the Board would be to sell unneeded property, reduce the operating costs of the Government, support and incentivize agency co-location, resolve the Government's reliance on costly leases, and improve the sustainability of the Government's operations.

**Object Classification** (in millions of dollars)

| Identification code 95-3753-4-1-804                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | .....       | .....   | 7         |
| 12.1 Civilian personnel benefits .....                          | .....       | .....   | 2         |
| 21.0 Travel and transportation of persons .....                 | .....       | .....   | 1         |
| 23.1 Rental payments to GSA .....                               | .....       | .....   | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | .....       | .....   | 1         |
| 25.1 Advisory and assistance services .....                     | .....       | .....   | 3         |
| 26.0 Supplies and materials .....                               | .....       | .....   | 1         |
| 31.0 Equipment .....  | .....       | .....   | 1         |

|      |                             |       |    |
|------|-----------------------------|-------|----|
| 99.9 | Total new obligations ..... | ..... | 17 |
|------|-----------------------------|-------|----|

**Employment Summary**

| Identification code 95-3753-4-1-804                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | .....       | .....   | 38        |

**ASSET PROCEEDS AND SPACE MANAGEMENT FUND**

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 95-4350-4-3-804                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 CPRA Board Recommendations .....                              | .....       | .....   | 120       |
| 0002 Transfers to the General Fund .....                           | .....       | .....   | 120       |
| 0900 Total new obligations (object class 25.3) .....               | .....       | .....   | 240       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | .....       | .....   | 40        |
| 1260 Appropriations, mandatory (total) .....                       | .....       | .....   | 40        |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | .....       | .....   | 200       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | .....       | .....   | 200       |
| 1900 Budget authority (total) .....                                | .....       | .....   | 240       |
| 1930 Total budgetary resources available .....                     | .....       | .....   | 240       |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | .....       | .....   | 240       |
| 3020 Outlays (gross) .....   | .....       | .....   | -216      |
| 3050 Unpaid obligations, end of year .....                         | .....       | .....   | 24        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3200 Obligated balance, end of year .....                          | .....       | .....   | 24        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | .....       | .....   | 240       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | .....       | .....   | 216       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | .....       | .....   | -200      |
| 4180 Budget authority, net (total) .....                           | .....       | .....   | 40        |
| 4190 Outlays, net (total) .....                                    | .....       | .....   | 16        |

The Civilian Property Realignment Board, as envisioned by the Administration's Civilian Property Realignment Act proposal, will utilize a revolving fund (the Asset Proceeds and Space Management Fund) to facilitate the disposal process by serving as a source of resources to reimburse an agency for some necessary costs associated with disposing of property. Through this fund, the Board may provide, upon approval of the Director of the Office of Management and Budget, logistical and financial support to agencies in their efforts to prepare properties for disposal, consolidation, co-location, or other reconfiguration. The appropriation in the amount of \$40,000,000 will supply initial capital to fund this role of the Board. Thereafter, at least sixty percent of net proceeds received from the sale of any property implemented as a result of a Board recommendation shall be sent directly to the General Fund of the Treasury. In a proportion decided by the Director of the Office of Management and Budget, the remaining forty percent will be used to replenish this Asset Proceeds and Space Management fund and for the purpose of investments in agency real property management. The retention of agency proceeds by the Board's revolving fund will allow the Board to continue its role to provide logistical and financial sup-

ASSET PROCEEDS AND SPACE MANAGEMENT FUND—Continued

port to agencies implementing Board recommendations, as well as fund the Board's own operations, reducing the need for future appropriated funds.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Intragovernmental payments:                   |             |         |           |
| 95-26300 Asset Sale Proceeds .....            |             |         | 120       |
| General Fund Intragovernmental payments ..... |             |         | 120       |

## COMMISSION OF FINE ARTS

### Federal Funds

#### SALARIES AND EXPENSES

*For expenses of the Commission of Fine Arts under Chapter 91 of title 40, United States Code, \$2,406,000: Provided, That the Commission is authorized to charge fees to cover the full costs of its publications, and such fees shall be credited to this account as an offsetting collection, to remain available until expended without further appropriation: Provided further, That the Commission is authorized to accept gifts, including objects, papers, artwork, drawings and artifacts, that pertain to the history and design of the Nation's Capital or the history and activities of the Commission of Fine Arts, for the purpose of artistic display, study or education.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 95–2600–0–1–451                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Direct program activity .....                  | 2           | 2       | 2         |
| 0900 Total new obligations .....                    | 2           | 2       | 2         |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            | 2           | 2       | 2         |
| 1160 Appropriation, discretionary (total) .....     | 2           | 2       | 2         |
| 1930 Total budgetary resources available .....      | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 2           | 2       | 2         |
| 3020 Outlays (gross) .....                          | –2          | –2      | –2        |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 2           | 2       | 2         |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 2           | 2       | 2         |
| 4180 Budget authority, net (total) .....            | 2           | 2       | 2         |
| 4190 Outlays, net (total) .....                     | 2           | 2       | 2         |

The Commission advises the President, the Congress, and department heads on matters of architecture, sculpture, landscape, and other fine arts. Its primary function is to preserve and enhance the appearance of the Nation's Capital.

#### Object Classification (in millions of dollars)

| Identification code 95–2600–0–1–451  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 99.5 Below reporting threshold .....                                       | 1           | 1       | 1         |
| 99.9 Total new obligations .....   | 2           | 2       | 2         |

#### Employment Summary

| Identification code 95–2600–0–1–451                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 11          | 11      | 11        |

### NATIONAL CAPITAL ARTS AND CULTURAL AFFAIRS

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 95–2602–0–1–503                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 2           | 2       | .....     |
| 0900 Total new obligations (object class 41.0) ..... | 2           | 2       | .....     |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 2           | 2       | .....     |
| 1160 Appropriation, discretionary (total) .....      | 2           | 2       | .....     |
| 1930 Total budgetary resources available .....       | 2           | 2       | .....     |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 2           | 2       | .....     |
| 3020 Outlays (gross) .....                           | –2          | –2      | .....     |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 2           | 2       | .....     |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 2           | 2       | .....     |
| 4180 Budget authority, net (total) .....             | 2           | 2       | .....     |
| 4190 Outlays, net (total) .....                      | 2           | 2       | .....     |

No funding is proposed for this non-competitive grants program administered by the Commission of Fine Arts. The President's Budget proposes funding to transform this program to a competitive grants program administered by the District of Columbia.

## COMMISSION ON CIVIL RIGHTS

### Federal Funds

#### SALARIES AND EXPENSES

#### (INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Commission on Civil Rights, including hire of passenger motor vehicles, \$9,400,000: Provided, That none of the funds appropriated in this paragraph shall be used to employ in excess of four full-time individuals under Schedule C of the Excepted Service exclusive of one special assistant for each Commissioner: Provided further, That none of the funds appropriated in this paragraph shall be used to reimburse Commissioners for more than 75 billable days, with the exception of the chairperson, who is permitted 125 billable days: Provided further, That none of the funds appropriated in this paragraph shall be used for any activity or expense that is not explicitly authorized by 42 U.S.C.*

1975a: Provided further, That there shall be an Inspector General at the Commission on Civil Rights who shall have the duties, responsibilities, and authorities specified in the Inspector General Act of 1978, as amended: Provided further, That an individual appointed to the position of Inspector General of the Government Accountability Office (GAO) shall, by virtue of such appointment, also hold the position of Inspector General of the Commission on Civil Rights: Provided further, That the Inspector General of the Commission on Civil Rights shall utilize personnel of the Office of Inspector General of GAO in performing the duties of the Inspector General of the Commission on Civil Rights, and shall not appoint any individuals to positions within the Commission on Civil Rights: Provided further, That of the amounts made available in this paragraph, \$250,000 shall be transferred directly to the Office of Inspector General of GAO upon enactment of this Act for salaries and expenses necessary to carry out the duties of the Inspector General of the Commission on Civil Rights.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 95–1900–0–1–751                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 9           | 9       | 9         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 9           | 9       | 9         |
| 1160 Appropriation, discretionary (total) .....       | 9           | 9       | 9         |
| 1930 Total budgetary resources available .....        | 9           | 9       | 9         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 9           | 9       | 9         |
| 3020 Outlays (gross) .....                            | –8          | –9      | –9        |
| 3050 Unpaid obligations, end of year .....            | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 2       | 2         |
| 3200 Obligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 9           | 9       | 9         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 7           | 9       | 9         |
| 4011 Outlays from discretionary balances .....        | 1           |         |           |
| 4020 Outlays, gross (total) .....                     | 8           | 9       | 9         |
| 4180 Budget authority, net (total) .....              | 9           | 9       | 9         |
| 4190 Outlays, net (total) .....                       | 8           | 9       | 9         |

Originally established by the Civil Rights Act of 1957, the U.S. Commission on Civil Rights (USCCR) is an independent, bipartisan, fact-finding Federal agency. Its mission is to inform the development of national civil rights policy and enhance enforcement of Federal civil rights laws. The Commission pursues this mission by studying alleged deprivations of voting rights and alleged discrimination based on race, color, religion, sex, age, disability, or national origin, or in the administration of justice. The Commission plays a vital role in advancing civil rights through objective and comprehensive investigation, research, and analysis on issues of fundamental concern to the Federal government and the public. The Commission also supports a network of State Advisory Committees, each composed of a diverse group of citizen volunteers, which conduct civil rights research at the State and regional levels.

#### Object Classification (in millions of dollars)

| Identification code 95–1900–0–1–751                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 5           | 5       | 5         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                      | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 2           | 2       | 2         |
| 99.9 Total new obligations .....                       | 9           | 9       | 9         |

#### Employment Summary

| Identification code 95–1900–0–1–751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 39          | 44      | 44        |

## COMMITTEE FOR PURCHASE FROM PEOPLE WHO ARE BLIND OR SEVERELY DISABLED

### Federal Funds

#### SALARIES AND EXPENSES

*For expenses necessary for the Committee for Purchase From People Who Are Blind or Severely Disabled established by Public Law 92–28, \$5,396,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 95–2000–0–1–505                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Salaries and Expenses .....                      | 5           | 5       | 5         |
| 0900 Total new obligations .....                      | 5           | 5       | 5         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 5           | 5       | 5         |
| 1160 Appropriation, discretionary (total) .....       | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....        | 5           | 5       | 5         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 5           | 5       | 5         |
| 3020 Outlays (gross) .....                            | –5          | –5      | –5        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 5           | 5       | 5         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 5           | 4       | 4         |
| 4011 Outlays from discretionary balances .....        |             | 1       | 1         |
| 4020 Outlays, gross (total) .....                     | 5           | 5       | 5         |
| 4180 Budget authority, net (total) .....              | 5           | 5       | 5         |
| 4190 Outlays, net (total) .....                       | 5           | 5       | 5         |

The Committee for Purchase From People Who Are Blind or Severely Disabled (operating as the U.S. AbilityOne Commission) administers the AbilityOne Program under the authority of the Javits-Wagner-O'Day Act of 1971, as amended. The principal objective of AbilityOne is to leverage the purchasing power of the Federal Government to provide employment opportunities for

## SALARIES AND EXPENSES—Continued

people who are blind or have other significant disabilities. The Committee accomplishes its mission by identifying Government procurement requirements that can create employment opportunities for individuals who are blind or have other significant disabilities. Following opportunities for public comment and after due deliberation, the Committee then places such products and service requirements on the AbilityOne Procurement List, thus requiring Federal departments and agencies to procure the designated products and services from a network of just below 600 qualified State and private nonprofit agencies (NPAs) employing people who are blind or have other significant disabilities.

The long-term vision of AbilityOne is to enable people who are blind or have other significant disabilities to achieve their maximum employment potential. In 2012, approximately 50,000 AbilityOne employees earned a combined total of more than \$550 million in wages, with an average hourly wage of \$11.35. As a result, many individuals were able to reduce their dependence on Social Security, Supplemental Nutrition Assistance, Temporary Assistance for Needy Families, and other public income transfer payments.

AbilityOne continues to emphasize providing employment to veterans, with more than 3,300 employed in direct or indirect labor positions, including supervision and management. To meet the changing needs of the Federal Government and employment interests of people who are blind or have other significant disabilities, AbilityOne has opened new lines of business in areas such as contract management services, automotive fleet management, document destruction services, and secure mail facility management. In addition to pursuing these initiatives, AbilityOne has expanded the range of unique military products and services it has traditionally provided to meet the needs of the Nation's war fighters. The resources proposed for 2014 would enable the Committee to continue increasing employment opportunities for people who are blind or have other significant disabilities while providing Federal departments and agencies with high quality products and services to support their missions.

## Object Classification (in millions of dollars)

| Identification code 95–2000–0–1–505  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 99.5 Below reporting threshold .....                                       | 2           | 2       | 2         |
| 99.9 Total new obligations .....   | 5           | 5       | 5         |

## Employment Summary

| Identification code 95–2000–0–1–505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 27          | 27      | 27        |

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–1400–0–1–376                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Market Oversight .....                                       | 27          | 27      | 42        |
| 0002 Enforcement .....  | 38          | 38      | 51        |
| 0003 Clearing and Risk .....                                      | 13          | 13      | 27        |
| 0004 Swap Dealer and Intermediary Oversight .....                 | 17          | 17      | 37        |
| 0005 General Counsel .....  | 12          | 12      | 17        |
| 0006 Chief Economist .....  | 4           | 4       | 6         |
| 0007 International Affairs .....                                  | 2           | 2       | 4         |
| 0008 Agency Direction .....                                       | 7           | 7       | 7         |
| 0009 Administrative Management and Support .....                  | 23          | 23      | 21        |
| 0010 Data and Technology .....                                    | 71          | 69      | 102       |
| 0011 Inspector General .....                                      | 1           | 1       | 1         |
| 0900 Total new obligations .....                                  | 215         | 213     | 315       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 10          | 6       | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 6           | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 16          | 6       | .....     |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 205         | 207     | 315       |
| 1160 Appropriation, discretionary (total) .....                   | 205         | 207     | 315       |
| 1930 Total budgetary resources available .....                    | 221         | 213     | 315       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 6           | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 44          | 49      | 29        |
| 3010 Obligations incurred, unexpired accounts .....               | 215         | 213     | 315       |
| 3020 Outlays (gross) .....  | –203        | –233    | –303      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –6          | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | –1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 49          | 29      | 41        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 44          | 49      | 29        |
| 3200 Obligated balance, end of year .....                         | 49          | 29      | 41        |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 205         | 207     | 315       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 160         | 184     | 280       |
| 4011 Outlays from discretionary balances .....                    | 43          | 49      | 23        |
| 4020 Outlays, gross (total) .....                                 | 203         | 233     | 303       |
| 4180 Budget authority, net (total) .....                          | 205         | 207     | 315       |
| 4190 Outlays, net (total) .....                                   | 203         | 233     | 303       |

The mission of the Commodity Futures Trading Commission (CFTC or Commission) is to protect market users, consumers and the public at large from fraud, manipulation, and other abusive practices, and systemic risk related to derivatives that are subject to the Commodity Exchange Act (CEA or the Act) and to foster open, transparent, competitive, and financially sound markets. Congress established the CFTC as an independent agency in 1974. The CFTC administers the Act, 7 U.S.C. Section 1, et. seq. The Act established a comprehensive regulatory structure to oversee the volatile futures trading complex, including futures trading in all goods, articles, services, rights and interests; commodity options trading; and leverage trading in gold and silver bullion and coins.

To meet changing market conditions, CFTC's mandate has been renewed and expanded several times since its inception. Most recently, and in response to the 2008 financial crisis, the scope of CFTC's mission grew dramatically in 2010 by the enactment

## COMMODITY FUTURES TRADING COMMISSION

## Federal Funds

## COMMODITY FUTURES TRADING COMMISSION

*For necessary expenses to carry out the provisions of the Commodity Exchange Act (7 U.S.C. 1 et seq.), including the purchase and hire of passenger motor vehicles, and the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, \$315,000,000, to remain available until September 30, 2015, including not to exceed \$3,000 for official reception and representation expenses, and not to exceed \$25,000 for the expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials.*

of the Dodd-Frank Wall Street Reform and Consumer Protection Act (Dodd-Frank Act) (P.L. 111–203), which amended the CEA and expanded CFTC's mission to include oversight of the previously unregulated over-the-counter (OTC) swaps marketplace.

The goal of the CEA is to serve the public interests through overseeing a system of effective self-regulation of trading facilities, clearing systems, market participants and market professionals; promoting market integrity so that the price discovery on markets is based on the underlying economic factors of supply and demand; ensuring the financial integrity of all transactions subject to the Act; ensuring the avoidance of systemic risk; protecting all market participants from fraud or other abusive sales practices; protecting customer assets; protecting responsible innovation among boards of trade, other markets and market participants; promoting fair competition among boards of trade, other markets and market participants; and promoting transparency in the swaps marketplace.

The markets under CFTC's regulatory purview are large and economically significant. The CFTC regulates futures and options markets of an estimated \$30 trillion notional value in the United States; these markets are, in turn, impacted by the \$60 trillion notional value globally. With the passage of the Dodd-Frank Act, the CFTC is tasked with regulating the swaps markets with an estimated notional value of over \$300 trillion in the United States and \$600 trillion globally.

In FY 2014, the Administration is requesting a total of \$315 million and 1,015 FTE to support the first full year of Dodd-Frank Act sustaining activities, including \$73 million for information technology spending. The allocation of these resources will be adjusted among the mission activities to reflect the transition from Dodd-Frank Act start-up activities to sustaining activities in 2014. The Commission will be well positioned to build its operational capabilities, evaluate changes in the industry as it responds to the new Dodd-Frank Act regulatory framework, and address any unanticipated issues that will naturally arise in implementing the regulatory reforms called for under the Dodd-Frank Act. The bulk of the information technology investment will support the Commissions surveillance programs, including continued integration of swap data repository and derivative clearing organization data, integration of tools used by the self-regulatory organizations, reduced latency for processing market data and increasing the number of entities providing order message data.

In FY 2014, the Commission will spend just over one-third (34 percent) of the Commission's resources on surveillance (including data acquisition and analytics) and examinations of systemically important derivatives clearing organizations as required by Title VIII of the Dodd-Frank Act, and examinations of other significant registered entities.

Another 22 percent of its resources will be focused on registering new entities and ensuring that registered entities comply with new core principles and regulations; reviewing new contracts as provided in the Act, making mandatory clearing determinations, and rule review activities; providing economic analyses and legal guidance and interpretations on the new regulatory framework; and coordinating with international regulators to ensure cross-border harmonization of these global markets.

Approximately 18 percent of the Commission's resources will be dedicated to enforcement activities.

The remaining 26 percent of the Commission's resources will be responsible for supporting information technology infrastructure (16 percent) and agency direction, management, and administrative support (10 percent).

The Administration strongly supports fully funding the CFTC through user fees assessed on the sale of commodity futures, options, and swaps contracts, as assumed in the Budget. Authorization of fees would bring the CFTC into line with nearly all other Federal financial regulators, which are funded in whole or in part through user fees.

#### Object Classification (in millions of dollars)

| Identification code 95–1400–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 95          | 95      | 144       |
| 11.3 Other than full-time permanent .....                       | 3           | 3       | 5         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 2         |
| 11.9 Total personnel compensation .....                         | 99          | 99      | 151       |
| 12.1 Civilian personnel benefits .....                          | 29          | 29      | 44        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 3         |
| 23.2 Rental payments to others .....                            | 17          | 19      | 21        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 4           | 4       | 8         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 54          | 50      | 74        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 8           | 8       | 12        |
| 99.9 Total new obligations .....                                | 215         | 213     | 315       |

#### Employment Summary

| Identification code 95–1400–0–1–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 687         | 707     | 1,015     |

#### CUSTOMER PROTECTION FUND

##### Program and Financing (in millions of dollars)

| Identification code 95–4334–0–3–376                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0802 Whistleblower Payments .....                                    |             | 10      | 10        |
| 0803 Customer Education Initiatives .....                            |             | 1       | 1         |
| 0804 Program Direction .....   |             | 1       | 1         |
| 0900 Total new obligations .....                                     |             | 12      | 12        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 24          | 100     | 100       |
| Budget authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:      |             |         |           |
| Collected .....  | 76          | 12      | 12        |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 76          | 12      | 12        |
| 1930 Total budgetary resources available .....                       | 100         | 112     | 112       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 100         | 100     | 100       |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                  |             | 12      | 12        |
| 3020 Outlays (gross) .....   |             | –12     | –12       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 76          | 12      | 12        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      |             | 12      | 12        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4123 Non-Federal sources .....                                       |             | –12     | –12       |
| 4124 Offsetting governmental collections .....                       | –76         |         |           |
| 4130 Offsets against gross budget authority and outlays (total) .... | –76         | –12     | –12       |
| 4170 Outlays, net (mandatory) .....                                  | –76         |         |           |
| 4190 Outlays, net (total) .....                                      | –76         |         |           |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....     |             | 77      | 100       |

CUSTOMER PROTECTION FUND—Continued  
Program and Financing—Continued

| Identification code 95-4334-0-3-376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 5001 Total investments, EOY: Federal securities: Par value ..... | 77          | 100     | 100       |

Section 748 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203) amended the Commodity Exchange Act to direct the Commission to issue rules implementing incentives and protections for whistleblowers. Specifically, section 748 requires the Commission to pay awards to whistleblowers who provide original information to the Commission that leads to successful enforcement of a Commission action resulting in monetary sanctions exceeding \$1,000,000, and who satisfy other eligibility requirements. The amount of the awards, as determined by the Commission, will be between 10 to 30 percent of sanctions collected in either the Commission's action or a related action that is based upon original information provided by the whistleblower.

The Commission's award determination is dependent upon certain criteria. The Commission may exercise discretion in granting an award based upon the significance of the information, the degree of assistance provided in support of the Commission's action or related action, the Commission's programmatic interest, and other criteria. An award shall be denied to certain Government employees and others who are statutorily ineligible.

A whistleblower may appeal the Commission's award determination as to whom an award is made, the amount of an award, or the denial of an award, to the appropriate U.S. Circuit Court of Appeals.

The Customer Protection Fund is a revolving fund established under section 748 of the Act. The Commission shall deposit civil monetary penalties, disgorgements, and interest it collects in covered administrative or judicial enforcement actions into the Fund whenever the balance in the Fund at the time of the deposit is less than or equal to \$100,000,000. The Commission will not deposit restitution awarded to victims into the Fund, and will pay whistleblower awards and finance customer education initiatives from the Fund.

## Object Classification (in millions of dollars)

| Identification code 95-4334-0-3-376                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             | 2       | 2         |
| 91.0 Unvouchered .....                                 |             | 10      | 10        |
| 99.9 Total new obligations .....                       |             | 12      | 12        |

## Employment Summary

| Identification code 95-4334-0-3-376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 5       | 6         |

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 61-0100-0-1-554            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>        |             |         |           |
| 0001 Leadership in Safety .....                | 14          | 13      | 13        |
| 0002 Commitment to Prevention .....            | 24          | 23      | 23        |
| 0003 Rigorous Hazard Identification .....      | 35          | 37      | 37        |
| 0004 Decisive Response .....                   | 31          | 33      | 35        |
| 0005 Raising Awareness .....                   | 9           | 9       | 9         |
| 0100 Direct program activities, subtotal ..... | 113         | 115     | 117       |
| 0799 Total direct obligations .....            | 113         | 115     | 117       |
| 0801 Reimbursable program .....                | 3           | 4       | 4         |
| 0900 Total new obligations .....               | 116         | 119     | 121       |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| 1000 Unobligated balance:  |     |     |     |
| Unobligated balance brought forward, Oct 1 .....                   | 1   | 1   | 1   |
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 115 | 115 | 117 |
| 1160 Appropriation, discretionary (total) .....                    | 115 | 115 | 117 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   | 3   | 4   | 4   |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3   | 4   | 4   |
| 1900 Budget authority (total) .....                                | 118 | 119 | 121 |
| 1930 Total budgetary resources available .....                     | 119 | 120 | 122 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1940 Unobligated balance expiring .....                            | -2  |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 1   | 1   | 1   |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 34   | 29   | 28   |
| 3010 Obligations incurred, unexpired accounts .....             | 116  | 119  | 121  |
| 3020 Outlays (gross) .....                                      | -120 | -120 | -119 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1   |      |      |
| 3050 Unpaid obligations, end of year .....                      | 29   | 28   | 30   |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 34   | 29   | 28   |
| 3200 Obligated balance, end of year .....                       | 29   | 28   | 30   |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 118 | 119 | 121 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 94  | 95  | 97  |
| 4011 Outlays from discretionary balances .....      | 26  | 25  | 22  |
| 4020 Outlays, gross (total) .....                   | 120 | 120 | 119 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | -3  | -4  | -4  |
| 4180 Budget authority, net (total) .....            | 115 | 115 | 117 |
| 4190 Outlays, net (total) .....                     | 117 | 116 | 115 |

The U.S. Consumer Product Safety Commission (CPSC) is an independent federal regulatory agency, created in 1972 by the Consumer Product Safety Act (CPSA). In addition to the CPSA, as amended by the Consumer Product Safety Improvement Act of 2008 (CPSIA), and Public Law 112-28, the CPSC also administers other laws, including the Federal Hazardous Substances Act, the Flammable Fabrics Act, the Child Safety Protection Act, the Poison Prevention Packaging Act, the Refrigerator Safety Act, the Virginia Graeme Baker (VGB) Pool and Spa Safety Act, and the Children's Gasoline Burn Prevention Act. The 2014 resource request supports the CPSC's import surveillance pilot initiative, the proactive global outreach and education agenda, the VGB grant program, and analytical work to study and identify potential consumer product hazards.

## CONSUMER PRODUCT SAFETY COMMISSION

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of the Consumer Product Safety Commission, including hire of passenger motor vehicles, services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the maximum rate payable under 5 U.S.C. 5376, purchase of nominal awards to recognize non-Federal officials' contributions to Commission activities, and not to exceed \$4,000 for official reception and representation expenses, \$117,000,000.

**Object Classification** (in millions of dollars)

| Identification code 61-0100-0-1-554                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 50          | 53      | 54        |
| 11.3 Other than full-time permanent .....                       | 4           | 4       | 4         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 55          | 58      | 59        |
| 12.1 Civilian personnel benefits .....                          | 15          | 16      | 16        |
| 21.0 Travel and transportation of persons .....                 | 1           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 8           | 8       | 8         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           |         |           |
| 25.2 Other services from non-Federal sources .....              | 21          | 23      | 22        |
| 25.3 Other goods and services from Federal sources .....        | 2           | 2       | 3         |
| 25.4 Operation and maintenance of facilities .....              | 1           |         |           |
| 25.5 Research and development contracts .....                   | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 2           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 3           | 1       | 2         |
| 99.0 Direct obligations .....                                   | 113         | 115     | 117       |
| 99.0 Reimbursable obligations .....                             | 3           | 4       | 4         |
| 99.9 Total new obligations .....                                | 116         | 119     | 121       |

**Employment Summary**

| Identification code 61-0100-0-1-554                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 537         | 548     | 548       |

**CORPORATION FOR NATIONAL AND COMMUNITY SERVICE****Federal Funds****OPERATING EXPENSES**

For necessary expenses for the Corporation for National and Community Service (referred to in this title as "CNCS") to carry out the Domestic Volunteer Service Act of 1973, as amended (referred to in this title as "1973 Act") and the National and Community Service Act of 1990, as amended (referred to in this title as "1990 Act"), \$760,264,000, notwithstanding sections 198B(b)(3), 198S(g), 501(a)(4)(C), and 501(a)(4)(F) of the 1990 Act: Provided, That of the amounts provided under this heading: (1) up to 1 percent of program grant funds may be used to defray the costs of conducting grant application reviews, including the use of outside peer reviewers and electronic management of the grants cycle; (2) \$48,815,000 shall be available for expenses authorized under section 501(a)(4)(E) of the 1990 Act, of which \$4,000,000 shall be available for the purposes of subsection 198K(m) in addition to amounts reserved under subsections 198K(m)(1) and (2); (3) \$10,000,000 shall be available for expenses authorized under section 501(a)(4)(F) of the 1990 Act, which, notwithstanding the provisions of section 198P shall be awarded by CNCS on a competitive basis; (4) \$600,000 shall be available for expenses to carry out sections 112(e), 179A, and 1980 and subtitle J of title I of the 1990 Act, notwithstanding section 501(a)(6) of the 1990 Act; (5) \$14,841,000 shall be available to provide assistance to State commissions on national and community service, under section 126(a) of the 1990 Act and notwithstanding section 501(a)(5)(B) of the 1990 Act; and (6) \$29,882,000 shall be available to carry out subtitle E of the 1990 Act: Provided further, That, with respect to amounts provided under this heading for State Service Commissions, section 126 of the 1990 Act shall be applied by substituting "\$200,000" for "\$250,000" each place that it appears.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95-2728-0-1-506                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0002 AmeriCorps*NCSS .....                            | 32          | 32      | 30        |
| 0003 AmeriCorps*State and National .....              | 341         | 346     | 346       |
| 0004 AmeriCorps*VISTA .....                           | 94          | 95      | 95        |
| 0005 National Service Trust .....                     | 211         |         |           |
| 0006 State Comm. Admin. Grants .....                  | 13          | 14      | 15        |
| 0007 National Senior Service Corps .....              | 207         | 209     | 207       |
| 0008 Innovation, Demon., and Assistance Act. ....     | 7           | 5       | 3         |
| 0009 Evaluations .....                                | 3           | 3       | 5         |
| 0010 Social Innovation Fund .....                     | 45          | 45      | 49        |
| 0011 George H.W. Bush Volunteer Generation Fund ..... | 4           | 4       | 10        |
| 0012 Training and Technical Assistance .....          | 2           | 2       | 1         |
| 0799 Total direct obligations .....                   | 959         | 755     | 761       |
| 0801 Reimbursable program activity .....              | 11          | 54      | 49        |
| 0900 Total new obligations .....                      | 970         | 809     | 810       |

**Budgetary Resources:**

|  |       |     |     |
|--|-------|-----|-----|
| Unobligated balance:   |       |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....              |       | 32  | 43  |
| Budget authority:  |       |     |     |
| Appropriations, discretionary:                                     |       |     |     |
| 1100 Appropriation .....   | 964   | 755 | 761 |
| 1130 Appropriations permanently reduced .....                      | -2    |     |     |
| 1160 Appropriation, discretionary (total) .....                    | 962   | 755 | 761 |
| Spending authority from offsetting collections, discretionary:     |       |     |     |
| 1700 Collected .....   | 42    | 65  | 7   |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 42    | 65  | 7   |
| 1900 Budget authority (total) .....                                | 1,004 | 820 | 768 |
| 1930 Total budgetary resources available .....                     | 1,004 | 852 | 811 |
| Memorandum (non-add) entries:                                      |       |     |     |
| 1940 Unobligated balance expiring .....                            | -2    |     |     |
| 1941 Unexpired unobligated balance, end of year .....              | 32    | 43  | 1   |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 811  | 802  | 890  |
| 3010 Obligations incurred, unexpired accounts .....             | 970  | 809  | 810  |
| 3011 Obligations incurred, expired accounts .....               | 2    |      |      |
| 3020 Outlays (gross) .....                                      | -958 | -721 | -818 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -23  |      |      |
| 3050 Unpaid obligations, end of year .....                      | 802  | 890  | 882  |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 811  | 802  | 890  |
| 3200 Obligated balance, end of year .....                       | 802  | 890  | 882  |

**Budget authority and outlays, net:**

|  |       |     |     |
|--|-------|-----|-----|
| Discretionary:   |       |     |     |
| 4000 Budget authority, gross .....                                   | 1,004 | 820 | 768 |
| Outlays, gross:  |       |     |     |
| 4010 Outlays from new discretionary authority .....                  | 364   | 303 | 248 |
| 4011 Outlays from discretionary balances .....                       | 594   | 418 | 570 |
| 4020 Outlays, gross (total) .....                                    | 958   | 721 | 818 |
| Offsets against gross budget authority and outlays:                  |       |     |     |
| Offsetting collections (collected) from:                             |       |     |     |
| 4030 Federal sources .....   | -41   | -64 | -6  |
| 4033 Non-Federal sources .....                                       | -1    | -1  | -1  |
| 4040 Offsets against gross budget authority and outlays (total) .... | -42   | -65 | -7  |
| 4070 Budget authority, net (discretionary) .....                     | 962   | 755 | 761 |
| 4080 Outlays, net (discretionary) .....                              | 916   | 656 | 811 |
| 4180 Budget authority, net (total) .....                             | 962   | 755 | 761 |
| 4190 Outlays, net (total) .....                                      | 916   | 656 | 811 |

The Corporation for National and Community Service (Corporation) provides opportunities for Americans to serve their community and country while meeting the Nation's greatest challenges. By working with nonprofit organizations, faith-based groups, schools, and other civic organizations, the Corporation engages Americans of all ages in community-based service that addresses educational, human, public safety, health and environmental needs. In doing so, the Corporation strengthens the ties that bind us together as a people and provides educational opportunities for those who make a commitment to service.

## OPERATING EXPENSES—Continued

The Corporation plays a vital role in supporting the American culture of citizenship, service and responsibility. As the nation's largest grant maker in the area of service and volunteering, the Corporation promotes service around the country and helps organizations engage volunteers effectively. Members and volunteers who serve in Corporation programs provide vital assistance to their communities through local institutions. These institutions include: nonprofits, K–12 schools, institutions of higher learning, faith-based and other community organizations, as well as local and municipal government.

**AmeriCorps State and National grants.**—With funds channeled through both States and community-based organizations, AmeriCorps grants enable communities to recruit, train and place AmeriCorps members to meet critical local needs in the areas of Education, Healthy Futures, Economic Opportunity, Clean Energy, and Veterans as directed by the Edward M. Kennedy Serve America Act of 2009. The 2014 Budget request is \$345.8 million and funds approximately 73,000 AmeriCorps State and National members.

**AmeriCorps National Civilian Community Corps.**—AmeriCorps NCCC is a 10-month residential national service program for people ages 18–24. The 2014 Budget request is \$29.9 million and funds approximately 1,200 AmeriCorps NCCC members that will be deployed to respond to natural disasters across the nation, as well as engaged in urban and rural development projects.

**AmeriCorps Volunteers in Service to America.**—The AmeriCorps VISTA program provides full-time members to community organizations and public agencies working to resolve local poverty-related problems in areas such as illiteracy, hunger, unemployment, substance abuse, homelessness, and lack of adequate health support. The 2014 Budget request is \$94.8 million and funds approximately 6,200 full-time AmeriCorps VISTA members and 1,500 Summer Associates.

**AmeriCorps National Service Trust.**—The 2014 Budget request for the Trust is \$207.3 million. The Trust serves as a secure repository for educational awards set aside for eligible participants in National Service programs. Accounting methodology for the Trust is specified in the Strengthen AmeriCorps Program Act of 2003.

**State Service Commission Administrative Grants.**—The 2014 Budget request for State Service Commissions is \$14.8 million. These population-based formula grants support the operation of State Service Commissions that administer approximately three-fourths of AmeriCorps State and National grant funds. Commissions are responsible for monitoring sub-grantees and ensuring that they comply with Federal requirements and performance expectations. These grants must be matched by the Commissions.

**Senior Corps.**—The 2014 Budget request for all three Senior Corps is \$207.5 million. These programs connect individuals over the age of 55 to local volunteer opportunities, including mentoring vulnerable children, providing independent living services and support to frail seniors and their caregivers, and leveraging additional volunteers.

**Training and Technical Assistance.**—The 2014 Budget for training and technical assistance services is \$600,000. The Corporation provides training and technical assistance services to programs and entities receiving or applying for financial support from the Corporation.

**Innovation, Demonstration, and Assistance.**—The 2014 Budget request for all Innovation, Demonstration, and Assistance activities is \$61.8 million. This includes: the Social Innovation Fund, which helps identify and scale-up promising programs across the country; the annual Martin Luther King, Jr. Day of Service; and

the United We Serve Initiative. These initiatives and programs are aimed at incubating new ideas, while expanding proven initiatives that address specific community needs.

**Evaluation.**—This activity supports performance measurement and studies of program impact. The 2014 Budget request of \$5.0 million will support in-depth assessments of the performance and impact of Corporation programs.

## Object Classification (in millions of dollars)

| Identification code 95–2728–0–1–506                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 7           | 7       | 7         |
| 11.8 Special personal services payments .....      | 48          | 48      | 48        |
| 11.9 Total personnel compensation .....            | 55          | 55      | 55        |
| 12.1 Civilian personnel benefits .....             | 4           | 4       | 4         |
| 21.0 Travel and transportation of persons .....    | 6           | 6       | 6         |
| 23.2 Rental payments to others .....               | 4           | 4       | 4         |
| 25.2 Other services from non-Federal sources ..... | 69          | 70      | 68        |
| 26.0 Supplies and materials .....                  | 1           | 1       | 1         |
| 31.0 Equipment .....                               | 3           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....    | 606         | 613     | 621       |
| 94.0 Financial transfers .....                     | 211         |         |           |
| 99.0 Direct obligations .....                      | 959         | 755     | 761       |
| 99.0 Reimbursable obligations .....                | 11          | 54      | 49        |
| 99.9 Total new obligations .....                   | 970         | 809     | 810       |

## Employment Summary

| Identification code 95–2728–0–1–506                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 98          | 98      | 98        |

## NATIONAL AND COMMUNITY SERVICE PROGRAMS, OPERATING EXPENSES

## Program and Financing (in millions of dollars)

| Identification code 95–2720–0–1–506                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Change in obligated balance:                                    |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 6           |         |           |
| 3020 Outlays (gross) .....                                      | –2          |         |           |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –4          |         |           |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 6           |         |           |
| Budget authority and outlays, net:                              |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                  | 2           |         |           |
| 4190 Outlays, net (total) .....                                 | 2           |         |           |

## PAYMENT TO NATIONAL SERVICE TRUST FUND

*For payment to the National Service Trust established under subtitle D of title I of the 1990 Act, \$207,293,000, to remain available until expended: Provided, That CNCS may transfer additional funds from the amount provided within "Operating Expenses" allocated to grants under subtitle C of title I of the 1990 Act to the National Service Trust upon determination that such transfer is necessary to support the activities of national service participants and after notice is transmitted to the Committees on Appropriations of the House of Representatives and the Senate: Provided further, That amounts appropriated for or transferred to the National Service Trust may be invested under section 145(b) of the 1990 Act without regard to the requirement to apportion funds under 31 U.S.C. 1513(b).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.



**Program and Financing** (in millions of dollars)

| Identification code 95–2726–0–1–506       |   | 2012 actual | 2013 CR | 2014 est. |
|---|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |   |             |         |           |
| 0001                                      | Payment to National Service Trust Fund .....    |             | 213     | 207       |
| 0900                                      | Total new obligations (object class 94.0) ..... |             | 213     | 207       |
| <b>Budgetary Resources:</b>               |   |             |         |           |
| Budget authority:                         |   |             |         |           |
| Appropriations, discretionary:            |   |             |         |           |
| 1100                                      | Appropriation .....                             |             | 213     | 207       |
| 1160                                      | Appropriation, discretionary (total) .....      |             | 213     | 207       |
| 1930                                      | Total budgetary resources available .....       |             | 213     | 207       |
| <b>Change in obligated balance:</b>       |   |             |         |           |
| Unpaid obligations:                       |   |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts .....  |             | 213     | 207       |
| 3020                                      | Outlays (gross) .....                           |             | –213    | –207      |
| <b>Budget authority and outlays, net:</b> |   |             |         |           |
| Discretionary:                            |   |             |         |           |
| 4000                                      | Budget authority, gross .....                   |             | 213     | 207       |
| Outlays, gross:                           |   |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....  |             | 213     | 207       |
| 4180                                      | Budget authority, net (total) .....             |             | 213     | 207       |
| 4190                                      | Outlays, net (total) .....                      |             | 213     | 207       |

This general fund appropriation pays the National Service Trust Fund to make educational awards to eligible national service program participants until the awardees use them.

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General in carrying out the Inspector General Act of 1978, \$6,466,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95–2721–0–1–506       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Office of Inspector General .....                | 4           | 4       | 6         |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                              | 4           | 4       | 6         |
| 1160                                      | Appropriation, discretionary (total) .....       | 4           | 4       | 6         |
| 1930                                      | Total budgetary resources available .....        | 4           | 4       | 6         |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 2           | 1       | 2         |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 4           | 4       | 6         |
| 3020                                      | Outlays (gross) .....                            | –5          | –3      | –4        |
| 3050                                      | Unpaid obligations, end of year .....            | 1           | 2       | 4         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 2           | 1       | 2         |
| 3200                                      | Obligated balance, end of year .....             | 1           | 2       | 4         |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                    | 4           | 4       | 6         |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....   | 3           | 1       | 2         |
| 4011                                      | Outlays from discretionary balances .....        | 2           | 2       | 2         |
| 4020                                      | Outlays, gross (total) .....                     | 5           | 3       | 4         |
| 4180                                      | Budget authority, net (total) .....              | 4           | 4       | 6         |
| 4190                                      | Outlays, net (total) .....                       | 5           | 3       | 4         |

The Office of the Inspector General provides an independent assessment of Corporation operations, primarily through audits and investigations, with a goal of preventing fraud, waste, and abuse.

**Object Classification** (in millions of dollars)

| Identification code 95–2721–0–1–506 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 2           | 2       | 3         |
| 12.1                                | Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....     | 1           | 1       | 2         |
| 99.9                                | Total new obligations .....                       | 4           | 4       | 6         |

**Employment Summary**

| Identification code 95–2721–0–1–506 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 23          | 14      | 24        |

**SALARIES AND EXPENSES**

*For necessary expenses of administration as provided under section 501(a)(5) of the 1990 Act and under section 504(a) of the 1973 Act, including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms in the District of Columbia, the employment of experts and consultants authorized under 5 U.S.C. 3109, and not to exceed \$2,500 for official reception and representation expenses, \$87,109,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95–2722–0–1–506       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | NCSA Salaries & Expenses .....                             | 83          | 83      | 87        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 83          | 83      | 87        |
| 1160                                      | Appropriation, discretionary (total) .....                 | 83          | 83      | 87        |
| 1930                                      | Total budgetary resources available .....                  | 83          | 83      | 87        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 25          | 25      | 28        |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 83          | 83      | 87        |
| 3011                                      | Obligations incurred, expired accounts .....               | 2           |         |           |
| 3020                                      | Outlays (gross) .....                                      | –84         | –80     | –86       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | –1          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                      | 25          | 28      | 29        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 25          | 25      | 28        |
| 3200                                      | Obligated balance, end of year .....                       | 25          | 28      | 29        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                              | 83          | 83      | 87        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 65          | 64      | 67        |
| 4011                                      | Outlays from discretionary balances .....                  | 19          | 16      | 19        |
| 4020                                      | Outlays, gross (total) .....                               | 84          | 80      | 86        |
| 4180                                      | Budget authority, net (total) .....                        | 83          | 83      | 87        |
| 4190                                      | Outlays, net (total) .....                                 | 84          | 80      | 86        |

This account provides salaries and operating expenses for the Corporation for National and Community Service.

## SALARIES AND EXPENSES—Continued

## Object Classification (in millions of dollars)

| Identification code 95–2722–0–1–506                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 39          | 40      | 40        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 41          | 42      | 42        |
| 12.1 Civilian personnel benefits .....                          | 12          | 13      | 13        |
| 21.0 Travel and transportation of persons .....                 | 2           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 7           | 7       | 13        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 18          | 17      | 15        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 83          | 83      | 87        |

## Employment Summary

| Identification code 95–2722–0–1–506                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 481         | 489     | 492       |

## VISTA ADVANCE PAYMENTS REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 95–2723–0–1–506   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Reimbursable program activity .....  | 10          | 10      | 10        |
| 0900 Total new obligations (object class 41.0) .....  | 10          | 10      | 10        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 2           | 2       | 2         |
| Budget authority:   |             |         |           |
| 1700 Spending authority from offsetting collections, discretionary:<br>Collected .....          | 10          | 10      | 10        |
| 1750 Spending auth from offsetting collections, disc (total) .....                              | 10          | 10      | 10        |
| 1930 Total budgetary resources available .....  | 12          | 12      | 12        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 10          | 10      | 10        |
| 3020 Outlays (gross) .....  | –10         | –10     | –10       |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 10          | 10      | 10        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 10          | 10      | 10        |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4033 Non-Federal sources .....  | –10         | –10     | –10       |

The VISTA Advance Payments Revolving Fund was established in 2007 by Public Law 110–05 as the initial source of funding for VISTA member living allowances for which the Corporation is later reimbursed by nonprofit organizations as part of cost share agreements. All VISTA member benefits and services, and the majority of living allowances, are funded in the Operating Expenses account.

## Object Classification (in millions of dollars)

| Identification code 95–2723–0–1–506 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.0 Reimbursable obligations ..... | 10          | 10      | 10        |

## Trust Funds

## GIFTS AND CONTRIBUTIONS

## NATIONAL SERVICE TRUST

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95–9972–0–7–506                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                    |             |         | 4         |
| Receipts:  |             |         |           |
| 0240 Interest on Investment, National Service Trust Fund .....       | 4           | 4       | 5         |
| 0241 Payment from the General Fund, National Service Trust Fund .... | 212         | 213     | 207       |
| 0299 Total receipts and collections .....                            | 216         | 217     | 212       |
| 0400 Total: Balances and collections .....                           | 216         | 217     | 216       |
| Appropriations:  |             |         |           |
| 0500 Gifts and Contributions .....                                   | –212        | –213    | –207      |
| 0501 Gifts and Contributions .....                                   | –4          |         |           |
| 0599 Total appropriations .....                                      | –216        | –213    | –207      |
| 0799 Balance, end of year .....                                      |             | 4       | 9         |

## Program and Financing (in millions of dollars)

| Identification code 95–9972–0–7–506  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Gifts and contributions .....   | 204         | 213     | 207       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                  | 62          | 77      | 77        |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 .....                        | 62          |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1101 Appropriation (special or trust fund) .....                                       | 212         | 213     | 207       |
| 1160 Appropriation, discretionary (total) .....  | 212         | 213     | 207       |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                                       | 4           |         |           |
| 1260 Appropriations, mandatory (total) .....   | 4           |         |           |
| 1700 Spending authority from offsetting collections, discretionary:<br>Collected ..... | 3           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....                     | 3           |         |           |
| 1900 Budget authority (total) .....  | 219         | 213     | 207       |
| 1930 Total budgetary resources available .....   | 281         | 290     | 284       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                  | 77          | 77      | 77        |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                  | 543         | 565     | 590       |
| 3010 Obligations incurred, unexpired accounts .....                                    | 204         | 213     | 207       |
| 3020 Outlays (gross) .....   | –182        | –188    | –274      |
| 3050 Unpaid obligations, end of year .....   | 565         | 590     | 523       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  | 543         | 565     | 590       |
| 3200 Obligated balance, end of year .....  | 565         | 590     | 523       |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   | 215         | 213     | 207       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                                    | 182         |         |           |
| 4011 Outlays from discretionary balances .....   |             | 181     | 270       |

|                                      |   |     |     |     |
|--------------------------------------|---|-----|-----|-----|
| 4020                                 | Outlays, gross (total) .....  | 182 | 181 | 270 |
|                                      | Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |     |     |     |
| 4030                                 | Federal sources .....   | -3  |     |     |
|                                      | Mandatory:  |     |     |     |
| 4090                                 | Budget authority, gross .....   | 4   |     |     |
|                                      | Outlays, gross:   |     |     |     |
| 4101                                 | Outlays from mandatory balances .....   |     | 7   | 4   |
| 4180                                 | Budget authority, net (total) .....   | 216 | 213 | 207 |
| 4190                                 | Outlays, net (total) .....  | 179 | 188 | 274 |
| <b>Memorandum (non-add) entries:</b> |   |     |     |     |
| 5000                                 | Total investments, SOY: Federal securities: Par value .....                                     | 605 | 637 | 673 |
| 5001                                 | Total investments, EOY: Federal securities: Par value .....                                     | 637 | 673 | 710 |

The Gifts and Contributions account is a consolidation of two trust funds. In one, gifts and contributions from individuals and organizations are deposited for use in furthering program goals. In the other, funds appropriated to make educational awards to eligible national service program participants are maintained until awardees use them.

**Object Classification** (in millions of dollars)

| Identification code 95-9972-0-7-506                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 25.2 Direct obligations: Other services from non-Federal sources ..... | 201         | 213     | 207       |
| 99.0 Reimbursable obligations .....                                    | 3           |         |           |
| 99.9 Total new obligations .....                                       | 204         | 213     | 207       |

**ADMINISTRATIVE PROVISIONS**

**SEC. 401.** *AmeriCorps programs receiving grants under the National Service Trust program shall meet an overall minimum share requirement of 24 percent for the first 3 years that they receive AmeriCorps funding, and thereafter shall meet the overall minimum share requirement as provided in section 2521.60 of title 45, Code of Federal Regulations, without regard to the operating costs match requirement in section 121(e) or the member support Federal share limitations in section 140 of the 1990 Act, and subject to partial waiver consistent with section 2521.70 of title 45, Code of Federal Regulations.*

**SEC. 402.** *In addition to the requirements in section 146(a) of the 1990 Act, use of an educational award for the purpose described in section 148(a)(4) shall be limited to individuals who are veterans as defined under section 101 of the Act.*

**SEC. 403.** (a) *Section 201 of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5001) is amended—*

*(1) in subsection (e)(1)(A), by striking, ", with an option" and all that follows through "(g)", and inserting "not to exceed" following "for a period";*

*(2) in subsection (e)(2)(B), by striking clause (iv), inserting at the end of clause (iii) "and", and by redesignating clause (v) as clause (iv);*

*(3) by striking subsection (i) and redesignating subsection (j) as subsection (i);*

*(b) Section 227(a) of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5027(a)) is amended by striking paragraph (2) and, in paragraph (1), by striking "(1)" and "paragraph (2) and"; and*

*(c) Section 412(a) of the Domestic Volunteer Service Act of 1973 (42 U.S.C. 5052) is amended by striking paragraphs (2) and (3), by inserting at the end of paragraph (1) "and", and by redesignating paragraph (4) as paragraph (2).*

**SEC. 404.** *Section 189D of the 1990 Act is amended in subsection (d)—(a) in the heading, by striking "rule" and inserting "rules"; (b) in paragraph (1)—(1) in the heading, by striking "In General" and inserting "Children"; (2) after "Notwithstanding subsection (b)", by deleting "on and after the date that is 2 years after the date of enactment of the Serve America Act,"; (3) after "each individual", by inserting "age 18 or older who serves in a position in which the individual receives a living allowance, stipend, national service educational award, or salary through a program receiving assistance under the national service laws; and as a result of such individuals service in such position, has or will have access, on a recurring basis, to children age 17 years or younger"; and (4) after "shall", by insert-*

*ing ", subject to regulations and requirements established by the Corporation"; (c) in paragraph (2)—(1) in the heading, by striking "Individuals with access to" and inserting "Other"; (2) by striking "An individual described in this paragraph is" and inserting "Subject to regulations and requirements established by the Corporation requiring additional measures to protect the safety of vulnerable populations,"; (3) by striking "who" and inserting "shall meet the requirements of subsection (b) when the individual"; (4) in subparagraph (B), by deleting clause (i) and renumbering the following clauses accordingly; and (d) in subparagraph (3)(A), by striking "paragraph (2)(B)" and inserting "subsection (d)".*

**CORPORATION FOR PUBLIC BROADCASTING**

**Federal Funds**

**CORPORATION FOR PUBLIC BROADCASTING**

*For payment to the Corporation for Public Broadcasting (referred to in this Act as "CPB"), as authorized by the Communications Act of 1934, an amount which shall be available within limitations specified by that Act, for the fiscal year 2016, \$445,000,000: Provided, That none of the funds made available to CPB by this Act shall be used to pay for receptions, parties, or similar forms of entertainment for Government officials or employees: Provided further, That none of the funds made available to CPB by this Act shall be available or used to aid or support any program or activity from which any person is excluded, or is denied benefits, or is discriminated against, on the basis of race, color, national origin, religion, or sex: Provided further, That none of the funds made available to CPB by this Act shall be used to apply any political test or qualification in selecting, appointing, promoting, or taking any other personnel action with respect to officers, agents, and employees of CPB: Provided further, That none of the funds made available to CPB by this Act shall be used to support the Television Future Fund or any similar purpose.*

*Note.*—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 20-0151-0-1-503                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0001 General programming .....                           | 444         | 445     | 445       |
| 0900 Total new obligations (object class 41.0) .....     | 444         | 445     | 445       |
| <b>Budgetary Resources:</b>                              |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                           |             |         |           |
| Advance appropriations, discretionary:                   |             |         |           |
| 1170 Advance appropriation - General Programming .....   | 445         | 445     | 445       |
| 1173 Advance appropriations permanently reduced .....    | -1          |         |           |
| 1180 Advanced appropriation, discretionary (total) ..... | 444         | 445     | 445       |
| 1930 Total budgetary resources available .....           | 444         | 445     | 445       |
| <b>Change in obligated balance:</b>                      |             |         |           |
| Unpaid obligations:                                      |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....      | 444         | 445     | 445       |
| 3020 Outlays (gross) .....                               | -444        | -445    | -445      |
| <b>Budget authority and outlays, net:</b>                |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                       | 444         | 445     | 445       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....      | 444         | 445     | 445       |
| 4180 Budget authority, net (total) .....                 | 444         | 445     | 445       |
| 4190 Outlays, net (total) .....                          | 444         | 445     | 445       |

The FY 2014 Budget proposes an advance appropriation of \$445 million for the Corporation for Public Broadcasting (CPB) for fiscal year 2016. In 1975, Congress first agreed to begin providing CPB with a two-year advance appropriation to support long-range financing planning and to insulate programming decisions. This commitment of future federal dollars helps leverage investments

## CORPORATION FOR PUBLIC BROADCASTING—Continued

from other sources and gives producers essential lead time to plan, design, create, and support programming and services.

CPB uses funding to provide grants to qualified public television and radio stations to be used at their discretion for purposes related to program production or acquisition, as well as for general operations. CPB also supports the production and acquisition of radio and television programs for national distribution. In addition, CPB assists in the financing of several system-wide activities, including national satellite interconnection services and the payment of music royalty fees, and provides limited technical assistance, research, and planning services to improve system-wide capacity and performance.

## CORPORATION FOR TRAVEL PROMOTION

*Federal Funds*

## TRAVEL PROMOTION FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-5585-0-2-376        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....          | 106         | 126     | 126       |
| Receipts:                                  |             |         |           |
| 0200 Fees, Travel Promotion Fund .....     | 120         | 100     | 100       |
| 0400 Total: Balances and collections ..... | 226         | 226     | 226       |
| Appropriations:                            |             |         |           |
| 0500 Travel Promotion Fund .....           | -100        | -100    | -100      |
| 0799 Balance, end of year .....            | 126         | 126     | 126       |

## Program and Financing (in millions of dollars)

| Identification code 95-5585-0-2-376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 100         | 100     | 100       |
| 0900 Total new obligations (object class 41.0) .....  | 100         | 100     | 100       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 100         | 100     | 100       |
| 1260 Appropriations, mandatory (total) .....          | 100         | 100     | 100       |
| 1930 Total budgetary resources available .....        | 100         | 100     | 100       |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 78          | 78      | 78        |
| 3010 Obligations incurred, unexpired accounts .....   | 100         | 100     | 100       |
| 3020 Outlays (gross) .....                            | -22         | -178    | -100      |
| 3050 Unpaid obligations, end of year .....            | 78          | 78      | 78        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 78          | 78      | 78        |
| 3200 Obligated balance, end of year .....             | 78          | 78      | 78        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 100         | 100     | 100       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 22          | 100     | 100       |
| 4101 Outlays from mandatory balances .....            | 78          | 78      | 78        |
| 4110 Outlays, gross (total) .....                     | 22          | 178     | 100       |
| 4180 Budget authority, net (total) .....              | 100         | 100     | 100       |
| 4190 Outlays, net (total) .....                       | 22          | 178     | 100       |

The Corporation for Travel Promotion (also known as Brand USA) was established by the Travel Promotion Act in 2010 to lead the nation's first global marketing effort to promote the United States as a premier travel destination and to communicate U.S. entry/exit policies and procedures. The public-private part-

nership, funded through a combination of private sector contributions and Federal matching funds, works in close partnership with the travel industry to encourage increased travel and tourism in the United States.

COUNCIL OF THE INSPECTORS GENERAL ON  
INTEGRITY AND EFFICIENCY*Federal Funds*

## INSPECTORS GENERAL COUNCIL FUND

## Program and Financing (in millions of dollars)

| Identification code 95-4592-0-4-808                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 6           | 7       | 7         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 7           | 11      | 11        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 9           | 7       | 7         |
| 1801 Change in uncollected payments, Federal sources .....         | 1           | 1       | 1         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 10          | 7       | 7         |
| 1930 Total budgetary resources available .....                     | 17          | 18      | 18        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 11          | 11      | 11        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....                | 6           | 7       | 7         |
| 3020 Outlays (gross) .....   | -5          | -8      | -7        |
| 3050 Unpaid obligations, end of year .....                         | 1           | 1       | 1         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -1      | -1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -1          | -1      | -1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | -1          | -1      | -1        |
| 3200 Obligated balance, end of year .....                          | -1          | -1      | -1        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 10          | 7       | 7         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 5           | 7       | 7         |
| 4101 Outlays from mandatory balances .....                         | 1           | 1       | 1         |
| 4110 Outlays, gross (total) .....                                  | 5           | 8       | 7         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -9          | -7      | -7        |
| Additional offsets against gross budget authority only:            |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....     | -1          | -1      | -1        |
| 4170 Outlays, net (mandatory) .....                                | -4          | 1       | 1         |
| 4190 Outlays, net (total) .....                                    | -4          | 1       | 1         |

The Council of the Inspectors General on Integrity and Efficiency (CIGIE) was statutorily established by The Inspector General Reform Act of 2008 (P.L. 110-409). The CIGIE's mission is to address integrity, economy, and effectiveness issues that transcend individual Government agencies; and increase the professionalism and effectiveness of personnel by developing policies, standards, and approaches to aid in the establishment of a well-trained and highly skilled workforce in the offices of the Inspectors General. In 2014, the CIGIE will perform cross-agency analysis on cross-agency issues involving program integrity, efficiency and/or effectiveness; further increase the professionalism and effectiveness of the IG community workforce; and further advance the level of practice within the IG community workforce. Pursuant to Section 7 the Inspector General Reform Act of 2008,

resources for CIGIE activities are provided through interagency funding. CIGIE plans to spend \$7.1 million in 2014 for operations to support its mission and goals, of which \$4.6 million will be for CIGIE's Training Institute. Of the \$4.6 million for the Training Institute, \$0.9 million is planned for the Leadership/Mission Support Academy, \$1.5 million is for the Investigative Training Academy, \$1.0 million is for the Audit, Inspections and Evaluations Academy, and \$1.2 million is for infrastructure and administrative operations associated with the Training Institute. Additionally, the Council expects to collect tuition for Training Institute courses in the amount of \$0.7 million, which assists in recovering expenses associated with individual training courses.

#### Object Classification (in millions of dollars)

| Identification code 95-4592-0-4-808                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time Permanent .....       | 1           | 1       | 1         |
| 12.1 Civilian personnel benefits .....                       | 1           | 1       | 1         |
| 25.2 Other Services - Non Federal - Administrative .....     | 1           | 2       | 2         |
| 25.2 Other Services - Non Federal - Training Institute ..... | 4           | 3       | 3         |
| 99.9 Total new obligations .....                             | 6           | 7       | 7         |

#### Employment Summary

| Identification code 95-4592-0-4-808                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 6           | 7       | 7         |

## COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

### Federal Funds

#### FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION AGENCY FOR THE DISTRICT OF COLUMBIA

*For salaries and expenses, including the transfer and hire of motor vehicles, of the Court Services and Offender Supervision Agency for the District of Columbia, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, \$227,968,000, of which not to exceed \$2,000 is for official reception and representation expenses related to Community Supervision and Pretrial Services Agency programs; of which not to exceed \$25,000 is for dues and assessments relating to the implementation of the Court Services and Offender Supervision Agency Interstate Supervision Act of 2002; of which \$168,449,000 shall be for necessary expenses of Community Supervision and Sex Offender Registration, to include expenses relating to the supervision of adults subject to protection orders or the provision of services for or related to such persons; of which \$59,519,000 shall be available to the Pretrial Services Agency: Provided, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That not less than \$1,000,000 shall be available for re-entrant housing in the District of Columbia: Provided further, That the Director is authorized to accept and use gifts in the form of in-kind contributions of space and hospitality to support offender and defendant programs; and equipment, supplies, and vocational training services necessary to sustain, educate, and train offenders and defendants, including their dependent children: Provided further, That the Director shall keep accurate and detailed records of the acceptance and use of any gift or donation under the previous proviso, and shall make such records available for audit and public inspection: Provided further, That the Court Services and Offender Supervision Agency Director is authorized to accept and use reimbursement from the District of Columbia Government for space and services provided on a cost reimbursable basis.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The

amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 95-1734-0-1-752      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Community supervision program ..... | 153         | 154     | 168       |
| 0002 Pretrial Services Agency .....      | 58          | 60      | 60        |
| 0799 Total direct obligations .....      | 211         | 214     | 228       |
| 0801 Reimbursable program .....          | 1           | 1       | 1         |
| 0900 Total new obligations .....         | 212         | 215     | 229       |

#### Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Budget authority:  |     |     |     |
| Appropriations, discretionary:                                     |     |     |     |
| 1100 Appropriation .....   | 213 | 214 | 228 |
| 1160 Appropriation, discretionary (total) .....                    | 213 | 214 | 228 |
| Spending authority from offsetting collections, discretionary:     |     |     |     |
| 1700 Collected .....   |     | 1   | 1   |
| 1750 Spending auth from offsetting collections, disc (total) ..... |     | 1   | 1   |
| 1900 Budget authority (total) .....                                | 213 | 215 | 229 |
| 1930 Total budgetary resources available .....                     | 213 | 215 | 229 |
| Memorandum (non-add) entries:                                      |     |     |     |
| 1940 Unobligated balance expiring .....                            | -1  |     |     |

#### Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 40   | 30   | 46   |
| 3010 Obligations incurred, unexpired accounts .....               | 212  | 215  | 229  |
| 3011 Obligations incurred, expired accounts .....                 | 1    |      |      |
| 3020 Outlays (gross) .....  | -215 | -199 | -226 |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -8   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 30   | 46   | 49   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -1   |      |      |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 1    |      |      |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 39   | 30   | 46   |
| 3200 Obligated balance, end of year .....                         | 30   | 46   | 49   |

#### Budget authority and outlays, net:

|  |     |     |     |
|--|-----|-----|-----|
| Discretionary:   |     |     |     |
| 4000 Budget authority, gross .....                             | 213 | 215 | 229 |
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....            | 185 | 171 | 182 |
| 4011 Outlays from discretionary balances .....                 | 30  | 28  | 44  |
| 4020 Outlays, gross (total) .....                              | 215 | 199 | 226 |
| Offsets against gross budget authority and outlays:            |     |     |     |
| Offsetting collections (collected) from:                       |     |     |     |
| 4030 Federal sources .....                                     | -1  | -1  | -1  |
| Additional offsets against gross budget authority only:        |     |     |     |
| 4052 Offsetting collections credited to expired accounts ..... | 1   |     |     |
| 4070 Budget authority, net (discretionary) .....               | 213 | 214 | 228 |
| 4080 Outlays, net (discretionary) .....                        | 214 | 198 | 225 |
| 4180 Budget authority, net (total) .....                       | 213 | 214 | 228 |
| 4190 Outlays, net (total) .....                                | 214 | 198 | 225 |

The National Capital Revitalization and Self-Government Improvement Act of 1997 established the Court Services and Offender Supervision Agency (CSOSA) for the District of Columbia as an independent Federal agency to perform community supervision of D.C. Code offenders. The new agency assumed the adult probation function from the D.C. Superior Court and the parole supervision function from the D.C. Board of Parole. The Pretrial Services Agency for the District of Columbia, responsible for supervising pretrial defendants, became an independent entity within CSOSA with its own budget and organizational structure. The mission of CSOSA is to increase public safety, prevent crime, reduce recidivism and support the fair administration of justice in close collaboration with the community.

The CSOSA appropriation supports the Community Supervision Program and the Pretrial Services Agency.

FEDERAL PAYMENT TO THE COURT SERVICES AND OFFENDER SUPERVISION  
AGENCY FOR THE DISTRICT OF COLUMBIA—Continued

**Community Supervision Program.**—This activity provides supervision in the community of adult offenders on probation, parole, or supervised release, consistent with a crime prevention strategy that emphasizes public safety and successful reintegration. The Community Supervision Program employs an integrated system of close supervision, routine drug testing, graduated sanctions, treatment, transitional housing and other offender support services, including community and faith-based collaborations. The activity also develops and provides the courts and the U.S. Parole Commission with critical information for probation, parole and supervised release decisions. The Budget proposes additional resources for CSOSA physical and information technology security and to relocate Community Supervision Program offender supervision field offices.

**Pretrial Services Agency.**—This activity assists judicial officers in both the D.C. Superior Court and the U.S. District Court for the District of Columbia by formulating release recommendations and providing supervision and treatment services to defendants that reasonably assure that those on conditional release return to court and do not engage in criminal activity pending their trial and/or sentencing. The Pretrial Services Agency is further responsible for enforcing conditions of release, conducting drug testing, administering graduated sanctions, referring defendants to treatment and other social services, and reporting to the courts defendants' compliance with their conditions of release.

## Object Classification (in millions of dollars)

| Identification code 95–1734–0–1–752                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 100         | 102     | 105       |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 2           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 103         | 104     | 107       |
| 12.1 Civilian personnel benefits .....                          | 39          | 40      | 41        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 7           | 8       | 9         |
| 23.2 Rental payments to others .....                            | 9           | 9       | 9         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 2       | 3         |
| 25.1 Advisory and assistance services .....                     | 6           | 5       | 5         |
| 25.2 Other services from non-Federal sources .....              | 31          | 32      | 34        |
| 25.3 Other goods and services from Federal sources .....        | 2           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....              |             | 1       | 1         |
| 25.6 Medical care .....   | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 1           |         |           |
| 26.0 Supplies and materials .....                               | 3           | 4       | 4         |
| 31.0 Equipment .....  | 3           | 3       | 3         |
| 32.0 Land and structures .....                                  |             | 1       | 7         |
| 99.0 Direct obligations .....                                   | 211         | 214     | 228       |
| 99.0 Reimbursable obligations .....                             | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 212         | 215     | 229       |

## Employment Summary

| Identification code 95–1734–0–1–752                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,242       | 1,267   | 1,284     |

*penses of Federal agencies: Provided further, That, notwithstanding section 1342 of title 31, United States Code, and in addition to the authority provided by District of Columbia Code section 2–1607(b), upon approval of the Board of Trustees, the District of Columbia Public Defender Service may accept and use voluntary and uncompensated (gratuitous) services for the purpose of aiding or facilitating the work of the District of Columbia Public Defender Service: Provided further, That, notwithstanding District of Columbia Code section 2–1603(d), for the purpose of any action brought against the Board of Trustees of the District of Columbia Public Defender Service, the trustees shall be deemed to be employees of the District of Columbia Public Defender Service.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–1733–0–1–754                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Public Defender Service .....                    | 37          | 37      | 41        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 37          | 37      | 41        |
| 1160 Appropriation, discretionary (total) .....       | 37          | 37      | 41        |
| 1930 Total budgetary resources available .....        | 38          | 38      | 42        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4           | 4       | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 37          | 37      | 41        |
| 3020 Outlays (gross) .....                            | –37         | –37     | –41       |
| 3050 Unpaid obligations, end of year .....            | 4           | 4       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 4           | 4       | 4         |
| 3200 Obligated balance, end of year .....             | 4           | 4       | 4         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 37          | 37      | 41        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 33          | 33      | 37        |
| 4011 Outlays from discretionary balances .....        | 4           | 4       | 4         |
| 4020 Outlays, gross (total) .....                     | 37          | 37      | 41        |
| 4180 Budget authority, net (total) .....              | 37          | 37      | 41        |
| 4190 Outlays, net (total) .....                       | 37          | 37      | 41        |

The Public Defender Service for the District of Columbia (PDS) is a federally funded, independent organization governed by an eleven-member Board of Trustees. PDS was created in 1970 by a Federal statute (Pub. L. No. 91–358, Title III, Sec. 301(1970); see also D.C. Code Sec. 2–1601, et seq., 2001 ed.) implementing the constitutional mandate to provide criminal defense counsel for individuals who cannot afford to hire a lawyer (*Gideon v. Wainwright*, 372 U.S. 335 (1963)). PDS's mission is to provide and promote quality legal representation to indigent adults and children facing a loss of liberty in the District of Columbia justice system and thereby protect society's interest in the fair administration of justice.

PDS specializes in representation in the most complex and resource-intensive criminal and delinquency cases. PDS also represents individuals facing involuntary civil commitment in the District's mental health system or parole revocation for D.C. Code offenses.

## FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PUBLIC DEFENDER SERVICE

*For salaries and expenses, including the transfer and hire of motor vehicles, of the District of Columbia Public Defender Service, as authorized by the National Capital Revitalization and Self-Government Improvement Act of 1997, \$40,607,000: Provided, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and ex-*

**Object Classification** (in millions of dollars)

| Identification code 95-1733-0-1-754                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 22          | 22      | 23        |
| 11.8 Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 23          | 23      | 24        |
| 12.1 Civilian personnel benefits .....                          | 5           | 5       | 6         |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           | 2       | 1         |
| 25.1 Advisory and assistance services .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 2           | 2       | 3         |
| 25.3 Other goods and services from Federal sources .....        | 2           | 2       | 4         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 37          | 37      | 41        |

**Employment Summary**

| Identification code 95-1733-0-1-754                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 213         | 213     | 218       |

**DEFENSE NUCLEAR FACILITIES SAFETY BOARD****Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses of the Defense Nuclear Facilities Safety Board in carrying out activities authorized by the Atomic Energy Act of 1954, as amended by Public Law 100-456, section 1441, \$29,915,000, to remain available until September 30, 2015.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95-3900-0-1-999                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 29          | 30      | 30        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | .....     |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 29          | 29      | 30        |
| 1160 Appropriation, discretionary (total) .....       | 29          | 29      | 30        |
| 1930 Total budgetary resources available .....        | 30          | 30      | 30        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | .....   | .....     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 6           | 8       | 9         |
| 3010 Obligations incurred, unexpired accounts .....   | 29          | 30      | 30        |
| 3020 Outlays (gross) .....                            | -27         | -29     | -31       |
| 3050 Unpaid obligations, end of year .....            | 8           | 9       | 8         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 6           | 8       | 9         |
| 3200 Obligated balance, end of year .....             | 8           | 9       | 8         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 29          | 29      | 30        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 23          | 22      | 23        |
| 4011 Outlays from discretionary balances .....        | 4           | 7       | 8         |
| 4020 Outlays, gross (total) .....                     | 27          | 29      | 31        |
| 4180 Budget authority, net (total) .....              | 29          | 29      | 30        |
| 4190 Outlays, net (total) .....                       | 27          | 29      | 31        |

The Defense Nuclear Facilities Safety Board, an independent, non-regulatory agency within the executive branch, is responsible for evaluating the content and implementation of the standards relating to the design, construction, operation, and decommissioning of Department of Energy (DOE) defense nuclear facilities. The Board also reviews the design of new DOE defense nuclear facilities and periodically reviews and monitors construction of such facilities to ensure adequate protection of public and worker health and safety. The Board is also responsible for investigating any event or practice at a defense nuclear facility that has or may adversely affect public health and safety. The Board makes specific recommendations to the Secretary of Energy on measures that should be adopted to protect both public and employee health and safety.

**Object Classification** (in millions of dollars)

| Identification code 95-3900-0-1-999                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 15          | 16      | 16        |
| 12.1 Civilian personnel benefits .....                   | 5           | 5       | 5         |
| 21.0 Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....              | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 31.0 Equipment .....                                     | 1           | 1       | 1         |
| 99.0 Direct obligations .....                            | 28          | 29      | 29        |
| 99.5 Below reporting threshold .....                     | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 29          | 30      | 30        |

**Employment Summary**

| Identification code 95-3900-0-1-999                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 109         | 119     | 120       |

**DELTA REGIONAL AUTHORITY****Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses of the Delta Regional Authority and to carry out its activities, as authorized by the Delta Regional Authority Act of 2000, as amended, notwithstanding sections 382C(b)(2), 382F(d), 382M, and 382N of said Act, \$11,319,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95-0750-0-1-452                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                |             |         |           |
| 0001 Direct program activity .....                     | 17          | 12      | 11        |
| <b>Budgetary Resources:</b>                            |             |         |           |
| Unobligated balance:                                   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....  | .....       | 1       | 2         |
| 1021 Recoveries of prior year unpaid obligations ..... | 6           | 1       | 1         |
| 1050 Unobligated balance (total) .....                 | 6           | 2       | 3         |
| Budget authority:                                      |             |         |           |
| Appropriations, discretionary:                         |             |         |           |
| 1100 Appropriation .....                               | 12          | 12      | 11        |
| 1160 Appropriation, discretionary (total) .....        | 12          | 12      | 11        |
| 1930 Total budgetary resources available .....         | 18          | 14      | 14        |
| Memorandum (non-add) entries:                          |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....  | 1           | 2       | 3         |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 95–0750–0–1–452                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 35          | 32      | 13        |
| 3010 Obligations incurred, unexpired accounts .....               | 17          | 12      | 11        |
| 3020 Outlays (gross) .....  | –14         | –30     | –15       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –6          | –1      | –1        |
| 3050 Unpaid obligations, end of year .....                        | 32          | 13      | 8         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 35          | 32      | 13        |
| 3200 Obligated balance, end of year .....                         | 32          | 13      | 8         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 12          | 12      | 11        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 6           | 12      | 11        |
| 4011 Outlays from discretionary balances .....                    | 8           | 18      | 4         |
| 4020 Outlays, gross (total) .....                                 | 14          | 30      | 15        |
| 4180 Budget authority, net (total) .....                          | 12          | 12      | 11        |
| 4190 Outlays, net (total) .....                                   | 14          | 30      | 15        |

The Delta Regional Authority (DRA) was established as a Federal-State partnership to assist the eight-state, 252 county/parish Mississippi Delta region in obtaining the economic development essential to create and sustain strong local economies. In 2014, DRA will continue to focus on multi-state planning and the facilitation of regional investments towards its statutory mission, with specific emphases on and investments into: projects, initiatives and developments of region-wide import or impact; small business development and entrepreneurship; and innovative green-economy related job creation and retention.

## Object Classification (in millions of dollars)

| Identification code 95–0750–0–1–452                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 16          | 11      | 10        |
| 99.9 Total new obligations .....                       | 17          | 12      | 11        |

## Employment Summary

| Identification code 95–0750–0–1–452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 4       | 4         |

## DENALI COMMISSION

## Federal Funds

## DENALI COMMISSION

For expenses of the Denali Commission including the purchase, construction, and acquisition of plant and capital equipment as necessary and other expenses, \$7,396,000, to remain available until expended, notwithstanding the limitations contained in section 306(g) of the Denali Commission Act of 1998: Provided, That funds shall be available for construction projects in an amount not to exceed 80 percent of total project cost for distressed communities, as defined by section 307 of the Denali Commission Act of 1998 (division C, title III, Public Law 105–277), as amended by section 701 of appendix D, title VII, Public Law 106–113 (113 Stat. 1501A–280), and an amount not to exceed 50 percent for non-distressed communities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–1200–0–1–452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0101 Direct program activity .....                                 | 17          | 7       | 7         |
| 0801 Reimbursable program activity .....                           | 3           | 8       | 10        |
| 0900 Total new obligations .....                                   | 20          | 15      | 17        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             | 1       | 10        |
| 1021 Recoveries of prior year unpaid obligations .....             | 5           | 5       | 5         |
| 1050 Unobligated balance (total) .....                             | 5           | 6       | 15        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 11          | 11      | 7         |
| 1121 Appropriations transferred from other accts [69–1134] .....   | 5           |         |           |
| 1160 Appropriation, discretionary (total) .....                    | 16          | 11      | 7         |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 8       | 10        |
| 1750 Spending auth from offsetting collections, disc (total) ..... |             | 8       | 10        |
| 1900 Budget authority (total) .....                                | 16          | 19      | 17        |
| 1930 Total budgetary resources available .....                     | 21          | 25      | 32        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 1           | 10      | 15        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 96          | 74      | 17        |
| 3010 Obligations incurred, unexpired accounts .....                | 20          | 15      | 17        |
| 3020 Outlays (gross) .....   | –37         | –67     | –12       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –5          | –5      | –5        |
| 3050 Unpaid obligations, end of year .....                         | 74          | 17      | 17        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 96          | 74      | 17        |
| 3200 Obligated balance, end of year .....                          | 74          | 17      | 17        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 16          | 19      | 17        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 5           | 6       | 8         |
| 4011 Outlays from discretionary balances .....                     | 32          | 61      | 4         |
| 4020 Outlays, gross (total) .....                                  | 37          | 67      | 12        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4030 Federal sources .....   |             | –8      | –10       |
| 4180 Budget authority, net (total) .....                           | 16          | 11      | 7         |
| 4190 Outlays, net (total) .....                                    | 37          | 59      | 2         |

The Denali Commission was established by the Denali Commission Act of 1998 (P.L. 105–277) and is composed of seven members including the Federal Co-Chair. The Commission's mission is to promote and provide sustainable infrastructure improvement, job training, and other economic development services that improve health, safety, and economic self-sufficiency within rural communities in Alaska. In 2014, the Commission will continue to coordinate cost-shared utilities and infrastructure projects with a focus on the most distressed communities. The 2014 Budget proposes to continue a 50% matching requirement to the Commission's funding of construction projects. This provision, common to other Federal regional economic development agencies, ensures that communities have a stake in their Commission-funded projects. Grants to distressed communities will have a lower matching requirement (20%). This match may be provided by the State of Alaska. In order to improve performance measures, in 2014 the Commission will continue to place an emphasis on gathering output and outcome results from its program partners and grantees.



**Object Classification** (in millions of dollars)

| Identification code 95-1200-0-1-452                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 41.0 Grants, subsidies, and contributions .....        | 15          | 5       | 5         |
| 99.0 Direct obligations .....                          | 17          | 7       | 7         |
| 99.0 Reimbursable obligations .....                    | 3           | 8       | 10        |
| 99.9 Total new obligations .....                       | 20          | 15      | 17        |

**Employment Summary**

| Identification code 95-1200-0-1-452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 13          | 14      | 14        |

**Trust Funds****DENALI COMMISSION TRUST FUND****Program and Financing** (in millions of dollars)

| Identification code 95-8056-0-7-452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0101 Direct program activity .....                    | 7           | 7       | 7         |
| 0900 Total new obligations (object class 41.0) .....  | 7           | 7       | 7         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 7           | 7       | 7         |
| 1160 Appropriation, discretionary (total) .....       | 7           | 7       | 7         |
| 1930 Total budgetary resources available .....        | 7           | 7       | 7         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 11          | 10      | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 7           | 7       | 7         |
| 3020 Outlays (gross) .....                            | -8          | -16     | -7        |
| 3050 Unpaid obligations, end of year .....            | 10          | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 11          | 10      | 1         |
| 3200 Obligated balance, end of year .....             | 10          | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 7           | 7       | 7         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 1           | 7       | 7         |
| 4011 Outlays from discretionary balances .....        | 7           | 9       | .....     |
| 4020 Outlays, gross (total) .....                     | 8           | 16      | 7         |
| 4180 Budget authority, net (total) .....              | 7           | 7       | 7         |
| 4190 Outlays, net (total) .....                       | 8           | 16      | 7         |

The Omnibus Consolidated and Emergency Supplemental Appropriations Act of 1999 (P.L. 105-277) established the annual transfer of interest from the investment of the Trans-Alaska Pipeline Liability Fund balance into the Oil Spill Liability Trust Fund for subsequent transfer to the Denali Commission. As required by the Act, the Denali Commission, in consultation with the Coast Guard, developed a program to use these funds to repair or replace bulk fuel storage tanks in Alaska that are not in compliance with Federal law, including the Oil Pollution Act of 1990, or State law.

**DISTRICT OF COLUMBIA****DISTRICT OF COLUMBIA COURTS****Federal Funds****FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS**

*For salaries and expenses for the District of Columbia Courts, \$222,667,316 to be allocated as follows: for the District of Columbia Court of Appeals, \$13,374,726, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Superior Court, \$112,566,340, of which not to exceed \$1,500 is for official reception and representation expenses; for the District of Columbia Court System, \$68,987,250, of which not to exceed \$1,500 is for official reception and representation expenses; and \$27,739,000, to remain available until September 30, 2015, for capital improvements for District of Columbia courthouse facilities: Provided, That funds made available for capital improvements shall be expended consistent with the District of Columbia Courts master plan study and building evaluation report: Provided further, That, notwithstanding any other provision of law, all amounts under this heading shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for salaries and expenses of other Federal agencies: Provided further, That, 30 days after providing written notice to the Committees on Appropriations of the House of Representatives and the Senate, the District of Columbia Courts may reallocate not more than \$3,000,000 of the funds provided under this heading among the items and entities funded under this heading but no such allocation shall be increased by more than 4 percent.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95-1712-0-1-806                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                             |             |         |           |
| 0001 Court of Appeals .....   | 11          | 13      | 13        |
| 0002 Superior Court .....   | 115         | 116     | 114       |
| 0003 Court system .....   | 66          | 67      | 69        |
| 0004 Capital improvements .....                                     | 52          | 41      | 31        |
| 0900 Total new obligations .....                                    | 244         | 237     | 227       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....               | 3           | 12      | 10        |
| 1021 Recoveries of prior year unpaid obligations .....              | 12          | .....   | .....     |
| 1050 Unobligated balance (total) .....                              | 15          | 12      | 10        |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                      |             |         |           |
| 1100 Appropriation .....  | 233         | 234     | 223       |
| 1121 Appropriations transferred from other accts [95-1736] .....    | 10          | .....   | .....     |
| 1160 Appropriation, discretionary (total) .....                     | 243         | 234     | 223       |
| 1700 Spending authority from offsetting collections, discretionary: |             |         |           |
| Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                 | 244         | 235     | 224       |
| 1930 Total budgetary resources available .....                      | 259         | 247     | 234       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 1940 Unobligated balance expiring .....                             | -3          | .....   | .....     |
| 1941 Unexpired unobligated balance, end of year .....               | 12          | 10      | 7         |
| <b>Change in obligated balance:</b>                                 |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....               | 166         | 136     | 161       |
| 3010 Obligations incurred, unexpired accounts .....                 | 244         | 237     | 227       |
| 3011 Obligations incurred, expired accounts .....                   | 3           | .....   | .....     |
| 3020 Outlays (gross) .....  | -263        | -212    | -230      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....   | -12         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....     | -2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                          | 136         | 161     | 158       |
| Memorandum (non-add) entries:                                       |             |         |           |
| 3100 Obligated balance, start of year .....                         | 166         | 136     | 161       |
| 3200 Obligated balance, end of year .....                           | 136         | 161     | 158       |

FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA COURTS—Continued  
Program and Financing—Continued

| Identification code 95–1712–0–1–806                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>                      |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                             | 244         | 235     | 224       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....            | 175         | 148     | 141       |
| 4011 Outlays from discretionary balances .....                 | 88          | 64      | 89        |
| 4020 Outlays, gross (total) .....                              | 263         | 212     | 230       |
| Offsets against gross budget authority and outlays:            |             |         |           |
| Offsetting collections (collected) from:                       |             |         |           |
| 4033 Policy Program [Text] .....                               | –3          | –1      | –1        |
| Additional offsets against gross budget authority only:        |             |         |           |
| 4052 Offsetting collections credited to expired accounts ..... | 2           |         |           |
| 4070 Budget authority, net (discretionary) .....               | 243         | 234     | 223       |
| 4080 Outlays, net (discretionary) .....                        | 260         | 211     | 229       |
| 4180 Budget authority, net (total) .....                       | 243         | 234     | 223       |
| 4190 Outlays, net (total) .....                                | 260         | 211     | 229       |

Under the National Capital Revitalization and Self-Government Improvement Act of 1997, the Federal Government is required to finance the District of Columbia Courts. This Federal payment to the District of Columbia Courts funds the operations of the District of Columbia Court of Appeals, Superior Court, the Court System, and the Capital Improvement Program. Capital improvements include completing the permanent home for the D.C. Family Court in the Moultrie Courthouse, as well as modernization and renovation work on several court buildings in Judiciary Square.

The 2014 Budget provides resources to support the Courts' core functions, as well as resources for the Capital Improvement Program to maintain court facilities.

By law, the annual budget includes estimates of the expenditures for the operations of the District of Columbia Courts prepared by the Joint Committee on Judicial Administration in the District of Columbia and the President's recommendation for funding the District of Columbia Courts. The President's recommended level of \$223 million includes: \$195 million for District of Columbia Court of Appeals, Superior Court of the District of Columbia, and the District of Columbia Court System operations and \$28 million for capital improvements for District courthouse facilities. Under a separate transmittal to the Congress, the District of Columbia Courts are requesting \$342 million: \$199 million for operations and \$143 million for capital improvements.

## Object Classification (in millions of dollars)

| Identification code 95–1712–0–1–806                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.8 Personnel compensation: Special personal services payments ..... | 108         | 112     | 114       |
| 12.1 Civilian personnel benefits .....                                | 27          | 27      | 27        |
| 21.0 Travel and transportation of persons .....                       | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                                  | 5           | 6       | 5         |
| 23.3 Communications, utilities, and miscellaneous charges .....       | 8           | 9       | 9         |
| 25.1 Advisory and assistance services .....                           | 33          | 19      | 18        |
| 25.2 Other services from non-Federal sources .....                    | 18          | 9       | 8         |
| 25.3 Other goods and services from Federal sources .....              | 1           | 1       | 1         |
| 25.4 Operation and maintenance of facilities .....                    | 6           | 4       | 4         |
| 25.7 Operation and maintenance of equipment .....                     | 5           | 2       | 2         |
| 26.0 Supplies and materials .....                                     | 3           | 2       | 2         |
| 31.0 Equipment .....  | 7           | 3       | 4         |
| 32.0 Land and structures .....  | 21          | 41      | 31        |
| 99.0 Direct obligations .....   | 243         | 236     | 226       |
| 99.0 Reimbursable obligations .....                                   | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                      | 244         | 237     | 227       |

## FEDERAL PAYMENT FOR DEFENDER SERVICES IN DISTRICT OF COLUMBIA COURTS

For payments authorized under section 11–2604 and section 11–2605, D.C. Official Code (relating to representation provided under the District of Columbia Criminal Justice Act), payments for counsel appointed in proceedings in the Family Court of the Superior Court of the District of Columbia under chapter 23 of title 16, D.C. Official Code, or pursuant to contractual agreements to provide guardian ad litem representation, training, technical assistance, and such other services as are necessary to improve the quality of guardian ad litem representation, payments for counsel appointed in adoption proceedings under chapter 3 of title 16, D.C. Official Code, and payments authorized under section 21–2060, D.C. Official Code (relating to services provided under the District of Columbia Guardianship, Protective Proceedings, and Durable Power of Attorney Act of 1986), \$49,890,000, to remain available until expended: Provided, That funds provided under this heading shall be administered by the Joint Committee on Judicial Administration in the District of Columbia: Provided further, That, notwithstanding any other provision of law, this appropriation shall be apportioned quarterly by the Office of Management and Budget and obligated and expended in the same manner as funds appropriated for expenses of other Federal agencies.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–1736–0–1–806                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Direct program activity .....                             | 56          | 55      | 50        |
| 0900 Total new obligations (object class 25.2) .....           | 56          | 55      | 50        |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          | 15          | 4       | 4         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1100 Appropriation .....                                       | 55          | 55      | 50        |
| 1120 Appropriations transferred to other accts [95–1712] ..... | –10         |         |           |
| 1160 Appropriation, discretionary (total) .....                | 45          | 55      | 50        |
| 1930 Total budgetary resources available .....                 | 60          | 59      | 54        |
| Memorandum (non-add) entries:                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....          | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                            |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....          | 26          | 31      | 24        |
| 3010 Obligations incurred, unexpired accounts .....            | 56          | 55      | 50        |
| 3020 Outlays (gross) .....                                     | –51         | –62     | –59       |
| 3050 Unpaid obligations, end of year .....                     | 31          | 24      | 15        |
| Memorandum (non-add) entries:                                  |             |         |           |
| 3100 Obligated balance, start of year .....                    | 26          | 31      | 24        |
| 3200 Obligated balance, end of year .....                      | 31          | 24      | 15        |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 45 | 55 | 50 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 35 | 41 | 38 |
| 4011 Outlays from discretionary balances .....      | 16 | 21 | 21 |
| 4020 Outlays, gross (total) .....                   | 51 | 62 | 59 |
| 4180 Budget authority, net (total) .....            | 45 | 55 | 50 |
| 4190 Outlays, net (total) .....                     | 51 | 62 | 59 |

The District of Columbia Courts appoint and compensate attorneys to represent persons who are financially unable to obtain such representation under three Defender Services programs: the Criminal Justice Act (CJA) program, which provides court-appointed attorneys to indigent persons who are charged with criminal offenses; the Counsel for Child Abuse and Neglect (CCAN) program, which provides court-appointed attorneys for family proceedings in which child neglect is alleged, or where the

termination of the parent-child relationship is under consideration and the parent, guardian, or custodian of the child is indigent; the Guardianship program, which provides for the representation and protection of mentally incapacitated individuals and minors whose parents are deceased. In addition to legal representation, these programs provide indigent persons with services such as transcripts of court proceedings, expert witness testimony, foreign and sign language interpretation, and investigations, and genetic testing. The President's recommended funding level for Defender Services is \$50 million. Under a separate transmittal to the Congress, the Courts are also requesting \$50 million for Defender Services.

#### CRIME VICTIMS COMPENSATION FUND

The D.C. Superior Court has a Crime Victims Compensation Program that assists innocent victims of violent crime and their families with crime-related expenses such as funeral and burial costs, medical and mental health costs, lost wages, loss of support and services, clean-up of a crime scene, and the cost of temporary shelter for victims of domestic violence or arson. Through the services of a victim advocate, crime victims receive assistance in filing applications; locating victim service programs, support groups, or mental health counselors; and handling quality of life issues that arise after victimization. Monies in the fund consist of assessments imposed upon criminal defendants, a grant from the U.S. Department of Justice Office for Victims of Crime, and a portion of the general revenue of the court. Of any unobligated balances remaining in the Fund at the end of each year, 50 percent is made available to the D.C. Courts for direct compensation to crime victims and 50 percent is transferred to the District of Columbia for outreach activities.

#### FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

##### Program and Financing (in millions of dollars)

| Identification code 20-1713-0-1-752                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Payment to Judicial Retirement Fund .....       | 10          | 10      | 9         |
| 0900 Total new obligations (object class 42.0) ..... | 10          | 10      | 9         |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 10          | 10      | 9         |
| 1260 Appropriations, mandatory (total) .....         | 10          | 10      | 9         |
| 1930 Total budgetary resources available .....       | 10          | 10      | 9         |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 10          | 10      | 9         |
| 3020 Outlays (gross) .....                           | -10         | -10     | -9        |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 10          | 10      | 9         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 10          | 10      | 9         |
| 4180 Budget authority, net (total) .....             | 10          | 10      | 9         |
| 4190 Outlays, net (total) .....                      | 10          | 10      | 9         |

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year, beginning in 1998, from the General Fund of the Treasury into the District of Columbia Judicial Retirement and Survivors An-

nuity Fund (Judicial Fund). Annual payments consist of amounts necessary to amortize the original unfunded liability over 30 years, the net experienced gain or loss over 10 years, and any other changes in actuarial liability over 20 years; and amounts necessary to fund the normal cost and covered administrative expenses for the year. This account receives the annual payments from the General Fund and immediately transfers those amounts to the Judicial Fund through an expenditure transfer.

#### Trust Funds

#### DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY FUND

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 20-8212-0-7-602  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 130         | 134     | 136       |
| <b>Receipts:</b>   |             |         |           |
| 0200 Deductions from Employees Salaries, District of Columbia Judicial Retirement and Survivors Annuity Fund ..... | 1           | 1       | 1         |
| 0240 Earnings on Investments, District of Columbia Judicial Retirement and Survivors Annuity Fund .....            | 4           | 3       | 3         |
| 0241 Federal Payments, D.C. Judicial Retirement and Survivors Annuity .....  | 10          | 10      | 9         |
| 0299 Total receipts and collections .....  | 15          | 14      | 13        |
| 0400 Total: Balances and collections .....   | 145         | 148     | 149       |
| <b>Appropriations:</b>   |             |         |           |
| 0500 District of Columbia Judicial Retirement and Survivors Annuity Fund .....                                     | -15         | -14     | -13       |
| 0501 District of Columbia Judicial Retirement and Survivors Annuity Fund .....                                     | 4           | 2       | 1         |
| 0599 Total appropriations .....  | -11         | -12     | -12       |
| 0799 Balance, end of year .....  | 134         | 136     | 137       |

##### Program and Financing (in millions of dollars)

| Identification code 20-8212-0-7-602                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Retirement payments .....                                   | 10          | 11      | 11        |
| 0002 Administrative Costs .....                                  | 1           | 1       | 1         |
| 0900 Total new obligations .....                                 | 11          | 12      | 12        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 15          | 14      | 13        |
| 1235 Appropriations precluded from obligation .....              | -4          | -2      | -1        |
| 1260 Appropriations, mandatory (total) .....                     | 11          | 12      | 12        |
| 1930 Total budgetary resources available .....                   | 11          | 12      | 12        |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....              | 11          | 12      | 12        |
| 3020 Outlays (gross) .....                                       | -11         | -12     | -12       |
| 3050 Unpaid obligations, end of year .....                       | 1           | 1       | 1         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 3100 Obligated balance, start of year .....                      | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....                        | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 11          | 12      | 12        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 11          | 12      | 12        |
| 4180 Budget authority, net (total) .....                         | 11          | 12      | 12        |
| 4190 Outlays, net (total) .....                                  | 11          | 12      | 12        |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 132         | 136     | 140       |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 136         | 140     | 141       |

DISTRICT OF COLUMBIA JUDICIAL RETIREMENT AND SURVIVORS ANNUITY  
FUND—Continued

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended (the Act), established the District of Columbia Judicial Retirement and Survivors Annuity Fund (Judicial Fund) to pay retirement benefits for District of Columbia judges and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out the responsibilities regarding such retirement benefits. The Judicial Fund consists of: amounts contributed by the judges; proceeds of accumulated pension assets transferred from the District of Columbia and liquidated, pursuant to the Act; income earned from the investment of the assets in public debt securities; and amounts appropriated to the Fund.

## Object Classification (in millions of dollars)

| Identification code 20–8212–0–7–602                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 42.0 Payments to annuitants .....                  | 10          | 11      | 11        |
| 99.9 Total new obligations .....                   | 11          | 12      | 12        |

## Employment Summary

| Identification code 20–8212–0–7–602                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           | 2       | 2         |

## DISTRICT OF COLUMBIA GENERAL AND SPECIAL PAYMENTS

The District of Columbia annually receives direct Federal payments for a number of local programs in recognition of the District's unique status as the seat of the Federal Government. These General and Special Payments are separate from and in addition to the District's local budget, which is funded through local revenues. Consistent with the principle of home rule, it is the Administration's view that the District's local budget should be authorized to take effect without a separate annual Federal appropriations bill. The Administration will work with Congress and the Mayor to provide the District local budget autonomy, as proposed in the Budget.

## Federal Funds

## FEDERAL PAYMENT FOR RESIDENT TUITION SUPPORT

*For a Federal payment to the District of Columbia, to be deposited into a dedicated account, for a nationwide program to be administered by the Mayor, for District of Columbia resident tuition support, \$35,000,000, to remain available until expended: Provided, That such funds, including any interest accrued thereon, may be used on behalf of eligible District of Columbia residents to pay an amount based upon the difference between in-State and out-of-State tuition at public institutions of higher education, or to pay up to \$2,500 each year at eligible private institutions of higher education: Provided further, That the awarding of such funds may be prioritized on the basis of a resident's academic merit, the income and need of eligible students and such other factors as may be authorized: Provided further, That the District of Columbia government shall maintain a dedicated account for the Resident Tuition Support Program that shall consist of the Federal funds appropriated to the Program in this Act and any subsequent appropriations, any unobligated balances from prior fiscal years, and any interest earned in this or any fiscal year: Provided further, That the account shall be under the control of the District of Columbia Chief Financial Officer, who shall use those funds solely for the purposes of carrying out the Resident Tuition Support Program: Provided further, That the Office of the Chief Financial Officer shall provide a quarterly financial report to the Committees on Appropriations*

*of the House of Representatives and the Senate for these funds showing, by object class, the expenditures made and the purpose therefor.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 20–1736–0–1–502                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 30          | 30      | 35        |
| 0900 Total new obligations (object class 41.0) ..... | 30          | 30      | 35        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 30          | 30      | 35        |
| 1160 Appropriation, discretionary (total) .....      | 30          | 30      | 35        |
| 1930 Total budgetary resources available .....       | 30          | 30      | 35        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 30          | 30      | 35        |
| 3020 Outlays (gross) .....                           | –30         | –30     | –35       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 30          | 30      | 35        |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 30          | 30      | 35        |
| 4180 Budget authority, net (total) .....             | 30          | 30      | 35        |
| 4190 Outlays, net (total) .....                      | 30          | 30      | 35        |

The D.C. Tuition Assistance Grant program enables students from the District of Columbia to attend eligible public universities and colleges nationwide at in-state tuition rates. The program also provides grants for students to attend private institutions in the D.C. metropolitan area or private historically Black colleges and universities nationwide, as well as public 2-year community colleges. To date, the Tuition Assistance Grant program has assisted over 19,635 students. The 2014 Budget changes the annual household income threshold for program eligibility from \$1,000,000 to \$450,000 starting in the 2014–2015 school year. This change will not affect current grant recipients whose family annual income exceeds \$450,000. These students will continue to be eligible for the grants until graduation.

## FEDERAL PAYMENT FOR SCHOOL IMPROVEMENT

*For a Federal payment for a school improvement program in the District of Columbia, \$52,200,000, to remain available until expended, as authorized under the Scholarship for Opportunity and Results Act (division C of Public Law 112–10), to be allocated as follows: for the District of Columbia Public Schools, \$30,000,000 to improve public school education in the District of Columbia; for the State Education Office, \$20,000,000 to expand quality public charter schools in the District of Columbia; and for the activities specified in sections 3007(b)-3007(d) and 3009 of the Act, \$2,200,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 20–1817–0–1–501                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Department of Education allocation account ..... | 20          | 20      | 2         |
| 0002 DC public schools .....                          | 20          | 20      | 30        |

|   |   |     |     |     |
|---|---|-----|-----|-----|
| 0003                                      | DC public charter schools .....                 | 20  | 20  | 20  |
| 0900                                      | Total new obligations (object class 41.0) ..... | 60  | 60  | 52  |
| <b>Budgetary Resources:</b>               |   |     |     |     |
| Budget authority:                         |   |     |     |     |
| Appropriations, discretionary:            |   |     |     |     |
| 1100                                      | Appropriation .....                             | 60  | 60  | 52  |
| 1160                                      | Appropriation, discretionary (total) .....      | 60  | 60  | 52  |
| 1930                                      | Total budgetary resources available .....       | 60  | 60  | 52  |
| <b>Change in obligated balance:</b>       |   |     |     |     |
| Unpaid obligations:                       |   |     |     |     |
| 3010                                      | Obligations incurred, unexpired accounts .....  | 60  | 60  | 52  |
| 3020                                      | Outlays (gross) .....                           | -60 | -60 | -52 |
| <b>Budget authority and outlays, net:</b> |   |     |     |     |
| Discretionary:                            |   |     |     |     |
| 4000                                      | Budget authority, gross .....                   | 60  | 60  | 52  |
| Outlays, gross:                           |   |     |     |     |
| 4010                                      | Outlays from new discretionary authority .....  | 60  | 60  | 52  |
| 4180                                      | Budget authority, net (total) .....             | 60  | 60  | 52  |
| 4190                                      | Outlays, net (total) .....                      | 60  | 60  | 52  |

The 2014 Budget provides \$52.2 million to support kindergarten through high school education in the District of Columbia. This includes \$30 million for D.C. public schools for continued support of the District's efforts to transform its public education system into an innovative and high-achieving system that could be used as a model for urban school district reform across the nation. The Budget provides \$20 million for D.C. charter schools to support facilities and other unmet needs. The Budget provides \$2.2 million for the D.C. Opportunity Scholarship program, a private school voucher program re-authorized in 2011, to carry-out the evaluation and administration activities of the program. Between this request and the amount carried forward from prior fiscal years, the program is expected to have sufficient funding to meet costs through the 2014–2015 school year.

#### FEDERAL SUPPORT FOR ECONOMIC DEVELOPMENT AND MANAGEMENT REFORMS IN THE DISTRICT

##### FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA WATER AND SEWER AUTHORITY

*For a Federal payment to the District of Columbia Water and Sewer Authority, \$14,500,000, to remain available until expended, to continue implementation of the Combined Sewer Overflow Long-Term Plan: Provided, That the District of Columbia Water and Sewer Authority provides a 100 percent match for this payment.*

##### FEDERAL PAYMENT TO THE CRIMINAL JUSTICE COORDINATING COUNCIL

*For a Federal payment to the Criminal Justice Coordinating Council, \$1,800,000, to remain available until expended, to support initiatives related to the coordination of Federal and local criminal justice resources in the District of Columbia.*

##### FEDERAL PAYMENT FOR JUDICIAL COMMISSIONS

*For a Federal payment, to remain available until September 30, 2015, to the Commission on Judicial Disabilities and Tenure, \$295,000, and for the Judicial Nomination Commission, \$205,000.*

##### FEDERAL PAYMENT FOR THE DISTRICT OF COLUMBIA NATIONAL GUARD

*For a Federal payment to the District of Columbia National Guard, \$500,000, to remain available until expended.*

##### FEDERAL PAYMENT FOR TESTING AND TREATMENT OF HIV/AIDS

*For a Federal payment to the District of Columbia for the testing of individuals for, and the treatment of individuals with, human immunodeficiency virus and acquired immunodeficiency syndrome in the District of Columbia, \$5,000,000.*

#### FEDERAL PAYMENT FOR REDEVELOPMENT OF THE ST. ELIZABETHS HOSPITAL CAMPUS

*For a Federal Payment to the District of Columbia, \$9,800,000, for activities to support redevelopment efforts at the site of the former St. Elizabeths Hospital in the District of Columbia.*

#### FEDERAL PAYMENT FOR D.C. COMMISSION ON THE ARTS AND HUMANITIES GRANTS

*For a Federal payment to the District of Columbia Commission on the Arts and Humanities, \$1,000,000, to fund competitively-awarded grants for non-profit fine and performing arts organizations based in and primarily serving the District of Columbia.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 20–1707–0–1–999                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Water and Sewer Authority .....                 | 15          | 15      | 14        |
| 0002 Criminal Justice Coordinating Council .....     |             | 1       | 2         |
| 0003 DC National Guard .....                         | 2           | 1       | 1         |
| 0004 St. Elizabeths .....                            |             |         | 9         |
| 0005 Arts and Cultural Affairs Grants .....          |             |         | 1         |
| 0019 Judicial Commissions .....                      | 1           | 1       | 1         |
| 0025 HIV/AIDS Prevention .....                       | 5           | 5       | 5         |
| 0900 Total new obligations (object class 41.0) ..... | 23          | 23      | 33        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 23          | 23      | 33        |
| 1160 Appropriation, discretionary (total) .....      | 23          | 23      | 33        |
| 1930 Total budgetary resources available .....       | 23          | 23      | 33        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 23          | 23      | 33        |
| 3020 Outlays (gross) .....                           | -23         | -23     | -33       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 23          | 23      | 33        |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 23          | 23      | 33        |
| 4180 Budget authority, net (total) .....             | 23          | 23      | 33        |
| 4190 Outlays, net (total) .....                      | 23          | 23      | 33        |

The Budget includes \$9.8 million for infrastructure improvements related to redevelopment of the St. Elizabeths East Campus. The Budget provides \$5 million to fund the D.C. Department of Health's continued efforts to prevent the spread of HIV/AIDS in the District. This funding will allow the District to focus on service saturation in areas of combined high risk and high poverty in order to ensure that ward-level counseling and testing, prevention, and treatment services are readily available and fully utilized. Funding will also be used to bolster social marketing and outreach campaigns for these important public health programs. The Budget includes \$14.5 million for DC Water to support critical infrastructure needs and \$1 million for grants to D.C. based fine and performing arts organizations.

#### FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA

*For a Federal payment of necessary expenses, as determined by the Mayor of the District of Columbia in written consultation with the elected county or city officials of surrounding jurisdictions, \$14,900,000, to remain available until expended, for the costs of providing public safety at events*

**FEDERAL PAYMENT FOR EMERGENCY PLANNING AND SECURITY COSTS IN THE DISTRICT OF COLUMBIA—Continued**

*related to the presence of the national capital in the District of Columbia, including support requested by the Director of the United States Secret Service Division in carrying out protective duties under the direction of the Secretary of Homeland Security, and for the costs of providing support to respond to immediate and specific terrorist threats or attacks in the District of Columbia or surrounding jurisdictions.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 20–1771–0–1–806                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |             |         |           |
| 0001 Emergency Planning Fund .....                         | 15          | 15      | 15        |
| 0002 Planning for the 57th Presidential Inauguration ..... |             | 10      |           |
| 0900 Total new obligations (object class 41.0) .....       | 15          | 25      | 15        |
| <b>Budgetary Resources:</b>                                |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                             |             |         |           |
| 1100 Appropriation .....                                   | 15          | 25      | 15        |
| 1160 Appropriation, discretionary (total) .....            | 15          | 25      | 15        |
| 1930 Total budgetary resources available .....             | 15          | 25      | 15        |
| <b>Change in obligated balance:</b>                        |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....        | 15          | 25      | 15        |
| 3020 Outlays (gross) .....                                 | –15         | –25     | –15       |
| <b>Budget authority and outlays, net:</b>                  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                         | 15          | 25      | 15        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....        | 15          | 25      | 15        |
| 4180 Budget authority, net (total) .....                   | 15          | 25      | 15        |
| 4190 Outlays, net (total) .....                            | 15          | 25      | 15        |

The 2014 Budget includes \$14.9 million for emergency planning and security costs related to the presence of the Federal Government in the District of Columbia, including costs associated with providing support requested by the Director of the U.S. Secret Service.

**FEDERAL PAYMENT TO THE DISTRICT OF COLUMBIA PENSION FUND****Program and Financing** (in millions of dollars)

| Identification code 20–1714–0–1–601                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Payment to Federal Pension Fund .....           | 482         | 502     | 501       |
| 0900 Total new obligations (object class 42.0) ..... | 482         | 502     | 501       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 482         | 502     | 501       |
| 1260 Appropriations, mandatory (total) .....         | 482         | 502     | 501       |
| 1930 Total budgetary resources available .....       | 482         | 502     | 501       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 482         | 502     | 501       |
| 3020 Outlays (gross) .....                           | –482        | –502    | –501      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 482         | 502     | 501       |

|   |     |     |     |
|---|-----|-----|-----|
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 482 | 502 | 501 |
| 4180 Budget authority, net (total) .....        | 482 | 502 | 501 |
| 4190 Outlays, net (total) .....                 | 482 | 502 | 501 |

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, requires the Secretary of the Treasury to make payments at the end of each fiscal year from the General Fund of the Treasury into the District of Columbia Federal Pension Fund. This account receives the annual payments from the General Fund and immediately transfers those amounts to the District of Columbia Federal Pension Fund. Annual payments consist of amounts necessary to amortize the original unfunded liability over 30 years, the net experienced gain or loss over 10 years, and any other changes in actuarial liability over 20 years; and amounts necessary to fund covered administrative expenses for the year.

**DISTRICT OF COLUMBIA FEDERAL PENSION FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 20–5511–0–2–601                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                           | 3,591       | 3,571   | 3,571     |
| <b>Receipts:</b>  |             |         |           |
| 0240 Federal Contribution, DC Federal Pension Fund .....    | 482         | 502     | 501       |
| 0241 Earnings on Investments, DC Federal Pension Fund ..... | 52          | 74      | 83        |
| 0299 Total receipts and collections .....                   | 534         | 576     | 584       |
| 0400 Total: Balances and collections .....                  | 4,125       | 4,147   | 4,155     |
| <b>Appropriations:</b>                                      |             |         |           |
| 0500 District of Columbia Federal Pension Fund .....        | –534        | –576    | –584      |
| 0501 District of Columbia Federal Pension Fund .....        | –20         |         |           |
| 0599 Total appropriations .....                             | –554        | –576    | –584      |
| 0799 Balance, end of year .....                             | 3,571       | 3,571   | 3,571     |

**Program and Financing** (in millions of dollars)

| Identification code 20–5511–0–2–601                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Retirement payments .....                                    | 542         | 542     | 547       |
| 0002 Administrative costs .....                                   | 17          | 17      | 17        |
| 0900 Total new obligations .....                                  | 559         | 559     | 564       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             |             |         | 17        |
| 1021 Recoveries of prior year unpaid obligations .....            | 5           |         |           |
| 1050 Unobligated balance (total) .....                            | 5           |         | 17        |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special or trust fund) .....                  | 534         | 576     | 584       |
| 1203 Appropriation (previously unavailable) .....                 | 20          |         |           |
| 1260 Appropriations, mandatory (total) .....                      | 554         | 576     | 584       |
| 1900 Budget authority (total) .....                               | 554         | 576     | 584       |
| 1930 Total budgetary resources available .....                    | 559         | 576     | 601       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             |             | 17      | 37        |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 60          | 62      | 3         |
| 3010 Obligations incurred, unexpired accounts .....               | 559         | 559     | 564       |
| 3020 Outlays (gross) .....  | –552        | –618    | –564      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –5          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 62          | 3       | 3         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 60          | 62      | 3         |
| 3200 Obligated balance, end of year .....                         | 62          | 3       | 3         |

| Budget authority and outlays, net: |   |       |       |       |
|------------------------------------|---|-------|-------|-------|
| Mandatory:                         |   |       |       |       |
| 4090                               | Budget authority, gross .....                               | 554   | 576   | 584   |
| Outlays, gross:                    |   |       |       |       |
| 4100                               | Outlays from new mandatory authority .....                  | 550   | 559   | 564   |
| 4101                               | Outlays from mandatory balances .....                       | 2     | 59    | ..... |
| 4110                               | Outlays, gross (total) .....                                | 552   | 618   | 564   |
| 4180                               | Budget authority, net (total) .....                         | 554   | 576   | 584   |
| 4190                               | Outlays, net (total) .....                                  | 552   | 618   | 564   |
| Memorandum (non-add) entries:      |   |       |       |       |
| 5000                               | Total investments, SOY: Federal securities: Par value ..... | 3,659 | 3,643 | 3,661 |
| 5001                               | Total investments, EOY: Federal securities: Par value ..... | 3,643 | 3,661 | 3,681 |

The National Capital Revitalization and Self-Government Improvement Act of 1997, as amended, established the District of Columbia Federal Pension Fund to pay retirement benefits for District of Columbia firefighters, police officers, and teachers, and to pay any necessary expenses to administer the Fund or expenses incurred by the Secretary of the Treasury in carrying out his responsibilities regarding such retirement benefits. The District of Columbia Federal Pension Fund consists of: amounts deposited into the Fund; amounts appropriated to the Fund; and income earned from the investment of the assets in public debt securities.

#### Object Classification (in millions of dollars)

| Identification code 20-5511-0-2-601 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 25.2                                | Other services from non-Federal sources .....     | 14          | 14      | 14        |
| 42.0                                | Payments to annuitants .....                      | 542         | 542     | 547       |
| 99.9                                | Total new obligations .....                       | 559         | 559     | 564       |

#### Employment Summary

| Identification code 20-5511-0-2-601                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 20          | 20      | 20        |

### FEDERAL PAYMENT FOR WATER AND SEWER SERVICES

#### Program and Financing (in millions of dollars)

| Identification code 20-4446-0-3-806                        |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                    |   |             |         |           |
| 0801   | Reimbursable program activity .....                           | 51          | 56      | 56        |
| 0900   | Total new obligations (object class 23.3) .....               | 51          | 56      | 56        |
| <b>Budgetary Resources:</b>                                |   |             |         |           |
| Budget authority:  |   |             |         |           |
| Spending authority from offsetting collections, mandatory: |   |             |         |           |
| 1800   | Collected .....   | 50          | 56      | 56        |
| 1801   | Change in uncollected payments, Federal sources .....         | 1           |         |           |
| 1850   | Spending auth from offsetting collections, mand (total) ..... | 51          | 56      | 56        |
| 1930   | Total budgetary resources available .....                     | 51          | 56      | 56        |
| <b>Change in obligated balance:</b>                        |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              |             | 1       | 1         |
| 3010   | Obligations incurred, unexpired accounts .....                | 51          | 56      | 56        |
| 3020   | Outlays (gross) .....   | -50         | -56     | -56       |
| 3050   | Unpaid obligations, end of year .....                         | 1           | 1       | 1         |
| Uncollected payments:                                      |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  |             | -1      | -1        |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | -1          |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -1          | -1      | -1        |

| Budget authority and outlays, net:                      |   |       |       |       |
|---|---|-------|-------|-------|
| Mandatory:  |   |       |       |       |
| 4090  | Budget authority, gross .....                                   | 51    | 56    | 56    |
| Outlays, gross:   |   |       |       |       |
| 4100  | Outlays from new mandatory authority .....                      | 50    | 56    | 56    |
| Offsets against gross budget authority and outlays:     |   |       |       |       |
| Offsetting collections (collected) from:                |   |       |       |       |
| 4120  | Federal sources .....   | ..... | -56   | -56   |
| 4123  | Non-Federal sources .....                                       | -50   | ..... | ..... |
| 4130  | Offsets against gross budget authority and outlays (total) .... | -50   | -56   | -56   |
| Additional offsets against gross budget authority only: |   |       |       |       |
| 4140  | Change in uncollected pymts, Fed sources, unexpired .....       | -1    | ..... | ..... |

The 1990 District of Columbia Appropriations Act established a system "to improve the means by which the District of Columbia (now the D.C. Water and Sewer Authority, DC Water) is paid for water and sanitary sewer services furnished to the Government of the United States or any department, agency, or independent establishment thereof." Each agency is required to pay 25 percent of its estimated yearly bill each quarter by depositing its payment into this account. If an agency fails to pay its obligation on time, the Treasury Department is authorized to pay the full Government-wide bill, making up the difference through a permanent, indefinite appropriation which must then be reimbursed by the appropriate agencies.

#### Object Classification (in millions of dollars)

| Identification code 20-4446-0-3-806 | 2012 actual  | 2013 CR | 2014 est. |    |
|-------------------------------------|--|---------|-----------|----|
| Reimbursable obligations:           |  |         |           |    |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 51      | 56        | 56 |
| 99.0                                | Reimbursable obligations .....                             | 51      | 56        | 56 |

### GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 95-32070 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... |             | 1       | 1         |
| General Fund Offsetting receipts from the public .....  |             | 1       | 1         |

### TITLE VIII—GENERAL PROVISIONS—DISTRICT OF COLUMBIA

(INCLUDING TRANSFER OF FUNDS)

SEC. 801. There are appropriated from the applicable funds of the District of Columbia such sums as may be necessary for making refunds and for the payment of legal settlements or judgments that have been entered against the District of Columbia government.

SEC. 802. None of the Federal funds provided in this Act shall be used for publicity or propaganda purposes or implementation of any policy including boycott designed to support or defeat legislation pending before Congress or any State legislature.

SEC. 803. (a) None of the Federal funds provided under this Act to the agencies funded by this Act, both Federal and District government agencies, that remain available for obligation or expenditure in fiscal year 2014, or provided from any accounts in the Treasury of the United States derived by the collection of fees available to the agencies funded by this Act, shall be available for obligation or expenditures for an agency through a reprogramming of funds which—

- (1) creates new programs;
- (2) eliminates a program, project, or responsibility center;
- (3) establishes or changes allocations specifically denied, limited or increased under this Act;

(4) increases funds or personnel by any means for any program, project, or responsibility center for which funds have been denied or restricted;

(5) re-establishes any program or project previously deferred through reprogramming;

(6) augments any existing program, project, or responsibility center through a reprogramming of funds in excess of \$3,000,000 or 10 percent, whichever is less; or

(7) increases by 20 percent or more personnel assigned to a specific program, project or responsibility center, unless the Committees on Appropriations of the House of Representatives and the Senate are notified in writing 15 days in advance of the reprogramming.

(b) The District of Columbia government is authorized to approve and execute reprogramming and transfer requests of local funds under this title through November 7, 2014.

SEC. 804. None of the Federal funds provided in this Act may be used by the District of Columbia to provide for salaries, expenses, or other costs associated with the offices of United States Senator or United States Representative under section 4(d) of the District of Columbia Statehood Constitutional Convention Initiatives of 1979 (D.C. Law 3-171; D.C. Official Code, sec. 1-123).

SEC. 805. Except as otherwise provided in this section, none of the funds made available by this Act or by any other Act may be used to provide any officer or employee of the District of Columbia with an official vehicle unless the officer or employee uses the vehicle only in the performance of the officer's or employee's official duties. For purposes of this section, the term "official duties" does not include travel between the officer's or employee's residence and workplace, except in the case of—

(1) an officer or employee of the Metropolitan Police Department who resides in the District of Columbia or a District of Columbia government employee as may otherwise be designated by the Chief of the Department;

(2) at the discretion of the Fire Chief, an officer or employee of the District of Columbia Fire and Emergency Medical Services Department who resides in the District of Columbia and is on call 24 hours a day or is otherwise designated by the Fire Chief;

(3) at the discretion of the Director of the Department of Corrections, an officer or employee of the District of Columbia Department of Corrections who resides in the District of Columbia and is on call 24 hours a day or is otherwise designated by the Director;

(4) the Mayor of the District of Columbia; and

(5) the Chairman of the Council of the District of Columbia.

SEC. 806. (a) None of the Federal funds contained in this Act may be used by the District of Columbia Attorney General or any other officer or entity of the District government to provide assistance for any petition drive or civil action which seeks to require Congress to provide for voting representation in Congress for the District of Columbia.

(b) Nothing in this section bars the District of Columbia Attorney General from reviewing or commenting on briefs in private lawsuits, or from consulting with officials of the District government regarding such lawsuits.

SEC. 807. None of the Federal funds contained in this Act may be used to distribute any needle or syringe for the purpose of preventing the spread of blood borne pathogens in any location that has been determined by the local public health or local law enforcement authorities to be inappropriate for such distribution.

SEC. 808. Nothing in this Act may be construed to prevent the Council or Mayor of the District of Columbia from addressing the issue of the provision of contraceptive coverage by health insurance plans, but it is the intent of Congress that any legislation enacted on such issue should include a "conscience clause" which provides exceptions for religious beliefs and moral convictions.

SEC. 809. None of the Federal funds contained in this Act may be used to enact or carry out any law, rule, or regulation to legalize or otherwise reduce penalties associated with the possession, use, or distribution of any schedule I substance under the Controlled Substances Act (21 U.S.C. 801 et seq.) or any tetrahydrocannabinols derivative.

SEC. 810. None of the Federal funds appropriated under this Act shall be expended for any abortion except where the life of the mother would be endangered if the fetus were carried to term or where the pregnancy is the result of an act of rape or incest.

SEC. 811. (a) No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council of the District of Columbia, a revised appropriated funds operating budget in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.42), for all agencies of the District of Columbia government for fiscal year 2014 that is in the total amount of the approved appropriation and that realigns all budgeted data for personal services and other-than-personal services, respectively, with anticipated actual expenditures.

(b) This section shall apply only to an agency for which the Chief Financial Officer for the District of Columbia certifies that a reallocation is required to address unanticipated changes in program requirements.

SEC. 812. No later than 30 calendar days after the date of the enactment of this Act, the Chief Financial Officer for the District of Columbia shall submit to the appropriate committees of Congress, the Mayor, and the Council for the District of Columbia, a revised appropriated funds operating budget for the District of Columbia Public Schools that aligns schools budgets to actual enrollment. The revised appropriated funds budget shall be in the format of the budget that the District of Columbia government submitted pursuant to section 442 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.42).

SEC. 813. (a) Amounts appropriated in this Act as operating funds may be transferred to the District of Columbia's enterprise and capital funds and such amounts, once transferred, shall retain appropriation authority consistent with the provisions of this Act.

(b) The District of Columbia government is authorized to reprogram or transfer for operating expenses any local funds transferred or reprogrammed in this or the four prior fiscal years from operating funds to capital funds, and such amounts, once transferred or reprogrammed, shall retain appropriation authority consistent with the provisions of this Act.

(c) The District of Columbia government may not transfer or reprogram for operating expenses any funds derived from bonds, notes, or other obligations issued for capital projects.

SEC. 814. Except as expressly provided otherwise, any reference to "this Act" contained in this title or in title IV shall be treated as referring only to the provisions of this title or of title IV.

SEC. 815. Section 446 (D.C. Official Code, sec. 1-204.46), is amended—

(a) in the third sentence, to read as follows:

"The Mayor shall submit to the President of the United States for transmission to Congress the portion of the budget so adopted with respect to federal funds and the Mayor shall notify the Speaker of the House of Representatives, and the President of the Senate, as to the portion of the budget so adopted with respect to local funds; provided, that in a control year (as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (D.C. Official Code, sec. 47-393(4)), the Mayor shall submit to the President of the United States for transmission to Congress the budget so adopted."; and

(b) in fifth sentence, by striking "the Mayor shall not transmit any annual budget or amendments or supplements thereto, to the President of the United States" and inserting in lieu thereof, "the Mayor shall not submit to the President of the United States, or, for a fiscal year which is not a control year, notify the Speaker of the House of Representatives and the President of the Senate regarding, any annual budget or amendments or supplements thereto".

SEC. 816. (a) Subpart 1 of part D of title IV of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1-204.41 et seq.) is amended by inserting after section 446B the following new section:

#### "BUDGET AND FISCAL YEAR AUTONOMY"

"Sec. 446C. (a) BUDGET AUTONOMY.—Notwithstanding the fourth sentence of section 446 of the Home Rule Act (D.C. Official Code, sec. 1-204.46), the second and third sentences of section 447 of the Home Rule Act (D.C. Official Code, sec. 1-204.47), section 602(c) of the Home Rule Act (D.C. Official Code, sec. 1-206.02(c)), or sections 816 and 817 of the Financial Services and General Government Appropriations Act, 2009 (D.C. Official Code, secs. 47-369.01 and 47-369.02), upon the enactment by the District of Columbia of the annual budget, or any amendments or supplements thereto, for a fiscal year, officers and employees of the District of Columbia government may obligate and expend



District of Columbia funds and hire employees in accordance with that budget.

"(b) **FISCAL YEAR AUTONOMY.**—Notwithstanding section 441 of the Home Rule Act (D.C. Official Code, sec. 1–204.41), the fiscal year of the District government and any entity of the District government shall commence and end on such dates as may be established by the District of Columbia.

"(c) **EXCEPTION FOR CONTROL YEAR.**—Subsection (a) shall not apply in the case of any fiscal year that is a control year, as defined in section 305(4) of the District of Columbia Financial Responsibility and Management Assistance Act of 1995 (D.C. Official Code, sec. 47–393(4)).

"(d) **EFFECTIVE DATE.**—This section shall apply with respect to fiscal year 2014 and each succeeding fiscal year."

(b) The table of contents of such Act is amended by inserting after the item relating to section 446B the following new item:

"Sec. 446C. Budget and fiscal year autonomy."

**SEC. 817. (a)** If the Attorney General of the District of Columbia enters into a contract with private counsel for the provision of legal services in claims and other legal matters affecting the interests of the District of Columbia and the contract includes a contingency fee arrangement, the District of Columbia may make payments pursuant to such arrangement without regard to whether the funds used for the payments are deposited in accounts of the District of Columbia or provided in an appropriation, notwithstanding any provision of title 31, United States Code, the fourth sentence of section 446 of the District of Columbia Home Rule Act (D.C. Official Code, sec. 1–204.46), or any other District of Columbia law.

(b) Any contract described in subsection (a) shall be subject to the requirements of the Procurement Practices Reform Act of 2010 (D.C. Official Code, sec. 2–351.01 et seq.). The amount of the fee payable for legal services furnished under any such contract may not exceed the fee that counsel engaged in the private practice of law in the District of Columbia typically charges clients for furnishing similar legal services, as determined by the Attorney General of the District of Columbia.

(c) The District of Columbia may not enter into a contingency fee arrangement in a claim or other legal matter seeking the recovery of federal funds.

(d) In this section, a "contingency fee arrangement" means a provision in a contract described in subsection (a) under which the costs, expenses, and fees the private counsel charges for legal services are payable from the amount recovered.

**SEC. 818.** Subparagraph (G) of section 3(c)(2) of the District of Columbia College Access Act of 1999 (Public Law 106–98), as amended, is further amended:

(a) by inserting after "(G)", "(i) for individuals who began an undergraduate course of study prior to school year 2014–2015," and

(b) by inserting the following before the period at the end: "and (ii) for individuals who begin an undergraduate course of study in or after school year 2014–2015, is from a family with a taxable annual income of less than \$450,000. Beginning with school year 2015–2016, the Mayor shall adjust the amounts in clauses (i) and (ii) for inflation, as measured by the percentage increase, if any, from the preceding fiscal year in the consumer Price Index for All Urban Consumers, published by the Bureau of Labor Statistics of the Department of Labor".

## ELECTION ASSISTANCE COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

##### (INCLUDING TRANSFER OF FUNDS)

For necessary expenses to carry out the Help America Vote Act of 2002 (Public Law 107–252), \$11,062,500, of which \$2,750,000 shall be transferred to the National Institute of Standards and Technology for election reform activities authorized under the Help America Vote Act of 2002.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 95–1650–0–1–808                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Election Assistance Commission .....                       | 8           | 9       | 9         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 12          | 12      | 12        |
| 1120 Appropriations transferred to other accts [13–0500] .....  | –3          | –3      | –3        |
| 1160 Appropriation, discretionary (total) .....                 | 9           | 9       | 9         |
| 1930 Total budgetary resources available .....                  | 9           | 9       | 9         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | –1          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 2           | 1       | 2         |
| 3010 Obligations incurred, unexpired accounts .....             | 8           | 9       | 9         |
| 3011 Obligations incurred, expired accounts .....               | 1           |         |           |
| 3020 Outlays (gross) .....                                      | –8          | –8      | –9        |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 1           | 2       | 2         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 2           | 1       | 2         |
| 3200 Obligated balance, end of year .....                       | 1           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 9           | 9       | 9         |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 6           | 7       | 7         |
| 4011 Outlays from discretionary balances .....                  | 2           | 1       | 2         |
| 4020 Outlays, gross (total) .....                               | 8           | 8       | 9         |
| Offsets against gross budget authority and outlays:             |             |         |           |
| Offsetting collections (collected) from:                        |             |         |           |
| 4030 Federal sources .....                                      | –1          |         |           |
| Additional offsets against gross budget authority only:         |             |         |           |
| 4052 Offsetting collections credited to expired accounts .....  | 1           |         |           |
| 4070 Budget authority, net (discretionary) .....                | 9           | 9       | 9         |
| 4080 Outlays, net (discretionary) .....                         | 7           | 8       | 9         |
| 4180 Budget authority, net (total) .....                        | 9           | 9       | 9         |
| 4190 Outlays, net (total) .....                                 | 7           | 8       | 9         |

The Election Assistance Commission is responsible for assisting State and local efforts to enhance election equipment, improve the administration of Federal elections, and meet minimum voting standards established by the Help America Vote Act of 2002 (P.L. 107–252). Of the amounts proposed for 2014, \$2.75 million will be transferred to the National Institute of Standards and Technology to continue its work to support the Technical Guidelines Development Committee in developing a comprehensive set of testing guidelines for voting system hardware and software.

### Object Classification (in millions of dollars)

| Identification code 95–1650–0–1–808                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 4           | 4       | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....        |             | 1       | 1         |
| 23.1 Rental payments to GSA .....                      | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 1           | 1       | 1         |
| 25.5 Research and development contracts .....          | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 8           | 9       | 9         |

### Employment Summary

| Identification code 95–1650–0–1–808                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 32          | 29      | 29        |

## ELECTION REFORM PROGRAMS

## Program and Financing (in millions of dollars)

| Identification code 95–1651–0–1–808                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 1930 Total budgetary resources available .....        | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 30          | 24      | 19        |
| 3020 Outlays (gross) .....                            | –6          | –5      | –5        |
| 3050 Unpaid obligations, end of year .....            | 24          | 19      | 14        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 30          | 24      | 19        |
| 3200 Obligated balance, end of year .....             | 24          | 19      | 14        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 6           | 5       | 5         |
| 4190 Outlays, net (total) .....                       | 6           | 5       | 5         |

The Budget does not provide additional resources for election reform grants to States. The Election Assistance Commission is responsible for distributing grant funding in accordance with the requirements of the Help America Vote Act of 2002, and for auditing the use of grant funding once it has been distributed. To date, the Federal government has provided over \$3.2 billion in support to States for election administration modernization and improvement.

## ELECTION DATA COLLECTION GRANTS

## Program and Financing (in millions of dollars)

| Identification code 95–1652–0–1–808                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 1930 Total budgetary resources available .....        | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 2       | 2         |

## ELECTRIC RELIABILITY ORGANIZATION

## Federal Funds

## ELECTRIC RELIABILITY ORGANIZATION

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95–5522–0–2–276                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                  |             |         |           |
| Receipts:  |             |         |           |
| 0200 Fees, Electric Reliability Organization ..... | 100         | 100     | 100       |
| 0400 Total: Balances and collections .....         | 100         | 100     | 100       |
| Appropriations:                                    |             |         |           |
| 0500 Electric Reliability Organization .....       | –100        | –100    | –100      |
| 0799 Balance, end of year .....                    |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 95–5522–0–2–276     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program activity .....      | 100         | 100     | 100       |

|  |     |     |     |
|--|-----|-----|-----|
| 0900 Total new obligations (object class 25.2) ..... | 100 | 100 | 100 |
|--|-----|-----|-----|

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Budget authority:                                |     |     |     |
| Appropriations, mandatory:                       |     |     |     |
| 1201 Appropriation (special or trust fund) ..... | 100 | 100 | 100 |
| 1260 Appropriations, mandatory (total) .....     | 100 | 100 | 100 |
| 1930 Total budgetary resources available .....   | 100 | 100 | 100 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                 |      |      |      |
| 3010 Obligations incurred, unexpired accounts ..... | 100  | 100  | 100  |
| 3020 Outlays (gross) .....                          | –100 | –100 | –100 |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 100 | 100 | 100 |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 100 | 100 | 100 |
| 4180 Budget authority, net (total) .....        | 100 | 100 | 100 |
| 4190 Outlays, net (total) .....                 | 100 | 100 | 100 |

The Energy Policy Act of 2005 (P.L. 109–58) authorizes the Federal Energy Regulatory Commission (FERC) to certify an Electric Reliability Organization (ERO) to establish and enforce reliability standards for the electric bulk-power system. These standards include requirements for operating existing bulk-power system facilities, including cybersecurity protection, and design of planned additions or modifications to these facilities to provide for reliable operation, but does not include requirements to construct new transmission or generation capacity. On July 20, 2006, FERC certified the North American Electric Reliability Corporation as the ERO. ERO is funded by fees on end users of the bulk-power system. Since the ERO does not report budget data to Treasury, ERO funding is based on estimates.

EQUAL EMPLOYMENT OPPORTUNITY  
COMMISSION

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses of the Equal Employment Opportunity Commission as authorized by title VII of the Civil Rights Act of 1964, the Age Discrimination in Employment Act of 1967, the Equal Pay Act of 1963, the Americans with Disabilities Act of 1990, Section 501 of the Rehabilitation Act of 1973, the Civil Rights Act of 1991, the Genetic Information Non-Discrimination Act (GINA) of 2008 (Public Law 110–233), the ADA Amendments Act of 2008 (Public Law 110–325), and the Lilly Ledbetter Fair Pay Act of 2009 (Public Law 111–2), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); nonmonetary awards to private citizens; and up to \$29,500,000 for payments to State and local enforcement agencies for authorized services to the Commission, \$372,923,000: Provided, That the Commission is authorized to make available for official reception and representation expenses not to exceed \$2,250 from available funds: Provided further, That the Chair is authorized to accept and use any gift or donation to carry out the work of the Commission.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 45–0100–0–1–751     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Private sector .....               | 360         | 292     | 302       |
| 0002 Federal sector .....               |             | 40      | 41        |
| 0003 State and local .....              |             | 30      | 30        |

|   |  |      |      |      |
|---|--|------|------|------|
| 0900  | Total new obligations .....                                | 360  | 362  | 373  |
| <b>Budgetary Resources:</b>                             |  |      |      |      |
| Budget authority:                                       |  |      |      |      |
| Appropriations, discretionary:                          |  |      |      |      |
| 1100  | Appropriation .....  | 360  | 362  | 373  |
| 1160  | Appropriation, discretionary (total) .....                 | 360  | 362  | 373  |
| 1930  | Total budgetary resources available .....                  | 360  | 362  | 373  |
| <b>Change in obligated balance:</b>                     |  |      |      |      |
| Unpaid obligations:                                     |  |      |      |      |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....           | 42   | 42   | 47   |
| 3010  | Obligations incurred, unexpired accounts .....             | 360  | 362  | 373  |
| 3011  | Obligations incurred, expired accounts .....               | 4    |      |      |
| 3020  | Outlays (gross) .....                                      | -360 | -357 | -372 |
| 3041  | Recoveries of prior year unpaid obligations, expired ..... | -4   |      |      |
| 3050  | Unpaid obligations, end of year .....                      | 42   | 47   | 48   |
| Memorandum (non-add) entries:                           |  |      |      |      |
| 3100  | Obligated balance, start of year .....                     | 42   | 42   | 47   |
| 3200  | Obligated balance, end of year .....                       | 42   | 47   | 48   |
| <b>Budget authority and outlays, net:</b>               |  |      |      |      |
| Discretionary:  |  |      |      |      |
| 4000  | Budget authority, gross .....                              | 360  | 362  | 373  |
| Outlays, gross:   |  |      |      |      |
| 4010  | Outlays from new discretionary authority .....             | 327  | 315  | 325  |
| 4011  | Outlays from discretionary balances .....                  | 33   | 42   | 47   |
| 4020  | Outlays, gross (total) .....                               | 360  | 357  | 372  |
| Offsets against gross budget authority and outlays:     |  |      |      |      |
| Offsetting collections (collected) from:                |  |      |      |      |
| 4033  | Non-Federal sources .....                                  | -1   |      |      |
| Additional offsets against gross budget authority only: |  |      |      |      |
| 4052  | Offsetting collections credited to expired accounts .....  | 1    |      |      |
| 4070  | Budget authority, net (discretionary) .....                | 360  | 362  | 373  |
| 4080  | Outlays, net (discretionary) .....                         | 359  | 357  | 372  |
| 4180  | Budget authority, net (total) .....                        | 360  | 362  | 373  |
| 4190  | Outlays, net (total) .....                                 | 359  | 357  | 372  |

The Equal Employment Opportunity Commission (EEOC) is the Federal agency responsible for enforcement of: Title VII of the Civil Rights Act of 1964, as amended; the Age Discrimination in Employment Act of 1967; the Equal Pay Act of 1963; the Americans with Disabilities Act of 1990; the Civil Rights Act of 1991; the Genetic Information Non-Discrimination Act (GINA) of 2008; the ADA Amendments Act of 2008; the Lilly Ledbetter Fair Pay Act of 2009; and in the Federal sector only, section 501 of the Rehabilitation Act of 1973. These Acts prohibit employment discrimination based on race, sex, religion, national origin, age, disability status, or genetic information. EEOC is also responsible for carrying out Executive Order 12067, which promotes coordination and minimizes conflict and duplication among Federal agencies that administer statutes or regulations involving employment discrimination.

**TOTAL WORKLOAD**

|                                  |             |         |           |
|----------------------------------|-------------|---------|-----------|
|                                  | 2012 actual | 2013 CR | 2014 est. |
| Private sector enforcement ..... | 181,451     | 170,040 | 173,630   |
| Federal sector program:          |             |         |           |
| Hearings .....                   | 15,684      | 15,613  | 15,276    |
| Appeals .....                    | 8,687       | 8,772   | 9,009     |
| Total workload .....             | 205,822     | 194,425 | 197,915   |

The 2014 Budget for EEOC aligns the agency's staffing and funding request with the new Strategic Plan for fiscal years 2012–2016. The new plan establishes a framework for achieving the EEOC's mission to "Stop and Remedy Unlawful Employment Discrimination". The plan has three strategic objectives: 1) Combat employment discrimination through strategic law enforcement; 2) Prevent employment discrimination through education and outreach; and 3) Deliver excellent and consistent service through a skilled and diverse workforce and effective systems. The structure of this budget is based on our new Strategic Plan to continue our standards of providing quality service to the

public through enforcement and prevention activities. EEOC will continue to make the agency more accessible and responsive to citizens' needs through business process reform and the infusion of new technologies. EEOC's enforcement responsibilities are in two areas: the private sector and the Federal sector.

*Private sector.*—EEOC addresses equal employment opportunity in several ways. The agency investigates charges alleging employment discrimination; makes findings on the allegations; resolves charges through mediation; negotiates settlement or conciliation; and litigates cases of employment discrimination by enforcing compliance with existing laws and regulations. The priority for agency resources continues to be litigating systemic cases and maintaining a manageable inventory of cases.

**PRIVATE SECTOR ENFORCEMENT WORKLOAD PROJECTIONS**

|  |             |         |           |
|--|-------------|---------|-----------|
| Workload/Workflow                            | 2012 actual | 2013 CR | 2014 est. |
| Total pending .....                          | 80,729      | 70,312  | 73,902    |
| Total receipts .....                         | 99,412      | 98,418  | 98,418    |
| Net FEPA transfers/deferrals .....           | 1,310       | 1,310   | 1,310     |
| Total workload .....                         | 181,451     | 170,040 | 173,630   |
| Resolutions:                                 |             |         |           |
| Successful mediation .....                   | 8,714       | 7,612   | 7,317     |
| From contract .....                          | 336         | 315     | 315       |
| From staff .....                             | 8,378       | 7,297   | 7,002     |
| Administrative enforcement resolutions ..... | 102,425     | 88,525  | 85,738    |
| Total resolutions .....                      | 111,139     | 96,137  | 93,055    |
| Pending ending .....                         | 70,312      | 73,903  | 80,575    |

*State and Local Program.*—EEOC contracts with Fair Employment Practices Agencies (FEPAs) that are responsible for addressing employment discrimination within their respective State and local jurisdictions. In addition, the agency works with Tribal Employment Rights Organizations (TEROs) to promote employment opportunities for Native Americans on or near a reservation.

**STATE AND LOCAL WORKLOAD PROJECTIONS**

|   |             |         |           |
|---|-------------|---------|-----------|
| Workload                                  | 2012 actual | 2013 CR | 2014 est. |
| Charges/complaints pending .....          | 47,696      | 46,992  | 46,288    |
| Charges/complaints received .....         | 43,467      | 43,467  | 43,467    |
| Total Workload .....                      | 91,163      | 90,459  | 89,755    |
| Charges/complaints resolved .....         | 42,861      | 42,861  | 42,861    |
| Charges/complaints deferred to EEOC ..... | 1,310       | 1,310   | 1,310     |
| Charges/complaints pending ending .....   | 46,992      | 46,288  | 45,584    |

*Federal sector.*—EEOC holds hearings on complaints of discrimination filed in Federal agencies; decides appeals of complaints of discriminations; and engages in activities to prevent or remove discriminatory barriers to employment opportunities in the Federal Government.

**FEDERAL SECTOR PROGRAMS HEARINGS WORKLOAD PROJECTIONS**

|   |             |         |           |
|---|-------------|---------|-----------|
| Workload  | 2012 actual | 2013 CR | 2014 est. |
| Hearings pending .....  | 8,060       | 8,146   | 8,009     |
| Hearings requests received .....                              | 7,728       | 7,629   | 7,429     |
| Hearings requests consolidated after initial processing ..... | (104)       | (162)   | (162)     |
| Total workload .....  | 15,684      | 15,613  | 15,276    |
| Hearings resolved .....                                       | 7,583       | 7,604   | 7,604     |
| Hearings pending ending .....                                 | 8,146       | 8,009   | 7,672     |

**FEDERAL SECTOR PROGRAMS APPEALS WORKLOAD PROJECTIONS**

|                              |             |         |           |
|------------------------------|-------------|---------|-----------|
| Workload                     | 2012 actual | 2013 CR | 2014 est. |
| Appeals pending .....        | 4,337       | 4,422   | 4,659     |
| Appeals received .....       | 4,350       | 4,350   | 4,350     |
| Total workload .....         | 8,687       | 8,772   | 9,009     |
| Appeals resolved .....       | 4,265       | 4,113   | 3,825     |
| Appeals pending ending ..... | 4,422       | 4,659   | 5,184     |

## SALARIES AND EXPENSES—Continued

## Object Classification (in millions of dollars)

| Identification code 45-0100-0-1-751                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 196         | 197     | 200       |
| 11.3 Other than full-time permanent .....                       | 2           | 2       | 3         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 200         | 201     | 205       |
| 12.1 Civilian personnel benefits .....                          | 60          | 60      | 61        |
| 21.0 Travel and transportation of persons .....                 | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                               | 28          | 29      | 30        |
| 23.2 Rental payments to others .....                            | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 5       | 6         |
| 25.1 State and Local Contracts .....                            | 30          | 30      | 30        |
| 25.2 Other services from non-Federal sources .....              | 57          | 22      | 26        |
| 25.3 Other goods and services from Federal sources .....        | 5           | 5       | 5         |
| 26.0 Supplies and materials .....                               | 4           | 4       | 4         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 360         | 362     | 373       |

## Employment Summary

| Identification code 45-0100-0-1-751                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2,332       | 2,212   | 2,239     |

## EEOC EDUCATION, TECHNICAL ASSISTANCE, AND TRAINING REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 45-4019-0-3-751                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Reimbursable program activity .....                             | 5           | 4       | 4         |
| 0809 Reimbursable program activities, subtotal .....                 | 5           | 4       | 4         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 3           | 1       | 1         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 3           | 4       | 4         |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 3           | 4       | 4         |
| 1930 Total budgetary resources available .....                       | 6           | 5       | 5         |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 1           | 2       | 4         |
| 3010 Obligations incurred, unexpired accounts .....                  | 5           | 4       | 4         |
| 3020 Outlays (gross) .....   | -4          | -2      | -1        |
| 3050 Unpaid obligations, end of year .....                           | 2           | 4       | 7         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1           | 2       | 4         |
| 3200 Obligated balance, end of year .....                            | 2           | 4       | 7         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 3           | 4       | 4         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 3           | 1       | 1         |
| 4101 Outlays from mandatory balances .....                           | 1           | 1       | .....     |
| 4110 Outlays, gross (total) .....                                    | 4           | 2       | 1         |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   | -1          | .....   | .....     |
| 4123 Non-Federal sources .....                                       | -2          | -4      | -4        |
| 4130 Offsets against gross budget authority and outlays (total) .... | -3          | -4      | -4        |
| 4170 Outlays, net (mandatory) .....                                  | 1           | -2      | -3        |
| 4190 Outlays, net (total) .....                                      | 1           | -2      | -3        |

The EEOC Education, Technical Assistance, and Training Revolving Fund Act of 1992 created a revolving fund to pay for the cost of providing education, technical assistance and training relating to the laws administered by the EEOC.

## Object Classification (in millions of dollars)

| Identification code 45-4019-0-3-751                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                              |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 4           | 3       | 3         |
| 99.9 Total new obligations .....                       | 5           | 4       | 4         |

## Employment Summary

| Identification code 45-4019-0-3-751                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 14          | 14      | 14        |

## EXPORT-IMPORT BANK OF THE UNITED STATES

## Federal Funds

## INSPECTOR GENERAL

For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$5,100,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 83-0105-0-1-155                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0009 Administrative Expenses .....                    | 3           | 4       | 5         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 2       | 2         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 4           | 4       | 5         |
| 1160 Appropriation, discretionary (total) .....       | 4           | 4       | 5         |
| 1930 Total budgetary resources available .....        | 5           | 6       | 7         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 4       | 5         |
| 3020 Outlays (gross) .....                            | -3          | -4      | -5        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 4           | 4       | 5         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 2           | 3       | 4         |
| 4011 Outlays from discretionary balances .....        | 1           | 1       | 1         |
| 4020 Outlays, gross (total) .....                     | 3           | 4       | 5         |
| 4180 Budget authority, net (total) .....              | 4           | 4       | 5         |
| 4190 Outlays, net (total) .....                       | 3           | 4       | 5         |

**Object Classification** (in millions of dollars)

| Identification code 83-0105-0-1-155                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 3         |
| 25.2 Other services from non-Federal sources .....     | 1           | 2       | 2         |
| 99.9 Total new obligations .....                       | 3           | 4       | 5         |

**Employment Summary**

| Identification code 83-0105-0-1-155                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 21          | 21      | 28        |

**PROGRAM ACCOUNT**

*The Export-Import Bank of the United States is authorized to make such expenditures within the limits of funds and borrowing authority available to such corporation, and in accordance with law, and to make such contracts and commitments without regard to fiscal year limitations, as provided by section 104 of the Government Corporation Control Act, as may be necessary in carrying out the program for the current fiscal year for such corporation: Provided, That none of the funds available during the current fiscal year may be used to make expenditures, contracts, or commitments for the export of nuclear equipment, fuel, or technology to any country, other than a nuclear-weapon state as defined in Article IX of the Treaty on the Non-Proliferation of Nuclear Weapons eligible to receive economic or military assistance under this Act, that has detonated a nuclear explosive after the date of the enactment of this Act.*

**ADMINISTRATIVE EXPENSES**

*For administrative expenses to carry out the direct and guaranteed loan and insurance programs, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$30,000 for official reception and representation expenses for members of the Board of Directors, not to exceed \$114,900,000, to remain available until September 30, 2015: Provided, That the Export-Import Bank may accept, and use, payment or services provided by transaction participants for legal, financial, or technical services in connection with any transaction for which an application for a loan, guarantee or insurance commitment has been made: Provided further, That, notwithstanding subsection (b) of section 117 of the Export Enhancement Act of 1992, subsection (a) thereof shall remain in effect until September 30, 2015: Provided further, That the Export-Import Bank shall charge fees for necessary expenses (including special services performed on a contract or fee basis, but not including other personal services) in connection with the collection of moneys owed the Export-Import Bank, repossession or sale of pledged collateral or other assets acquired by the Export-Import Bank in satisfaction of moneys owed the Export-Import Bank, or the investigation or appraisal of any property, or the evaluation of the legal, financial, or technical aspects of any transaction for which an application for a loan, guarantee or insurance commitment has been made, or systems infrastructure directly supporting transactions: Provided further, That, in addition to other funds appropriated for administrative expenses, such fees shall be credited to this account, to remain available until expended.*

*In addition, for renovation expenses of the Export-Import Bank's headquarters, not to exceed \$10,500,000, to remain available until expended.*

**RECEIPTS COLLECTED**

*Receipts collected pursuant to the Export-Import Bank Act of 1945, as amended, and the Federal Credit Reform Act of 1990, as amended, in an amount not to exceed the amount appropriated herein, shall be credited as offsetting collections to this account: Provided, That the sums herein appropriated from the General Fund shall be reduced on a dollar-for-dollar basis by such offsetting collections so as to result in a final fiscal year appropriation from the General Fund estimated at \$0: Provided further, That amounts collected in fiscal year 2014 in excess of obligations, up to \$10,000,000, shall become available on September 1, 2014, and shall remain available until September 30, 2017.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 83-0100-0-1-155                          | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                      |             |         |           |
| Credit program obligations:                                  |             |         |           |
| 0701 Direct loan subsidy .....                               |             | 15      | 15        |
| 0702 Loan guarantee subsidy .....                            | 72          | 35      |           |
| 0705 Reestimates of direct loan subsidy .....                | 517         | 482     |           |
| 0706 Interest on reestimates of direct loan subsidy .....    | 180         | 59      |           |
| 0707 Reestimates of loan guarantee subsidy .....             | 38          | 428     |           |
| 0708 Interest on reestimates of loan guarantee subsidy ..... | 58          | 55      |           |
| 0709 Administrative expenses .....                           | 90          | 90      | 115       |
| 0715 Other .....   | 12          | 31      | 41        |
| 0900 Total new obligations .....                             | 967         | 1,195   | 171       |

**Budgetary Resources:**

|  |       |       |     |
|--|-------|-------|-----|
| Unobligated balance:   |       |       |     |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 953   | 596   | 222 |
| 1021 Recoveries of prior year unpaid obligations .....               | 10    |       |     |
| 1050 Unobligated balance (total) .....                               | 963   | 596   | 222 |
| Budget authority:  |       |       |     |
| Appropriations, discretionary:                                       |       |       |     |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -400  | -400  |     |
| 1160 Appropriation, discretionary (total) .....                      | -400  | -400  |     |
| Appropriations, mandatory:   |       |       |     |
| 1200 Appropriation .....   | 793   | 1,023 |     |
| 1260 Appropriations, mandatory (total) .....                         | 793   | 1,023 |     |
| Spending authority from offsetting collections, discretionary:       |       |       |     |
| 1700 Collected .....   | 216   | 50    | 21  |
| 1700 Offsetting collections (Subsidy) .....                          |       | 58    |     |
| 1700 Offsetting collections (Admin Expense) .....                    |       | 90    | 115 |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 216   | 198   | 136 |
| 1900 Budget authority (total) .....                                  | 609   | 821   | 136 |
| 1930 Total budgetary resources available .....                       | 1,572 | 1,417 | 358 |
| Memorandum (non-add) entries:  |       |       |     |
| 1940 Unobligated balance expiring .....                              | -9    |       |     |
| 1941 Unexpired unobligated balance, end of year .....                | 596   | 222   | 187 |

**Change in obligated balance:**

|   |      |        |      |
|---|------|--------|------|
| Unpaid obligations:   |      |        |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 98   | 118    | 104  |
| 3010 Obligations incurred, unexpired accounts .....               | 967  | 1,195  | 171  |
| 3011 Obligations incurred, expired accounts .....                 | 5    |        |      |
| 3020 Outlays (gross) .....  | -931 | -1,209 | -169 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -10  |        |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -11  |        |      |
| 3050 Unpaid obligations, end of year .....                        | 118  | 104    | 106  |
| Memorandum (non-add) entries:                                     |      |        |      |
| 3100 Obligated balance, start of year .....                       | 98   | 118    | 104  |
| 3200 Obligated balance, end of year .....                         | 118  | 104    | 106  |

**Budget authority and outlays, net:**

|  |      |       |      |
|--|------|-------|------|
| Discretionary:   |      |       |      |
| 4000 Budget authority, gross .....                             | -184 | -202  | 136  |
| Outlays, gross:  |      |       |      |
| 4010 Outlays from new discretionary authority .....            | 875  | 157   | 113  |
| 4011 Outlays from discretionary balances .....                 | 19   | 29    | 56   |
| 4020 Outlays, gross (total) .....                              | 894  | 186   | 169  |
| Offsets against gross budget authority and outlays:            |      |       |      |
| Offsetting collections (collected) from:                       |      |       |      |
| 4033 Non-Federal sources .....                                 | -218 | -198  | -136 |
| Additional offsets against gross budget authority only:        |      |       |      |
| 4052 Offsetting collections credited to expired accounts ..... | 2    |       |      |
| 4070 Budget authority, net (discretionary) .....               | -400 | -400  |      |
| 4080 Outlays, net (discretionary) .....                        | 676  | -12   | 33   |
| Mandatory:   |      |       |      |
| 4090 Budget authority, gross .....                             | 793  | 1,023 |      |
| Outlays, gross:  |      |       |      |
| 4101 Outlays from mandatory balances .....                     | 37   | 1,023 |      |
| 4180 Budget authority, net (total) .....                       | 393  | 623   |      |
| 4190 Outlays, net (total) .....                                | 713  | 1,011 | 33   |

**EXPORT-IMPORT BANK LOANS PROGRAM ACCOUNT—Continued**  
**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 83-0100-0-1-155                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:     |             |         |           |
| 115001 Direct Loans: Export Financing .....                     | 11,765      | 50      | 100       |
| 115002 Direct Loans: Tied Aid War Chest .....                   |             | 50      | 50        |
| 115999 Total direct loan levels .....                           | 11,765      | 100     | 150       |
| Direct loan subsidy (in percent):                               |             |         |           |
| 132001 Direct Loans: Export Financing .....                     | -13.69      | -0.03   | -0.17     |
| 132002 Direct Loans: Tied Aid War Chest .....                   |             | 30.08   | 29.45     |
| 132999 Weighted average subsidy rate .....                      | -13.69      | 15.03   | 9.70      |
| Direct loan subsidy budget authority:                           |             |         |           |
| 133001 Direct Loans: Export Financing .....                     | -1,611      |         |           |
| 133002 Direct Loans: Tied Aid War Chest .....                   |             | 15      | 15        |
| 133999 Total subsidy budget authority .....                     | -1,611      | 15      | 15        |
| Direct loan subsidy outlays:                                    |             |         |           |
| 134001 Direct Loans: Export Financing .....                     | -397        |         |           |
| 134999 Total subsidy outlays .....                              | -397        |         |           |
| Direct loan upward reestimates:                                 |             |         |           |
| 135001 Direct Loans: Export Financing .....                     | 697         | 541     |           |
| 135999 Total upward reestimate budget authority .....           | 697         | 541     |           |
| Direct loan downward reestimates:                               |             |         |           |
| 137001 Direct Loans: Export Financing .....                     | -178        | -198    |           |
| 137999 Total downward reestimate budget authority .....         | -178        | -198    |           |
| Guaranteed loan levels supportable by subsidy budget authority: |             |         |           |
| 215004 Long Term Guarantees .....                               | 14,880      | 29,430  | 32,441    |
| 215005 Medium Term Guarantees .....                             | 187         | 180     | 194       |
| 215006 Short Term Insurance .....                               | 8,788       | 8,567   | 6,962     |
| 215007 Medium Term Insurance .....                              | 165         | 195     | 207       |
| 215008 Working Capital Fund .....                               |             |         | 2,727     |
| 215999 Total loan guarantee levels .....                        | 24,020      | 38,372  | 42,531    |
| Guaranteed loan subsidy (in percent):                           |             |         |           |
| 232004 Long Term Guarantees .....                               | -2.74       | -4.12   | -3.41     |
| 232005 Medium Term Guarantees .....                             | 7.04        | 3.99    | -0.10     |
| 232006 Short Term Insurance .....                               | 0.48        | 0.23    | -0.01     |
| 232007 Medium Term Insurance .....                              | 10.13       | 3.88    | -0.12     |
| 232008 Working Capital Fund .....                               |             |         | -0.01     |
| 232999 Weighted average subsidy rate .....                      | -1.40       | -3.07   | -2.60     |
| Guaranteed loan subsidy budget authority:                       |             |         |           |
| 233004 Long Term Guarantees .....                               | -408        | -1,213  | -1,106    |
| 233005 Medium Term Guarantees .....                             | 13          | 7       |           |
| 233006 Short Term Insurance .....                               | 42          | 20      | -1        |
| 233007 Medium Term Insurance .....                              | 17          | 8       |           |
| 233999 Total subsidy budget authority .....                     | -336        | -1,178  | -1,107    |
| Guaranteed loan subsidy outlays:                                |             |         |           |
| 234001 Risk Category A .....                                    | -407        |         |           |
| 234004 Long Term Guarantees .....                               |             | -692    | -836      |
| 234005 Medium Term Guarantees .....                             | 8           | 8       | 8         |
| 234006 Short Term Insurance .....                               | 22          | 17      | 18        |
| 234007 Medium Term Insurance .....                              | 11          | 13      | 12        |
| 234999 Total subsidy outlays .....                              | -366        | -654    | -798      |
| Guaranteed loan upward reestimates:                             |             |         |           |
| 235003 Guarantee and Insurance Reestimates .....                | 96          | 483     |           |
| 235999 Total upward reestimate budget authority .....           | 96          | 483     |           |
| Guaranteed loan downward reestimates:                           |             |         |           |
| 237003 Guarantee and Insurance Reestimates .....                | -513        | -249    |           |
| 237999 Total downward reestimate subsidy budget authority ..... | -513        | -249    |           |
| Administrative expense data:                                    |             |         |           |
| 3510 Budget authority .....                                     | 90          | 90      | 115       |
| 3580 Outlays from balances .....                                | 10          | 10      | 10        |
| 3590 Outlays from new authority .....                           | 80          | 94      | 105       |

The purpose of the Export-Import Bank (Ex-Im Bank or the Bank) is to sustain U.S. jobs by financing U.S. exports. To accomplish its objectives, the Bank's authority and resources are used to: assume commercial and political risks that exporters or private institutions are unwilling or unable to undertake; overcome maturity and other limitations in private sector export financing; assist U.S. exporters to meet officially sponsored foreign export credit competition; and provide leadership and guidance in export financing to the U.S. exporting and banking communities and to

foreign borrowers. The Bank provides its export credit support through direct loan, loan guarantee, and insurance programs. The Bank is actively assisting small- and medium-sized businesses.

The 2014 Budget estimates that the Bank's export credit support will total \$42.7 billion, and will be funded entirely by receipts collected from the Bank's customers. The Bank estimates it will collect \$972.1 million in 2014 in receipts in excess of expected losses on transactions authorized in 2014 and prior years. These amounts will be used to cover administrative expenses in an amount not to exceed \$114.9 million, of which \$20.0 million is for technology expenses, and \$7 million is for continued support of small business development efforts. Amounts collected in fiscal year 2014 in excess of obligations, up to \$10.0 million, shall become available on September 1, 2014 and shall remain available until September 30, 2017. Any excess above \$10.0 million will be deposited in the General Fund of the Treasury.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, the subsidy costs associated with direct loans and direct grants obligated, and loan guarantees and insurance committed in 1992 and beyond, as well as administrative expenses. The subsidy amounts are estimated on a present value basis; administrative expenses are estimated on a cash basis.

**Object Classification** (in millions of dollars)

| Identification code 83-0100-0-1-155                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 48          | 48      | 55        |
| 12.1 Civilian personnel benefits .....                          | 14          | 14      | 15        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 1         |
| 23.1 Rental payments to GSA .....                               | 7           | 7       | 15        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 2         |
| 25.2 Other services from non-Federal sources .....              | 15          | 15      | 21        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 2           | 2       | 5         |
| 41.0 Grants, subsidies, and contributions .....                 | 877         | 1,105   | 56        |
| 99.9 Total new obligations .....                                | 967         | 1,195   | 171       |

**Employment Summary**

| Identification code 83-0100-0-1-155                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 390         | 390     | 436       |

**DEBT REDUCTION FINANCING ACCOUNT**

**Program and Financing** (in millions of dollars)

| Identification code 83-4028-0-3-155   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 10          | 10      |           |
| 1022 Capital transfer of unobligated balances to general fund .....                           |             | -10     |           |
| 1050 Unobligated balance (total) .....  | 10          |         |           |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Offsetting collections (repayments) .....  | 22          | 3       | 3         |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -22         | -3      | -3        |
| 1930 Total budgetary resources available .....  | 10          |         |           |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 10          |         |           |

**Financing authority and disbursements, net:**

|  |     |    |    |
|--|-----|----|----|
| Mandatory:   |     |    |    |
| Offsets against gross financing authority and disbursements: |     |    |    |
| Offsetting collections (collected) from:                     |     |    |    |
| 4123 Non-Federal sources - Principal .....                   | -22 | -2 | -2 |

|      |  |     |    |    |
|------|--|-----|----|----|
| 4123 | Non-Federal sources - Interest .....                                 | -1  | -1 |    |
| 4130 | Offsets against gross financing auth and disbursements (total) ..... | -22 | -3 | -3 |
| 4160 | Financing authority, net (mandatory) .....                           | -22 | -3 | -3 |
| 4170 | Financing disbursements, net (mandatory) .....                       | -22 | -3 | -3 |
| 4180 | Financing authority, net (total) .....                               | -22 | -3 | -3 |
| 4190 | Financing disbursements, net (total) .....                           | -22 | -3 | -3 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 83-4028-0-3-155               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 113         | 113     | 111       |
| 1251 Repayments: Repayments and prepayments ..... |             | -2      | -2        |
| 1290 Outstanding, end of year .....               | 113         | 111     | 109       |

As required by the Federal Credit Reform Act of 1990, this account records all cash flows to and from the Government resulting from restructuring either loans or claims against guarantees made by the Export-Import Bank of the U.S.

**Balance Sheet** (in millions of dollars)

| Identification code 83-4028-0-3-155                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 113         | 113         |
| 1405 Allowance for subsidy cost (-) .....                         | -113        | -113        |
| 1499 Net present value of assets related to direct loans .....    |             |             |
| 1999 Total upward reestimate subsidy BA [11-0091] .....           |             |             |

**EXPORT-IMPORT BANK DIRECT LOAN FINANCING ACCOUNT****Program and Financing** (in millions of dollars)

| Identification code 83-4161-0-3-155   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0003 Other obligations .....  |             | 10      | 10        |
| Credit program obligations:   |             |         |           |
| 0710 Direct loan obligations .....  | 11,765      | 100     | 150       |
| 0713 Payment of interest to Treasury .....  | 524         | 623     | 744       |
| 0740 Negative subsidy obligations .....   | 1,611       |         |           |
| 0742 Downward reestimate paid to receipt account .....  | 135         | 129     |           |
| 0743 Interest on downward reestimates .....   | 43          | 69      |           |
| 0791 Direct program activities, subtotal .....  | 14,078      | 921     | 894       |
| 0900 Total new obligations .....  | 14,078      | 931     | 904       |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1021 Recoveries of prior year unpaid obligations .....  | 216         | 1       |           |
| 1050 Unobligated balance (total) .....  | 216         | 1       |           |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 13,641      | 100     | 150       |
| 1440 Borrowing authority, mandatory (total) .....   | 13,641      | 100     | 150       |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Spending authority from offsetting collections (cash) .....                              | 2,136       | 2,500   | 2,925     |
| 1801 Change in uncollected payments, Federal sources .....                                    | 17          |         |           |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -11         |         |           |
| 1825 Spending authority from offsetting collections applied to repay debt .....               | -1,921      | -1,670  | -2,171    |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 221         | 830     | 754       |
| 1900 Financing authority (total) .....  | 13,862      | 930     | 904       |
| 1930 Total budgetary resources available .....  | 14,078      | 931     | 904       |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 9,572       | 17,228  | 18,058    |
| 3010 Obligations incurred, unexpired accounts .....   | 14,078      | 931     | 904       |
| 3020 Financing disbursements (gross) .....  | -6,206      | -100    | -150      |

|      |  |        |        |        |
|------|--|--------|--------|--------|
| 3040 | Recoveries of prior year unpaid obligations, unexpired ..... | -216   | -1     |        |
| 3050 | Unpaid obligations, end of year .....                        | 17,228 | 18,058 | 18,812 |
|      | Uncollected payments:  |        |        |        |
| 3060 | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |        | -17    | -17    |
| 3070 | Change in uncollected pymts, Fed sources, unexpired .....    | -17    |        |        |
| 3090 | Uncollected pymts, Fed sources, end of year .....            | -17    | -17    | -17    |
|      | Memorandum (non-add) entries:                                |        |        |        |
| 3100 | Obligated balance, start of year .....                       | 9,572  | 17,211 | 18,041 |
| 3200 | Obligated balance, end of year .....                         | 17,211 | 18,041 | 18,795 |

**Financing authority and disbursements, net:**

|   |        |        |        |
|---|--------|--------|--------|
| Mandatory:  |        |        |        |
| 4090 Financing authority, gross .....                                     | 13,862 | 930    | 904    |
| Financing disbursements:  |        |        |        |
| 4110 Financing disbursements, gross .....                                 | 6,206  | 100    | 150    |
| Offsets against gross financing authority and disbursements:              |        |        |        |
| Offsetting collections (collected) from:                                  |        |        |        |
| 4120 Federal sources: Upward reestimate .....                             | -698   | -540   |        |
| 4122 Interest on uninvested funds .....                                   | -133   | -200   | -300   |
| 4123 Repayments and prepayments .....                                     | -1,305 | -1,760 | -2,625 |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -2,136 | -2,500 | -2,925 |
| Additional offsets against financing authority only (total):              |        |        |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -17    |        |        |
| 4160 Financing authority, net (mandatory) .....                           | 11,709 | -1,570 | -2,021 |
| 4170 Financing disbursements, net (mandatory) .....                       | 4,070  | -2,400 | -2,775 |
| 4180 Financing authority, net (total) .....                               | 11,709 | -1,570 | -2,021 |
| 4190 Financing disbursements, net (total) .....                           | 4,070  | -2,400 | -2,775 |

**Status of Direct Loans** (in millions of dollars)

| Identification code 83-4161-0-3-155                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 11,765      | 100     | 150       |
| 1150 Total direct loan obligations .....                               | 11,765      | 100     | 150       |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 8,110       | 11,895  | 10,225    |
| 1231 Disbursements: Direct loan disbursements .....                    | 5,091       | 100     | 150       |
| 1251 Repayments: Repayments and prepayments .....                      | -1,305      | -1,760  | -2,625    |
| 1263 Write-offs for default: Direct loans .....                        | -1          | -10     | -10       |
| 1290 Outstanding, end of year .....                                    | 11,895      | 10,225  | 7,740     |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects direct loan activity through 2014.

**Balance Sheet** (in millions of dollars)

| Identification code 83-4161-0-3-155                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1101 Federal assets: Fund balances with Treasury .....            | 162         | 162         |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 11,895      | 11,895      |
| 1402 Interest receivable .....                                    | 97          | 97          |
| 1405 Allowance for subsidy cost (-) .....                         | -1,206      | -1,206      |
| 1499 Net present value of assets related to direct loans .....    | 10,786      | 10,786      |
| 1901 Other Federal assets: Other assets .....                     | 540         | 540         |
| 1999 Total assets .....   | 11,488      | 11,488      |
| LIABILITIES:  |             |             |
| Federal liabilities:  |             |             |
| 2101 Accounts payable .....                                       | 187         | 187         |
| 2103 Debt .....   | 11,301      | 11,301      |
| 2999 Total liabilities .....                                      | 11,488      | 11,488      |
| 4999 Total liabilities and net position .....                     | 11,488      | 11,488      |

## EXPORT-IMPORT BANK GUARANTEED LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 83-4162-0-3-155   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0003 Payment Certificates .....   | 7           | 8       | 8         |
| 0004 Other claim expenses .....   | 7           | 8       | 8         |
| 0091 Direct program activities, subtotal .....  | 14          | 16      | 16        |
| Credit program obligations:   |             |         |           |
| 0711 Default claim payments on principal .....  | 36          | 44      | 44        |
| 0740 Negative subsidy obligations .....   | 408         | 1,213   | 1,106     |
| 0742 Downward reestimate paid to receipt account .....  | 350         | 137     | .....     |
| 0743 Interest on downward reestimates .....   | 163         | 112     | .....     |
| 0791 Direct program activities, subtotal .....  | 957         | 1,506   | 1,150     |
| 0900 Total new obligations .....  | 971         | 1,522   | 1,166     |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 1,274       | 1,399   | 1,418     |
| Financing authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Spending authority from offsetting collections (cash) .....                              | 975         | 1,541   | 1,906     |
| 1801 Change in uncollected payments, Federal sources .....                                    | 125         | .....   | .....     |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -4          | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 1,096       | 1,541   | 1,906     |
| 1930 Total budgetary resources available .....  | 2,370       | 2,940   | 3,324     |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 1,399       | 1,418   | 2,158     |

## Change in obligated balance:

|   |       |       |        |
|---|-------|-------|--------|
| Unpaid obligations:   |       |       |        |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2     | 2     | 1,025  |
| 3010 Obligations incurred, unexpired accounts .....               | 971   | 1,522 | 1,166  |
| 3020 Financing disbursements (gross) .....                        | -971  | -499  | -1,106 |
| 3050 Unpaid obligations, end of year .....                        | 2     | 1,025 | 1,085  |
| Uncollected payments:   |       |       |        |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | ..... | -125  | -125   |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -125  | ..... | .....  |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -125  | -125  | -125   |
| Memorandum (non-add) entries:                                     |       |       |        |
| 3100 Obligated balance, start of year .....                       | 2     | -123  | 900    |
| 3200 Obligated balance, end of year .....                         | -123  | 900   | 960    |

## Financing authority and disbursements, net:

|   |       |        |        |
|---|-------|--------|--------|
| Mandatory:  |       |        |        |
| 4090 Financing authority, gross .....                                     | 1,096 | 1,541  | 1,906  |
| Financing disbursements:  |       |        |        |
| 4110 Financing disbursements, gross .....                                 | 971   | 499    | 1,106  |
| Offsets against gross financing authority and disbursements:              |       |        |        |
| Offsetting collections (collected) from:                                  |       |        |        |
| 4120 Federal Sources: Payments from program account .....                 | -137  | -521   | -38    |
| 4122 Interest on uninvested funds .....                                   | -46   | -120   | -150   |
| 4123 Fees, premiums, claim recoveries .....                               | -792  | -900   | -1,718 |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -975  | -1,541 | -1,906 |
| Additional offsets against financing authority only (total):              |       |        |        |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....            | -125  | .....  | .....  |
| 4160 Financing authority, net (mandatory) .....                           | -4    | .....  | .....  |
| 4170 Financing disbursements, net (mandatory) .....                       | -4    | -1,042 | -800   |
| 4180 Financing authority, net (total) .....                               | -4    | .....  | .....  |
| 4190 Financing disbursements, net (total) .....                           | -4    | -1,042 | -800   |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 83-4162-0-3-155                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....          | 24,020      | 38,372  | 42,531    |
| 2150 Total guaranteed loan commitments .....                           | 24,020      | 38,372  | 42,531    |
| 2199 Guaranteed amount of guaranteed loan commitments .....            | 24,020      | 38,372  | 42,531    |
| Cumulative balance of guaranteed loans outstanding:                    |             |         |           |
| 2210 Outstanding, start of year .....                                  | 48,861      | 56,823  | 62,450    |
| 2231 Disbursements of new guaranteed loans .....                       | 22,273      | 24,400  | 26,750    |
| 2251 Repayments and prepayments .....                                  | -14,117     | -18,580 | -14,150   |

|  |        |        |        |
|--|--------|--------|--------|
| 2263 Adjustments: Terminations for default that result in claim payments ..... | -194   | -193   | -44    |
| 2290 Outstanding, end of year .....  | 56,823 | 62,450 | 75,006 |

## Memorandum:

|   |        |        |        |
|---|--------|--------|--------|
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 56,823 | 62,450 | 62,100 |
|---|--------|--------|--------|

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from loan guarantees committed in 1992 and beyond. The amounts in this account are a means of financing and are not included in the budget totals.

This account reflects actual and expected loan guarantee activity through 2014.

## Balance Sheet (in millions of dollars)

| Identification code 83-4162-0-3-155                                 | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| 1101 Federal assets: Fund balances with Treasury .....              | 1,220       | 1,814       |
| 1999 Total assets .....   | 1,220       | 1,814       |
| <b>LIABILITIES:</b>   |             |             |
| 2204 Non-Federal liabilities: Liabilities for loan guarantees ..... | 1,220       | 1,814       |
| 4999 Total liabilities and net position .....                       | 1,220       | 1,814       |

## EXPORT-IMPORT BANK OF THE UNITED STATES LIQUIDATING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 83-4027-0-3-155   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0006 Claim payments, gross .....  | 11          | 1       | 1         |
| 0900 Total new obligations (object class 33.0) .....  | 11          | 1       | 1         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 34          | 18      | 15        |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -23         | -17     | -14       |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 11          | 1       | 1         |
| 1930 Total budgetary resources available .....  | 11          | 1       | 1         |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 11          | 1       | 1         |
| 3020 Outlays (gross) .....  | -11         | -1      | -1        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 11          | 1       | 1         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 11          | 1       | 1         |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4123 Non-Federal sources .....  | -34         | -18     | -15       |
| 4180 Budget authority, net (total) .....  | -23         | -17     | -14       |
| 4190 Outlays, net (total) .....   | -23         | -17     | -14       |

## Status of Direct Loans (in millions of dollars)

| Identification code 83-4027-0-3-155               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:   |             |         |           |
| 1210 Outstanding, start of year .....             | 479         | 459     | 441       |
| 1251 Repayments: Repayments and prepayments ..... | -20         | -18     | -15       |
| 1290 Outstanding, end of year .....               | 459         | 441     | 426       |



**Status of Guaranteed Loans** (in millions of dollars)

| Identification code 83-4027-0-3-155   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                               |             |         |           |
| 2210 Outstanding, start of year .....   | 5           |         |           |
| 2251 Repayments and prepayments .....   | -5          |         |           |
| 2290 Outstanding, end of year .....   |             |         |           |
| Addendum:   |             |         |           |
| Cumulative balance of defaulted guaranteed loans that result in loans receivable: |             |         |           |
| 2310 Outstanding, start of year .....   | 94          | 74      | 59        |
| 2351 Repayments of loans receivable .....   | -20         | -15     | -15       |
| 2390 Outstanding, end of year .....   | 74          | 59      | 44        |

**Operating results and financial condition.**—The Ex-Im Bank is a wholly-owned Government corporation. Capital stock of \$1 billion was purchased by the U.S. Treasury.

The Ex-Im Bank has a reserve for possible credit losses, which provides for the risk of loss inherent in the lending process. This reserve is a general reserve, available to absorb credit losses related to the total loan portfolio. The reserve is increased by provisions charged to expenses and decreased by charge-offs, net of recoveries.

The provision for possible credit losses is based on the Bank's evaluation of the adequacy of the reserve, taking into consideration a variety of factors, including repayment status of loans, future risk factors, the relationship of the reserve to the portfolio, and worldwide economic conditions. Providing for such possible losses does not imply that any loans will be written off. It simply recognizes the fact that the prospects for collection of some of the Bank's loans are impaired. It does not provide for losses on a country-by-country basis and is intended only to provide an overall revaluation of the loan portfolio.

The Ex-Im Bank's Net Excess of Program Costs over Revenue \$751.5 million in 2012. Total Government Net Position in the corporation was (763.0) million on September 30, 2012.

As required by the Federal Credit Reform Act of 1990, this account records, for Ex-Im Bank, all cash flows to and from the Government resulting from direct loans obligated and loan guarantees and insurance committed prior to 1992. This account is shown on a cash basis. All new activity in this program in 1992 and beyond is recorded in corresponding program and financing accounts.

**Balance Sheet** (in millions of dollars)

| Identification code 83-4027-0-3-155                                     | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| 1601 Direct loans, gross .....  | 479         | 459         |
| 1603 Allowance for estimated uncollectible loans and interest (-) ..... | -329        | -380        |
| 1699 Value of assets related to direct loans .....                      | 150         | 79          |
| 1701 Defaulted guaranteed loans, gross .....                            | 94          | 74          |
| 1703 Allowance for estimated uncollectible loans and interest (-) ..... | -74         | -61         |
| 1799 Value of assets related to loan guarantees .....                   | 20          | 13          |
| 1999 Total assets .....   | 170         | 92          |
| LIABILITIES:  |             |             |
| Non-Federal liabilities:  |             |             |
| 2203 Debt .....   | 50          | 40          |
| 2207 Other .....  |             | 1           |
| 2999 Total liabilities .....  | 50          | 41          |
| NET POSITION:   |             |             |
| 3300 Cumulative results of operations .....                             | 1,000       | 1,000       |
| 3300 Cumulative results of operations .....                             | -880        | -949        |
| 3999 Total net position .....   | 120         | 51          |

|   |     |    |
|---|-----|----|
| 4999 Total liabilities and net position ..... | 170 | 92 |
|---|-----|----|

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 83-27210 Export-Import Bank Loans, Negative Subsidies .....                                   | 804         | 692     | 836       |
| 83-27230 Export-Import Bank Loans, Downward Reestimates of Subsidies .....                    | 691         | 447     |           |
| 83-32000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 16          |         |           |
| General Fund Offsetting receipts from the public .....  | 1,511       | 1,139   | 836       |

**FARM CREDIT ADMINISTRATION****Federal Funds**

## LIMITATION ON ADMINISTRATIVE EXPENSES

*Not to exceed \$63,300,000 (from assessments collected from farm credit institutions, including the Federal Agricultural Mortgage Corporation) shall be obligated during the current fiscal year for administrative expenses as authorized under 12 U.S.C. 2249: Provided, That this limitation shall not apply to expenses associated with receiverships.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 78-4131-0-3-351                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 52          | 62      | 64        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 29          | 32      | 32        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 55          | 62      | 64        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 55          | 62      | 64        |
| 1930 Total budgetary resources available .....                     | 84          | 94      | 96        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 32          | 32      | 32        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 9           | 8       | 9         |
| 3010 Obligations incurred, unexpired accounts .....                | 52          | 62      | 64        |
| 3020 Outlays (gross) .....   | -53         | -61     | -66       |
| 3050 Unpaid obligations, end of year .....                         | 8           | 9       | 7         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -1          | -1      | -1        |
| 3090 Uncollected pymts, Fed sources, end of year .....             | -1          | -1      | -1        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 8           | 7       | 8         |
| 3200 Obligated balance, end of year .....                          | 7           | 8       | 6         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 55          | 62      | 64        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 49          | 57      | 57        |
| 4101 Outlays from mandatory balances .....                         | 4           | 4       | 9         |
| 4110 Outlays, gross (total) .....                                  | 53          | 61      | 66        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -1          | -1      | -1        |
| 4121 Interest on Federal securities .....                          | -1          | -1      | -1        |

LIMITATION ON ADMINISTRATIVE EXPENSES—Continued  
Program and Financing—Continued

| Identification code 78-4131-0-3-351                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4123 Non-Federal sources .....                                       | -53         | -60     | -62       |
| 4130 Offsets against gross budget authority and outlays (total) .... | -55         | -62     | -64       |
| 4170 Outlays, net (mandatory) .....                                  | -2          | -1      | 2         |
| 4190 Outlays, net (total) .....                                      | -2          | -1      | 2         |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....     | 36          | 39      | 37        |
| 5001 Total investments, EOY: Federal securities: Par value .....     | 39          | 37      | 37        |

The Farm Credit Administration (FCA) is an independent Federal agency that examines and regulates the Farm Credit System (System) for safety and soundness and program compliance. The System is a cooperative agricultural credit system of farm credit banks and associations that lend to farmers, ranchers, and their cooperatives; farm-related businesses; rural homeowners; and rural utilities. FCA also performs the examination and general supervision of Farmer Mac. In addition, FCA examines the National Consumer Cooperative Bank, which is not a System institution.

As of October 1, 2012, the System was composed of three Farm Credit Banks, one Agricultural Credit Bank, 82 associations, five service corporations, the Federal Farm Credit Banks Funding Corporation, and Farmer Mac.

Assessments based upon estimated administrative expenses are collected from institutions in the System, including Farmer Mac, and are available for administrative expenses. Obligations are incurred within fiscal year budgets approved by the FCA Board. Section 6(f)(1) of the Inspector General Act of 1978, as amended, (IG Act) requires an Inspector General (IG) to include specific information in the budget request the IG submits to the head of the department or designated Federal entity to which the IG reports. To fulfill the requirement of Section 6(f)(2) of the IG Act as it pertains to FCA, the FCA Board must in turn include this same information in the budget request that the Agency submits to the President.

The information that the IG Act requires to be included is provided below:

The aggregate budget request for the Office of Inspector General (OIG) is \$1,224,116.

The amount needed for OIG training is \$20,135 (tuition).

The amount needed to support the Council of the Inspectors General on Integrity and Efficiency is \$3,400.

The FCA IG's budget request for 2014 is being submitted unchanged by the FCA Board.

## Object Classification (in millions of dollars)

| Identification code 78-4131-0-3-351                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 33          | 37      | 39        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 34          | 38      | 40        |
| 12.1 Civilian personnel benefits .....                          | 10          | 13      | 13        |
| 21.0 Travel and transportation of persons .....                 | 3           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 3           | 4       | 4         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 52          | 62      | 64        |

## Employment Summary

| Identification code 78-4131-0-3-351                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 288         | 300     | 305       |

## FARM CREDIT SYSTEM INSURANCE CORPORATION

## Federal Funds

## FARM CREDIT SYSTEM INSURANCE FUND

## Program and Financing (in millions of dollars)

| Identification code 78-4171-0-3-351                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Farm credit system insurance fund .....                         | 3           | 4       | 4         |
| 0801 Reimbursable program activity .....                             | 222         | .....   | .....     |
| 0900 Total new obligations .....                                     | 225         | 4       | 4         |
| <b>Budgetary Resources:</b>  |             |         |           |
| <b>Unobligated balance:</b>  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 3,221       | 3,101   | 3,305     |
| <b>Budget authority:</b>   |             |         |           |
| <b>Spending authority from offsetting collections, mandatory:</b>    |             |         |           |
| 1800 Collected .....   | 109         | 208     | 182       |
| 1801 Change in uncollected payments, Federal sources .....           | -4          | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 105         | 208     | 182       |
| 1930 Total budgetary resources available .....                       | 3,326       | 3,309   | 3,487     |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 3,101       | 3,305   | 3,483     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| <b>Unpaid obligations:</b>   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                  | 225         | 4       | 4         |
| 3020 Outlays (gross) .....   | -225        | -4      | -4        |
| <b>Uncollected payments:</b>   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -20         | -16     | -16       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 4           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -16         | -16     | -16       |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 3100 Obligated balance, start of year .....                          | -20         | -16     | -16       |
| 3200 Obligated balance, end of year .....                            | -16         | -16     | -16       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| <b>Mandatory:</b>  |             |         |           |
| 4090 Budget authority, gross .....                                   | 105         | 208     | 182       |
| <b>Outlays, gross:</b>   |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 105         | 4       | 4         |
| 4101 Outlays from mandatory balances .....                           | 120         | .....   | .....     |
| 4110 Outlays, gross (total) .....                                    | 225         | 4       | 4         |
| <b>Offsets against gross budget authority and outlays:</b>           |             |         |           |
| <b>Offsetting collections (collected) from:</b>                      |             |         |           |
| 4121 Interest on Federal securities .....                            | -12         | -51     | -53       |
| 4123 Non-Federal sources .....                                       | -97         | -157    | -129      |
| 4130 Offsets against gross budget authority and outlays (total) .... | -109        | -208    | -182      |
| <b>Additional offsets against gross budget authority only:</b>       |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | 4           | .....   | .....     |
| 4170 Outlays, net (mandatory) .....                                  | 116         | -204    | -178      |
| 4190 Outlays, net (total) .....                                      | 116         | -204    | -178      |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....     | 3,212       | 3,095   | 3,341     |
| 5001 Total investments, EOY: Federal securities: Par value .....     | 3,095       | 3,341   | 3,540     |

The Farm Credit System Insurance Corporation (Corporation) was established to ensure the timely payment of principal and interest on insured System debt obligations purchased by investors. The Corporation is managed by a three member Board of Directors that consists of the same individuals as the Farm Credit Administration Board. The Corporation derives its revenues from insurance premiums collected from insured System banks and from the investment income earned on its investment

portfolio. Insurance premiums are assessed on System banks based on the level of adjusted insured obligations outstanding at each bank. Congress established a secure base amount of 2 percent of adjusted outstanding insured System obligations, or such other amount determined by the Corporation's Board of Directors to be actuarially sound to maintain in the Insurance Fund. Due to slightly higher than anticipated growth in insured obligations during the first three quarters of 2012, the Insurance Fund was \$73 million below the 2 percent secure base amount as of September 30, 2012 at 1.96 percent. For 2012, the Corporation is assessing insurance premiums at 5 basis points on adjusted insured debt obligations and 10 basis points on non-accrual loans and other-than-temporarily impaired investments. Changes to the Corporation's premium authorities were included in the Food, Conservation, and Energy Act of 2008. The authorities changed the assessment base from loans to adjusted insured obligations and raised the assessment limit to 20 basis points, plus an additional 10 basis points on non-accrual loans and other-than-temporarily impaired investments. In January 2013, the Corporation's Board will determine insurance premium rates for 2013.

The Insurance Fund is available for payment of insured System obligations if a System bank defaults on its primary liability. The Insurance Fund is also available to ensure the retirement of certain eligible borrower stock, and to pay the operating costs of the Corporation. The Corporation can exercise its authority to make loans, borrow, purchase System bank assets or obligations, provide other financial assistance and otherwise act to reduce its exposure to losses.

The Corporation has the authority to make refunds of excess Insurance Fund balances. No refunds are anticipated in 2013.

#### Balance Sheet (in millions of dollars)

| Identification code 78-4171-0-3-351           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>                                |             |             |
| Federal assets: Investments in US securities: |             |             |
| 1102 Treasury securities, par .....           | 3,262       | 3,187       |
| Non-Federal assets:                           |             |             |
| 1206 Accrued interest receivable .....        | 20          | 16          |
| 1206 Premium receivable .....                 | 74          | 65          |
| 1999 Total assets .....                       | 3,356       | 3,268       |
| <b>NET POSITION:</b>                          |             |             |
| 3300 Cumulative results of operations .....   | 3,356       | 3,268       |
| 4999 Total liabilities and net position ..... | 3,356       | 3,268       |

#### Object Classification (in millions of dollars)

| Identification code 78-4171-0-3-351                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                         |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 2       | 2         |
| 43.0 Interest and dividends .....                        | 222         |         |           |
| 99.9 Total new obligations .....                         | 225         | 4       | 4         |

#### Employment Summary

| Identification code 78-4171-0-3-351                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 11          | 11      | 11        |

## FEDERAL COMMUNICATIONS COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses of the Federal Communications Commission, as authorized by law, including uniforms and allowances therefor, as author-

ized by 5 U.S.C. 5901-5902; not to exceed \$4,000 for official reception and representation expenses; purchase and hire of motor vehicles; special counsel fees; and services as authorized by 5 U.S.C. 3109, \$359,299,000, to remain available until expended: Provided, That \$359,299,000 of offsetting collections shall be assessed and collected pursuant to section 9 of title I of the Communications Act of 1934, shall be retained and used for necessary expenses and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced as such offsetting collections are received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at \$0: Provided further, That any offsetting collections received in excess of \$359,299,000 in fiscal year 2014 shall not be available for obligation: Provided further, That remaining offsetting collections from prior years collected in excess of the amount specified for collection in each such year and otherwise becoming available on October 1, 2013, shall not be available for obligation: Provided further, That, notwithstanding 47 U.S.C. 309(j)(8)(B), proceeds from the use of a competitive bidding system that may be retained and made available for obligation shall not exceed \$89,400,000 for fiscal year 2014, including not to exceed \$993,758 for obligation by the Office of the Inspector General: Provided further, That, of the amount appropriated under this heading, not less than \$11,124,612 shall be for the salaries and expenses of the Office of Inspector General.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 27-0100-0-1-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0801 Reimbursable program activity .....  | 444         | 448     | 454       |
| 0809 Reimbursable program activities, subtotal .....  | 444         | 448     | 454       |
| <b>Budgetary Resources:</b>   |             |         |           |
| <b>Unobligated balance:</b>   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 21          | 11      | 10        |
| 1012 Unobligated balance transfers between expired and unexpired accounts .....                                 | 4           |         |           |
| 1050 Unobligated balance (total) .....  | 25          | 11      | 10        |
| <b>Budget authority:</b>  |             |         |           |
| Spending authority from offsetting collections, discretionary:  |             |         |           |
| 1700 Offsetting collections (Reimbursables) .....   | 5           | 6       | 6         |
| 1700 Offsetting collections (Auctions) .....  | 85          | 99      | 89        |
| 1700 Offsetting collections (Reg Fees) .....  | 340         | 342     | 359       |
| 1701 Change in uncollected payments, Federal sources .....  | 5           |         |           |
| 1725 Spending authority from offsetting collections precluded from obligation (limitation on obligations) ..... | -4          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....  | 431         | 447     | 454       |
| 1930 Total budgetary resources available .....  | 456         | 458     | 464       |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 1940 Unobligated balance expiring .....   | -1          |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 11          | 10      | 10        |
| <b>Change in obligated balance:</b>   |             |         |           |
| <b>Unpaid obligations:</b>  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 79          | 90      | 150       |
| 3010 Obligations incurred, unexpired accounts .....   | 444         | 448     | 454       |
| 3011 Obligations incurred, expired accounts .....   | 1           |         |           |
| 3020 Outlays (gross) .....  | -428        | -388    | -517      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -6          |         |           |
| 3050 Unpaid obligations, end of year .....  | 90          | 150     | 87        |
| <b>Uncollected payments:</b>  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....   |             | -5      | -5        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....  | -5          |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....  | -5          | -5      | -5        |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 3100 Obligated balance, start of year .....   | 79          | 85      | 145       |
| 3200 Obligated balance, end of year .....   | 85          | 145     | 82        |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| <b>Discretionary:</b>   |             |         |           |
| 4000 Budget authority, gross .....  | 431         | 447     | 454       |
| <b>Outlays, gross:</b>  |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 363         | 384     | 391       |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 27–0100–0–1–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4011 Outlays from discretionary balances .....  | 65          | 4       | 126       |
| 4020 Outlays, gross (total) .....   | 428         | 388     | 517       |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4030 Federal sources .....  | –6          | –6      | –6        |
| 4033 Non-Federal sources .....  | –85         | –99     | –89       |
| 4034 Offsetting governmental collections .....  | –340        | –342    | –359      |
| 4040 Offsets against gross budget authority and outlays (total) ....                            | –431        | –447    | –454      |
| Additional offsets against gross budget authority only:   |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....                                  | –5          |         |           |
| 4052 Offsetting collections credited to expired accounts .....                                  | 1           |         |           |
| 4060 Additional offsets against budget authority only (total) .....                             | –4          |         |           |
| 4070 Budget authority, net (discretionary) .....  | –4          |         |           |
| 4080 Outlays, net (discretionary) .....   | –3          | –59     | 63        |
| 4180 Budget authority, net (total) .....  | –4          |         |           |
| 4190 Outlays, net (total) .....   | –3          | –59     | 63        |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5090 Unavailable balance, SOY: Offsetting collections .....                                     | 66          | 70      | 70        |
| 5091 Unavailable balance, EOY: Offsetting collections .....                                     | 70          | 70      | 70        |

The Federal Communications Commission (FCC) works to ensure that rapid and efficient communications are available across the country at a reasonable cost. In support of this mission, the FCC's strategic goals include ensuring a competitive framework across communications services; promoting availability of broadband services in the marketplace through conducive regulatory policy; enhancing efficient and effective use of the non-Federal radio spectrum; promoting competition and diversity in media; supporting public safety and homeland security communications; and modernizing the agency to promote administrative efficiency and effectiveness. The 2014 Budget includes funding to improve space utilization, support FCC information technology upgrades, and enhance the Inspector General's oversight capabilities. Funding for the Inspector General will not be less than \$12.1 million

## Object Classification (in millions of dollars)

| Identification code 27–0100–0–1–376 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 444         | 448     | 454       |

## Employment Summary

| Identification code 27–0100–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,721       | 1,776   | 1,821     |

## UNIVERSAL SERVICE FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 27–5183–0–2–376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                  |             |         |           |
| Receipts:  |             |         |           |
| 0200 Universal Service Fund .....                                  | 10,014      | 10,118  | 9,846     |
| 0240 Earnings on Federal Investments, Universal Service Fund ..... | 28          | 9       | 11        |
| 0299 Total receipts and collections .....                          | 10,042      | 10,127  | 9,857     |
| 0400 Total: Balances and collections .....                         | 10,042      | 10,127  | 9,857     |
| Appropriations:  |             |         |           |
| 0500 Universal Service Fund .....                                  | –10,017     | –10,118 | –9,846    |
| 0501 Universal Service Fund .....                                  | –25         | –9      | –11       |
| 0599 Total appropriations .....                                    | –10,042     | –10,127 | –9,857    |

|                                 |  |  |  |
|---------------------------------|--|--|--|
| 0799 Balance, end of year ..... |  |  |  |
|---------------------------------|--|--|--|

## Program and Financing (in millions of dollars)

| Identification code 27–5183–0–2–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Universal service fund .....   | 10,512      | 11,428  | 11,023    |
| 0002 Program support .....  | 108         | 125     | 128       |
| 0900 Total new obligations (object class 41.0) .....  | 10,620      | 11,553  | 11,151    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 2,684       | 3,178   | 2,514     |
| 1021 Recoveries of prior year unpaid obligations .....  | 1,058       | 762     | 850       |
| 1050 Unobligated balance (total) .....  | 3,742       | 3,940   | 3,364     |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:  |             |         |           |
| 1201 Appropriation (special fund)—Receipts .....  | 10,017      | 10,118  | 9,846     |
| 1201 Appropriation (special fund)—Interest .....  | 25          | 9       | 11        |
| 1260 Appropriations, mandatory (total) .....  | 10,042      | 10,127  | 9,857     |
| Spending authority from offsetting collections, mandatory:                                      |             |         |           |
| 1800 Collected .....  | 14          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....                              | 14          |         |           |
| 1900 Budget authority (total) .....   | 10,056      | 10,127  | 9,857     |
| 1930 Total budgetary resources available .....  | 13,798      | 14,067  | 13,221    |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 3,178       | 2,514   | 2,070     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 3,335       | 3,492   | 3,995     |
| 3010 Obligations incurred, unexpired accounts .....   | 10,620      | 11,553  | 11,151    |
| 3020 Outlays (gross) .....  | –9,405      | –10,288 | –10,228   |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....                               | –1,058      | –762    | –850      |
| 3050 Unpaid obligations, end of year .....  | 3,492       | 3,995   | 4,068     |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 3100 Obligated balance, start of year .....   | 3,335       | 3,492   | 3,995     |
| 3200 Obligated balance, end of year .....   | 3,492       | 3,995   | 4,068     |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 10,056      | 10,127  | 9,857     |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 6,122       | 6,226   | 6,095     |
| 4101 Outlays from mandatory balances .....  | 3,283       | 4,062   | 4,133     |
| 4110 Outlays, gross (total) .....   | 9,405       | 10,288  | 10,228    |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4123 Non-Federal sources .....  | –14         |         |           |
| 4180 Budget authority, net (total) .....  | 10,042      | 10,127  | 9,857     |
| 4190 Outlays, net (total) .....   | 9,391       | 10,288  | 10,228    |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....                                | 5,815       | 6,541   | 6,719     |
| 5001 Total investments, EOY: Federal securities: Par value .....                                | 6,541       | 6,719   | 6,430     |

Under the Telecommunications Act of 1996, telecommunications carriers that provide interstate and international telecommunications services are required to contribute funds for the preservation and advancement of universal service. The contributions provided, in turn, by each carrier's subscribers, are used to provide services eligible for universal service support as determined by the FCC. Eligible telecommunications carriers receive support from the universal service funds if they (1) provide service to high-cost areas, (2) provide eligible services at a discount to schools, libraries or rural health care providers, or (3) provide subsidized service or subsidized telephone installation to low-income consumers. Interest income on these funds is utilized to reduce carrier contributions. Contributions also fund the administrative costs of the program. In 2011, the FCC issued an order reforming the high-cost portion of the Universal Service Fund to modernize support in a fiscally responsible manner and promote broadband availability and adoption. The FCC is continuing to

explore ways to reform all of the Universal Service Fund's programs to promote financial accountability and modern communication capabilities.

## SPECTRUM AUCTION PROGRAM ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 27-0300-0-1-376                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                   |             |         |           |
| Credit program obligations:                               |             |         |           |
| 0705 Reestimates of direct loan subsidy .....             | 3           |         |           |
| 0706 Interest on reestimates of direct loan subsidy ..... | 15          |         |           |
| 0709 Administrative expenses .....                        | 1           | 4       | 2         |
| 0900 Total new obligations .....                          | 19          | 4       | 2         |
| <b>Budgetary Resources:</b>                               |             |         |           |
| Unobligated balance:                                      |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....     | 4           | 3       | 3         |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                |             |         |           |
| 1200 Appropriation .....                                  | 18          | 4       | 2         |
| 1260 Appropriations, mandatory (total) .....              | 18          | 4       | 2         |
| 1930 Total budgetary resources available .....            | 22          | 7       | 5         |
| Memorandum (non-add) entries:                             |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....     | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                       |             |         |           |
| Unpaid obligations:                                       |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....     | 2           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....       | 19          | 4       | 2         |
| 3020 Outlays (gross) .....                                | -20         | -4      | -2        |
| 3050 Unpaid obligations, end of year .....                | 1           | 1       | 1         |
| Memorandum (non-add) entries:                             |             |         |           |
| 3100 Obligated balance, start of year .....               | 2           | 1       | 1         |
| 3200 Obligated balance, end of year .....                 | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                 |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                        | 18          | 4       | 2         |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....           |             | 4       | 2         |
| 4101 Outlays from mandatory balances .....                | 20          |         |           |
| 4110 Outlays, gross (total) .....                         | 20          | 4       | 2         |
| 4180 Budget authority, net (total) .....                  | 18          | 4       | 2         |
| 4190 Outlays, net (total) .....                           | 20          | 4       | 2         |

## Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program (in millions of dollars)

| Identification code 27-0300-0-1-376                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct loan upward reestimates:                         |             |         |           |
| 135001 Spectrum Auction .....                           | 18          |         |           |
| 135999 Total upward reestimate budget authority .....   | 18          |         |           |
| Direct loan downward reestimates:                       |             |         |           |
| 137001 Spectrum Auction .....                           |             | -1      |           |
| 137999 Total downward reestimate budget authority ..... |             | -1      |           |
| Administrative expense data:                            |             |         |           |
| 3510 Budget authority .....                             | 1           | 1       | 1         |
| 3590 Outlays from new authority .....                   | 1           | 1       | 1         |

This program provided direct loans for the purpose of purchasing spectrum licenses at the Federal Communications Commission's auctions. The licenses were purchased on an installment basis, which constitutes an extension of credit. The first year of activity for this program was 1996.

As required by the Federal Credit Reform Act of 1990, this account records, for this program, the subsidy costs associated with the direct loans obligated in 1992 and beyond (including modifications of direct loans or loan guarantees that resulted from obligations or commitments in any year), as well as administrative expenses of this program. The subsidy amounts are estimated

on a present value basis and administrative expenses are estimated on a cash basis. The FCC no longer offers credit terms on purchases through spectrum auctions. Program activity relates to maintenance and close-out of existing loans.

## Object Classification (in millions of dollars)

| Identification code 27-0300-0-1-376                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....   |             | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       |             | 1       |           |
| 25.3 Other goods and services from Federal sources ..... |             | 2       | 1         |
| 41.0 Grants, subsidies, and contributions .....          | 19          |         |           |
| 99.9 Total new obligations .....                         | 19          | 4       | 2         |

## Employment Summary

| Identification code 27-0300-0-1-376   | 2012 actual | 2013 CR | 2014 est. |
|---------------------------------------|-------------|---------|-----------|
| 1001 Spectrum Auction [27-4133] ..... | 4           | 5       | 5         |

## SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT

## Program and Financing (in millions of dollars)

| Identification code 27-4133-0-3-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| Credit program obligations:   |             |         |           |
| 0713 Payment of interest to Treasury .....                                      | 2           | 7       | 7         |
| 0715 Refunds and Downward Subsidy .....   | 1           |         |           |
| 0743 Interest on downward reestimates .....                                     |             | 1       |           |
| 0900 Total new obligations .....  | 3           | 8       | 7         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                           | 37          | 5       | 4         |
| 1023 Unobligated balances applied to repay debt .....                           | -33         |         |           |
| 1050 Unobligated balance (total) .....  | 4           | 5       | 4         |
| Financing authority:  |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 1           | 7       | 7         |
| 1440 Borrowing authority, mandatory (total) .....                               | 1           | 7       | 7         |
| Spending authority from offsetting collections, mandatory:                      |             |         |           |
| 1800 Offsetting collections .....   | 21          |         |           |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | -18         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 3           |         |           |
| 1900 Financing authority (total) .....  | 4           | 7       | 7         |
| 1930 Total budgetary resources available .....                                  | 8           | 12      | 11        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                           | 5           | 4       | 4         |

## Change in obligated balance:

|   |    |    |    |
|---|----|----|----|
| Unpaid obligations:                                   |    |    |    |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |    |    | 1  |
| 3010 Obligations incurred, unexpired accounts .....   | 3  | 8  | 7  |
| 3020 Financing disbursements (gross) .....            | -3 | -7 | -7 |
| 3050 Unpaid obligations, end of year .....            |    | 1  | 1  |
| Memorandum (non-add) entries:                         |    |    |    |
| 3100 Obligated balance, start of year .....           |    |    | 1  |
| 3200 Obligated balance, end of year .....             |    | 1  | 1  |

## Financing authority and disbursements, net:

|   |     |   |   |
|---|-----|---|---|
| Mandatory:  |     |   |   |
| 4090 Financing authority, gross .....                                     | 4   | 7 | 7 |
| Financing disbursements:  |     |   |   |
| 4110 Financing disbursements, gross .....                                 | 3   | 7 | 7 |
| Offsets against gross financing authority and disbursements:              |     |   |   |
| Offsetting collections (collected) from:                                  |     |   |   |
| 4120 Federal sources .....  | -18 |   |   |
| 4122 Interest on uninvested funds .....                                   | -3  |   |   |
| 4130 Offsets against gross financing auth and disbursements (total) ..... | -21 |   |   |

SPECTRUM AUCTION DIRECT LOAN FINANCING ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 27-4133-0-3-376                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4160 Financing authority, net (mandatory) .....     | -17         | 7       | 7         |
| 4170 Financing disbursements, net (mandatory) ..... | -18         | 7       | 7         |
| 4180 Financing authority, net (total) .....         | -17         | 7       | 7         |
| 4190 Financing disbursements, net (total) .....     | -18         | 7       | 7         |

## Status of Direct Loans (in millions of dollars)

| Identification code 27-4133-0-3-376             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year .....           | 132         | 112     | 88        |
| 1263 Write-offs for default: Direct loans ..... | -20         | -24     | -24       |
| 1290 Outstanding, end of year .....             | 112         | 88      | 64        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records all cash flows to and from the Government resulting from direct loans obligated in 1992 and beyond (including modifications of direct loans that resulted from obligations in any year). The amounts in this account are a means of financing and are not included in the budget totals.

## Balance Sheet (in millions of dollars)

| Identification code 27-4133-0-3-376                               | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>  |             |             |
| Federal assets:   |             |             |
| 1101 Fund balances with Treasury .....                            | 37          | 4           |
| Investments in US securities:                                     |             |             |
| 1106 Receivables, net .....                                       | 18          |             |
| Net value of assets related to post-1991 direct loans receivable: |             |             |
| 1401 Direct loans receivable, gross .....                         | 132         | 112         |
| 1402 Interest receivable .....                                    | 9           | 8           |
| 1405 Allowance for subsidy cost (-) .....                         | -141        | -119        |
| 1499 Net present value of assets related to direct loans .....    |             | 1           |
| 1999 Total assets .....   | 55          | 5           |
| <b>LIABILITIES:</b>   |             |             |
| Federal liabilities:  |             |             |
| 2103 Resources payable to Treasury .....                          | 50          |             |
| 2105 Other .....  | 5           | 5           |
| 2999 Total liabilities .....                                      | 55          | 5           |
| 4999 Total liabilities and net position .....                     | 55          | 5           |

## BROADBAND TECHNOLOGY OPPORTUNITIES PROGRAM, RECOVERY ACT

## Program and Financing (in millions of dollars)

| Identification code 27-0200-0-1-503                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3011 Obligations incurred, expired accounts .....     | 4           |         |           |
| 3020 Outlays (gross) .....                            | -4          |         |           |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 4           |         |           |
| 4190 Outlays, net (total) .....                       | 4           |         |           |

As directed in the American Recovery and Reinvestment Act of 2009 (P.L. 111-5), the FCC developed a national broadband plan and supported the National Telecommunications and Information

Administration's (NTIA) implementation of the Broadband Technology Opportunities Program. The Secretary of Commerce, in consultation with the FCC and following Congressional notification, transferred \$20 million to the FCC for carrying out these responsibilities.

## TV BROADCASTER RELOCATION FUND

## Program and Financing (in millions of dollars)

| Identification code 27-5610-0-2-376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 TV Broadcaster Relocation .....                 |             |         | 500       |
| 0900 Total new obligations (object class 41.0) ..... |             |         | 500       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Borrowing authority, mandatory:                      |             |         |           |
| 1400 Borrowing authority .....                       |             |         | 500       |
| 1440 Borrowing authority, mandatory (total) .....    |             |         | 500       |
| 1900 Budget authority (total) .....                  |             |         | 500       |
| 1930 Total budgetary resources available .....       |             |         | 500       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  |             |         | 500       |
| 3020 Outlays (gross) .....                           |             |         | -25       |
| 3050 Unpaid obligations, end of year .....           |             |         | 475       |
| Memorandum (non-add) entries:                        |             |         |           |
| 3200 Obligated balance, end of year .....            |             |         | 475       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   |             |         | 500       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      |             |         | 25        |
| 4180 Budget authority, net (total) .....             |             |         | 500       |
| 4190 Outlays, net (total) .....                      |             |         | 25        |

## SPECTRUM LICENSE USER FEE

To promote efficient use of the electromagnetic spectrum, the Administration proposes to provide the FCC with new authority to use other economic mechanisms, such as fees, as a spectrum management tool. The FCC would be authorized to set user fees on unauctioned spectrum licenses based on spectrum-management principles. Fees would be phased in over time as part of an ongoing rulemaking process to determine the appropriate application and level for fees. Fee collections are estimated to begin in 2013 and total \$4.8 billion through 2023.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 27-089600 Spectrum License User Fees .....   |             | 50      | 200       |
| 27-242900 Fees for Services .....  | 25          | 23      | 23        |
| 27-247400 Auction Receipts .....   |             |         | 25        |
| 27-273630 Spectrum Auction Direct Loan, Downward Reestimates of Subsidies .....                | 1           | 1       |           |
| 27-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 1           | 3       | 3         |
| General Fund Offsetting receipts from the public .....   | 27          | 77      | 251       |

**FEDERAL DEPOSIT INSURANCE CORPORATION**

The Federal Deposit Insurance Corporation (FDIC) was created by the Banking Act of 1933 to provide protection for bank depositors and to foster sound banking practices.

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101-73) established the Bank Insurance Fund (BIF), the Savings Association Insurance Fund (SAIF), and the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund (FRF). Under the Deposit Insurance Reform Act of 2005, the BIF and SAIF were merged into a new Deposit Insurance Fund (DIF) in 2006. The Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203), enacted July 21, 2010, permanently increased the deposit insurance coverage level to \$250,000 per account.

The Federal Deposit Insurance Corporation Improvement Act of 1991 generally requires FDIC to use the least costly method to resolve failed banks, and mandates that the FDIC take prompt corrective action against under-capitalized financial institutions. In order to accomplish its varied functions to protect depositors, FDIC is authorized to promulgate and enforce rules and regulations relating to the supervision of insured institutions and to perform other regulatory and supervisory duties consistent with its responsibilities as an insurer.

**DEPOSIT INSURANCE*****Federal Funds*****DEPOSIT INSURANCE FUND****Program and Financing** (in millions of dollars)

| Identification code 51-4596-0-4-373   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0002 Insurance .....  | 224         | 191     | 198       |
| 0003 Supervision .....  | 885         | 683     | 706       |
| 0004 Receivership Management .....  | 248         | 758     | 783       |
| 0005 General and Administrative .....   | 221         | 160     | 165       |
| 0091 Total operating expenses .....   | 1,578       | 1,792   | 1,852     |
| 0101 Working Capital Outlays .....  | 8,676       | 10,863  | 16,754    |
| 0102 Net Case Resolution - Losses .....   | 12,826      | 10,094  |           |
| 0103 Receivership Funding .....   | 1,150       | 1,198   |           |
| 0191 Total Capital Investment .....   | 8,676       | 24,839  | 28,046    |
| 0900 Total new obligations .....  | 10,254      | 26,631  | 29,898    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 35,272      | 37,455  | 24,469    |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, discretionary:                                    |             |         |           |
| 1710 Spending authority from offsetting collections transferred to other accounts [51-4595] ..... |             | -45     | -35       |
| 1750 Spending auth from offsetting collections, disc (total) .....                                |             | -45     | -35       |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1800 Collected .....  | 11,851      | 13,690  | 36,570    |
| 1801 Change in uncollected payments, Federal sources .....  | 615         |         |           |
| 1810 Spending authority from offsetting collections transferred to other accounts [51-4595] ..... | -29         |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....                                | 12,437      | 13,690  | 36,570    |
| 1900 Budget authority (total) .....   | 12,437      | 13,645  | 36,535    |
| 1930 Total budgetary resources available .....  | 47,709      | 51,100  | 61,004    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 37,455      | 24,469  | 31,106    |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 147         | 151     | 53        |
| 3010 Obligations incurred, unexpired accounts .....   | 10,254      | 26,631  | 29,898    |
| 3020 Outlays (gross) .....  | -10,250     | -26,729 | -29,862   |
| 3050 Unpaid obligations, end of year .....  | 151         | 53      | 89        |

|  |         |         |         |
|--|---------|---------|---------|
| Uncollected payments:  |         |         |         |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -498    | -1,113  | -1,113  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -615    |         |         |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -1,113  | -1,113  | -1,113  |
| Memorandum (non-add) entries:  |         |         |         |
| 3100 Obligated balance, start of year .....                          | -351    | -962    | -1,060  |
| 3200 Obligated balance, end of year .....                            | -962    | -1,060  | -1,024  |
| <b>Budget authority and outlays, net:</b>                            |         |         |         |
| Discretionary:   |         |         |         |
| 4000 Budget authority, gross .....                                   |         | -45     | -35     |
| Outlays, gross:  |         |         |         |
| 4010 Outlays from new discretionary authority .....                  |         | -45     | -35     |
| Mandatory:   |         |         |         |
| 4090 Budget authority, gross .....                                   | 12,437  | 13,690  | 36,570  |
| Outlays, gross:  |         |         |         |
| 4101 Outlays from mandatory balances .....                           | 10,250  | 26,774  | 29,897  |
| Offsets against gross budget authority and outlays:                  |         |         |         |
| Offsetting collections (collected) from:                             |         |         |         |
| 4120 Federal sources .....   | -6,616  | -1,113  |         |
| 4121 Interest on Federal securities .....                            | 252     | -693    | -576    |
| 4123 Non-Federal sources .....                                       | -5,487  | -11,884 | -35,994 |
| 4130 Offsets against gross budget authority and outlays (total) .... | -11,851 | -13,690 | -36,570 |
| Additional offsets against gross budget authority only:              |         |         |         |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | -615    |         |         |
| 4160 Budget authority, net (mandatory) .....                         | -29     |         |         |
| 4170 Outlays, net (mandatory) .....                                  | -1,601  | 13,084  | -6,673  |
| 4180 Budget authority, net (total) .....                             | -29     | -45     | -35     |
| 4190 Outlays, net (total) .....                                      | -1,601  | 13,039  | -6,708  |
| <b>Memorandum (non-add) entries:</b>                                 |         |         |         |
| 5000 Total investments, SOY: Federal securities: Par value .....     | 34,926  | 36,498  | 23,522  |
| 5001 Total investments, EOY: Federal securities: Par value .....     | 36,498  | 23,522  | 30,160  |

The primary purpose of the Deposit Insurance Fund (DIF) is to insure deposits and protect the depositors of failed banking institutions. Under the Deposit Insurance Reform Act of 2005, the FDIC's Bank Insurance Fund (BIF) and its Savings Association Insurance Fund (SAIF) were merged into the new Deposit Insurance Fund on March 31, 2006. Through the DIF, the FDIC will resolve and recover funds disbursed from the assets of failed institutions. The FDIC is authorized to charge risk-based premiums on member institutions to restore and maintain adequate fund reserves, which must be a designated percentage of estimated insured deposits as set by the FDIC before the beginning of each year. The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111-203), enacted July 21, 2010, increased the minimum DIF reserve ratio (ratio of the DIF to total insured deposits) to 1.35 percent, up from 1.15 percent. In addition to raising the minimum reserve ratio, the Act also: 1) eliminated the FDIC's requirement to rebate premiums when the reserve ratio is between 1.35 and 1.5 percent; 2) gave the FDIC discretion to suspend or limit rebates when the DIF reserve ratio is at least 1.5 percent, effectively removing the 1.5 percent cap on the DIF; 3) required the FDIC to offset the effect of small insured depository institutions (defined as banks with assets less than \$10 billion) when setting assessments to raise the reserve ratio from 1.15 to 1.35 percent, and 4) permanently increased the insured deposit level to \$250,000 per account at banks insured by the FDIC. The FDIC Board has issued a final rule setting a long-term (greater than 10 years) DIF reserve ratio target of 2 percent, with the goal of maintaining a positive fund balance during any future economic crises and maintaining a moderate, steady, long-term assessment rate that provides transparency and predictability to the banking sector.

The number of insured institutions on the FDIC's "problem list" (institutions with the highest risk ratings) is on the decline. As of September 30, 2012, there were 694 institutions on the "problem list," which is approximately an 18 percent decrease from the number of problem institutions listed on September 30, 2011. In addition, the number of assets held by problem institutions

## DEPOSIT INSURANCE FUND—Continued

decreased by 23 percent year-over-year. As of September 30, 2012, the DIF fund balance stood at \$25.2 billion, on an accrual basis measuring expected losses to current balances. This level is equivalent to a reserve ratio of 0.35 percent, or \$72.6 billion below the level that would meet the target reserve ratio. The growth in the DIF fund balance is a result of fewer bank failures and higher assessment revenue.

Pursuant to the Act, the restoration period for the DIF reserve ratio to reach 1.35 percent was extended to 2020. (Prior to the Act, the DIF reserve ratio was required to reach the minimum target of 1.15 percent by 2017.) The Budget projects that net outflows in 2013 will reduce the DIF reserve ratio to 0.22 at the year end. From 2014, however, the DIF reserve ratio is expected to continue to increase, reaching the statutorily required 1.35 percent level by 2020.

For more information, please see the Credit and Insurance chapter in the Analytical Perspectives volume of the Budget.

## Object Classification (in millions of dollars)

| Identification code 51–4596–0–4–373                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 762         | 865     | 894       |
| 12.1 Civilian personnel benefits .....                          | 268         | 305     | 315       |
| 21.0 Travel and transportation of persons .....                 | 72          | 82      | 84        |
| 23.2 Rental payments to others .....                            | 38          | 44      | 45        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 47          | 53      | 55        |
| 24.0 Printing and reproduction .....                            | 3           | 4       | 4         |
| 25.2 Other services from non-Federal sources .....              | 298         | 337     | 350       |
| 26.0 Supplies and materials .....                               | 7           | 8       | 8         |
| 31.0 Equipment .....  | 78          | 89      | 92        |
| 32.0 Land and structures .....                                  | 5           | 5       | 5         |
| 42.0 Resolution Outlays .....                                   | 8,676       | 24,839  | 28,046    |
| 99.9 Total new obligations .....                                | 10,254      | 26,631  | 29,898    |

## Employment Summary

| Identification code 51–4596–0–4–373                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 8,000       | 7,850   | 7,454     |

## NON-INTEREST BEARING TRANSACTION ACCOUNT GUARANTEE

On October 14, 2008, using its existing authority, the FDIC created the Temporary Liquidity Guarantee Program (TLGP), aimed at freeing up funding for banks. Under the Non-Interest Bearing Transaction Account Guarantee (TAG), a component of the TLGP, the FDIC extended an unlimited guarantee to participating insured depository institutions for non-interest bearing transaction account deposits, which included low-interest negotiable order of withdrawal (NOW) accounts and Interest on Lawyers Trust Accounts (IOLTA). The FDIC Board adopted a final rule in October 2010, stating that the TAG will not be extended beyond its December 31, 2010 expiration date.

The Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) authorized the FDIC to provide two years of unlimited insurance coverage, through the Deposit Insurance Fund, for non-interest bearing transaction account deposits starting on December 31, 2010, (excluding NOW accounts and IOLTAs). However, the Permanent Federal Deposit Insurance Coverage for Interest on Lawyers Trust Accounts Act (P.L. 111–343) passed on December 29, 2010, extended the two years of unlimited coverage to IOTLAs. The NOW accounts are still excluded. This temporary unlimited coverage is in addition to, and separate from, the coverage of at least \$250,000 available to depositors under FDIC general deposit insurance. The coverage

extended through the Act is provided to all insured institutions and there are no separate fees associated with this coverage.

The Budget reflects TAG account transactions for the first quarter of 2011, after which losses on non-interest bearing transaction accounts are reflected in the FDIC's Deposit Insurance Fund.

## SENIOR UNSECURED DEBT GUARANTEE

## Program and Financing (in millions of dollars)

| Identification code 51–4457–0–3–373                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 TLGP Payments (TAG) .....                                       | 6,724       | 1,113   | .....     |
| 0900 Total new obligations (object class 42.0) .....                 | 6,724       | 1,113   | .....     |
| <b>Budgetary Resources:</b>  |             |         |           |
| 1000 Unobligated balance:  |             |         |           |
| Unobligated balance brought forward, Oct 1 .....                     | 7,301       | 1,103   | .....     |
| Budget authority:  |             |         |           |
| 1800 Spending authority from offsetting collections, mandatory:      |             |         |           |
| Collected .....  | 526         | 10      | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 526         | 10      | .....     |
| 1930 Total budgetary resources available .....                       | 7,827       | 1,113   | .....     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1,103       | .....   | .....     |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                  | 6,724       | 1,113   | .....     |
| 3020 Outlays (gross) .....   | –6,724      | –1,113  | .....     |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 526         | 10      | .....     |
| 4101 Outlays, gross:   |             |         |           |
| Outlays from mandatory balances .....                                | 6,724       | 1,113   | .....     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4121 Interest on Federal securities .....                            | –84         | –10     | .....     |
| 4123 Non-Federal sources .....                                       | –442        | .....   | .....     |
| 4130 Offsets against gross budget authority and outlays (total) .... | –526        | –10     | .....     |
| 4170 Outlays, net (mandatory) .....                                  | 6,198       | 1,103   | .....     |
| 4190 Outlays, net (total) .....                                      | 6,198       | 1,103   | .....     |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....     | 7,301       | 1,104   | .....     |
| 5001 Total investments, EOY: Federal securities: Par value .....     | 1,104       | .....   | .....     |

On October 14, 2008, using its existing authority, the FDIC created the Temporary Liquidity Guarantee Program (TLGP), aimed at freeing up funding for banks. Under the Debt Guarantee Program, a component of the TLGP, the FDIC guaranteed qualifying bank and bank holding company debt. If a bank defaulted on its debt, the FDIC made required principal and interest payments to unsecured senior debt holders. The FDIC charged additional premiums for any banks that voluntarily opted into this program. The program was designed to promote liquidity by allowing banks to roll over existing debt. Originally, the guarantee was limited to unsecured debt issued between October 14, 2008 and June 30, 2009, and the FDIC guarantee extended through June 30, 2012. On March 17, 2009, the FDIC extended coverage to debt issued through October 31, 2009, and extended the guarantee through December 31, 2012. The FDIC also levied a surcharge on debt issued between April 1, 2009 and October 31, 2009, which was transferred to the Deposit Insurance Fund. To reduce market disruption and ensure orderly phase-out at the conclusion of the Debt Guarantee Program, on June 3, 2009 the FDIC issued a final rule that extended the period during which participating entities could issue FDIC-guaranteed debt through



October 31, 2009. The rule also established a limited, six-month emergency guarantee facility upon expiration of the program; however, this facility was never utilized. As of September 30, 2012, there was \$39.4 billion of debt outstanding in the senior unsecured debt guarantee program. By December 31, 2012, all the remaining senior unsecured debt matured.

**Object Classification** (in millions of dollars)

| Identification code 51-4457-0-3-373 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Reimbursable obligations:           |             |         |           |
| 42.0 Debt Guarantee Payments .....  | 6,724       | 1,113   | .....     |
| 99.0 Reimbursable obligations ..... | 6,724       | 1,113   | .....     |

**FSLIC RESOLUTION****Federal Funds****FSLIC RESOLUTION FUND****Program and Financing** (in millions of dollars)

| Identification code 51-4065-0-3-373                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Goodwill settlements .....                                    | 50          | 537     | .....     |
| 0803 Receivership management .....                                 | 3           | 3       | 3         |
| 0804 General administrative .....                                  | 2           | 3       | 2         |
| 0809 Reimbursable program activities, subtotal .....               | 55          | 543     | 5         |
| 0900 Total new obligations .....                                   | 55          | 543     | 5         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 3,519       | 3,588   | 3,625     |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:   |             |         |           |
| 1200 Appropriation .....   | 50          | 537     | .....     |
| 1260 Appropriations, mandatory (total) .....                       | 50          | 537     | .....     |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Offsetting collections .....                                  | 76          | 43      | 4         |
| 1801 Change in uncollected payments, Federal sources .....         | -2          | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 74          | 43      | 4         |
| 1900 Budget authority (total) .....                                | 124         | 580     | 4         |
| 1930 Total budgetary resources available .....                     | 3,643       | 4,168   | 3,629     |
| <b>Memorandum (non-add) entries:</b>                               |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 3,588       | 3,625   | 3,624     |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 3           | 2       | 1         |
| 3010 Obligations incurred, unexpired accounts .....                | 55          | 543     | 5         |
| 3020 Outlays (gross) .....   | -56         | -544    | -5        |
| 3050 Unpaid obligations, end of year .....                         | 2           | 1       | 1         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -2          | .....   | .....     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | 2           | .....   | .....     |
| <b>Memorandum (non-add) entries:</b>                               |             |         |           |
| 3100 Obligated balance, start of year .....                        | 1           | 2       | 1         |
| 3200 Obligated balance, end of year .....                          | 2           | 1       | 1         |

**Budget authority and outlays, net:**

|  |     |       |       |
|--|-----|-------|-------|
| Mandatory:   |     |       |       |
| 4090 Budget authority, gross .....                                   | 124 | 580   | 4     |
| Outlays, gross:  |     |       |       |
| 4101 Outlays from mandatory balances .....                           | 56  | 544   | 5     |
| Offsets against gross budget authority and outlays:                  |     |       |       |
| Offsetting collections (collected) from:                             |     |       |       |
| 4121 Interest on Federal securities .....                            | -2  | -2    | -2    |
| 4123 Non-Federal sources .....                                       | -74 | -41   | -2    |
| 4130 Offsets against gross budget authority and outlays (total) .... | -76 | -43   | -4    |
| Additional offsets against gross budget authority only:              |     |       |       |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | 2   | ..... | ..... |
| 4160 Budget authority, net (mandatory) .....                         | 50  | 537   | ..... |
| 4170 Outlays, net (mandatory) .....                                  | -20 | 501   | 1     |
| 4180 Budget authority, net (total) .....                             | 50  | 537   | ..... |

|                                 |     |     |   |
|---------------------------------|-----|-----|---|
| 4190 Outlays, net (total) ..... | -20 | 501 | 1 |
|---------------------------------|-----|-----|---|

**Memorandum (non-add) entries:**

|  |       |       |       |
|--|-------|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 3,374 | 3,424 | 3,467 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 3,424 | 3,467 | 3,471 |

The FSLIC Resolution Fund (FRF) is the successor to FSLIC assets and liabilities from thrift resolutions prior to August 1989. Beginning in August 1989, the Resolution Trust Corporation (RTC) assumed responsibility for the FSLIC's unresolved cases. On December 31, 1995, the RTC was terminated and its assets and liabilities were transferred to FRF.

Funds for FRF operations have come from: income earned on its assets; liquidation proceeds from receiverships; the proceeds of the sale of bonds by the Financing Corporation; and, a portion of insurance premiums paid by Savings Association Insurance Fund (SAIF) members prior to 1993. The Financial Institutions Reform, Recovery, and Enforcement Act (P.L. 101-73) authorizes appropriations to make up for any shortfall. The FRF will terminate upon the disposition of all of its assets, and any net proceeds will be deposited into the General Fund of the Treasury. Net proceeds from the former RTC will be paid to the Resolution Funding Corporation. Based on information provided by the FDIC, the Budget projects this dissolution to occur in 2016.

**Object Classification** (in millions of dollars)

| Identification code 51-4065-0-3-373                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | .....     |
| 25.2 Other services from non-Federal sources .....              | 2           | 3       | 3         |
| 42.0 Insurance claims and indemnities .....                     | 50          | 537     | .....     |
| 99.9 Total new obligations .....                                | 55          | 543     | 5         |

**Employment Summary**

| Identification code 51-4065-0-3-373                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

**ORDERLY LIQUIDATION****Federal Funds****ORDERLY LIQUIDATION FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 51-5586-0-2-373                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                           | .....       | .....   | .....     |
| Receipts:   |             |         |           |
| 0200 Risk-Based Assessments, Orderly Liquidation Fund ..... | .....       | 6       | 158       |
| 0400 Total: Balances and collections .....                  | .....       | 6       | 158       |
| Appropriations:   |             |         |           |
| 0500 Orderly Liquidation Fund .....                         | .....       | -6      | -158      |
| 0799 Balance, end of year .....                             | .....       | .....   | .....     |

**Program and Financing** (in millions of dollars)

| Identification code 51-5586-0-2-373     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Orderly Liquidation .....          | .....       | 645     | 1,549     |
| 0002 Administrative Expenses .....      | .....       | 1       | 2         |
| 0003 Interest to Treasury .....         | .....       | 3       | 17        |
| 0900 Total new obligations .....        | .....       | 649     | 1,568     |

ORDERLY LIQUIDATION FUND—Continued  
Program and Financing—Continued

| Identification code 51–5586–0–2–373                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, mandatory:                          |             |         |           |
| 1201 Appropriation (special or trust fund) .....    |             | 6       | 158       |
| 1260 Appropriations, mandatory (total) .....        |             | 6       | 158       |
| Borrowing authority, mandatory:                     |             |         |           |
| 1400 Borrowing authority .....                      |             | 643     | 1,410     |
| 1440 Borrowing authority, mandatory (total) .....   |             | 643     | 1,410     |
| 1900 Budget authority (total) .....                 |             | 649     | 1,568     |
| 1930 Total budgetary resources available .....      |             | 649     | 1,568     |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... |             | 649     | 1,568     |
| 3020 Outlays (gross) .....                          |             | –649    | –1,568    |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                  |             | 649     | 1,568     |
| Outlays, gross:                                     |             |         |           |
| 4100 Outlays from new mandatory authority .....     |             | 649     | 1,568     |
| 4180 Budget authority, net (total) .....            |             | 649     | 1,568     |
| 4190 Outlays, net (total) .....                     |             | 649     | 1,568     |

Title II of the Dodd-Frank Wall Street Reform and Consumer Protection Act (the Act) (P.L. 111–203) established a new Orderly Liquidation Authority and appointed the FDIC as receiver of financial institutions whose failure is determined to pose a systemic risk to the financial stability of the United States. The aim of the Orderly Liquidation Authority is to efficiently and effectively resolve the failure of a large, interconnected financial institution, while limiting the disruptions to the financial markets and the economy.

The Orderly Liquidation receivership mechanism applies to financial institutions that are "systemically significant." Bank holding companies with more than \$50 billion in assets are automatically deemed to be systemically significant, and nonbank institutions that meet certain criteria can be determined to be systemically significant by the Financial Stability Oversight Council (FSOC). The Federal Reserve and the prudential regulator (FDIC or the Securities Exchange Commission) or the Federal Insurance Office recommend in writing, upon a 2/3 vote of each party, that the Treasury Secretary appoint FDIC as receiver for a failing, systemically significant financial company. The Treasury Secretary must then, in consultation with the President, determine whether circumstances warrant liquidating the failing institution. If the Secretary of the Treasury determines that unwinding the firm under the Orderly Liquidation Authority is necessary and appropriate, he/she may appoint the FDIC as receiver. The FDIC's authorities as receiver under an Orderly Liquidation receivership are largely comparable to its current receivership authority over failed depository institutions.

The Act states that "no taxpayer funds shall be used to prevent the liquidation of any financial company" and, therefore, establishes an ex-post Orderly Liquidation Fund to recoup all expenses associated with the exercise of the Orderly Liquidation Authority. This procedure ensures that there is no net expenditure of taxpayer funds resulting from the use of the fund in liquidation of a covered institution. The FDIC is authorized to charge "eligible financial institutions" (bank and non-bank financial institutions with consolidated assets greater than \$50 billion) risk-based assessments over a 5-year period to recoup all Orderly Liquidation related expenses. While the Budget does not forecast any specific systemic failure, estimates are derived from a probabilistic

model that incorporates historic systemic failure information in OECD countries. For more details, please see the Financial Stabilization and Their Budgetary Effects chapter in the Analytical Perspectives volume.

## Object Classification (in millions of dollars)

| Identification code 51–5586–0–2–373 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Direct obligations:                 |             |         |           |
| 43.0 Admin .....                    |             | 1       | 2         |
| 43.0 Orderly Liquidation .....      |             | 3       | 17        |
| 43.0 Orderly Liquidation .....      |             | 645     | 1,549     |
| 99.9 Total new obligations .....    |             | 649     | 1,568     |

## FDIC—OFFICE OF INSPECTOR GENERAL

## Federal Funds

## OFFICE OF THE INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$34,568,000, to be derived from the Deposit Insurance Fund or, only when appropriate, the FSLIC Resolution Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 51–4595–0–4–373                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 29          | 45      | 35        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1711 Transferred from other accounts [51–4596] .....               | 29          | 45      | 35        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 29          | 45      | 35        |
| 1930 Total budgetary resources available .....                     | 29          | 45      | 35        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 29          | 45      | 35        |
| 3020 Outlays (gross) .....   | –29         | –45     | –35       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 29          | 45      | 35        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 29          | 45      | 35        |
| 4180 Budget authority, net (total) .....                           | 29          | 45      | 35        |
| 4190 Outlays, net (total) .....                                    | 29          | 45      | 35        |

FDIC's Office of Inspector General (OIG) is an independent unit within FDIC that conducts audits, evaluations, and investigations of corporate activities. In addition, the OIG assists the FDIC in preventing and detecting fraud, waste, abuse, and mismanagement. The OIG was established by the FDIC Board of Directors pursuant to the Inspector General Act amendments of 1988 (P.L. 100–504). The Resolution Trust Corporation Completion Act (P.L. 103–204), enacted December 17, 1993, provided that the FDIC Inspector General be appointed by the President and confirmed by the Senate. The Completion Act thus added FDIC to the list of establishments whose OIGs have separate appropriation accounts under Section 1105(a) of Title 31, United States Code. The OIG's appropriations are derived from the Deposit Insurance Fund; however, to the extent that the OIG performs work in connection with the FSLIC Resolution Fund (FRF), the cost of such work shall be derived from the FRF.

**Object Classification** (in millions of dollars)

| Identification code 51-4595-0-4-373                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 18          | 27      | 21        |
| 11.3 Other than full-time permanent .....          | 1           |         |           |
| 11.9 Total personnel compensation .....            | 19          | 27      | 21        |
| 12.1 Civilian personnel benefits .....             | 7           | 10      | 8         |
| 21.0 Travel and transportation of persons .....    | 1           | 4       | 3         |
| 25.2 Other services from non-Federal sources ..... | 2           | 3       | 2         |
| 31.0 Equipment .....                               |             | 1       | 1         |
| 99.9 Total new obligations .....                   | 29          | 45      | 35        |

**Employment Summary**

| Identification code 51-4595-0-4-373                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 128         | 130     | 130       |

**FEDERAL DRUG CONTROL PROGRAMS****Federal Funds****HIGH INTENSITY DRUG TRAFFICKING AREAS PROGRAM**

(INCLUDING TRANSFERS OF FUNDS)

*For necessary expenses of the Office of National Drug Control Policy's High Intensity Drug Trafficking Areas Program, \$193,400,000, to remain available until September 30, 2015, for drug control activities consistent with the approved strategy for each of the designated High Intensity Drug Trafficking Areas ("HIDTAs"), of which not less than 51 percent shall be transferred to State and local entities for drug control activities and shall be obligated not later than 120 days after enactment of this Act: Provided, That up to 49 percent may be transferred to Federal agencies and departments in amounts determined by the Director of the Office of National Drug Control Policy, of which up to \$2,700,000 may be used for auditing services and associated activities: Provided further, That upon a determination that all or part of the funds so transferred from this appropriation are not necessary for the purposes provided herein, such amounts may be transferred back to this appropriation: Provided further, That, notwithstanding the requirements of Public Law 106-58, any unexpended funds obligated prior to fiscal year 2012 may be used for any other approved activities of that HIDTA, subject to reprogramming requirements.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 11-1070-0-1-754                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0002 Grants and federal transfers .....                          | 229         | 237     | 190       |
| 0003 Auditing services and activities .....                      | 3           | 3       | 3         |
| 0900 Total new obligations .....                                 | 232         | 240     | 193       |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 13          | 5       | 5         |
| 1010 Unobligated balance transfer to other accts [15-1100] ..... | -1          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....           | 6           |         |           |
| 1050 Unobligated balance (total) .....                           | 18          | 5       | 5         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 New budget authority (gross), detail .....                  | 239         | 240     | 193       |
| 1120 Appropriations transferred to other accts [70-0540] .....   | -1          |         |           |
| 1120 Appropriations transferred to other accts [15-1100] .....   | -15         |         |           |
| 1120 Appropriations transferred to other accts [15-0200] .....   | -2          |         |           |
| 1120 Appropriations transferred to other accts [15-0322] .....   | -1          |         |           |
| 1120 Appropriations transferred to other accts [15-0324] .....   | -1          |         |           |
| 1160 Appropriation, discretionary (total) .....                  | 219         | 240     | 193       |

|   |     |     |     |
|---|-----|-----|-----|
| 1930 Total budgetary resources available .....        | 237 | 245 | 198 |
| Memorandum (non-add) entries:                         |     |     |     |
| 1941 Unexpired unobligated balance, end of year ..... | 5   | 5   | 5   |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 236  | 244  | 180  |
| 3010 Obligations incurred, unexpired accounts .....               | 232  | 240  | 193  |
| 3011 Obligations incurred, expired accounts .....                 | 2    |      |      |
| 3020 Outlays (gross) .....  | -217 | -304 | -192 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -6   |      |      |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -3   |      |      |
| 3050 Unpaid obligations, end of year .....                        | 244  | 180  | 181  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 236  | 244  | 180  |
| 3200 Obligated balance, end of year .....                         | 244  | 180  | 181  |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 219 | 240 | 193 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 35  | 60  | 48  |
| 4011 Outlays from discretionary balances .....      | 182 | 244 | 144 |
| 4020 Outlays, gross (total) .....                   | 217 | 304 | 192 |
| 4180 Budget authority, net (total) .....            | 219 | 240 | 193 |
| 4190 Outlays, net (total) .....                     | 217 | 304 | 192 |

The High Intensity Drug Trafficking Areas (HIDTA) program was established by the Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, to provide assistance to Federal, state, local, and tribal law enforcement entities operating in those areas most adversely affected by drug trafficking.

The HIDTA program provides resources to Federal, state, local, and tribal agencies in each HIDTA region to carry out activities that address the specific drug threats of that region. A central feature of the HIDTA program is the discretion granted to HIDTA Executive Boards to design and carry out activities that reflect the specific drug trafficking threats found in each HIDTA region. This discretion ensures that each HIDTA Executive Board can tailor its strategy and initiatives closely to local conditions and can respond quickly to changes in those conditions. Among the types of activities funded by the HIDTA program are: drug enforcement task forces comprised of multiple Federal, state, local, and tribal agencies designed to dismantle and disrupt drug trafficking organizations (DTOs); multi-agency intelligence centers that provide drug intelligence to HIDTA initiatives and participating agencies; initiatives to establish or improve interoperability of communications and information systems between and among law enforcement agencies; and investments in technology infrastructure. Law enforcement agencies have substantial experience in implementing problem-oriented policing strategies and are well positioned to promote and participate in community-based drug prevention programs. To that end, ONDCP has funded prevention initiatives to increase coordination between law enforcement and prevention communities.

**Object Classification** (in millions of dollars)

| Identification code 11-1070-0-1-754         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                         |             |         |           |
| 25.2 Auditing services and activities ..... | 3           | 3       | 3         |
| 41.0 Grants and federal transfers .....     | 229         | 237     | 190       |
| 99.9 Total new obligations .....            | 232         | 240     | 193       |

## OTHER FEDERAL DRUG CONTROL PROGRAMS

(INCLUDING TRANSFERS OF FUNDS)

For other drug control activities authorized by the Office of National Drug Control Policy Reauthorization Act of 2006 (Public Law 109-469), \$95,376,000, to remain available until expended, which shall be available as follows: \$85,676,000 for the Drug-Free Communities Program, of which \$2,000,000 shall be made available as directed by section 4 of Public Law 107-82, as amended by Public Law 109-469 (21 U.S.C. 1521 note); \$7,800,000 for anti-doping activities; and \$1,900,000 for the United States membership dues to the World Anti-Doping Agency: Provided, That amounts made available under this heading may be transferred to other Federal departments and agencies to carry out such activities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 11-1460-0-1-802                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 National Youth Anti-Drug Media Campaign .....                   | 5           |         |           |
| 0002 Drug-Free Communities Program .....                             | 93          | 93      | 86        |
| 0006 Anti-Doping Activities .....                                    | 9           | 9       | 8         |
| 0007 Drug Court Training and Technical Assistance .....              |             | 1       |           |
| 0008 Section 1105 of Public Law 109-469 .....                        |             | 1       |           |
| 0009 World Anti-Doping Agency Dues .....                             | 2           | 2       | 2         |
| 0900 Total new obligations (object class 25.2) .....                 | 109         | 106     | 96        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 21          | 12      | 6         |
| 1010 Unobligated balance transfer to other accts [15-0404] .....     | -1          |         |           |
| 1021 Recoveries of prior year unpaid obligations .....               | 1           |         |           |
| 1050 Unobligated balance (total) .....                               | 21          | 12      | 6         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 New budget authority (gross), detail .....                      | 106         | 106     | 96        |
| 1130 Appropriations permanently reduced .....                        |             | -6      |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -6          |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 100         | 100     | 96        |
| 1900 Budget authority (total) .....                                  | 100         | 100     | 96        |
| 1930 Total budgetary resources available .....                       | 121         | 112     | 102       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 12          | 6       | 6         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 141         | 17      | 17        |
| 3010 Obligations incurred, unexpired accounts .....                  | 109         | 106     | 96        |
| 3020 Outlays (gross) .....   | -232        | -106    | -96       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -1          |         |           |
| 3050 Unpaid obligations, end of year .....                           | 17          | 17      | 17        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 141         | 17      | 17        |
| 3200 Obligated balance, end of year .....                            | 17          | 17      | 17        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 100         | 100     | 96        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 94          | 90      | 86        |
| 4011 Outlays from discretionary balances .....                       | 138         | 16      | 10        |
| 4020 Outlays, gross (total) .....                                    | 232         | 106     | 96        |
| 4180 Budget authority, net (total) .....                             | 100         | 100     | 96        |
| 4190 Outlays, net (total) .....                                      | 232         | 106     | 96        |

The Anti-Drug Abuse Act of 1988, as amended, and the Office of National Drug Control Policy Reauthorization Act of 2006, established this account to be administered by the Director of the Office of National Drug Control Policy (ONDCP). The funds appropriated to the program support high-priority drug control programs and may be transferred to drug control agencies.

For 2014, funds appropriated to this account, will be used for the following activities:

**Drug Free Communities Support Program.**—The Drug Free Communities Support (DFC) Program provides small grants (no more than \$125,000 per year for an initial 5-year period) to established local community drug free coalitions. The grants are awarded competitively to community coalitions that organize multiple sectors of a community to focus on local needs as a means for reducing and/or preventing youth substance use.

**Anti-Doping Efforts.**—This funding continues the effort to educate athletes on the dangers of drug use and to eliminate illegal drug use in Olympic and associated sports in the United States.

**World Anti-Doping Agency Dues.**—ONDCP represents the United States in the World Anti-Doping Agency which promotes and coordinates international activities against doping in sport, in all its forms, and is responsible for the payment of U.S. dues.

## Employment Summary

| Identification code 11-1460-0-1-802                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 1       | 1         |

## COUNTERDRUG TECHNOLOGY ASSESSMENT CENTER

## Program and Financing (in millions of dollars)

| Identification code 11-1461-0-1-754                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 5           |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1131 Unobligated balance of appropriations permanently reduced ..... | -5          |         |           |
| 1160 Appropriation, discretionary (total) .....                      | -5          |         |           |
| 1900 Budget authority (total) .....                                  | -5          |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 9           | 7       | 4         |
| 3020 Outlays (gross) .....   | -2          | -3      | -2        |
| 3050 Unpaid obligations, end of year .....                           | 7           | 4       | 2         |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 9           | 7       | 4         |
| 3200 Obligated balance, end of year .....                            | 7           | 4       | 2         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | -5          |         |           |
| Outlays, gross:  |             |         |           |
| 4011 Outlays from discretionary balances .....                       | 2           | 3       | 2         |
| 4180 Budget authority, net (total) .....                             | -5          |         |           |
| 4190 Outlays, net (total) .....                                      | 2           | 3       | 2         |

## FEDERAL ELECTION COMMISSION

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses to carry out the provisions of the Federal Election Campaign Act of 1971, \$65,791,000, of which not to exceed \$5,000 shall be available for reception and representation expenses.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95-1600-0-1-808                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Federal Election Commission .....                | 66          | 67      | 66        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 66          | 67      | 66        |
| 1160 Appropriation, discretionary (total) .....       | 66          | 67      | 66        |
| 1930 Total budgetary resources available .....        | 66          | 67      | 66        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 11          | 10      | 5         |
| 3010 Obligations incurred, unexpired accounts .....   | 66          | 67      | 66        |
| 3020 Outlays (gross) .....                            | -67         | -72     | -66       |
| 3050 Unpaid obligations, end of year .....            | 10          | 5       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 11          | 10      | 5         |
| 3200 Obligated balance, end of year .....             | 10          | 5       | 5         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 66          | 67      | 66        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 58          | 61      | 60        |
| 4011 Outlays from discretionary balances .....        | 9           | 11      | 6         |
| 4020 Outlays, gross (total) .....                     | 67          | 72      | 66        |
| 4180 Budget authority, net (total) .....              | 66          | 67      | 66        |
| 4190 Outlays, net (total) .....                       | 67          | 72      | 66        |

The Federal Election Commission is responsible for facilitating transparency in the Federal election process through public disclosure of campaign finance activity, and for encouraging voluntary compliance with the Federal Election Campaign Act by providing information and policy guidance to the public, media, political committees and election officials on the Act and on Commission regulations. The Commission is also responsible for enforcing the Act through audits, investigations and civil litigation, and for developing the law by administering and interpreting the Act, as well as the Presidential Election Campaign Fund Act and the Presidential Primary Matching Payment Account Act.

The Budget proposes that Senate Campaign Committees be required to file campaign finance reports electronically with the Federal Election Commission, which is consistent with the reporting requirements for all other Federal political committees. This measure will save at least \$430,000 annually by reducing costs for manual data entry and will also promote transparency by expediting the process by which the reports are made available to the public.

The Commission is authorized to submit, concurrently, budget estimates to the President and the Congress. The Commission endorses the President's 2014 request.

**Object Classification** (in millions of dollars)

| Identification code 95-1600-0-1-808                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                         |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 35          | 35      | 35        |
| 11.3 Other than full-time permanent .....          | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....            | 36          | 36      | 36        |
| 12.1 Civilian personnel benefits .....             | 10          | 11      | 11        |
| 23.1 Rental payments to GSA .....                  | 6           | 6       | 6         |
| 25.2 Other services from non-Federal sources ..... | 11          | 11      | 10        |
| 26.0 Supplies and materials .....                  | 1           | 1       | 1         |
| 31.0 Equipment .....                               | 2           | 2       | 2         |
| 99.9 Total new obligations .....                   | 66          | 67      | 66        |

**Employment Summary**

| Identification code 95-1600-0-1-808                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 347         | 375     | 375       |

## FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL

**Federal Funds****FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL ACTIVITIES****Program and Financing** (in millions of dollars)

| Identification code 95-5547-0-2-376                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 FFIEC activities .....  | 14          | 15      | 19        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 14          | 15      | 19        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 14          | 15      | 19        |
| 1930 Total budgetary resources available .....                     | 14          | 15      | 19        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 14          | 15      | 19        |
| 3020 Outlays (gross) .....   | -14         | -15     | -19       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 14          | 15      | 19        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 14          | 15      | 19        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -14         | -15     | -19       |

The Federal Financial Institutions Examination Council (FFIEC) was established on March 10, 1979, pursuant to Title X of the Financial Institutions Regulatory and Interest Rate Control Act of 1978 (FIRA) (P.L. 95-630). In 1989, Title XI of the Financial Institutions Reform, Recovery and Enforcement Act of 1989 (FIRREA) established the Appraisal Subcommittee (ASC) within the Examination Council.

The Council is a formal interagency body empowered to prescribe uniform principles, standards, and report forms for the Federal examination of financial institutions by its members: the Board of Governors of the Federal Reserve System (FRB), the Federal Deposit Insurance Corporation (FDIC), the National Credit Union Administration (NCUA), and the Office of the Comptroller of the Currency (OCC), and to make recommendations to promote uniformity in the supervision of financial institutions.

The Council was given additional statutory responsibilities by section 340 of the Housing and Community Development Act of 1980 to facilitate public access to data that depository institutions must disclose under the Home Mortgage Disclosure Act of 1975 (HMDA) and the aggregation of annual HMDA data, by census tract, for each metropolitan statistical area (MSA). The Council has established, in accordance with the requirement of the statute, an advisory State Liaison Committee (SLC) composed of five representatives of State supervisory agencies. In 2006, the State Liaison Committee was added to the Council as a voting member. The SLC includes representatives from the Conference of State Bank Supervisors (CSBS), the American Council of State Savings

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL  
ACTIVITIES—Continued

Supervisors (ACSSS), and the National Association of State Credit Union Supervisors (NASCUS).

The Budget estimates the Council will spend approximately \$15 million during 2014 from resources provided by its members and other fees and reimbursements.

**Object Classification** (in millions of dollars)

| Identification code 95–5547–0–2–376 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| 99.9 Total new obligations .....    | 14          | 15      | 19        |

FEDERAL FINANCIAL INSTITUTIONS EXAMINATION COUNCIL APPRAISAL  
SUBCOMMITTEE**Federal Funds**

## REGISTRY FEES

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 95–5026–0–2–376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0200 Registry Fees, Appraisal Subcommittee, Federal Institution Examination Council ..... | 3           | 3       | 3         |
| 0400 Total: Balances and collections .....  | 3           | 3       | 3         |
| Appropriations:   |             |         |           |
| 0500 Registry Fees .....  | –3          | –3      | –3        |
| 0799 Balance, end of year .....   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 95–5026–0–2–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Administrative expenses .....                    | 3           | 2       | 2         |
| 0002 Grants, subsidies and contributions .....        |             | 1       | 1         |
| 0900 Total new obligations .....                      | 3           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 4           | 4       | 4         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 3           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....          | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 7           | 7       | 7         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 3       | 3         |
| 3020 Outlays (gross) .....                            | –3          | –3      | –3        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |

**Budget authority and outlays, net:**

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 3 | 3 | 3 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... | 3 | 3 | 3 |
| 4180 Budget authority, net (total) .....        | 3 | 3 | 3 |
| 4190 Outlays, net (total) .....                 | 3 | 3 | 3 |

The Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (P.L. 101–73) established the Appraisal Subcommittee of the Federal Financial Institutions Examination Council (ASC).

Subsequent legislation (P.L. 101–235) authorized the Secretary of the Department of Housing and Urban Development to designate a member of the ASC. On July 21, 2010, the President signed into law the Dodd-Frank Wall Street Reform and Consumer Protection Act of 2010 which authorized the heads of Bureau of Consumer Financial Protection and the Federal Housing Finance Agency to designate a member of the ASC.

The ASC is charged with ensuring that real estate appraisals used in Federally-related transactions are performed in accordance with uniform standards by appraisers certified and licensed by the States. Its responsibilities include: (1) monitoring the requirements established by the States for the certification and licensing of appraisers, and for registration and supervision of the operations and activities of an appraisal management company; (2) monitoring the requirements established by the Federal financial institutions' regulatory agencies regarding appraisal standards for federally related transactions under their jurisdiction; (3) monitoring and reviewing the practices, procedures, activities, and organization of the Appraisal Foundation; (4) maintaining a national registry of licensed and certified appraisers, and of appraisal management companies; (5) transmit an annual report to Congress not later than June 15th; and (6) make grants to State Appraiser certifying and licensing agencies.

Subcommittee activities, including grants awarded to the Appraisal Foundation, were initially funded from a one-time appropriation of \$5 million. These funds were repaid to Treasury at the end of 1998 in accordance with the Economic Growth and Regulatory Paperwork Reduction Act of 1996. The Subcommittee is now operating on fee income from State-licensed and -certified real estate appraisers in the national registry.

The Budget projects that the Subcommittee will spend approximately \$3 million in 2014.

**Object Classification** (in millions of dollars)

| Identification code 95–5026–0–2–376                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....        | 2           | 2       | 2         |
| 99.9 Total new obligations .....                       | 3           | 3       | 3         |

**Employment Summary**

| Identification code 95–5026–0–2–376                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 11          | 13      | 13        |

## FEDERAL HOUSING FINANCE AGENCY

**Federal Funds**

## FEDERAL HOUSING FINANCE AGENCY, ADMINISTRATIVE EXPENSES

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 95–5532–0–2–371                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....                                  |             |         |           |
| Receipts:  |             |         |           |
| 0200 FHFA, Fees on GSEs for Administrative Expenses .....          | 224         | 225     | 237       |
| 0400 Total: Balances and collections .....                         | 224         | 225     | 237       |
| Appropriations:  |             |         |           |
| 0500 Federal Housing Finance Agency, Administrative Expenses ..... | –224        | –225    | –237      |
| 0799 Balance, end of year .....                                    |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 95-5532-0-2-371                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 218         | 235     | 199       |
| 0801 Reimbursable program activity .....                           | 3           | 3       | 3         |
| 0900 Total new obligations .....                                   | 221         | 238     | 202       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 19          | 36      | 26        |
| 1021 Recoveries of prior year unpaid obligations .....             | 11          |         |           |
| 1050 Unobligated balance (total) .....                             | 30          | 36      | 26        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1120 Appropriations transferred to other accts [95-5564] .....     |             |         | -48       |
| 1160 Appropriation, discretionary (total) .....                    |             |         | -48       |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 224         | 225     | 237       |
| 1260 Appropriations, mandatory (total) .....                       | 224         | 225     | 237       |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 3           | 3       | 3         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 3           | 3       | 3         |
| 1900 Budget authority (total) .....                                | 227         | 228     | 192       |
| 1930 Total budgetary resources available .....                     | 257         | 264     | 218       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 36          | 26      | 16        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 61          | 43      | 53        |
| 3010 Obligations incurred, unexpired accounts .....                | 221         | 238     | 202       |
| 3020 Outlays (gross) .....   | -228        | -228    | -199      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | -11         |         |           |
| 3050 Unpaid obligations, end of year .....                         | 43          | 53      | 56        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 61          | 43      | 53        |
| 3200 Obligated balance, end of year .....                          | 43          | 53      | 56        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 |             |         | -48       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             |         | -41       |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 227         | 228     | 240       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | 177         | 181     | 190       |
| 4101 Outlays from mandatory balances .....                         | 51          | 47      | 50        |
| 4110 Outlays, gross (total) .....                                  | 228         | 228     | 240       |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   | -3          | -3      | -3        |
| 4180 Budget authority, net (total) .....                           | 224         | 225     | 189       |
| 4190 Outlays, net (total) .....                                    | 225         | 225     | 196       |
| <b>Memorandum (non-add) entries:</b>                               |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....   | 78          | 77      | 78        |
| 5001 Total investments, EOY: Federal securities: Par value .....   | 77          | 78      | 78        |

The Federal Housing Finance Agency (FHFA) is the regulator of the housing Government-Sponsored Enterprises (GSEs) which include Fannie Mae, Freddie Mac, and the twelve Federal Home Loan Banks. FHFA was established by the Housing and Economic Recovery Act of 2008 (P.L. 110-289) which amended the Federal Housing Enterprise Safety and Soundness Act of 1992. FHFA's strategic goals are: 1) Safe and Sound Housing GSEs, 2) Stability, Liquidity and Access in Housing Finance, 3) Preserve and Conserve Enterprise Assets, and 4) Prepare for the Future of Housing Finance in the U.S. FHFA receives direct funding for its activities from mandatory assessments on the GSEs.

## Object Classification (in millions of dollars)

| Identification code 95-5532-0-2-371                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 81          | 91      | 92        |
| 11.3 Other than full-time permanent .....                       | 1           |         |           |
| 11.5 Other personnel compensation .....                         | 4           |         |           |
| 11.9 Total personnel compensation .....                         | 86          | 91      | 92        |
| 12.1 Civilian personnel benefits .....                          | 28          | 32      | 33        |
| 21.0 Travel and transportation of persons .....                 | 3           | 4       | 4         |
| 23.2 Rental payments to others .....                            | 9           | 21      | 21        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 2           |         |           |
| 25.1 Advisory and assistance services .....                     | 1           |         |           |
| 25.2 Other services from non-Federal sources .....              | 32          | 37      | 37        |
| 25.3 Other goods and services from Federal sources .....        | 4           | 3       | 3         |
| 25.7 Operation and maintenance of equipment .....               | 1           |         |           |
| 26.0 Supplies and materials .....                               | 3           | 1       | 1         |
| 31.0 Equipment .....  | 9           | 8       | 8         |
| 32.0 Land and structures .....                                  | 1           |         |           |
| 94.0 Financial transfers .....                                  | 39          | 38      |           |
| 99.0 Direct obligations .....                                   | 218         | 235     | 199       |
| 99.0 Reimbursable obligations .....                             | 3           | 3       | 3         |
| 99.9 Total new obligations .....                                | 221         | 238     | 202       |

## Employment Summary

| Identification code 95-5532-0-2-371                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 551         | 598     | 610       |

## OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$48,000,000, to remain available until September 30, 2015, to be derived from assessments collected from the Federal National Mortgage Association, Federal Home Loan Mortgage Corporation, and the Federal Home Loan Banks under section 1106 of the Housing and Economic Recovery Act of 2008.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95-5564-0-2-371                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Office of Inspector General .....                             | 38          | 48      | 48        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 9           | 10      |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1121 Appropriations transferred from other accts [95-5532] ....    |             |         | 48        |
| 1160 Appropriation, discretionary (total) .....                    |             |         | 48        |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 39          | 38      |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 39          | 38      |           |
| 1900 Budget authority (total) .....                                | 39          | 38      | 48        |
| 1930 Total budgetary resources available .....                     | 48          | 48      | 48        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 10          |         |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 6           | 10      | 10        |
| 3010 Obligations incurred, unexpired accounts .....                | 38          | 48      | 48        |
| 3020 Outlays (gross) .....   | -34         | -48     | -49       |
| 3050 Unpaid obligations, end of year .....                         | 10          | 10      | 9         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 6           | 10      | 10        |

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

| Identification code 95–5564–0–2–371                 |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| 3200  | Obligated balance, end of year .....           | 10          | 10      | 9         |
| <b>Budget authority and outlays, net:</b>           |  |             |         |           |
| Discretionary:                                      |  |             |         |           |
| 4000  | Budget authority, gross .....                  |             |         | 48        |
| Outlays, gross:                                     |  |             |         |           |
| 4010  | Outlays from new discretionary authority ..... |             |         | 41        |
| Mandatory:  |  |             |         |           |
| 4090  | Budget authority, gross .....                  | 39          | 38      |           |
| Outlays, gross:                                     |  |             |         |           |
| 4100  | Outlays from new mandatory authority .....     | 29          | 38      |           |
| 4101  | Outlays from mandatory balances .....          | 5           | 10      | 8         |
| 4110  | Outlays, gross (total) .....                   | 34          | 48      | 8         |
| Offsets against gross budget authority and outlays: |  |             |         |           |
| Offsetting collections (collected) from:            |  |             |         |           |
| 4120  | Federal sources .....                          | –39         | –38     |           |
| 4180  | Budget authority, net (total) .....            |             |         | 48        |
| 4190  | Outlays, net (total) .....                     | –5          | 10      | 49        |

The Federal Housing Finance Agency Office of Inspector General (FHFA-OIG), established in the Housing and Economic Recovery Act of 2008, has duties and responsibilities that are intended to facilitate the efficient and effective conduct of FHFA in its capacity as the primary regulator of the housing Government-Sponsored Enterprises (GSEs) and conservator of Fannie Mae and Freddie Mac. The IG is currently funded through FHFA's direct assessments on the housing GSEs. In order to preserve the independence of the IG and provide congressional review of funding levels, the Budget requests an appropriation of \$48 million for the FHFA-OIG derived from FHFA's assessments.

## Object Classification (in millions of dollars)

| Identification code 95–5564–0–2–371 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                           | 15          | 21      | 21        |
| 11.5                                | Other personnel compensation .....                  | 1           | 2       | 2         |
| 11.9                                | Total personnel compensation .....                  | 16          | 23      | 23        |
| 12.1                                | Civilian personnel benefits .....                   | 6           | 7       | 7         |
| 21.0                                | Travel and transportation of persons .....          | 1           | 1       | 1         |
| 23.1                                | Rental payments to GSA .....                        | 5           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....              | 1           | 5       | 4         |
| 25.2                                | Other services from non-Federal sources .....       |             | 1       | 2         |
| 25.3                                | Other goods and services from Federal sources ..... | 6           | 8       | 8         |
| 25.7                                | Operation and maintenance of equipment .....        | 1           |         |           |
| 26.0                                | Supplies and materials .....                        | 1           | 1       | 1         |
| 31.0                                | Equipment .....                                     | 1           | 1       | 1         |
| 99.9                                | Total new obligations .....                         | 38          | 48      | 48        |

## Employment Summary

| Identification code 95–5564–0–2–371 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 115         | 150     | 150       |

## FEDERAL LABOR RELATIONS AUTHORITY

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Federal Labor Relations Authority, pursuant to Reorganization Plan Numbered 2 of 1978, and the Civil Service Reform Act of 1978, including services authorized by 5 U.S.C. 3109, and including hire of experts and consultants, hire of passenger motor vehicles, and including official reception and representation expenses (not to exceed \$1,500) and rental of conference rooms in the District of Columbia and elsewhere, \$25,490,000: Provided, That

public members of the Federal Service Impasses Panel may be paid travel expenses and per diem in lieu of subsistence as authorized by law (5 U.S.C. 5703) for persons employed intermittently in the Government service, and compensation as authorized by 5 U.S.C. 3109: Provided further, That, notwithstanding 31 U.S.C. 3302, funds received from fees charged to non-Federal participants at labor-management relations conferences shall be credited to and merged with this account, to be available without further appropriation for the costs of carrying out these conferences.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 54–0100–0–1–805       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Authority .....  | 14          | 14      | 14        |
| 0002                                      | Office of the General Counsel .....                        | 10          | 10      | 10        |
| 0003                                      | Federal Service Impasses Panel .....                       | 1           | 1       | 1         |
| 0900                                      | Total new obligations .....                                | 25          | 25      | 25        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....  | 25          | 25      | 25        |
| 1160                                      | Appropriation, discretionary (total) .....                 | 25          | 25      | 25        |
| 1930                                      | Total budgetary resources available .....                  | 25          | 25      | 25        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....           | 3           | 2       | 2         |
| 3010                                      | Obligations incurred, unexpired accounts .....             | 25          | 25      | 25        |
| 3020                                      | Outlays (gross) .....                                      | –25         | –25     | –25       |
| 3041                                      | Recoveries of prior year unpaid obligations, expired ..... | –1          |         |           |
| 3050                                      | Unpaid obligations, end of year .....                      | 2           | 2       | 2         |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....                     | 3           | 2       | 2         |
| 3200                                      | Obligated balance, end of year .....                       | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                              | 25          | 25      | 25        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....             | 22          | 23      | 23        |
| 4011                                      | Outlays from discretionary balances .....                  | 3           | 2       | 2         |
| 4020                                      | Outlays, gross (total) .....                               | 25          | 25      | 25        |
| 4180                                      | Budget authority, net (total) .....                        | 25          | 25      | 25        |
| 4190                                      | Outlays, net (total) .....                                 | 25          | 25      | 25        |

The Federal Labor Relations Authority (FLRA) is an independent administrative Federal agency created by Title VII of the Civil Service Reform Act of 1978 (the Statute) with a mission to carry out five statutory responsibilities: (1) determining the appropriateness of units for Labor organization representation; (2) resolving complaints of unfair labor practices; (3) adjudicating exceptions to arbitrators' awards; (4) adjudicating legal issues relating to duty to bargain; and (5) resolving impasses during negotiations. All work throughout the agency is undertaken to support a single program—to administer and enforce the Statute by determining the respective rights of employees, agencies, and labor organizations in their relations with one another.

FLRA's authority is divided by law and by delegation among a three-member Authority and an Office of General Counsel, appointed by the President and subject to Senate confirmation; and the Federal Service Impasses Panel, which consists of seven part-time members appointed by the President.

FLRA does not initiate cases. Proceedings before FLRA originate from filings arising through the actions of Federal employees, Federal agencies, or Federal labor organizations. Nationwide,



FLRA includes seven Regional Offices, one satellite office, and a Headquarters site in Washington, D.C.

**Authority.**—The Authority adjudicates appeals filed by either Federal agencies or Federal labor organizations on negotiability issues, exceptions to arbitration awards, appeals of representation decisions, eligibility of labor organizations for national consultation rights, and unfair labor practice complaints.

**Office of the General Counsel.**—The General Counsel investigates allegations of unfair labor practices and processes representation petitions. In addition, the General Counsel conducts elections concerning the exclusive recognition of labor organizations and certifies the results of elections.

**Federal Service Impasses Panel.**—The Panel resolves labor negotiation impasses between Federal agencies and labor organizations.

#### Object Classification (in millions of dollars)

| Identification code 54-0100-0-1-805                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 14          | 15      | 15        |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 15          | 16      | 16        |
| 12.1 Civilian personnel benefits .....                   | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                        | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....       | 1           | 1       | 1         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 99.0 Direct obligations .....                            | 24          | 25      | 25        |
| 99.5 Below reporting threshold .....                     | 1           |         |           |
| 99.9 Total new obligations .....                         | 25          | 25      | 25        |

#### Employment Summary

| Identification code 54-0100-0-1-805                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 130         | 129     | 134       |

## FEDERAL MARITIME COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Federal Maritime Commission as authorized by section 201(d) of the Merchant Marine Act, 1936, as amended (46 U.S.C. 307), including services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles as authorized by 31 U.S.C. 1343(b); and uniforms or allowances therefore, as authorized by 5 U.S.C. 5901-5902, \$25,000,000: Provided, That not to exceed \$2,000 shall be available for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 65-0100-0-1-403       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Formal proceedings .....             | 8           | 8       | 8         |
| 0002 Inspector General .....              | 1           | 1       | 1         |
| 0003 Operational and Administrative ..... | 15          | 16      | 16        |
| 0900 Total new obligations .....          | 24          | 25      | 25        |
| <b>Budgetary Resources:</b>               |             |         |           |
| Budget authority:                         |             |         |           |
| Appropriations, discretionary:            |             |         |           |
| 1100 Appropriation .....                  | 24          | 25      | 25        |

|   |     |     |     |
|---|-----|-----|-----|
| 1160 Appropriation, discretionary (total) .....       | 24  | 25  | 25  |
| 1930 Total budgetary resources available .....        | 24  | 25  | 25  |
| <b>Change in obligated balance:</b>                   |     |     |     |
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3   | 4   | 4   |
| 3010 Obligations incurred, unexpired accounts .....   | 24  | 25  | 25  |
| 3020 Outlays (gross) .....                            | -23 | -25 | -26 |
| 3050 Unpaid obligations, end of year .....            | 4   | 4   | 3   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 3   | 4   | 4   |
| 3200 Obligated balance, end of year .....             | 4   | 4   | 3   |
| <b>Budget authority and outlays, net:</b>             |     |     |     |
| Discretionary:  |     |     |     |
| 4000 Budget authority, gross .....                    | 24  | 25  | 25  |
| Outlays, gross:                                       |     |     |     |
| 4010 Outlays from new discretionary authority .....   | 21  | 24  | 24  |
| 4011 Outlays from discretionary balances .....        | 2   | 1   | 2   |
| 4020 Outlays, gross (total) .....                     | 23  | 25  | 26  |
| 4180 Budget authority, net (total) .....              | 24  | 25  | 25  |
| 4190 Outlays, net (total) .....                       | 23  | 25  | 26  |

The Federal Maritime Commission (Commission) regulates oceanborne transportation in the foreign commerce of the United States. The Commission administers the Shipping Act of 1984 as amended by the Ocean Shipping Reform Act of 1998 (OSRA); section 19 of the Merchant Marine Act, 1920 (1920 Act); the Foreign Shipping Practices Act of 1988 (FSPA); and Sections 2 and 3 of Public Law 89-777.

The Commission monitors the activities of ocean common carriers, marine terminal operators (MTOs), ports and ocean transportation intermediaries (OTIs) who operate in the U.S. foreign commerce to ensure that they maintain just and reasonable practices, issues licenses to qualified OTIs operating in the U.S. and ensures that U.S. OTIs are bonded or maintain other evidence of financial responsibility; and ensures that passenger vessel operators demonstrate adequate financial responsibility to indemnify passengers in the event of nonperformance of voyages or passenger injury or death. The Commission also maintains trade monitoring and enforcement programs designed to assist regulated entities in achieving compliance and to detect and appropriately remedy malpractices and violations of the prohibited acts set forth in section 10 of the 1984 Act; offers a dispute resolution program to resolve disputes impeding the transportation of cargo; reviews competitive activities of common carrier alliances and other agreements among common carriers and/or terminal operators; monitors the laws and practices of foreign governments which could have a discriminatory or otherwise adverse impact on shipping conditions in U.S. trades, and imposes remedial action, as appropriate, pursuant to section 19 of the 1920 Act or FSPA; enforces special regulatory requirements applicable to carriers owned or controlled by foreign governments; processes and reviews agreements, service contracts and service arrangements pursuant to the 1984 Act for compliance with statutory requirements; and reviews common carriers' privately published tariff systems for accessibility, accuracy, and reasonable terms.

#### Object Classification (in millions of dollars)

| Identification code 65-0100-0-1-403                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 14          | 15      | 15        |
| 12.1 Civilian personnel benefits .....                 | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                      | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....     | 3           | 3       | 3         |
| 99.9 Total new obligations .....                       | 24          | 25      | 25        |

SALARIES AND EXPENSES—Continued  
Employment Summary

| Identification code 65-0100-0-1-403                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 123         | 132     | 132       |

GENERAL FUND RECEIPT ACCOUNTS  
(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 65-32000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... |             | 1       | 1         |
| General Fund Offsetting receipts from the public .....  |             | 1       | 1         |

FEDERAL MEDIATION AND CONCILIATION  
SERVICE

## Federal Funds

## SALARIES AND EXPENSES

*For expenses necessary for the Federal Mediation and Conciliation Service ("Service") to carry out the functions vested in it by the Labor-Management Relations Act, 1947, including hire of passenger motor vehicles; for expenses necessary for the Labor-Management Cooperation Act of 1978; and for expenses necessary for the Service to carry out the functions vested in it by the Civil Service Reform Act, \$47,620,000, including \$400,000 to remain available through September 30, 2015, for activities authorized by the Labor-Management Cooperation Act of 1978: Provided, That notwithstanding 31 U.S.C. 3302, fees charged, up to full-cost recovery, for special training activities and other conflict resolution services and technical assistance, including those provided to foreign governments and international organizations, and for arbitration services shall be credited to and merged with this account, and shall remain available until expended: Provided further, That fees for arbitration services shall be available only for education, training, and professional development of the agency workforce: Provided further, That the Director of the Service is authorized to accept and use on behalf of the United States gifts of services and real, personal, or other property in the aid of any projects or functions within the Director's jurisdiction.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 93-0100-0-1-505   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Dispute mediation and preventive mediation, public information, and grants ..... | 36          | 36      | 37        |
| 0002 Arbitration services .....   | 1           | 1       | 1         |
| 0003 Management and administrative support .....                                      | 9           | 9       | 9         |
| 0091 Total direct program .....   | 46          | 46      | 47        |
| 0101 Reimbursable program .....   | 2           | 2       | 2         |
| 0900 Total new obligations .....  | 48          | 48      | 49        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                 | 4           | 4       | 4         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 46          | 46      | 48        |
| 1160 Appropriation, discretionary (total) .....                                       | 46          | 46      | 48        |
| Spending authority from offsetting collections, discretionary:                        |             |         |           |
| 1700 Collected .....  | 2           | 2       | 2         |

|  |    |    |    |
|--|----|----|----|
| 1750 Spending auth from offsetting collections, disc (total) ..... | 2  | 2  | 2  |
| 1900 Budget authority (total) .....                                | 48 | 48 | 50 |
| 1930 Total budgetary resources available .....                     | 52 | 52 | 54 |
| Memorandum (non-add) entries:                                      |    |    |    |
| 1941 Unexpired unobligated balance, end of year .....              | 4  | 4  | 5  |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 6   | 7   | 7   |
| 3010 Obligations incurred, unexpired accounts .....             | 48  | 48  | 49  |
| 3011 Obligations incurred, expired accounts .....               | 1   |     |     |
| 3020 Outlays (gross) .....                                      | -47 | -48 | -50 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | -1  |     |     |
| 3050 Unpaid obligations, end of year .....                      | 7   | 7   | 6   |
| Memorandum (non-add) entries:                                   |     |     |     |
| 3100 Obligated balance, start of year .....                     | 6   | 7   | 7   |
| 3200 Obligated balance, end of year .....                       | 7   | 7   | 6   |

## Budget authority and outlays, net:

|  |    |    |    |
|--|----|----|----|
| Discretionary:   |    |    |    |
| 4000 Budget authority, gross .....                                   | 48 | 48 | 50 |
| Outlays, gross:  |    |    |    |
| 4010 Outlays from new discretionary authority .....                  | 40 | 44 | 46 |
| 4011 Outlays from discretionary balances .....                       | 7  | 4  | 4  |
| 4020 Outlays, gross (total) .....                                    | 47 | 48 | 50 |
| Offsets against gross budget authority and outlays:                  |    |    |    |
| Offsetting collections (collected) from:                             |    |    |    |
| 4030 Federal sources .....   | -1 | -1 | -1 |
| 4033 Non-Federal sources .....                                       | -1 | -1 | -1 |
| 4040 Offsets against gross budget authority and outlays (total) .... | -2 | -2 | -2 |
| 4070 Budget authority, net (discretionary) .....                     | 46 | 46 | 48 |
| 4080 Outlays, net (discretionary) .....                              | 45 | 46 | 48 |
| 4180 Budget authority, net (total) .....                             | 46 | 46 | 48 |
| 4190 Outlays, net (total) .....                                      | 45 | 46 | 48 |

The Federal Mediation and Conciliation Service (FMCS) provides assistance to parties in labor disputes in industries affecting commerce through conciliation and mediation.

**Dispute Mediation.**—FMCS assists labor and management in the mediation and prevention of disputes, other than those involving rail and air transportation, whenever such disputes threaten to cause a substantial interruption of interstate commerce or a major impairment to the national defense. FMCS also makes mediation and conciliation services available to Federal agencies and organizations representing Federal employees in the resolution of negotiation disputes. FMCS provides mandatory mediation and, where necessary, impartial boards of inquiry to assist in resolving labor disputes involving private nonprofit health care institutions. The workload shown below includes assignments in both the private and public sectors. These numbers include collective bargaining and grievance mediation.

## DISPUTE MEDIATION WORKLOAD DATA

|                                     | 2010 actual | 2011 actual | 2012 actual | 2013 est. | 2014 est. |
|-------------------------------------|-------------|-------------|-------------|-----------|-----------|
| Dispute mediation assignments ..... | 16,251      | 15,680      | 14,951      | 15,600    | 15,600    |
| Total active mediations .....       | 7,003       | 6,570       | 6,312       | 6,664     | 6,664     |

## PREVENTIVE MEDIATION WORKLOAD DATA

|  | 2010 actual | 2011 actual | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-------------|-------------|-----------|-----------|
| Total preventive mediation cases conducted ..... | 2,200       | 2,301       | 2,128       | 2,300     | 2,300     |

**Preventive Mediation, Public Information, and Educational Activities.**—Through its preventive mediation program, FMCS initiates and develops labor-management committees, training programs, conferences, and specialized workshops dealing with issues in collective bargaining. Mediators also participate in education, advocacy and outreach (EAO) activities such as lectures, seminars, and conferences.

**Arbitration Services.**—FMCS assists parties in disputes by utilizing the arbitration process for the resolution of disputes arising under or in the negotiation of collective bargaining agreements in the private and public sectors.

## ARBITRATION SERVICES WORKLOAD DATA

|                                       | 2010 actual | 2011 actual | 2012 actual | 2013 est. | 2014 est. |
|---------------------------------------|-------------|-------------|-------------|-----------|-----------|
| Number of panels issued .....         | 16,486      | 13,500      | 13,538      | 14,000    | 14,000    |
| Number of arbitrators appointed ..... | 6,870       | 5,575       | 6,129       | 5,782     | 5,782     |

**Management and Administrative Support.**—This activity provides for overall management and administration, policy planning, research and evaluation, and employee development.

**Labor-Management Cooperation Project.**—The Labor Management Cooperation Act of 1978 (29 U.S.C. 175a) authorizes FMCS to carry out this program of contracts and grants to support the establishment and operation of plant, area, and industry labor-management committees.

**Alternative Dispute Resolution (ADR) Projects.**—FMCS assists other Federal agencies by providing mediation and technical assistance in the area of ADR. The ADR cases reduce litigation costs and speed Federal processes. FMCS is funded for this work through interagency reimbursable agreements.

## ALTERNATIVE DISPUTE RESOLUTION (ADR) WORKLOAD DATA

|                           | 2010 actual | 2011 actual | 2012 actual | 2013 est. | 2014 est. |
|---------------------------|-------------|-------------|-------------|-----------|-----------|
| Number of ADR Cases ..... | 1,392       | 1,320       | 1,110       | 1,300     | 1,300     |

## Object Classification (in millions of dollars)

| Identification code 93-0100-0-1-505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 26          | 26      | 27        |
| 12.1 Civilian personnel benefits .....                          | 8           | 8       | 8         |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 5           | 6       | 6         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 3           | 2       | 2         |
| 31.0 Equipment .....  | 1           | .....   | .....     |
| 41.0 Grants, subsidies, and contributions .....                 | .....       | 1       | 1         |
| 99.0 Direct obligations .....                                   | 46          | 46      | 47        |
| 99.0 Reimbursable obligations .....                             | 2           | 2       | 2         |
| 99.9 Total new obligations .....                                | 48          | 48      | 49        |

## Employment Summary

| Identification code 93-0100-0-1-505                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 231         | 231     | 238       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 8           | 8       | 8         |

FEDERAL MINE SAFETY AND HEALTH REVIEW  
COMMISSION

## Federal Funds

## SALARIES AND EXPENSES

*For expenses necessary for the Federal Mine Safety and Health Review Commission, \$16,423,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95-2800-0-1-554                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Commission review .....                          | 6           | 6       | 5         |
| 0002 Administrative law judge determinations .....    | 11          | 12      | 11        |
| 0900 Total new obligations .....                      | 17          | 18      | 16        |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | .....       | 1       | 1         |

## Budget authority:

|   |    |    |    |
|---|----|----|----|
| Appropriations, discretionary:                        |    |    |    |
| 1100 Appropriation .....                              | 18 | 18 | 16 |
| 1160 Appropriation, discretionary (total) .....       | 18 | 18 | 16 |
| 1930 Total budgetary resources available .....        | 18 | 19 | 17 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 1  | 1  | 1  |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2   | 5   | 6   |
| 3010 Obligations incurred, unexpired accounts .....   | 17  | 18  | 16  |
| 3020 Outlays (gross) .....                            | -14 | -17 | -16 |
| 3050 Unpaid obligations, end of year .....            | 5   | 6   | 6   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 2   | 5   | 6   |
| 3200 Obligated balance, end of year .....             | 5   | 6   | 6   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 18 | 18 | 16 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 12 | 15 | 14 |
| 4011 Outlays from discretionary balances .....      | 2  | 2  | 2  |
| 4020 Outlays, gross (total) .....                   | 14 | 17 | 16 |
| 4180 Budget authority, net (total) .....            | 18 | 18 | 16 |
| 4190 Outlays, net (total) .....                     | 14 | 17 | 16 |

The Federal Mine Safety and Health Review Commission reviews and decides contested enforcement actions of the Secretary of Labor under the Federal Mine Safety and Health Act of 1977, as amended by the Mine Improvement and New Emergency Response Act of 2006. The Commission also adjudicates claims by miners and miners' representatives concerning their rights under law. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

## SELECTED WORKLOAD DATA

|                                       | 2012 Actual | 2013 est. | 2014 est. |
|---------------------------------------|-------------|-----------|-----------|
| Commission review activities:         |             |           |           |
| Cases pending beginning of year ..... | 108         | 159       | 189       |
| New cases received .....              | 229         | 280       | 270       |
| Total case workload .....             | 337         | 402       | 459       |
| Cases decided .....                   | 178         | 250       | 262       |
| Cases pending end of year .....       | 159         | 189       | 197       |
| Administrative law judge activities:  |             |           |           |
| Cases pending beginning of year ..... | 15,788      | 12,982    | 11,682    |
| New cases received .....              | 9,078       | 11,000    | 11,000    |
| Total case workload .....             | 24,866      | 23,982    | 22,682    |
| Cases decided .....                   | 11,884      | 12,300    | 11,000    |
| Cases pending end of year .....       | 12,982      | 11,682    | 11,682    |

## Object Classification (in millions of dollars)

| Identification code 95-2800-0-1-554                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 7           | 10      | 8         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                      | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....     | 3           | 3       | 3         |
| 26.0 Supplies and materials .....                      | 1           | 1       | 1         |
| 31.0 Equipment .....                                   | 2           | .....   | .....     |
| 99.9 Total new obligations .....                       | 17          | 18      | 16        |

## Employment Summary

| Identification code 95-2800-0-1-554                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 69          | 74      | 76        |

**FEDERAL RETIREMENT THRIFT INVESTMENT BOARD****Federal Funds**  
**PROGRAM EXPENSES****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 26-5290-0-2-602   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0220 Reimbursement for Program Expenses, Federal Retirement Thrift Investment Board ..... | 143         | 170     | 205       |
| 0400 Total: Balances and collections .....  | 143         | 170     | 205       |
| Appropriations:   |             |         |           |
| 0500 Program Expenses .....   | -143        | -170    | -205      |
| 0799 Balance, end of year .....   |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 26-5290-0-2-602                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Administrative expenses .....                    | 130         | 170     | 205       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 13      | 13        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 143         | 170     | 205       |
| 1260 Appropriations, mandatory (total) .....          | 143         | 170     | 205       |
| 1930 Total budgetary resources available .....        | 143         | 183     | 218       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 13          | 13      | 13        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 130         | 170     | 205       |
| 3020 Outlays (gross) .....                            | -130        | -170    | -205      |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 143         | 170     | 205       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 130         | 170     | 205       |
| 4180 Budget authority, net (total) .....              | 143         | 170     | 205       |
| 4190 Outlays, net (total) .....                       | 130         | 170     | 205       |

The Federal Retirement Thrift Investment Board is responsible for managing the Thrift Savings Fund. Program administration for the Fund is financed from the Fund. Program expenses are derived first from Fund forfeitures of agency one percent automatic contributions for employees who separate from the Federal Government prior to vesting and then from earnings on all participant and agency contributions to the Fund.

The Thrift Savings Fund is a special tax-deferred savings fund established by the Federal Employees' Retirement System Act of 1986. Due to the fiduciary nature of the Fund, it is not included in the totals of the Federal budget. Information on the financial status and activities of the Fund follows this account.

**Object Classification** (in millions of dollars)

| Identification code 26-5290-0-2-602                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 11          | 17      | 21        |
| 12.1 Civilian personnel benefits .....                          | 3           | 6       | 7         |
| 21.0 Travel and transportation of persons .....                 |             | 1       | 1         |
| 23.2 Rental payments to others .....                            | 4           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 11          | 13      | 14        |
| 24.0 Printing and reproduction .....                            | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 2           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....              | 85          | 108     | 125       |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |

|                                   |     |     |     |
|-----------------------------------|-----|-----|-----|
| 26.0 Supplies and materials ..... | 1   |     |     |
| 31.0 Equipment .....              | 9   | 14  | 26  |
| 99.9 Total new obligations .....  | 130 | 170 | 205 |

**Employment Summary**

| Identification code 26-5290-0-2-602                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 100         | 163     | 181       |

**INFORMATION SCHEDULES FOR THE THRIFT SAVINGS FUND**

The Fund is composed of individual accounts maintained by the Federal Retirement Thrift Investment Board on behalf of the individual Federal employee participants in the Fund. All Federal civilian employees and members of the uniformed services are eligible to contribute to the Fund. However, only those civilian employees covered by the Federal Employees' Retirement System (or equivalent retirement systems) and a limited category of uniformed services personnel may have their contributions matched by the employing agencies in accordance with the formulas prescribed by law. Employees can invest in five investment funds: a U.S. Government securities investment fund; a fixed income index investment fund; a common stock index investment fund; a small capitalization stock index investment fund; an international stock index investment fund; or in five lifecycle funds, which were introduced in August 2005. These funds are composed of varying allocations of the five core investment funds. The allocations are based on the target maturity date of each fund.

The estimated status of the Fund is shown below:

**STATUS OF THRIFT SAVINGS FUND**

(In millions of dollars)

|  | 2011 actual | 2012 est. | 2013 est. |
|--|-------------|-----------|-----------|
| Thrift Savings Fund investment balance, start of year .....            | 264,013     | 272,587   | 282,239   |
| Receipts during the year:  |             |           |           |
| Employee contributions .....   | 18,125      | 18,669    | 19,229    |
| Contributions on behalf of employees <sup>1</sup> .....                | 7,360       | 7,581     | 7,808     |
| Earnings and adjustments <sup>2</sup> .....                            | (5,798)     | (5,151)   | (4,518)   |
| Total receipts .....   | 19,687      | 21,098    | 22,519    |
| Outlays during the year:   |             |           |           |
| Withdrawals .....  | 10,539      | 10,855    | 11,181    |
| Loans to employees, net of repayments .....                            | 460         | 473       | 488       |
| Administrative expenses .....  | 114         | 118       | 121       |
| Total cash outlays .....   | 11,113      | 11,446    | 11,790    |
| Thrift Savings Fund investment balance, end of year <sup>3</sup> ..... | 272,587     | 282,239   | 292,969   |

**Notes:**

|  |         |
|--|---------|
| \1\2011 Employer contributions included: .....           |         |
| Automatic contributions for FERS employees: .....        | 1,707   |
| Matching contributions for FERS employees: .....         | 5,654   |
| \2\2011 Earnings included: .....                         |         |
| Return on investment in Government Securities .....      | 3,317   |
| Return on investment in non-government instruments ..... | (9,374) |
| Interest on loans to employees .....                     | 249     |
| Agency payments for lost earnings .....                  | 9       |
| \3\Investment Balances at 9/30/2011 were: .....          |         |
| Government Securities Investment Fund .....              | 139,325 |
| Barclays U.S. Debt Index Fund .....                      | 21,880  |
| Barclays Equity Index Fund .....                         | 68,841  |
| Barclays Extended Equity Market Fund .....               | 22,519  |
| Barclays EAFE Index Fund .....                           | 20,022  |

**FEDERAL TRADE COMMISSION****Federal Funds****SALARIES AND EXPENSES**

For necessary expenses of the Federal Trade Commission, including uniforms or allowances therefor, as authorized by 5 U.S.C. 5901–5902; services as authorized by 5 U.S.C. 3109; hire of passenger motor vehicles; and not to exceed \$2,000 for official reception and representation expenses, \$300,000,000, to remain available until expended: Provided, That not to exceed \$300,000 shall be available for use to contract with a person or persons for collection services in accordance with the terms of 31 U.S.C. 3718: Provided further, That, notwithstanding any other provision of law, not to exceed \$102,300,000 of offsetting collections derived from fees collected for premerger notification filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976 (15 U.S.C. 18a), regardless of the year of collection, shall be retained and used for necessary expenses in this appropriation: Provided further, That, notwithstanding any other provision of law, not to exceed \$15,000,000 in offsetting collections derived from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq.), shall be credited to this account, and be retained and used for necessary expenses in this appropriation: Provided further, That the sum herein appropriated from the general fund shall be reduced as such offsetting collections are received during fiscal year 2014, so as to result in a final fiscal year 2014 appropriation from the general fund estimated at not more than \$182,700,000: Provided further, That none of the funds made available to the Federal Trade Commission may be used to implement subsection (e)(2)(B) of section 43 of the Federal Deposit Insurance Act (12 U.S.C. 1831t).

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 29–0100–0–1–376                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Protect Consumers .....                                       | 161         | 195     | 181       |
| 0002   | Maintain Competition .....                                    | 119         | 153     | 140       |
| 0192   | Subtotal, direct program .....                                | 280         | 348     | 321       |
| 0799   | Total direct obligations .....                                | 280         | 348     | 321       |
| 0803   | Reimbursable program .....                                    |             | 1       | 1         |
| 0900   | Total new obligations .....                                   | 280         | 349     | 322       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 21          | 57      | 23        |
| 1021   | Recoveries of prior year unpaid obligations .....             | 4           |         |           |
| 1050   | Unobligated balance (total) .....                             | 25          | 57      | 23        |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 210         | 185     | 184       |
| 1160   | Appropriation, discretionary (total) .....                    | 210         | 185     | 184       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Offsetting collections (cash) - HSR .....                     | 88          | 108     | 102       |
| 1700   | Offsetting collections (cash) - Do Not Call .....             | 14          | 21      | 15        |
| 1700   | Offsetting collections (cash) - Reimb .....                   |             | 1       | 1         |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 102         | 130     | 118       |
| 1900   | Budget authority (total) .....                                | 312         | 315     | 302       |
| 1930   | Total budgetary resources available .....                     | 337         | 372     | 325       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 57          | 23      | 3         |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 88          | 80      | 140       |
| 3010   | Obligations incurred, unexpired accounts .....                | 280         | 349     | 322       |
| 3020   | Outlays (gross) .....   | –284        | –289    | –311      |
| 3040   | Recoveries of prior year unpaid obligations, unexpired .....  | –4          |         |           |
| 3050   | Unpaid obligations, end of year .....                         | 80          | 140     | 151       |

|                               |  |    |     |     |
|-------------------------------|--|----|-----|-----|
| Memorandum (non-add) entries: |  |    |     |     |
| 3100                          | Obligated balance, start of year ..... | 88 | 80  | 140 |
| 3200                          | Obligated balance, end of year .....   | 80 | 140 | 151 |

|   |   |      |      |      |
|---|---|------|------|------|
| <b>Budget authority and outlays, net:</b>           |   |      |      |      |
| Discretionary:                                      |   |      |      |      |
| 4000  | Budget authority, gross .....                                   | 312  | 315  | 302  |
| Outlays, gross:                                     |   |      |      |      |
| 4010  | Outlays from new discretionary authority .....                  | 239  | 211  | 207  |
| 4011  | Outlays from discretionary balances .....                       | 45   | 78   | 104  |
| 4020  | Outlays, gross (total) .....                                    | 284  | 289  | 311  |
| Offsets against gross budget authority and outlays: |   |      |      |      |
| Offsetting collections (collected) from:            |   |      |      |      |
| 4030  | Federal sources .....   |      | –1   | –1   |
| 4034  | Offsetting governmental collections .....                       | –102 | –129 | –117 |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –102 | –130 | –118 |
| 4070  | Budget authority, net (discretionary) .....                     | 210  | 185  | 184  |
| 4080  | Outlays, net (discretionary) .....                              | 182  | 159  | 193  |
| 4180  | Budget authority, net (total) .....                             | 210  | 185  | 184  |
| 4190  | Outlays, net (total) .....                                      | 182  | 159  | 193  |

The mission of the Federal Trade Commission (the Commission or FTC) is to prevent business practices that are anticompetitive or deceptive or unfair to consumers; to enhance informed consumer choice and public understanding of the competitive process; and to accomplish this without unduly burdening legitimate business activity. The FTC's mission is based on a vision of the U.S. economy characterized by vigorous competition and consumer access to accurate information, which yields a wide range of products at competitive prices and rewards efficiency, innovation, and consumer choice.

**Protect Consumers.**—This goal is to prevent fraud, deception, and unfair business practices in the marketplace. The agency works to accomplish this goal through five objectives: (1) identify fraud, deception, and unfair practices that cause the greatest consumer injury; (2) stop fraud, deception, unfairness, and other unlawful practices through law enforcement; (3) prevent consumer injury through education; (4) enhance consumer protection through research, reports, rulemaking, and advocacy; and (5) protect American consumers in the global marketplace by providing sound policy and technical input to foreign governments and international organizations to promote sound consumer policy.

**Maintain Competition.**—This goal is to prevent anticompetitive mergers and other anticompetitive business practices in the marketplace. The agency works to accomplish this goal through four objectives: (1) take action against anticompetitive mergers and practices that may cause significant consumer injury; (2) prevent consumer injury through education; (3) enhance consumer benefit through research, reports, and advocacy; and (4) protect American consumers in the global marketplace by providing sound policy recommendations and technical advice to foreign governments and international organizations to promote sound competition policy.

The 2014 Budget includes a program level for the Commission of \$301 million, funded by \$183.7 million from the General Fund of the U.S. Treasury and offsetting collections from two sources: \$102.3 million from fees for Hart-Scott-Rodino Act premerger notification filings as authorized by 15 U.S.C. 18a and \$15 million from fees sufficient to implement and enforce the Telemarketing Sales Rule, promulgated under the Telemarketing and Consumer Fraud and Abuse Prevention Act (15 U.S.C. 6101 et seq., as amended).

The Budget proposes to increase the Hart-Scott-Rodino fees and index them for the percentage annual change in the gross national product. The fee proposal would also create a new merger fee category for mergers valued at over \$1 billion. Under the proposal, the fee increase would take effect in FY 2015.

## SALARIES AND EXPENSES—Continued

## Object Classification (in millions of dollars)

| Identification code 29–0100–0–1–376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 125         | 136     | 136       |
| 11.3 Other than full-time permanent .....                       | 9           | 9       | 9         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.8 Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 137         | 148     | 148       |
| 12.1 Civilian personnel benefits .....                          | 38          | 41      | 41        |
| 21.0 Travel and transportation of persons .....                 | 2           | 3       | 3         |
| 23.1 Rental payments to GSA .....                               | 26          | 31      | 51        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 6           | 8       | 7         |
| 24.0 Printing and reproduction .....                            | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....                     | 42          | 39      | 38        |
| 25.2 Other services from non-Federal sources .....              | 4           | 4       | 5         |
| 25.3 Other goods and services from Federal sources .....        | 6           | 8       | 8         |
| 25.4 Operation and maintenance of facilities .....              | 2           | 2       | 2         |
| 25.7 Operation and maintenance of equipment .....               | 2           | 3       | 3         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 12          | 58      | 12        |
| 99.0 Direct obligations .....                                   | 280         | 348     | 321       |
| 99.0 Reimbursable obligations .....                             |             | 1       | 1         |
| 99.9 Total new obligations .....                                | 280         | 349     | 322       |

## Employment Summary

| Identification code 29–0100–0–1–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,131       | 1,176   | 1,176     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 29–32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 15          |         |           |
| General Fund Offsetting receipts from the public .....  | 15          |         |           |

## HARRY S TRUMAN SCHOLARSHIP FOUNDATION

## Federal Funds

## Program and Financing (in millions of dollars)

| Identification code 95–0950–0–1–502                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 1           | 1       |           |
| 0900 Total new obligations (object class 94.0) .....  | 1           | 1       |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 1           | 1       |           |
| 1160 Appropriation, discretionary (total) .....       | 1           | 1       |           |
| 1930 Total budgetary resources available .....        | 2           | 2       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 1           | 1       |           |
| 3020 Outlays (gross) .....                            | –1          | –1      |           |

## Budget authority and outlays, net:

|   |   |   |  |
|---|---|---|--|
| Discretionary:                                      |   |   |  |
| 4000 Budget authority, gross .....                  | 1 | 1 |  |
| Outlays, gross:                                     |   |   |  |
| 4010 Outlays from new discretionary authority ..... | 1 | 1 |  |
| 4180 Budget authority, net (total) .....            | 1 | 1 |  |
| 4190 Outlays, net (total) .....                     | 1 | 1 |  |

## Trust Funds

## HARRY S TRUMAN MEMORIAL SCHOLARSHIP TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95–8296–0–7–502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 30          | 31      | 32        |
| Receipts:   |             |         |           |
| 0240 Interest on Investments, Harry S. Truman Memorial Scholarship Trust Fund ..... | 2           | 4       | 4         |
| 0241 General Fund Payment, Harry S Truman Scholarship Trust Fund .....              | 1           |         |           |
| 0299 Total receipts and collections .....   | 3           | 4       | 4         |
| 0400 Total: Balances and collections .....  | 33          | 35      | 36        |
| Appropriations:   |             |         |           |
| 0500 Harry S Truman Memorial Scholarship Trust Fund .....                           | –2          | –3      | –3        |
| 0799 Balance, end of year .....   | 31          | 32      | 33        |

## Program and Financing (in millions of dollars)

| Identification code 95–8296–0–7–502                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Scholarship awards .....                         | 1           | 2       | 2         |
| 0002 Program administration .....                     | 1           | 1       | 1         |
| 0900 Total new obligations .....                      | 2           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 25          | 25      | 25        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 2           | 3       | 3         |
| 1260 Appropriations, mandatory (total) .....          | 2           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 27          | 28      | 28        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 25          | 25      | 25        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 2           | 3       | 3         |
| 3020 Outlays (gross) .....                            | –2          | –3      | –3        |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 2 | 3 | 3 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... | 2 | 2 | 2 |
| 4101 Outlays from mandatory balances .....      |   | 1 | 1 |
| 4110 Outlays, gross (total) .....               | 2 | 3 | 3 |
| 4180 Budget authority, net (total) .....        | 2 | 3 | 3 |
| 4190 Outlays, net (total) .....                 | 2 | 3 | 3 |

## Memorandum (non-add) entries:

|  |    |    |    |
|--|----|----|----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 48 | 53 | 38 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 53 | 38 | 38 |

Public Law 93–642 established the Harry S Truman Scholarship Foundation to operate the scholarship program that is the permanent Federal memorial to the 33rd President of the United States. The Foundation awards scholarships for up to four years to qualified students who demonstrate outstanding potential for and interest in careers in public service at the local, State, or Federal level or in the non-profit sector.

In its annual competition, the Foundation selects up to 75 new Truman Scholars. The maximum award is \$30,000 toward a graduate level degree program.

**Scholarship awards.**—This activity is comprised of scholarships awarded to cover eligible educational expenses.

**Program administration.**—This activity covers all costs of operating the program, including annual program announcement, interview and selection of Truman Scholars, calculation and disbursement of scholarship awards, monitoring of student progress, and special services and activities for scholars, including an orientation week for new scholars, a summer education and internship program, and workshops and conferences.

#### Object Classification (in millions of dollars)

| Identification code 95–8296–0–7–502                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 2           | 2       | 2         |
| 99.5 Below reporting threshold .....                                |             | 1       | 1         |
| 99.9 Total new obligations .....                                    | 2           | 3       | 3         |

#### Employment Summary

| Identification code 95–8296–0–7–502                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 5           |         |           |

## INDEPENDENT PAYMENT ADVISORY BOARD

### Federal Funds

#### INDEPENDENT PAYMENT ADVISORY BOARD

#### Program and Financing (in millions of dollars)

| Identification code 95–3746–0–1–571                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 |             | 5       | 16        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             |         | 5         |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    |             | 5       |           |
| 1050 Unobligated balance (total) .....                             |             | 5       | 5         |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             | 5       | 16        |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             | 5       | 16        |
| 1930 Total budgetary resources available .....                     |             | 10      | 21        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             | 5       | 5         |

#### Change in obligated balance:

|   |  |    |     |
|---|--|----|-----|
| Unpaid obligations:                                 |  |    |     |
| 3010 Obligations incurred, unexpired accounts ..... |  | 5  | 16  |
| 3020 Outlays (gross) .....                          |  | –5 | –16 |

#### Budget authority and outlays, net:

|   |  |    |     |
|---|--|----|-----|
| Mandatory:  |  |    |     |
| 4090 Budget authority, gross .....                  |  | 5  | 16  |
| Outlays, gross:                                     |  |    |     |
| 4100 Outlays from new mandatory authority .....     |  | 5  | 16  |
| Offsets against gross budget authority and outlays: |  |    |     |
| Offsetting collections (collected) from:            |  |    |     |
| 4120 Federal sources .....                          |  | –5 | –16 |

The Affordable Care Act established the Independent Payment Advisory Board to reduce the per capita rate of growth in Medicare spending.

#### Object Classification (in millions of dollars)

| Identification code 95–3746–0–1–571                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          |             | 2       | 5         |
| 12.1 Civilian personnel benefits .....                          |             | 1       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... |             |         | 1         |
| 25.1 Advisory and assistance services .....                     |             | 2       | 8         |
| 99.9 Total new obligations .....                                |             | 5       | 16        |

#### Employment Summary

| Identification code 95–3746–0–1–571                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 15      | 45        |

## INDIAN LAW AND ORDER COMMISSION

### Federal Funds

#### INDIAN LAW AND ORDER COMMISSION

#### Program and Financing (in millions of dollars)

| Identification code 48–2971–0–1–754                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Indian Law and Order Commission .....           | 1           | 1       |           |
| 0900 Total new obligations (object class 25.2) ..... | 1           | 1       |           |

#### Budgetary Resources:

|   |   |   |  |
|---|---|---|--|
| Unobligated balance:                                  |   |   |  |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2 | 1 |  |
| 1930 Total budgetary resources available .....        | 2 | 1 |  |
| Memorandum (non-add) entries:                         |   |   |  |
| 1941 Unexpired unobligated balance, end of year ..... | 1 |   |  |

#### Change in obligated balance:

|   |    |    |   |
|---|----|----|---|
| Unpaid obligations:                                   |    |    |   |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1  | 1  | 1 |
| 3010 Obligations incurred, unexpired accounts .....   | 1  | 1  |   |
| 3020 Outlays (gross) .....                            | –1 | –1 |   |
| 3050 Unpaid obligations, end of year .....            | 1  | 1  | 1 |
| Memorandum (non-add) entries:                         |    |    |   |
| 3100 Obligated balance, start of year .....           | 1  | 1  | 1 |
| 3200 Obligated balance, end of year .....             | 1  | 1  | 1 |

#### Budget authority and outlays, net:

|  |   |   |  |
|--|---|---|--|
| Mandatory:                                 |   |   |  |
| Outlays, gross:                            |   |   |  |
| 4101 Outlays from mandatory balances ..... | 1 | 1 |  |
| 4190 Outlays, net (total) .....            | 1 | 1 |  |

## INSTITUTE OF AMERICAN INDIAN AND ALASKA NATIVE CULTURE AND ARTS DEVELOPMENT

### Federal Funds

#### PAYMENT TO THE INSTITUTE

*For payment to the Institute of American Indian and Alaska Native Culture and Arts Development, as authorized by title XV of Public Law 99–498, as amended (20 U.S.C. 56 part A), \$11,369,000, of which not to exceed \$2,000,000 for school operations for the following school year shall become available on July 1, 2014.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## PAYMENT TO THE INSTITUTE—Continued

## Program and Financing (in millions of dollars)

| Identification code 95–2900–0–1–502                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Payment to the Institute .....                  | 9           | 9       | 11        |
| 0900 Total new obligations (object class 41.0) ..... | 9           | 9       | 11        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 9           | 9       | 11        |
| 1160 Appropriation, discretionary (total) .....      | 9           | 9       | 11        |
| 1930 Total budgetary resources available .....       | 9           | 9       | 11        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 9           | 9       | 11        |
| 3020 Outlays (gross) .....                           | –9          | –9      | –11       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 9           | 9       | 11        |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 9           | 9       | 11        |
| 4180 Budget authority, net (total) .....             | 9           | 9       | 11        |
| 4190 Outlays, net (total) .....                      | 9           | 9       | 11        |

Title XV of Public Law 99–498 established the Institute of American Indian and Alaska Native Culture and Arts Development as an independent non-profit educational institution. The mission of the Institute is to serve as a multi-tribal center of higher education for Native Americans and is dedicated to the study, creative application, preservation and care of Indian arts and culture. The Institute is federally chartered and under the direction and control of a Board of Trustees appointed by the President of the United States.

*Payment to the Institute.*—This activity supports the operations of the Institute.

## INSTITUTE OF MUSEUM AND LIBRARY SERVICES

## Federal Funds

## OFFICE OF MUSEUM AND LIBRARY SERVICES: GRANTS AND ADMINISTRATION

*For carrying out the Museum and Library Services Act of 1996 and the National Museum of African American History and Culture Act, \$225,812,538.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 59–0300–0–1–503   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         | 1         |
| Receipts:   |             |         |           |
| 0220 Gifts and Donations, Institute of Museum Services .....                | 1           | 1       |           |
| 0400 Total: Balances and collections .....                                  | 1           | 1       | 1         |
| Appropriations:   |             |         |           |
| 0500 Office of Museum and Library Services: Grants and Administration ..... | –1          |         |           |
| 0799 Balance, end of year .....   |             | 1       | 1         |

## Program and Financing (in millions of dollars)

| Identification code 59–0300–0–1–503     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Assistance for museums .....       | 31          | 31      | 33        |

|                                     |     |     |     |
|-------------------------------------|-----|-----|-----|
| 0002 Assistance for libraries ..... | 186 | 186 | 177 |
| 0003 Administration .....           | 16  | 16  | 16  |
| 0900 Total new obligations .....    | 233 | 233 | 226 |

## Budgetary Resources:

|  |     |     |     |
|--|-----|-----|-----|
| Unobligated balance:                                   |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....  | 4   | 6   | 7   |
| 1021 Recoveries of prior year unpaid obligations ..... | 2   | 1   |     |
| 1050 Unobligated balance (total) .....                 | 6   | 7   | 7   |
| Budget authority:                                      |     |     |     |
| Appropriations, discretionary:                         |     |     |     |
| 1100 Appropriation .....                               | 232 | 233 | 226 |
| 1101 Appropriation (special or trust fund) .....       | 1   |     |     |
| 1160 Appropriation, discretionary (total) .....        | 233 | 233 | 226 |
| 1900 Budget authority (total) .....                    | 233 | 233 | 226 |
| 1930 Total budgetary resources available .....         | 239 | 240 | 233 |
| Memorandum (non-add) entries:                          |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....  | 6   | 7   | 7   |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 340  | 320  | 273  |
| 3010 Obligations incurred, unexpired accounts .....               | 233  | 233  | 226  |
| 3020 Outlays (gross) .....  | –251 | –279 | –274 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | –2   | –1   |      |
| 3050 Unpaid obligations, end of year .....                        | 320  | 273  | 225  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 340  | 320  | 273  |
| 3200 Obligated balance, end of year .....                         | 320  | 273  | 225  |

## Budget authority and outlays, net:

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 233 | 233 | 226 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 32  | 70  | 68  |
| 4011 Outlays from discretionary balances .....      | 219 | 209 | 206 |
| 4020 Outlays, gross (total) .....                   | 251 | 279 | 274 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4180 Budget authority, net (total) .....            | 233 | 233 | 226 |
| 4190 Outlays, net (total) .....                     | 251 | 279 | 274 |

The Institute of Museum and Library Services (IMLS) is the primary source of Federal support for the nation's 122,000 libraries and 17,500 museums. Through strategic grantmaking, policy development, data collection and research, IMLS supports libraries and museums as community anchors that provide vital learning experiences and broad access to content. IMLS provides leadership to help Americans build 21st century skills such as digital literacy; pursue education, training and workforce development; access early learning opportunities; and build civic engagement. The Institute's organization, mission, and functions are defined in the Museum and Library Services Act, Public Law 111–340, and the African American History and Culture Act, Public Law 108–184.

## Object Classification (in millions of dollars)

| Identification code 59–0300–0–1–503                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 7           | 7       | 7         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                      | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....     | 5           | 5       | 5         |
| 41.0 Grants, subsidies, and contributions .....        | 217         | 217     | 210       |
| 99.9 Total new obligations .....                       | 233         | 233     | 226       |

## Employment Summary

| Identification code 59–0300–0–1–503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 65          | 69      | 69        |



**INTELLIGENCE COMMUNITY MANAGEMENT  
ACCOUNT****Federal Funds****INTELLIGENCE COMMUNITY MANAGEMENT ACCOUNT**

*For necessary expenses of the Intelligence Community Management Account, \$568,271,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95–0401–0–1–054                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Intelligence community management .....                         | 543         | 551     | 568       |
| 0801 Reimbursable program .....                                      | 18          | 10      | 10        |
| 0900 Total new obligations .....                                     | 561         | 561     | 578       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                |             | –6      |           |
| 1021 Recoveries of prior year unpaid obligations .....               |             | 6       |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 548         | 551     | 568       |
| 1120 Appropriations transferred to other accts [97–0100] .....       | –9          |         |           |
| 1121 Appropriations transferred from other accts [57–3600] .....     | 8           |         |           |
| 1160 Appropriation, discretionary (total) .....                      | 547         | 551     | 568       |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 10          | 10      | 10        |
| 1701 Change in uncollected payments, Federal sources .....           | 2           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 12          | 10      | 10        |
| 1900 Budget authority (total) .....                                  | 559         | 561     | 578       |
| 1930 Total budgetary resources available .....                       | 559         | 561     | 578       |
| Memorandum (non-add) entries:  |             |         |           |
| 1940 Unobligated balance expiring .....                              | –4          |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | –6          |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 263         | 140     | 157       |
| 3010 Obligations incurred, unexpired accounts .....                  | 561         | 561     | 578       |
| 3011 Obligations incurred, expired accounts .....                    | 24          |         |           |
| 3020 Outlays (gross) .....   | –688        | –538    | –548      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    |             | –6      |           |
| 3041 Recoveries of prior year unpaid obligations, expired .....      | –20         |         |           |
| 3050 Unpaid obligations, end of year .....                           | 140         | 157     | 187       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | –2          | –3      | –3        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | –2          |         |           |
| 3071 Change in uncollected pymts, Fed sources, expired .....         | 1           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | –3          | –3      | –3        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 261         | 137     | 154       |
| 3200 Obligated balance, end of year .....                            | 137         | 154     | 184       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 559         | 561     | 578       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 437         | 412     | 425       |
| 4011 Outlays from discretionary balances .....                       | 251         | 126     | 123       |
| 4020 Outlays, gross (total) .....                                    | 688         | 538     | 548       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | –14         | –10     | –10       |
| 4033 Non-Federal sources .....                                       | –10         |         |           |
| 4040 Offsets against gross budget authority and outlays (total) .... | –24         | –10     | –10       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | –2          |         |           |
| 4052 Offsetting collections credited to expired accounts .....       | 14          |         |           |
| 4060 Additional offsets against budget authority only (total) .....  | 12          |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 547         | 551     | 568       |

|  |     |     |     |
|--|-----|-----|-----|
| 4080 Outlays, net (discretionary) .....  | 664 | 528 | 538 |
| 4180 Budget authority, net (total) ..... | 547 | 551 | 568 |
| 4190 Outlays, net (total) .....          | 664 | 528 | 538 |

The Intelligence Community Management Account (ICMA) provides resources that directly support the Director of National Intelligence (DNI) and the Intelligence Community (IC) as a whole in coordinating cross-program activities, improving budget oversight, and strengthening Community Management. The ICMA funds selected oversight elements including the National Intelligence Council, the DNI Special Security Center, the President's Daily Briefing Staff, and other enterprise-wide functions.

These oversight elements are the DNI's principal source of advice and assistance in planning and executing his intelligence community management responsibilities. These responsibilities include: developing the National Intelligence Program budget, developing intelligence plans and requirements, and overseeing research and development activities. The National Intelligence Council provides analytical support to the DNI and to national policy makers. The DNI Special Security Center develops uniform IC-wide security policies. The President's Daily Briefing Staff supports the production of the daily intelligence briefing that is provided to the President and his senior staff.

**Object Classification** (in millions of dollars)

| Identification code 95–0401–0–1–054                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 100         | 101     | 109       |
| 11.3 Other than full-time permanent .....                | 1           | 1       |           |
| 11.5 Other personnel compensation .....                  | 6           | 6       | 8         |
| 11.9 Total personnel compensation .....                  | 107         | 108     | 117       |
| 12.1 Civilian personnel benefits .....                   | 28          | 30      | 31        |
| 21.0 Travel and transportation of persons .....          | 13          | 15      | 15        |
| 23.2 Rental payments to others .....                     | 4           | 2       | 3         |
| 24.0 Printing and reproduction .....                     | 3           | 4       | 3         |
| 25.1 Advisory and assistance services .....              | 2           | 41      | 3         |
| 25.2 Other services from non-Federal sources .....       | 147         | 207     | 194       |
| 25.3 Other goods and services from Federal sources ..... | 210         | 122     | 190       |
| 25.5 Research and development contracts .....            | 3           | 1       |           |
| 25.7 Operation and maintenance of equipment .....        | 23          | 17      | 9         |
| 26.0 Supplies and materials .....                        | 1           | 2       | 2         |
| 31.0 Equipment .....                                     | 2           | 2       | 1         |
| 99.0 Direct obligations .....                            | 543         | 551     | 568       |
| 99.0 Reimbursable obligations .....                      | 18          | 10      | 10        |
| 99.9 Total new obligations .....                         | 561         | 561     | 578       |

**Employment Summary**

| Identification code 95–0401–0–1–054                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 760         | 760     | 793       |

**INTERNATIONAL TRADE COMMISSION****Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses of the U.S. International Trade Commission, including hire of passenger motor vehicles and services as authorized by 5 U.S.C. 3109, and not to exceed \$2,250 for official reception and representation expenses, \$85,102,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## SALARIES AND EXPENSES—Continued

## Program and Financing (in millions of dollars)

| Identification code 34-0100-0-1-153                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Research, investigations, and reports .....                  | 84          | 80      | 85        |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 1           | 1       | 1         |
| 1021 Recoveries of prior year unpaid obligations .....            | 4           |         |           |
| 1050 Unobligated balance (total) .....                            | 5           | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 80          | 80      | 85        |
| 1160 Appropriation, discretionary (total) .....                   | 80          | 80      | 85        |
| 1930 Total budgetary resources available .....                    | 85          | 81      | 86        |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 15          | 14      | 5         |
| 3010 Obligations incurred, unexpired accounts .....               | 84          | 80      | 85        |
| 3020 Outlays (gross) .....  | -81         | -89     | -85       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -4          |         |           |
| 3050 Unpaid obligations, end of year .....                        | 14          | 5       | 5         |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 15          | 14      | 5         |
| 3200 Obligated balance, end of year .....                         | 14          | 5       | 5         |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 80          | 80      | 85        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               |             | 75      | 80        |
| 4011 Outlays from discretionary balances .....                    | 81          | 14      | 5         |
| 4020 Outlays, gross (total) .....                                 | 81          | 89      | 85        |
| 4180 Budget authority, net (total) .....                          | 80          | 80      | 85        |
| 4190 Outlays, net (total) .....                                   | 81          | 89      | 85        |

The U.S. International Trade Commission (Commission) is an independent, quasi-judicial Federal agency with broad investigative responsibilities on matters of trade. The mission of the Commission is threefold: administer U.S. trade remedy laws within its mandate in a fair and objective manner; provide the President, the U. S. Trade Representative, and the Congress with independent, quality analysis, information, and support on matters of tariffs and international trade and competitiveness; and maintain the Harmonized Tariff Schedule of the United States. For 2014, the Commission requests an appropriation of \$85.1 million to support its authorized operations. Pursuant to section 175 of the Trade Act of 1974, the budget estimates for the Commission are transmitted to Congress without revision by the President.

The Commission issued an Addendum to its Strategic Plan for FY 2009–2014 in February 2012. The Addendum described revisions to the existing performance goals and created new management goals concerning financial management, procurement, human resources, and information technology. The Commission began development of its Strategic Plan for FY 2014–2018 in FY 2012. During FY 2013, the Commission will seek feedback from its legislative and executive branch customers as well as the public. The Plan will be issued in February 2014. Although the Commission has one program activity set forth in the Budget of the United States, the Commission's Strategic Plan identifies five strategic Operations and sets strategic goals for each Operation. These Operations reflect the mission and mandates of the Commission, highlighting the diverse benefits the Commission provides in supporting an open trading system based on the rule of law and the economic interests of the United States. For each

strategic goal, the Strategic Plan identifies performance goals and strategies to meet these goals. The Commission's annual measures provide targets by which the agency can assess whether it is making progress toward achieving its performance goals. The Commission's Strategic Operations are Import Injury Investigations, Intellectual Property-Based Import Investigations, Industry and Economic Analysis, Tariff and Trade Information Services, and Trade Policy Support. The Commission makes available its Strategic Plan, Agency Financial Report, Annual Performance Report and Budget Justification at <http://www.us-itc.gov>.

## Object Classification (in millions of dollars)

| Identification code 34-0100-0-1-153                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                               |             |         |           |
| <b>Personnel compensation:</b>                           |             |         |           |
| 11.1 Full-time permanent .....                           | 38          | 42      | 43        |
| 11.3 Other than full-time permanent .....                | 6           | 1       | 1         |
| 11.5 Other personnel compensation .....                  |             | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 44          | 44      | 45        |
| 12.1 Civilian personnel benefits .....                   | 12          | 12      | 13        |
| 21.0 Travel and transportation of persons .....          |             | 1       | 1         |
| 23.1 Rental payments to GSA .....                        | 10          | 11      | 11        |
| 25.1 Advisory and assistance services .....              |             | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 11          | 5       | 6         |
| 25.3 Other goods and services from Federal sources ..... | 3           | 2       | 3         |
| 26.0 Supplies and materials .....                        | 2           | 2       | 2         |
| 31.0 Equipment .....                                     | 2           | 1       | 2         |
| 99.9 Total new obligations .....                         | 84          | 80      | 85        |

## Employment Summary

| Identification code 34-0100-0-1-153                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 394         | 390     | 411       |

JAMES MADISON MEMORIAL FELLOWSHIP  
FOUNDATION

## Trust Funds

## JAMES MADISON MEMORIAL FELLOWSHIP TRUST FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-8282-0-7-502  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  |             |         |           |
| <b>Receipts:</b>   |             |         |           |
| 0240 Earnings on Investments, James Madison Memorial Fellowship Foundation ..... | 2           | 2       | 2         |
| 0400 Total: Balances and collections .....                                       | 2           | 2       | 2         |
| <b>Appropriations:</b>   |             |         |           |
| 0500 James Madison Memorial Fellowship Trust Fund .....                          | -2          | -2      | -2        |
| 0799 Balance, end of year .....  |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 95-8282-0-7-502                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Fellowship awards .....                          | 2           | 1       | 1         |
| 0002 Program administration .....                     |             | 1       | 1         |
| 0900 Total new obligations .....                      | 2           | 2       | 2         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 38          | 38      | 38        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 2           | 2       | 2         |
| 1260 Appropriations, mandatory (total) .....          | 2           | 2       | 2         |

|      |  |    |    |    |
|------|--|----|----|----|
| 1900 | Budget authority (total) .....                   | 2  | 2  | 2  |
| 1930 | Total budgetary resources available .....        | 40 | 40 | 40 |
|      | Memorandum (non-add) entries:                    |    |    |    |
| 1941 | Unexpired unobligated balance, end of year ..... | 38 | 38 | 38 |

**Change in obligated balance:**

|      |  |    |    |    |
|------|--|----|----|----|
|      | Unpaid obligations:                              |    |    |    |
| 3000 | Unpaid obligations, brought forward, Oct 1 ..... | 1  | 1  | 1  |
| 3010 | Obligations incurred, unexpired accounts .....   | 2  | 2  | 2  |
| 3020 | Outlays (gross) .....                            | -2 | -2 | -2 |

|      |  |   |   |   |
|------|--|---|---|---|
| 3050 | Unpaid obligations, end of year .....  | 1 | 1 | 1 |
|      | Memorandum (non-add) entries:          |   |   |   |
| 3100 | Obligated balance, start of year ..... | 1 | 1 | 1 |
| 3200 | Obligated balance, end of year .....   | 1 | 1 | 1 |

**Budget authority and outlays, net:**

|      |  |   |   |   |
|------|--|---|---|---|
|      | Mandatory:                                 |   |   |   |
| 4090 | Budget authority, gross .....              | 2 | 2 | 2 |
|      | Outlays, gross:                            |   |   |   |
| 4100 | Outlays from new mandatory authority ..... | 2 | 2 | 2 |
| 4180 | Budget authority, net (total) .....        | 2 | 2 | 2 |
| 4190 | Outlays, net (total) .....                 | 2 | 2 | 2 |

**Memorandum (non-add) entries:**

|      |   |    |    |    |
|------|---|----|----|----|
| 5000 | Total investments, SOY: Federal securities: Par value ..... | 37 | 37 | 37 |
| 5001 | Total investments, EOY: Federal securities: Par value ..... | 37 | 37 | 37 |

Public Laws 99-500, 101-208, and 102-221 established the James Madison Memorial Fellowship Foundation to operate a fellowship program to encourage graduate study of the framing, principles, and history of the American Constitution. Appropriations of \$10 million in 1988 and 1989 established the foundation's trust fund. The funds have been invested by the Secretary of the Treasury in U.S. Treasury securities, and the interest earned on these funds is available for carrying out the activities of the foundation. Funds raised from private sources and the surcharges from commemorative coin sales are also placed in the trust fund.

The Foundation is authorized to award graduate fellowships of up to \$24,000 to high school teachers of American history, American government, and social studies. College seniors and recent college graduates who want to become secondary school teachers of these subjects are also eligible.

**Fellowship awards.**—This activity is comprised of fellowship awards to cover educational expenses. It also supports the foundation's annual Summer Institute on the U.S. Constitution, which all current fellows are required to attend. The Institute is an intensive educational experience that will ensure that all fellows know the history of the framing, ratification, and implementation of the U.S. Constitution and the Bill of Rights.

**Program administration.**—This activity covers the costs of planning, fund-raising, and the operation of the fellowship program.

**Object Classification** (in millions of dollars)

| Identification code 95-8282-0-7-502                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 1           | 1       | 1         |
| 99.5 Below reporting threshold .....                                | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                    | 2           | 2       | 2         |

**Employment Summary**

| Identification code 95-8282-0-7-502                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           |         |           |

**JAPAN-UNITED STATES FRIENDSHIP COMMISSION****Trust Funds****JAPAN-UNITED STATES FRIENDSHIP TRUST FUND****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 95-8025-0-7-154  | 2012 actual     | 2013 CR | 2014 est. |
|--|-----------------|---------|-----------|
| 0100 Balance, start of year .....  | 37              | 37      | 37        |
|  | Receipts:       |         |           |
| 0240 Interest on Investment in Public Debt Securities, Japan-United States Friendship Commission ..... | 2               | 3       | 3         |
| 0400 Total: Balances and collections .....   | 39              | 40      | 40        |
|  | Appropriations: |         |           |
| 0500 Japan-United States Friendship Trust Fund .....   | -2              | -3      | -3        |
| 0799 Balance, end of year .....  | 37              | 37      | 37        |

**Program and Financing** (in millions of dollars)

| Identification code 95-8025-0-7-154 | 2012 actual                      | 2013 CR | 2014 est. |
|-------------------------------------|----------------------------------|---------|-----------|
|                                     | Obligations by program activity: |         |           |
| 0001 Grants .....                   | 2                                | 2       | 2         |
| 0002 Administration .....           |                                  | 1       | 1         |
| 0900 Total new obligations .....    | 2                                | 3       | 3         |

**Budgetary Resources:**

|  |                            |   |   |
|--|----------------------------|---|---|
|  | Budget authority:          |   |   |
|  | Appropriations, mandatory: |   |   |
| 1201 Appropriation (special or trust fund) ..... | 2                          | 3 | 3 |
| 1260 Appropriations, mandatory (total) .....     | 2                          | 3 | 3 |
| 1930 Total budgetary resources available .....   | 2                          | 3 | 3 |

**Change in obligated balance:**

|   |                     |    |    |
|---|---------------------|----|----|
|   | Unpaid obligations: |    |    |
| 3010 Obligations incurred, unexpired accounts ..... | 2                   | 3  | 3  |
| 3020 Outlays (gross) .....                          | -2                  | -3 | -3 |

**Budget authority and outlays, net:**

|   |                 |   |   |
|---|-----------------|---|---|
|   | Mandatory:      |   |   |
| 4090 Budget authority, gross .....              | 2               | 3 | 3 |
|   | Outlays, gross: |   |   |
| 4100 Outlays from new mandatory authority ..... | 2               | 3 | 3 |
| 4180 Budget authority, net (total) .....        | 2               | 3 | 3 |
| 4190 Outlays, net (total) .....                 | 2               | 3 | 3 |

**Memorandum (non-add) entries:**

|  |    |    |    |
|--|----|----|----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 39 | 38 | 38 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 38 | 38 | 38 |

The Japan-United States Friendship Act of 1975 established the Japan-United States Friendship Trust Fund and created the Japan-United States Friendship Commission (the Commission) to make grants for the promotion of scholarly, cultural, and artistic activities between Japan and the United States. The Commission is authorized to make expenditures from the fund in an amount not to exceed 5 percent annually of the fund's original principal to pay Commission expenses and make grants to support Japanese studies and Study of the United States, policy oriented activities and exchanges. The Commission's funding priorities are: arts and culture; education and public affairs; exchange and scholarship and global challenges.

**Object Classification** (in millions of dollars)

| Identification code 95-8025-0-7-154                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 2           | 2       | 2         |
| 99.5 Below reporting threshold .....                                |             | 1       | 1         |
| 99.9 Total new obligations .....                                    | 2           | 3       | 3         |

JAPAN-UNITED STATES FRIENDSHIP TRUST FUND—Continued  
Employment Summary

| Identification code 95–8025–0–7–154                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 4           | 4       | 4         |

## LEGAL SERVICES CORPORATION

## Federal Funds

## PAYMENT TO THE LEGAL SERVICES CORPORATION

For payment to the Legal Services Corporation to carry out the purposes of the Legal Services Corporation Act of 1974, \$430,000,000, of which \$400,300,000 is for basic field programs and required independent audits; \$4,200,000 is for the Office of Inspector General, of which such amounts as may be necessary may be used to conduct additional audits of recipients; \$19,500,000 is for management and grants oversight; \$3,500,000 is for client self-help and information technology; \$1,500,000 is for a Pro Bono Innovation Fund; and \$1,000,000 is for loan repayment assistance: Provided, That the Legal Services Corporation may continue to provide locality pay to officers and employees at a rate no greater than that provided by the Federal Government to Washington, DC-based employees as authorized by 5 U.S.C. 5304, notwithstanding section 1005(d) of the Legal Services Corporation Act, 42 U.S.C. 2996(d): Provided further, That the authorities provided in section 205 of this Act shall be applicable to the Legal Services Corporation.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

## Program and Financing (in millions of dollars)

| Identification code 20–0501–0–1–752                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Payment to Legal Services Corporation .....                   | 348         | 350     | 430       |
| 0801 Reimbursable program activity .....                           | 3           |         |           |
| 0900 Total new obligations .....                                   | 351         | 350     | 430       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             |         | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 348         | 350     | 430       |
| 1100 Appropriation .....   |             | 1       |           |
| 1160 Appropriation, discretionary (total) .....                    | 348         | 351     | 430       |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 3           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 3           |         |           |
| 1900 Budget authority (total) .....                                | 351         | 351     | 430       |
| 1930 Total budgetary resources available .....                     | 351         | 351     | 431       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             | 1       | 1         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 44          | 39      | 31        |
| 3010 Obligations incurred, unexpired accounts .....                | 351         | 350     | 430       |
| 3020 Outlays (gross) .....   | –356        | –358    | –423      |
| 3050 Unpaid obligations, end of year .....                         | 39          | 31      | 38        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 44          | 39      | 31        |
| 3200 Obligated balance, end of year .....                          | 39          | 31      | 38        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 351         | 351     | 430       |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 316         | 321     | 393       |
| 4011 Outlays from discretionary balances .....                     | 40          | 37      | 30        |

|   |     |     |     |
|---|-----|-----|-----|
| 4020 Outlays, gross (total) .....                   | 356 | 358 | 423 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4030 Federal sources .....                          | –3  |     |     |
| 4180 Budget authority, net (total) .....            | 348 | 351 | 430 |
| 4190 Outlays, net (total) .....                     | 353 | 358 | 423 |

The Legal Services Corporation (LSC) distributes appropriated funds to local non-profit organizations that provide free civil legal assistance, according to locally-determined priorities, to people living in poverty. The Congress chartered the corporation as a private, non-profit entity outside of the Federal Government. Funding for LSC helps ensure that low-income Americans have an opportunity to obtain access to the courts, due process and fair treatment.

## Object Classification (in millions of dollars)

| Identification code 20–0501–0–1–752                                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 41.0 Direct obligations: Grants, subsidies, and contributions ..... | 348         | 350     | 430       |
| 99.0 Reimbursable obligations .....                                 | 3           |         |           |
| 99.9 Total new obligations .....                                    | 351         | 350     | 430       |

## ADMINISTRATIVE PROVISION—LEGAL SERVICES CORPORATION

None of the funds appropriated in this Act to the Legal Services Corporation shall be expended for any purpose prohibited or limited by, or contrary to any of the provisions of, sections 501, 502, 503, 504, 505, and 506 of Public Law 105–119, and all funds appropriated in this Act to the Legal Services Corporation shall be subject to the same terms and conditions set forth in such sections, except that all references in sections 502 and 503 to 1997 and 1998 shall be deemed to refer instead to 2013 and 2014, respectively.

Section 504 of Public Law 104–134 is amended:

(1) in subsection (a) by striking "to provide financial assistance to" and inserting in lieu thereof "by";

(2) in subsection (a) by inserting "in a manner" after "(which may be referred to in this section as a 'recipient')"; and

(3) by deleting (a)(7) and (a)(13) and renumbering the remaining subsections accordingly.

## MARINE MAMMAL COMMISSION

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of the Marine Mammal Commission as authorized by title II of Public Law 92–522, \$3,431,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–2200–0–1–302                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Salaries and expenses .....                      | 3           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 3           | 3       | 3         |
| 1160 Appropriation, discretionary (total) .....       | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |

|   |  |    |    |    |
|---|--|----|----|----|
| 3010                                      | Obligations incurred, unexpired accounts ..... | 3  | 3  | 3  |
| 3020                                      | Outlays (gross) .....                          | -3 | -3 | -3 |
| 3050                                      | Unpaid obligations, end of year .....          | 1  | 1  | 1  |
|   | Memorandum (non-add) entries:                  |    |    |    |
| 3100                                      | Obligated balance, start of year .....         | 1  | 1  | 1  |
| 3200                                      | Obligated balance, end of year .....           | 1  | 1  | 1  |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
|   | Discretionary:                                 |    |    |    |
| 4000                                      | Budget authority, gross .....                  | 3  | 3  | 3  |
|   | Outlays, gross:                                |    |    |    |
| 4010                                      | Outlays from new discretionary authority ..... | 2  | 2  | 2  |
| 4011                                      | Outlays from discretionary balances .....      | 1  | 1  | 1  |
| 4020                                      | Outlays, gross (total) .....                   | 3  | 3  | 3  |
| 4180                                      | Budget authority, net (total) .....            | 3  | 3  | 3  |
| 4190                                      | Outlays, net (total) .....                     | 3  | 3  | 3  |

The Commission recommends national and international marine mammal policies; recommends development of scientific and management programs; reviews the status of marine mammal populations; recommends to the Secretaries of Commerce, the Interior, Defense, and State steps to conserve marine mammals domestically and internationally; and manages a research program.

#### Object Classification (in millions of dollars)

| Identification code 95-2200-0-1-302  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 99.0 Reimbursable obligations .....  | 1           | 1       | 1         |
| 99.5 Below reporting threshold .....                                       | 1           | 1       | 1         |
| 99.9 Total new obligations .....   | 3           | 3       | 3         |

#### Employment Summary

| Identification code 95-2200-0-1-302                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 12          | 14      | 14        |

## MERIT SYSTEMS PROTECTION BOARD

### Federal Funds

#### SALARIES AND EXPENSES

##### (INCLUDING TRANSFER OF FUNDS)

*For necessary expenses to carry out functions of the Merit Systems Protection Board pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978, and the Whistleblower Protection Act of 1989 (5 U.S.C. 5509 note), including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, direct procurement of survey printing, and not to exceed \$2,000 for official reception and representation expenses, \$40,070,000, to remain available until September 30, 2015, together with not to exceed \$2,345,000, to remain available until September 30, 2015, for administrative expenses to adjudicate retirement appeals to be transferred from the Civil Service Retirement and Disability Fund in amounts determined by the Merit Systems Protection Board.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 41-0100-0-1-805     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Adjudication .....                 | 32          | 32      | 33        |
| 0002 Merit systems studies .....        | 3           | 3       | 3         |
| 0003 Management support .....           | 4           | 4       | 4         |

|      |                                     |    |    |    |
|------|-------------------------------------|----|----|----|
| 0799 | Total direct obligations .....      | 39 | 39 | 40 |
| 0801 | Reimbursable program activity ..... | 2  | 2  | 2  |
| 0900 | Total new obligations .....         | 41 | 41 | 42 |

#### Budgetary Resources:

|      |  |    |    |    |
|------|--|----|----|----|
| 1000 | Unobligated balance brought forward, Oct 1 .....               | 1  | 1  |    |
|      | Budget authority:  |    |    |    |
|      | Appropriations, discretionary:                                 |    |    |    |
| 1100 | Appropriation .....  | 40 | 39 | 40 |
| 1160 | Appropriation, discretionary (total) .....                     | 40 | 39 | 40 |
|      | Spending authority from offsetting collections, discretionary: |    |    |    |
| 1700 | Collected .....  | 2  | 2  | 2  |
| 1750 | Spending auth from offsetting collections, disc (total) .....  | 2  | 2  | 2  |
| 1900 | Budget authority (total) .....                                 | 42 | 41 | 42 |
| 1930 | Total budgetary resources available .....                      | 42 | 42 | 43 |
|      | Memorandum (non-add) entries:                                  |    |    |    |
| 1941 | Unexpired unobligated balance, end of year .....               | 1  | 1  | 1  |

#### Change in obligated balance:

|      |  |     |     |     |
|------|--|-----|-----|-----|
| 3000 | Unpaid obligations:  |     |     |     |
|      | Unpaid obligations, brought forward, Oct 1 .....           | 6   | 5   | 5   |
| 3010 | Obligations incurred, unexpired accounts .....             | 41  | 41  | 42  |
| 3020 | Outlays (gross) .....                                      | -41 | -41 | -42 |
| 3041 | Recoveries of prior year unpaid obligations, expired ..... | -1  |     |     |
| 3050 | Unpaid obligations, end of year .....                      | 5   | 5   | 5   |
|      | Memorandum (non-add) entries:                              |     |     |     |
| 3100 | Obligated balance, start of year .....                     | 6   | 5   | 5   |
| 3200 | Obligated balance, end of year .....                       | 5   | 5   | 5   |

#### Budget authority and outlays, net:

|      |   |    |    |    |
|------|---|----|----|----|
| 4000 | Discretionary:                                      |    |    |    |
|      | Budget authority, gross .....                       | 42 | 41 | 42 |
|      | Outlays, gross:                                     |    |    |    |
| 4010 | Outlays from new discretionary authority .....      | 37 | 38 | 39 |
| 4011 | Outlays from discretionary balances .....           | 4  | 3  | 3  |
| 4020 | Outlays, gross (total) .....                        | 41 | 41 | 42 |
|      | Offsets against gross budget authority and outlays: |    |    |    |
|      | Offsetting collections (collected) from:            |    |    |    |
| 4030 | Federal sources .....                               | -2 | -2 | -2 |
| 4180 | Budget authority, net (total) .....                 | 40 | 39 | 40 |
| 4190 | Outlays, net (total) .....                          | 39 | 39 | 40 |

The Merit Systems Protection Board (MSPB) is an independent agency in the Executive Branch of the Federal government that serves as the guardian of Federal merit systems. The Board's mission is to protect Federal merit systems and the rights of individuals within those systems. The MSPB accomplishes its mission by: hearing and deciding employee appeals from agency actions; hearing and deciding cases brought by the Special Counsel involving alleged abuses of the merit systems, and other cases arising under the Board's original jurisdiction; conducting studies of the civil service and other merit systems in the Executive Branch to determine whether they are free from prohibited personnel practices; and providing oversight of the significant actions and regulations of the Office of Personnel Management (OPM) to determine whether they are in accord with merit system principles. The MSPB's inception began in 1883, when Congress passed the Pendleton Act establishing the Civil Service Commission and a merit-based employment system for the Federal government. The Pendleton Act grew out of the 19th Century reform movement to curtail the excesses of political patronage in government. As the Commission's responsibilities multiplied, a growing consensus emerged that it could not properly and adequately perform managerial and adjudicatory functions simultaneously. Concern over the inherent conflict of interest in the Commission's role as both rule-maker and judge was a principal motivating factor behind the enactment by Congress of the Civil Service Reform Act of 1978. The Act replaced the Civil Service Commission with three new independent agencies: the OPM, which manages the Federal workforce; the Federal Labor Relations Authority, which oversees Federal labor-management relations;

## SALARIES AND EXPENSES—Continued

and the MSPB. The MSPB assumed the employee appeals functions of the Commission and was given the new responsibilities to perform merit systems studies and to review the significant actions of the OPM.

## Object Classification (in millions of dollars)

| Identification code 41–0100–0–1–805                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 24          | 25      | 25        |
| 12.1 Civilian personnel benefits .....                          | 6           | 5       | 6         |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               | 3           | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 2           | 1       | 1         |
| 25.3 Other goods and services from Federal sources .....        | 1           | 1       | 1         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.0 Direct obligations .....                                   | 39          | 39      | 40        |
| 99.0 Reimbursable obligations .....                             | 2           | 2       | 2         |
| 99.9 Total new obligations .....                                | 41          | 41      | 42        |

## Employment Summary

| Identification code 41–0100–0–1–805                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 198         | 211     | 211       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 15          | 15      | 15        |

## MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

## Federal Funds

## MORRIS K. UDALL AND STEWART L. UDALL TRUST FUND

*For payment to the Morris K. Udall and Stewart L. Udall Trust Fund, pursuant to the Morris K. Udall and Stewart L. Udall Foundation Act (20 U.S.C. 5601 et seq.), \$2,100,000, to remain available until expended, of which, notwithstanding sections 8 and 9 of such Act: (1) up to \$50,000 shall be used to conduct financial audits pursuant to the Accountability of Tax Dollars Act of 2002 (Public Law 107–289); and (2) up to \$1,000,000 shall be available to carry out the activities authorized by section 6(7) of Public Law 102–259 (20 U.S.C. 5604(7)).*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–0900–0–1–502  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>  |             |         |           |
| 0001 Federal payment to Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation ..... | 2           | 2       | 2         |
| 0900 Total new obligations (object class 94.0) .....   | 2           | 2       | 2         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1100 Appropriation .....   | 2           | 2       | 2         |
| 1160 Appropriation, discretionary (total) .....  | 2           | 2       | 2         |
| 1930 Total budgetary resources available .....   | 2           | 2       | 2         |
| <b>Change in obligated balance:</b>  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 2           | 2       | 2         |
| 3020 Outlays (gross) .....   | –2          | –2      | –2        |
| <b>Budget authority and outlays, net:</b>  |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   | 2           | 2       | 2         |

## Outlays, gross:

|   |   |   |   |
|---|---|---|---|
| 4010 Outlays from new discretionary authority ..... | 2 | 2 | 2 |
| 4180 Budget authority, net (total) .....            | 2 | 2 | 2 |
| 4190 Outlays, net (total) .....                     | 2 | 2 | 2 |

The Morris K. Udall and Stewart L. Udall Fund is invested in Treasury securities with maturities suitable to the needs of the Fund. Interest earnings from the investments are used to carry out the activities of the Udall Foundation. The Foundation awards scholarships, fellowships and grants, and, as required by its enabling legislation, funds specified activities of the Udall Center for Studies in Public Policy, based at the University of Arizona.

The Udall Foundation is authorized by 20 U.S.C. 5604(7) to establish training programs for professionals in Native American and Alaska Native health care and public policy. The Foundation provides these programs through the Native Nations Institute (NNI), which is housed at the University of Arizona and provides Native Americans and Alaska Natives with leadership and management training and assists in policy analysis relevant to tribes.

## ENVIRONMENTAL DISPUTE RESOLUTION FUND

*For payment to the Environmental Dispute Resolution Fund to carry out activities authorized in the Environmental Policy and Conflict Resolution Act of 1998, \$3,600,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95–5415–0–2–306   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0220 Fees for Services, Non-federal Entities, Environmental Dispute Resolution Fund ..... | 6           |         |           |
| 0240 Fees for Services, Federal Entities, Environmental Dispute Resolution Fund .....     |             | 4       | 4         |
| 0299 Total receipts and collections .....   | 6           | 4       | 4         |
| 0400 Total: Balances and collections .....  | 6           | 4       | 4         |
| Appropriations:   |             |         |           |
| 0500 Environmental Dispute Resolution Fund .....  | –6          | –4      | –4        |
| 0799 Balance, end of year .....   |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 95–5415–0–2–306                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Environmental dispute resolution fund .....                | 9           | 7       | 7         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 2           | 4       | 5         |
| 1001 Discretionary unobligated balance brought fwd, Oct 1 ..... | 2           |         |           |
| 1021 Recoveries of prior year unpaid obligations .....          | 1           |         |           |
| 1050 Unobligated balance (total) .....                          | 3           | 4       | 5         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....                 | 4           | 4       | 4         |
| Appropriations, mandatory:                                      |             |         |           |
| 1201 Appropriation (special or trust fund) .....                | 6           | 4       | 4         |
| 1260 Appropriations, mandatory (total) .....                    | 6           | 4       | 4         |
| 1900 Budget authority (total) .....                             | 10          | 8       | 8         |
| 1930 Total budgetary resources available .....                  | 13          | 12      | 13        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 4           | 5       | 6         |

|   |  |    |    |    |
|---|--|----|----|----|
| <b>Change in obligated balance:</b>       |  |    |    |    |
| Unpaid obligations:                       |  |    |    |    |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....             | 3  | 2  | 1  |
| 3010                                      | Obligations incurred, unexpired accounts .....               | 9  | 7  | 7  |
| 3020                                      | Outlays (gross) .....  | -9 | -8 | -8 |
| 3040                                      | Recoveries of prior year unpaid obligations, unexpired ..... | -1 |    |    |
| 3050                                      | Unpaid obligations, end of year .....                        | 2  | 1  |    |
| Memorandum (non-add) entries:             |  |    |    |    |
| 3100                                      | Obligated balance, start of year .....                       | 3  | 2  | 1  |
| 3200                                      | Obligated balance, end of year .....                         | 2  | 1  |    |
| <b>Budget authority and outlays, net:</b> |  |    |    |    |
| Discretionary:                            |  |    |    |    |
| 4000                                      | Budget authority, gross .....                                | 4  | 4  | 4  |
| Outlays, gross:                           |  |    |    |    |
| 4010                                      | Outlays from new discretionary authority .....               | 1  | 4  | 4  |
| 4011                                      | Outlays from discretionary balances .....                    | 2  |    |    |
| 4020                                      | Outlays, gross (total) .....                                 | 3  | 4  | 4  |
| Mandatory:                                |  |    |    |    |
| 4090                                      | Budget authority, gross .....                                | 6  | 4  | 4  |
| Outlays, gross:                           |  |    |    |    |
| 4100                                      | Outlays from new mandatory authority .....                   | 6  | 3  | 3  |
| 4101                                      | Outlays from mandatory balances .....                        |    | 1  | 1  |
| 4110                                      | Outlays, gross (total) .....                                 | 6  | 4  | 4  |
| 4180                                      | Budget authority, net (total) .....                          | 10 | 8  | 8  |
| 4190                                      | Outlays, net (total) .....                                   | 9  | 8  | 8  |

In 1998, Public Law 105–56 created the U.S. Institute for Environmental Conflict Resolution as the only federal entity focused entirely on preventing and resolving environmental conflicts and promoting collaborative decision making. The Institute, part of the Udall Foundation, serves as an impartial, non-partisan institution providing assessment, mediation, facilitation, training, and other related services to resolve disputes involving agencies and instrumentalities of the United States involved in natural resource and public lands conflicts, including matters related to energy, transportation, and land use. The Institute helps parties determine whether collaborative problem solving is appropriate for specific environmental challenges, the most suitable methods for bringing the parties together, and whether a third-party neutral might be helpful in assisting the parties in their efforts to reach consensus or to resolve the conflict. In addition to providing services directly, the Institute maintains a roster of qualified professional facilitators and mediators with substantial experience in environmental collaboration and conflict resolution, including a roster of neutrals with expertise in dealing with Native American Tribal issues, and can help parties in selecting an appropriate neutral. (See [www.ecr.gov](http://www.ecr.gov) for more information about the Institute.)

#### Object Classification (in millions of dollars)

| Identification code 95–5415–0–2–306 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 21.0                                | Travel and transportation of persons .....        | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....     | 5           | 3       | 3         |
| 99.9                                | Total new obligations .....                       | 9           | 7       | 7         |

#### Employment Summary

| Identification code 95–5415–0–2–306                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 31          | 34      | 33        |

#### Trust Funds

##### MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95–8615–0–7–502 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 0100                                | Balance, start of year .....                                    | 42          | 43      | 44        |
| Receipts:                           |   |             |         |           |
| 0240                                | General Fund Payments, Morris K. Udall Scholarship Fund .....   | 2           | 2       | 2         |
| 0241                                | Interest on Investments, Morris K. Udall Scholarship Fund ..... | 2           | 2       | 2         |
| 0299                                | Total receipts and collections .....                            | 4           | 4       | 4         |
| 0400                                | Total: Balances and collections .....                           | 46          | 47      | 48        |
| Appropriations:                     |   |             |         |           |
| 0500                                | Morris K. Udall and Stewart L. Udall Foundation .....           | –3          | –3      | –3        |
| 0799                                | Balance, end of year .....                                      | 43          | 44      | 45        |

#### Program and Financing (in millions of dollars)

| Identification code 95–8615–0–7–502   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |             |         |           |
| 0001 Morris K. Udall Scholarship and Excellence in National Environmental Policy Foundation ..... | 1           | 3       | 3         |
| 0900 Total new obligations (object class 41.0) .....  | 1           | 3       | 3         |

#### Budgetary Resources:

|                               |  |   |   |   |
|-------------------------------|--|---|---|---|
| Unobligated balance:          |  |   |   |   |
| 1000                          | Unobligated balance brought forward, Oct 1 ..... | 2 | 4 | 4 |
| Budget authority:             |  |   |   |   |
| Appropriations, mandatory:    |  |   |   |   |
| 1201                          | Appropriation (special or trust fund) .....      | 3 | 3 | 3 |
| 1260                          | Appropriations, mandatory (total) .....          | 3 | 3 | 3 |
| 1930                          | Total budgetary resources available .....        | 5 | 7 | 7 |
| Memorandum (non-add) entries: |  |   |   |   |
| 1941                          | Unexpired unobligated balance, end of year ..... | 4 | 4 | 4 |

#### Change in obligated balance:

|                               |  |    |    |    |
|-------------------------------|--|----|----|----|
| Unpaid obligations:           |  |    |    |    |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... | 1  |    |    |
| 3010                          | Obligations incurred, unexpired accounts .....   | 1  | 3  | 3  |
| 3020                          | Outlays (gross) .....                            | -2 | -3 | -3 |
| Memorandum (non-add) entries: |  |    |    |    |
| 3100                          | Obligated balance, start of year .....           | 1  |    |    |

#### Budget authority and outlays, net:

|                 |  |   |       |       |
|-----------------|--|---|-------|-------|
| Mandatory:      |  |   |       |       |
| 4090            | Budget authority, gross .....              | 3 | 3     | 3     |
| Outlays, gross: |  |   |       |       |
| 4100            | Outlays from new mandatory authority ..... | 1 | 3     | 3     |
| 4101            | Outlays from mandatory balances .....      | 1 | ..... | ..... |
| 4110            | Outlays, gross (total) .....               | 2 | 3     | 3     |
| 4180            | Budget authority, net (total) .....        | 3 | 3     | 3     |
| 4190            | Outlays, net (total) .....                 | 2 | 3     | 3     |

#### Memorandum (non-add) entries:

|      |   |    |    |    |
|------|---|----|----|----|
| 5000 | Total investments, SOY: Federal securities: Par value ..... | 32 | 27 | 44 |
| 5001 | Total investments, EOY: Federal securities: Par value ..... | 27 | 44 | 45 |

Public Law 102–259 established the Udall Foundation to provide educational resources to promote studies in the natural environment and Native American public health and Tribal policy. In 2012, the Udall Foundation awarded 80 undergraduate scholarships. The graduate fellowship program was suspended due to funding concerns. Twelve participants in the Native American Congressional Summer Internship Program spent ten weeks in Congressional offices, the Council on Environmental Quality, and Executive Branch agencies participating in a program created by the Udall Foundation. In FY 2012 the Foundation maintained its current level of scholarships and internships; in FY 2013 the Foundation reduced the level of scholarships as a result of a decrease in interest generated by the Trust Fund. The fellowship is not being offered in FY 2012 and FY 2013 due to insufficient earnings on Trust Fund investments.

MORRIS K. UDALL AND STEWART L. UDALL FOUNDATION—Continued  
Employment Summary

| Identification code 95–8615–0–7–502                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 7           | 7       | 7         |

NATIONAL ARCHIVES AND RECORDS  
ADMINISTRATION

Federal Funds

OPERATING EXPENSES

For necessary expenses in connection with the administration of the National Archives and Records Administration and archived Federal records and related activities, as provided by law, and for expenses necessary for the review and declassification of documents, the activities of the Public Interest Declassification Board, the operations and maintenance of the electronic records archives, the hire of passenger motor vehicles, and for uniforms or allowances therefor, as authorized by law (5 U.S.C. 5901), including maintenance, repairs, and cleaning, \$370,706,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

Program and Financing (in millions of dollars)

| Identification code 88–0300–0–1–804  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                      |             |         |           |
| 0001 Legislative Archives, Presidential Libraries, and Museum Services ..... | 116         | 110     | 110       |
| 0002 Research Services .....   | 94          | 98      | 98        |
| 0003 Agency and Related Services .....                                       | 83          | 86      | 86        |
| 0004 Facility Operations .....   | 51          | 52      | 48        |
| 0005 Archives II Facility .....  | 14          | 12      | 11        |
| 0006 Financial Transfer .....  | 15          | 17      | 18        |
| 0799 Total direct obligations .....  | 373         | 375     | 371       |
| 0888 Reimbursable program .....  | 2           | 2       | 2         |
| 0900 Total new obligations .....   | 375         | 377     | 373       |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budgetary Resources:</b>   |     |     |     |
| Unobligated balance:  |     |     |     |
| 1000 Unobligated balance brought forward, Oct 1 .....                           |     | 1   | 1   |
| Budget authority:   |     |     |     |
| Appropriations, discretionary:  |     |     |     |
| 1100 Appropriation .....  | 373 | 375 | 371 |
| 1160 Appropriation, discretionary (total) .....                                 | 373 | 375 | 371 |
| Spending authority from offsetting collections, discretionary:                  |     |     |     |
| 1700 Collected .....  | 3   | 2   | 2   |
| 1700 Offsetting collections (cash applied to repay debt) .....                  | 15  | 17  | 18  |
| 1726 Spending authority from offsetting collections applied to repay debt ..... | –15 | –17 | –18 |
| 1750 Spending auth from offsetting collections, disc (total) .....              | 3   | 2   | 2   |
| 1900 Budget authority (total) .....   | 376 | 377 | 373 |
| 1930 Total budgetary resources available .....                                  | 376 | 378 | 374 |
| Memorandum (non-add) entries:   |     |     |     |
| 1941 Unexpired unobligated balance, end of year .....                           | 1   | 1   | 1   |

|   |      |      |      |
|---|------|------|------|
| <b>Change in obligated balance:</b>                             |      |      |      |
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 81   | 99   | 103  |
| 3010 Obligations incurred, unexpired accounts .....             | 375  | 377  | 373  |
| 3011 Obligations incurred, expired accounts .....               | 1    |      |      |
| 3020 Outlays (gross) .....                                      | –353 | –373 | –355 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –5   |      |      |
| 3050 Unpaid obligations, end of year .....                      | 99   | 103  | 121  |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 81   | 99   | 103  |
| 3200 Obligated balance, end of year .....                       | 99   | 103  | 121  |

|   |     |     |     |
|---|-----|-----|-----|
| <b>Budget authority and outlays, net:</b> |     |     |     |
| Discretionary:                            |     |     |     |
| 4000 Budget authority, gross .....        | 376 | 377 | 373 |

|  |     |     |     |
|--|-----|-----|-----|
| Outlays, gross:  |     |     |     |
| 4010 Outlays from new discretionary authority .....                  | 285 | 289 | 287 |
| 4011 Outlays from discretionary balances .....                       | 68  | 84  | 68  |
| 4020 Outlays, gross (total) .....                                    | 353 | 373 | 355 |
| Offsets against gross budget authority and outlays:                  |     |     |     |
| Offsetting collections (collected) from:                             |     |     |     |
| 4030 Federal sources .....   | –17 | –19 | –20 |
| 4033 Non-Federal sources .....                                       | –1  |     |     |
| 4040 Offsets against gross budget authority and outlays (total) .... | –18 | –19 | –20 |
| 4070 Budget authority, net (discretionary) .....                     | 358 | 358 | 353 |
| 4080 Outlays, net (discretionary) .....                              | 335 | 354 | 335 |
| 4180 Budget authority, net (total) .....                             | 358 | 358 | 353 |
| 4190 Outlays, net (total) .....                                      | 335 | 354 | 335 |

This appropriation provides for the operation of the Federal government's archives and records management activities, the preservation of permanently valuable historical records, and their access and use by the public. This appropriation also includes the Electronic Records Archives (ERA), which preserves, stores, and manages digital Federal government records for archival purposes, ensuring long-term access.

**Legislative Archives, Presidential Libraries, and Museum Services.**—This activity provides for the Center for Legislative Archives and the Office of Presidential Materials, which provide records management services to the Congress and the White House; the Presidential Libraries of thirteen former Presidents; and nationwide education, outreach, and exhibits programs, including the National Archives Experience in Washington, DC.

**Research Services.**—This activity provides for the archival storage and preservation of permanently valuable Federal government records and for continued access to those records by the researcher community and the general public at public research rooms in fifteen locations across the country and on-line, at [www.archives.gov](http://www.archives.gov).

**Agency and Related Services.**—This activity provides for the services NARA provides to other Federal agencies, including records management, appropriate declassification of classified national security information, oversight of the classification system and controlled, unclassified information, and improvements to the administration of the Freedom of Information Act by the Office of Government Information Services; the electronic records management activities of the ERA system; and publication of the Federal Register, U.S. Statutes-at-Large, and Presidential Papers.

**Facility Operations.**—This activity provides for the operations and maintenance of NARA facilities, including interest payments and repayments of principal on debt associated with construction of the Archives II building in College Park, MD. Appropriations for repayments of principal ("redemption of debt") are excluded from NARA budget authority.

Object Classification (in millions of dollars)

| Identification code 88–0300–0–1–804                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 124         | 125     | 126       |
| 11.3 Other than full-time permanent .....                       | 5           | 6       | 6         |
| 11.5 Other personnel compensation .....                         | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....                         | 131         | 133     | 134       |
| 12.1 Civilian personnel benefits .....                          | 38          | 38      | 38        |
| 21.0 Travel and transportation of persons .....                 | 2           | 2       | 2         |
| 22.0 Transportation of things .....                             | 1           |         |           |
| 23.1 Rental payments to GSA .....                               | 7           | 7       | 6         |
| 23.2 Rental payments to others .....                            | 2           |         |           |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 16          | 18      | 18        |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 16          | 17      | 22        |
| 25.2 Other services from non-Federal sources .....              | 24          | 28      | 25        |
| 25.3 Other goods and services from Federal sources .....        | 16          | 16      | 16        |
| 25.4 Operation and maintenance of facilities .....              | 41          | 40      | 35        |
| 25.5 Research and development contracts .....                   | 1           | 1       |           |



|      |  |     |     |     |
|------|--|-----|-----|-----|
| 25.7 | Operation and maintenance of equipment ..... | 27  | 28  | 30  |
| 26.0 | Supplies and materials .....                 | 3   | 3   | 3   |
| 31.0 | Equipment .....                              | 16  | 13  | 11  |
| 32.0 | Land and structures .....                    | 2   | 1   | 1   |
| 43.0 | Interest and dividends .....                 | 14  | 12  | 11  |
| 94.0 | Financial transfers .....                    | 15  | 17  | 18  |
| 99.0 | Direct obligations .....                     | 373 | 375 | 371 |
| 99.0 | Reimbursable obligations .....               | 2   | 2   | 2   |
| 99.9 | Total new obligations .....                  | 375 | 377 | 373 |

**Employment Summary**

| Identification code 88-0300-0-1-804                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 1,664       | 1,674   | 1,664     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 28          | 26      | 26        |

**OFFICE OF INSPECTOR GENERAL**

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Reform Act of 2008, Public Law 110-409, 122 Stat. 4302-16 (2008), and the Inspector General Act of 1978 (5 U.S.C. App.), and for the hire of passenger motor vehicles, \$4,130,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 88-0305-0-1-804                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>             |             |         |           |
| 0001 Office of Inspector General .....              | 4           | 4       | 4         |
| <b>Budgetary Resources:</b>                         |             |         |           |
| Budget authority:                                   |             |         |           |
| Appropriations, discretionary:                      |             |         |           |
| 1100 Appropriation .....                            | 4           | 4       | 4         |
| 1160 Appropriation, discretionary (total) .....     | 4           | 4       | 4         |
| 1930 Total budgetary resources available .....      | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 4           | 4       | 4         |
| 3020 Outlays (gross) .....                          | -4          | -4      | -4        |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 4           | 4       | 4         |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 4           | 4       | 4         |
| 4180 Budget authority, net (total) .....            | 4           | 4       | 4         |
| 4190 Outlays, net (total) .....                     | 4           | 4       | 4         |

The Office of Inspector General (OIG) provides independent audits and investigations and serves as an independent, internal advocate to promote economy, efficiency, and effectiveness at NARA. The Inspector General Act of 1978, as amended, established the OIG's independent role and general responsibilities. The Inspector General reports to the Archivist of the United States. The OIG evaluates NARA's performance, makes recommendations for improvements, and follows up to ensure economical, efficient, and effective operations and compliance with laws, policies, and regulations.

**Object Classification** (in millions of dollars)

| Identification code 88-0305-0-1-804                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |

|      |   |   |   |   |
|------|---|---|---|---|
| 25.2 | Other services from non-Federal sources ..... | 1 | 1 | 1 |
| 99.9 | Total new obligations .....                   | 4 | 4 | 4 |

**Employment Summary**

| Identification code 88-0305-0-1-804                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 21          | 22      | 22        |

**ELECTRONIC RECORD ARCHIVES****Program and Financing** (in millions of dollars)

| Identification code 88-0303-0-1-804                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Electronic records archives .....                            | 5           | 20      | .....     |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 1           | 20      | .....     |
| 1021 Recoveries of prior year unpaid obligations .....            | 24          | .....   | .....     |
| 1050 Unobligated balance (total) .....                            | 25          | 20      | .....     |
| 1930 Total budgetary resources available .....                    | 25          | 20      | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 20          | .....   | .....     |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 53          | 15      | 10        |
| 3010 Obligations incurred, unexpired accounts .....               | 5           | 20      | .....     |
| 3020 Outlays (gross) .....  | -18         | -25     | -10       |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -24         | .....   | .....     |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                        | 15          | 10      | .....     |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 53          | 15      | 10        |
| 3200 Obligated balance, end of year .....                         | 15          | 10      | .....     |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4011 Outlays from discretionary balances .....                    | 18          | 25      | 10        |
| 4190 Outlays, net (total) .....                                   | 18          | 25      | 10        |

**Object Classification** (in millions of dollars)

| Identification code 88-0303-0-1-804         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                         |             |         |           |
| 25.1 Advisory and assistance services ..... | 1           | 10      | .....     |
| 31.0 Equipment .....                        | 4           | 10      | .....     |
| 99.9 Total new obligations .....            | 5           | 20      | .....     |

**REPAIRS AND RESTORATION**

*For the repair, alteration, and improvement of archives facilities, and to provide adequate storage for holdings, \$8,000,000, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 88-0302-0-1-804     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Direct program activity .....      | 26          | 17      | 8         |

REPAIRS AND RESTORATION—Continued  
Program and Financing—Continued

| Identification code 88–0302–0–1–804                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 34          | 17      | 9         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 9           | 9       | 8         |
| 1160 Appropriation, discretionary (total) .....       | 9           | 9       | 8         |
| 1930 Total budgetary resources available .....        | 43          | 26      | 17        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 17          | 9       | 9         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 18          | 23      | 11        |
| 3010 Obligations incurred, unexpired accounts .....   | 26          | 17      | 8         |
| 3020 Outlays (gross) .....                            | –21         | –29     | –17       |
| 3050 Unpaid obligations, end of year .....            | 23          | 11      | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 18          | 23      | 11        |
| 3200 Obligated balance, end of year .....             | 23          | 11      | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 9           | 9       | 8         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 6           | 6       | 6         |
| 4011 Outlays from discretionary balances .....        | 15          | 23      | 11        |
| 4020 Outlays, gross (total) .....                     | 21          | 29      | 17        |
| 4180 Budget authority, net (total) .....              | 9           | 9       | 8         |
| 4190 Outlays, net (total) .....                       | 21          | 29      | 17        |

This appropriation provides for the repair, alteration, and improvement of Archives facilities and Presidential Libraries nationwide. This appropriation allows NARA to maintain a safe environment for public visitors and researchers, NARA employees, and the permanently valuable Federal government records stored in NARA buildings.

## Object Classification (in millions of dollars)

| Identification code 88–0302–0–1–804                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.1 Advisory and assistance services .....        | 1           | .....   | .....     |
| 25.4 Operation and maintenance of facilities ..... | 2           | .....   | .....     |
| 32.0 Land and structures .....                     | 23          | 17      | 8         |
| 99.9 Total new obligations .....                   | 26          | 17      | 8         |

## NATIONAL HISTORICAL PUBLICATIONS AND RECORDS COMMISSION

## GRANTS PROGRAM

For necessary expenses for allocations and grants for historical publications and records as authorized by 44 U.S.C. 2504, \$3,000,000, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 88–0301–0–1–804                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 5           | 5       | 3         |
| 0900 Total new obligations (object class 41.0) ..... | 5           | 5       | 3         |

## Budgetary Resources:

|   |     |    |       |
|---|-----|----|-------|
| Unobligated balance:                                  |     |    |       |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1   | 1  | 1     |
| Budget authority:                                     |     |    |       |
| Appropriations, discretionary:                        |     |    |       |
| 1100 Appropriation .....                              | 5   | 5  | 3     |
| 1160 Appropriation, discretionary (total) .....       | 5   | 5  | 3     |
| 1930 Total budgetary resources available .....        | 6   | 6  | 4     |
| Memorandum (non-add) entries:                         |     |    |       |
| 1941 Unexpired unobligated balance, end of year ..... | 1   | 1  | 1     |
| <b>Change in obligated balance:</b>                   |     |    |       |
| Unpaid obligations:                                   |     |    |       |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 17  | 12 | 9     |
| 3010 Obligations incurred, unexpired accounts .....   | 5   | 5  | 3     |
| 3020 Outlays (gross) .....                            | –10 | –8 | –9    |
| 3050 Unpaid obligations, end of year .....            | 12  | 9  | 3     |
| Memorandum (non-add) entries:                         |     |    |       |
| 3100 Obligated balance, start of year .....           | 17  | 12 | 9     |
| 3200 Obligated balance, end of year .....             | 12  | 9  | 3     |
| <b>Budget authority and outlays, net:</b>             |     |    |       |
| Discretionary:  |     |    |       |
| 4000 Budget authority, gross .....                    | 5   | 5  | 3     |
| Outlays, gross:                                       |     |    |       |
| 4010 Outlays from new discretionary authority .....   | 1   | 1  | ..... |
| 4011 Outlays from discretionary balances .....        | 9   | 7  | 9     |
| 4020 Outlays, gross (total) .....                     | 10  | 8  | 9     |
| 4180 Budget authority, net (total) .....              | 5   | 5  | 3     |
| 4190 Outlays, net (total) .....                       | 10  | 8  | 9     |

The National Historical Publications and Records Commission grants program provides for grants to preserve and publish non-Federal records that document American history. This appropriation supports core programs and initiatives in the form of grants that publish, preserve, and make accessible important historical documents.

## RECORDS CENTER REVOLVING FUND

## Program and Financing (in millions of dollars)

| Identification code 88–4578–0–4–804                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program .....                                    | 189         | 190     | 190       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 4           | 22      | 17        |
| 1021 Recoveries of prior year unpaid obligations .....             | 2           | .....   | .....     |
| 1050 Unobligated balance (total) .....                             | 6           | 22      | 17        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 185         | 185     | 188       |
| 1701 Change in uncollected payments, Federal sources .....         | 20          | .....   | .....     |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 205         | 185     | 188       |
| 1930 Total budgetary resources available .....                     | 211         | 207     | 205       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 22          | 17      | 15        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 33          | 28      | 33        |
| 3010 Obligations incurred, unexpired accounts .....                | 189         | 190     | 190       |
| 3020 Outlays (gross) .....   | –192        | –185    | –188      |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....  | –2          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                         | 28          | 33      | 35        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | –17         | –37     | –37       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....     | –20         | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....             | –37         | –37     | –37       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 16          | –9      | –4        |
| 3200 Obligated balance, end of year .....                          | –9          | –4      | –2        |

| <b>Budget authority and outlays, net:</b>               |   |      |      |      |
|---|---|------|------|------|
| Discretionary:  |   |      |      |      |
| 4000  | Budget authority, gross .....                                   | 205  | 185  | 188  |
| Outlays, gross:   |   |      |      |      |
| 4010  | Outlays from new discretionary authority .....                  | 172  | 165  | 170  |
| 4011  | Outlays from discretionary balances .....                       | 20   | 20   | 18   |
| 4020  | Outlays, gross (total) .....                                    | 192  | 185  | 188  |
| Offsets against gross budget authority and outlays:     |   |      |      |      |
| Offsetting collections (collected) from:                |   |      |      |      |
| 4030  | Federal sources .....   | -183 | -183 | -186 |
| 4033  | Non-Federal sources .....                                       | -2   | -2   | -2   |
| 4040  | Offsets against gross budget authority and outlays (total) .... | -185 | -185 | -188 |
| Additional offsets against gross budget authority only: |   |      |      |      |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | -20  |      |      |
| 4080  | Outlays, net (discretionary) .....                              | 7    |      |      |
| 4190  | Outlays, net (total) .....                                      | 7    |      |      |

The full cost recovery revolving fund provides for the storage and related services that NARA Records Centers provide to Federal agency customers. NARA Federal Records Centers provide low-cost, high-quality storage and related services, including: transfer, reference, re-file, and disposal services for temporary and pre-archival Federal government records.

**Object Classification** (in millions of dollars)

| Identification code 88-4578-0-4-804 |  |         |           |     |
|-------------------------------------|--|---------|-----------|-----|
|                                     | 2012 actual  | 2013 CR | 2014 est. |     |
| Reimbursable obligations:           |  |         |           |     |
| Personnel compensation:             |  |         |           |     |
| 11.1                                | Full-time permanent .....                                  | 51      | 51        | 52  |
| 11.3                                | Other than full-time permanent .....                       | 9       | 9         | 9   |
| 11.5                                | Other personnel compensation .....                         | 3       | 3         | 3   |
| 11.9                                | Total personnel compensation .....                         | 63      | 63        | 64  |
| 12.1                                | Civilian personnel benefits .....                          | 18      | 18        | 18  |
| 21.0                                | Travel and transportation of persons .....                 | 1       | 1         | 1   |
| 22.0                                | Transportation of things .....                             | 3       | 3         | 3   |
| 23.1                                | Rental payments to GSA .....                               | 44      | 45        | 44  |
| 23.2                                | Rental payments to others .....                            | 11      | 11        | 11  |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 5       | 5         | 5   |
| 25.1                                | Advisory and assistance services .....                     | 3       | 3         | 3   |
| 25.2                                | Other services from non-Federal sources .....              | 2       | 2         | 2   |
| 25.3                                | Other goods and services from Federal sources .....        | 13      | 13        | 13  |
| 25.4                                | Operation and maintenance of facilities .....              | 1       | 1         | 1   |
| 25.7                                | Operation and maintenance of equipment .....               | 14      | 14        | 14  |
| 26.0                                | Supplies and materials .....                               | 2       | 2         | 2   |
| 31.0                                | Equipment .....  | 9       | 9         | 9   |
| 99.9                                | Total new obligations .....                                | 189     | 190       | 190 |

**Employment Summary**

| Identification code 88-4578-0-4-804 |   |         |           |       |
|-------------------------------------|---|---------|-----------|-------|
|                                     | 2012 actual   | 2013 CR | 2014 est. |       |
| 2001                                | Reimbursable civilian full-time equivalent employment ..... | 1,382   | 1,384     | 1,384 |

**Trust Funds**

## NATIONAL ARCHIVES GIFT FUND

**Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 88-8127-0-7-804 |  |         |           |    |
|-------------------------------------|--|---------|-----------|----|
|                                     | 2012 actual  | 2013 CR | 2014 est. |    |
| 0100                                | Balance, start of year .....   |         |           | 2  |
| Receipts:                           |  |         |           |    |
| 0220                                | Gifts and Bequests, National Archives Gift Fund .....  | 5       | 11        | 3  |
| 0221                                | Interest and Dividends on Non-Federal Securities, National Archives Gift Fund .....                | 1       | 1         | 1  |
| 0222                                | Proceeds from Non-Federal Securities not Immediately Reinvested, National Archives Gift Fund ..... | 1       | 1         | 1  |
| 0299                                | Total receipts and collections .....   | 7       | 13        | 5  |
| 0400                                | Total: Balances and collections .....  | 7       | 13        | 7  |
| Appropriations:                     |  |         |           |    |
| 0500                                | National Archives Gift Fund .....  | -7      | -11       | -3 |
| 0799                                | Balance, end of year .....   |         | 2         | 4  |

**Program and Financing** (in millions of dollars)

| Identification code 88-8127-0-7-804     |  |         |           |    |
|---|--|---------|-----------|----|
|   | 2012 actual                                      | 2013 CR | 2014 est. |    |
| <b>Obligations by program activity:</b> |  |         |           |    |
| 0801                                    | Reimbursable program activity .....              | 7       | 12        | 3  |
| <b>Budgetary Resources:</b>             |  |         |           |    |
| Unobligated balance:                    |  |         |           |    |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 3       | 3         | 2  |
| Budget authority:                       |  |         |           |    |
| Appropriations, mandatory:              |  |         |           |    |
| 1201                                    | Appropriation (special or trust fund) .....      | 7       | 11        | 3  |
| 1260                                    | Appropriations, mandatory (total) .....          | 7       | 11        | 3  |
| 1930                                    | Total budgetary resources available .....        | 10      | 14        | 5  |
| Memorandum (non-add) entries:           |  |         |           |    |
| 1941                                    | Unexpired unobligated balance, end of year ..... | 3       | 2         | 2  |
| <b>Change in obligated balance:</b>     |  |         |           |    |
| Unpaid obligations:                     |  |         |           |    |
| 3000                                    | Unpaid obligations, brought forward, Oct 1 ..... | 1       | 4         | 2  |
| 3010                                    | Obligations incurred, unexpired accounts .....   | 7       | 12        | 3  |
| 3020                                    | Outlays (gross) .....                            | -4      | -14       | -3 |
| 3050                                    | Unpaid obligations, end of year .....            | 4       | 2         | 2  |
| Memorandum (non-add) entries:           |  |         |           |    |
| 3100                                    | Obligated balance, start of year .....           | 1       | 4         | 2  |
| 3200                                    | Obligated balance, end of year .....             | 4       | 2         | 2  |

**Budget authority and outlays, net:**

|                                      |  |    |    |    |
|--------------------------------------|--|----|----|----|
| Mandatory:                           |  |    |    |    |
| 4090                                 | Budget authority, gross .....                                  | 7  | 11 | 3  |
| Outlays, gross:                      |  |    |    |    |
| 4100                                 | Outlays from new mandatory authority .....                     | 4  | 11 | 3  |
| 4101                                 | Outlays from mandatory balances .....                          |    | 3  |    |
| 4110                                 | Outlays, gross (total) .....                                   | 4  | 14 | 3  |
| 4180                                 | Budget authority, net (total) .....                            | 7  | 11 | 3  |
| 4190                                 | Outlays, net (total) .....                                     | 4  | 14 | 3  |
| <b>Memorandum (non-add) entries:</b> |  |    |    |    |
| 5000                                 | Total investments, SOY: Federal securities: Par value .....    | 3  | 5  | 5  |
| 5001                                 | Total investments, EOY: Federal securities: Par value .....    | 5  | 5  | 5  |
| 5010                                 | Total investments, SOY: non-Fed securities: Market value ..... | 15 | 16 | 25 |
| 5011                                 | Total investments, EOY: non-Fed securities: Market value ..... | 16 | 25 | 25 |

The National Archives Trust Fund Board may accept conditional and unconditional gifts or bequests of money, securities, or other personal property for the benefit of NARA activities. NARA receives endowments from private foundations to offset the operating costs of Presidential Libraries. FY 2013 receipts and outlays include a gift from the George W. Bush Foundation to establish an endowment pursuant to 44 U.S.C. 2112(g) providing for the maintenance of the new G.W. Bush Library facility.

**Object Classification** (in millions of dollars)

| Identification code 88-8127-0-7-804 |                             |         |           |   |
|-------------------------------------|-----------------------------|---------|-----------|---|
|                                     | 2012 actual                 | 2013 CR | 2014 est. |   |
| 99.9                                | Total new obligations ..... | 7       | 12        | 3 |

## NATIONAL ARCHIVES TRUST FUND

**Program and Financing** (in millions of dollars)

| Identification code 88-8436-0-8-804     |   |         |           |    |
|---|---|---------|-----------|----|
|   | 2012 actual                                       | 2013 CR | 2014 est. |    |
| <b>Obligations by program activity:</b> |   |         |           |    |
| 0801                                    | Sales .....                                       | 7       | 8         | 7  |
| 0802                                    | Presidential libraries .....                      | 9       | 9         | 9  |
| 0900                                    | Total new obligations .....                       | 16      | 17        | 16 |
| <b>Budgetary Resources:</b>             |   |         |           |    |
| Unobligated balance:                    |   |         |           |    |
| 1000                                    | Unobligated balance brought forward, Oct 1 .....  | 6       | 9         | 9  |
| 1021                                    | Recoveries of prior year unpaid obligations ..... | 1       |           |    |
| 1050                                    | Unobligated balance (total) .....                 | 7       | 9         | 9  |

NATIONAL ARCHIVES TRUST FUND—Continued  
Program and Financing—Continued

| Identification code 88-8436-0-8-804                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 19          | 17      | 16        |
| 1801 Change in uncollected payments, Federal sources .....           | -1          | .....   | .....     |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 18          | 17      | 16        |
| 1930 Total budgetary resources available .....                       | 25          | 26      | 25        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 9           | 9       | 9         |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 3           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....                  | 16          | 17      | 16        |
| 3020 Outlays (gross) .....   | -16         | -17     | -16       |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -1          | .....   | .....     |
| 3050 Unpaid obligations, end of year .....                           | 2           | 2       | 2         |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -2          | -1      | -1        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 1           | .....   | .....     |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -1          | -1      | -1        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....                            | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 18          | 17      | 16        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 15          | 17      | 16        |
| 4101 Outlays from mandatory balances .....                           | 1           | .....   | .....     |
| 4110 Outlays, gross (total) .....                                    | 16          | 17      | 16        |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   | -3          | -1      | .....     |
| 4123 Non-Federal sources .....                                       | -16         | -16     | -16       |
| 4130 Offsets against gross budget authority and outlays (total) .... | -19         | -17     | -16       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | 1           | .....   | .....     |
| 4170 Outlays, net (mandatory) .....                                  | -3          | .....   | .....     |
| 4190 Outlays, net (total) .....                                      | -3          | .....   | .....     |
| <b>Memorandum (non-add) entries:</b>                                 |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....     | 6           | 9       | 9         |
| 5001 Total investments, EOY: Federal securities: Par value .....     | 9           | 9       | 9         |
| 5010 Total investments, SOY: non-Fed securities: Market value .....  | 13          | 16      | 16        |
| 5011 Total investments, EOY: non-Fed securities: Market value .....  | 16          | 16      | 16        |

The Archivist of the United States furnishes, for a fee, copies of unrestricted records in the custody of the National Archives (44 U.S.C. 2116). Proceeds from the sale of copies of microfilm publications, reproductions, special works, and other publications, and admission fees to Presidential Library museum rooms are deposited in this fund (44 U.S.C. 2112, 2307).

## Object Classification (in millions of dollars)

| Identification code 88-8436-0-8-804                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                                |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 4           | 5       | 5         |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 5           | 6       | 6         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 24.0 Printing and reproduction .....                     | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....       | 2           | 2       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 2           | 2       | 2         |
| 26.0 Supplies and materials .....                        | 2           | 2       | 2         |
| 31.0 Equipment .....                                     | 1           | 1       | .....     |
| 33.0 Investments and loans .....                         | 1           | 1       | 1         |
| 99.9 Total new obligations .....                         | 16          | 17      | 16        |

## Employment Summary

| Identification code 88-8436-0-8-804                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 108         | 111     | 111       |

## NATIONAL CAPITAL PLANNING COMMISSION

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses of the National Capital Planning Commission under chapter 87 of title 40, United States Code, including services as authorized by 5 U.S.C. 3109, \$8,084,000: Provided, That \$21,268 may be used for official reception and representational expenses associated with hosting international visitors engaged in the planning and physical development of world capitals.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95-2500-0-1-451                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Salaries and expenses .....                      | 8           | 8       | 8         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 8           | 8       | 8         |
| 1160 Appropriation, discretionary (total) .....       | 8           | 8       | 8         |
| 1930 Total budgetary resources available .....        | 8           | 8       | 8         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 8           | 8       | 8         |
| 3020 Outlays (gross) .....                            | -8          | -8      | -8        |
| 3050 Unpaid obligations, end of year .....            | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 2       | 2         |
| 3200 Obligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 8           | 8       | 8         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 7           | 8       | 8         |
| 4011 Outlays from discretionary balances .....        | 1           | .....   | .....     |
| 4020 Outlays, gross (total) .....                     | 8           | 8       | 8         |
| 4180 Budget authority, net (total) .....              | 8           | 8       | 8         |
| 4190 Outlays, net (total) .....                       | 8           | 8       | 8         |

The National Capital Planning Commission (NCP) is the central planning agency for the Federal Government in the National Capital Region. Through its planning initiatives and review of development proposals, NCP helps guide Federal development, preserving the Capital City's unique resources through study, analysis, and advance planning. In 2014, NCP will work with the District of Columbia and its Federal and regional partners to promote development plans that support the Federal interest and contribute to the best urban design, transportation, and land-use scenarios for the National Capital Region. NCP will continue to ensure that all Federal development in the region meets the highest design standards; review Federal plans for capital improvements in the region; and continue to develop long-range planning initiatives that are coordinated with Federal, State, local, and private business interests.

## Object Classification (in millions of dollars)

| Identification code 95-2500-0-1-451                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 4           | 4       | 4         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                      | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....            | 1           | 1       | 1         |
| 99.9 Total new obligations .....                       | 8           | 8       | 8         |

## Employment Summary

| Identification code 95-2500-0-1-451                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 38          | 43      | 41        |

## NATIONAL COUNCIL ON DISABILITY

## Federal Funds

## SALARIES AND EXPENSES

*For expenses necessary for the National Council on Disability as authorized by title IV of the Rehabilitation Act of 1973, \$3,345,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95-3500-0-1-506                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Salaries and expenses .....                      | 3           | 3       | 3         |
| 0900 Total new obligations .....                      | 3           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 3           | 3       | 3         |
| 1160 Appropriation, discretionary (total) .....       | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 3       | 3         |
| 3020 Outlays (gross) .....                            | -3          | -3      | -3        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 3           | 3       | 3         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 3           | 3       | 3         |
| 4180 Budget authority, net (total) .....              | 3           | 3       | 3         |
| 4190 Outlays, net (total) .....                       | 3           | 3       | 3         |

The National Council on Disability (NCD), an independent federal agency, is composed of 15 members appointed by the President. Established under the Rehabilitation Act of 1973, as amended, the NCD is responsible for reviewing the Federal Government's laws, programs, and policies which affect people with disabilities. The NCD also makes recommendations on issues affecting individuals with disabilities and their families to the President, Congress, the Rehabilitation Services Administration, the National Institute on Disability and Rehabilitation Research, and other Federal Departments and agencies.

## Object Classification (in millions of dollars)

| Identification code 95-3500-0-1-506  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 99.5 Below reporting threshold .....                                       | 2           | 2       | 2         |
| 99.9 Total new obligations .....   | 3           | 3       | 3         |

## Employment Summary

| Identification code 95-3500-0-1-506                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 12          | 12      | 13        |

## NATIONAL CREDIT UNION ADMINISTRATION

## Federal Funds

## OPERATING FUND

## Program and Financing (in millions of dollars)

| Identification code 25-4056-0-3-373                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0801 Examination and supervision .....                               | 153         | 165     | 175       |
| 0803 Administration .....  | 63          | 79      | 78        |
| 0804 Office of Inspector General .....                               | 4           | 4       | 4         |
| 0900 Total new obligations .....                                     | 220         | 248     | 257       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 33          | 67      | 59        |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:           |             |         |           |
| 1800 Collected .....   | 220         | 240     | 255       |
| 1801 Change in uncollected payments, Federal sources .....           | 34          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....   | 254         | 240     | 255       |
| 1930 Total budgetary resources available .....                       | 287         | 307     | 314       |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 67          | 59      | 57        |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 23          | 27      | 27        |
| 3010 Obligations incurred, unexpired accounts .....                  | 220         | 248     | 257       |
| 3020 Outlays (gross) .....   | -216        | -248    | -257      |
| 3050 Unpaid obligations, end of year .....                           | 27          | 27      | 27        |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -4          | -38     | -38       |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | -34         |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -38         | -38     | -38       |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 19          | -11     | -11       |
| 3200 Obligated balance, end of year .....                            | -11         | -11     | -11       |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                   | 254         | 240     | 255       |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                      | 194         | 221     | 230       |
| 4101 Outlays from mandatory balances .....                           | 22          | 27      | 27        |
| 4110 Outlays, gross (total) .....                                    | 216         | 248     | 257       |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4120 Federal sources .....   | -130        | -146    | -151      |
| 4121 Interest on Federal securities .....                            |             | -1      | -1        |
| 4123 Non-Federal sources .....                                       | -1          |         |           |
| 4124 Offsetting governmental collections .....                       | -89         | -93     | -103      |
| 4130 Offsets against gross budget authority and outlays (total) .... | -220        | -240    | -255      |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | -34         |         |           |
| 4170 Outlays, net (mandatory) .....                                  | -4          | 8       | 2         |
| 4190 Outlays, net (total) .....                                      | -4          | 8       | 2         |

OPERATING FUND—Continued  
Program and Financing—Continued

| Identification code 25–4056–0–3–373                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 52          | 56      | 48        |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 56          | 48      | 46        |

The mission of the National Credit Union Administration (NCUA) is to facilitate the availability of credit union services to all eligible consumers, especially those of modest means, through an objective independent regulatory environment that protects credit union members. Credit unions are privately owned, cooperative associations organized for the purpose of promoting thrift among their members and creating a source of credit for provident and productive purposes.

The NCUA, through its operating fund, conducts activities prescribed by the Federal Credit Union Act of 1934, as amended, which include: 1) chartering new Federal credit unions, 2) determining field of membership of Federal credit unions, 3) promulgating rules and regulations, 4) performing regulatory and safety and soundness examinations and 5) conducting administrative activities of the National Credit Union Share Insurance Fund (Share Insurance Fund).

The NCUA funds its activities through assessments levied on all federally chartered credit unions and through reimbursements from the Share Insurance Fund for its share of administrative activities. In 2012, NCUA chartered three new Federal credit unions, bringing the total number of Federal credit unions to 4,113 with total assets of more than \$534 billion. The Inspector General requests a 2014 budget of \$3.7 million.

## Object Classification (in millions of dollars)

| Identification code 25–4056–0–3–373                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| <b>Personnel compensation:</b>                                  |             |         |           |
| 11.1 Full-time permanent .....                                  | 122         | 131     | 136       |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 123         | 132     | 137       |
| 12.1 Civilian personnel benefits .....                          | 41          | 48      | 50        |
| 21.0 Travel and transportation of persons .....                 | 26          | 28      | 28        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 5           | 5       | 5         |
| 25.2 Other services from non-Federal sources .....              | 24          | 34      | 36        |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 99.9 Total new obligations .....                                | 220         | 248     | 257       |

## Employment Summary

| Identification code 25–4056–0–3–373                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1,189       | 1,257   | 1,257     |

## CREDIT UNION SHARE INSURANCE FUND

## Program and Financing (in millions of dollars)

| Identification code 25–4468–0–3–373                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0801 Payments to the operating fund for services and facilities ..... | 130         | 145     | 147       |
| 0802 Other Administrative .....                                       | 40          | 3       | 3         |
| 0803 Working Capital .....  | 135         | 51      | 49        |
| 0804 Liquidation Expenses .....                                       | 386         | 256     | 247       |
| 0900 Total new obligations .....                                      | 691         | 455     | 446       |

## Budgetary Resources:

|   |        |        |        |
|---|--------|--------|--------|
| <b>Unobligated balance:</b>                           |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 10,786 | 10,342 | 10,644 |

|  |        |        |        |
|--|--------|--------|--------|
| 1010 Unobligated balance transfer to other accts [25–4477] .....   | –279   |        |        |
| 1050 Unobligated balance (total) .....                             | 10,507 | 10,342 | 10,644 |
| <b>Budget authority:</b>   |        |        |        |
| <b>Spending authority from offsetting collections, mandatory:</b>  |        |        |        |
| 1800 Collected .....   | 516    | 757    | 665    |
| 1801 Change in uncollected payments, Federal sources .....         | 10     |        |        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 526    | 757    | 665    |
| 1930 Total budgetary resources available .....                     | 11,033 | 11,099 | 11,309 |
| <b>Memorandum (non-add) entries:</b>                               |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....              | 10,342 | 10,644 | 10,863 |

## Change in obligated balance:

|   |      |      |      |
|---|------|------|------|
| <b>Unpaid obligations:</b>  |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 11   | 8    | 8    |
| 3010 Obligations incurred, unexpired accounts .....               | 691  | 455  | 446  |
| 3020 Outlays (gross) .....  | –694 | –455 | –446 |
| 3050 Unpaid obligations, end of year .....                        | 8    | 8    | 8    |
| <b>Uncollected payments:</b>                                      |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –71  | –81  | –81  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –10  |      |      |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –81  | –81  | –81  |
| <b>Memorandum (non-add) entries:</b>                              |      |      |      |
| 3100 Obligated balance, start of year .....                       | –60  | –73  | –73  |
| 3200 Obligated balance, end of year .....                         | –73  | –73  | –73  |

## Budget authority and outlays, net:

|  |      |      |      |
|--|------|------|------|
| <b>Mandatory:</b>  |      |      |      |
| 4090 Budget authority, gross .....                                   | 526  | 757  | 665  |
| <b>Outlays, gross:</b>   |      |      |      |
| 4100 Outlays from new mandatory authority .....                      | 526  | 447  | 446  |
| 4101 Outlays from mandatory balances .....                           | 168  | 8    |      |
| 4110 Outlays, gross (total) .....                                    | 694  | 455  | 446  |
| <b>Offsets against gross budget authority and outlays:</b>           |      |      |      |
| <b>Offsetting collections (collected) from:</b>                      |      |      |      |
| 4120 Federal sources .....   | –11  |      |      |
| 4121 Interest on Federal securities .....                            | –223 | –193 | –198 |
| 4123 Non-Federal sources .....                                       | –164 | –450 | –416 |
| 4123 Non-Federal sources .....                                       | –118 | –114 | –51  |
| 4130 Offsets against gross budget authority and outlays (total) .... | –516 | –757 | –665 |
| <b>Additional offsets against gross budget authority only:</b>       |      |      |      |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | –10  |      |      |
| 4170 Outlays, net (mandatory) .....                                  | 178  | –302 | –219 |
| 4190 Outlays, net (total) .....                                      | 178  | –302 | –219 |

## Memorandum (non-add) entries:

|  |        |        |        |
|--|--------|--------|--------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 10,733 | 10,297 | 10,599 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 10,297 | 10,599 | 10,818 |

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 25–4468–0–3–373   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Position with respect to appropriations act limitation on commitments:</b> |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....                 | 60          | 10      | 10        |
| 2150 Total guaranteed loan commitments .....                                  | 60          | 10      | 10        |
| 2199 Guaranteed amount of guaranteed loan commitments .....                   | 60          | 10      | 10        |
| <b>Cumulative balance of guaranteed loans outstanding:</b>                    |             |         |           |
| 2210 Outstanding, start of year .....   | 5           | 60      | 10        |
| 2231 Disbursements of new guaranteed loans .....                              | 60          | 10      | 10        |
| 2251 Repayments and prepayments .....   | –5          | –60     | –10       |
| 2290 Outstanding, end of year .....   | 60          | 10      | 10        |
| <b>Memorandum:</b>  |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year .....     | 60          | 10      | 10        |

The primary purpose of the National Credit Union Share Insurance Fund is to provide insurance for deposits of member accounts (also known as insured member shares) in Federal credit unions and state-chartered credit unions that apply and qualify for insurance as authorized by Public Law 91–468. As of September 30, 2012, 6,888 natural person credit unions were insured by the Share Insurance Fund with insured member shares of \$832 billion, an increase of \$46 billion, or six percent, from 2011.

Following a cost allocation method to distribute costs of the National Credit Union Administration (NCUA) between its insurance and regulatory functions, the Share Insurance Fund reimburses the NCUA operating fund for its share of administrative costs. In 2012, the Share Insurance Fund paid reimbursements of \$130 million to the operating fund.

In response to financial distress, the Helping Families Save Their Homes Act of 2009 (P.L. 111–22) provided relief to credit union member institutions by: 1) a segregating losses of corporate credit unions into the Temporary Corporate Credit Union Stabilization Fund (Stabilization Fund) and providing a mechanism for assessing losses related to the corporate credit unions to member institutions over an extended period of time, 2) allowing a restoration plan to spread insurance premium assessments over a period of up to eight years if the equity ratio falls below 1.2 percent, 3) increasing the Share Insurance Funds borrowing authority to \$6 billion, and d) increasing the deposit insurance coverage to \$250,000 (made permanent by the Dodd Frank Wall Street Reform and Consumer Protection Act, P.L. 111–203).

Each insured credit union is required to deposit and maintain one percent of its insured member share accounts in the Share Insurance Fund. If the Share Insurance Fund equity ratio falls below 1.2 percent, the Board shall establish and implement a restoration plan that will restore the equity ratio in a period of not more than eight years. The equity ratio is calculated as the ratio of the contributed one percent deposit plus cumulative results of operations, excluding net cumulative unrealized gains and losses on investments, to the aggregate amount of the insured shares in all insured credit unions. The primary means for increasing the equity ratio is through insurance premiums to member credit unions. If the equity ratio increases above the normal operating level, which the Board has set at 1.3 percent, a distribution is paid. The FY 2012 actual includes a \$279 million distribution transfer to the Stabilization Fund. The FY 2013 budget does not reflect a distribution to the Stabilization Fund. Under 12 U.S.C. 1790e(c), distributions are paid to the Stabilization Fund when this fund has a loan from the U.S. Treasury.

#### Object Classification (in millions of dollars)

| Identification code 25–4468–0–3–373                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 170         | 148     | 150       |
| 42.0 Insurance claims and indemnities .....        | 386         | 256     | 247       |
| 42.0 Insurance claims and indemnities .....        | 135         | 51      | 49        |
| 99.9 Total new obligations .....                   | 691         | 455     | 446       |

#### TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND

##### Program and Financing (in millions of dollars)

| Identification code 25–4477–0–3–373                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Guarantee Payments .....                                      | 76          | 3,532   | 38        |
| 0002 Interest on borrowings .....                                  | 6           | 8       | 10        |
| 0003 Administrative .....  | 7           | 18      | 6         |
| 0900 Total new obligations .....                                   | 89          | 3,558   | 54        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 6,046       | 3,282   | 1,325     |
| 1011 Unobligated balance transfer from other accts [25–4468] ..... | 279         |         |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | –3,500      |         |           |
| 1050 Unobligated balance (total) .....                             | 2,825       | 3,282   | 1,325     |

|   |       |       |       |
|---|-------|-------|-------|
| Budget authority:   |       |       |       |
| Borrowing authority, mandatory:   |       |       |       |
| 1400 Borrowing authority .....  | 300   |       |       |
| 1440 Borrowing authority, mandatory (total) .....                               | 300   |       |       |
| Spending authority from offsetting collections, mandatory:                      |       |       |       |
| 1800 Collected .....  | 544   | 1,603 | 874   |
| 1801 Change in uncollected payments, Federal sources .....                      | 2     | –2    |       |
| 1825 Spending authority from offsetting collections applied to repay debt ..... | –300  |       | –700  |
| 1850 Spending auth from offsetting collections, mand (total) .....              | 246   | 1,601 | 174   |
| 1900 Budget authority (total) .....   | 546   | 1,601 | 174   |
| 1930 Total budgetary resources available .....                                  | 3,371 | 4,883 | 1,499 |
| Memorandum (non-add) entries:   |       |       |       |
| 1941 Unexpired unobligated balance, end of year .....                           | 3,282 | 1,325 | 1,445 |

#### Change in obligated balance:

|   |        |        |     |
|---|--------|--------|-----|
| Unpaid obligations:   |        |        |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 2,152  | 5      | 5   |
| 3010 Obligations incurred, unexpired accounts .....               | 89     | 3,558  | 54  |
| 3020 Outlays (gross) .....  | –2,236 | –3,558 | –54 |
| 3050 Unpaid obligations, end of year .....                        | 5      | 5      | 5   |
| Uncollected payments:   |        |        |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... |        | –2     |     |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | –2     | 2      |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | –2     |        |     |
| Memorandum (non-add) entries:                                     |        |        |     |
| 3100 Obligated balance, start of year .....                       | 2,152  | 3      | 5   |
| 3200 Obligated balance, end of year .....                         | 3      | 5      | 5   |

#### Budget authority and outlays, net:

|  |       |        |      |
|--|-------|--------|------|
| Mandatory:   |       |        |      |
| 4090 Budget authority, gross .....                                   | 546   | 1,601  | 174  |
| Outlays, gross:  |       |        |      |
| 4100 Outlays from new mandatory authority .....                      | 84    | 793    | 54   |
| 4101 Outlays from mandatory balances .....                           | 2,152 | 2,765  |      |
| 4110 Outlays, gross (total) .....                                    | 2,236 | 3,558  | 54   |
| Offsets against gross budget authority and outlays:                  |       |        |      |
| Offsetting collections (collected) from:                             |       |        |      |
| 4121 Interest on Federal securities .....                            |       | –2     |      |
| 4123 Non-Federal sources .....                                       | –94   | –809   | –124 |
| 4123 Non-Federal sources .....                                       | –80   |        |      |
| 4123 Non-Federal sources .....                                       | –6    |        |      |
| 4124 Offsetting governmental collections .....                       | –364  | –792   | –750 |
| 4130 Offsets against gross budget authority and outlays (total) .... | –544  | –1,603 | –874 |
| Additional offsets against gross budget authority only:              |       |        |      |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....       | –2    | 2      |      |
| 4160 Budget authority, net (mandatory) .....                         |       |        | –700 |
| 4170 Outlays, net (mandatory) .....                                  | 1,692 | 1,955  | –820 |
| 4180 Budget authority, net (total) .....                             |       |        | –700 |
| 4190 Outlays, net (total) .....                                      | 1,692 | 1,955  | –820 |

#### Memorandum (non-add) entries:

|  |       |     |     |
|--|-------|-----|-----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 2,186 | 484 | 427 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 484   | 427 | 547 |

#### Status of Direct Loans (in millions of dollars)

| Identification code 25–4477–0–3–373                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding:     |             |         |           |
| 1210 Outstanding, start of year .....               | 3,500       | 3,200   | 5,100     |
| 1231 Disbursements: Direct loan disbursements ..... |             | 1,900   |           |
| 1251 Repayments: Repayments and prepayments .....   | –300        |         | –700      |
| 1290 Outstanding, end of year .....                 | 3,200       | 5,100   | 4,400     |

#### Status of Guaranteed Loans (in millions of dollars)

| Identification code 25–4477–0–3–373                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     | 35,500      | 28,150  | 24,650    |
| 2251 Repayments and prepayments .....                                     | –7,350      | –3,500  | –3,650    |
| 2290 Outstanding, end of year .....                                       | 28,150      | 24,650  | 21,000    |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 28,150      | 24,650  | 21,000    |

## TEMPORARY CORPORATE CREDIT UNION STABILIZATION FUND—Continued

The Temporary Corporate Credit Union Stabilization Fund (Stabilization Fund) was created under the authority of the Helping Families Save Their Homes Act of 2009 (P.L. 111–22). The purposes of Stabilization Fund are to accrue the losses of the corporate credit union system and to provide for the assessment over time from federally insured credit unions for the recovery of such losses. The recovery of losses can be assessed to credit unions before funds are advanced through borrowings from the U.S. Treasury to pay such losses, as allowed under 12 U.S.C. 1790 (d). The Stabilization Fund has access to \$6 billion in borrowing authority, which is shared with the Share Insurance Fund under 12 U.S.C. 1783(d)(1). Total losses are projected to range from approximately \$6.0 to \$8.9 billion. Of these losses, federally insured credit unions have been assessed a total of \$4.1 billion. On June 18, 2009, the NCUA Board used its authority to legally obligate the Stabilization Fund for the costs of stabilizing the corporate credit union system. These actions included transferring the obligations of the Temporary Corporate Credit Union Liquidity Guarantee Program (Guarantee Program) to the Stabilization Fund, which were originally assigned to the Share Insurance Fund. The Guarantee Program was created in October 2008 to provide a guarantee on certain unsecured debt of participating corporate credit unions issued from October 16, 2008, through June 30, 2010, and maturing on or before June 30, 2017. The guarantee fee is priced to cover anticipated losses. This program ended in November 2012, when the last of the guaranteed notes matured. In September 2010, the NCUA Board approved the Corporate System Resolution Program, which set in motion actions to remove long-term threats to the corporate system. NCUA seized more than 98 percent of all impaired asset-backed securities and began an orderly disposition of conserved corporate credit unions. The plan resulted in securitizing cash flows from the impaired securities to raise liquidity, creating four (4) bridge corporate credit unions to effect the disposition of five (5) conserved corporate credit unions without interrupting service to customers and finalizing a new rule to ensure the remaining corporate credit unions operate with much stronger standards for safety and soundness. These actions, among other benefits, prevented disruption in service to consumer credit unions and their members. The budget reflects the implementation of the Corporate System Resolution Program, which results in system losses being paid for by federally insured credit unions over the life of the fund. Also in September 2010, NCUA extended the life of the Stabilization Fund, with the concurrence of the U.S. Treasury, through FY 2021. Accordingly, the Stabilization Fund is expected to sunset in FY 2021.

## Object Classification (in millions of dollars)

| Identification code 25–4477–0–3–373                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 7           | 18      | 6         |
| 42.0 Insurance claims and indemnities .....        | 76          | 3,532   | 38        |
| 43.0 Interest and dividends .....                  | 6           | 8       | 10        |
| 99.9 Total new obligations .....                   | 89          | 3,558   | 54        |

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 25–4470–0–3–373                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                               |             |         |           |
| 0801 Administration .....   | 1           | 1       | 1         |
| 0803 Dividends on capital stock .....                                 |             |         | 3         |
| 0804 Stock redemption .....   | 157         | 1,850   |           |
| 0809 Reimbursable program activities, subtotal .....                  | 158         | 1,851   | 4         |
| 0900 Total new obligations .....                                      | 158         | 1,851   | 4         |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                 | 2,097       | 1,941   | 191       |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:            |             |         |           |
| 1800 Offsetting collections (cash; CCU Guarantee Program) .....       | 4           |         |           |
| 1800 Offsetting collections (interest) .....                          |             | 1       | 4         |
| 1800 Collected (subscribed stock) .....                               |             | 100     | 9         |
| 1801 Change in uncollected payments, Federal sources .....            | –2          |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....    | 2           | 101     | 13        |
| 1900 Budget authority (total) .....                                   | 2           | 101     | 13        |
| 1930 Total budgetary resources available .....                        | 2,099       | 2,042   | 204       |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                 | 1,941       | 191     | 200       |
| <b>Change in obligated balance:</b>                                   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                 | 1           |         |           |
| 3010 Obligations incurred, unexpired accounts .....                   | 158         | 1,851   | 4         |
| 3020 Outlays (gross) .....  | –159        | –1,851  | –4        |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....     | –2          |         |           |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....        | 2           |         |           |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                           | –1          |         |           |
| <b>Budget authority and outlays, net:</b>                             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                                    | 2           | 101     | 13        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                       | 2           | 1       | 4         |
| 4101 Outlays from mandatory balances .....                            | 157         | 1,850   |           |
| 4110 Outlays, gross (total) .....                                     | 159         | 1,851   | 4         |
| Offsets against gross budget authority and outlays:                   |             |         |           |
| Offsetting collections (collected) from:                              |             |         |           |
| 4121 Interest on Federal securities .....                             | –4          | –1      | –4        |
| 4123 Non-Federal sources .....  |             | –100    | –9        |
| 4130 Offsets against gross budget authority and outlays (total) ..... | –4          | –101    | –13       |
| Additional offsets against gross budget authority only:               |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....        | 2           |         |           |
| 4170 Outlays, net (mandatory) .....                                   | 155         | 1,750   | –9        |
| 4190 Outlays, net (total) .....                                       | 155         | 1,750   | –9        |
| <b>Memorandum (non-add) entries:</b>                                  |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....      | 2,096       | 1,942   | 192       |
| 5001 Total investments, EOY: Federal securities: Par value .....      | 1,942       | 192     | 201       |

## CENTRAL LIQUIDITY FACILITY

During fiscal year 2014, gross obligations of the Central Liquidity Facility for the principal amount of new direct loans to member credit unions, as authorized by 12 U.S.C. 1795 et seq., shall be the amount authorized by section 307(a)(4)(A) of the Federal Credit Union Act (12 U.S.C. 1795f(a)(4)(A)): Provided, That administrative expenses of the Central Liquidity Facility in fiscal year 2014 shall not exceed \$1,250,000.

The purpose of the Central Liquidity Facility (CLF), established under Public Law 95–630, is to improve the general financial stability of credit unions by meeting their liquidity needs through short-term, seasonal and/or protracted adjustment credit. The two primary sources of funds for the Facility are stock subscriptions from credit unions and borrowings from the Federal Financing Bank. Through the recent economic crisis, CLF supported the credit union system with special liquidity programs designed to provide stability and confidence. The programs were instrumental in stabilizing liquidity in the corporate credit union system during the height of the financial crisis and gained NCUA important time to implement regulatory reforms and establish an orderly resolution of problems. On October 25, 2012, the conservator



for U.S. Central Bridge Federal Credit Union, the majority stockholder in the Facility, redeemed the credit unions stock in CLF in conjunction with its subsequent liquidation. The result of U.S. Central Bridges stock redemption was a reduction in CLFs total subscribed capital and surplus. This resulted in a reduction in CLFs total legal borrowing authority to an amount of approximately \$2.3 billion.

**Object Classification** (in millions of dollars)

| Identification code 25-4470-0-3-373                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 43.0 Interest and dividends .....                  |             |         | 3         |
| 44.0 Refunds .....                                 | 157         | 1,850   |           |
| 99.9 Total new obligations .....                   | 158         | 1,851   | 4         |

**Employment Summary**

| Identification code 25-4470-0-3-373                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           | 4       | 4         |

**CREDIT UNION SYSTEM INVESTMENT PROGRAM**

On December 9, 2008, the NCUA announced the Credit Union System Investment Program (SIP) to increase liquidity at corporate credit unions. Under SIP, NCUA's Central Liquidity Facility extended one-year credit advances to credit unions. Credit unions in turn invested those funds in corporate credit unions, providing a low cost source of liquidity for corporate credit unions that is guaranteed by the NCUA Temporary Corporate Credit Union Stabilization Fund. Under the program, \$8.2 billion was advanced. The program terminated in March 2010 when the last outstanding advances were repaid.

**CREDIT UNION HOMEOWNERS AFFORDABILITY RELIEF PROGRAM**

On December 9, 2008, the NCUA announced the Credit Union Homeowners Affordability Relief Program (HARP). Under HARP, NCUA's Central Liquidity Facility made one-year, secured credit advances to credit unions. Credit unions in turn invested those funds in a special corporate credit union note. These advances were renewable for a term of one-year. Credit unions that reduced mortgage rates for their members within program guidelines qualified for a bonus coupon payment from the corporate credit union. The NCUA Temporary Corporate Credit Union Stabilization Fund (TCCUSF) guarantees the special corporate credit union debt, including the bonus payment. Through September 30, 2010, \$164 million was advanced under this program. In December 2010, the remaining outstanding advance totaling \$96 million matured and the program terminated.

**COMMUNITY DEVELOPMENT REVOLVING LOAN FUND**

*For the Community Development Revolving Loan Fund program as authorized by 42 U.S.C. 9812, 9822 and 9910, \$1,127,650, shall be available until September 30, 2015, for technical assistance to low-income designated credit unions.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 25-4472-0-3-373                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Technical assistance .....                                    | 2           | 2       | 2         |
| 0801 Loans .....   |             | 3       | 5         |
| 0900 Total new obligations .....                                   | 2           | 5       | 7         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 12          | 13      | 9         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 1           | 1       | 1         |
| 1160 Appropriation, discretionary (total) .....                    | 1           | 1       | 1         |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 2           |         | 1         |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 2           |         | 1         |
| 1900 Budget authority (total) .....                                | 3           | 1       | 2         |
| 1930 Total budgetary resources available .....                     | 15          | 14      | 11        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 13          | 9       | 4         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 2           | 3       | 3         |
| 3010 Obligations incurred, unexpired accounts .....                | 2           | 5       | 7         |
| 3020 Outlays (gross) .....   | -1          | -5      | -7        |
| 3050 Unpaid obligations, end of year .....                         | 3           | 3       | 3         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 2           | 3       | 3         |
| 3200 Obligated balance, end of year .....                          | 3           | 3       | 3         |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 1           | 1       | 1         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                |             | 1       | 1         |
| 4011 Outlays from discretionary balances .....                     | 1           |         |           |
| 4020 Outlays, gross (total) .....                                  | 1           | 1       | 1         |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 2           |         | 1         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    |             |         | 1         |
| 4101 Outlays from mandatory balances .....                         |             | 4       | 5         |
| 4110 Outlays, gross (total) .....                                  |             | 4       | 6         |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -2          |         | -1        |
| 4180 Budget authority, net (total) .....                           | 1           | 1       | 1         |
| 4190 Outlays, net (total) .....                                    | -1          | 5       | 6         |
| <b>Memorandum (non-add) entries:</b>                               |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....   | 8           | 13      | 9         |
| 5001 Total investments, EOY: Federal securities: Par value .....   | 13          | 9       | 4         |

**Status of Direct Loans** (in millions of dollars)

| Identification code 25-4472-0-3-373                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 1           | 1       | 1         |
| 1150 Total direct loan obligations .....                               | 1           | 1       | 1         |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  | 4           | 1       | 4         |
| 1231 Disbursements: Direct loan disbursements .....                    |             | 3       | 5         |
| 1251 Repayments: Repayments and prepayments .....                      | -3          |         | -1        |
| 1290 Outstanding, end of year .....                                    | 1           | 4       | 8         |

The Community Development Revolving Loan Fund (CDRLF) was established by Congress under Section 130(e) of the Federal Credit Union Act with a \$6 million appropriation to enable low-income credit unions to: (1) provide financial services to their communities; (2) stimulate economic activities in their communities, resulting in increased income and employment; and (3) oper-

## COMMUNITY DEVELOPMENT REVOLVING LOAN FUND—Continued

ate more efficiently. CDRLF, comprised of a revolving loan program and a technical assistance program, provides funding to low-income credit unions. Since the initial loan program appropriation in 1979, Congress has appropriated an additional \$13.4 million for the revolving loan program and approximately \$9.1 million for the technical assistance program. Credit unions use the loan and technical assistance funds to increase financial services to their communities, including providing financial counseling, new loan products, and enhanced electronic services. As of September 30, 2012, the CDRLF's revolving loan portfolio had \$1.3 million in outstanding loans (18 loans outstanding to 18 credit unions). In FY 2012, CDRLF made 130 technical assistance awards totaling \$1,492,872 from the multi-year appropriations received. As of September 30, 2012, total assets in CDRLF, including interest earned and appropriations, were \$17.3 million.

## Object Classification (in millions of dollars)

| Identification code 25–4472–0–3–373 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 41.0                                | Direct obligations: Grants, subsidies, and contributions ..... | 2           | 2       | 2         |
|                                     | Reimbursable obligations:                                      |             |         |           |
| 33.0                                | Investments and loans .....                                    |             | 3       | 5         |
| 99.0                                | Reimbursable obligations .....                                 |             | 3       | 5         |
| 99.9                                | Total new obligations .....                                    | 2           | 5       | 7         |

## NATIONAL ENDOWMENT FOR THE ARTS

## Federal Funds

## GRANTS AND ADMINISTRATION

*For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, as amended, \$154,466,000 shall be available to the National Endowment for the Arts for the support of projects and productions in the arts, including arts education and public outreach activities, through assistance to organizations and individuals pursuant to section 5 of the Act, for program support, and for administering the functions of the Act, to remain available until expended.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 59–0100–0–1–503                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Promotion of the arts .....                                   | 117         | 120     | 128       |
| 0003   | Program support .....   | 2           | 3       | 3         |
| 0004   | Salaries and expenses .....                                   | 27          | 30      | 28        |
| 0005   | Reimbursable Program .....                                    | 1           | 1       | 1         |
| 0900   | Total new obligations .....                                   | 147         | 154     | 160       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 9           | 10      | 5         |
| 1021   | Recoveries of prior year unpaid obligations .....             | 1           | 1       | 1         |
| 1050   | Unobligated balance (total) .....                             | 10          | 11      | 6         |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 146         | 147     | 154       |
| 1160   | Appropriation, discretionary (total) .....                    | 146         | 147     | 154       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 2           | 2       | 2         |
| 1701   | Change in uncollected payments, Federal sources .....         | –1          | –1      | –1        |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900   | Budget authority (total) .....                                | 147         | 148     | 155       |
| 1930   | Total budgetary resources available .....                     | 157         | 159     | 161       |

|   |  |      |      |       |
|---|--|------|------|-------|
| Memorandum (non-add) entries:                           |  |      |      |       |
| 1941  | Unexpired unobligated balance, end of year .....             | 10   | 5    | 1     |
| <b>Change in obligated balance:</b>                     |  |      |      |       |
| Unpaid obligations:                                     |  |      |      |       |
| 3000  | Unpaid obligations, brought forward, Oct 1 .....             | 149  | 138  | 143   |
| 3010  | Obligations incurred, unexpired accounts .....               | 147  | 154  | 160   |
| 3020  | Outlays (gross) .....  | –157 | –148 | –155  |
| 3040  | Recoveries of prior year unpaid obligations, unexpired ..... | –1   | –1   | –1    |
| 3050  | Unpaid obligations, end of year .....                        | 138  | 143  | 147   |
| Uncollected payments:                                   |  |      |      |       |
| 3060  | Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | –3   | –2   | –1    |
| 3070  | Change in uncollected pymts, Fed sources, unexpired .....    | 1    | 1    | 1     |
| 3090  | Uncollected pymts, Fed sources, end of year .....            | –2   | –1   | ..... |
| Memorandum (non-add) entries:                           |  |      |      |       |
| 3100  | Obligated balance, start of year .....                       | 146  | 136  | 142   |
| 3200  | Obligated balance, end of year .....                         | 136  | 142  | 147   |
| <b>Budget authority and outlays, net:</b>               |  |      |      |       |
| Discretionary:  |  |      |      |       |
| 4000  | Budget authority, gross .....                                | 147  | 148  | 155   |
| Outlays, gross:   |  |      |      |       |
| 4010  | Outlays from new discretionary authority .....               | 48   | 46   | 48    |
| 4011  | Outlays from discretionary balances .....                    | 109  | 102  | 107   |
| 4020  | Outlays, gross (total) .....                                 | 157  | 148  | 155   |
| Offsets against gross budget authority and outlays:     |  |      |      |       |
| Offsetting collections (collected) from:                |  |      |      |       |
| 4030  | Federal sources .....  | –2   | –2   | –2    |
| Additional offsets against gross budget authority only: |  |      |      |       |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....    | 1    | 1    | 1     |
| 4070  | Budget authority, net (discretionary) .....                  | 146  | 147  | 154   |
| 4080  | Outlays, net (discretionary) .....                           | 155  | 146  | 153   |
| 4180  | Budget authority, net (total) .....                          | 146  | 147  | 154   |
| 4190  | Outlays, net (total) .....                                   | 155  | 146  | 153   |

The mission of the National Endowment for the Arts is to advance artistic excellence, creativity, and innovation for the benefit of individuals and communities. The Arts Endowment achieves its mission primarily through grant programs, special initiatives and honorific awards. The Arts Endowment supports these projects with public and private partners, including the State arts agencies and regional arts organizations. In 2014, the Arts Endowment will continue to implement *Our Town*, a uniquely arts-based program to strengthen communities of all sizes, and the *NEA/Walter Reed Healing Arts Partnership*, an arts partnership with the Department of Defense bringing creative arts therapy programs to patients at Walter Reed National Military Medical Center.

The National Foundation on the Arts and the Humanities Act of 1965, as amended, also authorizes the Arts Endowment to receive money and other donated property; such gifts may be used, sold, or otherwise disposed of to support arts projects and activities. This presentation also includes the Arts and Artifacts Indemnity Fund, which the Arts Endowment administers on behalf of the Federal Council on the Arts and the Humanities.

## Object Classification (in millions of dollars)

| Identification code 59–0100–0–1–503 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                           | 14          | 14      | 14        |
| 11.3                                | Other than full-time permanent .....                | 2           | 2       | 2         |
| 11.9                                | Total personnel compensation .....                  | 16          | 16      | 16        |
| 12.1                                | Civilian personnel benefits .....                   | 5           | 5       | 5         |
| 23.1                                | Rental payments to GSA .....                        | 3           | 3       | 3         |
| 25.1                                | Advisory and assistance services .....              | 2           | 2       | 2         |
| 25.2                                | Other services from non-Federal sources .....       | 2           | 3       | 2         |
| 25.3                                | Other goods and services from Federal sources ..... | 1           | 3       | 1         |
| 25.8                                | Subsistence and support of persons .....            | 1           | 1       | 1         |
| 41.0                                | Grants, subsidies, and contributions .....          | 115         | 119     | 127       |
| 99.0                                | Direct obligations .....                            | 145         | 152     | 157       |
| 99.0                                | Reimbursable obligations .....                      | 1           | 1       | 1         |
| 99.5                                | Below reporting threshold .....                     | 1           | 1       | 2         |

|      |                             |     |     |     |
|------|-----------------------------|-----|-----|-----|
| 99.9 | Total new obligations ..... | 147 | 154 | 160 |
|------|-----------------------------|-----|-----|-----|

**Employment Summary**

| Identification code 59-0100-0-1-503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 158         | 164     | 162       |

**Trust Funds****GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE ARTS****Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 59-8040-0-7-503                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               |             |         |           |
| Receipts:   |             |         |           |
| 0220 Gifts and Donations, National Endowment for the Arts ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....                      | 1           | 1       | 1         |
| Appropriations:   |             |         |           |
| 0500 Gifts and Donations, National Endowment for the Arts ..... | -1          | -1      | -1        |
| 0799 Balance, end of year .....                                 |             |         |           |

**Program and Financing** (in millions of dollars)

| Identification code 59-8040-0-7-503                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0102 Permanent authority .....                                   |             | 1       | 1         |
| 0900 Total new obligations (object class 99.5) .....             |             | 1       | 1         |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 2           | 3       | 3         |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....                     | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....                   | 3           | 4       | 4         |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 3           | 3       | 3         |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              |             | 1       | 1         |
| 3020 Outlays (gross) .....                                       |             | -1      | -1        |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 1           | 1       | 1         |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  |             | 1       | 1         |
| 4180 Budget authority, net (total) .....                         | 1           | 1       | 1         |
| 4190 Outlays, net (total) .....                                  |             | 1       | 1         |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1           |         | 1         |
| 5001 Total investments, EOY: Federal securities: Par value ..... |             | 1       | 1         |

only in such amounts as may be equal to the total amounts of gifts, bequests, and devises of money, and other property accepted by the chairman or by grantees of the Endowment under the provisions of subsections 11(a)(2)(B) and 11(a)(3)(B) during the current and preceding fiscal years for which equal amounts have not previously been appropriated.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 59-0200-0-1-503                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                           |             |         |           |
| 0001 Promotion of the humanities .....                            | 120         | 123     | 129       |
| 0004 Administration .....   | 28          | 30      | 27        |
| 0900 Total new obligations .....                                  | 148         | 153     | 156       |
| <b>Budgetary Resources:</b>                                       |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....             | 4           | 4       |           |
| 1021 Recoveries of prior year unpaid obligations .....            | 2           | 2       | 2         |
| 1050 Unobligated balance (total) .....                            | 6           | 6       | 2         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                    |             |         |           |
| 1100 Appropriation .....  | 146         | 147     | 154       |
| 1160 Appropriation, discretionary (total) .....                   | 146         | 147     | 154       |
| 1900 Budget authority (total) .....                               | 146         | 147     | 154       |
| 1930 Total budgetary resources available .....                    | 152         | 153     | 156       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....             | 4           |         |           |
| <b>Change in obligated balance:</b>                               |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 151         | 146     | 142       |
| 3010 Obligations incurred, unexpired accounts .....               | 148         | 153     | 156       |
| 3020 Outlays (gross) .....  | -151        | -155    | -153      |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -2          | -2      | -2        |
| 3050 Unpaid obligations, end of year .....                        | 146         | 142     | 143       |
| Memorandum (non-add) entries:                                     |             |         |           |
| 3100 Obligated balance, start of year .....                       | 151         | 146     | 142       |
| 3200 Obligated balance, end of year .....                         | 146         | 142     | 143       |
| <b>Budget authority and outlays, net:</b>                         |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                                | 146         | 147     | 154       |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....               | 62          | 74      | 77        |
| 4011 Outlays from discretionary balances .....                    | 89          | 81      | 76        |
| 4020 Outlays, gross (total) .....                                 | 151         | 155     | 153       |
| Offsets against gross budget authority and outlays:               |             |         |           |
| Offsetting collections (collected) from:                          |             |         |           |
| 4180 Budget authority, net (total) .....                          | 146         | 147     | 154       |
| 4190 Outlays, net (total) .....                                   | 151         | 155     | 153       |

The National Endowment for the Humanities (NEH) supports education, scholarship, and research and development in the humanities; preserves America's cultural and intellectual resources; and provides opportunities for all Americans to engage in learning in the humanities. In 2014, NEH will continue to support partnerships with state humanities councils; the strengthening of humanities teaching and learning in the nation's schools and institutions of higher education; basic research and original scholarship in the humanities; innovative use of digital information technology; efforts to preserve and increase access to books, U.S. newspapers, documents, and other reference materials; and museum exhibitions, documentary films, radio programming, and reading programs that reach millions of Americans. In 2014, NEH will also support a special initiative, "Bridging Cultures," that will enhance understanding of America's rich cultural heritage, as well as the cultural complexity of the world in which we live.

**NATIONAL ENDOWMENT FOR THE HUMANITIES****Federal Funds****GRANTS AND ADMINISTRATION**

For necessary expenses to carry out the National Foundation on the Arts and the Humanities Act of 1965, \$154,465,000, to remain available until expended, of which \$143,215,000 shall be available for support of activities in the humanities, pursuant to section 7(c) of the Act and for administering the functions of the Act; and \$11,250,000 shall be available to carry out the matching grants program pursuant to section 10(a)(2) of the Act including \$8,850,000 for the purposes of section 7(h): Provided, That appropriations for carrying out section 10(a)(2) shall be available for obligation

## GRANTS AND ADMINISTRATION—Continued

Support is provided through outright grants, matching grants, and a combination of the two. Eligible applicants include state humanities councils, educational institutions, libraries, archives, museums, historical organizations, and other scholarly and cultural institutions and organizations. Support is also provided to individuals for advanced research and scholarship in the humanities.

This presentation also includes the Gifts and Donations account. The National Foundation on the Arts and the Humanities Act of 1965, as amended, authorizes the Humanities Endowment to receive money and other donated property. Such gifts may be used, sold, or otherwise disposed of to support humanities projects and activities. Budget authority in this schedule reflects cash received each year by the Endowment.

## Object Classification (in millions of dollars)

| Identification code 59–0200–0–1–503                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 16          | 15      | 15        |
| 12.1 Civilian personnel benefits .....                 | 4           | 4       | 4         |
| 23.1 Rental payments to GSA .....                      | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....     | 5           | 8       | 5         |
| 41.0 Grants, subsidies, and contributions .....        | 120         | 123     | 129       |
| 99.9 Total new obligations .....                       | 148         | 153     | 156       |

## Employment Summary

| Identification code 59–0200–0–1–503                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 158         | 154     | 154       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 3           | 3       | .....     |

## Trust Funds

## GIFTS AND DONATIONS, NATIONAL ENDOWMENT FOR THE HUMANITIES

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 59–8050–0–7–503                                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                                     |             |         |           |
| Receipts:   |             |         |           |
| 0220 Gifts and Donations, National Endowment for the Humanities ..... | 1           | 1       | 1         |
| 0400 Total: Balances and collections .....                            | 1           | 1       | 1         |
| Appropriations:   |             |         |           |
| 0500 Gifts and Donations, National Endowment for the Humanities ..... | –1          | –1      | –1        |
| 0799 Balance, end of year .....                                       |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 59–8050–0–7–503                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Promotion of the humanities .....                | 2           | 1       | 1         |
| 0900 Total new obligations (object class 41.0) .....  | 2           | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | .....   | .....     |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 1           | 1       | 1         |
| 1260 Appropriations, mandatory (total) .....          | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 2           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             | 1       | 1         |

|   |    |    |    |
|---|----|----|----|
| 3010 Obligations incurred, unexpired accounts ..... | 2  | 1  | 1  |
| 3020 Outlays (gross) .....                          | –1 | –1 | –1 |
| 3050 Unpaid obligations, end of year .....          | 1  | 1  | 1  |
| Memorandum (non-add) entries:                       |    |    |    |
| 3100 Obligated balance, start of year .....         |    | 1  | 1  |
| 3200 Obligated balance, end of year .....           | 1  | 1  | 1  |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 1 | 1 | 1 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... | 1 | 1 | 1 |
| 4180 Budget authority, net (total) .....        | 1 | 1 | 1 |
| 4190 Outlays, net (total) .....                 | 1 | 1 | 1 |

## ADMINISTRATIVE PROVISIONS

*None of the funds appropriated to the National Foundation on the Arts and the Humanities may be used to process any grant or contract documents which do not include the text of 18 U.S.C. 1913: Provided, That none of the funds appropriated to the National Foundation on the Arts and the Humanities may be used for official reception and representation expenses: Provided further, That funds from nonappropriated sources may be used as necessary for official reception and representation expenses: Provided further, That the Chairperson of the National Endowment for the Arts may approve grants of up to \$10,000, if in the aggregate this amount does not exceed 5 percent of the sums appropriated for grantmaking purposes per year: Provided further, That such small grant actions are taken pursuant to the terms of an expressed and direct delegation of authority from the National Council on the Arts to the Chairperson.*

## NATIONAL INFRASTRUCTURE BANK

## Federal Funds

## NATIONAL INFRASTRUCTURE BANK PROGRAM ACCOUNT

(Legislative proposal, subject to PAYGO)

## Program and Financing (in millions of dollars)

| Identification code 95–3740–4–1–452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| Credit program obligations:                           |             |         |           |
| 0701 Direct loan subsidy .....                        |             |         | 58        |
| 0702 Loan guarantee subsidy .....                     |             |         | 18        |
| 0709 Administrative expenses .....                    |             |         | 14        |
| 0900 Total new obligations .....                      |             |         | 90        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              |             |         | 10,000    |
| 1260 Appropriations, mandatory (total) .....          |             |         | 10,000    |
| 1930 Total budgetary resources available .....        |             |         | 10,000    |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... |             |         | 9,910     |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   |             |         | 90        |
| 3020 Outlays (gross) .....                            |             |         | –22       |
| 3050 Unpaid obligations, end of year .....            |             |         | 68        |
| Memorandum (non-add) entries:                         |             |         |           |
| 3200 Obligated balance, end of year .....             |             |         | 68        |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    |             |         | 10,000    |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             |         | 22        |
| 4180 Budget authority, net (total) .....              |             |         | 10,000    |
| 4190 Outlays, net (total) .....                       |             |         | 22        |

**Summary of Loan Levels, Subsidy Budget Authority and Outlays by Program** (in millions of dollars)

| Identification code 95–3740–4–1–452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct loan levels supportable by subsidy budget authority:        |             |         |           |
| 115001 Infrastructure Direct Loans (Legislative Proposal) .....    |             |         | 500       |
| 115999 Total direct loan levels .....                              |             |         | 500       |
| Direct loan subsidy (in percent):                                  |             |         |           |
| 132001 Infrastructure Direct Loans (Legislative Proposal) .....    |             |         | 11.57     |
| 132999 Weighted average subsidy rate .....                         |             |         | 11.57     |
| Direct loan subsidy budget authority:                              |             |         |           |
| 133001 Infrastructure Direct Loans (Legislative Proposal) .....    |             |         | 58        |
| 133999 Total subsidy budget authority .....                        |             |         | 58        |
| Direct loan subsidy outlays:                                       |             |         |           |
| 134001 Infrastructure Direct Loans (Legislative Proposal) .....    |             |         | 6         |
| 134999 Total subsidy outlays .....                                 |             |         | 6         |
| Guaranteed loan levels supportable by subsidy budget authority:    |             |         |           |
| 215001 Infrastructure Loan Guarantees (Legislative Proposal) ..... |             |         | 200       |
| 215999 Total loan guarantee levels .....                           |             |         | 200       |
| Guaranteed loan subsidy (in percent):                              |             |         |           |
| 232001 Infrastructure Loan Guarantees (Legislative Proposal) ..... |             |         | 8.85      |
| 232999 Weighted average subsidy rate .....                         |             |         | 8.85      |
| Guaranteed loan subsidy budget authority:                          |             |         |           |
| 233001 Infrastructure Loan Guarantees (Legislative Proposal) ..... |             |         | 18        |
| 233999 Total subsidy budget authority .....                        |             |         | 18        |
| Guaranteed loan subsidy outlays:                                   |             |         |           |
| 234001 Infrastructure Loan Guarantees (Legislative Proposal) ..... |             |         | 2         |
| 234999 Total subsidy outlays .....                                 |             |         | 2         |
| Guaranteed loan downward reestimates:                              |             |         |           |
| Administrative expense data:                                       |             |         |           |
| 3510 Budget authority .....  |             |         | 14        |
| 3590 Outlays from new authority .....                              |             |         | 14        |

To direct Federal resources for infrastructure to projects that demonstrate the most merit and may be difficult to fund under the current patchwork of Federal programs, the President has called for the creation of an independent, non-partisan National Infrastructure Bank (NIB), led by infrastructure and financial experts. The NIB would offer broad eligibility and unbiased selection for transportation, water, and energy infrastructure projects. Projects would have a clear public benefit, meet rigorous economic, technical and environmental standards, and be backed by a dedicated revenue stream. Geographic, sector, and size considerations would also be taken into account. Interest rates on loans issued by the NIB would be indexed to United States Treasury rates, and the maturity could be extended up to 35 years, giving the NIB the ability to be a patient partner side-by-side with State, local, and private co-investors. To maximize leverage from Federal investments, the NIB would finance no more than 50 percent of the total costs of any project.

**Object Classification** (in millions of dollars)

| Identification code 95–3740–4–1–452                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             |         | 10        |
| 25.1 Advisory and assistance services .....            |             |         | 2         |
| 25.2 Other services from non-Federal sources .....     |             |         | 2         |
| 33.0 Investments and loans .....                       |             |         | 76        |
| 99.9 Total new obligations .....                       |             |         | 90        |

**Employment Summary**

| Identification code 95–3740–4–1–452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             |         | 50        |

**NATIONAL INFRASTRUCTURE BANK DIRECT LOAN FINANCING ACCOUNT**  
(Legislative proposal, subject to PAYGO)**Program and Financing** (in millions of dollars)

| Identification code 95–4427–4–3–452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| Credit program obligations:  |             |         |           |
| 0710 Direct loan obligations .....                                 |             |         | 500       |
| 0900 Total new obligations .....                                   |             |         | 500       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Financing authority:   |             |         |           |
| Borrowing authority, mandatory:                                    |             |         |           |
| 1400 Borrowing authority .....                                     |             |         | 49        |
| 1440 Borrowing authority, mandatory (total) .....                  |             |         | 49        |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   |             |         | 6         |
| 1850 Spending auth from offsetting collections, mand (total) ..... |             |         | 6         |
| 1900 Financing authority (total) .....                             |             |         | 55        |
| 1930 Total budgetary resources available .....                     |             |         | 55        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             |         | –445      |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                |             |         | 500       |
| 3020 Financing disbursements (gross) .....                         |             |         | –56       |
| 3050 Unpaid obligations, end of year .....                         |             |         | 444       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3200 Obligated balance, end of year .....                          |             |         | 444       |
| <b>Financing authority and disbursements, net:</b>                 |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Financing authority, gross .....                              |             |         | 55        |
| Financing disbursements:   |             |         |           |
| 4110 Financing disbursements, gross .....                          |             |         | 56        |
| Offsets against gross financing authority and disbursements:       |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4120 Federal sources .....   |             |         | –6        |
| 4180 Financing authority, net (total) .....                        |             |         | 49        |
| 4190 Financing disbursements, net (total) .....                    |             |         | 50        |

**Status of Direct Loans** (in millions of dollars)

| Identification code 95–4427–4–3–452                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              |             |         | 500       |
| 1150 Total direct loan obligations .....                               |             |         | 500       |
| Cumulative balance of direct loans outstanding:                        |             |         |           |
| 1210 Outstanding, start of year .....                                  |             |         |           |
| 1231 Disbursements: Direct loan disbursements .....                    |             |         | 56        |
| 1290 Outstanding, end of year .....                                    |             |         | 56        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from direct loans made from the National Infrastructure Bank. The amounts in this account are a means of financing and are not included in the budget totals.

**NATIONAL INFRASTRUCTURE BANK LOAN GUARANTEE FINANCING ACCOUNT**  
(Legislative proposal, subject to PAYGO)**Program and Financing** (in millions of dollars)

| Identification code 95–4428–4–3–452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                |             |         |           |
| Financing authority:                                       |             |         |           |
| Spending authority from offsetting collections, mandatory: |             |         |           |
| 1800 Collected .....                                       |             |         | 2         |

**NATIONAL INFRASTRUCTURE BANK LOAN GUARANTEE FINANCING**  
**ACCOUNT—Continued**  
**Program and Financing—Continued**

| Identification code 95–4428–4–3–452                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1850 Spending auth from offsetting collections, mand (total) ..... |             |         | 2         |
| 1900 Financing authority (total) .....                             |             |         | 2         |
| 1930 Total budgetary resources available .....                     |             |         | 2         |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              |             |         | 2         |

**Financing authority and disbursements, net:**

|  |  |  |    |
|--|--|--|----|
| 4090 Mandatory:  |  |  |    |
| Financing authority, gross .....                             |  |  | 2  |
| Offsets against gross financing authority and disbursements: |  |  |    |
| Offsetting collections (collected) from:                     |  |  |    |
| 4120 Federal sources .....                                   |  |  | –2 |
| 4190 Financing disbursements, net (total) .....              |  |  | –2 |

**Status of Guaranteed Loans (in millions of dollars)**

| Identification code 95–4428–4–3–452                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments:    |             |         |           |
| 2131 Guaranteed loan commitments exempt from limitation .....             |             |         | 200       |
| 2150 Total guaranteed loan commitments .....                              |             |         | 200       |
| 2199 Guaranteed amount of guaranteed loan commitments .....               |             |         | 160       |
| Cumulative balance of guaranteed loans outstanding:                       |             |         |           |
| 2210 Outstanding, start of year .....                                     |             |         |           |
| 2231 Disbursements of new guaranteed loans .....                          |             |         | 22        |
| 2290 Outstanding, end of year .....                                       |             |         | 22        |
| Memorandum:   |             |         |           |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... |             |         | 18        |

As required by the Federal Credit Reform Act of 1990, this non-budgetary account records cash flows to and from the Government resulting from loans guarantees made from the National Infrastructure Bank. The amounts in this account are a means of financing and are not included in the budget totals.

**NATIONAL LABOR RELATIONS BOARD**

**Federal Funds**

**SALARIES AND EXPENSES**

*For expenses necessary for the National Labor Relations Board to carry out the functions vested in it by the Labor-Management Relations Act, 1947, and other laws, \$284,991,000: Provided, That no part of this appropriation shall be available to organize or assist in organizing agricultural laborers or used in connection with investigations, hearings, directives, or orders concerning bargaining units composed of agricultural laborers as referred to in section 2(3) of the Act of July 5, 1935, and as amended by the Labor-Management Relations Act, 1947, and as defined in section 3(f) of the Act of June 25, 1938, and including in said definition employees engaged in the maintenance and operation of ditches, canals, reservoirs, and waterways when maintained or operated on a mutual, nonprofit basis and at least 95 percent of the water stored or supplied thereby is used for farming purposes.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing (in millions of dollars)**

| Identification code 63–0100–0–1–505         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>     |             |         |           |
| 0001 Field investigation .....              | 224         | 226     | 230       |
| 0002 Administrative law judge hearing ..... | 12          | 12      | 12        |

|  |     |     |     |
|--|-----|-----|-----|
| 0003 Board adjudication .....                    | 26  | 26  | 26  |
| 0004 Securing compliance with Board orders ..... | 15  | 15  | 16  |
| 0005 Internal Review .....                       | 1   | 1   | 1   |
| 0900 Total new obligations .....                 | 278 | 280 | 285 |

**Budgetary Resources:**

|   |     |     |     |
|---|-----|-----|-----|
| Budget authority:                               |     |     |     |
| Appropriations, discretionary:                  |     |     |     |
| 1100 Appropriation .....                        | 278 | 280 | 285 |
| 1160 Appropriation, discretionary (total) ..... | 278 | 280 | 285 |
| 1930 Total budgetary resources available .....  | 278 | 280 | 285 |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 22   | 21   | 26   |
| 3010 Obligations incurred, unexpired accounts .....             | 278  | 280  | 285  |
| 3020 Outlays (gross) .....                                      | –277 | –275 | –285 |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2   |      |      |
| 3050 Unpaid obligations, end of year .....                      | 21   | 26   | 26   |
| Memorandum (non-add) entries:                                   |      |      |      |
| 3100 Obligated balance, start of year .....                     | 22   | 21   | 26   |
| 3200 Obligated balance, end of year .....                       | 21   | 26   | 26   |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 278 | 280 | 285 |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 260 | 258 | 262 |
| 4011 Outlays from discretionary balances .....      | 17  | 17  | 23  |
| 4020 Outlays, gross (total) .....                   | 277 | 275 | 285 |
| 4180 Budget authority, net (total) .....            | 278 | 280 | 285 |
| 4190 Outlays, net (total) .....                     | 277 | 275 | 285 |

The Board resolves representation disputes in industry and also remedies and prevents specified unfair labor practices by employers or labor organizations. Case intake and additional program statistics appear in the table below.

**PROGRAM STATISTICS**

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| Case intake:                                      |             |           |           |
| Unfair labor practice cases .....                 | 21,622      | 21,700    | 21,700    |
| Representation cases .....                        | 2,646       | 2,700     | 2,700     |
| Administrative law judges:                        |             |           |           |
| Hearings closed .....                             | 219         | 225       | 228       |
| Decisions issued .....                            | 207         | 210       | 211       |
| Board adjudication:                               |             |           |           |
| Contested Board decisions issued .....            | 277         | 279       | 280       |
| Regional director decisions .....                 | 169         | 169       | 169       |
| Board decisions requiring court enforcement ..... | 81          | 85        | 92        |

**Field investigation.**—Charges of unfair labor practices and petitions for elections to resolve representation disputes are investigated by regional office personnel. Approximately 90–96 percent of merit unfair labor practice cases are closed by settlement, dismissal, or withdrawal. The remainder are prepared for public hearing. About 85–90 percent of representation elections are held pursuant to agreement of the parties. The agency strives to maximize the voluntary settlement of all cases and to avoid litigation.

**Administrative law judge hearing.**—Administrative law judges conduct public hearings in unfair labor practice cases. Their findings and recommendations are set forth in their decisions.

**Board adjudication.**—In an unfair labor practice case, a judge's decision becomes a Board order if no exceptions are filed. About 30 percent of these decisions become automatic Board orders or are complied with voluntarily. The remainder, with exceptions filed, require a Board decision. In representation cases, regional directors initially decide the issues by Board delegation. The Board itself decides representation issues on referral from regional directors or by granting a request for review of a regional dir-

ector's decision. The Board also rules on objection and challenge questions in election cases.

**Securing compliance with Board orders.**—Unlike other federal agencies, Board orders are not self-enforcing in the absence of a timely petition to review. If the parties do not voluntarily comply with a Board order involving unfair labor practices, the Board must request that an appellate court enforce the decision.

#### Object Classification (in millions of dollars)

| Identification code 63–0100–0–1–505                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 169         | 172     | 175       |
| 12.1 Civilian personnel benefits .....                          | 45          | 45      | 46        |
| 21.0 Travel and transportation of persons .....                 | 3           | 3       | 4         |
| 23.1 Rental payments to GSA .....                               | 26          | 27      | 27        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 7           | 7       | 7         |
| 25.2 Other services from non-Federal sources .....              | 24          | 23      | 23        |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 3           | 2       | 2         |
| 99.9 Total new obligations .....                                | 278         | 280     | 285       |

#### Employment Summary

| Identification code 63–0100–0–1–505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,640       | 1,655   | 1,680     |

## NATIONAL MEDIATION BOARD

### Federal Funds

#### SALARIES AND EXPENSES

*For expenses necessary to carry out the provisions of the Railway Labor Act, including emergency boards appointed by the President, \$13,347,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 95–2400–0–1–505                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Mediator services .....                          | 6           | 7       | 7         |
| 0002 Representation services .....                    | 3           | 3       | 3         |
| 0003 Arbitration services .....                       | 4           | 3       | 3         |
| 0900 Total new obligations .....                      | 13          | 13      | 13        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 13          | 13      | 13        |
| 1160 Appropriation, discretionary (total) .....       | 13          | 13      | 13        |
| 1930 Total budgetary resources available .....        | 13          | 13      | 13        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 13          | 13      | 13        |
| 3020 Outlays (gross) .....                            | –13         | –13     | –13       |
| 3050 Unpaid obligations, end of year .....            | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 2       | 2         |
| 3200 Obligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 13          | 13      | 13        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 11          | 12      | 12        |
| 4011 Outlays from discretionary balances .....        | 2           | 1       | 1         |

|  |    |    |    |
|--|----|----|----|
| 4020 Outlays, gross (total) .....        | 13 | 13 | 13 |
| 4180 Budget authority, net (total) ..... | 13 | 13 | 13 |
| 4190 Outlays, net (total) .....          | 13 | 13 | 13 |

**Mediator and alternative dispute resolution (ADR) services.**—The National Mediation Board mediates disputes over wages, hours, and working conditions for some 746 rail and air carriers and approximately 795,000 employees in the two industries.

The Board also provides technical assistance to enable labor and industry representatives to explore informally the relevant economic and noneconomic problems that condition collective bargaining in the railroad and airline industries. The Board's ADR program provides collective bargaining training, facilitation, and grievance mediation services to the labor-management community.

|                                   | 2012 actual | 2013 est. | 2014 est. |
|-----------------------------------|-------------|-----------|-----------|
| <b>Mediation &amp; ADR cases:</b> |             |           |           |
| Pending, start of year .....      | 114         | 124       | 119       |
| Received during year .....        | 85          | 85        | 80        |
| Closed during year .....          | 75          | 90        | 80        |
| Pending, end of year .....        | 124         | 119       | 119       |

**Employee Representation.**—The Board investigates representation disputes involving the various crafts or classes of railroad and airline employees to determine their choice of representatives for the purpose of collective bargaining.

|   | 2012 actual | 2013 est. | 2014 est. |
|---|-------------|-----------|-----------|
| <b>Representation cases:</b>                              |             |           |           |
| Pending, start of year .....                              | 2           | 7         | 5         |
| Received during year .....                                | 42          | 53        | 53        |
| Closed during year .....                                  | 37          | 55        | 55        |
| Pending, end of year .....                                | 7           | 5         | 3         |
| Freedom of Information Act (FOIA) requests received ..... | 25          | 26        | 27        |
| Investigation cases closed .....                          | 59          | 74        | 60        |

**Emergency disputes.**—When the parties fail to resolve their disputes through mediation, they are urged to submit their differences to arbitration. If neither mediation nor voluntary arbitration is successful, the President, when notified of disputes which substantially threaten to interrupt essential service, may appoint emergency boards to investigate and report on the dispute. Such reports usually serve as a basis for resolving the disputes.

|                             | 2012 actual | 2013 est. | 2014 est. |
|-----------------------------|-------------|-----------|-----------|
| <b>Board created:</b>       |             |           |           |
| Emergency (sec. 160) .....  | 1           | 1         | 1         |
| Emergency (sec. 159a) ..... | 0           | 1         | 1         |

**Arbitration services.**—Arbitration is governed by sections 3 and 7 of the Railway Labor Act. Railroad employee grievances resulting from disputes over the interpretation or application of collective bargaining contracts may be brought for settlement to the National Railroad Adjustment Board (NRAB). The divisions of the NRAB are composed of an equal number of carrier and union representatives compensated by the party or parties they represent. Public Law 89–456 provides for the adjustment of disputes involving grievances resulting from interpretation or application of bargaining agreements in the railroad industry and for disputes otherwise referable to the NRAB. In these disputes, the National Mediation Board compensates the neutral party selected to help resolve these grievances.

Administrative direction and support for the public law boards, special boards of adjustment, and the NRAB are provided by Federal employees who are compensated by the National Mediation Board.

|                              | 2012 actual | 2013 est. | 2014 est. |
|------------------------------|-------------|-----------|-----------|
| <b>Arbitration cases:</b>    |             |           |           |
| Pending, start of year ..... | 2,384       | 2,084     | 2,922     |
| Received during year .....   | 3,569       | 4,659     | 4,659     |
| Closed during year .....     | 3,869       | 3,821     | 3,821     |
| Pending, end of year .....   | 2,084       | 2,922     | 3,760     |

## SALARIES AND EXPENSES—Continued

## Object Classification (in millions of dollars)

| Identification code 95–2400–0–1–505                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 7           | 6       | 6         |
| 11.8 Special personal services payments .....      | 2           | 2       | 2         |
| 11.9 Total personnel compensation .....            | 9           | 8       | 8         |
| 12.1 Civilian personnel benefits .....             | 1           | 1       | 1         |
| 21.0 Travel and transportation of persons .....    | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                  | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources ..... | 1           | 1       | 1         |
| 99.0 Direct obligations .....                      | 13          | 12      | 12        |
| 99.5 Below reporting threshold .....               |             | 1       | 1         |
| 99.9 Total new obligations .....                   | 13          | 13      | 13        |

## Employment Summary

| Identification code 95–2400–0–1–505                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 49          | 48      | 50        |

NATIONAL RAILROAD PASSENGER  
CORPORATION OFFICE OF INSPECTOR GENERAL

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of the Office of Inspector General for the National Railroad Passenger Corporation to carry out the provisions of the Inspector General Act of 1978, as amended, \$25,300,000: Provided, That the Inspector General shall have all necessary authority, in carrying out the duties specified in the Inspector General Act, as amended (5 U.S.C. App. 3), to investigate allegations of fraud, including false statements to the government (18 U.S.C. 1001), by any person or entity that is subject to regulation by the National Railroad Passenger Corporation: Provided further, That the Inspector General may enter into contracts and other arrangements for audits, studies, analyses, and other services with public agencies and with private persons, subject to the applicable laws and regulations that govern the obtaining of such services within the National Railroad Passenger Corporation: Provided further, That the Inspector General may select, appoint, and employ such officers and employees as may be necessary for carrying out the functions, powers, and duties of the Office of Inspector General, subject to the applicable laws and regulations that govern such selections, appointments, and employment within Amtrak: Provided further, That concurrent with the President's budget request for fiscal year 2014, the Inspector General shall submit to the House and Senate Committees on Appropriations a budget request for fiscal year 2014 in similar format and substance to those submitted by executive agencies of the Federal Government.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 48–2996–0–1–401                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Payment to Amtrak IG .....                       | 20          | 21      | 25        |
| 0900 Total new obligations (object class 41.0) .....  | 20          | 21      | 25        |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 21          | 21      | 25        |

|   |    |    |    |
|---|----|----|----|
| 1160 Appropriation, discretionary (total) .....       | 21 | 21 | 25 |
| 1930 Total budgetary resources available .....        | 21 | 22 | 26 |
| Memorandum (non-add) entries:                         |    |    |    |
| 1941 Unexpired unobligated balance, end of year ..... | 1  | 1  | 1  |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 7   | 5   | 4   |
| 3010 Obligations incurred, unexpired accounts .....   | 20  | 21  | 25  |
| 3020 Outlays (gross) .....                            | –22 | –22 | –26 |
| 3050 Unpaid obligations, end of year .....            | 5   | 4   | 3   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 7   | 5   | 4   |
| 3200 Obligated balance, end of year .....             | 5   | 4   | 3   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 21 | 21 | 25 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 16 | 21 | 25 |
| 4011 Outlays from discretionary balances .....      | 6  | 1  | 1  |
| 4020 Outlays, gross (total) .....                   | 22 | 22 | 26 |
| 4180 Budget authority, net (total) .....            | 21 | 21 | 25 |
| 4190 Outlays, net (total) .....                     | 22 | 22 | 26 |

The 2014 Budget requests \$25 million for the Office of Inspector General (OIG) within the National Railroad Passenger Corporation (Amtrak).

## NATIONAL TRANSPORTATION SAFETY BOARD

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses of the National Transportation Safety Board, including hire of passenger motor vehicles and aircraft; services as authorized by 5 U.S.C. 3109, but at rates for individuals not to exceed the per diem rate equivalent to the rate for a GS–15; uniforms, or allowances therefor, as authorized by law (5 U.S.C. 5901–5902), \$103,027,000, of which not to exceed \$2,000 may be used for official reception and representation expenses. The amounts made available to the National Transportation Safety Board in this Act include amounts necessary to make lease payments on an obligation incurred in fiscal year 2001 for a capital lease.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–0310–0–1–407                           | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                              |             |         |           |
| 0001 Policy and Direction .....                               | 13          | 14      | 14        |
| 0002 Communications .....                                     | 6           | 6       | 6         |
| 0003 Aviation Safety .....                                    | 31          | 32      | 32        |
| 0004 Information Technology and Services .....                | 8           | 8       | 8         |
| 0005 Research and Engineering .....                           | 12          | 12      | 12        |
| 0006 NTSB Training Center .....                               | 1           | 1       | 1         |
| 0007 Administrative Law Judges .....                          | 2           | 2       | 2         |
| 0008 Highway Safety .....                                     | 6           | 7       | 7         |
| 0009 Marine Safety .....                                      | 4           | 4       | 4         |
| 0010 Railroad, Pipeline, and Hazardous Materials Safety ..... | 8           | 9       | 9         |
| 0011 Administrative Support .....                             | 12          | 8       | 8         |
| 0100 Sub-total, Direct obligations .....                      | 103         | 103     | 103       |
| 0799 Total direct obligations .....                           | 103         | 103     | 103       |
| 0806 Training Center .....                                    | 1           | 1       | 1         |
| 0811 Subleases .....  | 1           | 1       | 1         |
| 0899 Total reimbursable obligations .....                     | 2           | 2       | 2         |
| 0900 Total new obligations .....                              | 105         | 105     | 105       |
| Budgetary Resources:  |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....         | 6           | 4       | 4         |



|  |   |     |      |      |
|--|---|-----|------|------|
| Budget authority:  |   |     |      |      |
| Appropriations, discretionary:                                 |   |     |      |      |
| 1100   | Appropriation .....   | 102 | 103  | 103  |
| 1160   | Appropriation, discretionary (total) .....                      | 102 | 103  | 103  |
| Spending authority from offsetting collections, discretionary: |   |     |      |      |
| 1700   | Collected .....   | 2   | 2    | 2    |
| 1750   | Spending auth from offsetting collections, disc (total) .....   | 2   | 2    | 2    |
| 1900   | Budget authority (total) .....                                  | 104 | 105  | 105  |
| 1930   | Total budgetary resources available .....                       | 110 | 109  | 109  |
| Memorandum (non-add) entries:                                  |   |     |      |      |
| 1940   | Unobligated balance expiring .....                              | -1  |      |      |
| 1941   | Unexpired unobligated balance, end of year .....                | 4   | 4    | 4    |
| <b>Change in obligated balance:</b>                            |   |     |      |      |
| Unpaid obligations:  |   |     |      |      |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....                | 18  | 23   | 24   |
| 3010   | Obligations incurred, unexpired accounts .....                  | 105 | 105  | 105  |
| 3011   | Obligations incurred, expired accounts .....                    | 1   |      |      |
| 3020   | Outlays (gross) .....   | -99 | -104 | -104 |
| 3041   | Recoveries of prior year unpaid obligations, expired .....      | -2  |      |      |
| 3050   | Unpaid obligations, end of year .....                           | 23  | 24   | 25   |
| Memorandum (non-add) entries:                                  |   |     |      |      |
| 3100   | Obligated balance, start of year .....                          | 18  | 23   | 24   |
| 3200   | Obligated balance, end of year .....                            | 23  | 24   | 25   |
| <b>Budget authority and outlays, net:</b>                      |   |     |      |      |
| Discretionary:   |   |     |      |      |
| 4000   | Budget authority, gross .....                                   | 104 | 105  | 105  |
| Outlays, gross:  |   |     |      |      |
| 4010   | Outlays from new discretionary authority .....                  | 86  | 84   | 84   |
| 4011   | Outlays from discretionary balances .....                       | 13  | 20   | 20   |
| 4020   | Outlays, gross (total) .....                                    | 99  | 104  | 104  |
| Offsets against gross budget authority and outlays:            |   |     |      |      |
| Offsetting collections (collected) from:                       |   |     |      |      |
| 4030   | Federal sources .....   | -1  | -1   | -1   |
| 4033   | Non-Federal sources .....                                       | -1  | -1   | -1   |
| 4040   | Offsets against gross budget authority and outlays (total) .... | -2  | -2   | -2   |
| 4070   | Budget authority, net (discretionary) .....                     | 102 | 103  | 103  |
| 4080   | Outlays, net (discretionary) .....                              | 97  | 102  | 102  |
| 4180   | Budget authority, net (total) .....                             | 102 | 103  | 103  |
| 4190   | Outlays, net (total) .....                                      | 97  | 102  | 102  |

The National Transportation Safety Board (NTSB) is an independent nonregulatory agency that promotes transportation safety by maintaining independence and objectivity; conducting objective, precise accident investigations and safety studies; performing fair and objective airman and mariner certification appeals; and advocating and promoting NTSB safety recommendations. The NTSB also provides assistance to victims of transportation accidents and their families.

In 2014, the Administration requests a total funding level of \$103 million for NTSB Salaries and Expenses to allow the NTSB to fulfill its role in improving safety on the Nation's transportation system.

#### Object Classification (in millions of dollars)

|                                     |  |         |           |     |
|-------------------------------------|--|---------|-----------|-----|
| Identification code 95-0310-0-1-407 |  |         |           |     |
|                                     | 2012 actual  | 2013 CR | 2014 est. |     |
| Direct obligations:                 |  |         |           |     |
| Personnel compensation:             |  |         |           |     |
| 11.1                                | Full-time permanent .....                                  | 46      | 48        | 48  |
| 11.3                                | Other than full-time permanent .....                       | 2       | 3         | 3   |
| 11.5                                | Other personnel compensation .....                         | 2       | 2         | 2   |
| 11.9                                | Total personnel compensation .....                         | 50      | 53        | 53  |
| 12.1                                | Civilian personnel benefits .....                          | 15      | 15        | 15  |
| 21.0                                | Travel and transportation of persons .....                 | 3       | 4         | 4   |
| 23.1                                | Rental payments to GSA .....                               | 9       | 9         | 9   |
| 23.2                                | Rental payments to others .....                            | 2       | 2         | 2   |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 1       | 1         | 1   |
| 25.2                                | Other services from non-Federal sources .....              | 20      | 15        | 15  |
| 31.0                                | Equipment .....  | 3       | 4         | 4   |
| 99.0                                | Direct obligations .....                                   | 103     | 103       | 103 |
| 99.0                                | Reimbursable obligations .....                             | 2       | 2         | 2   |
| 99.9                                | Total new obligations .....                                | 105     | 105       | 105 |

#### Employment Summary

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 95-0310-0-1-407 |   | 2012 actual | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... | 412         | 426     | 423       |

#### EMERGENCY FUND

##### Program and Financing (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 95-0311-0-1-407 |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Budgetary Resources:</b>         |  |             |         |           |
| Unobligated balance:                |  |             |         |           |
| 1000                                | Unobligated balance brought forward, Oct 1 ..... | 2           | 2       | 2         |
| 1930                                | Total budgetary resources available .....        | 2           | 2       | 2         |
| Memorandum (non-add) entries:       |  |             |         |           |
| 1941                                | Unexpired unobligated balance, end of year ..... | 2           | 2       | 2         |

The National Transportation Safety Board is mandated by Congress to investigate all catastrophic transportation accidents and; therefore, has no control over the frequency of costly accident investigations. The emergency fund provides a funding mechanism by which periodic accident investigation cost fluctuations can be met without delaying critical phases of the investigations. The current balance of \$2 million is sufficient to cover unanticipated costs associated with an increased number of accidents, and thus the Administration does not propose new funding in 2014.

## NEIGHBORHOOD REINVESTMENT CORPORATION

#### Federal Funds

##### PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION

For payment to the Neighborhood Reinvestment Corporation for use in neighborhood reinvestment activities, as authorized by the Neighborhood Reinvestment Corporation Act (42 U.S.C. 8101-8107), \$127,100,000: Provided, That in addition, \$77,000,000 shall be made available until expended to the Neighborhood Reinvestment Corporation for mortgage foreclosure mitigation activities, under the following terms and conditions:

(1) The Neighborhood Reinvestment Corporation ("NRC") shall make grants to counseling intermediaries approved by the Department of Housing and Urban Development (HUD) (with match to be determined by the NRC based on affordability and the economic conditions of an area; a match also may be waived by the NRC based on the aforementioned conditions) to provide mortgage foreclosure mitigation assistance primarily to States and areas with high rates of defaults and foreclosures to help eliminate the default and foreclosure of mortgages of owner-occupied single-family homes that are at risk of such foreclosure. Other than areas with high rates of defaults and foreclosures, grants may also be provided to approved counseling intermediaries based on a geographic analysis of the Nation by the NRC which determines where there is a prevalence of mortgages that are risky and likely to fail, including any trends for mortgages that are likely to default and face foreclosure. A State Housing Finance Agency may also be eligible where the State Housing Finance Agency meets all the requirements under this paragraph. A HUD-approved counseling intermediary shall meet certain mortgage foreclosure mitigation assistance counseling requirements, as determined by the NRC, and shall be approved by HUD or the NRC as meeting these requirements.

(2) Mortgage foreclosure mitigation assistance shall only be made available to homeowners of owner-occupied homes with mortgages in default or in danger of default. These mortgages shall likely be subject to a foreclosure action and homeowners will be provided such assistance that shall consist of activities that are likely to prevent foreclosures and result in the long-term affordability of the mortgage retained pursuant to such activity or another positive outcome for the homeowner. No funds made available under this paragraph may be provided directly to lenders or homeowners to discharge outstanding mortgage balances or for any other direct debt reduction payments.

## PAYMENT TO THE NEIGHBORHOOD REINVESTMENT CORPORATION—Continued

(3) *The use of Mortgage Foreclosure Mitigation Assistance by approved counseling intermediaries and State Housing Finance Agencies shall involve a reasonable analysis of the borrower's financial situation, an evaluation of the current value of the property that is subject to the mortgage, counseling regarding the assumption of the mortgage by another non-Federal party, counseling regarding the possible purchase of the mortgage by a non-Federal third party, counseling and advice of all likely restructuring and refinancing strategies or the approval of a workout strategy by all interested parties.*

(4) *NRC may provide up to 15 percent of the total funds under this paragraph to its own charter members with expertise in foreclosure prevention counseling, subject to a certification by the NRC that the procedures for selection do not consist of any procedures or activities that could be construed as an unacceptable conflict of interest or have the appearance of impropriety.*

(5) *HUD-approved counseling entities and State Housing Finance Agencies receiving funds under this paragraph shall have demonstrated experience in successfully working with financial institutions as well as borrowers facing default, delinquency and foreclosure as well as documented counseling capacity, outreach capacity, past successful performance and positive outcomes with documented counseling plans (including post mortgage foreclosure mitigation counseling), loan workout agreements and loan modification agreements. NRC may use other criteria to demonstrate capacity in underserved areas.*

(6) *Of the total amount made available under this paragraph, up to \$3,000,000 may be made available to build the mortgage foreclosure and default mitigation counseling capacity of counseling intermediaries through NRC training courses with HUD-approved counseling intermediaries and their partners, except that private financial institutions that participate in NRC training shall pay market rates for such training.*

(7) *Of the total amount made available under this paragraph, up to 6 percent may be used for associated administrative expenses for the NRC to carry out activities provided under this section.*

(8) *Mortgage foreclosure mitigation assistance grants may include a budget for outreach and advertising, and training, as determined by the NRC.*

(9) *The NRC shall continue to report bi-annually to the House and Senate Committees on Appropriations as well as the Senate Banking Committee and House Financial Services Committee on its efforts to mitigate mortgage default.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 82–1300–0–1–451                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Payment for operations and grants .....         | 135         | 136     | 127       |
| 0002 Foreclosure Prevention .....                    | 80          | 80      | 77        |
| 0900 Total new obligations (object class 41.0) ..... | 215         | 216     | 204       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 215         | 216     | 204       |
| 1160 Appropriation, discretionary (total) .....      | 215         | 216     | 204       |
| 1930 Total budgetary resources available .....       | 215         | 216     | 204       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 215         | 216     | 204       |
| 3020 Outlays (gross) .....                           | –215        | –216    | –204      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 215         | 216     | 204       |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 215         | 216     | 204       |
| 4180 Budget authority, net (total) .....             | 215         | 216     | 204       |
| 4190 Outlays, net (total) .....                      | 215         | 216     | 204       |

The Neighborhood Reinvestment Corporation (NRC), also known as "NeighborWorks America," was established by the Congress in 1978 as a community/public/private partnership providing financial support, technical assistance, and training for affordable housing and community-based revitalization efforts nationwide. Through its core activities, NRC supports more than 3,300 community development organizations across the United States, including more than 235 chartered community-based non-profit organizations that comprise the NeighborWorks network, through professional training and certification, symposiums, development and promotion of industry standards, and provision of information. NRC created its NeighborWorks Center for Foreclosure Solutions and since 2008 has administered the National Foreclosure Mitigation Counseling program. NRC receives both Federal and non-Federal funding to finance its program activities. The Budget proposes \$204 million for NRC: \$127 million for its operations and grants to network members, and \$77 million for foreclosure prevention counseling.

## Balance Sheet (in millions of dollars)

| Identification code 82–1300–0–1–451           | 2011 actual | 2012 actual |
|---|-------------|-------------|
| <b>ASSETS:</b>                                |             |             |
| Other Federal assets:                         |             |             |
| 1801 Cash and other monetary assets .....     | 14          | 14          |
| 1803 Property, plant and equipment, net ..... | 4           | 4           |
| 1999 Total assets .....                       | 18          | 18          |
| <b>LIABILITIES:</b>                           |             |             |
| Non-Federal liabilities:                      |             |             |
| 2201 Accounts payable .....                   | 5           | 5           |
| 2207 Other .....                              | 2           | 2           |
| 2999 Total liabilities .....                  | 7           | 7           |
| <b>NET POSITION:</b>                          |             |             |
| 3300 Cumulative results of operations .....   | 11          | 11          |
| 4999 Total liabilities and net position ..... | 18          | 18          |

## NORTHERN BORDER REGIONAL COMMISSION

## Federal Funds

## NORTHERN BORDER REGIONAL COMMISSION

*For necessary expenses of the Northern Border Regional Commission in carrying out activities authorized by subtitle V of title 40, United States Code, \$1,355,000, to remain available until expended: Provided, That such amounts shall be available for administrative expenses, notwithstanding section 15751(b) of title 40, United States Code.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–3742–0–1–452                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Northern Border Regional Commission .....        | 1           | 1       | 1         |
| 0900 Total new obligations (object class 41.0) .....  | 1           | 1       | 1         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           | 2       | 2         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 1           | 1       | 1         |
| 1160 Appropriation, discretionary (total) .....       | 1           | 1       | 1         |
| 1930 Total budgetary resources available .....        | 3           | 3       | 3         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 2           | 2       | 2         |

| Change in obligated balance:       |  |    |    |
|------------------------------------|--|----|----|
| Unpaid obligations:                |  |    |    |
| 3000                               | Unpaid obligations, brought forward, Oct 1 ..... | 1  | 1  |
| 3010                               | Obligations incurred, unexpired accounts .....   | 1  | 1  |
| 3020                               | Outlays (gross) .....                            | -1 | -1 |
| 3050                               | Unpaid obligations, end of year .....            | 1  | 1  |
| Memorandum (non-add) entries:      |  |    |    |
| 3100                               | Obligated balance, start of year .....           | 1  | 1  |
| 3200                               | Obligated balance, end of year .....             | 1  | 1  |
| Budget authority and outlays, net: |  |    |    |
| Discretionary:                     |  |    |    |
| 4000                               | Budget authority, gross .....                    | 1  | 1  |
| Outlays, gross:                    |  |    |    |
| 4010                               | Outlays from new discretionary authority .....   | 1  | 1  |
| 4180                               | Budget authority, net (total) .....              | 1  | 1  |
| 4190                               | Outlays, net (total) .....                       | 1  | 1  |

The Northern Border Regional Commission (NBRC), authorized by P.L. 110-234, was established as a Federal-State partnership to provide a comprehensive approach to addressing persistent economic distress in the northern border region. Covering portions of Maine, New Hampshire, New York, and Vermont, NBRC helps coordinate Federal efforts to develop the basic building blocks for economic development, such as transportation and basic public infrastructure, job skills training, and business development.

#### Employment Summary

| Identification code 95-3742-0-1-452                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1           | 1       | 1         |

## NUCLEAR REGULATORY COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the Commission in carrying out the purposes of the Energy Reorganization Act of 1974, as amended, and the Atomic Energy Act of 1954, as amended, including official representation expenses (not to exceed \$25,000), \$1,043,937,000, to remain available until expended: Provided, That revenues from licensing fees, inspection services, and other services and collections estimated at \$920,721,000 in fiscal year 2014 shall be retained and used for necessary salaries and expenses in this account, notwithstanding 31 U.S.C. 3302, and shall remain available until expended: Provided further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at not more than \$123,216,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 31-0200-0-1-276                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                               |             | 1       | 1         |
| Receipts:   |             |         |           |
| 0260 Nuclear Facility Fees, Nuclear Regulatory Commission ..... | 895         | 864     | 877       |
| 0261 Nuclear Facility Fees, Nuclear Regulatory Commission ..... |             | 51      | 54        |
| 0299 Total receipts and collections .....                       | 895         | 915     | 931       |
| 0400 Total: Balances and collections .....                      | 895         | 916     | 932       |
| Appropriations:   |             |         |           |
| 0500 Salaries and Expenses .....                                | -884        | -905    | -921      |
| 0501 Office of Inspector General .....                          | -10         | -10     | -10       |
| 0599 Total appropriations .....                                 | -894        | -915    | -931      |
| 0799 Balance, end of year .....                                 | 1           | 1       | 1         |

#### Program and Financing (in millions of dollars)

| Identification code 31-0200-0-1-276                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                     |             |         |           |
| 0001 Nuclear Reactor Safety .....                                    | 822         | 826     | 812       |
| 0005 Nuclear Materials and Waste Safety .....                        | 203         | 239     | 232       |
| 0799 Total direct obligations .....                                  | 1,025       | 1,065   | 1,044     |
| 0801 Reimbursable program .....                                      | 9           | 10      | 10        |
| 0900 Total new obligations .....                                     | 1,034       | 1,075   | 1,054     |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 48          | 62      | 45        |
| 1021 Recoveries of prior year unpaid obligations .....               | 14          | 14      | 14        |
| 1050 Unobligated balance (total) .....                               | 62          | 76      | 59        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation (General Fund) .....                              | 143         | 128     | 123       |
| 1101 Appropriation (NRC receipts) .....                              | 884         | 905     | 921       |
| 1160 Appropriation, discretionary (total) .....                      | 1,027       | 1,033   | 1,044     |
| Spending authority from offsetting collections, discretionary:       |             |         |           |
| 1700 Collected .....   | 12          | 11      | 11        |
| 1701 Change in uncollected payments, Federal sources .....           | -5          |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....   | 7           | 11      | 11        |
| 1900 Budget authority (total) .....                                  | 1,034       | 1,044   | 1,055     |
| 1930 Total budgetary resources available .....                       | 1,096       | 1,120   | 1,114     |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 62          | 45      | 60        |
| Change in obligated balance:   |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                | 359         | 302     | 297       |
| 3010 Obligations incurred, unexpired accounts .....                  | 1,034       | 1,075   | 1,054     |
| 3020 Outlays (gross) .....   | -1,077      | -1,066  | -1,058    |
| 3040 Recoveries of prior year unpaid obligations, unexpired .....    | -14         | -14     | -14       |
| 3050 Unpaid obligations, end of year .....                           | 302         | 297     | 279       |
| Uncollected payments:  |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....    | -13         | -8      | -8        |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....       | 5           |         |           |
| 3090 Uncollected pymts, Fed sources, end of year .....               | -8          | -8      | -8        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....                          | 346         | 294     | 289       |
| 3200 Obligated balance, end of year .....                            | 294         | 289     | 271       |
| Budget authority and outlays, net:                                   |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   | 1,034       | 1,044   | 1,055     |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  | 800         | 786     | 794       |
| 4011 Outlays from discretionary balances .....                       | 277         | 280     | 264       |
| 4020 Outlays, gross (total) .....                                    | 1,077       | 1,066   | 1,058     |
| Offsets against gross budget authority and outlays:                  |             |         |           |
| Offsetting collections (collected) from:                             |             |         |           |
| 4030 Federal sources .....   | -4          | -5      | -5        |
| 4033 Non-Federal sources .....                                       | -8          | -6      | -6        |
| 4040 Offsets against gross budget authority and outlays (total) .... | -12         | -11     | -11       |
| Additional offsets against gross budget authority only:              |             |         |           |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | 5           |         |           |
| 4070 Budget authority, net (discretionary) .....                     | 1,027       | 1,033   | 1,044     |
| 4080 Outlays, net (discretionary) .....                              | 1,065       | 1,055   | 1,047     |
| 4180 Budget authority, net (total) .....                             | 1,027       | 1,033   | 1,044     |
| 4190 Outlays, net (total) .....                                      | 1,065       | 1,055   | 1,047     |

**Nuclear Reactor Safety.**—The Nuclear Reactor Safety program encompasses NRC efforts to license, regulate, and oversee civilian nuclear power and research and test reactors to ensure they are operated in a manner that adequately protects public health and safety and the environment. This program also provides high assurance of the physical security of facilities and contributes to the NRC's Safety and Security goals through activities of the Operating Reactors and New Reactors Business Lines. Activities include: licensing, oversight, rulemaking, international activities, homeland security, event response, and research. These efforts

## SALARIES AND EXPENSES—Continued

include the safe and secure development of new power reactors from design, site approval, and construction to operational status.

**Nuclear Materials and Waste Safety.**—Nuclear Materials and Safety program encompasses the NRC's efforts to license, regulate, and oversee nuclear materials and waste in a manner that adequately protects public health and safety and the environment. This program provides high assurance of physical security of the most risk-significant materials and waste, and protection against radiological sabotage, theft, or diversion of nuclear materials. Through this program, the NRC regulates: uranium processing and fuel facilities; research and pilot facilities; nuclear materials users (medical, industrial, research, academic); spent fuel storage; spent fuel storage casks and transportation packaging; decontamination and decommissioning of facilities; and low-level and high-level radioactive waste. This program contributes to the NRC's Safety and Security goals through the activities of the Fuel Facilities, Nuclear Materials Users, Spent Fuel Storage and Transportation, and Decommissioning and Low-Level Waste Business Lines, which are responsible for regulating byproduct, source, and special nuclear materials.

## Object Classification (in millions of dollars)

| Identification code 31-0200-0-1-276                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 441         | 452     | 459       |
| 11.3 Other than full-time permanent .....                       | 6           | 6       | 6         |
| 11.5 Other personnel compensation .....                         | 11          | 12      | 12        |
| 11.8 Special personal services payments .....                   | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                         | 459         | 471     | 478       |
| 12.1 Civilian personnel benefits .....                          | 131         | 134     | 137       |
| 21.0 Travel and transportation of persons .....                 | 27          | 30      | 28        |
| 22.0 Transportation of things .....                             | 1           | 2       | 2         |
| 23.1 Rental payments to GSA .....                               | 35          | 38      | 36        |
| 23.2 Rental payments to others .....                            | 2           | 2       | 2         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 9           | 12      | 10        |
| 24.0 Printing and reproduction .....                            | 1           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....              | 180         | 182     | 180       |
| 25.3 Other goods and services from Federal sources .....        | 91          | 95      | 95        |
| 25.4 Operation and maintenance of facilities .....              | 6           | 9       | 9         |
| 25.7 Operation and maintenance of equipment .....               | 13          | 17      | 16        |
| 26.0 Supplies and materials .....                               | 3           | 5       | 4         |
| 31.0 Equipment .....  | 20          | 22      | 21        |
| 32.0 Land and structures .....                                  | 22          | 24      | 22        |
| 41.0 Grants, subsidies, and contributions .....                 | 25          | 20      | 2         |
| 99.0 Direct obligations .....                                   | 1,025       | 1,065   | 1,044     |
| 99.0 Reimbursable obligations .....                             | 9           | 10      | 10        |
| 99.9 Total new obligations .....                                | 1,034       | 1,075   | 1,054     |

## Employment Summary

| Identification code 31-0200-0-1-276                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 3,774       | 3,870   | 3,829     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 14          | 23      | 16        |

## OFFICE OF INSPECTOR GENERAL

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, as amended, \$11,105,000, to remain available until September 30, 2015: Provided, That revenues from licensing fees, inspection services, and other services and collections estimated at \$9,994,000 in fiscal year 2014 shall be retained and be available until September 30, 2015, for necessary salaries and expenses in this account, notwithstanding section 3302 of title 31, United States Code: Provided further, That the sum herein appropriated shall be reduced by the amount of revenues received during fiscal year 2014 so as to result in a final fiscal year 2014 appropriation estimated at not more than \$1,111,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 31-0300-0-1-276                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Inspector General .....                          | 11          | 11      | 11        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 1           | 1       | 1         |
| 1101 Appropriation (special or trust fund) .....      | 10          | 10      | 10        |
| 1160 Appropriation, discretionary (total) .....       | 11          | 11      | 11        |
| 1930 Total budgetary resources available .....        | 12          | 12      | 12        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 11          | 11      | 11        |
| 3020 Outlays (gross) .....                            | -10         | -11     | -11       |
| 3050 Unpaid obligations, end of year .....            | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 2       | 2         |
| 3200 Obligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 11          | 11      | 11        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 10          | 9       | 9         |
| 4011 Outlays from discretionary balances .....        |             | 2       | 2         |
| 4020 Outlays, gross (total) .....                     | 10          | 11      | 11        |
| 4180 Budget authority, net (total) .....              | 11          | 11      | 11        |
| 4190 Outlays, net (total) .....                       | 10          | 11      | 11        |

In accordance with the Inspector General Act of 1978, as amended, the OIG's mission is to (1) independently and objectively conduct and supervise audits and investigations related to NRC programs and operations, (2) prevent and detect fraud, waste, and abuse, and (3) promote economy, efficiency and effectiveness in the NRC programs and operations. The OIG carries out its mission through its Audit and Investigations Programs.

## Object Classification (in millions of dollars)

| Identification code 31-0300-0-1-276                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 6           | 7       | 7         |
| 12.1 Civilian personnel benefits .....                 | 3           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....     | 2           | 1       | 1         |
| 99.9 Total new obligations .....                       | 11          | 11      | 11        |

**Employment Summary**

| Identification code 31-0300-0-1-276                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 58          | 58      | 58        |

**GENERAL FUND RECEIPT ACCOUNTS**

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 31-322000 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... |             | 1       | 1         |
| General Fund Offsetting receipts from the public .....   |             | 1       | 1         |

**NUCLEAR WASTE TECHNICAL REVIEW BOARD****Federal Funds****SALARIES AND EXPENSES**

For necessary expenses of the Nuclear Waste Technical Review Board, as authorized by Public Law 100-203, section 5051, \$3,400,000 to be derived from the Nuclear Waste Fund, and to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 48-0500-0-1-271                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Technical and scientific activities .....        | 3           | 3       | 3         |
| 0900 Total new obligations .....                      | 3           | 3       | 3         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1101 Appropriation (special or trust fund) .....      | 3           | 3       | 3         |
| 1160 Appropriation, discretionary (total) .....       | 3           | 3       | 3         |
| 1930 Total budgetary resources available .....        | 4           | 4       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           | 1       | 1         |
| 3010 Obligations incurred, unexpired accounts .....   | 3           | 3       | 3         |
| 3020 Outlays (gross) .....                            | -3          | -3      | -3        |
| 3050 Unpaid obligations, end of year .....            | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           | 1       | 1         |
| 3200 Obligated balance, end of year .....             | 1           | 1       | 1         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 3           | 3       | 3         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 3           | 3       | 3         |
| 4180 Budget authority, net (total) .....              | 3           | 3       | 3         |
| 4190 Outlays, net (total) .....                       | 3           | 3       | 3         |

As mandated by the Nuclear Waste Policy Amendments Act of 1987, the Nuclear Waste Technical Review Board (Board) evaluates the technical and scientific validity of all activities undertaken by the Department of Energy (DOE) related to the manage-

ment and disposition of spent nuclear fuel and high level radioactive waste. The purpose of the Board is to provide independent expert advice to DOE and the Congress on technical issues and to review DOE's efforts to implement the Nuclear Waste Policy Act. The Board must report its findings, conclusions and recommendations at least two times per year to Congress and the Secretary of Energy.

**Object Classification** (in millions of dollars)

| Identification code 48-0500-0-1-271  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 2           | 2       | 2         |
| 99.5 Below reporting threshold .....                                       | 1           | 1       | 1         |
| 99.9 Total new obligations .....   | 3           | 3       | 3         |

**Employment Summary**

| Identification code 48-0500-0-1-271                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 12          | 12      | 13        |

**OCCUPATIONAL SAFETY AND HEALTH REVIEW COMMISSION****Federal Funds****SALARIES AND EXPENSES**

For expenses necessary for the Occupational Safety and Health Review Commission, \$12,634,830.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95-2100-0-1-554                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Commission review .....                          | 5           | 5       | 5         |
| 0002 Administrative law judge determinations .....    | 4           | 5       | 6         |
| 0003 Executive direction .....                        | 2           | 2       | 2         |
| 0900 Total new obligations .....                      | 11          | 12      | 13        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 12          | 12      | 13        |
| 1160 Appropriation, discretionary (total) .....       | 12          | 12      | 13        |
| 1930 Total budgetary resources available .....        | 12          | 13      | 14        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 2           | 2       | 4         |
| 3010 Obligations incurred, unexpired accounts .....   | 11          | 12      | 13        |
| 3020 Outlays (gross) .....                            | -11         | -10     | -12       |
| 3050 Unpaid obligations, end of year .....            | 2           | 4       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 2           | 2       | 4         |
| 3200 Obligated balance, end of year .....             | 2           | 4       | 5         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 12          | 12      | 13        |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 10          | 10      | 11        |
| 4011 Outlays from discretionary balances .....        | 1           |         | 1         |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 95–2100–0–1–554      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4020 Outlays, gross (total) .....        | 11          | 10      | 12        |
| 4180 Budget authority, net (total) ..... | 12          | 12      | 13        |
| 4190 Outlays, net (total) .....          | 11          | 10      | 12        |

The Occupational Safety and Health Review Commission, established by the Occupational Safety and Health Act of 1970, adjudicates contested enforcement actions of the Secretary of Labor. The Commission holds fact-finding hearings and issues orders affirming, modifying, or vacating the Secretary's enforcement actions.

## Object Classification (in millions of dollars)

| Identification code 95–2100–0–1–554                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 7           | 7       | 8         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                      | 1           | 1       | 2         |
| 99.0 Direct obligations .....                          | 10          | 10      | 12        |
| 99.5 Below reporting threshold .....                   | 1           | 2       | 1         |
| 99.9 Total new obligations .....                       | 11          | 12      | 13        |

## Employment Summary

| Identification code 95–2100–0–1–554                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 59          | 65      | 69        |

## OFFICE OF GOVERNMENT ETHICS

## Federal Funds

## SALARIES AND EXPENSES

For necessary expenses to carry out functions of the Office of Government Ethics pursuant to the Ethics in Government Act of 1978, the Ethics Reform Act of 1989, and the STOCK Act of 2012, including services as authorized by 5 U.S.C. 3109, rental of conference rooms in the District of Columbia and elsewhere, hire of passenger motor vehicles, and not to exceed \$1,500 for official reception and representation expenses, \$15,325,000.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–1100–0–1–805                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 14          | 19      | 15        |
| 0801 Reimbursable program activity .....                           | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 14          | 20      | 16        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| 1100 Appropriations, discretionary:                                |             |         |           |
| Appropriation .....  | 14          | 19      | 15        |
| 1160 Appropriation, discretionary (total) .....                    | 14          | 19      | 15        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 1           | 1       | 1         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 1           | 1       | 1         |
| 1900 Budget authority (total) .....                                | 14          | 20      | 16        |
| 1930 Total budgetary resources available .....                     | 14          | 20      | 16        |

## Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:                                   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3   | 3   | 3   |
| 3010 Obligations incurred, unexpired accounts .....   | 14  | 20  | 16  |
| 3020 Outlays (gross) .....                            | –14 | –20 | –16 |
| 3050 Unpaid obligations, end of year .....            | 3   | 3   | 3   |
| Memorandum (non-add) entries:                         |     |     |     |
| 3100 Obligated balance, start of year .....           | 3   | 3   | 3   |
| 3200 Obligated balance, end of year .....             | 3   | 3   | 3   |

## Budget authority and outlays, net:

|   |    |    |    |
|---|----|----|----|
| Discretionary:                                      |    |    |    |
| 4000 Budget authority, gross .....                  | 14 | 20 | 16 |
| Outlays, gross:                                     |    |    |    |
| 4010 Outlays from new discretionary authority ..... | 12 | 18 | 14 |
| 4011 Outlays from discretionary balances .....      | 2  | 2  | 2  |
| 4020 Outlays, gross (total) .....                   | 14 | 20 | 16 |
| Offsets against gross budget authority and outlays: |    |    |    |
| Offsetting collections (collected) from:            |    |    |    |
| 4033 Non-Federal sources .....                      | –1 | –1 | –1 |
| 4180 Budget authority, net (total) .....            | 14 | 19 | 15 |
| 4190 Outlays, net (total) .....                     | 14 | 19 | 15 |

The United States Office of Government Ethics (OGE) was established by the Ethics in Government Act of 1978 (EIGA) to provide direction and oversight of, and accountability for, policies designed to prevent and resolve conflicts of interest involving Executive Branch officers and employees. OGE is charged with promoting high ethical standards for Executive Branch employees. To carry out these responsibilities, OGE promulgates and maintains enforceable regulations governing ethical conduct for approximately four million civilian employees and uniformed service members serving in more than 130 Executive Branch agencies as well as the White House. OGE oversees two financial disclosure systems. The first covers more than 28,000 public financial disclosure reports in a system that reaches, among others, the 1,200 most senior officials in the Executive Branch, appointed by the President with the advice and consent of the Senate, who must be certified by the OGE Director as being in compliance with all applicable ethics laws. The second, a confidential financial disclosure report system, reaches another 325,000 employees who serve in less senior positions but who nonetheless have responsibilities that create a higher risk for conflict of interest. OGE exercises its oversight responsibilities by reviewing and evaluating agency ethic programs across the Executive Branch to ensure compliance with the conflict of interest laws and ethics regulations and to enhance agencies' abilities to meet ethics program requirements. The agency also provides education and training to nearly 6,000 ethics officials throughout the Executive Branch to help ensure that the services provided to employees are current and informed. OGE promotes good governance through mutually informative interactions with the private sector, non-profit groups, and the general public, as well as by sharing good practices with and providing technical assistance to state, local, and foreign governments, and international organizations.

## Object Classification (in millions of dollars)

| Identification code 95–1100–0–1–805                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                      |             |         |           |
| Personnel compensation:                                  |             |         |           |
| 11.1 Full-time permanent .....                           | 8           | 9       | 8         |
| 11.3 Other than full-time permanent .....                | 1           | 1       | 1         |
| 11.9 Total personnel compensation .....                  | 9           | 10      | 9         |
| 12.1 Civilian personnel benefits .....                   | 2           | 2       | 2         |
| 23.1 Rental payments to GSA .....                        | 2           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....       | 4           | 4       | 2         |
| 25.3 Other goods and services from Federal sources ..... | 1           | 1       | 1         |
| 99.0 Direct obligations .....                            | 14          | 19      | 15        |
| 99.0 Reimbursable obligations .....                      | 1           | 1       | 1         |

99.9 Total new obligations ..... 14 20 16

**Employment Summary**

| Identification code 95–1100–0–1–805                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 71          | 82      | 82        |

## OFFICE OF NAVAJO AND HOPI INDIAN RELOCATION

**Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses of the Office of Navajo and Hopi Indian Relocation as authorized by Public Law 93–531, \$8,100,000, to remain available until expended, pursuant to 25 U.S.C. 640d-10.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 48–1100–0–1–808                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Operation of relocation office .....             | 5           | 5       | 5         |
| 0003 Relocation payments (housing) .....              | 3           | 3       | 3         |
| 0900 Total new obligations .....                      | 8           | 8       | 8         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 8           | 8       | 8         |
| 1160 Appropriation, discretionary (total) .....       | 8           | 8       | 8         |
| 1930 Total budgetary resources available .....        | 8           | 8       | 8         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 3           | 2       | 2         |
| 3010 Obligations incurred, unexpired accounts .....   | 8           | 8       | 8         |
| 3020 Outlays (gross) .....                            | –9          | –8      | –8        |
| 3050 Unpaid obligations, end of year .....            | 2           | 2       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 3           | 2       | 2         |
| 3200 Obligated balance, end of year .....             | 2           | 2       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 8           | 8       | 8         |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   | 8           | 6       | 6         |
| 4011 Outlays from discretionary balances .....        | 1           | 2       | 2         |
| 4020 Outlays, gross (total) .....                     | 9           | 8       | 8         |
| 4180 Budget authority, net (total) .....              | 8           | 8       | 8         |
| 4190 Outlays, net (total) .....                       | 9           | 8       | 8         |

The Office of Navajo and Hopi Indian Relocation was established by Public Law 93–531 to plan and conduct relocation activities associated with the settlement of a land dispute in northern Arizona between the two tribes.

Bonuses are paid to clients who volunteered for relocation prior to July 7, 1985. Relocation of clients includes such activities as certification, housing acquisition and construction, and land acquisition. Discretionary funds will be used for activities which will facilitate and expedite the overall relocation effort.

**Object Classification** (in millions of dollars)

| Identification code 48–1100–0–1–808                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 3           | 3       | 3         |
| 12.1 Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 1           | 1       | 1         |
| 32.0 Land and structures .....                         | 3           | 3       | 3         |
| 99.9 Total new obligations .....                       | 8           | 8       | 8         |

**Employment Summary**

| Identification code 48–1100–0–1–808                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 39          | 39      | 39        |

## OFFICE OF SPECIAL COUNSEL

**Federal Funds****SALARIES AND EXPENSES**

*For necessary expenses to carry out functions of the Office of Special Counsel pursuant to Reorganization Plan Numbered 2 of 1978, the Civil Service Reform Act of 1978 (Public Law 95–454), the Whistleblower Protection Act of 1989 (Public Law 101–12), as amended Public Law 107–304, the Whistleblower Protection Enhancement Act of 2012 (Public Law 112–199), and the Uniformed Services Employment and Reemployment Rights Act of 1994 (Public Law 103–353), including services as authorized by 5 U.S.C. 3109, payment of fees and expenses for witnesses, rental of conference rooms in the District of Columbia and elsewhere, and hire of passenger motor vehicles; \$20,639,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 62–0100–0–1–805                                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                                   |             |         |           |
| 0001 Investigation and prosecution of reprisals for whistle blowing ..... | 19          | 20      | 21        |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                     |             | 1       | 1         |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:  |             |         |           |
| 1100 Appropriation .....  | 19          | 20      | 21        |
| 1160 Appropriation, discretionary (total) .....                           | 19          | 20      | 21        |
| Spending authority from offsetting collections, discretionary:            |             |         |           |
| 1700 Collected .....  | 1           |         |           |
| 1750 Spending auth from offsetting collections, disc (total) .....        | 1           |         |           |
| 1900 Budget authority (total) .....                                       | 20          | 20      | 21        |
| 1930 Total budgetary resources available .....                            | 20          | 21      | 22        |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                     | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                                       |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                     | 3           | 2       | 4         |
| 3010 Obligations incurred, unexpired accounts .....                       | 19          | 20      | 21        |
| 3020 Outlays (gross) .....  | –20         | –18     | –20       |
| 3050 Unpaid obligations, end of year .....                                | 2           | 4       | 5         |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....                               | 3           | 2       | 4         |
| 3200 Obligated balance, end of year .....                                 | 2           | 4       | 5         |
| <b>Budget authority and outlays, net:</b>                                 |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....  | 20          | 20      | 21        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....                       | 18          | 18      | 19        |

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 62-0100-0-1-805   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 4011 Outlays from discretionary balances .....  | 2           | .....   | 1         |
| 4020 Outlays, gross (total) .....   | 20          | 18      | 20        |
| Offsets against gross budget authority and outlays:<br>Offsetting collections (collected) from: |             |         |           |
| 4030 Federal sources .....  | -1          | .....   | .....     |
| 4180 Budget authority, net (total) .....  | 19          | 20      | 21        |
| 4190 Outlays, net (total) .....   | 19          | 18      | 20        |

The Office of Special Counsel (OSC): 1) investigates Federal employee and applicant allegations of prohibited personnel practices (including reprisal for whistleblowing) and other activities prohibited by civil service law, and when appropriate, prosecutes before the Merit Systems Protection Board (MSPB); 2) provides a safe channel for whistleblowing by Federal employees and applicants; 3) investigates and enforces the Uniform Services Employment and Reemployment Rights Act (USERRA); and 4) advises on and enforces the Hatch Act. OSC may transmit whistleblower allegations to the agency head concerned and require an agency investigation. OSC then submits a report to the Congress and the President when appropriate.

Overall in 2012 a record 4,796 cases were submitted to OSC for assistance or action by Federal employees and other persons, an increase of 19 percent over the previous year. Of this total, 2,969 were prohibited personnel practice cases, an increase of almost 15 percent from the prior year. In 2012, OSC resolved 4,374 matters, 11 percent more than it had just two years prior, and more than any one-year in the agency's 35-year history. At the same time, OSC also obtained 159 favorable actions on behalf of whistleblowers and the merit system, an 89 percent increase over the prior year, and an all-time high. OSC also obtained 34 corrective actions in response to Hatch Act complaints of prohibited political activity in the public workplace, and provided guidance in response to thousands of requests for assistance during an election year.

During 2012, OSC further increased its efficiency and capacity for resolving cases by enhancing its mediation program. A record 18 cases were successfully resolved through alternative dispute resolution, an alternative to costly and time-consuming investigations. During 2012, OSC's Disclosure Unit, which processed and closed a record 1,053 whistleblower disclosures of fraud, waste and abuse, referred 36 disclosures to the President and Congress—an increase of 63% over the prior year. OSC also received a record 176 USERRA cases in 2012, and achieved employment relief or other corrective action on behalf of returning service members in approximately 25% of resolved cases.

| Case type                                      | Case Received<br>2012 | Case Process<br>2012 |
|--|-----------------------|----------------------|
| Prohibited personnel practice complaints ..... | 2,969                 | 2,750                |
| Hatch Act complaints .....                     | 503                   | 449                  |
| Whistleblower disclosures .....                | 1,148                 | 1,053                |
| USERRA cases .....                             | 176                   | 122                  |
| Totals .....                                   | 4,796                 | 4,374                |

For 2013, OSC projects intakes for whistleblower disclosure, Hatch Act, and prohibited personnel practice cases will increase 14 percent, on top of the 19% increase in FY 2012. OSC's caseload will continue to increase in light of Congressional enactment of the Whistleblower Protection Enhancement Act, which passed on November 28, 2012. This law expands OSC's jurisdiction to investigate allegations of whistleblower reprisal, and places new mandates on OSC to investigate and correct instances of retaliation in the Federal government. Successful implementation of

the law and strong protections for whistleblowers will help to curb instances of waste, fraud, and abuse in government operations.

Overall, the funding requested for 2014 will enable OSC to implement new mandates from Congress, including the Whistleblower Protection Enhancement Act, protect the employment rights of returning service members, manage historically high intake levels, and protect the federal merit system from prohibited personnel and political practices.

## Object Classification (in millions of dollars)

| Identification code 62-0100-0-1-805                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 13          | 13      | 13        |
| 12.1 Civilian personnel benefits .....                 | 4           | 3       | 4         |
| 23.1 Rental payments to GSA .....                      | 1           | 2       | 2         |
| 25.2 Other services from non-Federal sources .....     | 1           | 2       | 2         |
| 99.9 Total new obligations .....                       | 19          | 20      | 21        |

## Employment Summary

| Identification code 62-0100-0-1-805                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 114         | 109     | 120       |

OFFICE OF THE FEDERAL COORDINATOR FOR  
ALASKA NATURAL GAS TRANSPORTATION  
PROJECTS

## Federal Funds

OFFICE OF THE FEDERAL COORDINATOR FOR ALASKA NATURAL GAS  
TRANSPORTATION PROJECTS

*For necessary expenses for the Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects pursuant to the Alaska Natural Gas Pipeline Act of 2004, \$1,000,000, to remain available until expended: Provided, That any fees, charges, or commissions received pursuant to section 802 of Public Law 110-140 in fiscal year 2014 in excess of \$2,402,000 shall not be available for obligation until appropriated in a subsequent Act of Congress.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-2850-0-1-271   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | .....       | .....   | .....     |
| Receipts:   |             |         |           |
| 0220 Fees, Charges, and Commissions .....   | .....       | 2       | 2         |
| 0400 Total: Balances and collections .....  | .....       | 2       | 2         |
| Appropriations:   |             |         |           |
| 0500 Office of the Federal Coordinator for Alaska Natural Gas<br>Transportation ..... | .....       | -2      | -2        |
| 0799 Balance, end of year .....   | .....       | .....   | .....     |

## Program and Financing (in millions of dollars)

| Identification code 95-2850-0-1-271                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                      |             |         |           |
| 0001 Coordination and review .....                    | 3           | 3       | 3         |
| Budgetary Resources:                                  |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 2           | .....   | .....     |



|                                |   |   |   |
|--------------------------------|---|---|---|
| Budget authority:              |   |   |   |
| Appropriations, discretionary: |   |   |   |
| 1100                           | Appropriation .....                         | 1 | 1 |
| 1160                           | Appropriation, discretionary (total) .....  | 1 | 1 |
| Appropriations, mandatory:     |   |   |   |
| 1201                           | Appropriation (special or trust fund) ..... | 2 | 2 |
| 1260                           | Appropriations, mandatory (total) .....     | 2 | 2 |
| 1900                           | Budget authority (total) .....              | 3 | 3 |
| 1930                           | Total budgetary resources available .....   | 3 | 3 |

**Change in obligated balance:**

|                               |  |    |    |
|-------------------------------|--|----|----|
| Unpaid obligations:           |  |    |    |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... | 1  | 1  |
| 3010                          | Obligations incurred, unexpired accounts .....   | 3  | 3  |
| 3020                          | Outlays (gross) .....                            | -2 | -3 |
| 3050                          | Unpaid obligations, end of year .....            | 1  | 1  |
| Memorandum (non-add) entries: |  |    |    |
| 3100                          | Obligated balance, start of year .....           | 1  | 1  |
| 3200                          | Obligated balance, end of year .....             | 1  | 1  |

**Budget authority and outlays, net:**

|                 |  |   |   |
|-----------------|--|---|---|
| Discretionary:  |  |   |   |
| 4000            | Budget authority, gross .....                  | 1 | 1 |
| Outlays, gross: |  |   |   |
| 4010            | Outlays from new discretionary authority ..... | 1 | 1 |
| 4011            | Outlays from discretionary balances .....      | 1 | 1 |
| 4020            | Outlays, gross (total) .....                   | 2 | 1 |
| Mandatory:      |  |   |   |
| 4090            | Budget authority, gross .....                  | 2 | 2 |
| Outlays, gross: |  |   |   |
| 4100            | Outlays from new mandatory authority .....     | 2 | 2 |
| 4180            | Budget authority, net (total) .....            | 1 | 3 |
| 4190            | Outlays, net (total) .....                     | 2 | 3 |

The Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects (OFC), established by Public Law 108-324, is an independent agency in the Executive Branch, pursuant to the Alaska Natural Gas Pipeline Act of 2004. The Federal Coordinator is responsible for coordinating all Federal activities for an Alaska natural gas transportation project, including joint surveillance and monitoring with the State of Alaska during construction of a project and for one year following the completion of the project. An Alaska natural gas transportation project could deliver significant natural gas supply to the U.S. lower 48 states.

The five main roles of the OFC are: (1) coordinate the expeditious discharge of all activities by all Federal agencies with respect to an Alaska natural gas transportation project; (2) ensure compliance of a project with either ANGPA or ANGTA; (3) ensure that implementation or enforcement actions do not exceed the limitations established in ANGPA; (4) provide a liaison function to ensure adequate communication with Congress, State of Alaska, Federal and Canadian agencies; and (5) enter into a joint surveillance and monitoring agreement with the State of Alaska for the purpose of monitoring the construction of the Project.

**Object Classification** (in millions of dollars)

|                                     |   |   |   |
|-------------------------------------|---|---|---|
| Identification code 95-2850-0-1-271 |   |   |   |
| Direct obligations:                 |   |   |   |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 1 | 1 |
| 23.1                                | Rental payments to GSA .....                      | 1 | 1 |
| 25.2                                | Other services from non-Federal sources .....     | 1 | 1 |
| 99.9                                | Total new obligations .....                       | 3 | 3 |

**Employment Summary**

|                                     |   |   |   |
|-------------------------------------|---|---|---|
| Identification code 95-2850-0-1-271 |   |   |   |
| 1001                                | Direct civilian full-time equivalent employment ..... | 8 | 4 |

**OTHER COMMISSIONS AND BOARDS****Federal Funds****COMMISSION FOR THE PRESERVATION OF AMERICA'S HERITAGE ABROAD****SALARIES AND EXPENSES**

*For necessary expenses for the Commission for the Preservation of America's Heritage Abroad, \$690,150, as authorized by section 1303 of Public Law 99-83.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

|   |   |   |   |
|---|---|---|---|
| Identification code 95-9911-0-1-999     |   |   |   |
| <b>Obligations by program activity:</b> |   |   |   |
| 0001                                    | Direct program activity .....                   | 1 | 1 |
| 0900                                    | Total new obligations (object class 25.2) ..... | 1 | 1 |

**Budgetary Resources:**

|                                |  |   |   |
|--------------------------------|--|---|---|
| Unobligated balance:           |  |   |   |
| 1000                           | Unobligated balance brought forward, Oct 1 ..... | 1 | 1 |
| Budget authority:              |  |   |   |
| Appropriations, discretionary: |  |   |   |
| 1100                           | Appropriation .....                              | 1 | 1 |
| 1160                           | Appropriation, discretionary (total) .....       | 1 | 1 |
| 1930                           | Total budgetary resources available .....        | 2 | 2 |
| Memorandum (non-add) entries:  |  |   |   |
| 1941                           | Unexpired unobligated balance, end of year ..... | 1 | 1 |

**Change in obligated balance:**

|                     |  |    |    |
|---------------------|--|----|----|
| Unpaid obligations: |  |    |    |
| 3010                | Obligations incurred, unexpired accounts ..... | 1  | 1  |
| 3020                | Outlays (gross) .....                          | -1 | -1 |

**Budget authority and outlays, net:**

|                 |  |   |   |
|-----------------|--|---|---|
| Discretionary:  |  |   |   |
| 4000            | Budget authority, gross .....                  | 1 | 1 |
| Outlays, gross: |  |   |   |
| 4010            | Outlays from new discretionary authority ..... | 1 | 1 |
| 4180            | Budget authority, net (total) .....            | 1 | 1 |
| 4190            | Outlays, net (total) .....                     | 1 | 1 |

The Other Commissions and Boards account presents data on small independent commissions and other entities on a consolidated basis.

This consolidated account includes the request for the Commission for the Preservation of America's Heritage Abroad, which helps preserve cultural sites associated with the foreign heritage of Americans by identifying properties, negotiating U.S. agreements with foreign governments, and facilitating private restoration, preservation, and memorialization efforts.

**Employment Summary**

|                                     |   |   |   |
|-------------------------------------|---|---|---|
| Identification code 95-9911-0-1-999 |   |   |   |
| 1001                                | Direct civilian full-time equivalent employment ..... | 1 | 3 |

# **PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND**

## **Federal Funds**

### **PAYMENT TO THE PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND**

#### **Program and Financing** (in millions of dollars)

| Identification code 95–1299–0–1–552                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 General Fund Payment .....                      | 150         | 150     | 150       |
| 0900 Total new obligations (object class 94.0) ..... | 150         | 150     | 150       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 150         | 150     | 150       |
| 1260 Appropriations, mandatory (total) .....         | 150         | 150     | 150       |
| 1930 Total budgetary resources available .....       | 150         | 150     | 150       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 150         | 150     | 150       |
| 3020 Outlays (gross) .....                           | –150        | –150    | –150      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 150         | 150     | 150       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 150         | 150     | 150       |
| 4180 Budget authority, net (total) .....             | 150         | 150     | 150       |
| 4190 Outlays, net (total) .....                      | 150         | 150     | 150       |

This fund exists for issuance of general fund appropriations to the Patient-Centered Outcomes Research Trust Fund. In accordance with Public Law 111–148, annual appropriations will continue through 2019.

## **Trust Funds**

### **PATIENT-CENTERED OUTCOMES RESEARCH TRUST FUND**

#### **Special and Trust Fund Receipts** (in millions of dollars)

| Identification code 95–8299–0–7–552   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0200 Fees on Health Insurance and Self-insured Health Plans, PCORTF .....               |             | 178     | 365       |
| 0240 Interest Received by Trust Funds, PCORTF .....                                     |             |         | 1         |
| 0241 Payment from the General Fund, Patient-Centered Outcomes Research Trust Fund ..... | 150         | 150     | 150       |
| 0242 Transfers from FHI Trust Fund, PCORTF .....  |             | 25      | 50        |
| 0243 Transfers from FSMI Trust Fund, PCORTF .....                                       |             | 27      | 57        |
| 0299 Total receipts and collections .....   | 150         | 380     | 623       |
| 0400 Total: Balances and collections .....  | 150         | 380     | 623       |
| Appropriations:   |             |         |           |
| 0500 Patient-Centered Outcomes Research Trust Fund .....                                | –150        | –380    | –623      |
| 0799 Balance, end of year .....   |             |         |           |

#### **Program and Financing** (in millions of dollars)

| Identification code 95–8299–0–7–552     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |             |         |           |
| 0001 Payment to PCORI .....             | 120         | 304     | 498       |
| 0002 Transfer to HHS .....              | 30          | 76      | 125       |
| 0799 Total direct obligations .....     | 150         | 380     | 623       |
| 0801 Reimbursable Collections .....     |             | 120     |           |
| 0900 Total new obligations .....        | 150         | 500     | 623       |

#### **Budgetary Resources:**

|  |     |     |     |
|--|-----|-----|-----|
| Budget authority:  |     |     |     |
| Appropriations, mandatory:   |     |     |     |
| 1201 Appropriation (special or trust fund) .....                   | 150 | 380 | 623 |
| 1260 Appropriations, mandatory (total) .....                       | 150 | 380 | 623 |
| Spending authority from offsetting collections, mandatory:         |     |     |     |
| 1800 Collected .....   |     | 120 |     |
| 1850 Spending auth from offsetting collections, mand (total) ..... |     | 120 |     |
| 1900 Budget authority (total) .....                                | 150 | 500 | 623 |
| 1930 Total budgetary resources available .....                     | 150 | 500 | 623 |

#### **Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 41   |      | 349  |
| 3010 Obligations incurred, unexpired accounts .....   | 150  | 500  | 623  |
| 3020 Outlays (gross) .....                            | –191 | –151 | –325 |
| 3050 Unpaid obligations, end of year .....            |      | 349  | 647  |
| Memorandum (non-add) entries:                         |      |      |      |
| 3100 Obligated balance, start of year .....           | 41   |      | 349  |
| 3200 Obligated balance, end of year .....             |      | 349  | 647  |

#### **Budget authority and outlays, net:**

|   |     |      |     |
|---|-----|------|-----|
| Mandatory:  |     |      |     |
| 4090 Budget authority, gross .....                  | 150 | 500  | 623 |
| Outlays, gross:                                     |     |      |     |
| 4100 Outlays from new mandatory authority .....     | 150 | 151  | 220 |
| 4101 Outlays from mandatory balances .....          | 41  |      | 105 |
| 4110 Outlays, gross (total) .....                   | 191 | 151  | 325 |
| Offsets against gross budget authority and outlays: |     |      |     |
| Offsetting collections (collected) from:            |     |      |     |
| 4123 Non-Federal sources .....                      |     | –120 |     |
| 4180 Budget authority, net (total) .....            | 150 | 380  | 623 |
| 4190 Outlays, net (total) .....                     | 191 | 31   | 325 |

#### **Memorandum (non-add) entries:**

|  |    |     |     |
|--|----|-----|-----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 41 |     | 349 |
| 5001 Total investments, EOY: Federal securities: Par value ..... |    | 349 | 646 |

Public Law 111–148 authorized the establishment of the Patient-Centered Outcomes Research Trust Fund (PCORTF) to receive amounts from general fund appropriations, fees on health insurance and self-insured plans, transfers from the Federal Hospital Insurance and Federal Supplementary Medical Insurance Trust Funds, and interest earned on investments. Amounts appropriated or credited to the PCORTF are available to the Patient-Centered Outcomes Research Institute and the Secretary of Health and Human Services for carrying out part D of Title XI of the Social Security Act and section 937 of the Public Health Service Act, respectively.

#### **Object Classification** (in millions of dollars)

| Identification code 95–8299–0–7–552                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 94.0 Direct obligations: Financial transfers ..... | 150         | 380     | 623       |
| 99.0 Reimbursable obligations .....                |             | 120     |           |
| 99.9 Total new obligations .....                   | 150         | 500     | 623       |

## **POSTAL SERVICE**

### **Federal Funds**

#### **PAYMENT TO THE POSTAL SERVICE FUND**

*For payment to the Postal Service Fund for revenue forgone on free and reduced rate mail, pursuant to subsections (c) and (d) of section 2401 of title 39, United States Code, \$70,751,000 which shall not be available for obligation until October 1, 2014: Provided, That mail for overseas voting and mail for the blind shall continue to be free: Provided further, That none of the funds made available to the Postal Service by this Act shall be used to implement any rule, regulation, or policy of charging any officer or employee of any State or local child support enforcement agency, or any individual participating in a State or local program of child support enforcement, a fee for information requested or provided concerning an ad-*

dress of a postal customer: Provided further, That none of the funds provided in this Act shall be used to consolidate or close small rural and other small post offices .

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 18–1001–0–1–372                      | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                  |             |         |           |
| 0004 Advance Appropriation from the previous year .....  | 74          | 78      | 78        |
| 0900 Total new obligations (object class 41.0) .....     | 74          | 78      | 78        |
| <b>Budgetary Resources:</b>                              |             |         |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                           |             |         |           |
| Advance appropriations, discretionary:                   |             |         |           |
| 1170 Advance appropriation .....                         | 74          | 78      | 78        |
| 1180 Advanced appropriation, discretionary (total) ..... | 74          | 78      | 78        |
| 1900 Budget authority (total) .....                      | 74          | 78      | 78        |
| 1930 Total budgetary resources available .....           | 74          | 78      | 78        |
| <b>Change in obligated balance:</b>                      |             |         |           |
| Unpaid obligations:                                      |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....      | 74          | 78      | 78        |
| 3020 Outlays (gross) .....                               | –74         | –78     | –78       |
| <b>Budget authority and outlays, net:</b>                |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                       | 74          | 78      | 78        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....      | 74          | 78      | 78        |
| 4180 Budget authority, net (total) .....                 | 74          | 78      | 78        |
| 4190 Outlays, net (total) .....                          | 74          | 78      | 78        |

The Budget reflects \$78,153,000 for Payment to the Postal Service Fund in 2014. This amount represents an advance appropriation from 2013 for the 2013 costs and the 2010 reconciliation adjustment for free mail for the blind and overseas voting. These resources were made available to the U.S. Postal Service in 2013 (pursuant to P.L. 112–175, the Consolidated Appropriations Resolution, 2013).

In addition, the Budget proposes \$70,751,000 as an advance appropriation for 2015 for the 2014 costs (\$71,800,000) and the 2011 reconciliation adjustment (–\$1,049,000) for actual mail volume of free mail for the blind and overseas voting costs.

Pursuant to Public Law 93–328, the 2014 appropriation request of the U.S. Postal Service for Payment to the Postal Service Fund is \$63,235,000. This amount includes \$64,284,000 requested for free mail for the blind and overseas voting and –\$1,049,000 as reconciliation adjustment for 2011 actual mail volume of free mail for the blind and overseas voting.

#### POSTAL SERVICE FUND

#### Program and Financing (in millions of dollars)

| Identification code 18–4020–0–3–372                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0801 Postal field operations .....                   | 47,805      | 46,302  | 45,460    |
| 0802 Transportation .....                            | 6,630       | 6,650   | 6,906     |
| 0803 Building occupancy .....                        | 1,906       | 1,922   | 1,946     |
| 0804 Supplies and services .....                     | 2,328       | 2,498   | 2,386     |
| 0805 Research and development .....                  | 17          | 17      | 17        |
| 0806 Administration and area operations .....        | 8,400       | 12,234  | 12,469    |
| 0807 Interest .....                                  | 191         | 193     | 195       |
| 0808 Servicewide expenses .....                      | 115         | 116     | 117       |
| 0809 Reimbursable program activities, subtotal ..... | 67,392      | 69,932  | 69,496    |

|                                  |        |        |        |
|----------------------------------|--------|--------|--------|
| 0810 Capital Investment .....    | 673    | 1,000  | 2,334  |
| 0900 Total new obligations ..... | 68,065 | 70,932 | 71,830 |

#### Budgetary Resources:

|   |        |        |        |
|---|--------|--------|--------|
| Unobligated balance:  |        |        |        |
| 1000 Unobligated balance brought forward, Oct 1 .....   |        | 256    |        |
| Budget authority:   |        |        |        |
| Borrowing authority, mandatory:   |        |        |        |
| 1400 Borrowing authority .....  | 2,000  |        |        |
| 1440 Borrowing authority, mandatory (total) .....   | 2,000  |        |        |
| Spending authority from offsetting collections, discretionary:                                    |        |        |        |
| 1700 Collected .....  |        | 257    | 255    |
| 1710 Transferred to other accounts [18–0100] .....  |        | –241   | –241   |
| 1710 Transferred to other accounts [18–0200] .....  |        | –14    | –14    |
| 1750 Spending auth from offsetting collections, disc (total) .....                                |        | 2      |        |
| Spending authority from offsetting collections, mandatory:  |        |        |        |
| 1800 Collected .....  | 66,576 | 64,284 | 64,642 |
| 1810 Spending authority from offsetting collections transferred to other accounts [18–0100] ..... | –241   |        |        |
| 1810 Spending authority from offsetting collections transferred to other accounts [18–0200] ..... | –14    |        |        |
| 1850 Spending auth from offsetting collections, mand (total) .....                                | 66,321 | 64,284 | 64,642 |
| 1900 Budget authority (total) .....   | 68,321 | 64,286 | 64,642 |
| 1930 Total budgetary resources available .....  | 68,321 | 64,542 | 64,642 |
| Memorandum (non-add) entries:   |        |        |        |
| 1941 Unexpired unobligated balance, end of year .....   | 256    |        |        |

#### Change in obligated balance:

|   |         |         |         |
|---|---------|---------|---------|
| Unpaid obligations:                                   |         |         |         |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 671     |         | –1,269  |
| 3010 Obligations incurred, unexpired accounts .....   | 68,065  | 70,932  | 71,830  |
| 3020 Outlays (gross) .....                            | –68,736 | –72,201 | –71,623 |
| 3050 Unpaid obligations, end of year .....            |         | –1,269  | –1,062  |
| Memorandum (non-add) entries:                         |         |         |         |
| 3100 Obligated balance, start of year .....           | 671     |         | –1,269  |
| 3200 Obligated balance, end of year .....             |         | –1,269  | –1,062  |

#### Budget authority and outlays, net:

|  |         |         |         |
|--|---------|---------|---------|
| Discretionary:   |         |         |         |
| 4000 Budget authority, gross .....                                   |         | 2       |         |
| Outlays, gross:  |         |         |         |
| 4010 Outlays from new discretionary authority .....                  |         | 2       |         |
| Mandatory:   |         |         |         |
| 4090 Budget authority, gross .....                                   | 68,321  | 64,284  | 64,642  |
| Outlays, gross:  |         |         |         |
| 4100 Outlays from new mandatory authority .....                      | 68,065  | 72,199  | 71,623  |
| 4101 Outlays from mandatory balances .....                           | 671     |         |         |
| 4110 Outlays, gross (total) .....                                    | 68,736  | 72,199  | 71,623  |
| Offsets against gross budget authority and outlays:                  |         |         |         |
| Offsetting collections (collected) from:                             |         |         |         |
| 4120 Federal sources .....   | –891    | –901    | –861    |
| 4121 Interest on Federal securities .....                            | –1      |         |         |
| 4123 Non-Federal sources .....                                       | –65,429 | –63,640 | –64,036 |
| 4130 Offsets against gross budget authority and outlays (total) .... | –66,321 | –64,541 | –64,897 |
| 4160 Budget authority, net (mandatory) .....                         | 2,000   | –257    | –255    |
| 4170 Outlays, net (mandatory) .....                                  | 2,415   | 7,658   | 6,726   |
| 4180 Budget authority, net (total) .....                             | 2,000   | –255    | –255    |
| 4190 Outlays, net (total) .....                                      | 2,415   | 7,660   | 6,726   |

#### Memorandum (non-add) entries:

|  |       |       |       |
|--|-------|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1,815 | 2,590 | 2,590 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 2,590 | 2,590 | 2,590 |

#### Unfunded deficiencies:

|   |  |        |         |
|---|--|--------|---------|
| 7000 Unfunded deficiency, start of year ..... |  |        | –6,390  |
| Change in deficiency during the year:         |  |        |         |
| 7010 New deficiency .....                     |  | –6,390 | –7,188  |
| 7020 Unfunded deficiency, end of year .....   |  | –6,390 | –13,578 |

#### Summary of Budget Authority and Outlays (in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Enacted/requested:                         |             |         |           |
| Budget Authority .....                     | 2,000       | –255    | –255      |
| Outlays .....                              | 2,415       | 7,660   | 6,726     |
| Amounts included in the adjusted baseline: |             |         |           |
| Outlays .....                              |             | –5,600  |           |

POSTAL SERVICE FUND—Continued  
Summary of Budget Authority and Outlays—Continued

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Legislative proposal, subject to PAYGO: |             |         |           |
| Outlays .....                           |             | -2,678  | -11,818   |
| Total:                                  |             |         |           |
| Budget Authority .....                  | 2,000       | -255    | -255      |
| Outlays .....                           | 2,415       | -618    | -5,092    |

The Postal Reorganization Act of 1970, Public Law 91-375, converted the Post Office Department into the U.S. Postal Service (USPS), an independent establishment within the executive branch. The Postal Service commenced operations July 1, 1971. This agency is charged with providing patrons with reliable mail service at reasonable rates and fees.

The U.S. Postal Service is governed by an 11-member Board of Governors, including nine Governors appointed by the President, a Postmaster General who is selected by the Governors, and a Deputy Postmaster General who is selected by the Governors and the Postmaster General.

Effective in 1986, the Postal Service Fund (Fund) was included in the congressional and executive budget process and taken into account in making calculations under the Balanced Budget and Emergency Deficit Control Act of 1985 (Gramm-Rudman-Hollings). The Omnibus Budget Reconciliation Act of 1989 amended title 39 of the U.S. Code by adding a new section, 2009a, which provides that, beginning in 1990, the receipts and disbursements of the Fund shall not be considered as part of the congressional and executive budget process and shall not be taken into account in making calculations under Gramm-Rudman-Hollings.

**Programs.**—Included are all postal activities providing window services; processing, delivery, and transportation of mail; research and development; administration of postal field activities; and associated expenses of providing facilities and equipment.

The Postal Accountability and Enhancement Act (P.L. 109-435), was signed by the President on December 20, 2006. The Act made a number of changes affecting the operations and oversight of the Postal Service. The Act provided for separate accounting and reporting for Postal Service activities related to: (1) products where the Postal Service dominates the market; and (2) products where the Postal Service is in a competitive market. The Act amended the process for determining rate increases for market-dominant products, in part by imposing a limitation on rate increases for at least the next 10 years linked to the Consumer Price Index for All Urban Consumers (CPI-U). This was intended to provide the Postal Service with pricing flexibility and ratepayers with a degree of rate predictability. The Act also replaced the Postal Rate Commission with a Postal Regulatory Commission with expanded authorities, including subpoena powers.

**Financing.**—The activities of the U.S. Postal Service are financed from the following sources: (1) mail and services revenue; (2) reimbursements from Federal and non-Federal sources; (3) proceeds from borrowing; (4) interest from U.S. securities and other investments; and (5) appropriations by the Congress. All receipts and deposits are made to the Postal Service Fund and are available without fiscal year limitation for payment of all expenses incurred, retirement of obligations, investment in capital assets, and investment in obligations and securities.

Separate legislation also increased the Postal Service's statutory borrowing authority beginning in 1991. Section 2005 of title 39, United States Code, as amended, increased the Postal Service's borrowing authority by \$2.5 billion in 1991 for a revised ceiling of \$12.5 billion and an additional \$2.5 billion in 1992 for a revised total ceiling of \$15 billion. The total annual increase in net out-

standing debt was also increased to annually grow by up to \$2.0 billion in obligations issued for the purpose of capital improvements and by \$1.0 billion for the purpose of paying operating expenses. P.L. 109-435 removed the separate limitations on borrowing for capital improvements and operating expenses so that under the \$15 billion debt cap, the annual increase in outstanding debt cannot now exceed a combined total of \$3.0 billion. As of September 30, 2012 the total debt instruments issued and outstanding pursuant to this authority amounts to the full \$15 billion.

**Operating.**—According to USPS estimates, revenue will total approximately \$65 billion in 2014. Total expenses are estimated at approximately \$72 billion in 2014.

The Postal Reorganization Act of 1970 established the Postal Service as a self-sufficient, independent entity. Postal revenues were to cover the full costs of postal operations. When the Act was passed, the Postal Service received substantial taxpayer subsidies, both appropriated and unappropriated. Consistent with the intent of the 1970 Act, the Congress has taken steps over time to reduce these subsidies, particularly by requiring the Postal Service to assume greater portions of its personnel-related costs. Since 1982 the Postal Service had not received any public-service appropriations. At the end of 2011, the Postal Service employed 645,950 persons. Under the 1974 Civil Service Retirement Fund Postal Employee Benefits Act, the Postal Service assumed responsibility for paying unfunded retirement costs from wage schedule increases under Postal labor contracts that are not covered by normal employee/employer contributions to the retirement fund. The 1985 Reconciliation Act shifted responsibility for paying health benefit costs of Postal annuitants retiring after 1986 from the Office of Personnel Management (OPM) to the Postal Service. The 1987 Reconciliation Act had the Postal Service make one-time payments to defray annuitant health benefit costs in 1988 and 1989, and retirement COLA costs in 1988. (Retirement COLAs, like wage schedule increases, result in retirement liabilities not covered by normal retirement fund contributions.) Under the 1989 Reconciliation Act, the Postal Service assumed responsibility for paying health benefits of survivors of post-86 annuitants and unfunded retirement COLA liabilities for post-86 annuitants.

The Omnibus Budget Reconciliation Act of 1990 superseded certain existing legislation and expanded the Postal Service's responsibility for benefit costs of Postal annuitants. Effective October 1, 1990, the Postal Service was required to fund Civil Service Retirement System (CSRS) COLAs and the employer's share of Federal Employees Health Benefits Program (FEHBP) premiums for Postal annuitants who retired after June 30, 1971, and their survivors. In addition, the Postal Service was required to fund the retroactive CSRS COLA and FEHBP premium costs for which the Postal Service would have been liable if the provisions of this new legislation had been in effect as of July 1, 1971.

Under the Omnibus Reconciliation Act of 1993, the Postal Service was required to make certain payments for past COLAs and health benefits, over and above any other payments required by law. This amounted to \$693 million to the Civil Service Retirement and Disability Fund, and \$348 million to the Employees Health Benefits Fund. These two amounts were made in three equal annual installments, beginning in fiscal year 1996.

The Balanced Budget Act of 1997 repealed the authorization for transitional appropriations to the Postal Service which had funded the liabilities of the former Post Office Department to the Employees' Compensation Fund. Effective October 1, 1997, these remaining claims became liabilities of the Postal Service payable out of the Postal Service Fund.

Early in 2003, OPM determined that, at the then-current rate of funding, the Postal Service would pay substantially more than needed to fund the estimated future benefits of postal employees and retirees participating in the Civil Service Retirement System. This projected over-funding resulted from interest earned by the fund in excess of the assumed statutory rate of five percent. As a result, the Administration proposed and the Congress passed CSRS reform legislation that was enacted on April 23, 2003 (P.L. 108–18). The provisions of P.L. 108–18 eliminated all future retirement liability payments related to general wage increases and the retirement COLA payments, and the Postal Service became responsible for the Civil Service retirement obligations related to military service of Postal Service employees. In addition, the Postal Service funded CSRS retirement benefits at 17.4 percent of current CSRS employees' wages, beginning in May 2003. This was a dynamic funding requirement, not a static requirement, thus employer contributions could change based on interest earnings and amounts that are needed to fund the full cost of the future benefit. Annually, OPM was directed to calculate the amount of any potential supplemental retirement liability and the Postal Service was required to fund any such liability in annual payments through September 30, 2043.

P.L. 109–435 created the Postal Service Retiree Health Benefits (RHB) Fund to put the Postal Service on a path that fully funds its substantial retiree (annuitant) health benefits liabilities. This new Fund receives from the Postal Service: 1) The pension savings provided to the Postal Service by the Postal Civil Service Retirement System Funding Reform Act of 2003 (P.L. 108–18) that were held in escrow during 2006; 2) A 10-year stream of payments defined within P.L. 109–435 to begin the liquidation of the Postal Services unfunded liability for post-retirement health benefits; 3) Beginning in 2017, payments for the actuarial cost of Postal Service contributions for the post-retirement health benefits for its current employees; 4) Beginning in 2017, a 40-year amortization payment to fund any remaining unfunded liabilities associated with post-retirement health benefits of USPS employees; and 5) The surplus resources of the Civil Service Retirement and Disability Fund that are not needed to finance future retirement benefits under CSRS to current or former employees of the Postal Service that are attributable to civilian employment with the Postal Service, including the savings from shifting the responsibility for retirement credit related to military service from the Postal Service to the Treasury (effectively eliminating the need for the dynamic CSRS funding payments and supplemental liability payments noted in the previous paragraph). As a result, beginning in 2017, the Postal Service will no longer pay annual premiums for its post-1971 annuitants. Instead, these premium payments will be paid from the Postal Service Retiree Health Benefit Fund. Payments for the portion of the premium costs of Postal Service annuitants pre-1971 service will continue to be paid by the General Fund of the Treasury through the Government Payment for Annuitants, Employees Health Benefits account.

Section 164 of Division B of P.L. 111–68, the Continuing Appropriations Resolution, 2010, reduced the 2009 amount USPS was required to contribute toward the liquidation of its post-retirement health benefits liability (item 2 in the preceding paragraph) from \$5.4 billion to \$1.4 billion. This reduction had the effect of increasing the size of 40-year amortization payment for the remaining unfunded liability that USPS is required to make starting in 2017 (item 4 in the preceding paragraph).

Section 623 of Division C of P.L. 112–74, the Consolidated Appropriations Act, 2012, amended Title 5, United States Code by striking the date specified in Sec. 8909a(d)(3)(A)(v) of September

30, 2011 and inserting August 1, 2012 for the scheduled payment of \$5.5 billion to the Postal Service Retiree Health Benefit (RHB) Fund. However, the Postal Service was unable to make any payments on its \$11.1 billion in scheduled RHB payments due in FY 2012.

In its June 2012 notification letter to the White House and the Congress that it would not make two statutory RHB prefunding payments due in 2012, the USPS also indicated that, absent changes to its financial forecast (largely from legislative action), it would likely default on a \$5.6 billion RHB prefunding payment due September 30, 2013. The USPS has since confirmed this likelihood. As such, the Budget includes two baselines to address this. The baseline required under Section 257 of the Balanced Budget and Emergency Deficit Control Act of 1985 (BBEDCA), as amended, reflects the 2013 RHB payment being made as required under current law. An adjusted baseline, which appears in the Budget, reflects adjustments to the BBEDCA baseline to account for the more realistic assumption that the USPS will not make its 2013 payment, as it has indicated in writing.

#### Statement of Annual Operations (estimates per USPS and on an accrual accounting basis)

|  | 2011 actual | 2012 actual | 2013 est. | 2014 est. |
|--|-------------|-------------|-----------|-----------|
| Revenue .....                                | 65,739      | 65,248      | 64,541    | 64,897    |
| Expense .....                                | -70,806     | -81,154     | -72,454   | -71,878   |
| Net income or loss from operations (-) ..... | (5,067)     | (15,906)    | (7,913)   | (6,981)   |

#### Object Classification (in millions of dollars)

| Identification code 18-4020-0-3-372                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Reimbursable obligations:                                       |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 27,740      | 26,722  | 25,985    |
| 11.3 Other than full-time permanent .....                       | 4,341       | 4,168   | 4,099     |
| 11.5 Other personnel compensation .....                         | 4,175       | 4,020   | 3,905     |
| 11.9 Total personnel compensation .....                         | 36,256      | 34,910  | 33,989    |
| 12.1 Civilian personnel benefits .....                          | 15,079      | 18,129  | 18,257    |
| 13.0 Benefits for former personnel .....                        | 2,828       | 3,185   | 3,372     |
| 21.0 Travel and transportation of persons .....                 | 119         | 113     | 112       |
| 22.0 Transportation of things .....                             | 7,249       | 7,264   | 7,530     |
| 23.1 Rental payments to GSA .....                               |             | 42      | 43        |
| 23.2 Rental payments to others .....                            | 940         | 1,020   | 1,041     |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 813         | 834     | 836       |
| 24.0 Printing and reproduction .....                            | 68          | 58      | 56        |
| 25.2 Other services from non-Federal sources .....              | 2,415       | 2,829   | 2,744     |
| 26.0 Supplies and materials .....                               | 1,484       | 1,257   | 1,222     |
| 31.0 Equipment .....  | 207         | 627     | 1,668     |
| 32.0 Land and structures .....                                  | 320         | 375     | 669       |
| 42.0 Insurance claims and indemnities .....                     | 95          | 96      | 97        |
| 43.0 Interest and dividends .....                               | 192         | 193     | 194       |
| 99.9 Total new obligations .....                                | 68,065      | 70,932  | 71,830    |

#### Employment Summary

| Identification code 18-4020-0-3-372                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 586,100     | 568,571 | 544,990   |

#### POSTAL SERVICE FUND

(Amounts included in the adjusted baseline)

#### Program and Financing (in millions of dollars)

| Identification code 18-4020-7-3-372                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Change in obligated balance:                          |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 5,600     |
| 3020 Outlays (gross) .....                            |             | 5,600   |           |
| 3050 Unpaid obligations, end of year .....            |             | 5,600   | 5,600     |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 5,600     |
| 3200 Obligated balance, end of year .....             |             | 5,600   | 5,600     |

**POSTAL SERVICE FUND—Continued**  
**Program and Financing—Continued**

| Identification code 18-4020-7-3-372             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budget authority and outlays, net:</b>       |             |         |           |
| Mandatory:                                      |             |         |           |
| Outlays, gross:                                 |             |         |           |
| 4100 Outlays from new mandatory authority ..... |             | -5,600  | .....     |
| 4190 Outlays, net (total) .....                 |             | -5,600  | .....     |

This account reflects adjustments to the baseline to reflect the realistic assumption that the United States Postal Service will not make its statutory \$5.6 billion payment to prefund retiree health benefits, which is due to the Office of Personnel Management's Postal Service Retiree Health Benefits Fund by September 30, 2013.

**POSTAL SERVICE FUND**  
(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 18-4020-4-3-372                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Postal field operations .....                                 | -2,600      | -4,430  |           |
| 0806 Administration and area operations .....                      | -73         | -7,378  |           |
| 0809 Reimbursable program activities, subtotal .....               | -2,673      | -11,808 |           |
| 0900 Total new obligations .....                                   | -2,673      | -11,808 |           |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              |             |         | 2,678     |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 5           | 10      |           |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 5           | 10      |           |
| 1900 Budget authority (total) .....                                | 5           | 10      |           |
| 1930 Total budgetary resources available .....                     | 5           | 2,688   |           |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 2,678       | 14,496  |           |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | -2,673      | -11,808 |           |
| 3020 Outlays (gross) .....   | 2,673       | 11,808  |           |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                                 | 5           | 10      |           |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                    | -2,673      | -11,808 |           |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4123 Non-Federal sources .....                                     | -5          | -10     |           |
| 4190 Outlays, net (total) .....                                    | -2,678      | -11,818 |           |

The Administration recognizes the enormous value of the Postal Service (USPS) to the Nation's commerce and communications, as well as the urgent need for reform to ensure the future viability of USPS. Therefore, the Budget proposes specific authorities to improve USPS efficiency and net revenue, along with financial relief measures, grounded in principles of fiscal responsibility as well as sound financial management. The Administration will work with the Congress and postal stakeholders to secure these necessary reforms.

As to the structure of relief, the Budget would first improve USPS financial condition by returning to USPS surplus amounts it has paid into its OPM account for its share of Federal Employee Retirement System costs, and require that OPM calculate these costs using factors specific to the demographics of the Postal Service workforce. OPM has determined this surplus, as of September 30, 2011 and based on government-wide demographic

assumptions, is approximately \$2.6 billion. Given the amount of time necessary for OPM to re-calculate this surplus for Postal-specific factors, the Budget would provide the current OPM calculation in 2013, and the remainder of any recalculated surplus in 2014 and 2015. Until OPM has re-calculated the surplus amount using Postal-specific factors, the Budget assumes as a placeholder a total surplus of \$11.5 billion, as estimated by the Postal Service Office of Inspector General in December 2012 (and based on USPS investment returns, salary growth rates, cost of living adjustments granted to Postal retirees, and Postal Service demographic trends).

Second, the Budget proposes to restructure USPS retiree health benefits payments that are currently specified in the Postal Accountability and Enhancement Act of 2006. This change would still prudently pre-fund retiree health liabilities, but on an accruing cost basis rather than the amounts fixed in current law. This restructuring would provide USPS with approximately \$10 billion in temporary financial relief through 2016. The Budget also proposes to codify the two missed RHB payments in 2012; although these amounts are incorporated in the 40-year amortization schedule starting in 2017, they currently remain as outstanding liabilities on the Postal Service financial statement in 2012. See the Office of Personnel Management section of this Appendix for more information on these aspects of the proposal.

In addition, the Budget proposes operational reforms that would do the following: 1) reduce USPS operating costs by giving USPS authority to reduce mail delivery frequency from six days to five days, starting in June 2013; 2) allow USPS to increase collaboration with State and local governments; and 3) give the USPS the ability to better align the costs of postage with the costs of mail delivery by permitting USPS Board of Governors to enact a modest one-time increase in postage rates among market-dominant products, such as first-class and standard mail.

All together, these reforms would provide USPS with over \$30 billion in cash relief, operational savings, and revenue through 2016, and produce PAYGO savings of \$23 billion over 11 years.

**Object Classification** (in millions of dollars)

| Identification code 18-4020-4-3-372    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>       |             |         |           |
| 12.1 Civilian personnel benefits ..... |             | -2,173  | -9,808    |
| 22.0 Transportation of things .....    |             | -500    | -2,000    |
| 99.9 Total new obligations .....       |             | -2,673  | -11,808   |

**UNSPECIFIED ADJUSTMENTS TO OPERATIONS**

**Program and Financing** (in millions of dollars)

| Identification code 18-9017-0-1-372                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | 7,660     |
| 3020 Outlays (gross) .....                            |             | 7,660   | 6,726     |
| 3050 Unpaid obligations, end of year .....            |             | 7,660   | 14,386    |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | 7,660     |
| 3200 Obligated balance, end of year .....             |             | 7,660   | 14,386    |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | -7,660  | -6,726    |
| 4190 Outlays, net (total) .....                       |             | -7,660  | -6,726    |

**Summary of Budget Authority and Outlays** (in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Enacted/requested:                          |             |         |           |
| Outlays .....                               |             | -7,660  | -6,726    |
| Amounts included in the adjusted baseline:  |             |         |           |
| Outlays .....                               |             | 5,600   |           |
| Legislative proposal, not subject to PAYGO: |             |         |           |
| Outlays .....                               |             | 972     | 1,822     |
| Legislative proposal, subject to PAYGO:     |             |         |           |
| Outlays .....                               |             | 1,087   | 4,904     |

This account includes unspecified adjustments to Postal operations that reflect the fact that the United States Postal Service (USPS) can only spend at amounts equal to its revenue and borrowing authority. For purposes of the Budget Baseline, the USPS is shown to operate at a break-even (i.e., revenues equal expenses) basis for 2013 and later years. This account is necessary because the USPS estimates of its revenues and expenses are unsustainable—estimated expenses far exceeded estimated revenues. The USPS fully exhausted its borrowing authority with the Department of the Treasury at the close of FY 2012. The Budget includes a legislative proposal that provides specific Postal financial relief and makes sustained reforms. The relief and reforms represent specific action the USPS would take, and would reduce the need for the unspecified adjustments contained in this account.

## UNSPECIFIED ADJUSTMENTS TO OPERATIONS

(Amounts included in the adjusted baseline)

**Program and Financing** (in millions of dollars)

| Identification code 18-9017-7-1-372                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | -5,600    |
| 3020 Outlays (gross) .....                            |             | -5,600  |           |
| 3050 Unpaid obligations, end of year .....            |             | -5,600  | -5,600    |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | -5,600    |
| 3200 Obligated balance, end of year .....             |             | -5,600  | -5,600    |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 5,600   |           |
| 4190 Outlays, net (total) .....                       |             | 5,600   |           |

This account reflects adjustments to the baseline to reflect the realistic assumption that the United States Postal Service will not make its statutory \$5.6 billion payment to prefund retiree health benefits, which is due to the Office of Personnel Management's Postal Service Retiree Health Benefits Fund by September 30, 2013.

## UNSPECIFIED ADJUSTMENTS TO OPERATIONS

(Legislative proposal, not subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 18-9017-2-1-372                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | -972      |
| 3020 Outlays (gross) .....                            |             | -972    | -1,822    |
| 3050 Unpaid obligations, end of year .....            |             | -972    | -2,794    |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | -972      |
| 3200 Obligated balance, end of year .....             |             | -972    | -2,794    |

**Budget authority and outlays, net:**

|   |     |       |  |
|---|-----|-------|--|
| Mandatory:                                      |     |       |  |
| Outlays, gross:                                 |     |       |  |
| 4100 Outlays from new mandatory authority ..... | 972 | 1,822 |  |
| 4190 Outlays, net (total) .....                 | 972 | 1,822 |  |

This schedule reflects the impact on the Unspecified Adjustments to Postal Operations account. This accounts for Postal financial relief and reform proposal to authorize the Postal Service to move from six- to five-day delivery and to permit USPS to enact a modest one-time increase in postage rates for market-dominant products.

## UNSPECIFIED ADJUSTMENTS TO OPERATIONS

(Legislative proposal, subject to PAYGO)

**Program and Financing** (in millions of dollars)

| Identification code 18-9017-4-1-372                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... |             |         | -1,087    |
| 3020 Outlays (gross) .....                            |             | -1,087  | -4,904    |
| 3050 Unpaid obligations, end of year .....            |             | -1,087  | -5,991    |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           |             |         | -1,087    |
| 3200 Obligated balance, end of year .....             |             | -1,087  | -5,991    |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       |             | 1,087   | 4,904     |
| 4190 Outlays, net (total) .....                       |             | 1,087   | 4,904     |

This schedule reflects the impact on the Unspecified Adjustments to Postal Operations account. This accounts for the Postal financial relief and reform proposal to refund to the Postal Service its Federal Employees Retirement System (FERS) surplus and to restructure Postal Service payments for retiree health benefits.

## OFFICE OF INSPECTOR GENERAL

## SALARIES AND EXPENSES

(INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Office of Inspector General in carrying out the provisions of the Inspector General Act of 1978, \$241,468,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(b)(3) of the Postal Accountability and Enhancement Act (Public Law 109-435): Provided, That unobligated balances remaining in this account on October 1, 2014, shall be transferred back to the Postal Service Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 18-0100-0-1-372                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Audit .....   | 75          | 76      | 76        |
| 0002 Investigations .....  | 166         | 166     | 166       |
| 0900 Total new obligations .....                                   | 241         | 242     | 242       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   |             | 1       | 1         |
| 1711 Transferred from other accounts [18-4020] .....               | 241         | 241     | 241       |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 241         | 242     | 242       |

OFFICE OF INSPECTOR GENERAL—Continued  
Program and Financing—Continued

| Identification code 18–0100–0–1–372                 | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 1930 Total budgetary resources available .....      | 241         | 242     | 242       |
| <b>Change in obligated balance:</b>                 |             |         |           |
| Unpaid obligations:                                 |             |         |           |
| 3010 Obligations incurred, unexpired accounts ..... | 241         | 242     | 242       |
| 3020 Outlays (gross) .....                          | –241        | –242    | –242      |
| <b>Budget authority and outlays, net:</b>           |             |         |           |
| Discretionary:                                      |             |         |           |
| 4000 Budget authority, gross .....                  | 241         | 242     | 242       |
| Outlays, gross:                                     |             |         |           |
| 4010 Outlays from new discretionary authority ..... | 241         | 242     | 242       |
| Offsets against gross budget authority and outlays: |             |         |           |
| Offsetting collections (collected) from:            |             |         |           |
| 4030 Federal sources .....                          | –1          | –1      | –1        |
| 4180 Budget authority, net (total) .....            | 241         | 241     | 241       |
| 4190 Outlays, net (total) .....                     | 241         | 241     | 241       |

United States Postal Service (USPS) Office of Inspector General (OIG) is an independent organization charged with reporting to Congress on the overall efficiency, effectiveness, and economy of USPS programs and operations. The OIG meets this responsibility by conducting audits, investigations, and other reviews. The OIG focuses on the prevention, identification, and elimination of 1) waste, fraud, and abuse; 2) violations of laws, rules, and regulations; and 3) inefficiencies in USPS programs and operations.

Pursuant to Public Law 109–435, the 2014 appropriation request of the Office of Inspector General of the U.S. Postal Service is \$241,468,000.

Section 603(b)(1) of Public Law 109–435 (Postal Accountability and Enhancement Act) authorizes appropriations for the Office of Inspector General out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the USPS Office of Inspector General spending from off-budget mandatory to off-budget discretionary.

## Object Classification (in millions of dollars)

| Identification code 18–0100–0–1–372                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Direct obligations:</b>                                      |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 132         | 138     | 141       |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 2       | 2         |
| 11.8 Special personal services payments .....                   | .....       | 1       | .....     |
| 11.9 Total personnel compensation .....                         | 134         | 142     | 144       |
| 12.1 Civilian personnel benefits .....                          | 47          | 49      | 51        |
| 21.0 Travel and transportation of persons .....                 | 6           | 7       | 6         |
| 22.0 Transportation of things .....                             | 1           | 1       | 1         |
| 23.2 Rental payments to others .....                            | 5           | 6       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 3           | 3       | 3         |
| 25.1 Advisory and assistance services .....                     | 22          | 22      | 17        |
| 25.7 Operation and maintenance of equipment .....               | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 2           | 2       | 2         |
| 31.0 Equipment .....  | 13          | 7       | 7         |
| 32.0 Land and structures .....                                  | 7           | 1       | 2         |
| 99.0 Direct obligations .....                                   | 241         | 241     | 241       |
| 99.0 Reimbursable obligations .....                             | .....       | 1       | 1         |
| 99.9 Total new obligations .....                                | 241         | 242     | 242       |

## Employment Summary

| Identification code 18–0100–0–1–372                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 1,135       | 1,136   | 1,136     |

## POSTAL REGULATORY COMMISSION

## SALARIES AND EXPENSES

## (INCLUDING TRANSFER OF FUNDS)

*For necessary expenses of the Postal Regulatory Commission in carrying out the provisions of the Postal Accountability and Enhancement Act (Public Law 109–435), \$14,304,000, to be derived by transfer from the Postal Service Fund and expended as authorized by section 603(a) of such Act: Provided, That unobligated balances remaining in this account on October 1, 2014, shall be transferred back to the Postal Service Fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 18–0200–0–1–372                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Postal Service Accountability .....                           | 14          | 4       | 4         |
| 0002 Public Access and Participation .....                         | .....       | 4       | 4         |
| 0003 Integration and Support .....                                 | .....       | 5       | 5         |
| 0004 Office of the Inspector General .....                         | .....       | 1       | 1         |
| 0900 Total new obligations .....                                   | 14          | 14      | 14        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1711 Transferred from other accounts [18–4020] .....               | 14          | 14      | 14        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 14          | 14      | 14        |
| 1930 Total budgetary resources available .....                     | 14          | 14      | 14        |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                | 14          | 14      | 14        |
| 3020 Outlays (gross) .....   | –14         | –14     | –14       |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 14          | 14      | 14        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 14          | 14      | 14        |
| 4180 Budget authority, net (total) .....                           | 14          | 14      | 14        |
| 4190 Outlays, net (total) .....                                    | 14          | 14      | 14        |

The Postal Regulatory Commission is an independent agency that has exercised regulatory oversight over the U.S. Postal Service (USPS) since its creation by the Postal Reorganization Act of 1970. That oversight consisted primarily of conducting public, on-the-record hearings concerning proposed rates, mail classification, and major service changes, and recommended decisions for action to the Postal Service Board of Governors.

The Postal Accountability and Enhancement Act (PAEA, Public Law 109–435) assigned new responsibilities to the Commission, including providing regulatory oversight of the pricing of USPS products and services, ensuring USPS transparency and accountability, and serving as a forum to act on complaints with postal products and services. The Commission provides leadership and recommends policies that foster a robust and viable postal system.

Pursuant to Public Law 109–435, the 2014 appropriation request of the Postal Regulatory Commission is 14,304,000.

Section 603(a) of PAEA authorizes appropriations for the Commission out of the off-budget Postal Service Fund beginning in 2009. The authorization resulted in the reclassification of the Commission's spending from off-budget mandatory to off-budget discretionary.



**Object Classification** (in millions of dollars)

| Identification code 18-0200-0-1-372                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 8           | 8       | 8         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 23.2 Rental payments to others .....                   | 2           | 2       | 2         |
| 25.1 Advisory and assistance services .....            | 2           | 2       | 2         |
| 99.9 Total new obligations .....                       | 14          | 14      | 14        |

**Employment Summary**

| Identification code 18-0200-0-1-372                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 75          | 75      | 77        |

**PRESIDIO TRUST****Federal Funds****PRESIDIO TRUST FUND**

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 95-4331-0-3-303                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0801 Reimbursable program activity .....                           | 109         | 137     | 89        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 32          | 40      | 65        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 12          | 12      | .....     |
| 1160 Appropriation, discretionary (total) .....                    | 12          | 12      | .....     |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 98          | 143     | 105       |
| 1701 Change in uncollected payments, Federal sources .....         | 7           | 7       | 7         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 105         | 150     | 112       |
| 1900 Budget authority (total) .....                                | 117         | 162     | 112       |
| 1930 Total budgetary resources available .....                     | 149         | 202     | 177       |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 40          | 65      | 88        |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 74   | 51   | 47   |
| 3010 Obligations incurred, unexpired accounts .....               | 109  | 137  | 89   |
| 3020 Outlays (gross) .....  | -132 | -141 | -119 |
| 3050 Unpaid obligations, end of year .....                        | 51   | 47   | 17   |
| Uncollected payments:   |      |      |      |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -5   | -12  | -19  |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -7   | -7   | -7   |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -12  | -19  | -26  |
| Memorandum (non-add) entries:                                     |      |      |      |
| 3100 Obligated balance, start of year .....                       | 69   | 39   | 28   |
| 3200 Obligated balance, end of year .....                         | 39   | 28   | -9   |

**Budget authority and outlays, net:**

|   |       |     |     |
|---|-------|-----|-----|
| Discretionary:                                      |       |     |     |
| 4000 Budget authority, gross .....                  | 117   | 162 | 112 |
| Outlays, gross:                                     |       |     |     |
| 4010 Outlays from new discretionary authority ..... | 86    | 91  | 62  |
| 4011 Outlays from discretionary balances .....      | 46    | 50  | 57  |
| 4020 Outlays, gross (total) .....                   | 132   | 141 | 119 |
| Offsets against gross budget authority and outlays: |       |     |     |
| Offsetting collections (collected) from:            |       |     |     |
| 4030 Federal sources .....                          | -1    | -4  | -4  |
| 4031 Interest on Federal securities .....           | ..... | -4  | -4  |

|  |     |      |       |
|--|-----|------|-------|
| 4033 Non-Federal sources .....                                       | -97 | -135 | -97   |
| 4040 Offsets against gross budget authority and outlays (total) .... | -98 | -143 | -105  |
| Additional offsets against gross budget authority only:              |     |      |       |
| 4050 Change in uncollected pymts, Fed sources, unexpired .....       | -7  | -7   | -7    |
| 4070 Budget authority, net (discretionary) .....                     | 12  | 12   | ..... |
| 4080 Outlays, net (discretionary) .....                              | 34  | -2   | 14    |
| 4180 Budget authority, net (total) .....                             | 12  | 12   | ..... |
| 4190 Outlays, net (total) .....                                      | 34  | -2   | 14    |

**Memorandum (non-add) entries:**

|  |    |    |    |
|--|----|----|----|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 77 | 73 | 45 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 73 | 45 | 40 |

The Presidio Trust (Trust) is a wholly-owned Government corporation established by the Omnibus Parks and Public Lands Management Act of 1996 (Public Law 104-333) to manage, improve, maintain and lease property in the Presidio of San Francisco and to operate the Presidio as a self-sustaining part of the national park system. The Trust has jurisdiction over 80% of the Presidio and has successfully converted the historic Army base into a thriving park community that will operate without annual appropriations beginning in FY 2013. Funds to operate the park and its public programs will come from lease revenues and other non-Federally appropriated funding sources. The Presidio of San Francisco is an historic preservation success, and a success for the American taxpayer.

**Object Classification** (in millions of dollars)

| Identification code 95-4331-0-3-303                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Reimbursable obligations:</b>                                |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 24          | 23      | 23        |
| 12.1 Civilian personnel benefits .....                          | 8           | 8       | 7         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 7           | 4       | 4         |
| 24.0 Printing and reproduction .....                            | 1           | 1       | 1         |
| 25.1 Advisory and assistance services .....                     | 10          | 12      | 4         |
| 25.2 Other services from non-Federal sources .....              | 23          | 48      | 9         |
| 25.3 Other goods and services from Federal sources .....        | 4           | 4       | 4         |
| 26.0 Supplies and materials .....                               | 12          | 8       | 8         |
| 31.0 Equipment .....  | 4           | 5       | 5         |
| 32.0 Land and structures .....                                  | 12          | 20      | 20        |
| 43.0 Interest and dividends .....                               | 4           | 4       | 4         |
| 99.9 Total new obligations .....                                | 109         | 137     | 89        |

**Employment Summary**

| Identification code 95-4331-0-3-303                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 304         | 308     | 308       |

**PRESIDIO TRUST GUARANTEED LOAN FINANCING ACCOUNT****Status of Guaranteed Loans** (in millions of dollars)

| Identification code 95-4332-0-3-303                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2121 Limitation available from carry-forward .....                     | 200         | 200     | 200       |
| 2143 Uncommitted limitation carried forward .....                      | -200        | -200    | -200      |
| 2150 Total guaranteed loan commitments .....                           | .....       | .....   | .....     |

**PRIVACY AND CIVIL LIBERTIES OVERSIGHT  
BOARD****Federal Funds****SALARIES AND EXPENSES**

For necessary expenses of the Privacy and Civil Liberties Oversight Board, as authorized by section 1061 of the Intelligence Reform and Ter-

## SALARIES AND EXPENSES—Continued

rorism Prevention Act of 2004 (5 U.S.C. 601 note), \$3,100,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95–2724–0–1–054                                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                              |             |         |           |
| 0001 Privacy and Civil Liberties Oversight Board .....               |             | 1       | 3         |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                | 1           | 1       |           |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                       |             |         |           |
| 1100 Appropriation .....   | 1           | 1       | 3         |
| 1131 Unobligated balance of appropriations permanently reduced ..... | –1          | –1      |           |
| 1160 Appropriation, discretionary (total) .....                      |             |         | 3         |
| 1930 Total budgetary resources available .....                       | 1           | 1       | 3         |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                | 1           |         |           |
| <b>Change in obligated balance:</b>                                  |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....                  |             | 1       | 3         |
| 3020 Outlays (gross) .....   |             | –1      | –3        |
| <b>Budget authority and outlays, net:</b>                            |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                   |             |         | 3         |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                  |             |         | 3         |
| 4011 Outlays from discretionary balances .....                       |             | 1       |           |
| 4020 Outlays, gross (total) .....                                    |             | 1       | 3         |
| 4180 Budget authority, net (total) .....                             |             |         | 3         |
| 4190 Outlays, net (total) .....                                      |             | 1       | 3         |

The Intelligence Reform and Terrorism Prevention Act of 2004 (IRTPA) created the Privacy and Civil Liberties Oversight Board (PCLOB). The IRTPA originally placed the Board within the Executive Office of the President. The Implementing Recommendations of the 9/11 Commission Act of 2007 reconstituted the Board as an independent oversight agency within the Executive Branch. All five members of the Board are nominated by the President and confirmed by the Senate for staggered six-year terms. The Board has two main responsibilities: 1) to analyze and review actions the executive branch takes to protect the United States from terrorism, ensuring that the need for such actions is balanced with the need to protect privacy and civil liberties; and 2) to ensure that liberty concerns are appropriately considered in the development and implementation of laws, regulations, and policies related to efforts to protect the Nation against terrorism. The Board is required to report periodically on its operations to the U.S. Congress, as well as inform the public of its activities.

## Object Classification (in millions of dollars)

| Identification code 95–2724–0–1–054                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... |             | 1       | 2         |
| 23.1 Rental payments to GSA .....                      |             |         | 1         |
| 99.9 Total new obligations .....                       |             | 1       | 3         |

## Employment Summary

| Identification code 95–2724–0–1–054                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... |             | 5       | 11        |

## RAILROAD RETIREMENT BOARD

## Federal Funds

## DUAL BENEFITS PAYMENTS ACCOUNT

For payment to the Dual Benefits Payments Account, authorized under section 15(d) of the Railroad Retirement Act of 1974, \$39,000,000, which shall include amounts becoming available in fiscal year 2014 pursuant to section 224(c)(1)(B) of Public Law 98–76; and in addition, an amount, not to exceed 2 percent of the amount provided herein, shall be available proportional to the amount by which the product of recipients and the average benefit received exceeds the amount available for payment of vested dual benefits: Provided, That the total amount provided herein shall be credited in 12 approximately equal amounts on the first day of each month in the fiscal year.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 60–0111–0–1–601                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Direct program activity .....                   | 49          | 48      | 39        |
| 0900 Total new obligations (object class 41.0) ..... | 49          | 48      | 39        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 45          | 44      | 36        |
| 1160 Appropriation, discretionary (total) .....      | 45          | 44      | 36        |
| Appropriations, mandatory:                           |             |         |           |
| 1200 Appropriation .....                             | 6           | 4       | 3         |
| 1260 Appropriations, mandatory (total) .....         | 6           | 4       | 3         |
| 1900 Budget authority (total) .....                  | 51          | 48      | 39        |
| 1930 Total budgetary resources available .....       | 51          | 48      | 39        |
| Memorandum (non-add) entries:                        |             |         |           |
| 1940 Unobligated balance expiring .....              | –2          |         |           |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 49          | 48      | 39        |
| 3020 Outlays (gross) .....                           | –49         | –48     | –39       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 45          | 44      | 36        |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 45          | 44      | 36        |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 6           | 4       | 3         |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 4           | 4       | 3         |
| 4180 Budget authority, net (total) .....             | 51          | 48      | 39        |
| 4190 Outlays, net (total) .....                      | 49          | 48      | 39        |

This appropriation is a Federal subsidy to the rail industry pension for costs not financed by the railroad sector.

## FEDERAL PAYMENTS TO THE RAILROAD RETIREMENT ACCOUNTS

For payment to the accounts established in the Treasury for the payment of benefits under the Railroad Retirement Act for interest earned on un-negotiated checks, \$150,000, to remain available through September 30,

2015, which shall be the maximum amount available for payment pursuant to section 417 of Public Law 98–76.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 60–0113–0–1–601                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 771         | 647     | 549       |
| 0900 Total new obligations (object class 42.0) .....  | 771         | 647     | 549       |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 21          | 25      | 25        |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1200 Appropriation .....                              | 775         | 647     | 549       |
| 1260 Appropriations, mandatory (total) .....          | 775         | 647     | 549       |
| 1930 Total budgetary resources available .....        | 796         | 672     | 574       |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 25          | 25      | 25        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 771         | 647     | 549       |
| 3020 Outlays (gross) .....                            | –771        | –647    | –549      |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                    | 775         | 647     | 549       |
| Outlays, gross:                                       |             |         |           |
| 4100 Outlays from new mandatory authority .....       | 771         | 647     | 549       |
| 4180 Budget authority, net (total) .....              | 775         | 647     | 549       |
| 4190 Outlays, net (total) .....                       | 771         | 647     | 549       |

This account funds interest on uncashed checks and the transfer of income taxes on Tier I and Tier II railroad retirement benefits. This account also reflects transfers from the general fund of the Treasury to the Social Security Equivalent Benefit Account pursuant to the Hiring Incentives to Restore Employment (HIRE) Act (P.L. 111–147), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112–78), and the American Taxpayer Relief Act of 2012 (P.L. 112–240).

**ADMINISTRATIVE EXPENSES, RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS**

**Program and Financing** (in millions of dollars)

| Identification code 60–0118–0–1–603                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... |             | 1       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 1           |         |           |
| 1160 Appropriation, discretionary (total) .....       | 1           |         |           |
| 1930 Total budgetary resources available .....        | 1           | 1       | 1         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1           |         |           |
| 3020 Outlays (gross) .....                            | –1          |         |           |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 1           |         |           |

**Budget authority and outlays, net:**

|  |   |  |  |
|--|---|--|--|
| Discretionary:                                 |   |  |  |
| 4000 Budget authority, gross .....             | 1 |  |  |
| Outlays, gross:                                |   |  |  |
| 4011 Outlays from discretionary balances ..... | 1 |  |  |
| 4180 Budget authority, net (total) .....       | 1 |  |  |
| 4190 Outlays, net (total) .....                | 1 |  |  |

This account provided for the transfer of funding to the Railroad Retirement Board's Limitation on Administration for administrative costs to pay benefits under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111–92), and the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312).

**ADMINISTRATIVE EXPENSES, RECOVERY ACT**

This account provided for the transfer of funding to the Railroad Retirement Board's Recovery Act Limitation Account for administrative costs to pay benefits under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

**ECONOMIC RECOVERY PAYMENTS**

This appropriation provided funding for Economic Recovery Payments paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111–5).

**RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS**

**Program and Financing** (in millions of dollars)

| Identification code 60–0117–0–1–603                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Railroad Unemployment Extended Benefits .....              | 7           | 12      | 4         |
| 0900 Total new obligations (object class 25.8) .....            | 7           | 12      | 4         |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           | 21          | 140     | 128       |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | 126         |         |           |
| 1050 Unobligated balance (total) .....                          | 147         | 140     | 128       |
| 1930 Total budgetary resources available .....                  | 147         | 140     | 128       |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 140         | 128     | 124       |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....             | 7           | 12      | 4         |
| 3020 Outlays (gross) .....                                      | –7          | –12     | –4        |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| Outlays, gross:   |             |         |           |
| 4101 Outlays from mandatory balances .....                      | 7           | 12      | 4         |
| 4190 Outlays, net (total) .....                                 | 7           | 12      | 4         |

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the Worker, Homeownership, and Business Assistance Act of 2009 (P.L. 111–92), the Tax Relief, Unemployment Insurance Reauthorization, and Job Creation Act of 2010 (P.L. 111–312), the Temporary Payroll Tax Cut Continuation Act (P.L. 112–78), and the American Taxpayer Relief Act of 2012 (P.L. 112–240).

RAILROAD UNEMPLOYMENT INSURANCE EXTENDED BENEFIT PAYMENTS,  
RECOVERY ACT

## Program and Financing (in millions of dollars)

| Identification code 60-0114-0-1-603                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....           |             | 9       | 9         |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 ..... | 9           |         |           |
| 1050 Unobligated balance (total) .....                          | 9           | 9       | 9         |
| 1930 Total budgetary resources available .....                  | 9           | 9       | 9         |
| <b>Memorandum (non-add) entries:</b>                            |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....           | 9           | 9       | 9         |

This appropriation provides funding for extended unemployment benefits paid by the Railroad Retirement Board under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

**Trust Funds**

## RAILROAD UNEMPLOYMENT INSURANCE TRUST FUND

## Program and Financing (in millions of dollars)

| Identification code 60-8051-0-7-603                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 94          | 111     | 116       |
| 0801 Reimbursable program .....                                    | 19          | 22      | 23        |
| 0900 Total new obligations .....                                   | 113         | 133     | 139       |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 38          |         |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....    | -38         |         |           |
| <b>Budget authority:</b>   |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1101 Appropriation (special or trust fund) .....                   | 15          | 26      | 26        |
| 1134 Appropriations precluded from obligation .....                |             | -11     | -11       |
| 1160 Appropriation, discretionary (total) .....                    | 15          | 15      | 15        |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....                   | 199         | 86      | 16        |
| 1203 Appropriation (unavailable balances) .....                    | 40          | 162     | 152       |
| 1235 Portion precluded from balances .....                         | -162        | -152    | -67       |
| 1260 Appropriations, mandatory (total) .....                       | 77          | 96      | 101       |
| Spending authority from offsetting collections, mandatory:         |             |         |           |
| 1800 Collected .....   | 21          | 22      | 23        |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 21          | 22      | 23        |
| 1900 Budget authority (total) .....                                | 113         | 133     | 139       |
| 1930 Total budgetary resources available .....                     | 113         | 133     | 139       |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                   |      |      |      |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 9    | 4    | 4    |
| 3010 Obligations incurred, unexpired accounts .....   | 113  | 133  | 139  |
| 3020 Outlays (gross) .....                            | -118 | -133 | -139 |
| 3050 Unpaid obligations, end of year .....            | 4    | 4    | 4    |
| <b>Memorandum (non-add) entries:</b>                  |      |      |      |
| 3100 Obligated balance, start of year .....           | 9    | 4    | 4    |
| 3200 Obligated balance, end of year .....             | 4    | 4    | 4    |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Discretionary:                                      |     |     |     |
| 4000 Budget authority, gross .....                  | 15  | 15  | 15  |
| Outlays, gross:                                     |     |     |     |
| 4010 Outlays from new discretionary authority ..... | 15  | 15  | 15  |
| Mandatory:  |     |     |     |
| 4090 Budget authority, gross .....                  | 98  | 118 | 124 |
| Outlays, gross:                                     |     |     |     |
| 4100 Outlays from new mandatory authority .....     | 98  | 118 | 124 |
| 4101 Outlays from mandatory balances .....          | 5   |     |     |
| 4110 Outlays, gross (total) .....                   | 103 | 118 | 124 |
| Offsets against gross budget authority and outlays: |     |     |     |
| Offsetting collections (collected) from:            |     |     |     |
| 4123 Non-Federal sources .....                      | -21 | -22 | -23 |
| 4180 Budget authority, net (total) .....            | 92  | 111 | 116 |

|                                 |    |     |     |
|---------------------------------|----|-----|-----|
| 4190 Outlays, net (total) ..... | 97 | 111 | 116 |
|---------------------------------|----|-----|-----|

**Memorandum (non-add) entries:**

The Board administers a separate fund for unemployment and sickness insurance payments. Administrative expenses are financed from employer unemployment taxes.

## Object Classification (in millions of dollars)

| Identification code 60-8051-0-7-603 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| <b>Direct obligations:</b>          |             |         |           |
| 42.0 Benefit payments .....         | 93          | 96      | 101       |
| 94.0 Financial transfers .....      | 1           | 15      | 15        |
| 99.0 Direct obligations .....       | 94          | 111     | 116       |
| 99.0 Reimbursable obligations ..... | 19          | 22      | 23        |
| 99.9 Total new obligations .....    | 113         | 133     | 139       |

## RAIL INDUSTRY PENSION FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 60-8011-0-7-601   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 227         | 322     | 359       |
| <b>Adjustments:</b>   |             |         |           |
| 0190 Adjustment- to correct prior year accounting for balances .....                                  | 98          |         |           |
| 0199 Balance, start of year .....   | 325         | 322     | 359       |
| <b>Receipts:</b>  |             |         |           |
| 0200 Refunds, Rail Industry Pension Fund .....  | -3          | -28     | -3        |
| 0201 Taxes, Rail Industry Pension Fund .....  | 2,522       | 2,779   | 2,797     |
| 0240 Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund .....  | 12          | 14      | 14        |
| 0241 Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund ..... | 2,026       | 1,685   | 1,762     |
| 0242 Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund .....            | 141         | 331     | 339       |
| 0299 Total receipts and collections .....   | 4,698       | 4,781   | 4,909     |
| 0400 Total: Balances and collections .....  | 5,023       | 5,103   | 5,268     |
| <b>Appropriations:</b>  |             |         |           |
| 0500 Rail Industry Pension Fund .....   | -70         | -68     | -71       |
| 0501 Rail Industry Pension Fund .....   | -4,628      | -4,781  | -4,908    |
| 0502 Rail Industry Pension Fund .....   | -326        | -323    | -428      |
| 0503 Rail Industry Pension Fund .....   | 323         | 428     | 290       |
| 0599 Total appropriations .....   | -4,701      | -4,744  | -5,117    |
| 0799 Balance, end of year .....   | 322         | 359     | 151       |

## Program and Financing (in millions of dollars)

| Identification code 60-8011-0-7-601                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Direct program .....  | 4,720       | 4,991   | 5,145     |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 98          |         |           |
| 1020 Adjustment of unobligated bal brought forward, Oct 1 .....  | -98         |         |           |
| <b>Budget authority:</b>   |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1101 Appropriation (special or trust fund) .....                 | 70          | 68      | 71        |
| 1160 Appropriation, discretionary (total) .....                  | 70          | 68      | 71        |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 4,628       | 4,781   | 4,908     |
| 1203 Appropriation (unavailable balances) .....                  | 326         | 323     | 428       |
| 1221 Appropriations transferred from other accts [60-8010] ..... | 19          | 247     | 28        |
| 1235 Appropriations precluded from obligation .....              | -323        | -428    | -290      |
| 1260 Appropriations, mandatory (total) .....                     | 4,650       | 4,923   | 5,074     |
| 1900 Budget authority (total) .....                              | 4,720       | 4,991   | 5,145     |
| 1930 Total budgetary resources available .....                   | 4,720       | 4,991   | 5,145     |

|   |   |        |        |        |
|---|---|--------|--------|--------|
| <b>Change in obligated balance:</b>       |   |        |        |        |
| Unpaid obligations:                       |   |        |        |        |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 .....            | 381    | 398    | 363    |
| 3010                                      | Obligations incurred, unexpired accounts .....              | 4,720  | 4,991  | 5,145  |
| 3020                                      | Outlays (gross) .....                                       | -4,703 | -5,026 | -5,180 |
| 3050                                      | Unpaid obligations, end of year .....                       | 398    | 363    | 328    |
| Memorandum (non-add) entries:             |   |        |        |        |
| 3100                                      | Obligated balance, start of year .....                      | 381    | 398    | 363    |
| 3200                                      | Obligated balance, end of year .....                        | 398    | 363    | 328    |
| <b>Budget authority and outlays, net:</b> |   |        |        |        |
| Discretionary:                            |   |        |        |        |
| 4000                                      | Budget authority, gross .....                               | 70     | 68     | 71     |
| Outlays, gross:                           |   |        |        |        |
| 4010                                      | Outlays from new discretionary authority .....              | 70     | 68     | 71     |
| Mandatory:                                |   |        |        |        |
| 4090                                      | Budget authority, gross .....                               | 4,650  | 4,923  | 5,074  |
| Outlays, gross:                           |   |        |        |        |
| 4100                                      | Outlays from new mandatory authority .....                  | 4,633  | 4,923  | 5,074  |
| 4101                                      | Outlays from mandatory balances .....                       | .....  | 35     | 35     |
| 4110                                      | Outlays, gross (total) .....                                | 4,633  | 4,958  | 5,109  |
| 4180                                      | Budget authority, net (total) .....                         | 4,720  | 4,991  | 5,145  |
| 4190                                      | Outlays, net (total) .....                                  | 4,703  | 5,026  | 5,180  |
| <b>Memorandum (non-add) entries:</b>      |   |        |        |        |
| 5000                                      | Total investments, SOF: Federal securities: Par value ..... | 696    | 704    | 738    |
| 5001                                      | Total investments, EOY: Federal securities: Par value ..... | 704    | 738    | 540    |

Railroad retirees generally receive the equivalent to a social security benefit and a rail industry pension collectively bargained like other private pension plans but embedded in Federal law. About 24,000 individuals also receive a "windfall" benefit.

**Status of Funds** (in millions of dollars)

|  |  |        |        |        |
|--|--|--------|--------|--------|
| Identification code 60-8011-0-7-601      |  |        |        |        |
| Unexpended balance, start of year:       |  |        |        |        |
| 0100                                     | Balance, start of year .....   | 720    | 737    | 738    |
| 0199                                     | Total balance, start of year .....   | 720    | 737    | 738    |
| Cash income during the year:             |  |        |        |        |
| Current law:                             |  |        |        |        |
| Receipts:                                |  |        |        |        |
| 1200                                     | Refunds, Rail Industry Pension Fund .....  | -3     | -28    | -3     |
| 1201                                     | Taxes, Rail Industry Pension Fund .....  | 2,522  | 2,779  | 2,797  |
| Offsetting receipts (intragovernmental): |  |        |        |        |
| 1240                                     | Interest and Profits on Investments in Public Debt Securities, Rail Industry Pension Fund .....  | 12     | 14     | 14     |
| 1241                                     | Payment from the National Railroad Retirement Investment Trust, Rail Industry Pension Fund ..... | 2,026  | 1,685  | 1,762  |
| 1242                                     | Federal Payments to Railroad Retirement Trust Funds, Rail Industry Pension Fund .....            | 141    | 331    | 339    |
| Offsetting collections:                  |  |        |        |        |
| 1280                                     | Limitation on the Office of Inspector General .....  | 10     | 9      | 10     |
| 1281                                     | Limitation on Administration .....   | 121    | 117    | 122    |
| 1299                                     | Income under present law .....   | 4,829  | 4,907  | 5,041  |
| 3299                                     | Total cash income .....  | 4,829  | 4,907  | 5,041  |
| Cash outgo during year:                  |  |        |        |        |
| Current law:                             |  |        |        |        |
| 4500                                     | Rail Industry Pension Fund .....   | -4,703 | -5,026 | -5,180 |
| 4500                                     | Limitation on Administration .....   | -117   | -117   | -122   |
| 4500                                     | Limitation on the Office of Inspector General .....  | -10    | -9     | -10    |
| 4599                                     | Outgo under current law (-) .....  | -4,830 | -5,152 | -5,312 |
| 6599                                     | Total cash outgo (-) .....   | -4,830 | -5,152 | -5,312 |
| 7645                                     | Rail Industry Pension Fund .....   | 19     | 247    | 28     |
| Manual Adjustments:                      |  |        |        |        |
| 7690                                     | Rounding adjustment .....  | -1     | -1     | .....  |
| 7699                                     | Total adjustments .....  | 18     | 246    | 28     |
| Unexpended balance, end of year:         |  |        |        |        |
| 8700                                     | Uninvested balance (net), end of year .....  | 33     | .....  | -45    |
| 8701                                     | Rail Industry Pension Fund .....   | 704    | 738    | 540    |
| 8799                                     | Total balance, end of year .....   | 737    | 738    | 495    |

**Object Classification** (in millions of dollars)

|                                     |                        |       |       |       |
|-------------------------------------|------------------------|-------|-------|-------|
| Identification code 60-8011-0-7-601 |                        |       |       |       |
| Direct obligations:                 |                        |       |       |       |
| 42.0                                | Benefit payments ..... | 4,650 | 4,923 | 5,074 |

|      |                             |       |       |       |
|------|-----------------------------|-------|-------|-------|
| 94.0 | Financial transfers .....   | 70    | 68    | 71    |
| 99.9 | Total new obligations ..... | 4,720 | 4,991 | 5,145 |

**LIMITATION ON ADMINISTRATION**

*For necessary expenses for the Railroad Retirement Board ("Board") for administration of the Railroad Retirement Act and the Railroad Unemployment Insurance Act, \$111,739,000, to be derived in such amounts as determined by the Board from the railroad retirement accounts and from moneys credited to the railroad unemployment insurance administration fund.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

|   |   |     |     |     |
|---|---|-----|-----|-----|
| Identification code 60-8237-0-7-601     |   |     |     |     |
| 2012 actual                             |   |     |     |     |
| 2013 CR                                 |   |     |     |     |
| 2014 est.                               |   |     |     |     |
| <b>Obligations by program activity:</b> |   |     |     |     |
| 0001                                    | Rail Industry Pension Fund .....                  | 65  | 63  | 67  |
| 0002                                    | Railroad Social Security Equivalent Benefit ..... | 30  | 32  | 32  |
| 0003                                    | Railroad Unemployment Insurance Trust Fund .....  | 14  | 11  | 12  |
| 0100                                    | Subtotal, direct program .....                    | 109 | 106 | 111 |
| 0799                                    | Total direct obligations .....                    | 109 | 106 | 111 |
| 0801                                    | Medicare and other reimbursements .....           | 12  | 11  | 11  |
| 0900                                    | Total new obligations .....                       | 121 | 117 | 122 |

**Budgetary Resources:**

|  |   |     |     |     |
|--|---|-----|-----|-----|
| Budget authority:  |   |     |     |     |
| Spending authority from offsetting collections, discretionary: |   |     |     |     |
| 1700   | Collected .....   | 121 | 117 | 122 |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 121 | 117 | 122 |
| 1930   | Total budgetary resources available .....                     | 121 | 117 | 122 |
| Memorandum (non-add) entries:                                  |   |     |     |     |
| Special and non-revolving trust funds:                         |   |     |     |     |
| 1952   | Expired unobligated balance, start of year .....              | 2   | 3   | 3   |
| 1953   | Expired unobligated balance, end of year .....                | 3   | 3   | 3   |

**Change in obligated balance:**

|                               |  |      |       |       |
|-------------------------------|--|------|-------|-------|
| Unpaid obligations:           |  |      |       |       |
| 3000                          | Unpaid obligations, brought forward, Oct 1 .....           | 9    | 11    | 11    |
| 3010                          | Obligations incurred, unexpired accounts .....             | 121  | 117   | 122   |
| 3020                          | Outlays (gross) .....                                      | -117 | -117  | -122  |
| 3041                          | Recoveries of prior year unpaid obligations, expired ..... | -2   | ..... | ..... |
| 3050                          | Unpaid obligations, end of year .....                      | 11   | 11    | 11    |
| Memorandum (non-add) entries: |  |      |       |       |
| 3100                          | Obligated balance, start of year .....                     | 9    | 11    | 11    |
| 3200                          | Obligated balance, end of year .....                       | 11   | 11    | 11    |

**Budget authority and outlays, net:**

|   |  |      |       |       |
|---|--|------|-------|-------|
| Discretionary:                                      |  |      |       |       |
| 4000  | Budget authority, gross .....                  | 121  | 117   | 122   |
| Outlays, gross:                                     |  |      |       |       |
| 4010  | Outlays from new discretionary authority ..... | 117  | 117   | 122   |
| Offsets against gross budget authority and outlays: |  |      |       |       |
| Offsetting collections (collected) from:            |  |      |       |       |
| 4030  | Federal sources .....                          | -121 | -117  | -122  |
| 4190  | Outlays, net (total) .....                     | -4   | ..... | ..... |

The table below shows anticipated workloads.

|   |             |             |           |           |
|---|-------------|-------------|-----------|-----------|
|   | 2011 actual | 2012 actual | 2013 est. | 2014 est. |
| Pending, start of year .....                        | 7,398       | 7,425       | 6,231     | 6,057     |
| New Railroad Retirement applications .....          | 46,974      | 45,197      | 45,000    | 45,000    |
| New Social Security certifications .....            | 9,275       | 9,342       | 9,000     | 9,000     |
| Total dispositions (excluding partial awards) ..... | 56,222      | 55,733      | 54,174    | 54,018    |
| Pending, end of year .....                          | 7,425       | 6,231       | 6,057     | 6,039     |

As shown below, the Board projects this workload will continue to decline as the number of beneficiaries declines.

|                           |           |           |           |           |           |           |
|---------------------------|-----------|-----------|-----------|-----------|-----------|-----------|
|                           | 1980 act. | 1990 act. | 2010 act. | 2011 act. | 2012 est. | 2013 est. |
| Total beneficiaries ..... | 1,009,500 | 894,196   | 549,154   | 544,256   | 541,100   | 537,600   |

## LIMITATION ON ADMINISTRATION—Continued

In recognition of the continuing decline in virtually all its major workloads, the Board will explore and adopt new approaches to improve service to beneficiaries.

The President's Budget includes a legislative proposal to amend the Railroad Retirement Act to allow the Railroad Retirement Board (RRB) to utilize various hiring authorities available to other Federal agencies. Section 7(b)(9) of the Railroad Retirement Act contains language requiring that all employees of the RRB, except for one assistant for each Board Member, must be hired under the competitive civil service. Elimination of this requirement would enable the RRB to use various hiring authorities offered by the Office of Personnel Management.

## Object Classification (in millions of dollars)

| Identification code 60-8237-0-7-601                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 73          | 62      | 67        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 1         |
| 11.5 Other personnel compensation .....                         | 1           | 1       | 1         |
| 11.8 Special personal services payments .....                   | 1           |         |           |
| 11.9 Total personnel compensation .....                         | 76          | 64      | 69        |
| 12.1 Civilian personnel benefits .....                          | 20          | 19      | 18        |
| 21.0 Travel and transportation of persons .....                 | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                               |             | 4       | 4         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 7           | 5       | 5         |
| 25.2 Other services from non-Federal sources .....              | 5           | 12      | 13        |
| 26.0 Supplies and materials .....                               |             | 1       | 1         |
| 99.0 Direct obligations .....                                   | 109         | 106     | 111       |
| 99.0 Reimbursable obligations .....                             | 11          | 11      | 11        |
| 99.5 Below reporting threshold .....                            | 1           |         |           |
| 99.9 Total new obligations .....                                | 121         | 117     | 122       |

## Employment Summary

| Identification code 60-8237-0-7-601                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 834         | 835     | 810       |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 50          | 50      | 50        |

## NATIONAL RAILROAD RETIREMENT INVESTMENT TRUST

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 60-8118-0-7-601  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 20,569      | 22,051  | 20,901    |
| Receipts:  |             |         |           |
| 0220 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust .....       | 3,139       | 520     | 400       |
| 0221 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust ..... | 397         | 79      | 116       |
| 0240 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust .....    | 41          | 6       | 11        |
| 0299 Total receipts and collections .....  | 3,577       | 605     | 527       |
| 0400 Total: Balances and collections .....   | 24,146      | 22,656  | 21,428    |
| Appropriations:  |             |         |           |
| 0500 National Railroad Retirement Investment Trust .....   | -2,095      | -1,755  | -1,837    |
| 0799 Balance, end of year .....  | 22,051      | 20,901  | 19,591    |

## Program and Financing (in millions of dollars)

| Identification code 60-8118-0-7-601 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| Obligations by program activity:    |             |         |           |
| 0001 NRRIT expenses .....           | 2,095       | 1,755   | 1,837     |

## Budgetary Resources:

|  |       |       |       |
|--|-------|-------|-------|
| Budget authority:                                |       |       |       |
| Appropriations, mandatory:                       |       |       |       |
| 1201 Appropriation (special or trust fund) ..... | 2,095 | 1,755 | 1,837 |
| 1260 Appropriations, mandatory (total) .....     | 2,095 | 1,755 | 1,837 |
| 1930 Total budgetary resources available .....   | 2,095 | 1,755 | 1,837 |

## Change in obligated balance:

|   |        |        |        |
|---|--------|--------|--------|
| Unpaid obligations:                                 |        |        |        |
| 3010 Obligations incurred, unexpired accounts ..... | 2,095  | 1,755  | 1,837  |
| 3020 Outlays (gross) .....                          | -2,095 | -1,755 | -1,837 |

## Budget authority and outlays, net:

|   |       |       |       |
|---|-------|-------|-------|
| Mandatory:                                      |       |       |       |
| 4090 Budget authority, gross .....              | 2,095 | 1,755 | 1,837 |
| Outlays, gross:                                 |       |       |       |
| 4100 Outlays from new mandatory authority ..... | 2,095 | 1,755 | 1,837 |
| 4180 Budget authority, net (total) .....        | 2,095 | 1,755 | 1,837 |
| 4190 Outlays, net (total) .....                 | 2,095 | 1,755 | 1,837 |

## Memorandum (non-add) entries:

|   |        |        |        |
|---|--------|--------|--------|
| 5000 Total investments, SOY: Federal securities: Par value .....    | 657    | 710    | 667    |
| 5001 Total investments, EOY: Federal securities: Par value .....    | 710    | 667    | 632    |
| 5010 Total investments, SOY: non-Fed securities: Market value ..... | 21,423 | 22,855 | 21,745 |
| 5011 Total investments, EOY: non-Fed securities: Market value ..... | 22,855 | 21,745 | 20,470 |

The Trust manages and invests the funds of the Railroad Retirement System in private securities and U.S. Treasury Securities.

## Status of Funds (in millions of dollars)

| Identification code 60-8118-0-7-601  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Unexpended balance, start of year:   |             |         |           |
| 0100 Balance, start of year .....  | 20,569      | 22,051  | 20,901    |
| 0199 Total balance, start of year .....  | 20,569      | 22,051  | 20,901    |
| Cash income during the year:   |             |         |           |
| Current law:   |             |         |           |
| Offsetting receipts (proprietary):   |             |         |           |
| 1220 Gains and Losses on Non-Federal Securities, National Railroad Retirement Investment Trust .....       | 3,139       | 520     | 400       |
| 1221 Interest and Dividends on Non-Federal Securities, National Railroad Retirement Investment Trust ..... | 397         | 79      | 116       |
| Offsetting receipts (intragovernmental):   |             |         |           |
| 1240 Earnings on Investments in Federal Securities, National Railroad Retirement Investment Trust .....    | 41          | 6       | 11        |
| 1299 Income under present law .....  | 3,577       | 605     | 527       |
| 3299 Total cash income .....   | 3,577       | 605     | 527       |
| Cash outgo during year:  |             |         |           |
| Current law:   |             |         |           |
| 4500 National Railroad Retirement Investment Trust .....   | -2,095      | -1,755  | -1,837    |
| 4599 Outgo under current law (-) .....   | -2,095      | -1,755  | -1,837    |
| 6599 Total cash outgo (-) .....  | -2,095      | -1,755  | -1,837    |
| Unexpended balance, end of year:   |             |         |           |
| 8700 Uninvested balance (net), end of year .....   | 21,341      | 20,234  | 18,959    |
| 8701 National Railroad Retirement Investment Trust .....   | 710         | 667     | 632       |
| 8799 Total balance, end of year .....  | 22,051      | 20,901  | 19,591    |

## Object Classification (in millions of dollars)

| Identification code 60-8118-0-7-601                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.2 Other services from non-Federal sources ..... | 69          | 70      | 75        |
| 94.0 Financial transfers .....                     | 2,026       | 1,685   | 1,762     |
| 99.9 Total new obligations .....                   | 2,095       | 1,755   | 1,837     |

## LIMITATION ON ADMINISTRATION, RECOVERY ACT

This appropriation provides funding for administrative costs of the Railroad Retirement Board to pay benefits under the American Recovery and Reinvestment Act of 2009 (P.L. 111-5).

## LIMITATION ON THE OFFICE OF INSPECTOR GENERAL

*For expenses necessary for the Office of Inspector General for audit, investigatory and review activities, as authorized by the Inspector General Act of 1978, not more than \$8,877,000, to be derived from the railroad retirement accounts and railroad unemployment insurance account.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 60–8018–0–7–601                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Rail Industry Pension Fund .....                              | 9           | 5       | 6         |
| 0002 Railroad Social Security Equivalent Benefit .....             |             | 2       | 2         |
| 0003 Railroad Unemployment Insurance Trust .....                   |             | 1       | 1         |
| 0100 Subtotal, direct program .....                                | 9           | 8       | 9         |
| 0799 Total direct obligations .....                                | 9           | 8       | 9         |
| 0801 Medicare and other reimbursements .....                       | 1           | 1       | 1         |
| 0900 Total new obligations .....                                   | 10          | 9       | 10        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Budget authority:  |             |         |           |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 10          | 9       | 10        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 10          | 9       | 10        |
| 1930 Total budgetary resources available .....                     | 10          | 9       | 10        |
| Memorandum (non-add) entries:                                      |             |         |           |
| Special and non-revolving trust funds:                             |             |         |           |
| 1952 Expired unobligated balance, start of year .....              | 1           | 1       | 1         |
| 1953 Expired unobligated balance, end of year .....                | 1           | 1       | 1         |

## Change in obligated balance:

|   |     |    |     |
|---|-----|----|-----|
| Unpaid obligations:                                   |     |    |     |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 1   | 1  | 1   |
| 3010 Obligations incurred, unexpired accounts .....   | 10  | 9  | 10  |
| 3020 Outlays (gross) .....                            | –10 | –9 | –10 |
| 3050 Unpaid obligations, end of year .....            | 1   | 1  | 1   |
| Memorandum (non-add) entries:                         |     |    |     |
| 3100 Obligated balance, start of year .....           | 1   | 1  | 1   |
| 3200 Obligated balance, end of year .....             | 1   | 1  | 1   |

## Budget authority and outlays, net:

|   |     |    |     |
|---|-----|----|-----|
| Discretionary:                                      |     |    |     |
| 4000 Budget authority, gross .....                  | 10  | 9  | 10  |
| Outlays, gross:                                     |     |    |     |
| 4010 Outlays from new discretionary authority ..... | 10  | 9  | 10  |
| Offsets against gross budget authority and outlays: |     |    |     |
| Offsetting collections (collected) from:            |     |    |     |
| 4030 Federal sources .....                          | –10 | –9 | –10 |

## Object Classification (in millions of dollars)

| Identification code 60–8018–0–7–601                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 5           | 5       | 6         |
| 12.1 Civilian personnel benefits .....                 | 2           | 2       | 2         |
| 99.0 Direct obligations .....                          | 7           | 7       | 8         |
| 99.0 Reimbursable obligations .....                    | 1           | 1       | 1         |
| 99.5 Below reporting threshold .....                   | 2           | 1       | 1         |
| 99.9 Total new obligations .....                       | 10          | 9       | 10        |

## Employment Summary

| Identification code 60–8018–0–7–601                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 47          | 45      | 47        |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 5           | 5       | 5         |

## RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 60–8010–0–7–601   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 220         | 406     | 273       |
| Receipts:   |             |         |           |
| 0200 Refunds, Railroad Social Security Equivalent Benefit Account .....   | –3          | –30     | –3        |
| 0201 Railroad Social Security Equivalent Benefit Account, Taxes .....   | 2,251       | 2,673   | 2,735     |
| 0202 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund ..... | –484        | –508    | –546      |
| 0240 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities ..... | 21          | 22      | 19        |
| 0241 Railroad Social Security Equivalent Benefit Account, Income Tax Credits .....  | 291         | 222     | 210       |
| 0242 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund ..... | –27         | –25     | –27       |
| 0243 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund .....       | 4,139       | 3,898   | 4,175     |
| 0244 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund .....         | 512         | 427     | 427       |
| 0245 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111–312) .....     | 339         | 94      |           |
| 0299 Total receipts and collections .....   | 7,039       | 6,773   | 6,990     |
| 0400 Total: Balances and collections .....  | 7,259       | 7,179   | 7,263     |
| Appropriations:   |             |         |           |
| 0500 Railroad Social Security Equivalent Benefit Account .....  | –32         | –34     | –35       |
| 0501 Railroad Social Security Equivalent Benefit Account .....  | –7,008      | –6,773  | –6,990    |
| 0502 Railroad Social Security Equivalent Benefit Account .....  | –220        | –407    | –308      |
| 0503 Railroad Social Security Equivalent Benefit Account .....  | 407         | 308     | 343       |
| 0599 Total appropriations .....   | –6,853      | –6,906  | –6,990    |
| 0799 Balance, end of year .....   | 406         | 273     | 273       |

## Program and Financing (in millions of dollars)

| Identification code 60–8010–0–7–601                            | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |             |         |           |
| 0001 Direct program activity .....                             | 6,786       | 6,878   | 7,087     |
| <b>Budgetary Resources:</b>                                    |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....          |             | 1       | 1         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                 |             |         |           |
| 1101 Appropriation (special or trust fund) .....               | 32          | 34      | 35        |
| 1160 Appropriation, discretionary (total) .....                | 32          | 34      | 35        |
| Appropriations, mandatory:                                     |             |         |           |
| 1201 Appropriation (special or trust fund) .....               | 7,008       | 6,773   | 6,990     |
| 1203 Appropriation (previously unavailable) .....              | 220         | 407     | 308       |
| 1220 Appropriations transferred to other accts [60–8011] ..... | –19         | –247    | –28       |
| 1235 Appropriations precluded from obligation .....            | –407        | –308    | –343      |
| 1236 Appropriations applied to repay debt .....                | –3,816      | –3,753  | –3,967    |
| 1260 Appropriations, mandatory (total) .....                   | 2,986       | 2,872   | 2,960     |
| Borrowing authority, mandatory:                                |             |         |           |
| 1400 Borrowing authority .....                                 | 3,769       | 3,972   | 4,092     |
| 1440 Borrowing authority, mandatory (total) .....              | 3,769       | 3,972   | 4,092     |
| 1900 Budget authority (total) .....                            | 6,787       | 6,878   | 7,087     |
| 1930 Total budgetary resources available .....                 | 6,787       | 6,879   | 7,088     |
| Memorandum (non-add) entries:                                  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....          | 1           | 1       | 1         |
| <b>Change in obligated balance:</b>                            |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....          | 588         | 563     | 571       |
| 3010 Obligations incurred, unexpired accounts .....            | 6,786       | 6,878   | 7,087     |
| 3020 Outlays (gross) .....                                     | –6,811      | –6,870  | –7,072    |
| 3050 Unpaid obligations, end of year .....                     | 563         | 571     | 586       |
| Memorandum (non-add) entries:                                  |             |         |           |
| 3100 Obligated balance, start of year .....                    | 588         | 563     | 571       |
| 3200 Obligated balance, end of year .....                      | 563         | 571     | 586       |
| <b>Budget authority and outlays, net:</b>                      |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                             | 32          | 34      | 35        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....            | 32          | 34      | 35        |

RAILROAD SOCIAL SECURITY EQUIVALENT BENEFIT ACCOUNT—Continued  
Program and Financing—Continued

| Identification code 60-8010-0-7-601                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Mandatory:</b>  |             |         |           |
| 4090 Budget authority, gross .....                               | 6,755       | 6,844   | 7,052     |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....                  | 6,726       | 6,826   | 7,027     |
| 4101 Outlays from mandatory balances .....                       | 53          | 10      | 10        |
| 4110 Outlays, gross (total) .....                                | 6,779       | 6,836   | 7,037     |
| 4180 Budget authority, net (total) .....                         | 6,787       | 6,878   | 7,087     |
| 4190 Outlays, net (total) .....                                  | 6,811       | 6,870   | 7,072     |
| <b>Memorandum (non-add) entries:</b>                             |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 796         | 927     | 927       |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 927         | 927     | 971       |
| 5080 Outstanding debt, SOY: Repayable advances .....             | -3,484      | -3,402  | -3,622    |
| 5081 Outstanding debt, EOY: Repayable advances .....             | -3,402      | -3,622  | -3,747    |
| 5082 Borrowing: Repayable advances .....                         | -3,734      | -3,973  | -4,092    |

All railroad retirees receive the equivalent of a social security benefit, and they may also receive other add-ons including rail industry pension payments, windfall payments, and supplemental annuities. Social security benefits for former railroad employees are funded by the social security trust funds, and rail industry pension payments are the responsibility of the rail sector.

Under current law, a financial interchange occurs once each year between the social security trust funds and the social security equivalent benefit (SSEB) account. SSEB receives monthly advances from the general fund equal to an estimate of the transfer SSEB would have received for the previous month if the financial interchange transfers were on a monthly basis. Advances from the previous year are repaid annually to the general fund immediately after the financial interchange is received. In 2012, \$3,757 million was advanced and \$3,816 million was repaid.

## Status of Funds (in millions of dollars)

| Identification code 60-8010-0-7-601   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Unexpended balance, start of year:  |             |         |           |
| 0100 Balance, start of year .....   | -2,676      | -2,463  | -2,807    |
| 0199 Total balance, start of year .....   | -2,676      | -2,463  | -2,807    |
| Cash income during the year:  |             |         |           |
| Current law:  |             |         |           |
| Receipts:   |             |         |           |
| 1200 Refunds, Railroad Social Security Equivalent Benefit Account .....   | -3          | -30     | -3        |
| 1201 Railroad Social Security Equivalent Benefit Account, Taxes .....   | 2,251       | 2,673   | 2,735     |
| 1202 Railroad Social Security Equivalent Benefit Account, Receipts Transferred to Federal Hospital Insurance Trust Fund ..... | -484        | -508    | -546      |
| Offsetting receipts (intragovernmental):  |             |         |           |
| 1240 Railroad Social Security Equivalent Benefit Account, Interest and Profits on Investments in Public Debt Securities ....  | 21          | 22      | 19        |
| 1241 Railroad Social Security Equivalent Benefit Account, Income Tax Credits .....  | 291         | 222     | 210       |
| 1242 Railroad Social Security Equivalent Benefit Account, Interest Transferred to Federal Hospital Insurance Trust Fund ..... | -27         | -25     | -27       |
| 1243 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Old-age Survivors Ins. Trust Fund .....       | 4,139       | 3,898   | 4,175     |
| 1244 Railroad Social Security Equivalent Benefit Account, Receipts from Federal Disability Insurance Trust Fund .....         | 512         | 427     | 427       |
| 1245 Railroad Social Security Equivalent Benefit Account, General Fund Payment for Payroll Tax Holiday (PL 111-312) .....     | 339         | 94      | .....     |
| 1299 Income under present law .....   | 7,039       | 6,773   | 6,990     |
| 3299 Total cash income .....  | 7,039       | 6,773   | 6,990     |
| Cash outgo during year:   |             |         |           |
| Current law:  |             |         |           |
| 4500 Railroad Social Security Equivalent Benefit Account .....  | -6,811      | -6,870  | -7,072    |
| 4599 Outgo under current law (-) .....  | -6,811      | -6,870  | -7,072    |
| 6599 Total cash outgo (-) .....   | -6,811      | -6,870  | -7,072    |
| 7645 Railroad Social Security Equivalent Benefit Account .....  | -19         | -247    | -28       |

|  |        |        |        |
|--|--------|--------|--------|
| Manual Adjustments:  |        |        |        |
| 7690 Adjustment - lost investment opportunity .....            | 4      | .....  | .....  |
| 7699 Total adjustments .....                                   | -15    | -247   | -28    |
| Unexpended balance, end of year:                               |        |        |        |
| 8700 Uninvested balance (net), end of year .....               | -3,390 | -3,734 | -3,888 |
| 8701 Railroad Social Security Equivalent Benefit Account ..... | 927    | 927    | 971    |
| 8799 Total balance, end of year .....                          | -2,463 | -2,807 | -2,917 |

## Object Classification (in millions of dollars)

| Identification code 60-8010-0-7-601 | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|-------------|---------|-----------|
| <b>Direct obligations:</b>          |             |         |           |
| 42.0 Benefit payments .....         | 6,632       | 6,725   | 6,920     |
| 94.0 Financial transfers .....      | 121         | 119     | 132       |
| 94.0 Financial transfers .....      | 33          | 34      | 35        |
| 99.9 Total new obligations .....    | 6,786       | 6,878   | 7,087     |

RECOVERY ACCOUNTABILITY AND  
TRANSPARENCY BOARD

## Federal Funds

## SALARIES AND EXPENSES

*For necessary expenses of the Recovery Accountability and Transparency Board to carry out the accountability provisions of title XV of the American Recovery and Reinvestment Act of 2009 (Public Law 111-5), and to develop and test information technology resources and oversight mechanisms to enhance transparency of and detect and remediate waste, fraud, and abuse in Federal spending, and to develop and use information technology resources and oversight mechanisms to detect and remediate waste, fraud, and abuse in obligation and expenditure of funds as described in Section 904(d) of the Disaster Relief Appropriations Act, 2013, which shall be administered under the terms and conditions of the accountability authorities of title XV of the Recovery Act, \$12,500,000.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 95-3725-0-1-808                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                          |             |         |           |
| 0001 Direct program activity .....                               | 24          | 25      | 13        |
| <b>Budgetary Resources:</b>                                      |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | .....       | 4       | 7         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                   |             |         |           |
| 1100 Appropriation .....   | 28          | 28      | 13        |
| 1120 Appropriations transferred to other accts [95-3725] .....   | .....       | -6      | -6        |
| 1121 Appropriations transferred from other accts [95-3725] ..... | .....       | 6       | 6         |
| 1160 Appropriation, discretionary (total) .....                  | 28          | 28      | 13        |
| 1930 Total budgetary resources available .....                   | 28          | 32      | 20        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 4           | 7       | 7         |
| <b>Change in obligated balance:</b>                              |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....            | 14          | 11      | 8         |
| 3010 Obligations incurred, unexpired accounts .....              | 24          | 25      | 13        |
| 3020 Outlays (gross) .....                                       | -27         | -28     | -10       |
| 3050 Unpaid obligations, end of year .....                       | 11          | 8       | 11        |
| Memorandum (non-add) entries:                                    |             |         |           |
| 3100 Obligated balance, start of year .....                      | 14          | 11      | 8         |
| 3200 Obligated balance, end of year .....                        | 11          | 8       | 11        |
| <b>Budget authority and outlays, net:</b>                        |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                               | 28          | 28      | 13        |



|                 |  |       |       |       |
|-----------------|--|-------|-------|-------|
| Outlays, gross: |  |       |       |       |
| 4010            | Outlays from new discretionary authority ..... | 16    | 18    | 9     |
| 4011            | Outlays from discretionary balances .....      | 11    | 10    | 1     |
|                 |  | <hr/> | <hr/> | <hr/> |
| 4020            | Outlays, gross (total) .....                   | 27    | 28    | 10    |
| 4180            | Budget authority, net (total) .....            | 28    | 28    | 13    |
| 4190            | Outlays, net (total) .....                     | 27    | 28    | 10    |

The Recovery Accountability and Transparency Board (Board) is an independent federal agency charged with providing accountability and transparency of funds provided under the American Recovery and Reinvestment Act of 2009 (Recovery Act). The primary functions of the Board are to promote accountability by coordinating and conducting oversight of Recovery Act funds to prevent fraud, waste, and abuse and fosters transparency on Recovery Act spending by providing the public with accurate, user-friendly information. The Board also develops and tests information technology resources and oversight mechanisms to enhance transparency of and detect and remediate fraud, waste and abuse in Federal spending. In addition, the Board is to develop and use information technology resources and oversight mechanisms to detect and remediate waste, fraud and abuse as referenced in Section 904(d) of the Disaster Relief Appropriations Act, 2013.

#### Object Classification (in millions of dollars)

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 95-3725-0-1-808 |   | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |   |             |         |           |
| Personnel compensation:             |   |             |         |           |
| 11.1                                | Full-time permanent .....                           | 3           | 4       | 3         |
| 11.8                                | Special personal services payments .....            | 4           | 5       | 1         |
| 11.9                                | Total personnel compensation .....                  | 7           | 9       | 4         |
| 12.1                                | Civilian personnel benefits .....                   | 1           | 2       | .....     |
| 23.1                                | Rental payments to GSA .....                        | 1           | 1       | 1         |
| 25.1                                | Advisory and assistance services .....              | 8           | 7       | 7         |
| 25.3                                | Other goods and services from Federal sources ..... | 6           | 5       | 1         |
| 31.0                                | Equipment .....                                     | 1           | 1       | .....     |
| 99.9                                | Total new obligations .....                         | 24          | 25      | 13        |

#### Employment Summary

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 95-3725-0-1-808 |   | 2012 actual | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... | 39          | 35      | 25        |

## SECURITIES AND EXCHANGE COMMISSION

### Federal Funds

#### SALARIES AND EXPENSES

For necessary expenses for the Securities and Exchange Commission, including services as authorized by 5 U.S.C. 3109, the rental of space (to include multiple year leases) in the District of Columbia and elsewhere, and not to exceed \$3,500 for official reception and representation expenses, \$1,674,000,000, to remain available until expended; of which not less than \$74,844,000 shall be used to cover shortfalls in the Commission's funding of obligations incurred in past fiscal years for ongoing multi-year real property contracts; and of which not less than \$7,092,381 shall be for the Office of Inspector General; of which not to exceed \$50,000 shall be available for a permanent secretariat for the International Organization of Securities Commissions; and of which not to exceed \$100,000 shall be available for expenses for consultations and meetings hosted by the Commission with foreign governmental and other regulatory officials, members of their delegations and staffs to exchange views concerning securities matters, such expenses to include necessary logistic and administrative expenses and the expenses of Commission staff and foreign invitees in attendance including: (1) incidental expenses such as meals; (2) travel and transportation; and (3) related lodging or subsistence: Provided, That fees and charges authorized by section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee) shall be credited to this account as offsetting collec-

tions: Provided further, That not to exceed \$1,674,000,000 of such offsetting collections shall be available until expended for necessary expenses of this account: Provided further, That the total amount appropriated under this heading from the general fund for fiscal year 2014 shall be reduced as such offsetting fees are received so as to result in a final total fiscal year 2014 appropriation from the general fund estimated at not more than \$0.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |   |             |         |           |
|---|---|-------------|---------|-----------|
| Identification code 50-0100-0-1-376     |   | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b> |   |             |         |           |
| 0001                                    | Enforcement .....                                 | 433         | 415     | 494       |
| 0002                                    | Compliance Inspections and Examinations .....     | 269         | 264     | 347       |
| 0003                                    | Corporation Finance .....                         | 138         | 132     | 164       |
| 0004                                    | Trading and Markets .....                         | 70          | 79      | 98        |
| 0005                                    | Investment Management .....                       | 50          | 49      | 63        |
| 0006                                    | Risk, Strategy, and Financial Innovation .....    | 20          | 32      | 51        |
| 0007                                    | General Counsel .....                             | 44          | 42      | 42        |
| 0008                                    | Other Program Offices .....                       | 48          | 51      | 64        |
| 0009                                    | Agency Direction and Administrative Support ..... | 101         | 183     | 276       |
| 0010                                    | Inspector General .....                           | 7           | 7       | 8         |
| 0799                                    | Total direct obligations .....                    | 1,180       | 1,254   | 1,607     |
| 0801                                    | Reimbursable program .....                        | .....       | .....   | 1         |
| 0900                                    | Total new obligations .....                       | 1,180       | 1,254   | 1,608     |

#### Budgetary Resources:

|   |  |       |       |       |
|---|--|-------|-------|-------|
| <b>Unobligated balance:</b>   |  |       |       |       |
| 1000  | Unobligated balance brought forward, Oct 1 .....                         | ..... | 1     | 9     |
| 1021  | Recoveries of prior year unpaid obligations .....                        | 169   | ..... | ..... |
| 1050  | Unobligated balance (total) .....  | 169   | 1     | 9     |
| <b>Budget authority:</b>  |  |       |       |       |
| <b>Appropriations, discretionary:</b>                                 |  |       |       |       |
| 1100  | Appropriation .....  | 33    | 8     | ..... |
| 1160  | Appropriation, discretionary (total) .....                               | 33    | 8     | ..... |
| <b>Spending authority from offsetting collections, discretionary:</b> |  |       |       |       |
| 1700  | Collected .....  | 1,289 | 1,329 | 1,674 |
| 1750  | Spending auth from offsetting collections, disc (total) .....            | 1,289 | 1,329 | 1,674 |
| 1900  | Budget authority (total) .....   | 1,322 | 1,337 | 1,674 |
| 1901  | Adjustment for new budget authority used to liquidate deficiencies ..... | -168  | -75   | -75   |
| 1901  | Adjustment for unfunded deficiencies .....                               | -142  | ..... | ..... |
| 1930  | Total budgetary resources available .....                                | 1,181 | 1,263 | 1,608 |
| <b>Memorandum (non-add) entries:</b>                                  |  |       |       |       |
| 1941  | Unexpired unobligated balance, end of year .....                         | 1     | 9     | ..... |

#### Change in obligated balance:

|                                      |  |        |        |        |
|--------------------------------------|--|--------|--------|--------|
| <b>Unpaid obligations:</b>           |  |        |        |        |
| 3000                                 | Unpaid obligations, brought forward, Oct 1 .....             | 1,111  | 942    | 753    |
| 3010                                 | Obligations incurred, unexpired accounts .....               | 1,180  | 1,254  | 1,608  |
| 3020                                 | Outlays (gross) .....  | -1,180 | -1,443 | -1,696 |
| 3040                                 | Recoveries of prior year unpaid obligations, unexpired ..... | -169   | .....  | .....  |
| 3050                                 | Unpaid obligations, end of year .....                        | 942    | 753    | 665    |
| <b>Memorandum (non-add) entries:</b> |  |        |        |        |
| 3100                                 | Obligated balance, start of year .....                       | 1,111  | 942    | 753    |
| 3200                                 | Obligated balance, end of year .....                         | 942    | 753    | 665    |

#### Budget authority and outlays, net:

|  |  |        |        |        |
|--|--|--------|--------|--------|
| <b>Discretionary:</b>                                      |  |        |        |        |
| 4000   | Budget authority, gross .....                  | 1,322  | 1,337  | 1,674  |
| <b>Outlays, gross:</b>                                     |  |        |        |        |
| 4010   | Outlays from new discretionary authority ..... | 946    | 1,139  | 1,424  |
| 4011   | Outlays from discretionary balances .....      | 234    | 304    | 272    |
| 4020   | Outlays, gross (total) .....                   | 1,180  | 1,443  | 1,696  |
| <b>Offsets against gross budget authority and outlays:</b> |  |        |        |        |
| <b>Offsetting collections (collected) from:</b>            |  |        |        |        |
| 4034   | Offsetting governmental collections .....      | -1,289 | -1,329 | -1,674 |
| 4180   | Budget authority, net (total) .....            | 33     | 8      | .....  |
| 4190   | Outlays, net (total) .....                     | -109   | 114    | 22     |

#### Memorandum (non-add) entries:

|      |   |       |       |       |
|------|---|-------|-------|-------|
| 5090 | Unavailable balance, start of year: Offsetting collections (adjusted) ..... | 6,495 | 6,495 | 6,495 |
|------|---|-------|-------|-------|

SALARIES AND EXPENSES—Continued  
Program and Financing—Continued

| Identification code 50–0100–0–1–376 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| 5091                                | Unavailable balance, end of year: Offsetting Collections ..... | 6,495       | 6,495   | 6,495     |
| <b>Unfunded deficiencies:</b>       |  |             |         |           |
| 7000                                | Unfunded deficiency, start of year .....                       | –731        | –421    | –346      |
|                                     | Change in deficiency during the year:                          |             |         |           |
| 7012                                | New budget authority used to liquidate deficiencies .....      | 310         | 75      | 75        |
| 7020                                | Unfunded deficiency, end of year .....                         | –421        | –346    | –271      |

The primary mission of the Securities and Exchange Commission (SEC) is to protect investors; maintain fair, orderly, and efficient markets; and facilitate capital formation. The Commission's six major programs include the following:

**Enforcement.**—The Division of Enforcement investigates and prosecutes violations of the Federal securities laws and works closely with the Department of Justice and U.S. Attorneys offices to coordinate and assist in criminal prosecutions. In 2014, Enforcement plans to enhance the core investigative, litigation, and analytical functions to address increasingly complex financial products and transactions. Specifically, Enforcement plans to focus on bringing additional legal, accounting, and industry expertise to investigations and cases; supporting current initiatives in market intelligence; and enhancing case management. The division also plans to bolster staffing for the Office of Market Intelligence (OMI), which is responsible for the collection, analysis, risk-weighting triage, referral, and monitoring of the thousands of tips, complaints, and referrals that the agency receives each year. The division also continues to focus on enhancing collaboration among all parties involved in investor protection, including other Federal agencies, the SEC's foreign counterparts, and market participants who are critical in helping to identify risks and potential case referrals. Continued investment in technology remains a top priority for Enforcement, as it will enable the staff to work more efficiently and effectively. In 2014, the division plans to invest resources in further development of the suite of capabilities to track and handle tips, complaints, and referrals, as well as a comprehensive case management system.

**Compliance Inspections and Examinations.**—The Office of Compliance Inspections and Examinations (OCIE) conducts the SEC's examination program to detect violations of the Federal securities laws and evaluate internal compliance controls at securities firms registered with the SEC. In 2014, OCIE plans to hire additional examiners to focus on investment advisers and investment companies as part of a multi-year effort to increase coverage of the advisory industry, allowing OCIE to more effectively assess the risk of, monitor, and examine market participants to focus on the areas in greatest need of attention. In addition to regular examinations and monitoring of regulated entities, OCIE will continue to promote stronger industry compliance through the Compliance Outreach Program.

**Corporation Finance.**—The Division of Corporation Finance establishes disclosure requirements and monitors disclosures to provide investors with information necessary to make investment decisions and to help prevent fraud and misrepresentation in securities transactions. Continuing investor interest regarding the quality of financial reporting and corporate governance and significant changes in disclosure and other requirements applicable to reporting companies have contributed to a changing regulatory environment affecting the securities markets. In 2014, the division plans to continue its multi-year effort to enhance disclosure reviews of large or financially significant companies; provide increased interpretive guidance as a result of the en-

hanced filing reviews; review the offering rules to consider their impact on small business capital formation and recommend changes to the Commission as appropriate; and evaluate trends in the increasingly complex offerings of asset-backed securities and other structured financial products. The division also will continue to focus on meeting the review cycle requirements of the Sarbanes-Oxley Act and process in a timely manner all requests for confidential treatment associated with filings.

**Trading and Markets.**—The Division of Trading and Markets' mission is to establish and maintain standards for fair, orderly and efficient markets, while fostering investor protection and confidence in the markets. The division oversees the activities of industry self-regulatory organizations (SRO) such as the Financial Industry Regulatory Authority (FINRA), and also directly regulates market participants where Commission rulemaking is more effective than self-regulation. In 2014, the division will assume the substantial new responsibilities required of it in the Jumpstart Our Business Startups Act (JOBS Act). The division plans to enhance its oversight of market structure and operations, analysis of real-time market data, and economic analysis of proposed SRO rules to determine potential burdens on competition of proposed rule changes. The division will continue to supervise trading in the U.S. exchange, over-the-counter (OTC) securities, and options markets, as well as address issues related to central clearing of credit default swaps, short sales of securities, and manipulation. The division also will continue to work with other U.S. and foreign regulators and industry personnel to devise and implement the most effective and efficient regulatory structure for credit rating agencies and to address risk in the credit default swaps market.

**Investment Management.**—The Division of Investment Management regulates investment companies and investment advisers under two companion statutes: the Investment Company Act of 1940 and the Investment Advisers Act of 1940. The division seeks to protect investors from fraud, mismanagement, self dealing, and inadequate disclosures by investment companies and investment advisers, without imposing unnecessary burdens on regulated entities. In 2014, the division plans to focus on exchange-traded funds (ETFs) and money market funds, which have unique attributes that present different and often more challenging regulatory concerns than conventional funds. The division also plans to conduct financial analysis and data analytics to support its investor protection mandate.

**Risk, Strategy and Financial Innovation.**—In 2014, the Division of Risk, Strategy and Financial Innovation plans to enhance its expertise in equity markets and trading, fixed income markets and products, financial innovation, and asset valuation. The division also plans to focus resources on conducting robust cost-benefit analyses to evaluate the economic impact of proposed rules.

Several additional program offices directly support the major programs, including the Office of Investor Education and Advocacy (OIEA), the Office of the Chief Accountant, and the Office of International Affairs. In 2014, these offices will continue to focus their efforts on: research into investors' decision-making behavior to develop and refine educational programs and materials and help inform the Commission's rulemaking agenda; the Financial Accounting Standards Board's (FASB) standard setting activities, including ongoing major projects on revenue recognition and financial statement presentation; and major international regulatory policy initiatives to strengthen financial markets and investor protection, as well as assisting the Division of Enforcement with numerous cases that have significant international components.

*Implementation of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111-203) (the Act).*—The Act assigned significant new responsibilities to the SEC that will have a substantial long-term impact on the agency's workload, including oversight of hedge fund advisers and a portion of the over-the-counter derivatives market; registration of municipal advisors and securities-based swaps market participants; enhanced supervision of credit rating agencies and clearing agencies; heightened regulation of asset-backed securities; and creation of a new whistleblower program. In 2014, the SEC plans to continue implementation of the Act and fully integrate the new responsibilities and registrants into the agency's operations. Toward this end, the SEC will augment enforcement and examination staffing levels in order to address the additional workload resulting from the increased number and types of market participants, the increased complexity of investigations, and administration of the whistleblower program. The SEC will increase the number of examination staff focused on private funds advisers managing thousands of pooled investment vehicles who will register with the Commission as a result of the Act. The agency also will add staff in the Divisions of Corporation Finance, Trading and Markets, Investment Management, and Risk, Strategy and Financial Innovation to support new responsibilities and increased workload under the Act, including disclosure review of asset-backed securities issuers; oversight of new security-based swap clearing agencies, dealers, and data repositories; inspections of investment companies and advisers to focus on key issues or practices with industry-wide applicability; expanded oversight of private fund advisers; and data management and analysis for the substantial data sets resulting from registration of new entities. The agency plans to emphasize hiring staff with industry expertise for areas that are new additions to the SEC's regulatory portfolio under the Act.

The SEC is funded through offsetting fees collected pursuant to section 31 of the Securities Exchange Act of 1934 (15 U.S.C. 78ee). The Budget proposes \$1.674 billion of the fee collections to finance SEC operations in 2014. Because the SEC's budget is offset by fees, the agency's funding level has no impact on the Federal deficit.

#### Object Classification (in millions of dollars)

| Identification code 50-0100-0-1-376                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 566         | 656     | 796       |
| 11.3 Other than full-time permanent .....                       | 18          |         | 1         |
| 11.5 Other personnel compensation .....                         | 7           | 6       | 11        |
| 11.8 Special personal services payments .....                   | 2           | 3       | 2         |
| 11.9 Total personnel compensation .....                         | 593         | 665     | 810       |
| 12.1 Civilian personnel benefits .....                          | 172         | 176     | 198       |
| 13.0 Benefits for former personnel .....                        | 1           |         | 1         |
| 21.0 Travel and transportation of persons .....                 | 11          | 13      | 17        |
| 23.2 Rental payments to others .....                            | 37          | 43      | 69        |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 10          | 12      | 14        |
| 24.0 Printing and reproduction .....                            | 8           | 11      | 11        |
| 25.1 Advisory and assistance services .....                     | 47          | 112     | 145       |
| 25.2 Other services from non-Federal sources .....              | 73          | 72      | 73        |
| 25.3 Other goods and services from Federal sources .....        | 22          | 22      | 24        |
| 25.4 Operation and maintenance of facilities .....              | 7           | 8       | 8         |
| 25.7 Operation and maintenance of equipment .....               | 123         | 23      | 133       |
| 26.0 Supplies and materials .....                               | 2           | 5       | 4         |
| 31.0 Equipment .....  | 73          | 80      | 82        |
| 32.0 Land and structures .....                                  | 1           | 12      | 18        |
| 99.0 Direct obligations .....                                   | 1,180       | 1,254   | 1,607     |
| 99.0 Reimbursable obligations .....                             |             |         | 1         |
| 99.9 Total new obligations .....                                | 1,180       | 1,254   | 1,608     |

#### Employment Summary

| Identification code 50-0100-0-1-376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment .....       | 3,792       | 4,213   | 4,834     |
| 2001 Reimbursable civilian full-time equivalent employment ..... | 1           | 1       | 1         |

#### SECURITIES AND EXCHANGE COMMISSION RESERVE FUND

##### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 50-5566-0-2-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             | 25      | 25        |
| Receipts:   |             |         |           |
| 0200 Registration Fees, Securities and Exchange Commission Reserve Fund ..... | 50          | 50      | 50        |
| 0400 Total: Balances and collections .....                                    | 50          | 75      | 75        |
| Appropriations:   |             |         |           |
| 0500 Securities and Exchange Commission Reserve Fund .....                    |             | 25      |           |
| 0501 Securities and Exchange Commission Reserve Fund .....                    | -50         | -75     | -75       |
| 0502 Securities and Exchange Commission Reserve Fund .....                    | 25          |         |           |
| 0599 Total appropriations .....   | -25         | -50     | -75       |
| 0799 Balance, end of year .....   | 25          | 25      |           |

##### Program and Financing (in millions of dollars)

| Identification code 50-5566-0-2-376  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:   |             |         |           |
| 0001 Enforcement .....   | 1           | 5       | 5         |
| 0002 Compliance Inspections and Examinations .....   | 2           | 7       | 7         |
| 0003 Corporation Finance .....   | 5           | 23      | 23        |
| 0004 Trading and Markets .....   | 1           | 5       | 5         |
| 0005 Investment Management .....   | 2           | 8       | 8         |
| 0009 Agency Direction and Administrative Support .....                                     | 1           | 2       | 2         |
| 0900 Total new obligations .....   | 12          | 50      | 50        |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....                                      |             | 13      | 13        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:   |             |         |           |
| 1132 Appropriations temporarily reduced .....  |             | -25     |           |
| Appropriation, discretionary (total) .....   |             | -25     |           |
| Appropriations, mandatory:   |             |         |           |
| 1201 Appropriation (special or trust fund) .....   | 50          | 75      | 75        |
| 1232 Appropriations and/or unobligated balance of appropriations temporarily reduced ..... | -25         |         |           |
| 1260 Appropriations, mandatory (total) .....   | 25          | 75      | 75        |
| 1900 Budget authority (total) .....  | 25          | 50      | 75        |
| 1930 Total budgetary resources available .....   | 25          | 63      | 88        |
| Memorandum (non-add) entries:  |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....                                      | 13          | 13      | 38        |
| Change in obligated balance:   |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....                                      |             | 12      | 31        |
| 3010 Obligations incurred, unexpired accounts .....  | 12          | 50      | 50        |
| 3020 Outlays (gross) .....   |             | -31     | -59       |
| 3050 Unpaid obligations, end of year .....   | 12          | 31      | 22        |
| Memorandum (non-add) entries:  |             |         |           |
| 3100 Obligated balance, start of year .....  |             | 12      | 31        |
| 3200 Obligated balance, end of year .....  | 12          | 31      | 22        |
| Budget authority and outlays, net:   |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....   |             | -25     |           |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....  |             | -8      |           |
| 4011 Outlays from discretionary balances .....   |             |         | -12       |
| 4020 Outlays, gross (total) .....  |             | -8      | -12       |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....   | 25          | 75      | 75        |
| Outlays, gross:  |             |         |           |
| 4100 Outlays from new mandatory authority .....  |             | 26      | 26        |

SECURITIES AND EXCHANGE COMMISSION RESERVE FUND—Continued  
Program and Financing—Continued

| Identification code 50–5566–0–2–376        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 4101 Outlays from mandatory balances ..... |             | 13      | 45        |
| 4110 Outlays, gross (total) .....          |             | 39      | 71        |
| 4180 Budget authority, net (total) .....   | 25          | 50      | 75        |
| 4190 Outlays, net (total) .....            |             | 31      | 59        |

Section 991 of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Act) amended section 4 of the Securities Exchange Act of 1934 (15 U.S.C. 78d) by adding the Securities and Exchange Commission Reserve Fund. The Reserve Fund is a separate fund established in the Treasury, from which the Commission may obligate amounts, not to exceed a total of \$100,000,000 in any one fiscal year, it determines are necessary to carry out the functions of the Commission. The Reserve Fund provisions took effect on October 1, 2011.

The Reserve Fund is financed by deposits from registration fees collected by the Commission under section 6(b) of the Securities Act of 1933 (15 U.S.C. 77f(b)) and section 24(f) of the Investment Company Act of 1940 (15 U.S.C. 80a-24(f)). In any one fiscal year, the amount deposited in the Reserve Fund may not exceed \$50,000,000; funds deposited are available until expended. (The remainder of registration fee collections for each fiscal year will be deposited in the General Fund of the Treasury and are not available for obligation by the Commission.)

Funds deposited in the Reserve Fund are not subject to appropriation or apportionment. The Commission is required to notify Congress, no later than 10 days after obligation, of the amount and purpose of any obligation made utilizing funds from the Reserve Fund.

In 2014, the SEC plans to use the Reserve Fund for EDGAR modernization, the Enterprise Data Warehouse (EDW), the Consolidated Audit Trail (CAT) Repository, analytical tools, and a single software platform to allow analysis of CAT data. Specifically, the SEC plans to continue the multi-year effort to overhaul EDGAR to create a new, modernized system that will, among other things, meet Commission requirements for real-time system updates; reduce filer burden by providing simplified search and filing options based on filer experience (i.e., professional or novice); improve data capture by moving to structured formats for various SEC forms; and reduce the long-term costs of operating and maintaining the systems. The EDGAR modernization effort leverages the centralized EDW, which will allow organization of data using standard definitions and structures, integration of large amounts of data to allow enhanced analytical capabilities and predictive modeling, and strengthened governance of data controls and quality standards.

The CAT Repository will increase the data available to regulators investigating illegal activities such as insider trading and market manipulation; significantly improve the SEC's ability to reconstruct broad-based market events in an accurate and timely manner; monitor overall market structure, and assess how Commission rules are affecting the markets; and decrease regulatory data production burdens on SROs and broker-dealers by reducing the number of ad hoc requests from regulators. In 2014, the SEC plans to develop the ability to intake CAT data and store it in the EDW, as well as develop analytical tools and a single software platform that will allow the SEC to identify patterns, trends, and anomalies in the CAT data. The tools and platform will allow seamless searches of data sets to examine activity to reveal suspicious behavior in securities-related activities and quickly trace their origin.

## Object Classification (in millions of dollars)

| Identification code 50–5566–0–2–376               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:                               |             |         |           |
| 25.7 Operation and maintenance of equipment ..... | 5           | 36      | 36        |
| 31.0 Equipment .....                              | 7           | 14      | 14        |
| 99.9 Total new obligations .....                  | 12          | 50      | 50        |

## INVESTOR PROTECTION FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 50–5567–0–2–376                     | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                       |             |         | 90        |
| Receipts:   |             |         |           |
| 0200 Monetary Sanctions, Investor Protection Fund ..... |             | 89      | 176       |
| 0240 Interest, Investor Protection Fund .....           | 1           | 1       | 1         |
| 0299 Total receipts and collections .....               | 1           | 90      | 177       |
| 0400 Total: Balances and collections .....              | 1           | 90      | 267       |
| Appropriations:   |             |         |           |
| 0500 Investor Protection Fund .....                     | –1          |         | –90       |
| 0799 Balance, end of year .....                         |             | 90      | 177       |

## Program and Financing (in millions of dollars)

| Identification code 50–5567–0–2–376                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Obligations by program activity:                                 |             |         |           |
| 0001 Whistleblower Payments .....                                |             | 110     | 133       |
| 0900 Total new obligations (object class 91.0) .....             |             | 110     | 133       |
| Budgetary Resources:   |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....            | 451         | 452     | 342       |
| Budget authority:  |             |         |           |
| Appropriations, mandatory:                                       |             |         |           |
| 1201 Appropriation (special or trust fund) .....                 | 1           |         | 90        |
| 1260 Appropriations, mandatory (total) .....                     | 1           |         | 90        |
| 1930 Total budgetary resources available .....                   | 452         | 452     | 432       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....            | 452         | 342     | 299       |
| Change in obligated balance:                                     |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....              |             | 110     | 133       |
| 3020 Outlays (gross) .....                                       |             | –110    | –133      |
| Budget authority and outlays, net:                               |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                               | 1           |         | 90        |
| Outlays, gross:  |             |         |           |
| 4101 Outlays from mandatory balances .....                       |             | 110     | 133       |
| 4180 Budget authority, net (total) .....                         | 1           |         | 90        |
| 4190 Outlays, net (total) .....                                  |             | 110     | 133       |
| Memorandum (non-add) entries:                                    |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 451         | 451     | 422       |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 451         | 422     | 392       |

As part of the Dodd-Frank Wall Street Reform and Consumer Protection Act (P.L. 111–203) (the Wall Street Reform Act), Congress substantially expanded the Securities and Exchange Commission's authority to pay whistleblower awards and enhanced the anti-retaliation protections available to whistleblowers. The intent is to elicit high-quality tips by motivating persons with inside knowledge to assist the Federal Government in identifying and prosecuting individuals who violate the Federal securities laws.

Section 922 of the Act amended the Securities Exchange Act by adding section 21F, entitled "Securities Whistleblower Incentives

and Protection." Among other things, section 21F establishes a whistleblower program that requires the Commission to pay an award, under regulations prescribed by the Commission and subject to certain limitations, to eligible whistleblowers if they provide original information to the SEC that leads to successful enforcement by the SEC of a judicial or administrative action in which monetary sanctions exceeding \$1 million are imposed. The award amount is based on the monetary sanctions actually collected in the Commission action or related action.

As mandated by the Wall Street Reform Act, the Commission's Division of Enforcement has established a Whistleblower Office to administer and enforce the whistleblower program, and the agency has established the Investor Protection Fund to provide funding for the program. The Fund provides resources for payments to whistleblowers and for the SEC Office of the Inspector General's suggestion program. The Employee Suggestion Program is intended for the receipt of suggestions from SEC employees for improvements in the work efficiency, effectiveness, productivity, and use of resources at the SEC, as well as allegations from SEC employees of waste, abuse, misconduct, or mismanagement within the SEC.

The Investor Protection Fund is funded by transferring a portion of monetary sanctions collected by the SEC in judicial or administrative actions brought by the SEC under the securities laws that are not added to disgorgement funds or other funds under section 308 of the Sarbanes-Oxley Act of 2002, or amounts in such funds that are determined not to be distributed to injured investors. No sanction collected by the Commission can be transferred to the Fund if the Fund's balance at the time of the transfer exceeds \$300 million.

The Commission is required to submit an annual report to the Committee on Banking, Housing and Urban Affairs of the Senate and the Committee on Financial Services of the House of Representatives, and the Committee on Banking, Housing, and Urban Affairs of the Senate, on the whistleblower award program.

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Offsetting receipts from the public:  |             |         |           |
| 50-32200 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... |             | 794     | 737       |
| General Fund Offsetting receipts from the public .....  |             | 794     | 737       |

## PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

### Federal Funds

## PUBLIC COMPANY ACCOUNTING OVERSIGHT BOARD

### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-5376-0-2-376   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 39          | 26      | 24        |
| Receipts:   |             |         |           |
| 0200 Accounting Support Fees, Public Company Accounting Oversight Board ..... | 215         | 234     | 254       |
| 0400 Total: Balances and collections .....                                    | 254         | 260     | 278       |
| Appropriations:   |             |         |           |
| 0500 Public Company Accounting Oversight Board .....                          | -228        | -236    | -253      |
| 0799 Balance, end of year .....   | 26          | 24      | 25        |

### Program and Financing (in millions of dollars)

| Identification code 95-5376-0-2-376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Accounting Oversight .....                      | 228         | 236     | 253       |
| 0002 Accounting Scholarship Program .....            | 1           | 1       | 1         |
| 0900 Total new obligations (object class 25.2) ..... | 229         | 237     | 254       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, discretionary:                       |             |         |           |
| 1100 Appropriation .....                             | 1           | 1       | 1         |
| 1160 Appropriation, discretionary (total) .....      | 1           | 1       | 1         |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 228         | 236     | 253       |
| 1260 Appropriations, mandatory (total) .....         | 228         | 236     | 253       |
| 1900 Budget authority (total) .....                  | 229         | 237     | 254       |
| 1930 Total budgetary resources available .....       | 229         | 237     | 254       |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 229         | 237     | 254       |
| 3020 Outlays (gross) .....                           | -229        | -237    | -254      |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Discretionary:                                       |             |         |           |
| 4000 Budget authority, gross .....                   | 1           | 1       | 1         |
| Outlays, gross:                                      |             |         |           |
| 4010 Outlays from new discretionary authority .....  | 1           | 1       | 1         |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 228         | 236     | 253       |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 215         | 236     | 253       |
| 4101 Outlays from mandatory balances .....           | 13          |         |           |
| 4110 Outlays, gross (total) .....                    | 228         | 236     | 253       |
| 4180 Budget authority, net (total) .....             | 229         | 237     | 254       |
| 4190 Outlays, net (total) .....                      | 229         | 237     | 254       |

Note: Because PCAOB does not report budgetary data to Treasury, budget estimates were derived from PCAOB's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107-204) established the Public Company Accounting Oversight Board (PCAOB) to oversee the audit of public companies that are subject to federal securities laws. PCAOB was created to protect the interests of investors by regulating the preparation of informative, accurate, and independent audit reports for companies whose securities are sold to, and held by and for, public investors. Funding for PCAOB comes from registration fees paid by public accounting firms and accounting support fees paid by public companies.

## STANDARD SETTING BODY

### Federal Funds

## PAYMENT TO STANDARD SETTING BODY

### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-5377-0-2-376                       | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                         |             |         |           |
| Receipts:   |             |         |           |
| 0200 Accounting Support Fees, Standard Setting Body ..... | 39          | 38      | 40        |
| 0400 Total: Balances and collections .....                | 39          | 38      | 40        |
| Appropriations:   |             |         |           |
| 0500 Payment to Standard Setting Body .....               | -39         | -38     | -40       |
| 0799 Balance, end of year .....                           |             |         |           |

### Program and Financing (in millions of dollars)

| Identification code 95-5377-0-2-376         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>     |             |         |           |
| 0001 Advisory and assistance services ..... | 39          | 38      | 40        |

**PAYMENT TO STANDARD SETTING BODY—Continued**  
**Program and Financing—Continued**

| Identification code 95–5377–0–2–376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0900 Total new obligations (object class 25.1) ..... | 39          | 38      | 40        |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 39          | 38      | 40        |
| 1260 Appropriations, mandatory (total) .....         | 39          | 38      | 40        |
| 1900 Budget authority (total) .....                  | 39          | 38      | 40        |
| 1930 Total budgetary resources available .....       | 39          | 38      | 40        |
| <b>Change in obligated balance:</b>                  |             |         |           |
| Unpaid obligations:                                  |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....  | 39          | 38      | 40        |
| 3020 Outlays (gross) .....                           | –39         | –38     | –40       |
| <b>Budget authority and outlays, net:</b>            |             |         |           |
| Mandatory:   |             |         |           |
| 4090 Budget authority, gross .....                   | 39          | 38      | 40        |
| Outlays, gross:                                      |             |         |           |
| 4100 Outlays from new mandatory authority .....      | 39          | 38      | 40        |
| Offsets against gross budget authority and outlays:  |             |         |           |
| Offsetting collections (collected) from:             |             |         |           |
| 4180 Budget authority, net (total) .....             | 39          | 38      | 40        |
| 4190 Outlays, net (total) .....                      | 39          | 38      | 40        |

Note: Because the Standard Setting Body does not provide budgetary data to the Treasury, budget estimates were derived from the Standard Setting Body's financial data.

The Sarbanes-Oxley Act of 2002 (P.L. 107–204) authorizes the Securities and Exchange Commission (SEC) to designate a private entity as a standard setting body. This standard setting body will set accounting principles that will be "generally accepted" for the purposes of federal securities laws. Funding for the standard setting body comes from Accounting Support Fees, paid by public companies. The private entity currently designated as the standard setting body is the Financial Accounting Standards Board (FASB).

**SECURITIES INVESTOR PROTECTION CORPORATION**

**Federal Funds**

**SECURITIES INVESTOR PROTECTION CORPORATION**

**Special and Trust Fund Receipts (in millions of dollars)**

| Identification code 95–5600–0–2–376                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....                     | 1,361       | 1,537   | 1,764     |
| Receipts:   |             |         |           |
| 0200 Assessments, SIPC .....                          | 396         | 390     | 400       |
| 0220 Earnings on Investments, SIPC .....              | 40          | 39      | 37        |
| 0299 Total receipts and collections .....             | 436         | 429     | 437       |
| 0400 Total: Balances and collections .....            | 1,797       | 1,966   | 2,201     |
| Appropriations:                                       |             |         |           |
| 0500 Securities Investor Protection Corporation ..... | –260        | –202    | –335      |
| 0799 Balance, end of year .....                       | 1,537       | 1,764   | 1,866     |

**Program and Financing (in millions of dollars)**

| Identification code 95–5600–0–2–376                  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>              |             |         |           |
| 0001 Program Management .....                        | 17          | 18      | 20        |
| 0002 Customer Claims .....                           | 243         | 184     | 315       |
| 0900 Total new obligations (object class 25.1) ..... | 260         | 202     | 335       |
| <b>Budgetary Resources:</b>                          |             |         |           |
| Budget authority:                                    |             |         |           |
| Appropriations, mandatory:                           |             |         |           |
| 1201 Appropriation (special or trust fund) .....     | 260         | 202     | 335       |

|  |     |     |     |
|--|-----|-----|-----|
| 1260 Appropriations, mandatory (total) .....   | 260 | 202 | 335 |
| 1930 Total budgetary resources available ..... | 260 | 202 | 335 |

**Change in obligated balance:**

|   |      |      |      |
|---|------|------|------|
| Unpaid obligations:                                 |      |      |      |
| 3010 Obligations incurred, unexpired accounts ..... | 260  | 202  | 335  |
| 3020 Outlays (gross) .....                          | –260 | –202 | –335 |

**Budget authority and outlays, net:**

|   |     |     |     |
|---|-----|-----|-----|
| Mandatory:                                      |     |     |     |
| 4090 Budget authority, gross .....              | 260 | 202 | 335 |
| Outlays, gross:                                 |     |     |     |
| 4100 Outlays from new mandatory authority ..... | 260 | 202 | 335 |
| 4180 Budget authority, net (total) .....        | 260 | 202 | 335 |
| 4190 Outlays, net (total) .....                 | 260 | 202 | 335 |

**Memorandum (non-add) entries:**

|  |       |       |       |
|--|-------|-------|-------|
| 5000 Total investments, SOY: Federal securities: Par value ..... | 1,431 | 1,607 | 1,834 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 1,607 | 1,834 | 1,936 |

Note: Because the Securities Investor Protection Corporation (SIPC) does not report budgetary data to Treasury, budget estimates were derived from SIPC's financial data.

SIPC was created when Congress passed the Securities Investor Protection Act of 1970 (SIPA). Its purpose is to afford certain protections to customers against loss resulting from broker-dealer failure and, thereby, to promote investor confidence in the nation's securities markets. SIPC is a non-profit membership corporation. Its members are, with some exceptions, all persons registered as brokers or dealers under Section 15(b) of the Securities Exchange Act of 1934 and all persons who are members of a national securities exchange. SIPC receives funds through assessments on its membership and from interest earned on its investments in U.S. Government securities.

SIPC may borrow up to \$2.5 billion from the U.S. Department of the Treasury, through the Securities and Exchange Commission, in the event that the fund maintained by SIPC is insufficient to satisfy the claims of customers of failing brokerage firms. SIPC has not accessed these loans to date, and the Budget does not project that SIPC will require use of these loans over the next ten years.

**SMITHSONIAN INSTITUTION**

**Federal Funds**

**SALARIES AND EXPENSES**

*For necessary expenses of the Smithsonian Institution, as authorized by law, including research in the fields of art, science, and history; development, preservation, and documentation of the National Collections; presentation of public exhibits and performances; collection, preparation, dissemination, and exchange of information and publications; conduct of education, training, and museum assistance programs; maintenance, alteration, operation, lease agreements of no more than 30 years, and protection of buildings, facilities, and approaches; not to exceed \$100,000 for services as authorized by 5 U.S.C. 3109; and purchase, rental, repair, and cleaning of uniforms for employees, \$711,233,000, to remain available until September 30, 2015, except as otherwise provided herein; of which not to exceed \$43,204,000 for the instrumentation program, collections acquisition, exhibition reinstallation, the National Museum of African American History and Culture, and the repatriation of skeletal remains program shall remain available until expended; and including such funds as may be necessary to support American overseas research centers: Provided, That funds appropriated herein are available for advance payments to independent contractors performing research services or participating in official Smithsonian presentations.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution as well as amounts from P.L. 113–2, the Disaster Relief Appropriations Act, 2013 (no language shown).

**Program and Financing** (in millions of dollars)

| Identification code 33-0100-0-1-503                            |   | 2012 actual | 2013 CR | 2014 est. |
|--|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                        |   |             |         |           |
| 0001   | Public programs .....   | 37          | 38      | 76        |
| 0002   | Exhibitions .....   | 49          | 50      | 56        |
| 0003   | Collections .....   | 62          | 63      | 67        |
| 0004   | Research .....  | 90          | 92      | 93        |
| 0005   | Facilities .....  | 199         | 204     | 215       |
| 0006   | Security & safety .....                                       | 74          | 75      | 75        |
| 0007   | Information technology .....                                  | 54          | 55      | 56        |
| 0008   | Operations .....  | 64          | 65      | 70        |
| 0009   | Development .....   | 3           | 4       | 7         |
| 0900   | Total new obligations .....                                   | 632         | 646     | 715       |
| <b>Budgetary Resources:</b>                                    |   |             |         |           |
| Unobligated balance:   |   |             |         |           |
| 1000   | Unobligated balance brought forward, Oct 1 .....              | 27          | 38      | 38        |
| Budget authority:  |   |             |         |           |
| Appropriations, discretionary:                                 |   |             |         |           |
| 1100   | Appropriation .....   | 637         | 642     | 711       |
| 1130   | Appropriations permanently reduced .....                      | -1          |         |           |
| 1160   | Appropriation, discretionary (total) .....                    | 636         | 642     | 711       |
| Spending authority from offsetting collections, discretionary: |   |             |         |           |
| 1700   | Collected .....   | 5           | 4       | 4         |
| 1701   | Change in uncollected payments, Federal sources .....         | 2           |         |           |
| 1750   | Spending auth from offsetting collections, disc (total) ..... | 7           | 4       | 4         |
| 1900   | Budget authority (total) .....                                | 643         | 646     | 715       |
| 1930   | Total budgetary resources available .....                     | 670         | 684     | 753       |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 1941   | Unexpired unobligated balance, end of year .....              | 38          | 38      | 38        |
| <b>Change in obligated balance:</b>                            |   |             |         |           |
| Unpaid obligations:  |   |             |         |           |
| 3000   | Unpaid obligations, brought forward, Oct 1 .....              | 121         | 113     | 90        |
| 3010   | Obligations incurred, unexpired accounts .....                | 632         | 646     | 715       |
| 3020   | Outlays (gross) .....   | -639        | -669    | -705      |
| 3041   | Recoveries of prior year unpaid obligations, expired .....    | -1          |         |           |
| 3050   | Unpaid obligations, end of year .....                         | 113         | 90      | 100       |
| Uncollected payments:  |   |             |         |           |
| 3060   | Uncollected pymts, Fed sources, brought forward, Oct 1 .....  | -4          | -7      | -7        |
| 3070   | Change in uncollected pymts, Fed sources, unexpired .....     | -2          |         |           |
| 3071   | Change in uncollected pymts, Fed sources, expired .....       | -1          |         |           |
| 3090   | Uncollected pymts, Fed sources, end of year .....             | -7          | -7      | -7        |
| Memorandum (non-add) entries:                                  |   |             |         |           |
| 3100   | Obligated balance, start of year .....                        | 117         | 106     | 83        |
| 3200   | Obligated balance, end of year .....                          | 106         | 83      | 93        |
| <b>Budget authority and outlays, net:</b>                      |   |             |         |           |
| Discretionary:   |   |             |         |           |
| 4000   | Budget authority, gross .....                                 | 643         | 646     | 715       |
| Outlays, gross:  |   |             |         |           |
| 4010   | Outlays from new discretionary authority .....                | 506         | 562     | 621       |
| 4011   | Outlays from discretionary balances .....                     | 133         | 107     | 84        |
| 4020   | Outlays, gross (total) .....                                  | 639         | 669     | 705       |
| Offsets against gross budget authority and outlays:            |   |             |         |           |
| Offsetting collections (collected) from:                       |   |             |         |           |
| 4030   | Federal sources .....   | -5          | -4      | -4        |
| Additional offsets against gross budget authority only:        |   |             |         |           |
| 4050   | Change in uncollected pymts, Fed sources, unexpired .....     | -2          |         |           |
| 4070   | Budget authority, net (discretionary) .....                   | 636         | 642     | 711       |
| 4080   | Outlays, net (discretionary) .....                            | 634         | 665     | 701       |
| 4180   | Budget authority, net (total) .....                           | 636         | 642     | 711       |
| 4190   | Outlays, net (total) .....                                    | 634         | 665     | 701       |

The Smithsonian Institution conducts research in the natural and physical sciences and in the history of cultures, technology, and the arts. The Institution acquires and preserves more than 137 million items of scientific, cultural, and historic importance for reference and study purposes. These resources may be accessed by millions of visitors and researchers worldwide either in person, or increasingly online. Smithsonian's public exhibitions delve into subjects from aeronautics to zoology.

The Institution operates 19 museums and galleries, a zoological park and animal conservation and research center, research facilities, and supporting facilities.

Included in the presentation of the Salaries and Expenses account are data for the Canal Zone biological area fund. Donations, subscriptions, and fees are appropriated and used to defray part of the expenses of maintaining and operating the Canal Zone biological area (60 Stat. 1101; 20 U.S.C. 79, 79a).

**Object Classification** (in millions of dollars)

| Identification code 33-0100-0-1-503 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| Personnel compensation:             |  |             |         |           |
| 11.1                                | Full-time permanent .....                  | 289         | 297     | 308       |
| 11.3                                | Other than full-time permanent .....       | 3           | 3       | 3         |
| 11.5                                | Other personnel compensation .....         | 13          | 13      | 14        |
| 11.9                                | Total personnel compensation .....         | 305         | 313     | 325       |
| 12.1                                | Civilian personnel benefits .....          | 94          | 98      | 102       |
| 13.0                                | Benefits for former personnel .....        | 3           |         |           |
| 21.0                                | Travel and transportation of persons ..... | 5           | 4       | 5         |
| 22.0                                | Transportation of things .....             | 1           | 1       | 1         |
| 23.3                                | Rent, Communications, and Utilities .....  | 82          | 83      | 85        |
| 24.0                                | Printing and reproduction .....            | 1           | 1       | 1         |
| 25.2                                | Other services .....                       | 96          | 101     | 146       |
| 26.0                                | Supplies and materials .....               | 17          | 17      | 19        |
| 31.0                                | Equipment .....                            | 18          | 18      | 21        |
| 32.0                                | Land and structures .....                  | 6           | 6       | 6         |
| 99.0                                | Direct obligations .....                   | 628         | 642     | 711       |
| 99.0                                | Reimbursable obligations .....             | 4           | 4       | 4         |
| 99.9                                | Total new obligations .....                | 632         | 646     | 715       |

**Employment Summary**

| Identification code 33-0100-0-1-503 |   | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|---|-------------|---------|-----------|
| 1001                                | Direct civilian full-time equivalent employment ..... | 4,091       | 4,195   | 4,299     |

**FACILITIES CAPITAL**

*For necessary expenses of repair, revitalization, and alteration of facilities owned or occupied by the Smithsonian Institution, by contract or otherwise, as authorized by section 2 of the Act of August 22, 1949 (63 Stat. 623), and for construction, including necessary personnel, \$158,000,000, to remain available until expended, of which not to exceed \$10,000 for services as authorized by 5 U.S.C. 3109, and of which \$55,000,000 shall be to continue construction of the National Museum of African American History and Culture: Provided, That a single procurement for construction of the National Museum of African American History and Culture, as authorized under section 8 of the National Museum of African American History and Culture Act (20 U.S.C. 80r-6), may be issued that includes the full scope of the project: Provided further, That the solicitation and contract shall contain the clause "availability of funds" found at 48 CFR 52.232 -18.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

**Program and Financing** (in millions of dollars)

| Identification code 33-0103-0-1-503     |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b> |  |             |         |           |
| 0010                                    | Construction .....                               | 71          | 74      | 56        |
| 0020                                    | Revitalization .....                             | 94          | 93      | 88        |
| 0030                                    | Facilities planning and design .....             | 19          | 10      | 12        |
| 0900                                    | Total new obligations .....                      | 184         | 177     | 156       |
| <b>Budgetary Resources:</b>             |  |             |         |           |
| Unobligated balance:                    |  |             |         |           |
| 1000                                    | Unobligated balance brought forward, Oct 1 ..... | 22          | 13      | 12        |
| Budget authority:                       |  |             |         |           |
| Appropriations, discretionary:          |  |             |         |           |
| 1100                                    | Appropriation .....                              | 175         | 176     | 158       |
| 1160                                    | Appropriation, discretionary (total) .....       | 175         | 176     | 158       |
| 1930                                    | Total budgetary resources available .....        | 197         | 189     | 170       |

FACILITIES CAPITAL—Continued  
Program and Financing—Continued

| Identification code 33-0103-0-1-503                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 13          | 12      | 14        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 136         | 180     | 196       |
| 3010 Obligations incurred, unexpired accounts .....   | 184         | 177     | 156       |
| 3020 Outlays (gross) .....                            | -140        | -161    | -155      |
| 3050 Unpaid obligations, end of year .....            | 180         | 196     | 197       |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 136         | 180     | 196       |
| 3200 Obligated balance, end of year .....             | 180         | 196     | 197       |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 175         | 176     | 158       |
| Outlays, gross:                                       |             |         |           |
| 4010 Outlays from new discretionary authority .....   |             | 40      | 37        |
| 4011 Outlays from discretionary balances .....        | 140         | 121     | 118       |
| 4020 Outlays, gross (total) .....                     | 140         | 161     | 155       |
| 4180 Budget authority, net (total) .....              | 175         | 176     | 158       |
| 4190 Outlays, net (total) .....                       | 140         | 161     | 155       |

This account provides funding for major new construction projects to support the Smithsonian's existing and future programs in research, collections management, public exhibitions, and education. This account also includes major repairs, revitalization, code compliance changes, minor construction, alterations and modifications, and building system renewals of Smithsonian museum buildings and facilities for storage and conservation of collections, research, and support. The Facilities Capital account also includes planning and design related to these activities. The 2014 President's Budget provides funds for critical infrastructure improvements at the Smithsonian American Art Museum's Renwick Gallery and continued construction of the National Museum of African American History and Culture. Current long-term projects in this account include the Suitland Collections Facility and renovations at the National Zoological Park, the National Museum of American History-Behring Center, and the National Museum of Natural History.

## Object Classification (in millions of dollars)

| Identification code 33-0103-0-1-503                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.1 Personnel compensation: Full-time permanent .....          | 4           | 5       | 5         |
| 12.1 Civilian personnel benefits .....                          | 1           | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....              | 1           | 1       | 1         |
| 26.0 Supplies and materials .....                               | 1           | 1       | 1         |
| 31.0 Equipment .....  | 10          | 10      | 10        |
| 32.0 Land and structures .....                                  | 166         | 158     | 137       |
| 99.9 Total new obligations .....                                | 184         | 177     | 156       |

## Employment Summary

| Identification code 33-0103-0-1-503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 48          | 48      | 48        |

## LEGACY FUND

## Program and Financing (in millions of dollars)

| Identification code 33-0104-0-1-503                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0020 Revitalization .....                             | 1           |         |           |
| 0900 Total new obligations (object class 32.0) .....  | 1           |         |           |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 1           |         |           |
| 1930 Total budgetary resources available .....        | 1           |         |           |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 24          | 8       | 5         |
| 3010 Obligations incurred, unexpired accounts .....   | 1           |         |           |
| 3020 Outlays (gross) .....                            | -17         | -3      | -3        |
| 3050 Unpaid obligations, end of year .....            | 8           | 5       | 2         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 24          | 8       | 5         |
| 3200 Obligated balance, end of year .....             | 8           | 5       | 2         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| Outlays, gross:                                       |             |         |           |
| 4011 Outlays from discretionary balances .....        | 17          | 3       | 3         |
| 4190 Outlays, net (total) .....                       | 17          | 3       | 3         |

The Legacy Fund is a public-private partnership, in which each federal dollar provided must be matched by private contributions, for the purpose of renovating the historic Arts and Industries Building of the Smithsonian Institution. No funds are requested in 2014.

## JOHN F. KENNEDY CENTER FOR THE PERFORMING ARTS

## OPERATIONS AND MAINTENANCE

*For necessary expenses for the operation, maintenance and security of the John F. Kennedy Center for the Performing Arts, \$22,192,500.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 33-0302-0-1-503                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 23          | 23      | 22        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 23          | 23      | 22        |
| 1160 Appropriation, discretionary (total) .....       | 23          | 23      | 22        |
| 1930 Total budgetary resources available .....        | 23          | 23      | 22        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4           | 5       | 5         |
| 3010 Obligations incurred, unexpired accounts .....   | 23          | 23      | 22        |
| 3020 Outlays (gross) .....                            | -22         | -23     | -22       |
| 3050 Unpaid obligations, end of year .....            | 5           | 5       | 5         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 4           | 5       | 5         |
| 3200 Obligated balance, end of year .....             | 5           | 5       | 5         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 23          | 23      | 22        |



|                 |  |    |    |    |
|-----------------|--|----|----|----|
| Outlays, gross: |  |    |    |    |
| 4010            | Outlays from new discretionary authority ..... | 19 | 19 | 18 |
| 4011            | Outlays from discretionary balances .....      | 3  | 4  | 4  |
| 4020            | Outlays, gross (total) .....                   | 22 | 23 | 22 |
| 4180            | Budget authority, net (total) .....            | 23 | 23 | 22 |
| 4190            | Outlays, net (total) .....                     | 22 | 23 | 22 |

This appropriation provides for the operating and maintenance expenses of the John F. Kennedy Center for the Performing Arts, including maintenance, security, memorial interpretation, janitorial, short-term repair, and other services.

#### Object Classification (in millions of dollars)

|                                     |  |             |         |           |
|-------------------------------------|--|-------------|---------|-----------|
| Identification code 33-0302-0-1-503 |  | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |  |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent .....          | 5           | 5       | 5         |
| 23.3                                | Communications, utilities, and miscellaneous charges ..... | 7           | 7       | 7         |
| 25.2                                | Other services from non-Federal sources .....              | 11          | 11      | 10        |
| 99.9                                | Total new obligations .....                                | 23          | 23      | 22        |

#### Employment Summary

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 33-0302-0-1-503 |   | 2012 actual | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... | 56          | 52      | 52        |

#### CAPITAL REPAIR AND RESTORATION

For necessary expenses for capital repair and restoration of the existing features of the building and site of the John F. Kennedy Center for the Performing Arts, \$12,205,500, to remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |  |             |         |           |
|---|--|-------------|---------|-----------|
| Identification code 33-0303-0-1-503       |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Direct program activity .....                    | 17          | 14      | 12        |
| 0900                                      | Total new obligations (object class 25.2) .....  | 17          | 14      | 12        |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Unobligated balance:                      |  |             |         |           |
| 1000                                      | Unobligated balance brought forward, Oct 1 ..... | 3           |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                              | 14          | 14      | 12        |
| 1160                                      | Appropriation, discretionary (total) .....       | 14          | 14      | 12        |
| 1930                                      | Total budgetary resources available .....        | 17          | 14      | 12        |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 16          | 13      | 14        |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 17          | 14      | 12        |
| 3020                                      | Outlays (gross) .....                            | -20         | -13     | -13       |
| 3050                                      | Unpaid obligations, end of year .....            | 13          | 14      | 13        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 16          | 13      | 14        |
| 3200                                      | Obligated balance, end of year .....             | 13          | 14      | 13        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                    | 14          | 14      | 12        |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....   | 7           | 8       | 7         |
| 4011                                      | Outlays from discretionary balances .....        | 13          | 5       | 6         |
| 4020                                      | Outlays, gross (total) .....                     | 20          | 13      | 13        |
| 4180                                      | Budget authority, net (total) .....              | 14          | 14      | 12        |
| 4190                                      | Outlays, net (total) .....                       | 20          | 13      | 13        |

This appropriation provides for the repair, restoration and renovation of the Kennedy Center building, including safety improvements and major repair of interior spaces, including access for persons with disabilities.

#### Employment Summary

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 33-0303-0-1-503 |   | 2012 actual | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... |             | 4       | 4         |

#### NATIONAL GALLERY OF ART

##### SALARIES AND EXPENSES

For the upkeep and operations of the National Gallery of Art, the protection and care of the works of art therein, and administrative expenses incident thereto, as authorized by the Act of March 24, 1937 (50 Stat. 51), as amended by the public resolution of April 13, 1939 (Public Resolution 9, Seventy-sixth Congress), including services as authorized by 5 U.S.C. 3109; payment in advance when authorized by the treasurer of the Gallery for membership in library, museum, and art associations or societies whose publications or services are available to members only, or to members at a price lower than to the general public; purchase, repair, and cleaning of uniforms for guards, and uniforms, or allowances therefor, for other employees as authorized by law (5 U.S.C. 5901-5902); purchase or rental of devices and services for protecting buildings and contents thereof, and maintenance, alteration, improvement, and repair of buildings, approaches, and grounds; and purchase of services for restoration and repair of works of art for the National Gallery of Art by contracts made, without advertising, with individuals, firms, or organizations at such rates or prices and under such terms and conditions as the Gallery may deem proper, \$121,215,000, to remain available until September 30, 2015, of which not to exceed \$3,533,000 for the special exhibition program shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|   |  |             |         |           |
|---|--|-------------|---------|-----------|
| Identification code 33-0200-0-1-503       |  | 2012 actual | 2013 CR | 2014 est. |
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0001                                      | Direct program activity .....                    | 114         | 115     | 121       |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                              | 114         | 115     | 121       |
| 1160                                      | Appropriation, discretionary (total) .....       | 114         | 115     | 121       |
| 1930                                      | Total budgetary resources available .....        | 114         | 115     | 121       |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3000                                      | Unpaid obligations, brought forward, Oct 1 ..... | 20          | 20      | 18        |
| 3010                                      | Obligations incurred, unexpired accounts .....   | 114         | 115     | 121       |
| 3020                                      | Outlays (gross) .....                            | -114        | -117    | -123      |
| 3050                                      | Unpaid obligations, end of year .....            | 20          | 18      | 16        |
| Memorandum (non-add) entries:             |  |             |         |           |
| 3100                                      | Obligated balance, start of year .....           | 20          | 20      | 18        |
| 3200                                      | Obligated balance, end of year .....             | 20          | 18      | 16        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                    | 114         | 115     | 121       |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority .....   | 97          | 101     | 108       |
| 4011                                      | Outlays from discretionary balances .....        | 17          | 16      | 15        |
| 4020                                      | Outlays, gross (total) .....                     | 114         | 117     | 123       |
| 4180                                      | Budget authority, net (total) .....              | 114         | 115     | 121       |
| 4190                                      | Outlays, net (total) .....                       | 114         | 117     | 123       |

## NATIONAL GALLERY OF ART—Continued

The National Gallery of Art receives, holds, and administers works of art acquired for the Nation by the Gallery's board of trustees. It also maintains the Gallery buildings to give maximum care and protection to art treasures and to enable these works of art to be exhibited.

## Object Classification (in millions of dollars)

| Identification code 33-0200-0-1-503                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| Personnel compensation:   |             |         |           |
| 11.1 Full-time permanent .....                                  | 56          | 57      | 57        |
| 11.3 Other than full-time permanent .....                       | 1           | 1       | 2         |
| 11.5 Other personnel compensation .....                         | 3           | 3       | 4         |
| 11.9 Total personnel compensation .....                         | 60          | 61      | 63        |
| 12.1 Civilian personnel benefits .....                          | 18          | 17      | 18        |
| 22.0 Transportation of things .....                             |             | 1       | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 9           | 13      | 13        |
| 25.2 Other services .....                                       | 12          | 7       | 9         |
| 25.4 Operation and maintenance of facilities .....              | 6           | 9       | 9         |
| 26.0 Supplies and materials .....                               | 3           | 3       | 3         |
| 31.0 Equipment .....  | 6           | 4       | 5         |
| 99.9 Total new obligations .....                                | 114         | 115     | 121       |

## Employment Summary

| Identification code 33-0200-0-1-503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 794         | 805     | 805       |

## REPAIR, RESTORATION, AND RENOVATION OF BUILDINGS

For necessary expenses of repair, restoration and renovation of buildings, grounds and facilities owned or occupied by the National Gallery of Art, by contract or otherwise, for operating lease agreements of no more than 10 years, with no extensions or renewals beyond the 10 years, that address space needs created by the ongoing renovations in the Master Facilities Plan, as authorized, \$23,000,000, to remain available until expended: Provided, That contracts awarded for environmental systems, protection systems, and exterior repair or renovation of buildings of the National Gallery of Art may be negotiated with selected contractors and awarded on the basis of contractor qualifications as well as price.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 33-0201-0-1-503                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 19          | 23      | 23        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 14          | 9       | 1         |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 14          | 15      | 23        |
| 1160 Appropriation, discretionary (total) .....       | 14          | 15      | 23        |
| 1930 Total budgetary resources available .....        | 28          | 24      | 24        |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 9           | 1       | 1         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 63          | 35      | 20        |
| 3010 Obligations incurred, unexpired accounts .....   | 19          | 23      | 23        |
| 3020 Outlays (gross) .....                            | -47         | -38     | -19       |
| 3050 Unpaid obligations, end of year .....            | 35          | 20      | 24        |

## Memorandum (non-add) entries:

|   |    |    |    |
|---|----|----|----|
| 3100 Obligated balance, start of year ..... | 63 | 35 | 20 |
| 3200 Obligated balance, end of year .....   | 35 | 20 | 24 |

## Budget authority and outlays, net:

|  |    |    |    |
|--|----|----|----|
| Discretionary:                                 |    |    |    |
| 4000 Budget authority, gross .....             | 14 | 15 | 23 |
| Outlays, gross:                                |    |    |    |
| 4011 Outlays from discretionary balances ..... | 47 | 38 | 19 |
| 4180 Budget authority, net (total) .....       | 14 | 15 | 23 |
| 4190 Outlays, net (total) .....                | 47 | 38 | 19 |

This account encompasses repairs, alterations, and improvements; additions, renovations, and restorations of a long-term nature and utility; facilities planning and design, and leases of space necessitated by such renovations. The funds are used to keep National Gallery of Art facilities in good repair and efficient operating condition.

## Object Classification (in millions of dollars)

| Identification code 33-0201-0-1-503                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                |             |         |           |
| 25.4 Operation and maintenance of facilities ..... | 2           | 2       | 2         |
| 32.0 Land and structures .....                     | 17          | 21      | 21        |
| 99.9 Total new obligations .....                   | 19          | 23      | 23        |

## Employment Summary

| Identification code 33-0201-0-1-503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 2           | 2       | 2         |

## WOODROW WILSON INTERNATIONAL CENTER FOR SCHOLARS

## SALARIES AND EXPENSES

For expenses necessary in carrying out the provisions of the Woodrow Wilson Memorial Act of 1968 (82 Stat. 1356) including hire of passenger vehicles and services as authorized by 5 U.S.C. 3109, \$10,500,000, to remain available until September 30, 2015.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

## Program and Financing (in millions of dollars)

| Identification code 33-0400-0-1-503                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 11          | 11      | 10        |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Budget authority:                                     |             |         |           |
| Appropriations, discretionary:                        |             |         |           |
| 1100 Appropriation .....                              | 11          | 11      | 10        |
| 1160 Appropriation, discretionary (total) .....       | 11          | 11      | 10        |
| 1930 Total budgetary resources available .....        | 11          | 11      | 10        |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 ..... | 4           | 5       | 5         |
| 3010 Obligations incurred, unexpired accounts .....   | 11          | 11      | 10        |
| 3020 Outlays (gross) .....                            | -10         | -11     | -11       |
| 3050 Unpaid obligations, end of year .....            | 5           | 5       | 4         |
| Memorandum (non-add) entries:                         |             |         |           |
| 3100 Obligated balance, start of year .....           | 4           | 5       | 5         |
| 3200 Obligated balance, end of year .....             | 5           | 5       | 4         |
| <b>Budget authority and outlays, net:</b>             |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                    | 11          | 11      | 10        |

|                 |  |    |    |
|-----------------|--|----|----|
| Outlays, gross: |  |    |    |
| 4010            | Outlays from new discretionary authority ..... | 7  | 8  |
| 4011            | Outlays from discretionary balances .....      | 3  | 3  |
| 4020            | Outlays, gross (total) .....                   | 10 | 11 |
| 4180            | Budget authority, net (total) .....            | 11 | 10 |
| 4190            | Outlays, net (total) .....                     | 10 | 11 |

The Woodrow Wilson Center facilitates scholarship of the highest quality in the social sciences and humanities and communicates that scholarship to a wide audience within and beyond Washington, D.C. This is accomplished through a resident body of fellowship awardees, conferences, publication, and dialogue.

#### Object Classification (in millions of dollars)

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 33-0400-0-1-503 |   | 2012 actual | 2013 CR | 2014 est. |
| Direct obligations:                 |   |             |         |           |
| 11.1                                | Personnel compensation: Full-time permanent ..... | 4           | 5       | 5         |
| 12.1                                | Civilian personnel benefits .....                 | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....     | 3           | 3       | 2         |
| 41.0                                | Grants, subsidies, and contributions .....        | 3           | 2       | 2         |
| 99.9                                | Total new obligations .....                       | 11          | 11      | 10        |

#### Employment Summary

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 33-0400-0-1-503 |   | 2012 actual | 2013 CR | 2014 est. |
| 1001                                | Direct civilian full-time equivalent employment ..... | 42          | 50      | 50        |

## STATE JUSTICE INSTITUTE

### Federal Funds

#### SALARIES AND EXPENSES

*For necessary expenses of the State Justice Institute, as authorized by the State Justice Institute Authorization Act of 1984 (42 U.S.C. 10701 et seq.) \$5,121,000, of which \$500,000 shall remain available until September 30, 2015; Provided, That not to exceed \$2,250 shall be available for official reception and representation expenses.*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 48-0052-0-1-752 |   | 2012 actual | 2013 CR | 2014 est. |
| Obligations by program activity:    |   |             |         |           |
| 0001                                | Direct program activity .....                   | 5           | 5       | 5         |
| 0900                                | Total new obligations (object class 41.0) ..... | 5           | 5       | 5         |
| Budgetary Resources:                |   |             |         |           |
| Budget authority:                   |   |             |         |           |
| Appropriations, discretionary:      |   |             |         |           |
| 1100                                | Appropriation .....                             | 5           | 5       | 5         |
| 1160                                | Appropriation, discretionary (total) .....      | 5           | 5       | 5         |
| 1900                                | Budget authority (total) .....                  | 5           | 5       | 5         |
| 1930                                | Total budgetary resources available .....       | 5           | 5       | 5         |

#### Change in obligated balance:

|                               |  |    |    |    |
|-------------------------------|--|----|----|----|
| Unpaid obligations:           |  |    |    |    |
| 3000                          | Unpaid obligations, brought forward, Oct 1 ..... | 7  | 8  | 6  |
| 3010                          | Obligations incurred, unexpired accounts .....   | 5  | 5  | 5  |
| 3020                          | Outlays (gross) .....                            | -4 | -7 | -6 |
| 3050                          | Unpaid obligations, end of year .....            | 8  | 6  | 5  |
| Memorandum (non-add) entries: |  |    |    |    |
| 3100                          | Obligated balance, start of year .....           | 7  | 8  | 6  |
| 3200                          | Obligated balance, end of year .....             | 8  | 6  | 5  |

#### Budget authority and outlays, net:

|   |  |   |   |   |
|---|--|---|---|---|
| Discretionary:                                      |  |   |   |   |
| 4000  | Budget authority, gross .....                  | 5 | 5 | 5 |
| Outlays, gross:                                     |  |   |   |   |
| 4010  | Outlays from new discretionary authority ..... | 1 | 4 | 4 |
| 4011  | Outlays from discretionary balances .....      | 3 | 3 | 2 |
| 4020  | Outlays, gross (total) .....                   | 4 | 7 | 6 |
| Offsets against gross budget authority and outlays: |  |   |   |   |
| Offsetting collections (collected) from:            |  |   |   |   |
| 4180  | Budget authority, net (total) .....            | 5 | 5 | 5 |
| 4190  | Outlays, net (total) .....                     | 4 | 7 | 6 |

The State Justice Institute (SJI) was established by the Congress in 1984 as a private, non-profit corporation to make grants and undertake other activities designed to improve the administration of justice in the United States. SJI awards grants to improve the quality of justice in state courts and to foster innovative, efficient solutions to common issues faced by all courts.

## TELECOMMUNICATIONS DEVELOPMENT FUND

### Federal Funds

#### TELECOMMUNICATIONS DEVELOPMENT FUND

#### Special and Trust Fund Receipts (in millions of dollars)

|                                     |                              |             |         |           |
|-------------------------------------|------------------------------|-------------|---------|-----------|
| Identification code 95-5388-0-2-376 |                              | 2012 actual | 2013 CR | 2014 est. |
| 0100                                | Balance, start of year ..... | 54          | 54      | 54        |
| 0799                                | Balance, end of year .....   | 54          | 54      | 54        |

#### Program and Financing (in millions of dollars)

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 95-5388-0-2-376 |   | 2012 actual | 2013 CR | 2014 est. |
| Memorandum (non-add) entries:       |   |             |         |           |
| 5010                                | Total investments, start of year: non-Federal marketable securities .....       | 81          | 81      | 81        |
| 5010                                | Total investments, start of year: non-Federal: venture equity investments ..... | 14          | 14      | 14        |
| 5011                                | Total investments, end of year: non-Federal marketable securities .....         | 81          | 81      | 81        |
| 5011                                | Total investments, end of year: non-Federal venture equity investments .....    | 14          | 14      | 14        |

The Telecommunications Development Fund (TDF) was created in 1996 with the objective of promoting access to capital for small businesses, enhancing competition in the telecommunications industry, and improving the delivery of telecommunication services to rural areas. TDF received interest earnings from deposits on spectrum auctions. A portion of these earnings was invested in small telecommunications firms.

Since its creation, TDF has collected over \$100 million in interest that would have otherwise been deposited in the Treasury. The Middle Class Tax Relief and Job Creation Act of 2012 eliminated new funding for TDF.

## TENNESSEE VALLEY AUTHORITY

### Federal Funds

#### TENNESSEE VALLEY AUTHORITY FUND

#### Program and Financing (in millions of dollars)

|                                     |   |             |         |           |
|-------------------------------------|---|-------------|---------|-----------|
| Identification code 64-4110-0-3-999 |   | 2012 actual | 2013 CR | 2014 est. |
| Obligations by program activity:    |   |             |         |           |
| 0801                                | Power program: Operating expenses .....   | 10,233      | 9,834   | 9,607     |
| 0802                                | Power program: Capital expenditures ..... | 2,028       | 2,318   | 2,976     |
| 0803                                | Other Cash Items .....                    | 16,465      | 17,640  | 13,962    |
| 0804                                | Non-Federal Investments .....             | 15,707      | 13,752  | 17,629    |

TENNESSEE VALLEY AUTHORITY FUND—Continued  
Program and Financing—Continued

| Identification code 64-4110-0-3-999   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0809 Reimbursable program activities, subtotal .....  | 44,433      | 43,544  | 44,174    |
| 0900 Total new obligations .....  | 44,433      | 43,544  | 44,174    |
| <b>Budgetary Resources:</b>   |             |         |           |
| Unobligated balance:  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....   | 1,115       | 356     | 1,522     |
| 1022 Capital transfer of unobligated balances to general fund .....                           | -7          | -15     | -16       |
| 1050 Unobligated balance (total) .....  | 1,108       | 341     | 1,506     |
| Budget authority:   |             |         |           |
| Borrowing authority, mandatory:   |             |         |           |
| 1400 Borrowing authority .....  | 427         | 1,667   | 2,136     |
| 1440 Borrowing authority, mandatory (total) .....   | 427         | 1,667   | 2,136     |
| Spending authority from offsetting collections, mandatory:                                    |             |         |           |
| 1800 Collected .....  | 44,183      | 43,057  | 42,038    |
| 1801 Change in uncollected payments, Federal sources .....                                    | -73         | 21      | 10        |
| 1820 Capital transfer of spending authority from offsetting collections to general fund ..... | -20         | -20     | -10       |
| 1827 Spending authority from offsetting collections substituted for borrowing authority ..... | -836        |         |           |
| 1850 Spending auth from offsetting collections, mand (total) .....                            | 43,254      | 43,058  | 42,038    |
| 1900 Budget authority (total) .....   | 43,681      | 44,725  | 44,174    |
| 1930 Total budgetary resources available .....  | 44,789      | 45,066  | 45,680    |
| Memorandum (non-add) entries:   |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....   | 356         | 1,522   | 1,506     |
| <b>Change in obligated balance:</b>   |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....   | 2,021       | 2,048   | 867       |
| 3010 Obligations incurred, unexpired accounts .....   | 44,433      | 43,544  | 44,174    |
| 3020 Outlays (gross) .....  | -44,406     | -44,725 | -44,174   |
| 3050 Unpaid obligations, end of year .....  | 2,048       | 867     | 867       |
| Uncollected payments:   |             |         |           |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 .....                             | -1,739      | -1,666  | -1,687    |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....                                | 73          | -21     | -10       |
| 3090 Uncollected pymts, Fed sources, end of year .....  | -1,666      | -1,687  | -1,697    |
| Memorandum (non-add) entries:   |             |         |           |
| 3100 Obligated balance, start of year .....   | 282         | 382     | -820      |
| 3200 Obligated balance, end of year .....   | 382         | -820    | -830      |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....  | 43,681      | 44,725  | 44,174    |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....   | 43,570      | 42,779  | 44,174    |
| 4101 Outlays from mandatory balances .....  | 836         | 1,946   |           |
| 4110 Outlays, gross (total) .....   | 44,406      | 44,725  | 44,174    |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4120 Federal sources .....  | -196        | -2,000  | -2,000    |
| 4123 Non-Federal sources .....  | -43,987     | -42,165 | -41,187   |
| 4130 Offsets against gross budget authority and outlays (total) ....                          | -44,183     | -44,165 | -43,187   |
| Additional offsets against gross budget authority only:                                       |             |         |           |
| 4140 Change in uncollected pymts, Fed sources, unexpired .....                                | 73          | -21     | -10       |
| 4160 Budget authority, net (mandatory) .....  | -429        | 539     | 977       |
| 4170 Outlays, net (mandatory) .....   | 223         | 560     | 987       |
| 4180 Budget authority, net (total) .....  | -429        | 539     | 977       |
| 4190 Outlays, net (total) .....   | 223         | 560     | 987       |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....                              | 25          | 25      | 25        |
| 5001 Total investments, EOY: Federal securities: Par value .....                              | 25          | 25      | 25        |
| 5010 Total investments, SOY: non-Fed securities: Market value .....                           | 480         | 836     | 200       |
| 5011 Total investments, EOY: non-Fed securities: Market value .....                           | 836         | 200     | 200       |

## Status of Direct Loans (in millions of dollars)

| Identification code 64-4110-0-3-999                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations exempt from limitation .....              | 3           | 16      | 16        |
| 1150 Total direct loan obligations .....                               | 3           | 16      | 16        |

|   |    |    |    |
|---|----|----|----|
| Cumulative balance of direct loans outstanding:     |    |    |    |
| 1210 Outstanding, start of year .....               | 28 | 22 | 33 |
| 1231 Disbursements: Direct loan disbursements ..... | 3  | 16 | 16 |
| 1251 Repayments: Repayments and prepayments .....   | -9 | -5 | -6 |
| 1263 Write-offs for default: Direct loans .....     |    |    |    |
| 1290 Outstanding, end of year .....                 | 22 | 33 | 43 |

The Tennessee Valley Authority (TVA) was created in 1933 as a government-owned corporation for the unified development of a river basin comprised of parts of seven states. The agency is currently self-funded financing operations from power-rates and borrowings.

**TVA's Non-Power Programs.**—TVA operates a series of 49 dams and 47 reservoirs to reduce the risk of flooding, enable year-round navigation, supply affordable and reliable electricity, improve water quality and water supply, provide recreational opportunities, stimulate economic growth, and provide a wide range of other public benefits. TVA is responsible for critical stewardship activities within the Tennessee Valley which include: water release regulation; maintenance of dam machinery and spillway gates; modifications on nine main and four auxiliary navigation locks and associated mooring facilities; improvement of water quality and supply; management of shoreline erosion; regulation of shoreline development along the Tennessee River and its tributaries; planning and management of 293,000 acres of public land; and operation of public recreation areas. These services are funded entirely by TVA's power revenues and its user fees.

**TVA's Power Program.**—TVA supplies electric power to an area of 80,000 square miles in parts of the seven Tennessee Valley states. Income from power operations, net of interest charges and depreciation, and other operating expenses is approximately \$350 million in 2014 on wholesale revenues of \$11.2 billion. Power generating facilities are financed from power proceeds and borrowings.

**TVA Policy Initiatives.**—Consistent with TVA's vision to be one of the nation's leading providers of low-cost and cleaner energy by 2020, TVA began commercial operation of its John Sevier Combined Cycle Plant a month ahead of schedule and about \$30 million under budget on April 30, 2012. The plant uses natural gas to produce 870 megawatts of electricity, enough to power approximately half a million homes. In April 2012, TVA's Board approved a revised Estimate to Complete ("ETC") for TVA's Watts Bar Unit 2. The ETC concluded that additional funding was needed to complete Watts Bar Unit 2, putting the total estimated cost of completion in the range of \$4.0 billion to \$4.5 billion with estimated completion by December 2015. The new estimate also adds an allowance for addressing impacts associated with Fukushima events and other potential emergent risks. On January 1, 2013, Williams D. Johnson became TVA's president and CEO. Johnson succeeded Tom Kilgore who retired after serving as TVA's first president & CEO since 2006. TVA recently filed its seventh Annual Report on Form 10-K with the Securities and Exchange Commission, which provides transparency of its business operations.

**Financing.**—Amounts estimated to become available for TVA programs in 2014 are to be derived from power revenues and receipts of \$11.2 billion. The outstanding balance of TVA's bonds, notes, and other evidences of indebtedness is limited by statute and cannot exceed \$30 billion. The Budget assumes TVA will increase its debt and debt-like obligations by \$987 million in 2014, primarily from new generating capacity. TVA's outstanding debt and debt-like obligations were \$26.9 billion at the beginning of 2013 and are estimated to increase to \$28.5 billion by the end of 2014. At the beginning of 2013, TVA had \$2.8 billion in debt-

like obligations that are not counted against its statutory debt cap.

**Operating results and financial conditions.**—Payments to the Treasury from power proceeds in 2014 are estimated at \$26 million: \$16 million as a dividend-like return on the appropriation investment in the power program and \$10 million as a reduction in the appropriation investment in the power program. Total capital spending for 2014 is budgeted at \$3.0 billion, which in addition to new generation capacity includes \$703 million for clean air projects, \$97 million for coal combustion residual projects, and \$881 million to maintain TVA's existing generation assets. Total Government equity at September 30, 2014, is estimated to be \$329 million more than that at September 30, 2013. This change includes the net income from power operations and payments to the Treasury.

#### Object Classification (in millions of dollars)

| Identification code 64-4110-0-3-999                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Reimbursable obligations:                          |             |         |           |
| Personnel compensation:                            |             |         |           |
| 11.1 Full-time permanent .....                     | 1,056       | 1,105   | 1,116     |
| 11.5 Other personnel compensation .....            | 201         | 200     | 190       |
| 11.9 Total personnel compensation .....            | 1,257       | 1,305   | 1,306     |
| 12.1 Civilian personnel benefits .....             | 867         | 536     | 537       |
| 21.0 Travel and transportation of persons .....    | 32          | 33      | 34        |
| 22.0 Transportation of things .....                | 115         | 5       | 4         |
| 23.2 Rental payments to others .....               | 63          | 32      | 27        |
| 24.0 Printing and reproduction .....               | 1           |         |           |
| 25.1 Advisory and assistance services .....        | 5           | 3       | 1         |
| 25.2 Other services from non-Federal sources ..... | 159         | 75      | 62        |
| 25.7 Operation and maintenance of equipment .....  | 1,028       | 505     | 441       |
| 26.0 Supplies and materials .....                  | 544         | 853     | 619       |
| 31.0 Equipment .....                               | 408         | 319     | 209       |
| 32.0 Land and structures .....                     | 11          | 1       | 2         |
| 33.0 Investments and loans .....                   | 39,809      | 39,809  | 40,875    |
| 41.0 Grants, subsidies, and contributions .....    | 30          | 8       | 8         |
| 42.0 Insurance claims and indemnities .....        | 13          | 5       | 4         |
| 43.0 Interest and dividends .....                  | 91          | 55      | 45        |
| 99.9 Total new obligations .....                   | 44,433      | 43,544  | 44,174    |

#### Employment Summary

| Identification code 64-4110-0-3-999                              | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 2001 Reimbursable civilian full-time equivalent employment ..... | 12,752      | 13,612  | 13,300    |

## UNITED MINE WORKERS OF AMERICA BENEFIT FUNDS

### Trust Funds

#### UNITED MINE WORKERS OF AMERICA COMBINED BENEFIT FUND

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-8295-0-7-551  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 0100 Balance, start of year .....  | 98          | 98      | 97        |
| Receipts:  |             |         |           |
| 0200 Premiums, Combined Fund and 1992 Plan, UMW .....                              | 35          | 32      | 29        |
| 0240 Transfers from Abandoned Mine Reclamation Fund .....                          | 48          | 55      | 15        |
| 0241 Federal Payment to United Mine Workers of America Combined Benefit Fund ..... | 206         | 141     | 180       |
| 0299 Total receipts and collections .....  | 289         | 228     | 224       |
| 0400 Total: Balances and collections .....   | 387         | 326     | 321       |
| Appropriations:  |             |         |           |
| 0500 United Mine Workers of America Combined Benefit Fund .....                    | -157        | -117    | -110      |
| 0501 United Mine Workers of America 1992 Benefit Plan .....                        | -72         | -64     | -65       |
| 0502 United Mine Workers of America 1993 Benefit Plan .....                        | -60         | -48     | -49       |
| 0599 Total appropriations .....  | -289        | -229    | -224      |
| 0799 Balance, end of year .....  | 98          | 97      | 97        |

#### Program and Financing (in millions of dollars)

| Identification code 95-8295-0-7-551                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 United Mine Workers of America Combined Benefit Fund ..... | 157         | 117     | 110       |
| 0900 Total new obligations (object class 42.0) .....            | 157         | 117     | 110       |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                      |             |         |           |
| 1201 Appropriation (special or trust fund) .....                | 157         | 117     | 110       |
| 1260 Appropriations, mandatory (total) .....                    | 157         | 117     | 110       |
| 1930 Total budgetary resources available .....                  | 157         | 117     | 110       |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....             | 157         | 117     | 110       |
| 3020 Outlays (gross) .....                                      | -157        | -117    | -110      |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                              | 157         | 117     | 110       |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....                 | 157         | 117     | 110       |
| 4180 Budget authority, net (total) .....                        | 157         | 117     | 110       |
| 4190 Outlays, net (total) .....                                 | 157         | 117     | 110       |

The Combined Benefit Fund was established by the Coal Industry Retiree Health Benefit Act of 1992 to take over paying for medical care of retired miners and their dependents who were eligible for health care from the private 1950 and 1974 United Mine Workers of America Benefit Plans. The Fund's trustees represent the United Mine Workers of America and coal companies. The Fund is financed by assessments on current and former signatories to labor agreements with the United Mine Workers; past transfers from an over-funded United Mine Workers pension fund; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

#### UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN

#### Program and Financing (in millions of dollars)

| Identification code 95-8260-0-7-551                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                     |             |         |           |
| 0001 United Mine Workers of America 1992 Benefit Plan ..... | 72          | 64      | 65        |
| 0900 Total new obligations (object class 42.0) .....        | 72          | 64      | 65        |
| <b>Budgetary Resources:</b>                                 |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                  |             |         |           |
| 1201 Appropriation (special or trust fund) .....            | 72          | 64      | 65        |
| 1260 Appropriations, mandatory (total) .....                | 72          | 64      | 65        |
| 1930 Total budgetary resources available .....              | 72          | 64      | 65        |
| <b>Change in obligated balance:</b>                         |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....         | 72          | 64      | 65        |
| 3020 Outlays (gross) .....                                  | -72         | -64     | -65       |
| <b>Budget authority and outlays, net:</b>                   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                          | 72          | 64      | 65        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....             | 72          | 64      | 65        |
| 4180 Budget authority, net (total) .....                    | 72          | 64      | 65        |
| 4190 Outlays, net (total) .....                             | 72          | 64      | 65        |

The 1992 Benefit Plan was established by the Coal Industry Retiree Health Benefit Act of 1992. It pays for health care for

UNITED MINE WORKERS OF AMERICA 1992 BENEFIT PLAN—Continued those miners who retired between July 21, 1992 and September 30, 1994, and their dependents, who are eligible for benefits under an employer plan and cease to be covered, usually because an employer is out of business. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is supported by signers of the 1988 labor agreement with the United Mine Workers of America; a Medicare prescription drug demonstration; transfers from the Abandoned Mine Land Reclamation fund; and the General Fund of the Treasury.

#### UNITED MINE WORKERS OF AMERICA 1993 BENEFIT PLAN

##### Program and Financing (in millions of dollars)

| Identification code 95–8535–0–7–551                         | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                     |             |         |           |
| 0001 United Mine Workers of America 1993 Benefit Plan ..... | 60          | 48      | 49        |
| 0900 Total new obligations (object class 42.0) .....        | 60          | 48      | 49        |
| <b>Budgetary Resources:</b>                                 |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, mandatory:                                  |             |         |           |
| 1201 Appropriation (special or trust fund) .....            | 60          | 48      | 49        |
| 1260 Appropriations, mandatory (total) .....                | 60          | 48      | 49        |
| 1930 Total budgetary resources available .....              | 60          | 48      | 49        |
| <b>Change in obligated balance:</b>                         |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....         | 60          | 48      | 49        |
| 3020 Outlays (gross) .....                                  | –60         | –48     | –49       |
| <b>Budget authority and outlays, net:</b>                   |             |         |           |
| Mandatory:  |             |         |           |
| 4090 Budget authority, gross .....                          | 60          | 48      | 49        |
| Outlays, gross:   |             |         |           |
| 4100 Outlays from new mandatory authority .....             | 60          | 48      | 49        |
| 4180 Budget authority, net (total) .....                    | 60          | 48      | 49        |
| 4190 Outlays, net (total) .....                             | 60          | 48      | 49        |

The 1993 Benefit Plan provides health benefits to certain retired mine workers and disabled mine workers who are not eligible for benefits under the Coal Industry Retiree Health Benefit Act of 1992 and who are not receiving benefits from employers' benefit plans. The 1993 Benefit Plan was established through collective bargaining under the National Bituminous Coal Wage Agreement of 1993. Plan trustees are appointed by the United Mine Workers of America and the Bituminous Coal Operators Association, a coal industry bargaining group. The Plan is financed by signatories to the National Bituminous Coal Wage Agreement; transfers from the Abandoned Mine Land Reclamation fund; a Medicare prescription drug demonstration; and the General Fund of the Treasury.

## UNITED STATES COURT OF APPEALS FOR VETERANS CLAIMS

### Federal Funds

#### SALARIES AND EXPENSES

A total of \$35,407,527, of which \$32,907,527 will be used by the United States Court of Appeals for Veterans Claims for operations as authorized by 38 U.S.C. 7251–7299; and \$2,500,000, which shall be transferred to the Legal Services Corporation to facilitate the furnishing of legal and other assistance in accordance with the process and reporting procedures set forth under this heading in Public Law No. 102–229.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 95–0300–0–1–705                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                         |             |         |           |
| 0001 Salaries and Expenses .....                                | 27          | 31      | 35        |
| <b>Budgetary Resources:</b>                                     |             |         |           |
| Budget authority:   |             |         |           |
| Appropriations, discretionary:                                  |             |         |           |
| 1100 Appropriation .....  | 31          | 31      | 35        |
| 1160 Appropriation, discretionary (total) .....                 | 31          | 31      | 35        |
| 1930 Total budgetary resources available .....                  | 31          | 31      | 35        |
| Memorandum (non-add) entries:                                   |             |         |           |
| 1940 Unobligated balance expiring .....                         | –4          |         |           |
| <b>Change in obligated balance:</b>                             |             |         |           |
| Unpaid obligations:   |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....           | 11          | 9       | 8         |
| 3010 Obligations incurred, unexpired accounts .....             | 27          | 31      | 35        |
| 3020 Outlays (gross) .....                                      | –27         | –32     | –39       |
| 3041 Recoveries of prior year unpaid obligations, expired ..... | –2          |         |           |
| 3050 Unpaid obligations, end of year .....                      | 9           | 8       | 4         |
| Memorandum (non-add) entries:                                   |             |         |           |
| 3100 Obligated balance, start of year .....                     | 11          | 9       | 8         |
| 3200 Obligated balance, end of year .....                       | 9           | 8       | 4         |
| <b>Budget authority and outlays, net:</b>                       |             |         |           |
| Discretionary:  |             |         |           |
| 4000 Budget authority, gross .....                              | 31          | 31      | 35        |
| Outlays, gross:   |             |         |           |
| 4010 Outlays from new discretionary authority .....             | 25          | 28      | 32        |
| 4011 Outlays from discretionary balances .....                  | 2           | 4       | 7         |
| 4020 Outlays, gross (total) .....                               | 27          | 32      | 39        |
| 4180 Budget authority, net (total) .....                        | 31          | 31      | 35        |
| 4190 Outlays, net (total) .....                                 | 27          | 32      | 39        |

The United States Court of Appeals for Veterans Claims (Court) is a national court of record established by the Veterans Judicial Review Act, Pub. L. No. 100–687, Division A (1988) (Act). The Act, as amended, is codified in part at 38 U.S.C. 7251–7299. The Court is part of the Federal judicial system and has a permanent authorization for seven judges, one of whom serves as chief judge. The judges are appointed by the President, by and with the advice and consent of the Senate, for 15-year terms, except that two have been appointed for 13-year terms pursuant to Pub. L. No. 106–117, Nov. 30, 1999. Two additional, temporary judgeships are authorized pursuant to 38 U.S.C. 7253(i) and all positions are now filled, with nine active judges serving on the Court. Our five senior judges may also be recalled to provide service throughout the year, as needed. Two other judges are retired due to permanent disability. For management, administration, and expenditure of funds in areas beyond the bounds of Chapter 72 of Title 38, the Court may exercise the authorities provided for such purposes applicable to other courts as defined in Title 28, U.S. Code.

The Court has exclusive jurisdiction to review decisions made by the Department of Veterans Affairs Board of Veterans' Appeals (Board) that adversely affect a person's entitlement to VA benefits. This judicial review, although specialized in scope, is the same as that performed by all other United States Courts of Appeal. In cases before it, the Court has the authority to decide all relevant questions of law; to interpret constitutional, statutory, and regulatory provisions; and to determine the meaning or applicability of actions/decisions by the Secretary of Veterans Affairs. The Court may affirm, set aside, reverse, or remand those

decisions as appropriate. Additionally, the Court has authority under 28 U.S.C. 1651 to issue all writs necessary or appropriate in aid of its jurisdiction, and to act on applications under 28 U.S.C. 2412(d), the Equal Access to Justice Act (EAJA). Certain decisions by the Court are reviewable by the United States Court of Appeals for the Federal Circuit and, if certiorari is granted, by the United States Supreme Court.

The Court is located in Washington, D.C., see 38 U.S.C. 7255 (requiring the principal office of the Court and duty station of each active service judge to be located in the D.C. metropolitan area), but as a national court, the Court may sit anywhere in the United States.

In 1992, Congress authorized the Court to transfer up to \$950,000 from its appropriation that year to the Legal Services Corporation (LSC), for the purpose of providing, facilitating, and furnishing legal and other assistance, through grant or contract, to veterans and others seeking recourse in the Court. That program, often referred to as the pro bono representation program, has been ongoing since that time, with LSC responsible for oversight and grant distribution responsibilities. The Appropriations Subcommittees consider that budget request separately from the Court's budget request, although both are submitted together. A request of \$2,726,000 was made in 2013, and the request for 2014 is \$2,500,000.

#### Object Classification (in millions of dollars)

| Identification code 95-0300-0-1-705                               | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Direct obligations:   |             |         |           |
| 11.3 Personnel compensation: Other than full-time permanent ..... | 10          | 14      | 14        |
| 12.1 Civilian personnel benefits .....                            | 6           | 7       | 11        |
| 23.1 Rental payments to GSA .....                                 | 4           | 3       | 3         |
| 25.2 Other services from non-Federal sources .....                | 2           | 3       | 3         |
| 25.3 Other goods and services from Federal sources .....          | 1           |         | 1         |
| 31.0 Equipment .....  | 1           | 1       | 1         |
| 41.0 Grants, subsidies, and contributions .....                   | 3           | 3       | 2         |
| 99.9 Total new obligations .....                                  | 27          | 31      | 35        |

#### Employment Summary

| Identification code 95-0300-0-1-705                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 104         | 127     | 127       |

#### Trust Funds

##### COURT OF APPEALS FOR VETERANS CLAIMS RETIREMENT FUND

#### Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95-8290-0-7-705   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   | 24          | 28      | 32        |
| Receipts:   |             |         |           |
| 0240 Earnings on Investment, Court of Veterans Appeals Retirement Fund, LVE .....               |             | 1       | 1         |
| 0241 Employing Agency Contributions, Court of Appeals for Veterans Claims Retirement Fund ..... | 5           | 4       | 7         |
| 0299 Total receipts and collections .....   | 5           | 5       | 8         |
| 0400 Total: Balances and collections .....  | 29          | 33      | 40        |
| Appropriations:   |             |         |           |
| 0500 Court of Appeals for Veterans Claims Retirement Fund .....                                 | -1          | -1      | -1        |
| 0799 Balance, end of year .....   | 28          | 32      | 39        |

#### Program and Financing (in millions of dollars)

| Identification code 95-8290-0-7-705                             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Obligations by program activity:                                |             |         |           |
| 0001 Court of Appeals for Veterans Claims Retirement Fund ..... | 1           | 1       | 1         |

|  |    |    |    |
|--|----|----|----|
| 0900 Total new obligations (object class 42.0) .....             | 1  | 1  | 1  |
| <b>Budgetary Resources:</b>                                      |    |    |    |
| Budget authority:  |    |    |    |
| Appropriations, mandatory:                                       |    |    |    |
| 1201 Appropriation (special or trust fund) .....                 | 1  | 1  | 1  |
| 1260 Appropriations, mandatory (total) .....                     | 1  | 1  | 1  |
| 1930 Total budgetary resources available .....                   | 1  | 1  | 1  |
| <b>Change in obligated balance:</b>                              |    |    |    |
| Unpaid obligations:  |    |    |    |
| 3010 Obligations incurred, unexpired accounts .....              | 1  | 1  | 1  |
| 3020 Outlays (gross) .....                                       | -1 | -1 | -1 |
| <b>Budget authority and outlays, net:</b>                        |    |    |    |
| Mandatory:   |    |    |    |
| 4090 Budget authority, gross .....                               | 1  | 1  | 1  |
| Outlays, gross:  |    |    |    |
| 4100 Outlays from new mandatory authority .....                  | 1  | 1  | 1  |
| 4180 Budget authority, net (total) .....                         | 1  | 1  | 1  |
| 4190 Outlays, net (total) .....                                  | 1  | 1  | 1  |
| <b>Memorandum (non-add) entries:</b>                             |    |    |    |
| 5000 Total investments, SOY: Federal securities: Par value ..... | 24 | 27 | 31 |
| 5001 Total investments, EOY: Federal securities: Par value ..... | 27 | 31 | 38 |

The Retirement Fund, established under 38 U.S.C. 7298, is used for judges' retired pay and for annuities, refunds, and allowances provided to surviving spouses and dependent children. Participating judges pay 1% of their salaries to cover creditable service for retired pay purposes and 2.2% of their salaries for survivor annuity purposes. Additional funds needed to cover the unfunded liability may be transferred to this fund from the Court's annual appropriation. The Court's contribution to the fund is estimated annually by an actuarial firm retained by the Court. The fund is invested solely in government securities.

## UNITED STATES ENRICHMENT CORPORATION FUND

### Federal Funds

#### UNITED STATES ENRICHMENT CORPORATION FUND

#### Program and Financing (in millions of dollars)

| Identification code 95-4054-0-3-271   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Budgetary Resources:</b>   |             |         |           |
| Budget authority:   |             |         |           |
| Spending authority from offsetting collections, mandatory:  |             |         |           |
| 1800 Collected .....  | 5           | 10      | 10        |
| 1824 Spending authority from offsetting collections precluded from obligation (limitation on obligations) ..... | -5          | -10     | -10       |
| <b>Budget authority and outlays, net:</b>   |             |         |           |
| Mandatory:  |             |         |           |
| Offsets against gross budget authority and outlays:   |             |         |           |
| Offsetting collections (collected) from:  |             |         |           |
| 4121 Interest on Federal securities .....   | -5          | -10     | -10       |
| 4180 Budget authority, net (total) .....  | -5          | -10     | -10       |
| 4190 Outlays, net (total) .....   | -5          | -10     | -10       |
| <b>Memorandum (non-add) entries:</b>  |             |         |           |
| 5000 Total investments, SOY: Federal securities: Par value .....  | 1,593       | 1,598   | 1,608     |
| 5001 Total investments, EOY: Federal securities: Par value .....  | 1,598       | 1,608   | 1,618     |
| 5090 Unavailable balance, SOY: Offsetting collections .....   | 1,593       | 1,598   | 1,608     |
| 5091 Unavailable balance, EOY: Offsetting collections .....   | 1,598       | 1,608   | 1,618     |

# UNITED STATES HOLOCAUST MEMORIAL MUSEUM

## Federal Funds

### HOLOCAUST MEMORIAL MUSEUM

For expenses of the Holocaust Memorial Museum, as authorized by Public Law 106-292 (36 U.S.C. 2301-2310), \$52,385,000, of which \$515,000 shall remain available until September 30, 2016, for the Museum's equipment replacement program; and of which \$1,900,000 for the Museum's repair and rehabilitation program and \$1,264,000 for the Museum's outreach initiatives program shall remain available until expended.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 95-3300-0-1-503                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 64          | 64      | 68        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 5           | 6       | 8         |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation .....   | 51          | 51      | 52        |
| 1160 Appropriation, discretionary (total) .....                    | 51          | 51      | 52        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 14          | 15      | 15        |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 14          | 15      | 15        |
| 1900 Budget authority (total) .....                                | 65          | 66      | 67        |
| 1930 Total budgetary resources available .....                     | 70          | 72      | 75        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 6           | 8       | 7         |
| <b>Change in obligated balance:</b>                                |             |         |           |
| Unpaid obligations:  |             |         |           |
| 3000 Unpaid obligations, brought forward, Oct 1 .....              | 9           | 12      | 16        |
| 3010 Obligations incurred, unexpired accounts .....                | 64          | 64      | 68        |
| 3020 Outlays (gross) .....   | -61         | -60     | -67       |
| 3050 Unpaid obligations, end of year .....                         | 12          | 16      | 17        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 3100 Obligated balance, start of year .....                        | 9           | 12      | 16        |
| 3200 Obligated balance, end of year .....                          | 12          | 16      | 17        |
| <b>Budget authority and outlays, net:</b>                          |             |         |           |
| Discretionary:   |             |         |           |
| 4000 Budget authority, gross .....                                 | 65          | 66      | 67        |
| Outlays, gross:  |             |         |           |
| 4010 Outlays from new discretionary authority .....                | 37          | 54      | 55        |
| 4011 Outlays from discretionary balances .....                     | 24          | 6       | 12        |
| 4020 Outlays, gross (total) .....                                  | 61          | 60      | 67        |
| Offsets against gross budget authority and outlays:                |             |         |           |
| Offsetting collections (collected) from:                           |             |         |           |
| 4033 Non-Federal sources .....                                     | -14         | -15     | -15       |
| 4180 Budget authority, net (total) .....                           | 51          | 51      | 52        |
| 4190 Outlays, net (total) .....                                    | 47          | 45      | 52        |

The Museum is a permanent living memorial to the victims of the Holocaust. As a public-private partnership, the Museum sponsors national educational outreach and scholarship, as well as annual Days of Remembrance commemorations.

### Object Classification (in millions of dollars)

| Identification code 95-3300-0-1-503                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Direct obligations:</b>                             |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 18          | 19      | 19        |
| 12.1 Civilian personnel benefits .....                 | 10          | 9       | 9         |
| 21.0 Travel and transportation of persons .....        | 1           | 1       | 1         |
| 23.1 Rental payments to GSA .....                      | 3           | 3       | 3         |

|      |  |    |    |    |
|------|--|----|----|----|
| 23.3 | Communications, utilities, and miscellaneous charges ..... | 4  | 4  | 5  |
| 25.2 | Other services from non-Federal sources .....              | 24 | 24 | 26 |
| 25.4 | Operation and maintenance of facilities .....              | 1  | 2  | 3  |
| 26.0 | Supplies and materials .....                               | 1  | 1  | 1  |
| 31.0 | Equipment .....  | 2  | 1  | 1  |
| 99.9 | Total new obligations .....                                | 64 | 64 | 68 |

### Employment Summary

| Identification code 95-3300-0-1-503                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 180         | 194     | 194       |

# UNITED STATES INSTITUTE OF PEACE

## Federal Funds

### UNITED STATES INSTITUTE OF PEACE

For necessary expenses of the United States Institute of Peace, as authorized by the United States Institute of Peace Act, \$35,687,000, to remain available until September 30, 2015, which shall not be used for construction activities.

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112-175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

### Program and Financing (in millions of dollars)

| Identification code 95-1300-0-1-153                                | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>                            |             |         |           |
| 0001 Direct program activity .....                                 | 43          | 39      | 36        |
| 0801 Reimbursable program activity .....                           | 3           | 2       | 2         |
| 0900 Total new obligations .....                                   | 46          | 41      | 38        |
| <b>Budgetary Resources:</b>  |             |         |           |
| Unobligated balance:   |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 .....              | 7           | 11      | 14        |
| 1021 Recoveries of prior year unpaid obligations .....             | 1           | 1       | 1         |
| 1050 Unobligated balance (total) .....                             | 8           | 12      | 15        |
| Budget authority:  |             |         |           |
| Appropriations, discretionary:                                     |             |         |           |
| 1100 Appropriation-Base .....                                      | 39          | 39      | 36        |
| 1160 Appropriation, discretionary (total) .....                    | 39          | 39      | 36        |
| Spending authority from offsetting collections, discretionary:     |             |         |           |
| 1700 Collected .....   | 10          | 1       | 1         |
| 1701 Change in uncollected payments, Federal sources .....         | 6           | 3       | 3         |
| 1750 Spending auth from offsetting collections, disc (total) ..... | 16          | 4       | 4         |
| 1900 Budget authority (total) .....                                | 55          | 43      | 40        |
| 1930 Total budgetary resources available .....                     | 63          | 55      | 55        |
| Memorandum (non-add) entries:                                      |             |         |           |
| 1940 Unobligated balance expiring .....                            | -6          |         |           |
| 1941 Unexpired unobligated balance, end of year .....              | 11          | 14      | 17        |

### Change in obligated balance:

|   |     |     |     |
|---|-----|-----|-----|
| Unpaid obligations:   |     |     |     |
| 3000 Unpaid obligations, brought forward, Oct 1 .....             | 14  | 20  | 17  |
| 3010 Obligations incurred, unexpired accounts .....               | 46  | 41  | 38  |
| 3011 Obligations incurred, expired accounts .....                 | 8   | 5   |     |
| 3020 Outlays (gross) .....  | -45 | -48 | -42 |
| 3040 Recoveries of prior year unpaid obligations, unexpired ..... | -1  | -1  | -1  |
| 3041 Recoveries of prior year unpaid obligations, expired .....   | -2  |     |     |
| 3050 Unpaid obligations, end of year .....                        | 20  | 17  | 12  |
| Uncollected payments:   |     |     |     |
| 3060 Uncollected pymts, Fed sources, brought forward, Oct 1 ..... | -10 | -12 | -15 |
| 3070 Change in uncollected pymts, Fed sources, unexpired .....    | -6  | -3  | -3  |
| 3071 Change in uncollected pymts, Fed sources, expired .....      | 4   |     |     |
| 3090 Uncollected pymts, Fed sources, end of year .....            | -12 | -15 | -18 |
| Memorandum (non-add) entries:                                     |     |     |     |
| 3100 Obligated balance, start of year .....                       | 4   | 8   | 2   |
| 3200 Obligated balance, end of year .....                         | 8   | 2   | -6  |



## UNITED STATES INTERAGENCY COUNCIL ON HOMELESSNESS

### Federal Funds

#### OPERATING EXPENSES

*For necessary expenses (including payment of salaries, authorized travel, hire of passenger motor vehicles, the rental of conference rooms, and the employment of experts and consultants under section 3109 of title 5, United States Code) of the United States Interagency Council on Homelessness in carrying out the functions pursuant to title II of the McKinney-Vento Homeless Assistance Act, as amended, \$3,595,000. Title II of the McKinney-Vento Homeless Assistance Act (42 U.S.C. 11319) is amended by striking section 209, and in section 204(a) by striking "level V" and inserting "level IV".*

Note.—A full-year 2013 appropriation for this account was not enacted at the time the budget was prepared; therefore, the budget assumes this account is operating under the Continuing Appropriations Resolution, 2013 (P.L. 112–175). The amounts included for 2013 reflect the annualized level provided by the continuing resolution.

#### Program and Financing (in millions of dollars)

| Identification code 48–1300–0–1–808       |  | 2012 actual | 2013 CR | 2014 est. |
|---|--|-------------|---------|-----------|
| <b>Obligations by program activity:</b>   |  |             |         |           |
| 0101                                      | Operations .....                               | 3           | 3       | 4         |
| 0900                                      | Total new obligations .....                    | 3           | 3       | 4         |
| <b>Budgetary Resources:</b>               |  |             |         |           |
| Budget authority:                         |  |             |         |           |
| Appropriations, discretionary:            |  |             |         |           |
| 1100                                      | Appropriation .....                            | 3           | 3       | 4         |
| 1160                                      | Appropriation, discretionary (total) .....     | 3           | 3       | 4         |
| 1930                                      | Total budgetary resources available .....      | 3           | 3       | 4         |
| <b>Change in obligated balance:</b>       |  |             |         |           |
| Unpaid obligations:                       |  |             |         |           |
| 3010                                      | Obligations incurred, unexpired accounts ..... | 3           | 3       | 4         |
| 3020                                      | Outlays (gross) .....                          | –3          | –3      | –4        |
| <b>Budget authority and outlays, net:</b> |  |             |         |           |
| Discretionary:                            |  |             |         |           |
| 4000                                      | Budget authority, gross .....                  | 3           | 3       | 4         |
| Outlays, gross:                           |  |             |         |           |
| 4010                                      | Outlays from new discretionary authority ..... | 3           | 3       | 4         |
| 4180                                      | Budget authority, net (total) .....            | 3           | 3       | 4         |
| 4190                                      | Outlays, net (total) .....                     | 3           | 3       | 4         |

The United States Interagency Council on Homelessness (USICH) is an independent Executive Branch agency whose mission is to coordinate the Federal response to homelessness and to create a national partnership at every level of government and with the private sector to reduce and end homelessness. In collaboration with its 19 member Federal agencies, USICH led the development of Opening Doors: the Federal Strategic Plan to Prevent and End Homelessness, which was released in June 2010. The Plan sets four ambitious goals: 1) Finish the job of ending chronic homelessness by 2015; 2) Prevent and end veterans homelessness by 2015; 3) Prevent and end homelessness for families, youth and children by 2020; and 4) set a path to ending all types of homelessness. Working with Federal, state and local partners, USICH is leading the implementation of the Plan in Washington, DC and across the country. The Budget proposes \$3.6 million for USICH to continue implementing the plan. In addition, the Budget permanently authorizes USICH and increases the salary level for the Executive Director to be consistent with other equivalent positions in the Federal Government.

#### Budget authority and outlays, net:

|   |   |     |    |    |
|---|---|-----|----|----|
| Discretionary:  |   |     |    |    |
| 4000  | Budget authority, gross .....                                   | 55  | 43 | 40 |
| Outlays, gross:   |   |     |    |    |
| 4010  | Outlays from new discretionary authority .....                  | 27  | 41 | 38 |
| 4011  | Outlays from discretionary balances .....                       | 18  | 7  | 4  |
| 4020  | Outlays, gross (total) .....                                    | 45  | 48 | 42 |
| Offsets against gross budget authority and outlays:     |   |     |    |    |
| Offsetting collections (collected) from:                |   |     |    |    |
| 4030  | Federal sources .....   | –14 | –1 | –1 |
| 4033  | Non-Federal sources .....                                       | –1  |    |    |
| 4040  | Offsets against gross budget authority and outlays (total) .... | –15 | –1 | –1 |
| Additional offsets against gross budget authority only: |   |     |    |    |
| 4050  | Change in uncollected pymts, Fed sources, unexpired .....       | –6  | –3 | –3 |
| 4052  | Offsetting collections credited to expired accounts .....       | 5   |    |    |
| 4060  | Additional offsets against budget authority only (total) .....  | –1  | –3 | –3 |
| 4070  | Budget authority, net (discretionary) .....                     | 39  | 39 | 36 |
| 4080  | Outlays, net (discretionary) .....                              | 30  | 47 | 41 |
| 4180  | Budget authority, net (total) .....                             | 39  | 39 | 36 |
| 4190  | Outlays, net (total) .....                                      | 30  | 47 | 41 |

The United States Institute of Peace (USIP or the Institute), an independent, bipartisan, quasi-federal institution established by the Congress, is responsible for providing Federal leadership to develop, apply, foster, and continuously update cost-effective strategies and tools to prevent, mitigate, and resolve violent international conflicts that threaten or harm America's strategic interests.

National security challenges require an agile contributor focused on flexible, non-bureaucratic, and highly cost-effective conflict prevention operations, training, and analysis supporting America's strategic security interests around the world, including Afghanistan, Pakistan, Syria, South Sudan, Sudan, Tunisia, Libya, Egypt, Afghanistan, Yemen, Colombia, the Palestinian Territories, and Nigeria.

USIP operations include on-the-ground facilitation and mediation in conflict zones, civil society capacity building through training and workshops, enhancing governance and rule of law through support for constitution-making, developing educational and teacher training materials, identifying and disseminating best practices and lessons learned in conflict management, and cutting edge conflict analysis supporting policymakers and practitioners. USIP develops innovative models, approaches, and practices, and continuously updates conflict management tools.

USIP is a significant hub for increasing collaboration in whole-of-government and whole-of-community peacebuilding and supporting and improving conflict management in joint, interagency, non-governmental, and multinational environments. Through active interagency collaboration and personnel assignments, USIP strengthens partnerships, builds networks with government and non-government sectors, and develops synergy across the interagency community in conflict prevention and management.

#### Object Classification (in millions of dollars)

| Identification code 95–1300–0–1–153 |  | 2012 actual | 2013 CR | 2014 est. |
|-------------------------------------|--|-------------|---------|-----------|
| Direct obligations:                 |  |             |         |           |
| 11.8                                | Personnel compensation: Special personal services payments ..... | 13          | 13      | 13        |
| 12.1                                | Civilian personnel benefits .....                                | 3           | 3       | 3         |
| 21.0                                | Travel and transportation of persons .....                       | 1           | 1       | 1         |
| 25.2                                | Other services from non-Federal sources .....                    | 23          | 16      | 14        |
| 41.0                                | Grants, subsidies, and contributions .....                       | 3           | 6       | 5         |
| 99.0                                | Direct obligations .....   | 43          | 39      | 36        |
| 99.0                                | Reimbursable obligations .....                                   | 3           | 2       | 2         |
| 99.9                                | Total new obligations .....                                      | 46          | 41      | 38        |

## OPERATING EXPENSES—Continued

## Object Classification (in millions of dollars)

| Identification code 48–1300–0–1–808  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 11.1 Direct obligations: Personnel compensation: Full-time permanent ..... | 1           | 2       | 2         |
| 99.5 Below reporting threshold .....                                       | 2           | 1       | 2         |
| 99.9 Total new obligations .....   | 3           | 3       | 4         |

## Employment Summary

| Identification code 48–1300–0–1–808                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 14          | 19      | 22        |

## VIETNAM EDUCATION FOUNDATION

## Federal Funds

## VIETNAM DEBT REPAYMENT FUND

## Special and Trust Fund Receipts (in millions of dollars)

| Identification code 95–5365–0–2–154   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| 0100 Balance, start of year .....   |             |         |           |
| Receipts:   |             |         |           |
| 0240 Transfers from Liquidating Accounts, Vietnam Debt Repayment Fund ..... | 5           | 5       | 5         |
| 0400 Total: Balances and collections .....                                  | 5           | 5       | 5         |
| Appropriations:   |             |         |           |
| 0500 Vietnam Debt Repayment Fund .....                                      | –5          | –5      | –5        |
| 0799 Balance, end of year .....   |             |         |           |

## Program and Financing (in millions of dollars)

| Identification code 95–5365–0–2–154                   | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| <b>Obligations by program activity:</b>               |             |         |           |
| 0001 Direct program activity .....                    | 6           | 5       | 5         |
| <b>Budgetary Resources:</b>                           |             |         |           |
| Unobligated balance:                                  |             |         |           |
| 1000 Unobligated balance brought forward, Oct 1 ..... | 5           | 4       | 4         |
| Budget authority:                                     |             |         |           |
| Appropriations, mandatory:                            |             |         |           |
| 1201 Appropriation (special or trust fund) .....      | 5           | 5       | 5         |
| 1260 Appropriations, mandatory (total) .....          | 5           | 5       | 5         |
| 1930 Total budgetary resources available .....        | 10          | 9       | 9         |
| Memorandum (non-add) entries:                         |             |         |           |
| 1941 Unexpired unobligated balance, end of year ..... | 4           | 4       | 4         |
| <b>Change in obligated balance:</b>                   |             |         |           |
| Unpaid obligations:                                   |             |         |           |
| 3010 Obligations incurred, unexpired accounts .....   | 6           | 5       | 5         |
| 3020 Outlays (gross) .....                            | –6          | –5      | –5        |

## Budget authority and outlays, net:

|   |   |   |   |
|---|---|---|---|
| Mandatory:                                      |   |   |   |
| 4090 Budget authority, gross .....              | 5 | 5 | 5 |
| Outlays, gross:                                 |   |   |   |
| 4100 Outlays from new mandatory authority ..... | 5 | 5 | 5 |
| 4101 Outlays from mandatory balances .....      | 1 |   |   |
| 4110 Outlays, gross (total) .....               | 6 | 5 | 5 |
| 4180 Budget authority, net (total) .....        | 5 | 5 | 5 |
| 4190 Outlays, net (total) .....                 | 6 | 5 | 5 |

The Vietnam Education Foundation Act of 2000 (Title II of Public Law 106–554) created the Vietnam Education Foundation (VEF) to administer an international fellowship program under which Vietnamese nationals can undertake graduate and post-graduate level studies in the United States in the sciences (natural, physical, and environmental), mathematics, medicine, and technology, and American citizens can teach in these fields in appropriate Vietnamese institutions of higher education. The Act also authorized the establishment of the Vietnam Debt Repayment Fund, in which all payments (including interest payments) made by the Socialist Republic of Vietnam under the United States-Vietnam debt agreement shall be deposited as offsetting receipts. Beginning in 2002, and in each subsequent year through 2018, \$5 million of the amounts deposited into the fund from USDA and USAID shall be available to VEF for operations and fellowship programs.

## Object Classification (in millions of dollars)

| Identification code 95–5365–0–2–154                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Direct obligations:                                    |             |         |           |
| 11.1 Personnel compensation: Full-time permanent ..... | 1           | 1       | 1         |
| 25.2 Other services from non-Federal sources .....     | 5           | 4       | 4         |
| 99.9 Total new obligations .....                       | 6           | 5       | 5         |

## Employment Summary

| Identification code 95–5365–0–2–154                        | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| 1001 Direct civilian full-time equivalent employment ..... | 6           | 6       | 6         |

## GENERAL FUND RECEIPT ACCOUNTS

(in millions of dollars)

|  | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Offsetting receipts from the public:   |             |         |           |
| 95–322076 All Other General Fund Proprietary Receipts Including Budget Clearing Accounts ..... | 9           | 5       | 5         |
| General Fund Offsetting receipts from the public .....   | 9           | 5       | 5         |

# AMENDMENTS TO AND REVISIONS IN BUDGET AUTHORITY FOR 2013

## STATEMENT ON CHANGES

### (Between the Transmittal of the 2013 and 2014 Budgets)

A statement of all amendments to, or revisions in, budget authority requested between transmittal of the 2013 Budget and the 2014 Budget is presented below. This statement is included in the budget in accordance with the Congressional Budget Act of 1974 (31 U.S.C. 1105(d)).

The modifications to proposals for 2013 budget authority that were made through the course of the past year took the form of Presidential amendments to the budget transmitted on February 13, 2012, and other requests.

These modifications were printed in the documents of the House of Representatives that are identified on the following listing.

| Transmitted to the Congress on | Agencies affected   | Printed as          |
|--------------------------------|---|---------------------|
| April 16, 2012 .....           | Department of Defense .....<br>Department of Health and Human Services<br>Department of Homeland Security<br>Department of Housing and Urban Development<br>Department of State and Other International Programs<br>Corps of Engineers—Civil Works<br>General Provisions, Government-wide<br>Legislative Branch | H. Doc. No. 112–99  |
| September 28, 2012 .....       | Department of Defense .....<br>Department of Homeland Security<br>Department of State<br>Department of the Treasury<br>U.S. Agency for International Development<br>Broadcasting Board of Governors<br>United States Institute of Peace   | H. Doc. No. 112–146 |

|                        |  |                   |
|------------------------|--|-------------------|
| December 7, 2012 ..... | Department of Agriculture .....<br>Department of Commerce<br>Department of Defense<br>Department of Health and Human Services<br>Department of Homeland Security<br>Department of Housing and Urban Development<br>Department of the Interior<br>Department of Justice<br>Department of Labor<br>Department of Transportation<br>Department of Veterans Affairs<br>Corps of Engineers—Civil Works<br>Environmental Protection Agency<br>General Services Administration<br>National Aeronautics and Space Administration<br>Small Business Administration<br>Legal Services Corporation<br>Smithsonian Institution                                   | Not available     |
| January 29, 2013 ..... | Department of Agriculture .....<br>Department of Commerce<br>Department of Defense<br>Department of Health and Human Services<br>Department of Homeland Security<br>Department of Housing and Urban Development<br>Department of the Interior<br>Department of Justice<br>Department of Labor<br>Department of Transportation<br>Department of Veterans Affairs<br>Corps of Engineers—Civil Works<br>Environmental Protection Agency<br>General Services Administration<br>National Aeronautics and Space Administration<br>Small Business Administration<br>Social Security Administration<br>Legal Services Corporation<br>Smithsonian Institution | H. Doc. No. 113–7 |



## ADVANCE APPROPRIATIONS

An advance appropriation is one made to become available one year or more beyond the year for which the appropriations act is passed. Advance appropriations in 2014 appropriations acts will become available for programs in 2015 or beyond. Since these appropriations are not available until after 2014, the amounts will not be included in the 2014 totals, but will be reflected in the totals for the year for which they are requested.

The Congressional Budget Act of 1974 (31 U.S.C. 1105(a)(17)) requires inclusion in the budget of "information on estimates of appropriations for the fiscal year following the fiscal year for which the budget is submitted for grants, contracts, and other payments under each program for which there is an authorization of appropriations for that following fiscal year when the appropriations are authorized to be included in an appropriation law for the fiscal year before the fiscal year in which the appropriation is to be available for obligation." In fulfillment of this requirement, the accompanying table lists those accounts that have either received discretionary or mandatory advance appropriations since 2012 or will request, in 2014, advance appropriations for 2015 and beyond and cites the applicable authorizing statute.

The enacted Continuing Resolution for 2013 (P.L. 112–175) does not explicitly address advance appropriations for 2014. If a full year continuing resolution is enacted, this Budget, as illustrated by the accompanying table, assumes that specific legislative anomaly language will be included to make 2014 advance appropriations available. This is in order to ensure comparability with annual funding provided under the enacted Continuing Resolution for 2013 and to depict accurately the 2014 discretionary request levels compared to the discretionary budget authority caps enacted in the Balanced Budget and Deficit Control Act of 1985, as amended. In general, the Budget also assumes that the 2014 level that would be enacted in the legislative anomaly for discretionary appropriations is consistent with the 2013 advance appropriations that were enacted in the 2012 appropriations acts. However, for the Department of Veterans Affairs, the 2014 level is instead consistent with the 2014 advance appropriation that was requested in the 2013 Budget. For the mandatory advance appropriations, the Budget assumes that the 2014 level is the request from the 2013 Budget.

For additional information on advance appropriations, please refer to the Budget Process chapter in the *Analytical Perspectives* volume.

### Advance Appropriations by Agency in the 2014 Budget

(Budget authority in millions of dollars)

| Agency/Program | Pre-cancellation Enacted Levels |      | CR<br>2014 | 2015<br>Request |
|----------------|---------------------------------|------|------------|-----------------|
|                | 2012 <sup>1</sup>               | 2013 |            |                 |

#### Discretionary One-year Advances:

##### Department of Defense (P.L. 112–239):

Shipbuilding and Conversion, Navy<sup>2</sup> ..... 953

#### Department of Education (20 U.S.C. 1223):<sup>3</sup>

|  |        |        |        |        |
|--|--------|--------|--------|--------|
| Education for the Disadvantaged .....        | 10,841 | 10,841 | 10,841 | 11,682 |
| Special Education .....                      | 8,592  | 9,283  | 9,283  | 10,124 |
| Career, Technical, and Adult Education ..... | 791    | 791    | 791    | 791    |
| School Improvement Programs .....            | 1,681  | 1,681  | 1,681  | .....  |

#### Department of Housing and Urban Development (42 U.S.C. 1437 et seq.):

|   |       |       |       |       |
|---|-------|-------|-------|-------|
| Tenant-Based Rental Assistance .....          | 4,000 | 4,000 | 4,000 | 4,000 |
| 2012 Enacted Cancellation (P.L. 112–55) ..... | –650  | ..... | ..... | ..... |
| Project-Based Rental Assistance .....         | 400   | 400   | 400   | 400   |

#### Department of Labor:

|   |       |       |       |       |
|---|-------|-------|-------|-------|
| Training and Employment Services (29 U.S.C. 2801 et seq.) ..... | 1,772 | 1,772 | 1,772 | 1,772 |
| Office of Job Corps (29 U.S.C. 2881 et seq.) .....              | 691   | ..... | ..... | ..... |

#### Department of Veterans Affairs (P.L. 111–81):

|  |        |        |        |        |
|--|--------|--------|--------|--------|
| Medical Services .....   | 39,650 | 41,354 | 43,557 | 45,016 |
| Medical Support and Compliance .....   | 5,535  | 5,746  | 6,033  | 5,880  |
| Medical Facilities .....   | 5,426  | 5,441  | 4,872  | 4,739  |
| Enacted (P.L. 112–74) and Proposed Cancellations and Reappropriations <sup>4</sup> ..... | –1,750 | .....  | –1,750 | .....  |

#### Postal Service:

|   |    |    |    |    |
|---|----|----|----|----|
| Payment to Postal Service fund (39 U.S.C. 2401) ..... | 75 | 78 | 78 | 71 |
|---|----|----|----|----|

#### Discretionary Two-year Advances:

|  |     |     |     |     |
|--|-----|-----|-----|-----|
| Corporation for Public Broadcasting (47 U.S.C. 396) <sup>5</sup> ..... | 445 | 445 | 445 | 445 |
|--|-----|-----|-----|-----|

**Subtotal, Discretionary Advance Appropriations .....** **77,499** **81,832** **82,003** **85,873**

#### Mandatory:

##### Department of Health and Human Services:

|   |        |        |         |         |
|---|--------|--------|---------|---------|
| Grants to States for Medicaid (42 U.S.C. 1396) .....  | 86,445 | 90,614 | 106,336 | 103,472 |
| Payments to States for Child Support Enforcement and Family Support (24 U.S.C. Ch. 9) ..... | 1,200  | 1,100  | 1,100   | 1,250   |
| Payments to States for Foster Care and Adoption Assistance (P.L. 96–272) .....              | 1,850  | 2,100  | 2,200   | 2,200   |

#### Department of Labor:

|   |    |    |    |    |
|---|----|----|----|----|
| Special Benefits for Disabled Coal Miners (30 U.S.C. 921) ..... | 41 | 40 | 35 | 24 |
|---|----|----|----|----|

#### Social Security Administration:

|   |        |        |        |        |
|---|--------|--------|--------|--------|
| Supplemental Security Income Program (42 U.S.C. 1381) ..... | 13,400 | 18,200 | 19,300 | 19,700 |
|---|--------|--------|--------|--------|

**Total, Advance Appropriations .....** **180,435** **193,886** **210,974** **212,519**

<sup>1</sup>Any across-the-board reduction provisions included in the Consolidated Appropriations Act, 2012 (P.L. 112–74) are excluded.

<sup>2</sup>One additional new advance appropriation that the Administration is proposing to be considered outside of the limit on advance appropriations is for full funding of construction of Virginia class submarines at the Department of Defense (DOD). In the 2014 request, a regular appropriation is requested in 2014 and an advance appropriation is requested for 2015 in the Shipbuilding and Conversion, Navy account to fully fund the construction of Virginia class submarines.

<sup>3</sup>To account for the Administration's Elementary and Secondary Education Act reauthorization proposal, the 2014 Budget eliminates the \$1.681 billion advance appropriation that was previously in the School Improvement account (renamed the Education Improvement Account) and replaces it with corresponding increases to advance appropriations in the accounts for Education for the Disadvantaged (\$841 million, renamed Accelerating Achievement and Ensuring Equity) and Special Education (\$841 million). Total advance appropriations in the Department of Education remain unchanged at \$22.596 billion.

<sup>4</sup>The Consolidated Appropriations Act, 2012 (P.L. 112–74) cancelled \$1,750 million from the one-year funds advance appropriated for 2012 in P.L. 112–10 and reappropriated an equal amount for 2012 with an extended period of availability. The 2014 Budget proposes to cancel \$1,750 million from the one-year funds assumed to be appropriated for 2014 in the legislative anomaly, which would make 2014 advance appropriations available, and reappropriate an equal amount for 2014 with an extended period of availability.

<sup>5</sup>The 2014 request includes a 2016 advance appropriation for the Corporation for Public Broadcasting of \$445 million, consistent with the historical practice of providing the Corporation a two-year advance appropriation.



## FINANCING VEHICLES AND THE BOARD OF GOVERNORS OF THE FEDERAL RESERVE

This chapter contains descriptions of and data on financing vehicles and the Board of Governors of the Federal Reserve. The Financing Corporation functions as a financing vehicle for the Federal Savings and Loan Insurance Corporation (FSLIC) Resolution Fund. The Resolution Funding Corporation provided financing for the Resolution Trust Corporation (RTC) and is subject to the general oversight and direction of the Secretary of the Treasury.

The Board of Governors of the Federal Reserve System's transactions are not included in the Budget because of its unique status in the conduct of monetary policy. The Board provides data on its administrative budget, which is included here for information. Its budget schedules and statements are not subject to review by the President.

Amounts are on a calendar year basis, with the exception of the 2012 balance sheets for the Financing Corporation and Resolution Funding Corporation, which are as of September 30, 2012.

### FINANCING CORPORATION

The Financing Corporation (FICO) is a mixed-ownership Government corporation, chartered by the Federal Home Loan Bank Board pursuant to the Federal Savings and Loan Insurance Corporation Recapitalization Act of 1987, as amended (Act). FICO's sole purpose is to function as a financing vehicle for the FSLIC Resolution Fund, formerly the Federal Savings and Loan Insurance Corporation. Pursuant to the Act, FICO was authorized to issue debentures, bonds, and other obligations subject to limitations contained in the Act, the net proceeds of which were to be used solely to purchase capital certificates issued by the FSLIC Resolution Fund, or to refund any previously issued obligations. The Resolution Trust Corporation Refinancing, Restructuring, and Improvement Act of 1991 terminated FICO's borrowing authority.

The Act provided formulas pursuant to which the Federal Home Loan Banks make capital contributions to FICO. FICO used the proceeds received from the sales of such capital stock to purchase non-interest bearing securities for deposit in a segregated account as required by the Act. The non-interest bearing securities held in the segregated account are the primary source of repayment of the principal of FICO obligations. Securities in the segregated account are kept separate from other FICO accounts and funds, but are not specifically pledged as collateral for the payment of obligations. The primary source of payment of interest on the obligations is the receipt of assessments imposed on and collected from institutions' accounts which are insured by the Federal Deposit Insurance Corporation's Deposit Insurance Fund.

#### Balance Sheet (in millions of dollars)

| Identification code 39-4980-0-4-373            | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>                                 |             |             |
| Federal assets: Investments in US securities:  |             |             |
| 1102 Segregated accounts investment, net ..... | 4,968       | 5,297       |
| Other Federal assets:                          |             |             |
| 1801 Cash, cash equivalents .....              | 209         | 293         |
| 1901 Other assets .....                        | 8           | 8           |
| 1999 Total assets .....                        | 5,185       | 5,598       |
| <b>LIABILITIES:</b>                            |             |             |
| Non-Federal liabilities:                       |             |             |
| 2202 Interest payable .....                    | 157         | 236         |
| 2203 Debt .....                                | 8,151       | 8,152       |
| 2207 Other .....                               | 79          | 82          |
| 2999 Total liabilities .....                   | 8,387       | 8,470       |

|   |        |        |
|---|--------|--------|
| <b>NET POSITION:</b>                                |        |        |
| 3100 FICO capital stock purchased by FHLBanks ..... | 680    | 680    |
| 3300 Cumulative results of operations .....         | 4,288  | 4,617  |
| 3300 FSLIC capital certificates .....               | -8,170 | -8,170 |
| 3999 Total net position .....                       | -3,202 | -2,873 |
| 4999 Total liabilities and net position .....       | 5,185  | 5,597  |

### RESOLUTION FUNDING CORPORATION

The Resolution Funding Corporation (REFCORP) is a mixed-ownership Government corporation established by Title V of the Financial Institutions Reform, Recovery, and Enforcement Act of 1989 (FIRREA). The sole purpose of REFCORP was to provide financing for the Resolution Trust Corporation (RTC). Pursuant to FIRREA, REFCORP was authorized to issue debentures, bonds, and other obligations, subject to limitations contained in the Act and regulations established by the Thrift Depositor Protection Oversight Board. The proceeds of the debt (less any discount, plus any premium, net of issuance cost) were used solely to purchase nonredeemable capital certificates of RTC or to refund any previously issued obligations.

Until October 29, 1998, REFCORP was subject to the general oversight and direction of the Thrift Depositor Protection Oversight Board. At that time, the Oversight Board was abolished and its authority and duties were transferred to the Secretary of the Treasury. The day-to-day operations of REFCORP are under the management of a three-member Directorate comprised of the Director of the Office of Finance of the Federal Home Loan Banks and two members selected from among the presidents of the 12 Federal Home Loan Banks (FHLBanks). Members of the Directorate serve without compensation, and REFCORP is not permitted to have any paid employees.

FIRREA, as amended, and the regulations adopted by the Thrift Depositor Protection Oversight Board and the Secretary of the Treasury required that Federal Home Loan Banks (FHLBs) contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by REFCORP until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBs fulfilled this obligation on August 5, 2011.

#### Balance Sheet (in millions of dollars)

| Identification code 39-4981-0-4-373  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets: Investments in US securities:                              |             |             |
| 1102 Principal fund account investment, net .....                          | 12,636      | 13,398      |
| 1206 Non-Federal assets: Assessments receivable for interest expense ..... | 886         | 888         |
| 1999 Total assets .....  | 13,522      | 14,286      |
| <b>LIABILITIES:</b>  |             |             |
| Non-Federal liabilities:   |             |             |
| 2202 Accrued interest payable on long-term obligations .....               | 886         | 888         |
| 2203 Debt .....  | 30,071      | 30,070      |
| 2999 Total liabilities .....   | 30,957      | 30,958      |
| <b>NET POSITION:</b>   |             |             |
| 3100 Nonvoting capital stock issued to FHLBanks .....                      | 2,513       | 2,513       |
| 3300 Cumulative results of operations .....                                | 10,282      | 11,045      |
| 3300 RTC nonredeemable capital certificates .....                          | -31,286     | -31,286     |
| 3300 Contributed capital - principal fund assessments .....                | 1,056       | 1,056       |
| 3999 Total net position .....  | -17,435     | -16,672     |
| 4999 Total liabilities and net position .....                              | 13,522      | 14,286      |

## BOARD OF GOVERNORS OF THE FEDERAL RESERVE SYSTEM

## Program and Financing (in millions of dollars)

| Identification code 39-4982-0-4-803                                | 2011 actual | 2012 est. | 2013 est. |
|--|-------------|-----------|-----------|
| <b>Obligations by program activity:</b>                            |             |           |           |
| 0801 Monetary and economic policy .....                            | 99          | 112       | 126       |
| 0802 Federal Reserve System policy direction .....                 | 37          | 33        | 36        |
| 0803 Supervisory, regulatory, and legal services .....             | 146         | 173       | 182       |
| 0804 Support and security services .....                           | 158         | 187       | 201       |
| 0805 Extraordinary items .....                                     |             | 1         | 16        |
| 0809 Reimbursable program activities, subtotal .....               | 440         | 506       | 561       |
| 0810 Office of Inspector General operating expenses .....          | 12          | 16        | 26        |
| 0900 Total new obligations .....                                   | 452         | 522       | 587       |
| <b>Budgetary Resources:</b>  |             |           |           |
| Financing authority:   |             |           |           |
| Spending authority from offsetting collections, mandatory:         |             |           |           |
| 1800 Collected .....   | 452         | 522       | 587       |
| 1850 Spending auth from offsetting collections, mand (total) ..... | 452         | 522       | 587       |
| 1930 Total budgetary resources available .....                     | 452         | 522       | 587       |
| <b>Change in obligated balance:</b>                                |             |           |           |
| Unpaid obligations:  |             |           |           |
| 3010 Obligations incurred, unexpired accounts .....                | 452         | 522       | 587       |
| 3020 Financing disbursements (gross) .....                         | -452        | -522      | -587      |
| <b>Financing authority and disbursements, net:</b>                 |             |           |           |
| Mandatory:   |             |           |           |
| 4090 Financing authority, gross .....                              | 452         | 522       | 587       |
| 4110 Financing disbursements, gross .....                          | 452         | 522       | 587       |
| Offsets against gross financing authority and disbursements:       |             |           |           |
| Offsetting collections (collected) from:                           |             |           |           |
| 4123 Non-Federal sources .....                                     | -452        | -522      | -587      |

The Federal Reserve System operates under the provisions of the Federal Reserve Act of 1913, as amended, and other acts of the Congress.

To carry out its responsibilities under this Act, the Board determines general monetary, credit, and operating policies for the System as a whole and formulates the rules and regulations ne-

cessary to carry out the purposes of the Federal Reserve Act. The Board's principal duties consist of exerting an influence over credit conditions and supervising the Federal Reserve banks and member banks.

Under the provisions of section 10 of the Federal Reserve Act, the Board of Governors levies upon the Federal Reserve banks, in proportion to their capital and surplus, an assessment sufficient to pay its estimated expenses. The Board, under this Act, determines and prescribes the manner in which its obligations are incurred and its expenses paid. Funds derived from assessments are deposited in the Federal Reserve Bank of Richmond, and this Act provides that such funds "not be construed to be Government funds or appropriated moneys." No Government appropriation is required to support operations of the Board.

The information presented pertains to Board operations only. Expenditures made on behalf of the Federal Reserve banks for production, issuance, retirement, and shipment of Federal Reserve notes are not included, since they are reimbursed in full by the Federal Reserve banks.

## Object Classification (in millions of dollars)

| Identification code 39-4982-0-4-803                             | 2011 actual | 2012 est. | 2013 est. |
|---|-------------|-----------|-----------|
| <b>Reimbursable obligations:</b>                                |             |           |           |
| Personnel compensation:   |             |           |           |
| 11.1 Full-time permanent .....                                  | 270         | 297       | 323       |
| 11.3 Other than full-time permanent .....                       | 3           | 3         | 3         |
| 11.5 Other personnel compensation .....                         | 2           | 2         | 2         |
| 11.9 Total personnel compensation .....                         | 275         | 302       | 328       |
| 12.1 Civilian personnel benefits .....                          | 52          | 61        | 65        |
| 13.0 Benefits for former personnel .....                        | 5           | 7         | 11        |
| 21.0 Travel and transportation of persons .....                 | 15          | 14        | 15        |
| 22.0 Transportation of things .....                             | 1           | 1         | 1         |
| 23.3 Communications, utilities, and miscellaneous charges ..... | 9           | 9         | 11        |
| 24.0 Printing and reproduction .....                            | 2           | 2         | 3         |
| 25.1 Advisory and assistance services .....                     | 41          | 61        | 80        |
| 25.2 Other services from non-Federal sources .....              | 21          | 22        | 26        |
| 26.0 Supplies and materials .....                               | 12          | 15        | 15        |
| 31.0 Equipment .....  | 19          | 28        | 32        |
| 99.9 Total new obligations .....                                | 452         | 522       | 587       |



## GOVERNMENT-SPONSORED ENTERPRISES

This chapter contains descriptions of the data on the Government-sponsored enterprises listed below. These enterprises were established and chartered by the Federal Government for public policy purposes. They are not included in the Federal Budget because they are private companies, and their securities are not backed by the full faith and credit of the Federal Government. However, because of their public purpose, detailed statements of financial condition are presented, to the extent such information is available, on a basis that is as consistent as practicable with the basis for the budget data of Government agencies.

—The Federal National Mortgage Association and the Federal Home Loan Mortgage Corporation provide assistance to the secondary market for residential mortgages.

—The Federal Home Loan Banks assist thrift institutions, banks, insurance companies, and credit unions in providing financing for housing and community development.

—Institutions of the Farm Credit System, which include the Agricultural Credit Bank and Farm Credit Banks, provide financial assistance to agriculture. They are regulated by the Farm Credit Administration.

—The Federal Agricultural Mortgage Corporation, also a Farm Credit System institution under the regulation of the Farm Credit Administration, provides a secondary market for agricultural real estate, rural housing loans, and certain rural utility loans, as well as for farm and business loans guaranteed by the U.S. Department of Agriculture.

### FEDERAL NATIONAL MORTGAGE ASSOCIATION

#### PORTFOLIO PROGRAMS

##### Status of Direct Loans (in millions of dollars)

| Identification code 39-4986-0-4-371             | 2012 actual | 2013 CR  | 2014 est. |
|---|-------------|----------|-----------|
| Cumulative balance of direct loans outstanding: |             |          |           |
| 1210 Outstanding, start of year                 | 722,158     | 654,269  | 552,500   |
| 1251 Repayments: Repayments and prepayments     | -67,889     | -101,769 | -82,875   |
| 1290 Outstanding, end of year                   | 654,269     | 552,500  | 469,625   |

The Federal National Mortgage Association (Fannie Mae) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Fannie Mae is a federally chartered, privately owned company with a public mission to provide stability in and to increase the liquidity of the residential mortgage market and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Fannie Mae engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Fannie Mae was established in 1938 to assist private markets in providing a steady supply of funds for housing. Fannie Mae was originally a subsidiary of the Reconstruction Finance Corporation and was permitted to purchase only loans insured by the Federal Housing Administration (FHA). In 1954, Fannie Mae was restructured as a mixed ownership (part government, part private) corporation. Legislation directed the sale of the Government's remaining interest in Fannie Mae in 1968 and completed the transformation to private shareholder ownership in 1970.

Stress in the mortgage markets has eliminated Fannie Mae's stockholder equity, and required ongoing assistance from Treasury under authority provided by the Congress in the Housing and Economic Recovery Act (HERA) of 2008. HERA strengthened

housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and provided temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. In September 2008, FHFA put Fannie Mae under Federal conservatorship and the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Fannie Mae to make investments of up to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012, less any surplus remaining as of December 31, 2012. As of December 31, 2012, Fannie Mae had received \$116.1 billion under the PSPA and made \$31.4 billion in dividend payments to Treasury. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current federal assistance being provided to Fannie Mae, including the PSPA, is shown on-budget. For additional discussion and analyses of Fannie Mae, please see the *Analytical Perspectives* volume of the Budget documents.

#### Balance Sheet (in millions of dollars)

| Identification code 39-4986-0-4-371  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets: Investments in US securities:  |             |             |
| 1102 Treasury securities, par  | 40,755      | 19,897      |
| 1201 Non-Federal assets: Investments in other securities, net  | 38,415      | 45,500      |
| Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable: |             |             |
| 1601 Mortgage Loans and Mortgage Related Securities  | 421,760     | 389,519     |
| 1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts                                  | 2,583,699   | 2,642,354   |
| 1604 Direct loans and interest receivable, net   | 3,005,459   | 3,031,873   |
| 1606 Acquired Property, net  | 12,195      | 10,278      |
| 1699 Value of assets related to direct loans   | 3,017,654   | 3,042,151   |
| 1801 Other Federal assets: Cash and other monetary assets  | 117,053     | 118,702     |
| 1999 Total assets  | 3,213,877   | 3,226,250   |
| <b>LIABILITIES:</b>  |             |             |
| Non-Federal liabilities:   |             |             |
| 2202 Interest payable  | 12,928      | 11,732      |
| 2203 Debt  | 744,803     | 652,971     |
| 2203 Debt - Consolidated Trusts  | 2,446,973   | 2,543,739   |
| 2207 Other   | 16,964      | 15,396      |
| 2999 Total liabilities   | 3,221,668   | 3,223,838   |
| <b>NET POSITION:</b>   |             |             |
| 3300 Senior Preferred Stock  | 104,787     | 117,149     |
| 3300 Private Equity  | -112,640    | -114,790    |
| 3300 Noncontrolling Interest   | 62          | 53          |
| 3999 Total net position  | -7,791      | 2,412       |
| 4999 Total liabilities and net position  | 3,213,877   | 3,226,250   |

#### MORTGAGE-BACKED SECURITIES

##### Status of Direct Loans (in millions of dollars)

| Identification code 39-4987-0-4-371             | 2012 actual | 2013 CR   | 2014 est. |
|---|-------------|-----------|-----------|
| Cumulative balance of direct loans outstanding: |             |           |           |
| 1210 Outstanding, start of year                 | 2,650,633   | 2,694,799 | 2,694,799 |
| 1231 Disbursements: Direct loan disbursements   | 820,509     |           |           |
| 1251 Repayments: Repayments and prepayments     | -776,343    |           |           |
| 1290 Outstanding, end of year                   | 2,694,799   | 2,694,799 | 2,694,799 |

## MORTGAGE-BACKED SECURITIES—Continued

Prior to January 1, 2010 the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Fannie Mae were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Fannie Mae, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards implemented on January 1, 2010 require consolidation of many, but not all, of these securities in Fannie Mae's financial statements. For the purposes of this document they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Fannie Mae as "Issuances" and "Liquidations" respectively.

## FEDERAL HOME LOAN MORTGAGE CORPORATION

### PORTFOLIO PROGRAMS

#### Status of Direct Loans (in millions of dollars)

| Identification code 39-4988-0-4-371             | 2012 actual | 2013 CR | 2014 est. |
|---|-------------|---------|-----------|
| Cumulative balance of direct loans outstanding: |             |         |           |
| 1210 Outstanding, start of year                 | 679,133     | 567,966 | 552,500   |
| 1251 Repayments: Repayments and prepayments     | -111,167    | -15,466 | -82,875   |
| 1290 Outstanding, end of year                   | 567,966     | 552,500 | 469,625   |

The Federal Home Loan Mortgage Corporation (Freddie Mac) is a Government-sponsored enterprise (GSE) in the housing finance market. As a housing GSE, Freddie Mac is a federally chartered, shareholder-owned, private company with a public mission to provide stability in and increase the liquidity of the residential mortgage market, and to help increase the availability of mortgage credit to low- and moderate-income families and in underserved areas. Freddie Mac engages primarily in two forms of business: guaranteeing residential mortgage securities and investing in portfolios of residential mortgages.

Freddie Mac was established in 1970 under the Emergency Home Finance Act. The Congress chartered Freddie Mac to provide mortgage lenders with an organized national secondary market enabling them to manage their conventional mortgage portfolio more effectively and gain indirect access to a ready source of additional funds to meet new demands for mortgages. Freddie Mac serves as a conduit facilitating the flow of investment dollars from the capital markets to mortgage lenders, and ultimately, to homebuyers.

Stress in the mortgage markets has eliminated Freddie Mac's stockholder equity, and required ongoing assistance from Treasury under authority provided by Congress in the Housing and Economic Recovery Act (HERA) of 2008. HERA strengthened housing GSE regulation by creating the Federal Housing Finance Agency (FHFA), a new independent regulator, and provided temporary authority for the U.S. Department of the Treasury to purchase obligations of the housing GSEs. In September 2008, FHFA put Freddie Mac under Federal conservatorship and the U.S. Department of the Treasury entered into a Senior Preferred Stock Purchase Agreement (PSPA) with Freddie Mac to make investments of up to \$100 billion in senior preferred stock as required to maintain positive equity. In May 2009, Treasury increased the funding commitments for the PSPA to \$200 billion and in December 2009, Treasury modified the funding commitments in the PSPA to the greater of \$200 billion or \$200 billion plus cumulative net worth deficits experienced during 2010–2012,

less any surplus remaining as of December 31, 2012. As of December 31, 2012, Freddie Mac had received \$71.3 billion under the PSPA and made \$23.8 billion in dividend payments to Treasury. The Budget continues to reflect the GSEs as non-budgetary entities, though their status will continue to be reviewed. All of the current federal assistance being provided to Freddie Mac, including the PSPA, is shown on-budget. For additional discussion and analyses of Freddie Mac, please see the *Analytical Perspectives* volume of the Budget documents.

#### Balance Sheet (in millions of dollars)

| Identification code 39-4988-0-4-371  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Federal assets: Investments in US securities:  |             |             |
| 1102 Treasury securities, par  | 18,159      | 21,554      |
| 1201 Non-Federal assets: Investments in other securities, net  | 13,305      | 47,660      |
| Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable: |             |             |
| 1601 Mortgage Loans and Mortgage Related Securities  | 456,671     | 399,450     |
| 1601 Mortgage Loans and Mortgage Related Securities - Consolidated Trusts                                  | 1,611,580   | 1,505,576   |
| 1604 Direct loans and interest receivable, net   | 2,068,251   | 1,905,026   |
| 1606 Acquired property, net  | 5,630       | 4,502       |
| 1699 Value of assets related to direct loans   | 2,073,881   | 1,909,528   |
| Other Federal assets:  |             |             |
| 1801 Cash and other monetary assets  | 63,082      | 36,210      |
| 1901 Other assets  | 3,909       | 1,551       |
| 1999 Total assets  | 2,172,336   | 2,016,503   |
| <b>LIABILITIES:</b>  |             |             |
| Non-Federal liabilities:   |             |             |
| 2202 Interest payable  | 8,603       | 7,528       |
| 2203 Debt  | 674,421     | 565,036     |
| 2203 Debt - Consolidated Trusts  | 1,488,036   | 1,432,632   |
| 2207 Other   | 7,267       | 6,400       |
| 2999 Total liabilities   | 2,178,327   | 2,011,596   |
| <b>NET POSITION:</b>   |             |             |
| 3300 Senior Preferred Stock  | 66,179      | 72,336      |
| 3300 Private Equity  | -72,170     | -67,429     |
| 3999 Total net position  | -5,991      | 4,907       |
| 4999 Total liabilities and net position  | 2,172,336   | 2,016,503   |

### MORTGAGE-BACKED SECURITIES

#### Status of Direct Loans (in millions of dollars)

| Identification code 39-4989-0-4-371             | 2012 actual | 2013 CR   | 2014 est. |
|---|-------------|-----------|-----------|
| Cumulative balance of direct loans outstanding: |             |           |           |
| 1210 Outstanding, start of year                 | 1,689,091   | 1,591,666 | 1,591,666 |
| 1231 Disbursements: Direct loan disbursements   | 413,062     |           |           |
| 1251 Repayments: Repayments and prepayments     | -510,487    |           |           |
| 1290 Outstanding, end of year                   | 1,591,666   | 1,591,666 | 1,591,666 |

Prior to January 1, 2010 the mortgages in the pools of loans supporting the mortgage-backed securities guaranteed by Freddie Mac were considered to be owned by the holders of these securities according to the accounting standards for private corporations. Consequently, on the books of Freddie Mac, these mortgages were not considered assets and the securities outstanding were not considered liabilities. New accounting standards implemented on January 1, 2010 require consolidation of many, but not all, of these securities in Freddie Mac's financial statements. For the purposes of this document, they are presented as direct loans for mortgage-backed securities. "Disbursements" and "Repayments" are budgetary terms. These items are reported by Freddie Mac as "Issuances" and "Liquidations" respectively.

## FEDERAL HOME LOAN BANK SYSTEM

### FEDERAL HOME LOAN BANKS

#### Status of Direct Loans (in millions of dollars)

| Identification code 39-4990-0-4-371                                    | 2012 actual | 2013 CR    | 2014 est.  |
|--|-------------|------------|------------|
| Position with respect to appropriations act limitation on obligations: |             |            |            |
| 1131 Direct loan obligations .....                                     | 2,654,585   | 2,654,585  | 2,654,585  |
| 1150 Total direct loan obligations .....                               | 2,654,585   | 2,654,585  | 2,654,585  |
| Cumulative balance of direct loans outstanding:                        |             |            |            |
| 1210 Outstanding, start of year .....                                  | 470,665     | 463,076    | 463,076    |
| 1231 Disbursements: Direct loan disbursements .....                    | 2,654,585   | 2,654,585  | 2,654,585  |
| 1251 Repayments: Repayments and prepayments .....                      | -2,659,771  | -2,654,585 | -2,654,585 |
| 1264 Write-offs for default: Other adjustments, net (+ or -) .....     | -2,403      |            |            |
| 1290 Outstanding, end of year .....                                    | 463,076     | 463,076    | 463,076    |

The Federal Home Loan Bank System is a Government-sponsored enterprise (GSE) in the housing finance market. The Federal Home Loan Banks were chartered by the Federal Home Loan Bank Board under the authority of the Federal Home Loan Bank Act of 1932 (Act). The 12 Federal Home Loan Banks (FHLBanks) are under the supervision of the Federal Housing Finance Agency (FHFA), established by the Congress in 2008. The common mission of FHLBanks is to facilitate the extension of credit through their members. To accomplish this mission, FHLBanks make loans, called "advances", and provide other credit products and services to their over 7,700 member commercial banks, savings associations, insurance companies, and credit unions. Advances and letters of credit must be fully secured by eligible collateral, and long-term advances may be made only for the purpose of providing funds for residential housing finance. However, "community financial institutions" may also use long-term advances to finance small businesses, small farms, and small agribusinesses. Additionally, specialized advance programs provide funds for community reinvestment and affordable housing programs. All regulated financial depositories, certified community development financial institutions, and insurance companies engaged in residential housing finance are eligible for membership. Each FHLBank operates in a geographic district and together FHLBanks cover all of the United States, as well as the District of Columbia, Puerto Rico, the Virgin Islands, Guam, American Samoa, and the Northern Mariana Islands. The principal source of funds for the lending operation is the sale of consolidated obligations to the public. The consolidated obligations are not guaranteed by the U.S. Government as to principal or interest. Other sources of lendable funds include members' deposits and capital. Funds not immediately needed for advances to members are invested. The capital stock of the Federal Home Loan Banks is owned entirely by the members. Initially the U.S. Government purchased stock of the banks in the amount of \$125 million. The banks had repurchased the Government's investment in full by mid-1951. The Act, as amended in 1989, requires each FHLBank to operate an Affordable Housing Program (AHP). Each FHLBank provides subsidies in the form of direct grants or below-market rate advances for members that use the funds for qualifying affordable housing projects. Each of the FHLBanks must set aside annually 10 percent of its previous year's net earnings, subject to an aggregate minimum of \$100 million, for the AHP. The Act, as amended in 1999, also required that FHLBanks contribute 20 percent of net earnings annually to assist in the payment of interest on bonds issued by the Resolution Funding Corporation until such time as the total payments are equivalent to a \$300 million annual annuity with a final maturity date of April 15, 2030. The FHLBs fulfilled this obligation on August 5, 2011. A rule issued on June 23, 2004 required each

FHLBank to register a class of its stock with the Securities and Exchange Commission. All of the Federal Home Loan Banks complied by 2006. For additional discussion and analyses of the FHLBanks, please see the *Analytical Perspectives* volume of the Budget.

#### Balance Sheet (in millions of dollars)

| Identification code 39-4990-0-4-371   | 2011 actual | 2012 actual |
|---|-------------|-------------|
| ASSETS:   |             |             |
| Federal assets: Investments in US securities:   |             |             |
| 1102 Treasury securities, par .....   | 1,452       | 2,169       |
| Non-Federal assets:   |             |             |
| 1201 Investments in other securities, net .....   | 289,022     | 275,025     |
| 1206 Accounts receivable .....  | 1,614       | 1,454       |
| 1401 Net value of assets related to direct loans receivable: Direct loans receivable, gross ..... | 470,548     | 462,939     |
| Other Federal assets:   |             |             |
| 1801 Cash and other monetary assets .....   | 14,251      | 4,040       |
| 1803 Property, plant and equipment, net .....   | 220         | 202         |
| 1901 Other assets .....   | 1,372       | 3,153       |
| 1999 Total assets .....   | 778,479     | 748,982     |
| LIABILITIES:  |             |             |
| 2101 Federal liabilities: REFCORP and Affordable Housing Program .....                            | 724         | 743         |
| Non-Federal liabilities:  |             |             |
| 2202 Interest payable .....   | 2,418       | 1,864       |
| 2203 Debt .....   | 702,798     | 679,710     |
| 2207 Deposit funds and other borrowing .....  | 17,481      | 12,579      |
| 2207 Other .....  | 14,815      | 12,566      |
| 2999 Total liabilities .....  | 738,236     | 707,462     |
| NET POSITION:   |             |             |
| 3100 Invested capital .....   | 40,243      | 41,520      |
| 4999 Total liabilities and net position .....   | 778,479     | 748,982     |

## FARM CREDIT SYSTEM

The Farm Credit System (System) is a Government-sponsored enterprise that provides privately financed credit to agricultural and rural communities. The major functional entities of the System are (1) the Agricultural Credit Bank (ACB); (2) the Farm Credit Banks (FCBs); and (3) the direct-lender associations. Farmer Mac, which is also an institution of the System, is discussed separately below. The history and specific functions of the bank entities are discussed after the presentation of financial schedules for each bank entity. As part of the System, these entities are regulated and examined by the Farm Credit Administration (FCA), an independent Federal agency. The administrative costs of FCA are financed by assessments of System institutions, including Farmer Mac. System banks finance loans primarily from sales of bonds to the public and their own capital funds. The System bonds issued by the banks are not guaranteed by the U.S. Government as to either principal or interest. The bonds are backed by an insurance fund, administered by the Farm Credit System Insurance Corporation (FCSIC), an independent Federal agency that collects insurance premiums from member banks to pay its administrative expenses and fund insurance reserves. All of the banks' current operating expenses are paid from their own income and do not require budgetary resources from the Federal Government.

### AGRICULTURAL CREDIT BANK

#### Status of Direct Loans (in millions of dollars)

| Identification code 39-4991-0-4-351                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |         |           |
| 1131 Direct loan obligations .....                                     | 317,273     | 323,593 | 330,065   |

**AGRICULTURAL CREDIT BANK—Continued**  
**Status of Direct Loans—Continued**

| Identification code 39-4991-0-4-351                 | 2012 actual | 2013 CR  | 2014 est. |
|---|-------------|----------|-----------|
| 1150 Total direct loan obligations .....            | 317,273     | 323,593  | 330,065   |
| Cumulative balance of direct loans outstanding:     |             |          |           |
| 1210 Outstanding, start of year .....               | 45,028      | 69,945   | 69,226    |
| 1231 Disbursements: Direct loan disbursements ..... | 317,248     | 323,593  | 330,065   |
| 1251 Repayments: Repayments and prepayments .....   | -292,319    | -324,250 | -328,220  |
| 1263 Write-offs for default: Direct loans .....     | -12         | -62      | -56       |
| 1290 Outstanding, end of year .....                 | 69,945      | 69,226   | 71,015    |

CoBank, ACB, which is headquartered outside Denver, Colorado, serves eligible cooperatives nationwide and provides funding to Agricultural Credit Associations (ACAs) and Federal Land Credit Associations (FLCAs) in its chartered district. CoBank, ACB, is the only Agricultural Credit Bank (ACB) in the Farm Credit System. The ACB operates under statutory authority that combines the authorities of a Farm Credit Bank (FCB) and a Bank for Cooperatives (BC). In exercising its FCB authority, CoBank's charter limits its lending to 27 ACAs and two FLCAs located in the northeast, central and western regions of the country. As an entity lending to cooperatives, CoBank is chartered to provide credit and related services nationwide to eligible cooperatives primarily engaged in farm supply, grain, marketing, and processing (including sugar, dairy, and ethanol). CoBank also makes loans to rural utilities, including telecommunications companies, and it provides international loans for the financing of agricultural exports.

**Statement of Changes in Net Worth**

(in thousands of dollars)

|  | 2011 act. | 2012 act. | 2013 est. | 2014 est. |
|--|-----------|-----------|-----------|-----------|
| Beginning balance of net worth .....           | 4,371,376 | 4,855,255 | 6,361,670 | 6,623,771 |
| Capital stock and participations issued .....  | 2,422     | 5,326     | 417,250   | 6,462     |
| Capital stock and participations retired ..... | 29,900    | 34,124    | 394,750   | 32,200    |
| Net income .....                               | 725,484   | 844,422   | 699,640   | 727,701   |
| Cash/Dividends/Patronage Distributions .....   | -293,420  | -358,491  | -395,378  | -406,012  |
| Other, net .....                               | 79,273    | 1,049,282 | -64,661   | -17,487   |
| Ending balance of net worth .....              | 4,855,255 | 6,361,670 | 6,623,771 | 6,902,235 |

**Financing Activities**

(in thousands of dollars)

|  | 2011 act.  | 2012 act.  | 2013 est.  | 2014 est.  |
|--|------------|------------|------------|------------|
| Beginning balance of outstanding system obligations .....  | 50,414,059 | 52,767,035 | 79,079,791 | 80,250,323 |
| Consolidated systemwide and other bank bonds issued .....  | 18,731,232 | 29,144,296 | 29,727,182 | 30,321,726 |
| Consolidated systemwide and other bank bonds retired ..... | 17,118,758 | 26,020,538 | 28,900,900 | 26,538,355 |
| Consolidated systemwide notes, net .....                   | 740,502    | -865,056   | 500,000    | 500,000    |
| Other (Net) .....  | 0          | 24,054,054 | -155,750   | -98,000    |
| Ending balance of outstanding system obligations .....     | 52,767,035 | 79,079,791 | 80,250,323 | 84,435,694 |

**Balance Sheet (in millions of dollars)**

| Identification code 39-4991-0-4-351  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| <b>ASSETS:</b>   |             |             |
| Non-Federal assets:  |             |             |
| 1201 Cash and investment securities .....  | 16,015      | 18,835      |
| 1206 Accrued interest receivable on loans .....  | 332         | 395         |
| Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable: |             |             |
| 1601 Direct loans, gross .....   | 45,028      | 69,945      |
| 1603 Allowance for estimated uncollectible loans and interest (-) .....                                    | -391        | -375        |
| 1699 Value of assets related to direct loans .....   | 44,637      | 69,570      |

|   |        |        |
|---|--------|--------|
| 1803 Other Federal assets: Property, plant and equipment, net ..... | 1,351  | 1,456  |
| 1999 Total assets .....   | 62,335 | 90,256 |
| <b>LIABILITIES:</b>   |        |        |
| 2104 Federal liabilities: Resources payable .....                   | 872    | 1,133  |
| Non-Federal liabilities:  |        |        |
| 2201 Consolidated systemwide and other bank bonds .....             | 52,767 | 79,080 |
| 2201 Notes payable and other interest-bearing liabilities .....     | 3,528  | 3,340  |
| 2202 Accrued interest payable .....                                 | 313    | 341    |
| 2999 Total liabilities .....  | 57,480 | 83,894 |
| <b>NET POSITION:</b>  |        |        |
| 3300 Cumulative results of operations .....                         | 4,855  | 6,362  |
| 4999 Total liabilities and net position .....                       | 62,335 | 90,256 |

**FARM CREDIT BANKS**

**Status of Direct Loans (in millions of dollars)**

| Identification code 39-4992-0-4-371                                    | 2012 actual | 2013 CR  | 2014 est. |
|--|-------------|----------|-----------|
| Position with respect to appropriations act limitation on obligations: |             |          |           |
| 1131 Direct loan obligations .....                                     | 210,249     | 192,466  | 203,241   |
| 1150 Total direct loan obligations .....                               | 210,249     | 192,466  | 203,241   |
| Cumulative balance of direct loans outstanding:                        |             |          |           |
| 1210 Outstanding, start of year .....                                  | 109,777     | 97,404   | 101,652   |
| 1231 Disbursements: Direct loan disbursements .....                    | 220,860     | 194,251  | 205,425   |
| 1251 Repayments: Repayments and prepayments .....                      | -233,160    | -189,910 | -199,477  |
| 1263 Write-offs for default: Direct loans .....                        | -73         | -93      | -81       |
| 1290 Outstanding, end of year .....                                    | 97,404      | 101,652  | 107,519   |

The Agricultural Credit Act of 1987 (1987 Act) required the Federal Land Banks (FLBs) and Federal Intermediate Credit Banks (FICBs) to merge into a Farm Credit Bank (FCB) in each of the 12 Farm Credit districts. FCBs operate under statutory authority that combines the prior authorities of an FLB and of an FICB. No merger occurred in the Jackson district in 1988 because the FLB of Jackson was in receivership. Pursuant to section 410(e) of the 1987 Act, as amended by the Farm Credit Banks Safety and Soundness Act of 1992, FICB of Jackson merged with FCB of Columbia on October 1, 1993. Mergers and consolidations of FCBs across district lines, which began in 1992, have continued to date. As a result of this restructuring activity, three FCBs, headquartered in the following cities, remain as of October 1, 2012: AgFirst Farm Credit Bank, Columbia, South Carolina; AgriBank, FCB, St. Paul, Minnesota; and FCB of Texas, Austin, Texas.

FCBs serve as discount banks and, as of October 1, 2012, provided funds to one Federal Land Credit Association (FLCA) and 52 Agricultural Credit Associations (ACAs). These direct-lender associations, in turn, primarily make short- and intermediate-term production loans and long-term real estate loans to eligible farmers and ranchers, farm-related businesses, and rural homeowners. FCBs can also lend to other financing institutions, including commercial banks, as authorized by the Farm Credit Act of 1971, as amended.

All the capital stock of FICBs, from their organization in 1923 to December 31, 1956, was held by the U.S. Government. The Farm Credit Act of 1956 provided a long-range plan for the eventual ownership of the FICBs by the production credit associations and the gradual retirement of the Government's investment in the banks. This retirement was accomplished in full on December 31, 1968. The last of the Government capital that had been invested in FLBs was repaid in 1947.

## Statement of Changes in Net Worth

(in thousands of dollars)

|  | 2011 act. | 2012 act.  | 2013 est. | 2014 est. |
|--|-----------|------------|-----------|-----------|
| Beginning balance of net worth .....           | 8,129,468 | 8,594,783  | 7,825,826 | 8,302,874 |
| Capital stock and participations issued .....  | 154,288   | 176,055    | 179,972   | 188,613   |
| Capital stock and participations retired ..... | 180,529   | 263,410    | 268,884   | 274,166   |
| Surplus Retired .....                          | -600      | -307       | 0         | 0         |
| Net income .....                               | 1,201,132 | 1,167,718  | 977,137   | 943,017   |
| Cash/Dividends/Patronage Distributions .....   | -710,466  | -640,319   | -427,938  | -404,562  |
| Other, net .....                               | 290       | -1,209,308 | 16,761    | 141,371   |
| Ending balance of net worth .....              | 8,594,783 | 7,825,826  | 8,302,874 | 8,897,147 |

## Financing Activities

(in thousands of dollars)

|  | 2011 act.   | 2012 act.   | 2013 est.   | 2014 est.   |
|--|-------------|-------------|-------------|-------------|
| Beginning balance of outstanding system obligations .....  | 126,924,149 | 129,243,811 | 112,291,707 | 118,173,338 |
| Consolidated systemwide and other bank bonds issued .....  | 330,460,324 | 607,228,549 | 224,109,096 | 236,006,530 |
| Consolidated systemwide and other bank bonds retired ..... | 328,912,956 | 622,551,322 | 218,227,465 | 229,562,264 |
| Consolidated systemwide notes, net .....                   | 772,294     | -1,629,331  | 0           | 0           |
| Other (Net) .....  | 0           | 0           | 0           | 0           |
| Ending balance of outstanding system obligations .....     | 129,243,811 | 112,291,707 | 118,173,338 | 124,617,604 |

## Balance Sheet (in millions of dollars)

| Identification code 39-4992-0-4-371  | 2011 actual | 2012 actual |
|--|-------------|-------------|
| ASSETS:  |             |             |
| Non-Federal assets:  |             |             |
| 1201 Cash and investment securities .....  | 29,355      | 23,990      |
| 1206 Accrued Interest Receivable .....   | 698         | 537         |
| Net value of assets related to direct loans receivable and acquired defaulted guaranteed loans receivable: |             |             |
| 1601 Direct loans, gross .....   | 109,778     | 97,404      |
| 1603 Allowance for estimated uncollectible loans and interest (-) .....                                    | -74         | -72         |
| 1699 Value of assets related to direct loans .....   | 109,704     | 97,332      |
| 1803 Other Federal assets: Property, plant and equipment, net .....  | 779         | 594         |
| 1999 Total assets .....  | 140,536     | 122,453     |
| LIABILITIES:   |             |             |
| 2104 Federal liabilities: Resources payable .....  | 506         | 321         |
| Non-Federal liabilities:   |             |             |
| 2201 Consolidated systemwide and other bank bonds .....  | 129,244     | 112,292     |
| 2201 Notes payable and other interest-bearing liabilities .....  | 1,735       | 1,587       |
| 2202 Accrued interest payable .....  | 456         | 312         |
| 2999 Total liabilities .....   | 131,941     | 114,512     |
| NET POSITION:  |             |             |
| 3300 Cumulative results of operations .....  | 8,595       | 7,941       |
| 4999 Total liabilities and net position .....  | 140,536     | 122,453     |

## FEDERAL AGRICULTURAL MORTGAGE CORPORATION

## Status of Guaranteed Loans (in millions of dollars)

| Identification code 39-4993-0-4-351                                    | 2012 actual | 2013 CR | 2014 est. |
|--|-------------|---------|-----------|
| Position with respect to appropriations act limitation on commitments: |             |         |           |
| 2131 Guaranteed loan commitments .....                                 | 2,453       | .....   | .....     |
| 2150 Total guaranteed loan commitments .....                           | 2,453       | .....   | .....     |
| Cumulative balance of guaranteed loans outstanding:                    |             |         |           |
| 2210 Outstanding, start of year .....                                  | 11,841      | 12,468  | 12,468    |
| 2231 Disbursements of new guaranteed loans .....                       | 2,453       | .....   | .....     |
| 2251 Repayments and prepayments .....                                  | -1,826      | .....   | .....     |
| 2290 Outstanding, end of year .....                                    | 12,468      | 12,468  | 12,468    |

|   |             |
|---|-------------|
| Memorandum:   |             |
| 2299 Guaranteed amount of guaranteed loans outstanding, end of year ..... | 1,599 ..... |

## FARMER MAC

Farmer Mac is authorized under the Farm Credit Act of 1971, as amended by the Agricultural Credit Act of 1987 (Act), to create a secondary market for agricultural real estate and rural home mortgages. The Farmer Mac title of the Act was amended by the 1990 farm bill to authorize Farmer Mac to purchase, pool, and securitize the guaranteed portions of farmer program, rural business, and community development loans guaranteed by the U.S. Department of Agriculture (USDA). The Farmer Mac title was amended in 1991 to clarify Farmer Mac's authority to issue debt obligations, provide for the establishment of minimum capital standards, establish the Office of Secondary Market Oversight at the Farm Credit Administration (FCA), and expand the Agency's rulemaking authority. The Farm Credit System Reform Act of 1996 (1996 Act) amended the Farmer Mac title to allow Farmer Mac to purchase loans directly from lenders and to issue and guarantee mortgage-backed securities without requiring that a minimum cash reserve or subordinated (first loss) interest be maintained by poolers as had been required under its original authority. The 1996 Act expanded FCA's regulatory authority to include provisions for establishing a conservatorship or receivership, if necessary, and provided for increased core capital requirements at Farmer Mac phased in over three years. Most recently, the 2008 Farm Bill, the Food, Conservation and Energy Act of 2008, amended the Farmer Mac title to authorize the financing of rural electric and telephone cooperatives.

Farmer Mac operates through several programs: "Farmer Mac I," which involves mortgage loans secured by first liens on agricultural real estate, rural utility cooperative real estate, or rural housing (qualified loans), and "Farmer Mac II," which involves the guaranteed portions of USDA-guaranteed loans. Farmer Mac operates by (1) purchasing, or committing to purchase, newly originated or existing qualified loans or guaranteed portions from lenders; (2) purchasing or guaranteeing "AgVantage" bonds backed by qualified loans or guaranteed portions from lenders; and (3) exchanging qualified loans or guaranteed portions for guaranteed securities. Loans purchased by Farmer Mac may be aggregated into pools that back Farmer Mac guaranteed securities, which are held by Farmer Mac or sold into the capital markets. Farmer Mac is intended to attract new capital for financing qualified loans and guaranteed portions of loans; foster increased long-term, fixed-rate lending; and provide greater liquidity to agricultural and rural lenders.

Farmer Mac is governed by a 15-member Board of Directors. Ten board members are elected by stockholders, including five by the Farm Credit System and five by commercial lenders. Five are appointed by the President, subject to Senate confirmation.

## FINANCING

Financial support and funding for Farmer Mac's operations come from several sources: sale of common and preferred stock, issuance of debt obligations, and net income. Under procedures specified in the Act, Farmer Mac may issue obligations to the U.S. Treasury in a cumulative amount not to exceed \$1.5 billion to fulfill its guarantee obligations.

As of September 30, 2012, Farmer Mac's core capital exceeded statutory requirements. Additionally, Farmer Mac's regulatory capital (core capital plus the allowance for loan losses) exceeded

## FEDERAL AGRICULTURAL MORTGAGE CORPORATION—Continued

the amount of required regulatory capital as determined by the risk-based capital rule.

## GUARANTEES

Farmer Mac provides a guarantee of timely payment of principal and interest on securities backed by qualified loans or pools of qualified loans. These securities are not guaranteed by the United States and are not "Government securities."

Farmer Mac is subject to reporting requirements under securities laws, and its guaranteed mortgage-backed securities are subject to registration with the Securities and Exchange Commission under the 1933 and 1934 Securities Acts.

## REGULATION

Farmer Mac is federally regulated by FCA, acting through its Office of Secondary Market Oversight (OSMO). FCA is responsible for the supervision of, examination of, and rulemaking for Farmer Mac.

## Balance Sheet (in millions of dollars)

| Identification code 39-4993-0-4-351                     |  | 2011 actual | 2012 actual |
|---|--|-------------|-------------|
| <b>ASSETS:</b>  |  |             |             |
| Non-Federal assets:                                     |  |             |             |
| 1201  | Investment in securities .....                             | 1,913       | 2,636       |
| 1206  | Receivables, net .....                                     | 79          | 128         |
| Net value of assets related to direct loans receivable: |  |             |             |
| 1401  | Direct loans receivable, gross .....                       | 8,534       | 8,798       |
| 1402  | Interest receivable .....                                  | 80          | 70          |
| 1499  | Net present value of assets related to direct loans .....  | 8,614       | 8,868       |
| 1801  | Other Federal assets: Cash and other monetary assets ..... | 825         | 870         |
| 1999  | Total assets .....   | 11,431      | 12,502      |
| <b>LIABILITIES:</b>                                     |  |             |             |
| Non-Federal liabilities:                                |  |             |             |
| 2201  | Accounts payable .....                                     | 195         | 184         |
| 2202  | Interest payable .....                                     | 49          | 35          |
| 2203  | Debt .....   | 10,606      | 11,640      |
| 2204  | Liabilities for loan guarantees .....                      | 34          | 43          |
| 2999  | Total liabilities .....                                    | 10,884      | 11,902      |
| <b>NET POSITION:</b>                                    |  |             |             |
| 3300  | Invested capital .....                                     | 547         | 600         |
| 4999  | Total liabilities and net position .....                   | 11,431      | 12,502      |

# INDEX

|  | Page            |   | Page |
|--|-----------------|---|------|
| <b>A</b>   |                 | <b>Agriculture, Department of—Continued</b>                   |      |
| Abandoned Mine Reclamation Fund.....                               | 625             | Administrative and Operating Expenses.....                    | 95   |
| Abatement, Control, and Compliance Direct Loan Financing           |                 | Agricultural Credit Insurance Fund Direct Loan Financing      |      |
| Account.....   | 1109            | Account.....  | 104  |
| Academic Competitiveness/SMART Grant Program.....                  | 346             | Agricultural Credit Insurance Fund Guaranteed Loan Financing  |      |
| Accelerating Achievement and Ensuring Equity.....                  | 321             | Account.....  | 105  |
| Access Board:  |                 | Agricultural Credit Insurance Fund Liquidating Account.....   | 106  |
| Architectural and Transportation Barriers Compliance               |                 | Agricultural Credit Insurance Fund Program Account.....       | 103  |
| Board.....   | 1199            | Agricultural Disaster Relief Fund.....                        | 120  |
| Salaries and Expenses.....   | 1199            | Agricultural Marketing Service.....                           | 90   |
| Acquisition Services Fund.....                                     | 1134            | Agricultural Research Service.....                            | 73   |
| Acquisition Workforce Training Fund.....                           | 1138            | Agriculture Buildings and Facilities and Rental Payments..... | 68   |
| Acquisition, Construction, and Improvements.....                   | 491, 510        | Alternative Agricultural Research and Commercialization       |      |
| Acquisitions, Construction, Improvements and Related Expenses..... | 497             | Corporation Revolving Fund.....                               | 154  |
| Administration for Children and Families.....                      | 457             | Animal and Plant Health Inspection Service.....               | 83   |
| Administration for Community Living.....                           | 467             | Biomass Research and Development.....                         | 78   |
| Administration of Foreign Affairs.....                             | 795             | Biorefinery Assistance Guaranteed Loan Financing Account..... | 154  |
| Administrative and Operating Expenses.....                         | 95              | Biorefinery Assistance Program Account.....                   | 153  |
| Administrative and Regional Operations.....                        | 526             | Buildings and Facilities (Agricultural Research Service)..... | 76   |
| Administrative Conference of the United States:                    |                 | Buildings and Facilities (Animal and Plant Health Inspection  |      |
| Salaries and Expenses.....   | 1199            | Service).....   | 85   |
| Administrative Costs, The Medicare Improvements for Patients and   |                 | Buildings and Facilities (National Institute of Food and      |      |
| Providers Act.....   | 1187            | Agriculture).....   | 81   |
| Administrative Expenses, Children's Health Insurance Program.....  | 1188            | Capital Improvement and Maintenance.....                      | 177  |
| Administrative Expenses, Energy Employees Occupational Illness     |                 | Child Nutrition Programs.....                                 | 174  |
| Compensation Fund.....   | 772             | Commodity Assistance Program.....                             | 176  |
| Administrative Expenses, Railroad Unemployment Insurance Extended  |                 | Commodity Credit Corporation Export Guarantee Financing       |      |
| Benefit Payments.....  | 1307            | Account.....  | 116  |
| Administrative Expenses, Recovery Act.....                         | 980, 1191, 1307 | Commodity Credit Corporation Export Loans Program             |      |
| Administrative Expenses.....                                       | 945             | Account.....  | 115  |
| Administrative Office of the United States Courts.....             | 55              | Commodity Credit Corporation Fund.....                        | 107  |
| Administrative Review and Appeals.....                             | 705             | Commodity Credit Corporation Guaranteed Loans Liquidating     |      |
| Administrative Services Franchise Fund.....                        | 907             | Account.....  | 117  |
| Administrative Support Offices.....                                | 590             | Common Computing Environment.....                             | 62   |
| Administrative Support.....  | 1127            | Conservation Operations.....                                  | 121  |
| Advance Appropriations.....  | 1333            | Debt Reduction—Financing Account.....                         | 171  |
| Advanced Research Projects Agency.....                             | 379             | Departmental Administration (Departmental Management).....    | 65   |
| Advanced Technology Vehicles Manufacturing Direct Loan Financing   |                 | Departmental Management.....                                  | 65   |
| Account.....   | 399             | Discrimination Claims Settlement.....                         | 101  |
| Advanced Technology Vehicles Manufacturing Loan Program            |                 | Distance Learning, Telemedicine, and Broadband Direct Loan    |      |
| Account.....   | 398             | Financing Account.....  | 163  |
| Advances to the Employment Security Administration Account of the  |                 | Distance Learning, Telemedicine, and Broadband Program.....   | 162  |
| Unemployment Trust Fund.....                                       | 763             | Economic Research Service.....                                | 71   |
| Advances to the Unemployment Trust Fund and Other Funds.....       | 761             | Emergency Boll Weevil Direct Loan Financing Account.....      | 119  |
| Advisory Council on Historic Preservation:                         |                 | Emergency Boll Weevil Loan Program Account.....               | 119  |
| Salaries and Expenses.....   | 1200            | Emergency Conservation Program.....                           | 102  |
| Aeronautics.....   | 1143            | Emergency Forest Restoration Program.....                     | 102  |
| Affordable Housing Program.....                                    | 1200            | Energy Assistance Payments.....                               | 142  |
| Affordable Insurance Exchange Grants.....                          | 447             | Executive Operations.....                                     | 62   |
| Afghanistan Infrastructure Fund.....                               | 264             | Expenses and Refunds, Inspection and Grading of Farm          |      |
| Afghanistan Security Forces Fund.....                              | 264             | Products.....   | 87   |
| African Development Foundation.....                                | 873             | Expenses and Refunds, Inspection and Grading of Farm          |      |
| Agency for Healthcare Research and Quality.....                    | 438             | Products.....   | 94   |
| Agency for International Development.....                          | 844             | Expenses, Public Law 480, Foreign Assistance Programs,        |      |
| Agency Operations and Award Management.....                        | 1156            | Agriculture Liquidating Account.....                          | 172  |
| Aging and Disability Services Programs.....                        | 467             | Extension Activities.....                                     | 81   |
| Agricultural Credit Bank.....                                      | 1339            | Farm Labor Program Account.....                               | 131  |
| Agricultural Credit Insurance Fund Direct Loan Financing           |                 | Farm Security and Rural Investment Programs.....              | 123  |
| Account.....   | 104             | Farm Service Agency.....                                      | 98   |
| Agricultural Credit Insurance Fund Guaranteed Loan Financing       |                 | Farm Storage Facility Direct Loan Financing Account.....      | 118  |
| Account.....   | 105             | Farm Storage Facility Loans Program Account.....              | 118  |
| Agricultural Credit Insurance Fund Liquidating Account.....        | 106             | Federal Crop Insurance Corporation Fund.....                  | 96   |
| Agricultural Credit Insurance Fund Program Account.....            | 103             | FLAME Wildfire Suppression Reserve Fund.....                  | 186  |
| Agricultural Disaster Relief Fund.....                             | 120             | Food and Nutrition Service.....                               | 172  |
| Agricultural Marketing Service.....                                | 90              | Food for Peace Title II Grants.....                           | 169  |
| Agricultural Research Service.....                                 | 73              | Food Safety and Inspection Service.....                       | 86   |
| Agriculture Buildings and Facilities and Rental Payments.....      | 68              | Foreign Agricultural Service.....                             | 165  |
| Agriculture, Department of:  |                 | Forest and Rangeland Research.....                            | 178  |

|  | Page |   | Page |
|--|------|---|------|
| Agriculture, Department of—Continued                               |      | Agriculture, Department of—Continued                            |      |
| Forest Service Permanent Appropriations.....                       | 189  | Rural Cooperative Development Grants.....                       | 143  |
| Forest Service Trust Funds.....                                    | 193  | Rural Development Insurance Fund Liquidating Account.....       | 164  |
| Forest Service.....  | 177  | Rural Development Loan Fund Direct Loan Financing               |      |
| Forestry Incentives Program.....                                   | 128  | Account.....  | 148  |
| Funds for Strengthening Markets, Income, and Supply (section       |      | Rural Development Loan Fund Liquidating Account.....            | 149  |
| 32).....   | 93   | Rural Development Loan Fund Program Account.....                | 148  |
| General Fund Receipt Accounts.....                                 | 195  | Rural Development.....  | 129  |
| Gifts and Bequests.....  | 62   | Rural Economic Development Direct Loan Financing Account.....   | 150  |
| Grain Inspection, Packers and Stockyards Administration.....       | 88   | Rural Economic Development Grants.....                          | 143  |
| Grassroots Source Water Protection Program.....                    | 102  | Rural Economic Development Loans Program Account.....           | 149  |
| Great Plains Conservation Program.....                             | 128  | Rural Electrification and Telecommunications Direct Loan        |      |
| Hazardous Materials Management.....                                | 67   | Financing Account.....  | 159  |
| Healthy Forests Reserve Program.....                               | 128  | Rural Electrification and Telecommunications Guaranteed Loans   |      |
| High Energy Cost Grants.....                                       | 155  | Financing Account.....  | 160  |
| Integrated Activities.....   | 77   | Rural Electrification and Telecommunications Liquidating        |      |
| Land Acquisition.....  | 188  | Account.....  | 160  |
| Limitation on Inspection and Weighing Services Expenses.....       | 89   | Rural Electrification and Telecommunications Loans Program      |      |
| Management of National Forest Lands for Subsistence Uses.....      | 183  | Account.....  | 157  |
| Marketing Services.....  | 90   | Rural Energy for America Guaranteed Loan Financing              |      |
| McGovern-Dole International Food for Education and Child           |      | Account.....  | 152  |
| Nutrition Program.....   | 168  | Rural Energy for America Program.....                           | 151  |
| Milk Market Orders Assessment Fund.....                            | 95   | Rural Housing Assistance Grants.....                            | 130  |
| Miscellaneous Contributed Funds.....                               | 76   | Rural Housing Insurance Fund Direct Loan Financing              |      |
| Miscellaneous Contributed Funds.....                               | 129  | Account.....  | 139  |
| Miscellaneous Trust Funds.....                                     | 85   | Rural Housing Insurance Fund Guaranteed Loan Financing          |      |
| Multifamily Housing Revitalization Direct Loan Financing           |      | Account.....  | 140  |
| Account.....   | 133  | Rural Housing Insurance Fund Liquidating Account.....           | 141  |
| Multifamily Housing Revitalization Program Account.....            | 132  | Rural Housing Insurance Fund Program Account.....               | 137  |
| Mutual and Self-help Housing Grants.....                           | 134  | Rural Housing Service.....                                      | 130  |
| National Agricultural Statistics Service.....                      | 72   | Rural Microenterprise Investment Direct Loan Financing          |      |
| National Appeals Division.....                                     | 64   | Account.....  | 144  |
| National Forest System.....  | 179  | Rural Microenterprise Investment Program Account.....           | 144  |
| National Institute of Food and Agriculture.....                    | 77   | Rural Telephone Bank Direct Loan Financing Account.....         | 162  |
| Natural Resources Conservation Service.....                        | 121  | Rural Telephone Bank Program Account.....                       | 161  |
| Nutrition Programs Administration.....                             | 172  | Rural Utilities Service.....                                    | 155  |
| Office of Chief Economist.....                                     | 63   | Rural Water and Waste Disposal Direct Loans Financing           |      |
| Office of Civil Rights.....  | 65   | Account.....  | 156  |
| Office of Communications.....                                      | 69   | Rural Water and Waste Disposal Program Account.....             | 155  |
| Office of Inspector General (Office of Inspector General).....     | 69   | Rural Water and Waste Water Disposal Guaranteed Loans           |      |
| Office of Inspector General.....                                   | 69   | Financing Account.....  | 157  |
| Office of the Chief Economist.....                                 | 63   | Salaries and Expenses (Agricultural Research Service).....      | 73   |
| Office of the General Counsel.....                                 | 70   | Salaries and Expenses (Animal and Plant Health Inspection       |      |
| Office of the Secretary.....                                       | 61   | Service).....   | 83   |
| P.L. 480 Direct Credit Financing Account.....                      | 170  | Salaries and Expenses (Farm Service Agency).....                | 98   |
| Payments to States and Possessions.....                            | 91   | Salaries and Expenses (Food Safety and Inspection Service)..... | 86   |
| Perishable Agricultural Commodities Act Fund.....                  | 92   | Salaries and Expenses (Foreign Agricultural Service).....       | 165  |
| Public Law 480 Title I Direct Credit and Food for Progress Program |      | Salaries and Expenses (Grain Inspection, Packers and Stockyards |      |
| Account.....   | 170  | Administration).....  | 88   |
| Public Law 480 Title I Ocean Freight Differential Grants.....      | 168  | Salaries and Expenses (Rural Development).....                  | 129  |
| Range Betterment Fund.....   | 186  | Special Supplemental Nutrition Program for Women, Infants, and  |      |
| Reforestation Pilot Program.....                                   | 101  | Children (WIC).....   | 175  |
| Rental Assistance Program.....                                     | 131  | State and Private Forestry.....                                 | 181  |
| Research and Education Activities.....                             | 79   | State Mediation Grants.....                                     | 100  |
| Resource Conservation and Development.....                         | 128  | Stewardship Contracting Product Sales.....                      | 187  |
| Risk Management Agency.....  | 95   | Supplemental Nutrition Assistance Program.....                  | 173  |
| Rural Business and Cooperative Grants.....                         | 142  | Title VII—General Provisions.....                               | 195  |
| Rural Business and Industry Direct Loans Financing Account.....    | 146  | Tobacco Trust Fund.....   | 121  |
| Rural Business and Industry Guaranteed Loans Financing             |      | Trade Adjustment Assistance for Farmers.....                    | 167  |
| Account.....   | 147  | USDA Supplemental Assistance.....                               | 101  |
| Rural Business Investment Program Account.....                     | 151  | Water Bank Program.....   | 129  |
| Rural Business Investment Program Guarantee Financing              |      | Watershed and Flood Prevention Operations.....                  | 126  |
| Account.....   | 151  | Watershed Rehabilitation Program.....                           | 127  |
| Rural Business Program Account.....                                | 145  | Wildland Fire Management.....                                   | 184  |
| Rural Business—Cooperative Service.....                            | 142  | Working Capital Fund (Executive Operations).....                | 62   |
| Rural Communication Development Fund Liquidating                   |      | Working Capital Fund (Forest Service).....                      | 192  |
| Account.....   | 165  | Air and Marine Interdiction, Operations, Maintenance, and       |      |
| Rural Community Advancement Program.....                           | 130  | Procurement.....  | 504  |
| Rural Community Facilities Program Account.....                    | 134  | Aircraft Procurement, Air Force.....                            | 278  |
| Rural Community Facility Direct Loans Financing Account.....       | 135  | Aircraft Procurement, Army.....                                 | 269  |
| Rural Community Facility Guaranteed Loans Financing                |      | Aircraft Procurement, Navy.....                                 | 273  |
| Account.....   | 136  | Airport and Airway Trust Fund.....                              | 908  |



|   | Page      |   | Page      |
|---|-----------|---|-----------|
| Alcohol and Tobacco Tax and Trade Bureau.....                       | 1006      | Buildings and Facilities:   |           |
| Allied Contributions and Cooperation Account.....                   | 266       | Agricultural Research Service, Department of Agriculture.....         | 76        |
| Allowances and Office Staff for Former Presidents.....              | 1138      | Animal and Plant Health Inspection Service, Department of             |           |
| Allowances.....   | 311       | Agriculture.....  | 85        |
| Alteration of Bridges.....  | 511       | Environmental Protection Agency, Environmental Protection             |           |
| Alternative Agricultural Research and Commercialization Corporation |           | Agency.....   | 1103      |
| Revolving Fund.....   | 154       | Federal Prison System, Department of Justice.....                     | 730       |
| Alternative Fuels Production.....                                   | 394       | National Institute of Food and Agriculture, Department of             |           |
| Amendments to and Revisions in Budget Authority.....                | 1331      | Agriculture.....  | 81        |
| America Fast Forward Bonds.....                                     | 1015      | Buildings Maintenance Fund.....                                       | 310       |
| American Battle Monuments Commission.....                           | 1090      | Bureau of Consumer Financial Protection:                              |           |
| American Jobs Act and Community College to Career Fund.....         | 759       | Bureau of Consumer Financial Protection Fund.....                     | 1205      |
| American Jobs Act.....  | 328       | Consumer Financial Civil Penalty Fund.....                            | 1206      |
| American Printing House for the Blind.....                          | 335       | Bureau of:  |           |
| American Sections, International Commissions.....                   | 813       | Alcohol, Tobacco, Firearms, and Explosives.....                       | 727       |
| Analysis and Operations.....  | 486       | Census.....   | 204       |
| Andean Counterdrug Programs.....                                    | 820       | Consumer Financial Protection Fund.....                               | 1205      |
| Animal and Plant Health Inspection Service.....                     | 83        | Consumer Financial Protection.....                                    | 1205      |
| Appalachian Development Highway System (Transportation Trust        |           | Engraving and Printing Fund.....                                      | 1007      |
| Fund).....  | 923       | Engraving and Printing.....   | 1007      |
| Appalachian Development Highway System.....                         | 914       | Indian Affairs and Indian Education.....                              | 665       |
| Appalachian Regional Commission:                                    |           | Industry and Security.....  | 210       |
| Miscellaneous Trust Funds.....                                      | 1201      | Labor Statistics.....   | 782       |
| Aquatic Resources Trust Fund.....                                   | 515       | Land Management.....  | 607       |
| Architect of the Capitol.....                                       | 18        | Ocean Energy Management.....  | 620       |
| Armed Forces Retirement Home.....                                   | 1092      | Reclamation Direct Loan Financing Account.....                        | 636       |
| Arms Initiative Guaranteed Loan Financing Account.....              | 284       | Reclamation Loan Liquidating Account.....                             | 636       |
| Assessment Funds.....   | 1026      | Reclamation Loan Program Account.....                                 | 636       |
| Assessments and Evaluation.....                                     | 536       | Reclamation.....  | 627       |
| Asset Proceeds and Space Management Fund.....                       | 1209      | Safety and Environmental Enforcement.....                             | 622       |
| Assets Forfeiture Fund.....   | 718       | Business Direct Loan Financing Account.....                           | 1179      |
| Assistance for Eastern Europe and the Baltic States.....            | 848       | Business Guaranteed Loan Financing Account.....                       | 1180      |
| Assistance for Europe, Eurasia and Central Asia.....                | 847       | Business Loan Fund Liquidating Account.....                           | 1181      |
| Assistance for the Independent States of the Former Soviet          |           | Business Loans Program Account.....                                   | 1177      |
| Union.....  | 848       | Business Systems Modernization.....                                   | 1014      |
| Assistance to American Samoa Direct Loan Financing Account.....     | 685       | Buying Power Maintenance.....   | 805, 1204 |
| Assistance to Small Shipyards.....                                  | 962       |   |           |
| Assistance to Territories.....                                      | 684       |   |           |
| Automation Modernization, Customs and Border Protection.....        | 503       |   |           |
| Automation Modernization, Immigration and Customs                   |           |   |           |
| Enforcement.....  | 499       |   |           |
| Aviation Consumer Call Center.....                                  | 896       |   |           |
| Aviation Insurance Revolving Fund.....                              | 906       |   |           |
| Aviation Security.....  | 491       |   |           |
| Aviation User Fees.....   | 906       |   |           |
| Awards and Settlements Funds.....                                   | 17        |   |           |
|   |           |   |           |
| <b>B</b>  |           | <b>C</b>  |           |
| Barry Goldwater Scholarship and Excellence in Education             |           | California Bay-Delta Restoration.....                                 | 629       |
| Foundation.....   | 1202      | Canteen Service Revolving Fund.....                                   | 1042      |
| Benefits Programs.....  | 1043      | Capacity Building.....  | 559       |
| Biodefense Countermeasures.....                                     | 521       | Capital and Debt Service Grants to the National Railroad Passenger    |           |
| Biomass Energy Development.....                                     | 1001      | Corporation.....  | 936       |
| Biomass Research and Development.....                               | 78        | Capital Assistance for High Speed Rail Corridors and Intercity        |           |
| Biorefinery Assistance Guaranteed Loan Financing Account.....       | 154       | Passenger Rail Service.....   | 938       |
| Biorefinery Assistance Program Account.....                         | 153       | Capital Construction, Dwight D. Eisenhower                            |           |
| Black Lung Disability Trust Fund.....                               | 774       | Memorial Commission.....  | 42        |
| Board of Governors of the Federal Reserve System.....               | 1336      | Capital Improvement and Maintenance.....                              | 177       |
| Boat Safety.....  | 515       | Capital Investment Fund of the United States Agency for International |           |
| Bonneville Power Administration Fund.....                           | 410       | Development.....  | 852       |
| Border Security Fencing, Infrastructure, and Technology.....        | 502       | Capital Investment Fund.....  | 799       |
| Botanic Garden.....   | 25        | Capital Investment Grants.....  | 948       |
| Broadband Technology Opportunities Program, Recovery Act.....       | 228, 1246 | Capital Magnet Fund, Community Development Financial                  |           |
| Broadcasting Board of Governors:                                    |           | Institutions.....   | 994       |
| Broadcasting Capital Improvements.....                              | 1204      | Capital Repair and Restoration, JFK Center for the Performing         |           |
| Buying Power Maintenance.....                                       | 1204      | Arts.....   | 1321      |
| Foreign Service National Separation Liability Trust Fund.....       | 1204      | Capitol Building.....   | 19        |
| General Fund Receipt Accounts.....                                  | 1205      | Capitol Grounds.....  | 19        |
| General Provisions.....   | 1205      | Capitol Police Buildings and Grounds.....                             | 22        |
| International Broadcasting Operations.....                          | 1202      | Capitol Police.....   | 15        |
| Broadcasting Capital Improvements.....                              | 1204      | Capitol Power Plant.....  | 21        |
| Brownfields Redevelopment.....                                      | 558       | Capitol Visitor Center Revolving Fund.....                            | 24        |
| Build America Bond Payments, Recovery Act.....                      | 1015      | Capitol Visitor Center.....   | 23        |
|   |           | Care of the Building and Grounds.....                                 | 47        |
|   |           | Career, Technical and Adult Education.....                            | 336       |
|   |           | CDC-Wide Activities and Program Support.....                          | 430       |
|   |           | Cemeterial Expenses.....  | 1093      |
|   |           | Center for Medicare and Medicaid Innovation.....                      | 444       |
|   |           | Center for Middle Eastern-Western Dialogue Trust Fund.....            | 825       |
|   |           | Centers for Disease Control and Prevention.....                       | 430       |
|   |           | Centers for Medicare and Medicaid Services.....                       | 439       |
|   |           | Central America and Caribbean Emergency Disaster Recovery             |           |
|   |           | Fund.....   | 828       |

|  | Page     |  | Page     |
|--|----------|--|----------|
| Central American Reconciliation Assistance.....                      | 882      | Commerce, Department of—Continued                                      |          |
| Central Hazardous Materials Fund.....                                | 691      | Federal Ship Financing Fund Fishing Vessels Liquidating                |          |
| Central Intelligence Agency Retirement and Disability System         |          | Account.....   | 220      |
| Fund.....  | 1207     | Fisheries Enforcement Asset Forfeiture Fund.....                       | 216      |
| Central Intelligence Agency:   |          | Fisheries Finance Direct Loan Financing Account.....                   | 219      |
| Central Intelligence Agency Retirement and Disability System         |          | Fisheries Finance Guaranteed Loan Financing Account.....               | 220      |
| Fund.....  | 1207     | Fisheries Finance Program Account.....                                 | 219      |
| Central Liquidity Facility.....                                      | 1280     | Fishermen's Contingency Fund.....                                      | 217      |
| Central Utah Project Completion Account.....                         | 637      | Franchise Fund.....  | 201      |
| Central Utah Project.....  | 637      | General Fund Receipt Accounts.....                                     | 230      |
| Central Valley Project Restoration Fund.....                         | 631      | General Provisions—Department of Commerce.....                         | 230      |
| Cerro Grande Fire Activities.....                                    | 373      | Gifts and Bequests.....  | 201      |
| Check Forgery Insurance Fund.....                                    | 1002     | Grants to Manufacturers of Worsted Wool Fabrics.....                   | 210      |
| Chemical Agents and Munitions Destruction, Defense.....              | 283      | HCHB Renovation and Modernization.....                                 | 200      |
| Chemical Demilitarization Construction, Defense-wide.....            | 294      | Industrial Technology Services.....                                    | 223      |
| Chemical Safety and Hazard Investigation Board.....                  | 1207     | Information Infrastructure Grants.....                                 | 228      |
| Cheyenne River Sioux Tribal Recovery Trust Fund.....                 | 1002     | International Trade Administration.....                                | 208      |
| Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat Restoration  |          | Limited Access System Administration Fund.....                         | 214      |
| Trust Fund.....  | 1003     | Medicare-Eligible Retiree Health Fund Contribution, NOAA.....          | 215      |
| Child Care Entitlement to States.....                                | 461      | Minority Business Development Agency.....                              | 211      |
| Child Enrollment Contingency Fund.....                               | 445      | Minority Business Development.....                                     | 211      |
| Child Nutrition Programs.....  | 174      | National Institute of Standards and Technology.....                    | 223      |
| Child Survival and Health Programs.....                              | 846      | National Network for Manufacturing Innovation.....                     | 226      |
| Children and Families Services Programs.....                         | 463      | National Oceanic and Atmospheric Administration.....                   | 211      |
| Children's Health Insurance Fund.....                                | 444      | National Technical Information Service.....                            | 222      |
| Children's Research and Technical Assistance.....                    | 465      | National Telecommunications and Information                            |          |
| Choice Neighborhoods.....  | 549      | Administration.....  | 226      |
| Christopher Columbus Fellowship Foundation:                          |          | Network Construction Fund.....   | 229      |
| Payment to the Christopher Columbus Fellowship                       |          | NTIS Revolving Fund.....   | 222      |
| Foundation.....  | 1208     | Office of the Inspector General (Departmental Management).....         | 199      |
| Citizenship and Immigration Services.....                            | 488      | Operations and Administration.....                                     | 208, 210 |
| Civil Service Retirement and Disability Fund.....                    | 1168     | Operations, Research, and Facilities.....                              | 211      |
| Civilian Property Realignment Board:                                 |          | Pacific Coastal Salmon Recovery.....                                   | 214      |
| Asset Proceeds and Space Management Fund.....                        | 1209     | Periodic Censuses and Programs.....                                    | 205      |
| General Fund Receipt Accounts.....                                   | 1210     | Procurement, Acquisition and Construction.....                         | 213      |
| Salaries and Expenses.....   | 1209     | Promote and Develop Fishery Products and Research Pertaining           |          |
| Claims, Judgments, and Relief Acts.....                              | 1000     | to American Fisheries.....   | 216      |
| Clean Coal Technology.....   | 393      | Public Safety Trust Fund.....  | 230      |
| Clean Technology Fund.....   | 835      | Public Telecommunications Facilities, Planning and                     |          |
| Coast Guard Housing Fund.....  | 513      | Construction.....  | 227      |
| Coastal Defense Augmentation.....                                    | 277      | Salaries and Expenses (Bureau of the Census).....                      | 204      |
| Coastal Impact Assistance.....                                       | 622, 653 | Salaries and Expenses (Departmental Management).....                   | 199      |
| Coastal Wetlands Restoration Trust Fund.....                         | 1084     | Salaries and Expenses (Economic Development                            |          |
| Coastal Zone Management Fund.....                                    | 217      | Administration).....   | 202      |
| College Housing and Academic Facilities Loans Financing              |          | Salaries and Expenses (Economics and Statistics                        |          |
| Account.....   | 341      | Administration).....   | 207      |
| College Housing and Academic Facilities Loans Liquidating            |          | Salaries and Expenses (National Telecommunications and                 |          |
| Account.....   | 342      | Information Administration).....                                       | 226      |
| College Housing and Academic Facilities Loans Program Account.....   | 340      | Salaries and Expenses (U.S. Patent and Trademark Office).....          | 221      |
| Colorado River Basins Power Marketing Fund, Western Area Power       |          | Sanctuaries Enforcement Asset Forfeiture Fund.....                     | 215      |
| Administration.....  | 409      | Scientific and Technical Research and Services.....                    | 223      |
| Colorado River Dam Fund, Boulder Canyon Project.....                 | 632      | State and Local Implementation Fund.....                               | 229      |
| Commerce, Department of:   |          | U.S. Patent and Trademark Office.....                                  | 221      |
| Broadband Technology Opportunities Program, Recovery Act.....        | 228      | Wireless Innovation (WIN) Fund.....                                    | 226      |
| Bureau of Industry and Security.....                                 | 210      | Working Capital Fund (Departmental Management).....                    | 201      |
| Bureau of the Census.....  | 204      | Working Capital Fund (National Institute of Standards and              |          |
| Census Working Capital Fund.....                                     | 207      | Technology).....   | 225      |
| Coastal Zone Management Fund.....                                    | 217      | Working Capital Fund (National Oceanic and Atmospheric                 |          |
| Construction of Research Facilities (National Institute of Standards |          | Administration).....   | 218      |
| and Technology).....   | 224      | Commissary Funds, Federal Prisons (trust Revolving Fund).....          | 732      |
| Damage Assessment and Restoration Revolving Fund.....                | 218      | Commission of Fine Arts:   |          |
| Departmental Management.....   | 199      | National Capital Arts and Cultural Affairs.....                        | 1210     |
| Digital Television Transition and Public Safety Fund.....            | 228      | Salaries and Expenses.....   | 1210     |
| Economic Development Administration.....                             | 202      | Commission on Civil Rights:  |          |
| Economic Development Assistance Programs Financing                   |          | Salaries and Expenses.....   | 1210     |
| Account.....   | 204      | Commission on International Religious Freedom.....                     | 40       |
| Economic Development Assistance Programs.....                        | 203      | Committee for Purchase From People Who Are Blind Or Severely Disabled: |          |
| Economic Development Revolving Fund Liquidating Account.....         | 204      | Committee for Purchase from People who are Blind or Severely           |          |
| Economics and Statistics Administration.....                         | 207      | Disabled, activities.....  | 1211     |
| Emergency Steel, Oil, and Gas Guaranteed Loan Program                |          | Salaries and Expenses.....   | 1211     |
| Account.....   | 201      | Commodity Assistance Program.....                                      | 176      |
| Environmental Improvement and Restoration Fund.....                  | 217      |  |          |

|  | Page       |  | Page     |
|--|------------|--|----------|
| Commodity Credit Corporation Export Guarantee Financing Account.....                     | 116        | Contingency Fund.....  | 458      |
| Commodity Credit Corporation Export Loans Program Account.....                           | 115        | Continued Dumping and Subsidy Offset.....  | 1001     |
| Commodity Credit Corporation Fund.....   | 107        | Continuing Fund, Southeastern Power Administration.....                            | 404      |
| Commodity Credit Corporation Guaranteed Loans Liquidating Account.....                   | 117        | Continuing Fund, Southwestern Power Administration.....                            | 406      |
| Commodity Futures Trading Commission:  |            | Contract Support Costs.....  | 428, 667 |
| Customer Protection Fund.....  | 1213       | Contributed Funds.....   | 641, 655 |
| Common Computing Environment.....  | 62         | Contribution for Annuity Benefits, United States Secret Service.....               | 490      |
| Community Development Credit Union Revolving Loan Fund.....                              | 1281       | Contribution to Enterprise for the Americas Multilateral Investment Fund.....      | 841      |
| Community Development Financial Institutions Fund Direct Loan Financing Account.....     | 983        | Contribution to Multilateral Investment Guarantee Agency.....                      | 838      |
| Community Development Financial Institutions Fund Program Account.....                   | 981        | Contribution to the African Development Bank.....                                  | 840      |
| Community Development Fund.....  | 556        | Contribution to the Asian Development Bank.....                                    | 839      |
| Community Development Loan Guarantees Financing Account.....                             | 564        | Contribution to the European Bank for Reconstruction and Development.....          | 841      |
| Community Development Loan Guarantees Liquidating Account.....                           | 565        | Contribution to the Inter-American Development Bank.....                           | 838      |
| Community Development Loan Guarantees Program Account.....                               | 563        | Contribution to the International Bank for Reconstruction and Development.....     | 836      |
| Community Oriented Policing Services.....  | 739        | Contribution to the International Development Association.....                     | 837      |
| Community Oriented Policing Stabilization Fund.....                                      | 746        | Contributions for International Peacekeeping Activities.....                       | 811      |
| Community Planning and Development Personnel Compensation and Benefits.....              | 591        | Contributions for Renewable Energy Impact Assessments and Mitigation, Defense..... | 289      |
| Community Planning and Development.....  | 555        | Contributions to International Organizations.....                                  | 810      |
| Community Service Employment for Older Americans.....                                    | 754        | Contributions to the International Fund for Agricultural Development.....          | 841      |
| Compact of Free Association.....   | 682        | Contributions.....   | 1091     |
| Compensation and Pensions.....   | 1043       | Cooperative Acquisitions Program Revolving Fund.....                               | 30       |
| Compensation for Air Carriers.....   | 900        | Cooperative Endangered Species Conservation Fund.....                              | 650      |
| Compensation for General Aviation Operations.....  | 900        | Cooperative Threat Reduction Account.....  | 263      |
| Complex Crises Fund.....   | 818        | Corporation for National and Community Service:                                    |          |
| Comptroller of the Currency.....   | 1026       | Gifts and Contributions.....   | 1218     |
| Concurrent Receipt Accrual Payments to the Military Retirement Fund.....                 | 247        | Inspector General.....   | 1217     |
| Conflict Stabilization Operations.....   | 798        | National and Community Service Programs, Operating Expenses.....                   | 1216     |
| Congressional Budget Office.....   | 17         | Operating Expenses.....  | 1215     |
| Congressional Printing and Binding.....  | 33         | Payment to National Service Trust Fund.....  | 1216     |
| Conservation Operations.....   | 121        | Salaries and Expenses.....   | 1217     |
| Construction:  |            | VISTA Advance Payments Revolving Fund.....   | 1218     |
| Bureau of Alcohol, Tobacco, Firearms, and Explosives, Department of Justice.....         | 729        | Corporation for Public Broadcasting.....   | 1219     |
| Bureau of Indian Affairs and Bureau of Indian Education, Department of the Interior..... | 667        | Corporation for Travel Promotion:  |          |
| Bureau of Land Management, Department of the Interior.....                               | 609        | Travel Promotion Fund.....   | 1220     |
| Corps of Engineers—Civil Works, Corps of Engineers—Civil Works.....                      | 1073       | Corps of Engineers—Civil Works:  |          |
| Customs and Border Protection, Department of Homeland Security.....                      | 503        | Administrative Provisions.....   | 1085     |
| Departmental Administration, Department of Veterans Affairs.....                         | 1060, 1061 | Coastal Wetlands Restoration Trust Fund.....                                       | 1084     |
| Drug Enforcement Administration, Department of Justice.....                              | 726        | Construction.....  | 1073     |
| Federal Bureau of Investigation, Department of Justice.....                              | 724        | Expenses.....  | 1080     |
| Immigration and Customs Enforcement, Department of Homeland Security.....                | 500        | Flood Control and Coastal Emergencies.....   | 1076     |
| International Commissions, Department of State.....                                      | 812        | Formerly Utilized Sites Remedial Action Program.....                               | 1079     |
| Legal Activities and U.S. Marshals, Department of Justice.....                           | 714        | General Fund Receipt Accounts.....   | 1085     |
| National Aeronautics and Space Administration.....                                       | 1148       | General Provisions—Corps of Engineers—Civil.....                                   | 1085     |
| National Institute of Standards and Technology, Department of Commerce.....              | 224        | Harbor Maintenance Trust Fund.....   | 1082     |
| National Park Service, Department of the Interior.....                                   | 659, 664   | Inland Waterways Trust Fund.....   | 1083     |
| Power Marketing Administration, Department of Energy.....                                | 406        | Investigations.....  | 1077     |
| United States Fish and Wildlife Service, Department of the Interior.....                 | 643        | Mississippi River and Tributaries.....   | 1076     |
| Consumer Assistance to Recycle and Save Program.....                                     | 929        | Office of the Assistant Secretary of the Army for Civil Works.....                 | 1073     |
| Consumer Financial Civil Penalty Fund.....   | 1206       | Operation and Maintenance.....   | 1074     |
| Consumer Operated and Oriented Plan Financing Account.....                               | 449        | Permanent Appropriations.....  | 1081     |
| Consumer Operated and Oriented Plan Program Account.....                                 | 449        | Regulatory Program.....  | 1078     |
| Consumer Operated and Oriented Plan Program Contingency Fund.....                        | 450        | Revolving Fund.....  | 1081     |
| Consumer Operated and Oriented Plan Program Contingency Fund.....                        | 448        | Rivers and Harbors Contributed Funds.....  | 1083     |
| Consumer Product Safety Commission:  |            | South Dakota Terrestrial Wildlife Habitat Restoration Trust Fund.....              | 1085     |
| Salaries and Expenses.....   | 1214       | Special Recreation User Fee.....   | 1076     |
|  |            | Washington Aqueduct.....   | 1081     |
|  |            | Council of Economic Advisers.....  | 1119     |
|  |            | Council of the Inspectors General on Integrity and Efficiency:                     |          |
|  |            | Inspectors General Council Fund.....   | 1220     |
|  |            | Council on Environmental Quality and Office of Environmental Quality.....          | 1120     |
|  |            | Counterdrug Technology Assessment Center.....                                      | 1252     |
|  |            | Counterterrorism Fund.....   | 975      |
|  |            | Court of Appeals for Veterans Claims Retirement Fund.....                          | 1327     |
|  |            | Court Security.....  | 52       |

|   | Page      |   | Page     |
|---|-----------|---|----------|
| Court Services and Offender Supervision Agency for the District of Columbia:                        |           | Credit—Continued  |          |
| Federal Payment to Court Services and Offender Supervision Agency for the District of Columbia..... | 1221      | Rural Business and Industry Direct Loans Financing Account.....                                       | 146      |
| Public Defender Service for the District of Columbia.....   | 1222      | Rural Community Facility Direct Loans Financing Account.....  | 135      |
| Courts of Appeals, District Courts, and other Judicial Services.....                                | 49        | Rural Development Loan Fund Direct Loan Financing Account.....  | 148      |
| Covered Countermeasure Process Fund.....  | 422       | Rural Economic Development Direct Loan Financing Account.....   | 150      |
| Credit Union Homeowners Affordability Relief Program.....   | 1281      | Rural Electrification and Telecommunications Direct Loan Financing Account.....                       | 159      |
| Credit Union Share Insurance Fund.....  | 1278      | Rural Housing Insurance Fund Direct Loan Financing Account.....                                       | 139      |
| Credit Union System Investment Program.....   | 1281      | Rural Microenterprise Investment Direct Loan Financing Account.....                                   | 144      |
| Credit:   |           | Rural Telephone Bank Direct Loan Financing Account.....   | 162      |
| Direct loans:   |           | Rural Water and Waste Disposal Direct Loans Financing Account.....                                    | 156      |
| Abatement, Control, and Compliance Direct Loan Financing Account.....                               | 1109      | Small Business Lending Fund Financing Account.....  | 990      |
| Advanced Technology Vehicles Manufacturing Direct Loan Financing Account.....                       | 399       | Spectrum Auction Direct Loan Financing Account.....   | 1245     |
| Agricultural Credit Insurance Fund Direct Loan Financing Account.....                               | 104       | State HFA Direct Loan Financing Account.....  | 993      |
| Assistance to American Samoa Direct Loan Financing Account.....                                     | 685       | Student Loan Acquisition Account.....   | 358      |
| Bureau of Reclamation Direct Loan Financing Account.....  | 636       | TEACH Grant Financing Account.....  | 347      |
| Business Direct Loan Financing Account.....   | 1179      | Temporary Student Loan Purchase Authority Conduit Financing Account.....                              | 359      |
| College Housing and Academic Facilities Loans Financing Account.....                                | 341       | Temporary Student Loan Purchase Authority Financing Account.....                                      | 357      |
| Community Development Financial Institutions Fund Direct Loan Financing Account.....                | 983       | TIFIA General Fund Direct Loan Financing Account, Federal Highway Administration, Transportation..... | 918      |
| Consumer Operated and Oriented Plan Financing Account.....  | 449       | Tiger TIFIA Direct Loan Financing Account, Recovery Act.....  | 918      |
| Consumer Operated and Oriented Plan Program Contingency Fund Financing Account.....                 | 450       | Title 17 Innovative Technology Direct Loan Financing Account.....                                     | 401      |
| Debt Reduction Financing Account.....   | 855, 1238 | Transitional Housing Direct Loan Financing Account.....   | 1057     |
| Debt Reduction—Financing Account.....   | 171       | Transportation Infrastructure Finance and Innovation Program Direct Loan Financing Account.....       | 915      |
| Development Credit Authority Direct Loan Financing Account.....                                     | 863       | Transportation Infrastructure Finance and Innovation Program Line of Credit Financing Account.....    | 916      |
| Disaster Assistance Direct Loan Financing Account.....  | 533       | Troubled Asset Relief Program Direct Loan Financing Account.....                                      | 985      |
| Disaster Direct Loan Financing Account.....   | 1183      | Troubled Asset Relief Program Equity Purchase Financing Account.....                                  | 987      |
| Distance Learning, Telemedicine, and Broadband Direct Loan Financing Account.....                   | 163       | United States IMF Quota, Direct Loan Financing Account.....   | 876      |
| Emergency Boll Weevil Direct Loan Financing Account.....  | 119       | Vocational Rehabilitation Direct Loan Financing Account.....  | 1057     |
| Emergency Homeowners' Relief Financing Account.....   | 568       | Guaranteed loans:   |          |
| Export-Import Bank Direct Loan Financing Account.....   | 1239      | Agricultural Credit Insurance Fund Guaranteed Loan Financing Account.....                             | 105      |
| Family Housing Improvement Direct Loan Financing Account.....                                       | 302       | Arms Initiative Guaranteed Loan Financing Account.....  | 284      |
| Farm Storage Facility Direct Loan Financing Account.....  | 118       | Biorefinery Assistance Guaranteed Loan Financing Account.....   | 154      |
| Federal Direct Student Loan Program Financing Account.....  | 353       | Business Guaranteed Loan Financing Account.....   | 1180     |
| Federal Perkins Loan Financing Account.....   | 361       | Commodity Credit Corporation Export Guarantee Financing Account.....                                  | 116      |
| FHA-general and Special Risk Direct Loan Financing Account.....                                     | 581       | Community Development Loan Guarantees Financing Account.....  | 564      |
| FHA-mutual Mortgage Insurance Direct Loan Financing Account.....                                    | 575       | Development Credit Authority Guaranteed Loan Financing Account.....                                   | 862      |
| Fisheries Finance Direct Loan Financing Account.....  | 219       | Disaster Loans Guaranteed Loan Financing Account.....   | 1184     |
| Foreign Military Financing Direct Loan Financing Account.....                                       | 833       | Economic Development Assistance Programs Financing Account.....                                       | 204      |
| Green Retrofit Program for Multifamily Housing Financing Account.....                               | 571       | Export-Import Bank Guaranteed Loan Financing Account.....   | 1240     |
| GSE Mortgage-Backed Securities Purchase Direct Loan Financing Account.....                          | 993       | Family Housing Improvement Guaranteed Loan Financing Account.....                                     | 303      |
| Historically Black College and University Capital Financing Direct Loan Financing Account.....      | 342       | Federal Family Education Loan Program Financing Account.....  | 355      |
| Housing Direct Loan Financing Account.....  | 1052      | FHA-general and Special Risk Guaranteed Loan Financing Account.....                                   | 580      |
| Indian Direct Loan Financing Account.....   | 672       | FHA-loan Guarantee Recovery Fund Financing Account.....   | 581      |
| Loans to IMF Direct Loan Financing Account.....   | 879       | FHA-mutual Mortgage Insurance Guaranteed Loan Financing Account.....                                  | 576      |
| Military Debt Reduction Financing Account.....  | 834       | Fisheries Finance Guaranteed Loan Financing Account.....  | 220      |
| Multifamily Housing Revitalization Direct Loan Financing Account.....                               | 133       | Guarantees of Mortgage-backed Securities Financing Account.....                                       | 585      |
| National Infrastructure Bank Direct Loan Financing Account.....                                     | 1285      | Health Center Guaranteed Loan Financing Account.....  | 423      |
| Native American Direct Loan Financing Account.....  | 1056      | Health Education Assistance Loans Financing Account.....  | 361, 424 |
| Overseas Private Investment Corporation Direct Loan Financing Account.....                          | 867       |   |          |
| P.L. 480 Direct Credit Financing Account.....   | 170       |   |          |
| Railroad Rehabilitation and Improvement Direct Loan Financing Account.....                          | 941       |   |          |
| Repatriation Loans Financing Account.....   | 808       |   |          |

|   | Page |   | Page     |
|---|------|---|----------|
| Credit—Continued  |      | Credit—Continued  |          |
| Home Ownership Preservation Entity Fund Financing                 |      | FHA-mutual Mortgage and Cooperative Housing Insurance Funds |          |
| Account.....  | 573  | Liquidating Account.....                                    | 578      |
| Housing Guaranteed Loan Financing Account.....                    | 1053 | Foreign Military Loan Liquidating Account.....              | 834      |
| Indian Guaranteed Loan Financing Account.....                     | 674  | Guarantees of Mortgage-backed Securities Liquidating        |          |
| Indian Housing Loan Guarantee Fund Financing Account.....         | 553  | Account.....  | 586      |
| Loan Guarantees to Egypt Financing Account.....                   | 857  | Health Education Assistance Loans Liquidating Account.....  | 362, 425 |
| Loan Guarantees to Israel Financing Account.....                  | 856  | Housing and Other Credit Guaranty Programs Liquidating      |          |
| Maritime Guaranteed Loan (title XI) Financing Account.....        | 967  | Account.....  | 860      |
| Microenterprise and Small Enterprise Development Guaranteed       |      | Housing for the Elderly or Handicapped Fund Liquidating     |          |
| Loan Financing Account.....                                       | 861  | Account.....  | 582      |
| Minority Business Resource Center Guaranteed Loan Financing       |      | Housing Liquidating Account.....                            | 1054     |
| Account.....  | 903  | Indian Loan Guaranty and Insurance Fund Liquidating         |          |
| National Infrastructure Bank Loan Guarantee Financing             |      | Account.....  | 674      |
| Account.....  | 1285 | Medical Facilities Guarantee and Loan Fund.....             | 425      |
| Native Hawaiian Housing Loan Guarantee Fund Financing             |      | Overseas Private Investment Corporation Liquidating         |          |
| Account.....  | 554  | Account.....  | 869      |
| Overseas Private Investment Corporation Guaranteed Loan           |      | Pollution Control Equipment Fund Liquidating Account.....   | 1185     |
| Financing Account.....  | 868  | Revolving Fund (liquidating Programs).....                  | 563      |
| Presidio Trust Guaranteed Loan Financing Account.....             | 1305 | Revolving Fund for Loans Liquidating Account.....           | 672      |
| RRIF Guaranteed Loan Financing Account.....                       | 941  | Rural Communication Development Fund Liquidating            |          |
| Rural Business and Industry Guaranteed Loans Financing            |      | Account.....  | 165      |
| Account.....  | 147  | Rural Development Insurance Fund Liquidating Account.....   | 164      |
| Rural Business Investment Program Guarantee Financing             |      | Rural Development Loan Fund Liquidating Account.....        | 149      |
| Account.....  | 151  | Rural Electrification and Telecommunications Liquidating    |          |
| Rural Community Facility Guaranteed Loans Financing               |      | Account.....  | 160      |
| Account.....  | 136  | Rural Housing Insurance Fund Liquidating Account.....       | 141      |
| Rural Electrification and Telecommunications Guaranteed Loans     |      | Program accounts:   |          |
| Financing Account.....  | 160  | Advanced Technology Vehicles Manufacturing Loan Program     |          |
| Rural Energy for America Guaranteed Loan Financing                |      | Account.....  | 398      |
| Account.....  | 152  | Agricultural Credit Insurance Fund Program Account.....     | 103      |
| Rural Housing Insurance Fund Guaranteed Loan Financing            |      | Assistance to Territories.....                              | 684      |
| Account.....  | 140  | Biorefinery Assistance Program Account.....                 | 153      |
| Rural Water and Waste Water Disposal Guaranteed Loans             |      | Bureau of Reclamation Loan Program Account.....             | 636      |
| Financing Account.....  | 157  | Business Loans Program Account.....                         | 1177     |
| Title 17 Innovative Technology Guaranteed Loan Financing          |      | College Housing and Academic Facilities Loans Program       |          |
| Account.....  | 403  | Account.....  | 340      |
| Title VI Indian Federal Guarantees Financing Account.....         | 555  | Commodity Credit Corporation Export Loans Program           |          |
| Transportation Infrastructure Finance and Innovation Program      |      | Account.....  | 115      |
| Loan Guarantee Financing Account.....                             | 916  | Community Development Financial Institutions Fund Program   |          |
| Troubled Asset Relief Program, Housing Programs, Letter of Credit |      | Account.....  | 981      |
| Financing Account.....  | 988  | Community Development Loan Guarantees Program               |          |
| Troubled Assets Insurance Financing Fund Guaranteed Loan          |      | Account.....  | 563      |
| Financing Account.....  | 986  | Consumer Operated and Oriented Plan Program Account.....    | 449      |
| Tunisia Loan Guarantee Financing Account.....                     | 858  | Consumer Operated and Oriented Plan Program Contingency     |          |
| Urban and Environmental Credit Guaranteed Loan Financing          |      | Fund.....   | 448      |
| Account.....  | 859  | Debt Restructuring.....                                     | 844      |
| Liquidating accounts:   |      | Department of Defense Family Housing Improvement Fund.....  | 301      |
| Agricultural Credit Insurance Fund Liquidating Account.....       | 106  | Development Credit Authority Program Account.....           | 861      |
| Alternative Fuels Production.....                                 | 394  | Disaster Assistance Direct Loan Program Account.....        | 532      |
| Biomass Energy Development.....                                   | 1001 | Disaster Loans Program Account.....                         | 1182     |
| Bureau of Reclamation Loan Liquidating Account.....               | 636  | Distance Learning, Telemedicine, and Broadband Program..... | 162      |
| Business Loan Fund Liquidating Account.....                       | 1181 | Economic Development Assistance Programs.....               | 203      |
| College Housing and Academic Facilities Loans Liquidating         |      | Emergency Boll Weevil Loan Program Account.....             | 119      |
| Account.....  | 342  | Emergency Homeowners' Relief Fund.....                      | 568      |
| Commodity Credit Corporation Guaranteed Loans Liquidating         |      | Emergency Steel, Oil, and Gas Guaranteed Loan Program       |          |
| Account.....  | 117  | Account.....  | 201      |
| Community Development Loan Guarantees Liquidating                 |      | Export-Import Bank Loans Program Account.....               | 1237     |
| Account.....  | 565  | Farm Labor Program Account.....                             | 131      |
| Disaster Loan Fund Liquidating Account.....                       | 1185 | Farm Storage Facility Loans Program Account.....            | 118      |
| Economic Assistance Loans Liquidating Account.....                | 863  | Federal Direct Student Loan Program Account.....            | 349      |
| Economic Development Revolving Fund Liquidating                   |      | Federal Family Education Loan Program Account.....          | 355      |
| Account.....  | 204  | Federal Perkins Loan Program Account.....                   | 360      |
| Expenses, Public Law 480, Foreign Assistance Programs,            |      | Federal-aid Highways.....                                   | 920      |
| Agriculture Liquidating Account.....                              | 172  | FHA-general and Special Risk Program Account.....           | 579      |
| Export-Import Bank of the United States Liquidating               |      | FHA-mutual Mortgage Insurance Capital Reserve Account.....  | 577      |
| Account.....  | 1240 | FHA-mutual Mortgage Insurance Program Account.....          | 574      |
| Federal Family Education Loan Liquidating Account.....            | 359  | Fisheries Finance Program Account.....                      | 219      |
| Federal Ship Financing Fund Fishing Vessels Liquidating           |      | Foreign Military Financing Loan Program Account.....        | 833      |
| Account.....  | 220  | Green Retrofit Program for Multifamily Housing, Recovery    |          |
| FHA-general and Special Risk Insurance Funds Liquidating          |      | Act.....  | 571      |
| Account.....  | 581  |   |          |

|  | Page      |  | Page |
|--|-----------|--|------|
| Credit—Continued   |           | Defense Environmental Cleanup.....                             | 373  |
| GSE Mortgage-Backed Securities Purchase Program              |           | Defense Health Program.....                                    | 259  |
| Account.....   | 992       | Defense Nuclear Facilities Safety Board:                       |      |
| Guarantees of Mortgage-backed Securities Loan Guarantee      |           | Salaries and Expenses.....                                     | 1223 |
| Program Account.....   | 584       | Defense Nuclear Nonproliferation.....                          | 371  |
| Health Education Assistance Loans Program Account.....       | 361, 424  | Defense Nuclear Waste Disposal.....                            | 377  |
| Health Resources and Services.....                           | 419       | Defense Production Act Purchases.....                          | 282  |
| Highway Infrastructure Investment, Recovery Act.....         | 914       | Defense—Military Programs, Department of:                      |      |
| Home Ownership Preservation Equity Fund Program              |           | Administrative Provisions.....                                 | 314  |
| Account.....   | 573       | Afghanistan Infrastructure Fund.....                           | 264  |
| Indian Guaranteed Loan Program Account.....                  | 673       | Afghanistan Security Forces Fund.....                          | 264  |
| Indian Housing Loan Guarantee Fund Program Account.....      | 552       | Aircraft Procurement, Air Force.....                           | 278  |
| Loan Guarantees to Egypt Program Account.....                | 857       | Aircraft Procurement, Army.....                                | 269  |
| Loan Guarantees to Israel Program Account.....               | 856       | Aircraft Procurement, Navy.....                                | 273  |
| Loans to the IMF Direct Loan Program Account.....            | 879       | Allied Contributions and Cooperation Account.....              | 266  |
| Maritime Guaranteed Loan (title XI) Program Account.....     | 966       | Allowances.....  | 311  |
| Microenterprise and Small Enterprise Development Program     |           | Arms Initiative Guaranteed Loan Financing Account.....         | 284  |
| Account.....   | 860       | Buildings Maintenance Fund.....                                | 310  |
| Minority Business Resource Center Program.....               | 902       | Chemical Agents and Munitions Destruction, Defense.....        | 283  |
| Multifamily Housing Revitalization Program Account.....      | 132       | Chemical Demilitarization Construction, Defense-wide.....      | 294  |
| National Infrastructure Bank Program Account.....            | 1284      | Coastal Defense Augmentation.....                              | 277  |
| Native American Housing Block Grant.....                     | 550       | Concurrent Receipt Accrual Payments to the Military Retirement |      |
| Native American Veteran Housing Loan Program Account.....    | 1055      | Fund.....  | 247  |
| Native Hawaiian Housing Loan Guarantee Fund Program          |           | Contributions for Renewable Energy Impact Assessments and      |      |
| Account.....   | 554       | Mitigation, Defense.....                                       | 289  |
| Overseas Private Investment Corporation Program Account..... | 866       | Cooperative Threat Reduction Account.....                      | 263  |
| Presidio Trust.....  | 1305      | Defense Health Program.....                                    | 259  |
| Procurement of Ammunition, Army.....                         | 271       | Defense Production Act Purchases.....                          | 282  |
| Public Law 480 Title I Direct Credit and Food for Progress   |           | Department of Defense Acquisition Workforce Development        |      |
| Program Account.....   | 170       | Fund.....  | 265  |
| Railroad Rehabilitation and Improvement Program.....         | 940       | Department of Defense Base Closure Account 1990.....           | 295  |
| Repatriation Loans Program Account.....                      | 807       | Department of Defense Base Closure Account 2005.....           | 296  |
| Rural Business Investment Program Account.....               | 151       | Department of Defense Base Closure Account.....                | 295  |
| Rural Business Program Account.....                          | 145       | Department of Defense Closed Accounts.....                     | 311  |
| Rural Community Facilities Program Account.....              | 134       | Department of Defense Family Housing Improvement Fund.....     | 301  |
| Rural Development Loan Fund Program Account.....             | 148       | Department of Defense Vietnam War Commemoration Fund.....      | 268  |
| Rural Economic Development Loans Program Account.....        | 149       | Disposal of Department of Defense Real Property.....           | 267  |
| Rural Electrification and Telecommunications Loans Program   |           | Drug Interdiction and Counter-Drug Activities.....             | 258  |
| Account.....   | 157       | Emergency Response Fund.....                                   | 266  |
| Rural Energy for America Program.....                        | 151       | Emergency Response.....  | 266  |
| Rural Housing Insurance Fund Program Account.....            | 137       | Environmental Restoration, Formerly Used Defense Sites.....    | 262  |
| Rural Microenterprise Investment Program Account.....        | 144       | Family Housing Construction, Air Force.....                    | 299  |
| Rural Telephone Bank Program Account.....                    | 161       | Family Housing Construction, Army.....                         | 297  |
| Rural Water and Waste Disposal Program Account.....          | 155       | Family Housing Construction, Navy and Marine Corps.....        | 298  |
| Small Business Lending Fund Program Account.....             | 990       | Family Housing Improvement Direct Loan Financing Account.....  | 302  |
| Spectrum Auction Program Account.....                        | 1245      | Family Housing Improvement Guaranteed Loan Financing           |      |
| Teacher Education Assistance.....                            | 346       | Account.....   | 303  |
| TIFIA General Fund Program Account, Federal Highway          |           | Family Housing Operation and Maintenance, Air Force.....       | 299  |
| Administration, Transportation.....                          | 917       | Family Housing Operation and Maintenance, Army.....            | 297  |
| Title 17 Innovative Technology Loan Guarantee Program.....   | 400       | Family Housing Operation and Maintenance, Defense-Wide.....    | 300  |
| Troubled Asset Relief Program Account.....                   | 984       | Family Housing Operation and Maintenance, Navy and Marine      |      |
| Troubled Asset Relief Program Equity Purchase Program.....   | 986       | Corps.....   | 298  |
| Troubled Asset Relief Program, Housing Programs.....         | 988       | Family Housing.....  | 296  |
| Tunisia Loan Guarantee Program Account.....                  | 858       | Foreign Currency Fluctuations, Construction.....               | 296  |
| United States Quota IMF Direct Loan Program Account.....     | 875       | Foreign Currency Fluctuations.....                             | 259  |
| Urban and Environmental Credit Program Account.....          | 859       | Foreign National Employees Separation Pay.....                 | 313  |
| Veterans Housing Benefit Program Fund.....                   | 1051      | General Fund Receipt Accounts.....                             | 314  |
| Crime Victims Compensation Fund.....                         | 1227      | Homeowners Assistance Fund.....                                | 301  |
| Crime Victims Fund.....                                      | 745       | Host Nation Support Fund for Relocation.....                   | 312  |
| Cross Agency Support.....                                    | 1147      | International Reconstruction and Other Assistance.....         | 268  |
| Current Passenger Rail Service.....                          | 942       | Iraq Relief and Reconstruction Fund, Army.....                 | 269  |
| Customer Protection Fund.....                                | 1213      | Iraq Security Forces Fund.....                                 | 264  |
| Customs and Border Protection.....                           | 500       | Joint Improvised Explosive Device Defeat Fund.....             | 273  |
| Cyber Security Initiatives.....                              | 898       | Joint Urgent Operational Needs Fund.....                       | 283  |
|  |           | Lease of Department of Defense Real Property.....              | 267  |
| <b>D</b>   |           | Medicare-Eligible Retiree Health Fund Contribution, Air        |      |
| Damage Assessment and Restoration Revolving Fund.....        | 218       | Force.....   | 239  |
| Data-Driven Innovation.....                                  | 1125      | Medicare-Eligible Retiree Health Fund Contribution, Army.....  | 235  |
| Debt Reduction Financing Account.....                        | 855, 1238 | Medicare-Eligible Retiree Health Fund Contribution, Marine     |      |
| Debt Reduction—Financing Account.....                        | 171       | Corps.....   | 237  |
| Debt Restructuring.....                                      | 844       | Medicare-Eligible Retiree Health Fund Contribution, National   |      |
| Defender Services in District of Columbia Courts.....        | 1226      | Guard Personnel, Air Force.....                                | 246  |
| Defender Services.....                                       | 51        |  |      |

|  | Page |   | Page                   |
|--|------|---|------------------------|
| Defense—Military Programs, Department of—Continued               |      | Defense—Military Programs, Department of—Continued              |                        |
| Medicare-Eligible Retiree Health Fund Contribution, National     |      | Procurement.....  | 269                    |
| Guard Personnel, Army.....                                       | 245  | Research, Development, Test and Evaluation, Air Force.....      | 287                    |
| Medicare-Eligible Retiree Health Fund Contribution, Navy.....    | 236  | Research, Development, Test and Evaluation, Army.....           | 285                    |
| Medicare-Eligible Retiree Health Fund Contribution, Reserve      |      | Research, Development, Test and Evaluation, Defense-wide.....   | 287                    |
| Personnel, Air Force.....  | 244  | Research, Development, Test and Evaluation, Navy.....           | 286                    |
| Medicare-Eligible Retiree Health Fund Contribution, Reserve      |      | Research, Development, Test, and Evaluation.....                | 285                    |
| Personnel, Army.....   | 240  | Reserve Personnel, Air Force.....                               | 243                    |
| Medicare-Eligible Retiree Health Fund Contribution, Reserve      |      | Reserve Personnel, Army.....                                    | 239                    |
| Personnel, Marine Corps.....                                     | 242  | Reserve Personnel, Marine Corps.....                            | 242                    |
| Medicare-Eligible Retiree Health Fund Contribution, Reserve      |      | Reserve Personnel, Navy.....                                    | 241                    |
| Personnel, Navy.....   | 241  | Revolving and Management Funds.....                             | 303                    |
| Military Construction, Air Force Reserve.....                    | 294  | Shipbuilding and Conversion, Navy.....                          | 276                    |
| Military Construction, Air Force.....                            | 291  | Special Defense Repair Fund.....                                | 305                    |
| Military Construction, Air National Guard.....                   | 293  | Support for International Sporting Competitions.....            | 258                    |
| Military Construction, Army National Guard.....                  | 292  | Surcharge Collections, Sales of Commissary Stores, Defense..... | 313                    |
| Military Construction, Army Reserve.....                         | 293  | The Department of Defense Environmental Restoration             |                        |
| Military Construction, Army.....                                 | 289  | Accounts.....   | 261                    |
| Military Construction, Defense-wide.....                         | 291  | Title VIII—General Provisions.....                              | 315                    |
| Military Construction, Navy and Marine Corps.....                | 290  | Trust Funds.....  | 311                    |
| Military Construction, Navy Reserve.....                         | 294  | United States Court of Appeals for the Armed Forces.....        | 257                    |
| Military Construction.....                                       | 289  | Voluntary Separation Incentive Fund.....                        | 311                    |
| Military Intelligence Program Transfer Fund.....                 | 263  | Weapons Procurement, Navy.....                                  | 274                    |
| Military Personnel, Air Force.....                               | 238  | Working Capital Fund, Air Force (Revolving and Management       |                        |
| Military Personnel, Army.....                                    | 234  | Funds).....   | 307                    |
| Military Personnel, Marine Corps.....                            | 237  | Working Capital Fund, Army (Revolving and Management            |                        |
| Military Personnel, Navy.....                                    | 235  | Funds).....   | 306                    |
| Military Personnel.....  | 233  | Working Capital Fund, Defense Commissary Agency (Revolving      |                        |
| Mine Resistant Ambush Protected Vehicle Fund.....                | 284  | and Management Funds).....                                      | 309                    |
| Miscellaneous Special Funds.....                                 | 267  | Working Capital Fund, Defense-Wide (Revolving and Management    |                        |
| Missile Procurement, Air Force.....                              | 279  | Funds).....   | 308                    |
| Missile Procurement, Army.....                                   | 270  | Working Capital Fund, Navy (Revolving and Management            |                        |
| National Defense Sealift Fund.....                               | 305  | Funds).....   | 307                    |
| National Defense Stockpile Transaction Fund.....                 | 303  | Delta Regional Authority.....                                   | 1223                   |
| National Guard and Reserve Equipment.....                        | 282  | Democracy Fund.....   | 821                    |
| National Guard Personnel, Air Force.....                         | 245  | Denali Commission Trust Fund.....                               | 1225                   |
| National Guard Personnel, Army.....                              | 244  | Denali Commission:  |                        |
| National Security Education Trust Fund.....                      | 313  | Denali Commission Trust Fund.....                               | 1225                   |
| North Atlantic Treaty Organization Security Investment           |      | Department of Defense Acquisition Workforce Development         |                        |
| Program.....   | 292  | Fund.....   | 265                    |
| Office of the Inspector General (Operation and Maintenance)..... | 251  | Department of Defense Base Closure Account 1990.....            | 295                    |
| Operating Expenses of the Coalition Provisional Authority        |      | Department of Defense Base Closure Account 2005.....            | 296                    |
| (International Reconstruction and Other Assistance).....         | 268  | Department of Defense Base Closure Account.....                 | 295                    |
| Operation and Maintenance, Air Force Reserve.....                | 254  | Department of Defense Closed Accounts.....                      | 311                    |
| Operation and Maintenance, Air Force.....                        | 249  | Department of Defense Family Housing Improvement Fund.....      | 301                    |
| Operation and Maintenance, Air National Guard.....               | 256  | Department of Defense Medicare-Eligible Retiree Health Care     |                        |
| Operation and Maintenance, Army National Guard.....              | 255  | Fund.....   | 1088                   |
| Operation and Maintenance, Army Reserve.....                     | 252  | Department of Defense Vietnam War Commemoration Fund.....       | 268                    |
| Operation and Maintenance, Army.....                             | 247  | Department of Transportation.....                               | 893                    |
| Operation and Maintenance, Defense-wide.....                     | 250  | Department-Wide Programs.....                                   | 690                    |
| Operation and Maintenance, Marine Corps Reserve.....             | 254  | Department-wide Systems and Capital Investments Programs.....   | 972                    |
| Operation and Maintenance, Marine Corps.....                     | 249  | Departmental Administration:                                    |                        |
| Operation and Maintenance, Navy Reserve.....                     | 253  | Departmental Administration, Department of Energy.....          | 413                    |
| Operation and Maintenance, Navy.....                             | 248  | Departmental Management, Department of Agriculture.....         | 65                     |
| Operation and Maintenance.....                                   | 247  | Departmental Management and Operations.....                     | 483                    |
| Operational Test and Evaluation, Defense.....                    | 288  | Departmental Management.....                                    | 65, 199, 363, 468, 784 |
| Other DOD Trust Funds.....                                       | 312  | Departmental Offices.....                                       | 675, 971               |
| Other Procurement, Air Force.....                                | 280  | Departmental Operations.....                                    | 483                    |
| Other Procurement, Army.....                                     | 272  | Deposit Insurance Fund.....                                     | 1247                   |
| Other Procurement, Navy.....                                     | 276  | Detailed Budget Estimates.....                                  | 3                      |
| Overseas Contingency Operations Transfer Fund.....               | 257  | Detention Trustee.....  | 706                    |
| Overseas Humanitarian, Disaster, and Civic Aid.....              | 262  | Development Assistance Program.....                             | 844                    |
| Overseas Military Facility Investment Recovery.....              | 268  | Development Credit Authority Direct Loan Financing Account..... | 863                    |
| Pakistan Counterinsurgency Fund.....                             | 265  | Development Credit Authority Guaranteed Loan Financing          |                        |
| Pentagon Reservation Maintenance Revolving Fund.....             | 304  | Account.....  | 862                    |
| Procurement of Ammunition, Air Force.....                        | 280  | Development Credit Authority Program Account.....               | 861                    |
| Procurement of Ammunition, Army.....                             | 271  | Development Fund for Africa.....                                | 847                    |
| Procurement of Ammunition, Navy and Marine Corps.....            | 275  | Digital Television Transition and Public Safety Fund.....       | 228                    |
| Procurement of Weapons and Tracked Combat Vehicles,              |      | Diplomatic and Consular Programs.....                           | 795                    |
| Army.....  | 271  | Disaster Assistance Direct Loan Financing Account.....          | 533                    |
| Procurement, Defense-wide.....                                   | 281  | Disaster Assistance Direct Loan Program Account.....            | 532                    |
| Procurement, Marine Corps.....                                   | 277  | Disaster Direct Loan Financing Account.....                     | 1183                   |

|   | Page |  | Page |
|---|------|--|------|
| Disaster Loan Fund Liquidating Account.....                     | 1185 | Education, Department of—Continued                                 |      |
| Disaster Loans Guaranteed Loan Financing Account.....           | 1184 | College Housing and Academic Facilities Loans Program              |      |
| Disaster Loans Program Account.....                             | 1182 | Account.....   | 340  |
| Disaster Relief Fund.....                                       | 529  | Departmental Management.....                                       | 363  |
| Discretionary Grants (Transportation Trust Fund, Mass Transit   |      | Education Improvement Programs.....                                | 324  |
| Account).....   | 951  | Education Jobs Fund.....   | 328  |
| Discrimination Claims Settlement.....                           | 101  | English Learner Education.....                                     | 331  |
| Disposal of Department of Defense Real Property.....            | 267  | Federal Direct Student Loan Program Account.....                   | 349  |
| Disposal of Surplus Real and Related Personal Property.....     | 1133 | Federal Direct Student Loan Program Financing Account.....         | 353  |
| Distance Learning, Telemedicine, and Broadband Direct Loan      |      | Federal Family Education Loan Liquidating Account.....             | 359  |
| Financing Account.....  | 163  | Federal Family Education Loan Program Account.....                 | 355  |
| Distance Learning, Telemedicine, and Broadband Program.....     | 162  | Federal Family Education Loan Program Financing Account.....       | 355  |
| District of Columbia Federal Pension Fund.....                  | 1230 | Federal Perkins Loan Financing Account.....                        | 361  |
| District of Columbia Judicial Retirement and Survivors Annuity  |      | Federal Perkins Loan Program Account.....                          | 360  |
| Fund.....   | 1227 | Federal Student Loan Reserve Fund.....                             | 349  |
| District of Columbia:   |      | Gallaudet University.....  | 336  |
| Crime Victims Compensation Fund.....                            | 1227 | General Fund Receipt Accounts.....                                 | 366  |
| Defender Services in District of Columbia Courts.....           | 1226 | General Provisions.....  | 366  |
| District of Columbia Courts.....                                | 1225 | Health Education Assistance Loans Financing Account.....           | 361  |
| District of Columbia Federal Pension Fund.....                  | 1230 | Health Education Assistance Loans Liquidating Account.....         | 362  |
| District of Columbia General and Special Payments.....          | 1228 | Health Education Assistance Loans Program Account.....             | 361  |
| District of Columbia Judicial Retirement and Survivors Annuity  |      | Higher Education.....  | 338  |
| Fund.....   | 1227 | Historically Black College and University Capital Financing Direct |      |
| Federal Payment for Emergency Planning and Security Cost in the |      | Loan Financing Account.....  | 342  |
| District of Columbia.....                                       | 1229 | Howard University.....   | 340  |
| Federal Payment for Resident Tuition Support.....               | 1228 | Hurricane Education Recovery.....                                  | 366  |
| Federal Payment for School Improvement.....                     | 1228 | Impact Aid.....  | 323  |
| Federal Payment for Water and Sewer Services.....               | 1231 | Indian Student Education.....                                      | 327  |
| Federal Payment to the District of Columbia Courts.....         | 1225 | Innovation and Instructional Teams.....                            | 328  |
| Federal Payment to the District of Columbia Judicial Retirement |      | Institute of Education Sciences.....                               | 362  |
| and Survivors Annuity Fund.....                                 | 1227 | National Technical Institute for the Deaf.....                     | 335  |
| Federal Payment to the District of Columbia Pension Fund.....   | 1230 | Office for Civil Rights.....                                       | 365  |
| Federal Support for Economic Development and Management         |      | Office of Elementary and Secondary Education.....                  | 321  |
| Reforms in the District.....                                    | 1229 | Office of English Language Acquisition.....                        | 331  |
| General Fund Receipt Accounts.....                              | 1231 | Office of Federal Student Aid.....                                 | 343  |
| Title VIII—General Provisions—District of Columbia.....         | 1231 | Office of Innovation and Improvement.....                          | 328  |
| Diversion Control Fee Account.....                              | 726  | Office of Postsecondary Education.....                             | 338  |
| DOD-VA Health Care Sharing Incentive Fund.....                  | 1038 | Office of Special Education and Rehabilitative Services.....       | 332  |
| Domestic Nuclear Detection Office.....                          | 534  | Office of the Inspector General (Departmental Management).....     | 365  |
| Donations.....  | 1159 | Office of Vocational and Adult Education.....                      | 336  |
| Drug Elimination Grants for Low-income Housing.....             | 549  | Program Administration.....  | 363  |
| Drug Enforcement Administration.....                            | 724  | Rehabilitation Services and Disability Research.....               | 333  |
| Drug Interdiction and Counter-Drug Activities.....              | 258  | School Readiness.....  | 322  |
| Dual Benefits Payments Account.....                             | 1306 | Special Education.....   | 332  |
| Duplication Services.....                                       | 30   | State Fiscal Stabilization Fund, Recovery Act.....                 | 328  |
| <b>E</b>  |      | Student Aid Administration.....                                    | 345  |
| Early Retiree Reinsurance Program.....                          | 447  | Student Financial Assistance Debt Collection.....                  | 348  |
| East-West Center.....   | 822  | Student Financial Assistance.....                                  | 343  |
| Economic Assistance Loans Liquidating Account.....              | 863  | Student Loan Acquisition Account.....                              | 358  |
| Economic Development Administration.....                        | 202  | Supporting Student Success.....                                    | 325  |
| Economic Development Assistance Programs Financing Account..... | 204  | TEACH Grant Financing Account.....                                 | 347  |
| Economic Development Assistance Programs.....                   | 203  | Teacher Education Assistance.....                                  | 346  |
| Economic Development Revolving Fund Liquidating Account.....    | 204  | Temporary Student Loan Purchase Authority Conduit Financing        |      |
| Economic Recovery Payments.....                                 | 1307 | Account.....   | 359  |
| Economic Research Service.....                                  | 71   | Temporary Student Loan Purchase Authority Financing                |      |
| Economic Support Fund.....                                      | 826  | Account.....   | 357  |
| Economics and Statistics Administration.....                    | 207  | Educational and Cultural Exchange Programs.....                    | 801  |
| Education and Human Resources.....                              | 1158 | Educational Benefits.....  | 1090 |
| Education Benefits Fund.....                                    | 1090 | Education.....   | 1146 |
| Education Improvement Programs.....                             | 324  | EEOC Education, Technical Assistance, and Training Revolving       |      |
| Education Jobs Fund.....  | 328  | Fund.....  | 1236 |
| Education, Department of:                                       |      | Election Assistance Commission:                                    |      |
| Academic Competitiveness/SMART Grant Program.....               | 346  | Election Data Collection Grants.....                               | 1234 |
| Accelerating Achievement and Ensuring Equity.....               | 321  | Election Reform Programs.....                                      | 1234 |
| American Jobs Act.....  | 328  | Salaries and Expenses.....   | 1233 |
| American Printing House for the Blind.....                      | 335  | Election Data Collection Grants.....                               | 1234 |
| Career, Technical and Adult Education.....                      | 336  | Election Reform Programs.....                                      | 1234 |
| College Housing and Academic Facilities Loans Financing         |      | Electric Reliability Organization.....                             | 1234 |
| Account.....  | 341  | Electricity Delivery and Energy Reliability.....                   | 383  |
| College Housing and Academic Facilities Loans Liquidating       |      | Electronic Government (E-GOV) Fund.....                            | 1137 |
| Account.....  | 342  | Electronic Record Archives.....                                    | 1273 |
|   |      | Electronic System for Travel Authorization.....                    | 507  |



|  | Page |   | Page     |
|--|------|---|----------|
| Elk Hills School Lands Fund.....                                 | 395  | Energy, Department of—Continued                                   |          |
| Embassy Security, Construction, and Maintenance.....             | 802  | General Provisions—Department of Energy.....                      | 416      |
| Emergencies in the Diplomatic and Consular Service.....          | 804  | Home Energy Retrofit Rebate Program.....                          | 387      |
| Emergency Boll Weevil Direct Loan Financing Account.....         | 119  | Isotope Production and Distribution Program Fund.....             | 398      |
| Emergency Boll Weevil Loan Program Account.....                  | 119  | Legacy Management.....  | 384      |
| Emergency Conservation Program.....                              | 102  | National Nuclear Security Administration.....                     | 369      |
| Emergency Food and Shelter.....                                  | 529  | Naval Petroleum and Oil Shale Reserves.....                       | 389      |
| Emergency Food Assistance Contingency Fund.....                  | 850  | Naval Reactors.....   | 369      |
| Emergency Forest Restoration Program.....                        | 102  | Non-defense Environmental Cleanup.....                            | 387      |
| Emergency Fund, Western Area Power Administration.....           | 408  | Northeast Home Heating Oil Reserve.....                           | 396      |
| Emergency Fund.....  | 1289 | Nuclear Energy.....   | 381      |
| Emergency Homeowners' Relief Financing Account.....              | 568  | Nuclear Waste Disposal.....                                       | 396      |
| Emergency Homeowners' Relief Fund.....                           | 568  | Office of the Administrator.....                                  | 369      |
| Emergency Preparedness Grants.....                               | 957  | Office of the Inspector General (Departmental                     |          |
| Emergency Railroad Rehabilitation and Repair.....                | 938  | Administration).....  | 414      |
| Emergency Relief Program.....                                    | 913  | Operation and Maintenance, Alaska Power Administration.....       | 403      |
| Emergency Response Fund.....                                     | 266  | Operation and Maintenance, Southeastern Power                     |          |
| Emergency Response.....  | 266  | Administration.....   | 403      |
| Emergency Steel, Oil, and Gas Guaranteed Loan Program            |      | Operation and Maintenance, Southwestern Power                     |          |
| Account.....   | 201  | Administration.....   | 404      |
| Employee Benefits Security Administration.....                   | 766  | Other Defense Activities.....                                     | 375      |
| Employees and Retired Employees Health Benefits Funds.....       | 1172 | Payments to States under Federal Power Act.....                   | 395      |
| Employees Life Insurance Fund.....                               | 1171 | Power Marketing Administration.....                               | 403      |
| Employment and Training Administration.....                      | 751  | Race to the Top for Energy Efficiency and Grid Modernization..... | 380      |
| Employment Standards Administration.....                         | 769  | Science.....  | 377      |
| Empowerment Zones/enterprise Communities/renewal                 |      | SPR Petroleum Account.....  | 390      |
| Communities.....   | 558  | Strategic Petroleum Reserve.....                                  | 390      |
| Energy Assistance Payments.....                                  | 142  | Title 17 Innovative Technology Direct Loan Financing              |          |
| Energy Efficiency and Renewable Energy.....                      | 384  | Account.....  | 401      |
| Energy Employees Occupational Illness Compensation Fund.....     | 771  | Title 17 Innovative Technology Guaranteed Loan Financing          |          |
| Energy Information Administration.....                           | 391  | Account.....  | 403      |
| Energy Innovation Fund.....                                      | 567  | Title 17 Innovative Technology Loan Guarantee Program.....        | 400      |
| Energy Programs.....   | 377  | Ultra-deepwater and Unconventional Natural Gas and Other          |          |
| Energy Security Trust.....                                       | 402  | Petroleum Research Fund.....                                      | 394      |
| Energy Supply and Conservation.....                              | 381  | Uranium Enrichment Decontamination and Decommissioning            |          |
| Energy Transformation Acceleration Fund, Recovery Act.....       | 381  | Fund.....   | 397      |
| Energy, Department of:   |      | Uranium Sales and Remediation.....                                | 397      |
| Advanced Research Projects Agency.....                           | 379  | Weapons Activities.....   | 370      |
| Advanced Technology Vehicles Manufacturing Direct Loan           |      | Western Area Power Administration, Borrowing Authority,           |          |
| Financing Account.....   | 399  | Recovery Act.....   | 407      |
| Advanced Technology Vehicles Manufacturing Loan Program          |      | White River Minimum Flow.....                                     | 406      |
| Account.....   | 398  | Working Capital Fund (Departmental Administration).....           | 415      |
| Alternative Fuels Production.....                                | 394  | Enforcement.....  | 1012     |
| Bonneville Power Administration Fund.....                        | 410  | English Learner Education.....                                    | 331      |
| Cerro Grande Fire Activities.....                                | 373  | Enhanced Inspectional Services.....                               | 505      |
| Clean Coal Technology.....                                       | 393  | Environmental and Other Defense Activities.....                   | 373      |
| Colorado River Basins Power Marketing Fund, Western Area Power   |      | Environmental Compliance and Restoration.....                     | 509      |
| Administration.....  | 409  | Environmental Dispute Resolution Fund.....                        | 1270     |
| Construction, Rehabilitation, Operation and Maintenance, Western |      | Environmental Improvement and Restoration Fund.....               | 217, 680 |
| Area Power Administration (Power Marketing                       |      | Environmental Programs and Management.....                        | 1100     |
| Administration).....   | 406  | Environmental Protection Agency:                                  |          |
| Continuing Fund, Southeastern Power Administration.....          | 404  | Abatement, Control, and Compliance Direct Loan Financing          |          |
| Continuing Fund, Southwestern Power Administration.....          | 406  | Account.....  | 1109     |
| Defense Environmental Cleanup.....                               | 373  | Buildings and Facilities.....                                     | 1103     |
| Defense Nuclear Nonproliferation.....                            | 371  | Environmental Programs and Management.....                        | 1100     |
| Defense Nuclear Waste Disposal.....                              | 377  | Environmental Services.....                                       | 1107     |
| Departmental Administration (Departmental Administration).....   | 413  | General Fund Receipt Accounts.....                                | 1115     |
| Departmental Administration.....                                 | 413  | Hazardous Substance Superfund.....                                | 1110     |
| Electricity Delivery and Energy Reliability.....                 | 383  | Hazardous Waste Electronic Manifest System Fund.....              | 1108     |
| Elk Hills School Lands Fund.....                                 | 395  | Inland Oil Spill Programs.....                                    | 1113     |
| Emergency Fund, Western Area Power Administration.....           | 408  | Leaking Underground Storage Tank Trust Fund.....                  | 1112     |
| Energy Efficiency and Renewable Energy.....                      | 384  | Office of Inspector General.....                                  | 1097     |
| Energy Information Administration.....                           | 391  | Payment to the Hazardous Substance Superfund.....                 | 1107     |
| Energy Programs.....   | 377  | Pesticide Registration Fund.....                                  | 1107     |
| Energy Security Trust.....                                       | 402  | Reregistration and Expedited Processing Revolving Fund.....       | 1108     |
| Energy Supply and Conservation.....                              | 381  | Science and Technology.....                                       | 1098     |
| Energy Transformation Acceleration Fund, Recovery Act.....       | 381  | State and Tribal Assistance Grants.....                           | 1104     |
| Environmental and Other Defense Activities.....                  | 373  | Working Capital Fund.....   | 1108     |
| Falcon and Amistad Operating and Maintenance Fund.....           | 408  | Environmental Restoration, Formerly Used Defense Sites.....       | 262      |
| Federal Energy Regulatory Commission.....                        | 392  | Environmental Services.....                                       | 1107     |
| Fossil Energy Research and Development.....                      | 388  | Equal Employment Opportunity Commission:                          |          |
| General Fund Receipt Accounts.....                               | 416  |   |          |

|  | Page |   | Page |
|--|------|---|------|
| Equal Employment Opportunity Commission—Continued                  |      | Family Housing Construction, Air Force.....                   | 299  |
| EEOC Education, Technical Assistance, and Training Revolving       |      | Family Housing Construction, Army.....                        | 297  |
| Fund.....  | 1236 | Family Housing Construction, Navy and Marine Corps.....       | 298  |
| Salaries and Expenses.....   | 1234 | Family Housing Improvement Direct Loan Financing Account..... | 302  |
| Essential Air Service and Rural Airport Improvement Fund.....      | 901  | Family Housing Improvement Guaranteed Loan Financing          |      |
| Exchange Stabilization Fund.....                                   | 978  | Account.....  | 303  |
| Executive Direction.....   | 594  | Family Housing Operation and Maintenance, Air Force.....      | 299  |
| Executive Office of the President:                                 |      | Family Housing Operation and Maintenance, Army.....           | 297  |
| Administrative Provisions.....                                     | 1127 | Family Housing Operation and Maintenance, Defense-Wide.....   | 300  |
| Administrative Support.....  | 1127 | Family Housing Operation and Maintenance, Navy and Marine     |      |
| Council of Economic Advisers.....                                  | 1119 | Corps.....  | 298  |
| Council on Environmental Quality and Office of Environmental       |      | Family Housing.....   | 296  |
| Quality.....   | 1120 | Family Self-Sufficiency.....                                  | 550  |
| Data-Driven Innovation.....  | 1125 | Farm Credit Administration:                                   |      |
| Executive Residence at the White House.....                        | 1117 | Limitation on Administrative Expenses.....                    | 1241 |
| Iraq Relief and Reconstruction Fund.....                           | 1127 | Farm Credit Banks.....  | 1340 |
| Management Fund, Office of Environmental Quality.....              | 1120 | Farm Credit System Insurance Corporation:                     |      |
| National Security Council and Homeland Security Council.....       | 1121 | Farm Credit System Insurance Fund.....                        | 1242 |
| Office of Administration.....                                      | 1121 | Farm Credit System Insurance Fund.....                        | 1242 |
| Office of Management and Budget.....                               | 1122 | Farm Credit System:   |      |
| Office of National Drug Control Policy.....                        | 1123 | Agricultural Credit Bank.....                                 | 1339 |
| Office of Science and Technology Policy.....                       | 1124 | Farm Credit Banks.....  | 1340 |
| Office of the United States Trade Representative.....              | 1124 | Federal Agricultural Mortgage Corporation.....                | 1341 |
| Operating Expenses (Executive Residence at the White               |      | Farm Labor Program Account.....                               | 131  |
| House).....  | 1117 | Farm Security and Rural Investment Programs.....              | 123  |
| Presidential Transition.....                                       | 1127 | Farm Service Agency.....                                      | 98   |
| Salaries and Expenses (Council of Economic Advisers).....          | 1119 | Farm Storage Facility Direct Loan Financing Account.....      | 118  |
| Salaries and Expenses (National Security Council and Homeland      |      | Farm Storage Facility Loans Program Account.....              | 118  |
| Security Council).....   | 1121 | Federal Additional Unemployment Compensation Program,         |      |
| Salaries and Expenses (Office of Administration).....              | 1121 | Recovery.....   | 760  |
| Special Assistance to the President and the Official Residence of  |      | Federal Agricultural Mortgage Corporation.....                | 1341 |
| the Vice President.....  | 1119 | Federal Aid in Wildlife Restoration.....                      | 652  |
| Spectrum Relocation Fund.....                                      | 1126 | Federal Air Marshals.....                                     | 493  |
| The White House.....   | 1117 | Federal Aviation Administration.....                          | 904  |
| Unanticipated Needs for Natural Disasters.....                     | 1126 | Federal Buildings Fund, Recovery Act.....                     | 1132 |
| Unanticipated Needs.....   | 1125 | Federal Buildings Fund.....                                   | 1129 |
| White House Repair and Restoration.....                            | 1118 | Federal Bureau of Investigation.....                          | 722  |
| Executive Offices.....   | 589  | Federal Citizen Services Fund.....                            | 1139 |
| Executive Operations.....  | 62   | Federal Communications Commission:                            |      |
| Executive Residence at the White House.....                        | 1117 | Broadband Technology Opportunities Program, Recovery          |      |
| Expanded Access to Financial Services.....                         | 974  | Act.....  | 1246 |
| Expenses and Refunds, Inspection and Grading of Farm Products..... | 87   | General Fund Receipt Accounts.....                            | 1246 |
| Expenses of Transportation Audit Contracts and Contract            |      | Salaries and Expenses.....                                    | 1243 |
| Administration.....  | 1133 | Spectrum Auction Direct Loan Financing Account.....           | 1245 |
| Expenses, Presidential Transition.....                             | 1138 | Spectrum Auction Program Account.....                         | 1245 |
| Expenses, Public Law 480, Foreign Assistance Programs, Agriculture |      | TV Broadcaster Relocation Fund.....                           | 1246 |
| Liquidating Account.....   | 172  | Universal Service Fund.....                                   | 1244 |
| Expenses.....  | 1080 | Federal Crop Insurance Corporation Fund.....                  | 96   |
| Exploration.....   | 1145 | Federal Deposit Insurance Corporation:                        |      |
| Export-Import Bank Direct Loan Financing Account.....              | 1239 | Deposit Insurance Fund.....                                   | 1247 |
| Export-Import Bank Guaranteed Loan Financing Account.....          | 1240 | Deposit Insurance.....  | 1247 |
| Export-Import Bank Loans Program Account.....                      | 1237 | FDIC—Office of Inspector General.....                         | 1250 |
| Export-Import Bank of the United States Liquidating Account.....   | 1240 | FSLIC Resolution Fund.....                                    | 1249 |
| Export-Import Bank of the United States:                           |      | FSLIC Resolution.....   | 1249 |
| Debt Reduction Financing Account.....                              | 1238 | Non-Interest Bearing Transaction Account Guarantee.....       | 1248 |
| Export-Import Bank Direct Loan Financing Account.....              | 1239 | Office of Inspector General (FDIC Office of Inspector         |      |
| Export-Import Bank Guaranteed Loan Financing Account.....          | 1240 | General).....   | 1250 |
| Export-Import Bank Loans Program Account.....                      | 1237 | Orderly Liquidation Fund.....                                 | 1249 |
| Export-Import Bank of the United States Liquidating                |      | Orderly Liquidation.....                                      | 1249 |
| Account.....   | 1240 | Senior Unsecured Debt Guarantee.....                          | 1248 |
| General Fund Receipt Accounts.....                                 | 1241 | Federal Direct Student Loan Program Account.....              | 349  |
| Inspector General of the Export-Import Bank.....                   | 1236 | Federal Direct Student Loan Program Financing Account.....    | 353  |
| Extension Activities.....  | 81   | Federal Disability Insurance Trust Fund.....                  | 1192 |
| <b>F</b>   |      | Federal Drug Control Programs:                                |      |
| Facilities and Equipment (Airport and Airway Trust Fund).....      | 910  | Counterdrug Technology Assessment Center.....                 | 1252 |
| Facilities and Equipment, Recovery Act.....                        | 905  | High-intensity Drug Trafficking Areas Program.....            | 1251 |
| Facilities Capital.....  | 1319 | Other Federal Drug Control Programs.....                      | 1251 |
| Fair Housing Activities.....                                       | 588  | Federal Election Commission:                                  |      |
| Fair Housing and Equal Opportunity Personnel Compensation and      |      | Salaries and Expenses.....                                    | 1252 |
| Benefits.....  | 593  | Federal Emergency Management Agency.....                      | 522  |
| Fair Housing and Equal Opportunity.....                            | 588  | Federal Energy Regulatory Commission.....                     | 392  |
| Falcon and Amistad Operating and Maintenance Fund.....             | 408  | Federal Family Education Loan Liquidating Account.....        | 359  |

|   | Page |   | Page      |
|---|------|---|-----------|
| Federal Family Education Loan Program Account.....                  | 355  | Federal Trust Programs.....   | 687       |
| Federal Family Education Loan Program Financing Account.....        | 355  | Federal Unemployment Benefits and Allowances.....                     | 755       |
| Federal Financial Institutions Examination Council Activities.....  | 1253 | Federal-aid Highways.....   | 920       |
| Federal Financial Institutions Examination Council:                 |      | Fedlink Program and Federal Research Program.....                     | 31        |
| Federal Financial Institutions Examination Council                  |      | Fees and Expenses of Witnesses.....                                   | 715       |
| Activities.....   | 1253 | Fees of Jurors and Commissioners.....                                 | 52        |
| Federal Financial Institutions Examination Council Appraisal        |      | FHA-general and Special Risk Direct Loan Financing Account.....       | 581       |
| Subcommittee.....   | 1254 | FHA-general and Special Risk Guaranteed Loan Financing                |           |
| Registry Fees.....  | 1254 | Account.....  | 580       |
| Federal Financing Bank.....   | 1004 | FHA-general and Special Risk Insurance Funds Liquidating              |           |
| Federal Highway Administration.....                                 | 912  | Account.....  | 581       |
| Federal Home Loan Bank System:                                      |      | FHA-general and Special Risk Program Account.....                     | 579       |
| Federal Home Loan Banks.....  | 1339 | FHA-loan Guarantee Recovery Fund Financing Account.....               | 581       |
| Federal Home Loan Banks.....  | 1339 | FHA-mutual Mortgage and Cooperative Housing Insurance Funds           |           |
| Federal Home Loan Mortgage Corporation:                             |      | Liquidating Account.....  | 578       |
| Mortgage-backed Securities.....                                     | 1338 | FHA-mutual Mortgage Insurance Capital Reserve Account.....            | 577       |
| Portfolio Programs.....   | 1338 | FHA-mutual Mortgage Insurance Direct Loan Financing Account.....      | 575       |
| Federal Hospital Insurance Trust Fund.....                          | 451  | FHA-mutual Mortgage Insurance Guaranteed Loan Financing               |           |
| Federal Housing Finance Agency, Administrative Expenses.....        | 1254 | Account.....  | 576       |
| Federal Housing Finance Agency:                                     |      | FHA-mutual Mortgage Insurance Program Account.....                    | 574       |
| Federal Housing Finance Agency, Administrative Expenses.....        | 1254 | Filipino Veterans Equity Compensation Fund.....                       | 1049      |
| Office of Inspector General.....                                    | 1255 | Financial Agent Services.....   | 999       |
| Federal Interest Liabilities to States.....                         | 999  | Financial Crimes Enforcement Network.....                             | 994       |
| Federal Judicial Center.....  | 56   | Financial Management Capital.....                                     | 898       |
| Federal Labor Relations Authority:                                  |      | Financial Research Fund.....  | 976       |
| Salaries and Expenses.....  | 1256 | Financing Corporation.....  | 1335      |
| Federal Law Enforcement Training Center.....                        | 496  | Financing Vehicles and the Board of Governors of the Federal Reserve: |           |
| Federal Maritime Commission:  |      | Board of Governors of the Federal Reserve System.....                 | 1336      |
| Salaries and Expenses.....  | 1257 | Financing Corporation.....  | 1335      |
| Federal Mediation and Conciliation Service:                         |      | Resolution Funding Corporation.....                                   | 1335      |
| Salaries and Expenses.....  | 1258 | First Responder Stabilization Fund.....                               | 524       |
| Federal Mine Safety and Health Review Commission:                   |      | Fiscal Service.....   | 995       |
| Salaries and Expenses.....  | 1259 | Fisheries Enforcement Asset Forfeiture Fund.....                      | 216       |
| Federal Motor Carrier Safety Administration.....                    | 926  | Fisheries Finance Direct Loan Financing Account.....                  | 219       |
| Federal National Mortgage Association:                              |      | Fisheries Finance Guaranteed Loan Financing Account.....              | 220       |
| Mortgage-backed Securities.....                                     | 1337 | Fisheries Finance Program Account.....                                | 219       |
| Portfolio Programs.....   | 1337 | Fishermen's Contingency Fund.....                                     | 217       |
| Federal Old-age and Survivors Insurance Trust Fund.....             | 1191 | Fishermen's Guaranty Fund.....  | 824       |
| Federal Payment for Emergency Planning and Security Cost in the     |      | Fishermen's Protective Fund.....                                      | 824       |
| District of Columbia.....   | 1229 | Fixed Guideway Infrastructure Investment, Recovery Act.....           | 951       |
| Federal Payment for Resident Tuition Support.....                   | 1228 | FLAME Wildfire Suppression Reserve Fund.....                          | 186, 695  |
| Federal Payment for School Improvement.....                         | 1228 | Flexible Benefits Plan Reserve.....                                   | 1165      |
| Federal Payment for Water and Sewer Services.....                   | 1231 | Flexible Subsidy Fund.....  | 572       |
| Federal Payment to Court Services and Offender Supervision Agency   |      | Flood Control and Coastal Emergencies.....                            | 1076      |
| for the District of Columbia.....                                   | 1221 | Flood Hazard Mapping and Risk Analysis Program.....                   | 522       |
| Federal Payment to Morris K. Udall and Stewart L. Udall Foundation  |      | Food and Drug Administration.....                                     | 417       |
| Trust Fund.....   | 1270 | Food and Nutrition Service.....                                       | 172       |
| Federal Payment to the District of Columbia Courts.....             | 1225 | Food for Peace Title II Grants.....                                   | 169       |
| Federal Payment to the District of Columbia Judicial Retirement and |      | Food Safety and Inspection Service.....                               | 86        |
| Survivors Annuity Fund.....   | 1227 | Foreign Agricultural Service.....                                     | 165       |
| Federal Payment to the District of Columbia Pension Fund.....       | 1230 | Foreign Currency Fluctuations Account.....                            | 1091      |
| Federal Payments to the Railroad Retirement Accounts.....           | 1306 | Foreign Currency Fluctuations, Construction.....                      | 296       |
| Federal Perkins Loan Financing Account.....                         | 361  | Foreign Currency Fluctuations.....                                    | 259, 871  |
| Federal Perkins Loan Program Account.....                           | 360  | Foreign Military Financing Direct Loan Financing Account.....         | 833       |
| Federal Prison Industries, Incorporated.....                        | 731  | Foreign Military Financing Loan Program Account.....                  | 833       |
| Federal Prison System.....  | 729  | Foreign Military Financing Program.....                               | 829       |
| Federal Prisoner Detention.....                                     | 714  | Foreign Military Loan Liquidating Account.....                        | 834       |
| Federal Protective Service.....                                     | 520  | Foreign Military Sales Trust Fund.....                                | 881       |
| Federal Railroad Administration.....                                | 932  | Foreign National Employees Separation Pay.....                        | 313       |
| Federal Reserve Bank Reimbursement Fund.....                        | 998  | Foreign Service National Defined Contributions Retirement             |           |
| Federal Retirement Thrift Investment Board:                         |      | Fund.....   | 806       |
| Program Expenses.....   | 1260 | Foreign Service National Separation Liability Trust Fund.....         | 809, 1204 |
| Federal Ship Financing Fund Fishing Vessels Liquidating             |      | Foreign Service Retirement and Disability Fund.....                   | 808       |
| Account.....  | 220  | Forest and Rangeland Research.....                                    | 178       |
| Federal Student Loan Reserve Fund.....                              | 349  | Forest and Wildlife Conservation, Military Reservations.....          | 1094      |
| Federal Supplementary Medical Insurance Trust Fund.....             | 454  | Forest Service Permanent Appropriations.....                          | 189       |
| Federal Support for Economic Development and Management Reforms     |      | Forest Service Trust Funds.....                                       | 193       |
| in the District.....  | 1229 | Forest Service.....   | 177       |
| Federal Tax Lien Revolving Fund.....                                | 1025 | Forestry Incentives Program.....                                      | 128       |
| Federal Trade Commission:   |      | Formerly Utilized Sites Remedial Action Program.....                  | 1079      |
| Salaries and Expenses.....  | 1261 | Formula Grants.....   | 947       |
| Federal Transit Administration.....                                 | 945  | Fossil Energy Research and Development.....                           | 388       |

|  | Page              |  | Page |
|--|-------------------|--|------|
| Franchise Fund.....  | 201, 1068         | Government-wide Policy.....  | 1135 |
| FSLIC Resolution Fund.....   | 1249              | Grain Inspection, Packers and Stockyards Administration.....   | 88   |
| Funds for Strengthening Markets, Income, and Supply (section 32).....                    | 93                | Grants for Construction of State Extended Care Facilities.....   | 1062 |
| <b>G</b>   |                   | Grants for Construction of Veterans Cemeteries.....  | 1062 |
| Gallaudet University.....  | 336               | Grants for Energy Efficiency and Greenhouse Gas Reductions.....  | 947  |
| General Activities.....  | 1135              | Grants for Specified Energy Property in Lieu of Tax Credits, Recovery Act.....                         | 981  |
| General Administration.....  | 18, 703, 1063     | Grants to Manufacturers of Worsted Wool Fabrics.....   | 210  |
| General Departmental Management.....   | 468               | Grants to States for Low-Income Housing Projects in Lieu of Low-Income Housing Credit Allocations..... | 981  |
| General Expenses.....  | 16                | Grants to States for Medicaid.....   | 439  |
| General Fund Payment, Armed Forces Retirement Home.....                                  | 1092              | Grants to the National Railroad Passenger Corporation.....   | 934  |
| General Gift Fund.....   | 516               | Grants-in-aid for Airports (Airport and Airway Trust Fund).....  | 909  |
| General Operating Expenses, Veterans Benefits Administration.....                        | 1064              | Grants-in-aid for Airports, Recovery Act.....  | 905  |
| General Post Fund, National Homes.....   | 1043              | Grassroots Source Water Protection Program.....  | 102  |
| General Provisions Government-Wide:  |                   | Great Plains Conservation Program.....   | 128  |
| General Provisions.....  | 9                 | Green Retrofit Program for Multifamily Housing Financing Account.....                                  | 571  |
| General Services Administration:   |                   | Green Retrofit Program for Multifamily Housing, Recovery Act.....                                      | 571  |
| Acquisition Services Fund.....   | 1134              | GSE Mortgage-Backed Securities Purchase Direct Loan Financing Account.....                             | 993  |
| Acquisition Workforce Training Fund.....   | 1138              | GSE Mortgage-Backed Securities Purchase Program Account.....   | 992  |
| Administrative Provisions.....   | 1140              | GSE Preferred Stock Purchase Agreements.....   | 991  |
| Allowances and Office Staff for Former Presidents.....                                   | 1138              | Guantees of Mortgage-backed Securities Capital Reserve Account.....                                    | 584  |
| Disposal of Surplus Real and Related Personal Property.....                              | 1133              | Guantees of Mortgage-backed Securities Financing Account.....  | 585  |
| Electronic Government (E-GOV) Fund.....  | 1137              | Guantees of Mortgage-backed Securities Liquidating Account.....  | 586  |
| Expenses of Transportation Audit Contracts and Contract Administration.....              | 1133              | Guantees of Mortgage-backed Securities Loan Guarantee Program Account.....                             | 584  |
| Expenses, Presidential Transition.....   | 1138              | Gulf Coast Restoration Trust Fund.....   | 1004 |
| Federal Buildings Fund, Recovery Act.....  | 1132              | <b>H</b>   |      |
| Federal Buildings Fund.....  | 1129              | H-1 B and L Fraud Prevention and Detection.....  | 777  |
| Federal Citizen Services Fund.....   | 1139              | Harbor Maintenance Trust Fund.....   | 1082 |
| General Activities.....  | 1135              | Harry S Truman Memorial Scholarship Trust Fund.....  | 1262 |
| General Fund Receipt Accounts.....   | 1140              | Harry S Truman Scholarship Foundation:   |      |
| Government-wide Policy.....  | 1135              | Harry S Truman Memorial Scholarship Trust Fund.....  | 1262 |
| Office of Inspector General (General Activities).....                                    | 1136              | Payment to the Harry S Truman Scholarship Memorial Trust Fund.....                                     | 1262 |
| Operating Expenses (General Activities).....   | 1135              | Hazardous Materials Management.....  | 67   |
| Real Property Activities.....  | 1129              | Hazardous Materials Safety.....  | 955  |
| Real Property Relocation.....  | 1132              | Hazardous Substance Superfund.....   | 1110 |
| Supply and Technology Activities.....  | 1133              | Hazardous Waste Electronic Manifest System Fund.....   | 1108 |
| Working Capital Fund (General Activities).....   | 1140              | HCHB Renovation and Modernization.....   | 200  |
| Geothermal Lease Revenues, Payment to Counties.....                                      | 679               | Health Activities Funds.....   | 477  |
| Gift and Trust Fund Accounts.....  | 31                | Health and Human Services, Department of:  |      |
| Gift Shop, Decimal Classification, Photo Duplication, and Related Services.....          | 30                | Administration for Children and Families.....  | 457  |
| Gifts and Bequests.....  | 62, 201, 597, 994 | Administration for Community Living.....   | 467  |
| Gifts and Contributions.....   | 1218              | Affordable Insurance Exchange Grants.....  | 447  |
| Gifts and Donations:   |                   | Agency for Healthcare Research and Quality.....  | 438  |
| African Development Foundation, International Assistance Programs.....                   | 874               | Aging and Disability Services Programs.....  | 467  |
| Architect of the Capitol, Legislative Branch.....  | 25                | CDC Working Capital Fund.....  | 432  |
| Botanic Garden, Legislative Branch.....  | 25                | CDC-Wide Activities and Program Support.....   | 430  |
| Bureau of Indian Affairs and Bureau of Indian Education, Department of the Interior..... | 675               | Center for Medicare and Medicaid Innovation.....   | 444  |
| Departmental Management and Operations, Department of Homeland Security.....             | 487               | Centers for Disease Control and Prevention.....  | 430  |
| National Endowment for the Arts, National Endowment for the Arts.....                    | 1283              | Centers for Medicare and Medicaid Services.....  | 439  |
| National Endowment for the Humanities, National Endowment for the Humanities.....        | 1284              | Child Care Entitlement to States.....  | 461  |
| Gifts to the United States for Reduction of the Public Debt.....                         | 1024              | Child Enrollment Contingency Fund.....   | 445  |
| Global Food Security Fund.....   | 836               | Children and Families Services Programs.....   | 463  |
| Global Fund to Fight AIDS, Tuberculosis and Malaria.....                                 | 843               | Children's Health Insurance Fund.....  | 444  |
| Global Health Programs.....  | 814               | Children's Research and Technical Assistance.....  | 465  |
| Global HIV/AIDS Initiative.....  | 814               | Consumer Operated and Oriented Plan Financing Account.....   | 449  |
| Global Security Contingency Fund.....  | 832               | Consumer Operated and Oriented Plan Program Account.....   | 449  |
| Government Accountability Office.....  | 35                | Consumer Operated and Oriented Plan Program Contingency Fund Financing Account.....                    | 450  |
| Government National Mortgage Association.....  | 584               | Consumer Operated and Oriented Plan Program Contingency Fund.....                                      | 448  |
| Government Payment for Annuitants, Employee Life Insurance.....                          | 1164              | Contingency Fund.....  | 458  |
| Government Payment for Annuitants, Employees Health Benefits.....                        | 1163              | Contract Support Costs.....  | 428  |
| Government Printing Office Revolving Fund.....   | 34                | Covered Countermeasure Process Fund.....   | 422  |
| Government Printing Office.....  | 33                | Departmental Management.....   | 468  |
| Government-Sponsored Enterprises:  |                   | Early Retiree Reinsurance Program.....   | 447  |
| Government Sponsored Enterprises.....  | 1337              | Federal Hospital Insurance Trust Fund.....   | 451  |

|   | Page |  | Page     |
|---|------|--|----------|
| Health and Human Services, Department of—Continued  |      | Health and Human Services, Department of—Continued   |          |
| Federal Supplementary Medical Insurance Trust Fund.....   | 454  | Transfers from the Patient-Centered Outcomes Research Trust Fund.....                          | 473      |
| Food and Drug Administration.....   | 417  | Vaccine Injury Compensation Program Trust Fund.....  | 426      |
| General Departmental Management.....  | 468  | Vaccine Injury Compensation.....   | 422      |
| General Fund Receipt Accounts.....  | 479  | World Trade Center Health Program Fund.....  | 433      |
| General Provisions.....   | 479  | Health Care Fraud and Abuse Control Account.....   | 453      |
| Grants to States for Medicaid.....  | 439  | Health Center Guaranteed Loan Financing Account.....   | 423      |
| Health Activities Funds.....  | 477  | Health Education Assistance Loans Financing Account.....                                       | 361, 424 |
| Health Care Fraud and Abuse Control Account.....  | 453  | Health Education Assistance Loans Liquidating Account.....                                     | 362, 425 |
| Health Center Guaranteed Loan Financing Account.....  | 423  | Health Education Assistance Loans Program Account.....   | 361, 424 |
| Health Education Assistance Loans Financing Account.....  | 424  | Health Insurance Reform Implementation Fund.....   | 473      |
| Health Education Assistance Loans Liquidating Account.....  | 425  | Health Insurance Tax Credit Administration.....  | 1013     |
| Health Education Assistance Loans Program Account.....  | 424  | Health Resources and Services Administration.....  | 419      |
| Health Insurance Reform Implementation Fund.....  | 473  | Health Resources and Services.....   | 419      |
| Health Resources and Services Administration.....   | 419  | Healthcare Research and Quality.....   | 438      |
| Health Resources and Services.....  | 419  | Healthy Forests Reserve Program.....   | 128      |
| Healthcare Research and Quality.....  | 438  | Helium Fund.....   | 617      |
| HHS Accrual Contribution to the Uniformed Services Retiree Health Care Fund.....                        | 476  | HHS Accrual Contribution to the Uniformed Services Retiree Health Care Fund.....               | 476      |
| HHS Service and Supply Fund.....  | 477  | HHS Service and Supply Fund.....   | 477      |
| Indian Health Facilities.....   | 428  | High Energy Cost Grants.....   | 155      |
| Indian Health Service.....  | 427  | High-intensity Drug Trafficking Areas Program.....   | 1251     |
| Low Income Home Energy Assistance.....  | 459  | Higher Education.....  | 338      |
| Maternal, Infant, and Early Childhood Home Visiting Programs.....                                       | 423  | Highway Infrastructure Investment, Recovery Act.....   | 914      |
| Medical Facilities Guarantee and Loan Fund.....   | 425  | Highway Infrastructure Programs.....   | 919      |
| Medicare Health Information Technology Incentive Payments, Recovery Act.....                            | 445  | Highway Traffic Safety Grants.....   | 931      |
| Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund.....                     | 456  | Historic Preservation Fund.....  | 662      |
| Miscellaneous Trust Funds.....  | 477  | Historically Black College and University Capital Financing Direct Loan Financing Account..... | 342      |
| National Institutes of Health.....  | 434  | Holocaust Memorial Museum.....   | 1328     |
| Nonrecurring Expenses Fund.....   | 473  | Home Energy Retrofit Rebate Program.....   | 387      |
| Office for Civil Rights.....  | 469  | Home Investment Partnership Program.....   | 558      |
| Office of Medicare Hearings and Appeals.....  | 471  | Home Ownership Preservation Entity Fund Financing Account.....                                 | 573      |
| Office of the Inspector General (Office of the Inspector General).....                                  | 478  | Home Ownership Preservation Equity Fund Program Account.....                                   | 573      |
| Office of the Inspector General.....  | 478  | Homeland Security, Department of:  |          |
| Office of the National Coordinator for Health Information Technology.....                               | 470  | Acquisition, Construction, and Improvements.....   | 491, 510 |
| Payments for Foster Care and Permanency.....  | 466  | Acquisitions, Construction, Improvements and Related Expenses.....                             | 497      |
| Payments to Health Care Trust Funds.....  | 441  | Administrative and Regional Operations.....  | 526      |
| Payments to States for Child Support Enforcement and Family Support Programs.....                       | 458  | Air and Marine Interdiction, Operations, Maintenance, and Procurement.....                     | 504      |
| Payments to States for the Child Care and Development Block Grant.....                                  | 462  | Alteration of Bridges.....   | 511      |
| Pre-Existing Condition Insurance Plan Program.....  | 446  | Analysis and Operations.....   | 486      |
| Pregnancy Assistance Fund.....  | 474  | Aquatic Resources Trust Fund.....  | 515      |
| Prevention and Public Health Fund.....  | 474  | Assessments and Evaluation.....  | 536      |
| Prevention and Wellness Fund, Recovery Act.....   | 473  | Automation Modernization, Customs and Border Protection.....                                   | 503      |
| Program Management.....   | 443  | Automation Modernization, Immigration and Customs Enforcement.....                             | 499      |
| Program Support Center.....   | 475  | Aviation Security.....   | 491      |
| Public Health and Social Services Emergency Fund.....   | 471  | Biodefense Countermeasures.....  | 521      |
| Quality Improvement Organizations.....  | 442  | Boat Safety.....   | 515      |
| Rate Review Grants.....   | 446  | Border Security Fencing, Infrastructure, and Technology.....                                   | 502      |
| Reduced Cost Sharing for Individuals Enrolling in Qualified Health Plans.....                           | 448  | Citizenship and Immigration Services.....  | 488      |
| Refugee and Entrant Assistance.....   | 460  | Coast Guard Housing Fund.....  | 513      |
| Retirement Pay and Medical Benefits for Commissioned Officers.....                                      | 475  | Construction, Customs and Border Protection (Customs and Border Protection).....               | 503      |
| Revolving Fund for Certification and Other Services.....  | 419  | Construction (Immigration and Customs Enforcement).....  | 500      |
| Salaries and Expenses (Food and Drug Administration).....   | 417  | Contribution for Annuity Benefits, United States Secret Service.....                           | 490      |
| Section 241 Evaluation Transactions Account.....  | 475  | Customs and Border Protection.....   | 500      |
| Social Services Block Grant.....  | 463  | Departmental Management and Operations.....  | 483      |
| State Grants and Demonstrations.....  | 440  | Departmental Operations.....   | 483      |
| Substance Abuse and Mental Health Services Administration.....  | 436  | Disaster Assistance Direct Loan Financing Account.....   | 533      |
| Supporting Healthy Families and Adolescent Development.....   | 460  | Disaster Assistance Direct Loan Program Account.....   | 532      |
| Substance Abuse and Mental Health Services Administration.....  | 436  | Disaster Relief Fund.....  | 529      |
| Temporary Assistance for Needy Families.....  | 457  | Domestic Nuclear Detection Office.....   | 534      |
| Toxic Substances and Environmental Public Health, Agency for Toxic Substances and Disease Registry..... | 432  | Electronic System for Travel Authorization.....  | 507      |
|   |      | Emergency Food and Shelter.....  | 529      |
|   |      | Enhanced Inspectional Services.....  | 505      |
|   |      | Environmental Compliance and Restoration.....  | 509      |
|   |      | Federal Air Marshals.....  | 493      |

|   | Page |  | Page |
|---|------|--|------|
| Homeland Security, Department of—Continued                      |      | Host Country Resident Contractors Separation Liability Fund..... | 871  |
| Federal Emergency Management Agency.....                        | 522  | Host Nation Support Fund for Relocation.....                     | 312  |
| Federal Law Enforcement Training Center.....                    | 496  | House Historic Buildings Revitalization Trust Fund.....          | 21   |
| Federal Protective Service.....                                 | 520  | House of Representatives.....                                    | 14   |
| First Responder Stabilization Fund.....                         | 524  | House Office Buildings.....                                      | 20   |
| Flood Hazard Mapping and Risk Analysis Program.....             | 522  | Housing and Other Credit Guaranty Programs Liquidating           |      |
| General Fund Receipt Accounts.....                              | 536  | Account.....   | 860  |
| General Gift Fund.....  | 516  | Housing and Urban Development, Department of:                    |      |
| General Provisions.....   | 537  | Administrative Support Offices.....                              | 590  |
| Gifts and Donations (Departmental Management and                |      | Brownfields Redevelopment.....                                   | 558  |
| Operations).....  | 487  | Capacity Building.....   | 559  |
| Immigration and Customs Enforcement.....                        | 498  | Choice Neighborhoods.....  | 549  |
| Information Analysis and Infrastructure Protection.....         | 536  | Community Development Fund.....                                  | 556  |
| Infrastructure Protection and Information Security.....         | 519  | Community Development Loan Guarantees Financing                  |      |
| International Registered Traveler.....                          | 506  | Account.....   | 564  |
| Management and Administration.....                              | 534  | Community Development Loan Guarantees Liquidating                |      |
| Maritime Oil Spill Programs.....                                | 517  | Account.....   | 565  |
| Medicare-Eligible Retiree Health Fund Contribution, Homeland    |      | Community Development Loan Guarantees Program Account.....       | 563  |
| Security.....   | 512  | Community Planning and Development Personnel Compensation        |      |
| Miscellaneous Trust Revolving Funds.....                        | 517  | and Benefits.....  | 591  |
| National Flood Insurance Fund.....                              | 530  | Community Planning and Development.....                          | 555  |
| National Flood Insurance Reserve Fund.....                      | 532  | Drug Elimination Grants for Low-income Housing.....              | 549  |
| National Flood Mitigation Fund.....                             | 528  | Emergency Homeowners' Relief Financing Account.....              | 568  |
| National Pre-disaster Mitigation Fund.....                      | 528  | Emergency Homeowners' Relief Fund.....                           | 568  |
| National Predisastrer Mitigation Grants.....                    | 528  | Empowerment Zones/enterprise Communities/renewal                 |      |
| National Protection and Programs Directorate.....               | 518  | Communities.....   | 558  |
| Office of Health Affairs.....                                   | 521  | Energy Innovation Fund.....                                      | 567  |
| Office of the Chief Information Officer.....                    | 484  | Executive Direction.....   | 594  |
| Office of the Inspector General.....                            | 487  | Executive Offices.....   | 589  |
| Oil Spill Liability Trust Fund.....                             | 516  | Fair Housing Activities.....                                     | 588  |
| Operating Expenses (Information Analysis and Infrastructure     |      | Fair Housing and Equal Opportunity Personnel Compensation and    |      |
| Protection).....  | 536  | Benefits.....  | 593  |
| Operating Expenses (Office of the Inspector General).....       | 487  | Fair Housing and Equal Opportunity.....                          | 588  |
| Operating Expenses (United States Coast Guard).....             | 508  | Family Self-Sufficiency.....                                     | 550  |
| Operating Expenses (United States Secret Service).....          | 489  | FHA-general and Special Risk Direct Loan Financing               |      |
| Payments to Wool Manufacturers.....                             | 506  | Account.....   | 581  |
| Radiological Emergency Preparedness Program.....                | 525  | FHA-general and Special Risk Guaranteed Loan Financing           |      |
| Readiness, Mitigation, Response, and Recovery.....              | 526  | Account.....   | 580  |
| Refunds, Transfers, and Expenses of Operation, Puerto Rico..... | 505  | FHA-general and Special Risk Insurance Funds Liquidating         |      |
| Research, Development, Acquisitions and Operations.....         | 533  | Account.....   | 581  |
| Research, Development, and Operations.....                      | 535  | FHA-general and Special Risk Program Account.....                | 579  |
| Research, Development, Test, and Evaluation.....                | 511  | FHA-loan Guarantee Recovery Fund Financing Account.....          | 581  |
| Reserve Training.....   | 509  | FHA-mutual Mortgage and Cooperative Housing Insurance Funds      |      |
| Retired Pay.....  | 512  | Liquidating Account.....   | 578  |
| Salaries and Expenses (Federal Emergency Management             |      | FHA-mutual Mortgage Insurance Capital Reserve Account.....       | 577  |
| Agency).....  | 527  | FHA-mutual Mortgage Insurance Direct Loan Financing              |      |
| Salaries and expenses (Federal Law Enforcement Training         |      | Account.....   | 575  |
| Center).....  | 496  | FHA-mutual Mortgage Insurance Guaranteed Loan Financing          |      |
| Science and Technology.....                                     | 533  | Account.....   | 576  |
| State and Local Programs.....                                   | 523  | FHA-mutual Mortgage Insurance Program Account.....               | 574  |
| Supply Fund.....  | 514  | Flexible Subsidy Fund.....                                       | 572  |
| Surface Transportation Security.....                            | 494  | General Fund Receipt Accounts.....                               | 598  |
| Systems Acquisition.....  | 536  | General Provisions—Department of Housing and Urban               |      |
| Transportation Security Administration.....                     | 491  | Development.....   | 598  |
| Transportation Security Support.....                            | 494  | Gifts and Bequests.....  | 597  |
| Transportation Threat Assessment and Credentialing.....         | 495  | Government National Mortgage Association.....                    | 584  |
| Trust Fund Share of Expenses.....                               | 516  | Green Retrofit Program for Multifamily Housing Financing         |      |
| U.S. Coast Guard Housing Special Fund.....                      | 513  | Account.....   | 571  |
| U.S. Customs Refunds, Transfers and Expenses, Unclaimed and     |      | Green Retrofit Program for Multifamily Housing, Recovery         |      |
| Abandoned Goods.....  | 507  | Act.....   | 571  |
| United States Coast Guard.....                                  | 508  | Guarantees of Mortgage-backed Securities Capital Reserve         |      |
| United States Fire Administration and Training.....             | 525  | Account.....   | 584  |
| United States Secret Service.....                               | 489  | Guarantees of Mortgage-backed Securities Financing Account.....  | 585  |
| United States Visitor and Immigrant Status Indicator            |      | Guarantees of Mortgage-backed Securities Liquidating             |      |
| Technology.....   | 518  | Account.....   | 586  |
| Working Capital Fund (Departmental Management and               |      | Guarantees of Mortgage-backed Securities Loan Guarantee          |      |
| Operations).....  | 485  | Program Account.....   | 584  |
| Yard Fund.....  | 514  | Home Investment Partnership Program.....                         | 558  |
| Homeless Assistance Grants.....                                 | 561  | Home Ownership Preservation Entity Fund Financing                |      |
| Homeowners Assistance Fund.....                                 | 301  | Account.....   | 573  |
| Homeownership and Opportunity for People Everywhere Grants      |      | Home Ownership Preservation Equity Fund Program                  |      |
| (HOPE Grants).....  | 570  | Account.....   | 573  |

|  | Page |   | Page |
|--|------|---|------|
| Housing and Urban Development, Department of—Continued   |      | Howard University.....  | 340  |
| Homeless Assistance Grants.....  | 561  | Human Resources and Training.....   | 950  |
| Homeownership and Opportunity for People Everywhere Grants<br>(HOPE Grants).....                   | 570  | Human Space Flight.....   | 1150 |
| Housing Certificate Fund.....  | 545  | Hurricane Education Recovery.....   | 366  |
| Housing Counseling Assistance.....   | 567  |   |      |
| Housing for Persons with Disabilities.....   | 566  |   | I    |
| Housing for the Elderly or Handicapped Fund Liquidating<br>Account.....                            | 582  | Immediate Transportation Investments.....   | 893  |
| Housing for the Elderly.....   | 565  | Immigration and Customs Enforcement.....  | 498  |
| Housing Opportunities for Persons with AIDS.....   | 555  | Impact Aid.....   | 323  |
| Housing Personnel Compensation and Benefits.....   | 592  | Independent Counsel.....  | 717  |
| Housing Programs.....  | 565  | Independent Payment Advisory Board.....   | 1263 |
| Housing Trust Fund.....  | 559  | Indian Direct Loan Financing Account.....   | 672  |
| Indian Housing Loan Guarantee Fund Financing Account.....  | 553  | Indian Education Scholarship Holding Fund.....  | 680  |
| Indian Housing Loan Guarantee Fund Program Account.....  | 552  | Indian Guaranteed Loan Financing Account.....   | 674  |
| Information Technology Portfolio.....  | 595  | Indian Guaranteed Loan Program Account.....   | 673  |
| Lead Hazard Reduction.....   | 589  | Indian Health Facilities.....   | 428  |
| Management and Administration.....   | 589  | Indian Health Service.....  | 427  |
| Manufactured Housing Fees Trust Fund.....  | 583  | Indian Housing Loan Guarantee Fund Financing Account.....                             | 553  |
| Native American Housing Block Grant.....   | 550  | Indian Housing Loan Guarantee Fund Program Account.....                               | 552  |
| Native Hawaiian Housing Block Grant.....   | 551  | Indian Land and Water Claim Settlements and Miscellaneous<br>Payments to Indians..... | 669  |
| Native Hawaiian Housing Loan Guarantee Fund Financing<br>Account.....                              | 554  | Indian Land Consolidation.....  | 670  |
| Native Hawaiian Housing Loan Guarantee Fund Program<br>Account.....                                | 554  | Indian Law and Order Commission.....  | 1263 |
| Nehemiah Housing Opportunity Fund.....   | 573  | Indian Loan Guaranty and Insurance Fund Liquidating Account.....                      | 674  |
| Neighborhood Stabilization Program.....  | 560  | Indian Student Education.....   | 327  |
| Office of Healthy Homes and Lead Hazard Control Personnel<br>Compensation and Benefits.....        | 594  | Indian Water Rights and Habitat Acquisition Program.....                              | 670  |
| Office of Inspector General (Management and<br>Administration).....                                | 595  | Indian Water Rights Settlements.....  | 630  |
| Office of Lead Hazard Control and Healthy Homes.....   | 589  | Industrial Technology Services.....   | 223  |
| Office of the Government National Mortgage Association Personnel<br>Compensation and Benefits..... | 593  | Informant Payments.....   | 1025 |
| Other Assisted Housing Programs.....   | 569  | Information Analysis and Infrastructure Protection.....                               | 536  |
| Payment to Manufactured Housing Fees Trust Fund.....   | 570  | Information Infrastructure Grants.....  | 228  |
| Permanent Supportive Housing.....  | 562  | Information Technology Modernization.....   | 788  |
| Policy Development and Research Personnel Compensation and<br>Benefits.....                        | 593  | Information Technology Portfolio.....   | 595  |
| Policy Development and Research.....   | 587  | Information Technology Systems.....   | 1065 |
| Project-based Rental Assistance.....   | 546  | Infrastructure Protection and Information Security.....                               | 519  |
| Public and Indian Housing Personnel Compensation and<br>Benefits.....                              | 591  | Inland Oil Spill Programs.....  | 1113 |
| Public and Indian Housing Programs.....  | 543  | Inland Waterways Trust Fund.....  | 1083 |
| Public Housing Capital Fund.....   | 547  | Innovation and Instructional Teams.....   | 328  |
| Public Housing Operating Fund.....   | 548  | Inspector General of the Export-Import Bank.....                                      | 1236 |
| Rental Assistance Demonstration.....   | 543  | Inspector General.....  | 1217 |
| Rental Housing Assistance Fund.....  | 572  | Inspectors General Council Fund.....  | 1220 |
| Research and Technology.....   | 587  | Institute of American Indian and Alaska Native Culture and Arts<br>Development:       |      |
| Revitalization of Severely Distressed Public Housing (HOPE<br>VI).....                             | 550  | Payment to the Institute.....   | 1263 |
| Revolving Fund (liquidating Programs).....   | 563  | Institute of Education Sciences.....  | 362  |
| Rural Housing and Economic Development.....  | 562  | Institute of Museum and Library Services:   |      |
| Salaries and Expenses (Management and Administration).....   | 594  | Office of Museum and Library Services: Grants and<br>Administration.....              | 1264 |
| Self-help Homeownership Opportunity Program.....   | 560  | Insular Affairs.....  | 682  |
| Tenant Based Rental Assistance.....  | 543  | Integrated Activities.....  | 77   |
| Title VI Indian Federal Guarantees Financing Account.....  | 555  | Intelligence Community Management Account.....  | 1265 |
| Transformation Initiative.....   | 596  | Inter-American Foundation.....  | 872  |
| Housing Certificate Fund.....  | 545  | Interagency Crime and Drug Enforcement.....   | 721  |
| Housing Counseling Assistance.....   | 567  | Interagency Law Enforcement.....  | 721  |
| Housing Direct Loan Financing Account.....   | 1052 | Intercity Passenger Rail Grant Program.....   | 938  |
| Housing for Persons with Disabilities.....   | 566  | Interest on the Public Debt.....  | 1027 |
| Housing for the Elderly or Handicapped Fund Liquidating<br>Account.....                            | 582  | Interest on Treasury Debt Securities (gross).....                                     | 1027 |
| Housing for the Elderly.....   | 565  | Interest on Uninvested Funds.....   | 999  |
| Housing Guaranteed Loan Financing Account.....   | 1053 | Interest Paid to Credit Financing Accounts.....                                       | 1000 |
| Housing Liquidating Account.....   | 1054 | Interior Franchise Fund.....  | 696  |
| Housing Opportunities for Persons with AIDS.....   | 555  | Interior, Department of the:  |      |
| Housing Personnel Compensation and Benefits.....   | 592  | Abandoned Mine Reclamation Fund.....  | 625  |
| Housing Programs.....  | 565  | Assistance to American Samoa Direct Loan Financing<br>Account.....                    | 685  |
| Housing Trust Fund.....  | 559  | Assistance to Territories.....  | 684  |
|  |      | Bureau of Indian Affairs and Bureau of Indian Education.....                          | 665  |
|  |      | Bureau of Land Management.....  | 607  |
|  |      | Bureau of Ocean Energy Management.....  | 620  |
|  |      | Bureau of Reclamation Direct Loan Financing Account.....                              | 636  |
|  |      | Bureau of Reclamation Loan Liquidating Account.....                                   | 636  |
|  |      | Bureau of Reclamation Loan Program Account.....                                       | 636  |
|  |      | Bureau of Reclamation.....  | 627  |
|  |      | Bureau of Safety and Environmental Enforcement.....                                   | 622  |

|  | Page     |  | Page |
|--|----------|--|------|
| Interior, Department of the—Continued  |          | Interior, Department of the—Continued  |      |
| California Bay-Delta Restoration.....  | 629      | Office of the Solicitor.....   | 685  |
| Central Hazardous Materials Fund.....  | 691      | Office of the Special Trustee for American Indians.....                                | 687  |
| Central Utah Project Completion Account.....   | 637      | Offshore Safety and Environmental Enforcement.....                                     | 622  |
| Central Utah Project.....  | 637      | Oil Spill Research.....  | 623  |
| Central Valley Project Restoration Fund.....   | 631      | Operation and Maintenance of Quarters.....   | 671  |
| Coastal Impact Assistance.....   | 622, 653 | Operation of Indian Programs.....  | 665  |
| Colorado River Dam Fund, Boulder Canyon Project.....   | 632      | Operation of the National Park System.....   | 656  |
| Compact of Free Association.....   | 682      | Oregon and California Grant Lands.....   | 609  |
| Construction (and Major Maintenance) (National Park Service).....  | 659      | Other Permanent Appropriations.....  | 663  |
| Construction (trust Fund) (National Park Service).....   | 664      | Park Partnership Project Grants.....   | 657  |
| Construction (Bureau of Indian Affairs and Bureau of Indian Education).....                                  | 667      | Payments in Lieu of Taxes.....   | 690  |
| Construction (Bureau of Land Management).....  | 609      | Payments to States in Lieu of Coal Fee Receipts.....                                   | 626  |
| Construction (United States Fish and Wildlife Service).....  | 643      | Payments to the United States Territories, Fiscal Assistance.....                      | 683  |
| Contract Support Costs.....  | 667      | Permanent Operating Funds.....   | 613  |
| Contributed Funds.....   | 641, 655 | Policy and Administration.....   | 631  |
| Cooperative Endangered Species Conservation Fund.....  | 650      | Private Stewardship Grants.....  | 648  |
| Department-Wide Programs.....  | 690      | Range Improvements.....  | 611  |
| Departmental Offices.....  | 675      | Reclamation Fund.....  | 631  |
| Environmental Improvement and Restoration Fund.....  | 680      | Reclamation Trust Funds.....   | 637  |
| Federal Aid in Wildlife Restoration.....   | 652      | Reclamation Water Settlements Fund.....  | 630  |
| Federal Trust Programs.....  | 687      | Recreation Enhancement Fee Program, FWS.....   | 652  |
| FLAME Wildfire Suppression Reserve Fund.....   | 695      | Recreation Fee Permanent Appropriations.....   | 661  |
| General Fund Receipt Accounts.....   | 697      | Regulation and Technology.....   | 624  |
| General Provisions.....  | 697      | Resource Management.....   | 642  |
| Geothermal Lease Revenues, Payment to Counties.....  | 679      | Revolving Fund for Loans Liquidating Account.....                                      | 672  |
| Gifts and Donations, Bureau of Indian Affairs (Bureau of Indian Affairs and Bureau of Indian Education)..... | 675      | Salaries and Expenses (Departmental Offices).....                                      | 675  |
| Helium Fund.....   | 617      | Salaries and Expenses (National Indian Gaming Commission).....                         | 689  |
| Historic Preservation Fund.....  | 662      | Salaries and Expenses (Office of Inspector General).....                               | 686  |
| Indian Direct Loan Financing Account.....  | 672      | Salaries and Expenses (Office of the Solicitor).....                                   | 685  |
| Indian Education Scholarship Holding Fund.....   | 680      | San Joaquin Restoration Fund.....  | 633  |
| Indian Guaranteed Loan Financing Account.....  | 674      | Service Charges, Deposits, and Forfeitures.....  | 612  |
| Indian Guaranteed Loan Program Account.....  | 673      | Sport Fish Restoration.....  | 654  |
| Indian Land and Water Claim Settlements and Miscellaneous Payments to Indians.....                           | 669      | State Wildlife Grants.....   | 644  |
| Indian Land Consolidation.....   | 670      | States Share from Certain Gulf of Mexico Leases.....                                   | 680  |
| Indian Loan Guaranty and Insurance Fund Liquidating Account.....   | 674      | Supplemental Payments to UMWA Health Plans.....  | 627  |
| Indian Water Rights and Habitat Acquisition Program.....   | 670      | Surveys, Investigations, and Research.....   | 639  |
| Indian Water Rights Settlements.....   | 630      | Taos Settlement Fund.....  | 630  |
| Insular Affairs.....   | 682      | Tribal Special Fund.....   | 688  |
| Interior Franchise Fund.....   | 696      | Tribal Trust Fund.....   | 689  |
| Land Acquisition and State Assistance.....   | 660      | Trust Land Consolidation Fund.....   | 681  |
| Land Acquisition.....  | 610, 646 | Trust Territory of the Pacific Islands.....  | 682  |
| Land and Water Conservation Fund.....  | 681      | United States Fish and Wildlife Service.....   | 642  |
| Landowner Incentive Program.....   | 647      | United States Geological Survey.....   | 639  |
| Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes.....                             | 678      | United States Park Police.....   | 657  |
| Lower Colorado River Basin Development Fund.....   | 634      | Upper Colorado River Basin Fund.....   | 634  |
| Management of Lands and Resources.....   | 607      | Urban Park and Recreation Fund.....  | 658  |
| Migratory Bird Conservation Account.....   | 648      | Utah Reclamation Mitigation and Conservation Account.....                              | 638  |
| Mineral Leasing and Associated Payments.....   | 677      | Water and Related Resources.....   | 628  |
| Miscellaneous Permanent Appropriations.....  | 654, 671 | White Earth Settlement Fund.....   | 669  |
| Miscellaneous Permanent Payment Accounts.....  | 615      | Wildland Fire Management.....  | 693  |
| Miscellaneous Trust Funds.....   | 619, 665 | Working Capital Fund (Bureau of Land Management).....                                  | 618  |
| Multinational Species Conservation Fund.....   | 645      | Working Capital Fund (Bureau of Reclamation).....                                      | 635  |
| National Forests Fund, Payment to States.....  | 679      | Working Capital Fund (Department-Wide Programs).....                                   | 695  |
| National Indian Gaming Commission, Gaming Activity Fees.....   | 690      | Working Capital Fund (United States Geological Survey).....                            | 640  |
| National Indian Gaming Commission.....   | 689      | Internal Revenue Collections for Puerto Rico.....                                      | 1007 |
| National Park Service.....   | 656      | Internal Revenue Service.....  | 1010 |
| National Petroleum Reserve, Alaska.....  | 678      | International Affairs Technical Assistance Program.....                                | 842  |
| National Recreation and Preservation.....  | 657      | International Assistance Programs:   |      |
| National Wildlife Refuge Fund.....   | 651      | African Development Foundation.....  | 873  |
| Natural Resource Damage Assessment Fund.....   | 691      | Agency for International Development.....  | 844  |
| Neotropical Migratory Bird Conservation.....   | 646      | Assistance for Eastern Europe and the Baltic States.....                               | 848  |
| North American Wetlands Conservation Fund.....   | 649      | Assistance for Europe, Eurasia and Central Asia.....                                   | 847  |
| Ocean Energy Management.....   | 620      | Assistance for the Independent States of the Former Soviet Union.....                  | 848  |
| Office of Inspector General.....   | 686      | Capital Investment Fund of the United States Agency for International Development..... | 852  |
| Office of Surface Mining Reclamation and Enforcement.....  | 624      | Central America and Caribbean Emergency Disaster Recovery Fund.....                    | 828  |
|  |          | Central American Reconciliation Assistance.....  | 882  |
|  |          | Child Survival and Health Programs.....  | 846  |



|  | Page |   | Page |
|--|------|---|------|
| International Assistance Programs—Continued  |      | International Assistance Programs—Continued   |      |
| Clean Technology Fund.....   | 835  | Operating Expenses of the Agency for International Development<br>(Agency for International Development)..... | 850  |
| Conflict Stabilization Operations.....   | 853  | Operating Expenses, Office of Inspector General (Agency for<br>International Development).....                | 854  |
| Contribution to Enterprise for the Americas Multilateral<br>Investment Fund.....             | 841  | Overseas Private Investment Corporation Direct Loan Financing<br>Account.....                                 | 867  |
| Contribution to Multilateral Investment Guarantee Agency.....                                | 838  | Overseas Private Investment Corporation Guaranteed Loan<br>Financing Account.....                             | 868  |
| Contribution to the African Development Bank.....  | 840  | Overseas Private Investment Corporation Liquidating<br>Account.....   | 869  |
| Contribution to the Asian Development Bank.....  | 839  | Overseas Private Investment Corporation Noncredit Account.....  | 865  |
| Contribution to the European Bank for Reconstruction and<br>Development.....                 | 841  | Overseas Private Investment Corporation Program Account.....  | 866  |
| Contribution to the Inter-American Development Bank.....                                     | 838  | Overseas Private Investment Corporation.....  | 865  |
| Contribution to the International Bank for Reconstruction and<br>Development.....            | 836  | Pakistan Counterinsurgency Capability Fund.....   | 830  |
| Contribution to the International Development Association.....                               | 837  | Peace Corps Miscellaneous Trust Fund.....   | 871  |
| Contributions to the International Fund for Agricultural<br>Development.....                 | 841  | Peace Corps.....  | 870  |
| Debt Reduction Financing Account.....  | 855  | Peacekeeping Operations.....  | 831  |
| Debt Restructuring.....  | 844  | Property Management Fund.....   | 854  |
| Development Assistance Program.....  | 844  | Special Assistance Initiatives.....   | 882  |
| Development Credit Authority Direct Loan Financing<br>Account.....                           | 863  | Special Defense Acquisition Fund.....   | 881  |
| Development Credit Authority Guaranteed Loan Financing<br>Account.....                       | 862  | Strategic Climate Fund.....   | 835  |
| Development Credit Authority Program Account.....  | 861  | Trade and Development Agency.....   | 869  |
| Development Fund for Africa.....   | 847  | Transition Initiatives.....   | 853  |
| Economic Assistance Loans Liquidating Account.....   | 863  | Tunisia Loan Guarantee Financing Account.....   | 858  |
| Economic Support Fund.....   | 826  | Tunisia Loan Guarantee Program Account.....   | 858  |
| Emergency Food Assistance Contingency Fund.....  | 850  | United States IMF Quota, Direct Loan Financing Account.....   | 876  |
| Foreign Currency Fluctuations.....   | 871  | United States Quota IMF Direct Loan Program Account.....  | 875  |
| Foreign Military Financing Direct Loan Financing Account.....                                | 833  | United States Quota, International Monetary Fund.....   | 874  |
| Foreign Military Financing Loan Program Account.....   | 833  | Urban and Environmental Credit Guaranteed Loan Financing<br>Account.....                                      | 859  |
| Foreign Military Financing Program.....  | 829  | Urban and Environmental Credit Program Account.....   | 859  |
| Foreign Military Loan Liquidating Account.....   | 834  | Working Capital Fund (Agency for International<br>Development).....   | 855  |
| Foreign Military Sales Trust Fund.....   | 881  | International Broadcasting Operations.....  | 1202 |
| Foreign Service National Separation Liability Trust Fund.....                                | 864  | International Center, Washington, D.C.....  | 823  |
| General Fund Receipt Accounts.....   | 882  | International Commissions.....  | 811  |
| General Provisions.....  | 882  | International Disaster Assistance.....  | 849  |
| Gifts and Donations, African Development Foundation (African<br>Development Foundation)..... | 874  | International Fisheries Commissions.....  | 814  |
| Global Food Security Fund.....   | 836  | International Information Programs.....   | 798  |
| Global Fund to Fight AIDS, Tuberculosis and Malaria.....                                     | 843  | International Litigation Fund.....  | 822  |
| Global Security Contingency Fund.....  | 832  | International Military Education and Training.....  | 830  |
| HIV/AIDS Working Capital Fund.....   | 846  | International Monetary Programs.....  | 874  |
| Host Country Resident Contractors Separation Liability Fund.....                             | 871  | International Narcotics Control and Law Enforcement.....  | 819  |
| Housing and Other Credit Guaranty Programs Liquidating<br>Account.....                       | 860  | International Organizations and Conferences.....  | 810  |
| Inter-American Foundation.....   | 872  | International Organizations and Programs.....   | 843  |
| International Affairs Technical Assistance Program.....                                      | 842  | International Reconstruction and Other Assistance.....  | 268  |
| International Disaster Assistance.....   | 849  | International Registered Traveler.....  | 506  |
| International Military Education and Training.....   | 830  | International Security Assistance.....  | 826  |
| International Monetary Programs.....   | 874  | International Trade Administration.....   | 208  |
| International Organizations and Programs.....  | 843  | International Trade Commission:<br>Salaries and Expenses.....   | 1265 |
| International Security Assistance.....   | 826  | Interstate Transfer Grants-transit.....   | 946  |
| Loan Guarantees to Egypt Financing Account.....  | 857  | Investigations.....   | 1077 |
| Loan Guarantees to Egypt Program Account.....  | 857  | Investor Protection Fund.....   | 1316 |
| Loan Guarantees to Israel Financing Account.....   | 856  | Iraq Relief and Reconstruction Fund, Army.....  | 269  |
| Loan Guarantees to Israel Program Account.....   | 856  | Iraq Relief and Reconstruction Fund.....  | 1127 |
| Loans to IMF Direct Loan Financing Account.....  | 879  | Iraq Security Forces Fund.....  | 264  |
| Loans to International Monetary Fund.....  | 878  | IRS Miscellaneous Retained Fees.....  | 1024 |
| Loans to the IMF Direct Loan Program Account.....  | 879  | Isotope Production and Distribution Program Fund.....   | 398  |
| Microenterprise and Small Enterprise Development Guaranteed<br>Loan Financing Account.....   | 861  | Israeli Arab and Eisenhower Exchange Fellowship Programs.....   | 824  |
| Microenterprise and Small Enterprise Development Program<br>Account.....                     | 860  |   |      |
| Military Debt Reduction Financing Account.....   | 834  | <b>J</b>  |      |
| Military Sales Program.....  | 881  | James Madison Memorial Fellowship Foundation:<br>James Madison Memorial Fellowship Trust Fund.....            | 1266 |
| Millennium Challenge Corporation.....  | 825  | Japan-United States Friendship Commission:<br>Japan-United States Friendship Trust Fund.....                  | 1267 |
| Miscellaneous Trust Funds, AID.....  | 864  | Job Access and Reverse Commute Grants.....  | 946  |
| Multilateral Assistance.....   | 835  | John C. Stennis Center for Public Service Training and Development<br>Trust Fund.....                         | 43   |
| Nonproliferation, Antiterrorism, Demining, and Related<br>Programs.....                      | 831  | Joint Department of Defense-Department of Veterans Affairs Medical<br>Facility Demonstration Fund.....        | 1041 |
| North American Development Bank.....   | 841  | Joint Economic Committee.....   | 15   |

|  | Page |  | Page |
|--|------|--|------|
| Joint Improvised Explosive Device Defeat Fund.....                   | 273  | Justice, Department of—Continued                                     |      |
| Joint Items.....   | 15   | Interagency Law Enforcement.....                                     | 721  |
| Joint Urgent Operational Needs Fund.....                             | 283  | Justice Information Sharing Technology.....                          | 704  |
| Judicial Branch:   |      | Justice Prisoner and Alien Transportation System Fund, U.S.          |      |
| Administrative Office of the United States Courts.....               | 55   | Marshals.....  | 719  |
| Care of the Building and Grounds.....                                | 47   | Juvenile Justice Programs.....                                       | 743  |
| Court Security.....  | 52   | Legal Activities and U.S. Marshals.....                              | 709  |
| Courts of Appeals, District Courts, and other Judicial Services..... | 49   | National Drug Intelligence Center.....                               | 703  |
| Defender Services.....   | 51   | National Security Division.....                                      | 720  |
| Federal Judicial Center.....   | 56   | Office of Inspector General (General Administration).....            | 707  |
| Fees of Jurors and Commissioners.....                                | 52   | Office of Justice Programs.....                                      | 733  |
| General Fund Receipt Accounts.....                                   | 59   | Payment to Radiation Exposure Compensation Trust Fund.....           | 720  |
| Judicial Officers' Retirement Fund.....                              | 56   | Public Safety Officer Benefits.....                                  | 745  |
| Judicial Retirement Funds.....                                       | 56   | Radiation Exposure Compensation Trust Fund.....                      | 721  |
| Judicial Survivors' Annuities Fund.....                              | 57   | Radiation Exposure Compensation.....                                 | 720  |
| Judiciary Filing Fees.....   | 53   | Research, Evaluation, and Statistics.....                            | 733  |
| Judiciary Information Technology Fund.....                           | 54   | Salaries and Expenses, Antitrust Division (Legal Activities and      |      |
| Payment to Judiciary Trust Funds.....                                | 56   | U.S. Marshals).....  | 711  |
| Registry Administration.....   | 54   | Salaries and Expenses, Community Relations Service (Legal            |      |
| Salaries and Expenses (Administrative Office of the United States    |      | Activities and U.S. Marshals).....                                   | 716  |
| Courts).....   | 55   | Salaries and Expenses, Foreign Claims Settlement Commission          |      |
| Salaries and Expenses (Courts of Appeals, District Courts, and       |      | (Legal Activities and U.S. Marshals).....                            | 712  |
| other Judicial Services).....  | 49   | Salaries and Expenses, General Legal Activities (Legal Activities    |      |
| Salaries and Expenses (Federal Judicial Center).....                 | 56   | and U.S. Marshals).....  | 709  |
| Salaries and Expenses (Supreme Court of the United States).....      | 47   | Salaries and Expenses, Office of Justice Programs (Office of Justice |      |
| Salaries and Expenses (United States Court of Appeals for the        |      | Programs).....   | 735  |
| Federal Circuit).....  | 48   | Salaries and Expenses, United States Attorneys (Legal Activities     |      |
| Salaries and Expenses (United States Court of International          |      | and U.S. Marshals).....  | 711  |
| Trade).....  | 49   | Salaries and Expenses, United States Marshals Service (Legal         |      |
| Salaries and Expenses (United States Sentencing Commission).....     | 58   | Activities and U.S. Marshals).....                                   | 713  |
| Supreme Court of the United States.....                              | 47   | Salaries and Expenses (Bureau of Alcohol, Tobacco, Firearms, and     |      |
| United States Court of Appeals for the Federal Circuit.....          | 48   | Explosives).....   | 727  |
| United States Court of Federal Claims Judges' Retirement             |      | Salaries and Expenses (Drug Enforcement Administration).....         | 724  |
| Fund.....  | 57   | Salaries and Expenses (Federal Bureau of Investigation).....         | 722  |
| United States Court of International Trade.....                      | 49   | Salaries and Expenses (Federal Prison System).....                   | 729  |
| United States Sentencing Commission.....                             | 58   | Salaries and Expenses (General Administration).....                  | 703  |
| Judicial Officers' Retirement Fund.....                              | 56   | Salaries and Expenses (National Security Division).....              | 720  |
| Judicial Retirement Funds.....                                       | 56   | Salaries and Expenses (United States Parole Commission).....         | 708  |
| Judicial Survivors' Annuities Fund.....                              | 57   | September 11th Victim Compensation (general Fund).....               | 717  |
| Judiciary Filing Fees.....   | 53   | State and Local Law Enforcement Assistance.....                      | 735  |
| Judiciary Information Technology Fund.....                           | 54   | Tactical Law Enforcement Wireless Communications.....                | 705  |
| Judiciary Office Building Development and Operations Fund.....       | 24   | United States Parole Commission.....                                 | 708  |
| Justice Information Sharing Technology.....                          | 704  | United States Trustee System Fund.....                               | 717  |
| Justice Prisoner and Alien Transportation System Fund, U.S.          |      | Violence against Women Prevention and Prosecution                    |      |
| Marshals.....  | 719  | Programs.....  | 740  |
| Justice, Department of:  |      | Violent Crime Reduction Program.....                                 | 729  |
| Administrative Review and Appeals.....                               | 705  | Weed and Seed Program Fund.....                                      | 739  |
| Assets Forfeiture Fund.....  | 718  | Working Capital Fund (General Administration).....                   | 708  |
| Buildings and Facilities (Federal Prison System).....                | 730  | Juvenile Justice Programs.....                                       | 743  |
| Bureau of Alcohol, Tobacco, Firearms, and Explosives.....            | 727  |  |      |
| Commissary Funds, Federal Prisons (trust Revolving Fund).....        | 732  |  |      |
| Community Oriented Policing Services.....                            | 739  |  |      |
| Community Oriented Policing Stabilization Fund.....                  | 746  |  |      |
| Construction (Bureau of Alcohol, Tobacco, Firearms, and              |      |  |      |
| Explosives).....   | 729  |  |      |
| Construction (Drug Enforcement Administration).....                  | 726  |  |      |
| Construction (Federal Bureau of Investigation).....                  | 724  |  |      |
| Construction (Legal Activities and U.S. Marshals).....               | 714  |  |      |
| Crime Victims Fund.....  | 745  |  |      |
| Detention Trustee.....   | 706  |  |      |
| Diversion Control Fee Account.....                                   | 726  |  |      |
| Drug Enforcement Administration.....                                 | 724  |  |      |
| Federal Bureau of Investigation.....                                 | 722  |  |      |
| Federal Prison Industries, Incorporated.....                         | 731  |  |      |
| Federal Prison System.....   | 729  |  |      |
| Federal Prisoner Detention.....                                      | 714  |  |      |
| Fees and Expenses of Witnesses.....                                  | 715  |  |      |
| General Administration.....  | 703  |  |      |
| General Fund Receipt Accounts.....                                   | 747  |  |      |
| General Provisions—Department of Justice.....                        | 747  |  |      |
| Independent Counsel.....   | 717  |  |      |
| Interagency Crime and Drug Enforcement.....                          | 721  |  |      |

## L

## Labor, Department of:

|  |     |
|--|-----|
| Administrative Expenses, Energy Employees Occupational Illness |     |
| Compensation Fund.....   | 772 |
| Advances to the Employment Security Administration Account of  |     |
| the Unemployment Trust Fund.....                               | 763 |
| Advances to the Unemployment Trust Fund and Other Funds.....   | 761 |
| American Jobs Act and Community College to Career Fund.....    | 759 |
| Black Lung Disability Trust Fund.....                          | 774 |
| Bureau of Labor Statistics.....                                | 782 |
| Community Service Employment for Older Americans.....          | 754 |
| Departmental Management.....                                   | 784 |
| Employee Benefits Security Administration.....                 | 766 |
| Employment and Training Administration.....                    | 751 |
| Employment Standards Administration.....                       | 769 |
| Energy Employees Occupational Illness Compensation Fund.....   | 771 |
| Federal Additional Unemployment Compensation Program,          |     |
| Recovery.....  | 760 |
| Federal Unemployment Benefits and Allowances.....              | 755 |
| General Fund Receipt Accounts.....                             | 790 |
| General Provisions.....  | 790 |
| H-1 B and L Fraud Prevention and Detection.....                | 777 |
| Information Technology Modernization.....                      | 788 |

|  | Page          |  | Page       |
|--|---------------|--|------------|
| Labor, Department of—Continued   |               | Legislative Branch—Continued   |            |
| Mine Safety and Health Administration.....                                       | 781           | Capitol Grounds.....   | 19         |
| Occupational Safety and Health Administration.....                               | 779           | Capitol Police Buildings and Grounds.....  | 22         |
| Office of Disability Employment Policy.....                                      | 785           | Capitol Police.....  | 15         |
| Office of Federal Contract Compliance Programs.....                              | 778           | Capitol Power Plant.....   | 21         |
| Office of Job Corps.....   | 753           | Capitol Visitor Center Revolving Fund.....   | 24         |
| Office of Labor Management Standards.....  | 778           | Capitol Visitor Center.....  | 23         |
| Office of the Inspector General (Departmental Management).....                   | 786           | Commission on International Religious Freedom.....                                 | 40         |
| Office of Workers' Compensation Programs.....                                    | 769           | Congressional Budget Office.....   | 17         |
| Overseas Contractors Compensation Act (OCCA).....                                | 772           | Congressional Printing and Binding.....  | 33         |
| Panama Canal Commission Compensation Fund.....                                   | 774           | Congressional Research Service: Salaries and Expenses.....                         | 28         |
| Payments to the Unemployment Trust Fund.....                                     | 760           | Cooperative Acquisitions Program Revolving Fund.....                               | 30         |
| Pension Benefit Guaranty Corporation Fund.....                                   | 767           | Copyright Office: Salaries and Expenses.....                                       | 27         |
| Pension Benefit Guaranty Corporation.....  | 767           | Duplication Services.....  | 30         |
| Program Administration.....  | 761           | Fedlink Program and Federal Research Program.....                                  | 31         |
| Salaries and Expenses (Bureau of Labor Statistics).....                          | 782           | General Administration.....  | 18         |
| Salaries and Expenses (Departmental Management).....                             | 784           | General Expenses.....  | 16         |
| Salaries and Expenses (Employee Benefits Security Administration).....           | 766           | General Fund Receipt Accounts.....   | 44         |
| Salaries and Expenses (Employment Standards Administration).....                 | 769           | General Provisions.....  | 44         |
| Salaries and Expenses (Mine Safety and Health Administration).....               | 781           | Gift and Trust Fund Accounts.....  | 31         |
| Salaries and Expenses (Occupational Safety and Health Administration).....       | 779           | Gift Shop, Decimal Classification, Photo Duplication, and Related Services.....    | 30         |
| Salaries and Expenses (Office of Federal Contract Compliance Programs).....      | 778           | Gifts and Donations (Architect of the Capitol).....                                | 25         |
| Salaries and Expenses (Office of Labor Management Standards).....                | 778           | Gifts and Donations (Botanic Garden).....  | 25         |
| Salaries and Expenses (Office of Workers' Compensation Programs).....            | 769           | Government Accountability Office.....  | 35         |
| Salaries and Expenses (Wage and Hour Division).....                              | 776           | Government Printing Office Revolving Fund.....                                     | 34         |
| Short Time Compensation Programs.....  | 760           | Government Printing Office.....  | 33         |
| Special Benefits for Disabled Coal Miners.....                                   | 773           | House Historic Buildings Revitalization Trust Fund.....                            | 21         |
| Special Benefits.....  | 770           | House of Representatives.....  | 14         |
| Special Workers' Compensation Expenses.....                                      | 775           | House Office Buildings.....  | 20         |
| State Paid Leave Fund.....   | 763           | John C. Stennis Center for Public Service Training and Development Trust Fund..... | 43         |
| State Unemployment Insurance and Employment Service Operations.....              | 756           | Joint Economic Committee.....  | 15         |
| TAA Community College and Career Training Grant Fund.....                        | 755           | Joint Items.....   | 15         |
| Training and Employment Services.....  | 751           | Judiciary Office Building Development and Operations Fund.....                     | 24         |
| Unemployment Trust Fund.....   | 763           | Legislative Branch Boards and Commissions.....                                     | 38         |
| Universal Displaced Workers Program.....   | 753           | Library Buildings and Grounds.....   | 22         |
| Veterans Employment and Training.....  | 786           | Library of Congress.....   | 25         |
| Wage and Hour Division H-2B.....   | 777           | Medicaid and CHIP Payment and Access Commission.....                               | 39         |
| Wage and Hour Division.....  | 776           | Medicare Payment Advisory Commission.....  | 38         |
| Workers Compensation Programs.....   | 762           | National Health Care Workforce Commission.....                                     | 38         |
| Working Capital Fund (Departmental Management).....                              | 789           | Office of Compliance.....  | 17         |
| Land Acquisition and State Assistance.....                                       | 660           | Office of Superintendent of Documents: Salaries and Expenses.....                  | 33         |
| Land Acquisition.....  | 188, 610, 646 | Open World Leadership Center Trust Fund.....                                       | 44         |
| Land and Water Conservation Fund.....  | 681           | Other Legislative Branch Boards and Commissions.....                               | 41         |
| Landowner Incentive Program.....   | 647           | Payment to Open World Leadership Center Trust Fund.....                            | 42         |
| Lead Hazard Reduction.....   | 589           | Payments to Copyright Owners.....  | 29         |
| Leaking Underground Storage Tank Trust Fund.....                                 | 1112          | Salaries and Expenses, Library of Congress (Library of Congress).....              | 25         |
| Lease of Department of Defense Real Property.....                                | 267           | Salaries and Expenses (Congressional Budget Office).....                           | 17         |
| Leases of Lands Acquired for Flood Control, Navigation, and Allied Purposes..... | 678           | Salaries and Expenses (Government Accountability Office).....                      | 35         |
| Legacy Fund.....   | 1320          | Salaries and Expenses (Office of Compliance).....                                  | 17         |
| Legacy Management.....   | 384           | Salaries and Expenses (United States Tax Court).....                               | 37         |
| Legal Activities and U.S. Marshals.....  | 709           | Salaries.....  | 15         |
| Legal Services Corporation:  |               | Security Enhancements.....   | 16         |
| Payment to Legal Services Corporation.....                                       | 1268          | Senate Office Buildings.....   | 20         |
| Legislative Branch Boards and Commissions.....                                   | 38            | Senate.....  | 13         |
| Legislative Branch:  |               | Tax Court Judges Survivors Annuity Fund.....                                       | 37         |
| Architect of the Capitol.....  | 18            | U.S. Capitol Preservation Commission.....  | 43         |
| Awards and Settlements Funds.....  | 17            | United States Tax Court.....   | 37         |
| Books for the Blind and Physically Handicapped: Salaries and Expenses.....       | 29            | United States-China Economic and Security Review Commission.....                   | 39         |
| Botanic Garden.....  | 25            | Library Buildings and Grounds.....   | 22         |
| Capital Construction, Dwight D. Eisenhower Memorial Commission.....              | 42            | Library of Congress.....   | 25         |
| Capitol Building.....  | 19            | Limitation on Administration, Recovery Act.....                                    | 1310       |
|  |               | Limitation on Administration.....  | 1309       |
|  |               | Limitation on Administrative Expenses.....   | 1194, 1241 |
|  |               | Limitation on Inspection and Weighing Services Expenses.....                       | 89         |
|  |               | Limited Access System Administration Fund.....                                     | 214        |
|  |               | Loan Guarantees to Egypt Financing Account.....                                    | 857        |
|  |               | Loan Guarantees to Egypt Program Account.....                                      | 857        |
|  |               | Loan Guarantees to Israel Financing Account.....                                   | 856        |

|  | Page     |  | Page     |
|--|----------|--|----------|
| Loan Guarantees to Israel Program Account.....   | 856      | Military Construction, Defense-wide.....   | 291      |
| Loans to IMF Direct Loan Financing Account.....  | 879      | Military Construction, Navy and Marine Corps.....                                  | 290      |
| Loans to International Monetary Fund.....  | 878      | Military Construction, Navy Reserve.....   | 294      |
| Loans to the IMF Direct Loan Program Account.....  | 879      | Military Construction.....   | 289      |
| Low Income Home Energy Assistance.....   | 459      | Military Debt Reduction Financing Account.....                                     | 834      |
| Lower Colorado River Basin Development Fund.....   | 634      | Military Intelligence Program Transfer Fund.....                                   | 263      |
| <b>M</b>   |          | Military Personnel, Air Force.....   | 238      |
| Major Research Equipment and Facilities Construction.....                                    | 1156     | Military Personnel, Army.....  | 234      |
| Management and Administration.....   | 534, 589 | Military Personnel, Marine Corps.....  | 237      |
| Management Fund, Office of Environmental Quality.....  | 1120     | Military Personnel, Navy.....  | 235      |
| Management of Lands and Resources.....   | 607      | Military Personnel.....  | 233      |
| Management of National Forest Lands for Subsistence Uses.....                                | 183      | Military Retirement Fund.....  | 1087     |
| Manufactured Housing Fees Trust Fund.....  | 583      | Military Retirement.....   | 1087     |
| Marine Mammal Commission:  |          | Military Sales Program.....  | 881      |
| Salaries and Expenses.....   | 1268     | Milk Market Orders Assessment Fund.....  | 95       |
| Maritime Administration.....   | 960      | Millennium Challenge Corporation.....  | 825      |
| Maritime Guaranteed Loan (title XI) Financing Account.....                                   | 967      | Mine Resistant Ambush Protected Vehicle Fund.....                                  | 284      |
| Maritime Guaranteed Loan (title XI) Program Account.....                                     | 966      | Mine Safety and Health Administration.....   | 781      |
| Maritime Oil Spill Programs.....   | 517      | Mineral Leasing and Associated Payments.....                                       | 677      |
| Maritime Security Program.....   | 962      | Minority Business Development Agency.....  | 211      |
| Marketing Services.....  | 90       | Minority Business Development.....   | 211      |
| Maternal, Infant, and Early Childhood Home Visiting Programs.....                            | 423      | Minority Business Outreach.....  | 899      |
| McGovern-Dole International Food for Education and Child Nutrition Program.....              | 168      | Minority Business Resource Center Guaranteed Loan Financing Account.....           | 903      |
| Medicaid and CHIP Payment and Access Commission.....   | 39       | Minority Business Resource Center Program.....                                     | 902      |
| Medical and Prosthetic Research.....   | 1039     | Miscellaneous Appropriations.....  | 913      |
| Medical Care Collections Fund.....   | 1041     | Miscellaneous Contributed Funds.....   | 76       |
| Medical Center Research Organizations.....   | 1042     | Miscellaneous Permanent Appropriations.....  | 654, 671 |
| Medical Facilities Guarantee and Loan Fund.....  | 425      | Miscellaneous Permanent Payment Accounts.....                                      | 615      |
| Medical Facilities.....  | 1038     | Miscellaneous Special Funds.....   | 267      |
| Medical Services.....  | 1033     | Miscellaneous Transportation Trust Funds.....                                      | 924      |
| Medical Support and Compliance.....  | 1036     | Miscellaneous Trust Funds:   |          |
| Medicare Health Information Technology Incentive Payments, Recovery Act.....                 | 445      | Administration of Foreign Affairs, Department of State.....                        | 810      |
| Medicare Payment Advisory Commission.....  | 38       | Agency for International Development, International Assistance Programs.....       | 864      |
| Medicare Prescription Drug Account, Federal Supplementary Insurance Trust Fund.....          | 456      | Animal and Plant Health Inspection Service, Department of Agriculture.....         | 85       |
| Medicare-Eligible Retiree Health Fund Contribution, Air Force.....                           | 239      | Appalachian Regional Commission, Appalachian Regional Commission.....              | 1201     |
| Medicare-Eligible Retiree Health Fund Contribution, Army.....                                | 235      | Bureau of Land Management, Department of the Interior.....                         | 619      |
| Medicare-Eligible Retiree Health Fund Contribution, Homeland Security.....                   | 512      | Federal Highway Administration, Department of Transportation.....                  | 924      |
| Medicare-Eligible Retiree Health Fund Contribution, Marine Corps.....                        | 237      | Maritime Administration, Department of Transportation.....                         | 968      |
| Medicare-Eligible Retiree Health Fund Contribution, National Guard Personnel, Air Force..... | 246      | National Park Service, Department of the Interior.....                             | 665      |
| Medicare-Eligible Retiree Health Fund Contribution, National Guard Personnel, Army.....      | 245      | Program Support Center, Department of Health and Human Services.....               | 477      |
| Medicare-Eligible Retiree Health Fund Contribution, Navy.....                                | 236      | Miscellaneous Trust Revolving Funds.....   | 517      |
| Medicare-Eligible Retiree Health Fund Contribution, NOAA.....                                | 215      | Missile Procurement, Air Force.....  | 279      |
| Medicare-Eligible Retiree Health Fund Contribution, Reserve Personnel, Air Force.....        | 244      | Missile Procurement, Army.....   | 270      |
| Medicare-Eligible Retiree Health Fund Contribution, Reserve Personnel, Army.....             | 240      | Mission Support.....   | 1151     |
| Medicare-Eligible Retiree Health Fund Contribution, Reserve Personnel, Marine Corps.....     | 242      | Mississippi River and Tributaries.....   | 1076     |
| Medicare-Eligible Retiree Health Fund Contribution, Reserve Personnel, Navy.....             | 241      | Morris K. Udall and Stewart L. Udall Foundation:                                   |          |
| Merit Systems Protection Board:  |          | Environmental Dispute Resolution Fund.....   | 1270     |
| Salaries and Expenses.....   | 1269     | Federal Payment to Morris K. Udall and Stewart L. Udall Foundation Trust Fund..... | 1270     |
| Microenterprise and Small Enterprise Development Guaranteed Loan Financing Account.....      | 861      | Mortgage-backed Securities.....  | 1337     |
| Microenterprise and Small Enterprise Development Program Account.....                        | 860      | Motor Carrier Safety Grants.....   | 927      |
| Middle East and North Africa Incentive Fund.....   | 816      | Motor Carrier Safety Operations and Programs.....                                  | 927      |
| Migration and Refugee Assistance.....  | 817      | Motor Carrier Safety.....  | 926      |
| Migratory Bird Conservation Account.....   | 648      | Multifamily Housing Revitalization Direct Loan Financing Account.....              | 133      |
| Military Construction, Air Force Reserve.....  | 294      | Multifamily Housing Revitalization Program Account.....                            | 132      |
| Military Construction, Air Force.....  | 291      | Multilateral Assistance.....   | 835      |
| Military Construction, Air National Guard.....   | 293      | Multinational Species Conservation Fund.....                                       | 645      |
| Military Construction, Army National Guard.....  | 292      | Mutual and Self-help Housing Grants.....   | 134      |
| Military Construction, Army Reserve.....   | 293      | <b>N</b>   |          |
| Military Construction, Army.....   | 289      | National Aeronautics and Space Administration:                                     |          |
|  |          | Aeronautics.....   | 1143     |
|  |          | Construction, Environmental Compliance, and Remediation.....                       | 1148     |
|  |          | Cross Agency Support.....  | 1147     |
|  |          | Education.....   | 1146     |

|   | Page |  | Page |
|---|------|--|------|
| National Aeronautics and Space Administration—Continued         |      | National Historical Publications and Records Commission.....         | 1274 |
| Exploration.....  | 1145 | National Indian Gaming Commission, Gaming Activity Fees.....         | 690  |
| General Fund Receipt Accounts.....                              | 1153 | National Indian Gaming Commission.....                               | 689  |
| Human Space Flight.....   | 1150 | National Infrastructure Bank Direct Loan Financing Account.....      | 1285 |
| Mission Support.....  | 1151 | National Infrastructure Bank Loan Guarantee Financing                |      |
| National Space Grant Program.....                               | 1152 | Account.....   | 1285 |
| Office of Inspector General.....                                | 1149 | National Infrastructure Bank Program Account.....                    | 1284 |
| Science, Aeronautics and Technology.....                        | 1151 | National Infrastructure Bank:  |      |
| Science, Aeronautics, and Exploration.....                      | 1150 | National Infrastructure Bank Direct Loan Financing                   |      |
| Science, Space, and Technology Education Trust Fund.....        | 1152 | Account.....   | 1285 |
| Science.....  | 1143 | National Infrastructure Bank Loan Guarantee Financing                |      |
| Space Operations.....   | 1148 | Account.....   | 1285 |
| Space Technology.....   | 1144 | National Infrastructure Bank Program Account.....                    | 1284 |
| Working Capital Fund.....                                       | 1151 | National Infrastructure Investments.....                             | 896  |
| National Agricultural Statistics Service.....                   | 72   | National Institute of Food and Agriculture.....                      | 77   |
| National and Community Service Programs, Operating              |      | National Institute of Standards and Technology.....                  | 223  |
| Expenses.....   | 1216 | National Institutes of Health.....                                   | 434  |
| National Appeals Division.....                                  | 64   | National Labor Relations Board:                                      |      |
| National Archives and Records Administration:                   |      | Salaries and Expenses.....   | 1286 |
| Electronic Record Archives.....                                 | 1273 | National Mediation Board:  |      |
| National Archives Gift Fund.....                                | 1275 | Salaries and Expenses.....   | 1287 |
| National Archives Trust Fund.....                               | 1275 | National Motor Carrier Safety Program.....                           | 926  |
| National Historical Publications and Records Commission.....    | 1274 | National Network for Manufacturing Innovation.....                   | 226  |
| Office of the Inspector General—National Archives and Records   |      | National Nuclear Security Administration.....                        | 369  |
| Administration.....   | 1273 | National Oceanic and Atmospheric Administration.....                 | 211  |
| Operating Expenses.....   | 1272 | National Park Service.....   | 656  |
| Records Center Revolving Fund.....                              | 1274 | National Petroleum Reserve, Alaska.....                              | 678  |
| Repairs and Restoration.....                                    | 1273 | National Pre-disaster Mitigation Fund.....                           | 528  |
| National Archives Gift Fund.....                                | 1275 | National Predisaster Mitigation Grants.....                          | 528  |
| National Archives Trust Fund.....                               | 1275 | National Protection and Programs Directorate.....                    | 518  |
| National Capital Arts and Cultural Affairs.....                 | 1210 | National Railroad Passenger Corporation Office of Inspector General: |      |
| National Capital Planning Commission:                           |      | Salaries and Expenses.....   | 1288 |
| Salaries and Expenses.....                                      | 1276 | National Railroad Retirement Investment Trust.....                   | 1310 |
| National Cemetery Administration.....                           | 1066 | National Recreation and Preservation.....                            | 657  |
| National Council on Disability:                                 |      | National Science Foundation:   |      |
| Salaries and Expenses.....                                      | 1277 | Agency Operations and Award Management.....                          | 1156 |
| National Credit Union Administration:                           |      | Donations.....   | 1159 |
| Central Liquidity Facility.....                                 | 1280 | Education and Human Resources.....                                   | 1158 |
| Community Development Credit Union Revolving Loan               |      | General Fund Receipt Accounts.....                                   | 1160 |
| Fund.....   | 1281 | Major Research Equipment and Facilities Construction.....            | 1156 |
| Credit Union Homeowners Affordability Relief Program.....       | 1281 | Office of the Inspector General.....                                 | 1158 |
| Credit Union Share Insurance Fund.....                          | 1278 | Office of the National Science Board.....                            | 1157 |
| Credit Union System Investment Program.....                     | 1281 | Research and Related Activities.....                                 | 1155 |
| Operating Fund.....   | 1277 | National Security Council and Homeland Security Council.....         | 1121 |
| Temporary Corporate Credit Union Stabilization Fund.....        | 1279 | National Security Division.....                                      | 720  |
| National Defense Sealift Fund.....                              | 305  | National Security Education Trust Fund.....                          | 313  |
| National Defense Stockpile Transaction Fund.....                | 303  | National Service Life Insurance Fund.....                            | 1058 |
| National Driver Register Modernization.....                     | 930  | National Space Grant Program.....                                    | 1152 |
| National Drug Intelligence Center.....                          | 703  | National Technical Information Service.....                          | 222  |
| National Endowment for Democracy.....                           | 822  | National Technical Institute for the Deaf.....                       | 335  |
| National Endowment for the Arts: Grants and Administration..... | 1282 | National Telecommunications and Information Administration.....      | 226  |
| National Endowment for the Arts:                                |      | National Transportation Safety Board:                                |      |
| Gifts and Donations, National Endowment for the Arts.....       | 1283 | Emergency Fund.....  | 1289 |
| National Endowment for the Arts: Grants and                     |      | Salaries and Expenses.....   | 1288 |
| Administration.....   | 1282 | National Wildlife Refuge Fund.....                                   | 651  |
| National Endowment for the Humanities: Grants and               |      | Native American Direct Loan Financing Account.....                   | 1056 |
| Administration.....   | 1283 | Native American Housing Block Grant.....                             | 550  |
| National Endowment for the Humanities:                          |      | Native American Veteran Housing Loan Program Account.....            | 1055 |
| Gifts and Donations, National Endowment for the                 |      | Native Hawaiian Housing Block Grant.....                             | 551  |
| Humanities.....   | 1284 | Native Hawaiian Housing Loan Guarantee Fund Financing                |      |
| National Endowment for the Humanities: Grants and               |      | Account.....   | 554  |
| Administration.....   | 1283 | Native Hawaiian Housing Loan Guarantee Fund Program                  |      |
| National Flood Insurance Fund.....                              | 530  | Account.....   | 554  |
| National Flood Insurance Reserve Fund.....                      | 532  | Natural Resource Damage Assessment Fund.....                         | 691  |
| National Flood Mitigation Fund.....                             | 528  | Natural Resources Conservation Service.....                          | 121  |
| National Forest System.....                                     | 179  | Naval Petroleum and Oil Shale Reserves.....                          | 389  |
| National Forests Fund, Payment to States.....                   | 679  | Naval Reactors.....  | 369  |
| National Guard and Reserve Equipment.....                       | 282  | Nehemiah Housing Opportunity Fund.....                               | 573  |
| National Guard Personnel, Air Force.....                        | 245  | Neighborhood Reinvestment Corporation:                               |      |
| National Guard Personnel, Army.....                             | 244  | Payment to Neighborhood Reinvestment Corporation.....                | 1289 |
| National Health Care Workforce Commission.....                  | 38   | Neighborhood Stabilization Program.....                              | 560  |
| National Highway Traffic Safety Administration.....             | 929  | Neotropical Migratory Bird Conservation.....                         | 646  |

|  | Page         |   | Page     |
|--|--------------|---|----------|
| Network Construction Fund.....   | 229          | Office of Personnel Management—Continued  |          |
| New Headquarters Building.....   | 900          | Office of Inspector General.....  | 1162     |
| Next Generation High-speed Rail.....   | 939          | Payment to Civil Service Retirement and Disability Fund.....                                      | 1164     |
| Non-defense Environmental Cleanup.....   | 387          | Postal Service Retiree Health Benefits Fund.....  | 1166     |
| Non-Interest Bearing Transaction Account Guarantee.....                                  | 1248         | Revolving Fund.....   | 1167     |
| Nonproliferation, Antiterrorism, Demining, and Related Programs.....                     | 831          | Salaries and Expenses.....  | 1161     |
| Nonrecurring Expenses Fund.....  | 473          | Office of Postsecondary Education.....  | 338      |
| North American Development Bank.....   | 841          | Office of Science and Technology Policy.....  | 1124     |
| North American Wetlands Conservation Fund.....   | 649          | Office of Special Counsel:  |          |
| North Atlantic Treaty Organization Security Investment Program.....                      | 292          | Salaries and Expenses.....  | 1295     |
| Northeast Corridor Improvement Program.....  | 939          | Office of Special Education and Rehabilitative Services.....                                      | 332      |
| Northeast Home Heating Oil Reserve.....  | 396          | Office of Surface Mining Reclamation and Enforcement.....   | 624      |
| Northern Border Regional Commission.....   | 1290         | Office of the Administrator.....  | 369      |
| NTIS Revolving Fund.....   | 222          | Office of the Assistant Secretary of the Army for Civil Works.....                                | 1073     |
| Nuclear Energy.....  | 381          | Office of the Chief Economist.....  | 63       |
| Nuclear Regulatory Commission:   |              | Office of the Chief Information Officer.....  | 484      |
| General Fund Receipt Accounts.....   | 1293         | Office of the Federal Coordinator for Alaska Natural Gas Transportation Projects:                 |          |
| Office of Inspector General.....   | 1292         | Office of the Federal Coordinator for Alaska Natural Gas Transportation.....                      | 1296     |
| Salaries and Expenses.....   | 1291         | Office of the Federal Coordinator for Alaska Natural Gas Transportation.....                      | 1296     |
| Nuclear Waste Disposal.....  | 396          | Office of the General Counsel.....  | 70       |
| Nuclear Waste Technical Review Board:  |              | Office of the Government National Mortgage Association Personnel Compensation and Benefits.....   | 593      |
| Salaries and Expenses.....   | 1293         | Office of the Inspector General.....  | 478, 487 |
| Nutrition Programs Administration.....   | 172          | Office of the Inspector General:  |          |
| <b>O</b>   |              | Administration of Foreign Affairs, Department of State.....                                       | 799      |
| Occupational Safety and Health Administration.....                                       | 779          | Agency for International Development, International Assistance Programs.....                      | 854      |
| Occupational Safety and Health Review Commission:  |              | Departmental Administration, Department of Energy.....  | 414      |
| Salaries and Expenses.....   | 1293         | Departmental Administration, Department of Veterans Affairs.....                                  | 1065     |
| Ocean Energy Management.....   | 620          | Departmental Management, Department of Commerce.....  | 199      |
| Ocean Freight Differential.....  | 963          | Departmental Management, Department of Education.....   | 365      |
| Office for Civil Rights.....   | 365, 469     | Departmental Management, Department of Labor.....   | 786      |
| Office of Administration.....  | 1121         | Departmental Offices, Department of the Treasury.....   | 972      |
| Office of Advocacy.....  | 1176         | Environmental Protection Agency, Environmental Protection Agency.....                             | 1097     |
| Office of Chief Economist.....   | 63           | FDIC Office of Inspector General, Federal Deposit Insurance Corporation.....                      | 1250     |
| Office of Civil Rights.....  | 65, 899      | Federal Housing Finance Agency, Federal Housing Finance Agency.....                               | 1255     |
| Office of Communications.....  | 69           | General Activities, General Services Administration.....  | 1136     |
| Office of Compliance.....  | 17           | General Administration, Department of Justice.....  | 707      |
| Office of Disability Employment Policy.....  | 785          | Management and Administration, Department of Housing and Urban Development.....                   | 595      |
| Office of Elementary and Secondary Education.....  | 321          | National Aeronautics and Space Administration, National Aeronautics and Space Administration..... | 1149     |
| Office of English Language Acquisition.....  | 331          | National Archives and Records Administration.....   | 1273     |
| Office of Federal Contract Compliance Programs.....                                      | 778          | National Science Foundation, National Science Foundation.....                                     | 1158     |
| Office of Federal Student Aid.....   | 343          | Nuclear Regulatory Commission.....  | 1292     |
| Office of Financial Stability.....   | 984          | Office of Inspector General, Department of Agriculture.....                                       | 69       |
| Office of Government Ethics:   |              | Office of Personnel Management.....   | 1162     |
| Salaries and Expenses.....   | 1294         | Office of the Inspector General, Department of Health and Human Services.....                     | 478      |
| Office of Health Affairs.....  | 521          | Operation and Maintenance, Department of Defense—Military Programs.....                           | 251      |
| Office of Healthy Homes and Lead Hazard Control Personnel Compensation and Benefits..... | 594          | Postal Service, Postal Service.....   | 1303     |
| Office of Innovation and Improvement.....  | 328          | Railroad Retirement Board, Railroad Retirement Board.....   | 1311     |
| Office of Inspector General.....   | 69, 686, 959 | Small Business Administration.....  | 1176     |
| Office of Job Corps.....   | 753          | Social Security Administration.....   | 1190     |
| Office of Justice Programs.....  | 733          | Office of the National Coordinator for Health Information Technology.....                         | 470      |
| Office of Labor Management Standards.....  | 778          | Office of the National Science Board.....   | 1157     |
| Office of Lead Hazard Control and Healthy Homes.....                                     | 589          | Office of the Secretary.....  | 61, 894  |
| Office of Management and Budget.....   | 1122         | Office of the Solicitor.....  | 685      |
| Office of Medicare Hearings and Appeals.....   | 471          | Office of the Special Trustee for American Indians.....   | 687      |
| Office of Museum and Library Services: Grants and Administration.....                    | 1264         | Office of the United States Trade Representative.....   | 1124     |
| Office of National Drug Control Policy.....  | 1123         | Office of Vocational and Adult Education.....   | 336      |
| Office of Navajo and Hopi Indian Relocation:   |              | Office of Workers' Compensation Programs.....   | 769      |
| Salaries and Expenses.....   | 1295         | Offshore Safety and Environmental Enforcement.....  | 622      |
| Office of Personnel Management:  |              |   |          |
| Civil Service Retirement and Disability Fund.....  | 1168         |   |          |
| Employees and Retired Employees Health Benefits Funds.....                               | 1172         |   |          |
| Employees Life Insurance Fund.....   | 1171         |   |          |
| Flexible Benefits Plan Reserve.....  | 1165         |   |          |
| General Fund Receipt Account.....  | 1173         |   |          |
| Government Payment for Annuity, Employee Life Insurance.....                             | 1164         |   |          |
| Government Payment for Annuity, Employees Health Benefits.....                           | 1163         |   |          |

|   | Page      |  | Page |
|---|-----------|--|------|
| Oil Spill Liability Trust Fund.....                             | 516       | Other Defense Civil Programs—Continued                             |      |
| Oil Spill Research.....   | 623       | Educational Benefits.....  | 1090 |
| Open World Leadership Center Trust Fund.....                    | 44        | Foreign Currency Fluctuations Account.....                         | 1091 |
| Operating Expenses:   |           | Forest and Wildlife Conservation, Military Reservations.....       | 1094 |
| Agency for International Development, International Assistance  |           | General Fund Payment, Armed Forces Retirement Home.....            | 1092 |
| Programs.....   | 850       | Military Retirement Fund.....                                      | 1087 |
| Corporation for National and Community Service.....             | 1215      | Military Retirement.....   | 1087 |
| Executive Residence at the White House, Executive Office of the |           | Payment to Department of Defense Medicare-Eligible Retiree         |      |
| President.....  | 1117      | Health Care Fund.....  | 1088 |
| General Activities, General Services Administration.....        | 1135      | Payment to Military Retirement Fund.....                           | 1087 |
| Information Analysis and Infrastructure Protection, Department  |           | Retiree Health Care.....   | 1088 |
| of Homeland Security.....                                       | 536       | Salaries and Expenses (American Battle Monuments                   |      |
| International Reconstruction and Other Assistance, Department   |           | Commission).....   | 1090 |
| of Defense—Military Programs.....                               | 268       | Salaries and Expenses (Cemeterial Expenses).....                   | 1093 |
| National Archives and Records Administration.....               | 1272      | Salaries and Expenses (Selective Service System).....              | 1094 |
| Office of the Inspector General, Department of Homeland         |           | Selective Service System.....                                      | 1094 |
| Security.....   | 487       | Wildlife Conservation.....   | 1094 |
| United States Coast Guard, Department of Homeland               |           | Other DOD Trust Funds.....   | 312  |
| Security.....   | 508       | Other Federal Drug Control Programs.....                           | 1251 |
| United States Institute of Peace, United States Institute of    |           | Other Independent Agencies:  |      |
| Peace.....  | 1328      | Access Board.....  | 1199 |
| United States Secret Service, Department of Homeland            |           | Administrative Conference of the United States.....                | 1199 |
| Security.....   | 489       | Advisory Council on Historic Preservation.....                     | 1200 |
| Operating Fund.....   | 1277      | Affordable Housing Program.....                                    | 1200 |
| Operating Subsidy Grants to the National Railroad Passenger     |           | Appalachian Regional Commission.....                               | 1201 |
| Corporation.....  | 935       | Barry Goldwater Scholarship and Excellence in Education            |      |
| Operating-differential Subsidies.....                           | 963       | Foundation.....  | 1202 |
| Operation and Maintenance of Quarters.....                      | 671       | Broadcasting Board of Governors.....                               | 1202 |
| Operation and Maintenance, Air Force Reserve.....               | 254       | Bureau of Consumer Financial Protection.....                       | 1205 |
| Operation and Maintenance, Air Force.....                       | 249       | Central Intelligence Agency.....                                   | 1207 |
| Operation and Maintenance, Air National Guard.....              | 256       | Chemical Safety and Hazard Investigation Board.....                | 1207 |
| Operation and Maintenance, Alaska Power Administration.....     | 403       | Christopher Columbus Fellowship Foundation.....                    | 1208 |
| Operation and Maintenance, Army National Guard.....             | 255       | Civilian Property Realignment Board.....                           | 1209 |
| Operation and Maintenance, Army Reserve.....                    | 252       | Commission of Fine Arts.....                                       | 1210 |
| Operation and Maintenance, Army.....                            | 247       | Commission on Civil Rights.....                                    | 1210 |
| Operation and Maintenance, Defense-wide.....                    | 250       | Committee for Purchase from People Who Are Blind or Severely       |      |
| Operation and Maintenance, Marine Corps Reserve.....            | 254       | Disabled.....  | 1211 |
| Operation and Maintenance, Marine Corps.....                    | 249       | Commodity Futures Trading Commission.....                          | 1212 |
| Operation and Maintenance, Navy Reserve.....                    | 253       | Consumer Product Safety Commission.....                            | 1214 |
| Operation and Maintenance, Navy.....                            | 248       | Corporation for National and Community Service.....                | 1215 |
| Operation and Maintenance, Southeastern Power                   |           | Corporation for Public Broadcasting.....                           | 1219 |
| Administration.....   | 403       | Corporation for Travel Promotion.....                              | 1220 |
| Operation and Maintenance, Southwestern Power                   |           | Council of the Inspectors General on Integrity and Efficiency..... | 1220 |
| Administration.....   | 404       | Court Services and Offender Supervision Agency for the District    |      |
| Operation and Maintenance.....                                  | 247, 1074 | of Columbia.....   | 1221 |
| Operation of Indian Programs.....                               | 665       | Defense Nuclear Facilities Safety Board.....                       | 1223 |
| Operation of the National Park System.....                      | 656       | Delta Regional Authority.....                                      | 1223 |
| Operational Expenses.....                                       | 956       | Denali Commission.....   | 1224 |
| Operational Test and Evaluation, Defense.....                   | 288       | District of Columbia.....  | 1225 |
| Operations and Administration.....                              | 208, 210  | Election Assistance Commission.....                                | 1233 |
| Operations and Maintenance, JFK Center for the Performing       |           | Electric Reliability Organization.....                             | 1234 |
| Arts.....   | 1320      | Equal Employment Opportunity Commission.....                       | 1234 |
| Operations and Maintenance.....                                 | 954       | Export-Import Bank of the United States.....                       | 1236 |
| Operations and Research (Transportation Trust Fund).....        | 930       | Farm Credit Administration.....                                    | 1241 |
| Operations and Research.....                                    | 929       | Farm Credit System Insurance Corporation.....                      | 1242 |
| Operations and Training.....                                    | 960       | Federal Communications Commission.....                             | 1243 |
| Operations Support.....   | 1013      | Federal Deposit Insurance Corporation.....                         | 1247 |
| Operations, Research, and Facilities.....                       | 211       | Federal Drug Control Programs.....                                 | 1251 |
| Operations.....   | 904       | Federal Election Commission.....                                   | 1252 |
| Orderly Liquidation Fund.....                                   | 1249      | Federal Financial Institutions Examination Council.....            | 1253 |
| Oregon and California Grant Lands.....                          | 609       | Federal Housing Finance Agency.....                                | 1254 |
| Other Assisted Housing Programs.....                            | 569       | Federal Labor Relations Authority.....                             | 1256 |
| Other Commissions and Boards.....                               | 1297      | Federal Maritime Commission.....                                   | 1257 |
| Other Defense Activities.....                                   | 375       | Federal Mediation and Conciliation Service.....                    | 1258 |
| Other Defense Civil Programs:                                   |           | Federal Mine Safety and Health Review Commission.....              | 1259 |
| American Battle Monuments Commission.....                       | 1090      | Federal Retirement Thrift Investment Board.....                    | 1260 |
| Armed Forces Retirement Home.....                               | 1092      | Federal Trade Commission.....                                      | 1261 |
| Cemeterial Expenses.....  | 1093      | Harry S Truman Scholarship Foundation.....                         | 1262 |
| Contributions.....  | 1091      | Independent Payment Advisory Board.....                            | 1263 |
| Department of Defense Medicare-Eligible Retiree Health Care     |           | Indian Law and Order Commission.....                               | 1263 |
| Fund.....   | 1088      | Institute of American Indian and Alaska Native Culture and Arts    |      |
| Education Benefits Fund.....                                    | 1090      | Development.....   | 1263 |

|   | Page |   | Page |
|---|------|---|------|
| Other Independent Agencies—Continued                              |      | <b>P</b>  |      |
| Institute of Museum and Library Services.....                     | 1264 | P.L. 480 Direct Credit Financing Account.....                     | 170  |
| Intelligence Community Management Account.....                    | 1265 | Pacific Coastal Salmon Recovery.....                              | 214  |
| International Trade Commission.....                               | 1265 | Pakistan Counterinsurgency Capability Fund.....                   | 830  |
| James Madison Memorial Fellowship Foundation.....                 | 1266 | Pakistan Counterinsurgency Fund.....                              | 265  |
| Japan-United States Friendship Commission.....                    | 1267 | Panama Canal Commission Compensation Fund.....                    | 774  |
| Legal Services Corporation.....                                   | 1268 | Park Partnership Project Grants.....                              | 657  |
| Marine Mammal Commission.....                                     | 1268 | Patient-Centered Outcomes Research Trust Fund:                    |      |
| Merit Systems Protection Board.....                               | 1269 | Payment to the Patient-Centered Outcomes Research Trust           |      |
| Morris K. Udall and Stewart L. Udall Foundation.....              | 1270 | Fund.....   | 1298 |
| National Archives and Records Administration.....                 | 1272 | Pay for Success.....  | 978  |
| National Capital Planning Commission.....                         | 1276 | Payment in Lieu of Tax Credit for New Jobs and Wage               |      |
| National Council on Disability.....                               | 1277 | Increases.....  | 1019 |
| National Credit Union Administration.....                         | 1277 | Payment in Lieu of Tax Credits for Promise Zones.....             | 1019 |
| National Endowment for the Arts.....                              | 1282 | Payment of Government Losses in Shipment.....                     | 998  |
| National Endowment for the Humanities.....                        | 1283 | Payment to Civil Service Retirement and Disability Fund.....      | 1164 |
| National Infrastructure Bank.....                                 | 1284 | Payment to Department of Defense Medicare-Eligible Retiree Health |      |
| National Labor Relations Board.....                               | 1286 | Care Fund.....  | 1088 |
| National Mediation Board.....                                     | 1287 | Payment to Foreign Service Retirement and Disability Fund.....    | 806  |
| National Railroad Passenger Corporation Office of Inspector       |      | Payment to FRA for AMTRAK Debt Restructuring.....                 | 1001 |
| General.....  | 1288 | Payment to Issuer of New Clean Renewable Energy Bonds.....        | 1021 |
| National Transportation Safety Board.....                         | 1288 | Payment to Issuer of Qualified Energy Conservation Bonds.....     | 1021 |
| Neighborhood Reinvestment Corporation.....                        | 1289 | Payment to Issuer of Qualified School Construction Bonds.....     | 1021 |
| Northern Border Regional Commission.....                          | 1290 | Payment to Issuer of Qualified Zone Academy Bonds.....            | 1022 |
| Nuclear Regulatory Commission.....                                | 1291 | Payment to Judiciary Trust Funds.....                             | 56   |
| Nuclear Waste Technical Review Board.....                         | 1293 | Payment to Legal Services Corporation.....                        | 1268 |
| Occupational Safety and Health Review Commission.....             | 1293 | Payment to Manufactured Housing Fees Trust Fund.....              | 570  |
| Office of Government Ethics.....                                  | 1294 | Payment to Military Retirement Fund.....                          | 1087 |
| Office of Navajo and Hopi Indian Relocation.....                  | 1295 | Payment to National Service Trust Fund.....                       | 1216 |
| Office of Special Counsel.....                                    | 1295 | Payment to Neighborhood Reinvestment Corporation.....             | 1289 |
| Office of the Federal Coordinator for Alaska Natural Gas          |      | Payment to Open World Leadership Center Trust Fund.....           | 42   |
| Transportation Projects.....                                      | 1296 | Payment to Postal Service Fund.....                               | 1298 |
| Other Commissions and Boards.....                                 | 1297 | Payment to Radiation Exposure Compensation Trust Fund.....        | 720  |
| Patient-Centered Outcomes Research Trust Fund.....                | 1298 | Payment to Standard Setting Body.....                             | 1317 |
| Postal Service.....   | 1298 | Payment to the American Institute in Taiwan.....                  | 805  |
| Presidio Trust.....   | 1305 | Payment to the Asia Foundation.....                               | 821  |
| Privacy and Civil Liberties Oversight Board.....                  | 1305 | Payment to the Cheyenne River Sioux Tribal Recovery Trust         |      |
| Public Company Accounting Oversight Board.....                    | 1317 | Fund.....   | 998  |
| Railroad Retirement Board.....                                    | 1306 | Payment to the Christopher Columbus Fellowship Foundation.....    | 1208 |
| Recovery Accountability and Transparency Board.....               | 1312 | Payment to the Harry S Truman Scholarship Memorial Trust          |      |
| Securities and Exchange Commission.....                           | 1313 | Fund.....   | 1262 |
| Securities Investor Protection Corporation.....                   | 1318 | Payment to the Hazardous Substance Superfund.....                 | 1107 |
| Smithsonian Institution.....                                      | 1318 | Payment to the Institute.....                                     | 1263 |
| Standard Setting Body.....  | 1317 | Payment to the Patient-Centered Outcomes Research Trust           |      |
| State Justice Institute.....                                      | 1323 | Fund.....   | 1298 |
| Telecommunications Development Fund.....                          | 1323 | Payment to the Resolution Funding Corporation.....                | 997  |
| Tennessee Valley Authority.....                                   | 1323 | Payment to the Santee Sioux Tribe Development Trust Fund.....     | 997  |
| United Mine Workers of America Benefit Funds.....                 | 1325 | Payment to the Transportation Trust Fund.....                     | 915  |
| United States Court of Appeals for Veterans Claims.....           | 1326 | Payment to the Yankton Sioux Tribe Development Trust Fund.....    | 997  |
| United States Enrichment Corporation Fund.....                    | 1327 | Payment Where Adoption Credit Exceeds Liability for Tax.....      | 1022 |
| United States Holocaust Memorial Museum.....                      | 1328 | Payment Where Alternative Minimum Tax Credit Exceeds Liability    |      |
| United States Institute of Peace.....                             | 1328 | for Tax.....  | 1018 |
| United States Interagency Council on Homelessness.....            | 1329 | Payment Where American Opportunity Credit Exceeds Liability for   |      |
| Vietnam Education Foundation.....                                 | 1330 | Tax.....  | 1020 |
| Other Legislative Branch Boards and Commissions.....              | 41   | Payment Where Certain Tax Credits Exceed Liability for Corporate  |      |
| Other Permanent Appropriations.....                               | 663  | Tax.....  | 1019 |
| Other Procurement, Air Force.....                                 | 280  | Payment Where Child Tax Credit Exceeds Liability for Tax.....     | 1017 |
| Other Procurement, Army.....                                      | 272  | Payment Where COBRA Credit Exceeds Liability for Tax.....         | 1017 |
| Other Procurement, Navy.....                                      | 276  | Payment Where Earned Income Credit Exceeds Liability for          |      |
| Other.....  | 814  | Tax.....  | 1016 |
| Overseas Contingency Operations Transfer Fund.....                | 257  | Payment Where Health Coverage Tax Credit Exceeds Liability for    |      |
| Overseas Contractors Compensation Act (OCCA).....                 | 772  | Tax.....  | 1017 |
| Overseas Humanitarian, Disaster, and Civic Aid.....               | 262  | Payment Where Making Work Pay Credit Exceeds Liability for        |      |
| Overseas Military Facility Investment Recovery.....               | 268  | Tax.....  | 1020 |
| Overseas Private Investment Corporation Direct Loan Financing     |      | Payment Where Small Business Health Insurance Tax Credit Exceeds  |      |
| Account.....  | 867  | Liability for Tax.....  | 1018 |
| Overseas Private Investment Corporation Guaranteed Loan Financing |      | Payment Where Tax Credit for Certain Government Retirees Exceeds  |      |
| Account.....  | 868  | Liability for Tax.....  | 1019 |
| Overseas Private Investment Corporation Liquidating Account.....  | 869  | Payment Where Tax Credit to Aid First-Time Homebuyers Exceeds     |      |
| Overseas Private Investment Corporation Noncredit Account.....    | 865  | Liability for Tax.....  | 1018 |
| Overseas Private Investment Corporation Program Account.....      | 866  | Payments for Foster Care and Permanency.....                      | 466  |
| Overseas Private Investment Corporation.....                      | 865  | Payments in Lieu of Taxes.....                                    | 690  |



|  | Page |   | Page     |
|--|------|---|----------|
| Payments to:   |      | Procurement, Marine Corps.....  | 277      |
| Administration for Children and Families, Department of Health and Human Services.....   | 458  | Procurement.....  | 269      |
| Administration for Children and Families, Department of Health and Human Services.....   | 462  | Program Administration.....   | 363, 761 |
| Agricultural Marketing Service, Department of Agriculture.....                           | 91   | Program Expenses.....   | 1260     |
| Centers for Medicare and Medicaid Services, Department of Health and Human Services..... | 441  | Program Integrity Administrative Expenses.....  | 1196     |
| Customs and Border Protection, Department of Homeland Security.....                      | 506  | Program Management.....   | 443      |
| Employment and Training Administration, Department of Labor.....                         | 760  | Program Support Center.....   | 475      |
| Energy Programs, Department of Energy.....   | 395  | Project-based Rental Assistance.....  | 546      |
| Insular Affairs, Department of the Interior.....   | 683  | Promote and Develop Fishery Products and Research Pertaining to American Fisheries..... | 216      |
| Library of Congress, Legislative Branch.....   | 29   | Property Management Fund.....   | 854      |
| Office of Surface Mining Reclamation and Enforcement, Department of the Interior.....    | 626  | Protection of Foreign Missions and Officials.....                                       | 804      |
| Office of the Secretary, Department of Transportation.....                               | 903  | Public and Indian Housing Personnel Compensation and Benefits.....                      | 591      |
| Social Security Administration.....  | 1187 | Public and Indian Housing Programs.....   | 543      |
| Peace Corps Miscellaneous Trust Fund.....  | 871  | Public Company Accounting Oversight Board.....  | 1317     |
| Peace Corps.....   | 870  | Public Defender Service for the District of Columbia.....                               | 1222     |
| Peacekeeping Operations.....   | 831  | Public Enterprise Fund, Comptroller of the Currency.....                                | 1026     |
| Pennsylvania Station Redevelopment Project.....  | 934  | Public Health and Social Services Emergency Fund.....                                   | 471      |
| Pension Benefit Guaranty Corporation Fund.....   | 767  | Public Housing Capital Fund.....  | 547      |
| Pension Benefit Guaranty Corporation.....  | 767  | Public Housing Operating Fund.....  | 548      |
| Pentagon Reservation Maintenance Revolving Fund.....                                     | 304  | Public Law 480 Title I Direct Credit and Food for Progress Program Account.....         | 170      |
| Periodic Censuses and Programs.....  | 205  | Public Law 480 Title I Ocean Freight Differential Grants.....                           | 168      |
| Perishable Agricultural Commodities Act Fund.....  | 92   | Public Safety Officer Benefits.....   | 745      |
| Permanent Appropriations.....  | 1081 | Public Safety Trust Fund.....   | 230      |
| Permanent Operating Funds.....   | 613  | Public Telecommunications Facilities, Planning and Construction.....                    | 227      |
| Permanent Supportive Housing.....  | 562  | Public Transportation Emergency Relief Program.....                                     | 949      |
| Pesticide Registration Fund.....   | 1107 |   |          |
| Pipeline and Hazardous Materials Safety Administration.....                              | 955  | <b>Q</b>  |          |
| Pipeline Safety.....   | 956  | Quality Improvement Organizations.....  | 442      |
| Policy and Administration.....   | 631  |   |          |
| Policy Development and Research Personnel Compensation and Benefits.....                 | 593  | <b>R</b>  |          |
| Policy Development and Research.....   | 587  | Race to the Top for Energy Efficiency and Grid Modernization.....                       | 380      |
| Pollution Control Equipment Fund Liquidating Account.....                                | 1185 | Radiation Exposure Compensation Trust Fund.....   | 721      |
| Port of Guam Improvement Enterprise Fund.....  | 966  | Radiation Exposure Compensation.....  | 720      |
| Portfolio Programs.....  | 1337 | Radiological Emergency Preparedness Program.....  | 525      |
| Post-Vietnam Era Veterans Education Account.....   | 1057 | Rail Industry Pension Fund.....   | 1308     |
| Postal Regulatory Commission.....  | 1304 | Rail Line Relocation and Improvement Program.....                                       | 940      |
| Postal Service Fund.....   | 1299 | Rail Service Improvement Program.....   | 944      |
| Postal Service Retiree Health Benefits Fund.....   | 1166 | Railroad Rehabilitation and Improvement Direct Loan Financing Account.....              | 941      |
| Postal Service:  |      | Railroad Rehabilitation and Improvement Program.....                                    | 940      |
| Office of Inspector General of the United States Postal Service.....                     | 1303 | Railroad Research and Development.....  | 933      |
| Payment to Postal Service Fund.....  | 1298 | Railroad Research, Development, and Technology.....                                     | 943      |
| Postal Regulatory Commission.....  | 1304 | Railroad Retirement Board:  |          |
| Postal Service Fund.....   | 1299 | Administrative Expenses, Railroad Unemployment Insurance Extended Benefit Payments..... | 1307     |
| Unspecified Adjustments to Operations.....   | 1302 | Administrative Expenses, Recovery Act.....  | 1307     |
| Power Marketing Administration.....  | 403  | Dual Benefits Payments Account.....   | 1306     |
| Pre-Existing Condition Insurance Plan Program.....                                       | 446  | Economic Recovery Payments.....   | 1307     |
| Pregnancy Assistance Fund.....   | 474  | Federal Payments to the Railroad Retirement Accounts.....                               | 1306     |
| Presidential Election Campaign Fund.....   | 977  | Limitation on Administration, Recovery Act.....   | 1310     |
| Presidential Transition.....   | 1127 | Limitation on Administration.....   | 1309     |
| Presidio Trust Guaranteed Loan Financing Account.....                                    | 1305 | Limitation on the Office of Inspector General.....                                      | 1311     |
| Presidio Trust:  |      | National Railroad Retirement Investment Trust.....                                      | 1310     |
| Presidio Trust Guaranteed Loan Financing Account.....                                    | 1305 | Rail Industry Pension Fund.....   | 1308     |
| Prevention and Public Health Fund.....   | 474  | Railroad Social Security Equivalent Benefit Account.....                                | 1311     |
| Prevention and Wellness Fund, Recovery Act.....  | 473  | Railroad Unemployment Insurance Extended Benefit Payments, Recovery Act.....            | 1308     |
| Privacy and Civil Liberties Oversight Board:   |      | Railroad Unemployment Insurance Extended Benefit Payments.....                          | 1307     |
| Salaries and Expenses.....   | 1305 | Railroad Unemployment Insurance Trust Fund.....   | 1308     |
| Private Collection Agent Program.....  | 1024 | Railroad Safety Technology Program.....   | 940      |
| Private Stewardship Grants.....  | 648  | Railroad Social Security Equivalent Benefit Account.....                                | 1311     |
| Procurement of Ammunition, Air Force.....  | 280  | Railroad Unemployment Insurance Extended Benefit Payments, Recovery Act.....            | 1308     |
| Procurement of Ammunition, Army.....   | 271  | Railroad Unemployment Insurance Extended Benefit Payments.....                          | 1307     |
| Procurement of Ammunition, Navy and Marine Corps.....                                    | 275  | Railroad Unemployment Insurance Trust Fund.....   | 1308     |
| Procurement of Weapons and Tracked Combat Vehicles, Army.....                            | 271  | Range Betterment Fund.....  | 186      |
| Procurement, Acquisition and Construction.....   | 213  | Range Improvements.....   | 611      |
| Procurement, Defense-wide.....   | 281  | Rate Review Grants.....   | 446      |

|  | Page     |  | Page       |
|--|----------|--|------------|
| Readiness, Mitigation, Response, and Recovery.....                             | 526      | Revolving Fund for Loans Liquidating Account.....                                    | 672        |
| Readjustment Benefits.....   | 1045     | Revolving Fund.....  | 1081, 1167 |
| Ready Reserve Force.....   | 964      | Right-of-way Revolving Fund Liquidating Account.....                                 | 919        |
| Real Property Activities.....  | 1129     | Risk Management Agency.....  | 95         |
| Real Property Relocation.....  | 1132     | Rivers and Harbors Contributed Funds.....  | 1083       |
| Reclamation Fund.....  | 631      | RRIF Guaranteed Loan Financing Account.....  | 941        |
| Reclamation Trust Funds.....   | 637      | Rural Business and Cooperative Grants.....   | 142        |
| Reclamation Water Settlements Fund.....  | 630      | Rural Business and Industry Direct Loans Financing Account.....                      | 146        |
| Records Center Revolving Fund.....   | 1274     | Rural Business and Industry Guaranteed Loans Financing Account.....                  | 147        |
| Recovery Accountability and Transparency Board:                                |          | Rural Business Investment Program Account.....                                       | 151        |
| Recovery Act Accountability and Transparency Board, Recovery Act.....          | 1312     | Rural Business Investment Program Guarantee Financing Account.....                   | 151        |
| Recovery Act Accountability and Transparency Board.....                        | 1312     | Rural Business Program Account.....  | 145        |
| Recovery Act Accountability and Transparency Board, Recovery Act.....          | 1312     | Rural Business—Cooperative Service.....  | 142        |
| Recreation Enhancement Fee Program, FWS.....                                   | 652      | Rural Communication Development Fund Liquidating Account.....                        | 165        |
| Recreation Fee Permanent Appropriations.....                                   | 661      | Rural Community Advancement Program.....   | 130        |
| Reduced Cost Sharing for Individuals Enrolling in Qualified Health Plans.....  | 448      | Rural Community Facilities Program Account.....                                      | 134        |
| Reforestation Pilot Program.....   | 101      | Rural Community Facility Direct Loans Financing Account.....                         | 135        |
| Refugee and Entrant Assistance.....  | 460      | Rural Community Facility Guaranteed Loans Financing Account.....                     | 136        |
| Refundable Premium Assistance Tax Credit.....                                  | 1023     | Rural Cooperative Development Grants.....  | 143        |
| Refunding Internal Revenue Collections, Interest.....                          | 1023     | Rural Development Insurance Fund Liquidating Account.....                            | 164        |
| Refunds, Transfers, and Expenses of Operation, Puerto Rico.....                | 505      | Rural Development Loan Fund Direct Loan Financing Account.....                       | 148        |
| Registry Administration.....   | 54       | Rural Development Loan Fund Liquidating Account.....                                 | 149        |
| Registry Fees.....   | 1254     | Rural Development Loan Fund Program Account.....                                     | 148        |
| Regulation and Technology.....   | 624      | Rural Development.....   | 129        |
| Regulatory Program.....  | 1078     | Rural Economic Development Direct Loan Financing Account.....                        | 150        |
| Rehabilitation Services and Disability Research.....                           | 333      | Rural Economic Development Grants.....   | 143        |
| Reimbursements to Federal Reserve Banks.....                                   | 997      | Rural Economic Development Loans Program Account.....                                | 149        |
| Rental Assistance Demonstration.....   | 543      | Rural Electrification and Telecommunications Direct Loan Financing Account.....      | 159        |
| Rental Assistance Program.....   | 131      | Rural Electrification and Telecommunications Guaranteed Loans Financing Account..... | 160        |
| Rental Housing Assistance Fund.....  | 572      | Rural Electrification and Telecommunications Liquidating Account.....                | 160        |
| Repair, Restoration, and Renovation of Buildings, National Gallery of Art..... | 1322     | Rural Electrification and Telecommunications Loans Program Account.....              | 157        |
| Repairs and Restoration.....   | 1273     | Rural Energy for America Guaranteed Loan Financing Account.....                      | 152        |
| Repatriation Loans Financing Account.....                                      | 808      | Rural Energy for America Program.....  | 151        |
| Repatriation Loans Program Account.....  | 807      | Rural Housing and Economic Development.....  | 562        |
| Representation Allowances.....   | 804      | Rural Housing Assistance Grants.....   | 130        |
| Reregistration and Expedited Processing Revolving Fund.....                    | 1108     | Rural Housing Insurance Fund Direct Loan Financing Account.....                      | 139        |
| Research and Development.....  | 894      | Rural Housing Insurance Fund Guaranteed Loan Financing Account.....                  | 140        |
| Research and Education Activities.....   | 79       | Rural Housing Insurance Fund Liquidating Account.....                                | 141        |
| Research and Related Activities.....   | 1155     | Rural Housing Insurance Fund Program Account.....                                    | 137        |
| Research and Technology.....   | 587      | Rural Housing Service.....   | 130        |
| Research and University Research Centers.....                                  | 948      | Rural Microenterprise Investment Direct Loan Financing Account.....                  | 144        |
| Research, Development, Acquisitions and Operations.....                        | 533      | Rural Microenterprise Investment Program Account.....                                | 144        |
| Research, Development, and Operations.....                                     | 535      | Rural Telephone Bank Direct Loan Financing Account.....                              | 162        |
| Research, Development, Test and Evaluation, Air Force.....                     | 287      | Rural Telephone Bank Program Account.....  | 161        |
| Research, Development, Test and Evaluation, Army.....                          | 285      | Rural Utilities Service.....   | 155        |
| Research, Development, Test and Evaluation, Defense-wide.....                  | 287      | Rural Water and Waste Disposal Direct Loans Financing Account.....                   | 156        |
| Research, Development, Test and Evaluation, Navy.....                          | 286      | Rural Water and Waste Disposal Program Account.....                                  | 155        |
| Research, Development, Test, and Evaluation.....                               | 285, 511 | Rural Water and Waste Water Disposal Guaranteed Loans Financing Account.....         | 157        |
| Research, Engineering and Development (Airport and Airway Trust Fund).....     | 911      |  |            |
| Research, Evaluation, and Statistics.....                                      | 733      |  |            |
| Research, Training, and Human Resources.....                                   | 946      |  |            |
| Reserve Personnel, Air Force.....  | 243      |  |            |
| Reserve Personnel, Army.....   | 239      |  |            |
| Reserve Personnel, Marine Corps.....   | 242      |  |            |
| Reserve Personnel, Navy.....   | 241      |  |            |
| Reserve Training.....  | 509      |  |            |
| Resolution Funding Corporation.....  | 1335     |  |            |
| Resource Conservation and Development.....                                     | 128      |  |            |
| Resource Management.....   | 642      |  |            |
| Restitution of Forgone Interest.....   | 1000     |  |            |
| Retired Pay.....   | 512      |  |            |
| Retiree Health Care.....   | 1088     |  |            |
| Retirement Pay and Medical Benefits for Commissioned Officers.....             | 475      |  |            |
| Revitalization of Severely Distressed Public Housing (HOPE VI).....            | 550      |  |            |
| Revolving and Management Funds.....  | 303      |  |            |
| Revolving Fund (liquidating Programs).....                                     | 563      |  |            |
| Revolving Fund for Certification and Other Services.....                       | 419      |  |            |

## S

|   |      |
|---|------|
| Safety and Operations.....  | 933  |
| Saint Lawrence Seaway Development Corporation.....  | 953  |
| Salaries and Expenses:  |      |
| Access Board.....   | 1199 |
| Administrative Conference of the United States, Administrative Conference of the United States..... | 1199 |
| Administrative Office of the United States Courts, Judicial Branch.....                             | 55   |
| Advisory Council on Historic Preservation, Advisory Council on Historic Preservation.....           | 1200 |
| Agricultural Research Service, Department of Agriculture.....                                       | 73   |
| Alcohol and Tobacco Tax and Trade Bureau, Department of the Treasury.....                           | 1006 |

|   | Page                    |   | Page             |
|---|-------------------------|---|------------------|
| Salaries and Expenses—Continued   |                         | Salaries and Expenses—Continued   |                  |
| American Battle Monuments Commission, Other Defense Civil Programs.....                 | 1090                    | Marine Mammal Commission.....   | 1268             |
| Animal and Plant Health Inspection Service, Department of Agriculture.....              | 83                      | Merit Systems Protection Board.....   | 1269             |
| Bureau of Alcohol, Tobacco, Firearms, and Explosives, Department of Justice.....        | 727                     | Mine Safety and Health Administration, Department of Labor.....   | 781              |
| Bureau of Labor Statistics, Department of Labor.....                                    | 782                     | National Capital Planning Commission.....   | 1276             |
| Bureau of the Census, Department of Commerce.....                                       | 204                     | National Council on Disability.....   | 1277             |
| Cemeterial Expenses, Other Defense Civil Programs.....                                  | 1093                    | National Indian Gaming Commission, Department of the Interior.....                                      | 689              |
| Civilian Property Realignment Board, Civilian Property Realignment Board.....           | 1209                    | National Labor Relations Board.....   | 1286             |
| Commission of Fine Arts.....  | 1210                    | National Mediation Board.....   | 1287             |
| Commission on Civil Rights.....   | 1210                    | National Railroad Passenger Corporation Office of Inspector General.....                                | 1288             |
| Committee for Purchase from People Who Are Blind or Severely Disabled.....              | 1211                    | National Security Council and Homeland Security Council, Executive Office of the President.....         | 1121             |
| Congressional Budget Office, Legislative Branch.....                                    | 17                      | National Security Division, Department of Justice.....  | 720              |
| Consumer Product Safety Commission.....   | 1214                    | National Telecommunications and Information Administration, Department of Commerce.....                 | 226              |
| Corporation for National and Community Service.....                                     | 1217                    | National Transportation Safety Board.....   | 1288             |
| Council of Economic Advisers, Executive Office of the President.....                    | 1119                    | Nuclear Regulatory Commission.....  | 1291             |
| Courts of Appeals, District Courts, and other Judicial Services, Judicial Branch.....   | 49                      | Nuclear Waste Technical Review Board.....   | 1293             |
| Defense Nuclear Facilities Safety Board.....  | 1223                    | Occupational Safety and Health Administration, Department of Labor.....                                 | 779              |
| Departmental Management, Department of Commerce.....                                    | 199                     | Occupational Safety and Health Review Commission, Occupational Safety and Health Review Commission..... | 1293             |
| Departmental Management, Department of Labor.....                                       | 784                     | Office of Administration, Executive Office of the President.....  | 1121             |
| Departmental Offices, Department of the Interior.....                                   | 675                     | Office of Compliance, Legislative Branch.....   | 17               |
| Departmental Offices, Department of the Treasury.....                                   | 971                     | Office of Federal Contract Compliance Programs, Department of Labor.....                                | 778              |
| Drug Enforcement Administration, Department of Justice.....                             | 724                     | Office of Government Ethics, Office of Government Ethics.....   | 1294             |
| Economic Development Administration, Department of Commerce.....                        | 202                     | Office of Inspector General, Department of the Interior.....  | 686              |
| Economics and Statistics Administration, Department of Commerce.....                    | 207                     | Office of Inspector General, Department of Transportation.....  | 959              |
| Election Assistance Commission, Election Assistance Commission.....                     | 1233                    | Office of Justice Programs, Department of Justice.....  | 735              |
| Employee Benefits Security Administration, Department of Labor.....                     | 766                     | Office of Labor Management Standards, Department of Labor.....  | 778              |
| Employment Standards Administration, Department of Labor.....                           | 769                     | Office of Navajo and Hopi Indian Relocation.....  | 1295             |
| Equal Employment Opportunity Commission.....  | 1234                    | Office of Personnel Management.....   | 1161             |
| Farm Service Agency, Department of Agriculture.....                                     | 98                      | Office of Special Counsel, Office of Special Counsel.....   | 1295             |
| Federal Bureau of Investigation, Department of Justice.....                             | 722                     | Office of the Secretary, Department of Transportation.....  | 895              |
| Federal Communications Commission.....  | 1243                    | Office of the Solicitor, Department of the Interior.....  | 685              |
| Federal Election Commission.....  | 1252                    | Office of Workers' Compensation Programs, Department of Labor.....                                      | 769              |
| Federal Emergency Management Agency, Department of Homeland Security.....               | 527                     | Privacy and Civil Liberties Oversight Board.....  | 1305             |
| Federal Judicial Center, Judicial Branch.....   | 56                      | Rural Development, Department of Agriculture.....   | 129              |
| Federal Labor Relations Authority.....  | 1256                    | Securities and Exchange Commission.....   | 1313             |
| Federal Law Enforcement Training Center, Department of Homeland Security.....           | 496                     | Selective Service System, Other Defense Civil Programs.....   | 1094             |
| Federal Maritime Commission.....  | 1257                    | Small Business Administration.....  | 1175             |
| Federal Mediation and Conciliation Service.....   | 1258                    | Smithsonian Institution.....  | 1318, 1321, 1322 |
| Federal Mine Safety and Health Review Commission.....                                   | 1259                    | State Justice Institute, State Justice Institute.....   | 1323             |
| Federal Prison System, Department of Justice.....                                       | 729                     | Supreme Court of the United States, Judicial Branch.....  | 47               |
| Federal Trade Commission.....   | 1261                    | Surface Transportation Board, Department of Transportation.....   | 959              |
| Financial Crimes Enforcement Network, Department of the Treasury.....                   | 994                     | U.S. Patent and Trademark Office, Department of Commerce.....   | 221              |
| Fiscal Service, Department of the Treasury.....   | 995                     | United States Court of Appeals for the Federal Circuit, Judicial Branch.....                            | 48               |
| Food and Drug Administration, Department of Health and Human Services.....              | 417                     | United States Court of Appeals for Veterans Claims.....   | 1326             |
| Food Safety and Inspection Service, Department of Agriculture.....                      | 86                      | United States Court of International Trade, Judicial Branch.....  | 49               |
| Foreign Agricultural Service, Department of Agriculture.....                            | 165                     | United States Parole Commission, Department of Justice.....   | 708              |
| General Administration, Department of Justice.....                                      | 703                     | United States Sentencing Commission, Judicial Branch.....   | 58               |
| Government Accountability Office, Legislative Branch.....                               | 35                      | United States Tax Court, Legislative Branch.....  | 37               |
| Government Printing Office, Legislative Branch.....                                     | 33                      | Wage and Hour Division, Department of Labor.....  | 776              |
| Grain Inspection, Packers and Stockyards Administration, Department of Agriculture..... | 88                      | Salaries.....   | 15               |
| International Commissions, Department of State.....                                     | 811                     | San Joaquin Restoration Fund.....   | 633              |
| International Trade Commission.....   | 1265                    | Sanctuaries Enforcement Asset Forfeiture Fund.....  | 215              |
| Legal Activities and U.S. Marshals.....   | 709, 711, 712, 713, 716 | Santee Sioux Tribe Development Trust Fund.....  | 1003             |
| Library of Congress, Legislative Branch.....  | 25, 27, 28, 29          | School Readiness.....   | 322              |
| Management and Administration, Department of Housing and Urban Development.....         | 594                     | Science and Technology.....   | 533, 1098        |
|   |                         | Science, Aeronautics and Technology.....  | 1151             |
|   |                         | Science, Aeronautics, and Exploration.....  | 1150             |
|   |                         | Science, Space, and Technology Education Trust Fund.....  | 1152             |
|   |                         | Science.....  | 377, 1143        |
|   |                         | Scientific and Technical Research and Services.....   | 223              |
|   |                         | Section 241 Evaluation Transactions Account.....  | 475              |
|   |                         | Securities and Exchange Commission Reserve Fund.....  | 1315             |

|  | Page |   | Page |
|--|------|---|------|
| Securities and Exchange Commission:  |      | Special Benefits for Certain World War II Veterans.....                               | 1190 |
| General Fund Receipt Accounts.....   | 1317 | Special Benefits for Disabled Coal Miners.....  | 773  |
| Investor Protection Fund.....  | 1316 | Special Benefits.....   | 770  |
| Salaries and Expenses.....   | 1313 | Special Defense Acquisition Fund.....   | 881  |
| Securities and Exchange Commission Reserve Fund.....   | 1315 | Special Defense Repair Fund.....  | 305  |
| Securities Investor Protection Corporation.....  | 1318 | Special Education.....  | 332  |
| Security Enhancements.....   | 16   | Special Inspector General for the Troubled Asset Relief Program.....                  | 989  |
| Selective Service System.....  | 1094 | Special Recreation User Fee.....  | 1076 |
| Self-help Homeownership Opportunity Program.....   | 560  | Special Supplemental Nutrition Program for Women, Infants, and<br>Children (WIC)..... | 175  |
| Senate Office Buildings.....   | 20   | Special Workers' Compensation Expenses.....   | 775  |
| Senate.....  | 13   | Spectrum Auction Direct Loan Financing Account.....                                   | 1245 |
| Senior Unsecured Debt Guarantee.....   | 1248 | Spectrum Auction Program Account.....   | 1245 |
| September 11th Victim Compensation (general Fund).....                                       | 717  | Spectrum Relocation Fund.....   | 1126 |
| Service Charges, Deposits, and Forfeitures.....  | 612  | Sport Fish Restoration.....   | 654  |
| Service-disabled Veterans Insurance Fund.....  | 1049 | SPR Petroleum Account.....  | 390  |
| Servicemembers' Group Life Insurance Fund.....   | 1050 | Standard Setting Body:  |      |
| Ship Disposal.....   | 962  | Payment to Standard Setting Body.....   | 1317 |
| Shipbuilding and Conversion, Navy.....   | 276  | State and Local Implementation Fund.....  | 229  |
| Short Time Compensation Programs.....  | 760  | State and Local Law Enforcement Assistance.....                                       | 735  |
| Small Business Administration:   |      | State and Local Programs.....   | 523  |
| Business Direct Loan Financing Account.....  | 1179 | State and Private Forestry.....   | 181  |
| Business Guaranteed Loan Financing Account.....  | 1180 | State and Tribal Assistance Grants.....   | 1104 |
| Business Loan Fund Liquidating Account.....  | 1181 | State Fiscal Stabilization Fund, Recovery Act.....                                    | 328  |
| Business Loans Program Account.....  | 1177 | State Grants and Demonstrations.....  | 440  |
| Disaster Direct Loan Financing Account.....  | 1183 | State HFA Direct Loan Financing Account.....  | 993  |
| Disaster Loan Fund Liquidating Account.....  | 1185 | State Infrastructure Banks.....   | 914  |
| Disaster Loans Guaranteed Loan Financing Account.....  | 1184 | State Justice Institute:  |      |
| Disaster Loans Program Account.....  | 1182 | State Justice Institute: Salaries and Expenses.....                                   | 1323 |
| Office of Advocacy.....  | 1176 | State Mediation Grants.....   | 100  |
| Office of Inspector General.....   | 1176 | State Paid Leave Fund.....  | 763  |
| Pollution Control Equipment Fund Liquidating Account.....                                    | 1185 | State Small Business Credit Initiative.....   | 991  |
| Salaries and Expenses.....   | 1175 | State Supplemental Fees.....  | 1191 |
| Surety Bond Guarantees Revolving Fund.....   | 1177 | State Unemployment Insurance and Employment Service<br>Operations.....                | 756  |
| Small Business Lending Fund Financing Account.....   | 990  | State Wildlife Grants.....  | 644  |
| Small Business Lending Fund Program Account.....   | 990  | State, Department of:   |      |
| Smithsonian Institution:   |      | Administration of Foreign Affairs.....  | 795  |
| Capital Repair and Restoration, JFK Center for the Performing<br>Arts.....                   | 1321 | American Sections, International Commissions.....                                     | 813  |
| Facilities Capital.....  | 1319 | Andean Counterdrug Programs.....  | 820  |
| Legacy Fund.....   | 1320 | Buying Power Maintenance.....   | 805  |
| Operations and Maintenance, JFK Center for the Performing<br>Arts.....                       | 1320 | Capital Investment Fund.....  | 799  |
| Repair, Restoration, and Renovation of Buildings, National Gallery<br>of Art.....            | 1322 | Center for Middle Eastern-Western Dialogue Trust Fund.....                            | 825  |
| Salaries and Expenses, National Gallery of Art.....  | 1321 | Complex Crises Fund.....  | 818  |
| Salaries and Expenses, Woodrow Wilson International Center for<br>Scholars.....              | 1322 | Conflict Stabilization Operations.....  | 798  |
| Salaries and Expenses.....   | 1318 | Construction, IBWC (International Commissions).....                                   | 812  |
| Social Security Administration:  |      | Contributions for International Peacekeeping Activities.....                          | 811  |
| Administrative Costs, The Medicare Improvements for Patients<br>and Providers Act.....       | 1187 | Contributions to International Organizations.....                                     | 810  |
| Administrative Expenses, Children's Health Insurance<br>Program.....                         | 1188 | Democracy Fund.....   | 821  |
| Administrative Expenses, Recovery Act.....   | 1191 | Diplomatic and Consular Programs.....   | 795  |
| Federal Disability Insurance Trust Fund.....   | 1192 | East-West Center.....   | 822  |
| Federal Old-age and Survivors Insurance Trust Fund.....                                      | 1191 | Educational and Cultural Exchange Programs.....                                       | 801  |
| General Fund Receipt Accounts.....   | 1196 | Embassy Security, Construction, and Maintenance.....                                  | 802  |
| Limitation on Administrative Expenses.....   | 1194 | Emergencies in the Diplomatic and Consular Service.....                               | 804  |
| Office of the Inspector General.....   | 1190 | Fishermen's Guaranty Fund.....  | 824  |
| Payments to Social Security Trust Funds.....   | 1187 | Fishermen's Protective Fund.....  | 824  |
| Program Integrity Administrative Expenses.....   | 1196 | Foreign Service National Defined Contributions Retirement<br>Fund.....                | 806  |
| Special Benefits for Certain World War II Veterans.....                                      | 1190 | Foreign Service National Separation Liability Trust Fund.....                         | 809  |
| State Supplemental Fees.....   | 1191 | Foreign Service Retirement and Disability Fund.....                                   | 808  |
| Supplemental Security Income Program.....  | 1188 | General Fund Receipt Accounts.....  | 825  |
| Social Services Block Grant.....   | 463  | Global Health Programs.....   | 814  |
| South Dakota Terrestrial Wildlife Habitat Restoration Trust<br>Fund.....                     | 1085 | Global HIV/AIDS Initiative.....   | 814  |
| Space Operations.....  | 1148 | International Center, Washington, D.C.....  | 823  |
| Space Technology.....  | 1144 | International Commissions.....  | 811  |
| Special Assistance Initiatives.....  | 882  | International Fisheries Commissions.....  | 814  |
| Special Assistance to the President and the Official Residence of the<br>Vice President..... | 1119 | International Information Programs.....   | 798  |
|  |      | International Litigation Fund.....  | 822  |
|  |      | International Narcotics Control and Law Enforcement.....                              | 819  |
|  |      | International Organizations and Conferences.....                                      | 810  |
|  |      | Israeli Arab and Eisenhower Exchange Fellowship Programs.....                         | 824  |
|  |      | Middle East and North Africa Incentive Fund.....                                      | 816  |

|   | Page      |   | Page |
|---|-----------|---|------|
| State, Department of—Continued                                    |           | Tiger TIFIA Direct Loan Financing Account, Recovery Act.....        | 918  |
| Migration and Refugee Assistance.....                             | 817       | Title 17 Innovative Technology Direct Loan Financing Account.....   | 401  |
| Miscellaneous Trust Funds.....                                    | 810       | Title 17 Innovative Technology Guaranteed Loan Financing            |      |
| National Endowment for Democracy.....                             | 822       | Account.....  | 403  |
| Office of the Inspector General (Administration of Foreign        |           | Title 17 Innovative Technology Loan Guarantee Program.....          | 400  |
| Affairs).....   | 799       | Title VI Indian Federal Guarantees Financing Account.....           | 555  |
| Other.....  | 814       | Tobacco Trust Fund.....   | 121  |
| Payment to Foreign Service Retirement and Disability Fund.....    | 806       | Toxic Substances and Environmental Public Health, Agency for Toxic  |      |
| Payment to the American Institute in Taiwan.....                  | 805       | Substances and Disease Registry.....                                | 432  |
| Payment to the Asia Foundation.....                               | 821       | Trade Adjustment Assistance for Farmers.....                        | 167  |
| Protection of Foreign Missions and Officials.....                 | 804       | Trade and Development Agency.....                                   | 869  |
| Repatriation Loans Financing Account.....                         | 808       | Training and Employment Services.....                               | 751  |
| Repatriation Loans Program Account.....                           | 807       | Transfers from the Patient-Centered Outcomes Research Trust         |      |
| Representation Allowances.....                                    | 804       | Fund.....   | 473  |
| Salaries and Expenses, IBWC (International Commissions).....      | 811       | Transformation Initiative.....                                      | 596  |
| United States Emergency Refugee and Migration Assistance          |           | Transit Capital Assistance, Recovery Act.....                       | 951  |
| Fund.....   | 818       | Transit Cooperative Research Program.....                           | 950  |
| Working Capital Fund (Administration of Foreign Affairs).....     | 806       | Transit Formula Grants.....   | 952  |
| States Share from Certain Gulf of Mexico Leases.....              | 680       | Transition Initiatives.....   | 853  |
| Stewardship Contracting Product Sales.....                        | 187       | Transitional Housing Direct Loan Financing Account.....             | 1057 |
| Strategic Climate Fund.....                                       | 835       | Transportation Infrastructure Finance and Innovation Program Direct |      |
| Strategic Petroleum Reserve.....                                  | 390       | Loan Financing Account.....   | 915  |
| Student Aid Administration.....                                   | 345       | Transportation Infrastructure Finance and Innovation Program Line   |      |
| Student Financial Assistance Debt Collection.....                 | 348       | of Credit Financing Account.....                                    | 916  |
| Student Financial Assistance.....                                 | 343       | Transportation Infrastructure Finance and Innovation Program Loan   |      |
| Student Loan Acquisition Account.....                             | 358       | Guarantee Financing Account.....                                    | 916  |
| Substance Abuse and Mental Health Services Administration.....    | 436       | Transportation Planning, Research, and Development.....             | 900  |
| Supplemental Discretionary Grants for a National Surface          |           | Transportation Security Administration.....                         | 491  |
| Transportation System, Recovery Act.....                          | 898       | Transportation Security Support.....                                | 494  |
| Supplemental Nutrition Assistance Program.....                    | 173       | Transportation Threat Assessment and Credentialing.....             | 495  |
| Supplemental Payments to UMW Health Plans.....                    | 627       | Transportation Trust Fund.....                                      | 919  |
| Supplemental Security Income Program.....                         | 1188      | Transportation, Department of:                                      |      |
| Supply and Technology Activities.....                             | 1133      | Administrative Expenses.....  | 945  |
| Supply Fund.....  | 514, 1067 | Administrative Services Franchise Fund.....                         | 907  |
| Support for International Sporting Competitions.....              | 258       | Airport and Airway Trust Fund.....                                  | 908  |
| Supporting Healthy Families and Adolescent Development.....       | 460       | Appalachian Development Highway System (Transportation Trust        |      |
| Supporting Student Success.....                                   | 325       | Fund).....  | 923  |
| Supreme Court of the United States.....                           | 47        | Appalachian Development Highway System.....                         | 914  |
| Surcharge Collections, Sales of Commissary Stores, Defense.....   | 313       | Assistance to Small Shipyards.....                                  | 962  |
| Surety Bond Guarantees Revolving Fund.....                        | 1177      | Aviation Consumer Call Center.....                                  | 896  |
| Surface Transportation Board.....                                 | 959       | Aviation Insurance Revolving Fund.....                              | 906  |
| Surface Transportation Security.....                              | 494       | Aviation User Fees.....   | 906  |
| Surveys, Investigations, and Research.....                        | 639       | Capital and Debt Service Grants to the National Railroad Passenger  |      |
| Substance Abuse and Mental Health Services Administration.....    | 436       | Corporation.....  | 936  |
| Systems Acquisition.....  | 536       | Capital Assistance for High Speed Rail Corridors and Intercity      |      |
|   |           | Passenger Rail Service.....   | 938  |
| <b>T</b>  |           | Capital Investment Grants.....                                      | 948  |
| TAA Community College and Career Training Grant Fund.....         | 755       | Compensation for Air Carriers.....                                  | 900  |
| Tactical Law Enforcement Wireless Communications.....             | 705       | Compensation for General Aviation Operations.....                   | 900  |
| Taos Settlement Fund.....   | 630       | Consumer Assistance to Recycle and Save Program.....                | 929  |
| Tax Court Judges Survivors Annuity Fund.....                      | 37        | Current Passenger Rail Service.....                                 | 942  |
| Taxpayer Services.....  | 1011      | Cyber Security Initiatives.....                                     | 898  |
| TEACH Grant Financing Account.....                                | 347       | Department of Transportation.....                                   | 893  |
| Teacher Education Assistance.....                                 | 346       | Discretionary Grants (Transportation Trust Fund, Mass Transit       |      |
| Technical Assistance and Standards Development.....               | 950       | Account).....   | 951  |
| Telecommunications Development Fund.....                          | 1323      | Emergency Preparedness Grants.....                                  | 957  |
| Temporary Assistance for Needy Families.....                      | 457       | Emergency Railroad Rehabilitation and Repair.....                   | 938  |
| Temporary Corporate Credit Union Stabilization Fund.....          | 1279      | Emergency Relief Program.....                                       | 913  |
| Temporary Student Loan Purchase Authority Conduit Financing       |           | Essential Air Service and Rural Airport Improvement Fund.....       | 901  |
| Account.....  | 359       | Facilities and Equipment (Airport and Airway Trust Fund).....       | 910  |
| Temporary Student Loan Purchase Authority Financing Account.....  | 357       | Facilities and Equipment, Recovery Act.....                         | 905  |
| Tenant Based Rental Assistance.....                               | 543       | Federal Aviation Administration.....                                | 904  |
| Tennessee Valley Authority Fund.....                              | 1323      | Federal Highway Administration.....                                 | 912  |
| Tennessee Valley Authority:                                       |           | Federal Motor Carrier Safety Administration.....                    | 926  |
| Tennessee Valley Authority Fund.....                              | 1323      | Federal Railroad Administration.....                                | 932  |
| Terrorism Insurance Program.....                                  | 975       | Federal Transit Administration.....                                 | 945  |
| The Department of Defense Environmental Restoration Accounts..... | 261       | Federal-aid Highways.....   | 920  |
| The White House.....  | 1117      | Financial Management Capital.....                                   | 898  |
| Therapeutic Discovery Program Grants and Administration.....      | 1023      | Fixed Guideway Infrastructure Investment, Recovery Act.....         | 951  |
| TIFIA General Fund Direct Loan Financing Account, Federal Highway |           | Formula Grants.....   | 947  |
| Administration, Transportation.....                               | 918       | General Fund Receipt Accounts.....                                  | 968  |
| TIFIA General Fund Program Account, Federal Highway               |           | General Provisions—Department of Transportation.....                | 968  |
| Administration, Transportation.....                               | 917       |   |      |

|   | Page |  | Page |
|---|------|--|------|
| Transportation, Department of—Continued   |      | Transportation, Department of—Continued  |      |
| Grants for Energy Efficiency and Greenhouse Gas Reductions.....                 | 947  | Safety and Operations.....   | 933  |
| Grants to the National Railroad Passenger Corporation.....                      | 934  | Saint Lawrence Seaway Development Corporation.....   | 953  |
| Grants-in-aid for Airports (Airport and Airway Trust Fund).....                 | 909  | Salaries and Expenses (Office of Inspector General).....   | 959  |
| Grants-in-aid for Airports, Recovery Act.....                                   | 905  | Salaries and Expenses (Office of the Secretary).....   | 895  |
| Hazardous Materials Safety.....   | 955  | Salaries and Expenses (Surface Transportation Board).....  | 959  |
| Highway Infrastructure Investment, Recovery Act.....                            | 914  | Ship Disposal.....   | 962  |
| Highway Infrastructure Programs.....  | 919  | State Infrastructure Banks.....  | 914  |
| Highway Traffic Safety Grants.....  | 931  | Supplemental Discretionary Grants for a National Surface<br>Transportation System, Recovery Act.....     | 898  |
| Human Resources and Training.....   | 950  | Surface Transportation Board.....  | 959  |
| Immediate Transportation Investments.....                                       | 893  | Technical Assistance and Standards Development.....  | 950  |
| Intercity Passenger Rail Grant Program.....                                     | 938  | TIFIA General Fund Direct Loan Financing Account, Federal<br>Highway Administration, Transportation..... | 918  |
| Interstate Transfer Grants-transit.....   | 946  | TIFIA General Fund Program Account, Federal Highway<br>Administration, Transportation.....               | 917  |
| Job Access and Reverse Commute Grants.....                                      | 946  | Tiger TIFIA Direct Loan Financing Account, Recovery Act.....   | 918  |
| Maritime Administration.....  | 960  | Transit Capital Assistance, Recovery Act.....  | 951  |
| Maritime Guaranteed Loan (title XI) Financing Account.....                      | 967  | Transit Cooperative Research Program.....  | 950  |
| Maritime Guaranteed Loan (title XI) Program Account.....                        | 966  | Transit Formula Grants.....  | 952  |
| Maritime Security Program.....  | 962  | Transportation Infrastructure Finance and Innovation Program<br>Direct Loan Financing Account.....       | 915  |
| Minority Business Outreach.....   | 899  | Transportation Infrastructure Finance and Innovation Program<br>Line of Credit Financing Account.....    | 916  |
| Minority Business Resource Center Guaranteed Loan Financing<br>Account.....     | 903  | Transportation Infrastructure Finance and Innovation Program<br>Loan Guarantee Financing Account.....    | 916  |
| Minority Business Resource Center Program.....                                  | 902  | Transportation Planning, Research, and Development.....  | 900  |
| Miscellaneous Appropriations.....   | 913  | Transportation Trust Fund.....   | 919  |
| Miscellaneous Transportation Trust Funds.....                                   | 924  | Trust Fund Share of FAA Activities (Airport and Airway Trust<br>Fund).....                               | 912  |
| Miscellaneous Trust Funds, Maritime Administration.....                         | 968  | Trust Fund Share of Pipeline Safety.....   | 958  |
| Miscellaneous Trust Funds.....  | 924  | Vessel Operations Revolving Fund.....  | 965  |
| Motor Carrier Safety Grants.....  | 927  | War Risk Insurance Revolving Fund.....   | 965  |
| Motor Carrier Safety Operations and Programs.....                               | 927  | Washington Metropolitan Area Transit Authority.....  | 946  |
| Motor Carrier Safety.....   | 926  | Working Capital Fund, Volpe National Transportation Systems<br>Center (Office of the Secretary).....     | 897  |
| National Driver Register Modernization.....                                     | 930  | Working Capital Fund (Office of the Secretary).....  | 902  |
| National Highway Traffic Safety Administration.....                             | 929  | Travel Promotion Fund.....   | 1220 |
| National Infrastructure Investments.....  | 896  | Treasury Forfeiture Fund.....  | 975  |
| National Motor Carrier Safety Program.....                                      | 926  | Treasury Franchise Fund.....   | 980  |
| New Headquarters Building.....  | 900  | Treasury Inspector General for Tax Administration.....   | 973  |
| Next Generation High-speed Rail.....  | 939  | Treasury, Department of the:   |      |
| Northeast Corridor Improvement Program.....                                     | 939  | Administrative Expenses, Recovery Act.....   | 980  |
| Ocean Freight Differential.....   | 963  | Administrative Provisions—Department of the Treasury.....  | 1029 |
| Office of Civil Rights.....   | 899  | Alcohol and Tobacco Tax and Trade Bureau.....  | 1006 |
| Office of Inspector General.....  | 959  | America Fast Forward Bonds.....  | 1015 |
| Office of the Secretary.....  | 894  | Assessment Funds.....  | 1026 |
| Operating Subsidy Grants to the National Railroad Passenger<br>Corporation..... | 935  | Biomass Energy Development.....  | 1001 |
| Operating-differential Subsidies.....   | 963  | Build America Bond Payments, Recovery Act.....   | 1015 |
| Operational Expenses.....   | 956  | Bureau of Engraving and Printing Fund.....   | 1007 |
| Operations and Maintenance.....   | 954  | Bureau of Engraving and Printing.....  | 1007 |
| Operations and Research (Transportation Trust Fund).....                        | 930  | Business Systems Modernization.....  | 1014 |
| Operations and Research.....  | 929  | Capital Magnet Fund, Community Development Financial<br>Institutions.....                                | 994  |
| Operations and Training.....  | 960  | Check Forgery Insurance Fund.....  | 1002 |
| Operations.....   | 904  | Cheyenne River Sioux Tribal Recovery Trust Fund.....   | 1002 |
| Payment to the Transportation Trust Fund.....                                   | 915  | Cheyenne River Sioux Tribe Terrestrial Wildlife Habitat<br>Restoration Trust Fund.....                   | 1003 |
| Payments to Air Carriers.....   | 903  | Claims, Judgments, and Relief Acts.....  | 1000 |
| Pennsylvania Station Redevelopment Project.....                                 | 934  | Community Development Financial Institutions Fund Direct Loan<br>Financing Account.....                  | 983  |
| Pipeline and Hazardous Materials Safety Administration.....                     | 955  | Community Development Financial Institutions Fund Program<br>Account.....                                | 981  |
| Pipeline Safety.....  | 956  | Comptroller of the Currency.....   | 1026 |
| Port of Guam Improvement Enterprise Fund.....                                   | 966  | Continued Dumping and Subsidy Offset.....  | 1001 |
| Public Transportation Emergency Relief Program.....                             | 949  | Counterterrorism Fund.....   | 975  |
| Rail Line Relocation and Improvement Program.....                               | 940  | Department-wide Systems and Capital Investments<br>Programs.....   | 972  |
| Rail Service Improvement Program.....   | 944  | Departmental Offices.....  | 971  |
| Railroad Rehabilitation and Improvement Direct Loan Financing<br>Account.....   | 941  | Enforcement.....   | 1012 |
| Railroad Rehabilitation and Improvement Program.....                            | 940  | Exchange Stabilization Fund.....   | 978  |
| Railroad Research and Development.....  | 933  | Expanded Access to Financial Services.....   | 974  |
| Railroad Research, Development, and Technology.....                             | 943  |  |      |
| Railroad Safety Technology Program.....   | 940  |  |      |
| Ready Reserve Force.....  | 964  |  |      |
| Research and Development.....   | 894  |  |      |
| Research and University Research Centers.....                                   | 948  |  |      |
| Research, Engineering and Development (Airport and Airway Trust<br>Fund).....   | 911  |  |      |
| Research, Training, and Human Resources.....                                    | 946  |  |      |
| Right-of-way Revolving Fund Liquidating Account.....                            | 919  |  |      |
| RRIF Guaranteed Loan Financing Account.....                                     | 941  |  |      |

|  | Page |  | Page |
|--|------|--|------|
| Treasury, Department of the—Continued  |      | Treasury, Department of the—Continued  |      |
| Federal Financing Bank.....  | 1004 | Public Enterprise Fund, Comptroller of the Currency.....                                 | 1026 |
| Federal Interest Liabilities to States.....  | 999  | Refundable Premium Assistance Tax Credit.....  | 1023 |
| Federal Reserve Bank Reimbursement Fund.....   | 998  | Refunding Internal Revenue Collections, Interest.....                                    | 1023 |
| Federal Tax Lien Revolving Fund.....   | 1025 | Reimbursements to Federal Reserve Banks.....   | 997  |
| Financial Agent Services.....  | 999  | Restitution of Forgone Interest.....   | 1000 |
| Financial Crimes Enforcement Network.....  | 994  | Salaries and Expenses, Fiscal Service (Fiscal Service).....                              | 995  |
| Financial Research Fund.....   | 976  | Salaries and Expenses (Alcohol and Tobacco Tax and Trade Bureau).....                    | 1006 |
| Fiscal Service.....  | 995  | Salaries and Expenses (Departmental Offices).....  | 971  |
| General Fund Receipt Accounts.....   | 1028 | Salaries and Expenses (Financial Crimes Enforcement Network).....                        | 994  |
| Gifts and Bequests.....  | 994  | Santee Sioux Tribe Development Trust Fund.....   | 1003 |
| Gifts to the United States for Reduction of the Public Debt.....                                       | 1024 | Small Business Lending Fund Financing Account.....                                       | 990  |
| Grants for Specified Energy Property in Lieu of Tax Credits, Recovery Act.....                         | 981  | Small Business Lending Fund Program Account.....   | 990  |
| Grants to States for Low-Income Housing Projects in Lieu of Low-Income Housing Credit Allocations..... | 981  | Special Inspector General for the Troubled Asset Relief Program.....                     | 989  |
| GSE Mortgage-Backed Securities Purchase Direct Loan Financing Account.....                             | 993  | State HFA Direct Loan Financing Account.....   | 993  |
| GSE Mortgage-Backed Securities Purchase Program Account.....   | 992  | State Small Business Credit Initiative.....  | 991  |
| GSE Preferred Stock Purchase Agreements.....   | 991  | Taxpayer Services.....   | 1011 |
| Gulf Coast Restoration Trust Fund.....   | 1004 | Terrorism Insurance Program.....   | 975  |
| Health Insurance Tax Credit Administration.....  | 1013 | Therapeutic Discovery Program Grants and Administration.....                             | 1023 |
| Informant Payments.....  | 1025 | Title VI—General Provisions—This Act.....  | 1030 |
| Interest on the Public Debt.....   | 1027 | Treasury Forfeiture Fund.....  | 975  |
| Interest on Treasury Debt Securities (gross).....  | 1027 | Treasury Franchise Fund.....   | 980  |
| Interest on Uninvested Funds.....  | 999  | Treasury Inspector General for Tax Administration.....                                   | 973  |
| Interest Paid to Credit Financing Accounts.....  | 1000 | Troubled Asset Relief Program Account.....   | 984  |
| Internal Revenue Collections for Puerto Rico.....  | 1007 | Troubled Asset Relief Program Direct Loan Financing Account.....                         | 985  |
| Internal Revenue Service.....  | 1010 | Troubled Asset Relief Program Equity Purchase Financing Account.....                     | 987  |
| IRS Miscellaneous Retained Fees.....   | 1024 | Troubled Asset Relief Program Equity Purchase Program.....                               | 986  |
| Office of Financial Stability.....   | 984  | Troubled Asset Relief Program, Housing Programs.....                                     | 988  |
| Office of Inspector General (Departmental Offices).....  | 972  | Troubled Asset Relief Program, Housing Programs, Letter of Credit Financing Account..... | 988  |
| Operations Support.....  | 1013 | Troubled Assets Insurance Financing Fund Guaranteed Loan Financing Account.....          | 986  |
| Pay for Success.....   | 978  | United States Mint Public Enterprise Fund.....   | 1008 |
| Payment in Lieu of Tax Credit for New Jobs and Wage Increases.....                                     | 1019 | United States Mint.....  | 1008 |
| Payment in Lieu of Tax Credits for Promise Zones.....  | 1019 | Working Capital Fund (Departmental Offices).....   | 979  |
| Payment of Government Losses in Shipment.....  | 998  | Yankton Sioux Tribe Development Trust Fund.....  | 1003 |
| Payment to FRA for AMTRAK Debt Restructuring.....  | 1001 | Tribal Special Fund.....   | 688  |
| Payment to Issuer of New Clean Renewable Energy Bonds.....   | 1021 | Tribal Trust Fund.....   | 689  |
| Payment to Issuer of Qualified Energy Conservation Bonds.....  | 1021 | Troubled Asset Relief Program Account.....   | 984  |
| Payment to Issuer of Qualified School Construction Bonds.....  | 1021 | Troubled Asset Relief Program Direct Loan Financing Account.....                         | 985  |
| Payment to Issuer of Qualified Zone Academy Bonds.....   | 1022 | Troubled Asset Relief Program Equity Purchase Financing Account.....                     | 987  |
| Payment to the Cheyenne River Sioux Tribal Recovery Trust Fund.....                                    | 998  | Troubled Asset Relief Program Equity Purchase Program.....                               | 986  |
| Payment to the Resolution Funding Corporation.....   | 997  | Troubled Asset Relief Program, Housing Programs.....                                     | 988  |
| Payment to the Santee Sioux Tribe Development Trust Fund.....  | 997  | Troubled Asset Relief Program, Housing Programs, Letter of Credit Financing Account..... | 988  |
| Payment to the Yankton Sioux Tribe Development Trust Fund.....   | 997  | Troubled Assets Insurance Financing Fund Guaranteed Loan Financing Account.....          | 986  |
| Payment Where Adoption Credit Exceeds Liability for Tax.....   | 1022 | Trust Fund Share of Expenses.....  | 516  |
| Payment Where Alternative Minimum Tax Credit Exceeds Liability for Tax.....                            | 1018 | Trust Fund Share of FAA Activities (Airport and Airway Trust Fund).....                  | 912  |
| Payment Where American Opportunity Credit Exceeds Liability for Tax.....                               | 1020 | Trust Fund Share of Pipeline Safety.....   | 958  |
| Payment Where Certain Tax Credits Exceed Liability for Corporate Tax.....                              | 1019 | Trust Funds.....   | 311  |
| Payment Where Child Tax Credit Exceeds Liability for Tax.....  | 1017 | Trust Land Consolidation Fund.....   | 681  |
| Payment Where COBRA Credit Exceeds Liability for Tax.....  | 1017 | Trust Territory of the Pacific Islands.....  | 682  |
| Payment Where Earned Income Credit Exceeds Liability for Tax.....                                      | 1016 | Tunisia Loan Guarantee Financing Account.....  | 858  |
| Payment Where Health Coverage Tax Credit Exceeds Liability for Tax.....                                | 1017 | Tunisia Loan Guarantee Program Account.....  | 858  |
| Payment Where Making Work Pay Credit Exceeds Liability for Tax.....                                    | 1020 | TV Broadcaster Relocation Fund.....  | 1246 |
| Payment Where Small Business Health Insurance Tax Credit Exceeds Liability for Tax.....                | 1018 |  |      |
| Payment Where Tax Credit for Certain Government Retirees Exceeds Liability for Tax.....                | 1019 |  |      |
| Payment Where Tax Credit to Aid First-Time Homebuyers Exceeds Liability for Tax.....                   | 1018 |  |      |
| Presidential Election Campaign Fund.....   | 977  |  |      |
| Private Collection Agent Program.....  | 1024 |  |      |

## U

|   |      |
|---|------|
| U.S. Capitol Preservation Commission.....   | 43   |
| U.S. Coast Guard Housing Special Fund.....  | 513  |
| U.S. Customs Refunds, Transfers and Expenses, Unclaimed and Abandoned Goods.....      | 507  |
| U.S. Patent and Trademark Office.....   | 221  |
| Ultra-deepwater and Unconventional Natural Gas and Other Petroleum Research Fund..... | 394  |
| Unanticipated Needs for Natural Disasters.....  | 1126 |

|  | Page |   | Page |
|--|------|---|------|
| Unanticipated Needs.....   | 1125 | Veterans Affairs, Department of—Continued                       |      |
| Unemployment Trust Fund.....                                       | 763  | Franchise Fund.....   | 1068 |
| United Mine Workers of America 1992 Benefit Plan.....              | 1325 | General Administration.....                                     | 1063 |
| United Mine Workers of America 1993 Benefit Plan.....              | 1326 | General Fund Receipt Accounts.....                              | 1070 |
| United Mine Workers of America Benefit Funds:                      |      | General Operating Expenses, Veterans Benefits                   |      |
| United Mine Workers of America 1992 Benefit Plan.....              | 1325 | Administration.....   | 1064 |
| United Mine Workers of America 1993 Benefit Plan.....              | 1326 | General Post Fund, National Homes.....                          | 1043 |
| United Mine Workers of America Combined Benefit Fund.....          | 1325 | Grants for Construction of State Extended Care Facilities.....  | 1062 |
| United Mine Workers of America Combined Benefit Fund.....          | 1325 | Grants for Construction of Veterans Cemeteries.....             | 1062 |
| United States Coast Guard.....                                     | 508  | Housing Direct Loan Financing Account.....                      | 1052 |
| United States Court of Appeals for the Armed Forces.....           | 257  | Housing Guaranteed Loan Financing Account.....                  | 1053 |
| United States Court of Appeals for the Federal Circuit.....        | 48   | Housing Liquidating Account.....                                | 1054 |
| United States Court of Appeals for Veterans Claims:                |      | Information Technology Systems.....                             | 1065 |
| Court of Appeals for Veterans Claims Retirement Fund.....          | 1327 | Joint Department of Defense-Department of Veterans Affairs      |      |
| Salaries and Expenses.....   | 1326 | Medical Facility Demonstration Fund.....                        | 1041 |
| United States Court of Federal Claims Judges' Retirement Fund..... | 57   | Medical and Prosthetic Research.....                            | 1039 |
| United States Court of International Trade.....                    | 49   | Medical Care Collections Fund.....                              | 1041 |
| United States Emergency Refugee and Migration Assistance           |      | Medical Center Research Organizations.....                      | 1042 |
| Fund.....  | 818  | Medical Facilities.....   | 1038 |
| United States Enrichment Corporation Fund.....                     | 1327 | Medical Services.....   | 1033 |
| United States Fire Administration and Training.....                | 525  | Medical Support and Compliance.....                             | 1036 |
| United States Fish and Wildlife Service.....                       | 642  | National Cemetery Administration.....                           | 1066 |
| United States Geological Survey.....                               | 639  | National Service Life Insurance Fund.....                       | 1058 |
| United States Government Life Insurance Fund.....                  | 1059 | Native American Direct Loan Financing Account.....              | 1056 |
| United States Holocaust Memorial Museum:                           |      | Native American Veteran Housing Loan Program Account.....       | 1055 |
| Holocaust Memorial Museum.....                                     | 1328 | Office of Inspector General (Departmental Administration).....  | 1065 |
| United States IMF Quota, Direct Loan Financing Account.....        | 876  | Post-Vietnam Era Veterans Education Account.....                | 1057 |
| United States Institute of Peace:                                  |      | Readjustment Benefits.....                                      | 1045 |
| Operating Expenses.....  | 1328 | Service-disabled Veterans Insurance Fund.....                   | 1049 |
| United States Interagency Council on Homelessness:                 |      | Servicemembers' Group Life Insurance Fund.....                  | 1050 |
| United States Interagency Council on the Homelessness.....         | 1329 | Supply Fund.....  | 1067 |
| United States Interagency Council on the Homelessness.....         | 1329 | Title V—General Provisions.....                                 | 1070 |
| United States Mint Public Enterprise Fund.....                     | 1008 | Transitional Housing Direct Loan Financing Account.....         | 1057 |
| United States Mint.....  | 1008 | United States Government Life Insurance Fund.....               | 1059 |
| United States Park Police.....                                     | 657  | Veterans Employment and Infrastructure Enhancement Transfer     |      |
| United States Parole Commission.....                               | 708  | Fund.....   | 1063 |
| United States Quota IMF Direct Loan Program Account.....           | 875  | Veterans Health Administration.....                             | 1033 |
| United States Quota, International Monetary Fund.....              | 874  | Veterans Housing Benefit Program Fund.....                      | 1051 |
| United States Secret Service.....                                  | 489  | Veterans Insurance and Indemnities.....                         | 1048 |
| United States Sentencing Commission.....                           | 58   | Veterans Reopened Insurance Fund.....                           | 1050 |
| United States Tax Court.....                                       | 37   | Veterans Special Life Insurance Fund.....                       | 1060 |
| United States Trustee System Fund.....                             | 717  | Vocational Rehabilitation Direct Loan Financing Account.....    | 1057 |
| United States Visitor and Immigrant Status Indicator               |      | Veterans Employment and Infrastructure Enhancement Transfer     |      |
| Technology.....  | 518  | Fund.....   | 1063 |
| United States-China Economic and Security Review Commission.....   | 39   | Veterans Employment and Training.....                           | 786  |
| Universal Displaced Workers Program.....                           | 753  | Veterans Health Administration.....                             | 1033 |
| Universal Service Fund.....  | 1244 | Veterans Housing Benefit Program Fund.....                      | 1051 |
| Unspecified Adjustments to Operations.....                         | 1302 | Veterans Insurance and Indemnities.....                         | 1048 |
| Upper Colorado River Basin Fund.....                               | 634  | Veterans Reopened Insurance Fund.....                           | 1050 |
| Uranium Enrichment Decontamination and Decommissioning             |      | Veterans Special Life Insurance Fund.....                       | 1060 |
| Fund.....  | 397  | Vietnam Debt Repayment Fund.....                                | 1330 |
| Uranium Sales and Remediation.....                                 | 397  | Vietnam Education Foundation:                                   |      |
| Urban and Environmental Credit Guaranteed Loan Financing           |      | General Fund Receipt Accounts.....                              | 1330 |
| Account.....   | 859  | Vietnam Debt Repayment Fund.....                                | 1330 |
| Urban and Environmental Credit Program Account.....                | 859  | Violence against Women Prevention and Prosecution Programs..... | 740  |
| Urban Park and Recreation Fund.....                                | 658  | Violent Crime Reduction Program.....                            | 729  |
| USDA Supplemental Assistance.....                                  | 101  | VISTA Advance Payments Revolving Fund.....                      | 1218 |
| Utah Reclamation Mitigation and Conservation Account.....          | 638  | Vocational Rehabilitation Direct Loan Financing Account.....    | 1057 |
|  |      | Voluntary Separation Incentive Fund.....                        | 311  |
| <b>V</b>   |      | <b>W</b>  |      |
| Vaccine Injury Compensation Program Trust Fund.....                | 426  | Wage and Hour Division H-2B.....                                | 777  |
| Vaccine Injury Compensation.....                                   | 422  | Wage and Hour Division.....                                     | 776  |
| Vessel Operations Revolving Fund.....                              | 965  | War Risk Insurance Revolving Fund.....                          | 965  |
| Veterans Affairs, Department of:                                   |      | Washington Aqueduct.....  | 1081 |
| Benefits Programs.....   | 1043 | Washington Metropolitan Area Transit Authority.....             | 946  |
| Canteen Service Revolving Fund.....                                | 1042 | Water and Related Resources.....                                | 628  |
| Compensation and Pensions.....                                     | 1043 | Water Bank Program.....   | 129  |
| Construction, Major Projects (Departmental Administration).....    | 1060 | Watershed and Flood Prevention Operations.....                  | 126  |
| Construction, Minor Projects (Departmental                         |      | Watershed Rehabilitation Program.....                           | 127  |
| Administration).....   | 1061 | Weapons Activities.....   | 370  |
| Departmental Administration.....                                   | 1060 | Weapons Procurement, Navy.....                                  | 274  |
| DOD-VA Health Care Sharing Incentive Fund.....                     | 1038 | Weed and Seed Program Fund.....                                 | 739  |
| Filipino Veterans Equity Compensation Fund.....                    | 1049 |   |      |



|  | Page     |   | Page     |
|--|----------|---|----------|
| Western Area Power Administration, Borrowing Authority, Recovery Act.....                | 407      | Working Capital Fund—Continued  |          |
| White Earth Settlement Fund.....   | 669      | General Administration, Department of Justice.....  | 708      |
| White House Repair and Restoration.....  | 1118     | HIV/AIDS Working Capital Fund.....  | 846      |
| White River Minimum Flow.....  | 406      | National Aeronautics and Space Administration, National Aeronautics and Space Administration..... | 1151     |
| Wildland Fire Management.....  | 184, 693 | National Institute of Standards and Technology, Department of Commerce.....                       | 225      |
| Wildlife Conservation.....   | 1094     | National Oceanic and Atmospheric Administration, Department of Commerce.....                      | 218      |
| Wireless Innovation (WIN) Fund.....  | 226      | Office of the Secretary, Department of Transportation.....  | 897, 902 |
| Workers Compensation Programs.....   | 762      | United States Geological Survey, Department of the Interior.....                                  | 640      |
| Working Capital Fund:  |          | Working Capital Fund (Agency for International Development).....                                  | 855      |
| Administration of Foreign Affairs, Department of State.....                              | 806      | Working Capital Fund, Air Force (Revolving and Management Funds).....                             | 307      |
| Bureau of Land Management, Department of the Interior.....                               | 618      | Working Capital Fund, Army (Revolving and Management Funds).....                                  | 306      |
| Bureau of Reclamation, Department of the Interior.....                                   | 635      | Working Capital Fund, Defense Commissary Agency (Revolving and Management Funds).....             | 309      |
| Bureau of the Census, Department of Commerce.....  | 207      | Working Capital Fund, Defense-Wide (Revolving and Management Funds).....                          | 308      |
| Centers for Disease Control and Prevention, Department of Health and Human Services..... | 432      | Working Capital Fund, Navy (Revolving and Management Funds).....                                  | 307      |
| Department-Wide Programs, Department of the Interior.....                                | 695      | World Trade Center Health Program Fund.....   | 433      |
| Departmental Administration, Department of Energy.....                                   | 415      |   |          |
| Departmental Management and Operations, Department of Homeland Security.....             | 485      | <b>Y</b>  |          |
| Departmental Management, Department of Commerce.....                                     | 201      | Yankton Sioux Tribe Development Trust Fund.....   | 1003     |
| Departmental Management, Department of Labor.....  | 789      | Yard Fund.....  | 514      |
| Departmental Offices, Department of the Treasury.....                                    | 979      |   |          |
| Environmental Protection Agency, Environmental Protection Agency.....                    | 1108     |   |          |
| Executive Operations, Department of Agriculture.....                                     | 62       |   |          |
| Forest Service, Department of Agriculture.....   | 192      |   |          |
| General Activities, General Services Administration.....                                 | 1140     |   |          |